# **Chapter 11 Wax Esters: Chemistry and Biosynthesis**

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#### **Core Messages**

- Wax esters are unique surface lipids found on the surface of terrestrial organisms.
- These unusual lipids that coat the surface of animals offer unique biochemical challenges that are associated with skin health issues.
- Availability of modern analytical technologies, such as GC/LC/MS, allows the elucidation of their complex surface chemistry.
- The great advances in the genomic technology and gene knockout technology allowed identification of the genes involved and their function in the biosynthesis of some of the surface lipids.
- Elucidating the signaling molecules and mechanisms involved in maintaining the function of sebaceous glands and advances in the regulation of the sebum biosynthetic process may lead to novel ways to manipulate the process to yield skin health benefits.

**Abstract** Wax esters are unique surface lipids found on the surface of terrestrial organisms. After a brief review of the composition, the mechanisms used in their biosynthesis by sebaceous glands are summarized. The molecular biology of biosynthesis of methyl-branched, short chain and very long chain acids, and the biochemical mechanism of the loss of the carboxyl carbon of the elongated very long chain acids to generate alkanes are reviewed. The molecular biology of biosynthesis of the major types of molecules contains the hydroxyl group(s) to which the acids are esterified and the molecular biology of the esterification process are reviewed. The molecular bases of seasonal and hormonal regulation of biosynthesis of wax esters that implicate some functions of the wax esters are reviewed.

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# **Introduction**

Animals and plants that live on land use lipids on their body surface to prevent desiccation and to influence interaction with other organisms. These surface lipids are quite different in composition form the internal lipids. Different types of wax esters are significant components of surface lipids. Spreadability and stability, both metabolic and chemical, required of the surface lipids are achieved via their chemical composition. To achieve these objectives shorter chain lengths and methyl branches are used. In humans, triacylglycerols, wax esters and squalene are key components of the surface lipids (Pappas [2009](#page-22-0)). In water birds that encounter colder environment multiple methyl branched fatty acid esters are used (Jacob [1976\)](#page-21-0). For example geese use wax esters composed of 2,4,6,8-tetramethyldecanoic and undecanoic acid while ducks use esters of short-chain fatty acids. Specialized sebaceous glands that line the eyelids produce lipids to coat the tear film to prevent evaporation. The most common cause of certain dry eye conditions is meibomian gland dysfunction (Lee and Tong [2012\)](#page-22-1).

Surface lipids are produced by specialized glands. Human sebaceous glands, distributed throughout the body surface, except the sole and palm, produce sebum (Downing [1976](#page-20-0)). Avian uropygial gland produces lipids that the birds use to preen their feathers (Jacob [1976\)](#page-21-0). Uropygial gland is a large-bilobed structure located on the base of the tail. Each lobe contains numerous holocrine alveoli that open with a central cavity and the secretion passes through ducts opening at the tip of the papilla. As the cells that line the basement membrane of the gland divide and differentiate into lipid producing cells, these large lipid-loaded cells move towards the lumen. The lipid bodies coalesce and the cells lyse emptying the content into the secretory lumen. All sebaceous glands including mammalian meibomian glands have these three type of cells, the peripheral undifferentiated cells, the large lipid body producing cells and the lipid loaded lysing cells that empty their contents into the lumen (Knop et al. [2011](#page-21-1)). In addition to the secretion of the sebaceous glands including uropygial glands, the entire avian skin and animal skin serves as a lipogenic sebaceous secretion organ. In the mammalian epidermis, the outer nucleated keratinocyte cell layer generates lipids that constitute epidermal lamellar bodies which increases in the cytoplasm as the epidermal differentiation proceeds and are secreted into the intracellular space where they are processed to form the extracellular lamellar bilayers that form the permeability barrier (Pappas [2009;](#page-22-0) Proksch and Jensen [2012\)](#page-22-2). Avian epidermal cells, also called sebokeratinocytes, perform functions akin to those performed by the mammalian holocrine sebaceous glands. The membrane bound lipid-enriched organelles, called multigranular bodies, appear as multiple stacks of discs resembling the lamellar bodies of mammalian epidermis (Menon and Menon [2000;](#page-22-3) Salibian and Montalti [2009](#page-23-0)).

## **Chemical Composition**

Wax esters found in the surface lipids are composed of fatty acids esterified to the hydroxyl groups of fatty alcohols, hydroxy fatty acids, alkane-1,2-diols, alkane-2,3 diols and alkane-α,ω-diols. The fatty acids found in ester linkages include n- and branched acids usually with very low amounts of unsaturated acids. Detailed descriptions of surface waxes are available (Smith and Thiboutot [2008](#page-23-1); Nicolaides [1974;](#page-22-4) Camera et al. [2010\)](#page-19-0).

Methyl branched fatty acids are widely distributed in glands. Early gas chromatographic analysis of human surface lipids revealed the presence of methyl branched fatty acids in free fatty acids, wax esters, and triacylglycerols. Such acids constitute almost 80% of the saturated acids in the surface lipids in newborn human (vernix caseosa) but much smaller amounts (12%) in adult human surface lipids (Strauss et al. [1975\)](#page-23-2). The methyl branches found in mammalian and avian surface lipids often contain monomethyl at iso or anteiso or at 2-,4-,6-, and/or 8-positions. Dimethyl, trimethyl or tetra methyl branched acids, in which the methyl branches are at even numbered positions in the chain, are also found.

In specialized sebaceous glands, such as mammalian meibomian glands, wax esters constitute about one third of the total lipids (Knop et al. [2011;](#page-21-1) Butovich [2011\)](#page-19-1). The fatty acids range from C12 to C29 saturated and monounsaturated acids with C18:1 ω-9 as the most abundant acid with lesser amounts of C18:1 ω-7, C16:1 ω-7, anteiso C17:0, and iso-C16:0. The major alcohols are iso-C26:0, iso-24:0, anteiso-C25:0, and anteiso-C27:0. O-Acyl ω-hydroxyfatty acids composed of very long chain (C28:1–C34:1) hydroxyacids acylated with C16 and C18 fatty acids with a dominance of C18:1ω9. C30:1, C32:1, and C34:1 ω-hydroxy acids esterified to sterol on one end and with oleate at the other end constitute a minor component. Another related minor component is C32:1-α, ω-diol esterified at both ends with C18:1 and C16:1 fatty acids.

#### **Biosynthesis of Wax Esters in Sebaceous Gland Lipids**

#### *Tissues Suitable for Biosynthetic Studies*

The small size of mammalian sebaceous glands make their use for biosynthetic studies difficult. Mammalian Meibomian glands that line the eyelids and produce lipids can be used for biosynthetic studies (Anderson and Kolattukudy [1985;](#page-19-2) Kolattukudy et al. [1985a](#page-21-2); Schirra et al. [2005](#page-23-3)). In recent years sebocytes-derived immortalized cell lines that are capable of differentiation into sebum producing cells have been developed. For example, human facial sebocytes were transfected with simian virus-40 large T antigen and the resulting immortalized cell lines called SZ95 and SEB-1 have been shown to retain major characteristics of human sebocytes including differentiation into lipid loaded cells, responsiveness to androgens

and retinoids and gene expression changes (Zouboilis et al. [1999](#page-24-0); Thiboutot et al. [2003;](#page-23-4) Wróbel et al. [2003](#page-24-1)). However, it is unclear why these cell lines do not produce large amounts of waxes in culture.

Since the avian uropygial glands are specialized in lipid biosynthesis they provide a convenient source to elucidate the enzymology of lipid synthesis. For example, the uropygial glands of water fowl such as ducks and geese yield glands that weigh 2–6 g each and extracts from such glands yield large amounts of enzymes that are dedicated to lipid biosynthesis. Thus, purification and characterization of such enzymes is feasible (Kolattukudy [1981](#page-21-3)). Hormonal regulation of avian uropygial glands bear resemblance to what is observed with mammalian sebaceous glands and thus the uropygial gland has been suggested as a suitable model to study hormonal action on mammalian sebaceous gland (Abalain et al. [1986\)](#page-19-3).

## *Biosynthesis of Fatty Acids*

The iso and anteiso acids are produced by using appropriately branched chain starters derived from branched chain amino acids instead of the usual acetyl starter. Thus, valine and isoleucine provide the isobranched C4 and anteisobranched C5 starters, respectively to generate isobranched even chain acids and anteisobranched odd chain acids, respectively. The methyl branches at 2, 4, 6, and 8 positions arise by the use of methylmalonyl-CoA instead of malonyl CoA in chain building by the fatty acids synthase (FAS). Evidence indicates that the availability of methylmalonyl-CoA is the key that controls such branched acid synthesis (Buckner and Kolattukudy [1976b](#page-19-4)).

# *Evolutionary Mechanisms Used to Generate Multiple Methyl Branched Fatty Acids*

Multiple methylbranched acids are constituents of wax esters generated by avian sebaceous glands (Jacob [1976](#page-21-0)). For example, the major fatty acid component of the major secretion product of the goose uropygial glands are 2,4,6,8-tetramethyldecanoic and undecanoic acids. Obviously, these fatty acids are produced from four sequential condensation reactions with methylmalonyl-CoA molecules with acetyl-CoA or propionyl-CoA as the starter, respectively. Gland extract was demonstrated to catalyze such a synthesis (Buckner and Kolattukudy [1975a](#page-19-5)). Purification of the protein that catalyzed this synthesis yielded a 500 kDa protein composed of two identical subunits. In fact this protein turned out to be the normal multifunctional FAS that catalyzes the synthesis of n-C16 fatty acids like the other vertebrate FASs (Buckner and Kolattukudy [1976a](#page-19-6)).

Since the uropygial gland specializes in fatty acid synthesis the major protein in the gland is FAS that can be purified by a single step gel filtration (Buckner and Kolattukudy [1976a](#page-19-6)). This avian fatty acid synthase was the first reported case

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that demonstrated that each subunit of a vertebrate fatty acid synthase contained a covalently attached phosphopantothein providing the first evidence for the identity of the two subunits of vertebrate fatty acid synthase. Subsequently each subunit was found to have all the component activities, acyl transferase, ketoacyl synthase, enoyl reductase, dehydratase and enoyl reductase, acid carrier protein, and thioesterase. Fatty acid synthase from the uropygial gland, that produces multiple methylbranched acids, was found to be identical to that from the liver that produces only n-fatty acids (Buckner and Kolattukudy [1976b](#page-19-4)). The synthase purified from gland and liver can generate n-fatty acids from acetyl-CoA and malonyl CoA as well as 2,4,6,8-tetramethyl decanoic acid from acetyl-CoA and methylmalonyl-CoA as the only chain elongating substrate, although the rate of branched acid synthesis is low when compared to the rate of n-fatty acid synthesis.

Why does the synthase generate n-fatty acids in the liver while the same enzyme generates multiple methyl branched acids in the uropygial gland? The availability of malonyl-CoA or methylmalonyl-CoA would determine whether a tissue generates n-fatty acids or branched fatty acids. The crude extract of the gland cannot produce malonyl-CoA from acetyl-CoA but generates methylmalonyl-CoA from propionyl-CoA (Buckner and Kolattukudy [1975b\)](#page-19-7). However, purified carboxylase from the gland carboxylates both acetyl-CoA and propionyl-CoA. What prevents the production of malonyl-CoA in the crude extract is an active cytoplasmic malonyl-CoA decarboxylase that is present only in the uropygial gland. Thus, in the gland, the abundant fatty acid synthase would have acetyl-CoA and methylmalonyl-CoA as the substrates from which the synthase produces multiple methyl branched fatty acids (Fig. [11.1\)](#page-4-0).

The cytoplasmic malonyl-CoA decarboxylase has been purified, its cDNA has been cloned and the gene that encodes it has been sequenced (Jang et al. [1989\)](#page-21-4). The results showed that the gene is transcribed to produce an mRNA that would be translated into a protein with a mitochondrial targeting leader sequence in the liver (Courchesne-Smith et al. [1992](#page-20-1)). In the gland, a different transcription start site is used to generate an mRNA that would encode a decarboxylase protein that lacks the leader sequence and thus remains in the cytoplasm (Fig. [11.2](#page-5-0)). Thus, a change in the transcription initiation site in the gland causes accumulation of malonyl-CoA decarboxylase in the cytoplasm and causes the production of multiple methylbranched fatty acids using the same acyl-CoA carboxylase and fatty acid synthase present

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**Fig. 11.2** Use of alternate transcription initiation site in the goose uropygial gland leads to an mRNA that is translated into malonyl-CoA decarboxylase protein lacking leader sequence causing accumulation in the cytoplasm. *Arrows* indicate transcription initiation site

in other tissues. This was an unexpected discovery of a minimal change in gene expression that leads to a major change in the composition of the lipids produced by the specialized sebaceous gland. It is interesting to note that the malonyl-CoA decarboxylase gene sequence, first revealed by the work on the uropygial gland, was used to clone the homologous mammalian gene that turned out to be an important player in cardiovascular health by regulating fatty acid oxidation (Lopaschuk and Stanley [2006;](#page-22-5) Ussher and Lopaschuk [2008\)](#page-24-2) and thus, is currently under extensive investigation (Froese et al. [2013](#page-20-2)).

It is interesting to note that mycobacteria, that produce 2,4,6,8 tetramethyl branched long-chain acids as one of the main components of its cell wall-associated lipids, has evolved a unique multifunctional synthase that uses  $n-C_{20}CoA$  as the starter, in place of acetyl or propionyl CoA, and generate 2,4,6,8 tetramethyl tetracosanoic acid called mycocerosic acid (Rainwater and Kolattukudy [1985\)](#page-23-5). In this case the acyl transferase and condensing domains of the multifunctional peptide have evolved to enable the synthase to use  $n-C_{20}CoA$  as the starter, and methylmalonyl-CoA as the chain extending substrate to build the multiple methylbranched mycocerosic acid (Fernandes and Kolattukudy [1997\)](#page-20-3). Thus, when a small specialized tissue within an animal needs to make multiple methyl branched acids, a tissue-specific switch in transcription initiation site allows the gland to use the highly evolved acyl-CoA carboxylase and FAS to produce the unusual lipids. When a whole organism, like the Mycobacterium, needs to make an unusual multiple methyl branched acid, it has evolved a new multifunctional fatty acid synthase uniquely for the synthesis of such an unusual acid.

## *Very Long Chain Acids*

Presence of compounds with very long alkyl chains is a characteristic feature of surface lipids. The very long chain fatty acids are generated by chain elongation

enzymes that are located in the membranes, primarily in the endoplasmic reticulum (ER). These enzymes use n-C16 acid that is generated by the cytoplasmic FAS as the starter that is activated by the ER-located enzyme, and then elongate the thioester using malonyl-CoA and NADPH as the other substrates using reactions analogous to those catalyzed by FAS: condensation, ketoreduction, dehydration, and enoyl reduction. These enzymes probably function as a complex attached to the membrane. Membrane preparations from sebaceous glands such as meibomian glands have been demonstrated to catalyze such elongation reactions generating very long chain fatty acids (Anderson and Kolattukudy [1985\)](#page-19-2). The condensing enzyme determines the specificity of the elongating enzyme and a family of elongases, ELOVL1-7, have been identified (Kihara [2012](#page-21-5)). They show selectivity for the starting acyl-CoA they accept for elongation. ELOVL3 and ELOVL4 have been shown to play important roles in skin lipid biosynthesis (Westerberg et al. [2004;](#page-24-3) Vasireddy et al. [2007\)](#page-24-4). Disruption of elovl3 gene, that shows restricted expression in the sebaceous gland and hair follicular epithelial cells, displayed a sparse hair coat with disturbed hair lipid content (Westerberg et al. [2004\)](#page-24-3). Fatty acids longer than 20 carbons were virtually undetectable. Consequently, elovl3 deficient mice showed a severe defect in water repulsion and high levels of trans-epidermal water loss. Mutation in elovl4 resulted in the absence of very long chain  $\geq$  C28 acids and  $\omega$ -O-acyl ceramide that are important components of the extracellular lamellar membrane that constitutes a major permeability barrier (Vasireddy et al. [2007;](#page-24-4) Uchida [2011\)](#page-24-5). Consequently, these mice displayed scaly, wrinkled skin with severely compromised epidermal permeability barrier causing death within a few hours after birth.

Fatty acids used for the biosynthesis of skin lipids are not only generated within the skin lipid-synthesizing cells, but also transported into such cells. A family of fatty acid transport proteins (FATP) is involved in this process (Khnykin et al. [2011](#page-21-6)). Of the six such mammalian FATPs, FATP4 seems to be the most important one involved in skin lipid biosynthesis (Schmuth et al. [2005](#page-23-6)). Thus, deletion of FATP4 in mice results in perturbations in the biosynthesis of skin lipids by keratinocytes that causes barrier dysfunction and consequently neonatal fatality (Lin et al. [2013a](#page-22-6)). Keratinocyte targeted expression of FATP4 reverses these abnormalities. Wax diester synthesis is drastically reduced by the absence of FATP4. Biosynthesis of the very long chain acids is preferentially inhibited by the absence of FATP4, as the membrane localized elongating enzyme system responsible for the biosynthesis of such acids probably receives the acyl chains for elongation in the activated form from the membrane localized FATP4.

## *Short-Chain Fatty Acid Synthesis*

Chain length of fatty acids generated by multifunctional synthases is determined by the chain length selectivity of the chain-terminating thioesterase that is a segment of FAS. The thioesterase segment of vertebrate FAS, including avian FAS, releases the acyl chains when they reach about 16 carbon length (Bedord et al. [1978](#page-19-8)). Certain avian uropygial glands, such a mallard duck gland, produce esters of shorter chain

fatty acids such as C8, C10, and C12 (Jacob [1976](#page-21-0)). In such glands a small (30 kDa) thioesterase, uniquely present in the glands, interacts with the FAS and releases the shorter acyl chains from the synthase (deRenobales et al. [1980](#page-20-4)). Such a thoiesterase was purified to homogeneity from mallard uropygial glands and its cDNA cloned (Rogers et al. [1982](#page-23-7); Poulose et al. [1985\)](#page-22-7). This thioesterase reacted with pyrenelbutylmethane phosphono fluoridate with covalent attachment of the pyrene derivative to the active serine resulting in the inactivation of the enzyme (Foster et al. [1985a\)](#page-20-5). Addition of avian fatty acid synthase to pyrene-tagged thiosterase caused a dramatic increase in fluorescence anisotropy of the pyrene, demonstrating the physical interaction between the thiosterase and FAS. The association constant for binding of the two proteins was calculated to be  $1 \mu M$  with a one to one stoichiometry. This association could be used to conveniently purify the thioesterase from gland extracts using fatty acid synthase as an affinity ligand (Rogers and Kolattukudy [1984\)](#page-23-8).

The small S-acyl-FAS thioesterase was functionally compatible with vertebrate FAS from other sources (Rogers et al. [1982\)](#page-23-7). FAS from murine source and goose were inactivated by PMSF treatment that covalently modified the active serine in the chain-terminating thioesterase segment of FAS. These inactive FAS preparations were reactivated by the addition of the duck small S-acyl FAS thioesterase that released the acyl chains from FAS. Thus, it would appear that the terminal thioesterase domain of multifunctional FAS polypeptide can be functionally replaced by the small s-acyl FAS thioesterase. The interaction between the resident thioesterase domain of FAS with the fatty acid chain built on the thiol at the condensing domain was examined by determining the distance between the active sites of the thioesterase and the pantetheine thiol using Florence Resonance Energy Transfer (Foster et al. [1985b](#page-20-6)). The active serine was tagged by treatment of the synthase with pyrenebutylmethane phosphono fluoridate. The pantetheine thiol was tagged by treatment with 3- (4 meleinidylphenyl)-7- diethylamino -4- methyl coumarin. When FAS was thus tagged at the thioesterase active site with pyrene and ACP domain active site with coumarin, fluorescence energy transfer occur between the pyrene and the coumarin. The distance between the pyrene and coumarin was estimated to be 37 A from the efficiency of energy transfer. Similar results were observed when the small S-acyl-FAS thioesterase tagged with pyrene was used with coumarin-tagged FAS, supporting the conclusion that the small thioesterase physically interacts with FAS and functionally displaces the resident thioesterase of FAS.

# *Biosynthesis of Fatty Alcohols*

Fatty acyl-CoA reductase that catalyzes fatty alcohol production was first demonstrated in *Euglena gracilis* in which a particulate enzyme catalyzes direct conversions of fatty acyl-CoA to fatty alcohol with NADPH as the reductant without releasing fatty aldehyde intermediate (Kolattukudy [1970](#page-21-7)). Since then fatty acid acyl-CoA reductases have been found and purified from bacteria, plants, and animals, including sebaceous glands (Hellenbrand et al. [2011](#page-20-7); Cheng and Russell [2004a](#page-20-8); Moto et al. [2003](#page-22-8); Honsho et al. [2010](#page-20-9)). Microsomes from bovine meibomian gland and avian uropygial glands also catalyze reduction of fatty acyl-CoA to alcohol without release of any aldehyde intermediates (Kolattukudy and Rogers [1986\)](#page-21-8). A microsomal enzyme solubilized and purified form the duck uropygial gland was found to catalyze conversion of fatty acyl-CoA to fatty alcohol without detectable free fatty aldehyde intermediate (Wang and Kolattukudy [1995a\)](#page-24-6). Fatty acyl-CoA reductase that generates aldehyde as the final product was separated from an aldehyde reductase from a plant that generates both fatty alcohols and alkanes from aldehyde (Kolattukudy [1971](#page-21-9)). A fatty acyl-CoA reductase that generates aldehydes and alcohols was found in Mycobacteria that makes alcohols for wax ester synthesis (Sirakova et al. [2012](#page-23-9)). Thus, reductases that reduce fatty acyl-CoA to alcohol via free fatty aldehyde intermediate are known.

In more recent years, bioinformatics approaches have been used to identify and clone acyl-CoA reductases. Two fatty acyl-CoA reductases have been identified in human and mouse with an insilico approach (Cheng and Russell [2004a\)](#page-20-8). When the cDNAs encoding FAR1 and FAR2 were expressed in cells, these proteins catalyzed acyl-CoA reduction with NADPH as the reductant. FAR1 showed a preference for  $C_{16}$  and  $C_{18}$  saturated and unsaturated acyl-CoA whereas FAR2 preferred saturated  $C_{16}$  and  $C_{18}$  acyl-CoA. FAR1 was found in a variety of murine tissue but FAR2 showed a more restricted occurrence with highest expression in the eyelid that has the wax ester-producing meibomium glands. Both FAR1 and FAR2 that use alcohols for the synthesis of ether lipids were found in the brain. cDNA encoding two fatty acyl-CoA reductases have been cloned from the uropygial glands of several bird species (Hellenbrand et al. [2011\)](#page-20-7). The products of these reductases, FAR1 and FAR2, revealed some differences in substrate specificity. Avian FAR1 showed highest expression in the uropygial gland whereas the avian FAR2 was highly expressed in the brain that is rich in ether lipids.

# *Biosynthesis of Diesters*

Five types of diesters are found in sebaceous secretions. The two most common diesters produced by animal sebaceous glands are 2-hydroxy acids esterified with a fatty alcohol and a fatty acid, and alkane-1,2-diol with both hydroxyl groups in ester linkage with fatty acids (Downing [1976](#page-20-0)). Diesters of alkane α, ω-diols found in the mammalian meibomian gland constitute a third type of diesters (Nicolaides and Santos [1985\)](#page-22-9). A fourth type of diesters, 3-hydroxyfatty acids with both the hydroxyl group and carboxyl group in ester linkages with a fatty acid and fatty alcohol, respectively, are produced as the major secretion product of mallard duck uropygial gland during the mating season (Kolattukudy et al. [1987a\)](#page-21-10). A fifth type of diester alkane-2,3-diol diesters are the major secretion products of the uropygial glands of galliformis, such as chicken, quail, pheasant, etc. (Jacob [1976\)](#page-21-0).

α-Hydroxylation of fatty acids to generate α-hydroxy fatty acid was found to be catalyzed by a particulate fraction from the uropygial glands of a white-crowned sparrow, Zonotrichia leucophrys (Kolattukudy [1972](#page-21-11))*.* The same particulate preparation also catalyzed α-hydroxyfatty acyl-CoA reduction to generate alkane-1,2-diol

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**Fig. 11.3** Biosynthesis of Alkane-1,2-diol diesters

with NADPH as the reductant with hydride transfer from the B-side of the nicotinamide ring. Mammalian sebaceous glands also produce diesters that require 2-hydroxylation of fatty acids followed by reduction of the activated carboxyl group to generate alkane-1,2-diols (Fig. [11.3\)](#page-9-0). 2-Hydroxylated fatty acid containing sphingolipids are also abundant in mammalian skin. Mammalian fatty acid 2-hydroxylase (FA2H) is an NADPH dependent enzyme that catalyzes stereospecfic hydroxylation to yield R-enantiomer (Maier et al. [2011](#page-22-10); Guo et al. [2012](#page-20-10)). When FA2H gene, that was thought to be important for the synthesis of hydroxylated fatty acid containing sphingolipids of the murine skin, was knocked out, the FA2H deficient mice did not show any effect on the level of such sphingolipids (Maier et al. [2011](#page-22-10)). FA2H expression was found to be restricted to sebaceous glands and FA2H deficiency caused a drastic reduction in 2-hydroxylated glucosylceramides and in diester waxes. The consequent change in composition of the surface lipids caused a blockage in hair canals and thus severely interfered with hair growth.

Alkane-α, ω-diols probably arise by ω-hydroxylation of a very long fatty acid followed by a reduction of the activated carboxyl group by a reductase. Biosynthesis of the esters containing very long chain ω-hydroxyfatty acid present in meibomian gland (Kolattukudy et al. [1985a](#page-21-2)) and the very long chain ω-hydroxyceramides that are highly significant constituents of the extracellular multilamellar bodies in the outer layer of the skin involve ω-hydroxylation by cytochrome P450 (CYP) (Hardwick [2008](#page-20-11)). Among the super family of CYPs, CYP4F8, and CYP4F22 have been implicated in skin lipid biosynthesis (Kelly et al. [2011](#page-21-12)). CYP4F8 is expressed in the mammalian epidermis and its expression level is elevated in psoriasis (Stark et al. [2006](#page-23-10)). Mutations in CYP4F22 gene were found in patients with ichtyosis with abnormality in permeability barrier due to deficiency in very long chain acids and

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**Fig. 11.4** Biosynthesis of 3 hydroxyfatty acid diesters

absence of ω-O-acylceramides (Lefèvre et al. [2006\)](#page-22-11). Deficiency in cytochrome b5 that is an electron transport mediator involved in ω-hydroxylation also results in compromised permeability barrier, probably by a combination of changes in unsaturated fatty acid synthesis and a reduction in ω-hydroxylation required for the biosynthesis of the constituents of the barrier (Finn et al. [2011](#page-20-12)).

Diesters of 3-hydroxy C8, C10, and C12 acids are produced by female mallard ducks during the breeding period (Kolattukudy and Rogers [1987\)](#page-21-13). A particulate fraction from the gland extract was shown to catalyze 3-hydroxy-C12 fatty acyl-CoA synthesis. The 3-hydroxy fatty acids are produced by hydration of enoyl-CoA generated by peroxisomal fatty acyl-CoA oxidase as shown by biochemical experimental evidence (Bohnet et al. [1991](#page-19-9); Fig. [11.4](#page-10-0)). As indicated later, these processes occur in peroxisomes that proliferate in the female duck uropygial gland during the mating season.

Biosynthesis of alkane-2,3-diol was postulated to involve condensation between fatty aldehyde and the thiamine pyrophosphate derivative of acetaldehyde to generate an acyloin that could undergo reduction to alkane-2,3-diol (Fig. [11.5](#page-11-0); Sawaya and Kolattukudy [1972\)](#page-23-11). Results obtained with chicken uropygial glands were consistent with this hypothesis (Tang and Hansen [1976\)](#page-23-12). The uropygial gland of ringnecked pheasant ( *Phasianus colchicus*), produces octadecane-2,3-diol as the major diol (85%). Location of the label in the diol derived from  $[1-14C]$  palmitic acid in the gland at C-3 of octadecane-2,3-diol was consistent with the proposed biosynthetic pathway (Sawaya and Kolattukudy [1972\)](#page-23-11). Furthermore, synthetic 3-hydroxy- [3-<sup>14</sup>C]-octadecane-2-one, the predicted acyloin intermediate, was converted directly into octadecane-2,3-diol when injected into the uropygial gland of the pheasant (Buckner and Kolattukudy [1976b](#page-19-4)). A cell-free preparation from the gland catalyzed the transfer of hydride from the B-side of the nicotinamide ring of NADPH to the carbonyl carbon of synthetic R,S mixture of the acyloin to generate a mixture of threo- and erythro-octadane-2,3-diol.

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## *Esterification*

We have come a long way from the days when direct esterification of a free fatty acid with fatty alcohol was thought to be the mechanism of wax ester synthesis (Friedberg and Greene [1967](#page-20-13)). With plant enzymes it was demonstrated for the first time that fatty acyl-CoA is used to esterify fatty alcohol (Kolattukudy [1967](#page-21-14)) (Fig. [11.6](#page-12-0)). It has been shown that particulate preparations from the avian uropygial glands and mammalian meibomian glands catalyze esterification of fatty alcohols, alkane-1,2-diols, alkane-2,3-diols, 2-hydroxyfatty acids, and 3-hydroxyfatty acids using acyl-CoA (Sawaya and Kolattukudy [1973,](#page-23-13) Cheng and Russell [2004b;](#page-20-14) Turkish et al. [2005](#page-23-14); Biester et al. [2012\)](#page-19-10). cDNA for wax ester synthases from human, mouse, and avian sources have been cloned and their expression products examined (Cheng and Russell 2004; Miklaszewska et al. [2013](#page-22-12)). Wax synthase was first cloned from jojoba embryo and expressed in arabidopsin with the demonstration of abundant wax ester synthesis in the seeds of transgenic plants (Lardizabal et al. [2000\)](#page-22-13). Wax synthase-encoding sequences in animals were first identified by expression cloning

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of cDNA from mouse preputial glands (Cheng and Russell [2004b](#page-20-14)). This enzyme showed esterifying activity with a variety of alcohols, including polyisoprenol, and acyl-CoA, but did not show ability to acylate cholesterol or monoacylated or diacylated glycerol. Members of DGAT1 and DGAT2 families of acyl transferases from mammalian and avian sources catalyze acylation of alcohols, diols, retinol, etc. as well as a diacylglycerol. For example, human DGAT1 can catalyze formation of monoesters, diesters, and retinyl esters (Yen et al. [2005a;](#page-24-7) Yen et al. [2005b\)](#page-24-8). Human wax synthases AWAT1 and AWAT2, belonging to DGAT2 family, can transfer acyl groups to mono or diacylglycerol, fatty alcohol and retinol (Turkish and Sturley [2009\)](#page-23-15). Most probably, the multifunctional acyl tranferases can account for the synthesis of mono- and diester waxes. The presence of transmembrane domains in the wax synthase explains the membrane localization of the acylating enzyme activity in the cell. In cell homogenates however, the nature of the esterifying enzymes catalyzing these reactions have not been elucidated in many cases. Whether specific acyltransferases are involved in the esterification to produce each type of ester remains to be established. However, the possibility of transacylation to diacyl glycerol, fatty alcohol and alkane-1,2-diol by the same enzyme has been strongly suggested. Two acyl transferases encoded by two separate genes catalyze acylation of diacylglycerol to yield triacylglycerol. Of these, DGAT2 seems to be specializing on triacylglycerol synthesis whereas DGAT1 can catalyze acylation of not only diacylglycerol but also alkanol, alkane-1,2-diol and retinol using fatty acyl-CoA as a substrate (Yen et al. [2005a](#page-24-7)). Deletion of DGAT1 gene in mice shows abnormalities in skin lipid composition with complete absence of diol diesters reflecting its in vivo role in acylation reaction involved in skin lipid biosynthesis. Whether such multifunctional acyl transferases are involved in the synthesis of esters in sebaceous glands in other species remains to be established.

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#### **Biosynthesis of Alkanes**

Presence of alkanes as a major component of sebaceous gland lipids is not common, and the alkanes reported to be present in animal surface lipids are thought to be of exogenous origin. However, uropygial gland lipids of a water fowl, eared grebe ( *Podiceps nigricollis*), contain large amounts (35–40%) C21, C23, C25 and C27 alkanes (Cheesbrough and Kolattukudy [1988](#page-20-15)).

Mechanism of biosynthesis of alkanes, the simplest organic compounds, remained unknown for a long time. In plants, very long chain alkanes are present in significant amounts, together with ketones and secondary alcohols with the functional group in the middle of the carbon chain. This prompted the proposal that head to head condensation between two fatty acids would lead to the formation of the ketone that would give rise to secondary alcohols and ultimately to alkanes. Experiments with 14C-labeled precursors disproved this hypothesis and showed that chain elongation of fatty acids followed by the loss of the carboxyl carbon would give rise to long chain alkanes (Kolattukudy [1987](#page-21-15)). The mechanism by which the carboxyl carbon of a fatty acid is lost remained a mystery for a long period, as direct decarboxylation of an alkanoic acid seemed mechanistically unlikely. The first clue about a possible mechanism came from the finding that inhibition of alkane synthesis by dithioerythritol resulted in the accumulation of aldehydes with one carbon more than the alkanes. The aldehydes were then shown to be the immediate precursors of alkanes. In alkane producing tissues an acyl-CoA reductase that generates aldehydes has been found (Kolattukudy [1971;](#page-21-9) Vioque and Kolattukudy [1997;](#page-24-9) Wang and Kolattukudy [1995b](#page-24-10); Lin et al. [2013b](#page-22-14)). Decarbonylation, a novel biochemical reaction, first discovered in plants (Kolattukudy [1987;](#page-21-15) Cheesbrough and Kolattukudy [1984;](#page-20-16) Dennis and Kolattukudy [1992\)](#page-20-17), was shown to be catalyzed by particulate preparations from the uropygial glands of eared grebe that converted an aldehyde to an alkane releasing CO as the other product (Fig. [11.7](#page-13-0)) (Cheesbrough and Kolattukudy [1988](#page-20-15)). Even though loss of the carbonyl carbon of an aldehyde (decarbonylation) to yield an alkane has been demonstrated in animals, insects and plants including alga (Kolattukudy [1987;](#page-21-15) Yoder et al. [1992;](#page-24-11) Schirmer et al. [2010;](#page-23-16)

Qiu et al. [2012](#page-22-15); Das et al. [2011\)](#page-20-18), the chemical form of the lost carbonyl carbon (CO,  $CO<sub>2</sub>$  or formate) and the mechanistic details of how the carbonyl carbon is removed remain to be firmly established.

#### **Regulation of Wax Ester Biosynthesis in Sebaceous Glands**

#### *Developmental Changes*

There are compositional changes in sebaceous gland lipids that suggest developmental changes. The differences in chemical composition of the sebaceous gland secretion found in newborn babies and those of adult humans suggest developmental changes in the synthesis of sebaceous gland lipids. As humans age the squalene and diester wax content of the sebum decreases (Strauss et al. [1975](#page-23-2)). Analysis of sebrum production in humans of different ages showed a range of levels with a general pattern of decrease with age with some differences in the level and composition between males and females (Jacobsen et al. [1985;](#page-21-16) Nazzaro-Porro et al. [1979\)](#page-22-16). The chain lengths and diastereoisomer composition of alkane-2,3 diol diesters of the chicken uropygial glands change significantly as the birds become physiologically mature (Kolattukudy and Sawaya [1974](#page-21-17)). In developing embryonic goose uropygial glands malonyl-CoA decarboxylase transcripts, a major player in the synthesis of the goose uropygial gland lipids, appears several days prior to hatching and reaches maximal levels by hatching (Kolattukudy et al. [1987b](#page-21-18)).

Duck uropygial gland provides a system that illustrates developmental changes and hormonal influence on the composition of sebaceous gland wax esters. In the developing duck embryonic uropygial glands, malic enzyme and fatty acid synthase transcripts increase dramatically several days before hatching (Goodridge et al. [1984](#page-20-19)). The uropygial gland lipids in 2- to 21-day-old ducklings show a composition very different from that of the adult (Kolattukudy et al. [1991](#page-22-17)). The major components of the duckling glands are long-chain wax esters. As the ducklings approach adulthood, shorter chain esters increase. At 23 days of age shorter chain  $(**C12**)$  constitute only about 5% of the acids in the wax ester, whereas the content of such short-chain acids approach 90% of the acids in 50-day-old ducks. Until juvenile feathers begin to appear at about 3 weeks of age the waxes are composed of extremely complex mixture of n-, mono- and dimethyl branched acids with no obviously dominant components. The complexity decreases with the appearance of adult feather patterns. Monomethyl-branched acids become the dominant acids constituting 94% of the acids in the wax. These compositional changes, that occur during the period when the down of hatchlings is being replaced with adult feathers, is reflected in the changes in the level of the key enzyme uniquely involved in short-chain acid synthesis. S-Acyl FAS thioesterase that releases the shorter acid from FAS increases dramatically, both at the transcript level and protein level (Kolattukudy et al. [1985b\)](#page-21-19) whereas the FAS level remains relatively constant.

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## *Seasonal Changes in the Adults*

#### **Male Mallard**

Immediately after the discovery of acyl-FAS thioesterase, we purified this enzyme. During a period in this effort we encountered repeated failures to get enzyme from duck gland extracts for reasons unknown to us at that time. This period turned out to be the postnuptial molt-period called eclipse. During this period the male mallards lose their colorful plumage that becomes replaced with a dull looking plumage giving the males a drab female-like appearance. Thus, we suspected that the difficulty in purifying the enzyme might be related to the physiological changes accompanying eclipse. A systematic examination of the uropygial gland lipid composition of the male mallard ducks over an entire year revealed dramatic seasonal changes in the uropygial gland lipid composition (Kolattukudy et al. [1985c](#page-21-20)). From February until April, 50–90% of the wax acids have short chains. In May this percentage began to drop and reached less than  $5\%$  in June and July and then recovered to  $>50\%$ by August. These changes in short-chain acid content was found to be reflected in the S-acyl FAS thioesterase level that dropped to about 10% during the eclipse period (July) (Kolattukudy et al. [1985c](#page-21-20)). The S-acyl-FAS thioesterase is a key regulator of the lipid composition in the duck uropygial glands. During eclipse thioesterase level drops, at transcript level and protein level. Transcription is regulated at least in part by steroid hormones. Estradiol and corticosteroid receptor binding consensus sites are present in the first intron of the thioesterase gene (Sasaki et al. [1988\)](#page-23-17). During eclipse estradiol is at a maximal level in the duck. Administration of estradiol or dexamethasone, a synthetic steroid that is more potent than cortisol, reduced the thioesterase transcript and protein levels in the gland (Sasaki and Kolattukudy, unpublished results). Nuclear run off experiments showed that the rate of transcription of thioesterase gene is lower  $(-10\%)$  in nuclei from glands from animals treated with either estradiol or dexamethasone. This suppression of thioesterase gene transcription results in a drastic decrease in short chain esters in the gland. In fact, the wax ester composition of estradiol or dexamethasone-treated animal glands is very similar to the composition found during eclipse (Fig. [11.8\)](#page-16-0).

The negative regulation of the thioesterase gene transcription by steroids is most probably mediated via DNA methylation. This conclusion is based on several observations. Administration of 5-azacytidine, an inhibitor of DNA methylation, during eclipse, elevated thioesterase gene transcription rates as revealed by nuclear run off experiments and elevated the thioesterase transcript level as measured by RNA blot analysis. The thioesterase transcript and protein levels were reduced to only at about 10% of that in normal during the eclipse and by dexamethasone injection during non-eclipse period. Azacytidine injection during eclipse recovered the thioesterase transcription rate as measured by nuclear runoff, transcript level measured by RNA blot, and immunologically measured protein levels to 80% of that in normal gland (Huang Sasaki and Kolattukudy unpublished results). These molecular changes were reflected in the wax ester composition. Injection of aza-

<span id="page-16-0"></span>**Fig. 11.8** Gas chromatograms showing wax ester distribution in the uropygial glands of male mallard ducks during non-eclipse period ( *control*), non-eclipse period but injected with (Dexamethasone) eclipse period ( *eclipse*), azacytidine injected during eclipse ( *5-Azacytidine* )



cytidine, during eclipse, when the gland produces long-chain waxes as the major secretion products, caused the production of short-chain waxes as the major secretion product, essentially reversing the effects of eclipse (Fig. [11.8](#page-16-0)). Southern hybridization analysis with methylation sensitive restriction enzymes Msp1 and Hpa2 revealed higher level of methylation of the thioesterase gene in the glands from animals in eclipse and animals out of eclipse but injected with estradiol. Injection of azacytidine that resulted in reversal of eclipse also caused loss of methylation of the thioesterase gene. Thus, during eclipse, estrogen mediates suppression of S-acyl-FAS thioesterase gene expression at the transcriptional level by methylating the thioesterase gene and causes a major shift in wax ester composition. After the eclipse period estrogen level decreases preventing thioesterase gene methylation in the newly differentiating cells in the gland, allowing resumption of production of the thioesterase, thus leading to formation of short-chain wax esters.

DNA methylation, including that caused by estradiol (Zhang and Ho [2011\)](#page-24-12), is usually thought to cause long lasting shut down of gene expression. In the uropygial gland cells that produce wax esters, DNA methylation also probably causes permanent shut down of the thioesterase gene expression. However, as these cells have a short lifetime in the holocrene gland, the newly produced differentiating cells that become the secretory lipid-producing cells can respond to the changes in the hormonal status, and consequent methylation status and thus produce the appropriate lipids. Whether the negative regulation of mammalian sebaceous gland lipid production by estrogen (Smith and Thiboutot [2008](#page-23-1)) involves DNA methylation remains to be explored.

#### **Female Mallards**

Female mallards showed dramatic changes in the uropygial gland lipids during the mating season (Kolattukudy et al. [1987a\)](#page-21-10). Short-chain monoester waxes constitute the major component of the uropygial gland secretion during most of the year. However, with the beginning of the mating season in the middle of March, a polar component appears, and it becomes the dominant and sole component of the secretion through April and May. As the mating season ends in June, monoester waxes become the sole component of the secretion. The polar component consists of diester wax composed of n-C8, n-C10, and n-C12 3-hydroxy fatty acids esterified with n-C16 and n-C18 alcohols and n-C6– C16 even chain fatty acids. These changes are superimposed on the seasonal changes in the chain length composition of monoester waxes. Thus, immediately after the mating season, long-chain wax esters (>C12) dominate until the shorter chain monoester waxes become dominant by the end of August just as in the case of male mallards. These changes in the short-chain content are reflected in the levels of S-acyl-FAS thioesterase just like in the male mallards. The 30 KDa thioesterase virtually disappears during the eclipse period.

Biochemical studies indicated that 3-hydroxy fatty acids used in the diester synthesis is probably produced via hydration of the 2-enoyl-CoA generated by peroxisomal fatty acyl-CoA oxidase (Bohnet et al. [1991](#page-19-9)). Subcellular fractionation by sucrose and Nycodenz density gradient centrifugation followed by electron microscopic and biochemical examination of the fractions indicated that diester biosynthesis and monoester biosynthesis occur in different compartments in the cell. The diester synthesis occurs in catalase-containing microbodies (peroxisomes), whereas monoester waxes are produced by endoplasmic reticulum. Acyl-CoA reductase required for this synthesis of both monoester and diesters are located in both peroxisomes and endoplasmic reticulum (Zhang and Ho [2011](#page-24-12)).

# **Hormonal Regulation of Sebaceous Gland Lipid Biosynthesis**

Steroid and thyroxin levels in ducks have attracted much attention (Jallageas et al. [1978;](#page-21-21) Sharp et al. [1986](#page-23-18); Jallageas and Assenmacher [1979\)](#page-21-22). During the mating season estrogen levels peak in female mallards, coinciding with the production of diester waxes as the sole component in the gland secretion. In males also estrogen levels peak during eclipse when the S-acyl-FAS thioesterase is at a minimum. In fact, estradiol injection suppressed S-acyl FAS thioesterase production (Bohnet et al. [1991](#page-19-9)). Whether the consensus estrogen receptor binding site, found in the first intron of the S-acyl FAS thioesterase gene (Sasaki et al. [1988\)](#page-23-17), is involved in the regulation of expression of the thioesterase gene by estradiol remains to be established. Estradiol injection caused peroxisome proliferation and the production of diesters in the uropygial glands (Bohnet et al. [1991](#page-19-9)). Thyroxin that can augment the effects of steroid hormones (Mueckler and Pitot [1983;](#page-22-18) Mueckler et al. [1984\)](#page-22-19), when injected with estradiol, induced peroxisome proliferation in the uropygial glands of both male and female mallards and both produced short-chain diesters that probably act as pheromones. This hormonal treatment induced eclipse molt in males that caused them to look like females. With this hormonally induced molt and production of females pheromones, these males were treated as females by normal untreated males that tried to mount the treated males (Rogers and Kolattukudy, unpublished). Estradiol induction of molting, discovered in ducks, has been applied for pharmacological molt induction in penguins. It appears that penguins in their natural habitat get estrogen prior to molting from their krill diet but in captivity penguins can have difficulty in molting. Administration of estradiol induces molting in such penguins in captivity (Hines et al. [1993](#page-20-20)).

Peroxisome proliferation activated receptor γ1 was found to be the major PPAR induced uniquely in the uropygial gland of duck that responds to estradiol induction but not in the uropygial gland of other species in which estradiol did not induce peroxisome proliferation or diesters synthesis (Ma et al. [1998a\)](#page-22-20). Estrogen treatment of the duck was found to enhance metabolism of arachidonic acid in the uropygial gland. Estradiol treatment induced conversion of arachidonic acid into a prostaglandin D metabolite that was able to activate duck PPAR  $\gamma$ 1 to the same extent as  $\Delta^{12}$  prostaglandin J2, and 15–droxy  $-\Delta^{12,14}$  prostaglandin J2 the two of the most powerful activators of PPAR γ (Ma et al. [1998b\)](#page-22-21). Thus, estradiol induces the production of the 3-hydroxy fatty acid diester pheromone by inducing the synthesis of a potent activator of PPAR  $\gamma$ 1 in the duck uropygial gland with the consequent peroxisome proliferation in the gland.

# **Concluding Remarks**

The unusual lipids that coat the surface of animals offer biochemical challenges whose solution could offer novel ways to deal with health issues. Availability of modern analytical technologies, such as GC/LC/MS, allow the elucidation of the complex surface lipidomics. The great advances in the genomic technology and gene knockout technology allowed identification of some of the genes involved and their function in the biosynthesis of some of the surface lipids. Advances are being made in elucidating the signaling molecules and mechanisms involved in maintaining the function of sebaceous glands (McNairn et al. [2013\)](#page-22-22). Progress is also being made in molecular intervention in sebum production to deal with such problems as acne pathology (Sato et al. [2013\)](#page-23-19). Continued advances in the regulation of the sebum biosynthetic process that may lead to novel ways to manipulate the process to yield health benefits.

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