# CO<sub>2</sub> Reduction Using an Electrochemical Approach from Chemical, Biological, and Geological Aspects in the Ancient and Modern Earth

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Abstract The past few decades have witnessed significant advances in our understanding of the synthesis routes and development of electrocatalysts for the reduction of  $CO<sub>2</sub>$  to hydrocarbons. It is also notable that the research field related to the Origin of Life theory starts to recognize the significance of electrochemical  $CO<sub>2</sub>$ reduction by metal-sulfide minerals as the primary step for organic carbon synthesis. In this chapter, we describe recent progress in the development of catalysts for  $CO<sub>2</sub>$  reduction in electrochemical systems, particularly from the viewpoint of the Origin of Life theory, and discuss the perspectives related to the evolutional origin of carbon monoxide dehydrogenases (CODHs), known as one of the most active natural enzymes for  $CO<sub>2</sub>$  reduction.

#### 1 Introduction

In an attempt to mitigate the adverse effects of global warming, there has been considerable efforts to produce sustainable electricity from renewable energy sources, such as hydro, solar, wind, and geothermal power. Despite these efforts, renewable energy has inherent limitations: intermittency and fluctuation in the amount of generated energy. One possible solution for overcoming this limitation is to convert generated electricity into  $H_2$  via electrochemical water-splitting reactions. Although electrolysis appears to be a promising solution for producing

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reliable and sustainable energy, it is highly desired that the generated  $H_2$  is converted into liquid form for transport, a process that requires considerable energy. Although the commercialization of  $H<sub>2</sub>$  as an energy fuel has increased in recent years, the processing and transport of  $H_2$ , and the associated infrastructure, remain a major restriction for the widespread use of  $H<sub>2</sub>$  as an energy fuel. Another potential solution for the effective use of electricity generated by renewable energy would be to electrochemically convert  $CO<sub>2</sub>$  into hydrocarbons. Compared to  $H<sub>2</sub>$ , hydrocarbons are more easily transformed to liquid form, and further, the infrastructure for hydrocarbon distribution, storage and utilization has been firmly established.

The past few decades have witnessed significant advances in our understanding of the synthesis routes and development of electrocatalysts for the reduction of  $CO<sub>2</sub>$ to hydrocarbons.  $CO<sub>2</sub>$  reduction catalysts are typically metals or metal alloys, such as pure metallic Cu, which is considered to be an ideal catalytic material for the production of hydrocarbons with high Faradaic efficiency (FE). However, the selective production of hydrocarbons requires an overpotential  $(\eta)$  on the order of 1 V to avoid the formation of  $H_2$  gas. Furthermore, if water is oxidized to  $O_2$  at the anode, the  $\eta$  for the electrochemical conversion of CO<sub>2</sub> to hydrocarbons increases to as high as 2 V. Such high  $\eta$  values are a major obstacle for improving the energy efficiency of hydrocarbon synthesis, which is a necessary prerequisite for the practical application of heterogeneous electrocatalysts in  $CO<sub>2</sub>$  fixation systems.

Biological systems convert  $CO<sub>2</sub>$  into hydrocarbons with remarkably low  $\eta$  and high FE through diverse enzymatic reactions. The most outstanding example is carbon monoxide dehydrogenases (CODHs), which are found in certain chemolithoautotrophic microorganisms, including acetogens and methanogens, convert  $CO_2$  $CO_2$  into CO with a turnover frequency as high as 45 s<sup>-1</sup> [[1,](#page-13-0) 2]. CODHs can also electrochemically reduce  $CO<sub>2</sub>$  to  $CO$  at potentials near the thermodynamic potential with the FE of nearly 100  $\%$  [[1,](#page-13-0) [2](#page-13-0)]. The remarkable efficiency of CODHs for  $CO<sub>2</sub>$  reduction has inspired numerous scientists to determine the underlying multi-step mechanisms for developing bioinspired catalysts for carbon utilization.

The family of CODHs has evolved over billions of years, and they have used Fe, Ni, Cu and Mo as active sites. Thus, one of the fundamental issues to consider is how the active cores of CODHs, namely  $Fe<sub>4</sub>S<sub>4</sub>Ni$  and MoSCu, have evolved from environmentally abundant rock-forming elements and been functionalized specific for  $CO<sub>2</sub>$  reduction with the aid of N- and S-containing peptides. As proposed in the Origin of Life theory, the surface of bisulfide-bearing hydrothermal precipitates with trace metals, such as Ni, Cu, W and Mo, is suggested to have played a critical role in prebiotic organic synthesis by  $CO<sub>2</sub>$  reduction on ancient Earth [[3](#page-13-0)–[5\]](#page-13-0). Given those facts, seeking the evolutional transition of inactive FeS minerals to active inorganic analogs of natural enzymes is what scientists can learn from nature's trails in order to acquire the effective catalysts. In other words, in addition to determining the multi-step mechanisms by which modern-day enzymes mediate  $CO<sub>2</sub>$  reduction, study of the evolutionary transition of prebiotic catalysts to biotic ones and the geochemical conditions that fostered the transition may provide a new design rationale for the functional analogues of biological catalysts.

Based on the above considerations, this chapter describes recent progress in the development of catalysts for  $CO<sub>2</sub>$  reduction in electrochemical systems, particularly from the viewpoint of the Origin of Life theory, which concerns the early evolution of energy metabolism on ancient Earth. After briefly overviewing electrochemical  $CO<sub>2</sub>$  reduction by metal electrodes in Sects. 2 and [3,](#page-3-0) bioinspired approaches for catalytic  $CO<sub>2</sub>$  reduction are presented, and the importance of the "frustrated Lewis acid-base pair motif" for  $CO<sub>2</sub>$  activation is discussed in Sects. [4](#page-4-0) and [5](#page-5-0). In Sects. [6](#page-8-0) and [7,](#page-9-0) recent progress on the Origin of Life theory is introduced, and the interaction between electrocatalysts and biogeochemical communities is discussed as a platform for exploring functional analogues of biological catalysts for  $CO<sub>2</sub>$  reduction. In Sects. [8,](#page-10-0) perspectives related to the bioenergetic evolution of  $CO<sub>2</sub>$  reduction and carbon assimilation are described.

#### 2  $CO<sub>2</sub>$  Reduction by Metal Electrodes

The first report in the late 19th century on the electrochemical conversion of  $CO<sub>2</sub>$  to organic chemicals described the reduction of  $CO<sub>2</sub>$  to formic acid [[6\]](#page-13-0). Early electrochemical conversion studies utilized mercury and amalgam, such as zinc-amalgam and sodium-amalgam, as electrocatalysts and demonstrated the high FEs for the production of formic acid [\[6](#page-13-0)–[12](#page-13-0)].

Since the late 1970s, researchers have searched for metal electrodes capable of acting as catalysts for  $CO<sub>2</sub>$  reduction. For example, Ito et al. investigated  $CO<sub>2</sub>$ reduction by Zn, Pb, Sn, In, and Cd metal electrodes using chromotropic acid testing and infrared spectroscopy [[13\]](#page-13-0) and revealed that only formate was produced by all of these catalysts during the reduction of  $CO<sub>2</sub>$ . In 1985, Hori et al. conducted galvanostatic electrolysis at a constant current density of 5 mA  $cm^{-2}$  on various metal electrodes, including Cu, Au, Ag, Zn, Pd, Ga, Pb, Hg, In, Sn, Cd, Tl, Ni, Fe, Pt, and Ti [\[14](#page-13-0), [15](#page-13-0)], and found that formate was predominantly formed at Cd, In, Sn, and Pb cathodes, whereas carbon monoxide was the primary product at Ag and Au cathodes (Table [1](#page-3-0)). Furthermore, among the examined metals, the Cu cathode was specifically active for the eight-electron reduction of  $CO<sub>2</sub>$  to form methane. Based on the work by Ito and Hori et al., electrode metals can be categorized into four groups: (i) metal electrodes that selectively produce formate (Hg, In, Pb, and Sn electrodes); (ii) those that mainly produce carbon monoxide (Au, Ag, Zn, and Pd electrodes), (iii) electrodes that yield hydrocarbons and alcohols (Cu electrode), and (iv) those that show no or little activity toward  $CO<sub>2</sub>$  reduction (Ni, Fe, Pt and Ti electrodes). Although the factors controlling the product dependence on the metals remain unknown [\[15](#page-13-0), [16](#page-13-0)], the results reported by Ito et al. and Hori et al. clearly indicate that the nature of the metal electrodes influence the products formed during electrochemical  $CO<sub>2</sub>$  reduction.

Metal electrode	Electrode potential	Faradaic efficiency/%		Lower limit/Upper limit		
	$(V$ vs. SHE)	$HCOO^-$	CO	CH <sub>4</sub>	H <sub>2</sub>	Total
C <sub>d</sub>	$-1.66 \pm 0.02$	65.3/67.2	6.2/11.1	0.2	14.9/22.2	93/100
Sn	$-1.40 \pm 0.04$	65.5/79.5	2.4/4.1	0.1/0.2	13.4/40.8	94/110
Pb	$-1.62 \pm 0.03$	72.5/88.8	0.3/0.6	0.1/0.2	3.8/30.9	94/100
In	$-1.51 \pm 0.05$	92.7/97.6	0.9/2.2	0.0	1.6/4.5	93/102
Zn	$-1.56 \pm 0.08$	17.6/85.0	3.3/63.3	0.0	2.2/17.6	90/98
Cu	$-1.39 \pm 0.02$	15.4/16.5	1.5/3.1	37.1/40.0	32.8/33.0	87/92
Ag	$-1.45 \pm 0.02$	1.6/4.6	61.4/89.9	0.0	10.4/35.3	99/106
Au	$-1.14 \pm 0.01$	0.4/1.0	81.2/93.0	0.0	6.7/23.2	100/105
Ni	$-1.39$	0.3	0.0	1.2	96.3	98
Fe	$-1.42$	2.1	1.4	0.0	97.5	101

<span id="page-3-0"></span>**Table 1**  $CO<sub>2</sub>$  reduction products on metal electrodes in aqueous solution reported by Hori et al. [[14](#page-13-0)]

### 3 Nitrogen-Containing Molecules as Cocatalysts for Electrochemical  $CO<sub>2</sub>$  Reduction

One approach for improving the catalytic activity and FF for electrochemical  $CO<sub>2</sub>$ reduction on metal electrodes is the utilization of nitrogen (N)-containing compounds as catalysts. In 1994, Seshadri et al. [\[17](#page-13-0)] reported that hydrogenated Pd electrodes electrochemically reduced  $CO<sub>2</sub>$  to methanol with an FE of 30 % and  $\eta$  of less than 200 mV in the presence of pyridine. Morris et al. [[18\]](#page-13-0) further studied pyridine-catalyzed  $CO<sub>2</sub>$  reduction with Pt electrodes by changing the catalyst concentration, temperature, and pressure, and confirmed that the reaction kinetics follow the first order with respect to both  $CO<sub>2</sub>$  and pyridine. Based on these experimental results, combined with density functional theory (DFT) calculations, the authors proposed the reduced activation energy for  $CO<sub>2</sub>$  reduction by pyridine stabilization of carbamate species, which are possible intermediates for  $CO<sub>2</sub>$ reduction, by the Lewis basicity of the pyridyl N and metal electrode surface. Further calculations by Keith et al. [\[19](#page-13-0)] indicated that the active species was a "surface-bound" dihydropyridine (DHP), rather than a pyridinyl radical in solution.

The use of ionic liquids as catalysts for  $CO<sub>2</sub>$  reduction has also been demonstrated as an effective approach to lower  $\eta$  and increase FEs. For example, Rosen et al.  $[20]$  $[20]$  electrochemically reduced  $CO<sub>2</sub>$  with an Ag cathode in an ionic liquid electrolyte and achieved an  $\eta$  of less than 200 mV and an FEs of more than 96 % for CO production. Notably, the authors utilized 1-ethyl-3-methylimidazolium fluoroborate  $(EMIM-BF<sub>4</sub>)$  with the expectation that this compound would complex with  $CO_2$  to stabilize the intermediate  $CO_2^-$  radical species. The discovery of ionic liquid-based  $CO<sub>2</sub>$  reduction has significantly expanded the range of materials that can function as electrocatalysts for  $CO_2$  reduction  $[21–26]$  $[21–26]$  $[21–26]$  $[21–26]$ .

<span id="page-4-0"></span>Although these observations clearly indicate that N-containing molecular catalysts are effective for electrochemical  $CO<sub>2</sub>$  reduction in terms of the stabilization of intermediate species, the properties of the metal electrodes and their contribution to catalytic activity have not been examined in detail.

## 4 Cooperative Activation of  $CO<sub>2</sub>$  by Metals and Amino Acids in Biological Systems

The former section described the effectiveness of using homogeneous molecular catalysts for achieving high FE and low  $\eta$  for electrochemical CO<sub>2</sub> reduction. Because the electrochemical reaction proceeds at the metal electrode surface, the interaction between the metal electrodes and  $CO<sub>2</sub>$  and/or other reaction intermediates, in addition to the presence of N-containing compounds, should be taken into consideration when designing  $CO<sub>2</sub>$  reduction catalysts. One of the most sophisticated examples of the cooperative effect of metals and N-containing compounds for  $CO<sub>2</sub>$  reduction is the natural enzymatic system CODH [[27,](#page-14-0) [28\]](#page-14-0). There are two main types of CODHs: Mo-containing CODHs, which are used by aerobic bacteria [[29\]](#page-14-0), and Ni-containing CODHs, which are found in anaerobic bacteria [[30\]](#page-14-0). The crystal structure of Mo–CODH was first reported by Dobbek et al. in 1999 [[31\]](#page-14-0) at a resolution of 2.2 Å (Fig. 1, left). The active site of Mo–CODH is composed of molybdopterin-cytosine dinucleotide and S-selanylcysteine connected to a [2Fe– 2S] cluster and flavin-adenine dinucleotide. The same research group determined the crystal structure of Ni–CODH in 2001  $[32]$  $[32]$  at a resolution of 1.63 Å (Fig. 1, right).

To better understand the catalytic mechanisms of  $CO<sub>2</sub>$  reduction, a series of spectroscopic, biochemical, and structural experiments have been conducted for Ni–CODH as summarized in a review paper [\[33](#page-14-0)], because it catalyzes the interconversion between  $CO<sub>2</sub>$  and CO [\[2](#page-13-0)], while Mo–CODH can only catalyze the



Fig. 1 Crystal structures of the active centers of Mo-containing CODH from aerobic bacteria [\[31\]](#page-14-0)  $(left)$  and Ni-containing CODH from anaerobic bacteria [\[32\]](#page-14-0) (right), as reported by Dobbek et al.

<span id="page-5-0"></span>

oxidation of CO to  $CO<sub>2</sub>$ . The findings from these studies highlight the importance of nucleophilic and electrophilic interactions for the cooperative activation of  $CO<sub>2</sub>$ [\[27](#page-14-0)]. For Ni–CODH, the cooperative activation of  $CO<sub>2</sub>$  occurs through the nucleophilic interaction of a Ni site to a carboxylate carbon atom, and also involves electrophilic interactions of a lysine residue and Fe site with one of the carboxylate oxygen atoms [\[27](#page-14-0)]. These combined interactions, which is a so-called "frustrated Lewis acid-base pair motif" [[34\]](#page-14-0), are essential for decreasing the C–O bond order of  $CO<sub>2</sub>$ , enabling Ni–CODH to effectively reduce  $CO<sub>2</sub>$  to CO without the use of strong electrophiles or nucleophiles (Fig. 2). The concept of a "frustrated Lewis acid-base pair" has been proposed for a number of enzymatic reactions in biological systems; however, few examples are applicable for the rational design of electrocatalysts.

#### 5 Metal Sulfides as Biomimetic Catalysts

As the active sites of CODHs are composed of metal-sulfur clusters, metal sulfides are possible candidates for  $CO<sub>2</sub>$  reduction catalysts; however, metal sulfides have received relatively little attention as electrocatalysts for  $CO<sub>2</sub>$  reduction compared to electrocatalysis with metals and metal alloys. Inspired by the active sites for enzymatic  $CO<sub>2</sub>$  reduction in natural systems, Hidai et al. synthesized Fe–S cubane

clusters,  $[Fe_4S_4(SR)_4]^2$ <sup>-</sup> (R = CH<sub>2</sub>C<sub>6</sub>H<sub>5</sub> or C<sub>6</sub>H<sub>5</sub>), and evaluated the potential of these clusters for electrochemical  $CO<sub>2</sub>$  reduction in N, N-dimethylformamide (DMF) [\[35](#page-14-0)]. The addition of  $[Fe_4S_4(SR)_4]^{2-}$  clusters on a mercury pool electrode resulted in an approx. 700 mV decrease in  $\eta$ . Although the main reaction product was oxalate, the addition of small amount of water increased the production rate of both formate and CO. Tanaka et al. synthesized a metal-sulfur cluster [\[36](#page-14-0)] composed of Mo, Fe and S  $([Mo<sub>2</sub>Fe<sub>6</sub>S<sub>8</sub>(SEt)<sub>9</sub>]<sup>3</sup>$  to mimic the enzymes that found in the reductive carboxylic cycle in photosynthetic bacteria. The model reaction of α-keto acid synthesis proceeded in the presence of the cluster under acetonitrile conditions with an applied electrode potential of  $-1.55$  V versus SCE: RC(O)  $\text{SEt} + \text{CO}_2 + 2e^- \rightarrow \text{RC(O)COO}^- + \text{EtS}^-$  (R = CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub>, C<sub>6</sub>H<sub>5</sub>). In addition to  $\alpha$ keto acid synthesis, β-keto acid synthesis was also promoted by  $[Fe_4S_4(SPh)_4]^2$ and  $[Mo_2Fe_6S_8(SPh)_9]^3$ ;  $8PhC(O)CH_3 + 8CO_2 + 2NO_2 - + 6e^- \rightarrow 8PhC(O)$  $CH_2COO^- + N_2 + 4H_2O$  [\[37](#page-14-0)]. In 2011, Kanatzidis et al. reported [[38\]](#page-14-0) that a chalcogel-type material, in which Fe–S cubane clusters and transition metals (Pt, Co, Ni, Sn, or Zn) were connected by Sn–S clusters, was capable of electrochemical  $CO<sub>2</sub>$  reduction. Notably, the  $CO<sub>2</sub>$  reduction efficiency in DMF tended to be higher when Ni or Co was utilized as transition metal sites. In all of these reports, the electrochemical reduction of  $CO<sub>2</sub>$  was conducted in an organic solvent, and the use of metal-sulfide clusters in aqueous solution has not been extensively examined, even though CODHs can catalyze the reduction of  $CO<sub>2</sub>$  to CO with high FE and low  $\eta$  under aqueous conditions [\[2](#page-13-0)]. To functionalize metal sulfides as  $CO<sub>2</sub>$ reduction catalysts, the cooperative effects of the metal centers and the surrounding N-containing molecules are an important consideration, as demonstrated in natural systems, as described in Sect. [4](#page-4-0).

In 2014, having the idea that  $FeNi<sub>2</sub>S<sub>4</sub>$  (violarite) has the structural similarity to the Fe<sub>4</sub>S<sub>4</sub>Ni active site in CODH [\[39\]](#page-14-0), the electroreduction of CO<sub>2</sub> by FeNi<sub>2</sub>S<sub>4</sub> (violarite) in aqueous solution was reported by our group  $[40]$  $[40]$ . Although  $Fe<sub>3</sub>S<sub>4</sub>$ (greigite) inefficiently reduces  $CO<sub>2</sub>$ , the efficiency of CO and CH<sub>4</sub> production was substantially improved by the substitution of Fe with Ni to form violarite. The electroreduction of  $CO_2$  by FeNi<sub>2</sub>S<sub>4</sub> in aqueous solution was further enhanced by modifying the surface of violarite with amine compounds, such as triethylamine and poly-allylamine hydrochloride (Fig. [3](#page-7-0)). As  $CO<sub>2</sub>$  reduction by CODH involves cooperative activation via both nucleophilic and electrophilic interactions [[27\]](#page-14-0), the enhanced activation of  $CO<sub>2</sub>$  reduction by substitution of Fe with Ni and the surface modification by amine compounds is of particular interest for the rational design of the efficient  $CO<sub>2</sub>$  reduction catalysts.

To clarify the role of Ni in the active site of CODH for the electroreduction of  $CO<sub>2</sub>$ , Nørskov et al. [[41\]](#page-15-0) performed DFT calculations for a [Fe<sub>4</sub>S<sub>4</sub>] cubane cluster with and without the substitution of Fe with Ni. The substitution of Ni in place of Fe was found to markedly decrease the activation energy for the reduction of  $CO<sub>2</sub>$ to CO, but the activation energy for COOH production was not affected. The authors attributed this decrease to a change in the coordination environment of the adsorbed COOH (COOH\*) intermediate resulting from the Ni substitution (Fig. [4\)](#page-7-0). Specifically, COOH\* only binds to S sites in  $[NIF_{3}S_{4}]$  clusters, but binds to both S

<span id="page-7-0"></span>

Fig. 3 Faradaic efficiencies (FEs) for CO,  $CH_4$  and  $H_2$  production by greigite, violarite  $(Fe/Ni = 1)$  and violarite  $(Fe/Ni = 1)$  modified with triethylamine (TEA) or polyallylamine (PAH) under a potentiostatic condition of −1.3 V versus SHE for 30 min [[40](#page-15-0)]. The electrolyte pH was 5.5



Fig. 4 Free energy diagram for CO<sub>2</sub> reduction on  $[Fe_4S_4]^{2-}$  (left top and right bottom) and [NiFe<sub>3</sub>S<sub>4</sub>]<sup>2-</sup> (left bottom and right top) cubane complexes based on DFT calculations reported by Nørskov et al. [\[41\]](#page-15-0)

and Fe in  $[Fe<sub>4</sub>S<sub>4</sub>]$  clusters. Interestingly, a further decrease in the activation energy was predicted by additional Ni substitution, but only one or zero Ni-substituted cluster was predicted to be stable during the catalytic cycle. Notably, however, the computational study by Nørskov et al. did not consider the function of amino acid <span id="page-8-0"></span>residues in the activation process of  $CO<sub>2</sub>$  reduction. As we reported previously, amine compounds play a cooperative role with Ni sites for electrochemical  $CO<sub>2</sub>$ reduction [\[40](#page-15-0)]. Therefore, a DFT study on the role of amino acids in this process is expected to provide new insights into the differences between CODH and abiotic catalysts comprised of NiFe<sub>3</sub>S<sub>4</sub> clusters, and aid in incorporating the function of the 'frustrated Lewis acid-base pair motif' into man-made electrocatalysts.

An interesting and unanswered question is how prebiotic catalysts for  $CO<sub>2</sub>$ reduction evolved from readily available elements in the environments, and further, how these catalysts were functionalized to achieve low  $n$  and high FE. Concerning these points, numerous hypotheses related to chemical evolution in the prebiotic ocean and the early evolution of energy metabolism in ancient Earth have been proposed  $[3-5, 42]$  $[3-5, 42]$  $[3-5, 42]$  $[3-5, 42]$  $[3-5, 42]$  $[3-5, 42]$ . In Sects. 6, [7](#page-9-0) and [8,](#page-10-0) we describe the intimate relationship between processes related to the Origin of Life and electrochemistry, and discuss how these processes can help shape the design rationale for heterogeneous electrocatalysts for efficient  $CO<sub>2</sub>$  reduction.

#### 6 Prebiotic Organic Synthesis by Metal Sulfides

Hydrothermal vents in the deep sea floors are surrounded by diverse ecosystems that are sustained by the chemical energy within hydrothermal fluid [\[43](#page-15-0)]. It is generally considered that the hydrothermal environment played a crucial role in the emergence of life on primordial earth. Although it remains a topic of debate as to whether primordial life was autotrophic or heterotrophic, the discovery of hydrothermal vents in the 1970s led researches to consider that the first species were autotrophic in nature [[44\]](#page-15-0). One of the main problems of the autotrophic origin theory is the process of carbon fixation; namely, how were organic compounds continuously synthesized at hydrothermal vent? In an attempt to answer this question, Wächtershäuser proposed that  $CO<sub>2</sub>$  reduction proceeded at the surface of pyrite  $(F \times S_2)$  [\[45](#page-15-0), [46\]](#page-15-0). In this model, organic molecules synthesized on pyrite self-organized to form the first metabolizing cell. The driving force of  $CO_2$  reduction in this system is the oxidative formation of pyrite reduction in this system is the oxidative formation of pyrite  $(4CO_2 + 7H_2S + 7FeS \rightarrow (CH_2-COOH)_2 + 7FeS_2 + 4H_2O, G^O = -420 \text{ kJ/mol}).$ This hypothesis is supported by the experimental observations that thiol  $(CH_3S^-)$  is formed from  $CO_2$  in the presence of FeS [\[47](#page-15-0)]; acetic acid is formed from  $CH_3SH$ and CO on iron-nickel sulfide [\[5](#page-13-0)]; and peptides are formed from amino acids with CO and iron-nickel sulfide [\[48](#page-15-0)].

In contrast to Wächtershäuser's hypothesis, Russell proposed that  $CO<sub>2</sub>$  in ocean water reacts with  $H_2$  contained in alkaline hydrothermal fluid in three-dimensional nano- or micro-sized cavities composed of iron sulfides [[49](#page-15-0)]. The main difference between the hypotheses of Wächtershäuser and Russell is that the former considers FeS as an energy source for  $CO<sub>2</sub>$  reduction, whereas the latter treats FeS as a component for the reactors, with  $H_2$ , rather than FeS, acting as the electron donor for  $CO<sub>2</sub>$  reduction. Of note, iron sulfides, particularly Nickelian mackinawite

<span id="page-9-0"></span> $(Fenis<sub>2</sub>)$ , Ni-containing greigite (NiFe<sub>5</sub>S<sub>8</sub>), and violarite (FeNi<sub>2</sub>S<sub>4</sub>), are speculated to act as the "catalysts" for  $CO<sub>2</sub>$  reduction in the cavities proposed in Russell's model, as these materials share structural similarity to [Ni–Fe] hydrogenase, the C-cluster in CODH, and the ACS active site A-cluster, respectively [\[39](#page-14-0)]. Russell et al. further hypothesized that  $H_2$ , when adsorbed onto and/or absorbed into the mineral cubane sites, is dissociated into a proton, electron, and reactive hydrogen atom (H<sup>∙</sup>), which then reduces  $CO<sub>2</sub>$  to  $CO$  [\[42\]](#page-15-0). Subsequently, the generated  $CO$ reacts with methane thiol (CH<sub>3</sub>SH) produced in the Earth's crust  $[45]$  $[45]$  to form thioester acetyl methylsulphide, which is then hydrolyzed to acetate [\[5](#page-13-0)].

## 7 Bioelectrochemical CO<sub>2</sub> Reduction at Deep-Sea Hydrothermal Vents

To explain how processes related to the Origin of Life and electrochemistry are being merged, we introduce our recent findings regarding the energetics of  $CO<sub>2</sub>$ reduction at deep-sea hydrothermal vents. In 2010, we reported that deep-sea hydrothermal vents function as naturally occurring electrochemical fuel cells, which are powered by the reductive chemicals stored in the Earth's crust [[50\]](#page-15-0). We found that natural minerals dominated by  $CuFeS<sub>2</sub>$  and  $FeS<sub>2</sub>$  from Black smoker chimneys in the Mariner hydrothermal field display excellent metallic electrical conduction over a 10 cm distance. In addition, we also demonstrated that deep-sea minerals promote the electrocatalytic oxidation of  $H_2S$  and  $H_2$ , as well as the electroreduction of  $O_2$  and ferric ions. These findings led us to propose that a new type of energy propagation for  $CO<sub>2</sub>$  reduction and carbon assimilation occurs in the deep floor. In addition to the reductive chemicals, such as  $H_2$  and  $H_2S$ , emitted from hydrothermal vents, we speculate that high-energy electrons from hot reduced hydrothermal fluid are directly delivered to cold oxidative seawater in the form of electrical current, with the same operation principals as electrochemical fuel cells (Fig. [5](#page-10-0)).

Based on our experimental findings, together with the hypothesis of Russell et al. that Ni/greigite may be the evolutionary origin for the NiFe<sub>3</sub>S<sub>4</sub> cluster in CODH [\[39](#page-14-0), [42\]](#page-15-0), we also investigated the energetics for electrocatalytic  $CO<sub>2</sub>$  reduction by FeNi<sub>2</sub>S<sub>4</sub> [\[40](#page-15-0)]. Through the examination of potential-dependent  $CO_2$  reduction activity, it was found that  $CO<sub>2</sub>$  reduction by  $H<sub>2</sub>$  in hydrothermal fluids involves a strong endergonic electron transfer reaction. Therefore, a naturally occurring proton-motive force (PMF) as high as 200 mV must be established across the hydrothermal vent chimney wall. These findings are consistent with the speculation that highly alkaline hydrothermal vents in the Hadean ocean are the most likely system that supported prebiotic organic synthesis [[42\]](#page-15-0), as the chimney interior of these hydrothermal vents has a pH of 9–10 and the outer walls are bathed in ocean water with a pH of 5–6, generating a PMF of 180–300 mV. Of note, in addition to the PMF, steep temperature difference between hydrothermal fluid and sea water

<span id="page-10-0"></span>

Fig. 5 Proposed model for electrical current generation in the chimneys of deep-sea hydrothermal vents [\[50\]](#page-15-0). Electrons generated via the oxidation of H2S in hydrothermal fluid (Reductive Zone) are transported through the conductive chimney wall to sea water (Oxidative Zone) where they reduce  $Fe<sup>3+</sup>$ , O<sub>2</sub>, and CO<sub>2</sub>

realized by low thermal conductivity of natural minerals resulted in the generation of high reduction energy, which is comparable to the photosynthetic  $CO<sub>2</sub>$  fixation center (Fig. [6](#page-11-0)) [\[51](#page-15-0)]. Therefore, the geochemical 'settings' of electrochemical reactors in the Hadean Ocean satisfy the thermodynamic and kinetic preconditions that are necessary to initiate the electroreduction of  $CO<sub>2</sub>$  to hydrocarbons. However, it is interesting to consider how prebiotic catalysts for  $CO<sub>2</sub>$  reduction were functionalized to achieve low  $\eta$  and high FE in these naturally occurring electrochemical fuel cells.

## 8 Evolution of Active Cores of CODHs and Challenges for the Design of Efficient  $CO<sub>2</sub>$  Reduction Catalysts

Finally, to help solve the questions related to the functionalization of prebiotic  $CO<sub>2</sub>$ reduction catalysts, here, we discuss the importance of N-containing molecules in  $CO<sub>2</sub>$  conversion, particularly focusing on the role of C–N bonding and N-assisted  $CO<sub>2</sub>$  reduction in the evolution of chemical reactions underlying the emergence of primitive life forms, and the implications of these processes in the design of electrocatalysts for the reduction of  $CO<sub>2</sub>$  to hydrocarbons.

As discussed in Sects. [3,](#page-3-0) [4](#page-4-0) and [5,](#page-5-0) N-containing species functions as cocatalysts for electrochemical  $CO<sub>2</sub>$  reduction by either stabilizing the reduced  $CO<sub>2</sub>$  intermediates or directly activating  $CO<sub>2</sub>$  molecules by weakening the C=O bond via the concept of frustrated Lewis acid-base pair motif. We therefore speculate that

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Fig. 6 a (top) The schematic image of the components of natural photosynthetic system, and (bottom) the energy diagram of each component; photosynthetic electron transfer chain. **b** Temperature and pH dependence of the standard redox potential of H<sup>+</sup>/H<sub>2</sub> couple. Based on our results for electrochemical  $CO<sub>2</sub>$  reduction on violarite, a pH gradient of larger than 4 between hydrothermal fluid and ocean water is required to promote electrochemical  $CO<sub>2</sub>$  reduction on the surface of iron sulfide. Considering the large temperature difference between hydrothermal fluid (573 K) and ocean water (277 K), the driving force of the reaction should be high. The pH and temperature gradient between hydrothermal fluid and sea water can provide alkaline hydrothermal vent with the reduction power as high as that of photosynthetic  $CO<sub>2</sub>$  fixation center (PSI)

N-containing compounds in the deep-sea hydrothermal environment could aid in the conversion of oceanic  $CO<sub>2</sub>$  into organics or even the formation of C–N bonded compounds. From a thermodynamic perspective, the potential gradient generated from the pH, temperature, and redox disequilibrium between hydrothermal vents and acidic ocean is favorable for the reduction of nitrite/nitrate, which might exist in the oxidative ocean environment, to ammonia ( $E^0 = 0.897 - 0.875$  V vs. RHE) (Fig. 6). During the reduction, in situ-formed amine-like species have the potential to coordinate with  $CO<sub>2</sub>$  to reduce the overpotential for  $CO<sub>2</sub>$  reduction and/or form C–N bonded compounds, such as urea. Of particular note is that such a C–N bond forming reaction has already been demonstrated by Shibata et al., in which urea was synthesized via simultaneous electrochemical reduction of  $CO<sub>2</sub>$  and nitrite/nitrate using Cu as an electrode [\[52](#page-15-0), [53](#page-15-0)].

Although deep-sea electrochemical reactors are theoretically feasible to drive  $CO<sub>2</sub>$  reduction and C–N bond formation, determining how these inorganic minerals functioned, at least with activity comparable to their enzymatic counterparts, remains challenging. In addition, a large gap exists in our understanding of the related function of generally inactive artificial catalysts and robust bioenzymes. Russell et al. [[3,](#page-13-0) [54\]](#page-15-0) assumed (Fig. [7](#page-12-0)) that the mineral counterparts were the original precursors to various present-day enzymes, including [NiFe]-hydrogenases, CODH,

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Fig. 7 Left Structural comparisons between transition element sulfides and oxides found in the precipitate membranes with the active sites of metalloenzymes present in the Last Universal Common Ancestor (LUCA) [\[39\]](#page-14-0): **a** [Fe(OH)<sub>2</sub>]<sub>n</sub> (cf. brucite) with methane monooxygenase, b greigite with acetyl CoA synthase, c violarite with CODH, d nickelian mackinawite with [NiFe] hydrogenase. Right The hypothetical proto-bioelectronic and proto-bioprotonic circuitry and channels in the inorganic membrane that feed the redox and proton disequilibria to the putative carbon-fixing and pyrophosphatase nano engines [[54](#page-15-0)]

nitrate reductase, methane monooxygenase, methanol dehydrogenase, and pyrophosphate synthetase, acting as molecular engines. Russell et al. [[3,](#page-13-0) [54\]](#page-15-0) also assumed that the mineral counterparts convert redox and proton gradients to drive the endergonic reactions required for the initial stages of metabolism and ultimately life itself. This proposed theory inspired us to not only study the possible abiotic formation of C–N bonded compounds, but also to obtain insight into the design of CO2 reduction systems from the catalytic functions of extant enzyme active centers. There should be some evolutionary reasons for the elemental strategy that nature has selected, such as energetic and kinetic priority towards some critical reactions. We speculate that C–N bonding reactions via simultaneous reduction of  $CO<sub>2</sub>$  and nitrite/nitrate [\[52](#page-15-0), [53](#page-15-0)] may be one such critical reaction and therefore deserve detailed analysis to test the feasibility of the deep-sea emergence of primitive life theory.

The electrocatalytic formation of C–N bonds may allow for the synthesis of complex chemicals, such as urea, urethane derivatives, amides, carbamates, and isocyanate [\[55](#page-15-0)], by the insertion of  $CO<sub>2</sub>$  into other N-containing species. Such C–N bonded compounds comprise a large family of basic organic chemicals and fuels. It is expected that the coupling of different (reduced) N-compounds with  $CO<sub>2</sub>$ -derived species may lead to the formation of various kinds of C–N bonded compounds. Although the utilization of  $CO<sub>2</sub>$  to synthesize such C–N bonded compounds through thermal catalysis has already been commercialized, high temperature/pressure conditions are typically required, which are energy intensive

<span id="page-13-0"></span>to maintain [\[56](#page-15-0), [57](#page-15-0)]. We expect that better catalysts based on earth-abundant elements could be developed by understanding the bio-enzymatic functions, which could achieve the  $CO<sub>2</sub>$  reduction or C–N bonding with high efficiency under mild conditions.

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