# Chapter 8 Cell Polarity in Mammary Gland Morphogenesis and Breast Cancer

#### Carlis Rejon and Luke McCaffrey

Abstract Epithelial cells form organized structures such as tubes and alveoli, the organization of which is controlled by conserved complexes of polarity proteins. Mammary gland development requires extensive epithelial remodeling coordinated with proliferation and apoptosis to generate the highly branched epithelial ductal network that extends into a complex fatty stroma. Mammary epithelial cells dynamically regulate cell polarity during branching morphogenesis, and therefore the mammary gland represents a unique model to understand epithelial remodeling during normal development. Moreover, the mammary gland undergoes extensive remodeling during the progression of breast cancer, which is associated with a loss of apical-basal polarity and epithelial organization. In this chapter, we present the mammary gland as a model to understand unique roles for polarity proteins in epithelial remodeling. We also discuss novel signaling pathways regulated by polarity proteins that function in normal mammary gland development, as well as breast cancer initiation, invasion, and metastasis.

Keywords 3D culture • EMT • Epithelial • Invasion • Metastasis • Stem cell

## 8.1 Overview of Mammary Gland Development

The mammary gland consists of a treelike structure of epithelial ducts embedded in a complex stroma, consisting of fat cells, fibroblasts, and immune cells (Fig. [8.1](#page-1-0)) (Sternlicht [2006](#page-19-0)). The ducts are formed from an epithelial bilayer: an inner luminal layer that lines the ductal cavity and an outer myoepithelial layer that contacts the basement membrane. Although these two major cell types are both epithelial, their organization and functions are quite distinct; luminal cells are polarized cuboidal epithelial cells with distinct apical and basolateral domains (apical-basal polarity) that are separated by tight junctions (Fig. [8.1](#page-1-0)). These cells form E-cadherin-based adherens junctions that maintain ductal integrity. Luminal epithelial cells are also

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K. Ebnet (ed.), Cell Polarity 2, DOI 10.1007/978-3-319-14466-5\_8

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Fig. 8.1 Overview of the mammary gland. Diagram of a bilayered mammary duct with inner luminal epithelial cells and outer myoepithelial cells. The terminal end bud is multilayered with microlumen (arrows) and is covered by a cap cell layer that contains mammary stem cells. Components of the mammary stroma include adipocytes, immune cells, and fibroblast. An enlarged region of a duct shows the location of polarity and adhesion complexes in luminal epithelial cells with apical-basal polarity

characterized by the expression of intermediate filament cytokeratins 8/18 (CK8/18), whereas myoepithelial cells express distinct cytoskeletal markers, including cytokeratin 5 and 14 (CK5 and CK14), as well as  $\alpha$ -smooth muscle actin  $(\alpha$ -SMA) (Williams and Daniel [1983](#page-20-0)). Myoepithelial cells do not contact the lumen but instead interact with the basement membrane through integrins. Furthermore, myoepithelial cells form cell-cell adhesions through P-cadherin, but do not form tight junctions (Chanson et al. [2011](#page-15-0)); therefore, they do not exhibit apical-basal polarity (Fig. 8.1).

The mammary gland is a unique epithelial tissue since most of the development occurs after birth. At mid-gestation, the murine mammary epithelium arises from the ectoderm to form a mammary bud, which undergoes limited growth and branching to form a rudimentary tree in the embryo (Sternlicht [2006](#page-19-0)). After birth the mammary gland grows isometrically with the animal; then at puberty, changes in circulating hormones initiate a program of enhanced growth and branching of the mammary epithelium (Sternlicht et al. [2006\)](#page-19-0).

In the mouse, pubertal mammary morphogenesis initiates with the formation of bulbous epithelial structures at the distal tips of growing ducts, termed terminal end buds (Fig. 8.1). End buds are multilayered and highly dynamic structures, with higher rates of both proliferation and apoptosis compared to the subtending ducts

(Hinck and Silberstein [2005;](#page-16-0) McCaffrey and Macara [2009](#page-17-0); Ball [1998\)](#page-14-0). High proliferation likely generates the increased cell numbers necessary for growing the duct, and apoptosis is necessary for clearing the central lumen (Mailleux et al. [2007](#page-17-0); Parsa et al. [2008\)](#page-18-0). The leading outermost layer of the end bud consists of cap cells, which are enriched in stem cell activity during mammary gland development (Bai and Rohrschneider [2010](#page-14-0); Kenney et al. [2001\)](#page-16-0). At pregnancy, the mammary epithelium again undergoes extensive proliferation, branching, and differentiation into milk-producing alveolar structures (Oakes et al. [2008\)](#page-18-0). After lactation is complete, an apoptotic program eliminates the bulk of alveolar cells, and the mammary gland returns to a state that is highly reminiscent of the virgin gland before pregnancy (Stein et al. [2007](#page-19-0)). Therefore, the mammary gland represents a highly dynamic epithelium for which to understand the role of cell polarity proteins during epithelial morphogenesis.

# 8.2 Mammary Epithelial Models for Studying Morphogenesis and Polarity

Polarity proteins have a wide range of biological effects across numerous species and tissue types, and remarkably, the same proteins can have distinct functions in different tissues or at different developmental stages within the same tissue (Thompson [2012](#page-19-0); Tepass [2012](#page-19-0); McCaffrey and Macara [2012](#page-17-0); Nance and Zallen [2011;](#page-17-0) Martin-Belmonte and Perez-Moreno [2011](#page-17-0)). This highlights the fact that polarity proteins have multifaceted and complex interactions with numerous pathways that impinge on biological processes like survival, proliferation, apoptosis, and differentiation. Not surprisingly then, different experimental models used to evaluate polarity protein function may reveal different roles for those proteins. For example, while 2D cultures may capture some aspects of basic polarized cell biology, 3D cultures more closely model the in vivo environment (McCaffrey and Macara [2011](#page-17-0); Page et al. [2012;](#page-18-0) Weaver et al. [2002](#page-20-0)). In addition, organotypic cultures that contain multiple cell types can reveal more complex signaling that occurs between different cell types (Ridky et al. [2010;](#page-18-0) Macias et al. [2011\)](#page-17-0), and in vivo models can yield further insights into complex regulatory mechanisms such as stem cell renewal and differentiation, as well as tumorigenesis and metastasis (Shackleton et al. [2006](#page-19-0); Cardiff et al. [2000\)](#page-15-0).

The mammary gland represents an excellent system for understanding various aspects of polarity protein function with culture models that range from simple cell lines that are relatively easily maintained to more complex cultures of primary cells and organotypic cultures, which requires more expertise. For example, mouse mammary gland cell lines (e.g., NMuMG, EpH4) are capable of forming polarized cysts when cultured in 3D extracellular matrix (Hall et al. [1982](#page-16-0); Niemann et al. [1998](#page-17-0); Viloria-Petit et al. [2009](#page-19-0)). Human breast cells are also capable of forming cysts when cultured in 3D matrix. A commonly used human mammary

<span id="page-3-0"></span>cell is the immortalized, but non-transformed, MCF10A cell line, which were derived from a fibrocystic breast patient (Debnath et al. [2003;](#page-15-0) Dow et al. [2007;](#page-15-0) Whyte et al. [2010](#page-20-0)). These cells express some luminal markers and are polarized in the sense that they form a central lumen and orient the Golgi apically between the nucleus and lumen; however, the apical determinant Crb3 is suppressed in these cells, and they do not form an apical membrane or functional tight junctions (Fogg et al. [2005](#page-16-0)). Therefore, MCF10A cells lack complete apical-basal polarity, which needs to be considered with interpreting polarity data obtained from these cells.

Another source for human mammary epithelial cells (HMECs) are primary cells isolated from breast reduction surgery (Lindley and Briegel [2010](#page-17-0); Stampfer and Bartley [1988\)](#page-19-0). A limitation of primary HMECs is that they have a limited life in culture; however this has been overcome by the generation of numerous immortalized and transformed derivatives (Yaswen and Stampfer [2001](#page-20-0); Dimri et al. [2005\)](#page-15-0). An advantage of primary mammary cells from mice or humans is that they can be cultured as "organoids," which are isolated from mammary glands as multicellular clusters and then embedded and grown in 3D extracellular matrix gels (Fig. 8.2). These are distinct from 3D cultures of cell lines in that they contain both luminal and myoepithelial cell types, with a bilayer organization that resembles the mammary gland in vivo (Pasic et al. [2011;](#page-18-0) Ewald et al. [2008](#page-15-0); Macias et al. [2011\)](#page-17-0). Remarkably, organoids undergo dynamic remodeling of polarity during lumen formation and branching morphogenesis in response to growth factor stimuli (Fig. 8.2) and therefore represent an excellent model for understanding epithelial morphogenesis in vitro (Akhtar and Streuli [2013](#page-14-0); Ewald et al. [2008\)](#page-15-0).

Mouse and human primary mammary epithelial cells can also be cultured in at low density as suspension cultures to enrich stem and progenitor cells. Under these conditions, differentiated cells die by anoikis, whereas stem and progenitor cells survive and grow into balls of cells called mammospheres (Dontu et al. [2004\)](#page-15-0). Mammospheres contain differentiated cells, as well as a stem cell population that is



Fig. 8.2 Polarity is dynamically regulated during branching morphogenesis. Diagram showing formation of polarized organoids and transient multilayered luminal epithelia formed during mammary morphogenesis

maintained by asymmetric cell divisions and enables mammospheres to be sustained following serial passages (Cicalese et al. [2009\)](#page-15-0).

Finally, in vivo mouse models are another important tool for understanding gene function in the mammary gland, particularly for understanding stem cell and tumorigenic functions. Several mammary gland-specific promoters are available to selectively express or knock out genes in the mammary epithelium. Commonly used promoters include the mouse mammary tumor virus long terminal repeat (MMTV), the whey acidic protein (WAP), and β-lactoglobulin (BLG) promoters, which predominantly target luminal epithelial cells (Borowsky [2011](#page-15-0)). In addition, the keratin 14 or keratin 5 promoters can be used to target myoepithelial cells (Van Keymeulen et al. [2011](#page-19-0); Taddei et al. [2008\)](#page-19-0); however, the keratin 14 promoter is active in stem cells during mammary embryogenesis, which results in expression in all mammary cell types (Van Keymeulen et al. [2011\)](#page-19-0).

The ability to transplant mouse mammary gland stem cells into the mammary fat pad to regenerate the ductal epithelium is another useful tool for studying polarity in mammary morphogenesis (Deome et al. [1959;](#page-15-0) Daniel et al. [1968](#page-15-0); Shackleton et al. [2006;](#page-19-0) Stingl et al. [2006](#page-19-0)). Mammary stem cells isolated from a donor are injected into the mammary fat pad of a prepubertal recipient mouse that has had the ductal epithelium removed surgically. Lentivirus can be used to express cDNA or shRNA in stem cells to study gene function, and when coupled with transplants, this represents a rapid and cost-effective alternative to generating transgenic and knockout mice (McCaffrey and Macara [2009](#page-17-0)).

#### 8.3 Cell Polarity and Branching Morphogenesis

During pubertal development, the mammary epithelium undergoes dynamic changes in apical-basal polarity, particularly in the end bud. The terminal end bud is comprised of a mixture of polarized and non-polarized luminal epithelial cells; the polarized cells contact the developing central lumen, as well as microlumen, which may coalesce to form the primary lumen in subtending ducts and are surrounded by non-polarized cells (Fig. [8.1](#page-1-0)) (Ewald et al. [2012](#page-16-0)). For instance, whereas aPKCζ is usually localized to the apical membrane in polarized cells, it localizes with β-catenin, Scrib, and Numb at all cell membranes in non-polarized interior cells, and Par3 appears diffusely localized in the cytoplasm (Ewald et al. [2008](#page-15-0); Ewald et al. [2012\)](#page-16-0). As such, terminal end buds show characteristics of neoplastic hyperplasia, including a partial loss of apical-basal polarity and loosened cell-cell interactions. However, there is no invasion of these inner end bud cells into the highly organized extracellular matrix during ductal growth (Ewald et al. [2008](#page-15-0)).

Cellular and molecular mechanisms underlying branching morphogenesis have been investigated using 3D organotypic cultures (Fig. [8.2\)](#page-3-0). In response to growth factors secreted by myoepithelial cells, luminal cells remodel to become multilayered, and then a process of collective migration pushes cells forward to initiate branching (Ewald et al. [2008](#page-15-0)). Surprisingly, unlike some branched tissues like the Drosophila airway epithelium, collective movements during mammary branching do not have leader cells; instead, cells rearrange in a seemingly random order and in the absence of leading actin-rich protrusions (Ewald et al. [2008](#page-15-0); Ewald et al. [2012\)](#page-16-0). Diverse molecular pathways are involved in the control of mammary gland morphogenesis. For instance, Rac1 and MLCK activities are required for branching initiation, whereas ROCK is necessary to restore the bilayered epithelial architecture once branching has ceased (Ewald et al. [2008\)](#page-15-0). Furthermore, the interaction between β1-integrins and laminin provides traction to the end buds and facilitates duct elongation (Klinowska et al. [1999](#page-16-0)). Association of β1-integrin with the basement membrane is also necessary for the establishment of apical-basal polarity in luminal cells and lumen formation (Akhtar and Streuli [2013](#page-14-0)). In contrast to MDCK cells, where Rac1 activation is required for the orientation of apical polarity (O'Brien et al. [2001;](#page-18-0) Yu et al. [2005](#page-20-0)), in mammary glands the integrin-linked kinase (ILK) acts downstream of β1-integrin to polarize microtubules along the apicalbasal axis, control internal cell polarity, and drive lumen formation (Akhtar and Streuli [2013\)](#page-14-0). Additionally, alterations in the expression, localization, or activity of diverse polarity proteins, including Scrib, Par4 (Lkb1), Llgl (Hugl1/2), and the Par3/aPKC complex, are associated with impairments in ductal morphogenesis, although the molecular mechanisms involved are not known (McCaffrey and Macara [2009](#page-17-0); Whyte et al. [2010](#page-20-0); Russ et al. [2012;](#page-19-0) Zhan et al. [2008;](#page-20-0) Partanen et al. [2012a\)](#page-18-0).

The dynamic nature of the mammary gland is also evident during pregnancy, when the mammary epithelium remodels into a milk-secreting tissue in response to hormonal regulation by prolactin. During lactation the prolactin receptor (PRLR) locates preferentially in the basal membrane, where it activates a JAK2/STAT5 cascade that promotes proliferation and differentiation of milk-producing alveolar cells (Morales et al. [2012\)](#page-17-0). The polarized distribution of PRLR to the basal membrane is dependent on NHERF1, a polarity scaffold that directly interacts with PRLR, as well as other proteins including Ezrin, and β-catenin. Interestingly, NHERF1 is localized to the apical membrane in luminal epithelial cell of mature virgin mammary ducts, but then undergoes a dynamic repositioning to the basal membrane during lactation, which is necessary for proper PRLR localization, alveolar differentiation, and milk production (Fig. [8.3b](#page-6-0)) (Morales et al. [2012;](#page-17-0) Stemmer-Rachamimov et al. [2001](#page-19-0)). Moreover, the interaction of mammary cells with the extracellular matrix is key for proper alveologenesis, since β1-integrin ablation prevents prolactin-induced differentiation of luminal epithelia due to defective STAT5 activation (Naylor et al. [2005\)](#page-17-0). Together these studies highlight the importance of apical-basal polarity in positioning signaling modules, which is essential for hormonally regulated cell differentiation.

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Fig. 8.3 Lineage specification in the mammary gland. (a) The mammary gland is hierarchally organized with mammary stem cells, bipotent progenitors, unipotent progenitors, and differentiated luminal and myoepithelial cells. Stem cells asymmetrically position Numb during divisions, which is dependent on p53. (b) Reorganization of a NHERF/Ezrin complex from the apical domain to the basal domain occurs during pregnancy. Basal NHERF/Ezrin positions the prolactin receptor basally, which is necessary for Stat5 activation and differentiation of milk-producing cells

## 8.4 Stem Cells and Mammary Gland Development

The mammary gland exhibits plasticity and grows extensively during puberty but also can cycle through multiple rounds of expansion and involution during pregnancy. Furthermore, transplantation of a single mammary epithelial cell into a cleared fat pad can regenerate the entire mammary ductal tree, indicating the presence of mammary stem cells (MaSC) with tremendous regenerative capability (Shackleton et al. [2006\)](#page-19-0). The terminal end bud is a reservoir during development for active stem cells, which reside in a cap cell layer, the outermost layer of the end bud (Fig. [8.1\)](#page-1-0) (Bai and Rohrschneider [2010\)](#page-14-0). Furthermore, terminal end buds contain bipotent progenitor cells that express both luminal and myoepithelial markers, indicating that the terminal end bud may also represent a stem cell niche that regulates stem and progenitor cell differentiation (Kenney et al. [2001;](#page-16-0) McCaffrey and Macara [2009\)](#page-17-0). This is in part regulated by Par3 since the depletion of Par3 from the mammary epithelium results in enlarged terminal end buds with an expanded pool of bipotent progenitors that are defective in differentiation and the ability to reorganizing into mature ducts (McCaffrey and Macara [2009](#page-17-0)).

Different cell surface markers have been used to isolate MaSC and lineage committed progenitors from the mammary gland [for a detailed review, see (Visvader [2009](#page-20-0))]. At present there is no consensus whether a common progenitor can differentiate into all mature mammary epithelial cell lineages or if two different lineage-restricted precursor cells (luminal/alveolar and myoepithelial) are required during mammary tree expansion (Shackleton et al. [2006;](#page-19-0) Van Keymeulen et al. [2011\)](#page-19-0). A unified hypothesis (Fig. [8.3a\)](#page-6-0) suggests that unipotent progenitor cells are responsible of normal tissue maintenance and remodeling, while pluripotent cells participate in embryonic development or can be activated under certain circumstances (such as transplantation or tissue regeneration) to give rise to both luminal and myoepithelial lineages (Keller et al. [2011;](#page-16-0) Visvader and Lindeman [2011\)](#page-20-0).

Regardless of the origin of the stem and progenitor cells, asymmetric cell divisions are necessary to establish the luminal and myoepithelial lineages while maintaining the pool of MaSCs (Fig. [8.3a](#page-6-0)) (Cicalese et al. [2009](#page-15-0)). Mammosphere assays show that p53 expression is required for asymmetric Numb segregation, via an unknown mechanism (Cicalese et al. [2009](#page-15-0)). This may involve the Par complex because in other cell types, aPKC and Par3 interact with Numb and aPKC directly phosphorylates Numb to control polarized distribution (Smith et al. [2007;](#page-19-0) Nishimura and Kaibuchi [2007](#page-18-0)). Asymmetric Numb may be important for mammary cell fate decisions; Numb is a negative regulator of Notch signaling, and Notch is involved in specifying luminal progenitors and the luminal cell fate (Pece et al.  $2004$ ; Gönczy  $2008$ ). In turn, Numb regulates p53 ubiquitination and degradation (Colaluca et al. [2008\)](#page-15-0), creating then a feedback loop that might restrict the stem cell fate to only one of the daughter cells. Segregation of cell fate determinants and asymmetric cell division require establishment of a polarity axis (in response to external clues, such interaction between cellular integrins and ECM), in conjunction with cell polarization. In this sense, deletion of  $β1$ -integrin expression (Taddei et al. [2008\)](#page-19-0) or alterations in the function of polarity proteins (Cdc42, Par3, aPKC, Pins/LGN) in mammary epithelial cells is associated with defects in mitotic spindle orientation or progenitor differentiation (Bray et al. [2011](#page-15-0); McCaffrey and Macara [2009;](#page-17-0) Hao et al. [2010](#page-16-0); Jaffe et al. [2008;](#page-16-0) Zheng et al. [2010](#page-20-0)).

#### 8.5 Overview of Breast Cancer

Breast cancer is the most common cancer among women (Parkin et al. [1999](#page-18-0)). It progresses in a stepwise fashion through multiple stages including flat epithelial atypia (FAE), atypical ductal hyperplasia (ADH), ductal carcinoma in situ (DCIS), and invasive ductal carcinoma (IDC) (Bombonati and Sgroi [2011\)](#page-14-0). FAE is considered a benign lesion and is characterized by ducts with nonuniform diameters, lined by single or multilayered epithelial cells (Schnitt [2003](#page-19-0)). The cells adjacent to the lumen retain at least some aspects of apical-basal polarity, whereas cells in the underlying layers do not contact the lumen and therefore lack apical-basal polarity.

Whereas ADH are small hyperplastic lesions that still retain some ductal structures, DCIS are larger and are characterized by uniform proliferative pre-malignant cells that have not invaded across the basement membrane (Ellis [2010](#page-15-0); Zagouri et al. [2007](#page-20-0)). Up to 50 % of patients with DCIS will develop IDC, in which the carcinoma breaches the basement membrane. Therefore, mammary ductal and cellular organization changes throughout breast cancer progression, and loss of apical-basal polarity can occur at the earliest stages.

There are multiple breast cancer subtypes, which can be grouped based on the presence or absence of molecular markers and have distinct clinical outcomes. Luminal A breast cancers express markers of luminal epithelial cells, are estrogen receptor positive, and have the best prognosis (Rakha et al. [2008\)](#page-18-0). Luminal B also express markers of luminal epithelial cells; however, they have reduced expression of genes associated with estrogen receptor signaling and have a poorer prognosis. HER2 breast cancers have amplified expression of the ErbB2 receptor tyrosine kinase and represent a poor prognosis subtype. Finally, triple-negative/basal-like breast cancers have the poorest prognosis and are negative for estrogen receptor, progesterone receptor, and ErbB2, but express markers of basal myoepithelial cells (Rakha et al. [2008\)](#page-18-0). More recently, genome-wide expression profiling of breast cancers has led to a more refined classification system and the identification of an additional triple-negative cancer subtype called claudin low, which exhibits low expression of luminal differentiation markers (like claudins) and high expression of basal/mesenchymal and stem cell markers (Prat et al. [2010\)](#page-18-0). Interestingly, the gene expression profile of the different breast cancer subtypes has a striking resemblance to expression profiles of cells along the stem/progenitor/differentiated cell spectrum, and it has been proposed that the cell of origin for the different breast cancer subtypes arises from distinct stem, progenitor, and differentiated cell populations (Visvader [2009\)](#page-20-0). Strikingly, over 95 % of basal-like breast cancers have altered expression of at least one core component of the Crbs/Pals1/Patj, Par3/ Par6/aPKC, or Scrib/Llgl/Dlg complexes, whereas they are disrupted in only 65 % of luminal A/B and 79 % of Her2-enriched tumors (TCGA [2012](#page-19-0)). Therefore, although the role of cell polarity proteins are best understood in luminal epithelial cells, we expect that they may have distinct functions in basal or stem cells, and therefore the functions of polarity proteins may be different depending on the cancer subtype.

#### 8.6 Cell Polarity and Breast Cancer Progression

Cell polarity genes are essential regulators of epithelial organization that also function in growth control (Fig. [8.4\)](#page-9-0). Furthermore, in some cases, loss of a polarity protein is sufficient for the development of benign or malignant lesions, indicating that they can function as tumor suppressors. For example, when Scrib is depleted from Comma-D cells (a mouse mammary cell line) and then transplanted orthotopically into the mammary fat pad, the glands exhibit epithelial overgrowth

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Fig. 8.4 Regulation of breast cancer progression by polarity proteins. Polarity proteins regulate diverse cellular processes of apoptosis, proliferation, cell junction remodeling, extracellular matrix (ECM) remodeling, and epithelial-mesenchymal transition (EMT). Each of these processes impinges on various stages of breast cancer progression from loss of epithelial organization and growth control, through invasion and metastasis. The *dashed line* represents that Scrib, Dlg, AF-6, and Patj regulate invasion through an undefined process. The *dotted line* signifies that Lkb1 regulates ECM remodeling, although it is not known whether this affects invasion and metastasis

with solid ducts that lack a central lumen (Zhan et al. [2008](#page-20-0)). This effect is, at least in part, due to fact that loss of Scrib protects cells from apoptosis (Zhan et al. [2008\)](#page-20-0). Interestingly, 10 % of the Scrib-depleted mammary glands form palpable tumors with a well-differentiated glandular phenotype (Zhan et al. [2008\)](#page-20-0). Given the low penetrance and long latency, cooperating events are likely necessary for tumor formation in Scrib-depleted glands.

The disruption of other polarity proteins can also induce early stages of tumor progression. When primary mouse mammary epithelial cells are depleted of the apical scaffold Par3 and transplanted orthotopically, the resulting ducts are dilated and multilayered, a phenotype that is reminiscent of the early stages of human breast cancers (McCaffrey and Macara [2009\)](#page-17-0). Moreover, Par3-depleted ducts are significantly more proliferative than control ducts; however, they also have increased apoptosis, which offsets hyper-proliferation and limits tumor progression since palpable tumors are not observed (McCaffrey and Macara [2009;](#page-17-0) McCaffrey et al. [2012\)](#page-17-0). These examples demonstrate that polarity proteins play a critical role in maintaining the ductal epithelium, and their loss can trigger early stages of cancer formation.

Given the low penetrance and long latency of tumors from Scrib-deficient cells, and the lack of palpable tumor formation in Par3-depleted mammary glands, it is likely that disrupted cell polarity cooperates with other events to promote tumorigenesis. For example, expression of Myc in the mammary gland is weakly tumorigenic, partly because Myc expression induces apoptosis through a pathway involving the GTPase Rac1, Jun N-terminal kinase (JNK), c-Jun, and the

proapoptotic protein Bim (Amundadottir et al. [1995](#page-14-0); Zhan et al. [2008\)](#page-20-0). Activation of this apoptotic pathway depends on the scaffold Scrib, and when Scrib is lost, Myc-induced apoptosis is short circuited enabling the formation of larger tumors (Zhan et al. [2008](#page-20-0)). Remarkably, mislocalization of Scrib is also able to enhance Myc-induced tumorigenesis (Zhan et al. [2008\)](#page-20-0), supporting the view that polarity protein function can be disrupted by changes in expression or by changes in subcellular localization.

Disrupting other polarity proteins can also cooperate with Myc to promote mammary tumorigenesis. Homozygous deletion of *Lkb1* (also called Par4) from the mammary epithelium dramatically reduces tumor latency and increases both the number and size of tumors, compared to Myc alone (Partanen et al. [2012b\)](#page-18-0). Interestingly, mice with heterozygous expression of *Lkb1* have an intermediate effect, indicating that even partial loss of Lkb1 expression sensitizes mice to Myc-induced tumors (Partanen et al. [2012b\)](#page-18-0). However, unlike Scrib, loss of Lkb1 does not suppress Myc-induced apoptosis, indicating that polarity proteins can have diverse mechanisms in promoting Myc-driven tumors (Fig. [8.4](#page-9-0)). Instead, loss of Lkb1 leads to disrupted apical-basal polarity and cell junction defects, which caused mislocalization of the serine protease hepsin away from cell borders and compromised basement membrane organization (Lutzner et al. [2012](#page-17-0)). Importantly, low levels of Lkb1 correlate with elevated cytoplasmic hepsin in human breast cancers (Lutzner et al. [2012\)](#page-17-0), indicating that the effects of loss of Lkb1 may be a general effect, independent of oncogenic functions of Myc specifically.

Disruption of Par3 also promotes oncogene-induced tumorigenesis and further promotes invasion and metastasis. Expression of the intracellular domain of the Notch receptor (NICD) in the mammary epithelium induces nonmetastatic tumors that are slow growing and retain E-cadherin and ZO-1 staining at cell-cell junctions (Hu et al. [2006](#page-16-0); McCaffrey et al. [2012](#page-17-0)). However, depletion of Par3 from NICDexpressing tumors drastically reduces tumor latency, increases tumor growth, and promotes lung metastasis (McCaffrey et al. [2012](#page-17-0)). Interestingly, depletion of Par3 from an ErbB2 breast cancer model also promotes invasion and metastasis but does not affect primary tumor growth (Xue et al. [2012](#page-20-0)). Therefore, the growthpromoting effects of loss of Par3 may be dependent on the tumor context and the underlying signaling pathways regulating growth of that tumor. A striking example of this is that loss of Par3 in skin papilloma actually has the opposite effect and reduces tumor growth (Iden et al. [2012](#page-16-0)).

In some contexts, polarity proteins may act as oncogenes to promote breast tumorigenesis. For example, Par6 is overexpressed in hyperplastic benign breast lesions, and overexpression of Par6 in MCF10A cells induces hyper-proliferation, which acts through the MAPK pathway and is dependent on Par6 binding to Cdc42 and aPKC (Nolan et al. [2008](#page-18-0)). In addition, aPKCι itself is overexpressed in breast cancers, and in this case, expression correlates with more advanced tumors (Kojima et al. [2008](#page-16-0); Paget et al. [2011\)](#page-18-0). Screening of human breast cancer cell lines identified several with high levels of aPKCι activation; knocking down aPKCι expression in these cell lines reduced tumor cell proliferation and induced markers of senescence,

indicating that aPKCι overexpression may promote tumorigenesis by repressing senescence (Paget et al. [2011](#page-18-0)).

In addition to cooperating in parallel with oncogenes and tumor suppressors, polarity proteins can associate with oncogenes or tumor suppressors themselves to modulate tumor progression (Fig. [8.4\)](#page-9-0). When bound to oncogenes, polarity proteins themselves may not act as classical oncogenes—where gain of function promotes tumorigenesis—but rather they function to enable oncogene-mediated tumor progression. For example, ErbB2 activation by induced dimerization promotes proliferation, inhibits apoptosis, and disrupts epithelial organization and apical-basal polarity (Aranda et al. [2006](#page-14-0)). Whereas Par6/aPKC has no role in ErbB2-mediated proliferation, they are necessary to both disrupt polarity and inhibit apoptosis. Interestingly, activated ErbB2 was shown to bind Par6/aPKC and displace Par3; therefore, activation of ErbB2 affects apical-basal polarity by disrupting the Par complex (Aranda et al. [2006\)](#page-14-0). The contribution of the Par polarity complex in ErbB2-/Her2-positive breast cancers may occur at multiple levels. The  $14-3-3\sigma$ tumor suppressor gene is frequently lost in ErbB2-amplified tumors, and genetic deletion of the 14-3-3σ locus in mice disrupts cell-cell junctions and apical-basal polarity and accelerates ErbB2-dependent tumor onset (Ling et al. [2010](#page-17-0)). Intriguingly,  $14-3-3\sigma$  can bind Par3, and loss of  $14-3-3\sigma$  mislocalizes Par3 from the plasma membrane (Ling et al. [2011](#page-17-0); Ling et al. [2010](#page-17-0)), demonstrating another mechanism by which the Par complex can be disrupted in ErbB2 breast cancers.

# 8.7 Polarity and Epithelial-Mesenchymal Transitions (EMT) During Breast Cancer

Multiple mechanisms are employed by breast tumor cells to invade and disseminate from the primary tumor. At one end of the spectrum is collective migration, in which cells move as a group held together by cell-cell junctions. As described above, this is important for branching morphogenesis; however, collective invasion of groups of cells has also been observed in breast and other tumor cells (Friedl and Gilmour [2009](#page-16-0); McCaffrey et al. [2012](#page-17-0)). At the other end of the spectrum is a single cell invasion, in which single cells can take various forms, such as amoeboid or mesenchymal, to invade through the extracellular matrix; interestingly, cells can dynamically change between invasion modes, demonstrating that invading cells exhibit remarkable plasticity (Friedl and Wolf [2009](#page-16-0)). Although invading cells have often, if not always, lost apical-basal polarity, they retain front-rear polarity, in which many apical-basal polarity proteins relocate to the leading edge to coordinate cytoskeletal remodeling (Godde et al. [2010](#page-16-0)).

Epithelial cells can be reprogrammed to become more mesenchymal, the so-called epithelial-mesenchymal transitions (EMT), which can promote a single cell mode of invasion. EMT is characterized by loss of E-cadherin and cell-cell junctions, loss of apical-basal polarity, and a switch in the expression of epithelial

cytoskeletal cytokeratins to mesenchymal cytoskeletal proteins like vimentin (Thiery et al. [2009\)](#page-19-0). Furthermore, EMT confers stem cell-like properties to mammary cells, such as self-renewal and survival in low adhesion conditions (Mani et al. [2008](#page-17-0); Morel et al. [2008\)](#page-17-0).

EMT reprogramming is primarily driven by three families of transcription factors, Zeb, Twist, and Snail, which target the polarity machinery (Thiery et al. [2009](#page-19-0)). For example, Zeb1 suppresses expression of Crumbs3, Lgl2, and Patj (Fig. [8.4](#page-9-0)) (Aigner et al. [2007;](#page-14-0) Russ et al. [2012\)](#page-19-0). Knockdown of Lgl2 induces a spindly "mesenchymal" phenotype; however, whether they undergo EMT is not clear since the expression of EMT markers was not reported. However, re-expression of Lgl2 is able to suppress Snail-induced EMT (Russ et al. [2012\)](#page-19-0). However, simultaneously knockdown of two polarity proteins does not induce expression of mesenchymal markers, despite cells becoming invasive (Fig. [8.4](#page-9-0)) (Chatterjee et al. [2012](#page-15-0)). Moreover, knockdown of Par3 can induce invasion and metastasis, all in the absence of EMT (McCaffrey et al. [2012;](#page-17-0) Xue et al. [2012\)](#page-20-0). Collectively, this indicates that loss of apical-basal polarity may be necessary for EMT; however, silencing of apical-basal polarity proteins is not sufficient to induce an EMT phenotype. These data support a model in which disrupted apical-basal polarity can induce invasion through diverse mechanisms that are independent of a mesenchymal mode of invasion (Fig. [8.4](#page-9-0)).

# 8.8 Cell Polarity and Invasion and Metastasis and Cell Polarity

The vast majority of breast cancer-related deaths result from metastasis to distant organs. Metastatic progression is a multistep process involving local invasion, entry into the circulatory or lymphatic system, exit at distant sites, and finally survival and growth of disseminated tumor cells. Although classically thought of as a late stage in tumor progression, there is substantial evidence that dissemination may occur early in the progression of breast cancer (Hüsemann et al. [2008](#page-16-0); Podsypanina et al. [2008](#page-18-0)).

There is substantial evidence that disrupted apical-basal polarity can alter cell invasion. Whereas knocking down apical-basal proteins Scrib, AF-6, Patj, and Dlg alone had little effect on invasion of MCF10A cells, depletion of two proteins from different polarity complexes was sufficient to induce invasion (Fig. [8.4](#page-9-0)) (Chatterjee et al. [2012\)](#page-15-0). This suggests that apical-basal polarity acts through multiple mechanisms or that polarity complexes can act redundantly to suppress cell invasion. It may also depend on the polarity protein targeted and how they affect other polarity components. For example, Par3 is mislocalized in the mammary epithelium of Lkb1 knockout mice (Partanen et al. [2012b\)](#page-18-0).

Interestingly, loss of a single polarity protein is sufficient to promote invasion when an oncogene is also present (Chatterjee et al. [2012](#page-15-0); Dow et al. [2008](#page-15-0)). In MCF10A cells with activated ErbB2, loss of Scrib, Dlg, or AF-6 promoted invasion, which was dependent on the ability of ErbB2 to interact with Par6/aPKC, again suggesting that multiple hits to the polarity machinery are necessary for invasion (Chatterjee et al. [2012\)](#page-15-0). The cooperation of polarity in oncogene-mediated invasion is not limited to ErbB2 cancers. Depletion of Scrib in MCF10A cells expressing an activated Ras oncogene also induces extensive invasion (Dow et al. [2008](#page-15-0)). In this system, Scrib normally functions to suppress Ras-induced invasion, by blocking Raf-MEK-ERK signaling downstream of Ras (Dow et al. [2008\)](#page-15-0).

In some contexts, signaling through polarity proteins is required for invasion, and disruption polarity signaling actually blocks invasion. For example,  $TGF\beta$  is a potent inducer of invasion, and when mouse mammary epithelial cells are treated with TGFβ, they undergo robust invasion in 3D cultures (Viloria-Petit et al. [2009\)](#page-19-0). However, expressing a Par6 mutant that no longer interacts with the TGFβ receptor disrupts signaling, and TGFβ no longer stimulates invasion (Viloria-Petit et al. [2009](#page-19-0)). This dependency on Par6 for growth factor receptor-mediated invasion is consistent with results from cells with active ErbB2 receptor; however the mechanisms are distinct. In response to TGFβ, Par6 is recruited to the receptor and phosphorylated, which then recruits an E3 ubiquitin ligase, Smurf1 (Viloria-Petit et al. [2009](#page-19-0)). However, in response to ErbB2 activation, Par6 is recruited to the receptor, but invasion acts through an Akt-dependent mechanism (Chatterjee et al. [2012](#page-15-0)). This demonstrates that polarity proteins may cooperate with distinct oncogenic signals through different effector pathways, with a similar end result of enhanced invasion.

Of the polarity complexes, only the Par complex has yet been shown to directly promote breast cancer metastasis in vivo. In addition to being necessary for TGFβ-dependent invasion, Par6 is also necessary for lung metastasis. Expressing a mutant that cannot be phosphorylated by the TGFβ receptor in the mouse mammary tumor EMT-6 cells blocks Par6 signaling, and both the incidence of metastasis and the number of metastatic colonies in the lungs are markedly decreased (Viloria-Petit et al. [2009](#page-19-0)). Furthermore, Par3 is frequently downregulated in human breast cancer, which correlates with metastatic progression, and two studies report that loss of Par3 promotes breast cancer metastasis (McCaffrey et al. [2012;](#page-17-0) Xue et al. [2012\)](#page-20-0). Although tumorigenic, expression of NICD alone in the mammary epithelium does not progress to metastatic disease (Hu et al. [2006;](#page-16-0) McCaffrey et al. [2012\)](#page-17-0). However, depletion of Par3 induces both local invasion and metastasis to the lungs. Mechanistically, loss of Par3 induces robust activation of Jak2/Stat3 signaling, an important mediator of immune function and breast cancer metastasis (McCaffrey et al. [2012](#page-17-0); Ranger et al. [2009\)](#page-18-0). Importantly, Stat3 activation is necessary for metastasis in Par3-depleted cells, because inhibiting Stat3 signaling with pharmacological inhibitors or shRNA reduces invasion in vitro and metastasis in vivo. Transcriptional profiling revealed that loss of Par3 upregulated MMP9, which induced remodeling of the extracellular matrix to enable invasion. Loss of Par3 causes mislocalization and activation of aPKC, which is necessary for Jak/Stat3 activation. Notably, cell-cell adhesions are retained, and cells do not undergo an overt EMT to become invasive (Fig. [8.4](#page-9-0)) (Macara and McCaffrey [2013;](#page-17-0) McCaffrey et al. [2012\)](#page-17-0). Similarly, loss of Par3 increases invasion and

<span id="page-14-0"></span>metastasis in an ErbB2 orthotopic transplant model (Xue et al. [2012](#page-20-0)). As has been reported previously, loss of Par3 induces global Rac1 activation by mislocalizing the Rac1 guanine nucleotide exchange factor Tiam1 (Chen and Macara [2005;](#page-15-0) Nishimura et al. [2005](#page-18-0); Xue et al. [2012\)](#page-20-0). Interestingly, altered Rac1 activity causes deregulated actin and E-cadherin dynamics at cell-cell junctions, thereby reducing cell cohesion and enabling invasion and metastasis (Xue et al. [2012\)](#page-20-0). Furthermore, despite changes in E-cadherin dynamics, the cells do not show evidence of EMT (Xue et al. [2012\)](#page-20-0). Therefore, loss of Par3 can cooperate with different oncogenes to induce metastasis, using complimentary mechanisms, but in the absence of EMT (Fig. [8.4](#page-9-0)).

#### 8.9 Conclusions

Cell polarity is a dynamic event during epithelial morphogenesis and cancer progression in the mammary gland. A key function of apical-basal polarity proteins is to localize diverse signaling pathways at appropriate positions within cells to regulate cell proliferation, apoptosis, differentiation, and cell migration. Disruption of either expression or localization of the polarity machinery deregulates these events, which promotes cancer initiation as well as progression of invasive and metastatic breast cancer.

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