

Chapter 4

Retrotransposons: Genomic and Trans-Genomic Agents of Change

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Abstract Genome structure in higher eukaryotes is highly dependent on the type and abundance of transposable elements, particularly retrotransposons, in their non-coding DNA. Retrotransposons are generally viewed as genomic parasites that must be suppressed in order to ensure genome integrity. This perception is based on the instances of retrotransposons having caused deleterious structural variation in genomes. Recent data are beginning to provide a more positive view of the impact of retrotransposons, particularly in mammals, where the evolution of the placenta has depended on the exaptation of a type of retrotransposon, endogenous retroviruses. Finally, exosome trafficking of retrotransposons between cells has been shown to induce the innate immune system gene expression, possibly indicative of a role for retrotransposons in the regulation of the innate immune system. It may be time for us to review the status of retrotransposons and reclassify them as symbionts rather than parasites.

4.1 Evolutionary Origin and Structure of Retrotransposons

Genome structure and function are two sides of the same coin, and retrotransposons (AKA retrotransposable elements, retroelements and retroposons), self-replicating DNA sequences that are found in all eukaryotic taxa, have the capacity to make larger changes to genome structure than other sources of variation—such as DNA polymerase errors that lead to single nucleotide variation (SNV). Because retrotransposons can account for the majority of the genome sequence in eukaryotes, their accumulation and clade specificity have been implicated in speciation, regulation of gene expression, exaptation and structural variation. Understanding the

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mechanisms that govern retrotransposon distribution and replication is thus of fundamental importance.

The evolutionary origin of retrotransposons is a matter of debate, but sequence similarity of their reverse transcriptases with the catalytic subunit of telomerase (Eickbush 1997; Lingner et al. 1997) and phylogenetic studies of reverse transcriptase sequences can be interpreted to indicate that reverse transcriptase may have evolved from telomerase, or telomerase is the result of co-opting reverse transcriptase. However, there are also good arguments for the ancient, prokaryotic origin of reverse transcriptase as a descendant of group II introns, which are mobile, self-splicing introns (Boeke 2003).

Retrotransposons can be divided into four major classes (Eickbush and Jamburuthugoda 2008). This classification is based on the reverse transcriptase enzyme required for replication and encoded by these elements. In vertebrates, retrotransposons can account for half of the genome sequence, and in plants, up to 70 % of the genome. This chapter is focused on the mammalian/vertebrate retrotransposons and these are commonly described as falling into two broad categories: those containing long terminal repeats (LTR) and those not containing LTR (non-LTR) (Jurka et al. 2007).

Non-LTR retrotransposons encode their own internal promoter and one or two open reading frames (ORFs) with reverse transcriptase and endonuclease activities that are used for replication (Fig. 4.1). LTR containing retrotransposons resemble (endogenous) retroviruses (ERVs) in that they can contain additional ORFs similar to those found in retroviruses, and these are referred to as endogenous retrovirus-like elements (ERVL). ERVL LTR retrotransposons are believed to have evolved from DNA transposons (Bao et al. 2010) and then acquired additional genes from viruses such as *env*, allowing them to become retrovirus-like and to produce infectious particles.

4.2 The Retrotransposon Life cycle

Retrotransposons replicate via an RNA intermediate that is reverse transcribed and reinserted into the genome (Fig. 4.1) at short target motifs (Fig. 4.2) (Cost and Boeke 1998). For non-LTR retrotransposons, also called long interspersed elements (LINE), transcription is initiated by an internal Pol II promoter and the resulting transcript is then translated to produce two proteins, one of which, ORF2p has both reverse transcriptase and endonuclease activities (Feng et al. 1996; Moran et al. 1996). ORF2p has the ability to recognise short target sequences and initiate nicks at those locations which subsequently serve to prime the reverse transcription of the retrotransposon RNA directly into the genome (Eickbush and Jamburuthugoda 2008; Morrish et al. 2002).

Some retrotransposons do not contain ORFs (non-autonomous) and are dependent on retrotransposons that do (autonomous) (Jurka et al. 2007). Autonomous retrotransposons are longer (LINEs), whereas the shorter, non-autonomous

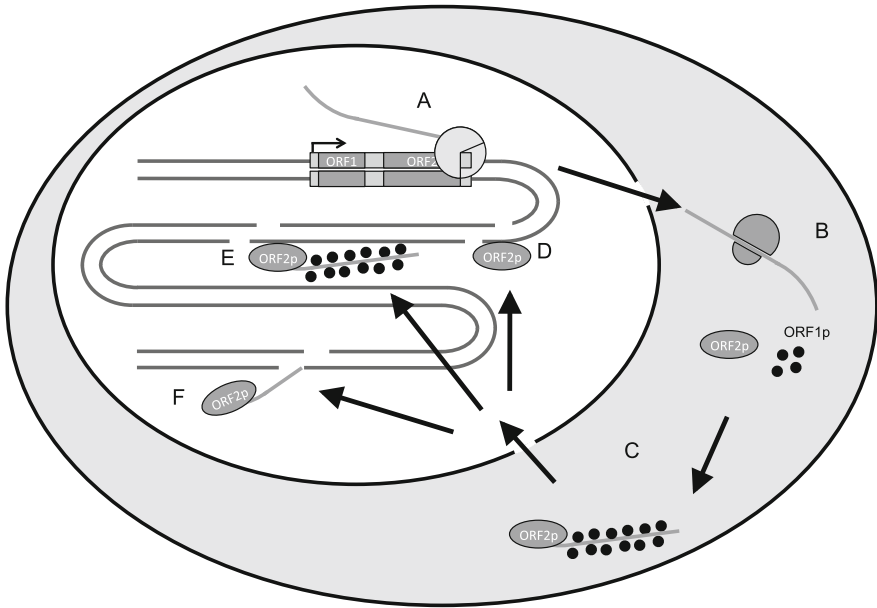
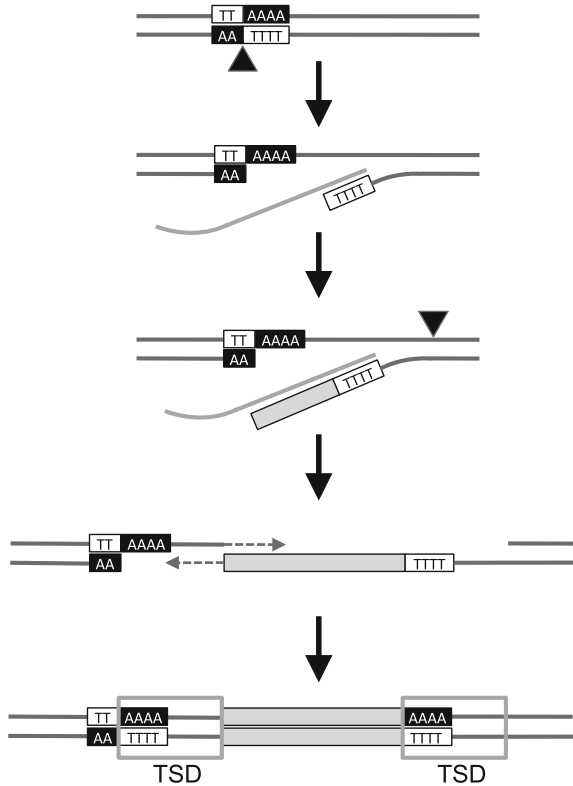


Fig. 4.1 Retrotransposon life cycle: *A* TEs are transcribed by RNA Pol II and exported to the cytoplasm (Swergold 1990). *B* In the cytoplasm, ORF1 and ORF2 are both translated. The ORF1 protein (*ORF1p*) is an RNA-binding protein believed to aid the entry of LINE L1 RNA into the nucleus (Martin 2006). The ORF2 protein (*ORF2p*) has both endonuclease and reverse transcriptase activities (Feng et al. 1996; Moran et al. 1996). *C* To enter the nucleus, ORF1p and ORF2p form a complex with the L1 RNA known as a ribonucleic protein (*RNP*) (Martin 2006). *D* The endonuclease activity of ORF2p creates double-stranded breaks without insertion of TEs (Gasior et al. 2006). *E* The endonuclease activity is essential for the process of target-primed reverse transcription (*TPRT*). *TPRT* requires that ORF2p creates a nick in each strand at the integration site. The LINE L1 RNA is then used as a template for the reverse transcriptase activity of ORF2p (Cost et al. 2002). *F* L1 RNA is able to insert into and aid in repairing double-stranded breaks independent of the endonuclease activity of ORF2p (Morrish et al. 2002)

elements are called short interspersed elements (*SINEs*). While *LINES* are usually ubiquitously distributed across taxa, *SINEs* are usually clade specific, as they result from the fusion of an internal promoter containing transcript with the 3' end of a *LINE*.

The mechanism of *SINE* creation is still an open question, but most likely is a function of aspects of the *LINE* life cycle. *SINEs* have a composite structure: a 5' end similar to 5' tRNA, 7SL RNA or 5S rRNA promoters, a unique region and a 3' end similar to the 3' tail of *LINES* (Piskurek and Jackson 2012). The most accepted hypothesis on *SINE* origins is based on the proposed template-switching mechanism of Buzdin et al. (Buzdin et al. 2002; Gilbert and Labuda 2000; Gogvadze and Buzdin 2009, Kramerov and Vassetzky 2005; Ohshima and Okada 2005). This template-switching mechanism is based on the study of pseudogenes, where the *LINE* (*L1*) reverse transcriptase switches from its own *L1* mRNA to other nearby

Fig. 4.2 Target-primed Reverse Transcription (*TPRT*) is how retrotransposons are inserted into the genome. ORF2p endonuclease activity creates a nick in the DNA at the AA/TTTT target site (Cost and Boeke, 1998). ORF2p reverse transcriptase activity then uses the cDNA copy as a template for DNA synthesis. Next ORF2p endonuclease activity creates a second nick in the DNA. The second DNA strand is then synthesised via double-strand break (*DSB*) repair and results in the formation of short target site duplications (*TSD*)



mRNA sequences through an RNA–RNA recombination process, thus creating new recombinant pseudogenes (and possibly SINEs) during L1 insertion (Buzdin et al. 2002; Gogvadze et al. 2007; Ichiyanagi et al. 2007; Piskurek and Jackson 2012). However, other investigators have suggested direct transposon into transposon (TnT) insertion as an alternative mechanism for the creation of novel transposable elements (Giordano et al. 2007; Ichiyanagi et al. 2007; Kriegs et al. 2007). The TnT mode of retrotransposon generation is what has led to the formation of SVA (SINE/VNTR/Alu) elements in humans, which are chimeric elements that can be mobilised by L1 elements and contain Alu-like sequence, Variable Number of Tandem Repeats (VNTR) sequence and SINE-R sequence resulting from a series of TnT events (Ostertag et al. 2003). The template-switching and TnT mechanisms are not mutually exclusive, and it is clear that both operate to create new SINEs, but at present we do not know which mechanism dominates.

Because retrotransposons can control their own expression through internal promoters [Pol II for LINES and Pol III for SINEs and ERVs (Belancio et al. 2010a; Dieci et al. 2013)], expression is inextricably linked to the retrotransposon replication and to the evolution of new SINEs. As a result of this ability to autonomously insert new copies from expressed sequences into the genome, eukaryotes

have evolved mechanisms to keep retrotransposon expression in check in order to avoid large-scale deleterious structural variation.

4.2.1 Retrotransposon Suppression

There appear to be two main mechanisms for retrotransposon suppression: transcriptional repression and post-transcriptional degradation (Fig. 4.3). Transcriptional repression can be caused by methylation of retrotransposon promoters or alteration of chromatin state to make retrotransposons transcriptionally inaccessible. Proof for the importance of methylation is evident from the phenotype of *dnmt3l* (DNA (cytosine-5)-methyltransferase 3-like) knockout mice (Bourc'his and Bestor 2004; Webster et al. 2005), which undergo meiotic catastrophe associated with the rampant expression of retrotransposons in male germ cells. The *dnmt3l* locus encodes a protein that regulates methyl transferase activity required to methylate and suppress the activity of CpG islands in retrotransposon promoters (Vlachogiannis et al. 2015). In addition to CpG island methylation, transcription can be repressed by the alteration of chromatin status (Fadloun et al. 2013), and this may be mediated by piRNA transported to the nucleus (Kuramochi-Miyagawa et al. 2008).

Post-transcriptional degradation of retrotransposon RNA in the male germ line is mediated by piRNAs derived from retrotransposon sequences and amplified by the ping-pong reaction (Aravin et al. 2008). In the female germ line, the situation appears to be different, with siRNAs shown to mediate retrotransposon transcript destruction via the RNA-induced silencing complex (RISC) pathway (Claudio et al. 2013; Watanabe et al. 2008).

There may also be additional mechanisms that can suppress retrotransposons at the translational level (Grivna et al. 2006; Tanaka et al. 2011) or even at the post-translational level to interfere with ORF proteins binding to retrotransposon transcripts (Fig. 4.3) (Goodier et al. 2012). In spite of all of these mechanisms to suppress retrotransposons at various steps in their life cycle, they are still transcribed at some developmental stages and in many somatic tissues (Belancio et al. 2010b). Perhaps suppression is a loaded term in this context and perhaps what we are observing is actually the regulation of retrotransposon expression.

4.2.2 Retrotransposon Expression

At certain phases of the mammalian life cycle, retrotransposons are negatively regulated to a lesser degree and are therefore transcribed and able to retrotranspose. Because methylation of cytosine to 5-methyl-cytosine (5mC) is critical to retrotransposon silencing, retrotransposons are potentially most active at times of low genomic 5mC content, which occurs in mouse embryos at around 3.5 days of embryonic development and also in primordial germ cells (Hackett and Surani 2013).

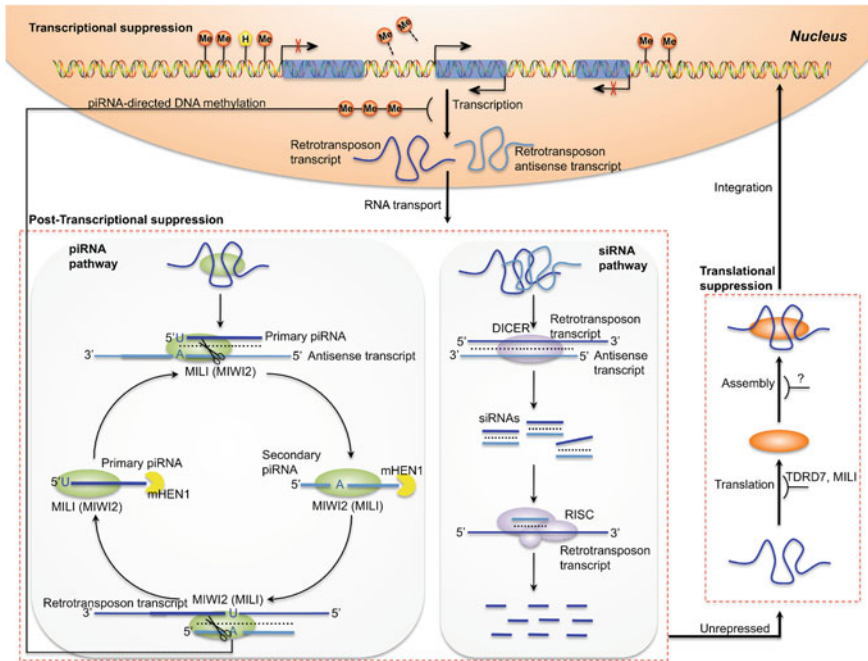


Fig. 4.3 A schematic overview of retrotransposon suppression. Retrotransposons can be suppressed by different mechanisms throughout their life cycle (Crichton et al. 2014). **Transcriptional suppression:** In most cell types, retrotransposons are in a repressed state due to high levels of DNA methylation or histone modifications (Fadloun et al. 2013; Meissner et al. 2008). In some specific developmental stages and cell types, some retrotransposon RNAs can be transcribed bidirectionally and transported from the nucleus to the cytoplasm (Fadloun et al. 2013). **Post-transcriptional suppression:** Retrotransposon RNAs can be silenced through the piRNA pathway (mostly in the male germ line) or siRNA pathway (mostly in the female germ line). The ping-pong cycle is a well-characterised model for piRNA synthesis. In the mouse, sense retrotransposon RNAs are processed into primary piRNAs. MILI (or MIWI2) is recruited to cleave antisense retrotransposon RNAs into secondary piRNAs with the guidance of primary piRNAs, and mHEN1 is used to subsequently methylate their 3' termini. Secondary piRNAs then bind with MIWI2 (or MILI) to cleave sense retrotransposon RNAs into primary piRNAs and close the loop of the ping-pong cycle (Aravin et al. 2008). piRNAs can also be transported to the nucleus to repress the transcription of retrotransposon by directing DNA methylation (Kuramochi-Miyagawa et al. 2008). For the siRNA pathway, sense and antisense retrotransposon transcripts can form double-strand RNAs, which are cleaved into double-strand siRNAs by DICER. Then, double-stranded siRNAs are unwound and loaded into the RISC to guide the degradation of retrotransposons (Ciado et al. 2013; Watanabe et al. 2008). **Translational suppression:** The Tudor domain-containing protein TDRD7 and MILI might be involved in the suppression of retrotransposon activity during translation (Grivna et al. 2006; Tanaka et al. 2011). Other repression mechanisms may also exist at later stages, such as the assembly stage of retrotransposon RNA and retrotransposon-encoded proteins (Goodier et al. 2012).

However, it is primarily in early embryos that L1 retrotransposons are transcribed and retrotranspose (Kano et al. 2009). Presumably, other suppression mechanisms keep retrotransposons in check in primary germ cells. In spite of significant levels of global 5mC in the genome at other stages of development, retrotransposons are also activated in specific somatic tissues, indicating that retrotransposon suppression is more complex than just ensuring high levels of 5mC, and it may be less stringent in some tissues/cell types. Faulkner et al. (2009) showed that up to 30 % of mouse or human transcripts from all tissues are of retrotransposon origin and that retrotransposons were transcribed in all tissues surveyed. Retrotransposon expression per se does not always mean that retrotransposition is occurring, as some retrotransposons have inserted into UTRs and are therefore transcribed as part of a mRNA. However, it has been shown in both neural progenitor cells and in the human brain that retrotransposition does occur at a detectable level, altering the genomic landscape of that tissue (Baillie et al. 2011; Coufal et al. 2009).

Retrotransposon expression and subsequent retrotransposition have significant impacts on the genomes of both germ line (via germ line insertions and early embryonic insertions) and soma. Germ line insertions can then be transmitted through vertical inheritance, while somatic insertions are not currently believed to contribute to the vertical inheritance of novel insertions. However, there is another mode of retrotransposon transmission: horizontal transfer, where retrotransposon sequences jump to another cell or species, and this type of transfer may be the result of a more general mechanism of intercellular retrotransposon transfer.

4.3 Horizontal Transfer

Horizontal transfer of transposons has been demonstrated in plants, insects and vertebrates. In the context of retroviruses (including ERVs that have maintained ORFs to support an infectious life cycle), horizontal transfer is a relatively commonplace event. For example, in plants, horizontal transfer of transposable elements is both widespread and frequent (El Baidouri et al. 2014). In animals, horizontal transfer of DNA transposons is also widespread (Ivancevic et al. 2013). A good example is in *Drosophila melanogaster* where P-elements swept through the population starting in the 1950s via horizontal transfer (Daniels et al. 1990). *Mariner* elements are also horizontally transmitted between species, including both insects and mammals (Lampe et al. 2003; Lohe et al. 1995; Maruyama and Hartl 1991). Furthermore, Space Invader (*SPIN*) elements have been horizontally transferred in mammals and other tetrapods, as have OC1 elements (Gilbert et al. 2010; Pace et al. 2008). It was not until the 1990s that the first evidence for horizontal transfer of retrotransposons was published, when the patchy phylogenetic distribution and likely horizontal transfer of BovB retrotransposons was first reported (Kordis and Gubensek 1998, 1999a).

4.3.1 BovB: An Example of Widespread Horizontal Transfer

The BovB retrotransposon (also known as LINE-RTE) is a 3.2 kb LINE with at least one large ORF encoding a reverse transcriptase and a possible small ORF1 overlapping with the large ORF (Malik and Eickbush 1998). In cattle and sheep, over a thousand full length BovB, hundreds of thousands of 5' truncated BovB fragments and derived SINEs (Bov-tA and Bov-tA2 (Lenstra et al. 1993; Okada and Hamada 1997) account for ~25 % of the genome sequence (Adelson et al. 2009; Jiang et al. 2014). The high degree of sequence conservation of BovB with sequences detected from the venom gland of *Vipera ammodytes* gave the first support to the idea of horizontal transfer of this retrotransposon (Kordis and Gubensek 1998, 1999b). BovB is now known to have a widespread, but patchy phylogenetic distribution, coupled to a high degree of sequence conservation, two of the hallmarks of horizontally transferred DNA (Fig. 4.4).

Even though BovB has horizontally transferred across a wide range of species, it has not always colonised the genome to the same extent in different species. Some

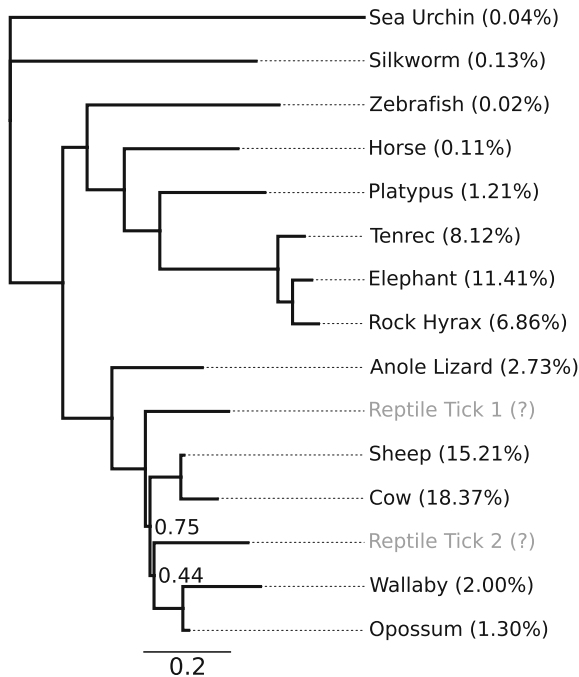


Fig. 4.4 BovB phylogeny Maximum likelihood tree of aligned BovB sequences based on Walsh et al. (2013), showing the sporadic distribution, sequence similarity and abundance of BovB elements across taxa. Local support values are only shown if <0.9. The labels at each branch tip give the species common name and (in brackets) the percentage of genome sequence identified as BovB elements for that species. Reptile Tick 1 is *Bothriocroton hydrosauri*, Reptile Tick 2 is *Amblyomma limbatum*; and the BovB genome coverage for these ticks is unknown

lineages such as ruminants and afrotheria have a high percentage of their genomes derived from BovB, whereas in other species BovB has not retrotransposed as prolifically (Fig. 4.4). This difference may be indicative of either variability in how different species suppress retrotransposons or it may simply reflect stochasticity in the population dynamics of retrotransposon expansion in different genomes. Presumably, the initial horizontal transfer event that results in retrotransposition and replication needs only a single germ line incorporation which can either replicate exponentially or “fizzle out” within the “genomic ecosystem” (Brookfield 2005; Le Rouzic et al. 2007). It is clear based on the currently available small and biased (towards mammals) sample of available genome sequences that retrotransposons as exemplified by BovB are capable of widespread and near ubiquitous horizontal transfer, and that this transfer might be enabled by parasites, such as ticks, that feed on blood. However, what is currently lacking is/are the molecular mechanism(s) for these transfers.

4.3.2 Possible Mechanisms/Modes of Transfer

A number of vectors, including arthropods, viruses, snails and DNA transposons, have been proposed for horizontal transfer, and the current state of knowledge was recently summarised by Ivancevic et al. (2013). It is relatively easy to see how a virus or transposon might act as a vector to package or transpose retrotransposons, but at the molecular level, it is not as obvious how eukaryotic vectors might effect the transfer of retrotransposon sequences between species, let alone into the germ line of another species.

4.3.2.1 Viruses as Vectors

For retrotransposons, the only example at present of a molecular virus vector is the taterapox virus (a dsDNA virus) which may have mediated transfer of Sauria SINE between reptiles and West African rodents (Piskurek and Okada 2007). This can be viewed as a highly unusual transfer, as a non-autonomous retrotransposon should not be as likely to colonise a new genome after transfer as an autonomous retrotransposon, such as a LINE. However, if cognate autonomous LINES are present in both source and recipient species, a non-autonomous SINE could replicate effectively in the recipient species. RNA viruses have also been proposed as vectors of horizontal transfer for retrotransposons as they might package non-LTR retrotransposon transcripts inside infectious virus particles, but a tangible example for this type of transfer has yet to be demonstrated. Interestingly, *Mariner*-like DNA transposons are the plausible vectors for transfer of the CR1 retrotransposon in butterflies and moths (Sormacheva et al. 2012).

4.3.2.2 Endogenous Retroviruses/LTR Retrotransposons

As mentioned in Sect. 4.1, LTR retrotransposons are believed to have arisen from retrotransposons that acquired viral genes allowing them to become infectious, possibly leading to the evolution of retroviruses (Shimotohno and Temin 1981). In addition, waves of retroviral invasions into eukaryotic genomes have resulted in the formation of ERVs. While some ERVs have remained endogenous, occasionally they are able to become infectious and transfer to other genomes, where they can cause disease and eventually become domesticated. This is currently the case for a rodent ERV that has infected Koalas and is causing leukaemia in its new host while colonising the germ line as a new ERV (Tarlinton et al. 2006). Over time, domesticated retroviruses (ERVs) have contributed significantly to the genomic landscape of eukaryotes and have been co-opted into various aspects of eukaryotic biology (Feschotte and Gilbert 2012). In addition to this evolution of the capacity for horizontal transfer via infection, it is possible that retroviruses could package non-infectious non-LTR retrotransposons as a part of their viral payload. While there is no solid evidence for such transfer, exosomes/microvesicles are able to incorporate virus particles and transfer them to adjacent cells. This raises the question of whether exosomes can also transfer retrotransposon sequences directly.

4.3.2.3 Exosomes/Vesicles as Vectors

Exosomes are a class of membrane vesicle that has recently been shown to contain protein and RNA including miRNAs, piRNAs and retrotransposon sequences that they can transport from cell to cell (Batagov and Kurochkin 2013, Li et al. 2013; Skog et al. 2008; Valadi et al. 2007; Villarroya-Beltri et al. 2013; Yuan et al. 2009). Furthermore, exosome transport of Pol III-produced retrotransposon sequences has been specifically shown to regulate cancer therapy resistance pathways, including interferon-stimulated genes by direct activation of retinoid acid-inducible gene 1 (RIG-I) (Boelens et al. 2014). One of the hallmarks of Pol III transcripts is their 5' triphosphate group, which is recognised specifically by RIG-I as a trigger for activation. Pol III is responsible for the transcription of primarily housekeeping-type genes such as tRNAs and rRNAs, but it also transcribes many other loci, including SINEs that have originated from a fusion of Pol III promoter containing transcripts with LINE 3' sequences (Belancio et al. 2010b; Dieci et al. 2013). Because retrotransposons are known to be somatically expressed (see Sect. 4.2.2) in many tissues and cell types, they are likely to be present in exosomes exported by those cell types.

In the context of horizontal transfer, one can envision a number of potential scenarios for intercellular transport of retrotransposon sequences by exosomes (Fig. 4.5). Exosome-mediated transfer could allow transfer of retrotransposon sequences from a mammal or reptile to somatic cells of a parasite such as a tick through blood-borne exosomes. Within the tick, exosome-mediated transfer could then allow transmission to the germ line from the soma and eventual transmission back to other species used as food sources by that species of tick.

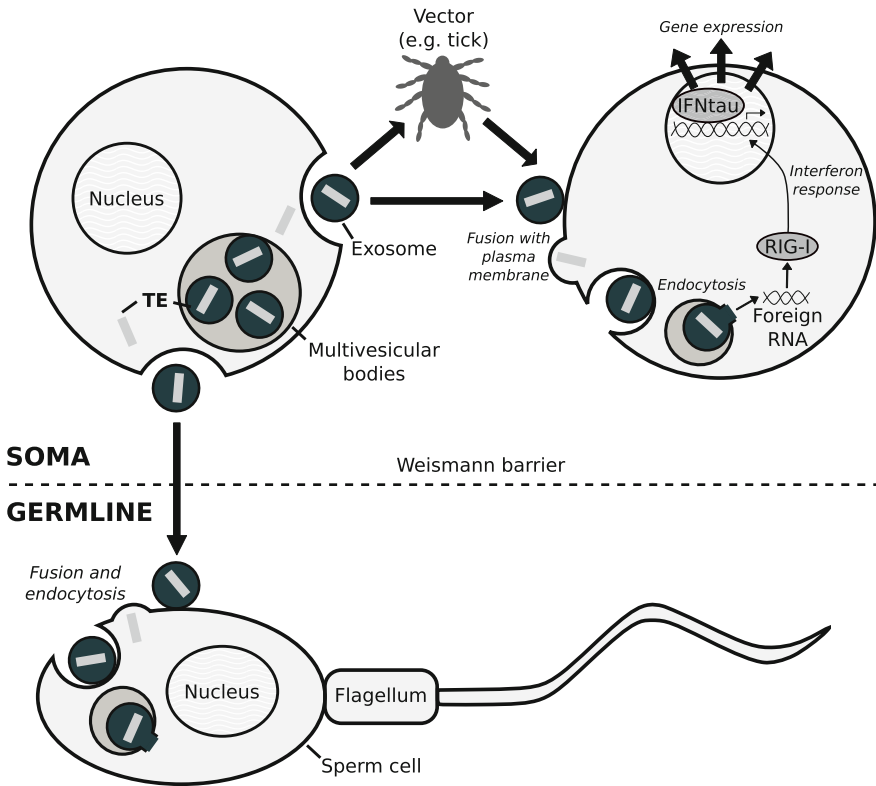


Fig. 4.5 Possible scenarios of intercellular transfer of transposable elements via exosomes. TEs packaged in exosomes can be transferred between both somatic and germline cells. Within an organism, a TE can travel from a somatic, exosome-generating cell directly (e.g. through the blood) into a somatic, exosome-target cell by fusing with the plasma membrane and undergoing endocytosis. Similarly, TEs can be horizontally transferred between the somatic cells of different organisms or species, via some kind of vector (e.g. a parasite). Exosomes can also carry TEs from the soma to the germ line, making them a permanent change in the genome that is eventually passed down to the offspring. Note that for simplicity only entry to the male germ line is shown above. In addition to the transfer of TEs, once inside the target cell, this “foreign RNA” from the TE can trigger an interferon pathway response by inducing the interferon signal transduction pathway via RIG-I. For example, in ruminants, exosomes loaded with ERV/TE RNAs trigger pattern recognition receptors, stimulating the innate immune system and production of interferon-tau, which plays a role in pregnancy recognition and placentation (see Sect. 4.4.4)

While one might envision that the existing piRNA-based suppression system might degrade these retrotransposon sequences rapidly, it also appears that retrotransposon sequences (as exosome cargo) have been co-opted into a signalling role for the innate immune system in vertebrates and used to activate interferon-stimulated genes in the absence of interferon (Dreux et al. 2012; Li et al. 2013). This would not be the first time that retrotransposon sequences have been co-opted for gene regulation (Feschotte 2008; Feschotte and Gilbert 2012), but it introduces a

new dimension of intercellular regulation of gene expression in the context of the evolutionary impact of retrotransposons.

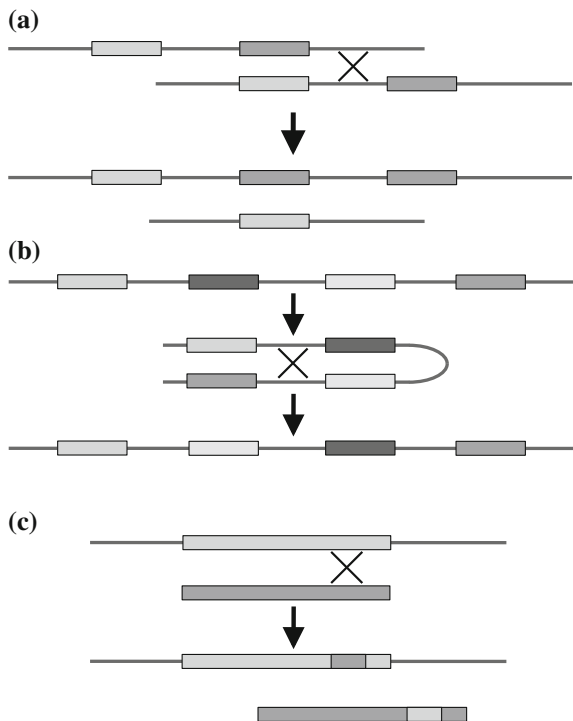
4.4 Evolutionary Impacts

Retrotransposons are known to affect genome structure and hence function. The specific types of structural changes they introduce upon retrotransposition can have a wide-ranging set of subsequent effects in terms of genome structure, gene expression and gene function. More recently, it has become clear that retrotransposons have had a profound impact on the evolution of placentation in mammals.

4.4.1 Genome Structure

Retrotransposon insertion can directly perturb gene structure, but it can also have significant effects on a larger scale (Fig. 4.6). In particular, if retrotransposons form an array of elements with the same orientation on a chromosome, they can serve as

Fig. 4.6 Retrotransposons can lead to changes in genome structure. **a** Changes in CNVs result from non-allelic homologous recombination (NAHR) caused by the insertion of many TEs from the same family (Stankiewicz and Lupski 2002; Startek et al. 2015). **b** Chromosomal inversion is also the result of NAHR (Stankiewicz and Lupski 2002). **c** SINE elements have potential to drive change through gene conversion (Roy et al. 2000)



a substrate for non-allelic homologous recombination (NAHR) leading to segmental duplication (Fig. 4.6a) (Stankiewicz and Lupski 2002; Startek et al. 2015). However, statistical analysis of repeats in flanking regions of segmental duplications found that only $\sim 10\%$ of segmental duplications could be attributed to flanking repetitive elements (Zhou and Mishra 2005). Other types of rearrangements have been shown to result from arrays of repeats such as inversions (Fig. 4.6b) and gene conversion (Fig. 4.6c).

While it is clear that retrotransposons can have indirect effects on genome structure as mentioned above, given the limitations inherent in identifying small segmental duplications and copy number variants the precise magnitude of these effects is unknown.

4.4.2 Gene Expression

As shown in Fig. 4.7, transposable elements can insert into and next to genes, affecting gene expression through multiple mechanisms, including epigenetic silencing of transcription, shortening a transcript via premature poly-Adenylation,

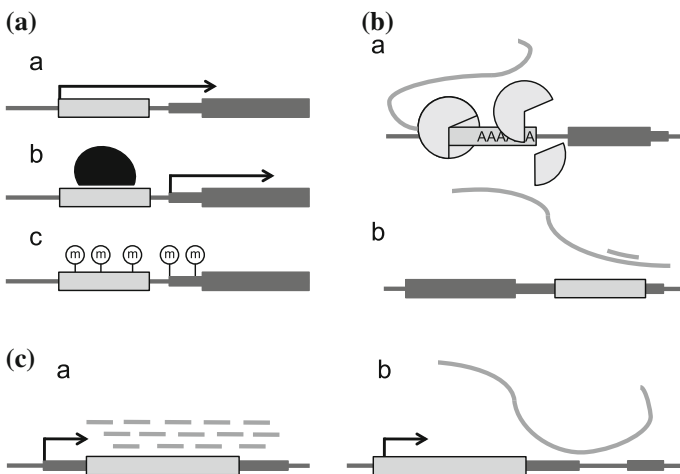


Fig. 4.7 Retrotransposons can alter gene expression. **a** 5' insertion of a retrotransposon with respect to a gene. *a* TEs are able to act as alternative promoters to adjacent genes (Faulkner et al. 2009; Speek 2001). *b* TEs are able to act as transcription factor binding sites (*TFBS*) and are thereby able to modulate gene expression (Bourque et al. 2008). *c* In plants, epigenetic silencing of TEs silences nearby genes; this is also likely to occur in animals (Buckley and Adelson 2014; Hollister and Gaut 2009). **b** 3' insertion of a retrotransposon *a* polyA signal/tail of the retrotransposon can result in shortened transcripts (Lee et al. 2008; Perepelitsa-Belancio and Deininger 2003). *b* Retrotransposon insertion in the 3' UTR of a gene can provide a target site for piRNAs which down-regulate gene expression (Watanabe et al. 2014). **c** Intergenic insertion of TEs. *a* Insertion of TEs into a piRNA cluster results in piRNAs that can target genes carrying TE-derived sequences (Yamamoto et al. 2013). *b* TEs involved in the origin and evolution of lncRNA (Kapusta et al. 2013)

driving piRNA expression or altering 3' UTR structure to affect mRNA stability. Analysis of retrotransposon insertions into or near genes has shown that many genes have been altered in ways that are likely to alter expression (Jjinggo et al. 2011; Jordan et al. 2003) and analysis of enhancers has shown that retrotransposons drive the evolution of eukaryotic enhancers (McDonald et al. 1997). All of these effects on gene expression are subject to selection and are therefore part of the evolutionary process. Not all insertions into genes will affect regulation of gene expression, some can directly affect the coding sequence or coding potential of genes through exaptation.

4.4.3 Exaptation

When retrotransposons contribute to non-coding or protein coding exon sequences, they are referred to as exaptations. These exaptations may or may not be subject to immediate purifying selection, depending on the type of change they cause. Some exaptations that prove beneficial are selected for, but these are rare. Many examples of exaptation come from non-coding transcripts, where retrotransposon insertions have led to novel piRNA and miRNA transcripts (Jurka et al. 2007; Yamamoto et al. 2013). In fact, only ~50 instances of coding sequences derived from LTR retrotransposons syntenic between human and mouse have been identified (Jurka et al. 2007). One of these encodes the PEG10 (paternally expressed gene 10) locus, which is required for placentation. Occasionally, insertion of a retrotransposon sequence into an intron can lead to exonisation of part of the retrotransposon sequence as an alternative transcript through the presence of splice donor/acceptor sites in the sequence (Fig. 4.8). When this happens, sometimes the alternative transcripts are deleterious because of impaired function, and the regulation of alternative splicing may then become an additional regulatory mechanism for the affected gene (Lorenz et al. 2007).

4.4.4 Innate Immunity/Pregnancy Recognition

Some exaptations of retrotransposon sequences have been well-characterised, particularly in terms of the evolution of placentation. There is strong evidence for exaptation of ERV genes in both mouse and hominoid primates required for placental function (Chuong 2013; Haig 2012; Mallet et al. 2004). One of the most striking such exaptations is the role of endogenous jaagsiekte retrovirus (enJSRV) in ruminant pregnancy recognition and placentation. The domestic ruminant conceptus expresses interferon-tau (IFNT) from days 10 to 12, which dramatically alters gene expression in the uterine epithelium and stroma (Bazer et al. 2008; Dunlap et al. 2006; Gray et al. 2006; Spencer and Bazer 1995). At the same time, enJSRVs are released into the ruminant reproductive tract and they are known to

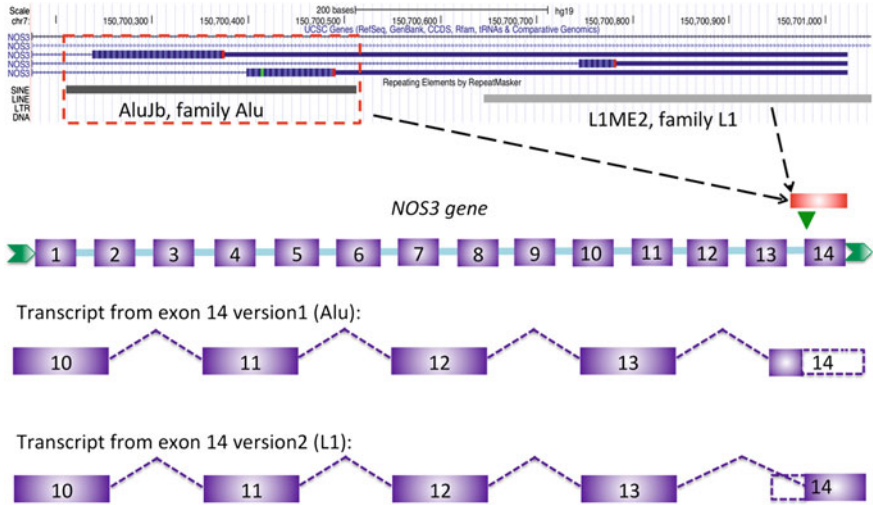


Fig. 4.8 Retrotransposon exaptation influences mRNA processing and can cause multiple splice variants. At the top, the UCSC browser (Kent et al. 2002) track for the human NOS3 gene is shown, including repeat element annotation. Below, a schematic of the 3’ end of the human NOS3 gene illustrating an Alu element (*black bar*) inserted into intron 13. This retrotransposon provides exon 14 alternative splicing version 1. An adjacent L1 insertion can result in exon 14 alternative splicing version 2 (Lorenz et al. 2007). Dashed lines indicate a splicing event

regulate key peri-implantation development in the embryo and placenta (Dunlap et al. 2005, 2006). enJSRVs therefore have been exapted to regulate key aspects of development associated with implantation and placentation by virtue of their ability to trigger expression of IFNT expression in the conceptus. Recently, exosomes have been shown to be part of the specific mechanism used to trigger IFNT expression in this system, but without specifically testing for retrotransposon RNA content (Ruiz-Gonz ez et al. 2014, 2015). We speculate that exosomes loaded with retrotransposon sequences may also be involved in pregnancy recognition more generally in order to activate the STAT1 pathway in an interferon-free fashion.

SINE/ERV transcripts packaged into exosomes can trigger RIG-I in target cells leading to IFN independent activation of the IFN pathway, leading us to speculate that the role of retrotransposons is broader than previously thought, and that they may be involved in global regulation of the innate immune system.

4.5 Conclusion

Retrotransposons are abundant, found in a broad phylogenetic distribution and yet in spite of clade specific non-autonomous variants, exhibit a significant degree of commonality. Furthermore, their transcription is highly regulated, rather than

suppressed at all times. These facts, along with the evidence of pervasive and widespread horizontal transfer and an exosome-based mechanism for transfer that has likely co-evolved with the innate immune system and placentation, suggest to us that retrotransposons are not genomic parasites but rather genomic symbionts. We hypothesise that mammals and other vertebrates depend on these symbionts for cell-to-cell signalling in innate immunity and reproduction.

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