Macroporous Silicon

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Contents

Abstract

The electrochemical formation of macropores in porous silicon is briefly reviewed. Various morphologies are obtained as a function of the substrate type and etching conditions. On n-Si, macropores are generally growing along preferential crystallographic directions. On p-Si, in aqueous conditions far from electropolishing, the growth direction is rather determined by the current lines in the space-charge region. A summary of macropore characteristics is given as a function of the preparation conditions. Various models have been developed in order to account for the morphologies and characteristic sizes. These joint experimental and theoretical works have provided a good understanding of macropore growth, opening the way to many applications, and the most significant

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ones are mentioned. An impressive level of control has eventually been achieved for the fabrication of regular macropore arrays of high aspect ratio, including the incorporation of intentional defects or pore-wall shaping.

Introduction

According to the IUPAC standard, macropores correspond to pores exhibiting characteristic sizes (pore diameter and average distance between pores) larger than 50 nm. The term "macropore" is usually associated with smooth cylindrical pores with characteristic sizes on the order of 1 μm.

This kind of pore can be obtained under a variety of conditions and with differing morphologies (see chapter "► Routes of Formation for Porous Silicon"). In this review, we focus on electrochemically etched macropores. The key parameters are the electrolyte type (aqueous (aqu), organic (org), oxidant (ox)) the HF concentration, the surfactant, the Si doping type and level (n, n^+, p, p^+), and in some cases the illumination (backside illumination (bsi) or frontside illumination (fsi)). Detailed reviews regarding their formation are available (Föll et al. 2002; Lehmann 2005; Chazalviel and Ozanam 2005; Lehmann 2002; and handbook chapter "► Porous Silicon Formation by Anodization").

Current-Line- and Crystallography-Driven Macropores

Two distinct classes of macropores are observed, as summarized in Table 1. Macropores obtained from n-Si always exhibit a strong growth dependence on crystallographic orientation. On p-Si, this dependency is lower (Lehmann and Rönnebeck 1999), and in aqueous conditions at low enough current density and/or high enough HF concentration, the growth turned to be determined by the direction of the current lines in the space-charge region Media et al. 2011.

Macropore Formation

Table 2 summarizes the main characteristics of the electrochemically grown macropores on Si, as a function of the formation conditions.

Figure 1 illustrates the variety of pores obtained for p-Si under different conditions.

Macropore Formation Models

Porous silicon formation models have been reviewed (Smith and Collins 1992; Allongue 1997; Zhang 2001). A conceptual analysis has been attempted (Zhang 2004). Major theoretical contributions applying to macropore formation are listed in Table 3.

Table 1 Macropores classes and their main characteristics

Class of macropores	Macropore morphology	Macropore orientation	Remarks	Reference
Current-line-driven pores	Rounded bottoms	Normal to the surface	Filled with microporous silicon	p-Si, aqu (Wehrspohn et al. 1998)
Crystallography-driven pores	(111) Facets at their bottoms and (110) oriented walls	(100) Preferred growth direction	Empty	n-Si, aqu, bsi: (Lehmann and Föll 1990)
				n-Si, aqu, fsi: (Lévy-Clément et al. 1994)
				p-Si, org: (Propst and Kohl 1994; Ponomarev and Lévy-Clément 1998; Christophersen et al. 2000a)
				p-Si, aqu: (Lehmann and Rönnebeck 1999)

Table 2 General conditions for macropore formation. "Passivation power" denotes the degree to which a given electrolyte can remove interface states in the bandgap of Si by covering a freshly etched surface with hydrogen

Formation conditions	Specificity of obtained macropores	Reference
n-Si (aqu)/bsi	Grow exclusively in <100> direction, dependence of the pore morphology on the sample orientation	(Lehmann 1993, 1995; Rönnebeck et al. 1999; Kleimann et al. 2000; Laffite et al. 2011)
	SCR limits distances; max. depth > 600 μm achieved obtained for $J < J_{PSL}$; arrays with pore diameters 100 μm–250 nm can be obtained	
n-Si (aqu)/fsi	Well-developed macropores oriented <100>	(Lévy-Clément et al. 1994; Outemzabet et al. 2005)
	Not much investigated	
n-Si (org)/bsi	Prone to pore branching and strange morphologies, but regular macropores arrays can be obtained	(Christophersen et al. 2000a, 2000b, 2001; Izuo et al. 2002)
	Not much investigated	
p-Si (aqu)	Obtained at current densities $<J_{PSL}$ and for low-to-medium HF concentration. Easy to make; arrays of high aspect ratio can be obtained	(Lehmann and Rönnebeck 1999; Chao et al. 2000; Chazalviel et al. 2002; Urata et al. 2012)
p-Si (org)	Large macropore observed; decisive parameters are electrolyte resistivity, oxidizing power, and "passivation power"	(Ponomarev and Lévy-Clément 2000; Christophersen et al. 2001; Lust and Lévy-Clément 2002)
n^+-Si (aqu + oxidant)	Small diameter (60–100 nm), high-aspect-ratio macropores	(Christophersen et al. 2000c; Ge et al. 2010)

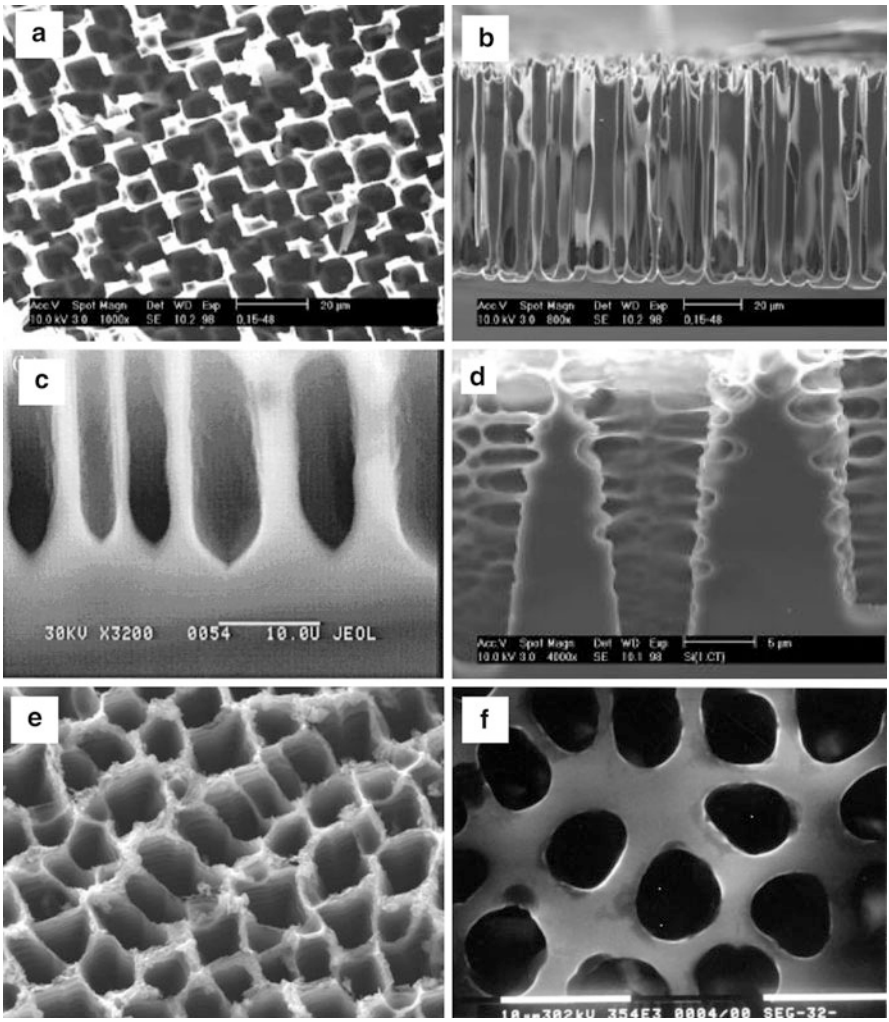

Fig. 1 Morphologies for (100) p-Si in 0.05 M HF, 0.05 M NH4F, and 0.9 M NH4Cl, pH = 3, V = 0.15 V for 48 h: (**a**) Plan view and (**b**) cross section (After Slimani et al. 2009). (**c**) Macropores on p-Si (aqu), view after cleavage, for samples prepared from p-Si (400 Ω cm, (100)-oriented), 100 mA/cm^2, 6 min, 15 % ethanolic HF (After Chazalviel et al. 2002). Macropores on (100) n-Si etched in ethanolic hydrofluoric solution with *frontside* illumination and with an anodization current J = 20 mA/cm^2 for t = 45 min. (**d**) Cross-section and (**e**) plan view (After Outemzabet et al. 2005). (**f**) Macropore on p-Si (org) prepared from p-Si (100 Ω cm, 20 mA/cm^2, 40 min, HF/ethylene glycol 50/50 by vol)

Principal Application of Macropores

Macropore arrays found applications in various fields, some of which are listed in Table 4.

Table 3 Major theoretical contributions to macropore formation analysis

Pore formation models	Basis of model	General review
Hole focusing at pore tips	Hole transport across space charge	(Lehmann and Föll 1990; Lehmann 1993; Lehmann and Rönnebeck 1999)
Surface chemical reactions	Pore initiation through limited diffusion of reaction intermediates	(Kooij and Vanmaekelbergh 1997 ; Vyatkin et al. 2002)
Linear stability analysis	Quantitative assessment of the effect of transport across space charge and reaction kinetics on interface stability	(Kang and Jorné 1993, 1997; Valance 1997; Wehrspohn et al. 1999; Chazalviel et al. 2000, 2002)
The Current Burst Model (CBM)	Spatial and temporal inhomogeneity of current, hydrogen surface passivation	(Carstensen et al. 2000; Föll et al. 2002)

Table 4 Main application domains of macropore arrays

Specific design	Applications area	Reference
Densely spaced regular macropore arrays	"Brownian motor" or pumps	(Schilling et al. 2000a)
Macropores with a sawtooth-like cross section	Membrane (pump of particles)	(Kettner et al. 2000; Schilling et al. 2000b)
Macropores filled with lead, scintillating guide	X-ray imaging	(Lehmann and Rönnebeck 2001; Kleimann et al. 2000)
Macropores coated with (immobilized) biomolecules	Detection of specific biomolecules, DNA, etc.	(Bengtsson et al. 2000; Yoo et al. 2013)
Macropores coated with a catalyst	Chemical reactor	(Lehmann et al. 1999)
Macropores coated with high-quality dielectrics	Capacitors	(Lehmann et al. 1996)
Macropores on multicrystalline Si	Solar cell – antireflection layer	(Föll et al. 1983; Lipiński et al. 2003; Ao et al. 2012)
Macropores filled with a succession of different metals	Metallic barcodes	(Nicewarner-Pena et al. 2001)
Optimized macropore lattices	Photonic bandgap (PBG) materials for optics and sensing	(Grüning et al. 1996; Birner et al. 2000; Müller et al. 2000; Wehrspohn et al. 2013)
Matrix-embedded Si nanowires or particles made from macroporous Si	Lithium-ion batteries	(Föll 2010; Thakur et al. 2012)
Sacrificial or template macroporous Si layer	Micromachining or microelectronics	(Steiner and Lang 1995; Defforge et al. 2013)

Design of Regular Macropore Arrays

The fabrication of regular macropore arrays requires prestructuring of the Si substrate using lithography and alkaline etching (Chao et al. 2000; van den Meerakker et al. 2000; Starkov 2003). The pitch of the prestructured hole array has to match the average spacing of random macropore arrays grown on the same substrate under similar electrochemical conditions. The width of the walls of the porous structure (which depends on the pitch structure and the pore lateral size) is mostly determined by the width of the space-charge layer (i.e., mostly dependent on substrate doping level) and the pore diameter by the etching conditions. Figures 2 and 3 give some design rules in the case of p-Si. In the case of n-Si, the pore diameter is mostly determined by the current density, i.e., the illumination level, according to Lehmann's model (Lehmann 1993). However, diffusion effects in the liquid phase, as theoretically modeled (Barillaro and Pieri 2005), must be taken into account in order to keep the fluoride concentration stationary at the pore tips. Figure 4 gives the typical pore-density range accessible on n-Si under usual backside illumination conditions or p-Si in the dark.

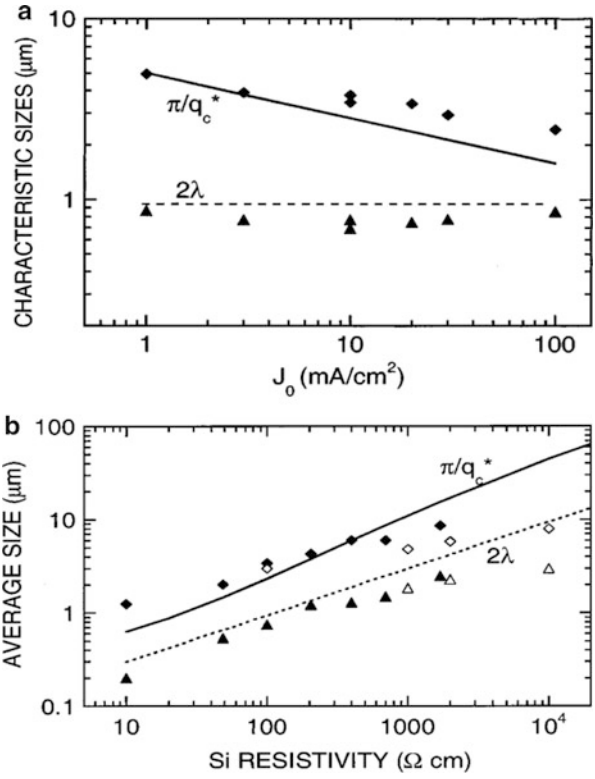

Fig. 2 Comparison of characteristic macropore sizes on p-Si in the current-line-driven regime, when changing current density for a substrate resistivity of 100 Ω cm (**a**) and silicon doping for an applied current density of 10 mA/cm^2 (**b**) (After Chazalviel et al. 2002). Triangles refer to the wall width and diamonds to the pore diameter; the closed (open) symbols refer to the data obtained in 35 % (25 %) ethanolic HF. The *solid lines* refer to the theoretical prediction (Chazalviel et al. 2002) for the pore diameter, and the *dotted line* is two times the space-charge width λ

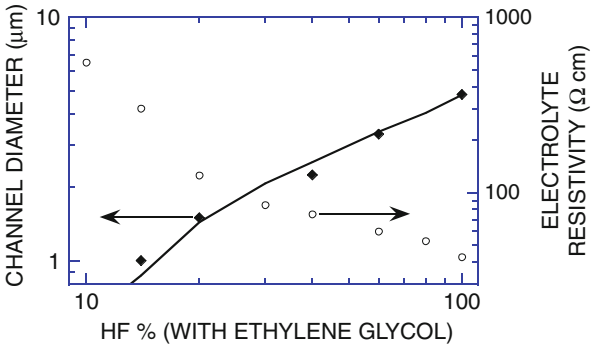

Fig. 3 Effect of changing electrolyte resistivity on average macropore diameter on p-Si 1,500 Ω cm, for $J_0 =$ 10 mA/cm^2 in an electrolyte made of 50 % aqueous HF mixed with ethylene glycol in variable proportions (After Chazalviel et al. 2002)

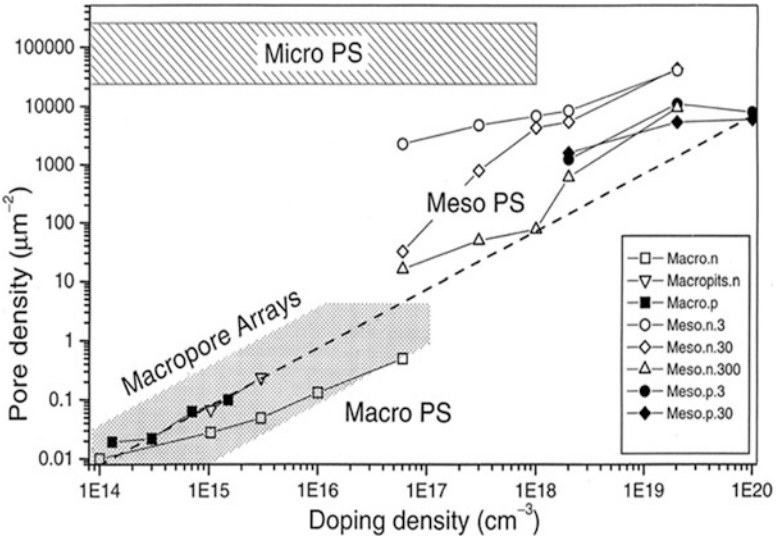

Fig. 4 Pore density versus silicon electrode doping density for porous silicon layers of different geometries. Notice that macropores are essentially obtained on low to moderately doped substrates. The *dashed line* shows the pore density of a triangular pore pattern with a pore pitch equal to two times the SCR width for a 3 V applied bias. Note that only macropores on n-type substrates may show a pore spacing significantly exceeding this limit. The regime of stable macropore array formation on n-Si is indicated by a dot pattern. Doping type and etching current density (in mA/cm^2) are indicated in the legend (After Lehmann 1993)

Conclusions

Since the first report of Theunissen (Theunissen 1972) and the pioneering work of Lehmann in the 1990s, many efforts have been devoted to macropore fabrication by electrochemical etching. Impressive macropore arrays have been achieved, with high aspect ratios and smooth or patterned vertical walls. Examples are shown

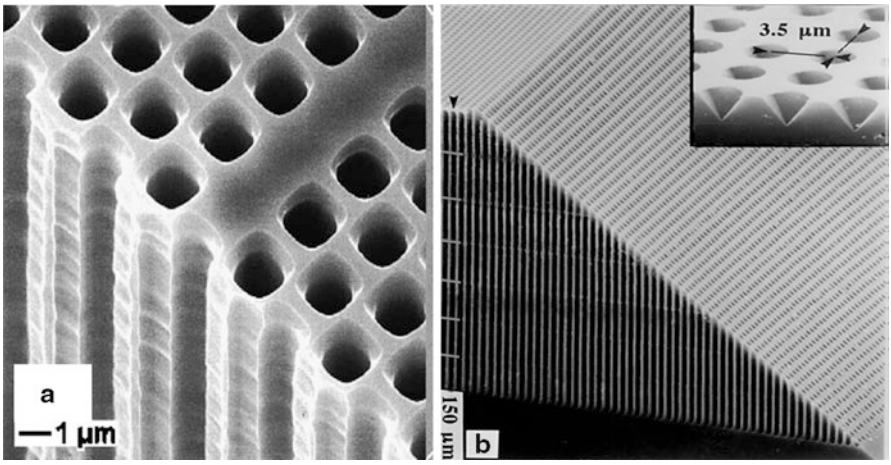

Fig. 5 Examples of regular and ordered macropore arrays. (**a**) Two-dimensional macropore array with an intentional line defect (From Grüning et al. 1996); (**b**) array of pores grown on n-Si (10^{15} cm^{-3}); the pore initiation pattern shown in the inset has been produced by photolithography and alkaline etching (From Lehmann et al. 2000)

in Fig. 5. Alternative techniques have been proposed such as galvanic etching (Xia et al. 2000), stain etching (Mills et al. 2005), and metal-assisted (electro) chemical etching (Li et al. 2013). These techniques are separately reviewed in this handbook (see Chapters "▶ Porous Silicon Formation by Galvanic Etching," "▶ Porous Silicon Formation by Stain Etching," and "▶ Porous Silicon Formation by Metal Nanoparticle-Assisted Etching").

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