

# From $\omega$ -Regular Expressions to Büchi Automata via Partial Derivatives

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**Abstract.** We extend Brzozowski derivatives and partial derivatives from regular expressions to  $\omega$ -regular expressions and establish their basic properties. We observe that the existing derivative-based automaton constructions do not scale to  $\omega$ -regular expressions. We define a new variant of the partial derivative that operates on linear factors and prove that this variant gives rise to a translation from  $\omega$ -regular expressions to nondeterministic Büchi automata.

**Keywords:** Automata and logic · Omega-regular languages · Derivatives

## 1 Introduction

Brzozowski derivatives [3] and partial derivatives [2] are well-known tools to transform regular expressions to automata and to define algorithms for equivalence and containment on them [1]. Derivatives had quite some impact on the study of algorithms for regular languages on finite words and trees [4, 9], but they received less attention in the study of  $\omega$ -regular languages.

While the extension of Brzozowski derivatives to  $\omega$ -regular expressions is straightforward, the corresponding automaton construction does not easily extend to  $\omega$ -automata. This observation leads Park [6] to suggest resorting to a different acceptance criterion based on transitions. Redziejowski [7] remarks that “the automaton constructed from the derivative has, in general, too few transitions as well as too few states.” As a remedy, Redziejowski presents a construction of a deterministic automaton where states are certain combinations of derivatives with a non-standard transition-based acceptance criterion. In subsequent work, Redziejowski [8] improves on this construction by lowering the number of states and by simplifying some technical details. To the best of our knowledge, these papers [7, 8] are the only attempts to construct  $\omega$ -automata using derivatives.

In comparison, our construction and proof are much simpler, we gain new insights into the structure of linear factors as a stepping stone to partial derivatives, and we obtain a standard nondeterministic Büchi automaton. Because Brzozowski derivatives invariably lead to deterministic automata, we analyze Antimirov’s partial derivatives and identify linear factors as a suitable structure on which we base the construction of a nondeterministic automaton.

**Overview**

Section 2 reviews the basic definitions for ( $\omega$ -) regular expressions and (Büchi) automata. Section 3 reviews Brzozowski derivatives, extends them to  $\omega$ -regular expressions, and demonstrates the failure of the automaton construction based on Brzozowski derivatives. Section 4 introduces Antimirov’s linear factors and partial derivatives, extends them to  $\omega$ -regular expressions, establishes their basic properties, and demonstrates the failure of the automaton construction based on partial derivatives. Section 5 introduces a new notion of partial derivative that operates directly on linear factors of an  $\omega$ -regular expression, defines a Büchi automaton on that basis, and proves its construction correct.

**2 Preliminaries**

An alphabet  $\Sigma$  is a finite set of symbols. The set  $\Sigma^*$  denotes the set of finite words over  $\Sigma$ ,  $\varepsilon \in \Sigma^*$  stands for the empty word; the set  $\Sigma^\omega$  denotes the set of infinite words over  $\Sigma$ . For  $u \in \Sigma^*$ , we write  $u \cdot v$  for the concatenation of words; if  $v \in \Sigma^*$ , then  $u \cdot v \in \Sigma^*$ ; if  $v \in \Sigma^\omega$ , then  $u \cdot v \in \Sigma^\omega$ . Concatenation extends to sets of words as usual:  $U \cdot V = \{u \cdot v \mid u \in U, v \in V\}$  where  $U \subseteq \Sigma^*$  and  $V \subseteq \Sigma^*$  or  $V \subseteq \Sigma^\omega$ .

Given a language  $U \subseteq \Sigma^*$  and  $W \subseteq \Sigma^*$  or  $W \subseteq \Sigma^\omega$ , the *left quotient*  $U^{-1}W = \{v \mid \exists u \in U : uv \in W\}$ . It is a subset of  $\Sigma^*$  or  $\Sigma^\omega$  depending on  $W$ . For a singleton language  $U = \{u\}$ , we write  $u^{-1}W$  for the left quotient.

**Definition 1.** *The set  $R_\Sigma$  of regular expressions over  $\Sigma$  is defined inductively by  $\mathbf{1} \in R_\Sigma$ ,  $\mathbf{0} \in R_\Sigma$ ,  $\Sigma \subseteq R_\Sigma$ , and, for all  $r, s \in R_\Sigma$ ,  $(r.s)$ ,  $(r + s)$ ,  $r^* \in R_\Sigma$ . The explicit bracketing guarantees unambiguous parsing of regular expressions.*

**Definition 2.** *The language denoted by a regular expression is defined inductively by  $\mathcal{L} : R_\Sigma \rightarrow \wp(\Sigma^*)$  as usual.  $\mathcal{L}(\mathbf{1}) = \{\varepsilon\}$ .  $\mathcal{L}(\mathbf{0}) = \{\}$ .  $\mathcal{L}(a) = \{a\}$  (singleton word) for each  $a \in \Sigma$ .  $\mathcal{L}(r.s) = \mathcal{L}(r) \cdot \mathcal{L}(s)$ .  $\mathcal{L}(r + s) = \mathcal{L}(r) \cup \mathcal{L}(s)$ .  $\mathcal{L}(r^*) = \{u_1 \dots u_n \mid n \in \mathbb{N}, u_i \in \mathcal{L}(r)\}$ .*

**Definition 3.** *The operations  $\odot, \oplus : R_\Sigma \times R_\Sigma \rightarrow R_\Sigma$  are smart concatenation and smart union constructors for regular expressions.*

$$r \odot s = \begin{cases} \mathbf{0} & r = \mathbf{0} \vee s = \mathbf{0} \\ r & s = \mathbf{1} \\ s & r = \mathbf{1} \\ (r.s) & \text{otherwise} \end{cases} \quad r \oplus s = \begin{cases} r & s = \mathbf{0} \\ s & r = \mathbf{0} \\ r & r = s \\ (r + s) & \text{otherwise} \end{cases}$$

**Lemma 4.** For all  $r, s$ :  $\mathcal{L}(r \odot s) = \mathcal{L}(r.s)$ ;  $\mathcal{L}(r \oplus s) = \mathcal{L}(r + s)$ .

**Definition 5.** A regular expression  $r$  is nullable if  $\varepsilon \in \mathcal{L}(r)$ . The function  $N : R_\Sigma \rightarrow \{\mathbf{0}, \mathbf{1}\}$  detects nullable expressions:  $N(\mathbf{1}) = \mathbf{1}$ .  $N(\mathbf{0}) = \mathbf{0}$ .  $N(a) = \mathbf{0}$ .  $N(r.s) = N(r) \odot N(s)$ .  $N(r + s) = N(r) \oplus N(s)$ .  $N(r^*) = \mathbf{1}$ .

**Lemma 6.** For all  $r \in R_\Sigma$ .  $N(r) = \mathbf{1}$  iff  $\varepsilon \in \mathcal{L}(r)$ .

**Definition 7.** The set  $R_\Sigma^\omega$  of  $\omega$ -regular expressions over  $\Sigma$  is defined by  $\mathbf{0} \in R_\Sigma^\omega$ ; for all  $\alpha, \beta \in R_\Sigma^\omega$ ,  $(\alpha + \beta) \in R_\Sigma^\omega$ ; for all  $r \in R_\Sigma$  and  $\alpha \in R_\Sigma^\omega$ ,  $(r.\alpha) \in R_\Sigma^\omega$ ; for all  $s \in R_\Sigma$ , if  $\varepsilon \notin \mathcal{L}(s)$ , then  $s^\omega \in R_\Sigma^\omega$ .

*Remark 8.* Definition 7 is equivalent to an alternative definition often seen in the literature, where an  $\omega$ -regular-expression has a *sum-of-product form*  $\sum_{i=1}^n (r_i.s_i^\omega)$  with  $\varepsilon \notin \mathcal{L}(s_i)$ . An easy induction shows that every  $\alpha$  can be rewritten in this form: cases  $\mathbf{0}$ ,  $(\alpha + \beta)$ ,  $s^\omega$ : immediate; case  $(r.\alpha)$ : by induction,  $\alpha$  can be written as  $\sum_{i=1}^n (r_i.s_i^\omega)$ , distributivity and associativity yield  $\sum_{i=1}^n (r.r_i).s_i^\omega$  for  $(r.\alpha)$ . When convenient for a proof, we assume that an expression is in sum-of-product form.

**Definition 9.** The language denoted by an  $\omega$ -regular expression is defined inductively by  $\mathcal{L}^\omega : R_\Sigma^\omega \rightarrow \wp(\Sigma^\omega)$ :  $\mathcal{L}^\omega(\mathbf{0}) = \emptyset$ .  $\mathcal{L}^\omega(\alpha + \beta) = \mathcal{L}^\omega(\alpha) \cup \mathcal{L}^\omega(\beta)$ .  $\mathcal{L}^\omega(r.\alpha) = \mathcal{L}(r) \cdot \mathcal{L}^\omega(\alpha)$ .  $\mathcal{L}^\omega(s^\omega) = \{v_1 v_2 \dots \mid \forall i \in \mathbb{N} : v_i \in \mathcal{L}(s)\}$ .

**Definition 10.** A (nondeterministic) finite automaton (NFA) is a tuple  $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$  where  $Q$  is a finite set of states,  $\Sigma$  an alphabet,  $\delta : Q \times \Sigma \rightarrow \wp(Q)$  the transition function,  $q_0 \in Q$  the initial state, and  $F \subseteq Q$  the set of final states.

Let  $w = a_0 \dots a_{n-1} \in \Sigma^*$  be a word. A run of  $\mathcal{A}$  on  $w$  is a sequence  $q_0 \dots q_n$  such that, for all  $0 \leq i < n$ ,  $q_{i+1} \in \delta(q_i, a_i)$ . The run is accepting if  $q_n \in F$ . The language  $\mathcal{L}(\mathcal{A}) = \{w \in \Sigma^* \mid \exists \text{ accepting run of } \mathcal{A} \text{ on } w\}$  is recognized by  $\mathcal{A}$ .

The automaton  $\mathcal{A}$  is deterministic if  $|\delta(q, a)| = 1$ , for all  $q \in Q$ ,  $a \in \Sigma$ .

**Definition 11.** A (nondeterministic) Büchi-automaton (NBA) is a tuple  $\mathcal{B} = (Q, \Sigma, \delta, Q_0, F)$  where  $Q$  is a finite set of states,  $\Sigma$  an alphabet,  $\delta : Q \times \Sigma \rightarrow \wp(Q)$  the transition function,  $Q_0 \subseteq Q$  the set of initial states, and  $F \subseteq Q$  the set of accepting states.

Let  $w = (a_i)_{i \in \mathbb{N}} \in \Sigma^\omega$  be an infinite word. A run of  $\mathcal{B}$  on  $w$  is an infinite sequence of states  $(q_i)_{i \in \mathbb{N}}$  such that  $q_0 \in Q_0$  and for all  $i \in \mathbb{N}$ :  $q_{i+1} \in \delta(q_i, a_i)$ .

A run  $(q_i)_{i \in \mathbb{N}}$  of  $\mathcal{B}$  is accepting if there exists a strictly increasing sequence  $(n_j)_{j \in \mathbb{N}}$  such that  $q_{n_j} \in F$ , for all  $j \in \mathbb{N}$ . The language  $\mathcal{L}^\omega(\mathcal{B}) = \{w \in \Sigma^\omega \mid \exists \text{ accepting run of } \mathcal{B} \text{ on } w\}$  is recognized by  $\mathcal{B}$ . The automaton  $\mathcal{B}$  is deterministic if  $|Q_0| = 1$  and  $|\delta(q, a)| = 1$ , for all  $q \in Q$ ,  $a \in \Sigma$ .

### 3 Regular Expressions to Finite Automata

The textbook construction to transform a regular expression into a finite automaton is taken from Kleene's work [5]. However, there is an alternative approach based on Brzozowski's idea of derivatives for regular expressions.

Given a regular expression  $r$  and a symbol  $a \in \Sigma$ , the derivative  $r' = d_a(r)$  is a regular expression such that  $\mathcal{L}(r') = \{w \mid aw \in \mathcal{L}(r)\}$ , the left quotient of  $\mathcal{L}(r)$  by the symbol  $a$ . The derivative can be defined symbolically by induction on regular expressions.

**Definition 12 (Brzowski derivative [3]).**

$$\begin{aligned} d_a(\mathbf{0}) &= \mathbf{0} & d_a(r.s) &= (d_a(r) \odot s) \oplus (N(r) \odot d_a(s)) \\ d_a(\mathbf{1}) &= \mathbf{0} & d_a(r + s) &= d_a(r) \oplus d_a(s) \\ d_a(b) &= \begin{cases} \mathbf{1} & a = b \\ \mathbf{0} & a \neq b \end{cases} & d_a(r^*) &= d_a(r) \odot r^* \end{aligned}$$

Brzowski proved the following representation theorem that factorizes a regular language into its  $\varepsilon$ -part and the quotient languages with respect to each symbol of the alphabet.

**Theorem 13 (Representation [3]).**  $\mathcal{L}(r) = \mathcal{L}(N(r)) \cup \bigcup_{a \in \Sigma} \{a\} \cdot \mathcal{L}(d_a(r))$

He further proved that there are only finitely many different regular expressions derivable from a given regular expression. This finiteness result considers expressions modulo a *similarity relation*  $\approx$  that contains (at least) associativity, commutativity, and idempotence of the  $+$  operator as well as considering  $\mathbf{0}$  as the neutral element. We further assume *associativity of concatenation*.

**Definition 14 (Similarity).** *Similarity*  $\approx \subseteq R_\Sigma \times R_\Sigma$  is the smallest compatible relation that encompasses the following elements for all  $r, s, t \in R_\Sigma$ .

$$(r + s) + t \approx r + (s + t) \quad r + s \approx s + r \quad r + r \approx r \quad r + \mathbf{0} \approx r \quad (r.s).t \approx r.(s.t)$$

*Similarity extends to*  $\approx^\omega \subseteq R_\Sigma^\omega \times R_\Sigma^\omega$  as the smallest compatible relation that contains the following elements for all  $\alpha, \beta, \gamma \in R_\Sigma^\omega$ .

$$\begin{aligned} (\alpha + \beta) + \gamma &\approx^\omega \alpha + (\beta + \gamma) & \alpha + \beta &\approx^\omega \beta + \alpha & \alpha + \alpha &\approx^\omega \alpha & \alpha + \mathbf{0} &\approx^\omega \alpha \\ (r.s).\alpha &\approx^\omega r.(s.\alpha) & r \approx s &\Rightarrow (r.t^\omega) \approx^\omega (s.t^\omega) & s \approx t &\Rightarrow (r.s^\omega) \approx^\omega (r.t^\omega) \end{aligned}$$

**Definition 15.** The derivative operator extends to words  $w \in \Sigma^*$  by  $d_\varepsilon(r) = r$ ,  $d_{aw}(r) = d_w(d_a(r))$  and to sets of words  $W \subseteq \Sigma^*$  by  $d_W(r) = \{d_w(r) \mid w \in W\}$ .

**Theorem 16 (Finiteness [3]).** For each  $r \in R_\Sigma$ , the set  $d_{\Sigma^*}(r)/\approx$  is finite.

Taken together, these two theorems yield an effective transformation from a regular expression to a deterministic finite automaton.

**Theorem 17 (DFA from regular expression [3]).** Define the DFA  $\mathcal{D}(r) = (Q, \Sigma, \delta, q_0, F)$  where  $Q = d_{\Sigma^*}(r)/\approx$ , for all  $s \in Q, a \in \Sigma: \delta(s, a) = \{d_a(s)\}$ ,  $q_0 = r$ ,  $F = \{s \in Q \mid N(s) = \mathbf{1}\}$ . Then  $\mathcal{D}(r)$  is a deterministic finite automaton and  $\mathcal{L}(\mathcal{D}(r)) = \mathcal{L}(r)$ .

Let's try to apply an analogous construction to  $\omega$ -regular expressions. We first straightforwardly extend the definition of derivatives [7].

**Definition 18 (Brzowski derivative for  $\omega$ -regular expressions).**

$$\begin{aligned} d_a(\mathbf{0}) &= \mathbf{0} & d_a(r.\alpha) &= (d_a(r) \odot \alpha) \oplus (N(r) \odot d_a(\alpha)) \\ d_a(\alpha + \beta) &= d_a(\alpha) \oplus d_a(\beta) & d_a(s^\omega) &= d_a(s) \odot s^\omega \end{aligned}$$

**Lemma 19.**  $\mathcal{L}^\omega(d_a(\alpha)) = a^{-1}\mathcal{L}^\omega(\alpha)$

**Lemma 20.**  $\mathcal{L}^\omega(\alpha) = \bigcup_{a \in \Sigma} \{a\} \cdot \mathcal{L}^\omega(d_a(\alpha))$ .

The operation  $d_w(\Sigma)$  also yields finitely many derivatives modulo similarity (extended to  $R_\Sigma^\omega \times R_\Sigma^\omega$  in the obvious way), but applying Brzowski’s automata construction analogously results in a *deterministic* Büchi automaton, which is known to be weaker than its nondeterministic counterpart.

*Example 21.* Consider the  $\omega$ -regular expression  $(a + b)^*.b^\omega$  that describes the language of infinite words that contain only finitely many  $as$ . It is known that this language cannot be recognized with a deterministic Büchi automaton. Applying Brzowski’s automaton construction analogously yields the following:

$$\begin{aligned} Q &= \{q_0, q_1\} & \delta(q_0, a) &= q_0 \\ q_0 &= (a + b)^*.b^\omega & \delta(q_0, b) &= q_1 \\ q_1 &= (a + b)^*.b^\omega + b^\omega & \delta(q_1, a) &= q_0 \\ Q_0 &= \{q_0\} & \delta(q_1, b) &= q_1 \end{aligned}$$

As all states “contain” the looping expression  $b^\omega$ , it is not clear which states should be accepting. Furthermore, the automaton is deterministic, so it cannot recognize  $\mathcal{L}^\omega((a + b)^*.b^\omega)$ , regardless.

## 4 Partial Derivatives

As Brzowski’s construction only results in a deterministic automaton, we next consider a construction that yields a nondeterministic automaton. It is based on Antimirov’s *partial derivatives* [2]. The partial derivative  $\partial_a(r)$  of a regular expression  $r$  with respect to  $a$  is a *set* of regular expressions  $\{s_1, \dots, s_n\}$  such that  $\bigcup_{i=1}^n \mathcal{L}(s_i) = \{w \mid aw \in \mathcal{L}(r)\}$ . As a stepping stone to their definition, Antimirov introduces *linear factors* of regular expressions. A linear factor is a pair of a first symbol that can be consumed by the expression and a “remaining” regular expression. The following definition corresponds to Antimirov’s definition [2, Definition 2.4], but we replace the smart constructor  $\odot$  for concatenation (that elides  $\varepsilon$ ) by plain concatenation to simplify the finiteness proof.

**Definition 22 (Linear factors [2]).**

$$\begin{aligned} \text{LF}(\mathbf{0}) &= \{\} & \text{LF}(r.s) &= \text{LF}(r).s \cup N(r) \odot \text{LF}(s) \\ \text{LF}(\mathbf{1}) &= \{\} & \text{LF}(r + s) &= \text{LF}(r) \cup \text{LF}(s) \\ \text{LF}(a) &= \{\langle a, \mathbf{1} \rangle\} & \text{LF}(r^*) &= \text{LF}(r).r^* \end{aligned}$$

where

$$\begin{aligned} \mathbf{0} \odot F &= \{\} & \mathbf{1} \odot F &= F \\ \langle a, r \rangle.s &= \langle a, r.s \rangle & F.s &= \{f.s \mid f \in F\} \end{aligned}$$

Defining the language of a linear factor and a set of linear factors  $F$  by

$$\mathcal{L}(\langle a, r \rangle) = a \cdot \mathcal{L}(r) \quad \mathcal{L}(F) = \bigcup \{ \mathcal{L}(f) \mid f \in F \}$$

we can prove the following results about linear factors by induction on  $r$ .

**Lemma 23.** *If  $\langle a, r' \rangle \in \text{LF}(r)$ , then  $a \cdot \mathcal{L}(r') \subseteq \mathcal{L}(r)$ .*

**Lemma 24.** *If  $av \in \mathcal{L}(r)$ , then there exists  $\langle a, r' \rangle \in \text{LF}(r)$  such that  $v \in \mathcal{L}(r')$ .*

**Lemma 25.** *For all  $r$ ,  $\mathcal{L}(\text{LF}(r)) = \mathcal{L}(r) \setminus \{\varepsilon\}$ .*

We label the symbol for partial derivative with  $A$  to signify Antimirov’s definition. In Section 5, we define a different version of the partial derivative.

**Definition 26 (Partial derivative [2]).**

$$\partial_a^A(r) = \{r' \mid \langle a, r' \rangle \in \text{LF}(r), r' \neq \mathbf{0}\}$$

*Partial derivatives extend to words and sets of words  $W \subseteq \Sigma^*$  in the usual way:*

$$\partial_\varepsilon^A(r) = \{r\} \quad \partial_{aw}^A(r) = \bigcup \{ \partial_w^A(r') \mid r' \in \partial_a^A(r) \} \quad \partial_W^A(r) = \bigcup \{ \partial_w^A(r) \mid w \in W \}$$

Antimirov proves [2, Theorem 3.4] that the set of all partial derivatives of a given regular expression is finite. While his definition of linear factors uses the smart concatenation  $\odot$ , the finiteness proof does not rely on it: it approximates smart concatenation by the standard concatenation operator.

**Theorem 27.** *For any  $r \in R_\Sigma$ ,  $|\partial_{\Sigma^+}^A(r)| \leq \|r\|$  where  $\|r\|$  is the alphabetic width of  $r$  (i.e., the number of occurrences of symbols from  $\Sigma$  in  $r$ ).*

Furthermore, a language can be represented from its partial derivatives.

**Lemma 28.**  $\mathcal{L}(r) = \mathcal{L}(N(r)) \cup \bigcup_{a \in \Sigma} a \cdot \mathcal{L}(\sum \partial_a^A(r))$ .

Here, we write  $\sum \{r_i \mid 1 \leq i \leq n\}$  for  $r_1 + \dots + r_n$ , if  $n > 0$ , or for  $\mathbf{0}$  if  $n = 0$ . We also have the following characterization.

**Lemma 29.** *If  $\partial_a^A(r) = \{s_1, \dots, s_n\}$ , then  $\bigcup_{i=1}^n \mathcal{L}(s_i) = \{w \mid aw \in \mathcal{L}(r)\}$ .*

Antimirov defines a *nondeterministic* automaton for  $\mathcal{L}(r)$  as follows.

**Theorem 30 (NFA from regular expression [2]).** *Define the NFA  $\mathcal{N}(r) = (Q, \Sigma, \delta, q_0, F)$  where  $Q = \partial_{\Sigma^*}^A(r)$ , for all  $s \in Q$ ,  $a \in \Sigma$ :  $\delta(s, a) = \partial_a^A(s)$ ,  $q_0 = r$ ,  $F = \{s \in Q \mid N(s) = \mathbf{1}\}$ . Then  $\mathcal{N}(r)$  is an NFA and  $\mathcal{L}(r) = \mathcal{L}(\mathcal{N}(r))$ .*

**Lemma 31.**  $w \in \mathcal{L}(r)$  iff  $\varepsilon \in \bigcup N(\partial_w^A(r))$ .

*Proof.* By induction on  $w$ .

Base case:  $\varepsilon \in \mathcal{L}(r)$  iff  $\varepsilon \in N(r)$  by Lemma 6. The claim follows because  $N(r) = \bigcup N(\{r\}) = \bigcup N(\{\partial_\varepsilon^A(r)\})$ .

Inductive case: Suppose that  $aw \in \mathcal{L}(r)$  and  $\partial_a^A(r) = \{r_1, \dots, r_k\}$ . By Lemma 29,  $\bigcup_i \mathcal{L}(r_i) = \{v \mid av \in \mathcal{L}(r)\}$  so that  $w \in \bigcup_i \mathcal{L}(r_i)$ , i.e.,  $\exists i: w \in \mathcal{L}(r_i)$ . By induction,  $\varepsilon \in \bigcup N(\partial_w^A(r_i)) \subseteq N(\partial_{aw}^A(r))$ .

For the reverse direction, suppose that  $\varepsilon \in \bigcup N(\partial_{aw}^A(r)) = N(\bigcup \{ \partial_w^A(r') \mid r' \in \partial_a^A(r), r' \neq \mathbf{0} \})$ . Hence, there exists  $r' \in \partial_a^A(r)$  such that  $\varepsilon \in N(\partial_w^A(r'))$ . By induction,  $w \in \mathcal{L}(r')$  and thus, by Lemma 29,  $aw \in \mathcal{L}(r)$ .  $\square$

To scale the definition from Theorem 30 to  $\omega$ -regular expressions we need to extend Definition 22.

**Definition 32 ( $\omega$ -Linear factors).** Define  $\text{LF} : R_{\Sigma}^{\omega} \rightarrow \Sigma \times R_{\Sigma}^{\omega} \times \{0, 1\}$  by

$$\begin{aligned} \text{LF}(\mathbf{0}) &= \emptyset & \text{LF}(r.\alpha) &= \text{LF}(r).\alpha \times \{0\} \cup N(r) \odot \text{LF}(\alpha) \\ \text{LF}(\alpha + \beta) &= \text{LF}(\alpha) \cup \text{LF}(\beta) & \text{LF}(s^{\omega}) &= \text{LF}(s).s^{\omega} \times \{1\} \end{aligned}$$

Compared to the linear factor of a regular expression, an  $\omega$ -linear factor is a triple of a next symbol, an  $\omega$ -regular expression, and a bit that indicates whether the factor resulted from unrolling an  $\omega$ -iteration.

For an  $\omega$ -linear factor define  $\mathcal{L}^{\omega}(\langle a, \beta, g \rangle) = a \cdot \mathcal{L}^{\omega}(\beta)$  and for a set  $F$  of  $\omega$ -linear factors accordingly  $\mathcal{L}^{\omega}(F) = \bigcup \{\mathcal{L}^{\omega}(f) \mid f \in F\}$ .

Each  $\omega$ -regular language can be represented by its set of  $\omega$ -linear factors. Compared to the finite case (Lemma 25), the empty string need not be considered because it is not an element of  $\Sigma^{\omega}$ .

**Lemma 33.** For all  $\alpha$ ,  $\mathcal{L}^{\omega}(\alpha) = \mathcal{L}^{\omega}(\text{LF}(\alpha))$ .

*Proof.* By induction on  $\alpha$ . We only show one illustrative case.

Case  $s^{\omega}$ : let  $w \in \mathcal{L}^{\omega}(s^{\omega})$ . By definition,  $w = v_0 v_1 \dots$  with  $\varepsilon \neq v_i \in \mathcal{L}(s)$ , for all  $i \in \mathbb{N}$ . Suppose that  $w = aw'$ . Then  $v_0 = av'_0$ . Show that there exists  $f = \langle a, s', 1 \rangle \in \text{LF}(s^{\omega})$  such that  $w' \in \mathcal{L}^{\omega}(s')$ .

If  $\text{LF}(s^{\omega}) = \emptyset$ , then  $\mathcal{L}^{\omega}(s^{\omega}) = \emptyset$ , which contradicts the existence of  $w$ .

Suppose next that all  $\omega$ -linear factors have the form  $\langle b, s', 1 \rangle$  for some  $b \neq a$ . But then we obtain a contradiction to  $av'_0 \in \mathcal{L}(s)$ .

Thus, we need to examine the  $\omega$ -linear factors of the form  $\langle a, s'.s^{\omega}, 1 \rangle \in \text{LF}(s).s^{\omega} \times \{1\} = \text{LF}(s^{\omega})$ . By Lemma 24, there must be a linear factor  $\langle a, s' \rangle \in \text{LF}(s)$  such that  $v'_0 \in \mathcal{L}(s')$ . Hence,  $w' = v'_0 v_1 \dots \in \mathcal{L}^{\omega}(s'.s^{\omega})$  and thus  $w = aw' \in \mathcal{L}^{\omega}(\langle a, s'.s^{\omega}, 1 \rangle) \subseteq \mathcal{L}^{\omega}(\text{LF}(s^{\omega}))$ .

For the reverse direction, suppose that  $w \in \mathcal{L}^{\omega}(\text{LF}(s^{\omega}))$ . Then there exists  $\langle a, s' \rangle \in \text{LF}(s)$  and hence  $\langle a, s'.s^{\omega}, 1 \rangle \in \text{LF}(s).s^{\omega} \times \{1\} = \text{LF}(s^{\omega})$  such that  $w \in a \cdot \mathcal{L}^{\omega}(s'.s^{\omega}) = a \cdot \mathcal{L}(s') \cdot \mathcal{L}^{\omega}(s^{\omega})$ . By Lemma 23,  $a \cdot \mathcal{L}(s') \subseteq L(s)$  so that  $w \in a \cdot \mathcal{L}(s') \cdot \mathcal{L}^{\omega}(s^{\omega}) \subseteq \mathcal{L}(s) \cdot \mathcal{L}^{\omega}(s^{\omega}) = \mathcal{L}^{\omega}(s^{\omega})$ .  $\square$

Using the obvious extension of the partial derivative operator, Lemma 29 extends to the  $\omega$ -regular case.

**Lemma 34.** If  $\partial_a^A(\alpha) = \{\beta_1, \dots, \beta_n\}$ , then  $\bigcup_{i=1}^n \mathcal{L}^{\omega}(\beta_i) = \{w \mid aw \in \mathcal{L}^{\omega}(\alpha)\}$ .

However, again it is not clear how to extend Antimirov's automaton construction to Büchi automata. The critical part is to come up with a characterization of the accepting states.

*Example 35.* Let  $\alpha = (a + b)^*.b^{\omega}$  as in the previous example. Constructing an automaton analogously to Theorem 30 yields

$$\begin{aligned} q_0 &= (a + b)^*.b^{\omega} & \delta(q_0, a) &= \{q_0\} \\ q_1 &= b^{\omega} & \delta(q_0, b) &= \{q_0, q_1\} \\ Q &= \{q_0, q_1\} & \delta(q_1, a) &= \{\} \\ Q_0 &= \{q_0\} & \delta(q_1, b) &= \{q_1\} \end{aligned}$$

Thus, adopting the set of accepting states  $F = \{q_1\}$  yields a nondeterministic Büchi automaton that accepts exactly  $\mathcal{L}(\alpha)$ . Apparently, we may categorize states of the form  $s^\omega$  as accepting.

While the previous example is encouraging in that the construction leads to a correct automaton, a simple transformation of the  $\omega$ -regular expression shows that the criterion for accepting states is not sufficient in the general case.

*Example 36.* Let  $\beta = (a + b)^*. (b.b^*)^\omega$ . This expression recognizes the same language as the expression of the previous example.

$$\begin{aligned}
 \partial_a(\beta) &= \partial_a((a + b)^*. (b.b^*)^\omega) \\
 &= \partial_a((a + b)^*). (b.b^*)^\omega \cup \partial_a(b.b^*) \odot (b.b^*)^\omega \\
 &= \{(a + b)^*. (b.b^*)^\omega\} \\
 \partial_b(\beta) &= \partial_b((a + b)^*. (b.b^*)^\omega) \\
 &= \partial_b((a + b)^*). (b.b^*)^\omega \cup \partial_b(b.b^*) \odot (b.b^*)^\omega \\
 &= \{(a + b)^*. (b.b^*)^\omega\} \cup \{b^*. (b.b^*)^\omega\} \\
 \partial_b(b^*. (b.b^*)^\omega) &= \partial_b(b^*). (b.b^*)^\omega \cup \partial_b(b.b^*) \odot (b.b^*)^\omega \\
 &= \{b^*. (b.b^*)^\omega\} \cup \{b^*. (b.b^*)^\omega\} \\
 \partial_a(b^*. (b.b^*)^\omega) &= \{\}
 \end{aligned}$$

Thus, we cannot construct a Büchi automaton for  $\mathcal{L}^\omega(\beta)$  by simply classifying the states of the form  $s^\omega$  as accepting because there are no such states in this automaton: thus, the automaton would accept the empty language.

Alternatively, we might be tempted to consider all expressions of the form  $r.s^\omega$  where  $r$  is nullable as accepting states. This choice would classify *all states* in the example as accepting, which would cause the automaton to wrongly accept the infinite word  $a^\omega$ .

## 5 NBA from $\omega$ -Linear Factors

The difficulties with the previous examples demonstrate that Antimirov’s partial derivatives cannot be used directly as the states of a Büchi automaton. To fix these problems, we base our construction directly on the  $\omega$ -linear factors that arise as an intermediate step in Antimirov’s work.

**Definition 37.** For an  $\omega$ -linear factor (and a set  $F$  of  $\omega$ -linear factors) define the partial derivative as a set of  $\omega$ -linear factors:

$$\partial_b(\langle a, \beta, g \rangle) = \begin{cases} \{\} & a \neq b \\ \text{LF}(\beta) & a = b \end{cases} \quad \partial_b(F) = \bigcup_{f \in F} \partial_b(f)$$

Define further the extension to words  $\partial_\varepsilon(F) = F$  and  $\partial_{aw}(F) = \partial_w(\partial_a(F))$  and the extension to sets of finite words  $W \subseteq \Sigma^*$ :  $\partial_W(F) = \bigcup \{\partial_w(F) \mid w \in W\}$ .

This definition of the derivative serves as the basis for defining the set of states  $\mathcal{Q}(\alpha)$  for the NBA, which we are aiming to construct.



**Definition 38.** Define  $\mathcal{Q}(\alpha)$  inductively as the smallest set such that  $\text{LF}(\alpha) \subseteq \mathcal{Q}(\alpha)$  and, for each  $a \in \Sigma$ ,  $\partial_a(\mathcal{Q}(\alpha)) \subseteq \mathcal{Q}(\alpha)$ .

**Lemma 39.** If  $\langle a, \beta, g \rangle \in \mathcal{Q}(\alpha)$ , then  $\exists w \in \Sigma^*$  such that  $\langle a, \beta, g \rangle \in \partial_w(\text{LF}(\alpha))$ .

*Proof.* By induction on the construction of  $\mathcal{Q}(\alpha)$ .

Base case:  $\langle a, \beta, g \rangle \in \text{LF}(\alpha) = \partial_\varepsilon(\text{LF}(\alpha))$ .

Inductive case:  $\langle a, \beta, g \rangle \in \partial_a(f)$ , for some  $f \in \mathcal{Q}(\alpha)$  and  $a \in \Sigma$ . By induction,  $f \in \partial_w(\text{LF}(\alpha))$ , for some  $w$ , and thus  $\langle a, \beta, g \rangle \in \partial_a(\partial_w(\text{LF}(\alpha))) = \partial_{aw}(\text{LF}(\alpha))$ .  $\square$

**Proposition 40.** For each  $\omega$ -regular expression  $\alpha$ ,  $\mathcal{Q}(\alpha)$  is finite.

*Proof.* We prove that  $\mathcal{Q}(\alpha) \subseteq \Sigma \times \partial_{\Sigma^+}^A(\alpha) \times \{0, 1\}$ .

Suppose that  $\langle a, \alpha', g \rangle \in \mathcal{Q}(\alpha)$ . There are two cases. If  $\langle a, \alpha', g \rangle \in \text{LF}(\alpha)$ , then  $a \in \Sigma$  and  $\alpha' \in \partial_a^A(\alpha) \subseteq \partial_{\Sigma^+}^A(\alpha)$ .

If  $\langle a, \alpha', g \rangle \in \partial_b(\langle b, \beta, g \rangle)$  for some  $\langle b, \beta, g \rangle \in \mathcal{Q}(\alpha)$ , then there exists some  $w \in \Sigma^*$  such that  $\beta \in \partial_{wb}^A(\alpha)$  and  $\langle a, \alpha', g \rangle \in \text{LF}(\beta)$ . By definition,  $\alpha' \in \partial_{wba}^A(\alpha) \subseteq \partial_{\Sigma^+}^A(\alpha)$ .

By Theorem 27,  $|\partial_{\Sigma^+}^A(\alpha)|$  is finite and so is  $|\mathcal{Q}(\alpha)| \leq |\Sigma| \cdot |\partial_{\Sigma^+}^A(\alpha)| \cdot 2$ .  $\square$

Given this finiteness, we construct a non-deterministic Büchi automaton from an  $\omega$ -regular expression as follows.

**Definition 41 (NBA from  $\omega$ -regular expression).** Define the NBA  $\mathcal{B}(\alpha) = (Q, \Sigma, \delta, Q_0, F)$  by  $Q = \mathcal{Q}(\alpha)$ ;  $Q_0 = \text{LF}(\alpha)$ ;  $F = \{\langle a, \beta, g \rangle \in Q \mid g = 1\}$ ; and  $\delta(f, a) = \partial_a(f)$ .

*Example 42.* Consider (again)  $\alpha = (a + b)^*.b^\omega$ .

$$\begin{aligned} \text{LF}(\alpha) &= \text{LF}((a + b)^*.b^\omega) \cup \text{LF}(b^\omega) \\ &= \{\langle a, (a + b)^*.b^\omega, 0 \rangle, \langle b, (a + b)^*.b^\omega, 0 \rangle, \langle b, b^\omega, 1 \rangle\} \\ &= Q = Q_0 \end{aligned}$$

$$\begin{aligned} \delta(\langle b, b^\omega, 1 \rangle, a) &= \{\} \\ \delta(\langle b, b^\omega, 1 \rangle, b) &= \{\langle b, b^\omega, 1 \rangle\} \\ \delta(\langle a, (a + b)^*.b^\omega, 0 \rangle, a) &= \text{LF}((a + b)^*.b^\omega) = Q \\ \delta(\langle a, (a + b)^*.b^\omega, 0 \rangle, b) &= \{\} \\ \delta(\langle b, (a + b)^*.b^\omega, 0 \rangle, a) &= \{\} \\ \delta(\langle b, (a + b)^*.b^\omega, 0 \rangle, b) &= \text{LF}((a + b)^*.b^\omega) = Q \end{aligned}$$

Accepting states:  $F = \{\langle b, b^\omega, 0 \rangle\} = \text{LF}(b^\omega)$ .

The resulting automaton properly accepts  $\mathcal{L}^\omega(\alpha)$ .

*Example 43.* Next consider  $\beta = (a + b)^*. (b.b^*)^\omega$ .

$$\begin{aligned} \text{LF}(\beta) &= \text{LF}((a + b)^*. (b.b^*)^\omega) \times \{0\} \cup \text{LF}((b.b^*)^\omega) \\ &= \text{LF}(a + b). (a + b)^*. (b.b^*)^\omega \times \{0\} \cup \text{LF}(b.b^*). (b.b^*)^\omega \times \{1\} \\ &= \{\langle a, (a + b)^*. (b.b^*)^\omega, 0 \rangle, \langle b, (a + b)^*. (b.b^*)^\omega, 0 \rangle\} \\ &\quad \cup \text{LF}(b). b^*. (b.b^*)^\omega \times \{1\} \\ &= \{\langle a, (a + b)^*. (b.b^*)^\omega, 0 \rangle, \langle b, (a + b)^*. (b.b^*)^\omega, 0 \rangle, \\ &\quad \langle b, b^*. (b.b^*)^\omega, 1 \rangle\} \\ &= \{\langle a, \beta, 0 \rangle, \langle b, \beta, 0 \rangle, \langle b, b^*. (b.b^*)^\omega, 1 \rangle\} \end{aligned}$$

$$\begin{aligned}
 \delta(\langle a, \beta \rangle, a) &= \text{LF}(\beta) \\
 \delta(\langle b, \beta \rangle, b) &= \text{LF}(\beta) \\
 \delta(\langle b, b^*.(b.b^*)^\omega \rangle, b) &= \text{LF}(b^*.(b.b^*)^\omega) \\
 &= \text{LF}(b^*).(b.b^*)^\omega \times \{1\} \cup \text{LF}((b.b^*)^\omega) \\
 &= \text{LF}(b).b^*.(b.b^*)^\omega \times \{1\} \cup \text{LF}(b.b^*).(b.b^*)^\omega \times \{1\} \\
 &= \text{LF}(b).b^*.(b.b^*)^\omega \times \{1\} \cup \text{LF}(b).b^*.(b.b^*)^\omega \times \{1\} \\
 &= \{\langle b, b^*.(b.b^*)^\omega, 1 \rangle\} \\
 &= \text{LF}((b.b^*)^\omega)
 \end{aligned}$$

Accepting states:

$$F = \{\langle b, b^*.(b.b^*)^\omega, 1 \rangle\} = \text{LF}((b.b^*)^\omega)$$

The resulting automaton properly accepts  $\mathcal{L}^\omega(\beta)$  with the same number of states as in the previous example.

It remains to prove the correctness of the construction in Definition 41.

**Theorem 44.** *For all  $\alpha \in R_{\Sigma}^\omega$ :  $\mathcal{L}^\omega(\alpha) = \mathcal{L}^\omega(\mathcal{B}(\alpha))$ .*

We start with some technical lemmas.

**Lemma 45.** *For all  $v \neq \varepsilon$ ,  $\partial_v(\text{LF}(s^\omega)) = \partial_v(\text{LF}(s.s^\omega))$ .*

*Proof.* By definition of  $\omega$ -regular expressions,  $\varepsilon \notin \mathcal{L}(s)$  that is  $N(s) = \mathbf{0}$ .

Observe that  $\text{LF}(s^\omega) = \text{LF}(s).s^\omega \times \{1\}$ ,

whereas  $\text{LF}(s.s^\omega) = \text{LF}(s).s^\omega \times \{0\} \cup N(s) \odot \text{LF}(s^\omega) = \text{LF}(s).s^\omega \times \{0\}$ .

Because  $v \neq \varepsilon$ , it must be that  $v = av'$ , for some  $a$ .

Hence,  $\partial_a(\text{LF}(s^\omega)) = \bigcup \{\text{LF}(s'.s^\omega) \mid \langle a, s' \rangle \in \text{LF}(s)\} = \partial_a(\text{LF}(s.s^\omega))$ .

Hence,  $\partial_{av'}(\text{LF}(s^\omega)) = \partial_{av'}(\text{LF}(s.s^\omega))$  □

The next lemma is our workhorse in proving that  $\mathcal{L}^\omega(\alpha)$  is contained in the language of  $\mathcal{B}(\alpha)$ .

**Lemma 46.** *If  $u \in \mathcal{L}(r)$ , then  $\text{LF}(\alpha) \subseteq \partial_u(\text{LF}(r.\alpha))$ .*

*Proof.* Induction on  $r$ .

Case  $r = \mathbf{0}$ : contradiction because  $\mathcal{L}(\mathbf{0}.\alpha) = \{\}$ .

Case  $r = \mathbf{1}$ : Then  $u = \varepsilon$  and  $\partial_\varepsilon(\text{LF}(\mathbf{1}.\alpha)) = \text{LF}(\mathbf{1}.\alpha) = \text{LF}(\alpha)$ .

Case  $r = a$ : Then  $u = a$  and  $\partial_a(\text{LF}(a.\alpha)) = \partial_a(\langle a, \alpha, 0 \rangle) = \text{LF}(\alpha)$ .

Case  $r = r_1.r_2$ : Then  $u = u_1u_2$  with  $u_1 \in \mathcal{L}(r_1)$  and  $u_2 \in \mathcal{L}(r_2)$ .

By similarity (cf. Definition 14),  $\text{LF}((r_1.r_2).\alpha) = \text{LF}(r_1.(r_2.\alpha))$ .

By induction on  $r_1$ ,  $\text{LF}(r_2.\alpha) \subseteq \partial_{u_1}(\text{LF}(r_1.(r_2.\alpha)))$ .

By induction on  $r_2$ ,

$$\text{LF}(\alpha) \subseteq \partial_{u_2}(\text{LF}(r_2.\alpha)) \subseteq \partial_{u_2}(\partial_{u_1}(\text{LF}(r_1.(r_2.\alpha)))) = \partial_u(\text{LF}(r.\alpha))$$

Case  $r = r_1 + r_2$ : Assume that  $u \in \mathcal{L}(r_1) \subseteq \mathcal{L}(r)$ . By induction,  $\text{LF}(\alpha) \subseteq \partial_u(\text{LF}(r_1.\alpha)) \subseteq \partial_u(\text{LF}(r.\alpha))$ . The case for  $r_2$  is analogous.

Case  $r = r_1^*$ : Consider

$$\text{LF}(r_1^*. \alpha) = \text{LF}(r_1^*). \alpha \cup N(r_1^*) \odot \text{LF}(\alpha) = \text{LF}(r_1). r_1^*. \alpha \cup \text{LF}(\alpha)$$

For  $u \in \Sigma^*$ ,  $\partial_u(\text{LF}(r_1^*. \alpha)) = \partial_u(\text{LF}(r_1). r_1^*. \alpha) \cup \partial_u(\text{LF}(\alpha))$ .

If  $u \in \mathcal{L}(r)$ , then  $u = u_1 \dots u_n$ , for some  $n \in \mathbb{N}$ , where all  $u_i \neq \varepsilon$ . Continue by induction on  $n$ .

If  $n = 0$ ,  $u = \varepsilon$ , then clearly  $\text{LF}(\alpha) \subseteq \partial_\varepsilon(\text{LF}(r_1^*. \alpha))$ .

Otherwise,

$$\begin{aligned} & \partial_u(\text{LF}(r_1^*. \alpha)) \\ &= \partial_{u_1 \dots u_n}(\text{LF}(r_1^*. \alpha)) \\ &= \partial_{u_2 \dots u_n}(\partial_{u_1}(\text{LF}(r_1^*. \alpha))) \\ &= \partial_{u_2 \dots u_n}(\partial_{u_1}(\text{LF}(r_1). r_1^*. \alpha) \cup \partial_{u_1}(\text{LF}(\alpha))) \\ &\supseteq \partial_{u_2 \dots u_n}(\partial_{u_1}(\text{LF}(r_1). r_1^*. \alpha)) \\ &\supseteq \partial_{u_2 \dots u_n}(\text{LF}(r_1^*. \alpha)) \\ &\quad \text{by induction} \\ &\supseteq \text{LF}(\alpha) \end{aligned}$$

□

The next, final lemma is our workhorse in proving that the language of  $\mathcal{B}(\alpha)$  is contained in  $\mathcal{L}^\omega(\alpha)$ . The proof requires the extra bit in the  $\omega$ -linear factors.

**Lemma 47.** *Let  $q_0 q_1 \dots q_n$  be a prefix of an accepting run of  $\mathcal{B}(r.s^\omega)$  on  $uw = a_1 \dots a_n w$  where  $q_n \in \text{LF}(s^\omega)$ , but  $q_i \notin \text{LF}(s^\omega)$ , for  $0 \leq i < n$ . Then  $u \in \mathcal{L}(r)$ .*

*Proof.* Induction on  $n$ .

**Case 0;**  $u = \varepsilon$ :  $q_0 \in \text{LF}(s^\omega) \cap \text{LF}(r.s^\omega)$  because  $q_0 \in Q_0$ . Now  $\text{LF}(s^\omega) = \text{LF}(s).s^\omega \times \{1\}$  and  $\text{LF}(r.s^\omega) = \text{LF}(r).s^\omega \times \{0\} \cup N(r) \odot \text{LF}(s).s^\omega \times \{1\}$ .

If  $N(r) = \mathbf{1}$ , then  $q_0 \in \text{LF}(s^\omega) \subseteq \text{LF}(r.s^\omega)$  and  $u = \varepsilon \in \mathcal{L}(r)$ .

If  $N(r) = \mathbf{0}$ , then  $q_0 \in \text{LF}(s).s^\omega \times \{1\} \cap \text{LF}(r).s^\omega \times \{0\} = \emptyset$  so that this case is not possible. (Without the extra bit in LF, there may be common linear factors if  $\mathcal{L}(r) \cap \mathcal{L}(s^*) \neq \emptyset$ .)

**Case  $n > 0$ :**  $u = au'$  and  $q_1 \in \partial_a(q_0)$ . As  $q_0 \in Q_0 = \text{LF}(r.s^\omega) = \text{LF}(r).s^\omega \times \{0\} \cup N(r) \odot \text{LF}(s^\omega)$  but  $q_0 \notin \text{LF}(s^\omega)$ , it must be that  $q_0 \in \text{LF}(r).s^\omega \times \{0\}$ .

Thus,  $q_1 \in \partial_a(\text{LF}(r).s^\omega \times \{0\})$ , so that there is a linear factor  $\langle a, r' \rangle \in \text{LF}(r)$  such that  $q_1 \in \text{LF}(r'.s^\omega)$ .

Thus,  $q_1 \dots q_n$  is a prefix of an accepting run of  $\mathcal{B}(r'.s^\omega)$ <sup>1</sup> on  $u'w = a_2 \dots a_n w$  where  $q_n \in \text{LF}(s^\omega)$ , but  $q_i \notin \text{LF}(s^\omega)$ , for  $1 \leq i < n$ . By induction,  $u' \in \mathcal{L}(r')$  so that  $u = au' \in \mathcal{L}(r)$  by Lemma 23. □

*Proof (of Theorem 44).* It is sufficient to consider  $\alpha = r.s^\omega$ .

**Case “ $\subseteq$ ”:** Let  $w \in \mathcal{L}^\omega(r.s^\omega)$ . Then  $w = uv_0 v_1 \dots$  where  $u \in \mathcal{L}(r)$  and  $\varepsilon \neq v_i \in \mathcal{L}(s)$ , for  $i \in \mathbb{N}$ .

Let  $Q_0 = \text{LF}(r.s^\omega)$ . By Lemma 46,  $\text{LF}(s^\omega) \subseteq \partial_u(\text{LF}(r.s^\omega)) = \delta(Q_0, u)$ .

<sup>1</sup> While the set  $Q'$  of states of  $\mathcal{B}(r'.s^\omega)$  is a subset of the states  $Q$  of  $\mathcal{B}(r.s^\omega)$ , it is easy to see that the states  $q_1 \dots q_n$  as well as the remaining states  $q_{n+1} q_{n+2} \dots$  of the accepting run are all elements of  $Q'$ .

Furthermore, for each  $i \in \mathbb{N}$ , by Lemmas 45 and 46,

$$\partial_{v_i}(\text{LF}(s^\omega)) = \partial_{v_i}(\text{LF}(s.s^\omega)) \supseteq \text{LF}(s^\omega)$$

Hence, there exists a run of  $\mathcal{B}(\alpha)$  which visits states from  $F = \text{LF}(s^\omega)$  infinitely often.

**Case “ $\supseteq$ ”:** Suppose that  $a_0 a_1 \dots \in \mathcal{L}^\omega(\mathcal{B}(\alpha))$ . Hence, there is a run  $q_0 q_1 \dots \in Q^\omega$  and a strictly increasing sequence  $(n_i)_{i \in \mathbb{N}} \in \mathbb{N}^\omega$  such that, for all  $j \in \mathbb{N}$ ,  $q_j \in F$  iff  $\exists i : j = n_i$ .

Let  $q = q_{n_0}$  be the first accepting state in the run and let  $u = a_0 \dots a_{n_0-1}$ . By construction of  $\mathcal{B}(\alpha)$ ,  $q \in \delta(Q_0, u)$  and  $q \in \text{LF}(s^\omega) = F$ . By Lemma 47,  $u \in \mathcal{L}(r)$ .

Next, for each  $i \in \mathbb{N}$ , define  $v_i = a_{n_i} \dots a_{n_{i+1}}$  so that  $w = uv_0 v_1 \dots$ .

For each  $i$ ,  $q_{n_i} \in F$  and  $\varepsilon \neq v_i = b_i v'_i$ . By construction  $q_{n_{i+1}} \in \delta(q_{n_i}, b_i)$  so that  $q_{n_{i+1}} \dots q_{n_{i+1}} \dots$  is a prefix of an accepting run of  $\mathcal{B}(q_{n_{i+1}})$  where  $q_{n_{i+1}} = \langle b_i, s'.s^\omega, 1 \rangle$ , for some  $\langle b_i, s' \rangle \in \text{LF}(s)$ . By Lemma 47,  $v'_i \in \mathcal{L}(s')$  so that  $v_i = b_i v'_i \in \mathcal{L}(s)$  by Lemma 23.

Taken together, we have shown that  $w \in \mathcal{L}(r) \cdot \{v_0 v_1 \dots \mid v_i \in \mathcal{L}(s)\} = \mathcal{L}^\omega(r.s^\omega)$ .  $\square$

We believe that it is possible to reduce the number of states of  $\mathcal{B}(\alpha)$  by a factor of  $|\Sigma|$  by merging suitable linear factors, but we leave this for future work.

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