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# Mackay, Anti-Mackay, Double-Mackay, Pseudo-Mackay, and Related Icosahedral Shell Clusters<sup>a,b</sup>

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## Abstract

Mackay introduced two important crystallographic concepts in a short paper published 40 years ago. One is the icosahedral shell structure (iss) consisting of concentric icosahedra displaying fivefold rotational symmetry. The number of atoms contained within these icosahedral shells and subshells agrees well with the magic numbers in rare gas clusters,  $(C_{60})_N$  molecules, and some metal clusters determined by mass spectroscopy or simulated on energy considerations. The cluster of 55 atoms within the second icosahedral shell occurs frequently and has been called Mackay icosahedron, or simply *MI*, which occurs not only in various clusters, but also in intermetallic compounds and quasicrystals. The second concept is the hierarchic icosahedral structures caused by the presence of a stacking fault in the fcc packing of the successive triangular faces in the iss. For instance, a fault occurs after the *ABC* layers resulting an *ABCB* packing. This is, in fact, a hierarchic icosahedral structure of a core icosahedron connected to 12 outer icosahedra by vertex sharing, or an icosahedron of icosahedra (double *MI*). Contrary to Mackay's iss, a faulted hierarchic icosahedral shell is, in fact, a twinlike face capping of the underlying triangles; it is, therefore, called an anti-Mackay cluster. The hierarchic icosahedral structure in an Al-Mn-Pd icosahedral quasicrystal has a core of body-centered cube rather than an icosahedron and, therefore, is called a pseudo-Mackay cluster. The hierarchic icosahedral structures have been studied separately in the past in the fields of clusters, nanoparticles, intermetallic compounds, and quasicrystals, but the underlying geometry should be the same. In the following a unified geometrical analysis is presented.

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## Keywords

Mackay polyhedra • Icosahedral shell clusters • Hierarchic structures

## Introduction

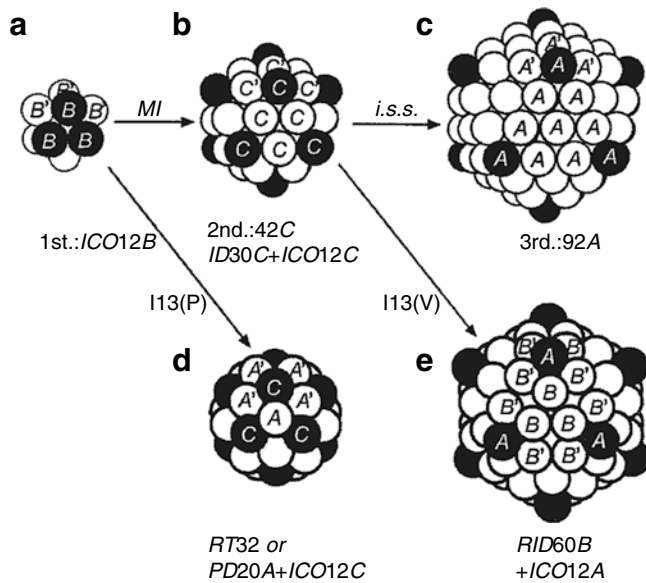
From the point of view of generalized crystallography, Alan L. Mackay in 1962 published a short paper entitled "A Dense Noncrystallographic Packing of Equal Spheres" [1], which had made tremendous impact on particle, cluster, intermetallics, and quasicrystal researches in the past 40 years. With the advent of nanoscience and nanotechnology, the importance of this paper will become even more profound in the coming years.

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<sup>b</sup>This contribution is part of a collection titled Generalized Crystallography and dedicated to the 75th anniversary of Professor Alan L. Mackay, FRS.

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**Fig. 1** Mackay icosahedral shell (a–c) and faulted (d, e) structures (the origin is an A sphere). *Solid circles* are the spheres at the icosahedral vertices, except for the core icosahedron in (a) in which only three spheres are drawn in *solid circles*. The *A'* spheres are equivalent ones of the A sphere and both of them are written A in the present paper; so are the *B'* and *C'* spheres. (a) First shell, 12 B spheres, the core icosahedron; (b) second shell, 42C spheres, the Mackay icosahedron (MI); (c) third shell, 92 A spheres; (d) a fault occurs in the interior of the *triangular faces* in the second shell, an A sphere atop three B spheres in (a); (e) B sphere in the third shell atop three C spheres in (b).

The main part of Mackay's paper is the icosahedral shell structure (iss) consisting of a set of concentric icosahedra of increasing sizes. Icosahedron is a regular polyhedron with the  $235$  rotational symmetry, whose fivefold rotation is incompatible with the periodic translation of a crystal lattice and, therefore, noncrystallographic. Figure 1a–c shows, respectively, the sphere model of the first three icosahedral shells. From the packing of spheres in a triangle of these shells (one A sphere at the center), it is clear that they are the (111) planes of the face centered cubic (fcc) closely packed sequence ABCA.... The spheres along the fivefold axes are shown in solid circles, except for Fig. 1a in which only three spheres are drawn in solid circles. The *A'* spheres in Fig. 1 are the equivalent ones of the A sphere and both of them are written A in the present paper; so are the *B'* and *C'* spheres. In addition to the 12 spheres (solid circles) at the vertices in the second shell (Fig. 1b), there is enough room at the mid-point of the 30 edges to accommodate further 30 spheres (open circles), adding to a total of 42 spheres. The third shell again has 12 spheres at the vertices, 60 spheres on the edges, and 20 more spheres at the centers of the 20 triangular faces, adding to 92 spheres (Fig. 1c). Using the cage notation @ introduced in the  $C_{60}$  literature, the first three icosahedral shells can be written as  $1A@12B@42C@92A$ . Mackay

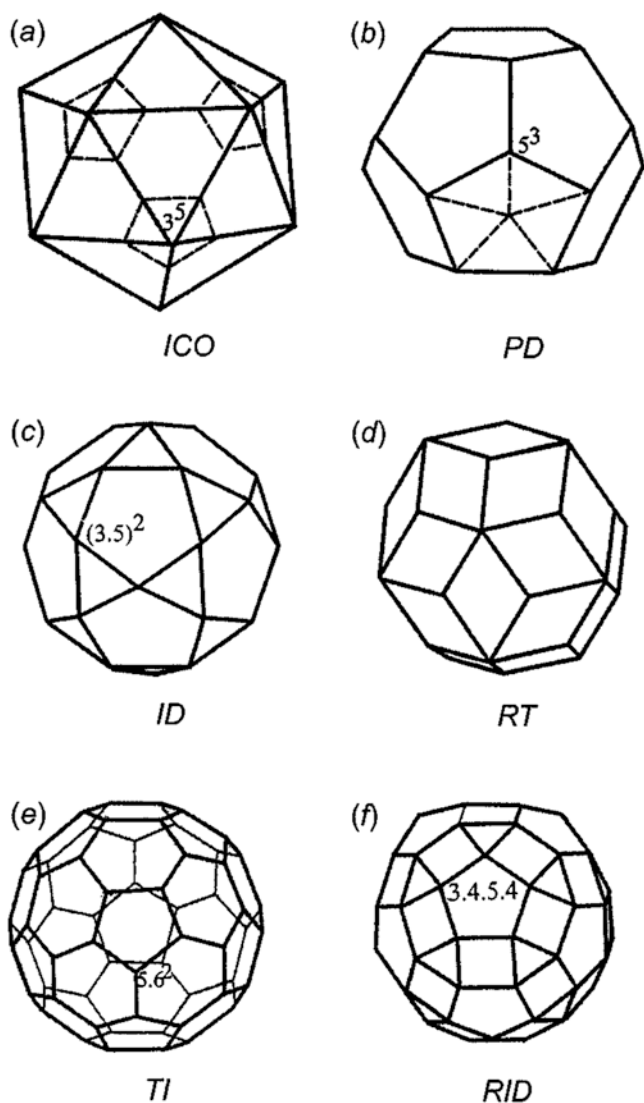
showed that the number of spheres on the  $n$ th icosahedral shell is  $10n^2 + 2(n \geq 1)$  and the total number of spheres within the  $n$ th shell  $N = \{10(n+1)^3 - 15(n+1)^2 + 11(n+1) - 3\}/3$  for ( $n \geq 1$ ) is, in fact, the magic numbers of 13, 55, 147, 309, 561, 923, 1415, 2057, 2869, etc. In this context, it should be pointed out that earlier Pauling [2] has considered the icosahedral coordination with a coordination number CN12 in metal crystals and Frank [3] in liquid metals. Both of them emphasized the higher packing density and also the higher stability of the icosahedral coordination in comparison with the fcc and hcp (hexagonal close-packed) structures, also with CN12.

The second and minor part of this paper, and nevertheless of equal importance, is the concept of the hierarchic icosahedral structure reported in the last paragraph. According to Mackay [1], Bernal had communicated to him in 1960 the hierarchic icosahedral structure: "The unit of packing is the arrangement of 13 spheres as an icosahedron making a quasi-sphere unit, 13 of which packed together to make a quasi-sphere of next order." In other words, this is an icosahedron of icosahedra and this can extend hierarchically in space. Mackay made it clear that "However, there is a clear relationship between the early stages of such a hierarchic and the packing described above" and explained further that the hierarchic structure could be obtained from the iss by the introduction of a stacking fault in the fcc packing sequence of the latter, as shown in Fig. 1d, e. In other words, hierarchy could be an alternative of growth process to periodic translation. This brief hierarchic concept has inspired many research workers to probe it in greater depth as well as in broader scope.

The icosahedral multishell and hierarchic structures have been studied separately in the fields of particle science, cluster science, intermetallic compounds, and quasicrystals with different emphases and different terminology, although the underlying geometry should be the same. The present paper is trying to fill this gap by giving a unified geometrical presentation, especially the hierarchic icosahedral structures, and will begin with a brief description of some regular and semiregular polyhedra of icosahedral symmetry, followed by Mackay's icosahedral shell structures, and Bernal–Mackay's hierarchic icosahedral structures. For a recent review on the structure of atomic clusters, see the contribution of Dr. Echt in this volume.

## Icosahedron and Related Polyhedra

Both of icosahedron (ICO) (Fig. 2a), and pentagonal dodecahedron (PD) (Fig. 2b), is platonic regular polyhedra consisting of regular faces and regular vertices. Their characteristics can best be represented by the Schläfli symbols of their vertices,  $3^5$  and  $5^3$ , respectively. The superscript shows the



**Fig. 2** Polyhedra of icosahedral symmetry: (a) icosahedron (*ICO*),  $3^5$ ; (b) pentagonal dodecahedron (*PD*),  $5^3$ ; (c) icosi-dodecahedron (*ID*),  $3.5.3.5.$  or  $(3.5)^2$ ; (d) rhombic triacontahedron (*RT*); (e) truncated icosahedron (*TI*),  $5.6^2$ ; (f) rhombic icosidodecahedron (*RID*),  $3.4.5.4.$

number of faces, triangle (3) or pentagon (5), surrounding a vertex. The symbols  $3^5$  and  $5^3$  imply an exchange of face and vertex between an *ICO* and a *PD*. In other words, they are dual to each other. Such a dual relationship is important in constructing the iss. If atoms are added to cap the pentagons of a *PD* to form pentagonal pyramids (one of which is outlined in dotted lines in Fig. 2b), their apices may form an *ICO* and vice versa.

Figure 2c is a polyhedron consisting of 20 triangles and 12 pentagons, i.e., a composite of *ICO* and *PD*. Hence, it is called icosi-dodecahedron (*ID*). Their faces are regular, but the 30  $3.5.3.5.$  or  $(3.5)^2$  vertices are not. This is one of the Archimedean semiregular polyhedra with regular faces described by Kepler through the truncation of a regular

polyhedron. Truncating the vertices of either an *ICO* or a *PD* at the midpoint of edges, an *ID* will result. Through dual operation, the 20 triangles and 12 pentagons of an *ID* are transformed into 20 regular threefold and 12 regular fivefold vertices, and a rhombic triacontahedron (*RT*) consisting of 30 rhombi will result (Fig. 2d). This can also be seen in Fig. 1d, where a pair of *A* spheres and the two *C* spheres on their two sides form a rhombus. In contrast to the semiregular polyhedra with regular polygons such as *ID*, *RT* belongs to the family of semiregular polyhedra with regular vertices formed by either three or five rhombi. This can also be obtained by capping the pentagons of a *PD* (Fig. 2b), so that the neighboring triangles sharing an edge are coplanar forming a rhombus. Needless to say, truncating and capping are dual operations.

If an *ICO* is truncated at one-third of the edges (see the dotted lines in Fig. 2a), 12 regular pentagons will appear normal to the fivefold axes, while the 20 triangles become smaller hexagons (Fig. 2e). This truncated icosahedron (*TI*) has 60  $5.6^2$  vertices and can accommodate 60 atoms, such as the Buckminsterfullerene  $C_{60}$  molecule. If an *ID* is further truncated at the midpoint of edges, the 30  $(3.5)^2$  vertices become 30 rectangles or, after some readjustment, 30 squares. In the mean time, the 20 triangles and 12 pentagons are reduced to smaller ones. Such a 62-face polyhedron is called rhombic icosi-dodecahedron (*RID*) (see Fig. 2f and the 60 *B* spheres in Fig. 1e). Obviously, both *TI* and *RID* are semiregular polyhedra, consisting of 12 isolated regular pentagons with 60 equivalent vertices, but the polygons between the pentagons and thus the vertex types are different, one being  $5.6^2$  and the other  $3.4.5.4.$

All the polyhedra in Fig. 2 have icosahedral symmetry, because neither truncating the vertices of an icosahedron nor capping the faces of a pentagonal dodecahedron will change the inner symmetry of them, although their outer forms are different. Moreover, the number of faces (*F*), edges (*E*), and vertices (*V*) obeys the Euler rule of convex polyhedron, namely,  $F + V = E + 2$ .

## Icosahedral Shell Structures

An icosahedron consists of 20 equilateral triangles and, therefore, can be visualized as 20 slightly distorted tetrahedra sharing a common vertex at its center. The edge of an icosahedron is about 1.05 times longer than its radius (the distance between its center and a vertex) and, hence, the vertex-center-vertex angle is  $63.43^\circ$ , rather than  $60^\circ$  of an equilateral triangle in a regular tetrahedron. In Fig. 1a–c, the spheres in the successive three shells are in *B*, *C*, and *A* positions, respectively. Mackay has beautifully explained the icosahedral growth morphology [4–6] of the nanometer-sized icosahedra (or, more generally, the multiple twin particles) of fcc metals as well as Si, diamond, TiC, etc. (for a recent

review, see [7]). Recently, Volkov et al. [6] have observed by high-resolution electron microscopy a Pd particle consisting of five icosahedral shells with  $N=561$  atoms, exactly as Mackay predicted. In cubic crystals, successive twinning on  $\{111\}$  planes around a common  $[110]$  axis will yield fivefold twins. The dihedral angle between two  $\{111\}$  planes is about  $71.5^\circ$ , so that there is a gap of about  $2.5^\circ$  after five successive twinning operations around one  $\langle 110 \rangle$  axis. This will produce a noticeable strain in the icosahedral particle during growth and eventually it will change into a cuboctahedral particle. In other words, these cubic icosatwins are metastable and can only exist in nanometer scale. On the other hand, icosatwins of rhombohedral crystals with  $\theta=63.43^\circ$  would fill the three-dimensional space without leaving any gap and, therefore, could grow infinitely, or at least to a size of micron scale. Indeed, this was confirmed recently in the 30 m icosatwin aggregates of the rhombohedral  $B_6O$  with  $\theta=63.1^\circ$  [8, 9], very close to the theoretical value of  $63.43^\circ$ . However, this came almost 40 years after Mackay's prediction [1].

Cluster scientists became interested in Mackay's icosahedral shells because they found icosahedral clusters of rare gases, especially the  $n=2$  and  $N=55$  cluster, showed minima of Lennard-Jones and Morse potentials [10, 11]. Moreover, this theoretical simulation was also supported by gas electron diffraction experiments made on argon clusters [12]. Hoare and Pal [10] called the  $N=55$  cluster "Mackay icosahedron" or simply *MI*. Hoare [11] concluded that the Mackay icosahedron "far from being merely a crystallographic curiosity, have now been shown beyond doubt to be the dominant motif in the growth process of rare-gas microclusters in the important size range of  $N=50$  to  $N=1000$ ." Several icosahedral shells with the number of atoms agreeing with Mackay's 13, 55, and 147 have been identified in the mass spectroscopy of xenon and these numbers are called magic numbers in the cluster literature [13]. Such a study was later also extended to metal clusters, such as Na, Mg, Ca, and Sr clusters (for a recent review, see [14]). In Mg clusters, mass peaks occurred between the third shell of 147 atoms and the ninth shell of 2869 atoms have been observed [14, 15]. However, this does not guarantee an icosahedral structure because the cuboctahedral shells also have these magic numbers. Therefore, Martin et al. [14, 16], following Northby's subshell growth concept [17], looked at the moderate mass peaks of the subshells between the fifth shell of 561 atoms and the sixth shell of 923 atoms in Ca clusters. They found that the number of atoms in the subshells agrees with the successive covering of the triangular faces of an icosahedron.

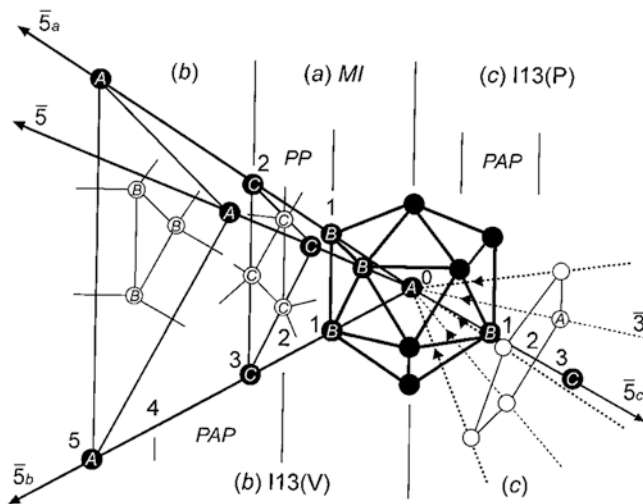
Smalley was interested in the studying of heavy metal (Mo) and semiconductor (Si, GaAs) clusters and had constructed a powerful laser-vaporization time-of-flight mass spectrometer. Kroto, Curl, Smalley, and their coworkers [18] used the strong laser beam to bombard a graphite target and surprisingly obtained a strong mass peak corresponding to

60 carbon atoms. They ingeniously suggested a spherical cage model of 60 carbon atoms located on the 60 vertices of a *TI* (see Fig. 2e) consisting of 12 pentagons and 20 hexagons, the molecular cluster of Buckminsterfullerene  $C_{60}$ . Later, Martin et al. [14, 19] succeeded in producing even larger molecular clusters of  $(C_{60})_N$  with  $N=13, 55, \text{ and } 147$ . Once more, they encountered the problem of differentiating between the icosahedral and the cuboctahedral shell packing and again used the mass peaks of subshells to prove the face capping of the icosahedral subshells.

Continuing his study of generalized crystallography, Mackay [20] independently developed a two-dimensional hierarchic pattern of pentagons similar to the celebrated Penrose pattern at about the same time as Penrose did [21] (see [22]). Mackay [23] called it "quasilattice" and produced its optical transform displaying clearly fivefold rotational symmetry. Following Ammann's suggestion, Mackay constructed the three-dimensional (3-D) quasilattice built of rhombohedra of  $\theta=63.43^\circ$  and  $117.57^\circ$ , respectively. Hargittai [22] considered this "predicting quasicrystal," which was discovered independently by Shechtman et al. [24] without knowing Mackay's work. Elser and Henley [25] as well as Guyot and Audier [26] suggested the *MI* in the cubic  $-(Al-Mn-Si)$  [27] with a composition of  $(Al,Si)_{42}Mn_{12}$  (Mn atoms occupy the vertices of the outer icosahedron, solid circles in Fig. 1b), while the center of the core icosahedron is vacant, as the structural motif of the Al-Mn-Si icosahedral quasicrystal. Since then *MI* was universally accepted as the fundamental structural motif for the family of Al-TM (transitional metal) icosahedral quasicrystals, which were called later the Mackay-type of quasicrystals [28].

Figure 3 is taken from Sung et al. [29] and modified to include the hierarchic icosahedral shells. Figure 3a shows one of the triangular faces of the first two icosahedral shells (*MI*) formed by adding spheres (solid circles) along the extended fivefold axes so that the successive shells are icosahedra of increasing sizes.

The origin is an *A* sphere at the center and the first shell is the core *ICO* composed of *B* spheres. In the second icosahedral shell, however, the *C* spheres are not of the same distance from the origin, the spheres (solid circles) on the fivefold axes being slightly further away from the center than those at the midpoints of the edges (open circles). Thus, the second icosahedral shell can be divided into two subshells. One is the second *ICO* composed of 12 *C* spheres at the vertices (solid circles) and the other is an *ID* consisting of 20 triangles (open circles) and 12 pentagons [five spheres surrounding a fivefold axis (see Fig. 1b), although only two spheres are shown in Fig. 3a]. The pentagons in the first and second shells have the same orientation (along the  $\bar{5}_a$  axis, the triangle of the *B* spheres in the first shell and that of the *C* spheres in the second shell are parallel). This can be seen more clearly in Fig. 1a, b in which the *B* and *C* pentagons are



**Fig. 3** Schematic diagram of successive triangles of the icosahedral shells. (a) The first two shells of the *MI* cluster with an *ABC* sequence (see Fig. 1a, b); (b) stacking fault occurs after the *ABC* layers resulting in an *ABCB* sequence in the interior of the triangular faces (see Fig. 1e). An outer *ICO* centered at a *C* sphere along the fivefold axis  $\bar{5}_b$  shares a vertex *B* with the core *ICO*, thus producing an *I13(V)* cluster; (c) stacking fault occurs in the second shell resulting in an *ABA* sequence in the interior of triangles (see Fig. 1d). An outer *ICO* centered at a *B* sphere forms and penetrates into the core *ICO*, thus producing an *I13(P)* cluster. Spheres at the icosahedral vertices are drawn in solid circles (Taken from Sung et al. [29] and modified).

parallel oriented. Two neighboring pentagons of the same orientation form a pentagonal prism (*PP*) with a relative large volume. Surrounding this *MI/ID* there is another *ID* about times larger, consisting of 156 atoms in the cubic  $-(\text{Al-Mn-Si})$  [30, 31]. Yang [30] called it “double-Mackay icosahedron” or simply *DMI*. In the pseudo-body-centered cubic structure of  $-(\text{Al-Mn-Si})$ , a *DMI* is located at the origin and a *MI* at the body center so that they share a triangular face along the  $\langle 111 \rangle$  directions [30, 31]. One set of the three orthogonal twofold axes of both the *MI* and *DMI* clusters is parallel to the cubic axes of  $-(\text{Al-Mn-Si})$ .

In fact, this *DMI* is nothing but the hierarchic icosahedral structure, suggested by Bernal to Mackay in a private communication reported in [1], an icosahedron of icosahedra.



*MI55*

Obviously, a stacking fault occurs after the *MI55*. If the stacking fault of the twin/hexagonal sequence occurs in the second layer, as suggested by Northby [17], then an *ABA* sequence will occur, i.e., Fig. 1a  $\rightarrow$  Fig. 1d. In Fig. 1d, an *A* sphere (open circle) is atop the center of three *B* spheres of the first shell in Fig. 1a, forming a tetrahedron. This is also

Mackay [1] mentioned the possibility of the occurrence of stacking faults in the fcc packing *ABCA ...* of the triangular planes, for instance, the packing sequence of *ABCB* consisting of a fault plane of *B* spheres or a twin/hexagonal packing sequence of *BCB*. This is shown in Fig. 1a  $\rightarrow$  Fig. 1b  $\rightarrow$  Fig. 1e.

## Hierarchic Icosahedral Structures

In Fig. 3b, the *ID* and *ICO* subshells of the second icosahedral shell in Fig. 3a become the second and third shells, respectively. In the Mackay iss, the next layer will be an *A* layer (see Fig. 1c). However, if a stacking fault is introduced in the *ABC* sequence so that the interior of a triangle in the fourth shell is made of *B* spheres (open circles), then a hexagonal *BCB* sequence instead of the fcc *BCA* sequence will be created. This is shown clearly in Fig. 1e in contrast to Fig. 1c. Twenty such *B* triangles (open circles in Fig. 3b) form 12 vertex-sharing pentagons around the fivefold axes, as well as 30 squares across the edges (Fig. 1e). This is the *RID* shown in Fig. 2f. The pentagon in the fourth shell is quite open and a sphere can be put above its center, namely, in the *A* position along an extended fivefold axis (solid circle), as in the Mackay iss. Thus, these 12 *A* spheres form the third *ICO* of the fifth shell. It is of interest to note that the five *C* spheres (open circles) surrounding the  $\bar{5}_b$  axis in the second shell and the five *B* spheres in the fourth shell have opposite orientations. This can also be seen in the orientation of the five *C* spheres (solid circles) in Fig. 1b and that of the five *B* spheres surrounding an *A* sphere in Fig. 1e. These two pentagons form a pentagonal antiprism (*PAP*) between them and this *PAP*, together with spheres on the  $\bar{5}_b$  axis in the first, third, and fifth shells, namely, *B*, *C*, and *A* spheres, form an *ICO*. This *ICO* is centered at the *C* sphere (solid circle) of the third shell and shares a *B* sphere in the first shell with the core *ICO*. In other words, they are a pair of *ICOs* sharing a common vertex along the  $\bar{5}_b$  axis. Thus, there are 12 outer icosahedra connected to the core *ICO* by sharing vertices, or a larger icosahedron consisting of 13 smaller *ICOs* in Fig. 3b, called *I13(V)*, is:

shown in Fig. 3c in which there is an *A* sphere along the threefold axis capping the triangular face of three *B* spheres. This sphere *A*, together with other four equivalent ones, form a pentagon around the  $\bar{5}_c$  axis and 12 such pentagons form a *PD* of the second shell (see Fig. 1d). Moreover, this pentagon has an opposite orientation with respect to that in the

core *ICO*, thus forming a *PAP*. Adding a *C* sphere along the  $\bar{5}_c$  axis atop of this pentagon forms a small *ICO* centered at the *B* sphere of the core *ICO* and, at the same time, a large *ICO* of the third shell. The *ICOs* centered at the origin and at the vertex *B* *ICO* are fused together forming an *interpenetrated* pair. The icosahedral shell sequence in Fig. 3c, called I13(P), is:

$$\text{I13(P): } 1\text{A@ICO12B@PD20A@ICO12C}$$

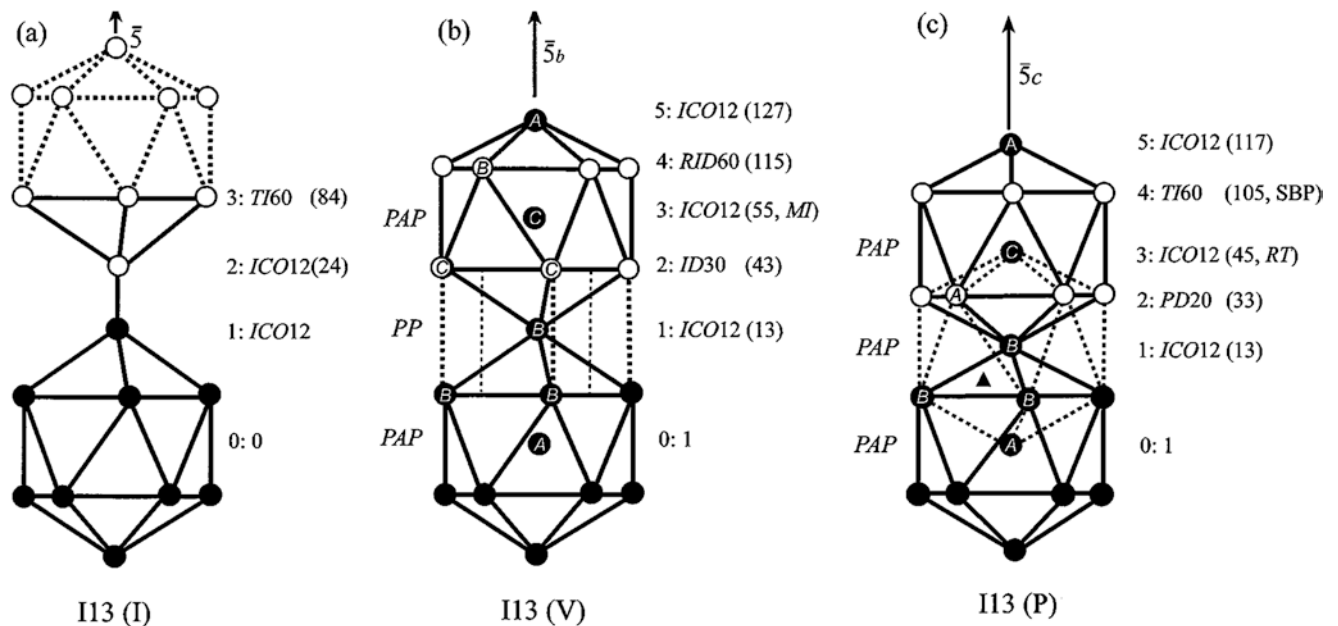
Total 45 spheres

It is clear from Fig. 3 that a stacking fault in Mackay is necessary, either in the second or the third shell, to form hierarchic icosahedral structures. Such a fault is also called “twinning” [32, 33], “face capping” [17], or anti-Mackay packing [34] in cluster science. Hoare [32] obtained the multiple-twinning structure by a simulation based on Lennard-Jones potential and considered this “an indication of the nature of the free-energy barrier to crystallography.” Northby [17] gave this, besides a theoretical calculation, also a naive explanation. A sphere on the edge of an *ICO*, as in Fig. 1b, makes contact with only two spheres underneath it, whereas in the interior of a triangular face, as in Fig. 1d, it falls in the cavity of three spheres forming a tetrahedron, which is more stable. After completing an icosahedral shell, face capping of triangular faces will be preferred when atoms are added to form new subshells in the next shell. Based on these two kinds of stacking faults, two kinds of hierarchic

icosahedral structures, vertex sharing and interpenetrating, respectively, of the core *ICO* and the 12 outer *ICOs* result. These two, as well as the 13 isolated *ICOs*, will be discussed below.

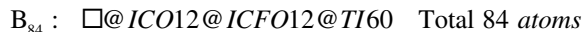
### I13(I) Cluster

This term is coined to represent the icosahedrally connected 13 “isolated” *ICOs*. Earlier, Kreiner and Franzen [35] have used I13 to denote icosahedrally connected 13 *ICOs*. Here one letter in parentheses is added to indicate the type of linkage between the core *ICO* and the 12 outer *ICOs*. “Icosahedrally” means the centers of these *ICOs* themselves form a hierarchic large *ICO*. “Isolated” or (I) means the core *ICO* forms bonds with the outer *ICOs*, but without any sharing of spheres between them. Figure 4a shows the connection of the core *ICO* and an outer *ICO*, all centers being vacant. The order of the icosahedral shells is marked and the number *N* of spheres within the *n*th shell is given in parentheses. The first shell is the core *ICO*, and the second shell is a large *ICO*, consisting of one sphere from each of the 12 outer *ICOs*. The third shell consists of 12 pentagons forming a *TI* with 60 spheres (see Fig. 2e). This icosahedral cluster has 84 spheres, such as the  $B_{84}$  cluster in the structure of  $-B$  [36] and  $YB_{55}$  [37]. The pentagon in the third shell and the apex sphere in the second shell form an inverted pentagonal pyramid. The centers and the outer pentagons of the outer



**Fig. 4** The core and outer *ICOs* of three types of hierarchic icosahedral clusters. (a) I13(I), 13 isolated *ICOs*; (b) I13(V), 13 vertex-sharing *ICOs*; (c) I13(P), the similarly oriented core and outer *ICOs* form an intermediate *ICO* (dotted lines) between them, thus producing a chain of three mutually interpenetrated *ICOs* along a fivefold axis. The number in parentheses is the total number of spheres *N* within the *n*th shell.

*ICOs* in the next two shells are far away from each other and do not form any meaningful icosahedrally connected shell. The first three icosahedral shells for the B84 cluster with a vacant center ( $\square$ ) are:



The hierarchical I13(I) cluster of -B can be written as  $B_{12}(B_{12})_{12}$  and a schematic drawing of it has been given in Fig. 4b in [9].

### I13(V) Cluster

In this hierarchic cluster, the 12 *ICOs* are linked to the core *ICO* through vertex sharing, as shown schematically in Fig. 4b. It has the same shell sequence as in Fig. 3b, but it shows more clearly the vertex sharing of two *ICOs* along a fivefold axis. In this case the core and outer *ICOs* are differently oriented, otherwise they will form a chain of three interpenetrated *ICOs*, as in Fig. 4c. Consequently, a *PP* exists between them. As shown in Fig. 3c, the spheres of the upper pentagon are *C* spheres. The first icosahedral shell is the core *ICO* and the second shell is an *ID* of 30 *C* spheres formed by sharing a vertex between two neighboring, lower pentagons of the outer *ICOs* (see the open circles in Fig. 1b). Obviously, all these 13 *ICOs* are linked by vertex sharing between the neighboring *ICOs*. The third shell is the second, large *ICO* composed of the central *C* spheres of the 12 outer *ICOs*. This is, in fact, the *MI* cluster of 55 atoms. The fourth shell consists of the 12 upper pentagons of the outer *ICOs*. Since these pentagons are further away from the center, com-

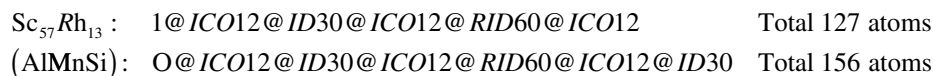
pared with the lower pentagons, they are separated from each other and interlaced with squares and triangles forming a *RID* of 60 spheres (see Fig. 2f). A stacking fault occurs in this shell so that a *RID* composed of *B* spheres is formed and the packing sequence of the four shells becomes *ABCB*. Finally, the fifth shell is the third, even larger *ICO* of 12 *A* spheres.

Cenzual et al. [38] have considered the vertex sharing of neighboring *ICOs* in the I13(V) cluster in the structure of the cubic  $Sc_{57}Rh_{13}$  ( $Pm\bar{3}$ ,  $a = 1.4405$  nm) and the orthorhombic  $Hf_{54}Os_{17}$  ( $Immm$ ,  $a = 1.3856$ ,  $b = 1.4104$ ,  $c = 1.450$  nm). They used the general formula  $I_{114}C_{13}$  to represent this family of phases (*I* denotes the atoms in the icosahedral shell and *C* those at the center). It gives also 127 atoms in this I<sub>13</sub>(V) cluster. A schematic drawing of the 13 vertex-sharing *ICOs* in these compounds has been given in Fig. 1 in [38].

Yang [30] showed that in -(Al-Mn-Si) 30 more atoms are added above the squares of the *RID*, forming a second, larger *ID* in the sixth shell, so that the total number of atoms within the sixth shell is 156 (center vacant). This is his *DMI*. Tamura [39] has extended the icosahedral shell in -(Al-Mn-Si) from *DMI* (he called it large *MI* or *LMI*) to the seventh and eighth shells and calculated the deviation of the experimental atom positions in various shells from the theoretical positions in a hierarchic icosahedral model. The deviations in the center-vertex positions in the first three shells, i.e., the *MI*, are 0.0015–0.0087 nm, but increase to 0.021–0.0334 nm in the fourth and fifth shells of the *DMI*. Kreiner and Franzen [35] have also considered the giant (*MI55*)<sub>13</sub> cluster, i.e., an icosahedron of *MI*. The hierarchic icosahedral shells in  $Sc_{57}Rh_{13}$  and -(AlMnSi) are:

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#### *MI55*



#### *MI54* *DM156*

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### I13(P) Cluster

In the hierarchic icosahedral cluster I13(P) shown in Fig. 4c, the core and outer *ICOs* not only share a vertex but also have the same orientation so that the two neighboring pentagons of the first and second shells are differently oriented. Thus, a *PAP* or a new *ICO* (drawn in dotted lines) centered at the common vertex *B* is formed between these two *ICOs*. The core, intermediate, and outer *ICOs* interpenetrate into the neighboring one, forming a chain of three *ICOs* or of three

centered *PAPs* capped at both ends. The first two shells are the same as shown in Fig. 3c. The *PAP* layer block here is more compact than the *PP* layer block in Fig. 4b; thus, the second shell in I13(P) must be smaller than the *ID* in I13(V), but also consisting of 12 pentagons. The only possible pentagonal shell is the *PD* of 12 edge-sharing pentagons. The third shell is the second *ICO*, whereas the fourth shell is again composed of pentagons. Comparing with the pentagon shell of *RID* of 60 *B* spheres in I13(V), the pentagon shell in I13(P) should be somewhat smaller. The only possible case

is the *TI* with 12 pentagons and 20 hexagons. Finally, there are 12 *A* spheres capping the pentagons forming the third *ICO* of the fifth shell. The hierarchic icosahedral shell structures

of I13(P) together with those of the cubic  $\text{Al}_5\text{Mg}_2\text{Cu}_6$  [40] (also the isostructural  $\text{Mg}_2\text{Zn}_{11}$  [41]) and  $(\text{Al,Zn})_{49}\text{Mg}_{32}$  [42–46] (also the structural  $\text{Al}_5\text{Li}_3\text{Cu}$  [47, 48]) are:

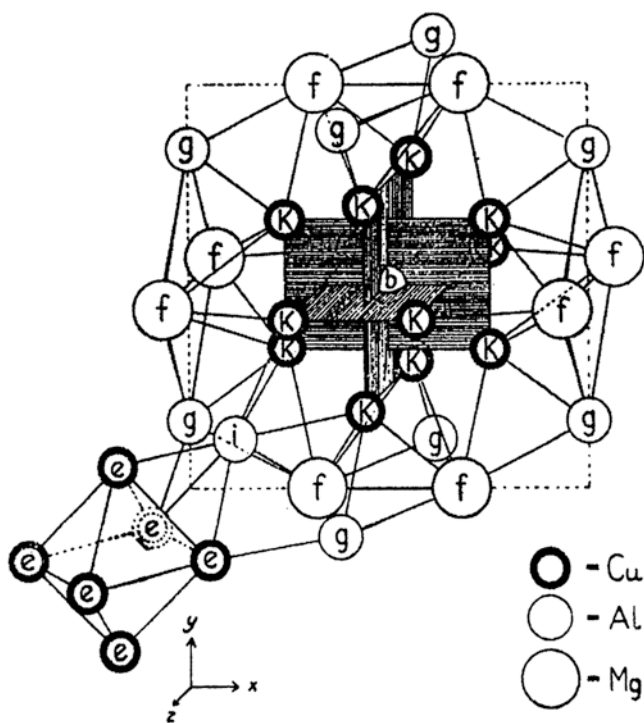
|                                       |  |                   |
|---------------------------------------|--|-------------------|
| $\text{Al}_5\text{Mg}_2\text{Cu}_6$ : | 1@ <i>ICO</i> 12@ <i>PD</i> 20@ <i>ICO</i> 12  | Total 45 atoms    |
| I13(P) :                              | 1@ <i>ICO</i> 12@ <i>PD</i> 20@ <i>ICO</i> 12@ <i>TI</i> 60@ <i>ICO</i> 12               | Total 117 spheres |
| $(\text{Al,Zn})_{49}\text{Mg}_{32}$ : | 0@ <i>UCI</i> 12@ <i>PD</i> 20@ <i>ICO</i> 12@ <i>TI</i> 60@ <i>ICO</i> 12@ <i>PD</i> 20 | Total 136 atoms   |

RT44 104 LRT136

In 1949, Samson first determined the crystal structure of the cubic  $\text{Al}_5\text{Mg}_2\text{Cu}_6$  (*Pm*3,  $a=0.8311$  nm, atoms) [40] and its isostructural  $\text{Mg}_2\text{Zn}_{11}$  [41]. Figure 5 shows the [001] projected view of the structure of  $\text{Al}_5\text{Mg}_2\text{Cu}_6$ : 6 Cu at (e), 12 Cu at (k), 1 Al at (b), 6 Al at (g), 8 Al at (i), and 6 Mg at (f). After the discovery of the Al-ZnMg quasicrystal, Pauling recalled [44] how he found the icosahedral shell structure of the cubic  $(\text{Al,Zn})_{49}\text{Mg}_{32}$ . “In 1950, I recognized that the 39-atom cubic structure of  $\text{Al}_5\text{Mg}_2\text{Cu}_6$  and  $\text{Mg}_2\text{Zn}_{11}$  (Samson<sup>19,20</sup>) could be obtained from a central icosahedron surrounded by a shell of 32 atoms.” From Fig. 5, it can be seen that around the central

Al(b) atom there are 12 Cu(k) atoms of the core *ICO* (the three orthogonal golden rectangles are shaded). Adding 12 Mg(f) and 8 Al(i) atoms to cap the triangular faces of the core *ICO* will result in a *PD* [for the sake of clarity, only one of the 8 Al(i) atoms is shown at the bottom-left corner and 8 Mg(f) atoms are shown on the {100} planes in Fig. 5]. Thus, only tetrahedral interstices result by adding the second shell of a *PD*. A third shell of 12 Al(g) atoms (only 8 are shown in Fig. 5) are added above the pentagons of the *PD*. They lie on the extended lines (fivefold axes) connecting the central Al(b) atom to the 12 Cu(k) atoms of the core *ICO*, thus forming the outer *ICO* [see Fig. 4c]. This will produce a pentagonal bipyramid with an apex at Al(b) and another one at Al(g). Each pentagonal bipyramid can be visualized as 5 deformed tetrahedra sharing a common edge, namely, the fivefold rotational axis. Thus the 45-atom shell structure is a tetrahedrally close-packed (tcp) structure. According to Frank [3] and Boerdijk [49], tcp clusters are stable and occur frequently in metallic compounds, such as the Frank–Kasper phases [50], the tcp phases of the Shoemakers [51, 52], and the giant cubic phases of Samson [53, 54].

The outer shell of the 45-atom cluster is, in fact, a *RT* of 30 rhombi (Fig. 2d) or a capped *PD* of 60 triangles (Fig. 2b). Continuing the tetrahedral packing to obtain the structural model of  $(\text{Al,Zn})_{49}\text{Mg}_{32}$ , Pauling [45] added 60 more atoms atop the 60 triangles and finally obtained the fourth shell of a *TI*. Adding 12 more atoms above the pentagons to form the fifth shell and completes the I13(P) cluster. This 105-atom soccer ball-shaped icosahedral cluster as well as the 127-atom structural model of  $(\text{Al,Zn})_{49}\text{Mg}_{32}$  obtained first by the stochastic method [45] are included in Pauling’s famous book “*The Nature of the Chemical Bond and Structure of Molecules and Crystals: An Introduction to Modern Structural Chemistry*” [55]. Following Pauling’s suggestion, Bergman et al. solved the complicate crystal structure of  $(\text{Al,Zn})_{49}\text{Mg}_{32}$  first by X-ray powder method in 1952 [42] and later by single crystal diffraction in 1957 [43]. It turned out that the center of the core is 80 % occupied. Recently, Sun et al. [46] found the center is empty in an accurate redetermination of this structure. Therefore, this four-shell



**Fig. 5** Samson’s schematic diagram of the cubic structure of  $\text{Al}_5\text{Mg}_2\text{Cu}_6$  [40] and its 45-atom, three-shell icosahedral cluster analyzed by Pauling [44, 45]; 1Al(b)@*ICO*12[12Cu(k)]@*PD*20[12 Mg(f),8Al(i)]@*ICO*12[12Al(g)], with the 12Al(g) and 12 Mg(f) atoms on {100} planes (Taken from Samson [40]).



icosahedral cluster in fact has only 104 atoms. Cenzual et al. [38] denoted it by  $I_{104}C_{13}$ , where  $C_{13}$  means the 13 atoms at the centers of 13 *ICOs* and also gave a schematic drawing of the  $I13(P)$  cluster in their Fig. 2, in which the 12 outer *ICOs* are themselves edge-sharing between neighboring ones. Immediately after the first discovery of the Al-Mn icosahedral quasicrystal [24], Mackay [56] suggested that an alloy of the composition of  $(Al,Zn)_{49}Mg_{32}$  might be a suitable candidate for finding new quasicrystals. Indeed, such a quasicrystal was found independently at about the same time by Ramachandrarao and Sastry [57], based on the icosahedral shell structure of the cubic  $(Al,Zn)_{49}Mg_{32}$ . Since then the 104-atom hierarchic shell is often called the Bergman cluster and the icosahedral quasicrystals of this family the Bergman type quasicrystals [58, 59]. Considering the pioneer work of Samson on  $Al_5Mg_2Cu_6$  [40] and Pauling's [45] stochastic model of  $(Al,Zn)_{49}Mg_{32}$ , perhaps this family of quasicrystals might better be called Samson–Pauling–Bergman or SPB type of quasicrystals.

Instead of icosahedron, Samson [53, 54] likes to use truncated tetrahedron (*TT*) with four triangular faces opposite to four hexagonal faces to describe complicated metallic structures. As the icosahedron, this polyhedron can also be used to describe the structures of  $MgCu_2$ ,  $MgZn_2$ , and  $MgNi_2$ . Capping the four hexagonal faces of a *TT* with four more atoms yields a CN16 Kasper polyhedron with only tetrahedral interstices. Twenty such *TTs* can condense into a *TI* if each *TT* is sharing a hexagon with each of its three *TT* neighbors. The remaining hexagons of these *TTs* will form an outer shell of 20 hexagons with 12 pentagonal cavities or 12 inverted pentagonal pyramids. The remaining 20 triangles, one from each *TT*, form the core *ICO* with an empty center. Samson [53, 54] used the 104-atom *TI* unit to discuss not only the structure of  $(Al,Zn)_{49}Mg_{32}$  but also the giant cubic  $NaCd_2$  ( $a=3.056$  nm) and  $-Mg_2Al_{13}$  ( $a=2.8239$  nm). Tillard–Charbonnel, Belin, and their co-workers [60–62] later used the 104-atom shell unit, called Samson polyhedron by them, to describe the structures of a number of ternary Ga compounds found by them. For instance, in the body-centered cubic  $Li_{13}Cu_6Ga_{21}$  [60], structurally similar to  $Al_5Li_3Cu$  and  $(Al,Zn)_{49}Mg_{32}$ , the Samson polyhedron at the center is connected to the other eight Samson polyhedra by sharing a hexagon with each of them along the  $\langle 111 \rangle$  directions. Such a chain of hexagonsharing Samson polyhedra can produce a hexagonal structure, such as the  $Li_{68}Zn_{16}Ga_{133}$ , with  $a=1.3657$  nm and  $c=23435$  nm [61]. In the cubic  $Na_{35}Cd_{24}Ga_{56}$  of  $a=2.1286$  nm, however, two Samson polyhedra are connected by sharing a pentagonal face [62]. Thus, it is suggested to call this 104-atom cluster the Samson–Pauling–Bergman cluster or SPB cluster.

Since the first discovery of the icosahedral quasicrystal in rapidly solidified Al-Mn alloys, both the *MI54* of the cubic  $-(Al-Mn-Si)$  structure [25, 26] and the 104-atom

Samson–Pauling–Bergman *TI* of the cubic  $(Al,Zn)_{49}Mg_{32}/Al_5Li_3Cu$  structure [58, 59] have been considered to be the main building blocks of icosahedral quasicrystals [28]. Moreover, Elser and Henley [25, 58] have further shown that substituting rational ratios of two successive Fibonacci numbers for the irrational golden number of a quasicrystal will yield an approximate crystalline structure, called approximant. A similar idea has also been put forward by Mackay [56] using  $5/3$ ,  $8/5$ , and  $21/13$  of the ratios of Fibonacci numbers to substitute for the irrational in the aperiodic structure of quasicrystals to obtain the Hume–Rothery compounds. This is the fundamental crystallographic connection between a quasicrystal and its crystalline approximant.

Using X-ray and neutron diffraction made on a single grain of Al-Mn-Pd icosahedral quasicrystal, Janot and his co-workers [63, 64] obtained an incomplete *MI* with only 9 atoms in the core icosahedron in the form of a body-centered cube. They called this 51-atom cluster “pseudo-*MI*” or simply *PMI* because it is almost, but not exactly, icosahedral. Recently, this has found support in the structures of many crystalline approximants of icosahedral quasicrystals, in which a 9-atom body-centered cubic or a 15-atom centered rhombic dodecahedron core has been found [65–67]. Recently, Tsai et al. [68, 69] found binary  $Cd_{5.7}Yb$  and  $Cd_{5.7}Ca$  icosahedral quasicrystals close to the composition of the body-centered cubic  $Cd_6Yb$  [70] and  $Cd_6Ca$  [71], respectively. The 66-atom cluster in the structure of these cubic phases is a tetrahedral core surrounded successively by *PD*, *ICO*, and *ID*. This is another cluster of predominately, but not exactly, icosahedral symmetry. There will be more such new findings in the coming years which will enrich the structures of icosahedral quasicrystal and its approximants, but the icosahedral shell and hierarchic icosahedral structures first suggested by Mackay 40 years ago will remain the guiding concepts in the future.

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