# Character sums and arithmetic combinatorics

### Mei-Chu Chang

**Abstract** In this survey we review some old and new results on character sums and their applications to various problems in analytic number theory, e.g., smallest quadratic nonresidues, Dirichlet L-function, Linnik's problem. We also discuss open problems in this area. Some of the techniques involved belong to arithmetic combinatorics. One may hope for these methods to lead to further progress.

Keywords Character sums • Zero-free regions.

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A function  $\chi : \mathbb{Z}/q\mathbb{Z} \to \{z \in \mathbb{C} : |z| = 1\} \cup \{0\}$  is a multiplicative character mod q if it satisfies the properties that  $\chi(mn) = \chi(m)\chi(n)$ , and  $\chi(n) = 0$  if  $gcd(n,q) \neq 1$ . We are interested in bounding non-trivially the character sum of  $\chi$ over an interval of length  $H \leq q$ . More precisely, we want to study the following problem.

**Problem 1.** Assuming  $\chi$  is non-principal and  $q \gg 0$ , how small H = H(q) can be such that

$$\left|\sum_{n=a+1}^{a+H} \chi(n)\right| < H^{1-\epsilon} ? \tag{1}$$

In 1918, Polya and Vinogradov (Theorem 12.5 in [27]) had the estimate for  $H \gg \sqrt{q} \log q$ .

**Theorem 1 (Polya-Vinogradov).** Let  $\chi$  be a non-principal Dirichlet character mod *q*. Then

$$\Big|\sum_{m=a+1}^{a+H}\chi(m)\Big| < Cq^{\frac{1}{2}}(\log q).$$

M.-C. Chang (🖂)

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Department of Mathematics, University of California, Riverside, CA 92521, USA e-mail: mcc@math.ucr.edu

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Forty four years later Burgess [5] improved Polya and Vinogradov's result to  $H > q^{\frac{1}{4} + \varepsilon}$ , first for prime moduli, then for cube-free moduli.

**Theorem 2 (Burgess).** For  $\varepsilon > 0$  there exists  $\delta > 0$  such that if  $H > p^{\frac{1}{4} + \varepsilon}$ , then

$$\left|\sum_{m=a+1}^{a+H} \chi(m)\right| \ll p^{-\delta} H.$$

Using sieving, there is the following

**Corollary 1.** The smallest quadratic nonresidue mod p is at most  $p^{\frac{1}{4\sqrt{e}}+\varepsilon}$ .

At present time, Burgess' estimate is still the best. Davenport and Lewis generalized it to higher dimensions by replacing the interval by a box  $B \subset \mathbb{F}_{p^n}$ .

Let  $\{\omega_1, \ldots, \omega_n\}$  be an arbitrary basis for  $\mathbb{F}_{p^n}$  over  $\mathbb{F}_p$ . Then for any  $x \in \mathbb{F}_{p^n}$ , there is a unique representation of x in terms of the basis.

$$x = x_1\omega_1 + \dots + x_n\omega_n$$

A box  $B \subset \mathbb{F}_{p^n}$  is a set such that for each *j*, the coefficients  $x_j$  form an interval.

$$B = \left\{ \sum_{j=1}^{n} x_{j} \omega_{j} : x_{j} \in [a_{j} + 1, a_{j} + H_{j}], \quad \forall i \right\}$$

$$= \prod_{j=1}^{n} [a_{j} + 1, a_{j} + H_{j}].$$
(2)

Davenport and Lewis had the following non-trivial estimate for character sums over boxes. (See [6, 28] for work on special boxes.)

**Theorem 3.** [12, Theorem 2] Let  $H_j = H$  for j = 1, ..., n, with

$$H > p^{\frac{n}{2(n+1)} + \delta} \text{ for some } \delta > 0 \tag{3}$$

and let  $p > p(\delta)$ . Then, with B defined as above

$$\Big|\sum_{x\in B}\chi(x)\Big|<(p^{-\delta_1}H)^n,$$

where  $\delta_1 = \delta_1(\delta) > 0$ .

For n = 1 (i.e.,  $\mathbb{F}_q = \mathbb{F}_p$ ) this is Burgess' result. But as *n* increases, the exponent in (3) tends to  $\frac{1}{2}$ .

Motivated by the work of Burgess and Davenport-Lewis, we obtained the following estimates on incomplete character sums. Our result improves Theorem DL for n > 4 [7, 8] and is also uniform in n.

**Theorem 4.** Let  $\chi$  be a non-trivial multiplicative character of  $\mathbb{F}_{p^n}$ , and let  $\varepsilon > 0$  be given. If

$$B = \prod_{j=1}^{n} [a_j + 1, a_j + H_j]$$

is a box satisfying

$$\prod_{j=1}^n H_j > p^{(\frac{2}{5} + \varepsilon)n}$$

*then for*  $p > p(\varepsilon)$ 

$$\Big|\sum_{x\in B}\chi(x)\Big|\ll_n p^{-\frac{\varepsilon^2}{4}}|B|,$$

unless n is even and  $\chi|_{F_2}$  is principal, where  $F_2$  is the subfield of size  $p^{n/2}$ , in which case

$$\left|\sum_{x\in B}\chi(x)\right|\leq \max_{\xi}\left|B\cap\xi F_2\right|+O_n(p^{-\frac{\varepsilon^2}{4}}|B|).$$

The proof of Theorem 4 used ingredients and techniques from sum–product theory, specially multiplicative energy. Theorem 4 was improved by Konyagin [30] for regular boxes.

**Theorem 5.** [30] Let  $\chi$  be a non-trivial multiplicative character of  $\mathbb{F}_{p^n}$ , and let *B* be given as in (2) with

$$H_j = H > p^{\frac{1}{4} + \epsilon}, \quad \forall j.$$

Then

$$\Big|\sum_{x\in B}\chi(x)\Big|\ll_n p^{-\delta}|B|$$

where  $\delta = \delta(\epsilon) > 0$ .

**Problem 2.** Obtain a non-trivial estimate on  $\sum_{x \in B} \chi(x)$ , assuming  $|B| > q^{1/4+\varepsilon}$  and B not 'essentially' contained in a multiplicative translate of a subfield.

As in [12] (see p. 131), Theorem 4 has the following application to the distribution of primitive roots of  $\mathbb{F}_{p^n}$ .

**Corollary 2.** Let  $B \subset \mathbb{F}_{p^n}$  be as in Theorem 4 and satisfying  $\max_{\xi} |B \cap \xi F_2| < p^{-\varepsilon}|B|$  if *n* even. The number of primitive roots of  $\mathbb{F}_{p^n}$  belonging to *B* is

$$\frac{\varphi(p^n-1)}{p^n-1}|B|(1+o(p^{-\tau'})),$$

where  $\tau' = \tau'(\varepsilon) > 0$  and assuming  $n \ll \log \log p$ .

The proof of Corollary 2 is by combining character sums estimate with the following.

$$\frac{\phi(p^n-1)}{p^n-1} \left\{ 1 + \sum_{\substack{d \mid p^n-1 \\ d>1}} \frac{\mu(d)}{\phi(d)} \sum_{\operatorname{ord}(\chi)=d} \chi(x) \right\} = \begin{cases} 1 \text{ if } x \text{ is primitive,} \\ 0 \text{ otherwise.} \end{cases}$$

We also generalize Burgess's inequality in a slightly different direction.

**Theorem 6.** Let  $\mathcal{P}$  be a proper d-dimensional generalized arithmetic progression in  $\mathbb{F}_p$  with

$$|\mathcal{P}| > p^{\frac{2}{5}+\varepsilon}$$
, some  $\varepsilon > 0$ 

If  $\chi$  is a non-principal multiplicative character of  $\mathbb{F}_p$ , we have

$$\left|\sum_{x\in\mathcal{P}}\chi(x)\right| < p^{-\tau}|\mathcal{P}|,$$

where  $\tau = \tau(\varepsilon, d) > 0$  and assuming  $p > p(\varepsilon, d)$ .

**Remark 6.1.** The exponent  $\frac{2}{5} < \frac{1}{2}$  does not depend on d.

**Remark 6.2.** Similar results hold for  $\mathbb{F}_{p^n}$  with worse exponent.

Using Freiman's theorem, sum-product, and character sums, we obtained the following.

**Corollary 3.** Given C > 0 and  $\varepsilon > 0$ , there is a constant  $\kappa = \kappa(C, \varepsilon)$  and a positive integer  $k < k(C, \varepsilon)$  such that if  $A \subset \mathbb{F}_p$  satisfies

(i)  $|A| > p^{2/5+\varepsilon}$ (ii) |A + A| < C|A|.

Then we have

$$|A^k| > \kappa p$$

where  $A^k = A \cdots A$  is the k-fold product set of A.

There is also the study of multi-linear character sums.

Let  $(L_j)_{1 \le j \le n}$  be *n* independent linear forms in *n* variables over  $\mathbb{F}_p$ , and let  $\chi$  be a non-principal multiplicative character mod *p*. Denote a box by  $B = \prod_{i=1}^{n} [a_i, a_i + H]$ . We are interested in the following non-trivial estimates

$$\left|\sum_{x\in B}\chi\Big(\prod_{j=1}^{n}L_{j}(x)\Big)\right| < p^{-\delta}H^{n}.$$
(4)

Theorem 7 (Burgess). Assume

$$H > p^{\frac{1}{2} - \frac{1}{2(n+1)} + \varepsilon}.$$
(5)

Then (4) holds.

For n = 1, condition (5) is the well-known Burgess assumption  $H > p^{\frac{1}{4}+\varepsilon}$  for character sum bound. For n = 2, it is  $H > p^{\frac{1}{3}+\varepsilon}$ . We generalized the Burgess assumption to any dimension. (See [4].)

Theorem 8 (Bourgain-Chang). Assume

$$H > p^{\frac{1}{4}+\varepsilon}$$
, for any  $n$ .

Then (4) holds.

The theorem above has application to character sums of polynomials.

**Theorem 9.** Let  $f(x_1, ..., x_d)$  be a homogeneous polynomial of degree d and split over  $\overline{\mathbb{F}}_p$ , and let  $B = \prod_{i=1}^d [a_i, a_i + H] \subset \mathbb{F}_p^d$  be a box of length H with

$$H = p^{\frac{1}{4} + \varepsilon}.$$

Then

$$\left|\sum_{x\in B}\chi(f(x))\right| < p^{-\delta}H^d.$$

This improves Gillett's condition  $H > p^{\frac{d}{2(d+1)} + \varepsilon}$ .

Coming back to Problem 1, for special moduli, the condition on H in Burgess' theorem can be improved. One can proved (1) for much smaller intervals. There are two classical results, based on quite different arguments by Graham–Ringrose ([27], Corollary 12.15) and Iwaniec ([27], Theorem 12.16).

First, for the modulus q, we set up the following notations for the largest prime divisor  $\mathcal{P}$  of q, and the *core* k of q.

$$\mathcal{P} = \max_{p|q} p \quad \text{and} \quad k = \prod_{p|q} p.$$

**Theorem 10.** [18] Let  $\chi$  be a primitive character of modulus  $q \ge 3$  with q square *free. Then, for* 

$$N = |I| \ge q^{\frac{4}{\sqrt{\log \log q}}} + \mathcal{P}^{9}$$

we have

$$\sum_{n\in I}\chi(n)\Big|\ll Ne^{-\sqrt{\log q}}.$$

The purpose of the work of Graham and Ringrose is to study the following least quadratic nonresidue problem.

**Problem 3.** Let p be a prime, and let n(p) be the least quadratic nonresidue mod p. Find a lower bound on n(p).

Independent of Burgess' result  $n(p) \le p^{\frac{1}{4\sqrt{e}}+\varepsilon}$ , Friedlander [14] and Salié [35] showed that  $n(p) = \Omega(\log p)$ , i.e., there are infinitely many p such that  $n(p) > c \log p$  for some absolute constant c. By assuming the Generalized Riemann Hypothesis, in 1971 Montgomery [33] proved that  $n(p) = \Omega(\log p \log \log p)$ . Using Theorem 10, Graham and Ringrose showed that  $n(p) = \Omega(\log p \log \log \log p)$  unconditionally.

In 1974, Iwaniec [26] proved the following theorem by generalizing Postnikov's theorem [34].

**Theorem 11.** [34] Let  $\chi$  be a primitive character of modulus  $q, 2 \nmid q$ . Then, for

$$k^{100} < N < N' \le 2N \tag{6}$$

we have

$$\left|\sum_{N< n\leq N'}\chi(n)\right|\leq C^{s(\log s)^2}N^{1-\frac{c}{s^2\log s}},$$

where  $s = \frac{\log q}{\log N}$ .

Theorem 11 is good for *q* powerful. A related problem is about the digital aspects of the primes. Let  $x < N = 2^n$ . Write

$$x = x_0 + 2x_1 + 2^2x_2 + \dots + 2^{n-1}x_{n-1}$$
 with  $x_0, x_1, \dots, x_{n-1} \in \{0, 1\}.$ 

For  $A \subset \{1, \dots, n\}$ , given  $\{\alpha_i \in \{0, 1\}\}_{i \in A}$ , one expects

$$\left| \{ p = x < N : x_j = \alpha_j, \ \forall j \in A \} \right| \sim \frac{N}{\log N} 2^{-|A|}.$$

#### **Problem 4.** How large can A be?

For related work, see Sierpinski [39], Harman–Katai [22], Bourgain [3].

Theorem 10 is for q with small prime factors (smooth moduli), for which one assumes

$$\log N \gg \log \mathcal{P} + \frac{\log q}{\sqrt{\log \log q}}.$$
(7)

On the other hand, Theorem 11 is for q with small core. Condition (6) implies that

$$\log N \gg \log k. \tag{8}$$

If fix k, to get non-trivial result, in Theorem 11, one needs to assume

$$\log N \gg \log k + (\log q)^{\frac{3}{4} + \epsilon}.$$
(9)

Both are special cases of the following theorem in [11].

Denote

$$K = \frac{\log q}{\log k}.$$

**Theorem 12.** Assume N satisfies

$$q > N > \mathcal{P}^{10^3}$$

and

$$\log N > (\log q)^{\frac{9}{10}} + 10^3 \frac{\log 2K}{\log \log q} \log k .$$
 (10)

Let  $\chi$  be a primitive multiplicative character modulo q and I an interval of size N. Then

$$\left|\sum_{x\in I}\chi(x)\right| \ll Ne^{-(\log N)^{3/5}}.$$
(11)

**Remark 12.1.** In the same spirit as assumptions (7) and (9), assumption (10) can be replaced by the stronger and friendlier assumption.

$$\log N \gg \log \mathcal{P} + \frac{\log q}{\log \log q}.$$
 (12)

(The second term of condition (12) is clearly bigger than either term of (10).)

**Remark 12.2.** This result is completely general and gives bounds for very short character sums as soon as  $\log P$  is small compared with  $\log q$ .

**Remark 12.3.** To compare Theorem 12 with Theorem 10, we note also that condition (12) gives a better result than (7). Moreover, condition (7) is restricted to square free q. As for comparing Theorem 12 with Theorem 11, we let k be square free and  $q = k^m$ , where m is large but not too large. More precisely, we assume  $\log m = o(\log \log k)$ . Theorem 11 certainly requires that  $\log N > C \log k$  while condition (10) in Theorem 12 becomes  $\log N > (m \log k)^{\frac{9}{10}} + C \frac{\log m}{\log \log q} \log k$ , which is clearly better.

**Remark 12.4.** We did not try to optimize the power of  $\log q$  in the first term in (10) nor the saving in (11).

The following is a mixed character sum version of Theorem 12 (see also [10]).

**Theorem 13.** [15] Under the assumptions of Theorem 12,

$$\Big|\sum_{x\in I}\chi(x)e^{if(x)}\Big| < Ne^{-\sqrt{\log N}}$$

assuming  $f(x) \in \mathbb{R}[x]$  of degree at most  $(\log N)^c$  for some c > 0.

**Corollary 4.** Let T > 0. Assume N satisfies

$$q > N > \mathcal{P}^{10^3}$$

and q satisfies

$$\log N > (\log qT)^{\frac{9}{10}} + 10^3 \frac{\log 2K}{\log \log q} \log k.$$

Then for  $\chi$  primitive, we have

$$\left|\sum_{n\in I}\chi(n)n^{it}\right| < Ne^{-\sqrt{\log N}} \quad for \ |t| < T.$$

Following the classical arguments going back to Hadamand, de-la-Vallee-Poissin, and Landau, the above estimates lead to zero-free regions for the corresponding Dirichlet L-functions. Denote

$$L(s,\chi) = \sum_{n} \chi(n)n^{-s}, \quad s = \rho + it.$$

Iwaniec [26] obtained the following results.

**Theorem 14.** [26] Assume  $|L(s, \chi)| < M$  for  $\rho > 1 - \eta$ ,  $|t| < T^2$ . Then  $L(s, \chi)$  has no zeros in the region  $\rho > 1 - \frac{\eta}{400 \log M}$ , |t| < T, except for possible Siegel zeros.

**Corollary 5.** [26] *Assume Y and*  $\gamma > 0$  *satisfy* 

$$\left|\sum_{n \sim N} \chi(n) n^{it}\right| < N^{1-\gamma} \quad for N > Y, |t| < T.$$

Then

$$\rho > 1 - \frac{c}{\log Y + \frac{1}{\gamma} \log \frac{1}{\gamma} + \frac{1}{\gamma} \log \log \frac{qT}{Y}} \cdot$$

From Corollary 4 and Corollary 5, one derives bounds on the Dirichlet L-function  $L(s, \chi)$  and zero-free regions the usual way. For a detailed argument, see, for instance, Lemmas 8–11 in [26]. This leads to the following theorem.

**Theorem 15.** Let  $\chi$  be a primitive multiplicative character with modulus q. For T > 0, let

$$\theta = c \min\left(\frac{1}{\log \mathcal{P}}, \frac{\log\log k}{(\log k)\log 2K}, \frac{1}{(\log qT)^{9/10}}\right).$$

Then the Dirichlet L-function  $L(s, \chi) = \sum_{n} \chi(n)n^{-s}$ ,  $s = \rho + it$  has no zeros in the region  $\rho > 1 - \theta$ , |t| < T, except for possible Siegel zeros.

It follows in particular that  $\theta \log qT \to \infty$  if  $\frac{\log \mathcal{P}}{\log q} \to 0$ . In certain range of *k*, this improves Iwaniec's bound (See [27], Theorem 8.29.)

$$\theta = \min\left\{ c \; \frac{1}{(\log T)^{\frac{2}{3}} (\log \log T)^{\frac{1}{3}}} \;, \frac{1}{\log k} \right\}.$$

A well-known application of zero-free region is to primes in arithmetic progressions. In 1944, Linnik proved the following theorem about the least prime in an arithmetic progression.

**Theorem 16.** [31, 32] *There exists c such that if* (a, q) = 1*, then there is a prime*  $p < q^c$  such that  $p \equiv a \mod q$ .

For explicit value of c in Theorem 16, Xylouris [40] has the best estimate c = 5.2 by using Heath-Brown [23, 24] (who obtained c = 5.5) proposed improvements.

Theorem 15 gives a lower c for q smooth.

**Theorem 17.** In Theorem 16, one may take  $c = \frac{12}{5} + \epsilon$ , assuming  $\log \mathcal{P} < \frac{\log q}{\log \log q}$ .

Estimates on short character sums are also related to Polya–Vinogradov's theorem. Based on the work of Granville and Soundararajan [19] (which characterizes when character sums are large), Goldmakher [17] used Theorem 10 to improve Polya–Vinogradov's bound on  $\sum_{n \le x} \chi(n)$  and obtained the following. **Theorem 18.** [18] Let  $\chi \mod q$  be a primitive character. Then

$$\Big|\sum_{n$$

When q is square free, then

$$\left|\sum \chi(n)\right| \ll \sqrt{q} \quad \frac{\log q}{(\log \log q)^{\frac{1}{4}}}.$$

Instead of Theorem 10, we use Theorem 17 (and Granville–Soundararajan) and obtain the following.

**Corollary 6.** Let  $\chi \mod q$  be a primitive character, and let

$$M = (\log q)^{\frac{9}{10}} + (\log 2K) \frac{\log k}{\log \log k} + \log \mathcal{P}.$$

Then

$$\left|\sum_{n < x} \chi(n)\right| \ll \sqrt{q} \sqrt{\log q} \sqrt{M} \sqrt{\log \log \log q}$$

When q is square free,

$$\left|\sum_{n < x} \chi(n)\right| \ll \sqrt{q} \quad \frac{\log q}{(\log \log q)^{\frac{1}{2}}}.$$

Let  $f(x_1, ..., x_n) \in \mathbb{F}_q[x_1, ..., x_n], q = p^{\ell}$  be a polynomial of degree *d*. We are interested in bounding the exponential sum

$$S = \sum_{x_1, \dots, x_n} e_p \Big( \operatorname{Tr} \big( f(x_1, \dots, x_n) \big) \Big)$$
(13)

as well as a certain incomplete sums where the variables are restricted to a 'box'  $B \subset \mathbb{F}_q^n$ . More specifically, we consider various instances of this question where Deligne type estimates are not applicable, either because *f* is too singular or the box *B* is too small. In their work on Gowers' norms, Green and Tao [20] obtain non-trivial bounds in the situation where  $\mathbb{F}_q = \mathbb{F}_p$  and *d* are fixed and *n* is large, assuming that the value of *f* is not determined by a few polynomials of lower degree.

Problem 5. Obtain quantitative version of the Green–Tao result.

For Problem 5, see the recent result by Forni and Flaminio [13]. Estimates of this type are also particularly relevant to circuit complexity [21].

Using methods from geometry of numbers, W. Schmidt [36] obtained bounds on incomplete sums (13) over boxes, but without exploring the effect of large *n* or  $\ell$ .

**Problem 6.** Investigate the bounds obtained in [36] when n or  $\ell$  is large.

It is possible that techniques from arithmetic combinatorics may be relevant. For n = 1, estimates of this type are obtained in [2]. There are some other problems related to Problem 1.

**Problem 7.** Let V be a vector subspace of  $\mathbb{F}_{p^n}$  over  $\mathbb{F}_p$ , not essentially contained in a multiplicative translate of a subfield. Under what assumptions on  $\dim_{\mathbb{F}_p} V$  can one obtain non-trivial bounds on  $\sum_{x \in V} \chi(x)$ ?

The arithmetic combinatorics approach permits to go below the n/2 barrier of classical methods. We are particularly interested in the situation where p is fixed and n is large. Assuming  $\xi \in \mathbb{F}_{p^n}$  a generator, one may specify further  $V = \langle \xi^j : j \in S \rangle$  with  $S \subset \{0, 1, \dots, n-1\}$ . In the special case  $S = \{0, 1, \dots, m\}$ , V. Shoup [38] used the Hasse–Weil method to get results for  $m = O(\log n)$ .

In the paper [8], we also succeeded in improving Karacuba's result on character sums of the type

$$\sum_{x \in I} \left| \sum_{y \in A} \chi(x+y) \right|,\tag{14}$$

where  $\chi$  is a multiplicative character (mod *p*), *I* an interval and  $A \subset \mathbb{F}_p$  arbitrary. This result was important to the recent work [16] on the distribution of quadratic and higher order residues (mod *p*). See also [25].

Further improvement on Karacuba's result was obtained by X. Shao [37].

**Theorem 19.** [39] Let  $q \in \mathbb{Z}_+$  be cube-free and  $\chi \mod q$  be non-principal. If  $A \subset [1,q]$  is a union of disjoint intervals  $I_1, \ldots, I_s$  with  $|A| > q^{1/4+\varepsilon}s^{1/2}$  and  $|I_j| > q^{\varepsilon}, (1 \le j \le s)$  for any  $\varepsilon > 0$ , then  $\sum_{n \in A} \chi(n)$  has a non-trivial bound.

One could expect that for 'most p' better results are obtainable. However, the following problem seems still open.

**Problem 8.** Show that for most p, the largest gap between quadratic residues is  $o(p^{1/4})$ .

The following interesting character sum questions were highlighted in Karacuba's survey [29].

Problem 9. Obtain a non-trivial bound on general sums

$$\sum_{x\in A, y\in B}\chi(x+y),$$

when  $|A| \sim \sqrt{p} \sim |B|$ .

It is well-known that this question is related to the Paley graph conjecture and also relevant to the theory of 'extractor' in computer science [1].

**Problem 10.** *Prove that for p large and*  $a \neq 0 \pmod{p}$ 

$$\sum_{1 \le x < H} \left(\frac{x+a}{p}\right) \Lambda(x) = o(H),$$

when  $H \sim \sqrt{p}$ .

Results of this type were obtained by Vinogadov, for large values of H.

**Problem 11.** *Prove that for large p* 

$$\min\left\{1 \le x \le p : \left(\frac{a+x^2}{p}\right) = -1\right\} = o(\sqrt{p})$$

uniformly in  $1 \le a \le p$ .

In [9], the bound  $p^{\frac{1}{2\sqrt{e}}+\varepsilon}$  was obtained, but for  $a \neq 0$  given.

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