

# Chapter 5

## Cathode Materials with Two-Dimensional Structure

### 5.1 Introduction

For over 40 years, researchers have actively focused their works on the study of physicochemical properties of the so-called low-dimensional solids. Indeed these compounds find major applications in the conversion and storage of energy. Different steps in the progress in this field can be identified. DiSalvo in 1971, investigated the properties of transition-metal chalcogenides (TMCs) as intercalation complexes for advanced devices such as superconductors [1]. Broadhead invented, in July 1972, the first rechargeable nonaqueous battery in which the active material is incorporated in the layer structure; his patent suggests the use of TMCs such as  $\text{TiS}_2$  and  $\text{WS}_2$  [2]. Goodenough has established the ability of fast-ion conduction of certain metal oxides [3]; his discovery was soon followed by Japanese companies that commercialized the first lithium-ion batteries (LiBs). The lithium-ion technology has opened a wide research field both in physics and chemistry to find a class of materials for high voltage cells [1–3]. However, there remain several key materials issues such as the structural stability over several hundreds of cycles, which need to be solved. In the area of positive electrode materials, extensive investigations on the requirements of optimum-ideal electrode system have shown that transition-metal oxides,  $A_xM_yO_n$  ( $A = \text{Li, Na}$ ;  $M = \text{Ni, Co, Mn, Cr, Fe}$ ) are the most promising systems with great potential for structural improvements for long cycle life.

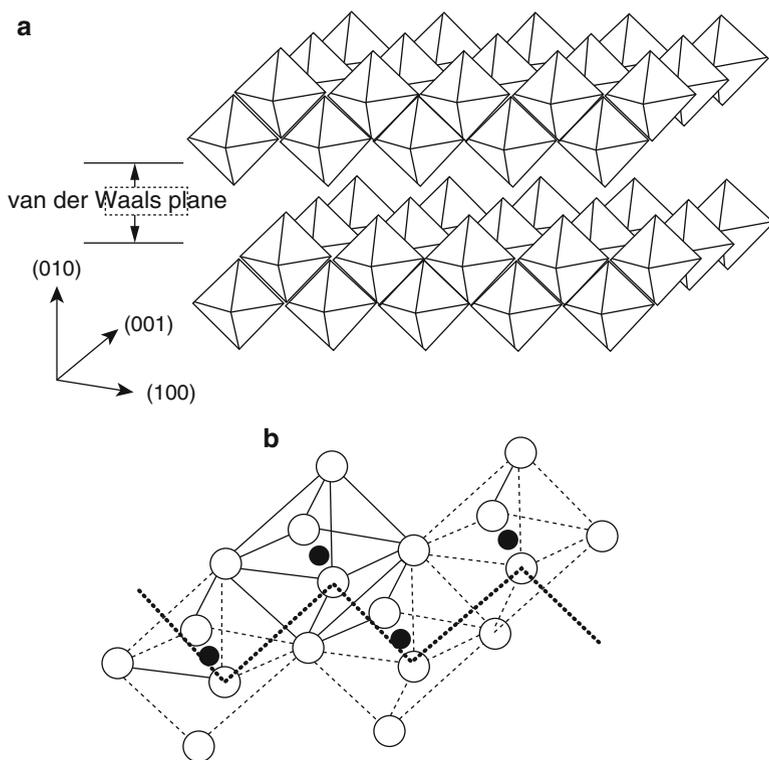
This chapter provides the relationships between structural and electrochemical properties of lamellar compounds: the 3d-transition metal oxides currently studied as for their potential use in LiBs. First, we examine briefly three binary layered oxides,  $\text{MoO}_3$ ,  $\text{V}_2\text{O}_5$ , and  $\text{LiV}_3\text{O}_8$  which were proposed as intercalation compounds since at the end of 1970s. Then, the ternary layered oxides are considered. Starting from the historical and prototype compound  $\text{LiCoO}_2$ , which is the dominant positive electrode material employed by all Li-ion cell manufacturers so far, we state the broad family of layered oxides such as  $\text{LiM}_x\text{O}_y$  and their derivatives: the

metal-doped oxides  $\text{LiM}_x\text{M}'_z\text{O}_y$ , the solid-solutions  $\text{LiM}_x\text{O}_y$ - $\text{LiM}'_x\text{O}_y$  and the composite materials such as the Li-rich oxides. For each class of materials, we review their electrochemical properties and discuss them as a function of the structural stability of the lattices. The surface modification (coating or encapsulation) of active particles that has led recently to encouraging results is also reviewed and discussed.

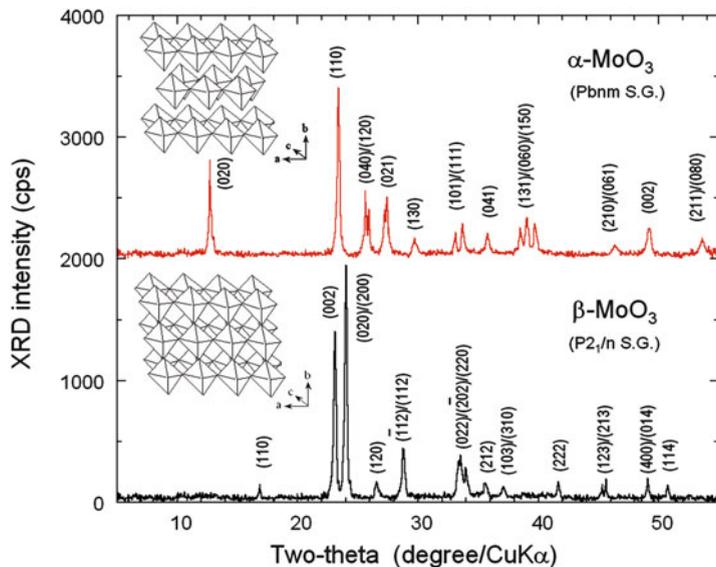
## 5.2 Binary Layered Oxides

### 5.2.1 $\text{MoO}_3$

The oxides and oxide-hydrates of molybdenum in its highest oxidation state display a variety of structural types involving linked  $\text{MoO}_6$  octahedra.  $\text{MoO}_3$  is such a host. Of the anhydrous  $\text{MoO}_3$ , the well-known orthorhombic form ( $\alpha$ - $\text{MoO}_3$ ) is the stable form in normal conditions, which possesses a layered structure as shown in Fig. 5.1



**Fig. 5.1** (a) The layered structure at  $\alpha$ - $\text{MoO}_3$  showing the interlayer van der Waals gap. (b) Detail of the Mo coordination



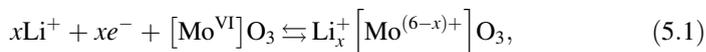
**Fig. 5.2** XRD patterns of  $\alpha$ - $\text{MoO}_3$  and  $\beta$ - $\text{MoO}_3$  crystals indexed in the monoclinic ( $P2_1/n$  S.G.) and orthorhombic ( $Pbnm$  S.G.) system, respectively

[4] and three metastable phases: the  $\beta$ - $\text{MoO}_3$  phase which adopts the  $\text{ReO}_3$ -related structure, the  $\text{MoO}_3$ -II or  $\epsilon$ - $\text{MoO}_3$  ( $P2_1/m$  S.G.) metastable high pressure phase and the hexagonal  $\text{MoO}_3$  form (h- $\text{MoO}_3$ ). Among the three stoichiometric solid hydrates of  $\text{MoO}_3$  or “molybdic acids,” white  $\text{MoO}_3 \cdot \text{H}_2\text{O}$  has a structure closely related to that of  $\alpha$ - $\text{MoO}_3$  [5], consisting of isolated double chains of edge-sharing  $\text{MoO}_6$  octahedra with each molybdenum atom bearing a coordinated water molecule.

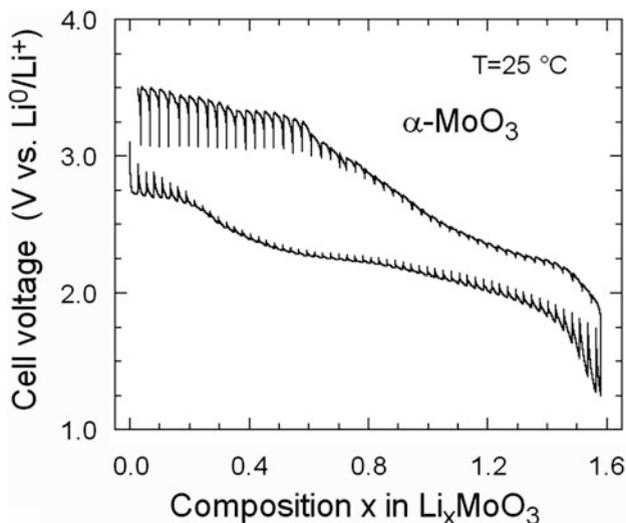
Figure 5.2 shows the XRD patterns of  $\alpha$ - $\text{MoO}_3$  and  $\beta$ - $\text{MoO}_3$  crystalline samples with the main features of the XRD patterns indexed in the orthorhombic ( $Pbnm$  S.G.) system with lattice parameters  $a = 3.9621(4)$  Å,  $b = 13.858(8)$  Å,  $c = 3.972(1)$  Å and in the monoclinic ( $P2_1/n$  S.G.) system with lattice parameters  $a = 7.118(7)$  Å,  $b = 5.379(2)$  Å,  $c = 5.566(6)$  Å,  $\beta = 91.87^\circ$ , respectively. Single crystals of  $\alpha$ - $\text{MoO}_3$  have the shape of elongated platelets with the (010) axis perpendicular to the basal plane and the (001) axis along the longest edge. The orthorhombic structure was formed above 500 °C. The layered arrangement causes van der Waals interactions between parallel layers formed by  $\text{MoO}_6$  octahedra that share edges in the (001) direction and are connected by corners in the (100) direction. The (0 $k$ 0) intense Bragg lines suggest that this compound is a layered structure packed in the direction of the  $b$ -axis. The hexagonal  $\text{MoO}_3$  is commonly synthesized using the chemical precipitation method and crystallizes with lattice parameters  $a = 10.55$  Å and  $c = 14.89$  Å ( $P6_3/m$  S.G.).

Molybdenum oxides display a variety of structural types involving linked  $\text{MoO}_6$  octahedra whose arrangements are favorable for intercalation process. Several studies have shown that  $\text{Li}^+$  ions can be reversibly incorporated in Mo-O compounds [6–9]. The  $\text{Li}/\alpha\text{-MoO}_3$  system undergoes redox reactions with high reversibility, accommodating up to 1.5 Li per Mo atom and giving rise to a theoretical energy density of  $745 \text{ Wh kg}^{-1}$ , whereas the theoretical energy density of  $\text{MoO}_{2.765}$  ( $\sim 490 \text{ Wh kg}^{-1}$ ) is comparable to that of  $\text{TiS}_2$ . Despite the apparent diversity of the structural types shown by the Mo(VI) oxides and molybdenum-oxide hydrates, it is evident that they possess a number of common features in their reactions with lithium. Mo oxides offer high voltages and wide composition intervals accessible for lithium intercalation. The interest of  $\alpha\text{-MoO}_3$  arises from its layered structure presenting open channels for fast Li-ions diffusion, a higher electrochemical activity vs.  $\text{Li}^0/\text{Li}^+$  than that of chalcogenides and the highest chemical stability among the oxide lattices [10].

The electrochemical lithium insertion into the  $\text{MoO}_3$  framework can be described according the following reaction:



Assuming the reduction from  $\text{Mo}^{\text{VI}}$  to  $\text{Mo}^{\text{V}}$  and  $\text{Mo}^{\text{IV}}$  valence states, the maximum Li uptake occurs at  $x = 1.5$ . Figure 5.3 shows the discharge–charge curves of the  $\text{Li}/\text{MoO}_3$  cell using anhydrous well-crystallized powders of the  $\alpha$ -phase [11]. The electrochemical lithium insertion into the  $\text{MoO}_3$  framework can be described assuming the reduction from Mo(VI) to Mo(V) and Mo(IV) oxidation states. The

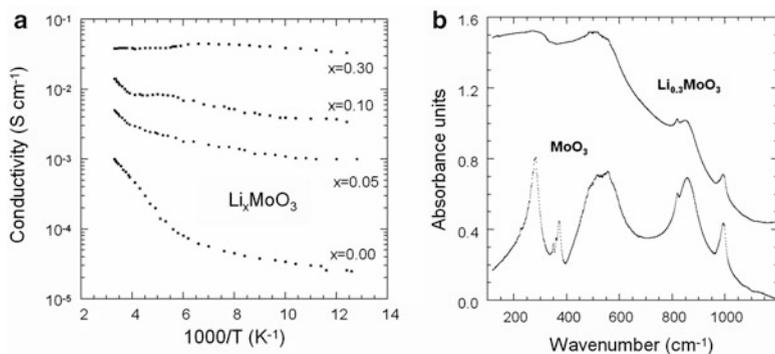


**Fig. 5.3** Discharge–charge curves of the  $\text{Li}/\text{MoO}_3$  cell using anhydrous well-crystallized powders of the  $\alpha$ -phase. Reproduced with permission from [11]. Copyright 1997 Springer

capacity of  $\text{MoO}_3$  observed here is approximately in agreement with the theoretical gravimetric capacity  $280 \text{ mAh g}^{-1}$ .  $\alpha\text{-MoO}_3$  is a very advantageous cathode material because it acts as a self-limiting voltage element at the end of the charge as reoxidation of Mo produces a resistive effect which induces a large polarization of the cell for potential of  $\approx 3.5 \text{ V}$  (Fig. 5.3).

Nadkarni and Simmons [12] studied the electrical properties of  $\text{MoO}_3$  and reported that there is a donor band between the conduction and the valence bands due to oxygen vacancies.  $\text{MoO}_3$  has the outer electron configuration  $4s^5 5s^1$ . If  $\text{MoO}_3$  is considered to be ionic, i.e., composed only of  $\text{Mo}^{\text{VI}}$  and  $\text{O}^{2-}$  ions, the valence band would be composed of oxygen 2p states and the conduction band of empty 4d and 5s states [13]. Figure 5.4 shows the temperature dependence of the electrical conductivity of  $\text{Li}_x\text{MoO}_3$  ( $0.0 \leq x \leq 0.3$ ) intercalated by electrochemical titration. Upon Li intercalation the electrical conductivity of  $\text{Li}_x\text{MoO}_3$  increases by two orders of magnitude and the temperature dependence of  $\sigma$  shows important changes in the conduction mechanism. The semiconducting character of  $\text{MoO}_3$  gradually disappears and a degenerate semiconductor behavior is observed. For a degree of intercalation of  $x=0.3$ , the material exhibits a metallic behavior. The metallic features are also observed in the temperature dependence of the Hall coefficient. This variation of mass is detected by the analysis of the absorption spectra near the plasma frequency. It is admitted that the mechanism for the conductivity of  $\text{MoO}_3$  is the electron hopping between  $\text{Mo}^{6+}$  and  $\text{Mo}^{5+}$  sites. The increase of the conductivity with the addition of intercalants observed in Fig. 5.4 is linked to the diminution of the valence state of molybdenum ions by transfer of electrons from lithium to molybdenum. Further experiments are needed to elucidate the mechanism of the charge transfer occurring in transition-metal oxide compounds but the rigid-band model seems adequate in  $\text{Li}_x\text{MoO}_3$  which found technological application in electrochromic rear mirror in automotive industry.

In  $\text{MoO}_3$ , electrical conductivity comes from the hopping of electrons forming small polarons between  $\text{Mo}^{6+}$  and  $\text{Mo}^{5+}$  ions. Infrared absorption studies of  $\text{Li}_x\text{MoO}_3$  compounds revealed a transition small polaron to metallic features [14],



**Fig. 5.4** (a) Arrhenius plot of the electrical conductivity of  $\alpha\text{-MoO}_3$  and  $\text{Li}_x\text{MoO}_3$  and (b) FTIR absorption spectra of  $\alpha\text{-MoO}_3$  and  $\text{Li}_{0.3}\text{MoO}_3$

in agreement with the analysis of the electrical conductivity. After intercalation the lattice vibration spectrum is completely screened by the free electrons in the host material. The Drude edge contribution, i.e., plasmon feature, is responsible for the metallic absorption due to high electron density in  $\text{Li}_{0.3}\text{MoO}_3$  as shown in Fig. 5.4. The free-carrier absorption coefficient can be expressed by:

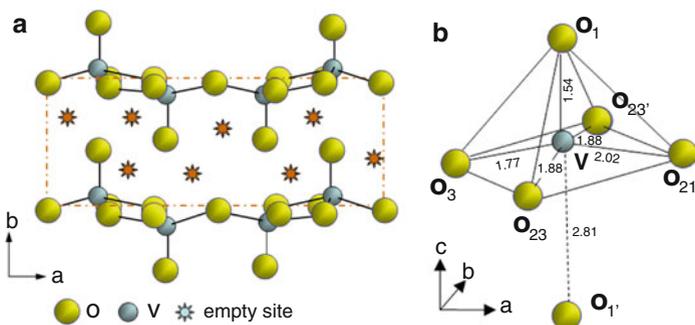
$$\alpha = \omega_p^2 \tau [n c (1 + \omega^2 \tau^2)], \quad (5.2)$$

where  $\omega_p$  is the plasma frequency,  $\tau$  is the relaxation time of the free carrier,  $n$  is the refractive index, and  $c$  is the light velocity. Using Eq. (5.2), the fit of experimental data gives a carrier concentration of  $5 \times 10^{16} \text{ cm}^{-3}$  in  $\text{Li}_{0.3}\text{MoO}_3$ . This value is in good agreement with the Hall measurements. In  $\text{MoO}_3$ , the bonding framework is composed of five  $\text{O}(p_\pi)$  and three  $\text{Mo}(t_{2g})$  orbitals, which interact to form  $\pi$  and  $\pi^*$  bands [5]. As the extra electrons supplied by the inserted lithium are in the antibonding  $\pi^*$  states,  $\text{Li}_{0.3}\text{MoO}_3$  is expected to exhibit a two-dimensional type of electronic conductivity. The narrowing of the conduction band leads to an increase in the effective electron mass which affects the position of the Drude edge in  $\text{Li}_x\text{MoO}_3$  phases. The temperature dependence of the absorption coefficient shows a small increase of  $\alpha$  with temperature, which can be attributed to the fact that Li-intercalated  $\text{MoO}_3$  is a degenerate semiconductor for  $x = 0.3$ .

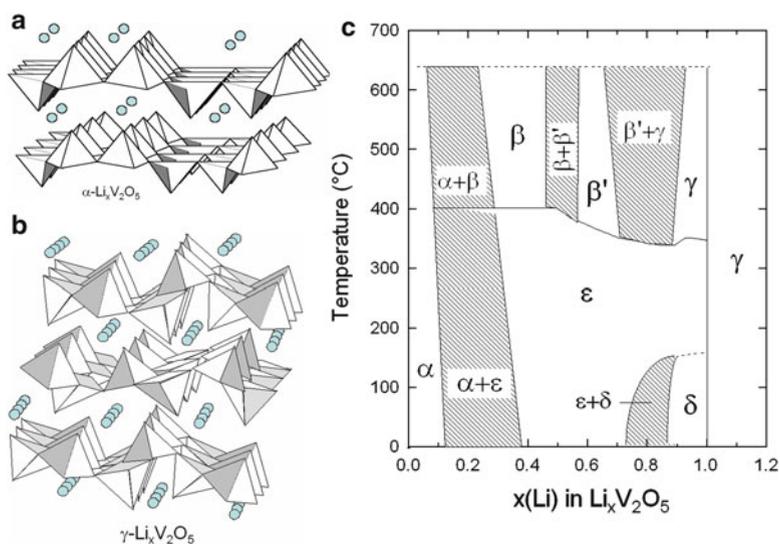
### 5.2.2 $\text{V}_2\text{O}_5$

Vanadium pentoxide,  $\text{V}_2\text{O}_5$ , was one of the earliest studied ICs that can exhibit several different phases upon intercalation of 3Li per formula.  $\text{V}_2\text{O}_5$  crystallizes with an orthorhombic unit cell structure and belongs to the  $Pmmm$  space group with lattice parameters  $a = 11.510 \text{ \AA}$ ,  $b = 3.563 \text{ \AA}$ , and  $c = 4.369 \text{ \AA}$  [15, 16]. The crystal structure of orthorhombic  $\text{V}_2\text{O}_5$  is usually described as made up of chains of edge-sharing  $\text{VO}_5$  square pyramids (Fig. 5.5a). These chains are linked together via corner sharing. The distorted polyhedra have a short vanadyl bond ( $1.54 \text{ \AA}$ ) and four oxygen atoms located in the basal plane at distances ranging from  $1.78$  to  $2.02 \text{ \AA}$  (Fig. 5.5b). Here, the oxygen atoms surrounding a vanadium atom are geometrically labelled  $\text{O}_1$ ,  $\text{O}_{21}$ ,  $\text{O}_{23}$ ,  $\text{O}'_{23}$ , and  $\text{O}_3$ , respectively. In the deformed octahedron including the  $\text{O}'_1$  atom, a  $\text{V}-\text{O}_1$  bond length is the shortest:  $1.54 \text{ \AA}$ , and a  $\text{V}-\text{O}'_1$  distance is  $2.81 \text{ \AA}$ . The deformed octahedrons, which have common corners in the  $b$  direction are linked by common edges, giving rise to chains in the  $a$ -direction.

The complex phase diagram of lithia-vanadium pentoxide had been described by several groups [17–19] employing electrochemical and chemical synthesis. Figure 5.6 shows a schematic representation of the  $\alpha$ - and  $\gamma$ - $\text{Li}_x\text{V}_2\text{O}_5$  structure and the  $\text{Li}-\text{V}_2\text{O}_5$  phase diagram proposed by Galy [20]. The discharge curve of a  $\text{Li}/\text{Li}_x\text{V}_2\text{O}_5$  cell in the range  $0 \leq x \leq 3$  is shown in Fig. 5.7. It is seen that insertion of up to 1 Li per formula unit proceeds in two distinct steps, each accounting for



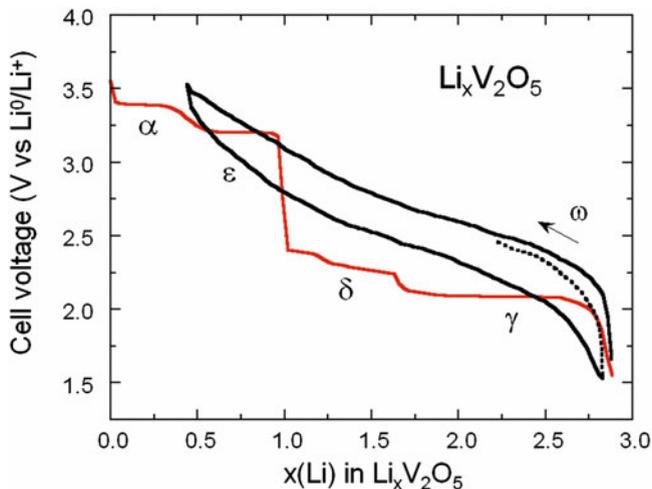
**Fig. 5.5** (a) Projection of the layered structure of  $V_2O_5$  on (001). Superimposed oxygen atoms are symmetrically displaced. (b) The deformed pyramid of the  $V_2O_5$  structure, shown with the coordinate system and labels of V,  $O_1$ ,  $O_{21}$ ,  $O_{23}$ , and  $O_3$  atoms. The *solid* and *dashed* lines schematically represent the chemical bonds, and numerical values indicate the bonding length (Å) between atoms. The  $O'_1$  atom is the  $O_1$  type atom belonging to the neighbor pyramid pointing at opposite direction



**Fig. 5.6** Schematic representation of (a)  $\alpha\text{-Li}_x\text{V}_2\text{O}_5$ , (b)  $\gamma\text{-Li}_x\text{V}_2\text{O}_5$ , and (c) the  $\text{Li-V}_2\text{O}_5$  phase diagram

half the charge. Upon lithium intercalation up to  $x=3$  in  $\text{Li}_x\text{V}_2\text{O}_5$ , a series of structural rearrangement ( $\alpha$ -,  $\epsilon$ -,  $\delta$ -, and  $\gamma$ - $\text{Li}_x\text{V}_2\text{O}_5$  phases) emerges that corresponds to a theoretical specific capacity of  $442 \text{ mAh g}^{-1}$ .

When the amount of lithium content is increased beyond  $x=1$ , the discharge curve exhibits a sharp potential drop followed by a region with a plateau characteristic of a two-phase domain, for which the  $\delta$ - and  $\gamma$ - $\text{Li}_x\text{V}_2\text{O}_5$  phases are in

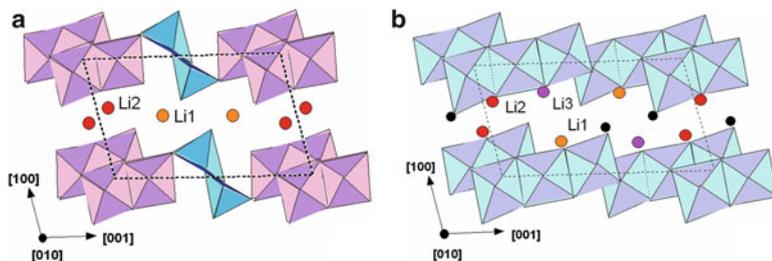


**Fig. 5.7** Discharge curve of the  $\text{Li}/\text{Li}_x\text{V}_2\text{O}_5$  electrochemical cell. At  $x = 3$ , the system reaches the NaCl-like  $\omega\text{-Li}_{3-x}\text{V}_2\text{O}_5$  phase (black curve)

equilibrium. When the amount of lithium inserted is restricted to  $x < 2$ , the reversibility in the composition range  $0 < x < 1$  is not much affected [21]. At  $x \approx 3$ , the new  $\omega\text{-V}_2\text{O}_5$  phase appears [22, 23]. This phase has a NaCl-like structure with high number of vacancies. In shear vanadium oxide,  $\text{V}_2\text{O}_5$ , the  $\text{O}^{2-}$  along the shear planes are bonded to three cations and, indeed, high values of the chemical diffusion coefficient have been measured.  $\text{Li}_x\text{V}_2\text{O}_5$  shows values of  $10^{-8} \text{ cm}^2 \text{ s}^{-1}$  in the range 0.01–0.98 [24]. Recently, Li et al. [25] reported the lithium insertion in the  $\beta\text{-Li}_x\text{V}_2\text{O}_5$  phases ( $0 < x \leq 3$ ) for powders synthesized by the hydrothermal treatment of  $\alpha\text{-V}_2\text{O}_5$  at 220 °C for 24 h followed by post-heating at 650 °C for 3 h. The rigid 3D host lattice of the monoclinic tunnel-like  $\beta\text{-V}_2\text{O}_5$  shows desirable reversibility upon 3Li uptake per formula unit and a discharge capacity exceeding 330 mAh  $\text{g}^{-1}$  at current density 10 mA  $\text{g}^{-1}$  in the potential range 4.0–1.8 V.

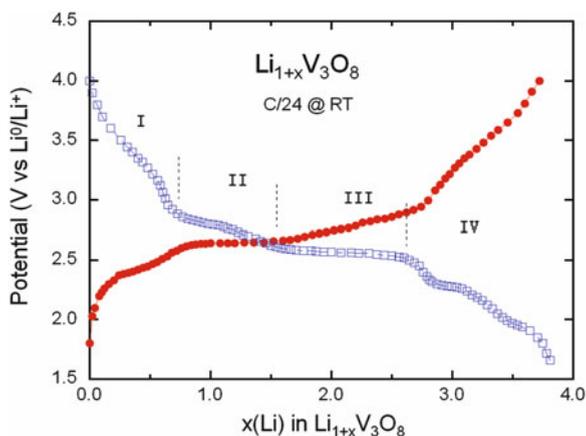
### 5.2.3 $\text{LiV}_3\text{O}_8$

Lithium trivanadate,  $\text{LiV}_3\text{O}_8$ , is a mixed-valence oxide. Firstly reported by Wadsley [26],  $\text{LiV}_3\text{O}_8$  is a quasi-layered compound, which can be regarded as a lithia-stabilized  $\text{V}_2\text{O}_5$  compound. It crystallizes in a monoclinic symmetry ( $P2_1/m$  S.G.) and consists of octahedral ( $\text{VO}_6$ ) octahedral and trigonal bipyramidal ( $\text{VO}_5$ ) ribbons. In this structure, distorted ( $\text{VO}_6$ ) octahedra are connected by shared edges and vertices to form  $(\text{V}_3\text{O}_8)^-$  anions that stack one upon another to form quasi layers (Fig. 5.8). The spacing between slabs is sufficiently flexible to accommodate guest species on octahedral and tetrahedral interstitial sites [27, 28].



**Fig. 5.8** (a) Representation of [010] view of the layered structure of  $\text{LiV}_3\text{O}_8$  ( $P2_1/m$  S.G.). The circles represent the octahedral site Li(1) and the tetrahedral site Li(2) between the layers. (b) [010] View of the structure of  $\text{Li}_4\text{V}_3\text{O}_8$ . The  $c$ -axis is horizontal within a  $\text{V}_3\text{O}_8$  layer

**Fig. 5.9** The typical voltage vs. composition  $x(\text{Li})$  curve for  $\text{Li}/\text{LiV}_3\text{O}_8$  cell. The discharge–charge processes is rather complex reactions that correspond to multistep Li insertion–extraction associated with the reduction–oxidation of vanadium ions. The different steps are indicated



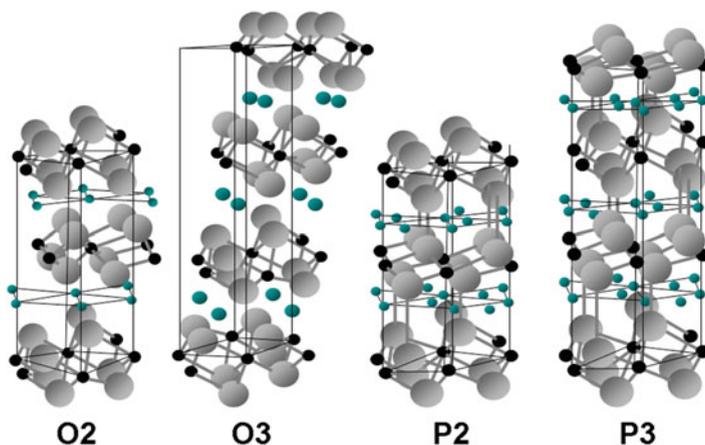
When intercalating  $\text{Li}^+$  ions into the  $\text{LiV}_3\text{O}_8$  framework to the composition of  $\text{Li}_4\text{V}_3\text{O}_8$ , the  $\text{V}_3\text{O}_8$  framework remains intact and the monoclinic unit cell parameters vary isotropically with phase changes [29]. As reported by several workers [28, 30–34], the  $\text{V}_3\text{O}_8$  sublattice is very stable upon lithiation leading to composition  $\text{Li}_{3.8}\text{V}_3\text{O}_8$  owing to the availability of the 2D interstitial space for the transport of  $\text{Li}^+$  ions. This feature makes  $\text{LiV}_3\text{O}_8$  an attractive cathode candidate for lithium secondary batteries with a specific capacity higher than  $300 \text{ mAh g}^{-1}$ . Electrochemical intercalation mechanism was investigated including long-term cycling and kinetics  $\text{Li}^+$  ions [35–37].  $\text{LiV}_3\text{O}_8$  has a lower Li-ion diffusion coefficient ( $\sim 10^{-13} \text{ cm}^2 \text{ s}^{-1}$ ) than  $\text{Li}_x\text{V}_2\text{O}_5$  ( $\sim 10^{-10} \text{ cm}^2 \text{ s}^{-1}$ ) [37]. Jouanneau et al. [38] reported that the dissolution of a small quantity of  $\text{V}^{\text{III}}$  in the electrolyte occurring during the reduction at 2.3 V depends on the morphology of the powders. Figure 5.9 shows the typical voltage vs. composition  $x(\text{Li})$  curve for  $\text{Li}/\text{Li}_{1.2+x}\text{V}_3\text{O}_8$  cell. Initial discharge capacity of  $308 \text{ mAh g}^{-1}$  at  $20 \text{ mA g}^{-1}$  corresponds at  $x=3.8$  Li uptake. The discharge curve shows a rather complex process, at first the open circuit voltage of  $\text{Li}_{1.2+x}\text{V}_3\text{O}_8$  drops rapidly to 2.85 V for the composition  $x \leq 0.8$  (S-shape region), where the lithium is

inserted into the interstitial sites Li(2). Then, the voltage drops less rapidly from 2.85 to 2.7 V in the domain  $0.8 \leq x \leq 1.7$ , where the lithium is inserted into the interstitial space of the  $\text{Li}_2\text{V}_3\text{O}_8$  structure, possibly in the  $S_t(1)$  and  $S_t(2)$  tetrahedral sites. At  $x > 1.7$ , the voltage curve shows a plateau at 2.5 V characteristics of a two-phase system, with the coexistence of  $\text{Li}_{2.9}\text{V}_3\text{O}_8$  compound and the defect rock-salt structure of nominal composition  $\text{Li}_4\text{V}_3\text{O}_8$ .  $\text{Li}_{1+\delta}\text{V}_3\text{O}_8$  is a semiconductor that exhibits a polaronic conduction ( $\sigma_e = 10^{-5} \text{ S cm}^{-1}$  at room temperature,  $E_a = 0.25 \text{ eV}$ ).

As for all ICs, the method of preparation of  $\text{LiV}_3\text{O}_8$  appears to be important to its electrochemical features. Synthesis technique include: the traditional solid-state reaction using  $\text{Li}_2\text{VO}_3$  and  $\text{V}_2\text{O}_5$  at high temperature (680 °C) [38], sol-gel method [39], precipitation technique [40], hydrothermal method [41], freeze-drying technique [42], combustion synthesis [43], spray pyrolysis route [44] and polymer assisted method [45]. West et al. [32] have compared the capacity and cycling behavior of several samples. Nanostructured  $\text{LiV}_3\text{O}_8$  cathode materials were synthesized under several forms including nanocrystals [46, 47], nanowires [48, 49], nanorods [41, 50], and nanoflakes [51]. Improvement of the intercalation process was also achieved by effective doping [52]. Nanosheets with a thickness of 15–30 nm width were synthesized by hydrothermal method combined with a solid-state process using uniform  $(\text{NH}_4)_{0.5}\text{V}_2\text{O}_5$  nanosheets as the precursor. Good cycling stability of 149 mAh  $\text{g}^{-1}$  at 5C was demonstrated by the stability retention of 85 % [53]. Surface modification with conducting materials appears to be efficient to enhance the electrochemical properties of  $\text{LiV}_3\text{O}_8$  via suppressing the dissolution of active materials and the overall phase change [54]. Kumagai et al. [55] reported the use of  $\text{LiV}_3\text{O}_8$  powders ultrasonically treated.

### 5.3 Ternary Layered Oxides

This class of materials include the  $\text{LiMO}_2$  compounds ( $M = \text{Co}, \text{Ni}, \text{Cr}$ ) and the related oxides  $\text{LiCoM}'\text{O}_2$  where  $M'$  is a substituting trivalent or divalent element ( $M' = \text{Ni}, \text{Cr}, \text{Fe}, \text{Al}, \text{B}, \text{Mg}, \text{etc.}$ ).  $\text{LiMO}_2$  oxides adopt the  $\alpha\text{-NaFeO}_2$ -type crystallographic structure that belongs to  $R\bar{3}m(D_{3d}^5)$  space group. This structure derives from the NaCl structure with a stacking of Li ions between adjacent  $\text{MO}_2$  slabs [56]. The individual coordination octahedron is face-sharing. They show higher operating voltage than the conventional 3-V systems; a relationship between the level of operating voltage of transition-metal oxides and their  $d$ -electron character has been recognized. Among them,  $\text{LiNiO}_2$  and  $\text{LiCoO}_2$ , as well as their solid solutions  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$ , are isostructural with  $\alpha\text{-NaFeO}_2$ . Crystal radius for  $\text{Co}^{3+}$  ion (68 pm) is almost the same as that of  $\text{Ni}^{3+}$  ion (70 pm) (ions in octahedral sites in the low-spin state), so that a solid solution of  $\text{LiNiO}_2$  and  $\text{LiCoO}_2$  may be obtained [57]. Schematic crystal structures of P2-, P3-, O2-, and O3- $\text{LiMO}_2$  are shown in Fig. 5.10. The labels “O2” and “O3” indicate that the Li environment is octahedral in both cases, but the stacking sequences of the oxygen layers are  $ABCB$  and  $ABCABC$ , leading to two and three sets of Co and Li layers in the hexagonal unit



**Fig. 5.10** Crystal structures of P2-, P3-, O2-, and O3-type  $\text{LiMO}_2$ . Here O states for octahedral coordination of the cation and the number corresponds to the number of layers building the unit cell. In O3-type each layer is related to the others by translation whereas in O2-type every second layer is rotated by  $60^\circ$

cell, respectively. The electrochemical performance of O2- $\text{LiCoO}_2$  is competitive to that of conventional O3- $\text{LiCoO}_2$ , but its synthesis is more difficult and it is thus not convenient for industrial application [58].

Studies of structural properties of the  $\alpha\text{-NaFeO}_2$  type structure have shown that the oxygen sublattice can be considered as distorted from the *fcc* array in the direction of the hexagonal *c* axis [59, 60]. Considering the XRD patterns, the trigonal distortion gives rise to a splitting of the (006, 102) and (108, 110) Bragg lines that are characteristic of the lamellar framework. When the distortion in the *c* direction is absent, the ratio of the lattice parameters,  $c/a$  is  $\sqrt{24}$  (4.899), and the (006, 102) and (108, 110) lines will merge into single peaks [61].

### 5.3.1 $\text{LiCoO}_2$ (LCO)

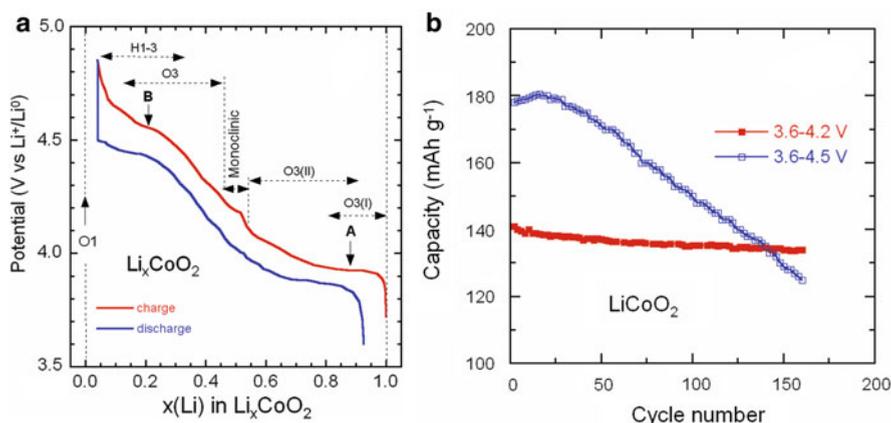
Identified and tested by Goodenough et al. [3, 62]  $\text{LiCoO}_2$  (LCO) is considered as the prototypical cathode oxide in which fast charge–discharge reaction occurs in the potential range 3.6–4.2 V [63]. After almost three decades, this material and its derivatives were still used in commercial lithium-ion batteries in the form of “normal” thermodynamically stable O3-structure as well as in the O2-structure. In the O3- $\text{LiCoO}_2$  lattice, *M* cations are located in octahedral  $3a$  (000) sites and oxygen anions are in a cubic close-packing (*ccp*), occupying the  $6c$  ( $00z$ ,  $000z$ ) sites. Li ions reside at Wyckoff  $3b$  ( $00\frac{1}{2}$ ) sites. The transition-metal and lithium ions are occupying the alternating (111) planes. The Bravais cell contains one molecule ( $Z=1$ ). The lattice constants ( $a=2.806$  Å,  $c=9.52$  Å) show that

O2-LiCoO<sub>2</sub> has a slightly larger interlayer spacing than the conventional O3-LiCoO<sub>2</sub> lattice ( $a = 2.816 \text{ \AA}$ ,  $c = 14.08 \text{ \AA}$ ). This former material has a more pronounced layered structure. For the refinement of the O2-LiCoO<sub>2</sub> lattice, the  $P6_3mc$  (no. 186) space group was used with oxygen and cobalt atoms located on  $2a$  and  $2b$  sites, respectively. The strong covalent bond in LiCoO<sub>2</sub>, with reduced Co–O bond distance, results in stabilization of Co<sup>3+</sup> in low-spin ground state that is  $d^6 = (t_{2g})^6(e_g)^0$ ,  $S = 0$ , and reduces the electronic conductivity of the compound.

A wide range of techniques were used to prepare LCO with different characteristics: morphology, size (from micron to nanometer), and size distribution of grains, all important factors in the development of efficient cathode materials. In the synthesis of LCO, the rhombohedral structure is obtained at high temperature  $T > 850 \text{ }^\circ\text{C}$  (called HT-LCO), while a low temperature phase (LT-LCO) was prepared around  $400 \text{ }^\circ\text{C}$  with a spinel structure Li<sub>2</sub>Co<sub>2</sub>O<sub>4</sub> [64]. Shao-Horn et al. [65] found that LT-LCO nucleates from an intermediate Li<sub>*x*</sub>Co<sub>1-*x*</sub>[Co<sub>2</sub>]O<sub>4</sub> spinel product before transforming more slowly to HT-LCO.

The traditional solid-state process is very popular [56, 66, 67]. It consists in sintering the mixture of cobalt carbonate (or oxide) and lithium carbonate (or hydroxide) at high temperature  $T \approx 850\text{--}900 \text{ }^\circ\text{C}$  in air for several hours. Numerous other techniques of LCO preparation, aiming to obtain fine grains with narrower size distribution, include: sol–gel method using various chelating agents [68, 69], combustion synthesis [70], molten salt synthesis [71], mechanical activation [72], freeze-dried salt synthesis [73], hydrothermal route [74], and microwave synthesis [75]. Akimoto et al. [76] succeeded to grow LiCoO<sub>2</sub> single-crystal by a flux method of the slow cooling from  $900 \text{ }^\circ\text{C}$  in a gold crucible.

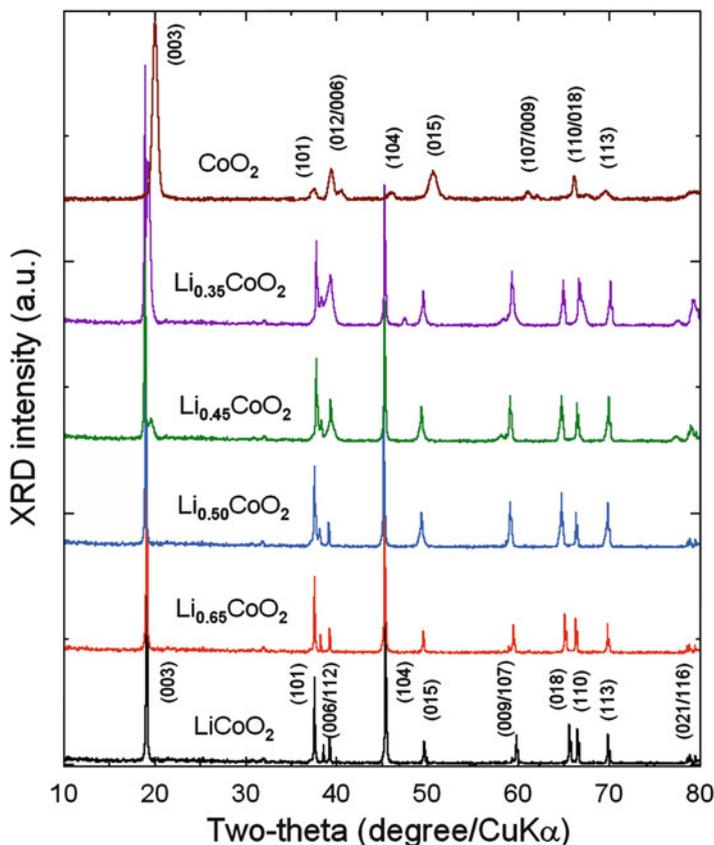
In Fig. 5.11a, the charge–discharge characteristics of the Li//LiCoO<sub>2</sub> cell is reported with the various phase in Li<sub>*x*</sub>CoO<sub>2</sub> in the range  $0 < x < 1$  [67, 77]. In the



**Fig. 5.11** (a) Electrochemical features of the Li//LiCoO<sub>2</sub> cycled in the voltage range 3.0–4.8 V. Powders were synthesized by sol–gel method. (b) Capacity retention as a function of the working region. When the LiCoO<sub>2</sub> electrode is operating with the cutoff voltage 3.6–4.5 V, the capacity fading becomes very important

potential domain 2.5–4.3 V, the voltage profile of  $\text{LiCoO}_2$  displays the typical plateau at ca. 3.92 V, which is the characteristic feature of the first phase transition denoted  $\text{H1} \leftrightarrow \text{H2}$ . This transition has been associated to a semiconductor-metal transition. The capacity retention of  $\text{LiCoO}_2$  electrode is clearly depicted as a function of the working region. For a cell working between 3.6 and 4.2 V, the capacity is quite stable, while for a voltage cutoff 3.6–4.5 V the capacity decreases drastically with the cycle number due to the loss of oxygen at deep lithium extraction (Fig. 5.11b). The tendency to lose oxygen at lower lithium contents appears to limit the practical capacity of the  $\text{Li}/\text{LiCoO}_2$  system to  $140 \text{ mAh g}^{-1}$ . Both O2-LCO and O3-LCO materials exhibit similar reversible phase transition as a function of the  $x(\text{Li})$  [78, 79]. The first transition (at 3.90 V for O3 and 3.73 V for O2) is connected with a large change in lattice constant but minor change in crystal structure; this modification is noted  $\text{H1} \leftrightarrow \text{H2}$  in O3- $\text{LiCoO}_2$  and  $\text{O2}_1 \leftrightarrow \text{O2}_2$  in O2- $\text{LiCoO}_2$ . When  $\text{Li}_x\text{CoO}_2$  approaches  $x = 0.5$ , both materials exhibit a phase transition that possibly is due to lithium ordering. This is a continuous phase transition ( $\text{H2} \leftrightarrow \text{M}$ ) to the monoclinic phase in O3. If more Li is removed, another continuous transition  $\text{M} \leftrightarrow \text{H3}$  occurs in O3- $\text{LiCoO}_2$ . However, there are some controversies on the crystal chemistry and the phase diagram of delithiated  $\text{Li}_x\text{CoO}_2$  [80].

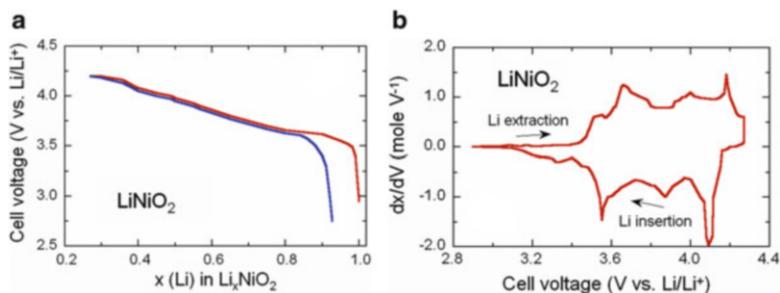
The structural evolution of the  $\text{LiCoO}_2$  phase during Li extraction (charge process) is still subject to debate (Fig. 5.12). Structural and chemical stabilities of  $\text{Li}_{1-x}\text{CoO}_{2-\delta}$  and  $\text{Li}_{1-x}\text{Ni}_{0.85}\text{Co}_{0.15}\text{O}_{2-\delta}$  with  $0 \leq (1-x) \leq 1$  electrode materials have been investigated by chemically extracting lithium using acetonitrile solution of  $\text{NO}_2\text{BF}_4$  [81]. This technique has the advantage of using samples free of carbon and binder. The  $\text{Li}_x\text{CoO}_{2-\delta}$  and  $\text{Li}_{1-x}\text{Ni}_{0.85}\text{Co}_{0.15}\text{O}_{2-\delta}$  systems maintain the initial O3-type structure, for  $0.5 \leq x \leq 1$  and  $0.3 \leq x \leq 1$ , respectively. While  $\text{Li}_x\text{CoO}_{2-\delta}$  begins to form a P3-type phase for  $x < 0.5$ ,  $\text{Li}_{1-x}\text{Ni}_{0.85}\text{Co}_{0.15}\text{O}_{2-\delta}$  begins to form a new O3-type phase, designated as O3' phase, for  $x < 0.3$ . The P3-type and the O3' phases have smaller  $c$  parameters than do the O3-type phase and oxygen contents lower than 2, resulting in a loss of oxygen from the lattice for  $x < 0.5$  and  $x < 0.3$ , respectively, for  $\text{Li}_x\text{CoO}_{2-\delta}$  and  $\text{Li}_x\text{Ni}_{0.85}\text{Co}_{0.15}\text{O}_{2-\delta}$ . The formation of the P3-type and O3' phase is related to the introduction of holes into the O:2p band and the O–O interaction. The loss of oxygen was also confirmed for electrochemically charged samples. Unfortunately, only 50 % of the theoretical capacity of  $\text{LiCoO}_2$  could be practically utilized. This corresponds to a reversible extraction/insertion of 0.5 Li per cobalt and a practical capacity of  $140 \text{ mAh g}^{-1}$  because capacity fade occurs below  $x < 0.5$  in  $\text{Li}_x\text{CoO}_2$ . Reimers et al. [82] attributed the limitation in practical capacity to an ordering of lithium ions and consequent structural distortions around  $x = 0.5$  in  $\text{Li}_x\text{CoO}_2$ . However, Chebiam et al. [78] believed that the limited capacity could be due to chemical instability of  $\text{Li}_x\text{CoO}_2$  at deep charge with  $x < 0.5$ . One way to overcome the chemical instability of  $\text{Li}_x\text{CoO}_{2-\delta}$  could be the modification of its surface with nanophase inert oxides such as  $\text{Al}_2\text{O}_3$  and  $\text{ZrO}_2$  [83]. A set of experiments, XRD, photoelectron spectroscopy and band structure calculations, shows that the degradation and fatigue of  $\text{Li}_x\text{CoO}_2$  and  $\text{Li}_x\text{NiO}_2$  ( $0.5 < x < 1$ ) are due to the broadening of the Co/Ni 3d states upon Li deintercalation [84].



**Fig. 5.12** XRD patterns of  $\text{LiCoO}_2$  and chemically delithiated using with a required amount of the  $\text{NO}_2\text{BF}_4$  oxidizing agent and anhydrous acetonitrile mixture. Note that the (003) reflection of the end member  $\text{CoO}_2$ -d occurs at a slightly higher  $2\theta$  value than that of the new phase formed at  $x = 0.45$ , which could be due to a small lithium solid solubility range for the P3-type phase and/or changes in the oxygen content of the P3-type phase with the overall lithium content

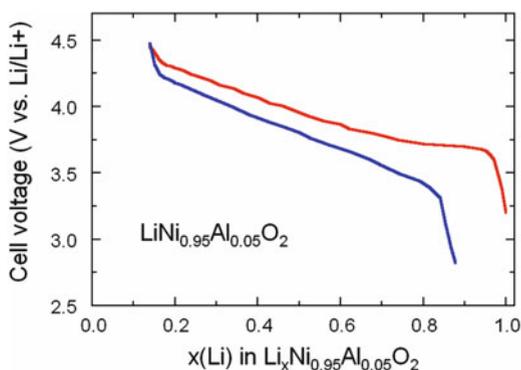
### 5.3.2 $\text{LiNiO}_2$ (LNO)

$\text{LiNiO}_2$  (LNO) is isostructural with  $\text{LiCoO}_2$  and has the O3 layer structure. The  $\text{Ni}^{3+/4+}$  couple with a high lithium chemical potential  $\mu_{\text{Li}(c)}$  provides a high cell voltage of around 4 V (Fig. 5.13) like  $\text{LiCoO}_2$ . However,  $\text{LiNiO}_2$  suffers from a few drawbacks: (1) the difficulty to synthesize  $\text{LiNiO}_2$  with all the nickel ions in the  $\text{Ni}^{3+}$  valence state and crystallized in a perfectly ordered phase without a mixing of cations  $\text{Li}^+$  and  $\text{Ni}^{2+}$  ions in the interlayer space to form the  $[\text{Li}_{1-x}\text{Ni}_x]_{3b}[\text{Ni}]_{3a}\text{O}_2$ , where  $3a$  and  $3b$  are the site occupancy into the intra- and inter-layer space, respectively [85], (2) the Jahn–Teller distortion (tetragonal structural distortion) associated with the low spin  $\text{Ni}^{3+}:d^7$  ( $t_{2g}^6e_g^1$ ) ion [86], (3) irreversible phase transitions occurring during the charge–discharge process [87], and (4) exothermic



**Fig. 5.13** Electrochemical features of  $\text{LiNiO}_2$  powders: (a) first charge-discharge profile and (b) the incremental capacity

**Fig. 5.14** The charge discharge profile of aluminum doped  $\text{LiNi}_{1-y}\text{Al}_y\text{O}_2$  with  $y=0.05$



release of oxygen at elevated temperatures and safety concerns in the charged state [88]. The deviation of  $\text{Li}_{1-x}\text{Ni}_{1+x}\text{O}_2$  from stoichiometry was studied by magnetic measurements [89]. We return to the magnetic properties of this material in Chap. 13 devoted to experimental technics.

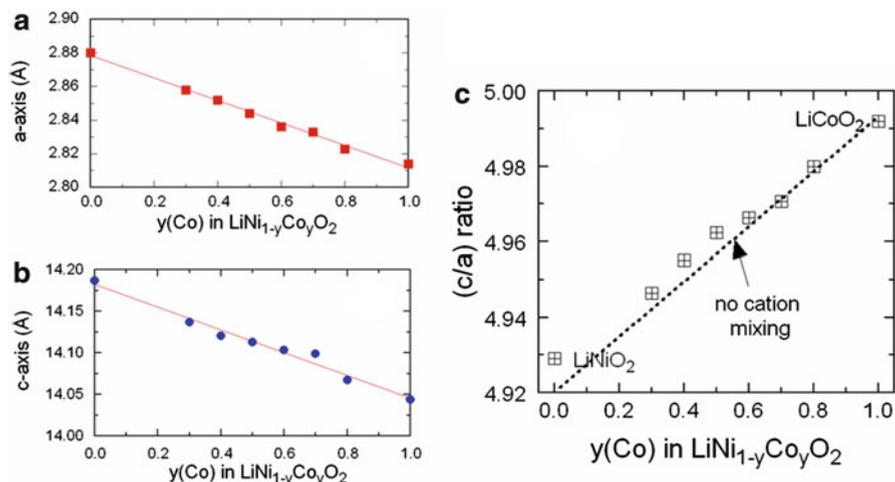
As a result, pure  $\text{LiNiO}_2$  is not a promising material for commercial lithium-ion cells although nickel is slightly less expensive and less toxic than cobalt. The formation of low lithium content  $\text{Li}_x\text{NiO}_2$  ( $x < 0.2$ ) causes cycle life failure. In addition, the material becomes highly catalytic toward electrolyte oxidation and some of the nickel ions may migrate to lithium sites. LNO is considered as thermally unstable in its charged state [90]. The formation of pure LNO is difficult, and residual  $\text{Ni}^{\text{II}}$  (up to 1–2 %) exist between the  $\text{NiO}_6$  slabs. In fact, the irreversibility during the first cycle of charge–discharge is mainly related to the amount of  $\text{Ni}^{\text{II}}$  between the slabs, which require extra charge for oxidation to higher valency state [91], when electrolyte decomposition is controlled. Through careful synthesis and adjustment of lithium concentration in the material during heat treatment, it was obtained LNO very close to stoichiometry. In order to stabilize the structure of  $\text{LiNiO}_2$  at low lithium content, sp elements such as B and Al were used as dopant of the LNO materials. These doping elements do not participate in oxidation reduction processes during charge–discharge of the cell. Figure 5.14 shows the

charge–discharge of aluminum doped  $\text{LiNi}_{1-y}\text{Al}_y\text{O}_2$  with  $y = 0.05$ . The specific capacity of the cell reduces as a function of Al content, as the Al does not participate in the redox process. The capacity changes almost linearly with respect to the Al content up to 25 at%. This result may indicate a solubility limit in the formation of a solid solution between  $\text{LiAlO}_2$  and  $\text{LiNiO}_2$ . It is also observed that the first charge–discharge irreversibility also increases as the amounts of Al in the samples were increased. The extra nickel in the lithium sites may cause the increase in first cycle irreversibility [92]. Ohzuku et al. [93] suggested the composition  $\text{LiNi}_{3/4}\text{Al}_{1/5}\text{O}_2$  as more stable compound for lithium-ion batteries.

### 5.3.3 $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$ (NCO)

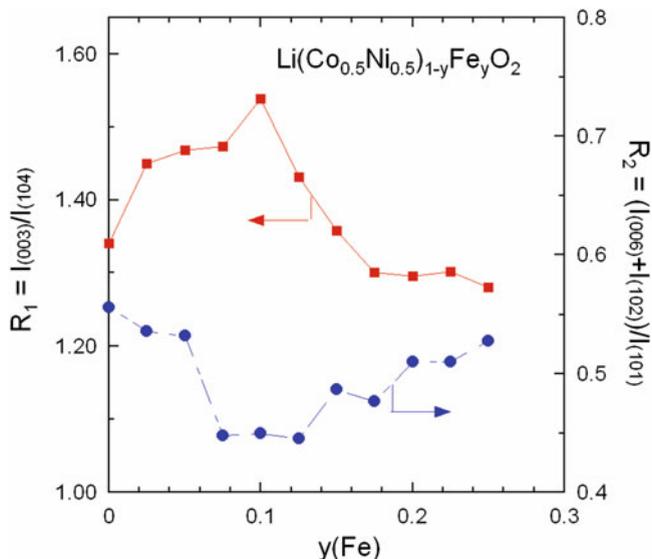
Efforts are currently done to improve the electrochemical performance of electrodes based on  $\text{LiNiO}_2$  oxides, by forming the  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$  (NCO) solid solutions that are considered as Ni-rich compounds. Delmas et al. [94] pioneered studies of the NCO system. These solid solutions lead to successful results and progress has already been made to overcome the capacity fading by doping with several cations; it was noticed that the cationic mixing in the lithium sites still remains but at lower extent [95–99]. Many techniques were utilized for the NCO preparation. Julien et al. [100] synthesized  $\text{LiNi}_{0.3}\text{Co}_{0.7}\text{O}_2$  powders of 510 nm particle size by the glycine–nitrate combustion process. This sample had significantly higher capacity ( $140 \text{ mAh g}^{-1}$ ) than samples synthesized by the sol–gel method ( $125 \text{ mAh g}^{-1}$ ). Julien et al. [69] prepared NCO by sol–gel technique assisted by citric acid as chelating agent. The structural properties of NCOs are found to be similar to their parent oxide,  $\text{O}_3\text{-LiCoO}_2$ . A slight increase of the (Co,Ni) $\text{O}_2$  slab covalency is observed in  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$  powders. FTIR absorption spectra indicate the slight modification in the local structure related to the short-range environment of oxygen coordination around the cations in oxide lattices [101]. The variation of the lattice parameters as a function of the substitution of Co for Ni in  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$  reported in Fig. 5.15 These graphs follow the Vegard’s law showing that the solid-solution is completed in these compounds. An interesting point to emphasize is the variation of the  $(c/a)$  ratio, which characterizes the degree of anisotropy of the layered structure. This criterion establishes the deviation from the hexagonal-close-packed structure when  $(c/a) > 4.92$  for  $y = 0$  and  $(c/a) < 4.99$  for  $y = 1$  [97]. Such graphs are also plotted in Fig. 5.15 for  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$  synthesized by wet chemistry via citrate route. From the plot  $(c/a)$  vs.  $y$ , we can appreciate the cation mixing effect. The binding energy of the Co–O bond being higher than that of the Ni–O bond, the strong Co–O skeleton can contribute to the stability of  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$  in the charged state.

In the case of  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$ , even a small cobalt content shows the effect of reducing the amount of  $\text{Ni}^{3+}$  present in the  $3a$  sites, stabilizing the layered structure and enhancing electrochemical capacity and the reversibility of the charge–discharge process. The same effect may occur when iron is partially



**Fig. 5.15** (a) and (b) The variation of hexagonal unit-cell parameters with cobalt concentration  $y$  in  $\text{LiCo}_y\text{Ni}_{1-y}\text{O}_2$  solid solution. (c) The variation of the  $(c/a)$  ratio as a function of cobalt content. Dashed line represents the  $(c/a)$  vs.  $y$  relationship without cation mixing effect

substituted for cobalt in  $\text{LiFeO}_2$ : the layered structure is thought to be stabilized by cobalt ions present, reducing the amount of  $\text{Fe}^{3+}$  present in the  $3a$  sites, thus facilitating lithium diffusion and rendering lithium deintercalation possible. The cathode layered structure enables fast 2D  $\text{Li}^+$ -ion diffusion and direct metal–metal intercalation across the shared octahedral edges, which supports high operating voltage ( $>4.0$  V vs.  $\text{Li}^0/\text{Li}^+$ ). As experimental facts, the iron-enrichment on the cathode surface of  $\text{LiNi}_{1-y}\text{Fe}_y\text{O}_2$  and  $\text{LiCo}_{1-y}\text{Fe}_y\text{O}_2$  prevents surface-electrolyte instability at these high operating voltages and Fe-substituted cathodes exhibit superior rate capability and lower surface resistance ( $R_s$ ) and charge transfer resistance ( $R_{ct}$ ) compared to the unsubstituted cathode. In  $\text{LiNi}_{1-y}\text{Fe}_y\text{O}_2$ , Li sites are reportedly Ni and Fe, and their oxidation states are 2+ and 3+, respectively and  $\text{Fe}^{3+}$  occupies Li sites preferentially, compared to  $\text{Ni}^{2+}$ ; this suggests the chemical formula for the Fe-substituted samples as follows:  $[\text{Li}_{1-z}\text{Ni}_{z-a}^{2+}\text{Fe}_a^{3+}]_{\text{inter-slab}} [\text{Ni}_{1-y}^{3+}\text{Fe}_{y-a}^{3+}\text{Ni}_{z+a}^{2+}]_{\text{slab}} \text{O}_2$ . Variations of the trigonal distortion and cation mixing could be estimated from XRD patterns using two factors involving the Bragg line intensity. It is known that the smaller value of the ratio  $(I_{006} + I_{102})/I_{101}$ , called the  $R_1$ -factor, is related to higher hexagonal ordering and the large value of the ratio  $R_2 = I_{003}/I_{104}$  is related to the smaller cation mixing. The  $\text{Li}(\text{Ni}_{0.5}\text{Co}_{0.5})_{1-y}\text{Fe}_y\text{O}_2$  cathodes synthesized under mild conditions (sol–gel technique) shows the smallest  $R_1$ -factor  $[(I_{006} + I_{102})/I_{101}]$  and the largest  $I_{003}/I_{104}$ , indicating better hexagonal ordering and less cation mixing, respectively, for  $y = 0.1$  (Fig. 5.16). The direction of the  $c$ -axis distorted in the  $R\bar{3}m$  structure is reflected by the splitting of the (006) and (102) peaks, and (108) and (110) peaks in the XRD patterns (see Fig. 5.12).

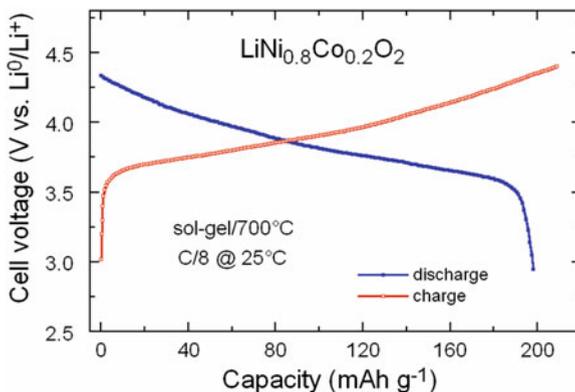


**Fig. 5.16** Variation of the  $R$ -factors indicating better hexagonal ordering and less cation mixing for  $y = 0.1$  in  $\text{Li}(\text{Ni}_{0.5}\text{Co}_{0.5})_{1-y}\text{Fe}_y\text{O}_2$

Since the voltage-composition curves for LCO and LNO are similar, the NCO solid solution could be expected to give a cathode performance similar to that of  $\text{Li}_x\text{CoO}_2$ , but with a significant reduction of cobalt and partly elimination of the  $\text{Ni}^{\text{II}}$  from the lithium layers for  $y > 0.3$ . It was demonstrated that the  $\text{Ni}^{4+}/\text{Ni}^{3+}$  couple lies about 0.35 eV above the  $\text{Co}^{4+}/\text{Co}^{3+}$  couple, which gives a somewhat larger capacity [102]. Although the capacity of  $\text{LiCoO}_2$  is about  $130 \text{ mAh g}^{-1}$ , the capacity of  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$  with part of the Co substituted by Ni increases to about  $180 \text{ mAh g}^{-1}$  but the discharge voltage falls slightly (suppression of the H1  $\leftrightarrow$  H2 transformation). This solid solution system, especially the composition  $\text{LiNi}_{0.8}\text{Co}_{0.2}\text{O}_2$ , appears to replace  $\text{LiCoO}_2$ . A charge–discharge voltage profile for this composition is shown in Fig. 5.17. The  $\text{LiNi}_{0.8}\text{Co}_{0.2}\text{O}_2$  electrode showed a high discharge capacity of  $200 \text{ mAh g}^{-1}$  at  $25^\circ\text{C}$ . Investigations of the physical-chemistry of  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$  compounds include structure and morphology [101], vibrational spectroscopy [103], magnetism [104, 105], electronic transport [97], nuclear magnetic resonance [106] as a function of the composition. Chebiam et al. [107] showed that cobalt-rich phases tend to lose oxygen on deep lithium extraction due to the overlap of the  $\text{Co}^{3+/4+}:t_{2g}$  band with the top of the O:2p band in  $\text{Li}_{1-x}\text{Ni}_{1-y}\text{Co}_y\text{O}_{2-\delta}$ .

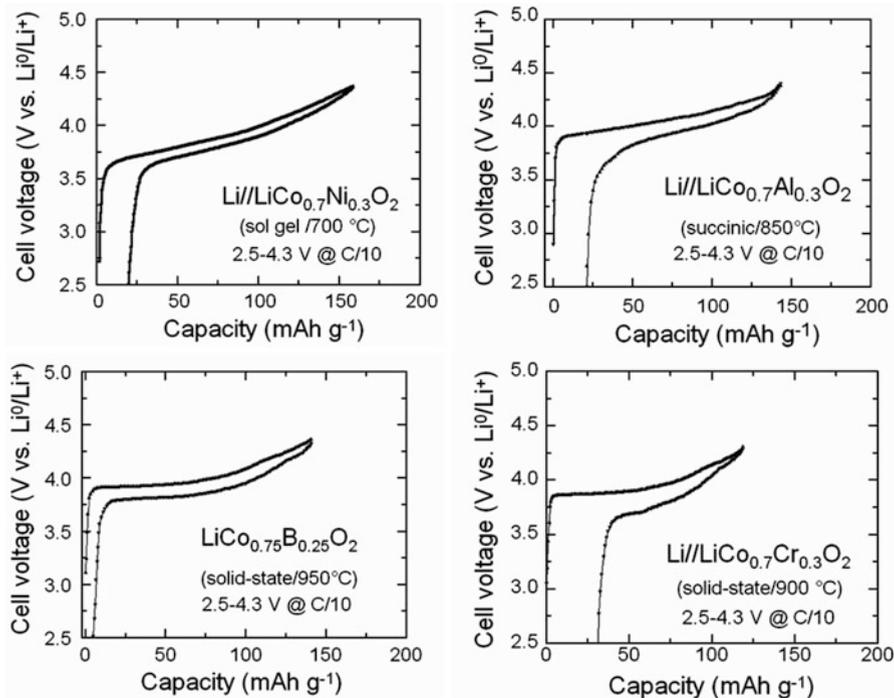
Thin films  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$  compounds were also prepared [108]. Highly oriented  $\text{LiNi}_{0.8}\text{Co}_{0.2}\text{O}_2$  films grown by pulsed-laser were fabricated for application in microbatteries [109]. Electrochemical titration curve shows a specific capacity of  $85 \mu\text{Ah } \mu\text{m}^{-1} \text{ cm}^{-2}$  at current density  $4 \mu\text{A cm}^{-2}$  in the potential range 2.5–4.3 V.

**Fig. 5.17** Typical charge–discharge characteristics of Li//LiNi<sub>0.8</sub>Co<sub>0.2</sub>O<sub>2</sub> nonaqueous cells using the electrolyte of composition 1 mol L<sup>-1</sup> LiClO<sub>4</sub> in PC at 25 °C. Charge and discharge were obtained at current densities 0.1 mA/cm<sup>2</sup>. LiNi<sub>0.8</sub>Co<sub>0.2</sub>O<sub>2</sub> powders were fired at 700 °C for 4 h in air



### 5.3.4 Doped LiCoO<sub>2</sub> (d-LCO)

LCO was doped with several elements to stabilize the layered lattice at  $x(\text{Li}) < \frac{1}{2}$  and extend the specific discharge capacity of LiCo<sub>1-y</sub>M'<sub>y</sub>O<sub>2</sub> [110, 111]. Doping elements include  $M' = \text{Al}$  [112, 113],  $M' = \text{Mg}$  [99],  $M' = \text{B}$  [114],  $M' = \text{Cr}$  [115]. They were mainly prepared by soft-chemistry via dicarboxylic acid-assisted sol–gel method [116, 117]. In this technique, the chelating agent, C<sub>n</sub>H<sub>m</sub>O<sub>4</sub>, with COOH groups, plays the role of oxidant starting from high purity metal acetates dissolved in a minimum volume of distilled water. The concentration of the chelate was adjusted carefully to get a solution with the pH in the range 3–4. The paste was further dried at 120 °C to obtain the dried precursor mass. The decomposition of the precursor was made in air at around 400 °C followed by calcination at 800 °C. Scanning electron microscopy (SEM) studies reveal the nano-structured morphology of the powders. The influence of Al doping on particle size and morphologies has been clearly evidenced [118]. The layered structure of boron-substituted LiCo<sub>1-y</sub>B<sub>y</sub>O<sub>2</sub> is preserved upon a large amount of B<sup>III</sup> incorporation ( $y \leq 0.25$ ), for which no residual impurity phases were detected [114]. The limit of solubility of boron is also the composition that optimizes the electrochemical properties. Up to  $y \leq 0.2$  the boron improves importantly the cycling performance of the battery, as the dopant favors lattice adaptation to the insertion/extraction of Li<sup>+</sup> ions and prevents the onset of the structural first-order transition associated with the Verwey transition in Li<sub>0.5</sub>CoO<sub>2</sub>. Abuzeid et al. [119] reported the synthesis of LiCo<sub>0.8</sub>Mn<sub>0.2</sub>O<sub>2</sub> using a wet-chemical method via citric-acid route. This cathode material has the ability to free Li<sup>+</sup> ions from its structure by chemical process analogous to the first step of the charge transfer reaction in an electrochemical cell. Both the concentration of Mn<sup>3+</sup>–Mn<sup>4+</sup> pairs and that of Mn<sup>4+</sup>–Mn<sup>4+</sup> pairs formed in the delithiation process have been determined, together with that of the Mn<sup>3+</sup>–Mn<sup>3+</sup> pairs. The results indicate a random distribution of the Li ions that are removed from the matrix upon delithiation, which then undergo a diffusion process. Testing the material as cathode in lithium batteries revealed a capacity of ~170 mAh g<sup>-1</sup> with lower polarization and high coulombic efficiency [120].



**Fig. 5.18** Electrochemical features of Li//LiCo<sub>1-y</sub>M'<sub>y</sub>O<sub>2</sub> cells during the first charge–discharge cycle carried out in the range 2.5–4.4 V at C/10 rate. (a) M' = Ni, (b) M' = Al, (c) M' = B, and (d) M' = Cr

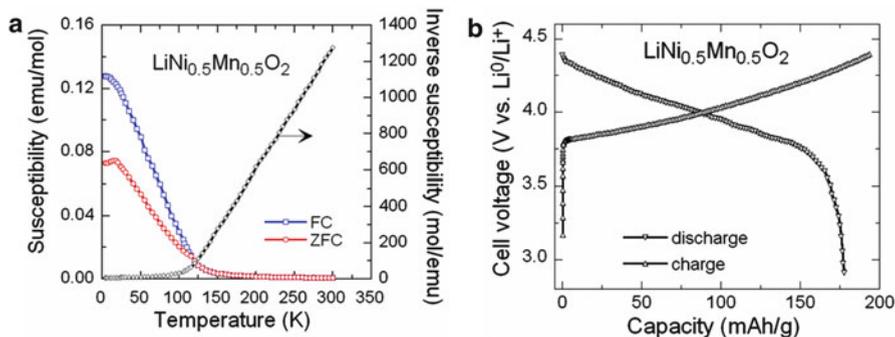
In Fig. 5.18, the electrochemical features of synthesized LiCo<sub>0.7</sub>M'<sub>0.3</sub>O<sub>2</sub> (M' = Ni, Al, B and Cr) layered oxides are compared. The electrochemical features of the layered LiCo<sub>0.7</sub>Ni<sub>0.3</sub>O<sub>2</sub>, LiCo<sub>0.7</sub>Al<sub>0.3</sub>O<sub>2</sub>, and LiCo<sub>0.65</sub>B<sub>0.35</sub>O<sub>2</sub>, oxides resemble to that of LiCoO<sub>2</sub>. Replacing a small amount of Co demonstrates the disappearance of the voltage plateau at 3.85 V in the charge curve. This is attributed to the absence of the semiconductor–metal transition with M' substitution [121]. Chemical substitution of products improves electrochemical properties for Al- and Ni-based materials, which exhibit good capacity retention. The efficiency in maintaining capacity retention is observed over a large number of cycles without sacrificing initial reversible capacity. However, the electrochemical profile of the LiCo<sub>0.7</sub>Cr<sub>0.3</sub>O<sub>2</sub> cell displays a large capacity fading attributed to the structural distortion upon substitution [115]. The electrochemical profiles of Li//LiCo<sub>1-y</sub>B<sub>y</sub>O<sub>2</sub> cells including various levels of boron substitution (0.05 ≤ y ≤ 0.35) in their positive electrode provide very low polarization during charge–discharge cycling, with capacity over 130 mAh g<sup>-1</sup> when charged up to 4.3 V vs. lithium anode. Capacity of the LiCoO<sub>2</sub> doped with 15 % boron remains over 125 mAh g<sup>-1</sup> after 100 charge–discharge cycles. It appears that a less electrolyte decomposition occurs with boron or aluminum substitution in LiNiO<sub>2</sub> and LiCoO<sub>2</sub> cathodes in lithium batteries [99].

### 5.3.5 $\text{LiNi}_{1-y-z}\text{Co}_y\text{Al}_z\text{O}_2$ (NCA)

Among the Ni-rich layered compounds,  $\text{LiNi}_{1-y-z}\text{Co}_y\text{Al}_z\text{O}_2$  (NCA) exhibits enhanced electrochemical performance compared with non Al-doped  $\text{LiNi}_{1-y}\text{Co}_y\text{O}_2$  mixed materials because of its structural and thermal stability [122–125]. The specific composition  $\text{LiNi}_{0.80}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$  is currently used in the 85-kWh battery pack to power the EV Roadster by Tesla at high speed. Note that NCA-type materials are currently used in SAFT commercial batteries for various applications (EV, HEV, space, military, etc.) [126]. Majumdar et al. [127] synthesized NCA powders via wet-chemical route using metal acetates and aluminum nitrate. The electrochemical features carried out in the potential range 3.2–4.2 V at a current density  $0.45 \text{ mA cm}^{-2}$  showed a specific capacity of  $\sim 136 \text{ mAh g}^{-1}$ . NCA prepared by a co-precipitation method showed a quasi-ideal lamellar structure with less than 1 % extra-nickel ions in the interslab space [92]. Bang et al. [124] investigated the structural change of the delithiated cathode  $\text{LiNi}_{0.80}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$  during thermal decomposition, the XRD analysis was carried out with different states-of-charge (SOCs). As the SOC increases, the (018) and (110) Bragg lines at  $2\theta = 65^\circ$  move toward lower higher angles, respectively. An increase in the distance between these reflections indicated an increase in the  $c/a$  ratio of the NCA lattice [128]. Improvement of the electrochemical performance at high temperature ( $60^\circ\text{C}$ ) was obtained by coating the NCA powders with metal oxides. Cho et al. [129, 130] stabilized the surface of nanoparticles by  $\text{SiO}_2$  and  $\text{TiO}_2$  dry coating. Surface modification of NCA nanopowders has been also obtained with various other coatings such as  $\text{Ni}_3(\text{PO}_4)_2$  [131],  $\text{AlF}_3$  [132],  $\text{Li}_2\text{O}\cdot 2\text{B}_2\text{O}_3$  (LBO) glass [133], and carbon [134]. Enhancement of the capacity retention ( $169 \text{ mAh g}^{-1}$  at current density  $360 \text{ mA g}^{-1}$ ) at high temperature of  $\text{LiNi}_{0.80}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$  has been obtained with a 2 wt% LBO coating [133]. Belharouak et al. [135] studied the thermal degradation of deintercalated NCA samples. They reported that the oxygen release from these delithiated powders was associated with the occurrence of several structural transformations, ranging from the  $R\bar{3}m \rightarrow Fd\bar{3}m$  (layered  $\rightarrow$  spinel) transition to the  $Fd\bar{3}m \rightarrow Fm\bar{3}m$  (spinel  $\rightarrow$  NiO-type) transition.

### 5.3.6 $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$ (NMO)

Layered, monoclinic  $\text{LiMnO}_2$  is isostructural with  $\text{LiCoO}_2$  but transforms on cycling at 3–4 V vs.  $\text{Li}^0/\text{Li}^+$  to more thermodynamically stable spinel phase. Cation doping (e.g.,  $\text{Ni}^{2+}$  or  $\text{Cr}^{3+}$ ) can be used to stabilize the layered phase with some success. The layered oxide  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  (NMO) was reported to be a promising positive electrode material [136, 137].  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  adopts a hexagonal unit cell ( $\alpha\text{-NaFeO}_2$ -like). XANES experiments have demonstrated that transition-metal ions adopt the  $\text{Ni}^{3+}$  and  $\text{Mn}^{4+}$  state with a small fraction of divalent  $\text{Ni}^{2+}$  ions. The manganese remaining in the +4 valence state at all times prevents the instabilities



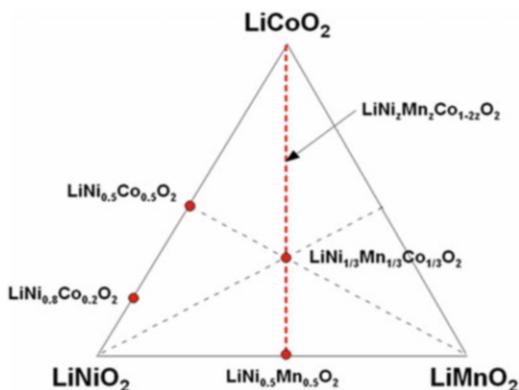
**Fig. 5.19** (a) Temperature dependence of the magnetic susceptibility of  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  powders for zero-field cooling (ZFC) and field-cooling (FC). (b) Charge and discharge curves of the  $\text{Li}/\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  cell with a current density of  $0.12 \text{ mA/cm}^2$  and cutoff voltage of 2.6 and 4.2 V. The cathode material was synthesized by citric acid assisted sol-gel method

associated with Jahn–Teller  $\text{Mn}^{3+}$  ions. This material shows a reversible capacity  $150 \text{ mAh g}^{-1}$  in the voltage range 2.5–4.3 V and exhibits the superior characteristics of a larger capacity than  $\text{LiMn}_2\text{O}_4$  and better thermal stability than  $\text{LiNiO}_2$ . Structural analysis have shown that the lattice parameters of  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  are  $a = 2.896 \text{ \AA}$  and  $c = 14.306 \text{ \AA}$  and the chemical composition can be expressed by referring to the Wyckoff positions  $3a$  and  $3b$  of the space group  $R\bar{3}m$  as  $[\text{Li}_{0.92}\text{Ni}_{0.07}]_{3a}[\text{Li}_{0.08}\text{Mn}_{0.5}\text{Ni}_{0.43}]_{3b}\text{O}_2$ . Figure 5.19a shows the magnetic properties of  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  [138] with the plot of the molar spin susceptibility,  $\chi(T)$  as a function of the temperature in the range 4–300 K. A cusp in  $\chi(T)$  is observed close to 15 K that is similar to the spin-glass behavior reported for  $\text{LiNiO}_2$  [139, 140]. A large deviation between ZFC and FC curves below 100 K indicates the existence of ferromagnet. The ferromagnetic interaction is associated with the random occupancy of  $3a$  and  $3b$  sites by 7 %  $\text{Ni}^{2+}$  and 50 %  $\text{Mn}^{4+}$ , respectively. The  $180^\circ \text{Ni}^{2+}\text{-O-Mn}^{4+}\text{-O-Ni}^{2+}$  superexchange interaction is assumed to be ferromagnetic in  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$ . In this layered compound the almost linear  $180^\circ M_{3a}\text{-O-M}_{3b}\text{-O-M}_{3a}$  bond is expected to be stronger than the interlayer  $90^\circ M_{3b}\text{-O-M}_{3b}$  bond when the  $3a$  site is partially occupied by  $M$  cations [139]. Figure 5.19b shows the charge and discharge curves of the  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  cell with a current density of  $0.12 \text{ mA cm}^{-2}$  and cutoff voltage of 2.6 and 4.2 V. This electrochemical profile indicates that the lithium deintercalation process in  $\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  proceeds via a single-phase reaction.

### 5.3.7 $\text{LiNi}_{1-y-z}\text{Mn}_y\text{Co}_z\text{O}_2$ (NMC)

In search of high-power lithium-ion batteries with excellent calendar life and better thermal abuse tolerance to replace the  $\text{Li}/\text{LiCoO}_2$  system, the new chemistry  $\text{Li}(\text{Ni},\text{Mn},\text{Co})\text{O}_2$  (NMC) was first synthesized by Liu et al. [141]. These compounds of various compositions, which look like the simple solid solution

**Fig. 5.20** The triangular phase diagram of the  $\text{LiCoO}_2$ - $\text{LiNiO}_2$ - $\text{LiMnO}_2$  solid solution

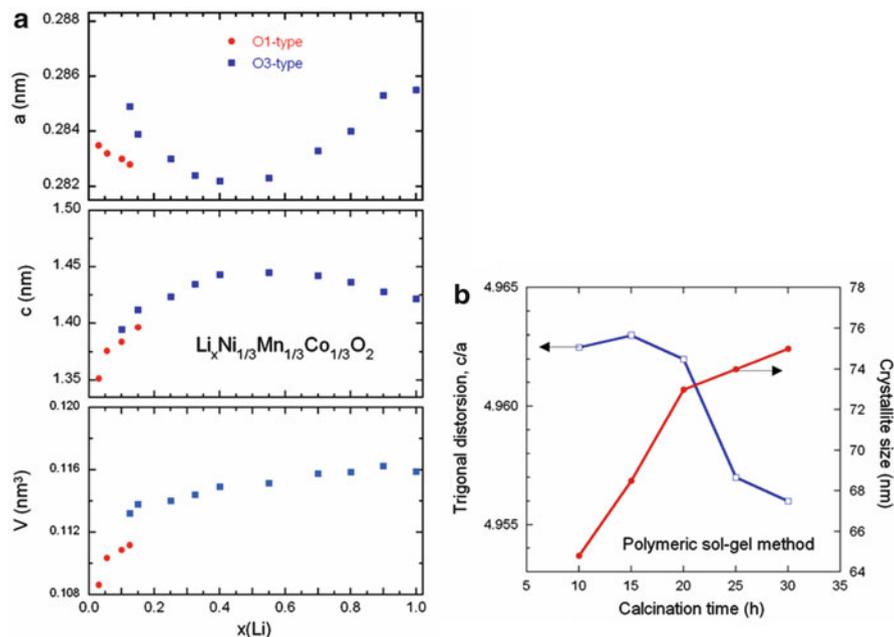


$\text{LiCoO}_2$ - $\text{LiNiO}_2$ - $\text{LiMnO}_2$  (Fig. 5.20), have been intensively studied with ultimate goals of large enhancement of the thermal and structural stability and appreciable increase of the capacity retention because the combination of nickel, manganese, and cobalt can provide many advantages. The  $\text{LiNi}_{1-y-z}\text{Mn}_y\text{Co}_z\text{O}_2$  compounds crystallize with the  $\alpha$ - $\text{NaFeO}_2$ -type structure ( $R\bar{3}m$  space group). The pioneering work of the Dahn's group demonstrated the high performance of the  $\text{Li}/\text{LiNi}_y\text{Mn}_y\text{Co}_{1-2x}\text{O}_2$  and suggested the valence state of transition-metal cations such as divalent ( $\text{Ni}^{2+}$ ), trivalent ( $\text{Co}^{3+}$ ) and tetravalent ( $\text{Mn}^{4+}$ ), respectively [142, 143]. Note that to keep the charge neutrality and avoid the  $\text{Mn}^{3+}$  Jahn–Teller ions, the amount of Ni and Mn ions must be equal. It was reported that  $\text{LiNi}_y\text{Mn}_y\text{Co}_{1-2x}\text{O}_2$  showed a specific capacity of  $160 \text{ mAh g}^{-1}$  over 2.5–4.4 V [144] and the thermal behavior of charged  $\text{LiNi}_y\text{Mn}_y\text{Co}_{1-2x}\text{O}_2$  was milder than that of charged LCO and LNO [145]. There are many factors that influence the electrochemical features of  $\text{LiNi}_{1-y-z}\text{Mn}_y\text{Co}_z\text{O}_2$  such as synthesis preparation, structural defects, morphology, composition, operation voltage domain. Lee et al. [146] have investigated the defect chemistry and doping effects of NMCs in terms of energetics and dynamics with special attention of the antisite pair defects. Properties of NMCs were summarized in several review papers [147–149]. NMR studies have evidenced for a nonrandom distribution of the transition-metal cations in  $\text{LiNi}_{1-x-y}\text{Mn}_x\text{Co}_y\text{O}_2$  [150]. The insulator-to-metal transition observed with  $\text{LiCoO}_2$  disappeared at  $y \geq 0.2$  due to the loss of contact between Co ions that interrupts the cooperative effect. Various synthetic methods have been applied to elaborate  $\text{LiNi}_{1-y-z}\text{Mn}_y\text{Co}_z\text{O}_2$  compounds such as traditional solid-state reaction [151, 152], supercritical water method [153], sol–gel technique [154], co-precipitation synthesis [155–157], spray-drying method [121, 158, 159], radiated polymer gel method [160], solvent evaporation method [161], molten-salt synthesis [162], polymer template route [163], and Pechini method [164]. Among these synthetic methods, co-precipitation and sol–gel route have been found powerful, economic, and easy for large scale fabrication, because the TM ions are precipitated in an homogeneous manner and oxidized in the aqueous solution at the molecular level. Fujii et al. [165] indicated that high calcination temperature results

in the formation of vacancies in the TM slabs, lowers the specific capacity and degrades the cycle performance. The optimum calcination temperature is 900 °C in order to obtain the electrochemically active and dense packed oxide particles.

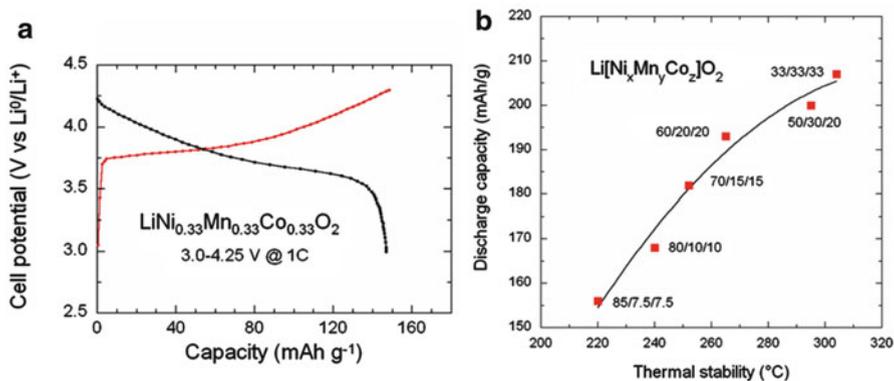
Electrochemical performance of  $\text{LiNi}_{1-y-z}\text{Mn}_y\text{Co}_z\text{O}_2$  cathode materials were improved by doping cations [166, 167] and anion substitution of fluoride for oxygen [168]. The long-range and local structure and cationic environment in  $\text{LiNi}_{1-y-z}\text{Mn}_y\text{Co}_z\text{O}_2$  were studied by FTIR and EPR spectroscopy [169]. Cation ordering was investigated by using a combination of  $^6\text{Li}$  magic angle spinning (MAS) nuclear magnetic resonance (NMR) spectroscopy and neutron pair distribution function (PDF) analysis [150]. For  $\text{Li}[\text{Ni}_x\text{Mn}_y\text{Co}_z]\text{O}_2$  lamellar compounds, the electronic conductivity is not a problem but these materials have poor cycling stability at high rate or high cutoff voltage, which limits their use to portable applications. Aurbach et al. [170] have suggested that the capacity retention is strongly dependent on the surface chemistry of the particles because of resistive SEI layer. That is why many attempts have been made to protect the surface of NMC materials with metal oxides such as  $\text{Al}_2\text{O}_3$ ,  $\text{TiO}_2$ ,  $\text{ZrO}_2$ , and  $\text{MgO}$ , or other compounds such as  $\text{FePO}_4$ ,  $\text{LiFePO}_4$ , and  $\text{Li}_4\text{Ti}_5\text{O}_{12}$  [83]. The improvement of the electrochemical performance due to the crystallization of the surface layer can be understood as follows. First, the electronic conductivity is affected by the structural disorder. This has been evidenced by transport experiments that have shown an increase of conductivity when the calcination temperature used in the synthesis process increases from 800 up to around 1000 °C, owing to improved crystallinity of the materials [164]. In fact, a high crystallinity is essential to obtain good electrical conductivity. In another approach, direct UV-assisted conformal coating of poly(tris(2-(acryloyloxy)ethyl) phosphate) (PTAEP) gel polymer electrolyte on as-formed  $\text{LiNi}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$  (NMC) cathode is quite a new process. A smooth and continuous PTAEP coating layer with thickness 20 nm was obtained, which improved the 4.6 V cycling performance, without impairing discharge capability [171]. The authors, however, were too optimistic when claiming that it suppressed the exothermic reaction. It only shifted the exothermic peak temperature from 284 to 294 °C and reduced the exothermic peak from 649 to 576  $\text{J g}^{-1}$ . This is, however, a new conformal coating strategy that has to be explored on other electrodes.

Nowadays, the magic composition  $\text{LiNi}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$  with hexagonal structure, first introduced by the Ohzuku's group in 2001, has attracted more significant interest as a candidate of cathode materials because the good stability during cycling even at elevated temperature, and high reversible capacity [155]. The lithium excess cathode  $\text{Li}_{1+x}(\text{Ni}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3})_{1-x}\text{O}_2$  was found to exhibit better cyclability and rate capability than the stoichiometric material up to a cutoff charge voltage of 4.6 V vs.  $\text{Li}^0/\text{Li}^+$  [172–174]. Ligneel found that NMC structural stability [174]. Zhang et al. [173] have explored the co-precipitation route to synthesize the  $\text{Li}_{1+x}(\text{NMC})_{1-x}\text{O}_2$  powders and optimize its structure by adjusting one parameter of the synthesis, namely the lithium–transition metal ratio ( $\kappa$ ), so as to minimize the cation mixing. The synthesis of NMC powders was performed by a hydroxide route using transition-metal hydroxide and lithium carbonate as with Li excess



**Fig. 5.21** (a) Evolution of the lattice parameters vs.  $x(\text{Li})$  in  $\text{Li}_x\text{Ni}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$ . Powders were prepared by citrate gel method with molar ratio  $\text{Li}/(\text{Ni} + \text{Mn} + \text{Co}) = 1$ . (b) Evolution of the trigonal distortion, i.e., the  $c/a$  ratio and particle size of  $\text{Li}_x\text{Ni}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$  powders as a function of the calcination time at the optimum temperature of  $900^\circ\text{C}$

$0.04 \leq x \leq 0.12$  shows a strong starting materials. The final products were fired at  $950^\circ\text{C}$  for 10 h in air. The samples described here were obtained with nominal values:  $\kappa = \text{Li}/M = 1.05$  (sample-A) and  $\kappa = 1.10$  (sample-B) with  $M = \text{Ni} + \text{Mn} + \text{Co}$ . The Rietveld refinement of the XRD spectra and the analysis of the magnetic properties showed that the concentration of cation mixing for sample-A and sample-B were below 2%. Figure 5.21 shows the evolution of the structural properties of  $\text{Li}_x\text{Ni}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$  powders prepared by the citrate gel method with metal molar ratio  $\text{Li}/(\text{Ni} + \text{Mn} + \text{Co}) = 1$ . The evolution of the lattice parameters vs.  $x(\text{Li})$  in  $\text{Li}_x\text{Ni}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$  displays the two structural O1- and O3-phase. The curves of the trigonal distortion measured from the  $c/a$  ratio, and particle size as a function of the calcination temperature for  $\text{Li}_x\text{Ni}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$  show that heat treatment for 20 h is sufficient. The electrochemical features of are displayed in Fig. 5.22a for  $\text{Li}_{1+x}(\text{NMC})_{1-x}\text{Co}_{1/3}\text{O}_2$  powders synthesized by the co-precipitation method with  $\kappa = 1.05$ . Results show a loss rate of 0.15% per cycle for the NMC electrodes synthesized by co-precipitation method. More than 95% of its initial capacity was retained after 30 cycles in the cutoff voltage of 3.0–4.3 V at 1 C-rate. Note that a cation mixing below 2% can be considered as the threshold for which the electrochemical performance does not change for NMC. The diagram of the discharge capacity against thermal stability for a series of NMC cathode materials



**Fig. 5.22** (a) The electrochemical features of  $\text{Li}_{1+x}(\text{NMC})_{1-x}\text{Co}_{1/3}\text{O}_2$  powders synthesized by the co-precipitation method. The structure was optimized by adjusting the lithium–transition metal ratio to  $\kappa = \text{Li}/M = 1.05$ . Results indicated a rate of 0.15 % per cycle for the NMC electrodes. (b) Diagram discharge capacity against thermal stability measured by the exothermic peak temperature for a series of  $\text{LiNi}_x\text{Mn}_y\text{Co}_z\text{O}_2$  cathode materials. The number indicates the amount of Ni/Mn/Co

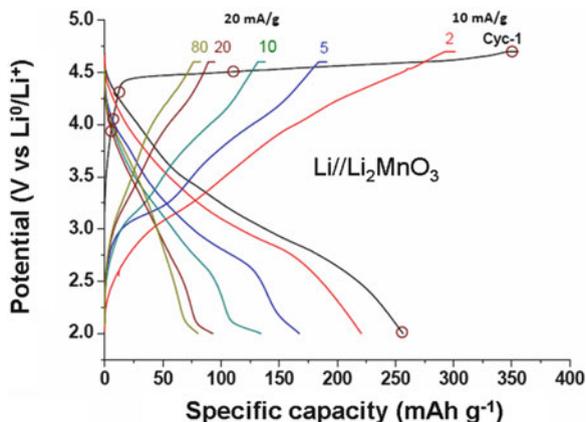
is shown in Fig. 5.22b. The number indicates the amount of Ni/Mn/Co in  $\text{LiNi}_x\text{Mn}_y\text{Co}_z\text{O}_2$ . This graph shows clearly that the more stable cathode is  $\text{LiNi}_{0.33}\text{Mn}_{0.33}\text{Co}_{0.33}\text{O}_2$ .

### 5.3.8 $\text{Li}_2\text{MnO}_3$

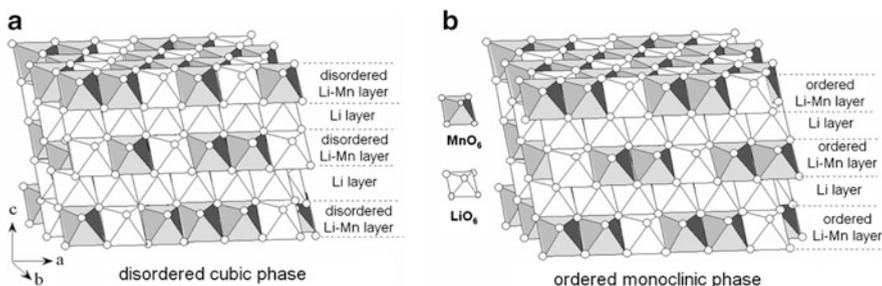
Lithium manganate  $\text{Li}_2\text{MnO}_3$  attracts an ever-growing attention of researchers and is still the object of debates since, among the family of manganese oxides, it is one of the most interesting compounds from the view point of electrochemical behavior and structural evolution upon charge/discharge [175–179].  $\text{Li}_2\text{MnO}_3$  possesses an O3-type structure that can be represented in conventional layered  $\text{LiMO}_2$  notation as  $\text{Li}_{3a}[\text{Li}_{1/3}\text{Mn}_{2/3}]_{3b}\text{O}_2$ , where interslab octahedral sites are only occupied by  $\text{Li}^+$  ions while  $\text{Li}^+$  and  $\text{Mn}^{4+}$ -ions (in a ratio of 1:2) occupy slab octahedral sites, and 3a and 3b refer to the octahedral sites of the trigonal lattice [180]. In other words, in  $\text{Li}_2\text{MnO}_3$ , 1/3 of the Mn-ions in the transition metal layer is replaced with Li. Layers of lithium ions and alternating layers of manganese ions are separated from one another by layers of cubic-close packed oxygen planes, thus resembling the ideal layered structure of  $\text{LiCoO}_2$ . It should be noted that  $\text{Li}_2\text{MnO}_3$  is an integrated part of the so-called high-energy cathode  $x\text{Li}_2\text{MnO}_3 \cdot (1-x)\text{Li}[M]\text{O}_2$  materials ( $M = \text{Mn}, \text{Ni}, \text{Co}$ ) for Li-ion batteries that provide reversible capacities  $>200 \text{ mAh g}^{-1}$  [181]. In these materials,  $\text{Li}_2\text{MnO}_3$  component plays an important role of supplying lithium and stabilizing the electrode structure.  $\text{Li}_2\text{MnO}_3$  is rich in mobile  $\text{Li}^+$  ions and theoretically can deliver a high capacity of  $460 \text{ mAh g}^{-1}$  for

total Li extraction when charged up to 4.6 V. However, manganese cannot be oxidized beyond +4 in an octahedral environment and  $\text{Li}_2\text{MnO}_3$ , especially in its microcrystalline form, is considered electrochemically inactive for lithium insertion and extraction. It was shown that  $\text{Li}^+$  extraction from  $\text{Li}_2\text{MnO}_3$  is possible not by oxidation of  $\text{Mn}^{4+}$  but by other mechanisms. They may involve simultaneous removal of oxygen ( $\text{Li}_2\text{O}$ ) to balance the charge [182] or exchange of  $\text{Li}^+$  ions by protons generated from oxidation reactions of the nonaqueous electrolyte solutions at elevated temperatures [183]. Actually,  $\text{Li}_2\text{MnO}_3$  becomes electrochemically active in electrodes comprising its nano-sized particles and these electrodes demonstrate much higher electrochemical activity in terms of capacity and cycling behavior [178]. This can be due to decreasing the potential of the  $\text{Li}^+$ -ions extraction from the host structure in the first charge associated with the higher surface-to-volume ratio, much shorter distances for the electrons and the Li-ion transport in nanoparticles, the increased surface concentration of the electrochemically active sites, and with a better accommodation of strain during  $\text{Li}^+$  extraction/insertion. Recently, Okamoto related a substantial decrease of the potential of the  $\text{Li}^+$ -ions extraction to the increasing concentration of oxygen vacancies in  $\text{Li}_2\text{MnO}_3$ , which activate the Mn sites as the redox centers in the Li deintercalation reaction [184]. There are many reports [185, 186] dedicated to structural transformations of layered cathode materials  $\text{LiMO}_2$  for Li-cells ( $M =$  transition metal, like Mn, Ni, Co) from the layered to spinel-type ordering upon Li electrochemical or chemical deintercalation. Amalraj [179] reported this structural transformation upon charging  $\text{Li}_2\text{MnO}_3$  electrodes that comprises micro- or nano-sized particles at high anodic potentials (4.6–4.7 V). Ito et al. [187] have shown that in the first charge of  $x\text{Li}_2\text{MnO}_3 \cdot (1-x)\text{Li}[M]\text{O}_2$  integrated materials, the formation of the spinel-type ordering started just at the potential plateau around 4.5 V, at the same time as the electrochemical activation of  $\text{Li}_2\text{MnO}_3$  ( $\text{Li}_2\text{MnO}_3 \rightarrow \text{Li}_2\text{O} + \text{MnO}_2$ ) occurs at this potential. On the other hand, we have established that in the above materials, in which the structurally compatible  $\text{Li}_2\text{MnO}_3$  (layered monoclinic) and  $\text{LiMO}_2$  (layered rhombohedral) components are closely interconnected with one another and coexist side by side in the structure, partial layered-to-spinel transition occurred even at the early stages of the first charge ( $\text{Li}^+$ -extraction), at 4.1–4.4 V [188]. In this potential range, the  $\text{Li}^+$  ions are extracted (deintercalated) only from the electrochemically active  $\text{LiMO}_2$  component, while  $\text{Li}_2\text{MnO}_3$  remains inactive until 4.5 V (Fig. 5.23). Therefore one can suggest that  $\text{LiMO}_2$  is “responsible” for the observed partial transformation of the layered-type to spinel-type structural ordering in electrodes comprising  $x\text{Li}_2\text{MnO}_3 \cdot (1-x)\text{Li}[M]\text{O}_2$  materials. This transformation is supposed to be due to the partial irreversible migration of transition metal cations into interlayer Li sites by the electrochemical charging the electrode or by chemical delithiation (leaching) of the layered material in acidic media [175, 178, 183, 185].

The structural transformations from the layered-type to spinel-type ordering was investigated at various states-of-charge of  $\text{Li}_2\text{MnO}_3$  electrodes, even at the early stages around 4.3 V far beyond the electrochemical decomposition of  $\text{Li}_2\text{MnO}_3$ , and at 4.5 V (potential plateau) where it decomposes into  $\text{Li}_2\text{O}$  and  $\text{MnO}_2$  [189]. This



**Fig. 5.23** Typical potential profiles measured in the ranges of 2.0–4.7 V (first 2 cycles) and 2.0–4.6 V (rest of the cycles) from a  $\text{Li}_2\text{MnO}_3$  electrode at 30 °C in coin-type cells. Cycle numbers are indicated on the curves. The cycling mode was CC–CV, potentiostatic steps were 1 h at 4.7 V and 0.5 h at 4.6 V. The first 2 cycles were performed by applying the current density of  $i = 25 \text{ mA g}^{-1}$ . For cycles 3–100 the current density was  $i = 20 \text{ mA g}^{-1}$  and for the subsequent cycles  $i = 10 \text{ mA g}^{-1}$ . Empty circles on the charge–discharge profiles of the first cycle indicate potentials, at which electrochemical cells were terminated and  $\text{Li}_2\text{MnO}_3$  electrodes studied for possible structural transformations



**Fig. 5.24** (a) Schematic representation of the  $\text{Li}_2\text{MnO}_3$  crystallographic structures showing the  $\alpha$ -phase with randomly distributed cation sites in the Li-Mn layers, (b) the  $\beta$ -phase corresponding to the case where the cations are ordered

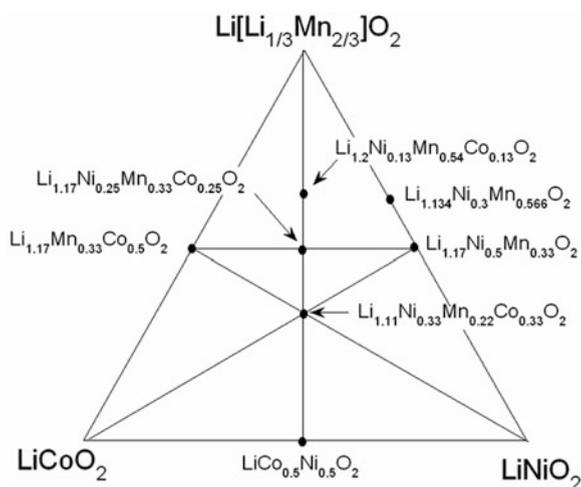
layered-to-spinel transformation was examined by means of X-ray and electron diffraction techniques, Raman spectroscopy, and the study of the magnetic properties of  $\text{Li}_2\text{MnO}_3$ , which is an efficient tool to probe structural ordering. The novelty of this work is that layered-to-spinel structural transformations were detected and studied at the initial states-of-charge, prior to the electrochemical activation of  $\text{Li}_2\text{MnO}_3$  electrodes. In addition, the prior works related to this cathode material miss the polymorphism of  $\text{Li}_2\text{MnO}_3$  that is able to crystallize in  $\alpha$ - and  $\beta$ -phases (Fig. 5.24) depending on the sintering temperature during the synthesis process [190].

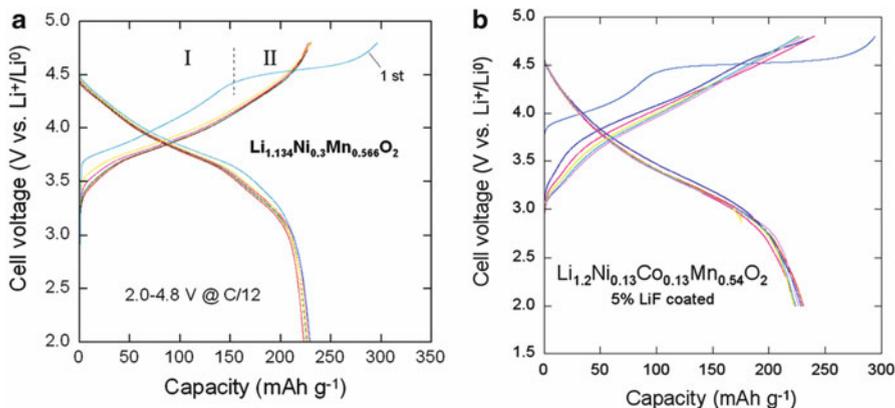
### 5.3.9 Li-Rich Layered Compounds (LNMC)

Novel Li-rich layered cathode materials, the “layered-rocksalt” Li  $[\text{Li}_x\text{Ni}_y\text{Co}_y\text{Mn}_{1-x-y}]\text{O}_2$  frameworks (LNMC) have received great attention because of their ability to deliver capacities of  $250 \text{ mAh g}^{-1}$  when electrochemically activated at 4.6 V [191–205]. Layered-rocksalt compounds can be regarded, in a homogeneous phase approach, as a solid solution series between  $\text{Li}[\text{Li}_{1/3}\text{Mn}_{2/3}]\text{O}_2$  and  $\text{LiNi}_2\text{Co}_{1-z}\text{O}_2$  [191] as shown by the phase diagram in Fig. 5.25 and on the other hand it is regarded, in a two-phase approach as a kind of composite electrode with nanoclusters of  $\text{Li}_2\text{MnO}_3$  and  $\text{Li}(\text{Ni},\text{Co})\text{O}_2$  in localized regions [192]. Various synthesis techniques used to fabricate high-capacity LNMC composite materials include ion-exchange reaction [206], solid-state reaction of metal hydroxide with calcination at  $900^\circ\text{C}$  for 24 h [207], co-precipitation method [208], sol–gel method [209], molten salt method [210], and template-free method [211].

Figure 5.26 compares the charge–discharge profiles of the Li-rich layered compounds of composition  $\text{Li}_{1.134}\text{Ni}_{0.3}\text{Mn}_{0.566}\text{O}_2$  and  $\text{Li}_{1.2}\text{Ni}_{0.13}\text{Mn}_{0.54}\text{Co}_{0.13}\text{O}_2$  synthesized by sol–gel method assisted by citric acid as chelating agent. Measurements were carried out at  $C/10$  rate in the potential range 4.8–2.0 V. The electrochemical curves exhibit two regions (I and II) during the first charge (separated by a dashed line in Fig. 5.26). The region (I), below 4.6 V, corresponds to the oxidation of the transition-metal cation (TM) to tetravalent state  $M^{4+}$ , while the plateau (region II) at around 4.6 V corresponds to an oxidation of  $\text{O}^{2-}$  ions and the irreversible loss of oxygen from the lattice [212]. The oxygen loss leads to a lowering of the oxidation states of the TM ions at the end of the first discharge, which makes easier the reversibility of subsequent cycles. As reported by Wu and Manthiram [213], the irreversible loss of oxygen from the LNMC framework can be reduced by a substitution of small amount of  $\text{Al}^{3+}$  for  $\text{Li}^+$  or  $\text{F}^-$  for  $\text{O}^{2-}$ , which

**Fig. 5.25** Ternary phase diagram of the “layered-rocksalt”  $\text{Li}[\text{Li}_{1/3}\text{Mn}_{2/3}]\text{O}_2$ – $\text{LiCoO}_2$ – $\text{LiNiO}_2$  solid solution systems





**Fig. 5.26** Charge–discharge curves of Li-rich layered-rocksalt composite electrodes. (a)  $\text{Li}_{1.134}\text{Ni}_{0.3}\text{Mn}_{0.566}\text{O}_2$  and (b) 5 % LiF-coated  $\text{Li}_{1.2}\text{Ni}_{0.13}\text{Mn}_{0.54}\text{Co}_{0.13}\text{O}_2$ . Measurements were carried out in the galvanostatic mode at C/12 rate in the potential range 2.0–4.8 V

lowers significantly the irreversible capacity loss (ICL). High capacity of  $\text{Li}[\text{Li}_{(1-x)/3}\text{Mn}_{(2-x)/3}\text{Ni}_{x/3}\text{Co}_{x/3}]\text{O}_2$  can be obtained by surface modification with  $\text{Al}_2\text{O}_3$ . For instance, surface modified  $\text{Li}[\text{Li}_{0.2}\text{Ni}_{0.13}\text{Mn}_{0.54}\text{Co}_{0.13}]\text{O}_2$  shows a remarkably high capacity of  $285 \text{ mAh g}^{-1}$  with an ICL of  $41 \text{ mAh g}^{-1}$  and good rate capability [213]. Mechanisms associated with the plateau observed at high voltage for the overlithiated  $\text{Li}_{1.12}(\text{Ni}_{0.425}\text{Mn}_{0.425}\text{Co}_{0.15})_{0.88}\text{O}_2$  system have been discussed by Tran et al. [214].

$\text{Li}(\text{Li}_{0.17}\text{Ni}_{0.2}\text{Co}_{0.05}\text{Mn}_{0.58})\text{O}_2$  particles synthesized by a spray-drying method were modified subsequently with  $\text{CeO}_2$  nanoparticles, which results in smaller surface charge transfer resistance and larger discharge capacity [195]. Fluorine doping in  $\text{Li}_2\text{MnO}_3 \cdot \text{LiMO}_2$  can create polaron states in the  $\text{Li}_2\text{MnO}_3$  lattice with a migration energy barrier about 0.27 eV. The polaron state is strongly trapped by fluorine atom, which decreases the efficiency of the doping effect to enhance the electronic conductivity [196]. Novel  $\text{Li}_{1.2}\text{Mn}_{0.5}\text{Co}_{0.25}\text{Ni}_{0.05}\text{O}_2$  microcube prepared through a simple binary template method with calcination at  $800^\circ\text{C}$  [205] providing high reversible discharge capacities of  $208 \text{ mAh g}^{-1}$  at a current density of  $200 \text{ mA g}^{-1}$ . In general, owing to oxygen extraction at the first cycle and insulation phase of  $\text{Li}_2\text{MnO}_3$ , the pristine Li-rich layered cathode materials experience high irreversible capacity loss at the first cycle, accompanied with gradual capacity fade and poor rate capability during cycling [196]. The surface modification [215], acid treatment [216], doping [217] as well as blending with other cathode materials [218] have been found to alleviate the irreversible capacity loss at the first cycle. In particular, the irreversible capacity loss at the first cycle was eliminated by blending with other lithium insertion hosts, such as  $\text{Li}_4\text{Mn}_5\text{O}_{12}$ ,  $\text{LiV}_3\text{O}_8$ ,  $\text{V}_2\text{O}_5$  [219].

### 5.3.10 Other Layered Compounds

#### 5.3.10.1 Mn-Based Oxides

The difficulties met with  $\text{LiMn}_2\text{O}_4$  spinel have also motivated the investigation of several non-spinel manganese oxides [220]. Although  $\text{LiMnO}_2$  obtained by conventional synthesis procedures adopts an orthorhombic rock-salt structure ( $Pnmm$  S.G.), in which the oxygen array is distorted from the ideal cubic close packing [221], layered (monoclinic)  $\text{LiMnO}_2$  isostructural with the layered  $\text{LiCoO}_2$  (O3 structure) can be obtained by an ion-exchange of  $\text{NaMnO}_2$  [222] or by a partial substitution of Mn by Cr or Al [223]. Unfortunately, both the orthorhombic and the monoclinic  $\text{LiMnO}_2$  with a close-packed oxygen array tend to transform to spinel-like phase that is completed within a few charge–discharge cycles [224]. In this regard,  $\text{Na}_{0.5}\text{MnO}_2$ —designated as  $\text{Na}_{0.44}\text{MnO}_2$  in the literature—adopting a non-close-packed tunnel structure has drawn some attention as it does not transform to spinel-like phases and shows extraordinary structural stability to temperatures as high as 300 °C [225, 226]

However, only a small amount of lithium could be extracted from the ion-exchanged sample  $\text{Na}_{0.5-x}\text{Li}_x\text{MnO}_2$  although additional lithium could be inserted into  $\text{Na}_{0.5-x}\text{Li}_x\text{MnO}_2$ . Therefore, it is not attractive for lithium-ion cells fabricated with carbon anodes. Nevertheless, it has been shown to be a promising candidate for lithium polymer batteries employing metallic lithium anode [225]. Additionally, amorphous manganese oxides have been shown to exhibit high capacity ( $300 \text{ mAh g}^{-1}$ ) with good cyclability [227]. However, the capacity occurs over a wide voltage range extending from 4.3 to 1.5 V with a continuously sloping discharge profile and not much lithium could be extracted from the initial material. Therefore these amorphous oxides are not attractive for lithium-ion cells fabricated with carbon anodes. However, they may become viable with the development of new lithium-containing counter-electrodes.

#### 5.3.10.2 Chromium Oxides

$\text{LiCrO}_2$  also crystallizes in the O3 structure of  $\text{LiCoO}_2$ . Although one would expect  $\text{Li}_{1-x}\text{CrO}_2$  to exhibit good structural stability due to the strong preference of  $\text{Cr}^{3+}$  ions for octahedral sites, it is difficult to extract lithium from  $\text{LiCrO}_2$ . However, a number of chromium oxides such as  $\text{Cr}_2\text{O}_5$ ,  $\text{Cr}_6\text{O}_{15}$ , and  $\text{Cr}_3\text{O}_8$  having a Cr oxidation state of  $\geq 5+$  show high capacities and energy densities as high as  $1200 \text{ mWh g}^{-1}$  above a cutoff voltage of 2 V [228]. Also, amorphous  $\text{Cr}_3\text{O}_8$  has been found to show high energy density with good rechargeability [229]. However, the synthesis of these oxides generally requires decomposition of  $\text{CrO}_3$  in an autoclave or under high oxygen pressure and the products are often contaminated with non decomposed  $\text{CrO}_3$ . Additionally, amorphous  $\text{CrO}_{2-\delta}$  ( $0 \leq \delta \leq 0.5$ ) synthesized under ambient conditions by a reduction of potassium chromate solution with

borohydride followed by heat treatment at moderate temperatures have been shown to exhibit  $>150 \text{ mAh g}^{-1}$  capacity [230]. However, the absence of lithium in these materials makes them unsuitable to be employed with carbon anodes in lithium-ion cells.

### 5.3.10.3 Iron-Based Oxides

Iron oxides offer significant advantages compared to other  $3d$ -transition-metal oxides from both cost and toxicity points of view. Although  $\text{LiFeO}_2$  obtained by conventional procedures adopts the cubic structure ( $Fm\bar{3}m$  S.G.), the O3-type layered  $\alpha$ - $\text{LiFeO}_2$  can be obtained by following the same procedure as in the case of  $\text{LiMnO}_2$ , i.e., by ion-exchange reactions of  $\text{NaMO}_2$ . Unfortunately, the layered  $\text{LiFeO}_2$  does not exhibit good electrochemical properties as the high spin  $\text{Fe}^{3+}:3d^5$  ion having no particular preference for octahedral coordination tends to migrate to the lithium planes via the neighboring tetrahedral sites [231]. The cubic phase synthesized via solid state reaction exhibits well-formed crystallites of  $0.55 \mu\text{m}$  size. Magnetic measurements have evidenced that  $\alpha$ - $\text{LiFeO}_2$  exhibits deviation from the Curie–Weiss law with  $\mu_{\text{eff}} < 5.9 \mu_{\text{B}}$  at room temperature. The cationic disorder seems to affect the magnetic properties. This compound is expected to belong to the class of Fe-diluted magnetic semiconductors regarding its magnetic properties. Electrochemical texts show severe structural changes that occurred during the first charge–discharge process of the cells. The structural transformation from  $\alpha$ - $\text{LiFeO}_2$  to  $\text{LiFe}_5\text{O}_8$  spinel phase has been evidenced by ex situ X-ray diffraction and Raman spectroscopy [231].

## 5.4 Concluding Remarks

Numerous efforts are done to replace the lithium-cobalt oxide used in the first generation of commercial lithium-ion batteries by materials with low cost and environmental concerns. The development of new materials needs new synthesis procedure such as sol–gel processing, ion-exchange reaction, and hydrothermal reaction. The chemical and structural stabilities of the transition-metal oxide electrodes have been compared by studying bulk samples. In this respect, the various physicochemical techniques are welcome to design the best structure. Synthesis of amorphous compounds could help the knowledge of microstructures in this regard.

The search for layered materials that exhibit superior cycling performance has led to the study of transition-metal- and cation-substituted materials of the series  $\text{LiMO}_2$  with  $M = (\text{Ni}, \text{Co}), (\text{Ni}, \text{Co}, \text{Al}), (\text{Ni}, \text{Mn}, \text{Co})$  and composite materials. Doping was successful in many cases such as  $\text{LiNiO}_2$ ,  $\text{LiCoO}_2$ , and  $\text{LiMnO}_2$ . The  $\text{LiCo}_{1-y}\text{M}_y\text{O}_2$  system showing relatively better chemical stability can replace the conventional  $\text{LiCoO}_2$  with respect to oxygen loss. New systems such as

$\text{LiNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  and  $\text{LiNi}_{0.33}\text{Mn}_{0.33}\text{Co}_{0.33}\text{O}_4$  show interesting electrochemical features but need better control of their crystal chemistry. Frameworks built with layered-rocksalt solid solution are very promising materials but the irreversible capacity loss must be controlled by anionic substitution or surface modification. Some progress has been recently done with carbon-coating or aluminum doping. In view of maximizing the cell voltage and energy density, transition metal oxide hosts have emerged as the choice for cathodes [232–235]. The electrode properties of some of the 3d transition-metal oxides are summarized in Table 5.1. However, only 70 % of the theoretical capacity is currently delivered by the layered  $\text{LiMO}_2$  oxides. The high capacity delivered by the  $x\text{Li}_2\text{MnO}_3 \cdot (1-x)\text{LiMO}_2$  cathodes ( $>250 \text{ mAh g}^{-1}$ ) has been attributed to the electrochemical activation of the  $\text{Li}_2\text{MnO}_3$  component within the crystal lattice but the studies of structural instabilities such as phase separation of  $\text{Li}_2\text{MnO}_3$  should be clarified.

The future challenge is to develop cathodes with simple transition-metal layered oxides in which at least one lithium ion per transition-metal ion could be reversibly extracted/inserted while keeping the materials cost and toxicity low; such a cathode can nearly double the energy density compared to the present level. There are also possibilities to increase the capacity of cathode hosts perhaps by focusing on nanosized powders and amorphous materials. From a safety, cycle and shelf life points of view, such cathodes with a voltage lower than 4.5 V, but with a significantly increased capacity are desirable for future applications.

**Table 5.1** Comparison of the electrode characteristics of some 3d transition-metal layered oxides

Compound	First discharge capacity ( $\text{mAh g}^{-1}$ )	Average voltage (V vs. Li)	Li uptake	Energy density ( $\text{Wh kg}^{-1}$ )
$\text{Li}_x\text{V}_2\text{O}_5$	420	2.25	3.0	923
$\text{Li}_{1+x}\text{V}_3\text{O}_8$	308	2.50	4.0	770
$\text{Li}_x\text{MoO}_3$	250	2.30	1.5	575
$\text{Li}_{1-x}\text{CoO}_2$	140	3.70	0.5	520
$\text{Li}_{1-x}\text{NiO}_2$	160	3.80	0.5	530
$\text{Li}_{1-x}\text{Ni}_{0.70}\text{Co}_{0.30}\text{O}_2$	180	3.75	0.6	675
$\text{Li}_{1-x}\text{Ni}_{0.80}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$	120	3.60	0.8	400
$\text{Li}_{1-x}\text{Ni}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$	170	3.30	1.0	560
$\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$	178	3.50	1.0	623
$\text{Li}_{1.17}\text{Mn}_{0.33}\text{Co}_{0.5}\text{O}_2$	254	3.50	1.0	889
$\text{Li}_{1.17}\text{Ni}_{0.125}\text{Mn}_{0.33}\text{Co}_{0.375}\text{O}_2$	265	3.50	1.0	927

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