**Current Topics in Neurotoxicity 10** 

Micaela Morelli Nicola Simola Jadwiga Wardas Editors

# The Adenosinergic System

A Non-Dopaminergic Target in **Parkinson's Disease** 



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# The Adenosinergic System

A Non-Dopaminergic Target in Parkinson's Disease



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# **Preface**

Parkinson's disease is the second most common neurodegenerative disorder after Alzheimer's disease, and affects more than 5 million people worldwide. Today, the clinical management of Parkinson's disease chiefly relies on the use of the so called "dopamine replacement therapy" in order to re-establish the function of the dopaminergic system, which is affected by the neurodegeneration underlying the disease. While this approach effectively counteracts the motor deficits featuring Parkinson's disease, the chronic use of dopamine replacement therapy eventually leads to the emergence of motor complications (e.g., dyskinesia and motor fluctuations) that greatly limit its therapeutic potential. Moreover, dopamine replacement therapy has no apparent beneficial effects on the progression of dopaminergic degeneration featuring Parkinson's disease. Based on these considerations, there is a need for the development of alternative therapies that could help to overcome these limitations.

In these years, drugs acting as antagonists of the adenosine  $A_{2A}$  receptors have emerged as new promising candidates for the therapy of Parkinson's disease. When evaluated in experimental animal models of the disease, these drugs counteract motor deficits and amplify the beneficial effects of dopaminergic drugs without worsening their dyskinetic effect. Moreover, experimental evidence also indicates that adenosine  $A_{2A}$  receptor antagonists might slow down or arrest the dopaminergic degeneration that underlies Parkinson's disease. Building on this evidence, the research in this field has recently made significant progress, leading to the approval of the first  $A_{2A}$  receptor antagonist for clinical use as adjunct to L-DOPA (istradefylline, marketed under the name of NOURIAST®), and the ongoing clinical evaluation of other promising drugs (e.g., tozadenant).

This book covers basic biological aspects of the adenosine system relevant to Parkinson's disease, and also discusses recent experimental findings at both the preclinical and clinical level. Attention is dedicated to the localization and function of adenosine  $A_{2A}$  receptors, to their interaction with dopaminergic and nondopaminergic receptors in the brain, and to the development of novel molecules that may target  $A_{2A}$  receptors. The critical role of the adenosine system in the regulation of neurotrophic factors, neuroinflammation, and neurotoxicity is also covered, and the relevance of these phenomena to the etiology of Parkinson's disease discussed. Moreover, the book thoroughly describes the effects of adenosine  $A_{2A}$  receptor antagonists observed in experimental models of Parkinson's disease on both motor (akinesia, dyskinesia, tremor) and non-motor (cognition, peripheral functions, sleep) symptoms. Finally, attention is dedicated to the clinical relevance of the adenosinergic system, by describing the development of the first ever approved adenosine  $A_{2A}$  receptor antagonist (istradefylline), the most advanced clinical trials with these drugs, the use of  $A_{2A}$  receptor antagonist in neuroimaging, and the epidemiological evidence that links the adenosine system with the onset and progression of Parkinson's disease.

By gathering updated and high-quality chapters written by world-leading experts in the field, this book provides essential information to preclinical and clinical researchers interested in the development of new therapies against Parkinson's disease and related neurodegenerative disorders.

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# **Chapter 1 Adenosine A2A Receptors: Localization and Function**

**Nicola Simola and Jadwiga Wardas**

**Abstract** Adenosine is an endogenous purine nucleoside present in all mammalian tissues, that originates from the breakdown of ATP. By binding to its four receptor subtypes  $(A_1, A_{2A}, A_{2B}, \text{ and } A_3)$ , adenosine regulates several important physiological functions at both the central and peripheral levels. Therefore, ligands for the different adenosine receptors are attracting increasing attention as new potential drugs to be used in the treatment of several diseases.

This chapter is aimed at providing an overview of adenosine metabolism, adenosine receptors localization and their signal transduction pathways. Particular attention will be paid to the biochemistry and pharmacology of  $A_{2A}$  receptors, since antagonists of these receptors have emerged as promising new drugs for the treatment of Parkinson's disease. The interactions of  $A_{2A}$  receptors with other nonadenosinergic receptors, and the effects of the pharmacological manipulation of  $A_{2A}$ receptors on different body organs will be discussed, together with the usefulness of  $A_{2A}$  receptor antagonists for the treatment of Parkinson's disease and the potential adverse effects of these drugs.

**Keywords** Adenylate cyclase **·** Basal ganglia **·** Dopamine **·** G protein-coupled receptors **·** Heteromeric complexes **·** Nucleoside **·** Purine **·** Striatonigral **·**  Striatopallidal

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#### **Introduction**

The concept of purinergic neurotransmission was first introduced by Burnstock in 1972 and subsequently adenosine 5' triphosphate (ATP) was shown to act either as a transmitter or a co-transmitter in most nerves in both the peripheral and central nervous system (CNS) (Abbracchio and Burnstock [1998](#page-29-0); Abbracchio et al. [2008;](#page-29-1) Burnstock [1972](#page-30-0), [2013](#page-30-1)). At present, it is known that ATP acts as a fast excitatory neurotransmitter or neuromodulator, and has a potent long-term trophic role in cell proliferation, growth and development as well as in disease and cytotoxicity (Abbracchio and Burnstock [1998](#page-29-0); Abbracchio et al. [2008](#page-29-1); Burnstock [2013](#page-30-1)).

ATP and other nucleotides are stored in secretory and synaptic vesicles, and exocytotic vesicular release of ATP from neurons and astrocytes is well established (Abbracchio et al. [2008](#page-29-1); Bowser and Khakh [2007](#page-30-2); Burnstock [2013;](#page-30-1) Pankratov et al. [2006,](#page-34-0) [2007](#page-34-1)). There are also evidences indicating additional mechanisms of the release of this nucleotide, including ATP-cassette transporters, connexin or pannexin hemichannels, plasmalemmal voltage-dependent anion channels and the ATP-sensitive P2X7 receptors (Abbracchio et al. [2008](#page-29-1); Burnstock [2013\)](#page-30-1). After release, ATP and other nucleotides undergo rapid enzymatic degradation to adenosine by ectonucleotidases (Bonan [2012](#page-30-3); Kovacs et al. [2013;](#page-33-0) Yegutkin [2008](#page-37-0); Zimmermann [2006\)](#page-37-1).

#### **Adenosine Metabolism**

Adenosine, an endogenous purine ribonucleoside present in all mammalian tissues, modulates a variety of important synaptic processes and signaling pathways, and regulates the functions of several neurotransmitters in the CNS. Adenosine is considered to be a neuromodulator rather than a neurotransmitter, since it is not stored in synaptic vesicles, and is not released from nerve terminals by exocytosis. Adenosine affects neural activity through multiple mechanisms; presynaptically by controlling neurotransmitter release, postsynaptically by hyperpolaryzing or depolarizing neurons, and non-synaptically mainly via regulatory effects on glial cells (Boison et al. [2010](#page-30-4); Dare et al. [2007](#page-31-0); Fredholm et al. [2005\)](#page-32-0). Although adenosine is generally known to be produced by the ectoenzymatic breakdown of ATP, there might be a subpopulation of neurons and/or astrocytes that release adenosine directly in an activity-dependent manner (Wall and Dale [2007\)](#page-36-0).

It is well established that adenosine may be formed in the CNS either intracelullarly, after degradation of ATP to cyclic-adenosine monophosphate (cAMP) and 5'-AMP, and then transported by nucleotide transporters to the synapse, or extracellularly from nucleotides released into the synaptic cleft (Fig. [1.1\)](#page-15-0). Thus, the formation of adenosine is dependent on the availability of oxygen and energetic compounds as well as on the rate of synthesis and degradation of ATP, released from both neuronal and glial cells. However, it is the release of ATP from astrocytes,

<span id="page-15-0"></span>

**Fig. 1.1** Adenosine synthesis and metabolic pathways. Adenosine is formed both *intracelullarly* from 5'-AMP by the cytosolic 5'-NT, and *extracelullarly* in the metabolism of nucleotides ( *ATP*, *ADP*, *AMP*) released from the cell, through the action of ecto-5'-nucleotidase. Another intracellular source of adenosine may be the hydrolysis of SAH by SAH hydrolase. Hence, adenosine formation depends on ATP breakdown and synthesis. Extracellular adenosine is primarily inactivated by uptake through the transporters ( *ENT*), which are mainly bidirectional, followed by either phosphorylaton to AMP by AKA (under physiological conditions), or, to a lesser degree, deamination to inosine by ADA. Another possible catabolic pathway of adenosine, though of minor significance, is a reversible reaction catalysed by SAH hydrolase, leading to formation of SAH from adenosine and L-homocysteine ( *for more details see the text, and* Abbracchio et al. [2008;](#page-29-1) Burnstock [2013](#page-30-1); Latini and Pedata [2001](#page-33-3); Sperlagh and Vizi [2011](#page-36-1)). *ADA* adenosine deaminase, *AKA* adenosine kinase, *ADP* adenosine diphosphate, *AMP* adenosine monophosphate, *ATP* adenosine 5'-triphosphate,  $A_1$ ,  $A_{2A}$ ,  $A_{2B}$  *and*  $A_3$ —adenosine receptors, *ecto-5'*-NT ecto-5'-nucleotidase, *ENT* nucleoside transporter, *5'-NT* 5'-nucleotidase, *SAH* S-adenosylhomocysteine

either vesicular (Pascual et al. [2005\)](#page-34-2) or via secretion through hemichannels, that is the major source of synaptic adenosine (Kang et al. [2008;](#page-33-1) Kawamura et al. [2010\)](#page-33-2). Moreover, adenosine can be directly released by nucleoside transporters from astrocytes when its intracellular level is augmented in response to a variety of physiological and pathological stimuli (e.g. increased cellular activity, hypoxia/hypoglycemia, ischemia). Then, adenosine may function as a nonsynaptic signalling molecule that diffuses far away from the site of origin and tonically influences neurotransmission, inflammation, and immune responses, as described below (Bours et al. [2006;](#page-30-5) Dare et al. [2007;](#page-31-0) Geiger and Fyda [1991](#page-32-1); Sperlagh and Vizi [2011](#page-36-1)).

#### *Intracellular Formation of Adenosine*

In the cell, adenosine may be formed in the process of adenosine monophosphate (AMP) hydrolysis catalyzed by 5'-nucleotidase, which belongs to the family of enzymes called ectonucleotidases (Fig. [1.1,](#page-15-0) Kovacs et al. [2013](#page-33-0); Yegutkin [2008\)](#page-37-0). Seven types of 5'-nucleotidases have been cloned, characterized and demonstrated in various tissues, including brain tissue (Hunsucker et al. [2005](#page-32-2); Kovacs et al. [2013\)](#page-33-0). This pathway of adenosine formation via the cytosolic ATP catabolism seems to represent a very sensitive signal of increased metabolic rate or metabolic stress (Latini and Pedata [2001\)](#page-33-3).

Another intracellular source of adenosine may be the hydrolysis of S-adenosyl-homocysteine (SAH) by SAH hydrolase (Fig. [1.1\)](#page-15-0), an enzyme present in brain areas, such as the neocortex, hippocampus, and cerebellum (Latini and Pedata [2001\)](#page-33-3). However, this pathway is not strictly dependent upon the energetic state of the cells, and it does not significantly contribute to adenosine production in the brain under either physiological or ischemic conditions (Latini and Pedata [2001](#page-33-3)).

#### *Extracellular Formation of Adenosine*

The extracellular nucleotide and nucleoside levels in the synaptic cleft are controlled by a cascade of enzymes, belonging to the family of ectonucleotidases. There are four major families of ectonucleotidases, namely ectonucleoside triphosphate diphosphohydrolases (E-NTPDases), ectonucleotide pyrophosphatase/phosphodiesterases (E-NPPs), alkaline phosphatases, and ecto-5'-nucleotidase (ecto-5'-NT) (Bonan [2012;](#page-30-3) Kovacs et al. [2013](#page-33-0); Yegutkin [2008](#page-37-0); Zimmerman [2006](#page-37-1)).

The first step of ATP inactivation is mediated by the family of E-NTPDases, which are able to hydrolyse ATP and adenosine diphosphate (ADP) to AMP (Zimmermann [2006](#page-37-1)). Moreover, ATP can be dephosphorylated by E-NPPs and alkaline phosphatases which, like E-NTPDases, have widespread distribution in the CNS (Wang and Guidotti [1998](#page-37-2); Zimmermann [2006](#page-37-1)). The next step of extracellular ATP inactivation involves the hydrolysis of AMP to adenosine and phosphate by the ecto-5'-NT, also known as CD73 (Fig. [1.1\)](#page-15-0), which is attached via a GPI anchor to the outer surface of the plasma membrane. Ecto-5'-NT, which is the rate-limiting step in the formation of adenosine (Sperlagh [1996](#page-36-2); Sperlagh and Vizi [2007](#page-36-3)), is also widely expressed in the brain (e.g. in hippocampal and striatal nerve terminals), and it is predominantly associated with glial cells (Cunha et al. [1992;](#page-30-6) Hunsucker et al. [2005;](#page-32-2) James and Richardson [1993](#page-32-3); Kovacs et al. [2013;](#page-33-0) Schoen et al. [1987\)](#page-35-0).

Another pathway of extracellular adenosine formation may originate from the cAMP or 5'-AMP released into the synapse. Both these nucleotides are responsible for the slow change in the adenosine concentration. The cAMP can be released through non-specific energy-dependent transporters and then, when in the synapse, it can first be converted to 5'-AMP by ecto-phosphodiesterases and then to adenosine by ecto-5'-NT. Another possibility also exists that the cAMP can be converted to 5'-AMP inside the cell and then 5'-AMP can be released into the synaptic cleft, becoming a source of adenosine (e.g. after the NMDA (N-methyl-D-aspartate) stimulation in cortical sections) (Latini and Pedata [2001;](#page-33-3) Sperlagh and Vizi [2011\)](#page-36-1).

The process of extracellular adenosine formation is very fast, and occurs within seconds (Dunwiddie et al. [1997\)](#page-31-1). Adenosine is normally present in a concentration between 30–300 nM, but under hypoxic or ischemic conditions adenosine concentrations in the hippocampus can reach 20–30 µM (Dunwiddie et al. [1997](#page-31-1); Latini et al. [1999](#page-33-4)). It seems that *in vivo* a large part of adenosine present in the synapse under basal conditions comes from the extracellular metabolism of nucleotides (Latini and Pedata [2001;](#page-33-3) Sperlagh and Vizi [2011\)](#page-36-1). In contrast, numerous studies have suggested that in conditions of hypoxia or ischemia adenosine is mainly formed intracellularly and released to the synaptic space by transportes (Latini and Pedata [2001;](#page-33-3) Sperlagh and Vizi [2011](#page-36-1)).

#### *Nucleoside Transporters*

The level of extracellular adenosine is regulated by the process of bidirectional transport of nucleosides, which allows for rapid exchange between extra and intracellular adenosine. In contrast to conventional neurotransmitters, the reuptake of adenosine does not depend on energy-driven transporter-mediated systems. This transport is driven by chemical gradients and by unidirectional concentrative processes, regulated by sodium electrochemical gradient (Dos Santos-Rodrigues et al. [2014;](#page-31-2) Parkinson et al. [2011\)](#page-34-3). There are two functionally distinct types of nucleoside transporters:

- 1. equilibrative nucleoside transporters (ENT), which predominate in the CNS, and carry both purine and pyrimidine nucleosides in both directions across cell membranes, depending on their concentration gradient. Four types of ENT transporters have been characterized: ENT1-2-3-4; type 1 and 2 appear to be present in all cell types, including neurons and glia (Baldwin et al. [2004](#page-29-2); Dos Santos-Rodrigues et al. [2014](#page-31-2); King et al. [2006](#page-33-5); Parkinson et al. [2011](#page-34-3)).
- 2. concentrative nucleoside transporters (CNT, sodium-dependent) which mediate the influx of nucleosides under the force of transmembrane sodium gradient (Dos Santos-Rodrigues et al. [2014](#page-31-2); Latini and Pedata [2001;](#page-33-3) Parkinson et al. [2011](#page-34-3)). Five subtypes of these transporters have been identified, and two types of CNT were cloned and detected in the rat brain, mainly in the posterior hypothalamus, superior colliculus, brainstem, striatum, hippocampus, cerebellum and cortex (Anderson et al. [1996](#page-29-3); Dos Santos-Rodrigues et al. [2014](#page-31-2); Latini and Pedata [2001;](#page-33-3) Parkinson et al. [2011](#page-34-3)).

Since the ENT transporters, which seem to dominate in the CNS, are bi-directional, they can not only increase the flow of adenosine into the cell when its extracellular level exceeds its intracellular one, but they may mediate the efflux of adenosine from the cell, when its intracellular level increases. On the other hand, when the Na+ gradient is reversed, also the concentrative nucleoside transporters can release adenosine from the cell (Dos Santos-Rodrigues et al. [2014;](#page-31-2) Latini and Pedata [2001;](#page-33-3) Parkinson et al. [2011\)](#page-34-3).

#### *Adenosine Inactivation*

Extracellular adenosine is primarily inactivated by uptake across the neuronal cell membrane, followed by either intracellular phosphorylaton to AMP by adenosine kinase (AKA) or to a lesser degree, deamination to inosine by adenosine deaminase (ADA) (Fig. [1.1\)](#page-15-0).

ADA is a cytosolic enzyme present in many neurons in the brain, but its highest activity is seen in neurons of the basal hypothalamus; ADA can also be expressed extracellularly in various tissues (Desrosiers et al. [2007;](#page-31-3) Yegutkin [2008\)](#page-37-0). In addition to the enzymatic function, ADA catalyses the irreversible deamination of adenosine to inosine. ADA can also exist in a form associated with the adenosine  $A_1$ receptor, so called ektoADA, which can act as a positive modulator of the adenosine binding and signalling function (Ciruela et al. [1996;](#page-30-7) Ruiz et al. [2000\)](#page-35-1). Moreover, inosine can be then metabolized to hypoxanthine and finally to urate by xanthine oxidase (Morelli et al. [2010\)](#page-34-4).

AKA is a part of the cycle between adenosine and AMP, which enables the cell to rapidly respond to changes in the concentration of adenosine. AKA can be expressed in both the cytoplasm (short isoform) and the nucleus (long isoform) of astrocytes or neurons, and phosphorylates adenosine to AMP (Boison [2013](#page-30-8)). In the adult brain, the expression of AKA is largely restricted to astrocytes, with the exception of neurons in the olfactory bulb, which maintain high levels of AKA expression (Boison [2013](#page-30-8)).

Several lines of evidence indicate that under basal conditions astrocytic AKA is the main regulator of extracellular adenosine, by driving adenosine influx into astrocytes via bi-directional nucleoside transporters (Boison et al. [2010\)](#page-30-4). In contrast, deamination by ADA prevails under conditions in which adenosine levels become excessive (e.g. due to pathologic activity, such as ischemia or hypoxia) (Latini and Pedata [2001](#page-33-3)).

Another possible metabolic pathway of adenosine involves a reversible reaction catalysed by SAH hydrolase, leading to the formation of SAH and L-homocysteine; however, it represents only a minor pathway of adenosine degradation in physiological conditions, as the level of L-homocysteine and SAH in the brain is very low (Fig. [1.1](#page-15-0)) (Gharib et al. [1982](#page-32-4); Reddington and Pusch [1983](#page-35-2)).

Once present in the extracellular space, adenosine may diffuse far away and influence its receptors (Abbracchio and Burnstock [1998](#page-29-0); Abbracchio et al. [2008;](#page-29-1) Burnstock [1976](#page-30-9); Fredholm et al. [2001](#page-32-0), [2011;](#page-32-5) Ribeiro et al. [2002](#page-35-3)).

#### **Adenosine Receptors**

Currently, four subtypes of adenosine receptors  $(A_1, A_{2A}, A_{2B}, and A_3)$ , which belong to the family of G protein-coupled receptors (GPCR), have been cloned and characterized (Table [1.1\)](#page-19-0) (for recent review see Chen et al. [2014](#page-30-10); Fredholm et al. [2000](#page-31-4), [2001,](#page-32-0) [2011](#page-32-5)). It has been estimated that under physiological conditions, extracellular

	Adenosine receptors			
	A <sub>1</sub>	$\rm A_{2\underline{A}}$	$\rm A_{2B}$	$A_3$
G-protein coupling	Gi/Go	Gs, Golf	Gs	Gi/Go
Transduction mechanisms	$\forall$ AC; $\forall$ Ca <sup>2+</sup>	$A$ AC	$A$ C	$\sqrt{AC}$
	$\triangle$ PLC; $\triangle$ K <sup>+</sup>	$\mathbf{C}a^{2+}$	<b>TPLC</b>	$\mathcal{P}$ Ca <sup>2+</sup> ; $\mathcal{P}$ PLC
Distribution: High	Widespread in the brain; parietal, temporal and occipital cortex, striatum, thalamus	Restricted in the brain: striatum. nucleus accum- bens, globus pal- lidus, olfactory tubercle	Widespread, uni- form distribution	Cerebellum, hippocampus
Intermediate- Low	Frontal and cingulate cortex. nucleus accum- bens, hippocam- pus, amygdala, thalamic reticular nuclei, medial geniculate body, globus pallidus, superior collicu- lus, substantia innominata, substantia nigra, pons, medulla oblongata, spinal cord, cerebellum	Frontal, tem- poral, parietal and occipital cortex, thalamus, hippocampus, pons, cerebel- lum, medulla oblongata		Other brain areas

<span id="page-19-0"></span>**Table 1.1** Adenosine receptors—classification, signal transduction and localization in the CNS

*AC* adenylate cyclase, *Gi, Golf, Gs* G-proteins, *PLC* phospholipase C, ↑ stimulation, ↓ inhibition

levels of adenosine in the rodent CNS (nM range) are sufficient to stimulate both the higher affinity  $A_1$  and  $A_{2A}$  receptors. Under pathological conditions, like hypoxia/ ischemia and seizures, adenosine level rises markedly to concentrations that can stimulate both the lower affinity  $A_3$  and  $A_{2B}$  receptors.

#### *Signal Transduction*

The main adenosine-mediated intracellular signalling pathways involve the formation of cAMP, with  $A_1$  and  $A_3$  receptor stimulation causing (through Gi and Go proteins) the inhibition of adenylate cyclase (AC) and the decrease of cAMP production, which leads to reduced protein kinase A (PKA) activity and cyclic AMP response element binding protein (CREB) phosphorylation. On the other hand, stimulation of  $A_{2A}$  and  $A_{2B}$  receptors activates AC through Gs/olf proteins, resulting in activation of PKA and CREB phosphorylation (Table [1.1](#page-19-0), Fig. [1.2](#page-20-0)) (Cunha [2001;](#page-30-11) Fredholm et al. [2001](#page-32-0), [2011\)](#page-32-5).

<span id="page-20-0"></span>

**Fig. 1.2** Functional interactions among dopamine  $D_2$ , adenosine  $A_{2A}$ , cannabinoid  $CB_1$  and metabotropic glutamate mGlu5 receptors in striato-pallidal neurons. At the intramembrane level, adenosine  $A_{2A}$  receptors interact antagonistically with  $D_2$  and  $CB_1$  receptors. These receptors also exert an opposing effect on the AC level and AC-regulated downstream molecules, such as PKA, DARPP-32, CREB-P, and early genes. MGlu5 and  $A_{2A}$  receptors act synergistically to counteract the  $D_2$  dopamine receptor signalling in striato-pallidal neurons. Synergistic interactions exist between  $A_{2A}$  and mGlu5 receptors at the level of early gene expression (e.g. c-fos), MAP kinases and phosphorylation of DARPP-32 protein. *dashed lines*, inhibitory effect; '+', stimulation; '−', inhibition. *AC* adenylyl cyclase, *Ca*2+ calcium ions, *CaMK* II/IV calcium/calmodulin-dependent protein kinase type II/IV, *cAMP* cyclic AMP, *CREB* cAMP response element-binding protein, *DARPP-32* dopamine- and cAMP-regulated phosphoprotein, *DARPP-32-P (Thr75) and DARPP-32-P (Thr34)* DARPP32-phopshorylated at threonine residues 75 and 34, respectively, *Gi Go*, inhibitory G proteins, *Gq, Gs, Golf* stimulatory G proteins, *MAPK* mitogen-activated protein kinase, *PKA* protein kinase A, *PKC* protein kinase C, *PLC* phospholipase C, *PP-1* protein phosphatase-1, *PP-2* protein phosphatase-2

Besides CREB, the dopamine- and cAMP-regulated phosphoprotein of 32 kDa (DARPP-32), abundantly expressed in striatal projection neurons, is another downstream target of PKA activation induced by  $A_{2A}$  receptor stimulation. Activation of  $A_{2A}$  receptors increases the phosphorylation of DARPP-32 protein at the threonine residue 34 (Thr34), which converts this protein into a potent inhibitor of protein

phosphatase-1 (PP-1) (Fig. [1.2\)](#page-20-0) (Fredholm et al. [2007](#page-32-6); Svenningsson et al. [2000](#page-36-4), [2004\)](#page-36-5). In turn, blockade of  $A_{2A}$  receptors reduces the effect of  $D_2$  receptor blockade on DARPP-32 phosphorylation at Thr34 and, at the same time, increases the phosphorylation of this protein at the threonine residue 75, which converts DARPP-32 into an inhibitor of PKA (Fredholm et al. [2007;](#page-32-6) Svenningsson et al. [2000,](#page-36-4) [2004\)](#page-36-5). Thus, DARPP-32 has the unique property of being a dual-function protein, acting as an inhibitor of either PP-1 or of PKA.

Other mechanisms, such as voltage-sensitive  $Ca^{2+}$  channels (types Q, N, and P),  $K^+$  channels and phospholipase C, are also involved in signal transduction by each of the adenosine receptors (Table [1.1;](#page-19-0) Fig. [1.2\)](#page-20-0) (Dunwiddie and Masino [2001;](#page-31-5) Fredholm et al. [2001,](#page-32-0) [2011;](#page-32-5) Ralevic and Burnstock [1998](#page-35-4)). Additionally, the involvement of mitogen-activated protein kinase (MAPK) pathway was also shown in Chinese hamster ovary cells (CHO) and COS-7 fibroblast-like cells (Dickenson et al. [1998;](#page-31-6) Schulte and Fredholm [2000,](#page-35-5) [2003\)](#page-36-6).

### *Adenosine A1 , A2B and A3 Receptors Localization*

The inhibitory  $A_1$  receptors, which are expressed on both neurons and glial cells, are the most abundant adenosine receptors in many regions of the brain. These receptors are localized both pre- and postsynaptically. The highest expression of  $A<sub>1</sub>$  receptors has been found in the cortex, striatum, thalamus, cerebellum and hippocampus (Table [1.1\)](#page-19-0) (Fastbom et al. [1987](#page-31-7); Fredholm et al. [2005;](#page-32-7) Ochiishi et al. [1999;](#page-34-5) Schindler et al. [2001](#page-35-6); Sebastiao and Ribeiro [2009b\)](#page-36-7). Moreover, the  $A_1$  receptor mRNA is also present in basal ganglia (BG) structures, including the striatum, globus pallidus, and subthalamic nucleus (Dixon et al. [1996\)](#page-31-8). These receptors are also present on astrocytes, oligodendrocytes, and microglia (Biber et al. [1997](#page-29-4); Dare et al. [2007;](#page-31-0) Gebicke-Haerter et al. [1996](#page-32-8); Othman et al. [2003](#page-34-6)). In the striatum, adenosine  $A_1$  receptors are present on both direct and indirect GABAergic efferent neurons as well as on cholinergic interneurons (Alexander and Reddington [1989;](#page-29-5) Ferré et al. 1996; Rivkees et al. [1995\)](#page-35-7). Moreover, presynaptic  $A<sub>1</sub>$  receptors are present on glutamatergic cortico-striatal and dopaminergic nigro-striatal afferents but also on nerve terminals in the globus pallidus, substantia nigra and hippocampus, where they modulate the release of neurotransmitters, such as glutamate, acetylcholine, serotonin and GABA (Cunha [2001;](#page-30-11) Fastbom et al. [1987;](#page-31-7) Rebola et al. [2003\)](#page-35-8).

Adenosine  $A_{2B}$  receptors are mainly present in peripheral organs, like the bowel, bladder, lung, and vas deferens, but can also be found in the spinal cord and brain (Feoktistov and Biaggioni [1997](#page-31-9); Pierce et al. [1992;](#page-34-7) Ralevic and Burnstock [1998\)](#page-35-4). In the brain,  $A_{2B}$  receptors are present in hippocampal CA1 and CA3 neurons, in the hypothalamic, thalamic, and striatal neurons; low levels of these receptors are also expressed on glial cells (Table [1.1](#page-19-0)) (Dare et al. [2007;](#page-31-0) Feoktistov and Biaggioni [1997;](#page-31-9) Fredholm et al. [2001](#page-32-0); Pierce et al. [1992](#page-34-7); Ralevic and Burnstock [1998](#page-35-4)).

The distribution and physiological functions of  $A_3$  receptors in the brain are still unclear, although these receptors are widely distributed in peripheral organs (mainly in the testis and lung) (Dixon et al. [1996;](#page-31-8) Rivkees et al. [2000](#page-35-9); Shearman and Weaver

[1997\)](#page-36-8). A relatively low level of  $A_3$  receptors and their mRNA was detected in the hippocampus, cortex, cerebellum and striatum with cellular localization on neurons, astrocytes, and microglia (Table [1.1](#page-19-0)) (Brand et al. [2001;](#page-30-12) Daré et al. [2007](#page-31-0); Dixon et al. [1996;](#page-31-8) Fredholm et al. [2011](#page-32-5); Hammarberg et al. [2003](#page-32-9); Wittendorp et al. [2004\)](#page-37-3).

#### *Adenosine A2A Receptors and their Localization in the Brain*

In contrast to the widespread distribution of  $A_1$  receptors in the CNS, the  $A_{2A}$  receptors are highly abundant in the striatum and nucleus accumbens. Moreover, positron emission tomography (PET) studies in humans showed that, like in rodents,  $A_{2A}$  receptors were concentrated in the caudate-putamen and nucleus accumbens (Brooks et al. [2008\)](#page-30-13). However, studies performed with more sensitive techniques have demonstrated the presence of  $A_{2A}$  receptors and corresponding mRNAs, albeit at lower level of expression, in several other brain areas, such as the hippocampus, cerebral cortex, extended amygdala, thalamic nuclei, and substantia nigra (Cunha et al. [1994](#page-30-14); Dixon et al. [1996](#page-31-8); Jarvis and Williams [1989](#page-32-10); Rebola et al. [2005;](#page-35-10) Rosin et al. [1998,](#page-36-9) [2003;](#page-35-12) Svenningsson et al. 1998, [1999\)](#page-36-10). It is noteworthy that  $A_{2A}$  receptors are also present on glial cells, and that about 3% of their total number are located on striatal astrocytes (Dare et al. [2007](#page-31-0); Hettinger et al. [2001](#page-32-11); Matos et al. [2012,](#page-33-6) [2013;](#page-34-8) Rosin et al. [2003\)](#page-35-12).

In the striatum,  $A_{2A}$  receptors are homogeneously distributed throughout the lateral and medial parts and display dense labelling of the neuropil (Rosin et al. [1998](#page-35-11), [2003\)](#page-35-12). These receptors are mainly localized postsynaptically on the GABAergic medium-sized spiny neurons of the indirect pathway projecting to the globus pallidus external segment (GPe). These latter neurons also express a high density of dopamine  $D_2$  receptors and enkephalin (Augood and Emson [1994](#page-29-6); Fink et al. [1992;](#page-31-10) Rebola et al. [2005](#page-35-10); Rosin et al. [2003;](#page-35-12) Schiffmann et al. [1991](#page-35-13), [2007](#page-35-14); Svenningsson et al. [1998](#page-36-9)). Conversely, neurons of the direct striato-nigral pathway, which selectively express dopamine  $D_1$  receptors and the peptide dynorphin, do not contain a significant level of  $A_{2A}$  receptors (Schiffmann et al. [1991](#page-35-13)). Morphologically,  $A_{2A}$ receptors in the striatum predominate on dendrites and dendritic spines and are expressed to a lesser extent on axons and axon terminals of recurrent collaterals projecting back to the striatum or from the cortical areas (Rebola et al. [2005\)](#page-35-10).

The  $A_{2A}$  receptors in the striatum are also localized presynaptically on glutamatergic terminals that contact medium-sized spiny neurons of the GABAergic direct striato-nigral pathway (Quiroz et al. [2009;](#page-35-15) Rodrigues et al. [2005](#page-35-16); Rosin et al. [2003\)](#page-35-12), where they heteromerize with  $A_1$  receptors and regulate the release of glutamate (Ciruela et al. [2006](#page-30-15); Quiroz et al. [2009\)](#page-35-15). Such a co-expression of adenosine  $A_{2A}$ and  $A_1$  receptor mRNAs was also found on the glutamatergic nerve terminals in the hippocampus (Rebola et al. [2005\)](#page-35-10), where these receptors may control glutamate release. Moreover,  $A_{2A}$  receptors located on GABAergic collateral axons may modulate in an inhibitory way the GABA release from medium-sized spiny projection neurons, likely relieving a GABA-mediated inhibition of these neurons (Mori et al. [1996\)](#page-34-9). In turn,  $A_{2A}$  receptors located on striatal cholinergic nerve terminals

modulate acetylcholine (Ach) release (Brown et al. [1990;](#page-30-16) Kurokawa et al. [1994](#page-33-7), [1996\)](#page-33-8).  $A_{2A}$  receptor agonists enhance, and  $A_{2A}$  receptor antagonists reduce the Ach release *in vivo* (Kurokawa et al. [1996\)](#page-33-8), which is modulated by the dopaminergic transmission (Kurokawa et al. [1996](#page-33-8)).

Regarding the nucleus accumbens (which is part of the so-called ventral striatum),  $A_{2A}$  receptors follow the same pattern of distribution as the dopamine  $D_2$  receptors, and the shell of the nucleus accumbens displays a density of adenosine  $A_{2A}$ receptors by about 40% lower than that in the dorsal striatum (Rosin et al. [2003](#page-35-12)). A distinction between the dorsal and ventral striatum has already been suggested by others. The dorsal part seems to be the most important for the control of dopaminemediated motor behaviour (Groenewegen [2007](#page-32-12); Joel and Weiner [2000](#page-33-9); Voorn et al. [2004\)](#page-36-11). On the other hand, the so-called ventral striatum, which comprises the nucleus accumbens, the ventromedial part of the striatum, and the olfactory tubercle, is a region connected with limbic structures, and seems to be strongly associated with emotional and motivational aspects of behaviour (Groenewegen [2007;](#page-32-12) Joel and Weiner [2000;](#page-33-9) Voorn et al. [2004\)](#page-36-11).

#### **Homo- and Heteromeric Complexes Formed by Adenosine**  A<sub>2</sub><sup>A</sup> Receptors

A growing body of evidence indicates that  $A_{2A}$  receptors, like many other GPCR not only form homodimers, and heterodimers with  $A_1$  receptors, but also interact with other non-adenosinergic receptors (Ferré et al. [2011](#page-31-11); Fredholm et al. [2007](#page-32-6); Sebastiao and Ribeiro [2009a](#page-36-12), [b](#page-36-7)). Such heteromers are presently regarded as a molecular basis for the known direct and indirect (via adapter proteins) intramembrane receptor/receptor interactions. The best-known heterodimeric interactions involve  $A_{2\lambda}$ and dopamine  $D_2$  receptors (see Chap. 2).

A direct evidence for  $A_{2A}/D_2$  heteromers, in addition to  $A_{2A}$  homomeric complexes, within the plasma membrane came from fluorescent and bioluminescent resonance energy transfer (FRET and BRET) analyses (Canals et al. [2003](#page-30-17)). Such a heteromer represents one of the possible molecular mechanisms for the functional antagonism between  $A_{2A}/D_2$  receptors, demonstrated earlier at different levels, including the receptor and second messenger systems (Fig. [1.2](#page-20-0)) (Ferré et al. 1997, [2011](#page-31-12); Fuxe et al. [2003](#page-32-13); Morelli et al. [1995;](#page-34-10) Sebastiao and Ribeiro [2009a](#page-36-12)[,b](#page-36-7); Svenningsson et al. [2000\)](#page-36-4).

Moreover, heterodimerization between  $A_{2A}$  and metabotropic glutamate mGlu5 receptors has been detected in glutamatergic striatal terminals *in vivo*, and in striatal neurons by *in vitro* studies, and has been suggested to play a role in striatal plasticity and in modulation of the activity of striato-pallidal neurons (Ferré et al. [2002;](#page-31-13) Rodrigues et al. [2005](#page-35-16)). Unlike in heteromers composed of  $A_{2A}$  and dopamine  $D_2$ receptors, which interact in an opposing functional way, the  $A_{2A}/mGlu5$  receptor interaction may account for the synergism found after combined treatments with agonists or antagonists, demonstrated at both the biochemical and behavioural levels (Fig. [1.2\)](#page-20-0) (Ferré et al. [2002;](#page-31-14) Nishi et al. [2003](#page-34-11); Popoli et al. [2001](#page-34-12)). A molecular mechanism underlying this functional interaction may be based on the fact that co-activation of mGlu5 and  $A_{2A}$  receptors by agonists synergistically increases DARPP-32 phosphorylation (Nishi et al. [2003\)](#page-34-11). This potentiation of  $A_{2A}/DARPP-32$ signalling by mGlu5 receptors seems to results from the ability of mGlu5 to enhance the  $A_{2A}$ -mediated cAMP formation in an extracellular signal-regulated kinase (ERK1/2)-dependent manner. Since  $A_{2A}$ ,  $D_2$  and mGlu5 receptors co-localize on the dendritic spines of the indirect striato-pallidal GABA pathway, the interactions between them may have a major role in the control of these striatal output neurons. In addition, presynaptic interactions between  $A_{2A}$  and mGlu5 receptors on striatal glutamatergic nerve terminals may also contribute to the described interaction by synergistic regulation of glutamate release (Rodrigues et al. [2005](#page-35-16)).

Further interaction was reported between  $A_{2A}$  and cannabinoid  $CB_1$  receptors, which may also form heteromeric complexes and in this way  $A_{2A}$  receptor activation facilitates  $CB_1$  receptor signalling in the striatum (Fig. [1.2\)](#page-20-0) (Carriba et al. [2007;](#page-30-18) Ferré et al. [2010](#page-31-13); Sebastiao and Ribeiro [2009a\)](#page-36-12). Accordingly,  $A_{2A}$  receptor blockade was found to counteract the motor depressant effects produced by intrastriatal administration of  $CB_1$  receptor agonists (Carriba et al. [2007;](#page-30-18) Ferré et al. [2010\)](#page-31-13).

Recently, the existence of receptor heteromultimers has been proposed. Thus, using a sequential resonance energy transfer (SRET) and bimolecular fluorescence complementation plus BRET, evidence for  $A_{2A}$ -CB<sub>1</sub>-D<sub>2</sub> and  $A_{2A}$ -D<sub>2</sub>-mGlu5 receptor heteromers in transfected cells has been obtained (Cabello et al. [2009;](#page-30-19) Carriba et al. [2008](#page-30-20)). Such interactions at both pre- and postsynaptic levels play an important role in the control of neurotransmission and signalling in different brain structures, and provide selective targets for drug development in many disorders of the CNS. However, recently Pinna et al. ([2014\)](#page-34-13) showed that the interactions between  $A_{2A}$ ,  $CB<sub>1</sub>$ , and  $D<sub>2</sub>$  receptors may be disrupted by L-DOPA administration in hemiparkinsonian rats, which could question the relevance of receptor heteromultimers to the therapy of motor dysfunctions in Parkinson's disease (PD).

#### **Physiological Functions of Adenosine and Adenosine**  A<sub>2</sub><sup>A</sup> Receptors

Adenosine receptors regulate several important physiological functions at both the central and peripheral levels. However, the specific influence of each receptor subtype on these functions may vary, due to differences in both receptor distribution in the various body organs and affinity for endogenous adenosine, as described above. Remarkably, adenosine  $A_{2A}$  receptors have recently attracted a great deal of attention as potential targets of drugs for different pathological conditions. Further in this chapter, we will summarize the best-characterized biological functions of adenosine  $A_{2A}$  receptors. The effects mediated by adenosine  $A_{2A}$  receptors that are more relevant to the pathological features of PD will be extensively discussed in other chapters of this book.

#### *Central Effects of Adenosine A<sub>24</sub> Receptors*

A major branch of the research on adenosine  $A_{2A}$  receptors focuses on the modulation of motor behaviour, based on the fact that these receptors are highly expressed in the striatum, a key nucleus of the BG circuitry (Fig. [1.3](#page-25-0)), where they are almost exclusively located on the GABAergic neurons of the striato-pallidal (or indirect)

<span id="page-25-0"></span>

**Fig. 1.3** Schematic representation of the basal ganglia circuitry and cellular localization of  $A_{2A}$ receptors in the striatum. The picture shows the two major striatal GABAergic output pathways.  $A_{2A}$  receptors are almost selectively localized to GABAergic neurons that express dopamine D<sub>2</sub> receptors and project to the GPe (striato-pallidal neurons). By contrast, GABAergic neurons that project directly to the GPi and express  $D_1$  receptors (striato-nigral neurons), display scarce levels of  $A_{2A}$  receptors. Dopamine depletion in the striatum that is characteristic of PD, results in a reduced stimulation of both dopamine  $D_1$  and  $D_2$  receptors, leading to a disinhibition of GABAergic striato-pallidal neurons, a reduced stimulation of GABAergic striato-nigral neurons, and to a reduction of the inhibitory control on the GPi. The disinhibition of GPe neurons amplifies the excitatory glutamate transmission of the STN. The resulting imbalance between the activity of the two main striatal efferent pathways, leads to a marked increase in the inhibitory output from the GPi, and to an excessive inhibition of Th-Cortex neurons, resulting in reduced movement performance. Blockade of  $A_{2A}$  receptors in PD mitigates the overactivity of striato-pallidal and STN-GPi neurons, restoring some balance between the activity of the indirect and direct pathways. *DA* dopamine, *GABA* γ-aminobutyric acid, *Glu* glutamate, *GPe* globus pallidus *pars externa*; *GPi* globus pallidus *pars interna*, *PD* Parkinson's disease, *STN* subthalamic nucleus, *Th* thalamus

pathway that project to the GPe (Hettinger et al. [2001\)](#page-32-11). At this level, adenosine  $A_{2A}$ receptors can interact in an opposing way with dopamine  $D<sub>2</sub>$  receptors (Svennings-son et al. [1999\)](#page-36-10), in such a way that the stimulation of  $A_{2A}$  receptors depresses the D<sub>2</sub> receptors-dependent signalling (Díaz-Cabiale et al. [2001;](#page-31-15) Ferré et al. [1997](#page-31-12)). In line with these observations, and considering that dopamine  $D_2$  receptors crucially regulate movement execution, the stimulation of adenosine  $A_{2A}$  receptors results in motor depressant effects, while the blockade of these receptors stimulates movement (Ferré et al. [1997](#page-31-12); Hauber and Münkle [1997](#page-32-14)). Importantly, and notwithstanding their almost exclusive expression on the striato-pallidal neurons,  $A_{2A}$  receptors, by acting on BG loops, can also influence the effects mediated by dopamine D<sub>1</sub> receptors, located on GABAergic neurons belonging to the striato-nigral (or direct) pathway, which play a crucial role in motor control, as well (Ferré et al. [1997](#page-31-12); Le Moine et al. [1997](#page-33-10)). Taken together, these findings justify the intensive studies of adenosine  $A_{2A}$  receptor antagonists as new drugs for the treatment of the motor deficits occurring in PD (see Chaps. 7, 9, 14).

Besides motor control, adenosine  $A_{2A}$  receptors regulate important non-motor central functions. Studies with caffeine, a non-selective  $A_1/A_{2A}$  adenosine receptor antagonist, have clearly demonstrated that the adenosine system is involved in the regulation of attention and motivation. Data obtained from both experimental animals and humans indicate that caffeine augments alertness and wakefulness, reduces the perception of fatigue, and delays the need for sleep (Fredholm et al. [1999;](#page-31-16) Snel and Lorist [2011\)](#page-36-13). Interestingly, additional studies in experimental animals have demonstrated that adenosine  $A_{2A}$  receptors play a critical role in caffeine-induced arousal and increased alertness (Higgins et al. [2007;](#page-32-15) Lazarus et al. [2011](#page-33-11)). Moreover, caffeine improves the performance in memory tasks in both experimental animals and humans, and similar effects have been described for selective adenosine  $A_{2A}$  receptor antagonists in experimental animals (Kadowaki Horita et al. [2013](#page-33-12); Prediger et al. [2005](#page-35-17), see also Chap. 10), although others failed to observe beneficial effects of  $A_{2A}$  receptor antagonists on memory (O'Neill and Brown [2007\)](#page-34-14). Furthermore,  $A_{2A}$  receptors play a crucial role in reward, motivation, and perception of stimuli, and both caffeine and selective  $A_{2A}$  receptor antagonists facilitate these phenomena (Fredholm et al. [1999;](#page-31-16) Higgins et al. [2007;](#page-32-15) Mott et al. [2009\)](#page-34-15). In line with these data, other studies have demonstrated that adenosine  $A_{2A}$  receptors may influence the effects of psychostimulant drugs of abuse, such as cocaine, methamphetamine and nicotine (Cauli et al. [2003;](#page-30-21) Justinova et al. [2009](#page-33-13); Kobayashi et al. [2010](#page-33-14); Simola et al. [2006](#page-36-14); Wells et al. [2012\)](#page-37-4).

Adenosine  $A_{2A}$  receptors have also been implicated in depression, as suggested by the beneficial effects of either genetic deletion or pharmacological blockade of these receptors in animal models of this pathology (El Yacoubi et al. [2001](#page-31-17); Yamada et al. [2013](#page-37-5)). Another crucial function which appears to be regulated by adenosine  $A_{2A}$  receptors is epileptogenesis, as indicated by the experimental and clinical evidences showing that caffeine and theophylline, another non-selective adenosine receptor antagonist, may induce and/or aggravate seizures (Boison [2011\)](#page-30-22). However, the precise role of adenosine  $A_{2A}$  receptors in epileptogenesis is still debated, as studies in experimental animals have demonstrated that these receptors can have

either facilitatory or inhibitory effects on seizures, depending on the experimental model utilized (Ates et al. [2004](#page-29-7); Souza et al. [2013](#page-36-15); Tchekalarova et al. [2010](#page-36-16)). In addition, adenosine  $A_{2A}$  receptors can modulate nociception, and either blockade or genetic deletion of these receptors has been shown to elevate the pain threshold in experimental models (Hussey et al. [2007;](#page-32-16) Ledent et al. [1997\)](#page-33-15), likely by an action on central nociceptive pathways. It has to be mentioned that adenosine  $A_{2A}$  receptors can also be found in peripheral nerves, where their stimulation decreases the pain threshold, likely by facilitating the transmission at the level of the primary afferent pathways (Khasar et al. [1995](#page-33-16)).

The regulation of neuron homeostasis and survival is another major function of adenosine  $A_{2A}$  receptors in the CNS. A number of studies in experimental models of neurodegenerative diseases, such as Alzheimer's disease, Huntington's disease (HD), and PD (Espinosa et al. [2013;](#page-31-18) Popoli et al. [2008;](#page-34-16) Schwarzschild et al. [2003\)](#page-36-17), cerebral ischemia (Chen and Pedata [2008\)](#page-30-23), and spinal cord trauma (Cassada et al. [2002\)](#page-30-24) have consistently demonstrated that genetic and/or pharmacological manipulation of  $A_{2A}$  receptors may counteract the neurodegeneration and neuroinflammation associated with these conditions. However, it has to be mentioned that  $A_{2A}$ receptors may differently influence these processes depending on the specific experimental model used. Thus,  $A_{2A}$  receptor blockade has consistently been shown to attenuate neuronal death and inflammatory damage in models of cerebral ischemia and neurodegenerative diseases. Conversely, the stimulation, rather than blockade, of  $A_{2A}$  receptors affords neuroprotection in experimental models of spinal trauma. Furthermore, evidences also exist suggesting that stimulation of  $A_{2A}$  receptors may protect neurons in models of HD (Popoli et al. [2008](#page-34-16)). It has been hypothesized that adenosine  $A_{2A}$  receptors may modulate neuronal homeostasis by attenuating either glutamate-induced excitotoxicity or glial activation (or both), two mechanisms that are known to play a crucial role in neurodegenerative and neuroinflammatory phenomena (Halliday and Stevens [2011](#page-32-17); Milanese et al. [2009\)](#page-34-17).

#### *Peripheral Effects of Adenosine A<sub>24</sub> Receptors*

In addition to the protective effects elicited in the CNS, studies in experimental animals have indicated that adenosine  $A_{2A}$  receptors can modulate inflammation and tissue damage in different peripheral organs, including the heart, kidney, lung, and intestine, as observed in several *in vitro* and *in vivo* models of inflammatory diseases. The modulation of inflammatory responses by  $A_{2A}$  receptors can be explained considering that many cells of the immune system, such as basophils, lymphocytes, mast cells, monocytes, and neutrophils express  $A_{2A}$  receptors, and that these receptors profoundly influence the function of immune cells (Haskó et al. [2008;](#page-32-18) Hersh-field [2005;](#page-32-19) Revan et al. [1996](#page-35-18)). Among the functions regulated by  $A_{2A}$  receptors are the induction of pro-inflammatory mediators (Pouliot et al. [2002](#page-34-18); Sullivan et al. [2001\)](#page-36-18), activation of T cells (Sevigny et al. [2007\)](#page-36-19), mast cell migration (Duffy et al. [2007\)](#page-31-19), and monocyte secretion (Link et al. [2000\)](#page-33-17). Anti-inflammatory effects are usually observed following the stimulation of  $A_{2A}$  receptors, although these receptors have complex effects on inflammation (Antonioli et al. [2008](#page-29-8); Trevethick et al. [2008\)](#page-36-20), and data also exist showing that blockade of  $A_{2A}$  receptors may attenuate inflammation in peripheral organs (Katebi et al. [2008\)](#page-33-18).

Besides their effects on inflammation,  $A_{2A}$  receptors can modulate other important functions of peripheral organs. Adenosine  $A_{2A}$  receptors regulate several aspects of cardiovascular physiology, although some of these effects are ascribable to either the cross-talk between  $A_{2A}$  and other adenosine receptor subtypes, or to extracardiac  $A_{2A}$  receptors (Headrick et al. [2013\)](#page-32-20). Stimulation of  $A_{2A}$  receptors has been reported to enhance the contractility of cardiomyocytes, to elicit a positive inotropic action (Dobson and Fenton [1997](#page-31-20)), and to promote dilation of different vessels, including the coronary arteries (Belardinelli et al. [1998](#page-29-9); Rump et al. [1999;](#page-35-19) Sato et al. [2005](#page-35-20)). Remarkably, the  $A_{2A}$  receptor agonist regadenoson is currently the most commonly used vasodilator in the U.S.A. (Ghimire et al. [2013](#page-32-21)). Adenosine  $A_{2A}$  receptors have also been suggested to participate in angiogenesis by promoting the generation of vascular endothelial growth factor (VEGF) (Adair et al. [2005](#page-29-10)), in atherosclerosis, by inhibiting the formation of foam-cells (Bingham et al. [2010\)](#page-29-11), and in cardioprotection during ischemia, owing to their ability to modulate cell infiltration and inflammatory responses (Glover et al. [2005](#page-32-22)). Adenosine  $A_{2A}$  receptors are also expressed at the level of the intestine, where they may influence some aspects of enteric function, such as contractility and secretion, although inconsistent results have been reported (Fornai et al. [2009](#page-31-21); Storr et al. [2002](#page-36-21); Tomaru et al. [1995\)](#page-36-22). However, the best-characterized effect of  $A_{2A}$  receptors at this level is related to the modulation of intestinal inflammation, and a marked up-regulation of highaffinity  $A_{2A}$  receptors has been observed in experimental colitis (Antonioli et al. [2006,](#page-29-12) [2008\)](#page-29-8). Importantly, independent studies have shown that the stimulation of  $A_{2A}$  receptors attenuates inflammatory responses in the colon (Antonioli et al. [2010;](#page-29-13) Odashima et al. [2005;](#page-34-19) Rahimian et al. [2010\)](#page-35-21), although it has to be acknowledged that others failed to observe this effect (Selmeczy et al. [2007\)](#page-36-23). Adenosine  $A_{2A}$  receptors have also been shown to modulate inflammation and tissue damage in the lung, as demonstrated by several preclinical studies (Eckle et al. [2009](#page-31-22); Trevethick et al. [2008](#page-36-20); Wilson et al. [2009\)](#page-37-6). This effect may be particularly relevant to some diseases, like chronic obstructive pulmonary disease (COPD) and asthma, both of which involve major inflammatory mechanisms, as well as to acute lung trauma. The efficacy of  $A_{2A}$  receptor agonists has indeed been demonstrated in preclinical models of these diseases (Bonneau et al. [2006;](#page-30-25) Fozard et al. [2002;](#page-31-23) LaPar et al. [2011](#page-33-19)), and these drugs are currently under clinical evaluation, though with inconsistent results (Salgado Garcia et al. [2014](#page-35-22); Trevethick et al. [2008](#page-36-20)) Adenosine  $A_{2A}$  receptors also regulate kidney physiology, by modulating the dilation of efferent arterioles, renal blood flow, and glomerular filtration rate (Al Mashhadi et al. [2009;](#page-29-14) Carlström et al. [2011](#page-30-26); Levens et al. [1991\)](#page-33-20), as well as by influencing renal inflammation (Awad et al. [2006;](#page-29-15) Garcia et al. [2011](#page-32-23); Okusa et al. [1999](#page-34-20)). Finally, adenosine  $A_{2A}$  receptors have been suggested to participate in other physiopathological functions, such as ocular hemodynamics and protection from ischemic retinal damage (Zhong et al. [2013\)](#page-37-7), wound healing (Katebi et al. [2008](#page-33-18); Squadrito et al. [2014](#page-36-24)), inflammation in experimental models of arthritis (Mazzon et al. [2011\)](#page-34-21), and tumour growth (Kalhan et al. [2012;](#page-33-21) Montinaro et al. [2013\)](#page-34-22).

As described above, adenosine  $A_{2A}$  receptors regulate several physiological functions at both the central and peripheral level. Therefore, considering clinical prospects for chronic use of drugs binding to  $A_{2A}$  receptors, these effects should receive a great deal of scrutiny. However, it should be mentioned that instances of side effects, in particular from the cardiovascular system, have been relatively frequently observed with  $A_{2A}$  receptor agonists. On the other hand,  $A_{2A}$  receptor antagonists, that show the most promising antiparkinsonian potential among adenosinergic ligands, appear generally well-tolerated, as confirmed by clinical trials (LeWitt et al. [2008;](#page-33-22) Mizuno et al. [2013\)](#page-34-23).

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# **Chapter 2 Allosteric Mechanisms in the Adenosine A2A-Dopamine D2 Receptor Heteromer**

**Sergi Ferré, Gemma Navarro, Jordi Bonaventura, Estefanía Moreno, Nora D. Volkow, Carme Lluís and Vicent Casadó**

**Abstract** The pentameric structure constituted by one G protein coupled receptor (GPCR) homodimer and one heterotrimeric G protein provides a main functional unit and oligomeric entities can be viewed as multiples of dimers. For GPCR heteromers, experimental evidence supports a tetrameric structure, comprised of two different homodimers, each able to signal with their preferred G protein. GPCR homomers and heteromers can act as the conduit of allosteric interactions of orthosteric ligands. One ligand binding to one of the receptor units (protomer) modulates the properties of the same or another orthosteric ligand binding to another protomer. The agonist/agonist interaction in the adenosine  $A_{2A}$  receptor  $(A_{2A}R)$ -dopamine  $D_2$  receptor ( $D_2R$ ) heteromer, by which  $A_{2A}R$  agonists decrease the affinity of  $D_2R$  agonists, constitutes a well-known example and gave the first rationale for the use of  $A_{2A}R$  antagonists in Parkinson's disease. We review most recent studies that extend those findings to, first, ligand-independent allosteric modulations of the  $D_2R$  protomer that result in changes of the binding properties of  $A_{2A}R$  ligands in the  $A_{2A}R-D_2R$  heteromer; second, the differential modulation of the intrinsic efficacy of  $D_2R$  ligands for G protein-dependent and independent signaling; and third, the existence of the canonical antagonistic Gs-Gi interaction within the frame of the  $A_{2A}R-D_2R$  heteromer. These studies support the heterotetrameric structure of GPCR heteromers.

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## The A<sub>2A</sub>R- D<sub>2</sub>R Heteromer as a Model to Understand **Allosterism within GPCR Oligomers**

John Newport Langley and Paul Ehrlich independently introduced the "receptor" concept in 1878. Since then receptors have mostly been considered as single functional units. But we know now that receptors form multimolecular aggregates that include other receptors with the formation of receptor oligomers (Ferré et al. [2009\)](#page-47-0). Most evidence indicates that, as for family C G protein-coupled receptors (GPCRs), family A GPCRs form homo- and heteromers (Ferré et al. [2009,](#page-47-0) [2014](#page-47-1); Milligan and Bouvier [2005;](#page-48-0) Pin et al. [2007](#page-48-1)). Receptor oligomer is defined as a macromolecular complex composed of at least two (functional) receptor units (protomers) with biochemical properties that are demonstrably different from those of its individual components (Ferré et al. [2009\)](#page-47-0).

To understand the unique biochemical properties of receptor oligomers we need to understand the basis of allosterism, which is defined as the process by which the interaction of a chemical or protein at one location on a protein or macromolecular complex (the allosteric site) influences the binding or function of the same or another chemical or protein at a topographically distinct site (Smith and Milligan [2010\)](#page-48-2). In this respect, it is useful to consider ligands as modulators and modulated entities, and the receptors or receptor oligomers as the conduits of the allosteric modulation (Kenakin and Miller [2010](#page-48-3)). An orthosteric agonist (which binds to the same receptor site as the endogenous transmitter) has two main properties: affinity (the avidity to bind to the receptor) and intrinsic efficacy (the power with which the agonist, once bound to the receptor, produces the functional response). In classical allosterism, the allosteric ligand, by binding to a non-orthosteric site, can modify either of these properties. In this frame, the GPCR is the conduit of the allosteric modulation and is usually considered as a monomeric entity.

A first important concept that arises from the new field of GPCR oligomerization is that the pentameric structure constituted by one GPCR homodimer and one heterotrimeric G protein provides a main functional unit, and oligomeric entities can be viewed as multiples of dimers (Ferré et al. [2014](#page-47-1)). Then, in the frame of GPCR homodimers, allosterism implies that the dimer can act as the conduit of the allosteric modulation by an orthosteric ligand, which binds to one of the protomers, to the same or another orthosteric ligand, which binds to the second protomer. The realization of these interactions is leading to a profound modification of classical pharmacology. For instance, application of new models of analysis of radioligand binding experiments that consider the homodimer as a fundamental functional unit is allowing a better understanding of complex binding saturation or competition curves. Particularly, the two-state dimer model (Casadó et al. 2007; Ferré et al. [2014\)](#page-47-1) is a practical model to analyze allosteric modulations of one ligand molecule

binding on the affinity of a second ligand molecule binding to a GPCR homodimer. From saturation experiments, the two-state dimer model provides an index of cooperativity of the radioligand (degree of modulation exerted by the first ligand molecule binding to the first protomer on the affinity of the second ligand molecule binding to the second protomer in the homodimer). From competition experiments, the two-state dimer model provides three more indexes: an index of cooperativity of the competing ligand; and index of the modulation of the affinity of the competing ligand binding to the second protomer by the radioligand binding to the first protomer; and an index of the modulation of the affinity of the radioligand binding to the second protomer by the competing ligand binding to the first protomer (Casadó et al. 2007; Ferré et al. [2014\)](#page-47-1).

When considering receptor heteromers as conduits of allosteric interactions, two possible scenarios should be considered (Kenakin and Miller [2010\)](#page-48-3). In the first scenario, a ligand binding to one of the receptors in the heteromer leads to changes in the properties (affinity or intrinsic efficacy) of a ligand binding to the second molecularly different receptor. The best example is the allosteric antagonistic interaction between adenosine  $A_{2A}$  receptor  $(A_{2A}R)$  agonists on dopamine  $D_2$  receptor  $(D_2R)$  agonists in the  $A_{2A}R - D_2R$  heteromer, by which  $A_{2A}R$  agonists decrease the affinity of  $D_2R$  agonists. This is probably the most quoted and reproduced allosteric modulation in a GPCR heteromer (Dixon et al. [1997](#page-47-2); Ferré et al. [1991](#page-47-3); Kudlacek et al. [2003\)](#page-48-4). The  $A_{2A}R - D_2R$  heteromer is selectively localized in the GABAergic striato-pallidal neuron (also called indirect medium spiny neuron or iMSNs) (Azdad et al. [2009;](#page-46-0) Ferré et al. [2007](#page-47-4); Trifilieff et al. [2011](#page-49-0)). It has been hypothesized that allosteric interactions between  $A_{2A}R$  and  $D_2R$  agonists within the  $A_{2A}R-D_2R$  heteromer provide a mechanism responsible for the behavioral depressant effects of adenosine analogues and for the psychostimulant effects of selective adenosine  $A_{2A}R$  antagonists and the non-selective adenosine receptor antagonist caffeine, with implications for several neuropsychiatric disorders (Ferré [2008](#page-47-5); Ferré et al. [2004](#page-47-6), [2008\)](#page-47-7). In fact, the same mechanism provided the first rationale for the use of  $A_{2A}R$  antagonists in Parkinson's disease (Armentero et al. [2011](#page-46-1); Ferré et al. [1992](#page-47-8); Muller and Ferré [2007\)](#page-47-4). In the second scenario of allosteric modulation within GPCR heteromers, the modulator is not a ligand, but a protein (see the above-mentioned definition of allosterism): one of the receptors acts as modulator of a ligand binding to the other molecularly different receptor (Kenakin and Miller [2010\)](#page-48-3). It is this allosteric modulation that can theoretically allow the selective targeting of different subpopulations of a particular receptor, like pre- *versus* postsynaptic receptors (see below). Again, the A2AR-D2 R provides a valuable example. Screening with various *in vitro* and *in vivo* techniques led to the finding of very different qualitative properties of several selective  $A_{2A}R$  antagonists. The most striking finding was a decrease in the affinity of SCH 442416 for  $A_{2A}R$  when forming heteromers with  $D_2R$ , compared to when not forming heteromers or forming heteromers with adenosine  $A_1$  receptor  $(A_1R)$  (Orru et al. [2011a](#page-48-5)). Application of the two-state dimer model indicated that SCH 442416 binds with low affinity due a strong negative cooperativity that appears when the  $D_2R$  binds to the  $A_{2A}R$  in the heteromer (Ferré et al. [2014](#page-47-1); Orru et al. [2011a](#page-48-5)), strongly suggesting that the  $A_{2A}R-D_2R$  comprises at least two  $A_{2A}R$  protomers.

Being a weak ligand for the  $A_{2A}R-D_2R$  heteromer, SCH 442416 would not be useful in Parkinson's disease. Nevertheless, SCH 442416 acts preferentially on presynaptic striatal  $A_{2A}R$  localized in cortico-striatal glutamatergic terminals that forming heteromers with  $A_1R$ . By blocking presynaptic  $A_{2A}R$ , SCH 442416 potently blocks cortico-striatal glutamatergic neurotransmission at doses that do not produce locomotor activation, that do not block postsynaptic  $A_{2A}R$  (Orru et al. [2011a\)](#page-48-5). The opposite pharmacological profile was obtained with KW 6002, which produced strong locomotor activity at doses that would be ineffective at blocking cortico-striatal glutamatergic neurotransmission (Orru et al. [2011a](#page-48-5)). KW 6002 would therefore be a promising antiparkinsonian agent. In fact, KW 6002 is already being successfully used in the treatment of Parkinson's disease (Jenner [2014;](#page-47-9) Pinna [2014](#page-48-6)).

The possibility of selectively targeting  $A_1R - A_{2A}R$  heteromers with SCH 442416 was used to identify an important contributor to the reinforcing effects of cannabinoids: cortico-striatal glutamatergic neurotransmission. Initially, a paradoxical result had ben reported, by which the  $A_{2A}R$  antagonist MSX-3 decreases THC and anandamide self-administration in squirrel monkeys at a relatively low dose, while a three-fold higher dose produced the opposite effect (Justinová et al. [2011\)](#page-48-7). Based on results obtained in rats (Orru et al. [2011a\)](#page-48-8), it was hypothesized that the different dose-dependent effects of MSX-3 could be related to a slightly selective presynaptic effect at lower doses with an overriding postsynaptic effect at larger doses. This hypothesis was confirmed by testing the effects of SCH-442416 and KW-6002 (Justinová et al. [2014\)](#page-48-9). SCH-442416 produced a significant shift to the right of the THC self-administration dose-response curves, consistent with antagonism of the reinforcing effects of THC. On the other hand, KW-6002 produced a significant shift to the left, consistent with potentiation of the reinforcing effects of THC. These results show that selectively blocking presynaptic  $A_{2A}R$  could provide a pharmacological approach to the treatment of marijuana dependence, and underscore corticostriatal glutamatergic neurotransmission as a possible main mechanism involved in the rewarding effects of THC. At a more general level, these results also show that while the concept of using GPCR heteromers to target specific cell types is relatively new, it is a promising approach for targeting specific cell types to modulate specific symptoms of SUD.

# **Functional Significance and Regulation of Allosteric**  Interactions in the A<sub>2A</sub>R-D<sub>2</sub>R Heteromer

Demonstration of the functional significance of receptors heteromers is becoming an important goal in GPCR research. One main reason is their possible use as targets for drug development. The allosteric interactions in GPCR heteromers determine the specific biochemical properties of these heteromers, conferring their functional and pharmacologcical significance. In order to ascertain a biochemical property of the GPCR heteromer, which can then be used as a "biochemical fingerprint" for its identification in native tissues, the putative biochemical property should be

disrupted with molecular or chemical tools that destabilize the quaternary structure of the heteromer (Ferré et al. [2009,](#page-47-0) [2014\)](#page-47-1). This can be achieved by introducing mutations that modify key determinant residues at the oligomerization interfaces or using competing peptides with the sequence of specific receptor domains putatively involved in receptor oligomerization (Azdad et al. [2009;](#page-46-0) Banères and Parello [2003;](#page-46-2) Guitart et al. [2014](#page-47-10); He et al. [2011;](#page-47-11) Hebert et al. [1996;](#page-47-12) Pei et al. [2010\)](#page-48-10). Studies of peptide-peptide interactions using biophysical methods (such as Bioluminescence Resonance Energy Transfer or BRET) and mass spectrometry, led to the identification of intracellular epitopes of the  $D_2R$  (an arginine-rich epitope of the third intracellular loop or 3IL) and the  $A_{2A}R$  (a distal C-terminal epitope containing a phosphorylated serine, serine-374) that establish a strong electrostatic interaction and are important determinants of the quaternary structure of the  $A_{2A}R-D_2R$  heteromer (Borroto-Escuela et al. [2010;](#page-46-3) Ciruela et al. [2004](#page-47-13); Navaro et al. [2010](#page-48-11); Woods and Ferré [2005\)](#page-49-1). In BRET, a bioluminescence donor molecule, *Renilla* luciferase (Rluc), emits light upon addition of its substrate coelenterazine H. If in very close proximity (less than 10 nm), this emission transfers energy to a fluorescence acceptor molecule, such as yellow fluorescence protein (YFP). When studying GPCR heteromerization, Rluc is fused to one of the receptors and YFP is fused to the other receptor unit. Heteromerization of  $A_{2A}R$ -Rluc and  $D_2R$ -YFP was then demonstrated in transfected cells (Canals et al. [2003\)](#page-47-14). Subsequent studies showed that transfection with a mutant  $A_{2A}R$  with substitution of serine-374 by alanine  $(A_{2A}R^{A374}-R\text{luc})$ , instead of  $A_{2A}R$ -Rluc) and  $D_2R$ -YFP, significantly reduced BRET values (Borroto-Escuela et al. [2010](#page-46-3); Navarro et al. [2010\)](#page-48-11), and the potency of the  $A_{2A}R$  agonist CGS 21680 to decrease the affinity of  $D_2R$  for dopamine agonists (Bonaventura et al. 2014; Borroto-Escuela et al. [2010](#page-46-3)). These results demonstrated that the agonistagonist allosteric interaction constitutes a biochemical property of the  $A_{2A}R-D_2R$ heteromer. Therefore, its demonstration in striatal tissue indicates the presence of the  $A_{2A}R-D_2R$  heteromer in the brain (Ferré et al. [1991\)](#page-47-3).

A peptide approach was then used to evaluate the neuronal localization and functional significance of the  $A_{2A}R - D_2R$  heteromer. A very effective antagonistic interaction between  $A_{2A}R$  and  $D_2R$  agonists was demonstrated with patch-clamp experiments (using knock-in mice expressing GFP) in  $D_2R$ -containing neurons in striatal slices (Azdad et al. [2009](#page-46-0)). CGS 21680 completely counteracted the ability of the  $D_2R$  agonist R(-)-propylnorapomorphine hydrochloride (NPA) to block NMDA-induced neuronal firing. This effect was selectively counteracted by the application of a small peptide with an amino acid sequence corresponding the epitope of the  $A_{2A}R$ that includes serine-374 (Azdad et al. [2009](#page-46-0)). These results would suggest that this pharmacological interaction is determined by the agonist-agonist allosteric interaction in the  $A_{2A}R-D_2R$  heteromer, since both depend on the electrostatic interaction between intracellular domains of the  $A_{2A}R$  and  $D_2R$  involved in the establishment of the quaternary structure of the  $A_{2A}R-D_2R$  heteromer. However, just a decrease in the affinity of NPA could not explain by itself the ability of CGS 21680 to abolish the decrease in excitability of  $D_2R$ -containing neurons induced by the high concentration of the  $D_2R$  agonist used, which should overcome the decrease in affinity. A decrease in the intrinsic efficacy of the  $D_2R$  agonist was therefore also involved (Azdad et al. [2009\)](#page-46-0). Importantly, we should not conclude from the peptide experiments that the electrostatic interactions between intracellular domains are the only ones determining the quaternary structure of GPCR heteromers, including  $A_{2A}R$ - $D_2R$  heteromers. Also from experiments with peptides, it is becoming clear that interactions between specific transmembrane domains are also involved (as recently shown for the dopamine  $D_1R-D_3R$  heteromer; Guitart et al. [2014\)](#page-47-10).

An enigma to be resolved about the function of  $A_{2A}R-D_2R$  heteromers is the possibility of simultaneous antagonistic reciprocal interactions between the two different receptor units. As mentioned above, in the striatum, stimulation of  $A_{2A}R$  counteracts a  $D_2R$  agonist-induced inhibitory modulation of NMDA receptor-mediated effects (Azdad et al. [2009](#page-46-0), see also Higley and Sabatini [2010\)](#page-47-15). But other studies have reported the ability of  $D_2R$  activation to potently inhibit  $A_{2A}R$  adenylyl-cyclase signaling in transfected cells (Hillion et al. [2002](#page-47-16); Kull et al. [1999\)](#page-48-12) and it is not entirely clear if this canonical interaction between  $G_s$ - and  $G_i$ -mediated signaling pathways takes place in the frame of the  $A_{2A}R-D_2R$  heteromer, as recently suggested for other receptor heteromers (Cristóvão-Ferreira et al. [2013](#page-47-17); Guitart et al. [2014\)](#page-47-10). In the striatum, under normal conditions, the ability of  $A_{2A}R$  to activate adenylylcyclase (and consequent expression of genes such as *c-fos* or *preproenkephalin* by the striato-pallidal neuron) seems to be restrained by a strong tonic inhibitory effect of endogenous dopamine on striatal  $D_2R$ , which efficiently inhibits  $A_{2A}R$ -mediated adenylyl-cyclase activation (Karcz-Kubicha et al. [2003](#page-48-13); Svenningsson et al. [1999\)](#page-48-14). Pharmacological or genetic blockade of  $D_2R$  produces a significant activation of the adenylyl-cyclase-cAMP-PKA cascade, and the consequent depressant motor effects and biochemical effects (such as increase in striatal *c-fos* or *preproenkephalin* expression) can be counteracted by genetic or pharmacologic blockade of  $A_{2A}R$ (Bertran-Gonzalez et al. [2009](#page-46-4); Chen et al. [2001](#page-47-18); Håkansson et al. [2006](#page-47-19)). To explain the co-existence of these simultaneous reciprocal antagonistic interactions between striatal  $A_{2A}R$  and  $D_2R$ , we previously postulated that they were mediated by two different subpopulations of  $A_{2A}R$ , forming and not forming heteromers with  $D_2R$ (Ferré et al. [2008;](#page-47-7) Orru et al. [2011b\)](#page-48-8).

However, from recent experiments we could provide a heuristic model that allows understanding the possibility of different and simultaneous reciprocal interactions between  $A_{2A}R$  and  $D_2R$  withion the  $A_{2A}R-D_2R$  heteromer. Depending on the intracellular  $Ca^{2+}$  levels, the neuronal  $Ca^{2+}$ -binding proteins NCS-1 and calneuron-1 exert a differential modulation of two different signaling pathways in the  $A_{2A}R-D_2R$ heteromer. Both Ca<sup>2+</sup>-binding proteins were found to compete for the same binding sites in the  $A_{2A}R-D_2R$  heteromer. We first found that, in the absence of  $Ca^{2+}$ -binding proteins, an  $A_{2A}R$  agonist decreases the intrinsic efficacy of a  $D_2R$  agonist-mediated G protein-dependent inhibition of adenylyl-cyclase and G protein-independent MAPK activation (Navarro et al. [2014\)](#page-48-15). Thus, in transfected HEK-293 cells, the  $D_2R$  agonist quinpirole could not counteract the ability of the  $A_{2A}R$  agonist CGS 21680 to induce cAMP accumulation, due to the allosteric modulation by which  $A_{2A}$ R activation counteracts  $D_2$ R-mediated G protein-dependent signaling. However, this allosteric modulation was absent when cells were co-transfected with NCS-1 or calneuron-1 in the presence of low or high intracellular  $Ca^{2+}$  levels, respectively.

The same biochemical interactions were also found in striatal cells, where low or high intracellular  $Ca^{2+}$  levels determined if either NCS-1 or calneuron-1 bind to the  $A_{2A}R-D_2R$  heteromer. Knocking down the expression of NCS-1 or calneuron-1 led to the reappearance of the allosteric interaction under conditions of low or high intracellular  $Ca^{2+}$  levels, respectively, and quinpirole could not counteract the ability of CGS 21680 to stimulate adenylyl-cyclase (Navarro et al. [2014\)](#page-48-15) (Fig. [2.1\)](#page-44-0).

A different scenario was observed in relation to MAPK signaling. In transfected HEK-293 cells, MAPK activation (ERK1/2 phosphorylation) was similar under conditions of activation of either  $A_{2A}R$  or  $D_2R$  or co-activation of both receptors.

<span id="page-44-0"></span>

**Fig. 2.1** Model representing the differential role of NCS-1 and calneuron-1 in  $A_{2A}R-D_2R$  heteromer signaling. Depending on the intracellular levels of  $Ca^{+2}$ , the neuronal  $Ca^{+2}$ -binding proteins NCS-1 and calneuron-1 exert a differential modulation of the  $A_{2A}R-D_2R$  heteromer signaling. In the absence of neuronal  $Ca^{+2}$ -binding proteins (a; non-transfected HEK-293 cells or knocking down protein expression in striatal cells in culture), the  $D_2R$  agonist cannot counteract the ability of the  $A_{2A}R$  agonist to induce cAMP accumulation (*1*), due to an allosteric modulation by which  $A_{2A}$ R activation inhibits  $D_2$ R-mediated G protein-dependent signaling (2). Under these conditions,  $A_{2A}$ R activation also inhibits the D<sub>2</sub>R agonist-mediated G protein-independent MAPK activation ( *3*). These two allosteric modulations ( *2* and *3*) are absent when NCS-1 binds to the receptor heteromer in the presence of low intracellular Ca<sup>+2</sup> levels (b; transfected HEK-293 cells or striatal cells, where low intracellular  $Ca^{+2}$  levels determine the binding of NCS-1 to the  $A_{2A}R-D_{2}R$  heteromer). Under these conditions, co-activation of both receptors in the  $A_{2A}R-D_2R$  heteromer does not produce cAMP accumulation but still induces MAPK activation. When calneuron-1 binds to  $A_{2A}R-D_2R$  heteromer (*c*; transfected HEK-293 cells or striatal cells where high intracellular Ca<sup>+2</sup> levels determine the binding of calneuron-1 to the  $A_{2A}R-D_2R$  heteromer), the allosteric modulation at the level of G protein-dependent signaling ( *2*) is selectively disrupted, since the allosteric modulation at the level of G protein-independent signaling ( *1*) is maintained. This results in very low activation of both MAPK signaling and cAMP production upon co-activation of both receptors in the  $A_{2A}R-D_2R$  heteromer, since  $A_{2A}R$  agonist-mediated MAPK activation (3, which is dependent on adenylyl-cyclase signaling) is also inhibited.

The absence of at least an additive effect of  $A_{2A}R$  and  $D_2R$  agonists would indicate some degree of antagonistic interaction. But, under conditions of high intracellular  $Ca^{2+}$  levels and in the presence of calcineuron-1, co-activation of  $A_{2A}R$  and  $D_2R$  did not produce a noticeable ERK1/2 phosphorylation (Navarro et al. [2014\)](#page-48-15). Since, as described previously (Canals et al. [2005](#page-47-20); Klinger et al. [2002](#page-48-16)), we also found  $A_{2A}R$ mediated MAPK activation be mostly dependent on G-protein-adenylyl-cyclase signaling (Fig. [2.1\)](#page-44-0), these results indicated that high intracellular  $Ca^{2+}$  levels allows calcineuron-1 to selectively facilitate an allosteric interaction in the  $A_{2A}R-D_2R$  heteromer by which  $A_{2A}R$  agonists also blocks a G-protein-independent  $D_2R$ -mediated ERK1/2 phosphorylation. The same mechanisms were also found to operate in striatal cells and no ERK1/2 phosphorylation was observed upon co-activation of  $A_{2A}R$ and  $D_2R$  under conditions of high intracellular  $Ca^{2+}$  levels (which induce binding of calcineuron-1 to the  $A_{2A}R-D_2R$  heteromer). MAPK activation was nevertheless very significant under the same conditions but knocking down the expression of calcineuron-1 (Navarro et al. [2014](#page-48-15)). Therefore, as recently found for the dopamine  $D_1R-D_3R$  heteromer (Guitart et al. [2014\)](#page-47-10), we found functional selectivity of allosteric interactions within the  $A_{2A}R-D_2R$  heteromer, and this functional selectivity was found to be dependent on intracellular  $Ca^{+2}$  levels (Navarro et al. [2014](#page-48-15)). The functional inhibition by  $D_2R$  agonists of NMDA receptor-mediated Ca<sup>2+</sup>-dependent effects observed in striatal tissue preparations (Azdad et al. [2009](#page-46-0); Higley and Saba-tini [2010](#page-47-15)), which can be counteracted by  $A_{2A}R$  activation, should depend largely on G-protein-independent  $D_2R$ -mediated signaling.

These results allow understanding the co-existence of reciprocal antagonistic interactions between striatal  $A_{2A}R$  and  $D_2R$ , considering only one predominant population of  $A_{2A}R$ , which forms heteromers with  $D_2R$ . This could account for different G protein-dependent or independent functional responses, which could be differentially modulated by intracellular  $Ca^{+2}$  levels. Apart from adenosine and dopamine, the Ca<sup>+2</sup>-dependent modulation of  $A_{2A}R-D_2R$  heteromer function allows further integration of other neurotransmitter systems such as glutamate (through NMDA receptor activation) and acetylcholine (through  $G_q$ -coupled muscarinic receptors) (Tozzi et al. [2011](#page-49-2)).

As mentioned before the existence of negative cooperativity of the  $A_{2A}R$  an-tagonist SCH 442416 (Orrú et al. [2011a\)](#page-48-5) strongly suggested that the  $A_{2A}R-D_2R$ comprises at least two  $A_{2A}R$  protomers. Also, it would be difficult for two GPCR protomers to simultaneously accommodate two trimeric G-protein molecules due to steric hindrance (Maurice et al. [2011](#page-48-17)). Therefore, the results on allosteric interactions in the  $A_{2A}R - D_2R$  heteromer at the level of adenylyl cyclase signaling supports a tetrameric structure, comprised of two different homodimers, each able to signal with their preferred G protein. This molecular arrangement would allow the canonical interaction between  $G_s$ - and  $G_i$ -mediated signaling to take place in the frame of the heteromer (Ferré et al. [2014](#page-47-1); Guitart et al. [2014\)](#page-47-10).

#### **Conclusions**

GPCR oligomerization is a reality and it is becoming obvious that GPCR homodimers constitute not only functional but also structural building blocks. In this way, receptor heteromers would be comprised of two different homodimers, each able to signal with their preferred G protein. We postulate that the canonical interaction between  $G_s$ - and  $G_i$ -mediated signaling is in fact a biochemical property of GPCR heteromer. Experiments are now in progress to validate this hypothesis. But what it is already obvious, and here exemplified from the studies on  $A_{2A}R-D_2R$  heteromers, is that allosteric mechanisms in the frame of GPCR heterotetramers provide them with multiple unique biochemical properties, including ligand and functional selectivity. These properties allow understanding complex experimental results with pharmacological significance, such as: the existence of reciprocal interactions between activated  $A_{2A}R$  and  $D_2R$ , which are differentially modulated by intracellular  $Ca^{2+}$ , making the  $A_{2A}R-D_2R$  heterotetramer a cellular device that integrates signals from the extracellular and intracellular compartments (dopamine, adenosine and  $Ca^{2+}$ ) to produce a specific functional response; the selective negative cooperativity of the  $A_{2A}R$  antagonist SCH 442416, which provides the proof of concept of the possibility that different GPCR heteromers can account for pharmacologically different subpopulations of receptors. In fact, SCH 44416 has been successfully used to target selectively striatal presynaptic  $A_{2A}R$  in a non-human primate model of addiction to cannabinoids (Justinová et al. [2014](#page-48-9)). Research is in progress to obtain molecules that selectively target striatal postsynaptic  $A_{2A}R$ , i.e. the  $A_{2A}R-D_2R$ heterotetramer.

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# **Chapter 3 Adenosine A2A Receptor Antagonists in Drug Development**

**Christa E. Müller**

**Abstract** The first  $A_{2A}$  adenosine receptor antagonist, istradefylline, was approved in 2013 in Japan for the treatment of Parkinson's disease (PD). This will allow long-term studies to elucidate the neuroprotective potential of  $A_{2A}$  antagonists in patients. New  $A_{2A}$  antagonists are in clinical evaluation for PD. Additional promising indications for  $A_{2A}$  antagonists are being explored, including Alzheimer's disease (AD) and other neurodegenerative diseases, depression, and attention deficit hyperactivity disease (ADHD).  $A_{2A}$  antagonists may be useful for the treatment of several rare neurodegenerative diseases, and their clinical evaluation for those diseases is warranted. Dual- and multi-target drugs combining  $A_{2A}$  antagonism with  $A<sub>1</sub>$  antagonism, MAO-B inhibition, dopamine receptor activation and/or NMDA receptor blockade may be advantageous for the treatment of PD and perhaps also for other brain diseases. X-ray structure of the human  $A_{2A}$  adenosine receptor in complex with several antagonists and agonists provide a basis for understanding drug-receptor interactions and support the development of new drugs.

**Keywords** Adenosine **·** Antagonists, Dual-drug target approach **·** Multi-drug target approach **·** Neurodegenerative diseases **·** Rare diseases **·** X-ray structure

## **Introduction**

Adenosine receptors (ARs) are G protein-coupled receptors (GPCRs) activated by the nucleoside adenosine. They belong to the largest subgroup of GPCRs, the rhodopsin-like class A receptors. Four different AR subtypes exist designated  $A_1$ ,  $A_{2A}$ ,  $A_{2B}$  and  $A_3$ . All are coupled to adenylate cyclase (AC),  $A_1$  and  $A_3$  via  $G_{i/0}$  proteins mediating inhibition of AC,  $A_{2A}$  and  $A_{2B}$  via  $G_s$  or  $G_{\text{olf}}$  that mediate stimulation of AC resulting in an increase in intracellular cAMP concentration. Further coupling has been described (Fredholm et al. [2011\)](#page-64-0), e.g.  $A_{2B}ARs$  can couple to  $G_{q/11}$  leading

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to an activation of phospholipase C liberating inositol trisphosphate  $(\text{IP}_3)$  and subsequently to mobilization of intracellular calcium, while  $A_{2A}ARs$  can also increase IP<sub>3</sub> by activation of G<sub> $_{a15}$ </sub> and G<sub> $_{a16}$ </sub>. All AR subtypes are involved in cell differentiation, growth, survival and death by activation of mitogen-activated protein kinase (MAPK) (Jacobson and Gao [2006\)](#page-64-1).

Upon activation ARs show desensitization:  $A_{2A}$  and  $A_{2B}$  ARs have been found to exhibit 50% desensitization in chromaffin cells within 20 min of agonist stimulation (Mundell and Kelly [1998](#page-66-0)).

The  $A_{2A}$ AR is the largest AR subtype consisting of 412 amino acids in humans and 410 amino acids in rat and mouse. In contrast to the other AR subtypes it contains a long intracellular N-terminal tail consisting of >120 amino acid residues, which is not required for  $G_s$  coupling, but may interact with modulatory proteins (Navarro et al. [2009;](#page-66-1) Zezula and Freissmuth [2008](#page-67-0)). The  $A_{2A}AR$  is most closely related to the  $A_{2B}AR$  subtype (59% sequence identity for the human  $A_{2A}$  and  $A_{2B}$  subtypes). The percentage of amino acid sequence identity of  $A_{2A}AR$  in the three species is as follows: human vs. rat 82%, human vs. mouse 82%, and rat vs. mouse 96%.

ARs are found throughout the body in every tissue. However, the four AR subtypes show distinct expression patterns. While the  $A_1$  and  $A_{2A}$  AR subtypes are expressed in high density in the central nervous system,  $A_{2B}$  and  $A_3$  ARs are only weakly expressed in the brain under normal conditions. Whereas the  $A_1AR$  is highly expressed in many parts of the brain including cortex, striatum, and hippocampus, the  $A_{2A}$ AR displays a more restricted expression pattern and is found only in the caudate-putamen (striatum), olfactory tubercle, and nucleus accumbens in high density, and in much lower density in other brain areas (de Lera Ruiz et al. [2014;](#page-64-2) Fredholm et al. [2011\)](#page-64-0). This restricted expression of the  $A_{2A}AR$  contributes to its attractivity as a drug target for the treatment of Parkinson's disease (PD). However,  $A_{2A}$ AR expression may be altered under pathological conditions, e.g. an upsurge of  $A_{2A}$ AR expression in the hippocampus has been observed in Alzheimer's disease (AD) patients (Flaten et al. [2014](#page-64-3)). In the periphery,  $A_{2A}$ ARs are expressed for example, on blood platelets (mediating aggregation), in blood vessels (causing reduction in blood pressure), on T-lymphocytes (leading to immunosuppression) (de Lera Ruiz et al. [2014](#page-64-2)) and on brown adipose tissue (inducing thermogenesis) (Gnad et al. [2014\)](#page-64-4).  $A_{2A}ARs$  like  $A_{2B}ARs$  can be upregulated under hypoxic conditions (Brown et al. [2011](#page-63-0); Ma et al. [2010](#page-65-0)).

It is increasingly recognized that GPCRs typically assemble to form homo- or heteromeric structures that consist of two or more receptor proteins. The  $A_{2A}AR$ was shown to form a heteromeric receptor complex with dopamine  $D_2$  receptors  $(A_{2A}/D_2)$  heteromer), which displays an altered pharmacology as compared to the homomeric receptors (Armentero et al. [2011;](#page-63-1) Ferre et al. [2004](#page-64-5)). The C-terminal tail of the  $A_{2A}AR$  was postulated to bind to the intracellular loop 3 of the  $D_2$  receptor by electrostatic interactions (Borroto-Escuela et al. [2010\)](#page-63-2). The  $A_{2A}/D_2$  heteromers can form a complex with a  $G<sub>s</sub>$  protein; they also show fast β-arrestin2 recruitment (Borroto-Escuela et al. [2011](#page-63-3)). The  $A_{2A}AR$  appears to heteromerize with several other GPCRs as well, including  $A_1$ ,  $D_3$ , CB<sub>1</sub>, and mGluR5 receptors (de Lera Ruiz et al. [2014](#page-64-2)).  $A_{2A}AR$ -containing heteromeric complexes with  $D_2$  and  $CB_1$  receptors

in the striatum were found to be disrupted upon treatment with L-DOPA (Pinna et al. [2014](#page-66-2)). Multivalent drugs have recently been designed based on  $A_{2A}$  antagonists bound to a nanoscaffold allowing multivalent interaction with receptor complexes (Dix et al. [2014\)](#page-64-6).

Despite enormous efforts in developing drugs for ARs in the last decades, only few drugs have been approved so far. The short-acting physiological agonist adenosine (Adenoscan®) and the A<sub>2A</sub>-selective agonist regadenoson (Lexiscan®) are used in myocardial stress imaging acting on  $A_{2A}$ ARs of coronary blood vessels which leads to dilation and a drop in blood pressure. The  $A_{2A}AR$  represents one of the most important regulators of the innate immune response.  $A_{2A}ARs$  inhibit the secretion of proinflammatory mediators by immune cells thereby dampening inflammatory reactions and therefore have potential as anti-inflammatory, anti-rheumatic, and immunosuppressive drugs. Separation of their anti-inflammatory and hypotensive effects has recently been achieved by a prodrug approach (El-Tayeb et al. [2009;](#page-64-7) Flögel et al. [2012](#page-64-8)).

The non-selective AR antagonist caffeine (and to a minor extent theophylline) is widely consumed as a brain-stimulatory natural product present in coffee and tea. Furthermore, caffeine is applied as a drug, e.g. as a central stimulant to improve mental alertness, and in combination with analgesics for the treatment of pain including migraine, while theophylline is used as an antiasthmatic drug. Recently, caffeine has been approved for the treatment of apnea in preterm infants, and it has been found to have additional positive effects on brain development (Maitre and Stark [2012](#page-65-1)).

 $A_{2A}$ AR antagonists have been developed for the treatment of PD. The  $A_{2A}$ -selective antagonist istradefylline (NOURIAST®) was approved in Japan for the treatment of Parkinson's disease (PD) in combination with L-DOPA or other dopamine agonists, and more  $A_{2A}$ AR antagonists are currently in clinical development (de Lera Ruiz et al. [2014](#page-64-2)). For further potential indications of  $A_{2A}AR$  antagonists see below.

# Therapeutic Indications for A<sub>2A</sub> Adenosine Receptor **Antagonists**

### *Neurodegenerative Diseases*

Caffeine, a non-selective AR antagonist which blocks all four AR subtypes in humans at micromolar concentrations, has shown potent neuroprotection in retrospective and prospective human studies and a number of animal models (Carman et al. [2014;](#page-63-4) Chen [2014;](#page-63-5) Chen and Chern [2011](#page-63-6); Flaten et al. [2014](#page-64-3)). The development of AD and PD was inversely correlated with the consumption of coffee and caffeine, and the blockade of  $A_{2A}$ ARs appears to be responsible for the cognitive-enhancing and neuroprotective effects.

#### *Parkinson's Disease (PD)*

The most advanced indication for  $A_{2A}AR$  antagonists is PD (Pinna [2014](#page-66-3)). Activation of postsynaptic A<sub>2A</sub> ARs negatively modulates dopamine D<sub>2</sub> receptors in A<sub>2A</sub>/D<sub>2</sub> heteromers present in the striatum. Blockade of  $A_{2A}ARs$  therefore positively modulates  $D_2$  receptor signaling. This is most apparent upon co-treatment of an  $A_{2A}$  antagonist with a  $D_2$  agonist. Like  $D_2$  agonists (G<sub>i</sub>-coupled),  $A_{2A}$  antagonists will lead to reduced intracellular cAMP levels.  $A_{2A}AR$  antagonists and caffeine have shown positive effects on motoric symptoms of PD without inducing, or even preventing dyskinesia (Jones et al. [2013](#page-64-9); Kanda and Uchida [2014](#page-65-2); Wills et al. [2013\)](#page-67-1). Additional non-motor effects, e.g. improvement of cognition, contribute to the beneficial effects of  $A_{2A}$  antagonists in PD (Chen [2014;](#page-63-5) Nomoto et al. [2014](#page-66-4); Uchida et al. [2014\)](#page-67-2). Moreover,  $A_{2A}$  antagonists displayed neuroprotective properties in animal studies (Cerri et al. [2014\)](#page-63-7) which may be explained by increased glutamate uptake induced by  $A_{2A}$ ARs expressed on astrocytes (Matos et al. [2012](#page-65-3), [2013](#page-63-8)).

 $A_{2A}$ AR in striatum are colocalized with ecto-5'-nucleotidase (CD73), which is responsible for providing adenosine for  $A_{2A}AR$  stimulation by catalyzing the hydrolysis of AMP (Augusto et al. [2013\)](#page-65-4). CD73 inhibitors may therefore be useful for indirect inhibition of ARs.

 $A_{2A}$  antagonists may also be valuable for the treatment restless legs syndrome (Decerce et al. [2007](#page-64-10)).

### *Alzheimer's Disease and Impaired Memory and Cognition*

An upsurge of  $A_{\alpha}$  AR expression in the hippocampus has been observed in aged people and in Alzheimer patients, and this may contribute to the symptoms of this disastrous neurodegenerative disease, in particular to impaired memory and cogni-tion (Flaten et al. [2014\)](#page-64-3). In animal models caffeine and  $A_{24}$ -selective antagonists ameliorated tau-induced as well as beta-amyloid-induced pathology and led to improved memory (Laurent et al. [2014a](#page-65-5), [b](#page-65-6); Li et al. [2015\)](#page-65-7)

#### *Machado–Joseph Disease*

Machado–Joseph disease (MJD) or spinocerebellar ataxia type 3 (SCA3), is a rare autosomal, dominantly inherited neurodegenerative disease that causes progressive cerebellar ataxia, which results in a lack of muscle control and coordination. The symptoms are caused by a genetic mutation that results in an abnormal form of the protein ataxin which causes degeneration of cells in the hindbrain. Some symptoms are similar to those in PD. In a mouse model of MJD caffeine as well as  $A_{24}$  knockout decreased the pathology.  $A_{2A}$  antagonists may therefore be the first therapeutic approach for treating this fatal rare disease (Goncalves et al. [2013\)](#page-64-11).

## *Attention Deficit Hyperactivity Disorder (ADHD)*

In a rat model of attention deficit hyperactivity disorder (ADHD) caffeine and  $A_{24}$ as well as  $A_1$ -selective AR antagonists improved cognitive and attention deficits (Pandolfo et al. [2013;](#page-66-5) Pires et al. [2009\)](#page-66-6). A recent study reported on a possible association between  $A_{2A}$ AR polymorphisms and ADHD in humans (Molero et al. [2013\)](#page-65-8). Therefore,  $A_{2A}$  antagonists are currently in development for ADHD.

### *Depression*

Caffeine and  $A_{2A}$ -selective antagonists showed potential for the treatment of depression and possibly also for anxiety in preclinical studies (Yamada et al. [2013](#page-67-3), [2014a,](#page-67-4) [b](#page-67-5)).

#### *Addiction*

Adenosine is involved in the signaling induced in the brain by addictive drugs. The effects of  $A_{2A}AR$  antagonists on heroin, cocaine, amphetamine,  $\Delta^9$ tetrahydrocannabinol and alcohol addiction, and on food seeking behavior, appear to be complex and are not fully understood yet (Brown and Short [2008](#page-63-9); Brown et al. [2009;](#page-63-10) Justinova et al. [2014;](#page-65-9) Lopez-Cruz et al. [2013;](#page-65-10) O'Neill et al. [2014;](#page-66-7) Wydra et al. [2015a](#page-67-6), [b](#page-67-7); Yao et al. [2006](#page-67-8)).

#### *Peripheral Diseases*

 $A_{2A}$ AR antagonists may also be helpful for several peripheral diseases, including liver fibrosis (Wang et al. [2014](#page-67-9)), stimulation of the immune system and cancer (Eltzschig et al. [2012](#page-64-12); Hatfield et al. [2015](#page-64-13); Linden and Cekic [2012;](#page-65-11) Sitkovsky et al. [2014\)](#page-66-8), and even scar treatment (Perez-Aso et al. [2012\)](#page-66-9).

## *Side-Effects of Caffeine and A2A Adenosine Receptor Antagonists*

 $A_{2A}$ AR antagonists evaluated in clinical trials for PD have shown a remarkable safety profile with little side-effects (Chen [2014](#page-63-5)). In contrast to L-DOPA and dopamine agonists, they do not induce dyskinesia. Initially observed nausea disappeared with time.

Based on preclinical studies with  $A_{2A}$  antagonists and on experience with caffeine use in patients, contraindications may be pregnancy and lactation since caffeine was

found to alter fetal brain development in mice (Silva et al. [2013](#page-66-10)), epilepsy because  $A_{2A}$  antagonists may increase the susceptibility to seizures, and severe cardiovascular problems.

## **Development of Drugs Targeting A<sub>2A</sub> Adenosine Receptors**

The field of  $A_{2A}$ AR antagonists is mature looking back to more than two decades of successful activities in the design and development of potent and selective compounds (Armentero et al. [2011;](#page-63-1) Cristalli et al. [2009;](#page-63-11) de Lera Ruiz et al. [2014;](#page-64-2) Fredholm et al. [2011;](#page-64-0) Jorg et al. [2014](#page-64-14); Müller and Ferre [2007](#page-65-12); Muller and Jacobson [2011a,](#page-65-13) [b;](#page-65-14) Müller and Scior [1993](#page-65-15)).

### *Caffeine and Theophylline*

Caffeine (**1**) and theophylline (**2**) are non-selective AR antagonists (see Fig. [3.1\)](#page-55-0). In humans, they block all four AR subtypes with similar potency in the micromolar concentration range (see Table [3.1](#page-56-0)). However, in rodents, both methylxanthine derivatives are virtually inactive at  $A_3ARs$  and only block  $A_1$ ,  $A_{2A}$  and  $A_{2B}$  receptors. Caffeine has recently been found to act as an antagonist with inverse agonistic activity at  $A_{2A}$ ARs (Fernandez-Duenas et al. [2014](#page-64-15)). Caffeine consumption protects from neurodegenerative diseases such as AD and PD (Chen and Chern [2011](#page-63-6)).

<span id="page-55-0"></span>



		$K_i$ (nM) <sup>a</sup>					
		A <sub>1</sub>	$\rm A_{2\underline{A}}$	$A_{2B}$	$A_3$		
	Non-selective antagonists						
1	Caffeine	$10,700-$	$9560 -$	$10,400-$	13,300		
		44,900(h)	23,400 (h)	33,800 (h)	(h) > 100,000		
		41,000(r)	45,000(r)	30,000(r)	(r)		
				$13,000$ (m)			
$\overline{2}$	Theophylline	6770(h)	6700(h)	9070(h)	22,300		
		14,000(r)	22,000(r)	15,100(r)	(h) > 100,000		
				5630 (m)	(r)		
$A_{24}$ -selective antagonists							
3	Istradefylline (KW6002)	841 $(h)^c$	12(h)	$>10,000$ (h) <sup>c</sup>	$4470(h)^c$		
		$230 (r)$ <sup>c</sup>	2.2(r)				
$\overline{4}$	$MSX-2$	2500(h)	5.38(h)	>10,000(h)	>10,000(h)		
		900(r)	8.04(r)				
5	CGS 15943	3.5(h)	1.2(h)	32.4(h)	35(h)		
		6.4(r)		$9.07$ (m)			
6	SCH-58261	725 (h)	5.0(h)	1110(h)	1200(h)		
$\tau$	SCH-442416	1110(h)	4.1 $(h)$	>10,000(h)	>10,000(h)		
8	Preladenant (SCH-420814)	>1000(h)	0.9(h)	>1000(h)	>1000(h)		
9	ZM-241385	774(h)	1.6(h)	75(h)	743 (h)		
10	Vipadenant (BIIB014, V2006	68(h)	1.3(h)	63(h)	1005(h)		
11	ST-1535	71.8(h)	6.6(h)	352.3(h)	>1000(h)		
12	Tozadenant (SYN-115)	nd	nd	nd	nd		
13	TC-G-1004	85(h)	0.44(h)				
	Dual- and multi-target drugs						
14	Lu AA41063	410(h)	5.9(h)	260(h)	>10,000(h)		
18	ASP5854	9.03(h)	1.76(h)	nd	> 557(h)		
		12.48(r)	1.24(r)				
		7.89(m)	$1.62$ (m)				
19	JNJ-40255293	48(h)	6.5(h)	230(h)	9200(h)		
20	Pyrimidopurinedione	249(h)	253(h)	3520(h)	>10,000(h)		
	derivative	135(r)	533 $(r)$				
21	CSC (K; MAO-B Ki 80.6 $nM$ ) [164]	28,000(r)	54 $(r)$	8200	>10,000(r)		
22	Benzothiazinone derivative (hMAO-B IC <sub>50</sub> 34.9 nM)	2500(h)	39.5(h)	>1000(h)	>1000(h)		
23	Pyrimidopurinedione	605(h)	417(h)	>1000(h)	4410(h)		
	derivative hMAO-B IC <sub>50</sub> 1.80 μM	1060(r)	641 $(r)$				
24	Pyrazinopurinedione	217(h)	268(h)				
	derivative (hMAO-B $IC_{50}$ 508 nM)	111(r)	603(r)	>1000(h)	>300(h)		
25	Pyrazinopurinedione	791(h)	1510(h)	>300(h)	>1000(h)		
	derivative (rMAO-B $IC_{50}$ 260 nM)	315(r)	322(r)				
27	$DP-L-A2AANT$ (D2 > 5000)	< 5000(h)	7.32(h)	$> 5000$ (h)	$> 5000$ (h)		

<span id="page-56-0"></span>**Table 3.1** Adenosine receptor affinities of antagonists ( *h* human, *r* rat, *m* mouse)

## *Selective A<sub>24</sub>-Adenosine Receptor Antagonists*

Substitution of xanthines at the 8-position with  $(E)$ -configurated styryl groups has led to selective  $A_{2A}AR$  antagonists. The 8-styrylxanthine istradefylline (3, KW6002) was among the first  $A_{2A}AR$  antagonists reported (Fig. [3.1\)](#page-55-0). Istradefylline was clinically evaluated and approved in May 2013 in Japan for the adjunctive treatment of PD in combination with L-DOPA (Dungo and Deeks [2013\)](#page-64-16); approval in other countries is still awaited and will require additional clinical studies (Tao and Liang [2015](#page-67-10)) (Müller [2013](#page-65-16)). A more potent and selective xanthine  $A_{2A}$  antagonist with increased polarity due to the hydroxyl substituent is MSX-2 (**4**), which has also been prepared in tritium-labeled form for radioligand binding studies (Müller et al. [2000\)](#page-66-11).

Various amino-substituted heterocyclic ring systems which bear similarity to adenine were developed with high affinity and selectivity for the  $A_{2A}AR$ . An early example of an amino-substituted heterotricyclic structure proposed as an  $A_{2A}AR$  antagonist was the triazoloquinazoline CGS 15943 (**5**), which was later demonstrated to be only slightly  $A_{2A}$ -selective. Modification of the triazoloquinazoline by addition of a third ring or alteration of the heterocyclic system greatly improved the  $A_{2A}AR$ selectivity. The pyrazolotriazolopyrimidines SCH-58261 (**6**), SCH442416 (**7**), and preladenant (SCH 420814, **8**) are examples of very potent  $A_{2A}AR$  antagonists. Preladenant was clinically evaluated for the treatment of PD, but lacked significant effects in Phase III clinical trials and its development was therefore stopped. Preladenant is one of the most potent  $A_{2A}$  antagonists with exceptionally high selectivity. Structurally related  $A_{2A}$  antagonists include the triazolotriazine ZM241385 (9), the triazolopyrimidine vipadenant (**10**, BII014, V2006), and the triazolyl-substituted adenine derivative ST-1535 (11). ZM241385 (9) also binds to the human  $A_{2R}AR$ with moderate affinity, and has been used as a radioligand at that receptor [71].

An example for a further non-xanthine  $A_{2A}$  antagonists that is, however, structurally unrelated to the xanthine or the adenine derivatives, is the benzothiazole derivative tozadenant (SYN-115, **12**). The scaffold was discovered by a high-throughput screening approach. Tozadenant is clinically evaluated for PD (Phase IIB successfully completed). Another novel structure is represented by TC-G 1004 (**13**), a commercially available acetylamino-substituted pyrimidine derivative which shows high  $A_{2A}$  affinity and good selectivity (Zhang et al. [2008](#page-67-11)). The benzamide 14 (Lu AA4163) was developed by Lundbeck (Mikkelsen et al. [2015](#page-65-17)) and converted to a water-soluble phosphate prodrug (see below) (Figs. [3.2](#page-58-0) and [3.3\)](#page-58-1).

#### *Water-Soluble Prodrugs*

The phosphate prodrug MSX-3 (**15**) and the *L*-valine ester prodrug MSX-4 (**16**) have been prepared as water-soluble prodrugs of the potent and selective  $A_{2A}$  antagonist MSX-2 (**4**) (Sauer et al. [2000;](#page-66-12) Vollmann et al. [2008](#page-67-12)). Both are now broadly used as pharmacological tools in particular for in vivo studies. Another phosphate prodrug of an A<sub>2A</sub>-selective antagonist, Lu AA 47070 (17) was developed by Lun-

<span id="page-58-0"></span>

**Fig. 3.2**  $A_{2A}$  adenosine receptor antagonists with adenine-like structure

<span id="page-58-1"></span>

**Fig. 3.3**  $A_{2A}$  adenosine receptor antagonists with amide structure

dbeck (Sams et al. [2011\)](#page-66-13). The phosphate prodrugs are highly water-soluble as disodium salts, which can be prepared from the phosphoric acid, e.g., by treatment with the appropriate amount of sodium hydroxide. All of these prodrugs are perorally bioavailable after hydrolysis (Fig. [3.4](#page-59-0)).

<span id="page-59-0"></span>

**Fig. 3.4** Water-soluble prodrugs of  $A_{2A}$  adenosine receptor antagonists

# *Negative Allosteric Modulators of A<sub>24</sub> Adenosine Receptors*

The concept of allosteric modulation of GPCRs is quite new and only recently, allosteric modulators for a number of GPCRs have been developed (De Amici et al. [2010;](#page-63-12) Jacobson et al. [2011](#page-64-17); Müller et al. [2012](#page-66-14)). For  $A_{2A}ARs$  antibodies have been described that act as allosteric inverse agonists locking the receptor in an inactive conformation (Hino et al. [2012](#page-64-18)).

## *Dual- and Multi-Target Approaches*

Several clinical trials with selective  $A_{2A}$  antagonists which were to be developed for the treatment of PD have failed. More than 200 different clinical trials evaluating new drugs for AD addressing different targets have failed in the past 10 years. This has led to the worry that addressing a single target may be inefficient in the treatment of complex diseases. Multi-target approaches which modulate biological networks might be more promising (Geldenhuys and Van der Schyf [2013\)](#page-64-19). These have been successful in cancer therapy (multi-kinase inhibitors), and in many infectious diseases (e.g. HIV and tuberculosis therapy). Many potent central nervous system drugs, such as antidepressants and neuroleptics, interact with multiple targets. Moreover, bioactive natural products, e.g. caffeine, curcumin, resveratrol and many more, often interact with several target proteins at similar concentrations. Activity of a drug at multiple targets may therefore result in additive or even synergistic effects and may be associated with lower side-effects because reduced doses can be applied.

A simple approach to hit several targets is drug combination. However, a better strategy is to combine several properties in a single drug molecule and to develop multi-target drugs. Advantages of a dual- or multi-target drug approach include simplification of drug regimen, improved compliance, less side-effects and reduced toxicity, more predictable pharmacology, reduced drug-drug interactions, less complex pharmacokinetics, and easier manufacturing and formulation.

Dual- or multi-target drugs can be obtained by two principal strategies: (i) a bivalent drug approach connecting pharmacophore structures by a linker, and (ii) a common pharmacophore approach in which the pharmacophoric structures for the targets are merged.

## **Dual A1 /A2A Adenosine Receptor Antagonists**

Dual  $A_1/A_{2A}$  antagonists have been developed to combine the anti-PD activities of  $A_{2A}$ AR blockade (improvement of motor impairment and neuroprotective effects) with improvement of cognitive function by  $A_1AR$  antagonism. Examples for dual A1 /A2A antagonists include ASP5854 (**18**) (Mihara et al. [2007\)](#page-65-18), JNJ-40255293 (**19**) (Atack et al. [2014](#page-63-13)), and the tricyclic pyrimidopurinedione **20** (Koch et al. [2013\)](#page-65-19).

#### **Dual A2A Antagonists/MAO-B Inhibitors**

Monoamineoxidase B (MAO-B) inhibitors, including selegiline and rasagiline, are clinically used for the treatment of PD, mostly in combination with L-DOPA or dopamine agonists. Both MAO-B inhibitor show an irreversible mode of action. The first reversible MAO-B inhibitor, safinamide, has recently been approved for the treatment of PD in Germany. Since MAO-B inhibitors show only weak effects on PD symptoms, multi-target ligands have been proposed which display additional activities, e.g.  $A_{2A}AR$  blockade (Pisani et al. [2011\)](#page-66-15). Some 8-styrylxanthine derivatives, such as 8-(3-chlorostyryl)caffeine (CSC, **21**) were accidentally found to inhibit MAO-B in addition to the  $A_{2A}AR$  (Pretorius et al. [2008](#page-66-16)). Structure-activity relationships for this class of compounds has been extensively studied and analyzed by computational methods (Azam et al. [2012](#page-63-14); Petzer and Petzer [2015](#page-66-17)).

The first non-xanthine-derived dual  $A_{2A}/MAO-B$  inhibitors have recently been described (Stössel et al. [2013\)](#page-67-13). The most potent compound of a series of benzothiazinones was **22**.

## **Triple A1 /A2A Antagonists/MAO-B Inhibitors**

Several series of tricyclic pyrimido- and pyrazino-purinediones have been developed with triple inhibition of MAO-B,  $A_{2A}$ - and  $A_1ARs$  (Brunschweiger et al. [2014;](#page-63-15) Koch et al. [2013](#page-65-19)). The best triple-active compounds were **23**–**25** (see Table [3.1\)](#page-56-0). It should be noted that some of the compounds showed considerable species differences (Fig. [3.5\)](#page-61-0).

<span id="page-61-0"></span>

**Fig. 3.5** Dual- and multi-target drugs:  $A_{2A}$  antagonists with additional  $A_1$ -antagonistic and/or MAO-B inhibitory activity

#### **Dual A2A Antagonists and Dopamine Agonists**

Activation of dopamine  $D_2$  receptors and blockade of  $A_{2A}ARs$  is expected to be beneficial for the treatment of PD. The merging of pharmacophores for an agonist at one receptor  $(D_2)$  and an antagonist at another receptor  $(A_{2A})$  is difficult or even impossible. Therefore all published approaches have connected two pharmacophores, one for each receptor, by linkers of different length (Dalpiaz et al. [2012;](#page-63-16) Jorg et al. [2015;](#page-64-20) Soriano et al. [2009\)](#page-66-18). Compound **27** is a dopamine prodrug which releases dopamine after amide hydrolysis (Fig. [3.6\)](#page-62-0).

#### $A_{2A}$  and NMDA Antagonists

A combination of an  $A_{2A}$  antagonist and NMDA receptor antagonist with selectivity for the NR2B receptor subtype resulted in synergistic effects in a rat model of PD (Michel et al. [2014\)](#page-65-20).

#### **Structure Elucidation by X-Ray Crystallography**

In 2008 the first X-ray structure of an AR was solved in a 2.6 Å resolution by Ray Stevens and coworkers: that of the  $A_{2A}AR$  in complex with the antagonist ZM-241385 (**9**) (Jaakola et al. [2008](#page-64-21)). The surprise of that structure was the orientation of

<span id="page-62-0"></span>

**Fig. 3.6** Conjugates of  $A_{2A}$  antagonists  $D_2$ -agonists

the ligand in the binding pocket, which was very different from that of the biogenic amine receptors. The ligand was not arranged parallel to the (extracellular) surface of the receptor, but perpendicular. Three years later, the agonist-bound structure of the  $A_{2A}AR$  in its activated conformation was reported with a similarly high resolution by the same group. (Xu et al. [2011](#page-67-14)) In the same year, Marshall and coworkers from Hepares Therapeutics published several antagonist-bound  $A_{2A}AR X$ -ray structures (Dore et al. [2011](#page-64-22)), and in 2012 Stevens and coworkers discovered the sodium binding site in a high resolution structure (1.8 Å) of the  $A_{2A}AR$  (Liu et al. [2012\)](#page-65-21). Several other X-ray structures of the  $A_{2A}AR$  with various ligands, including the agonists adenosine and NECA, have been determined (see [http://www.ebi.ac.uk/](http://www.ebi.ac.uk/interpro/protein/P29274/structures;jsessionid=089D40DB436ED1ED1BB9C2C63A4D41B7) [interpro/protein/P29274/structures;jsessionid=089D40DB436ED1ED1BB9C2C63](http://www.ebi.ac.uk/interpro/protein/P29274/structures;jsessionid=089D40DB436ED1ED1BB9C2C63A4D41B7) [A4D41B7\)](http://www.ebi.ac.uk/interpro/protein/P29274/structures;jsessionid=089D40DB436ED1ED1BB9C2C63A4D41B7). These structures have allowed comformational dynamic, docking and virtual screening studies and have contributed to the identification and development of new  $A_{2A}$  receptor ligands, particularly antagonists (Bacilieri et al. [2013](#page-63-17); Carlsson et al. [2010;](#page-63-18) Chen et al. [2013](#page-63-19); Pang et al. [2013;](#page-66-19) Rodriguez et al. [2015;](#page-66-20) Wei et al. [2010\)](#page-67-15).

#### **Conclusions**

The development of drugs targeting  $A_{2A}ARs$  has made huge progress in the last years. The first  $A_{2A}$  antagonist, istradefylline, is marketed in Japan for the treatment of PD. Further drugs are in development for various indications. Despite initial failures it appears that  $A_{2A}$  antagonists will have a bright future.

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# **Chapter 4 Adenosine A2A Receptors and Neurotrophic Factors: Relevance for Parkinson's Disease**

**Maria J. Diógenes, Joaquim A. Ribeiro and Ana M. Sebastião**

**Abstract** Neurotrophic factors (NTF) or drugs able to boost NTF actions have been frequently considered as promising therapies for neurodegenerative diseases namely for Parkinson's disease (PD).

A considerable number of data was published demonstrating that there is a cross talk between NTF and a particular type of adenosine receptors, the  $A_{2A}$  receptors  $(A_{2A}R)$ . Together, those studies show that relevant actions of NTF are dependent on or facilitated by activation of  $A_{2A}R$ , so that most NTF actions on synapses are lost upon blockade of  $A_{2A}R$ . These findings suggest caution in the use of  $A_{2A}R$  antagonists whenever NTF actions are demanded and place the  $A_{2A}R$  agonists in a suitable position as a pharmacologic strategy to potentiate NTF mediated actions in neurodegenerative diseases, including PD. However, the negative interaction between  $A_{2A}R$  and dopamine  $D_2$  receptors in the striatum, together with the  $A_{2A}R$ -mediated exacerbation of excitotoxicity mechanisms, points towards the therapeutic potential of  $A_{2A}R$  antagonists in PD. Indeed, clinical trials with  $A_{2A}R$  antagonists were already conducted.

Here we detail the existing, molecular and functional, evidence for the cross-talk between NTF and  $A_{2A}R$  and discuss its possible relevance for the treatment of PD. Available data highlights the need for considering appropriate time windows for the different strategies to fight the disease to avoid losing endogenous neurotrophic support in the early phases of the disease where synapses and neurons are to struggling for life.

**Keywords** Adenosine  $\cdot$  A<sub>2A</sub> Receptors  $\cdot$  Neurotrophic factors  $\cdot$  Neuromodulation

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# **Cross Talk Between Receptors for Neurotrophic Factors**  and Adenosine A<sub>2A</sub> Receptors

## *Introduction*

Neurotrophic factors (NTF) are secreted proteins that actively promote the growth and survival of developing neurons, whilst playing a housekeeping role in the homeostatic maintenance of mature neuronal circuits. The NTF family comprises four distinct major groups: (1) the neurotrophin family [brain-derived neurotrophic factor (BDNF), nerve growth factor (NGF), neurotrophin-3 (NT-3), and neurotrophin-4/5 (NT-4)]; (2) the glial cell line-derived neurotrophic factor (GDNF) family of ligands (GFLs); (3) neurotrophic cytokines (neurokines); and (4) the family of cerebral dopamine neurotrophic factor (CDNF) and mesencephalic astrocyte derived neurotrophic factor (MANF).

In the 90s several papers reported the role of cyclic AMP (cAMP), a second messenger that is increased following adenosine  $A_{2A}$  receptor  $(A_{2A}R)$  activation, on NTF mediated actions (e.g. (Boulanger and Poo [1999;](#page-85-0) Meyer-Franke et al. [1995](#page-88-0)) or on NTF expression levels (e.g. (Yamamoto et al. [1993\)](#page-90-0)). The first evidence for a possible cross-talk between adenosine receptors and NTF arose in 1997 with two studies showing that the activation of  $A_{2A}R$  increased NGF expression and release from primary glial cultures (Heese et al. [1997](#page-87-0)) and that PC12 cells differentiation mediated by NGF was accompanied by a decrease in  $A_{2A}R$ -mediated cAMP accumulation (Arslan et al. [1997\)](#page-85-1). In 2001 Lee and Chao, pioneered the field describing that activation of  $A_{2A}R$  can, in the absence of neurotrophins, induce phosphorylation of TrkA and TrkB receptors, in PC12 cells and in hippocampal neurons, respec-tively (Lee and Chao [2001](#page-87-1)). The functional influence of  $A_{2A}R$  on NTF effects on synaptic transmission was first reported by Diógenes et al. [\(2014](#page-86-0)), who showed that the facilitation of BDNF actions on synaptic transmission requires  $A_{2A}R$  activation, since the effect of BDNF is blocked by  $A_{2A}R$  blockade and it is exacerbated by enhancement of ambient levels of adenosine. This action of  $A_{2A}R$  is mediated by the activation of the cAMP/protein kinase A (PKA) signaling cascade (Diogenes et al. [2004\)](#page-86-1). As discussed (Assaife-Lopes et al. [2014;](#page-85-2) Diógenes et al. [2004;](#page-86-1) Sebastião et al. [2013](#page-89-0)), this process may not involve Trk transactivation (Trk phosphorylation in the absence of neurotrophins), since it occurs within a time frame  $(\sim 30 \text{ min})$ faster than transactivation. Transactivation of TrkB by  $A_{2A}$ Rs requires around 3 h of agonist exposure and involves mostly immature, intracellular Trk receptors located in Golgi-associated membranes (Rajagopal et al. [2004](#page-89-1)). Facilitation of BDNF synaptic actions can also be induced by a presynaptic depolarization, a process also dependent on  $A_{2A}R$  activation, through cAMP formation and PKA activity (Diógenes et al. [2004](#page-86-1)). The initial study by Diógenes et al. [\(2004](#page-86-1)) was followed by a number of studies evaluating the molecular mechanisms and further detailing functional evidences for the  $A_{2A}R/NTF$  cross-talk, at the central and peripheral nervous system (see Table [4.1](#page-70-0)).

Evidences for the cross talk $A_{2A}R/NTF$	Main observation	References					
1-Molecular evidences							
1.1-NTF receptors							
phosphorylation							
Trk transactivation	$A_{2A}R$ activation transactivates TrkA in PC12 cells and TrkB in cultured hippocampal neurons	(Lee and Chao 2001)					
	Trk receptors transactivation mediated by $A_{2A}R$ occurs in intracellular membranes	(Rajagopal et al. 2004)					
	$A_{2A}R$ activation transactivates TrkB in motoneurons	(Wiese et al. 2007)					
	Spinal $A_{2A}R$ activation transactivates TrkB in rat cervical spinal cord near phrenic motoneurons	(Golder et al. 2008)					
<b>GDNF</b> receptor phosphorylation	Cortical stimulation induces GDNF receptor phos- phorylation in the striatum, an action that requires $A_{2A}R$ tonic activation	(Gomes et al. 2009)					
$1.2$ -Trk translocation	$A_{2A}R$ agonists increase TrkB levels in lipid rafts of cortical membranes	(Assaife-Lopes et al. 2014)					
1.3-Levels of NTF and receptors	In primary glial cultures, $A_{2A}R$ activation increases NGF expression and release	(Heese et al. 1997)					
	Tonic activation of $A_{2A}R$ is required for normal BDNF levels in hippocampus	(Tebano et al. $2008$ )					
	$A_{2A}R$ activation up-regulates BDNF expression in rat primary cortical neurons	(Jeon et al. $2011$ )					
	BDNF production is prevented by the blockade of $A_{2A}R$ activation	(Jeon et al. 2012)					
	Chronic In vivo administration of $A_{2A}R$ antagonist reduced rat hippocampal content on TrkB-FL receptors mRNA and protein	(Jeronimo-Santos et al. 2014)					
2-Functional evidences							
<b>2.1-Synaptic actions</b>							
2.1.1-Synaptic transmission	$A_{2A}$ R activation facilitates BDNF excitatory actions in CA1 area of young rat hippocampal slices	(Diógenes et al. 2004)					
	BDNF excitatory actions are dependent on $A_{2A}R$ activation in CA1 area of rat hippocampal slices	(Diogenes et al. 2007)					
	BDNF decreases $\alpha$ 7 nicotinic acetylcholine recep- tor responses in a mechanism dependent on $A_{2A}R$ activation in interneurons of hippocampal CA1 stratum radiatum	(Fernandes et al. 2008)					
	BDNF excitatory effect is abolished in $A_{2A}R$ KO mice in CA1 area of hippocampal slices	(Tebano et al. 2008)					
	BDNF excitatory effect is loss in adult mice over- expressing ADK and abolished in mice underex- pressing ADK in CA1 area of hippocampal slices	(Diógenes et al. 2014)					
	2.1.2- Synaptic plasticity						
Ex vivo LTP	Facilitatory action of exogenous BDNF upon CA1 hippocampal LTP is dependent on $A_{2A}R$ activation	(Fontinha et al. 2008)					

<span id="page-70-0"></span>**Table 4.1** Evidence for the cross-talk between neurotrophic factors (NTF) and adenosine  $A_{2A}$ receptors  $(A_{2A}R)$ 

Evidences for the cross talk $A_{2A}R/NTF$	Main observation	References
	Facilitatory action of endogenous BDNF upon CA1 hippocampal LTP is increased in aging and it is dependent on $A_{2A}R$ activation	(Diógenes et al. 2011)
	Chronic in vivo blockade of $A_{2A}R$ inhibits the facilitatory action of BDNF upon CA1 hippocam- pal LTP	(Jeronimo-Santos et al. 2014)
Ex vivo LTD	LTD attenuation induced by BDNF in CA1 hip- pocampal area is dependent on $A_{2A}R$ activation	(Rodrigues et al. 2014a)
2.1.3-Neuromuscu- lar transmission	BDNF promotes enhancement of neuromuscular transmission by a mechanism dependent on $A_{2A}R$ activation in innervated rat diaphragm	(Pousinha et al. 2006)
2.2-Long-lasting phrenic motor facilitation	$A_{24}R$ activation induces long-lasting phrenic motor facilitation via TrkB phosphorylation	(Golder et al. 2008)
2.3-Neurotransmit- ter dynamics	BDNF inhibits GAT-1-mediated GABA transport by nerve endings an action that can be enhanced by TrkB/ $A_{2A}$ R receptor cross talk	(Vaz et al. 2008)
	In cultured astrocytes, BDNF enhances GAT- 1-mediated GABA transport in a mechanism that requires active $A_{2A}R$	(Vaz et al. 2011)
	GDNF facilitates dopamine release from rat striatal synaptosomes in a manner dependent on $A_{2A}R$ activation	(Gomes et al. $2006$ )
	GDNF facilitates glutamate release from rat stria- tal synaptosomes in an $A_{2A}R$ -dependent manner	(Gomes et al. 2009)
2.4-Neuronal dif- ferentiation and survival	$A_{2A}$ R activation increases cell survival of PC12 cells or hippocampal neurons after NGF or BDNF withdrawal	(Lee and Chao 2001)
	$A_{2A}R$ activation in PC12 cells rescues the block- ade of NGF-induced neurite outgrowth	(Cheng et al. 2002)
	$A_{2A}$ R mediates neurite outgrowth in PC12 cells which depends on NGF mediated signaling	(Charles et al. 2003)
	$A_{2A}R$ agonist reduces ADA-induced and NGF- withdrawal-induced apoptosis of rat superior cervical ganglion cultures	(Ramirez et al. 2004)
	$A_{2A}R$ and Trk antagonism protects motor neurons from toxic insults	(Mojsilovic-Petrovic et al. 2006)
	$A_{2A}R$ contributes to motoneuron survival by TrkB transactivation	(Wiese et al. 2007)
	BDNF promotes cellular survival, synapse forma- (Jeon et al. 2012) tion and neurite in a mechanism dependent on $A_{2A}R$ activation	
2.5-Neuronal inflamation	LPS-mediated increase of BDNF and microglia proliferation is dependent on $A_{2A}R$ Exogenous BDNF-induced microglia proliferation is dependent on $A_{2A}R$	(Gomes et al. 2013)
2.6-Behaviour	Altered fear and anxiety-like behaviors in fb-A <sub>2A</sub> R KO mice associated with a reduction of BDNF levels in hippocampus	(Wei et al. 2014)

**Table 4.1** (continued)
Evidences for the cross talk $A_{2A}R/NTF$	Main observation	References
3-Evidences from animal models of diseases		
$3.1-HD$	In HD animal models the blockade of $A_{2A}R$ sig- nificantly reduces striatal BDNF levels	(Potenza et al. 2007)
	Blockade of $A_{2A}R$ abolishes the neuroprotec- tive actions mediated by BDNF against NMDA toxicity	(Martire et al. 2013)
$3.2 -ALS$	In ALS mice model the selective $A_{2A}R$ agonist slows the onset of motor neuron degeneration and muscle weakness similarly to BDNF TrkB.T1 receptor removal	(Yanpallewar et al.) 2012)

**Table 4.1** (continued)

 $A_{2A}R$  adenosine  $A_{2A}$  receptors, ADA adenosine deaminase *ADK* adenosine kinase, *ALS* amyotrophic lateral sclerosis, *BDNF* brain-derived neurotrophic factor, *fb* forebrain, *CDNF* cerebraldopamine neurotrophic factor, *GDNF* glial-derived neurotrophic factor, *HD* Huntington's disease, *KO* knockout, *LPS* lipopolysaccharide, *LTD* long-term depression, *LTP* long-term potentiation, *NTF* neurotrophic factors, *NGF* nerve growth factor

### *Molecular Evidences*

#### **Neurotrophic Factor Receptors Phosphorylation**

As mentioned previously, the first evidence that neurotrophin Trk receptors could be phosphorylated by a mechanism dependent on  $A_{2A}R$  activation in the absence of neurotrophins appeared in 2001 (Lee and Chao [2001\)](#page-87-0). In this work it was demonstrated that NGF TrkA receptors, in PC12 cells, or BDNF TrkB receptors, in cultured hippocampal neurons could be transactivated by a prolonged exposure (~90 min) of  $A_{2A}R$  agonists (Lee and Chao [2001](#page-87-0)). Later, the pool of Trk receptors that undergos the transactivation process was identified as being in intracellular locations particularly associated with Golgi membranes (Rajagopal et al. [2004\)](#page-89-0). Further studies revealed that  $A_{2A}R$  activation mediates transactivation of TrkB in motoneurons (Wiese et al. [2007\)](#page-89-1) and in the cervical ventral horn (Golder et al. [2008\)](#page-86-0). Nor only Trk receptors were shown to become phosphorylated through  $A_{2A}R$ activation but also GDNF receptor phosphorylation after cortical stimulation was showed to be dependent on  $A_{2A}R$  activation (Gomes et al. [2009](#page-87-1)). Interestingly, in vivo stimulation of corticostriatal afferents leads to activation of a canonical NTF pathway, phosphorylation mitogen-activated protein kinase (ERK1/2), and this is prevented by in vivo  $A_{2A}R$  blockade (Quiroz et al. [2006\)](#page-88-0).

### **Trk Translocation**

Lipid rafts are cholesterol-rich membrane domains that form an organized portion of the membrane that is thought to concentrate signaling molecules. These specialized domains have been implicated in the regulation of signal transduction in multiple cell types, including neurons, by promoting close proximity or segregation of signaling molecules (Sebastião et al. [2013](#page-89-2)). Indeed, the translocation of BDNF TrkB receptors to lipid rafts, is known to be required for BDNF effects upon glutamate release, synaptic fatigue (Suzuki et al. [2004\)](#page-89-3) and for the activation of the phospholipase C pathway (Pereira and Chao [2007\)](#page-88-3).

 $A_{2A}R$  activation was shown to enhance the levels of TrkB receptors in the lipid raft fraction of cortical membranes (Assaife-Lopes et al. [2014\)](#page-85-0) (Fig. [4.1\)](#page-73-0). This may involve two processes: one, that is BDNF-independent and does not involve phosphorylation of TrkB receptors, and another that results from potentiation of subthreshold actions of BDNF that, in the absence of  $A_{2A}R$  activation, induce mild TrkB receptor phosphorylation and poor or no TrkB translocation to lipid rafts. This suggests that the increased concentration of TrkB receptors in the lipid rafts, as a consequence of  $A_{2A}R$  activation, leads to enhanced proximity of TrkB receptors promoting auto-phosphorylation of receptors not fully phosphorylated by a short

<span id="page-73-0"></span>

**Fig. 4.1** Mechanisms underling facilitatory actions of  $A_{2A}R$  activation on BDNF effects upon hippocampal synaptic transmission. In hippocampal slices taken from infant animals (*1*) BDNF excitatory effects upon synaptic transmission are only seen under conditions that favor  $A_{2A}R$  activation such as: pre-depolarization; direct pharmacological activation of  $A_{2A}R$  by selective agonists or decreased action of enzymes responsible for the degradation of adenosine ( *ADO*) such as adenosine kinase (ADK). In these conditions, the activation of  $A_{2A}R$ , which are positively coupled to Gs proteins, increases the activity of the adenylate cyclase (AC) and consequently promotes formation of cyclic AMP (cAMP) and the activity of the protein kinase A (PKA). The activation of this cAMP/PKA transducing system induces the translocation of TrkB receptors into the lipid rafts. In hippocampal slices taken from older animals (2) the activation of  $A_{2A}R$  by endogenous adenosine in the synaptic cleft is enough to facilitate the BDNF excitatory action upon synaptic transmission. Abbreviators: *ADO* adenosine; *AC* adenylate cyclase; *ADK* adenosine kinase; *BDNF* brain-derived neurotrophic factor; *cAMP* cyclic AMP; *PKA* protein kinase A

BDNF exposure. In contrast, long-lasting incubations with BDNF (>40 min) cause maximal TrkB translocation to lipid rafts and this no longer requires  $A_{2A}R$  activa-tion (Assaife-Lopes et al. [2014](#page-85-0)), again indicating that  $A_{2A}R$  act to favor TrkB activation but do not exacerbate maximal activation.  $A_{2A}R$ -induced TrkB translocation to lipid rafts does not seem to require TrkB receptor internalization and involves activation of the cAMP/PKA signaling cascade (Assaife-Lopes et al. [2014](#page-85-0)), in contrast with the predominant mechanism operated by BDNF to enhance TrkB levels in these microdomains (Assaife-Lopes et al. [2014](#page-85-0); Pereira and Chao [2007](#page-88-3); Suzuki et al. [2004](#page-89-3)). Interestingly, relevant actions of BDNF at synapses, such as facilitation of glutamate release and synaptic plasticity, require not only  $A_{2A}R$  activation but also lipid raft integrity (Assaife-Lopes et al. [2014](#page-85-0)). Also noteworthy, high frequency stimulation also increases the levels of TrkB receptors in the lipid rafts, and this enhancement is lost when adenosine is not allowed to accumulate extracellularly (Assaife-Lopes et al. [2014](#page-85-0)). Altogether, the above summarized data suggest that  $A_{2A}R$ -induced TrkB translocation to lipid rafts plays an important part in the mechanism through which; enhanced neuronal activity;  $A_{2A}R$  activation; and cAMP elevations facilitate BDNF actions at active synapses.

#### **Levels of Neurotrophic Factors and its Receptors**

The influence of  $A_{2A}R$  on NTF expression was firstly demonstrated in 1997 with a work showing that, in primary glial cultures from cerebral cortices, the activation of  $A_{2A}R$  increased NGF expression and release through a mechanism dependent on cAMP (Heese et al. [1997](#page-87-2)). It is now known that tonic activation of  $A_{2A}R$  is also required to sustain a normal BDNF tone. This was clearly shown by Tebano et al. [2008,](#page-89-4) who compared BDNF levels present in the hippocampus of  $A_{2A}R$  KO and wild type (WT) animals, as well as in WT mice pharmacologically treated with an  $A_{2A}R$  antagonist. In both  $A_{2A}R$  KO mice and  $A_{2A}R$  antagonist WT treated animals, the levels of BDNF were significantly reduced (Tebano et al. [2008\)](#page-89-4). Later, by using rat primary cortical neurons, it was demonstrated that activation of  $A_{2A}R$  enhances BDNF expression and release, through a mechanism that requires activation of Akt-GSK-3β signaling pathway (Jeon et al. [2011](#page-87-3)).

Oroxylin A is a flavone isolated from a medicinal herb reported to be effective in reducing the inflammatory and oxidative stresses. It also modulates the production of BDNF in cortical neurons by transactivation of the cAMP response elementbinding protein (CREB) (Kim et al. [2006](#page-87-4)). Interestingly, it was shown that oroxylin A-induced increase in BDNF production is prevented by the blockade of  $A_{2A}R$  (Jeon et al. [2012](#page-87-5)). Somehow surprisingly on the basis of the above mentioned data, the daily in vivo intraperitoneal administration of the  $A_{2A}R$  antagonist, SCH 558261, for 14 days did not significantly affect the levels of BDNF evaluated in the anterior cingulate cortex, striatum, parietal cortex and in hippocampus nor the CDNF levels in substancia nigra, striatum, nucleus accumbens, hippocampus, parietal cortex and brainsteam (Gyarfas et al. [2010\)](#page-87-6).

Most of the available data, summarized above, is focused on the effects of  $A_{2A}R$ upon NTF levels; however, NTF receptor levels are also a crucial component for proper NTF mediated actions. We recently showed that chronic in vivo administration of an  $A_{2A}R$  antagonist, KW6002, severely impairs the levels of mRNA and protein of TrkB-FL receptors in the hippocampus (Jerónimo-Santos et al. [2014\)](#page-87-7), demonstrating that tonic activation of  $A_{2A}R$  are not only important for BDNF levels but also for its receptor levels, therefore certainly affecting BDNF mediated actions.

### *Functional Evidences*

### **Synaptic Actions**

Synaptic Transmission

The influence of  $A_{2A}R$  activation on BDNF actions has been mostly demonstrated in hippocampal synaptic transmission. It became clear that in young animals  $(\sim 3)$ week-old rats) BDNF, *per se,* does not facilitate hippocampal synaptic transmission unless experimental conditions favor  $A_{2A}R$  activation such as: (1) pre-depolarization known to increase adenosine release (Pazzagli et al. [1993](#page-88-4)) and consequently  $A_{2A}R$ activation (Diógenes et al. [2004](#page-86-1)); (2) direct pharmacological activation of  $A_{2A}R$  by selective agonists (Diógenes et al. [2004\)](#page-86-1); (3) decreased action of enzymes responsible for the degradation of adenosine such as adenosine kinase (ADK), achieved either by pharmacologically inhibition of ADK (Diógenes et al. [2004](#page-86-1)) or by using transgenic animals underexpressing ADK (Diógenes et al. [2014](#page-86-2)) (Fig. [4.1](#page-73-0)).

In adult animals, BDNF alone is able to enhance hippocampal synaptic transmission (Diógenes et al. [2007](#page-86-3); Kang and Schuman [1995;](#page-87-8) Tebano et al. [2008](#page-89-4)) but this facilitatory action is lost when  $A_{2A}R$  are blocked (Diógenes et al. [2007](#page-86-3); Tebano et al. [2008](#page-89-4)) or when  $A_{2A}R$  are deleted (Tebano et al. [2008\)](#page-89-4) (Fig. [4.1](#page-73-0)). These agedependent actions of BDNF *per se* upon hippocampal synaptic transmission have been related to the age-related changes in the density of TrkB and of  $A_{2A}R$  (Dió-genes et al. [2007](#page-86-3)). Accordingly, in young animals, where  $A_{2A}R$  levels are modest, the effect of BDNF upon synaptic transmission is only observed in conditions where  $A_{2A}R$  activation is favored. In adult animals, BDNF induces an LTP-like phenomenon dependent on  $A_{2A}R$  activation which disappears in old adult rats probably because of the marked decrease in the density of TrkB receptors in this age (Diógenes et al. [2007\)](#page-86-3). Surprisingly, BDNF could enhance synaptic transmission in aged  $(\sim 80$  weeks old) animals. In this age group, it is possible to observe, on one hand, a marked increase in the Bmax value for  $A_{2A}R$  binding, indicating higher density in  $A_{2A}R$  and, on the other hand, that the effect of BDNF on synaptic transmission also requires  $A_{2A}R$  activation (Diógenes et al. [2007\)](#page-86-3). This indicates that the ability of BDNF to enhance synaptic transmission under conditions of low density of TrkB receptors, as it occurs the aged, might be related to the increased levels of  $A_{2A}R$ , which partially compensates the marked loss of TrkB receptors

levels. This relationship between age-related changes in the density of TrkB receptors and  $A_{2A}R$ , to allow BDNF-induced facilitation of synaptic transmission in the hippocampus, should be taken into consideration whenever designing BDNFbased therapeutic strategies in pathologies of the aged, such as Parkinson's disease (PD).

In interneurons of the hippocampal CA1 stratum radiatum, BDNF was shown to rapidly decrease α7 nicotinic acetylcholine receptor responses. This effect is dependent on the activation of TrkB receptors; involves the actin cytoskeleton and it is compromised when the extracellular levels of the endogenous adenosine are reduced with adenosine deaminase or when  $A_{2A}R$  are blocked (Fernandes et al. [2008\)](#page-86-4). This interplay between BDNF and  $A_{2A}R$  upon hippocampal nicotinic mechanisms is of main interest given that nicotinic mechanisms, acting on the hippocampus, influence attention, learning, and memory and constitute a significant therapeutic target for many neurodegenerative disorders such as PD.

#### Synaptic Plasticity

It is generally accepted that the neurophysiological basis for learning and memory involve modifications in the efficiency of synapses between neurons, that is to say the synaptic adaptations to external stimuli. Experimental paradigms of such plasticity processes are the long-term modifications in synaptic strength induced by specific patterns of stimulation. The forms more commonly studied are those known as long-term potentiation (LTP) (Bliss and Collingridge [1993](#page-85-1)) and long-term depression (LTD) (Linden [1994\)](#page-87-9).

#### **Ex vivo LTP**

The mechanisms underlying the establishment of LTP in the CA1 region of the hippocampus have been the subject of many studies (for a review, see Malenka and Nicoll [1999\)](#page-88-5). There is now clear evidence that LTP is under control by NTF, namely BDNF (Minichiello [2009](#page-88-6)). The long lasting actions of BDNF upon gene expression and protein-synthesis dependent LTP have also been matter of several reviews (Bramham et al. [2008;](#page-85-2) Lu et al. [2008](#page-88-7)), but BDNF also influences earlier phases of LTP and this has been mostly shown at the CA1 area of the hippocampus. LTP at these synapses is deeply impaired in BDNF homozygous and heterozygous mutant mice and can be rescued by exogenous BDNF (Korte et al. [1996](#page-87-10); Patterson et al. [1996\)](#page-88-8). In accordance to these results, the application of a BDNF scavenger greatly inhibits hippocampal LTP (Figurov et al. [1996](#page-86-5); Kang et al. [1997\)](#page-87-11). Moreover, hippocampal slices taken from TrkB CA1 KO mice have an impaired LTP (Xu et al. [2000\)](#page-89-5), further highlighting the role of the endogenous BDNF upon CA1 LTP.

BDNF expression and release (Balkowiec and Katz [2002](#page-85-3); Hartmann et al. [2001](#page-87-12)) as well as release of adenosine (Pazzagli et al. [1993\)](#page-88-4) and of its precursor ATP (Wieraszko et al. [1989\)](#page-89-6), are much more pronounced upon depolarization and during physiologically relevant patterns of neuronal activity, namely those that induce hippocampal LTP. Consequently, high neuronal activity appears to create ideal physiological

conditions for the concomitant increase of both adenosine and BDNF at the synapses and therefore favoring the interplay between  $A_{2A}R$  and TrkB receptors.

The first functional evidence for the  $A_{2A}R/TrkB$  interplay to control hippocampal LTP appeared in 2008 with a work (Fontinha et al. [2008](#page-86-6)) showing that the facilitatory action of exogenous BDNF upon θ-burst-induced LTP is fully dependent on the co-activation of  $A_{2A}R$  through a cAMP/PKA-dependent mechanism. This evidence was further extended while examining the influence of BDNF upon LTP throughout ageing (Diógenes et al. [2011\)](#page-86-7). Interestingly, endogenous BDNF actions upon θ-burst- induced LTP are significantly increased in aged animals (Diógenes et al. [2011](#page-86-7)) where neuromodulation through  $A_{2A}R$  is increased (Costenla et al. [2011;](#page-86-8) Re-bola et al. [2003\)](#page-89-7). Moreover, in vivo chronic blockade of  $A_{2A}R$  in adult rats inhibits the facilitatory action of BDNF upon LTP on hippocampal CA1 area and decreases both mRNA and protein levels of the TrkB receptor in hippocampus (Jeronimo-Santos et al. [2014\)](#page-87-7). Whether this relates to the impairment of hippocampal dependent conditional learning caused by i.p. injections of an  $A_{2A}R$  antagonists in young animals (Fontinha et al. [2009](#page-86-9)) is yet unknown. The learning impairment induced by an  $A_{2A}R$  antagonist reported by Fontinha et al. ([2009\)](#page-86-9) contrasts with the ability of  $A_{2A}$ <sup>2</sup>R antagonists to revert learning impairment associated to chronic stress (Batalha et al. [2013](#page-85-4)). Besides differences in the experimental paradigm (prolonged vs acute, oral vs i.p. administration, rat vs mice, spacial vs conditional learning), the above referred discrepancy may suggest a different role of  $A_{2A}R$  in health and disease. A protective role of  $A_{2A}R$  activation in neurodegenerative disease models, as Hungtington's disease models has been however shown.

The actions mediated by either endogenous or exogenous BDNF on LTP are more pronounced whenever  $A_{2A}R$  are more relevant as in aging (Diógenes et al. [2011](#page-86-7)) where despite the decrease in TrkB receptor levels (Diógenes et al. [2007\)](#page-86-3), BDNF levels are maintained (Diógenes et al. [2011](#page-86-7)), and  $A_{2A}R$  levels are significantly increased (Diógenes et al. [2007\)](#page-86-3).

### **Ex vivo LTD**

BDNF is thought to display a dual action over synaptic plasticity phenomena mediating opposite events: mature BDNF facilitates LTP through TrkB receptors, whereas the activation of p75NTR by proBDNF has been reported to be necessary for NMDAR-LTD at the CA1 hippocampal area (Rosch et al. [2005](#page-89-8); Woo et al. [2005\)](#page-89-9). Moreover, in the visual cortex mature BDNF was shown to impair LTD (Akaneya et al. [1996;](#page-85-5) Huber et al. [1998](#page-87-13); Jiang et al. [2003\)](#page-87-14). In the hippocampus, high concentrations of BDNF ( $\sim$  100 ng/ml) attenuate LTD (Ikegaya et al. [2002;](#page-87-15) Rodrigues et al. [2014a](#page-89-10)). At this concentration BDNF lacks effect on an adenosine depleted background or under selective  $A_{2A}R$  blockade, indicating that it relies on tonic  $A_{2A}R$ activation (Rodrigues et al. [2014a](#page-89-10)). At a lower concentration ( $\sim$  20 ng/ml) BDNF can inhibit LTD providing that  $A_{2A}Rs$  are activated by either endogenous adenosine or by selective ligands (Rodrigues et al. [2014a](#page-89-10)).

Altogether, the above evidences indicate that the action of BDNF upon plasticity is under the control of upstream regulators as adenosine, which on one hand boost BDNF facilitation of LTP and on the other hand allow inhibition of LTD even at low BDNF levels, contributing to synaptic reinforcement.

### Neuromuscular Transmission

Likewise the hippocampus, the terminals of the motor nerves have both  $A_{2A}R$  and TrkB receptors. Data showed that BDNF, even in high concentrations, is not able to affect evoked endplate potentials (EPPs) recorded intracellularly from rat innervated diaphragms paralyzed with tubocurarine. However, when BDNF is applied after a brief depolarizing K<sup>+</sup>pulse or when the  $A_{2A}R$  are pharmacologically activated, BDNF can increase EPPs amplitude without influencing the resting membrane potential of the muscle fiber. This action of BDNF is prevented by antagonizing  $A_{2A}R$ . Experiments preformed using a PKA inhibitor and a  $PLC\gamma$  inhibitor, show that the following sequence of events, in what concerns cooperativity between  $A_{2A}R$  and TrkB receptors at the neuromuscular junction, occurs:  $A_{2A}R$  activate the PKA pathway, which promotes the action of BDNF through TrkB receptors coupled to  $PLC_{\gamma}$ , leading to enhancement of neuromuscular transmission (Pousinha et al. [2006\)](#page-88-9).

#### **Long-Lasting Phrenic Motor Nerve Facilitation**

Long-term changes in respiratory motoneuron function can occur via plasticity of their synaptic inputs (Golder and Mitchell [2005;](#page-86-10) Mitchell and Johnson [2003](#page-88-10)). Respiratory synaptic plasticity can be induced by several neuromodulators (Bocchiaro and Feldman [2004;](#page-85-6) Feldman et al. [2003](#page-86-11); Neverova et al. [2007](#page-88-11)) able to activate downstream signaling mechanisms that often involve the BDNF and TrkB activation (Baker-Herman et al. [2004;](#page-85-7) Bramham and Messaoudi [2005](#page-85-8); Carter et al. [2002\)](#page-86-12). For example, acute intermittent hypoxia (AIH) elicits a long-lasting enhancement of phrenic motor activity known as phrenic long-term facilitation (pLTF) (Mitchell et al. [2001\)](#page-88-12) that requires BDNF synthesis (Baker-Herman et al. [2004](#page-85-7)).

Similarly of what was described for hippocampal neurons in culture (Lee and Chao [2001](#page-87-0)),  $A_{2A}R$  activation transactivates TrkB receptors in the rat cervical spinal cord near phrenic motoneurons, inducing long-lasting phrenic motor facilitation (Golder et al. [2008](#page-86-0)). Moreover,  $A_{2A}R$  activation increases the synthesis of an immature TrkB protein, induces TrkB signaling through Akt, and strengthens synaptic pathways to phrenic motoneurons. This work (Golder et al. [2008](#page-86-0)) suggests that adenosine receptor agonists may prove an effective therapeutic strategy in the treatment of patients with ventilator control disorders, such as respiratory insufficiency, after spinal injury or during neurodegenerative diseases.

#### **Neurotransmitter Dynamics**

Glial cell line-derived neurotrophic factor (GDNF) was discovered in 1993 as a potent survival-promoting agent for cultured dopaminergic neurons (Lin et al. [1993](#page-87-16)) and therefore GDNF has been regarded as one of the most promising molecules for NTF based PD therapy (Rodrigues et al. [2014b;](#page-89-11) Stayte and Vissel [2014](#page-89-12)). The first evidence for a crosstalk between  $A_{2A}R$  and GDNF in the striatum appeared in 2006

in a study showing that this NTF acutely increases evoked dopamine release in rat striatal slices and synaptosomes (presynaptic nerve terminals) and that its action is modulated by  $A_{2A}R$  (Gomes et al. [2006](#page-87-17)). The  $A_{2A}R$  that promote the facilitatory action of GDNF upon dopamine release are most probably located presynaptically at dopaminergic nerve terminals, since the  $A_{2A}R/GDNF$  crosstalk was observed in isolated synaptosomes, where interactions at the circuit level are absent (Gomes et al. [2006](#page-87-17)). Notably, in rat striatal synaptosomes GDNF was also found to enhance glutamate release, and again, this action requires co-activation of  $A_{2A}R$  (Gomes et al. [2009\)](#page-87-1).

BDNF is also an important regulator of neurotransmitter dynamics and the involvement of  $A_{2A}R$  being studied. In fact, BDNF through activation of TrkB receptors decreases uptake of GABA mediated by the high-affinity Na+/Cl<sup>−</sup> dependent transporter, GAT-1, in isolated hippocampal rat nerve terminals. In contrast with what has been observed for other actions of BDNF, the inhibition of GABA transport by BDNF does not require tonic activation of  $A_{2A}R$  since it is not blocked by a selective  $A_{2A}R$  antagonist. However, in synaptosomes depleted of extracellular endogenous adenosine, the pharmacological activation of  $A_{2A}R$  enhances the inhibitory effect of BDNF upon GABA transport, an action prevented by blockade of  $A_{2A}R$ . Therefore, the inhibitory action of BDNF upon GAT-1-mediated GABA transport into nerve endings is not dependent on, but can be enhanced by,  $TrkBA_{2A}R$  receptor cross talk (Vaz et al. [2008\)](#page-89-13). Interestingly, in cultured astrocytes BDNF enhances GAT-1-mediated GABA transport in a mechanism involving the truncated form of the TrkB receptor (TrkB-Tc) coupled to a non-classic PLC-γ/PKC-δ and ERK/ MAPK pathway; this action fully requires active  $A_{2A}R$  (Vaz et al. [2011\)](#page-89-14).

Regarding GABA and glutamate release, BDNF enhances the release of glutamate and inhibits the release of GABA from rat hippocampal synaptosomes (Canas et al. [2004\)](#page-86-13). These two opposite actions of BDNF involve different mechanisms since BDNF mostly influences the calcium-dependent release of glutamate, while its influence upon the release of GABA does not depend on extracellular calcium and involves GABA transporters (Canas et al. [2004\)](#page-86-13). However, in both situations, enhancement of glutamate release or decrease in GABA release by BDNF are depended on  $A_{2A}R$  activation (Parreira [2014\)](#page-88-13).

#### **Neuronal Differentiation and Survival**

It is widely accepted that BDNF is a NTF with a central role in neuronal differentiation and survival. Adenosine has also been regarded as a neuromodulator that mediates neuroprotection mainly through  $A_1R$  activation. However, there is data showing the involvement of  $A_{2A}R$  on cell survival and differentiation mainly by promoting NTF actions or by preventing cellular death induced by NTF withdrawal.

First evidence that adenosine, acting through the  $A_{2A}R$ , exerts a trophic effect through the engagement of Trk receptors was published in the very first paper showing that  $A_{2A}R$  can transactivate TrkB receptors (Lee and Chao [2001](#page-87-0)). This work showed that  $A_{2A}R$  agonists can activate phosphatidylinositol 3-kinase and Akt through a Trk-dependent mechanism increasing survival of PC12 cells or hippocampal neurons after NGF or BDNF withdrawal (Lee and Chao [2001](#page-87-0)). Moreover, stimulation of the  $A_{2A}R$ , in PC12 cells, by a selective agonist rescues the blockade of NGF-induced neurite outgrowth when the NGF-evoked MAPK cascade is suppressed. This action of  $A_{2A}R$  is dependent on cAMP/PKA transducing system (Cheng et al. [2002\)](#page-86-14). Also in PC12 cells, bacterial nucleoside N6-methyldeoxyadenosine induces an  $A_{2A}R$ -mediated neurite outgrowth, an action that also depends on MAPK activation by NGF (Charles et al. [2003\)](#page-86-15).

In sympathetic neurons, the available data also provided evidence for neuroprotection mediated by endogenous adenosine via  $A_{2A}R$  activation. Rat superior cervical ganglion (SCG) cultures maintained in the continuous presence of NGF but in an environment depleted of endogenous adenosine present a marked increase in cellular apoptosis, to a level that is approximated to what occur as consequence of NGF withdrawal (Ramirez et al. [2004\)](#page-89-15). The addition of exogenous adenosine to NGF-deprived SCG neurons resulted in enhanced cell survival. In addition, a selective  $A_{2A}R$  agonist significantly reduced both ADA-induced and NGF-withdrawalinduced neuronal apoptosis. Moreover, the selective  $A_{2A}R$  agonist was shown to prevent the induction of early apoptotic events, such as changes in mitochondrial integrity and caspase activation, and to trigger the increase in ERK activation, which is essential for neurotrophin-independent cell survival (Ramirez et al. [2004\)](#page-89-15).

As mentioned above, oroxylin A, regulates BDNF production in cortical neurons through  $A_{2A}R$  stimulation. Interestingly the increase on BDNF levels mediated by  $A_{2A}R$  activation results in the promotion of cell survival, synapse formation and neurite extension (Jeon et al. [2012](#page-87-5)).

Regarding motorneurons, it was shown that  $A_{2A}R$  contribute to motoneuron survival by transactivating the TrkB receptors (Wiese et al. [2007](#page-89-1)). On the contrary, there are data showing that the antagonism of  $A_{2A}R$  and Trk receptors protects motor neurons from toxic insult (Mojsilovic-Petrovic et al. [2006](#page-88-14)).

### **Neuronal Inflammation**

Neuroinflammation, as all inflammation in general, is a fundamental immune response engaged to protect the body from damage from internal or external sources. Microglia has been seen as the sentinel immune cell of the brain, being the first responders to tissue injury and initiating the inflammatory response. Microglial activation seems to be a convergence point for diverse stimuli that may promote or compromise neuronal survival; in such a way, the exacerbated or chronic neuroinflammation contributes to cellular injury, therefore participating in the pathophysiology of neurodegenerative diseases (Frank-Cannon et al. [2009\)](#page-86-16). Microglia can broadly exist in two different states (Colton [2009\)](#page-86-17): one is the classical activation, which is typified by the production of inflammatory cytokines and reactive oxygen species, while the second is a state of alternative activation, in which microglia take on an anti-inflammatory phenotype involved in wound repair and debris clearance (Gordon [2003\)](#page-87-18). In neurodegenerative disorders this alternative activation would have a beneficial role, but this field certainly needs a deeper clarification (Cherry et al. [2014\)](#page-86-18).

Adenosine, via  $A_{2A}R$  activation, and BDNF, through TrkB receptors, have determinant roles in inflammation. Indeed, it was demonstrated that  $A_{2A}R$  are a critical part of the physiological negative feedback mechanism for limitation and termination of both tissue-specific and systemic inflammatory responses (Ohta and Sitkovsky [2001\)](#page-88-15). Moreover,  $A_{2A}R$  mediate microglial process retraction (Orr et al. [2009\)](#page-88-16). On the other hand there is data showing that  $A_{2A}R$  antagonists prevent neuroinflammation, supporting the hypothesis that  $A_{2A}R$  antagonists can control different neurodegenerative diseases through prevention of neuroinflammation (Rebola et al. [2011](#page-89-16)).

Regarding BDNF, there are data suggesting that intranasal BDNF might protect the brain against an ischemic insult by modulating local inflammation, an action that involves the regulation of the levels of cytokines and transcription factors (Jiang et al. [2011](#page-87-19)).

Interestingly, there are data showing that the inflammatory trigger lipopolysaccharide (LPS) induces time-dependent changes of the intra- and extracellular levels of BDNF with increased microglial proliferation (Gomes et al. [2013\)](#page-87-20). The maximal LPS-induced BDNF release was shown to be time-coincident with an LPS-induced increase of the  $A_{2A}R$  density. Notably, the LPS-mediated increase of BDNF secretion and proliferation, as well as the exogenous BDNF-induced proliferation was prevented by removing endogenous extracellular adenosine or by blocking  $A_{2A}R$ . These data led the authors to conclude that  $A_{2A}R$  activation plays a mandatory role controlling the release of BDNF from activated microglia, as well as the autocrine/ paracrine proliferative role of BDNF (Gomes et al. [2013\)](#page-87-20).

#### **Behaviour**

The dysfunction of conditioned fear leads to maladaptive fear responses that may underlie neuropsychiatric disorders. Interestingly, forebrain (fb)-specific  $A_{2A}R$ knockout (fb-A<sub>2A</sub>R KO) mice possess altered fear and anxiety-like behaviors associated with a selective reduction of BDNF levels in hippocampus (Wei et al. [2014\)](#page-89-17). Accordingly, the selective deletion of  $A_{2A}Rs$  in the striatum increases Pavlovian fear conditioning in striatum- $A_{2A}R$  KO mice, but extending the deletion to the rest of the fb apparently spars context fear conditioning and attenuates tone fear conditioning in fb-A<sub>2A</sub>R KO mice. Moreover, focal deletion of hippocampal A<sub>2A</sub>R by AAV5-Cre injection selectively attenuates context (but not tone) fear conditioning. Deletion of  $A_{2A}R$  in the entire forebrain in fb- $A_{2A}R$  KO mice also produces an anxiolytic phenotype in both the elevated plus maze and open field tests, and increases the startle response. Whether this extrastriatal forebrain  $A_{2A}R$  behavioral effects are caused by a reduction of BDNF levels in the fb- $A_{2A}R$  KO hippocampus remains to be explained. Nevertheless, it is clear, as previously described in this chapter that  $A_{2A}R$  are crucial for the preservation of BDNF levels.

### *Evidence from Animal Models of Diseases*

### **Hungtington's Disease**

Huntington's disease (HD) is a genetic neurodegenerative disease caused by a trinucleotide expansion in exon 1 of the huntingtin gene without an effective pharmacological treatment. There are evidences from postmortem human samples and from HD mouse model brain cortices that there is an impairment of BDNF signaling in HD (Gines et al. [2006](#page-86-19); Zuccato et al. [2001](#page-90-1), [2008](#page-90-2)). Based on striatal gene expression, it was found that both heterozygous and forebrain specific homozygous knock-outs for BDNF, are more like human HD than the other HD models, which strongly implicates reduced trophic support as a major pathway contributing to striatal degeneration in HD (Strand et al. [2007\)](#page-89-18). Moreover, BDNF overexpression in the forebrain rescues HD phenotypes in YAC128 mice (Xie et al. [2010](#page-89-19)).

Inspired by evidences for an involvement of striatal  $A_{2A}R$  in HD (Blum et al. [2003;](#page-85-9) Popoli et al. [2007\)](#page-88-17), Potenza et al. [\(2007](#page-88-1)) used two different models of HD, quinolinic acid (QA)-lesioned rats and a transgenic mice model of HD (R6/2 mice) and studied the influence of the pharmacological blockade of  $A_{2A}Rs$  on BDNF levels. Accordingly to what was described for non-disease animal models (see 2.3 above), in HD animal models the blockade of  $A_{2A}R$  also significantly reduces striatal BDNF levels (Potenza et al. [2007\)](#page-88-1).

Excitotoxicity mediated by NMDA receptor is thought to play a pivotal role in HD (Levine et al. [1999\)](#page-87-21) and BDNF is known to influence the activity and expression of striatal NMDA receptors (Torres-Peraza et al. [2008\)](#page-89-20). Electrophysiological studies show that in corticostriatal slices from WT mice, NMDA application induces a transient reduction of field potential amplitude while in age-matched symptomatic R6/2 mice (animal model of HD) it induces a permanent (i.e., toxic) reduction of field potential amplitude; interestingly, BDNF potentiates NMDA responses in WT animals, while it protects from NMDA toxicity in R6/2 mice and remarkably, both effects of BDNF were prevented by  $A_{2A}R$  blockade (Martire et al. [2013](#page-88-2)).

Summarizing, in HD models it is clear that the blockade of  $A_{2A}R$  not only impairs the levels of BDNF but also abolishes its neuroprotective actions against NMDA toxicity. Given the recognized role of BDNF in rescuing HD phenotypes in animal mice models (Xie et al. [2010](#page-89-19)), this data might alert for a prejudicial role of antagonizing  $A_{2A}R$  in pathologies where the maintenance of BDNF effects is vital.

### **Amyotrophic Lateral Sclerosis**

Amyotrophic lateral sclerosis (ALS) is a late-onset progressive neurodegenerative disease affecting motor neurons. The etiology of most ALS cases remains unknown, but 2% of the cases are associated to mutations in Cu/Zn superoxide dismutase (SOD1) (Boillee et al. [2006\)](#page-85-10). BDNF mRNA and protein are severely upregulated in the muscle of ALS patients, and total TrkB mRNA is increased in the spinal cord; however, phosphorylation of the TrkB receptor is impaired (Kust et al. [2002;](#page-87-22) Mutoh et al. [2000\)](#page-88-18).

Interestingly, it was found that the deletion of truncated form of TrkB receptors, TrkB.T1, which may act as negative modulators of TrkB signaling (Eide et al. [1996\)](#page-86-20), significantly slows the onset of motor neuron degeneration, delays the development of muscle weakness and improves the neurological score at the late stage of the disease. Notably, the treatment with a selective  $A_{2A}R$  agonist slowed the onset of motor neuron degeneration and muscle weakness similarly to TrkB.T1 removal (Yanpallewar et al. [2012](#page-90-0)).  $A_{2A}R$  have been considered potential therapeutic targets for several disorders such as ALS (Beghi et al. [2011](#page-85-11); Potenza et al. [2013](#page-88-19); Yanpallewar et al. [2012](#page-90-0)), though either agonists (Potenza et al. [2013;](#page-88-19) Yanpallewar et al. [2012\)](#page-90-0) or antagonists (Beghi et al. [2011\)](#page-85-11) have been regarded as potentially relevant. Detailed information on  $A_{2A}R$  changes in ALS is required to better appraise the therapeutic potential of  $A_{2A}R$  ligands. A recent work (Nascimento et al. [2014](#page-88-20)) demonstrates that in a SOD1 mouse model of ALS there is an exacerbation of the  $A_{2A}R$ mediated signaling at neuromuscular junctions of pre-symptomatic mice, whereas in the symptomatic phase the  $A_{2A}R$  excitatory action disappears (Nascimento et al. [2014\)](#page-88-20). Whether the  $A_{2A}R$ -mediated exacerbation of neuromuscular transmission in the pre-simptomatic phase acts as a compensatory mechanism, delaying disease progression, is yet unknown.

Taken together this data suggest that  $A_{2A}R$  activation and deletion of TrkB.T1 can have a benefic role in ALS. Whether the effect of  $A_{2A}R$  activation is mediated by a down regulation of TrkB.T1 receptors or whether the effect of the deletion of TrkB.T1 is mediated by an upregulation of  $A_{2A}R$ , remains however to be further elucidated.

### **Relevance for Parkinson's Disease**

Parkinson's disease (PD) is a common neurodegenerative disease characterized by a loss of dopaminergic input to the striatum and several motor symptoms as bradykinesia, rigidity, resting tremor and postural instability. The striatum is the brain area with the highest density of  $A_{2A}R$ , which are mostly, but not exclusively, localized postsynaptically in the medium spiny GABAergic neurons where dopaminergic  $D<sub>2</sub>$ receptors co-localize. Non-motor symptoms in PD, as cognitive impairment, may involve other brain areas as the hippocampus (Calabresi et al. [2013](#page-85-12)). NTF have been frequently regarded as promising therapies for neurodegenerative diseases as Alzheimer's disease and PD (Lu et al. [2013;](#page-88-21) Rodrigues et al. [2014b](#page-89-11); Stayte and Vissel [2014](#page-89-12)). GDNF is an important survival factor for midbrain dopaminergic neurons and stimulates the growth of processes from immature neurons (Lin et al. [1993](#page-87-16)) and has proved as beneficial in animal models of PD, including non-human primate models (see Rodrigues et al. [2014b;](#page-89-11) Sebastião and Ribeiro [2009](#page-89-21); Stayte and Vissel [2014\)](#page-89-12). Despite the initial conflicting results in clinical trials using GDNF based therapies (see Rodrigues et al. [2014b;](#page-89-11) Sebastião and Ribeiro [2009;](#page-89-21) Stayte and

Vissel [2014](#page-89-12)), the positive outcomes obtained in animal models of PD encouraged further evaluation of this possibility and gene- therapies aiming to enhance GDNF expression in target brain areas are currently entering Phase I trial (ClinicalTrials. gov NCT01621581) (see Stayte and Vissel [2014\)](#page-89-12).

Direct evidence for the impact of the  $A_{2A}R/NTF$  cross talk upon PD is still lacking. The commonalities between the different neurodegenerative disorders, together with the evidence already obtained in other neurodegenerative disease models, in particular HD allow to anticipate an impact of this cross-talk also in PD. As mentioned above, GDNF loss of function has been implicated in the etiology of PD (Rodrigues et al. [2014b;](#page-89-11) Stayte and Vissel [2014](#page-89-12)) and  $A_{2A}R$  activation is required for the facilitatory actions of GDNF on dopamine and glutamate release (Gomes et al. [2006,](#page-87-17) [2009\)](#page-87-1). Boosting the ability of NTF to reinforce synapses may be particularly important at early phases of neurodegenerative diseases if one takes into account the emerging evidence of an early synaptic dysfunction in neurodegenerative disease models. Relevant in this context is the finding that  $\alpha$ -synuclein hampers synaptic plasticity even before causing an overt neuronal dysfunction (Diógenes et al. [2012\)](#page-86-21). On the other hand, however, the negative interaction between  $A_{2A}R$  and  $D_2$ receptors in the striatum, together with the ability of  $A_{2A}R$  antagonists to decrease excitotoxicity phenomena, inspired the possibility that  $\overrightarrow{A}_{2A}R$  antagonists may prove beneficial in PD. The therapeutic benefit of  $A_{2A}R$  antagonists have already been evaluated in Phase II and Phase III clinical trials, though the outcome was not as positive as initially expected (see Lopes et al. [2011;](#page-88-22) Stayte and Vissel [2014](#page-89-12)).

The evidence pointing out the cross-talk between  $A_{2A}R$  and NTF highlights to the need of caution about therapies with  $A_{2A}R$  antagonists in PD. As previously pointed out (Sebastião and Ribeiro [2009\)](#page-89-21), one issue that requires further attention is the optimal time window for combined therapies with NTF and  $A_{2A}R$  ligands. It is likely that in the early stages of the disease, where neurons and synapses are struggling for life, NTF based therapies are helpful and therefore  $A_{2A}R$  agonists may be desirable whereas  $A_{2A}R$  antagonists should be avoided. One may at least anticipate the advantage of not blunting actions of endogenous NTF by using  $A_{2A}R$  antagonists at early disease states. In latter stages of the disease, dopaminergic replacement therapies are required and in this case,  $A_{2A}R$  antagonists are most probably desirable to facilitate  $D_2$  receptor signaling.

### **Conclusions**

Presently, there are two main views on neurodegenerative disorders in general and on PD in particular: (a) the first one is centered on a specific neuronal function or brain area, in the case of PD on the nigrostriatal neurons, the functioning of dopamine  $D_2$  receptors, and the way they are counteracted by striatal  $A_{2A}R$ , and (b) a second one residing on a sort of "globalization" of the brain, involving dysfunction of circuits across brain regions, that in PD encompass besides the striatum, the hippocampus and the cerebral cortex. Detailed understanding of the first led to the

identification of targets for novel therapeutic strategies (e.g.  $A_{2A}R$  antagonists). The second will succeed if more holist therapeutic strategies prove useful to fight PD, but this possibility is just emerging nowadays. Particularly promising is the modulation of neurodegeneration and neuroinflamation via microglia directed therapies, but further knowledge of the bidirectional relationship between the actors involved, including the role of the extracellular signaling molecules, their time window of action, and their cellular targets (neurons, microglia, astrocytes, oligodentrocytes), is yet necessary. Among the molecules involved in the communication between different neuronal cell types, the NTF and their "benefactors"—the  $A_{2A}R$  agonists, are promising as therapeutic targets especially at early disease states. Clarification of the way the circuits operate and of the function the different regulators at the molecular, cellular and circuitry level, will certainly proportionate an enormous impulse to treat more efficiently PD.

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# **Chapter 5 Role of Adenosine A2A Receptors in the Control of Neuroinflammation—Relevance for Parkinson's Disease**

**Catarina Gomes, Jimmy George, Jiang-Fan Chen and Rodrigo A. Cunha**

**Abstract** The antagonism of adenosine  $A_{2A}$  receptors  $(A_{2A}R)$  is currently a leading non-dopaminergic strategy to delay the onset of Parkinson's disease (PD), but the underlying mechanism of action is still unclear. One prominent feature of PD is the emergence of a neuroinflammation status supported by an increased density of activated microglia in afflicted brain regions, namely the *substantia nigra* and dorsolateral striatum since the onset of PD motor symptoms. This neuroinflammation might contribute for the etiology of PD since anti-inflammatory strategies can attenuate the behavioral and neurochemical changes in both PD patients and PD animal models. We now discuss the possibility that  $A_{2A}R$  may control PD features through the control of microgliosis and neuroinflammation since: (1) microglia are endowed with  $A_{2A}R$ ; (2)  $A_{2A}R$  are up-regulated in diseased conditions; (3)  $A_{2A}R$ can control different facets of microglia function, from proliferation, migration and inflammatory reactivity; (4)  $A_{2A}R$  antagonists effectively prevent microgliosis and prevent neuroinflammation, namely in animal models of PD.

**Keywords**  $A_{2A}$  receptor  $\cdot$  Adenosine  $\cdot$  Microglia  $\cdot$  Neuroinflammation

The antagonism of adenosine  $A_{2A}$  receptors  $(A_{2A}R)$  is currently a leading non-dopaminergic strategy to delay the onset of Parkinson's disease (PD), but the underlying mechanism of action is still unclear. One prominent feature of PD is the emergence of a neuroinflammation status supported by an increased density of activated microglia in afflicted brain regions, namely the *substantia nigra* and dorsolateral striatum since the onset of PD motor symptoms. This neuroinflammation might

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contribute for the etiology of PD since anti-inflammatory strategies can attenuate the behavioral and neurochemical changes in both PD patients and PD animal models. We now discuss the possibility that  $A_{2A}R$  may control PD features through the control of microgliosis and neuroinflammation since: (1) microglia are endowed with  $A_{2A}R$ ; (2)  $A_{2A}R$  are up-regulated in diseased conditions; (3)  $A_{2A}R$  can control different facets of microglia function, from proliferation, migration and inflammatory reactivity; (4)  $A_{2A}R$  antagonists effectively prevent microgliosis and prevent neuroinflammation, namely in animal models of PD (Fig. [5.1](#page-92-0)).

<span id="page-92-0"></span>

**Fig. 5.1** Triple role of adenosine  $A_{2A}$  receptors  $(A_{2A}R)$  in the control of the microglia-associated evolving neurodegeneration in Parkinson's disease (PD). As occurs in most neurodegenerative disorders, it is hypothesized that PD might begin with a dysfunction of dopaminergic synapses controlling cortico-striatal transmission in the dorso-lateral striatum ( $I$ ); notably  $A_{2A}R$  are known to participate in the aberrant plasticity in cortico-striatal synapses. Synaptic dysfunction is accompanied by an increased release of ATP, co-stored in synaptic vesicles, which acts as a danger signal, and can act as a chemotaxic signal for microglia and can also trigger a phenotypic modification of microglia ( *2*); additionally, ATP is extracellularly converted into adenosine selectively activating  $A_{2A}R$ , which are known to control microglia dynamics, their proliferation and to assist in the mounting of a neuroinflammatory reaction. The sustained release of pro-inflammatory cytokines can cause deleterious effects both in synapses and in the viability of neurons, causing synaptic loss and neurodegeneration (3); as a third level of action of  $A_{2A}R$ , they are known to control the delirious impact of cytokines (namely interleukin-1β, IL-1β) on synaptic plasticity and on neurodegeneration.

### **Microglia and Neuroinflammation**

Microglial cells are key players of innate immunity in the central nervous system (CNS). They are derived from myeloid cells that migrate early during development into the brain parenchyma (reviewed in Ladeby et al. [2005](#page-104-0); Nayak et al. [2014](#page-106-0); Saijo and Glass [2011\)](#page-107-0). Thus, microglia are equivalent to a macrophage-like population resident in the CNS expressing chemokine and cytokine receptors that interact with the peripheral immune cells (reviewed in Amor and Woodroofe [2014](#page-100-0); Pocock and Kettenmann [2007;](#page-106-1) Ransohoff and Brown [2012](#page-107-1)). According to their ontogenic origin, they are endowed with several features characteristic of immune cells able to rapidly expand their population, to chemotaxically migrate to sites of injury and to trigger and sustain inflammatory responses (reviewed in Kettenmann et al. [2011;](#page-104-1) Lynch [2009](#page-105-0); Parkhurst and Gan [2010\)](#page-106-2).

Traditionally, it was assumed that microglia remained quiescent until injury or infection activated them in the brain (Perry and Gordon [1988;](#page-106-3) Streit et al. [1988\)](#page-108-0). However, it is now recognized that microglia play a key role in supporting the homeostatic functioning of brain function under physiological conditions (Davalos et al. [2005;](#page-102-0) Nimmerjahn et al. [2005](#page-106-4)). Thus, what was initially assumed to be a resting phenotype of microglia in the absence of noxious stimuli in fact corresponds to an active surveying state (reviewed in Hanisch and Kettenmann [2007;](#page-103-0) Raivich [2005](#page-107-2); Tremblay et al. [2011](#page-108-1); Wake et al. [2013](#page-108-2)), with a regulatory and supportive role (reviewed in Cherry et al. [2014](#page-101-0); Eyo and Wu [2013;](#page-102-1) Jones and Lynch [2014](#page-104-2)). The sensor ability of microglia is operated by the constant extension and retraction of cellular processes, requiring moment-to-moment rearrangements of its cytoskeleton (Dailey et al. [2013](#page-102-2); Ilschner and Brandt [1996;](#page-103-1) Janßen et al. [2014\)](#page-104-3). Without displacing the cell body, microglia are able to survey different parts of the nervous system and different subcellular structures of neurons, in particular the synaptic compartment (reviewed in Biber et al. [2007](#page-101-1); Tremblay et al. [2011](#page-108-1); Wake et al. [2013](#page-108-2)). In fact, a wealth of recent studies has established a tight association between microglia and synapses (e.g. Kettenmann et al. [2013](#page-104-4); Li et al. [2012;](#page-104-5) Myamoto et al. [2013](#page-105-1); Tremblay et al. [2010](#page-108-3); Wake et al. [2009\)](#page-108-4) to such as extent that the concept of a quad-partite synapse has been forwarded (Schafer et al. [2013\)](#page-107-3). Microglia are equipped with receptors for neurotransmitters (Murugan et al. [2013;](#page-105-2) Pocock and Kettenmann [2007](#page-106-1)), and excitatory transmission (mediated by glutamate) increases whereas inhibitory (mediated by GABA) transmission decreases microglial processes dynamic (Fontainhas et al. [2011](#page-102-3); Grinberg et al. [2011;](#page-103-2) Nimmerjahn et al. [2005](#page-106-4); Wong et al. [2011](#page-108-5)). Conversely, microglia can release a variety of factor that affect synaptic transmission (Antonucci et al. [2012](#page-100-1)), ranging from chemokines (Piccinin et al. [2010](#page-106-5); Schafer et al. [2012](#page-107-4)), cytokines (Griffin et al. [2006](#page-103-3); Rebola et al. [2011](#page-107-5)), purines (Inoue [2006](#page-104-6); Pascual et al. [2012](#page-106-6)), glutamate and D-serine (Scianni et al. [2013\)](#page-107-6), nitric oxide (NO) (Zhang et al. [2014](#page-109-0)) or brainderived neurotrophic factor (BDNF) (Coull et al. [2005](#page-101-2); Parkhurst et al. [2013\)](#page-106-7). The importance of this constitutive bi-directional communication between synapses (synaptic activity) and microglia is best heralded by the synaptic dysfunction observed upon manipulation of genetic microglia function (Costello et al. [2011;](#page-101-3)

Hoshiko et al. [2012;](#page-103-4) Roumier et al. [2004](#page-107-7)). Thus, microglia are critical for the dynamic synaptic carving that is essential to entrain the adaptive function of the brain (Cristovão et al. [2014](#page-101-4); Ji et al. [2013;](#page-104-7) Lim et al. [2013](#page-105-3); Paolicelli et al. [2011;](#page-106-8) Ueno et al. [2013](#page-108-6); Zhan et al. [2014\)](#page-109-1).

This illustrates that microglia dysfunction can actually act as a trigger of brain disease, because of its critical role on physiological brain function. This should obviously not overshadow the importance of microglia in the adaptive mechanisms associated with noxious brain stimulation. In fact, noxious signals, such as bacteria cell wall fragments, misfolded proteins or intracellular molecules (glutamate, ATP), can trigger a modification of microglia phenotype (Béraud et al. [2013](#page-101-5); Doens and Fernández [2014;](#page-102-4) Färber and Kettenmann [2006](#page-102-5); Liu and Bing [2011;](#page-105-4) Monif et al. [2009;](#page-105-5) Salminen et al. [2008;](#page-107-8) Schapansky et al. [2014;](#page-107-9) Trang et al. [2012](#page-108-7); Zielasek and Hartung [1996\)](#page-109-2). This involves a time-coordinated series of processes (Gomez-Nicola and Perry [2015;](#page-103-5) Santiago et al. [2014](#page-107-10)): (1) the proliferation of the microglia, which is mostly dependent on the amplification of the brain parenchyma resident population (Ajami et al. [2011;](#page-100-2) Ladeby et al. [2005](#page-104-0); Li et al. [2013](#page-104-8); Saijo and Glass [2011\)](#page-107-0); (2) the chemotaxic migration of microglia to the sites of injury, which can be triggered by chemokines, by proteins such as α-synuclein or β-amyloid as well as by purines namely ATP/ADP (Davalos et al. [2005;](#page-102-0) Färber and Kettenmann [2006](#page-102-5); Ohsawa et al. [2007](#page-106-9)); (3) the re-balance of the pattern of expression and release by microglia of cytokines and other neuroactive substances, corresponding to a re-balance between pro-and anti-inflammatory phenotypes, which is still poorly understood (Aguzzi et al. [2013](#page-100-3)); (4) the modification of the phagocytic potential of microglia (Fu et al. [2014](#page-102-6); Inoue et al. [2009;](#page-104-9) Sierra et al. [2013](#page-108-8)), which can promote the elimination of toxic fragments (Neumann et al. [2009\)](#page-106-10) or also promote the elimination of synapses or neurons (Neher et al. [2012](#page-106-11); Perry and O'Connor [2010](#page-106-12); Rao et al. [2012](#page-107-11)); (5) the retraction of microglia processes contacting synapses (Orr et al. [2009](#page-106-13)), thus potentially decreasing the homeostatic support of synapses described above; (6) the apoptosis of microglia (Streit and Xue [2009](#page-108-9)).

Thus, microglia exist in a variety of flavors, namely surveillant/supportive microglia, phagocytic microglia, pro-inflammatory microglia, anti-inflammatory microglia, proliferating microglia or pro-apoptotic microglia; the classification and analysis of each type of microglia is currently complicated by our inability to ascribe a characteristic molecular profile to each putative type of microglia (Gomez-Nicola and Perry [2015](#page-103-5)). Furthermore, it is most likely that these different types of microglia co-exist as a continuum during noxious brain conditions, with a dynamic ratio that will depend on the timing of detection, reaction or extinction of the adaptive response of microglia to noxious conditions. This probably contributes for the discussion on the dual ability of microglia to impact on the function and viability of brain function: in fact, eliminating or interfering with microglia function can either aggravate or attenuate brain damage, according to the impact of the noxious stimulus on microglia dynamics and to the timing of intervention of microglia function (Gomez-Nicola and Perry [2015;](#page-103-5) Santiago et al. [2014](#page-107-10)).

### **Neuroinflammation and Microglia in Parkinson's Disease**

The possible involvement of neuroinflammation in the pathogenesis of Parkinson's disease (PD) was first prompted by the observation that microglia with an 'activated' morphology were recurrently observed in the afflicted areas of the brain of PD patients, namely in the *substantia nigra pars compacta* (SN) (Banati et al. [1998;](#page-100-4) Gerhard et al. [2006;](#page-103-6) Imamura et al. [2003](#page-104-10); McGeer et al. [1988](#page-105-6); Ouchi et al. [2005;](#page-106-14) Sawada et al. [2006](#page-107-12)), as well as in animal models of PD, namely upon acute or chronic administration of 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) or 6-hydroxydopamine (6-OHDA) (Akiyama and McGeer [1989](#page-100-5); Barcia et al. [2013;](#page-100-6) Depino et al. [2003](#page-102-7); He et al. [2001;](#page-103-7) Kanaan et al. [2008;](#page-104-11) Maia et al. [2012;](#page-105-7) McGeer et al. [2003](#page-105-8); Walsh et al. [2011](#page-108-10)). Likewise, increased levels of inflammatory markers, such as nitric oxide synthase (iNOS), cyclooxygenase 2 (COX2) or tumor necrosis factor (TNF- $\alpha$ ) receptor 1, are found in the SN of brains of PD patients (Boka et al. [1994;](#page-101-6) Hunot et al. [1996;](#page-103-8) Knott et al. [2000;](#page-104-12) Mogi et al. [2000\)](#page-105-9) and, accordingly, higher levels of pro-inflammatory cytokines such as interleukin-1β, interleukin-6 or TNF- $\alpha$  (tumor necrosis factor) are found in the cerebrospinal fluid of PD patients (Boka et al. [1994](#page-101-6); Dobbs et al. [1999](#page-102-8); Mogi et al. [1994a](#page-105-10), [b](#page-105-11)). Furthermore, different polymorphisms related to inflammation are associated with the risk of developing PD (reviewed in Hirsch and Hunot [2009](#page-103-9)), as confirmed in genome-wide association studies (Hamza et al. [2010](#page-103-10); International Parkinson Disease Genomics Consortium [2011](#page-104-13)), and inflammatory conditions such as influenza infection and neuroinflammatory conditions such as encephalitis (Ogata et al. [2000;](#page-106-15) Rail et al. [1981](#page-107-13)) can trigger PD-like symptoms. In fact, the activation of microglia in the SN with a non-toxic dose of either LPS or interleukin-1β precipitated the loss of dopaminergic neurons and the emergence of motor symptoms in animal models of PD (Ferrari et al. [2006;](#page-102-9) Godoy et al. [2008;](#page-103-11) Koprich et al. [2008\)](#page-104-14). The importance of microglia activation-induced neuroinflammation in the emergence of PD is further heralded by the development of animal models of PD based on the intra-nigral injection of LPS or of formylmethionyl-leucyl-phenylalanine, a bacterial-derived chemoattractant (Castano et al. [1998](#page-101-7); Gao et al. [2008\)](#page-102-10). This is actually mimicked by the peri-natal or systemic administration of LPS (Ling et al. [2002,](#page-105-12) [2004](#page-105-13); Qin et al. [2007\)](#page-106-16), possibly because the SN has the highest density of microglia in the brain (McGeer et al. [1988](#page-105-6)) with greater reactivity (Kim et al. [2000](#page-104-15)). In support of a role of microglia in the genesis of the neuroinflammation that bolsters the risk of PD, it was observed in animal studies that the deletion of key inflammatory mechanisms in microglia cells dampens the PD-associated dysfunction (Hernandes et al. [2013;](#page-103-12) Pabon et al. [2011\)](#page-106-17), whereas the elimination of anti-inflammatory mechanisms exacerbates PD-associated dysfunction (Zhang et al. [2011\)](#page-109-3). In further accordance with this proposed deleterious impact of microglia-associated neuroinflammation in the evolution of PD, most animal studies showed that different anti-inflammatory drugs (dexamethasone, indomethacin, indomethacin, celecoxib) or inhibitors of microglia activation (minocycline) attenuated different features characteristic of PD (Castano et al. [2002;](#page-101-8) Du et al. [2001;](#page-102-11) He et al. [2001;](#page-103-7) Kurkowska-Jastrzebska et al. [2002,](#page-104-16)

[2004;](#page-104-17) Quintero et al. [2006;](#page-106-18) Sanchez-Pernaute et al. [2004\)](#page-107-14). Accordingly, several (but not all) epidemiological studies have reported an association between the intake of anti-inflammatory drugs and the risk to develop PD (reviewed in Gagne and Power [2010;](#page-102-12) Hirsch and Hunot [2009\)](#page-103-9). In particular, the consumption of ibuprofen displays an inverse dose-response relationship with the risk to develop PD with an odds ratio of 0.62, even after adjusting the data for different possible confounding variables (Gao et al. [2011](#page-102-13)). Overall, this converging body of animal and human studies has supported the proposal that microglia 'activation' and a neuroinflammation state are associated with the emergence of PD (reviewed in Herrera et al. [2005](#page-103-13); Hirsch and Hunot [2009;](#page-103-9) Kannarkat et al. [2013](#page-104-18); Long-Smith et al. [2009](#page-105-14); Moehle and West [2014;](#page-105-15) Qian et al. [2010](#page-106-19); Sanchez-Guajardo et al. [2013;](#page-107-15) Tansey and Goldberg [2010;](#page-108-11) Whitton [2007](#page-108-12); Wilms et al. [2007\)](#page-108-13).

Although the evidence linking neuroinflammation with the emergence of PD is compelling and constitutes a promising opportunity for the development of novel neuroprotective strategies, there are still some open questions. Indeed, although there seems to be a closer association between the onset of alterations of microglia phenotypes especially with early stages of PD (e.g. Ouchi et al. [2005\)](#page-106-14), it is still unclear if neuroinflammation is associated with the onset of PD or instead if it results from dopaminergic damage and is mostly associated with the evolution of PD. Likewise, it is still unclear what might trigger microglia-induced neuroinflammation in PD since several candidates can fulfill this role, namely  $\alpha$ -synuclein aggregates (Zhang et al. [2005](#page-109-4)), ATP (Davalos et al. [2005\)](#page-102-0), MMP-3 (Kim et al. [2005,](#page-104-19) [2007](#page-104-20)) or neuromelanin, which is particularly abundant in the SN (Wilms et al. [2003;](#page-108-14) Zecca et al. [2008\)](#page-109-5). All these substances can be produced upon damage of dopaminergic neurons, which lead to the proposal that microglia-induce neuroinflammation might be an amplification loop to generate PD, whereby an initial dysfunction of dopaminergic neurons would release some of the above mentioned mediators that would trigger microglia-induced release of pro-inflammatory factors to further amplify dopaminergic neuronal loss.

## **Adenosine A2A Receptor Blockade Prevents Parkinson's Disease**

The combined efforts of several groups over the last years have guided adenosine  $A_{2A}$  receptor  $(A_{2A}R)$  antagonists as leading non-dopaminergic therapeutic target in PD (Chen et al. [2013;](#page-101-9) Ferré et al. [2007](#page-102-14); Jenner [2014;](#page-104-21) Morelli et al [2009;](#page-105-16) Schwarzs-child et al. [2006\)](#page-107-16).  $A_{2A}R$  antagonists have demonstrated motor benefits and may have neuroprotective benefits as well. Clinical Phase II–III trials have been completed for the  $A_{2A}R$  antagonists KW-6002 (istradefylline, Kyowa, Japan) and SCH420814 (Preladenant, Merck, USA) (Cutler et al. [2012;](#page-102-15) Hauser [2011](#page-103-14)), confirming a motor benefit in advanced PD patients. Over the last 5 years, four trials with KW-6002 reported an average reduction in "OFF" time of 1.7 h/day in nearly 1700 patients with advanced PD who were already on optimized L-DOPA regimens. SCH420814 also

produced motor benefits, decreasing both OFF time and scores on the unified PD rating scale (UPDRS) in advanced PD patients in a clinical Phase III trial (Hauser [2011](#page-103-14)). Importantly, both drugs had robust safety profiles in clinical trials. The most exciting prospective role for  $A_{2A}R$  antagonists as a novel therapy for PD is their potential to attenuate dopaminergic neurodegeneration, as suggested by convergent epidemiological and experimental evidence (reviewed in Prediger [2010\)](#page-106-20): thus, in accordance with the recognition that  $A_{2A}R$  are the main targets operated by chronic caffeine consumption to generate its psychoactive effects (Cunha and Agostinho [2010;](#page-101-10) Ferré [2008](#page-102-16)), three large, long-term (>30 years follow-up) prospective studies firmly establish a relationship between increased intake of caffeine and decreased risk of developing PD (up to five times lower) in men (compiled in Costa et al. [2010;](#page-101-11) Palacios et al. [2012;](#page-106-21) Schwarzschild et al. [2002\)](#page-107-17). However, the mechanism underlying this beneficial neuroprotective effects resulting from the antagonism of  $A_{2A}R$  in PD still remains to be unraveled.

# **Adenosine A2A Receptor Control Microglia Reactivity and Neuro-Inflammation**

Linked to the role of adenosine as a paracrine signal of distress (Cunha [2001](#page-101-12); New-by [1984](#page-106-22)),  $A_{2A}R$  are key controllers of immune-inflammatory reactions in the pe-riphery (Sitkovsky et al. [2004](#page-108-15)). Indeed,  $A_{2A}R$  are located in all cells of the immuneinflammatory signal and they operate a STOP signal of inflammation (reviewed in Haskó et al. [2008](#page-103-15); Sitkovsky et al. [2004](#page-108-15)). However, the role of  $A_{2A}R$  in the control of neuroinflammation is less firmly established (Chen and Pedata [2008;](#page-101-13) Cunha et al. [2007\)](#page-101-14).

The demonstration that  $A_{2A}R$  are located in microglia cells was first obtained in cultured microglia cells (Saura et al. [2005](#page-107-18)) and only later in microglia in the brain parenchyma (Yu et al. [2008\)](#page-108-16).  $A_{2A}R$  are not only present in microglia, but they also control microglia function. The stimulation of  $A_{2A}R$  triggered the expression and activity of pro-inflammatory mechanisms such as the expression and activity of  $K^+$ channels Kv1.3 and ROMK1 (Küst et al. [1999](#page-104-22)), which control calcium influx and inflammatory cytokine production in activated microglia (Dolga et al. [2012\)](#page-102-17), as well as the expression and activity of iNOS (Saura et al. [2005](#page-107-18)) and cyclooxygenase-2 (Fiebich et al. [1996](#page-102-18)). This translated into a functional impact in the control of the production of pro-inflammatory cytokines since the intracerebroventricular injection of a selective  $A_{2A}R$  antagonist (SCH58261) prevented the lipopolysaccharide (LPS)-induced microglial activation (Yu et al. [2008\)](#page-108-16) and increase of inflammatory mediators like interleukin-1β that causes biochemical changes (p38 and c-jun N-terminal kinase phosphorylation and caspase 3 activation) contributing to neuronal dysfunction typified by decreased long-term potentiation, a form of synaptic plasticity (Rebola et al. [2011\)](#page-107-5). Caffeine also attenuated LPS-induced neuroinflammation (Brothers et al. [2010\)](#page-101-15) and striatal microgliosis induced by the administration of another toxin, 3,4-methylenedioxymethamphetamine (MDMA), a widely used

psychostimulant, was abolished in  $A_{2A}R$  knockout mice (Ruiz-Medina et al. [2011\)](#page-107-19), as well as by the chronic administration of caffeine (10 mg/kg) (Ruiz-Medina et al. [2013;](#page-107-20) but see Khairnar et al. [2010](#page-104-23)). The beneficial effect resulting from  $A_{2A}R$ -induced increase of microglia-associated neuroinflammation seems to be bolstered by the parallel ability of  $A_{2A}R$  blockade to attenuate the interleukin-1 $\beta$ -induced exacerbation of neuronal toxicity (Simões et al. [2012;](#page-108-17) Stone and Behan [2007](#page-108-18)).

The control by  $A_{2A}R$  of microglia function is not limited to the control of the production of pro-inflammatory factors. Thus,  $A_2R$  are required to stimulate microglial proliferation (Gebicke-Haerter et al. [1996](#page-102-19)) and removing endogenous extracellular adenosine or blocking  $A_{2A}R$  prevented the LPS-mediated increase of both BDNF secretion and proliferation, as well as exogenous BDNF-induced proliferation (Gomes et al. [2013\)](#page-103-16). The dynamics of microglia motility is also controlled by  $A_{2A}R$ , which mediate process retraction in LPS-activated microglia as observed in 3D cul-tures of primary microglia (Gyoneva et al. [2014a](#page-103-17)), and  $A_{2A}R$  blockade restores the ability of microglia to move towards sites of injury in animal models of neurodegenerative disorders (Gyoneva et al. [2014b](#page-103-18)).

In apparent contrast to this series of observations that indicate the potential beneficial effects of blocking  $A_{2A}R$  to control microglia reactivity, there are several reports supporting that the activation of  $A_{2A}R$  might also afford benefits to control brain degeneration associated with neuroinflammation conditions. Thus, low doses of the  $A_{2A}R$  agonist CGS 21680 are protective in a rat model of transient cere-bral ischemia (Melani et al. [2014\)](#page-105-17), whereas the genetic deletion of  $A_{2A}R$  bolstered the mRNA expression and protein levels of pro-inflammatory cytokines (TNF-α, interleukin-1β and interleukin-6) in the corpus callosum upon chronic cerebral hypoperfusion in mice (Duan et al. [2009](#page-102-20)). Similarly, in animal models of experimental autoimmune encephalomyelitis, the genetic deletion of  $A_{2A}R$  also exacerbated demyelination and axonal damage in brainstem, while increasing the levels of pro-inflammatory cytokines and decreasing anti-inflammatory cytokines (Yao et al. [2012\)](#page-108-19); conversely, the increased activation of  $A_{2A}R$  with cannabidiol lead to a protective effect of damage and inflammation also in animal models of experimen-tal autoimmune encephalomyelitis (Mecha et al. [2013](#page-105-18)). Additionally,  $A_{2A}R$  agonists also prevent microglia reactivity associated with neuropathic allodynia (Bura et al. [2008;](#page-101-16) Loram et al. [2009](#page-105-19)).

This situation seems paradoxical, but is actually understandable in view of the existence of different populations of  $A_{2A}R$  in the brain, often with opposite func-tions (Shen et al. [2008,](#page-107-21) [2013](#page-107-22)). Indeed,  $A_{2A}R$  in different brain regions have a different overall effect on animal behavior (Wei et al. [2014](#page-108-20)), in accordance with the observation that the selective  $A_{2A}R$  antagonist SCH58261 differently affected the expression of cyclooxygenase-2 (COX-2) in different regions in a rat model of striatal excitotoxicity induced by the intra-cerebral injection of quinolinic acid in cortex and striatum: the  $A_{2A}R$  antagonist enhanced COX-2 expression in cortical neurons and prevented it in striatal microglia-like cells (Minghetti et al. [2007\)](#page-105-20). In the case of microglia cells, it has been shown that  $A_{2A}R$  actually have a different impact on microglia reactivity according to the environment of microglia cells: thus,  $A_{2A}R$  bolster neuroinflammation when extracellular glutamate levels are high and dampen

neuroinflammation when extracellular glutamate levels are low (Dai et al. [2010\)](#page-102-21). Since neurodegenerative conditions are associated with an increased glutamatergic excitotoxicity (Lipton and Rosenberg [1994](#page-105-21)), it is expected that it may be the antagonism of  $A_{2A}R$  that may be of greater neuroprotective potential in PD.

# Possible Role of A<sub>2A</sub>R-Mediated Control of Neuroinflammation in A<sub>2A</sub>R-Mediated Neuroprotection **in Parkinson's Disease**

This ability of  $A_{2A}R$  antagonists to control neuroinflammation in neurodegenerative disorders (Chen and Pedata [2008;](#page-101-13) Cunha [2005;](#page-101-17) Santiago et al. [2014\)](#page-107-10) has also been documented in animal models of Parkinson's disease. Thus, the  $A_{2A}R$  antagonist KW-6002 inhibit the nigral microglia activation and prevent the loss of dopaminergic striatal terminals and nigral cell bodies in different MPTP mouse PD models (Pierri et al. [2005\)](#page-106-23). Likewise, we also reported that both the genetic inactivation and the pharmacological blockade of  $A_{2A}R$  prevent microgliosis and motor dysfunction in an MPTP mouse model of PD (Yu et al. [2008](#page-108-16)). Furthermore, it was also shown that  $A_{2A}R$  are present in native microglia-like profiles and undergo a robust up-regulation after the MPTP challenge (Yu et al. [2008\)](#page-108-16). The possibility that the effects of  $A_{2A}R$  might result from a direct effect of microglial  $A_{2A}R$  is supported by another study showing that caffeine (10–20 mg/kg i.p.) and KW6002 (1.5–3 mg/kg i.p.) given once daily for 6 days prevent the changes of different neurochemical features characteristic of PD such as increased extracellular levels of DA, adenosine, glutamate, and hydroxyl radical production, caused by the direct activation of microglial cells by an intra-striatal injection of LPS (Gołembiowska et al. [2013\)](#page-103-19). This was further supported by another study reporting that the  $A_{2A}R$  selective antagonist preladenant restore the ability of activated microglia to respond to tissue damage in slices from mice treated for 5 days with MPTP (Gyoneva et al. [2014b](#page-103-18)).

This hypothesis seems inconsistent with the observation that the selective inactivation of neuronal forebrain  $A_{2A}R$ , using tissue selective knockout mice, is sufficient to prevent gliosis and the loss of dopaminergic neurons in a MPTP mouse model of PD (Carta et al. [2009](#page-101-18)). In view of the previously discussed tight interaction between synapses and microglia (reviewed in Biber et al. [2007;](#page-101-1) Schafer et al. [2013;](#page-107-3) Tremblay et al. [2011](#page-108-1); Wake et al. [2013](#page-108-2)), this observation prompts the hypothesis that the initial trigger of microgliosis might actually be the initial synaptic dopaminergic neurodegeneration that occurs since the pre-motor phase of PD. In fact, the motor symptoms and the overt degeneration of nigral dopaminergic neurons that are characteristic of PD are preceded by an initial affection of synaptic contacts in the striatum (Day et al. [2006](#page-102-22)), leading to the loss of striatal dopaminergic nerve terminals (synaptotoxicity), which then evolves to the overt loss of dopaminergic neurons (neurotoxicity) (Berendse et al. [2001;](#page-101-19) Bézard et al. [2001;](#page-101-20) Forno et al. [1994\)](#page-102-23). Accordingly, animal models of PD, such as the exposure to

6-hydroxydopamine (6-OHDA) or to mitochondrial toxins (MPTP or rotenone), are based on the destruction of dopaminergic nerve terminals which then evolve to an overt dopaminergic cell loss in the nigra and the emergence of motor symptoms (Simola et al. [2007](#page-108-21); Smeyne and Jackson-Lewis [2005](#page-108-22)). This evolution from striatal synaptotoxicity to nigra dopaminergic cell loss is accompanied by an abnormal function of microglia cells, typified by a microgliosis that is observed both in the striatum and in the nigra in PD (Halliday and Stevens [2011;](#page-103-20) Teismann and Schulz [2004\)](#page-108-23) and occurs at the onset of motor symptoms in PD patients (Ouchi et al. [2009\)](#page-106-24). Thus, microglia 'activation' would fulfill the role of an amplification system converting the initial synaptotoxicity into an overt damage of dopaminergic neurons that would trigger the emergence of the PD motor symptoms. This hypothetic scenario is further supported by the robust evidence suggesting that synaptic  $A_{2A}R$  are prominently up-regulated by noxious stimuli (reviewed in Cunha and Agostinho [2010;](#page-101-10) Gomes et al. [2011\)](#page-103-21) and seem to play a key role in the control of the neurodegeneration associated with different neurodegenerative conditions (e.g. Coleman et al. [2004;](#page-101-21) Dadon-Nachum et al. [2011](#page-102-24); Gonçalves et al. [2013;](#page-103-22) Milnerwood and Raymond [2010;](#page-105-22) Selkoe [2002](#page-107-23)). Thus,  $A_{2A}R$  might have a triple role to control the onset of PD: (1) synaptic  $A_{2A}R$  might control the initial synaptic dysfunction that triggers microglia reactivity; (2) microglia  $A_{2A}R$  might control different features of microglia reactivity ranging from proliferation to migration to the transformation into a pro-apoptotic phenotype; (3) neuronal  $A_{2A}R$  might further control the impact of pro-inflammatory mediators on neuronal viability.

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# **Chapter 6** Purines in Parkinson's: Adenosine A<sub>2A</sub> **Receptors and Urate as Targets for Neuroprotection**

#### **Rachit Bakshi, Robert Logan and Michael A. Schwarzschild**

**Abstract** Purines are essential constituents of all living cells. The nucleoside adenosine is not only a precursor of ATP and cyclic AMP but is also released by a wide variety of cells under various physiological and pathological conditions. In mammals, adenosine acts on four subtypes of guanine nucleotide binding protein (G protein)-coupled receptor (GPCR)— $A_1$ ,  $A_{2A}$ ,  $A_{2B}$  and  $A_3$ . Among these the adenosine  $A_{2A}$  receptor has emerged as a particularly attractive target of therapeutics development for Parkinson's disease (PD), in part because it is highly expressed in brain regions innervated by the dopaminergic neurons that degenerate in PD. Urate (also known as uric acid—2,6,8-trioxypurine) is the most abundant antioxidant as well as the end product of purine metabolism in humans. Emerging clinical, epidemiological, and laboratory evidence has identified urate as a potential neuroprotectant for the treatment of PD. The primary intent of this review is to explore the neuroprotective effects of adenosine receptor antagonists and urate and their therapeutic potential in PD with particular attention to epidemiological and preclinical findings linking these purines to PD and other neurodegenerative diseases. This review also summarizes current clinical development of purines as candidate neuroprotectants.

Keywords Adenosine A<sub>2A</sub> receptor · Urate · Caffeine · Neuroprotection · Parkinson's disease **·** Purines **·** Clinical trials **·** Risk factor **·** Neurodegenerative disease

Purines are essential constituents of all living cells. In addition to their vital roles in storage and transmission of genetic information (DNA, RNA) and energy reserves (ATP), purines also serve as important molecules for both intracellular and extracellular signaling. The nucleoside purine adenosine is not only a precursor of ATP and

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cyclic AMP but is also released by a wide variety of cells under various physiological and pathological conditions. In mammals, adenosine acts on four subtypes of guanine nucleotide binding protein (G protein)-coupled receptor (GPCR)— $A_1$ ,  $A_{2A}$ ,  $A_{2B}$  and  $A_3$ . Among these the adenosine  $A_{2A}$  receptor has emerged as a particularly attractive target of therapeutics development for Parkinson's disease (PD), in part because it is highly expressed in brain regions innervated by the dopaminergic neurons that degenerate in PD. Antagonists of the  $A_{2A}$  receptor, including purines like caffeine, consistently confer protection in animal models of PD. The end product of purine metabolism in humans is urate, (also known as uric acid– 2,6,8-trioxypurine), the most abundant antioxidant circulating in the plasma. The primary intent of this chapter is to explore the neuroprotective role of urate and adenosine receptor antagonists and their therapeutic potential in PD.

#### **Purine Metabolism: Evolutionary Significance**

The purine metabolism pathway is one of the most conserved pathways found among all living things. Loss-of-function gene mutations have played important roles in the adaptive evolution of purine metabolism among vertebrates, leading to functional benefits and diversification between species (Keebaugh and Thomas [2010](#page-131-0)). Urate is the end product of purine metabolism in human and higher primates in contrast to all other mammals in which urate is readily converted to allantoin by the enzyme urate oxidase  $(UOx)$  (Fig. [6.1\)](#page-112-0). This peculiarity in higher primates is a consequence of multiple independent mutations in the urate oxidase gene  $(UOx)$ , which occurred late in primate evolution, approximately 10–15 million years ago, leading to much higher urate concentrations near the limits of its solubility in humans and apes (Christen et al. [1970;](#page-128-0) Oda et al. [2002](#page-132-0); Wu et al. [1992\)](#page-135-0). In addition to reduced catabolism via the loss of UOx, enhanced renal reabsorption of urate via the urate transporter evolution also contributes to higher levels of urate in humans (Hediger et al. [2005](#page-130-0)).

Accordingly, it has been hypothesized that higher circulating urate in the ancestors of man and apes had evolutionary advantages. The hypothesis that urate possesses antioxidant properties comparable to those of ascorbate (Proctor [1970\)](#page-133-0) was successfully confirmed a decade later (Ames et al. [1981](#page-127-0)). Urate also accounts for most of the antioxidant capacity in human plasma (Benzie and Strain [1996;](#page-127-1) Yeum et al. [2004\)](#page-135-1). Several other speculative theories have been advanced to explain the putative benefit of urate elevation. In 1955 physicist Egon Orowan published a theory on "The Origin of Man" (Orowan [1955\)](#page-133-1) in which he posited that hominoids (apes and humans) evolved to have high levels of urate because of its critical role as "catalyzer of mental development". Another, more recent theory suggests that urate may also have had a beneficial hypertensive effect in our primate predecessors at a time when a low-salt diet and resultant hypotension might have posed a survival threat (Watanabe et al. [2002](#page-135-2)). Despite all the theoretical evolutionary advantages of higher urate levels, their only established health effects on modern day humans are deleterious as increasing urate concentrations can contribute to gout and uric acid kidney stones (Kutzing and Firestein [2008\)](#page-131-1).

<span id="page-112-0"></span>

**Fig. 6.1** Potential disease modifying actions and astrocytic mechanisms of purines in Parkinson's disease. Urate (or its precursors like Inosine) and adenosine  $A_{\lambda A}$  antagonists (including caffeine, a.k.a. tri-methyl-xanthine) have emerged as key neuroprotective purines, which may prevent dopaminergic neuronal death in PD via astrocytes (as shown *above*) or other cell types

#### **Purines in Parkinson's Disease—Epidemiological Clues**

There is extensive epidemiological evidence linking greater consumption of caffeine (a non-specific  $A_{2A}$  antagonist) with a reduced risk of subsequently developing PD in multiple case-control and longitudinal studies. A full discussion on the epidemiological links between caffeine and PD has been covered separately in this volume (see Chap. 12) and readers are also referred to Morelli et al. [2010](#page-132-1) for further information.

Oxidative stress is one of the most prominent pathophysiological processes implicated in dopaminergic cell death in PD (Hauser and Hastings [2013;](#page-130-1) Jenner [2003\)](#page-130-2). Since urate is one of the most abundant antioxidant in humans, it may be an important determinant of disease susceptibility and progression in PD. The first direct evidence of altered urate in PD demonstrated reduced levels in post-mortem nigrostriatal tissue from PD patients (Church and Ward [1994\)](#page-128-1), and encouraged further studies in humans as well as in laboratory models of PD. The urate antioxidant hypothesis coupled with the initial pathological clue to urate in PD led to a series of epidemiological investigations in case control studies and prospectively followed cohorts. Several case control studies have consistently demonstrated reduced levels of serum urate levels in PD patients compared to controls (Andreadou et al. [2009;](#page-127-2) Annamaki et al. [2007;](#page-127-3) Bogdanov et al. [2008](#page-127-4); Jesus et al. [2012;](#page-131-2) Johansen et al. [2009;](#page-131-3) Larumbe Ilundain et al. [2001](#page-131-4)). Direct evidence that lower blood urate is a risk factor for PD has come from repeated findings of a reduced rate of developing PD among people with higher blood urate levels in prospectively followed initially healthy co-horts– across regions, races and nationalities (Chen et al. [2009a](#page-128-2); Davis et al. [1996;](#page-128-3)

De Lau et al. [2005](#page-128-4); Weisskopf et al. [2007](#page-135-3); Winquist et al. [2010](#page-135-4)). For example, in one of the largest studies conducted at the Harvard School of Public Health, Weisskopf et al. found that men in the top quartile of plasma urate had a 55% lower risk of PD than men in the bottom quartile. The decrease in risk was even stronger (with an 80% PD risk reduction in the highest vs lowest quartile;  $p < 0.01$  for trend) in those with blood collected at least 4 years before diagnosis, suggesting that the lower urate in those with PD precedes symptom onset and is thus unlikely to be a consequence of changes in medical treatment, diet or other behaviors early in the course of disease. Interestingly, prospective studies have generally found that lower urate is a risk factor in men, but less so (Chen et al. [2009a\)](#page-128-2) if at all (O'Reilly et al. [2010\)](#page-132-2) in women. Epidemiological findings that people with gout or consuming a urate-elevating diet have a reduced likelihood of PD in prospectively followed men strengthen the urate-PD link (Alonso et al. [2007;](#page-126-0) De vera et al. [2008;](#page-129-0) Gao et al. [2008\)](#page-129-1).

This identification of lower urate as a PD risk factor among healthy people led to investigations of whether lower urate in people already diagnosed with PD might be predict slower progression of the disease. This question was initially addressed in two long-term clinical trials known as DATATOP (Parkinson Study Group (PSG) [1989a](#page-133-2), [b](#page-133-3), [1993\)](#page-133-4) and PRECEPT (PSG [2007](#page-133-5)) together comprising over 1600 early cases of PD. The goal of PRECEPT (Parkinson Research Examination of CEP-1347 Trial) was to determine if the investigational drug CEP-1347 could slow the clinical progression of early PD (PSG [2007\)](#page-133-5). Serum urate was routinely monitored during the trial to assess the safety of CEP 1347, and values were available at enrollment for 804 of the 806 trial participants. It was observed that higher serum urate at baseline was indeed strongly associated with a slower clinical progression. The hazard ratio (HR) of reaching the primary study endpoint (the development of disability warranting dopaminergic therapy) declined with increasing serum urate ( *p* for trend <0.0001) (Schwarzschild et al. [2008](#page-134-0)). In the DATATOP (Deprenyl and Tocopherol Antioxidative Therapy of Parkinsonism) trial, conducted nearly two decades earlier, a similar strong association was observed (Ascherio et al. [2009\)](#page-127-5). The HR of progressing to the same primary end point decreased with increasing serum urate concentrations (adjusted HR for highest vs lowest quintile: 0.64; 95% CI: 0.44–0.94; *p* for trend =0.002) (Ascherio et al. [2009\)](#page-127-5). These robust findings linking higher serum urate levels with slower clinical decline were paralleled by findings with urate levels in cerebrospinal fluid, which may be particularly relevant to the microenvironment of degenerating neurons. For the 713 subjects with available CSF urate levels at baseline from the DATATOP trial the concentration of CSF urate was inversely related to the primary end point (HR for highest vs lowest quintile: 0.65; 95% CI: 0.44–0.96) and the rate of change in the UPDRS ( $p < 0.05$  for trend across quintiles, Ascherio et al. [2009](#page-127-5)). Moreover, a similarly clear inverse association was observed between baseline serum urate and loss of striatal 123β-CIT uptake, a marker for the presynaptic dopamine (DA) transporter ( $p$  for trend =0.002) (Schwarzschild et al. [2008\)](#page-134-0). More recently, serum urate in early PD patients has also been reported to predict a slower rate of worsening for motor and non-motor features (Moccia et al. [2014\)](#page-132-3). Together these data identify urate as a molecular biomarker of the rate of disease progression as well as the risk of PD.

## **Urate Transporters in Parkinson's Disease: Epidemiological Links**

Urate levels in blood depend on an intricate balance between dietary intake of urate precursors, cellular production of urate through the metabolism of purines, and its excretion/reabsorption in the kidneys and secretion in intestine (Lipkowitz [2012\)](#page-132-4). The majority of urate transport is handled by the kidneys. Several specific transporters of urate reside in kidney epithelial cells where they mediate its renal excretion or reabsorption (So and Thorens [2010\)](#page-134-1). GLUT9, a member of the facilitative glucose transporter family, is one of the key urate transporters and is also implicated in PD. The urate transport function of GLUT9 (encoded by the *SLC2A9* gene) was predicted through several genome-wide association studies, which found that the *SLC2A9* sequence variation is the strongest inherited determinant of serum urate levels in humans (Dehghan et al. [2008](#page-129-2); Kolz et al. [2009;](#page-131-5) Li et al. [2007;](#page-131-6) Vitart et al. [2008](#page-135-5)) and was subsequently confirmed experimentally (Caulfield et al. [2008](#page-128-5)). GLUT9 is one of the most ubiquitously expressed urate transporters in brain and hence is relevant to understanding the roles of urate in normal brain function, as well as in neurodegenerative diseases. Single nucleotide polymorphisms (SNPs) in *SLC2A9* which are linked to lower serum urate have also been linked to PD (Gonzalez-Aramburu et al. [2013\)](#page-130-3) and to an earlier age at onset of the disease (Facheris et al. [2011](#page-129-3)). Recently, *SLC2A9* SNPs were also found to predict faster clinical decline as well as lower serum urate in early PD (Simon et al. [2014](#page-134-2)), supporting a causal basis for the link between higher urate and favorable outcomes in PD. Although the neurobiology of urate transport is virtually unexplored, its genetic links to PD encourage investigation of GLUT9 and other urate transporters in PD models. Insights into the role of urate transport and metabolism may also help understand PD and other neuro-metabolic disorders involving urate such as Lesch-Nyhan syndrome (Jinnah et al. [2010\)](#page-131-7).

## **Neuroprotective Potential of Targeting Adenosine Receptors**

Adenosine receptors have been implicated in several key physiological processes, ranging from neuro-modulation to immune regulation, and from vascular function to metabolic control. Among the four adenosine receptors, the adenosine  $A_{2A}$  receptor has emerged as a particularly attractive non-dopaminergic target and its antagonists have generated considerable attention over their promise as therapeutic agents in PD and related disorders. Although the primary focus of their clinical development has been on short-term antiparkinsonian symptom relief,  $A_{2A}$  antagonists may also offer neuroprotection under a range of pathophysiological conditions from PD to Alzheimer's disease to stroke (see Table. [6.1\)](#page-115-0).

<span id="page-115-0"></span>**Table 6.1** Evidence for the roles of purines in neuroprotection. The epidemiological evidence covers longitudinal studies of healthy cohorts for the risk of disease (Risk), case-control studies for the odds that a factor is associated with disease cases (Odds), and longitudinal studies of diagnosed cohorts for the risk of clinical worsening (Progression). The citation listings in this table are not intended to be exhaustive. The abbreviations used here are as follows Parkinson's disease ( *PD*), Alzheimer disease ( *AD*), amyotrophic lateral sclerosis ( *ALS*), Huntington disease ( *HD*), multiple sclerosis ( *MS*), multiple system atrophy ( *MSA*) and spinal cord injury ( *SCI*), *urate oxidase* ( *UOx*)



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## *Neuroprotection and Adenosine A1 Receptors*

 $A<sub>1</sub>$  receptors are the most abundant type of adenosine receptor in the brain and exert a major inhibitory effect on neuronal excitability and synaptic transmission (Cunha [2005\)](#page-128-18). Agonists of A<sub>1</sub> receptors confer neuroprotection through reduced calcium influx, hyperpolarizing the cell membrane, inhibiting NMDA receptor activation and attenuating glutamate excitotoxicity (Rudolphi et al. [1992](#page-134-11)). In a variety of hypoxia/ ischemia models using cell culture, brain slices, and in vivo,  $A_1$  receptor agonists are neuroprotective (de Mendonça et al. [2000](#page-129-14)).  $A<sub>1</sub>$  receptor antagonists, however, do not show uniform effects. In models of hypoxia/ischemia  $A_1$  receptor antagonists often exacerbate damage (de Mendonça et al. [2000\)](#page-129-14). In some studies, A<sub>1</sub> receptor antagonists have no effect on ischemic conditions (Lekieffre et al. [1991](#page-131-15); Ostwald et al. [1997\)](#page-133-14) while others have shown protective effects (Lekieffre et al. [1991](#page-131-15); Seida et al. [1988](#page-134-12)). There is some evidence to suggest that protection by  $A_1$  receptor antagonists might be a function of time. For example, acute administration of caffeine (a non-selective  $A_1$  and  $A_{2A}$  antagonist) was not protective in forebrain ischemia, whereas caffeine taken for 3 weeks prior to ischemic insult was (Sutherland et al. [1991\)](#page-134-13). Similarly, use of the  $A_1$  selective antagonists DPCPX for 2 weeks prior to

global forebrain ischemia was neuroprotective (Von Lubitz et al. [1994](#page-135-14)). In models of PD,  $A_1$  receptor antagonists have not demonstrated meaningful neuroprotective properties, and thus contrast  $A_{2A}$  receptor antagonists, which produce robust protection. For example, Chen et al.  $(2001b)$  $(2001b)$  demonstrated that the  $A_1$  receptor antagonist CPX did not mitigate the loss of striatal dopamine in the 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) mouse model. Further studies are needed to clarify roles of the  $A_1$  receptor and their complexities in the neurodegeneration of PD, and whether they may be targeted therapeutically.

## *Neuroprotection and A2A Adenosine Receptors*

#### **Role of Caffeine and More Selective Antagonists of the Adenosine A2A Receptors in Neuroprotection**

Caffeine is the most widely used psychoactive drug in the world (Fredholm et al. [1999\)](#page-129-15). It also has been shown to have neuroprotective properties. For example, when caffeine was administered to rodents at doses that produced blood concentrations comparable to those achieved with typical human consumption, it protected them from neurotoxicity in multiple models of PD (Xu et al. [2005](#page-135-15)). Intraperitoneal administration of caffeine (10 mg/kg) prevents MPTP-induced loss of striatal dopamine and dopamine transporter binding sites in mice (Chen et al. 2001). In a complementary toxin model, caffeine was protective against unilateral 6-hydroxydopamine (6-OHDA) lesioning in rats (Joghataie et al. [2004;](#page-131-8) Machado-Filho et al. [2014\)](#page-132-11). Furthermore, caffeine was neuroprotective in mice that were chronically exposed to the neurotoxic pesticides paraquat and maneb. This chronic dual pesticide treatment induced the loss of tyrosine hydroxylase (TH)-positive nigral neurons, which was prevented by caffeine (Kachroo et al. [2010\)](#page-131-9). Caffeine has also been shown to reduce nigral death in mice treated with lipopolysaccharide (Brothers et al. [2010\)](#page-127-16). Additionally, caffeine prevents neurodegeneration-associated disruptions in the blood-brain-barrier in animal models of PD (Chen et al. [2010\)](#page-128-10). Furthermore, it was recently reported that delayed caffeine treatment prevents nigral dopamine neuron loss in a MPTP rat model of PD (Sonsalla et al. [2012\)](#page-134-7). Taken together, with the extensive epidemiological evidence (reviewed in Chap. 12) these studies support the notion that caffeine may offer neuroprotection against PD. Efforts aimed at elucidating the molecular mechanisms of neuroprotection by caffeine have centered on the CNS adenosinergic system because caffeine readily traverses the blood-brainbarrier, and non-specifically but relatively potently antagonizes adenosine receptors including the  $A_1$  and  $A_{2A}$  subtypes (Daly et al. [1983;](#page-128-19) McCall et al. [1982\)](#page-132-12).

Relatively selective  $A_{2A}$ receptor antagonists like SCH 58261, DMPX, KW-6002 and 1,3-dipropyl-7-methylxanthine have also been widely shown to be neuroprotective. KW-6002 like caffeine displayed potent neuroprotective properties in the commonly used MPTP and 6-OHDA toxin models of PD (Chen et al. [2001b](#page-128-7); Ikeda et al. [2002](#page-130-6)). Similarly,  $A_{2A}$  receptor antagonists have been shown to confer protection against mitochondrial complex inhibitor-induced nigral and striatal damage. For example, DMPX spared the loss of striatal dopamine, TH and GABA in mice and rats exposed to the mitochondrial complex II inhibitor malonate. Interestingly, the  $A_1$  receptor antagonist CPX worsened the damaging effects of malonate (Alfinito et al. 2003). The mitochondrial complex I inhibitor rotenone is also not as neurotoxic to the striatum when co-administered with the  $A_{2A}$  receptor antagonists ST1535 or ZM241385 (Belcastro et al. [2009](#page-127-17)). Additionally,  $A_{2A}$  receptor antagonists offer protection against such insults as ischemia, quinolinic acid, 3-nitropropionic acid, malonate, and MPTP across various brain areas including the cortex, hippocampus and striatum (Cunha [2005\)](#page-128-18). Several new  $A_{2A}$  antagonists, have been identified using drug screens (Pinna [2014](#page-133-15); Scatena et al. [2011\)](#page-134-14) with potent neuroprotective properties. Collectively, these data strongly suggest that in PD models adenosine receptor antagonists, such as caffeine rely on  $A_{2A}$  receptors rather than  $A_1$ receptors to exert their neuroprotective effects. The laboratory and epidemiological evidence indicate that  $A_{2A}$  receptor antagonists, such as caffeine, make compelling neuroprotective drug candidates.

#### Genetic Manipulation of A<sub>2A</sub> Receptor for Neuroprotection

To complement the neuroprotective benefits of pharmacological strategies to disrupt  $A_{2A}$  function, targeted mutations in the functionally relevant regions of the  $A_{2A}$  receptor gene were done to knockout the receptor in mice. Mice lacking  $A_{2A}$ receptor displayed attenuated brain damage in models of ischemic or excitotoxininduced brain injury (Chen et al. 1999). More recently, using a conditional knockout (Cre/loxP) system to generate mice with a selective postnatal depletion of forebrain neuronal  $A_{2A}$  receptors fully prevented neurotoxin-induced degeneration of nigral dopaminergic neurons in a subchronic MPTP model of PD (Carta et al. [2009\)](#page-128-8). However using this conditional knockout method to eliminate neuronal  $A_{2A}$  receptors did not protect striatal terminals from a more acute, high-dose MPTP exposure (Yu et al. [2008\)](#page-135-7). The effects of  $A_{2A}$  receptor deletion on neuronal damage in the brain are complex and can be paradoxical as  $A_{2A}$  knockdown has been shown to exacerbate neuronal toxicity in models of Huntington's disease (HD) and experimental autoimmune encephalomyelitis (Huang et al. 2006; Yao et al. [2012](#page-135-16)). All together these data, while supporting a neuroprotective outcome of neuronal  $A_{2A}$  receptor blockade in PD, highlight the complexities of the roles played by  $A_{2A}$  receptors, pointing to distinct actions of cell-type specific receptors in different neurodegenerative conditions.

#### **Glial A2A Receptors and Neuroprotective Mechanisms**

The physiological and pathological roles of adenosine receptors have been attributed primarily to their direct action on neurons (Stone et al. [2009](#page-134-15)), however adenosine receptors are also present in glial cells where they control the metabolism of glucose, astrogliosis, the release of neurotrophic factors and even cell death. Neuroinflammation is thought to play a role in propagating neurodegeneration (Filippo et al. [2010](#page-129-16)). Consistent with this hypothesis, neuroprotection associates with reduced neuroinflammation, whereas degeneration correlates to increased levels. Resident microglial cells act as the brain's first line of immune defense since the blood-brain-barrier limits its interactions with the peripheral immune system (Banks and Erickson [2010;](#page-127-18) Erickson et al. [2012;](#page-129-17) Takeuchi [2013](#page-134-16)). In response to pathophysiological stressors such as pro-oxidants, hypoxia or necrotic tumors, extracellular adenosine levels rise in the brain dramatically. This increase in adenosine levels precedes a characteristic increase in astrogliosis and reactive microglia, that in PD can be found in the substantia nigra and other brain areas such as the pons, frontal cortex and the temporal cortex (Gerhard et al. [2006;](#page-129-18) McGeer and McGeer [2008;](#page-132-13) Niranjan [2013\)](#page-132-14). Astrogliosis is a condition where the astrocyte cell cycle, morphology, and molecular expression patterns are altered, and can occur in response to conditions such as ischemia and neurodegeneration, which dramatically increase the number of astrocytes. In addition to glial activation, lymphocyte infiltration and increased levels of soluble inflammatory factors are seen in human PD as well as in toxin models like 6-OHDA, MPTP and rotenone (Armentero et al. [2011](#page-127-19)) One such soluble inflammatory factor is the glial-derived cytokine, which in chronic neuroinflammation causes a feed-forward loop that is neurotoxic to dopaminergic neurons (Armentero et al. [2011;](#page-127-19) Morelli et al. [2010](#page-132-1)).

 $A_{2A}$  receptors are found embedded in glial cell membranes and can modulate neuroinflammation during neurodegeneration. Although there is evidence of neuroprotective adenosinergic signaling in oligodendrocytes, it is not well understood (González-Fernández et al. 2014; Melani et al. [2009](#page-132-15); Stevens et al. [2002](#page-134-17)). The vast majority of what is known about adenosine receptors in glia centers on microglia and astrocytes, in which they have been shown to influence glial secretions and activation states during inflammation. For example, in rats, the adenosine agonist CPCA increased astrogliosis, which was counteracted by the  $A_{2A}$  antagonist DPMX (Hindley et al. [1994\)](#page-130-14). Also, Brambilla and colleagues used basic fibroblast growth factor to induce astrogliosis in primary rat striatal astrocytes. They then showed a concentration-dependent anti-astrogliosis effect of the selective  $A_{2A}$  antagonists SCH58261 and KW-6002.  $A_{2A}$  agonists alone, in the absence of basic fibroblast growth factor, were insufficient to induce astrogliosis (Brambilla et al. [2003](#page-127-20)). In a model of hippocampal ischemia, the  $A_{2A}$  receptor antagonist ZM241385 increased neuronal survival and decreased astrogliosis (Pugliese et al. [2009\)](#page-133-16).

Brain injury or disease is also accompanied by microglia activation, which can release cytotoxic molecules and reactive oxygen intermediates (Dheen et al. [2007\)](#page-129-19). In addition to astrogliosis, the MPTP mouse model has increased levels of activated microglia in the substantia nigra pars compacta and the striatum (Carta et al. [2009\)](#page-128-8).

The selective  $A_{2A}$  antagonists KW-6002, ANR-94, and SCH58261 have been shown to reduce the microglial activation seen in subacute and subchronic MPTP mouse models (Carta et al. [2009](#page-128-8); Pierri et al. [2005;](#page-133-7) Pinna et al. [2010](#page-133-17)). KW-6002 was also shown to reduce gliosis in rats that had unilateral intrastriatal 6-OHDA lesioning (Ikeda et al. [2002](#page-130-6)). Furthermore, brain inflammation due to lipopolysaccharide or old age was also reduced by caffeine, which concomitantly reduced hippocampal microglia activation in rats (Brothers et al. [2010](#page-127-16)). Interestingly, Yu et al. provided evidence that in an acute MPTP model  $A_{24}$  receptors in forebrain neurons are responsible for motor stimulation in both normal and dopamine-deficient conditions, but do not offer neuroprotection in an acute MPTP model. Additionally, pretreatment with KW-6002 was equally neuroprotective against MPTP among forebrain  $A_{2A}$  knockouts and wild type controls. Yu et al. determined that astrocyte and microglial activation corresponded to neuronal degeneration, both of which were reduced with KW-6002. Therefore, they suggested that the  $A_{2A}$  receptors found in astrocytes and microglia are most likely involved in producing neuroprotection, rather than forebrain neuronal  $A_{2A}$  receptors (Yu et al. [2008\)](#page-135-7) in contrast to findings by Carta et al. [2009](#page-128-8) which showed in subchronic MPTP model of PD, loss of neuronal  $A_{2A}$  receptors fully prevented neurotoxin-induced degeneration of nigral dopaminergic neurons (Carta et al. [2009\)](#page-128-8).

 $A_{2A}$  antagonists might also mitigate neuroinflammation through reducing toxic secretions from glial cells, such as nitric oxide (NO). In pathological conditions, NO is produced via the inducible nitric oxide synthase (iNOS) enzyme. iNOS is barely present in normal healthy brain, but increases dramatically in the case of inflammation, infectious or ischemic damage, or normal aging (Ladecola et al. [1995;](#page-131-16) Licinio et al. [1999](#page-131-17); Kröncke et al. [1998\)](#page-131-18). NO has many roles in the body including being a pro-inflammatory and pro-oxidant agent in pathological states. Therefore, curbing the excessive release of NO can help ameliorate some of the damage caused by inflammation.

There are some inconsistencies in the literature regarding  $A_{2A}$  receptor involvement in modulating NO and iNOS levels. For example, the  $A_{2A}$  receptor agonist CGS 21680 was shown by Brodie et al. to inhibit iNOS and NO levels in activated astrocytes in vitro, an effect that was countered by the  $A_{2A}$  antagonist CSC (Brodie et al. [1998](#page-127-21)). However, another group showed that in similarly activated astrocyte cultures, when mixed with activated microglia, CGS 21680 increased NO release, whereas the  $A_{2A}$  receptor antagonist ZM-241385 suppressed it (Saura et al. [2005\)](#page-134-18). This apparent discrepancy might be due to the involvement of microglia. Cultured microglia, when activated by lipopolysaccharide, demonstrate an increase in iNOS and NO (Fiebich et al. [1998\)](#page-129-20). It has been reported that microglia express  $A_{2A}$  receptor mRNA and demonstrate the presence of  $A_{2A}$  receptors with binding studies, whereas astrocytes do not (Fiebich et al. [1996](#page-129-21); Saura et al. [2005\)](#page-134-18). CGS 21680 was only shown to potentiate NO production in microglia, when cultured with astrocytes (Saura et al. [2005](#page-134-18)). Microglia were also shown to inhibit COX-2 expression and PGE2 release via CGS 21680 action on their  $A_{2A}$  receptors (Fiebich et al. [1996\)](#page-129-21).

Despite the two aforementioned studies reporting a lack of  $A_{2A}$  receptors on astrocytes, there is ample evidence to the contrary. Several studies showed that ad-

enosine acts on  $A_{2A}$  receptors in astrocytes to increase intracellular calcium levels and release glutamate (Li et al. [2001](#page-131-19); Matos et al. [2013;](#page-132-16) Nishizaki [2004\)](#page-132-17). A recent study revealed that when adenosine stimulates astrocyte  $A_{2A}$  receptors, Na<sup>+</sup>/K<sup>+</sup>-ATPases are inhibited, which in turn inhibits glutamate transporter-1 and results in reduced glutamate uptake (Matos et al. [2013](#page-132-16)). When  $A_{2A}$  receptors are genetically deleted in mouse astrocytes, astrocyte levels of glutamate increase in both the striatum and the cortex, which might partially explain the neuroprotective effects of  $A_{2A}$ antagonists in PD (Matos et al. [2013\)](#page-132-16).

Much work remains to uncover all the molecular underpinnings of neuroprotection by  $A_{2A}$  receptor antagonists. They may have complex actions in a variety of brain areas in a multitude of pathological conditions, including neurological disorders such as in PD and AD. Though the complexity of signaling orchestrated by the adenosine  $A_{2A}$  receptor poses a challenge to understanding its actions, there is substantial evidence pointing to the neuroprotective properties of  $A_{2A}$  antagonists. They have been shown to protect against neurotoxic insults through a variety of mechanisms, likely including the buffering of glutamatergic excitotoxicity or the attenuation of harmful neuroinflammation.  $A_{2A}$  antagonists have tremendous promise as therapeutic agents, warranting their continued investigation in CNS pathophysiology and neuropsychiatric disease.

#### **Neuroprotective Potential of Targeting Urate**

Based on the evolutionary, antioxidant and epidemiological links between urate and PD, several groups including ours have investigated the protective properties of urate in cellular and rodent models of PD and other neurodegenerative diseases. Interestingly the first direct neuroprotective effect of urate came from cellular and animal models of multiple sclerosis (MS) and its animal correlate experimental autoimmune encephalomyelitis (EAE) (Hooper et al. [1998,](#page-130-12) [2000](#page-130-13); Scott et al. [2002\)](#page-134-19). In these studies the authors show that direct administration of urate or its precursor inosine inhibited CNS inflammation and tissue damage in models of MS or EAE. In rat models of stroke preceding or simultaneous treatment with urate (Romanos et al. [2007;](#page-134-10) Yu et al. [1998\)](#page-135-13) or its analogs (Haberman et al. [2007\)](#page-130-10) protected against cortical or striatal damage. In models of spinal cord injury treatment with urate protected spinal cord neurons directly (Scott et al. [2005](#page-134-9)) or through an astrocyte-dependent mechanism (Du et al. [2007\)](#page-129-13).

Urate also confers protection in cellular as well as rodent models of PD. Across a range of models urate has prevented spontaneous degeneration of cultured nigral neurons and dopaminergic cell death induced by oxidative and mitochondrial toxins. For example, in PC12 cells, dopamine-induced apoptosis and oxidative stress was blocked by urate (Jones et al. [2000](#page-131-20)). In the first report of urate in a rotenone toxicity model of PD, dopaminergic cell death induced by homocysteine plus rotenone or iron was completely prevented by co-treating with urate (Duan et al. [2002\)](#page-129-12). Guerriero et al. [2009](#page-130-11) showed that urate at physiologically relevant concentrations can

markedly enhance survival of dopaminergic neurons in primary midbrain culture of rat ventral mesencephalon. Recently published studies by our group in primary and immortalized dopaminergic neuronal cells (Cipriani et al. [2012a](#page-128-16), [b](#page-128-17)) and others (Zhu et al. [2012](#page-135-12)) demonstrated protective effects of urate against toxicity induced by hydrogen peroxide (an oxidant), MPP+ (a mitochondrial toxin) or 6-OHDA. Neuroprotective effects of urate has also been evaluated in vivo in rodent models of PD, and found to attenuate 6-OHDA toxicity (Gong et al. [2012](#page-129-11)). Similarly, our group has found that mice with a UOx gene knockout, which recapitulates human evolution of purine metabolism (Fig. [6.1](#page-112-0)), had elevated brain urate levels and were resistant to toxic effects of 6-OHDA on nigral dopaminergic cell counts, striatal dopamine content, and rotational behavior, whereas transgenic overexpression of *UOx* exacerbated these morphological, neurochemical, and functional lesions of the dopaminergic nigrostriatal pathway (Chen et al. [2013\)](#page-128-15). Higher striatal urate levels have been reported with both 6-OHDA treatment (Chen et al. [2013](#page-128-15); De Luca et al. [2014\)](#page-129-22) or MPTP treatment (De Luca et al. [2014](#page-129-22); Serra et al. [2002](#page-134-20)) in rodent models of PD possibly due to a compensatory mechanism to combat dopaminergic cell death.

Although considerable evidence indicates that urate is a powerful direct antioxidant few studies have investigated alternative mechanisms of its protective effect. Previous studies (Cipriani et al. [2012a](#page-128-16); Du et al. [2007\)](#page-129-13) reported that neuroprotective effects of urate can depend on the presence of astrocytes in cultures. Astrocytes play a critical role in neuroprotection (Brambilla et al. [2012](#page-127-22)) particularly following an insult due to a toxicant or stress and there is ample precedent for the inducible release of neuroprotectants from astrocytes (Chen et al. [2001a](#page-128-20), [2006;](#page-128-21) Fujishita et al. [2009](#page-129-23); Imamura et al. [2008;](#page-130-15) Li et al. [2009;](#page-131-21) Rathinam et al. [2012\)](#page-133-18). Moreover, it may help substantiate the growing evidence of critical pathophysiological role for astrocytes in the microenvironment of degenerating neurons in PD (Niranjan [2013\)](#page-132-14).

In addition, a recent study hinted at a role of nuclear factor E2 (erythroid-derived 2)-related factor 2 (Nrf2) in neuroprotective mechanisms of urate in 6-OHDA- and hydrogen peroxide- induced dopaminergic cell death (Zhang et al. [2014\)](#page-135-17). The authors showed that Nrf2 signaling and its downstream targets can be induced by urate treatment in dopaminergic cell lines. Nrf2 is a master regulator of cellular defense against oxidative stress, making it a therapeutic target for neurodegenerative diseases (Joshi and Johnson [2012](#page-131-22)*)*. Numerous studies have shown that Nrf2 protects different cell types and organ systems from a broad spectrum of toxic and pathogenic treatments (Ellrichmann [2011](#page-129-24); Neymotin et al. [2011;](#page-132-18) Vargas et al. [2008;](#page-135-18) Yamamoto et al. [2007\)](#page-135-19). Nrf2 KO mice show increased vulnerability to MPTP (Burton et al. [2006](#page-127-23); Chen [2009b;](#page-128-22) Innamorato et al. [2010](#page-130-16)) and 6-OHDA (Jakel et al. [2007](#page-130-17)) in toxin models of PD. Conversely, Nrf2 activation protects dopaminergic neurons from 6-OHDA toxicity and MPP<sup>+</sup> toxicity, in vitro (Jakel et al. [2007](#page-130-17); Yamamoto et al. [2007\)](#page-135-19) and in vivo (Jakel et al. [2007](#page-130-17)). It remains to be determined how Nrf2 is activated by urate, and whether the astrocytic Nrf2 pathway explains the astrocytedependence of neuroprotection by urate (Fig. [6.1\)](#page-112-0) in cellular and in vivo models of PD.

## **Purines as Protectants in Other Neurodegenerative Diseases**

The use of adenosine receptor antagonists in the control of neuronal damage was first shown by Gao and Phillis [1994](#page-129-9) in a model of cerebral ischemic injury. We later confirmed that genetic elimination of  $A_{2A}$  receptors also conferred a robust neuroprotection in animal models of brain ischemia (Chen et al. 1999).  $A_{2A}$  antagonists like caffeine can confer protection against other neurological diseases like Alzheimer's disease by reducing excitotoxicity, inflammatory responses, sensory and motor deficits and neuronal cell death (Table [6.1](#page-115-0) and references within). The role of  $A_{2A}$ receptors in HD is also of interest (Lee and Chern [2014\)](#page-131-23), in part because  $A_{2A}$  receptors are so densely expressed in the striatal output neurons whose early degeneration in the disease contributes to its characteristic movement disorder. However, the therapeutic potential of targeting these receptors for protection in HD is diminished given evidence for their conflicting actions on striatal neuron survival. Blocking pre-synaptic cortico-striatal or astrocytic  $A_{2A}$  receptors can reduce glutamate release or its extracellular levels (Popoli et al. [2002](#page-133-10)), highlighting the neuroprotective potential of  $A_{2A}$  antagonism in HD. On the other hand, blockade of post-synaptic  $A_{2A}$ receptor in the striatum may also exacerbate neuronal death (Blum et al. [2003\)](#page-127-11), and  $A_{2A}$  agonists have been found to ameliorate neurotoxicity in an HD model (Huang et al. [2011](#page-130-18)). Similarly, epidemiological evidence that caffeine use (which is an established inverse risk factor for PD, as above), is likely a risk factor of earlier onset in HD (Simonin et al. [2013](#page-134-4)) supports a predominantly and uniquely deleterious effect of  $A_{2A}$  antagonism in the neurodegeneration of HD. The neuroprotective effect of  $A_{2A}$  receptor antagonists also correlates with their ability to improve cognitive behavior in mouse models of neurodegenerative disease most likely through its control of neuronal cell death.

Similarly, urate has been associated with other neurodegenerative diseases as a biomarker for favorable CNS outcomes in neurodegeneration (Table [6.1\)](#page-115-0). For example, higher urate levels have also been correlated with slower clinical progression in HD, multiple system atrophy, and possibly mild cognitive impairment (Auigner et al. [2010](#page-127-15); Cao et al. [2013;](#page-128-23) Irizarry et al. [2009](#page-130-9); Lee et al. [2011](#page-131-14)). Similarly urate levels are an independent predictor of progression and survival in Amyotrophic lateral sclerosis (ALS), where higher levels were associated with improved outcomes (Paganoni et al. [2012\)](#page-133-13). Similar results were reported by other groups (Abraham and Drory [2014;](#page-126-3) Ikeda et al. [2012;](#page-130-7) Keizman et al. [2009;](#page-131-12) Zoccolella et al. [2011](#page-135-10)) including the recent analysis of individuals from PRO-ACT, the largest available ALS clinical trial dataset. In PRO-ACT, urate levels were found to be one of the strongest predictors of favorable ALS progression and survival (Atassi et al. [2014\)](#page-127-14).

#### **Clinical Trials of Purines as Candidate Neuroprotectants**

## *Adenosine A2A Antagonists in PD Trials Sensitive to Neuroprotection*

**Caffeine** Almost all clinical trials conducted on adenosine  $A_{2A}$  antagonists for PD have been short-term studies (with less than 3 months of follow-up on study drug) targeting the symptoms of the disease, primarily those affecting its characteristic motor deficits as reviewed in depth elsewhere in this volume (see Chap. 14 see also Pinna [2014](#page-133-15)). Although no rigorously designed trial has tested a primary hypothesis of disease modification by an  $A_{2A}$  antagonist, a couple of large trials have adopted designs capable of addressing the hypothesis. Recently, a long-term (5 year), randomized, placebo-controlled Phase III trial of caffeine (up to 400 mg daily in the active drug arm) was initiated in PD (Postuma access date July [2015\)](#page-133-19). This ambitious study, which is projected to conclude in 2021,has the potential to meaningfully assess the effect of caffeine on the course of clinical progression of PD given its long-term duration,a primary outcome of a well-studied, composite (motor and non-motor; clinician- and patient-reported) scale of parkinsonian features (Movement Disorders Society-Unified Parkinson's Disease Rating Scale; MDS-UPDRS) measured every 6 months, and a 'delayed-start' design (McDermott et al. [2002](#page-132-19)) (with the placebo group switched after 4.5 years to caffeine for the last 6 months of follow-up). Of note, with its secondary outcomes including measures of dyskinesia (a motor complication of prolonged treatment with standard dopaminergic drug therapy for PD), this trial may also have the potential to test the hypothesis that  $A_{2A}$ antagonism delays the development of dyskinesia in PD, a form of neuroplasticitybased disease modification suggested by convergent preclinical (Bibbiani et al. [2003;](#page-127-24) Xiao et al. [2006](#page-135-20)) and clinical data (Wills et al. [2013\)](#page-135-21).

*Preladenant* Although commercial  $A_{2A}$  antagonist programs have understandably focused on the lower risk pursuit of a short-term symptomatic indication in PD, the above evidence supporting an additional disease-modifying advantage has encouraged greater investment in this target (Morelli et al. [2009;](#page-132-20) Schwarzschild et al. [2006\)](#page-134-21). One major randomized, placebo-controlled, Phase III trial of an  $A_{2A}$ antagonist (preladenant) followed subjects long-term, and included a secondary analysis of effects of the antagonist over 52 weeks on UPDRS scores (compared to those of placebo for 26 weeks followed by preladenant for an additional 26 weeks) (Merck Sharp & Dohme Corp.ClinicalTrials.gov:NCT01155479). This delayedstart design allows for a separation of potentially confounding, symptomatic benefits of an  $A_{2A}$  antagonist from any disease-modifying benefit. Unfortunately, the trial was terminated prematurely (Merck Sharp & Dohme Corp.ClinicalTrials. gov:NCT01155479), possibly due to technical limitations of the study, and only

interim primary results having preliminarily reported to date (Stocchi et al. [2014\)](#page-134-22). Nevertheless, the clinical investigation path for preladenant illustrates the feasibility of pursuing a potentially sequential development strategy to obtain regulatory approval of an indication for improved symptomatic treatment through  $A_2$ , antagonism, which could then be rapidly expanded to fill the major unmet need for disease modification in PD.

#### *Urate-Elevating Treatments in Trials Sensitive to Neuroprotection*

**Multiple Sclerosis** Elevating urate concentrations has been suggested as neuroprotective strategy across a range of neurological disorders from ischemic (stroke) to neuroinfammatory (multiple sclerosis [MS]) to neurodegenerative (PD, ALS, HD and AD). However, urate itself was found to have poor oral bioavailability in humans, apparently due its metabolism by gut flora (Spitsin et al. [2001\)](#page-134-23). This pharmacological limitation prompted an alternative approach to raising endogenous urate levels via metabolic mass action with the urate precursor inosine (see Fig. [6.1\)](#page-112-0), which proved capable of substantially and chronically raising serum and possibly CSF urate levels in a small population of MS patients (Spitsin et al. [2001\)](#page-134-23). Although several long-term trials (Gonsette et al. [2010](#page-130-19); Markowitz et al. [2009;](#page-132-21) Munoz Garcia et al. [2015;](#page-132-22) Spitsin et al. [2001](#page-134-23); Toncev [2006](#page-135-22)) of urate-elevating inosine treatment in MS have provided inconsistent evidence of improved clinical progression (Yadav et al. [2014\)](#page-135-23), they have helped establish feasibility of using inosine to persistently and relatively safely elevate serum urate, with kidney stones the most consistent serious adverse effect observed thus far (Gonsette et al. [2010;](#page-130-19) Markowitz et al. [2009\)](#page-132-21).

**Parkinson's Disease** Informed by this early experience of using oral inosine to elevate urate in MS, and motivated by the strong convergence of laboratory, epidemiological and clinical data suggesting urate as a neuroprotectant in PD, we have pursued the clinical development of inosine as a candidate disease-modifying therapy for PD. A safety-focused, randomized, placebo-controlled, Phase II trial of oral inosine demonstrated its ability to dose-dependently elevate serum and CSF urate levels for months to years (Parkinson Study Group et al. [2014](#page-133-20)). The Safety of Urate Elevation in PD (SURE-PD) trial enrolled 75 early PD subjects with serum urate below 6 mg/dL and randomized them 1:1:1 to placebo or inosine titrated to mildly or moderately elevate serum urate up to 6–7 or 7–8 mg/dL for up to 24 months. The only serious adverse events that was likely attributable to inosine treatment were kidney stones in three subjects, all of whom recovered fully. Preliminary assessment of long-term clinical outcomes, for which this Phase II study was not powered, nevertheless supported advancing to a Phase III disease modification trial.

Importantly, secondary analyses of SURE-PD suggested inosine produces no short-term effect on parkinsonian features, in contrast, for example, to caffeine, which significantly improved UPDRS scores and their motor component within weeks (Postuma et al. [2012\)](#page-133-21). The presence of such symptomatic benefits can actually complicate the testing of a candidate neuroprotectant because its putative effects on the long-term course of the disease must then be distinguished from its shortterm effects, and may necessitate a more complex trial design (such as a 'delayed start' design, which is being employed for caffeine as above). Thus, a simpler trial design may be suitable for Phase III clinical development of inosine as a disease modifying strategy for PD.

Of note, laboratory (Du et al. [2007\)](#page-129-13) and recent clinical (Atassi et al. [2014;](#page-127-14) Paganoni et al. [2012;](#page-133-13) Zoccolella et al. [2011\)](#page-135-10) data raising the possibility that higher urate may protect degenerating neurons in ALS as well as PD, have led to a pilot clinical study of urate-elevating inosine treatment in ALS (Paganoni [2014](#page-133-22)).

**Stroke** In parallel to its investigation as a therapy for progressive neurodegenerative disorders, urate elevation is being explored as a protective strategy in stroke based on a comparable set of supportive laboratory (Haberman et al. [2007;](#page-130-10) Romanos et al. [2007\)](#page-134-10) findings and epidemiological data (Chamorro et al. [2002\)](#page-128-14). However, whereas oral inosine is being used to chronically raise urate in neurodegeneration, parenteral (intravenous) administration of urate itself is being tested in stroke to ameliorate the immediate ischemic injury within hours of onset (Amaro et al. [2010\)](#page-126-4). The results of this Phase IIB/III trial (Charmorro et al. [2014](#page-128-24)) did not demonstrate a statistically significant overall benefit of urate, but were sufficiently suggestive to warrant for fuller Phase III clinical testing. Interestingly a trend toward greater efficacy of urate-elevating therapy for stroke in women, who at baseline have substantially lower serum urate levels than do men, was mirrored for PD in the SURE-PD study (Parkinson Study Group et al. [2014;](#page-133-20) Schwarzschild et al. [2014](#page-134-24)) and warrants further attention in future studies.

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## **Chapter 7 Adenosine A2A Receptor Antagonists as Drugs for Symptomatic Control of Parkinson's Disease in Preclinical Studies**

#### **Annalisa Pinna**

**Abstract** Parkinson's disease (PD) is primarily a neurological basal ganglia (BG) related disorder caused by progressive degeneration of the nigrostriatal dopaminergic neurons, which results in the cardinal motor symptoms of PD, including bradykinesia (slow movement and difficulty in initiation movement), resting tremor, muscle tone rigidity, postural instability, and sensorimotor integration deficits. The gold standard of PD therapy is characterized by the dopamine precursor L-DOPA however, after several years, this therapy leads to neuropsychiatric and motor complications, including fluctuations in motor response and dyskinesias, which develop in the majority of patients. Consequently, one of the main targets of research in PD is to identify alternative therapeutic approaches to ameliorate PD symptoms without inducing motor complications. Among the non-dopaminergic strategies for PD, one of the most promising is represented by adenosine  $A_{2A}$  receptor antagonists, due to the colocalization of these receptors and dopamine  $D_2$  receptors in the striatopallidal neurons of the BG, which provides the anatomical basis for the existence of a functional antagonistic interaction between these receptors. Thus, extensive preclinical studies have been performed to prove the effectiveness of adenosine  $A_{2A}$ receptor blockade in counteracting the cardinal motor symptoms of PD.

This chapter describes the effects of  $A_{2A}$  antagonists alone or in combination with L-DOPA against the cardinal motor symptoms of PD, using rodent and primate models of PD, and the main mechanisms responsible for these anti-parkinsonian effects. In addition, findings suggesting the potential utilization of  $A_{2A}$  antagonists, as adjunctive treatments to L-DOPA to reduce the L-DOPA induced *wearing-off* without modifying dyskinetic movements, have been reviewed.

**Keywords** Parkinson's disease **·** Rodent models **·** Non-human primate models **·**  Catalepsy · Rigidity · Tremor · 6-Hydroxydopamine lesion · MPTP lesion ·  $A_{2A}$ receptor antagonists **·** Adenosine

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### **Parkinson's Disease**

Parkinson's disease (PD) is the second most common chronic neurodegenerative disease, with a progressive course, affecting over 5 million individuals worldwide (Van Den Eeden et al. [2003](#page-157-0)). Age is the greatest risk factor for PD, with an average age of onset of approximately 55–65 years (Obeso et al. [2000;](#page-155-0) Van Den Eeden et al. [2003\)](#page-157-0). The prevalence of PD is expected to rise dramatically over the next 20 years as the population ages (Dorsey et al. [2007\)](#page-153-0).

Symptomatically, PD is characterized by debilitating motor impairment, including akinesia, bradykinesia, muscle rigidity, resting tremor, gait disorders, and postural instability (Marsden [1994](#page-155-1); Obeso et al. [2000\)](#page-155-0). Additionally, PD patients are affected by a variety of non-motor symptoms, including cognitive dysfunction, autonomic abnormalities, sleep disturbance, and depression (Chaudhuri et al. [2006\)](#page-152-0).

Pathologically, PD is characterized by degeneration of the nigrostriatal dopaminergic system, which is responsible for many of the motor symptoms observed in the disease. The principal effect of dopaminergic neurodegeneration in the striatum or caudate-putamen (CPu) of parkinsonian patients leads to a disruption of processing in the basal ganglia (BG) circuitry, which is responsible for the integration of sensorimotor information that controls the planning and initiation of voluntary movement (Obeso et al. [2000\)](#page-155-0) (Fig. [7.1](#page-138-0)). However, neuronal loss has also been observed in brain areas other than the BG, producing changes in neurotransmitters, such as noradrenaline, serotonin, glutamate, acetylcholine, and adenosine, which contribute to the symptomatology of PD (Jellinger [2002](#page-154-0)). Additionally, widespread Lewy body pathology is observed in both the central and peripheral nervous systems (Braak et al. [2003\)](#page-152-1).

The prime cause of dopaminergic neurodegeneration in PD has not yet been identified, but a large amount of data suggest that, from an aetiological and pathogenetic perspective, it might depend on a combination of environmental and genetic factors, such as toxins, genetic susceptibility, and the aging process (Alves et al. [2008\)](#page-152-2). In particular, several known factors causing PD pathogenesis are mitochondrial dysfunction, oxidative damage, anomalous protein aggregation, and neuroinflammation (Schapira [2006\)](#page-156-0). These processes, once started, persist to cause dopaminergic neuron injury, and have a negative impact on the effectiveness of the current PD therapy.

Since the finding of nigrostriatal dopamine depletion in the BG of parkinsonian patients, the dopaminergic neurotransmitter system has been the main focus of pharmacological therapies for the cardinal features of PD. Dopamine replacement with the dopamine precursor L-DOPA (in combination with a peripheral decarboxylase inhibitor), remains the most efficacious treatment to counteract PD motor symptoms (Olanow et al. [2009](#page-155-2)). Although L-DOPA is of substantial benefit to antagonize the main motor symptoms in parkinsonian patients, its loses effectiveness over time; specifically, after several years of treatment, the duration of L-DOPA effect shortens (known as *wearing-off*  ), responses become less predictable (with rapid switching between time spent by patients in a state of mobility *on-time* and immobility *off-time*). Moreover, patients affected by these motor response swings

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**Fig. 7.1** Changes in the function of basal ganglia circuits during Parkinson's disease and effect of adenosine  $A_{2A}$  receptor blockade. Under physiological conditions (a), the SNc sends dopaminergic inputs to striatal neurons. Endogenous DA then activates the neurons belonging to the striatonigral, or "direct", pathway. These neurons send GABAergic projections to the substantia nigra pars reticulata/globus pallidus pars interna *(SNr/GPi)*, and express stimulatory  $\text{DA}\,\text{D}_1$  receptors. At the same time, endogenous DA inhibits the neurons belonging to the striato-pallidal, or "indirect", pathway. These neurons send GABAergic projections to the *SNr/GPi* via globus pallidus pars externa *(GPe)* and subthalamic nucleus *(STN)*, and express inhibitory DA  $D_2$  receptors. The balanced activity of the two striatal efferent pathways underlies the correct execution of movement. Degeneration of SNc neurons during PD removes DA input to the striatum (**b**). This causes the disinhibition of the neurons in the striato-pallidal pathway, and boosts the inhibitory influence these neurons exert on the *GPe*, in turn leading to an overactivation of the *STN* glutamatergic output neurons. The reduction in striatal DA inputs from the SNc also causes a decreased activation of neurons in the striatonigral pathway. Taken together, these modifications in basal ganglia circuits result in an imbalanced activity of the striatal efferent pathways, and in an increased inhibitory output from the *SNr–GPi* complex to the thalamus *(Th)*. As a consequence, the excessive inhibition of thalamocortical neurons causes the motor deficits associated with PD. Adenosine  $A_{2A}$  receptors are selectively located in the striato-pallidal pathway, both on striatal medium-sized spiny neurons and on their terminals projecting to GPe (a-b-c). Blockade of these receptors, by counteracting the function of  $D_2$  receptors, alleviates the excessive inhibition of the striato-pallidal pathway, in turn restoring a certain degree of functional balance between the "direct" and "indirect" striatal efferent pathways, and favoring the performance of movement (c)

often show a range of types of choreic or dystonic drug-induced involuntary movements which, in themselves, could become a major source of disability (Olanow et al. [2004\)](#page-155-3) Beside motor fluctuations, neuropsychiatric complications can develop (Obeso et al. [2000](#page-155-0); Olanow et al. [2004](#page-155-3)). Even though, a few pharmacological and surgical strategies exist to ameliorate L-DOPA induced motor complications, they do not completely solve this problem (Horstink et al. [2006](#page-154-1)). As a result, the therapy of PD will remain an urgent healthcare issue, and requires an alternative approach to pharmacological intervention that can improve the symptomatology of parkinsonian patients, while, at the same time, offering a lower incidence of adverse effects.

#### **Basal Ganglia Circuitry**

The motor BG circuitry involved in the pathophysiology of movement disorders, consist of several subcortical structures, including the striatum or CPu, the globus pallidus (internal [GPi] and external [GPe] divisions), the substantia nigra (pars reticulata [SNr], pars compacta [SNc]), and the subthalamic nucleus (STN) (Delong and Wichmann [2007](#page-153-1); Galvan and Wichmann [2008](#page-153-2)) (Fig. [7.1\)](#page-138-0). All BG-related nuclei are connected through well-established neurochemical circuits, and with the specific cortical areas from which they originate. Briefly, the striatum, under tonic dopaminergic conditions, receives and integrates glutamatergic input from the thalamus and cerebral cortex, and this information is transmitted to the output nuclei, such as the SNr and GPi, which then provide BG projections to the thalamus and cerebral cortex (Delong and Wichmann [2007](#page-153-1)) (Fig. [7.1\)](#page-138-0). Other BG output nuclei connect with the tegmental pedunculopontine nucleus as well as with the caudal intralaminar nuclei (Delong and Wichmann [2007\)](#page-153-1). The neural population of the striatum is characterized by 95% of medium-sized spiny GABAergic neurons and by 5% of aspiny interneurons, including GABAergic and cholinergic interneurons. The striatal population of medium spiny GABAergic neurons is divided into two neuronal pathways: the monosynaptic "striatonigral direct projection" which connects the striatum with the SNr or GPi and the polysynaptic "striatopallidal indirect projection" which connects the striatum with the GP or GPe (Fig. [7.1\)](#page-138-0). The striatonigral neurons mainly express dopamine  $D_1$  receptors and the neuropeptides substance P and dynorphin, whereas the striatopallidal neurons express predominantly dopamine  $D_2$  receptors and the neuropeptide enkephalin. Dopaminergic input to the striatum arises primarily from the mesencephalon, either from the SNc or the ventral tegmental area, and plays a critical modulatory role in neuronal signalling at this level, exerting a dual effect, depending on the type of post-synaptic dopaminergic receptor stimulated. Specifically, dopamine modulates motor coordination and fine movements by facilitating the direct pathway activity acting on the excitatory dopamine  $D_1$  receptors and by inhibiting the indirect pathway function acting on inhibitory dopamine  $D_2$  receptors (Gerfen and Bolam [2010\)](#page-153-3). In PD, the nigrostriatal dopaminergic neurodegeneration causes dopamine depletion in the striatum, consequently reducing activation of both dopamine  $D_1$  and  $D_2$  receptors (Fig. [7.1](#page-138-0)). This lack of striatal dopamine generates an imbalance in the activity of striatal output pathways, characterized by reduced excitation of the striatonigral direct pathway, which leads to a decrease in inhibitory control of the GPi/SNr and a concomitant

disinhibition of the striatopallidal indirect pathway to the STN and increases stimulation of the GPi/SNr neurons (Fig. [7.1](#page-138-0)). Taken together, this sequence of events exacerbates the activation of GABAergic BG output neurons, finally leading to excessive inhibition of thalamocortical projections of the motor systems, causing parkinsonian motor symptoms (Albin et al. [1989](#page-152-3); Delong [1990;](#page-153-4) Obeso et al. [2000](#page-155-0)) (Fig. [7.1\)](#page-138-0). Although, this proposed model of BG function and dysfunction provides an excellent starting point (Albin et al. [1989](#page-152-3); Delong [1990](#page-153-4)), it is important to highlight that BG organization is far more sophisticated than supposed in this model (reviewed in Bar-Gad and Bergman [2001](#page-152-4); Obeso et al. [2000](#page-155-0)). Thus, recent findings detailing neurotransmission throughout the BG networks should be taken into consideration for a more complete understanding of its organization and activity (Armentero et al. [2011;](#page-152-5) see also Chap. 2).

## **Adenosine A2A Receptor Antagonists**

The discovery of the restricted expression of adenosine  $A_{2A}$  receptors in the BG circuitry and of their close interaction with dopamine, especially with dopamine  $D<sub>2</sub>$ receptors, rendered adenosine  $A_{2A}$  receptors very attractive as a non-dopaminergic target to be explored for PD therapy. Indeed, adenosine  $A_{2A}$  receptors are localized in areas of the BG associated with the dopaminergic nigrostrial and mesolimbic neuronal pathways, including the striatum, GP, nucleus accumbens, and olfactory tubercle (Rosin et al. [1998](#page-156-1)). Specifically, in the striatum, adenosine  $A_{2A}$  receptors are predominantly restricted on the dendritic spines of GABAergic striatopallidal neurons, where they are colocalized with dopamine  $D_2$  receptors (Hettinger et al. [2001\)](#page-154-2), whereas striatonigral neurons do not contain appreciable levels of adenosine  $A_{2A}$  receptors (Hettinger et al. [2001](#page-154-2)). This colocalization of adenosine  $A_{2A}$  and dopamine  $D_2$  receptors in the striatopallidal neurons leads to a functional antagonistic interaction between these receptors (Ferré et al. [1997;](#page-153-5) Hettinger et al. [2001;](#page-154-2) Svenningsson et al. [1999\)](#page-156-2). Specifically, stimulation of the dopamine  $D_2$  receptors by dopamine or dopamine  $D_2$  receptor agonists enhances motor activity, whereas stimulation of the adenosine  $\overline{A}_{2A}$  receptors reduces this effect (Ferré et al. [1997\)](#page-153-5). At the biochemical level, this antagonistic functional interaction between adenosine  $A<sub>2A</sub>$  and dopamine  $D<sub>2</sub>$  receptors takes place both directly, with an intramembrane receptor–receptor interaction, in which the activation of adenosine  $A_{2A}$  receptors decreases the binding affinity of  $D_2$  receptors for dopamine (Ferré et al. [1991](#page-153-6)) and at the level of second messengers, such as adenylyl cyclase, in which stimulation of adenosine  $A_{2A}$  receptors counteracts the dopamine  $D_2$  receptor-mediated inhibition of 3',5'-cyclic adenosine monophosphate (cAMP) formation and  $D_2$  receptor-induced intracellular Ca<sup>2+</sup> responses (Hillion et al. [2002](#page-154-3); Olah and Stiles [2000](#page-155-4); for more details please see also Chaps. 1 and 2).

## *Modulation of Adenosine A2A Receptors Located in the BG Circuitry*

To better understand the anti-parkinsonian efficacy of  $A_{2A}$  receptor antagonists on the cardinal symptoms of PD, it is necessary to illustrate the main role played by adenosine  $A_{2A}$  receptors in the motor BG circuitry involved in the pathophysiology of movement disorders (Fig. [7.1\)](#page-138-0).

As described above, the colocalization of adenosine  $A_{2A}$  and dopamine  $D_2$  receptors in the striatopallidal neurons provides the anatomical basis for the existence of a functional antagonistic interaction between these receptors (Ferré et al. [1997](#page-153-5)) (Fig. [7.1\)](#page-138-0). Adenosine  $A_{2A}$  receptor blockade leads to motor activity by reducing the excessive inhibitory output of the BG indirect pathway, similar to dopamine  $D<sub>2</sub>$ receptor activation (Ferré et al. [1997\)](#page-153-5) (Fig. [7.1\)](#page-138-0). In addition, activation or blockade of the adenosine  $A_{2A}$  receptors in the indirect striatopallidal pathway, impairs or facilitates dopaminergic  $D_1$ -mediated responses as well (Ferré et al. [1997](#page-153-5); Pinna et al. [1996;](#page-155-5) Pollack and Fink [1996\)](#page-155-6). Thus,  $A_{2A}$  receptor antagonists seem to restore some balance between the striatonigral and striatopallidal neurons, consequently relieving thalamocortical activity (Fig. [7.1\)](#page-138-0). Moreover, an important function of adenosine  $A_{2A}$  receptors has been showed in the GP (Fig. [7.1](#page-138-0)). Indeed, in PD, the blockade of pallidal adenosine  $A_{2A}$  receptors, by reducing extracellular GABA, may contribute to restoring GP activity, and, in turn, STN activity, leading to a more balanced activation of the direct and indirect pathways and, when associated with dopaminergic receptor agonists, an enhancement of their motor-stimulating effects (Ochi et al. [2004](#page-155-7); Shindou et al. [2003;](#page-156-3) Simola et al. [2004](#page-156-4), [2008](#page-156-5)). Furthermore, stimulation of the postsynaptic adenosine  $A_{2A}$  receptors antagonizes the inhibitory modulation of the N-methyl-D-aspartate (NMDA) receptor activity mediated by dopamine  $D_2$  receptors (Azdad et al. [2009;](#page-152-6) Higley and Sabatini [2010\)](#page-154-4). This interaction appears to be responsible for most of the locomotor activation and depression induced by  $A_{2A}$  receptor antagonists and agonists, respectively (Ferré et al. [2008\)](#page-153-7). Additionally, further contribution to the anti-parkinsonian effects, in particular the anti-tremorigenic effect, of adenosine  $A_{2A}$  receptor antagonists may be related to a cholinergic mechanism (Armentero et al. [2011](#page-152-5); Kurokawa et al. [1996;](#page-154-5) Simola et al. [2004](#page-156-5)). Indeed, functional antagonism between the adenosine  $A_{2A}$  and dopamine  $D_2$  receptors was recently reported in striatal cholinergic interneurons (Tozzi et al. [2011\)](#page-156-6). Moreover, adenosine  $A_{2A}$  receptors have been shown to interact either directly or indirectly with various receptors, such as the dopamine  $D_3$ , NMDA, cannabinoid CB<sub>1</sub>, serotonin 5-HT<sub>1A</sub>, metabotropic glutamate 4 (mGlu4) and 5 (mGlu5), receptors and to form heteromeric complexes with some of them, suggesting a more complex explanation of their influence on PD motor deficits (Armentero et al. [2011;](#page-152-5) Bogenpohl et al. [2012;](#page-152-7) Gerevich et al. [2002](#page-153-8); Jones et al. [2012](#page-154-6); Łukasiewicz et al. [2007\)](#page-154-7) (see more details in Chap. 2).

The functionally opposing roles of the adenosine  $A_{2A}$  and dopamine  $D_2$  receptors on the indirect pathway neurons offers a rationale for the extensive investigation of the activity of  $A_{2A}$  receptor antagonists on counteracting motor deficits in pharmacological and toxicological animal models of PD.

The following sections of this chapter illustrate, in detail, the modulatory role played by  $A_{2A}$  receptor antagonists on each cardinal PD motor symptom, such as akinesia/bradykinesia, gait impairments, sensorimotor integration deficit, muscle rigidity, and tremor, demonstrated in rodent and primate models of PD.

## *Effect of A2A Receptor Antagonists on Akinesia, Bradykinesia, and Motor Activity*

Akinesia strictly means absence of movement, but in PD, it usually refers to slowness of movement execution (bradykinesia) or lack of spontaneous movements (hypokinesia) (Obeso et al. [2000\)](#page-155-0). In PD, there is a decrease in the amplitude and rate of movements. Bradykinesia may significantly impair the quality of life of PD patients, because it takes much longer to perform everyday tasks, such as eating or dressing. Automatic movements, such as step length or arm swings when walking, or more complex voluntary movements, such as writing or drinking, can all be involved. The effects of  $A_{2A}$  receptor antagonists against the symptomatic parkinsonian akinesia, bradykinesia, and motor activity impairment have been demonstrated using a wide range of pharmacological and/or toxicological rodent and primate models of PD, including counteraction of hypomotility or catalepsy induced by haloperidol or reserpine, and modulation of rotational behaviour in rodents, as well as a reduction of motor impairment in non-human primates (Table [7.1](#page-143-0) and Fig. [7.2](#page-144-0)) (Pinna and Morelli [2014;](#page-155-8) Simola et al. [2008](#page-156-5); Xu et al. [2005\)](#page-157-1). In rodents, the monoamine-depleting agent reserpine or the typical neuroleptic haloperidol induces a dramatic reduction of motor activity, principally characterized by akinesia, hypokinesia and catalepsy, which are representative of parkinsonian symptoms and caused by hypofunctionality of the striatum (Duty and Jenner [2011;](#page-153-9) Gerlach and Riederer [1996\)](#page-153-10). Moreover, rodents administered a range of different doses of reserpine or haloperidol showed other parkinsonian-like symptoms, such as hindlimb rigidity and tremor (as described in the following sections of this chapter) (Duty and Jenner [2011](#page-153-9); Gerlach and Riederer [1996](#page-153-10); Lorenc-Koci et al. [1996](#page-154-8); Salamone et al. [2008\)](#page-156-7). Drugs commonly used in PD treatment are known to counteract the catalepsy induced by haloperidol or reserpine (for review see Duty and Jenner [2011\)](#page-153-9). Moreover, specifically, the catalepsy test induced by haloperidol is useful to underline the pharmacokinetic differences of the compounds tested (Gillespie et al. [2009;](#page-153-11) Neustadt et al. [2007;](#page-155-9) Pinna et al. [2005](#page-155-10); Weiss et al. [2003](#page-157-2)).

The majority of  $A_{2A}$  receptor antagonists were able to counteract, in a dosedependent manner, catalepsy and/or hypolocomotion induced by haloperidol or reserpine in rodents, reducing their duration and severity, thereby demonstrating an improvement of parkinsonian motor impairment by these drugs (Table [7.1](#page-143-0) and Fig. [7.2](#page-144-0)) (Drabczyńska et al. [2011;](#page-153-12) Gillespie et al. [2009;](#page-153-11) Hodgson et al. [2009](#page-154-9); Jones et al. [2013](#page-154-10); Kanda et al. [1994](#page-154-11); Mandhane et al. [1997;](#page-155-11) Pinna et al. [2005;](#page-155-10) Shiozaki et al. [1999](#page-156-8); Shook et al. [2010](#page-156-9), [2013;](#page-156-10) Stasi et al. [2006](#page-156-11); Villanueva-Toledo [2003;](#page-157-3) Wardas et al. [2003](#page-157-4); Weiss et al. [2003\)](#page-157-2). Furthermore, the co-administration of several

Parkinsonian-like symptoms	Effects of $A_{2A}$ antagonists in rodent models of PD
Parkinsonian-like akinesia, bradykinesia and motor impairment	Reversal of hypolocomotion and catalepsy induced by haloperi- dol or reserpine (alone or in combination with $L$ -DOPA) $[1-14]$
	Potentiation of contralateral rotational behaviour induced by DAergic antiparkinsonian drugs in the hemiparkinsonian rats [7, $15 - 24$ ]
	Restoration of the impaired adjusting steps and initiation time of stepping of the forelimb contralateral to the lesion [19, 25, 26]
	Restoration the lost functionality of hindlimb bradykinesia and in rotarod test of MitoPark mice [27]
Parkinsonian-like sensorim- otor integration deficit	Restoration of the placement of the contralateral forelimb after vibrissae brushing lesion [19, 25, 26]
Parkinsonian-like muscle rigidity	Amelioration of parkinsonian-like muscle rigidity produced by either reserpine or haloperidol (alone or in combination with L-DOPA) [14, 28]
Parkinsonian-like tremor	Counteraction of parkinsonian-like tremor elicited by several tremorigenic agents in rodents (for review see Chap. 13 by Salamone)
Parkinsonian-like symptoms	Effects of $A_{2A}$ antagonists in primate models of PD
Parkinsonian-like akinesia,	Reversal of catalepsy induced by haloperidol [29]
bradykinesia and motor impairment	Relieve of motor impairment in MPTP-treated primates (alone or in combination with DAergic antiparkinsonian drugs) [30-34]
Parkinsonian-like muscle rigidity	Amelioration of parkinsonian-like muscle rigidity produced by haloperidol [29]
[1] Drabczyńska et al. 2011; [2] Gillespie et al. 2009; [3] Hodgson et al. 2009; [4] Kanda et al. 1994; [5] Jones et al. 2013; [6] Mandhane et al. 1997; [7] Pinna et al. 2005; [8] Shiozaki et al. 1999; [9] Shook et al. 2010; [10] Shook et al. 2013; [11] Stasi et al. 2006; [12] Villanueva-Toledo	
2003; [13] Weiss et al. 2003; [14] Wardas et al. 2003; [15] Fenu et al. 1997; [16] Hodgson et al. 2009; [17] Koga et al. 2000; [18] Pinna et al. 1996; [19] Pinna et al. 2010; [20] Pollack and Fink	
1996; [21] Rose et al. 2007; [22] Tronci et al. 2007; [23] Vellucci et al. 1993; [24] Weiss et al. 2003; [25] Pinna et al. 2007; [26] Pinna and Morelli 2014; [27] Smith et al. 2014; [28] Wardas et al. 2001; [29] Varty et al. 2008; [30] Grondin et al. 1999; [31] Hodgson et al. 2010; [32] Kanda	
et al. 1998; [33] Kanda et al. 2000; [34] Rose et al. 2006	

<span id="page-143-0"></span>**Table 7.1** Summary of the effects exerted by  $A_{2A}$  antagonists on cardinal parkinsonian-like symptoms in rodent and primate models of PD

 $A_{2A}$  receptor antagonists with L-DOPA has been demonstrated to strengthen the anti-cataleptic effect induced by L-DOPA suggesting that there may be a synergism between the adenosine  $A_{2A}$  receptor antagonists and the dopaminergic agents (Table [7.1](#page-143-0); Kanda et al. [1994;](#page-154-11) Shiozaki et al. [1999;](#page-156-8) Stasi et al. [2006\)](#page-156-11) . Interestingly, Varty and collaborators have also evaluated the efficacy of  $A_{2A}$  receptor antagonists against catalepsy induced by haloperidol in primates (Table [7.1](#page-143-0) and Fig. [7.2;](#page-144-0) Varty et al. [2008\)](#page-157-5). The catalepsy induced by haloperidol in primates is characterized by immobility with open eyes, usually accompanied by unusual postures, including rigid limb extensions and/or a twisted torso. Consistent with rodent studies, adenosine A2A receptor blockade can attenuate haloperidol-induced cataleptic motor impairment in monkeys (Table [7.1](#page-143-0) and Fig. [7.2](#page-144-0); Varty et al. [2008](#page-157-5)).
<span id="page-144-0"></span>

**Fig. 7.2** Illustration of diverse rodent and primate models utilized for behavioural evaluation of A2A receptor antagonists. Clockwise shows test performed in rodents *(left panel)* and primates *(right panel)* for **a** catalepsy in rodent, **b** akinesia in rodent, **c** catalepsy in primate, **d** akinesia in primate, **e** sensorimotor integration deficit in rodent, and **f** gait impairment in rodent

To better verify the anti-parkinsonian effects of  $A_{2A}$  receptor antagonists, these compounds have been evaluated in the most frequently used PD model of hemiparkinsonian rats, characterized by a unilateral intracerebral infusion of the dopaminergic neurotoxin 6-hydroxydopamine (6-OHDA), which produces massive degeneration of the nigrostriatal dopaminergic neurons, similar to that occurring in idiopathic PD (Schwarting and Huston [1996](#page-156-0); Simola et al. [2007](#page-156-1); Ungerstedt [1968\)](#page-157-0). In this model, the ability of a specific drug to induce contralateral rotational behaviour, as well as to potentiate the rotational behaviour stimulated by dopamine receptor agonists, can be assumed as a parameter reflecting its anti-parkinsonian activity (Schwarting and Huston [1996;](#page-156-0) Simola et al. [2007\)](#page-156-1).

 $A_{2A}$  receptor antagonists clearly showed a motor facilitative activity in hemiparkinsonian rats. Specifically, acute administration of several adenosine  $A_{2A}$  receptor antagonists induced no contralateral rotations *per se*, but significantly potentiated rotational behaviour induced by L-DOPA or apomorphine and by either dopamine  $D_1$  or  $D_2$  receptor agonists, in hemiparkinsonian rodents (Table [7.1;](#page-143-0) Fenu et al. [1997;](#page-153-0) Hodgson et al. [2009;](#page-154-0) Koga et al. [2000](#page-154-1); Pinna et al. [1996,](#page-155-0) [2005,](#page-155-1) [2010](#page-155-2); Pollack and Fink [1996](#page-155-3); Rose et al. [2007;](#page-156-2) Tronci et al. [2007](#page-156-3); Vellucci et al. [1993](#page-157-1); Weiss et al. [2003\)](#page-157-2).

Furthermore, in hemiparkinsonian rats, more sophisticated measurements of akinesia, bradykinesia, and gait impairment have been assessed. Indeed, as a consequence of unilateral 6-OHDA lesion, rats develop gait impairment and forelimb akinesia considered to be analogous to PD symptoms in humans. Different strategies, such as adjusting step counting and initiation time of stepping have been developed in order to evaluate and quantify these symptoms and their relief by drugs (Chang et al. [1999;](#page-152-0) Meredith and Kang [2006](#page-155-4); Olsson et al. [1995\)](#page-155-5).

A few weeks after unilateral lesioning of the nigrostriatal pathway in 6-OHDA in rats, the motor performance of the forelimb contralateral to the lesion is significantly and progressively impaired compared with the motor performance of the same forelimb before the lesion. Indeed, hemiparkinsonian rats made less steps with the forelimb contralateral to the lesion, compared with their ipsilateral forelimb, showing a marked reduction of movements defined as hypokinesia (Chang et al. [1999;](#page-152-0) Olsson et al. [1995](#page-155-5); Pinna et al. [2007](#page-155-6), [2010\)](#page-155-2). Moreover, hemiparkinsonian rats show marked and long-lasting impairment in the initiation time of stepping movement of the contralateral to the lesioned side, an impairment considered to be of symptomatic validity for the initiation of movement deficit present in parkinsonian patients (Meredith and Kang [2006](#page-155-4); Olsson et al. [1995;](#page-155-5) Pinna et al. [2007,](#page-155-6) [2010\)](#page-155-2). Both deficits described were effectively counteracted by a dose of L-DOPA at sub-threshold levels for induction of rotation. Administration of the  $A_{2A}$  receptor antagonists, similarly to L-DOPA significantly counteracted forelimb akinesia/hypokinesia and motor initiation deficit, as demonstrated by their effect in increasing the number of steps performed in both a forward and backward direction and in improving initiation time of stepping by the forelimb contralateral to the lesion, with different intensity, depending on the  $A_{2A}$  receptor antagonists tested (Table [7.1](#page-143-0) and Fig. [7.2;](#page-144-0) Pinna et al. [2007,](#page-155-6) [2010](#page-155-2); Pinna and Morelli [2014](#page-155-7)). Notably, hemiparkinsonian rats did not show any spontaneous recovery in the adjusting test and in initiation time in the stepping test during the period in which the drug test was performed (Pinna et al. [2007,](#page-155-6) [2010\)](#page-155-2).

It is important to underline that even though  $A_{2A}$  receptor antagonists do not *per se* induce contralateral rotations in drug-naïve hemiparkinsonian rats, but only potentiate contralateral rotation induced by L-DOPA (Fenu et al. [1997;](#page-153-0) Koga et al. [2000\)](#page-154-1), they appear, as shown by the above-mentioned results, to be effective in counteracting specific motor deficits associated with dopamine neuron degeneration, such as akinesia/hypokinesia and initiation of movement deficits.

Recently, the anti-akinetic/bradykinetic effects of  $A_{2A}$  receptor antagonists have been evaluated in a genetic mouse model of PD that displays a progressive loss of dopamine neurons, such as in the MitoPark mouse (Table [7.1;](#page-143-0) Smith et al. [2014\)](#page-156-4). The dopamine cell loss in these mice is associated with a deep akinetic phenotype that is sensitive to L-DOPA (Smith et al. [2014\)](#page-156-4). In this PD genetic mouse model, blockade of adenosine  $A_{2A}$  receptors increased locomotor activity in a dosedependent way, completely restored the lost functionality in a measure of hindlimb bradykinesia, and partially restored functionality in a rotarod test, confirming the efficacy of  $A_{2A}$  receptor antagonists against these motor deficits (Table [7.1;](#page-143-0) Smith et al. [2014\)](#page-156-4).

The anti-parkinsonian activity of  $A_{2A}$  receptor antagonists against bradykinesia, akinesia, and motor disability shown in the rodent model of PD have been confirmed in a neurotoxic primate model of PD (Table [7.1](#page-143-0) and Fig. [7.2\)](#page-144-0).

Primates treated with the neurotoxin 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) is the model of PD, which closely mimics the clinical features of PD in humans, and in which all currently used anti-parkinsonian medications have been shown to be effective; thus, this model is undoubtedly the most clinically relevant of all the available models (Duty and Jenner [2011\)](#page-153-1). Indeed, the MPTP intoxication induced a parkinsonian syndrome, characterized by all of the cardinal symptoms of PD and similar anatomical and functional characteristics of dopaminergic neurodegeneration observed in idiopathic PD (Duty and Jenner [2011\)](#page-153-1). Moreover, the MPTP-treated primate develops clear dyskinesia when repeatedly exposed to L-DOPA and these parkinsonian animals have shown responses to novel dopaminergic agents that are highly predictive of their effect in humans.

Acute administration of  $A_{2A}$  receptor antagonists increased locomotor activity and reversed motor disability in a dose-dependent manner in primates previously rendered parkinsonian with MPTP (Table [7.1](#page-143-0) and Fig. [7.2;](#page-144-0) Grondin et al. [1999;](#page-153-2) Hodgson et al. [2010](#page-154-2); Kanda et al. [1998](#page-154-3), [2000;](#page-154-4) Rose et al. [2006](#page-156-5)). Furthermore, when co-administered with L-DOPA  $A_{2A}$  receptor antagonists enhanced the intensity and duration of the efficacy of L-DOPA in reversing motor disabilities and increasing locomotor activity in parkinsonian monkeys (Table [7.1;](#page-143-0) Hodgson et al. [2010;](#page-154-2) Kanda et al. [2000](#page-154-4); Rose et al. [2006](#page-156-5)). Similar results have been obtained with the combined administration of  $A_{2A}$  receptor antagonists with dopamine  $D_1$  and  $D_2$  receptor agonists (Table [7.1;](#page-143-0) Kanda et al. [2000](#page-154-4)). Interestingly, despite producing an enhanced anti-parkinsonian response, acute  $A_{2A}$  receptor antagonists did not exacerbate the dyskinesia induced by L-DOPA in MPTP-treated primates previously rendered dyskinetic by L-DOPA exposure (Grondin et al. [1999](#page-153-2); Hodgson et al. [2010;](#page-154-2) Kanda et al. [1998\)](#page-154-3).

## *Effect of A2A Receptor Antagonists on Sensorimotor Integration Deficit*

Similar to parkinsonian patients, hemiparkinsonian rats showed marked sensorimotor integration deficits correlated with a unilateral lesion of the dopaminergic nigrostriatal pathway (Schallert et al. [2000](#page-156-6)). These sensorimotor deficits, assessed by means of the vibrissae-elicited forelimb placing test, hampered the hemiparkinsonian rats when placing their forelimb contralateral to the lesion on the table surface after brushing of the vibrissae on the same side, whereas the ipsilateral forelimb was not affected by the lesion (Meredith and Kang [2006;](#page-155-4) Pinna et al. [2007](#page-155-6), [2010;](#page-155-2) Schal-lert et al. [2000](#page-156-6)). A few  $A_{2A}$  receptor antagonists, similarly to L-DOPA completely restored placement of the contralateral forelimb by rats, with different intensity depending on the different  $A_{2A}$  receptor antagonists tested, suggesting a potential efficacy of these compounds to ameliorate the sensorimotor integration deficits in PD patients (Table [7.1](#page-143-0) and Fig. [7.2;](#page-144-0) Pinna and Morelli [2014;](#page-155-7) Pinna et al. [2007](#page-155-6), [2010\)](#page-155-2). This effect was not due to spontaneous recovery of sensorimotor integration deficits by hemiparkinsonian rats (Pinna and Morelli [2014](#page-155-7); Pinna et al. [2007,](#page-155-6) [2010\)](#page-155-2).

### *<i>Effect of A<sub>24</sub> Receptor Antagonist on Muscle Rigidity*

The other cardinal symptom of PD, as frequently disabling for patients as bradykinesia and akinesia, is muscle rigidity, which is clinically defined as a sustained increase in resistance to passive movement of a joint throughout its range (Delwaide [2001](#page-153-3)). The most common clinical characteristic of rigidity is an increased resistance to passive movement of the PD patient's limbs, usually associated with a cogwheel phenomenon, and which could be reproduced in rodents by administration of adequate doses of haloperidol, reserpine, or bilateral 6-OHDA into the SN (Lorenc-Koci et al. [1995,](#page-154-5) [1996\)](#page-154-6). Both haloperidol and reserpine evoke a muscle rigidity with a lot of electromyographic (EMG) and mechanographic (MMG, muscle resistance) peculiarities similar to those observed in PD patients (Lorenc-Koci et al. [1995,](#page-154-5) [1996](#page-154-6); Wolfarth et al. [1996\)](#page-157-3). Specifically, such rigidity develops in response to passive movements and is characterized by increased resistance of rodent hindlimbs to passive displacement, potentiation of EMG components, and co-activation of antagonistic muscles in response to passive movements. Moreover, as in parkinsonian patients, a tonic EMG activity develops at rest, which reflects some difficulty in relaxing the muscles (Lee [1989](#page-154-7)).

This combined EMG and MMG method to measure haloperidol or reserpineinduced muscular rigidity has been validated by the fact that muscle rigidity can be reduced by anti-parkinsonian dopaminomimetic agents, including L-DOPA (Wardas et al. [2001\)](#page-157-4).

Although a generic effect of  $A_{2A}$  receptor antagonists on counteracting postural rigidity, one of the components of catalepsy induced by haloperidol or reserpine, has been shown in the above section of this chapter, a more precise evaluation of the anti-parkinsonian-like muscular rigidity exerted by these compounds has been made by means of this combined EMG and MMG method (Table [7.1](#page-143-0); Wardas [2003;](#page-157-5) Wardas et al. [2001](#page-157-4)).

Blockade of adenosine  $A_{2A}$  receptors counteracted both components (EMG and MMG) of muscle rigidity induced by haloperidol or reserpine plus alpha-methylp-tyrosine in rodents (Table [7.1](#page-143-0); Wardas [2003](#page-157-5); Wardas et al. [2001\)](#page-157-5). Furthermore, the blockade of adenosine  $A_{2A}$  receptors potentiated the alleviating effect of a low dose of L-DOPA which alone did not affect the reserpine- or haloperidol-induced muscular rigidity (Table [7.1](#page-143-0); Wardas [2003](#page-157-5); Wardas et al. [2001\)](#page-157-4). These beneficial effects on parkinsonian-like muscular rigidity of  $A_{2A}$  receptor antagonists have been suggested to be mediated by the facilitation of dopamine transmission at the postsynaptic level, as described above (Wardas [2003](#page-157-6); Wardas et al. [2001\)](#page-157-4). Moreover, although, the study by Varty et al. [\(2008](#page-157-7)) did not perform a specific measure of haloperidol-induced muscle rigidity by means of suitable equipment, counteraction

of this symptom was observed in primates after combined administration of  $A_{2A}$ receptor antagonists (Table [7.1](#page-143-0) and Fig. [7.2](#page-144-0); Varty et al. [2008](#page-157-7)). These findings regarding the effectiveness of  $A_{2A}$  receptor antagonists on muscle rigidity in rodent and primate PD models indicate that these drugs might be particularly effective in counteracting parkinsonian-like muscle rigidity in PD patients, which is often resistant to common anti-parkinsonian drugs.

### *Effect of A<sub>24</sub> Receptor Antagonist on Tremor Model of PD*

Another important anti-parkinsonian effect exerted by  $A_{2A}$  receptor antagonists is the anti-tremorigenic effect (Table [7.1](#page-143-0)). Indeed, tremor is one of the cardinal symptoms of parkinsonism, which is experienced by more than 70% of PD patients (Deuschl et al. [2012\)](#page-153-4). Tremor in PD is typically resting and disappears when voluntary movement is performed. The distal joints of the limbs are preferentially affected. Tremor can be intermittent and is increased by stress (Deuschl et al. [2012\)](#page-153-4). In addition, resting tremor has been shown to respond poorly to traditional antiparkinsonian medications, including L-DOPA (Jiménez and Vingerhoets [2012\)](#page-154-8). Therefore, research addresses improving the management of this disturbance. To date, experimental models of parkinsonian tremor characterized by tremulous jaw movements (TJMs) induced by several drugs, such as acetylcholinesterase inhibitors, muscarinic agonists, DA receptor antagonists, and neurotoxic degeneration of DA neurons, have been validated for evaluating the anti-tremorigenic effects of drugs (Cousins et al. [1997](#page-153-5); Ishiwari et al. [2005;](#page-154-9) Salamone et al. [1998](#page-156-7); Simola et al. [2004;](#page-156-8) for review see Chap. 8). These tremorigenic compounds produced TJMs which possess many of the pharmacological and electromyographic characteristics of the parkinsonian tremor in humans (for review see Collins-Praino et al. [2011;](#page-152-1) Chap. 8). Acute administration of several  $A_{2A}$  receptor antagonists significantly reversed jaw tremor induced by tacrine, pilocarpine, haloperidol, reserpine, and pimozide in rats, suggesting a beneficial use of these compounds as specific drugs against this parkinsonian symptom (Table [7.1](#page-143-0); Betz et al. [2009](#page-152-2); Collins et al. [2010](#page-152-3), [2012;](#page-152-4) Collins-Praino et al. [2011;](#page-152-1) Correa et al. [2004;](#page-153-6) Pinna et al. [2010](#page-155-2); Salamone et al. [2008;](#page-156-9) Simola et al. [2004,](#page-156-8) [2006;](#page-156-10) Tronci et al. [2007\)](#page-156-3). Consistent with these findings,  $A_{2A}$  receptor antagonism or genetic deletion of the adenosine  $A_{2A}$  receptor significantly attenuated the TJMs induced by pilocarpine in mice (Table [7.1](#page-143-0); Sal-amone et al. [2013\)](#page-156-11). The anti-tremorigenic effect of  $A_{2A}$  receptor antagonists appears to be focused particularly on the ventrolateral portion of the striatum, in which a specific increase in adenosine  $A_{2A}$  receptor mRNA expression was detected following dopamine denervation in hemiparkinsonian rats (Pinna et al. [2002;](#page-155-8) Simola et al. [2004\)](#page-156-8). Considering the important role played by an increase in striatal acetylcholine in tremor development, and the reduction of the evoked release of this neurotransmitter exerted by  $A_{2A}$  receptor antagonists, it might be suggested that modulation of this anticholinergic effect by blockade of the adenosine  $A_{2A}$  receptors may explain its anti-tremorigenic effect (Simola et al. [2004](#page-156-8), [2006\)](#page-156-10). Recently, it has been

suggested that  $A_{2A}$  receptor antagonists might also be used to modulate the antitremorigenic effect of STN deep brain stimulation in PD patients (for details see Chap. 8) (Collins-Praino et al. [2013](#page-153-7)). Taken together, these data concerning the efficacy of  $A_{2A}$  receptor antagonists achieved in rodent models of parkinsonian tremor show that  $A_{2A}$  receptor antagonism might be useful to attenuate parkinsonian-like resting tremor, a symptom hardly managed (for details see Chap. 8).

## *Persistent Efficacy of A<sub>24</sub> Receptor Antagonists on Cardinal Symptoms of PD*

Specific studies have thus been performed to verify whether the symptomatic anti-parkinsonian acute effects of  $A_{2A}$  receptor antagonists persist over time during repeated treatment in animal models of PD, as required by their utilization in a chronic pathology, such as PD. Indeed, discouraging results have been provided by the non-specific adenosine receptor antagonist caffeine, which loses its motor-stimulant effect during chronic exposure (Fredholm et al. [1999;](#page-153-8) Halldner et al. [2000\)](#page-153-9). In contrast to caffeine, chronic administration of  $A_{2A}$  receptor antagonists has been demonstrated to effectively improve motor deficits in rodent and primate models of PD, and not to produce tolerance to their motor-stimulant effects (Kanda et al. [1998;](#page-154-3) Koga et al. [2000;](#page-154-1) Pinna et al. [2001](#page-155-9)). Interestingly, repeated treatment with  $A_{2A}$  receptor antagonists for 1 and 2 weeks produced not merely tolerance, but also led to an enhancement of the intensity of the L-DOPA induced rotational behaviour compared with that observed after acute administration of  $A_{2A}$  receptor antagonists in hemiparkinsonian rats (Pinna et al. [2001](#page-155-9)). Similarly, combined administration of  $A_{2A}$  receptor antagonists with apomorphine produced a specific increase in duration rather than in intensity of rotational behaviour in hemiparkinsonian rats (Koga et al. [2000\)](#page-154-1). Moreover, the long-lasting efficacy of  $A_{2A}$  receptor antagonists in preventing the reduction of spontaneous locomotor activity has recently been demonstrated in both early (8 weeks of age) and mild to severe (12–22 weeks of age) parkinsonian genetic MitoPark mice (Marcellino et al. [2010](#page-155-10); Smith et al. [2014\)](#page-156-4).

## *Effects of A<sub>24</sub> Receptor Antagonists on L-DOPA Induced Motor Fluctuations Like Wearing-off and on–off Phenomena*

The main limitation of long-term therapy with L-DOPA in PD patients is characterized by motor fluctuations consistent with the progressive reduction of the drug's efficacy in preventing parkinsonian motor symptoms, usually known as "*wearingoff*" and "*on–off*" phenomena (Olanow et al. [2004\)](#page-155-11). During *wearing-off*, L-DOPA counteracts PD motor deficits for a shorter period of time, after which akinesia and rigidity become manifest again. In the *on–off* phenomenon, the patient fluctuates

from "*on*" periods in which the parkinsonian impairments are counteracted, to "*off*" periods in which the patient shows bradykinesia and rigidity. In hemiparkinsonian rats, the duration of rotational behaviour induced by L-DOPA progressively decreases during the long-term treatment with this drug, a phenomenon that mimics the *wearing-off* of L-DOPA observed in parkinsonian patients (Marin et al. [2005;](#page-155-12) Oh and Chase [2002\)](#page-155-13). Consistent with the acute effect of  $A_{2A}$  receptor antagonists producing an increased duration of rotational behaviour induced by L-DOPA or apomorphine (Koga et al. [2000;](#page-154-1) Pinna and Morelli [2014](#page-155-7)), the co-administration of the  $A_{2A}$  receptor antagonists with L-DOPA reversed the shortening of rotational behaviour, supporting a potential beneficial influence of adenosine  $A_{2A}$  receptor blockade on L-DOPA induced *wearing-off* (Bibbiani et al. [2003;](#page-152-5) Bové et al. [2002;](#page-152-6) [2006;](#page-152-7) Koga et al. [2000;](#page-154-1) Pinna and Morelli [2014\)](#page-155-7). However, when the  $A_{2A}$  receptor antagonist 8-(3-chlorostyryl)caffeine was chronically administered in combination with L-DOPA it seems to reverse, but not to prevent, the shortening response of rotational behaviour induced by repeated treatment with L-DOPA (Bové et al. [2002](#page-152-6)) . Despite this controversial single study, numerous clinical trials in advanced PD patients have demonstrated the efficacy of  $A_{2A}$  receptor antagonists in reducing the *wearing off* phenomenon and in increasing the *on period* (for review see Chap. 14), providing effort to approve the commercialization of the  $A_{2A}$  receptor antagonist istradefylline as a drug to counteract *wearing-off* in PD patients (for details see Chap. 13).

## *Effects of A2A Receptor Antagonists on L-DOPA Induced Dyskinesia*

Chronic therapy with L-DOPA is associated with the development of dyskinesia, characterized by abnormal involuntary movements (AIMs), such as dystonia (a painful, involuntary spasm of muscles in various parts of the body) and chorea (brief semi-directed, irregular movements that are not repetitive or rhythmic, but appear to flow from one muscle to the next), which are highly disabling for parkinsonian patients (Olanow et al. [2004\)](#page-155-11). As described extensively in the Chap. 9 by Morelli, the influence of adenosine  $A_{2A}$  receptor blockade on dyskinesia has been investigated by means of validated experimental paradigms in which dyskinetic movements induced by chronic L-DOPA are expressed both in hemiparkinsonian rodents (sensitization of rotational behaviour and/or AIMs affecting parts of the body contralateral to the lesion) (Henry et al. [1998](#page-153-10); Lundblad et al. [2003](#page-155-14); Pinna et al. [2001](#page-155-9); Tronci et al. [2007](#page-156-3)), and in parkinsonian MPTP-treated primates (dyskinetic movements affecting several parts of the body, similar to those observed in parkinsonian patients) (Bibbiani et al. 2005). Summarizing the main findings concerning dyskinesia, it has been demonstrated that  $A_{2A}$  receptor antagonists, when administered alone, did not induce dyskinesia in both rodents and primates previously rendered dyskinetic by chronic L-DOPA (Grondin et al. [1999](#page-153-2); Hodgson et al. [2010;](#page-154-2) Jones et al. [2013](#page-154-10); Kanda et al. [1998;](#page-154-3) Lundblad et al. [2002](#page-154-11)). Moreover, in

hemiparkinsonian rats, long-term treatment with a combination of an  $A_{2A}$  receptor antagonist and low doses of L-DOPA induced a stable response in both rotational behaviour and AIMs, suggesting that this association between the two drugs represents a treatment with a low dyskinetic potential (Hodgson et al. [2009](#page-154-0); Pinna et al. [2001;](#page-155-9) Tronci et al. [2007](#page-156-3)). Conversely, blockade of the adenosine  $A_{2A}$  receptors did not produce any effect on the severity of the AIMs induced by repeated L-DOPA at full dose, when the two drugs were chronically co-administered in hemiparkinsonian rats (Jones et al. [2013;](#page-154-10) Lundblad et al. [2003](#page-155-14)). Interestingly, this hypothesis has been supported by studies showing that genetic deletion of the adenosine  $A_{2A}$ receptor prevents the sensitization of rotational behaviour and AIMs stimulated by L-DOPA in hemiparkinsonian mice (Fredduzzi et al. [2002](#page-153-11); Xiao et al. [2006](#page-157-8)). Findings in dyskinetic parkinsonian primates confirmed that  $A_{2A}$  receptor antagonists associated with a low non-dyskinetic dose of L-DOPA may ameliorate satisfactory motor deficits, limiting the severity of L-DOPA induced dyskinesia (Hodgson et al. [2010;](#page-154-2) Kanda et al. [2000](#page-154-4)). Taken together, these results suggested that although no study has yet demonstrated the ability of  $A_{2A}$  receptor antagonists to revert an already established dyskinesia in both rodents and primates, the association of  $A_{2A}$ receptor antagonists with a low non-dyskinetic dose of L-DOPA might produce an efficient improvement of motor symptoms, with a concomitant reduction of the intensity of dyskinetic movements (for details see Chap. 9).

### **Conclusions**

In conclusion, data reported in the present chapter describe  $A_{2A}$  receptor antagonists as being extremely promising compounds to be used in the therapy of PD. Their potential is largely represented by the marked efficacy demonstrated in alleviating every cardinal PD motor symptom observed in pharmacological and toxicological animal models of PD. The findings achieved in both rodent and primate models of PD suggested that  $A_{2A}$  receptor antagonist agents might have symptomatic therapeutic effectiveness in the early stages of PD, when motor complications have not yet appeared, because  $A_{2A}$  receptor antagonists do not counteract dyskinesia. Specifically, they suggested that  $A_{2A}$  receptor antagonists, *per se*, may improve akinesia/bradykinesia, initiation of movement and gait impairments, and muscle rigidity, and, at the same time, ameliorate the sensorimotor integration deficits and tremor that characterize PD. Moreover, experiments performed in hemiparkinsonian rodents demonstrated that the combined administration of  $A_{2A}$  receptor antagonists with a low sub-threshold dose of L-DOPA potentiated the effect of L-DOPA Moreover, the persistent anti-parkinsonian efficacy of  $A_{2A}$  receptor antagonists during chronic treatment is of greatest interest in a condition requiring long-term pharmacological management, such as PD, in which drugs should retain their motor-facilitating properties over a chronic regimen. In addition, experimental data show the efficacy of  $A_{2A}$  receptor antagonists in reducing the *wearing off* phenomenon and in increasing the "*on periods"* with no exacerbation of dyskinesia.

Altogether, these preclinical studies demonstrate the need to investigate, through clinical trials, the potential utilization of  $A_{2A}$  receptor antagonists in the management of the cardinal symptoms of parkinsonian patients, both as monotherapies and in combination with low doses of L-DOPA.

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# **Chapter 8 Dopamine/Adenosine Interactions Related to Tremor in Animal Models of Parkinsonism**

**John D. Salamone, Samantha J. Podurgiel, Lauren L. Long, Eric J. Nunes and Mercè Correa**

**Abstract** Adenosine  $A_{2A}$  receptor antagonists have been shown to exert antiparkinsonian effects in human clinical studies and animal models. The present chapter reviews experiments that were conducted to study the role of adenosine  $A_{2A}$  receptors in the regulation of tremor. In particular, these studies have focused on the tremulous jaw movement model of Parkinsonian tremor. Systemic and intrastriatal injections of adenosine  $A_{2A}$  receptor antagonists have been shown to reduce the oral tremor induced by dopamine antagonists, dopamine depletion, and cholinomimetic stimulation. Adenosine  $A_{2A}$  receptor knockout mice are resistant to the pharmacological induction of tremulous jaw movements. Moreover, stimulation of adenosine  $A_{2A}$  receptors with CGS 21680 was capable of inducing tremulous jaw movements. These results demonstrate that adenosine  $A_{2A}$  antagonists can exert anti-tremor effects in animal models, which supports their use as antiparkinsonian agents in humans.

**Keywords** Tremulous jaw movements **·** Parkinson's disease **·** Caudate putamen **·**  Striatum • DARPP-32 •  $D_2 \cdot A_{2A}$  • Receptor • Electromyography

### **Introduction**

Neurotransmitter interactions in the basal ganglia are thought to regulate normal and pathological aspects of motor processes, including motor dysfunctions related to Parkinsonism (Collins-Praino et al. [2011\)](#page-168-0). Though much work has focused upon the role of caudate/putamen dopamine (DA), a substantial body of research has implicated several other basal ganglia neurotransmitters, including acetylcholine, serotonin, glutamate, and GABA, in aspects of basal ganglia-related motor function

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and dysfunction. Over the last several years, evidence has accumulated indicating that the purine neuromodulator adenosine also plays an important role in regulating the motor functions of the striatal complex, including both nucleus accumbens and neostriatum (Chen et al. [2001;](#page-167-0) Correa et al. [2004](#page-168-1); Ferré et al. [1997](#page-168-2); Hauber et al. [1998,](#page-169-0) [2001](#page-169-1); Ishiwari et al. [2007](#page-169-2); Kanda et al. [1994](#page-169-3); Pinna et al. [1997](#page-170-0), [1999,](#page-170-1) [2007;](#page-170-2) Salamone et al. [2008a,](#page-170-3) [b](#page-170-4); Simola et al. [2004](#page-171-0), [2006;](#page-171-1) Svenningsson et al. [1999](#page-171-2)). Much of this work has focused upon the functions of adenosine  $A_{2A}$  receptors. There are four G-protein coupled adenosine receptors, but the adenosine  $A_{2A}$  receptor subtype is expressed to a very high degree in DA-rich striatal regions (Cieslak et al. [2008;](#page-167-1) Ferré et al. [1997,](#page-168-2) [2001;](#page-168-3) Rosin et al. [1998](#page-170-5)). Adenosine  $A_{2A}$  receptors in the striatum are largely expressed on enkephalin-positive striatopallidal neurons that co-localize DA  $D_2$  receptors; these adenosine and DA receptors interact by forming heteromeric complexes and converging onto the same signal transduction mechanisms (Ferré et al. [1997](#page-168-2), [2001,](#page-168-3) [2008;](#page-168-4) Fuxe et al. [2003](#page-168-5); Hauber et al. [2001\)](#page-169-1). Because of the functional interactions between DA  $D_2$  and adenosine  $A_{2A}$  receptors, and the movement-related effects of adenosine  $A_{2A}$  receptor antagonists in animal models, it has been widely suggested that adenosine  $A_{2A}$  antagonists could be used as nondopaminergic treatments for Parkinsonian symptoms (Ferré et al. [1997](#page-168-2), [2001](#page-168-3); Fox [2013;](#page-168-6) Morelli and Pinna [2001;](#page-169-4) Morelli et al. [2010](#page-169-5); Pinna [2009](#page-170-6); Salamone [2010\)](#page-170-7). Several adenosine  $A_{2A}$  antagonists have been developed and assessed at various stages of human clinical trials, with variable results. While positive results have generally been shown with initial studies and Phase II clinical trials (LeWitt et al. [2008\)](#page-169-6), there have been mixed results upon further investigation and Phase III clinical trials in the US for drugs such as istradefylline, vipadenant, and preladenant (Barkhoudarian and Schwarzschild [2011](#page-167-2); Jenner [2014\)](#page-169-7). Nevertheless, istradefylline (NOURIAST) was recently approved for clinical use in Japan after the results of a clinical trial showing significant decreases in OFF time in patients also treated with L-DOPA (Mizuno and Kondo [2013\)](#page-169-8). These inconsistent results, with some promising indications of positive effects, point to the need for further assessment of adenosine  $A_{2A}$  antagonists in animal models.

A number of tests related to motor function are used in rodent models of Parkinsonism, and several of these procedures have been employed for the assessment of adenosine  $A_{2A}$  antagonists. The  $A_{2A}$  antagonist SCH 58261 reversed the rigidity induced by the DA antagonist haloperidol in rats (Wardas et al. [2001\)](#page-171-3), and the catalepsy induced by DA antagonists was shown to be attenuated by MSX-3 (Hauber et al. [1998](#page-169-0), [2001](#page-169-1); Salamone et al. [2008a\)](#page-170-3). Several studies have focused upon the effects of adenosine  $A_{2A}$  antagonists on locomotion in rodents. The adenosine  $A_{2A}$ antagonist KW-6002 reversed the suppression of locomotor activity induced by the monoamine depleting agent reserpine (Shiozaki et al. [1999](#page-171-4)). The reduced activity seen in  $D_2$  receptor deficient mice was rescued by istradefylline (Aoyama et al. [2000\)](#page-167-3). Systemic and intra-accumbens injections of adenosine  $A_{2A}$  antagonists reversed the suppression of locomotion induced by acute or subchronic injections of the  $D_2$  antagonists haloperidol and eticlopride (Collins et al. [2010b;](#page-168-7) Correa et al. [2004;](#page-168-1) Ishiwari et al. [2007](#page-169-2); Salamone et al. [2008a\)](#page-170-3).

#### **The Tremulous Jaw Movement Model**

Tremor is defined as a "periodic oscillation of a body member" (Findley and Gresty [1981](#page-168-8)), and can be a feature of several different movement disorders. Tremors are classified in various ways, including their local frequency, the state under which they occur (e.g., resting tremor, action tremor), and the pathological conditions associated with the tremor. Although resting tremor is one of the cardinal symptoms of idiopathic and drug-induced Parkinsonism, relatively few clinical studies have specifically emphasized the pharmacology of tremor (e.g. Schneider and Deuschl [2014](#page-171-5); Schrag et al. [1999](#page-171-6); Sung et al. [2008](#page-171-7)), and there is considerable uncertainty about the neurochemical mechanisms that underlie tremorogenesis (Bergman and Deuschl [2002;](#page-167-4) Deuschl et al. [2000](#page-168-9); Sung et al. [2008](#page-171-7)). Thus, it is important to focus attention on the neurochemistry and physiology of tremor (Muthuraman et al. [2008;](#page-169-9) Schneider and Deuschl [2014\)](#page-171-5), and studies employing animal models are a critical aspect of this strategy.

Drug-induced tremulous jaw movements are a well validated rodent model of Parkinsonian tremor (Collins-Praino et al. [2011](#page-168-0); Salamone et al. [1998](#page-170-8), [2005,](#page-170-9) [2008a](#page-170-3), [b\)](#page-170-4). Tremulous jaw movements are rapid vertical deflections of the lower jaw that are not directed at any stimulus (Salamone et al. [1998](#page-170-8)). Tremulous jaw movements in rats can be induced by several dopaminergic conditions that are known to be associated with Parkinsonism in humans, including neurotoxic depletion of striatal DA (Delattre et al. [2010](#page-168-10); Finn et al. [1997](#page-168-11); Jicha and Salamone [1991](#page-169-10); Rodriguez-Diaz et al. [2001\)](#page-170-10), DA depleting agents such as reserpine (Baskin and Salamone [1993;](#page-167-5) Salamone and Baskin [1996;](#page-170-11) Salamone et al. [2008a](#page-170-3), [b](#page-170-4); Steinpreis and Salamone [1993\)](#page-171-8) and tetrabenazine (Podurgiel et al. [2013a](#page-170-12)), and DA antagonists (i.e., Betz et al. [2007,](#page-167-6) [2009](#page-167-7); Ishiwari et al. [2005;](#page-169-11) Jicha and Salamone [1991;](#page-169-10) Steinpreis and Salamone [1993](#page-171-9); Steinpreis et al. [1993](#page-171-8); Trevitt et al. [1998\)](#page-171-10). The tremulous jaw movements induced by DA antagonists do not require chronic administration, and can be induced by either acute or subchronic treatments (Jicha and Salamone [1991;](#page-169-10) Steinpreis and Salamone [1993](#page-171-9); Steinpreis et al. [1993](#page-171-8); Trevitt et al. [1998\)](#page-171-10); thus, they are not strictly speaking a model of tardive dyskinesia (Collins-Praino et al. [2011\)](#page-168-0). Furthermore, although "typical" antipsychotics such as haloperidol and pimozide readily induce tremulous jaw movements, "atypical" antipsychotics such as clozapine, olanzapine and quetiapine do not (Betz et al. [2005](#page-167-8), [2009](#page-167-7); Ishiwari et al. [2005;](#page-169-11) Trevitt et al. [1998](#page-171-10), [1999](#page-171-11)). Tremulous jaw movements also are induced by cholinomimetic drugs such as muscarinic agonists (Baskin et al. [1994](#page-167-9); Salamone et al. [1986,](#page-170-13) [1990;](#page-170-14) Stewart et al. [1988](#page-171-12)) and the anticholinesterases physostigmine, tacrine and galantamine (Collins et al. [2011](#page-168-12); Kelley et al. [1989;](#page-169-12) Mayorga et al. [1997](#page-169-13)).

Considerable evidence indicates that tremulous jaw movements share many characteristics with Parkinsonian tremor. As measured by analysis of freeze-frame video, as well as electromyographic (EMG) methods, these movements occur in phasic bursts of repetitive jaw movement activity in the 3–7 Hz local frequency range, which resembles the local frequency of Parkinsonian resting tremor (Collins et al. [2010a](#page-167-10); Cousins et al. [1998;](#page-168-13) Finn et al. [1997](#page-168-11); Ishiwari et al. [2005](#page-169-11); Mayorga et al. [1997](#page-169-13); Podurgiel et al. [2013a](#page-170-12); Salamone and Baskin [1996](#page-170-11); Salamone et al. [1998](#page-170-8) see Fig. [8.1\)](#page-161-0). Tremulous jaw movements can be reduced by both established and

<span id="page-161-0"></span>

**Fig. 8.1** Full-wave rectified EMG traces (1.0 s) from the lateral temporalis muscle (i.e. a jaw closing muscle) of two rats showing drug-induced tremulous jaw movements. Bipolar fine wire tungsten electrodes were implanted into the muscle prior to drug treatment. *Top*: The rat received an IP injection of 4.0 mg/kg of the muscarinic agonist pilocarpine, and showed four tremulous jaw movements during this sweep. *Bottom*: The rat received an IP injection of 2.0 mg/kg of the DA depleting agent tetrabenazine, and showed three tremulous jaw movements during the middle part of this trace

putative antiparkinsonian drugs from several different classes, including DAergic agents such as apomorphine, L-DOPA, bromocriptine, ropinerole and pergolide (Cousins et al. [1997;](#page-168-14) Salamone et al. [2005](#page-170-9)), muscarinic antagonists (i.e., benztropine, scopolamine, atropine and tropicamide; Betz et al. [2007,](#page-167-6) [2009;](#page-167-7) Cousins et al. [1997;](#page-168-14) Steinpreis et al. [1993](#page-171-8)), the T-type calcium channel blocker zonisamide (Miwa et al. [2008](#page-169-14), [2009\)](#page-169-15), and the MAO-B inhibitor safinamide (Podurgiel et al. [2013b\)](#page-170-15). Furthermore, tremulous jaw movements can be attenuated by deep brain stimulation of the subthalamic nucleus (Collins-Praino et al. [2013\)](#page-168-15), which is a major brain target in human deep brain stimulation treatments for Parkinsonian patients.

Consistent with the known involvement of neostriatal mechanisms in human Parkinsonism, several lines of evidence indicate that the ventrolateral neostriatum (VLS) is a critical striatal subregion at which DA and acetylcholine receptor mechanisms interact to regulate tremulous jaw movements (see Salamone et al. [1998](#page-170-8), [2008a,](#page-170-3) [b\)](#page-170-4). Depletions of DA in the VLS by local injections of 6-hydroxydopamine were shown to induce tremulous jaw movements, while injections in other striatal regions were ineffective (Jicha and Salamone [1991](#page-169-10)). Local injections of the cholinomimetics physostigmine (Kelley et al. [1989\)](#page-169-12) and pilocarpine (Salamone et al. [1990](#page-170-14)) into the VLS induced tremulous jaw movements, while injections into other striatal areas did not. Extracellular levels of ACh in VLS as measured by microdialysis were significantly correlated with the jaw movements induced by the anticholinesterases tacrine and physostigmine (Cousins et al. [1999\)](#page-168-16). Cholinomimetic-induced tremulous jaw movements were suppressed by local injections of the muscarinic antagonist scopolamine into the VLS (Mayorga et al. [1997;](#page-169-13) Salamone et al. [1990](#page-170-14)). Hemicholinium, which reduces ACh synthesis by blocking high affinity choline uptake, was shown to suppress tacrine-induced jaw movements when injected into the VLS, but not into overlying cortex (Cousins et al. [1999\)](#page-168-16). The suppression of pilocarpine-induced jaw movements that was produced by the DA  $D_1$  agonist SKF 82958 was reversed by injections of the  $D_1$  antagonist SCH 23390 into VLS, but not overlying cortex (Mayorga et al.

[1999a\)](#page-169-16). In addition, injections of the c-AMP analogue 8-bromo-c-AMP into the VLS suppressed pilocarpine-induced jaw movements, while injections into cortex were ineffective (Mayorga et al. [1999b\)](#page-169-17). Anatomical evidence indicates that the VLS is the homologue of the ventral region of putamen, and that this region of striatum receives input from sensory and motor cortices related to head, orofacial and forepaw areas (Salamone et al. [1998](#page-170-8)). The lateral striatum of rodents, like the putamen of primates, is somatotopically organized, and the putamen is a striatal region that is associated with tremor in Parkinson's disease (Salamone et al. [1998\)](#page-170-8).

One important striatal output pathway that appears to be important for the tremulous jaw movements induced by DA antagonism is the GABAergic striatopallidal system. Recent studies were undertaken to determine if extracellular levels of GABA in globus pallidus are associated with the induction of tremulous jaw movements by the DA  $D_2$  antagonist haloperidol (Collins-Praino et al. [2012](#page-168-17)). Both acute and repeated haloperidol administration induced tremulous jaw movements, and also significantly increased extracellular GABA in globus pallidus as measured by microdialysis. Pooling across the different treatment conditions, there was a significant positive correlation between pallidal GABA levels and the number of tremulous jaw movements induced during the first three samples collected after haloperidol injection. Interestingly, administration of 4.0 mg/kg pilocarpine had no effect on pallidal GABA release, despite the ability of this drug to induce tremulous jaw movements. These results indicate that the tremulous jaw movements induced by  $DA D<sub>2</sub>$  receptor antagonism and those induced through muscarinic receptor stimulation appear to be generated via distinct mechanisms.

### **Adenosine A2A Receptor Regulation of Tremulous Jaw Movements**

As reviewed above, considerable evidence indicates that tremulous jaw movements are a useful model for investigating the anatomy, pathophysiology, neurochemistry and pharmacology of tremor. Within the last decade, this model has been used to study the potential tremorolytic effects of adenosine  $A_{2A}$  antagonists. Correa et al. [\(2004](#page-168-1)) reported that KF 17837 could suppress the tremulous jaw movements induced by repeated administration of haloperidol. Simola et al. [\(2004\)](#page-171-0) observed that the tremulous jaw movements induced by systemic administration of the anticholinesterase tacrine could be suppressed by the adenosine  $A_{2A}$  antagonist SCH 58261. Since those initial reports, a wide variety of adenosine  $A_{2A}$  antagonists, including istradefylline, SCH BT2, ST1535, Lu AA47070, MSX-3 and MSX-4, have all been shown to suppress the tremulous jaw movements induced by DA antagonists or cholinomimetics (Betz et al. [2009;](#page-167-7) Collins et al. [2010a](#page-167-10), [2012;](#page-168-18) Correa et al. [2004;](#page-170-4) Salamone et al. [2008a;](#page-170-3) Santerre et al. [2012](#page-171-13); Simola et al. [2004,](#page-171-0) [2006;](#page-171-1) Tronci et al. [2007](#page-171-14)). MSX-3 also was shown to suppress the tremulous jaw movements induced by tetrabenazine, which depletes DA via antagonism of vesicular storage (Podurgiel et al. [2013a\)](#page-170-12). In contrast, the selective adenosine  $A_1$  antagonist DPCPX (8-cyclopentyl-1,3-dipropylxanthine) failed to suppress the tremulous jaw movements that were induced by either the

muscarinic agonist pilocarpine or the DA antagonist pimozide (Collins et al. [2010a\)](#page-167-10). The anti-tremor effects of adenosine  $A_{2A}$  antagonists also have been shown to be induced by local administration of adenosine antagonists directly into the VLS (Salamone et al. [2008a;](#page-170-3) Simola et al. [2004](#page-171-0), [2006;](#page-171-1) Tronci et al. [2007\)](#page-171-14), which is consistent with the known involvement of this striatal subregion in tremorogenesis.

Additional lines of evidence support the involvement of adenosine  $A_{2A}$  receptors in the generation of tremulous jaw movement activity. Systemic administration of sub-sedative doses of the selective adenosine  $A_{2A}$  agonist CGS 21680 was able to induce tremulous jaw movements (Collins-Praino et al. [2011\)](#page-168-0). Furthermore, tremulous jaw movements can be induced in mice as well as rats, and some studies have investigated the effects of adenosine  $A_{2A}$  receptor knockout on these movements. Conditional neural knockout of adenosine  $A_{2A}$  receptors in mice with a C57/Bl6 background suppressed the tremulous jaw movements induced by the muscarinic agonist pilocarpine (Salamone et al. [2013\)](#page-171-15). In addition, the tremulous jaw movements induced by the DA depleting agent tetrabenazine were suppressed in adenosine  $A_{2A}$  receptor knockout mice with a CD1 background (Podurgiel et al. [2013a\)](#page-170-12). Together with the research focusing on the effects of adenosine  $A_{2A}$  receptor antagonists, these experiments with knockout mice provide substantial support for the hypothesis that adenosine  $A_{2A}$  receptors participate in the regulation of tremorogenesis.

Recent studies assessed the effects of deep brain stimulation of the subthalamic nucleus on tremulous jaw movements in rats, and also investigated the interaction between brain stimulation and administration of an adenosine  $A_{2A}$  antagonist (Collins-Praino et al. [2013](#page-168-15)). Subthalamic deep brain stimulation reduced the tremulous jaw movements induced by the DA  $D_2$  family antagonist pimozide and the cholinomimetics pilocarpine and galantamine. The effectiveness of the anti-tremor actions of deep brain stimulation was dependent upon the neuroanatomical locus being stimulated (i.e., subthalamic nucleus vs. a striatal control site), and also upon the frequency and intensity of stimulation used. Importantly, administration of the adenosine  $A_{2A}$  receptor antagonist MSX-3 reduced the frequency and intensity parameters needed to attenuate tremulous jaw movements, making the animals more sensitive to the tremor suppression produced by brain stimulation (Collins-Praino et al. [2013](#page-168-15)). These results have implications for the clinical use of deep brain stimulation combined with adenosine  $A_{2A}$  receptor antagonism in human patients.

## **D2 /A2A Interactions and Markers of Signal Transduction**

As described above, adenosine  $A_{2A}$  receptors in striatal areas are co-localized with DA D<sub>2</sub> receptors on enkephalin-positive medium spiny neurons. These receptors can form heteromers, and also converge onto the same cAMP/protein kinase A related signal transduction cascade.  $D_2$  receptors are linked to  $G_i$ , which results in an inhibition of adenylate cyclase activity, while  $A_{2A}$  receptor stimulation increases adenylate cyclase activity via actions on G*s/olf* (Ferré et al. [2008\)](#page-168-4). Because of these opposing effects on signal transduction mechanisms, one of the plausible mechanisms for the neural basis of  $A_{2A}/D_2$  interactions is that the signal transduction effects of reduced  $D_2$ 

receptor transmission are reversed by blockade of  $A_{2A}$  receptors. Based upon this idea, several studies have used markers of signal transduction activity to characterize the pharmacological interaction between drugs acting on  $A_{2A}$  and  $D_2$  receptors. One useful marker of the cellular effects of  $D_2$  receptor antagonism is the induction of c-Fos immunoreactivity in neostriatum. Pinna et al. ([1999](#page-170-1)) reported that the induction of neostriatal c-Fos immunoreactivity by haloperidol was reduced by co-administration of the adenosine  $A_{2A}$  antagonist SCH 58261. Betz et al. [\(2009](#page-167-7)) studied the effect of istradefylline on pimozide-induced tremulous jaw movements, and in parallel, measured the expression of c-Fos in the striatum. A dose of istradefylline that reduced pimozide-induced tremulous jaw movements also suppressed the induction of VLS c-Fos expression in pimozide-treated rats (Betz et al. [2009\)](#page-167-7). Farrar et al. [\(2010\)](#page-168-19) studied  $A_{2A}/D_2$  interactions in the ventral striatum, and found that intracranial injections of a dose of MSX-3 that reversed the behavioral effects of the  $D_2$  antagonist eticlopride also reversed eticlopride-induced increases in c-Fos immunoreactive cells. Santerre et al. ([2012](#page-171-13)) reported that eticlopride-induced increases in ventral striatal c-Fos immunoreactivity were suppressed by behaviorally active doses of systemically administered MSX-3 and MSX-4. Furthermore, the induction of ventral and dorsal striatal c-Fos expression by administration of the DA depleting agent tetrabenazine also was suppressed by MSX-3 (Nunes et al. [2013;](#page-170-16) Podurgiel et al. [2013a](#page-170-12)).

Another critical marker of striatal signal transduction activity is DA and c-AMP-related phosphoprotein (DARPP-32; Bateup et al. [2008](#page-167-11)).  $D_1$  receptor stimulation increases c-AMP production and protein kinase A (PKA) activity, which phosphorylates DARPP-32 to yield pDARPP-32(Thr34). This effect is thought to take place predominantly in substance P-positive neurons that mainly express  $D_1$  receptors.  $D_2$  receptor stimulation decreases c-AMP production and PKA activity, which decreases the dephosphorylation of pDARPP-32(Thr75) by protein phosphatase 2A (PP-2A), and therefore increases pDARPP-32(Thr75) expression and decreases pDARPP-32(Thr34) expression in enkephalin-positive neurons (Bateup et al. [2008](#page-167-11)). In turn, blockade of  $D_2$  receptors is thought to have the opposite effect, which would lead to an increase in the expression of pDARPP-32(Thr34) in enkephalin-positive medium spiny striatal neurons. Recently it was shown that administration of the selective  $D_2$  receptor antagonist eticlopride increased expression of ventral striatal pDARPP-32(Thr34) (Santerre et al. [2012\)](#page-171-13). Consistent with the hypothesized interaction between adenosine  $A_{2A}$  and DA  $D_2$  receptors, this effect of eticlopride was attenuated by co-administration of behaviorally effective doses of the  $A_{2A}$  antagonists MSX-3 and MSX-4 (Santerre et al. [2012\)](#page-171-13).

More recent studies have focused on the effects of tetrabenazine, which depletes striatal DA (Nunes et al. [2013\)](#page-170-16). Immunocytochemical analyses of different forms of phosphorylated DARPP-32 indicated that tetrabenazine significantly increased ventral striatal expression of both pDARPP-32(Thr34) and pDARPP-32(Thr75). Based upon previous studies, these results suggested that tetrabenazine-induced increases in pDARPP-32(Thr75) would reflect reduced transmission at DA  $D_1$ family receptors in substance P positive neurons, while the increases in pDARPP- $32(Thr34)$  would mark reduced transmission at DA  $D_2$  family receptors located on enkephalin-positive neurons (Bateup et al. [2008;](#page-167-11) Santerre et al. [2012](#page-171-13); Svenningsson et al. [2004](#page-171-16); Yger and Girault [2011](#page-171-17); see Fig. [8.2,](#page-165-0) top). Indeed, immunofluorescence

<span id="page-165-0"></span>

**Fig. 8.2** *Top*: This diagram shows the localization of DA and adenosine receptor subtypes on striatal medium spiny neurons, and depicts the effects of tetrabenazine, which depletes DA, on markers of striatal signal transduction (based upon data from Nunes et al. [2013](#page-170-16)). Tetrabenazine increased expression of pDARPP-32(Thr75), which reflects reduced transmission at DA  $D_1$  family receptors in substance P positive neurons. Tetrabenazine also increased expression of c-Fos and  $pDARP-32(Thr34)$ , which marked reduced transmission at  $DA$   $D_2$  family receptors located on enkephalin-positive neurons. *Bottom*: Expression of c-Fos, pDARPP-32(Thr34), and pDARPP-32(Thr75) immunoreactivity in ventral striatum after injection of vehicle plus vehicle (Veh/Veh), 0.75 mg/kg tetrabenazine plus vehicle (TBZ/Veh), or tetrabenazine plus 2.0 mg/kg MSX-3 (Photomicrographs of individual animals; group data are described in Nunes et al. [2013\)](#page-170-16). As described in text, MSX-3 reduced expression of pDARPP-32(Thr34), but did not affect pDARPP-32(Thr75) immunoreactivity. *AC* adenylate cyclase; *PKA* protein kinase A; *SP* substance P; *ENK* enkephalin

double-labeling for different forms of phosphorylated DARPP, as well as the peptides substance P and enkephalin, confirmed this hypothesized effect of tetra-benazine (Nunes et al. [2013](#page-170-16)). Interestingly, the adenosine  $A_{2A}$  antagonist MSX-3 attenuated the effects of tetrabenazine on pDARPP-32(Thr34) expression, but not pDARPP-32(Thr75) expression (Nunes et al. [2013;](#page-170-16) see Fig. [8.2](#page-165-0), bottom). This pattern of effects is consistent with studies demonstrating that adenosine  $A_{2A}$  receptors are co-localized with  $D_2$  receptors on enkephalin-positive neurons, but not with  $D_1$ receptors on substance-P positive neurons (Svenningsson et al. [1999](#page-171-2)), and that  $A_{2A}$ and  $D_2$  receptors can form heteromers, and interact via convergence onto c-AMP signal transduction cascades (Ferré et al. [2008\)](#page-168-4).

Taken together, these studies on signal transduction pathways provide valuable neural markers of the interactions between  $A_{2A}$  and  $D_2$  receptors, which can offer comparisons with the behavioral measures that are used to characterize this interaction. Furthermore, they provide insights into the cellular mechanisms underlying the antiparkinsonian effects of  $A_{2A}$  antagonists.

### **Summary and Conclusions**

In summary, a number of studies have shown that adenosine  $A_{2A}$  antagonists can attenuate the tremulous jaw movements induced by DA antagonists, DA depletion, and cholinomimetic drugs (see review by Collins-Praino et al. [2011\)](#page-168-0). Furthermore, adenosine  $A_{2A}$  antagonism appears to enhance sensitivity to the tremor suppression induced by subthalamic nucleus deep brain stimulation (Collins-Praino et al. [2013\)](#page-168-15). These findings are broadly consistent with the hypothesis that adenosine  $A_{2A}$  antagonists could be useful as treatments for idiopathic Parkinson's disease, as well as the drug-induced Parkinsonism resulting from administration of antipsychotic drugs. Moreover, studies showing tremorolytic effects of adenosine  $A_{2A}$ antagonists in animal models are consistent with the results of Bara-Jimenez et al. [\(2003](#page-167-12)), who reported that istradefylline was particularly effective at suppressing tremor. Although clinical studies often do not provide direct or objective measures of specific symptoms such as tremor, it may be useful for future clinical studies to provide such information. For example, it is possible that adenosine  $A_{2A}$  antagonists would be particularly effective at suppressing tremor relative to other symptoms, or for the treatment of tremor-dominant Parkinson's disease.

In addition to being characterized by cardinal motor symptoms such as akinesia and tremor, Parkinson's Disease patients also can show a variety of non-motor symptoms, including motivational or depression-related symptoms such as anergia, fatigue, or lack of exertion of effort (Salamone et al. [2010\)](#page-170-7). Moreover, similar psychomotor/motivational dysfunctions are frequently seen in patients with major depression or related disorders (Salamone et al. [2007,](#page-170-17) [2010;](#page-170-7) Treadway et al. [2012\)](#page-171-18). A large body of data from studies involving animal models of behavioral activation and effort-related functions indicates that adenosine  $A_{2A}$  antagonists can reverse the motivational dysfunctions induced by  $D_2$  antagonists and tetrabenazine (Farrar et al. [2007,](#page-168-20) [2010;](#page-168-19) Mott et al. [2009](#page-169-18); Nunes et al. [2010](#page-169-19), [2013](#page-170-16); Pardo et al. [2012;](#page-170-18) Salamone et al. [2009\)](#page-170-19), as well as the pro-inflammatory cytokine interleukin 1β (Nunes et al. [2014\)](#page-170-20). Taken together with the research involving motor dysfunctions such as akinesia and tremor, these studies on effort-related motivational functions strongly suggest that adenosine  $A_{2A}$  antagonists offer much in the way of therapeutic utility.

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# **Chapter 9 Adenosine A2A Receptor Antagonists in L-DOPA-Induced Motor Fluctuations**

**Giulia Costa and Micaela Morelli**

**Abstract** Motor fluctuations, and in particular dyskinesia, affect a large percentage of parkinsonian patients under dopamine replacement therapy. Adenosine  $A_{2A}$ receptor antagonists may be a new strategy for the treatment of Parkinson's disease (PD) since they potentiate L-DOPA efficacy without worsening dyskinesia. By discussing recent studies in rodents, non-human primates and humans, this chapter summarizes the pharmacology of adenosine  $A_{2A}$  receptor antagonist and their interaction with dopaminergic, glutamatergic and cannabinoid receptors, with specific relevance to motor fluctuations and dyskinesia.

**Keywords** 6-OHDA **·** AIMs **·** Basal ganglia **·** Dopamine replacement therapy **·**  Dyskinesia **·** Istradefylline **·** MPTP **·** Preladenant **·** Primate **·** Rat

## **Parkinson's Disease-Linked Motor Impairment and L-DOPA-Associated Motor Complications**

The motor symptoms that characterize Parkinson's disease (PD), i.e. bradykinesia, rigidity, and postural instability, are primarily due to the degeneration of the dopaminergic nigrostriatal neurons; therefore, PD therapy is mainly based on the replacement of impaired dopaminergic transmission (Marsden [1994](#page-188-0); Olanow and Tatton [1999\)](#page-189-0).

Dopamine (DA) replacement therapy (DRT), such as the DA precursor L-3,4-dihydroxyphenylalanine (L-DOPA), has significantly advanced the pharmacological treatment of PD, improving survival (Lloyd et al. [1975](#page-188-1)). However, a major limiting factor in chronic and pulsatile L-DOPA therapy is the development of motor fluctuations that appear after several years of treatment (Jenner [2008;](#page-187-0) Obeso et al. [2000\)](#page-189-1). These motor disturbances are characterized by "ON" periods in which the patient fully responds to the treatment, and "OFF" periods in which the patient has severe immobility, the duration of the effect of L-DOPA is decreased (*wearing-off* ), and

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<span id="page-173-0"></span>

**Fig. 9.1** Summary of key points to be considered at the origin of motor fluctuations

dyskinesia is present. Dyskinesia affects about 40% of chronically treated patients (Jankovic [2005](#page-187-1); Nutt [1987;](#page-189-2) Obeso et al. [2004](#page-189-3)) and consists of involuntary choreicdystonic movements that are usually very severe, including twitches, jerking, twisting, or restlessness, when the drug produces its maximal effect. Motor fluctuations and dyskinesia, however, are not only due to the duration, dosage, and pulsatile administration of the pharmacologic treatment, but are also linked to intrinsic factors, such as the degree of loss of dopaminergic innervation in the basal ganglia (BG) (Papa et al. [1994](#page-189-4)) (Fig. [9.1](#page-173-0)).

While in the early stages of PD L-DOPA is transformed into DA, released, and reuptaked steadily in the remaining presynaptic dopaminergic terminals, in the later stages, when dopaminergic innervation is totally lost, L-DOPA is mainly transformed into DA in non-dopaminergic neurons, such as the serotoninergic neurons, where no DA reuptake occurs or autoreceptors are present, and, therefore, the duration of the effect of L-DOPA seems to reflect its plasma half-life rise and fall (Carta and Tronci [2014](#page-186-0); Sohn et al. [1994](#page-190-0)). Continuous administration of L-DOPA, in contrast, causes less dyskinesia (Bezard [2013](#page-185-0); Jenner [2004;](#page-187-2) Xie et al. [2014](#page-191-0)).

L-DOPA-associated motor fluctuations, and in particular dyskinesia, are very difficult to treat since they can only be improved by reducing the L-DOPA dosage, but this reduction worsens the motor symptoms of PD.

Prolonged and pulsatile L-DOPA treatment induces long-term neuronal changes that are at the basis of motor fluctuations. At the same time, high doses of L-DOPA are more dyskinetic than low doses, and high dopaminergic neuron degeneration underlies more severe dyskinesia.

### **DRT Inadequacy and Long-Term Outcome**

At the beginning of the treatment, DRT is very effective; however, as mentioned above, DRT is also at the origin of a number of motor complications, which appear several years after starting treatment (Jenner [2008;](#page-187-0) Obeso et al. [2000](#page-189-1)).

Pulsatile stimulation of the denervated DA receptors by L-DOPA or short-acting dopaminergic agents exposes the BG to non-physiologically high (at peak of dose) or low (at the end of each treatment period) stimulation (Bezard [2013;](#page-185-0) Chase [1998;](#page-186-1) Jenner [2004](#page-187-2); Olanow and Obeso [2000;](#page-189-5) Xie et al. [2014](#page-191-0)), leading to irreversible maladaptive neuroplasticity, which underlies the development of dyskinesia (Cenci and Lundblad [2006](#page-186-2); Picconi et al. [2003](#page-189-6)). The oscillations in DA receptor stimulation are known to activate early genes (Canales and Graybiel [2000](#page-185-1); Carta et al. [2002](#page-186-3); Pavón et al. [2006](#page-189-7); Westin et al. [2001\)](#page-190-1), alter neuronal firing activity (Boraud et al. [2001;](#page-185-2) Calabresi et al. [2000\)](#page-185-3), and induce the loss of low-frequency stimulation, a form of plasticity that facilitates information storage in the neuronal networks of the BG (Picconi et al. [2003\)](#page-189-6).

These shortfalls have prompted research to find non-dopaminergic adjunctive treatments that modulate dopaminergic transmission, rather than stimulating it directly, in order to reduce the above-mentioned side effects. Among the nondopaminergic pharmacologic approaches to minimize motor dysfunction in PD, antagonists of adenosine  $A_{2A}$  receptors have emerged as leading candidates (Kanda and Uchida [2014](#page-188-2); Morelli et al. [2007](#page-189-8); Schwarzschild et al. [2006;](#page-190-2) Xu et al. [2005\)](#page-191-1). The basis of this proposal, arising from research in experimental animals, in which  $A_{2A}$  receptor antagonists, by potentiating the effects of L-DOPA, allowed the reduction of the L-DOPA dosage, decreasing, in turn, the long-term consequences of its chronic administration.

## **Studies with**  $A_{2A}$  **Receptor Antagonists or**  $A_{2A}$  **Receptor Knockout (KO) Rodents in PD Models**

The unilaterally 6-hydroxydopamine (6-OHDA)-lesioned rat is the most utilized model to mimic PD. In this model, degeneration of the nigrostriatal dopaminergic neurons is caused by 6-OHDA, producing a unilateral parkinsonism (Ungerstedt [1968\)](#page-190-3). Upon DA receptor agonists administration in this model, it is possible to evaluate both the contralateral rotational behavior, which indicates the therapeutic response to a drug used in PD, and the abnormal involuntary movements (AIMs), which indicate the propensity of the drug to induce dyskinesia (Carta et al. [2006a](#page-186-4), [b;](#page-186-5) Lundblad et al. [2002;](#page-188-3) Ungerstedt [1971](#page-190-4)). AIMs consist of forelimb dyskinesia, axial dystonia, and oral dyskinesia, and have a predictive validity as a model of clinical dyskinesia (Fig. [9.2\)](#page-175-0). Moreover, administration of L-DOPA or DA receptor agonists, either once or repeatedly, leads to sensitization of contralateral rotational behavior (Carta et al. [2006a](#page-186-4)) that correlates with biochemical changes that are similar to those observed in AIMs and to those correlated with dyskinesia in humans (Frau et al. [2013;](#page-187-3) Henry et al. [1998;](#page-187-4) Lindgren et al. [2007](#page-188-4)).

Chronic administration of  $A_{2A}$  receptor antagonists together with L-DOPA at dosages that induced the same number of contralateral rotations of a full effective dose of L-DOPA alone, did not lead to a modification of the intensity of rotational behavior or AIMs during treatment, while L-DOPA alone produced a sensitization in rotational behavior intensity (index of dyskinesia), and increased the intensity of AIMs (Pinna et al. [2001](#page-189-9); Tronci et al. [2007](#page-190-5)), strongly indicating the lack of dyskinetic potential of  $A_{2A}$  receptor antagonists.

<span id="page-175-0"></span>

**Fig. 9.2** Rat affected by forelimb, axial, and orolingual AIMs. The purposeless up-and-down movement of the forelimb is called forelimb AIMs. The twisting movement of the neck and upper trunk towards the side contralateralto the lesion is called axial AIMs. Orolingual AIMs comprise opening and closing of the jaw and tongue protrusion towards the side contralateral to the lesion.

Interesting results were obtained in a different experimental setting by Lundblad et al. [\(2003](#page-188-5)), in which the authors showed that in unilaterally 6-OHDA-lesioned rats, rendered dyskinetic by chronic administration of L-DOPA, different  $A_{2A}$  receptor antagonists, given in combination with a full effective dose of L-DOPA, did not affect the severity of AIMs. Most recent studies with the new  $A_{2A}$  receptor antagonist SCH 412348, showed that  $A_{2A}$  receptor antagonists neither exacerbated nor prevented the induction of AIMs when administered with chronic L-DOPA (Hodg-son et al. [2009](#page-187-5); Jones et al. [2013\)](#page-188-6). Altogether, these results suggest that  $A_{2A}$  receptor antagonists have a reduced dyskinetic potential and, when administered with L-DOPA, do not worsen L-DOPA-induced dyskinesia. The same issue was evaluated in  $A_{2A}$  KO mice, providing preclinical evidence that sensitization of contralateral rotational behavior and AIMs were prevented in these mice (Fredduzzi et al. [2002;](#page-187-6) Xiao et al. [2006\)](#page-191-2).

Although limitations in the models used to evaluate the efficacy of  $A_{2A}$  receptor antagonists exists (Pinna and Morelli [2014\)](#page-189-10), these studies clarified the role of the  $A_{2A}$  receptor in the development/expression of sensitized responses to repeated L-DOPA administration. They collectively indicate that  $A_{2A}$  receptor antagonists, besides having a low therapeutic activity and reduced dyskinetic liability relative to L-DOPA, do not block established dyskinesia or dyskinesia induced by L-DOPA when the two drugs are coadministered chronically. Moreover, in these studies, a prolongation of L-DOPA efficacy was observed, suggesting a potential therapeutic efficacy of  $A_{2A}$  receptor antagonists on *wearing off* and ON/OFF when administered in association with L-DOPA (Hodgson et al. [2009;](#page-187-5) Pinna et al. [2001](#page-189-9)).

### **Studies with A2A Receptor Antagonists in Primate Models of PD**

The issue of  $A_{2A}$  receptor antagonists and motor fluctuations/dyskinesia was also evaluated in 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP)-treated nonhuman primates, the best model so far available to reproduce the motor symptoms

and complications of PD. Studies in non-human primates are essential for the investigation of new drugs in clinical trials. In these studies, similar to unilaterally 6-OHDA-lesioned rats, the acute administration of the  $A_{2A}$  receptor antagonist istradefylline (KW-6002), in chronically L-DOPA-treated animals showing motor complications, enhanced the antiparkinsonian action of a low dose of L-DOPA with no exacerbation of the existing dyskinesia and, very importantly, istradefylline did not lose its efficacy when it was administered chronically (Grondin et al. [1999;](#page-187-7) Kanda et al. [2000\)](#page-188-7). A further interesting study was performed by the research group of Bibbiani et al. ([2003\)](#page-185-4) who showed that istradefylline delayed the shortening of the motor response after chronic administration of the mixed  $DA D_1 - D_2$  receptor agonist apomorphine, suggesting that  $A_{2A}$  receptors may play an important role in the development of dyskinesia, rather than its expression, in non-human primates.

Moreover, a study by Hodgson et al. ([2010\)](#page-187-8) showed that in MPTP-treated cynomolgus monkeys rendered dyskinetic by chronic L-DOPA, administration of the  $A_{2A}$  receptor antagonist preladenant (SCH 420814) alone produced no evidence of dyskinesia at a dose effective in inducing motor activation. Similarly, in association with L-DOPA, both preladenant and istradefylline while decreasing the parkinsonian deficits caused by MPTP and potentiating locomotor activity induced by L-DOPA, did not increase any dyskinesia or motor complications induced by L-DOPA (Hodgson et al. [2010;](#page-187-8) Uchida et al. [2014](#page-190-6)).

## **Clinical Studies on A2A Receptor Antagonists**

Several clinical trials with  $A_{2A}$  receptor antagonists have so far been performed in PD patients with advanced disease and motor complications. In the majority of those studies,  $A_{2A}$  receptor antagonists were utilized as an adjunct to L-DOPA, and results generally showed that the  $A_{2A}$  receptor antagonists tested were safe and well tolerated (Kanda and Uchida [2014](#page-188-2); Pinna [2014](#page-189-11)). Regarding antiparkinsonian efficacy, the drugs were, in a consistent way, effective in reducing the waking time spent in the OFF state and increasing the ON state. During the ON state, an increase in dyskinesia classified as non-troublesome was observed (Kanda and Uchida [2014;](#page-188-2) Pinna [2014](#page-189-11)).

The  $A_{2A}$  receptor antagonists so far tested in Phase II, IIB or III trials are istradefylline (manufactured by Kyowa Hakko Kyogo, now Kyowa Hakko Kirin), vipadenant (BIIB014; manufactured by Vernalis and commercialized in collaboration with Biogen Idec), preladenant (manufactured by Schering-Plough Corp, and now manufactured by Merck) and tozadenant (SYN115; manufactured by Biotie) (Factor et al. [2013](#page-186-6); Hauser et al. [2003,](#page-187-9) [2011,](#page-187-10) [2014](#page-187-11); Kase et al. [2003](#page-188-8); LeWitt et al. [2008;](#page-188-9) Papapetropoulos et al. [2010;](#page-189-12) Stacy et al. [2008](#page-190-7); Tao and Liang [2015](#page-190-8); Zhu et al. [2014\)](#page-191-3).

The current clinical results show that istradefylline, the first  $A_{2A}$  receptor antagonists entered in clinical trials, produced mixed results, although the most consistent results demonstrated that the drug had a beneficial effect on the *wearing off* and on motor fluctuations (Hauser et al. [2008](#page-187-12); Knebel et al. [2012](#page-188-10); Mizuno and Kondo [2013\)](#page-188-11). In an initial trial by Bara-Jimenez et al. [\(2003](#page-185-5)), istradefylline had no effect when added to an optimal dose of L-DOPA, while it improved PD motor scores when added to a low-dose L-DOPA. The antiparkinsonian response, when it was added to low-dose L-DOPA, was similar to an optimal dose of L-DOPA, while dyskinesia was lower than that observed with L-DOPA. The suggestion originating from this study was that a low dose of L-DOPA plus istradefylline might produce an antiparkinsonian benefit with reduced dyskinesia compared with a full dose of L-DOPA. However, for practical reasons, this experimental setting was not tested in further clinical Phase II or IIB trials, which were instead performed by adding istradefylline to an optimal dose of L-DOPA (Hauser et al. [2003](#page-187-9); Kase et al. [2003;](#page-188-8) LeWitt et al. [2008;](#page-188-9) Stacy et al. [2008;](#page-190-7) Tao and Liang [2015;](#page-190-8) Zhu et al. [2014\)](#page-191-3). In those studies, the drug increased the ON time, but dyskinesia, although classified as nontroublesome, increased more than in placebo-treated subjects.

Clinical use of istradefylline was approved in Japan in 2013, but is not approved in the United States of America (Kyowa Hakko Kirin Co. Ltd. Approval for manufacturing and marketing of NOURIAST® tablets 20 mg, a novel antiparkinsonian agent [\(2013](#page-188-12)) News release [available at [http://www.kyowa-kirin.com/news\\_releas](http://www.kyowa-kirin.com/news_releases/2013/e20130325_04.html)[es/2013/e20130325\\_04.html](http://www.kyowa-kirin.com/news_releases/2013/e20130325_04.html)]).

Concerning preladenant, early studies revealed promising effects of this compound on OFF periods since OFF time was significantly reduced compared with placebo, while ON time with non-troublesome dyskinesia was at the same time increased. Results were similar to those obtained with istradefylline, and it was concluded that  $A_{2A}$  receptor antagonists do not reduce dyskinesia and much of the reduction in OFF time was replaced by ON time with non-troublesome dyskinesia (Factor et al. [2013](#page-186-6); Hauser et al. [2011\)](#page-187-10). Preladenant was generally well tolerated (Cutler et al. [2012\)](#page-186-7); however, in May 2013, Merck announced that the Phase III trials did not provide evidence of efficacy over placebo, and the clinical trials on preladenant were terminated (Merck. Newsroom. News releases—research and development news (May [2013](#page-188-13)). Merck provides update on Phase III clinical program for preladenant, the company's investigational Parkinson's disease medicine [available at [http://](http://www.mercknewsroom.com/press-release/research-and-development-news/merck-provides-update-phase-iii-clinical-program-prelade) [www.mercknewsroom.com/press-release/research-and-development-news/](http://www.mercknewsroom.com/press-release/research-and-development-news/merck-provides-update-phase-iii-clinical-program-prelade) [merck-provides-update-phase-iii-clinical-program-prelade](http://www.mercknewsroom.com/press-release/research-and-development-news/merck-provides-update-phase-iii-clinical-program-prelade)]).

Finally, although positive results were achieved with vipadenant in Phase II, clinical studies were discontinued on the basis of toxicological studies (Papapetropoulos et al. [2010\)](#page-189-12) (Vernalis [2010](#page-190-9). Media Centre. Vernalis announces  $A_{2A}$  receptor antagonist programme for Parkinson's disease continues with next generation compound [available at<http://www.vernalis.com/media-centre/latest-releases/2010-releases/584>]).

The latest clinical report published on  $A_{2A}$  receptor antagonists was on the investigation of a multicenter, Phase IIB, randomized, double-blind study on tozadenant in which the drug was tested, similar to the above-mentioned studies, in L-DOPAtreated patients who had motor fluctuations (Hauser et al. [2014\)](#page-187-11). The drug was well tolerated and showed efficacy in reducing OFF time and its efficacy will be investigated in Phase III.

Of interest, a recent study by Wills et al. ([2013](#page-190-10)) suggested the possibility that caffeine, which antagonizes  $A_1$  and  $A_{2A}$  receptors, may reduce the likelihood of developing dyskinesia.

Based on the preclinical results and on the first findings by Bara-Jimenez et al. [\(2003](#page-185-5)), it remains to be evaluated whether  $A_{2A}$  receptor antagonists may reduce the development of dyskinesia if administered when DRT is started, and whether lowering the L-DOPA dose and adding an  $A_{2A}$  receptor antagonist will maintain antiparkinsonian activity with reduced dyskinesia.

In view of the results of clinical trials, modifications in the  $A_{2A}$  receptors were evaluated in parkinsonian patients utilizing positron emission tomography (PET) or magnetic resonance imaging (MRI). In line with older studies of brain slices of PD patients (Calon et al. [2004](#page-185-6)) showing an increase in  $A_{2A}$  receptor density correlated with the onset of dyskinesia, these image studies reported modifications in the  $A_{2\lambda}$ receptors of parkinsonian patients with dyskinesia.

Mishina et al. [\(2011\)](#page-188-14), using PET with the  $A_{2A}$  receptor antagonists [7-methyl-11C]-(E)-8-(3,4,5-trimethoxystyryl)-1,3,7-trimethylxanthine ([11C]TMSX), found that the distribution volume ratio of the  $A_{2A}$  receptors in the putamen was larger in patients with L-DOPA-induced dyskinesias than in controls and that L-DOPA treatment tended to increase the presence of the  $A_{2A}$  receptors in the putamen. Further studies by Ramlackhansingh et al. [\(2011\)](#page-190-11) using PET and [<sup>11</sup>C]SCH-442416, in line with the previous study, found that  $A_{2A}$  receptor binding was higher in the caudate and putamen of PD patients with L-DOPA-induced dyskinesia than in PD patients without dyskinesia. These studies supported the view that  $A_{2A}$  receptor antagonists may prove beneficial in the treatment of motor complications associated with L-DOPA treatment. A further study using MRI conducted during a clinical trial with the  $A_{2A}$  receptor antagonists tozadenant in PD patients, showed that patients treated with tozadenant displayed a dose-dependent decrease in thalamic blood flow, indicating a reduced thalamic inhibition via the striatonigral pathway by the drug (Black et al. [2010\)](#page-185-7).

### **Mechanisms of A2A Receptor Antagonists: Interaction with DA Receptors**

The mechanisms at the basis of  $A_{2A}$  receptor antagonist action in PD are firstly related to their interaction with DA receptors. However, besides dopaminergic transmission, other neurotransmitters play an important role in the effects of  $A_{2A}$  receptors antagonists. These include interaction with glutamatergic and cannabinoid receptors, to quote the most relevant for DRT-induced motor complications.

Interest in  $A_{2A}$  receptor interactions has increased with the discovery that these receptors can form heteromeric complexes with other receptors in the striatum (Fuxe et al. [2003\)](#page-187-13). The receptor heteromer concept postulates that receptors from different families combine to generate complexes with distinctive biochemical and functional characteristics, thus generating unique functional entities and novel potential targets for therapy (Ferré et al. [2007,](#page-187-14) [2009;](#page-187-15) Franco et al. [2008](#page-187-16)).

The localization of the  $A_{2A}$  and DA receptors in the BG and their signal transduction mechanisms should be taken into account when considering the relationship between these receptors. In the BG, the direct and indirect striatal efferent pathways regulate movement via opposing direct excitatory  $(D_1)$  and indirect inhibitory  $(D_2)$ inputs to the substantia nigra, which, through the thalamic nuclei, project to the motor cortex (Fig. [9.3\)](#page-179-0). Since the two striatal efferent pathways are GABAergic, stimulation of the direct pathway through the  $D_1$  receptors by inhibiting the substantia nigra pars reticulata (SNr), facilitates movement through disinhibition of the thalamocortical projection; similarly, inhibition of the activity of the indirect pathway through the  $D_2$  receptors contributes to the disinhibition of the thalamocortical projection (Fig. [9.3\)](#page-179-0).

<span id="page-179-0"></span>

**Fig. 9.3** Schematic diagram of the direct and indirect pathways of the basal ganglia. Adenosine A2A receptors are expressed on striatal GABAergic efferent neurons. Direct pathway (*red*) neurons express the neuropeptide DYN together with D<sub>1</sub> receptors, whereas indirect pathway (*green*) neurons contain the neuropeptide ENK and mainly express  $D_2$  receptors.  $A_{2A}$  receptor antagonists coadministered with L-DOPA facilitate the inhibitory action of the  $D_2$  receptors on striatopallidal GABA neurons (see insert on the right) and, through the basal circuit, may indirectly facilitate activation of the striatonigral GABA pathway stimulated by the  $D_1$  receptors. A<sub>2A</sub> receptors are also physiologically expressed in the glial cells, the SNc, both the GPe and GPi, the STN, and the cerebral cortex.  $A_{2A}$ , adenosine 2A receptor; *DA*, dopamine;  $D_1$ , dopamine 1 receptor;  $D_2$ , dopamine 2 receptor; *DYN*, dynorphin; *ENK*, enkephalin; *GABA*, γ-aminobutyric acid *GFAP*, glial fibrillary acidic protein; *GLU*, glutamate; *GPe*, globus pallidus, external segment; *GPi*, globus pallidus, internal segment; *IBA*, ionized calcium binding adapter molecule; *SNc*, substantia nigra pars compacta; *SNr*, substantia nigra pars reticulata; *STN*, subthalamic nucleus; *THvl*, ventrolateral thalamic nucleus. The picture of glia- $A_{\lambda}$  receptor immunofluorescence is adapted from Paterniti et al. [\(2011\)](#page-189-13).
In the striatum, the  $A_{2A}$  receptors have a peculiar localization with the  $D_2$  receptors on the neurons of the indirect pathway, and have the highest concentration in this area (Fig. [9.3](#page-179-0); Fuxe et al. [2007;](#page-187-0) Hillion et al. [2002;](#page-187-1) Svenningsson et al. [1999](#page-190-0)). Activation of the  $A_{2A}$  receptors directly opposes the effect of  $D_2$  receptor activation through both a receptor–receptor and second messenger interaction (Figs. [9.3](#page-179-0) and [9.4a](#page-181-0)).

In line with this evidence,  $A_{2A}$  receptor agonist treatment reduces the binding affinity of the  $D_2$  receptors in the rat striatum (Aoyama et al. [2000;](#page-185-0) Ferrè et al. [1991;](#page-186-0) Svenningsson et al. [1999](#page-190-0)). An indirect interaction, through the BG loop, instead takes place between the  $A_{2A}$  and  $D_1$  receptors, similar to the synergism between the  $D_1$  and  $D_2$  receptors (Fig. [9.3](#page-179-0); Pinna et al. [1996](#page-189-0); Pollack and Fink [1996](#page-190-1); Robertson and Robertson [1986\)](#page-190-2). Regulation of the striatal efferent pathways is therefore mediated by a reciprocal inhibitory interaction between the DA and adenosine receptors, and therefore by blocking adenosine tone, dopaminergic transmission is facilitated.

As mentioned above,  $A_{2A}$  receptor antagonists do not counteract dyskinesia; however, when administered chronically with a low dose of L-DOPA, they potentiate the motor efficacy of this drug and extend its duration without exacerbating dyskinesia compared with a full dose of L-DOPA (Pinna et al. [2001;](#page-189-1) Tronci et al. [2007\)](#page-190-3).

In agreement with behavioral studies, biochemical evidence demonstrates that in 6-OHDA-lesioned rats, the antagonistic interaction between the  $A_{2A}$  and DA receptors modulates the expression of striatal genes that may be involved in the pathophysiology of dyskinesia.  $A_{2A}$  receptor antagonists administered chronically with L-DOPA at dosages that produced the same acute motor activation of a full dose of L-DOPA, induce a lower activation of striatal enkephalin (ENK), dynorphin (DYN) and glutamic acid decarboxylase-67 (GAD-67) mRNA compared with a full dose of L-DOPA (Carta et al. [2002](#page-186-1)). In particular, the repeated L-DOPA treatment alone increases the expression of DYN in the striatonigral pathway, which is highly involved in the development dyskinesia (Fig. [9.3](#page-179-0); Cenci et al. [1998;](#page-186-2) Engber et al. [1991;](#page-186-3) Henry et al. [1999](#page-187-2)). Overexpression of DYN mRNA induced by chronic L-DOPA in 6-OHDA-lesioned mice was also counteracted in  $A_{2A}$  KO mice (Fredduzzi et al. [2002](#page-187-3)). Attenuation of the L-DOPA-induced modification in DYN expression may help to avoid the maladaptive striatal changes that underlie dyskinesia. However, even if it is not clear whether modifications in opioid peptides may underlie AIMs, they effectively reflect neuroplasticity of the striatal efferent neurons and represent good markers for striatal efferent neuron activity. Besides DYN, chronic intermittent L-DOPA treatment at full dosage increased the striatal levels of GAD-67 and ENK mRNA in 6-OHDA-lesioned rat striatum compared with vehicle treatment, whereas chronic intermittent SCH 58261 plus a lower dose of L-DOPA did not produce any significant modification, although a similar behavioral effect was seen. These results show that the combination SCH 58261 and L-DOPA did not produce long-term changes in markers of striatal efferent neuron activity, confirming the notion that administration of the two drugs have lower dyskinetic potential than a full dose of L-DOPA given alone (Carta et al. [2002](#page-186-1), [2003a\)](#page-186-4). All together, these results suggest that through modulation of peptide expression,  $A_{2A}$  receptor antagonists, when given with a low dose of L-DOPA, by preventing the development of long-term changes, might restore the balance between indirect and direct pathways, preventing AIMs.

<span id="page-181-0"></span>

**Fig. 9.4** Antagonistic intramembrane  $A_{2A} - D_2$ , mGluR5– $A_{2A}$  and  $A_{2A} - D_2 - CB_1$  receptor interactions in striatum.

**a** Activation of the  $A_{2A}$  receptor stimulates AC, with subsequent activation of the PKA signaling pathway and induction of the expression of different genes, such as those encoding c-fos and preproenkephalin, by the transcription factor CREB.

**b** The signal transduction pathways used by the mGluR5 depend on the activation of phospholipase C. Induction of c-fos by the  $A_{2A}$  receptors is markedly increased when the mGluR5 is also activated.

**c** Stimulation of the CB<sub>1</sub> receptor results in an antagonistic  $CB_1 - D_2$  interaction that leads to a removal of the  $D_2$  brake on  $A_{2A}$  signaling. Thus, the  $A_{2A}$  receptor activated AC increases intracellular cAMP and leads to excitation and PKA-mediated changes in gene expression.  $A_{24}$  adenosine 2A receptor, *AC* adenylate cyclase, *cAMP* 3',5'-cyclic adenosine monophosphate, *CB*<sub>1</sub> cannabinoid 1 receptor type, *CREB* cAMP-responsive element binding protein,  $D<sub>1</sub>$  dopamine 1 receptor,  $D<sub>2</sub>$ dopamine 2 receptor, *MAPK* mitogen-activated protein kinase, *mGluR5* metabotropic glutamate receptor type 5, *PKA* protein kinase A

When the  $A_{2A}$  receptor antagonist was given in combination with a full dose of L-DOPA, this combined treatment induced a dyskinesia similar to a full dose of L-DOPA, and did not significantly alter the expression of DYN and ENK mRNA induced by the 6-OHDA-lesioned striatum (Lundblad et al. [2003](#page-188-0)). A similar result was obtained on the early gene FosB/ΔFosB-like immunoreactivity (Lundblad et al. [2003\)](#page-188-0). In contrast, it was observed that while high levels of the early gene *zif-268*, together with a persistent hyperresponsiveness of the striatonigral dynorphinergic neurons and hyporesponsiveness of the striatopallidal neurons, were associated with a chronic high dosage of L-DOPA, a low dosage of L-DOPA plus an  $A_{2A}$  receptor antagonist did not induce these changes (Carta et al. [2005;](#page-186-5) Pinna et al. [2010\)](#page-189-2).

In addition to studies in the striatum, results from chronic L-DOPA show that an increase in GAD-67 mRNA in the globus pallidus (GP) and a decrease in the SNr underlie dyskinetic movements induced by L-DOPA (Nielsen and Soghomonian [2003\)](#page-189-3). In contrast a lack of GAD-67 mRNA changes in the GP and a less marked inhibition of the SNr might correlate with the absence of dyskinetic potential observed after the  $A_{2A}$  receptor antagonist SCH-58261 plus L-DOPA (Carta et al. [2002,](#page-186-1) [2003b\)](#page-186-6). These effects could be due to the opposite functional interactions between the  $A_{2A}$  and the  $D_1$  and  $D_2$  receptors mentioned above (Morelli et al. [2007\)](#page-189-4); the first occurring via a polysynaptic interaction at a different BG level, the second, as a direct interaction of the  $A_{2A}$ -D<sub>2</sub> receptors on the striatopallidal neurons. These interactions might contribute to the amplification of DA signaling, but not to DA-induced long-term effects, contributing to the lack of induction of the long-term changes induced by full doses of L-DOPA, which are known to be dyskinetic. Therefore, the sparing of dopaminomimetic drugs in combination with an  $A_{2A}$  receptor antagonists may contribute to counteract the long-term aberrant modifications that underlie dyskinesia.

## **Mechanisms of A2A Receptor Antagonists: Interaction with Glutamate Receptors**

While the dopaminergic nigrostriatal projection, which modulates efferent medium spiny neurons, is at the basis of the DA– $A_{2A}$  receptor interaction, the cortical glutamatergic projection modulates these projecting neurons through multiple glutamate receptor subtypes. Neuroanatomical ultrastructural studies have shown that striatal  $A<sub>2A</sub>$  receptors are highly expressed in the dendrites and dendritic spines of GABAergic postsynaptic neurons in asymmetric excitatory synapses (Hettinger et al. [2001;](#page-187-4) Rosin et al. [2003](#page-190-4)) and, therefore, these receptors may not only interact with the DA receptors, but also with the glutamatergic ionotropic and metabotropic receptors. In addition,  $A_{2A}$  receptors modulate glutamatergic transmission at the extracellular level and the excessive increase in glutamate plays an important role in the neuroplasticity taking place in the BG (Popoli et al. [2003](#page-190-5)). Therefore, as several lines of preclinical evidence have demonstrated, glutamate plays a most important role in neuroplasticity and in the abnormal modifications related to DRT, in particular dyskinesia (Morin and Di Paolo [2014\)](#page-189-5). Consequently, multiple presynaptic as well as postsynaptic mechanisms could contribute to the modulatory role played by the  $A_{2A}$  receptors on glutamatergic transmission.

Several glutamatergic receptors have been involved in these events, the most important being the α-amino-3-hydroxy-5-methyl-4-isoxazole-propionic acid (AMPA), N-methyl-D aspartate (NMDA) and the metabotropic glutamate receptors (mGluR).

In unilaterally 6-OHDA-lesioned rats, chronic administration of L-DOPA was reported to induce a hyperphosphorylation of the AMPA receptor, an effect that was significantly attenuated when L-DOPA was administered in combination with an  $A_{2A}$  receptor antagonist (Bibbiani et al. [2003](#page-185-1); Chase et al. [2003\)](#page-186-7). Since  $A_{2A}$  receptors activate protein kinase A (PKA) and C (PKC) (Cheng et al. [2002](#page-186-8); Shindou et al. [2002\)](#page-190-6), it is possible that  $A_{2A}$  receptor antagonists, by inhibiting these kinases, might attenuate hyperphosphorylation of these glutamatergic receptors.

In addition,  $A_{2A}$  receptors regulate the conductance (Nörenberg et al. [1998;](#page-189-6) Wirkner et al. [2004](#page-190-7)) and phosphorylation of NMDA receptors (Köles et al. [2001\)](#page-188-1). A role of the striatal  $A_{2A}$  and NMDA receptors in dyskinesia is also suggested by a study by Ekonomou et al. ([2004\)](#page-186-9) who showed that  $A_{2A}$  receptor stimulation upregulates a subunit of the NMDA receptor of weaver mice, in which overactivity of these receptors worsens dyskinesia.

Therefore, through these mechanisms, both the AMPA and NMDA receptors may play a major role in the long-term changes that underlie motor fluctuations induced by DRT. Since the inactivation of the  $A_{2A}$  receptors may modify AIMs by impairing long-term potentiation (LTP) processes in the striatum (Schiffmann et al. [2003\)](#page-190-8), and since LTP partially depends on the  $A_{2A}$  receptors (D'Alcantara et al. [2001](#page-186-10)),  $A_{2A}$ modulation of the ionotropic glutamate receptors, may possibly attenuate AIMs.

Besides the ionotropic receptors, the mGluRs are present in the BG and interaction with the  $A_{2A}$  receptors and some of them has been described (Fig. [9.4b](#page-181-0)) (Bogenpohl et al. [2012;](#page-185-2) Lopez et al. [2008](#page-188-2)).

The mGlu5 receptor (mGluR5) antagonists were the first to be considered as a therapeutic approach for PD. It was, in fact, reported that chronic treatment with mGluR5 antagonists normalized glutamate neurotransmission and reduced the development of dyskinesia (Morin and Di Paolo [2014;](#page-189-5) Vallano et al. [2013\)](#page-190-9). Moreover, a positive interaction between the  $A_{2A}$  receptors and the mGluR5 antagonists was described in models of PD (Coccurello et al. [2004;](#page-186-11) Kachroo et al. [2005](#page-188-3)), the basis of which might be the modulatory role of the  $A_{2A}$  receptors and the mGluR5 on striatal electrical activity (Domenici et al. [2004\)](#page-186-12).

A different example of an  $A_{2A}$  and mGluR interaction in preclinical models of PD, is the mGlu4 receptor (mGluR4). Agonists and positive allosteric modulators (PAM) of the mGluR4 have been suggested to be efficacious in reducing L-DOPA dose, while maintaining the same benefit on PD motor impairment (Amalric et al. [2013;](#page-185-3) Bennouar et al. [2013;](#page-185-4) Jones et al. [2012;](#page-187-5) Lopez et al. [2011\)](#page-188-4). Interestingly, the selective PAM of mGluR4, VU0364770, produces synergistic effects when administered with either L-DOPA or the  $A_{2A}$  receptor antagonist preladenant (Jones et al. [2012\)](#page-187-5).

## **Mechanisms of A2A Receptor Antagonists: Interaction with Cannabinoid Receptors**

Additional mechanisms involved in the modulation of DRT-induced abnormal motor responses include the cannabinoid  $CB_1$  receptors (Fernández-Ruiz [2009\)](#page-186-13).

Selective  $CB_1$  antagonists may enhance the antiparkinsonian action of DRT and allow the use of lower doses of DA receptor agonists, possibly reducing side effects (Cao et al. [2007](#page-185-5)), whereas dyskinesias may be alleviated by activation of the  $CB_1$ receptors (Ferrer et al. [2003](#page-187-6); Morgese et al. [2007\)](#page-189-7).

 $A<sub>2A</sub>$ –CB<sub>1</sub> receptor heteromeric complexes in co-transfected HEK-293T cells and rat striatum have been shown (Carriba et al. [2007;](#page-185-6) Marcellino et al. [2008\)](#page-188-5). Moreover,  $A_{2A}$ , cannabinoid CB<sub>1</sub> and D<sub>2</sub> receptors may interact to form  $A_{2A}$ –CB<sub>1</sub>–D<sub>2</sub> receptor heteromers in co-transfected cells as well as in the rat striatum (Carriba et al. [2007;](#page-185-6) Marcellino et al. [2008\)](#page-188-5). These heteromers are post-synaptically located in the spines of GABAergic enkephalinergic neurons (Carriba et al. [2007](#page-185-6); Pickel et al. [2006\)](#page-189-8).

In rats, the motor effects induced by the intrastriatal administration of  $CB_1$  receptor agonists could be counteracted by  $A_{2A}$  receptor antagonists (Carriba et al. [2007\)](#page-185-6), whereas the inhibitory effect of  $CB_1$  receptor agonists on  $D_2$  receptor agonist-induced hyperlocomotion could be counteracted by  $CB_1$  receptor antagonists and  $A_{2A}$ receptor antagonists (Marcellino et al. [2008\)](#page-188-5), providing evidence for the existence of functional receptor–receptor interactions.  $A_{2A}$ – $CB_1$ – $D_2$  receptor heteromers are also present in the striatum of 6-OHDA-lesioned rats; however, following acute or chronic treatment with L-DOPA, the heteromer cross-talk is lost (Pinna et al. [2014;](#page-190-10) Fig. [9.4c](#page-181-0)).

Similar results were obtained in non-human primates in which the expression of  $A_{2A}$ – $CB_1$ ,  $A_{2A}$ – $D_2$ , and  $CB_1$ – $D_2$  heteromers was reduced in the caudate nucleus of monkeys that received chronic L-DOPA treatment compared with control, supporting the evidence that DRT alters heteromer expression in models of PD (Bonaventura et al. [2014\)](#page-185-7). As reported in a paper by Bonaventura et al. [\(2014](#page-185-7)), L-DOPA-induced disruption of  $A_{2A}$ –CB<sub>1</sub>–D<sub>2</sub> receptor heteromers contributed to the alteration of the balance between striatal direct and indirect efferent pathways by eliminating the "brake" that  $A_{2A}$  or  $CB_1$  receptor activation exerts on  $D_2$  receptor-mediated motor behavior. Therefore, drugs targeting  $A_{2A}$ -CB<sub>1</sub>-D<sub>2</sub> receptor heteromers might balance striatal efferent pathways and prevent DRT-induced motor complications.

#### **Conclusions**

 $A_{2A}$  receptor antagonists are emerging as leading non-dopaminergic candidates for symptom- and disease-modifying therapy in PD. Our knowledge of the mechanisms underlying their effect, including their interaction with other neurotransmitter systems, has grown over the last few years. Although other neurotransmitters besides

DA, glutamate, and cannabinoids interact with the  $A_{2A}$  receptors in the mediation of motor behavior, not all of them have been implicated in the motor complications induced by DRT in PD. In this chapter, therefore, we have reviewed the interaction of the  $A_{2A}$  and dopaminergic, glutamatergic, and cannabinoid receptors since, these are the only receptors for which substantial results have been obtained.

Hope of an active translation to clinical trials in PD is growing, as well as the expectation of potential antidepressant cognitive enhancement and neuroprotective effects of  $A_{2A}$  receptor antagonists in PD.

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# **Chapter 10 Adenosine A2A Receptor-Mediated Control of Non-Motor Functions in Parkinson's Disease**

#### **Rui Daniel Prediger, Filipe Carvalho Matheus, Paulo Alexandre de Oliveira, Daniel Rial, Morgana Moretti, Ana Cristina Guerra de Souza, Aderbal Silva Aguiar and Rodrigo A. Cunha**

**Abstract** Parkinson's disease (PD) is traditionally recognized as a motor disease. However, non-motor symptoms associated with PD are frequent and currently difficult to manage, being reported by patients to represent a significant burden. We now review the ability of adenosine  $A_{2A}$  receptor  $(A_{2A}R)$  antagonist to attenuate several non-motor PD symptoms including olfactory impairments, anxiety, depression and cognitive deficits. This paves the way to consider  $A_{2A}R$  antagonists as novel holistic drugs for PD patients since they not only ameliorate the efficacy of L-DOPA and attenuate its dyskinetic effects, but also afford neuroprotection and attenuate mood and cognitive dysfunctions associated with PD. This clearly prompts the need to detail the underlying mechanisms to understand when and how  $A_{2A}R$  should be exploited to maximize benefits for PD patients.

**Keywords** Parkinson's disease  $\cdot$  Adenosine  $A_{2A}$  receptors  $\cdot$  Non-motor symptoms  $\cdot$ Depression **·** Memory **·** Olfaction

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#### **Parkinson's Disease Encompasses Non-Motor Symptoms**

Classically, Parkinson's disease (PD) is considered to be a motor system disease and its diagnosis is based on the presence of a set of cardinal motor signs (e.g., rigidity, bradykinesia, rest tremor and postural reflex disturbance). These symptoms of PD mainly result from the progressive degeneration of dopamine neurons of the *substantia nigra pars compacta* (SNc), which causes a consequent reduction of dopamine levels in the striatum (Hirsch et al. [1988\)](#page-209-0). Dopamine-replacement therapy has dominated the treatment of PD since the early 1960s and, although the currently approved anti-parkinsonian agents offer an effective relief of the motor deficits during the early stages of the disease, but they have not been found to alleviate the underlying dopaminergic neuron degeneration (Allain et al. [2008\)](#page-204-0). Another major limitation of chronic dopaminergic therapy is the numerous adverse effects such as the development of abnormal involuntary movements (namely dyskinesia), psychosis and behavioral disturbance (e.g., compulsive gambling, hypersexuality) (Ahlskog and Muenter [2001\)](#page-204-1).

The diagnosis of a PD patient is classically based on the motor dysfunction and the clinical criteria are only fulfilled when approximately 70% of the neurons of the *substantia nigra* (SN) are already degenerated and the striatal dopamine (DA) content is reduced by 80% (Braak et al. [2004](#page-205-0); Riederer and Wuketich [1976](#page-212-0)). The more detailed clinical evaluation of patients developing PD, coupled to the advent of different image techniques (like the PET-SCAN), have contributed to consolidate the initial neuropathological evidence suggesting that extra-striatal deficits are also present (Braak et al. [2003](#page-205-1), [2004\)](#page-205-0); these are designated as non-motor symptoms and often pre-date the motor symptoms corresponding to overt PD. These early non-motor symptoms are increasingly used to provide an early diagnose of PD and are increasingly recognized as a major burden associated with PD. Among these pre-motor symptoms, particular emphasis is nowadays given to olfactory deficits, cognitive deficits, and alterations of mood typified by anxiety, depression, anhedonia and apathy (Chaudhuri et al. [2006\)](#page-205-2). Notably, these non-motor features of PD invariably respond poorly to dopaminergic medication and are probably the major current challenge faced in the clinical management of PD (Chaudhuri et al. [2006](#page-205-2)).

# **The Adenosine Neuromodulation System—Focus on Adenosine A2AR and Motor Control in Parkinson's Disease**

Adenosine is an extracellular signaling molecule, acting through four different G-protein coupled receptors out of which the  $A_1$  and  $A_{2A}$  receptors  $(A_{2A}R)$  are the predominant players in the control of brain function (Fredholm et al. [2005\)](#page-208-0). The  $A_1R$  is mostly located in synapses (Rebola et al. [2003;](#page-212-1) Tetzlaff et al. [1987\)](#page-213-0), namely in glutamatergic synapses (Lambert and Teyler [1991;](#page-210-0) Yoon and Rothman [1991\)](#page-214-0), where they inhibit the release of glutamate and trigger a hyperpolarization that decreases post-synaptic responsiveness (Dunwiddie and Masino [2001;](#page-207-0) Thompson

et al. [1992\)](#page-213-1). The  $A_{2A}R$  is present at a lower density in the brain, mainly with a synaptic localization (Rebola et al. [2005](#page-212-2)), although they are also located in glia cells (e.g. Matos et al. [2012;](#page-211-0) Orr et al. [2009](#page-211-1)). The combined synaptic action of  $A_1R$ , controlling excessive noise and heterosynaptic depression (Manzoni et al. [1994;](#page-210-1) Serrano et al. [2006\)](#page-213-2), and  $A_{2A}R$ , bolstering N-methyl-D aspartate (NMDA) receptor activation (Rebola et al. [2008\)](#page-212-3) and synaptic plasticity (Costenla et al. [2011;](#page-206-0) d'Alcantara et al. 2001; Flajolet et al. [2008;](#page-207-1) Rebola et al. [2008](#page-212-3); Shen et al. 2008), constitutes an integrated neuromodulation system assisting the implementation of information salience in brain networks (Cunha [2008](#page-206-1)). Accordingly, a modification of the efficiency of this adenosine system is expected to play a critical role in the mal-adaptive functioning of neuronal networks underlying brain diseases (Gomes et al. [2011](#page-208-1); Lopes et al. [2011](#page-210-2)).

The relevance of the adenosine neuromodulation system is further heralded by the evidence that adenosine receptors are the main molecular of non-toxic doses of caffeine (Fredholm et al. [1999](#page-207-2); Yang et al. [2009](#page-214-1)). And over the last decade, several lines of evidence have suggested the potential of caffeine in the prevention of PD as well as the ability of caffeine to attenuate of motor deficits in different animal models of PD (Chen et al. [2001](#page-205-3); Costa et al. [2010;](#page-206-2) Palacios et al. [2012;](#page-211-2) Prediger [2010;](#page-212-4) Schwarzschild et al. [2002\)](#page-213-3). Notably,  $A_{2A}R$  are now recognized as the main targets operated by chronic caffeine consumption to generate its psychoactive effects (Cunha and Agostinho [2010;](#page-206-3) Ferré [2008;](#page-207-3) Prediger [2010\)](#page-212-4). Their interest in the control of motor dysfunction in PD is further heralded by the particular enrichment of  $A_{2A}R$  in the striatum.

The striatum is mainly composed of projection neurons (medium spiny neurons, MSNs), which use γ-aminobutyric acid (GABA) as their neurotransmitter. These MSNs are driven by glutamatergic cortico-thalamic inputs and are traditionally divided into two populations based on their function and neurochemical phenotype: striatonigral or striatopallidal pathways (Alexander and Crutcher [1990;](#page-204-2) Gerfen et al. [1990\)](#page-208-2); these populations give rise to the direct (expressing dopamine  $D_1$  receptors,  $D_1R$ ) and indirect pathways (expressing dopamine  $D_2R$ ), respectively, which are differentially modulated by dopamine through its action on  $D_1R$  (G<sub>s</sub>-coupled) or  $D_2R$  (G<sub>i/o</sub>-coupled). A simplified view of the striatal circuitry identifies the direct pathway with an enhanced locomotion and prompting actions, whereas the indirect pathway acts is associated with behavioral inhibition (Eagle and Baunez [2010;](#page-207-4) Jahfari et al. [2011](#page-209-1)). The crucial impact of dopamine on striatal circuits is best summarized by its ability to inhibit the brake (inhibitory  $D_2R$ -mediated action in MSNs of the indirect pathway) and facilitate the accelerator (facilitatory  $D_1R$ -mediated actions in MSNs of the direct pathway).

Notably,  $A_{2A}R$  are particularly enriched post-synaptically in striatopallidal medium spiny neurons of the indirect pathway (Schiffmann and Vanderhaeghen [1993;](#page-213-4) Svenningsson et al. [1999\)](#page-213-5). Here,  $A_{2A}R$  interact and heterodimerize with  $D_2R$ , which constitute the basis of the antagonistic interaction between  $A_{2A}R$  and  $D_2R$  (Canals et al. [2003](#page-205-4); Hillion et al. [2002\)](#page-209-2). Thus,  $A_{2A}R$  agonists inhibit striatal  $D_2R$  binding,  $D_2R$ -mediated neurotransmitter release and immediate early gene expression; conversely,  $A_{2A}R$  antagonists mimic the molecular and neurochemical effects of  $D_2R$ agonists (Fredholm et al. [2005](#page-208-0)). Given that reduced  $D_2R$  mediated signaling is

thought to be partly responsible for PD symptoms, this  $A_{2A}R-D_2R$  antagonistic interaction provides a strong anatomical and molecular basis for the motor benefits of  $A_{2A}$ R antagonists in PD (Ferré et al. [2007](#page-207-5); Schwarzschild et al. [2006\)](#page-213-6). Furthermore,  $A_{2A}R$  also control post-synaptic NMDA receptors (Gerevich et al. [2002;](#page-208-3) Higley and Sabatini [2010](#page-208-4)) and dimerize with metabotropic group 5 glutamate receptors (mGluR5) (Bogenpohl et al. [2012](#page-205-5); Ferré et al. [2002](#page-207-6); Rodrigues et al. [2005](#page-212-5); Tebano et al. [2005\)](#page-213-7), as well as with presynaptic CB1 (Ferreira et al. 2015; Martire et al. [2011](#page-211-3)) and  $A_1R$  (Ciruela et al. [2006\)](#page-205-6), in accordance with the localization of  $A_{2A}R$  in glutamatergic nerve terminals driving the firing of medium spiny neurons (Rebola et al. [2005](#page-212-2); Rosin et al. [2003\)](#page-212-5). This combined pre- and post-synaptic localization of  $A_{2A}R$ , together with their integrative interactions of the main transmitter systems at cortico-striatal synapses provide a molecular basis for the ability of  $A_{2A}R$  to fine-tune MSN signal processing (Schiffmann et al. [2007\)](#page-213-8). Accordingly, striatal  $A_{2A}R$ are critical regulators of cortico-striatal synaptic plasticity, as typified by a control of long-term potentiation (LTP) (d'Alcantara et al. [2001;](#page-206-4) Flajolet et al. [2008\)](#page-207-1), long-term depression (LTD, Lerner and Kreitzer [2012](#page-210-3)) and spike-timing-dependent plasticity at cortico-striatal synapses (Shen et al. [2008](#page-213-9)). Thus,  $A_{2A}R$  are uniquely positioned to integrate incoming information (glutamate signals) and neuronal sensitivity to this incoming information (dopamine signals) to control striatal synaptic plasticity and behavior (reviewed in Schiffmann et al. [2007](#page-213-8)).

Not surprisingly,  $A_{2A}R$  have recently emerged as a leading non-dopaminergic therapeutic target in PD (Ferré et al. [2007;](#page-207-5) Schwarzschild et al. [2006\)](#page-213-6).  $A_{2A}R$  antagonists have demonstrated motor benefits and may have neuroprotective benefits as well. Clinical Phase II-III trials have been completed for the  $A_{2A}R$  antagonists KW-6002 (istradefylline, Kyowa, Japan) and SCH 420814 (Preladenant, Merck, USA) (Cutler et al. [2012;](#page-206-5) Hauser [2011](#page-208-5)), confirming a motor benefit in advanced PD patients. Over the last 5 years, four trials with KW-6002 reported an average reduction in "OFF" time of 1.7 h/day in nearly 1700 patients with advanced PD who were already on optimized L-DOPA regimens. SCH420814 also produced motor benefits, decreasing both OFF time and scores on the unified PD rating scale (UPDRS) in advanced PD patients in a clinical Phase III trial (Hauser [2011](#page-208-5)). Importantly, both drugs had robust safety profiles in clinical trials. The most exciting prospective role for  $A_{2A}R$  antagonists as a novel therapy for PD is their potential to attenuate dopaminergic neurodegeneration, as suggested by convergent epidemiological and experimental evidence (reviewed in Prediger [2010\)](#page-212-4). Three large, long-term (>30 years follow-up) prospective studies firmly establish a relationship between increased intake of caffeine (an  $A_{2A}R$  antagonist) and decreased risk of developing PD (up to five times lower) in men.

#### **Adenosine Impacts Psychiatric Symptoms in Parkinson's Disease**

Anxiety is a trait often found in PD patients, with an incidence varying between 30.7 and 55.8% (Leentjens et al. [2011](#page-210-4); Todorova et al. [2014](#page-213-10)). These anxiety symptoms form a constellation of alterations independent of motor symptoms but may also arise as a psychological reaction to the development of the cardinal motor symptoms characteristic of PD. An anxious parkinsonian patient displays panic attacks comparable to these evidenced by patients with primary psychiatric panic disorder; likewise, PD animal models also display increased anxiety (Prediger et al. [2012b](#page-212-6)).

There is increased evidence that the adenosinergic system can modulate anxiety disorders both through  $A_1R$  (Bruns et al. [1983;](#page-205-7) Marangos and Boulanger [1985](#page-210-5)) and  $A_{2A}R$  (reviewed in Correa and Font [2008;](#page-206-6) Cunha et al. [2008a](#page-206-7)). The ability of acutely administered methylxanthines to trigger anxiety and panic attacks, particularly in panic disorder patients (Charney et al. [1985](#page-205-8)), has been associated with the pharmacological blockade of A<sub>1</sub>R (Bruns et al. [1983;](#page-205-7) Florio et al. [1998](#page-207-7); Maximino et al. [2011](#page-211-4); Prediger et al. [2006;](#page-212-7) Snyder et al. [1981\)](#page-213-11), since the anxiogenic effect of acute caffeine administration is not mimicked by selective  $A_{2A}R$  antagonists (El Yacoubi et al. [2000](#page-207-8)). However, the genetic evidence is less clear: in fact both  $A_1R$  as well as  $A_{2A}R$  knockout mice display an aggressive and anxious behavior (Giménez-Llort et al. [2002;](#page-208-6) Johansson et al. [2001](#page-209-3); Ledent et al. [1997\)](#page-210-6); also, there is an association of  $A_{2A}R$  polymorphisms with anxious personalities (Hohoff et al. [2010\)](#page-209-4), autism spectrum disorders (Freitag et al. [2010\)](#page-208-7), the incidence of panic attacks (Alsene et al. [2003](#page-204-3); Deckert et al. [1998;](#page-206-8) Hamilton et al. [2004](#page-208-8)) and anxious behavior after acute consumption of coffee (Alsene et al. [2003](#page-204-3); Childs et al. [2008](#page-205-9)) or amphetamine (Hohoff et al. [2005\)](#page-209-5). This association between  $A_{2A}R$  and anxiety (reviewed in Correa and Font [2008;](#page-206-6) Cunha et al. [2008a\)](#page-206-7) is further re-enforced by the observation that cortical and striatal  $A_{2A}R$  trigger opposite modifications of startling and conditioned fear (Wei et al. [2014\)](#page-214-2) and  $A_{2A}R$  over-expression in the forebrain triggers anxiogenic responses (Coelho et al. [2014](#page-206-9)). This highlights the putative role of  $A_{2A}R$  in the development of anxiety-related non-motor symptoms of PD, which are currently managed with drugs such as benzodiazepines, buspirone and tricyclic antidepressants (Prediger et al. [2012b](#page-212-6)).

Depression is another frequent non-motor symptom of PD, with a prevalence approaching 45%, which often precedes the motor signs of PD (Lemke [2008\)](#page-210-7). The most commonly used antiparkinsonian drugs do not affect depressive symptoms of PD patients (Lemke [2008](#page-210-7)), prompting the hypothesis that PD-associated depression mainly involves non-dopaminergic systems (Kano et al. [2011](#page-209-6); Ongini [2003\)](#page-211-5).

As summarized in Fig. [10.1,](#page-197-0) there is increasing evidence implicating the adenosine system in the control of depression (reviewed in Cunha et al. [2008a](#page-206-7)). In fact, a case-control study showed that poor lifetime caffeine consumption increases the risk of Lewy body dementia and depression (Boot et al. [2013\)](#page-205-10), while a 10-year prospective follow-up study showed that increased lifetime caffeine consumption decreased risk of depression (Lucas et al. [2011](#page-210-8)) and also strongly correlated inversely with the incidence of depression in retired individuals (Smith [2009\)](#page-213-12) and with the risk of suicide (Kawachi et al. [1996;](#page-209-7) Lucas et al. [2013](#page-210-9)). The regular (not acute) caffeine consumption also has the ability to prevent different alterations caused by repeated stress (Alzoubi et al. [2013;](#page-204-4) Haskell et al. [2005;](#page-208-9) Kale and Addepalli [2014;](#page-209-8) Pechlivanova et al. [2012](#page-211-6)), which is a major risk factor for the development of different neuropsychiatric disorders, namely depression, in both humans and animal models (Kim and Diamond [2002](#page-209-9); McEwen [2007\)](#page-211-7). Interestingly, caffeine consumption increases in individuals experiencing stressful conditions (Harris et al. [2007](#page-208-10)) and the consumption of caffeine correlates inversely with enhanced plasma cortisol

<span id="page-197-0"></span>

**Fig. 10.1** The role of adenosine  $A_{2A}$  receptors on mood and depression

levels (Harris et al. [2007\)](#page-208-10).  $A_{2A}R$  emerge as the most likely molecular targets of caffeine in the control of mood disorders (reviewed in Cunha et al. [2008a](#page-206-7)) since hippocampal  $A_{2A}R$ , but not  $A_1R$ , are up-regulated upon chronic repeated stress (Batalha et al. [2013](#page-205-11); Cunha et al. 2006).

Accordingly, preclinical studies revealed that  $A_{2A}R$  agonists induce depressive-like behaviors (Woodson et al. [1998](#page-214-3)), while  $A_{2A}R$  knockout mice displays increased resistance to 'depressogenic' challenges (El Yacoubi et al. 2001); likewise,  $A_{2A}R$ antagonists are antidepressants in animals (Batalha et al. [2013](#page-205-11); El Yacoubi et al. 2001; Minor et al. [1994;](#page-211-8) Yamada et al. [2014](#page-214-4)), with an efficacy at least similar to desipramine and fluoxetine (Yamada et al. [2014\)](#page-214-4), prolonging escape directed behavior in two screening tests for antidepressant activity (El Yacoubi et al. [2003](#page-207-4); Minor et al. [2008](#page-211-9); Yamada et al. [2014](#page-214-4)) and preventing maternal separation-induced longterm cognitive consequences (Batalha et al. [2013\)](#page-205-11). Although caffeine consumption does not seem to change depression scores of PD patients (Altman et al. [2011;](#page-204-5) Pos-tuma et al. [2012](#page-212-8)), there seems to be an association between  $A_{2A}R$  and depression in PD, as summarized in Fig. [10.1.](#page-197-0)

The  $A_{2A}R$  antagonist istradefylline reduces the daily OFF time in PD patients without troublesome dyskinesia (Hauser et al. [2008;](#page-208-11) Mizuno et al. [2010](#page-211-10); Stacy et al. [2008;](#page-213-13) Uchida et al. [2014\)](#page-213-14) and the same effective istradefylline dose range attenuated helpless responses in rodents (Yamada et al. [2013\)](#page-214-5). Likewise, other selective  $A_{2A}R$  antagonists namely preladenant and SCH 412348 also showed antiparkinsonian outcomes in PD animals models, including primates (Hodgson et al. [2009;](#page-209-10) Smith et al. [2014](#page-213-15); Varty et al. [2008\)](#page-214-6) and simultaneously reduced the depressive-like behavior in a manner similar to the tricyclic antidepressant desipramine (Hodgson et al. [2009\)](#page-209-10).

Altogether, these data support that an  $A_{2A}R$  antagonist, such as istradefylline, may be a useful alternative for treating depression in PD. Indeed, a Phase II, doubleblind randomized clinical trial demonstrated that preladenant improves motor function, motivation/initiative, thought disorder and depression of PD patients (Hauser et al. [2011\)](#page-208-12). These evidences support a promising antidepressant potential of  $A_{2A}R$ antagonists to manage PD-associated depression, which has a high prevalence and is not adequately managed by conventionally used pharmacotherapy.

# **Memory Normalizing Effects of Adenosine A2AR Antagonists in Parkinson's Disease**

Cognitive dysfunction is a common feature of PD occurring in all PD stages. These cognitive impairments are characterized by subtle changes that are difficult to detect and diagnose (Appollonio et al. [1994](#page-204-6)) and include impairments in set-shifting (Monchi et al. [2004](#page-211-11)), in task-switching (Cameron et al. [2010\)](#page-205-12), in probabilistic reversal learning (Peterson et al. [2009\)](#page-211-12), in a delayed win–stay task related to both striatal and prefrontal cortex dysfunction (Partiot et al. [1996](#page-211-13)), in recognition memory (Higginson et al. [2005](#page-208-13); Whittington et al. [2006\)](#page-214-7), and in implicit memory (Knowlton et al. [1996](#page-210-10); Wang et al. [2009\)](#page-214-8). At earlier stages, cognitive impairment affects PD patients with prevalence around 25% (Foltynie et al. [2004;](#page-207-9) Hely et al. [2008](#page-208-14)) being a major burden reported by patient (Cools et al. 2010; Klepac et al. [2008\)](#page-210-11). These minor cognitive deficits can appear prior to the onset of motor symptoms, as shown in siblings of patients with familial PD (Kéri et al. [2010](#page-209-11)) and around 20–40% of PD patients exhibit cognitive impairments at disease onset (Aarsland et al. [2007\)](#page-204-7). Approximately 20–40% of these patients will progress to dementia in advanced stages of PD (Aarsland et al. [2001;](#page-204-8) Williams-Gray et al. [2009](#page-214-9)), when dementia occurs in 90% of PD patients (Aarsland et al. [2005](#page-204-9)). This incidence of dementia emerges as a result of the progressive atrophy of the limbic system in demented PD patients (Beyer and Aarsland [2008](#page-205-13); Bouchard et al. [2008](#page-205-14); Ibarretxe-Bilbao et al. [2009](#page-209-12)) and the parallel lesion of the dopaminergic nigrostriatal and mesolimbic pathways further increases the spectrum of cognitive disorders in PD (Owen et al. [1997](#page-211-14); Scatton et al. [1983\)](#page-213-16). Thus, dopamine denervation diminishes the dopamine phasic firing that provides the "error" prediction signal in basal ganglia-dependent learning, and also impairs executive functions (Kehagia et al. [2010](#page-209-13); Sawamoto et al. [2008](#page-213-17); Shohamy et al. [2004\)](#page-213-18).

Convergent evidence from human and animal studies supports the existence of dopamine-dependent cognitive deficits in PD (Lewis et al. [2003\)](#page-210-12) and dopaminergicbased treatment enhances patient performance on tasks sensitive to frontal lobe dysfunction (Gotham et al. [1988;](#page-208-15) Lange et al. [1992;](#page-210-13) Taylor et al. [1987\)](#page-213-19). In PD patients, dopaminergic drugs mainly improve aspects of cognition that involve cognitive flexibility, including planning on the Tower of London test, task switching, response

inhibition and working memory (Cools et al. [2003](#page-206-10)). Furthermore, PET studies show that, in many cases, the amelioration of executive function deficits by L-DOPA is associated with a normalization of blood flow in the right PFC, sensorimotor cortex, and premotor cortex (Sawamoto et al. [2008](#page-213-17); Shohamy et al. [2004](#page-213-18)), supporting an extra-striatal main focus for these cognitive alterations. These cognitive impairments are also present in animal models of PD, such as reserpine, 6-hydroxydopamine (6- OHDA), 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP), and α-synuclein overexpression (Aguiar et al. [2009](#page-204-10); Chesselet et al. [2012;](#page-205-15) da Cunha et al. [2002;](#page-206-7) Dauer and Przedborski [2003;](#page-206-4) Prediger et al. [2005a,](#page-212-9) [b](#page-212-10), [2009](#page-212-11), [2010,](#page-212-12) [2011](#page-212-13), [2012a](#page-212-14)).

The potential of the adenosine modulation system to control memory dysfunction is best heralded by the evidence gathered in both human and animal that concurs in the conclusion that the chronic consumption of moderate doses of caffeine prevents memory impairment, namely the onset, evolution and neuropathology of Alzheimer's disease (reviewed in a special issue of *Journal of Alzheimers Disease,* volume 20, Supplement 1, 2010, at [http://iospress.metapress.com/content/](http://iospress.metapress.com/content/t13614762731/) [t13614762731/](http://iospress.metapress.com/content/t13614762731/)). Thus, six key large and prospective epidemiological studies have identified that the regular consumption of moderate doses of caffeine correlates inversely with memory impairments associated both with aging as well as a reduced risk of developing Alzheimer's disease (AD, Cao et al. [2012](#page-205-16); Eskelinen et al. [2009;](#page-207-10) Gelber et al. [2011](#page-208-16); Hameleers et al. [2000;](#page-208-17) Lindsay et al. [2002;](#page-210-14) Ritchie et al. [2007;](#page-212-15) van Boxtel et al. [2003;](#page-213-20) van Gelder et al. [2007\)](#page-213-21).

Despite the considerable strength of the correlation, epidemiological investigation cannot definitively isolate caffeine intake from other lifestyle choices that potentially affect cognition. Therefore, it is of uppermost importance to show that chronic consumption of caffeine can also prevent and counteract memory impairment in animal models of aging and AD: our group has previously found that the chronic consumption of caffeine abrogates memory impairment in animal models of AD (Dall'Igna et al. [2007;](#page-206-8) Espinosa et al. [2013\)](#page-207-11) and in other brain conditions leading to memory impairment (Cognato et al. [2010](#page-206-11); Duarte et al. [2012](#page-207-8); Pandolfo et al. [2013\)](#page-211-15), the same occurring in aged rodents (Costa et al. [2008;](#page-206-12) Leite et al. [2011;](#page-210-15) Prediger et al. 2005; Vila-Luna et al. [2012](#page-214-10)). Furthermore, studies of aged AD transgenic (APPsw, Swedish mutation) mice found that long-term (between early 4–9 months as well as aged 18–19 months old) administration of a 1.5 mg daily dose of caffeine (equivalent to 500 mg in human) to APPsw mice reduced brain Aβ levels and protected against certain cognitive impairments (Arendash et al. [2006](#page-204-11), [2009](#page-204-12); Cao et al. [2009\)](#page-205-17), the same occurring in an animal model of tauopathy (Laurent et al. [2014a](#page-210-16)). This is in accordance with the ability of caffeine to control synaptic plasticity phenomena in the hippocampus (Alhaider et al. [2010](#page-204-13); Alzoubi et al. [2013;](#page-204-4) Costenla et al. 2010), an effect that becomes more evident upon aging (Costenla et al. [2011](#page-206-0)); it is also in agreement with recent evidence showing an ability of caffeine to critically modulate the efficiency of memory retrieval in humans (Borota et al. [2014](#page-205-18)).

As previously discussed for mood-related disorders, the evidence available also implicates the antagonism of  $A_{2A}R$  as the likely mechanism by which caffeine attenuates memory deterioration (Cunha and Agostinho [2010](#page-206-3); Takahashi et al. [2008\)](#page-213-22). In fact, both the pharmacological and the genetic blockade of  $A_{2A}R$  can prevent or reverse cognitive impairments in aging (Prediger et al. [2005a\)](#page-212-9) and in brain dis-

ease models, including murine AD models (Canas et al. [2009;](#page-205-19) Cunha et al. 2008b; Dall'Igna et al. 2007; Laurent et al. [2014b](#page-210-17)), early life convulsion (Cognato et al. [2010\)](#page-206-11) or attention deficit and hyperactivity disorder (Pandolfo et al. [2013\)](#page-211-15). Silencing  $A_{2A}R$  also enhanced working memory (Wei et al. [2011;](#page-214-11) Zhou et al. [2009\)](#page-214-12), spatial recognition memory (Wang et al. [2006](#page-214-13)), reversal learning and goal-directed behavior (Mott et al. [2009;](#page-211-16) Nam et al. [2013](#page-211-17); Pardo et al. [2012;](#page-211-6) Yu et al. [2009](#page-214-14)). Conversely, the activation and overexpression of  $A_{2A}R$  receptors impairs memory retrieval in rats (Gimenez-Llort et al. [2007;](#page-208-18) Pereira et al. [2005](#page-211-18)) and the optical recruitment of  $A_{2A}R$  signaling was actually sufficient to impair spatial reference memory in naive animals (Li et al. 2015). Overall, these studies provide strong evidence for  $A_{2A}R$  to be necessary and sufficient for the expression of memory deficits.

This ability of caffeine and  $A_{2A}R$  to prevent cognitive deficits seems extensible to PD. In fact, in animal models of PD, caffeine consumption attenuated cognitive impairment in MPTP-lesioned rats (Gevaerd et al. [2001\)](#page-208-19). These effects were corroborated in several studies from our laboratory using different animal models (Prediger [2010\)](#page-212-4). We demonstrated that the  $A_{2A}R$  antagonist ZM 241385 reversed the amnesic effects of reserpine (Prediger et al. [2005c\)](#page-212-16) and another  $A_{2A}R$  antagonist istradefylline improved dopamine amount and cognitive performance of 6-OHDA-lesioned rats (Kadowaki Horita et al. [2013](#page-209-14)). By contrast, the  $A_1R$  antagonist DPCPX failed to attenuate cognitive benefits in reserpinized rats (Prediger et al. [2010](#page-212-12), [2011](#page-212-13)).

# **Adenosine Receptors: A Promising Target for Olfactory Dysfunction in Parkinson's Disease**

Hyposmia is a prominent prodromal feature of PD, with increased usefulness to assist the diagnosis of early PD (Morley and Duda [2014;](#page-211-19) Ponsen et al. [2004](#page-211-20); Xiao et al. [2014](#page-214-15)). There is an increasing interest in the role purinergic receptor signaling in sensory transduction and information coding in sense organs. Purinergic receptors mediate fast transmission of sensory signals and have modulatory roles in the regulation of synaptic transmitter release, namely in the adaptation to sensory overstimulation, apart from their role in the regulation of neuron-glia bidirectional communication and in the fine-tuning of the turnover of sensory epithelia by modulating apoptosis and progenitor proliferation (Housley et al. [2009](#page-209-15)). In the odor detection and processing, ATP has been well characterized as a mediator of odor sensitivity and information processing in taste buds (Finger et al. [2005](#page-207-12); Hegg et al. [2003;](#page-208-20) Kinnamon and Finger [2013](#page-209-16)), whereas a role for adenosine receptors has been ascribed to sweet buds in particular (Dando et al. [2012;](#page-206-13) Kataoka et al. [2012\)](#page-209-17) and in the control of astrocytes (Doengi et al. [2008\)](#page-207-13). In support of a role of adenosine as extracellular neuromodulators for smelling, it is observed that many proteins and mRNA of the adenosinergic system were found in the olfactory bulb. Thus,  $A_1R$  and  $A_{2A}R$  mRNA is highly expressed in the granule cell layer of adult and developing olfactory bulb of rodents (Kaelin-Lang et al. [1999\)](#page-209-18). The interferon-inducible RNAspecific adenosine deaminase (ADA) is an RNA editing enzyme implicated in the site-selective deamination of adenosine to inosine in cellular pre-mRNAs (Liu et al.

[1999;](#page-210-18) Senba et al. [1987](#page-213-23)) and CD73 (ecto-5′-nucleotidase) is a cell surface enzyme that regulates purinergic signaling by dephosphorylating extracellular AMP to adenosine (Kulesskaya et al. [2013\)](#page-210-19) and both display an high expression and activity in the olfactory bulb (Kulesskaya et al. [2013](#page-210-19); Liu et al. [1999;](#page-210-18) Senba et al. [1987\)](#page-213-23). Caffeine modulates dopamine release (Hadfield [1997\)](#page-208-21), which plays a critical role in olfaction trough adenosine receptors, similar to that adenosine-dopamine interaction in the striatum (Fink et al. [1992](#page-207-14)). Altogether, these findings suggest a relevant role of adenosine in olfactory function.

Adenosine  $A_{2A}$  receptors may also participate in olfactory disorders. For instance, caffeine and ZM 241385 (a selective adenosine  $A_{2A}R$  antagonist) improved the odor recognition deficits displayed by aging rats (Prediger et al. [2005a\)](#page-212-9) and ethanol-intoxicated rats (Prediger and Takahashi [2003;](#page-212-17) Prediger et al. [2005a](#page-212-9); Spinetta et al. [2008\)](#page-213-13). PD is associated with olfactory bulb damage and about 90% of PD patients present olfactory dysfunction at early (pre-clinical) stages of disease (Doty et al. [1988\)](#page-207-0).

Our group investigated the effects of the pharmacological modulation of adenosine receptors in the olfactory function of reserpine-treated rats, an animal model of PD (Gerlach and Riederer [1996](#page-208-22)). Reserpine depletes monoamine storage in catecholaminergic neurons and high reserpine doses  $(3-5 \text{ mg/kg}, i.p.)$  induce transient bradykinesia and muscular rigidity. On the other hand, a single administration of a low reserpine dose (1 mg/kg, i.p.) disrupts olfactory short-term social memory without alter locomotor activity (Prediger et al. [2005b\)](#page-212-10). Similar to that observed in aged rats, caffeine and ZM 241385 reversed reserpine-induced olfactory and social memory dysfunction (Prediger et al. [2005b](#page-212-10)). Interestingly, recent findings from our group have indicated that genetic deletion of  $A_{2A}R$  did not prevent the olfactory dysfunction in MPTP-treated mice (Prediger et al., unpublished data) (Fig. [10.2](#page-202-0)).

In addition, small lifetime caffeine consumption was associated with olfactory dysfunction in first-degree relatives of PD patients (Siderowf et al. [2007\)](#page-213-24). These evidences suggest that antagonism of  $A_{2A}R$  may benefit olfaction of PD (Fig. [10.2\)](#page-202-0). Furthermore, long-term neuroprotective effects of the purinergic system might be an additional benefit since purines display neuroprotective and neuroproliferative effects in mouse olfactory epithelium (Jia et al. [2011\)](#page-209-19), in accordance with the abundant presence of the ecto-nucleotidase NTPDase2 in the germinal zones of the developing and adult rat brain (Braun et al. [2003](#page-205-20)), namely in olfactory pathways (Vandenbeuch et al. [2013](#page-214-16)).

## **Adenosine A2A Receptors and Gastrointestinal Dysfunction in Parkinson's Disease**

Gastrointestinal dysfunction affects up to 80% of PD patients over the course of the disease (Jost [2010;](#page-209-20) Pfeiffer [2003](#page-211-21)). The most commonly reported gastrointestinal sign in PD is related to constipation and difficulty in defecation, with prevalence rates in over 60% of patients (Azmin et al. [2014](#page-204-14)). Prospective follow-up and casecontrol studies found evidence for increased risk of PD in individuals exhibiting a reduced frequency of bowel movements (Abbott et al. [2001;](#page-204-15) Savica et al. [2009\)](#page-212-18),

<span id="page-202-0"></span>

**Fig. 10.2** Adenosine  $A_{2A}$  receptor antagonists as putative treatments to improve olfactory dysfunction in Parkinson's disease

suggesting that constipation may be one of the earliest symptoms of degenerative process in PD. Corroborating these clinical findings, premotor gastrointestinal dysfunction has also been demonstrated in animal models of PD (Drolet et al. [2009;](#page-207-15) Greene et al. [2009](#page-208-23); Kuo et al. [2010](#page-210-20)).

The neuropathology underlying constipation in PD is not entirely clear, but possibly involves  $\alpha$ -synuclein accumulation in the gastrointestinal tract (Pouclet et al. [2012;](#page-212-19) Hilton et al. [2014](#page-209-21)) and cell loss in the dorsal motor nucleus of vagus nerve and enteric nervous system (Bloch et al. [2006](#page-205-21)), both pathological changes emerging at early stages of PD (Braak et al. [2003\)](#page-205-1). Since adenosine  $A_{2A}R$  antagonists are a promising new non-dopaminergic approach to manage PD, it would be of interest to exploring the potential involvement of  $A_{2A}R$  in PD-related gastrointestinal dysfunction. Although at this moment there are no preclinical studies investigating the involvement of  $A_{2A}R$  in gastrointestinal dysfunction in premotor PD, two doubleblind placebo-controlled pilot studies of preladenant in the treatment of fluctuating PD reported constipation as adverse effect (Factor et al. [2013;](#page-207-16) Hauser et al. [2011\)](#page-208-12). PD patients treated with istradefylline also complained of constipation (Hauser et al. [2008\)](#page-208-11). Certainly further preclinical and clinical research studies are required to establish the impact and possible mechanism of action of  $A_{2A}R$  in gastrointestinal dysfunction since these non-motor PD symptoms impose a significant burden on patients and their caregivers.

#### **Role of Adenosine A2A Receptors on Pain in Parkinson's Disease**

Modification of pain perception in PD patients were described by James Parkinson in 1817 (Parkinson 1817). The high prevalence of pain in PD exceeds that of the general population. Pain is considered underestimated non-motor PD symptom that

Treatment	Category of pain
Opioid analgesics	Central or primary pain, Akathisia
Non-opioid analgesics	Musculoskeletal pain
Dopaminergic drugs	Dystonia, central or primary pain, Akathisia
Anticonvulsants and antidepressants	Central or primary pain

<span id="page-203-0"></span>**Table 10.1** Current pharmacological treatments in different types of pain in PD

reduces quality of life of patients. These symptoms begin at PD stage 2, according to Braak classification, with increasing sensitivity and excitability of central pain control system (Braak et al. [2004\)](#page-205-0). The scenario worsens with other comorbidities of aging and PD, like other non-motor and motor PD symptoms, osteoarthritis, rheumatoid arthritis, and osteoporosis (Wasner and Deuschl [2012](#page-214-17)).

Dopaminergic drugs are ineffective, and the prescribed analgesic strategies are inappropriate to manage the particular characteristics of pain in PD patients (Granovsky et al. [2013;](#page-208-23) see Table [10.1\)](#page-203-0). In general, the treatment offered for pain does not satisfy PD patients (Beiske et al. [2009](#page-205-22); Granovsky et al. [2013](#page-208-23); Rana et al. [2014\)](#page-212-20). Pain rating for PD covers a broad spectrum, ranging from musculoskeletal, radicular/neuropathic, dystonia-related, central PD pain and akathitic discomfort/ pain (Fil et al. 2013; Ford [2010\)](#page-207-17). The correct pain classification improves outcomes and direct the choice of the different drug classes that are most adequate, as summarized in the Table [10.1](#page-203-0).

Adenosine stands out in modern pathophysiology of pain associated with PD. Thus, caffeine is an analgesic adjuvant with a favorable risk-benefit (Petersen [2014\)](#page-211-22); in a recent Cochrane review (19 studies, 7238 patients), caffeine improved efficacy of paracetamol, ibuprofen and aspirin (Derry et al. [2012](#page-207-18)), with effect size resembling the doubling of the dose of the primary analgesic. Analgesia by caffeine is explained by antagonism at adenosine receptors (Derry et al. [2012;](#page-207-18) Petersen [2014\)](#page-211-22). Adenosine receptors are widely expressed in the central and peripheral nervous system (CNS and PNS), including fibers driving pain (Dixon et al. [1996\)](#page-207-19). Notably, mice lacking  $A_{2A}R$  are hypoalgesic, and have altered analgesic responses to receptor-selective opioid agonists (Bailey et al. [2002](#page-204-16); Ledent et al. [1997\)](#page-210-6) . There is evidence suggesting a role for the  $A_{2A}R$  in sensitizing afferent fibers projecting to the spinal cord (Bura et al. [2008](#page-205-23); Hussey et al. [2007](#page-209-22)). ATL 313<sup>1</sup> or CGS 21680<sup>2</sup> alleviates neuropathic nociception in animals (Loram et al. [2009](#page-210-21)). A double-blind, placebo-controlled, Phase II, randomized study showed efficacy and tolerability of BVT 115959<sup>3</sup> in reducing neuropathic pain of diabetic patients<sup>4</sup>. Therefore, it will be of interest to analyze data pertinent to the effect of  $A_{2A}R$  antagonist on pain perception in PD patients and this is a relevant issue to be addressed in future studies with animal models of PD.

<sup>&</sup>lt;sup>1</sup> ATL 313: a potent and selective adenosine  $A_{2A}$  receptor agonist.

<sup>&</sup>lt;sup>2</sup> CGS 21680: a selective adenosine  $A_{2A}$  receptor agonist.

<sup>&</sup>lt;sup>3</sup> BVT 115959: an adenosine  $A_{2A}$  receptor agonist.

<sup>4</sup> ClinicalTrials.gov identifier: NCT00452777.

#### **Conclusion**

Non-motor symptoms associated with PD are frequent and currently difficult to manage, being reported by patients to represent a significant burden. The present observation that adenosine  $A_{2A}$  receptor antagonist seem efficacious to attenuate several non-motor PD symptoms, paves the way to consider these drugs novel holistic drugs for PD patients: in fact,  $A_{2A}R$  antagonists not only ameliorate the efficacy of L-DOPA and attenuate its dyskinetic effects, but also afford neuroprotection and attenuate anxiety, depression and cognitive deficits associated with PD. This clearly prompts the need to detail the underlying mechanisms to understand when and how  $A_{2A}R$  should be exploited to maximize benefits for PD patients.

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# **Chapter 11 Imaging Studies with A2A Receptor Antagonists**

**Adriana Alexandre S. Tavares, Olivier Barret, John P. Seibyl and Gilles D. Tamagnan**

**Abstract** Single photon emission computed tomography (SPECT) and positron emission tomography (PET) are increasingly used to understand differential diagnosis and pathophysiological progression of a variety of neurodegenerative and neuropsychiatric disorders. These techniques have also been instrumental in the process of drug discovery and development. Over the last decades, the development of high affinity and subtype-selective adenosine 2A  $(A_{2A})$  radiotracers has enable the non-invasive in vivo quantification of these receptors using SPECT and PET imaging. Data collected so far has confirmed the value of PET and SPECT techniques in assessing  $A_{2A}$  changes in brain. These findings can foster the rapid widespread use of PET and SPECT  $A_{2A}$  imaging, in particular now that suitable PET and SPECT probes with attractive in vivo properties are available for quantification of  $A_{2A}$  in brain. In particular, the recent report of radiotracers labelled with fluorine-18 or iodine-123 that displayed improved binding potentials in vivo compared with radiotracers previously developed, provides the opportunity to further expand the global use of in vivo pre-clinical and clinical  $A_{2A}$  imaging studies in neuroscience research. This book chapter provides a brief overview of the value of PET and SPECT in neuroscience, describes the key in vivo characteristics of PET and SPECT radiotracers developed to date for imaging  $A_{2A}$  in brain and offers examples of previous pre-clinical and clinical studies that used PET and SPECT with  $A_{2A}$  radiotracers to address a specific research question, with a particular focus on studies examining Parkinson's disease.

**Keywords**  $A_{2A}$  · **PET** · **SPECT** · **Radiotracer** · **Parkinson**'s disease ·  $[^{11}C]TMSX$  · [11C]SCH442416 **·** [123I]MNI-420 **·** [18F]MNI-444

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# **Introduction**

Over the years, imaging techniques have often been the putative *eyes of science*, insofar as imaging provides non-invasive, in vivo quantification of multidimensional, multiparameter data. Molecular imaging techniques, such as single photon emission computed tomography (SPECT) and positron emission tomography (PET), are increasingly used to measure parameters such as concentration, tissue kinetic properties and receptor density changes. Neuroimaging using PET and SPECT has assumed a key role in improving the understanding of differential diagnosis and pathophysiological progression of a variety of neurodegenerative and neuropsychiatric disorders, by employing imaging biomarkers to complement clinical measures. These techniques have also been critical to the process of drug discovery and development.

The success of brain PET and SPECT dopaminergic imaging in Parkinson's disease (PD) (Loane and Politis [2011](#page-238-0); Marek et al. [2001;](#page-238-1) Parkinsons Study Group [2002;](#page-239-0) Schwarz et al. [2004\)](#page-239-1) has been important to the rapid growth of the use of these imaging modalities. More selective PET and SPECT brain radiotracers that label specific dopaminergic and non-dopaminergic targets in patients in vivo will improve our understanding of the pathophysiology of Parkinson's disease (PD), and facilitate more informed strategies for the use of current or novel therapies. The adenosine 2A receptor  $(A_{24})$ , in particular, has attracted significant interest from the scientific community as a novel therapeutic target in late stage PD, mainly prompted by multiple studies demonstrating the co-expression of  $A_{2A}$  and dopamine  $D_2$  receptors in basal ganglia neurons important in the control of movement (Ferré et al. [2007,](#page-237-0) [2008;](#page-237-1) Fuxe et al. [2003;](#page-237-2) Ikeda et al. [2002\)](#page-237-3). Imaging  $A_{2A}$  in brain using selective PET and SPECT radiotracers can provide the opportunity to further understand the role of these receptors in PD and help drug discovery programmes developing improved adenosinergic strategies for treatment of PD.

This chapter provides a brief overview of the value of PET and SPECT in neuroscience. Subsequently, PET and SPECT radiotracers developed to date for imaging  $A_{2A}$  in brain will be presented, highlighting their main characteristics. Examples of previous pre-clinical and clinical studies that used PET and SPECT with  $A_{2A}$ radiotracers to address a specific research question will also be discussed here, with a particular focus on studies examining PD.

#### **PET and SPECT Imaging in Neuroscience**

Molecular imaging has been defined as the in vivo characterization and measurement of biological processes at the cellular and molecular level (Haberkorn and Eisenhurt [2005\)](#page-237-4). Radionuclide imaging, namely PET and SPECT, is at the leading edge of molecular imaging as it enables the in vivo quantitative measurement of the distribution of a radiotracer, in order to provide information on a specific biological or biochemical process in the living body (Salvadori [2008](#page-239-2)). The use of a radiotracer allows for exceptional target specificity at the molecular level that cannot be accomplished with other imaging techniques (de Kemp et al. [2010](#page-236-0)). In addition, radiotracer imaging provides a large and ever-expanding number of brain targets for scintigraphic interrogation. This permits the design of more sophisticated studies of the interdependence and interaction of pathophysiologic changes involving multiple neurochemical systems in neuropsychiatric diseases. Imaging with radiotracers is based on the principle that the radiotracer does not alter or perturb the biological system under investigation. For this to be possible, the injected mass of a radiotracer should be as low as possible so that it occupies only a small percentage of the target, i.e. the microdosing principle (also denoted as tracer principle). For example, in brain receptor imaging, radiotracers should not occupy more than 1% of the available receptors (Ruth [2009](#page-239-3)).

PET and SPECT imaging provide the means for examining regional cerebral blood flow, metabolism, and pharmacology in vivo. These imaging modalities have helped to establish the diagnosis of multiple neurodegenerative and neuropsychiatric disorders, where this is in doubt, and to provide potential biomarkers for following disease development and the effects of drugs on disease progression. Furthermore, PET and SPECT can advance our understanding of different diseases and determine the functional effects of therapy on neurotransmission and metabolism. These imaging modalities are also important tools in drug discovery and development programs that target central nervous system disorders (Fig. [11.1\)](#page-217-0). For example, developing radiotracers analogues of novel drugs can help outlining the regional distribution in brain of the novel therapeutic agent and it can also establish proof of principle that the new drugs cross the blood-brain barrier to reach their targeted receptor, transporter or enzyme with high specificity, i.e. evaluation of drug-target engagement. This approach has an added advantage of allowing for multiple drug analogues to be tested with less strict regulatory requisites than those mandatory for novel medicinal drugs, owing to the extremely low mass dose injected. PET and

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**Fig. 11.1** Key applications of PET and SPECT imaging in neuroscience research

SPECT imaging studies can also provide receptor, transporter and enzyme active site dose-occupancy profiles, thus guiding dosage selection for Phase I and Phase II clinical trials (Brooks [2005\)](#page-236-1). Ultimately, radionuclide imaging might provide a surrogate marker for drug efficacy and accelerate the implementation of the concept of personalized medicine, where receptor, transporter or enzyme binding profiles would help predict therapeutic outcome. This potential has not yet been completely realized, but there is a tremendous ongoing effort within the scientific community to implement the use of PET and SPECT imaging in patient's stratification for clinical trials and in more drug receptor-occupancy studies, as suggested by the growing number of publications in this area.

#### **Imaging the Adenosinergic System in Brain**

Adenosine is a neuromodulator produced by conversion of intra- and extracellular adenine nucleotides, which acts both in the central nervous system and in the periphery via four different G-protein coupled receptors:  $A_1$ ,  $A_{2A}$ ,  $A_{2B}$  and  $A_3$  (Hirani et al. [2001](#page-237-5); Mishina et al. [2007;](#page-239-4) Moresco et al. [2005](#page-239-5); Müller and Jacobson [2011](#page-239-6)) Dysregulation of these receptors has been implicated in a variety of neurodegenerative and neuropsychiatric disorders. For example, the  $A_1$  receptors have been found to play an important role in the regulation of alertness and sleep-wakefulness (Elmenhorst et al. [2007\)](#page-236-2), as well as, in the pathophysiology of Alzheimer's disease (Angulo et al. [2003\)](#page-236-3), stroke (Heurteaux et al. [1995\)](#page-237-6), epilepsy and anxiety disorders (Gouder et al. [2003](#page-237-7); Johansson et al. [2001](#page-238-2); Plamondon et al. [1999\)](#page-239-7). Previous studies have demonstrated that dysregulation of  $A_{2A}$  receptors is involved in the development of movement disorders, namely, PD (Hirani et al. [2001](#page-237-5); Holschbach et al. [2006;](#page-237-8) Ikeda et al. [2002;](#page-237-3) Moresco et al. [2005](#page-239-5)), as well as, in Alzheimer's disease, Huntington's disease (HD), mood disorders, panic disorders, schizophrenia, attention deficit hyperactivity disorder, depression and addiction (Chen et al. [2013;](#page-236-4) El Yacoubi et al. [2001;](#page-236-5) Holschbach et al. [2006](#page-237-8); Ledent et al. [1997](#page-238-3); Müller and Jacob-son [2011\)](#page-239-6). The  $A_{2B}$  receptors role in neuroinflammatory processes has also been de-scribed (Rosi et al. [2003\)](#page-239-8). Further,  $A_3$  receptors have been investigated as potential therapeutic targets in cerebral ischemia (Chen et al [2006\)](#page-236-6), chronic pain (Chen et al. [2012\)](#page-236-7) and glaucoma treatment (Fishman et al. [2013](#page-237-9)).

As research establishes the relevance of adenosinergic receptor system dysregulation in multiple neurodegenerative and neuropsychiatric disorders, the development of tools to investigate  $A_1$ ,  $A_{2A}$ ,  $A_{2B}$  and  $A_3$  in healthy and pathological conditions and to determine the efficacy of novel drugs in the treatment of these disorders becomes increasingly valuable. PET and SPECT imaging have proven to be useful as tools to investigate biological or biochemical processes in the living body with the unique ability to image neuroreceptors in vivo. Enhancing the available number of selective radiotracers will increase the multiplicity of biological sites and processes that can be imaged in vivo (Mariani et al. [2008;](#page-238-4) Ruth [2009](#page-239-3)). Consequently, the development of novel radiotracers is necessary to study and understand multiple

pathophysiological processes and also to accelerate and aid drug discovery (Frank et al. [2007;](#page-237-10) Mariani et al. [2008;](#page-238-4) Ruth [2009](#page-239-3); Salvadori [2008](#page-239-2)). In this context, selective radiotracers for imaging of the adenosinergic receptors have been developed.

Several radiotracers have been proposed for imaging  $A_1$  in brain. Xanthine derivatives, such as  $[{}^{11}C|KF15372, [{}^{11}C|MPDX, [{}^{11}C]EPDX, and [{}^{18}F]CPFPX, were$ synthesized as candidates for in vivo imaging of  $A_1$  in brain (Bauer et al. [2003a](#page-236-8),[b;](#page-236-9) Fukumitsu et al. [2003](#page-237-11); Ishiwata et al. [1995,](#page-237-12) [2007](#page-238-5)).  $[$ <sup>11</sup>C]FR194921, a highly selective non-xanthine derived antagonist, has also been proposed as a suitable radiotracer for in vivo imaging of  $A_1$  in brain (Ishiwata et al. [2007;](#page-238-5) Matsuya et al. [2005\)](#page-238-6). Another radiotracer labelled with the positron emitter <sup>75</sup>Se has been developed for  $A_1$  imaging (5'-(methyl[<sup>75</sup>Se]seleno)- $N^6$ -cyclopentyladenosine), but no biological evaluation of this radiotracer has been reported (Blum et al. [2004\)](#page-236-10). Within these PET radiotracers, only  $[{}^{18}F]$ CPFPX and  $[{}^{11}C]$ MPDX have been used in human clinical studies (Elmenhorst et al. [2012;](#page-236-11) Fukumitsu et al. [2003;](#page-237-11) Ishiwata et al. [2007;](#page-238-5) Meyer et al. [2006a,](#page-238-7) [b\)](#page-238-8).

Radiotracers for imaging  $A_{2A}$  receptors reported to date include, but are not limited to  $[{}^{11}C]TMSX$ ,  $[{}^{11}C]SCH442416$ ,  $[{}^{123}I]MNI-420$  and  $[{}^{18}F]MNI-444$ . Detailed description of these and other  $A_{2A}$  radiotracers developed to date will be provided in a separate section on radiotracers for imaging  $A_{2A}$  receptors in brain, presented below. Recently, autoradiography studies using the tritiated radiotracer [<sup>3</sup>H]MRS1754 have been used to successfully describe  $A_{2B}$  distribution in mouse, rabbit and dog brain (Auchampach et al. [2009\)](#page-236-12). Although in vivo imaging of  $A_{2B}$  using PET and SPECT is still at an embryonic stage, with the continual development of highly selective  $A_{2B}$  antagonists, such as PSB-603 (for review on recent developments in adenosine receptor ligands see, for example Müller and Jacobson [2011\)](#page-239-6), in vivo imaging of these receptors using PET or SPECT has potential for a rapid breakthrough. Two 18F-labelled radiotracers have been recently introduced as potential PET probes for imaging  $A_3$ ,  $[$ <sup>18</sup>F]FE@SUPPY and  $[$ <sup>18</sup>F]FE@SUPPY:2; and several nucleoside derivatives that contain 76Br for PET imaging were recently reported, including MRS5147 (Kiesewetter et al. [2009](#page-238-9); Mitterhauser et al. [2009](#page-239-9)).

# **Radiotracers for Imaging A2A Receptors in Brain**

The development of novel PET and SPECT radiotracers targeting  $A_{2A}$  has experienced significant growth as selective  $A_{2A}$  antagonists have become available. Several compounds have been developed as radiotracers for in vivo imaging of adenosine  $A_{2A}$  in brain (Fig. [11.2](#page-220-0)).

The xanthine derived  $A_{2A}$  antagonist radiotracer, [<sup>11</sup>C]KF17837, that had shown promise in rodent studies, was unsuccessful in imaging  $A_{2A}$  in the monkey brain, where a limited brain extraction and high non-specific binding was observed (Noguchi et al. [1998](#page-239-10); Stone-Elander et al. [1997\)](#page-240-0). Further investigation of [11C]KF17837 showed this radiotracer had poor selectivity for  $A_{2A}$ , as additional binding sites were subsequently identified. Other xanthine derived radiotracers that were prepared and

<span id="page-220-0"></span>

**Fig. 11.2** Radiotracers for imaging  $A_{2A}$  in brain using PET and SPECT

evaluated in rodents included the  $[$ <sup>11</sup>C]KF19631 and the  $[$ <sup>11</sup>C]CSC (Ishiwata et al. [2000a](#page-237-13), [2007](#page-238-5); Márián et al. [1999\)](#page-238-10). These radiotracers had similar properties to  $\lceil$ <sup>11</sup>C] KF17837 and thus, were deemed unsuitable for in vivo imaging of  $A_{2A}$  receptors in brain (Ishiwata et al. [2007](#page-238-5)). Later, [<sup>11</sup>C]BS-DMPX and [<sup>11</sup>C]IS-DMPX were developed and their biological properties were investigated by Ishiwata and co-workers. It was suggested that these radiotracers could be brominated and iodinated based on BS-DMPX and its chlorinated analogue CS-DMPX. The former could potentially be labelled with the positron emitter bromine-75 (half-life of 1.7 h) or bromine-76 (half-life of 16.1 h) and the latter with iodine-124 (half-life of 4.18 days) and iodine-123 (half-life of 13.3 h), allowing for the production of different PET and SPECT radiotracers (Ishiwata et al. [2007](#page-238-5)). However, despite the good in vitro affinity and selectivity for  $A_{2A}$ , [<sup>11</sup>C]IS-DMPX and [<sup>11</sup>C]BS-DMPX displayed high nonspecific binding and limited selectivity for the target in vivo, indicating that these radiotracers were not suitable for imaging of  $A_{2A}$  in brain (Ishiwata et al. [2000d\)](#page-237-14). Another xanthine derived radiotracer, [<sup>11</sup>C]KW-6002, was also developed, but its high concentration in extra-striatal regions meant [<sup>11</sup>C]KW-6002 in vivo selectivity was questionable and limited its utility as a selective radiotracer for mapping  $A_{2A}$  in brain (Hirani et al. [2001](#page-237-5)). Remarkably, the non-labelled compound KW-6002 (also known as istradefylline) has been successfully used in several studies as an antiparkinsonian agent (see for example, Bara-Jimenez et al. [2003](#page-236-13); Hauser et al. [2003;](#page-237-15) Kase et al. [2001](#page-238-11)), having recently received the first global approval as a novel drug for treatment of PD in Japan (Dungo and Deeks [2013](#page-236-0)).

The continual search for improved xanthine derived radiotracers with higher  $A_{2A}$ selectivity, led to the development of  $[$ <sup>11</sup>C]KF18446 (more frequently known as  $\lceil$ <sup>11</sup>C]TMSX) and  $\lceil$ <sup>11</sup>C]KF21213. In vivo evaluation of  $\lceil$ <sup>11</sup>C]TMSX in rodents and

monkeys showed this radiotracer holds promise for imaging  $A_{2A}$  in brain (Ishiwata et al. [2005a\)](#page-238-12). In mice the highest striatum:cerebellum uptake ratios of  $[11C]TMSX$ were found to be 2.7 at 15 min post-injection; while in rats and monkeys the highest striatum:cerebellum uptake ratios reported were 2.67 (at 15 min post-injection) and 1.56 (at 60 min post-injection), respectively (Ishiwata et al. [2000a](#page-237-13)). In rodents, [11C]KF21213 displayed a good striatal uptake ratio relative to cerebellum of 10.5 at 60 min post-injection and no specific uptake was observed in the cortex nor in the cerebellum (Wang et al. [2000\)](#page-240-1). However, in nonhuman primate brain,  $[$ <sup>11</sup>C] KF21213 had a lower signal-to-noise ratio than [<sup>11</sup>C]TMSX (Ishiwata et al. [2005a\)](#page-238-12), suggesting that  $\lceil$ <sup>11</sup>C]TMSX is the most suitable radiotracer for mapping  $A_{2A}$  in brain among the xanthine derived radiotracers proposed to date.

All radiotracers presented above are xanthine derived radiotracers and it is known that the styryl group in xanthine derivatives is isomerized by exposure to visible light (Nonaka et al. [1993](#page-239-11)). This means that, in experimental pre-clinical and clinical studies, all procedures, from radiosynthesis to metabolite analysis of plasma samples from animals or humans required for quantitative evaluation of radiotracer binding, should be carefully performed under the exclusion of light. To overcome this issue, a non-xanthine derived  $A_{2A}$  antagonist radiotracer, [<sup>11</sup>C]SCH442416, was developed and its potential as an  $A_{2A}$  imaging agent was investigated in vivo. Rodent studies showed that [<sup>11</sup>C]SCH442416 had a good target:non-target ratio (in rats, a striatum:cerebellum ratio of around 4.6 was determined at 15 min post-injection) and a low amount of radioactive metabolites in brain and periphery (Moresco et al. [2005;](#page-239-5) Müller and Jacobson [2011\)](#page-239-6). In addition, kinetic modelling showed that, in rats,  $\lceil$ <sup>11</sup>C]SCH442416 PET data could be modelled using both the 1- and 2-tissue compartmental models (1 T and 2 T) (details on nomenclature used for in vivo imaging of reversibly binding radiotracers can be found at Innis et al. [2007\)](#page-237-16). The simplified reference tissue model (SRTM) (Lammerstma and Hume [1996](#page-238-13)) was able to estimate binding potentials  $(BP_{ND})$  in the striatum, although the use of this method resulted in a small underestimation of the binding potential values by about 16% as compared with 1 T and 2 T models. The test-retest variability of  $BP_{ND}$  was lowest when using SRTM for data quantification, on average <10%.  $[$ <sup>11</sup>C]SCH442416 dosimetry estimates using the rodent model showed this radiotracer displayed a favourable dosimetry profile for imaging in humans, where an effective dose of 4.1 µSv/MBq was determined (Wells et al. [2013\)](#page-240-2). Despite the promising rodent data, a relatively high non-specific binding and a striatal binding potential  $BP_{ND}$ of 0.74 was measured in monkey brain (Moresco et al. [2005](#page-239-5); Müller and Jacobson [2011](#page-239-6)). Still, [<sup>11</sup>C]SCH442416 has been used in several human studies investigating  $A_{2A}$  densities in specific brain disorders and in drug receptor-occupancy studies targeting  $A_{2A}$  in brain (Brooks et al. [2010](#page-236-14); Mihara et al. [2008;](#page-239-12) Ramlackhansingh et al. [2011](#page-239-13)). In healthy human subjects, the binding potentials determined in the caudate, putamen and thalamus were around 0.53, 0.99 and 0.12, respectively (Ramlackhansingh et al. [2011](#page-239-13)).

Until recently all  $A_{2A}$  radiotracers developed (either xanthine or non-xanthine derived radiotracers) have been labelled with the positron emitter carbon-11. Radiotracers labelled with longer-lived radioisotopes, such as iodine-123 or fluorine-18, which could be dispensed from a central pharmacy rather than generated on-site, would be advantageous, in particular in the conventional clinical setting where no cyclotron facilities are typically available. They could also potentially allow for data to be acquired over a wider time window, without jeopardizing adequate data sampling with minimal noise, an issue more commonly encountered when using <sup>11</sup>C-labelled radiotracers. In 2011, Bhattacharjee and co-workers developed  $[^{18}F]$ MRS5425, a derivative of SCH442416, for in vivo imaging of  $A_{24}$  in brain. Preliminary data in rodents demonstrated this radiotracer had a peak percentage injected dose in the striatum of  $0.75\%$  at 90 s post-injection, followed by a plateau at 3.5 min and a slow decline thereafter. Furthermore, they found that  $[18F]MRS5425$ striatal binding was blocked by pre-administration of SCH442416 (Bhattacharjee et al. [2011](#page-236-15)). Further evaluation of this radiotracer in nonhuman primates or humans has not been reported so far. In 2013, the Molecular NeuroImaging group publish data on the first successful SPECT radiotracer for imaging  $A_{2A}$  in brain,  $\left[1^{23}I\right]$ MNI-420, a non-xanthine derived compound, analogue of preladenant. Shortly after, the same group reported the development of a MNI-420 analogue labelled with fluorine-18,  $[18F]$ MNI-444, that has also shown to be promising as a PET radiotracer for imaging  $A_{2A}$  in brain. The striatum:cerebellum ratios determined for  $[123]$ MNI-420 and  $\lceil 18F \rceil MNI-444$  in monkey brain were found to be $\sim 3.0-3.5$  and  $\sim 7.0-9.0$ , re-spectively (Alagille et al. [2013;](#page-236-16) Tavares et al. [2013a,](#page-240-3) [b\)](#page-240-4), indicating that  $\lceil 1^{23} \rceil$  MNI-420 and  $[^{18}F]$ MNI-444 are the most suitable radiotracers for mapping  $A_{2A}$  in brain among the non-xanthine derived radiotracers proposed to date.

Other attempts to develop a selective  $A_{2A}$  radiotracer have been reported in the literature, including a study by Holschbach et al. in 2006 that examined the use of oxazolopyrimidines as potentially amenable compounds for  $A_{2A}$  imaging. Although the developed library had affinities for the  $A_{2A}$  in the low-nanomolar range, and some were quite selective over the  $A_1$ , a high level of non-specific binding obscuring specific binding in in vitro autoradiographic experiments deemed those oxazolopyrimidines unsuitable candidates for brain imaging of  $A_{2A}$  receptors by PET (Holschbach et al. [2006\)](#page-237-8).

The sections below will describe in detail the pre-clinical and clinical validation data obtained so far for the most suitable and promising xanthine and non-xanthine derived radiotracers developed to date for imaging  $A_{2A}$  in brain using SPECT and PET.

# *[11C]TMSX*

In 2000, Ishiwata and co-workers reported the development of [<sup>11</sup>C]TMSX. In mice, the striatal uptake of [11C]TMSX gradually decreased over time, with the striatum:cerebellum ratio peaking at 2.71 at 15 min post-injection. [<sup>11</sup>C]TMSX uptake was blocked by xanthine and non-xanthine derived compounds. However, blockade with cold TMSX was able to reduce the cortical uptake by about 50%, while the non-xanthine derived compound SCH 58261 only reduced the cortical uptake by $\sim$ 25% (Ishiwata et al. [2000a\)](#page-237-13). Autoradiography experiments demonstrated that  $[11C]$ TMSX had a different affinity for  $A_{2A}$  in the striatum compared with the cerebral cortex, 9.8 nM and 16 nM, respectively (Ishiwata et al. [2000b](#page-237-17)). These findings were also observed by Fredholm and co-workers using tritiated  $A_{2A}$  ligands and autoradiography, leading the authors to suggest the observed uptake in cortical areas was due to the presence of atypical  $A_{2A}$  receptor subtypes that contrasted with the classical  $A_{2A}$  receptors in the striatum (Cunha et al. [1996](#page-236-17); Lindström et al. [1996\)](#page-238-14). This hypothesis has also been suggested by Noguchi et al. in 1998 as the mechanism underlying the observed unknown binding sites with  $\lceil 1 \text{C} \rceil$ KF17837, another xanthine-derived PET radiotracer (Noguchi et al. [1998](#page-239-10)). These differences in binding affinity determined for  $[$ <sup>11</sup>C]TMSX do not seem to be related with this radiotracer binding to other receptors in brain, as prior in vitro studies showed  $[$ <sup>11</sup>C] TMSX had negligible affinity for 13 neuroreceptors, including adrenergic, dopamine, acetylcholine and serotonin receptors (Ishiwata et al. [2000b](#page-237-17)).

Further evaluation of  $[11C]$ TMSX in rats also demonstrated a striatum:cerebellum ratio similar to mice (about 2.67 at 15 min post-injection). Later, data from nonhuman primate studies showed that the striatal activity levels were retained high for the initial 20 min and then gradually decreased with time. In monkeys, the binding ratios of  $\lceil$ <sup>11</sup>C $\lceil$ TMSX were found to peak at around 1.56 at 60 min post-injection (Ishiwata et al. [2000a\)](#page-237-13).

 $[11C]$ TMSX metabolism in mice blood was slow and, when the metabolite analysis was carefully undertaken under dim light to prevent the radiotracer isomerization, over 80% of the detected radioactivity in mice plasma at 30 min post-injection was parent unchanged compound. Furthermore, metabolism experiments conducted using mice brain demonstrated the radiolabelled metabolites present in this organ were negligible. In monkeys, peripheral degradation of this radiotracer was found to be faster than in mice, with parent compound in plasma at 30 min post-injection of about 40% (Ishiwata et al. [2000a\)](#page-237-13).

Prompted by the promising results in rodents and monkeys, human studies were subsequently undertaken to characterize  $[$ <sup>11</sup>C]TMSX pharmacokinetics in brain, whole-body biodistribution and dosimetry. Kinetic modelling of  $\lceil$ <sup>11</sup>C]TMSX brain PET data demonstrated the 2 T model and the Logan graphical analysis (Innis et al. [2007;](#page-237-16) Logan [2000](#page-238-15)) were able to describe the obtained time-activity curves in humans. Furthermore, data published by Naganawa et al. in 2007 showed that  $A_{2A}$  in the human brain could be visualized as a binding potential image using  $[11C]TMSX$ and PET without arterial blood sampling (Naganawa et al. [2007](#page-239-14)).

Mishina et al. found about 30% specific binding in human cerebellum, cerebral cortex and thalamus when imaging with [<sup>11</sup>C]TMSX, indicating that in humans the cerebellum, cortex, or thalamus could not be used as reference region for quantification of [11C]TMSX binding potentials (Mishina et al. [2007\)](#page-239-4). Subsequently, the centrum semiovale was proposed as an alternative to the use of the cerebellum as reference region for quantification of [11C]TMSX PET data, because this region had the lowest [<sup>11</sup>C]TMSX binding of all investigated regions, and was considered to be devoid of specific binding due to the few neurons present there (Mishina et al. [2007;](#page-239-4) Naganawa et al. [2007\)](#page-239-14). Additionally, it was found that the duration of 10–40 min after radiotracer administration was a practical choice for estimating the

 $[11C]TMSX$  total distribution volumes accurately (Naganawa et al. [2007](#page-239-14)). When the centrum semiovale was used as reference region for data quantification, the  $\lceil$ <sup>11</sup>C]TMSX binding potential in human brain was highest in the anterior (1.25) and posterior putamen (1.20), followed by the head of the caudate nucleus (1.05) and thalamus (1.03), and it was low in the cerebral cortex, in particular in the frontal lobe (0.46). The highest binding potential in the striatum agrees with previous reports demonstrating enriched  $A_{2A}$  expression in that brain region (Sihver et al. [2009;](#page-239-15) Svenningsson et al. [1997\)](#page-240-5), however the binding of  $[11C]$ TMSX was relatively larger in the thalamus when compared with other mammals and prior studies with human brain tissue. Mishina et al. suggested that atypical  $A_{2A}$  receptors may be involved in the cerebral cortex, cerebellum and thalamus observed specific binding with  $[$ <sup>11</sup>C]TMSX (Mishina et al. [2007](#page-239-4)). Overall,  $A_{2A}$  imaging by  $[$ <sup>11</sup>C]TMSX PET reflects the distribution of  $A_{2A}$  in brain previously reported, although regional differences in the signals of specific binding were relatively smaller compared with those of other radioligands. For example, the binding potential of  $\lceil$ <sup>11</sup>C]TMSX in the putamen was only 1.2 times of that in the thalamus and 3.7 times of that in the frontal cortex; while post-mortem studies have revealed that the density of  $A_{2A}$  in the putamen and caudate nucleus was 5 times that of the thalamus and 3–5 times of that in the cerebral cortices (Svenningsson et al. [1997](#page-240-5)). This discrepancy may be due to differences in the methodology used, such as, PET imaging versus autoradiography and differences in the radiotracers used.

In humans, [<sup>11</sup>C]TMSX peripheral metabolism in blood was extremely slow with about 95% parent present at 30 min post-injection, indicating the labelled metabolites may be negligible in the human  $[11C]TMSX$  PET examination (Mishina et al. [2007\)](#page-239-4). This contrasts with pre-clinical monkey data that found 40% of parent compound in plasma at 30 min post-injection, but it is in line with mice studies data demonstrating that the percentage of unchanged  $\lceil$ <sup>11</sup>C]TMSX in plasma at 30 min post-injection was about 80% (Márián et al. [1999\)](#page-238-10).

Despite the discrepancies in  $[11C]$ TMSX regional distribution in brain in comparison with expected mapping from autoradiography studies, that requires further investigation,  $\lceil$ <sup>11</sup>C]TMSX whole-body and biodistibution was investigated in three human subjects. These data were recently published and demonstrated that  $[11]$ C TMSX main elimination route in humans was hepatobiliary. The whole-body effective dose was determined to be about  $3.6\pm0.29$   $\mu$ Sv/MBq, which is in line with other 11C-labelled radiotracers previously developed and currently in human use (Sakata et al. [2013](#page-239-16)).

# *[123I]MNI-420*

In 2013, Tavares and co-workers reported the development of [123I]MNI-420 as a SPECT radiotracer for imaging  $A_{2A}$  in brain. A rapid brain penetration was observed following intravenous injection of  $[1231]$ MNI-420 in two different species of nonhuman primates (cynomolgus monkeys and baboons). The regional brain accumulation of  $[123]$ MNI-420 was consistent with the known distribution of  $A_{2A}$ 

<span id="page-225-0"></span>

**Fig. 11.3** Representative cynomolgus monkeys [123I]MNI-420 brain SPECT images ( *top row*) and standardized uptake value ratios ( *SUVr*) in different brain regions ( *bottom row*). SPECT images presented in the *top row* are co-registered with magnetic resonance images for better anatomical localization of different brain structures. Sum SPECT images from 0 to 240 min post-radiotracer injection. Note the high target:cerebellum ratios determined ( *cerebellum as reference region*)

in brain. Striatum:cerebellum ratios and binding potentials of around 3.0–3.5 and 2.0–2.5, respectively, were measured in monkey and baboon brain (Tavares et al. [2013a](#page-240-3)) (Fig. [11.3](#page-225-0)). These results compared favourably with promising radiotracers previously developed for imaging of  $A_{2A}$  in brain. For example,  $[^{11}C]TMSX$  and  $[11C]SCH442416$ , both already used in multiple human studies, had a maximum uptake ratio to cerebellum and binding potential of around 1.6 and 0.7 in monkey striatal region, respectively (Mishina et al. [2007](#page-239-4); Moresco et al. [2005\)](#page-239-5). The cerebellum was used as the reference region for estimation of tissue ratios and binding potentials of  $\lceil 1^{23}I \rceil$ MNI-420. Collected data in nonhuman primates showed the cerebellum had the lowest uptake of all brain regions and its binding did not appear to be reduced during pre-blocking experiments with high doses of a selective  $A_{2A}$ drug, preladenant (Tavares et al. [2013a](#page-240-3)). Unlike previously developed xanthine-derived radiotracers for imaging  $A_{2A}$  in brain, including  $[11C]TMSX$ , that have shown

evidence of undefined extra-striatal specific binding in the cerebellum in vivo,  $[123]$ ] MNI-420 SPECT showed no specific binding in that region. This agrees with high resolution autoradiography experiments showing that the cerebellum is a region with low to negligible  $A_{2A}$  density (Sihver et al. [2009](#page-239-15)), supporting the use of the cerebellum as a reference region. It is possible that the xanthine-derived radiotracers have a different in vivo behaviour compared to the non-xanthine ones. In fact, it was proposed that the reduction of the xanthine-based radiotracers extra-striatal binding (such as  $\lceil$ <sup>11</sup>C]TMSX) by xanthine but not by non-xanthine A<sub>2A</sub> antagonists, was either due to additional binding of those radiotracers to "undefined" sites or that a slower in vivo association kinetics for non-xanthine antagonists was the reason for such unexpected observation (Hirani et al. [2001](#page-237-5); Ishiwata et al. [2000;](#page-237-18) Noguchi et al. [1998](#page-239-10); Wang et al. [2000](#page-240-1)). However, one can also hypothesize that the observed results with xanthine radiotracers previously developed could be simply due to the lack of in vivo selectivity, the high reported non-specific binding or a combination of those two factors.

Encouraged by the promising results in nonhuman primates,  $\lceil 1^{23} \rceil$  MNI-420 validation in humans was subsequently undertaken. Similar to the collected monkey data,  $\lceil 1^{23} \rceil$  MNI-420 accumulation in human brain in vivo was consistent with known  $A_{2A}$  distribution and at the optimal imaging time point (>90 min postinjection) stable striatum:cerebellum ratios of 1.6, 2.0 and 1.8 were measured in the caudate, putamen and striatum, respectively (Tavares et al. [2013b](#page-240-4)). These values compare favourably with the highest distribution volume ratios reported for  $\lceil$ <sup>11</sup>C]TMSX in human subjects (1.4 and 1.5 in the caudate and putamen, respectively; when the frontal lobe, temporal lobe and occipital lobe were used as reference region) (Mishina et al. [2011\)](#page-239-17). Human studies using [11C]TMSX have demonstrated radiotracer binding to the thalamus (Mishina et al. [2011](#page-239-17)), where the thalamic binding was translated into an average binding potential value of around 1.03 versus 1.25 in the putamen. This thalamic binding has been attributed to the presence of atypical  $A_{2A}$  receptors in that brain region. However, it is interesting to note that human SPECT data acquired using  $[123]$ [MNI-420 did not display detectable binding to the thalamus (Tavares et al. [2013b\)](#page-240-4). This further supports the hypothesis that xanthine derived radiotracers have a different in vivo behaviour compared with the non-xanthine ones. Alternatively, one could also hypothesise that the xanthine derived radiotracers may be less stable than non-xanthine derived radiotracers and their metabolite(s) could potentially bind to other nontarget sites in brain.

Kinetic modelling of [<sup>123</sup>I]MNI-420 human SPECT data demonstrated the 2 T model was able to describe this radiotracer kinetics in brain. Furthermore, it was found that non-invasive methods of quantification, namely, SRTM and noninvasive Logan graphical analysis, using the cerebellum as a reference region, were able to describe the SPECT data. However, the results obtained using these non-invasive methods were slightly underestimated by 14%. Similarly the use of the stable striatum:cerebellum ratios at t>90 min post-injection allowed for the quantification of the data, but marginally underestimated the binding potentials by about 6%. The [<sup>123</sup>I]MNI-420 striatal binding potentials were found to range

between 0.8 and 1.2 (Tavares et al. [2013b\)](#page-240-4), that is in line with data reported for  $\lceil$ <sup>11</sup>C]SCH442416 (binding potentials ranging between 0.5 and 1.0) and  $\lceil$ <sup>11</sup>C]TMSX (binding potentials ranging between 1.0 and 1.3) (Naganawa et al. [2007](#page-239-14); Ramlackhansingh et al. [2011\)](#page-239-13).

The test-retest variability in the striatum determined using  $[123]$  MNI-420 SPECT was found to be, on average, 4.8% when using SRTM method, 3.5% when using Logan reference and 6.5% when using SUVr methods (Tavares et al. [2013b\)](#page-240-4). These values compare favourably with previously reported test-retest variability determined in humans with other 123I-labelled SPECT radiotracers developed for brain receptor imaging and support the use of  $\lceil 123 \rceil$  MNI-420 SPECT for mapping A<sub>2A</sub> in brain.

Peripheral metabolism of  $\lceil$ <sup>123</sup>I]MNI-420 in humans post intravenous injection was slow and at 30 min and 2 h after radiotracer injection, the parent fraction in arterial plasma was determined to be around 87% and 63%, respectively. Whole-body biodistribution and dosimetry studies were subsequently conducted in healthy male and female human volunteers. Collected data showed [123I]MNI-420 main elimination was mainly hepatobiliary, being minimally excreted via the urinary system. The mean effective dose was determined to be around 0.036 mSv/MBq, suggesting acceptably low radiation exposure associated with  $[123]$  MNI-420 imaging in human subjects and allowing multiple scans to be performed in the same research subjects per year (Tavares et al. [2013b](#page-240-4)). The determined mean effective dose is within the typical range of doses for 123I-labelled radiotracers.

# *[18F]MNI-444*

Subsequent to the development of the SPECT  $A_{2A}$  radiotracer [1231]MNI-420, a <sup>18</sup>Flabelled PET A<sub>2A</sub> radiotracer analogue of MNI-420 was developed and named [<sup>18</sup>F] MNI-444. Following intravenous bolus injection,  $[18F]$ MNI-444 rapidly entered the nonhuman primate brain and distributed in the tissue accordingly with the known densities of  $A_{2A}$  in brain (Fig. [11.4](#page-227-0)) (Alagille et al. [2013\)](#page-236-16).

<span id="page-227-0"></span>

**Fig. 11.4** Representative rhesus macaque  $\binom{18}{1}$  MNI-444 PET images fused to magnetic resonance images. Average [18F]MNI-444 PET images over 180 min of acquisition. Legend: *Caud* Caudate, *Put* Putamen, *Cer* Cerebellum

Kinetic modelling of acquired monkey PET data with [<sup>18</sup>F]MNI-444 showed this radiotracer had exceptionally high striatal binding potentials ranging between $\sim 5.5$ and 8.0 when using the invasive Logan graphical analysis. Non-invasive methods of analysis using the cerebellum as a reference region were able to quantify  $[18F]$ MNI-444 PET data although a slight<10% bias was determined when using 180 min of data or <5% when using 120 min of data. The binding potentials measured for  $[^{18}F]$ MNI-444 were the highest reported thus far for an  $A_{2A}$  radiotracer in nonhuman primate brain and can allow for superior in vivo inspection of these receptors, either in studies investigating mechanisms underlying brain disorders or the efficacy of novel drugs, than previously developed ligands. Test-retest data in monkey showed a good reproducibility  $\left($  < 10% variability) when binding potentials were determined using only 120 min of PET data [results pending publication]. Furthermore,  $[^{18}F]$ MNI-444 binding was blocked in a dose-dependent mode by the selective  $A_{2A}$  antagonist, preladenant, (Alagille et al. [2013](#page-236-16)) and the occupancy estimates obtained using the plasma-based and reference-region-based methods were in good agreement, indicating that receptor-occupancy could be estimated without the need for arterial sampling.

Whole-body PET imaging following intravenous bolus injection of  $[18F]$ MNI-444 in adult rhesus monkeys showed that the radiotracer was eliminated via the hepatobiliary and the urinary systems. The mean effective dose determined using the nonhuman primate model was found to be around 0.02–0.03 mSv/MBq, suggesting only modest radiation exposure associated with  $[18F]$ MNI-444 imaging [unpublished data]. The whole body effective dose of  $[^{18}F]$ MNI-444 determined was similar to that of other 18F-labeled radiotracers currently used in neuroreceptor human studies and would potentially allow multiple scans to be performed in the same research subject per year.

Preliminary data from ongoing humans studies demonstrated that  $[18F]$ MNI-444 had a good brain penetration, distribution consistent with known  $A_{2A}$  densities and excellent binding potentials of about 4–5 in target regions. A test-retest reproducibility of  $<$ 10% was determined for the first group of human subjects imaged, indicating this radiotracer has potential for imaging  $A_{2A}$  in human brain.

# Imaging Studies with Selective A<sub>2A</sub> PET and SPECT **Radiotracers in Neuroscience Research**

As discussed above, over the years, multiple xanthine and non-xanthine derived radiotracers have been developed and evaluated for in vivo imaging of  $A_{2A}$ . The availability of these radiotracers allowed for in vivo inspection of changes of  $A_{2A}$ expression in brain in different research projects, as well as, the effects of drugs on those receptors. Below are presented examples of pre-clinical and clinical imaging experiments with PET and SPECT radiotracers used to estimate drug receptor-occupancy or to quantify changes in  $A_{2A}$  expression in the living brain, with a particular emphasis on PD research.

# *Effects of Caffeine and Theophylline on A<sub>24</sub> Receptors in Brain*

The natural stimulants caffeine and theophylline are the prototypical  $A_{2A}$  antagonists. Although these alkylxanthine derivates are non-selective  $A_{2A}$  antagonists, studies have shown evidence of their motor stimulant and neuroprotection effects in PD (Ferré et al. [1992](#page-236-18); Schwarzschild et al. [2002;](#page-239-18) Xu et al. [2010\)](#page-240-6), albeit the usefulness of theophylline has been less consensual than the usefulness of caffeine. In 2002, Kulisevsky et al. published a report showing that theophylline consistently failed to potentiate the anti-PD action of L-DOPA (Kulisevsky et al. 2002). Conversely, caffeine has dopamine agonist-like effects (Garrett and Griffith [1997\)](#page-237-19) and population studies have shown that caffeine consumption may reduce the risk of developing PD, where the incidence of PD decreases as a function of caffeine intake. Data from those studies have shown that the PD incidence drops from 9.2/1000 persons for non-drinkers to 3.1/1000 persons for individuals whose caffeine levels per day are around 106.8–705.3 mg (Ascherio et al. [2001;](#page-236-19) Ross et al. [2000\)](#page-239-19).

The effects of caffeine in the brain occur mostly by inhibition of  $A_{2A}$  receptors (Ross et al. [2000](#page-239-19)). In receptor-occupancy studies using SPECT with  $[123]$  MNI-420, intravenous injection of caffeine reduced the radiotracer striatal binding in a dose-dependent mode, where the drug dose able to induce 50% receptor-occupancy  $(ED_{50})$  was found to be 3.8 mg/kg. Furthermore, at an acute dose of 20 mg/kg (around 300 mg per study), the  $A_{2A}$  occupancy by caffeine was found to be around 98% (Fig. [11.5](#page-230-0)) and  $\sim$  54% receptor-occupancy was achieved when injecting 5 mg/ kg of caffeine intravenously (about 75 mg per study) (Tavares et al. [2013a\)](#page-240-3). Later, during the human validation of  $\lceil^{123} \rceil$ MNI-420, one of the human subjects enrolled in the test-retest studies inadvertently ingested a caffeinated beverage shortly before the retest scanning session. This resulted in about 60% reduction of the binding potentials in the striatum compared with the test values (Fig. [11.6\)](#page-231-0) (Tavares et al. 2013b). The observed decrease in that human subject retest binding potential values in comparison with the test data is not surprising and agrees with the pre-clinical data collected in baboons (Tavares et al. [2013b\)](#page-240-4). Both the animal and human studies with SPECT and  $\lceil$ <sup>123</sup>I]MNI-420 were able to clearly demonstrate and directly quantify the significant effects of caffeine on  $A_{2A}$  in brain.

In mice, intraperitoneal pre-treatment with 10 mg/kg theophylline 15 min before  $[11C]TMSX$  administration induced a 27% reduction in the striatal uptake (Ishiwata et al. [2000b\)](#page-237-17). When the effects of theophylline on  $\lceil$ <sup>11</sup>C]TMSX binding were evaluated in mice heart, about 34% of radiotracer uptake in that organ was blocked at doses of 100 mg/kg (Ishiwata et al. [2003](#page-238-16)). Later in 2005, Ishiwata and co-workers reported that a theophylline-infusion in human subjects was only able to slightly reduce  $\lceil$ <sup>11</sup>C]TMSX binding in the caudate nucleus (8% reduction) and in the putamen (4.5% reduction). This small reduction in  $\lceil$ <sup>11</sup>C]TMSX binding induced by theophylline-infusion was attributed to the low dose of theophylline used  $\sim 200$  mg, the recommended limit for clinical use of theophylline, which corresponds to about 3.5 mg/kg) and the relatively weak affinity of theophylline in comparison with the radiotracer affinity: the affinity values  $(K_i)$  for the  $A_1$  and  $A_{2A}$  are 1600 nM and 5.9 nM for TMSX, and 23,000 nM and 16,000 nM for theophylline, respectively

<span id="page-230-0"></span>

**Fig. 11.5** a Representative SPECT image of  $\lceil 1^{23} \rceil$ MNI-420 distribution in baboon brain at baseline, i.e. prior to displacement (*left figure*), and post caffeine administration (*right image*). **b** [<sup>123</sup>] MNI-420 standardized uptake value ratios (SUVr) curves obtained in baboons at displacement conditions. Sum SPECT images before (0–90 min) and following displacement (90–180 min). Note significant reduction in SUVr values in striatum following intravenous injection of 20 mg/kg caffeine ( *cerebellum used as reference region*)

(Ishiwata et al. [2005b\)](#page-238-17). The reduction of  $\lceil$ <sup>11</sup>C]TMSX binding determined in mice brain and heart post-administration of theophylline contrasts with the human data, where a marginal reduction of the striatal uptake was noted. This difference is most likely due to the  $\sim$  28 times higher dose used in the mice studies compared with the human study.

<span id="page-231-0"></span>

Fig. 11.6 Effects of caffeine on [<sup>123</sup>I]MNI-420 binding in human brain. SPECT standardized uptake value (SUV) sum images  $(0-240 \text{ min})$  of  $\lceil 123 \rceil$  MNI-420 distribution in a human subject at baseline ( *left side image*) and post ingestion of a caffeinated beverage ( *right side image*). Note the significant reduction in radiotracer uptake in the SPECT images post-caffeinated beverage ingestion in comparison with baseline SPECT images

Although the human data collected using SPECT with  $[123]$ ]MNI-420 or PET with  $[11C]TMSX$  cannot be directly compared, as a result of differences in the radiotracer and imaging modality used, it is interesting to note that  $\sim$  100 mg of caffeine seem to be able to induce considerably higher  $A_{2A}$  occupancy than ~200 mg of theophylline (50% versus 5%). This may explain the inconsistency and controversy surrounding the usefulness of theophylline in the treatment of PD motor signs, in contrast with the putative value of caffeine as motor stimulant and neuroprotecting agent, given that very high doses of theophylline seem to be needed to yield results that would approach those obtained with caffeine. But the human imaging data collected thus far is limited, and more refined imaging studies are needed to better determine the value of theophylline and caffeine in PD.

# *Drug Receptor-Occupancy Studies Targeting A<sub>24</sub> in Brain*

Caffeine and theophylline are known to be non-selective  $A_{2A}$  xanthine derived antagonists. And over the years, significant efforts have been done to develop  $A_{2A}$ antagonists with improved affinity and selectivity.

In 2007, Mihara et al. reported the pharmacological characterization of a novel and potent  $A_1$  and  $A_{2A}$  dual antagonist drug, ASP5854, for treatment of PD. This group rational for developing a dual antagonist rather than a selective  $A_{2A}$  agent was based on the known roles of  $A_1$  in memory and cognition (Costenla et al. [1999;](#page-236-20) Maemoto et al. [2004;](#page-238-18) Normile and Barraco [1991](#page-239-20)) and  $A_{24}$  in motor control (Fredholm et al. [1999;](#page-237-20) Kanda et al. [1998;](#page-238-19) Koga et al. [2000;](#page-238-20) Ikeda et al. [2002\)](#page-237-3). They proposed that the blockade of both  $A_1$  and  $A_{2A}$  might have therapeutic implications for different neurodegenerative diseases, in particular PD, which presents both the motor disability and the cognitive impairment. ASP5854 affinities for human adenosine receptors were determined to be as follows:  $K_i A_{2A}$  of 1.7 nM,  $K_i A_1$  of 9.0 nM and  $K_i$ ,  $A_3$  higher than 557 nM (Mihara et al. [2007](#page-239-21)). ASP5854 receptor-occupancy studies were acquired in nonhuman primates using PET with  $\lceil$ <sup>11</sup>C]SCH442416. Collected data showed that intravenous administration of ASP5854 1 h prior to

radiotracer injection blocked [<sup>11</sup>C]SCH442416 in a dose-dependent mode and induced long-lasting occupancy. Doses of 0.1 mg/kg resulted in plasma exposures of about 98,000 ng/mL and corresponded to 85–95%  $A_{24}$  occupancy. The authors concluded that the novel  $A_1$  and  $A_2$  antagonist, ASP5854, was an active and brainpenetrable drug in nonhuman primates and might represent a novel treatment for PD. Furthermore, the obtained findings supported the use of PET imaging with selective  $A_{2A}$  radiotracers for estimating the effective doses of  $A_{2A}$  antagonists in humans (Mihara et al. [2008](#page-239-12)).

Vipadenant (previously known as BIIB014), a non-xanthine  $A_{2A}$  antagonist, has been proposed for treatment of early and late PD ( $K_i A_{2A}$  of 1.3 nM,  $K_i A_1$  of 68 nM,  $K<sub>i</sub>A<sub>2B</sub>$  of 63 nM and  $K<sub>i</sub>A<sub>3</sub>$  of 1005 nM in human brain) (Müller and Jacobson [2011\)](#page-239-6). An open-label human PET study with [<sup>11</sup>C]SCH442416 was used to determine the relationship among dose, steady-state plasma levels and  $A_{2A}$  occupancy in brain. Fifteen healthy human subjects underwent a baseline PET scan prior to drug administration and a post-blockade PET scan after daily oral vipadenant (2.5–100 mg/day for 10 or 11 days). In brain target regions, vipadenant induced a receptor occupancy ranging between 74% and 94% at the lowest investigated dose (2.5 mg). Receptor saturation (>90% occupancy) was achieved at doses of 100 mg. The minimal daily dose required for receptor saturation was found to be around 10.2 mg, which was equivalent to  $0.097 \mu g/ml$  in plasma (steady state minimum concentration). The authors concluded that the imaging results, together with the previously acquired efficacy results in animals, support the continued development of vipadenant as a potential treatment for PD (Brooks et al. [2010\)](#page-236-14).

Peladenant, a highly selective and potent non-xanthine derived  $A_{2A}$  antagonist  $(K_i A_{2A}$  of 0.9 nM and  $K_i A_1$ ,  $A_{2B}$  and  $A_3 > 1000$  nM in human brain), was recently developed for treatment of PD (Müller and Ferré [2007](#page-239-22); Müller and Jacobson [2011](#page-239-6)). In cynomolgus monkeys, a SPECT study with [123I]MNI-420 demonstrated that intravenous administration of preladenant 15 min prior to radiotracer injection reduced the  $\lceil 123 \rceil$ MNI-420 uptake in the striatum in a dose dependent mode (Fig. [11.7](#page-233-0)). At doses of 1.2 mg/kg of preladenant around 98% of the  $A_{2A}$  receptor were occupied by the drug. Preladenant  $ED_{50}$  in vivo was determined to be around 0.06 mg/kg (Tavares et al. [2013a](#page-240-3)).

# *Animal Models and In Vivo Imaging of A2A in Brain*

PD can be modelled in laboratory animals by unilateral injection of the selective monoaminergic toxin, 6-hydroxydopamine (6-OHDA), into the substantia nigra or medial forebrain bundle, which causes neuronal death. Recently,  $A_{2A}$  receptor changes in the 6-OHDA rat model for PD were investigated using [18F]MRS5425 and PET. Collected data showed that in 6-OHDA-lesioned rats, the measured  $\frac{\% \text{ID}}{\text{g}}$ was significantly higher in the lesioned side compared to the intact side (increase of  $9-12\%$  in the  $\%$ ID/g) (Bhattacharjee et al. [2011\)](#page-236-15). This study results further supports the important role of imaging  $A_{2A}$  in PD research.

The quinolinic acid-lesioned striatum HD rat model has been developed by inducing degeneration of striatopallidal γ-aminobutyric acid-ergic-enkephalin

<span id="page-233-0"></span>

Fig. 11.7 Preladenant dose-dependent occupancy curve measured using SPECT with  $\lceil$ <sup>123</sup>I]MNI-420 in monkeys

neurons via intrastriatal injection of quinolinic acid. Prior studies have used  $\lceil$ <sup>11</sup>C $\rceil$ TMSX PET to investigate changes in the striatal  $A_{2A}$  receptors in that model. Acquired PET data demonstrated that the radiotracer binding was significantly reduced in the quinolinic acid-lesioned striatum (binding potential of 0.6 versus 0.4 for intact and lesioned side, respectively). This decrease in signal was comparable to the decrease in binding potentials measured with the dopamine  $D_2$  radiotracer  $[$ <sup>11</sup>C]raclopride and was further confirmed by ex vivo  $A_{2A}$  autoradiography (Ishiwata et al. [2002\)](#page-237-21). On a different study with the same animal model, ex vivo autoradiography with  $[11C]TMSX$  showed this radiotracer, but not  $[11C]raclopide$ , was incorporated into the rat globus pallidus with a striatum: globus pallidus ratio of  $\sim 0.6$  (Ishiwata et al. [2000c\)](#page-237-13), indicating that imaging  $A_{2A}$  with PET or SPECT and selective radiotracers can be useful as a marker of the terminals projecting from the striatum to the globus pallidus.

# *Human Clinical Studies Evaluating A<sub>24</sub> in Brain*

Ramlackhansingh and co-workers in 2011 reported human clinical studies investigating the striatal  $A_{2A}$  receptor availability in PD patients with and without L-DOPA induced dyskinesias (LIDs). Six patients with PD with and 6 without LIDs were enrolled in this PET study.  $[$ <sup>11</sup>C]SCH442416 was used as a biomarker for quantification of brain  $A_{2A}$  in vivo and collected data demonstrated  $A_{2A}$  binding potentials in the caudate and putamen of PD patients with LIDs was significantly higher than that of subjects with PD without LIDs, which lay within the control range. Furthermore, the authors also reported that thalamic  $A_{2A}$  binding was similar across all three groups. The authors concluded that the increased  $A_{2A}$  receptor availability in

the striatum of PD patients with LIDs was compatible with altered adenosine transmission playing a role in LIDs, which further provides rationale for trials of  $A_{2A}$ receptor antagonist in the treatment of PD motor complications (Ramlackhansingh et al. [2011](#page-239-13)).

On another study reported by Mishina et al. in 2011,  $A_{2A}$  receptor changes in the striata of PD patients were investigated. In that study, [<sup>11</sup>C]TMSX and PET were used to measure brain  $A_{2A}$  binding in vivo in nine drug-naïve PD patients, seven PD patients with mild dyskinesia and six elderly control subjects. Seven of the drug-naïve PD patients underwent a second series of PET scans following antiparkinsonian therapy. The L-DOPA equivalent dose for these PD patients ranged from 75.0 to 825.5 mg at the time of post-therapeutic PET scanning. None of these patients developed dyskinesia during the study period. Results from this study showed that the distribution volume ratios in the putamen were significantly larger in the dyskinesic patients than in the control subjects. Furthermore, it was found that in the drug-naïve PD patients, the radiotracer binding in the putamen, but not in the head of the caudate nucleus, was significantly lower on the more affected side than on the less affected side. In this same group, the  $A_{2A}$  receptors were significantly increased after antiparkinsonian therapy in both putamen, but not in the head of the caudate nucleus (Mishina et al. [2011](#page-239-17)). The authors of this study concluded that  $A_{2A}$  receptors were increased in PD patients with dyskinesia, which agrees with the data published by Ramlackhansingh and co-workers in the same year (Ramlackhansingh et al. [2011\)](#page-239-13). Furthermore, the Mishina et al. study results suggest that  $A_{2A}$  receptors in the putamen may compensate for the asymmetrical decrease of dopamine in drug-naïve PD patients. This is in agreement with prior research demonstrating a co-expression of  $A_{2A}$  and dopaminergic D<sub>2</sub> receptors in basal ganglia neurons. Previous work has shown that agonists at  $A_{2A}$  inhibit D<sub>2</sub> receptor-mediated neurotransmission, while blockade of  $A_{2A}$  potentiates  $D<sub>2</sub>$  receptor stimulation (Hirani et al. [2001\)](#page-237-5). The reciprocal actions of these receptors are important in the control of movement (Holschbach et al. [2006;](#page-237-8) Moresco et al. [2005\)](#page-239-5). The imaging data collected by Mishina et al. warrants for further imaging studies investigating this mechanism in larger cohorts. Finally, the Mishina et al. study also showed that antiparkinsonian therapy increases the  $A_{2A}$  in the putamen.

Taken together, the data presented by Mishina et al. and Ramlackhansingh et al. demonstrated, by means of in vivo imaging, that  $A_{2A}$  plays an important role in regulation of parkinsonism in PD.

The Molecular NeuroImaging group is currently investigating the changes in  $A_{2A}$  binding potentials in HD and PD patients compared with healthy controls using [123I]MNI-420 and SPECT. This clinical trial is ongoing, but preliminary data from seven healthy controls and five HD patients demonstrated on average  $a \sim 50\%$ reduction in striatal binding in HD patients compared with healthy controls. These data agree with the pre-clinical studies in a HD rat model that also found a reduction in radiotracer binding in the lesioned side compared with the intact side (Ishiwata et al. [2002\)](#page-237-21).

Study group	Binding potentials and distribution volumes in different brain regions				
	Ramlackhansingh study bind-		Mishina study distribution		Molecular
	ing potentials			volumes	
					study binding potentials <sup>1</sup>
	Caudate	Putamen	Caudate	Putamen	Striatum
Healthy controls	$0.53 \pm 0.24$	$0.99 \pm 0.21$	$1.38 \pm 0.08$	$1.47 \pm 0.11$	$0.94 \pm 0.27$
Drug-naïve PD			$1.37 \pm 0.09$	$1.48 \pm 0.33$	
PD without LID	$0.40 \pm 0.24$	$0.97 \pm 0.21$			
PD with LID	$0.96 \pm 0.46*$	$1.67 \pm 0.62*$	$1.44 \pm 0.15$	$1.58 \pm 0.15*$	---
HD	---	---			$0.48 \pm 0.07*$

<span id="page-235-0"></span>**Table 11.1** Summary of changes in  $A_{2A}$  binding in human brain in healthy controls, PD and HD patients. Data from three different studies

*PD*, Parkinson's disease patients; *LID*, L-DOPA-induced dyskinesia; *HD*, Huntington's disease patients <sup>1</sup> preliminary data from ongoing clinical study  $\sp{*}p<0.05$  versus healthy controls

A summary with key findings from these three human imaging studies is presented in Table [11.1.](#page-235-0)

# **Concluding Remarks**

The development of high affinity and subtype-selective  $A_{2A}$  radiotracers over the last decades has enabled the non-invasive in vivo quantitative measurement of these receptors in monkey and human brain, by means of PET and SPECT imaging. Imaging data collected so far has confirmed the value of PET and SPECT techniques in assessing  $A_{2A}$  changes in brain. These findings can foster the rapid widespread use of PET and SPECT  $A_{2A}$  imaging not only in PD research, but in other neurodegenerative and neuropsychiatric disorders research, including stroke, traumatic brain injury, Alzheimer's disease, HD, depression, schizophrenia, attention deficit hyperactivity disorder and addiction. Exciting times are ahead for brain imaging research with selective  $A_{2A}$  radiotracers, now that suitable PET and SPECT probes with exquisite in vivo properties are available for quantification of  $A_{2A}$  in brain. In particular, the recent report of  $\lceil^{123} \rceil$ MNI-420 and  $\lceil^{18} \rceil$ MNI-444, two radiotracers with improved binding potentials in vivo compared with radiotracers previously developed, provides the opportunity to expand the use of in vivo pre-clinical and clinical  $A_{2A}$  imaging studies in neuroscience research, as global dissemination of radiotracers labelled with fluorine-18 or iodine-123 is feasible. Other adenosinergic imaging targets will likely become amenable for imaging by PET and SPECT in the near future, as a result of the medicinal chemistry continued efforts to obtain highaffinity and selective radiotracers for those targets.

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# **Chapter 12 Caffeine and Neuroprotection in Parkinson's Disease**

**Filipe B. Rodrigues, Daniel Caldeira, Joaquim J. Ferreira and João Costa**

**Abstract** Parkinson's disease (PD)—the second most common neurodegenerative condition worldwide—has no proven neuroprotective intervention. However PD belongs to the ever-growing group of diseases that occur less frequently in coffeedrinkers. Coffee is the major dietary source of caffeine—an adenosine  $A_{2A}$  receptor antagonist. This is presumed to be the main mechanism responsible for the decreased risk of developing PD among coffee drinkers. Furthermore, in view of other biochemical and cellular actions attributed to caffeine, it has been proposed based on basic science results that caffeine may have a neuroprotective role in PD. Animal data is supportive of this hypothesis by showing that caffeine is able to prevent neurodegeneration in PD animal models. Still, human data is lacking precluding the establishment of firm conclusions on the role of caffeine as a disease-modifying agent in PD.

**Keywords** Parkinson disease **·** Caffeine **·** Coffee **·** Risk factors **·** Systematic review **·**  Meta-analysis

# **Introduction**

Parkinson's disease (PD) is a frequent disease—the second most common neurodegenerative condition worldwide (de Lau and Breteler [2006](#page-275-0)). Its annual incidence varies across studies and countries from 5 to 20 affected individuals per

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100,000 individuals (Tanner and Goldman [1996](#page-279-0)). PD prevalence also varies from 44 to 347 per 100,000 individuals (Tanner and Goldman [1996\)](#page-279-0), increasing steadily with age (de Rijk et al. [1997\)](#page-275-1). The risk of acquiring PD when age-adjusted is higher for males comparing to females (de Lau and Breteler [2006;](#page-275-0) Van den Eeden et al. [2003](#page-279-1) ).

PD is characterized pathologically by dopaminergic neuronal depletion at *substantia nigra pars compacta* (SNc) and by the presence of Lewy bodies and Lewy neuritis—both resulting from alpha-synuclein accumulation—elsewhere in the brain (Pollanen et al. [1993;](#page-278-0) Spillantini et al. [1997](#page-279-2)). Clinically, PD is characterized by parkinsonism—resting tremor, bradykinesia, rigidity and postural imbalance—usually with an asymmetric onset, a good initial response of the symptoms to L-DOPA treatment, and a progressive course (Hughes et al. [1992](#page-276-0)). Nevertheless, PD is no longer considered to be a "pure" disorder of motor control, and many non-motor clinical manifestations—such as REM-sleep behavioural disorder, depression and dementia—are now recognised as belonging to the natural history of the disease. These manifestations are linked to the widespread distribution of the abnormalities with alpha-synuclein accumulation not only in the brain but also in the autonomic and peripheral nervous systems and multiple organs—PD is a progressive multi-organ proteinopathy (Obeso et al. [2014](#page-278-1)).

The cause of PD remains partially unknown, and PD is thought to result from a complex interaction between genes and environment (de Lau and Breteler [2006](#page-275-0)).

Currently, there is no effective intervention to change disease progression (Ferreira et al. [2012](#page-276-1); Suchowersky et al. [2006\)](#page-279-3) and further basic and clinical research is needed to elucidate disease pathways and biomarkers, as equally as new therapeutic targets.

Surprisingly, PD belongs to the ever-growing group of diseases that occur less frequently in coffee-drinkers. Nefzger and his colleagues first appreciated this epidemiological relation—a negative association—in 1968 (Nefzger et al. [1968\)](#page-277-0). Since then, several explanations have emerged to justify this relation, although none fully satisfactory. Here we hypothesize that substances present in caffeinated beverages—such as caffeine—have an action on the central nervous system (CNS) with a potential neuroprotective role, as far as dopaminergic degeneration is concerned.

# **Caffeine is Not Equivalent to Coffee**

The next section discusses figures obtained from studies that took place in the U.S.A. (National Coffee Association of U.S.A. [1993\)](#page-277-1). Nonetheless, more recent studies from the United Kingdom, Denmark, Canada and Australia substantiate the former, with the exception that the average daily caffeine consumption is higher in the latter (Barone and Roberts [1995\)](#page-275-2).

#### *Coffee Consumption*

Coffee is probably the most widely consumed behaviourally active substance worldwide (Fredholm et al. [1993,](#page-276-2) [1999\)](#page-276-3)—more than half of the population aged 10 years or older drink coffee (National Coffee Association of U.S.A. [1993\)](#page-277-1); and on average each adult drinks 4 cups of coffee per day, which corresponds to a daily caffeine consumption of 244 mg (National Coffee Association of U.S.A. [1993\)](#page-277-1).

These figures support and continue to uphold the major concern of governmental and regulatory agencies, as much as the world scientific community, on the overall effects of coffee on human health.

## *Coffee Composition and Caffeine*

The history of caffeine consumption can be traced from the Palaeolithic period. There are more than 50 species of plants know to have caffeine in their composition and some are part of our diet since the human primordials.

Several beverages and foods contain caffeine including: coffee, either instant, brewed or decaffeinated coffee; teas; some soft drinks such as colas; and cocoa and chocolate derived products, as hot chocolate, chocolate milk and chocolate candies (Barone and Roberts [1995](#page-275-2)).

Having stated that caffeine is present in many sources, coffee is the major dietary source of caffeine (Pao [1982\)](#page-278-2): the average adult consumes 3 mg of caffeine per kilogram of body weight daily and about 2 mg come from coffee (Barone and Roberts [1995](#page-275-2)).

On average, a 150 mL cup of brewed coffee has a caffeine content of 85 mg and a 150 mL cup of instant coffee has a caffeine content of 60 mg (Burg [1975](#page-275-3)). Even the non-caffeinated decaffeinated coffee has an approximate content of 3 mg of caffeine per 150 mL of coffee (Burg [1975](#page-275-3)).

Coffee has a complex formulation, with thousands of chemical components.

Caffeine (Fig. [12.1a\)](#page-243-0)—1,3,7-trimethylpurine-2,6-dione—was first isolated in 1820. It is the most pharmacologically active substance in coffee but, many other coffee products could have a potential role on human health such as cafestol, kahweol, clorogenic acid, magnesium, potassium, niacin and vitamin E.

<span id="page-243-0"></span>



Within the human body, caffeine is metabolized to paraxanthine—its major degradation product—theobromine, theophylline and other minor metabolites (Lelo et al. [1986\)](#page-277-2).

Caffeine is a natural occurring adenosine (Fig. [12.1b\)](#page-243-0) receptor antagonist (Biag-gioni et al. [1991](#page-275-4); Li et al. [2001](#page-277-3)). It antagonizes both the  $A_1$  and  $A_2$  adenosine recep-tors in a competitive fashion (Chen et al. [2008](#page-275-5); Daly et al. [1983\)](#page-275-6). The  $A_1$  receptor inhibits the enzyme adenylate cyclase and the  $A_2$  receptor stimulates the enzyme adenylate cyclase. The  $A_{2A}$  adenosine receptor, a subtype of  $A_2$  adenosine receptors, assumes paramount relevance within the brain.

#### **Caffeine and Neuroprotection**

Different reasons justify the huge investment on research made on this particular subset of receptors over the past years: adenosine  $A_{2A}$  antagonism might have the power to ameliorate PD symptoms and signs, plus—and more excitingly—could putatively prevent neurodegeneration.

The adenosine  $A_{2A}$  receptors are abundant in the striatum of several species (Parkinson and Fredholm [1990](#page-278-3); Prémont et al. [1979](#page-278-4)) including humans (Martinez-Mir et al. [1991](#page-277-4)). This structure is an integrant part of the basal ganglia—a cluster of several deeply located groups of neuronal cell bodies within the brain matter—through which a functional connection is established with the brain motor areas. There is an important relation of the striatum with movement control and this relation was first interpreted using the classic physiopathological model of basal ganglia circuitry involving a direct and an indirect pathway (Alexander and Crutcher [1990\)](#page-275-7) (Fig. [12.2a](#page-245-0) and [b\)](#page-245-0).

A comprehensive review of the basal ganglia circuit models and of the corticothalamic-basal ganglia interactions, in both physiological and pathological states, is beyond the scope of this chapter. Briefly, in PD there is an imbalance in the activity of the above-mentioned neuro-functional-anatomical pathways of the classic model due to the loss of SNc dopaminergic drive to striatal neurons. This results in a reduced activity of the direct pathway—due to loss of dopamine receptors type  $1 (D<sub>1</sub>)$ stimulation—and in an increased activity of the indirect pathway—due to release of dopamine receptors type  $2 (D<sub>2</sub>)$  inhibition. The net consequence is an increased inhibition of the ventrolateral thalamus, which in turn inhibits movement (Fig. [12.2c](#page-245-0) and [d\)](#page-245-0). Although greatly simplified, this concept of overactivity of the indirect pathway in PD is useful for purposes of understanding the rationale of a putative neuroprotective role of caffeine in PD (Ellens and Leventhal [2013](#page-275-8); Wichmann and Dostrovsky [2011\)](#page-279-4).

The GABAergic neurons of the indirect striato-pallido-nigral pathway are of particular interest for the modulation of this pathway by caffeine (Fink et al. [1992;](#page-276-4) Schiffmann and Vanderhaeghen [1993\)](#page-278-5). In this pathway, the adenosine  $A_{2A}$  receptors strongly interact with the  $D_2$  receptors of striato-pallidal GABAergic neurons. Adenosine  $A_{2A}$  receptors mediate an adenylate cyclase-independent (Yang et al. [1995](#page-280-0)) dopaminergic inhibition (Fuxe et al. [1993](#page-276-5)). Therefore, the overall net result of adenosine activation is the activation of the indirect pathway.

<span id="page-245-0"></span>

**Fig. 12.2** Simplified motor circuitry, **a** Healthy direct pathway, **b** Healthy indirect pathway, **c** Direct pathway in PD, **d** Indirect pathway in PD, **e** Proposed effect of an adenosine antagonist in the indirect pathway. *Green arrows* are excitatory neurons and *red arrows* are inhibitory neurons.  $A_{2A}$  adenosine receptor type 2, subtype A;  $D<sub>I</sub>$  dopamine receptor type 1,  $D<sub>2</sub>$  dopamine receptor type 2, *GPe* globus pallidus externus, *GPi* globus pallidus internus, *Put* putamen, *SNc* substatia nigra pars compacta, *SNr* substatia nigra pars reticulata, *STN* nucleus subthalamicus, *Tha* thalamus

On the other hand, this means that blockade of the adenosine receptors (with adenosine antagonists) produces an enhancement of dopaminergic transmission—such as the one seen when applying dopamine  $D_2$  receptor agonists—thus counteracting motor inhibition (Fig. [12.2e\)](#page-245-0). This effect was first demonstrated in animal models of unilateral nigrostriatal lesion (Fredholm et al. [1976\)](#page-276-6).

Apart from caffeine, several other xantine and non-xantine  $A_{2A}$  receptor antagonists—as KF17837 and SCH 58261, respectively—have shown to induce the same phenomenon (Fenu et al. [1997;](#page-276-7) Kanda et al. [1994\)](#page-277-5).

Importantly the direct basal ganglia pathway also suffers the influence of adenosine  $A_{2A}$  receptors through dopaminergic  $D_1$  striatonigral neurons (Morelli et al. [1994;](#page-277-6) Pinna et al. [1996](#page-278-6)).

On the other hand, PD is a multifactorial disease resulting from the net effects on the survival of *substantia nigra* (SN) dopaminergic neurons mediated by putative neurotoxic and neuroprotective factors and by their complex interactions with preexisting genetic factors.

Adenosine also takes part in neuronal cell death control: animal models of cerebral ischemia demonstrated a substantial increment of excitatory substances, such as glutamate, during cell suffering (Fredholm et al. [1993\)](#page-276-2). These amino acids contribute to nerve cell death (Coyle et al. [1981](#page-275-9); Xu et al. [2006](#page-279-5)) through neuronal swelling (Rothman [1985;](#page-278-7) Xu et al. [2010](#page-280-1)) and excessive  $Ca^{2+}$  influx (Berdichevsky et al. [1983](#page-275-10); Ungerstedt [1968](#page-279-6)). It has been shown that the release of these substances is controlled at least in part by adenosine receptor activation (Joghataie et al. [2004;](#page-277-7) O'Regan et al. [1992\)](#page-278-8). This mechanism is called excitotoxic cell death (Aguiar et al. [2006;](#page-275-11) Olney [1986](#page-278-9)).

Having this in mind, a neuroprotective activity could be linked to adenosine receptors antagonists, which could reduce the presynaptic release of neurotoxic amino acids (Simpson et al. [1992\)](#page-279-7). In fact, there is evidence that adenosine  $A_{2A}$  receptor antagonists can reduce excitotoxicity in models of cerebral ischemia (Lubitz et al. [1995;](#page-279-8) Thiruchelvam et al. [2000](#page-279-9)) and neurodegeneration (Kachroo et al. [2010;](#page-277-8) Popoli et al. [2002](#page-278-10)).

Neuroprotective mechanisms related to adenosine antagonists can also be traced from their interaction with glial cells. Adenosine is known to mediate glial cell glutamate efflux through adenosine  $A_{2A}$  receptors increasing the interstitial concentration of this amino acid (Li et al. [2001](#page-277-3); Yazdani et al. [2006\)](#page-280-2). Blockade with antagonists could be a target for neuroprotection.

Other proposed and less studied mechanisms of caffeine neuroprotection are: as blood-brain barrier stabilizing agent (Chen et al. [2008](#page-275-5)); as activator of intracellular survival pathways (Nakaso et al. [2008](#page-277-9)); and as membrane potential stabilizer (Mao et al. [2007\)](#page-277-10).

More recently the adenosine  $A_{2A}$  receptor-mediated neurotoxicity theory was put to the proof by Kachroo and Schwarzschild [\(2012](#page-277-11)). Using mice with both adenosine  $A_{2A}$  receptor knockout and an  $\alpha$ -synuclein mutation known to promote dopaminer-gic degeneration (Richfield et al. [2002](#page-278-11)), they have shown that the  $A_{2A}$  receptor is necessary to promote nigrostriatal denervation in this model (Kachroo and Schwarzschild [2012](#page-277-11)). This argument further supports the putative neuroprotective role of caffeine in PD.

At last, the neuroprotective effect of caffeine and other adenosine  $A_{2A}$  receptor antagonists extends far beyond PD. There is a growing body of animal evidence that supports its protective effect on other conditions characterized by neural tissue loss such as ischemic brain injury, Alzheimer's diseases (Dall'Igna et al. [2007](#page-275-12)) and Huntington's disease (Fink et al. [2003](#page-276-8)).

Taken together, all these data provide a strong biochemical, anatomical and physiological rationale for a putative neuroprotective role of caffeine in PD. In the following sections we present the results of a systematic literature review that aimed to evaluate animal and human data that further support this hypothesis. The authors looked with particular emphasis at human studies evaluating the effects of caffeine exposure on the incidence of PD, its natural history, and symptomatic relieve.

#### **Finding the Evidence**

A search strategy using "Parkinson's disease" AND "coffee" OR "caffeine" was applied to the following electronic databases: MEDLINE, Web of Science, EMBASE, LILACS and CENTRAL (Cochrane Library). There was no time or language restriction. The last search was conducted on April 2014. Additionally, reference lists from selected studies were crosschecked and experts were consulted. Data collection and analysis were performed independently by two of the authors.

The inclusion criteria used were: observational or experimental studies in animals and humans evaluating the relation between caffeine or coffee consumption and the risk, natural history, and symptomatic relief in PD.

## **Evidence from Animal Studies**

There has been a plenty of animal model-based bench-research supporting the role of adenosine receptor antagonists, such as caffeine, on PD. A first group of studies revealed physiological data supporting the rationale for using this group of molecules. Some of that data were previously clarified in this chapter and a detailed explanation of these studies is beyond the scope of this chapter. A second group of studies was conducted as proof-of-concept to clinical studies and focus on the protective effect of caffeine in different animal models of PD. The results of these latter studies are discussed below.

# *MPTP Model of PD*

MPTP (1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine) is a neurotoxin capable of inducing striatal dopaminergic depletion (Jenner et al. [1984\)](#page-276-9). When administered to laboratory animals, MPTP produces the most accurate model of PD (Gerlach and Riederer [1996\)](#page-276-10).

Chen et al. [\(2001](#page-275-13)) were the first to demonstrate that caffeine in doses comparable to human consumption was able to attenuate MPTP-dependent striatal dopaminergic degeneration (Chen et al. [2001](#page-275-13)). They also showed similar results using specific adenosine  $A_{2A}$  receptor antagonists and utilizing adenosine  $A_{2A}$  receptor knock-out mice. A year later, Xu et al. [\(2002](#page-279-10)) proved that caffeine's neuroprotective effect did not undergo tolerance (Xu et al. [2002](#page-279-10)). On 2006, new clinical epidemiological data was released and appeared to show that estrogens could attenuate the neuroprotective effect of caffeine in women (further discussion on this matter is provided in the next section). In fact, the same was shown in this animal model (Xu et al. [2006\)](#page-279-5).

Further conclusions were traced by Xu et al. 2010: the neuroprotective effect of caffeine is equally effective independently of the time relation with the insult; and the neuroprotective effect extends to caffeine metabolites, theophylline and paraxanthine (Xu et al. [2010\)](#page-280-1).

## **6-OHDA** *Model of PD*

6-OHDA (6-hydroxydopamine) is a neurotoxin with the particularity of being unable to trespass the blood-brain barrier. However, when injected directly in the brain parenchyma or within the brain ventricles 6-OHDA leads to a selective destruction of catecholaminergic neurons (Ungerstedt [1968\)](#page-279-6). Therefore the injected region will suffer from a noradrenaline-, adrenaline- and dopamine-depletion while other neurotransmitters remain unchanged. Joghataie et al. ([2004\)](#page-277-7) demonstrated that caffeine could attenuate the neurotoxic effect of intraventricular 6-OHDA injection in rats (Joghataie et al. [2004](#page-277-7)) and Aguiar et al. [\(2006](#page-275-11)) confirmed the results by using striatal injections (Aguiar et al. [2006](#page-275-11)).

## *Paraquat plus Maneb Model of PD*

This model uses two different pesticides and neurotoxins: paraquat is a herbicidal that has the ability to lower NADH-dehydrogenase activity, leading to the creation of superoxide free radicals (Turrens and Boveris [1980\)](#page-279-11), maneb—manganese ethylenebisdithiocarbamate—is a fungicide. The administration of intraperitoneal injections of a mixture of these compounds in mice induces degeneration of the nigrostriatal dopamine systems and produces a model of PD (Thiruchelvam et al. [2000\)](#page-279-9). Kachroo et al. [\(2010](#page-277-8)) shown that caffeine prevents neurodegeneration in this model (Kachroo et al. [2010\)](#page-277-8).

# *MPP+ Model of PD*

In this last model, MPP+ (methyl-4-phenylpyridinium) is used as neurotoxin. It is continuously infused into one of the lateral ventricles over a time course of 28 days.

The procedure causes an ipsilateral nigral dopaminergic neurons loss (Yazdani et al. [2006\)](#page-280-2). Sonsalla et al. [\(2012](#page-279-12)) have shown that in this chronic model of dopaminergic lesion, caffeine, when consumed before the insult, can prevent neuronal damage and, when consumed in the drinking water after the insult, may arrest or delay neurodegeneration (Sonsalla et al. [2012\)](#page-279-12).

## **Evidence from Human Studies**

## *Caffeine Intake and the Risk of Parkinson's Disease*

There is plenty of observational data, from cross-sectional, case-control and cohort studies, attesting the relation between caffeine consumption and the incidence of PD. However the results are heterogeneous—opening a window for further investigation.

In 2002, Hernán and colleagues summarized the available human epidemiological evidence and shown that when compared with non-coffee drinkers, regular coffee drinkers have a 31% reduction in the risk of developing PD (relative risk— RR—of 0.69; 95% CI: 0.59 to 0.80) (Hernán et al. [2002\)](#page-276-11). Even when adjusting this result for smoking status—a well-established PD protective factor (Nefzger et al. [1968](#page-277-0))—this inverse relation stood firmly: RR of 0.70 (95% CI: 0.59 to 0.84) (Hernán et al. [2002\)](#page-276-11). In addition, a stronger inverse relation was found when comparing heavy coffee drinkers with light-coffee drinkers plus non-coffee drinkers, but not without the expense of lower levels of statistical confidence.

Still, this pivotal systematic review and meta-analysis was built from 8 case-control studies and 5 cohort studies, accepted a high degree of heterogeneity between studies, and did not address gender-associated relations. In fact, at least one important observational study—the Nurses' Health Study—suggested that the inverse relation between coffee consumption and PD incidence could not be observed in women (Ascherio et al. [2001\)](#page-275-14).

This issue begged for clarification, and in 2010 we underlined the inverse relation between caffeine intake and the risk of PD by conducting another meta-analysis of systematically reviewed observational studies. We found a 25% risk reduction of developing PD among subjects with caffeine/coffee consumption (RR 0.75; 95% CI: 0.69 to 0.82), with low to moderate heterogeneity ( $I^2 = 28.8\%$ ) among studies' results. When considering the female population, this inverse relation was weaker and failed to reach statistical significance (RR 0.86; 95% CI: 0.73 to 1.02). We also found an inverse linear relation for the level of coffee consumption: a RR of 0.76 (95% CI: 0.72 to 0.80) per 300 mg increase in caffeine intake (Costa et al. [2010\)](#page-275-15).

This study included 27 reports—7 cohort studies, 2 nested case-control studies, 16 case-control studies and 1 cross sectional study—which produced a more homogeneous comparison of studies. Nevertheless, the possibility of publication bias was noticed. Further data was published in the last recent years—including another systematic review and meta-analysis that included 19 studies (Noyce et al.

[2012\)](#page-278-12), and the results reported strengthen ours. In this work, the gender issue was not definitively established.

#### *Systematic Review of Observational Studies*

For the purpose of this chapter, we have updated our previous systematic review to include the more recently available epidemiological human studies that have evaluated the effect of caffeine exposure on the incidence of PD.

#### **Methods**

We have followed the same methodology and assumptions previously published in our first systematic review and meta-analysis (Costa et al. [2010](#page-275-15)). We followed STROBE (Elm et al. [2007](#page-275-16)), MOOSE (Stroup et al. [2000](#page-279-13)) and PRISMA (Liberati et al. [2009\)](#page-277-12) statements as guidelines. Reporting of statistical data followed SAMPL guidelines (Lang and Altman [2013\)](#page-277-13).

Briefly, the search strategy was updated until April 2014. Cohort, case-control, or cross-sectional studies evaluating the relation between exposure to coffee/caffeine and the risk of PD (all diagnostic criteria were considered) or PD mortality were eligible for inclusion. Studies addressing the effects of short-term exposure to coffee or caffeine and those that evaluated associations other than the risk of PD, such as the rate of progression, were excluded. No studies were excluded a priori for weakness of design or data quality. Data extractions were obtained independently and cross-checked for accuracy. When different risk estimates were available in the same publication, we opted for those that reflected the greatest degree of control for potential confounders, to the largest number of categories of exposure among caffeine consumers, or to the most comprehensive assessment of caffeine intake, applying these criteria consecutively. If results were provided separately for different caffeine-containing beverages or food items, we opted for those referring to coffee consumption.

Quantitative data synthesis was accomplished through random effects metaanalysis (DerSimonian and Laird method). Relative risks (cumulative incidence ratios or incidence density ratios) and odd ratios (ORs) were treated the same and are referred to as RR. A cumulative random effects meta-analysis was conducted to allow a better understanding of the time trends in the understanding of the relation between caffeine intake and PD. Since more than one RR estimate was available from several studies, only the most precise measures of association were used from each report. This criterion was followed for selection of a single estimate per study when RRs were provided for different categories of exposure. If the precision of RR estimates was the same for more than one category, we conservatively chose the one corresponding to the RR closest to 1.

Heterogeneity was quantified using the I<sup>2</sup> statistic (Higgins and Thompson [2002\)](#page-276-12). Publication and publication-related biases were examined through visual inspection of the funnel plot and with Begg adjusted rank correlation (Begg and Mazumdar [1994\)](#page-275-17) and the Egger's regression asymmetry test (Egger et al. [1997](#page-275-18)).

#### **Results**

A total of 37 epidemiological studies met criteria for inclusion in this updated systematic review, including 11 cohort (Ascherio et al. [2001,](#page-275-14) [2003](#page-275-19), [2004](#page-275-20); Fink et al. [2001;](#page-276-13) Hu et al. [2007](#page-276-14); Kyrozis et al. [2013;](#page-277-14) Liu et al. [2012;](#page-277-15) Palacios et al. [2012;](#page-278-13) Ross et al. [2000](#page-278-14); Saaksjarvi et al. [2008](#page-278-15); Tan et al. [2007b](#page-279-14)), 3 nested case-control (Paganini-Hill [2001;](#page-278-16) Skeie et al. [2010](#page-279-15); Wirdefeldt et al. [2005](#page-279-16)), 22 case-control (Benedetti et al. [2000;](#page-275-21) Checkoway et al. [2002;](#page-275-22) Evans et al. [2006](#page-276-15); Facheris et al. [2008](#page-276-16); Fall et al. [1999;](#page-276-17) Haack et al. [1981;](#page-276-18) Hancock et al. [2007;](#page-276-19) Hellenbrand et al. [1996](#page-276-20); Hosseini Tabatabaei et al. [2013;](#page-276-21) Jiméanez Jiméanez et al. [1992;](#page-276-22) Macleod and Counsell [2013;](#page-277-16) Morano et al. [1994;](#page-277-17) Nefzger et al. [1968;](#page-277-0) Nicoletti et al. [2010;](#page-277-18) Pereira and Garrett [2010;](#page-278-17) Powers et al. [2008](#page-278-18); Preux et al. [2000;](#page-278-19) Ragonese et al. [2003](#page-278-20); Sipetic et al. [2011](#page-279-17); Tan et al. [2003](#page-279-18), [2007a;](#page-279-19) Tanaka et al. [2011\)](#page-279-20) and 1 cross-sectional study (Louis et al. [2003\)](#page-277-19). The main characteristics of the studies are summarized in Table 12.1 and Fig. [12.3.](#page-269-0) The forest plot corresponding to Fig. [12.3](#page-269-0) represents the RR estimates provided in each study for the association between caffeine intake and PD. Several estimates from the same study may be provided, referring to different exposure levels or to stratum-specific analyses.

The publication year ranged from 1968 to 2013. The studies were conducted mainly in the U.S.A. (15 out of 37, one of which in an Asian population); in Europe (2 in Spain, 2 in Sweden, 2 in Finland, 2 in Italy, 2 in the United Kingdom, 1 in Germany, 1 in France, 1 in Norway, 1 in Greece, 1 in Serbia, 1 in Portugal); 3 in Singapore, 1 in Japan, and 1 in Iran.

In cohort designs, the estimated mean age of the participants at the time of baseline evaluation ranged from 42 to 77 years.

Different sources of caffeine were accounted for in the reports reviewed, and the results used for meta-analysis refer to coffee consumption in most studies ( $n=23$ ), to coffee and tea consumption in 5 studies, and 8 studies extended exposure assessment to all caffeinated beverages, or caffeinated beverages and products containing chocolate. Twenty seven out of the included 37 studies provided RR estimates for different categories of exposure, with an estimated daily exposure to caffeine ranging from 7.8 to 1507 mg, and the reference categories including different proportions of non-caffeine consumers and consumers of different amounts of caffeine. The clinical diagnosis of PD, based on a set of predefined clinical criteria, was the outcome in most studies. Information obtained from medical records and national medication or inpatient databases was occasionally considered as a complementary source in 10 studies, and the same was for information from death certificates in 4 studies. In 2 studies some patients had PD defined by self-report and not confirmed by a clinical diagnosis, death certificates or medical records. One study assessed PD mortality as the sole outcome.

Regarding potential confounding factors, smoking was taken into account in 17 studies. Exposure to heavy metals and use of pesticides or herbicides was accounted










**Table 12.1** (continued)









Table 12.1 (continued) **Table 12.1** (continued)



















**Fig. 12.3** Relative Risk estimates for the association between caffeine and Parkinson's disease, according to sources of caffeine intake and levels of exposure. Legend: *ID* identification, *OR/RR* odds ratio/relative risk, *M* male, *F* female, *C* coffee, *T* tea, *C+T* coffee and tea, *CB* caffeinated beverages, *CB+Choc* caffeinated beverages and chocolate, *Fast Met* fast metabolizers, *Slow Met* slow metabolizers, *HRT* hormonal replacement therapy, *d* day, *w* week

<span id="page-270-0"></span>

Study ID	RR/OR (95% CI)	$\%$ Weight
Case-control/cross-sectional studies ٠		
Nefzger 1968 (M)	0.74(0.58, 0.94)	3.56
Haack 1981	0.63(0.29, 1.36)	1.07
Jímenez 1992	$0.82$ (0.50, 1.35)	1.51
٠ Morano 1994	0.18(0.06, 0.54)	2.89
Hellenbrand 1996 ı	0.53(0.34, 0.84)	2.79
Fall 1999	0.74(0.36, 1.53)	0.93
Benedetti 2000	0.56(0.19, 1.66)	0.63
<b>Preux 2000</b>	0.70(0.40, 1.21)	1.61
Checkoway 2002	0.90(0.52, 1.56)	1.12
Louis 2003 (F)	0.85(0.63, 1.15)	2.69
Louis 2003 (M) ٠	0.89(0.53, 1.48)	1.29
Ragonese 2003 п	0.17(0.05, 0.53)	2.89
Tan 2003 т	0.78(0.66, 0.93)	4.09
<b>Evans 2006</b>	0.87 (0.52, 1.46)	1.31
Hancock 2007	0.58(0.34, 0.99)	2.13
Tan 2007 (Fast Met.)	0.45(0.28, 0.73)	3.05
Tan 2007 (Slow Met.) Facheris 2008 (F)	0.48(0.31, 0.74) 1.26 (0.78, 2.04)	3.16 0.82
Facheris 2008 (M)	0.76(0.51, 1.13)	2.25
Powers 2008	0.83(0.62, 1.11)	2.84
Powers 2008	0.70(0.54, 0.91)	3.50
Nicoletti 2010	0.65(0.45, 0.93)	2.89
Pereira 2010	0.72(0.33, 1.59)	0.82
Tanaka 2011	0.76(0.48, 1.21)	1.85
Sipetic 2012 п	1.99 (1.06, 3.72)	0.21
Hosseini 2013	0.51(0.28, 0.90)	2.25
Macleod 2013	0.48(0.28, 0.82)	2.60
Subtotal (I-squared = $56.5\%$ , $p = 0.000$ )	$0.64$ (0.55, 0.73)	56.74
Cohort/nested case-control studies		
Ross 2000 (M)	0.70(0.41, 1.20)	1.67
Ascherio 2001 (M)	0.60(0.40, 0.90)	2.79
<b>Fink 2001</b>	0.98(0.46, 2.09)	0.52
ı Paganini-Hill 2001	0.98(0.75, 1.28)	2.64
Ascherio 2003 (F, HRT)	1.00 (0.53, 1.89)	0.72
Ascherio 2003 (F, no HRT) 1	0.65(0.33, 1.28)	1.29
Ascherio 2004 (F, HRT)	1.08 (0.64, 1.83)	0.90
Ascherio 2004 (F, no HRT)	0.59(0.37, 1.04)	2.06 3.97
Ascherio 2004 (M) Wirdefeldt 2005 (F)	0.73(0.60, 0.89) 1.08 (0.68, 1.72)	1.12
Wirdefeldt 2005 (M)	0.83(0.50, 1.37)	1.46
Hu 2007 (F)	0.50(0.22, 1.13)	1.37
Hu 2007 (M)	0.55(0.26, 1.16)	1.39
٠ <b>Tan 2008</b>	1.00(0.65, 1.55)	1.39
ī Saaksjarvi 2008 (M)	0.56(0.31, 1.00)	1.99
Saaksjarvi 2008 (F)	0.79(0.40, 1.55)	0.95
<b>Skeie 2010</b>	0.86(0.77, 0.96)	4.53
Liu 2012 (M)	$0.81$ (0.65, 1.02)	3.50
Liu 2012 (F)	0.67(0.44, 1.03)	2.37
Palacios 2012 (M)	0.72(0.40, 1.27)	1.46
Palacios 2012 (F, HRT) ı	0.82(0.40, 1.65)	0.83
Palacios 2012 (F, no HRT)	0.32(0.10, 1.03)	1.33
Kyrozis 2013	0.92(0.72, 1.18)	3.00
Subtotal (I-squared = $3.0\%$ , $p = 0.419$ )	0.79(0.73, 0.84)	43.26
Overall (I-squared = $49.7\%$ , $p = 0.000$ )	0.69(0.63, 0.76)	100.00
NOTE: Weights are from random effects analysis		
$\overline{2}$ .1		
Caffeine reduces risk Caffeine increases risk		

**Fig. 12.4** Meta-analysis for the association between caffeine and Parkinson's disease, including the most precise RR estimates from each individual study. Legend: *ID* identification, *OR/RR* odds ratio/relative risk, *M* male, *F* female, *Fast Met* fast metabolizers, *Slow Met* slow metabolizers, *HRT* hormonal replacement therapy

for by three authors. Age and gender were controlled for in all studies except one, by stratified analysis, matching, or multiple regression.

Pooled results from both cohort and case-control studies (Fig. [12.4](#page-270-0)) showed that caffeine exposure was associated with a 31% reduction in the risk of developing PD (summary RR: 0.69; 95%CI: 0.63 to 0.76.), with moderate heterogeneity  $(I^2=49.7\%)$ . No heterogeneity existed among results from cohort/nested case-control studies  $(I^2=3.0\%)$ . Pooled analysis of these types of studies showed a 21% reduction in the risk of developing PD (summary RR: 0.79; 95%CI: 0.73 to 0.84) in comparison to a 38% risk reduction found in pooled results from casecontrol studies (summary RR: 0.64; 95%CI: 0.55 to 0.73), although in the later significant heterogeneity was documented  $(I^2=56.5\%)$ .

The negative association was similar when only women were considered for analysis (summary  $RR = 0.76$ , 95%CI: 0.63 to 0.90, 13 estimates from 9 studies,  $I^2 = 14.9\%$ ) and when only men were considered for analysis (summary RR = 0.73, 95%CI: 0.65 to 0.80, 11 estimates from 11 studies,  $I^2=0.0\%$ ). A negative association was not observed in pooled results from studies reporting data on women under hormonal replacement therapy (summary  $RR = 0.97, 95\%$  CI: 0.60 to 1.33, 3 estimates from 3 studies,  $I^2 = 0.0 \%$ ).

In the last decade, the number of studies evaluating caffeine exposure and PD risk have more than duplicated (Fig. [12.5\)](#page-272-0). However, the summary RR does not considerably vary since 2001, when it was 0.72 (95%CI: 0.61 to 0.84). Nevertheless, the levels of heterogeneity have increased (I2: 26.6% in 2001 vs.49.7% in 2014).

We have previously documented (Costa et al. [2010](#page-275-5)) the existence of a linear relation between levels of exposure to caffeine and PD risk, with about 25% risk reduction per 300 mg increase in caffeine intake.

Visual inspection of the funnel plot (Fig. [12.6\)](#page-273-0) does not suggest the presence of publication bias. One possible outlier was a case-control study (Sipetic et al. [2011](#page-279-4)) showing an increased risk of PD among coffee drinkers. Egger's regression asymmetry test ( $p=0.138$ ) and the Begg adjusted rank correlation test ( $p=0.157$ ) also do not suggest publication bias.

### *Caffeine Intake and Parkinson's Disease Natural History*

To date, several groups have tried to unravel whether caffeine has an effect on the natural history of PD. Age of onset and rate of clinical progression are surrogate markers of the natural history of the disease that are used to study the asymptomatic and the symptomatic phases of PD, respectively.

An early 2000 study signed by Benedetti et al. firstly verified a clinically and statistical significant difference in age of onset of motor symptoms between PD patients who drank coffee and those who never did—a median age of incidence of 72 years for the former and 64 years for the latter (Benedetti et al. [2000\)](#page-275-3).

Conflicting evidence was published in 2009 by Kandinov et al., who showed that a more than 3 cup per day consumption of coffee could anticipate the age of PD onset by approximately 5 years (Kandinov et al. [2009\)](#page-277-7).

Ever since, doubt was left above the responsibility of caffeine on PD age of onset until today.

In 2003, Schwarzschild et al. analysed data from the CALM-PD study—a randomised trial aiming to evaluate the rate of dopamine neuron degeneration by means of neuroimaging—and found no association between caffeine intake and rate of PD progression (Schwarzschild et al. [2003](#page-278-8)).

<span id="page-272-0"></span>Study in



**Fig. 12.5** Cumulative meta-analysis for the association between caffeine and Parkinson's disease, including the most precise Relative Risk estimates from each individual study. Legend: *M* male, *F* female, *Fast Met* fast metabolizers, *Slow Met* slow metabolizers, *HRT* hormonal replacement therapy

More recently, Simon et al. revisited this classic model of study by means of data from two PD futility trials. They reassessed the association between caffeine consumption and the rate of disease progression using 412 young non-medicated PD patients included in 1-year-long clinical trial. Statistics demonstrated no significant difference between the lowest and the highest quartiles for caffeine exposure as far as rate of progression is concerned (Simon et al. [2008\)](#page-279-6).

<span id="page-273-0"></span>

**Fig. 12.6** Meta-analysis funnel plot, including the most precise Relative Risk estimates from each individual study

In addition, Kandinov et al. used retrospectively acquired data from 278 PD patients to study disease progression. Their results were compatible with the abovementioned studies (Kandinov et al. [2007](#page-277-8)).

Altogether, these results may be view as supportive to the thesis that caffeine could prevent PD, but does not act as a disease-modifying agent. Still, there is plenty of space for further investigation.

## *Caffeine Intake and Parkinson's Disease Symptomatic Relief*

In the late 70's, Shoulson (Shoulson and Chase [1975\)](#page-279-7) and Kartzinel first tried to prove the role of caffeine as a dopamine receptor agonist-adjuvant in the treatment of PD motor symptoms and signs, but without success (Shoulson and Chase [1975;](#page-279-7) Kartzinel et al. [1976](#page-277-9)). However, these two small-sample controlled trials were limited by methodological issues.

In 2007, Kitagawa's Japanese group studied the efficacy of 100 mg of caffeine on freezing of gait in PD. Interestingly, caffeine was only beneficial in the akinetic-type gait freezing and the effect lasted until development of tolerance, which resumed after a 2-week period of withdrawal (Kitagawa et al. [2007](#page-277-10)). These results have limited clinical value due to lack of a control group, randomization, and reduced sample size.

A Phase II open-label dose-response clinical trial conducted from 2010 to 2011 in Canada by Altman et al. aiming to evaluate tolerability of caffeine by PD patients and its efficacy on alleviating motor and non-motor features of the disease found that 400 mg of caffeine daily could improve motor symptoms and sleep quality, and diminish daytime somnolence. The authors also found higher daily doses of caffeine to be difficult to tolerate by PD patients (Altman et al. [2011\)](#page-275-6).

Nevertheless, the latter study also lacked a control group and warranted further investigation. In 2012, Postuma et al. also from Canada, conducted a 6-week randomized controlled clinical trial evaluating 100–200 mg of caffeine twice daily comparing to placebo. This 60-patient trial established no role for the alkaloid on excessive daytime somnolence. However, a statistic significant improvement on motor scales was observed after 6 weeks of caffeine intake (Postuma et al. [2012\)](#page-278-9).

According to the clinicaltrials.gov website last visited on July 2014, there are no ongoing clinical trials investigating the effects of caffeine on PD, though there is a promising protocol for a future Phase III double-blind randomized parallel assignment efficacy trial aiming to investigate in a large cohort of PD patients the short term motor benefits of caffeine and the long term effect over disease progression. Until completion of such a study, no strong conclusions can be made on the effect of caffeine on PD symptoms relieve.

### **Conclusion and Next Steps**

As a relentless neurodegenerative condition, PD is a growing cause of disability and mortality. There are several efficacious drugs on the market that alleviate motor symptoms, however there is no disease-modifying drug for PD. The need of identifying new biomarkers and potential targets for this disease is real, and a growing body of evidence from bench and epidemiological research points towards caffeine and other more selective adenosine  $A_{2A}$  receptor antagonists as potential targets.

For several reasons, such as well-known safety, widespread availability and inexpensiveness, caffeine would be a perfect molecule.

Still there is an evidence gap between basic and clinical sciences, calling for a role for translational research. Epidemiological data from human observational studies in the two last decades suggest a clinically relevant inverse association between exposure to caffeine and development of PD, providing empirical evidence for a neuroprotective role of this adenosine antagonist in PD.

However clinical studies are lacking and their results, although encouraging for further ones, are still disappointing for patients waiting for better days to come.

We expect more clinical studies, including randomized placebo-controlled clinical trials, in the future. In fact, recent findings on the mechanism of action of adenosine  $A_{2A}$  receptor antagonists have opened the perspective for further studies.

Copying Barone and Roberts ([1995\)](#page-275-7): "caffeine has been the subject of extensive research for two reasons—its wide occurrence in nature and its long history of use" (Barone and Roberts [1995](#page-275-7)). At present, we may add a third reason: its potential to prevent, alleviate and cure.

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# **Chapter 13 The Story of Istradefylline—The First Approved A2A Antagonist for the Treatment of Parkinson's Disease**

### **Akihisa Mori, Peter LeWitt and Peter Jenner**

**Abstract** Istradefylline is the first selective adenosine  $A_{2A}$  receptor antagonist which has recently been approved in Japan for Parkinson's disease therapy. Its launch followed a journey through drug development over a period of more than 20 years. This chapter details the progression of istradefylline from identification of the receptor target for Parkinson's disease therapy, to characterisation as a development candidate, to elucidation of its mechanism of action, and finally, to progression through clinical evaluation and eventual registration. Initially, istradefylline was shown to be a highly selective antagonist for adenosine  $A_{2A}$  receptors and to have a highly localised site of action linked to the indirect output pathway from the striatum. Subsequently, it was found to be effective at reversing motor impairments in rodent and primate models of Parkinson's disease without provoking dyskinesia in primates. In clinical trials, istradefylline (as an adjunct to L-DOPA therapy) decreased 'OFF' time without increasing troublesome dyskinesia. The latter findings were the basis for its registration as a treatment for '*wearing off*' in Parkinson's disease. However, this sequence of apparently logical events was interrupted by many challenges that had to be overcome—the topic of a still unfolding story. At this time, the development of istradefylline in Parkinson's disease is still incomplete and under further clinical investigation. Recently, the drug has shown effectiveness in experimental models of non-motor features of Parkinson's disease. The latter findings and further experience from the clinical use of istradefylline in Parkinson's disease will provide future scope for the development of  $A_{2A}$  antagonists in treating human disorders.

Keywords Istradefylline · Adenosine A<sub>2A</sub> antagonist · Parkinson's disease · Striatal output **·** MPTP-treated primate **·** Clinical trials

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# **Introduction**

Research into the treatment of Parkinson's disease (PD) has been dominated by dopamine replacement therapy with L-DOPA (plus decarboxylase inhibitors) and by dopamine agonist drugs. However, with disease progression and increasing duration of drug treatment, dopaminergic medication often fails to control both motor and non-motor features of PD. Problems that can emerge include increasingly long periods of immobility ('*wearing off*'; 'ON-OFF') and motor complications (such as dyskinesia and freezing of gait) that are inadequately treated even with optimised dopaminergic therapy (Fahn [2008;](#page-295-0) Stocchi et al. [2008\)](#page-297-0). In addition, a wide range of non-motor symptoms in autonomic, sensory, sleep, and neuropsychiatric realms can occur before, concomitant with, or after the development of PD motor symptoms; and most are not alleviated by dopaminergic medication (Chaudhuri and Schapira [2009;](#page-295-1) Chaudhuri et al. [2011;](#page-295-2) Martinez-Martin et al. [2011](#page-296-0); Rektorova et al. [2011\)](#page-297-1). As a consequence, there is a continuing need for new treatment approaches for the control of both motor and non-motor features of PD.

Non-dopaminergic approaches to the treatment of PD appear promising for two reasons. First, the pathology of PD is widespread, affecting a range of cell groups from the brain stem to the forebrain (including dorsal motor nucleus of the vagus, locus coeruleus, raphe nuclei, pedunculopontine nucleus, and nucleus basalis of Meynert). None of these sites are dopaminergic in nature, but instead, use other neurotransmitters—including acetylcholine, glutamate, serotonin, and noradrenaline (Braak et al. [2004;](#page-295-3) Javoy-Agid et al. [1984](#page-295-4)). Neuronal loss in these areas may contribute not only to motor problems in PD but also its non-motor signs and symptoms. Second, loss of the dopaminergic nigro-striatal pathway in PD leads to alterations in the function of the neuronal networks making up the cortical-striatalthalamic loop (the basal ganglia thalamo-cortical circuit) that controls voluntary movement (Obeso et al. [2008\)](#page-296-1). Specifically, there are alterations in the activity of the direct strio-internal globus pallidus (striatonigral) and the indirect strio-external globus pallidus (striatopallidal) pathway, both of which are constituted by medium spiny neurons (MSNs), that are integral to controlling motor function and in the expression of dyskinesia. Again, these pathways are not dopaminergic in nature and largely rely on γ-Aminobutyric acid (GABA), but are also affected by dopamine, acetylcholine, and glutamate as neurotransmitters in the striatum. They also have a wide range of other neurotransmitter receptors located on them—including serotonin, noradrenaline, histamine, opiate, cannabinoid, and adenosine. All of these pathways represent potential targets for the manipulation of motor function in PD using non-dopaminergic approaches.

Until recently, there have been few non-dopaminergic treatments for PD motor symptoms. Anticholinergic drugs have been used to lessen resting tremor but can be associated with a wide range of side-effects undesirable in an elderly PD population—cognitive impairment, urinary retention, dry mouth, blurred vision (Connolly and Lang [2014\)](#page-295-5). Amantadine is a weak N-methyl-D-aspartate (NMDA)

glutamate receptor antagonist but also has a wider range of pharmacological actions. Amantadine can also improve tremor as well as suppressing dyskinesia (Ory-Magne et al. [2014\)](#page-296-2). Zonisamide is another multifunctional drug that can be utilised for motor problems in PD (Murata [2010](#page-296-3)). While useful, these agents are of limited benefits in the treatment of PD. Many other approaches to non-dopaminergic therapy have looked effective when tested in animal models of PD but in clinical trials most have failed due to lack of efficacy or side-effects (Brotchie and Jenner [2011](#page-295-6); Fox et al. [2008](#page-295-7); Johnston and Brotchie [2006](#page-295-8)). These include noradrenergic antagonists, serotonin agonists, anti-epileptics, and a range of glutamate antagonists.

There remains a continuing need for improved PD therapeutics and a non-dopaminergic approach would seem a highly viable approach. The development of the  $A_{2A}$  receptor antagonist istradefylline provides one such opportunity and this chapter highlights the more than two-decade challenge of adequately testing and bringing this drug to the market. Its current indication in Japan is for "Improvement of '*wearing off*' phenomena in patients with PD on concomitant treatment with L-DOPA containing products" (product name: NOURIAST® Tablet 20 mg) (see [http://www.e-search.ne.jp/~jpr/PDF/KYOWA13.PDF\)](http://www.e-search.ne.jp/~jpr/PDF/KYOWA13.PDF). In the future, new motor and non-motor uses for istradefylline and other  $A_{2A}$  receptor antagonists might be expected and these are highlighted towards the end of this Chapter.

# The Identification of Istradefylline As an A<sub>2</sub> Receptor **Antagonist for PD**

Starting in the 1980s, Kyowa Hakko Kirin Co., Ltd. (KHK) (formerly Kyowa Hakko Kogyo) was exploring the potential therapeutic use of adenosine antagonists. Initially, the focus was on discovering selective and potent xanthine-derivative adenosine  $A_1$  receptor antagonists structurally related to caffeine and theophylline. One successful outcome was the development of rolofylline (KW-3902), which had a diuretic and protective action in acute renal failure (Shimada et al. [1992a](#page-297-2)). Through chemical modification of derivatives of rolofylline, KHK discovered a series of compounds that differed in their selectivity and affinity for  $A_1$  and  $A_2$  receptors (although at this time, the  $A_{2A}$  receptor had not been cloned). KHK continued these investigations to discover highly selective and potent xanthine-derivative adenosine  $A<sub>2</sub>$  receptor antagonists (Shimada et al. [1992b\)](#page-297-3). These endeavours initially were conducted for purely scientific reasons because at the time there was no concept of how  $A_2$  antagonists might be used in human disease. The affinity for  $A_2$  receptors was assessed using receptor biochemistry studies with brain/peripheral tissue/cell culture membrane preparations and functional assays measuring cyclic AMP production. These methods were employed to determine antagonistic activity of promising compounds. At this point, scientists at KHK determined an unexpected profile of  $A_2$  antagonists in rodent behavioural pharmacology experiments (see below) that led to consideration of a use in treating movement disorders as a potential indication. Meanwhile, a successful chemistry programme developed a lead compound in

the form of the selective  $A_{2A}$  antagonist KF17837 early in the 1990s (Nonaka et al. [1994;](#page-296-4) Shimada et al. [1992b\)](#page-297-3). Afterwards, large numbers of exploratory pharmacological and mode of action studies, as described below, were undertaken using this molecule.

The early 1990s saw several important contributions to the field: (1) Schiffmann et al. cloned  $A_{2A}$  receptors, and it was demonstrated that the receptor was highly expressed on striatopallidal MSNs but not on striatonigral MSNs (Schiffmann and Vanderhaeghen [1993](#page-297-4); Schiffmann et al. [1991a,](#page-297-5) b). (2) The control of normal motor function and its disruption in hypo- and hyper-kinetic motor disorders was proposed to involve dysfunction of the basal ganglia thalamo-cortical circuit (Alexander and Crutcher [1990;](#page-295-9) DeLong [1990](#page-295-10)). At this point, KHK in-house data showed that  $A_{2A}$ antagonists improved motor function in some rodent PD models that were subsequently used as a primary screening tool. At this point, there was a lot of internal discussion in KHK over the feasibility of exploiting the  $A_{2A}$  receptor as a target for PD as there were no reports in any literature that associated adenosine with PD. Dopamine replacement was the central dogma and concepts that were centred on a non-dopaminergic approach were thought to be unrealistic. However, finally KHK decided to develop  $A_{2A}$  receptor antagonists as a targeted therapeutic approach for PD because new therapy was obviously required and the team believed the 'adenosine  $A_{2A}$  concept' had future potential. At this point, a research team was assembled to characterise the mode of action of  $A_{2A}$  receptor antagonism, and to develop the preclinical package necessary to take an  $A_{2A}$  antagonist into clinical development for PD. This was accomplished with only a few people at that time having the vision to anticipate that, 20 years later, an  $A_{2A}$  antagonist could evolve into a therapy for PD. As the optimisation process for the identification of a lead compound continued, KHK synthesised KW-6002 (istradefylline), which had almost the same *in vitro* affinity profile as KF17837. However, in mice behavioural studies, KW-6002 was approximately 90 times more potent (Saki et al. [2013](#page-297-6)). The company selected KW-6002 as a lead molecule, which was the first selective, potent  $A_{2A}$  antagonist subsequently taken forward as a clinical development candidate for PD.

# **The Actions of Istradefylline (KW-6002) in Functional Models of Motor Impairment in PD**

The localisation of the  $A_{2A}$  adenosine receptor to the basal ganglia stimulated the idea that this could be a target for PD. This was supported by studies on caffeine in rats and mice where pharmacological dissection showed that the motor-enhancing effects of caffeine were associated with its  $A_{2A}$  antagonist properties. However, the strongest impetus to investigate selective  $A_{2A}$  antagonists in relation to PD probably came from the work of Ferré and colleagues (Ferré et al. [1991](#page-295-11)), which showed that the  $A_{2A}$  adenosine agonist, CGS 21680, like haloperidol, induced catalepsy in rats. As a result, rodent models of CGS 21680- and haloperidol-induced catalepsy were subsequently used to explore the novel  $A_{2A}$  antagonist drugs being synthesised

at KHK. These studies showed that KF17837, but not  $A_1$  adenosine antagonists, reversed catalepsy in a dose-dependent manner as did administration of L-dopa (Kanda et al. [1994](#page-296-5)). When KF17837 was replaced by KW-6002, it too was shown to reverse haloperidol-induced catalepsy. Subsequently, both KF17837 and KW-6002 demonstrated effectiveness in several rodent models of motor impairment in PD (Shiozaki et al. [1999\)](#page-297-7). Both drugs reversed reserpine- and MPTP-induced hypokinesia in mice as did L-DOPA and dopaminergic agonist drugs. The two adenosine  $A_{2A}$  antagonists also potentiated the effects of dopaminergic drugs on rotation in unilateral 6-OHDA-lesioned rats (Koga et al. [2000\)](#page-296-6).

As these rodent studies were in progress, the search for efficacy in PD was augmented by a move to testing in the MPTP-treated primate. The model was considered highly predictive of drug action in man, but was not available at KHK. As a consequence, KHK initiated collaboration with the Jenner laboratory at King's College in London. This was a pivotal move in the development of KW-6002 (istradefylline). Studies in this primate model of PD showed that oral administration of KW-6002 alone could produce a partial reversal of impaired locomotor activity and motor disability (Kanda et al. [1998](#page-296-7)). The benefits were dose-dependent, although no further improvement was seen at the highest doses with 5–10 mg/kg producing a maximal motor improvement. Even more exciting was the discovery that KW-6002 (administered at 5–10 mg/kg) markedly improved the effects of L-DOPA administration on motor function. Most importantly, the administration of KW-6002 by itself did not evoke involuntary movement in MPTP-treated common marmosets that had been exposed to L-DOPA to initiate dyskinesia. Administration of KW-6002 with L-dopa produced no greater involuntary movements than occurred with L-DOPA alone, despite the increased improvement in motor disability. Subsequently, it was shown that on repeated administration of istradefylline, dyskinesia was not enhanced and existing involuntary movements tended to subside (Uchida et al. [2014](#page-297-8)). To validate the Jenner laboratory findings, additional experiments were undertaken using MPTP-treated cynomolgus monkeys in the laboratories of Paul Bédard in the University of Laval, Quebec City. The cynomolgus monkey studies demonstrated, as in the common marmoset, that the improvement in motor function occurred without exacerbation of dyskinesia (Grondin et al. [1999\)](#page-295-12).

The effects of KW-6002 also were evident when the drug was used in combination with a dopamine agonist, quinpirole. An improvement in motor disability was not seen with quinpirole alone whereas administration of the combination improved motor function but with no increase in dyskinesia (Kanda et al. [2000\)](#page-296-8). Recently, the combined administration of KW-6002 with two clinically used dopamine agonists, ropinirole and pergolide, was shown to further improve motor function in MPTP treated primates (Uchida et al. [2015](#page-297-9)). Testing of the effects of combinations of KW-6002 with either L-DOPA or dopamine agonist monotherapy, has not been examined in man, but based on these findings, it clearly needs to be explored.

While the single administration of istradefylline with L-DOPA or quinpirole resulted in an expected interaction of improved motor function, it was an unexpected finding that when an additional dose of L-DOPA or quinpirole was administered 24 h later (with no further KW-6002), the same enhanced response occurred. Similarly, if L-DOPA or quinpirole was again administered 48 h after the administration of KW-6002, the improvement in motor function effect was still seen (Kanda et al. 2000). These findings implied that there might be some adaptive change occurring as a result of  $A_{2A}$  receptor blockade that alters the response to dopaminergic drug action, since these effects occurred at a time far beyond the biological half-life of KW-6002.

# **The Search for a Mechanism of Action for Istradefylline in PD**

The search for a mechanism of action for KW-6002 was based on several key pieces of evidence from in vivo research, as mentioned above. These include: (1) that  $A_{2A}$  agonists induce motor dysfunction, (2) that  $A_{2A}$  antagonists ameliorate motor dysfunction in some experimental models of PD including  $A_{2A}$  agonist-induced motor dysfunction. In addition, it had been verified that  $A_{2A}$  receptors are specifically located on striatopallidal MSNs, which constitute the striatopallidal pathway in the basal ganglia circuitry. It was proposed that excessive activation of the striatopallidal pathway was induced by the loss of dopamine  $D_2$  receptor-mediated inhibitory modulation as a consequence of the loss of nigrostriatal dopamine neurones in PD, a key pathophysiological feature explaining some of its motor symptoms (Alexander and Crutcher [1990](#page-295-9); DeLong [1990](#page-295-10)). This led the team at KHK to consider that, instead using dopamine replacement and/or  $D_2$  receptor stimulation, if "something" could reduce the excitability of striatopallidal pathway, it could be effective in the symptomatic treatment of PD.

Bringing all available evidence together, it seemed reasonable to speculate that an adenosine  $A_{2A}$  antagonist might offer therapeutic benefit for PD. Specifically, since adenosine acts to increase striatopallidal pathway output via adenosine  $A_{2A}$  receptors on striatopallidal MSNs, an  $A_{2A}$  antagonist like istradefylline could block the  $A_{2A}$ receptor-induced modulation of the striatopallidal pathway. The net effect would be an increase of pallidal output to the STN, resulting in restoring the balance of the basal ganglia thalamocortical circuit. To prove whether this hypothesis was correct, it was necessary to determine whether, under physiological conditions, adenosine  $A_{2A}$  receptors regulate the activity of striatopallidal MSNs. After many preliminary studies conducted at KHK (investigating the range of transmitters and channels that regulate MSN activity), the decision was made to concentrate on GABAergic modulation of MSNs. Deciding factors included: (1) GABAergic input onto MSNs is thought to be a crucial system in determining membrane excitability of MSNs which receive massive excitatory glutamatergic inputs from the cortex and thalamus (Kita [1996\)](#page-296-9), (2) The collaboration with Peter Richardson's laboratory at Cambridge University had provided evidence that  $A_{2A}$  receptors regulated GABA release in *in vitro* striatal synaptosomal preparations (Kirk and Richardson [1994](#page-296-10); Kurokawa et al. [1994\)](#page-296-11). Also, KHK had already established an electrophysiological method to evaluate synaptic transmission onto single MSN in brain slice preparations in collaboration with Tokyo University (Mori et al. [1994\)](#page-296-12) in the early 1990s. Finally, KHK discovered that  $A_{2A}$  receptors modulate intrastriatal GABAergic synaptic transmission onto MSNs (Mori et al. [1996](#page-296-13)) in the mid-1990s. Triggered by these findings and other data,  $A_{2A}$  receptor antagonists then became an important therapeutic target for PD with a physiological rationale (Richardson et al. [1997](#page-297-10)). Furthermore, and separate from this striatal modulation, it was found that adenosine  $A_{2A}$  receptor activation also enhanced GABAergic transmission in the global pallidus (GP) (Shindou et al. [2001,](#page-297-11) [2002,](#page-297-12) [2003\)](#page-297-13). Both physiological findings allowed KHK to hypothesise the presence of an  $A_{2A}$  receptor-mediated dual modulation of the activity of striatopallidal pathway, regulating both striatal GABA input onto MSNs and GABAergic output from pallidal terminal of MSNs that occurred via  $A_{2A}$  receptors located in both the striatum and external GP, respectively. This hypothesis, which developed from *in vitro* investigations, was further strengthened by *in vivo* microdialysis investigations, showing that both intrastriatal and intrapallidal application of an  $A_{2A}$  agonist led to excessive GABA release from the GP of rats (Ochi et al. [2000\)](#page-296-14). These *in vivo* studies also demonstrated that GABA release from the GP in 6-OHDA rats was significantly higher than that of normal rats, indicating excessive excitation of the striatopallidal pathway that might occur in PD. The excessive GABA output was significantly suppressed by oral administration of istradefylline to 6-OHDA lesioned rats. At this point, the physiological hypothesis as to how  $A_{2A}$  receptors function was translated into a therapeutic mechanism of action of  $A_{2A}$  antagonist therapy for improving motor function in PD (Kase et al. [2003;](#page-296-15) Mori and Shindou [2003](#page-296-16)). This mechanism of action was strongly supported by independent *in vitro* research carried out by others at the same time (Chergui et al. [2000;](#page-295-13) Mayfield et al. [1993\)](#page-296-17).

However, other research groups believed that a different mode of action by  $A_{2A}$ antagonists was responsible for their pharmacological effects. An alternative hypothesis was based on an  $A_{2A}$ - $D_2$  receptor interaction driven by the evidence for a co-localization of  $A_{2A}$  and  $D_2$  receptors on same striatopallidal MSNs. In support, some *in vitro* data was available, including a reciprocal interaction of both receptors at the second messenger level, and *in vivo* synergy between the effects of  $A_{2A}$  antagonist and  $D_2$  dopamine receptor agonists. This alternative hypothesis proposed that  $A<sub>2A</sub>$  receptor antagonists work through  $D<sub>2</sub>$  receptors to produce an anti-parkinsonian effect (Fuxe et al. [2001](#page-295-14); Svenningsson et al. [1999](#page-297-14)). However, KHK considered that the apparent  $A_{2A}$ - $D_2$  interaction could not explain many of the *in vivo* behavioural effects produced by an  $A_{2A}$  antagonist such as istradefylline, one of which was the failure to evoke dyskinesia. In addition, much of the *in vitro* data was collected in experiments involving dopamine-free conditions. At this point, KHK initiated a collaboration with Emiliana Borrelli, Institut de Génétique et de Biologie Moléculaire et Cellulaire in Strasbourg. This work demonstrated that istradefylline alone could ameliorate motor dysfunction exhibited by  $D_2$  dopamine receptor knock-out mice and also reversed changes in striatopallidal marker protein mRNA (Aoyama et al. [2000\)](#page-295-15). Therefore, KHK concluded that a mechanism independent of a  $D_2$  interaction was the most likely explanation for the potential adenosine  $A_{2A}$  receptor-related anti-parkinsonian action of istradefylline and this conclusion was subsequently supported by other research groups (Chen et al. [2001\)](#page-295-16).
## **The Development of Istradefylline as a Drug for Use in PD**

Based on the predictive nature of the MPTP treated primate model of PD, the question that needed to be answered in a clinical trial was whether istradefylline worked in conjunction with L-DOPA to extend the duration of motor improvement in those patients who showed '*wearing off*' and did not have an adequate response to further dopaminergic medication.

Thus, in 1996, KHK started a clinical development programme for istradefylline, conducting Phase I studies in Japan and outside of Japan. The profile of istradefylline evaluated through these Phase I studies, such as its pharmacokinetic characteristics, can be seen in the Japanese package insert (product name: NOURIAST® Tablet 20 mg; the English translated version is available in [http://www.e-search.](http://www.e-search.ne.jp/~jpr/PDF/KYOWA13.PDF) [ne.jp/~jpr/PDF/KYOWA13.PDF\)](http://www.e-search.ne.jp/~jpr/PDF/KYOWA13.PDF). After repeated oral dosing of istradefylline once daily for 14 days in healthy subjects, the pharmacokinetic analysis indicated a doseproportional increase in  $C_{\text{max}}$  and  $AUC_{0-24}$  in plasma, approximately 260 ng/mL and 460 ng/mL at 20 and 40 mg/day, respectively. The  $T_{1/2}$  after single dosing was approximately 60–75 h and the  $C_{\text{min}}$  reached an approximate steady state after repeated dosing for 14 days.

At this point, KHK conducted three proof-of-concept (POC) studies in the US and UK. One study (6002-US-001) was a double-blind, randomised, placebocontrolled investigation over 12-weeks with dose-titration of istradefylline up to 20 or 40 mg/day that enrolled 83 L-DOPA treated patients with PD showing motor response complications. This study was used to determine a suitable clinical endpoint for the drug in PD. Among 18 efficacy endpoints, 'OFF' time reduction evaluated by patient diaries was found to be the most appropriate clinical endpoint for the effectiveness of istradefylline, providing a statistically significant change from baseline compared to placebo (Hauser et al. [2003](#page-295-0)). Another study conducted at the National Institute of Health (NIH) approached the same question from a different direction. Study 6002-US-004 enrolled 15 subjects and demonstrated that istradefylline potentiated the reversal of motor symptoms induced by a low dose of intravenous L-DOPA, and as evaluated by UPDRS part III scores (Bara-Jimenez et al. [2003\)](#page-295-1). A further study (6002-EU-06) was undertaken in healthy volunteers to determine the dose of istradefylline needed to occupy  $A_{2A}$  receptors in the brain using positron emission tomography (PET) scan imaging of [<sup>11</sup>C]-labelled istradefylline in the human brain, with/without oral administration of istradefylline. The results indicated a selective distribution of istradefylline to the caudate-putamen, and also showed that istradefylline 20 and 40 mg/day oral treatment seemed sufficient to occupy  $A_{2A}$  receptors in healthy subjects (Brooks et al. [2008\)](#page-295-2). In parallel, the pharmaceutical development programme of KHK accumulated large amounts of non-clinical and clinical pharmacology, safety/toxicology, drug metabolism, and pharmacokinetic data indicating that istradefylline possessed an appropriate profile for full clinical Phase II/III development in PD. This included the biochemical profile of istradefylline that showed it to be a selective  $A_{2A}$  receptor antagonist, without effect/affinity for any other major transmitter receptors/transporters, (monoamine

oxidase-B [MAOB], and catechol-O-methyl transferase [COMT]) relevant to PD (Saki et al. [2013](#page-297-0)) .

#### **The Clinical Development Programme**

The main drive of istradefylline clinical development has been aimed at L-dopa adjunctive therapy in PD with seven pivotal studies conducted in the North America(NA)/European Union (EU) and Japan, triggered by the successful results from 6002-US-001. For some patients with advanced PD, up to one-half of a typical day can be impaired by episodic 'OFF' states (periods when motor symptomatology re-emerges). Such 'OFF' states, which may be prolonged and unpredictable in their occurrence, also can be associated with peak-effect involuntary movements (dyskinesia) that may evolve as a consequence of chronic L-DOPA therapy. The problems of long-term L-DOPA therapy are only partially helped by conventional pharmacological strategies to gain more consistent motor control, such as using long-acting dopamine agonists, sustained-release L-DOPA formulations, or inhibitors acting on MAOB or COMT (LeWitt [2008](#page-296-0)). Therefore, all of the studies conducted to date have had almost the same design with 'OFF' time reduction as the primary objective as assessed using 24-h patient diaries. For the NA and EU pivotal development programme conducted by KHK, two Phase IIB studies (6002-US-005 (LeWitt et al. [2008](#page-296-1)) and 6002-US-006 (Stacy et al. [2008](#page-297-1)) were undertaken, followed by three Phase III studies (6002-US-013 (Hauser et al. [2008](#page-295-3)), 6002-US-018 (Pourcher et al. [2012](#page-297-2)) and 6002-EU-007) that were completed by early 2006. During these investigations, all subjects received L-DOPA at a stable dosage regimen. Other PD drugs were permitted, except entacapone in 6002-EU-007, (with the majority of study participants receiving adjunctive medication, such as dopamine agonists and a COMT inhibitor). These studies also collected data and analysed results with respect to adverse events, tolerability, and safety. The change from baseline in percentage of daily awake time spent in the 'OFF' state (% OFF time), evaluated by 24-h patient diary, was the primary endpoint; secondary endpoints included Unified Parkinson's Disease Rating Scale (UPDRS) motor examination scores as well as global assessments. Although several of the studies were quite similar in study format and drug dosage utilized, their outcomes differed, as described below and Table [13.1](#page-290-0).

Clinical development was paused prior to starting Phase III due to preclinical toxicological findings in the rat. However after 6 months intensive and extensive examination by external experts, as well as consultation with the FDA, the clinical development program was re-started in 2004 (see press release on April, 23, 2004: [http://www.kyowa-kirin.com/news\\_releases/kyowa/2004/er040423.html](http://www.kyowa-kirin.com/news_releases/kyowa/2004/er040423.html)). Subsequently, Japanese Phase IIB (6002-0608) and Phase III (6002-009) studies were conducted from 2007 to 2011 (Mizuno et al. [2010](#page-296-2), [2013](#page-296-2)). Both the NA/EU and Japanese Phase III studies included long-term follow-up safety studies that showed tolerability of istradefylline, with suggesting a sustained reduction of OFF time in

<span id="page-290-0"></span>**Table 13.1** Overall summary of NA/EU/Japanese randomized controlled study efficacy outcomes in pivotal development programme: The outcome regarding 'OFF' time is shown positive (+) if statistical significant separation of active arms from placebo treatment was observed in either daily OFF time hours or percentage of awake time per day spent in the 'OFF' state (daily % OFF time). (see text for references for each study)

Phase	Region	Study	Treatment duration	Outcomes/dose (mg/day)	
			(weeks)	OFF time	<b>UPDRSIII</b>
PIIB	North America	<b>US-005</b>	12	$40: +$	$40: -$
		<b>US-006</b>	12	$20: +$	$20: -$
				$60: +$	$60: -$
PIII	North America	$US-013$	12	$20: +$	$20: -$
		$US-018$	12	$10: -$	$10: -$
				$20: -$	$20: -$
				$40: -$	$40: +$
	EU	EU-007	16	$40: -$	$40: -$
				$Entacapone: -$	Entacapone: +
PIIB	Japan	0608	12	$20:$ +	$20: +$
				$40: +$	$40: +$
PIII		009	12	$20: +$	$20: -$
				$40: +$	$40: +$

L-DOPA treated PD patients, on continued treatment (Factor et al. [2010;](#page-295-4) Kondo et al. [2015](#page-296-3)).

The five NA/EU double blind, placebo-controlled studies of istradefylline as adjunctive therapy in PD patients treated with L-DOPA were conducted using the same protocol (except the 6002-EU-007 study), with 'OFF' time reduction in '*wearing-off*' as the primary outcome. Three studies (i.e., 6002-US-005, −006 and −013) demonstrated statistical significant separation from placebo arm in 'OFF' time reduction, but studies US-018 and EU-007 (see [http://www.info.pmda.go.jp/](http://www.info.pmda.go.jp/shinyaku/P201300035/index.html) [shinyaku/P201300035/index.html](http://www.info.pmda.go.jp/shinyaku/P201300035/index.html)) did not. Both Japanese Phase IIB and Phase III trials resulted in a positive outcome.

One of the positive NA/EU studies, 6002-US-005 (large North American multicenter Phase IIB clinical trial) investigated the adjunctive role of istradefylline 40 mg/day for the improvement of "OFF" time. This 12-week study involved 1:2 randomization of 195 subjects to regimens of either placebo or istradefylline 40 mg/ day. The primary efficacy outcome was the change from baseline to the 12-week assessment in daily 'OFF' time. The highly-significant ( $p=0.006$ ) treatment effect in daily OFF time hours was a mean of −1.8 h (95%-CI of −1.28 to −0.08 for istradefylline) and  $-0.6$  h (95%-CI of  $-2.26$  to  $-1.26$ ) for placebo (LeWitt et al [2008\)](#page-296-1). This corresponded to a reduction in daily % OFF time of 28% for istradefylline and 10% for placebo. These benefits were observed by the second week of treatment. Throughout the 12-week study, there was at least a 1-h difference between placebo and the istradefylline effect. The patient diary findings for "ON" time without dyskinesia was slightly increased over the effects of placebo, as was the findings for "ON" time with dyskinesia (which were rated predominantly as "non-troublesome" by subjective rating). Overall, drug-related treatment-emergent adverse events were

greater for the istradefylline-treated subjects (66.7%) than for placebo (57.6%), with dyskinesia, dizziness, insomnia, nausea, and accidents involving falling being the most common.

In one of the negative NA/EU studies (large North American multicenter Phase III study, 6002-US-018), the goal was to determine the optimal dosing and the minimally-effective dose of istradefylline producing an anti-parkinsonian effect. Using a  $1:1:1:1$  randomization scheme, 610 PD subjects with motor fluctuations were assigned to placebo, 10, 20, or 40 mg/day treatments (total ITT population: 584 subjects) (Pourcher et al. [2012](#page-297-2)). As with the studies discussed above, the primary efficacy end-point of the study was to determine change in daily % OFF time. Expressed as percent change, the placebo treatment resulted in a 7.6% reduction. The three doses of istradefylline led to no statistically different changes from placebo or each other in the extent of reduction in percent "OFF" time: 5.7% for 10 mg, 6.1% for 20 mg, and 9.1% for 40 mg. Although the effects of istradefylline were numerically ordered by dose, the actual time changes from baseline in awake "OFF" time at 12 weeks were minor. No obvious explanation was discovered as to why these results differed from the other Phase IIB and Phase III studies, since similar PD subjects were enrolled and the rating methods were similar. One interpretation proposed by the authors was that the study design utilised had enhanced the magnitude of the placebo effect (which was larger than expected and more prolonged). An increase in dyskinesias (mild to moderate and not troublesome) was also observed in the istradefylline treated groups.

After completion of five NA/EU studies, KHK filed a new drug application (NDA) in 2007 with the Food and Drug Administration (FDA) with data from the pivotal clinical trials (see [http://www.kyowa-kirin.com/news\\_releases/kyowa/2007/](http://www.kyowa-kirin.com/news_releases/kyowa/2007/er070427_02.html) [er070427\\_02.html](http://www.kyowa-kirin.com/news_releases/kyowa/2007/er070427_02.html)), but received a not-approvable letter in 2008 (see [http://www.](http://www.kyowa-kirin.com/news_releases/kyowa/2008/er080228_01.html) [kyowa-kirin.com/news\\_releases/kyowa/2008/er080228\\_01.html](http://www.kyowa-kirin.com/news_releases/kyowa/2008/er080228_01.html)). KHK decided to discontinue the development program outside of Japan. However, later the same year, an independent development program in Japan reached a successful outcome from a Phase IIB study (6002-0608). The daily OFF time hours changes from baseline at endpoint were −0.66 h for placebo, −1.31 h for 20 mg/day istradefylline, and −1.58 h for 40 mg/day istardefylline. The differences from placebo were 0.65 h ( $p=0.013$ ) with istradefylline at 20 mg/day and 0.92 h ( $p<0.001$ ) with the 40 mg/ day as an improvement of daily 'OFF' time (Mizuno et al. [2010\)](#page-296-2). A secondary endpoint, the UPDRS motor examination conducted while subjects were in an "ON" state, showed an improvement of 5.7 points compared to an improvement of 3.7 points in placebo-treated patients  $(p=0.006)$ . KHK proceeded with the program in Japan and initiated the Phase III program. Study 6002-009 was carried out at a number of clinical sites and enrolled 373 subjects. This randomized, placebocontrolled 12-week trial investigated a primary efficacy outcome as those studied in North America and Europe (change in 'OFF' time). The results showed that both 20 and 40 mg/day of istradefylline led to reductions of a similar extent and the differences from reduction extent of placebo arm were 0.76 h with 20 mg/day ( $p=0.003$ ) and 0.74 h with 40 mg/day  $(p=0.003)$  (Mizuno et al. [2013\)](#page-296-2). However, only the 40 mg/day istradefylline dose resulted in an improvement in the UPDRS motor examination score  $(p=0.001)$  as a secondary efficacy endpoint. The istradefylline treatment regimen was well tolerated and the most frequent common adverse event showing a greater incidence than placebo was dyskinesia. The successful outcome led to KHK filing an NDA in Japan in 2012 (see [http://www.kyowa-kirin.com/](http://www.kyowa-kirin.com/news_releases/2012/e20120402_01.html) news releases/2012/e20120402\_01.html). In 2013 (see [http://www.kyowa-kirin.](http://www.kyowa-kirin.com/news_releases/2013/e20130325_04.html) [com/news\\_releases/2013/e20130325\\_04.html\)](http://www.kyowa-kirin.com/news_releases/2013/e20130325_04.html) KHK obtained approval for marketing of istradefylline for the indication "improvement of *wearing-off* phenomenon in patients with PD on concomitant treatment with L-DOPA containing preparations". The approved dosage and regimen is 20 mg once a day oral administration and according to symptoms, 40 mg once a day can be orally administrated.

The reasons why 6002-US-018 and 6002-EU-007 failed to demonstrate statistical separation of the active treatment arms from placebo treatment in 'OFF' time reduction are unknown. With respect to the 6002-US-018 study, the placebo response around the primary endpoint was very large and was almost double in comparison with other pivotal studies. The driving factor contributing to such a high placebo change has not been identified. Several possible factors were discussed, including a high probability (75%) of being assigned to active drug (Pourcher et al. [2012\)](#page-297-2). Study 6002-EU-007 study was carried out in 14 countries including Europe, South America, India, and Russia, which may suggest that variability between centres arising from differences in the medical environment and language in different territories was not adequately controlled.

What were the reasons that made a difference between the NA/EU and Japan studies? To date, there are no answers. However, the Pharmaceuticals and Medical Devices Agency (PMDA), Japan has issued their view as part of review of the istradefylline submission data in Japan:

As the applicant discussed, despite the fact that there were no major differences in the intrinsic and extrinsic ethnic factors, patient background, or study design between Japan and overseas, foreign clinical studies failed to clearly demonstrate the efficacy of istradefylline with no consistent results with respect to the effect of istradefylline in reducing OFF time across different studies. However, in the Japanese clinical studies compared with the foreign clinical studies, the number of daily diaries assessed was increased with an aim of increasing the precision of data and furthermore, the Japanese studies were conducted under the system where the results were less likely to be affected by centre differences, compared with Study 6002-EU-007 that failed to demonstrate efficacy. Thus, the effect of istradefylline in reducing OFF time may have been assessed more accurately in the Japanese late phase II and phase III studies compared with the foreign clinical studies. In addition, in the Japanese development program, two placebo-controlled, parallel-group, comparative studies of similar design were conducted and the reproducibility of efficacy can also be assessed. Therefore, the efficacy of istradefylline should be evaluated based primarily on the data from the Japanese late phase II and phase III studies. (Review Results February 22, 2013 by PMDA, Japan: [http://www.pmda.go.jp/english/service/drugs.html\)](http://www.pmda.go.jp/english/service/drugs.html)

The outcome of change in UPDRS part III also showed inconsistency across all seven studies undertaken. However, there were slight differences in the timing of evaluation of UPDRS part III scores between two Phase IIB studies in NA/EU and all other studies, including the two Japanese studies. It should be noted that the former studies determine UPDRS part III score in a morning OFF state after overnight omission of PD medication at baseline, week 4 and week 12 as an endpoint, occurring after the first dose of L-DOPA that day in patients who were asked to come to the clinic in the 'OFF' state. But, the latter investigations evaluated UPDRS part III in the 'ON' state in patients who came to the clinic already 'ON'. This difference may have had some impact on baseline UPDRS part III scores between studies and may have influenced the changes from baseline.

The entire development strategy for istradefylline has been driven by the outcome of L-DOPA adjunctive studies in PD but monotherapy has also been evaluated. In a proof-of-concept monotherapy study (6002-US-051), 176 PD subjects were randomized 1:1 to either istradefylline or placebo for 12 weeks (Fernandez et al. [2010\)](#page-295-5). Their motor symptoms were mild and past exposure to dopaminergic therapy was minimal. In this multi-centre investigation, the daily dose of istradefylline was 40 mg. At 12 weeks, the UPDRS motor examination did not support a lessening of motor disability compared to baseline  $(p=0.228)$ , although there was a numerical trend for an improvement as compared with placebo, including statistical separation observed at week 2. The study also tried to evaluate cognitive function by using some sub-scores of the California Verbal Leaning Test, Second Edition although the study was not powered for these variables, showing that istradefylline does not worsen cognitive status (Fernandez et al. [2010\)](#page-295-5) (Table [13.1\)](#page-290-0).

# **The Future Development of Istradefylline and A2A antagonists—Motor and Non-Motor Symptoms**

After the success of the clinical trials programme in Japan and the marketing of istradefylline for PD in Japan, attention has returned to obtaining approval of the drug in the NA and in Europe. A new clinical trial has been initiated in both territories using the same basic design as in previous investigations, under special protocol assessment (SPA) agreement with the US/FDA (see [http://www.kyowa-kirin.com/](http://www.kyowa-kirin.com/news_releases/2013/e20131121_01.html) news releases/2013/e20131121\_01.html). The study end point is a reduction in 'OFF' time in L-DOPA-treated patients with a stable regimen of any other anti-PD therapy (MAO-B inhibitors, COMT inhibitors, dopaminergic agonists) compared to placebo (clinicaltrials.gov identifier: NCT01968031).

This study should establish the role of istradefylline as an adjunct to L-DOPA therapy to treat '*wearing-off*' in PD. However, its usefulness and efficacy in earlier treatment strategies has not been fully explored in pivotal development trials. There are only a few investigations of the clinical effect of istradefylline as monotherapy in early PD, like 6002-US-051 study (Fernandez et al. [2010](#page-295-5)), and positive results from non-human primate studies as described above. Perhaps importantly, the synergy seen between istradefylline and low doses of both L-DOPA and dopamine agonist drugs in experimental models of PD suggests two further possibilities for future  $A_{2A}$  antagonist strategies. First,  $A_{2A}$  antagonists might be used in an L-DOPA sparing strategy to reduce L-DOPA dosage in patients where unacceptable sideeffects, such as dyskinesia, are occurring but a further improvement in motor function is required. Second, the class might be used in patients who show insufficient improvement from low dose L-DOPA or dopamine agonist monotherapy to avoid any further increase in dopaminergic medication so that the onset of motor fluctuations and motor complications can be avoided. Both potential possibilities should be explored in future clinical investigations for  $A_{2A}$  antagonist development.

In addition to the motor symptoms of PD,  $A_{2A}$  antagonists may have a potential use in the treatment of non-motor symptoms which largely respond poorly to dopaminergic medication and represent a major clinical unmet need (Chaudhuri and Schapira [2009](#page-295-6)). Recently, experimental studies undertaken using istradefylline have demonstrated a potential role in the control of neuropsychiatric components of PD. Istradefylline was shown to be active in the tail suspension test and forced swim test and on learned helplessness, suggesting antidepressant potential effects in all three paradigms that suggest a high predictive value and the possibility that not only would istradefylline be effective against depression in PD but also against depression syndromes affecting the general population (Yamada et al. [2013](#page-297-3), [2014\)](#page-297-4). In relation to cognitive impairment in PD, istradefylline improved cognitive performance in rats with a 6-OHDA lesion in prefrontal cortex (Kadowaki Horita et al. [2013](#page-295-7)).

#### **Conclusions**

The discovery of the ability of istradefylline to improve motor function in PD without worsening dyskinesia through its  $A_{2A}$  receptor antagonist activity, has provided a novel non-dopaminergic approach to the treatment of the illness. The launch of the drug for the treatment of PD in Japan is the culmination of more than 20 years of endeavour. It is a great illustration of the need to persevere in drug development and the need to overcome setbacks that might otherwise have led to the termination of the programme. However, the initial introduction of istradefylline as a therapy for PD is, in reality, only the beginning of the story. The full potential of istradefylline in the treatment of PD has yet to be explored. In particular, its use in treating early PD either as monotherapy or as an alternative to the introduction or to an increase in dopaminergic therapy, need investigation. The potential for the use of  $A_{2A}$ antagonists in treating the neuropsychiatric components of PD is only now starting to emerge, and its wider potential for the treatment of anxiety, depression, and cognitive disorders in the non-PD patient population is a real possibility for the future. The therapy for PD has very much remained dominated by approaches linked to dopamine replacement therapy, but the development of istradefylline shows that it is possible to alter basal ganglia function in areas beyond the damaged dopaminergic system by manipulating non-dopaminergic targets controlling striatal output. This raises the clear possibility the  $A_{2A}$  receptor-based approaches and other nondopaminergic receptor populations may be the way forward in the symptomatic treatment of PD for the future.

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# **Chapter 14 Adenosinergic Receptor Antagonists: Clinical Experience in Parkinson's Disease**

**Emmanuelle Pourcher and Robert A. Hauser**

**Abstract** In the management of Parkinson's disease, chronic L-DOPA therapy is associated with the development of motor fluctuations and dyskinesias. Since L-DOPA is the most effective antiparkinsonian medication currently available, adjunctive medications that reduce or prevent fluctuations and dyskinesias could be of great value.

Adenosine 2A  $(A_{2A})$  antagonists provide antiparkinsonian benefit by reducing overfiring of striatopallidal neurons. In animal models,  $A_{2A}$  antagonists have been demonstrated to provide antiparkinson benefit as monotherapy and as adjuncts to L-DOPA. In L-DOPA-primed primates, addition of an  $A_{2A}$  antagonist to a lower dose of L-DOPA allows maintenance of the antiparkinsonian response with less dyskinesia.

Three  $A_{2A}$  antagonists (istradefylline, preladenant, and tozadenant) have demonstrated efficacy in Phase II clinical trials of PD patients, reducing OFF time in patients with motor fluctuations on L-DOPA. However, preladenant failed in Phase III, and istradefylline yielded mixed results. None of the  $A_{2A}$  antagonist were to shown to be effective as monotherapy in early PD.

It seems likely that  $A_{2A}$  antagonists are efficacious as adjuncts to L-DOPA in fluctuating patients as evidenced by results of Phase II trials, but clinical trial methodologic difficulties have made it a challenge to demonstrate their efficacy in Phase III trials when larger populations, more sites, more investigators/raters are involved.

**Keywords**  $A_{2A}$  antagonists  $\cdot$  Istradefylline  $\cdot$  Preladenant  $\cdot$  Tozadenant  $\cdot$  Parkinson's disease **·** Treatment **·** Clinical trials

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## **Introduction**

Pharmacological strategies targeting dopamine (DA) for the control of motor symptoms in Parkinson's disease (PD) include L-DOPA combined with DOPA-decarboxylase inhibitors, dopaminergic agonists, catechol-0-methyl transferase (COMT) inhibitors, and monoamine oxidase (MAO) B inhibitors. Pivotal studies and clinical experience have established their benefits and limitations, with the latter consisting essentially of the difficulties with any combination therapy in avoiding progressive pharmacodynamic changes in nigral and extra-nigral dopaminergic pathways. This can lead to fluctuations in motor performance occurring several times throughout the day, involuntary abnormal movements, which may be observed at rest or in overflow, and development of fluctuations of non-motor symptoms such as anxiety pain, or attention deficit.

With better knowledge of the anatomo-chemical complexity of the striatal targets of dopaminergic afferents, it seemed logical to explore L-DOPA sparing strategies involving non-dopaminergic drugs capable of downstream modulation of consequences resulting from non-physiological dopaminergic input.

Adenosine antagonists have been suggested as potential agents to treat PD since the 1990s (Kostic et al. [1999;](#page-313-0) Schwarzchild et al. [2002](#page-314-0)). However, clinical trials of non-selective antagonists, such as caffeine and theophylline, were not judged positive enough to be pursued.

The  $A_{2A}$  receptor, one of the four G-protein coupled adenosine receptor subtypes  $(A_1, A_{2A}, A_{2B} \text{ and } A_3)$ , has emerged as an attractive target for PD therapy for several reasons: its selective localization in the basal ganglia, its co-localization with  $DA D<sub>2</sub>$ receptors in heterodimers with opposing actions, and its highly selective expression on dendritic spines of GABAergic medium, spiny neurons of the indirect pathway. (Latini et al. [1996;](#page-314-1) Martinez-Mir et al. [1991;](#page-314-2) Mori et al. [1996](#page-314-3))

In theory, such properties could give  $A_{2A}$  antagonists the ability to correct the effects of  $D_2$  blockade on locomotion without systemic side effects (such as cardiovascular stimulation). It could also counter the imbalance between the direct (mainly  $D_1$ ) and the indirect (mainly  $D_2$ ) basal ganglia output pathways observed in DA-depleted Parkinsonian animals exposed to chronic L-DOPA. This imbalance is thought to be partly responsible for the motor complications of PD. These premises were favourably verified in the 6-hydroxydopamine (6-OHDA) rodent model and in the 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) monkey model (Grondin et al. [1999](#page-313-1); Kanda et al. [2000;](#page-313-2) Morelli and Pinna [2001\)](#page-314-4).

In support of this rationale, genetic inactivation of  $A_{2A}$  receptors in 6-OHDA hemi-parkinsonian mice, attenuates the repeated L-DOPA induced behavioral sensitization (i.e. increased contra-lateral rotation, increased grooming activity) and the observed reduction in dynorphin mRNA expression observed in the direct pathway (Chen et al. [2003](#page-313-3)). It also diminishes the increased locomotor response that is progressively observed as a result of repeated administration of amphetamine (Chen et al. [2003\)](#page-313-3).

In experiments conducted by Bibbiani et al. [\(2003](#page-312-0)), co-administration of the  $A_{2A}$ antagonist KW-6002 (Istradefyllline) with L-DOPA blocked the progressive shortening of motor response duration in the hemi-parkinsonian rat model. However, in a study reported by another group using the same model, with the same paradigm, the dyskinetic response was not prevented (Lundblad et al. [2003](#page-314-5)).

Finally, there is potentially important evidence that  $A_{2A}$  receptors are upregulated in the brains of PD patients with dyskinesia compared to those without, in both post-mortem studies (Calon et al. [2004\)](#page-313-4) and positron emission tomography (PET) imaging studies (Mishina et al. [2011;](#page-314-6) Ramlackhansingh et al. [2011\)](#page-314-7).

Pre-clinical evidence to suggest that  $A_{2A}$  antagonists prevent the development of dyskinesia is scarce. In Bibbiani et al.'s experiments with Cynomolgus monkeys, a preventive effect on the development of dyskinesia was observed with the repeated administration of KW-6002 with apomorphine; L-DOPA was not studied. In critique of a paper by Wills et al. ([2013](#page-314-8)) on the potential preventive effects of caffeine on the risk of dyskinesia in PD, which was based on a post-hoc analysis of the CALM–PD population, Jenner ([2013\)](#page-313-5) noted an unpublished study in which his group was unable to demonstrate a preventative effect of coadministration of KW-6002 with high doses of L-DOPA in the marmoset monkey.

During the last two decades, the pharmacological properties of several  $A_{2A}$ antagonists have been delineated (Yang et al. [2007\)](#page-314-9). Some followed preclinical and clinical steps to Phase IIB and Phase III trials. Istradefylline (KW-6002) is the most advanced in development; it was recently approved by the Japanese Health Authorities under the brand name NOURIAST® for the treatment of PD. It is now being studied in a large global Phase III trial. Preladenant (SCH 420814) demonstrated efficacy in Phase II (Hauser et al. [2011a\)](#page-313-6) but failed in Phase III and is no longer being developed as a treatment for PD. Tozadenant (SYN 115) was recently reported efficacious as an adjunct to L-DOPA in PD patients with fluctuations in a Phase II trial and Phase III trials are planned. Vipadenant (B11B014) has pursued development to Phase II trials in early PD, and as an adjunct to L-DOPA in moderate to late stages, but results of these studies have not been published and further development of Vipadenant for PD is currently not planned.

The following summary of clinical studies published to date reflects the earlier development of Istradefylline.

## **Istradefylline (IST, KW-6002)**

## *Pharmacology*

IST is a purine compound selectively antagonizing the  $A_{2A}$  receptor with a binding affinity (Ki value) of 12 nmol/L for the human  $A_{2A}$  receptor; it is a hundred times more selective for  $A_{\lambda}$  receptors than for other adenosinergic receptors. It has low or no affinity for other major neurotransmitters including DA, serotonin (5HT) and norepinephrine (NE) receptors. It has no inhibitory activity against MAO–A, MAO–

B or COMT. IST exhibits a moderate rate of absorption in healthy volunteers (Tmax: 2–5 h) and a slow elimination half-life (average half-life across several Phase I studies was 70–118 h) allowing for once daily administration (Yang et al. [2007\)](#page-314-9).

Increased systemic exposure may occur following co-administration with potent CYP 3 A inhibitors such as ketoconazole. IST is devoid of pharmacokinetic interactions with L-DOPA and carbidopa. IST has no effect on the  $QT<sub>c</sub>$  interval at dosing regimens up to 240 mg/day. Selectivity of IST binding for striatal  $A_{2A}$  receptors has been confirmed by PET-analysis (Brooks et al. [2008\)](#page-312-1).

## *Clinical Efficacy and Safety*

#### **IST as Monotherapy**

In disagreement with most pre-clinical studies, Istradefylline, as an antiparkinsonian agent on its own, did not demonstrate antiparkinsonian efficacy. In a 12-week, double-blind, placebo-controlled study, Fernandez et al. [\(2010](#page-313-7)) investigated the safety and efficacy of IST 40 mg/day as monotherapy in 176 PD patients (average age 63 years, average disease duration 15 months, never treated with L-DOPA or treated for no more than 4 weeks at any time). While safe and well tolerated, IST failed to separate from placebo for the primary endpoint of change in the Unified Parkinson's Disease Rating Scale (UPDRS) motor score after 12 weeks.

#### **IST as an Adjunct to L-DOPA**

IST has mainly been studied as an adjunct to L-DOPA and other established dopaminergic medications in moderate to advanced PD patients, notably those with motor fluctuations and established dyskinesia.

In a small proof-of-concept, double-blind, placebo-controlled, study of 15 patients at the NINDS, Bara-Jimenez et al. ([2003\)](#page-312-2) explored in a 6-week dose– escalating design (40 and 80 mg/day) the motor effects of IST in combination with a steady-state L-DOPA infusion, dose-optimized to each individual patient.

IST had no effect as monotherapy and did not add significant benefit to the motor effects of the highest optimal dose of L-DOPA infusion. Following withdrawal of the L-DOPA infusion, 80 mg/day KW-6002 prolonged the L-DOPA effective half-time (i.e. time for UPDRS motor score to decline by 50%) by an average of 47 min (76%;  $p < 0.05$ ) in the 10 patients for whom data are available. In addition at a low-dose steady state L-DOPA infusion, the motor effect of 80 mg/day potentiated the antiparkinsonian response by  $36\%$  ( $p < 0.02$ ), but with 45% less dyskinesia compared to that induced by the optimal dose of L-DOPA infusion alone ( $p < 0.05$ ). Resting tremor was abated by  $72\%$  ( $p < 0.02$ ), rigidity by 43% ( $p < 0.01$ ) and bradykinesia by 38% ( $p$ <0.05). The addition of IST allowed similar antiparkinsonian response at a lower L-DOPA dose with less dyskinesia, consistent with results obtained in the MPTP primate.

Further Phase II clinical studies have analysed the efficacy and safety of IST in randomized controlled trials (RCT) of 12 weeks duration in similar populations (i.e. fluctuations and peak-dose dyskinesia).

In these studies, the primary outcome was usually assessed using a patient completed PD diary (Hauser et al. [2004](#page-313-8)) to evaluate change from baseline to endpoint at 12 weeks. In this diary, the patient must perform a self-assessment, every half-hour during waking hours, and categorize themselves into one of 4 categories: OFF time when medication has worn off and is no longer providing benefit with regard to mobility, slowness, and stiffness. ON—time when medication is providing benefit with regard to mobility, slowness, and stiffness; ON with non-troublesome dyskinesia (involuntary twisting, turning movements are present but do not interfere with function or cause meaningful discomfort), or ON with troublesome dyskinesia (involuntary twisting, turning movements are present and interfere with function or cause meaningful discomfort).

It is important to note the subjective quality of this classification, especially for dyskinesia; peak-dose mild choreiform dyskinesias are frequently visible to the observer or caregiver, when the patient himself is unaware of them. In addition, peak-dose dyskinesia, may be seen as moderate to severe by an observer but could accurately be rated as non-troublesome by the patient if these movements are not interfering with function or causing discomfort. Trials that employ this methodology usually include training for patients to be able to recognize these states and accurately complete the diary. In addition, "concordance" testing is usually undertaken to be sure the patient has observable motor fluctuations and truly understands the PD states.

*The KW-6002-US-001 study* reported by Hauser et al. [\(2003](#page-313-9)) compared two dose-escalating groups,  $5-10-20$  mg/day and  $10-20-40$  mg/day, through weeks 1–4, 5–8, 9–12, respectively, with placebo. Overall, approximately 28 patients were randomized to each group with 22 completing the study.

Subjects assigned to IST exhibited a significant reduction in OFF time compared to placebo as observed by home diaries  $(-7.1 \pm 2\% \text{ versus} \pm 2.2 \pm 2.7\% \text{ } p=0.008)$ . In both treatment groups, this reduction was statistically significant. Expressed in terms of hours, the reduction of OFF time was  $1.2 \pm 0.3$  h for the combined IST groups versus an increase of  $0.5 \pm 0.5$  h in the placebo group ( $p = 0.0004$ ).

Assessment of the percent and hours of reduction in OFF time during an 8-hour in-office evaluation by investigators confirmed a trend for greater reduction in the combined IST groups versus placebo  $(10.0 \pm 2\%$  versus  $3.3 \pm 2.8\%$  ( $p=0.05$ ),  $0.8 \pm 0.2$  h versus  $0.3 \pm 0.2$  h ( $p = 0.06$ ) for duration.

Overall IST was well tolerated, with the following adverse events noted in more than 5% of patients: nausea, mainly observed during upward titration and resolving in most cases after 10 days, worsening dyskinesia, dizziness, vomiting and insomnia. Only one serious adverse event, a fatal myocardial infarction, in the IST group was interpreted as potentially related to the experimental drug. Vital signs, electrocardiogram and laboratory values, except for an elevated lipase in seven patients on IST compared to zero patients on placebo, were unchanged.

As for dyskinesia, both the diaries and 8-hour in-office evaluations showed an increase in ON time with dyskinesia, although the severity, as observed by different scales such as the UPDRS part IV and a modified Abnormal Involuntary Movement Scale, was not significantly different.

Two larger North American, multicenter, Phase IIB BRCTs with very similar designs have also explored reductions in OFF time.

*The 6002-US-005 study* reported by Le Witt et al. ([2008\)](#page-314-10) compared 40 mg/day IST to placebo. The population included 129 patients on IST and 66 patients on placebo (safety data set). A total of 114 patients on IST and 58 on placebo completed the study. Patients had to have at least 2 h OFF time at baseline to be included.

Change in the percentage of OFF time from baseline to study endpoint, which was the predefined primary endpoint, as well as change in the number of waking hours spent in the OFF state significantly favoured IST: −10.8±16.6% versus −4.0±15.7% ( *p*=0.007) and −1.7±2.7 h versus −0.6±2.7 h ( *p*=0.006, respectively. This corresponds to a reduction in daily OFF time of 28% for IST and 10% for placebo.

ON time without dyskinesia showed a small increase of 15 min over placebo in the IST group, which was not significant. ON time without troublesome dyskinesia (i.e. the combination of ON time without dyskinesia and ON time with non troublesome dyskinesia) showed an increase of 0.96 h over placebo which was significant ( *p*=0,026). Improvement in Clinical Global Impression (CGI) scores achieved significance for IST at 4 and 8 weeks, but not at 12 weeks (53.5% for IST versus 40.9% for placebo).

Regarding adverse effects, the most frequently reported event was mild to moderate dyskinesia, occurring in 30.2% of IST patients compared to 15.2% for placebo. The percentage of subjects discontinuing the study due to adverse effects was similar in both groups at around 7%.

*The 6002US-006 study* reported by Stacy et al. ([2008\)](#page-314-11), compared the efficacy and safety of 20 mg/day and 60 mg/day IST to placebo in a similar population, the baseline demographics and disease characteristics of which were, on average, the following: 64 years of age, 60% male, 9 years disease duration, and a 3 year history of motor complications. On average, 90% of patients were on combinations of L-DOPA and DA agonists, 40% used entacapone, 30% used amantadine, and 15% were users of selegiline. Patients averaged 6 h OFF at baseline, 3 h ON with dyskinesia, 0.5 to 1 h ON with troublesome dyskinesia and scored an average of 17 on the UPDRS III in the ON state. This population was not different from the US-005 study in which 40 mg/day IST was compared to placebo.

This study included 163 patients assigned to 20 mg/day, 155 to 60 mg/r day and 77 to placebo, of which 152, 126 and 69, respectively, completed the study.

Change in the percentage of OFF time from baseline to study end was as follows: −7.83% for IST 20 mg/day (95% confidence interval (CI): −10.0 to −5.6), −7.96% for IST 60 mg/day (95% CI: −102 to −5.6), −3.47% for placebo (95% CI: −6.68 to −0.27). Compared to placebo, the difference was significant for both 20 mg/day  $(p=0.026)$  and for 60 mg/day ( $p=0.024$ ).

Thus, the primary efficacy variable, the change from baseline to study endpoint in the percentage of waking hours per day spent in the OFF state, was significantly improved for both dosages. This represented a 22% reduction in total waking hours spent in the OFF state for 20 mg/day and 24% for 60 mg/day, compared to only a 10% reduction for placebo. For the change in the absolute time spent in OFF, the reductions, in hours, were as follows:  $-1.24$  h for 20 mg (95 % CI:  $-1.62$  to  $-0.86$ ), −1.37 h for 60 mg (95% CI: −1.77 to −0.97), −0.60 h for placebo (95% CI: −1.15 to  $-0.005$ ),  $p=0.065$  for overall treatment effect.

For the change in the absolute time spent in ON time without dyskinesia, the increases (in hours) were:  $+0.25$  h for 20 mg/day compared to placebo,  $+0.46$  h for 60 mg/day compared to placebo, but the overall treatment effect was not significant.

As for the change in the absolute time spent in ON time without troublesome dyskinesia, the increases, in hours, were:  $+0.71$  h for 20 mg/day compared to placebo,  $+0.60$  h for 60 mg/day compared to placebo. Again, the overall treatment effect was not significant. With respect to the CGI and UPDRS motor scores, no significant changes were observed from baseline to study endpoint.

In terms of safety and adverse events, the most frequently reported treatmentrelated event was dyskinesia (23.9% in patients on 20 mg/day IST, 22.6% in patients on 60 mg/day IST versus 14.3% in patients on placebo), followed by nausea (20.0%, 10.4% and 6.5%) and dizziness (11.0%, 11.0% and 6.5%). The percentage of patients discontinuing the study drug due to adverse effects was lower in the 20 mg/day group (3.7%) than in the 60 mg/day group (10.3%) and the placebo group  $(6.5\%)$ .

Two additional Phase III RCTs were conducted in North American centers in populations who were very similar to the US-005 and US-006 studies.

A positive trial, *the 6002 -US-013 study,* was reported by Hauser et al. [\(2008](#page-313-10)) and compared the efficacy of IST 20 mg per day versus placebo for 12 weeks. The ITT population included 112 patients with 104 completing the study in the IST 20 mg group and 113 with 103 completing the study in the placebo group. The mean absolute percent reduction in OFF time was 9.3% in IST 20 mg group versus 5% in the placebo group (between group least mean square  $4.6\%$  (95% CI: 0.6–8.6)), in favor of IST ( $p=0.03$ ). This corresponds to a reduction in daily OFF time of 24% for IST and 14% for placebo.

Changes in UPDRS part III motor scores were significantly different in favor of IST at the 4-week evaluation, but this difference did not persist at study end. Changes in ON time with dyskinesia and troublesome dyskinesia were not different between groups. Dyskinesia as a treatment-emergent adverse event was reported in 22.6% of patients on IST versus 12.2% on placebo. The incidence of nausea was not different from placebo (7.8 vs 7%).

Contrary to these findings, *the 6002-US-018 study* reported by Pourcher et al. [\(2012](#page-314-12)), was negative. In this very large Phase III study, 610 patients from 73 centers were randomized to receive either placebo ( *n*=154; ITT: 151; 140 completed), IST 10 mg per day ( *n*=155; ITT: 153; 136 completed), IST 20 mg per day ( *n*=149; ITT: 148; 131 completed), or IST 40 mg per day ( *n*=152; ITT: 152; 135 completed). Overall reductions in the percentage of OFF time were 7.6% for placebo, 5.7% for IST 10 mg, 6.1% for IST 20 mg and 9.1% for IST 40 mg. Reductions in the total number of hours spent in OFF were 1.4 h for placebo, 1.1 h for IST at both 10 and 20 mg, and 1.5 h for IST 40 mg.

A large placebo response occurred in this trial and could account for the negative result. There was a numerical dose ordered response in the istradefylline groups at most visits for the percent reduction in waking hours spent in the OFF state. Furthermore, there was a statistically significant overall treatment effect for the UP-DRS motor subscore in the ON state for IST compared to placebo. This observation held true at each post-baseline visit and at study endpoint  $(p=0.043)$ . The differences in least mean square for the UPDRS motor score were statistically significant at study endpoint and at weeks 2, 4, 8 and 12 for the 40 mg per day dose  $(p<0.05)$ .

In this study, the actual change from baseline for IST 40 mg (1.5 h) was comparable to similarly designed studies (US-005, US-006, US-013 studies) of 20 mg  $(1.6 \text{ h})$ , 40 mg  $(1.7 \text{ h})$  and 60 mg  $(1.4 \text{ h})$ . A reduction in OFF time of 1.4 h in the placebo group is notable compared to 0.6 h in US-005, 0.6 h in US-006 and 0.9 h in US-013.

Several factors may contribute to a placebo effect, which in Parkinson's disease has been shown to correlate with a heightened release of DA, as shown by displacement of radiolabelled raclopride binding in the striatum (De La Fuente-Fernandez [2009\)](#page-313-11). Goetz et al. [\(2008](#page-313-12)) have also reported that an increased probability of receiving active therapy, higher baseline UPDRS motor scores and more advanced disease at baseline was associated with a larger placebo response. Another hypothetical factor for discussion was the slightly higher prevalence of selegiline users in the placebo group (17.9%) compared to the IST group (11.4%). It may be possible that the amphetaminergic properties of selegiline may contribute to heightened expectancies. Finally, as a large number of participating sites were involved in the study, suboptimal data may result from the inability to optimally select and train patients entered into the trial.

#### Japanese Experience with IST

*A further Phase IIB study, 6002-0608* (Mizuno et al. [2010](#page-314-13)), was conducted by the Japanese Istradefylline Study Group with 363 patients randomized to receive either placebo ( $n=119$ ; 109 completed), IST 20 mg ( $n=119$ ; 106 completed) or IST 40 mg  $(n=119; 112$  completed). Study design and analysis were identical to the previously detailed North American studies, however baseline demographics differed slightly by gender (60% female) and use of MAO-B and COMT inhibitors as concurrent medications in fluctuators. To compare, selegiline was used by 50% of patients in the Japanese study versus 15% on average in the United States and Canada. Entacapone was used in 15% of patients compared to 40%, on average, in the United States and Canada.

In this 12-week study, daily OFF time was reduced from baseline by −1.3 h for 20 mg ( $p$ =0.013) −1.6 h for 40 mg ( $p$ <0.001) and by 0.66 h for placebo. UPDRS III scores were reduced by 5.7 points in both IST groups and by 3.7 points in the placebo group ( $p=0.006$ ). The incidence of treatment-emergent dyskinesias was rather low: 8.5% of patients receiving 20 mg IST, 6.4% of patients receiving 40 mg IST and 2.5% of patients receiving placebo.

There was no difference between groups in change in time spent in ON with dyskinesia:  $-0.09$  h for placebo,  $+0.14$  h for 20 mg and  $+0.32$  h for 40 mg. As for time spent in ON with troublesome dyskinesia, it was slightly, albeit significantly, increased for 40 mg versus placebo:  $-0.10$  h for placebo,  $+0.007$  h for 20 mg and  $+0.25$  h for 40 mg ( $p=0.011$ ).

Another Phase III Japanese study (KW-6002-009) with the same design was reported by the same author (Mizuno et al. [2013\)](#page-314-14). This study yielded similar results, as change in OFF time was −0.23 h for placebo, −0.99 h ( *p*=0.003) for IST 20 mg, and −0.96 h ( $p$ =0.003) for IST 40 mg. Changes were significant compared to placebo, however there were not significant between the two doses of IST.

Dyskinesia was the most frequently reported treatment-related adverse effect in both IST groups (proportions not provided). Daily ON time without troublesome dyskinesia  $(ON + ON)$  with non-troublesome dyskinesia) increased significantly compared to placebo:  $+0.26$  h for placebo,  $+1.09$  h for IST 20 mg ( $p=0.003$ ) and  $+1.08$  h for IST 40 mg ( $p=0.004$ ).

#### European Experience with IST

In the 6002-EU-007 study, neither IST 40 mg nor entacapone as an active comparator separated from placebo (results not reported).

## *Regulatory History*

#### **Current Regulatory Status**

With this "portfolio" IST was not judged approvable by the FDA in 2008, for insufficient evidence of efficacy. It has been however approved by Japanese regulatory authorities in 2013. A further large international Phase III study is presently ongoing with the same design, in the same type of population, comparing 20 mg, 40 mg and PBO, to revalidate previous positive results (Kyowa Hakko Kirin [2013\)](#page-313-13).

#### **Critical Summary of Istradefylline Studies**

The development of IST for PD has been targeted mainly at reducing OFF time as an adjunct to L-DOPA and other dopaminergic medications in patients with established motor fluctuations (many of whom also had dyskinesia). More than 2000 patients were exposed to Istradefylline in doses of 20–80 mg/day. The average population had around a 9-year duration of illness and about 6 h of OFF time at baseline. In such a population, the clinician often faces the dilemma of treating OFF periods with more frequent dosing or fractionation of L-DOPA, or adding DA agonists, COMT or MAO-B inhibitors, often at the expense of increased dyskinesia, psychomotor stimulation and sleep fragmentation. IST appears to be safe and well tolerated, with some mild dose-related adverse effects, such as nausea, to which a tolerance develops, and dyskinesia and dizziness, the incidence of which plateaus at 40 mg per day. However, the probable efficacy of IST may be to reduce OFF time about 1 h compared to placebo, and this is very similar to the results obtained with available drugs assessed under the same conditions. Expectations were high based on preclinical studies, touting  $A_{2A}$  antagonists as potentially non-dyskinesigenic. However, it does appear that  $A_{2A}$  inhibitors do not reduce dyskinesia when added to an ongoing regimen and can increase it (Morelli et al. [2012](#page-314-15)). Nonetheless, since existing therapies are only partially successful in reducing ON time, additional therapies would be welcome.

#### **Preladenant**

Preladenant was the second adenosine  $A_{2A}$  antagonist to be developed for the indication of Parkinson's disease. Phase I and II studies were conducted by Schering-Plough prior to the company merging with Merck.

## *Pharmacology*

Preladenant is a non-methylxanthine, selective, competitive antagonist of the human  $A_{2A}$  receptor with an affinity constant  $k_i$  of 0.9 nmol/L. It is more than 1000fold more selective for  $A_{2A}$  than for the three other subtypes of adenosine receptors  $(A_1, A_{2B}$  and  $A_3)$  and various other aminergic receptors and ion channels (Neustadt et al. [2007\)](#page-314-16). It has no inhibitory effect on MAO or COMT. Time to maximum concentration is about 1 h and the effective half-life is about 8 h, resulting in a twicedaily regimen.

Convincing preclinical evidence of antiparkinsonian activity comes from several models (haloperidol induced catalepsy, 6-OHDA rodent model) in different species. In the Cynomolgus monkey, rendered parkinsonian by the toxin MPTP and primed to develop dyskinesia by repetitive dosing of L-DOPA, preladenant monotherapy provided significant anti-parkinsonian activity at 3 mg/kg, equivalent to the effect of L-DOPA at 6 mg/kg, without inducing dyskinesia (Hodgson et al. [2009](#page-313-14)). When given as an adjunct to a subthreshold dose of 3 mg/kg of L-DOPA, the effect observed on motility was equivalent to the anti-parkinsonian effect of 6 mg/kg of L-DOPA without the typical level of dyskinesia (Hodgson et al. [2010\)](#page-313-15). Interestingly, the same author reported that preladenant at 1 mg/kg, given repeatedly with L-DOPA, potently attenuated behavioral sensitization in the 6-OHDA rodent model, suggesting a reduced risk of progressive amplification of the motor response with time.

In Phase I studies, the most common adverse events in healthy volunteers were insomnia  $(26\%)$ , headache  $(14\%)$ , dizziness  $(10\%)$ , and nausea  $(2\%)$ , with some cases of elevated transaminases at doses higher than 25 mg/day. This last observation, coupled with cases of hepatic toxicity in dogs at doses of 100 mg/kg,

heightened the level of monitoring in the of early Phase II studies, however this initial concern was eventually disproven as a signal did not arise from the cumulative safety data in humans (Cutler et al. [2012](#page-313-16)).

Cardiovascular safety was also carefully evaluated in two randomized placebocontrolled studies in healthy volunteers, as a transient increase in blood pressure was observed within a few hours of preladenant intake. In a single dose escalating study from 5 to 200 mg, as well as with repeated doses escalating from 10 to 200 mg once daily over 10 days, transient increases in blood pressure were neither cumulative nor dose related and were not maintained over time (Cutler et al. [2012](#page-313-16)).

#### *Clinical Efficacy*

A single Phase II, double-blind, randomized dose-finding trial (Hauser et al. [2011b](#page-313-17)) and its extension are the only preladenant studies to be published to date, although four Phase III RCTs have been registered.

Patients were eligible for the Phase II trial if they had 2 or more hours of OFF time per day according to three consecutive daily diaries. Key exclusion criteria included a history of hepatic dysfunction or increased liver enzymes or elevated blood pressure at baseline (systolic**≥**180 mmHg or diastolic**≥**105 mmHg). To start, patients were randomized in a 1:1:1:1 ratio to 1, 2, or 5 mg of preladenant or matching placebo twice daily. An independent data safety monitoring board conducted a preplanned interim analysis once 40 patients had completed the initial randomization. Authorization was then given to randomize at a  $1:1:1:2:1$  ratio to 1, 2, 5 or 10 mg of preladenant twice daily or matching placebo in order to create a 10 mg twice daily arm. The primary efficacy outcome was the change in mean daily OFF time from baseline to 12 weeks. A total of 253 patients were randomized and 245 received at least one dose of study medication and comprised the safety data set; the last observation carried forward (LOCF) was used for 54 (23%) of 234 patients after exclusion of 12 for missing data.

Compared to placebo, mean daily OFF time was significantly reduced at 12 weeks in the Preladenant 5 and 10 mg twice-daily groups with a mean difference of −1.4 h ( *p*=0.011) and −1.2 h ( *p*=0.040), respectively. Overall, although LOCF was used in nearly 25% of patients, week 12 results for completers were similar to LOCF results for all treatment groups except for the 1 mg group, whose average decrease in OFF time at 12 weeks was greater in those completing the study.

Post-hoc analyses were conducted to assess change in ON time, dyskinesia and UPDRS scores. No statistical adjustments were made for multiplicity in the analyses of these secondary outcome variables, but several results of interest were revealed. A significant increase in mean daily ON time between baseline and week 12 was observed in the 5 and 10 mg groups compared to placebo. No significant differences were revealed in ON time without dyskinesia, ON time with troublesome dyskinesia or the distribution of patients across dyskinesia severity categories.

As per the UPDRS part I, preladenant 5 and 10 mg twice daily were found to be significantly superior to placebo on the motivation/initiative item  $(p=0.01)$ ; there was only a trend towards superiority in improving depression and thought disorders (both  $p=0.08$ ) compared to placebo.

As for UPDRS part III motor scores, which were obtained without regard to timing of L-DOPA intake, no significant improvement over baseline was observed. Epworth sleepiness scores were also decreased, however this was not significant in any group.

Preladenant was safe and well tolerated as adverse events, including worsening of dyskinesia, nausea and insomnia were no more frequent than in the placebo group, with the exception of dizziness. Adverse events leading to discontinuation were similar across treatment groups. Mild transient increases in systolic and diastolic blood pressure were considered clinically insignificant; increased liver enzymes greater than three times the upper limit of normal were not observed.

Long-term safety data are available for 140 patients who elected to enroll in a 36-week open-label extension (OLE); 106 patients (76 %) completed the 36 weeks.

Comparing week 36 of the OLE phase to week 12 of the RCT, there was a lower incidence of nausea (6 vs 13%), a higher incidence of constipation (19 vs 13%) and a higher incidence of dyskinesia (33 vs 9%). Increased incidence of dyskinesia as an adverse event could be related to the longer observation period, adjustments in dopaminergic treatment or advancing disease. Laboratory blood tests and blood pressures did not reveal new long-term risks.

#### *Critical Summary of Preladenant Studies*

Preladenant, as a more selective and with a higher affinity binding constant than istradefylline and a favourable preclinical profile, was explored as L-DOPA potentiating agent with great hopes. A rigorously designed Phase II RCT confirmed that it is a safe, well-tolerated drug, significantly reducing OFF time at both 5 and 10 mg twice daily, without increasing ON time spent with troublesome dyskinesia and without increased dyskinesia as a treatment-emergent adverse event over 12 weeks. At first glance, the lack of effect on the incidence of dyskinesia seems to be different compared to istradefylline but further positive trials would be required to determine if this would be a consistent finding. A similar Phase II trial has been conducted in Japan (NCT 01294800) and two Phase III trials have been registered (NCT 01155466, NCT 01227265) with the same design, one with rasagiline as an active comparator. However, Merck is no longer actively pursuing development of preladenant as a treatment for PD since the positive Phase II results were not replicated in 2 Phase III trials (Merck—update—Phase III Clinical program— Preladenant[—2013](#page-314-17))

#### **Tozadenant**

Tozadenant (SYN 115) was developed first by Hoffmann-La Roche and then by Biotie Therapies Inc.

It is the third  $A_{2A}$  antagonist to provide Phase IIB results in a large number of PD patients.

#### *Pharmacology*

SYN 115 is a non-xanthine competitive antagonist of  $A_{24}$  adenosinergic receptors with a binding affinity ( $K_1$  value) of 11.5 nmol/L for the human  $A_{2A}$  receptor. Tozadenant (TOZ) is rapidly absorbed, reaching  $C_{\text{max}}$  between 1 and 5 h after oral administration with a terminal half-life of approximately 15 h from plasma, theoretically allowing once daily dosing. In healthy, older volunteers, steady state plasma concentrations were reached within 7 days with an approximative 2-fold accumulation over time between 7 and 14 days. Exposure is proportional to the increase in dose using a twice-daily regimen, while it is less than proportional using once daily dosing, suggesting a possible saturation of TOZ absorption (beyond approximately 240 mg per dose).

#### *Clinical Summary of Studies*

Cardiovascular safety of a 100 mg dose was assessed in a Phase I study with the primary aim of evaluating the psycho-stimulant properties of TOZ in 22 cocainedependent subjects. There was no difference in blood pressure compared to placebo (Lane et al. [2012\)](#page-314-18). However, similar to preladenant, a potential for increasing systolic and diastolic blood pressure on initiation of treatment was observed, but this effect is subject to tachyphylaxis with continued dosing beyond 14 days.

The potential to regulate the excessive indirect striato-pallidal pathway activity in PD was explored with a perfusion magnetic resonance imaging study in 21 PD patients on a stable infusion of L-DOPA, but no agonists, in a double-blind placebocontrolled crossover trial (Black et al. [2010\)](#page-312-3). TOZ produced a highly significant dose-dependent decrease in thalamic cerebral blood flow, consistent with reduced thalamic inhibition and correction of the "Parkinsonian network" (Eidelberg et al. [1997\)](#page-313-18). Tapping speed was faster on 60 mg twice daily of TOZ than on placebo before (5%;  $p=0.03$ ) and during (6%;  $p=0.02$ ) an intravenous L-DOPA infusion, revealing a potential to further augment the anti-parkinsonian effect of L-DOPA.

*An international, multicenter Phase IIB* randomized, placebo-controlled, parallel-group, dose-finding, clinical trial of tozadenant was conducted in L-DOPA treated patients experiencing *wearing off* phenomenon and more than 2.5 h of OFF time as assessed for at least 2 days prior to study entry (Hauser et al. [2014\)](#page-313-19).

The primary endpoint was change from baseline to 12 weeks in the time spent in the OFF state. Population, patient selection, tools and statistical analysis on primary and secondary endpoints were very similar to other Phase IIB studies of patients with motor fluctuations discussed above for other  $A_{2A}$  antagonists. Baseline demographics and disease characteristics were as follow: average age of 68 years, disease duration of 8.7 years, duration of L-DOPA exposure of 6.7 years, time to onset of motor complications averaging 2.4 years, UPDRS III motor score of 21.7 in ON state. A total of 420 patients from 76 centers in 6 countries (United States, Canada, Argentina, Chile, Romania and Ukraine) were randomized to five groups: 84 patients to placebo (74 completed), 85 patients to tozadenant 60 mg twice daily (74 completed; 7 early withdrawals for adverse events), 82 patients to TOZ 120 mg twice daily (65 completed; 10 early withdrawals fore adverse events), 85 patients to TOZ 180 mg twice daily (65 completed; 10 early withdrawals for adverse events), 84 patients to TOZ 240 mg twice daily(58 completed; 17 early withdrawals for adverse events).

The primary endpoint of reduction from baseline to week 12 in OFF time was significantly reduced with TOZ 120 mg twice daily, 180 mg twice daily, and the combined group of 120 and 180 mg twice daily compared to placebo: (120 mg: −1.1 h (95% CI: −1.8 to 0.4; *p*=0.0039), 180 mg: −1.2 h (95% CI: −1.9 to 0.4; *p*=0.0039), 120 mg and 180 mg combined: −1.1 h (95% CI: −1.8 to 0.5;  $p=0.0006$ ).

Several secondary endpoints also significantly favoured tozadenant. Mean daily ON time was significantly increased in the combined 120–180 mg group and in the 120 mg group. In addition, ON time without troublesome dyskinesia at 12 weeks was significantly increased compared to placebo for the 120 mg group, and a trend towards superiority was observed in the combined 120–180 mg group. Finally, UPRDRS part III motor score in the ON state was significantly reduced in the 120 mg group: −3.2 versus −0.9 ( *p*=0,0325), the 180 mg group: −3.5 versus −0.9 ( *p*=0.0325) and the combined group: −3.3 versus −0.9 ( *p*=0.0081). CGIseverity and CGI-improvement scores were significantly improved compared to placebo in all tozadenant groups. Patient global impression (improvement) scores were significantly improved compared to placebo in the combined 120–180 mg and 120 mg groups.

Treatment emergent adverse effects were very similar to those observed with istradefylline and preladenant and appeared to be dose related. The respective incidence of the most common adverse events observed on placebo, TOZ 120 mg b.i.d. and TOZ 180 mg b.i.d. were dyskinesia (8.3, 15.9, 20.0%), nausea (3.6, 11.0, 11.8%), dizziness (1.2, 4.9, 12.9%).

In summary, a daily dose of tozadenant 120 or 180 mg twice daily demonstrated efficacy and tolerability. 60 mg twice daily did not provide a significant abatement of OFF time and 240 mg twice daily was associated with an excessive discontinuation rate due to adverse events (20.2%).

# Summary of Clinical Trial Experience with A<sub>2A</sub> Antagonists in **Moderately Advanced PD Patients with Motor Fluctuations**

There has been remarkable consistency in results of Phase II clinical trials of  $A_{2A}$ antagonists as adjuncts to L-DOPA in PD patients with motor fluctuations. Phase II trials for istradefylline, preladenant, and tozadenant all showed about a 1 h reduction in OFF time. This reduction is consistent with what is considered clinically relevant (Hauser et al. [2011b](#page-313-17); Schrag et al. [2006\)](#page-314-19) and similar to what is achieved with MAO-B inhibitors and entacapone. However, istradefylline yielded mixed results in Phase III and preladenant failed. It seems that the most likely explanation for difficulty in these Phase III studies relates to methodologic and operational issues when going from smaller studies performed at expert centers to large studies conducted at many sites with varying degrees of expertise in PD and clinical trials.

The abundant preclinical literature promoting  $A_{2A}$  antagonists as potential nondyskinesigenic anti-parkinsonian medications probably aroused unfounded expectations as a mild increase in dyskinesia has been seen in most fluctuator studies that also yielded a significant reduction in OFF time. In part, some of the variability in results pertaining to dyskinesia may stem from the fact that fluctuator trials include variable proportions of patients with dyskinesia or with troublesome dyskinesia at baseline.

To date, no well controlled large clinical trial has evaluated the possibility that the addition of an  $A_{2A}$  antagonist coupled with lowering of the L-DOPA dose might maintain antiparkinsonian activity while reducing dyskinesia as was suggested by the NIH study that employed L-DOPA intravenous infusions at variable doses (Bibbiani et al. [2003](#page-312-0)).

Two clinical trials of  $A_{2A}$  antagonists as monotherapy in early PD have been negative so it seems unlikely that they have efficacy in that clinical situation. Although preclinical data is mixed, the possibility that they might reduce the development of dyskinesia if introduced at the same time L-DOPA is initiated remains intriguing, if untested. Further exploration of  $A_{2A}$  antagonists for non-motor symptoms such as mood and motivation, which are partly DA dependent, appears justified considering experimental evidence (Cunha et al. [2008;](#page-313-20) Kadowaki Horita et al. [2013;](#page-313-21) Yamada et al. [2013](#page-314-20)) and the necessity for a clearer differentiation from available "anti-OFF" drugs.

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# **Chapter 15 Adenosinergic Regulation of Sleep–Wake Behavior in the Basal Ganglia**

**Michael Lazarus and Yoshihiro Urade**

**Abstract** Sleep is the most mysterious brain function and seems to exist in all organisms that have a central nervous system. Human sleep habits are unique, because we often defy sleep and stay awake for occupational and recreational reasons or other life-style choices, despite experiencing fatigue during that time. The motivation to stay awake and active in modern societies is increasing and often accompanied by the use of psychoactive substances, most prominently caffeine. The basal ganglia (BG) consists of subcortical nuclei involved in motor function, habit formation, and reward/addictive behaviors and play a key role in mediating the arousal effect of caffeine. The identification of neural circuits through which the BG integrates fundamental striatal functions with arousal is a rapidly growing field in neurobiology. In this chapter, we discuss mechanistic models of sleep–wake regulation for the BG and propose that the nucleus accumbens is a key node between emotive behaviors and the circuitry for sleep and wakefulness.

**Keywords** Direct/indirect pathway **·** Locomotion **·** Movement disorders **·** Sleep **·**  Caffeine •  $A_1$  receptor  $(A_1R) \cdot A_{2A}$  receptor  $(A_{2A}R) \cdot D_2$  receptor • Modafinil • Nucleus accumbens (NAc)

## **Introduction**

Sleep is the most mysterious brain function (Urade and Lazarus [2013\)](#page-332-0). Sleep or sleep-like states seem to exist in all complex organisms that have a central nervous system. The sleeping habits of humans are unique in the sense that we often defy sleep and stay awake for occupational and recreational reasons or other life-style choices, despite experiencing fatigue during that time. The motivation to stay awake and active in our modern society is increasing and often accompanied by the use of psychoactive substances, most prominently caffeine. The basal ganglia (BG) is the key area governing these social behaviors and contain subcortical nuclei involved in

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motor function, habit formation, and reward/addictive behaviors. The investigation of the neural network through which the BG integrates these fundamental striatal functions with arousal is a rapidly growing field in neurobiology.

BG consist of four major nuclei namely the striatum, globus pallidus (GP), subthalamic nucleus (STN), and substantia nigra (SN) (Crittenden and Graybiel [2011](#page-329-0)) and are strongly connected with the cortex, thalamus, amygdala, and midbrain dopaminergic neurons. BG act as a cohesive functional unit in the process of optimizing behavior and regulating the vigilance state of wakefulness. However, little attention has been received to the specific role of the two efferent, direct striatonigral *versus* indirect striatopallidal, pathways of the BG in integrating wakefulness, motor, and behavior.

Adenosine promotes sleep through the activation of adenosine  $A_1$  and  $A_2$ receptors (A<sub>1</sub>R and A<sub>2A</sub>R) (Basheer et al. [2004](#page-329-1); Huang et al. [2007](#page-329-2)). A<sub>2A</sub>R are densely co-expressed with dopamine  $D_2$  receptors  $(D_2R)$  on the striatopallidal neurons, whereas  $A_1R$  are colocalized with dopamine  $D_1$  receptors  $(D_1R)$  on the striatonigral neurons. For decades many laboratories have studied the mesolimbic dopamine system from the midbrain to the striatum for motor control and motivational behavior. However, experimental evidence has only recently started to emerge for the intrinsic roles of adenosine and dopamine in the BG for sleep–wake regulation. Our recent studies clearly demonstrated that caffeine induces strong wakefulness by blocking the action of adenosine at  $A_{2A}R$  in the shell of the nucleus accumbens (NAc) (Huang et al. [2005](#page-329-3); Lazarus et al. [2011](#page-330-0)). In this chapter, we discuss anatomical and molecular mechanistic models of sleep–wake regulation for the BG and propose that the NAc is a key node between motivational behaviors (Ikemoto [2007\)](#page-330-1) and the circuitry for sleep and arousal (Fort et al. [2009;](#page-329-4) Saper et al. [2010\)](#page-331-0). The dorsal striatum, NAc, and GP are key structural elements for the regulation of wakefulness. These findings have a major impact on our understanding of where and how  $A_{2A}R$  antagonists or  $D_2R$  agonists, most commonly used for the treatment of Parkinson's disease (PD), affect sleep and wakefulness.

# **The Role of BG in Control of the Sleep–Wake Cycle: Evidence from Clinical Findings of Sleep Abnormality in PD and Huntington's Disease (HD)**

The importance of the BG in sleep control is suggested by clinical findings that BG-related neurodegenerative and neuroinflammatory disorders, such as PD, HD, and Encephalitis Lethargica, are associated with abnormalities of sleep and waking (Adler and Thorpy [2005](#page-328-0); Dale et al. 2004; Goodman and Barker [2010\)](#page-329-5).

Disturbance of sleep is very common in patients with PD: up to 88% of PD patients present primary sleep abnormalities, such as REM sleep behavior disorder, periodic limb movements during sleep, and restless legs syndrome (Wetter et al. [2000\)](#page-332-1). For example, PD patients present disturbed sleep that is the result of underlying symptoms of PD, such as depression, anitparkinsonian medication, akinesia, pain, and dystonia. Furthermore, they reportedly experience severe excessive daytime

sleepiness and sleep attacks for which nocturnal sleep disturbances, motor and cognitive impairment, or antiparkinsonian treatment are not responsible, but may be directly related to the pathology of the disease, likely the loss of dopaminergic neurons in the midbrain (Arnulf et al. [2002\)](#page-329-6). In fact, modafinil, a wakefulness-promoting compound, is commonly used for treatment of excessive sleepiness in PD and other sleep disorders, such as narcolepsy, shift-work sleep disorder, and obstructive sleep apnea/hyponea syndrome, that do not result from low dopamine conditions (Hogl et al. 2002; Minzenberg and Carter [2007;](#page-331-1) Zeitzer et al. [2006\)](#page-332-2). Modafinil enhances extracellular levels of dopamine in the NAc and medial prefrontal cortex (mPFC), although this compound affects multiple neurotransmitter systems such as catecholamines, serotonin, glutamate, γ-aminobutyric acid (GABA), hypocretin/ orexin, and histamine (Murillo-Rodriguez et al. [2007](#page-331-2)). Furthermore, the arousal effect of modafinil is abolished in knockout mice for the dopamine transporter through which dopamine is primarily cleared from the synapses (Wisor et al. [2001\)](#page-332-3). Our recent finding by using a  $D_1R$  antagonist and  $D_2R$  knockout mice suggests that the arousal effect of modafinil is exclusively mediated by the  $D_1R$  and  $D_2R$ , with  $D_2R$  being the receptor of primary importance (Qu et al. [2008\)](#page-331-3).

Patients with HD also commonly show sleep disturbances at night and daytime somnolence (Videnovic et al. [2009](#page-332-4)). HD is a progressive neurodegenerative disorder caused by a genetic defect on chromosome 4 that affects muscle coordination and leads to cognitive decline and dementia (Walker [2007](#page-332-5)). Although the dorsal striatum and GP are preferentially affected in this disease, neurodegeneration is more widespread, including also areas associated with sleep and circadian rhythm regulation in the hypothalamus and brainstem (Goodman and Barker [2010](#page-329-5); Kassubek et al. [2004\)](#page-330-2). Similar to PD, however, there is a lack of association between poor overnight sleep and excessive daytime sleepiness in HD patients. The disturbed daytime alertness may be caused by parkinsonian mechanisms based on dysfunctional dopamine signaling in the striatum, but more detailed studies in animal models of HD would be required to support this conclusion. In fact, increased nocturnal and decreased diurnal activity in HD patients may also be attributed to neurodegeneration of neurons in the suprachiasmatic nucleus (SCN) of the hypothalamus, leading to alteration of the circadian rhythm (Morton et al. [2005\)](#page-331-4).

# **Evidence from Anatomical Lesioning Studies for Distinct Roles of the Four Major BG Nuclei in the Sleep–Wake Cycle**

Studies based on neurotoxic lesioning of the BG indicate a significant role of the BG in regulating the sleep–wake cycle. Bilateral lesions made in the striatum result in a significant reduction of wakefulness and fragmentation of both sleep and wakefulness. However, when these lesions include the NAc, the effect of striatal lesions on wakefulness is attenuated (Qiu et al. [2010\)](#page-331-5). Consistent with this observation, lesions restricted to the NAc produce an increase in wakefulness and reduced durations of non-rapid eye movement (non-REM, NREM) sleep bouts.

These findings suggest that the dorsal and ventral striatum play opposing roles in sleep–wake regulation (i.e., the caudate-putamen (CPu) enhances wakefulness while the NAc promotes sleep).

Cell body-specific lesioning of the external GP (GPe) in rats leads to insomnia, specifically, a dramatic increase  $(-45\%)$  in total wakefulness and pronounced fragmentation of NREM sleep and wakefulness, including more sleep transitions and shortened sleep bouts, (Qiu et al. [2010\)](#page-331-5). Moreover, the loss of neurons in the SN, but not the internal GP or the STN, affected sleep–wake behavior towards increased wakefulness (Gerashchenko et al. [2006;](#page-329-7) Qiu et al. [2010](#page-331-5)). Such findings suggest that the loss of dopaminergic input from the SN to the dorsal striatum in PD may contribute, at least in part, to insomnia observed in these patients (Adler and Thorpy [2005](#page-328-0)). Interestingly, lesions in the CPu, NAc, and GP produce a generalized slowing of the cortical electroencephalogram (EEG), with less theta and more delta power during wakefulness, REM and NREM sleep (Qiu et al. [2010](#page-331-5)), a phenomenon that is also observed in PD patients (Morita et al. [2009\)](#page-331-6).

The GPe contains direct cortical-projecting neurons, therefore, a dorsostriatopallido-cortical loop is hypothesized as a likely mechanism by which the dorsal striatum and the GPe contribute to sleep–wake behavior (Fig. [15.1;](#page-318-0) Vetrivelan et al. [2010\)](#page-332-6). The model predicts that GABAergic GPe neurons suppress cortical activity—regardless of the sleep–wake state—by modulating activity of layer five pyramidal neurons and interneurons in the cerebral cortex. Disinhibition by loss of GPe neurons (e.g., via neurotoxic lesioning) or dopamine input (e.g., in PD patients) may therefore lead to similar cortical activity that occurs during wakefulness.

<span id="page-318-0"></span>

**Fig. 15.1** A model in which a dorsostriato-pallido-cortical loop regulates sleep–wake behavior and cortical activation. The caudate-putamen ( *CPu*) projects to the external globus pallidus ( *GPe*), which in turn projects directly or via the thalamus (mainly the mediodorsal thalamic nucleus) to the cerebral cortex. Therefore, activity of layer V pyramidal neurons and interneurons in the cerebral cortex is modulated through inhibition by GABAergic *GPe* neurons (Qiu et al. [2010](#page-331-5); Vetrivelan et al. [2010\)](#page-332-6). Disinhibition of the cerebral cortex by inhibition (loss of dopaminergic input to the CPu) or neurotoxic lesioning of GPe neurons may therefore lead to cortical activity as occurs in wakefulness. *Black arrows* represent excitatory glutamatergic synapses; *magenta roundheaded lines* represent inhibitory GABAergic synapses. (Adapted from Lazarus et al. [2012](#page-330-3))

# **The Role of the Dopaminergic System for Regulating the Sleep–Wake Cycle**

Researchers have long attempted to elucidate the role of dopamine in regulating sleep and wakefulness. Seminal findings based on electrolytic lesioning of neurons in the midbrain of cats showed that dopamine-containing neurons of the SN and ventral tegmental area (VTA) are involved in the maintenance of behavioral arousal and reactivity but not in electrocortical awakening (Jones et al. [1973\)](#page-330-4). These findings may account, to some extent, for the slow progress observed thus far in this area of research. Lesioning approaches can, however, produce collateral damage to adjacent brain structures such as medial VTA glutamatergic neurons with afferents to the mPFC (Hur and Zaborszky [2005\)](#page-330-5). Such damage may, in turn, produce effects on sleep behavior and cortical EEG beyond those resulting from lesions to dopaminergic midbrain neurons.

The results of *in vivo* microdialysis experiments in combination with polysomnographic recording indicate that extracellular dopamine levels in the mPFC and NAc are high during wakefulness and REM sleep, but significantly lower during NREM sleep (Léna et al. [2005\)](#page-330-6). This observation of high dopamine levels during REM sleep in the NAc may indicate that dopamine can cause arousal that is independent of movement. By contrast, there is evidence that movement is inhibited during REM sleep by brainstem mechanisms that produce spinal atonia as animals with pontine lesions show active behavior during REM sleep (Jouvet and Delorme [1965;](#page-330-7) Vetrivelan et al. [2009\)](#page-332-7). Thus, it is possible that NAc neurons are active during REM sleep, but their impact on movement is blunted by the actions of pontine atonia mechanisms.

In fact, the deletion of  $D_2R$  from the entire animal leads to a significant decrease in wakefulness with a concomitant increase in NREM and REM sleep in addition to drastically lower NREM sleep delta power (Qu et al. [2010\)](#page-331-7). Such  $D_2R$  knockout mice frequently enter sleep after short periods of wakefulness during the nocturnal phase. These studies clearly show that the  $D_2R$  has a crucial role in maintaining wakefulness during the normal wake phase, however it is impossible to identify the neural substrates involved in dopaminergic modulation of behavioral states. A previous study found a similar range of decreased wakefulness after neurotoxic lesions were made in ventral periaqueductal gray (vPAG) dopaminergic neurons, but this effect was observed throughout the sleep–wake cycle (Lu et al. [2006a](#page-330-8)). Therefore, the effect observed during only the nocturnal phase in global  $D_2R$  knockout mice may not be exclusively regulated by the vPAG, but may also result from activation of  $D_2R$  in additional areas such as the striatum. This assumption is supported by the fact that the  $D_2R$  agonist quinelorane when directly infused into the NAc increases wakefulness in rats, whereas a  $D_2R$  antagonist induces sleep when injected into this same region (Barik and de Beaurepaire [2005\)](#page-329-8).

# **The Role of the Adenosinergic System for the Sleep–Wake Cycle**

Adenosine promotes sleep by acting through  $A_1R$  and  $A_{2A}R$ , however the relative contribution of these receptors to sleep induction remains controversial (Basheer et al. [2004](#page-329-1); Huang et al. [2007\)](#page-329-2). The brain substrates through which adenosine acts on inhibitory  $A_1R$  and excitatory  $A_{2A}R$  to produce sleep are not well understood. Adenosine acting via  $A_1R$  was shown to induce sleep by inhibiting arousal-related cell groups surrounding the striatum such as the horizontal limb of the diagonal band of Broca, the substantia inominata (Alam et al. [1999;](#page-328-1) Strecker et al. [2000](#page-331-8)), and hypocretin/orexin neurons in the lateral hypothalamus (LHA) (Thakkar et al. [2008\)](#page-332-8). A previous study suggested that activating  $A_1R$  in the tuberomammilary nucleus (TMN) also promotes NREM sleep by inhibiting the histaminergic arousal sys-tem (Oishi et al. [2008\)](#page-331-9). By contrast, stimulating  $A_1R$  in the lateral preoptic area of the hypothalamus promotes wakefulness (Methippara et al. [2005](#page-331-10)), supporting the idea that  $A_1R$ -mediated effects on sleep and wakefulness are region-specific. For example, lateral ventricle infusions of the  $A_1R$  agonist N6-cyclopentyladenosine (CPA) in mice does not change the amounts of observed NREM and REM sleep (Urade et al. [2003](#page-332-9)), which may indicate opposing effects on sleep and wakefulness in different areas of the brain. CPA can, however, produce dose-dependent increases in EEG slow-wave activity during NREM sleep when administered systemically or intracerebroventricularly in rats (Benington et al. [1995](#page-329-9)).

Adenosine deaminase, an enzyme that catabolizes adenosine to inosine, is predominantly localized in the TMN of the brain. Moreover, the TMN is enriched in histaminergic neurons containing  $A_1R$  thereby suggesting that the histaminergic arousal system is actively regulated by adenosine in the TMN. In fact, bilateral injections of the  $A_1R$  agonist CPA into the rat TMN significantly increases the amount of NREM sleep (Oishi et al. [2008](#page-331-9)). Bilateral injections of adenosine or coformycin, an inhibitor of adenosine deaminase, into the rat TMN also increases NREM sleep, an increase that is completely abolished by co-administration of the selective  $A_1R$  antagonist 1,3-dimethyl-8-cyclopenthylxanthine. These results indicate that endogenous adenosine in the TMN suppresses the histaminergic system via  $A_1R$  to promote NREM sleep. Interestingly, single-nucleotide polymorphism analyses have identified a human genetic variant of adenosine deaminase with low enzymatic activity that is linked to the enhancement of deep sleep and slow-wave activity during sleep (Rétey et al. [2005](#page-331-11)).

To date, the neural and cellular basis of "sleep drive" remains unresolved although it is often conceptualized as a homeostatic pressure that builds during the waking period and is dissipated by sleep. One or more endogenous somnogenic factors are thought to comprise the cellular basis of this homeostatic process or so-called "sleep homeostat". Ishimori ([1909\)](#page-330-9), Kubota [\(1989](#page-330-10)) and Pieron (Legendre and Pieron [1913\)](#page-330-11) had proposed the existence of sleep-promoting chemicals more than 100 years ago. These hypnogenic substances or so-called 'hypnotoxins' were hypothesized to accumulate as a result of prolonged periods of wakefulness (Inoué et al. [1995\)](#page-330-12). Since then prostaglandin (PG)  $D_2$  has been implicated in the sleep homeostatic process [(Ueno et al. [1982](#page-332-10)), reviewed in detail: (Urade and Hayaishi [2011](#page-332-11))], together with substances such as cytokines [reviewed in detail: (Krueger et al. [2011](#page-330-13))], anandamide (Garcia-Garcia et al. [2009](#page-329-10)), and peptides including urotensin II (Huitron-Resendiz et al. [2005\)](#page-330-14). However, our current understanding of the mechanisms and brain substrates through which  $PGD<sub>2</sub>$  acts to produce sleep in healthy humans is rudimentary. When  $PGD<sub>2</sub>$  is infused into the subarachnoid space of the basal forebrain (BF) [the region in which  $PGD_2$  type  $DP_1$  receptors  $(DP<sub>1</sub>R)$  are most abundant] in wild-type mice, extracellular adenosine concentration increases in a dose-dependent manner (Mizoguchi et al. [2001](#page-331-12)). This increase is absent in  $DP_1R$  knockout mice, indicating that the increase in adenosine in the subarachnoid space depends on  $DP_1R$ .

CGS 21680, a highly selective  $A_{2A}R$  agonist, produces profound increases in NREM and REM sleep after infusions into the subarachnoid space underlying the ventral surface region of the rostral BF in rats or into the lateral ventricle of mice (Satoh et al. [1996](#page-331-13); Urade et al. [2003\)](#page-332-9). *In vivo* microdialysis experiments demonstrated that infusions of the CGS 21680 into the BF inhibits the release of histamine in both the frontal cortex and medial preoptic area in a dose-dependent manner, and increases the release of GABA in the TMN of the hypothalamus but not in the frontal cortex (Hong et al. [2005\)](#page-329-11). CGS 21680-induced blocking of histamine release is antagonized when the TMN is perfused with the GABA antagonist picrotoxin, suggesting that the  $A_{2A}R$  agonist induces sleep by inhibiting the histaminergic system through an increase in GABA release in the TMN. It had been previously proposed that sleep is promoted by activating sleep neurons in the ventrolateral preoptic area (VLPO) and reciprocal suppression of histaminergic wake neurons in the TMN through GABAergic and galaninergic inhibitory projections. The existence of two distinct types of VLPO neurons in terms of their responses to serotonin and adenosine was demonstrated by the intracellular recording of VLPO neurons in rat brain slices. VLPO neurons are inhibited uniformly by the arousing neurotransmitters noradrenaline and acetylcholine, and primarily inhibited by an  $A<sub>1</sub>R$  agonist. Serotonin inhibits type-1 neurons but excites type-2 neurons, whereas an  $A_{2A}R$  agonist postsynaptically excites type-2, but not type-1 neurons. These results implicate type-2 neurons in the initiation of sleep; whereas type-1 neurons contribute to sleep consolidation as they are only activated in the absence of inhibitory effects from the arousal systems (Gallopin et al. [2005](#page-329-12)).

However, the administration of CGS 21680 into the rostral BF produces c-fos expression not only in the VLPO, but also within the shell of the NAc and the medial portion of the olfactory tubercle (Satoh et al. [1999;](#page-331-14) Scammell et al. [2001\)](#page-331-15). Interestingly, the direct perfusion of the  $A_{2A}R$  agonist into the NAc shell induces NREM and REM sleep that corresponds to about three-quarters of the amount of sleep measured when the  $A_{2A}R$  agonist is infused into the suparachnoid space (Satoh et al. [1999\)](#page-331-14). These results can be interpreted to indicate that  $A_{2A}R$  within or close to the NAc shell predominantly promotes sleep.

Acting opposite to adenosine, caffeine enhances wakefulness because it acts as an antagonist for both  $A_1R$  and  $A_{2A}R$  subtypes (Fredholm et al. [1999\)](#page-329-13). Experiments using global genetic knockouts of  $A_1R$  and  $A_{2A}R$  revealed that the  $A_{2A}R$ , but not the

 $A_1R$ , mediates the arousal-inducing effect of caffeine (Fig. [15.2a,](#page-323-0) [b](#page-323-0); Huang et al. [2005\)](#page-329-3). The specific role of  $A_{2A}R$  in the BG was investigated by using powerful tools for site-specific gene manipulations, such as conditional knockout mice for the  $A_{2A}R$  based on the Cre/lox technology or local infection with adeno-associated virus carrying short-hairpin RNA of  $A_{2A}R$  to silence expression of the receptor sub-type (Lazarus et al. [2011\)](#page-330-0). Deletion of  $\overrightarrow{A}_{2A}R$  selectively in the NAc shell eliminated caffeine-induced wakefulness (Fig. [15.2c](#page-323-0)). Excitatory  $A_{2A}R$  within the NAc shell must be tonically activated by adenosine for caffeine to be effective as an  $A_{2A}R$ antagonist. This tonic activation probably occurs in the NAc shell because sufficient levels of adenosine are available under basal conditions and  $A_{2A}R$  are abundantly expressed throughout the striatum, including the NAc shell (Rosin et al. [1998;](#page-331-16) Svenningsson et al. [1999](#page-331-17)). Thus, activation of  $A_{2A}R$  in the NAc shell contributes to the restraint of the arousal system, whereby caffeine overrides the "adenosine brake" to promote wakefulness. Interestingly, the deletion of the dopamine transporter reduces NREM sleep, increases wakefulness, and unmasks hypersensitivity to the wake-promoting effects of caffeine (Wisor et al. [2001\)](#page-332-3). The last observation may indicate that the expression of accumbal  $D_2R$  working opposite to  $A_{2A}R$  are involved in the arousal effect of modafinil. Despite the fact that stimulating  $A_{2A}R$ leads to decreased affinity for dopamine at  $D_2R$  via intramembrane interactions and to a reduction in  $G_i$ -protein coupling of the  $D_2R$  for the inhibition of cAMP production (Fuxe et al. [2003](#page-329-14)), adenosine and its antagonists, such as caffeine, can modulate the activity of medium spiny projection neurons in the striatum via  $A_{2A}R$ independently of  $D_2R$  (Aoyama et al. [2000](#page-328-2); Chen et al. [2001\)](#page-329-15).

# **Integrating the NAc into the Sleep–Wake Regulatory Network**

Technical advances have often precipitated quantum leaps in our understanding of neurobiological processes. For example, Hans Berger's discovery in 1929 that electrical potentials recorded from the human scalp took the form of sinusoidal waves, the frequency of which was directly related to the level of wakefulness of the person, which then led to rapid advances in our understanding of sleep–wake regulation in both animals and humans. To this day the EEG, in conjunction with the electromyogram (EMG), represents the data "backbone" of nearly every experimental and clinical assessment that seeks to correlate behavior and physiology with the activity of cortical neurons in freely behaving animals, including humans. In most basic sleep research laboratories these EEG/EMG recordings are performed using a cable-based system wherein acquired data is subjected off-line to pattern and spectrum analysis [e.g., Fast Fourier transform (FFT)] to determine the vigilance state of the subject under recording (Kohtoh et al. [2008](#page-330-15)). Over the years, and on the basis of EEG interpretation, several models of sleep–wake regulation, both circuit- and humoral-based, were proposed (Fig. [15.3\)](#page-324-0).

<span id="page-323-0"></span>

**Fig. 15.2** Caffeine-induced arousal in adenosine receptor gene-manipulated mice. Caffeine (15 mg/kg, i.p.) induced arousal in wild-type (*WT*) and  $A_1$  receptor knockout ( $A_1R KO$ ) mice (**b**), but not in  $A_{2A}$  receptor knockout  $(A_{2A}R KO)$  mice (a). **c** To identify the neurons in which caffeine acts to produce arousal,  $A_{2A}R$  were focally depleted by bilateral injections of adeno-associated virus carrying short-hairpin RNA for the  $A_{2A}R$  into the NAc core (*dashed green line* in the *left* panel) or shell ( *dashed red line* in the *right* panel) of rats. Typical hypnograms that show the time course of changes in wakefulness and in rapid eye movement ( *REM*) and non-REM ( *NREM*) sleep after administration of caffeine at a dose of 15 mg/kg indicate that rats with a shell, but not a core, knockdown of the A<sub>2A</sub>R showed strongly attenuated caffeine mediated arousal. *Green and red* areas in the hypnograms represent wakefulness after caffeine administration that correspond to the depletion of  $A_{2A}R$  in the respective core and shell of the NAc


**Fig. 15.3** Sleep bioassay system for rodents. **a** To monitor electroencephalogram ( *EEG*) signals, stainless steel screws are implanted epidurally over the frontal cortical area and the parietal area of one hemisphere. In addition, electromyogram ( *EMG*) activity is monitored by stainless steel, teflon-coated wires bilaterally placed into both trapezius muscles. **b** In contrast to sleep stages, wakefulness (*i*) is characterized by low to moderate voltage *EEG* and the occurrence of *EMG* activity. *NREM* sleep ( *ii*) can be identified by the appearance of large, slow brain waves with a delta rhythm below 4 Hz ( *orange* frequencies in the fast Fourier transform, *FFT*, of the *EEG*). At the transition from *NREM* to *REM* sleep ( *iii*), there is a shift from low-frequency delta activity to a rapid low-voltage *EEG* in the theta range between 6 and 10 Hz ( *blue* frequencies in *FFT* of the *EEG*)

Several interactions between sleep- and wake-active neurons are proposed at the systems level in models of sleep–wake regulation (Fig. [15.4](#page-325-0)). For instance, sleep is promoted by inhibiting cholinergic neurons in the BF whereby slow wave sleep is caused through inhibiting acetylcholine release by adenosine (Jones [2004](#page-330-0)). Another contemporary systems-level model of NREM-sleep/wake regulation describes a "flip-flop" switching mechanism involving mutually inhibitory interactions between sleep-promoting neurons in the VLPO and wake-promoting neurons in the brainstem and hypothalamus. The latter model includes the histaminergic TMN, noradrenergic LC, serotonergic dorsal raphe nucleus (DR), and cholinergic pontine (pedunculopontine and laterodorsal tegmental, PPT/LDT) nuclei (Fort et al. [2009;](#page-329-0) Saper et al. [2005,](#page-331-0) 2010). Aminergic neurons in the TMN, LC, and DR promote wakefulness by direct excitatory effects on arousal systems in the thalamus, hypothalamus, BF and cerebral cortex, in addition to the inhibition of sleep-promoting neurons in the VLPO. During sleep, the VLPO inhibits these arousal-promoting regions through GABAergic and galaninergic projections. The flip-flop model also predicts that hypocretin/orexin neurons of the LHA prevent unwanted transitions into sleep and thus stabilize wakefulness. However, even lesions to the entire VLPO only leads to a reduction in the amount of sleep by about 50% for a minimum duration of 3 weeks in rats (Lu et al. [2000\)](#page-330-1), suggesting that other areas of the brain can also restrain the arousal system and promote sleep.

<span id="page-325-0"></span>

**Fig. 15.4** Molecular mechanisms of sleep–wake regulation. Sleep is promoted by the inhibition of cholinergic neurons in the basal forebrain, whereas slow-wave sleep is caused by inhibition of acetylcholine release by adenosine. Another contemporary systems-level model of *NREM* sleep–wake regulation describes a flip–flop switching mechanism involving mutually inhibitory interactions between sleep-promoting neurons in the ventrolateral preoptic area ( *VLPO*) and wake-promoting neurons in the hypothalamus, including the histaminergic tuberomammilary nucleus ( *TMN*), and the brainstem, including the noradrenergic locus coeruleus ( *LC*), serotonergic dorsal raphe nucleus ( *DR*), and cholinergic pontine (pedunculopontine and laterodorsal tegmental, *PPT/LDT*) nuclei. The flip-flop switch of sleep-wakefulness regulation between the *VLPO*, hypothalamus and brainstem is stabilized by orexin/hypocretin (OX/Hcrt)-mediated activation. Adenosine is known to act as an endogenous somnogen that promotes sleep via inhibitory  $A<sub>I</sub>$  receptors  $(A<sub>I</sub>)$  in the basal forebrain, VLPO,TMN and via excitatory  $A_{2A}$  receptors  $(A_{2A})$  in the nucleus accumbens (NAc) and VLPO (Huang et al. [2007](#page-329-1), [2011;](#page-330-2) Lazarus et al. 2012, 2013). *Ach* acetylcholine, *5-HT* serotonin, *NE* norepinephrine

A mutually inhibitory interaction between the vPAG, lateral pontine tegmentum, and sublaterodorsal nucleus (SLD) in the brainstem is currently proposed to act as an interacting system responsible for switching organisms in and out of REM sleep (Lu et al. [2006b](#page-331-1)). The REM sleep-promoting area in the SLD also contains two distinct populations of glutamatergic neurons of which one set projects to the BF and regulates EEG components of REM sleep, whereas the other set projects to the medulla and spinal cord and regulates muscle atonia during REM sleep. REM atonia-inducing SLD cells are hypothesized to activate GABA- and glycine-containing neurons in the ventral and alpha gigantocellular reticular nucleus, which then induce atonia by inhibiting spinal motor neurons (Vetrivelan et al. [2009](#page-332-0)). Moreover, it is thought that neurons in the SLD may produce atonia by activating GABAand glycinergic spinal cord interneurons that may inhibit skeletal motor neurons (Lu et al. [2006b](#page-331-1)). Modulation of REM *versus* NREM sleep is provided by cholinergic and monoaminergic systems in the PPT/LDT, LC, DR, as well as by the VLPO and the hypocretin/orexinergic LHA. While flip-flop models have proven to be a valuable heuristic and have provided an important interpretative framework for studies in sleep research, a fuller understanding of the sleep-switch system will require a more complete knowledge of its components.

The NAc has the unique capability to integrate locomotion with motivational behavior through dopaminergic inputs, contextual information from the hippocampus, emotional content from the amygdala and executive/cognitive information from the prefrontal cortex. These multiple and integrated functions may be dissociable at neurotransmitter and neuromodulator levels, since dopamine, adenosine and glutamate are clearly associated with controlling motor function and modulating learning by feedback reinforcement. Thus far identified, efferents provide evidence that the NAc is capable of regulating sleep and wakefulness through inhibition of neuronal populations in the VP, the LHA), the parabrachial nucleus (PB), and the VTA (Fig. [15.5\)](#page-326-0). The circuit originating from the VP includes the thalamus and mPFC,

<span id="page-326-0"></span>

**Fig. 15.5** A model in which the nucleus accumbens (NAc) plays an intrinsic role in the sleep/wake regulatory network. Inhibitory output projections of the NAc modulate activity of neuronal populations in the ventral pallidum  $(VP)$ , the lateral hypothalamus  $(LHA)$ , the parabrachial nucleus ( *PB*), and the ventral tegmental area ( *VTA*), which may be a major source of arousal. The NAc can modulate the medial prefrontal cortex (*mPFC*) via a pathway through the VP and thalamus and, in turn, the mPFC projects to arousal-promoting neurons in the hypothalamic tuberomammillary nucleus  $(TMN)$ , the LHA, and the locus coeruleus  $(LC)$ . Subserving the NAc, the orexinergic and glutamatergic neurons in the LHA send major projections to the basal forebrain ( *BF*) and cerebral cortex. The LHA is also reciprocally connected to the flip–flop switch between non-rapid eye movement sleep and wakefulness (shown in *gray*), including the ventrolateral preoptic area ( *VLPO*), the TMN, and the LC. The PB, an important component of the ascending arousal system, is known to be strongly connected to the BF and LHA. Glutamatergic neurons at the border between the VTA and supramammillary nucleus (SUM) may also relay the waking stimulus from the NAc to the cerebral cortex. Adenosine acting on excitatory  $A_{2A}R$ , opposite to the inhibitory dopamine/ $D_2R$  system, can modulate the activity of GABAergic output neurons in the NAc to inhibit arousal and promote sleep. *Black arrows*, excitation; *red round-headed lines*, inhibition; lines with *two round-headed ends* represent reciprocal inhibitory connections; *circled areas* with *green background*, neuronal populations with cortical projections ( *light green arrows*). (Adapted from Lazarus et al. [2013](#page-330-3))

projections to arousal-promoting neurons in the TMN, LHA, and LC. The hypocretin/orexinergic and glutamatergic neurons in the LHA send major projections to the BF and cerebral cortex, but are also reciprocally connected to the NREM/wake flipflop switch, including the VLPO, TMN, and LC (Hur and Zaborszky [2005;](#page-330-4) Sano and Yokoi [2007;](#page-331-3) Saper et al. 2010; Yoshida et al. 2006). The NAc shell, but not the NAc core, sends projections to the PB (Li et al. 2012; Usuda et al. [1998](#page-332-1)), which is an important component of the ascending arousal system and is known to be strongly connected to the BF and LHA. The NAc projects to the medial part of the VTA with a field of cortically projecting glutamatergic neurons (Heimer et al. [1991](#page-329-3); Hur and Zaborszky [2005](#page-330-4)), which are likely the tail end of a larger group of neurons of the supramammillary nucleus (SUM). Interestingly, caffeine induces c-fos expression in non-dopaminergic neurons of the medial VTA (Deurveilher et al. [2006](#page-329-4)), however it remains to be clarified if it is possible that the VTA/SUM cell group relays the waking stimulus from the NAc to the cerebral cortex.

### **Concluding Remarks**

It is now widely accepted that sleep is regulated by homeostatic (i.e., sleep pressure), circadian (i.e., daily rhythms), and allostatic (i.e., food availability or stress) factors. In the first case, the homeostatic process is controlled by sleep propensity, which increases during the course of wakefulness and dissipates during sleep (Borbely [1982\)](#page-329-5). Endogenous somnogenic substances, such as adenosine,  $PGD<sub>2</sub>$  or cytokines, are thought to comprise the molecular basis of this so-called "sleep homeostat" that interacts with the sleep regulatory network (Huang et al. [2011;](#page-330-2) Krueger et al. [2011](#page-330-5); Urade and Hayaishi [2011\)](#page-332-2). In contrast, the circadian process is controlled by an internal pacemaker and is independent of prior sleep and waking. In mammals, this pacemaker is the SCN in the hypothalamus; it influences not only the timing of sleep and wakefulness but also a wide range of other behaviors and physiological functions (Achermann and Borbely [2003](#page-328-0); Saper et al. [2010](#page-331-4)). Stressful situations, such as a lack of food, predator confrontation, mating pressure, and seasonal migration require a rapid adjustment of the wake-sleep state towards high arousal for which specific networks in the mPFC, amygdala, hypothalamus, and brainstem are known to exist (Cano et al. [2008](#page-329-6); Yamanaka et al. 2003). The ventral striatum has the unique capability to integrate behavioral functions and emotional events and has plausible efferents that may contribute to the regulation of sleep and waking. It may be an ideal site where wakefulness is promoted by behavioral processes that require consciousness, whereas locomotor and arousal systems are inhibited during sleep. We propose that motivation is a fourth fundamental principle in the sleep–wake regulation in addition to homeostatic, circadian, and allostatic factors.

Despite the fact that many questions remain, the most pressing issue is to know which of the output projections of the striatum and the NAc relay the waking stimulus from the BG to the sleep–wake regulatory network and lead to cortical awakening. This task will be solved by using recently developed molecular biological technologies for systems-level sleep research in freely behaving animals. These technical advances include a wide range of approaches, from conditional gene deletion based on the Cre/loxP technology to RNA interference (Lazarus et al. [2007](#page-330-6), [2011](#page-330-7)) to modulating neuronal activity using genetically engineered optical switches (e.g. channel rhodopsin) (Adamantidis et al. 2007; Deisseroth et al. 2006) to *in vivo* reversible silencing (e.g., nonmammalian Cl channels) (Lerchner et al. [2007\)](#page-330-8) and activation (e.g., stimulatory GPCRs) (Alexander et al. 2009; Nawaratne et al. 2008) of neurons.

Many sleep abnormalities are reported in patients with PD and HD due to the dysfunction of the BG (Adler and Thorpy [2005](#page-328-1); Goodman and Barker [2010\)](#page-329-7). However, in almost all instances, their etiological causes are unclear, because that the neuronal mechanisms subserving these pathogenesis remain unresolved. The BG are a prime example for a sleep-disease connection that creates a vicious circle. Initially, movement disorders, psychiatric disorders, and substance abuse disorders disturb sleep, and the resulting sleep abnormalities further exacerbate the original BG dysfunction. A new level of anatomic and molecular analysis of the BG circuitry regulating sleep–wake behavior may shed new light into the underlying mechanisms in addition to potential treatment strategies for sleep disturbances associated with BG disorders.

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