Chapter 2 The Impact of Enhanced Atmospheric CO2 Concentrations on the Responses of Maize and Soybean to Elevated Growth Temperatures

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2.1 Introduction

The agricultural industry is uniquely dependent upon climate and a changing climate has the potential to alter crop productivity and affect economic returns to growers. Atmospheric CO_2 levels have risen about 40% since the advent of the industrial revolution and this is largely due to fossil-fuel combustion and changes in land management (IPCC [2007\)](#page-18-0). Because atmospheric CO_2 absorbs heat from the sun, global mean temperatures, over both land and water, increased to an average of 0.85 °C between 1880 and 2012 (IPCC [2013\)](#page-18-1). Additional increases in the global mean temperature are likely to occur during the current century and this will have consequences for both mechanized and subsistence agriculture. The IPCC [\(2007](#page-18-0)) has concluded that global mean temperatures could increase by an additional 4°C by the end of the current century, if mitigation measures are not enacted. Moreover, a report by Hatfield et al. [\(2008](#page-17-0)) predicts that agriculture will face a more variable, future climate with an increased frequency of extreme weather events including, prolonged drought, intense heat waves, and episodes of drenching rains. Above optimal temperatures decrease both the vegetative and reproductive growth of crop plants but this may be partially offset by greater rates of net photosynthesis due to CO_2 enrichment (Baker and Allen 1989; Boote et al. [2005](#page-16-0)). Several excellent reviews exist that discuss the effects of heat and/or abiotic stress (Vierling [1991;](#page-21-0) Wahid et al. [2007;](#page-21-1) Ahuja et al. [2010;](#page-16-1) Mittler et al. [2011\)](#page-19-0) and of CO_2 enrichment (Kimball et al. [1993](#page-18-2); Allen et al. [1996](#page-16-2); Sicher and Kim [2011;](#page-20-0) Barnaby and Ziska [2012\)](#page-16-3) on plants. The current chapter briefly discusses these subjects but principally focuses on how elevated temperatures and increased atmospheric CO_2 concentrations interact to affect the growth and harvestable yields of important crop plants.

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Our primary focus will be on soybean and maize but, where inadequate data are available, results for related legumes, tropical grass species, and specific crop plants also will be cited.

2.2 Positive Effects of CO₂ Enrichment on Plants

The carbon for plant growth is derived from CO_2 in the atmosphere and the lightdependent reactions of photosynthesis. The current atmospheric $CO₂$ concentration, i.e., 396 μmol mol−1, does not saturate rates of photosynthesis for the majority of terrestrial plants that possess the C_3 pathway of photosynthesis (Stitt [1991](#page-21-2)). Many important agricultural crops, including rice, cotton, potato, wheat, and soybean, possess the C_3 pathway of photosynthesis. Supra-ambient CO_2 concentrations normally increase rates of photosynthesis, photoassimilate accumulation, and growth of most terrestrial plants. The conversion of carbon dioxide into organic products takes place in the chloroplast stroma and is catalyzed by the bifunctional enzyme, Rubisco. The concentration of CO₂ within the chloroplast is estimated to be 10 μ M, which is close to the apparent Michaelis constant (K_m) for the CO₂ fixation reaction of Rubisco. Rubisco also functions as an oxygenase, that competitively inhibits the carboxylase activity of the enzyme, and the former reaction initiates the first step in photorespiratory metabolism. Therefore, an increase in atmospheric $CO₂$ concentration is capable of accelerating the rate of $CO₂$ fixation in the chloroplast by simultaneously enhancing the carboxylation and inhibiting the oxygenation reactions of Rubisco (Kobza and Edwards [1987\)](#page-18-3). Stitt [\(1991](#page-21-2)) has argued that increasing the atmospheric CO₂ concentration from 396 to 700 μmol mol^{−1} should accelerate the net rate of photosynthesis of C_3 plants by 25–75%.

Other plants, including maize, sorghum, and sugar cane, are dependent upon a second carboxylase enzyme, i.e., phospho(enol) pyruvate carboxylase (PEPCase), to catalyze the initial reactions of photosynthesis. The immediate products of the PEPCase reaction are C_4 acids, which are subsequently decarboxylated in the vicin-ity of Rubisco (Sage and Kubien [2003](#page-20-1)). This raises the intracellular CO_2 concentration in a manner that facilitates the carboxylase activity of Rubisco and almost completely inhibits the oxygenase activity. Unlike C_3 plants, photosynthetic rates of plants possessing the C_4 biochemical concentrating mechanism are effectively saturated at ambient atmospheric CO_2 levels. Therefore, rates of CO_2 fixation, whole plant growth rates, and harvestable yields of C_4 plants are not nearly as responsive to rising atmospheric CO_2 concentrations as that of C_3 plants. However, both C_3 and C_4 plants exhibit stomatal closure in response to elevated CO_2 and this has important consequences for plant–water relations (Bunce [2004\)](#page-16-4). Because high concentrations of intracellular CO_2 are maintained, partial stomatal closure due to CO_2 enrichment normally does not inhibit photosynthetic rates of maize and other C_4 plants (Sage 1999). Therefore, growth rates of maize can be positively affected by $CO₂$ enrichment, in part, because of improved water relations. However, any growth enhancement of C_4 plants due to CO_2 enrichment is usually much smaller than that reported for C_3 plants (Kimball et al. [1993](#page-18-2); Hatfield et al. [2011](#page-17-1)).

2.3 Negative Effects of CO₂ Enrichment on Plants

 $CO₂$ enrichment is broadly beneficial for plant growth, although continuous exposure to elevated CO_2 can have a negative impact on plant development. It has been observed that the C/N ratio is frequently higher in plants grown in elevated than in ambient CO_2 (Baker et al. 1989; Foyer et al. [1994](#page-17-2)), which suggests that the uptake and assimilation of N, and possibly other nutrients from the soil, is not commensurate with the C gain due to CO_2 enrichment from the atmosphere. In some instances, plants grown in elevated CO_2 can become N deficient, which reduces tissue protein concentrations and decreases photosynthetic capacity (Stitt [1991\)](#page-21-2). There are examples where photosynthetic rates of older leaves in the elevated CO_2 treatment were below that of comparable leaves in the ambient CO_2 treatment and this occurred when gas exchange rates were measured at the respective CO_2 concentrations used for plant growth (Sicher and Kremer [1996\)](#page-20-2).

Increased leaf starch levels are almost always observed in leaves of CO_2 -enriched plants and this may partly be due to low leaf N concentrations and to accelerated rates of net CO_2 assimilation (Stitt [1991](#page-21-2)). Some authors (Sasek et al. [1985](#page-20-3)) argue that excessive starch levels in the chloroplast can alter the structure of photosynthetic membranes and this physical disruption negatively impacts leaf photosynthetic rates. Leaves of plants grown in CO_2 -enriched atmospheres can also become chlorotic, brittle, and malformed (Sasek et al. [1985](#page-20-3); Sicher [1998](#page-20-4)). Low chlorophyll levels in CO_2 -enriched tissues have been attributed to nitrogen insufficiency and to the onset of premature senescence (Sicher and Bunce [1998\)](#page-20-5). Premature senescence as a result of CO_2 enrichment has been observed for cereal crops, such as wheat and barley, but this same treatment delays the onset of senescence in soybean (Rogers et al. [2004](#page-20-6)). Clearly, alterations in the timing of senescence affect the overall yield potential of annual crops. In some plant species, the initial stimulation of photosynthesis in response to CO_2 enrichment may be reversed over time as nitrogen becomes insufficient and chlorosis develops. This process is known as photosynthetic acclimation to CO_2 enrichment and photosynthetic rates can ultimately be below that of control plants grown with ambient CO_2 concentrations.

2.4 Elevated Temperature Effects on Plant Growth

The relationship between plant growth and temperature is complex. The variation between day and night temperatures and also mean annual or seasonal temperatures is an important determinant of plant growth rates. Also, the interaction of temperature with other environmental variables, such as irradiance, water availability, and atmospheric CO_2 levels, affects plant development. The growth of all plants is characterized by a number of critical temperatures that can be determined empirically. For example, all plants possess a minimum, maximum, and optimum temperature for growth (Luo [2011;](#page-19-1) Table [2.1\)](#page-3-0). The minimum and maximum temperatures are the lowest and highest temperatures, respectively, that will sustain the growth of

Crop	T_{opt} [°] C	$T_{\rm max},\,{}^{\circ}\mathrm{C},$	Yield	Yield	Yield	% decrease
	(yield)	(yield)	(T_{opt}) t ha ⁻¹	(28 °C) , t ha ⁻¹	$(32^{\circ}C)$, t ha ⁻¹	$(28-32 °C)$
Rice	25	36	7.6	6.3	2.9	54
Soybean	$26 - 28$	$39 - 40$	3.4	3.4	3.1	10
Dry bean	$22 - 24$	32	2.9	1.4	θ	100
Peanut	$23 - 25$	40	3.4	3.2	2.6	20
Sorghum	$23 - 25$	35	12.2	11.8	7.0	41
Maize	$20 - 25$	35	10.9	-	-	-

Table 2.1 Responses of reproductive yields of major crop species to temperature. The optimum and maximum temperatures for reproductive yield (T_{out} and T_{max} , respectively) are means of day and night values

Temperature data are from Hatfield et al. ([2011](#page-17-1)) and Luo ([2011](#page-19-1)). Yield data are from Dr. V. R. Reddy (personal communication)

a given plant species. Agricultural crops have an optimum temperature for yield and this is normally below that of the temperature optimum for vegetative growth (Muchow et al. [1990;](#page-19-2) Luo [2011\)](#page-19-1). The explanation for this is that lower temperatures usually extend the growing season, thereby maximizing light interception and enhancing crop yields. Temperatures above the vegetative and reproductive growth optima are deleterious, although plants do possess adaptive mechanisms that facilitate growth and successful reproduction under stress-inducing, elevated growth temperatures.

2.5 Heat Stress Responses of Plants

Exposing plants to high temperatures for the first time, even for a few hours, can cause heat stress, which is a dangerous condition that can result in cell damage or even death (Mittler et al. [2011](#page-19-0)). Because leaves are thin and have a low heat capacity, cellular injuries can occur within minutes when plants are exposed to acute heat stress (Sharkey [2005\)](#page-20-7). Cellular damage also occurs at moderately high temperatures but only after longer periods of exposure. The heat stress response of plants is complex and involves many components including the following: susceptible proteins become inactivated or denaturated (Zhang et al. [2005\)](#page-21-3), membrane integrity and function is compromised (Howarth [2005\);](#page-18-4) metabolic pathways break down (Wahid et al. [2007](#page-21-1)); the assembly and elongation of microtubules is disrupted (Smertenko et al. [1997\)](#page-21-4); ion fluxes decrease (Schöffl et al. [1999\)](#page-20-8), toxic compounds and reactive oxygen species (ROS) accumulate and both RNA and protein synthesis become impaired (Schöffl et al. [1999](#page-20-8); Howarth [2005](#page-18-4)). To cope with heat stress, plant cells completely reprogram metabolic networks and synthesize stress-related metabolites, proteins, and lipid constituents (Wahid et al. [2007\)](#page-21-1). Plants that are pretreated with high temperatures normally have an improved ability to withstand

future heat stress episodes and this occurs by a process known as acquired thermotolerance. At the cellular level, acquired heat tolerance requires gene activation and specific changes to the metabolome and transcriptome. Low molecular weight metabolites accumulate that function as compatible solutes in the protection of cellular proteins and membranes (Kaplan et al. [2004\)](#page-18-5). Conversely, processes involved in establishing a basal level of heat tolerance are not upregulated by stress pretreatments (Qin et al. [2008\)](#page-19-3).

One of the most important and most thoroughly studied aspects of thermotolerance is the accumulation of heat shock proteins (HSP) in response to heat stress and related environmental stresses (Wang et al. [2004](#page-21-5)). Families of HSPs vary by molecular weight, i.e., Hsp60, Hsp70, Hsp90, Hsp100, and small or sHSP, and are synthesized within a few hours of acute heat stress in plants. These proteins function as molecular chaperones and are involved in stabilizing and resolubilizing proteins that have denatured due to heat stress. Specific HSPs can be found in the nucleus, chloroplast, mitochondria, and in other cellular compartments (Kotak et al. [2007\)](#page-18-6). This suggests that HSPs are involved in protecting and sustaining numerous, vital processes throughout the cell.

It is also clear that the oxidative stress is a significant factor in the heat stress response of plants and of other species. Heat stress frequently induces the synthesis of highly reactive molecules including, singlet oxygen, the superoxide radical, hydrogen peroxide, and hydroxyl radicals (Wahid et al. [2007\)](#page-21-1). One consequence of ROS is the peroxidation of membrane lipids, which can lead to membrane leakage and a loss of membrane integrity. Brief exposures to high temperatures also induce a burst of hydrogen peroxide in plant cells that may be derived from NADPH oxidase activity (Neill et al. [2002](#page-19-4)). It is believed that this burst of hydrogen peroxide is a signal for the induction of several heat stress-related genes. Various antioxidant molecules, including ascorbate and glutathione, can protect against ROS and controlling ROS is a crucial mechanism in minimizing damage due to heat stress.

2.6 Heat Stress Effects on Photosynthesis

There is broad agreement that photosynthetic reactions within the chloroplast are among the most highly sensitive to heat stress in higher plants (Berry and Bjorkman [1980;](#page-16-5) Sharkey [2005\)](#page-20-7). Both light-driven electron transport reactions in the thylakoid membranes and enzymatic reactions promoting CO_2 fixation in the stroma are thought to be thermolabile (Weis and Berry [1988;](#page-21-6) Havaux and Gruszecki [1993\)](#page-17-3). Various lines of evidence suggest that the oxidizing side of photosystem-II was impaired by heat stress (Havaux and Gruszecki [1993;](#page-17-3) Heckathorn et al. [1998\)](#page-18-7). However, the reduction of plastoquinone by photosystem-II is relatively thermotolerant and cyclic electron flow involving photosystem-I actually increased with heat stress (Bukhov et al. [1999;](#page-16-6) Schrader et al. [2004\)](#page-20-9). The above adjustments decrease linear electron flow and reduce rates of CO_2 fixation.

2.7 Effects of CO₂ Enrichment and Heat Stress **on Photosynthesis**

Soybean, which possesses C_3 photosynthesis, generally has a substantial, long-term increase in leaf photosynthesis when grown at elevated $CO₂$ (Sicher and Bunce [1998;](#page-20-5) Bunce [2014;](#page-16-7) Fig. [2.1a\)](#page-5-0). Under field conditions, soybean exhibits little (Ber-nacchi et al. [2005](#page-16-8)) or no downregulation of photosynthesis at elevated CO_2 when measured at high light, except when the plants are under water stress (Sicher and Bunce [1998\)](#page-20-5). This contrasts with the often substantial downregulation of photosynthesis observed at elevated CO_2 in this species when grown in controlled environment chambers (Sicher et al. [1995;](#page-20-10) Sims et al. [1998\)](#page-20-11). However, during long-term growth experiments, single-leaf photosynthetic rates were not increased by CO_2 enrichment when measured at limiting light levels (Rogers et al. [2006;](#page-20-12) Bunce [2014\)](#page-16-7). This finding suggested that long-term exposure to elevated $CO₂$ decreased the quantum efficiency of photosynthesis in soybean, similar to that observed for various other species (Bunce and Ziska [1999](#page-16-9); Lewis et al. [1999](#page-18-8); Takeuchi et al. [2001\)](#page-21-7).

In plants with C_3 photosynthesis, such as soybean, the optimum temperature for photosynthesis increases with the carbon dioxide concentration, primarily because

Fig. 2.1 Effects of elevated temperatures and CO_2 enrichment on single-leaf photosynthetic rates of maize and soybean. Plants were grown from seed in naturally sunlit, temperature-controlled enclosures at Beltsville, MD, and foliar photosynthetic rates were determined on sunny days shortly after canopy closure. Data are shown for ambient (*dark fill*) or twice ambient (*no fill*) CO_2 concentrations and are courtesy of Dr. V. R. Reddy

of the suppression of photorespiration and increased carboxylation rates due to CO₂ enrichment discussed above, i.e., due to changes of the V_c/V_o ratio (Long [1991;](#page-19-5) Kirschbaum [1994](#page-18-9)). This is true whether photosynthesis is light limited or light saturated. However, elevated temperatures can lower the ratio of the velocity of carboxylase to the velocity of oxygenase (V_c/V_o) (Jordan and Ogren [1984\)](#page-18-10). Although a relative increase in photorespiration is a principal effect of elevated temperatures on photosynthesis, it is clear that other factors are also involved. The temperature at which the optimum rate of photosynthesis occurs largely depends upon the thermal stability of the RuBP-regeneration system, because the Rubisco protein itself is stable to at least 45 °C (Bjorkman et al. [1989](#page-16-10); Devos et al. [1998\)](#page-17-4). However, Crafts-Brandner and Salvucci [\(2000](#page-17-5)) and Ristic et al. [\(2009\)](#page-20-13) observed that Rubisco became deactivated after the prolonged exposure of leaf tissue to acute heat stress. Briefly, in the inactivate state, the Rubisco enzyme tightly binds a substrate molecule to the active site, thereby blocking catalytic activity. A second protein, Rubisco activase, facilitates removal of the substrate from the active site and allows Rubisco to become activated and catalytically active. Both in vivo and in vitro evidence suggests that exposing leaf tissue to elevated temperatures can inactivate Rubisco activase. Therefore, one of the principal effects of elevated temperatures on photosynthesis is the conversion of Rubisco from an active to an inactive state. Lowering the Rubisco activation state decreases the carboxylation efficiency of photosynthesis and may lead to the production of excess energy that contributes to photo-oxidative stress (Ort and Baker [2002\)](#page-19-6). However, Wise et al. [\(2004](#page-21-8)) and Kubien and Sage [\(2008\)](#page-18-11) have argued that decreases in Rubisco activation state are a secondary effect caused by a reduction in electron transport rates. According to these authors, the deactivation of Rubisco at elevated temperatures functions naturally to restore the imbalance between electron transport rates and rates of $CO₂$ fixation.

The stimulation of photosynthesis by elevated CO_2 usually increases strongly and predictably with temperature (Long [1991](#page-19-5)). However, at excessively high temperatures, the CO_2 -dependent stimulation of photosynthesis may be negated by low rates of Rubp-regeneration. When this situation occurs, the stimulation of photosynthesis by elevated CO_2 is highly insensitive to measurement temperatures (Bunce [2007;](#page-16-11) Ziska [2001;](#page-21-9) Yamori et al. [2005](#page-21-10)). Additionally, acclimation of photosynthesis to seasonal changes in temperature can result in the stimulation of photosynthesis by elevated CO_2 being nearly constant at different times of the year despite seasonal variations in temperature. This phenomenon has been attributed to thermal acclimation of the photosynthesis system (e.g., Bunce [1998,](#page-16-12) [2000](#page-16-13); Tesky [1997;](#page-21-11) Tjoelker et al. [1998](#page-21-12)).

Above the optimum temperature of photosynthesis, photosynthetic rates may become unstable and decrease continuously with time. There is a critical temperature below which photosynthesis will completely recover after the plants are returned to ambient growth temperatures. However, above this critical temperature, irreversible damage occurs to the photosynthetic machinery of the leaf (Berry and Bjorkman [1980\)](#page-16-5). This makes the assessment of $CO₂$ effects on responses of photosynthesis to extremely high temperatures difficult. Taub et al. ([2000\)](#page-21-13) found that for about 60%

of the species they examined, cultivating plants in atmospheres containing elevated CO_2 resulted in about a 1 °C increase in the temperature required to damage photosystem II. This could also be due to decreased stomatal conductance during the growth at elevated CO_2 caused by leaves acclimating to warmer temperatures. A similar effect on photosynthetic thermal tolerance due to elevated CO_2 was reported in wheat (Gutierrez et al. [2009](#page-17-6)), birch, and aspen trees (Darbah et al. [2010\)](#page-17-7). However, no effect of elevated CO_2 on the thermal tolerance of photosynthesis was observed with either creosote bush (Naumberg et al. [2004\)](#page-19-7) or *Phillyrea angustifolium* (Vitale et al. [2008](#page-21-14)). Soybean photosynthesis has a relatively high temperature optimum (Harley et al. [1985](#page-17-8)) and photosynthesis was not damaged by exposures to temperatures up to 48 °C at either ambient or elevated CO_2 when plants were grown with a daytime temperature of 28 °C (Bunce, unpublished data). Thus, it is unlikely that soybean photosynthesis suffers from heat damage in any of the locations where it is currently grown.

As stated above, plants with C_4 photosynthetic metabolism, such as maize, generally exhibit little or no stimulation of leaf photosynthesis when grown at elevated CO₂ (Kim et al. [2007](#page-18-12), Fig. [2.1b\)](#page-5-0). However, maize plants in the field displayed episodic CO₂-dependent increases in photosynthetic rates during water stress events when stomatal conductance was reduced (Leakey et al. [2006](#page-18-13)). In maize, photosynthesis can be limited by PEP carboxylase (or C_4 cycle) activity, Rubisco activity, or by Rubp-regeneration capacity. Unlike Rubisco, PEP carboxylase activity is saturated by ambient atmospheric CO₂ concentrations. Therefore, photosynthesis rates of intact maize leaves are only limited by very low subambient CO₂ concentrations. Determining whether Rubisco activity or rates of Rubp-regeneration are limiting for photosynthesis in $C₄$ species often requires measuring light response curves, in addition to $CO₂$ response curves (Massad et al. [2007\)](#page-19-8). Crafts-Brandner and Salvucci [\(2002\)](#page-17-9) observed that photosynthesis rates of corn leaves decreased at temperatures above 38 °C. These authors attributed this to a reduced activation state of Rubisco rather than to either diminished C_4 cycle or electron transport activity (i.e., Rubp-regeneration). Because high intracellular CO_2 concentrations are available to Rubisco, C_4 species, in general, tend to have greater optimum temperatures for photosynthesis than do $C₃$ species (Pearcy and Ehleringer [1984\)](#page-19-9). This is partly because rates of photorespiration are normally very low in C_4 species. Maize evolved at higher elevations in the tropics, so it is more heat sensitive than many closely related C_4 species. Qu et al. ([2014](#page-19-10)) found that photosynthesis in corn leaves was inhibited by brief exposures to 45 °C and the temperature effect was more acute at elevated than at ambient $CO₂$ (Fig. [2.2](#page-8-0)). Hamilton et al. (2008) (2008) (2008) also found that elevated $CO₂$ decreased photosynthetic thermal tolerance in maize, as well as in *Amarathus retroflexus,* another C_4 species, although these earlier treatments were based on air temperature rather than leaf temperature.

Fig. 2.2 Percentage reductions in single leaf rates of photosynthesis for *Zea mays* L. cv. Silver Queen, after leaf tissue was exposed to 45°C for 2 h using plants grown in indoor or outdoor chambers. The "ambient" and "elevated" treatments were with 380 mmol mol−1 ( *dark fill*) and 560 mmol mol⁻¹ (*gray fill*) CO₂, respectively. In all cases, stomatal conductance was greater after heat treatment in comparison to the untreated controls. Data are unpublished results from Drs. M. Qu and J. Bunce

2.8 Effects of CO₂ Enrichment and Heat Stress **on Leaf Components and Metabolism**

Both $CO₂$ enrichment and supraoptimal temperatures affect a number of metabolic processes in plants including photosynthesis, photorespiration, and dark respiration. Consequently, these two environmental factors independently affect concentrations of primary and secondary metabolites in plant tissues (Kaplan et al. [2004;](#page-18-5) Prasad et al. [2004](#page-19-11)). As mentioned briefly above, CO_2 enrichment enhances the accumulation of carbon-containing compounds, such as starch, sucrose and hexoses, and may decrease levels of many nitrogen-containing metabolites, including soluble amino acids, photosynthetic proteins, such as Rubisco, and membrane-associated pigmentprotein complexes. These conclusions are true for most C_3 plants, although soybean normally does not exhibit large changes of nitrogen metabolism in response to $CO₂$ enrichment (Campbell 1990; Sicher et al. [1995](#page-20-10); Rogers et al. [2006](#page-20-12)).

As described above, heat stress affects the plant metabolome and leaf metabolites usually exhibit a greater response to heat stress than those found in other tissues on the plant (Rizhsky et al. [2004\)](#page-20-14). Summarizing changes of plant metabolites due to elevated temperatures is complicated by the fact that two fundamentally different experimental approaches have been used. Some investigators examined metabolite changes in response to an acute heat shock treatment and other studies involved modified growth temperatures over longer period of time. These are two related but different approaches to studying heat stress that can have varying outcomes (Kaplan et al. [2004](#page-18-5)). A second problem is that plants are usually adapted to specific cool or warm environments and this can affect the extent of thermal tolerance observed (Yu et al. [2012](#page-21-15)). Third, acute heat treatments when applied to plants can cause leaf tissues to lose water and become desiccated. This is a complication that can result in indirect treatment effects on foliar metabolite levels.

Although the total dataset is limited, the heat stress metabolome of *Arabidopsis* may be smaller than that for cold or drought stress. Kaplan et al. ([2004\)](#page-18-5) reported that 143 and 311 out of 497 real and putative compounds from *Arabidopsis* rosettes were affected by a heat and cold shock, respectively. Rizhsky et al. ([2004\)](#page-20-14) observed that 5 of 48 targeted metabolites in *Arabidopsis* rosettes differed from the controls after raising the growth temperature from 22 to 35°C for 6 h. In the latter experiment, it also was observed that 17 of 48 metabolites were altered by water stress. To our knowledge, similar metabolite analyses from combined stress experiments have not been performed in other species.

Nonstructural Carbohydrates Elevated growth temperatures decreased partitioning to both transitory and storage starch (Geigenberger et al. [1998](#page-17-11); Prasad et al. [2004\)](#page-19-11). However, reports of changes of soluble nonstructural carbohydrates in response to elevated temperatures in plants have been variable. Sucrose, glucose, and fructose in leaves of specific crops and forage species frequently remained unchanged or decreased in response to elevated growth temperatures (Chatterton et al. [1987;](#page-17-12) Liu and Huang [2000;](#page-19-12) Sicher [2013](#page-20-15)). However, foliar sucrose levels also increased due to supraoptimal temperatures in reports by other authors (Kaplan et al. [2004;](#page-18-5) Yu et al. [2012\)](#page-21-15). Sugar alcohols, or polyols, typically increased in soybean leaflets at elevated growth temperatures. Pinitol, which is a methylated derivative of inositiol, is particularly abundant in soybean leaves and it accumulates in response to elevated growth temperatures (Guo and Oosterhuis [1995;](#page-17-13) Sicher [2013\)](#page-20-15). This result suggested there was a shift in metabolism from sucrose to pinitol synthesis in response to heat stress. Mannitol, myo-inositol, galactinol and raffinose have also been observed to accumulate in response to elevated temperatures (Kaplan et al. [2004](#page-18-5); Sicher [2013](#page-20-15)). The former two compounds are polyols that likely function as osmolytes or compatible solutes that protect proteins and membranes from abiotic stress. Galactinol, raffinose, and myo-inositol also are involved in scavenging ROS (Loewus and Murthy [2000\)](#page-19-13).

Organic Acids Organic acids are normally synthesized from soluble sugars, which are then converted to amino acids by transamination. In the *Arabidopsis* literature, changes of organic acids in response to heat shock were relatively minor. Rizhsky et al. [\(2004](#page-20-14)) reported that hydroxysuccinic acid and lactic acid increased with rising treatment temperatures. Hydroxysuccinic acid is another name for malic acid, which, surprisingly, did not respond to heat stress and lactic acid is normally synthesized during anaerobic metabolism. Kaplan et al. [\(2004](#page-18-5)) mentioned four organic acids and all increased with heat stress. These were quinic acid, citramalic acid, fumarate, and malate. Quinic acid is a cyclic polyol, citramalic or 2-methylmalic acid is involved in leucine synthesis and the latter two compounds are tricarboxylic acid (TCA) cycle intermediates with multiple cellular functions.

More recent investigations on crop species have suggested that organic acids may have a major role in the heat stress responses of plants. Yu et al. ([2012\)](#page-21-15) reported that oxalic, shikimic, malonic, threonic, glyceric and galacturic acids decreased from 55 to 85% in tall fescue leaves when the growth temperature was maintained at 10°C above the optimum for plant growth. These same authors found that pyruvic and malic acid were unchanged and citric acid increased about twofold in response to elevated growth temperatures. Sicher ([2013\)](#page-20-15) observed that citrate, aconitate, succinate, fumarate, 2-oxoglutarate and malate decreased from 39 to 94% in soybean leaves when the average daytime growth temperature was increased from 28 to 36 °C (Fig. [2.3\)](#page-10-0). All of these organic acids function in the TCA cycle and are important in respiratory metabolism, amino acid synthesis, ammonia detoxification, and nitrogen assimilation. The studies with tall fescue and soybean were longer-term growth studies using moderate increases in temperature, whereas the *Arabidopsis* experiments by Rizhsky et al. [\(2004](#page-20-14)), and Kaplan et al. [\(2004](#page-18-5)) employed acute heat shock experiments of 4 and 6 h duration.

Amines Soluble amino acids participate in nitrogen assimilation, protein synthesis and degradation, and in the manufacture of secondary metabolites. Prior studies with *Arabidopsis* and cowpea cells showed that alanine, β-alanine, asparagine, γ-amino butyric acid (GABA) and putrescine increased in response to heat shock

Fig. 2.3 Effects of heat stress on compounds involved in primary plant metabolism. Values in parentheses are ratios of metabolite concentrations from leaves of plants grown with 36/28 compared to 28/20 °C (day/night) temperatures. Experiments were performed with ambient (400 µmol mol⁻¹) CO₂ and observed changes in metabolite concentrations werenot observed when plants were grown with elevated (700 μmol mol⁻¹) CO₂. Data are based on results from Sicher ([2013\)](#page-20-15)

(Mayer et al. [1990](#page-19-14); Kaplan et al. [2004;](#page-18-5) Rizhsky et al. [2004\)](#page-20-14). Branched chain amino acids (BCAA), leucine, isoleucine, and valine, also accumulated in the prior heat shock studies by Mayer et al. [\(1990](#page-19-14)) and Kaplan et al. [\(2004](#page-18-5)). Alanine and asparagine can accumulate to very high levels in plant tissues and these two amino acids function as important storage forms of nitrogen during abiotic stress events. GABA is a nonprotein amino acid that accumulates, often in combination with alanine, in affected cells in response to abiotic and biotic stress (Bown and Shelp 1997). Mayer et al. [\(1990](#page-19-14)) argued that GABA accumulation was triggered by low cellular pH, a condition that is associated with Ca^{2+} buildup and the activation of glutamate decarboxylase, an enzyme involved in the synthesis of GABA from glutamate. Yu et al. [\(2012](#page-21-15)) and Sicher [\(2013](#page-20-15)) also observed that GABA increased in plants exposed to a moderate increase in growth temperature. The BCAAs accumulate in response to drought stress and these compounds are important precursors in the synthesis of secondary metabolites (Sicher and Barnaby [2012](#page-20-16)). Both Yu et al. (2004) and Sicher [\(2013](#page-20-15)) reported that glycine and serine decreased in leaves in response to elevated growth temperatures. This result was unexpected because elevated temperatures favor photorespiratory metabolism over CO_2 assimilation, and glycine and serine are important photorespiratory metabolites. However, both serine and glycine may be involved in other cellular processes that are inhibited by elevated temperatures (Sicher and Barnaby [2012](#page-20-16)). Overall, we can conclude that elevated temperatures cause large changes in amino acid metabolism.

Other Metabolites High temperature stress affects concentrations of phytohormones in various plant tissues and these are likely involved in regulating the growth and development of plants affected by abiotic stress (Wahid et al. [2007\)](#page-21-1). Collectively, abscisic acid, ethylene, and salicylic acid have all been associated with temperature stress and brassinosteroid treatments reportedly improved the thermal tolerance of certain plant species (Dhaubhadel et al. [1999](#page-17-14)). Glycine betaine accumulates in many plant species in response to abiotic stress, and may be involved in the response to heat shock (Sakamoto and Murata [2002\)](#page-20-17). This compound is a quaternary amine that likely functions as a compatible solute in the protection of stress-susceptible proteins. Additionally, elevated temperatures also affected products of lipid peroxidation, certain carotenoids, phenolics, and polyamines (Wahid et al. [2007\)](#page-21-1).

2.9 CO₂ Enrichment Mitigates Metabolite Responses to Elevated Temperatures

The above-described metabolite changes in response to heat stress were measured using plants exposed to ambient $CO₂$. Again, the data are limited but there are strong indications that metabolite responses to moderate heat stress were partially to completely reversed by elevated CO_2 treatments. Yu et al. [\(2012](#page-21-15)) observed that the effects of elevated growth temperatures on six amino acids, two sugars, and

three amines were not observed when the CO_2 concentrations used for plant growth were increased from 400 to 800 μmol mol⁻¹. Similarly, Sicher [\(2013](#page-20-15)) working with soybean observed that 28 of 43 metabolites in soybean leaves were altered by increasing the growth temperature to 8° C under ambient CO₂. Conversely, only three amines in soybean leaflets were affected by the same temperature treatment when experiments were performed at 700 µmol mol⁻¹ CO₂. We are not aware of similar metabolite studies that have been performed on plants exposed to acute temperature stress during a heat shock. However, it is likely that CO_2 enrichment is capable of mitigating the effects of elevated temperature stress on plant metabolism.

2.10 Effects of CO₂ Enrichment and Heat Stress **on Vegetative Growth**

Atmospheric CO_2 concentrations and air temperatures are important determinants of plant growth and both of these environmental parameters are likely to be affected by climate change. As discussed above, fertilization with atmospheric $CO₂$ enhances photosynthetic rates and increases biomass formation of C_3 plants. Therefore, significant temperature by CO_2 interactions has been observed for many C_3 crop plants and observed growth responses to CO_2 enrichment are usually enhanced by moderate increases in air temperature (Boote et al. [2005\)](#page-16-0). One additional reason that this would occur is that moderately warmer temperatures have the capacity to extend the length of the growing season (Hatfield et al. [2011\)](#page-17-1). Although elevated temperatures normally enhance the CO_2 fertilization effect, there is a critical point at which temperature increases become deleterious to growth regardless of $CO₂$ concentrations. Idso et al. [\(1987](#page-18-14)) and Kimball et al. ([2002\)](#page-18-15) observed that the biomass growth modification ratio increased by 0.08/°C between 12 and 34°C when the ambient CO₂ concentration was enhanced by 300 μmol mol⁻¹. In contrast to the above, Allen et al. ([1996\)](#page-16-2) observed that for soybean the season-long biomass growth modification ratio was −0.026°C and he attributed this to a shortened grain filling period due to accelerated reproductive development at elevated temperatures. Allen et al. ([1996\)](#page-16-2) also observed that total biomass yields of soybean fell rapidly when day/night temperatures exceeded 44/34°C.

The growth of maize normally does not respond to elevated atmospheric $CO₂$ concentrations except during periods of soil moisture deficits (Kim et al. [2006;](#page-18-16) Leakey et al. [2006](#page-18-13)). The latter authors reported that CO_2 enrichment increased photosynthetic rates of maize up to 41% in the field during periods of water stress. These authors proposed that CO_2 enrichment enhanced intercellular CO_2 concentrations and that this resulted in increased photosynthetic rates when the stomatal conductance was reduced. Kim et al. [\(2007](#page-18-12)) reported that biomass formation, photosynthesis, and leaf area of maize were unaffected by doubling the ambient $CO₂$ concentration and that this conclusion was maintained across a wide range of growth temperatures. These same authors observed that the total above-ground biomass and leaf area were negatively correlated with increasing growth temperatures

between 19/13 and 38.5/32.5 °C when experiments were performed using well watered plants in naturally sunlit, outdoor environmental chambers. The optimum temperature for maize leaf development was about 31 or 32 °C (Tollenaar et al. [1979;](#page-21-16) Kim et al. [2007](#page-18-12)), when determined with ambient or elevated CO_2 .

2.11 Effects of CO₂ Enrichment and Heat Stress on Flowering/Reproductive Growth and Yield

Considerable research has been performed on predicting the effects of climate change on crop yields and broad agreement exists on the basic effects of elevated $CO₂$ and temperature on the yield parameters of various crop species (Table [2.1\)](#page-3-0). However, there is widespread disagreement regarding the precise magnitude of the predicted responses of seed yield to carefully defined environmental parameters (Long et al. [2006\)](#page-19-15). Crop yields are normally determined at numerous locations and data from each location are based on substantial land areas. It is not affordable to perform accurate yield determinations on a large scale using elevated CO_2 treatments. Therefore, all yield studies using elevated $CO₂$ treatments are based on a relatively small number of plants at a single location and are potentially subject to error.

Harvestable yields of soybean are consistently increased by $CO₂$ enrichment and changes of yield were commensurate with increased rates of net photosynthesis and total biomass production (Allen et al. [1996;](#page-16-2) Ainsworth et al. [2002\)](#page-16-14). However, the harvest index, which is the ratio of seed mass to above-ground biomass, decreased in response to CO_2 enrichment (Baker et al. 1989; Ainsworth et al. [2002](#page-16-14)). This is an indication that the soybean plants have a greater capacity to synthesize biomass in response to elevated CO_2 than to utilize it for seed production. Allen and Boote [\(2000](#page-16-15)) reported that soybean yields were increased 34% in a study based on a season-long doubling of ambient CO_2 . Ainsworth et al. [\(2002](#page-16-14)) and Ziska et al. [\(2001](#page-21-17)) reported that mean soybean seed yields increased 38 and 40%, respectively, in response to the same doubling of CO_2 . In addition, Ziska et al. ([2001\)](#page-21-17) suggested that yield increases due to $CO₂$ enrichment varied widely among soybean genotypes, although genetic differences were not observed for single-leaf photosynthetic rates. Soybean yields in the USA have increased dramatically since 1924 and the rate of improvement has accelerated in the last four decades (Specht et al. [1999\)](#page-21-18). Half of this yield improvement was attributed to genetic and technological advances but increased atmospheric CO_2 concentrations also were identified as a major contributor to enhanced soybean yields.

The temperature optimum for soybean seed yield is between 23 and 24°C (Piper et al. [1998](#page-19-16)) and rising temperatures are expected to have a negative impact on harvestable yields. Diminished yields occur with increasing temperatures up to 40°C, which is the point at which crop failure is possible (Allen et al. [1996](#page-16-2)). It should be pointed out that soybean is a moderately temperature tolerant species and significant yield losses have been observed when air temperatures exceeded 30°C for

prolonged periods during the growing season. Yield losses due to heat stress can occur at any point in the growth cycle but temperature effects on yield are usually greatest during the reproductive growth. Hatfield et al. ([2008\)](#page-17-0) and Lobell and Field [\(2008](#page-19-17)) estimated that a 0.8–1.0°C temperature increase across the Southeastern USA would result in a 1.3–2.4% decrease in soybean seed yield. Single-leaf photosynthetic rates by soybean leaflets are fairly stable between 26 and 36 °C. Therefore, factors such as shortened grain-filling duration, poor seed set and decreased seed size are responsible for the yield decreases in soybean that occur at above optimum temperatures (Boote et al. [2005](#page-16-0)).

Baker et al. (1989) determined soybean seed yields (g plant−1) using naturally sunlit controlled environment chambers set to provide 3-day/night temperatures and ambient or twice ambient CO_2 levels. Individual plants grown with 26/19 °C day/ night temperatures and with 330 μmol mol⁻¹ CO₂ yielded 9.0 g of seed plant⁻¹. This increased to 10.1 g seed plant−1 when the temperature was raised to 36/29°C or to 13.1 g seed plant⁻¹ when the CO₂ concentration was doubled to 660 µmol mol⁻¹. However, the same plants yielded 11.6 g seed plant⁻¹ when grown at the higher temperature with double the ambient CO_2 concentration and intermediate results were observed at intermediate temperatures. The yield enhancement due to CO_2 enrichment was 45 and 15% at the lower and higher growth temperatures, respectively. Therefore, the beneficial effects of CO_2 enrichment on soybean yields diminish at elevated growth temperatures and disappear at acutely high temperatures.

The effects of elevated temperatures on maize and soybean yields were basically similar. It is well recognized that elevated temperatures decreased the grain filling duration of maize and that this negatively affected crop yields (Muchow et al. [1990](#page-19-2)). Conversely, Tollenaar and Bruulsema [\(1988](#page-21-19)) showed that kernel dry matter accumulation only varied slightly between 10 and 25 °C. Commuri and Jones [\(2001](#page-17-15)) reported that heat stress decreased overall kernel dry weight and kernel density. Consequently, the reproductive growth of maize is generally more sensitive to heat stress than vegetative growth (Allen and Boote [2000;](#page-16-15) Reddy et al. [2000\)](#page-19-18). Lobell et al. ([2011](#page-19-19)) and Hawkins et al. [\(2013](#page-18-17)) used historical maize yield data to estimate yield losses due to excessive temperatures. The former paper studied maize production in southern Africa and determined that each day above 30°C found reduced yields by 1.0–1.7% depending upon water availability. The latter paper similarly found that maize yields in France decreased in proportion to the number of days during the growing season with temperatures above 32°C.

High temperatures decrease maize yields primarily during the reproductive growth by inducing flower abortion, disrupting fertilization and inhibiting endosperm development. Herrero and Johnson [\(1980](#page-18-18)) showed that temperatures above 32.5°C inhibited maize pollen germination and that this process was affected by the duration and severity of heat stress. There is also a possibility that maize pollen and silk become desiccated when exposed to elevated temperatures. Monjardino et al. [\(2005](#page-19-20)) reported that starch and protein synthesis in maize endosperm were inhibited by 4 days of heat treatment at 35°C. These authors also observed that kernel sizes were smaller for the heat-treated samples in comparison with the controls.

Hatfield et al. [\(2011\)](#page-17-1) summarized the effects of CO_2 enrichment on maize and concluded that seed yields would only increase 3–4% on average in response to doubling CO_2 levels. The combined effects of CO_2 enrichment and elevated temperatures on maize yields have not been characterized adequately in field experiments. However, Prasad et al. [\(2008](#page-19-21)) demonstrated that elevated CO_2 treatments increased internal tissue temperatures of grain sorghum and this exacerbated the negative effects of elevated air temperatures on seed yields. Due to a lack of experimental data, estimating the combined effects of $CO₂$ and temperature on maize yields has relied, in part, on crop modeling approaches. Hatfield et al [\(2011\)](#page-17-1) concluded that temperatures in the North American Corn Belt would increase to 0.8 °C in the next 30 years when atmospheric CO₂ concentrations could reach 440 µmol mol⁻¹. These authors suggested that these conditions would result in a minimum 2–3% decrease in maize grain yields under water-sufficient conditions. Easterling et al. [\(2007](#page-17-16)) concluded that a $1-2$ ^oC increase in global mean temperatures would increase maize yields by a few percent in the mid latitudes, that maize grown in the tropics would have major yield losses due to temperatures $3-5$ °C above today's values and that the elevated atmospheric CO_2 concentrations would have negligible benefits for maize production.

2.12 Summary

 $CO₂$ enrichment is capable of mitigating the effects of moderate heat stress on plants, such as soybean, that have the C_3 pathway of photosynthesis. Evidence for this was based on changes of net photosynthetic rate, primary metabolism, plant growth, and yield. However, the mitigation of heat stress by CO_2 enrichment diminishes in soybean and other species as temperatures elevate further and heat stress becomes more acute. Very high air temperatures, i.e., those that exceed 40.0–42.5 °C, frequently cause irreversible damage to plant tissues and may cause death or reproductive failure. Unlike soybean, the reversal of moderate heat stress by CO_2 enrichment is almost immeasurable for maize and other plants that possess the C_4 photosynthetic pathway. This is because maize has high internal CO_2 concentrations that almost completely saturate rates of photosynthesis in ambient air. Second, elevated CO_2 concentrations induce stomatal closure of many plant species and this decreases evapotranspiration rates from leaves. The resultant improved water status would certainly benefit maize and soybean in the field during prolonged exposures to heat stress. Note that acute air temperatures create a demand for lower leaf temperatures and this requires stomatal opening and increased evapotranspiration rates. Thus, very high temperatures negate the effects of $CO₂$ enrichment on stomatal aperture. Third, plant growth in elevated CO_2 is capable of accelerating or delaying the onset of senescence of several annual crops. Elevated growth temperatures accelerate plant development and this shortens the growing season and negatively affects crop production. Therefore, delaying the onset of senescence via CO_2 enrichment should mitigate the effects of a shortened growing

season due to elevated growth temperatures. Conversely, cereals, such as wheat, exhibit premature senescence in response to CO_2 enrichment and the combination of elevated temperatures and supra-ambient CO_2 levels would work synergistically to decrease yields.

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