

# Chapter 3

## The Role of Endogenous Neural Stem Cells in Stroke

Qichuan ZhuGe, Linhui Ruan and Kunlin Jin

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**Abstract** Stroke is the 4th leading cause of death and the leading cause of severe long-term disability worldwide, with no effective treatment for most cases. The development of new effective therapies is needed to improve functional neurological recovery in stroke patients. Researches in experimental stroke in animal models over the past decade demonstrate that ischemic stroke enhances endogenous neural stem cells proliferation in SVZ and SGZ and promotes SVZ NSCs migration to the ischemic infarct site, differentiation into functional mature neurons. Ischemic injury

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K. Jin (✉) · L. Ruan · Q. ZhuGe  
Zhejiang Provincial Key Laboratory of Aging and Neurological Disorder Research,  
First Affiliated Hospital, Wenzhou Medical University, Wenzhou, China  
e-mail: kunlin.jin@unthsc.edu

K. Jin · L. Ruan  
Department of Pharmacology and Neuroscience, University of North Texas Health Science  
Center, 3500 Camp Bowie Blvd., Fort Worth, TX 76107, USA

Q. ZhuGe  
Department of Neurosurgery, The First Affiliated Hospital,  
Wenzhou Medical University, Wenzhou, China  
e-mail: drzhuge@yahoo.com

triggers endogenous neural stem cell proliferation by a variety of growth factors, morphogens and neurotransmitters. Neuroblast migration occurs through SDF-1, MCP-1, MMP production and through association with vasculature and endothelial cells. These promising findings in stroke have brought hope to the development of neurorestorative therapy which aims to enhance endogenous neurogenesis after ischemic stroke and thereby contribute to the functional recovery.

### Abbreviations

BDNF	Brain-derived neurotrophic factor
DG	Dentate gyrus
EGF	Epidermal growth factor
EPO	Erythropoietin
FGF-2	Fibroblast growth factor-2
GABA	Gamma-aminobutyric acid
GFAP	Glial fibrillary acidic protein
IGF-1	Insulin-like growth factor-1
MCP-1	Monocyte chemoattractant protein-1
MMP	Matrix metalloproteinase
NMDA	N-Methyl-D-aspartate
NPC	Neural progenitor cells
NSCs	Neural stem cells
rt-PA	Recombinant tissue plasminogen activator
SVZ	Subventricular zone
SGZ	Subgranular zone
SDF	Stromal cell-derived factor
VEGF	Vascular endothelial growth factor

## 3.1 Introduction

Stroke is the 4th leading cause of death in the United States and the leading cause of severe long-term disability worldwide and the number of patients suffered from stroke is on the increase at present, with no effective treatment for most cases. Currently, thrombolysis with recombinant tissue plasminogen activator (rt-PA) remains the only FDA approved treatment for acute ischemic stroke, but the short therapeutic time window makes this treatment applicable to only a minority of stroke patients (Brott and Bogousslavsky 2000). Therefore, the development of new effective therapies is needed to improve functional neurological recovery in stroke patients.

Endogenous Neural stem cells (NSCs) resident in the brain neurogenic regions raise the possibility of developing neural repair strategies for stroke. Researches in experimental stroke in animal models over the past decade have demonstrated that ischemic stroke enhances endogenous neural stem cells proliferation in SVZ and SGZ of dentate gyrus and promotes SVZ NSC migration to the ischemic infarct regions (Jin et al. 2001; Thored et al. 2006). Moreover, stroke-induced neurogenesis

has also been reported in the adult human brain (Jin et al. 2006). These promising findings in stroke have brought hope to develop neurorestorative therapy which aims to enhance endogenous neurogenesis after stroke and thereby contribute to the functional recovery. This chapter aims to provide an understanding the role of endogenous neural stem cells in stroke and to describe current knowledge of how stroke induces endogenous neural stem cells proliferation, migration and differentiation.

### 3.2 Proliferation of Endogenous Neural Stem Cells After Stroke

It is well accepted that NSCs are primarily present in the adult SVZ and SGZ of dentate gyrus. Based on the morphology, protein expression, proliferation kinetics, and differentiation potential, three types of SVZ cells have been identified: type A (neuroblasts), type B (GFAP-positive progenitors) and type C (transit amplifying cells) (Zhao et al. 2008). GFAP, Nestin and Sox2 -positive type B cells are considered as the primary NSCs in the SVZ. This population resides in the wall of lateral ventricle and gives rise to actively dividing type C cells. Type C cells, which are negative for GFAP but express Mash1 and EGF receptor, generate type A cells that migrate into the olfactory bulb via the rostral migratory stream (RMS). In the SGZ of dentate gyrus, two types of NSCs, type 1(GFAP+SOX2+) and type 2(GFAP-) NSCs are present, maintaining a reciprocal relationship in the DG of hippocampus (Suh et al. 2007).

Proliferation is the first step of endogenous NSCs' response to ischemic stroke injury. It is reported that ischemic injury alone is sufficient to promote the proliferation of endogenous NSCs and thereby expands the NSCs pool (Zhang et al. 2007). The expansion of the NSCs pool is an essential factor in the development of endogenous neurorestorative strategies after stroke. Stroke induces early expansion of the NSCs pool by increasing the proportion of proliferating cells and shortening the length of cell cycle (Zhang et al. 2008b). In the adult rat brain, the proportion of actively dividing SVZ NSCs is about 15–21% and the length of cell cycle of this population is approximately 18–21 h (Zhang et al. 2006). Stroke increases the proportion of proliferating SVZ NSCs, starting 2 days (24%) and reaches a maximum at 7 days (31%) after stroke. Two weeks after stroke, the level of proliferation returns to the baseline. Concurrently, stroke changes the cell cycle length of NSCs. The length of cell cycle shortens to 11 h at 2 days after stroke, which is significantly shorter than cell cycle length of 18–21 h in normal brain. Alteration of the G1 phase of SVZ NSCs may contribute to stroke-induced changes of the cell cycle length. Furthermore, studies by Zhang and his colleagues also revealed that stroke transiently switches NSCs division from asymmetric to symmetric (Zhang et al. 2004b). Symmetric division gives rise to two identical daughter cells that stay in the SVZ to maintain the NSCs pool, whereas asymmetric division generates two different daughter cells and the basal daughter becomes a young migratory cell that migrates away. The switching NSCs division from asymmetric to symmetric amplifies the pool of NSCs.

## **Mediators of Stroke-Induced NSCs Proliferation**

Although little is known about the exact molecular mechanisms underlying the regulation of endogenous NSC proliferation after stroke, several potential mediators are beginning to be identified. Here, we review current data on growth factors, morphogens and neurotransmitters that are involved in the regulation of endogenous NSC proliferation after stroke.

### ***3.2.1 Growth Factors and Neurotrophic Factors***

#### **3.2.1.1 FGF-2**

FGF-2 is a well-known growth factor that plays a role in neurogenesis in the adult brain. Studies have reported that FGF-2 expression in the brain increased significantly after ischemic stroke (Naylor et al. 2005). Overexpression of FGF-2 significantly increased the proliferation of progenitor cells after ischemic stroke in both FGF-2 deficient mice and wild-type mice (Yoshimura et al. 2001). Conversely, FGF-2 knockout mice showed a reduction of ischemia-induced progenitor proliferation when compared with wild type mice. Moreover, administration of bone marrow stromal cells engineered to produce FGF-2 (Ikeda et al. 2005) has been shown to decrease infarct size. Taken together, these findings suggest that FGF-2 plays a role in NPC proliferation and neuroprotection after ischemic stroke. Several potential mechanisms underlying the neurogenic effect of FGF-2 in the ischemic injured brain have been presented. These include upregulation of BDNF, induction of GDNF, and downregulation of the NMDA receptor (Mattson et al. 1993; Lenhard et al. 2002; Kiprianova et al. 2004).

#### **3.2.1.2 EGF**

EGF, a known mitogen involved in the proliferation of adult NPCs, has an effect similar to FGF-2 in regulating endogenous NSC proliferation after stroke. Importantly, the expression of EGF-receptor on type C cells or TAPs was found to be increased after ischemic stroke (Ninomiya et al. 2006). Ischemia also causes an up-regulation of Heparin binding EGF (HB-EGF) (Tanaka et al. 2004), which is known to act through the EGF receptor to promote neurogenesis. Previous studies have demonstrated that exogenous EGF administration in ischemic animals rescued 20% of the interneurons that would have died after ischemia, suggesting the neurogenic role of EGF in the adult brain after ischemic injury (Teramoto et al. 2003). Furthermore, infusion of EGF together with FGF-2 into the brain of adult rats was found to promote DG and SVZ NPC proliferation after focal ischemic stroke (Nakatomi et al. 2002; Tureyen et al. 2005). Administration of HB-EGF enhanced postischemic neurogenesis and contributed to the improvement of functional recovery (Jin et al. 2004). Overexpression of HB-EGF by viral delivery also led to a significant improvement in neurological function after ischemic stroke, which was attributed to increased neurogenesis by HB-EGF (Sugiura et al. 2005).

### 3.2.1.3 IGF-1

IGF-1, primarily produced in the liver, plays a major role in brain development. Several studies have demonstrated that focal ischemia significantly increases IGF-1 expression, its receptor and binding proteins (Yan et al. 2006). Blockage of IGF-1 by intracerebroventricular administration of IGF-1 antibodies resulted in a significant inhibition of neural progenitor proliferation induced by ischemic stroke, suggesting that IGF-1 regulates neurogenesis after ischemia. Conversely, administering IGF-1 after ischemic stroke promoted neurogenesis (Dempsey et al. 2003; Zhang et al. 2004a) and reduced neuronal loss (Brywe et al. 2005). In vitro studies demonstrated that IGF-1 stimulated the proliferation of cultured NPCs via phosphorylation of the PI-3-kinase/Akt signaling pathway (Kalluri et al. 2007). Furthermore, IGF-1 also enhanced glycogen synthase kinase phosphorylation, suggesting its involvement in NPC survival (Kalluri et al. 2007).

### 3.2.1.4 VEGF

Vascular endothelial growth factor (VEGF) is the major angiogenic growth factor, which can induce angiogenesis and vasculogenesis through interaction with the VEGF receptor on endothelial cells. Our previous study revealed that VEGF promotes the proliferation of NSCs both in vitro and in the adult rat brain (Jin et al. 2002). Wang et al. showed that VEGF overexpression in transgenic mice greatly promoted ischemia-induced neurogenesis (Wang et al. 2007). Furthermore, intravenous administration of VEGF after stroke promoted angiogenesis in the ischemic penumbra and improved neurological performance. In addition, we previously showed that VEGF ICV administration to rats after focal ischemic stroke reduced infarct volume and resulted in enhanced neurological recovery suggesting that VEGF induces neurogenesis and neuroprotection after ischemic stroke (Sun et al. 2003).

### 3.2.1.5 BDNF

BDNF, one of the most extensively studied neurotrophic factors, is necessary for NSC proliferation and differentiation in the adult brain. Two research groups have revealed that the expression of BDNF and its receptor increased after ischemic stroke (Arai et al. 1996; Kokaia et al. 1998). Intraatrial infusion of BDNF before ischemia in adult rats increased the survival of neurons in the dorsolateral side of the striatum and resulted in improved functional recovery (Andsberg et al. 2002). Furthermore, infusion of human mesenchymal stem cells expressing the BDNF gene after ischemic stroke greatly reduced the infarct volume. Consistent with these observations, knockout of BDNF in mice resulted in larger infarct volumes after MCAO as the inhibition of endogenous BDNF after ischemic injury may decrease the survival of neurons (Endres et al. 2000; Larsson et al. 2002).

### **3.2.2 Notch Signaling**

Notch signaling is involved in neurogenesis in the neurogenic regions of the intact adult brain. Recent studies have documented that expression of Notch and Hes1 in SVZ NPCs significantly is increased after stroke (Zhang et al. 2008a). Inhibition of the Notch signaling pathway with siRNA or  $\gamma$ -secretase inhibitor blocked stroke-induced neurogenesis. Moreover, an in vivo study demonstrated that administration of Notch ligand delta-like 4 (Dll4) together with FGF-2 after stroke significantly increased the rate of proliferation of SVZ neural progenitor cells (Androutsellis-Theotokis et al. 2006). The same study also revealed that the Notch pathway interacts with the Shh pathway in regulating NSCs (Balordi and Fishell 2007; Angot et al. 2008).

### **3.2.3 Shh and Wnt Signalings**

The Shh signaling pathway is involved in the proliferation and maintenance of NSCs in the adult brain. It is also associated with EPO in mediating adult neurogenesis. EPO is known to regulate neurogenesis in both the adult normal and ischemic brains through its receptor EPOR in the adult SVZ. Infusion of EPO significantly increased ischemia-induced neurogenesis whereas blockage of the Shh pathway with cyclopamine or siRNA significantly suppressed EPO-increased neurogenesis (Liu et al. 2007). Wnt signaling promotes proliferation and neuronal differentiation in adult hippocampal progenitor cells in the DG (Lie et al. 2005). Expression of Wnt and BMP family genes in SVZ NSCs of adult rodents were altered after stroke. However, how these genes regulate the proliferation of endogenous NSCs after stroke remains to be determined (Morris et al. 2007).

### **3.2.4 Neurotransmitters**

Neurotransmitters released from nerve terminals have been demonstrated to promote NPC proliferation in the adult brains. Studies have also shown the involvement of the glutamate signaling pathway in post-ischemic NSC proliferation. Abnormal glutamatergic neurotransmission, in particular disrupted glutamatergic receptor expression, has been reported to play a significant role in neuronal death after ischemic stroke. Several studies have revealed that activation of the NMDA receptor, an ionotropic glutamate receptor, blocks proliferation of NPCs while inhibition of NMDA receptors via antagonists promotes NPC proliferation (Cameron et al. 1995; Nacher et al. 2003). A subsequent study conducted by Kluska et al. also reported that administration of an NMDA antagonist during brain ischemic stroke induced by photothrombosis resulted in enhanced neurogenesis in the hippocampus of rats (Kluska et al. 2005). However, Bernabeu and Sharp reported that administration of NMDA and AMPA receptor antagonists prevented stroke-induced neurogenesis in

the SGZ after transient global ischemia (Bernabeu and Sharp 2000). These conflicting results may be attributed to species-specific differences and the different models of ischemic stroke. The mechanism by which glutamatergic signaling pathway mediate stroke-induced neurogenesis is unclear. Several other neurotransmitters such as GABA and dopamine have also been reported to play significant roles in the intact adult brain. However, their roles in mediating ischemic stroke-induced neurogenesis still need further evaluation.

### 3.3 Migration of Endogenous Neural Stem Cells After Stroke

In order for proliferating NSCs to contribute to the functional recovery, it is necessary for these NSCs to migrate from birthplace to the ischemic region. In the normal adult brain, the SVZ neuroblasts are destined to follow rostral migratory stream to migrate to the olfactory bulb. However, many of these SVZ neuroblasts migrate from the SVZ through the brain parenchyma into the ischemic injury region after ischemic stroke, as revealed by several studies (Arvidsson et al. 2002; Jin et al. 2003; Thored et al. 2006). This redirected migration is associated with cellular interactions between immature migrating neuroblasts, astrocytic processes and blood vessels (Yamashita et al. 2006). However, ischemic stroke also up-regulate inhibitory molecules such as chondroitin sulfate proteoglycans (CSPGs), which blocks neuroblast migration (Carmichael 2005). Stroke not only up-regulates attractive factors for neuroblast migration but also forms peri-infarct scar and produces barrier molecule to inhibit neuroblast migration. The net neuroblast migration is dependent on the balance of inhibitory molecules and attractive factors.

The mechanism underlying stroke-induced redirected migration is unclear. Several receptor-ligand signaling pathways and molecular factors involved in the stroke-induced endogenous NSCs migration have been identified. These include: stromal cell-derived factor 1 (SDF-1) and CXC chemokine receptor 4 (CXCR4), monocyte chemoattractant protein-1 (MCP-1) and CC chemokine receptor 2 (CCR2), and matrix metalloproteases (MMP). Further, the neurovascular niche within SVZ and SDG is also reported to be closely associated with post-stroke neuroblasts migration.

#### 3.3.1 SDF

SDF-1 (CXCL12), a member of the alpha chemokine family, has been demonstrated to play an essential role in the mobilization and homing of stem cells to bone marrow with its receptor CXCR4 (Hattori et al. 2003). A role of SDF-1 and CXCR4 in the directing migration of neuroblasts in brain has also been reported as well. Study by Robin et al. showed that CXCR 4 is expressed in the NPCs and migrating neuroblasts in stroke brain, while blocking SDF-1 alpha by a neutralizing antibody against

CXCR 4 significantly attenuated stroke-enhanced NPC migration, suggesting that SDF-1 $\alpha$  generated in the stroke hemisphere may guide NPC migration towards the ischemic boundary via binding to its receptor CXCR 4 in the NPC (Robin et al. 2006). Moreover, Ohab et al. also demonstrated that intraventricular administration of SDF-1 in ischemic mice promote neuroblast migration after stroke and contribute to behavioral recovery (Ohab et al. 2006).

### 3.3.2 *MCP-1*

Monocyte chemoattractant protein-1 (MCP-1), a chemokine of the CC family, was previously shown to increase the migration of neural progenitors in vitro (Widera et al. 2004). It is also reported to play a critical role in neuroblast migration after focal cerebral ischemia. Study by Yan et al. demonstrated that ischemic stroke in adult rats induces increase of MCP-1 expression in the activated microglia and astrocytes, which lasted for more than 3 days of reperfusion (Yan et al. 2007). This study also found that the migrating neuroblasts in the ischemic brain express the MCP-1 receptor CCR2, and there was a significant decrease in the number of migrating neuroblasts in MCP-1 and CCR2 knockout mice.

### 3.3.3 *MMP*

Matrix metalloproteinases (MMP), a family of proteinases, are known to play a role in extracellular matrix remodeling and cell migration. Recently, MMP have also been implicated in neuroblast migration from the SVZ. MMP-3 and -9 have been shown to express in NPCs, and inhibition of MMPs resulted in reduction in post-stroke neuroblast migration (Barkho et al. 2008). Furthermore, the expression of MMP on the vascular also contributes to the localization of neuroblasts after stroke (Wang et al. 2006). These findings suggest that neuroblasts may “digest” their way through the extracellular matrix as they migrate via secreting MMP. However, the detailed cellular and molecular mechanism underlying is unknown.

### 3.3.4 *Neurovascular Niche*

Neurogenesis is associated with angiogenesis in an environment termed the neurovascular niche within the SGZ and SVZ in the adult brain. In addition, the vasculature also appears to be closely tied to the migration of neuroblasts to ischemic injury regions. The vasculature promotes localization of migrating neuroblasts in the peri-infarct cortex after stroke. Many migrating neuroblasts are found to localize specifically to blood vessels in areas of active vascular sprouting and remodeling in the peri-infarct cortex region (Ohab et al. 2006; Thored et al. 2007). By blocking an-



giogenesis with endostatin, a direct inhibitor of post-ischemic angiogenesis, Ohab et al. demonstrated that angiogenesis and neurogenesis are causally linked within the post-stroke neurovascular niche (Ohab et al. 2006). One week of endostatin treatment resulted in a significant decrease in the number of new born endothelial cells and overall vascular density, leading to a 10-fold reduction in neuroblasts.

The mechanism by which angiogenic vascular mediate the migration and localization of neuroblasts in the peri-infarct region after stroke remain to be identified. Vasculature may provide a scaffold on which neuroblasts can migrate (Kojima et al. 2010), as the close association of migrating neuroblasts with vascular endothelial cells is observed. Vasculature not only provides a scaffold for the migration of neuroblasts, but also promotes neuroblsts migration via secreting various growth and chemotactic factors, including BDNF, MMPs, angiopoietins, and SDF-1. Another clue demonstrated the role of neurovascular niche in mediating post-stroke neuroblasts migration is that the processes of angiogenesis and neurogenesis share several similar molecular signaling in the peri-infarct neurovascular niche, such as ephrin/EphB signaling and Semaphorin 3 $\alpha$  and its receptor neuropilin 1 (Suchting et al. 2006). Taken together, these suggest that neurovascular niche plays an essential role in mediating neuroblasts migration after ischemic stroke.

### 3.4 Differentiation and Neuronal Function

What is the fate of endogenous neuroblasts that migrate to peri-infarct region after ischemic stroke? Although a great quantity of neuroblasts reached the ischemic injury region, only few of them survived and differentiated into mature neurons. It is reported that most of neuroblasts which migrate to the peri-infarct region appear to die, perhaps from a failure to integrate or due to the inflammatory milieu (Arvidsson et al. 2002). In the DG of hippocampus, the stroke-induced neuroblasts migrate into GCL. Most of the surviving neuroblasts differentiate into calbindin or NeuN positive mature neurons by 3–4 weeks after ischemic stroke (Jin et al. 2003). Only a small number of the newly generated cells differentiate into GFAP positive astrocytes in the GCL of hippocampus (Zhu et al. 2003). In the SVZ, many of the surviving cells differentiate into neurons, but the precise nature of the neurons in the striatum is controversial. Some research groups reported that most of surviving neuroblasts differentiate into mature striatal neurons in adult rats after stroke (Arvidsson et al. 2002; Parent et al. 2002). However, study by Liu et al. found that the stroke-induced adult-born neurons exclusively differentiated into calretinin-expressing interneurons (Liu et al. 2009). The reasons for these disparate findings are not entirely clear. Further studies using transgenic methods to label adult-born neurons are therefore needed to address this question.

A number of literature supports the concept that increased neurogenesis is related to functional recovery (Kondziolka et al. 2000; Zhang et al. 2003). Whether these adult-born new neurons could replace lost cells by integrating into the surviving

brain circuitry and contribute to functional recovery is unclear. Some studies support the functional integration of a small portion of newly generated neurons that migrate to the injured striatum after cerebral ischemia in adult brain (Hou et al. 2008). But whether this limited neurogenesis and functional integration contribute to functional improvement after stroke is uncertain. Arvidsson et al. (2002) doubted on the functional significance of post-stroke neurogenesis, as <0.2% of neurons destroyed after stroke were replaced in the infarcted striatum and an even smaller percentage in the infarcted cortex. Although interventions that are aimed at increasing neurogenesis have been shown to improve functional outcome, but these treatments are not specific to neurogenesis (Ohab et al. 2006; Leker et al. 2007). The causal link between neurogenesis and behavioral recovery after stroke remains to be demonstrated. And the approaches to resolve this issue are need in the near future. Using of transgenic technique such as inducible Cre to specifically knockout stroke-induce migrating neuroblasts after stroke could provide insight into the direct effect of neurogenesis on functional improvement.

## Conclusions

Ischemic stroke induces endogenous NSC proliferation within the SVZ, migration from the SVZ, and localization in the peri-infarct cortex and striatum. This whole process include three distinct spatiotemporal zones, each of them is associated with distinct molecular and cellular interactions. The mechanisms that trigger augmented endogenous NSC proliferation, migration and their differentiation into specific neural types after stroke remains to be identified. Ischemic injury triggers endogenous NSC proliferation by a variety of growth factors, morphogens and neurotransmitters. Neuroblast migration occurs through SDF-1, MCP-1, MMP production and through association with vasculature and endothelial cells. However, whether these neuroblasts could integrate into the surviving brain circuitry and contribute to functional recovery is controversial. Understanding the fundamental mechanisms underlying stroke-induced adult neurogenesis will thus provide the basis for endogenous NSC therapy for ischemic stroke.

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