# Chapter 8 Stem Cells to Understand the Pathophysiology of Autism Spectrum Disorders

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# Introduction

Autism spectrum disorders (ASD) are a heterogeneous group of neurodevelopmental disorders characterized by severe developmental defects in social response and communication accompanied by inappropriate repetitive behavior [1]. ASD has an overall prevalence of ~1 % [2]. There is a strong male bias, with a ratio of about 4 males to 1 female, particularly among those with milder ASD forms [3]. ASD can be a clinical manifestation of several well-characterized monogenic disorders. The ASD penetrance in these disorders varies, and may reach values of ~60 % in Fragile X syndrome (FXS), tuberous sclerosis, and Timothy syndrome [4–6]. ASD is also a relevant phenotype in Rett, Rett-like or *CDKL5*-associated syndrome, Angelman and Phelan–McDermid syndromes (PMDS) [7–9]. The molecular genetic

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mutational mechanism is very well characterized in all of these conditions. For didactic purposes, we will refer to the group of monogenic disorders frequently associated with ASD as syndromic ASD forms, in contrast to ASD or nonsyndromic ASD, in which the major clinical manifestation is autism, associated or not with other clinical features, such as epilepsy and hyperactivity, and in which clinical features are not sufficient to define a syndrome.

A high heritability component in ASD has been estimated, varying from 50 to 90 % [3, 10]. The recurrence risk in families with only one ASD-affected individual is about 10 %, and male siblings of ASD individuals have a ~3-fold increase of the risk of being affected by ASD in comparison to female siblings [3, 10]. Recurrence risk also increases according to the number of ASD-affected individuals in the family, thus reinforcing the genetic basis of ASD. The disease model seems to be very complex, with a high level of heterogeneity. Based on several worldwide genomewide association studies (GWAS), there is a consensus that common variants, each with a low predictive risk, play a role in ASD etiology; however, the actual contribution of these variants to ASD heritability is still unclear [3, 10, 11]. Genomic studies, in contrast, have revealed that rare CNVs or rare sequencing variants with moderate-to-high penetrance are associated with the etiology of ASD in at least 20 % of the cases [3, 10, 12, 13]. These studies have revealed a remarkable genetic heterogeneity, as less than 20 of the 100 candidate genes present recurrent putative pathogenic variants. Despite the high heterogeneity of ASD, a growing number of evidence has shown that candidate genes belong to convergent pathways, nowadays represented by regulation of transcription (chromatin remodeling genes and transcription factors), regulation of protein abundance (splicing, translation, and ubiquitination genes), synapse (cell adhesion, sodium and calcium channels, N-methyl-D-aspartate (NMDA) receptors, and synaptic scaffold genes), and intracellular signaling factors that regulate cell growth and proliferation (mTOR/PI3K and RAS genes) [3, 12, 13].

The majority of these candidate pathogenic variants have been classified as lossof-function variants, which occur with a population frequency of about 5 % [14]. Therefore, one of the current challenges in the genomic analysis of ASD is pinpointing causative variants; moreover, it is necessary to define the penetrance associated with each one of them and how many pathogenic variants are sufficient for a complete ASD penetrance per individual. Finally, the functional effects of the identified variants must be demonstrated and be translated to the phenotypic effect. One approach to address the above-mentioned questions is to conduct functional studies in order to verify which of these candidate variants are sufficient to cause morphological and functional changes in neurons.

Stem cells have recently emerged as a promising alternative to conduct functional cellular studies, particularly in disorders where the tissue of interest is of limited or nearly no access. This is exactly the case for ASD and several other neurodevelopmental disorders, in which the ideal tissue of interest to conduct cellular and molecular studies is the developing brain. Another drawback is the impossibility to get an accurate diagnosis for these disorders before 1 year of age. Murine model systems provide genetic homogeneity and allow the study of behavioral phenotypes, but show limited applicability to understand how diseases affect human neocortical regions, and often do not recapitulate the complex human neuro-development [15, 16].

Stem cells from human exfoliated deciduous teeth (SHED) are an easily accessible cell type comprised by populations of mesodermal and neuroectodermal origin [17–19]. The identification of cellular pathways associated with diseases can be successfully achieved by studying SHED, particularly when they share the same embryological origin with cells of the main disease-affected tissue, as in the case of ASD [20-23]. However, the use of SHED is restricted by their limited potential to be differentiated into functional neurons. These difficulties have been overcome, thanks to the possibility of reprogramming somatic cells to a pluripotent state by overexpressing specific transcription factors [24, 25]. These cells, known as induced pluripotent stem cells (iPSCs), have opened a new world of possibilities, as they can be differentiated toward multiple cell lines, including neurons; therefore, the generation of disease-specific neurons by reprogramming somatic cells from ASD patients have empowered researchers to functionally characterize genetic alterations and determine how they lead to ASD neuronal phenotypes. In this regard, both glutamatergic and GABAergic neurons as well as astrocytes, which are of interest to study ASDrelated phenotypes, can be obtained [26]. Further, cells from different sources, such as SHED and erythroblasts, in addition to fibroblasts, which were the first type of cells used to obtain iPSCs, can be reprogrammed by these transcription factors with similar efficiency [23, 27]. Even though human embryonic stem cells (hESCs) and neural stem cells (hNSCs) can also be employed to model diseases in a dish, they are in practice, more difficult to be obtained, and their use still represents an ethical issue. Also, the use of these cells is hampered by the impossibility to establish the diagnosis in the early developmental period, particularly for nonsyndromic forms of ASD (Fig. 8.1).

We are currently at the dawn of human stem cell modeling of ASD and ASDassociated monogenic disorders. Although much effort still needs to be gathered to fully understand the etiology of these disorders, the results obtained so far have been encouraging and exciting, as they have unraveled new biological pathways and provided a causal relationship between pathogenic mutations and morphological and functional neuronal alterations. Importantly, in several situations, such findings recapitulate relevant cellular and/or molecular phenotypes previously reported in murine models or other approaches.



Fig. 8.1 Schematic summary of different approaches to ASD modeling using human stem cells. Patient-derived embryonic stem cells (ESCs) and neural stem cells (NSCs) were used to model the syndromic ASD fragile-X syndrome. Although these patient-derived stem cells have the advantage of not requiring any genetic or epigenetic manipulations to be relevant for disease modeling, they are, in practice, difficult to be obtained. Stem cells from human exfoliated deciduous teeth (SHED) represent an accessible source of patient material and have recently been used to model nonsyndromic forms of ASD. Induced pluripotent stem cells (iPSCs) can be generated from adult cells from ASD patients and can be differentiated into the disease-affected cells. At least six monogenic disorders that include ASD features as part of the phenotype have been modeled by the use of iPSC methodology: Rett syndrome, CDKL5-associated ASD, Fragile-X syndrome, Prader-Willi/ Angelman syndrome, Timothy syndrome, and Phelan-McDermid syndrome. iPSCs have also been used to model nonsyndromic forms of ASD, such as TRPC6-associated ASD. Finally, controlderived stem cells engineered to reduce (knockdown) a particular gene's expression have been used to model the neurodevelopmental impact of Neurexin 1 (NRXNI) and Neuroligin 4X (NLGN4X) deletions, associated with nonsyndromic ASD and other neurodevelopmental disorders. Figure modified from Sterneckert et al. (2014)

## iPSCs: Modeling Monogenic Disorders Featuring ASD

To date, six genetically well-characterized monogenic disorders with ASD features have been modeled with the use of iPSC technology. In the following segment, we provide a short background and summarize the most significant findings and current state of the art for each disorder.

#### Fragile X Syndrome

FXS is the most commonly inherited form of intellectual disability, which may be accompanied by a characteristic appearance in affected males (large head, long face, prominent forehead and chin, protruding ears), connective tissue defects, and postpubescent macroorchidism [28, 29]. Autistic features may be present in up to 60 % of all cases, depending on the clinical criteria adopted [30-33]. FXS is caused by expansions of CGG trinucleotide repeats in the 5' untranslated region of the FMR1 (fragile X mental retardation 1) gene in the X chromosome. The expansions lead to hypermethylation of the FMR1 promoter and consequent silencing of FMRP (fragile X mental retardation protein), an RNA-binding protein involved in mRNA localization and protein synthesis during synaptic plasticity [34, 35]. In hESCs derived from FXS-affected blastocyst-stage embryos, FMR1 expression is active and gene silencing takes place upon differentiation [36]. However, this is not observed in iPSCs derived from FXS patients, in which reprogramming adult cells to a pluripotent state does not reset the epigenetic marks associated with FMR1 silencing [37]. These findings illustrate how iPSCs and ESCs, albeit similar in many aspects, still exhibit differences that might be relevant to the disease under investigation and that should be considered when selecting cell types for disease modeling. In the case of FXS, since FMR1 is kept silenced during neuronal differentiation, FXS-derived iPSCs remain a suitable model to study neuronal changes caused by the expansion mutations. Additionally, one advantage of FXS-derived iPSCs is the ability to generate cells from clinically well-characterized patients and the possibility of investigating differentsized mutations present in the same individual (a consequence inherent to the dynamic nature of the expansions; [38, 39]). Such approach has shed light on the genotype-phenotype relationship in FXS, showing defective neurite formation and outgrowth occurring prior to synaptogenesis, during neuronal differentiation [38, 40]. Importantly, a previous study using an *Fmr1*-knockout mouse model and in vitro neural stem cells (NSCs) from postmortem brain of a fragile-X fetus also described reduced neurite outgrowth and branching and altered neuronal differentiation in FMRP-deficient NSCs [41].

## **Timothy Syndrome**

Timothy syndrome (TS) is a rare, severe neurodevelopmental disorder accompanied by cardiac defects/arrhythmia and facial dysmorphisms, and one of the most penetrant monogenic forms of ASD. This disorder is caused by mutations in CACNA1C (calcium channel, voltage-dependent, L type, alpha 1C subunit), a gene encoding an alpha subunit of a voltage-dependent calcium channel (Ca<sub>v</sub>1.2) [5]. Neurons derived from TS iPSCs showed action potentials and increased intracellular Ca++ concentration indicative of loss of calcium channel inactivation. TS neurons also showed abnormal expression of tyrosine hydroxylase, which could be rescued with the drug roscovitine, a compound that increases the inactivation of L-type calcium channels. Moreover, gene expression studies revealed that iPSC-derived neural progenitor cells (NPCs) and iPSC-derived neurons from TS patients show alterations in expression of genes previously associated with ASD, as well as similarities with gene expression patterns observed in postmortem idiopathic ASD brains [42, 43]. Also in TS-derived iPSCs, Krey et al. [44] observed that depolarization causes dendritic retraction in TS neurons, which occurs independently of the excessive Ca<sup>++</sup> influx seen in these cells. In fact, their results suggested that the dendritic phenotype was caused by decreased binding between TS Ca<sub>v</sub>1.2 and the GTPase Gem, leading to ectopic activation of RhoA. These findings directly link Ca<sub>v</sub>1.2 channels to RhoA signaling in the brain and provide new grounds for studying ASD neuronal phenotypes in vitro.

## **Rett Syndrome**

Rett Syndrome (RTT) is a severe progressive neurodevelopmental disorder mainly caused by mutations in the X-linked gene MECP2 (methyl CpG-binding protein 2) [45, 46]. RTT individuals undergo apparently normal development until 6–18 months of age, followed by impaired motor function, stagnation and regression of developmental skills, hypotonia, seizures, and autistic behavior [45, 47]. MeCP2, the encoded protein, is involved in transcriptional regulation by binding to methylated CpG dinucleotides, and recruiting proteins involved in chromatin remodeling [48]. Marchetto et al. [47] were the first group to model RTT in human cells. By generating iPSCs from RTT patient-derived fibroblasts, they found that deficiency of MECP2 in RTT neurons resulted in smaller soma, fewer dendritic spines and synapses, and impairment in calcium signaling and in excitatory synaptic transmission, by comparison to control, unaffected neurons. Most of these neuronal phenotypes, such as reduced soma size and dendritic arborization, have been subsequently corroborated by groups employing different techniques to generate MECP2-deficient neurons from pluripotent stem cells [49, 50]. Li et al. [50] used gene-editing techniques to generate MECP2-deficient hESCs, and showed that mutant neurons exhibit global reduction in translation and protein synthesis, and reduced AKT/mTOR activity. Studies in mouse models have suggested a role for astrocytes in RTT pathogenesis, which has been recently confirmed in human cells. Through differentiation of RTT iPSCs to astrocytes and employment of a series of co-culture experiments, Williams et al. [51] showed that *MECP2*-mutated astrocytes lead to reduction in neurite length and in the number of terminal ends of wild-type neurons, and this non-cell-autonomous influence was partially mediated by factors secreted by mutant astrocytes.

Modeling RTT cells in a dish has shown that neuronal disease-related phenotypes can be rescued in vitro. Treatment with insulin-like growth factor-1 (IGF-1) or gentamicin has been shown to improve synaptic density in RTT neurons [47], and addition of IGF-1 or BDNF (brain-derived neurotrophic factor) improved protein synthesis through activation of AKT/mTOR pathway. Importantly, these findings provide proof-of-principle evidence for the application of ASD iPSC-derived neurons in drug discovery.

#### **CDKL5-Associated Syndrome**

Dominant-negative mutations in *CDKL5* (cyclin-dependent kinase-like 5), also located in the X chromosome, are responsible for a RTT-like phenotype [52, 53], herein referred to as *CDKL5*-associated syndrome. Patients mainly exhibit early-onset intractable seizures before 6 months of age, severe developmental delay, and autistic features [52–55]. *CDKL5* encodes a serine/threonine kinase whose role in brain development is not fully understood. *Cdkl5* silencing in a mouse model has shown the importance of this gene for dendritic spine morphogenesis and maintenance of synaptic contact, which occurs via interaction between the postsynaptic proteins NGL-1 and PSD-95, stabilized through phosphorylation of NGL-1 by *CDKL5* [56]. The use of patient-derived iPSCs harboring loss-of-function mutations in *CDKL5* further confirmed those findings, as patients' iPSC-derived neurons exhibited a significantly reduced number of synaptic contacts and lacked presynaptic terminals [56].

#### Angelman Syndrome and Prader–Willi Syndrome

Angelman syndrome (AS) and Prader–Willi syndrome (PWS) were the first imprinting disorders described in humans, in which alterations in the chromosomal region 15q11-q13 lead to different phenotypes depending on which chromosome (paternal or maternal) is affected [57]. AS is characterized by significant intellectual disability, absent speech, frequent seizures, motor impairment, and a typical happy demeanor [58]. PWS is characterized by small stature, neonatal hypotonia, hypogonadism, mild-to-moderate intellectual disability, and compulsive hyperphagia [59]. AS is caused by loss of function of the maternally inherited allele of *UBE3A* (ubiquitin-protein ligase E3A), which undergoes tissue-specific genomic imprinting with silencing of the paternally inherited allele in brain tissues [60, 61].

When the paternal chromosome is deleted in the same chromosome region, individuals develop PWS due to loss of a cluster of several species of small nucleolar RNAs [62]. Although autistic features are reported in AS but not in PWS, the 15q11-q13 region has been systematically associated with ASD [63]. Chamberlain et al. [64] were the first to show that iPSCs derived from AS and PWS fibroblasts maintain the genomic imprinting at 15q11-q13. Moreover, they confirm that expression of the paternally inherited *UBE3A* is repressed upon neuronal differentiation in AS cells, recapitulating the main epigenetic characteristics of AS in vitro. Additionally, in neurons differentiated from PWS-derived iPSCs, Cruvinel et al. [65] showed that the zinc-finger protein ZNF274, in association with the histone methyltransferase SETDB1, might protect against methylation of the small nucleolar RNA cluster in the PWS region. Together these findings suggest that iPSC modeling of alterations at 15q11-q13 is a promising strategy to better understand PWS, AS and ASD.

## Phelan–McDermid Syndrome

Another syndrome frequently associated with ASD is Phelan-McDermid syndrome (PMDS). This syndrome is caused by heterozygous deletions of variable sizes in chromosome 22 (region 22q13.3). Besides ASD, PMDS patients may exhibit hypotonia, normal to accelerated growth, and minor dysmorphic features [66]. Studies attempting to establish a critical region for the syndrome, in combination with analvsis of rare ASD-related mutations and functional studies have appointed SHANK3 to be the most likely candidate responsible for the neurological phenotype in PMDS [7, 67]. Produced iPSC-derived neurons from PMDS patients and observed a reduced amplitude and frequency of spontaneous excitatory synaptic events. Such phenotype was caused by impaired AMPA- and NMDA-mediated transmission. Overexpression of SHANK3 rescued the electrophysiological alterations found, showing that this gene significantly contributes to the neuronal alterations in PMDS. By treating the PMDS neurons with IGF-1, the authors were also able to restore the excitatory synaptic defects. Intriguingly, treatment with IGF-1 decreased the expression of SHANK3 in control and PMDS neurons. They found that IGF-1 actually increases the number of synapses that lack SHANK3 but contains PSD-95, which have faster deactivation of excitatory currents, a kinetics that resemble that of neurons appearing later in development.

# Stem Cells to Model Nonsyndromic ASD

Lymphocytes and fibroblasts have been used in several studies in order to dissect the cellular pathways altered in nonsyndromic forms of ASD [68, 69]. However, even though acquiring these cells is relatively simple and with minor ethical implications,

they present limitations to study neurodevelopmental pathways, as they are mature, post-natal cell types, and possess non-neural embryological origins.

SHED are an alternative and interesting source of patient-derived cells to be studied, as they can be noninvasively isolated, they show the same early embryonic origin as neurons, and they express neural progenitor markers [70]. Therefore, SHED may bear genetic regulatory networks that resemble those found in neurons. As detailed below, in three recent studies, we show the applicability of SHED in dissecting the genetic regulatory circuitry in nonsyndromic ASD.

Griesi-Oliveira et al. [22] assessed the gene expression profile of SHED from seven nonsyndromic ASD individuals, with no defined genetic mechanism. By comparing cases and controls, the authors identified 683 differentially expressed genes (DEGs), of which a significant number is expressed in brain and is involved in mechanisms and molecular pathways previously associated with ASD, such as cytoskeleton regulation, axonal guidance, protein synthesis, and cellular adhesion. Among the identified DEGs, one of the upregulated genes was *CHD8*, which has been found to be mutated in about 0.3 % of ASD cases in more than one study [12, 71–74]. Interestingly, *CHD8* is a co-regulator of androgen-responsive transcription [75] and androgen receptor, as well as a significant number of genes regulated by this receptor presented overexpression in the studied set of patients. The authors suggested that this might be a possible mechanism through which *CHD8* can contribute to ASD, especially considering the skewed male-to-female prevalence in such disorders.

Suzuki et al. [76] used SHED to investigate the role of mTOR (mammalian target of rapamycin) signaling pathway in nonsyndromic ASD pathophysiology. mTORsignaling pathway regulates several essential cellular processes including cell growth, proliferation, autophagy, and protein synthesis [77]. In the central nervous system, mTOR signaling is crucial from the early stages of neural development, controlling self-renewal and differentiation of hNSCs and, in neurons, mTOR signaling is involved in synapse formation and plasticity. Dysfunctional mTOR signaling and dysregulated protein synthesis in neuronal cells have been associated with several monogenic syndromes with high prevalence of autism, such as FXS, tuberous sclerosis, and PTEN-related syndromes, which are caused by mutations in, respectively, FMR1, TSC1/2, and PTEN, molecules known to be negative regulators of mTOR pathway [78]. Functional studies addressing mTOR-signaling activity in patients with nonsyndromic ASD were lacking. To examine this important question, Suzuki et al. have made use of cultured SHED derived from 13 patients with nonsyndromic ASD, who were negative for FRM1, TSC1/2, and PTEN mutations, and 11 age- and sex-matched controls. They observed that SHED derived from three patients (23 % of the patient sample) showed dysregulation of mTOR-signaling pathway in response to extracellular nutrient availability, enhanced proliferative capacity at higher cell densities, and reduced response to the antiproliferative effect of rapamycin, a specific mTOR inhibitor. Together, the results suggest that dysregulation of mTOR signaling plays an important role in the pathogenesis of a subgroup of nonsyndromic ASD, and that mTOR pathway components might be promising therapeutic targets for these patients. Interestingly, these results were further

corroborated by two studies showing altered mTOR signaling in postmortem brain of patients with nonsyndromic ASD [79, 80].

Griesi-Oliveira et al. [23], by molecularly characterizing the breakpoints of a balanced translocation between chromosomes 3 and 11 in a patient with nonsyndromic ASD, evidenced disruption of *TRPC6*, a gene that encodes a cation channel. They demonstrated that the transcriptome of SHED from this patient with haploinsufficiency of TRPC6 (TRPC6-mut) is dysregulated as compared to control SHED, with enrichment for genes important for cytoskeleton structure and regulation, such as SEMA3A, EPHA4, INA, and MAP2 [23]. Moreover, a significant number of differentially expressed genes between the TRPC6-mut patient's SHED and control SHED are putative targets of CREB, a transcription factor known to be activated via calcium influx through TRPC6 [81]. Using hyperforin, a specific activator of the channel, the authors also confirmed that part of such genes could in fact be regulated by TRPC6 activation. In order to evaluate how representative these findings would be in neurons, Griesi-Oliveira et al. assessed the phenotype of neuronal cells derived from TRPC6-mut patient iPSC lines obtained from SHED, which represents the first work to evaluate a nonsyndromic case of ASD using such model. Calcium influx upon activation of TRPC6 was reduced in NPCs from the TRPC6-mut patient. Paralleling the results found in SHED, activation of TRPC6 in NPCs leads to expression regulation of some of CREB target genes. TRPC-mut neurons have shorter neurites, with a reduction in arborization complexity and lower density of dendritic spines and glutamatergic vesicles compared to control neurons. These results are consistent with previous and authors' findings in rodent models [81, 82]. Moreover, using gain and loss of function models, authors demonstrated that such alterations could indeed be attributed to TRPC6 function. Interestingly, by taking advantage of a pair of isogenic iPSC line of a RTT patient, one with the mutated copy of MeCP2 inactivated by X-chromosome inactivation, and one with this copy activated, authors showed that MeCP2 is involved in TRPC6 expression regulation, pointing to a shared molecular pathway between a syndromic and nonsyndromic form of ASD. Although using cells in different developmental states, the work points to a mechanism in which haploinsufficiency of TRPC6 leads to reduced calcium influx and consequent dysregulation of the expression of neurodevelopmental genes, at least in part by CREB activity modulation. Such expression dysregulation then would lead to neuronal morphological and functional alterations (Fig. 8.2). Finally, Griesi-Oliveira and colleagues demonstrated that neuronal abnormalities in TRPC6-mut neurons could be rescued with hyperforin or IGF-1 treatment.

The use of control-derived stem cells engineered to reduce (knockdown) a particular gene's expression can also be an approach to in vitro model molecular dysfunction associated with nonsyndromic ASD. In two recent studies, the neurodevelopmental impact of *Neurexin 1* (*NRXN1*) and *Neuroligin 4X* (*NLGN4X*) deletions, known to be associated with nonsyndromic ASD and other neurodevelopmental disorders, were investigated using human iPSCs and hESCs as in vitro models [83, 84]. *NRXN1* is a presynaptic neuronal adhesion molecule that interacts with postsynaptic neuroligins, such as *NLGN4X*, in excitatory and inhibitory synapses in the brain to form an inter-synaptic complex required for synapse formation and



**Fig. 8.2** TRPC6 haploinsufficiency consequences in neuronal phenotype: TRPC6 disruption leads to a lower expression of these channels in cell membrane and consequent lower Ca<sup>++</sup> influx into cells. Calcium signaling through TRPC6 leads to CREB activation, which is consequently diminished in TRPC6-mut cells. This leads to gene expression abnormalities, probably due to dysregulation of CREB activation. A significant number of such dysregulated genes are important for neuronal development and function, especially genes related to cytoskeleton dynamics. Indeed, TRPC6-mut neurons presented less and shorter neurites and a reduction on spine density and glutamatergic vesicles, when compared to controls

function. Zeng et al. [83] showed that reduction of NRXN1 expression in both iPSCderived hNSCs and hESC-derived hNSCs leads to alterations in the expression levels of several genes involved in cell adhesion and neuron development during differentiation of the hNSCs into mature neurons. Additionally, NSCs with NRXN1 knockdown showed reduced astrocyte differentiation potential. These results suggest that NRXN1 deletion might impair nervous system development and synaptic adhesion and transmission. Using a similar approach, Shi et al. [84] knocked down NLGN4X expression in iPSC-derived NSCs and observed transcriptome alterations as well as morphological changes during differentiation of NSCs into mature neurons over a 6-week period. The authors observed that NLGN4X knockdown alters the expression patterns of several biological pathways including nervous system development and neuron differentiation, impairs the differentiation of the NSCs into neurons, and compromises neurite formation and inter-cell connections. In conclusion, these two studies combined in vitro stem cell models and targeted gene silencing to explore molecular, cellular, and neurodevelopmental effects of loss-of function mutations in ASD-associated genes.

#### **Conclusions and Perspectives**

Disease modeling in a dish with the use of stem cells has proven to be, so far, a very promising avenue to study ASD, both in its syndromic and nonsyndromic forms. In general, most of the alterations found in iPSC-derived neurons possessing different ASD pathogenic mutations are comparable to data obtained from animal models or brain-derived tissues or cells (Table 8.1). This remarkable concordance thus validates disease modeling with iPSCs, which, despite being an in vitro biological system, is able to reproduce in vivo observations. Nevertheless, in its current state, the use of reprogrammed cells should always be viewed as a complementary approach, as there are still limitations to translating how the morphological, functional or transcriptional changes observed in neural iPSC-derived cells lead to alterations in the human phenotype. In the near future, an extensive cellular characterization of a wide spectrum of ASD variability and mutational mechanisms is anticipated to unveil these relationships.

The monogenic ASD syndromic forms are caused by mutated genes belonging to different but related cellular pathways. The phenotypes can be caused by a variety of mutational mechanisms, including loss-of-function, gain-of-function, and dominantnegative mutations, associated with different functional effects at the cellular level. In spite of the genetic heterogeneity associated with the monogenic ASD syndromic forms, in general, the major pathophysiological consequences of the mutations in iPSC-derived neurons apparently are altered dendritic arborization and impaired synaptic function. It is also relevant to mention that comparable neuronal changes have been observed in iPSC modeling of nonsyndromic ASD. These observations raise some possibilities that deserve our attention: (a) impaired dendrite formation can be a feature shared by many neurodevelopmental disorders, as previously suggested [44]; (b) if impaired dendrite formation is such a common feature in these disorders, it will be impossible to assign this phenotype to specific neurodevelopmental phenotypes (e.g., ASD or cognitive deficit alone); (c) we still need to search for more specific synaptic changes or molecular markers at the cellular and molecular levels in order to establish precise correlations between neuronal phenotypes and clinical phenotypes, as suggested in CDKL5-associated syndrome. In CDKL5-mutated neurons, PSD-95, a protein that plays a significant role in learning and memory, is compromised as a consequence of the dominant-negative effect of the CDKL5 mutations, which would explain the severe mental impairment in these patients.

Mesenchymal stem cells can be easily accessed and manipulated. The few studies conducted on this type of cells have shown promising results. For example, transcriptome analysis conducted on SHED from idiopathic ASD patients and on one patient with haploinsufficiency of TRPC6 revealed cytoskeleton dynamic genes to be one of the most relevant dysregulated pathways [22, 23]. Such dysregulation would predictably result in abnormal dendritic development, which was found in TRPC6-mutated iPSC-derived neurons exhibiting less dendritic arborization and extension [23].

Finally, dysregulation of mTOR signaling has been found in about 25 % of ASD patients through analysis of SHED cultures. This is quite an unexpected proportion, as pathogenic variants in mTOR-related genes have been found in a much smaller

Diconalanta	omborde lonomer borineb 'DSG'	Dacano	Deferences	Similar results in animal	Similar results in postmortem or embryonic-derived
		Nescue	releases		CONTINUES CONTINUES
Synaromic ASU	- - -				
Rett syndrome	Reduced glutamatergic synapses	IGF-1	[47, 49, 51]	85, 87, 92, 94, 95, 96]	[84, 86, 91]
	Smaller soma and nuclear size	BDNF	Ananiev et al. 2011		
	Reduced dendritic spine density		Djuric et al. 2015		
	Alterations in calcium signaling				
	Decreased frequency and amplitude				
	of spontaneous postsynaptic				
	currents				
	Decreased transcription				
	Defect in AKT/mTOR pathway				
	(protein synthesis)				
	Reduced capacity of mitochondrial				
	electron transport chain				
	Reduced neurite complexity				
	Reduction in action potential				
	rates				
	Non-cell-autonomous glial effect				
CDKL5-associated	Reduced glutamatergic synapses		[55]	[55]	n.r.
syndrome (CDKL5- dominant-negative mutations)	Longer dendritic spines				
					(continued)

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					Similar results in
Disease/gene	Disease neuronal-associated phenotype	Rescue	References	Similar results in animal models?	postmortem or embryonic- derived hNSC?
Fragile-X	Fewer and shorter neurites		[38, 40]	[89]	[90]
syndrome (FMR1 loss-of-function)	Reduced neurite outgrowth				
Timothy	Defects in calcium signaling	Roscovitine	[42, 43, 44]	[42, 44]	n.r.
syndrome (CACNA1C	Abnormalities in lower cortical and callosum neurons differentiation				
gain-of-function)	Abnormal expression of tyrosine hydroxylase and increased production of norepinephrine and dopamine				
	Activity dependent dendritic retraction				
Phelan McDermid syndrome	Decreased frequency and amplitude of spontaneous postsynaptic currents	IGF-1	Shcheglovitov et al. 2013	[88]	n.r.
(SHANK3 loss-of-function)	Decreased expression of excitatory neurotransmitters receptors				
	Reduced glutamatergic synapses				

 Table 8.1 (continued)

Non-syndromic ASD					
TRPC6 loss-of-function	Defects in calcium signaling	Hyperforin	[23]	[79, 80]	n.r.
	Reduced dendritic spine density	IGF-1			
	Reduced neurite complexity				
	Shorter neurites				
	Reduced glutamatergic synapses				
NRNX1 loss-of-function	Reduced astrocyte differentiation		[81]	n.r.	n.r.
	potential				
NLGN4X	Impaired neuron differentiation		[82]	n.r.	n.r.
loss-of-function	Reduced neurite complexity and				
	inter-cell connections				
	1.0 1		-		

In bold: phenotypes observed in more than one different study of the specific disease, n.r not reported

proportion of ASD patients. Thus, the application of stem cells in the investigation of ASD etiology can not only be useful for dissecting the functional consequences of known mutations but also for aiding in the identification of common mechanisms involved in different ASD cases, even in those in which genetic alterations have not been identified.

Language impairment, difficulties in social interaction, and abnormal behavior with repetitive stereotyped movements are the main clinical hallmarks of ASD patients, and pharmacological treatments have yet to be elected to ameliorate these symptoms. Furthermore, due to the high genetic heterogeneity of nonsyndromic forms of ASD, personalized treatment for each patient has been expected to take place. However, the current studies in iPSC-derived neurons, both from syndromic and nonsyndromic patients, together with mouse models with different mutations, have revealed IGF-1 as a candidate molecule to rescue the phenotype in more than one situation. In this regard, in a phase one clinical trial using IGF-1 in PMDS, Kolevzon et al. [98] suggested in this pilot study that this drug was associated with improvement in both social impairment and restrictive behaviors in autistic children. The overall results indicate that IGF-1 might act in neuronal regulation in a very downstream manner, thus compensating any genetic alteration acting upstream. It will be important to investigate this hypothesis further, as understanding how IGF-1 regulates neuronal morphology and function can aid in finding a more universal drug for ASD treatment.

We stand in a very exciting period with great expectation to move toward a better understanding of ASD etiology and pathophysiology, and it seems that the use of stem cells will certainly change our knowledge in this field.

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