

# Chapter 13

## Bulge Growth Through Disc Instabilities in High-Redshift Galaxies

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**Abstract** The role of disc instabilities, such as bars and spiral arms, and the associated resonances, in growing bulges in the inner regions of disc galaxies have long been studied in the low-redshift nearby Universe. There it has long been probed observationally, in particular through peanut-shaped bulges (Chap. 14). This secular growth of bulges in modern disc galaxies is driven by weak, non-axisymmetric instabilities: it mostly produces pseudobulges at slow rates and with long star-formation timescales. Disc instabilities at high redshift ( $z > 1$ ) in moderate-mass to massive galaxies ( $10^{10}$  to a few  $10^{11} M_{\odot}$  of stars) are very different from those found in modern spiral galaxies. High-redshift discs are globally unstable and fragment into giant clumps containing  $10^{8-9} M_{\odot}$  of gas and stars each, which results in highly irregular galaxy morphologies. The clumps and other features associated to the violent instability drive disc evolution and bulge growth through various mechanisms on short timescales. The giant clumps can migrate inward and coalesce into the bulge in a few  $10^8$  years. The instability in the very turbulent media drives intense gas inflows toward the bulge and nuclear region. Thick discs and supermassive black holes can grow concurrently as a result of the violent instability. This chapter reviews the properties of high-redshift disc instabilities, the evolution of giant clumps and other features associated to the instability, and the resulting growth of bulges and associated sub-galactic components.

### 13.1 Introduction

High-redshift star-forming galaxies mostly form stars steadily over long timescales, merger-driven starbursts being only a minority of galaxies. At redshifts  $z > 1$ , moderate-mass and massive star-forming galaxies ( $10^{10}$  to a few  $10^{11} M_{\odot}$  of stars) have rapid gas consumption timescales and stellar mass doubling timescales, of the

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order of a Gyr at  $z = 2$ , depending mostly on redshift and weakly depending on mass, with rare deviations to the mean timescale (Schreiber et al. 2014).

These star-forming galaxies have very irregular morphologies in the optical, especially compared to nearby spirals discs of similar mass, as unveiled by deep surveys over the last two decades. They also have very high gas fractions, about 50% of their baryonic mass, as probed recently with interferometric studies. The high gas fractions and mass densities cause strong gravitational instabilities in the galactic discs, which results in disc fragmentation, and causes very irregular, clumpy morphologies. These irregular morphologies are often dominated by a few giant clumps of  $10^{8-9} M_{\odot}$  of baryons, rotating along with the host galaxy.

This violent instability can drive the formation and growth of bulges, either by inward migration and central coalescence of the giant clumps and/or by gravitational torquing of gas and instability-driven inflows. This Chapter reviews the properties of the violent instabilities, and the pieces of evidence that the clumpy morphologies are caused by such violent disc instability rather than mergers or other processes. It then reviews the evolution of the giant clumps, their response to intense star formation and associated feedback processes, and the properties of bulges formed through this process. It eventually reviews recent results on other sub-galactic structures (such as thick discs and central black holes), which may grow concomitantly to bulges through high-redshift disc instabilities, and compares the role of this high-redshift violent instability to the contribution of low-redshift secular evolution through weak instabilities such bars and spiral arms.

## 13.2 Clumpy Galaxies and the Violent Disc Instability at High Redshift

This section reviews the properties of star-forming galaxies at high redshift, the observed signatures of the underlying disc instabilities, and the main related theories. Throughout, we consider galaxies at redshift  $z \approx 1 - 3$ , with stellar masses of  $10^{10}$  to a few  $10^{11} M_{\odot}$ , and that are “normally” star forming on the so-called Main Sequence (Daddi et al. 2007; Elbaz et al. 2011; Schreiber et al. 2014), i.e. with specific star formation rates of the order of a  $\text{Gyr}^{-1}$  at redshift  $z = 2$ , as opposed to rare starbursts with faster star formation.

### 13.2.1 Clumpy Galaxies at Redshift 1–3: Global Morphology

The characterization of the structure of star-forming galaxies at high redshift has steadily developed over the last two decades, driven mainly by deep surveys from the Hubble Space Telescope (HST) in the optical wavelengths (e.g. Cowie et al. 1996), and later-on in the near-infrared, but also accompanied by modern techniques

to identify and select star-forming galaxies in these deep surveys (e.g. Daddi et al. 2004). The highly irregular structure of high-redshift galaxies was first pointed out in the Hubble Deep Field (Abraham et al. 1996; van den Bergh et al. 1996; Cowie et al. 1996). Star-forming galaxies appeared to have highly irregular morphologies, dominated by a few bright patches, with the striking example of the so-called “chain galaxies” where the patches are almost linearly aligned. While reminiscent of nearby dwarf irregulars, these morphologies were found in galaxies 10–100 times more massive – a mass regime at which nearby galaxies are almost exclusively regular disc-dominated galaxies, most often barred spirals (Eskridge et al. 2000; Block et al. 2002), or spheroid-dominated early type galaxies. The lack of regular barred spirals, suspected in such deep optical imaging surveys (van den Bergh et al. 1996; Abraham et al. 1999), actually required deep-enough near-infrared surveys to be confirmed: otherwise the irregular structure could result from band-shifting effects, namely the fact that optical observations of  $z > 1$  objects probe the ultraviolet emission, strongly dominated by young star-forming regions, rather than the underlying mass distribution dominated by older stars. The first near-infrared surveys unveiled counterexamples of high-redshift galaxies with a more regular disc structure in the underlying older stellar populations (Sheth et al. 2003), yet deeper and wider fields eventually confirmed the gradual disappearance of regular (barred) spiral discs at  $z > 0.7 - 1.0$  (Sheth et al. 2008; Cameron et al. 2010; Melvin et al. 2014; Simmons et al. 2014).

Even before infrared data could resolve kiloparsec-scale structures at  $z = 2$ , detailed spatially-resolved stellar population studies were used to reconstruct the stellar mass distribution of star-forming galaxies, in particular in the Hubble Ultra Deep Field (Fig. 13.1). It was then found that the bright patches dominating the optical structure were not just random or transient associations of bright stars, but actually massive clumps with sizes in the 100–1000 pc range, stellar masses of a few  $10^8$  to, in extreme cases, a few  $10^9 M_{\odot}$ , and typical stellar ages of a few  $10^8$  year indicating relatively young ages (and in particular younger than those of the host galaxies) but suggesting lifetimes that are longer than their internal dynamical timescale (about 10–20 Myr). As random associations would disrupt on such timescales, these data suggested that these bright regions were bound (Elmegreen et al. 2005, 2007). These structures are generally dubbed “giant clumps”, and their host galaxies “clumpy galaxies”, although these are just the majority of star-forming galaxies at redshift 1–3 and the clumpiness is not a peculiar property of a rare type of galaxy. The morphology of clumpy galaxies suggested that these were disc galaxies, based in particular on the distribution of axis ratios from face-on to edge-on orientations (Elmegreen et al. 2004; Elmegreen and Elmegreen 2006), although the morphology of chain galaxies could have been consistent also with filamentary alignments of separate small galaxies rather than edge-on clumpy discs (Taniguchi and Shioya 2001).



**Fig. 13.1** Portion of the Hubble Ultra Deep Field (optical survey) showing more than 10 star-forming galaxies at  $z = 1 - 3$  with stellar masses of a few  $10^{10} M_{\odot}$  (largest galaxies on the image). The galaxy in the *dashed rectangle* is a typical example of a Main Sequence galaxy about the mass of the Milky Way, located at redshift  $z \simeq 1.6$ . Its two apparent neighbors lie at very different redshifts. Like many galaxies in this mass and redshift range, it has a very irregular clumpy morphology, with a central reddish bulge and a few clumps of  $10^{8-9} M_{\odot}$  each. Only the clump to the right of the center is somewhat redder and contains old stellar populations, and only this particular clump might be a minor merger of an external galaxy. The others formed in-situ by gravitational instability in a gas-rich turbulent disc (Bournaud et al. 2008) and follow a regular rotation pattern around the mass center. The violent instability in the gas-rich medium can also trigger the asymmetry of the galaxy through an  $m = 1$  mode, without requiring an external tidal interaction

### 13.2.2 Kinematics and Nature of Clumpy Galaxies

More robust studies of the nature of clumpy star-forming galaxies were enabled by spatially-resolved spectroscopy of the ionized gas, probing the gas kinematics (velocity field, velocity dispersion) as well as chemical abundances and gradients in the interstellar medium. The pioneering study of Genzel et al. (2006) probed the disc-like nature of one typical star-forming galaxy at redshift two, with a disc-like velocity field, and no signature of an on-going or recent merger, in spite of an irregular and clumpy morphology. In a single particular case, a merger might happen to have a velocity field that resembles that of a disc, with no observable kinematic signature of the merging event, depending on the interaction orbit and on the observer's line-of-sight. However, surveys of tens of star-forming galaxies have now been assembled (Förster Schreiber et al. 2006, 2009; Epinat et al. 2012) and quantitative techniques have been used to interpret the velocity field structure and velocity dispersions of the observed systems (Shapiro et al. 2008). These studies confirmed that only a minority of clumpy galaxies display potential signatures from mergers, and that clumpier galaxies do not harbor more frequent signatures of recent or on-going mergers than smoother galaxies in the same mass range.

The velocity dispersions are high, typically about  $50 \text{ km s}^{-1}$  with large spatial variations for the  $\text{H}\alpha$  gas (e.g., Genzel et al. 2008; Bournaud et al. 2008). Yet, they remain fully consistent with the large scale heights expected for these discs if chain galaxies, which are relatively thick, are the edge-on version of clumpy disc galaxies (Elmegreen and Elmegreen 2006). There are also local irregularities in the velocity field, departing from pure rotation with non circular motions up to  $20\text{--}50 \text{ km s}^{-1}$ , a few times larger than in nearby spirals, but again this is naturally expected from the presence of giant clumps: independently of their origin, massive clumps do stir the surrounding material by gravitational and hydrodynamic interactions, and they also interact with each other. Models of rotating galactic discs with massive clumps do predict such large non-circular motions on kiloparsec scales even when the disc is purely rotating before clump formation (Bournaud et al. 2008).

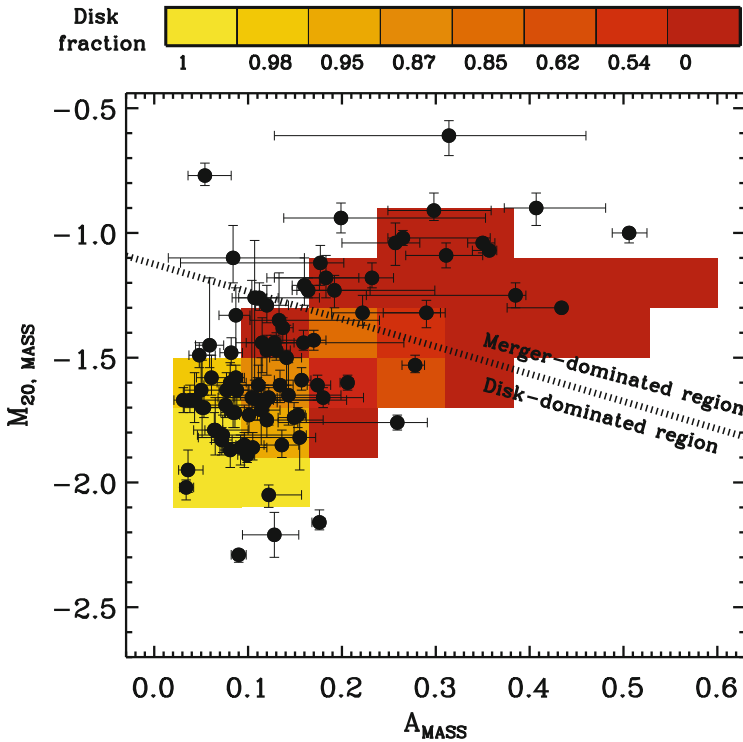
Parametric classifications of galaxy morphology have long been based on low-redshift data and models are tuned to represent the low-redshift Universe. Such classifications are now started to be tuned also for high-redshift galaxies, and are optimized to avoid confusion between internal clumps and mergers. Such morphological classifications confirm the “clumpy disc” nature of the majority of Main Sequence star forming galaxies (Fig. 13.2) and show close agreement with kinematic classifications (Cibinel et al. 2015).

Signatures of mergers might be more prominent in clumpy galaxies at intermediate redshifts ( $z = 0.5 - 1$ ), according for instance to Puech (2010). This is a regime in which clumpy galaxies are more rare among star-forming galaxies, most of which have already started to establish a regular barred spiral structure (in the mass range considered here – see Sheth et al. 2008; Kraljic et al. 2012) and have much lower gas fractions and densities (Combes et al. 2013). Merger-induced clump formation may then become more prominent compared to higher redshifts. We also note that these clumps have lower masses so their dynamical impact may be weaker, their response to stellar feedback being likely different, and their role in disc and bulge evolution being potentially different as well. For these reasons the relatively rare clumpy galaxies below  $z \simeq 1$  will not be considered hereafter.

### ***13.2.3 Observational Insights on the Nature of Giant Clumps: Gas Content and Stellar Populations***

The very nature of clumps and their formation process remain uncertain, in spite of the fact that their host galaxies are generally rotating discs, with a low frequency of mergers. Namely, are these structures formed in-situ, or do they originate from the outside, in the form of small companion galaxies that have been accreted, or clumps of primordial gas that were accreted by the host galaxy before starting to form stars?

The hypothesis of external star-free gas clouds might be ruled-out by the high average density of gas in the clumps (hundreds of atoms or molecules per  $\text{cm}^3$ , e.g. Elmegreen and Elmegreen 2005) making star formation efficient. This effect would



**Fig. 13.2** Morphological classification of discs and mergers at  $z \simeq 2$  (Cibinel et al. 2015). Combining the Asymmetry  $A$  (Conselice et al. 2003) and the  $M_{20}$  parameter (Lotz et al. 2004), measured on stellar mass maps, is the most efficient way to distinguish clumpy irregular discs and genuine mergers. The probability of being a disc or a merger according to these parameters is coded using the background colours (note that the scale is logarithmic so discs strongly dominate all colour bins, except the last one). The *black symbols* show a mass-limited sample of star-forming galaxies at  $z \sim 2$  in this  $A - M_{20}$  plane (Cibinel et al. 2015). About two thirds of these objects are secure discs, and many others have a high probability of being a disc. The disc fraction is even larger when the sample is limited to Main Sequence galaxies, excluding the starbursts. Kinematic classifications by Förster Schreiber et al. (2009) are in close agreement with this morphological classification, when applied to the same galaxies (Figure courtesy of Anna Cibinel)

be increased by the presence of dense substructures, which are likely to arise given the high observed turbulent velocity dispersions (Padoan et al. 1999).

Testing the hypothesis of clumps coming from the outside as small companion galaxies joining a massive galactic disc through dynamical friction requires deep imaging, to be examined through stellar population studies at the scale of individual clumps (Förster Schreiber et al. 2011; Wuyts et al. 2012; Elmegreen et al. 2009). The vast majority of clumps appear younger than expected for small external galaxies at the same redshift. Although the young stellar content of giant clumps may bias the age estimates by outshining the older stellar populations, the comparison with small galaxies at the same redshift shows that the clumps are significantly younger than

small galaxies. Many clumps have estimated stellar ages of only about 100 Myr with no underlying old stellar populations, although such populations would be detectable in small galaxies.

If the clumps really form in-situ in their host galaxy, one should in theory sometimes capture the formation of the clumps during their first internal dynamical timescale ( $\leq 20$  Myr), and hence some clumps should have extremely young ages (about 10 Myr only). However, stellar population studies with broadband imaging with no spectroscopy cannot robustly distinguish such very young ages (Wuyts et al. 2012). Such candidates have recently been identified with deep imaging and spectroscopy (Zanella et al. 2015). Considering the merging of small external galaxies, around a central galaxy of a few  $10^{10} M_{\odot}$  of stars, a small companion of about  $10^9 M_{\odot}$  should be found within a projected distance of 10 kpc for about one third of galaxies.<sup>1</sup> If this satellite has not been fully disrupted by the galactic tides, its nucleus should be observed as a giant clump.<sup>2</sup> Such “ex-situ” clumps, with older average stellar ages and an underlying old population, are indeed found in some cases. For instance, a representative clumpy galaxy dissected in Bournaud et al. (2008) contains one clump which is much redder and older than the others, and also exhibits a larger deviation from the underlying disc velocity field, making external origin most likely for this one. Other candidates are found in Förster Schreiber et al. (2011) and appear also in the statistics of Wuyts et al. (2012). Nevertheless, such ex-situ clumps remain relatively rare: most observed clumps are actually different, with younger stellar ages (Elmegreen et al. 2009; Bournaud et al. 2008; Wuyts et al. 2012). The ability to identify such ex-situ clumps is actually reassuring that the non-detection of such old populations in the other clumps is robust, and probes their recent in-situ formation.

Since most of the clumps formed recently inside their host galaxy, and given that they are gravitationally bound (based on the observed stellar masses and velocity dispersions, e.g., Elmegreen et al. 2005; Genzel et al. 2008), their formation likely involves a gravitational (Jeans) instability in a rotating disc, sometimes also called Toomre instability. For such instabilities, leading to the formation of bound objects in a rotating disc to arise, the key requirement is that the Toomre (1964) parameter  $Q$  below unity.<sup>3</sup> Based on the observed rotation velocities and velocity dispersions (see previous sections) this typically requires gas density of the order of  $100 M_{\odot} \text{ pc}^{-2}$ , an order of magnitude larger than in nearby disc galaxies. This implies interstellar gas masses comparable to the stellar masses, i.e. gas mass fractions of about 50 %

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<sup>1</sup>This estimate is simply based on the mass function of galaxies and assuming a random geometrical distribution of satellites within the virial radius.

<sup>2</sup>With a kinematics that could become preferentially consistent with that of the host galaxy disc through gravity torques and dynamical friction within one galactic dynamical time, i.e. about 100 Myr.

<sup>3</sup>Although the Toomre  $Q$  parameter is strictly meaningful only in an axisymmetric disc before strong perturbations arise. Note also that in a thick disc the instability limit is about 0.7 rather than  $Q < 1$  (Behrendt et al. 2014, and references therein).

of the baryonic mass. Such high gas densities had long been found in the strongest starbursts galaxies (likely merger-induced) at all redshifts (e.g., Tacconi et al. 2008), but not in normal star-forming galaxies. The discovery that Main Sequence galaxies at redshift  $z > 1$  are actually very gas-rich with gas fractions of about 50 %, just counting the molecular content (Daddi et al. 2008, 2010; Tacconi et al. 2010, 2013), has shed a new light on this issue. These high gas fractions are estimated from CO line observations, and hence are subject to uncertainties on the conversion of CO luminosity to  $\text{H}_2$  mass. Yet, new data probing the CO molecule spectral line energy distribution (Daddi et al. 2015), compared to detailed modelling of the CO excitation and emission in high-redshift galaxies (Bournaud et al. 2015), confirm high luminosity-to-mass conversion ratios and high gas mass fractions. Furthermore, the high gas fractions are also confirmed by independent estimates based on dust properties (Sargent et al. 2014; Magnelli et al. 2014; Genzel et al. 2014).

Hence, the high inferred gas surface densities lead to Toomre  $Q$  parameters around unity for velocity dispersions of  $30\text{--}50 \text{ km s}^{-1}$ . The Toomre parameter would be even lower if the velocity dispersions were only a few  $\text{km s}^{-1}$  as is the case in nearby spirals, in which case the axisymmetric gravitational instability would arise. All numerical experiments modeling discs with masses, sizes and gas fractions, representative for the high-redshift star-forming galaxies discussed above do show clump formation through gravitational instability (see Noguchi 1999; Immeli et al. 2004a; Bournaud et al. 2007, and the more detailed models discussed hereafter). The gravitational stirring of the gas ensures that the turbulent velocity dispersions do not stay below the observed level of  $\sim 50 \text{ km s}^{-1}$ . Namely, the gas turbulent motions may also be powered by infall and stellar feedback, as we will review in the next sections. But at least the release of gravitational energy through the instability is sufficient to maintain high turbulent dispersions and self-regulate the disc at a Toomre parameter  $Q \simeq 1$ .

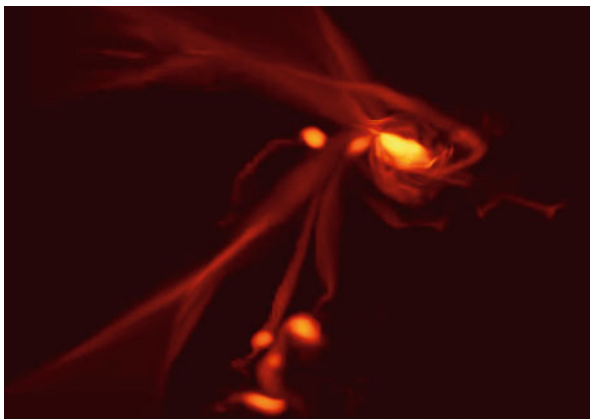
The high velocity dispersions imply that the Jeans mass (or Toomre mass) is high, typically  $10^8 - 10^9 M_\odot$ . This sets the high mass of the giant clumps forming through the associated instability. The properties of the instability in these discs was also modeled through analytic models by Dekel et al. (2009b), with results in close agreement with those of numerical models, but using simpler analytic estimates. The giant clumps with a high characteristic masses observed in high-redshift galaxies, can thus be considered as the direct outcome of the disc instability. This is robustly expected from the basic observed properties (mass, size, rotation speed and gas fraction) of these high-redshift galaxies. This probably applies to most of the observed giant clumps, except the few ex-situ clump (minor merger) candidates with older stellar ages (see above). Note that the gravitational instability actually arises in a two-component disc with roughly half of its mass in gas, and half in stars: we refer the reader to Jog (1996) and Elmegreen (2011) for theoretical work on the two-component stability, and Behrendt et al. (2014) for a detailed analysis of the disc stability in numerical simulations. The process is qualitatively unchanged compared to the  $Q \simeq 1$  self-regulated instability in a single-component disc described above. Furthermore the gaseous and stellar velocity dispersions are



probably nearly similar in these high-redshift galaxies (e.g., Bournaud et al. 2007) in which case the single-component stability analysis applies.

### 13.2.4 *The Formation of Gas-Rich Clumpy Unstable Galaxies in the Cosmological Context*

The irregular and clumpy structure of high-redshift star-forming galaxies is the outcome of their high gas fractions and densities. Theoretically, these high gas fractions are explained by the high rates of external gas infall, which is not compensated by high star formation rate consuming the gas reservoirs, being rather preserved in a long-lasting steady state in the Main Sequence galaxies. Recent cosmological models have highlighted the fact that high-redshift galaxies mostly accrete their baryons in the form of cold diffuse gas, rather than hot gas reservoirs or companion galaxies (Dekel et al. 2009a; Brooks et al. 2009), which further helps the gas to rapidly join the cold star-forming disc. At the opposite, too large contribution of galaxy mergers in the cosmological galaxy growth budget would form massive stellar spheroids (bulge or stellar halo) too early. That would also stabilize the  $z \simeq 2$  galaxies against giant clump formation, for having a much lower turbulent speed and characteristic mass for any residual disc instability at  $Q \simeq 1$  (Bournaud and Elmegreen 2009). The cold accretion streams do not directly join the star forming disc (see Fig. 13.3). They might be affected by the hot circumgalactic gas (Nelson et al. 2013), and more importantly, the streams need to dissipate



**Fig. 13.3** Primordial galaxy fed by three cold streams of gas, in an idealized high-resolution simulation based on typical parameters measured in cosmological simulations (Gabor and Bournaud 2014). The flows join the disc through a turbulent interface with extended circumgalactic reservoirs, before the gas dissipates its energy and feeds the cold star-forming disc, which keeps a high gas fraction, high velocity dispersions self-regulated at a Toomre parameter  $Q \simeq 1$ , and a clumpy irregular morphology (image size:  $100 \times 70$  kpc)

the high kinetic energy from the infall, possibly by turbulent dissipation in circumgalactic regions (Elmegreen and Burkert 2010; Gabor and Bournaud 2014) and/or forming extended rotating reservoirs, which would gradually feed the dense star-forming disc (Danovich et al. 2014). Yet, detailed cosmological simulations show that part of the streams can directly feed the central few kiloparsecs of galactic discs in less than one dynamical time (Danovich et al. 2014).

As a result of the high accretion rates, galaxies in cosmological simulations around redshift two have high gas fractions. Actually, modern simulations still have difficulties to preserve sufficient gas reservoirs by avoiding excessive star formation at early epochs (see Dekel and Mandelker 2014, and references therein). Nevertheless, simulations with detailed stellar feedback models do produce some steadily star-forming galaxies with up to  $\sim 30\text{--}40\%$  of gas at  $z = 2 - 3$ .<sup>4</sup> These simulations (see more details in the next Section) display the expected gravitational instability for such gas-rich discs producing giant clumps and other dense features by gravitational instability (Agertz et al. 2009; Ceverino et al. 2010, 2012). Only a limited fraction of the clumps are “ex-situ” clumps, resulting from the accretion of small nucleated companions or external gas clumps (Mandelker et al. 2014), fully consistent with the observations reviewed above.

### 13.3 Mechanisms of Bulge Growth Through High-Redshift Disc Instabilities

Knowing the properties of high-redshift star-forming galaxies from the previous Section, in particular the fact that they are subject to a violent clump instability, rather than a weak axisymmetric instability as in nearby barred spirals, we now review the mechanisms through which bulge growth can be triggered and regulated by this instability mode.

#### 13.3.1 Clump Migration and Coalescence

A giant clump in a high-redshift galaxy disc, with a mass of a few  $10^{8-9} M_{\odot}$ , could behave like a dwarf companion galaxy of a similar mass, except that being dark matter free the mass distribution would be spatially more concentrated. In particular, such a giant clumps undergoes dynamical friction on the underlying gaseous and stellar disc and dark matter halo. Through this process it dissipates its large-scale kinetic energy and angular momentum through increasing the velocity dispersion (i.e., the internal kinetic energy) of the disc and halo. This leads to inward migration

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<sup>4</sup>Although these *total* gas fractions seem to remain lower than the observed *molecular* gas fractions, especially if these are the most gas-rich galaxies in simulated samples.

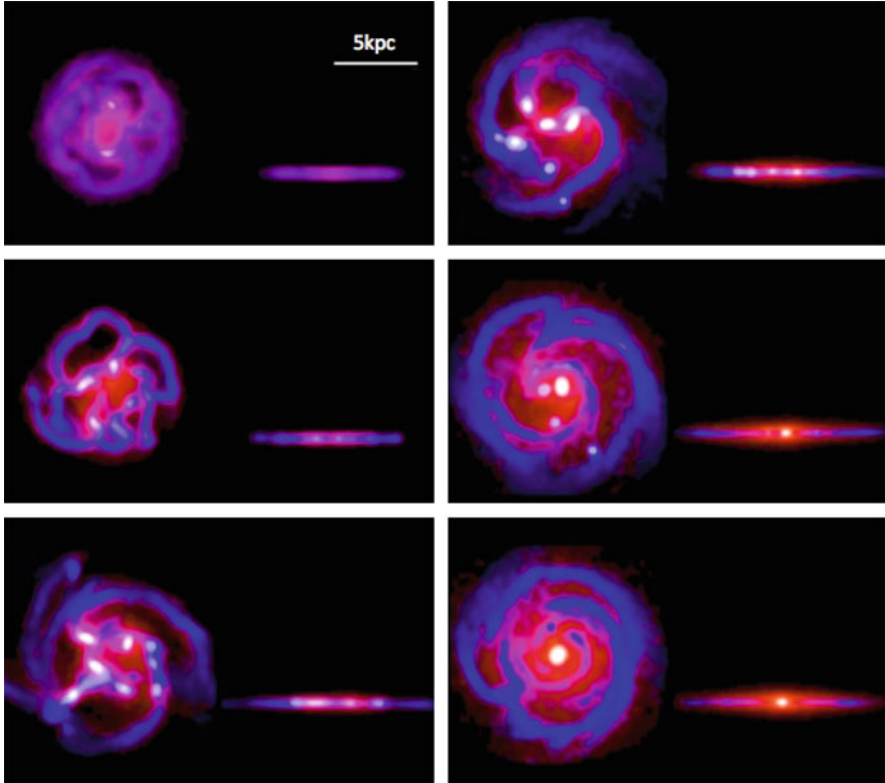
of the giant clump until it reaches the galaxy center – like in a minor galaxy merger. In addition, as the clumps lie in the disc plane, they also undergo gravity torques from other regions of the disc. A clump that forms in a purely rotating disc will break the symmetry of the mass distribution in the disc plane, and induce a kinematic response in the form of a spiral arm or tidal arm, denser than the average disc (Bournaud 2010). This over-dense region will then exchange angular momentum with the clump itself. If most of the mass lies at radii larger than the clump in the galactic disc, as is the case as soon as the disc is sufficiently extended radially, the strongest gravity torques will point from this arm towards the clump. Given that the outer disc has a slower angular velocity than the clump, this arm is trailing with respect to the rotation of the disc, so that the gravity torques exerted on the clump are negative. These gravity torques are thus removing angular momentum from the clump, which accelerates the inward migration already resulting from the dynamical friction process. This torquing process is most efficient in a gas-rich disc as the cold gas component makes the tidal arm response stronger than in a pure stellar disc.

Hence, the clump migration process involves both dynamical friction and gravity torques. Many numerical simulations have been used to study clump migration and estimate the migration timescale, starting with those of Shlosman and Noguchi (1993) and those of Noguchi (1999), the latter being directly motivated by the first observations of chain galaxies by Cowie et al. (1996).

A more detailed treatment of the hydrodynamics and interstellar gas physics was introduced by Immeli et al. (2004a,b). The simulations of Bournaud et al. (2007) were further designed to correspond to the observed properties of star-forming galaxies in the Hubble Ultra Deep field at redshifts  $z = 1-2$ , with stellar masses of  $10^{10}-10^{11} M_{\odot}$  (see Fig. 13.4). In their simulations the migration timescale of giant clumps to the galaxy center is of the order of 300–500 Myr, depending on the clump initial formation radius, and also of its interaction with the other giant clumps and dense features in the disc. All of the experiments above are idealized models of isolated galaxies, lacking external replenishment of the disc either by cosmological gas infall, accretion of smaller galaxies, or by a few bigger mergers. As a result of star formation the gas fraction gradually decreases, and this can lead to over-estimating the clump migration timescale, as noted for instance by Ceverino et al. (2010). However the clump migration process is so rapid (for clump masses above  $10^8 M_{\odot}$  at least) that the gas fraction decreases by less than a third of its initial value over this. This is not larger than any other uncertainties, like the fact that it is not possible to evaluate the gas reservoir of atomic gas in these galaxies. Indeed, cosmological simulations with external mass infall have reproduced the clump formation and migration processes, and they found clump migration timescales that are consistent with the above models, or just slightly shorter.<sup>5</sup>

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<sup>5</sup>Note that shorter migration timescales in cosmological simulations may also arise if the galaxies are too compact, or have too concentrated dark matter halos enhancing the dynamical friction process. Actually, the cosmological simulations of redshift two galaxies tend to have too low gas fraction because of some largely unexplained early consumption of the gas (e.g., Ceverino et al.



**Fig. 13.4** Simulations of a gas-rich (50% gas fraction) disc galaxy with initial parameters representative for star-forming galaxies at  $z \simeq 2$ . The unstable gaseous disc forms a ring that quickly fragment into giant clumps. The clumps migrate inward and coalesce into a bulge, while the stellar disc is significantly thickened, and the disc radial profile, initially flat, is re-distributed into an exponential. The bulge formed here is a classical bulge with a Sérsic index of 3.5–4.0. *Blue codes* gas-dominated regions and *red codes* star-dominated ones. Snapshots are separated by 100 Myr. Simulation from Bournaud et al. 2007

### 13.3.2 Possible Evidence of Clump Migration

An observational signature of inward clump migration, if they survive stellar feedback (see below), could be an age gradient with older clumps found at smaller radii. This is extensively quantified in the simulation sample of Mandelker et al. (2014). In detail clump migration does not imply that young clumps cannot be found at small radii: external gas can feed high gas fraction in the inner disc (Danovich

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(2012) and Kereš et al. (2012), with typical gas fractions at best around 30% at  $z = 2$  even counting all the cold gas within a large radius).

et al. 2014) and new clumps can form at small radii in gas-rich discs, except in the central 1–2 kpc, because the innermost regions are stabilized by strong shear and bulge mass (Bournaud et al. 2007; Mandelker et al. 2014). The expected signature is rather an absence of aged clumps in the outermost disc, because clumps formed there might have migrated inward during the last 100–200 Myr. Exceptions could still be found for moderate-mass clumps which can be scattered out to large radii in the interaction with bigger clumps.

Observationally, statistical samples or resolved clumps remain limited, and their ages are hard to estimate. Not only the age of stars in a clump is not a direct tracer of its age (because clumps loose and re-accrete material, see next Section), but also, the stellar age estimators are strongly dependent on many parameters, such as the assumed star formation histories, especially at high redshift (Maraston et al. 2010). Nevertheless, an age gradient is tentatively observed by Förster Schreiber et al. (2011), in quantitative agreement with clump migration and central coalescence within a timescale of at most 500 Myr (see also Guo et al. 2012, 2014).

### 13.3.3 *Stellar Feedback, Outflows, and the Clump Survival Issue*

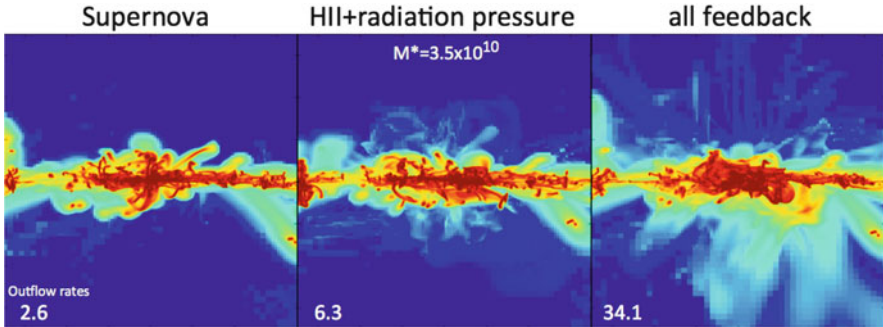
A key issue in the process of inward clump migration (and subsequent coalescence into a central bulge) is their response to stellar feedback. In nearby galaxies molecular clouds are estimated to have short lifetimes of the order of 10–20 Myr, under the effects of supernovae explosions and other feedback processes (Hennebelle and Falgarone 2012; Murray 2011). While giant clumps are typically a thousand times more massive than the biggest gas clouds in the Milky Way, they are also ten times larger in all dimensions, so that their 3-D mass density is not necessarily much higher. As they form stars at high rates, of a few  $M_{\odot} \text{ yr}^{-1}$  per clump (Elmegreen et al. 2007; Förster Schreiber et al. 2009; Wuyts et al. 2012), the released energy per unit gas mass is of the same order as that found in nearby star-forming clouds, or is slightly higher. This raises the important question of clump survival against stellar feedback. In particular, having a released feedback energy per unit gas mass of the same order as in nearby molecular clouds, does not mean that the giant clumps will be disrupted in a similar way or on a similar timescale: their gas also lies in a deeper gravitational potential well.

A first attempt to address this issue is in Elmegreen et al. (2008) who concluded that if feedback was strong enough to disrupt the giant clumps within their migration timescale, it would also severely thicken the gas disc and heat the stellar disc well above the observed levels, without also disrupting any pre-existing rotation-dominated stellar disc. This was however based only on energetic supernovae feedback, while other stellar feedback mechanisms might be more likely to disrupt clumps without also completely disrupting the host galaxies. In particular, radiation pressure from young massive stars on the surrounding gas and dust may inject

enough angular momentum into the clumps to disrupt the clumps (Murray et al. 2011).

It has long remained difficult to address this issue in numerical simulations, mostly because stellar feedback can only be modeled through uncertain sub-grid models, even if modern hydrodynamic simulations of galaxies can reach sub-parsec spatial resolutions with mass resolution elements of the order of  $100 M_{\odot}$  (Renaud et al. 2013). In fact, even the star formation rate which determines the powering rate of feedback relies on sub-grid models. Even if the star formation rate of entire galaxies or giant clumps is realistic compared to observations, changing the sub-grid model may significantly alter the spatial distribution of star formation, especially in resolution-limited simulations. A reassuring point is that idealized simulations of galactic physics can now model gaseous structures up to densities of  $10^6 \text{ cm}^{-3}$  or more without being at their spatial resolution limit yet: the typical Jeans lengths at such high densities remain larger than a few of resolution elements (without even requiring to add a temperature or pressure floor, Renaud et al. 2013). The fact that stars form with a quasi-universal efficiency in such dense gas (Krumholz and McKee 2005; Gao and Solomon 2004; García-Burillo et al. 2012) implies that at least the first step of star formation in the dense gas is explicitly resolved in these simulations. The subsequent sub-grid modeling of star formation at fixed efficiency in high-density gas is consistent with the observations, down to scales much smaller than that of giant clumps. This is now achieved in idealized simulations, but unfortunately remains out of reach of cosmological simulations so far.

The modeling of supernovae feedback is highly uncertain, in particular because it is often done through thermal dumps of the released energy heating the surrounding gas, while real supernovae remnants include a large fraction of their energy in non-thermal processes, which dissipate on slower timescales (Teyssier et al. 2013). Furthermore, models including the other kind of feedback processes such as stellar winds, photo-ionization, and most importantly radiation pressure were developed only recently (Hopkins et al. 2013; Renaud et al. 2013, see Fig. 13.5). The modeling of radiative feedback remains sub-grid in galaxy simulations and includes free parameters. An important one is the number of scattering events that a photon can undergo in gas cloud before escaping from the cloud (Murray et al. 2011). Another key parameter is the initial mass loading, namely whether the available energy or momentum is diluted into a large or a small mass (and volume) of gas. This loading parameter remained unresolved in numerical simulations until recently, and was sometimes adjusted to generate ad hoc galactic outflows and study their fate (e.g., Oppenheimer and Davé 2006; Genel et al. 2012). The highest resolution simulations of galaxies now become capable of resolving the typical distance over which photons from young stars redistribute their momentum into the ISM and start to estimate this loading factor from physical principles. However, explicit radiative transfer calculations robustly resolving these typical scale lengths are out of reach from galaxy-scale models and become feasible only in cloud-scale or clump-scale simulations.



**Fig. 13.5** Edge-on views of three simulations of the same gas-rich clumpy galaxy that has been evolved with different stellar feedback models during the last 80 Myr (from left to right: supernovae only, photo-ionization and radiation pressure only, and all mechanisms together, respectively). The gas density is shown and the outflow rates are indicated in the panels in  $M_{\odot} \text{ yr}^{-1}$  (measured 2 kpc above/below the disc mid-plane). Outflows are launched by the giant clumps, and the models show the strongly non-linear coupling of feedback mechanisms: the total outflow rate in the simulations with all feedback processes together is well above the sum of the outflow rate in the independent cases. Similar non-linear coupling was noted by Hopkins et al. (2013). These simulations use the feedback models proposed by Renaud et al. (2013) and are similar to those presented in Bournaud et al. (2014), with 3 pc spatial resolution

Some models of gas-rich galaxies with intense feedback have found that the giant clumps could be short lived, even with clump masses of the order of  $10^9 M_{\odot}$ . This is the case for instance in the cosmological simulations from Genel et al. (2012), or in the idealized models of Hopkins et al. (2012). It is nevertheless remarkable that in these short-lived clumps models the clump lifetimes are very short, not larger than 50 Myr, hence appearing inconsistent with the stellar ages estimated for real clumps, often reaching 100–200 Myr and more (see above and Wuyts et al. 2012). In these models, the clump disruption is obtained in one or two generation of star formation and evolution, rather than through gradual, steady outflows on the longer term. Models with strong feedback and no long-lived clumps actually tend to lack giant clumps, strongly reducing the mass and/or number of clumps formed. This happens at such a level that the models are inconsistent in forming the majority of observed clumps by in-situ instability, as highlighted recently in the simulations of Tamburello et al. (2014). However, in such models where in-situ clump formation is suppressed, a different (ex-situ) origin of clumps is not explained. In particular their stellar population ages can hardly be reconciled with minor mergers – minor mergers can actually be identified as a source of sub-population of clumps that contain older stellar populations (Bournaud et al. 2008; Elmegreen et al. 2009), but these are only a small fraction of giant clumps. The suppression of in-situ giant clump formation obtained in the models of Tamburello et al. (2014) could in fact result of the low surface density of the discs in their initial conditions, which were

inspired by cosmological simulations (which in turn may consume the disc gas too early). They were not based on the observed gas surface densities estimated from detailed analysis of the dust properties (Sargent et al. 2014; Genzel et al. 2014), or carbon monoxide spectral line distribution studies (Daddi et al. 2015). Hence, a common drawback of all theoretical models, without long-lived clumps, is that either clump formation is suppressed or the clump formation/disruption cycle is very short ( $<50$  Myr). In any case, this appears inconsistent with the observations that commonly probe clump stellar ages of 100–200 Myr or even more than that. On the other hand, for long-lived clumps in models, in a typical star-forming galaxy of stellar mass  $10^{10-11} M_{\odot}$ , the migration timescale from the clump birth site to the galaxy central kpc should be 300–500 Myr, which appears to be slightly longer than the observed average stellar ages in giant clumps. This led Wuyts et al. (2012) to argue that clump disruption might be faster than clump inward migration. Yet, the clump stellar population ages provide only a lower limit to the real ages of clumps (see next paragraphs in this Section).

Actually, simulations with a thorough accounting of stellar feedback processes, including not just supernovae, but also radiation pressure and other feedback mechanisms, do not necessarily predict short-lived clumps. In contrast with Genel et al. (2012) and Hopkins et al. (2012), models in Perret et al. (2014), Bournaud et al. (2014) or Ceverino et al. (2014) include non-thermal and radiative feedback schemes and do find long-lived giant clumps – at the same time they do correctly predict short lifetimes for gas clouds below  $10^7 M_{\odot}$  like in low-redshift galaxies. A different approach to feedback modeling by Perez et al. (2013) also find long-lived clumps for any acceptable amount of stellar feedback. That is the case even when strong outflows are launched by the giant clumps and their host galaxies, with outflow rates consistent with the observations obtained by Newman et al. (2012) and Genzel et al. (2011).

Important constraints on the lifetime of giant clumps and their ability to migrate inward toward bulges result from the fact that giant clumps are not quasi-closed-box entities, but rather steadily exchange mass with the surrounding interstellar medium in the host galaxy, either via outflows or inflows of both gas and stars. Hence the ages of stars that lie inside a given clump at a given instant are not equal to the age of this clump. Clumps have a wave-like behavior, although the pattern speed of the  $m = 0$  instability is almost equal to the disc rotation speed. Clumps may loose gas through stellar feedback, but more generally they loose material through gravitational tides. At the clump half-mass radius, the gravitational force from the entire clump is only a few times larger than that from the entire galaxy. In other words, clump densities are only marginally higher than the limiting tidal density (Elmegreen and Elmegreen 2005) and clumps gradually loose aged stars by dynamical evaporation toward the galactic potential well (Bournaud et al. 2007).



The clumps have a large cross section (of the order of  $0.1\text{--}1.0\text{ kpc}^2$ ). They wander in a disc that contains substantial amount of gas, even outside the giant clumps themselves.<sup>6</sup> Given this large cross-section of clumps, their low relative velocity of  $10\text{--}50\text{ km s}^{-1}$  (with respect to surrounding gas), and a density of  $\sim 10\text{ cm}^{-3}$ , accretion rates of  $1\text{--}10\text{ M}_\odot\text{ yr}^{-1}$  onto each giant clump are expected via pure ballistic capture. The gravitational potential well associated to the giant clumps may actually enhance the accretion. The first detailed estimates of this process were provided by Dekel and Krumholz (2013). Detailed hydrodynamic simulations using the AMR code (Teyssier 2002), which has a very high resolution of  $3\text{--}6\text{ pc}$ , and include detailed feedback models combining supernovae, photo-ionization and radiation pressure, were presented in Bournaud et al. (2014, see also Perret et al. 2014). These simulations confirmed that, independently on the details of stellar feedback and its “strength”, clumps accrete fresh gas at a rate of a few solar masses per year. This gas accretion onto the clumps roughly compensates for both the gas consumption through star formation, and the losses of gas and stars, by gaseous outflows and by dynamical evaporation of aged stars. This means that the clump actually evolves in a steady state, which can be described by a so-called “bathtub model” more commonly used for entire galaxies (Bouché et al. 2010): the gas infall rate is equal to the sum of the star formation rate and gas outflow rate, keeping the total mass constant, thus letting the system to evolve in a steady state. The idea behind the steady state regulation is that any increase in the gas infall rate will be compensated for by the star formation rate, which has a non-linear response, and vice-versa for any decrease in the gas infall rate. The clump mass is then almost stabilized, with some fluctuations around its initial mass.

An important prediction of the long-lived clump scenarios is that the average age of stars contained by a given giant clumps is younger than the actual clump age, measured since its formation by gravitational collapse of the gas-rich disc. The clumps experience a moderate starburst during their first  $10\text{--}20\text{ Myr}$ , before feedback regulates star formation in a steady state regime (Zanella et al. 2015). Then, they continue to form stars steadily at a higher rate than a closed-box system, due to (re-)accretion of gas from the larger-scale galactic reservoirs. This keeps the average stellar age younger than the clump age. Furthermore, aged stars leave the clump gradually due to the effects of dynamical heating and evaporation, traveling toward the galactic tidal field. As a result, the stellar age becomes even younger than the clump age. Typically stellar ages of  $100\text{--}200\text{ Myr}$  are predicted, for real clump ages of  $300\text{--}500\text{ Myr}$ . In long-lived clump models, the stellar age of giant clumps

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<sup>6</sup>The presence of large amounts of gas between the giant clumps cannot be mapped spatially in CO surveys yet, but is predicted in the idealized and cosmological simulations of gas-rich unstable discs cited above, and confirmed by two observational arguments: (1) the emission from young stars in the ultraviolet contains a widespread component behind the giant clumps, tracing relatively dense gas (Elmegreen and Elmegreen 2005) and (2) the CO spectral line energy distribution has two components, a high-excitation one attributable to dense clumps, and a low-excitation one corresponding to lower-density, large-scale background gas reservoirs (Daddi et al. 2015; Bournaud et al. 2015).

tends to saturate at 200–250 Myr even for clumps that live more than 500 Myr (Bournaud et al. 2014).

If clumps were disrupted by stellar feedback-driven outflows, the same processes of mass loss and accretion onto the clumps, which are driven by gravitational dynamics, would still be present. Hence the stellar ages would still set a firm minor limit to the ages of the clumps. Hence the observed stellar ages of giant clumps, typically of at least 100–200 Myr (Wuyts et al. 2012; Guo et al. 2012), show evidence that clumps are not disrupted in a few tens of Myr as predicted by some feedback models – actually, all the short-lived clump models reviewed above predict lifetimes smaller than 50 Myr. The observed stellar ages appear consistent with only those models including clump survival and migration toward the galactic center. The ultimate limiting factor to the clump lifetime seems to be their coalescence with other clumps or with the galactic bulge, explaining why Gyr-old giant clumps are not observed either.

A typical star-forming galaxy at redshift  $z = 1 - 3$  can thus be expected to experience the migration and central coalescence of giant clumps of  $10^{8-9} M_{\odot}$  of gas and stars.<sup>7</sup> Given the observed (and simulated) number of clumps per galaxy, combined with their theoretical lifetimes and observed stellar ages, the central coalescence of a giant clump should typically occur at a rate of  $10 \text{ Gyr}^{-1}$  for a galaxy of stellar mass  $10^{10-11} M_{\odot}$ , i.e. one giant clump every  $10^8$  years. If the unstable steady state lasts 2 Gyr, this means that the baryonic mass reaching the bulge can be of order of  $10^{10} M_{\odot}$  – or even higher without strong stellar feedback. This is because in such case the giant clumps have masses that increase via accretion of the surrounding gas without any outflow regulation.

While the mass reaching the bulge can be very high, we will see later that it does not necessarily mean that too massive bulges are formed. In fact, a large fraction of the mass is still gaseous and can be expelled outward and/or form a central rotating disc rather than a bulge. In the next Sections we first examine the structural properties of the bulges formed by the central coalescence of giant clumps, and then review the issue of bulge mass fraction.

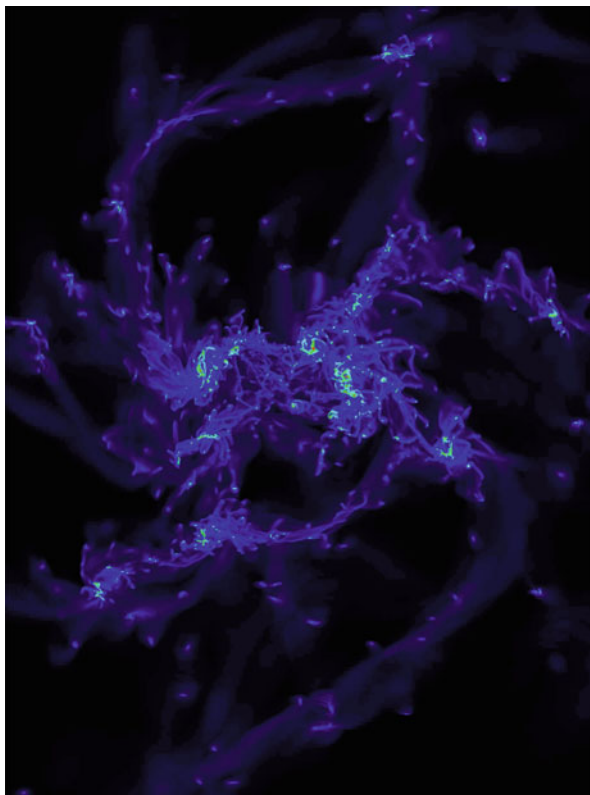
### 13.3.4 *Instability-Driven Inflows*

Another mechanism associated to giant clumps and disc instability, but different from giant clump migration and coalescence, can also grow the central mass concentration, and potentially also the bulge mass, in high-redshift disc galaxies. Giant clumps and other dense features, formed by gravitational instability, exert gravity torques on the rest of the disc’s gas, which transfers angular momentum. Clumps are located close to their own corotation radius (or slightly inside their

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<sup>7</sup>Note that the clumps remain gas-rich as they re-accrete gas and lose aged stars, which compensates for the gas depletion through star formation and gaseous outflows.

**Fig. 13.6** Face-on view of the gas in a high-redshift galaxy simulation (image size:  $8 \times 12$  kpc). The galactic rotation is counter-clockwise. Note the spiral armlets which are often on the leading side of giant clumps inside the clump radius, and on the trailing side in the outer disc. Gravitational torques from the clumps onto this inter-clump gas drive a continuous inflow of gas toward the galaxy center. Visualization produced with the SDvision software (Thooris and Pomarède 2011)



corotation if dynamical friction has slowed down their rotation speed compared to the rest of the disc material). Material located at smaller radii in the disc thus rotates faster than the clumps, in terms of angular velocity. It then responds mostly as a leading tidal arm, found on the leading side of the clump, compared to the galactic rotation. The presence of multiple clumps and other features can make the tidal pattern hard to identify. A striking example of this phenomenon in simulations is shown in Fig. 13.6.

The material on the leading side of a giant clump undergoes negative gravity torques and loses angular momentum. The material in the outer disc gains angular momentum in exchange. The process is similar for any instability that breaks the disc symmetry (e.g., Combes and Gerin 1985; Bournaud et al. 2005). However, the gravity torques in the case of clump instabilities at high redshifts are typically 10–20 times larger than in case of secular instabilities (namely, spiral arms and bars) at low-redshifts: it can transfer outward even 100 % of the initial angular momentum in just one rotation period (Bournaud et al. 2011), compared to 5–10 % per rotation period for strong bars in low redshift spirals (Bournaud et al. 2005). The corresponding mass inflow rate for a typical high-redshift star-forming galaxy is then of the order of  $10 M_{\odot} \text{ yr}^{-1}$  or more.

The gravity torques between clumps and non-axisymmetric features are the main mechanism through which gravitational energy is pumped into the interstellar gas. As reviewed in the previous Section, these unstable discs evolve in a self-regulated regime when  $Q \simeq 1$ , with high velocity dispersions, i.e.  $\sigma \sim 50 \text{ km s}^{-1}$ . Turbulent energy in the interstellar medium typically dissipates in a local crossing-time so that more energy needs to be pumped into the turbulent cascade in steady-state systems (e.g., Mac Low 1999; Bournaud et al. 2011). The specific energy loss rate is then  $\sigma^2/(2\tau)$  where  $\tau$  is about 10 Myr, the energy being dissipated mainly through small-scale compression and shocks that heat the gas, which subsequently radiates the energy away. The radiative losses are balanced by the global inflow of gas down the galactic gravitational potential at a mass inflow rate  $\dot{M}$ , releasing an energy rate  $\dot{M}V_c^2/2$  for a galactic circular velocity  $V_c$ . Typical outflow rates estimated for high-redshift star-forming galaxies are of the order of  $\sim 10 M_\odot \text{ yr}^{-1}$ . This compensates the turbulent dissipation in a steady state, which is estimated to be  $\sigma^2/\tau \equiv \dot{M}V_c^2$  (Elmegreen and Burkert 2010; Bournaud et al. 2011; Genel et al. 2012).

Studies of the instability-driven inflow (see for instance Krumholz and Burkert 2010; Elmegreen and Burkert 2010; Bournaud et al. 2011) highlight the fact that the inflowing gas is not fully consumed by star formation. Actually, a large fraction of the initial inflow, several solar masses per year, typically flow onto the central kpc region or “bulge region”. The gaseous inflow will not necessarily feed a classical bulge as the material is dissipative, but it feeds the central star formation, at a rate of a few solar masses per year. This is consistent with observations showing that this “bulge region” (about the central kpc) has younger stellar populations and more sustained star formation in the most unstable/clumpy galaxies, than in smoother discs of similar mass and redshift (Elmegreen et al. 2009, 2013). The instability-driven inflow thus increases the central concentration of gas *and* young stars, while the central stellar mass can be scattered into a pressure-supported spheroidal bulge during subsequent relaxation events (which can include: coalescence of other giant clumps, major interactions or minor mergers). Few simulations have studied the outcome of the central mass concentration grown by this inflow, compared to direct bulge growth by central coalescence of clumps, mostly because the two processes would be hard to distinguish. Yet, it seems clear that the stellar mass gathered into the central kiloparsec by the clump migration and instability-driven inflow, ends-up in a bulge-like structure rather than just into the innermost regions of a radially-concentrated rotating disc: it comes in excess of the disc exponential mass profile, and has high velocity dispersions and weak residual rotation (Elmegreen et al. 2008; Inoue and Saitoh 2011; Bournaud et al. 2011).

The absence of violent relaxation in the process of instability-driven gaseous inflow should produce only a so-called pseudobulge, namely a low Sérsic index structure with substantial residual rotation. Yet, subsequent relaxation through clump coalescence and/or mergers can make this mass contribute also to a so-called classical bulge, i.e. a highly concentrated structure with virtually no angular momentum left. This global picture has not been studied in detail for disc-dominated galaxies with the Milky Way mass, or up to  $10^{11} M_\odot$ , but it has been studied for more massive galaxies. As explained in Sect. 13.5, these massive galaxies become

compact spheroid-dominated via the violent disc instability processes. The global instability driven inflow plays a major role in turning the initial discs into compact concentrated objects, whereas relaxation induced by giant clumps and some mergers turn them into “classical” spheroids (see also Zolotov et al. 2014).

### 13.3.5 *Properties of Bulges from High-Redshift Disc Instability*

The properties of bulges resulting from the violent instability of high-redshift disc galaxies remain uncertain, as they largely depend on the lifetime and evolution of clumps against stellar feedback. If the clumps are short-lived, disrupted by feedback faster than their inward migration timescale, there is still a diffuse inflow of inter-clump gas driven by the instability (see above, Hopkins et al. 2012, and Bournaud et al. 2011). This will grow a low-concentration pseudobulge if no other relaxation process will affect the central region. On the other hand, the models with long-lived clumps, whose properties are also more consistent with the observed ages of the clumps and their outflow rates, find that the instability can make classical bulges. After repeated clump coalescence (Elmegreen et al. 2008), and due to short star-formation timescales (Immeli et al. 2004b) these bulges have a low rotational support and high Sérsic indices. Bournaud et al. (2007) have shown that for models scaled to the observed properties of galaxies in the Hubble Ultra Deep Field, the disc material is redistributed into an exponential profile during clump migration and classical bulge growth.

Nevertheless, these first models included only supernova-like feedback schemes and lacked a more complete accounting of stellar feedback processes. The full series of stellar feedback processes regulates the mass and gas richness of clumps even if they remain long-lived. Inoue and Saitoh (2012) proposed that the resulting bulge could rather be a pseudobulge, even if its stellar population is old and metal-rich. Nevertheless it seems still possible to grow highly concentrated classical spheroids, with detailed stellar feedback models, at least at high galactic masses (Ceverino et al. 2014; Zolotov et al. 2014). The amount of relaxation was shown to be sufficient to form classical bulges with high Sérsic indices at the centers of exponential discs (Ceverino et al. 2014). Yet, strong subsequent evolution can occur, and even such basic parameters like the bulge-to-disc mass ratio (B/D) can largely evolve between the high-redshift unstable phases and the present-day galaxies (Martig et al. 2012). Bekki and Cioni (2007) highlighted some possible signatures of possible giant clumps and their contribution to present-day bulges.

Thus, a consensus on the resulting bulge properties (mass and type) is far from being reached. The recent efforts have mostly focused on understanding the nature of the giant clumps and their own evolution with respect to star formation and feedback. Note also that the central coalescence of clumps, while it may induce enough relaxation to produce classical bulges, can significantly reduce the central density peak of the dark matter halo (Elmegreen et al. 2008; Inoue and Saitoh 2011).

This could be a way to erode the central cusp produced by hierarchical growth in dark matter halos.

### 13.3.6 *Associated Thick Disc Growth*

Another interesting mechanism associated to the instability of high-redshift discs is that pre-existing stars, and stars that formed outside the giant clumps or have left the giant clumps, are rapidly scattered vertically by the local gravitational potential wells associated to the clumps themselves. The scale-height of the stellar disc rapidly increases, thus forming a very thick stellar system ( $\geq 1 - 2$  kpc). Even if the thin disc mass doubles between redshift two and redshift zero, and the old thick disc tends to shrink back by gravitational response (see Villalobos et al. 2010), a thick disc will remain, having a typical scale height of 500–1000 pc. This instability-induced thick disc is decoupled from the younger thin stellar disc formed at lower redshifts: this thick disc will appear as a distinct component in the vertical profile, rather than being just a low-density tail in that profile (Bournaud et al. 2009).

An interesting property of the thick discs formed through this instability mechanism is that its growth is concomitant to bulge growth, possibly accounting for chemical similarities between the two (Chiappini et al. 2009; Chiappini 2009). Another noticeable property of the thick disc is its fairly constant radial thickness throughout the surface brightness profile. While this fails to account for the outer flaring observed for thick discs, which is probably better explained by minor mergers and distant tidal interactions (Villalobos and Helmi 2008; Di Matteo et al. 2011), it does successfully account for the presence of a thick disc in the innermost regions, around central discs and bulges, as observed by Dalcanton and Bernstein (2002). This latter property could not be explained by minor mergers and tidal interactions, which stir and thicken preferentially the low-density outer regions of the stellar disc (Villalobos and Helmi 2008; Bournaud et al. 2009; Martig et al. 2012; Di Matteo et al. 2011). At the same time, clump instability cannot account for all observed thick disc properties and a contribution of other processes such as minor interactions and mergers are likely required, too (Inoue and Saitoh 2014).

Therefore, while interactions and mergers appear needed to explain the outer structure of thick discs, clumpy disc instabilities at high redshift are required to explain their inner one. An interesting property of thick discs is that the fraction of the stellar mass that they gather is larger in later-type galaxies with small bulge fractions (Yoachim and Dalcanton 2006). This relation might be explained by clump-driven bulge growth and disc thickening, if the early-formed stellar mass is distributed between the bulge and the thick disc, with preference for the bulge at high total mass and preference for the thick disc at lower total mass. The relation between bulge properties and thick disc properties, potentially resulting from the role of high-redshift disc instabilities in bulge growth, was further outlined by Comerón et al. (2014) who argue for concurrent growth of the thick disc and central bulge mass concentrations in the past history of today's spiral galaxies.

### 13.3.7 *Is Bulge Formation Too Efficient? Stellar Feedback and Bulge Growth Regulation*

A key question related to bulge formation or growth by disc instabilities is whether this mechanism would over-predict bulge formation. The standard  $\Lambda$ CDM galaxy formation models already tend to over-produce bulges and spheroids at the expense of high angular momentum discs, even when violent disc instabilities and giant clumps are neglected, especially in the galaxy mass range of  $10^{10} - 10^{11} M_{\odot}$ . At best, some models with baryonic physics may result in an acceptable distribution of stars among bulges and discs (Agertz et al. 2011; Guedes et al. 2011), but generally they over-produce the stellar mass (Guo et al. 2011). On the other hand, models with more realistic stellar masses remain too dominated by bulges and low angular momentum components (Scannapieco et al. 2011; Guo et al. 2011). These results are often in tension with observations, or can at best be marginally reconciled. However, they are generally consistent with semi-analytic models that do *not* include disc instabilities, or include only low-redshift secular instabilities (bars) that grow bulges much more slowly (e.g., Somerville et al. 2008), as well as cosmological hydrodynamic simulations that do *not* resolve giant clumps and violent disc instabilities<sup>8</sup> or even employ thermal models that suppress strong disc instabilities (Somerville and Davé 2014). Note also that cosmological simulations tend to overproduce stars at early epochs ( $z > 3$ ) and preserve too low gas fractions down to redshifts  $z = 1 - 3$  (Dekel and Mandelker (2014) and references therein), which can further damp the disc instability process in these simulations.

The new mechanism of bulge formation by disc instability thus comes on top of a cosmological model which, depending on the assumed (and still uncertain) baryonic physics, already produces enough stellar mass in bulges and low angular momentum components – if not already too much! This could be an indirect argument against clump survival and coalescence into bulges, although the observed clump ages are consistent with long lifetimes and migration to bulges. Note however that the instability driven inflow (see above) is independent of clump survival so that its contribution to the growth of central compact components should not be suppressed in the case of short-lived clumps. The question of whether the proposed high-redshift disc instability mechanisms overproduce bulges is thus naturally raised. The typical numbers for a galaxy of stellar mass  $\sim 5 \times 10^{10} M_{\odot}$  are, say, five clumps of  $5 \times 10^8 M_{\odot}$  in mass, migrating to the bulge in 400 Myr, with unstable steady state maintained for 2 Gyr. This means that 25 % of the total stellar mass coalesces into the bulge through this process, leading to an excessive bulge-to-disc mass ratio of 1:3. Furthermore, the diffuse instability-driven inflow may double this estimate, which was based on the migration and coalescence of giant clumps only. The resulting bulge fraction could thus be above the acceptable levels for such moderate

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<sup>8</sup>Resolving the giant clumps requires a resolution that is typically too costly to maintain down to redshift zero (Ceverino et al. 2010).

mass galaxies. Even if the thin disc doubles its mass without further growth of the bulge between  $z = 1 - 2$  and  $z = 0$ , the resulting  $B/D=1/6$  at  $z = 0$ , would still be in tension with observations. This is the case especially if no other processes, such as minor mergers or some major interactions, which are unavoidable at some level, would also grow the central bulge. These simple estimates highlight the potential problems in the issue.

Early models of clump formation and migration clearly over-produced bulge masses, with final  $B/D$  mass ratios about 1:1 after the violent instability period (e.g., Noguchi 1999; Bournaud et al. 2007). A strong limitation of these models was the lack of stellar feedback other than weak supernovae feedback. While the global star formation rate of the galaxies were somehow regulated to realistic values in the galaxies of the Main Sequence, the clumps did not produce gaseous outflows at realistic rates. As a consequence, the clumps in these models accrete surrounding material without being regulated by outflows, so their masses can only increase with time. While the initial clump mass in these models is in agreement with observations (with typical masses of a few  $10^8 M_\odot$  for the main few clumps, and rarely more extreme cases), their masses eventually become excessive beyond the first  $10^8$  years or so, with clumps masses frequently above  $10^9 M_\odot$ . Consequently, the resulting bulge masses after clump coalescence are too high as well.

The realization that clumps actually have their mass content regulated by feedback, with outflows compensating for the sustained gas accretion, helps to solve the problem in two ways. First, the clump masses are regulated to a value fluctuating around their initial mass throughout their migration in the disc, which reduces the mass available for bulge coalescence by a factor of a few. Second, the fact that clumps gradually lose their aged stars and re-accrete gas means that they remain gas-rich, and even gas-dominated, throughout their lifetime (Bournaud et al. 2014) – without these processes they would accumulate large amounts of stars and become star-dominated before reaching the bulge region). More than half of the material reaching the bulge region is thus gaseous, and will form a rotating disc component. Star formation can turn this rotating gaseous component into a rotating stellar component, but stellar and/or AGN feedback can also reduce the amounts of stars formed by ejecting gas from this central component. Detailed simulations quantifying the bulge growth for long-lived clumps having their mass regulated by supernovae, photo-ionization, and radiation pressure feedbacks, show that the growth of bulges by clump migration and instability-driven inflows remains at fully acceptable levels. This is the case at least after 0.5–1.0 Gyr of clump evolution, for galaxies having baryonic masses of  $10^{10-11} M_\odot$  (see Bournaud et al. (2014) for quantitative results).

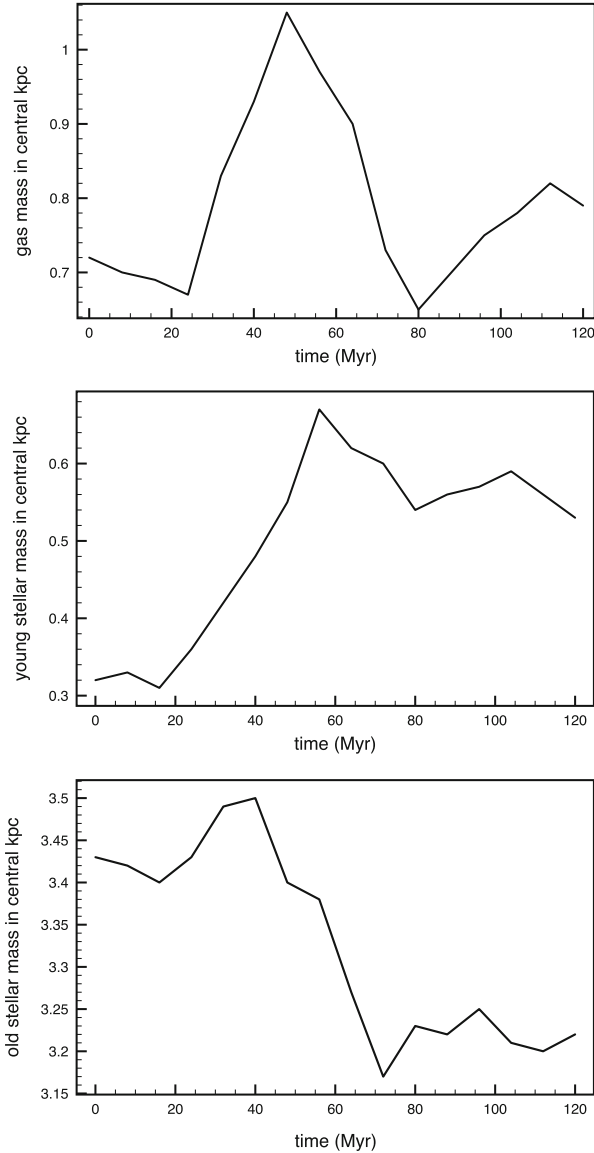


Whether a long-lasting disc instability period will eventually over-produce bulges, or whether feedback processes can prevent too much gas to accumulate in the central kpc and turn into stars, remains an open question. Stronger regulation than just clump outflows seems to be needed if the clumps are actually long-lived and can migrate. A first solution to this problem was proposed by Perret et al. (2014). As clumps remain gas-rich along their evolution, their central coalescence conveys large amounts of gas inwards (typically a few  $10^8 M_{\odot}$  of gas over  $\sim 0.1 \text{ kpc}^2$ ), which provokes a local starburst in the central kpc. The resulting feedback expulses large amounts of gas – from the coalescing clumps, as well as gas brought inward by the global instability-driven inflows. Gas expulsion rates from the central kpc peaking at a few tens of solar masses per year are reported by Perret et al. In addition, this process will affect any pre-existing stellar bulge. The gas mass indeed represents roughly half of the mass in the central kpc (dark matter providing only a minor contribution at such scales) and this major component rapidly fluctuates by clump inflows and feedback-driven gas expulsions. The orbits of stars in the bulge are affected by the rapid fluctuations of the gravitational potential. This process was already pointed out by Bois et al. (2010), in the context of stellar scattering by young star clusters, and gas clouds in mergers, but involving much higher masses at high redshift.

This process of bulge self-regulation by the inflow of giant clumps is illustrated in a simulation by Bournaud et al. (2014) in Fig. 13.6: in this simulation, a galaxy of stellar mass  $5.3 \times 10^{10} M_{\odot}$  contains 43 % of gas during the analyzed period. A giant clump of  $3.6 \times 10^8 M_{\odot}$  of gas and  $2.3 \times 10^8 M_{\odot}$  of stars coalesces with the central bulge, the stellar mass of which is initially  $3.3 \times 10^9 M_{\odot}$ . The clump brings gas and young stars to the central kpc (from the clump stellar content and from central star formation in the clump gas). The local starburst consumes about 60 % of the clump gas within 25 Myr, with a star formation rate in the central kpc peaking<sup>9</sup> at 13 Myr in Fig. 13.6. Note that this is a high surface density of star formation rate in the central kpc. However, it does not drive the entire host galaxy outside of the typical scatter in the Main Sequence (Schreiber et al. 2014), i.e. the entire host galaxy does not turn into a starburst. The gas mass in the central kpc then decreases by a larger amount than just by gas consumption due to star formation, under the effect of stellar feedback-driven outflows. The response to the sudden mass increase (clump accretion) and decrease (gas outflows) affects the stellar content of the bulge: in particular, some aged stars leave the bulge region migrating toward an extended stellar halo while moving in high-eccentricity orbits. An example is shown in Fig. 13.7: the bulge gains mass of  $2 \times 10^8 M_{\odot}$  in the clump coalescence process, but rapidly loses  $2.3 \times 10^8 M_{\odot}$  of aged stars, thus slightly reducing the total bulge mass after this clump coalescence event. Detailed statistical studies remain to be performed, but the simulations studied in Perret et al. (2014) show that the bulge mass can be regulated to reasonable amounts that do not exceed 10–15 % of the

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<sup>9</sup>After applying Gaussian time smoothing of FWHM 2 Myr to erase fluctuations related to the numerical sampling of star formation.



**Fig. 13.7** Bulge evolution during the central coalescence of a giant clump (simulations from Bournaud et al. 2014). The panels display mass of gas in the central kpc (radius 500 pc, *top*), the mass of stars younger than 100 Myr plus the current time (i.e. younger than 100 Myr at  $t = 0$  and younger than 150 Myr at  $t = 50$  Myr, *middle*), and the mass of stars older than 200 Myr plus the current age (*bottom*, still in the central kpc). A massive clump coalesces with the bulge at  $t \simeq 60$  Myr. The gas mass increases when the clump comes in, and decreases due to feedback-driven outflows. The mass of young stars in the bulge increases, but that of old star decreases, and the bulge mass is regulated to an almost constant value (even slightly decreasing in this example, although its Sérsic index increases – see text for details). This bulge regulation mechanism was proposed by Perret and collaborators (Perret et al. 2014, and Perret, PhD thesis, 2013)

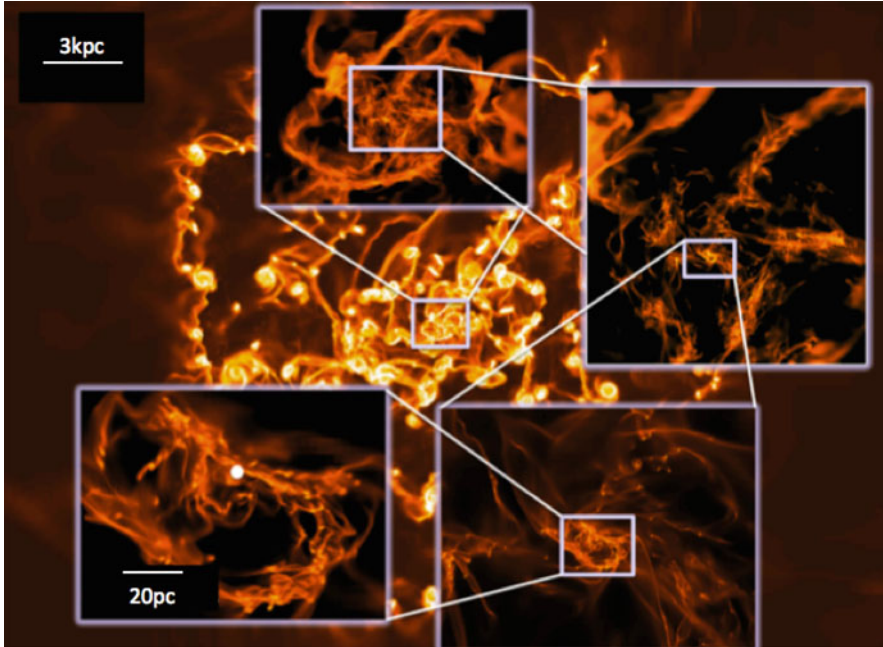
stellar mass for Milky Way-mass galaxies, owing to the three regulation processes listed above – 1: regulation of the clump mass by steady outflows and dynamical loss of aged stars, 2: regulation of the gas richness of the clumps by re-accretion of gas from the disc, and 3: regulation of the central bulge mass by central starbursts and relaxation during clump coalescence. A detailed accounting in cosmological context however remains required to study the bulge mass budget over the long-lasting clumpy unstable state from  $z > 3$  to  $z \simeq 1$ .

An alternative solution was recently proposed by Combes (2014) who demonstrated that in MOND dynamics, realistic clumpy disc are still predicted by simulations of  $z = 2$  galaxies, but the efficiency of bulge formation is lowered as the clump migration timescale increases. Gravitational torquing and inflows should still be present, but without central relaxation through clump coalescence, they may form only a pseudobulge.

### 13.4 The Associated Growth of Supermassive Black Holes

The violent instability of high-redshift galaxies brings large amounts of gas toward their central regions. This is achieved through the migration of gas-rich clumps, and more generally by a global inflow of gas driven by gravity torques between the dense features arising from the instability, and compensating for the turbulent losses. Simulations of this process (Bournaud et al. 2011) have shown that an inflow of about 1 solar mass per year persists down to the central few parsecs, as the gas is not entirely depleted into star formation. It is then sufficient to have one percent of the mass brought to the central pc accreted by the central supermassive black hole (SMBH) to grow this SMBH in realistic proportions compared to the usual scaling relations for bulges and SMBHs. Various small-scale mechanisms in the central parsec can indeed lead 1 % of the available inflowing gas mass to be accreted by the SMBH (Combes 2001). The process was studied in detail in Gabor and Bournaud (2013) who has shown that bright Eddington-limited episodes of Active Galactic Nuclei (AGN) accretion can be triggered by the disc instability, and could contribute to the bulk of the supermassive black hole mass growth at  $z = 1 - 3$  for galaxies of stellar mass  $10^{10-11} M_{\odot}$ . The process is illustrated in Fig. 13.8. In the broader cosmological context, the role of cold gas accretion onto gas-rich galaxies and internal instabilities was probed by Dubois et al. (2012, 2013).

Observationally, there is a general lack of correlation between the occurrence of AGN and morphological signatures of major mergers (e.g., Kocevski et al. 2012) except in the most luminous QSOs found preferentially in major mergers. In fact, moderately bright AGN that drive the bulk of SMBH growth are mostly located in normally star-forming, Main Sequence galaxies (Mullaney et al. 2012), which are generally clumpy unstable discs at  $z = 1 - 3$ . Searches for a direct link between disc instabilities and AGN are hampered by the high gas column densities, typically a few times  $10^9 M_{\odot} \text{ kpc}^{-2}$  in the central regions of these galaxies, sufficient to reach Compton thickness or at least severely attenuate the X-ray signatures of potential



**Fig. 13.8** AMR simulations of a high-redshift gas-rich disc galaxy with a central SMBH, using gradual zooms in the AMR refinement toward the central black hole, with a spatial resolution reaching 0.02 pc in the innermost regions. The *white circle* in the last zoom represents the SMBH position and its Bondi radius. The disc instability drives steady gas inflows toward the SMBH. AGN feedback triggers hot winds that escape through low-density holes and leave the accreting channels almost unaffected. The large-scale star-formation activity also remains unaffected in spite of the efficient AGN-driven outflows (Figure courtesy of Jared Gabor)

AGN. Using optical line emission to probe AGN, Bournaud et al. (2012) have shown that at intermediate redshift ( $z \approx 0.7$ ) there are probably more AGN in clumpy unstable discs, than in regular smooth discs of the same mass and size (both clumpy unstable discs and modern spiral types co-exist at such intermediate redshifts). At high redshift  $z \geq 1$ , Trump et al. (2014) find an AGN frequency as high in clumpy discs as in compact early-type galaxies, which are known to be frequent AGN hosts (compared to star-forming spirals at low redshift), which may indirectly confirm the efficient feeding of AGN in clumpy discs. Yet a direct comparison of AGN feeding in clumpy unstable discs and in more “stable” discs is impossible at  $z > 1$ , because stable spiral discs are virtually inexistent at  $z > 1$ .

High-redshift disc instability can contribute to SMBHs in two other ways. First, the clumpy accretion onto black holes can help increase their mass more rapidly at early epochs, which subsequently increases the limiting Eddington rate and makes possible for the SMBH to grow its mass more rapidly. This potential solution to the problem of very massive and bright AGN at very high redshifts ( $z \sim 6$ , Di Matteo et al. 2012) was studied in DeGraf et al. (2014). Second, the clumps

could be the formation site of SMBH seeds, if they form intermediate mass black holes through runaway stellar collisions, which their estimated star formation rate densities make possible. These seeds could be gathered centrally along with clump migration into an SMBH (Elmegreen et al. 2008), which was potentially supported by some observed spectral signatures (Shapiro et al. 2009).

Studies of the response to feedback show that the feeding of AGN by disc instability does not quench star formation, and not even the fuelling of the AGN itself. AGN from clumpy discs produce high-velocity outflows that are collimated by the density and pressure gradients in the disc, and escape perpendicularly from the disc plane from the nuclear region (Gabor and Bournaud 2014). This is in agreement with recent observations of high-velocity winds emerging preferentially from the nuclear regions (Förster Schreiber et al. 2014). Outflows from star formation are more widespread above the entire disc and its star-forming clumps (observations: Newman et al. 2012, simulations: Bournaud et al. 2014; Hopkins et al. 2013). Hence the AGN feedback does not affect the inflowing, which is the fuel for future AGN feeding, and the extended gas discs including its star-forming regions. This holds even once long-range radiative effects are taken into account (Roos et al. 2014). The AGN luminosity and accretion rate strongly fluctuate over Myr-long timescales, which results from the high heterogeneous, turbulent nature of the inflowing gas, rather than from the regulation by feedback (Gabor and Bournaud 2014; DeGraf et al. 2014).

### 13.5 Disc Instabilities and Early-Type Galaxy Formation

The instability-driven inflow scales like the circular velocity squared (Sect. 13.3.4) and is thus much more intense in high-mass galaxies. Clump migration is also faster, following the dynamical friction timescale in massive galaxies with high-density discs and halos. This raises the question of whether the violent instability of high-redshift galaxies can form early-type galaxies (ETGs) at high masses, i.e. entirely spheroid-dominated systems rather than just bulges in the center of disc-dominated systems.

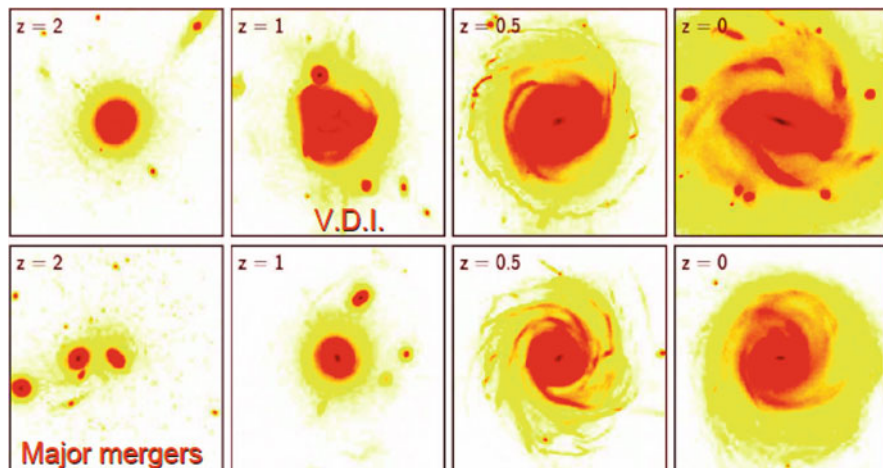
Theoretically, these strong inflows can lead to disc contraction in a timescale not larger than 1 Gyr, and the instability-driven bulge growth rate could lead to a bulge-dominated system, through which the disc is stabilized and star formation is quenched (Dekel and Burkert 2014), with the help of stellar spheroids stabilizing gas discs to quench star formation (Martig et al. 2009). Recent cosmological simulations have probed these possible mechanisms, where the strong inflow first forms wet compact star-forming systems, which are subsequently quenched and turned into red compact ETGs (Ceverino et al. 2014; Zolotov et al. 2014). The high Sérsic indices and dispersion-dominated kinematics are consistent with these being the progenitors of modern ETGs. The transitions from the compact star-forming system to a quenched one could correspond to observations of the so-called “blue nuggets” and “red nuggets” at high redshift (Barro et al. 2014).

Observations of giant clumps or clump remnants in the innermost regions of young ETGs in the Hubble Ultra Deep Field (Elmegreen et al. 2005) support this scenario. Bournaud et al. (2011) have also shown that mergers of gas-rich unstable discs lead to compact spheroid formation when the instability in the cold interstellar phase is taken into account during the merger. Nevertheless, it remains unknown whether these processes can explain the detailed phase space structure of modern ETGs, including the observed families of fast and slow rotators (Emsellem et al. 2007) which could also be relatively well explained in the cosmological context without invoking a major role of disc instabilities (Naab et al. 2014).

### 13.6 Comparison to Secular Disc Instabilities at Lower Redshift

The violent instability where the entire disc is self-regulated at  $Q \simeq 1$  persists until about redshift 1, for the galaxy masses that we have studied here (Elmegreen et al. 2007; Genel et al. 2012; Dekel et al. 2009b; Ceverino et al. 2014). This violent phase, with irregular clumpy discs, growing spheroids, and relatively frequent mergers, has a morphology poorly correlated to the final bulge/disc ratio of today's descendent galaxies (Martig et al. 2012). After  $z \sim 1$ , galaxies enter their secular phase where a stable thin disc grows and slowly evolves, with a bulge/disc ratio close to the final value. This regime differs by having globally  $Q > 1$ , with  $Q \leq 1$  only locally, for instance for gas compressed in spiral arms and in which small molecular clouds form by various local instabilities (Renaud et al. 2013). The evolution from the early violently unstable phase to the secular stable spiral discs is shown for two typical cases of zoom-in simulations in cosmological context in Fig. 13.9.

The mild instability of modern spirals differ from the global instability of their high-redshift progenitors in various ways. Present-day discs globally develop only non-axisymmetric ( $m \geq 1$ ) modes such as spiral arms and bars, and gravitational collapse at  $Q \leq 1$  can occur only locally in small over-densities of gas. The associated inflows are much slower, with only a few percent of the angular momentum transferred outwards per rotation period even in strongly barred galaxies (Combes and Gerin 1985; Bournaud et al. 2005). Mass inflows and vertical resonances can secularly grow central spheroids. Yet, simulations in cosmological context in Kraljic et al. (2012) suggest that the contribution of these low-redshift secular instabilities in the bulge mass budget is also more modest than for high-redshift instabilities, although the secular phase last longer and sometimes grows massive peanut-shaped bulges. The absence of violent relaxation, unlike the central coalescence of giant clumps, is such that the process mostly results in pseudobulges rather than classical bulges with high Sérsic indices Chap. 14.



**Fig. 13.9** Simulations in cosmological context, zoomed on individual galaxies (From Martig et al. 2012), displaying the stellar mass surface density (panel size:  $20 \times 20$  kpc). These simulations show the transition from a “violent phase” at  $z > 1$  with violent disc instabilities (V.D.I., *top*) and giant clumps and, more rarely, merger-driven starbursts, to a “secular phase” with bars and spiral arms at  $z < 1$ . Interestingly, this transition shows a “downsizing” behaviour with stellar mass, i.e. it occurs later-on for lower-mass galaxies, which could explain that clumpy disc instabilities persist longer for lower-mass galaxies (Elmegreen et al. 2007; Bournaud et al. 2012) and regular barred spiral morphologies arise earlier-on for high-mass galaxies (Sheth et al. 2008; Kraljic et al. 2012)

The inflow toward AGN and SMBH is also much more modest, but another difference here is the common presence of Inner Lindblad Resonances (ILRs) in low-redshift spiral galaxies – high-redshift discs generally have no ILR associated to the clump instability. As a consequence, the inflow stops and the gas is stored at the ILR radius until a nuclear instability occurs and brings the gas reservoir inwards. The process can be repeated with cyclic AGN feeding, but a large fraction of the inflowing gas can also be depleted through star formation in the meanwhile (Emsellem et al. 2015). Indeed, observations point out that the correlation between galactic bars and AGN is complicated by a number of factors (see e.g. Coelho and Gadotti 2011, and references therein). However, it can be argued that the correlation between nuclear bars and AGN is more straightforward (Combes 2001).

### 13.7 Summary

High-redshift star-forming galaxies at  $z \simeq 1-3$  have irregular optical morphologies dominated by a few bright giant clumps, also faintly detectable in the near-infrared. There is broad evidence that these giant clumps (of a few  $10^{8-9} M_{\odot}$  of gas and stars and 500–1000 pc diameter for the biggest ones) form mostly by in-situ gravitational instability in gas-rich, turbulent galactic discs. This is largely supported

by photometry, kinematics, and stellar population studies. Recently, a first example of direct gravitational collapse of a giant clump has been directly probed (in the form of a very massive star-forming blob with almost no underlying aged stellar counterpart, Zanella et al. 2015). Only a small fraction of clumps exhibit older stellar populations and may form *ex-situ*, in the form of small satellites of gaseous clumps that merge with the disc from the outside.

The modern understanding of galaxy formation in the standard cosmological framework explains the high gas fractions and resulting disc instability as the outcome of steady accretion of cosmological gas reservoirs (and some companion galaxies) at high mass rates. The high cosmic infall rates keep the gas fraction high, the Toomre stability parameter low, and the disc in a globally unstable state. The disc increases its gas velocity dispersion (or turbulent speed) to self-regulate its dynamics in a steady state about  $Q \simeq 1$ .

Hence the high-redshift progenitors of Milky Way-like spirals differ from modern disc galaxies, which have only weak non-axisymmetric instabilities (bars and spiral arms) and in which gas undergoes gravitational collapse only in limited regions, in the form of transient low-mass molecular clouds.

The giant clumps and the underlying instability can build a galactic bulge in several ways. The first one is the instability-driven inflow, which pumps gravitational energy into the interstellar turbulence cascade to compensate for the radiative losses. This inflow builds a central mass concentration in the form of a pseudobulge – unless another process increases the relaxation and turns this central concentration into a classical bulge.

Detailed numerical models of star formation and feedback in a multi-phase ISM, and observations of stellar population ages, mostly support that the giant clumps can survive against feedback from young massive stars for a few hundreds of Myr, unlike nearby molecular clouds. In this case, the giant clumps undergo dynamical friction from the host galaxy and its dark matter halo and migrate inward in a few  $10^8$  year, coalesce with the central bulge, or form a bulge if no bulge is present yet. In this case the induced relaxation is generally found to turn the central spheroid into a classical bulge with a high Sérsic index.

The detailed properties of bulges built by disc instabilities remain uncertain, and highly dependent on the physics of stellar feedback. Some studies find that it could be possible to form only a pseudobulge, but others find that in the most massive galaxies the whole system may turn into a classical spheroid through the violent disc instability, consistent with properties of early-type galaxies.

An interesting property of clump migration and central coalescence is that the induced relaxation can affect the stellar orbits of a pre-existing bulge, and cause dynamical evaporation from the central bulge toward a very extended, low-density faint stellar halo. In this case the process gets self-regulated and the bulge mass fraction does not grow above 10–15% of the stellar mass for a Milky Way-mass high-redshift galaxy.



The violent instability of high-redshift disc galaxies presents other interesting properties that can form other sub-galactic components concurrently with bulges. The instability-driven inflow can typically provide one solar mass per year toward the central parsec, which may be sufficient to dominate the feeding of central super-massive black holes in moderate mass galaxies, and this is potentially supported by observations of active galactic nuclei in Main Sequence galaxies. Along with bulges and central black holes, the violent instability of high redshift galaxies can also grow the old thick stellar discs, which are ubiquitous around present-day spiral galaxies.

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