

# Dissipative Reactive Fluid Models from the Kinetic Theory

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**Abstract** We present a kinetic framework describing mixtures of polyatomic species undergoing chemical reactions. Using a generalized Chapman-Enskog expansion, we derive the corresponding macroscopic fluid model. The hyperbolic-parabolic structure of the resulting system of partial differential equations is investigated and closely related to the underlying kinetic framework. We also discuss the Cauchy problem for smooth solutions as well as numerical algorithms for the evaluation of multicomponent transport coefficients using structural properties derived from the kinetic theory.

**Keywords** Kinetic theory · Multicomponent fluid · Hyperbolic-parabolic · Transport coefficients · Cauchy problem

## 1 Introduction

Multicomponent reactive flows undergoing chemical reactions arise in many engineering applications such as chemical reactors [1–3], Earth reentry of space vehicles [4, 5], or flames [6–8]. This is an important motivation for investigating the derivation of the corresponding fluid equations from the kinetic theory of gases as well as analyzing the mathematical structure of the resulting system of partial differential equations [9].

We first present a kinetic framework describing mixtures of polyatomic species undergoing chemical reactions. The Boltzmann equations governing the species distribution functions are presented in a semi-quantum framework [10–19] with reactive sources [20–34]. We only consider mixtures at thermodynamic equilibrium with a single temperature and thermodynamic nonequilibrium lay out of the scope of the present notes [35–46]. The kinetic entropy production is shown to be nonnegative, which yields the Boltzmann H-theorem. We present the Enskog expansion and focus

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on regimes where the chemical characteristic times are larger than the collision times and than the times for relaxation of internal energy.

We derive the zeroth order as well as the first order corresponding macroscopic equations including conservation equations, thermodynamic properties, transport fluxes, transport coefficients and chemical production rates. The transport coefficients are defined in terms of bracket products involving solutions of integral linearized Boltzmann equations and their mathematical structure is *extracted* from the underlying kinetic framework. The macroscopic entropy conservation equation is obtained and we discuss the link between the kinetic entropy and the macroscopic fluid entropy. This kinetic study of reactive mixtures is performed in a physical framework, mathematical aspects of kinetic theory laying out of the scope of these notes [47–56]. The conservation equations, the transport fluxes as well as the source terms may also be obtained from different theories like the thermodynamic of irreversible processes or statistical thermodynamics but such theories do not yields the transport coefficients [57–64]. We further establish that upon neglecting the chemical pressure and the perturbations of the zeroth order source terms, both the Maxwellian reaction regime and the tempered reaction regime yield similar fluid models.

The transport fluxes of the resulting fluid conservation equations are written in terms of transport coefficients. The evaluation of accurate transport coefficients is therefore an important modeling and computational task [65–69]. However, evaluating the transport coefficients for gas mixtures require solving transport linear systems arising from Galerkin solution of systems of linearized Boltzmann equations. The mathematical structure of the transport linear systems is derived from the kinetic theory and the fast evaluation of accurate transport coefficients using either generalized conjugate gradient methods or stationary iterative algorithms is discussed [70–75]. The importance and/or influence of multicomponent transport for computing laminar flows is also addressed [76–85].

The fluid model derived from the kinetic theory is next embedded in a mathematical framework and recast in quasilinear form. The structural properties extracted from the kinetic underlying framework are transformed into relevant mathematical assumptions, thereby soundly founding the mathematical model. We then investigate the mathematical structure of the resulting system of partial differential equations. We discuss symmetrizability properties first using entropic variables and next using normal forms [86–104]. We explicitly evaluate the natural entropic form and next the natural normal form of the system of partial differential equations. We investigate the hyperbolic-parabolic structure using a definition of hyperbolicity from Denis Serre and further establish that for symmetric second order systems strong parabolicity is equivalent to Petrovsky parabolicity.

We then study the Cauchy problem for symmetrized systems of partial differential equations [105–118]. We present global existence theorems around constant equilibrium states as well as asymptotic stability and decay estimates [95]. The method of proof relies on the normal form of the governing equations, on entropic estimates, and on the local strict dissipativity properties of the linearized equations [89, 95, 106, 107].

The kinetic theory of reactive polyatomic gas mixtures is presented in Sects. 2 and 3. The evaluation of transport coefficients is addressed in Sect. 4. The resulting system of partial differential equations is imbedded in a mathematical framework in Sect. 5. Its hyperbolic-parabolic structure is investigated in Sect. 6 and the Cauchy problem is addressed in Sect. 7.

## 2 Kinetic Framework

We investigate the kinetic theory of mixtures of polyatomic gases [9–17, 19] with chemical reactions [20–34]. We present the Boltzmann equations governing the species distribution functions in a semi-quantum—or semi-classical—framework. We perform the Enskog expansion and obtain the zeroth order fluid governing equations. We only consider the situation of one temperature fluids, thermodynamic disequilibrium lying out of the scope of the present work [35–46]. Both Sects. 2 and 3 describing the physical derivation of the fluid equations are not formalized mathematically and only the macroscopic fluid equations will be investigated in a mathematical framework. Mathematical aspects concerning Boltzmann-type equations lay out of the scope of these notes and we refer the reader to [47–56].

### 2.1 Boltzmann Equations

We consider a reactive mixture composed of  $n$  species with internal degrees of freedom. The species equations are governed by Boltzmann equations written in a semi-quantum framework that may be obtained from Waldmann [11], Ludwig and Heil [24], Ferziger and Kaper [16], Alexeev et al. [31], Ern and Giovangigli [33], and Grunfeld [18].

The state of the mixture is described by the species distribution functions denoted by  $f_i(t, \mathbf{x}, \mathbf{c}_i, \mathfrak{l})$ ,  $i \in S$ , where  $t$  denotes the time,  $\mathbf{x}$  the three-dimensional spatial coordinate,  $\mathbf{c}_i$  the velocity of the  $i$ th species,  $\mathfrak{l}$  the index for the quantum state of the  $i$ th species, and  $S = \{1, \dots, n\}$  the set of species indices. We denote by  $Q_i$  the indexing set of the quantum states of the  $i$ th species. The quantity  $f_i(t, \mathbf{x}, \mathbf{c}_i, \mathfrak{l})\delta\mathbf{x}\delta\mathbf{c}_i$  represents the expected number of molecules of type  $i$  in quantum state  $\mathfrak{l}$  in the volume element  $\delta\mathbf{x}$  located at  $\mathbf{x}$ , whose velocities lie in  $\delta\mathbf{c}_i$  about velocity  $\mathbf{c}_i$  at time  $t$ .

We denote by  $m_i$  the mass of the molecule of the  $i$ th species,  $E_{i\mathfrak{l}}$  the internal energy of the molecules of species  $i$  in quantum state  $\mathfrak{l}$  and  $a_{i\mathfrak{l}}$  the degeneracy of the  $\mathfrak{l}$ th quantum state. The fluid macroscopic properties are directly obtained from the distribution functions. More specifically, the number of molecules of the  $i$ th species per unit volume  $n_i$  is given by

$$n_i = \sum_{\mathfrak{l} \in Q_i} \int f_i d\mathbf{c}_i, \quad (1)$$

and the mass density of the  $i$ th species is then  $\rho_i = m_i n_i$ . The mass averaged velocity  $\mathbf{v}$  is obtained from

$$\rho \mathbf{v} = \sum_{\substack{i \in S \\ I \in Q_i}} \int m_i \mathbf{c}_i f_i d\mathbf{c}_i, \quad (2)$$

where  $\rho = \sum_{i \in S} \rho_i$  is the total mass density. The total energy per unit volume is also defined by

$$\mathcal{E} + \frac{1}{2} \rho |\mathbf{v}|^2 = \sum_{\substack{i \in S \\ I \in Q_i}} \int (E_{iI} + \frac{1}{2} m_i |\mathbf{c}_i|^2) f_i d\mathbf{c}_i, \quad (3)$$

where  $\mathcal{E}$  is the internal energy per unit volume. The family of distribution functions will be written for convenience in the form  $(f_i)_{i \in S}$ . More generally, for a family of functions  $\xi_i$ ,  $i \in S$ , where  $\xi_i$  depends on  $\mathbf{c}_i$  and  $I$ , we will use the compact notation  $\xi = (\xi_i)_{i \in S}$ , the dependence on  $(t, \mathbf{x})$  being left implicit.

The species distribution functions are solutions of generalized Boltzmann equations in the form

$$\mathcal{D}_i(f_i) = \mathcal{S}_i(f) + \mathcal{C}_i(f), \quad i \in S, \quad (4)$$

where  $\mathcal{D}_i(f_i)$  is the streaming differential operator,  $\mathcal{S}_i(f)$  the scattering source term and  $\mathcal{C}_i(f)$  the reactive source term. These Boltzmann equations express the conservation of particles in the phase space and may also be derived from the BBGKY-hierarchy [10–13, 15–19]. The streaming differential operator  $\mathcal{D}_i(f_i)$  may be written

$$\mathcal{D}_i(f_i) = \partial_t f_i + \mathbf{c}_i \cdot \nabla f_i + \mathbf{b}_i \cdot \partial_{\mathbf{c}_i} f_i, \quad (5)$$

where  $\mathbf{b}_i$  is the force per unit mass acting on the  $i$ th species.

The scattering term may be written

$$\mathcal{S}_i(f) = \sum_{j \in S} \sum_{I' \in Q_i} \sum_{J, J' \in Q_j} \int \left( f'_i f'_j \frac{a_{iI} a_{jJ}}{a_{iI'} a_{jJ'}} - f_i f_j \right) \mathbb{W}_{ij}^{II'JJ'} d\mathbf{c}_j d\mathbf{c}'_j d\mathbf{c}'_j, \quad (6)$$

where, in a direct collision,  $I$  and  $J$  are the quantum states before collision,  $I'$  and  $J'$  the states after collision,  $a_{iI}$  the degeneracy of the  $i$ th quantum state, and  $\mathbb{W}_{ij}^{II'JJ'}$  the transition probability for nonreactive collisions. We have denoted by  $f'_i$  the distribution  $f'_i = f_i(t, \mathbf{x}, \mathbf{c}'_i, I')$  where  $\mathbf{c}'_i$  is the velocity and  $I'$  the quantum state after collision. The following reciprocity relations are satisfied by the transition probabilities [11]

$$a_{iI} a_{jJ} \mathbb{W}_{ij}^{II'JJ'} = a_{iI'} a_{jJ'} \mathbb{W}_{ij}^{I'J'II}. \quad (7)$$

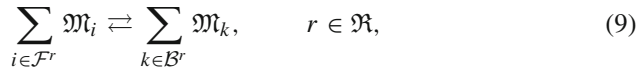
These reciprocity relations are of fundamental importance in the theory since they are related to the symmetry properties of the collision operator and are also requisites for Boltzmann H-theorem. We are using transition probabilities rather than collision

cross sections for convenience since the reactive collision terms are then much simpler to write [9, 24, 31]. For binary nonreactive collisions, denoting by  $\sigma_{ij}^{\text{III}'}$  the collision cross section, we have

$$g_{ij} \sigma_{ij}^{\text{III}' } d\mathbf{e}'_{ij} = \mathbb{W}_{ij}^{\text{III}' } d\mathbf{c}'_i d\mathbf{c}'_j, \quad (8)$$

where  $g_{ij}$  denotes the norm of the relative velocity  $\mathbf{c}_i - \mathbf{c}_j$  of the collision partners before collision and  $\mathbf{e}'_{ij}$  the unit vector in the direction of the relative velocity  $\mathbf{c}'_i - \mathbf{c}'_j$  after collision [18, 24, 31]. The conservation of mass, momentum and energy during collision is taken into account by using Dirac delta functions in the transition probabilities [18, 24, 31].

The reactive source term  $\mathcal{C}_i(f)$  is due to chemical reaction between the species of the mixture. We consider an arbitrary chemical reaction mechanism including binary as well as ternary mixtures. Even though ternary collisions may be neglected in the nonreactive collision term  $\mathcal{S}_i(f)$ , ternary collisions play an important role in chemistry since it is often the unique chemical path in order to form some type of molecules [24, 31]. Ternary collision may also be seen as a succession of two rapid binary collisions [31]. The chemical reactions are indexed by  $r \in \mathfrak{R} = \{1, \dots, n^r\}$  where  $n^r$  is the number of reactions and may be written [33]



where  $\mathcal{F}^r$  and  $\mathcal{B}^r$  are the indices of reactants and products with their multiplicity. We denote by  $\nu_{ir}^f$  and  $\nu_{ir}^b$  the stoichiometric coefficients of the  $i$ th species in the  $r$ th reaction, that is, the multiplicity of species  $i$  in  $\mathcal{F}^r$  and  $\mathcal{B}^r$ , respectively, and by  $F^r$  and  $B^r$  the indices of the quantum energy states of the reactants and products, respectively. We also denote by  $\mathcal{F}_i^r$  the subset of  $\mathcal{F}^r$  where the index  $i$  has been removed once with similar notation for  $\mathcal{B}_k^r$ ,  $F_1^r$  and  $B_K^r$ . The reactive collision term for the  $i$ th species may then be written [9, 33]

$$\mathcal{C}_i(f) = \sum_{r \in \mathfrak{R}} \mathcal{C}_i^r(f), \quad (10)$$

where  $\mathcal{C}_i^r(f)$  represents the contribution of the  $r$ th reaction. This term  $\mathcal{C}_i^r(f)$  is given by

$$\begin{aligned} \mathcal{C}_i^r(f) = & \nu_{ir}^f \sum_{F_1^r, B^r} \int \left( \prod_{k \in \mathcal{B}^r} f_k \frac{\prod_{k \in \mathcal{B}^r} \beta_{kK}}{\prod_{j \in \mathcal{F}^r} \beta_{jJ}} - \prod_{j \in \mathcal{F}^r} f_j \right) \mathcal{W}_{\mathcal{F}^r B^r}^{F_1^r} \prod_{j \in \mathcal{F}_i^r} d\mathbf{c}_j \prod_{k \in \mathcal{B}^r} d\mathbf{c}_k \\ & - \nu_{ir}^b \sum_{F^r, B_1^r} \int \left( \prod_{k \in \mathcal{B}^r} f_k \frac{\prod_{k \in \mathcal{B}^r} \beta_{kK}}{\prod_{j \in \mathcal{F}^r} \beta_{jJ}} - \prod_{j \in \mathcal{F}^r} f_j \right) \mathcal{W}_{\mathcal{F}^r B^r}^{F^r} \prod_{j \in \mathcal{F}^r} d\mathbf{c}_j \prod_{k \in \mathcal{B}_i^r} d\mathbf{c}_k, \end{aligned} \quad (11)$$

where  $\beta_{i1} = h_p^3 / (a_i m_i^3)$ ,  $h_p$  is the Planck constant, and  $\mathcal{W}_{\mathcal{F}^r \mathcal{B}^r}^{\mathcal{F}^r \mathcal{B}^r}$  denotes the transition probability that a collision between the reactants  $\mathcal{F}^r$  with energies  $\mathcal{F}^r$  lead to the products  $\mathcal{B}^r$  with energies  $\mathcal{B}^r$ . The summation over  $\mathcal{F}^r$  in (11) represents the sum over all quantum indices  $j$  for all  $j \in \mathcal{F}^r$  with similar conventions for  $\mathcal{F}_1^r$ ,  $\mathcal{B}^r$ , and  $\mathcal{B}_1^r$ . Finally, the following reciprocity relations hold between transition probabilities [9, 24, 31, 33]

$$\mathcal{W}_{\mathcal{F}^r \mathcal{B}^r}^{\mathcal{F}^r \mathcal{B}^r} \prod_{k \in \mathcal{B}^r} \beta_{kk} = \mathcal{W}_{\mathcal{B}^r \mathcal{F}^r}^{\mathcal{B}^r \mathcal{F}^r} \prod_{j \in \mathcal{F}^r} \beta_{jj}, \quad (12)$$

and generalize the relations (7) between the nonreactive transition probabilities. The reciprocity relations (12) between reactive transition probabilities are also important and imply in particular the symmetry properties of linearized chemical source at equilibrium that may be seen as Onsager relations for chemistry.

## 2.2 Collisional Invariants

A collisional invariant  $\psi$  of the rapid collision operator  $\mathcal{S} = (\mathcal{S}_i(f))_{i \in \mathcal{S}}$  is by definition a family  $\psi = (\psi_i)_{i \in \mathcal{S}}$  such that  $\psi_i + \psi_j = \psi'_i + \psi'_j$  for any nonreactive collision between species  $i$  and  $j$ . The scalar collisional invariants of the rapid collision operator form a vector space spanned by the invariants  $\psi^l$ ,  $l \in \{1, \dots, n+4\}$ , defined by

$$\psi^l = \begin{cases} (\delta_{li})_{i \in \mathcal{S}}, & l \in \mathcal{S}, \\ (\mathbf{m}_i c_{i\nu})_{i \in \mathcal{S}}, & l = n + \nu, \quad \nu \in \{1, 2, 3\}, \\ (\frac{1}{2} \mathbf{m}_i \mathbf{c}_i \cdot \mathbf{c}_i + E_{il})_{i \in \mathcal{S}}, & l = n + 4, \end{cases}$$

where  $m_i$  is the mass of the molecule of the  $i$ th species and  $c_{i\nu}$  the component of  $\mathbf{c}_i$  in the  $\nu$  spatial direction [10–13, 15, 16]. The  $n$  first invariants  $\psi^l$ ,  $l \in \{1, \dots, n\}$ , are associated with species conservation in nonreactive collisions, the invariants  $\psi^{n+1}$ ,  $\psi^{n+2}$ , and  $\psi^{n+3}$ , with momentum conservation in the three spatial directions, and  $\psi^{n+4}$  with total energy conservation [9, 11, 15, 16]. The collisional invariants of the complete collision operator  $\mathcal{S} + \mathcal{C} = (\mathcal{S}_i(f) + \mathcal{C}_i(f))_{i \in \mathcal{S}}$  are associated with the conservation of momentum, total energy and chemical elements or atoms [33]. Denoting by  $\alpha_{il}$  the number of  $l$ th atom in the  $i$ th species,  $\mathfrak{A} = \{1, \dots, n^a\}$  the set of atom indices, and  $n^a \geq 1$  the number of atoms—or elements—in the mixture, the atomic collisional invariants of the complete collision operator may be written  $\alpha_l = (\alpha_{il})_{i \in \mathcal{S}}$  for  $l \in \mathfrak{A}$ .

*Remark 2.1* When molecules are not spherically symmetric, there could be another summational invariant, namely angular momentum. However, we are only interested in these notes with *isotropic* distributions without micro-polarizations associated with strong magnetic fields. For such isotropic distributions the angular momentum summational invariant plays no role [16].

For two tensor families  $\xi = (\xi_i)_{i \in S}$  and  $\zeta = (\zeta_i)_{i \in S}$ , we define the scalar product

$$\langle\langle \xi, \zeta \rangle\rangle = \sum_{\substack{i \in S \\ I \in Q_i}} \int \xi_i \odot \zeta_i \, d\mathbf{c}_i,$$

where  $\xi_i \odot \zeta_i$  is the full contracted product between tensor  $\xi_i$  and tensor  $\zeta_i$ . We introduce families of tensors since they naturally arise in the definition of transport coefficients. The macroscopic properties naturally associated with the fluid may then be written in the compact form

$$\langle\langle f, \psi^l \rangle\rangle = \begin{cases} n_l, & l \in S, \\ \rho v_\nu, & l = n + \nu, \quad \nu \in \{1, 2, 3\}, \\ \frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v} + \mathcal{E}, & l = n + 4, \end{cases}$$

where  $v_\nu$  denotes the component in direction  $\nu$  of the mass average velocity  $\mathbf{v}$ .

### 2.3 Kinetic Entropy

The kinetic entropy per unit volume is defined by

$$\mathcal{S}^{\text{kin}} = -k_{\text{B}} \sum_{\substack{i \in S \\ I \in Q_i}} \int f_i (\log(\beta_{iI} f_i) - 1) \, d\mathbf{c}_i, \quad (13)$$

where  $k_{\text{B}}$  is the Boltzmann constant and  $\beta_{iI} = h_{\text{p}}^3 / (a_{iI} m_i^3)$ . Multiplying the Boltzmann equation (4) by  $\log(\beta_{iI} f_i)$ , integrating with respect to  $d\mathbf{c}_i$ , summing over the species  $i \in S$  and over the quantum states  $I \in Q_i$ , we obtain a balance equation for  $\mathcal{S}^{\text{kin}}$  in the form

$$\partial_t \mathcal{S}^{\text{kin}} + \nabla \cdot (\mathcal{S}^{\text{kin}} \mathbf{v}) + \nabla \cdot \mathcal{J}^{\text{kin}} = \mathbf{v}^{\text{kin}}, \quad (14)$$

where  $\mathcal{J}^{\text{kin}}$  is the entropy diffusive flux,

$$\mathcal{J}^{\text{kin}} = -k_{\text{B}} \sum_{\substack{i \in S \\ I \in Q_i}} \int (\mathbf{c}_i - \mathbf{v}) f_i (\log(\beta_{iI} f_i) - 1) \, d\mathbf{c}_i, \quad (15)$$

and  $\mathbf{v}^{\text{kin}}$  the entropy source term. The entropy source term  $\mathbf{v}^{\text{kin}}$  may be written  $\mathbf{v}^{\text{kin}} = \mathbf{v}^{\text{S}} + \mathbf{v}^{\text{C}}$  with contributions from the nonreactive collision

$$\mathbf{v}^{\text{S}} = -k_{\text{B}} \sum_{\substack{i \in S \\ I \in Q_i}} \int \mathcal{S}_i(f) \log(\beta_{iI} f_i) \, d\mathbf{c}_i, \quad (16)$$

and reactive collisions

$$\mathbf{v}^{\mathcal{C}} = -k_{\text{B}} \sum_{\substack{i \in S \\ l \in \mathcal{Q}_i}} \int \mathcal{C}_i(f) \log(\beta_{il} f_i) \, d\mathbf{c}_i. \quad (17)$$

After some algebra, it is obtained that

$$\mathbf{v}^{\mathcal{S}} = \frac{k_{\text{B}}}{4} \sum_{i,j \in S} \sum_{i'l'j'j'} \int \Upsilon \left( \frac{f'_i f'_{j'}}{a_{i'l'} a_{j'j'}}, \frac{f_i f_j}{a_{il} a_{jj}} \right) W_{ij}^{i'l'j'j'} a_{il} a_{jj} \, d\mathbf{c}_i d\mathbf{c}_j d\mathbf{c}'_i d\mathbf{c}'_j, \quad (18)$$

$$\mathbf{v}^{\mathcal{C}} = \frac{k_{\text{B}}}{4} \sum_{r \in \mathfrak{A}^r, \mathfrak{B}^r} \sum_{\substack{k \in \mathcal{B}^r \\ j \in \mathcal{F}^r}} \int \Upsilon \left( \prod_{k \in \mathcal{B}^r} \beta_{kk} f_k, \prod_{j \in \mathcal{F}^r} \beta_{jj} f_j \right) \frac{\mathcal{W}_{\mathcal{F}^r \mathcal{B}^r}^{\mathcal{F}^r \mathcal{B}^r}}{\prod_{j \in \mathcal{F}^r} \beta_{jj}} \prod_{j \in \mathcal{F}^r} d\mathbf{c}_j \prod_{k \in \mathcal{B}^r} d\mathbf{c}_k, \quad (19)$$

where  $\Upsilon$  denotes the function  $\Upsilon(x, y) = (x - y)(\log x - \log y)$ . Since this function only takes nonnegative values, we conclude that both quantities  $\mathbf{v}^{\mathcal{S}}$  and  $\mathbf{v}^{\mathcal{C}}$  are sum of nonnegative terms. All collisions, nonreactive or reactive, thus yield nonnegative entropy productions [9, 33]. The generalized Boltzmann equations are thus compatible with the Boltzmann H-theorem and will lead to a dissipative structure at the molecular level. This important property must be recovered at the macroscopic level, that is, both type of collisions should yield nonnegative macroscopic entropy production.

## 2.4 Enskog Expansion

An approximate solution of Boltzmann equations (4) is obtained with Enskog expansion and we assume to this aim that the chemical time scales are larger than the collision times as well as the times for relaxation of internal energy. We thus write the Boltzmann equations (4) in the form

$$\mathcal{D}_i(f_i) = \frac{1}{\epsilon} \mathcal{S}_i(f) + \epsilon^a \mathcal{C}_i(f), \quad i \in S, \quad (20)$$

where  $\epsilon$  is the formal parameter associated with Enskog expansion and  $a$  is a integer which depends upon the regime under consideration. We only consider in these notes the situation of Maxwellian reactions  $a = 1$  or the situation of tempered reactions  $a = 0$ , whereas the kinetic equilibrium regime  $a = -1$  investigated in [33] lay out of the scope of the present notes.

The species distribution functions are expanded in the form

$$f_i = f_i^0 (1 + \epsilon \phi_i + \mathcal{O}(\epsilon^2)), \quad i \in S, \quad (21)$$



and the Chapman-Enskog method requires that  $f$  and  $f^0$  have the same macroscopic observables

$$\langle\langle f^0, \psi^l \rangle\rangle = \langle\langle f, \psi^l \rangle\rangle, \quad l \in \{1, \dots, n+4\}. \quad (22)$$

We establish in next section that  $f_i^0$  is the local Maxwellian and introduce the following convenient notation  $f^0 = (f_i^0)_{i \in S}$ ,  $\mathcal{D}(\xi) = (\mathcal{D}_i(\xi_i))_{i \in S}$ ,  $\mathcal{S}(\xi) = (\mathcal{S}_i(\xi))_{i \in S}$ ,  $\mathcal{C}(\xi) = (\mathcal{C}_i(\xi))_{i \in S}$  and  $\mathcal{C}^r(\xi) = (\mathcal{C}_i^r(\xi))_{i \in S}$ ,  $r \in \mathfrak{R}$ , where  $\xi = (\xi_i)_{i \in S}$  is a family of functions  $\xi_i$  depending on  $(\mathbf{c}_i, \mathbf{l})$ .

## 2.5 Maxwellian Distributions

The zeroth order distributions  $f^0 = (f_i^0)_{i \in S}$  satisfy the zeroth order equations

$$\mathcal{S}_i(f^0) = 0, \quad i \in S. \quad (23)$$

Multiplying (23) by  $\log(\beta_{il} f_i^0)$ , integrating with respect to  $d\mathbf{c}_i$ , summing over  $i \in S$  and over  $\mathbf{l} \in \mathbf{Q}_i$ , it is obtained that

$$\sum_{\substack{i \in S \\ \mathbf{l} \in \mathbf{Q}_i}} \int \log(\beta_{il} f_i^0) \mathcal{S}_i(f^0) d\mathbf{c}_i = 0.$$

Using the expression (18) of the source term  $\mathbf{v}^S$  we obtain that  $(\log(\beta_{il} f_i^0))_{i \in S}$  is a collision invariant. It is then a linear combination of the invariants  $\psi^l$ ,  $1 \leq l \leq n+4$ , in such a way that

$$\log(\beta_{il} f_i^0) = \alpha_i - \beta \cdot \mathbf{m}_i \mathbf{c}_i - \gamma \left( \frac{1}{2} \mathbf{m}_i \mathbf{c}_i \cdot \mathbf{c}_i + E_{il} \right), \quad i \in S,$$

where  $\alpha_i \in \mathbb{R}$ ,  $\beta \in \mathbb{R}^3$  and  $\gamma \in \mathbb{R}$ , are parameters determined by the macroscopic constraints (22). After some algebra, it is obtained that

$$f_i^0 = \frac{n_i}{\beta_{il} Z_i} \exp\left(-\frac{\mathbf{m}_i}{2k_B T} \mathbf{C}_i \cdot \mathbf{C}_i - \frac{E_{il}}{k_B T}\right), \quad i \in S, \quad (24)$$

where  $\mathbf{C}_i = \mathbf{c}_i - \mathbf{v}$  is the relative velocity of the  $i$ th species,  $T$  the temperature and  $Z_i$  the partition function per unit volume of the  $i$ th species. This partition function  $Z_i$  of the  $i$ th species may be written

$$Z_i = Z_i^{\text{int}} Z_i^{\text{tr}},$$

where  $Z_i^{\text{int}}$  and  $Z_i^{\text{tr}}$  denote respectively the internal partition function and the translational partition function per unit volume

$$Z_i^{\text{int}} = \sum_{I \in Q_i} a_{iI} \exp\left(-\frac{E_{iI}}{k_B T}\right), \quad Z_i^{\text{tr}} = \left(\frac{2\pi m_i k_B T}{h_p^2}\right)^{3/2},$$

and another convenient expression of the local Maxwellian  $f_i^0$  reads

$$f_i^0 = \left(\frac{m_i}{2\pi k_B T}\right)^{3/2} \frac{a_{iI} n_i}{Z_i^{\text{int}}} \exp\left\{-\frac{m_i}{2k_B T} \mathbf{C}_i \cdot \mathbf{C}_i - \frac{E_{iI}}{k_B T}\right\}. \quad (25)$$

## 2.6 Zeroth Order Equations

Macroscopic equations are generally obtained by taking scalar products of the Boltzmann equations by collisional invariants. At zeroth order, only the terms that are  $O(\epsilon^0)$  are taken into account and it is obtained that

$$\langle\langle \mathcal{D}(f^0), \psi^l \rangle\rangle = \delta_{a0} \langle\langle \mathcal{C}(f^0), \psi^l \rangle\rangle, \quad (26)$$

for  $l \in \{1, \dots, n+4\}$ . After a few algebra, we deduce for  $l = 1, \dots, n$ , the species mass conservation equations

$$\partial_t \rho_i + \nabla \cdot (\rho_i \mathbf{v}) = \delta_{a0} m_i \mathfrak{w}_i^0, \quad i \in S, \quad (27)$$

where  $\rho_i = m_i n_i$  is the mass density of the  $i$ th species and  $\mathfrak{w}_i^0$  the zeroth order chemical production rate

$$\mathfrak{w}_i^0 = \langle\langle \psi^i, \mathcal{C}(f^0) \rangle\rangle = \sum_{I \in Q_i} \int \mathcal{C}_i(f^0) d\mathbf{c}_i, \quad i \in S.$$

The momentum conservation equations are obtained for  $l = n+1, n+2, n+3$ , and may be written in vector form

$$\partial_t (\rho \mathbf{v}) + \nabla \cdot (\rho \mathbf{v} \otimes \mathbf{v} + p \mathbf{I}) = \rho \mathbf{b}, \quad (28)$$

where  $\mathbf{I}$  is the unit tensor,  $p$  the pressure, and  $\mathbf{b}$  the average force

$$\rho \mathbf{b} = \sum_{i \in S} \rho_i \mathbf{b}_i. \quad (29)$$

Finally, the equation obtained with  $l = n+4$  express the conservation of total energy

$$\partial_t \left(\frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v} + \mathcal{E}\right) + \nabla \cdot \left(\left(\frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v} + \mathcal{E} + p\right) \mathbf{v}\right) = \rho \mathbf{b} \cdot \mathbf{v}. \quad (30)$$

The macroscopic zeroth order equations are thus the compressible Euler equations for a reactive mixture of polyatomic gases.

## 2.7 Thermal Properties

The internal energy per unit volume  $\mathcal{E}$  may be evaluated by using  $\mathcal{E} = \langle\langle f, \psi^{\text{int}} \rangle\rangle = \langle\langle f^0, \psi^{\text{int}} \rangle\rangle$  and the expression of the Maxwellian distributions, where the collisional invariant  $\psi^{\text{int}} = (\psi_i^{\text{int}})_{i \in S}$  is defined by  $\psi_i^{\text{int}} = E_{i1} + \frac{1}{2} m_i |\mathbf{C}_i|^2$ . After some algebra, it is obtained that

$$\mathcal{E} = \sum_{i \in S} n_i \left( \frac{3}{2} k_B T + \bar{E}_i \right),$$

where  $\bar{E}_i$  denotes the average internal energy per molecule of the  $i$ th species

$$\bar{E}_i = \frac{1}{Z_i^{\text{int}}} \sum_{l \in Q_i} a_{il} E_{il} \exp\left(-\frac{E_{il}}{k_B T}\right), \quad i \in S.$$

Defining the energy per unit mass of the  $i$ th species by  $e_i = (\frac{3}{2} k_B T + \bar{E}_i)/m_i$  we also have  $\mathcal{E} = \rho e = \sum_{i \in S} \rho_i e_i$ . Similarly, the enthalpy per unit volume  $\mathcal{H}$  is given by

$$\mathcal{H} = \sum_{i \in S} n_i \left( \frac{5}{2} k_B T + \bar{E}_i \right), \quad (31)$$

or equivalently by  $\mathcal{H} = \rho h = \sum_{i \in S} \rho_i h_i$  where  $h_i = (\frac{5}{2} k_B T + \bar{E}_i)/m_i$  is the enthalpy per unit mass of the  $i$ th species.

We define the internal specific heat  $c_i^{\text{int}}$  per molecule of the  $i$ th species by  $c_i^{\text{int}} = \frac{d\bar{E}_i}{dT}$  and from the expression of  $\bar{E}_i$  we obtain that

$$c_i^{\text{int}} = k_B \sum_{l \in Q_i} \frac{a_{il}}{Z_i^{\text{int}}} \left( \frac{E_{il} - \bar{E}_i}{k_B T} \right)^2 \exp\left(-\frac{E_{il}}{k_B T}\right), \quad i \in S.$$

The translational specific heat at constant volume  $c_v^{\text{tr}}$  and the specific heat at constant volume  $c_{i,v}$  of the  $i$ th species are then defined by

$$c_v^{\text{tr}} = \frac{3}{2} k_B, \quad c_{i,v} = c_v^{\text{tr}} + c_i^{\text{int}}.$$

The mixture internal specific heat  $c^{\text{int}}$  and heat at constant volume are also defined by

$$c^{\text{int}} = \sum_{i \in S} \frac{n_i}{n} c_i^{\text{int}}, \quad c_v = c_v^{\text{tr}} + c^{\text{int}},$$

where  $n = \sum_{i \in S} n_i$  denotes the total number density. On the other hand, the pressure is given by the state law

$$p = nk_{\text{B}}T, \quad (32)$$

and we recover the perfect gas law.

It will be necessary in the following to use a zeroth equation for the temperature  $T$ . After some algebra, the following zeroth order conservation equation is obtained for the temperature  $T$

$$nc_{\text{v}}(\partial_t T + \mathbf{v} \cdot \nabla T) = -p\nabla \cdot \mathbf{v} - \delta_{a0} \sum_{i \in S} \left( \frac{3}{2} k_{\text{B}} T + \bar{E}_i \right) \omega_i^0. \quad (33)$$

## 2.8 Maxwellian Production Rates

The zeroth order source term may be written in the form [9]

$$\omega_i^0 = \sum_{r \in \mathfrak{R}} (\nu_{ir}^{\text{b}} - \nu_{ir}^{\text{f}}) \bar{\tau}_r, \quad i \in S, \quad (34)$$

where  $\bar{\tau}_r$  denotes the rate of progress of the  $r$ th reaction. The rate of progress  $\bar{\tau}_r$  is obtained from  $(\nu_{ir}^{\text{b}} - \nu_{ir}^{\text{f}}) \bar{\tau}_r = \langle \langle \mathcal{C}^r(f^0), \psi^i \rangle \rangle$  and may be written

$$\bar{\tau}_r = \mathcal{K}_r \left( \prod_{j \in S} \left( \frac{n_j}{Z_j} \right)^{\nu_{jr}^{\text{f}}} - \prod_{j \in S} \left( \frac{n_j}{Z_j} \right)^{\nu_{jr}^{\text{b}}} \right), \quad r \in \mathfrak{R}, \quad (35)$$

where  $\mathcal{K}_r$  is the rate constant of the  $r$ th reaction [9, 33]

$$\mathcal{K}_r = \sum_{\mathcal{F}^r, \mathcal{B}^r} \int \prod_{j \in \mathcal{F}^r} \exp\left(-\frac{m_j}{2k_{\text{B}}T} \mathbf{C}_j \cdot \mathbf{C}_j - \frac{E_{j\mathcal{J}}}{k_{\text{B}}T}\right) \frac{\mathcal{W}_{\mathcal{F}^r \mathcal{B}^r}^{\mathcal{F}^r \mathcal{B}^r}}{\prod_{j \in \mathcal{F}^r} \beta_{j\mathcal{J}}} \prod_{\mathcal{F}^r} d\mathbf{c}_j \prod_{\mathcal{B}^r} d\mathbf{c}_k. \quad (36)$$

These zeroth order chemical production rates are compatible with the law of mass action and with traditional thermochemistry [9].

Denoting by  $a_{il}$  the number of  $l$ th atom in the  $i$ th species,  $\mathfrak{A} = \{1, \dots, n^{\text{a}}\}$  the set of atom indices, and  $n^{\text{a}} \geq 1$  the number of atoms—or elements—in the mixture, the stoichiometric coefficients satisfy the atom conservation relations

$$\sum_{i \in S} \nu_{ir}^{\text{f}} a_{il} = \sum_{i \in S} \nu_{ir}^{\text{b}} a_{il}, \quad r \in \mathfrak{R}, \quad l \in \mathfrak{A}. \quad (37)$$

Using (34), these constraints imply that

$$\sum_{i \in S} w_i^0 a_{il} = 0, \quad l \in \mathfrak{A}, \quad (38)$$

which states that atoms are conserved by chemical reactions. On the other hand, the species being constituted by atoms, denoting by  $\tilde{m}_l$  the mass of the  $l$ th atom, we have the relations

$$m_i = \sum_{l \in \mathfrak{A}} \tilde{m}_l a_{il}, \quad i \in S. \quad (39)$$

From these relations between the atom and species mass, and from the conservation of atoms, mass is also conserved during chemical reactions so that

$$\sum_{i \in S} \nu_{ir}^f m_i = \sum_{i \in S} \nu_{ir}^b m_i, \quad r \in \mathfrak{R}, \quad (40)$$

as well as

$$\sum_{i \in S} m_i w_i^0 = 0, \quad (41)$$

so that there is no mass production due to chemical reactions.

### 3 Dissipative Regime

We obtain in this section the *first order* macroscopic equations governing polyatomic gas mixtures [10–19] with chemical reactions [20–34]. We derive in particular the transport fluxes—which yield *dissipative effects* like viscosity, diffusion or thermal conduction, and we also obtain the transport coefficients. For a single gas, the corresponding equations are the Navier-Stokes-Fourier system.

#### 3.1 Linearized Boltzmann Equations

The linearized Boltzmann collision operator  $\mathcal{I} = (\mathcal{I}_i)_{i \in S}$  is defined by

$$\mathcal{I}_i(\phi) = \sum_{j \in S} \sum_{l \in Q_j} \sum_{l' \in Q_j} \int f_j^0(\phi_i + \phi_j - \phi'_i - \phi'_j) w_{ij}^{ll'} \mathbf{d}\mathbf{c}_j \mathbf{d}\mathbf{c}'_i \mathbf{d}\mathbf{c}'_j, \quad i \in S.$$

An important property of this linearized collision operator is that it is isotropic so that it transforms a tensor built with  $(\mathbf{c}_i)_{i \in S}$  into an analog tensor as in the monatomic case [11, 15, 16].

We introduce the corresponding bracket operator

$$\llbracket \xi, \zeta \rrbracket = \langle \langle f^0 \xi, \mathcal{I}(\zeta) \rangle \rangle, \quad (42)$$

between two families of tensors  $\xi = (\xi_i)_{i \in S}$ ,  $\zeta = (\zeta_i)_{i \in S}$ , where  $\xi_i$  and  $\zeta_i$  depend on  $\mathbf{c}_i$  and  $\mathbf{l}$ . This bilinear operator is symmetric  $\llbracket \xi, \zeta \rrbracket = \llbracket \zeta, \xi \rrbracket$ , positive semi-definite  $\llbracket \xi, \xi \rrbracket \geq 0$ , and its nullspace is constituted by the collisional invariants, that is,  $\llbracket \xi, \xi \rrbracket = 0$  if and only if  $\xi$  is a tensorial collisional invariant so that all its components are scalar collisional invariants [15, 16]. These symmetry properties are notably consequences of reciprocity relations between the transition probabilities or equivalently the collision cross sections.

The first order equations that govern the perturbed species distribution functions  $\phi = (\phi_i)_{i \in S}$  are directly obtained from (20) to (21). These linearized equations are in the form

$$\mathcal{I}_i(\phi) = \Psi_i, \quad i \in S, \quad (43)$$

where

$$\Psi_i = -\mathcal{D}_i(\log f_i^0) + \delta_{a0} \frac{\mathcal{C}_i(f^0)}{f_i^0}.$$

In addition, the relations (22) yield the scalar constraints

$$\langle \langle f^0 \phi, \psi^l \rangle \rangle = 0, \quad l \in \{1, \dots, n+4\}. \quad (44)$$

The term  $\mathcal{D}_i(\log f_i^0)$  appearing in the right hand side is evaluated from the zeroth order macroscopic equations as required by the Chapman-Enskog method. After lengthy calculations, it is obtained that

$$\Psi_i = \Psi_i^S + \delta_{a0} \Psi_i^C, \quad i \in S, \quad (45)$$

with

$$\Psi_i^S = -\Psi_i^\eta : \nabla \mathbf{v} - \frac{1}{3} \Psi_i^\kappa \nabla \cdot \mathbf{v} - \sum_{j \in S} \Psi_i^{Dj} \cdot (\nabla p_j - \rho_j \mathbf{b}_j) - \Psi_i^{\hat{\lambda}} \cdot \nabla \left( \frac{1}{k_B T} \right), \quad (46)$$

$$\Psi_i^C = \frac{\mathcal{C}_i(f^0)}{f_i^0} - \frac{\mathfrak{w}_i^0}{n_i} - \frac{1}{p c_V T} \left( \frac{3}{2} k_B T - \frac{m_i}{2} \mathbf{C}_i \cdot \mathbf{C}_i + \bar{E}_i - E_{i1} \right) \sum_{j \in S} \left( \frac{3}{2} k_B T + \bar{E}_j \right) \mathfrak{w}_j^0, \quad (47)$$

where  $p_i = n_i k_B T$  is the partial pressure of the  $i$ th species and  $\mathbf{C}_i = \mathbf{c}_i - \mathbf{v}$  the relative velocity of the  $i$ th species. In these expressions, we have denoted

$$\Psi_i^\eta = \frac{m_i}{k_B T} \left( \mathbf{C}_i \otimes \mathbf{C}_i - \frac{1}{3} \mathbf{C}_i \cdot \mathbf{C}_i \mathbf{I} \right), \quad (48)$$

$$\Psi_i^\kappa = \frac{2c^{\text{int}}}{c_v k_B T} \left( \frac{1}{2} m_i \mathbf{C}_i \cdot \mathbf{C}_i - \frac{3}{2} k_B T \right) + \frac{2c_v^{\text{tr}}}{c_v k_B T} (\bar{E}_i - E_{i1}), \quad (49)$$

$$\Psi_i^{D_j} = \frac{1}{p_i} \left( \delta_{ij} - \frac{\rho_i}{\rho} \right) \mathbf{C}_i, \quad (50)$$

$$\Psi_i^{\hat{\lambda}} = \left( \frac{5}{2} k_B T - \frac{1}{2} m_i \mathbf{C}_i \cdot \mathbf{C}_i + \bar{E}_i - E_{i1} \right) \mathbf{C}_i, \quad (51)$$

so that  $\Psi_i^\eta$  is a symmetric traceless tensor,  $\Psi_i^\kappa$  a scalar and  $\Psi_i^{D_j}$ ,  $j \in S$ , and  $\Psi_i^{\hat{\lambda}}$  are vectors. In order to expand  $\Psi_i^{\mathcal{C}}$  we also write

$$\Psi_i^{\mathcal{C}} = \sum_{r \in \mathfrak{R}} \Psi_i^r \bar{\tau}_r, \quad i \in S, \quad (52)$$

where  $\bar{\tau}_r$  is the zeroth order rate of the  $r$ th reaction (35) and where  $\Psi_i^r$  is given by

$$\begin{aligned} \Psi_i^r = & \frac{1}{f_i^0 \mathcal{K}_r} \left( \nu_{ir}^b \sum_{\mathcal{F}^r, \mathcal{B}_i^r} \int \mathcal{D}_r \prod d\mathbf{c}_j \prod d\mathbf{c}_k - \nu_{ir}^f \sum_{\mathcal{F}_i^r, \mathcal{B}^r} \int \mathcal{D}_r \prod d\mathbf{c}_j \prod d\mathbf{c}_k \right) - \frac{\nu_{ir}^b - \nu_{ir}^f}{n_i} \\ & - \frac{1}{p c_v T} \left( \sum_{j \in S} \left( \frac{3}{2} k_B T + \bar{E}_j \right) (\nu_{jr}^b - \nu_{jr}^f) \right) \left( \frac{3}{2} k_B T - \frac{m_i}{2} \mathbf{C}_i \cdot \mathbf{C}_i + \bar{E}_i - E_{i1} \right). \end{aligned}$$

By linearity of the operator  $\mathcal{I}$ , the solution  $\phi = (\phi_i)_{i \in S}$  of (43) and (44) may be expanded in a similar form

$$\phi_i = \phi_i^{\mathcal{S}} + \delta_{a0} \phi_i^{\mathcal{C}}, \quad (53)$$

where

$$\phi_i^{\mathcal{S}} = -\phi_i^\eta \cdot \nabla \mathbf{v} - \frac{1}{3} \phi_i^\kappa \nabla \cdot \mathbf{v} - \sum_{j \in S} \phi_i^{D_j} \cdot (\nabla p_j - \rho_j \mathbf{b}_j) - \phi_i^{\hat{\lambda}} \cdot \nabla \left( \frac{1}{k_B T} \right), \quad (54)$$

$$\phi_i^{\mathcal{C}} = \sum_{r \in \mathfrak{R}} \phi_i^r \bar{\tau}_r. \quad (55)$$

The functions  $\phi^\mu$ , for  $\mu \in \{\eta, \kappa, D_1, \dots, D_n, \hat{\lambda}\} \cup \mathfrak{R}$ , are now of tensor type and satisfy the integral equations

$$\mathcal{I}_i(\phi^\mu) = \Psi_i^\mu, \quad i \in S, \quad (56)$$

with the constraints

$$\langle\langle f^0 \phi^\mu, \psi^l \rangle\rangle = 0, \quad l \in \{1, \dots, n+4\}. \quad (57)$$

These integral equations are generally shown to be well posed by using Fredholm alternative [17, 54, 56].

### 3.2 First Order Equations

The conservation equations at first order are obtained by taking the scalar product of Boltzmann equations by the collisional invariants and by keeping all terms that are  $O(\epsilon^0)$  or  $O(\epsilon^1)$

$$\langle \mathcal{D}(f^0 + f^0 \phi), \psi^l \rangle = \langle \mathcal{C}(f^0), \psi^l \rangle + \delta_{a0} \langle \partial_f \mathcal{C}(f^0) f^0 \phi, \psi^l \rangle, \quad (58)$$

where  $l \in \{1, \dots, n+4\}$  and  $\partial_f \mathcal{C}(f^0) f^0 \phi = (\partial_f \mathcal{C}_i(f^0) f^0 \phi)_{i \in S}$ .

The equations for the conservation of species mass are obtained for  $l = 1, \dots, n$ , and are in the form

$$\partial_t \rho_i + \nabla \cdot (\rho_i \mathbf{v}) + \nabla \cdot (\rho_i \mathbf{v}_i) = \mathfrak{m}_i \mathfrak{w}_i, \quad i \in S, \quad (59)$$

where  $\mathbf{v}_i$  is the diffusion velocity and  $\mathfrak{w}_i$  the production term for the  $i$ th species. The diffusion velocities are defined by

$$\mathbf{v}_i = \frac{1}{\mathfrak{n}_i} \sum_{I \in Q_i} \int \mathbf{C}_I f_i^0 \phi_i \, d\mathbf{c}_i, \quad i \in S, \quad (60)$$

and the source term by

$$\mathfrak{w}_i = \sum_{I \in Q_i} \int (\mathcal{C}_I(f^0) + \delta_{a0} \partial_f \mathcal{C}_I(f^0) f^0 \phi) \, d\mathbf{c}_i, \quad i \in S. \quad (61)$$

The mass flux  $\mathcal{F}_i$  of the  $i$ th species is further defined as  $\mathcal{F}_i = \rho_i \mathbf{v}_i = \mathfrak{m}_i \mathfrak{n}_i \mathbf{v}_i$  and satisfy the constraint

$$\sum_{i \in S} \mathcal{F}_i = \sum_{i \in S} \rho_i \mathbf{v}_i = 0,$$

since  $f^0 \phi$  is orthogonal to the vector collision invariant  $(\mathfrak{m}_i \mathbf{C}_i)_{i \in S}$ .

The momentum equations are obtained for  $l = n+1, n+2, n+3$ , and may be written in vector form

$$\partial_t(\rho \mathbf{v}) + \nabla \cdot (\rho \mathbf{v} \otimes \mathbf{v} + p \mathbf{I}) + \nabla \cdot \mathbf{\Pi} = \sum_{i \in S} \rho_i \mathbf{b}_i, \quad (62)$$



where the viscous tensor  $\mathbf{\Pi}$  is defined by

$$\mathbf{\Pi} = \sum_{\substack{i \in S \\ I \in Q_i}} \int m_i \mathbf{C}_i \otimes \mathbf{C}_i f_i^0 \phi_i \, d\mathbf{c}_i. \quad (63)$$

The energy conservation equation obtained for  $l = n + 4$ , is finally in the form

$$\partial_t \left( \frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v} + \mathcal{E} \right) + \nabla \cdot \left( \left( \frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v} + \mathcal{E} + p \right) \mathbf{v} \right) + \nabla \cdot (\mathcal{Q} + \mathbf{\Pi} \cdot \mathbf{v}) = \sum_{i \in S} \rho_i \mathbf{b}_i \cdot (\mathbf{v} + \mathbf{v}_i), \quad (64)$$

where  $\mathcal{Q}$  is the heat flux

$$\mathcal{Q} = \sum_{\substack{i \in S \\ I \in Q_i}} \int \left( \frac{1}{2} m_i \mathbf{C}_i \cdot \mathbf{C}_i + E_{iI} \right) \mathbf{C}_i f_i^0 \phi_i \, d\mathbf{c}_i. \quad (65)$$

Once the transport fluxes  $\mathbf{v}_i$ ,  $i \in S$ ,  $\mathbf{\Pi}$ , and  $\mathcal{Q}$  are expressed in terms of macroscopic quantities and their gradients, these are the conservation equation governing multicomponent reactive flows. Many simplifications are then possible depending on the particular application under concern but we are only interested here in the general equations.

### 3.3 Transport Fluxes and Coefficients

Using the definition (60) of the species diffusion velocities  $\mathbf{v}_i$  and the expression (50) of  $\Psi_i^{D_j}$  one may establish after some algebra that [9, 19]

$$\mathbf{v}_i = k_B T \langle \langle \Psi^{D_i}, f^0 \phi \rangle \rangle, \quad i \in S. \quad (66)$$

Substituting the expansion (53)–(55) in Eq.(66) and using the isotropy of the linearized collision operator, only the terms  $\phi^{D_j}$ ,  $j \in S$  and  $\phi^{\hat{\lambda}}$  yield nonzero contributions—in agreement with the Curie principle—and we obtain that

$$\mathbf{v}_i = - \sum_{j \in S} D_{ij} \hat{\mathbf{d}}_j - \theta_i \nabla \log T, \quad i \in S, \quad (67)$$

where

$$\hat{\mathbf{d}}_j = \frac{1}{p} (\nabla p_j - \rho_j \mathbf{b}_j), \quad j \in S, \quad (68)$$

is the unconstrained diffusion driving force of the  $j$ th species and where the transport coefficients are defined by

$$D_{ij} = \frac{1}{3} p k_B T \langle \langle \Psi^{D_i}, \phi^{D_j} \rangle \rangle = \frac{1}{3} p k_B T \llbracket \phi^{D_i}, \phi^{D_j} \rrbracket, \quad i, j \in S, \quad (69)$$

$$\theta_i = -\frac{1}{3} \langle \langle \Psi^{D_i}, \phi^{\hat{\lambda}} \rangle \rangle = -\frac{1}{3} \llbracket \phi^{D_i}, \phi^{\hat{\lambda}} \rrbracket, \quad i \in S. \quad (70)$$

The coefficients  $D_{ij}$ ,  $i, j \in S$ , are termed the multicomponent diffusion coefficients, the coefficients  $\theta_i$ ,  $i \in S$ , the thermal diffusion or Soret coefficients, and mass diffusion due to temperature gradients is termed the Soret effect. A fundamental property of the multicomponent diffusion coefficients is that they are symmetric since

$$D_{ij} = \frac{1}{3} p k_B T \llbracket \phi^{D_i}, \phi^{D_j} \rrbracket = \frac{1}{3} p k_B T \llbracket \phi^{D_j}, \phi^{D_i} \rrbracket = D_{ji},$$

from the symmetry of the bracket operator. We only consider in these notes such symmetric diffusion coefficients—more interesting both theoretically and numerically—that have been obtained by many authors [9, 11–13, 15, 16, 19]. The symmetric diffusion coefficients have been introduced by Waldmann [11] and used in particular by Chapman and Cowling [15] and Ferziger and Kaper [16]. Following Hirschfelder, Curtiss, and Bird, various authors have considered nonsymmetric coefficients [10] hereby destroying the natural symmetries associated with kinetic processes [13]. But after the remarks of Van de Ree [13], symmetric coefficients have also been used by Curtiss [14]. We also introduce the flux diffusion coefficients

$$C_{ij} = \rho_i D_{ij}, \quad i, j \in S, \quad (71)$$

that are such that

$$\mathcal{F}_i = - \sum_{j \in S} C_{ij} \hat{\mathbf{d}}_j - \rho_i \theta_i \nabla \log T, \quad i \in S. \quad (72)$$

We denote by  $\mathbf{y}$  the mass fraction vector  $\mathbf{y} = (y_1, \dots, y_n)^t$  and by  $\langle \xi, \zeta \rangle = \sum_{i \in S} \xi_i \zeta_i$  the Euclidean product between two vectors  $\xi = (\xi_1, \dots, \xi_n)^t$  and  $\zeta = (\zeta_1, \dots, \zeta_n)^t$ . Regrouping the diffusion coefficient in a matrix  $D = (D_{ij})_{i, j \in S}$  and the thermal diffusion coefficients in a vector  $\theta = (\theta_1, \dots, \theta_n)^t$ , we have established that  $D = D^t$  and it is shown in the next section that  $D$  is positive semi-definite with nullspace  $\mathbb{R}\mathbf{y}$  and that  $\langle \mathbf{y}, \theta \rangle = 0$ . Taking into account these mass conservation constraints  $D\mathbf{y} = 0$  and  $\langle \mathbf{y}, \theta \rangle = 0$ , instead of using  $\hat{\mathbf{d}}_i$ ,  $i \in S$ , it is possible to equivalently use the constrained diffusion driving forces

$$\mathbf{d}_i = \hat{\mathbf{d}}_i - \frac{\rho_i}{\rho} \sum_{k \in S} \hat{\mathbf{d}}_k = \nabla \mathbf{x}_i + (\mathbf{x}_i - \mathbf{y}_i) \nabla \log p + \mathbf{y}_i (\mathbf{b}_i - \mathbf{b}), \quad (73)$$

which sum up to zero [11, 15, 16]. From the constraints  $D\mathbf{y} = 0$  and  $\langle \mathbf{y}, \theta \rangle = 0$  it is also directly obtained that  $\sum_{i \in S} \mathcal{F}_i = \sum_{i \in S} \rho_i \mathbf{v}_i = 0$  independently of the diffusion driving forces and the temperature gradients.

With the definition (65) of the heat flux  $\mathcal{Q}$  and the expression (51) of  $\Psi_i^{\hat{\lambda}}$  one may establish that [9, 19]

$$\mathcal{Q} = -\langle\langle \Psi^{\hat{\lambda}}, f^0 \phi \rangle\rangle + \sum_{i \in S} (\frac{5}{2} k_B T + \bar{E}_i) \mathbf{n}_i \mathbf{v}_i. \quad (74)$$

Substituting the expansion (53)–(55) in (74) we obtain that

$$\mathcal{Q} = -\hat{\lambda} \nabla T - p \sum_{i \in S} \theta_i \hat{\mathbf{d}}_i + \sum_{i \in S} (\frac{5}{2} k_B T + \bar{E}_i) \mathbf{n}_i \mathbf{v}_i, \quad (75)$$

where the transport coefficients are defined by

$$\hat{\lambda} = \frac{1}{3k_B T^2} \langle\langle \Psi^{\hat{\lambda}}, \phi^{\hat{\lambda}} \rangle\rangle = \frac{1}{3k_B T^2} \llbracket \phi^{\hat{\lambda}}, \phi^{\hat{\lambda}} \rrbracket, \quad (76)$$

$$\theta_i = -\frac{1}{3} \langle\langle \Psi^{\hat{\lambda}}, \phi^{D_i} \rangle\rangle = -\frac{1}{3} \llbracket \phi^{\hat{\lambda}}, \phi^{D_i} \rrbracket, \quad i \in S. \quad (77)$$

The coefficient  $\hat{\lambda}$  is termed the partial thermal conductivity and since

$$\langle\langle \Psi^{D_i}, \phi^{\hat{\lambda}} \rangle\rangle = \llbracket \phi^{D_i}, \phi^{\hat{\lambda}} \rrbracket = \llbracket \phi^{\hat{\lambda}}, \phi^{D_i} \rrbracket = \langle\langle \Psi^{\hat{\lambda}}, \phi^{D_i} \rangle\rangle,$$

the coefficient  $\theta_i$  is the same in the diffusion velocities and in the heat flux. The diffusion of heat due to concentration gradients is termed the Dufour effect and is reciprocal of the Soret effect.

There are many alternative expressions for the diffusion velocities and the heat flux in a multicomponent mixture [10, 11, 15, 16]. We present here the relations involving the thermal diffusion ratios  $\chi = (\chi_i)_{i \in S}$  and the thermal conductivity  $\lambda$  which are interesting both from a mathematical and a computational point of view. The thermal diffusion ratios  $\chi = (\chi_i)_{i \in S}$  have been introduced by Waldmann [11] and are defined by

$$\begin{cases} D\chi = \theta, \\ \langle \chi, \mathbf{I} \rangle = 0, \end{cases} \quad (78)$$

where  $\mathbf{I}$  is the vector with  $n$  components unity  $\mathbf{I} = (1)_{i \in S}$ , whereas the thermal conductivity is defined by

$$\lambda = \hat{\lambda} - \frac{p}{T} \langle \theta, \chi \rangle. \quad (79)$$

The coefficients  $\lambda$  and  $\chi$  may also be defined through solutions of integral equations as for the other coefficients [19]. More specifically, letting

$$\Psi^\lambda = \Psi^{\hat{\lambda}} + p k_B T \sum_{i \in S} \chi_i \Psi^{D_i}, \quad (80)$$

$$\phi^\lambda = \phi^{\widehat{\lambda}} + p k_B T \sum_{i \in S} \chi_i \phi^{D_i}, \quad (81)$$

we have  $\mathcal{I}_i(\phi^\lambda) = \Psi^\lambda$  with the constraints  $\langle f^0 \phi^\lambda, \psi^l \rangle = 0$  for  $l \in \{1, \dots, n+4\}$ , and

$$\lambda = \frac{1}{3k_B T^2} \langle \Psi^\lambda, \phi^\lambda \rangle = \frac{1}{3k_B T^2} \llbracket \phi^\lambda, \phi^\lambda \rrbracket, \quad (82)$$

$$\chi_i = \frac{1}{3p k_B T} \llbracket \mathfrak{V}_i, \phi^\lambda \rrbracket, \quad i \in S, \quad (83)$$

where  $\mathfrak{V}_k = (m_i \mathbf{C}_i \delta_{ki})_{i \in S}$ . From these definitions, and after a little algebra, the following alternative expressions for the diffusion velocities and the heat flux are obtained

$$\mathbf{v}_i = - \sum_{j \in S} D_{ij} (\widehat{\mathbf{d}}_j + \chi_j \nabla \log T), \quad i \in S, \quad (84)$$

$$\mathcal{Q} = -\lambda \nabla T + p \sum_{j \in S} \chi_j \mathbf{v}_j + \sum_{i \in S} \left( \frac{5}{2} k_B T + \bar{E}_i \right) n_i \mathbf{v}_i. \quad (85)$$

These alternative formulations are interesting from a computational point of view since it is faster to directly evaluate  $\lambda$  and  $\chi$  rather than to evaluate  $\widehat{\lambda}$  and  $\theta$  [19]. When the Soret and Dufour effects are neglected, that is when  $\chi = 0$  and  $\theta = 0$ , the partial thermal conductivity  $\widehat{\lambda}$  and the traditional thermal conductivity  $\lambda$  then coincide.

Finally, with the relations (48) and (49) and the expression (63) one may establish the following expression for the viscous tensor  $\mathbf{\Pi}$

$$\mathbf{\Pi} = k_B T \langle \Psi^\eta, f^0 \phi \rangle + \frac{1}{3} k_B T \langle \Psi^\kappa, f^0 \phi \rangle \mathbf{I}. \quad (86)$$

Keeping in mind the isotropy of the linearized collision operator, the term  $\langle \Psi^\kappa, f^0 \phi \rangle$  is evaluated in the form

$$\frac{1}{3} k_B T \langle \Psi^\kappa, f^0 \phi \rangle = -\frac{1}{9} k_B T \langle \Psi^\kappa, f^0 \phi^\kappa \rangle \nabla \cdot \mathbf{v} + \delta_{a0} \frac{1}{3} k_B T \sum_{r \in \mathfrak{R}} \langle \Psi^\kappa, f^0 \phi^r \rangle \bar{\tau}_r.$$

Defining the volume viscosity by  $\kappa$  by

$$\kappa = \frac{1}{9} k_B T \langle \Psi^\kappa, f^0 \phi^\kappa \rangle = \frac{1}{9} k_B T \llbracket \phi^\kappa, \phi^\kappa \rrbracket, \quad (87)$$

the reactive pressure by

$$p^{\text{reac}} = \delta_{a0} \frac{1}{3} k_B T \sum_{r \in \mathfrak{R}} \llbracket \phi^r, \phi^r \rrbracket \bar{\tau}_r, \quad (88)$$

and the shear viscosity by

$$\eta = \frac{1}{10} k_B T \llbracket \phi^\eta, \phi^\eta \rrbracket, \quad (89)$$

it is obtained that

$$\mathbf{\Pi} = -\kappa \nabla \cdot \mathbf{v} \mathbf{I} + p^{\text{reac}} \mathbf{I} - \eta \left( \nabla \mathbf{v} + (\nabla \mathbf{v})^t - \frac{2}{3} (\nabla \cdot \mathbf{v}) \mathbf{I} \right),$$

where  $\nabla \mathbf{v} + (\nabla \mathbf{v})^t - \frac{2}{3} (\nabla \cdot \mathbf{v}) \mathbf{I}$  is the deviatoric part of the rate of strain tensor.

### 3.4 Properties of Transport Coefficients

The mathematical properties of the transport coefficients may be *extracted* from the linearized Boltzmann equations [19, 72, 75]. These coefficients satisfy symmetry properties, mass conservation constraints, as well as positivity properties associated with the bracket operator or equivalently with entropy production.

From the definition (69) of the multicomponent transport coefficients  $D_{ij}$  and the symmetry of the bracket operator it has already been obtained that  $D_{ij} = D_{ji}$  and that the thermal diffusion coefficients  $\theta_i$ ,  $i \in S$ , in the diffusion velocities are identical with the coefficients relating the heat flux to the diffusion driving forces. These properties, which may be interpreted as Onsager type relations, are direct consequences of the symmetry of the bracket operator  $\llbracket \cdot, \cdot \rrbracket$ , and hence of the reciprocity relations for transition probabilities or collision cross sections.

Concerning the diffusion matrix  $D$ , we also have for any  $x \in \mathbb{R}^n$

$$\langle Dx, x \rangle = \frac{1}{3} p k_B T \llbracket \sum_{i \in S} x_i \phi^{D_i}, \sum_{i \in S} x_i \phi^{D_i} \rrbracket.$$

The matrix  $D$  is thus symmetric positive semi-definite since the bracket operator is positive semi-definite. Moreover, the family of right hand sides  $\Psi^{D_1}, \dots, \Psi^{D_n}$  of the integral equations defining  $\phi^{D_1}, \dots, \phi^{D_n}$  is easily shown to be of rank  $n - 1$  with the constraint  $\sum_{i \in S} y_i \Psi^{D_i} = 0$  [19]. By linearity, keeping in mind that  $\phi^{D_1}, \dots, \phi^{D_n}$  are orthogonal to the nullspace of the linearized collision operator, the family  $\phi^{D_1}, \dots, \phi^{D_n}$  is also of rank  $n - 1$  and satisfy

$$\sum_{i \in S} y_i \phi^{D_i} = 0.$$

Then  $Dx = 0$  if and only if  $\langle Dx, x \rangle = 0$  which is equivalent to the property that  $\sum_{i \in S} x_i \phi^{D_i} = 0$  (since by construction all  $\phi^{D_i}$  are orthogonal to the collisional invariants), and we obtain that  $Dx = 0$  if and only if  $x$  is proportional to the mass fraction vector  $y$  and  $N(D) = \mathbb{R}y$ . We also obtain from the definition of thermal

diffusion coefficients  $\theta_i$ ,  $i \in S$ , that  $\sum_{i \in S} y_i \theta_i = -\frac{1}{3} \llbracket \sum_{i \in S} y_i \phi^{D_i}, \phi^{\hat{\lambda}} \rrbracket = 0$  so that  $\langle \theta, y \rangle = 0$ .

Similarly, defining the matrix

$$A = \begin{pmatrix} D & \theta \\ \hat{\theta}^t & \frac{T}{p} \hat{\lambda} \end{pmatrix},$$

we observe that for any  $x' = (x, x_0) \in \mathbb{R}^{n+1}$  with  $x \in \mathbb{R}^n$ ,  $x_0 \in \mathbb{R}$ , we have

$$\langle Ax', x' \rangle = \frac{1}{3} p k_B T \left[ \left[ \sum_{i \in S} x_i \phi^{D_i} - \frac{x_0}{p k_B T} \phi^{\hat{\lambda}}, \sum_{i \in S} x_i \phi^{D_i} - \frac{x_0}{p k_B T} \phi^{\hat{\lambda}} \right] \right],$$

so that  $A$  is positive semi-definite with nullspace spanned by  $(y, 0)^t$ . Evaluating then  $\langle Ax', x' \rangle$  for  $x' = (0, \dots, 0, 1)^t$  and  $x' = (-\chi, 1)^t$  it is obtained that  $\hat{\lambda} > 0$  and  $\lambda > 0$ . The positivity of  $\hat{\lambda}$  and  $\lambda$  may also directly be deduced from  $\hat{\lambda} = \llbracket \phi^{\hat{\lambda}}, \phi^{\hat{\lambda}} \rrbracket / 3 k_B T^2$  and  $\lambda = \llbracket \phi^\lambda, \phi^\lambda \rrbracket / 3 k_B T^2$  since neither  $\phi^\lambda$  nor  $\phi^{\hat{\lambda}}$  are collision invariants because neither  $\Psi^\lambda$  nor  $\Psi^{\hat{\lambda}}$  are zero.

Finally, we deduce from  $\eta = \llbracket \phi^\eta, \phi^\eta \rrbracket / 10 k_B T$  that  $\eta$  is positive and from  $\kappa = \llbracket \phi^\kappa, \phi^\kappa \rrbracket / 9 k_B T$  that  $\kappa$  is nonnegative, and that  $\kappa$  is positive unless  $\Psi^\kappa = 0$  when there are only monatomic species.

*Remark 3.1* The evaluation of the transport coefficients will be discussed in Sect. 4 and the structural properties of the transport coefficients may also be obtained from the transport linear systems [19].

### 3.5 Perturbed Production Terms

The first order chemical production rates for the  $i$ th species (61) may be written in the form

$$w_i = w_i^0 + w_i^1, \quad i \in S,$$

where  $w_i^0$  is the zeroth order rate  $w_i^0 = \sum_{r \in \mathfrak{R}} (\nu_{ir}^b - \nu_{ir}^f) \bar{\tau}_r$  already discussed in Sect. 2.8 and  $w_i^1$  is the perturbed rate in the dissipative or Navier-Stokes regime

$$w_i^1 = \delta_{a0} \sum_I \int \partial_f \mathcal{C}_i(f^0) f^0 \phi \, dc_i.$$

The structure of the perturbed chemical source terms  $w_i^1$  has been investigated in [9]. The perturbed source term is a quadratic expression of the zeroth order rates  $\bar{\tau}_r$ ,  $r \in \mathfrak{R}$ , plus a linear combination of the same quantities multiplied by the divergence of the velocity field  $\nabla \cdot v$ . A few estimates have been made of these perturbed terms in the monoatomic case by Prigogine and Mathieu [20], Prigogine and Xhrouet [21],

Present [23], Takayanagi [22], Shizghal and Karplus [26–28] and they are generally believed to be small. In the polyatomic case, however, these perturbed terms may be significant behind shocks, especially with strong thermodynamic nonequilibrium [84]. Nevertheless, we will assume in the following that they are negligible so that

$$\mathfrak{w}_i^1 = 0, \quad i \in S, \quad (90)$$

and furthermore that

$$p^{\text{reac}} = 0. \quad (91)$$

Note that this is automatic when  $a = 1$  in the Boltzmann equations. In this situation, neglecting both the perturbed source terms  $\mathfrak{w}_i^1$  as well as the chemical pressure  $p^{\text{reac}}$ , we have

$$\mathfrak{w}_i = \mathfrak{w}_i^0, \quad i \in S, \quad (92)$$

$$\mathbf{\Pi} = -\kappa \nabla \cdot \mathbf{v} \mathbf{I} - \eta (\nabla \mathbf{v} + (\nabla \mathbf{v})^t - \frac{2}{3} (\nabla \cdot \mathbf{v}) \mathbf{I}), \quad (93)$$

and the equations obtained in both regime  $a = 0$  and  $a = 1$  coincide. These equations will be investigated mathematically in Sects. 5, 6, and 7.

### 3.6 Thermodynamics

In the framework of Enskog expansion, one may expand the kinetic entropy (13) up to second order in order to obtain that  $S^{\text{kin}} = S + \mathcal{O}(\epsilon^2)$  and the zeroth order term  $S$  is the fluid entropy of the mixture

$$S = -k_{\text{B}} \sum_{\substack{i \in S \\ \mathbf{l} \in \mathbf{Q}_i}} \int f_i^0 (\log(\beta_{i\mathbf{l}} f_i^0) - 1) d\mathbf{c}_i = \sum_{i \in S} \rho_i \left( \frac{5}{2} \frac{k_{\text{B}}}{m_i} + \frac{\bar{E}_i}{T m_i} - \frac{k_{\text{B}}}{m_i} \log\left(\frac{n_i}{Z_i}\right) \right). \quad (94)$$

The second order terms  $\mathcal{O}(\epsilon^2)$  have been investigated in [123, 124] but lay out of the scope of the present work. Note that such a thermodynamics obtained in the framework of the kinetic theory of gases is valid out of static equilibrium and has, therefore, a wider range of validity than classical thermodynamics introduced for stationary homogeneous equilibrium states.

Defining the entropy per unit mass of the  $i$ th species by

$$s_i = \frac{5}{2} \frac{k_{\text{B}}}{m_i} + \frac{\bar{E}_i}{T m_i} - \frac{k_{\text{B}}}{m_i} \log\left(\frac{n_i}{Z_i}\right), \quad (95)$$

we may also express the fluid entropy in the form  $S = \sum_{i \in S} \rho_i s_i$ . We may similarly define the Gibbs function per unit mass of the  $i$ th species

$$g_i = \frac{k_B T}{m_i} \log\left(\frac{n_i}{Z_i}\right), \quad (96)$$

and the Gibbs function per unit volume of the mixture  $\mathcal{G}$  is then given by  $\mathcal{G} = \sum_{i \in \mathcal{S}} \rho_i g_i$ . We also introduce the reduced chemical potential of the  $i$ th species

$$\mu_i = \frac{m_i g_i}{k_B T} = \log\left(\frac{n_i}{Z_i}\right), \quad (97)$$

and the reaction rates of progress may then be rewritten in the convenient form

$$\bar{\tau}_r = \mathcal{K}_r (\exp\langle \mu, \nu_r^f \rangle - \exp\langle \mu, \nu_r^b \rangle), \quad r \in \mathfrak{R}, \quad (98)$$

where  $\nu_i^f = (\nu_{1i}^f, \dots, \nu_{ni}^f)^t$ ,  $\nu_i^b = (\nu_{1i}^b, \dots, \nu_{ni}^b)^t$ , and  $\mu = (\mu_1, \dots, \mu_n)^t$ .

The balance equation for the macroscopic fluid entropy  $\mathcal{S}$  is obtained in the form

$$\partial_t \mathcal{S} + \nabla \cdot (\mathcal{S} \mathbf{v}) + \nabla \cdot \left( \frac{\mathcal{Q}}{T} - \sum_{i \in \mathcal{S}} \frac{g_i}{T} \mathcal{F}_i \right) = \mathfrak{v}, \quad (99)$$

where  $\mathcal{F}_i = \rho_i \mathbf{v}_i$ , and is  $\mathfrak{v}$  the entropy production term given by

$$\mathfrak{v} = - \sum_{i \in \mathcal{S}} \frac{g_i m_i \mathfrak{w}_i^0}{T} - \frac{\mathbf{\Pi} : \nabla \mathbf{v}}{T} - \left( \mathcal{Q} - \sum_{i \in \mathcal{S}} \rho_i h_i \mathbf{v}_i \right) \cdot \frac{\nabla T}{T^2} - \sum_{i \in \mathcal{S}} \frac{p}{T} \mathbf{v}_i \cdot \hat{\mathbf{d}}_i. \quad (100)$$

Using (34) and (98) the entropy production due to chemical reactions reads

$$- \sum_{i \in \mathcal{S}} \frac{g_i m_i \mathfrak{w}_i^0}{T} = - \sum_{i \in \mathcal{S}} \sum_{r \in \mathfrak{R}} (\nu_{ir}^b - \nu_{ir}^f) \bar{\tau}_r \frac{g_i m_i}{T} = -k_B \sum_{i \in \mathcal{S}} \sum_{r \in \mathfrak{R}} \mu_i (\nu_{ir}^b - \nu_{ir}^f) \bar{\tau}_r$$

so that

$$- \sum_{i \in \mathcal{S}} \frac{g_i m_i \mathfrak{w}_i^0}{T} = \sum_{r \in \mathfrak{R}} k_B \mathcal{K}_r (\langle \mu, \nu_r^f \rangle - \langle \mu, \nu_r^b \rangle) (\exp\langle \mu, \nu_r^f \rangle - \exp\langle \mu, \nu_r^b \rangle).$$

Similarly, we have

$$- \frac{\mathbf{\Pi} : \nabla \mathbf{v}}{T} = \frac{1}{T} \left( \kappa + \eta \frac{2(3-d)}{3d} \right) (\nabla \cdot \mathbf{v})^2 + \frac{\eta}{2T} \left| \nabla \mathbf{v} + \nabla \mathbf{v}^t - \frac{2}{d} \nabla \cdot \mathbf{v} \right|^2,$$

and

$$- \left( \mathcal{Q} - \sum_{i \in \mathcal{S}} \rho_i h_i \mathbf{v}_i \right) \cdot \frac{\nabla T}{T^2} - \sum_{i \in \mathcal{S}} \frac{p}{T} \mathbf{v}_i \cdot \hat{\mathbf{d}}_i = \frac{\lambda}{T^2} |\nabla T|^2$$



$$+ \frac{p}{T} \sum_{k,l \in S} D_{kl} (\widehat{\mathbf{d}}_k + \chi_k \nabla \log T) \cdot (\widehat{\mathbf{d}}_l + \chi_l \nabla \log T).$$

The structure of the chemical source term and of the transport coefficients then guarantee that the two first terms as well as the sum of the two last terms in the expression (100) of entropy production  $\mathfrak{v}$  are nonnegative [9]. The entropy production due to macroscopic gradients may also be written in the form  $k_{\mathbb{B}} \llbracket \phi^S, \phi^S \rrbracket$  [9]. We recover here the important property that macroscopic gradients as well as chemical production independently lead to nonnegative entropy production at the fluid level as at the molecular level.

### 3.7 From Molecules to Moles

It is traditional to write macroscopic fluid equations in terms of mass or mole densities rather than number densities and we summarize here the corresponding new notation. Denoting by  $\mathcal{N}$  the Avogadro number, we define the molar production rate of the  $k$ th species by

$$\omega_k = \mathfrak{w}_k^0 / \mathcal{N}, \quad k \in S,$$

and the molar mass by

$$m_k = \mathcal{N} \mathfrak{m}_k. \quad k \in S,$$

The number of mole per unit volume is then defined by

$$n_k = \mathfrak{n}_k / \mathcal{N}, \quad k \in S,$$

and we have the traditional relations  $\rho_k = m_k n_k$ . We also define the molar rate of progress  $\tau_r = \bar{\tau}_r / \mathcal{N}$  in such a way that  $\omega_k = \sum_{r \in \mathfrak{R}} \nu_{kr} \tau_r$  and we also define

$$\mathcal{K}_r^S = \mathcal{K}_r / \mathcal{N}, \quad r \in \mathfrak{R}.$$

The specific heats per unit mole are also given by

$$c_i^{\text{int}} = \mathfrak{c}_i^{\text{int}} \mathcal{N}, \quad c_{vi} = \mathfrak{c}_{vi} \mathcal{N}, \quad i \in S,$$

as well as

$$c_v^{\text{tr}} = \mathfrak{c}_v^{\text{tr}} \mathcal{N}, \quad c^{\text{int}} = \mathfrak{c}^{\text{int}} \mathcal{N}, \quad c_v = \mathfrak{c}_v \mathcal{N},$$

and we also have  $R = k_{\mathbb{B}} \mathcal{N}$ .

## 4 Evaluation of Transport Coefficients

The transport fluxes appearing in the conservation equations governing multicomponent flows are expressed in terms of transport coefficients. The fast and accurate evaluation of these coefficients is therefore an important modeling and computational task [65–69].

The transport coefficients are expressed in terms bracket bilinear products involving solutions of systems of integral equations under constraints. These systems of integral equations are generally solved with Galerkin variational approximation procedure and the structure of the resulting transport linear systems may be deduced from the kinetic theory. These linear systems are typically semi-definite systems under constraints. Generalized conjugate gradient algorithms as well as stationary methods are then shown to be convergent using the structural properties obtained from the kinetic theory [70–75].

### 4.1 Transport Linear Systems

The Chapman-Enskog method requires solving the systems of integral linearized Boltzmann equations with constraints governing the perturbed distribution functions  $\phi^\mu = (\phi_i^\mu)_{i \in S}$ . These integral equations have been shown to be in the generic form

$$\begin{cases} \mathcal{I}(\phi^\mu) = \Psi^\mu, \\ \langle\langle f^{(0)} \phi^\mu, \psi^l \rangle\rangle = 0, \end{cases} \quad 1 \leq l \leq n + 4, \quad (101)$$

where  $\mu \in \{\kappa, \eta, \widehat{\lambda}\} \cup \{D_1, \dots, D_n\}$  and the various right hand sides have been evaluated in Sect. 3.3. These systems of integral equations are of matrix type for  $\mu = \eta$ , of vector type for  $\mu = \widehat{\lambda}$  or  $\mu \in \{D_1, \dots, D_n\}$ , and of scalar type for  $\mu = \kappa$ . The corresponding transport coefficients are then typically obtained through bracket products in the form  $\mu = \llbracket \phi^\mu, \phi^\mu \rrbracket = \langle\langle f^{(0)} \Psi^\mu, \phi^\mu \rangle\rangle$  as detailed in Sect. 3.3.

A Galerkin variational approximation procedure is generally used to solve the system of integral Eq. (101). A variational approximation space is first selected

$$\mathcal{E}^\mu = \text{span}\{ \xi^{rk}, rk \in \mathcal{B}^\mu \}, \quad (102)$$

where  $\xi^{rk}, rk \in \mathcal{B}^\mu$ , are basis functions of the same tensorial type than  $\phi^\mu$  and  $\Psi^\mu$ . The set  $\mathcal{B}^\mu$  is the basis indexing set and  $\mathcal{B}^\mu \subset \mathcal{F} \times S$  where  $\mathcal{F}$  is the indexing set of function type and  $S$  the species indexing set, that is, when  $rk \in \mathcal{B}^\mu$  then  $r \in \mathcal{F}$  and  $k \in S$ . We denote by  $v$  the dimension of  $\mathcal{E}^\mu$ , that is  $v = \dim(\mathcal{E}^\mu) = \text{Card}(\mathcal{B}^\mu)$ . The unknown  $\phi^\mu$  is then expanded in the form

$$\phi^\mu = \sum_{rk \in \mathcal{B}^\mu} \alpha_k^{r\mu} \xi^{rk}, \quad (103)$$

and the variational equations read  $\langle\langle f^{(0)}\xi^{rk}, \mathcal{I}(\phi^\mu) \rangle\rangle = \langle\langle f^{(0)}\xi^{rk}, \Psi^\mu \rangle\rangle$  for  $rk \in \mathcal{B}^\mu$ . Letting  $G_{kl}^{rs} = \langle\langle f^{(0)}\xi^{rk}, \mathcal{I}(\xi^{sl}) \rangle\rangle = \llbracket \xi^{rk}, \xi^{sl} \rrbracket$ , and  $\beta_k^{r\mu} = \langle\langle f^{(0)}\xi^{rk}, \Psi^\mu \rangle\rangle$  we have obtained the linear system

$$\sum_{sl \in \mathcal{B}^\mu} G_{kl}^{rs} \alpha_l^{s\mu} = \beta_k^{r\mu}, \quad rk \in \mathcal{B}^\mu. \quad (104)$$

The linear constraints  $\langle\langle f^{(0)}\phi^\mu, \psi^l \rangle\rangle = 0$  are also rewritten as

$$\sum_{rk \in \mathcal{B}^\mu} \mathcal{G}_k^{rl\nu} \alpha_k^{r\mu} = 0, \quad 1 \leq l \leq n+4, \quad 1 \leq \nu \leq a_\mu, \quad (105)$$

where  $\mathcal{G}_k^{rl\nu} = \langle\langle f^{(0)}\xi^{rk}, \mathcal{T}_\nu\psi^l \rangle\rangle$  and  $\mathcal{T}_\nu$  denotes the canonical basis for tensor of type  $\Psi^\mu$  and  $\phi^\mu$ .

Defining now the constrained space by

$$\mathcal{C} = \left( \text{span}\{ \mathcal{G}^{l\nu}; \quad 1 \leq l \leq n+4, \quad 1 \leq \nu \leq a_\mu \} \right)^\perp, \quad (106)$$

where  $\mathcal{G}^{l\nu} = (\mathcal{G}_k^{rl\nu})_{rk \in \mathcal{B}^\mu}$ , the vectors  $\alpha^\mu = (\alpha_k^{r\mu})_{rk \in \mathcal{B}^\mu}$  and  $\beta^\mu = (\beta_k^{r\mu})_{rk \in \mathcal{B}^\mu}$ , and the matrix  $G$  by  $G = (G_{kl}^{rs})_{rk, sl \in \mathcal{B}^\mu}$ , the transport linear system is in the form

$$\begin{cases} G\alpha^\mu = \beta^\mu, \\ \alpha^\mu \in \mathcal{C}, \end{cases} \quad (107)$$

and the bracket  $\mu = \llbracket \phi^\mu, \phi^\mu \rrbracket = \langle\langle f^{(0)}\Psi^\mu, \phi^\mu \rangle\rangle$  is typically obtained with a scalar product

$$\mu = \sum_{rk \in \mathcal{B}^\mu} \alpha_k^{r\mu} \beta_k^{r\mu} = \langle \alpha, \beta \rangle. \quad (108)$$

We note then that the matrix  $G$  of the transport linear system is symmetric since

$$G_{kl}^{rs} = \llbracket \xi^{rk}, \xi^{sl} \rrbracket = \llbracket \xi^{sl}, \xi^{rk} \rrbracket = G_{lk}^{sr},$$

and positive semi-definite from  $\langle Gx, x \rangle = \llbracket \xi, \xi \rrbracket$  where  $\xi = \sum_{rk \in \mathcal{B}^\mu} x_k^r \xi^{rk}$ . Moreover, the nullspace of  $G$  is directly associated with the collisional invariants of the same tensorial type that  $\Psi^\mu$  and  $\phi^\mu$  that are in the variational space  $\mathcal{E}^\mu$ . One may further establish that  $\beta \in R(G)$  is in the range of  $G$  using that  $\Psi^\mu$  is orthogonal to the collisional invariants [19]. Throughout these notes, for any matrix  $A$ , we denote by  $N(A)$  its nullspace and  $R(A)$  its range. Symmetric transport linear systems have been considered by many authors [11, 12, 15, 16]. Nevertheless, following Hirschfelder, Curtiss, and Bird, various authors have considered nonsymmetric transport linear systems [10] hereby again destroying the natural symmetries associated with kinetic

processes. The explicit calculation of symmetric transport linear systems for mixtures of *polyatomic* species has first been performed in [19].

Denoting by  $\mathfrak{S}^\mu$  these collisional invariants of the same tensorial type than  $\Psi^\mu$  and  $\phi^\mu$ , we assume that the following perpendicularity property holds

$$\mathfrak{S}^\mu = \mathfrak{S}^\mu \cap \mathcal{E}^\mu \oplus \mathfrak{S}^\mu \cap (\mathcal{E}^\mu)^\perp, \quad (109)$$

where the orthogonal  $(\mathcal{E}^\mu)^\perp$  of the variational approximation space  $\mathcal{E}^\mu$  is taken with respect to the scalar product  $\langle\langle f^0 \xi, \zeta \rangle\rangle$ . In this situation, one may establish [19] that that the well posedness condition holds

$$N(G) \oplus \mathcal{C} = \mathbb{R}^{\mathcal{V}}. \quad (110)$$

We further introduce the sparse transport matrix [19]

$$db(G)_{kl}^{rs} = G_{kl}^{rs} \delta_{kl}, \quad rk, sl \in \mathcal{B}^\mu, \quad (111)$$

and one may establish that when

$$\xi_i^{rk} = 0 \quad i \neq k, \quad (112)$$

that is, when the basis functions are orthogonal to the constant collisional invariants  $\psi^i$ ,  $i \in S$ , then for  $x = (x_k^r)_{rk \in \mathcal{B}^\mu}$  we have

$$\begin{aligned} \langle (2db(G) - G)x, x \rangle = & \\ & \frac{1}{4} \sum_{i \in S} \sum_{\substack{\mathbb{1}' \in \mathbb{Q}_i \\ \tilde{\mathbb{1}}, \tilde{\mathbb{1}}' \in \mathbb{Q}_i}} \int |\xi_i + \tilde{\xi}_i - \xi'_i - \tilde{\xi}'_i|^2 f_i^{(0)} \tilde{f}_i^{(0)} W_{ij}^{\mathbb{1}\tilde{\mathbb{1}}\mathbb{1}'\tilde{\mathbb{1}}'} \mathbf{d}\mathbf{c}_i \mathbf{d}\tilde{\mathbf{c}}_i \mathbf{d}\mathbf{c}'_i \mathbf{d}\tilde{\mathbf{c}}'_i \\ & + \frac{1}{4} \sum_{\substack{i, j \in S \\ i \neq j}} \sum_{\substack{\mathbb{1}' \in \mathbb{Q}_i \\ \mathbb{1}, \mathbb{1}' \in \mathbb{Q}_j}} \int |\xi_i - \xi_j - \xi'_i + \xi'_j|^2 f_i^{(0)} f_j^{(0)} W_{ij}^{\mathbb{1}\mathbb{1}'\mathbb{1}''\mathbb{1}'''} \mathbf{d}\mathbf{c}_i \mathbf{d}\mathbf{c}_j \mathbf{d}\mathbf{c}'_i \mathbf{d}\mathbf{c}'_j, \end{aligned}$$

where  $\xi = \sum_{rk \in \mathcal{B}^\mu} x_k^r \xi^{rk}$  and the superscript  $\tilde{\cdot}$  is used to distinguish the collision partners when  $i = j$ . In comparison, it is interesting to note that

$$\begin{aligned} \langle Gx, x \rangle = & \\ & \frac{1}{4} \sum_{i \in S} \sum_{\substack{\mathbb{1}' \in \mathbb{Q}_i \\ \tilde{\mathbb{1}}, \tilde{\mathbb{1}}' \in \mathbb{Q}_i}} \int |\xi_i + \tilde{\xi}_i - \xi'_i - \tilde{\xi}'_i|^2 f_i^{(0)} \tilde{f}_i^{(0)} W_{ij}^{\mathbb{1}\tilde{\mathbb{1}}\mathbb{1}'\tilde{\mathbb{1}}'} \mathbf{d}\mathbf{c}_i \mathbf{d}\tilde{\mathbf{c}}_i \mathbf{d}\mathbf{c}'_i \mathbf{d}\tilde{\mathbf{c}}'_i \\ & + \frac{1}{4} \sum_{\substack{i, j \in S \\ i \neq j}} \sum_{\substack{\mathbb{1}' \in \mathbb{Q}_i \\ \mathbb{1}, \mathbb{1}' \in \mathbb{Q}_j}} \int |\xi_i + \xi_j - \xi'_i - \xi'_j|^2 f_i^{(0)} f_j^{(0)} W_{ij}^{\mathbb{1}\mathbb{1}'\mathbb{1}''\mathbb{1}'''} \mathbf{d}\mathbf{c}_i \mathbf{d}\mathbf{c}_j \mathbf{d}\mathbf{c}'_i \mathbf{d}\mathbf{c}'_j \end{aligned}$$

in such a way that

$$N(G) = \{ x; (\xi_i, \xi_j) \in \mathfrak{S}_{ij} \quad i, j \in S \quad i \neq j \},$$

where  $\xi = \sum_{r,k \in \mathcal{B}^\mu} x_k^r \xi^{rk}$  and  $\mathfrak{S}_{ij}$  denotes the collision invariants for the species pair  $(i, j)$ , whereas

$$N(2db(G) - G) = \{ x; (\xi_i, -\xi_j) \in \mathfrak{S}_{ij} \quad i, j \in S \quad i \neq j \}.$$

Using these properties, and since the only linear subspaces of collisional invariants that may lay in the variational approximation spaces  $\mathcal{E}^\mu$  are at most one-dimensional, being either proportional to  $(m_i \mathbf{C}_i)_{i \in S}$  in the vector case or  $(\frac{1}{2} m_i |\mathbf{C}_i|^2 + E_{i1})_{i \in S}$  in the scalar case, the invariant  $\psi^i$ ,  $i \in S$  being excluded from (112), it is established that when there are at least  $n \geq 3$  species, then  $2db(G) - G$  is positive definite [19]. In this situation, the matrix  $db(G)$ , which is easily invertible, is also positive definite [19].

We have thus established that when the perpendicularity property (109) holds, and when the variational space  $\mathcal{E}^\mu$  is orthogonal to constants (112), then  $G$  is symmetric positive semi-definite, the well posedness condition  $N(G) \oplus \mathcal{C} = \mathbb{R}^v$  holds,  $\beta \in R(G)$ , and  $2db(G) - G$  and  $db(G)$  are positive definite when  $n \geq 3$ . In the special cases  $n = 1$  or  $n = 2$  the corresponding nullspaces are explicitly evaluated. Various variational approximation spaces may also be used as reduced spaces [19] or spaces for a direct evaluation of the thermal conductivity and the thermal diffusion ratios [71].

## 4.2 Transport Algorithms

The transport linear systems have been obtained in their natural symmetric form for most useful transport coefficients [11, 12, 15, 16, 19]. These linear system associated with any coefficient  $\mu$  then take on either a regular form or a singular form [9, 19]. Only the later singular form is discussed here since the regular case is easier to treat. The singular form can be written in the form

$$\begin{cases} G\alpha = \beta, \\ \langle \mathcal{G}, \alpha \rangle = 0, \end{cases} \quad (113)$$

where  $G \in \mathbb{R}^{v,v}$ ,  $\alpha, \beta, \mathcal{G} \in \mathbb{R}^v$ ,  $v$  is the dimension of the variational space and the coefficient is obtained with a scalar product  $\mu = \langle \alpha, \beta' \rangle$  [16, 19]. In other words, in practice, the constrained subspace is found to be one dimensional so that  $\mathcal{C} = \mathcal{G}^\perp$ . The matrix  $G$  is symmetric positive semi-definite, its nullspace is one dimensional  $N(G) = \mathbb{R}\mathcal{N}$ ,  $\beta \in R(G)$ , and the well posedness condition  $N(G) \oplus \mathcal{G}^\perp = \mathbb{R}^v$  holds [19]. The sparse transport matrix  $db(G)$  is a submatrix [19] composed

of diagonals of blocks of  $G$ , and  $2db(G) - G$  and  $db(G)$  are symmetric positive definite for  $n \geq 3$ . All these properties have been extracted from the properties of the Boltzmann linearized collision operator and that of the variational approximation spaces [19].

The solution of the transport linear system can then be obtained either from the symmetric positive definite system  $(G + \mathcal{G} \otimes \mathcal{G})\alpha = \beta$  or from iterative techniques. Among iterative techniques, we may use generalized conjugate gradients algorithms *that are possible because the natural symmetries of transport processes have been taken into account*. A very good preconditioner is then the sparse transport matrix  $db(G)$ .

Stationary techniques are also feasible and are associated with a splitting  $G = \mathcal{M} - \mathcal{Z}$  where  $\mathcal{M} = db(G)$ . These methods yields that

$$\alpha = \sum_{0 \leq j < \infty} (\mathcal{P}\mathcal{T})^j \mathcal{P}\mathcal{M}^{-1} \mathcal{P}^t \beta, \quad (114)$$

where  $\mathcal{T} = \mathcal{M}^{-1} \mathcal{Z}$  and  $\mathcal{P} = I - \mathcal{N} \otimes \mathcal{G} / \langle \mathcal{N}, \mathcal{G} \rangle$ . It can then be shown that the spectral radius of the product  $\mathcal{P}\mathcal{T}$  is strictly lower than unity since the matrix  $\mathcal{M} + \mathcal{Z} = 2db(G) - G$  is positive definite *but this is a consequence of Boltzmann linearized equations*. These stationary and generalized conjugate gradients methods have been found to be efficient for mixture of neutral gases [7, 70–74].

The situation of ionized mixtures is more complex since the convergence rate of stationary iterative techniques deteriorate as the ionization level increases. On the contrary, the convergence properties of generalized conjugate gradient algorithms do not depend on the ionization level. New algorithms have thus been introduced with *more singular* versions of the transport linear systems. These algorithms have led to fast convergence rates for all ionization levels and magnetic field intensities [75].

The assumptions for transport coefficients when some mass fractions are vanishing are more complex and lay out of the scope of these notes [9, 19]. Zero mass fractions lead to artificial singularities in the transport linear systems which are eliminated by considering rescaled systems [19]. Provided the diffusion matrix is replaced by the flux diffusion matrix  $C_{kl} = \rho y_k D_{kl}$ ,  $k, l \in S$ , all transport coefficients are smooth functions of the mass fractions and admit finite limits when some mass fractions become arbitrarily small. The iterative algorithms obtained for positive mass fractions can also be rewritten in terms of rescaled systems that are still defined for nonnegative mass fractions [19].

### 4.3 Stefan-Maxwell Equations

As a typical illustration of transport linear systems, we discuss in this section the multicomponent diffusion matrix  $D = (D_{ij})_{i,j \in S}$ . We assume that a state of the mixture is given with  $T > 0$ ,  $p > 0$ , and  $y > 0$ , that is,  $y_k > 0$  for  $k \in S$ . We assume

that the mass fraction sum up to unity  $\langle \mathbf{y}, \mathbb{I} \rangle = 1$  and the mole fractions are denoted by  $\mathbf{x}_1, \dots, \mathbf{x}_n$ . The mole fractions may be evaluated from  $\mathbf{x}_i = m\mathbf{y}_i/m_i$  where  $m$  is the mean molar weight given by  $\langle \mathbf{y}, \mathbb{I} \rangle/m = \sum_{i \in S} \mathbf{y}_i/m_i$ .

The usual diffusion matrix  $D$ , obtained with linear systems of size  $\nu = n$ , satisfy  $R(D) \subset \mathbf{y}^\perp$  and

$$\Delta D = I_n - \mathbf{y} \otimes \mathbb{I}, \quad (115)$$

where  $I_n$  is the identity of size  $n$  and  $\Delta$  the Stefan-Maxwell matrix [16, 19]. Diffusion coefficients associated with larger transport linear systems—required for plasmas—lay out of the scope of these notes [19, 75]. The matrix  $\Delta$  reads

$$\Delta_{kk} = \sum_{l \neq k} \frac{\mathbf{x}_k \mathbf{x}_l}{\mathcal{D}_{kl}^{\text{bin}}}, \quad k \in S, \quad (116)$$

$$\Delta_{kl} = -\frac{\mathbf{x}_k \mathbf{x}_l}{\mathcal{D}_{kl}^{\text{bin}}}, \quad k, l \in S, \quad k \neq l, \quad (117)$$

where  $\mathcal{D}_{kl}^{\text{bin}}(T, p)$  is the binary diffusion coefficient of the species pair  $(k, l)$  depending on pressure and temperature. The structure of the matrix  $\Delta$  is investigated in the following Lemma [9, 70]

**Lemma 4.1** *Assume that the molar masses  $m_k$ ,  $k \in S$ , are positive constants, that the coefficients  $\mathcal{D}_{kl}^{\text{bin}}$ ,  $k, l \in S$ ,  $k \neq l$ , are positive and symmetric, and that  $\mathbf{y} > 0$ . Then  $\Delta$  is symmetric positive semidefinite,  $N(\Delta) = \mathbb{R} \mathbb{I}$ ,  $\Delta$  is irreducible and is a singular  $M$ -matrix.*

We will need the following lemma about generalized inverses with prescribed range and nullspace that may be found in [9, 70].

**Proposition 4.2** *Let  $G \in \mathbb{R}^{\nu, \nu}$  be a matrix, and let  $\mathfrak{p}$  and  $\mathfrak{q}$  be two subspaces of  $\mathbb{R}^\nu$  such that  $N(G) \oplus \mathfrak{p} = \mathbb{R}^\nu$  and  $R(G) \oplus \mathfrak{q} = \mathbb{R}^\nu$ . Then, there exists a unique matrix  $Z$  such that  $GZG = G$ ,  $ZGZ = Z$ ,  $N(Z) = \mathfrak{q}$ , and  $R(Z) = \mathfrak{p}$ . The matrix  $Z$ —termed the generalized inverse of  $G$  with prescribed range  $\mathfrak{p}$  and nullspace  $\mathfrak{q}$ —satisfies  $GZ = P_{R(G), \mathfrak{q}}$  and  $ZG = P_{\mathfrak{p}, N(G)}$ , where  $P_{\mathfrak{a}, \mathfrak{b}}$  is defined for linear spaces  $\mathfrak{a}$  and  $\mathfrak{b}$ , such that  $\mathfrak{a} \oplus \mathfrak{b} = \mathbb{R}^\nu$ , and denotes the projector onto  $\mathfrak{a}$  along  $\mathfrak{b}$ .*

*If in addition  $G$  is symmetric positive semi-definite and  $\mathfrak{p} = \mathfrak{q}^\perp$  then  $Z$  is symmetric positive semi-definite.*

The diffusion matrix may then be defined as a generalized inverse of  $\Delta$  with prescribed range and nullspace [9, 19, 70].

**Proposition 4.3** *Keeping the assumptions of Lemma 4.1, there exists a unique matrix  $D$  such that  $\Delta D = I_n - \mathbf{y} \otimes \mathbb{I}$  and  $R(D) \subset \mathbf{y}^\perp$ . This matrix  $D$  is the generalized inverse of  $\Delta$  with prescribed range  $\mathbf{y}^\perp$  and nullspace  $\mathbb{R} \mathbf{y}$ . The matrix  $D$  is symmetric positive semidefinite,  $N(D) = \mathbb{R} \mathbf{y}$ ,  $D$  is irreducible, and for any  $a > 0$  we have  $D = (\Delta + a\mathbf{y} \otimes \mathbf{y})^{-1} - (1/a) \mathbb{I} \otimes \mathbb{I}$ .*

The transport linear systems associated with the flux matrix  $C$  are of a similar nature [19, 70, 74] and are well posed for  $y \geq 0, y \neq 0$ . With the mathematical properties of the matrix  $C$  it is then possible to establish the following diffusion inequality involving the entropy production quadratic form  $\langle Dx, x \rangle$  on the hyperplane of zero sum gradients [9, 119].

**Proposition 4.4** *Let  $T$  be a fixed temperature and  $\mathcal{Y} = \text{diag}(y_1, \dots, y_n)$ . There exists a positive constant  $\delta$  such that*

$$\forall y > 0 \text{ with } \langle y, \mathbb{1} \rangle = 1, \quad \forall x \in \mathbb{1}^\perp \quad \delta \langle \mathcal{Y}^{-1}x, x \rangle \leq \langle \rho Dx, x \rangle. \quad (118)$$

In other words, the natural entropic production norm associated with diffusion processes involve expressions in the form  $\sum_{i \in S} |\nabla y_i|^2 / y_i$ . Such norms have first been used for an existence theorem of traveling waves with complex chemistry and detailed transport [119]. This lemma also implies that the nonzero eigenvalues of  $\mathcal{Y}^{1/2} \rho D \mathcal{Y}^{1/2}$  are bounded away from zero since  $\mathcal{Y}^{1/2} \rho D \mathcal{Y}^{1/2} x = \lambda x$  with  $\lambda \neq 0$  implies that  $x \in R(\mathcal{Y}^{1/2} D) = (y^{1/2})^\perp$  and  $\sum_{i \in S} y^{1/2} x_i = 0$  and from (118) that  $\delta \leq \lambda$ .

One can also derive from (115) to (117) after some algebra that for any  $k \in S$

$$d_k + \chi_k \nabla \log T = \sum_{l \neq k} \frac{x_k x_l}{D_{kl}^{\text{bin}}} v_l - \sum_{l \neq k} \frac{x_k x_l}{D_{kl}^{\text{bin}}} v_k. \quad (119)$$

These equations are usually termed Stefan–Maxwell equations and must be completed by the constraint  $\sum_{k \in S} y_k v_k = 0$  associated with mass conservation to define uniquely the diffusion velocities. An elementary derivation of these equations has been given by Williams [66].

During a multicomponent flow computation, when an explicit time algorithm is used, it is sufficient to solely evaluate the diffusion velocities  $v_k, k \in S$ , by solving the Stefan-Maxwell equations for each spatial direction, say by using a projected conjugate gradient method [74]. However, when an implicit time marching technique is used, evaluating the diffusion matrix  $D$  is generally required. Accurate approximation of the diffusion matrix  $D$  may be obtained by considering  $\mathcal{M} = \text{diag}(x_1/D_1^*, \dots, x_n/D_n^*)$  where

$$D_k^* = (1 - y_k) \left/ \sum_{l \neq k} x_l / D_{kl}^{\text{bin}} \right., \quad (120)$$

and  $\Delta = \mathcal{M} - \mathcal{Z}$ ,  $\mathcal{T} = \mathcal{M}^{-1} \mathcal{Z}$ , and  $\mathcal{P} = I_n - \mathbb{1} \otimes y$ . The spectral radius of  $\mathcal{P}\mathcal{T}$  is then strictly lower than unity and we have the *convergent* series expansion [70]

$$D = \sum_{j=0}^{\infty} (\mathcal{P}\mathcal{T})^j \mathcal{P} \mathcal{M}^{-1} \mathcal{P}^t.$$



One may then introduce the approximate diffusion matrices

$$D^{[i]} = \sum_{0 \leq j \leq i} (\mathcal{P}T)^j \mathcal{P} \mathcal{M}^{-1} \mathcal{P}^t,$$

that are symmetric, satisfy the mass constraint  $D^{[i]} \mathbf{y} = 0$  and yields a positive entropy production. The first approximation  $D^{[0]} = \mathcal{P} \mathcal{M}^{-1} \mathcal{P}^t$  corresponds to the Curtiss–Hirschfelder approximation  $\mathbf{v}_k = -D_k^* \mathbf{d}_k / \mathbf{x}_k + \mathbf{v}_{\text{cor}}$  with a mass corrector  $\mathbf{v}_{\text{cor}}$  ensuring the constraint  $\sum_{k \in S} \mathbf{y}_k \mathbf{v}_k = 0$  and arising here from the projector  $\mathcal{P}$  [19, 70].

#### 4.4 Impact of Multicomponent Transport

Recent numerical investigations have brought further support for the importance of accurate transport property in various multicomponent reactive flows [76–85]. Thermal diffusion effects have been shown to be important in the study of vortex-flame interaction, catalytic effects near walls, interfacial phenomena, gaseous or spray diffusion flames [83], chemical vapor deposition reactors [3] and reentry [85]. The impact of multicomponent diffusion has also been shown to be important in multidimensional hydrogen/air and methane/air Bunsen flames [7], in freely propagating flames—especially with oxygen as pure oxydizer—as well as in direct numerical simulation of turbulent flames.

Theoretical calculations and experimental measurements have also shown that the ratio  $\kappa/\eta$  is not small for polyatomic gases [9, 80–82, 84]. Volume viscosity also arises in dense gases and in liquids, and its absence in dilute monatomic gases is an exception rather than a rule. Despite its potential importance, volume or bulk viscosity has seldom been included in computational models of multidimensional reactive flows. For small Mach number flows, however, the whole term  $\nabla \cdot (\kappa (\nabla \cdot \mathbf{v}) \mathbf{I})$  has a weak influence because of its *structure*, even though both the ratio  $\kappa/\eta$  and the dilatation  $\nabla \cdot \mathbf{v}$  may not be small [9]. However, it has been shown that volume viscosity has an important impact during a shock/hydrogen bubble interaction [82] and its influence on shock heated and expanding flows is investigated in [81, 84].

## 5 Mathematical Framework

We first summarize in this section the system of partial differential equations modeling reactive fluids derived from the kinetic theory of gases in the previous sections. We also introduce a mathematical framework, notably the assumptions on the transport coefficients, and recast the system in quasilinear form. The precise form of the thermodynamic functions obtained in the previous sections is not specifically

required and they are simply defined here in terms of internal specific heats and formation constants. Furthermore the mathematical properties of the transport coefficients are extracted from the kinetic theory. Note that we only investigate here the equations governing ideal mixture of perfect gases derived from the kinetic theory and we refer to [109, 111, 112, 114, 115] for other models. We have often rewritten some of the relations deduced from the kinetic theory in the previous sections in order to facilitate an independent lecture of the following sections.

## 5.1 Conservation Equations

The equations for conservation of species mass, momentum and energy may be recast in the form [9]

$$\partial_t \rho_k + \nabla \cdot (\rho_k \mathbf{v}) + \nabla \cdot \mathcal{F}_k = m_k \omega_k, \quad k \in S, \quad (121)$$

$$\partial_t (\rho \mathbf{v}) + \nabla \cdot (\rho \mathbf{v} \otimes \mathbf{v} + p \mathbf{I}) + \nabla \cdot \mathbf{\Pi} = \sum_{i \in S} \rho_i \mathbf{b}_i, \quad (122)$$

$$\begin{aligned} \partial_t (\mathcal{E} + \frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v}) + \nabla \cdot ((\mathcal{E} + \frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v} + p) \mathbf{v}) + \nabla \cdot (\mathbf{Q} + \mathbf{\Pi} \cdot \mathbf{v}) = \\ \sum_{i \in S} \rho_i \mathbf{b}_i \cdot (\mathbf{v} + \mathbf{v}_i), \quad (123) \end{aligned}$$

where  $\partial_t$  denotes the time derivative,  $\nabla$  the space derivative operator,  $\rho_k$  the mass density of the  $k$ th species,  $\mathbf{v}$  the mass average flow velocity,  $\mathcal{F}_k$  the diffusion flux of the  $k$ th species,  $m_k$  the molar mass of the  $k$ th species,  $\omega_k$  the molar production rate of the  $k$ th species,  $S = \{1, \dots, n\}$  the set of species indices,  $n \geq 1$  the number of species,  $\rho = \sum_{k \in S} \rho_k$  the total mass density,  $p$  the pressure,  $\mathbf{\Pi}$  the viscous tensor,  $\mathbf{b}_i$  the force per unit mass acting on the  $i$ th species,  $\mathcal{E}$  the internal energy per unit volume and  $\mathbf{Q}$  the heat flux. These equations have to be completed by the relations expressing the thermodynamic properties like  $p$  and  $\mathcal{E}$ , the chemical production rates  $\omega_k$ ,  $k \in S$ , and the transport fluxes  $\mathbf{\Pi}$ ,  $\mathcal{F}_k$ ,  $k \in S$ , and  $\mathbf{Q}$ .

Assuming that the force acting on the chemical species are species independent  $\mathbf{b}_i = \mathbf{b}$ ,  $i \in S$ , as gravity for instance, then the energy production term  $\sum_{i \in S} \rho_i \mathbf{b}_i \cdot (\mathbf{v} + \mathbf{v}_i)$  simplifies into  $\rho \mathbf{b} \cdot \mathbf{v}$ . In the following, we will assume that there is no force acting on the chemical species so that

$$\mathbf{b}_i = \mathbf{b} = 0, \quad i \in S. \quad (124)$$

Such zeroth order force terms  $\rho \mathbf{b}$  and  $\rho \mathbf{b} \cdot \mathbf{v}$  do not significantly influence the mathematical structure of the resulting set of partial differential equations. The spatial dimension is denoted by  $d$  and the components of  $\mathbf{v}$  and  $\nabla$  are written  $\mathbf{v} = (v_1, \dots, v_d)^t$  and  $\nabla = (\partial_1, \dots, \partial_d)^t$  where  $v_i$  denotes the velocity in the  $i$ th spatial direction,  $\partial_i$  the derivation in the  $i$ th spatial direction and bold symbols are used for vector or tensor quantities in the physical space  $\mathbb{R}^d$ .

## 5.2 Thermodynamics

We will use for convenience the state variable  $T, \rho_1, \dots, \rho_n$  where  $T$  is the absolute temperature and also denote  $\varrho = (\rho_1, \dots, \rho_n)^t$ . Other state variables could be used as well and may lead to slightly different mathematical formalisms [9]. The internal energy per unit volume  $\mathcal{E}$  and the pressure  $p$  can be written in terms of the state variables  $T, \rho_1, \dots, \rho_n$  as

$$\mathcal{E}(T, \rho_1, \dots, \rho_n) = \sum_{k \in S} \rho_k e_k(T), \quad p(T, \rho_1, \dots, \rho_n) = \sum_{k \in S} RT \frac{\rho_k}{m_k},$$

where  $e_k$  is the internal energy per unit mass of the  $k$ th species and  $R$  the gas constant. The internal energy  $e_k$  of the  $k$ th species is given by

$$e_k(T) = e_k^{\text{st}} + \int_{T^{\text{st}}}^T c_{vk}(\tau) d\tau, \quad k \in S,$$

where  $e_k^{\text{st}}$  is the standard formation energy of the  $k$ th species at the standard temperature  $T^{\text{st}}$  and  $c_{vk}$  the constant volume specific heat of the  $k$ th species. We also define the formation energy at zero temperature by letting  $e_k^0 = e_k(0) = e_k^{\text{st}} - \int_0^{T^{\text{st}}} c_{vk}(\tau) d\tau$ . The (physical) entropy per unit volume  $\mathcal{S}$  can be written in the form

$$\mathcal{S}(T, \rho_1, \dots, \rho_n) = \sum_{k \in S} \rho_k s_k(T, \rho_k),$$

where  $s_k$  is the entropy per unit mass of the  $k$ th species. This quantity is in the form

$$s_k(T, \rho_k) = s_k^{\text{st}} + \int_{T^{\text{st}}}^T \frac{c_{vk}(T')}{T'} dT' - \frac{R}{m_k} \log\left(\frac{\rho_k}{\gamma^{\text{st}} m_k}\right), \quad k \in S,$$

where  $s_k^{\text{st}}$  is the formation entropy of the  $k$ th species at the standard temperature  $T^{\text{st}}$  and standard pressure  $p^{\text{st}} = p^{\text{atm}}$  and  $\gamma^{\text{st}} = p^{\text{st}}/RT^{\text{st}}$  is the standard concentration. Similarly, one can introduce the mixture enthalpy  $\mathcal{H} = \sum_{k \in S} \rho_k h_k(T)$  with

$$h_k(T) = e_k(T) + RT/m_k, \quad k \in S,$$

the mixture Gibbs function per unit volume  $\mathcal{G} = \sum_{k \in S} \rho_k g_k(T, \rho_k)$ , with

$$g_k(T, \rho_k) = h_k(T) - T s_k(T, \rho_k), \quad k \in S,$$

as well as the reduced chemical potential

$$\mu_k(T, \rho_k) = \frac{m_k g_k}{RT}, \quad k \in S.$$

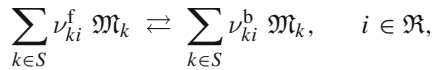
Finally, the species mass fractions  $y_k$ ,  $k \in S$ , partial pressures  $p_k$ ,  $k \in S$ , and mole fractions  $x_k$ ,  $k \in S$ , are defined by

$$y_k = \frac{\rho_k}{\rho}, \quad p_k = \frac{\rho_k RT}{m_k}, \quad x_k = \frac{p_k}{p}, \quad k \in S.$$

The mole fractions may also be evaluated from  $x_i = my_i/m_i$  where  $m$  is the mean molar weight given by  $\langle y, \mathbb{I} \rangle / m = \sum_{i \in S} y_i / m_i$ .

### 5.3 Chemical Sources

We consider a system of  $n^r \geq 1$  elementary reactions for  $n \geq 1$  species which can be written formally



where  $\mathfrak{M}_k$  is the chemical symbol of the  $k$ th species,  $\nu_{ki}^f$  and  $\nu_{ki}^b$  the forward and backward stoichiometric coefficients of the  $k$ th species in the  $i$ th reaction,  $\mathfrak{R} = \{1, \dots, n^r\}$  the set of reaction indices, and  $\nu_{ki} = \nu_{ki}^b - \nu_{ki}^f$  the overall stoichiometric coefficients. The species of the mixture are assumed to be constituted by atoms, and we denote by  $\alpha_{il}$  the number of  $l$ th atom in the  $i$ th species,  $\mathfrak{A} = \{1, \dots, n^a\}$  the set of atom indices, and  $n^a \geq 1$  the number of atoms—or elements—in the mixture. It is convenient to introduce at this point some vector notation by letting

$$\omega = \begin{pmatrix} \omega_1 \\ \vdots \\ \omega_n \end{pmatrix}, \quad \nu_i = \begin{pmatrix} \nu_{1i} \\ \vdots \\ \nu_{ni} \end{pmatrix}, \quad \nu_i^f = \begin{pmatrix} \nu_{1i}^f \\ \vdots \\ \nu_{ni}^f \end{pmatrix}, \quad \nu_i^b = \begin{pmatrix} \nu_{1i}^b \\ \vdots \\ \nu_{ni}^b \end{pmatrix},$$

$$\mu = \begin{pmatrix} \mu_1 \\ \vdots \\ \mu_n \end{pmatrix}, \quad \alpha_l = \begin{pmatrix} \alpha_{1l} \\ \vdots \\ \alpha_{nl} \end{pmatrix}, \quad m = \begin{pmatrix} m_1 \\ \vdots \\ m_n \end{pmatrix}, \quad \varrho = \begin{pmatrix} \rho_1 \\ \vdots \\ \rho_n \end{pmatrix}.$$

We will denote by  $\mathcal{R}$  the vector space spanned by the reaction vectors  $\mathcal{R} = \text{Span}\{\nu_i, i \in \mathfrak{R}\}$  and by  $\mathcal{A}$  the vector space spanned by the atom vectors  $\mathcal{A} = \text{Span}\{\alpha_l, l \in \mathfrak{A}\}$ . The molar production rates that we consider are the Maxwellian production rates obtained from the kinetic theory [9, 19] when the chemical characteristic times are larger than the mean free times of the molecules and the characteristic times of internal energy relaxation. These rates  $\omega_k$ ,  $k \in S$ , are compatible with the law of mass action and are in the form

$$\omega_k = \sum_{i \in \mathfrak{R}} (\nu_{ki}^b - \nu_{ki}^f) \tau_i, \quad k \in S, \quad (125)$$

where  $\tau_i$  is the rate of progress of the  $i$ th reaction given by

$$\tau_i = \mathcal{K}_i^s (\exp\langle \mu, \nu_i^f \rangle - \exp\langle \mu, \nu_i^b \rangle), \quad (126)$$

as written by Marcelin [57], Gorban [63] and Keizer [64] and also deduced from the kinetic theory (98). These rates of progress are compatible with the law of mass action and may be rewritten

$$\tau_i = \mathcal{K}_i^f \prod_{l \in S} \left( \frac{\rho_l}{m_l} \right)^{\nu_{li}^f} - \mathcal{K}_i^b \prod_{l \in S} \left( \frac{\rho_l}{m_l} \right)^{\nu_{li}^b}, \quad (127)$$

where  $\mathcal{K}_i^f$  and  $\mathcal{K}_i^b$  are the forward and backward rate constants of the  $i$ th reaction, respectively. The reaction constants  $\mathcal{K}_i^f$ ,  $\mathcal{K}_i^b$  and  $\mathcal{K}_i^s$  are functions of temperature and are Maxwellian averaged values of molecular chemical transition probabilities [19]. In particular, forward and backward chemical transition probabilities are always proportional—as in any Boltzmann equation—and this implies the reciprocity relations [9, 19]

$$\mathcal{K}_i^c(T) = \frac{\mathcal{K}_i^f(T)}{\mathcal{K}_i^b(T)}, \quad \log \mathcal{K}_i^c(T) = -\langle \nu_i, \mu^u \rangle, \quad i \in \mathfrak{R}, \quad (128)$$

where  $\mathcal{K}_i^c(T)$  is the equilibrium constant of the  $i$ th reaction,  $\mu^u = (\mu_1^u, \dots, \mu_n^u)^t$  and  $\mu_k^u(T) = \mu_k(T, m_k)$ ,  $k \in S$ . These reciprocity relations are closely associated with the reciprocity relations between reactive transition probabilities as well as with symmetric representation of the rate of progress and may be seen as Onsager relations for chemistry. These reactions constants are also related by  $\log \mathcal{K}_i^s = \log \mathcal{K}_i^f - \langle M \nu_i^f, \mu^u \rangle = \log \mathcal{K}_i^b - \langle M \nu_i^b, \mu^u \rangle$ . On the other hand, in practice, the forward reaction constants  $\mathcal{K}_i^f$ ,  $i \in \mathfrak{R}$ , are often approximated with Arrhenius law

$$\mathcal{K}_i^f = \mathfrak{A}_i T^{b_i} \exp(-\mathfrak{E}_i/RT), \quad i \in \mathfrak{R},$$

where  $\mathfrak{A}_i$  is the preexponential factor,  $b_i$  the temperature exponent and  $\mathfrak{E}_i$  the activation energy of the  $i$ th reaction. Note incidentally that the thermodynamics of irreversible processes only yields rates of progress that are linear in terms of affinities  $\langle \nu_i, \mu \rangle$  instead of exponential as in (126).

## 5.4 Transport Fluxes

The transport fluxes  $\mathbf{\Pi}$ ,  $\mathcal{F}_k$ ,  $k \in S$ , and  $\mathbf{Q}$  due to macroscopic variable gradients can be written in the form [9, 11, 12, 15, 16, 19]

$$\mathbf{\Pi} = -\kappa \nabla \cdot \mathbf{v} \mathbf{I} - \eta (\nabla \mathbf{v} + \nabla \mathbf{v}^t - \frac{2}{3} (\nabla \cdot \mathbf{v}) \mathbf{I}), \quad (129)$$

$$\mathcal{F}_k = - \sum_{l \in S} C_{kl} (\widehat{\mathbf{d}}_l + \mathbf{x}_l \widetilde{\chi}_l \partial_x \log T), \quad k \in S, \quad (130)$$

$$\mathbf{Q} = -\lambda \nabla T + \sum_{k \in S} (RT \frac{\widetilde{\chi}_k}{m_k} + h_k) \mathcal{F}_k, \quad (131)$$

where  $\kappa$  denotes the volume viscosity,  $\eta$  the shear viscosity,  $\mathbf{I}$  the three dimensional identity tensor,  $C_{kl}$ ,  $k, l \in S$ , the multicomponent flux diffusion coefficients,  $\widehat{\mathbf{d}}_k$ ,  $k \in S$ , the unconstrained species diffusion driving forces,  $^t$  the transposition operator,  $\widetilde{\chi}_k$ ,  $k \in S$ , the rescaled thermal diffusion ratios and  $\lambda$  the thermal conductivity. The diffusion driving forces are defined by

$$\widehat{\mathbf{d}}_k = \frac{\nabla p_k}{p}, \quad k \in S,$$

keeping in mind that the force term acting on the species are assumed to be zero  $\mathbf{b}_k = 0$ ,  $k \in S$ . When the mass fractions are nonzero, it is also possible to define the species diffusion velocities  $\mathbf{v}_k$ ,  $k \in S$ , by

$$\mathbf{v}_k = \frac{\mathcal{F}_k}{\rho_k} = - \sum_{l \in S} D_{kl} (\widehat{\mathbf{d}}_l + \mathbf{x}_l \widetilde{\chi}_l \nabla \log T).$$

where  $D_{kl} = C_{kl} / \rho_k$ ,  $k, l \in S$ .

The transport coefficients have important properties inherited from the underlying kinetic framework [9, 12, 19]. They satisfy symmetry properties, mass conservation constraints, as well as positivity properties as derived in Sect. 3.4 and detailed in the next section. The multicomponent transport coefficients  $\kappa$ ,  $\eta$ ,  $\lambda$ ,  $C = (C_{kl})_{k, l \in S}$ ,  $D = (D_{kl})_{k, l \in S}$ , or  $\widetilde{\chi} = (\widetilde{\chi}_k)_{k \in S}$ , are also smooth functions of the state variables. Note that the matrices  $C$  and  $D$  are generally irreducible and the governing equations have thus a complex structure [9].

## 5.5 Mathematical Assumptions

The assumptions on the thermodynamic properties and the transport coefficients have been extracted from the kinetic theory of gases. There are recast in the following form where  $\varkappa$  denotes a regularity class of transport coefficients and thermodynamic

functions [9]. Throughout these notes, for any matrix  $A$ , we denote by  $N(A)$  its nullspace and  $R(A)$  its range.

- (H<sub>1</sub>)** *The molar masses  $m_k$ ,  $k \in S$ , and the perfect gas constant  $R$  are positive constants. The formation energies  $e_k^{\text{st}}$ ,  $k \in S$ , and entropies  $s_k^{\text{st}}$ ,  $k \in S$ , are real constants. The specific heats  $c_{vk}$ ,  $k \in S$ , are  $C^{\infty-1}$  functions of  $T \in [0, \infty)$ . There exist positive constants  $\underline{c}_v$  and  $\bar{c}_v$  such that  $0 < \underline{c}_v \leq c_{vk}(T) \leq \bar{c}_v$  for  $T \geq 0$  and  $k \in S$ .*
- (H<sub>2</sub>)** *The stoichiometric coefficients  $\nu_{ki}^f$  and  $\nu_{ki}^b$ ,  $k \in S$ ,  $i \in \mathfrak{R}$ , the atomic coefficients  $\alpha_{kl}$ ,  $k \in S$ ,  $l \in \mathfrak{A}$ , are nonnegative integers. The atom vectors  $\alpha_l$ ,  $l \in \mathfrak{A}$ , and the reaction vectors  $\nu_i = \nu_i^b - \nu_i^f$ ,  $i \in \mathfrak{R}$ , satisfy the atom conservation constraints  $\langle \nu_i, \alpha_l \rangle = 0$ ,  $i \in \mathfrak{R}$ ,  $l \in \mathfrak{A}$ . The atom masses  $\tilde{m}_l$ ,  $l \in \mathfrak{A}$ , are positive constants and the vector of species molar masses  $m$  is given by  $m = \sum_{l \in \mathfrak{A}} \tilde{m}_l \alpha_l$ .*
- (H<sub>3</sub>)** *The symmetric reaction constants  $\mathcal{K}_i^s$  are  $C^\infty$  positive functions of  $T > 0$  for  $i \in \mathfrak{R}$ .*
- (H<sub>4</sub>)** *The flux diffusion matrix  $C = (C_{kl})_{k,l \in S}$ , the rescaled thermal diffusion ratios vector  $\tilde{\chi} = (\tilde{\chi}_1, \dots, \tilde{\chi}_n)^t$ , the volume viscosity  $\kappa$ , the shear viscosity  $\eta$ , and the thermal conductivity  $\lambda$  are  $C^\infty$  functions of  $(T, \rho_1, \dots, \rho_n)$  for  $T > 0$  and  $\rho_i > 0$ ,  $i \in S$ . These coefficients satisfy the mass conservation constraints  $N(C) = \mathbb{R}y$ ,  $R(C) = \mathbb{1}^\perp$ , and  $\tilde{\chi} \in \mathbf{x}^\perp$ .*
- (H<sub>5</sub>)** *The thermal conductivity  $\lambda$  and the shear viscosity  $\eta$  are positive. The volume viscosity  $\kappa$  is nonnegative. The diffusion matrix  $D = (1/\rho)\mathcal{Y}^{-1}C$  is symmetric positive semi-definite and its nullspace is  $N(D) = \mathbb{R}y$  where  $\mathcal{Y} = \text{diag}(y_1, \dots, y_n)$ .*

*Remark 5.1* All coefficients  $C$ ,  $\lambda$ ,  $\eta$ ,  $\tilde{\chi}$  and  $\kappa$  have smooth extensions to the domain  $\rho_i \geq 0$ ,  $i \in S$ , and  $\rho > 0$ . This is also the case for the non diagonal coefficients  $D_{ij}$  for  $i \neq j$  whereas the coefficient  $\rho_i D_{ii}$  has a finite positive limit when  $\rho_i \rightarrow 0$  [19, 70].

*Remark 5.2* We generally have  $\mathcal{R} \subset \mathcal{A}^\perp$  but chemical reaction mechanisms are usually sufficiently rich so that reaction vectors  $\nu_i$ ,  $i \in \mathfrak{R}$ , are spanning the maximum space and  $\mathcal{R} = \mathcal{A}^\perp$ .

## 5.6 Entropy Production

From Gibbs' relation  $T \mathbb{D}S = \mathbb{D}\mathcal{E} - \sum_{k \in S} g_k \mathbb{D}\rho_k$ , where  $\mathbb{D}$  denotes the total derivative, the conservation equations, and the properties of transport coefficients and chemical production rate, one may derive the following balance equation for  $\rho_s = S$

$$\begin{aligned}
\partial_t(\rho s) + \nabla \cdot (\rho \mathbf{v} s) + \nabla \cdot \left( \frac{\mathbf{Q}}{T} - \sum_{k \in S} \frac{g_k}{T} \mathcal{F}_k \right) &= \frac{3d\kappa + 2\eta(3-d)}{3dT} (\nabla \cdot \mathbf{v})^2 \\
&+ \frac{\eta}{2T} (\nabla \mathbf{v} + \nabla \mathbf{v}^t - \frac{2}{d} (\nabla \cdot \mathbf{v}) \mathbf{I}) : (\nabla \mathbf{v} + \nabla \mathbf{v}^t - \frac{2}{d} (\nabla \cdot \mathbf{v}) \mathbf{I}) \\
&+ \frac{\lambda}{T^2} \nabla T \cdot \nabla T + \frac{p}{T} \sum_{k,l \in S} D_{kl} (\widehat{\mathbf{d}}_k + \chi_k \nabla \log T) \cdot (\widehat{\mathbf{d}}_l + \chi_l \nabla \log T) \\
&+ \sum_{i \in \mathfrak{R}} R\mathcal{K}_i^s (\langle \mu, \nu_i^f \rangle - \langle \mu, \nu_i^b \rangle) (\exp \langle \mu, \nu_i^f \rangle - \exp \langle \mu, \nu_i^b \rangle). \quad (132)
\end{aligned}$$

The viscous tensor has been rewritten for convenience in the form

$$\mathbf{\Pi} = -\left(\kappa + \frac{2\eta(3-d)}{3d}\right) \nabla \cdot \mathbf{v} \mathbf{I} - \eta \left( \nabla \mathbf{v} + \nabla \mathbf{v}^t - \frac{2}{d} (\nabla \cdot \mathbf{v}) \mathbf{I} \right),$$

keeping in mind that  $1 \leq d \leq 3$ . Entropy production (132) therefore appears as a sum of nonnegative terms and the last term represents the entropy production due to chemical reactions  $-R\langle \mu, \omega \rangle$ . From this expression of  $\langle \mu, \omega \rangle$  we also deduce the following result concerning chemical equilibrium.

**Proposition 5.3** *Assume that the Properties (H<sub>1</sub>–H<sub>3</sub>) hold. Then for any  $(T, \rho_1, \dots, \rho_n) \in (0, \infty)^{1+n}$  the following statements are equivalent :*

- (i) *The entropy production due to chemistry vanishes  $-R\langle \mu, \omega \rangle = 0$ .*
- (ii) *The reaction rates of progress vanish  $\tau_j = 0, \quad j \in \mathfrak{R}$ .*
- (iii) *The species production rates vanish  $\omega_k = 0, \quad k \in S$ .*
- (iv) *The vector  $\mu = (\mu_1, \dots, \mu_n)^t$  belongs to  $\mathcal{R}^\perp$  where*

$$\mathcal{R} = \text{span}\{\nu_i, i \in \mathfrak{R}\}.$$

*Proof* From the expression of entropy production due to chemical reactions

$$-R\langle \mu, \omega \rangle = \sum_{i \in \mathfrak{R}} R\mathcal{K}_i^s (\langle \mu, \nu_i^f \rangle - \langle \mu, \nu_i^b \rangle) (\exp \langle \mu, \nu_i^f \rangle - \exp \langle \mu, \nu_i^b \rangle),$$

and (H<sub>3</sub>) we obtain that  $\langle \mu, \omega \rangle = 0$  implies  $\langle \mu, \nu_j \rangle = 0, j \in \mathfrak{R}$ , and so  $\tau_j = 0, j \in \mathfrak{R}$ , and we have established that (i) implies (ii). The fact that (ii) implies (iii) is a consequence of  $\omega = \sum_{j \in \mathfrak{R}} \tau_j \nu_j$ . We also deduce from the expression of entropy production  $-R\langle \mu, \omega \rangle$  that (iii) implies (i) so that the three statements (i), (ii), and (iii) are equivalent. Finally, it is easily established that (iv) is equivalent to  $\langle \mu, \nu_j \rangle = 0, j \in \mathfrak{R}$ , so that (ii) and (iv) are also equivalent.  $\square$

**Definition 5.4** A point  $T^* > 0, \varrho^* \in (0, \infty)^n$ , which satisfies the equivalent properties of Proposition 5.3 is termed an equilibrium point.

We are only interested here in positive equilibrium states with  $\rho_i > 0, i \in S$ , which are in the interior of the composition space. Spurious points with zero mass fractions



where the source terms  $\omega_k$ ,  $k \in n$ , also vanish—termed ‘boundary equilibrium points’—are of a different nature [9]. Properly structured chemical kinetic mechanisms automatically exclude such spurious points unless some element is missing in the mixture [9].

## 5.7 Vector Notation

The equations governing multicomponent flows (121)–(123) can be rewritten in the compact vector form

$$\partial_t \mathbf{u} + \sum_{i \in C} \partial_i \mathbf{F}_i + \sum_{i \in C} \partial_i \mathbf{F}_i^{\text{diss}} = \Omega, \quad (133)$$

where  $\mathbf{u}$  is the conservative variable,  $\partial_i$  the spatial derivative operator in the  $i$ th spatial direction,  $C = \{1, \dots, d\}$  the indexing set of spatial directions,  $d \in \{1, 2, 3\}$  the spatial dimension,  $\mathbf{F}_i$  the convective flux in the  $i$ th direction,  $\mathbf{F}_i^{\text{diss}}$  the dissipative flux in the  $i$ th direction, and  $\Omega$  the source term. Letting  $n = n + d + 1$ , the conservative variable  $\mathbf{u} \in \mathbb{R}^n$  is found to be

$$\mathbf{u} = (\rho_1, \dots, \rho_n, \rho \mathbf{v}, \mathcal{E} + \frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v})^t, \quad (134)$$

and the natural variable  $\mathbf{z} \in \mathbb{R}^n$  is defined by

$$\mathbf{z} = (\rho_1, \dots, \rho_n, \mathbf{v}, T)^t. \quad (135)$$

For convenience, the velocity components of vectors in  $\mathbb{R}^n = \mathbb{R} \times \mathbb{R}^d \times \mathbb{R}^2$  are generally written as vectors of  $\mathbb{R}^d$  and bold symbols are used for vector or tensor quantities in the physical space  $\mathbb{R}^d$ . The map  $\mathbf{z} \rightarrow \mathbf{u}$  is a  $C^\infty$  diffeomorphism from the open set

$$\mathcal{O}_{\mathbf{z}} = (0, \infty)^n \times \mathbb{R}^d \times (0, \infty),$$

onto a convex open set  $\mathcal{O}_{\mathbf{u}}$  of  $\mathbb{R}^n$  [9, 95].

**Proposition 5.5** *The map  $\mathbf{z} \mapsto \mathbf{u}$  is a  $C^\infty$  diffeomorphism from the open set  $\mathcal{O}_{\mathbf{z}}$  onto an open set  $\mathcal{O}_{\mathbf{u}}$ . The open set  $\mathcal{O}_{\mathbf{u}}$  is convex and given by*

$$\mathcal{O}_{\mathbf{u}} = \{ \mathbf{u} \in \mathbb{R}^n; u_i > 0, 1 \leq i \leq n, u_n - \phi(u_1, \dots, u_{n+d}) > 0 \},$$

where

$$\phi(u_1, \dots, u_{n+d}) = \frac{1}{2} \frac{u_{n+1}^2 + \dots + u_{n+d}^2}{\sum_{i \in S} u_i} + \sum_{i \in S} u_i e_i^0,$$

and  $e_i^0$  is the energy of formation of the  $i$ th species at zero temperature.

*Proof* The map  $\mathbf{z} \rightarrow \mathbf{u}$  is easily shown to be one to one from  $\mathcal{O}_{\mathbf{z}}$  onto  $\mathcal{O}_{\mathbf{u}}$  using the positivity of the constant volume specific heats. The jacobian matrix  $\partial_{\mathbf{z}}\mathbf{u}$ , has a triangular structure and is invertible so that we may use the inverse function theorem.  $\square$

The convective and diffusive fluxes  $\mathbf{F}_i$  and  $\mathbf{F}_i^{\text{diss}}$  in the  $i$ th direction are defined by

$$\begin{aligned}\mathbf{F}_i &= (\rho_1 v_i, \dots, \rho_n v_i, \rho v_i \mathbf{v} + p \mathbf{e}_i, (\mathcal{E} + \frac{1}{2} \rho \mathbf{v} \cdot \mathbf{v} + p) v_i)^t, \\ \mathbf{F}_i^{\text{diss}} &= (\mathcal{F}_{1i}, \dots, \mathcal{F}_{ni}, \mathbf{\Pi}_i, Q_i + \mathbf{\Pi}_i \cdot \mathbf{v})^t,\end{aligned}$$

where  $\mathbf{e}_i$  denotes the  $i$ th basis vector in the physical space  $\mathbb{R}^d$ ,  $v_i$  the velocity in the  $i$ th direction,  $\mathcal{F}_{ki}$  the diffusion flux of the  $k$ th species in the  $i$ th direction,  $Q_i$  the heat flux in the  $i$ th direction,  $\mathbf{\Pi} = (\Pi_{ij})_{i,j \in C}$  the viscous tensor, and  $\mathbf{\Pi}_i$  the vector  $\mathbf{\Pi}_i = (\Pi_{1i}, \dots, \Pi_{di})^t$ , so that  $\mathbf{v} = (v_1, \dots, v_d)^t$ ,  $\mathcal{F}_k = (\mathcal{F}_{k1}, \dots, \mathcal{F}_{kd})^t$ , and  $\mathbf{Q} = (Q_1, \dots, Q_d)^t$ .

The dissipative fluxes may further be expressed in the form  $\mathbf{F}_i^{\text{diss}} = - \sum_{j \in C} \widehat{\mathbf{B}}_{ij}(\mathbf{u}) \partial_j \mathbf{z}$  since all transport fluxes are linear expressions in terms of the gradients of the natural variable  $\mathbf{z}$ . Since  $\mathbf{z} \rightarrow \mathbf{u}$  is a smooth diffeomorphism, defining  $\mathbf{B}_{ij}(\mathbf{u}) = \widehat{\mathbf{B}}_{ij}(\mathbf{u}) \partial_{\mathbf{u}} \mathbf{z}$ , for  $i, j \in C$ , we obtain that

$$\mathbf{F}_i^{\text{diss}} = - \sum_{j \in C} \mathbf{B}_{ij}(\mathbf{u}) \partial_j \mathbf{u}, \quad i \in C,$$

where the dissipation matrix  $\mathbf{B}_{ij}$  relates the dissipative flux in the  $i$ th direction  $\mathbf{F}_i^{\text{diss}}$  to the gradient of the conservative variable in the  $j$ th direction  $\partial_j \mathbf{u}$ . Further denoting by  $\mathbf{A}_i = \partial_{\mathbf{u}} F_i$ ,  $i \in C$ , the convective flux Jacobian matrices, and  $\Omega$  the source term

$$\Omega = (m_1 \omega_1, \dots, m_n \omega_n, \mathbf{0}, 0)^t,$$

we obtain the quasilinear system

$$\partial_t \mathbf{u} + \sum_{i \in C} \mathbf{A}_i(\mathbf{u}) \partial_i \mathbf{u} = \sum_{i, j \in C} \partial_i (\mathbf{B}_{ij}(\mathbf{u}) \partial_j \mathbf{u}) + \Omega(\mathbf{u}), \quad (136)$$

and all the system coefficients  $\mathbf{A}_i(\mathbf{u})$ ,  $i \in C$ ,  $\mathbf{B}_{ij}(\mathbf{u})$ ,  $i, j \in C$ , and  $\Omega(\mathbf{u})$ , are smooth functions of  $\mathbf{u}$  on the open convex set  $\mathcal{O}_{\mathbf{u}}$ . The mathematical structure of such quasilinear systems is discussed in the next section in an abstract framework.

## 6 Hyperbolic-Parabolic Structure

We discuss symmetrization with entropic variables and normal variables for abstract systems in quasilinear form. We next investigate the hyperbolic-parabolic structure of the resulting systems of partial differential equations. We further explicitly evaluate

the natural entropic symmetrized form as well as the natural normal form for the system of partial differential equations modeling multicomponent reactive fluids.

## 6.1 Entropic Variables

We consider an abstract second order quasilinear system of conservation laws in the general form

$$\partial_t \mathbf{u} + \sum_{i \in C} \mathbf{A}_i(\mathbf{u}) \partial_i \mathbf{u} - \sum_{i, j \in C} \partial_i (\mathbf{B}_{ij}(\mathbf{u}) \partial_j \mathbf{u}) - \Omega(\mathbf{u}) = 0, \quad (137)$$

where  $\mathbf{u} \in \mathcal{O}_{\mathbf{u}}$ ,  $\mathcal{O}_{\mathbf{u}}$  is an open convex set of  $\mathbb{R}^n$ , and  $n \geq 1$ . The system coefficients are assumed such that  $\mathbf{A}_i = \partial_{\mathbf{u}} \mathbf{F}_i$  and the fluxes  $\mathbf{F}_i$ ,  $i \in C$ , the dissipation matrices  $\mathbf{B}_{ij}$ ,  $i, j \in C$ , and the source term  $\Omega$ , are assumed to be  $C^{\varkappa}$  over  $\mathcal{O}_{\mathbf{u}}$  where  $\varkappa \geq 3$ .

A mathematical entropy for the system of partial differential Eq. (137) must be compatible with the convective terms, the dissipative terms as well as the source term and we use the definition presented in [101, 103] simplified to the situation where the set  $\mathcal{O}_{\mathbf{u}}$  is convex. In the following definition, properties (E<sub>1</sub>), (E<sub>2</sub>) concerning the convective terms have been adapted from [86, 88], properties (E<sub>3</sub>), (E<sub>4</sub>) associated with the dissipative terms have been adapted from [89, 92, 106, 107] and properties (E<sub>5</sub>)–(E<sub>7</sub>) concerning the source terms have been adapted from [93, 98] and we denote by  $\Sigma^{d-1}$  the sphere in  $d$  dimension.

**Definition 6.1** Consider a  $C^{\varkappa}$  function  $\mathbf{u} \rightarrow \sigma(\mathbf{u})$  defined over the open convex domain  $\mathcal{O}_{\mathbf{u}}$ . The function  $\sigma$  is said to be an entropy function for the system (137) if the following properties hold.

- (E<sub>1</sub>) The Hessian matrix  $\partial_{\mathbf{u}}^2 \sigma(\mathbf{u}) = \partial_{\mathbf{u}} (\partial_{\mathbf{u}} \sigma)^t(\mathbf{u})$  is positive definite over  $\mathcal{O}_{\mathbf{u}}$ .
- (E<sub>2</sub>) There exist  $C^{\varkappa}$  functions  $\mathbf{u} \rightarrow \mathbf{q}_i(\mathbf{u})$  such that  $\partial_{\mathbf{u}} \sigma(\mathbf{u}) \mathbf{A}_i(\mathbf{u}) = \partial_{\mathbf{u}} \mathbf{q}_i(\mathbf{u})$  for  $\mathbf{u} \in \mathcal{O}_{\mathbf{u}}$  and  $i \in C$ .
- (E<sub>3</sub>) We have  $(\mathbf{B}_{ij}(\mathbf{u}) (\partial_{\mathbf{u}}^2 \sigma(\mathbf{u}))^{-1})^t = \mathbf{B}_{ji}(\mathbf{u}) (\partial_{\mathbf{u}}^2 \sigma(\mathbf{u}))^{-1}$  for  $\mathbf{u} \in \mathcal{O}_{\mathbf{u}}$  and  $i, j \in C$ .
- (E<sub>4</sub>) The matrix  $\sum_{i, j \in C} \mathbf{B}_{ij}(\mathbf{u}) (\partial_{\mathbf{u}}^2 \sigma(\mathbf{u}))^{-1} \xi_i \xi_j$  is positive semi-definite for  $\mathbf{u} \in \mathcal{O}_{\mathbf{u}}$  and  $\xi \in \Sigma^{d-1}$ .
- (E<sub>5</sub>) There exists a fixed vector space  $\mathcal{E} \subset \mathbb{R}^n$  such that  $\Omega(\mathbf{u}) \in \mathcal{E}^{\perp}$  for  $\mathbf{u} \in \mathcal{O}_{\mathbf{u}}$  and  $\Omega(\mathbf{u}) = 0$  if and only if  $(\partial_{\mathbf{u}} \sigma(\mathbf{u}))^t \in \mathcal{E}$  and if and only if  $\partial_{\mathbf{u}} \sigma(\mathbf{u}) \Omega(\mathbf{u}) = 0$ .
- (E<sub>6</sub>) If  $\Omega(\mathbf{u}) = 0$ , then the matrix  $\partial_{\mathbf{u}} \Omega(\mathbf{u}) (\partial_{\mathbf{u}}^2 \sigma(\mathbf{u}))^{-1}$  is symmetric and its nullspace is given by  $N(\partial_{\mathbf{u}} \Omega(\mathbf{u}) (\partial_{\mathbf{u}}^2 \sigma(\mathbf{u}))^{-1}) = \mathcal{E}$ .
- (E<sub>7</sub>) We have  $\partial_{\mathbf{u}} \sigma(\mathbf{u}) \Omega(\mathbf{u}) \leq 0$  for  $\mathbf{u} \in \mathcal{O}_{\mathbf{u}}$ .

Existence of an entropy is closely associated with symmetrization properties [86, 88, 89, 92, 93, 98, 101–104, 106, 107]. We do not encounter here the difficulty associated with nonideal fluids where only *local* symmetrization are feasible and where  $\mathcal{O}_{\mathbf{u}}$  may not be convex [101]. Note also that more general source terms

with no symmetry properties at equilibrium have been considered by Chen et al. [93] and Yong [110].

**Definition 6.2** Consider a  $C^{\varkappa-1}$  diffeomorphism  $\mathbf{u} \rightarrow \mathbf{v}$  from  $\mathcal{O}_u$  onto an open domain  $\mathcal{O}_v$  and the system in the  $\mathbf{v}$  variable

$$\tilde{\mathbf{A}}_0(\mathbf{v})\partial_t \mathbf{v} + \sum_{i \in C} \tilde{\mathbf{A}}_i(\mathbf{v})\partial_i \mathbf{v} - \sum_{i,j \in C} \partial_i (\tilde{\mathbf{B}}_{ij}(\mathbf{v})\partial_j \mathbf{v}) - \tilde{\mathbf{\Omega}}(\mathbf{v}) = 0, \quad (138)$$

where  $\tilde{\mathbf{A}}_0 = \partial_v \mathbf{u}$ ,  $\tilde{\mathbf{A}}_i = \mathbf{A}_i \partial_v \mathbf{u} = \partial_v F_i$ ,  $\tilde{\mathbf{B}}_{ij} = \mathbf{B}_{ij} \partial_v \mathbf{u}$ , and  $\tilde{\mathbf{\Omega}} = \mathbf{\Omega}$ , have at least regularity  $\varkappa - 2$ . The system is said of the symmetric form if properties (S<sub>1</sub>–S<sub>7</sub>) hold.

- (S<sub>1</sub>) The matrix  $\tilde{\mathbf{A}}_0(\mathbf{v})$  is symmetric positive definite for  $\mathbf{v} \in \mathcal{O}_v$ .
- (S<sub>2</sub>) The matrices  $\tilde{\mathbf{A}}_i(\mathbf{v})$ ,  $i \in C$ , are symmetric for  $\mathbf{v} \in \mathcal{O}_v$ .
- (S<sub>3</sub>) We have  $\tilde{\mathbf{B}}_{ij}^t(\mathbf{v}) = \tilde{\mathbf{B}}_{ji}(\mathbf{v})$  for  $i, j \in C$  and  $\mathbf{v} \in \mathcal{O}_v$ .
- (S<sub>4</sub>) The matrix  $\tilde{\mathbf{B}}(\mathbf{v}, \boldsymbol{\xi}) = \sum_{i,j \in C} \tilde{\mathbf{B}}_{ij}(\mathbf{v})\xi_i \xi_j$  is positive semi-definite for  $\mathbf{v} \in \mathcal{O}_v$  and  $\boldsymbol{\xi} \in \Sigma^{d-1}$ .
- (S<sub>5</sub>) There exists a fixed vector space  $\mathcal{E} \subset \mathbb{R}^n$  such that  $\tilde{\mathbf{\Omega}}(\mathbf{v}) \in \mathcal{E}^\perp$  for  $\mathbf{v} \in \mathcal{O}_v$  and  $\tilde{\mathbf{\Omega}}(\mathbf{v}) = 0$  if and only if  $\mathbf{v} \in \mathcal{E}$  and if and only if  $\langle \mathbf{v}, \tilde{\mathbf{\Omega}}(\mathbf{v}) \rangle = 0$ .
- (S<sub>6</sub>) If  $\tilde{\mathbf{\Omega}}(\mathbf{v}) = 0$ , then  $\partial_v \tilde{\mathbf{\Omega}}(\mathbf{v})$  is symmetric and  $N(\partial_v \tilde{\mathbf{\Omega}}(\mathbf{v})) = \mathcal{E}$ .
- (S<sub>7</sub>) We have  $\langle \mathbf{v}, \tilde{\mathbf{\Omega}}(\mathbf{v}) \rangle \leq 0$  for  $\mathbf{v} \in \mathcal{O}_v$ .

The manifold  $\mathcal{E}$  is naturally termed the equilibrium manifold since  $\tilde{\mathbf{\Omega}}(\mathbf{v}) = 0$  when  $\mathbf{v} \in \mathcal{E}$ . The equivalence between symmetrization (S<sub>1</sub>–S<sub>7</sub>) and entropy (E<sub>1</sub>–E<sub>7</sub>) for hyperbolic-parabolic systems of conservation laws is obtained with  $\mathbf{v} = (\partial_u \sigma)^t$  [101].

**Theorem 6.3** Assume that the system (137) admits a  $C^\varkappa$  entropy function  $\sigma$  defined over an open convex domain  $\mathcal{O}_u$ . Then the system can be symmetrized with the entropic variable  $\mathbf{v} = (\partial_u \sigma)^t$ . Conversely, assume that the system can be symmetrized with the  $C^{\varkappa-1}$  diffeomorphism  $\mathbf{u} \rightarrow \mathbf{v}$ . Then there exists a  $C^\varkappa$  entropy over the open convex set  $\mathcal{O}_u$  such that  $\mathbf{v} = (\partial_u \sigma)^t$ .

*Sketch of the proof.* The equivalence of (S<sub>1</sub>–S<sub>2</sub>) and (E<sub>1</sub>–E<sub>2</sub>) is classical and is essentially obtained with Poincaré lemma. Then (S<sub>3</sub>–S<sub>7</sub>) and (E<sub>3</sub>–E<sub>7</sub>) become identical statements with  $\mathbf{v} = (\partial_u \sigma)^t$ .  $\square$

## 6.2 Normal Variables

In order to split the variables between hyperbolic and parabolic variables, we further have to put the system into a normal form, that is, in the form of a symmetric hyperbolic–parabolic composite system [89, 92, 95].

**Definition 6.4** Consider a symmetrized system as in Definition 6.2 and let  $\mathbf{v} \rightarrow \mathbf{w}$  be a  $C^{\varkappa-1}$  diffeomorphism from the open set  $\mathcal{O}_v$  onto an open set  $\mathcal{O}_w$ . Letting  $\mathbf{v} = \mathbf{v}(\mathbf{w})$  in the symmetrized system (138) and multiplying on the left side by  $(\partial_w \mathbf{v})^t$  we obtain a new system in the variable  $\mathbf{w}$

$$\bar{\mathbf{A}}_0(\mathbf{w})\partial_t \mathbf{w} + \sum_{i \in C} \bar{\mathbf{A}}_i(\mathbf{w})\partial_i \mathbf{w} - \sum_{i, j \in C} \partial_i (\bar{\mathbf{B}}_{ij}(\mathbf{w})\partial_j \mathbf{w}) - \bar{\Omega}(\mathbf{w}) = \bar{\mathbf{b}}(\mathbf{w}, \partial_x \mathbf{w}), \quad (139)$$

where  $\bar{\mathbf{A}}_0 = (\partial_w \mathbf{v})^t \tilde{\mathbf{A}}_0(\partial_w \mathbf{v})$ ,  $\bar{\mathbf{B}}_{ij} = (\partial_w \mathbf{v})^t \tilde{\mathbf{B}}_{ij}(\partial_w \mathbf{v})$ ,  $\bar{\mathbf{A}}_i = (\partial_w \mathbf{v})^t \tilde{\mathbf{A}}_i(\partial_w \mathbf{v})$ ,  $\bar{\Omega} = (\partial_w \mathbf{v})^t \tilde{\Omega}$ , have at least regularity  $\varkappa - 2$  and where  $\bar{\mathbf{b}}$  is quadratic in the gradients  $\bar{\mathbf{b}} = -\sum_{i, j \in C} \partial_i (\partial_w \mathbf{v})^t \tilde{\mathbf{B}}_{ij}(\partial_w \mathbf{v}) \partial_j \mathbf{w}$ . This system satisfies in particular properties  $(\bar{\mathbf{S}}_1)$ – $(\bar{\mathbf{S}}_4)$ , that is, properties  $(\mathbf{S}_1)$ – $(\mathbf{S}_4)$  rewritten in terms of overbar matrices. This system (139) is said to be of the normal form if there exists a partition of  $\{1, \dots, n\}$  into  $I = \{1, \dots, n_I\}$  and  $II = \{n_I + 1, \dots, n_I + n_{II}\}$  with  $n = n_I + n_{II}$  such that the following properties hold.

(N<sub>1</sub>) The matrices  $\bar{\mathbf{A}}_0$  and  $\bar{\mathbf{B}}_{ij}$  have the block structure

$$\bar{\mathbf{A}}_0 = \begin{bmatrix} \bar{\mathbf{A}}_0^{I,I} & 0_{n_I, n_{II}} \\ 0_{n_{II}, n_I} & \bar{\mathbf{A}}_0^{II,II} \end{bmatrix}, \quad \bar{\mathbf{B}}_{ij} = \begin{bmatrix} 0_{n_I, n_I} & 0_{n_I, n_{II}} \\ 0_{n_{II}, n_I} & \bar{\mathbf{B}}_{ij}^{II,II} \end{bmatrix}.$$

(N<sub>2</sub>) The matrix  $\bar{\mathbf{B}}^{II,II}(\mathbf{w}, \boldsymbol{\xi}) = \sum_{i, j \in C} \bar{\mathbf{B}}_{ij}^{II,II}(\mathbf{w}) \xi_i \xi_j$  is positive definite for  $\mathbf{w} \in \mathcal{O}_w$  and  $\boldsymbol{\xi} \in \Sigma^{d-1}$ .

(N<sub>3</sub>) We have  $\bar{\mathbf{b}}(\mathbf{w}, \partial_x \mathbf{w}) = (\bar{\mathbf{b}}_I(\mathbf{w}, \partial_x \mathbf{w}_I), \bar{\mathbf{b}}_{II}(\mathbf{w}, \partial_x \mathbf{w}))^t$ .

We have used here the vector and matrix block structure induced by the partitioning of  $\mathbb{R}^n$  into  $\mathbb{R}^n = \mathbb{R}^{n_I} \times \mathbb{R}^{n_{II}}$  so that we have  $\mathbf{w} = (\mathbf{w}_I, \mathbf{w}_{II})^t$  for instance and denoted by  $0_{i,j}$  the zero matrix with  $i$  lines and  $j$  columns.

The quadratic residual may also be written in the more elegant form

$$\bar{\mathbf{b}} = \sum_{i, j \in C} \bar{\mathbf{M}}_{ij}(\mathbf{w}) \partial_i \mathbf{w} \partial_j \mathbf{w}, \quad (140)$$

where  $\bar{\mathbf{M}}_{ij}(\mathbf{w})$  are third order tensors that are functions of  $\mathbf{w} \in \mathcal{O}_w$ . From the regularity assumptions of the original system (137), the coefficients of both symmetrized systems (138) and (139) have at least regularity  $\varkappa - 2$  and the coefficients  $\bar{\mathbf{M}}_{ij}$ ,  $i, j \in C$ , of  $\bar{\mathbf{b}}$  have at least regularity  $\varkappa - 3$ . A sufficient condition for system (138) to be recast into a normal form is that the nullspace naturally associated with dissipation matrices  $\tilde{\mathbf{B}}$  is a fixed subspace of  $\mathbb{R}^n$ . This is Condition (N) introduced by Kawashima and Shizuta [92] which has been strengthened in [95].

(N) The nullspace  $N(\tilde{\mathbf{B}})$  of the matrix  $\tilde{\mathbf{B}}(\mathbf{v}, \boldsymbol{\xi}) = \sum_{i, j \in C} \tilde{\mathbf{B}}_{ij}(\mathbf{v}) \xi_i \xi_j$  does not depend on  $\mathbf{v} \in \mathcal{O}_v$  and  $\boldsymbol{\xi} \in \Sigma^{d-1}$  and  $\tilde{\mathbf{B}}_{ij}(\mathbf{v})N(\tilde{\mathbf{B}}) = 0$ , for  $i, j \in C$ .

Letting  $n_I = \dim(N(\tilde{B}))$  and  $n_{II} = n - n_I$  we denote by  $P$  an arbitrary constant nonsingular matrix of dimension  $n$  such that its first  $n_I$  columns span the nullspace  $N(\tilde{B})$ . In order to characterize more easily normal forms for symmetric systems of conservation laws satisfying (N) we may introduce the auxiliary variables [9, 95]  $u' = P' u$  and  $v' = P^{-1} v$ . The dissipation matrices corresponding to these auxiliary variables have nonzero coefficients only in the lower right block of size  $n_{II} = n - n_I$ . Normal symmetric forms are then equivalently—and more easily—obtained from the  $v'$  symmetric equation [9, 95].

**Theorem 6.5** *Consider a system of conservation laws (138) that is symmetric in the sense of Definition 6.2 and assume that the nullspace invariance property (N) is satisfied. Denoting by  $u' = P' u$  and  $v' = P^{-1} v$ , the auxiliary variable, any normal form of the system (138) is given by a change of variable in the form  $w = (\mathcal{F}_I(u'), \mathcal{F}_{II}(v'))^t$  where  $\mathcal{F}_I$  and  $\mathcal{F}_{II}$  are two diffeomorphisms of  $\mathbb{R}^{n_I}$  and  $\mathbb{R}^{n_{II}}$ , respectively, and we have*

$$\bar{b} = \left(0, \bar{b}_{II}(w, \partial_x w_{II})\right)^t = \left(0, \sum_{i,j \in C} \bar{M}_{ij}^{II,II}(w) \partial_i w_{II} \partial_j w_{II}\right)^t, \quad (141)$$

where  $\bar{M}_{ij}^{II,II}(w)$  are third order tensors depending on  $w$  with regularity at least  $\varkappa - 3$ . Finally, when  $\mathcal{F}_{II}$  is linear, the quadratic residual  $\bar{b}$  is zero.

The main interest of normal forms is that the resulting subsystem of partial differential equations governing the variable  $w_I$  is symmetric hyperbolic [89, 94] whereas the subsystem governing  $w_{II}$  is symmetric strongly parabolic [89, 92] as discussed in the next section.

*Remark 6.6* It is also possible to investigate situations where the general structure of the symmetrized source term  $\tilde{\Omega}$  is transferred to the source term  $\bar{\Omega}$  of the normal variable [104].

### 6.3 Hyperbolicity and Parabolicity

Consider a first-order abstract system of partial differential equations written in the form

$$\bar{A}_0(w) \partial_t w + \sum_{i \in C} \bar{A}_i(w) \partial_i w = \bar{\Omega}(w), \quad (142)$$

where  $\bar{A}_0, \bar{A}_i, i \in C$ , and  $\bar{\Omega}$  are smooth functions of  $w$  over an open set  $\mathcal{O}_w$ ,  $C = \{1, \dots, d\}$  the set of direction indices of  $\mathbb{R}^d$ , and where  $\bar{A}_0$  is assumed to be invertible. The following definition of hyperbolicity can be found in the book of Denis Serre [94].

**Definition 6.7** The system (142) is said to be hyperbolic at a given point  $w$  if

$$\sup_{\xi \in \mathbb{R}^d} \left\| \exp(-i(\bar{\mathbf{A}}_0(\mathbf{w}))^{-1} \bar{\mathbf{A}}(\mathbf{w}, \xi)) \right\| < \infty, \quad (143)$$

where for  $\xi \in \mathbb{R}^d$  we have defined  $\bar{\mathbf{A}}(\mathbf{w}, \xi) = \sum_{i \in C} \bar{\mathbf{A}}_i(\mathbf{w}) \xi_i$ .

When the system (142) is hyperbolic, it is easily established that the matrix  $(\bar{\mathbf{A}}_0(\mathbf{w}))^{-1} \bar{\mathbf{A}}(\mathbf{w}, \xi)$  is diagonalizable with real eigenvalues so that it is hyperbolic in the classical sense [94, 96]. We also have the following sufficient condition in terms of eigenvalues and eigenvector matrices established by Serre [94].

**Proposition 6.8** *Assume that  $(\bar{\mathbf{A}}_0(\mathbf{w}))^{-1} \bar{\mathbf{A}}(\mathbf{w}, \xi)$  is diagonalizable at  $\mathbf{w}$  for any  $\xi \in \mathbb{R}^d$  with real eigenvalues. Let  $P(\xi)$  denote a matrix of eigenvectors and assume that*

$$\sup_{\xi \in \mathbb{R}^d} \|P(\xi)\| \|P(\xi)^{-1}\| < \infty. \quad (144)$$

*Then the system (142) is also hyperbolic at  $\mathbf{w}$ .*

A fundamental property of Definition 6.7 is its invariance under a change of variable [94]. Moreover, when a first-order system is symmetrizable, it is hyperbolic [94, 96].

**Definition 6.9** The system (142) is said to be symmetric at a given point  $\mathbf{w}$  when  $\bar{\mathbf{A}}_0(\mathbf{w})$  is positive definite and the matrices  $\bar{\mathbf{A}}_i(\mathbf{w})$ ,  $i \in C$ , are symmetric.

**Proposition 6.10** *A symmetric system of partial differential Eq. (142) is hyperbolic.*

Since the existence of an entropy function also implies symmetrizability, it automatically implies hyperbolicity in the sense of Definition 6.7 as well as in the classical sense [94, 96].

We now discuss parabolicity and consider a second-order system in the form

$$\bar{\mathbf{A}}_0(\mathbf{w}) \partial_t \mathbf{w} = \sum_{i, j \in C} \bar{\mathbf{B}}_{ij}(\mathbf{w}) \partial_i \partial_j \mathbf{w} + \bar{\Omega}(\mathbf{w}, \partial_x \mathbf{w}), \quad (145)$$

where  $\bar{\mathbf{A}}_0$ ,  $\bar{\mathbf{B}}_{ij}$ ,  $i, j \in C$ , are smooth functions of  $\mathbf{w}$  over an open set  $\mathcal{O}_w$ ,  $\bar{\Omega}$  is a smooth function over  $\mathcal{O}_w \times \mathbb{R}^{dn}$ , and where  $\bar{\mathbf{A}}_0$  is invertible. We will generally consider second-order symmetric systems in the following sense.

**Definition 6.11** The system (145) is said to be symmetric at a given point  $\mathbf{w}$  when  $\bar{\mathbf{A}}_0(\mathbf{w})$  is symmetric positive definite and  $(\bar{\mathbf{B}}_{ij}(\mathbf{w}))^t = \bar{\mathbf{B}}_{ji}(\mathbf{w})$ , for  $i, j \in C$ .

We then have the following definition for strongly parabolic systems of second-order partial differential equations involving the Legendre-Hadamard condition. Note that this definition should only concern the parabolic subsystem in the variable  $\mathbf{w}_\Pi$  but we have suppressed all  $\Pi$  indices to simplify the presentation.

**Definition 6.12** Assume that the system (145) is symmetric at a given point  $\mathbf{w}$ . This system is said to be strongly parabolic at  $\mathbf{w}$  if there exists a positive constant  $\delta > 0$  such that for any  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_d)^t$  and  $\mathbf{w} = (w_1, \dots, w_n)^t$  we have

$$\sum_{\substack{1 \leq i, j \leq d \\ 1 \leq k, l \leq n}} (\bar{\mathbf{B}}_{ij}(\mathbf{w}))_{kl} \xi_i \xi_j w_k w_l \geq \delta |\boldsymbol{\xi}|^2 |\mathbf{w}|^2. \tag{146}$$

*Remark 6.13* It is often the case that systems of partial differential equations of physical origin satisfy a stronger property—the Legendre condition—than (146) at a given point  $\mathbf{w}$  and are indeed such that

$$\sum_{\substack{1 \leq i, j \leq d \\ 1 \leq k, l \leq n}} (\bar{\mathbf{B}}_{ij}(\mathbf{w}))_{kl} \zeta_{ik} \zeta_{jl} \geq \delta |\zeta|^2, \tag{147}$$

for any  $\zeta \in \mathbb{R}^{dn}$ . The condition (146) then simply corresponds to the situation where  $\zeta$  is constrained to be a tensor product  $\zeta = \boldsymbol{\xi} \otimes \mathbf{w}$ , so that  $\zeta_{ik} = \xi_i w_k$ , for  $i \in \{1, \dots, d\}$  and  $k \in \{1, \dots, n\}$ .

The definition of strong parabolicity is only given here for symmetric systems and will be applied to the symmetrized forms like (138) or (139), thereby naturally involving entropy Hessians. Indeed, the definition of strong parabolicity *in the usual sense*, which neither require symmetry properties nor entropy Hessians, only has a meaning for *particular forms* of systems of partial differential equation under consideration which *need to be specified* as shown by the following counter example. Consider the system  $\partial_t \mathbf{w} - \mathcal{D} \Delta \mathbf{w} = 0$  where  $\mathbf{w} = (w_1, w_2)^t$ ,  $\Delta \mathbf{w} = (\Delta w_1, \Delta w_2)^t$ , and  $\mathcal{D} = \text{diag}(\delta_1, \delta_2)$ , with  $\delta_1 > 0$ ,  $\delta_2 > 0$ , and  $\delta_1 \neq \delta_2$ . In other words, consider two uncoupled heat equations which of course form a symmetric strongly parabolic system. Introduce next the modified variable  $\mathbf{w}^* = (w_1 + w_2, \delta w_2)^t$  where  $\delta > 0$  is a positive parameter. We then have  $\partial_t \mathbf{w}^* - \mathcal{D}' \Delta \mathbf{w}^* = 0$  with

$$\mathcal{D}' = \begin{bmatrix} \delta_1 & \frac{\delta_2 - \delta_1}{\delta} \\ 0 & \delta_2 \end{bmatrix},$$

so that if  $0 < \delta < |\delta_2 - \delta_1| / (2\sqrt{\delta_1 \delta_2})$  the quadratic form associated with  $\mathcal{D}'$  is not positive definite and the system in the  $\mathbf{w}^*$  variable is not strongly parabolic *in the usual sense* even though it is obtained from a trivially strongly parabolic system.

**Definition 6.14** Denoting  $\bar{\mathbf{B}}(\mathbf{w}, \boldsymbol{\xi}) = \sum_{i, j \in C} \xi_i \xi_j \bar{\mathbf{B}}_{ij}(\mathbf{w})$ , a system (145) is said to be parabolic in the sense of Petrovsky at a given point  $\mathbf{w}$  if there exists a positive constant  $\delta$  such that for any  $\boldsymbol{\xi} \in \mathbb{R}^d$ , the eigenvalues  $\lambda$  of  $(\bar{\mathbf{A}}_0(\mathbf{w}))^{-1} \bar{\mathbf{B}}(\mathbf{w}, \boldsymbol{\xi})$ , are such that

$$\Re(\lambda) \geq \delta |\boldsymbol{\xi}|^2. \tag{148}$$



Various other generalized definitions of parabolicity are discussed in the book of Ladyženskaja et al. [87], in particular that of Douglis and Nirenberg, Shirota, and Eidel'man, but these definitions coincide with that of Petrovsky for second-order systems [87] and also coincide with the notion of normal ellipticity. For symmetric systems, we now have the following equivalence result [101].

**Proposition 6.15** *Consider a second-order system in the form*

$$\overline{\mathbf{A}}_0(\mathbf{w})\partial_t \mathbf{w} = \sum_{i,j \in \mathcal{C}} \overline{\mathbf{B}}_{ij}(\mathbf{w})\partial_i \partial_j \mathbf{w} + \overline{\mathbf{\Omega}}(\mathbf{w}, \partial_x \mathbf{w}),$$

*and assume that the system is symmetric. Then the system is strongly parabolic at  $\mathbf{w}$  if and only if it is Petrovsky parabolic at  $\mathbf{w}$ .*

*Sketch of the proof.* The eigenvalues of  $(\overline{\mathbf{A}}_0(\mathbf{w}))^{-1}\overline{\mathbf{B}}(\mathbf{w}, \boldsymbol{\xi})$  are essentially Rayleigh quotients with respect to the scalar product  $\langle\langle x, y \rangle\rangle = \langle \overline{\mathbf{A}}_0 x, y \rangle$ .  $\square$

From a practical point of view, for systems of partial differential equations derived from physics, thanks to the existence of a mathematical entropy, we can use symmetrized systems of partial differential equations and then rely of the proper definition of strongly parabolic systems 6.12. In addition, strongly parabolicity is then invariant by a change of variable for symmetric systems, after multiplication of the left by the transpose of the jacobian matrix. Considering for instance the previous system  $\partial_t \mathbf{w} - \mathcal{D}\Delta \mathbf{w} = 0$  where  $\mathbf{w} = (\mathbf{w}_1, \mathbf{w}_2)^t$ ,  $\Delta \mathbf{w} = (\Delta \mathbf{w}_1, \Delta \mathbf{w}_2)^t$ , and  $\mathcal{D} = \text{diag}(\delta_1, \delta_2)$ , with  $\delta_1 > 0$ ,  $\delta_2 > 0$ , and letting  $\mathbf{w}'^* = (\mathbf{w}_1 + \mathbf{w}_2, \delta \mathbf{w}_2)^t$  where  $\delta > 0$  is a positive parameter and

$$\mathbf{P} = \begin{bmatrix} 1 & 1 \\ 0 & \delta \end{bmatrix}, \quad \mathbf{Q} = \mathbf{P}^{-1},$$

we then have

$$\mathbf{Q}^t \mathbf{Q} \partial_t \mathbf{w}'^* - \mathbf{Q}^t \mathcal{D} \mathbf{Q} \Delta \mathbf{w}'^* = 0,$$

which remains symmetric strongly parabolic.

## 6.4 Natural Entropic form for Multicomponent Flows

We evaluate in this section the natural entropic symmetrized form for the system of partial differential equations modeling multicomponent reactive fluids. We use the mathematical entropy  $\sigma = -S/R$  where the  $1/R$  factor is introduced for convenience. For this particular system of partial differential equations we have  $\mathbf{n} = n + d + 1$ , the velocity components of all quantities in  $\mathbb{R}^{n+d+1}$  are denoted as vectors of  $\mathbb{R}^d$  and the corresponding partitioning is also used for matrices.

**Theorem 6.16** *Assume that (H<sub>1</sub>–H<sub>5</sub>) hold. Then the function  $\sigma = -S/R$  is a mathematical entropy for the system (136) governing multicomponent fluids and the corresponding entropic variable is*

$$\mathbf{v} = (\partial_u \sigma)^t = \frac{1}{RT} \left( g_1 - \frac{1}{2} |\mathbf{v}|^2, \dots, g_n - \frac{1}{2} |\mathbf{v}|^2, \mathbf{v}, -1 \right)^t. \quad (149)$$

The map  $\mathbf{u} \rightarrow \mathbf{v}$  is a  $C^{\alpha-1}$  diffeomorphism from  $\mathcal{O}_u$  onto  $\mathcal{O}_v$ . The system written in term of the entropic variable  $\mathbf{v}$  is

$$\tilde{\mathbf{A}}_0(\mathbf{v}) \partial_t \mathbf{v} + \sum_{i \in \mathcal{C}} \tilde{\mathbf{A}}_i(\mathbf{v}) \partial_i \mathbf{v} = \sum_{i, j \in \mathcal{C}} \partial_i (\tilde{\mathbf{B}}_{ij}(\mathbf{v}) \partial_j \mathbf{v}) + \tilde{\Omega}(\mathbf{v}), \quad (150)$$

with  $\tilde{\mathbf{A}}_0 = \partial_v u$ ,  $\tilde{\mathbf{A}}_i = \mathbf{A}_i \partial_v u$ ,  $\tilde{\mathbf{B}}_{ij} = \mathbf{B}_{ij} \partial_v u$ , and  $\tilde{\Omega} = \Omega$ , and is of the symmetric form. The matrix  $\tilde{\mathbf{A}}_0$  is given by

$$\tilde{\mathbf{A}}_0 = \begin{bmatrix} \Lambda & & \text{Sym} \\ \mathbf{v} \otimes \Lambda \mathbb{I} & \langle \Lambda \mathbb{I}, \mathbb{I} \rangle \mathbf{v} \otimes \mathbf{v} + \rho RT \mathbf{I} & \\ \Lambda \mathbf{e}^{\text{ll}} & \langle \Lambda \mathbf{e}^{\text{ll}}, \mathbb{I} \rangle \mathbf{v}^t + \rho RT \mathbf{v}^t & \Upsilon \end{bmatrix}, \quad (151)$$

where  $\Lambda$  is the diagonal matrix of size  $n$  given by

$$\Lambda = \text{diag}(m_1 \rho_1, \dots, m_n \rho_n),$$

$\mathbf{e}^{\text{ll}}$  is the vector of size  $n$  given by

$$\mathbf{e}^{\text{ll}} = (e_1^{\text{ll}}, \dots, e_n^{\text{ll}})^t,$$

and  $\Upsilon = \langle \Lambda \mathbf{e}^{\text{ll}}, \mathbf{e}^{\text{ll}} \rangle + \rho RT |\mathbf{v}|^2 + RT^2 c_v$ . Since  $\tilde{\mathbf{A}}_0$  is symmetric, we only give its left lower triangular part and write “Sym” in the upper triangular part. Denoting by  $\xi = (\xi_1, \dots, \xi_d)^t$  an arbitrary vector of  $\mathbb{R}^d$  and letting  $\tilde{\mathbf{A}} = \sum_{i \in \mathcal{C}} \xi_i \tilde{\mathbf{A}}_i$ , we have

$$\tilde{\mathbf{A}} = \mathbf{v} \cdot \xi \tilde{\mathbf{A}}_0 + RT \begin{bmatrix} 0 & & \text{Sym} \\ \xi \otimes \varrho & \rho(\xi \otimes \mathbf{v} + \mathbf{v} \otimes \xi) & \\ \mathbf{v} \cdot \xi \varrho^t & \mathbf{v} \cdot \xi \rho \mathbf{v}^t + \rho h^{\text{ll}} \xi^t & 2\rho h^{\text{ll}} \mathbf{v} \cdot \xi \end{bmatrix}. \quad (152)$$

Moreover, we have the decomposition

$$\tilde{\mathbf{B}}_{ij} = \tilde{\mathbf{B}}^{D\lambda} \delta_{ij} + \kappa RT \tilde{\mathbf{B}}_{ij}^s + \eta RT \tilde{\mathbf{B}}_{ij}^n, \quad (153)$$

with

$$\tilde{\mathbf{B}}^{D\lambda} = \frac{RT}{p} \begin{bmatrix} \mathcal{D} & & \text{Sym} \\ 0_{d,n} & 0_{d,d} & \\ (\mathcal{D}\hat{h})^t & 0_{1,d} & \lambda pT + \langle \mathcal{D}\hat{h}, \hat{h} \rangle \end{bmatrix}, \quad (154)$$

where  $\mathcal{D} = (\rho_k \rho_l D_{kl})_{k,l \in S}$  is the matrix of size  $n$  with components  $\rho_k \rho_l D_{kl}$  and  $\mathbf{h}$  is the vector of size  $n$  with components  $h_i = h_i + \frac{RT}{m_i} \tilde{\chi}_i$ . Moreover, denoting by  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_d)^t$  and  $\boldsymbol{\zeta} = (\zeta_1, \dots, \zeta_d)^t$  arbitrary vectors of  $\mathbb{R}^d$ , the matrices  $\tilde{\mathbf{B}}_{ij}^\kappa$  and  $\tilde{\mathbf{B}}_{ij}^\eta$ ,  $i, j \in C$ , are given by

$$\sum_{i,j \in C} \xi_i \zeta_j \tilde{\mathbf{B}}_{ij}^\kappa = \begin{bmatrix} 0_{n,n} & 0_{n,d} & 0_{n,1} \\ 0_{d,n} & \boldsymbol{\xi} \otimes \boldsymbol{\zeta} & \mathbf{v} \cdot \boldsymbol{\zeta} \boldsymbol{\xi} \\ 0_{1,n} & \mathbf{v} \cdot \boldsymbol{\xi} \boldsymbol{\zeta}^t & \mathbf{v} \cdot \boldsymbol{\xi} \mathbf{v} \cdot \boldsymbol{\zeta} \end{bmatrix}, \quad (155)$$

$$\sum_{i,j \in C} \xi_i \zeta_j \tilde{\mathbf{B}}_{ij}^\eta = \begin{bmatrix} 0_{n,n} & 0_{n,d} & 0_{n,1} \\ 0_{d,n} & \boldsymbol{\xi} \cdot \boldsymbol{\zeta} \mathbf{I} + \boldsymbol{\zeta} \otimes \boldsymbol{\xi} - \frac{2}{3} \boldsymbol{\xi} \otimes \boldsymbol{\zeta} & \boldsymbol{\xi} \cdot \boldsymbol{\zeta} \mathbf{v} + \mathbf{v} \cdot \boldsymbol{\xi} \boldsymbol{\zeta} - \frac{2}{3} \mathbf{v} \cdot \boldsymbol{\zeta} \boldsymbol{\xi} \\ 0_{1,n} & \boldsymbol{\xi} \cdot \boldsymbol{\zeta} \mathbf{v}^t + \mathbf{v} \cdot \boldsymbol{\zeta} \boldsymbol{\xi}^t - \frac{2}{3} \mathbf{v} \cdot \boldsymbol{\xi} \boldsymbol{\zeta}^t & \boldsymbol{\xi} \cdot \boldsymbol{\zeta} \mathbf{v} \cdot \mathbf{v} + \frac{1}{3} \mathbf{v} \cdot \boldsymbol{\xi} \mathbf{v} \cdot \boldsymbol{\zeta} \end{bmatrix}. \quad (156)$$

Finally, the equilibrium manifold is given by

$$\mathcal{E} = (M\mathcal{R})^\perp \times \mathbb{R}^d \times \mathbb{R}, \quad (157)$$

where  $\mathcal{R} = \text{span}\{\nu_i, i \in \mathfrak{X}\} \subset \mathbb{R}^n$  is spanned by the reaction vectors and  $M = \text{diag}(m_1, \dots, m_n)$ . Moreover, at an equilibrium point  $\mathbf{v}^*$  according to Definition 5.3 where  $\tilde{\Omega}(\mathbf{v}^*) = 0$ , the linearized source term  $\tilde{\mathbf{L}}(\mathbf{v}^*) = -\partial_{\mathbf{v}} \tilde{\Omega}(\mathbf{v}^*)$  is in the form

$$\tilde{\mathbf{L}}(\mathbf{v}^*) = \sum_{i \in \mathfrak{X}} \Lambda_i \mathbf{p}_i \otimes \mathbf{p}_i, \quad (158)$$

where  $\mathbf{p}_i = (m_1 \nu_{1i}, \dots, m_n \nu_{ni}, \mathbf{0}, 0)^t$  and  $\Lambda_i = \mathcal{K}_i^f(T^*) \prod_{k \in S} (\rho_k^* / m_k)^{\nu_{ki}^f}$ .

*Sketch of the proof.* The proof is lengthy but present no serious difficulty and we refer the reader to [9, 95, 101].  $\square$

*Remark 6.17* For ideal fluids, the symmetrizing change of variable  $\mathbf{u} \rightarrow \mathbf{v}$  is one to one and is thus a global change of variable [9, 95]. On the contrary, for nonideal fluid, even though the entropy  $\sigma$  is globally defined, a typical situation is that of distinct points  $\mathbf{u}^\sharp$  and  $\mathbf{u}^\flat$  such that  $\mathbf{v}^\sharp = \mathbf{v}^\flat$ . Indeed, we see from (149) that the equality  $\mathbf{v}^\sharp = \mathbf{v}^\flat$  corresponds to the chemical equilibrium between the two stable phases  $\mathbf{u}^\sharp$  and  $\mathbf{u}^\flat$  with identical pressure, temperature and Gibbs functions, that may notably be observed for nonideal fluids.

For mixtures of ideal gases, there is also a uniqueness theorem for mathematical entropies that are independent of transport coefficients [103]. This result strengthens the representation theorem of normal variable as well as the importance of the natural entropic symmetrized form.

**Theorem 6.18** *Let  $\tilde{\sigma}$  be a  $C^\infty$  function defined on the open set  $\mathcal{O}_U$  satisfying  $(E_2)$ ,  $(E_3)$  and such that  $\partial_{\mathbf{v}}^2 \tilde{\sigma}$  is invertible. Assuming that  $\tilde{\sigma}$  is independent of the mass and heat diffusion parameters, then  $\tilde{\sigma}$  is in the form*

$$\tilde{\sigma} = \alpha_S \mathcal{S} + \sum_{i \in S} \alpha_i \rho_i + \alpha_v \rho v + \alpha_{\mathcal{E}} (\mathcal{E} + \frac{1}{2} \rho |v|^2), \quad (159)$$

where  $\alpha_S$ ,  $\alpha_i$ ,  $i \in S$ ,  $\alpha_v$  and  $\alpha_{\mathcal{E}}$  are constants.

This shows in particular that mathematical entropies independent of transport parameters—a somewhat natural condition—are indeed unique up to an affine transform, once the trivial factors proportional to conserved quantities have been eliminated and the corresponding entropic variables are then proportional.

## 6.5 Natural Normal form for Multicomponent Flows

The symmetric system (150) may be rewritten into a normal form, that is, in the form of a symmetric hyperbolic-parabolic composite system, where hyperbolic and parabolic variables are split [89, 92, 95, 100, 105–108, 113]. We first establish that the nullspace invariance property holds for multicomponent flows.

**Lemma 6.19** *The nullspace of the matrix*

$$\tilde{\mathbf{B}}(v, \xi) = \sum_{i, j \in C} \tilde{\mathbf{B}}_{ij}(v) \xi_i \xi_j,$$

is independent of  $v \in \mathcal{O}_v$  and  $\xi \in \Sigma^{d-1}$  and given by

$$N(\tilde{\mathbf{B}}) = \mathbb{R}(\mathbf{1}, \mathbf{0}, 0)^t,$$

and we have  $\tilde{\mathbf{B}}_{ij}(v)N(\tilde{\mathbf{B}}) = 0$ ,  $i, j \in C$ , for  $v \in \mathcal{O}_v$ .

*Proof* Letting  $\mathbf{x} = (\mathbf{x}_1, \dots, \mathbf{x}_n, \mathbf{x}_v, \mathbf{x}_T)^t$ , with  $\mathbf{x}_\rho = (\mathbf{x}_1, \dots, \mathbf{x}_n)^t$ ,  $\mathbf{x}_v = (\mathbf{x}_{n+1}, \dots, \mathbf{x}_{n+d})^t$ , it is obtained after some algebra with  $\xi \in \Sigma^{d-1}$  that

$$\begin{aligned} \langle \tilde{\mathbf{B}}\mathbf{x}, \mathbf{x} \rangle &= \kappa RT (\xi \cdot (\mathbf{x}_v + v\mathbf{x}_T))^2 + \eta RT \left( \frac{1}{3} (\xi \cdot (\mathbf{x}_v + v\mathbf{x}_T))^2 + |\mathbf{x}_v + v\mathbf{x}_T|^2 \right) \\ &\quad + \frac{RT}{p} \sum_{i, j \in S} \mathcal{D}_{ij}(\mathbf{x}_i + h_i \mathbf{x}_T)(\mathbf{x}_j + h_j \mathbf{x}_T) + \lambda RT^2 \mathbf{x}_T^2. \end{aligned}$$

Assuming that  $\langle \tilde{\mathbf{B}}\mathbf{x}, \mathbf{x} \rangle = 0$  we thus obtain that successively that  $\mathbf{x}_T = 0$  and  $\mathbf{x}_v = \mathbf{0}$  and next since  $N(\tilde{\mathcal{D}}) = \mathbb{R}\mathbf{1}$ , we deduce that  $(\mathbf{x}_1, \dots, \mathbf{x}_n)^t \in \mathbb{R}\mathbf{1}$ . We have thus established that  $N(\tilde{\mathbf{B}})$  is spanned by  $(1, \dots, 1, \mathbf{0}, 0)^t$  and it is easily checked that  $\tilde{\mathbf{B}}_{ij}(v)N(\tilde{\mathbf{B}}) = 0$ ,  $i, j \in C$ , for  $v \in \mathcal{O}_v$ .  $\square$

Since  $N(\tilde{\mathbf{B}})$  is spanned by  $(\mathbf{1}, \mathbf{0}, 0)^t$ , we define the matrix  $\mathbf{P}$  by

$$\mathbf{P} = \begin{bmatrix} 1 & 0_{1,n-1} & 0_{1,d} & 0 \\ 1_{n-1,1} & I_{n-1} & 0_{n-1,d} & 0_{n-1,1} \\ 0_{d,1} & 0_{d,n-1} & \mathbf{I} & 0_{d,1} \\ 0 & 0_{1,n-1} & 0_{1,d} & 1 \end{bmatrix}, \quad (160)$$

and we may introduce the auxiliary variable  $\mathbf{u}' = \mathbf{P}^t \mathbf{u}$  and the corresponding entropic variable  $\mathbf{v}' = \mathbf{P}^{-1} \mathbf{v}$  given by

$$\mathbf{u}' = \left( \rho, \rho_2, \dots, \rho_n, \rho \mathbf{v}, \mathcal{E} + \frac{1}{2} \rho |\mathbf{v}|^2 \right)^t$$

and

$$\mathbf{v}' = \frac{1}{RT} \left( g_1 - \frac{1}{2} |\mathbf{v}|^2, g_2 - g_1, \dots, g_n - g_1, \mathbf{v}, -1 \right)^t.$$

From the representation theorem 6.5, we deduce that all normal forms of the system (138) are obtained with variables  $\mathbf{w}$  in the form

$$\mathbf{w} = \left( \mathcal{F}_I(\rho), \mathcal{F}_{II} \left( \frac{g_2 - g_1}{RT}, \dots, \frac{g_n - g_1}{RT}, \frac{\mathbf{v}}{T}, \frac{-1}{RT} \right) \right)^t, \quad (161)$$

where  $\mathcal{F}_I$  and  $\mathcal{F}_{II}$  are diffeomorphism of  $\mathbb{R}$  and  $\mathbb{R}^{n+d}$ . In the following theorem, we evaluate the normal form corresponding to the natural normal variable.

**Theorem 6.20** *Assume that (H<sub>1</sub>–H<sub>5</sub>) hold and consider the normal variable*

$$\mathbf{w} = \left( \rho, \frac{g_2 - g_1}{RT}, \dots, \frac{g_n - g_1}{RT}, \frac{\mathbf{v}}{RT}, \frac{-1}{RT} \right)^t, \quad (162)$$

and the diffeomorphism  $\mathbf{v} \mapsto \mathbf{w}$  from  $\mathcal{O}_{\mathbf{v}}$  onto the open set  $\mathcal{O}_{\mathbf{w}} = (0, \infty) \times \mathbb{R}^{n-1} \times \mathbb{R}^d \times (-\infty, 0)$ . Then the system of partial differential equations in normal form may be written

$$\bar{\mathbf{A}}_0(\mathbf{w}) \partial_t \mathbf{w} + \sum_{i \in C} \bar{\mathbf{A}}_i(\mathbf{w}) \partial_i \mathbf{w} = \sum_{i,j \in C} \partial_i (\bar{\mathbf{B}}_{ij}(\mathbf{w}) \partial_j \mathbf{w}) + \bar{\Omega}(\mathbf{w}), \quad (163)$$

where the matrix  $\bar{\mathbf{A}}_0$  is given by

$$\bar{\mathbf{A}}_0 = \begin{bmatrix} \bar{\mathbf{A}}_0^{1,1} & \text{Sym} \\ 0_{n+d,1} & \bar{\mathbf{A}}_0^{II,II} \end{bmatrix},$$

with

$$\bar{\mathbf{A}}_0^{i,1} = \frac{1}{\langle \Lambda \mathbf{II}, \mathbf{II} \rangle} \quad \bar{\mathbf{A}}_0^{ii,ii} = \frac{1}{\langle \Lambda \mathbf{II}, \mathbf{II} \rangle} \begin{bmatrix} \bar{\mathcal{A}}^{ii,ii} & & Sym \\ 0_{d,n-1} & \langle \Lambda \mathbf{II}, \mathbf{II} \rangle \rho RT \mathbf{I} & \\ \mathbf{a}^t & \langle \Lambda \mathbf{II}, \mathbf{II} \rangle \rho RT \mathbf{v}^t & \bar{\Upsilon} \end{bmatrix}.$$

The matrix  $\bar{\mathcal{A}}^{ii,ii}$  is the square matrix of dimension  $n - 1$  with coefficients

$$\bar{\mathcal{A}}_{kl}^{ii,ii} = \langle \Lambda \mathbf{II}, \mathbf{II} \rangle \Lambda_{kl} - (\Lambda \mathbf{II})_k (\Lambda \mathbf{II})_l, \quad 2 \leq k, l \leq n,$$

$\mathbf{a}$  is the vector of dimension  $n - 1$  with coefficients

$$a_l = \langle \Lambda \mathbf{II}, \mathbf{II} \rangle (\Lambda e^{ll})_l - (\Lambda \mathbf{II})_l \langle \Lambda e^{ll}, \mathbf{II} \rangle, \quad 2 \leq l \leq n,$$

and  $\bar{\Upsilon}$  is given by

$$\bar{\Upsilon} \langle \Lambda \mathbf{II}, \mathbf{II} \rangle \langle \Lambda e^{ll}, e^{ll} \rangle - \langle \Lambda e^{ll}, \mathbf{II} \rangle^2 + \langle \Lambda \mathbf{II}, \mathbf{II} \rangle \rho RT (c_v T + |\mathbf{v}|^2),$$

keeping in mind that  $\mathbf{II} = (1, \dots, 1)^t$ ,  $e^{ll} = (e_1^{ll}, \dots, e_n^{ll})^t$ , and that  $\Lambda = \text{diag}(m_1 \rho_1, \dots, m_n \rho_n)$ . Denoting by  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_d)^t$  an arbitrary vector of  $\mathbb{R}^d$ , the matrices  $\bar{\mathbf{A}}_i$ ,  $i \in C$ , are given by

$$\sum_{i \in C} \xi_i \bar{\mathbf{A}}_i = \bar{\mathbf{A}}_0 \mathbf{v} \cdot \boldsymbol{\xi} + \frac{\rho RT}{\langle \Lambda \mathbf{II}, \mathbf{II} \rangle} \begin{bmatrix} 0 & & Sym \\ 0_{n-1,1} & 0_{n-1,n-1} & \\ \boldsymbol{\xi} & \boldsymbol{\xi} \otimes \mathbf{y} & 0_{d,d} \\ \mathbf{v} \cdot \boldsymbol{\xi} & \mathbf{v} \cdot \boldsymbol{\xi} \mathbf{y}^t & \gamma \boldsymbol{\xi}^t & 2\gamma \mathbf{v} \cdot \boldsymbol{\xi} \end{bmatrix},$$

where  $\mathbf{y}$  is the vector of dimension  $n - 1$  with components

$$y_l = \langle \Lambda \mathbf{II}, \mathbf{II} \rangle y_l - (\Lambda \mathbf{II})_l, \quad 2 \leq l \leq n,$$

and

$$\gamma = \langle \Lambda \mathbf{II}, \mathbf{II} \rangle h^{ll} - \langle \Lambda e^{ll}, \mathbf{II} \rangle.$$

The matrices  $\bar{\mathbf{B}}_{ij}$  have the structure

$$\bar{\mathbf{B}}_{ij} = \delta_{ij} \bar{\mathbf{B}}^{D\lambda} + RT \kappa \bar{\mathbf{B}}_{ij}^{\kappa} + RT \eta \bar{\mathbf{B}}_{ij}^{\eta},$$

where

$$\bar{\mathbf{B}}_{ij}^{\kappa} = \tilde{\mathbf{B}}_{ij}^{\kappa}, \quad \bar{\mathbf{B}}_{ij}^{\eta} = \tilde{\mathbf{B}}_{ij}^{\eta}, \quad i, j \in C,$$

whereas  $\bar{\mathbf{B}}^{D\lambda}$  has its first line and first column composed of zeros and its lower right block  $\bar{\mathbf{B}}^{D\lambda, \mathbf{II}, \mathbf{II}}$  equal to  $\tilde{\mathbf{B}}^{D\lambda, \mathbf{II}, \mathbf{II}}$  so that

$$\bar{B}^{D\lambda} = \frac{RT}{p} \begin{bmatrix} 0 & & & & \text{Sym} \\ 0 & \mathcal{D}_{n-1,n-1} & & & \\ 0 & 0_{d,n} & 0_{d,d} & & \\ 0 & (\mathcal{D}\mathfrak{h})_{n-1}^t & 0_{1,d} & \lambda p T + \langle \mathcal{D}\mathfrak{h}, \mathfrak{h} \rangle & \end{bmatrix},$$

where  $\mathcal{D}_{n-1,n-1}$  is the matrix of size  $n - 1$  with coefficients  $(\rho_k \rho_l D_{kl})_{2 \leq k, l \leq n}$  and  $(\mathcal{D}\mathfrak{h})_{n-1}$  are the  $n - 1$  last components of  $\mathcal{D}\mathfrak{h}$ . Finally, the source term for the normal form is given by

$$\bar{\Omega} = \left( 0, m_2 \omega_2, \dots, m_n \omega_n, \mathbf{0}, 0 \right)^t.$$

*Proof* The proof is lengthy and tedious but presents no serious difficulties.  $\square$

## 7 The Cauchy Problem

The equations governing multicomponent reactive flows have been derived from the kinetic theory of gases and rewritten in normal form. These equations have local smooth solutions [108], global smooth solutions around constant equilibrium states [95] and also traveling wave solutions [119]. We discuss in this section existence theorem around equilibrium states and asymptotic stability. The smooth dependence on a parameter has also been investigated in [97]. We first discuss local strict dissipativity in an abstract setting and then discuss the situation of multicomponent flows and the Cauchy problem [89, 92, 94, 95, 97–102].

### 7.1 Local Dissipativity

We present in this section the dissipativity properties around equilibrium states that are needed in order to establish global existence and asymptotic stability [89, 95, 106]. We consider a symmetrizable quasilinear system, assume that the nullspace invariance property (N) holds, and that the system has been rewritten in normal form.

We assume that there exists an equilibrium state  $u^* \in \mathcal{O}_u$  with  $\Omega(u^*) = 0$  and we denote by  $v^*$  and  $w^*$  the corresponding constant state in the  $v$  and  $w$  variables respectively. If we linearize system (139) around the constant stationary state  $w^*$ , we obtain a linear system in the variable  $\delta w = w - w^*$  in the form

$$\bar{A}_0(w^*) \partial_t \delta w + \sum_{i \in C} \bar{A}_i(w^*) \partial_i \delta w - \sum_{i, j \in C} \bar{B}_{ij}(w^*) \partial_i \partial_j \delta w + \bar{L}(w^*) \delta w = 0, \quad (164)$$

where  $\bar{L}(\mathbf{w}^*)$  is defined by  $\bar{L}(\mathbf{w}^*) = -\partial_{\mathbf{w}}\bar{\Omega}(\mathbf{w}^*)$ . Investigating smooth global solutions around constant equilibrium states require such linearized normal forms to be strictly dissipative [89]. When the source term of the normal form is in quasilinear form, the matrix  $\bar{L}$  is positive semi-definite. However, since  $\mathbf{w}^*$  is an equilibrium point, this is a general property deduced from (S7) [101].

**Lemma 7.1** *Consider a system of conservation law with an entropy and assume that the system is written in normal form (139). Assuming that  $\mathbf{w}^*$  is such that  $\bar{\Omega}(\mathbf{w}^*) = 0$ , then, letting  $\bar{L} = -\partial_{\mathbf{w}}\bar{\Omega}$ , the matrix  $\bar{L}(\mathbf{w}^*)$  is symmetric positive semi-definite.*

By Fourier transform, the spectral problem associated with the linear system of partial differential equations (164) reads

$$\gamma\bar{A}_0(\mathbf{w}^*)\phi + \left( i\zeta\bar{A}(\mathbf{w}^*, \xi) + \zeta^2\bar{B}(\mathbf{w}^*, \xi) + \bar{L}(\mathbf{w}^*) \right)\phi = 0, \quad (165)$$

where  $\zeta \in \mathbb{R}$ ,  $i^2 = -1$ ,  $\xi \in \Sigma^{d-1}$ ,  $\phi \in \mathbb{C}^n$ ,  $\bar{A}(\mathbf{w}^*, \xi) = \sum_{i \in C} \bar{A}_i(\mathbf{w}^*)\xi_i$  and

$$\bar{B}(\mathbf{w}^*, \xi) = \sum_{i,j \in C} \bar{B}_{ij}(\mathbf{w}^*)\xi_i\xi_j, \quad \bar{L}(\mathbf{w}^*) = -\partial_{\mathbf{w}}\bar{\Omega}(\mathbf{w}^*).$$

We denote by  $S(\zeta, w)$  the set of complex numbers  $\gamma$  such that there exists  $\phi \in \mathbb{C}^n$ ,  $\phi \neq 0$ , satisfying (165). The following equivalent forms of the ‘Kawashima condition’ have been established by Shizuta and Kawashima [106] for (K1–K4) and Beauchard and Zuazua [117] for (K5).

**Theorem 7.2** *Assume that the matrix  $\bar{A}_0(\mathbf{w}^*)$  is symmetric positive definite, that the matrices  $\bar{A}_i(\mathbf{w}^*)$ ,  $i \in C$ , are symmetric, that the reciprocity relations  $\bar{B}_{ij}(\mathbf{w}^*)^t = \bar{B}_{ji}(\mathbf{w}^*)$ ,  $i, j \in C$  hold, that the matrix  $\bar{B}(\mathbf{w}^*, \xi) = \sum_{i,j \in C} \bar{B}_{ij}(\mathbf{w}^*)\xi_i\xi_j$  is positive semi-definite for  $\xi \in \Sigma^{d-1}$ , that  $\bar{L}(\mathbf{w}^*)$  is symmetric positive semi-definite, and denote  $\bar{A}(\mathbf{w}^*, \xi) = \sum_{i \in C} \bar{A}_i(\mathbf{w}^*)\xi_i$ . The system of partial differential equations is said to be strictly dissipative at  $\mathbf{w}^*$  when any of the following equivalent properties holds.*

- (K<sub>1</sub>) *There exists a  $C^\infty$  map  $K : \Sigma^{d-1} \rightarrow \mathbb{R}^{d,d}$  such that for any  $\xi \in \Sigma^{d-1}$  the product  $K(\xi)\bar{A}_0(\mathbf{w}^*)$  is skew-symmetric,  $K(-\xi) = -K(\xi)$ , and  $K(\xi)\bar{A}(\mathbf{w}^*, \xi) + \bar{B}(\mathbf{w}^*, \xi) + \bar{L}(\mathbf{w}^*)$  is positive definite.*
- (K<sub>2</sub>) *For any  $\zeta \in \mathbb{R}$ ,  $\zeta \neq 0$ , and any  $\xi \in \Sigma^{d-1}$ , the eigenvalues  $\gamma \in S(\zeta, \xi)$  have a negative real part  $\Re(\gamma) < 0$ .*
- (K<sub>3</sub>) *Let  $\phi \in \mathbb{R}^n \setminus \{0\}$  such that  $\bar{B}(\mathbf{w}^*, \xi)\phi = 0$  and  $\bar{L}(\mathbf{w}^*)\phi = 0$  for some  $\xi \in \Sigma^{d-1}$ . Then we have  $\zeta\bar{A}_0(\mathbf{w}^*)\phi + \bar{A}(\mathbf{w}^*, \xi)\phi \neq 0$  for any  $\zeta \in \mathbb{R}$ .*
- (K<sub>4</sub>) *There exists  $\delta > 0$  such that for any  $\zeta \in \mathbb{R}$ ,  $\xi \in \Sigma^{d-1}$ , the eigenvalue  $\gamma \in S(\zeta, \xi)$  have their real part majorized by  $\Re(\gamma) \leq -\delta \frac{|\zeta|^2}{1+|\zeta|^2}$ .*



**(K<sub>5</sub>)** Letting  $\widehat{A}^* = (\overline{A}_0(w^*))^{-1} \overline{A}(w^*, \xi)$  and

$$\widehat{B}^* = (\overline{A}_0(w^*))^{-1} (\overline{B}(w^*, \xi) + \overline{L}(w^*)),$$

the Kalman condition  $\text{rank}[\widehat{B}^*, \widehat{A}^* \widehat{B}^*, \dots, (\widehat{A}^*)^{n-1} \widehat{B}^*] = n$  holds.

A physical interpretation of the ‘Kawashima condition’ (K<sub>1</sub>–K<sub>5</sub>) is that all waves associated with the hyperbolic operator  $\overline{A}_0(w^*) \partial_t + \sum_{i \in C} \overline{A}_i(w^*) \partial_i$  lead to dissipation, i.e., entropy production, since there are not in the nullspace of  $\overline{B}$ , as shown by (K<sub>3</sub>). Only the symmetric part of the product  $K(\xi) \overline{A}(w^*, \xi)$  plays a role in (K<sub>1</sub>). The traditional Kalman condition involving the  $n^2 \times n$  matrix with first block  $\widehat{B}^*$ , second block  $\widehat{B}^* \widehat{A}^*$ , and  $k$ th block  $\widehat{B}^* (\widehat{A}^*)^{k-1}$  has been rewritten in the form (K<sub>5</sub>) with a  $n \times n^2$  matrix thanks to the symmetry of  $\overline{A}_0(w^*)$ ,  $\overline{B}(w^*, \xi)$  and  $\overline{L}(w^*)$ .

*Remark 7.3* It is not known in general if the matrix  $K(\xi)$  may be written  $\sum_{j \in C} K_j \xi_j$  although it is generally possible to obtain compensating matrices in this form in practical applications.

## 7.2 Existence of Solutions

Local in time solutions [108] may first be obtained by using a normal form as well as a general existence theorem from Volpert and Hujave [105]. The corresponding existence result in [108] has been presented for a more general fluid with vibrational disequilibrium but also directly applies to the system of partial differential equations presented in the previous sections.

On the other hand, global solutions around equilibrium states may also be obtained using the local strict dissipative properties for multicomponent flows. The existence of chemical equilibrium points is first a consequence of the structural properties of thermochemistry and is traditionally obtained by minimizing a thermodynamic function [9].

**Proposition 7.4** For  $T^* > 0$  and  $\varrho^c \in (0, \infty)^n$  there exists a unique equilibrium point  $u^*$  associated with  $z^* = (\rho_1^*, \dots, \rho_n^*, \mathbf{0}, T^*)^t$  such that  $\varrho^* - \varrho^c \in M\mathcal{R}$ .

The system of partial differential equations governing multicomponent reactive flows written in normal form is then strictly dissipative [89, 92, 95, 97, 106].

**Proposition 7.5** Consider an equilibrium state  $w^*$  as obtained in Proposition 7.4. Then the linearized normal form at  $w^*$  is strictly dissipative.

*Proof* Using for convenience the characterization (K<sub>3</sub>), we consider  $\xi \in \Sigma^{d-1}$  and assume that  $\phi \neq 0$  is such that  $\overline{B}(w^*, \xi)\phi = \overline{L}(w^*)\phi = 0$ . We first establish that  $\phi = \alpha(1, 0, \dots, 0)^t$  for some  $\alpha \neq 0$ .

From the expression of  $\bar{\mathbf{B}}$  in Theorem 6.20, letting

$$\phi = (\phi_1, \dots, \phi_n, \phi_{\mathbf{v}}, \phi_T)^t,$$

we obtain

$$\begin{aligned} \langle \bar{\mathbf{B}}\phi, \phi \rangle &= \kappa RT (\boldsymbol{\xi} \cdot (\phi_{\mathbf{v}} + \mathbf{v}\phi_T))^2 + \eta RT \left( \frac{1}{3} (\boldsymbol{\xi} \cdot (\phi_{\mathbf{v}} + \mathbf{v}\phi_T))^2 + |\phi_{\mathbf{v}} + \mathbf{v}\phi_T|^2 \right) \\ &+ \frac{RT}{P} \sum_{2 \leq i, j \leq n} \mathcal{D}_{ij} (\phi_i + h_i \phi_T) (\phi_j + h_j \phi_T) + \lambda RT^2 \phi_T^2. \end{aligned}$$

This is a sum of nonnegative terms and if it is zero, we successively deduce that  $\phi_T = 0$  and then that  $\phi_{\mathbf{v}} = 0$  and finally that  $\sum_{2 \leq i, j \leq n} \mathcal{D}_{ij} \phi_i \phi_j = 0$ . Since  $N(D) = \mathbb{R}\mathbf{y}$  we have  $N(\mathcal{D}) = \mathbb{R}\mathbf{I}$  so that  $\mathcal{D}$  is positive definite for vectors in the form  $(0, \phi_2, \dots, \phi_n)^t$  and  $\phi_2 = \dots = \phi_n = 0$ . We have established that  $\phi$  is proportional to  $(1, 0, \dots, 0)^t$  and such vectors are also in the nullspace of  $\bar{\mathbf{L}}$ . Indeed, using (158) and  $\bar{\mathbf{L}}(\mathbf{w}^*) = (\partial_{\mathbf{w}} \mathbf{v}(\mathbf{w}^*))^t \tilde{\mathbf{L}}(\mathbf{v}^*) \partial_{\mathbf{w}} \mathbf{v}(\mathbf{w}^*)$ , a direct calculation yields

$$\bar{\mathbf{L}}(\mathbf{w}^*) = \sum_{i \in \mathfrak{R}} \Lambda_i \mathbf{p}'_i \otimes \mathbf{p}'_i,$$

where  $\mathbf{p}'_i = (0, m_2 \nu_{2i}, \dots, m_n \nu_{ni}, \mathbf{0}, 0)^t$  so that  $(1, 0, \dots, 0)^t$  is in the nullspace of  $\bar{\mathbf{L}}(\mathbf{w}^*)$ .

We must now establish that for any  $\zeta \in \mathbb{R}$  we have  $\zeta \bar{\mathbf{A}}_0(\mathbf{w}^*)\phi + \bar{\mathbf{A}}(\mathbf{w}^*, \boldsymbol{\xi})\phi \neq 0$  where  $\bar{\mathbf{A}}(\mathbf{w}^*, \boldsymbol{\xi}) = \sum_{i \in \mathcal{C}} \bar{\mathbf{A}}_i(\mathbf{w}^*)\xi_i$ . However, a direct calculation keeping the notation of Theorem 6.16 yields that

$$\frac{\langle \Lambda \mathbf{I}, \mathbf{I} \rangle}{\alpha} \left( \zeta \bar{\mathbf{A}}_0(\mathbf{w}^*)\phi + \bar{\mathbf{A}}(\mathbf{w}^*, \boldsymbol{\xi})\phi \right) = (\zeta + \mathbf{v} \cdot \boldsymbol{\xi}) \begin{bmatrix} \mathbf{e}_1 \\ \mathbf{0} \\ 0 \end{bmatrix} + \rho RT \begin{bmatrix} 0_{1,n} \\ \boldsymbol{\xi} \\ \mathbf{v} \cdot \boldsymbol{\xi} \end{bmatrix},$$

where  $\mathbf{e}_1 \in \mathbb{R}^n$  is the first basis vector  $\mathbf{e}_1 = (1, 0, \dots, 0)^t$  of  $\mathbb{R}^n$ . As a consequence, the vector  $\zeta \bar{\mathbf{A}}_0(\mathbf{w}^*)\phi + \bar{\mathbf{A}}(\mathbf{w}^*, \boldsymbol{\xi})\phi$  may have its first component zero with  $\zeta = -\mathbf{v} \cdot \boldsymbol{\xi}$  but its velocity components never vanish since  $\boldsymbol{\xi} \in \Sigma^{d-1}$  and  $(\mathbf{K}_3)$  holds.  $\square$

The local strict dissipativity properties now imply global existence and asymptotic stability of equilibrium states [89, 95, 97]. The existence proof mainly consists in establishing a priori estimates for linearized equations, then a local existence theorem, next a priori estimates independent of the time interval using strict dissipativity and finally using the local existence repeatedly [89, 95, 97, 106, 107, 118]. We present such a theorem for the equations governing multicomponent reactive flows in their natural normal form.

**Theorem 7.6** *Let  $d \geq 1$ ,  $l \geq [d/2] + 2$ , and consider the equations governing multicomponent reactive flows in normal form  $\mathbf{w} = (\mathbf{w}_1, \mathbf{w}_{\mathbb{I}})^t$  with*

$$w_I = \rho, \quad w_{II} = \left( \frac{g_2 - g_1}{RT}, \dots, \frac{g_n - g_1}{RT}, \frac{\mathbf{v}}{RT}, \frac{-1}{RT} \right)^t. \quad (166)$$

Let  $\mathbf{w}^*$  be a chemical equilibrium state as in Proposition 7.4. If the initial condition  $\mathbf{w}^0(x)$  is such that  $\|\mathbf{w}^0 - \mathbf{w}^*\|_{H^l}$  is small enough, then the Cauchy problem with  $\mathbf{w}(0, x) = \mathbf{w}^0(x)$  has a global solution with

$$w_I - w_I^* \in C^0([0, \infty); H^l) \cap C^1([0, \infty); H^{l-1}), \quad (167)$$

$$w_{II} - w_{II}^* \in C^0([0, \infty); H^l) \cap C^1([0, \infty); H^{l-2}). \quad (168)$$

Moreover we have the estimates

$$\|\mathbf{w}(t) - \mathbf{w}^*\|_{H^l}^2 + \int_0^t (\|\nabla w_I(\tau)\|_{H^{l-1}}^2 + \|\nabla w_{II}(\tau)\|_{H^l}^2) d\tau \leq C \|\mathbf{w}^0 - \mathbf{w}^*\|_{H^l}^2,$$

and  $\sup_{\mathbb{R}^d} |\mathbf{w}(t) - \mathbf{w}^*|$  goes to zero as  $t \rightarrow \infty$ .

From Theorem 7.6 the equilibrium point  $\mathbf{w}^*$  is asymptotically stable and with stronger assumptions it is also possible to obtain decay estimates [95].

**Theorem 7.7** *Let  $d \geq 1$ ,  $l \geq [d/2] + 3$  and assume that the initial condition  $\mathbf{w}^0(x)$  is such that  $\mathbf{w}^0 - \mathbf{w}^* \in H^l(\mathbb{R}^d) \cap L^p(\mathbb{R}^d)$ , where  $p = 1$ , if  $d = 1$ , and  $p \in [1, 2)$ , if  $d \geq 2$ . Then if  $\|\mathbf{w}^0 - \mathbf{w}^*\|_{H^l} + \|\mathbf{w}^0 - \mathbf{w}^*\|_{L^p}$  is small enough, the global solution satisfy the decay estimate*

$$\|\mathbf{w}(t) - \mathbf{w}^*\|_{H^{l-2}} \leq \beta(1+t)^{-\gamma} (\|\mathbf{w}^0 - \mathbf{w}^*\|_{H^{l-2}} + \|\mathbf{w}^0 - \mathbf{w}^*\|_{L^p}),$$

for  $t \in [0, \infty)$ , where  $\beta$  is a positive constant and  $\gamma = d \times (1/2p - 1/4)$ .

Such theorems for hyperbolic-parabolic systems may be used for various other fluid models. They have been notably used for ambipolar plasmas where Poisson Equation is replaced by the zero current limit [97], partial equilibrium flows where some group of chemical reactions are assumed infinitely fast [116], as well as for Saint-Venant equations modeling thin viscous layers over fluid substrates [120].

## 8 Conclusion and Future Directions

We have presented in these notes the kinetic theory of reactive gas mixtures. We have extracted from this molecular setting the corresponding fluid system of partial differential equations as well as the natural assumptions for the system coefficients. We have established that these fluid equations have a hyperbolic-parabolic structure in such a way that they have local in time solutions [108] as well as global solutions around equilibrium states [9, 95, 101]. These equations also have traveling wave solutions in the low Mach number limit [119].

The models developed in the previous sections may further be generalized to describe gas mixtures in full vibrational nonequilibrium when each vibrational quantum level is treated as a separate “chemical species” allowing detailed state-to-state relaxation models [108]. When the vibrational quantum levels are partially at equilibrium between them but not at equilibrium with the translational/rotational states—allowing the definition of a vibrational temperature—a different structure is obtained. Models at thermodynamic nonequilibrium with two temperatures have also been investigated and the apparition of a volume viscosity term has been justified mathematically [104, 118].

The mathematical analysis of chemical equilibrium flows has been extended to the situation of partial chemical equilibrium [116]. However, the mathematical structure of numerous simplified chemistry methods is still obscure from a mathematical point of view at variance with partial equilibrium.

Various extensions could also consider initial-boundary value problems [121] with the possibility of inflow or outflow conditions, heat losses, surface reactions with complex heterogeneous chemistry, species surface diffusion or heat surface conduction. Various numerical analysis theoretical results could also be extended to the case of mixtures like convergence results of Petrov-Galerkin ‘Streamline–Diffusion’ finite element techniques [90, 122].

The notion of higher order entropy may also be generalized to the situation of multicomponent flows [123–126] as well as the singular limit of small Mach number flow [127, 128]. Multiphase flows with sprays governed by Boltzmann type equations [6], or derived multifluid sectional models for droplets [129], may also be investigated mathematically.

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