

# Chapter 2

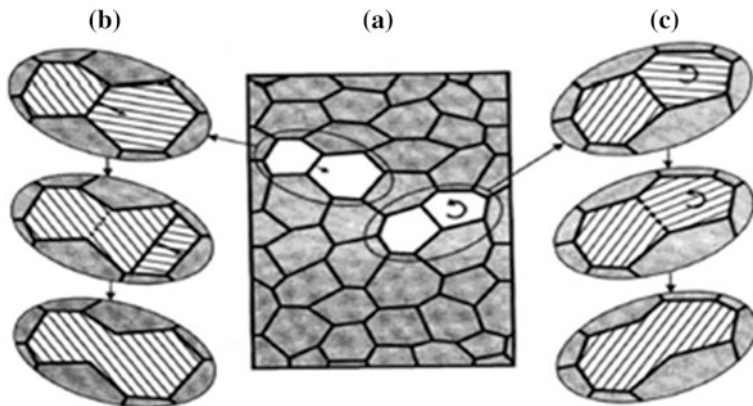
## Grain Growth and Nanomaterials Behavior at High Temperatures

**Abstract** Current developments in kinetic and thermodynamic stabilization of grains in NMs-based metals, alloys and HMPC at high temperatures are generalized and discussed in detail. Special attention is paid to a possible quantitative estimation with using the regular solution approximation by considering both inner regions of nanograins and their interfaces. Recent data on abnormal grain growth are also considered. Practical application examples concerning bulk and film/coating objects are given and some unsolved problems are presented.

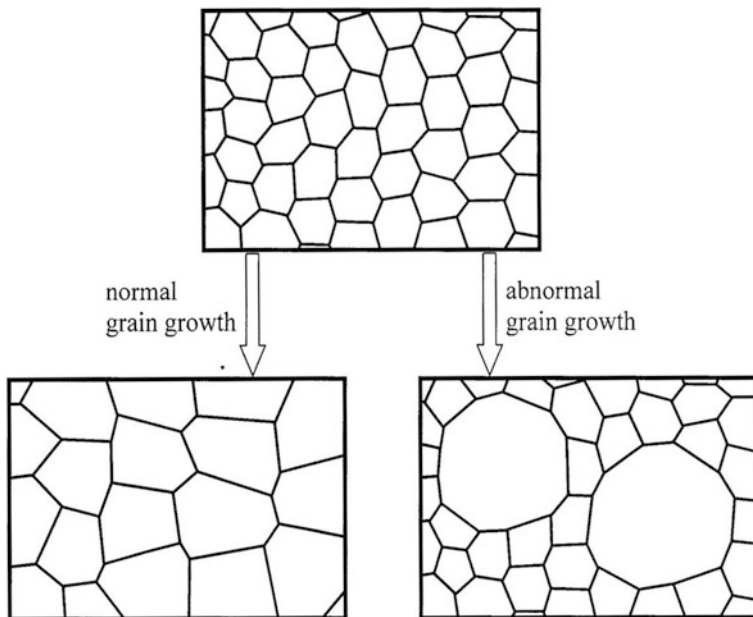
### 2.1 General Considerations

Many of the below described structural and functional NMs are specially destined to be used at high temperatures (for example, heat-resistant nanocomposites and tools, materials for friction assemblies and electric contacts, catalysts, emitters, sensors, etc.). For these objects, a behavior under heating accompanied by a grain growth (GG) and other processes is obviously very important. The main stimulus for grain GG is the  $\Delta G$  decrease at the sacrifice of GBs component. It is known that the neighbor grains number in honeycomb-type structures with the TJs angles  $120^\circ$  (so-called equilibrated TJs) is equal to six and it is presumed that such structures can remain thermally stable for a very long time. Thus, the basic drive forces of GG processes are the GBs curvatures and neighbors number deviations in both sides though a growth is also connected with the GBs mobility. The theoretical description of grain growth in the usual CG materials and NMs is given by Ovid'ko in Chap. 3 of monograph [1].

In the majority of cases, CG objects are characterized by a cooperative homogeneous grain displacement and merging when their lognormal or normal GS distributions preserve (Fig. 2.1b). In NMs grain growth proceeds owing to their rotation as well (Fig. 2.1c). Also, an abnormal grain growth is observed which is accompanied with the appearance of CG seats or centers absorbing their smaller neighbors as it is in Fig. 2.2 with possible formation of a new bimodal GS distribution. Such abnormal grain growth is also characteristic in some NMs produced by



**Fig. 2.1** Scheme of grain growth: **a** Initial state. **b** Migration and boundary merging. **c** Rotation and boundary merging (adapted from [1])



**Fig. 2.2** Scheme of normal grain growth and abnormal that (adapted from [1])

severe plastic deformation (SPD) methods, where heating (besides grain growth) is attended with the removal of the crystal lattice micro- and macro distortions, as well as other relaxation effects (see [2, 3] for more details).

The mechanism of the rotational grain growth in NMs is imperfectly studied and therefore some recent investigations in this direction should be marked. It was

shown through using molecular dynamics (MD) simulation that in nanostructures GBs migration and grains rotation can occur simultaneously, especially for small GS [4]. The velocity of grain rotation and its contribution to the general GG became less important as a result of GBs migration, which caused an increase in GS during grain growth. It was also mentioned that the rotation velocity depends on the conjunction geometry of touching grains [5]. By the example of  $Y_2O_3$ , it was shown that during the rotation of 20–200 nm grains low-energy/low-angle boundaries are formed in the 600–1000 °C range [6]. An interesting example of a collective grain rotation was observed in TiN and NiO nanocrystalline layers irradiated by high energy Au ions ( $E = 360$  MeV) [7].

## 2.2 Some Theoretical Approaches and Modeling

As applied specially to consolidated NMs, in order to retard the nanograin growth (and correspondingly to prevent the nanostructure degradation), one can use the following methods: retardation by the second phase inclusions or by pores; change of the initial GS; decrease in the grain mobility by alloying (including segregation formation on GBs) and the decomposition of high-temperature spinodal. The GB migration velocity ( $v$ ) under the action of own curvature is usually described by the traditional relationship:

$$v = M \cdot P = M_0 \exp\left[\frac{-Q_m}{RT}\right] \cdot \frac{2\gamma_g}{r_g}, \quad (2.1)$$

where  $M$  is the GBs mobility,  $M_0$  is a pre-exponential factor,  $P$  is the driving force,  $Q_m$  is the activation energy of the boundary moving process,  $\gamma_g$  is the GB energy, and  $r_g$  is the average grain radius. By convention, the grain retardation process is usually named a *kinetic* approach (when the process is a consequence of the driving force) or a *thermodynamic* one (when it is a result of the driving force decrease at the sacrifice of the GB energy reducing). Theoretically, the both approaches have been derived into a different degree, but the GG retardation due to the micro/nano inclusions is more widely used in material science. The GG retardation due the inclusions (so-called Zener pinning mechanism) is described by the equation:

$$P_z = \frac{3V_r\gamma_g}{2r_i}, \quad (2.2)$$

where  $P_z$  is the Zener drag force and  $V_r$  is the volume fraction of randomly distributed spherical inclusions with radius  $r_i$ . It is clear from (2.2) that the effective GG retardation can be achieved by increasing the number of inclusions and/or decreasing their radius.

In the frame of kinetic approach, the influence of nano inclusions, pores, TJs, and quaternary points on thermal stability was analyzed by Novikov, Shwindlerman et al.

(e.g., [8–12]). From their results, it is worthy to mention that the role of TJs and quaternary points in retardation process can be described by the expression:

$$v = \frac{\gamma_g MK}{1 + 1/\Lambda + 1/\Lambda^*}, \quad (2.3)$$

where  $K$  is the GBs curvature,  $\Lambda = aM_T/M$ , and  $\Lambda^* = a^2M_Q/M$ , where  $a$  is the spacing between triple lines, and  $M_T$  and  $M_Q$  are the mobilities of TJs and quaternary points, respectively [11]. The numerical estimations using expression (2.3) have demonstrated [10] that the junction contribution in the nanostructure stabilization increases with decreasing initial GS. It is of interest that the process is especially well detectable at low temperatures, but after heating some inversion is detected and the boundaries with junctions become more mobile.

The thermodynamic analysis allowed determination the sign and value of the TJs linear extension, which was found to be positive and equal to  $(6.03 \pm 3.0) 10^{-8}$  J/m [12]. In its turn, this value led to a more correct estimation of the GG driving force, and it was shown for Cu that a critical mean GS (below which the TJs values must be properly accounted in the estimation of boundary migration) is about 55 nm.

The numerical models of nanoparticle movement during GBs migration have revealed some dualism in a nanostructure evolution, which consists in the fact that the nanoparticles presence (depending on their volume content and total GBs migration) not only can decrease grain mobility, but also assists its increase leading to an abnormal grain growth [8, 9]. Consideration of various versions for the interaction of pores and GBs has shown that processes of grain growth and pore annihilation under annealing can proceed with different kinetic parameters depending on the component size and mobility [13].

To calculate the GBs mobility, thermodynamic studies of two-component nanosystems were carried out that allowed estimation of  $\Delta G$  value for alloys with taking into account not only a concentration factor but also the presence of nanograins [14–17]. The key moment in these studies was to apply the well-known regular solution approximation to both bulk and GB regions of nanocrystals. Finally, the equation for the  $\Delta G$  value took the form [15, 16]:

$$\Delta G = (1 - f_b) \Delta G_g + f_b \Delta G_b + z\psi f_b (X_b - X_g) \left[ (2X_b - 1)\omega_b - (zt)^{-1} \left( \Omega^B \gamma_g^B - \Omega^A \gamma_g^A \right) \right], \quad (2.4)$$

where  $\Delta G_g$  and  $\Delta G_b$  are the Gibbs free energies for inner grain regions (g) and GBs those (b);  $f_b$  is the volume fracture of GB regions defined by relation:

$$f_b = 1 - \left( \frac{L-t}{L} \right)^3, \quad (2.5)$$

where  $L$  is GS;  $t$  is the thickness of GB region (usually equals 0.5–1 nm);  $z$  is the coordination number for bulk material A;  $\psi$  is the fraction of atoms with interatomic

bonds in GBs regions;  $X_b$  and  $X_g$  are the solute concentrations in the GB and inner regions which must satisfy the condition of the average concentration  $X = f_b X_b + (1 - f_b) X_g$ ;  $\Omega^A$  and  $\Omega^B$  are the component atomic volumes of alloy A–B components (addition);  $\gamma_g^A$  and  $\gamma_g^B$  are the partial energies of their GBs; and  $\omega_b$  is the interaction parameter for the GB regions, used in the regular solution approximation defined as a function of the paired interaction energy ( $E$ ) by expression:

$$\omega_b = E^{AB} - \frac{E^{AA} + E^{BB}}{2} \quad (2.6)$$

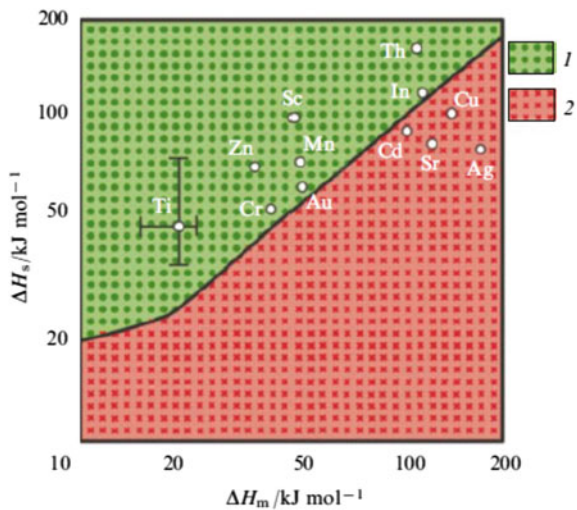
The thermodynamic properties of  $\Delta G$  surfaces and their minima can be calculated using these equations and varying  $L$  values, which allows finding characteristic for the most thermally stable nanoalloys. The different situations of  $\Delta G$  minimization applied to GG and phase decomposition (variation of content, change in temperature and interaction parameters, absence of  $\Delta G$  minimum, different combinations of mixing and segregation enthalpies, presence of metastable nanoalloy, formation of two-phase nanocomposites, and so on) were analyzed thoroughly in [16].

Figure 2.3 shows the segregation enthalpy  $\Delta H_S$  dependence on the mixing enthalpy  $\Delta H_M$  for various W-based alloys at 1100 °C [15].

The segregation and mixing enthalpies were calculated with the following formulae:

$$\Delta H_S = z \left[ \omega_g - 0.5\omega_b - \frac{(\Omega^B \gamma_g^B - \Omega^A \gamma_g^A)}{2zI} \right] \quad (2.7)$$

**Fig. 2.3** Stability map of tungsten-based alloys at 1100 °C: 1 and 2 are the stability regions of nanocrystalline and CG W alloys, respectively (adapted from [15])



and

$$\Delta H_M = z\omega_g X(1 - X), \quad (2.8)$$

where  $\omega_g$  is defined analogously to expression (2.6).

As indicated in Fig. 2.3, the nanocrystalline state is fixed in alloys for which the corresponding points lie above the  $\Delta H_S = f(\Delta H_M)$  curve, whereas the CG objects correspond to the bottom part (2) of the diagram.

The same approach-based regular solution approximation for inner and GB regions [14] was used in [17], where the temperature effect was studied in Fe–Zr, Cu–Zr, Cu–Nb, and Ni–W alloys with a varying content of second component. The authors [17] have accounted the role of elastic contribution into the alloy formation in the  $\Delta G$  calculations (apart from pure chemical interactions). The results showed that the most marked decrease in the grain growth under nanoalloy annealing is observed for zirconium added with small amount of iron.

Another method for GB and inner regions account was developed in [18–20] where authors took into consideration the difference in the excess free volume  $\Delta V$  between GBs and bulk material. Finally, the relations for estimation of enthalpy  $H$ , entropy  $S$  and free energy  $G$  were derived as follows:

$$H = X_b H_b(\Delta V, T) + (1 - X_b) H_g(T), \quad (2.9)$$

$$S = X_b S_b(\Delta V, T) + (1 - X_b) S_g(T), \quad (2.10)$$

$$G = X_b G_b(\Delta V, T) + (1 - X_b) G_g(T), \quad (2.11)$$

where  $\Delta V = [(V_b/V_g) - 1]$ ,  $V_b$  and  $V_g$  are volumes of primitive cells in the GB and inner regions, respectively. The formula for  $\Delta V$  assumes some excess free volume in the systems due to non-ordered arrangement of atoms in GB regions ( $V_b > V_g$ ). The  $\Delta V$  values and thermodynamic functions for GB regions (including heat capacity and Debye temperature) are taken with regard to experimental data. The method has been used to estimate the thermal stability and phase transformations of some Sm–Co system compounds, such as  $\text{SmCo}_5$ ,  $\text{Sm}_2\text{Co}_{17}$ ,  $\text{SmCo}_7$ , etc. [18–22].

The thermodynamic stability of binary nanoalloys was analyzed also by a new Monte-Carlo modeling method [23], where it was proposed to correct the two-phase alloys stability map (see Fig. 2.3) by appending two additive regions describing the decomposition of CG alloys and duplex nanoalloys. Other theoretical studies estimated the spinodal decomposition conditions for the  $\text{Al}_2\text{O}_3$ – $\text{ZrO}_2$  and TiN–SiN quasi-binary systems as well as investigated the behavior of interfaces in multi-layer TiN/SiN/TiN nanocomposites [24–28]. In some studies [24–26], the thermodynamic approach was combined with the density function theory (DFT), which allowed calculation not only the thermodynamic characteristics ( $\Delta G$ ,  $\Delta H$ , etc.), but also the electronic and crystal structure parameters, as well as the material elastic modulus. The calculations revealed that in the metastable TiN–SiN system the dome top of spinodal and bimodal decomposition occurs at about  $T \sim 3200$  K,

and below 1273 K the system practically is two-phased [24]. The peculiarities of interface thermal stability in various one-layer TiN/Si<sub>3</sub>N<sub>4</sub> structures were investigated in the 0–1400 K range by using the so-called first principles method of MD [27, 28]. In particular, it was marked that the thermal instability of SiN cubic modification can be assigned to the vacancy formation in the silicon sublattice.

At the present time, it is difficult to give preference to any of described theoretical approaches notably, taking into account that the calculations by formulae (2.1–2.11), often are impossible because of the necessary thermodynamic data absence.

Furthermore, there is a general problem of the classical thermodynamics applicability to nanoscale objects. For example, Rusanov [29] supposes that the traditional notions, connected with surface energy, are acceptable as a whole only for isolated nanoparticles sizing over 10 nm. At sizes under 1 nm, any nanoparticle (or nanolayer) practically can acquire the surface properties, i.e., it transforms into a distinctive physical state (distinguished from a volume phase) and this fact requires a special approach. The size range of 1–10 nm is an intermediate one and in each particular case must be considered specially. Another lower limit for nanocrystal sizes was marked by Glezer [30], who pointed that the nanocrystallinity notion disappears with disappearing the symmetry elements characteristic for the given class of crystals. In other words, the meaning and significance of symmetry elements preserve only to the limit when their sizes are commensurable with the three coordination spheres. Then, the minimal critical crystallite size for the body-central cubic (BCC) and face-central cubic (FCC) structures is about 0.5 nm ( $\alpha$ -Fe) and 0.6 nm (Ni), respectively. From the above mentioned data, it can be tentatively supposed that the GBs thickness (even with accounting the near boundary regions) is close to the lower limit of the classical thermodynamics applicability. In general, the question of the GB region behavior remains open and requires the further experimental and theoretical studies.

## 2.3 Main Experimental Results

### 2.3.1 Bulk Nanomaterials

The thermal stability of consolidated NMs was studied by many authors (see [1, 31–35] and references therein), therefore our attention below will be paid to recent results. Of them, new findings in support for the above described approaches seem to be the most important. In Table 2.1, the experimentally obtained GS values for the Cu–5 at.% Zr alloy samples annealed at 300–700 °C [36] are compared with values calculated by method described in [17]. It is easily seen that the difference is about 4–10 times, but these results can be accepted as satisfactory in regard to the model approximations [17].

**Table 2.1** Experimental and calculated values of GS in annealed Cu–5 at.% Zr alloy

| $T$ (°C) | Grain size (nm)          |                        |
|----------|--------------------------|------------------------|
|          | Experimental values [36] | Calculated values [17] |
| 300      | 9                        | 35                     |
| 500      | 15                       | 55                     |
| 700      | 22                       | 230                    |

The suggested presence of the nanocrystalline state in the W–Ti alloy in [15] (see Fig. 2.3) was verified in experiments with the W–20 at.% Ti powder (the initial nanograins size of 20 nm), which practically did not change after weekly annealing at 1100 °C. At the same annealing conditions, the GS in non-doped tungsten was about 600 nm [15, 37].

Thus, the developed theoretical methods [14–17] are acceptable for estimation of the metallic nanoalloy thermal stability. It is also important that the possible existence of W–20 at.% Ti alloys in a nanocrystalline state has been independently predicted by the Monte-Carlo calculations [37]. The study of titanium distribution in W–20 at.% Ti alloys nanostructure revealed its heterogeneous character because of the content variations in the range from 0 to 50 at.% [15, 37], i.e., under the considered conditions the alloy thermal stability can be explained not only by the free energy decrease, but also by the appearance of segregation inclusions and Zener pinning mechanism. The same situation (i.e., with the action of two mechanisms) can take place in the Cu–5 at.% Zr alloy annealed at 700 °C, when difference between the experimental and theoretical GS values (based on accounting one mechanism) was maximal (see Table 2.1).

To compare experimental findings of different studies concerning the effectiveness and congruence of both the kinetic and thermodynamic approaches for description of nanograin thermal growth retardation, the corresponding data were normalized to the melting temperature  $T_m$  in the homological temperature scale by Koch et al. [38]. From the other side, only the results for systems with a GS of under 100 nm in annealed samples were taken in account in [38]. Its authors only used most reliable data provided by TEM and XRD methods. The alloy-based Al, Mg, Cu, and Fe samples with nanoinclusions of  $Al_2O_3$ , AlN,  $ZrO_2$ , and Nb proved to be thermally stable at high homological temperatures up to (0.75–0.85)  $T_m$ , whereas the alloy-based Ni, Co, Fe, Y, RuAl, and  $TiO_2$  systems with additions of W, P, Zr, Fe, and Ca exhibited lower thermal stability up to (0.35–0.65)  $T_m$ .

High thermal stability was fixed for copper alloys with tungsten and tantalum additives (10 at.%), prepared by a high-energy milling at cryogenic temperature of the initial powders with their following pressing and sintering [39, 40]. In other experiments, the initial GS of Cu–W alloys was of  $\sim 15$  nm, but after annealing the grains had  $L$  of  $\sim 60$  nm [37]. In Table 2.2, the results of more detailed investigation of the Cu–Ta alloys are presented. They show a high enough thermal stability of the objects.

For comparison, it is worthy to mark that the microhardness of Cu–10 at.% W alloys in the initial and annealed states equals about 3–2.6 GPa, correspondingly [38], i.e., it is lower than the values obtained in [40] (see Table 2.2). After detailed



**Table 2.2** Evolution of GS and microhardness after annealing Cu–10 at.% Ta under pure H<sub>2</sub> gas (for 4 h) (adapted from [40])

| Annealing temperature |             | Grain size (nm) |           | Microhardness (GPa) |
|-----------------------|-------------|-----------------|-----------|---------------------|
| (°C)                  | ( $T/T_m$ ) | Cu phase        | Ta phase  |                     |
| 20                    | 0.22        | 6.7             | 6         | 4.8                 |
| 540                   | 0.6         | 21 ± 4          | 22 ± 5    | ~ 3.6               |
| 770                   | 0.77        | 54 ± 9          | 37 ± 9    | n/d                 |
| 900                   | 0.87        | 111 ± 26        | 42.5 ± 25 | 3.4                 |
| 1040                  | 0.97        | 167 ± 50        | 99 ± 99   | 2.6                 |

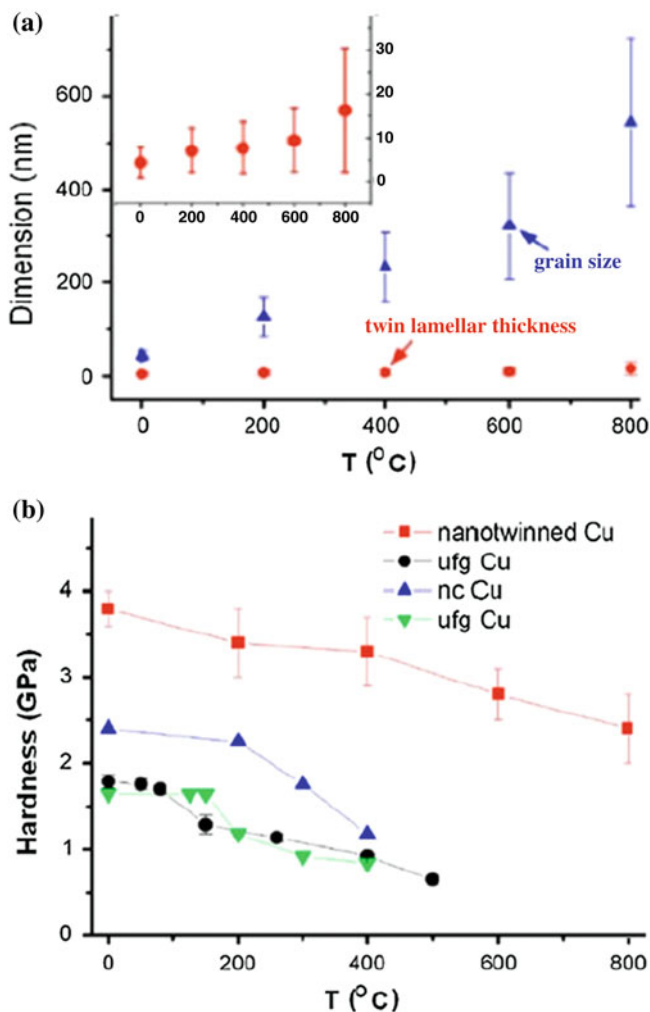
consideration of different mechanisms of thermal stability connected with TJs, doping, inclusions, segregations, etc., the authors [40] made a conclusion that the observed high thermal stability of such Cu–Ta alloys was connected with the formation and following decomposition of tantalum segregations at the GB regions, i.e., with some combined action of the thermodynamic and kinetic mechanisms. The latter comes at high temperatures as a result of the GB layer decomposition into inclusions (nanoclusters by terminology of authors [40]), which retards GG within a high temperature interval. This conclusion was verified by the MD calculations and sample structure experimental studies using TEM [41].

A significant role of the kinetic mechanism in the thermal stability due to the retarding action of the nanoinclusions was marked also for the Cu–Nb, Ni–Y, and Fe–Zr nanoalloys [42–44], but was not observed for Cu–Fe and Pd–Zr systems [43, 44]. The thermal stability of Cu-based nanoalloys is thoroughly considered in survey [35].

In addition to the above mentioned doping and inclusions, interfaces also retard grain growth, which can be adjusted during the NMs manufacturing processes. Figure 2.4 shows the temperature dependences of basic parameters, such as GS, lamellar spacing width, and hardness for copper samples prepared by both traditional methods of SPD and magnetron sputtering with the nanotwinned structure formation [45].

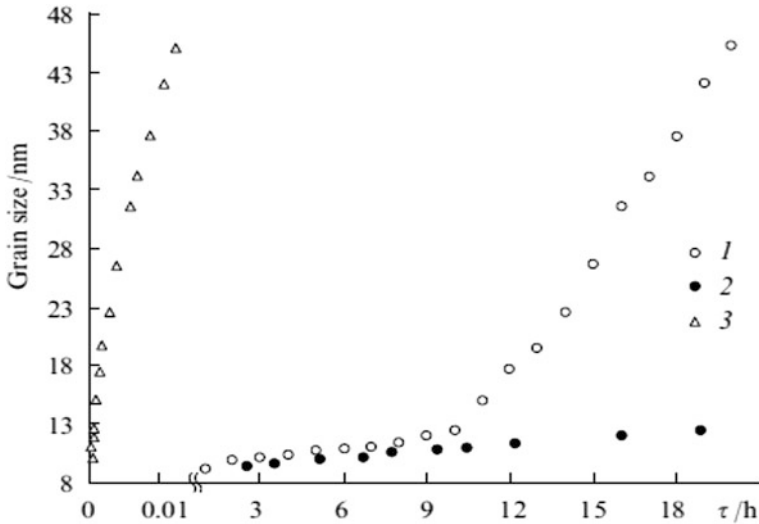
Here, one can see very weak changes in the lamellar thickness and high hardness for nanotwinned samples in distinction to the usual sufficient GS growth and hardness decrease with temperature for the common type nanostructures. This high thermal stability of the low-angle nanotwinned boundaries is related to their low GB energy, which is lower than that of ordinary high-angle boundaries by an order of magnitude. It is worthy to mention that the high electrical conductivity and wear resistance of such copper samples with a nanotwinned structure [46] is very important for various applications. Such advantages were described for nickel [47] and Cu–Nb nanocomposites [48] as well.

Let us notice that there are many situations when GG (in particular, an abnormal grain growth; see Fig. 2.2) in NMs cannot be prevented even at room temperature. The kinetics curves of the GG in nanocrystalline palladium at 20 °C are presented in Fig. 2.5, where coincidence of the experimental [49] and calculated [50] results seems satisfactory up to the beginning of abnormal grain growth (at a test duration



**Fig. 2.4** The effect of the annealing temperature on the twin lamellar thickness and GS (a) as well as hardness (b) of sputtered nanotwinned Cu films and other, more traditionally prepared, ultrafine-grained (ufg)/nanocrystalline (nc) Cu specimens (adapted from [45]). The *inlet* shows the lamellar thickness change

of over 8 h). On the other hand, when the TJs retardation action is not taken into account, the discrepancy between theory and experiment becomes very striking. But neither the presence of many TJs nor the existence of residual pores (the initial GS was of  $\sim 5$  nm and the porosity was of  $\sim 4$  %) and admixtures (0.4 at.% H, 0.2 at.% N and 0.1 at.% O) can not prevent the abnormal grain growth, which leads to the transformation of the palladium nanostructure into ordinary microstructure with GS about  $\sim 10$   $\mu\text{m}$  after 2-month exposition at room temperature [49].



**Fig. 2.5** Room temperature grain growth in nanocrystalline Pd: (1) Experimental results [49]; (2 and 3) calculated values with and without considering the TJs drag effect, respectively (adapted from [50])

An abnormal grain growth at high and room temperatures was observed in a number of experiments, including the TEM studies in situ [40, 53–55] for many other materials-based copper [40, 51], nickel [52–54], iron [55, 56], hard WC–Co alloys [57], and diamond [58]. It is worthy to notice that the listed materials were manufactured by various methods: the pulsing electrical deposition [54], pressing and sintering [49, 57], high-pressure torsion (HPT) at liquid nitrogen temperature [51], pulsing laser deposition [58, 59], intensive mechanical treatment (milling + mixing) or mechanical alloying (MA) [40, 55, 56], and sintering under high pressure ( $T = 1400$  °C,  $P = 6.8$  GPa) [58]. Naturally, the experiments with such wide diversity of objects and methods have revealed some new peculiarities in the initial and final states of material. In particular, the in situ TEM studies of nickel films made it possible to fix numerous defects in annealed samples, such as the presence of stacking-fault tetrahedra (SFT) and dislocation loops as well as the low-energetic TB formation [53, 54]. The authors [51] have revealed non-stability of copper nanostructure at room temperature and made a conclusion that the plastic deformation method at low temperatures is unpromising for NMs manufacture. The study in situ of the  $\text{Fe}_{91}\text{Ni}_8\text{Zr}_1$  alloy thermal stability has shown that the abnormal grain growth begins above 700 °C and is related to the formation of  $\gamma$ -phase crystals with a FCC structure [56].

There are many new interesting findings concerning theoretical description of abnormal grain growth partially given above in Sect. 2.2 [8–10] and also in [59, 60], but the principal aspects of this effect are still to be solved (e.g., the role of defects and admixtures, prediction of change in the grain growth mechanism from

normal to abnormal one, etc.). In conclusion of this subsection, it is worthy to mark that the recent studies of the nanoglass behavior under heating (for the Fe–Sc system samples) have revealed a relaxation process and some redistribution of excess free volume [61].

### 2.3.2 Nanostructured Films and Coatings

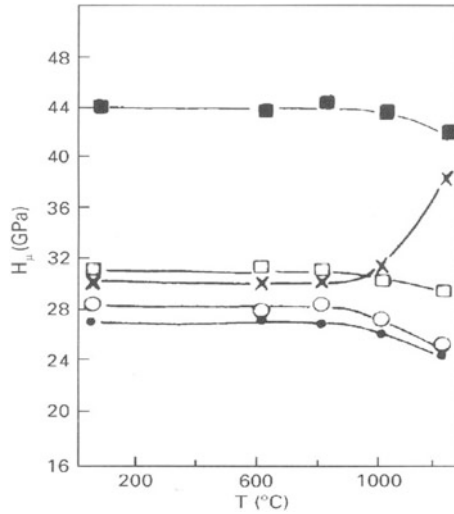
Above, some results have been presented demonstrating a high thermal stability of films with a nanotwinned structure and high-temperature nanocomposites (Fig. 2.4 [45] and [24–28]), but films and coatings themselves represent a very interesting and important field of investigations. Such nano-objects have been studied before (see [62–67] and references therein), but it is evident that they merit a more detailed consideration as convenient objects to get new important data. For example, the studies of one-/multi-layer nitride and nitride/carbide/boride films have already revealed a new class of superhard coating materials with hardness at the level of diamond and boron nitride (BN) (Table 2.3).

The results presented in Table 2.3 were obtained contemporaneously and independently of one another by investigators in many countries (USA, Sweden, former USSR, Austria, and Germany), and they all demonstrated the films high thermal stability as well as a role of the high-temperature spinodal decomposition in the nitride solid solutions. Figure 2.6 reveals that the thermal stability of new materials surpasses that of diamond, amorphization of which begins at  $\sim 800$  °C. Also, it was found that the high thermal stability of (Ti, Zr)N films is connected with spinodal decomposition in the TiN–ZrN system with the nanocrystalline structure formation.

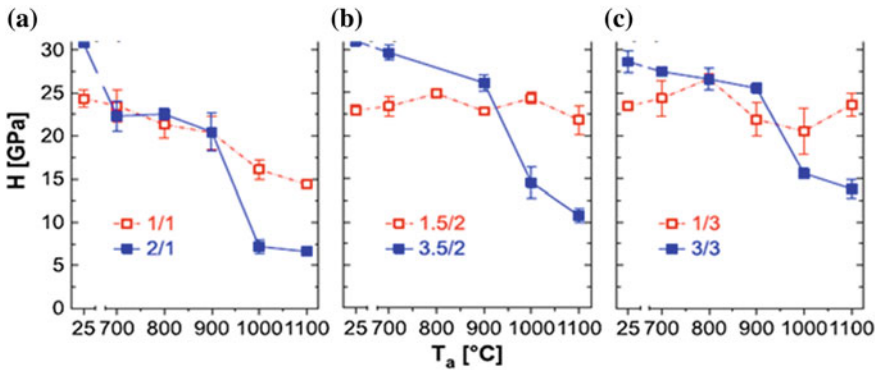
**Table 2.3** Some first results for superhard films (adapted from [64])

| Films                    | Microhardness (GPa) | Year | Authors <sup>a</sup> |
|--------------------------|---------------------|------|----------------------|
| <i>One-layer films</i>   |                     |      |                      |
| Ti(B, C) <sub>x</sub>    | $\sim 70$           | 1990 | Knotek et al.        |
| Ti(B, N) <sub>x</sub>    | $\sim 60$           | 1990 | Mitterer et al.      |
| B <sub>4</sub> C         | 50–70               | 1992 | Veprek               |
| <i>Multi-layer films</i> |                     |      |                      |
| TiN/VN                   | 54                  | 1987 | Helmerson et al.     |
| TiN/NbN                  | $\sim 78$           | 1992 | Andrievski et al.    |
| TiN/NbN                  | 48                  | 1992 | Shinn et al.         |
| TiN/ZrN                  | $\sim 70$           | 1992 | Andrievski et al.    |

<sup>a</sup>See [64]



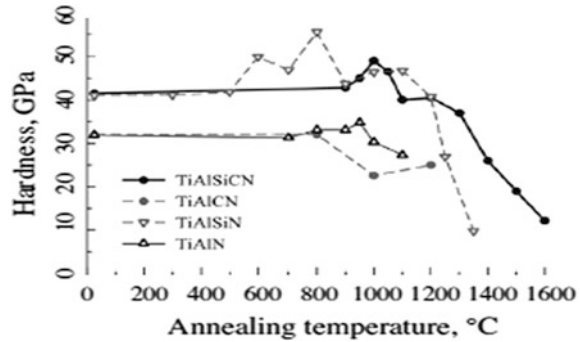
**Fig. 2.6** The temperature effect on microhardness 2  $\mu\text{m}$  thick nitride films. ● one-layer ZrN; ○ one-layer TiN; x solid solution (Ti, Zr)N disintegrated above 800 °C; □ TiN/ZrN (10 layers); ■ TiN/ZrN (20 layers) (adapted from [64])



**Fig. 2.7** The temperature effect on microhardness of CrN/AlN films with different thickness of individual layers: **a** 1 nm, **b** 2 nm, and **c** 3 nm thin AlN layers combined with CrN layers thicknesses of **(a)** 1 and 2 nm, **(b)** 1.5 and 3.5 nm, and **(c)** 1 and 3 nm (adapted from [68])

By now, new and more thermally stable films and coatings have been synthesized. For example, Fig. 2.7 demonstrates the temperature-microhardness dependences for CrN/AlN multi-layer films with varying layers thickness [68]. These results obviously indicate that the films with the AlN layers predominance (1.5/2 and 1/3) preserve their hardness after annealing at 1100 °C. The TEM and XRD studies allow suggesting that it is connected with a greater preservation of the

**Fig. 2.8** The temperature effect on hardness of the TiAlN, TiAlSiN, TiAlCN, and TiAlSiCN coatings (adapted from [69])



dissociating chromium nitride. In the experiments, the number of individual layers was changing from 250 to 580 (with the same common film width about 1.5  $\mu\text{m}$ ).

The examination of the one-layer TiAlSiCN coatings after vacuum annealing in the 900–1600  $^{\circ}\text{C}$  range showed that the high initial hardness ( $\sim 42$  GPa) changes very little after annealing at 1300  $^{\circ}\text{C}$  with a “comb” like nanocomposite structure preservation (Fig. 2.8) [69].

A high thermal stability after annealing in the 1000–1300  $^{\circ}\text{C}$  range was found for the superhard nitride coatings-based high-entropy multicomponent Ti–Hf–Zr–V–Nb alloys [70]. Now, it is evident that the evolution of high-temperature structure and properties of these multicomponent nanostructured coatings are defined by many various factors connected with relaxation, recrystallization, diffusion, and other processes, and we are only at the pioneering stage of such studies (e.g., [69, 71, 72]).

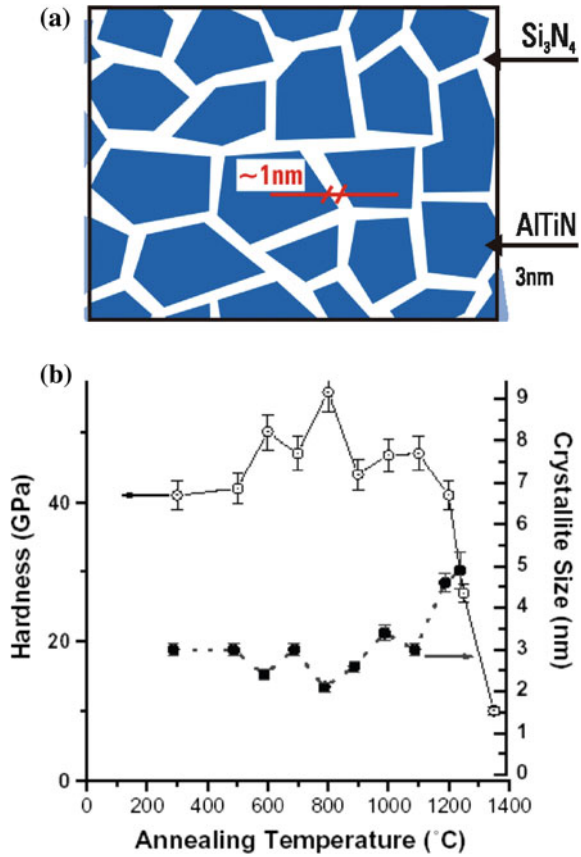
## 2.4 Examples of Application

Today, the NMs high-temperature application can be realized predominantly as films/coatings-based HMPC used for tools or friction units. As for the development of tool NMs, Veprek’s paper [73] devoted to search for new superhard materials was of a great value. It was published more than 15 years ago and had a great resonance (its citation index CI is over 860).

The proposed  $nc\text{-(Al, Ti)N}/a\text{-Si}_3\text{N}_4$  composite coatings-based (Al, Ti)N nanocrystallites in an amorphous matrix of silicon nitride exhibiting high hardness, wear and oxidation resistances (Fig. 2.9) were realized in industry applications and repeatedly improved [67, 74]. An optimal thickness of  $\text{Si}_3\text{N}_4$  is now considered to be about 0.3 nm (1 layer) [67].

In general, the main demands for tool materials can be formulated as follows: the hardness and strength must be preserved at high temperatures, as well as the wear, oxidation, and friction resistances. These characteristics were realized in the above mentioned study of Shtansky et al. [69] by the example of TiSiCN coatings with Al

**Fig. 2.9** Scheme of the *nc*-(Al, Ti)N/*a*-Si<sub>3</sub>N<sub>4</sub> nanostructure (a) and the temperature evolution of its hardness and crystallite size (b) (adapted from [75, 76])



and Cr additions (see Fig. 2.8). A comparison of the thermal and oxidation stability for various one-layer boron-nitride coatings (Ti-B-N, Ti-Cr-B-N, Ti-Si-B-N, and Ti-Al-Si-B-N) was carried out in [77]. Some other combinations of extreme actions including high temperatures, irradiation, deformation, and corrosion effects will be described in the next Chaps. 3–5.

It is important to mark that various methods for manufacturing thermally stable nanostructures [78, 79] are widely studied and carefully examined especially to produce the materials-based steels, titanium, etc., generally used in machine construction. As an example, to improve nanostructured stainless steel 316L, such modern methods as HPT, equal channel angular pressing (ECAP), surface mechanical attrition treatment (SMAT) and fast multiple rotation rolling (FMRR) are used [78].

The intensive searches for rise in the NMs thermal stability are in progress, and new practically important materials (such as alloys of Al, Mg, Ti, Cu, Ni, Fe, Nb, Mo, and W as well as Ti and Sn oxides, silicon carbides, etc.) and results should be forthcoming, particularly in manufacturing electric contacts, refractory items,

high-temperature sensors, etc. The GB engineering approach as applied to Ni-Fe-based superalloy 706 has been described by Detor et al. [80], but this study was realized only for CG objects and NMs approach still waits for its realization.

Different models of the thermodynamic GS stabilization in many metallic alloys reviewed and compared in an overview [81]. High thermal stability of superhard nanostructured lamellar (Ti, Zr)C was described in [82].

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