# Plant-Mediated Ecosystem Effects of Tropospheric Ozone

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Abstract Tropospheric ozone  $(O_3)$  is considered as the most significant phytotoxic pollutant in the atmosphere and is already responsible for widespread effects on crops, trees and native plant species. Globally, there is evidence that the background  $O_3$  concentrations are further increasing. Most research has been conducted on plant and tree species of commercial value, but very little is known about the impacts of  $O_3$  on the scale of forest-, agro- or grassland ecosystems. Exposure to elevated  $O_3$  causes oxidative stress, which results in reduced photosynthesis, visible injury, decreased growth and productivity. We present examples showing that impacts of  $O_3$  on vegetation may lead to long-term effects on ecosystem structure and function. Recent experiments have shown that  $O_3$  can cause a shift in plant

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species composition and can indirectly affect soil processes. Ozone has also been shown to affect water cycling through its effect on stomata and can alter overall ecosystem productivity.

#### 1 Introduction

During the last 60 years tropospheric or ground-level ozone  $(O_3)$  has emerged as an air pollution problem of global dimension with respect to its harmful impacts on human health and vegetation (Akimoto [2003;](#page-30-0) Royal Society [2008\)](#page-40-0). As a secondary air pollutant  $O_3$  is formed in the troposphere through a number of sunlight driven photochemical reactions involving the main precursor substances: nitrogen monoand dioxide  $(NO/NO<sub>2</sub>)$ , volatile organic compounds  $(VOC)$ , methane  $(CH<sub>4</sub>)$  and carbon monoxide (CO) (Staehelin [2001](#page-41-0)). These precursors are produced naturally or emitted from anthropogenic activities such as vehicles, power plants, biomass burning and all other forms of combustion.

Terrestrial ecosystems are the major sink for tropospheric  $O_3$  and consequently, vegetation is at particular risk from this pollutant. Ozone enters the plant interior through the stomata and as a strong oxidant  $O_3$  and its breakdown products, respectively, are able to impact plants by altering plant cellular functions and by reducing photosynthesis and changing other important physiological functions. Collectively, this may result in visible leaf injury, growth and biomass reduction and overall inferior plant vigour (Ashmore [2005;](#page-31-0) Booker et al. [2009;](#page-32-0) Matyssek et al. [2010a,](#page-37-0) [b](#page-37-0)). Whether or not these effects at the single plant level have implications or are relevant in an ecosystem context is still a matter of debate (Laurence and Andersen [2003\)](#page-36-0).

In the past four decades  $O_3$  effects have been thoroughly investigated with crops (reviewed by, e.g. Heagle [1989;](#page-35-0) Fiscus et al. [2005](#page-33-0); Booker et al. [2009;](#page-32-0) Mills and Harmens [2011](#page-38-0)) and particularly with deciduous and coniferous trees (reviewed e.g. by; Sandermann et al. [1997;](#page-40-0) Percy et al. [2003a](#page-39-0), [b](#page-39-0); Matyssek et al. [2010a](#page-37-0), [b](#page-37-0), [2013\)](#page-38-0). Other types of natural or semi-natural vegetation have only recently and to a lesser extent received attention (reviewed by, e.g. Fuhrer [1997;](#page-33-0) Davison and Barnes [1998;](#page-32-0) Ashmore  $2005$ ; Hayes et al.  $2007$ ). While the interest related to  $O_3$  effects on crops and commercially relevant trees was mainly driven by concerns about the potential economic losses, the more recent emphasis in assessing its potential effects on ecosystem integrity and related ecosystem functions and services is based on concerns of the potential threats of  $O_3$  to the biodiversity of these habitats, and the long-term, more subtle impacts on ecosystem functions and services such as carbon sequestration, nutrient cycling, water relations and pollination.

While there is a wealth of information on  $O_3$  effects on plant metabolism and plant growth, respectively (e.g. Fiscus et al. [2005](#page-33-0); Heath [2008](#page-35-0); Booker et al. [2009;](#page-32-0) Cho et al. [2011](#page-32-0)), it is the intention of the present contribution to report on the

progress that emerged from  $O_3$  effects research with different types of vegetation during the last approx. 15 years with an emphasis on studies that addressed potential implications of this pollutant in an ecosystem context. With this objective we will not address and discuss the large body of information that has emerged during this period with respect to adequate  $O_3$  risk assessments for vegetation, particularly with regard to the progress that has been made in describing phytotoxically relevant "absorbed  $O_3$  doses" by overcoming the concept of  $O_3$  exposure of vegetation (e.g. Matyssek et al. [2013](#page-38-0)). Rather, we focus on a more qualitative description of potential  $O_3$  effects on plants and ecosystems, respectively, primarily without considering dose–response relationships.

In the following sections we first describe current and future  $O_3$  exposure scenarios and the most common methods by which  $O_3$  effects on vegetation are assessed. We then briefly summarise the current understanding of  $O<sub>3</sub>$ -induced impairments at the individual plant level that are relevant for the understanding of its ecosystem effects (Fig. [1\)](#page-3-0). Predominately we then address selected examples of how these  $O_3$  effects relate to the ecosystem level, and consider and discuss results that are equally relevant for managed and unmanaged, natural ecosystems.

#### 2 Ozone Levels: Trends and Variation in Space and Time

Naturally occurring  $O_3$  concentrations in the troposphere (ground-level) in the pre-industrial era have been described to range between approx. 5–20 parts per billion (ppb) (Marenco et al. [1994](#page-37-0)). Since the pre-industrial era the global annual mean background  $O_3$  concentrations have increased considerably to values between approx.  $>20-45$  ppb depending on the geographical location (Vingarzan [2004](#page-41-0)) with a rate of increase in the annual mean values ranging between 0.1 and 1.0 ppb per year. This increase has been observed over large areas of Europe and North America, and more recently in many countries in Asia (e.g. China, India, Pakistan), South America (e.g. Brazil) and Africa with rapidly emerging industrialisation and hence increasing emissions of precursors of  $O_3$ . In these countries,  $O_3$  has reached levels in ambient air which are of concern with respect to vegetation damage and human health effects (Emberson et al. [2003](#page-33-0); Royal Society [2008](#page-40-0)).

The pattern of  $O_3$  exposure of vegetation is changing on a global scale. While in large parts of Western Europe, North America and Japan, a trend of decreasing frequencies of  $O_3$  peak values ("photosmog episodes") can be observed due to control measures on the emission of the precursor compounds, background  $O<sub>3</sub>$ values are increasing (Oltmans et al. [2006](#page-39-0); Jonson et al. [2006\)](#page-35-0). In the northern hemisphere at mid-latitudes, mean values at background sites have been increasing by 0.5–2 % per year (Derwent [2008\)](#page-32-0). Future changes of the  $O_3$  levels will be determined by the trends of the emissions of the precursors and of temperature and solar radiation. Ongoing global population growth coupled to increasing demands for resources such as land, fossil fuels and polluting activities like energy production, agriculture and transport will lead to enhanced production of natural and

<span id="page-3-0"></span>

Fig. 1 General scheme of major endpoints that are affected by  $O_3$  exposure at different levels of biological organisation in plants and ecosystems

anthropogenic  $O_3$  precursors like VOC's, NO<sub>x</sub>, CH<sub>4</sub> and CO. Higher surface temperatures along with climate change may also trigger the formation of surface  $O_3$  levels. While predictive models, e.g. based on IPCC-SRES global emission scenarios, indicate that background  $O_3$  concentrations will continue to increase at a rate of 0.5–2 % per year in the Northern Hemisphere during the next 100 years and will be in the range of ca. 42–84 ppb by 2100 (Prather et al. [2003](#page-39-0); Vingarzan [2004;](#page-41-0) Jacob and Winner [2009;](#page-35-0) Fig. [2\)](#page-4-0), recent models predict more moderate increases of  $O_3$  levels until 2050 (Wild et al. [2012\)](#page-42-0). These changes of the global  $O_3$  exposure will be accompanied by other predicted changes in atmospheric chemistry (e.g. increasing atmospheric  $CO<sub>2</sub>$  concentrations) and climate which again may modify  $O_3$  effects in the future.

<span id="page-4-0"></span>

Fig. 2 Modelled surface  $O_3$  (ppb) in January and July from the present-day simulation (left) and changes in surface  $O_3$  (ppb) between 2000 and 2100 due to anthropogenic emission changes  $(right)$ . Reprinted from Zeng et al.  $(2008)$  $(2008)$ 

Ozone concentrations influenced by human activities vary significantly with time (diurnally, seasonally, inter-annually) and with geographic location. This variability is of particular relevance for the effects on vegetation, as different vegetation types or developmental stages of plants, respectively, may be exposed to very different levels of  $O_3$  during the course of the year. As  $O_3$  formation is dependent on sunlight and as some of the chemical reactions involved in the  $O_3$ formation in the troposphere are temperature-dependent,  $O_3$  concentrations are particularly high at warm sunny days (Royal Society [2008](#page-40-0)). This link to the weather conditions also contributes to the inter-annual variation of  $O<sub>3</sub>$  concentrations. At least in large parts of Europe, peak  $O<sub>3</sub>$  concentrations occur especially in spring and summer. While at low elevation sites  $O<sub>3</sub>$  concentrations show diurnal cycles with low concentrations during the night and in the morning and high and peak concentration during the afternoon, high elevation sites mostly do not show such distinct diurnal variation (Stockwell et al. [1997\)](#page-41-0). In general, at a particular location the build-up of phytotoxic  $O_3$  concentrations depends on the local meteorology, the topography and the regional sources of  $O_3$  precursors.

#### 3 Methods to Study Ozone Impacts

The interpretation of plant responses to  $O<sub>3</sub>$  to a large extend depends on the methodology that is used to study its impacts. Therefore, a brief description of the most prominent methods in  $O<sub>3</sub>$  effects research is given here. Methods to investigate  $O_3$  effects on vegetation can broadly be categorised into methods involving experimental exposure to  $O_3$  and methods where plants are exposed to  $O<sub>3</sub>$  in ambient air. Each method has its particular advantages or disadvantages and its usefulness depends on the questions to be addressed and the objectives and budgetary circumstances of the respective study (Manning and Krupa [1992\)](#page-37-0). Experimental techniques to expose single plants, plant communities and segments of ecosystems to modified  $O_3$  concentrations range from controlled environmental chambers, greenhouses, field chambers to open-air  $O<sub>3</sub>$  exposure systems. Most of the information of the effects of  $O_3$  on plants is derived from the use of various types of indoor and outdoor chambers.

Laboratory fumigation chambers of various designs (e.g. Heck et al. [1978;](#page-35-0) Payer et al.  $1993$ ) which provide highly reproducible environmental and  $O_3$  exposure conditions have widely been used for assessing visible injury or physiological and biochemical  $O_3$  effects. However, due to different microclimatic conditions in the chambers compared to open air ("chamber effects"), plants often show morphological or physiological differences compared to field-grown plants. Moreover, laboratory chambers are limited in space and mostly only useful for small scale pot or mesocosm studies. To overcome some of these limitations, open-top chambers (OTCs) were developed (Heagle et al. [1973](#page-35-0)) and have been the most widely used  $O_3$  exposure system up to now (e.g. Heagle et al. [1988;](#page-35-0) Jäger et al. [1999;](#page-35-0) Zheng et al. [2013](#page-43-0); Oksanen et al. [2013](#page-39-0); Burkart et al. [2013](#page-32-0)). In OTCs, plants can be grown in their natural soil environment, in pots or as artificial model communities (mesocosms). Air either enriched with  $O_3$  or filtered to remove  $O_3$  from ambient air is introduced into the chamber with a blower system. Open-top chambers are best suited for in situ studies with low stature vegetation, e.g. like most crop or grassland species. As with the laboratory fumigation chambers for the interpretation of  $O<sub>3</sub>$ effects, the chamber microclimate may interfere with  $O_3$  effects. For example, when used in species-rich systems like in certain grasslands, the differences between the ambient and OTC climate can lead to changes in vegetation structure in the chambers in comparison to chamberless ambient air plots (Grünhage and Jäger [2003\)](#page-34-0).

To allow studies with taller trees, large versions of OTCs have been constructed (Musselman and Hale [1997](#page-39-0)). According to Kolb and Matyssek ([2001\)](#page-36-0) chamber studies with trees cover only a short period of the entire life history of a forest stand; they are thus limited in predicting longer-term ecosystem effects of  $O_3$ .

To overcome the various types of "chamber effects" in any kind of enclosure system used for  $O_3$  effect studies and to overcome space limitations and restricted plant root volumes, respectively, chamberless  $O_3$  exposure facilities have been developed (McLeod [1995\)](#page-38-0). The most often used chamberless exposure system for  $O<sub>3</sub>$  effect studies is a modification of the circular free air carbon dioxide enrichment (FACE) system (Hendrey et al. [1999;](#page-35-0) Miglietta et al. [2001\)](#page-38-0), which was modified to dispense  $O_3$  into plant canopies. During the last two decades large-scale FACE-type  $O_3$  exposure systems have been employed in  $O_3$  effects studies with crops like soybean (Morgan et al. [2004\)](#page-38-0), rice and wheat (Tang et al. [2011](#page-41-0)), and young tree species (Karnosky et al. [1999](#page-36-0)). A similar custom-designed circular free air  $O_3$ exposure system was used by Volk et al. [\(2003](#page-41-0)) in a Swiss grassland system. A free air  $O_3$  fumigation system in mature tree crowns of beech and spruce in Germany was developed by Werner and Fabian [\(2002](#page-42-0)) and tested and used by

<span id="page-6-0"></span>Matyssek et al. [\(2010a,](#page-37-0) [b,](#page-37-0) [2013\)](#page-38-0). A similar system has recently been established in northern Japan addressing potential impacts of  $O_3$  on deciduous oak and white birch (Watanabe et al. [2013\)](#page-42-0). FACE-type  $O_3$  exposure systems require sophisticated infrastructures and can be only used to increase  $O<sub>3</sub>$  levels in ambient air. In free air  $O_3$  exposure systems, the coupling between the atmosphere and the plant canopy as well as between the canopy and the respective soil volume largely remains unchanged. Thus, in situ water and nutrient fluxes at the ecosystem level can be investigated. Ideally, FACE type  $O_3$  exposure systems allow  $O_3$  effect research at various hierarchical levels, for example, to link molecular biology with ecophysiological research.

Among the methods of  $O_3$  exposure where there is no manipulation of the  $O_3$ concentration surrounding the plants are ambient gradient studies. Plants or plant community responses are examined along gradients of  $O_3$  concentrations across a landscape or regional transect providing multiple levels of exposure to  $O_3$  that are naturally occurring. Forest tree species and ecosystem responses to  $O<sub>3</sub>$  in the USA have been assessed using gradient studies (Winner et al. [1989;](#page-42-0) McLaughlin et al.  $2007a$ , [b](#page-38-0)). Examples of other methods to assess  $O_3$  effects on plants are the use of protecting chemicals against  $O_3$  stress (Manning et al. [2011\)](#page-37-0), biomonitoring techniques using indicator plants (Manning et al. [2002](#page-37-0)) and the use of plant growth models (Martin et al. [2001;](#page-37-0) Hogsett et al. [2008\)](#page-35-0).

#### 4 Ozone Impacts at the Single Plant Level

Ozone impacts on ecosystems result from excessive uptake of  $O_3$  by plants, direct toxicity and cell damage, or from indirect effects mediated by the individual organisms. Although extrapolation of results from studies with individual plants or plant assemblages to the ecosystem level is difficult to make, the knowledge of the processes and mechanisms by which plants are affected by  $O_3$  is an essential prerequisite to understand ecosystem responses to  $O_3$ . In this section, we briefly summarise the current understanding of the major mechanisms of  $O_3$  effects at the individual plant scale governing vegetation response to  $O<sub>3</sub>$  including uptake, altered physiology such as stomatal functioning, photosynthesis, carbon allocation, reduced growth (above- and below-ground) and reproduction. These individual plant-scale responses to  $O_3$  in the short term may lead to long-term changes in species and genetic composition, changes in water economy and functioning of species communities and, hence, ecosystem structure and function (Sect. [5](#page-16-0)).

## 4.1 Deposition of  $O_3$  and Plant Uptake

Vegetation is an important natural sink for  $O_3$ . Ozone is transferred from the atmosphere onto plant canopies by turbulent diffusion (deposition), which is



governed by micro-meteorological conditions (radiation, temperature, wind, etc.) and the roughness of the vegetation. The uptake of  $O_3$  by vegetation is attributed to both non-stomatal and stomatal deposition (Fig. 3). Non-stomatal deposition includes deposition to soil, stems, cuticles and other external surfaces. It has long been known (Kerstiens and Lendzian [1989;](#page-36-0) Massman and Grantz [1995\)](#page-37-0) that penetration of  $O_3$  through the plant cuticle is of minor importance in comparison to the route of uptake through the stomata. This transfer of the gas through the atmosphere (turbulent diffusion) into the plant via molecular diffusion through the stomata is currently considered the key process in relating  $O_3$  exposure to plant responses (Fowler et al. [2009](#page-33-0); Fig. 3). Consequently, all environmental factors that modify the stomatal aperture (e.g. temperature, light and soil water conditions, other pollutants, atmospheric  $CO<sub>2</sub>$  concentration) and which thus affect leaf gas exchange have an influence on the uptake of  $O<sub>3</sub>$  into the plant interior (Fiscus et al. [2005](#page-33-0); Fuhrer [2009](#page-33-0)).

Field measurements of  $O_3$  deposition (flux) in various ecosystems indicate that total dry deposition is largely dominated by stomatal uptake during the most active parts of the growing season, but, at other times of the year and depending on vegetation type and weather conditions, non-stomatal deposition can be larger than stomatal uptake (Cieslik [2004;](#page-32-0) Cape et al. [2009](#page-32-0)). For example, continuous multi-year O<sub>3</sub> flux measurements over moorland vegetation in Scotland indicated that over a seasonal scale non-stomatal deposition dominated the overall  $O_3$  flux and represented 70 % of the total  $O_3$  deposition (Fowler et al. [2001\)](#page-33-0). Nunn et al. ([2010](#page-39-0)) compared sap flow measurements—i.e. tree level transpiration—and eddy co-variance approaches—i.e. stand level transpiration—in mixed beech/ spruce stands and found that stomatal  $O_3$  flux amounted to 33 % of the total stand  $O_3$  flux. The concentration of  $O_3$  diminishes as one moves down a canopy to the soil. In addition, gas-phase reactions between  $O_3$  and biogenic volatile organic compounds (BVOCs) and nitric oxide (NO) emitted from the ecosystem contribute to the removal of  $O_3$  from the atmosphere (Fares et al. [2010\)](#page-33-0). Canopy architecture and the density of the foliage may also determine to which  $O_3$  concentration

<span id="page-8-0"></span>individual leaves are finally exposed. For example, in comparison to dense plant canopies, in open canopies like in widely spaced crop rows leaves inside the canopy will be exposed to similar  $O_3$  concentration as at the canopy surface.

## 4.2 Effects on Stomatal Functioning

There has been long-term and widespread evidence that elevated  $O<sub>3</sub>$  levels alter stomatal performance and hence stomatal conductance  $(g_s)$  of various plant species (Darrall [1989;](#page-32-0) Mansfield [1998;](#page-37-0) Robinson et al. [1998](#page-40-0)). However, the type of stomatal responses to  $O_3$  exposure is still not fully clear. While high  $O_3$  concentrations appear to reduce  $g_s$ , there are variable results under more moderate  $O_3$ levels, i.e. those resembling current ambient conditions (Paoletti and Grulke [2005;](#page-39-0) Wittig et al. [2007\)](#page-42-0). For example, under chronic exposure conditions  $O_3$  may lead either to enhanced stomatal aperture and a delayed stomatal closure during the night or to a reduction of the stomatal conductance. Moreover, exposure to  $O_3$  has been shown to aggravate the heterogeneous stomatal aperture across the leaf surface, which is known as patchiness (Beyschlag and Eckstein [1998\)](#page-31-0). This increase of stomatal patchiness upon  $O_3$  exposure implies that only the integrated response of groups of stomata will determine the response to  $O_3$  at the larger scales of the total plant and the canopy, respectively (Paoletti and Grulke [2005\)](#page-39-0).

Stomata closure and reduced  $g_s$  due to  $O_3$  exposure are often found when measured under steady-state high light conditions. In a recent meta-analysis (Wittig et al.  $2007$ ), which compiled results of 73 primary research articles of  $O_3$  effects on photosynthesis and  $g_s$  of various tree species, the authors found that the  $O_3$  levels in the atmosphere today suppresses  $g_s$  by, on average, 13 % compared to pre-industrial  $O_3$  levels. When ambient background versus elevated  $O_3$  was compared,  $g_s$ decreased by 6 % in the elevated  $O_3$  treatment. Evidence for an  $O_3$ -induced stomatal closure is also available from recent studies with crops under chamberless O3 exposure (Morgan et al. [2003;](#page-38-0) Kitao et al. [2009\)](#page-36-0). Current assumptions of the possible mechanisms that may explain  $O_3$ -induced stomatal closure include (1) reduced photosynthesis and increased substomatal  $CO<sub>2</sub>$  concentration, (2) direct impact on guard cells, (3) altered calcium homeostasis or (4) altered hormone production (McAinsh et al. [2002;](#page-38-0) Wittig et al. [2007;](#page-42-0) Wilkinson and Davies [2010\)](#page-42-0). Overall, this kind of stomatal responses implies that plants are protected from water loss.

However, often the measurements of  $g_s$  were carried out under conditions that normally do not prevail in the field in the course of a day, i.e. high light and steadystate vapour pressure deficit. Consequently, when measurements are compared that were carried out under more variable environmental conditions, stomatal aperture was not uniformly decreased by  $O_3$  during the day. Such a "sluggish" stomatal response has long been known (Keller and Häsler [1984\)](#page-36-0) and describes the delay in stomatal response to changing environmental factors relative to controls (Paoletti and Grulke  $2010$ ). Sluggish stomatal responses have been observed in  $O_3$  effect studies, e.g. with tree (Wallin and Skarby [1992](#page-42-0); Matyssek et al. [1995;](#page-37-0) Grulke et al. [2007](#page-34-0)) or grass species (Mills et al. [2009](#page-38-0)). Thus, if stomata fail to close under low light or water-stressed conditions, water loss may be greater over time. In other situations, it is possible that sluggish stomata may fail to completely open in response to environmental stimuli and result in decreased water loss.

As  $O_3$  may affect plant stomata in relation to the response to other environmental variables like vapour pressure deficit, drought and light (Uddling et al. [2009;](#page-41-0) McAinsh et al. [2002;](#page-38-0) Paoletti and Grulke [2010](#page-39-0)), the resulting stomatal sluggishness may result in increasing  $g_s$  and hence increased water use under conditions which normally induce stomatal closure (e.g. drought, high vapour pressure deficit and low light). Such an increase of  $g_s$  even under reduced water supply in response to  $O_3$ exposure was observed in several recent studies with grassland species (Mills et al. [2009;](#page-38-0) Wilkinson and Davies [2010](#page-42-0); Hayes et al. [2012\)](#page-35-0). It was suggested that  $O<sub>3</sub>$  can prevent stomatal closure under drought by altering the sensitivity of stomata to abscisic acid, a plant hormone stimulating stomatal closure under drought conditions (Wilkinson and Davies [2010;](#page-42-0) Wilkinson et al. [2012\)](#page-42-0).

There are also recent research results, where  $O_3$  apparently has no effects on stomata at all. In a multi-year FACE-type exposure of soybean to  $O_3$  concentrations predicted by approx. 2050, there were no significant effects on midday  $g_s$ , and no effects on instantaneous gs on 13 of the 15 measurement days (Bernacchi et al. [2006](#page-31-0)).

Ozone-induced physiological changes, such as reduced leaf area index and accelerated leaf senescence, have also been suggested to have an effect on wateruse efficiency of plants. For example, some previous and more recent chamber and field studies have shown that that  $O_3$  exposure is correlated with lower foliar retention (e.g. Karnosky et al. [1996](#page-36-0), [2003](#page-36-0); Topa et al. [2001\)](#page-41-0).

Overall, there is still a high variability in the results of stomata responses to an  $O_3$  exposure (Table 1). Thus, a better understanding of  $O_3$  effects on leaf stomatal

	Total number	N <sub>0</sub> effect	Sluggish control	<b>Increased</b> opening	Stomatal closing
Crops (no. of species)	16	1	2		12
Crops (no. of experiments)	22	2	2	1	17
Trees (no. of species)	44	12	4	13	15
Trees (no. of experiments)	60	12	10	17	21
Grasslands (no. of species)	8	2	1	2	3
Grasslands (no. of expts.)	11	2	1	5	3
Total (no. of species)	68	15	7	16	30
Total (no. of experiments)	93	16	13	23	41
Ozone range (ppb) (25th to 75th percentile)		$35 - 80$	$70 - 120$	$50 - 90$	$59 - 100$
Mean ozone concentration		59 ppb	91 ppb	67 ppb	89 ppb

**Table 1** Summary of studies investigating  $O_3$  effects on stomatal functioning in trees, crops and grassland species [after Mills et al. [\(2013](#page-38-0))]

functioning remains a challenge, as this type of  $O<sub>3</sub>$  impacts on plants may have wider implications for the overall hydrology at the ecosystem level (see Sect. [5.1\)](#page-17-0).

#### 4.3 Physiological Effects

Once  $O_3$  molecules have passed the stomatal pore, its subsequent effects on the plant include reactions with the apoplastic fluid and generation of reactive oxygen species (ROS), effects on the cell membrane structure and function, changes of cell metabolism and cellular events, which finally result in the generation of observable plant responses like chlorotic or necrotic tissue damage, reduced photosynthesis, temporal shifts in the plant's development and losses in productivity (Cho et al. [2011](#page-32-0); Dizengremel et al. [2013](#page-32-0); Fig. [1](#page-3-0)).

In the substomatal cavity  $O_3$  rapidly reacts with water which results in the generation of ROS like hydrogen peroxide, singlet oxygen and hydroxyl radicals and with various compounds in the adjacent cell walls or on their outer cell membranes (Iriti and Faoro [2008](#page-35-0)). Based on this rapid chemical turnover, it has long been assumed that the  $O_3$  concentration in the substomatal cavity is close to zero (Laisk et al. [1989](#page-36-0)); however, there is no unequivocal evidence for this assumption. According to the present understanding the reaction products of  $O<sub>3</sub>$ with the apoplastic fluid and with various biomolecules, respectively, are assumed to interfere with a signalling pathway of the plant cell which is related to cell death and which is triggered by ROS (e.g. Baier et al. [2005;](#page-31-0) Kangasjarvi et al. [2005;](#page-35-0) Cho et al. [2011\)](#page-32-0). Apoplastic antioxidants (e.g. ascorbic acid, glutathione), the role of which is to protect cell membranes from a ROS attack, can interfere with  $O_3$  or its reaction products. For example, reaction with the apoplastic acorbate pool seems to be a particular important process for ROS detoxification and is believed to be the first line of defence against  $O_3$  injury, although other defence compounds may also be involved (Fiscus et al. [2005;](#page-33-0) Fuhrer [2009\)](#page-33-0). Detoxification occurs from both existing antioxidants and those stimulated by  $O<sub>3</sub>$  itself. Defence reactions require energy for regeneration of antioxidants, i.e. particularly at prolonged  $O_3$  exposure detoxification capacity may decline due to decreased rates of carbon assimilation and limited available energy (Wieser and Matyssek [2007\)](#page-42-0). In general, cell injury or death of plant tissues occurs when the  $O_3$  uptake exceeds the detoxification capacity. ROS that remain unscavenged can cause a variety of leaf injury symptoms such as necrotic stippling, bronzing, chlorosis or premature senescence.

Visible injury resulting from ambient  $O_3$  pollution has been observed on a wide range of plant species including trees, crops and species of semi-natural vegetation in North-America and in Europe (Flagler [1998](#page-33-0); Innes et al. [2001](#page-35-0); Mills et al. [2010](#page-38-0)) and is usually classified as acute or chronic. While acute injury involves the death of the cells and develops within a few hours or days following exposure to high pollutant levels, chronic injury typically develops more slowly within days or weeks following  $O_3$  exposure. While on broad-leaved plants visible injuries include stippling, flecking, surface bleaching, bifacial necrosis, pigmentation (e.g. bronzing) and chlorosis, for conifers visible injury includes chlorotic banding, tip-burn, flecking and chlorotic mottling (Flagler [1998\)](#page-33-0). For both plant types  $O_3$ -induced symptoms of premature senescence of leaves and needles, respectively, can be observed. These foliar lesions can vary between and within taxonomic groups and the degree and extent of visible foliar injury development may vary from year to year and site to site. The extent of  $O_3$ -induced visible foliar injury is often related to the amount of soil moisture available to the respective plants during the year in which the visible foliar injury is being assessed. As drought conditions generally decreases stomatal conductance and limit the amount of  $O_3$  entering the plant leaf, the result can be less injury. Several studies have shown that dry periods in local areas tend to decrease the incidence and severity of  $O<sub>3</sub>$ -induced visible foliar injury (Matyssek et al. [2006;](#page-37-0) Grulke et al. [2003](#page-34-0)). Therefore, the incidence of visible foliar injury is not always higher in years and areas with higher  $O_3$ .

Ozone-induced visible injury is of particular significance when the quality and the marketable value of a plant depend on the appearance of the foliage. Such  $O_3$ damage has been observed on a number of horticultural crops in several countries (Fumagalli et al. [2001](#page-33-0); Kostka-Rick et al. [2002;](#page-36-0) Sheu and Liu [2003](#page-40-0)).

At chronic  $O_3$  exposure, visible injury is often not observed, but decreased rates of CO<sub>2</sub> assimilation indicate adverse  $O_3$  effects on plant vitality. The response of photosynthesis to  $O_3$  has received much attention in order to explain  $O_3$ -induced losses of plant productivity in a wide variety of tree and crop species as well as in grassland and other native plant species (Reich [1987;](#page-40-0) Morgan et al. [2003;](#page-38-0) Fiscus et al. [2005;](#page-33-0) Wittig et al. [2007](#page-42-0); Booker et al. [2009;](#page-32-0) Fuhrer [2009](#page-33-0)). It may be assumed that plant growth retardation under longer-term  $O_3$  exposure at moderately enhanced concentrations is mostly the result of reduced rates of assimilation at the leaf level, although within-tree alterations of carbon allocation due to disturbed phytohormonal regulation have also been shown to affect tree growth (Winwood et al. [2007;](#page-42-0) Kitao et al. [2012](#page-36-0)). For example, a recent analysis of results from different experimental  $O_3$  fumigation studies with tree species covering ambient or near-ambient  $O_3$  concentrations revealed that  $O_3$  levels of approximately 40 ppb can suppress net assimilation rate on average by 11 % compared with pre-industrial (10 ppb)  $O_3$  exposure conditions (Wittig et al. [2007\)](#page-42-0). Summarising 53  $O_3$  exposure studies with soybean in a meta-analytic approach Morgan et al. ([2003\)](#page-38-0) found a ca. 20 % reduction in net assimilation rate due to an average  $O_3$  exposure of 70 ppb.

The impairment of photosynthesis by  $O_3$  has been attributed to effects on the electron transport system (light reactions), a decline in the efficiency of carboxylation (dark reactions), and indirect effects on stomata, i.e. lower rates of diffusion of  $CO<sub>2</sub>$  into the leaf interior (Paoletti and Grulke  $2005$ ).

Recent studies with plants of natural ecosystems (Scebba et al. [2006](#page-40-0)), tree species (maple: Calatayud et al. [2007\)](#page-32-0) and crops (tomato: Degl'Innocenti et al. [2007;](#page-32-0) soybean: Singh et al. [2009](#page-41-0)), particularly using chlorophyll fluorescence as a measurement tool, have shown that  $O_3$  can alter photosynthetic processes at the level of the electron transport. The effects were connected with decreases in leaf chlorophyll content, reduction in the efficiency of excitation capture, reduced

<span id="page-12-0"></span>numbers of intact or open photosystem II reaction centres or increases in dissipation of energy through heat.

However, loss of carbon assimilation capacity is mostly considered as the primary reason for a decline of photosynthesis under  $O<sub>3</sub>$  exposure. This reduction in carbon assimilation is primarily the result of an  $O_3$ -induced decline in the amount and activity of Rubisco (Long and Naidu [2002;](#page-37-0) Matyssek and Sandermann [2003;](#page-37-0) Singh et al. [2009](#page-41-0)). Both, a decrease in Rubisco synthesis and an enhanced degradation of the protein contribute to the measured reduction in its quantity. This impairment of Rubisco is accompanied by a loss of the mRNA coding for the small (rbcS) and large (rbcL) subunits of the enzyme. For example, declines in rbcS mRNA were measured in beech saplings in a free air  $O_3$  exposure system (Olbrich et al. [2009\)](#page-39-0). Effects of  $O_3$  on proteins involved in carbon assimilation have also been observed, as reductions in quantities of the small and large subunit (rbcL) of Rubisco and Rubisco activase were measured in soybean plants exposed to 120 ppb  $O_3$  for 3 days (Ahsan et al. [2010\)](#page-30-0). Similar results were observed with wheat (approx. 70 ppb  $O_3$  for 50 days for 5 h day<sup>-1</sup>) (Sarkar and Agrawal [2010](#page-40-0)).

Overall recent research results confirm earlier studies that decreased photosynthesis is commonly observed in plants grown under elevated  $O_3$  concentration. Although many different changes are observed in the photosynthetic apparatus, decreased activity and amount of Rubisco appear to be the prevailing causes of loss of photosynthetic capacity.

#### 4.4 Effects on Growth and Reproduction

Reduced photosynthesis due to an  $O_3$  exposure may finally result in decreased growth rates and reduced overall plant productivity. Along with these effects impaired translocation of assimilates from source (e.g. leaves) to sink (e.g. roots; seeds) organs and early senescence likely contribute to  $O<sub>3</sub>$  effects on plant growth and reproduction. In particular,  $O_3$  exposure has been shown to reduce the allocation of carbohydrate from shoots to roots and thus lower the root/shoot biomass ratio (fraction of total biomass in root tissue) (Cooley and Manning [1987\)](#page-32-0), an effect that may have wider implications for below ground processes in the plant's environment (see Sect. [5.2\)](#page-18-0) Several recent meta-analyses have summarised the available information across various types of plants (trees, crops, grassland and native species) and  $O_3$  exposure conditions (Morgan et al. [2003](#page-38-0); Grantz et al. [2006;](#page-34-0) Wittig et al. [2009;](#page-42-0) Wang and Taub [2010](#page-42-0)). For example, according to the literature compilation of Grantz et al.  $(2006)$  $(2006)$ , who used a root: shoot allometric coefficient k, which is the relative ratio growth rate of the root and shoot, to describe  $O_3$  effects,  $O_3$  reduced k on average by 5.6 %. Out of 125 observations of changes in k, 55 % yielded a decrease in  $k$ . However, about a third of all observations yielded an increase in k. Wang and Taub  $(2010)$  examined root mass fraction (i.e. the fraction of root to total biomass) of mostly herbaceous and a few woody species and found that, on average,  $O_3$  reduced biomass allocation by 8.5 %. Wittig et al. [\(2009](#page-42-0))

focused on tree species only and concluded from their analysis that the root-toshoot ratio indicated a greater sensitivity to  $O_3$  than shoot production. Their results also pointed to a greater sensitivity of angiosperm species in comparison to gymnosperms, which may have wider implications for community persistence (see Sect. [5.3](#page-21-0)). On the other hand, there are also reports of positive  $O_3$  effects on root biomass production and root-to-shoot ratios especially in tree species (Pregitzer et al. [2008;](#page-39-0) Matyssek et al. [2010a](#page-37-0), [b](#page-37-0)). Overall, although the results of the majority of investigations point to a reduction of carbon allocation to roots, there is still uncertainty as such an effect depends on the respective  $O_3$  concentration, the duration of exposure, the plant species or genotype, respectively, and on modifying factors of other growth variables. For example, already Maurer and Matyssek [\(1997](#page-38-0)) pointed out that the outcome of an  $O_3$  effect on the root/shoot ratio of birch trees may be co-determined by the nutritional status of the respective plants.

There has been clear evidence over the last years that exposure to  $O_3$  decreases growth in numerous plants representing important species of agro- and forest ecosystems as well as of different natural ecosystems. Wittig et al. ([2009\)](#page-42-0), for example, analysed growth responses of forest species from 263 studies over the past 40 years and found that elevated  $O_3$  concentrations (97 ppb) decreased annual total biomass growth by 11–17 %. The decreased effect at current ambient concentrations as reported in these studies was  $7\%$ . Detrimental  $O_3$  effects on growth and yield of the major global food crop species, such as wheat, rice, soybean and cotton, have repeatedly been described (e.g. Heagle [1989](#page-35-0); Morgan et al. [2003;](#page-38-0) Fiscus et al. [2005](#page-33-0); Ashmore [2005](#page-31-0); Ainsworth [2008;](#page-30-0) Booker et al. [2009\)](#page-32-0). Mills et al.  $(2007)$  $(2007)$  analysed  $O_3$  exposure-response data for 19 agricultural and horticultural crops, respectively, and identified wheat, water melon, pulses, cotton, turnip, tomato, onion, soybean and lettuce as the most  $O_3$ -sensitive crops, while, for instance, barley was classified as  $O<sub>3</sub>$  resistant. Morgan et al. ([2003\)](#page-38-0) calculated an average yield loss of soybean of 24 % compared to charcoal-filtered air with small losses (approx. 8 %) at low (30–60 ppb) and high losses (approx. 35 %) at high (80– 120 ppb)  $O_3$  concentrations when they compiled 53 studies which included chamber and open-air  $O_3$  exposure studies. Feng et al. ([2008\)](#page-33-0) calculated yield losses of winter and spring wheat ranging from 20  $\%$  at 42 ppb (7-h daily average) to 60  $\%$  at 153 ppb. According to a review of rice studies by Ainsworth ([2008](#page-30-0)), average rice yields declined by 14 % when exposure to  $O<sub>3</sub>$  at a concentration of 62 ppb was compared to charcoal-filtered air. Despite this evidence of negative  $O_3$  effects, one of the most common observations in studies with crop species is that there is considerable genotypic variability in  $O_3$  sensitivity, suggesting that there is potential to breed for  $O_3$  tolerance (Ainsworth et al. [2008;](#page-30-0) Booker et al. [2009\)](#page-32-0). Production of biomass in grassland or pasture plants can also be negatively influenced by  $O_3$ (Fuhrer [1997,](#page-33-0) [2009](#page-33-0)), but for species grown in mixtures other endpoints than biomass growth such as seed output or species composition may be important (see Sect. [5.3\)](#page-21-0).

Studies conducted during the last three decades have repeatedly demonstrated that various stages of reproductive development are clearly sensitive to  $O_3$ . A recent

meta-analysis of  $O_3$  effects on reproductive growth and development of various plant species indicated that current ambient  $O_3$  concentrations significantly reduced seed number, fruit number and fruit weight, while there was a trend towards increasing flower number and flower weight at elevated  $O_3$  (Leisner and Ainsworth [2012\)](#page-36-0). Negative effects on the reproductive performance in response to  $O_3$  may result from a reduction in plant growth, a decreased reproductive allocation or from direct effects on reproductive structures (Black et al. [2000\)](#page-32-0). Bender et al. ([2006a](#page-31-0)) observed contrasting effects on resource allocation to the vegetative and reproductive organs of 17 herbaceous species that were exposed to different  $O_3$  regimes from the seedling stage to the flowering stage. Although  $O<sub>3</sub>$  caused comparable reductions in both vegetative and reproductive growth in the majority of the investigated species, three species (Chenopodium album, Matricaria discoidea, Stellaria media) showed a greater vegetative growth and reduced reproductive allocation. Germinability of the seeds was affected by  $O_3$  such that germination rate was up to 30 % lower in  $O_3$ -treated plants compared to control plants (Bender et al. [2006a](#page-31-0)). Sim-ilarly, Darbah et al. ([2008\)](#page-32-0) investigated the effects of elevated  $O_3$  on reproductive fitness in paper birch (*Betula papyrifera*) under free air  $O_3$  exposure. Elevated  $O_3$ increased flowering, but decreased seed weight and germination rate. These results suggest that  $O_3$  can significantly affect resource allocation patterns and reproductive fitness which may have significant implications for the establishment and survival of the progeny and hence for plant productivity and composition of plant communities under the influence of  $O_3$  (see Sect. [5.3](#page-21-0)).

Any impact of  $O_3$  exposure on the timing of flowering may also play an important role in reproductive success, particularly for species in which flowering is closely synchronised with pollinating species (Black et al. [2000](#page-32-0); Hayes et al.  $2012$ ). However, the impact of  $O_3$  on the timing of flowering varies markedly between species. Such  $O_3$  effects have particularly been investigated in herbaceous species of grassland and ruderal ecosystems. For example,  $O_3$  exposure has been reported to delay flowering in two species (Campanula rotundifolia and Vicia  $cracca$ ) of simulated meadow community mesocosms (Rämö et al.  $2007$ ). In mesocosms representing "calcareous grassland",  $O_3$  has been found to accelerate the timing of the maximum number of flowers in Lotus corniculatus (Hayes et al. [2012\)](#page-35-0). By contrast, Bergmann et al. ([1996\)](#page-31-0) showed that the timing of flowering and seed set in 17 wild plant species were not significantly influenced by season-long exposure to 1.5  $\times$  ambient O<sub>3</sub> concentration in OTCs. However,  $O_3$ -induced changes in flowering timing could have large ecological impacts on plant pollination and the food supply of nectar feeding insects.

#### 4.5 Abiotic and Biotic Factors Modifying  $O_3$  Responses

There are complex interactions between  $O_3$  effects on plants and other abiotic and biotic factors, as  $O_3$  effects may be modified by these factors or  $O_3$  itself may modify plant responses to these other factors. Important abiotic modifiers are

temperature, humidity, light, water and nutrient availability, the occurrence of other air and soil pollutants and altered atmospheric chemistry (e.g.  $CO<sub>2</sub>$  concentration). On the other hand, biotic factors that interfere with  $O_3$  effects are insect pests and other diseases or pathogens and root microorganisms, resulting in either detrimental biological effects or mutually beneficial relationships (e.g. root nodulation by Rhizobium; mycorrhizal infection). There is a very large body of previous information ranging from controlled environment to field experimentation that has investigated these interactions. Although these modifying factors may be of particular relevance for an assessment of  $O_3$  cause–effect relationships at the ecosystem level, the vast scope of their possible interactions cannot be listed here in detail. Rather, we will provide a brief summary evaluation on important modifying factors of  $O_3$  effects based on recent studies.

Light, temperature and air humidity are prominent abiotic factors that interfere with  $O_3$  effects. Increased light intensity has been claimed to increase the sensitivity to  $O_3$  of light-tolerant species while decreasing that of shade-tolerant species; this assumption has many exceptions (Topa et al. [2001\)](#page-41-0). While previous studies revealed little modifying influence of temperature, some recent field studies have indicated that  $O<sub>3</sub>$  impact significantly increases with increased ambient temperature (Mills et al. [2000\)](#page-38-0). On the other hand, there is no new evidence to contradict that  $O_3$ enhances the sensitivity of plants to low temperature stress. It is also known that air humidity enhances the adverse effects of  $O_3$  by affecting stomatal conductance and thereby increasing  $O_3$  flux into the plant. For the current understanding of  $O_3 \times$  drought interactions refer to Sect. [4.2.](#page-8-0)

It has also long been known that the nutritional status of plants can influence its response to  $O_3$ ; however, the interaction of  $O_3$  with specific nutrients is still contradictory. While some experiments point to higher sensitivity towards  $O_3$ under low nutrient supply, other research results with trees suggest that  $O_3$  and nutrient supply do not interact. With respect to forest and other nutrient poor ecosystems, the co-occurrence of nitrogen  $(N)$  deposition and  $O_3$  impacts are of particular concern. Generally, existing information including several more recent studies with tree (Handley and Grulke [2008;](#page-34-0) Thomas et al. [2006](#page-41-0); Watanabe et al. [2007](#page-42-0)) and pasture species (Bassin et al. [2007b](#page-31-0); Volk et al. [2011](#page-42-0); Wyness et al.  $2011$ ) shows that the interactive effects of N deposition and  $O_3$  vary among species and ecosystems, i.e. there is no consistent information whether N deposition either enhances  $O_3$  toxicity or increases tolerance of plants towards  $O_3$  stress. There are hardly any recent studies on interactions of other air pollutants such as sulphur dioxide or nitrogen oxide with  $O_3$ .

Along with the increasing concern about climate change effects on ecosystems during the last decades, research into  $O<sub>3</sub>$  interactions particularly with elevated atmospheric  $CO_2$  concentrations  $[eCO_2]$  has increased (Fuhrer [2003](#page-33-0); Paoletti and Grulke  $2005$ ; Lindroth  $2010$ ). As  $[eCO<sub>2</sub>]$  is known to stimulate photosynthesis, to decrease stomatal conductance and mostly to enhance plant growth, while  $O_3$  has negative impacts on photosynthesis and plant growth, interactions between the two gases can be expected. Consistent across different vegetation types and derived from various experimental approaches, there is evidence that  $[eCO_2]$  has the

<span id="page-16-0"></span>potential to mitigate negative effects of  $O_3$ , mainly due to a  $CO_2$ -induced reduction in stomatal conductance, which reduces  $O_3$  uptake. On the other hand, negative  $O_3$ effects limit positive responses to  $[eCO<sub>2</sub>]$  in many plants as well (Fiscus et al. [2005](#page-33-0)). While the  $CO_2 \times O_3$  interaction is of little relevance for the current ambient conditions, it may be suggested that the continuing future increase of the  $CO<sub>2</sub>$  component of climate change may be ameliorative for the effects of  $O<sub>3</sub>$ .

Among the interactions of  $O_3$  with biotic factors, plant pathogens and insect pests have repeatedly been studied in various experimental approaches (Percy et al. [2003a](#page-39-0), [b;](#page-39-0) Eastburn et al. [2011](#page-33-0)). While it may be assumed that reduced plant vigour due to  $O_3$  stress can make plants more susceptible to plant pathogens, general predictions of  $O_3$  effects on particular plant–pathogen systems are difficult to make, because the available data for specific pests and diseases remain controversial. With respect to agricultural plants there is no fundamentally new recent information to replace the summary by Manning and von Tiedemann ([1995\)](#page-37-0), that increased susceptibility after  $O<sub>3</sub>$  exposure can be expected for necrotrophic pathogens, while obligate biotrophic infections tend to be diminished by  $O_3$ .

Insects can respond to  $O_3$ -induced changes in the plant chemical composition or insect performance is directly affected by  $O_3$ . Overall assessments and some more recent studies, respectively (Holopainen [2002](#page-35-0); Valkama et al. [2007](#page-41-0); Bidart-Bouzat and Imeh-Nathaniel  $2008$ ; Lindroth  $2010$ ), can be interpreted that an  $O_3$  exposure may increase the likelihood and success of chewing insect attacks. Existing studies on interactions of  $O_3$  with sucking insects such as aphids do not allow to deduce consistent trends. Among the aspects of the many other biotic factors that interact with  $O_3$  or which modify plant  $O_3$  responses, respectively, symbioses with mycorrhizae and plant–plant interactions by competition are partly addressed in Sects. [5.2](#page-18-0) and [5.3.](#page-21-0)

#### $5$  O<sub>3</sub> Impacts at the Ecosystem Level

The recent emphasis of the importance of ecosystems for the functioning of the biosphere and their role in providing goods and services to humans has resulted in various attempts to assess the role of  $O<sub>3</sub>$  at the ecosystem scale (MEA [2005\)](#page-38-0). However, ecosystem effects of  $O_3$  are difficult to detect and to evaluate, as the different systems vary at spatial and temporal scales. With the exception of two recent multi-year field experiments in a rapidly growing tree plantation (Karnosky et al. [2001](#page-36-0)) and in an adult forest tree stand (Matyssek et al. [2013](#page-38-0)), the number of studies at the scale of forest-, agro- or grassland ecosystems especially under exposure to  $O_3$  over several growing seasons is still very limited, which is even more true for other types of vegetation like fens, bogs, etc. The following section will consider selected examples where  $O_3$  effects may be relevant in an ecosystem context (Fig. [4](#page-17-0)).

<span id="page-17-0"></span>

Targets of ozone impacts in ecosystems

Fig. 4 Schematic representation of structural elements and processes in ecosystems that are potential direct and indirect targets of an  $O_3$  exposure and which are partly described in the text

# 5.1 Effects on Water Relations and Hydrology

As shown in Sect. [4.1,](#page-6-0) the main route of entry of  $O_3$  into the plant interior is via stomatal uptake at the leaf level. This role of the stomata has also been proofed at larger scales. For example, previous studies of Duyzer et al. [\(1995](#page-32-0)) in forest ecosystems have demonstrated that  $O_3$  deposition is related to  $g_s$  at the stand level. However, as  $O_3$  effects on  $g_s$  have been variable (Sect. [4.2](#page-8-0)), there remains uncertainty with respect to  $O_3$  responses of water use at the canopy or stand level.

Investigations with tree species under open-air  $O_3$  exposure conditions using sap flux measurements have shown that stand level water use per unit ground area of aspen clones was not significantly affected by elevated  $O<sub>3</sub>$  although the treatment decreased leaf area index by 22 % and basal area by 20 % (Uddling et al. [2008\)](#page-41-0). Uddling et al. ([2009\)](#page-41-0) attributed this to an increased leaf area-specific hydraulic conductance. The  $O_3$  effect was assumed to be caused by the sluggish stomatal response (Sect. [4.1\)](#page-6-0), as under  $O_3$  exposure the stomatal closure response to increasing vapour pressure deficit was less sensitive than under the control treatment. Moreover, mid-day leaf water potential was more negative under elevated  $O<sub>3</sub>$ compared to controls. The lack of an  $O_3$  effect on stand-level water use may also <span id="page-18-0"></span>be caused by a higher proportion of sun leaves in trees under elevated  $O_3$  compared with control trees (Uddling et al. [2008\)](#page-41-0). Also, in an experiment with aspen and birch, Rhea et al. [\(2010](#page-40-0)) found that  $O_3$  changed the branch architectural parameters, which may alter tree crown interception of precipitation and thus affect evapotranspiration.

Field studies in a deciduous forest in eastern Tennessee provided some insight into the possible consequences of stomatal sluggishness at the leaf level for ecosystem water cycling (McLaughlin et al. [2007a,](#page-38-0) [b](#page-38-0)). It was found that daily  $O_3$ levels with maxima ranging from 69 to 82 ppb reduced stem growth by up to 50 % in 1 year with high  $O_3$  levels. The authors suggested that peak hourly  $O_3$  exposures resulted in an increase of the rate of water loss through transpiration as indicated by an increased stem sap flow. Due to the increased canopy water loss water uptake by the trees increased as reflected in reduced soil moisture in the rooting zone. At the landscape level this change in tree water use was assumed to lead to further impacts on the hydrological cycle and  $O_3$  has been reported to contribute to variation in lateseason streamflow by as much as 23 % in areas of highest exposure in forested watersheds in Tennessee (Sun et al. [2012](#page-41-0)). A loss in stomatal sensitivity associated with increased evapotranspiration and reduced streamflow can be expected to increase drought episodes and to have implications for flow-dependent aquatic biota (Sun et al. [2012\)](#page-41-0). Recent studies with several crops and grassland species have also indicated an  $O_3$ -induced loss of stomatal sensitivity to drought, light and vapour pressure deficit (Wilkinson et al. [2012](#page-42-0); see Sect. [4.2](#page-8-0)), but the implication of these observations on individual plant species for intact agro- and grassland ecosystems remains unclear.

Although there is no clear pattern of the impact of  $O_3$  on stomatal functioning (see Sect. [4.2\)](#page-8-0), recent ecosystem models that address the larger scale effects of  $O_3$ on water turnover are often based on the assumption that  $O_3$  induces a stomatal closure. For example, in order to assess the interactions of  $O_3$ , climate, elevated  $CO<sub>2</sub>$  and N limitation on the hydrological cycle in the eastern USA, Felzer et al. ([2009\)](#page-33-0) used the terrestrial ecosystem model TEM-Hydro. According to this model elevated  $CO<sub>2</sub>$  decreased evapotranspiration by 2–4 % and increased runoff by 3–7 %, as compared to the effects of climate alone. Including  $O_3$  damage and N limitation into the calculations, evapotranspiration was reduced by an additional 4– 7 % and runoff was increased by an additional 6–11 %. Hanson et al. ([2005\)](#page-34-0) using a stand-level simulation model found a modest 3 % reduction in water use when the  $O<sub>3</sub>$  concentration was raised by about 20 ppb above the prevailing ambient level.

## 5.2 Below-Ground Effects

Atmospheric ground level  $O_3$  exposure does not directly affect structural and functional soil properties. However, above- and below-ground processes are interconnected via plant shoot and root communication mediated by the flow of carbon (see Sect. [4.4\)](#page-12-0). Consequently, any  $O_3$ -induced alteration of the quantity and quality of carbon supply from either photosynthates or from litter fall to the soil or from an enhanced carbon allocation to above-ground processes due to an  $O_3$ -induced stimulation of the production of antioxidants and other chemical compounds for defence and repair processes may exert indirect  $O_3$  effects on the soil system (Cooley and Manning [1987](#page-32-0); Andersen [2003;](#page-30-0) Pregitzer and Talhelm [2013\)](#page-40-0). Moreover, reduced biomass allocation to roots may lower the water availability to plants, which feeds back to stomatal conductance, canopy water flux, hydrology (Sect. [5.1](#page-17-0)) and nutrient cycling. Examples of  $O_3$  effects on the soil system include changes in litter quality and consequences for various soil biota. Additional effects like alterations of soil carbon formation and of decomposer activities as well as of soil food web structures are not considered here.

Modification in the quality of litter (e.g. soluble sugars, tannins, phenolics, lignin, macro- and micronutrients) released from plants exposed to  $O_3$  have repeatedly been observed. In their review Kasurinen et al. [\(2007a\)](#page-36-0) concluded from existing information with boreal and temperate forest trees that  $O_3$  effects on litter chemistry were mostly observed only at high  $O_3$  concentrations. For example in an OTC study with birch (*Betula pendula*) clones  $O_3$  slightly increased the content of leaf low molecular weight phenolic compounds, an effect which did not persist over the 3-year study. On the other hand, litter chemistry was not affected by short-term  $O_3$  exposure in a study with beech *Fagus sylvatica* (Schloter et al. [2005](#page-40-0)). In free air type  $O_3$  exposure experiments, it has been demonstrated that  $O_3$ -induced changes in litter quality of Populus tremuloides and Betula papyrifera communities led to reduced inputs of hemicellulose and lignin (Liu et al. [2005](#page-37-0); Meehan et al. [2010\)](#page-38-0) and thus caused a decrease in nutrient flux into soil (Liu et al. [2007](#page-37-0)). In contrast, Stoelken et al. [\(2010](#page-41-0)) detected additional nitrogen incorporation into the soil down to 30 cm resulting from an enhanced nitrogen mobilisation from leaf litter in an  $O_3$  exposure experiment with Fagus sylvatica grown in lysimeters.

Ozone effects on soil biota have received more recent attention especially with respect to possible implications for soil biodiversity; however, there is little evidence from experimental studies in real ecosystems with their respective native soil properties. Effects of  $O_3$  on the soil microbial community have been investigated both in the rhizosphere and in the bulk soil of trees, grassland and arable crop species.

In an OTC study with potted plants total bacterial biomass was decreased by  $O_3$ in the soil of the  $N_2$ -fixing herbaceous legume Lathyrus pratensis, but not in the soil of the grass Agrostis capillaris (Manninen et al. [2010](#page-37-0)). In a multi-year mesocosm study with *Pinus ponderosa*, elevated  $O<sub>3</sub>$  tended to increase the ratio of fungal to bacterial biomass (Olszyk et al. [2001\)](#page-39-0) and such an effect was also observed under similar  $O_3$  exposure conditions for blue wildrye (Elymus glaucus, Yoshida et al. [2001\)](#page-42-0). For the tree species Fagus sylvatica, a shift in the overall community structure of soil microorganisms based on phospholipid fatty acids (PLFA) analysis as a biomarker in response to  $O_3$  (Pritsch et al. [2009](#page-40-0)) has been found to be associated with a reduction in the potential nutrient turnover (Schloter et al. [2005](#page-40-0)) and a higher abundance of plant-carbon utilising microbes (Esperschutz et al. [2009](#page-33-0)).

Aneja et al. ([2007\)](#page-30-0) characterised the diversity of microbial communities colonising control and  $O_3$ -exposed litter from Fagus sylvatical Picea abies and provided evidence that changed litter quality due to elevated  $O<sub>3</sub>$  influenced the structure of litter-colonising microbial communities. In peat-land microcosms (*Eriophorum vaginatum*), Morsky et al. [\(2008](#page-39-0)) found an  $O_3$ -induced increase in microbial biomass only at the end of a 3-year exposure experiment, a result which supports the conclusion of Kasurinen et al. ([2007a](#page-36-0)) that the onset of microbial responses due to an  $O_3$  impact may take years.

Dohrmann and Tebbe [\(2005](#page-32-0)) studied the rhizosphere bacterial community composition of five low-managed grassland species using genetic profiling of PCR amplified 16S rRNA gene sequences based on single-strand conformation polymorphism (SSCP). They found that a 5-week exposure to elevated  $O_3$  did not select for a different bacterial community composition. This was also true if other more  $O_3$  susceptible herbaceous plant species with severe visible  $O_3$  injury were studied (Dohrmann and Tebbe [2006\)](#page-32-0). Also with a grassland system Kanerva et al. ([2008\)](#page-35-0) in a 3-year  $O_3$  exposure study provided evidence that elevated  $O_3$  is able to modify the structure of the microbial community in a meadow soil, as bacterial, actinobacterial and fungal PLFA biomass were decreased simultaneously. Again this study points to the fact that long-term observations are necessary to understand the effects of  $O_3$  on the biology of soil processes in ecosystems.

Because of its implication for nutrient acquisition, *mycorrhization* is of high importance for ecosystem function. An ectomycorrhizal community responded to changes in environmental conditions with a change in its total amount of extramatrical mycelium, leading to changes in space occupation, and consequently, to alterations in its capacity to exploit soil resources (Agerer et al. [2012\)](#page-30-0). Several recent studies described effects on mycorrhizal abundance when host trees were exposed to  $O_3$ . For example, for *Betula pendula* Kasurinen et al. ([2005\)](#page-36-0) found a stimulation of total mycorrhiza infection, Haikio et al. ([2009\)](#page-34-0) an increased mycorrhizal status for hybrid aspen (Populus tremula L. x Populus) and Pritsch et al. [\(2009](#page-40-0)) and Grebenc and Kraigher [\(2007a\)](#page-34-0) a higher total number of mycorrhiza types under OTC and free air  $O_3$  exposure conditions. For ectomycorrhizae collected underneath mature Norway spruce trees at the "Kranzberger Forst" free-air  $O<sub>3</sub>$  fumigation site, differences in carbon allocation to the mycorrhizal communities have been shown between the different  $O<sub>3</sub>$  treatments by means of differences in the enzyme activity profiles of the ectomycorrhizae communities (Agerer et al. [2012\)](#page-30-0). Moreover, there is past and recent evidence from studies with tree species that  $O<sub>3</sub>$ impacts the microbial diversity also in terms of mycorrhizal species composition as shown for Pinus taeda (Edwards and Kelly [1992](#page-33-0)), Betula pendula (Kasurinen et al. [2005](#page-36-0)), Fagus sylvatica, (Haberer et al. [2007;](#page-34-0) Grebenc and Kraigher [2007b](#page-34-0)) and for an aspen and aspen-birch community in a free air  $O_3$  exposure experiment (Edwards and Zak [2011\)](#page-33-0). On the other hand, data about  $O_3$  effects on the mycorrhization of grassland and other crop species are limited. The mycorrhizal colonisation of blue wildrye (*Elymus glaucus*) was reduced in response to  $O_3$ , and it has been demonstrated that this effect depends on the genotype of the grass tested (Yoshida et al. [2001\)](#page-42-0).

<span id="page-21-0"></span>Until now few studies have addressed possible implications of plant exposure to O3 for detritivore invertebrate communities and particularly soil mesofauna composition which are important for ecosystem functioning. In a free air  $O_3$  exposure experiment with temperate forest tree species (aspen and aspen-birch communities) the individual density of soil mites was reduced by nearly 50 % under elevated  $O_3$ conditions, whereas the abundances of collembolans remained unchanged (Loranger et al. [2004\)](#page-37-0). Feeding experiments with litter with altered quality due to previous  $O_3$  exposure of trees were able to demonstrate that growth rates were reduced for the earthworm (Lumbricus terrestris) fed with birch litter (Kasurinen et al. [2007b\)](#page-36-0) or for a collembolean species fed with aspen litter (Meehan et al. [2010](#page-38-0)). With regard to arable agroecosystems Schrader et al. [\(2009](#page-40-0)) observed a decrease in the individual density of enchytraeids, collembolans and soil mites in the rhizosphere of  $O_3$ -exposed wheat plants in OTCs and Chang et al. ([2011\)](#page-32-0) found a reduction in the abundance and diversity of collembolans associated with cotton plants exposed to  $O_3$ . Overall, these few selected examples clearly show that the above-ground impact of  $O_3$  on plant performance may translate into significant secondary below-ground implications in the ecosystem.

#### 5.3 Plant Competition and Community Composition

Driven by concerns about the global changes in biodiversity and the well-known fact that plant growth responses to  $O<sub>3</sub>$  vary significantly between species and genotypes, an arising question is whether exposure of vegetation to high levels of  $O_3$  may alter the strength of competitive interactions between different plant species and whether this may lastly result in changes of plant community composition. The way by which elevated levels of  $O<sub>3</sub>$  will shape the composition includes a change in the cover or abundance of single plant species, which in turn will change the genetic structure of the community. The challenge to describe  $O_3$  risks on plant communities is the understanding of how competitive interactions may modify growth responses of individual species to  $O_3$  and, conversely, how the impact of  $O_3$  may modify their competitive ability within a plant assemblage. Research on this issue comprises both, experiments with older, established ecosystems and artificially newly created plant communities with the majority of experiments designed to study two-species mixtures or model plant communities under laboratory and field conditions.

Artificial forest communities have been investigated under laboratory and field conditions. Phytotron studies to test  $O_3$  effects on the competition between Fagus sylvatica and Picea abies revealed that the responses to  $O_3$  strongly depended on the type of competition: although the response to  $O_3$  of P. abies was not significantly affected by either intra- or interspecific competition, the competitive ability of this species was scarcely affected by  $O_3$  as indicated by an enhanced aboveground growth of the competing  $F$ . sylvatica plants (Grams et al. [2002](#page-34-0); Kozovits et al.  $2005$ ). Under conditions of interspecific competition, P. abies was found to be superior in nitrogen acquisition whereas  $F$ . sylvatica in turn appeared to be nitrogen-limited (Grams and Matyssek [2010](#page-34-0); Kozovits et al. [2005\)](#page-36-0). Effects on nutrient efficiency indicate that processes of stress defence due to  $O_3$  exposure trigger a nutrient demand at the expense of above-ground competition (Rodenkirchen et al. [2009](#page-40-0)). Recently, Grams et al. [\(2012](#page-34-0)) demonstrated that the more intense the competition between  $F$ . sylvatica and  $P$ . abies is, the stronger the response to other stressors may be modified.

Under free air  $O_3$  exposure conditions, stands of different clones of *Populus* tremuloides (aspen) or mixed stands with either Betula papyrifera or Acer saccharum were investigated during a 12-year experiment. The growth response of P. tremuloides depended on clone and competitive status (Kubiske et al. [2007;](#page-36-0) McDonald et al.  $2002$ ). After 7 years of exposure, O<sub>3</sub> slightly enhanced the rate of conversion of a P. tremuloides stand to a B. pendula stand (Kubiske et al. [2007\)](#page-36-0), whereas the cumulative nitrogen-acquisition decreased in both species (Zak et al. [2007](#page-42-0)). When the experiment went on for 12 years, the rank order of nitrogen-acquisition among P. tremuloides genotypes was not shifted over time, indicating no change when juvenile trees mature (Zak et al. [2012\)](#page-43-0).

In the above experiment an understory community established which consisted of more than plant 30 species dominated by perennial old field vegetation. Observed effects on total and individual species biomass, N content, and <sup>15</sup>N recovery of this understory vegetation could not be related directly to the  $O_3$ treatments but rather reflected the effects on the structure of the overstory community, which is determined by the present tree species and their response to the treatments (Bandeff et al. [2006](#page-31-0)).

Ozone effects on established forest plant communities have already been assessed in some earlier studies. For example, Nygaard ([1994\)](#page-39-0) and Steubing et al. [\(1989](#page-41-0)) investigated the responses of understory species growing in an intact conifer or beech forest, respectively, to relatively high  $O_3$  exposures and found high variability between species in  $O_3$  sensitivity. Barbo et al. ([1998](#page-31-0)) examined the response to sub-ambient and enhanced  $O<sub>3</sub>$  levels of an early successional plant community associated with Pinus taeda. In this study,  $O_3$  exposures caused shifts in the competitive interactions between plants and the abundance of the five most common species was affected already in the first year. The authors concluded that total vegetative cover, vertical density of foliage as well as species richness, diversity and evenness may be at risk by the prevailing ambient  $O_3$  exposures.

A group of beech and spruce trees within a 55-year-old Norway spruce stand (Kranzberger Forst, Germany) has been exposed for 8 years to enhanced  $O_3$  levels using a newly developed free-air  $O_3$  fumigation system (Matyssek et al. [2010a,](#page-37-0) [b](#page-37-0), [2013\)](#page-38-0). One of the results showed that individuals of both tree species, spruce and beech, grew faster in mixture than in pure stands reflecting a facilitation of spruce and a reduction in competitiveness of beech. The results of this research project led to the conclusion that increasing levels of  $O_3$  stress may change the pattern of carbon allocation in mixed stands of beech and spruce and the outcome of competition (Pretzsch and Schutze [2009\)](#page-40-0). Actually, Pretzsch et al. ([2010\)](#page-40-0) demonstrated a shift in the resource allocation in mature trees caused by exposure to high  $O_3$  levels.

In comparison to studies with forest plants, a much large number of more recent studies on  $O_3$  effects on plant competition are available for grassland communities. This may be due to the fact that a generally accepted outcome of screening experiments with single plants or monocultures is that members of Fabaceae (legumes) with the genus *Trifolium* in particular belong to the most  $O_3$ -sensitive plant species, whereas members of the family Poaceae are much less responsive to  $O_3$  (Fuhrer [1997\)](#page-33-0). Experimental approaches to address  $O_3$  effects on interspecific competition between herbaceous plant species have thus focused on grass/clover mixtures.

With respect to artificial grassland communities, more recent experiments with potted plants of artificial grass/clover mixtures confirm earlier results such that markedly negative growth response of the clover to  $O_3$  exposure is observed, whereas the grass species were hardly impaired by  $O_3$  (González-Fernandez et al. [2008](#page-33-0); Haldemann and Fuhrer [2005](#page-34-0); Hayes et al. [2009,](#page-34-0) [2010a](#page-34-0)). If the total biomass of the species mixture was largely influenced by the more sensitive component, a decline in total yield of the mixture has been recorded under  $O_3$ exposure (e.g. for Trifolium repens/Lolium perenne, Hayes et al. [2009](#page-34-0); for Trifolium pratense/Trisetum flavescens, Nussbaum et al. [2000](#page-39-0)). On the other hand, a range of  $O_3$  exposure experiments resulted in unchanged total yield quantities (e.g. for Trifolium repens/Lolium perenne, González-Fernández et al. [2008;](#page-33-0) or grass/alfalfa, Johnson et al. [1996](#page-35-0)). This result derives from the fact that an  $O_3$ -induced decline in the relative yield of clover entailed an increase in the relative yield of the grass component. In addition, it has been argued that altered root/shoot ratios (Haldemann and Fuhrer [2005](#page-34-0)) and reduced remobilisation of reserves after grazing (Nussbaum et al.  $2000$ ) due to the  $O_3$  impact could facilitate the less sensitive species. Thus,  $O_3$  may interact with cutting or grazing by reducing the capacity for regrowth from energy reserves (Ashmore and Ainsworth [1995](#page-31-0)). It should be mentioned that these recent findings from experiments using potted plants had already been observed with similar tendencies in older field experiments with natural grass/clover communities, where plants were exposed to  $O<sub>3</sub>$  under OTC conditions (Blum et al. [1983](#page-32-0); Rebbeck et al. [1988](#page-40-0); Heagle et al. [1989](#page-35-0)).

There is also recent evidence that the presence of an interspecific competitor (grass) may affect the response of the clover species to  $O_3$ . In two-species mixtures, adverse effects of  $O_3$  on productivity may be enhanced by competition as shown for Trifolium pratense in competition with Trisetum flavescens (Haldemann and Fuhrer [2005\)](#page-34-0) or mitigated by competition as shown for Trifolium repens in competition with *Lolium perenne* (González-Fernández et al. [2008](#page-33-0)).

Ozone effects on plant competition of two-species mixture have recently also been investigated without legumes. Using a phytometer approach, the response of early season  $O_3$  stress on model communities of wet grassland species (Tonneijck et al. [2004\)](#page-41-0) and ten different extensively managed grassland species (Bender et al. [2002](#page-31-0), [2006b](#page-31-0)) was investigated over three seasons under OTC conditions with moderately enhanced  $O_3$  levels. In the latter studies, for none of the ten species  $O<sub>3</sub>$  impacts on growth were detected when grown in monoculture. Target species differed significantly in their competitive ability against the phytometer (*Poa* 

pratensis) but the experiments did not provide evidence that interspecific competition altered the harmful effects of an early season  $O<sub>3</sub>$  stress on aboveground growth. Only for *Veronica chamaedrys*,  $O_3$  was shown to affect its competitive ability against Poa pratensis negatively (Bender et al. [2002](#page-31-0), [2003](#page-31-0)). A similar increase in the grass cover ratio under  $O_3$  exposure was demonstrated for Anthoxanthum odoratum and Dactylis glomerata, respectively, when grown in competition with Leontodon hispidus (Hayes et al. [2011](#page-35-0)).

In previous studies with artificial pasture model plant communities composed of grasses, clover and weeds, the decline in the clover component, T. repens and/or T. pratense due to the  $O_3$  impact, was associated with a slight increase in the yield of grasses (e.g. field-sown, Fuhrer et al. [1994](#page-33-0) and pot-sown, Ashmore et al. [1996\)](#page-31-0). Conversely, in a simulated community representing a typical multi-species UK upland grassland, the grass Anthoxanthum odoratum was most affected by an experimental  $O_3$  exposure in terms of aboveground biomass reduction and this effect contributed to a decrease in total community biomass and grass:forb ratio (Hayes et al. [2010b](#page-35-0)). In Finland, in a study with meadow species in mesocosms, after only 2 years of moderate exposure to  $O<sub>3</sub>$ , the early season coverage of plant communities was decreased (Rämö et al.  $2007$ ); however, the reductions in aboveground biomass were not reflected in changes in the dominance of different functional groups or in the total community root biomass (Rämö et al.  $2006$ ).

There are also reports that describe modifying effects of plant competition in grassland communities on the impact of  $O<sub>3</sub>$  on plant flowering and visible leaf injury. Among characteristic species of therophytic dehesa grasslands, flower production of Trifolium cherleri, Trifolium subterraneum and Trifolium striatum was suppressed by  $O_3$  in competition with the grass species *Briza maxima*, but there was no interaction between competition and  $O_3$  response (Gimeno et al. [2003](#page-33-0)). In a complex grassland model community, the timing of flowering and the number of flowers of *Lotus corniculatus* were accelerated by  $O_3$ , while a significant reduction in the numbers of flowers with increasing  $O_3$  levels was found for *Campanula* rotundifolia, Scabiosa columbaria and Vicia cracca (Hayes et al. [2012](#page-35-0); Rämö et al. [2007](#page-40-0)). Such changes in timing and number of flowers could have implications for pollination and the long-term outcome of the whole community development. Reduced proportions of injured leaves on  $O_3$  exposed grasses due to the presence of a competitor have been described. For example, Trisetum flavescens responded more strongly in mixture with the low stature species *Centaurea jacea* than in mixture with Trifolium pratense (Nussbaum et al. [2000](#page-39-0)). Similarly, Leontodon hispidus exhibited a larger increase in  $O_3$ -induced senescence observed in the more open canopy of A. *odoratum* compared to the denser canopy of D. glomerata (Hayes et al. [2011\)](#page-35-0). Modification of microclimate and canopy structure are thought to be potential mechanisms that influence the interaction between O3 responses and competition (Haldemann and Fuhrer [2005;](#page-34-0) Hayes et al. [2010a](#page-34-0)).

Investigations on  $O_3$  effects on older, established communities of managed and semi-natural grassland have been done in several earlier and more recent studies (Table [2](#page-25-0)) and will be discussed here in more detail. For example, Nebel and Fuhrer [\(1994](#page-39-0)) classified 31 species according to the appearance of visible injury when

Community	Main species	Exposure	Effect on species composition	References
Mesotrophic grassland	Festuca rubra Bromus erectus Filipendula vulgaris Pimpinella saxifraga <b>Arrhenatherum</b> elatius Dactylis glomerata Holcus lanatus	<b>OTC</b>	Trends of changes in species composition Shift towards a more calcare- ous grassland community	Ashmore et al. (1995)
Semi-natural chalk grassland	Festuca rubra Campanula rotundifolia Galium verum Plantago lanceolata Festuca rubra Arrhenatherum elatius Bromus erecta Poa pratensis Dactylis glomerata	<b>OTC</b>	Consistent decline in cover of F. rubra C. rotundifolium was lost from all ozone treat- ments Increase in fre- quency of G. verum Increase in fre- quency of P. lanceolata	Thwaites et al. (2006)
Low managed grassland Geo-Montani- Nardetum $(Alp \text{ Flix})$	Festuca violacea Nardus stricta Carex sempervirens Ranunculus villarsii Leontodon helveticus Ligusticum mutellina Poten- tilla aurea	Free air	No effects on the abundance of the most fre- quent species	<b>Bassin</b> et al. (2007b)
Semi-natural grassland Arrhenatheretum elatius- Festuca rubra subcommunity	Agrostis capillaris Festuca rubra Poa pratensis Veronica chamaedrys Trifolium repens Plantago lanceolata Stellaria graminea	<b>OTC</b>	No change in spe- cies richness Effect on the pro- portion of grass to forb cover values T. repens and V. chamaedrys increased in cover A. capillaris decreased in cover	Evans and Ashmore (1992)

<span id="page-25-0"></span>**Table 2** Effects of  $O_3$  exposures on species composition in experiments with established grassland communities

(continued)

Community	Main species	Exposure	Effect on species composition	References
Arrhenatherion elatioris Low-to-medium productivity	Bromus hordeaceus Holcus lanatus Trisetum flavescens Alopecurus	Free-air	Change in fraction of functional groups Legume fraction	Volk et al. $(2006)$ Stampfli and Fuhrer
30-year-old field (Le Mouret)	pratensis Arrhenatherum elatius Plantago lanceolata Ranunculus friesianus Trifolium pratense		shows a nega- tive response Negative effects of ozone on grass and legume fraction No response of the forb fraction	(2010)
Mesotrophic grassland	Festuca rubra Holcus lanantus Anthoxanthum odoratum	Free-air	Influence on the composition of the herb and legume group	Wedlich et al. $(2012)$

Table 2 (continued)

grown in soil blocks of intact semi-natural grassland vegetation and Evans and Ashmore ([1992\)](#page-33-0) showed that during a season with relative high  $O<sub>3</sub>$  levels total aboveground biomass of a semi-natural grassland community was decreased. More recently, an old, species-rich (53 species) pasture at a mid-elevation site in Switzerland was exposed for seven years to  $O_3$  in a free air exposure system under real field conditions (Volk et al. [2003](#page-41-0)). For individual growth periods, no relationship between the  $O_3$  exposure level and yield differences was observed. After 5 years a loss in annual dry matter yield of about 23 % was calculated for conditions of moderately elevated  $O_3$  levels (1.5  $\times$  ambient air) showing a strong negative response of the yield of the fraction of legumes (Volk et al. [2006\)](#page-41-0) but not of the frequency of legumes at the experimental plots (Stampfli and Fuhrer [2010\)](#page-41-0). In a natural upland mesotrophic grassland in UK, Wedlich et al. ([2012\)](#page-42-0) revealed clear evidence for a cumulative effect of moderately elevated  $O_3$  levels (free-air exposure) over time (3 years) on species biomass composition as there was a significant negative effect of  $O_3$  exposure on herb biomass, but not on total grass or legume biomass suggesting that finally  $O_3$  had become the dominant factor influencing species composition within the combined herb and legume component.

Particularly for semi-natural calcareous grassland, a shift in species composition has been recorded which was indicated either by a decline (e.g. of the dominant grass species Festuca rubra or Campanula rotundifolia) or an increase (Galium verum and Plantago lanceolata) in cover or frequency of species (Thwaites et al. [2006\)](#page-41-0). Bassin et al. [\(2007b\)](#page-31-0) concluded that in old, species-rich grassland communities, effects of elevated  $O_3$  on the productivity and floristic composition seem to develop rather slowly, as evidenced from the lack of significant vegetation responses of the sub-alpine grassland community to the elevated  $O_3$  treatment over 7 years (Bassin et al. [2013](#page-31-0)). With respect to species-specific traits Bassin

et al. [\(2009](#page-31-0)) suggested that commonly used principles of functional growth analysis do not directly hold under the specific conditions of such plant communities. As a reason, an adaptation to oxidative stress of the alpine species was discussed to account for the low sensitivity in response to the chronic low-level  $O_3$  exposure used in this experiment (Bassin et al. [2013](#page-31-0)).

In summary, the studies cited above indicate that current and future  $O_3$  concentrations could affect natural and semi-natural grassland communities and point out that detrimental effects on species balance may occur. In clover:grass mixtures a shift in species composition is the predominant effect, favouring the tillering of the grass component, whereas the effect on the total forage yield seems to be determined by the susceptibility of the individual species. Experiments with newly established grassland communities indicate that nitrogen-poor meadows are potentially very sensitive towards an  $O_3$  impact. Mesotrophic grassland communities are characterised by the occurrence of faster growing species which are known to be more susceptible to  $O_3$  than the slower growing calcareous grassland species. The low susceptibility of old, species rich grassland communities to  $O_3$  is linked to specific characteristics of these systems. Low productivity vegetation such as subalpine grassland is mainly composed of species with a stress-tolerant growth strategy, which have been considered relatively unresponsive to  $O<sub>3</sub>$  (Bassin et al. [2007a](#page-31-0)). Probably, the high genetic diversity and the large rooting system, which entails resources to allow repeated establishment of a new photosynthetic canopy are the basis for a large resilience against declining biomass production (Bassin et al. [2007a,](#page-31-0) [b;](#page-31-0) Volk et al. [2011\)](#page-42-0).

As already shown for grassland communities, any differential  $O_3$  susceptibility between plant species in terms of growth or fitness may alter their competitive interactions. This may also be assumed for plant competition in agro-ecosystems and has been shown for crop–weed interactions. Unfortunately, overall knowledge about this issue is rather scarce. Ozone impacts on competition between crops and the C-4 weed Cyperus esculentus have been investigated by Grantz and Shrestha [\(2005](#page-34-0), [2006\)](#page-34-0) and Shrestha and Grantz [\(2005](#page-40-0)). Fruit productivity of Lycopersicon esculentum in competition with this weed was reduced under low and moderate  $O_3$ levels, whereas the crop responded only to high  $O<sub>3</sub>$  levels in the absence of the weed (Shrestha and Grantz  $2005$ ). In competition with Gossypium barbadense,  $O_3$ impacts were compounded by C. esculentus (Grantz and Shrestha [2005](#page-34-0)) suggesting that high  $O_3$  concentrations appear to increase the competitiveness of the weed with respect to cotton (Grantz and Shrestha [2006\)](#page-34-0). Grantz et al. ([2010\)](#page-34-0) thus assumed that it is more likely that the level of threat to agricultural production from C. esculentus may increase due to enhanced competition for edaphic resources driven by the  $O<sub>3</sub>$ impact. Pfleeger et al. [\(2010](#page-39-0)) observed the response of a plant community emerging from a farm soil over several generations. Individuals from some of the species appeared to be diminished in number by the third year, such as *Capsella bursa*pastoris, Erodium cicurtarium and Spergula arvensis, while biomass decreased with increasing  $O_3$  exposure. Changes in competitive interactions and community dynamics seemed to be an indirect effect of premature senescence of taller species by altering light availability.

#### 5.4 Ecosystem Productivity

Ozone effects on plant vigour, water relations and soil processes may finally all contribute to altered net primary productivity which is one of the key characteristics of any ecosystem function and service.

Forest productivity is of particular interest not only for timber production but also due to its implications for the global carbon cycle and climate change. Current  $O_3$  levels are considered an important stressor of over 30 % of the world's forests (IPCC [2007;](#page-35-0) Royal Society [2008](#page-40-0)) and also constitute a risk for forests in Europe (Ashmore [2005](#page-31-0); Matyssek et al.  $2008$ ). Such assessments of  $O<sub>3</sub>$  effects on forest ecosystem properties are based on experiments and models, but still remain uncertain. For example, most experimental approaches addressing this question were carried out with seedlings or individual young tree species, therefore extrapolation to the results of mature forest stands is limited (Karnosky et al. [2007\)](#page-36-0).

DeMarco et al. [\(2013](#page-32-0)) applied a generalised linear/non-linear regression model to assess cause–effect relationships between primary productivity of Quercus cerris, Quercus ilex and Fagus sylvatica and climate and pollutants including  $O_3$ in Italy and concluded that  $O_3$  did not significantly affect net primary productivity. But this conclusion must be viewed with caution, because the authors only considered the external  $O_3$  concentration (as AOT40; accumulated hourly mean  $O_3$ concentration above 40 ppb), i.e. the  $O_3$  exposure in their model rather than the O3 uptake into the plants, which is toxicologically relevant for any risk assessment. Ollinger et al. ([1997\)](#page-39-0) combined leaf-level  $O_3$  response data from  $O_3$  fumigation studies with a forest ecosystem model in order to simulate the effects of ambient  $O_3$ on mature hardwood forests in the northeastern United States. The predicted declines in annual net primary production in this modelling study ranged from 3 to 16 %.

Information on  $O_3$  effects on the productivity of natural and semi-natural vegetation are to a large extent represented by studies on grassland (Bassin et al. [2007a](#page-31-0); Fuhrer [2009](#page-33-0); see Sect. [5.3](#page-21-0)). As grasslands comprise a variety of habitats described as meadows and fens, as well as agricultural grassland used for grazing, albeit maintained to conserve species diversity, a general assessment of an  $O_3$  impact on these ecosystem type is difficult. Although  $O_3$  has been reported to decrease productivity in individual grassland species grown in simulated mixtures (see Sect. [5.3\)](#page-21-0), the few experiments with established grassland ecosystems have shown that their net primary production is quite resilient to elevated  $O<sub>3</sub>$  (Thwaites et al. [2006](#page-41-0); Volk et al. [2011](#page-42-0)).

Ozone effects on agroecosystem productivity at the field scale and related to this the consequences for regional and global yields and agricultural productivity, respectively, have been assessed by experimental field studies and by regression models using the  $O_3$  dose–response functions derived from these experiments. The most prominent examples of this approach are the previous multi-site field studies in National Crop Loss Assessment Network in the USA (Heagle [1989\)](#page-35-0) and in the European Open-top Chamber Network (Jäger et al. [1992\)](#page-35-0), where various crop species were exposed to  $O_3$  in OTCs. Data from these experiments have been used widely to develop  $O_3$  exposure–response models which again formed the basis to estimate regional or global productivity losses (in terms of crop yields) caused by O3 (Wang and Mauzerall [2004](#page-42-0); van Dingenen et al. [2009](#page-41-0)). For example, yield losses of important US crops crop species (maize wheat, sorghum, soybean) were calculated to be in the range of approx. 10 % when exposed to an average  $O_3$ concentration below 50 ppb (7-h day<sup>-1</sup>) or when exposed to  $O_3$  concentrations above 80 ppb  $(7-h \text{ day}^{-1})$  (Booker et al. [2009](#page-32-0)). According to Mills et al. [\(2007](#page-38-0)) more than 20 % of the European crop production area is at risk for yield losses of about 5 % at current  $O_3$  levels. Also, mostly based on OTC studies considerable yield losses of crops in Asian countries like India, Pakistan (Wahid [2006\)](#page-42-0) and China have been estimated (Cho et al. [2011\)](#page-32-0). Aunand et al. [\(2000](#page-31-0)) estimate that yield losses of soybean and wheat may range between 20 and 30 % by 2020 in China. More recently, the only two free air  $O_3$  exposure experiments with crops worldwide have similarly shown that modest enhancements of ambient  $O_3$  concentrations (which ranged between 42 and 62 ppb) resulted in yield losses of 5–18 % for rice (Shi et al. [2009](#page-40-0)), 15–25 % for soybean (Morgan et al. [2006\)](#page-38-0) and 10–35 % for wheat (Zhu et al. [2011](#page-43-0)). Overall, the above examples all provide reasonable evidence that the productivity of important agro-ecosystems are at risk from current and future  $O_3$ exposure. From the perspective of the growing global population with ever increasing future needs for food supply, the estimated yield losses of these crops are of concern. However, there remains uncertainty with these estimates as they rely on exposures to the external  $O_3$  concentration rather than on the actual  $O_3$  uptake into the crops.

#### 6 Conclusions

Globally there is widespread evidence that tropospheric  $O_3$  concentrations tend to increase. There is also long-term evidence that  $O_3$  is highly phytotoxic and that vegetation is at particular risk from this pollutant. Driven by concerns about the potential losses in food crop and timber productivity due to  $O_3$  exposures the mode of action of  $O_3$  on individual plant species has been studied intensively during the last decades. Consequently, we now have a reasonable understanding how plant metabolism, physiology and growth vigour is affected by this pollutant and evidence that  $O_3$  exposure causes yield losses of crops and forest trees. More recently, along with overall concerns about the pressures on global ecosystems derived from land use, climate change and overexploitation, etc. emphasis on the importance of ecosystems for the overall functioning of the biosphere has raised new questions about the role of  $O_3$  as an additional threat to that role of terrestrial ecosystems. Here we have highlighted  $O_3$  effects on plant water relations and the possible consequences for the hydrology of whole ecosystems, the possible consequences of an  $O_3$ -induced alteration of the carbon transfer between above- and belowground plant parts for soil carbon and soil organisms and the potential role of  $O<sub>3</sub>$ 

<span id="page-30-0"></span>as a driver of plant biodiversity in vegetation. It is evident that in comparison to the level of single plants much of the existing information that would allow us to assess  $O<sub>3</sub>$  effects at the ecosystem level is still missing and inconsistent.

From the perspective of ecosystem effects this is particularly due to a paucity of adequate research efforts to study  $O_3$  effects at the system level. This is equally true for agro-, forest- and other semi(natural) or grassland ecosystems, albeit these different systems require to consider different time horizons to assess any risk from  $O_3$  stress. While two recent large-scale  $O_3$  experiments with a forest plantation and a mature forest stand applying free air  $O_3$  enrichment techniques have provided important information on the multitude of potential  $O_3$  effects at the system or stand level, we need more of such experiments. This holds true not only with respect to other forest ecosystems at other sites but similarly also for other types of natural or semi-natural vegetation such as, e.g. pastures and grassland, particularly with an increased emphasis on biodiversity issues under the impact of  $O_3$ . Also for agroecosystems where  $O_3$  effects have almost always been considered under aspects of food security, the challenge remains to clearly demonstrate at the field level the "true" extent of either direct or indirect  $O_3$  impacts on crop yield and quality. To address the various inconsistencies in the current understanding of  $O<sub>3</sub>$ effects at the ecosystem level especially long-term factorial experimental approaches are required that address questions of interactions of  $O<sub>3</sub>$  with other environmental factors more systematically. Such efforts should be underpinned by a more mechanistic research trying to better understand the various interactive feedbacks of the components of a particular system under  $O_3$  exposure, e.g. by applying ecophysiological and molecular approaches.

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