

Augmented Happiness: Simple Color Changes Influence Users' Conceptual Choices

Diana Löffler^{1(✉)}, Wolfgang Paier², Takashi Toriizuka³, Mio Ikeda³,
and Jörn Hurtienne¹

¹ Chair of Psychological Ergonomics,
University of Würzburg, Würzburg, Germany
{diana.loeffler, joern.hurtienne}@uni-wuerzburg.de
² Fraunhofer HHI, Computer Vision and Graphics, Berlin, Germany
wolfgang.paier@hhi.fraunhofer.de
³ College of Industrial Technology, Nihon University, Chiba, Japan
toriiduka.takashi@nihon-u.ac.jp,
mio.ikedal220@gmail.com

Abstract. Color is a powerful visual property and is used to make inferences about the world. However, no theoretical framework is available that explains precisely where color associations come from and how they affect psychological functioning, making it difficult to predict how color affects human-computer interaction. This paper aims at closing this gap by suggesting an Embodied Cognition view on color, which assumes that the aggregate of our perceptual color experiences is part of the mental representation of tactile object attributes and thus systematically influences our abstract thinking via the process of metaphorical mapping. An empirical study is presented in which hues and saturation of objects were manipulated via Augmented Reality. Participants matched objects to abstract concepts, e.g., happiness. 83 % of the participants' choices were correctly predicted, suggesting that color information is considered during the processing of abstract information. Eight color-to-abstract-concept mappings are recommended and possible areas of application are discussed.

Keywords: Augmented reality · Color · Embodied cognition · Conceptual metaphor

1 Introduction

Color is a ubiquitous perceptual experience that influences perception, cognition and behavior. However, color research mainly focuses on establishing relations between color and psychological functioning and often lacks a rigorous theoretical understanding of why these relations occur [7]. This might be a main reason why findings on the impact of color on psychological functioning are largely inconsistent, unreliable and inconclusive [e.g., 13, 22]. In the field of human-computer interaction (HCI), design recommendations on color are usually restricted to issues of readability, aesthetics or color preferences [3]. This paper aims to advance our theoretical understanding of how and why color affects psychological functioning to leverage

a systematic application of color in the design of human-computer interaction. To achieve this, the framework of embodied cognition, which holds the promise of providing a unifying perspective for psychological research in general [8], is briefly reviewed and then applied to the field of color.

In recent years the predominant views of the human mind, such as the computational theories of information processing, have been increasingly challenged by findings that cognition is embodied (cf. [6]). Embodied cognition grants the body a central role in cognitive processing. Physical experiences we have, moving through the material world, scaffold the development of our conceptual knowledge. Many of such embodied experiences are manifested in language [15]. Expressions such as “the heavy burden of guilt” or “that warmed my spirits” reflect how the mental representations of guilt and happiness are metaphorically conceptualized. These conceptual metaphors involve a mapping from a physical source domain (weight, temperature) to another, more abstract, target domain (guilt, happiness) [15]. Being equipped with conceptual metaphors in our embodied minds, even seemingly unrelated visual or haptic properties of objects or technical devices influence our perception, feeling, and behavior (cf. [12]), in a way predicted by the framework of embodied cognition.

2 An Embodied Cognition View on Color

Color is an important perceptual feature and in natural environments often diagnostic for other perceptual properties [4], like edibility and taste [19], weight [1, 21] or temperature [10]. Thus, it is likely that color affects psychological functioning in a similar way than related perceptual properties via the process of metaphorical mapping [16, 20].

Many studies already investigated associations between color and other perceptual attributes like weight, size and temperature. For example, according to [5], temperature attributes are solely related to hue (red is warm, blue is cold). Increasing weight is related to decreasing brightness and an increase in saturation [1], while when the size of a stimulus is increased, it is perceived as brighter and more saturated [23].

In recent years, a few studies have been conducted to empirically test these associations between colors and perceptual properties. For example, in an HCI relevant application, Ban and colleagues [2] proposed an Augmented Reality (AR) system to manipulate weight perception through an increase in object brightness. They demonstrated that this facilitated the lifting of medium-weight objects. However, as with most of the research on relations between color and psychological functioning [7], no theoretical background is provided on how and why this association is shaped, making it difficult to transfer the results into other situations and contexts. An embodied cognition view on color may close this theoretical gap, as it explains that the association between weight and brightness arises through the repeated experience that heavier objects appear to be darker than light objects (e.g., when a shirt gets wet it will be heavier and darker, [21]). Thus, the perception of brightness and weight mutually influence each other. In addition, this association between brightness and weight might be extended to more abstract concepts via metaphorical mapping [17, 20]. For example, weight is metaphorically linked to psychological significance, or, in other words,

weight is conceptualized as an embodiment of importance [14]. Therefore, it can be assumed that the perception of the color property brightness will bias information processing in contexts where psychological significance plays a role. This prediction can now be put to direct empirical test.

In this paper, we aim to experimentally test the embodied cognition view on color and investigate whether perceiving certain color characteristics will influence the cognitive processing of more abstract information (like happiness, emotionality, or power), which is metaphorically linked to the tactile properties weight, size and temperature.

3 Method

3.1 Participants

Fourteen volunteers were recruited at the campus of Nihon University, Japan, (seven male, seven female) to participate in this study. The participants' ages ranged from 21 to 24 years ($M = 22.7$, $SD = 0.8$). All participants had Japanese as their mother tongue and had no reported defective color vision. They were all naïve as to the subject under investigation.

3.2 Procedure

The experimental procedure was adopted from a study by Hurtienne et al. [12]. Each participant was asked to complete a short demographic questionnaire. The participants were then told that they would wear AR glasses and, in each trial, would be presented with two colored objects (spheres) and an adjective describing an abstract property (e.g. difficulty). AR technology was utilized because it allows for a convenient manipulation of object colors in the viewers' sight.

The participants were instructed to touch the objects with their dominant hand that they felt corresponded most closely to the adjective (Fig. 1). Participants were told to decide quickly and instinctively in favor of one object. Each session consisted of 30 trials. To avoid sequence effects, the adjectives and colored objects were presented in random order. The whole experiment lasted about 15 min.

3.3 Apparatus and Material

Each of the two wooden spheres was 9 cm in diameter and weighed 250 g. They were colored in blue and green (controlled for saturation and brightness) in order to facilitate technical object recognition against the background and against the participants' hands and arms. These spheres were the target objects for the color changes, which did perfectly obscure the spheres. The spheres' position was counterbalanced across participants. The participants were seated in an experimental room; the table and wall in front of them were covered in gray cloth. The spheres were placed on the table within the reach of the participants, with 15 cm distance between them.

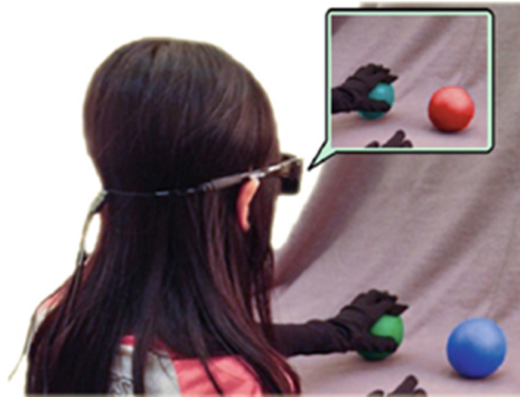


Fig. 1. A participant wearing AR-glasses that change the object colors in the participants' sight.

The AR system used in the study was composed of a video see-through realizing Head Mounted Display VUZIX Wrap1200AR (resolution 852×480 , 35 degrees diagonal field of view) and a notebook (Intel Core i7 4 \times 2.4 GHz 8.00 GB RAM, Windows 8.1 64 bit). The color manipulation software was based on OpenCV, which provides convenient interfaces for processing and capturing images from the stereo-webcam integrated in the AR glasses. Each pixel was classified in background, green sphere or blue sphere, using a histogram-based color-classifier. The classifier was trained beforehand using six images with proper fore/background masks to fill the color-histograms for each pixel-class. The masks were generated using a semi-automatic approach for foreground extraction [18]. To guarantee the realtime-capability of the system, a static look-up table, containing the color-transform (RGBin to RGBout) for each camera was calculated after the color classifiers were trained.

Three HCI-relevant tactile properties with well-documented metaphorical extensions were selected for the experiment: weight (heavy-light), size (big-small) and temperature (warm-cold) [12]. A pre-study was conducted to determine the relationship between these tactile properties and color characteristics (hue, saturation and brightness). In an online questionnaire, 80 Japanese subjects rated how well 35 different colors (varying in hue, saturation and brightness) matched the properties weight, size and temperature. The results showed that weight and size were mainly associated with the color properties of saturation and brightness, and the temperature is almost solely associated with hue (red vs. blue). These results confirmed those of previous research [5, 23]. The tactile properties of weight, size and temperature were therefore operationalized through their associated color properties in the following way (numbers depict HSB values): heavy, big vs. light, small: violet (270/100/100) vs. (270/60/100), green (140/100/100) vs. (140/60/100), red (360/100/100) vs. (360/60/100), yellow (60/100/100) vs. (60/60/100), orange (40/100/100) vs. (40/60/100); cold: blue (200/100/100), cyan (180/100/100); warm: red (360/100/100), orange (20/100/100). Note that, although both, saturation and brightness, influence the perception of weight, size and strength, the brightness of the objects in the experiment was not altered. This would have led to a loss in texture and surface shading, thereby negatively affecting the

three-dimensional impression of the objects. Hues in the weight and size condition were randomly chosen to create some variation and should, according to theory, have no effect, because hue is not diagnostic for these tactile properties.

For each condition, well-documented metaphorically linked abstract concepts were taken from literature [12, 17] (Table 1). Two lists of adjectives were prepared. One word from each adjective pair was placed on list A (e.g., important), and its opposite was placed on list B (e.g., unimportant). Both groups were balanced with regard to the number of positive and negative words they contained. Half of the participants were presented with adjectives from list A, and the other half with adjectives from list B.

The hypothesis for this experiment was that since specific color characteristics are diagnostic for certain tactile properties, viewing these color characteristics will trigger choices consistent with metaphorically related abstract concepts. If the color

Table 1. Tactile properties, conceptual metaphors, stimulus adjectives and results of color-to-abstract-concept mappings; all mappings with $str < 0.60$ have been grayed.

Tactile Property	Conceptual metaphor with linguistic examples	Stimulus adjectives	Related color property	%	str
Weight is..	Difficulty: heavy reading; light duties	difficult, easy	Saturation	93	0.86
	Importance: heavy matters of state; light, idle chatter	important, unimportant	Saturation	91	0.82
	Guilt: the heavy burden of guilt; easing conscience	guilty, innocent	Saturation	61	0.21
	Sadness: heavy news; light-hearted girls	sad, happy	Saturation	61	0.21
Size is..	Significance: he is a big man in industry; only a small crime	significant, insignificant	Saturation	96	0.93
	Quantity: I only have a small amount of money	much, less	Saturation	95	0.90
	Education: he expanded his horizons	educated, uneducated	Saturation	75	0.50
Temperature is..	Activity: a hot debate; frozen accounts	active, inactive	Hue	93	0.86
	Emotionality: a warm smile; cold logic	emotional, unemotional	Hue	93	0.86
	Difficulty: a smoldering conflict; the debate cooled down	problematic, unproblematic	Hue	86	0.71
	Happiness: that warmed my spirits; a deep cold sadness	happy, sad	Hue	82	0.64
	Intimacy: giving the cold shoulder; warm feelings	intimate, distant	Hue	75	0.50

characteristics are not associated with the abstract concepts, participants will choose one of the two objects by chance.

4 Results and Discussion

The results are depicted in Table 1. The columns show the percentage of answers that were consistent with the metaphorically related concept (averaged over all color samples) and Cohen's kappa values are used as an index for associative strength (*str*) that takes into account the agreement occurring by chance (50 %). According to [16]'s categories of agreement, negative *str* values indicate "poor" agreement, $0 < str \leq 0.19$ indicate "slight" agreement, $0.20 \leq str \leq 0.39$ "fair" agreement, $0.40 \leq str \leq 0.59$ "moderate" agreement, $0.60 \leq str \leq 0.79$ "substantial" agreement, and $str \geq 0.80$ "almost perfect" agreement. Because of the limited and non-representative sample size we only interpreted strength values of above 0.60 as supportive of the color-to-abstract-concept mappings (8 of 12). However, across all 12 color-to-abstract-concept mappings, the mean value of *str* was 0.67 (SD = 0.26), suggesting that at least 83 % of the participants' choices were consistent with the conceptual metaphor. This value differs significantly from a chance *str* of zero, $t(11) = 8.94$, $p < .001$, Cohen's $d = 3.64$, and indicates non-randomness in the choices of the participants.

Overall, the results are interpreted in favor of an embodied cognition view on color: 8 out of 12 evaluated abstract concepts showed on average "almost perfect" agreement, while the remaining four concepts showed on average "fair" agreement [16]. These results were predicted by associations between color attributes and tactile properties: because heavier objects tend to be darker and less saturated [1, 21], darker and less saturated hues are assumed to bias our thinking about metaphorically related abstract concepts, like psychological significance, because weight is an embodiment of psychological significance. The link between brightness, saturation and size can be explained by the bodily constraint of a nonuniform distribution of photoreceptors across the retina, resulting in different color vision in the periphery compared to vision in the fovea [24]. The association between physical temperature and hue can be explained by the internalization of correlations between stimuli that are present in the environment (like fire, sun, water) and our bodies (blood circulation) [9]. As predicted, multiple McNemar tests (Bonferroni corrected) revealed no difference between the different hues tested across the weight and size conditions.

5 Conclusion and Future Work

With the help of the AR system it could be demonstrated that simple color changes in the users' sight resulted in a bias in decision-making in favor of metaphorically related abstract concepts. This is explained by an embodied cognition view of cognition on colors: because abstract concepts are grounded in observables in the world, we automatically consider perceived physical properties, such as color and tactile properties, during reasoning about these abstract concepts. The value of this embodied cognition

view on colors lies in its ability to explain and predict why and which effects of color on psychological functioning occur, taking the guesswork out of color theory and design.

Moreover, this research has shown that not only hue but also saturation plays a great role in conveying abstract content [7]. Three abstract concepts were identified that can be influenced by a change in saturation: difficulty, importance, significance and quantity. Three concepts were influenced by hue: emotionality, activity and happiness. The concept of difficulty was influenced by both saturation and hue. We expect that the effects would have been even stronger had the brightness parameter been manipulated as well. Although selected well above chance level, four color-to-abstract mappings were weaker than expected and require further investigation.

By extending this approach to applied contexts, the validity and effectiveness of predictions derived from an embodied cognition view on colors is an area for future research. Changes in saturation, for example, could be used to indicate the most significant, or most-often used objects or functions (significance is saturation); they could be used to express priority among different items, such as the most valued menu option in a restaurant, or the best selling products in an online shop (importance is saturation). Reddish vs. bluish hues could be used to communicate or visualize subtle mood changes in social networks, online games or in real life situations (happiness is redness). Changing font or background color could influence the perceived difficulty of a computerized cognitive training (difficulty is saturation) – and this should also work for people with cognitive impairment, since embodied cognition effects are assumed to be rather independent of higher cognitive processing [11].

Although the results of this pilot study are promising, the sample size of the study reported here is very small ($n = 14$). Larger scale empirical studies have yet to be conducted in order to validate the results obtained here, also with regard to different cultures. Since color and also, to some extent, conceptual metaphors are subject to cultural influences, studies on the applicability of the results within and across countries and cultures are required.

Acknowledgements. We thank the Japan Society for the Promotion of Science for funding this research (grant ID PE14746).

References

1. Alexander, K.R., Shansky, M.S.: Influence of hue, value, and chroma on the perceived heaviness of colors. *Percept. Psychophys.* **19**(1), 72–74 (1976)
2. Ban, Y., Narumi, T., Fujii, T., Sakurai, S., Imura, J., Tanikawa, T., and Hirose, M. Augmented Endurance: Controlling Fatigue while Handling Objects by Affecting Weight Perception using Augmented Reality. In *Proceedings of CHI 2013*, pp. 69–77. ACM Press (2013)
3. Bonnardel, N., Piolat, A., Le Bigot, L.: The impact of colour on Website appeal and users' cognitive processes. *Displays* **32**(2), 69–80 (2011)
4. Cant, J.S., Large, M.E., McCall, L., Goodale, M.A.: Independent processing of form, color and texture in object perception. *Perception* **37**, 57–78 (2008)

5. Cheng, K.M.: Quantitative evaluation of colour emotions (Doctoral dissertation, The Hong Kong Polytechnic University) (2002)
6. Clark, A.: *Being There: Putting Brain, Body and World Together Again*. Bradford Books, MIT Press, Cambridge (2007)
7. Elliot, A.J., Maier, M.A.: Color and psychological functioning. *Curr. Dir. Psychol. Sci.* **16** (5), 250–254 (2007)
8. Glenberg, A.M.: Embodiment as a unifying perspective for psychology. *Wiley Interdisc. Rev. Cogn. Sci.* **1**(4), 586–596 (2010)
9. Ho, H.N., Iwai, D., Yoshikawa, Y., Watanabe, J., Nishida, S.Y.: Combining colour and temperature: a blue object is more likely to be judged as warm than a red object. *Scientific reports*, 4 (2014)
10. Ho, H.N., Van Doorn, G.H., Kawabe, T., Watanabe, J., Spence, C.: Colour-temperature correspondences: when reactions to thermal stimuli are influenced by colour. *PLoS ONE* **9** (3), e91854 (2014)
11. Hurtienne, J., Horn, A.-M., Langdon, P.M., Clarkson, P.J.: Facets of prior experience and the effectiveness of inclusive design. *Univ. Access Inf. Soc.* **12**, 3 (2013)
12. Hurtienne, J., Stöbel, C. and Weber, K. Sad is Heavy and Happy is Light – Population Stereotypes of Tangible Object Attributes. In: *Proceedings of TEI 2009*, pp. 61–68. ACM Press (2009)
13. Jalil, N.A., Yunus, R.M., Said, N.S.: Environmental colour impact upon human behaviour: a review. *Procedia Soc. Behav. Sci.* **35**, 54–62 (2012)
14. Jostmann, N.B., Lakens, D., Schubert, T.W.: Weight as an embodiment of importance. *Psychol. Sci.* **20**(9), 1169–1174 (2009)
15. Lakoff, G., Johnson, M.: *Metaphors We Live By*. University of Chicago Press, Chicago (1980)
16. Landis, J.R., Koch, G.G.: The Measurement of Observer Agreement for Categorical Data. *Biometrics* **33**, 159–174 (1977)
17. Löffler, D.: Population stereotypes of color attributes for tangible interaction design. In: *Proceedings of the 8th International Conference on Tangible, Embedded and Embodied Interaction*, pp. 285–288. ACM (2014)
18. Rother, C., Kolmogorov, V., Blake, A.: “GrabCut”: interactive foreground extraction using iterated graph cuts. In: *SIGGRAPH 2004*, pp. 309–314. ACM Press (2004)
19. Shankar, M.U., Levitan, C.A., Spence, C.: Grape expectations the role of cognitive influences in color-flavor interactions. *Conscious Cogn.* **19**(1), 380–390 (2010)
20. Valdez, P., Mehrabian, A.: Effects of color on emotions. *J. Exp. Psychol. Gen.* **123**(4), 394–409 (1994)
21. Walker, P., Francis, B.J., Walker, L.: The brightness-weight illusion: darker objects look heavier but feel lighter. *Exp. Psychol.* **57**(6), 462 (2010)
22. Whitfield, T.W., Whiltshire, T.J.: Color psychology: a critical review. *Genet. Soc. Gen. Psychol. Monogr.* **116**(4), 385–411 (1990)
23. Xiao, K., Li, C.J., Luo, M.R., Taylor, C.: Colour appearance for dissimilar sizes. *Conf. Colour Graph. Imaging Vis.* **20**(1), 12–16 (2004). Society for Imaging Science and Technology
24. Xiao, K., Luo, M.R., Li, C., Cui, G., Park, D.: Investigation of colour size effect for colour appearance assessment. *Color Res. Appl.* **36**(3), 201–209 (2011)