Gerardo Acosta-Jamett AndreaChaves *Editors*

Ecology ofWildlife Diseases in the Neotropics

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Chapter 1 An Introduction to the Ecology of Wildlife Diseases in the Neotropics

Andrea Chaves and Gerardo Acosta-Jamett

Covering just 7% of the continental surface, tropical forests contain more than half of the Earth's species. The neotropical region, spanning from Mexico to Argentina and Chile, including the Antilles, comprises a wide diversity of habitats with extensive rainforests, high-altitude grasslands, seasonal dry forests, and diverse mountain systems (Antonelli et al. [2018](#page-24-0)). However, the accelerated and poorly planned growth of socioeconomic development activities in countries with largely unstable economies and policies has caused the neotropical landscape to face socioeconomic growth solutions at the expense of habitat destruction, fragmentation, and loss of wildlife. These include the construction of highways, hydroelectric dams, intensive logging, mining operations, extensive livestock farming, monocultures, indiscriminate use of pesticides, and contamination of rivers and other tributaries (Ceballos et al. [2009\)](#page-25-0). On a local scale, neotropical wildlife populations have also been directly and indirectly affected by the unplanned development of Latin American countries. Common examples include illegal traffcking, illegal hunting, interactions between wild animals, humans, and domestic animals, and the transmission of infectious diseases, including zoonotic diseases.

In Latin America, the climatic and environmental conditions of neotropical forests, with an enormous diversity of microclimates, provide ideal environments in which innumerable infectious agents thrive and interact with a great variety of competent hosts, reservoirs, and vectors. In addition to these natural conditions, changes in land use (e.g., agriculture and poorly planned urbanism) and an increase in human

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presence in natural, marine, and terrestrial environments (e.g., tourism) have favored the interaction between humans and their domestic animals with wildlife. Therefore, it is necessary to know and understand the dynamics of infectious diseases considering natural landscapes and anthropogenic disturbance. This is urgent from many perspectives, which can be summarized as follows: (i) pathogens can exacerbate the decline of endangered wildlife species (Nunn and Altizer [2006](#page-26-0)), and (ii) 62% of zoonotic diseases come from wildlife that come into direct or indirect contact with humans due to anthropogenic disturbance (Childs et al. [2019\)](#page-25-0).

With evidence that anthropogenic disturbances may be the cause of biodiversity disasters and the reason why there has been an increase in disease emergence, high biodiversity has been hypothesized to protect against and reduce the spread of infectious diseases (Ostfeld and Keesing [2000;](#page-26-0) Zohdy et al. [2019](#page-26-0)). Reduced intraspecifc encounter and regulation of generalist susceptible hosts may be the ways by which high diversity reduces pathogen transmission. The spatial distribution of hosts has been recognized as an important aspect of disease transmission (Escobar [2020;](#page-25-0) Escobar et al. [2015;](#page-25-0) Hess et al. [2002](#page-25-0)). Many species are aggregated in subpopulations, which can infuence how pathogens spread within and between populations and will have important consequences for how they are maintained in such populations (Hess [1996\)](#page-25-0). The changes in the environment and loss of biodiversity do not affect all species in the same way. It appears that generalist species are unaffected and in some cases even favored by the anthropization of the landscape. However, specialist species are affected and threaten to a the risk of extinction. For that reason, when we speak of wild species and their functional in disease transmission, it cannot be generalized either. There are groups such as synanthropic species (e.g., rodents: *Mus musculus*) that are recognized for a high capacity to maintain infectious agents. However, another specialist species (e.g., New World primates: *Alouatta palliata, amphibians: Incilius periglenes*) could be recognized as a sentinel species because they are mortal affected by a specifc disease (e.g., *Alouatta palliata* with yellow fever) which acts as a warning announcing disease emergence. Or their populations have become extinct due to the presence of lethal pathogens imported to the neotropics (e.g., *Incilius periglenes* and chytridiomycosis).

Native biodiversity (species, community or metacommunity) is the result of biogeographic processes (e.g., speciation, diversifcation) that occur over evolutionary time (Ostfeld and Keesing [2012\)](#page-26-0). In contrast, dynamic changes in biodiversity are dominated by anthropogenic processes, which act locally and rapidly and consist of biodiversity losses rather than gains. The relevance of species diversity (the number and relative abundance of different species within an ecological community) and their performance in ecosystem functions has traditionally been assessed in terms of the number of species that make up a community (Schwartz et al. [2000](#page-26-0)). It is currently questioned whether changes in ecosystem functions are due to the loss of any species or functional groups with specifc roles. Greater diversity in functional roles leads to greater resource use efficiency, increases community resilience, and interferes with the ability of competitively superior species to become numerically dominant (Tilman et al. [1996\)](#page-26-0).

Species diversity with specifc roles is also important in the ecology of infectious diseases, particularly those involving more than one host species (Ostfeld and Keesing [2000\)](#page-26-0). It has been shown that high biodiversity often reduces transmission rates of infectious agents and decreases disease risk (Keesing and Ostfeld [2015\)](#page-25-0). This is explained because (1) most infectious agents are generalist multi-hosts; (2) these hosts differ in their susceptibility and transmission potential; and (3) generally, hosts most likely to acquire and transmit infections (reservoirs) are both abundant and generalist species that are resistant to anthropogenic disturbances (Keesing and Ostfeld [2015](#page-25-0); Johnson et al. [2015](#page-25-0)). For infectious agents that are specialist hosts and that can infect, replicate, and be transmitted in a single host species, increases in diversity also tend to decrease the density of suitable hosts, decreasing their transmission (Ostfeld and Keesing [2012\)](#page-26-0). Many infectious agents considered specialists in addition may temporarily invade other hosts, proliferating within several species of a community, which may differ strongly with respect to susceptibility, transmission, and maintenance of pathogens in distinct landscapes (Young et al. [2017\)](#page-26-0). Currently, the number of introduced species is often numerically greater than native biodiversity and is closely associated with emerging diseases, affecting disease pressure in aspects such as contact rate and strain circulation (Hatcher et al. [2012\)](#page-25-0). Consequently, species living in ecological communities with high diversity tend to dilute the effect of reservoir species and reduce disease risk (dilution effect) (Ostfeld and Keesing [2000\)](#page-26-0). Host communities characterized by high species richness are likely to contain a high proportion of hosts that are ineffcient in transmitting the infectious agent (Schmidt and Ostfeld [2001\)](#page-26-0). Therefore, the higher the abundance of weakly competent reservoir species, the greater the dilution effect and

Although ecological factors are important variables that could infuence disease transmission in fragmented habitats, epidemiological theories are also important to understand how pathogens can be transmitted within and between species. Central to epidemiology understanding are the factors that cause and facilitate the transmission and maintenance of infectious agents in host populations. The interaction between infectious agents, the host and the environment can lead to the occurrence of infectious diseases (Thrusfeld [2005\)](#page-26-0).

the lower the probability of disease transmission.

Infectious agents can be divided into two groups: micro- and macroparasites (May and Anderson [1979](#page-26-0)). The former multiply inside the host and include viruses, bacteria, and protozoa. The latter, in contrast, do not multiply within the host but multiply by producing infective stages that are shed by the host to infect new hosts, including helminths and arthropods. To explain microparasites transmission and maintenance, epidemiological theory relies on the notion of threshold and fadeout theories (Anderson and May [1991](#page-24-0); Swinton et al. [2002\)](#page-26-0). The former refers to the host population size or host density, high enough to ensure a basic reproductive number (i.e., number of secondary cases caused by the frst infectious individual in a susceptible population), $R_0 \geq 1$, in which an infection can successfully invade a population (Anderson [1991](#page-24-0); Anderson and May [1979,](#page-24-0) [1991](#page-24-0); May and Anderson [1979](#page-26-0)).

Fadeout (extinction) theory refers to what happens after an epidemic and whether a microparasite has been able to affect all susceptible individuals, and in that case, the infection will tend to extinction (Grenfell et al. [2002;](#page-25-0) Swinton et al. [2002\)](#page-26-0). According to these theories, pathogens that are maintained in a population through a high birth rate and never go locally to extinction are termed endemic. On the other hand, infections that only sporadically affect a population are termed epidemics, which, after affecting all susceptible hosts, will tend to local extinction, as the supply of susceptibles from births is not enough to maintain the chain of transmission (Grenfell and Harwood [1997\)](#page-25-0). Overall, pathogens that show prolonged infectiousness and/or that can be maintained in the environment are more likely to exhibit an endemic prevalence (Anderson and May [1979](#page-24-0)). In contrast, those that are directly transmitted have a short infectious period and cause high host mortality (Anderson [1991;](#page-24-0) Anderson and May [1979](#page-24-0), [1991;](#page-24-0) May and Anderson [1979\)](#page-26-0). The ability of some pathogens such as canine parvovirus to persist in the environment for prolonged periods could help their maintenance in areas with a smaller population size than that needed, for example, for a directly transmitted pathogen such as CDV, which requires close contact in time or space with a sick animal shedding virus.

Theoretical studies and empirical data suggest that highly infectious pathogens should only persist in populations larger than a threshold or critical community size (CCS) (Bartlett [1957](#page-24-0), [1960](#page-24-0); Black [1966](#page-24-0); Lloyd-Smith et al. [2005](#page-25-0); Swinton et al. [2002\)](#page-26-0), where the pathogen is maintained by an input of susceptible by birth and/or immigration (Dobson and Grenfell [1995;](#page-25-0) Swinton et al. [2002](#page-26-0)). On the other hand, in populations below the CCS, such pathogens cannot persist because of the low probability of contact between infectious and susceptible hosts (Begon et al. [2003;](#page-24-0) Tompkins et al. [2002](#page-26-0)). However, even those populations that are under a CCS (nonmaintenance populations), if they are epidemiologically connected with other nonmaintenance or maintenance populations (e.g., through immigration), can be part of a larger complex meta-population that can be part of a reservoir in which the pathogen can persist in the long term (Haydon et al. [2002](#page-25-0); Viana et al. [2014\)](#page-26-0).

Many authors have recognized that the epidemiology and metapopulation theory have addressed the same issues (Hanski and Gilpin [1997;](#page-25-0) Harrison [1991;](#page-25-0) May and Novak [1994](#page-26-0); Nee [1994](#page-26-0)) since populations of hosts can be homologated to habitat patches and the transmission from infected populations to uninfected ones can have counterparts in the colonization of patches by migration of individuals from large to small patches. Thus, a metapopulation is made up of subpopulations or "patches" connected by immigration or dispersal (Hanski [1998;](#page-25-0) Hanski and Gilpin [1997;](#page-25-0) Levins [1969](#page-25-0)). In the case of the "city-village" model, this has been recognized to be very similar to a mainland-island metapopulation, since subpopulations can correspond to host populations, mainlands to cities, and islands to villages, in which the infection is maintained in the "city-village" complex through emigration of infected hosts from a large patch (city) that is above the CCS (maintenance population) and harbors a high density of susceptibles that are infected and can migrate to

non-maintenance populations (town or rural areas), maintaining the infection within the metapopulation at a broader spatial scale (Anderson and May [1991;](#page-24-0) Grenfell and Harwood [1997](#page-25-0)). This similitude has allowed the use of the tools developed in metapopulation theory to model and predict the spread of diseases (Grenfell and Harwood [1997;](#page-25-0) Grenfell and Bolker [1998](#page-25-0)). Thus, if a disease fades out in a small subpopulation within the metapopulation, individuals from other populations can recolonize and maintain the infection (Grenfell and Harwood [1997](#page-25-0); Keeling [1997;](#page-25-0) Keeling et al. [2004](#page-25-0)). In addition, if the immigration of infected animals into rural areas is a function of the distance to the source population (i.e., cities), we should expect more infected individuals when they are closer to the source population (Grenfell et al. [2001;](#page-25-0) Keeling et al. [2004](#page-25-0)).

Anderson and May [\(1991](#page-24-0)) included the spatial distribution of the persistence and transmission of diseases in a heterogeneous landscape of varying population sizes when they developed the "cities and villages" model. This model was later confrmed to be a good predictive model for measles by empirical studies in the United Kingdom (Grenfell et al. [2001](#page-25-0); Grenfell and Bolker [1998\)](#page-25-0) and the United States (Cliff et al. [1992,](#page-25-0) [1993\)](#page-25-0), at a broader scale and for pertussis in the United Kingdom (Broutin et al. [2004b](#page-24-0); Rohani et al. [1998, 1999](#page-26-0), [2000](#page-26-0)) and at a fner scale in Senegal (Broutin et al. [2004a\)](#page-24-0). These studies highlighted the importance of migration between large (i.e., cities) and small (i.e., towns or rural areas) populations in the maintenance of infection, showing that infection is transmitted following a size hierarchy from large cities to small villages and fnally to rural areas, having an endemic state in large populations and an epidemic state with more fade-outs in small ones.

In our region, the effects of environmental degradation, biodiversity loss, and the interactions between infectious agents and native biodiversity have enormous information gaps, so it is urgent to increase research in this feld. Only until all the components involved in the problem are understood it is possible to think about intervention. It is therefore necessary to frst compile an integrated picture from the perspective of different areas of knowledge (Schneider et al. [2009](#page-26-0)) and then generate integrated initiatives to control the emergence of infectious diseases (Fig. [1.1\)](#page-24-0). This book seeks to highlight the primary ecological, environmental, social, and economic variables associated with the risk of maintenance, transmission, and dissemination of emerging, re-emerging, and neglected infectious diseases, in which neotropical vertebrates are involved. It allows the compilation of the knowledge that we have up to this date for the neotropical region as well as the current needs of knowledge improvement. This book covers topics including amphibians, birds, reptiles, marine, and terrestrial mammals, rodents, bats, neotropical primates, carnivores, and camelids from terrestrial, aquatic, and marine environments that inhabit a vast area in the neotropical region.

Fig. 1.1 The development of disease ecology in the neotropics requires the conjunction of several disciplines with the objective of understanding all variables involved, while taking into account a One Health approach for a biodiverse environment

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Chapter 2 The Development of Disease Ecology as a Science in Latin America and the Caribbean

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2.1 Introduction

The Latin American and Caribbean regions harbor rich biological diversity, where approximately 60% of terrestrial life on the planet is found (Unep [2016\)](#page-46-0). As in many other parts of the world, biodiversity in Latin America and the Caribbean (LAC) presents multiple threats, including infectious diseases that affect wildlife conservation, such as chytridiomycosis, distemper canine virus, and sarcoptic mange (Martinez-Gutierrez and Ruiz-Saenz [2016](#page-45-0); De León et al. [2019;](#page-45-0) Escobar et al. [2022](#page-45-0)). The LAC region also presents several geographical areas considered hotspots of zoonotic risk, mainly in tropical areas of southern Mexico, Bolivia, and Brazil, among others (Allen et al. [2017;](#page-44-0) García-Peña et al. [2021\)](#page-45-0). In this context, research on disease ecology has become crucial for understanding host–pathogen

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interactions in dynamic environments within the region, which can be useful information for preventing and controlling disease outbreaks.

The LAC region encompasses numerous countries with diverse environmental and socioeconomic contexts. Therefore, research related to disease ecology may have different approaches and high contrasts in productivity and collaboration among countries. In this introductory chapter, we performed a literature review in the three languages (Spanish, English, and Portuguese) in LAC and a bibliometric analysis to identify how the feld of disease ecology has been developed in LAC, recognizing major felds, hosts, and infectious diseases studied, as well as identifying important collaborations and networks among countries and institutions that have signifcantly contributed to this discipline.

2.2 Literature Review

2.2.1 Data Retrieval

We used a systematic bibliographic search method, following The Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) (Page et al. [2021\)](#page-45-0).

The initial search was carried out on PubMed using the keywords "disease ecology," "ecología de enfermedades," and "ecologia de doenças" in combination with "Latin America."

This search obtained 1440 results, which were imported into a graphical interface for the R package litsearchr (Grames et al. [2019](#page-45-0)), to extract the keywords and generate a co-occurrence network.

The PRISMA protocol allows the search for articles to be extended using complementary methods to the search in databases, and it allows searching the references of review articles to identify original sources that have not been included in the original search. Therefore, we conducted an additional review based on articles obtained from the Web of Science.

This helped us to conduct a second search equation, which included publications in three languages: Spanish, English, and Portuguese (Box 2.1), obtaining 4139 results when searching the Web of Science databases.

Box 2.1: List of Keywords Used in the Final Search. These Keywords Were Generated with the R Package "litsearchr" (Grames et al. [2019](#page-45-0)) Search equation:

TS = (("disease ecology" OR "ecología de enfermedades" OR "ecologia de doenças" OR "wildlife diseases" OR "enfermedades de vida silvestre" OR "doenças da vida selvagem" OR "neglected diseases" OR "enfermedades

Box 2.1 (continued)

desatendidas" OR "doenças negligenciadas" OR "zoonotic diseases" OR "enfermedades zoonóticas" OR "doenças zoonóticas" OR "emergent diseases" OR "enfermedades emergentes" OR "doenças emergentes" OR "reemerging diseases" OR "enfermedades reemergentes" OR "doenças re-emergentes" OR "infectious disease*" OR "enfermedades infecciosas" OR "pathogen" OR "patogeno" OR bacteria OR virus OR protozoa OR helmint*) AND (transm* OR ocurrenc* OR incidenc* OR prevalenc* OR risk* OR riesgo OR infec*) AND ("central* america*" OR "Centroamérica" OR "América Central" OR "south* america*" OR "Sudamérica" OR "América del Sur" OR "latin* america*" OR "América Latina" OR neotropic* OR Caribbean OR Caribe OR Mesoamérica OR Tropics OR Argentina OR Bolivia OR Brazil OR Brasil OR Chile OR Colombia OR "Costa Rica" OR Cuba OR Ecuador OR "El Salvador" OR Guatemala OR Honduras OR Mexico OR Nicaragua OR Panama OR Paraguay OR Peru OR Puerto Rico OR "Dominican Republic" OR Uruguay OR Venezuela OR Haiti OR Belize OR Belice OR "Puerto Rico"))

2.2.2 Standardization and Cleaning of the Data

These results were imported into Sysrev, a web platform for data curation and systematic review (Bozada Jr. et al. [2021](#page-44-0)), defning inclusion and exclusion criteria (Box [2.2\)](#page-30-0) to be able to flter and select each article to fnally extract and collect the necessary data to develop this chapter.

2.2.3 Bibliometric Analysis

A total of 4139 articles were obtained as a result of the search in Web of Science. When reviewing the articles, a large majority of clinical and pharmacological index articles were observed. We recognize that our search strategy may miss articles from LAC, but we believe that the results present an overall picture of temporal, spatial, and thematic trends of publications from LAC.

The concordance of the selection of articles was 99.6% between two reviewers, where the fnal inclusion or exclusion decision was determined by a third reviewer, obtaining a total of 14 conficting articles. In total, 620 documents met our inclusion criteria, including 619 articles and 1 book chapter. The main data of the set of documents included in the analysis are found in Table S1.

Box 2.2: Criteria for Inclusion and Exclusion of Scientifc Articles

- (a) *Inclusion criteria*
	- (i) It was developed directly in or with samples/data from Latin America and the Caribbean.
	- (ii) It was published in the last 30 years (1992–2022).
	- (iii) It is an original article, research article, short communication, or case report.
	- (iv) It is written in English, Spanish, or Portuguese.
	- (v) The research covers some felds of knowledge in disease ecology (mechanisms, patterns, and effects of host–pathogen interactions, links between ecological interactions and disease evolution, effect of changes in ecosystems on prevalence, incidence, spatial distribution, etc.).
	- (vi) Specify authors, partner institutions and countries where the research was conducted.
- (b) *Exclusion criteria*
	- (i) The research was developed outside of Latin America or the Caribbean.
	- (ii) The research was published before 1992.
	- (iii) The investigation corresponds to a review, local or regional.
	- (iv) The research is strictly laboratory, unrelated to the dynamics of the disease (cultures, comparison of diagnostic methods, drugs, etc.).

We used R 4.2.2 software (R Core Team, Vienna, Austria) and the Bibliometrix 4.1.1 package (Aria and Cuccurullo [2017](#page-44-0)) for bibliometric data analysis.

2.3 Results and Discussion

2.3.1 Temporal Distribution of Scientifc Publications Related to Disease Ecology in Latin America and the Caribbean

Regarding scientifc production in disease ecology and associated topics, we can observe a considerable increase in publications from 2013 to 2022. Initially, from 1992 to 2012, the maximum number of publications per year was 25 articles in 2012; however, after this period, it began to increase. From 2013 to 2022, the minimum number of publications per year was 24 (2013), with a maximum of 72 articles (2020), reaching a total of 521 articles in this period, which is equivalent to 84% of the total publications selected (Fig. 2.1). This result is congruent with the increase

Fig. 2.1 Annual production of scientifc publications in Disease Ecology (1992–2022)

in disease ecology worldwide (Brandell et al. [2021](#page-45-0); Koprivnikar and Johnson [2016\)](#page-45-0). Furthermore, the accelerated growth of research in the last 12 years (Fig. 2.1) seems to be a refection of the growth of scientifc publication in LAC, which has been increasing over the last 15 years in most countries of the region (Lewis et al. [2021\)](#page-45-0). This may be associated with both an improvement in graduate education and an increase in research investment in LAC (Lewis et al. [2021](#page-45-0)).

2.3.2 Scientifc Publication Production and Collaboration by Region/Countries

Brazil is the country with the highest production of publications in the feld of Disease Ecology in LAC (317), followed by México (96) and Argentina (91) (Fig. [2.2](#page-32-0)). The development of scientifc research conducted by LAC in the area of disease ecology seems to refect the general behavior of scientifc research in the region. In general terms, scientifc production shows a concentration of knowledge disseminated by a few countries. Therefore, if we group the countries that have published the most scientifc papers in a period of 30 years, Brazil, Argentina, and

Fig. 2.2 Scientifc production per Latin American and Caribbean countries

Mexico stand out, followed by Chile and Colombia, which are separated from Uruguay, Costa Rica, Panama, and Peru with far fewer publications, to fnish with the other LAC countries in a last group that lags further behind. This refects a gap in research capacity that can be associated with the unstable social, economic, and political realities of many LAC countries that may have irrefutable implications for scientifc development (Ciocca and Delgado [2017](#page-45-0)).

One way to measure collaboration between the authors of each country is by measuring the degree of local production or in conjunction with other countries,

Fig. 2.3 Scientifc collaboration between Latin American and Caribbean countries

sharing authorship, and generating networks between the institutions in charge of creating knowledge in each country. We observe that Brazil ranks as the central source in the production of documents on the ecology of diseases, together with the production of the rest of the region's countries (Fig. 2.3b); however, the dominant percentage in most of the countries of the region is local production, especially in Brazil, where the generation of publications involving authors from various countries is less than 9%, being the lowest percentage in the region. Other countries appear with 0% Cuba and Venezuela. On the other hand, Uruguay, Ecuador, and Costa Rica have over 20% production compared with other countries (Fig. 2.3a). Bolivia and Panama show the most publications in collaboration with other countries.

These differences may refect the local amount of funding for research and the necessity of collaboration to achieve the research goals. Additionally, the political relationships between countries may affect these percentages; for example, Cuba has 0% international collaboration in this search, at least with other Latin American countries.

Fig. 2.4 Distribution of relevant institutions (documents >28) that contribute to the disease ecology in Latin America and the Caribbean

2.3.3 Research by Affliation

Ten institutions were selected with the largest number of publications, with more than 29 publications each. As a result, an important domain of the University of Sao Paulo (Brazil), followed by the Universidad de Buenos Aires (Argentina) and the Universidad Nacional Autónoma de México (Mexico), can be observed. It should be noted that these 10 institutions – fve Brazilian institutions, one Mexican, one Argentinean, two Chilean, and one Colombian – belong to only five countries: Brazil, Argentina, Mexico, Chile, and Colombia (Fig. 2.4).

According to UNESCO data from 2018 to 2020, the countries that invest the most in science, technology, and innovation in Latin America in net contributions are Brazil (1.28% of GDP) and Mexico (0.30% of GDP), contributing 54% and 26%, respectively, of the total investment in the region, followed by Argentina (0.63% of GDP) and Chile (0.34% of GDP). In LAC, the average GDP allocated to research is less than 0.70%, a percentage that is below the world average, which was 1.7% in 2017, where the funding base to conduct research in LAC is through public governmental funds (Lewis et al. [2021;](#page-45-0) UNESCO [2021\)](#page-46-0).

Some of the institutions that fnance scientifc research in these countries are as follows:

- Brazil: The Ministry of Science, Technology, and Innovation (MCTI), the National Council for Scientifc and Technological Development (CNPq), the Foundation for Research Support of the State of São Paulo (FAPESP) and the National Bank for Economic and Social Development (BNDES).
- Mexico: The National Council of Humanities, Science and Technology (CONAHCYT), the Sector Fund for Research in Education (SEPEDUC), the Sec-tor Fund for Research in Health and Social Security (SALUD) and the Sector Fund for Energy Research (SENER-CONAHCYT).
- Argentina: The Ministry of Science, Technology and Productive Innovation (MINCYT), the National Council for Scientifc and Technical Research (CONICET), the National Agency for Scientifc and Technological Promotion (ANPCyT) and the Fund for Scientifc and Technological Research (FONCyT).
- Chile: The Ministry of Science, Technology, Knowledge, and Innovation (MINCIENCIA), the National Agency for Research and Development of Chile (ANID), the National Fund for Scientifc and Technological Development (FONDECYT) and the Corporation for the Promotion of Production (CORFO).

Since 2007, the University of Sao Paulo has remained the institution with the highest number of publications in LAC. Similar to the production by country, institutions such as Universidad de Sao Paulo (Brazil), Universidad de Buenos Aires, Universidad de Chile, and Universidad Nacional Autónoma de México are the ones that generate most of the publications (Fig. [2.4\)](#page-34-0) and are the ones that generate the strongest contact networks (the thicker the lines, the higher the collaboration relationship; Fig. 2.5), showing once again that the generation of publications is at the local level above all, leaving international collaboration on a secondary level and little visible.

Fig. 2.5 Mapping of institutional collaboration and social networks
In our region, the establishment of networks aimed at exchanging information between institutions and countries is essential since it allows scientists from countries with scarce resources and little public investment to have more dynamic participation, considering that private-market support alone is insuffcient (Lewis et al. [2021;](#page-45-0) UNESCO [2021](#page-46-0)). Undoubtedly, research in this area in Latin America and the Caribbean is increasing, but international collaborations and their refection in the journals with the greatest impact still do not reach all the countries of the region equally (Atencio-Paulino et al. [2020](#page-44-0)). In general terms, in Latin America, international cooperation occurs mostly with the United States and some European countries (mainly Spain) over LAC countries (Lewis et al. [2021](#page-45-0)). In contrast, collaboration in Europe occurs mainly between European countries, as well as with other leading research countries such as the United States (Oregioni and López [2013](#page-45-0)). Europe has more institutional and fnancial support than our region, which faces greater challenges in accessing fnancial, human and technological resources to promote research in disease ecology and its transfer to society (Torres-Pascual et al. [2021;](#page-46-0) Sancho et al. [2006](#page-46-0); Oregioni and López [2013](#page-45-0)). See vector graphics instead (see Fig. [2.1](#page-31-0)).

2.3.4 Average Citations Per Year

Regarding the number of citations per year, the most cited article was in 2003 (Cupolillo et al. [2003\)](#page-45-0), which had an average of 6.5 citations per year (Table S2), indicating the value of this article, marking a breaking point since its publication, although the average number of citations signifcantly falls the following year, from 2003, it remains relatively constant at values close to 1.5 (Fig. [2.6\)](#page-37-0). This article provides epidemiological information on the relationship between the pathogen (*Leishmania* (*Viannia*) *braziliensis*), hosts, and geographic regions in Brazil (the country with the largest number of publications in LAC; Fig. [2.2\)](#page-32-0), serving as a primary source of information in the feld of disease ecology. The other most cited articles are focused on a variety of pathogens/parasites (e.g., yellow fever, *Trypanosoma* spp.), vectors (e.g., mosquitoes, fies, ticks), and hosts (mainly mammals). Vector-borne diseases are the most prominent research topic, which is consistent with their high prevalence and impact in LAC (Apt Baruch [2013](#page-44-0); WHO [2023\)](#page-46-0), refecting the search by researchers to cover the need in the region (PAHO [2023\)](#page-46-0). Additionally, the focus of this study goes beyond traditional study, seeking to relate these issues to problems in natural environments (Table S2).

2.3.5 Most Relevant Journals in Disease Ecology

Researchers from LAC have published in a variety of journals, with 15 journals being the most common for publications in the area (Table [2.1\)](#page-37-0). The journal with the most articles is *Ticks and Tick-borne Diseases*, with 28 articles published (Table [2.1\)](#page-37-0).

Fig. 2.6 Average citations per year

		Impact	Open access cost	Publisher
Sources	Articles	factor	(USD)	country
Ticks and Tick-borne Diseases	28	3.82	2550	Germany
International Journal of Infectious	26	12.07	2500	Netherlands
<i>Diseases</i>				
Pesquisa Veterinaria Brasileira	25	0.66	480	Brazil
Acta Tropica	24	3.22	3040°	Netherlands
Revista Chilena de Infectologia	23	0.64	No cost	Chile
Journal of Medical Entomology	22	2.43	3963 ^a	United States
Revista Brasileira de Parasitologia Veterinaria	22	1.41	300	Brazil
Brazilian Journal of Microbiology	20	2.47	300 ^a	Brazil
Revista da Sociedade Brasileira de Medicina Tropical	20	1.58	No cost	Brazil
Revista do Instituto de Medicina Tropical de Sao Paulo	19	1.84	400 ^a	Brazil
Plos Neglected Tropical Diseases	18	4.78	2495	United States
Infection, Genetics and Evolution	17	4.39	2250	Netherlands
Transboundary and Emerging	17	5.00	2500	United
<i>Diseases</i>				Kingdom
Memorias do Instituto Oswaldo Cruz	16	2.74	No cost	Brazil
Parasitology Research	16	2.38	2910^a	Germany

Table 2.1 Fifteen most relevant journals in disease ecology

a Hybrid Open Access journal

The journals on this list have a highly variable impact factor (IF), where the lowest was 0.636, which corresponds to the Revista Chilena De Infectologia, of LAC origin and focused on medical sciences and infectious diseases. Its counterpart is a journal with an IF of 12.07 (2021/2022), which corresponds to the *International Journal of Infectious Diseases*, a journal of European origin and, as in the previous case, focuses on medical sciences, clinical, and infectious disease reports.

The cost of publishing in Open Access is a very present issue and is, at least, controversial due to the high costs that researchers must assume, but it is also very varied, from journals such as Memorias Do Instituto Oswaldo Cruz, which provides Open Access at no cost to authors, and other journals such as *Journal of Medical Entomology* with an approximate cost of 4000 USD to publish in Open Access. This may explain why six of the journals with the highest number of publications are LAC journals (mostly Brazilian) with an impact factor that competes with high impact journals from the frst world, but with much more accessible publication costs. However, it is not necessarily a matter of cost; it can also refect a local focus of the investigations, especially in Brazil.

2.3.6 The Most Cited Pathogens, Vectors, and Hosts in Disease Ecology

Pathogens are usually a central topic in disease ecology publications; for that reason, we frst analyze the occurrence of keywords coming from the author and/or generated by the journal (Fig. 2.7). In this case, we see that the most frequent words are generic, such as "infection," "diversity," "transmission," "prevalence," or "disease," relegating topics such as "ecology" or "epidemiology" to a second place and words that provide information about the agents in the third place or host, such as "virus," "vector," "acari," or "diptera."

Inquiring into the subject categories addressed by each journal, we observed that the most important subjects are "infectious diseases," followed by "parasitology"

Fig. 2.7 World cloud generated from keywords of the articles

Fig. 2.8 Occurrences of subject categories in the articles

and "veterinary sciences" (Fig. 2.8), leaving "ecology" and "biodiversity conservation" in eighth and ninth place, respectively.

2.3.7 Pathogens

Exploring the content of each article, we were able to extract terms that helped us to know which are the most frequently mentioned pathogens at the genus or species level. We observed that *Rickettsia* sp., *Leishmania* sp., *Leptospira* sp., dengue virus, *Batrachochytrium dendrobatidis,* and *Trypanosoma cruzi* were the species with the highest number of mentions (Fig. [2.9](#page-40-0)). Bacterial species, viruses, fungi and protozoan parasites.

Regarding the temporal distribution of the study of parasites, some patterns can be related to the increase in cases or outbreaks of these pathogens. For example, in the genus *Rickettsia*, which is composed of species of the group of spotted fevers, there has been a signifcant increase in publications since 2015, reaching its maximum in 2016. This may be due to an increase in cases of spotted fevers in the United States, from 2802 in 2011 to 4470 in 2012 (CDC [2023\)](#page-45-0), which may have infuenced a greater interest in studies across Latin America. Another example is yellow fever studies; the largest outbreak of yellow fever in America for the last 50 years was in Brazil between 2016 and 2018 (PAHO [2022\)](#page-46-0), which may refect the increase of at least a doubling of publications on this topic in 2020.

Fig. 2.9 Most cited pathogen species in disease ecology studies in LAC

When we searched for the origin of host samples in research in disease ecology, we found that domestic and wild animals were the most frequent, followed distantly by synanthropic and captive animals (Fig. [2.10\)](#page-41-0). It should be noted that this classifcation is given by the classifcation of each author in their publications, classifying animals in captivity mainly only those that were in some type of protection or confnement by humans.

Working with the wild-domestic interface in disease ecology can help to understand the dynamics of disease transmission between wildlife, domestic animals, and humans, preventing and controlling outbreaks that can affect human health, the environment and wildlife conservation (Barroso et al. [2021;](#page-44-0) Phukon [2015](#page-46-0)).

The sampling and monitoring of wildlife for research imply the use of a series of technical resources for its development, for example, sampling design, transport, food, capture equipment, handling, identifcation, measurement, marking, anesthetics, microchips, reagents, and laboratory analysis (Santos and Gaiotto [2020](#page-46-0); Marta et al. [2019\)](#page-45-0). These resources may imply high costs for researchers and their work teams whose experimental models involve the use of wild animals, which may limit their development, prioritizing funding to other lines of research, considering the scarcity of funding in LAC (Cepal [2021](#page-45-0)).

Our results show that mammals are the hosts with the highest representation and interest for research (Fig. $2.11a$), where rodents occupy the second majority, including synanthropic species (Ecke et al. [2022\)](#page-45-0), closely followed by carnivorous domestic animals (dogs and cats) and cattle. However, the frst majority were mammals of the order Chiroptera. This interest is probably due to their proximity to some human populations and their importance as reservoirs of re-emerging zoonotic diseases, including viral, bacterial, and parasitic diseases (Baker et al. [2022;](#page-44-0) Spernovasilis et al. [2022\)](#page-46-0). They are followed by orders of wild species, such as wild carnivores and nonhuman primates.

After mammals, Aves are the second most represented group as hosts due to their potential as a reservoir of zoonotic diseases, such as avian infuenza, where the most common route by which the virus enters a territory is through migratory wild birds, which can infect different species of birds and occasionally humans (WOAH [2023\)](#page-46-0).

Amphibians are the third most referenced class of animals as hosts, mainly due to studies on chytridiomycosis, a disease caused by chytrid fungi of the genus *Batrachochytrium*, which is linked to signifcant declines in the number of amphibian species in LAC and the rest of the world, having a profound negative impact on amphibian biodiversity in the region (Olson et al. [2013](#page-45-0)).

Reptiles also have a prominent place as a research focus, and reptiles are reservoirs of a wide range of pathogens, including viruses, bacteria, protozoa, helminths, pentastomids, and parasitic arthropods, representing a public health problem due to their zoonotic risk. Some of the zoonotic diseases associated with reptiles are salmonellosis, spotted fever (*Rickettsia rickettsii*), and parasitic diseases such as sparganosis or pentastomiasis (Mendoza-Roldan et al. [2020\)](#page-45-0).

b) Vectors

Fig. 2.11 Frequency of hosts and vectors in disease ecology studies in LAC. (**a**) host animals with the highest frequency in the articles. (**b**) Vectors with the highest number of occurrences in the articles

In vectors, the most studied groups are ticks and mosquitoes, which are responsible for the transmission of bacterial diseases such as rickettsiosis (ticks) and highimpact viral diseases in the region, such as dengue, Zika, yellow fever, or malaria (*Aedes*, *Anopheles* mosquitoes) (PAHO [2023](#page-46-0)). This was followed by mites, triatomines, sandfies, and feas, with a lower number of occurrences but with important development by researchers (Fig. [2.11b](#page-42-0)).

Vector-borne diseases are a very present and important problem in LAC, forming part of the list of neglected tropical diseases, boosted by the scarcity of resources in the region, affecting the poorest human populations (PAHO [2023;](#page-46-0) WHO [2023\)](#page-46-0). These diseases are more frequent in tropical countries, where environmental and socioeconomic conditions favor the presence and reproduction of vectors and animal reservoirs (Monsalve et al. [2009\)](#page-45-0). Deforestation, biodiversity loss, and human migration also infuence the distribution and risk of these diseases (Altagracia-Martínez et al. [2012](#page-44-0); Gutiérrez and Herbosa [2008\)](#page-45-0).

The prevention and control of these diseases require a multifactorial and comprehensive approach, strengthening research on the ecological, social, and economic determinants that infuence the transmission of these diseases (Ault and Nicholls [2010\)](#page-44-0). Therefore, as previously mentioned, the large number of works on vectors that we see in our data shows the interest on the part of researchers to propose solutions to endemic problems in our region.

Our results may have revealed the limitations of the PRISMA method since there are authors/articles of our knowledge that did not appear during the search in the databases (WoS and PubMed). These results may also refect the need to include better word combinations in the publications (especially in the titles and abstracts), which refect that they are part of disease ecology topics, achieving better exposure in the databases, to stand out from other areas that also include "ecology," "diseases," and "conservation" in their keywords.

2.4 Conclusions and Recommendations

We conducted a bibliometric analysis to identify how the feld of disease ecology has been developed in LAC, recognizing major felds, hosts, and infectious diseases studied, as well as identifying important collaborations and networks among countries and institutions that have signifcantly contributed to this discipline.

It is evident that Latin America and the Caribbean have the appropriate conditions and knowledge for the development of local and regional research in disease ecology and related topics, favoring research on needs exclusive to the Neotropics. This will promote knowledge on emerging or neglected infectious agents of relevance for the Neotropical region. In this way, environmental, ecological, and social conditions that are unique and have a particular infuence on the cycles of these infectious agents favoring the emergence and maintenance of pathogens will be considered.

Despite the evident scientifc competence, research in Latin America and the Caribbean seeking to respond to local needs requires support, fnancing, and local policies that encourage research. Increasing capacities favor long-term research, regional research, interdisciplinary research, and intersectoral research. This ensures the creation and implementation of tools for the control, prevention, and monitoring of emerging and re-emerging neotropical diseases, and in turn, this research generates a change in communities and public policies.

Training and interchanges between countries with a recognized trajectory in disease ecology and/or related branches (such as Brazil, Argentina, and Mexico) with those countries with less research in these areas can facilitate the development of knowledge by reducing costs for the improvement in capacities, particularly the training of specialized professionals, while favoring the creation of work networks and the development of regional knowledge.

Although there is still much to learn, the socioeconomic conditions as well as the rich neotropical biodiversity make Latin America and the Caribbean hotspots for further research to answer ecological, epidemiological, and biological questions that contribute to scientifc knowledge of worldwide relevance.

It is a great opportunity to develop collaborative strategies and reinforce aspects of common objectives. The collaboration would facilitate the identifcation of competent reservoirs and vectors in LAC, standardize methods, make contact networks and be more effcient in diagnosis and prevention and propose incidences in public policies to work with wildlife. In the same way, it allows us to prioritize pathogens since many are understudied and cause unattended diseases, so we understand how the infection and disease emergency systems work and not just limit ourselves to identifying pathogens.

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Chapter 3 Emerging Infectious Diseases and Their Impacts on South American Amphibians

Claudio Azat and Mario Alvarado-Rybak

3.1 Diversity and Conservation of South American Amphibians

With over one-third of amphibian species, South America is the greatest amphibianrich region in the world (Jenkins et al. [2013](#page-64-0)). It is home to 2972 anurans, 42 caudates, and 103 caecilians (Frost [2023](#page-62-0)). Due to the high biodiversity in the Amazonian basin and the Atlantic Forest, only Brazil has 1252 spp. (Azevedo-Ramos and Galatii [2002](#page-60-0); da Silva et al. [2014;](#page-62-0) Frost [2023\)](#page-62-0). Other megadiverse amphibian countries in the region include Colombia (902 spp.), Peru (691), and Ecuador (684). In contrast, countries such as Chile, although having a smaller diversity (61), have high endemicity $(72\%, \text{Soto-Azat et al. } 2015)$ $(72\%, \text{Soto-Azat et al. } 2015)$ $(72\%, \text{Soto-Azat et al. } 2015)$. At the global level, amphibians are undergoing an extinction crisis with no precedent (Collins and Crump [2009](#page-61-0)). With 40.7% of species threatened with extinction, amphibians are the most threatened vertebrate taxa on Earth (IUCN [2023\)](#page-63-0). Moreover, if data-defcient species are included, an estimated 50% of the world's amphibian species are threatened with extinction (González-Del-Pliego et al. [2019\)](#page-63-0). Latin America is one of the regions showing the greatest loss of amphibian biodiversity, with most declines occurring in highelevation aquatic environments (Young et al. [2001](#page-68-0); Lips et al. [2006\)](#page-65-0). Of the assessed South American species in the IUCN Red List, 34.2% are in a threatened category among extinct (2 spp.), critically endangered (247), endangered (400), and vulnerable (252). In addition, 20.1% of species (530) are considered data deficient (IUCN

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[2023\)](#page-63-0). Among the main threats identifed for amphibians in South America are agriculture, deforestation, urbanization, and invasive species (IUCN [2023\)](#page-63-0). Other emerging threats include climate change and infectious diseases (Foden et al. [2013;](#page-62-0) Uribe-Rivera et al. [2017](#page-68-0)). Low connectivity among populations and low abundance are major intrinsic conservation problems associated with amphibian declines and local extinctions in the southern cone of South America (Kakoliris et al. [2022\)](#page-64-0). Increasing in situ conservation in South America is a major goal to protect habitats, but captive-rearing programs for species subject to imminent extinction have also been encouraged (Young et al. [2001](#page-68-0); Harding et al. [2006\)](#page-63-0). Habitat management to restore habitats, recover populations, and eliminate threats such as invasive species is urgently needed to reverse the loss of amphibians in this neotropical region (Kakoliris et al. [2022\)](#page-64-0).

3.2 Emerging Infectious Diseases and Population Declines in Amphibians

3.2.1 Amphibian Chytridiomycosis

Caused by the non-hyphal zoosporic chytrid fungus *Batrachochytrium dendrobatidis* (*Bd*), this is a highly pathogenic, virulent, and readily transmissible and panzootic fungus with low specifcity among amphibians (Berger et al. [1998](#page-60-0); Longcore et al. [1999](#page-65-0); Gower et al. [2013](#page-63-0)). Five distinct lineages have been recognized so far: *Bd*GPL, *Bd*CAPE, *Bd*ASIA-1, *Bd*ASIA-2/*Bd*BRAZIL, and *Bd*-Asia 3 (O'Hanlon et al. [2018](#page-65-0); Byrne et al. [2019;](#page-61-0) Azat [2021\)](#page-59-0). A second species, *B. salamandrivorans* (*Bsal*), appears to be restricted to Asia, where it is endemic, and Europe, where it has been recently introduced (10 years) and has high impacts on local salamanders (Martel et al. [2014](#page-65-0)). The life cycle of *Bd* consists of aquatic, motile, unifagellated zoospores that invade keratinized tissues of amphibians (outer layers of the skin in adults and mouthparts in tadpoles), where they develop into a stationary, intracellular zoosporangium (Fig. [3.1\)](#page-49-0). Each zoosporangium produces large numbers of zoospores (4–150), which are subsequently released via discharge tubes into the environment (Longcore et al. [1999\)](#page-65-0). At 22 °C in vitro, the life cycle is completed in 5–7 days (Berger et al. [2005\)](#page-60-0). In susceptible individuals, *Bd* can colonize large areas of the skin of adult amphibians, causing damage to epidermal cells, electrolyte depletion, and osmotic imbalance, leading to death (Voyles et al. [2009\)](#page-68-0). Surviving infected tadpoles can act as reservoirs of infection (Berger et al. [1998](#page-60-0); Schloegel et al. [2006](#page-67-0)). The pathogen *Bd* can be viable for up to 12 weeks in sterile water (Johnson and Speare [2003\)](#page-64-0) and survive freezing conditions, possibly only when infecting its amphibian host (Ouellet et al. [2005](#page-66-0)). Such persistence in the environment and via aclinical infections lowers the threshold host density for the disease and allows it to cause the observed catastrophic declines and local and global extinctions (Daszak et al. [1999\)](#page-62-0). The persistence of *Bd* in the environment and its

Fig. 3.1 Life cycle of *Batrachochytrium dendrobatidis*. At 22 °C, the life cycle in vitro takes 4 or 5 days to complete

subsequent impacts on amphibian populations can be attributed to the introduction of the pathogen into naïve host populations, the existence of a free-living infective stage, and the presence of reservoirs (James et al. [2015;](#page-63-0) Berger et al. [2016;](#page-60-0) Soto-Azat et al. [2016](#page-67-0)).

3.2.2 Amphibian Ranaviruses

Ranaviruses are large, icosahedral, double-stranded DNA viruses that belong to the family Iridoviridae (Williams et al. [2005\)](#page-68-0). Ranaviruses can infect and cause disease in amphibians, fsh, and reptiles (Hyatt et al. [2000;](#page-63-0) Johnson et al. [2008\)](#page-64-0). Since the frst isolation of a *Ranavirus* from the northern leopard frog (*Lithobates pipiens*) in 1965 (Granoff et al. [1965\)](#page-63-0), an increasing number of infections caused by ranaviruses have been described in ectothermic vertebrates (Hyatt et al. [2000](#page-63-0); Johnson et al. [2008\)](#page-64-0). Many *Ranavirus* species infecting amphibians around the world have been identifed, including *Bohle iridovirus* (Cullen and Owens [2002\)](#page-62-0), *Ambystoma tigrinum virus* (Bollinger et al. [1999;](#page-61-0) Jancovich et al. [2003](#page-64-0)), *Rana esculenta virus* (Fijan et al. [1991;](#page-62-0) Stöhr et al. [2013](#page-68-0)), *Rana grylio virus* (Zhang et al. [2001\)](#page-69-0), *tiger frog virus* (He et al. [2002\)](#page-63-0), *common midwife toad virus* (Mavian et al. [2012](#page-65-0)), and *Chinese*

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giant salamander virus (Zhou et al. [2013](#page-69-0)). In contrast to the relatively limited geographic distribution of these ranaviruses, *frog virus 3* (FV3) and several FV3-like viruses are globally widespread (Lesbarrères et al. [2011\)](#page-65-0). The ecology of ranaviruses likely involves a complex interaction of reservoir species, transmission routes, environmental persistence, stressors, and host immunity (Gray et al. [2009](#page-63-0)). The pathogen infects multiple amphibian hosts, including tadpoles and adults, and may persist in aquatic and terrestrial environments through amphibian, fsh, and reptile reservoirs (Hyatt et al. [2000](#page-63-0); Lesbarrères et al. [2011\)](#page-65-0). Tadpoles seem to be more susceptible to disease than adults (Green et al. [2002\)](#page-63-0). *Ranavirus* virions can have long environmental persistence; for instance, outside a host, they may be viable for up to 182 days in unsterile pond water maintained at $4 °C$ (Nazir et al. [2012\)](#page-65-0). Transmission occurs by indirect and direct routes and includes exposure to contaminated water or moist soil, direct contact with infected individuals, and ingestion of infected tissue during predation, cannibalism, or necrophagy (Jancovich et al. [1997;](#page-64-0) Brunner et al. [2007\)](#page-61-0). The occurrence of recent *Ranavirus*-associated amphibian population die-offs may be an interaction of suppressed and naïve host immunity, anthropogenic stressors, and novel strain introductions (Gray et al. [2009](#page-63-0)).

3.2.3 Ecoimmunology of **Bd** *and* **Ranavirus**

Infected amphibian species vary in their susceptibility to *Bd* and ranaviruses from asymptomatic carriers to lethal disease (Hyatt et al. [2000;](#page-63-0) Fisher et al. [2009;](#page-62-0) Gray et al. [2009;](#page-63-0) Hoverman et al. [2010\)](#page-63-0). Previous exposure to *Bd* or *Ranavirus* may determine the outcome of subsequent infections, possibly due to the development of an effective pathogen-specifc acquired immune response (Carey et al. [1999;](#page-61-0) Haislip et al. [2011](#page-63-0); Rollins-Smith et al. [2011\)](#page-66-0). Frogs with previous *Bd* exposure can survive secondary infections better than can immunologically naïve frogs (Richmond et al. [2009\)](#page-66-0), and amphibian species inhabiting permanent wetlands with larvae that have long development times are less likely to experience morbidity from ranaviruses due to acquired immunity from repeated exposure (Gray et al. [2009\)](#page-63-0). Immune function in amphibians is closely dependent on environmental temperature (Murphy et al. [2011\)](#page-65-0). Low temperature has been associated with lower survival in *Bd*-exposed frogs under laboratory conditions (Andre et al. [2008](#page-59-0); Bustamante et al. [2010;](#page-61-0) Murphy et al. [2011\)](#page-65-0), and chytridiomycosis die-offs have often been associated with high elevation, low temperature, and the winter season (Berger et al. [2004;](#page-60-0) McDonald et al. [2005](#page-65-0); Drew et al. [2006;](#page-62-0) Kriger et al. [2007](#page-64-0); Savage et al. [2011\)](#page-67-0). In addition, a higher prevalence of *Ranavirus* infection in some amphibian populations in the United States has been associated with cold temperatures (Rojas et al. [2005;](#page-66-0) Gray et al. [2007](#page-63-0)), and a higher mortality has been reported in experimentally *Ranavirus*-infected red-eared sliders (*Trachemys scripta elegans*) maintained at lower temperatures (Allender et al. [2013](#page-59-0)). Anthropogenic stressors such as wetland degradation due to cattle farming have been identifed as a contributing factor in increased amphibian mortality caused by ranaviruses (Jancovich et al. [1997;](#page-64-0) Gray

et al. [2007\)](#page-63-0). Additionally, analyses at the landscape level have found higher *Bd* occurrence near urban centers and human-modifed environments (Bacigalupe et al. [2017;](#page-60-0) Alvarado-Rybak et al. [2021b](#page-59-0)).

3.2.4 Emerging Infectious Diseases and Amphibian Population Declines

The chytrid fungus *Bd* has been increasingly recognized as an important driver behind alarming amphibian population declines and extinctions across the world (Berger et al. [1998](#page-60-0); Lips [2016](#page-65-0); Bielby et al. [2008\)](#page-61-0). In fact, *Bd* is recognized as the single pathogen responsible for the greatest loss of biodiversity known to science (Scheele et al. [2019\)](#page-67-0), and its role in the decline and extinction of numerous amphibian species has led to a paradigm shift toward wildlife diseases as a conservation issue (Berger et al. [2016](#page-60-0)). Thus far, *Bd* has been implicated in the decline of >500 amphibian species and the extinction of 100 (Scheele et al. [2019\)](#page-67-0). While many species are declining, some to the point of extinction, others persist with the enzootic presence of *Bd* (Bielby et al. [2008](#page-61-0); Berger et al. [2016\)](#page-60-0). The relationship between *Bd* and amphibians is complex, with wide variability in population declines exhibited by different amphibian host species in response to *Bd*, for which a phylogenetic signal has been demonstrated (i.e., phylogenetically related amphibians show similar patterns of chytridiomycosis susceptibility; Bielby et al. [2008](#page-61-0); Baláž et al. [2014;](#page-60-0) Bacigalupe et al. [2017\)](#page-60-0). Less studied, lethal outbreaks caused by *Ranavirus* have been reported in many parts of the world in both wild and captive amphibians (Cunningham et al. [1996;](#page-62-0) Jancovich et al. [1997;](#page-64-0) Green et al. [2002](#page-63-0); Greer et al. [2005;](#page-63-0) Fox et al. [2006](#page-62-0); Muths et al. [2006](#page-65-0); Une et al. [2009](#page-68-0); Balseiro et al. [2010;](#page-60-0) Geng et al. [2011;](#page-63-0) Kik et al. [2011](#page-64-0); Stöhr et al. [2013](#page-68-0)). Additionally, long-term amphibian population declines have been confrmed in the common frog (*Rana temporaria*) in the United Kingdom (Teacher et al. [2010](#page-68-0)), and severe multispecies host declines due to CMTV have been described in Spain (Price et al. [2014](#page-66-0)).

3.3 Bd in South America

3.3.1 Distribution

The fungus *Bd* is widespread in South America, with infection being reported in 522 amphibian species (including anurans, salamanders, and caecilians) from all countries except Paraguay (James et al. [2015;](#page-63-0) Azat et al. [2022\)](#page-60-0). Based on 21,648 individual PCR analyses, Bd prevalence in South America has been estimated to be 23.2%; however, traditional studies do not account for individual pseudoreplication and imperfect pathogen detection, leading to an underestimation of infection prevalence (Sentenac et al. [2023\)](#page-67-0). Taxa exhibiting higher *Bd* prevalence were in most cases associated with aquatic environments, including Telmatobiidae, Ranidae, Calyptocephalellidae, Hylodidae, and Pipidae (Azat et al. [2022](#page-60-0)). Areas with the greatest occurrence of *Bd* are associated with environments at high elevations in the Andes (Central high Andes, Puna, and Altiplano) and Brazil's Atlantic Forest (Catenazzi et al. [2011](#page-61-0); James et al. [2015](#page-63-0); Burrowes and De la Riva [2017;](#page-61-0) Carvalho et al. [2017](#page-61-0); Alvarado-Rybak et al. [2021b;](#page-59-0) Azat et al. [2022](#page-60-0)). Two invasive amphibian species have been described to act as reservoirs for both *Bd* and *Ranavirus* in South America (Soto-Azat et al. [2016;](#page-67-0) Ruggeri et al. [2019](#page-67-0)). The North American bullfrog (*Lithobates catesbeianus*), with known established wild populations in Venezuela, Colombia, Ecuador, Peru, Brazil, Uruguay, and Argentina (IUCN [2023\)](#page-63-0), and *X. laevis are* widespread in Chile (Mora et al. [2019\)](#page-65-0). Both species have shown evidence of high prevalence of both pathogens, while not undergoing the impacts of disease (Robert et al. [2007](#page-66-0); Schloegel et al. [2010b;](#page-67-0) Peñafel-Ricaurte et al. [2023\)](#page-66-0). Furthermore, *L. catesbeianus* is intensively farmed in Brazil, Uruguay, and Argentina (Garner et al. [2006;](#page-62-0) Schloegel et al. [2010b](#page-67-0)), and exports of wild *X. laevis* from Chile occur (Azat, unpublished data). These species can act as pathogen spreaders locally as they invade new areas (Soto-Azat et al. [2016](#page-67-0); Borzée et al. [2017\)](#page-61-0) or at the intercontinental level associated with international trade (Garner et al. [2006;](#page-62-0) Schloegel et al. [2012](#page-67-0); Carvalho et al. [2017;](#page-61-0) Valenzuela-Sánchez et al. [2018\)](#page-68-0). A map showing the widespread occurrence of *Bd* and surveyed areas in South America is shown in Fig. 3.2.

Fig. 3.2 Distribution of *Batrachochytrium dendrobatidis* (*Bd*) in South America. (**a**) Presence and absence of *Bd* per surveyed site. (**b**) Prevalence of *Bd* (considering a minimum sample size of \geq 10 individuals per site). The size of the circles is proportional to the number of individuals sampled. Red and white circles represent *Bd-*positive and Bd-negative sites, respectively. The presented data are based on 21,648 *Bd*-specifc PCR analyses

3.3.2 Genetic Diversity

Isolates of *Bd* have been genetically characterized in South America, with the hypervirulent Global Panzootic Lineage (*Bd*GPL) being the most widespread genotype (Schloegel et al. [2012](#page-67-0); Flechas et al. [2013;](#page-62-0) Rosenblum et al. [2013](#page-66-0); James et al. [2015;](#page-63-0) Jenkinson et al. [2016;](#page-64-0) Valenzuela-Sánchez et al. [2018;](#page-68-0) Burrowes et al. [2020\)](#page-61-0). Based on whole-genome sequencing, O'Hanlon et al. [\(2018](#page-65-0)) revealed a recent intercontinental expansion of *Bd*GPL from East Asia within the past century. The recent introduction of *Bd*GPL into South America (Lips et al. [2008;](#page-65-0) Valenzuela-Sánchez et al. [2018\)](#page-68-0) coincides with the onset of amphibian enigmatic declines in this region beginning in the late 1970s (Lips et al. [2008;](#page-65-0) Soto-Azat et al. [2013a](#page-67-0); Carvalho et al. [2017;](#page-61-0) Valenzuela-Sánchez et al. [2018](#page-68-0)). Additionally, the endemic genotype *Bd*ASIA-2/*Bd*BRAZIL has been described to be restricted to the Atlantic Forest of Brazil (Rosenblum et al. [2013](#page-66-0); Rodriguez et al. [2014](#page-66-0)). Of concern, *Bd*ASIA-2/*Bd*BRAZIL has been shown to hybridize with *Bd*GPL-originating hypervirulent hybrid genotypes (Jenkinson et al. [2016;](#page-64-0) Greenspan et al. [2018;](#page-63-0) O'Hanlon et al. [2018](#page-65-0)).

3.3.3 Associated Population Declines

South America is the region suffering the greatest impacts from chytridiomycosis (Bielby et al. [2008;](#page-61-0) Lips et al. [2008](#page-65-0); Rödder et al. [2009](#page-66-0); Scheele et al. [2019](#page-67-0)). Several examples exist for this emerging disease and severe population declines and extinctions in the region, notably the cases of Harlequin toads (*Atelopus* spp.; Ron et al. [2003;](#page-66-0) La Marca et al. [2005;](#page-64-0) Lampo et al. [2006](#page-64-0)), Andean water frogs (*Telmatobius* spp.; Barrionuevo and Ponssa [2008;](#page-60-0) Burrowes and De la Riva [2017\)](#page-61-0) and Darwin's frogs (*Rhinoderma* spp.; Soto-Azat et al. [2013b](#page-67-0); Valenzuela-Sánchez et al. [2017](#page-68-0), [2022\)](#page-68-0). Recently, Alvarado-Rybak et al. ([2021a](#page-59-0)) described a lethal chytridiomycosis outbreak in captive Chilean giant frogs (*Calyptocephalella gayi*). This is of concern, as this species is vulnerable and has declined rapidly in recent decades. The pathogen *Bd* has also been described in *Telmatobius* (63 spp.), but only a few studies have linked chytridiomycosis with population-level impacts (Burrowes and De la Riva [2017\)](#page-61-0). Chytridiomycosis due to *Bd* infection has been associated with mortality in *T. pisanoi* and *T. atacamensis* from northern Argentina (Barrionuevo and Mangione [2006\)](#page-60-0), *T. marmoratus* from Peru (Seimon et al. [2007](#page-67-0); Catenazzi et al. [2011\)](#page-61-0), and the disappearance of two species of *Telmatobius* from the Upper Manu National Park in southeastern Peru (Catenazzi et al. [2011](#page-61-0)). In addition, Burrowes and De la Riva [\(2017](#page-61-0)) found an association between *Bd* infection and severe population declines since the 1990s, particularly in *Telmatobiu*s spp. Although *Bd* occurrence is generally low in terrestrial amphibians, high *Bd* prevalence has been detected in Hylidae

and Hemiphractidae (Azat et al. [2022](#page-60-0)), with chytridiomycosis being implicated in population declines of the horned marsupial frog (*Gastrotheca cornuta*) and of three species of *Hyloscirtus* spp. treefrogs (Lips et al. [2006](#page-65-0)). Terrestrial Darwin's frogs (*Rhinoderma*, two spp.) and harlequin frogs (*Atelopus*, 99 spp.) have been severely impacted by chytridiomycosis (Lampo et al. [2017](#page-65-0); Valenzuela-Sánchez et al. [2017\)](#page-68-0). *Rhinoderma darwinii* has direct development in a situation that prevents these species from frequent contact with aquatic habitats and, hence, potentially encounters high amounts of *Bd* (Azat et al. [2021\)](#page-59-0). In contrast, *Atelopus* has an aquatic breeding style associated with small streams in tropical wet forests and humid paramos in Central and South America (La Marca et al. [2005](#page-64-0)). With many species disappearing and others having suffered drastic declines across their whole distribution (from Costa Rica to Bolivia), the situation of the genus *Atelopus* is possibly the most dramatic example of biodiversity loss due to an infectious disease (La Marca et al. [2005;](#page-64-0) Lips et al. [2008](#page-65-0); Catenazzi et al. [2011;](#page-61-0) Scheele et al. [2019;](#page-67-0) Valencia and Fonte [2021\)](#page-68-0). Of 94 *Atelopus* spp. listed in the IUCN Red List, 3% are currently categorized as extinct, 69% as critically endangered, and 13% as endangered (IUCN [2023\)](#page-63-0). Population crashes of *Atelopus* spp. were frst recognized in the 1980s in South America, coincident with the proposed arrival of *Bd* (Lips et al. [2008\)](#page-65-0). Severe chytridiomycosis-associated declines have been described in *A. chiriquensis*, *A. varius*, and *A. zeteki* in Panama and Costa Rica (La Marca et al. [2005;](#page-64-0) Lips et al. [2006\)](#page-65-0), and the combined effects of chytridiomycosis and climate change have been implicated in the disappearance of *A. ignescens* from Ecuador (Ron et al. [2003](#page-66-0)) and *A. carbonerensis*, *A. mucubajiensis*, and *A. sorianoi* from Venezuela (Lampo et al. [2006\)](#page-64-0). Believed extinct for 30 years, surviving populations of *A. ignescens*, *A. longirostris,* and *A. mindoensis* have been recently rediscovered, and individuals of the frst two species translocated to a captive breeding program in Ecuador (Tapia et al. [2017;](#page-68-0) Barrio Amorós et al. [2020\)](#page-60-0). Native to southern Chile and Argentina, both species of *Rhinoderma* have undergone severe population declines (Soto-Azat et al. [2013a](#page-67-0)). Once abundant, *R. rufum* is thought to have become extinct in approximately 1982, while *R. darwinii* has recently disappeared from much of its range (Soto-Azat et al. [2013a;](#page-67-0) Azat et al. [2021](#page-59-0)). In both cases, chytridiomycosis due to *Bd* infection has been proposed as a driver of these population declines (Soto-Azat et al. [2013b](#page-67-0); Valenzuela-Sánchez et al. [2017,](#page-68-0) [2022\)](#page-68-0). In addition, studies of wild populations have found signifcantly reduced survival probabilities of *Bd*-infected vs. *Bd*-uninfected frogs for *A. cruciger* in Venezuela (Lampo et al. [2017\)](#page-65-0) and *R. darwinii* in Chile (Valenzuela-Sánchez et al. [2017](#page-68-0)). Lampo et al. ([2017\)](#page-65-0) estimated that infected *A. cruciger* were, on average, four times more likely to die during the study period (6 years) than uninfected individuals. Similarly, Valenzuela-Sánchez et al. [\(2017](#page-68-0)) used empirical evidence to model slow declines in the extinction of infected *R. darwinii* populations in the absence of mass mortality and despite low *Bd* prevalence.

3.4 *Ranavirus* **in South America**

3.4.1 Distribution

While most reports on *Ranavirus*-associated outbreaks and mass mortalities come from North America and Europe (Gray et al. [2009;](#page-63-0) Duffus and Cunningham [2010;](#page-62-0) Price et al. [2014\)](#page-66-0), ranaviruses remain largely understudied in South America (Brunner et al. [2021\)](#page-61-0). The frst report of *Ranavirus* in the region was made by Zupanovic et al. [\(1998a\)](#page-69-0) in cane toads (*Rhinella marina*) in Venezuela by detection of antibodies from serum samples and subsequent *Ranavirus* isolation from *L. catesbeianus* and *Leptodactylus* sp. (Zupanovic et al. [1998b\)](#page-69-0). Later, Fox et al. [\(2006](#page-62-0)) described a *Ranavirus* outbreak causing high mortality in the Patagonia frog (*Atelognathus patagonicus*) in Argentina, with the virus being characterized as FV3 with 100% identity. Co-infection by *Bd* and *Ranavirus* has been detected in Peruvian Andes frogs, including live-traded *Telmatobius marmoratus* sold at a market in Cusco, wild *Hipsiboas gladiator*, and several species of *Pristimantis* and *Rhinella manu* from Kosñipata valley (Warne et al. [2016](#page-68-0)). *Ranavirus* infection was frst described in Chile in wild anurans from two populations near the capital city of Santiago, involving seven individuals of the invasive *X. laevis* and one individual of the native *C. gayi* living in sympatry (Soto-Azat et al. [2016\)](#page-67-0). Additional work has confrmed that *Ranavirus* is widespread in central Chile but found at very low prevalence in *X. laevis* (Peñafel-Ricaurte et al. [2023](#page-66-0)), and genetic analyses have revealed 100% similarity with FV3 (Soto-Azat et al. [2016](#page-67-0); Peñafel-Ricaurte et al. [2023\)](#page-66-0). Although intensively researched, *Ranavirus* appears to be intimately associated with *X. laevis* invasive distribution in Chile, and no evidence of infection has been found in sympatric fsh or additional native amphibians (Peñafel-Ricaurte et al. [2023\)](#page-66-0). Infection of free-living tadpoles of both native species and invasive *L. catesbeianus* and a case of mass mortality involving wild *L. catesbeianus* and an unidentifed fsh species attributed to *Ranavirus* have been reported in southern Brazil (Ruggeri et al. [2019](#page-67-0)). In the highland Andes of Ecuador, *Ranavirus* has been detected at one site in *Pristimantis orestes* and *P. phoxocephalus* (Urgiles et al. [2021\)](#page-68-0). As detected in eight localities and several species, including native *Osornophryne* sp., *Pristimantis bogotensis*, *P. elegans*, *Leptodactylus fragilis, L. validus,* and invasive *L. catesbeianus*, *Ranavirus* appears to be widespread across diverse habitats in Colombia (Flechas et al. [2023\)](#page-62-0). Additionally, *Ranavirus* causing disease and mortality in tadpoles of *L. catesbeianus* has been confrmed in frog farms in Brazil and Uruguay (Galli et al. [2006](#page-62-0); Mazzoni et al. [2009](#page-65-0); Candido et al. [2019\)](#page-61-0), with viruses showing high similarity with FV3 (Mazzoni et al. [2009](#page-65-0)) but also signs of recombination with other ranaviruses possibly associated with international trade (Candido et al. [2019\)](#page-61-0). Details of the geographic distribution of *Ranavirus* in South America are shown in Fig. [3.3](#page-56-0).

Fig. 3.3 Distribution of *Ranavirus* in South America. Presence and absence of *Ranavirus* per surveyed site. The size of the circles is proportional to the number of individuals sampled. Red and white circles represent *Ranavirus-*positive and *Ranavirus*-negative sites, respectively. The presented data are based on 952 *Ranavirus*-specifc PCR analyses

3.4.2 Impacts

Little information on the impacts of *Ranavirus* is available for South America, and no evidence for *Ranavirus* die-offs in native amphibians exists in the region, except for the high mortality and morbidity reported in *A. patagonicus* in northern Patagonia, Argentina (Fox et al. [2006](#page-62-0)). Most studies developing active surveillance for *Ranavirus* in South America report a low prevalence of 0.1–5% (Urgiles et al. [2021;](#page-68-0) Flechas et al. [2023](#page-62-0); Peñafel-Ricaurte et al. [2023;](#page-66-0) but see Warne et al. [2016\)](#page-68-0). In 2011, a dead 2.2 kg female *C. gayi* from a pond near Santiago in Chile was

submitted for post-mortem examination. This frog presented lesions consistent with ranaviral disease, i.e., abundant serosanguineous fuid was found subcutaneously and intracelomically, internal organs were edematous (Soto-Azat et al. [2016](#page-67-0)), and *Ranavirus* was confirmed by qPCR with a high viral load (>3000 viral copies; Peñafel-Ricaurte et al. [2023](#page-66-0)). Over half of *Pristimantis* spp. (251 of 497) in South America are threatened with extinction according to the IUCN Red List (IUCN [2023\)](#page-63-0), and it is worrisome that several studies in South America described *Ranavirus* in populations of this genus in Peru, Ecuador, and Colombia (Warne et al. [2016;](#page-68-0) Urgiles et al. [2021;](#page-68-0) Flechas et al. [2023\)](#page-62-0). Another group that has been rapidly declining in the region is *Telmatobius* spp., and *Ranavirus* has been described in traded *T. marmoratus* for human consumption in Peru (Warne et al. [2016\)](#page-68-0). Whether *Ranavirus* is a contributing factor to the decline of threatened *C. gayi*, *Telmatobius* spp., and *Pristimantis* spp. is unknown and requires further examination (Urgiles et al. [2021\)](#page-68-0).

3.5 Future Directions

3.5.1 Disease Mitigation

South America has experienced devastating amphibian biodiversity loss due to chytridiomycosis (Scheele et al. [2019](#page-67-0)), and while *Ranavirus* has increasingly been reported, there is a lack of information on its impacts in the region (Soto-Azat et al. [2016;](#page-67-0) Flechas et al. [2023\)](#page-62-0). Methods to mitigate the impacts of amphibian emerging infectious diseases are urgently needed to combat the loss of biodiversity (Bosch et al. [2020\)](#page-61-0). Disease prevention by reducing the risk of pathogen introduction is often the best mitigation strategy against *Bd* and *Ranavirus*. Although only a few regions of the world remain *Bd* or *Ranavirus*-free (Bower et al. [2017](#page-61-0)), informing biosecurity recommendations at the country level is crucial, given the potential for pathogen introduction and strain recombination, which can result in increased virulence (Berger et al. [2016\)](#page-60-0). Chytridiomycosis and ranaviral disease have been listed as notifable diseases since 2008 by the World Organization of Animal Health (WOAH), but the enforcement of *Bd* and *Ranavirus* control in the amphibian trade has not been implemented by WOAH member states (Schloegel et al. [2010a](#page-67-0)). Once established, eradication of *Bd* and *Ranavirus* would be an ideal outcome; however, it is unrealistic to think it would be possible to achieve at a large scale (Bosch et al. [2020\)](#page-61-0). Therefore, other mitigation strategies are the most common option available to prevent further species declines. Although mitigation has been better studied in *Bd* than *Ranavirus*, many actions apply to both pathogens, while others are more pathogen specifc. Strategies for long-term *Bd* mitigation include management of reservoir and superspreader hosts, reintroduction or reinforcement of susceptible species, *Bd* environmental management, treatment of individuals with antifungals, and augmentation of the host skin microbiome with probiotics (Woodhams et al.

[2011;](#page-68-0) Garner et al. [2016](#page-63-0)). Additionally, abundant chytridiomycosis-resistant species (including invasive *L. catesbeianus* and *X. laevis*) can be subjected to management for *Bd* and *Ranavirus* mitigation. For example, Scheele et al. [\(2017](#page-67-0)) demonstrated that the abundant and least concerning eastern froglet (*Crinia signifera*), which exhibits a high *Bd* prevalence (78.7%) in Australia, acts as a competent pathogen reservoir for the endangered northern corroboree frog (*Pseudoprhyne pengilleyi*). Another strategy involves the identifcation of low pathogen suitability areas, as they represent pathogen refuges for native amphibians and, for instance, candidate sites for conservation translocations (Puschendorf et al. [2009;](#page-66-0) Bacigalupe et al. [2019\)](#page-60-0). In the wild, there is only one successful example of *Bd* eradication, which occurred on an island with a single amphibian host (the Mallorcan midwife toad [*Alytes obstetricans*]), based on the use of antifungals and environmental disinfectants (Bosch et al. [2020](#page-61-0)). However, the use of antifungals in more complex systems has only short-term *Bd*-protective beneficial effects (Hudson et al. [2016\)](#page-63-0). Thus, the approach based on the use of probiotics has gained popularity as an attractive tool for *Bd* control in nature (Bletz et al. [2013;](#page-61-0) Küng et al. [2014](#page-64-0); Rebollar et al. [2016;](#page-66-0) Niederle et al. [2019](#page-65-0)). Probiotic augmentation has potential advantages over antifungals, which are diffcult to apply in the wild and may have profound effects on the native microbiota of a host or ecosystem (Antwis et al. [2015](#page-59-0); Bosch et al. [2020\)](#page-61-0). The use of probiotics for *Bd* mitigation should be context dependent; consequently, isolation and testing of local microbes with *Bd*-inhibition potential should be encouraged widely (Woodhams et al. [2016;](#page-68-0) Bletz et al. [2013](#page-61-0); Kueneman et al. [2016\)](#page-64-0).

3.5.2 Research Needs

While *Ranavirus* research is in the early stages in South America (Fig. [3.3](#page-56-0)), further research is required to establish the potential impacts of this pathogen on wild populations. Native amphibians living in contact with *Ranavirus* reservoirs, such as the invasive *L. catesbeianus* and *X. laevis*, have been a starting point (Ruggeri et al. [2019;](#page-67-0) Soto-Azat et al. [2016](#page-67-0)). Whether *Ranavirus* in the region has a patchy distribution associated with its potential recent introduction or a more continuous presence that has been underestimated is currently unknown. The development of *Ranavirus* niche modeling could help to answer this question, as well as guiding areas that warrant future pathogen surveys (Becker et al. [2016](#page-60-0); Bacigalupe et al. [2019\)](#page-60-0), which acquire more relevance in the context of climate change (Price et al. [2019\)](#page-66-0). To our knowledge, *Ranavirus* has not been reported in South American wild or farmed fsh or reptiles; thus, it is highly necessary to investigate the multi-host epidemiology of *Ranavirus* in the region, for example, the widespread rainbow trout (*Oncorhynchus mykiss*; Peñafel-Ricaurte et al. [2023](#page-66-0)). Genetic characterization of ranaviruses is scarce (Fox et al. [2006;](#page-62-0) Mazzoni et al. [2009;](#page-65-0) Soto-Azat et al. [2016](#page-67-0); Candido et al. [2019;](#page-61-0) Peñafel-Ricaurte et al. [2023\)](#page-66-0) but is highly needed to assess the risk of *Ranavirus* to local populations. In contrast, *Bd* has been more intensively studied in

the region (James et al. [2015;](#page-63-0) Azat et al. [2022\)](#page-60-0). However, some areas remain understudied; thus, future efforts to understand the impacts of *Bd* in native amphibians should focus on areas such as the Amazon basin, Caribbean lowlands, Guianas, Orinoco Llanos, Chaco, and Patagonia (James et al. [2015;](#page-63-0) Becker et al. [2016;](#page-60-0) Azat et al. [2022;](#page-60-0) Fig. [3.2\)](#page-52-0). As limited information is available on the genetic variation of *Bd* and *Ranavirus* in the region and with molecular methods becoming more widely accessible, future efforts should focus on pathogen genotyping (Azat 2021). The introduction or spread of novel *Bd* or *Ranavirus* genotypes, as well as the emergence of hybrids that may have higher pathogenicity or transmissibility, is a matter that should be of high concern (Rosenblum et al. [2013](#page-66-0); Jenkinson et al. [2016;](#page-64-0) Greenspan et al. [2018](#page-63-0); Peñafel-Ricaurte et al. [2023](#page-66-0)). Genetic studies will allow us to fll gaps in evolutionary history, epidemiology, and impacts of *Bd* and *Ranavirus* on amphibian populations, which is needed to design timely mitigation strategies (Azat 2021). Finally, although *Bsal* appears to be restricted to Asia and Europe, further dispersal with potential impacts on neotropical amphibian diversity is possible (García-Rodríguez et al. [2022\)](#page-62-0). Strict biosecurity measures and active pathogen surveillance remain the most recommended tools to prevent further amphibian biodiversity loss in this megadiverse region.

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Chapter 4 Disease Ecology in Terrestrial Reptiles from Latin America: A Call for Research

Randall Arguedas and Juan Carlos Troiano

4.1 Introduction

Global changes are driving many species to extinction, and most of the currently recognized threats include human intervention and activities, such as habitat conversion, fragmentation, destruction, climate change, overexploitation, pollution, invasive species, and diseases (Tingley et al. [2016\)](#page-99-0). Reptiles represent a signifcant proportion of terrestrial biodiversity, with reported and suspected species declining globally, and approximately 19% of the world's reptiles are considered threatened (Hellebuyck et al. [2017](#page-95-0)). To date, only 45% of the approximately 10,400 recognized reptile species have been assessed by the IUCN, and those species that have been assigned a threatened Red List status have been primarily listed based on geographical range size due to insuffcient data on population trends (Tingley et al. [2016\)](#page-99-0).

Furthermore, much more effort has been made in studies of threatened reptiles on marine turtles than in terrestrial reptiles (Trimble and Van Aarde [2010\)](#page-99-0); at the same time, vast information has been published on one of the most important diseases in marine turtles, the herpesvirus that causes fbropapillomatosis. In the case of terrestrial reptiles, only a few viral and mycotic infectious diseases have been documented as possible conservation threats to reptile populations, but all of them have been reported for North America and Europe; thus, the role of infectious diseases as threats to terrestrial reptile populations is less understood (Hellebuyck et al. [2017\)](#page-95-0). In addition, disease ecology studies probably have more information on pathogens that affect human or domestic species when reptiles act as intermediate

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hosts for vector-borne diseases. For these reasons, this chapter is focused on Latin American terrestrial reptiles.

One of the widely recognized threats for reptiles that has implications for how they respond to diseases is climate change and global warming. Ectotherm physiological performance, including immunological status, is directly affected by temperature. This means that global warming may directly affect how reptiles can deal with diseases in their environment or with introduced pathogens due to human activities. For example, it is known that lizards cannot adapt, fast enough to follow climate change because of restraints coming from their genetic arrangement for thermal preferences (Sinervo et al. [2010\)](#page-99-0), which suggests that this could be the same in terms of immune system performance and adaptation to parasites.

On the other hand, reptiles from Latin America are suffering from trade to regions such as North America and Europe, but many exotic reptiles are also incoming as pets (legally or illegally), enhancing chances of introducing known diseases that occur in captivity.

This chapter is aimed at covering known diseases affecting wild reptile populations, diseases that have been found in captivity in Latin America, and robust insight into reptile immunology and the effects of climate change on the immune system. Finally, the authors state the need for research on disease ecology and give an insight into what health studies have been done in this region.

4.2 Latin American Reptile Biodiversity and Conservation

Latin America (including the Caribbean) houses enormous biodiversity and has six of the world's most biodiverse countries: Brazil, Colombia, Ecuador, Mexico, Peru, and Venezuela. This region also harbors the most biodiverse habitat in the world, the Amazon Forest. It presents a very diverse ecosystem that reptiles have colonized, such as terrestrial habitats, including tropical forests, savannahs, grasslands, and xeric environments. Microhabitats include a variety of species, including aquatic and semiaquatic organisms, mainly turtles and crocodiles and also some squamates; terrestrial, semifossorial, and fossorial species; many arboreal lizards and snakes; and coastal species. Reptiles in Latin America are also found at almost all altitudes from sea level to the high Andean mountains, such as *Liolaemus* species, which can be found up to more than 5000 m.

On the other hand, reptiles from islands, such as the Caribbean and the Galapagos archipelago, represent a unique diversity and endemism that deserves the attention of researchers and conservationists.

Due to its vast habitats and ecosystems, Latin America has high reptile biodiversity and is one of the species richness hotspots of reptiles (Roll et al. [2017\)](#page-98-0). Continental and insular reptiles in America are found in three orders: Testudines, with approximately nine families; Crocodylia, with two families; Squamata, with the suborder Sauria, with approximately 26 families; and Serpentes, with

Fig. 4.1 Number of reptile species described in Latin America up to 2020, separated by regions in Mexico and Central America, the Caribbean, and South America

approximately ten families¹ (Pyron et al. [2013](#page-100-0); Vitt and Caldwell 2013). As of December 2020, 4457 reptile species have been described (Uetz [2021](#page-100-0)) (Fig. 4.1).

Nevertheless, there has been considerable loss of some of the habitats from the Latin American region. Habitat loss due to agriculture and pasture for livestock is the most important threat to biodiversity, and even though the rate of loss has decreased during the last decade, the total area transformed per year remains high (UNEP-WCMC [2016](#page-100-0)). This region as a whole presents a rising trend in all major pressures on biodiversity: land degradation and land use change; climate change; land-based pollution; unsustainable use of natural resources and invasive alien species. According to the IUCN Red List, there are 1993 reptile species (IUCN defnition for an endemic species: native to, and restricted to, a particular geographical region) and a relevant number included in different threatened categories (Fig. [4.2](#page-73-0)) (IUCN [2021\)](#page-95-0). Reptiles, particularly lizards and turtles, need to be better incorporated into conservation policies and decisions, and classic conservation focused strategies, such as tropical areas, should be extended to semiarid areas and islands (Roll et al. [2017\)](#page-98-0).

¹Taxonomic and phylogenetic classifcation will vary according to the author.

Fig. 4.2 The number of Latin American reptile species assessed by the International Union for Conservation of Nature (IUCN) in their Red List divided by the threatened categories: critically endangered (CR), endangered (EN), and vulnerable (VU), the number of reptile species of which populations are reported as decreasing and the species considered possibly extinct (PE) and extinct (EX)

4.3 An Overview of Global Knowledge of Continental Reptile Disease Ecology: Ranavirus, Herpesvirus and *Mycoplasma***, and Fungal Diseases**

Continental and insular reptile parasite ecology is probably the least studied from all vertebrates around the world, and when compared to the other tetrapod taxa, there are scarce diseases that have been demonstrated to cause high morbidity and mortality in this paraphyletic group. This section describes important diseases that have been implicated in wild terrestrial reptile disease events.

4.3.1 Ranavirus

Ranavirus is an iridovirus that affects amphibians and fsh but has been implicated in tortoise mortalities in the United States, specifcally from Frog Virus 3 (FV3) (Price et al. [2017](#page-98-0)). This virus is a signifcant pathogen of chelonians, and it has been suggested that amphibians might serve as a source of infection for chelonians, or perhaps this virus exists at a low level of infection in chelonian populations that occasionally is induced into lethal expression by other stressors (Johnson et al. [2007\)](#page-96-0). Fish and turtles can act as reservoirs for ranaviruses when amphibians are absent, and this interclass transmission can contribute to the persistence of these pathogens (Gray et al. [2009\)](#page-95-0). In chelonians, ranavirus infections appear to be the result of a spillover from amphibian mortalities (Belzer and Seibert [2011](#page-94-0)).

Serological and PCR data in chelonian research in North America refect a low incidence of infection, which is short-lived due to high virulence (Johnson et al. [2010;](#page-96-0) Allender et al. [2013](#page-93-0)) or because they are rapidly cleared from the host (Brunner et al. [2015](#page-94-0)).

Free-ranging gopher tortoise (*Gopherus polyphemus*), free-ranging eastern box turtle (*Terrapene carolina carolina*), and Florida box turtle (*Terrepene Carolina bauri*) mortalities have been reported from 2003 to 2005 in Georgia, Florida, and Pennsylvania (Johnson et al. [2007](#page-96-0); Belzer and Seibert [2011\)](#page-94-0), and recently the snapping turtle (*Chelydra serpentina*), which also occurs through Latin America, was reported (McKenzie et al. [2019a](#page-97-0)).

Ranavirus infection has also been described in Squamata, in the case of the suborder Serpentes, it has been reported from two families, Pythonidae: *Morelia viridis* (Hyatt et al. [2002\)](#page-95-0) and *Python brongersmai* (Stöhr et al. [2015\)](#page-99-0); and Viperidae: *Bothrops moojeni* (Johnsrude et al. [1997\)](#page-96-0). In the case of the suborder Sauria, there are at least seven families: Agamidae: *Pogona vitticeps* (Stöhr et al. [2013\)](#page-99-0) and *Japalura splendida* (Behncke et al. [2013](#page-94-0)); Anguidae: *Dopasia gracilis* (Stöhr et al. [2013\)](#page-99-0); Dactyloidae: *Anolis sagrei* and *A. carolinensis* (Stöhr et al. [2013](#page-99-0)); Gekkonidae: *Uroplatus fmbriatus* (Marschang et al. [2005](#page-97-0)); Lacertidae: *Iberolacerta montícola* (Alves de Matos et al. [2011\)](#page-93-0); Phrynosomatidae: *Sceloporus undulatus* (Goodman et al. [2018](#page-95-0)); and Iguanidae: *Iguana iguana* (Stöhr et al. [2013](#page-99-0)). These are mainly from captive animals brought for veterinary treatments or animals shipped for trade, and the only reports that come from wild animals are in *I. monticola* and *S. undulatus*.

Lesions found in animals affected by Ranavirus usually include ulcerative conjunctivitis and palpebral edema, nasal discharge, stomatitis with necrotizing yellow caseous plaques, aural abscesses, and splenomegaly. Histopathology usually reveals inclusion bodies in endothelial cells, tongue and hematopoietic cells, multisystemic fbrino necrotizing vasculitis, and severe fbrinous splenic necrosis (Johnson et al. [2008;](#page-96-0) McKenzie et al. [2019a](#page-97-0)).

4.3.2 Herpesvirus and **Mycoplasma** *in Chelonians*

Mycoplasma agassizi and testudinid herpesvirus (TeHV) are important causes of upper respiratory tract disease (URTD) in tortoises and are usually seen as a complex. Both agents were detected in wild desert tortoises, which supports the evidence that both *M. agassizii* and TeHV2 are agents of URTD in wild desert tortoises (Jacobson et al. [1991](#page-95-0), [2012](#page-96-0); Braun et al. [2014](#page-94-0)).

Clinical signs of mycoplasmosis are often a purulent nasal discharge (Jacobson et al. [1995](#page-95-0)), with occluded nares, crusts on the beak, dried mucus on the oral cavity, and eroded nostrils. Ocular signs include blepharoedema, periocular crusts, ocular discharge, protrusion of the globe, and mucus. Herpesvirus signs include plaques or ulcers in the oral cavity (Jacobson et al. [2012](#page-96-0)). This disease complex has also been found in Europe in different tortoises (Lecis et al. [2011](#page-96-0); Kolesnik et al. [2017\)](#page-96-0).

Mycoplasmas found in wild tortoises have been reported since the 1990s (Jacobson et al. [1991,](#page-95-0) [1995;](#page-95-0) Homer et al. [1998\)](#page-95-0) in *Gopherus* species (desert tortoises) and have been implicated in disease. *Mycoplasma agassizii* in the desert tortoise *Gopherus agassizii* possibly contributes to declines in the abundance of this species in western North America observed in recent years (Brown et al. [1995](#page-94-0), [2004\)](#page-94-0). Another Mycoplasma, *M. testudineum*, has also been isolated from tortoises (Brown et al. [2004\)](#page-94-0), but in a study in the Mojave Desert with *Gopherus agassizi*, it was not detected in wild tortoises, in contrast to *M. agassizi*, which represented 75% prevalence (Braun et al. [2014\)](#page-94-0). In a study in Mexico, the authors could not fnd *Mycoplasma agassizi* or *M. testudineum* in *Gopherus morafkai* (Berry et al. [2015](#page-94-0)); this is the only study found in Latin America.

There are four known genetically distinct TeHV herpesviruses that can infect tortoises, referred to as TeHV1 through TeHV4. TeHV1, 2, and 3 have been found in individuals with severe stomatitis, glossitis, rhinitis, conjunctivitis, and hepatitis (Marschang [2019](#page-97-0)). In a study of *Gopherus* species, the survey revealed a 30.9% antibody prevalence for TeHV3 (Jacobson et al. [2012](#page-96-0)), but their fndings found molecular evidence of TeHV2 infection in wild desert tortoises, supporting evidence of cross-reactivity between TeHV2 and TeHV3.

Detection of herpesviruses has also been performed in the family Emydidae. Infection in these animals has ranged from detection in clinically healthy animals to stomatitis, papillomatous skin lesions, rhinitis, and sudden death (Marschang [2019\)](#page-97-0). The Emyididae family is one of the most distributed fresh turtle families in Latin America, and it represents a very important concern and research necessity.

4.3.3 Fungal Diseases

One of the most important diseases affecting wild terrestrial reptile populations is fungal disease, specifcally snake fungal disease (SFD). Molecular analyses have revealed a genetically complex and diverse group of reptile fungal diseases, including different genera, particularly *Nannizziopsis*, *Ophidiomyces*, and *Paranannizziopsis*. Infections from these pathogens have been previously reported in several reptile species, including crocodilians, lizards, snakes, and tuataras, with special effects on wild snakes due to *Ophidiomyces* (Sigler et al. [2013](#page-99-0); Woodburn et al. [2019\)](#page-100-0).

SFD is caused by the fungus *Ophidiomyces ophiodiicola* and is an emerging infectious disease of wild snakes in North America and Europe (Lorch et al. [2016;](#page-97-0) Franklinos et al. [2017\)](#page-95-0). Pathological effects initiate from an infected epidermis that becomes necrotic and thickened, producing yellow to brown crusts that are characteristic (Lorch et al. [2016\)](#page-97-0). These crusts may break off, resulting in erosion or ulceration. Within necrotic skin, the fungus proliferates, and lesions may gradually expand in size (Lorch et al. [2016](#page-97-0)). Wild snakes often show several distinct lesions on various parts of the body, head, or tail but frequently cause mild infections,

although severe morbidity and mortality with consequent population declines have also been observed in wild snakes, including in some threatened species snakes (Franklinos et al. [2017](#page-95-0)). To date, it is not known whether this fungus was an introduced pathogen or if it is a native pathogen in North America.

Interestingly, McKenzie et al. [\(2019b](#page-97-0)) found that clinical signs were a strong predictor of *O. ophiicola* presence in spring and summer seasons in Kentucky, USA, and that snakes occupying terrestrial environments had a lower overall probability of testing positive when compared to snakes occupying aquatic environments. This study indicates that both the clinical signs and the prevalence of the disease vary seasonally and are based on the habitat preferences of the host.

Moreover, Allender et al. ([2015\)](#page-93-0) observed activity at a range of temperatures and pH values, in addition to its ability to utilize complex carbon, nitrogen, and sulfur resources. These features allow this fungus to be present in a wide range of ecosystems, and consequently many snakes may be exposed to it.

Reported wild snake species in North America include family Colubridae, i.e., *Coluber constrictor* (Guthrie et al. [2016;](#page-95-0) Lorch et al. [2016\)](#page-97-0), *Farancia abacura*, *Lampropeltis* spp. (Lorch et al. [2016\)](#page-97-0), *Nerodia* spp. (Guthrie et al. [2016;](#page-95-0) Lorch et al. [2016\)](#page-97-0), *Pantherophis* spp. (Lorch et al. [2016](#page-97-0)), *Thamnophis* spp. (Dolinski et al. [2014;](#page-95-0) Lorch et al. [2016](#page-97-0)), and *Virginia valeriae* (Lorch et al. [2016](#page-97-0)), as well as family Viperidae, i.e., *Sistrurus* spp. (Allender et al. [2011a](#page-93-0), [b](#page-93-0); Lorch et al. [2016\)](#page-97-0), *Crotalus horridus* (Smith et al. [2013;](#page-99-0) McBride et al. [2015](#page-97-0); Lorch et al. [2016](#page-97-0)), and *Agkistrodon contortix* (Lorch et al. [2016](#page-97-0)). As seen, this fungus occurs on some snake genera that occur along Latin America, and due to the range of environmental conditions that the fungus tolerates, there is a strong possibility that this agent may be present.

In addition, there is a newly described fungal disease in freshwater turtles, an Onygenalean fungus associated with shell lesions (Woodburn et al. [2019\)](#page-100-0). Based on morphological characteristics and the results of phylogenetic analysis, a new genus and species, *Emydomyces testavorans*, was proposed for these fungal pathogens isolated from chelonian carapace lesions (Woodburn et al. [2019](#page-100-0)). This disease and its effects on wild freshwater turtle populations are not yet known, but further research must be performed.

4.4 The Continuous Hazard of Captive Diseases Toward Wild Reptile Populations in Latin America: Paramyxovirus, Reptarenavirus, *Nanizziopsis***, and** *Paranniziopsis*

Despite all the conservation and welfare issues due to illegal reptile extractions, there is a very important market for exotic and native reptiles kept as pets and in captive institutions. Many of these individuals have the potential to be resealed or escape to the wild, where they can become invasive or could potentially transmit diseases that are already reported in captive individuals, becoming a tangible epidemiological threat to wild reptiles. To date, there is very scarce information about common fatal diseases found in captive specimens affecting wild individuals, but due to climate change, there can be adaptations in those pathogens as well as immunologic impairment in reptiles that can lead to eventual outbreaks of known diseases.

Latin America has been identifed as a source for legal and illegal reptile trade, mainly to the United States and Europe. Bush et al. ([2014\)](#page-94-0) found that of live individuals, 59.2% are wild sourced, and the only type of item predominantly sourced from captive operations is live eggs (13.1% wild sourced). In the case of reptiles (485 species), this group was the second most species-rich class reported in trade. Crocodylia is dominated by nonwild sources (90.6% nonwild), whereas Sauria (68.6% wild), Serpentes (46.6% wild), and Testudines (52.3% wild) individuals are more frequently wild sourced.

In contrast, many exotic reptile species are traded legally and illegally to Latin America, mainly for the pet market. Which lethal diseases have been found in captive reptiles in Latin America? There are several infectious diseases that have been implicated in reptile collection mortalities around the world. For most of them, the original source is not known, and at the same time, there is a large gap in information on how these pathogens may affect wild populations.

4.4.1 Paramyxovirus (Ferlavirus)

Reptile paramyxoviruses, known as ferlaviruses, have four genomic groups known as A, B, C, and tortoise (Marschang et al. [2009;](#page-97-0) La'Toya and Wellehan [2013;](#page-96-0) Kolesnik et al. [2019](#page-96-0)). This virus has been diagnosed in a wide variety of squamates, including reports in more than 25 species, more than 15 genera, and 4 different snake families (Viperidae, Colubridae, Boidae, and Pythonidae), as well as in saurians, such as caiman lizards (*Dracaena guianensis*) (Jacobson et al. [2001\)](#page-96-0) and bearded dragons (*Pogona vitticeps*) (Abbas et al. [2012](#page-93-0)), *Xenosaurus* and *Abronia* (Marschang et al. [2002\)](#page-97-0).

Infected animals present with severe respiratory, neurological, and immunosuppressive diseases (La'Toya and Wellehan [2013;](#page-96-0) Kolesnik et al. [2019](#page-96-0)). Ferlavirus can be transmitted by direct contact through oral, nasal, or cloacal discharges (Solis et al. [2017](#page-99-0)). All paramyxoviruses in snakes and other reptiles are associated with high mortality rates in captivity, and many reports have shown that ferlaviruses may cross species barriers (La'Toya and Wellehan [2013](#page-96-0); Kolesnik et al. [2019\)](#page-96-0). Since its initial discovery, ferlavirus infections in snakes have been implicated in disease outbreaks (Kolesnik et al. [2019](#page-96-0)), but they have not yet been implicated in wild events.

Ferlavirus has been reported in several wild and captive species in Latin America, either with antibody detection or PCR for native species (Table [4.1\)](#page-78-0).

	Species	Country	Origin	Reference
Sauria	Abronia graminea (Anguidae)	Mexico	Wild	Marschang et al. (2002)
	Xenosaurus grandis (Xenosauridae)	Mexico	Wild	Marschang et al. (2002)
	Xenosaurus platyceps (Xenosauridae)	Mexico	Wild	Marschang et al. (2002)
	Ctenosaura similis (Iguanidae)	Honduras	Wild	Gravendyck et al. (1998)
	Ctenosaura bakeri (Iguanidae)	Honduras	Wild	Gravendyck et al. (1998)
	Iguana iguana (Iguanidae)	Honduras	Wild	Gravendyck et al. (1998)
Serpentes	Boa constrictor (Boidae)	Brazil	Captive	De Oliveira et al. (2019)
	Atropoides mexicanus (Viperidae)	Costa Rica	Captive	Solis et al. (2017)
	Atropoides picadoi (Viperidae)	Costa Rica	Captive	Solis et al. (2017)
	Bothriechis lateralis (Viperidae)	Costa Rica	Captive	Solis et al. (2017)
	Bothriechis schlegelli (Viperidae)	Costa Rica	Captive	Solis et al. (2017)
	Bothrops alternatus (Viperidae)	Brazil	Captive	Kolesnikovas et al. (2006); Nogueira et al. (2002)
	Bothrops asper (Viperidae)	Costa Rica	Captive	Solis et al. (2017)
	Bothrops jararaca (Viperidae)	Brazil	Captive	De Oliveira et al. (2019)
	Bothrops jararacussu (Viperidae)	Brazil	Captive	Nogueira et al. (2002)
	Crotalus durissus terrificus (Viperidae)	Brazil	Captive	Nogueira et al. (2002; Paiva et al. (2016)
	Crotalus simus (Viperidae)	Costa Rica	Captive	Solis et al. (2017)

Table 4.1 Squamate species from Latin America that were positive for ferlavirus, country of origin, and reference

4.4.2 Reptareanavirus (Boid Inclusion Body Disease)

Boid inclusion body disease (BIBD) is a disease that mainly affects Boidae and Pythonidae (Keller et al. [2017](#page-96-0)). BIBD has been associated with infection and, more recently, with coinfection by various reptarenavirus species (family Arenaviridae) (Hetlzel et al. 2013; Keller et al. [2017\)](#page-96-0). BIBD has only been reported in captive snakes. The incubation period is not known, but transmission mechanisms are known to be vertical (Keller et al. [2017\)](#page-96-0) and are thought to be primarily via contact with infected animals or contaminated materials, and it has been hypothesized that

they may be transmitted by snake mites (La'Toya and Wellehan [2013\)](#page-96-0). This disease was frst described in the 1980s and 1990s, and one of the most important features was the presence of characteristic eosinophilic intracytoplasmic inclusions in neurons and epithelial cells of numerous tissues (Marschang [2020](#page-97-0)).

Clinically, BIBD is highly variable, particularly in boas, where affected animals can be free of clinical signs, die from secondary infections, or develop neurological signs, which are more pronounced in pythons (Keller et al. [2017](#page-96-0)). Central nervous system (CNS) signs are most often described (Fig. 4.3), but animals may develop anorexia, pneumonia, various skin lesions, mouth rot, and other problems (Marschang [2020](#page-97-0)).

The diagnosis of this disease can be performed by virus isolation, PCR, and light microscopy detection of IB in blood smears and liver biopsy specimens (Hetzel et al. [2013\)](#page-95-0). Histologically, BIBD is characterized by typical eosinophilic to amphophilic, amorphous, intracytoplasmic IBs that are ultrastructurally electron dense and consist primarily of a protein that is found in most cell types (Hetzel et al. [2013;](#page-95-0) Keller et al. [2017](#page-96-0)).

Most snakes are infected with more than one virus strain, and these strains are undergoing recombination and reassortment. This situation was probably caused by mixing infected snakes in captivity with wild snakes, importation into several countries, and mixing of animals for breeding purposes (Stenglein et al. [2015](#page-99-0); Marschang [2020\)](#page-97-0). This may be an example of an anthropogenic cause of pathogen disruption (Stenglein et al. [2015](#page-99-0); Marschang [2020\)](#page-97-0).

There are only a few published reports of Reptarenavirus from Latin American countries, including *Boa constrictor* in Brazil (Argenta et al. [2020\)](#page-94-0) and Costa Rica (Hetzel et al. [2013\)](#page-95-0).

Fig. 4.3 *Boa constrictor* showing neurological signs and testing positive for BIBD after viral isolation. (Photo courtesy of Dr. Randall Arguedas)

4.4.3 **Nannizziopsis** *and* **Parannizziopsis** *(CANV Complex)*

The fungus *Chysosporium* anamorph *Nannizziopsis*, known as the CANV complex, was assigned to 16 species, either within *Nannizziopsis* or within the genera *Paranannizziopsis* and *Ophidiomyces*, and 14 of these species were newly described *Nannizziopsis*, which includes nine species associated with chamaeleonid, gekkonid, cordylid, teiid, agamid, and iguanid lizards and crocodiles. *Paranannizziopsis* includes four species that infect squamates and tuataras (Paré and Sigler [2016](#page-98-0)).

These fungi are known to be the primary etiologic agent of a deep granulomatous dermatomycosis commonly referred to as "yellow fungus disease", which initially appears as patchy yellowing of the skin that then turns dark and becomes necrotic and thickened. The crusts may come off, exposing the dermis, and the infection usually progresses to granulomatous infammation of the deeper skin layers and is often lethal (Paré and Sigler [2016](#page-98-0)). Histopathologic fndings include multifocal, chronic, ulcerative, and nodular pyogranulomatous dermatitis, with countless intralesional septate hyphae and arthroconidia (Le Donne et al. [2016\)](#page-96-0).

These fungi have been reported widely in the literature from North America and Europe in captive individuals, and they have not been reported in the wild. For Latin America, there is only one report found at the moment of writing this chapter on *Iguana iguana* from Argentina, where the agent was classifed as *Chysosporium* spp. (Delgado et al. [2017](#page-95-0)) (Fig. [4.4](#page-81-0)).

4.4.4 **Devrisea agamarum** *as an Example*

Devrisea agamarum is a bacterial disease reported in captive lizard collections characterized by severe dermatitis or granulomas that can sometimes result in septicemia and death, mainly in *Uromastyx* and other agamid species. Persistence of the disease within lizard collections is largely promoted by the presence of asymptomatic carriers (part of the oral microbiota) and long-term environmental survival leading to high morbidity and mortality. The presence of *D. agamarum* has been documented in healthy as well as clinically infected nondesert dwelling lizards belonging to the family Agamidae and the superfamily Iguanidae (La'Toya and Wellehan, [2013](#page-96-0)).

This bacterium has been reported to cause severe skin disease in free-ranging lesser antillean iguanas (*Iguana delicatissima*) on the French Caribbean island of Saint Barthélemy, where the authors identifed *Devriesea agamarum* as the causative agent. The iguanas presented abscesses and infection in the oral cavity and cloaca. The presence of this bacterium was also demonstrated in healthy lizards (anoles) coinhabiting the island. All isolates from the iguanas corresponded to a single AFLP genotype that until now has exclusively been associated with infections in lizard species in captivity (Hellebuyck et al. [2017\)](#page-95-0).

Fig. 4.4 (**a**) Green iguana (*Iguana iguana*) with skin lesions caused by *Chysosporium* spp. (**b**) Histological appearance of the skin of a green iguana (*I. iguana*) with *Chysosporium* spp. (HandE 40×). (Photo courtesy: Dr. Juan Carlos Troiano)

4.5 Reptiles as Disease Intermediate Hosts: Equine Encephalitis Virus and West Nile Virus

Reptiles have been implicated in several zoonotic vector-borne diseases, including *Leishmania* and *Trypanosoma*, *Anaplasma*, *Borrelia*, *Coxiella*, *Ehrlichia*, and *Rickettsia* (Mendoza-Roldan et al. [2021](#page-97-0)). Reptiles are also important reservoirs for arboviruses since they have low specifcity for mosquitoes and fies that affect humans and other vertebrates (Mendoza-Roldan et al. [2021\)](#page-97-0).

Viruses include Alphaviruses (EEV, family Togaviridae), West Nile Virus (Flaviviridae), and more recently, Chikungunya virus (Togaviridae) (Bosco-Lauth et al. [2018\)](#page-94-0).

4.5.1 West Nile Virus (WNV)

WNV is a favivirus with zoonotic implications and is the cause of a serious and lethal neurodegenerative disease in humans, horses, birds, and several wildlife species (Marschang [2011\)](#page-97-0). This virus has been extensively investigated in natural hosts and various animal models, such as rodents, lagomorphs, birds, and reptiles (Sbrana et al. [2005;](#page-98-0) Ariel [2011](#page-94-0)). Mosquitoes from the genus *Culex* are the most important vector, but some other forms of transmission, including ingestion, aerosol, and direct contact, have been reported under experimental conditions (Sbrana et al. [2005\)](#page-98-0). In humans and recently in breeding alligators and crocodiles, the intrauterine/oviductal route was described (Jacobson et al. [2005;](#page-96-0) Colpitts et al. [2012](#page-94-0)).

Despite the amount of generated information, its pathogenesis is still controversial, and many aspects remain to be discovered. Increasing globalization and climate change are important predisposing factors in the appearance and reappearance of the virus and disease (Kilpatrick [2011\)](#page-96-0). Briefy, the life cycle of WNV involves several virus reservoirs (mainly birds without signs of clinical disease), mosquitoes as vectors, and incidental hosts. On the other hand, the fnal hosts are generally dead-end hosts, with the exception of crocodiles, which, unlike other fnal hosts, also amplify the virus (Habarugira et al. [2020](#page-95-0)). After ingestion of blood, the virus reaches the gut of the mosquito, where it is amplifed and spreads to the salivary glands before infecting the fnal host during subsequent blood ingestion by the mosquito (Colpitts et al. [2012\)](#page-94-0). Although the *Culex pipiens* mosquito is the main intermediary host, other species of mosquitoes, such as *Culex interrogator* and *C. nigripalpus,* have transmitted the virus in Mexico and other Latin American countries (Farfan-Ale et al. [2006\)](#page-95-0). The role of other blood-sucking arthropods should also be highlighted, i.e., hard ticks (*Hyalomma marginatum* and *Rhipicephalus sanguineus*), soft ticks (*Ornithodoros maritimus* and *Argas hermanni*), bloodsucking bugs (*Oeciacus hirundinis*), and bird ticks (*Ornithonyssus sylviarum*) (Sardelis and Turell [2001](#page-98-0)).

The virus infects cells by means of cellular receptor-mediated endocytosis mechanisms as well as other mechanisms, such as dendritic cell-specifc adhesive molecules (Davis et al. [2006](#page-94-0)). Once the virus penetrates the host cell endosomal vesicles, the E viral protein acidifes and triggers the conformational changes necessary for viral and cell membrane fusion, and viral RNA is released into the host cell cytoplasm to initiate replication. After replication in the cytoplasm of the infected cell, the new virus particles acquire a lipid envelope (Davis et al. [2006](#page-94-0)).

The virus has complex ecoepidemiological characteristics, where birds act as reservoirs and hosts, but the role of reptiles, especially in wild populations, is still poorly understood. WNV infection in terrestrial and aquatic reptiles is rare, and except for a few epidemiological studies, most of the available reports are of experimental infections. A sero-epidemiological study in Mexico found a prevalence of the virus of 41% in natural populations of *Crocodylus moreletii* compared to 30% in captive populations (Machain-Williams et al. [2013\)](#page-97-0). Another study reported a prevalence of 86% in crocodiles raised in Mexico (Farfan-Ale et al. [2006\)](#page-95-0). In Israel, Steinman et al. ([2003\)](#page-99-0) reported a prevalence as high as 70% in Nile crocodiles (*C. niloticus*). All of these reports were based on the detection of clinically healthy crocodiles. The frst clinical illness due to WNV infection in crocodile species was reported in captive American alligators (*Alligator mississippiensis*), and a severe outbreak between 2001 and 2003 resulted in the death of approximately 2000

alligators (Miller et al. [2003\)](#page-97-0). Clinical signs included anorexia, weakness, swimming in circles, bloody diarrhea, and scoliosis. Pathological lesions, including pulmonary congestion, hemorrhagic intestines and trachea, and hydropericardium, were found in *Crocodylus niloticus* deaths in Zambia (Simulundu et al. [2020\)](#page-99-0). Other studies suggest that both American alligators and saltwater crocodiles (*C. porosus*) are also amplifers of the virus (Klenk et al. [2004\)](#page-96-0).

Cutaneous lesions were found in crocodiles; they appeared 4 or 5 weeks after the acute infection. Histologically, the lesions are a cutaneous lymphocytic proliferative syndrome. These lesions are also known as "pix" ("Pix" is defned as areas of approximately 1–2 mm in crocodile skin, usually detected during skin processing). These lesions are commonly found in the ventral region extending from the ventral caudal part of the neck to the ventral pelvis (Nevarez [2007\)](#page-98-0).

In garter snake (*Thamnophis sirtalis sirtalis*) experimental infections, approximately 56% of the animals developed viremia and seroconversion, which makes these amplifying host snakes competent. On the other hand, experimentally infected snakes showed a variety of clinical forms, from sudden death after an asymptomatic infection to clinical signs such as paralysis, weakness, loss of appetite, and cachexia. The lethargic state of these diseased animals did not allow them to survive natural predation, thus potentially becoming the source of infection for their predators. At necropsy, the virus was isolated from various organs, such as the liver, kidney, heart, intestine, skin, and skeletal muscle. The main lesions observed were histiocytic hepatitis and multifocal splenic infammation. The presence of the viral antigen was also detected in the cytoplasm of macrophages of the liver and spleen (Steinman et al. [2006](#page-99-0)). Free-ranging *T. s. sirtalis* tested positive with PCR, which confrms the presence of the virus in wild populations (Dahlin et al. [2016](#page-94-0)).

It is speculated that reptiles develop a slower humoral immune response to WNV infection due to their slow metabolism and lack of lymph nodes. This implies that it takes several weeks or even months for the antibodies to reach a peak (Habarugira et al. [2020\)](#page-95-0).

WNV is an arbovirus with a complex life cycle that requires an interaction between vectors, vertebrates acting as reservoirs, and the fnal host, all of which interact in the viral life cycle. Climatic conditions, particularly environmental factors (temperature and rain), are predominant factors in the mosquito life cycle and the WNV amplifcation and dynamics of infection (Sardelis and Turell [2001](#page-98-0)). The possibility that WNV can be introduced in nonendemic areas is a close scenario since mosquitoes are transported by different means, including bird migration and trade, other vertebrates, and human movements. On the other hand, the alteration of the natural habitat of virus reservoirs by anthropogenic factors such as land use, urbanization, and agriculture also contributes to dispersal to and from natural populations.

4.5.2 Equine Encephalitis Virus (EEV)

Reptiles are well-known reservoirs for Alphaviruses, and experimental and wild species surveillance has been performed. Experimental inoculation with EEV resulted in prolonged viremia, as reported in garter snakes (Thomas et al. [1958\)](#page-99-0) and Texas tortoises (*Gopherus berlandieri*) following inoculation with the virus (Bowen [1977\)](#page-94-0). It has been found that the viral titers achieved in snakes were lower than those observed in birds but for a longer time and that snakes can even remain viremic during hibernation (Thomas et al. [1980](#page-99-0); White et al. [2011\)](#page-100-0).

Anole lizards (*Anolis carolinensis*) also show susceptibility to viral infection but with low viremia. In addition, other reptile species are mentioned as species that can harbor EEV antibodies (*Coluber constrictor*, *Sternotherus odoratus*, *Trachemys scripta*, *Kinosternon subrubrum*, and *Storeria dekayi*) (Graham et al. [2012](#page-95-0)). These differences could be due to many factors, including capture site, differences in the total number of sampled specimens, and differences in the assay-specifc sensitivity. However, a seropositive fnding is only indicative of virus exposure and not necessarily a latent infection (Graham et al. [2012](#page-95-0)).

In Latin America, outbreaks of Venezuelan equine encephalitis (VEE) in humans and equines in Venezuela suggested a sylvatic focus of an epizootic subtype of VEE virus that includes reptiles (Walder et al. [1984](#page-100-0)).

Mosquito species are also a fundamental component in viral propagation. At this point, some researchers mention that *Culex peccator* and *C. erraticus* feed primordially in ectothermic hosts and prefer snakes before amphibians (Graham et al. [2012;](#page-95-0) Klenk et al. [2004\)](#page-96-0). It is known that the feeding strategies of the different mosquito species act as a limitation to exposure and that ectothermic animals can be overlooked reservoirs and perhaps an important winter reservoir for EEV (Kilpatrick [2011](#page-96-0)).

4.6 An Ecological View of the Reptile Immune System Facing Climate Change and Its Implications for Disease Vulnerability

4.6.1 Receptor Immune System

All multicellular organisms have some type of innate immunity, from simple antimicrobial peptides to complex phagocytic cells, and knowledge of the immune response and the immunological dynamics that occur in reptiles is important, especially in regard to diseases caused by micro- and macroparasites.

It is necessary to highlight the importance of temperature infuence on the physiological processes and immune responses of reptiles. In addition, the animal's health status (preexisting parasite infection and nutritional status), population

dynamics, seasonal infuence (linked to environmental temperature), and type of antigen must be considered.

The reptile immune system is organized in a similar way as other vertebrates, lymphoid organs, and tissues; innate immunity comprises cells and molecules (e.g., lysozymes or cytokines) and adaptive immunity with antibodies and lymphocytes (Fig. 4.5).

The most important lymphoid tissues that intervene in the reptile immune response are the thymus, spleen, and lymphoid tissues associated with the intestinal submucosa and bone marrow (Sherif and El Ridi [1992](#page-98-0); Buchmann [2014](#page-94-0)).

The thymus is morphologically similar to that of higher vertebrates (avian and mammalian species). It is slightly differentiated by the absence of Hassal corpuscles and by the presence of a large number of myoid cells in adult reptiles. This organ presents, with the exception of members of the order Sphenodontia (tuataras), important characteristics such as the existence of periodic involution phases according to temperature, which generates variation in the reptilian immune response (Muñoz et al. [2000](#page-98-0); Origgi [2007\)](#page-98-0). The spleen is also microscopically similar to that observed in higher vertebrates and presents seasonal variations. The white pulp presents lymphocyte-bordering arteriole areas, and the red pulp is separated from the previous pulp by reticular fat cells (Muñoz et al. [2000](#page-98-0)).

Reptiles do not present lymph nodes; they are called lymphoid accumulations and are associated with the intestinal submucosa in crocodiles, turtles, lizards, and snakes (Riera Romo et al. [2016](#page-98-0)). Some authors correlate these accumulations with intestinal Peyer's patches (Origgi [2007\)](#page-98-0). These accumulations are associated with the *lamina propria* and the submucosa of the digestive tract in the ileus, ileocecal junction, colon, and cloaca (GALT). The predominant cells in these structures are

Fig. 4.5 Schematic summary of the reptile immune system

lymphocytes and plasma cells. Outside of the location in the digestive tract, similar structures are found in various organs, such as the lungs (snakes and turtles), kidneys (turtles), pancreas (lizards), axillary region (turtles and lizards), tonsils (snakes), urinary bladder wall (turtles), and testes (lizards) (Origgi [2007\)](#page-98-0).

The innate immune system comprises a variety of molecules and cells that act as the frst barrier against infection. Some of these molecules are antimicrobial peptides (lysozymes), the complement system, and nonspecifc phagocytic cells (Zimmerman et al. [2010](#page-100-0)).

Lysozymes are enzymes that promote bacterial lysis by hydrolysis of their cell wall and have been isolated from Asian aquatic turtles of *Tryonix*, *Pelodiscus*, and *Amyda* species. Additionally, antimicrobial proteins similar to defensins with a cysteine-rich domain and six disulfuric bridges, the β-defensins, have been described. Peptides or substances such as hydrophobic and anionic pelovaterin with bactericidal action on Gram-negative bacteria have been isolated from the eggs of some species of marine turtles (Van Hoek [2014\)](#page-100-0).

Other peptides isolated from the different tissues of reptiles are cathelicidins, linear proteins without disulfde bridges such as cathelicidin-BF isolated from elapid *Bungarus fasciatus* venom, and an anionic protein isolated from *Crocodylus siamensis* with antimicrobial activity against strains of *Salmonella*, *Klebsiella*, and *Pseudomonas* (Van Hoek [2014](#page-100-0)).

The complement system is also part of innate immunity, and in reptiles, the activity of the classical pathway and the alternative pathway that produces opsonization and bacterial lysis has been demonstrated (Buchmann [2014](#page-94-0)).

Nonspecifc leukocyte cells that are involved in phagocytosis phenomena include macrophages, monocytes, heterophiles, basophils, eosinophils, and even thrombocytes (Stacy et al. [2011\)](#page-99-0). An important function of the innate immune system is the response to infections via the infammatory response. It is known that there is an increase in total leukocyte counts during higher temperature seasons (i.e., summer), which highlights the importance of thermoregulation in reptile immunity (Troiano et al. [2008\)](#page-100-0).

The cellular response of reptiles is different from that of mammals; extracellular pathogens induce the formation of granulomas, with accumulation of degranulated heterophiles and subsequent necrosis, while intracellular pathogens form histiocytic granulomas (Origgi [2007\)](#page-98-0).

The infammatory response is driven by a series of cytokines and interleukins, including tumor necrosis factor α, interleukin-6, and interleukin-1. Another different class of cytokines is interferons, which are known for their interference in viral replication and have been sequenced in terrestrial turtle species (Montali [1988;](#page-98-0) Terio [2004](#page-99-0)).

Adaptive immunity includes immunity mediated by T lymphocytes, which regulate the production of antibodies. These cells are found in all reptiles and are capable of differentiating into two cell types, the Tc (or cytotoxic) lymphocyte and the Th (helper) lymphocyte, responsible for the regulation of the immune response. The processes of cellular immunity in reptiles are infuenced by seasonal cycle, age, sex, and the presence of environmental pollutants such as chlorinated compounds and mercury (Riera Romo et al. [2016](#page-98-0)).

The presence of the major histocompatibility antigen has also been described, which is highly dependent on temperature and health, especially nutrition. In terrestrial turtles, it has been reported that allograft rejection occurs between 20 and 40 days with temperatures between 20 and 25 $^{\circ}$ C, and at a temperature of 10 $^{\circ}$ C, the response is null (Glinski and Buczek [1999](#page-95-0)).

The cell-mediated immunological response is similar to that found in mammalian species. Examples of these phenomena are the presence of a delayed skin reaction of hypersensitivity to tuberculin and alloantigens, rejection of allografts and xenografts, and phagocytosis (Glinski and Buczek [1999](#page-95-0)). As occurs with mammals, the immune-mediated response by cells can be measured by in vitro cell proliferation assays using whole blood or peripheral blood mononuclear cells cultured with phytohemagglutinin, concanavalin A, or soluble egg lysozyme (Work et al. [2000\)](#page-100-0). In saurians, cases of graft rejection are mentioned within 2 months at a temperature of 24 °C and 245 days at 20 °C, where encapsulated cells such as lymphocytes, monocytes, macrophages, and plasma cells are found in the reactive area.

The humoral immune response is regulated by B lymphocytes and is also highly infuenced by environmental temperature. In addition, the antigen concentration, route of administration, type of antigen, and adjuvant must be considered. Antibody molecules are composed of two identical heavy chains and two identical light chains linked by sulfur bridges. Both the heavy chain and the light chain contain a constant region and a variable region. Together, the variable regions of both chains form the antigen-binding site. The constant region determines the immunoglobulin isotype, antibodies are divided into classes based on the heavy chain isotype, and each class has different functions. Reptiles mainly produce two classes of immunoglobulins, IgM and IgY. The frst is produced in response to Gram-negative bacteria and is a lytic antibody with a half-life of 10 days, while IgY has a shorter life span, is produced in large quantities in response to infections, and is transmitted from the mother to the embryo through the egg yolk. IgY is often considered the functional equivalent of mammalian IgG and is expressed in the liver and spleen (Pettinello and Dooley [2014\)](#page-98-0).

In chelonians, a very rapid and very high primary and secondary response is found in summer and a slower and lower response is found in winter. For example, at 25–27 °C, an antibody response occurs at 27–30 days, while at 10 °C, it is suppressed. In *Sphenodon* spp., antibodies against *Salmonella* antigens are found in 60–80 days at a temperature of 20 °C. It is very important to remember that the preferred optimum temperature for this animal is $10-12$ °C (Pettinello and Dooley [2014\)](#page-98-0).

In saurians exposed to equine encephalomyelitis virus, there is no immune response at 4 \degree C; at 20 \degree C, the response occurs in 50 days; at 30 \degree C, it takes only 20 days; and at 37 °C, the primary and secondary immune responses are produced more quickly. On the other hand, it is known that certain species of lizards of the genus *Egernia* do not produce an immune response at a temperature of 20 °C,

considering that this species has an optimal temperature of 36 °C (Wright and Schapiro [1973\)](#page-100-0).

In the case of snakes, a similar behavior was found in terms of the production of antibodies according to the ambient temperature. The higher the temperature is, the faster and higher the antibody titer, for example, against mammalian erythrocytes (Pettinello and Dooley [2014](#page-98-0)). In crocodilians, the maximum formation of antibodies occurs after 25 days at a temperature of 37 °C, while at 20 °C, the response is lower and has a lower titer (Origgi [2007](#page-98-0)).

4.6.2 Facing Climate Change and Its Implications for Disease Vulnerability

Organisms may exhibit different responses to global climate change. Given suffcient time and dispersal abilities, specy distributions may shift to more favorable thermal environments; another possibility is that organisms may adjust to the new local climate either by acclimation plasticity or adaptive evolutionary responses. However, there can also occur an undesirable response, which is a failure to address the new climatic conditions, leading to the extirpation of local populations and possibly the extinction of a given species (Piantoni et al. [2016](#page-98-0); Sinervo et al. [2018](#page-99-0)).

Due to their marked dependence on environmental factors (mainly temperature), reptiles are particularly vulnerable to thermal fuctuations that directly infuence their physiology and behavior, which affect their performance and ftness. For example, body temperature fuctuations in lizards affect several physiological and self-maintenance cornerstones, including their digestion, metabolism, growth reproduction, and susceptibility to diseases (Laspiur et al. [2021\)](#page-96-0). Among the most insidious results of climate change and its effect on reptile populations are some sublethal effects that are capable of indirectly causing an increase in morbidity and mortality rates due to diseases, which may lead to a gradual and progressive decline in natural reptile populations.

Immunological conditions are crucial to survival, as they directly infuence the physiology and health status of the animal. Extreme weather events such as foods and droughts cause prolonged glucocorticoid elevation (Martin et al. [2010](#page-97-0); Refsnider et al. [2015](#page-98-0)), and glucocorticoid elevation often correlates with depressed immune function (Millet et al. [2007\)](#page-98-0). In addition, shifts in the thermal environment demand increased time–energy allocation to thermoregulation, and food resources are limited. Ectotherms may have to decrease immune function to maintain a positive energy balance (McCoy et al. [2017](#page-97-0)).

Consequently, populations exposed to prolonged periods of environmental disturbance, such as higher temperatures and a higher frequency of extreme weather events associated with global warming (Solomon et al. [2007](#page-99-0)), are likely to experience physiological stress in the form of increased glucocorticoid levels and tend to have signifcantly higher levels of corticosterone than those living in more benign environments (Saad and El Ridi [1988;](#page-98-0) Munoz and la Fuente [2001;](#page-98-0) Martin et al. [2010\)](#page-97-0).

High glucocorticoid levels can lead to decreased immune function and can increase mortality in vulnerable populations (Jessop et al. [2013\)](#page-96-0). The effects on corticosterone dynamics and immune function impairment have been demonstrated in freshwater turtles (*Macroclemyys temmincki*) and were negatively correlated with bactericidal capacity in birds (Millet et al. [2007](#page-98-0)) and body condition in snakes (Moore et al. [2000](#page-98-0)).

The importance of environmental temperature in reptiles has been demonstrated in the role of incubation temperature and how these variables affect the immune function of soft-shell turtle *Pelodiscus sinensis* neonates. When this species was exposed to bacterial infections, the offspring that hatched at 24 °C had lower cumulative mortality (55%; therefore, greater immunocompetence) than those incubated at 28 or 32 °C (100%). Likewise, the incubation temperature had higher IgM, IgD, and CD3γ expression than their counterparts at the other two higher incubation temperatures. In contrast, the activity of enzymes related to immunity did not coincide with the difference between temperatures in immune function. Specifcally, enzyme activity, such as alkaline phosphatase, was higher at intermediate temperatures, but other enzymes, such as acid phosphatase or lysozymes, were not affected by incubation temperature. These results indicate that the reduced immunity induced by high development temperatures may increase the vulnerability of reptiles to outbreaks of diseases under global warming scenarios (Martin et al. [2010\)](#page-97-0).

In another study carried out in Argentina with wild populations of *Liolaemus sarmentoi*, it was analyzed how body temperature, speed to escape, locomotor resistance, and body condition can be affected by immune status by analyzing the leukocyte profles by estimating the percentages of cells such as heterophiles, eosinophils, basophils, lymphocytes, and monocytes. In particular, they studied how lizards' immune status induces a variation in thermoregulation capacity and a reduction in locomotor performance. The conclusions were that juveniles of *Liolaemus sarmentoi* with a high percentage of heterophils showed less locomotor resistance, and adult lizards and females with eggs did not show differences in locomotor performance in relation to their leukocyte profle (Duran et al. [2019](#page-95-0)).

This study represents a starting point in the eco-immunology of a wild lizard population of *Liolaemus* in the cold and temperate environments of Patagonia, where the southward movement in the geographical ranges of pathogen populations due to global warming represents a threat to the natural and wild populations. One of the most important conclusions of this experiment is that when reptiles assign physiological resources to immune processes, they can suffer a general decrease in their body condition (Refsnider et al. [2015\)](#page-98-0), which generally affects the performance and interactions of the individual with the environment (Duran et al. [2019](#page-95-0)).

Lizard populations with low body condition have also been studied, which may be limited in their ability to increase their temperature to counteract pathogens due to the energy costs of maintaining a high temperature. This is the case for juvenile green iguanas (*Iguana iguana*) and green anoles (*Anolis carolinensis*) with low energy reserves (poor body condition) that developed hypothermia as a defense strategy when they were experimentally infected with *Escherichia coli* lipopolysaccharide cell walls in order to conserve the energy reserves of the individual (Merchant et al. [2008;](#page-97-0) Voogdt et al. [2016\)](#page-100-0).

Infected or unhealthy states not only lead to a change in the blood cell profle (Zamora-Camacho et al. [2015\)](#page-100-0) but could also affect much of the general physiology of a given individual, as evidenced by studies with *Podarcis lilfordi* lizards, which exhibit fast speed and the best corporal condition when compared with similar populations showing high parasitemia by hemoparasites (Zamora-Camacho et al. [2015\)](#page-100-0). Another study with snake fungal disease in *Sistrurus miliaris* demonstrated that the severity of clinical signs varies seasonally and in relationship to seasonal variation in air temperature and the mean energetic status of the individuals (McCoy et al. [2017\)](#page-97-0).

In summary, the effect of environmental changes on the physiological ability of reptiles to deal with pathogens is an ongoing menace that exerts strong selection pressure on these groups. It is expected, according to the previous studies mentioned, that reptile populations could be affected by the cost of reduction in thermoregulation performance resulting in an impaired immunological and physical response to disease.

4.7 The Need for Terrestrial Reptile Disease Ecology Studies in Latin America: A Call for Research

There is an important account of literature reporting or describing macroparasites of Reptilia in Latin America, mainly Helminths, Arthropods, and Protozoans. This information is very valuable and helpful for understanding what exists in the wild but does not evaluate parasite ecology or pathogen–host dynamics.

Research that evaluates reptile health in a more integral way is also found for neotropical reptiles and provides better insight into the health status of a given population based on physiological parameters, which fnally show a picture of important ecological aspects such as the cellular immune system, morphology, and other physiological traits that can be affected when ecological disruption occurs. Health assessments are valuable when baseline data on normal health parameters are available when an apparently normal population is available (Arguedas et al. [2021\)](#page-94-0), especially when such assessments offer the only available data for a species (Innis [2014\)](#page-95-0). This information helps to identify potential effects of disease or other changing environmental conditions that would be diffcult to understand without knowledge of normal species-specifc variations in physiological variables (Smyth et al. [2014;](#page-99-0) Lewbart et al. [2015](#page-97-0)) and represents a way to understand how wild animals are impacted by and respond to these environmental stressors (Altizer et al. [2013\)](#page-93-0) and to monitor the health and resilience of wild populations (Stacy et al. [2011](#page-99-0); Maceda-Veiga et al. [2015](#page-97-0)).

There are several native species that have been included in health status studies in Latin America (Table 4.2). Many of them have restricted geographical distribution. Noticeably, most of the studies have been performed with saurians.

Although this kind of study is very valuable, there is a lack of research that evaluates wild species morbidity and mortality or studies that at least look for known diseases that affect reptiles at other latitudes, such as the ones explained above.

Table 4.2 Health assessment performed in the wild reptile population from Latin America, the country where the study was performed, the geographical distribution of the studied species and the reference

Order	Family	Species	Country of the study	Distribution	Reference
Squamata (Sauria)	Iguanidae	Amblyrhynchus cristatus	Ecuador	Galápagos	Lewbart et al. (2015)
	Iguanidae	Conolophus subcristatus	Ecuador	Galápagos	Lewbart et al. (2019)
	Iguanidae	Conolophus pallidus	Ecuador	Galápagos	Lewbart et al. (2019)
	Iguanidae	Cyclura cychlura inornata	Bahamas	Northern Exuma Cays	James et al. (2006)
	Iguanidae	Cyclura ricordii	Dominican Republic	Hispaniola Island	Maria et al. (2007)
	Iguanidae	Cyclura rileyi rileyi	Bahamas	San Salvador Island	Kishbaugh et al. (2020)
	Iguanidae	Cyclura lewisi	Cayman Islands	Grand Cayman	Rainwater et al. (2021)
	Corytophanidae	Basiliscus plumifrons	Costa Rica	Honduras, Nicaragua Costa Rica, Panama	Dallwig et al. (2011)
	Polychrotidae	Polychrus gutturosus	Costa Rica	Honduras, Nicaragua, Costa Rica, Panama, W Ecuador, E Colombia	Arguedas et al. (2021)
	Tropiduridae	Microlophus <i>bivittatus</i>	Ecuador	San Cristobal Island	Arguedas et al. (2018)
	Helodermatidae	Heloderma horridum	Mexico	Mexico	Espinosa- Avilés et al. (2008)
Crocodylidae	Alligatoridae	Caiman crocodilus	Peru	Mexico through Brazil and Peru	Carlos et al. (2017)

In addition, there is no information about known captive diseases affecting reptiles, i.e., it is not yet known whether the viruses (Paramyxovirus or Reptarenavirus) are circulating in the wild or if they are endemic (it has been suggested), which always bears the possibility of evolving into more pathogenic forms due to changes in the host immune system because of climate change or pollution.

4.7.1 Proposed Model for Latin American Reptile Disease Ecology

As a frst step of research, disease monitoring of reptiles that are legally imported or exported can be benefcial to understand what is happening with disease introductions. At the same time, a quest for known diseases in wild reptile populations that affect reptiles at other latitudes as well as diseases that affect captive reptiles must be made.

Since information on pathogen dynamics in the population will be assessed, it is important to generate experiments or models that examine the initial host–pathogen process in a particular environment or community and the way it will affect population dynamics.

As has been exposed, all the agents that have been implicated in reptiles infect multiple species that may coexist in a geographical area; thus, pathogens can be maintained in a population and in a community. Therefore, one important goal in the research process is to assess whether each infected species is infected via a dead-end process or is contributing to maintenance by ongoing transmission.

As explored above, immunity and population health status are very important in reptiles due to their susceptibility to environmental changes; hence, in a given reptile population, immune responses may focus on a specifc pathogen or may act on an entire community, and these interactions may be restricted to specifc immunological responses (i.e., cellular, humoral, or both). Thus, the type of immune response may modulate host population assembly and host–pathogen dynamics (Fig. [4.6](#page-93-0)).

4.8 Conclusions

It is evident that the vast and biodiverse region of Latin America lacks studies in reptile disease ecology and that only isolated efforts that can give a clear window of what is happening in wild reptile communities have to be addressed. This chapter places the need to conduct research on wild Latin American reptile disease ecology since climate change is affecting the capacity of reptiles to deal with and adapt to

Fig. 4.6 Proposed research model for disease ecology studies in Latin American wild reptile populations

rising disease threats that the scientifc community fails to understand; thus, remedial actions and conservation efforts cannot be proposed if a fatal event occurs in a threatened or endemic species.

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Chapter 5 Avian Disease Ecology in the Neotropics

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5.1 Introduction

Globally, birds are the best studied taxonomic group of vertebrates. Over 10,000 bird species have radiated and spread across the Earth's biomes (Avibase; [avibase.](http://avibase.bsc-eoc.org) [bsc-eoc.org](http://avibase.bsc-eoc.org)), and ornithologists have mapped and dated the diversifcation of all extant bird species worldwide (Jetz et al. [2012\)](#page-131-0). Through ecological studies, ornithologists have uncovered the high taxonomic and functional diversity of birds, their biogeographical patterns and the drivers of these patterns across different regions and scales (Rahbek and Graves [2001](#page-133-0); Herzog et al. [2005;](#page-131-0) Moura et al. [2016;](#page-133-0) Matuoka et al. [2020;](#page-132-0) Sol et al. [2020](#page-134-0)). However, parasite species affecting bird populations and communities have received fewer research efforts, hindering our capacity to manage and conserve bird species. Bird species play a critical role as hosts of a large array of parasite species that affect animal and human health (Dobson et al.

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[2008;](#page-130-0) Nabi et al. [2021\)](#page-133-0). Birds have been reported to harbor as many zoonoses as those found in fying mammals, such as in bats (Order Chiroptera; Mollentze and Streicker [2020](#page-133-0)). Moreover, birds latitudinal and altitudinal migration movements between wintering and breeding areas are implicated in the geographical spread of major parasitic species (e.g., infuenza viruses, West Nile virus; Feare [2010](#page-130-0); Winker and Gibson [2010](#page-135-0); Lee et al. [2015;](#page-132-0) Morin et al. [2018;](#page-133-0) Mine et al. [2019\)](#page-133-0). For example, Avian Infuenza viruses (AIVs) are known to successfully complete reassortment processes (i.e., the processes in which gene segments are exchanged between different infuenza viruses) in geographical hotspots for viral transport and mixing, where breeding areas are shared between North American and Asian migrant bird species (Morin et al. [2018\)](#page-133-0). In the Beringia region, phylogeographic analyses have shown that viral reassortment has likely occurred between highly pathogenic infuenza strains originating in China (e.g., Asian H5N8) and North American infuenza lineages that have ultimately spread across the continental United States (Saito et al. [2015\)](#page-134-0).

Avian parasites can have negative effects on their host populations and ecosystems (Møller [2005](#page-133-0)). Parasites can signifcantly affect host ftness by changing their behavior and sexual selection and can regulate avian populations by negatively impacting host reproduction (i.e., affecting the fecundity or survival of individuals) (May and Anderson [1978;](#page-132-0) Møller [2005](#page-133-0)). Parasites can also mediate intraspecifc competition, predator–prey interactions, and food web stability and determine host community structure (Møller and Erritzøe [2000](#page-133-0); Navarro [2004;](#page-133-0) Lafferty et al. [2006;](#page-132-0) Wood et al. [2007\)](#page-135-0). Moreover, they can exert evolutionary pressures on their hosts' immune systems, promoting greater investment in immune function that produces ftter and more viable host populations (Møller and Erritzøe [2002\)](#page-133-0). For example, avian malaria (genus *Plasmodium*) has produced epizootic die-offs in immunologically naive Hawaiian insular avifauna (LaPointe et al. [2012](#page-132-0)), causing population declines and signifcant altitudinal shifts in the distribution of susceptible forest birds (e.g., Hawaii amakihi; *Hemignathus virens*) (Samuel et al. [2011](#page-134-0)). While such population declines are commonly associated with acute malaria infections that result in increased predation or starvation of avian hosts, chronic infections in continental avifauna produce long-term subclinical effects that can indirectly impair reproductive success (e.g., *Plasmodium*-infected male songbirds have been observed to reduce song frequency, potentially impacting sexual selection) (Gilman et al. [2007;](#page-131-0) Meza-Montes et al. [2023](#page-132-0)).

Although parasitic species such as avian malaria and related haemosporidians are constrained to affect avian populations, others have had critical effects on both avian and human populations. Such is the case for the neurotropic and zoonotic West Nile virus (WNV), which has produced massive bird die-offs in highly susceptible hosts (e.g., American crows; *Corvus brachyrhynchos*), and neurological outbreaks of disease in humans across Europe and the United States (LaDeau et al. [2011;](#page-132-0) George et al. [2015](#page-131-0); Hadfeld et al. [2019](#page-131-0)). WNV is capable of infecting over 608 species of birds (Tolsá et al. [2018\)](#page-135-0); however, not all bird species are amplifying hosts for maintaining and transmitting the infection (Komar et al. [2003](#page-132-0)). Similarly, not all infected avian species are affected by neurologic illness and death (Steele

et al. [2000\)](#page-134-0). The effects of WNV on birds have affected only a few susceptible host species (Kilpatrick and Wheeler [2019](#page-132-0)), but WNV-associated declines of avian populations have had indirect ecological and health effects on other species. For instance, abundance variation in susceptible WNV species such as American robins promoted shifts in mosquito feeding preferences to humans and other avian species, increasing the prevalence of human disease (Kilpatrick et al. [2006\)](#page-132-0).

Avian hosts can also produce important effects on parasite populations and communities. For example, when infected by vector-borne viruses, bird species can exert selection pressures over parasite genetic populations, removing variants of lower fitness and affecting intrahost viral diversity (Jerzak et al. [2005](#page-131-0)). This regulation has been observed for WNV, where strong processes of purifying selection can evolutionarily constrain its genetic diversity in bird hosts (Jerzak et al. [2008\)](#page-131-0). On a much broader scale, avian host ecological traits (e.g., body mass, geographic range size, and relative abundance) can infuence a parasite's colonization success, reproduction, and contact with susceptible hosts, affecting parasite diversity and community composition (Poulin and Valtonen [2001](#page-133-0); Dáttilo et al. [2020](#page-130-0)). Moreover, the phylogenetic relatedness of hosts can drive the phylogenetic diversity of parasites across spatial scales (Clark and Clegg [2017\)](#page-130-0).

Few study systems are well understood in relation to the effects of the ecology of avian parasites on the ecology of avian host populations (and vice versa). Additionally, the potential for bird parasites to produce spillover events and emerge as novel zoonoses remains unclear. This stems from the fact that, in general, very little is known about the global parasitic faunas of birds. Most studies on the ecology of birds and their parasites have been performed in temperate regions (i.e., Nearctic and Palearctic) (Titley et al. [2017](#page-135-0)). Accordingly, a gap in knowledge on avian disease ecology remains for other biogeographical regions, such as the Neotropics. This scenario is worrying, as the Neotropical region is home to approximately 30% of the global avifauna and a high number of endemic species (Reboreda et al. [2019\)](#page-133-0). The high diversity of Neotropical bird species provides a diverse set of ecological niches for parasites (Hudson et al. [2006](#page-131-0); Poulin [2014](#page-133-0)). Furthermore, host species diversity has been shown to be a positive driver of parasite species diversity (Dobson et al. [2008;](#page-130-0) Poulin [2014;](#page-133-0) Kamiya et al. [2014\)](#page-132-0). Consequently, the Neotropical region is predicted to harbor not only a great proportion of the world's avifauna but also an extraordinary amount of parasite species (Dobson et al. [2008;](#page-130-0) Santiago-Alarcon and Rojas Soto [2021\)](#page-134-0).

In the context of global change, parasites are most likely to jump across species and disproportionately affect endangered and naïve native avian populations (e.g., Ortega-Guzmán et al. [2022\)](#page-133-0). Yet, some parasite species are also predicted to decline in abundance and geographical range size due to climate change and habitat destruction (Dobson et al. [2008](#page-130-0)). This will be contingent on the complexity of a parasites' life cycle, their dependence on host population density, their type of transmission, their host specifcity, and the geographical range size of their hosts, among other factors (Dobson et al. [2008\)](#page-130-0). Unfortunately, Neotropical species tend to have smaller range sizes than those inhabiting temperate or polar regions (Jetz and Rahbek [2002](#page-131-0)); hence, it is likely that their population declines will lead to the decline of their parasitic faunas (Dobson et al. [2008\)](#page-130-0). This is predicted to impact several ecosystem services that parasite species provide, including regulation of host abundance, increase in food web connectance, concentration and removal of pollutants from their hosts, among others (Sures [2004;](#page-135-0) Møller [2005;](#page-133-0) Lafferty et al. [2006\)](#page-132-0). Because parasites represent fundamental components of biodiversity, understanding their ecological and evolutionary interactions with their host species will aid to maintain animal, human, and ecosystem health.

In this chapter, we conducted an extensive search in the Web of Science Core Collection for current information regarding parasite ecology in birds across the Neotropical region from 2000 to 2021. The Web of Science Core Collection is a selective and balanced database that uses relevant information from multiple sources (Birkle et al. [2020\)](#page-130-0). Our frst search aimed to retrieve studies focused on parasite groups (i.e., virus, bacteria, protozoa, fungi, and disease), birds (i.e., birds, avian, aves, and avifauna), and the Neotropical region (i.e., Neotropic, South America, and Latin America). We excluded all scientifc papers that reported avian parasites in captive bird species, review papers, laboratory/experimental studies, and museum collection studies.

Our second search aimed to retrieve studies that show how anthropogenic (i.e., fragmentation, land use, landscape, urban, and habitat perturbation) and ecological (i.e., temperature, latitude, altitude, precipitation, humidity, and climate change) factors can be associated with the prevalence and diversity of each parasite group. According to prior knowledge, anthropogenic and ecological keywords were chosen regarding fundamental factors that infuence the prevalence and diversity of parasite species in their hosts, environment, and space. Last, we conducted a third search seeking to retrieve studies that explicitly assessed the relation between anthropogenic/ecological factors and the prevalence, diversity and/or richness of specifc parasite genera or species within each parasite group. We selected one parasite species (or genus) per parasite group (i.e., the parasite species or genus that had the highest number of observations in our frst search). An avian parasite record was considered to be an independent observation of a parasite genus or species in a particular Neotropical country, locality, and year. Boolean codes for all three searches can be found in Appendix Table [5.4](#page-127-0).

5.2 Results

In our frst search, we retrieved and assessed 3064 studies. Only papers that contained parasite group and/or species, avian order and/or species and country were selected, leaving a total of 1338 studies. Only 11 of 26 were conducted in Neotropical countries (i.e., Brazil, Peru, Argentina, Colombia, Chile, Ecuador, Mexico, Venezuela, Costa Rica, Dominican Republic, French Guiana, Panama, Guatemala, Bolivia, and Uruguay), and 15 Neotropical countries were not represented (i.e., Belize, El Salvador, Honduras, Nicaragua, Paraguay, Guyana, Suriname, Cuba, Jamaica, Haiti, and Puerto Rico). We found that Brazil is the Neotropical country

that contains the highest number of avian parasite records (553 observations), followed by Colombia (268 observations), Peru (252 observations), Argentina (86 observations), Chile (53 observations), Mexico (28 observations), and Ecuador (27 observations) (Fig. [5.1](#page-106-0)). In terms of groups of parasites, Brazil and Mexico were the only countries that had parasite records of all fve groups considered in this chapter: Virus, Bacteria, Fungi, Protista, and Animalia (i.e., parasitic insects, worms, cestodes, and rotifers) (Fig. [5.1](#page-106-0)). Brazil mainly had records of parasitic insects (i.e., Arthropoda, Fig. [5.2\)](#page-106-0), but it also had a signifcant number of protozoa of the phylum Mizozoa (subphylum Apicomplexa) (e.g., avian haemosporidian parasites) (Fig. [5.3](#page-107-0)) and of viral families such as Orthomyxoviridae, Coronaviridae, and Bornaviridae (e.g., avian coronaviruses, avian infuenza, and avian bornaviruses) (Fig. [5.4\)](#page-107-0). Mexico mainly contained records of viral families such as Flaviviridae (e.g., West Nile virus) (Fig. [5.4\)](#page-107-0), platyhelminthes and rotifers (Fig. [5.2](#page-106-0)), and Apicomplexa protozoa (i.e., avian haemosporidians) (Fig. [5.3\)](#page-107-0).

Chile followed Brazil and Mexico in number of groups of parasites, showing records of parasitic arthropods, nematodes, and platyhelminthes (Fig. [5.2](#page-106-0)) but also containing records of Apicomplexa protozoa (Fig. [5.3](#page-107-0)), and of Orthomyxoviridae and Poxviridae viral families (e.g., Avian Pox and Infuenza viruses) (Fig. [5.4\)](#page-107-0). Argentina mainly contained records of parasitic platyhelminthes (Fig. [5.2](#page-106-0)) and of the Orthomyxoviridae, Adenoviridae, Coronaviridae, Paramyxoviridae, and Bunyaviridae families (e.g., avian infuenza virus, avian adenovirus, avian infectious bronchitis virus, and avian paramyxovirus) (Fig. [5.4\)](#page-107-0). After Brazil, Colombia contained the most records of parasitic arthropods (Fig. [5.2](#page-106-0)) and an important number of records of parasitic protozoa (i.e., both Mizozoa and Euglenozoa phyla) (Fig. [5.3](#page-107-0)). Following Colombia, Peru also contained an important number of records of parasitic arthropods (Fig. [5.2\)](#page-106-0) and showed records of viral families such as Orthomyxoviridae and Adenoviridae (e.g., avian infuenza, Siadenoviruses, and Mastadenoviruses) (Fig. [5.4](#page-107-0)). Brazil and Argentina contained most studies focusing on avian bacteria (Fig. [5.1](#page-106-0)), mainly the phyla Proteobacteria (e.g., *Escherichia coli*, *Vibrio cholerae*, *Salmonella* spp), Firmicutes (e.g., *Bacillus* spp., *Clostridium* spp.), and Chlamydiota (e.g., *Chlamydia psittaci*) (Fig. [5.5\)](#page-108-0).

Brazil also represented the country with the highest parasite species richness (*S* = 243), followed by Argentina (*S* = 58), Colombia (*S* = 48), Peru (*S* = 43), and Chile $(S = 44)$ (Fig. [5.6\)](#page-108-0). These five countries contained most of the studies that we were able to retrieve (Fig. [5.6\)](#page-108-0). Additionally, our frst search revealed that almost all avian parasites included in our study (over 800 observations out of 1338 total parasite observations) are being recorded and studied in bird species of the order Passeriformes $(n = 72$ studies), followed by birds of the order Columbiformes $(n = 27$ studies), Charadriiformes ($n = 18$ studies), and Psittaciformes ($n = 17$ studies) (Fig. [5.7\)](#page-109-0). Most records observed in the order Passeriformes belong to parasitic insects of the phylum Arthropoda (Fig. [5.8](#page-109-0)), followed by Apicomplexa protozoa (Fig. [5.9\)](#page-110-0), and by viral species of the Poxviridae, Flaviviridae, and Bunyaviridae families (Fig. [5.10](#page-110-0)). Studies that focused on avian bacteria were mostly observed in the orders Psittaciformes, Charadriiformes, and Cathartiformes (Fig. [5.11](#page-111-0)).

Fig. 5.1 Number of parasite records belonging to the kingdoms Virus, Animalia (i.e., Arthropoda, Nematoda, Platyhelminthes, Rotifera), Protista, Bacteria, and Fungi per studied country in the Neotropical region

Fig. 5.2 Number of records of avian parasitic arthropods, nematodes, platyhelminthes and rotifers per studied country in the Neotropical region

Fig. 5.3 Number of records of avian protozoa per studied country in the Neotropical region

Fig. 5.4 Number of records of viral families per studied country in the Neotropical region

Fig. 5.5 Number of records of bacterial phyla per studied country in the Neotropical region

Fig. 5.6 Parasite species richness as a function of avian species richness as analyzed in the Neotropical region. *N* represents the number of studies retrieved from each country. The Y axis was transformed to Sqrt (square root)

In our second search, we retrieved 1100 and 268 studies where anthropogenic and ecological factors, respectively, were studied concerning the prevalence and diversity of each parasite group. However, only in eight studies, parasite prevalence and/or diversity were explicitly assessed (i.e., using mathematical modeling) in relation to anthropogenic (e.g., land use type, distance to urban sites, forest fragment size, etc.) and ecological (i.e., temperature, precipitation, latitude, etc.) factors (Table [5.1](#page-112-0)). The parasite groups assessed in these eight studies included Apicomplexa protozoa (i.e., avian haemosporidians), avian viruses (i.e., West Nile virus, St Louis

Fig. 5.7 Number of parasite records belonging to the kingdoms Virus, Animalia (i.e., Arthropoda, Nematoda, Platyhelminthes, Rotifera), Protista, Bacteria, and Fungi, per taxonomic bird order. Numbers next to bars represent the number of studies found per bird order

Fig. 5.8 Number of records of avian parasitic arthropods, platyhelminthes, nematodes, and rotifers per taxonomic bird order

Fig. 5.9 Number of records of avian protozoa per taxonomic bird order

Fig. 5.10 Number of records of viral families per taxonomic bird order

Fig. 5.11 Number of records of bacterial phyla per taxonomic bird order

Encephalitis virus, Avian pox virus), parasitic arthropods (i.e., *Amblyomma* spp.), and helminth communities (Table [5.1](#page-112-0)).

Finally, our third search retrieved 287 and 62 studies for anthropogenic and ecological factors, respectively. Of these, 12 (Table [5.2\)](#page-114-0) and 17 (Table [5.3\)](#page-118-0) were studies where the prevalence and/or diversity of each parasite genus/species was explicitly assessed for anthropogenic and ecological factors. All studies analyzed avian haemosporidian parasites.

5.3 Discussion

Parasite ecology in birds has gained greater attention in the last two decades. Animal and public health concerns linked to avian disease outbreaks (e.g., highly pathogenic avian fu and West Nile fever) underscore the importance of investigating the ecological and evolutionary relationships between avian parasites, their host communities, and their environments (e.g., Robles-Fernández et al. [2022](#page-134-0)). Despite this, our research suggests that avian parasite diversity surveys remain rudimentary in most countries of the Neotropical region, and therefore, we have a poor understanding of disease dynamics as a function of ecological and anthropogenic factors.

Our extensive search revealed that within the Neotropics, most avian parasites are being detected in Brazil, Colombia, and Peru. This is not surprising, as these countries represent the three most bird-rich countries in the world ([birdlife.org\)](http://birdlife.org). Several studies have shown that parasite species richness follows host species

Table 5.1 Summary of the selected (second search) studies that explore the association between anthropogenic/ecological factors and parasite prevalence/

Country	Avian order	Explanatory variables	Response variables	Effect type	References
Ecuador	NA	Forest fragment size	Prevalence (Plasmodium)	Nonpredictive	Sebaio et al. (2010)
		Forest fragment size	Prevalence (Haemoproteus)	Nonpredictive	
		Forest dependence	Prevalence (Plasmodium)	Predictive	
		Forest dependence	Prevalence (Haemoproteus)	Nonpredictive	
Ecuador	Passeriformes	Fragment area	Infection status (Haemosporidian)	Nonpredictive	Rivero de Aguilar
		Forest quality	Infection status (Haemosporidian)	Nonpredictive	et al. (2018)
		Tree cover around fragments	Infection status (Haemosporidian)	Nonpredictive	
		Mist-net location (edge or interior)	Infection status (Haemosporidian)	Nonpredictive	
		Date	Infection status (Plasmodium)	Predictive	
		Cover	Infection status (Haemoproteus)	Predictive	
Brazil	Passeriformes	Proportion of native vegetation	Probability of infection (Haemosporidian)	Predictive	Fecchio et al. (2021)
		Distance to urban regions	Probability of infection (Haemosporidian)	Nonpredictive	
Brazil	NA	Habitat type (disturbed and undisturbed)	prevalence (Haemosporidian)	Predictive	Fecchio et al. (2021)
		Habitat type (disturbed and undisturbed)	prevalence (Haemoproteus)	Predictive	
		Habitat type (disturbed and undisturbed)	Prevalence (Plasmodium)	Predictive	
		Habitat type (disturbed and undisturbed)	Diversity (Plasmodium)	Predictive	
		Habitat type (disturbed and undisturbed)	Diversity (Haemosporidian)	Predictive	

Table 5.2 Summary of the selected (third search) studies that explore the association between anthropogenic factors and parasite prevalence/diversity in Neotropical avifauna

Country	Avian order	Explanatory variables	Response variables	Effect type	References
México	Passeriformes	Land use types	Lineage richness (Haemosporidian)	Predictive	Hernandez- Lara et al. (2020)
		Land use types	Prevalence (Haemosporidian)	Predictive	
		Land use types	Parasitemia (Haemosporidian)	Nonpredictive	
		Land use types	Aggregation (Plasmodium)	Nonpredictive	
Brazil	Passeriformes	Habitat type qué tipos?	Prevalence (Haemosporidian)	Predictive	Belo et al. (2011)
			Diversity (Haemosporidian)	Predictive	
Argentina, Uruguay, Bolivia. Mexico, Brazil, Peru, and Guatemala	Passeriformes	Host species diversity	Prevalence (Haemoproteus)	Predictive	Doussang et al. (2021)
Argentina, Uruguay, Bolivia. Mexico, Brazil, Peru, and Guatemala	Passeriformes	Host species diversity	Prevalence (Plasmodium)	Predictive	
Guadeloupe and	Passeriformes	Habitat loss	Prevalence (Haemosporidian)	Predictive	Perez- Rodriguez et al. (2018)
Martinique		Habitat fragmentation	Prevalence (Haemosporidian)	Predictive	

Table 5.2 (continued)

Country	Avian order	Explanatory variables	Response variables	Effect type	References
Brazil	NA	Proportion native forest	Prevalence (Haemoproteus)	Nonpredictive	Fecchio et al. (2021)
			Taxonomic diversity (Haemoproteus)	Nonpredictive	
			Phylogenetic diversity (Haemoproteus)	Nonpredictive	
		Host functional	Prevalence (Haemoproteus)	Nonpredictive	
	diversity Host diversity Host taxonomic diversity Proportion		Taxonomic diversity (Haemoproteus)	Nonpredictive	
			Phylogenetic diversity (Haemoproteus)	Nonpredictive	
		phylogenetic	Prevalence (Haemoproteus)	Nonpredictive	
			Taxonomic diversity (Haemoproteus)	Nonpredictive	
			Phylogenetic diversity (Haemoproteus)	Nonpredictive	
			Prevalence (Haemoproteus)	Nonpredictive	
			Taxonomic diversity (Haemoproteus)	Predictive	
			Phylogenetic diversity (Haemoproteus)	Nonpredictive	
		native forest	Prevalence (Plasmodium)	Predictive	
			Taxonomic diversity (Plasmodium)	Nonpredictive	
			Phylogenetic diversity (Plasmodium)	Nonpredictive	

Table 5.2 (continued)

Country	Avian order	Explanatory variables	Response variables	Effect type	References
Chile,	Passeriformes	Altitude	Prevalence	Predictive	Doussang.
Costa			(Haemoproteus)		et al. (2021)
Rica. Bolivia,			Prevalence (Plasmodium)	Predictive	
Peru, and Argentina			Genetic diversity (Haemoproteus)	Nonpredictive	
			Genetic diversity (Plasmodium)	Nonpredictive	
		Latitude	Prevalence (Haemoproteus)	Predictive	
			Prevalence (Plasmodium)	Predictive	
			Genetic diversity (Haemoproteus)	Nonpredictive	
			Genetic diversity (Plasmodium)	Nonpredictive	
Brazil	Apodiformes, Passeriformes, Columbiformes. Accipitriformes, Galbuliformes, Psittaciformes	Humidity	Infection (Haemosporidian)	Predictive	Ferreira de Souza et al. (2020)
Peru	Passeriformes	Altitude	Prevalence (Plasmodium)	Predictive	Jones et al. (2013)
Chile	Passeriformes	Latitude	Prevalence (Plasmodium)	Predictive	Cuevas et al.
			Diversity (Plasmodium)	Predictive	(2020)
			Prevalence (Leucocytozoon)	Predictive	
			Diversity (Leucocytozoon)	Predictive	
			Prevalence (Haemoproteus)	Predictive	
			Diversity (Haemoproteus)	Nonpredictive	

Table 5.3 Summary of the selected (third search) studies that explore the association between ecological factors and parasite prevalence/diversity in Neotropical avifauna

Country	Avian order	Explanatory variables	Response variables	Effect type	References
Chile	NA	Latitude	Prevalence (Leucocytozoon)	Predictive	Merino et al. (2008)
			Prevalence (Plasmodium)	Predictive	
			Prevalence (Haemoproteus)	Predictive	
			Prevalence (Haemosporidian)	Predictive	
			Parasite richness (Leucocytozoon)	Nonpredictive	
			Parasite richness (Plasmodium)	Nonpredictive	
			Parasite richness (Haemoproteus)	Nonpredictive	
			Parasite richness (Haemosporidian)	Nonpredictive	
Ecuador	Passeriformes	Precipitation	Prevalence (Haemosporidian)	Predictive	Cadena- Ortiz et al. (2019)
		Site	Parasitemia (Haemosporidian)	Predictive	
Colombia	Passeriformes	Precipitation	Prevalence (Haemosporidian) Eucometis penicillata	Predictive	Pulgarin-R et al. (2018)
			Prevalence (Haemosporidian) Manacus manacus	Predictive	
			Diversity (Haemosporidian)	Nonpredictive	
Brazil	Passeriformes and Columbiformes	Temperature	Prevalence (Plasmodium)	Predictive	Rodriguez et al. (2021)
			Prevalence (Haemoproteus)	Nonpredictive	
		Rainfall	Prevalence (Plasmodium)	Nonpredictive	
			Prevalence (Haemoproteus)	Nonpredictive	

Table 5.3 (continued)

Country	Avian order	Explanatory variables	Response variables	Effect type	References
Peru	Passeriformes, Caprimulgiformes,	Precipitation	Parasite turnover (Haemosporidian)	Predictive	McNew et al.
	Apodiformes, Strigiformes,	Temperature	Parasite turnover (Haemosporidian)	Nonpredictive	(2021)
	Cuculiformes, Galbuliformes,	Elevation	Parasite turnover (Haemosporidian)	Predictive	
Piciformes, Tinamiformes, Trogoniformes, and Columbiformes	Net primary production	Parasite turnover (Haemosporidian)	Predictive		
		Host turnover	Parasite turnover (Haemosporidian)	Predictive	
		Precipitation	Taxonomic diversity	Nonpredictive	
		Temperature	Taxonomic diversity	Nonpredictive	
		Elevation	Taxonomic diversity	Predictive	
		Net primary production	Taxonomic diversity	Predictive	
	Host turnover	Taxonomic diversity	Predictive		
Mexico	Columbiformes, Cuculiformes, Apodiformes, Strigiformes, Coraciiformes, Piciformes. Passeriformes	Elevation	Prevalence (Plasmodium)	Predictive	Rodríguez- Hernandez et al. (2021)
			Prevalence (Haemoproteus)	Predictive	
			Prevalence (Leucocytozoon)	Predictive	
			Parasitemia (Plasmodium)	Predictive	
			Parasitemia (Haemoproteus)	Predictive	
			Parasitemia (Leucocytozoon)	Predictive	
			Aggregation (Plasmodium)	Predictive	
			Aggregation (Haemoproteus)	Predictive	
			Aggregation (Plasmodium)	Predictive	
			Prevalence (Haemosporidia)	Predictive	
			Parasitemia (Haemosporidia)	Predictive	
			Aggregation (Haemosporidia)	Predictive	

Table 5.3 (continued)

Country	Avian order	Explanatory variables	Response variables	Effect type	References
Mexico	Columbiformes, Cuculiformes, Apodiformes,	Temperature	Lineage community composition	Predictive	Alvarez- Medizabal et al. (2021)
	Strigiformes, Coraciiformes, Piciformes. Passeriformes	Precipitation	Lineage community composition	Predictive	
Brazil	Passeriformes	Number of water bodies	Probability of infection (Haemosporidian)	Nonpredictive	Fecchio et al. (2021)
		Precipitation	Probability of infection (Haemosporidian)	Predictive	
		Temperature	Probability of infection (Haemosporidian)	Predictive	
Mexico	Passeriformes	Seasonality	Prevalence (Haemosporidia)	Predictive	Hernandez- Lara et al. (2020)
			Prevalence (Haemoproteus)	Predictive	
			Prevalence (Plasmodium)	Predictive	
			Parasitemia (Haemosporidia)	Predictive	
			Aggregation (Haemosporidia)	Predictive	
Brazil	NA	Temperature	Prevalence (Plasmodium)	Nonpredictive	Fecchio et al.
			Taxonomic diversity (Plasmodium)	Nonpredictive	(2021)
			Phylogenetic diversity (Plasmodium)	Nonpredictive	
			Prevalence (Haemoproteus)	Nonpredictive	
			Taxonomic diversity (Haemoproteus)	Nonpredictive	
			Phylogenetic diversity (Haemoproteus)	Nonpredictive	
Mexico	Passeriformes	Seasonality	Prevalence	Predictive	Hernandez-
			Parasitaemia	Predictive	Lara et al.
			Aggregation	Nonpredictive	(2017)

Table 5.3 (continued)

Country	Avian order	Explanatory variables	Response variables	Effect type	References
Brazil	Passeriformes and Columbiformes	Seasonality	Prevalence	Nonpredictive	Ferrerira et al. (2017)
Ecuador	Passeriformes	Seasonality	Infection status (Plasmodium)	Nonpredictive	Rivero de Aguilar et al. (2018)

Table 5.3 (continued)

richness (Dobson et al. [2008](#page-130-0); Poulin [2014](#page-133-0); Kamiya et al. [2014\)](#page-132-0). Consequently, these three bird-rich countries offer a high diversity of hosts for parasites to occupy, increasing the likelihood of parasite detection. Most observations for Brazil, Colombia, and Peru are of parasitic Arthropoda, which are mainly represented by chewing lice of the genus *Myrsidea* sp. Avian chewing lice are ubiquitous and highly diverse ectoparasites of birds in Neotropical regions (Soto-Madrid et al. [2020\)](#page-134-0). Apart from being studied for their relevance as members of Neotropical communities, arthropods such as chewing lice are methodologically more straightforward to detect than other groups of parasites (e.g., viruses). We suggest that their vast diversity, direct detection, and usefulness as parasite models to understand ecological interactions explains the high number of arthropod records observed in Brazil, Colombia, and Peru.

Following arthropod insects, avian protozoa comprised the next best-studied parasite group in the Neotropics. Brazil, Peru, Colombia, Mexico, and Chile contained most avian protozoa records. Phylum Mizozoa (subphylum Apicomplexa) represented most of the observations, mainly including avian haemosporidian parasites (*Plasmodium* sp., *Haemoproteus* sp., and *Leucocytozoon* sp.). Apicomplexan parasites such as avian haemosporidians have become a model system for ecological and evolutionary studies of bird–parasite interactions (e.g., see chapters in Santiago-Alarcon and Marzal [2020b](#page-134-0)). Their importance for avian conservation, worldwide distribution, and high probability of detection in blood smears and PCR molecular samples has contributed to more scientists dedicating their research to this highly diverse parasite system (Valkiūnas and Atkinson [2020;](#page-135-0) Bensch and Hellgren [2020](#page-129-0)). Although much effort to detect and understand avian haemosporidian diversity in tropical settings has taken place in the last decade, most of their studies have been performed in temperate regions (Santiago-Alarcon and Marzal [2020a](#page-134-0); Chapa-Vargas et al. [2020](#page-130-0)). Moreover, haemosporidian haplotype sequence divergence has suggested that haemosporidian genetic richness could match worldwide avian species richness (i.e., 10,000 bird species) (Bensch et al. [2004;](#page-129-0) Bensch and Hellgren [2020\)](#page-129-0). Consequently, detection of avian haemosporidian diversity will help to understand complex antagonistic interactions – particularly of vector-borne parasites – and should be prioritized within Neotropical settings considered hotspots for host and parasite biodiversity (Santiago-Alarcon and Rojas Soto [2021](#page-134-0)).

After avian protozoa, avian viruses were the next best-studied group. In particular, we found that the Poxviridae, Orthomyxoviridae, Flaviviridae, and Coronaviridae families have been the most studied avian viruses in Neotropical countries. Ecuador, French Guiana, Guatemala, Chile, and Venezuela contained all studies focusing on avian pox virus. Avian pox viruses have been observed in over 350 species of birds (Williams et al. [2021\)](#page-135-0). Because their incidence has increased over the last 10 years, avian pox has been considered an emerging viral disease (Alehegn et al. [2014\)](#page-129-0), mainly affecting songbirds, birds of prey, marine birds, and poultry within tropical regions. A high prevalence of the disease has been observed in remote populations (e.g., Galapagos Islands), suggesting a threat to naive endemic insular avifauna in the Neotropical region (Williams et al. [2021\)](#page-135-0). In wild continental birds, pox prevalence has been highly variable, and information regarding host specifcity, strain virulence, and strain diversity remains elusive (Williams et al. [2021](#page-135-0)). In addition to fnding many observations on avian pox viruses, our results showed avian infuenza viruses (AIV) as the second most studied avian viruses in Neotropical countries. Brazil, Argentina, Peru, Ecuador, Chile, and Guatemala contained most AIV observations. Research efforts have been conducted worldwide to better understand the ecology of AIVs in wild birds (Wille and Barr [2022](#page-135-0)). Today, we know that highly pathogenic AIVs (HPAIVs) circulate on all continents except Australia and Antarctica (Willie and Barr [2022\)](#page-135-0). Moreover, HPAIVs have caused recent outbreaks in South America, killing over 22,000 wild birds, mainly Peruvian pelicans (*Pelecanus thagusI*) and boobies (*Sula variegata*) (Gamarra-Toledo et al. [2023\)](#page-131-0). Apart from these species, HPAIV has affected the near-threatened Guanay cormorant (*Leucocarbo bougainvillii*) across the coastline of Peru and has been suggested to be a potential threat to scavenger species such as the Andean condor (*Vultur gryphus*) (Gamarra-Toledo et al. [2023\)](#page-131-0). Researchers are calling for epidemiological surveillance to better understand the extent to which such outbreaks could progress.

Following avian infuenza, West Nile virus was the third most studied avian virus in the Neotropical region. Mexico and the Dominican Republic contained all of the records. WNV belongs to the Flavivirus genus, and its transmission cycle involves mosquitoes as vectors and wild birds as their vertebrate hosts. For more than 20 years, this virus has represented a threat to bird populations with persistent impacts on some wild bird species in North America (Passeriformes comprises the order with more susceptible avian species for this virus) and on human populations producing outbreaks of neurological disease (LaDeau et al. [2007;](#page-132-0) George et al. [2015\)](#page-131-0). However, despite its importance for bird conservation, human health, and evidence suggesting migratory birds are important carriers of this virus, WNV is poorly known in the Neotropical region. A worldwide study showed that Mexico, Guatemala, and Argentina are countries with serological and molecular evidence in wild birds (Tolsá et al. [2018\)](#page-135-0). However, WNV has already been classified as a neglected tropical disease because funding and research efforts have declined over time (Ronca et al. [2021](#page-134-0)).

Regarding bacteria, we found few records, even though birds are recognized as hosts of many bacterial species relevant to avian and human health (Reed [2002;](#page-134-0) Benskin et al. [2009\)](#page-130-0). *Escherichia coli* was the best-represented bacterial species in our search and was studied in Brazil, Chile, Costa Rica, and Argentina mainly in Charadriiformes, Psittaciformes, and Sphenisciformes hosts. In the Neotropics, the study of *E*. *coli* should be a priority because it has been associated with mass dieoffs of various wild bird species, which are suggested to act as carriers of multidrugresistant *E*. *coli* (Reed [2002](#page-134-0); Smith et al. [2020](#page-134-0)). The genus *Salmonella* was the second most studied bacteria in the Neotropics, mainly in Peru and Argentina in the Charadriiformes and Passeriformes. Various studies have shown that this genus, specifcally *Salmonella typhimurium,* is commonly isolated in intestinal samples of wild birds and has been associated with mass mortality events (Smith et al. [2020\)](#page-134-0).

Fungi was the least studied parasite group in the Neotropics $(n = 19)$. The bestrepresented species were *Cryptococcus neoformans* and *Aspergillus* spp. *Cryptococcus neoformans* was identifed infecting the order Columbiformes (pigeons and doves) in Brazil, Venezuela, and Colombia. *Cryptococcus* spp. have been found in avian orders such as Passeriformes, Anseriformes, Accipitriformes, Apterygiformes, Psittaciformes, and Columbiformes, with wide variability in susceptibility between bird species (Johnston et al. [2016](#page-132-0)). Additionally, *Aspergillus* spp. were identifed in samples of Sphenisciformes, Suliformes, and Charadriiformes in Brazil, Argentina, and Mexico, respectively. At the parasite species level, we also recorded *Aspergillus fumigatus*, a common parasite among wild and domestic birds, with a prevalence of approximately 95% (Arné et al. [2021](#page-129-0)).

5.3.1 Parasite Dynamics as a Function of Anthropogenic and Ecological Factors

Understanding parasite infection patterns, their diversity and distribution in relation to environmental, spatial, and anthropogenic factors remains a challenge for infectious disease ecology. Our synthesis found that the main anthropogenic variables associated to prevalence and diversity of avian parasites are land-use or habitat type, habitat fragmentation or loss, the proportion of native vegetation, and habitatassociated host diversity in its taxonomic, phylogenetic, and functional forms. These variables often relate differently to each parasite system, sometimes producing opposing trends even within the same parasite taxa. For example, the prevalence of avian pox viruses has increased with agricultural intensifcation (Zylberberg et al. [2013\)](#page-135-0). In contrast, the probability of haemosporidian parasite infection has increased with higher proportion of native vegetation cover (Fecchio et al. [2020](#page-131-0)) or decrease with advanced stages of forest succession (Ferreira Junior et al. [2017\)](#page-131-0). In the case of avian haemosporidians, parasite genera can produce these contrasting results because each Haemosporidian genus is transmitted by different Diptera families and species whose reproduction and development depend on different microclimatic conditions (Rodríguez-Hernández et al. [2021](#page-134-0)). For instance, the *Plasmodium* genus might be favored by anthropogenic transformation, while the *Haemoproteus* genus might be more prevalent in preserved forests (Hernández-Lara et al. [2020\)](#page-131-0).

This shows how the response of parasites to anthropogenic drivers of prevalence varies spatially and may be contingent on the host–parasite system.

Habitat-associated host diversity has also been related to contrasting infection patterns and parasite diversity in systems such as avian haemosporidians. Increased host functional diversity in preserved remnants of the Brazilian Atlantic Forest decreased *Plasmodium* lineage diversity (Fecchio et al. [2021\)](#page-131-0). However, high host taxonomic diversity within the same locations increased *Plasmodium* taxonomic lineage diversity but decreased *Plasmodium* phylogenetic lineage diversity (i.e., more diverse avian communities in preserved remnants harbored more taxonomically diverse but phylogenetically clustered parasite assemblages). Apparently, different facets of avian host diversity (e.g., functional, taxonomic, and phylogenetic) can produce differential effects over the taxonomic and phylogenetic components of haemosporidian parasite diversity, underscoring the complexity and relevance of assessing the spatial patterns of host diversity in all of its facets as drivers of parasite diversity and distribution.

Beyond the diverse effects of anthropogenic factors, the prevalence and diversity of avian parasites can be driven by ecological factors (i.e., environmental and spatial factors). We found that latitude, altitude, temperature, precipitation, humidity, and seasonality are the most studied ecological drivers of parasite prevalence and diversity in the Neotropics. For instance, the inverse relationship between altitude and temperature has been associated with the differential distribution of avian haemosporidian genera across elevational gradients in different settings (Zamora-Vilchis et al. [2012](#page-135-0); van Rooyen et al. [2013](#page-135-0); Álvarez-Mendizábal et al. [2021\)](#page-129-0). Similarly, an inverse latitudinal diversity gradient has explained the infection probability and phylogenetic diversity of *Leucocytozoon* parasites in New World birds (Fecchio et al. [2019](#page-130-0)). Moreover, within Neotropical countries such as Mexico and Brazil, haemosporidian parasite prevalence, diversity, and community composition have been explained by temperature, precipitation, humidity, and seasonality (Hernández-Lara et al. [2017](#page-131-0); Hernández-Lara et al. [2020;](#page-131-0) Ferreira de Souza et al. [2020\)](#page-130-0). In the Caatinga of Brazil, there was a high frequency of hemoparasites in birds due to high levels of humidity and rainfall (Ferreira de Souza et al. [2020\)](#page-130-0). Similarly, contrasting patterns in prevalence among bird species were reported in Colombia (e.g., grayheaded tanagers, *Eucometis penicillata*, exhibited higher prevalence rates in locations with less precipitation, while white-bearded manakins, *Manacus manacus,* showed higher prevalence in locations with high precipitation) (Pulgarín-R et al. [2018\)](#page-133-0). In Mexico, increased infection rates have been associated with wet seasons, where there is an increase in the abundance of mosquito vectors, and coincide with avian host breeding seasons, where reproduction generates a trade-off between immune function and reproductive output (Hernández-Lara et al. [2020](#page-131-0)).

Environmental and spatial heterogeneity can structure the distribution and diversity of avian parasites and their hosts and vectors. However, a wide variation in responses to the heterogeneity in ecological factors can be observed for most host– parasite systems. The relative importance of environmental versus spatial drivers of parasite infection and diversity can also depend on the scale of the study (e.g.,

microclimatic variables can explain parasite distribution patterns at local-landscape scales, while geographic barriers or latitude could be more important drivers at regional and global scales). Consequently, it is fundamental to continue studying avian host–parasite systems in regions that provide this type of environmental heterogeneity (i.e., tropical and subtropical) at different spatiotemporal scales to better understand the relative importance of such drivers for specifc parasite systems.

5.4 Conclusions and Synthesis

Our study showed that arthropod insects, protozoa, and viruses are the main parasite groups being studied in birds across the Neotropical region. Although bacteria and fungi have been less studied, the potential for these parasites to produce diseases in avian populations should not be overlooked. Most of the published information regarding parasite ecology in Neotropical birds has been directed to the order Passeriformes, mainly because of its high number of species and because there is a sampling bias for passerine birds when using understory mist nets. This has allowed in-depth knowledge of parasite dynamics within some passerine populations (e.g., West Nile virus infecting American robins); however, the diversity and distribution of avian parasites among nonpasserine birds remains scarce, and it is an area of opportunity to advance the feld. Our data showed that parasite species richness was higher in Brazil, Argentina, Colombia, Peru, and Chile than in the other analyzed Neotropical countries. This was certainly associated with the high bird species richness that these countries harbor, and it should also be associated with the high number of studies that we retrieved for those countries. The low parasite and bird species richness that was observed for countries, such as the Dominican Republic, Guatemala, Bolivia, and Uruguay, is likely explained by the few studies that we found, suggesting that parasite surveillance and scientifc publication in these countries is insuffcient. The lack of studies focusing on avian disease ecology in Neotropical islands (e.g., Cuba, Jamaica, Haiti, Puerto Rico; but see (Parker [2018](#page-133-0)) for synthesis of disease ecology research in the Galápagos Islands) underscores the need to focus our scientifc research on parasite dynamics of endemic insular avifauna.

We identifed several anthropogenic and ecological factors affecting parasite prevalence and diversity across space. However, our synthesis did not include all relevant factors that could potentially drive parasite diversity and distribution (e.g., host-associated factors such as life-history traits). Although we showed that the diversity and distribution of some Neotropical avian parasites have been thoroughly assessed concerning anthropogenic and ecological drivers (e.g., avian haemosporidians), most parasite systems remain understudied in the Neotropical region (i.e., their presence in avian hosts is not assessed as a function of relevant drivers of disease), and their diversity is yet to be uncovered (Fernandez Correa et al. [2019](#page-131-0)). We underscore the large knowledge gap when considering the temporal aspect of

disease ecology (e.g., Rubio et al. [2017](#page-134-0)). Future research efforts should focus on determining how the temporal component (e.g., variation across the day and across seasons or months) (Castro-Arellano et al. [2010](#page-130-0)) affects the ecological dynamics of host-parasite systems.

Appendix A: Supplementary Data

First search	
General overview of parasite groups	((virus* OR bacteria* OR protozoa* OR *parasite* OR fungi* OR disease*) AND (birds OR avian OR aves OR avifauna) AND (neotropic* OR South America OR Latin America) NOT (review* OR laboratory OR experiment*) NOT (zoos OR captivity OR domestic OR poultry))
Second search	
Anthropogenic factors/ parasite groups	(birds OR avian OR aves OR avifauna) AND (neotropic* OR South America OR Latin America) AND (fragmentation OR land use OR landscape OR urban*OR habitat perturbation) NOT (review* OR laboratory OR experiment*) NOT (zoos OR captivity OR domestic OR poultry) AND (virus*)
	(birds OR avian OR aves OR avifauna) AND (neotropic* OR South America OR Latin America) AND (fragmentation OR land use OR landscape OR urban*OR habitat perturbation) NOT (review* OR laboratory OR experiment*) NOT (zoos OR captivity OR domestic OR poultry) AND (bacteria*)
	(birds OR avian OR aves OR avifauna) AND (neotropic* OR South America OR Latin America) AND (fragmentation OR land use OR landscape OR urban*OR habitat perturbation) NOT (review* OR laboratory OR experiment*) NOT (zoos OR captivity OR domestic OR poultry) AND (fungi* OR fungus)
	(birds OR avian OR aves OR avifauna) AND (neotropic* OR South America OR Latin America) AND (fragmentation OR land use OR landscape OR urban*OR habitat perturbation) NOT (review* OR laboratory OR experiment*) NOT (zoos OR captivity OR domestic OR poultry) AND (protista OR protozoa*)
	(birds OR avian OR aves OR avifauna) AND (neotropic* OR South America OR Latin America) AND (fragmentation OR land use OR landscape OR urban*OR habitat perturbation) NOT (review* OR laboratory OR experiment*) NOT (zoos OR captivity OR domestic OR poultry) AND (Arthropoda OR Platyhelminthes OR Nematoda OR Rotifera)

Table 5.4 Boolean codes used for our first, second, and third searches

Table 5.4 (continued)

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Chapter 6 Marine Bird of Neotropics, What We Know, and We Should Know of Diseases in a Changing World

Galaxia Cortés-Hinojosa

6.1 State of Knowledge Regarding Infectious Diseases in Neotropical Marine Birds: Penguins, Albatrosses, Cormorants, and Shorebirds

Marine birds are sentinels of the health of ecosystems due to their characteristics as top predators, long life, and diverse lifestyles, from coastal residents to intercontinental travelers. Their health can be an indicator of the health of the environment at different scales. Infectious diseases are one of the major causes of wild population extinctions (Smith et al. [2006](#page-156-0)). The effect of climate change on the distribution of infectious diseases represents a great concern for the research community working in aquatic ecosystems because of the effect of the temperature shift on the distribution of potential pathogens and their consequent interaction with naïve populations of wildlife (Marcogliese [2008\)](#page-155-0). Pathogens, contaminants, and stress can cause lethal and sublethal effects on their hosts (Grilo et al. [2016](#page-154-0); Sebastiano et al. [2022;](#page-156-0) Asghar et al. [2015](#page-153-0)), which can be assessed and documented on physiological alterations, such as changes in growth and body condition, reduction in reproductive success, or, in more dramatic cases, direct mortalities (Best et al. [2010](#page-153-0)). Coinfection by opportunistic pathogens can occur and has been reported in marine animals. In addition, subclinical infections could reduce their ability to endure environmental changes (Beldomenico and Begon [2015](#page-153-0)).

The Humboldt upwelling system is one of the most productive marine ecosystems in the world, bringing cold nutrient-rich water from the Antarctic to the Pacifc coast of South America (Gutierrez et al. [2016](#page-154-0)). This system supports diverse marine

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fauna in Peru and northern-central Chile (Alheit and Niquen [2004](#page-153-0)). Approximately every 4–7 years, the El Niño Southern Oscillation (ENSO) cycle results in oceanic conditions that prevent the nutrient-rich cold water of the Humboldt Current from reaching the euphotic layer in the water column where photosynthesis occurs. This signifcantly reduces primary productivity and fsh stocks, causing migration or mortality in higher trophic marine predator species, such as seabirds, especially juveniles (Soto et al. [2004](#page-156-0), [2006\)](#page-156-0). In addition, climate change can cause unexpected changes in the complex ocean–atmosphere interactions needed for upwelling to occur.

The diversity and genomic features of pathogens of South American marine species are shaped by the complex interaction between environmental factors and host genetics. By understanding the effect of the environment on the immune response and pathogen dynamics in natural populations, we can comprehend the possible impact of climate change on the ecology of infectious diseases to better monitor and conserve vulnerable wildlife populations. Multicellular organisms are exposed to multiple pathogens belonging to different taxonomic groups; consequently, the rapid response of the body against potential threats is essential. The immune system is a complex intercommunicated machinery formed of cells, molecules, large tissues, and organs that support the balance between potential pathogens and multicellular organisms (Tizard [2019\)](#page-156-0). In tetrapods, the immune response is divided into two major groups: innate and adaptive immune responses. Innate immunity is composed mainly of myeloid-derived cell (heterophil, eosinophil, monocyte, and other) complement systems and infammatory responses that are key to preventing the initial invasion and proliferation of pathogens. These two systems are interconnected, and innate immunity is the driver for the initiation of adaptive immunity (Tizard [2019](#page-156-0)). Adaptive immunity relies mainly on the ability to present foraging material to specialized lymphoid-derived cells, such as T cells (cellular mediate response) and B cells (humoral response). The presentation is carried out for specialized proteins in the major histocompatibility complex (MHC) family. Of the four members of this gene family in mammalian organisms, I and II are considered "classical MHC genes" (Tizard [2019](#page-156-0)).

For the development of a proper adaptive immune response against intracellular pathogens, MHC-I is essential. MHCs bind to peptides from the pathogen to present molecules on the cell surface to be recognized by other components of the adaptive immune response. For extracellular organisms, the leading player is the MHC-II gene (Engelhard [1994](#page-154-0)). MHC II molecules are mainly expressed by professional presenting cells, such as macrophages, dendritic cells, and B lymphocytes. Immunogenetic studies are primarily based on MHC-II studies. Some authors recognize the need to expand our understanding of the immune response in wildlife, expanding the research horizon from MHC genes (Acevedo-Whitehouse and Cunningham [2006](#page-153-0)). However, few immunogenetic studies have been carried out in marine birds, including research in immunogenomics and how pathogens can shape the immune response and from an evolutionary and conservation genetic perspective (Sallaberry-Pincheira et al. [2016;](#page-155-0) Levy et al. [2020\)](#page-155-0).

Most of our knowledge related to immunology in birds is related to domestic animals, with an enormous limit to understanding how environmental change, new stressors and contamination can affect the response to pathogens in marine birds. However, a recent publication showed a possible interaction between contaminants and their effect on the immune system and herpesvirus in frigatebirds from French Guiana (Sebastiano et al. [2022](#page-156-0)).

6.2 Most Relevant Diseases in Birds and Seabirds

To implement an appropriate literature search in such a vast topic, we use the following search criteria in PUBMED. For example, "seabird virus (Brazil OR Chile OR Argentina OR Mexico OR South America or LATAM)." Then, the word Virus was replaced according to the search by other keywords such as bacteria, parasite, plasmodium, etc. (plasmodium seabirds (Brazil OR Chile OR Mexico OR Argentina OR South America OR LATAM)).

6.2.1 RNA Viruses

Coronaviridae Coronaviruses are large (120–160 nm), round, toroidal, or bacilliform, positive-sense, single-stranded envelope viruses with intracytoplasmic replication. They belong to the order Nidovirales. The family *Coronaviridae* has three subfamilies. The subfamily *Orthocoronaviridae* has four genera: *Alphacoronavirus*, *Betacoronavirus*, *Deltacoronavirus*, and *Gammacoronavirus.* Betacoronaviruses are the best-known clade for containing the severe acute respiratory syndrome (SARS) virus (Woo et al. [2023](#page-156-0)). Avian infectious bronchitis (Coronaviridae, Orthocoronavirinae, genus *Gammacoronavirus*) is a disease of great relevance in poultry and is an world organisation for animal health (WOAH) reportable disease; consequently, investigations have been carried out in neotropic marine birds. Researchers have detected coronaviruses in two species, neotropical cormorant (*Phalacrocorax brasilianus*) (Verdugo et al. [2019\)](#page-156-0) from Chile and magellanic penguins (*Spheniscus magellanicus*) (Uhart et al. [2020](#page-156-0)) from Argentina. In both cases, the discovery was part of surveillance and not associated with clinical diseases.

Infuenza Virus *Orthomyxoviruses* are medium size (80–120 nm), segmented genome, pleomorphic, enveloped, negative-sense single-stranded viruses with intranuclear and intracytoplasmic replication. The family *Orthomyxoviridae* has seven genera: *Infuenza virus A, Infuenza virus B, Infuenza virus C, Infuenza virus D, Thogotovirus*, *Quaranjavirus*, and *Isavirus*. A relevant feature of segmented viruses is the possibility of reassortment (antigenic shift) between homologous segments of different viruses that coinfect a particular host (Ma [2022](#page-155-0)). This ability, in combination with mutations in specifc genes used as antibody binding sites for the host

(antigenic drift), helps these viruses jump between hosts and avoid immunosurveillance (Ma [2022](#page-155-0)). Infuenza A is a major zoonotic pathogen and WOAH reportable disease. Their main reservoirs are aquatic birds, such as waterfowl and shorebirds. This virus causes major diseases in domestic animals; nonetheless, clinical cases in the wildlife population of marine birds were historically less common. However, since 2001, H5N1 has caused the death of several species of wild birds worldwide, and the most recent outbreak has resulted in great mortality for marine birds in the neotropics (see more in Sect. [6.5\)](#page-146-0). Aquatic birds are the reservoir of infuenza of high relevance to aquatic systems, and many studies on the circulation of infuenza virus have a major role in surveillance. Nevertheless, many studies have focused on aquatic birds and, less commonly, marine birds until recently.

Newcastle Disease Virus *Paramyxoviruses* are 150–300 nm, pleomorphic, enveloped, negative-sense single-stranded viruses with intracytoplasmic replication. The family *Paramyxoviridae* is part of the order *Mononegavirales* and has 4 subfamilies and 14 genera (Rima et al. [2019\)](#page-155-0). In birds, one of the most studied paramyxoviruses is Newcastle disease virus (NDV; avian paramyxovirus 1, genus Orthoavula, subfamily Avulavirinae), which is a worldwide disease of importance for domestic and wild birds and is an WOAH reportable disease. Newcastle disease virus shows great genetic diversity, which leads to the presence of two clades of viruses (classes I and II). Of those, the most divers are clade II, which has been detected in cormorants among other wild domestic birds. Clinical signs may include respiratory distress, depression, diarrhea, decreased egg production, neurologic signs, torticollis, and death (Dimitrov et al. [2016\)](#page-153-0)). Cormorants in the family *Phalacrocoracidae* have been indicated to be at risk of contracting this virus, and outbreaks related to this virus have occurred in North America and South America. In LATAM, outbreaks have been reported by the local government from Chile (SAG fnal report, (Moreira [2009\)](#page-155-0)), and this document indicates that 382 bird deaths are associated with this outbreak, including penguins (*Spheniscus magellanicus*), cormorants (*Phalacrocorax*), and boobies (*Sula variegata*); 25% of the animals sampled alive tested positive for the virus. In peer review literature, studies carried out in healthy cormorants from Chile showed no positive animals (0/104) (Verdugo et al. [2019\)](#page-156-0). Other paramyxoviruses (APV-II and -X) have been detected in *Spheniscus magellanicus* from Brazil, with no clinical relevance (Fornells et al. [2012](#page-154-0)).

6.2.2 DNA Viruses

Adenoviruses are nonenveloped, double-stranded DNA viruses with a mediumsized genome of 26–48 kbp. Viruses in the family Adenoviridae are classifed into six genera (*Mastadenovirus*, *Aviadenovirus*, *Atadenovirus*, *Siadenovirus*, *Testadenovirus* and *Ichtadenovirus*) (Benkő et al. [2022\)](#page-153-0) The genera *Aviadenovirus*, *Atadenovirus*, and *Siadenovirus* all utilize avian hosts. Persistent infections are not uncommon. Multiple novel adenoviruses have been discovered in Humboldt penguins, including aviadenoviruses, siadenoviruses, and mastadenoviruses. Preliminary data obtained in systems with high resource variability and high population densities of animals of different species, such as Punta San Juan, are an ideal situation to evaluate adenoviral diversity and the ability to jump hosts in a natural system. Preliminary data include aviadenoviruses in a mammalian host, and this fnding drove us to request samples from marine birds in the area. We received historical samples of Humboldt penguin (HP, *Spheniscus humboldti*) to complement the pinniped study (Cortés-Hinojosa et al. [2021\)](#page-153-0). Avian adenovirus was also detected. These viruses have not been associated with clinical diseases in wild or managed populations.

Herpesviruses are large (160–300 nm), icosahedral, enveloped, double-stranded viruses with intranuclear replication and high host fdelity; interestingly, they remain latent for prolonged periods in many animals. Most of the characterized avian herpesviruses are members of the subfamily Alphaherpesvirinae, with two subfamilies recognized genera relevant to avian hosts: *Iltovirus* and *Mardivirus* (Gatherer et al. [2021\)](#page-154-0)*.* Herpesviruses have been widely reported in poultry and wild animals, including waterfowl, gamebirds, and raptors. Members of the genus *Iltovirus*, which include clinically relevant herpesviruses in poultry, such as Gallid Herpesvirus 1 (GaHV1, infectious laryngotracheitis), an WOAH reportable disease, have been isolated from a large variety of psittacine species (Gatherer et al. [2021\)](#page-154-0). In aquatic birds, Gaviid Herpesvirus 1 (GavHV1) from common loons (*Gavia immer*) has also been shown to cluster in the genus *Iltovirus*. Reactivation of latent herpesvirus infections is associated with stress and immune suppression, such as rehousing and chemical pollutants (Hughes et al. [1989;](#page-154-0) Goldberg et al. [1990](#page-154-0)), and has been associated with depression, respiratory distress, hemorrhagic lesions, and sudden death. The stress of captivity may induce GavHV1 reactivation in loons, and this may be one factor that predisposes them to respiratory infection with *Aspergillus* sp. Penguins, such as loons, are also susceptible to respiratory infection in captivity. In penguins, few viruses have been reported, and a recent work published by Niemeyer et al. detected herpesvirus in Magellanic penguins in rehabilitation facilities. They found a 38% prevalence in animals in rehabilitation and a 5.6% prevalence in free-range animals in apparently healthy conditions (Niemeyer et al. [2017\)](#page-155-0), which is consistent with the reactivation of latent viruses. Cases of death related to herpesvirus affected two collections of banded penguins in Germany; Humboldt (*Spheniscus humboldti*) and African (*Spheniscus demersus*) chicks were affected. The cause of the deaths was a novel alphaherpesvirus, SpAHV-1. The authors of the paper call for more investigation on the topic to identify the reservoir of this novel virus in natural populations (Pfaff et al. [2017](#page-155-0)). African penguin (*Spheniscus demersus*) chicks were affected. This virus was detected in Humboldt penguins from Punta San Juan without clinical signifcance in healthy animals (the author, (Angles et al. [2023\)](#page-153-0)). Since 2005, mortalities due to herpesvirus have been reported in the magnifcent frigatebird (*Fregata magnifcens*) from French Guiana. This herpesvirus is related to a high mortality of hatchlings, with up to 90% mortalities of chicks every year since the initial report (Sebastiano et al. [2022](#page-156-0)). In this case, the author

indicated that the detrimental effect of viral infection could be related to high levels of contaminants, particularly Hg, which could lead to immunologic impairments (Sebastiano et al. [2022\)](#page-156-0).

Polyomaviruses are 40–45 nm, icosahedral, nonenveloped, circular, doublestranded DNA viruses with intranuclear replication. The family *Polyomaviridae* has recently been split into six genera, with the genus Gammapolyomavirus infecting only birds. Currently, nine recognized avian polyomaviruses (AVVs) have been reported (Moens et al. [2017\)](#page-155-0) and can cause infammatory disease and, in some cases, acute diseases. Avian polyomaviruses (APVs), formally referred to as budgerigar fedgling disease (BFD), have great importance in cage birds and impact parrot worldwide. This virus can cause diseases in young animals. Polyomavirus has been detected by next-generation sequencing on Adelie penguins from Antarctica without clinical significance (Varsani et al. [2015](#page-156-0)). However, they have not been reported in peer review literature of polyomavirus from neotropic marine birds.

Poxvirus Poxviruses are large $(140-260 \times 220-450 \text{ nm})$, brick or ovoid-shaped, enveloped double-stranded viruses with intracytoplasmic replication (Delhon [2022\)](#page-153-0). The family *Poxviridae* has 2 subfamilies and 22 genera. The subfamily *Chordopoxvirinae* includes viruses that infect chordates, and the subfamily *Entomopoxvirinae* includes viruses that infect invertebrates. Viruses in the subfamily *Chordopoxvirinae* can be transmitted directly, indirectly, and by vectors. In general, viruses in this subfamily cause proliferative skin disease in vertebrates. Poxviruses are of great relevance to domestic and terrestrial birds and have been reported in two clinical presentations. In seabirds, this pathogen has been reported in several species across the globe, including Antarctic penguins and northern royal albatross (*Diomedea sanfordi*) (Gyuranecz et al. [2013](#page-154-0); Parsons et al. [2018](#page-155-0)). In LATAM, penguins have been reported in Chile and Ecuador (including Galapagos), and in Magellanic penguins, reports have occurred in Argentina and Brazil, Humboldt penguins and Galapagos penguins. The lesion is described from a wartlike lesion in the skin and mucosal membrane to the less common systemic diphtheric form. Cases of poxvirus on penguins have been described in wild animals and rehabilitation facilities; however, cases are more common in chicks than in adults. In addition, the most concerning cases of mortality in Waved Albatross from Galapagos were 14 nestlings with pox-like lesions and a mortality of 8%; in this case, the most common presentation was the cutaneous or "dry" form (Tompkins et al. [2017\)](#page-156-0).

6.2.3 Bacteria and Fungi

Rare bacterial and fungal organisms are the primary cause of diseases in wild seabirds (Woods et al. [2009\)](#page-156-0). Studies from lower latitudes have shown that migratory birds can act as reservoirs or vehicles of human pathogenic bacteria (Abulreesh et al. [2007](#page-153-0)). Studies of human pathogens such as Salmonella and Campylobacter have been carried out; however, the role of seabirds remains a topic that needs more research (González-Acuña and Llanos-Soto [2020](#page-154-0)). One of the concerns for wildlife is the increase in antibiotic-resistant bacteria (AMR). Some studies on this topic have been carried out in LATAM, and it is important to consider that marine animals can be sentinels for these organisms in ecosystems (Ewbank et al. [2021b](#page-154-0)).

Lyme disease could be a concern in the population of seabirds because of the presence of ticks; however, this disease has not been reported in seabirds in LATAM.

Aspergillosis Aspergillus is a genus of ubiquitous soil fungi and is considered an opportunistic mycosis of relevance in veterinary medicine. In penguins, it is considered a primary fungal disease (Wallace [2014\)](#page-156-0). Within the genus Aspergillus, most cases of aspergillosis are related to *A. fumigatus*; however, *A. fatus* has been reported in avian species (Leishangthem et al. [2015](#page-155-0)). In a study in Magallanes penguins among zoological institutions, the author considered aspergillosis to be the most relevant cause of death in captive settings (Krol et al. [2020](#page-154-0)). In addition, a recent report showed that Aspergillus affects *Thalassarche melanophris* under rehabilitation in Brazil, and the author indicated that this pathogen could play a major role in the failure of their rehabilitation (Melo et al. [2020a,](#page-155-0) [b\)](#page-155-0). Aspergillus currently does not represent a major issue in wild populations of penguins in LATAM; however, a report from Brazil indicated that Aspergillus was found in 3.7% of the necropsies of Magellanic penguins (*Spheniscus magellanicus*) found dead in Sao Paulo, Brazil (Ewbank et al. [2021a](#page-154-0)). Additional reports of Aspergillus in two sick wild birds have occurred in Brazil, brown-hooded gull (*Chroicocephalus maculipennis*) and white-chinned petrel (*Procellaria aequinoctialis*), both of which die before reaching the rehabilitation facility (Melo et al. [2020b\)](#page-155-0). The effect on breeding colonies has not been described; however, we do not know the effect of climate change on seabird health and disease dynamics and whether this pathogen can pose a major threat in the future in species if we consider the data presented by Ewbank et al. recently.

6.2.4 Parasites

Ectoparasites are the major parasites reported in marine birds*, and* Ornithodoros ticks have been reported in guano burrows of the Humboldt penguin from Peru. One of the most relevant issues related to external parasites is the transmission of other viruses, bacteria, or internal parasites.

Plasmodium This is a worldwide spread of mosquito-borne intracellular protozoan parasites. This parasite can have low mortalities in an endemic host, but in a naïve population of birds, it can be highly susceptible. It is particularly important to understand the cases of Plasmodium in African penguins, *Spheniscus demersus*, where this parasite is decimated in wild populations. The problem is particularly severe in Western Cape in South Africa, where 35% of penguins admitted to rehabilitation facilities are positive for *Plasmodium* spp. (Parsons and Underhill [2005\)](#page-155-0). Several species of penguins appear susceptible to plasmodium, and reports in captive or rehabilitation facility settings have occurred in South America (Grilo et al. [2016](#page-154-0)). In the case of free-living neotropical marine birds, the frst report of the parasite occurred on the Galapagos penguins (*Spheniscus mendiculus*). Records that indicate the arrival of *Plasmodium* spp. in 2003 at the Galapagos Islands but not clinical disease (Levin et al. [2009\)](#page-155-0), previous research carried out in 1996 indicated that this species was free from this pathogen (Levin et al. [2009;](#page-155-0) Miller et al. [2001\)](#page-155-0). The presence of the pathogen is critical for an endangered species with a small population size that was severely affected by El Niño in 1982 and 1996, with an extreme decline in its populations. A study carried out with samples from 2010 to 2013 from Peru, Chile, and Argentina indicated that this pathogen is only present in Humboldt penguins from Peru but not in Chilean populations or Magellanic penguins from the localities under study (Sallaberry-Pincheira et al. [2015](#page-155-0)). However, a report from the local government from Chile indicates that it is possible that this pathogen is present in *Spheniscus magellanicus* (Carvajal and Alvarado [2009\)](#page-153-0). Therefore, it's imperative to conduct additional reserch and monitoring of this pathogen in naïve populations.

6.3 Main Environmental and Anthropogenic Impacts on Seabird Disease Ecology on the Pacifc Coast

Anthropogenic pressures can directly or indirectly impact neotropical fauna, and some impacts include eutrophication, overfshing, bycatch, plastic and organic pollution, and climate change (Abelson et al. [2020\)](#page-153-0).

6.3.1 Extensive Extraction of Guano

Historically, guano extraction has had a great impact on marine birds. Seabird associated with Humboldt upwelling nested in areas of enormous guano accumulations. Species such as Cormorants and Penguins build their burros in the guano. The role of the guano is so relevant in the construction of nests of some species that the reduction in Guanay cormorant (*Phalacrocorax bougainvillii*) is linked to the extraction of Guano in combination with the effects of the El Niño Southern Oscillation (ENSO) and El Niño Event. In addition, research has proposed that the lack of protection provided by guano makes this species more susceptible to habitat destruction and increases the chance of nest desertions. Other species highly affected by the harvested guano are Humboldt penguins, which are vulnerable species. Due to the lack of their preferred type of nest, they have been forced to use other less optimal nesting options.
6.3.2 Overfshing and Bycatch

The impact of large-scale industrial fsheries is a serious concern for most global marine ecosystems. Fisheries can impact the health of marine vertebrates through direct interactions during fshing activities (e.g., Bycatch) or by overfshing key resources for top predators. The neotropics contain the largest single ecosystem producer of seafood on the planet, the Humboldt Current, because it is one of the highest productivity systems of the glove, which has encountered historical overfshing, leading to the depletion of key resources for marine mammals (Gutierrez et al. [2016](#page-154-0); Lima et al. [2020](#page-154-0)). Several articles have indicated the detrimental effect of bycatch on marine birds at the global scale and in the neotropics. An increased number of reports indicates the relevance of incorporating new techniques that reduce bycatch. International treatments for conservation have been adopted for countries in the neotropics, with evidence of a reduction in bycatch. However, it is still difficult to qualify all the events that occurred. This threat may not directly affect interactions with infectious diseases, but if we think of populations that are undergoing a reduction in population size because of multiple threats, it is a concern that their genetic variability suffers and consequently is not able to respond properly to novel threats. For example, a new variant of viruses has expanded the range of old pathogens due to climate change and more hospice habitats for intermediate hosts.

6.3.3 Contaminants

Contaminants can bioaccumulate and biomagnify in the trophic web, and marine birds, as top predators, can be a good sentinel for a wide range of organisms as well as an indicator of a lack of food resources and, sadly, have been recognized as indicators of plastic contamination in the oceans. Marine pollution is a serious concern for biodiversity and health in neotropical regions. Although it is not fully understood how many of the organic and inorganic pollutants affect sentinel species, the evidence for some of the best studied substances is alarming. It is well known that polychlorinated biphenyls and heavy metals have been associated with cancer, immunosuppression and higher susceptibility to infectious diseases in marine mammals worldwide (Desforges et al. [2016\)](#page-153-0). In the neotropics, research on the impact of these contaminants is accumulating, although concentrations of organic pollutants are usually lower compared to more developed regions of the planet (Durante et al. [2016;](#page-153-0) Alava et al. [2020\)](#page-153-0). Environmental contaminants can interfere with the immune system of aquatic organisms, especially in fsh-eating animals such as seabirds. This contamination can interfere in different ways with the normal function of the immune system, consequently increasing the potential risk of disease outbreaks. Studies on contaminants and their interaction with infectious diseases in seabirds are rare in general. However, the information offered by researchers in French Guiana (Sebastiano et al. [2022](#page-156-0)) must be taken into careful consideration since it indicates a possible connection between Hg contamination and clinical cases of herpesvirus-associated chick death in the magnifcent frigatebird (*Fregata magnifcens*).

6.3.4 El Niño–Southern Oscillation (ENSO)

Seabirds that inhibit Humboldt upwelling are adapted to an environment with high productivity and ENSO variation. They live in physiological equilibrium with their environment, which is more limited during the breeding season. For that reason, researchers are able to establish an optimal range of feeding during breeding (Culik and Luna-Jorquera [1997;](#page-153-0) Culik et al. [1998\)](#page-153-0); consequently, when prey is unavailable, the parent will abandon the nest. This has been documented during El Niño events for several species but with more dramatic effects for endemic species such as the *Galápagos* (*Spheniscus mendiculus*) and fightless cormorant (*Phalacrocorax harrisi*) during the 1982–1983 event (Valle and Coulter [1987](#page-156-0)). Changes in the frequency and severity of ENSO can be devastating for the equilibrium of populations, and the combination with other threats, such as infectious diseases, can be distressing for any species.

6.4 Next Step, in Which Areas Should We Focus Our Research Efforts to Better Understand the Ecology of Diseases in Neotropical Birds?

Ongoing efforts at two sites are great examples of how collaboration between veterinarians and biologists can contribute to the understanding of marine bird ecology, establishment of health baselines and monitoring of the prevalence of infectious diseases. In Punta San Juan and Islas Galapagos, several papers have been published on these topics. Thanks to the collaborative effort, it was possible to obtain evidence for the introduction of Plasmodium on the penguins.

To better understand the effect of diseases and the environmental impact of the Anthropocene. In addition, there is a direct impact of human activities. It is necessary to obtain more information on the life cycle of the species and be able to generate prediction models that help to develop conservation measures based on evidence.

6.5 2022–2023 Outbreak HPAI Infuenza in LATAM

6.5.1 History of Infuenza in LATAM

Infuenza was reported in early 2000 in LATAM from wild birds in Bolivia and since then has been reported in several countries from South America. The North American fyaway has been indicated as the main migratory route that connects birds on the American continent. Birds migrate from North America to South America, with some of them having annual migration routes that connect Alaska with South Patagonia and Chile (Olsen et al. [2006\)](#page-155-0). In addition, the flow of pathogens such as infuenza is quite relevant for understanding disease dynamics. In the case of this virus, such as infuenza, this large interchange of variants of potential hosts leads to a logically large diversity of strains. Low pathogenic infuenza virus circulating in LATAM, some of them apparently coevolve in South America, and others have a North American origin (Jiménez-Bluhm et al. [2018](#page-154-0)). The origins and prevalence of North American strains have changed between countries in South America since the late 2000s and after the outbreak in poultry from Chile. An increase in surveillance in South America is helping to better understand the epidemiology of local strains (Jiménez-Bluhm et al. [2018](#page-154-0)).

6.5.2 HPIV 2.3.4.4 Outbreak in LATAM 2022

During the elaboration of this chapter, we encounter the infuenza virus outbreak LATAM. This infuenza A virus belongs to the 2.3.4.4 clade frst reported in 2021; it is the largest outbreak of infuenza reported thus far (Shi et al. [2023;](#page-156-0) WOAH [2023\)](#page-156-0) and reaches the level of a panzootic. Reports from the scientifc community indicate that this outbreak has been devastating to several species across the globe, and the consequences for conservation must be carefully examined case by case (Cunningham et al. [2022\)](#page-153-0). In North America, it has affected several birds, but apparently more cases have been reported in aquatic birds, raptors, and vultures. In the case of LATAM, perhaps because of the promiscuous nature of the virus in combination with a vast diversity and richness of naïve hosts that inhabit one of highest productive areas of the world's oceans, a large diversity of seabirds have been affected (Table [6.1,](#page-148-0) Fig. [6.1](#page-150-0)). The frst mortalities occurred in Peru, with cases on the order of thousands of pelicans reported in the news ([https://www.reuters.com/](https://www.reuters.com/business/healthcare-pharmaceuticals/bird-flu-kills-sea-lions-thousands-pelicans-perus-protected-areas-2023-02-21/) [business/healthcare-pharmaceuticals/bird-fu-kills-sea-lions-thousands-pelicans](https://www.reuters.com/business/healthcare-pharmaceuticals/bird-flu-kills-sea-lions-thousands-pelicans-perus-protected-areas-2023-02-21/)[perus-protected-areas-2023-02-21/\)](https://www.reuters.com/business/healthcare-pharmaceuticals/bird-flu-kills-sea-lions-thousands-pelicans-perus-protected-areas-2023-02-21/). Peer review publications in press indicate that several birds from Peru have been reported to be positive for Peruvian pelican (*Pelecanus thagus*), Guanay cormorant (*Phalacrocorax bougainvillii*), Peruvian booby (*Sula variegata*), and Humboldt penguin (*Spheniscus humboldti*) (Leguia et al. [2023\)](#page-154-0). In Peru, more than 22,000 wild birds die by the end of 2022, mainly pelicans and boobies (Gamarra-Toledo et al. [2023a](#page-154-0), [b\)](#page-154-0). However, the impact of the

mortalities on the population and the consequences for the conservation of the species under the IUCN red list and those heavily impacted by this virus remain unclear. In Chile, the trend was similar, with more cases in Pelicans followed by boobies and cormorants. Pelicans have 60% positivity in Chile according to local authorities. Data provided by local Chilean authorities, SAG and SERNAPESCA, indicate that more than 16,000 seabirds have stranded or died from the beginning of this panzootic (SERNAPESCA [2023](#page-156-0); SAG [2023](#page-155-0)). SERNAPESCA indicates 1037 Humboldt penguins are reported stranded, most of them death stranding, with a low positivity to H5N1 with the current testing protocol (SERNAPESCA [2023](#page-156-0)) (Table [6.2\)](#page-151-0). However, they reported a more than 1000% increase in strandings over the 90 reported animals on average between 2009 and 2022 (Table [6.3](#page-152-0)). Additionally, more than 3000 marine birds have been tested for infuenza (SAG [2023\)](#page-155-0). To evaluate the situation on LATAM, we base our information on the information provided to WAHIS (WAHIS [2023\)](#page-156-0) to compare among countries; however, the information available on the platform depended on information provided by local authorities, and we identifed discrepancies with local information and peer review data. Consequently, it is important to take the information provided here as a reference and need to be re-evaluated in light of new peer review data. According to these available data, the most impacted countries are Chile and Peru, and Chile shows a larger diversity of affected seabirds (Table [6.1](#page-148-0), Fig. [6.1](#page-150-0)). It is relevant to note that this virus has spread to several species of marine mammals (see Chap. [6](#page-136-0)).

It is challenging to talk about the realities of all countries in LATAM, but at least to our knowledge, this outbreak has been a great challenge for wildlife, since LATAM does have the capabilities and facilities that are available in the Northern Hemisphere, and the impact on the rescue and rehabilitation of all birds needs to be evaluated carefully. In the case of Chile, many rehabilitation centers are closed, and many wild birds have been euthanized because of the zoonotic potential of this virus. Local associations called for the implementation of emergency quarantine facilities and requests for equipment for local rescue centers.

Finally, this panzootic is a call to work together in a true One Health approach to understand the effect of different stressors and anthropogenic impacts on the wildlife of LATAM and across the globe. We are looking for data to predict the effect of this panzootic on the wildlife population, and the information needed is lacking for even the most loved and well-studied species. We need information on virus genetics and evolution in real time and data on the ecology and population biology of the species to build a life table and then be able to generate prediction models of the effect of different stressors in combination with diseases on the long-term survival of the species.

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Table 6.1 (continued)

Fig. 6.1 Genus of aquatic birds affected by HPIV H5N1 in LATAM. (Data reported to WAHIS April 4, 2023. Map generated by Nicole Atero, One Health Initiative, UC)

Table 6.2 Stranded marine mammals and penguins in Chile during 2023, data from Sernapesca (2023) **Table 6.2** Stranded marine mammals and penguins in Chile during 2023, data from Sernapesca ([2023](#page-156-0))

Not all animals tested positive for H5N1. In orange, marked species were more affected Not all animals tested positive for H5N1. In orange, marked species were more affected

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Chapter 7 Rodents as Key Hosts of Zoonotic Pathogens and Parasites in the Neotropics

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7.1 Introduction

Zoonotic diseases are infections that can be naturally transmitted between vertebrate animals and humans (WHO [2012\)](#page-197-0). It is estimated that approximately 60% of emerging human infections are zoonotic (Rahman et al. [2020](#page-195-0); Taylor et al. [2001\)](#page-197-0). Zoonoses represent the most important threat among infectious diseases emerging worldwide (Morens et al. [2004;](#page-193-0) Woolhouse [2006](#page-197-0); Karesh et al. [2012\)](#page-191-0) because of their high impact on human health and the global economy (Jones et al. [2008;](#page-191-0) Rahman et al. [2020\)](#page-195-0).

In the twentieth century, infuenza A virus was responsible for pandemic episodes such as the Spanish Flu (1918–1919), Asian Flu (1957–1958), and Hong Kong Flu (1968), which together claimed the lives of 20–50 million people

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worldwide. In the twenty-frst century, the 2009 H1N1 infuenza pandemic has already caused approximately 18,000 human deaths (Rewar et al. [2015\)](#page-195-0). In economic terms, the 2014 Ebola virus disease outbreak in West Africa cost the global economy more than USD 53 billion (Hurber et al. [2018\)](#page-191-0), and diseases transmitted by *Aedes aegypti* and *Aedes albopictus* mosquitoes accumulated a cost of more than USD 87 billion in the period 1975–2020, according to the most conservative estimate (Roiz et al. [2023](#page-195-0)). However, the most notable and recent zoonotic phenomenon is undoubtedly the crisis generated by the new SARS-CoV-2 coronavirus disease (COVID-19). While there is ongoing debate regarding the intermediate host species responsible for the initiation of human infections, it is most likely that the spillover occurred in the wet markets of Wuhan (Lytras et al. [2021](#page-192-0)) or as a result of interactions between wildlife and the human inhabitants of rural populations (Li et al. [2020](#page-192-0); Córdoba-Aguilar et al. [2021\)](#page-188-0). Globally, there have been 765,903,278 confrmed cases of COVID-19, including 6,927,378 deaths, reported to the WHO [\(https://covid19.who.int;](https://covid19.who.int) consulted in May 2023), in addition to the macroeconomic impact it represents since more than 90% of the global economy experienced a contraction of the gross domestic product per capita (Yeyati and Filippini [2021\)](#page-198-0). Thus, the signifcance of the impact of pandemic episodes caused by zoonotic diseases on the human population and development is clear.

The role played by mammals as zoonotic reservoirs is notable since most emerging human diseases originate from this group of animals (Cleaveland et al. [2001;](#page-188-0) Morse et al. [2012](#page-194-0); Han et al. [2016](#page-191-0)). Within the terrestrial mammalian taxonomic group of Orders, there are wide variations in the proportion of species that have been identifed as zoonotic hosts. Generally, the number of species that are zoonotic hosts increases in direct proportion to the total species richness of the order, and thus, the most species-rich orders present the greatest zoonotic diversity (Han et al. [2016;](#page-191-0) Mollentzea and Streickera [2020](#page-193-0)). In a review of global patterns of zoonotic diseases in mammals, Han et al. [\(2016](#page-191-0)) highlighted the orders Rodentia, Chiroptera, Soricomorpha, Primates, Carnivora, and Artiodactyla as the most species-rich groups of mammals harboring the greatest diversity of zoonoses.

The order Rodentia includes 2552 species grouped into 33 families and 513 genera, which places rodents as the most diverse group, with 39.30% of the total number of species among mammals (Burgin et al. [2018\)](#page-188-0). Rodents are widely distributed since they have proven capable of colonizing nearly every terrestrial ecosystem worldwide (with the exception of a few isolated islands and Antarctica; Wilson and Reeder [2005\)](#page-197-0). In general, rodents are small animals that reach sexual maturity at an early age, presenting high reproductive rates and large litters (Krebs et al. [1973\)](#page-192-0). Due to their high abundance, low immunological investment, and high tolerance to anthropogenic environments, these species with short life spans tend to harbor and propagate more zoonotic pathogens than species with long life cycles (Albery and Becker [2021](#page-186-0); Estavillo et al. [2022](#page-189-0)). These characteristics, coupled with their prevalence and range of impacts they have on agriculture, urban areas, natural ecosystems, and public health (Singleton et al. [1999;](#page-196-0) Capizzi et al. [2014](#page-188-0)), make rodents a group of special attention for research focused on zoonoses.

Rodents are hosts of a great diversity of pathogens, endo- and ectoparasites, including some of considerable public health importance (Mills and Childs [1998;](#page-193-0) Meerburg et al. [2009;](#page-193-0) Luis et al. [2013;](#page-192-0) Capizzi et al. [2014](#page-188-0); Han et al. [2015;](#page-191-0) Albery et al. [2020\)](#page-186-0). Salmonellosis, plague, leptospirosis, leishmaniasis, toxoplasmosis, Lassa fever, Hantavirus hemorrhagic fever, bartonellosis, listeriosis, and Lyme disease, among many others, are some examples of human diseases, the etiological agents of which can be transmitted by rodents (Meerburg et al. [2009;](#page-193-0) Battersby [2015;](#page-187-0) Dahmana et al. [2020\)](#page-188-0).

Rodents comprise the largest number of zoonotic hosts compared to any other order of terrestrial mammals, as $\sim 10\%$ of rodent species are zoonotic hosts (Han et al. [2015](#page-191-0), [2016\)](#page-191-0). Currently, 244 rodent species have been identifed as reservoirs of 66 zoonotic diseases caused by viruses, bacteria, fungi, helminths, and protozoa (Meerburg et al. [2009;](#page-193-0) Luis et al. [2013](#page-192-0); Battersby [2015;](#page-187-0) Han et al. [2015](#page-191-0), [2016](#page-191-0)). The geographic distribution of rodent hosts worldwide is heterogeneous, and it is evident that the areas with the highest concentration of host species are found in the high latitudes of the globe $({\sim}40^{\circ}$ N; see Han et al. [2015\)](#page-191-0). Nevertheless, the intertropical regions of the planet also contain a high number of rodent hosts (Han et al. [2015\)](#page-191-0). These are areas in which biodiverse nations are still undergoing economicsocial development and where zoonotic diseases pose a greater risk to humans as they experience ever increasing contact with wildlife (Jones et al. [2008;](#page-191-0) Han et al. [2016;](#page-191-0) Albery et al. [2020](#page-186-0)). In the Americas, the intertropical region is one of the areas with the highest concentration of rodent host species, particularly central Mexico and the Atlantic coast region of South America where a high potential exists for the emergence of new host species (Han et al. [2015\)](#page-191-0). Given its importance as an area of high potential for the presence and emergence of zoonoses, in this chapter, we review the role of rodents as zoonotic reservoirs in the Neotropical biogeographic region in America, the latitudinal range of which approximately covers between 31° N and 44° S and extends from north-central Mexico, with the state of Sonora being the northernmost limit, to south-central Argentina and Chile in South America (Morrone [2014;](#page-193-0) Morrone et al. [2022](#page-194-0)).

Here we examine the general role played by rodents as hosts of zoonotic pathogens and parasites in the Neotropics. To this end, we conducted a systematic literature search from September to December 2022, following the recommendations of the PRISMA guide (Moher et al. [2010\)](#page-193-0). We reviewed a total of 236 published studies that refer to the detection of zoonotic pathogens in Neotropical rodent hosts in 24 countries in the Americas, covering the period 1950–2022 (Fig. [7.1](#page-160-0)). We classifed the zoonotic role of Neotropical rodents into two groups: (1) hosts of pathogens that cause diseases in humans through direct (nonvectorial) transmission, such as Machupo virus–hemorrhagic fever, Hantavirus–pulmonary syndrome, and leptospirosis, among others, and (2) hosts of ectoparasites that transmit disease-causing pathogens in humans, domestic animals, or livestock through indirect (vectorial) transmission, such as Chagas disease, leishmaniasis, and spotted fever, among others. Given the prevalence of anthropogenic impact on ecosystems, we also reviewed two land use scenarios in which rodents can be important propagators of parasites: fragmented landscapes and agricultural areas. Since rodents can persist in highly

Fig. 7.1 Flow chart of article selection according to the PRISMA protocol

fragmented landscapes (Pires and Gallet [2022](#page-195-0)) and can be very damaging as crop pests (Stenseth et al. [2003](#page-196-0)), we revised the signifcance of rodents as hosts of zoonotic pathogens with public health implications and analyzed the evidence suggesting that these patterns may exhibit predictability across time and geographical regions.

The search included the following databases: Web of Science, Scopus, and Google Scholar, including studies published in the period 1950–2022. The search strategy included words related to rodents (rodent* OR rat OR mice), zoonotic diseases (pathogen OR parasite OR zoonotic OR disease OR infection OR virus OR bacteria OR protozoa OR helminth), and Neotropical location (America OR Neotropical OR Latin America OR South America). All articles published in English, Spanish, and Portuguese were included. The selection criteria for the articles were as follows: (1) full text provided; (2) the study was conducted in the Neotropical region of the Americas; (3) geographic location provided, including specifc information of the province or country; and (4) the study reported zoonotic parasites or those with zoonotic potential. Systematic review articles and metaanalyses were excluded. For data extraction, location variables (i.e., country, study site, habitat type), rodent-specifc data (i.e., family, genus, species), and zoonotic pathogen-specifc data (i.e., pathogen type, etiologic agent, associated disease) were selected.

7.2 Rodents as Hosts of Pathogens and Zoonotic Parasites in the Neotropics

Our study covers 24 countries and 245 reports of the detection of zoonotic vectoring pathogens belonging to four classes (i.e., helminths, bacteria, protozoa, and viruses) in at least 130 species of Neotropical rodents. Based on the number of reports per nation, the following countries stood out: Brazil with 33.20% of the reports ($n = 81$), Mexico with 13.93% (*n* = 34), Argentina with 11.89% (*n* = 29), Colombia with 5.33% (*n* = 13), and Peru with 4.51% (*n* = 11). Cuba, Guatemala, Jamaica, and Trinidad and Tobago had one report each (0.41%). The lowest number of reports corresponded to Central America and the Caribbean Islands or Insular America (Fig. 7.2). Strikingly, there is no published information on the detection of zoonotic pathogens in rodents for Nicaragua, Guyana, Haiti, or other Antillean Island nations.

Fig. 7.2 Approximate locations and study frequency by country reporting zoonotic pathogens. Pathogens of direct transmission (plus sign) and indirect transmission (triangles) in the Neotropical region (gray line) of America

This distribution pattern of the published reports on the detection of zoonotic pathogens is maintained on consideration of the type of etiological agent causing the zoonosis since for pathogens such as helminths, bacteria, and protozoa, the largest number of records are found in the Yucatan Peninsula of Mexico and the southern cone of South America and in Brazil and Argentina. However, for zoonotic diseases in which the etiological agents are viruses, the vast majority of published reports correspond to South American countries (Fig. 7.3).

In the Neotropics, 130 rodent species, represented by 56 genera and 12 families, have been identifed as confrmed hosts of zoonotic diseases (Table [7.1](#page-163-0) and Fig. [7.4\)](#page-169-0). The families with the highest representation were Cricetidae (63.85%; $n = 83$), Echimyidae (10.00%; $n = 13$), Heteromyidae (4.58%; $n = 6$), and Sciuridae (4.58%; $n = 6$), while the most represented genera were *Akodon* (6.15%; $n = 8$), *Calomys*

Fig. 7.3 Approximate locations of publication records of zoonotic parasites and pathogens by group: (**a**) helminth, (**b**) bacteria, (**c**) protozoa, and (**d**) virus in the Neotropical region (blue line)

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Table 7.1 (continued)

Table 7.1 (continued)

(continued)

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Table 7.1 (continued)

(5.38%; *n* = 7), *Oligoryzomys* (5.38%; *n* = 7), *Heteromys* (4.62%; *n* = 6; Fig. 7.5a), and *Necromys* (4.62%; $n = 6$; Table [7.1\)](#page-163-0). Considering the tolerance of these species to fragmentation of their natural habitat, 76 (58.46%) Neotropical rodents are wild species with affnity to the unmodifed natural environment, 51 (39.23%) species have affinity to agricultural and/or fragmented landscapes, and three (2.31%) species are considered synanthropic (Fig. [7.6\)](#page-170-0).

Fig. 7.4 Distribution fow of transmission type of zoonosis by parasite and pathogen group, host, and place of occurrence. The width of the fow bars is proportional to the frequency of records from the 245 reports of zoonotic parasite and pathogen detections from 236 publications

Fig. 7.5 (**a**) *Heteromys gaumeri* (Heteromydae) captured in a degraded semievergreen tropical forest of the Calakmul region, and (**b**) *Mus musculus* (Muridae) captured in a traditional agricultural feld of the south of Yucatan—credits to Marga Barber

Fig. 7.6 Frequency of zoonotic diseases (bars) and etiological agents (gray points) reported by multipathogen rodent hosts and their tolerance to disturbed habitats

At least 31 zoonoses are associated with these Neotropical rodent species, of which 67.74% ($n = 21$) and 32.26% ($n = 10$) are directly and indirectly transmitted by vectors, respectively (Table [7.1](#page-163-0); Figs. [7.2](#page-161-0) and [7.4\)](#page-169-0). Parasitic zoonoses due to helminths were the most frequently recorded, with 35.48% (*n* = 11), followed by bacterial zoonoses $(32.26\%; n = 10)$, while parasitic zoonoses due to protozoa and those of viral origin each represented 16.13% ($n = 5$) of the zoonoses identified (Table [7.1\)](#page-163-0). The highest numbers of etiologic agents of these zoonoses are viruses (*n* = 22), followed by 16 species of both helminths and protozoa and 15 species of bacteria (Table [7.1](#page-163-0) and Fig. [7.7\)](#page-171-0).

The zoonoses with the highest number of Neotropical rodent hosts are diseases transmitted indirectly by vectors: Chagas disease (Trypanosomiasis), which has been detected in 78 rodent species and reported in 12 Neotropical countries; leishmaniasis, detected in 42 rodent species and reported in 14 countries; and plague, detected in 23 rodent species and three countries (Table [7.1](#page-163-0) and Fig. [7.5](#page-169-0)). Direct transmission zoonoses such as Hantavirus cardiopulmonary syndrome, leptospirosis, and toxoplasmosis have been reported in seven countries and detected in 18, 15, and 14 rodent species, respectively (Fig. [7.8\)](#page-172-0).

Chagas disease, caused by the protozoan *Trypanosoma cruzi*, is endemic to the Americas and infects hundreds of species of wild and synanthropic mammals (Jansen et al. [2018\)](#page-191-0). This disease is vector-borne by dozens of species of triatomine arthropods (Ibarra-Cerdeña et al. [2017](#page-191-0)), with rodents comprising the mammalian

Fig. 7.7 Frequency of zoonotic diseases by the pathogen in multipathogen rodent host

group with the second highest number of *T. cruzi* records, behind only the order Didelphimorphia (Brenière et al. [2016](#page-188-0)). Leishmaniasis is distributed in regions of Asia, Africa, and America; its etiological agents are protozoa of the genus *Leishmania*, and in the Neotropical region of America, it is transmitted by the bite of phlebotomine sandfies of the genus *Lutzomyia* (Sharma and Singh [2008\)](#page-196-0), with domestic dogs and small mammals being the main reservoirs (Brandão-Filho et al. [2003;](#page-188-0) Quaresma et al. [2011](#page-195-0)). On the other hand, the disease known as plague is caused by infection with the bacterium *Yersinia pestis*, which is transmitted mainly by the bite of feas associated with rodents (Barbieri et al. [2020\)](#page-187-0). It is worth noting that in countries classifed as endemic for plague (such as Peru, Brazil, Bolivia, and Ecuador), where human cases continue to occur, susceptible rodents and feas play a crucial role in maintaining plague transmission in the natural environment (Schneider et al. [2014](#page-196-0)), highlighting the importance of monitoring rodent populations in regions prone to outbreaks in the Neotropics.

Among the Neotropical rodent hosts, 77 species (58.78%) have been identifed as being associated with a single pathogen and 54 species (41.22%) as multipathogen reservoirs (Table [7.1](#page-163-0) and Fig. [7.6\)](#page-170-0). The Neotropical multi-pathogen

Number of countries where zoonosis has been reported (line/dots)

Fig. 7.8 Multihost zoonotic diseases (*n* = 31) by pathogen type, rodent host frequency (bars), and countries of occurrence (gray points)

rodents associated with the highest number of zoonoses (*z*) and etiologic agents (ea) are *Rattus rattus* (*z* = 18, ea = 23), *R. norvegicus* (*z* = 15, ea = 19), *Hydrochoerus hydrochaeris* ($z = 14$, ea = 17), *Mus musculus* ($z = 12$, ea = 15; Fig. [7.5b\)](#page-169-0), *Oligoryzomys nigripes* ($z = 8$, ea = 12), *Nectomys squamipes* ($z = 6$, ea = 7), and *Holochilus sciureus* ($z = 5$, ea = 6). Prominent among the multi-pathogen rodents are the three synanthropic species (*R. rattus*, *R. norvegicus*, and *M. musculus*), one associated with wild settings (*H. hydrochaeris*), and one associated with agricultural/fragmented landscapes (*O. nigripens*; Fig. [7.6](#page-170-0)).

Synanthropic rodents play a key role as hyper reservoirs in the Neotropics. Collectively, *R. rattus*, *R. norvegicus*, and *M. musculus* are multi-pathogen species that can transmit 100% ($n = 10$) of bacteria-caused zoonoses in the Neotropics. Even when only *R. rattus* and *R. norvegicus* species are considered, they can together transmit 90% ($n = 9$) of the zoonoses caused by bacteria and 81.82% ($n = 9$) of those caused by parasitic helminths; *R. rattus* and *M. musculus* can be reservoirs for 85.71\% $(n = 6)$ and 57. 10\% $(n = 4)$, respectively, of infections transmitted by ectoparasites. In addition, *M. musculus* can be a reservoir for 50% ($n = 5$) of zoonoses caused by bacteria and is the only reservoir species for the virus that causes lymphocytic choriomeningitis in the Neotropics (Table [7.1\)](#page-163-0). These rodent species are distributed in temperate and tropical zones worldwide, are closely related to human environments (Macdonald et al. [2015\)](#page-192-0), and are considered pest species given the threat they pose to agricultural production, damage to infrastructure, and impact on public health and ecosystems (Almeida et al. [2013](#page-187-0); Capizzi et al. [2014;](#page-188-0) Rabiee et al. [2018\)](#page-195-0). These rodents can play an important role in the transmission of diseases to humans since they are hosts of a high number of zoonotic parasites and have a close relationship with human populations (Rabiee et al. [2018\)](#page-195-0). Thus, it is important to study the effect of control of these rodents on the transmission of zoonotic diseases, especially in biodiverse areas where humans increasingly experience contact with wildlife (Jones et al. [2008;](#page-191-0) Albery et al. [2020\)](#page-186-0), such as the Neotropical region of the Americas. Clearly, this is a research topic that warrants considerable effort going forward (Zeppelini et al. [2022\)](#page-198-0).

On the other hand, capybara (*H. hydrochaeris*) is another important multipathogen species in the Neotropics. It is a wild rodent that is widely distributed in South America (Mones and Ojasti [1986](#page-193-0)) and is a reservoir and host of at least 14 zoonotic diseases: six caused by bacteria, fve by helminths, two by protozoa, and one by a virus (Table [7.1](#page-163-0) and Fig. [7.7](#page-171-0)). This large rodent represents a frequent and important source of protein for indigenous and rural communities (Mones and Ojasti [1986;](#page-193-0) Ali and Jones [2020](#page-187-0)), as well as an ecotourism attraction (Herrera and Barreto [2013\)](#page-191-0), and consequently, its relationship with human populations is extremely prominent (Verdade and Ferraz [2006](#page-197-0); Serra-Medeiros et al. [2021](#page-196-0)). Given these characteristics, it is important to consider the potential risk to public health posed by rodents, and it is therefore necessary to monitor these animals in anthropogenic environments and use them in an appropriate manner to prevent contagion and zoonotic outbreaks.

Next, we examine the variety of rodent-borne diseases and discuss the different modes of zoonotic disease transmission in humans that can result from pathogens in the Neotropics.

7.3 Direct Transmission: Rodents as Hosts of Pathogens That Cause Human Diseases in the Neotropics

The direct transmission of zoonotic pathogens occurs via the participation of two agents: the host of the pathogen (in this case, rodents) and humans. For these, contagion occurs through contact with rodent fuids and wastes such as saliva, excreta, urine, or even through ingestion of contaminated food and water (Rahman et al. [2020\)](#page-195-0). Of the 31 zoonoses associated with Neotropical rodents, 21 (67.74%) are directly transmitted (Table [7.1](#page-163-0) and Fig. [7.4](#page-169-0)). Among the directly transmitted zoonoses, 11 (52.38%) were caused by helminths, four (19.05%) by viruses, three (14.29%) by bacteria, and three (14.29%) by protozoa (Table [7.1\)](#page-163-0). Synanthropic rodents are involved in the transmission of 13 directly transmitted zoonotic diseases (Table [7.1](#page-163-0)).

Zoonotic diseases can also be classifed according to the environments in which transmission occurs: synanthropic and exoanthropic (Bedi et al. [2022](#page-187-0)). The most common direct rodent-borne diseases in the Neotropics are synanthropic zoonotic diseases, that is, the circle of transmission occurs in the urban and peri-urban environment, involving domestic fauna and wildlife adapted to human-developed environments (Rahman et al. [2020;](#page-195-0) Bedi et al. [2022\)](#page-187-0). Within this categorization, helminth zoonoses are the most diverse in the Neotropics, and the most widely distributed helminth in Neotropical countries (*n* = 11) is *Moniliformis moniliformis* (Dhaliwal and Juyal [2013\)](#page-189-0). This helminth causes human acanthocephaliasis, which is transmitted among rodents only by species of the genus *Rattus* (*R. rattus* and *R. norvegicus*; Table [7.1](#page-163-0)), while humans are accidental hosts (Dhaliwal and Juyal [2013;](#page-189-0) Pan American Health Organization [2003\)](#page-194-0). Another helminth involved in zoonoses transmitted by synanthropic rodents is *Angiostrongylus costaricensis*, which causes abdominal angiostrongyliasis and for which rodents are the defnitive host (Graeff-Teixeira et al. [1990](#page-190-0)). In the frst instance, the parasite establishes itself in the pulmonary arteries of the rodent and then travels in larval form to its feces, where fecal deposition can contaminate food and thus produce transmission to humans (Dhaliwal and Juyal [2013\)](#page-189-0). In the Neotropics, 11 rodents of the families Cricetidae and Muridae have been confrmed as host species of *A. costaricensis* (Table [7.1\)](#page-163-0). Another parasite that is transmitted by food contamination is the helminth *Trichinella spiralis*, which is responsible for trichinosis and for which *Rattus* rats are a reservoir (Panti-May et al. [2021\)](#page-194-0). This rodent genus represents an important reservoir of pathogenic microorganisms due to their wide geographic distribution and ease of establishment and reproduction in urban areas (Runge et al. [2013\)](#page-196-0). They are also responsible for the transmission of *Rodentolepis nana*, which is the most common parasitic cestode in humans, with a prevalence of 4% worldwide (Bogitsh et al. [2012](#page-188-0)), and which, together with *Hymenolepis diminuta* and *Rodentolepis microstoma*, causes the disease known as hymenolepiasis. Another synanthropic zoonosis directly transmitted by Neotropical rodents is salmonellosis, which is caused by gram-negative bacteria of the Enterobacteriaceae family and is considered one of the most important foodborne pathogens worldwide (Meerburg and Kijlstra [2007\)](#page-193-0). Transmission of salmonellosis in humans occurs by consuming food or water sources that have previously been contaminated by Salmonella hosts (Conover and Vail [2015](#page-188-0)). Although it has been shown that rodents can become infected with Salmonella (Bastiaan and Aize [2007](#page-187-0)) through contact with feces from diseased animals and other wildlife, the role of rodents in the transmission of salmonellosis is still unclear (Battersby [2015](#page-187-0); Raufu et al. [2019\)](#page-195-0). Rodents can be longterm sources of infection since their droppings can be contaminated by the pathogen for up to 3 months (Davies and Wray [1995](#page-188-0)), and they are also considered amplifers of infection on busy food animal production farms (Meerburg and Kijlstra [2007;](#page-193-0) Meerburg et al. [2009\)](#page-193-0), where prevalence values of up to 24% have been reported (Henzler and Opitz [1992](#page-191-0)). In general, salmonellosis is considered a global public health problem (Sabour et al. [2022](#page-196-0)) since, at least until 2010, 93.8 million cases and

155 thousand deaths per year were estimated (Majowicz et al. [2010\)](#page-193-0). There are considerable effects in the Americas: in Mexico, an estimated 92,000 people are infected per year (DGE [2021\)](#page-189-0), and in the USA, the economic costs of salmonellosis have been estimated at USD 1.4 billion per year (Roberts [1988\)](#page-195-0).

There are also exoanthropic diseases in the Neotropics. These are transmitted mainly by wildlife and occur in nonanthropic environments. They include rabies (virus) and cryptosporidiosis, caused by the protozoan *Cryptosporidium parvum*. These zoonoses have been detected in Brazil, with the capybara (*Hydrochoerus hydrochaeris*) being the rodent intermediate host, although most cases of transmission of these diseases are due to other hosts (e.g., *Canis lupus familiaris*) in anthropogenic environments (Sing [2015;](#page-196-0) Garg [2014\)](#page-190-0), causing 3.7 million cases of rabies and 59,000 deaths per year worldwide, with economic losses valued at USD 8.6 billion annually (Hampson et al. [2015\)](#page-191-0).

However, most zoonotic diseases circulate in both natural and anthropogenic environments (Marquardt [2004](#page-193-0); Rahman et al. [2020](#page-195-0)). In particular, there is a record of three directly transmitted diseases characterized by a large number of reservoirs or hosts and a wide Neotropical distribution: Hantavirus cardiopulmonary syndrome (Hantavirus), leptospirosis (*Leptospira* sp. bacteria), and toxoplasmosis caused by the protozoan *Toxoplasma gondii* (Table [7.1](#page-163-0)).

Hantavirus family viruses can be transmitted to humans and cause two diseases: hemorrhagic fever with renal syndrome (HFRS), present in Eurasia, and Hantavirus cardiopulmonary syndrome (HCPS) in the Americas (Heyman et al. [2012](#page-191-0)). The frst indications of Hantavirus hemorrhagic fever date back over 900 years when it was described in Chinese writings, and the frst description and isolation of the etiological agent subsequently occurred in 1978 (Smadel [1953;](#page-196-0) Lee et al. [1978](#page-192-0); Avšic-Zupanc et al. [2016](#page-187-0)). Transmission occurs through inhalation of aerosols from excreta and the secretions of infected rodents (Jonsson et al. [2010](#page-191-0); Pinto-Junior et al. [2014\)](#page-195-0). Regarding its reservoirs, several species of the Muridae and Cricetidae families are natural hosts of the virus but do not develop the disease (Conover and Vail [2015](#page-188-0)). The incidence of HCPS is therefore strongly correlated with the population dynamics of the hosts (Heyman et al. [2012;](#page-191-0) Conover and Vail [2015](#page-188-0)). In Latin America, at least 14 Hantaviruses are of zoonotic potential, with each of these viruses varying in terms of distribution and rodent reservoir species: Anajatuba by *Oligoryzomys fornesi*; Araucaria by *Oligoryzomys nigripes*; Araraquara by *Necromys lasiurus* in Brazil; Andes by *Oligoryzomys longicaudatus* in Argentina; Bermejo by *Oligoryzomys chacoensis* in Argentina and Paraguay; Castelo dos Sonhos by *O. nigripes* in Brazil; Choclo by *Oligoryzomys fulvescens* in Panama; Itapua by *O. nigripes* in Paraguay; Juquitiba by *O. nigripes* in Brazil; Laguna Negra by *Calomys callosus* and *Calomys laucha* in Argentina, Bolivia, and Paraguay; Lechiguanas by *Oligoryzomys favescens* in Argentina and Uruguay; Orán by *O. longicaudatus* in Argentina; Rio Mamore by *Oligoryzomys microtis* in Bolivia and Peru; and Rio Mearim by *Holochilus sciureus* in Brazil (Rosa et al. [2005;](#page-195-0) Firth et al. [2012;](#page-190-0) Figueiredo et al. [2014](#page-190-0); Avšic-Zupanc et al. [2016\)](#page-187-0). Comparatively, HFRS affects a larger number of people, although it is less lethal. Between 150,000 and 200,000 cases are reported annually, of which 10,000 are estimated in Europe, while

most occur in Asia (Schmaljohn [2009;](#page-196-0) Heyman et al. [2012;](#page-191-0) D'Souza and Patel [2020\)](#page-188-0). In the case of HCPS, the prevalence is lower, but mortality is greater than 50% in South America (Bedi et al. [2022\)](#page-187-0). In the Neotropics, approximately 280 cases of HCPS are reported per year and attributed to the Andes, Brazil, Araraquara, and Juquitiba Hantaviruses, mainly in Argentina and Chile. The highest case fatality rate is for the Araraquara virus at 44.5%, followed by the Andes virus at 21.4–35.9% and the Juquitiba virus at 32.5%, while for the Choclo and Laguna Negra viruses, the rates are less than 15% (Vial et al. [2023](#page-197-0)).

The Arenaviridae family of viruses is a diverse group of RNA viruses that are etiologic agents of several emerging zoonoses characterized by high case fatality rates (Peters [2002;](#page-195-0) Ma et al. [2021](#page-192-0)). The frst identifcation of Arenavirus occurred in 1933 when the virus was isolated from lymphocytic choriomeningitis (Armstrong and Lillie [1934](#page-187-0)). In the Neotropical region, the frst Arenavirus identifed were the Junin virus in Argentina in the 1950s and the Machupo virus in Bolivia in the 1960s (Peters [2002](#page-195-0)). These etiological agents are responsible for hemorrhagic fevers and are transmitted by inhalation of excreta or contact with the urine, feces, or saliva of infected rodents (Mills [2006\)](#page-193-0). At least six Arenaviruses are of zoonotic potential in the South American region: Guanarito, causing Venezuelan hemorrhagic fever and transmitted by *Zygodontomys brevicauda*; Junín, causing Argentine hemorrhagic fever and transmitted by *Calomys musculinus*; Machupo, an agent of Bolivian hemorrhagic fever caused by *Calomys callosus*; Chapare, causing Chapare hemorrhagic fever in Bolivia caused by *O. microtis*; Sabiá, which causes Brazilian hemorrhagic fever, the reservoir of which remains unknown; and lymphocytic choriomeningitis caused by lymphocytic choriomeningitis virus and transmitted by *Mus musculus* (Peters [2002;](#page-195-0) Frank et al. [2021](#page-190-0); Loayza-Mafayle et al. [2022](#page-192-0)). In the case of lymphocytic choriomeningitis virus, seroprevalences of less than 5% have been reported in humans in Argentina, while the seroprevalence in rodents was 20% (Vilibic-Cavlek et al. [2021](#page-197-0)). Among the most important Arenaviruses, the Junin virus causes annual outbreaks in the central region of Argentina, where approximately fve million people are at risk of infection, and the disease has a fatality rate of approximately 20% (Enria et al. [2008](#page-189-0)). Machupo virus causes one of the most devastating diseases, with a mortality rate of 25–35%, and since 2005, a re-emergence of cases has taken place in Bolivia (Patterson et al. [2014\)](#page-194-0).

Leptospirosis is the most common zoonotic disease in the world within the animal kingdom (Guerrant et al. [2006](#page-190-0)). It is one of the frst zoonotic diseases to be attributed to rodents, being frst described in 1886 (Weil [1886](#page-197-0)). It is caused by bacteria of the genus *Leptospira* that chronically infect rodents by establishing themselves in the renal system, with subsequent transfer in large quantities through the urine (Ellis [2015](#page-189-0)). Humans can contract the disease by direct contact with urine or through contaminated water (Cosson et al. [2014](#page-188-0); Haake and Levett [2015](#page-191-0); Sabour et al. [2022](#page-196-0)). Leptospirosis is one of the zoonotic diseases with the highest morbidity and mortality since the number of people affected by leptospirosis worldwide is estimated at 1.03 million per year, with a mortality of 58,900 per year (Costa et al. [2015\)](#page-188-0). In the Neotropics, approximately 77,000 cases of leptospirosis are estimated annually, with a mortality of 100–1600 deaths, mainly in Central American countries (Costa et al. [2015](#page-188-0)).

Toxoplasmosis, caused by the protozoan *Toxoplasma gondii*, is another globally distributed disease that is transmitted in both synanthropic and exoanthropic environments and has been estimated to affect six billion people worldwide (Klaren and Kijlstra [2002\)](#page-191-0). It is most prevalent in areas with humid and temperate climates at low elevations, and its prevalence therefore varies according to geographic region (Walton et al. [1966;](#page-197-0) Schwartzman and Maguire [2011;](#page-196-0) de Lima Bessa et al. [2021\)](#page-189-0). In the United States of America and United Kingdom, prevalence values of 23% have been documented (Joynson [1992;](#page-191-0) Holland [2003](#page-191-0)), while higher values have been reported in Latin American countries, even reaching approximately 50% (Bigna et al. [2020](#page-187-0)). The most common form of transmission is through consumption of contaminated food and water or by ingestion of meat with *T. gondii* oocysts (Schwartzman and Maguire [2011](#page-196-0)).

Q fever is caused by the bacterium *Coxiella burnetii* and is also one of the zoonoses present in both urban and natural areas. There are reports of Q fever cases worldwide (except in New Zealand), and both the disease and the pathogen were frst identifed in Australia in the 1930s (Conover and Vail [2015\)](#page-188-0). The reservoirs of *C. burnetii* comprise a wide variety of vertebrates, including domestic and wild animals, such as rodents (Parker et al. [2006](#page-194-0)). Transmission to humans occurs primarily through inhalation of aerosols from ruminating, parturient, or slaughtered animals (Parker et al. [2006](#page-194-0); Angelakis and Raoult [2010](#page-187-0)). In the Neotropics, human cases have been described in several Latin American countries, but the country with the highest incidence is French Guiana (Epelboin et al. [2021\)](#page-189-0). Although rodents are not the main reservoirs of *C. burnetii*, their presence in anthropic environments represents a risk of transmission of the pathogen to domestic animals (Parker et al. [2006\)](#page-194-0). In synanthropic environments in the Neotropics, the rodent reservoir of *C. burnetii* is *M. musculus* (Rozental et al. [2017\)](#page-196-0), although species of the genus *Rattus* have also been reported as hosts and potential transmitters of the pathogen in other parts of the world (Sabour et al. [2022](#page-196-0); Izquierdo-Rodríguez et al. [2019](#page-191-0)). In exoanthropic environments, the pathogen has been detected in *Hydrochoerus hydrochaeris* (Caviidae; Christen et al. [2020](#page-188-0)) and in rodents of the family Cricetidae and the genus *Proechimys* of the family Echimyidae (Gardon et al. [2001](#page-190-0); Rozental et al. [2017;](#page-196-0) de Oliveira et al. [2020\)](#page-189-0).

7.4 Vectorial Transmission: Rodents Are Hosts of Endoand Ectoparasitic Vectors of Pathogens That Cause Disease in Humans, Domestic Animals, and Livestock

In the Neotropical region, seven zoonotic diseases have been identifed that are transmitted through the bite of ectoparasitic vectors in rodents (Table [7.1\)](#page-163-0). All of these zoonoses are caused by bacteria, of which 57.14% ($n = 4$) belong to the genus

Rickettsia: murine typhus, fea-borne spotted fever, tick-borne spotted fever, and Brazilian spotted fever. The remaining three diseases are human bartonellosis, the etiological agent of which is bacteria of the genus *Bartonella*; Lyme disease, caused by *Borrelia burgdorferi*; and plague, caused by *Yersinia pestis*. Together, these diseases have been detected in 39 rodent species in the Neotropics.

Bartonellosis is an infectious disease caused by gram-negative bacteria of the genus *Bartonella*, which are transmitted mainly by arthropod vectors such as lice, feas, sandfies, and ticks (Billeter et al. [2008;](#page-187-0) Chomel et al. [2009\)](#page-188-0). These bacteria infect a large number of mammals, including rodents, which act as natural reservoirs (Gutiérrez et al. [2015](#page-190-0)). At least ten species of rodent reservoirs of *Bartonella* have been identified in the Neotropics (Table [7.1](#page-163-0)). The first etiological agent described was *Bartonella bacilliformis* causing Carrion's disease (Bartonellosis) in 1905 during an outbreak in a mining town in Los Andes, Peru (Bass et al. [1997;](#page-187-0) Maco et al. [2004\)](#page-193-0); however, DNA evidence of another bacterial species of the same genus, *B. quintana*, has been found in human samples from more than 4000 years ago (Drancourt et al. [2005](#page-189-0)). At least 13 species of *Bartonella* are pathogenic to humans (Chomel et al. [2009](#page-188-0)). The diseases they cause include Carrion's disease, trench fever, cat scratch disease, and clinical manifestations such as bacillary angiomatosis, septicemia, endocarditis, chronic lymphadenopathy, and neurological disorders (Maurin et al. [1997](#page-193-0)). Although it is estimated that more than 12,000 cases of bartonellosis occur annually in the United States (Nelson et al. [2018\)](#page-194-0), the incidence of confrmed cases of bartonellosis associated with rodent transmission is very low, with only 24 confrmed cases identifed worldwide (Krügel et al. [2022\)](#page-192-0). Cases of human bartonellosis have been described in Brazil and Peru (Maco et al. [2004;](#page-193-0) Favacho et al. [2014](#page-190-0)), although there is no evidence that these infections were caused by or associated with rodents. Krügel et al. [\(2022](#page-192-0)) explain that the absence of case reports of rodent-associated *Bartonella* infections may be the result of several factors, including misdiagnosis, lack of alertness, and lack of sophisticated detection equipment. It is therefore necessary to continue research aimed at this zoonosis in the Neotropics.

Lyme disease is caused by bacteria of the phylum Spirochaetes and the genus *Borrelia*. At least fve species cause this disease in humans: *Borrelia afzelii*, *B. bavariensi*, *B. burgdorferi*, *B. garinii*, and *B. spielmanii* (Tilly et al. [2008](#page-197-0); Stanek et al. [2012\)](#page-196-0). The most frequent clinical manifestation of this disease is erythema migrans, which are skin lesions that appear at the site of the tick bite, while the most severe manifestations include affectations of the skin, nervous system, joints, and even the heart (Stanek et al. [2012;](#page-196-0) Shapiro [2014\)](#page-196-0). Lyme disease is distributed in Europe, Asia, and the Americas (Schmid [1985](#page-196-0)). In North America, the only species responsible for infection is *B. burgdorferi* (Stanek et al. [2012;](#page-196-0) Shapiro [2014](#page-196-0)). The reservoirs of *B. burgdorferi* include mammals, birds, and reptiles, with rodents being the most frequently studied of these (Wolcott et al. [2021](#page-197-0)). Lyme disease is transmitted incidentally through the bites of ticks of the genus *Ixodes*; in North America, the main species are *Ixodes scapularis* and *I. pacifcus* (Stanek et al. [2012;](#page-196-0) Shapiro [2014](#page-196-0)). The frst description of Lyme disease in America occurred in 1977 in 51 residents of Connecticut, USA (Steere et al. [1977](#page-196-0)). In 1982, the etiologic agent

was described by identifying the presence of the bacterium in *I. dammini* ticks (Burgdorfer et al. [1982\)](#page-188-0). In the Americas, an estimated 476,000 cases are reported annually in the United States (Kugeler et al. [2021](#page-192-0)), while in the Neotropical region of Mexico, the number of cases has been low, and no association between human cases and reservoirs or vectors has been demonstrated (Colunga-Salas et al. [2020\)](#page-188-0), although the presence of *B. burgdorferi* has been identifed in three rodent species in Mexico: *Heteromys gaumeri*, *M. musculus*, and *R. rattus* (Solís-Hernández et al. [2016;](#page-196-0) Rodríguez-Rojas et al. [2020\)](#page-195-0). In Latin America, the countries that have reported human cases are Costa Rica, Colombia, Brazil, Peru, Bolivia, Chile, and Argentina; however, these cases have been scarce, and there are no records of rodents or other mammals as reservoirs (Robles et al. [2018](#page-195-0)).

Murine typhus is an acute febrile disease caused by *Rickettsia typhi*, a gramnegative, obligate intracellular bacterium with worldwide distribution. It was frst described in 1926 (Azad [1990](#page-187-0); Quintal [1996;](#page-195-0) Tsioutis et al. [2017](#page-197-0)). Its reservoirs are mammals, mainly rats (*R. norvegicus* and *R. rattus*), and it is therefore associated with urban areas where these synanthropic rodents are abundant (Civen and Ngo [2008;](#page-188-0) Peniche-Lara et al. [2012](#page-194-0)). Fleas, mainly of the species *Xenopsylla cheopis*, function as vectors, and transmission to humans occurs when fea bites and feces are inoculated at the site of the bite (Azad [1990](#page-187-0)). In general, murine typhus is considered a mild disease with a relatively low mortality rate (1–4%). The characteristic symptoms are fever, musculoskeletal pain, and a skin rash (Bolaños et al. [2004\)](#page-188-0). In the last two decades in the Neotropics, autochthonous human cases of murine typhus have been reported in Mexico, Honduras, Nicaragua, Colombia, and Brazil, and cases have been confrmed with serological evidence in countries such as Panama, Peru, Chile, and Argentina (Faccini-Martínez et al. [2021](#page-189-0)). Recently, molecular evidence of *R. typhi* infection has been obtained for the synanthropic rodents *M. musculus* and *R. rattus* in Yucatán, Mexico (Peniche-Lara et al. [2015;](#page-194-0) Torres-Castro et al. [2018](#page-197-0)).

Flea-borne spotted fever is a rickettsiosis caused by the intracellular bacterium *Rickettsia felis*, the hosts of which can be mammals, including humans, and transmission is mainly by the vector *Ctenocephalides felis*, the cat fea (Pérez-Osorio et al. [2008](#page-194-0); Reif and Macaluso [2009;](#page-195-0) Brown and Macaluso [2016](#page-188-0)). Its distribution is worldwide, and molecular evidence of *R. felis* has been found in different arthropods, including feas, mites, and ticks, on fve different continents (Pérez-Osorio et al. [2008](#page-194-0); Reif and Macaluso [2009](#page-195-0)). It was frst described in 1990 and found in the cytoplasm of *C. felis* fea cells (Adams et al. [1990\)](#page-186-0). In 1994, the frst human case of *R. felis* infection was identifed in the United States, demonstrating its zoonotic potential (Schriefer et al. [1994](#page-196-0)). The clinical symptoms include fever, skin rash, eschar, and, in some cases, neurological affectations (Zavala-Velazquez et al. [2006;](#page-198-0) Parola [2011](#page-194-0)). Human cases in the Neotropics have been rare, occurring only in Mexico (Zavala-Velázquez et al. [2000](#page-198-0), [2006](#page-198-0)) and Brazil (Galvão et al. [2006\)](#page-190-0). However, in a large number of countries in the region, the presence of *R. felis* has been confrmed in arthropod vectors, as well as in domestic and wild mammals (Labruna et al. [2011;](#page-192-0) Bermúdez and Troyo [2018\)](#page-187-0). In the Neotropical region, 10 rodents have been confirmed as reservoirs of *R. felis*, present in five countries:
Mexico, Colombia, Peru, Brazil, and Argentina (Table [7.1](#page-163-0)). Evidence of this *Rickettsia* has been found in ectoparasites collected from rodents, including *C. felis* in the rodent *Peromyscus yucatanicus* and *Polygenis odiosus* in *Ototylomys phyllotis* in Mexico (Peniche-Lara et al. [2015](#page-194-0)) and in *P. axius* in *Oxymycterus rufus* in Argentina (Melis et al. [2020](#page-193-0)). The presence of this pathogen throughout the Neotropical region, together with the growing number of studies reporting infection in various ectoparasitic arthropods, highlights the potential public health risk posed by *R. felis*.

Tick-borne spotted fever is a rickettsiosis that in America is caused by the intracellular bacterium *Rickettsia parkeri*. Its reservoirs are mammals, including rodents, and it can be transmitted to humans by tick vectors of the genus *Amblyomma* (Paddock et al. [2004;](#page-194-0) Silva-Ramos et al. [2021](#page-196-0); Scott et al. [2022\)](#page-196-0). In 1937, *R. parkeri* was frst isolated from the *Amblyomma maculatum* tick in Texas, the USA (Parker et al. [1939\)](#page-194-0). However, it was not until 2004 that the zoonotic potential of the pathogen was identifed, with an infection described in a patient in the United States (Paddock et al. [2004\)](#page-194-0). It is considered a relatively nonaggressive disease with varied symptoms, including fever, skin rash, myalgia, headache, and regional adenopathy, among others (Paddock et al. [2004;](#page-194-0) Silva-Ramos et al. [2021\)](#page-196-0). The cases reported in Latin America are from Mexico, Colombia, Brazil, Argentina, and Uruguay (Silva-Ramos et al. [2021](#page-196-0); Torres-Castro et al. [2022](#page-197-0)). Rodents have only been identifed as reservoirs in Brazil in at least nine species: *Akodon* sp., *Cerradomys maracajuensis*, *C. scotti, C. subfavus*, *Euryoryzomys russatus*, *H. hydrochaeris*, *Necromys* sp., *Nectomys squamipes*, and *R. rattus* (Pacheco et al. [2007](#page-194-0); Milagres et al. [2013](#page-193-0); Szabó et al. [2013;](#page-197-0) Binder et al. [2016;](#page-187-0) Luz et al. [2019](#page-192-0)).

Rocky Mountain spotted fever or Brazilian spotted fever is caused by the bacterium *Rickettsia rickettsii* (Labruna [2009](#page-192-0)). This species is a gram-negative, obligate intracellular bacterium that is transmitted to vertebrates and humans through the bite of infected ticks (Dantas-Torres [2007](#page-188-0); Greca et al. [2008](#page-190-0)). The tick species *A. cajennense* and *A. aureolatum* are considered the main vectors in South America (Thorner et al. [1998;](#page-197-0) Labruna [2009\)](#page-192-0). The frst case of Rocky Mountain spotted fever was recorded in Idaho, USA, and published in 1896 (Thorner et al. [1998](#page-197-0)). In South America, the frst case described was in Brazil in 1929 (Del Guercio et al. [1997\)](#page-189-0). The recurrent symptoms of this disease are fever, headache, vomiting, diarrhea, and skin rashes (Estripeaut et al. [2023](#page-189-0)). Among the rickettsioses associated with human infections, those caused by *R. rickettsii* are predominant and account for 42.2% of the reports (Zhang et al. [2022](#page-198-0)). In Latin America, the disease is endemic in Mexico, Panama, Costa Rica, Colombia, Argentina, and Brazil (Dantas-Torres [2007](#page-188-0); Dzul-Rosado et al. [2019\)](#page-189-0). This rickettsiosis is considered to have the highest case fatality rate in Brazil, where 2127 cases were reported for Brazilian spotted fever between 2000 and 2019, with São Paulo being the state with the highest number of cases and a case fatality rate of 48% (Galvão et al. [2003;](#page-190-0) Faccini-Martínez et al. [2021\)](#page-189-0). Regarding the vectors, Ribeiro et al. [\(2021](#page-195-0)) showed that the countries in Latin America with the highest prevalence of *R. rickettsii* ticks were Colombia (17.0%), Mexico (9.9%), Panama (2.8%), and Brazil (2.0%). Moreover, the species mainly infected were *Rhipicephalus sanguineus* and *Amblyomma mixtum*. Brazil is the only

Neotropical country where evidence of rodent reservoirs of *R. rickettsii* has been reported (Pacheco et al. [2007;](#page-194-0) Milagres et al. [2013;](#page-193-0) Szabó et al. [2013](#page-197-0); Krawczak et al. [2014;](#page-191-0) Binder et al. [2016;](#page-187-0) Luz et al. [2019](#page-192-0); de Oliveira et al. [2020](#page-189-0)).

Plague is a disease caused by the gram-negative bacillus bacterium *Yersinia pestis*, the main reservoir of which is rodents, and is transmitted indirectly by feas (Schneider et al. [2014;](#page-196-0) Barbieri et al. [2020](#page-187-0)). In America, the main vector is the fea *Xenopsylla cheopis*, although other species have been identifed (Ruiz [2001](#page-196-0)). The infection has fve clinical forms: bubonic, septicemic, pneumonic, meningeal, and pharyngeal plague, with the frst three being the most frequent (Perry and Fetherston [1997;](#page-195-0) Bezerra and de Almeida [2022\)](#page-187-0). Historically, the frst evidence of the role of rodents in the transmission of this zoonosis occurred during the frst plague pandemic. The literature describes three plague pandemics in history: the Justinian plague (541–750 AD), Black Death (1346–1353), and the nineteenth-century plague (1854; Gibert [2019](#page-190-0)). The most devastating period occurred during the Black Death, which killed one-third of the European human population (Barbieri et al. [2020;](#page-187-0) Bezerra and de Almeida [2022\)](#page-187-0). Recently, the Neotropical countries that have recorded clustered cases of plague are Peru, Ecuador, Brazil, and Bolivia, with Peru having the highest percentage of cases at 68.80% (Schneider et al. [2014;](#page-196-0) Barbieri et al. [2020](#page-187-0)). In the Neotropics, 23 rodent reservoirs have been identifed for *Y. pestis* in Argentina, Brazil, and Peru (de la Barrera [1953](#page-188-0); Almeida et al. [1987](#page-187-0), [1989;](#page-187-0) Martin-Alonso et al. [2014\)](#page-193-0). In turn, Bezerra and de Almeida [\(2022](#page-187-0)) found that at least 50 species of wild rodents can be identifed as hosts. It is important to consider that this disease continues to be emerging and seems to be endemic in South American countries. Given the high number of rodent reservoir species and the associated high mortality, surveillance, timely diagnosis, and pest control in synanthropic environments are critically important.

7.5 Vectorial Transmission: Rodents as a Source of Zoonotic Pathogens and Their Transmission Through Free-Living Vectors

Three zoonotic diseases transmitted by free-living arthropod vectors have been identifed in the Neotropics (Table [7.1](#page-163-0)): Venezuelan equine encephalitis, caused by a virus; Chagas disease, produced by the protozoan *Trypanosoma cruzi*; and leishmaniasis, which is due to infection by protozoan organisms of the genus *Leishmania*. Together, these diseases have been detected in 95 species of Neotropical rodents.

Venezuelan equine encephalitis is caused by the Venezuelan equine encephalitis virus, an arbovirus of the genus *Alphavirus* of the family Togaviridae (Weaver et al. [2004;](#page-197-0) Lundberg et al. [2017\)](#page-192-0). The main reservoirs are rodents, and transmission is through mosquitoes, mainly of the genus *Culex* (Weaver and Barrett [2004](#page-197-0)), as the most important vector. Female mosquitoes ingest the virus in the blood of the infected host and, when biting another animal, transfer the virus through their saliva to the new host (Weaver and Barrett [2004;](#page-197-0) Guzmán-Terán et al. [2020\)](#page-190-0). Equines (horses, donkeys, and mules) function as amplifcation hosts, and humans living in close association with these animals can then become infected accidentally (Greene et al. [2005\)](#page-190-0). The etiologic agent was frst recognized in 1938 in Venezuela, where the virus was isolated from the brain of a horse that died of encephalitis (Weaver et al. [2004\)](#page-197-0). In 1954, the virus was frst isolated in human cases during an outbreak in Colombia, and associated neurological complications and mortality were described (Sanmartin-Barberi et al. [1954](#page-196-0)). General symptoms are fever, chills, myalgia in the legs and lower back, and general malaise, and there may be neurological signs such as convulsions, drowsiness, confusion, and photophobia. In lethal cases, there is diffuse congestion and edema in the brain, pulmonary hemorrhage, and sometimes meningoencephalitis (Guzmán-Terán et al. [2020\)](#page-190-0). In human infections, neurological symptoms occur in 14% of cases, while 1% of cases result in lethal encephalitis (Guzmán-Terán et al. [2020](#page-190-0)). In the Neotropical region, human and equine cases have been described in at least 12 countries: Mexico, Belize, Guatemala, El Salvador, Costa Rica, Panama, Colombia, Venezuela, Ecuador, Peru, Brazil, and Argentina (Aguilar et al. [2011;](#page-186-0) Guzmán-Terán et al. [2020\)](#page-190-0). One of the largest outbreaks occurred in 1962, beginning in Colombia and expanding to Venezuela, where 23,283 cases were reported, including 960 neurological cases and 156 deaths (Aguilar et al. [2011\)](#page-186-0). At least six species of Neotropical rodents have been reported as reservoirs of Venezuelan equine encephalitis virus: in Mexico, *Handleyomys alfaroi*, *Oryzomys couesi*, and *Sigmodon hispidus* (Estrada-Franco et al. [2004;](#page-189-0) Deardorff et al. [2011](#page-189-0)); in Panama, *Proechimys semispinosus* and *S. hispidus* (Grayson and Galindo [1969\)](#page-190-0); in Colombia, *Proechimys chrysaeolus* (Barrera et al. [2002\)](#page-187-0); and in Venezuela, *Proechimys guairae* and *S. hispidus* (Navarro et al. [2005\)](#page-194-0). Despite the evidence, studies are still required to determine the role of these hosts in zoonotic transmission.

Chagas disease or American trypanosomiasis is an infection caused by the protozoan agent *Trypanosoma cruzi*, a hemofagellate intracellular parasite belonging to the family Trypanosomatidae (Echeverria and Morillo [2019](#page-189-0); Lidani et al. [2019\)](#page-192-0). This parasitosis is endemic to the Americas and is distributed from the southern United States to Argentina (Galaviz-Silva et al. [2017](#page-190-0)). Transmission to humans is mainly through a triatomine insect vector (Molina et al. [2016\)](#page-193-0). Arthropod vectors belong to the subfamily Triatominae, and at least 157 species, represented by 18 genera, have been reported (Alevi et al. [2021\)](#page-186-0). However, the main vectors are bedbugs of the genera *Triatoma*, *Panstrongylus*, and *Rhodnius* (Pérez-Molina and Molina [2018](#page-194-0)). It has been reported that *T. cruzi* can be transmitted to more than 180 species of mammals belonging to seven orders and 25 families (WHO [2002](#page-197-0); Ibarra-Cerdeña et al., [2017\)](#page-191-0). Transmission occurs when the vector defecates or urinates after sucking blood and the parasite comes into contact through a wound in the skin (the bite) or the eyes or mouth (Guhl and Ramírez [2021\)](#page-190-0). Other routes of transmission are from mothers to infants, transfusions of blood products and tissue transplants, ingestion of contaminated food, or accidents in laboratories or hospitals (Molina et al. [2016](#page-193-0); Guhl and Ramírez [2021\)](#page-190-0). In humans, *T. cruzi* infection dates back to more than 7050 years BC. B.C. since it has been identifed in naturally

mummifed human remains in northern Chile and southern Peru (Aufderheide et al. [2004\)](#page-187-0). In 1909, Carlos Chagas frst described *T. cruzi* protozoan disease in Lassance, Brazil (Kropf and Sá [2009\)](#page-192-0). Human *T. cruzi* infection can be characterized by two successive phases: the acute phase, characterized by high parasitemia, is usually asymptomatic or may present symptoms such as a self-limited febrile illness (Rassi

and Marin-Neto [2010;](#page-195-0) Lidani et al. [2019\)](#page-192-0). Manifestations disappear spontaneously in 90% of cases, and 60–70% of infected individuals are asymptomatic (Lidani et al. [2019](#page-192-0)). In the case of vectorial transmission, symptoms may be observed through the skin (chagoma) or in the ocular mucous membranes (Rassi and Marin-Neto 2010). The symptomatic phase develops in $30-40\%$ of patients and may include neurological, cardiac, digestive, or cardiodigestive clinical symptoms (Dias [1995;](#page-189-0) Vago et al. [2000\)](#page-197-0). Currently, an estimated six to seven million people are infected with *T. cruzi,* and more than 65 million people live in areas of exposure at risk of infection (Lidani et al. [2019\)](#page-192-0). Chagas disease is a major public health problem in Latin America, with an estimated annual incidence of 30,000 new cases and 14,000 deaths (González-Zambrano et al. [2021](#page-190-0)). Moreover, the disease causes damage to the economic sector; in Latin America, an estimated 752,000 workdays are lost per year due to premature deaths, along with USD 1.2 billion in lost productivity (Conteh et al. [2010](#page-188-0)). In Colombia in 2017, an annual loss of USD 13 million was estimated (Olivera and Buitrago [2020](#page-194-0)). Affections in the symptomatic phase cause high morbidity and mortality, with frequent hospitalizations and surgical procedures, incurring a signifcant economic cost (González-Zambrano et al. [2021](#page-190-0)). In the Neotropics, 78 rodent species have been identifed as confrmed reservoirs of *T. cruzi*, and their distribution covers 12 countries in the region: Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, El Salvador, Mexico, Panama, Peru, and Venezuela (Table [7.1](#page-163-0) and Fig. [7.2\)](#page-161-0). The diversity of rodent reservoirs of *T. cruzi* includes wild species found in conserved environments and species with affnity to agroecosystems and synanthropic areas. Synanthropic rodents are important hosts in domestic and peridomestic environments of the Chagas disease endemic area since they transport the parasite to human dwellings, where it can then be transmitted to humans and domestic animals via triatomines (Pinto et al. [2006;](#page-195-0) Ramsey et al. [2012](#page-195-0); Lopez-Cancino et al. [2015](#page-192-0); Yef-Quinteros et al. [2018](#page-198-0)). Control of these reservoir populations in synanthropic settings is important to reduce the risk of vectorial transmission. Moreover, studies are required to assess the role of rodents in maintaining infections and the frequency of transmission to humans (Battersby [2015\)](#page-187-0).

Leishmaniases are a group of diseases caused by the protozoan genus *Leishmania*, an obligate intracellular parasite of the family Trypanosomatidae (Roatt et al. [2020;](#page-195-0) Mann et al. [2021\)](#page-193-0). Its distribution includes Europe, Asia, Africa, and the Americas, where at least 23 *Leishmania* species have the potential for transmission to humans and 18 of these are distributed in the Neotropical region of the Americas (Sasidharan and Saudagar [2021\)](#page-196-0). In the Americas, at least 87 mammalian species can host *Leishmania* (Roque and Jansen [2014](#page-195-0)), and transmission takes place through phlebotomine dipterans, female sandfies of the genus *Lutzomyia* that inoculate parasites into the host through their bite (Burza et al. [2018;](#page-188-0) Sasidharan and Saudagar [2021;](#page-196-0) Serafm et al. [2021\)](#page-196-0). The parasite was described in 1903 by Leishman and Donovan separately but in the same year. The species now known as *Leishmania donovani* was found in the spleen of patients in India diagnosed with visceral leishmaniasis (Herwaldt [1999](#page-191-0)). There are three clinical manifestations of the disease: visceral leishmaniasis, cutaneous leishmaniasis, and mucocutaneous leishmaniasis (Mann et al. [2021;](#page-193-0) Sasidharan and Saudagar [2021](#page-196-0)). Globally, leishmaniasis is endemic in 102 countries, and it is estimated that there are approximately 1.3 million cases annually, causing between 20,000 and 30,000 deaths (PAHO [2019\)](#page-194-0). In Latin America, approximately 60,000 new cases are estimated each year (Torres-Guerrero et al. [2017](#page-197-0)). In the Neotropical region, at least 42 rodent species are confrmed hosts of *Leishmania*, and the presence of infected rodents has been reported in 14 countries: Argentina, Belize, Bolivia, Brazil, Colombia, Costa Rica, Ecuador, French Guyana, Honduras, Mexico, Panama, Peru, Trinidad and Tobago, and Venezuela (Table [7.1](#page-163-0)). The countries with the highest incidence of leishmaniasis are Brazil, Colombia, and Venezuela (Alvar et al. [2012\)](#page-187-0), and the countries with the highest number of host species are Brazil $(n = 25)$ and Mexico $(n = 7)$. The presence of rodents susceptible to this infection in rural and urban environments, as well as in agroecosystems, refects the potential risk of infection for both humans and domestic fauna since they persist in anthropogenic environments (De Lima et al. [2002;](#page-189-0) Marcelino et al. [2011](#page-193-0); Brandão-Filho et al. [2003](#page-188-0); Lima et al. [2013](#page-192-0)).

7.6 Rodents and Their Role as Propagators of Parasites in Fragmented Landscapes and Agricultural Areas

In the tropical regions of the planet, where mammal biodiversity is highest and land use change occurs, the risk of the emergence of zoonotic infectious disease is high (Allen et al. 2017). The main types of land-use change that are associated with infectious zoonotic disease transmission are deforestation and habitat fragmentation, agricultural development, and urbanization (Gottdenker et al. [2014;](#page-190-0) White and Razgour [2020](#page-197-0)), as has been demonstrated in the Amazon region of the American Neotropics (Ellwanger et al. [2020\)](#page-189-0). Agricultural expansion influences the emergence of zoonoses through a consequent increased rate of contact with wildlife, such that humans and domestic animals that become sympatric with wild fauna come closer together, increasing the probability of contact with wild host species, vectors of zoonotic diseases, and the sylvatic cycles of potential zoonotic pathogens (Jones et al. [2013\)](#page-191-0). On the one hand, land use change tends to cause a loss in biodiversity, with rare and range-limited species particularly experiencing reduced abundance, while generalist species tend to increase in abundance (Newbold et al. [2018\)](#page-194-0). Indirectly, habitat fragmentation that leads to the decline in certain species of the animal community, particularly those that function as predators or competitors of rodents, triggers a predator- and competitor-release situation that promotes rodent abundance, including abundance of zoonotic species (Young et al. [2017](#page-198-0); Glidden et al. [2021\)](#page-190-0).

Land use change, particularly for agricultural development, can also infuence rodent population dynamics. Agricultural interventions lead to an increase in certain food resources that, when found in greater quantities, promote an increase in the abundance of rodents that can exploit those resources (Gibb et al. [2020](#page-190-0)). In cases where this increase is substantial, exponential increases in rodent populations can occur, turning them into pests (Krebs [1999](#page-191-0)). Thus, high rodent density or abundance values may be related to a higher prevalence of parasites and pathogens (Begon et al. [2002](#page-187-0)), such that alterations to ecosystems can create favorable habitats that promote an increase in the host species of zoonotic pathogens (McMahon et al. [2018\)](#page-193-0).

Rodents are one of the main groups in which the effect of land use change on their populations is observed (Gibb et al. [2020;](#page-190-0) Mendoza et al. [2020](#page-193-0)). However, studies focused on describing the relationship between the impacts of agricultural intensifcation and habitat fragmentation and the emergence of zoonoses is still scarce (White and Razgour [2020](#page-197-0)). It has been reported that a high percentage (73%) of rodent species that are reservoirs of zoonotic pathogens are found in anthropogenic habitats compared to natural habitats (Ecke et al. [2022\)](#page-189-0). Agricultural expansion and intensifcation cause the loss of large consumer species, increasing rodent abundance and the displacement of animals to human-modifed environments, thus increasing human exposure to zoonotic pathogens (Young et al. [2017](#page-198-0); Glidden et al. [2021\)](#page-190-0). The infuence of human activity on pathogen infection has been observed in different studies. For example, McCauley et al. ([2015\)](#page-193-0) found that the prevalence of *Y. pestis* doubled in rodents captured in agricultural sites compared to conserved habitats; Morand et al. ([2019\)](#page-194-0) described a link between human-intervened habitats and the prevalence of pathogens harbored by rodents, including important pathogens that cause leptospirosis, bartonellosis, and Hantavirus infections; Shilereyo et al. ([2022\)](#page-196-0) found a higher average of ectoparasite load in small mammals on agricultural and pastoral land compared to those found in conserved environments.

Thus, the evidence indicates that human land use change activities can cause an increase in the abundance of generalist rodents that can amplify the transmission of zoonotic diseases to humans, their domestic fauna, and livestock as a consequence of the increased contact rate between infected and susceptible individuals (Mendoza et al. [2020\)](#page-193-0).

7.7 Concluding Remarks

Zoonoses are undoubtedly among the most important causes of human morbidity and mortality. Mammals are the reservoirs of some of the most prominent emerging and re-emerging human diseases. Within mammals, rodents represent a group of concern because they are hosts for a remarkable diversity of pathogens, parasites, and ectoparasites. They can also tolerate the human modifcation of their habitats, and some species survive in extremely anthropogenic conditions (i.e., cities). These two conditions make rodents a host group with a high likelihood of being the source of human zoonotic diseases going forward. This chapter constitutes the most updated and comprehensive source of information on the role of Neotropical rodents in zoonotic transmission in this critical region of the globe. Given the increasingly omnipresent anthropogenic impact on natural ecosystems, particularly deforestation, fragmentation, agricultural conversion, and, indirectly, differential defaunation (all of which favors the abundance of rodents and the group's zoonotic species), extended, interdisciplinary research on the ecology and health implications of this mammalian lineage represents a critical agenda to address the challenges of the Anthropocene.

Several rodent species are reported as zoonotic hosts that can tolerate anthropogenic landscapes, including agricultural and fragmented landscapes. Of these, three species are highly anthropophilic (*Mus musculus*, *Rattus rattus*, and *R. norvegicus*). They can be reservoirs for at least 31 zoonotic pathogens. However, several other wild-tolerant species have an essential role in transmitting important diseases, such as Chagas or Leishmaniasis, among others. Because there is a rampant trend in tropical landscape degradation, rodents' role as reservoirs of zoonoses is expected to become more critical. A predicted consequence of landscape degradation is the loss of functional biodiversity, for instance, the loss of medium-size predators, which are a group that control rodent populations. Predator release in degraded landscapes (i.e., agriculture) has an impact not only on zoonoses but also on crop damage because rodents also act as agricultural pests. Although rodents are not the only piece in the pathogen circulation system, they are an essential source of pathogen amplifcation and a relatively easy group for transmission monitoring. Establishing a surveillance system that quantifes the population trends of rodents and ectoparasites with a systematic program for pathogen detection for some sentinel pathogens or parasites will provide timely indicators of the risk of zoonoses.

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Chapter 8 Bats, Pathogen Diversity and Rabies in a Changing Neotropic Landscape

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8.1 Introduction

There are misconceptions that have led to the underestimation of bats' ecological roles, even though bats are essential to the health of natural and modifed ecosystems (Kalka et al. [2008](#page-223-0); de Carvalho-Ricardo et al. [2014;](#page-222-0) Medellin et al. [2017;](#page-224-0) Kemp et al. [2019\)](#page-223-0). More than one-third of all bat species assessed by the International Union for Conservation of Nature (IUCN) are classifed as threatened or data defcient, and more than half have unknown population trends (Fenton et al. [2020\)](#page-222-0). According to the most recent IUCN Red List, 77 species are endangered. In addition, at least 988 of the approximately 1400 known bat species require immediate conservation measures and additional research (Frick et al. [2020\)](#page-222-0). Due primarily to their nocturnal and cryptic behaviors, we are unable to accurately evaluate the ecosystem services bats provide. The primary hazards to bats are habitat degradation, roost damage, and hunting (Frick et al. [2020](#page-222-0)). In addition, bats are erroneously stigmatized in many regions of the world, and the current acute respiratory syndrome COVID-19 has generated fear to the point where it is endangering bat populations (Fenton et al. [2020;](#page-222-0) Rocha et al. [2021\)](#page-225-0).

Most of the research on pathogens in bats has focused on the diversity and distribution of medically signifcant emergent pathogens, such as Ebola, Hendra, Nipah, Marburg, and multiple coronaviruses (Epstein et al. [2006;](#page-226-0) Woo et al. 2006; Smith

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et al. [2011](#page-225-0); Hayman [2016\)](#page-223-0). Bats are signifcant reservoirs of numerous viruses (Streicker and Gilbert [2020](#page-225-0)), but their unique function as hosts of zoonotic viruses relative to other mammalian taxa is questionable. While some studies assert that bats host more zoonotic viruses per species than other mammalian orders, such as rodents (Luis et al. [2013](#page-223-0); Olival et al. [2017](#page-224-0)), more recent research has demonstrated that the number of zoonotic pathogens found in bats is largely a function of host species diversity and that the proportion of zoonotic pathogens varies little among mammalian orders (Mollentze and Streicker [2020\)](#page-224-0).

Rabies is the most signifcant zoonosis transmitted by bats in Latin America due to its global distribution, human cases, a wide range of potential reservoirs, and veterinary and economic cost implications, representing a public and animal health concern for which local governments allocate substantial funds for control and eradication programs. In the case of dog-transmitted rabies, these programs have been successful, notably in urban areas, but programs focused on bat eradication have not been effective (Rupprecht et al. [2002;](#page-225-0) Viana et al. [2023\)](#page-226-0). Bat-mediated transmission of rabies virus only occurs in North, Central, and South America. It is believed that rabies has existed in tropical America since pre-Columbian times and is transmitted primarily by hematophagous vampire bats. However, recent phylogenetic reconstructions indicate that the rabies virus in the Americas is unlikely to have originated from vampire bats. Now that diagnostic techniques have advanced, it is possible to comprehend the dynamics of bat-rabies; nevertheless, a transdisciplinary approach that considers the ecology and habitat use of the main reservoir, the common vampire (*Desmodus rotundus*), is needed.

The risk of zoonoses of wild origin has led to several programs that sample wildlife to discover new viruses and assess their zoonotic potential (Olival et al. [2017\)](#page-224-0). These programs include the PREDICT project (Kelly et al. [2017\)](#page-223-0), the Global Virome Project (Carroll et al. [2018](#page-221-0)), and SpillOver: Virus Risk Ranking (Grange et al. [2021](#page-222-0)). The frst two programs focused on conducting targeted surveillance to detect emerging viral pathogens with zoonotic potential, with the goal of preventing emerging diseases and possible pandemics. On the other hand, SpillOver is an opensource tool that evaluates the potential of wild-origin viruses for zoonotic transmission.

It has been observed that there is a signifcant bias in the investigation of emerging infectious disease events, with greater infectious disease surveillance efforts in wealthy and developed countries in Europe, North America, Australia, and parts of Asia compared to developing countries located in tropical regions. These areas are described as hotspots for the emergence of infectious diseases due to their high human population density and greater mammalian diversity. In other words, economic and scientifc resources for pathogen detection and surveillance are located in nations that have a low probability of pathogen emergence (Jones et al. [2008\)](#page-223-0). This is also the case for pathogen research in the order Chiroptera, where regions in Latin America and parts of Asia have comparatively few characterized viruses despite their bat abundance (Olival et al. [2012,](#page-224-0) [2017;](#page-224-0) Szentivanyi et al. [2023\)](#page-225-0).

The economic losses resulting from zoonotic outbreaks are signifcant. The World Bank estimates that six zoonotic outbreaks (Nipah virus, West Nile virus, severe acute respiratory syndrome, highly pathogenic avian infuenza virus, bovine spongiform encephalopathy, and Rift Valley fever) from 1997 to 2009 caused \$80 billion in losses, equivalent to \$6.7 billion per year. In 2016, Fan et al. estimated that a pandemic with the potential to harm 720,000 people could result in \$570 billion in annual economic costs. In 2017, Daszak et al. estimated that the total damage caused by emerging infectious diseases of zoonotic origin over the next 50 years could reach \$3.6 trillion, considering the global frequency of pandemics, mortality rates from emerging infectious diseases, and gross domestic product. According to Dobson et al. [\(2020](#page-222-0)), the COVID-19 pandemic was expected to reduce the global gross domestic product by \$5.6 trillion by 2020.

Opposed to the mentioned economic effects, the World Bank estimated that upgrading public health and animal health systems in developing countries to meet World Health Organization (WHO) and Organization for Animal Health (OIE) standards would cost between \$1.9 and \$3.4 billion per year. In contrast, the PREDICT Project, which began in 2009 and lasted for 10 years, spent \$200 million per year to enhance global capabilities to detect viruses with pandemic potential. The Global Virome Project, a 10-year initiative to discover, characterize, and evaluate 70% of viral diversity, is estimated to cost \$1.2 billion annually (Dobson et al. [2020](#page-222-0)). This initiative focuses on collecting samples from key zoonotic reservoirs, such as bats, in highly biodiverse tropical developing countries with limited capacity to respond to public health crises (Carroll et al. [2018](#page-221-0)). Preventive measures to avert pandemics, including wildlife trade monitoring, reduction of spillovers, control and early detection, halving the rate of deforestation, and terminating the bushmeat trade in China, are estimated to cost between 22 and 31.2 billion annually (Dobson et al. [2020\)](#page-222-0).

As the demand for natural resources rises worldwide, land-use change in tropical and subtropical ecosystems is occurring at an unprecedented rate (Song et al. [2018\)](#page-225-0). Several recent zoonotic infections have been linked to land-use change; however, little is known about the connection between changing land use and the rise of diseases (Gibb et al. [2020](#page-222-0)). Several authors have suggested that land-use change may increase the risk of disease emergence by promoting human–animal interactions or by infuencing pathogen diversity, either directly by changing the prevalence and/or diversity of pathogens or indirectly through impacts on host assemblages (Bradley et al. [2008](#page-221-0); Vittor et al. [2009](#page-226-0); Murray and Daszak [2013](#page-224-0); Rulli et al. [2017](#page-225-0)). However, empirical research examining the impact of land-use change on pathogen assemblages in Neotropical bats remains limited.

8.2 Methodology

A comprehensive search of the Web of Science Core Collection for current information on parasite ecology in Neotropical bats from 1990 to 2021 was conducted. The initial search focused on pathogen groups (viruses, bacteria, and parasites), bats (bats, Chiroptera), and the Neotropical region (Neotropics, South America, and Latin America). Review articles and laboratory/experimental investigations reporting pathogens were excluded from the study. The second search was conducted to identify studies that demonstrate how anthropogenic (fragmentation, land use, landscape, urban, habitat perturbation) and ecological (temperature, latitude, altitude, precipitation, humidity, climate change, functional traits) factors are associated with the prevalence and diversity of each pathogen group. A database was constructed where a single observation consisted of a pathogen reported in a single bat species. Bat family, bat species, year, pathogen type (virus, bacteria, or parasite), pathogen family, and country where the report was made were collected.

The bias in the surveillance of pathogens with zoonotic potential may be due to the high costs associated with identifying and sampling wild species. Therefore, an analysis was conducted to investigate the relationship between gross domestic expenditure on research and development as a percentage of gross domestic product and detection and sampling effort to assess pathogen richness in Neotropic bat species. The goal was to determine whether these factors could affect the surveillance of viral pathogens in bats and how this could impact research and viral surveillance efforts. Information was collected on gross domestic expenditure on research and development (R&D), bat-associated viral richness, and bat richness in Latin America and the Caribbean.

The indicator of gross domestic expenditure allocated to research and development (R&D), expressed as a percentage of gross domestic product (GDP), was utilized to assess the participation of various nations in epidemiological surveillance. This indicator can be used to evaluate and analyze scientifc research and experimental development activities (United Nations [2017\)](#page-226-0). It was obtained from the World Bank's databank (World-Bank [2022](#page-226-0)), analyzing data from 1996 to 2018, as the countries with submissions have records for these years. Since not all countries have entries for all years, the average annual expenditure for each country was calculated. A list of the number of bat species present in each country was prepared using data from the International Union for Conservation of Nature (IUCN [2022\)](#page-223-0). Linear regression analyses were performed between total reported bat viruses per country and gross domestic expenditure on research and development as a percentage of gross domestic product and between bat richness and total reported bat viruses per country. Finally, a literature review was conducted to describe rabies control strategies with emphasis on the ecology of *Desmodus rotundus*, the main species involved in the maintenance and dispersal of the rabies virus.

8.3 Bat-Associated Pathogen Diversity in the Neotropics

A total of 403 studies were selected. Of these, 24 of 33 existing Latin American and Caribbean countries were represented (Brazil, Peru, Argentina, Colombia, Chile, Ecuador, Mexico, Venezuela, Costa Rica, Dominican Republic, French Guiana, Panama, Guatemala, Bolivia, Uruguay, Jamaica, Trinidad and Tobago, Martinique, Paraguay, Grenada, St. Kitts, Cuba, Nicaragua, and Surinam). Brazil was the country with the highest number of publications (123), followed by Mexico (85),

Fig. 8.1 Distribution map of the number of publications on pathogens associated with bats

Argentina (29), Colombia (28), Peru (22), Costa Rica (17), Paraguay (13), Chile (11), and Cuba (10) (Fig. 8.1). In terms of groups of pathogens, Brazil, Mexico, Argentina, Costa Rica, Chile, and Peru were the countries that contained publications belonging to all three groups of pathogens on which we aimed to focus in this chapter: Virus, Bacteria, and Parasites (Hemosporidians, *Babesia, Hepatozoon*, *Trypanosoma*, and Helminths; Nematoda and Platyhelminthes). Brazil mainly contained publications of viruses such as Rhabdoviridae, Coronaviridae, and Paramyxoviridae but also contained a signifcant number of publications of pathogenic bacteria (Bartonella, Mycoplasma, and Rickettsia). Regarding parasite publications, Brazil, together with Mexico, has the highest number of publications reporting Nematoda, Platyhelminthes, and Trypanosoma parasites.

Apart from containing the highest number of publications, Brazil represented the country with the highest pathogen richness (840), followed by Argentina (220), Mexico (203), Chile (180), Costa Rica (168), and Peru (126). These six countries contained most of the studies that we were able to retrieve. Additionally, our frst search revealed that almost all pathogens included in our study (over 1380 parasite observations out of 2214 total pathogen observations) are being recorded and studied in bat species of the family Phyllostomidae (1383), followed by bats of the family Molossidae (675), Vespertilionidae (414), Mormoopidae (247), Emballonuridae (56), Natalidae (38), Noctilionidae (10), and Thyropteridae (1). Most records observed in the family Phyllostomidae belong to Nematoda parasites, followed by the viral families Rhabdoviridae and Coronaviridae (Fig. [8.2\)](#page-204-0). Several pathogens (e.g., *Anaplasma,* Hepeviridae, Hepadnaviridae, Arenaviridae, and Adenoviridae) were found to be uniquely associated with the Phyllostomidae family. Other

Fig. 8.2 Heatmap of the pathogen diversity associated with bat families

families with exclusive associations were Molossidae with retroviruses and peribunyaviruses, Vespertilonidae with haemosporidians, and Mormoopidae with *Babesia* (Fig. 8.2). The bat species with the highest number of publications were *Desmodus rotundus* (75), *Carollia perspicillata* (58), *Tadarida brasiliensis* (56), *Molossus molossus* (41) and *Artibeus lituratus* (41) (Fig. [8.3\)](#page-205-0). The high number of pathogens associated with these species can be attributed to their widespread distribution; as they are found throughout the majority of the Neotropics, they are considered habitat generalists that are able to tolerate the alteration of their habitat. In particular,

Fig. 8.3 Number of publications by bat species. Species with more than four publications are shown

D. rotundus and *C. perspicillata* are considered bioindicators of altered ecosystems (Medellín et al. [2000](#page-224-0)). When analyzing the relationship between species richness and the richness of reported pathogens, a strong positive correlation was found between the richness of viruses ($R^2_{\text{adj}} = 0.89$, $p < 0.001$) and parasites ($R^2_{\text{adj}} = 0.85$, $p < 0.001$) and the richness of bats, whereas the positive correlation between bacteria ($R^2_{\text{adj}} = 0.34$, $p = 0.015$) and bats was not as strong (Fig. [8.4](#page-206-0)).

In the second search, 20 studies were selected where anthropogenic or ecological factors (fragmentation, habitat loss, habitat type, or ecological factors) were

Fig. 8.4 Relationship between bat richness per country and the number of pathogen reports

examined in relation to the presence, prevalence, or diversity of pathogens. Only six studies correlated anthropogenic variables such as fragmentation, habitat loss, or habitat type with the presence, diversity, and presence of pathogens. Fourteen studies analyzed ecological variables such as host specifcity, vegetation cover, functional characteristics, climate, temperature, trophic guilds, population properties, and elevational gradient (Table [8.1](#page-207-0)). In these 20 studies, the following pathogen types were evaluated: bacteria (*Mycoplasma*), hemoparasites (*Trypanosoma*), helminths (Trematoda, Cestoda, and Nematoda), and viruses (primarily Rabies, Coronaviridae, Herpesviridae, and Hepadnaviridae).

Clearly, there is a bias in the study of viruses associated with bats, while most studies focus on detecting, sequencing, and reporting viruses of medical signifcance. There are studies that attempt to comprehend the patterns of association and distribution between viral diversity and host diversity (Luis et al. [2013, 2015](#page-223-0); Nieto-Rabiela et al. [2018,](#page-224-0) [2019\)](#page-224-0). It is predicted that viral richness will be higher in species with a trophic frugivorous guild (Luis et al. [2015](#page-223-0); Willoughby et al. [2017](#page-226-0)), that are long-lived (Luis et al. [2013](#page-223-0); Guy et al. [2020\)](#page-223-0) and have a larger body size (Maganga et al. [2014\)](#page-224-0), that form large colonies (Turmelle and Olival [2009](#page-226-0); Guy et al. [2020](#page-223-0)) and that have extensive geographical ranges that overlap with those of multiple species (Luis et al. [2013](#page-223-0); Maganga et al. [2014](#page-224-0); Olival et al. [2017\)](#page-224-0).

There is evidence to suggest that deforestation can increase the prevalence and diversity of viruses in bat hosts. A study conducted in the Atlantic Brazilian Forest provides additional proof. The study identifed 13 distinct viral species belonging to

Table 8.1 Summary of the selected studies that explore the association between anthropogenic/ecological factors and pathogen prevalence/diversity in **Table 8.1** Summary of the selected studies that explore the association between anthropogenic/ecological factors and pathogen prevalence/diversity in

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four viral families known to infect humans with Coronaviridae viruses, the majority of which were generalists. The likelihood of viral detection was higher in young male bats, who were more likely to interact and share food sources with unfamiliar host species, increasing their exposure to potential viruses (Loh et al. [2022](#page-223-0)). The study demonstrated that despite having fewer bat species, deforested regions maintain higher virus richness. Although the risk of disease was not directly assessed, people living near disturbed forest edges may be particularly vulnerable to zoonotic infections due to increased exposure to disease reservoirs and because deforested areas have higher viral richness. These fndings are consistent with a published study that examined the association between bat diversity and the variety of four medically important virus families across an environmental gradient in humandominated settings in southern Mexico (Rico-Chávez et al. [2015\)](#page-225-0). The generalist species were linked with more viruses than predicted when examining the kind of host response to habitat change, and a positive correlation between the beta diversity of both viral and bat communities and the habitat gradient was found.

The habitat heterogeneity hypothesis (Lawton [1983](#page-223-0)) postulates a strong relationship between environmental diversity; in this case, phylogenetic host diversity and biological diversity (pathogen diversity) were supported by the signifcant positive correlation of phylogenetic diversity with viral richness and viral diversity. The fndings imply that variables such as fragmentation and habitat loss drive species assemblages, resulting in regions of increased risk for the establishment of zoonotic species (Rubio et al. [2014;](#page-225-0) Kamiya et al. [2014](#page-223-0); Gay et al. [2014](#page-222-0)). Viral community composition changes throughout the assessed anthropogenic settings revealed a signifcant dependency on host species change. The study discovered signifcant rates of turnover in viral populations, validating the perturbation theory, which states that changes in land use alter parasite dynamics in multihost systems by modifying the mode of transmission across species (Murray and Daszak [2013](#page-224-0)). According to several studies (Lloyd-Smith et al. [2009](#page-223-0); Murray and Daszak [2013;](#page-224-0) Brearley et al. [2013\)](#page-221-0), habitat change causes the exposure of new hosts to a diverse pool of parasites, particularly in locations with high levels of biodiversity.

Future research is needed to determine which characteristics of the host determine microparasite community assemblages, but greater microparasite diversity does not necessarily translate into higher health risks. In fact, the relationship between parasites and host diversity suggests that a pathogen's chances of posing a health risk are only increased in disturbed, deforested environments. Using machine learning, it has been possible to accurately identify reservoir hosts (Plowright et al. [2019;](#page-224-0) Becker et al. [2022](#page-221-0)). In addition, data-driven identifcation of vector species of a wide range of zoonotic diseases has been carried out, evaluating the vectorial capacity of various mosquito and tick species (Yang and Han [2018\)](#page-226-0). Utilizing these predictive tools could assist in prioritizing sampling of bat species and pathogen surveillance. Moreover, combining refned molecular tools (e.g., metagenomic sequencing) with noninvasive collection methods (e.g., eDNA) could not only optimize pathogen surveillance but also contribute to the conservation of the targeted species (Giles et al. [2021](#page-222-0)).

8.4 Investment in Research and Development and Surveillance of Viral Diversity in Bats

From 1996 to 2018, the World Bank database contains information on gross domestic expenditure on research and development for 15 Latin American countries. The average expenditure varies across the Neotropical region, ranging from 0.042% in Guatemala to 1.12% in Brazil. Among the countries with the greatest bat richness, Brazil (bacteria = 103, parasite = 160, virus = 620) and Mexico (bacteria = 15, parasite $= 334$, virus $= 115$) are the only countries with a significant number of pathogen registers, which coincides with their higher R&D expenditure (1.12%). In contrast, Colombia (bacteria = 40, parasite = 131, virus = 11) and Peru (bacteria = 33, para $site = 38$, virus $= 62$), which also have a high diversity of bat species, have very few reports due to minimal pathogen surveillance efforts (Turmelle and Olival [2009;](#page-226-0) Olival et al. [2017\)](#page-224-0).

The linear regression between total reported bat pathogens per country and gross domestic expenditure on research and development as a percentage of the gross domestic product showed a positive trend (bacteria: $r = 0.74$, $p < 0.001$, parasite: $r = 0.55$, $p < 0.001$, virus: $r = 0.88$, $p < 0.001$). On the other hand, the relationship between bat richness and total reported bat viruses per country did not show a statistically signifcant correlation (Fig. [8.5\)](#page-213-0).

Most countries in the region spend less than 0.5% of their GDP on research and development, which translates to a low national investment in the detection and surveillance of bat-associated viruses. Despite the importance of the relationship between bats and viruses, the pathogen diversity described in Latin America depends largely on foreign investment. This highlights the need to prioritize the allocation of resources to the most vulnerable regions, especially those experiencing high rates of land use change, and to direct epidemiological surveillance to areas with a higher likelihood of infectious disease emergence. Encouraging transdisciplinary approaches aimed at both conserving bats and their ecosystems and improving human health should be a priority.

8.5 A Multidisciplinary Approach to Bat Rabies Virus in Latin America

Rabies, an acute progressive viral encephalitis (family Rhabdoviridae, genus Lyssavirus), is one of the oldest known zoonoses associated with bats, but it continues to pose a threat to public and animal health systems on most continents. The natural history and evolution of lyssaviruses are largely attributed to bats (Rupprecht et al. [2002\)](#page-225-0). Rabies transmitted by *Desmodus rotundus*, commonly known as the common vampire bat, has become an increasingly concerning and previously underestimated threat. It currently represents the leading cause of rabies-related deaths in both humans and livestock in Latin American countries, where canine rabies is on

Fig. 8.5 Relationship between research and development spending and pathogen richness reported per country

the verge of eradication (Vigilato et al. [2013;](#page-226-0) Velasco-Villa et al. [2017](#page-226-0); Benavides et al. [2017](#page-221-0)). Among more than a dozen lyssaviruses associated with bats, rabies virus (RABV) is the most signifcant member and species of the genus from a public health perspective. It is unknown how RABV spreads to new hosts and why spillover events typically result in one-time infections as opposed to sustained infections. Due to the frequency of interaction between conspecifcs, it is hypothesized that host taxonomy and social behavior play a role in RABV persistence (Hughes et al. [2005](#page-223-0)). Species barriers also limit the host range of the rabies virus (Streicker et al. [2010\)](#page-225-0).

Although measuring the human health burden of RABV is diffcult due to the geographic isolation of infected communities and underreporting, the rates of bat depredation on humans and the associated rabies mortality can be alarming. Surveys indicate that in high-risk areas, 23–88% of residents are bitten by *D. rotundus*, causing the death of 1–7% of the local human population during epidemics. Agricultural losses are also substantial, with tens of thousands of livestock being killed by RABV annually, resulting in a cost of more than \$30 million USD before accounting for underreporting and recurring investments in surveillance, diagnostics, and prevention (Benavides et al. [2017](#page-221-0)).

In Latin America, efforts to control and prevent RABV have led to the establishment of various programs, including surveillance, livestock vaccination, pre- and postexposure human prophylaxis, and bat eradication (Johnson et al. [2014\)](#page-223-0). The only method currently employed to reduce RABV transmission within the reservoir is the eradication of bats to reduce their population density. Theoretically, eradication of disease reservoirs is most effective when pathogen transmission rates are dependent on host density, with a critical density threshold below which the pathogen cannot be maintained by the population (Lloyd-Smith et al. [2005](#page-223-0)). However, the elimination of vampire bat colonies has not had a signifcant effect on reducing the circulation of the virus; in contrast, there is evidence that this type of program could favor the persistence of RAVB in local populations (Choisy and Rohani [2006;](#page-222-0) Viana et al. [2023\)](#page-226-0).

Several countries, such as Belize, Costa Rica, Ecuador, Guatemala, Mexico, Paraguay, and Peru, have reported an increase in the rate of RABV cases over the past decade, which requires a review of control and prevention strategies and the incorporation of multidisciplinary strategies, such as landscape management, new forms of livestock management, and the development of bat vaccines (Benavides et al. [2020\)](#page-221-0).

8.5.1 Landscape Features and the Presence of **Desmodus rotundus**

There is substantial evidence that human activities infuence the dynamics and distribution of animal populations (Lewis et al. [2021](#page-223-0)). Although these effects are typically detrimental to wild animal species (Chase et al. [2020](#page-222-0)), livestock production has benefted vampire bat populations in Latin America, the primary reservoir of RABV (Fenton et al. [1992](#page-222-0); García-Morales et al. [2013\)](#page-222-0). The common vampire bat (*Desmodus rotundus*) is one of three hematophagous bats found in the Neotropics. It mainly feeds on livestock, with a preference for cattle, frequently choosing juveniles, weak individuals, or those dozing at the herd's perimeter (Johnson et al. [2014;](#page-223-0) Anderson et al. [2014\)](#page-221-0), while occasionally feeding on humans. The other two species, the hairy-legged vampire bat (*Diphylla ecaudata*) and the white-winged vampire bat (*Diaemus youngi),* primarily feed on birds and other wildlife (Fenton and Kunz [2003;](#page-222-0) Mayen [2003](#page-224-0)).

Understanding the factors that infuence the geographic distribution and regional movement of *D. rotundus* is crucial to comprehending the RABV risk. *D. rotundus* is distributed throughout tropical and subtropical Latin America, from northern Mexico to northern Argentina and Chile (Lee et al. [2012](#page-223-0)). It inhabits diverse ecosystems, including tropical rainforests, coastal deserts, xeric shrublands, and montane regions as high as 3600 m (Lee et al. [2012\)](#page-223-0). At large spatial scales, temperature, altitude, and precipitation are important factors infuencing bat presence and rabies risk, whereas, at local scales, factors such as livestock density, human-induced forest fragmentation, and density/proximity of highways and rivers appear to be important (Lee et al. [2012;](#page-223-0) de Andrade et al. [2016](#page-222-0)). In the past decade, efforts have been made to investigate and better understand the population ecology and the infuence of local landscape characteristics on the abundance and bite rates of *D. rotundus* on livestock.

The highest levels of forest loss and fragmentation in the Neotropics are currently associated with forest conversion into extensive pastures used for cattle raising, which appears to be the most important factor in explaining the abundance of *D. rotundus* in sites dominated by a pasture matrix (Sanchez-Gomez et al. [2022](#page-225-0)). It has been found that *D. rotundus* tends to be more abundant at sites that are embedded within pasture matrices with high levels of forest fragmentation. This pattern was characterized by a large number of agricultural areas and high landscape heterogeneity (Bolívar-Cimé et al. [2019](#page-221-0); Sanchez-Gomez et al. [2022](#page-225-0)). In contrast, the abundance of *D. rotundus* tends to be considerably lower at sites with extensive fragments of continuous forest, nonfragmented forest or in areas with very low levels of fragmentation, where there are no easily accessible food sources for this hematophagous bat, such as cattle and other types of domestic livestock (Medellín et al. [2000\)](#page-224-0).

This pattern suggests that *D. rotundus* is highly opportunistic when searching for food, as it frequently approaches rural areas with human settlements, where corrals, chicken coops, and pig farms, which typically contain confned animals, represent valuable and easily accessible food resources (Bobrowiec [2012](#page-221-0)). Thus, vampire bat populations are favored by their adaptability to human-altered environments, and they thrive in landscapes with high concentrations of cattle and other domestic livestock raised for human consumption (Bobrowiec et al. [2015\)](#page-221-0). Therefore, the vampire bat is an excellent indicator species of human habitat disturbance or land-use change resulting from cattle husbandry in the Neotropics (Medellín et al. [2000\)](#page-224-0).

It is known that *D. rotundus* prefers to fy using linear elements of the landscape, although no preference has been found for one type of element. However, it has been suggested that the use of linear elements of the landscape as a reference for fight is infuenced by the level of contrast between the linear feature and the open areas. Moreover, food abundance is a factor promoting the use of linear features by insectivorous bats (Ávila-Flores and Sánchez Gómez [2019\)](#page-221-0).

Studies indicate that the detection range of objects, including experimental "prey," is limited for *D. rotundus* through the use of echolocation, scent, thermoreception, and passive hearing of sounds emitted by prey. The role of vision in *D. rotundus* prey detection is uncertain, but it is suggested that their visual detection of prey decreases as the distance from their fight paths increases. This implies that *D. rotundus* is more likely to commute near wooded areas because they have greater prey availability. Domestic animals kept near wooded areas and *D. rotundus* roosting sites are the most vulnerable to hematophagous bat attacks. These fndings have strong implications for livestock management in tropical regions, as production animals resting near linear landscape features are at higher risk of attacks by *D. rotundus* (Ávila-Flores and Sánchez Gómez [2019](#page-221-0)).
8.5.2 Effectiveness of Bat Culling on Rabies Prevalence

Reducing wildlife populations by lethal methods is a common strategy for preventing the spread of pathogens into human or domestic animal populations (Velasco-Villa et al. [2017\)](#page-226-0). Lower densities of susceptible organisms are believed to reduce the incidence of infection in the reservoir and, consequently, the risk of transmission to other species. In extreme cases, reservoir populations may fall below a critical threshold that triggers pathogen extinction (Benavides et al. [2017](#page-221-0)). While culling has been effective in managing some host–pathogen systems, its effectiveness in other systems has been hampered by the underestimation of ecological complexity (Vigilato et al. [2013](#page-226-0)). Therefore, understanding the factors that contribute to the success or failure of culls in reducing disease transmission is essential for improving their effcacy, promoting investments in alternatives for disease control, such as vaccination or reproductive suppression, or increasing the attractiveness of such investments.

Few studies have modeled the effect of bat culling on the prevalence and circulation of the rabies virus in bat populations, and even fewer empirical studies have tested the effect of this type of management on the prevalence (Benavides et al. [2016,](#page-221-0) [2017;](#page-221-0) Viana et al. [2023](#page-226-0)). One of these studies conducted in Peru found that a large, geographically synchronized cull was associated with widespread reductions in vampire bat populations but had negligible effects on the occurrence or intensity of spillover to local livestock (Viana et al. [2023](#page-226-0)). Although preventive culls halted the virus's spread, culls in regions with active viral circulation had the opposite impact, accelerating the spread of rabies across the landscape. These fndings support that the long-term maintenance of rabies through spatial processes limits the effcacy of culling as currently practiced. They also provide a rare empirical example of perturbation effects, in which changes in reservoir host behavior following incomplete eradication compromise spillover management by promoting pathogen spatial spread. The authors observed a reduction in the population density of the common vampire and a decrease in the rate of bites to livestock, but there was no reduction in the transmission of rabies to livestock. Rabies is only ephemerally present in individual bat colonies and can spread at low bat densities due to virusinduced behavioral changes that facilitate biting (Benavides et al. [2020](#page-221-0)). The social disruption caused by bat control may facilitate the spread of rabies by increasing bat dispersal (Blackwood et al. [2013\)](#page-221-0). If culls reduce population immunity by preferentially removing adult immune individuals or if vacated niche space increases juvenile survival or immigration of naive individuals (Choisy and Rohani [2006\)](#page-222-0), additional complications may arise. While empirical data remain limited, a comparison of seroprevalence between *D. rotundus* colonies with varying histories of culling suggests that culling is associated with higher rather than lower rabies seroprevalence in bats (Streicker et al. [2012b\)](#page-225-0).

Additionally, population size is unrelated to rabies incidence, and there are no population thresholds below which rabies cannot invade. This emphasizes the importance of analyzing epidemiological and genomic data alongside real-world culls to provide fundamental insights into the determinants of viral maintenance within bat reservoirs and to assess how ecological and behavioral responses of bats to culling translate into pathogen spillover risk and spatial spread (Benavides et al. [2020\)](#page-221-0).

8.5.3 Vaccination in Bats

The vaccination of reservoirs is a fundamental component of human rabies prevention for all nonbat reservoirs (Hampson et al. [2015;](#page-223-0) Benavides et al. [2019\)](#page-221-0). Vaccines have been effectively applied to both canines and wild carnivores. One effective approach to combat wildlife rabies in some European regions and the dog/coyote rabies virus variant in the United States has been the use of oral rabies vaccine baits containing an attenuated recombinant vaccinia virus vector vaccine expressing the rabies virus glycoprotein gene (VRG) (Maki et al. [2017\)](#page-224-0). A long-term oral rabies vaccine barrier program in the United States is preventing the spread of the raccoon rabies virus variant (Maki et al. [2017](#page-224-0)). However, to date, there is no official vaccination program against rabies in free-living bats, largely due to the diffculties caused by their ecology and immunology, especially in the common vampire bat. In laboratory experiments, recombinant viral vaccines using vaccinia (Almeida et al. [2005](#page-221-0)) and raccoon pox (Stading et al. [2016](#page-225-0), [2017](#page-225-0)) vectors have been shown to be immunogenic and protective in hematophagous and insectivorous bats. Since both vectors are already used in large-scale campaigns targeting wildlife, their safety and lack of virulence reversion in nontarget species have been extensively evaluated (Brochier et al. [1991;](#page-221-0) Rocke et al. [2017\)](#page-225-0). Alternatively, transmissible vaccines are being developed, which have a greater potential for spread, ensuring that the potential negative effects of a genetically modifed microorganism in wild populations are minimized (Nuismer et al. [2016](#page-224-0)).

A recent experiment used spatiotemporally replicated deep sequencing to parameterize competing epidemiological mechanistic models of *D. rotundus* betaherpesvirus (DrBHV), a candidate vector for a vaccine against vampire bat-transmitted rabies (Griffiths et al. [2023](#page-222-0)). The study determined that lifelong infections with cycles of latency and reactivation, along with high transmission rates, are required to explain patterns of DrBHV infection observed in feral bats. Simulations demonstrated that inoculating a single bat with a DrBHV-vectored rabies vaccine could immunize greater than 80% of a bat population, thereby reducing the magnitude, frequency, and duration of rabies outbreaks by 50–95% (Griffths et al. [2023](#page-222-0)).

Important next steps include investigating the dynamics of vaccine spread in the environment through feld trials. However, even if vaccination of bats reduced rabies transmission, the deleterious effects of bat bites on humans and livestock would necessitate enhanced bat population management strategies (Benavides et al. [2020\)](#page-221-0).

8.6 Impact of Bat-Virus Relationships on the Conservation of Latin American Bats

The natural link of bats with the night and darkness, in combination with their peculiar external appearance, has historically produced fear, aversion, or even repugnance in many people around the world. In many cultures, associating bats with evil, death, and magic has reinforced negative emotions and feelings toward bats. In the last century, the identifcation of bats as reservoirs of the rabies virus and the association of bat guano with the growth of *Histoplasma* fungus contributed to creating the popular notion that bats are synonyms of disease and danger. Not surprisingly, direct attacks on bat colonies and destruction of bat roosting sites were the most important causes of human-induced bat mortality during the nineteenth and twentieth centuries (O'Shea et al. [2016\)](#page-224-0).

In tropical regions of Latin America, the public image of bats has been further deteriorated by the association of common vampire bats with the transmission of the rabies virus to cattle. The ineffcient culling campaigns promoted by Latin American governments to control bovine paralytic rabies have contributed to reinforcing the idea that killing wild animals is the best option to reduce zoonotic disease transmission. In addition to the annual killing of dozens of thousands of common vampire bats under offcial culling campaigns, individuals of other species of bats have been erroneously poisoned or attacked by untrained people to control bovine paralytic rabies (Saldaña-Vázquez et al. [2013](#page-225-0)). In fact, direct kills and roost destruction are, at present, the main causes of multiple-mortality events of bats in Latin America (O'Shea et al. [2016\)](#page-224-0).

In 2019, the emergence of COVID-19 raised the concern of bat biologists and conservationists (Fenton et al. [2020\)](#page-222-0) because the causative pathogen (SARS-CoV-2) was closely related (96.2% genome sequence identity) to the RaTG13 coronavirus found in *Rhinolophus affnis* from Yunnan Province in China (Zhou et al. [2020](#page-226-0)). The only suggestion that this coronavirus could have a bat origin triggered a cascade of news in traditional media and social networks that put bats in the global spotlight (Cerri et al. [2022\)](#page-221-0). By then, there was growing evidence that bats harbor a great diversity of viruses, including many SARS-like coronaviruses, and more research was generated to identify new bat-virus associations (Fig. [8.6\)](#page-219-0). The worry of being infected by SARS-CoV-2 renewed the fear, aversion, and repugnance of bats in many people around the world (Lu et al. [2021\)](#page-223-0). In Latin America, at the beginning of the pandemic, there were sound cases of attacks to bat colonies and roosts that resulted in the death of many individuals (e.g., [https://phys.org/news/2020-03-peru](https://phys.org/news/2020-03-peru-blamed-coronavirus.html)[blamed-coronavirus.html](https://phys.org/news/2020-03-peru-blamed-coronavirus.html)). Further studies demonstrated that SARS-CoV-2 was sufficiently different from any coronavirus present in bats (or any other mammal) so that it should be considered a human-specifc virus (Boni et al. [2020\)](#page-221-0). To date, available information does not allow us to identify the precise origin of SARS. CoV-2 (Fenton et al. [2020\)](#page-222-0). However, most scientists agree that the activities of modern human societies set the stage for the emergence of COVID-19 and other zoonotic

Fig. 8.6 Temporal trend of coronavirus reports in bats. The years of the beginning of the outbreaks of severe acute respiratory syndrome (SARS), Middle East respiratory syndrome (MERS), and COVID-19 are highlighted. (**a**) Trend of reports in the Neotropics and the rest of the world; (**b**) trend of reports of rabies virus and coronaviruses in the Neotropical region

diseases. In particular, it has been suggested that the risk of pathogen spillover has increased in recent times by (at least), (1) the reduction in the disease's dilution effect due to biodiversity loss, (2) the increase in contacts between people, domestic animals, and free-living wildlife due to habitat loss and land-use change, and (3) the accelerated exchange of native and exotic pathogens (e.g., in local wet markets) due to illegal trade, the appearance of new and distant markets that demand wildlife, and the movement of sick individuals (Fenton et al. [2020;](#page-222-0) Platto et al. [2021](#page-224-0); Córdoba-Aguilar et al. [2021](#page-222-0)). Both the history of paralytic rabies control and the experience of the COVID-19 pandemic have left important lessons to manage enzootic and emergent zoonotic diseases in Latin America. First, the interaction between bats, domestic animals, and people is strongly mediated by human activities, so preventive or reactive strategies must consider the human dimension. Second, scientists from different disciplines should improve communication to design more integrative strategies. In this sense, the one-health approach might provide a suitable framework for guiding research efforts. Third, offcial protocols to manage diseases must be based on the best available evidence, so local authorities should maintain open communication channels with scientists.

8.7 Conclusions

In this chapter, we identify research opportunities to improve our knowledge of the complex relationship between humans, bats, and pathogens from a one-health perspective. Our review revealed that viruses and parasites are the most studied pathogen groups in bats throughout the Neotropical region. Currently, there is a signifcant bias in favor of viral research compared to bacterial research in bats. Despite the fact that viruses pose a signifcant risk to public health and host conservation due to their potential to spread to susceptible species, our understanding of infectious bacterial pathogens in bats is limited. Bats and their ectoparasites harbor potentially zoonotic bacterial pathogens (Mühldorfer [2013;](#page-224-0) Hornok et al. [2019](#page-223-0)). It was observed that epidemics caused by viruses genetically related to specifc bat viruses have a direct impact on virus detection efforts (Fig. [8.6](#page-219-0)), refecting a public health policydriven bias.

The collected data revealed that Brazil, Mexico, Argentina, Costa Rica, Chile, and Peru had a greater diversity of parasite species than the other analyzed Neotropical countries. This was expected due to the wide diversity of bat species in these countries, but it should also be correlated with the large number of studies we were able to retrieve for these countries. Reduced funding for research in Latin America seems to limit pathogen surveillance based on molecular tools, although international collaboration might facilitate such efforts. In contrast, the use of inexpensive microbiological techniques appears to be an alternative to learning about different kinds of parasites. We encourage the science, agricultural, and health authorities of Latin American countries to increase investment in pathogen surveillance and zoonotic disease diagnostics in bat populations to identify conditions that increase the risk of pathogen or parasite spillover. We identifed a variety of anthropogenic and ecological factors that infuence the prevalence of pathogens throughout the Neotropics. However, few studies have analyzed anthropogenic factors, with most research focusing on contrasting conserved and altered sites. There is a lack of knowledge regarding the temporal and spatial diversity of pathogens associated with bats.

Due to its signifcance in public health and its economic effects on livestock production, the rabies virus is the Neotropical region's most studied bat-associated virus. To implement multidisciplinary strategies to prevent and monitor rabies, particularly in regions with high rates of habitat loss, it is necessary to integrate knowledge on population ecology and response to landscape characteristics by bats. There is evidence that the strategy based on vampire bat extermination has not been entirely effective and may, in some cases, contribute to the spread of rabies. Undoubtedly, the integration of multidisciplinary, multi-institutional, and even multinational teams could provide more fruitful results and help to design more effective strategies for disease prevention and control.

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Chapter 9 Neotropical Primates and Humans: Risk of Bidirectional Parasite Transmission and Disease Sharing in Fragmented and Pristine Landscapes

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9.1 Introduction: Neotropical Non-human Primate Diversity and Its Relationships with the Risk of Bidirectional Parasite Spillover and Disease Sharing with Humans

Primates are the fourth most diverse mammal order (523 species + 278 subspecies and varieties), after Artiodactyla (551 species), Chiroptera (1386 species), and Rodentia (2552 species, Burgin et al. [2018\)](#page-255-0). The 179 Neotropical nonhuman primate (NHP) species represent 34% of the species diversity of the order primates (IUCN [2022](#page-260-0)). The 22 Neotropical primate genera are distributed into fve families of the Platyrrhini infraorder: Callitrichidae, Cebidae, Aotidae, Pithecidae, and Atelidae (Rylands and Mittermeier [2009](#page-264-0)). Neotropical NHP occurs from ca. 24°N in southern Mexico (*Ateles geoffroyi*; Cortés-Ortiz et al. [2021\)](#page-257-0) to 31°S in southern Brazil (*Alouatta guariba clamitans*; Printes et al. [2001](#page-263-0)) and possibly in northwestern Uruguay (*Alouatta caraya*; Jardim et al. [2020](#page-260-0)). Neotropical NHPs are arboreal, forest-living monkeys, with a few species having adapted to exploit more open habitats (e.g., *Callithrix jacchus*: Garber et al. [2019](#page-259-0); *Sapajus libidinosus*, Wright et al. [2019;](#page-267-0) *Sapajus favius*, Ferreira et al. [2009;](#page-259-0) *Saimiri oerstedii*, Solano Rojas [2018](#page-265-0)).

The smallest Platyrrhini monkeys from Callitrichidae are distributed into eight genera: *Callithrix*, *Cebuella*, *Callibella*, *Mico*, *Saguinus*, *Leontocebus*, *Leontopithecus*, and *Callimico*. According to the IUCN Red List of Threatened Species (IUCN [2022\)](#page-260-0), *Callithrix* (marmosets) and *Leontopithecus* (lion tamarins) are restricted to the Atlantic Forest, *Cebuella* (pigmy marmosets), *Callibella* (dwarf marmosets), *Leontocebus* (tamarins), and *Callimico* (Goeldi's monkeys) are restricted to the Amazon, and *Saguinus* (tamarins) and *Mico* (marmosets) are mostly Amazonian. Callitrichid adult weight ranges from 130 g (*Cebuella*) to 700 g (*Leontopithecus*; Bicca-Marques et al. [2011\)](#page-255-0). Callitrichids live in groups ranging from 2 to 20 individuals who use home ranges of 0.1 to ca. 400 ha (Digby et al. [2011\)](#page-258-0). They are omnivorous and feed on a mix of fruit, seeds, fowers, nectar, exudate, invertebrates, and small vertebrate prey (Digby et al. [2011](#page-258-0)). Overall, callitrichids are well adapted to living in forest fragments, where they are more likely to use the ground (JC Bicca-Marques, personal communications; Santos et al. [1995\)](#page-264-0). Most, if not all, genera have species used as pets (Costa-Araújo et al. [2022;](#page-257-0) de la Torre et al. [2021;](#page-257-0) Freire Filho et al. [2021](#page-259-0); Link et al. [2021;](#page-261-0) Oliveira et al. [2021;](#page-263-0) Savage et al. [2022;](#page-264-0) Shanee et al. [2017\)](#page-265-0). Although rare, callitrichids can also be hunted (Pereira et al. [2019;](#page-263-0) Roncal et al. [2018;](#page-264-0) Shaffer et al. [2017\)](#page-264-0).

Only three genera belong to Cebidae: *Cebus*, *Sapajus*, and *Saimiri*. *Cebus* (gracile capuchin monkeys) occurs from the Amazon into the Guyanan Shield and the Andes of Colombia and Ecuador up to Guatemala in Central America. *Sapajus* (robust capuchin monkeys) is found throughout the Atlantic Forest, Caatinga, Cerrado, Pantanal, Chaco, and Amazon, and *Saimiri* (squirrel monkeys) occurs in most of the Amazon and in a narrow region of the Pacifc coast of Panama and Costa Rica in Central America (IUCN [2022](#page-260-0)). Adult weight ranges from 620 g (*Saimiri*) to 4800 g (*Sapajus*; Jack [2011](#page-260-0)). Cebids live in groups ranging from 6 to 75 individuals who use home, ranging from 12 to almost 300 ha (Bicca-Marques et al. [2011\)](#page-255-0).

All cebids exploit a highly omnivorous diet composed mostly of fruit and invertebrate and small vertebrate prey (Jack [2011](#page-260-0)). Capuchin and squirrel monkeys are predominantly canopy dwellers. Whereas squirrel monkeys rarely descend to the ground, gracile capuchins are considered the most terrestrial Neotropical NHP (Jack [2011\)](#page-260-0). Populations of robust capuchins that inhabit dry Caatinga environments, such as *S. libidinosus* and *S. favius*, also spend considerable amounts of time on the ground (Bezerra et al. [2014;](#page-255-0) Ferreira et al. [2009](#page-259-0); Wright et al. [2019\)](#page-267-0). Capuchins are more frequently hunted than squirrel monkeys, and all cebids are found in the pet trade (Pereira et al. [2019;](#page-263-0) Shaffer et al. [2017;](#page-264-0) Shanee et al. [2017](#page-265-0)).

Aotidae contains a single genus of nocturnal monkeys—*Aotus* (night or owl monkeys)—found throughout most of the Amazon, the Chaco, the foothills of the Andes in northwestern South America, and the forests of Panama in Central America (Fernandez-Duque [2011\)](#page-258-0). Adult night monkeys weigh from ca. 600 to 1600 g and live in small groups of two to six individuals who use home ranges between 3 and 18 ha. Night monkeys are omnivorous, feeding on fruit, nectar, fowers, leaves, and insects (Fernandez-Duque [2011](#page-258-0)). Given their importance for malaria research, large numbers of night monkeys have been harvested to supply biomedical facilities (Maldonado and Peck [2014;](#page-261-0) Svensson et al. [2016\)](#page-266-0). Despite their small body size, occasional hunting is also a threat (Pereira et al. [2019;](#page-263-0) Roncal et al. [2018\)](#page-264-0).

Pithecidae comprises six genera: *Callicebus*, *Plecturocebus*, *Cheracebus*, *Pithecia*, *Chiropotes*, and *Cacajao*. Except for the Atlantic Forest *Callicebus* (titi monkeys), including *C. barbarabrownae* that also ranges in the Caatinga (Printes et al. [2011](#page-263-0)), and one *Plecturocebus* titi monkey that ranges in the Chaco and Pantanal (Byrne et al. [2016\)](#page-256-0), the other titi monkeys (*Plecturocebus* and *Cheracebus*) and the pithecine *Pithecia* (sakis), *Chiropotes* (cuxiús), and *Cacajao* (uakaris) are restricted to the Amazon (Norconk [2011\)](#page-263-0). Adult pithecids weigh from 850 g (*Plecturocebus*) to 3700 g (*Chiropotes*; Norconk [2011](#page-263-0)). Whereas titi monkeys and sakis live in small groups composed of as few as two individuals, cuxiús live in groups of up to a few dozen, and uakaris can be found in groups of over 100 individuals (Norconk [2011\)](#page-263-0). The home ranges of pithecid groups also vary widely, from 1.4 to 550 ha (Norconk [2011\)](#page-263-0).

The larger *Cacajao*, *Chiropotes*, and *Pithecia* are specialized seed predators that complement their diets with feshy fruit, fowers, leaves, and a small proportion of insects (Norconk [2011](#page-263-0)). Titi monkeys rely more on fruit with leaves and invertebrate prey complementing their diets (Bicca-Marques and Heymann [2013](#page-255-0)). The use of the ground is rare among the larger, canopy dweller *Cacajao*, *Chiropotes*, and some species of *Pithecia*. However, this behavior is more frequent in smaller *Pithecia* species that spend longer times vertically clinging and leaping in the understory (Barnett et al. [2012\)](#page-254-0), especially in *Callicebus* and *Plecturocebus* (Souza-Alves et al. [2019](#page-265-0)). Data available on *Cheracebus* are less reliable to evaluate whether they follow the pattern of the other titi monkeys or that of the larger Pithecids (Souza-Alves et al. [2019\)](#page-265-0). Despite their less frequent use of the lower forest strata and ground, larger Pithecids are more vulnerable to hunting than titi monkeys (Pereira et al. [2019;](#page-263-0) Shaffer et al. [2017\)](#page-264-0).

The largest Platyrrhini monkeys belong to four Atelidae genera: *Alouatta*, *Ateles*, *Brachyteles*, and *Lagothrix*. *Alouatta* (howler monkeys) presents the largest distribution of all primate genera in the Americas, occurring from 21°N in Mexico (Cortés-Ortiz et al. [2020\)](#page-257-0) to 31°S in Brazil (Printes et al. [2001\)](#page-263-0), *Ateles* (spider monkeys) ranges from the Amazon to the northern limit of primate distribution in the Americas (24°N; Cortés-Ortiz et al. [2021](#page-257-0)), *Lagothrix* (woolly monkeys) occurs only in the Amazon, and *Brachyteles* (muriquis) is restricted to a narrow portion of the Atlantic Forest of southeastern Brazil (Di Fiore et al. [2011\)](#page-258-0). Adult weight ranges from 3100 g (*Alouatta palliata*) to 13,800 g (*Brachyteles hypoxanthus*; Di Fiore et al. [2011\)](#page-258-0). Atelids live in groups ranging from 2 to ca. 80 individuals who use home ranges varying from <1 ha (Fortes et al. [2015](#page-259-0)) to 460 ha (García-Toro et al. [2019\)](#page-259-0). Whereas *Alouatta* and *Brachyteles* are characterized by a folivorousfrugivorous diet, *Ateles* and *Lagothrix* are predominantly frugivorous, with *Lagothrix* often complementing the diet with animal prey (Di Fiore et al. [2011\)](#page-258-0). Although all atelids are canopy dwellers, howler monkeys, well known for coping well with disturbed and small habitat patches immersed in fragmented landscapes (Bicca-Marques [2003;](#page-255-0) Bicca-Marques et al. [2020\)](#page-255-0), often descend to the forest foor to cross canopy gaps, move between habitat patches, drink water, or access supplementary resources in the matrix (Bicca-Marques et al. [2020](#page-255-0); Chaves et al. [2021a,](#page-256-0) [b;](#page-256-0) Pozo-Montuy and Serio-Silva [2007](#page-263-0); Pozo-Montuy et al. [2013\)](#page-263-0). Additionally, one population of muriquis has been reported drinking, resting, feeding, playing, and traveling on the ground (Mourthé et al. [2007;](#page-262-0) Tabacow et al. [2009\)](#page-266-0). As the largest Neotropical NHP, atelids are important targets of subsistence and commercial hunting irrespective of their legality (Freire Filho et al. [2021](#page-259-0); Pereira et al. [2019](#page-263-0); Peres [1990;](#page-263-0) Shaffer et al. [2017](#page-264-0); Shanee [2011;](#page-265-0) Shanee et al. [2017\)](#page-265-0). Both *Brachyteles* species, currently critically endangered because of habitat loss and high hunting pres-sure in the past (Melo et al. [2021](#page-262-0); Talebi et al. [2021\)](#page-266-0), continue to be vulnerable to illegal hunters (ICMBio [2011](#page-260-0)).

All characteristics of the platyrrhine genera described above infuence the risk of parasite spillover between nonhuman primates and humans. Given marked regional differences in human population density and the resulting level of conservation of forest ecosystems, Neotropical NHP living in pristine forests of western Amazonia, for example, have less contact with humans than those inhabiting the highly fragmented Atlantic Forest.

On the one hand, interactions occur in the former context when humans hunt or keep monkeys as pets. Whereas these intimate contacts impose risks of bidirectional spillover, their consequences for monkeys are limited to those individuals kept as pets. For humans, on the contrary, the spillover from monkey carcasses or pets may spread through the population. On the other hand, the higher proximity between humans and wild monkeys in fragmented landscapes increases the risk that spillovers in any direction cause population-level negative consequences for both species. High contact between humans and NHPs is also common in some national parks and forest fragments of Nicaragua, Costa Rica, and Panama, where at least three species (*Alouatta palliata*, *Cebus imitator*, and *Saimiri oerstedii*) interact closely with tourists, farmers, and local inhabitants in subsistence orchards or

gardens on the forest edge (e.g., Mansell and McKinney [2021](#page-261-0); McKinney [2014;](#page-262-0) Ó. M. Chaves, personal observations). Monkeys inhabiting fragmented landscapes are more likely to use the ground, where they are exposed to parasites with fecaloral transmission and those whose infective stages penetrate the skin (Nunn and Altizer [2006\)](#page-263-0). Arboreal animals traveling on the ground are also more vulnerable to hunting and trapping, whose consequences for parasite sharing are described below. Additionally, monkeys inhabiting periurban or urban landscapes that forage in garbage cans are more likely to be exposed to human disease agents (Nunn and Altizer [2006](#page-263-0)).

Although the extent of the distribution of a given taxon is predicted to have a direct infuence on its overall parasite diversity (Nunn and Altizer [2006](#page-263-0)), this relationship is unlikely to translate into higher risks of spillover at the local level. As mentioned above, differences in geographic distribution affect the likelihood of contact with humans and, consequently, the likelihood and modes of parasite sharing. They also contribute to marked differences in our knowledge on the parasitic fauna of the platyrrhine genera, as taxa living near developed urban centers are more frequent targets of research. This trend is clear in the comparison of the number of parasitological studies on wild platyrrhine populations. Whereas *Alouatta* (39 studies), *Callithrix* (13), and *Sapajus* (11) were targets of over 10 studies, strictly Amazonian genera were investigated in three or fewer studies (*Cebuella*, *Mico*, *Cheracebus*, *Cacajao*, *Chiropotes*, and *Pithecia*) or have not been studied at all (*Callimico* and *Callibella*, Rondón et al. [2021](#page-264-0)).

Combining the likely positive relationship between body mass and disease risk in primates in general (Nunn and Altizer [2006\)](#page-263-0) with the stronger hunting pressure on larger NHPs in the Americas leads us to expect that there are higher risks of spillover from taxa used as bushmeat, except at tourist sites and orchards near the forest edge, as mentioned above. At the other extreme of the body mass gradient, the risks come mostly from individuals kept as pets. Moreover, all platyrrhine infants are kept as pets when their adult carriers are killed for food or trapped for the biomedical trade (Roncal et al. [2018\)](#page-264-0).

Group size and aggregation infuence the spread of infectious agents with direct transmission among individuals (Nunn and Altizer [2006\)](#page-263-0). Group size also infuences exposure to parasites via changes in ranging behavior. On the one hand, large home ranges and long day ranges expose hosts to a greater diversity of parasites. On the other hand, the overuse of small home ranges increases the risk of reinfection, with consequences on parasite prevalence and intensity of infection (Nunn and Altizer [2006](#page-263-0); see also Bicca-Marques and Calegaro-Marques [2016\)](#page-255-0). Neotropical NHPs that thrive in human-modifed landscapes may reach high population densities in habitat fragments, increasing both the risk of within-group disease spread and the contact and sharing of parasites with humans and domestic animals.

Finally, host diet has direct and indirect implications for platyrrhine-parasite interactions. In addition to parasites with direct cycles and being vector-borne, monkeys feeding on animal matter are exposed to parasites whose life cycles involve intermediate invertebrate hosts. Diet composition is related to primate body size and infuences survival in forest fragments, social organization, population density, and other variables that may modulate the likelihood of interactions with humans and bidirectional spillover.

9.1.1 Conservation of Neotropical Primates

Human activities, such as extensive agriculture, unplanned urbanization, deforestation, and mining, severely impact the natural habitats of free-ranging primates in the tropics (Estrada et al. [2017,](#page-258-0) [2019\)](#page-258-0). For instance, ca. 60 Mha of tropical primary forest were lost from 2002 to 2019. This massive habitat destruction was headed by Brazil, which was responsible for almost half of it (24.5 Mha; Weisse and Gladman [2020\)](#page-267-0). Therefore, Neotropical NHP are probably the most affected primates by this land cover change given their arboreality and dependence on resources - including plant and animal food, water, and refuge - provided by forest trees (Chapman et al. [2013;](#page-256-0) Peres [1994](#page-263-0)).

Deforestation, forest fragmentation, hunting, illegal pet trade, and urbanization, among other human disturbances, have caused ca. 42% of the 216 recognized platyrrhine taxa (i.e., 179 species + 37 subspecies) to be threatened with extinction and to be considered within the IUCN categories of vulnerable, endangered, and critically endangered (IUCN [2022](#page-260-0)). Nine of the 22 critically endangered Neotropical NHPs (Fig. 9.1) live in Brazil, four in Colombia, and the remaining are distributed

Fig. 9.1 Number of Neotropical NHP taxa ($N = 217$) in each IUCN category in 2022. Categories: *NE* not evaluated, *DD* data defcient, *LC* least concern, *NT* near threatened, *VU* vulnerable, *EN* endangered, *CR* critically endangered. The number of species in each category is shown above the bars. The box shows the number of endemic species (in bold) out of the total number of species (in parentheses) in each Platyrrhini family

among Peru, Ecuador, Bolivia, Venezuela, Panama, Costa Rica, and Nicaragua (Supplementary Table 9.1).

Given the impact of these habitat disturbances on population persistence, endemic Neotropical NHP may be more vulnerable to disappear or to experience sharp population declines than taxa ranging over wider regions, as reported for many terrestrial vertebrates (Chichorro et al. [2019,](#page-257-0) Manes et al. [2021](#page-261-0)). Overall, taxa endemic to a smaller distribution are 3 to 10 times more affected by climate change and other environmental disturbances than wide-ranging native taxa (Manes et al. [2021\)](#page-261-0). For instance, most critically endangered Neotropical NHPs (i.e., 18 out of 22) are also endemic species to a single country (Fig. [9.1](#page-232-0) and Supplementary Table 9.1).

Therefore, efforts to conserve Neotropical NHP must focus on preventing, controlling, and mitigating habitat loss and degradation, forest fragmentation, hunting, fre, and the growing threats of climate change, infectious diseases, and habitat pollution (Chapman and Peres [2021;](#page-256-0) IUCN [2022\)](#page-260-0). Given the fnancial limitations of most Latin American countries, Neotropical NHPs that are endemic and critically endangered and those occurring in biomes severely impacted by human activities (e.g., Tropical Dry Forests: Hasnat and Hossain [2020](#page-260-0); Atlantic Forest: de Lima et al. [2020\)](#page-257-0) should be priority targets of conservation efforts. Ideally, long-term (multiyear) conservation initiatives should integrate national, regional, and local governments, academia, nongovernmental environmental organizations, and, certainly, local communities to be more effective in promoting both biodiversity conservation and the improvement of the well-being of the peoples living inside or near the remaining platyrrhine habitats. However, the viability and success of such initiatives depend on the often low and between-country variable access to public and private fnancial resources and qualifed personnel.

We collected data on conservation initiatives focused on Neotropical NHPs and their habitats via a Google Forms short interview sent to the community of Latin American primatologists. We received information on 30+ long-term projects aiming to conserve 14+ taxa (Supplementary Table 9.2). Most (63%) of these projects are concentrated in Costa Rica, Mexico, and Brazil and focus on *Alouatta* (especially *A. palliata*, *A. guariba clamitans*, *A. caraya*, and *A. belzebul*) and, to a lesser degree, on *Ateles*, *Cebus*, *Saimiri*, *Brachyteles*, and *Leontopithecus*. Projects in Colombia, Paraguay, and Venezuela have focused on endemic and threatened species, such *as Plecturocebus caquetensis*, *Saguinus leucopus*, and *Cebus malitiosus* (Supplementary Table 9.2). Despite wide variation in the major objectives of these projects, we identifed six general conservation goals: (i) improve our understanding of primate behavior and ecology, particularly in human-disturbed and/or small habitat patches, (ii) assess the distribution and demography of endemic or poorly studied species, (iii) develop management strategies to improve habitat connectivity and gene fow between isolated populations, (iv) prevent or minimize negative interactions with humans, including spillover events of zoonoses and zooanthropozoonosis in urban and periurban regions, (v) inform and sensitize the lay public and the media about the important role of free-ranging primates as sentinels of the circulation of agents of infectious diseases also affecting humans, and (vi) keep Platyrrhini taxonomy and the main threats faced by each taxon constantly updated (Supplementary Table 9.2). Furthermore, most initiatives emphasize the importance of citizen science, volunteering, and the participation of local human communities in conservation efforts.

Although these efforts can improve the conservation of the target taxa and their habitats in the medium or long term, they cannot address all threats that Neotropical NHPs face. In fact, until today, most conservation efforts in Latin America that we present in Supplementary Table 9.2 have been focused on <10% of the 219 recognized primate taxa or are restricted to very small geographical areas, and their effciency is rarely evaluated. This latter point has been stressed in recent reviews (e.g., Chapman and Peres [2021,](#page-256-0) Junker et al. [2020\)](#page-260-0). For instance, <1% of 13,000 NHP studies published between 1971 and 2015 evaluated conservation efficiency, and those that addressed it did not include quantitative data (Junker et al. [2020\)](#page-260-0). Therefore, there are many challenges in addition to funding limitations to qualify primate conservation efforts in Latin America. Many of them have been the focus of recent attention (Chapman and Peres [2021;](#page-256-0) Junker et al. [2020;](#page-260-0) Oxley et al. [2022;](#page-263-0) Waters et al. [2021](#page-266-0); Webber et al. [2022](#page-267-0)). We hope that research on Neotropical NHP continues fourishing and contributing to increasing our knowledge and improving the health of NHPs, ecosystems, and humans in Latin America, ultimately promoting the effective conservation of Neotropical biodiversity.

9.2 Drivers of Neotropical Primate Infectious Agent Transmission

Among the main threats for Neotropical NHP are human activities such as agriculture, logging, wood harvesting, hunting, expansion of roads and railways, livestock farming, and ranching (Estrada et al. [2017](#page-258-0)). These activities change the landscape confguration and environmental conditions, which have a great infuence on parasite diversity, distribution, abundance, and prevalence on their hosts (Bregnard et al. [2020;](#page-255-0) Fecchio et al. [2019](#page-258-0), [2021;](#page-258-0) Solórzano-García et al. [2023\)](#page-265-0). The ongoing expansion of the human frontier into the wild and urbanization of the landscape generates interfaces where Neotropical NHPs are forced to live in closer proximity to humans and domestic animals, altering NHP-parasite interactions and dynamics, which could boost opportunities for parasite spreading between humans and NHPs and have an impact on human and animal health and conservation (Cândido et al. [2022;](#page-256-0) dos Santos et al. [2022;](#page-258-0) Dietz et al. [2019](#page-258-0); Ehlers et al. [2022;](#page-258-0) Lorenz et al. [2017;](#page-261-0) Ribeiro Prist et al. [2022](#page-264-0)).

Most parasites are embedded in interactions with multiple hosts; in this regard, shared traits among hosts could facilitate cross-transmission. Parasite crosstransmission is expected to be more feasible among evolutionarily related host species; therefore, parasite-host switches will be more common between closely related host species than between distantly related ones (Engelstädter and Fortuna [2019;](#page-258-0) Huang et al. [2014\)](#page-260-0). Shared physiological and immunological similarities between humans and NHPs are expected to facilitate bidirectional transmission of infectious agents (i.e., adenovirus, retrovirus, respirovirus) (Han et al. [2016;](#page-259-0) Mollentze and Streicker [2020](#page-262-0); Negrey et al. [2019](#page-263-0)).

Furthermore, in their environment, Neotropical NHPs present biological traits and face anthropogenic and ecological variables that could increase opportunities for contact and pathogen exchange. Landscape disturbances either naturally and manmade can generate smaller and spatially isolated populations going through nutritional defciencies and stress, which makes them vulnerable to pathogen infections (Chapman et al. [2007](#page-256-0); Deb et al. [2021](#page-257-0)) but can also favor the selection of generalist parasites and the expansion of vectors, leading to the emergence of infectious diseases (Dharmarajan et al. [2021;](#page-258-0) Failloux [2019\)](#page-258-0). The incorporation of these variables in NHP infectious disease studies could provide a broader understanding of NHP-pathogen dynamics and consequently generate strategies for the mitigation of infectious disease effects in NHP health and conservation.

We conducted a systematic search of the literature on the infectious agents detected in Neotropical NHP throughout Latin America. We conducted a search following the recommendations of the PRISMA guide (Moher et al. [2010](#page-262-0)). We use the Web of Science search engine Scopus for the period 1950–2023. The search strategy included the following words ((virus OR bacteria OR helminth) AND (monkey OR primate) AND (Trinidad and Tobago, Costa Rica OR Peru OR Panama OR Mexico OR Guatemala OR Honduras OR Nicaragua OR Salvador OR Belize OR Colombia OR Bolivia OR Argentina OR Brazil OR Guiana OR Venezuela OR Ecuador OR Paraguay OR Suriname)). All articles published in English, Spanish, and Portuguese were included. The selection criteria for the articles were as follows: (1) full text; (2) developed in the American region; and (3) reported the presence of infectious agents mentioned in the search that were detected in Neotropical NHPs. For data extraction, location variables (i.e., country), Neotropical NHP-specifc data (i.e., genus, species) and infectious agent-specifc data (i.e., etiologic agent) were selected. After eliminating duplicates, a total of 13,779 studies were identifed, and studies were reviewed through titles and abstracts; thereafter, in cases where more information was needed, the full text was used. The search yielded a total of 1473 data points (Supplementary Table 9.3), grouped into acanthocephalans (59), cestodes (76), protozoa (513), nematodes (446), trematodes (54), bacteria (54) and viruses (271) (Fig. [9.2](#page-236-0) and Supplementary Table 9.3). Additionally, the infectious agents were grouped according to transmission routes, with the aim of making visible the modes of transmission that can potentially be more sensitive to external factors that may favor contact between the primate and the infectious agent (Fig. [9.3](#page-236-0)).

Fig. 9.2 Infectious agents found in Neotropical NHP. (**a**) Number of parasite species in each taxon; (**b**) Frequency of protozoan records per country; (**c**) Frequency of helminth records per country; (**d**) Frequency of bacteria records per country; (**e**) Frequency of viral records per country

Fig. 9.3 Infectious agents found in Neotropical NHP throughout Latin America. (**a**) Number of parasite taxa with direct, direct and indirect, or indirect transmission modes in each major taxonomic group; (**b**) Number of parasite taxa with specifc modes of direct, direct and indirect, or indirect transmission in each taxonomic group

9.2.1 Anthropogenic Variables

9.2.1.1 Habitat Loss and Fragmentation

Wildlife living in tropical forests degraded or fragmented by human activities (e.g., agriculture, livestock ranching, logging) face not only alterations in their dispersal activities, availability, and quality of resources but also in their biological interactions with their habitat and other species (including parasites) (Benítez-Malvido and Arroyo-Rodríguez [2008;](#page-255-0) Schwitzer et al. [2011\)](#page-264-0). This last factor in turn affects the incidence and transmission of infectious diseases. Overall, deforestation and habitat fragmentation generate a mixture of land covers (remnants of forests, urban areas, agricultural land, and pastures) that favor interfaces where frequent contact between wild animals, domestic animals, and people occurs, facilitating parasite transmission (Guégan et al. [2020](#page-259-0)). For example, howler monkeys *A. palliata* and *A. pigra* have a higher prevalence of *Controrchis biliophilus* in fragmented habitats than in protected or continuous forests, probably because of the proliferation of intermediate hosts. Furthermore, *A. palliata* presented a higher prevalence of *Trypanoxyuris minutus,* likely due to the large groups concentrated in forest fragments (Trejo-Macias and Estrada [2012\)](#page-266-0).

Moreover, the removal and logging of vegetation create habitat patches and edges. Some edges are permeable to host movement, allowing them to cross to other patches. In this sense, these types of edges, known as "soft edges," act as corridors where opportunities for host–parasite interactions can occur (Warburton and Blanar [2021\)](#page-266-0). For instance, the presence of fruit trees along patches and edges attracts not only NHP but also invertebrate intermediate hosts, resulting in a higher prevalence of parasites (Valdespino et al. [2010](#page-266-0)). Moreover, favorable microclimatic conditions and host availability in edges can also promote the abundance of vector species of pathogens such as Oropuche or Mayaro virus (da Silva Pessoa Vieira et al. [2021\)](#page-257-0).

9.2.1.2 Land-Use Changes

The land conversion to satisfy human necessity for resources and spaces has created mixed landscapes where different human activities are embedded. This could increase the frequency of contact among wild animals, domestic animals, vectors, and people, which could induce the emergence of infectious diseases (Guégan et al. [2020\)](#page-259-0).

For instance, the presence of *Blastocystis hominis* in *Alouatta seniculus* suggests environmental contamination with human and domestic animal feces containing parasite cysts coming from grassland surrounding forest fragments (Rondon et al. [2017\)](#page-264-0)). Similarly, *A. caraya* and *Callithrix penicillata* living in fragmented forests surrounded by agricultural lands were positive for the presence of *Toxoplasma gondii*, probably due to contact with contaminated feline feces (García et al. 2005; Molina et al. [2014\)](#page-262-0). Conversely, Zika virus (ZIKV) genome sequences obtained

from *Callithrix jacchus* in Ceará State in Northeast Brazil were 100% similar to ZIKV circulating in humans in South America, and yellow fever cases in humans have been reported simultaneously with fndings of viral infection in NHP, suggesting that NHP could act as reservoirs for these viruses in landscapes shared with humans and domestic animals (Favoretto et al. [2016](#page-258-0), [2019;](#page-258-0) Mares-Guia et al. [2020\)](#page-261-0).

9.2.1.3 Hunting

In poorer countries, especially in rural areas, the extraction of wild meat or "bushmeat" is a solution for food scarcity generated by poverty, civil unrest, and wars. Furthermore, the consumption of bushmeat is perceived as healthy, tasty, and, in some instances, part of traditional culture or even as a luxury commodity in urban areas. This expanding luxury market has made commercial hunting more important than subsistence hunting. This promotes the illegal traffc of hunting products to the US and European countries, posing a considerable risk for zoonotic disease transmission (Muehlenbein [2017](#page-262-0)).

In the American continent, the presence of parasites such as *Capillaria hepatica* and *T. gondii* has been reported in the bushmeat of NHP species (Van Vliet et al. [2017\)](#page-266-0). However, the risk this practice represents for the transmission of more hazardous agents should not be discarded. For instance, in countries of West and Central Africa, where the bushmeat extraction rates are highest, the consumption of NHP meat and the exposure to fuids during hunting, butchering, or transportation to markets has been a source of several infectious agents, such as Ebola virus (which can remain viable in carcasses for up to 3–4 days) or simian retroviruses (Cawthorn and Hoffman [2015](#page-256-0); Kazanji et al. [2015;](#page-260-0) Maurice et al. [2017](#page-262-0)).

9.2.1.4 Tourism

Having an encounter with an NHP represents an experience with great touristic appeal for foreign and local visitors, despite the warnings on not providing food, keeping their distance, avoiding touching the NHP, and fnes punishing these activities. Biting and scratches are a common result of visiting temples and shrines in Asian countries. Similarly, other attractions where interactions with wildlife are possible (e.g., theme parks, resort restaurants, petting zoos) provide opportunities for pathogen exchange among humans and other animal species.

Durán [\(2014](#page-258-0)) reported a higher intensity of *Cryptosporidium* infection in NHP living in the surrounding forest of a Mexican archaeological site, probably as a result of mishandling of human feces in that tourist attraction. Likewise, *Leptospira* infections and seroprevalence found in Neotropical NHP kept in zoological collections were likely the result of the lack of rodent control and proper sanitization of the enclosures (Ferreira et al. [2001\)](#page-259-0).

Furthermore, although ecotourism holds potential as a tool for primate conservation, tourists often travel with gastrointestinal and respiratory infections or without the recommended vaccines, posing a risk for NHP health (Muehlenbein et al. [2010\)](#page-262-0). Thus, providing information on the risk of disease transmission from tourists to NHPs and vice versa, as well as implementing safety measures such as wearing facemasks and providing evidence of vaccinations, could help to reduce exposure to infectious diseases for both humans and NHPs (Setchell et al. [2017](#page-264-0)).

9.2.1.5 Exotic Pets

Charismatic animals such as howler monkeys (genus *Alouatta*), capuchin monkeys (genera *Cebus* and *Sapajus*), squirrel monkeys (genus *Saimiri*), lion tamarin (genus *Leontopithecus*), and marmosets (genus *Callithrix*) are often kept as pets in different places around the world, either acquired by legal, illegal commerce or as young orphans. NHPs in these situations can show aggressive behaviors toward children, unfamiliar people, and even owners when facing uncomfortable or frightening settings. This type of negative interaction between humans and NHP (e.g., *Alouatta* spp., *Ateles geoffroyi*, *Cebus imitator*, and *Sapajus nigritus*) is relatively frequent in urban and periurban areas of northern Costa Rica and southern Brazil (Ó.M. Chaves, personal observations). Moreover, the predation of insects and domestic animals by pet NHP has also been documented (Ceballos-Mago and Chivers [2010\)](#page-256-0). These behaviors open an opportunity for pathogen acquisition and transmission (Tregle Jr. et al. [2011](#page-266-0); Johnston et al. [2015](#page-260-0)). In addition, owners can also transmit pathogens to their pet NHP, some with fatal consequences (Huemer, et al. [2002](#page-260-0); Imura et al. [2014;](#page-260-0) Quevedo and Lescano [2014](#page-264-0)).

9.2.2 Ecological Variables

9.2.2.1 Climate Change

Human activities have increased the emission of the greenhouse gases carbon dioxide $(CO₂)$, methane $(CH₄)$, nitrous oxide $(N₂O)$, and aerosols (e.g., sulfate, nitrate, carbon) and, consequently, alterations in the levels of solar and infrared radiation leaving the Earth's surface. This imbalance in energy fux has generated increments in Earth's surface temperature and contributed to changes in air and water circulation around the globe, which has led to changes in the presentation and intensity of precipitation or extreme climate events (e.g., cyclones, hurricanes, droughts) (IPCC [2014\)](#page-260-0).

High temperatures, heavy rainfall, and drought events resulting from climate change could infuence vector-borne disease expansion and transmission (Hamrick et al. [2017](#page-259-0)). Models considering two future climate scenarios (i.e., increase by 1.0 \degree C or an increase 2.0 to 3.7 \degree C) show an expansion in the distribution of Oropuche, Saint Louis Encephalitis, and Mayaro virus in Brazil (Lorenz et al. [2017\)](#page-261-0). These diseases may have putative sylvatic cycles involving NHP, as

antibodies against the pathogens have been detected in them (Almeida et al. [2016](#page-254-0), [2019;](#page-254-0) Batista et al. [2013;](#page-254-0) Valentine et al. [2019;](#page-266-0) Chaves et al. [2020a](#page-256-0), [b](#page-256-0); Gibrail et al. [2016\)](#page-259-0). Further monitoring of these agents is still needed to detect sylvatic cycle establishment and to assess risks to wildlife and human health.

Whereas heavy rainfall events can decrease mosquito abundance by fushing larvae, drought events can favor some mosquito species by the drying up of streams, creating pools, eutrophication in standing waters or increasing household water storage in human settlements, making them more suitable larval habitats (Brown et al. [2014\)](#page-255-0). These fuctuations in mosquito populations could infuence the transmission probability of vector-borne diseases (Beck-Johnson et al. [2017\)](#page-255-0).

9.2.2.2 Natural Disasters

Hurricanes drastically modify the habitat of NHP due to the mortality of trees used as food or refuge sources. The removal of canopy after these events generates large forest gaps that are rapidly colonized by pioneer plant species, impacting NHP diets and disease exposure. The dominance of *Cecropia peltata* trees in forests of Belize after the Iris Hurricane resulted in an increased consumption of these plants by howler monkeys but also a higher *Controrchis* spp. prevalence and intensity of infection. *C. peltata* serves as a refuge and food source for ants (a probable intermediate host), which could increase the exposure to this parasite. In addition, due to higher monkey population density and contact, the prevalence of both *Trichuris* sp. and strongylid eggs was also increased (Behie and Pavelka [2013](#page-255-0); Behie et al. [2014\)](#page-255-0).

Climatic phenomena such as El Niño have generated severe droughts and fres in tropical forests of Central and South America. Like other wildlife, primates are affected by the loss of resources and space; these events can generate increased exposure to parasites and injuries due to violent encounters with other troops to obtain access to resources (Mittermeier et al. [2005](#page-262-0); Stark et al. [2019](#page-265-0)).

9.2.3 Biological Variables

9.2.3.1 Behavioral Traits

Some Neotropical NHPs living in disturbed tropical forests exploit resources present in the surroundings of forest patches, living fences, secondary vegetation, agricultural lands, gardens, and semiurban environments and even come to the ground to access pastures, fruit plantations, and isolated trees. This implicates changes in diet composition (Chaves et al. [2012](#page-256-0)), activity patterns (Carretero-Pinzón et al. [2016;](#page-256-0) Bustamante-Manrique et al. [2021](#page-255-0)) and travel (Martínez-Mota et al. [2007](#page-261-0)).

Descending to the ground to move across forest fragments or to access resources exposes NHP to human-primate confict, electrocution, canine attacks, and vehicle collisions, along with possible health issues due to dietary changes

(Cristobal-Azkarate and Arroyo-Rodríguez [2007](#page-257-0); Pozo-Montuy et al. [2013;](#page-263-0) Azofeifa-Rojas et al. [2021;](#page-254-0) Chaves et al. [2022](#page-256-0)). This behavioral fexibility represents an opportunity for pathogen infection and transmission, since NHP can be exposed to pathogens not found in treetops (where they normally live). *Cebus imitator,* an omnivorous NHP, frequently forages on the ground, consuming fruits, insects, small vertebrates and drinking water from puddles; furthermore, they present crop-feeding behavior, all of which could expose them to infective stages of parasites such as *T. gondii* (Niehaus et al. [2020](#page-263-0)).

Neospora caninum, a protozoan that causes abortion in cattle, has also been detected in free-living *Alouatta caraya, Alouatta guariba clamitans*, *Aotus azarae*, *Aotus infulatus, Mico melanurus, Sapajus apella, and Sapajus nigritus cucullatus* in Brazil (Cândido et al. [2022;](#page-256-0) dos Santos et al. [2022](#page-258-0)). Likewise, these NHPs go down to the understory or the ground (Catão-Dias et al. [2013](#page-256-0)), consume plants and fruits also used by humans as well as feces from defnitive hosts (i.e., dogs, cats) in periurban environments (Lins and Ferreira [2019](#page-261-0); Bustamante-Manrique et al. [2021\)](#page-255-0), and enter into contact with these parasitic oocysts, which could result in mortality infections (Costa et al. [2018](#page-257-0)).

Social systems in NHP also play a role in the susceptibility and transmission of infectious diseases. Interindividual contact-associated behaviors (e.g., allogrooming, huddling, aggression, and mating), position in the social network, and the directionality of social interactions can either lead to a greater probability of pathogen transmission due to increased contact with conspecifcs or reduce host susceptibility to pathogen infection due to less stress and immunosuppressive effects (Balasubramaniam et al. [2016;](#page-254-0) Rimbach et al. [2015\)](#page-264-0).

Likewise, social structures can infuence social interactions among individuals and regulate pathogen or parasite transmission. Fission-fusion dynamics (i.e., group division into subgroups of different sizes, compositions, and spatial cohesion that vary over time) in the NHP result in individual variation in the use of the shared space and the amount of social contact with other conspecifcs, which could infuence the acquisition and transmission of pathogens (Deere et al. [2021](#page-257-0)). On the other hand, NHP cohesive social groups could serve as an abundant supply of blood for vector populations to thrive, infuencing vector distribution, population dynamics, and abundance; hence, altering the transmission cycle of parasites, as has been described in *Leishmania* spp. in wild and peri-domestic environments (Martínez et al. [2019\)](#page-261-0).

9.2.3.2 Physiological Stress

Living in disturbed environments represents a physiological challenge. Levels of cortisol in NHPs living in fragmented habitats are often higher than those living in continuous forests (Dunn et al. [2013](#page-258-0); Martínez-Mota et al. [2007;](#page-261-0) Rangel-Negrín et al. [2009](#page-264-0), but see Chaves et al. [2019\)](#page-256-0). However, other factors, such as the type of human activities carried out in the fragments (e.g., logging, hunting), the presence of tourists, the protection status of the habitat, and multispecies parasite infections,

can also increase cortisol levels (Behie and Pavelka [2013](#page-255-0); Behie et al. [2014;](#page-255-0) Cantarelli et al. [2017](#page-256-0); Durán [2014](#page-258-0); Rangel-Negrín et al. [2014;](#page-264-0) Rimbach et al. [2013\)](#page-264-0).

When these factors are constant, as occurs in captivity or anthropogenically disturbed environments, a chronic stress response is established in the individual; this response can infuence animal ftness, affecting growth and decreasing fertility and immune functions and making it susceptible to infections (Beehner and Bergman [2017\)](#page-255-0). A higher intensity of parasite infection has been found in NHPs with high levels of cortisol (Durán [2014\)](#page-258-0). Moreover, high levels of cortisol also increase participation in agonistic interactions, which could facilitate contact-mediated transmission of pathogens (e.g., *Shigella fexneri*; Balasubramaniam et al. [2016](#page-254-0)).

9.3 Bidirectional Transmission Between Humans and Neotropical NHP

According to the most recent bibliographic reviews (Carrillo-Bilbao et al. [2021;](#page-256-0) Rondón et al. [2021;](#page-264-0) Solórzano-García and Pérez-Ponce de León [2018\)](#page-265-0), in the American continent, humans and NHP share approximately 89 taxa of parasites, making a total of 472 records; more than 70% constitute microparasites such as viruses, bacteria, and protozoa, and the rest are macroparasites such as helminths and arthropods (Fig. [9.4\)](#page-243-0). Almost half of these parasitic taxa can also infect domestic animals, such as dogs, cats, and farm animals. *Alouatta* is the genus that shares the highest number of parasite taxa with humans, almost half of them protozoa, followed by *Callithrix*, *Sapajus*, *Saguinus*, *Ateles*, *Saimiri,* and *Aotus*, all among the most traffcked and laboratory-preserved NHP species (De Souza Fialho et al. [2016;](#page-257-0) Shanee et al. [2017\)](#page-265-0). The number of parasite species that a particular NHP genus shares with humans is related to the diversity of NHP parasitic fauna; thus, primates parasitized by a great variety of parasite species tend to share more parasites with humans than primates parasitized by fewer species of parasites (Fig. [9.5](#page-243-0)). Zoonotic potential seems to be higher in protozoa; particularly, hemoparasites such as *Plasmodium* and *Trypanosoma* have the largest number of records and infect the broadest range of NHP species. Among viruses, the families Flaviviridae and Retroviridae are among the most important zoonotic agents, at least in terms of the number of records. Additionally, several species of tapeworms and roundworms are commonly shared between humans and NHP, while ectoparasites seem rarely transmitted between humans and NHP (Fig. [9.6\)](#page-244-0).

Studies have shown that great apes and Old World NHP having a closer phylogenetic proximity to humans carry a higher risk of sharing pathogens compared to other NHP groups (Gomez et al. [2013](#page-259-0)). However, Neotropical NHPs are distributed in environments with different degrees of disturbance (Batista et al. [2012](#page-254-0)). Since they are arboreal, changes in the landscape force them to use other altitudinal strata that cause them to face unknown risks, including increased contact with pathogens transmitted indirectly (e.g., oro-fecal) or by vectors (mosquitoes) that are not distributed in the tree canopy (Chaves et al. [2019\)](#page-256-0).

Fig. 9.4 Parasites shared between humans and Neotropical NHP. (**a**) Number of shared parasite species reported per country. (**b**) Shared parasite species by taxonomic group. (**c**) Proportion of parasite species shared between Neotropical NHP, humans and domestic animals. (**d**) Number of parasite species shared with humans and each genus of Neotropical NHP

Fig. 9.5 Number of records of zoonotic parasites of Neotropical NHP. (**a**) protozoa, (**b**) Viruses, (**c**) Bacteria, (**d**) Trematodes and Cestodes, (**e**) Nematodes, (**e**) Arachnida and Insecta

Fig. 9.6 Relation between the number of shared parasite species and the total diversity of parasites reported for each genus of Neotropical NHP

Close contact between humans and wildlife is key for the exchange of infection agents. Land use and land cover changes, especially urbanization processes, constitute strong selective forces pushing wild organisms to develop strategies that enable them to cope with these pressures to survive in anthropic environments (CITA). This includes parasites and pathogens, which must adapt to host demographic fuctuations, as well as to potential new hosts and vectors. In the case of the tapeworm *Bertiella,* humans are accidental hosts since parasite transmission requires the ingestion of an intermediate host, usually a mite. Levels of *Bertiella* infection have been related to habitat anthropogenization, with urban NHP troops showing a higher prevalence than those living in more conserved habitats (Kane and Smith [2020\)](#page-260-0). The crowding effect due to the reduced amount of remaining habitat in urban areas surrounded by a harsh matrix that restricts NHP movements, along with the presence of other potential hosts (such as humans and domestic animals), could facilitate high tapeworm abundance.

Trichuris trichiura, *Ascaris lumbricoides,* and other soil-transmitted nematodes, such as *Necator americanus,* have been reported in NHPs held *ex situ* or inhabiting remnants of forests frequently visited by humans. Since these are common parasites of humans, these reports suggest anthropozoonotic transmission of these parasites caused by environmental contamination with human feces (Agostini et al. [2018;](#page-254-0) Phillips et al. [2004](#page-263-0); Stuart et al. [1990](#page-265-0)). Similarly, the protozoan *Giardia intestinalis* was found in howler monkey individuals living close to human settlements in Belize (Vitazkova and Wade [2006\)](#page-266-0). These examples show that human-induced habitat perturbation disrupts natural host–parasite dynamics, as well as parasite community composition, by facilitating the spread of some agents, restricting the transmission and survival of others, and introducing new ones. Such conditions increase exposure to zoonotic and human-borne pathogens, affecting both human and NHP health.

9.3.1 Spillover and Spillback

For spillover events to occur, two conditions must be fulflled: frst, the parasite needs to be in contact with a novel host species, and second, it has to be able to successfully establish itself in the novel host. These two conditions are triggered by ecological and evolutionary opportunities that, in the case of zoonosis, promote contact between humans and wildlife and facilitate the success of the infectious agent (Fig. 9.7). However, the effects of these anthropogenic disturbances are not ubiquitous, and parasitosis tends to either increase or decrease depending on the infectious agent species, host species, and type of disturbance. Studies with howler monkeys have reported higher parasite richness in *Alouatta guariba* troops living in highly disturbed areas (Klain et al. [2021](#page-261-0)), but the opposite trend was observed for *A. palliata*, *A. pigra*, and *Saguinus leucopus*, with higher parasite richness in more conserved areas (Cristobal-Azkarate et al. [2010;](#page-257-0) Martínez-Mota et al. [2018](#page-261-0); Soto-Calderón et al. [2016\)](#page-265-0). No signifcant differences were observed in the parasite diversity between *A. g. clamitans* groups inhabiting small and large Atlantic Forest fragments in southern Brazil (Lopes et al. [2022\)](#page-261-0).

These contrasting patterns could be explained by host group size and density, type of parasite, and mode of transmission. A meta-analysis on the patterns of parasitic infections in South American howler monkeys showed that the prevalence of infectious agents such as bacteria and protozoans was higher in rural areas near

Fig. 9.7 Elements that promote ecological and evolutionary opportunities for zoonosis to occur

human settlements, while the average prevalence of helminths was slightly higher in remote undisturbed areas (Kowalewski and Gillespie [2009\)](#page-261-0). In addition, habitat perturbation could affect the abundance of intermediate hosts and vectors. For example, a high prevalence of infection with the acanthocephalan *Prosthenorchis elegans* was reported in tamarin monkeys living near human facilities and garbage dumps where the parasite's intermediate hosts (cockroaches) are also highly abundant (Wenz et al. [2010\)](#page-267-0). Likewise, the rate of *Plasmodium* infection in mosquito vectors has been reported to increase with the degree of forest fragmentation, linking environmental perturbation with the risk of contracting malaria (Rondón et al. [2019](#page-264-0)).

The impact of the spread of pathogens from humans to wildlife species is an emerging threat that is not well understood, but such spreads are likely to increase in the future, establishing new reservoirs of disease propagation with important implications for public health and economy, as well as for wildlife conservation (Thompson [2013](#page-266-0)). Environmental changes and land-use transformation are globally affecting the dynamics of disease transmission between wild populations of NHP and humans (Rondón et al. [2017](#page-264-0)), with pathogens of human importance being found more frequently in NHP living in degraded environments, potentially serving as reservoirs and transmitters of these pathogens to humans. Pathogen spillover requires a permeability (the degree to which an organism is able or willing to cross a given habitat) for at least one of the actors involved in spillover: source host, intermediate host/vector, recipient host, or pathogen (Borremans et al. [2019\)](#page-255-0). Each of these actors is infuenced by several factors and nonlinear interactions, as well as by the processes of contact with the source host, the intensity and duration of exposure, the potential for pathogen invasion into the recipient host (release, transport, and survival), and subsequent transmission and adaptation within host populations (Plowright et al. [2017\)](#page-263-0).

Some species of parasites are more prone to host switching than others, and certain host species are more likely to share parasites. Parasites' evolutionary rate along with phylogenetic relatedness among host species will create evolutionary opportunities facilitating parasite cross-transmission. Those parasite species capable of infecting a wide variety of hosts—multihost parasites—either because they have a generalist strategy or given the complexity of their life cycles, are expected to show greater genetic variability than highly specialized locally adapted parasites (Cooper et al. [2012;](#page-257-0) Matthee [2020](#page-261-0); Pedersen et al. [2005\)](#page-263-0). Additionally, high mutational rates and short generation times have been associated with the ability of parasites and pathogens to infect a broader range of NHP hosts; thus, spillover tends to be greater in generalist versus specialized parasites (Auld et al. [2017\)](#page-254-0).

NHP being closely related to humans leads to a high risk of bidirectional transmission of infectious diseases (Sharp and Hahn [2011\)](#page-265-0). As seen in the research conducted in El Salvador and Costa Rica by Chaves et al. [\(2020a,](#page-256-0) [b](#page-256-0)), the presence of human parvovirus species (PARV4 14%, HBoV 3.7%, and B19 0.67%) in stool and blood samples of Neotropical NHP, determined by molecular evidence, suggested possible transmission between humans and Neotropical. This could have an impact on NHP conservation, since an organism that is relatively nonvirulent in one host can be extremely virulent in a different host species (Hope et al. [2004;](#page-260-0) Smith et al.

[2009;](#page-265-0) Ye et al. [2012](#page-267-0)). Parvoviruses have characteristics that make them particularly risky in natural environments: they are highly resistant to environmental conditions and remain viable outside the host for months (Suzan and Ceballos [2005](#page-265-0)), and their main route of transmission is the oro-fecal route (López-Pérez et al. [2019](#page-261-0)). Thus, the possibility of infection should be greater in fragmented environments, where NHPs are expected to descend to the ground more frequently to move across remnants of forest (Valdespino et al. [2010](#page-266-0)), increasing the possibility of contact with the infectious agent not only excreted by individuals of the same species but also by other primates, including humans (Chaves et al. [2020a,](#page-256-0) [b\)](#page-256-0).

Occasionally, recipient hosts can play a crucial epidemiological role by transmitting the pathogen back to a potential source host (spillback) (Nugent [2011\)](#page-263-0). This host will not automatically transmit the pathogen; for this to happen, it must be a competent host for the pathogen, possess demographic characteristics that can facilitate the spread of the pathogen and be able to disseminate the infective stages of the pathogen, sometimes being a more competent host than the source host. If this is not the case, the recipient host would be a diluent of pathogen transmission in an ecosystem (Kelly et al. [2009](#page-260-0)). Pathogen spillback could affect source hosts when interspecifc transmission equals or exceeds intraspecifc transmission and when pathogen virulence in a source host is greater than that in the recipient host (Hatcher et al. [2006\)](#page-260-0). In addition to directly amplifying pathogen infection by acting as a defnitive host, they could also potentially increase infection in source hosts by fulflling other roles in the pathogen life cycle, such as an intermediate host or as a vector (Kelly et al. [2009\)](#page-260-0).

Multiple vector-borne zoonotic diseases are caused by the spillover of pathogens from NHP to humans and other animals at the boundary between rural and natural ecosystems (Borremans et al. [2019](#page-255-0)). Several arboviruses of medical importance have caused outbreaks worldwide in recent decades, including the Zika virus and yellow fever virus, both Flaviviruses originating in Africa and transmitted by vectors, with NHP as a reservoir host (spillover). Like the other arboviruses of the American continent, yellow fever is established in an urban cycle, which has been identifed in the American continent since the seventeenth century (Bryant et al. [2007](#page-255-0)). Until 1930, only the urban transmission cycle had been described. However, the establishment of a sylvatic cycle was documented after the confrmation of human cases in a rural area free of *Aedes aegypti* in southeastern Brazil (Soper et al. [1933\)](#page-265-0). Subsequently, it was shown that this virus had adapted to Neotropical NHP (spillback) and to sylvatic mosquitoes such as *Hemagogus* spp. In the case of other arboviruses, although Zika virus has never been isolated from any other vertebrate besides humans, nor in sylvatic mosquitoes that feed on NHP in the Americas, fndings of RNA fragments and antibodies compatible against this virus were found in marmosets and capuchin monkeys captured in peri-urban areas of Brazil (Terzian et al. [2018\)](#page-266-0). It is known that the dengue virus (favivirus) transmitted by mosquitoes of the genus *Aedes* infects Old World NHP, some serving as amplifying hosts for the enzootic transmission of dengue. However, the role of Neotropical NHP in the transmission or maintenance of this virus is unknown, although there are several reports of dengue virus (I, II, III, and IV) or antibodies against this virus in Neotropical NHP from various regions of Latin America (Chaves et al. [2020a,](#page-256-0) [b](#page-256-0) and Box [9.1](#page-248-0)).

Box 9.1: Arboviruses–Sylvatic Cycles

- **Yellow fever virus**, a member of the genus *Flavivirus* (family Flaviviridae), is the etiologic agent of yellow fever. The natural transmission cycle of yellow fever involves NHP (monkeys and great apes) and mosquitoes (Monath and Vasconcelos [2015\)](#page-262-0). The virus was introduced into the Americas from Africa in the seventeenth century through ships that transported the anthropophilic mosquito species *Ae. aegypti*, establishing itself in coastal cities, with the subsequent establishment of an enzootic jungle cycle in tropical forests (Monath and Vasconcelos [2015](#page-262-0)).
- Although antibodies against yellow fever have been reported in several species of mammals, humans, and NHPs are the main hosts. New World monkeys (Platyrrhini infraorder) are more susceptible to the disease than Old World monkeys (Catarrhini infraorder) (Brasil et al. [2017\)](#page-255-0). In South America, sylvatic yellow fever occurs sporadically in regions where people are vaccinated; however, epidemics can also occur, usually preceded by epizootics in the NHP (Almeida et al. [2014](#page-254-0)). In NHP, the disease may have severe results if enough time has elapsed for new populations of susceptible NHP to emerge after each epizootic wave. Platyrrhine species have different levels of susceptibility, and howler monkeys (*Alouatta* spp.) are the most sensitive, much more so than humans (Araújo et al. [2011](#page-254-0)). Howler monkeys experience high mortality rates, often dying three to seven days after infection. In Rio Grande do Sul, approximately 2000 black and golden howler monkeys (*Alouatta caraya*) and brown howler monkeys (*Alouatta guariba clamitans*) were lost after an epizootic yellow fever event in 2008 and 2009 (Almeida et al. [2012\)](#page-254-0). If howler monkeys survive the disease, they develop permanent immunity and consequently act as virus amplifers during this short time (Georgiev [2009](#page-259-0)). Howler monkeys are among the most widely distributed monkeys in the New World, inhabiting Neotropical regions from central Mexico to northeastern Argentina (Groves et al. [2005\)](#page-259-0). Due to its wide distribution area and high mortality levels when facing a yellow fever virus spread, *Alouatta* is an ideal species to monitor new cases of yellow fever (Almeida et al. [2012](#page-254-0)). Recovery of howler monkey populations becomes increasingly compromised after yellow fever outbreaks.
- In Brazil from 1999, cases of yellow fever were detected in northern and midwestern regions outside the natural foci of the disease (North and Midwest) (Torres et al. [2003](#page-266-0); Brasil et al. [2017\)](#page-255-0). From 2014 to 2022, Brazil witnessed a wide yellow fever virus circulation, which killed thousands of NHPs and generated over 700 human deaths (i.e., Brazil 2021, Bicca-Marques et al. [2017](#page-255-0)).

(continued)

Box 9.1 (continued)

- **Zika viruses** (Flavivirus) invaded the Americas in 2013–14; both are transmitted to humans by the blood-feeding *Aedes aegypti* vector. To date, there is an unconfrmed report of Zika virus RNA in a capuchin monkey in Brazil (Favoretto et al. [2016](#page-258-0)), and an experimental infection in squirrel monkeys (*Saimiri* spp.) demonstrated viremia (Vanchiere et al. [2018\)](#page-266-0).
- **Dengue virus** belongs to the *Flavivirus* genus (Flaviviridae family) and circulates in anthroponotic cycles transmitted by mosquitoes, mainly *Aedes aegypti* species. In the Americas, its possible maintenance through sylvatic cycles involving different species of Neotropical NHP, such as *Alouatta* spp., *Cebus* spp., and *Ateles* spp., has been suggested by serological and molecular detection (Morales et al. [2017](#page-262-0); Moreira-Soto et al. [2018a,](#page-262-0) [b;](#page-262-0) Chaves et al. [2021a,](#page-256-0) [b\)](#page-256-0).
- **Saint Louis encephalitis virus** belongs to the genus *Flavivirus*, and this virus is maintained in nature in an enzootic cycle involving mosquitoes, mainly of the genus *Culex*, and birds of the orders Passeriformes and Columbiformes (Beltrán et al. [2015\)](#page-255-0). In the Neotropics, NHP has been reported to be positive for this virus, suggesting possible alternative transmission cycles involving NHP instead of birds (Chaves et al. [2020a](#page-256-0), [b\)](#page-256-0). A high prevalence of antibodies against this virus has been reported in black howlers (*Alouatta caray*) in Argentina (Morales et al. [2017\)](#page-262-0), and serological fndings have also occurred in southern Brazil (Almeida et al. [2016](#page-254-0); Almeida et al. [2019\)](#page-254-0). Chaves et al. ([2020a,](#page-256-0) [b\)](#page-256-0) evaluated 86 free-living and captive Neotropical NHPs and found that 39.5% (34/86) had evidence of prior infection with a St. Louis encephalitis virus. However, the role that Neotropical NHP might play in maintaining this virus in the wild is still unknown (Morales et al. [2017\)](#page-262-0).
- **Oropouche virus**, a member of the genus *Orthobunyavirus* (family Peribunyaviridae), is the etiological agent of Oropouche fever, a zoonotic disease transmitted mainly by mosquitoes of the species *Culicoides paraensis*. Mammals and wild birds act as natural reservoirs of this virus in its sylvatic cycle (Romero-Alvarez and Escobar [2018\)](#page-264-0). Immunity to the Oropouche virus was found in *A. caraya* in southern Brazil (Almeida et al. [2016\)](#page-254-0).
- **Mayaro virus**, a member of the genus *Alphavirus* (Family Togaviridae), causes Mayaro fever, often confused with dengue. Its sylvatic cycle is similar to that of yellow fever, involving mosquitoes of the genus *Hemagogus* and NHP as reservoirs (Muñoz and Navarro [2012\)](#page-262-0). The virus has been isolated in the marmoset monkey (*Callithrix penicillata*) and the weeping capuchin (*Cebus olivaceus*) (Navarro et al*.* [2016](#page-263-0)). Likewise, two studies performed in Brazil by Batista and collaborators ([2012,](#page-254-0) [2013](#page-254-0)) examining 35 and 16 NHPs, respectively, reported the presence of the Mayaro, Oropouche, and Cacipacoré viruses.

9.3.2 Transmission Risk: Direct and Indirect Contact

The anthropogenic infuence on ecological systems dictates the level of risk in the transmission of zoonotic diseases. Ecosystem edge sites (rural and natural ecosystems) are recognized as potential foci of pathogen transmission, as they form the boundaries of occurrence of many species. This implies a higher rate of contact between species occupying adjacent ecosystems (Borremans et al. [2019\)](#page-255-0). Among Neotropical NHPs, the genus *Alouatta* has shown great ecological fexibility, allowing them to survive and even make use of new resources from the surrounding matrix (Argüello-Sánchez [2012\)](#page-254-0), documenting that they frequent habitat edges as well as buffer zones (Conatser [2016](#page-257-0)). Another species resilient to these changes is the white-faced capuchin monkey (*Cebus imitator*), which has adapted very well to edge sites in Costa Rica and Panama (Mckinney [2010,](#page-262-0) Mansell and McKinney [2021\)](#page-261-0). Additionally, callitrichid monkeys (tamarins and marmosets) exhibit a particular ability to adapt to disturbed and urbanized environments, an example being white-footed tamarin (*Saguinus leucopus*), an endangered species endemic to the central Andes in northwestern Colombia (Soto-Calderón et al. [2016](#page-265-0)).

An important component of transmission is contact, which can occur directly between hosts or indirectly through vectors, intermediary hosts, fomites, or environmental sources (Yang et al. [2021\)](#page-267-0). Diseases that are spread indirectly by pathogens outside the host and their survival are very important for their epidemiological dynamics (Fig. [9.3](#page-236-0)). This mechanism contrasts with direct transmission, which postulates that the pathogen is acquired through contact with an infected individual or contaminated source (Li et al. [2017\)](#page-261-0). NHPs are particularly vulnerable to the effects of pathogens because they live in close social groups, facilitating contact and thus pathogen transmission (Friant et al. [2016\)](#page-259-0). In addition, anthropogenic changes have favored contact between humans and domestic animals with NHP, resulting in an increased opportunity for the transmission of pathogens directly and indirectly between these groups.

The mechanisms and behaviors that facilitate host–host contact are varied, i.e., direct contact often requires spatiotemporal colocation between hosts, whereas indirect contact requires spatial colocation within a given time window (Godfrey [2013\)](#page-259-0). This distinction in host contact mechanisms can result in variation in contact rates within and between host species and across the landscape (Yang et al. [2021\)](#page-267-0). Understanding the magnitude of variation between different types of contact (direct/ indirect) between host populations is crucial for optimizing disease control strategies in multihost systems.

Arboviruses are a worldwide public health problem, representing a threat to both humans and animals. They are transmitted and maintained in nature through sylvatic cycles, where hematophagous arthropod species act as vectors and wild vertebrates can act as reservoir hosts (Batista et al. [2013\)](#page-254-0). They belong to several viral families, such as Togaviridae (genus *Alphavirus*), Flaviviridae (genus *Flavivirus*), Peribunyaviridae (genus *Orthobunyavirus*), Phenuiviridae (genus *Phlebovirus*) and Sedoreoviridae [\(https://ictv.global/taxonomy\)](https://ictv.global/taxonomy). Many of these pathogens circulate permanently in nature in an enzootic sylvatic cycle involving wild animals that inhabit nearby environments where anthropic activities are developed (rural and peri-urban areas) (Weaver [2006](#page-267-0)). In Latin America, the role of Neotropical NHP in the maintenance of the sylvatic cycles of arboviruses is inconclusive, except for yellow fever virus. However, Neotropical NHPs are the only mammals classifed as high risk for being part of the maintenance of arbovirus sylvatic cycles (Pandit et al. [2018\)](#page-263-0). In the Neotropics, NHPs have been reported to be infected with different species of arboviruses, such as yellow fever virus, Mayaro virus, Chikungunya virus, Zika virus, dengue virus, Cacipacore virus, St. Louis encephalitis virus and Oropouche virus (Carrillo-Bilbao et al. [2021](#page-256-0)).

9.4 Challenges and Future Directions

The accelerated rates of landscape transformation in the Neotropics (Antonelli [2022\)](#page-254-0), the continuous human encroachment into the wild, and the fact that nearly 40% of NHP species are at risk by human-induced threats, such as habitat loss and fragmentation, hunting and pet trade (Estrada et al. [2020](#page-258-0)), make the monitoring of NHP health, the diagnosis of NHP parasites and pathogens, as well as their transmission potential, research priorities. Nonetheless, conducting studies of diseases in NHP entails several logistical, methodological, and ethical challenges. To understand how anthropogenic pressures affect primate-parasite interactions, we frst need to know how these associations function in undisturbed conditions. Describing primatological disease dynamics in natural pristine environments is not simple since most of the remaining forested areas in the Neotropics are of diffcult access given their orography, remoteness, and, in most recent times, security concerns. Carrying out such feldwork requires specialized transportation, equipment, and, most importantly, the support of local human communities.

In biologically healthy wild populations, disease outbreaks are natural phenomena and usually have minimal impact; however, in small populations with low genetic diversity living in high-stress environments, they can have catastrophic effects (Junge and Louis [2002\)](#page-260-0); thus, infectious diseases are a major factor in the decline of free-living NHP populations (Nunn and Altizer [2006](#page-263-0)). For example, in South America, yellow fever has caused reductions in NHP wild populations as a result of different virus circulations, such as those occurring between 2007 and 2009 and from 2014 to 2021, which killed thousands of howler monkeys and other species, including highly endangered ones (Moreno et al. [2017](#page-262-0); Almeida et al. [2012](#page-254-0), [2014;](#page-254-0) Romano et al. [2014;](#page-264-0) Strier et al. [2019](#page-265-0); Bicca-Marques et al. [2017;](#page-255-0) da Cardoso et al. [2010;](#page-257-0) Dietz et al. [2019](#page-258-0); Andrade et al. [2021\)](#page-254-0).
When designing a study on primatological diseases, we need to address several questions, such as which is the best strategy to monitor the health of a given NHP species? What type of samples are needed? How do we get those samples? Depending on the collection strategy, *in situ* challenges may include capture, manipulation, and treatment of animals, as well as handling and transportation of samples, especially when working in remote areas. On the other hand, *ex situ* challenges deal with the best protocol to process and analyze samples to obtain as much accurate and useful data as possible to assess health and disease risks. There are many microscopic methods, immunological tests, and DNA sequencing techniques to detect parasites and pathogens; however, their application is not universal, and the best choice will be based on the type of sample and the etiological agent studied.

In many cases, invasive sampling is required to detect the presence of certain parasites and pathogens. Capture and manipulation of any wildlife animal has its risks, and it is our obligation to pursue safe practices and to follow ethical protocols that ensure the protection of primates and handlers. Since many NHP populations are threatened, deciding the appropriate sampling size is not trivial; we need to fnd a balance between gathering enough data and the risk to the target populations. Additionally, it is very important to communicate the aims and the social benefts of the study to the local people to avoid confusion with activities of wildlife extraction and trafficking.

The application of noninvasive sampling methods in combination with molecular diagnostic techniques has proven to be a good option for the study of diseases and health monitoring in NHPs without disturbing their natural populations (e.g., Chaves et al. [2020a](#page-256-0), [b;](#page-256-0) Rondón et al. [2019;](#page-264-0) Solórzano-García and Pérez-Ponce de León [2017](#page-265-0); Wenz et al. [2010\)](#page-267-0). In the case of NHP, the use of this type of sampling has been crucial and very efficient since most species live in dense forests and tend to be elusive, making observations diffcult (Argüello-Sánchez and García-Feria [2014\)](#page-254-0). Nonetheless, they also pose important methodological challenges. The advancement of molecular technology allows us to obtain relevant information regarding the health of an organism from different sources. For example, through fecal samples, we can monitor hormones, immunology, genetics, parasites and some pathogens, toxins, diet, and microbiome, among other parameters (Wasser et al. [2002\)](#page-266-0). These techniques are constantly refned and standardized, but they should be tested for their diagnostic accuracy and utility, since their application might not be appropriate in all cases, being more suitable for studying certain types of hosts, parasites, or disease dynamics. For example, not all disease agents are excreted in the feces, or the genetic material could not be enough to detect their presence. Additionally, distinguishing some parasite species could be impossible only through coproscopic examinations, hindering the diagnostic potential of these tools. Moreover, ecological estimates such as parasite abundance and intensity of infection are hard to obtain when using noninvasive sampling. We need to keep working on developing tools that will help us overcome these challenges and

enhance the applicability of noninvasive sampling methods, especially when studying endangered species in which capture is not desired or even feasible, but the assessment of their vulnerability to diseases is fundamental.

9.4.1 Research Needs

Although the study of parasites and diseases in NHP has been growing in recent years, there are still many NHP species and locations across their range that lack information (Solórzano-García and Pérez-Ponce de León [2018](#page-265-0)). Greater efforts are needed to monitor understudied endangered species to assess the role of diseases in population declines and identify risk zones. Several Neotropical NHP species tolerate a certain amount of habitat disturbance and use anthropic land covers for different purposes, making human-transformed environments important components of their home ranges and daily activities (Galán-Acedo et al. [2019](#page-259-0)). It is a priority to develop studies assessing the diversity of parasites and pathogens and the disease dynamics in these anthropic land cover types and across transformation gradients to identify those scenarios that can facilitate the occurrence of spillover and spillback events between humans and the NHP.

Habitat disturbance and forest fragmentation are expected to constitute a risk for infectious diseases in NHP, facilitating pathogen cross-species transmission, hence triggering zoonotic diseases. It is critical to assess the ecological aspects that could alter disease morbidity and mortality, along with the challenges faced by both host and parasites in these modifed ecosystems. Additionally, it is critical to include evaluations on the parasites and diseases in humans and domestic animals living in proximity to NHP, along with the corresponding molecular characterization. In this way, we will continue increasing the genetic library on parasites and pathogens, which is crucial for the identifcation of variants and evolutionary lineages to corroborate the origin and zoonotic potential of a disease and to determine the role of NHP in human disease and vice versa (Hasegawa et al. [2014;](#page-259-0) Helenbrook et al. [2015;](#page-260-0) Van Lieshout et al. [2005](#page-266-0); Garcia et al. [2005\)](#page-259-0). The embracement of approaches such as One health will facilitate the construction of interdisciplinary research programs to assess primate–parasite dynamics in the human–NHP interface and to develop conservation actions that consider the interactions among human health– primate health–environmental health (Deem [2016\)](#page-257-0).

Finally, the study of primatological diseases should focus not only on ecological associations such as parasites–host–environment but also on the intrinsic factors that contribute to the host's susceptibility or resistance. Assessments from the holobiont perspective (Lederberg and McCray [2001\)](#page-261-0) will aid in uncovering the interactions among the organism and the different microorganisms that live within it and how they relate to the incidence and intensity of a disease, as well as how these internal interactions react to ongoing environmental changes.

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Chapter 10 Pathogen Transmission and the Risk of Spillover for Wild Carnivores in the Neotropics

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10.1 Infectious Diseases Affecting Wild Carnivores in Human-Dominated Landscapes

After thousands of years of humans impacting natural ecosystems, the scale and extent of human-ecosystem interactions have expanded considerably in recent decades (McMichael [2004](#page-294-0)), leading to a human-driven trend of high extinction rates and global loss of biodiversity caused by climate change and habitat loss and fragmentation (Ceballos et al. [2015](#page-289-0); Dirzo et al. [2014\)](#page-291-0). The global biodiversity crisis has extensive effects on human and animal welfare, including changes in the ecoepidemiology of infectious diseases. Studies have hypothesized that land use change affects pathogen transmission dynamics at different spatial scales by

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changing the niches of vectors, hosts, and pathogens; the structure of host and vector communities; the behavior of vectors and hosts; and increasing human-domesticwildlife interfaces as well as the probability of exchange of ectoparasites and pathogens (Bradley and Altizer [2007;](#page-288-0) Gottdenker et al. [2014\)](#page-292-0). Human-induced landscape changes (e.g., human settlements, agriculture encroachment, and forestry) are among the most important drivers of current global emerging and reemerging infectious diseases (Jones et al. [2013](#page-293-0); Patz et al. [2004](#page-295-0)). In this regard, some pathogens have expanded their prevalence, geographical distribution, or host range, increasing concern for human and veterinary medicine worldwide (Kilpatrick and Randolph [2012](#page-293-0)).

While much of the attention on infectious diseases in wildlife is placed on the threat they pose to public health, understanding these infections in the context of wildlife conservation is important (Burnard and Polkinghorne [2016\)](#page-289-0). Outbreaks of infectious diseases can have signifcant impacts on the population health of freeranging wildlife, are of heightened importance in species of conservation concern, and therefore represent a serious threat to global biodiversity (e.g., O'Hanlon et al. [2018;](#page-295-0) Zipkin et al. [2020\)](#page-298-0). Pathogens can interact with other driving factors, such as habitat loss, climate change, overexploitation, invasive species, and environmental pollution, to contribute to local and global extinctions, having negative consequences for threatened wildlife populations already affected by other risks (Pedersen et al. [2007;](#page-295-0) Smith et al. [2009](#page-297-0)).

Wild carnivores are particularly vulnerable to human disturbances due to their large body sizes, wide home ranges, low population densities, low recruitment, and specialized diet (Crooks [2002](#page-290-0); Gittleman [2001\)](#page-292-0). Urbanization, agriculture expansion, and habitat fragmentation can directly or indirectly modify their ecology and behavior by affecting habitat use, home range and activity patterns, reducing habitat and prey availability, decreasing reproductive success, impeding gene fow among populations isolated, and increasing alien species invasion (Quesnelle et al. [2014;](#page-296-0) Tuomainen and Candolin [2011\)](#page-297-0).

By the end of the 1990s, the role of infectious diseases in mass mortality events, population declines, and/or species extinctions was often considered controversial or secondary to other factors. However, a series of well-reported deaths in wild carnivores identifed infectious diseases as their most likely cause (e.g., Roelke-Parker et al. [1996](#page-296-0)). Pathogens were also implicated for the frst time in species extinctions or near extinctions in several cases. For instance, canine distemper in the 1970s drove the black-footed ferret (*Mustela nigripes*) of North America to the brink of extinction (Daszak and Cunningham [1999;](#page-290-0) Williams et al. [1988](#page-298-0)). The devastating outbreak of canine distemper virus (CDV) in Serengeti lions (*Panthera leo*) was estimated to have killed over 1000 lions, a third of the Serengeti population, with a neurologic syndrome. Additionally, uncounted hyenas, bat-eared foxes, and leopards were also affected (Roelke-Parker et al. [1996\)](#page-296-0). These events highlighted the importance of diseases in the conservation of wild carnivores worldwide.

10.2 Domestic Carnivores as a Source of Infection to Wild Counterparts

Biological invasions are one of the most important factors contributing to the loss of biodiversity, degradation of ecosystems, and decline in ecosystem services (Chapin et al. [1997](#page-289-0); Pysek and Richardson [2010;](#page-296-0) Sala et al. [2000\)](#page-296-0). The introduction of invasive species has contributed to the global spread of pathogens into naïve ecosystems (human-mediated pathogen invasion or "pathogen pollution") (Daszak et al. [2000;](#page-290-0) Strauss et al. [2012;](#page-297-0) Vitousek et al. [1996](#page-298-0)). Domestic animals usually act as invasive species that can play a role in infectious diseases, and the threat of spillover from them to wildlife is relevant to animal health globally (Murray and Daszak [2013\)](#page-294-0). As domestic animals are globally distributed and maintained at high densities (often outnumbering the wildlife hosts of shared pathogens), they can easily act as reservoirs for pathogens shared with wildlife (Cleaveland and Dye [1995](#page-289-0); Daszak et al. [2001;](#page-290-0) Lafferty and Gerber [2002](#page-293-0); Pedersen et al. [2007](#page-295-0)).

Domestic dogs (*Canis lupus familiaris*) are among the most numerous carnivores in the world and have been identifed as reservoirs for infectious agents that have led to numerous epidemics in different wild carnivore species (Gompper [2014b\)](#page-292-0). For instance, they are known to be the source of CDV and canine parvovirus (CPV) (Behdenna et al. [2019](#page-288-0); Cleaveland et al. [2002](#page-289-0), [2007\)](#page-289-0). In fact, domestic dogs were implicated as the source of the 1994 canine distemper (CD) epidemic that nearly killed 30% of the lion population in the Serengeti, which is believed to have originated from unvaccinated domestic dog populations near the park (Cleaveland et al. [2000;](#page-289-0) Roelke-Parker et al. [1996;](#page-296-0) Viana et al. [2015](#page-297-0)). The outbreak was probably initiated through other reservoir hosts, different from village dogs (which rarely get suffciently close to a lion to pass on the virus), such as spotted hyenas (*Crocuta crocuta*), which mix with lions at the kill (Nikolin et al. [2017](#page-295-0)). Additionally, domestic dogs were also regarded as the source of rabies epidemics that have affected the Ethiopian wolf and are threatening the conservation of this endangered carnivore (Haydon et al. [2006](#page-293-0); Sillero-Zubiri et al. [1996](#page-297-0)). They were also believed to be partly responsible for the extinction of the African wild dog (*Lycaon pictus*) in areas of the Serengeti ecosystem in 1991, which is thought to have occurred through transmission of rabies (Woodroffe [1997](#page-298-0)). Even in the absence of direct contact between domestic dogs and wild carnivores, the ability of some pathogens, such as CPV, to remain viable in the environment for extended periods means that domestic and wild carnivore sympatry may be sufficient for disease transmission (Gordon and Angrick [1986](#page-292-0)).

On the other hand, domestic cats (*Felis catus*) can also harbor many infectious diseases (Greene [1998](#page-292-0)). For instance, domestic cats as sources of feline immunodefciency virus (FIV) and feline leukemia virus (FeLV) infections in wild felids have been widely recorded for different species and contexts (Cunningham et al. [2008;](#page-290-0) Meli et al. [2010;](#page-294-0) Nishimura et al. [1999;](#page-295-0) O'Brien et al. [2012\)](#page-295-0). Pathology associated with FIV and FeLV infections in nondomestic felids has been recorded as clinical

signs, hematologic abnormalities and even mortality with postmortem lesions in free-ranging African lions, Florida panthers (*Puma concolor coryi*), and Iberian lynx (*Lynx pardinus*) (Cunningham et al. [2008;](#page-290-0) Meli et al. [2010;](#page-294-0) O'Brien et al. [2012\)](#page-295-0). In addition, domestic cats were thought to be the source of infection of an outbreak of FeLV that affected the endangered Iberian lynx in Doñana, Spain (Lopez et al. [2009\)](#page-293-0). Additionally, FeLV caused a deadly outbreak in Florida panthers in 2002–2004 (Cunningham et al. [2008\)](#page-290-0), spilling over from domestic cats with subsequent direct transmission among panthers (Brown et al. [2008\)](#page-289-0), and ongoing FeLV spillover to and transmission among panthers (Chiu et al. [2019](#page-289-0)).

Free-roaming domestic dogs and cats have started to attract wide scientifc attention in the conservation arena due to their considerable impacts on native wildlife through predation, competition, disturbance, hybridization, and disease transmission (Bischof et al. [2022;](#page-288-0) Gompper [2014b;](#page-292-0) Loss et al. [2022](#page-293-0); Moseby et al. [2015\)](#page-294-0). In particular, domestic dogs can act as pivotal "bridge hosts" for diseases of conservation (to wildlife) and zoonotic (to humans) concern (Caron et al. [2015;](#page-289-0) Sepulveda et al. [2014\)](#page-296-0). They have been implicated as the source of infection for several disease outbreaks affecting wild carnivores of conservation concern (e.g., lions, African wild dogs, Ethiopian wolves, and foxes), involving several multihost pathogens, such as canine distemper virus (CDV), canine parvovirus (CPV), or rabies (Behdenna et al. [2019](#page-288-0); Calatayud et al. [2019](#page-289-0); Lembo et al. [2007, 2008;](#page-293-0) Viana et al. [2015](#page-297-0)).

Domestic dogs and cats are particularly abundant in urban areas of some developing countries, such as in the Americas (Gompper [2014a](#page-292-0)), where they can act as excellent reservoirs for pathogens, since they usually live in large populations, are not vaccinated, and are regularly allowed to roam freely, facilitating contact between infected and susceptible hosts (Acosta-Jamett et al. [2010,](#page-287-0) [2015b;](#page-287-0) Flores-Ibarra and Estrella-Valenzuela [2004;](#page-292-0) Knobel et al. [2014](#page-293-0)). Consequently, and similar to what has been found for measles in humans, domestic dogs inhabiting urban areas have been proposed as probable maintenance populations of directly transmitted pathogens such as CDV (Acosta-Jamett et al. [2011](#page-287-0); Cleaveland et al. [2002;](#page-289-0) Lembo et al. [2007,](#page-293-0) [2008](#page-293-0); Viana et al. [2015\)](#page-297-0). In these studies, it has been suggested that the size of wild carnivore and rural dog populations is often not suffcient to maintain directly transmitted pathogens; therefore, these pathogens could be transmitted from spillover from more abundant hosts, such as domestic carnivores inhabiting urban sites, to less abundant populations, such as those in rural areas and/or wild species (Acosta-Jamett et al. [2011;](#page-287-0) Haydon et al. [2002](#page-293-0); Viana et al. [2014](#page-297-0)). The transmission of highly virulent pathogens from domestic to wild carnivores requires close contact between individuals of different species (Dobson and Hudson [1995;](#page-291-0) Grenfell and Dobson [1995](#page-292-0)). In many developing countries, domestic dogs are frequently left to roam freely in rural areas (Hernandez et al. [2021\)](#page-293-0). They may roam over livestock areas and/or wildlife areas searching for food and can live in sympatry with wild carnivores. This will increase the likelihood of disease transmission from infectious dogs to susceptible wild carnivores (Butler et al. [2004;](#page-289-0) Hernandez et al. [2021\)](#page-293-0).

10.3 Interaction Among Domestic and Wild Carnivores as a Proxy of Pathogen Transmission

Interspecifc interactions constitute behavioral patterns that modulate population and community dynamics at multiple ecological levels (Farris et al. [2020;](#page-291-0) Karanth et al. [2017\)](#page-293-0). Regarding disease occurrence, interspecifc interactions can facilitate the transmission of infectious agents through direct and indirect pathways. The frst route relates to spatial and temporal co-occurrence and often a specifc behavioral interaction (i.e., direct physical contact or very close proximity between individuals), which tends to be rare for between-species transmission (Godfrey [2013;](#page-292-0) Viana et al. [2014\)](#page-297-0). The second route is based on the spatial co-occurrence of reservoirs and susceptible hosts within a certain time window at shared spaces, likely driven by movement or feeding/drinking behaviors that may determine indirect cross-species transmission of environmentally resistant pathogens, such as viruses, bacteria, prions, and macroparasites (Drewe et al. [2013](#page-291-0); Lange et al. [2016](#page-293-0)).

Recently, Suzán et al. [\(2015](#page-297-0)) hypothesized that the combined occurrence of generalist (reservoir) and alternative host species at the wildlife-domestic interface would increase the prevalence of pathogen infections. This hypothesis strongly aligns with previous studies that have proposed the wildlife-domestic interface as an "edge between two worlds" (e.g., Murcia [1995\)](#page-294-0), representing critical habitats where humans, vectors, and reservoir hosts (wildlife or domestic animals) overlap, thus increasing contact rates and the risk of cross-species transmission and the emer-gence of pathogens (Hahn et al. [2014;](#page-293-0) Hassell et al. [2017](#page-293-0)). Thus, studying the dynamics of interspecifc interactions as potential promoters of pathogen exposure and the patterns of pathogen coinfection is warranted to shed light on the role of the interface as a zone of risk for disease transmission between sympatric domestic and wild species.

During the last few decades, several hypotheses about how wildlife-domestic interactions can contribute to infectious disease emergence have been tested within interface systems. Relatively frequent opportunities for indirect contact between domestic and wild mammals at shared resource locations are believed to play a relevant role in the transmission of several pathogens of economic, public health, and conservation concern, such as bovine tuberculosis (bTB) and canine distemper virus (Campbell et al. [2019](#page-289-0); Hernandez et al. [2021](#page-293-0)). For example, the mechanism of interspecies *Mycobacterium bovis* (bacterial agent of bovine tuberculosis) transmission to wild mammals is relatively unknown but has been attributed to indirect interactions through contamination of water, pastures, feed, or browsing with bacteria shed by infected hosts. The interaction between cattle and carnivores as promoters of *M. bovis* transmission has also received signifcant research attention, particularly in reference to the cattle-badger model. Along these lines, it is believed that direct contact between both species via nose-to-nose is rare, with previous studies suggesting that badgers actively avoid farmyards when cattle are present, with close physical proximity almost never being recorded (Böhm et al. [2009](#page-288-0); Campbell et al. [2019\)](#page-289-0). Thus, direct contact between live badgers and cattle seems to be an unlikely route to maintain bTB through interspecifc transmission, while indirect contact by causing space at segregated times may boost the potential transfer of infectious material deposited in soils contaminated with feces or urine (surrounding badger setts and latrines), water, or feedstuffs (Campbell et al. [2019\)](#page-289-0).

10.4 Studies in Wild Carnivores in the Neotropics

The neotropics have suffered extensive and intense habitat fragmentation and deforestation, are the main causes of wild carnivore decline (e.g., Paviolo et al. [2016\)](#page-295-0), and are projected to worsen in the coming decades (Oakleaf et al. [2015\)](#page-295-0). While pathogens have also emerged as important factors affecting wild carnivores globally, research and surveillance efforts in the neotropics have increased in recent years. However, there remains a notable scarcity of studies examining how landscape changes impact the dynamics of pathogens in both wild and domestic carnivores, and this aspect remains poorly understood. This chapter aims to address this knowledge gap by reviewing and summarizing recent literature on selected pathogens that affect wild carnivores in the neotropics. This effort is crucial for a comprehensive understanding of the complex interplay between habitat change and pathogens affecting carnivores in one of the most biodiverse areas of the world.

We conducted a literature search of peer-reviewed literature published between 2000 and 2022 of selected pathogens affecting wild carnivore populations in Web of Science and Google Scholar using the following search query: "wild carnivores" OR "free-ranging carnivores" AND "adenovirus" OR "aleutian disease" OR "alphaherpervirus" OR "anaplasma" OR "babesia" OR "bartonella" OR "borrelia" OR "brucella" OR "calicivirus" OR "coronavirus" OR "coxiella" OR "cytauxzoon" OR "distemper" OR "ehrlichia" OR "Feline immunodefciency virus" OR "feline leukemia virus" OR "feline morbillivirus" OR "feline panleukopenia virus" OR "FELV" OR "FIV" OR "gammaherpes virus" OR "hemoparasite" OR "hemoplasma" OR "hepatozoon" OR "herpesvirus" OR "infuenza" OR "leishmania" OR "leptospira" OR "mink enteritis virus" OR "mycobacterium bovis" OR "mycoplasma" OR "neospora caninum" OR "parainfuenza" OR "parvovirus" OR "piroplasmids" OR "protoparvovirus" OR "puma lentivirus" OR "rabies" OR "rangelia" OR "rickettsia" OR "rotavirus" OR "sarcoptic mange" OR "sarcoptes scabiei" OR "theileria" OR "toxoplasma gondii" AND "Argentina" OR "Belize" OR "Belice" OR "Bolivia" OR "Brazil" OR "Chile" OR "Colombia" OR "Costa Rica" OR "Ecuador" OR "El Salvador" OR "French Guiana" OR "Guatemala" OR "Guyana" OR "Honduras" OR "Mexico" OR "Nicaragua" OR "Panama" OR "Paraguay" OR "Perú" OR "Suriname" OR "Uruguay" OR "Venezuela" OR "South America" OR "Central America" OR "Caribbean." We then looked at each of the found articles to flter only those conducted in the neotropics. Other pathogens, such as *Trypanosoma cruzi,* which were reported in some articles, were also included.

After reviewing the records and eliminating duplicates, they were grouped into the following sections: (1) direct transmission, (2) vector-borne diseases, and (3)

Fig. 10.1 Summary of articles included in this chapter. *Left:* Number of peer-reviewed articles per country about selected pathogens affecting wild carnivore populations in the neotropics; and *right:* number of references of protozoa, viruses, and bacteria reported by carnivore hosts across the reviewed literature in the neotropics

environmental transmission. It is worth noting that the pathogens included and discussed in these sections may not fully align with their respective categories. This categorization was performed to enhance the understanding of the studies conducted in the neotropics thus far.

After conducting our search, we observed a fairly unbalanced publication report by country and carnivore hosts carrying the main pathogens of interest (protozoa, viruses, and bacteria). Regarding the country of origin, approximately 47% ($n = 67$) of publications were developed in Brazil, followed by 37% of publications related to research from Chile $(n = 29)$, Argentina $(n = 12)$, and Mexico $(n = 11)$ (Fig. 10.1) left). In terms of host species, the reviewed publications included a total of 45 wild carnivores, such as kodkod (*Leopardus guigna*) (Fig. [10.2](#page-275-0) left) and culpeo fox (*Lycalopex culpaeus*) (Fig. [10.2](#page-275-0) right). The crab-eating fox (*Cerdocyon thous*), the most cited carnivore, was included in 41 articles, which as a whole provided 75 references of pathogens (26 protozoa, 18 viruses, and 31 bacteria) that may be carried by this neotropical canid. The second most represented species were the maned wolf (*Chrysocyon brachyurus*) and the ocelot (*Leopardus pardalis*), being mentioned by 19 and 21 articles, respectively, which provided 61 references of pathogens (22 protozoa, 22 viruses, and 17 bacteria) in maned wolves and 49 references of pathogens (12 protozoa, 27 viruses, and 10 bacteria) in ocelots. The ring-tailed coati (*Nasua nasua*) was the most studied procyonid, being included by 18 articles that focused on 35 references of pathogens (16 protozoa, 8 viruses, and 11 bacteria) (Fig. 10.1 right). We also reviewed three articles that were focused on detecting the

Fig. 10.2 Examples of wild carnivore hosts included in the bibliographic review. *Left*: Kodkod (*Leopardus guigna*) photographed in the coastal area of Pucatrihue, Los Lagos region, Chile credit to Eduardo Minte; and *right*: Culpeo fox (*Lycalopex culpaeus*) photographed in the Bosque Fray Jorge National Park. Coquimbo region, Chile—credit to Bernardita Julio

mite *Sarcoptes scabiei* in individuals of kinkajou (*Potos favus*), crab-eating fox, and wild foxes of the genus *Lycalopex*; however, these data were not included in Fig. [10.1](#page-274-0) right.

In the following sections, a representative selection of the reviewed studies is provided. The complete list of articles is included in Supplementary Material Table 10.1.

10.5 Direct Transmission

Canine distemper virus (CDV) is one of the most studied pathogens in the neotropics, with 31 studies carried out in seven countries covering 27 species at 61 different times. These studies have mostly relied on serological methods, and only seven have included molecular tools, suggesting the lack of understanding of this important virus capable of decimating populations worldwide (Roelke-Parker et al. [1996\)](#page-296-0). Pioneers studies carried out by Gonzalez-Acuña et al. ([2003\)](#page-292-0) in Chile, Deems and Emmons [\(2005](#page-291-0)), Deems et al. ([2004\)](#page-291-0), and Fiorello et al. [\(2004](#page-292-0), [2007\)](#page-292-0) in Bolivia and the reports by Nava et al. ([2008\)](#page-294-0) and Megid et al. [\(2009](#page-294-0)) in Brazil showed the importance of studying domestic dog spillover from domestic dogs to wild carnivores. This is consistent with what was found by Acosta-Jamett et al. ([2011\)](#page-287-0) in two South American foxes (*Lycalopex griseus* and *L. culpaeus*) in Chile, where higher probabilities of being seropositive to canine CDV were found in foxes sampled closer to urban areas. The same pattern was also suggested for parvovirus in the same species and same area in Chile (Acosta-Jamett et al. [2015a\)](#page-287-0), for protoparvovirus 1 infections in wild carnivores in northern Mexico (Lopez-Perez et al. [2019\)](#page-293-0), and in wild guignas in Chile (Sacristan et al. [2021b](#page-296-0)), which were more likely in areas closer to human settlements. In addition, a recent study confrmed CDV exposure and CPV exposure/infection in free-ranging minks in southern Chile (Barros

et al. [2022](#page-288-0)), supporting the previous hypothesis of Sepúlveda et al. ([2014\)](#page-296-0) regarding minks acting as potential bridge hosts between domestic dogs and river otters for these viral pathogens. The neotropics is one of the areas with the highest populations of domestic dogs in the world (Gompper [2014a](#page-292-0)), with most of them allowed to roam freely and usually not properly vaccinated, which can have disastrously negative effects on wild carnivore populations. Whether pathogen transmission from domestic to wild carnivores is infuenced by urbanization in a fragmented landscape is worth studying to predict the effect that pathogens can have on wild carnivore populations in an area that is facing important habitat deterioration (Oakleaf et al. [2015](#page-295-0); Paviolo et al. [2016](#page-295-0)).

In the neotropics, there are other examples of negative impacts associated with pathogen infections affecting wild carnivores. For instance, acute hemorrhagic diarrhea and mortality were documented in a kodkod infected with carnivore protoparvovirus in Chile (Ortega et al. [2021](#page-295-0)). In Mexico, a small group of white-nosed coatis (*Nasua narica*) from the Yucatan Peninsula (Kabah National Park in Cancun, Quintana Roo) were found dead and were confrmed to be infected from rabies, despite the low frequency of rabies in this species (Arechiga-Ceballos et al. [2010\)](#page-288-0). Molecular detection of viral agents in 109 free-ranging and 68 captive neotropical felids in Brazil revealed felid alphaherpesvirus 1 (FHV-1) in 1% free-ranging and 3% captive, protoparvovirus 1 in 3% free-ranging and 2% captive, and FIV in 1% free-ranging (Furtado et al. [2017a](#page-292-0)). Other studies have found novel pathogens, such as gammaherpesvirus, affecting the critical endangered Darwin's fox (Cabello et al. [2013b\)](#page-289-0) or a paramyxovirus in the guignas (Sieg et al. [2020\)](#page-297-0) in southern Chile, emphasizing the need for further studies in the carnivores in this region.

Studies carried out in Brazil, Bolivia, Argentina, Mexico, and others found that canine adenovirus affects different species of terrestrial carnivores, such as *C. thous* or *Lycalopex gymnocercus*, as well as aquatic carnivores, such as *Lontra longicaudis* (de Almeida Curi et al. [2010](#page-290-0); Deem and Emmons [2005](#page-291-0); Michelazzo et al. [2020](#page-294-0), [2022;](#page-294-0) Orozco et al. [2014;](#page-295-0) Uhart et al. [2012](#page-297-0)). These infections probably originate in domestic dog populations nearby (Deem and Emmons [2005\)](#page-291-0) or even in wild carnivore populations, as suggested in other regions worldwide (Balboni et al. [2019;](#page-288-0) Walker et al. [2016\)](#page-298-0).

In Bolivia, maned wolves have been found to be susceptible to and die from common infectious diseases of domestic dogs, including CDV, CPV, rabies virus, and canine adenovirus (CAV). In the remote Noel Kempff Mercado National Park in northeastern Bolivia, domestic dogs in villages bordering the park were found to have high levels of exposure to these pathogens. Free-ranging wolves in the park have been exposed to multiple infectious and parasitic agents of domestic carnivores and may be at increased disease risk due to these pathogens originating in the domestic dog population (Deem and Emmons [2005](#page-291-0)). Contact between wild carnivores and dogs has been documented in the sampled villages; therefore, dogs likely pose a substantial risk to the carnivores within and near the park and have been described as an additional threat to the survival of free-ranging maned wolves (Bronson et al. [2008\)](#page-288-0).

The disease exposure of domestic carnivores (dogs and cats) living near Madidi National Park, Bolivia, was also studied. High levels of exposure to canine distemper virus, canine parvovirus, *Sarcoptes scabiei*, and *Toxoplasma gondii* were found among domestic dogs, with similarly high levels of exposure to feline parvovirus, feline calicivirus, and *T. gondii* being found among domestic cats. If contact occurs between domestic and wild carnivores, disease spillover may represent an important risk for the persistence of wild carnivores in the region. Additional research is therefore necessary to determine whether wild carnivores living in proximity to these domestic carnivore populations are exposed to these pathogens (Fiorello et al. [2004\)](#page-292-0).

FeLV and FIV were studied in wild felids in the neotropics (11 studies), with observed prevalences ranging from 0% to 20% and 0% to 50%, respectively, in nine different species from fve countries (Supplementary Material Table 10.1). Sacristán et al. ([2021a](#page-296-0)) found a low frequency of clinical signs of disease in kodkods infected with FeLV and FIV. However, proviral DNA detected by the study's analysis is integrated into the host genome and could potentially reactivate and/or recombine with other viral subtypes, leading to emerging diseases and posing future threats for kodkods, including potential population extinctions and impacting the species' long-term viability. Two additional studies in Chile found that the infection of feline leukemia virus (FeLV) in kodkod was signifcantly associated with fragmented landscapes with resident domestic cats (Mora et al. [2015;](#page-294-0) Sacristan et al. [2021a\)](#page-296-0), while parvovirus was more likely to be present in fragmented landscapes with domestic carnivore presence compared with continuous forest (Sacristan et al. [2021b\)](#page-296-0).

Toxoplasma gondii is the protozoa that causes toxoplasmosis, a widely distributed disease whose transmission could occur by the consumption of food and water contaminated with oocysts of this protozoan. Different studies have detected the presence of this parasite on wild carnivores thriving on heterogeneous landscapes, ranging from pristine to highly disturbed land uses. By using multiple serological/ molecular assays, studies in protected areas and natural reserves of southeastern Brazil have described the exposure to *T. gondii* in different wild canid species, such as crab-eating foxes (70%; 7/10) and maned wolves (86%; 6/7), but not in hoary foxes (*Lycalopex vetulus*) (de Almeida Curi et al. [2010](#page-290-0)). Afterwards, the same authors estimated a similar seroprevalence of *T. gondii* in maned wolves (75%; 6/8) (de Almeida Curi et al. [2012\)](#page-290-0). Nascimento et al. ([2015\)](#page-294-0) detected an infection prevalence of 14% (7/49) derived from roadkill samples of hoary foxes collected from highways. Regarding felid hosts, jaguars (*Panthera onca*) of the Pantanal have exhibited up to 91% (10/11) seropositivity for *T. gondii*, suggesting a widespread sylvatic cycle of toxoplasmosis in large felids of Brazil (Onuma et al. [2014\)](#page-295-0). Overall, wild carnivores might be potential sentinels of toxoplasmosis, especially in the presence of infected domestic and wild felids, maintaining environmental contamination across different Brazilian regions.

In other neotropical countries, such as Mexico, Chile, and Argentina, studies have revealed high-medium levels of exposure to *T. gondii* among wild carnivores. For instance, in cattle ranches and a biosphere reserve of northeastern Mexico, the prevalence of anti-*Toxoplasma* antibodies in free-ranging ocelots was 69% (18/26), where adult males were more exposed than subadult males due to longer exposure times (Rendon-Franco et al. [2012\)](#page-296-0). On the other hand, Sepúlveda et al. [\(2011](#page-296-0)) reported relatively high exposure/infection (70%; 21/30) by the protozoan parasite *T. gondii* on invasive North American minks (*Neogale vision*), where adult individuals nearby cities were more likely to be exposed/infected than minks from rural sites in southern Chile. Similarly, Barros et al. ([2018\)](#page-288-0) detected high seroprevalences in minks (59%; 43/73) inhabiting an urban-rural gradient, including other native carnivores such as southern river otters (*Lontra provocax*) (77%; 10/13) and two seropositive kodkods, which were found to be related to medium/high presence of domestic cats and higher levels of vegetation loss. In contrast, Martino et al. [\(2017](#page-294-0)) found a *T. gondii* exposure prevalence of only 26% (23/87) in minks sampled in both Buenos Aires and Patagonian grasslands. As a whole, research fndings have suggested that diverse carnivore groups may be naturally infected across contaminated environments; in particular, mustelids' semiaquatic behavior and closeness to human and domestic cat populations may have a relevant role in maintaining *T. gondii* in southern cone ecosystems.

Sarcoptic mange (caused by *S. scabiei*), commonly transmitted by infected domesticated animals, infects 104 species and can cause high-mortality epidemics in wildlife (Pence and Ueckermann [2002\)](#page-296-0). Hosts that are threatened by other factors or are already limited to small population sizes can be pushed to extinction by mange outbreaks (i.e., red fox population on Borrnholm Island in Denmark) (Pence and Ueckermann [2002](#page-296-0); Smith et al. [2009](#page-297-0)). *S. scabiei* was assessed in only three wild carnivore studies in the neotropics, in three different species from three countries, with observed prevalences ranging from 21% to 100% (Supplementary Material Table 10.1). Given the low number of wild carnivore studies for many pathogens in the region, more research is urgently needed, including both continuous surveillance and specifc studies to gain a more in-depth understanding of pathogen dynamics in human-dominated landscapes. This is especially relevant given the taxonomic closeness and pathogen sharing among wild and domestic carnivores and the unsolved regional problem of high population numbers of unvaccinated domestic dogs and cats, where in many countries, a better management and public policy strategy is still pending, therefore constituting a conservation and public health problem in the neotropics.

10.6 Vector-Borne Diseases

Vector-borne diseases (VBDs) are illnesses caused by several pathogens (e.g., protozoa, viruses, and bacteria) that are transmitted by hematophagous arthropod species, including feas, mites, mosquitoes, ticks, triatomine bugs, and sandfies (Müller et al. [2019\)](#page-294-0). Many of these diseases have increased in prevalence and become more widespread worldwide, increasing concern for human and animal health. The emergence of VBDs has been mainly driven by anthropogenic land use changes and climate change (Guegan et al. [2020;](#page-292-0) Swei et al. [2020](#page-297-0)). Numerous studies have found

that wild carnivores may have different roles in the ecoepidemiology of vectorborne pathogens. Several wild carnivore species have been suggested to be host reservoirs of the *Bartonella* species transmitted by feas, fea-borne bacteria of human health concern, while raccoons (*Procyon lotor*) are reservoir-competent for *Anaplasma phagocytophilum*, a tick-borne bacterium that causes human granulocytic anaplasmosis (Stuen et al. [2013\)](#page-297-0). In addition, several VDBs may also cause mortalities in wild carnivores. *Yersinia pestis* and *Cytauxzoon felis*, fea- and tickvectored pathogens, may cause fatal infections in free-ranging wild felids (Elbroch et al. [2020;](#page-291-0) Nietfeld and Pollock [2002\)](#page-295-0). Thus, wild carnivores could play roles as alternate or carrier hosts for ectoparasites (Dobler and Pfeffer [2011](#page-291-0)). For instance, swift and kit foxes (*Vulpes macrotis* and *V. velox*) have been proposed as carriers of plague-infective feas (Salkeld and Stapp [2006\)](#page-296-0).

Ecological processes between wild carnivores and vector-borne pathogens are poorly understood in the neotropics, with only a few studies focused on surveying the domestic and wildlife interface. Although most of these pathogens are well known to be of human health concern, little is known about their impact on wild carnivore populations. There is also little evidence from isolated reports, mainly in captivity, of wild carnivore individuals exhibiting clinical signs associated with fatal cases or illness caused by vector-borne infections. In the neotropics, a total of 50 studies have focused on VBD in 21 native and one invasive wild carnivore belonging to four families (Canidae, Felidae, Mustelidae, and Procyonidae). Overall, they were conducted using serological and molecular approaches to test for 23 species of vector-borne pathogens of 13 genera in ten countries from 2006 to 2022. Out of these studies, 68% were conducted in Brazil, followed by Argentina (10%), Chile (8%), Uruguay (6%), Bolivia (2%), and Mexico (2%), Panama (2%), Colombia (2%), and Venezuela (2%). The studied pathogens were mainly transmitted by ticks, followed by fies, kissing bugs, mosquitos, and feas. The most studied genus of vector-borne pathogens was *Hepatozoon* sp. (*n* = 19), followed by *Leishmania* sp. (*n* = 13), *Babesia* sp. (*n* = 13), *Ehrlichia* sp. (*n* = 9), *Anaplasma* sp. (*n* = 8), *Rangelia* sp. (*n* = 7), *Rickettsia* sp. (*n* = 7), *Bartonella* sp. (*n* = 5), *Cytauxzoon* sp. (*n* = 5), *Borrelia* sp. $(n = 2)$, and *Coxiella* $(n = 2)$.

Hepatozoonosis constitutes a vector-borne parasitic infection caused by the apicomplexan protozoan of the genus *Hepatozoon*, and it is widely distributed across a range of wild and domestic vertebrate hosts (including mammals). The principal transmission route is by the consumption of a hematophagous arthropod defnitive host containing oocysts or by preying on infected vertebrates containing *Hepatozoon* cysts in their tissues. In South America, the pathogen has been studied across diverse wild carnivores, especially in canids and felids from Brazil. For instance, Criado-Fornelio et al. ([2006\)](#page-290-0) reported a relatively high infection prevalence by *Hepatozoon* spp. in free-ranging canids such as crab-eating foxes (83%; 5/6) and pampas foxes (*Lycalopex gymnocercus*) (71%; 5/7) hunted in the state of Rio Grande do Sul. All foxes harbored the species *Hepatozoon canis*—a relatively widespread protozoan of domestic cats, dogs, and foxes—excluding one crab-eating fox that harbored an *H. americanum*-related organism (i.e., *H. americanum* is highly pathogenic for domestic dogs in the United States). Almeida et al. ([2013\)](#page-287-0) found a moderate

infection prevalence in road-killed crab-eating foxes (50%; 29/58) collected from a highway crossing and Atlantic rainforest reserve, with isolates mostly related to *Hepatozoon* spp./*H. americanum*, except by one fox infected by an organism closely related to reptile-associated *Hepatozoon* agents. A similar *Hepatozoon* infection prevalence was reported for maned wolves (57%; 21/37) in a protected Cerrado area surrounded by small farms, revealing haplotypes genetically similar to *H. canis* and *H. americanum* (Arrais et al. [2021\)](#page-288-0). Afterwards, relatively higher *Hepatozoon* infection positivity rates were reported for wild canids by de Sousa et al. [\(2017a\)](#page-290-0), who detected the presence of the parasite in crab-eating foxes (91%; 71/78) caught in the central region of the Pantanal (isolated sequence phylogenetically related to *H. americanum*).

On the other hand, Metzger et al. ([2008\)](#page-294-0) provided the frst molecular detection/ characterization of *Hepatozoon* spp. in neotropical felids captured in Brazilian northeastern states, resulting in infection prevalences that ranged from 0% in jaguarundi (*Herpailurus yagouaroundi*) and margay (*Leopardus wiedii*) to 50% in ocelot (see Supplementary Material Table 10.1 for details). The majority of infected wild cats exhibited *H. canis* isolates, while Furtado et al. [\(2017b](#page-292-0)) demonstrated that 97% (29/30) of jaguars sampled within protected areas and adjacent rural properties in three different Brazilian biomes (i.e., Pantanal, Amazon, and Cerrado) were infected by *Hepatozoon* related to other *Helicobacter felis*. They found that jaguars (including sampled dogs and cats) from the Pantanal and Amazon were signifcantly more exposed to *Hepatozoon* spp. than animals from the Cerrado, and jaguars were more exposed than the other domestic species. These fndings are likely explained by the higher opportunities for protozoan transmission through predation on infected prey by jaguars occupying contiguous habitats in the Pantanal/Amazon area, suggesting that jaguars may play an important role in the maintenance of *Hepatozoon* spp. in nature. Among other carnivore families in Brazil, coatis have been reported as *Hepatozoon* hosts in forest fragments inserted into urban areas of São Paulo, exhibiting an infection prevalence of 25% (21/83) caused by *H. procyonis*; thus, the authors suggested that coatis freely moving between urban and wild environments would facilitate exposure to protozoan vectors and consequently increase the likelihood of infection (da Silva et al. [2018](#page-290-0)).

Among studies conducted in other South American countries, Millán et al. [\(2019](#page-294-0)) reported chilla foxes with a *Hepatozoon* infection prevalence of 50% (24/48) in two remote protected areas of Santa Cruz Province in Argentina, principally associated with *H. felis* and *H. americanum* (and other related sequences). Interestingly, the authors found a higher *Hepatozoon* prevalence in chillas inhabiting the northern protected area Bosques Petrifcados National Park compared to the colder Monte León National Park (located 300 km southward), likely associated with closeness to the limit for the suitability of potential tick vectors by the second location and demonstrating natural circulation of the protozoan among foxes thriving in remote areas without contact with dogs. In Uruguayan wild canids, Carvalho et al. [\(2021](#page-289-0)) found lower *Hepatozoon* detection rates of 13% (6/45) in crab-eating foxes and 6% (2/32) in pampas foxes, which corresponded to road-killed specimens retrieved from highways. Animals were infected with an *H. americanum*-like protozoan (closely related to *H. americanum*), whose presence may represent a probable threat to sympatric domestic canids.

Leishmania spp. encompass a group of bacteria transmitted by phlebotomid fies that have been one of the most studied in carnivores in the neotropics and almost exclusively in Brazil, the country reporting the highest number of human visceral leishmaniasis cases in the region (Azami-Conesa et al. [2021](#page-288-0); de Sousa-Paula et al. [2020\)](#page-291-0). Most studies in the neotropics have reported results from small sample sizes, with prevalence ranging from 0% to 48% by molecular approaches and 0% to 100% by serological tests. *Leishmania* studies have been mainly focused on wild carnivores because they are considered reservoirs of these pathogens. However, one clinical case of leishmaniasis has been described in captive wild carnivores (Roque and Jansen [2014;](#page-296-0) Souza et al. [2014\)](#page-297-0). Among 15 captive canids from a zoo in Belo Horizonte, Minas Gerais, Brazil, two animals, a bush dog (*Spheotos venaticos*) and a hoary fox (*Lycalopex vetulus*), were serologically positive and developed clinical signs of canine visceral leishmaniasis, whereas three other canids, including a crabeating fox, a maned wolf, and a hoary fox, had positive serological results without clinical signs (Luppi et al. [2008\)](#page-294-0). Crab-eating fox and maned wolf are recognized as reservoirs of *Leishmania* spp. for humans (Azami-Conesa et al. [2021\)](#page-288-0). Among freeranging carnivores, several studies have been located in forested, rural, and human settings across Brazil. For instance, de Almeida Curi et al. ([2006\)](#page-290-0) reported variable exposure rates across carnivores, such as 4% (19/21) in hoary foxes, 17% (2/12) in crab-eating foxes, and 29% (2/7) in maned wolves near a protected area, while Richini-Pereira et al. ([2014\)](#page-296-0) reported a higher infection prevalence of 42% (5/12) in road-killed crab-eating foxes collected in highways of the central western of the state of São Paulo. In particular, the crab-eating fox is believed to be involved in an enzootic transmission cycle independent of dogs in peri-urban environments (e.g., Araujo Soares et al. [2017](#page-288-0)); however, based on the evidence of either exposure or infection to *Leishmania* spp. (50%; 31/62) of dog populations inhabiting villages or rural settlements in proximity to natural areas, it is not possible to rule out this domestic canid group as incidental hosts in the sylvatic cycle if the protozoan (e.g., through direct contact or feeding behavior on infected wild mammals) (Porfrio et al. [2018\)](#page-296-0) and thus potentially affects coexisting wild canid populations at wildlifedomestic interfaces (Brandao et al. [2020](#page-288-0)). In other neotropical countries, the presence of *Leishmania* DNA has been tested in other canids, such as chilla foxes (38%; 12/32), in remote, nonendemic protected areas of the Argentinian Patagonia, where domestic dogs are scarce and sand fies are not known to be present, thus extending the geographic distribution of this infection in South America (Millan et al. [2016\)](#page-294-0).

Bartonella species are vector-borne and gram-negative bacteria that infect the erythrocytes and endothelial cells of mammalian hosts (Boulouis et al. [2005;](#page-288-0) Chomel et al. [2009](#page-289-0)). In the neotropics, *Bartonella* has been poorly studied, with only four descriptive and one cross-sectional study conducted in three countries. In Brazil, two studies surveyed fve species of felids, including *Leopardus geoffroyi*, *L. wiedii*, *L. pardalis*, *L. tigrinus*, and *Puma concolor*, and they found that seroprevalence ranged from 18% to 89%, while polymerase chain reaction (PCR) prevalence was low, ranging from 0% to 5% (Filoni et al. [2006;](#page-291-0) Souza et al. [2021\)](#page-297-0).

Studies on wild canids are also scarce, with only two studies that did not detect any *Bartonella-PCR*-positive samples in *Lycalopex fulvipes*, *L. culpaeus*, and *L. griseus* in Argentina and Chile (Cabello et al. [2013a](#page-289-0); Millan et al. [2019](#page-294-0)). Finally, a molecular survey in 246 invasive American minks from southern Chile found 9% infection with *Bartonella* spp. (Sepulveda-Garcia et al. [2021](#page-297-0)). *Bartonella* bacteria are mainly associated with asymptomatic persistence in host reservoirs, with only two documented cases of pathological manifestations in wild carnivores. The frst one was three Florida pumas that were reintroduced into the wild after being in captivity exhibiting well-documented pathological signs of bartonellosis (Elsmo et al. [2018\)](#page-291-0). The second was the fnding of *Bartonella koehlerae*/*henselae*-like in a wild-captured and captive margay (*Leopardus wiedii*) in Brazil (Filoni et al. [2012](#page-292-0)).

Anaplasmataceae is a family of obligate intracellular bacteria that encompass the genera *Ehrlichia*, *Anaplasma*, *Neorickettsia*, and *Wolbachia* (Dixon et al. [2021\)](#page-291-0). In the neotropics, Anaplasmataceae group studies have been mainly conducted using molecular approaches in wild canids, with only one serological study in three wild felids (Supplementary Material Table 10.1). In the latter serosurvey study, Filoni et al. ([2006\)](#page-291-0) did not fnd antibodies against *Anaplasma phagocytophilum* in *L. tigrinus*, *L. pardalis*, and *P. concolor* in Brazil. The molecular prevalence in three of seven studies ranged from 1% to 23%. One study conducted in a Pantanal region of Brazil found molecular evidence of *Anaplasma and Ehrlichia* in *Nasua nasua*, *L. pardalis*, and *C. thous*, as well as in dogs (de Sousa et al. [2017b\)](#page-290-0). Almeida et al. [\(2013](#page-287-0)) found that 3% of 58 *C. thous* surveyed were PCR-positive for *Anaplasma* sp., while Di Cataldo et al. ([2021a](#page-291-0)) found *Anaplasma platys* in three canids (*L. culpaeus*, *L. griseus*, and dog*s*) in Chile.

Of the two *Ehrlichia* species (*E. canis* and *E. chaffeensis*) that have been reported in wild carnivores worldwide, *E. canis* is the only one that has been reported in the neotropics. Antibodies have been found in three studies conducted in Brazil in wild carnivores, including *Chrysocyon brachyurus* (36%), *C. thous* (18%), *N. nasua* (3%), and *P. concolor* (11%) (Arrais et al. [2021;](#page-288-0) de Sousa et al. [2017b](#page-290-0); Filoni et al. [2006\)](#page-291-0). On the other hand, wild carnivores in the neotropics have a relatively low prevalence below 10%. In Brazil, de Sousa et al. ([2017b\)](#page-290-0) reported a prevalence of infection of 3% in both *N. nasua* and *C. thous*, while in Brazil, Almeida et al. [\(2013](#page-287-0)) and Collere et al. ([2021\)](#page-289-0) found a higher prevalence in *C. thous* (10%) and *N. nasua* (6%), respectively.

Rickettsioses are caused by obligate intracellular bacteria of the genus *Rickettsia*, which currently comprises approximately 30 validly described species, including 20 documented pathogens (Parola et al. [2013;](#page-295-0) Shpynov et al. [2018\)](#page-297-0). Out of fve studies aiming to fnd *Rickettsia* DNA in neotropical wild carnivores, only one study conducted in Argentina found *Rickettsia* DNA in one of 48 *L. griseus* (2%) (Millan et al. [2019\)](#page-294-0). This is consistent with the fact that fnding active infection in canids is unusual because the duration of bacteremia is limited since *Rickettsia* spp. have a tropism for endothelial cells (Levin et al. [2014](#page-293-0); Lundgren et al. [1963](#page-294-0)). On the other hand, three studies carried out in Brazil and Panama have reported antibodies of *Rickettsia* sp. in four wild canid species (*C. brachyurus*, *Canis latrans*, *C. thous*, and *L. gymnocercus*), with a prevalence ranging from 25% to 95% (Arrais et al. [2021;](#page-288-0) Bermudez et al. [2017](#page-288-0); Dall'Agnol et al. [2018\)](#page-290-0).

Piroplasmids (*Babesia* spp., *Rangelia* spp., *Cytauxzoon* spp., and *Theileria* spp.) are intracellular protozoans transmitted by ticks and are one of the most prevalent tick-borne diseases affecting several wild and domestic vertebrates worldwide (Schnittger et al. [2022](#page-296-0)). The diseases have been widely characterized among domestic dogs and their ectoparasites, but recent studies have impacted the understanding of the ecology of piroplasmosis toward the inclusion of novel wild hosts. In the neotropics, the presence of antibodies or infection of *Babesia* sp. in wild carnivores is often rare, with only four studies of 13 showing a prevalence ranging from 1% to 54%. A study by de Sousa et al. [\(2018](#page-290-0)) reported that 54% (42/78) of crab-eating foxes of the central region of the Brazilian Pantanal were seroreactive to the piroplasmid *Babesia vogeli*; in parallel, the same authors reported a high *B. vogeli* seroprevalence in dogs (93%; 39/42) cohabiting the same studied area, which suggested the role of dogs as a potential source of infection to wild carnivores. Other studies based on procyonid sampling confrmed that up to 38% (5/13) of road-killed crabeating raccoons (*Procyon cancrivorus*) were *Babesia* spp. PCR-positive in different Uruguayan localities (Thompson et al. [2018\)](#page-297-0), while coatis collected from an urban park in southeastern Brazil exhibited a nearly 2% (3/151) infection prevalence of this protozoan (Estevam et al. [2020\)](#page-291-0), thus expanding the potential carnivore hosts for this pathogen.

The piroplasmid *Rangelia vitalii* (etiologic agent of rangeliosis) is a tick-borne protozoan parasite that affects domestic dog populations in Brazil, and it is phylogenetically related to the genus *Babesia*. In the neotropics, *Rangelia* spp. studies were focused only on wild canids, and the bacteria were present in six of seven studies that tested for them, with prevalence ranging from 0% to 30% (Supplementary Material Table 10.1). Based on PCR and sequencing, Soares et al. [\(2014](#page-297-0)) reported an *R. vitalii* infection prevalence of 30% (6/20) in crab-eating foxes (either rescued or road-killed) that were collected from different areas of the state of Rio Grande do Sul and São Paulo. Similarly, de Souza et al. ([2019\)](#page-291-0) reported that 25% (7/27) of crab-eating foxes were infected in protected and rural areas of Rio Grande do Sul, while De Lorenzo et al. [\(2021a\)](#page-290-0) confirmed that 26% (8/31) of crab-eating foxes were found to be positive for *R. vitalii* in the metropolitan area of the same Brazilian state (including road-killed crab-eating foxes (13%; 5/38) from northern Uruguay (de Lorenzo et al. [2021b](#page-290-0))); thus, these fndings support this neotropical wild canid as the possible natural reservoir host of this infectious agent.

All fve studies that tested for *Theileria* spp. were conducted using molecular approaches, and only one found a prevalence of 10% in *N. nasua* in Brazil (de Sousa et al. [2018\)](#page-290-0). Regarding *Cytauxzoon felis*, it has been tested in six wild felid and one wild canid species but has been found in only two species (*Panthera onca* and *Leopardus pardalis*) in Brazil, with prevalence ranging from 57% to 97% (de Sousa et al. [2018](#page-290-0); Furtado et al. [2017c\)](#page-292-0). Both studies aimed to study the wild-domestic interface by testing cats and dogs, but none of these species were positive for *Cytauxzoon* sp.

As with the other pathogens found in the neotropics, information about their clinical importance in wild carnivores is scarce; however, piroplasmid infections are often asymptomatic. Few studies have reported illness or fatalities caused by piroplasmid pathogens mainly in captive wild carnivores, such as two captive gray wolves, one brown bear, and one African wild dog that were claimed to die due to *Babesia canis* and *Babesia* sp., while only one free-ranging red fox with hemolytic anemia was claimed to be associated with *Babesia microti* in Canada (Alvarado-Rybak et al. [2016\)](#page-288-0). *Cytauxzoon* causes fatal infections in free-ranging bobcats (*Lynx rufus*) (Nietfeld and Pollock [2002](#page-295-0)) and captive wild felids such as tigers (*Panthera tigris*) and lions (*Panthera leo*) (Garner et al. [1996](#page-292-0); Peixoto et al. [2007\)](#page-296-0).

Mycoplasma spp. was assessed in wild carnivore studies in the neotropics (14 studies), with varying observed prevalences ranging from 0% to 100% in 13 different wild carnivore species from four countries (Supplementary Material Table 10.1). A molecular survey in 246 invasive American minks from southern Chile found 2% infection with hemotropic *Mycoplasma* (hemoplasmas) (Sepulveda-Garcia et al. [2021\)](#page-297-0), and in wild mammals from Brazil, de Sousa et al. ([2017c](#page-290-0)) detected *Mycoplasma* spp. in 77% (24/31) of *Nasua nasua*, 4% (3/78) of *Cerdocyon thous*, 14% (1/7) of *Leopardus pardalis*, and 5% (2/42) of dogs. Di Cataldo et al. [\(2021b](#page-291-0)) molecularly detected *Mycoplasma haemocanis/haemofelis* in 24%, 20%, and 27% of 626 rural dogs, 140 Andean foxes (*Lycalopex culpaeus*), and 83 South American gray foxes (*L. griseus*), respectively, and 13%, 7%, and 8% *Candidatus* Mycoplasma hematoparvum in rural dogs, Andean foxes, and South American gray foxes, respectively. *Mycoplasma* spp. were molecularly assessed and found in 57% (47/82) of Darwin's foxes (*L. fulvipes*) and 24% (60/250) of free-ranging dogs (Di Cataldo et al. [2020](#page-291-0)). Higher infection of *Mycoplasma* spp. (57%) was also found on a Chilean island in *Lycalopex fulvipes* (Cabello et al. [2013a](#page-289-0)).

10.7 Environmental Transmission

Several long-lasting pathogenic viruses, bacteria, prions, and macroparasites can be indirectly transmitted, where pathogens are shed by infectious hosts to the environment (e.g., through respiratory secretions, urine, and/or feces), thus facilitating multihost disease spillover/spillback events among sympatric species (Lange et al. [2016\)](#page-293-0). Examples include highly contagious diseases affecting wildlife, domestic species, and/or humans, such as leptospirosis, canine parvoviruses, bovine tuberculosis, brucellosis, and salmonellosis (e.g., Acosta-Jamett et al. [2015a;](#page-287-0) Barros et al. [2014;](#page-288-0) Dwyer et al. [2020](#page-291-0); Miller et al. [2015\)](#page-294-0). Among neotropical carnivores, the high level of sympatry between both domestic dogs and wild canids promotes indirect contact opportunities with a higher risk of interspecifc pathogen spread. For example, free-ranging dogs may represent a potential CPV/CDV source for sympatric crab-eating foxes in Brazil (Courtenay et al. [2001\)](#page-289-0). In northern Chile, higher indirect contact rates between dogs and wild foxes were recorded in proximity to urbanized centers, which may have relevant implications for canid-borne pathogen

sharing (both macro- and microparasites) among highly abundant urban/peri-urban dog populations and susceptible wild canids coexisting at the wildlife-domestic interface (Hernandez et al. [2021](#page-293-0)). Expanding to other carnivore families, the interplay among the presence of dogs, invasive North American minks (as bridge hosts), and southern river otters would increase the risk of exposure to pathogens such as CDV and *Leptospira* spp. in proximity to rural villages and protected areas in southern Chile (Barros et al. [2014;](#page-288-0) Sepulveda et al. [2014\)](#page-296-0).

In the neotropics, a signifcant amount of research has been focused on leptospirosis and the role of carnivores as potential sources of infection. Caused by *Leptospira* bacteria, this disease constitutes the most common zoonotic infection worldwide and can be environmentally transmitted through direct contact with urine or contaminated water. Several investigations have confrmed the exposure to multiple *Leptospira* spp. serovars in neotropical wild carnivores—mostly by using the microscopic agglutination test (MAT)—associated with a diversity of land uses, such as protected areas, cattle farms, croplands, rural, and peri-urban/urban districts. For instance, signifcant research has been conducted in Brazil within different biomes, such as the Pantanal and Cerrado, including more developed, human-altered areas. Jorge et al. ([2011\)](#page-293-0) reported variable proportions of *Leptospira* spp.-seroreactive specimens of crab-eating fox (40%; 17/43), maned wolf (38%; 3/8), crab-eating raccoon (50%; 6/12), puma (29%; 2/7), and ocelot (75%; 3/4), including one bush dog in the northern Pantanal. In federal conservation units in the Pantanal, Onuma et al. [\(2015](#page-295-0)) found that only 18% (2/11) of jaguars were seroreactive for the *Leptospira* spp. antigen, with a Brazilian isolate of serovar *canicola* being the most infective serotype found. On the other hand, Furtado et al. [\(2015](#page-292-0)) reported that jaguars were seropositive to different serovars of *Leptospira* spp. (overall seropositivity of 42%; 13/31), with the higher prevalences exhibited by serovars *grippotyphosa* and *Pomona* in the Brazilian Cerrado. Among free-ranging wild canids inhabiting this biome, Rodrigues et al. ([2015\)](#page-296-0) estimated diverse *Leptospira* spp. seroprevalence (mostly represented by serovars *copenhageni* and *hardjo*), which varied among 14% (1/7) in hoary fox, 36% (5/14) in maned wolf, and 42% (8/19) in crab-eating fox. In a more populated area within the state of São Paulo, exposure/infection positivity to *Leptospira* spp. was highly variable across a guild of free-ranging carnivores, ranging from 0% in puma to 75% in crab-eating fox (see Supplementary Material Table 10.1 for details), which exhibit a dominance of serovars *djasiman* and *australis*; however, neither demographic (i.e., age, sex) nor environmental (i.e., type of environment, season) factors signifcantly predicted *Leptospira* positivity in coatis (36%; 20/56), which were the most numerous carnivore species sampled (Fornazari et al. [2018](#page-292-0)).

In other South American countries, research by Fiorello et al. ([2007\)](#page-292-0) was focused on determining whether small carnivores were exposed to common domestic carnivore pathogens such as *Leptospira interrogans* in the Bolivian Chaco, fnding that only one pampas fox (11%; 1/9) exhibited antibodies to the serovar *grippotyphosa*, while individuals of other species such as ocelot, Geoffroy's cat, jaguarundi, and crab-eating fox were all seronegative. In protected areas in central Argentina, Uhart et al. ([2012\)](#page-297-0) reported Geoffroy's cats exhibiting an *L. interrogans* seroprevalence of 40% (15/38), including both serovars icterohaemorrhagica/icterhaemorrhagica and *ballum*. One experience in Central America within a highly populated area of Costa Rica reported that 33% (31/95) of sampled raccoons presented evidence of antibodies against *Leptospira* spp., without dependency on host sex, age, or weight, but harboring up to 19 serovars implicated in morbidity of both humans and domestic animals (Baldi et al. [2019\)](#page-288-0).

By using molecular methods such as PCR, other studies have detected the presence of pathogenic *Leptospira* species in diverse tissue samples of invasive carnivores thriving across diverse habitats in the neotropics. For instance, Barros et al. [\(2014](#page-288-0)) reported an infection prevalence of 54% (31/57), involving both *L. interrogans* and *L. borgpetersenii*, in the blood and kidneys of minks in southern Chile, which would be associated with predation on rodents and contact with garbage and contaminated water from cattle in locations where livestock is common. Overall, *Leptospira* spp. infection prevalence was slightly lower (34%; 22/64) in minks sampled at different continental (i.e., Maullín) and insular (i.e., Ancud and Navarino Island) Chilean localities, corresponding to several pathogenic serovars of *L. interrogans*, *L. kirschneri*, *L. noguchii*, and *L. borgpetersenii* (Ramírez-Pizarro et al. [2019\)](#page-296-0). A recent study by Alfaro et al. ([2021\)](#page-287-0) relied on *Leptospira* spp. genotyping from kidney DNA of minks captured in the Los Ríos region, Chile; although none of the 45 sampled minks exhibited antibodies against the bacterium, an infection prevalence of 9% (4/45) was detected, which was related to *L. interrogans*, serovars *hardjo-prajitno* (associated with cattle-farming areas), and *copenhageni* and *icterohaemorrhagiae* (related to small rodents), but infection status was not related to the density of dairy farming or mink sex. In other neotropical regions, a study on the Caribbean Island of Saint Kitts showed evidence of exposure (8%; 12/148) and infection (6%; 9/146) by *Leptospira* spp. in the small Indian mongoose (*Herpestes auropunctatus*), revealing significantly higher bacterial exposure in a densely human-inhabited peridomestic area compared to an arid and less populated site. Here, *Leptospira* isolates were closely related to serovar *copenhageni*, which were potentially acquired from contaminated environments characterized by forested, wet zones in close proximity to rodents and peridomestic waste (Shiokawa et al. [2019\)](#page-297-0). Despite the recognized health risks posed by invasive minks and mongooses across their nonnative neotropical ranges, further studies are needed to elucidate the epidemiological role of these (or other) alien carnivores in maintaining environmentally transmissible multihost pathogens infecting humans, domestic animals, and wildlife, including their impacts on sympatric native carnivores.

10.8 Future Remarks

Continued management is needed to prevent future epidemics of deadly pathogens (Gilbertson et al. [2022\)](#page-292-0). For example, FeLV inoculation with a domestic cat vaccine has been used previously in panthers but with unknown efficacy (Cunningham et al. [2008\)](#page-290-0). Furthermore, the proportion of the population that must be vaccinated to prevent future FeLV outbreaks most efficiently is unknown, as is how proactive vaccination might interact with other reactive interventions to interrupt an FeLV outbreak. Such uncertainties are common among free-ranging wildlife threatened by infectious disease and hamper efforts to effectively control pathogen transmission (Gilbertson et al. [2022\)](#page-292-0).

Although there is a growing recognition of the impact of pathogens on wildlife, there is a relative lack of infectious disease focus and diagnostic capability (Smith et al. [2006\)](#page-297-0). Collaboration among ecologists, conservation biologists, and veterinary pathologists is relatively recent, and increased pathological and epidemiological involvement in studies of the causes of wildlife declines is critically needed to identify and understand disease threats to wildlife and how to mitigate them (Cunningham et al. [2017](#page-290-0)). For the sake of public health and well-being, we need to work better to conserve nature and preserve the ecosystem services, including disease regulation, that biodiversity provides, while also understanding and mitigating activities that lead to disease emergence. Holistic, One Health approaches to the management and mitigation of the risks of emerging infectious diseases have the greatest chance of success (Cunningham et al. [2017](#page-290-0)).

Proving that a disease is a cause of population declines in wildlife requires longitudinal population and pathogen data, which are often very diffcult to collect (Cunningham et al. [2017](#page-290-0)). However, long-term datasets have since been published that provide convincing evidence that pathogens alone can cause mass mortalities leading to population declines, local (population) extinctions, and global (species) extinctions (Daszak and Cunningham [1999](#page-290-0)).

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Chapter 11 Disease Ecology in Wild South American Camelids: Conservation Implications of a Long Cohabitation History with Exotic Ungulates

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11.1 Introduction

South American camelids (SAC) comprise two wild species (WSAC), the guanaco (*Lama guanicoe* Müller 1776) and the vicuña (*Vicugna vicugna* Molina 1782), adapted to arid landscapes of the neotropical region (Fig. [11.1](#page-300-0)), and two domestic species (DSAC), llama (*Lama glama* Linnaeus 1758) and alpaca (*Lama pacos* Linneaus 1758), originating from the domestication from the guanaco and the vicuña, respectively, achieved by pre-Inca civilizations (Kadwell et al. [2001\)](#page-328-0).

Guanacos have a wide distribution that extends from southern Chile and Argentina (where the greatest abundance of the species is found) to Perú in the north; in Bolivia and Paraguay there are small remnant populations (González and Acebes [2016](#page-327-0); Baldi et al. [2016\)](#page-324-0). Vicuñas are restricted to high-altitude environments distributed along the Andes mountain range, between 3000 and 5000 m above sea level in Peru, Bolivia, Chile, and Argentina (Acebes et al. [2018](#page-324-0)). Vicuñas are sedentary and have an activity pattern conditioned by their need to drink water daily

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Fig. 11.1 Left: A female vicuña and 2 offspring at the Municipal Protected Area Pampa Tholar de las Vicuñas, in Villazon, Potosí, Bolivia (L. Fabián Beltrán ©Wildlife Conservation Society). Right: Group of guanacos feeding at La Payunia Provincial Reserve, Mendoza, Argentina (Pablo Moreno)

(Franklin [1982](#page-327-0); Acebes et al. [2018](#page-324-0)). Guanacos are periodic drinkers and can survive without drinking water every day. Depending on the environmental conditions, guanaco populations can be sedentary or undergo seasonal migrations (Franklin [1982\)](#page-327-0). Guanacos and vicuñas are gregarious species. The social organization of both species includes polygynous family groups (a male and females with young), groups of males, and solitary individuals; in the case of guanacos, there are also mixed groups (comprising individuals from the groups described above) (Franklin [1983\)](#page-327-0). Male guanacos from family groups and territorial solitaries demarcate their territory by defecating in dung piles. For vicuñas, dung piles are communal and are used by

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individuals of both sexes from family groups (Franklin [1983](#page-327-0)). Both species groom themselves by performing dust baths in sites shared by several individuals (Franklin [1983\)](#page-327-0).

The geographical distribution of WSAC has decreased since the colonization of America due to competition imposed by introduced domestic cattle and hunting pressure for their fne fbers. Currently, their distributions are fragmented into relatively isolated populations and concentrated in protected areas and private ranches (Baldi et al. [2016](#page-324-0); Acebes et al. [2018](#page-324-0)). In the latest continental population estimate of guanacos (2016) (2016) , a total population of 1,498,170 to 2,192,300 individuals was estimated, with a growing population trend, so the IUCN Red List classifes them as Least Concern globally (Baldi et al. [2016](#page-324-0)). However, from the analysis of the total distribution of guanacos, it seems that the populations of Perú, Bolivia, Paraguay, northern Chile, and northern Argentina are small and declining or slightly stable (Baldi et al. [2016](#page-324-0)). Meanwhile, the total population of vicuñas has been estimated at 473,297 to 527,691 individuals, with a growing population trend, and the last evaluation ([2018\)](#page-324-0) of the IUCN Red List categorized it as Least Concern (Acebes et al. [2018;](#page-324-0) Acebes and González [2021\)](#page-324-0).

Both species of WSAC have been used by humans since ancient times (Wheeler [2012;](#page-331-0) Lichtenstein and Carmanchahi [2012\)](#page-328-0). Their fber is extremely fne and has a high market value, so some populations of both species are managed for live shearing in the wild. This sustainable management, used as a conservation strategy and for the beneft of indigenous and rural inhabitants, consists of the capture, shearing, and release of free-living individuals (Lichtenstein and Carmanchahi [2012\)](#page-328-0). In addition, in some regions of Chile and Argentina, legislation allows the controlled harvest of guanacos (Valdebenito Díaz [2008;](#page-330-0) Plan de Manejo del Guanaco de la Provincia de Santa Cruz, Decreto Provincial 0032/15).

Leguía [\(1999](#page-328-0)), Wernery and Kaaden ([2002\)](#page-331-0), Aguirre and Cafrune ([2007\)](#page-324-0), and Fowler ([2011\)](#page-327-0) constitute the reference bibliography on health aspects and diseases in SAC, describing the etiology, morphology, and parasitic life cycles in these animals. It should be noted that the information available has been generated mainly in productive systems of DSAC (llamas and alpacas) through case reports of WSAC in captivity, and sometimes the epidemiological and pathophysiological knowledge of domestic ruminant diseases is extrapolated, assuming that pathogens behave similarly in SAC species.

WSAC harbor a variety of species-specifc parasites; for example, the nematodes *Trichuris tenuis, Graphinema aucheniae, Spiculopteragia peruviana, Nematodirus lamae, Camelostrongylus mentulatus,* and *Lamanema chavezi*, as well as protozoa of the genera *Eimeria* and *Sarcocystis* have been identifed (Leguía [1999\)](#page-328-0). However, some pathogens of domestic livestock (cattle, sheep, and goats) can also infect neotropical camelids.

Knowledge about the distribution of pathogens, determining factors and infection dynamics, as well as their impact on WSAC populations, is very scarce (Ferreyra et al. [2022;](#page-327-0) Rago et al. [2022\)](#page-330-0). Table [11.1](#page-302-0) presents information on the literature on health studies carried out on populations of *V. vicugna* and *L. guanicoe* in the

Table 11.1 Summary of health studies in WSAC populations from Perú, Bolivia, Chile, and Argentina

(continued)

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*Host population***:** $G =$ **guanacos,** $V =$ **vicuñas;** $(w) =$ wild, $(c) =$ **captive.** $n =$ **sample size.** Site, **Country.** *Pathogens***: include those researched in the original health studies (inclusion does not imply confrmation of presence or exposure when referring to viral and bacterial diseases).** *Type of study***: pathogen survey, serosurvey, longitudinal sampling; descriptive/analytical; disease parameter assessed (prevalence, intensity, and abundance). References in gray literature are shaded gray**.

Type of study: Survey: cross-sectional sampling; Serosurvey: serologic cross-sectional study; Case report: description of disease event or die-off; Longitudinal: serial sampling at population level. Descriptive: descriptive statistical analysis; Analytical: statistical inference analysis; Outbreak investigation. Disease parameter assessed: Prevalence: number of hosts infected with the particular pathogen (or taxonomic group) divided by the number of hosts examined for that pathogen; Intensity: count of individuals/parasite stages of a particular parasite species in a single infected host; Abundance: the total number of individuals of a particular parasite species in a sample of a particular host species divided by the total number of hosts of that species examined (including both infected and uninfected hosts) (Bush et al. [1997\)](#page-325-0).

ANMI Área Nacional de Manejo Integrado, *AP* Abra Pampa, *CICVyA* campo experimental del Centro de Investigaciones en Ciencias Veterinarias y Agronómicas, *PM* Península Mitre, *PNPA* Pali Aike National Park, *PNTP* Torres del Paine National Park, *PNSG* Parque Nacional San Guillermo, *PPI* Parque Provincial Ischigualasto, *RBP* Reserva de Biósfera Pozuelos, *RDC* Reserva de uso múltiples Don Carmelo, *RLB* Reserva Laguna Blanca, *RNPGBD* Reserva Nacional Pampa Galeras Bárbara D'Achille, *RNVV* Reserva Natural Villavicencio, *RPC2B* Reserva Provincial Cabo Dos Bahías, *RPLD* Reserva Provincial Laguna del Diamante, *RPLLL* Reserva Provincial Laguna de Llancanello, *RPLP* Reserva Provincial La Payunia, *RPSG* Reserva Provincial San Guillermo, *SABR* Salinas y Aguada Blanca National Reserve, *SAIS* Sociedad Agrícola de Interés Social, *SC* Santa Catalina, *SCB* San Carlos de Bariloche

countries within their original distribution. This table summarizes the population features (host species, living conditions, locality, country, and sample size), methodological aspects (diagnostic techniques), pathogens investigated (genus and species), and characteristics of the studies carried out (type of study, sampling design, and disease descriptors).

The pathogens and disease descriptors included in the table are those reported in the original studies. Adequate interpretation requires considering potential biases in the sampling design, the limitations of the techniques used for the identifcation of pathogens and/or antibodies, and the sample size of each study.

From Table [11.1](#page-302-0), it can be concluded that a significant number of WSAC population health studies are found in the gray literature. Most of them are reports that provide information on pathogens found in WSAC, with certain information on their frequency, but in general do not provide information on disease dynamics.

Many surveys with large sample sizes have been carried out in the context of sustainable live-shearing projects (ectoparasitism in vicuñas from Perú, Bolivia, and northern Argentina). During these procedures, the recurrent roundups for capture could produce disturbances in the social structure, and the confnement of groups of animals until shearing increases close contact, facilitating contagion and altering the natural dynamics of transmission. On the other hand, some health studies in WSAC have very low sample sizes, and few studies report the precision of quantitative descriptors (e.g., confdence intervals) (Bush et al. [1997](#page-325-0)). The interpretation of those studies must consider that they are descriptive reports of proportions of positive samples and that they may not be representative of the real prevalence of disease in the population. In addition, the information provided by seroprevalence studies is about previous exposure to specifc pathogens but not about the timing of the infection, so it does not refect the actual prevalence of the pathogen (Table [11.1\)](#page-302-0).

Descriptive prevalence studies are the most frequent types, mainly of endoparasites and ectoparasites, which provide partial information on their regional distribution. Among those investigations, studies of gastrointestinal parasites predominate, some of which have explored potential associations between parasite presence, demographic features (sex, age of the host), and environmental characteristics (season and sampling site). Moreno ([2014\)](#page-329-0) analyzed associations between the abundance of endoparasites and extrinsic (season, weather conditions) and intrinsic determinants (sex, age, body condition, type, and size of social group) of guanacos from the most abundant population in the central region of Argentina. This study also investigated associations between parasite abundance and the concentration of fecal cortisol metabolites (as an indicator of stress) and with serum levels of natural antibodies (components of innate immunity) of guanacos, but neither stress levels nor natural antibody levels were associated with the parasite loads (Moreno [2014](#page-329-0)).

This chapter will next address the available knowledge on the most studied diseases at the population level in vicuñas and guanacos, their modulating factors, and the conservation impact.

11.2 Gastrointestinal Parasitism

11.2.1 Coccidiosis

It is common for WSAC to shed oocysts of the genus *Eimeria* (Apicomplexa: Eimeriidae) at low intensities without showing signs of disease, which is assumed to be subclinical infection (coccidiosis) with a low probability of representing a threat to the health of the host and its population (Cebra et al. [2007;](#page-326-0) Dubey [2019;](#page-327-0) Bowman [2020](#page-325-0)). *Eimeria* are obligate intracellular parasites whose life cycle includes asexual multiplication followed by two sexual reproduction phases in the intestinal cells of the host, where numerous infective sporozoites are produced and shed in the feces as oocysts (Melhorn [2008](#page-328-0)). The group of *Eimeria* species that affect SAC is specifc. *Eimeria lamae, E. alpacae*, and *E. punoensis* have small ellipsoidal ovoid oocysts, which allow their identifcation by microscopic observations with detailed measurements. *Eimeria macusaniensis* oocysts are easily diagnosed because they are pear-shaped, large ($106.6 \times 80.5 \,\mu$ m), and dark brown with very thick walls. *Eimeria ivitaensis* oocysts are also easy to identify because they are large and ellipsoidal (88.8 \times 51.8 µm) and brown and thick-walled (Cafrune et al. [2009b;](#page-325-0) Dubey [2018](#page-327-0)).

Its life cycle is direct, and transmission occurs by ingesting pasture contaminated with oocysts, which depend on temperature, environmental humidity, and available oxygen, determining the time necessary to acquire the ability to infect the host (Ballweber [2009](#page-325-0)). Dubey [\(2018](#page-327-0)) postulated that *E. macusaniensis* requires a prolonged time to sporulate due to the greater thickness of the walls of its oocysts, needing 9 days at 30 °C and 21 days at 18–25 °C; on the other hand, they do not sporulate at temperatures of 6 °C (Rohbeck [2006](#page-330-0); Dubey [2019;](#page-327-0) Bowman [2020](#page-325-0)). The periods necessary for the sporulation of the remaining four species have not yet been described (Dubey [2018\)](#page-327-0). *Eimeria macusaniensis* can maintain its infectivity for up to at least 7 years (Jarvinen [2008](#page-327-0)).

Eimeria lamae develops on the surface of the intestinal epithelium, unlike *E. macusaniensis* and *E. ivitaensis*, which parasitize the crypts of the jejunum and ileum (Guerrero et al. [1967;](#page-327-0) Palacios et al. [2006;](#page-329-0) Dubey [2018](#page-327-0)). *E. lamae* and *E. macusaniensis* are considered the most pathogenic species because the former destroys the epithelium, while the latter damages the crypts of the glands and inhibits cell regeneration (Leguía [1991](#page-328-0); Guerrero et al. [1970;](#page-327-0) Palacios et al. [2006](#page-329-0); Cebra et al. [2007\)](#page-326-0). *Eimeria ivitaensis* has rarely been reported in association with clinical coccidiosis (Palacios et al. [2006](#page-329-0)). In WSAC, coinfection with multiple *Eimeria* species is common (Leguía [1991;](#page-328-0) Cafrune et al. [2014](#page-326-0); Dubey [2019\)](#page-327-0). Prepatent periods vary according to the species, being greater than 30 days for *E. macusaniensis*, 16–18 days for *E. alpacae*, and 10 days for *E. punoensis* and *E. lamae*; the length of the period between ingestion and shedding of *E. ivitaensis* oocysts is unknown (Dubey [2018](#page-327-0), [2019](#page-327-0)).

Eimeria infections can be pathogenic depending on age, concurrent infections, environmental conditions, stress, and nutritional status (Dubey [2019\)](#page-327-0).

Macroscopically, primary lesions of mucosal thickening, congestion, punctate plaques, and severe hemorrhagic enteritis are observed (Guerrero et al. [1967\)](#page-327-0). These lesions are usually focal in the distal jejunum and ileum, although any region of the small intestine, cecum, and colon may be affected (Rosadio and Ameghino [1994;](#page-330-0) Palacios et al. [2006](#page-329-0); Cebra et al. [2007](#page-326-0); Johnson et al. [2009;](#page-328-0) Dubey [2018\)](#page-327-0). Hyperplasia, nonsuppurative enteritis with fusion, and necrosis of villi are observed microscopically (Rosadio and Ameghino [1994](#page-330-0); Johnson et al. [2009;](#page-328-0) Dubey [2018\)](#page-327-0). At the metabolic level, the consequences are hypoproteinemia and hypoalbuminemia (Cebra et al. [2007](#page-326-0)).

In DSAC species, clinical coccidiosis has been reported to occur in very young animals and infrequently in adults (Ballweber [2009;](#page-325-0) Cebra et al. [2007;](#page-326-0) Dubey [2019\)](#page-327-0). The severity of the disease is the result of the combined actions of the number of sporulated oocysts ingested, the nutritional status of the host, and climatic factors (e.g., temperature, humidity, oxygen pressure, and solar irradiation; Melhorn [2008\)](#page-328-0). Milder clinical signs include increased fecal mucus and loose stools; diarrhea is an inconsistent fnding, especially in adult camelids (Jarvinen [2008;](#page-327-0) Cebra et al. [2014](#page-326-0)). Clinical illness presents with lethargy, weakness, weight loss, anorexia, diarrhea, constipation, colic, and sudden death, often in association with stressful conditions (Schrey et al. [1991;](#page-330-0) Cebra et al. [2007;](#page-326-0) Chigerwe et al. [2007;](#page-326-0) Jarvinen [2008;](#page-327-0) Johnson et al. [2009](#page-328-0); Rosadio et al. [2010;](#page-330-0) Dubey [2018](#page-327-0)).

Although for DSAC it has been reported that the most prevalent species among coccidia is *E. lamae*, studies of eimeriasis in WSAC found that *E. punoensis* and *E. alpacae* had the highest prevalence in guanacos from Perú and vicuñas from Bolivia and Argentina (Castillo et al. [2008](#page-326-0); Beltrán-Saavedra et al. [2011](#page-325-0); Cafrune et al. [2014;](#page-326-0) Dubey [2018\)](#page-327-0). *Eimeria macusaniensis* is very frequently reported in WSAC populations, but its prevalence is generally intermediate and rarely exceeds 45% of parasitized animals (Rago et al. [2012;](#page-330-0) Moreno et al. [2019](#page-329-0)). Until now, *E. ivitaensis* has only been reported in the WSAC from Argentina, in populations of wild guanacos and in captive vicuñas, and its prevalence was always lower than 1% (Rago et al. [2012;](#page-330-0) Cafrune et al. [2014;](#page-326-0) Moreno et al. [2015\)](#page-329-0). The only exceptions are those reported for populations of guanacos that inhabit central-western Argentina, where prevalence was between 3% and 10% (González-Rivas et al. [2019](#page-327-0); Moreno et al. [2019\)](#page-329-0).

Moreno [\(2014](#page-329-0)) studied the seasonal dynamics of eimeriasis in guanacos of La Payunia Provincial Reserve (RPLP, central-west Argentina) for 3 years and found that the fve species of *Eimeria* had the highest prevalence during winter, a pattern consistent with other populations of guanacos in the Andean sector of the same region, such as Villavicencio (RNVV) and Laguna del Diamante (RPLD); however, in this last population, the prevalence of *Eimeria* spp. was higher during the summer (Moreno et al. [2019](#page-329-0)). Among the populations of WSAC studied, very marked seasonalities have been found for *E. macusaniensis*, with increases toward the season of highest prevalence (winter) that double or triple the proportion of parasitized animals, as was found in guanacos from RPLP, in adult vicuñas from northern Argentina in Abra Pampa (AP) and in guanacos of RPLD, respectively (Cafrune et al. [2014;](#page-326-0) Moreno [2014](#page-329-0); Moreno et al. [2019\)](#page-329-0). Cafrune et al. [\(2014](#page-326-0)) compared the

dynamics of prevalence between autumn and spring in adult and juvenile captive vicuñas and found that *E. punoensis* had a higher prevalence during spring and *E. lamae* during autumn, and that *E. macusaniensis* and *E. alpacae* inverted the predominant season in both age groups (Cafrune et al. [2014](#page-326-0)). When analyzing the abundance of *E. lamae, E. alpacae*, and *E. punoensis* in the guanacos of the RPLP, important interannual differences were evidenced, explained by interactions in the prevailing weather conditions in the sampling season and in the immediately preceding season, suggesting that the scarcity of rainfall and high levels of relative humidity would facilitate the presentation of greater abundances in the following season (Moreno [2014\)](#page-329-0). In turn, the minimum and maximum temperatures of the preceding season and of the sampling season proved to have an impact on the abundances of *Eimeria* spp. in guanacos from RPLP, suggesting that in temperate seasons (with elevated minimum temperatures and maximum temperatures that are not very high), there is a greater probability of high parasitic abundances (Moreno [2014\)](#page-329-0).

In addition to environmental conditions, many other variables determine the intensity of *Eimeria* infection, including host age, number and infectivity of ingested oocysts, effects of immunity, nutritional status, and the presence of concurrent infections or presence of other stressors (Jarvinen [2008](#page-327-0)). Regarding the intrinsic variables of the host and their relationship with parasite loads, Marcoppido et al. [\(2016](#page-328-0)) found that juvenile vicuñas shed higher amounts of oocysts per gram of undifferentiated *Eimeria* in Santa Catalina (SC, northwestern Argentina). Age also played an important role in the abundance of *Eimeria* spp. of RPLP, where unlike what was reported for DSAC, strong evidence was found that animals younger than 1 year had lower abundance than adults (Moreno [2014](#page-329-0)). In contrast, the abundance of *E. macusaniensis* in lactating guanacos from the same population was higher than that in adults (Moreno [2014](#page-329-0)). Meanwhile, the prevalence of *E. alpacae* in vicuñas from Bolivia also showed a signifcant association with age (Ruiz Hurtado [2016\)](#page-330-0). Likewise, AP juvenile vicuñas showed a signifcantly higher prevalence than adults for *E. macusaniensis*, while the abundance was signifcantly higher in juveniles for most coccidia species during autumn and spring, except for *E. ivitaensis* (Cafrune et al. [2014\)](#page-326-0).

Host sex infuenced the abundances of *Eimeria* spp. in guanacos from RPLP, where males had lower abundance than females $(p < 0.001)$, but during winter and spring, males had signifcantly higher abundances than females (Moreno [2014\)](#page-329-0). Meanwhile, in vicuñas from Bolivia, Beltrán-Saavedra et al. [\(2011](#page-325-0)) found that males had signifcantly higher prevalence than females.

Coccidiosis can also predispose individuals to microbial infections by *Clostridium perfringens, Escherichia coli*, coronavirus, rotavirus, *Giardia*, or *Cryptosporidium* (Dubey [2019](#page-327-0)). The existence of intraphylum and interphylum associations between gastrointestinal parasites in guanacos has also been observed. Synergistic associations were found between species of *Eimeria* and between *Eimeria* and *Nematodirus*, indicating that it is more likely that there are high-intensity infections by a species of *Eimeria* in individuals with high intensities of infection by other coccidia and/or by *Nematodirus* spp. (Moreno et al. [2013\)](#page-329-0). The mechanism by which microparasites and helminths can interact synergistically involves the differentiated immune response triggered by each phylum. Protozoa stimulate responses induced by T-helper type 1 (Th1) and Th17 lymphocytes, while helminths induce Th2 responses, and the cytokines involved in each type of response downregulate the cytokines involved in the other type of response (Diaz and Allen [2007\)](#page-327-0).

The immune response plays a relevant role in resistance to eimeriasis since it is generally self-limiting, although in highly contaminated environments, reinfection is constant, and there may be high parasitic loads (Jarvinen [2008;](#page-327-0) Fowler [2011\)](#page-327-0). It has been reported that DSAC offspring reinfected with *E. macusaniensis* developed coccidiosis with a prolonged prepatent period, shorter patency duration, and less oocyst shedding (Jarvinen [1999\)](#page-327-0). The immune response generated by the other *Eimeria* species in SAC is unknown (Dubey [2019\)](#page-327-0).

11.2.2 Nematodiasis

Among the parasites that localize in the third stomach compartment of SAC, *Marshallagia*, a typical nematode of the abomasum of sheep and goats, has been identifed in populations of vicuñas throughout their distribution in Bolivia (Beltrán-Saavedra et al. [2011](#page-325-0); Condori et al. [2012](#page-326-0); Martela Mamani [2016;](#page-328-0) Ruiz Hurtado [2016\)](#page-330-0) and in populations of guanacos in southern Argentina (Larrieu et al. [1982;](#page-328-0) Navone and Merino [1989](#page-329-0); Karesh et al. [1998](#page-328-0); Beldomenico et al. [2003\)](#page-325-0). Ruiz Hurtado ([2016\)](#page-330-0) found evidence that in vicuñas from La Paz and Oruro, the prevalence of *Marshallagia* spp. was signifcantly higher in the youngest animals. Unlike the pattern of elimination of eggs of the *Strongylida* suborder, which had the highest prevalence in vicuñas older than 3.5 years, when they are reaching sexual maturity (Arzamendia et al. [2018](#page-324-0)).

The direct cycle nematodes *Trichuris* and *Capillaria* have easily identifable eggs and have been reported to parasitize several WSAC populations. Regarding the species of *Capillaria* that lives in the small intestine of the WSAC, some authors subscribe that the eggs found are identical to those diagnosed in cattle, assuming the same specific identity (Fowler [2011\)](#page-327-0). However, paleoparasitological studies have confrmed their presence in South America since periods prior to the expansion of domestic cattle, identifying *Capillaria* and *Trichuris* eggs in mummifed DSAC from Perú and at least three morphotypes of capillarid eggs in coprolites of camelids from southern Argentina (Leguía [1999;](#page-328-0) Fowler [2011](#page-327-0); Taglioretti et al. [2015\)](#page-330-0). When analyzing the prevalence of *Capillaria* sp. in managed vicuña populations in Bolivia in relation to age, Beltrán-Saavedra et al. [\(2011](#page-325-0)) showed that juveniles presented signifcantly higher prevalence than adult animals. Seasonality proved to be a determining factor for the abundance of *Capillaria* sp. in guanacos from RPLP, showing signifcantly higher levels during winter (Moreno [2014](#page-329-0)).

The genus *Nematodirus* mainly affects species of Cervidae and Bovidae from temperate and cold Holarctic environments, but it is one of the most diagnosed genera in sheep, cattle, and WSAC in South America (Anderson [2000](#page-324-0); Suárez et al. [2007\)](#page-330-0). Although *Nematodirus lamae* is a species of *Nematodirus* typical of the

SAC, *N. spathiger, N. lanceolatus, N. flicolis, N. battus, N. helvetianus, N. oiriatianus*, and *N. abnormalis* have also been identifed parasitizing the small intestine of vicuñas from Perú and guanacos in sympatry with sheep and cattle in Argentina (Larrieu et al. [1982;](#page-328-0) Olaechea et al. [2011](#page-329-0); Moreno et al. [2015;](#page-329-0) Angulo-Tisoc et al. [2021\)](#page-324-0). The seasonal factor was also decisive for the dynamics of parasitism by *Nematodirus* in guanacos from the RPLP, with winter and spring abundances signifcantly higher than those of the rest of the seasons (Moreno [2014\)](#page-329-0). A simultaneous study that analyzed the endoparasitic community in this population by means of larval cultures confrmed that the species present were *N. spathiger* and *N. helvetianus*, typical of sheep and cattle, without fnding larvae of the SAC-specifc species (Moreno et al. [2015](#page-329-0)). There were also signifcant differences in the abundance of *Nematodirus* between guanacos from sectors of the protected area with historically different land use (Moreno [2014\)](#page-329-0). The infuence of the site where the hosts live was also evidenced in vicuña populations in La Paz and Oruro, where signifcant differences were found between the prevalence of *Nematodirus* spp. at different sampling sites (Ruiz Hurtado [2016](#page-330-0)).

In the small intestine, the presence of *Lamanema chavezi* becomes important. This parasite, which has been reported to parasitize all species of SAC, is considered a specifc nematode of this group. It is also one of the few SAC nematodes whose third- and fourth-stage parasitic larvae carry out enterohepatic migration, which gives it great pathogenicity. *Lamanema* sheds eggs with a typical shape that is easily differentiated from other species of nematodes in coprological diagnosis (Cafrune et al. [2009a](#page-325-0); Santana et al. [2020\)](#page-330-0).

In relation to large intestine nematodes, it has been suggested that *Trichuris tenuis* is the typical species of camelids. Its presence has been confirmed by identifying adult nematodes from vicuñas and guanacos from Salta and Chubut in Argentina (Cafrune et al. [1999;](#page-325-0) Beldomenico et al. [2003](#page-325-0)), although previous studies reported *Trichuris ovis* parasitizing DSAC from Perú and northern Chile (Guerrero [1960\)](#page-327-0). However, most prevalence studies are based only on coprodiagnosis, and the morphometric characteristics of the eggs are not suffcient for specifc identifcation (Cafrune et al. [1999](#page-325-0); Moreno et al. [2015](#page-329-0)). Moreno ([2014\)](#page-329-0) showed that the abundance of *Trichuris* is affected by season in RPLP guanacos, where spring had the lowest abundance. An interaction with the age of the animals was also evidenced, since during that season, the offspring younger than 1 year had signifcantly higher abundances than guanacos of other age categories (Moreno [2014\)](#page-329-0).

11.2.3 Cestodiasis

Guanacos and vicuñas have been reported as defnitive hosts of the cestode species *Moniezia expansa* and *M. benedeni* (Bustinza et al. [1982;](#page-325-0) Beldomenico et al. [2003;](#page-325-0) Beltrán-Saavedra et al. [2011](#page-325-0); Moreno et al. [2015](#page-329-0); Martela Mamani [2016;](#page-328-0) Ruiz Hurtado [2016\)](#page-330-0). The cycle of both cestodes requires the presence of oribatid mites as an intermediate host, which gives it a marked seasonality of infection in temperate climates (Fowler [2011\)](#page-327-0). They are typical parasites of the small intestine of domestic cattle; *M. benedeni* more frequently parasitizes cattle and *M. expansa* sheep (Suárez et al. [2007](#page-330-0)). In the health study carried out in guanacos of RPLP, where *M. benedeni* was the only species of cestode identifed, it was possible to show that their counts are infuenced by several factors (Moreno [2014](#page-329-0)). In that protected area, *M. benedeni* was not found in all seasons, and when it was detected, the prevalence was below 10% and had a low mean intensity (2.85 HPG; Moreno [2014](#page-329-0)). Winter was the season with the highest abundance of *M. benedeni* eggs, while the lowest occurred during spring (Moreno [2014\)](#page-329-0). The age of the host and traits of its social group also infuenced shedding of *M. benedeni* eggs in the RPLP. Juvenile guanacos had the highest abundances, and an association was found between the size of the social group and parasitic abundances, being lower in guanacos from larger social groups (Moreno [2014](#page-329-0)).

In the study carried out by Moreno (2014) (2014) , the parasitic abundances of the sheep and bovine helminth species *N. spathiger, N. helvetianus,* and *M. benedeni* were higher in the northwestern sector of the RPLP, although the cause could not be established. This sector includes an oil extraction site and cattle as the predominant livestock species, and in autumn-winter, livestock management drives an important infux of cattle and horses (Schroeder et al. [2013](#page-330-0)). In the northeast sector, on the other hand, where lower parasitic abundances were recorded, there was no oildrilling impact, and the highest density of livestock was from goats and, to a lesser extent, cattle (Schroeder et al. [2013](#page-330-0); Moreno [2014\)](#page-329-0). The spatial variable also showed infuences on population stress levels, with guanacos from the northwestern sector of the RPLP showing signifcantly higher concentrations of fecal cortisol metabolites than those found in the northeastern sector (Moreno [2014\)](#page-329-0).

Boxes 11.1 and [11.2](#page-316-0) summarize information on mass mortality events in WSAC populations where the contribution of parasitic diseases was identifed. In both mortality events, infestations by livestock pathogens were documented, in addition to environmental and management particularities.

Box 11.1 Guanaco Die-off at Cabo Dos Bahías Provincial Reserve, Chubut, Argentina

- Cabo Dos Bahías is a 1188-ha provincial protected area in Argentine Patagonia, surrounded by one sheep ranch to the west, and the Patagonian Sea to the northeast, east, and southeast. Sheep from the neighboring ranch frequently trespass the protected area boundaries, interacting and competing with guanaco for habitat and food (Karesh et al. [1998;](#page-328-0) Baldi [1999](#page-324-0)).
- In 1995, Karesh et al. carried out a health assessment of 20 live guanacos from the population of circa 500 animals in the Reserve. In this study, they found no evidence of exposure to infectious diseases, including bluetongue virus, brucellosis, bovine respiratory syncitial virus, bovine viral diarrhea/ mucosal disease, equine herpesvirus 1, infectious bovine rhinotracheitis,

Box 11.1 (continued)

Mycobacterium paratuberculosis, foot-and-mouth disease, leptospirosis (17 serovars), parainfuenza-3, and vesicular stomatitis. Conversely, they reported fecal nematode ova belonging to *Strongyloides* sp. (5%), *Nematodirus* sp. (30%), *Marshallagia* sp. (10%), *Trichostrongylus* sp. (15%), *Trichuris* sp. (25%), and *Dictyocaulus* sp. larvae (5%). Blood parasites, ectoparasites, or signs of parasitic dermatitis were not found.

- Since the early winter of 1999, a severe drought affected the area of Cabo Dos Bahías and the availability of forage was severely reduced. In 2000, during the winter months, an extensive guanaco die-off occurred, and more than 300 guanacos died. Twelve guanacos were necropsied (fve adult females, one adult male, fve juvenile females, and one juvenile male), and the main fndings reported by Beldomenico et al. [\(2003](#page-325-0)) were as follows:
	- *Eimeria macusaniensis* (in feces of 75% of necropsied animals, oocysts per gram (opg) <50), *Eimeria* spp. (83.3%, *I*mean = 3204 opg, *I*max = 12,600 opg), *Nematodirus* sp. (75% $I_{\text{mean}} = 58$ eggs per gram (epg), $I_{\text{max}} = 300$ epg), *Marshallagia* sp. (66.7%, *I*mean = 33, *I*max = 200), *T. tenuis* (41.7%, maximum parasites per host = 23), *M. expansa* (16.7%), and *Dictyocaulus flaria* (83.3%, mean parasites per host = 53, maximum parasites per host $= 158$).
	- Lesions resembling those caused by *Sarcocystis* sp. were found in tongue, heart, and skeletal muscles (66.7%).
	- The deaths were attributed to emaciation and starvation due to the longterm drought, competition with sheep, and extremely high guanaco densities. However, the livestock lungworm *D. flaria* was suggested to have a detectable effect on the health of the guanacos, according to the observed high burden of worms and lung congestion confrmed by histopathological examination.

Box 11.2 Description of an Outbreak of Mange in Vicuña and Guanaco Populations in a Protected Area, with Risk for Local Extinction Leading to Changes at the Landscape Scale

In Argentina, the two largest sympatric populations of vicuña and guanaco live in San Guillermo National Park (SGNP), created in 1999 in the province of San Juan, center-west of the country. The timeline of the event is as follows:

• 2009–2011: A provincial livestock incentive program was implemented, via which 156 llamas were delivered to farmers in areas adjacent to PNSG in San Juan. The llamas were brought from the north of the country, where DSAC are common livestock. Some introduced llamas were diagnosed with mange upon arrival in San Juan.

Box 11.2 (continued)

- 2013: Population surveys in PNSG established that the densities were 11 vicuñas/km²; 0.4 guanacos/km² (Ellis et al. [2017\)](#page-327-0).
- 2014: The presence of mange was detected for the frst time in vicuñas and guanacos of PNSG.
- 2014–2017: (*Outbreak study*; Ferreyra et al. [2020\)](#page-327-0).
	- Vicuñas monitored by radio-collar were found with mange at an increasing rate of 0–100%.
	- 68% of vicuñas with mange were detected in some sectors of SGNP.
	- WSAC with mange predated by puma increased from 5% to 90%.
	- Densities of vicuñas decreased from 55% to 98%.
	- Density of guanacos showed a 95% reduction.
- 2017–2018: (*Study of the dynamics of the outbreak, its population effects in WSAC, and origin of the epidemic*; Ferreyra et al. [2022](#page-327-0)).
	- Vicuña density decreased by an additional 68%.
	- Guanaco density decreased by an additional 77%.
	- Among live animals, 24% of vicuñas and 33% of guanacos presented clinical signs of mange.
	- In carcasses, 94% of vicuñas and 85% of guanacos presented clinical signs of mange.
	- Macro- and microscopic diagnosis confrmed the hyperkeratotic clinical presentation, with the presence of abundant *S. scabiei* mites.
	- Genetic characterization (microsatellites) revealed that mites from guanacos and vicuñas were highly homologous with very little genetic variability, consistent with a common origin of the epidemic and recent occurrence.
- 2019:
	- No WSAC were observed in study transects, suggesting the local extinction of vicuñas and guanacos in the evaluated sectors.
- After the initial outbreak in SGNP, WSAC with mange were observed in areas adjacent to PNSG and in a neighboring protected area in La Rioja province.
- The authors who investigated the outbreak analyzed several factors that support the hypothesis that the outbreak originated from llamas introduced near SGNP (Ferreyra et al. [2022](#page-327-0)). A later ecological study postulated that the catastrophic reduction of WSAC in SGNP may have cascading effects on ecological communities, causing changes in the abundance and distribution of primary producers, predators (*Puma concolor*), scavengers (*Vultur gryphus*), and in the ecosystem in general (Monk et al. [2022\)](#page-328-0).

11.2.4 Trematodiasis—Fascioliasis

The infestation by the trematode *Fasciola hepatica* has been described in its different forms (acute, subacute, and chronic) in DSAC raised in natural felds (Cafrune et al. [1996;](#page-325-0) Leguía [1999\)](#page-328-0). On the other hand, the records of this parasitosis in WSAC are scarce and limited to the reports of Cafrune et al. ([1996,](#page-325-0) [2004](#page-325-0)) in vicuñas, Olaechea and Abad ([2005\)](#page-329-0) and Issia et al. [\(2009](#page-327-0)) in guanacos. Parasitosis by *F. hepatica* in SAC can be subclinical as a probable consequence of low to moderate infestations (Cafrune et al. [1996\)](#page-325-0). The occurrence of clinical fascioliasis is reported with increasing frequency in SAC (Leguía [1999;](#page-328-0) Cafrune et al. [2004](#page-325-0); Olaechea and Abad [2005\)](#page-329-0), sometimes concomitantly with other parasites that add their detrimental action, such as gastric nematodiasis (Cafrune et al. [2006](#page-325-0)). The minimum prepatent period in experimentally infested llamas was 56 days (Rickard and Foreyt [1992\)](#page-330-0). The clinical form in adult vicuñas and guanacos occurred with a prevalence of less than 25% of infested individuals (Cafrune et al. [2004;](#page-325-0) Olaechea and Abad [2005](#page-329-0)).

11.3 Ectoparasitic Diseases

11.3.1 Sarcoptic Mange

Sarcoptic mange is a highly contagious skin disease caused by the presence and multiplication of the mite *Sarcoptes scabiei* (Leguía [1999;](#page-328-0) Fowler [2011](#page-327-0)). There are numerous reports of mange in SAC (Table [11.1\)](#page-302-0). *Sarcoptes scabiei* var. *aucheniae* (Arthropoda: Sarcoptidae) has been described as a typical mite. However, varieties of *S. scabiei* have traditionally been named based on the host species where they were detected, despite the few morphological differences between mites found on different host species (Niedringhaus et al. [2019](#page-329-0)). There is currently no certainty about the specifcity of *S. scabiei* collected from different mammalian species and whether there are multiple *Sarcoptes* species within or between host species (Arlian and Morgan [2017](#page-324-0)). *Sarcoptes scabiei* is a generalist parasite that can be transmitted between DSAC and WSAC and can cause epidemics with signifcant mortality in WSAC populations (Bornstein and de Verdier [2010](#page-325-0); Arzamendia et al. [2012;](#page-324-0) Browne et al. [2022;](#page-325-0) Ferreyra et al. [2022](#page-327-0)).

Sarcoptic mange outbreaks in wildlife populations begin frequently with high prevalence and mortality, later becoming endemic with low prevalence and eventually disappearing (Ferreyra et al. [2022\)](#page-327-0). There have been records of mange epidemics causing vast mortalities of SAC since the sixteenth century, and various studies suggest that the disease is endemic with low prevalence in some WSAC populations and is associated with sympatry with DSAC and/or live-shearing practices of wild populations (Dale and Venero [1977](#page-327-0); Hofmann et al. [1983](#page-327-0); Beltrán-Saavedra et al. [2011;](#page-325-0) Arzamendia et al. [2012;](#page-324-0) Gomez-Puerta et al. [2013;](#page-327-0) Ruiz Hurtado [2016;](#page-330-0) Bujaico Mauricio [2018;](#page-325-0) Angulo-Tisoc et al. [2021](#page-324-0); Mayhua Mendoza [2021;](#page-328-0) Ferreyra et al. [2022\)](#page-327-0).

Although with heterogeneous prevalence, this disease has been reported in WSAC populations in Perú (Gomez-Puerta et al. [2013](#page-327-0); Bujaico Mauricio [2018;](#page-325-0) Unzueta Lancho [2018](#page-330-0); Angulo-Tisoc et al. [2021](#page-324-0); Mayhua Mendoza [2021\)](#page-328-0), Bolivia (Beltrán-Saavedra et al. [2011](#page-325-0); Ruiz Hurtado [2016\)](#page-330-0), Chile (Raedeke [1976;](#page-329-0) Alvarado et al. [2004;](#page-324-0) Vargas and Bonacic [2020\)](#page-331-0), and Argentina (Arzamendia et al. [2012;](#page-324-0) Ferreyra et al. [2022;](#page-327-0) Sosa et al. [2022](#page-330-0)). In some scenarios, it is associated with sympatry with DSAC (Unzueta Lancho [2018](#page-330-0); Mayhua Mendoza [2021](#page-328-0)). A recent increase in the distribution and prevalence of infection has been suggested in several of the WSAC range countries (Ferreyra et al. [2022](#page-327-0)). In addition, its high contagion rate and its consequences on a population scale generate growing concern for the conservation of guanacos and vicuñas, given the demonstrated capacity of the mite to cause unusual epidemics associated with strong population decline and risk of local extinctions (Ferreyra et al. [2020,](#page-327-0) [2022;](#page-327-0) Monk et al. [2022;](#page-328-0) Box [11.2](#page-316-0)). In Chile, guanacos and vicuñas were among the species most commonly recorded with abnormal alopecia, potentially caused by *S. scabiei*, in 9.62% of the protected areas, placing this disease as one of the main threats for some wildlife populations of that country (Montecino-Latorre et al. [2020\)](#page-328-0).

The life cycle of *Sarcoptes* spp. is direct and occurs entirely on the skin of the host, but adult mites can survive in the environment on epithelial debris for up to 30 days (Fowler [2011](#page-327-0)). The adults copulate on the skin, and then the fertilized female advances, digging and depositing up to four eggs per day in her progress through the tunnels she digs in the lower layers of the epidermis (Leguía [1999;](#page-328-0) Fowler [2011](#page-327-0)). In alpacas from Perú, it was established that the complete cycle lasts between 20 and 25 days (Mayhua Mendoza [2021](#page-328-0)). By tunneling into the skin, *Sarcoptes* generates a hypersensitive dermatitis with mild to severe itching where small erythematous foci are generated with serous exudate that gives rise to cracked crusts (Bornstein and de Verdier [2010;](#page-325-0) Gomez-Puerta et al. [2013](#page-327-0); Ferreyra et al. [2022\)](#page-327-0). In camelids, the lesions begin in areas devoid of hair and predominate in their acute and chronic phases in the perineal area, between the toes, inner thighs, belly, foreskin, head, lips, and ears (Fowler [2011;](#page-327-0) Arzamendia et al. [2012](#page-324-0); Alvarado et al. [2004](#page-324-0); Gomez-Puerta et al. [2013;](#page-327-0) Unzueta Lancho [2018;](#page-330-0) Sosa et al. [2022](#page-330-0)). The lesions can progressively spread to other regions of the body, and the associated intense itching leads to the appearance of traumatic scratching lesions and secondary bacterial infections (Leguía [1999;](#page-328-0) Fowler [2011](#page-327-0)). The chronic form presents as hyperkeratosis with hard and dry scabs that come off with a large amount of fber. Depending on the location of the lesions, diffculty walking or eating is observed, leading to a poor body condition, which can cause death and/or limit the ability to evade predators (Rojas [2004](#page-330-0); Fowler [2011;](#page-327-0) Bornstein and de Verdier [2010;](#page-325-0) Ferreyra et al. [2022;](#page-327-0) Sosa et al. [2022](#page-330-0)).

A study of the San Guillermo National Park (SGNP) sarcoptic mange outbreak in WSAC developed a clinical characterization to infer the proportion of affected animals through visual estimates without capture or laboratory diagnosis, although it represents the minimum proportion of infected individuals in the population. In this way, Ferreyra et al. ([2022\)](#page-327-0) established three categories of increasing severity: early stage (obvious and severe and/or persistent scratching in animals in groups with other individuals in the advanced or severe stage), advanced stage (animals with difficulty walking and/or visible lesions on the limbs), and severe stage (individuals with alopecia and scabs spreading throughout the body).

The clinical presentation of sarcoptic mange in wildlife has in turn been classifed by histopathological diagnosis, differentiating between the hyperkeratotic form, with high mite loads and a type I hypersensitivity response, with intense scratching and secondary skin lesions and infection, and the alopecic form, with low mite loads and loss of hair cover due to a type IV hypersensitivity response (Ferreyra et al. [2022](#page-327-0)). The clinicopathological presentation of sarcoptic mange outbreaks was compared by Aráoz et al. [\(2016](#page-324-0)) in llamas and vicuñas in captivity in northern Argentina, fnding that in vicuña, the hyperkeratotic form occurred with an abundance of mites in severe clinical cases and with high lethality, while in llamas, the presentation was alopecic, with a low presence of mites and mild clinical cases, without mortality. These results coincided with the lesions found by Ferreyra et al. [\(2022](#page-327-0)) in the mange outbreak in vicuñas and guanacos in central-western Argentina, where the highly contagious hyperkeratotic form was recorded (Ferreyra et al. [2022\)](#page-327-0). Similar results were found by Sosa et al. [\(2022](#page-330-0)) in a study of the occurrence of sarcoptic mange in free-ranging vicuñas of the high Andean plateau of Argentina, where animals showed focal to diffuse alopecic injuries, with hyperkeratosis, erythema, hyperpigmentation, exudation or bleeding, and the histopathological examination of skin biopsies showed extensive infltration of the dermis with lymphocytes, neutrophils and eosinophils, hyperplasia at different stages, epidermis degeneration, and hyperkeratosis.

The main route of transmission is by direct contact, which in WSAC occurs in agonistic encounters between males, during copulation, or in interactions between mothers and offspring (Vilá [1992;](#page-331-0) Arzamendia et al. [2012](#page-324-0); Ferreyra et al. [2022\)](#page-327-0). The results of the mange outbreak investigation conducted by Ferreyra et al. [\(2022](#page-327-0)) suggest that, at least in that case, direct transmission allowed for the spread of the disease regardless of host density, disseminating mites even when the population size of WSAC decreased to near local extinction. In addition, the resistance of the mites in the environment and ethological aspects of WSAC, such as the use of wallows for dust baths and community dung piles, establish them as possible means of indirect contagion. Mite transmission could also occur through the shared use of bushes where animals scratch (Vilá [1992](#page-331-0); Arzamendia et al. [2012;](#page-324-0) Browne et al. [2022;](#page-325-0) Ferreyra et al. [2022](#page-327-0)).

The temperature and environmental humidity of spring and summer favor the development of mites, which is when the most serious and extensive lesions are observed in DSAC, producing the greatest number of infections (Leguía [1999](#page-328-0)). In autumn and winter, the disease tends to be subclinical in DSAC since the parasites persist in body regions protected from sunlight, such as armpits, crotches, groins, and ears (Leguía [1999\)](#page-328-0). Few studies have evaluated the seasonality of sarcoptic mange in WSAC populations, and their results are not consistent. In northern Chile, a higher prevalence was reported in wild vicuñas in spring-summer (CONAF [2014\)](#page-326-0), while in the Parque Nacional San Guillermo (PNSG) mange outbreak study, there was no obvious seasonal trend (Ferreyra et al. [2022\)](#page-327-0). Conversely, in populations of vicuñas in Perú, the highest prevalence of mange was found in the autumn-winter months, decreasing toward spring (Bujaico Mauricio [2018](#page-325-0)).

Animals infested for the frst time develop partial immunity that is not fully protective and is manifested by reduced egg production and decreased mite population (Leguía [1999;](#page-328-0) Rojas [2004](#page-330-0)). Although current knowledge of mange in DSAC confrms that young animals are the most susceptible, in situations of stress, the disease can affect individuals of any age (Leguía [1999](#page-328-0)). In WSAC, no statistical association was found between the prevalence of disease and the age of the host. In vicuñas, a general trend is described in which offspring show the lowest prevalence, while adults present the highest prevalence (Hofmann et al. [1983;](#page-327-0) Unzueta Lancho [2018;](#page-330-0) Angulo-Tisoc et al. [2021\)](#page-324-0). In the PNSG mange outbreak, evidence was found that offspring were more likely to present early-stage disease and less likely to present advanced stages compared to adults (Ferreyra et al. [2022\)](#page-327-0). However, because offspring were seen suckling from seriously ill mothers, it is possible that lack of maternal care led to mortality in this age class before mange progressed or that there was detection bias due to difficulty in finding calf carcasses quickly eliminated by predators and scavengers (Ferreyra et al. [2022](#page-327-0)).

The sex of the animals has not been reported as an important determinant of mange in WSAC. In the DSAC literature, a trend toward males having a higher prevalence than females is reported, as well as in a study in adult vicuñas from Perú (Unzueta Lancho [2018\)](#page-330-0). In a study of mange in guanacos from the southern tip of Chile, Alvarado et al. ([2004\)](#page-324-0) found negative correlations between the intensity of infection and parameters as weight, age, and sex and a positive correlation between intensity and the number of lesions. However, in opportunistic health studies that use postharvest necropsies of guanacos (southern Chile and Argentina), it should be considered that the regulations that authorize these procedures establish the criteria for selecting the animals to be slaughtered (mainly males), introducing sampling bias.

11.3.2 Ticks

The ixodid *Amblyomma parvitarsum* Neumann completes its cycle in three hosts, with the adult stages considered to prefer SAC as hosts, which are usually parasitized in the perianal and inguinal area (Estrada-Peña et al. [2005;](#page-327-0) Muñoz-Leal et al. [2014\)](#page-329-0). The larvae and nymphal stages of this tick have been found parasitizing reptiles of the genus *Liolaemus* (Muñoz-Leal et al. [2016\)](#page-329-0). The adult stages can be found in dung piles and on SAC in the rainy season and in reptiles of the genus *Liolaemus* in the transition between the rainy and dry seasons (Muñoz-Leal et al. [2014\)](#page-329-0). The geographic distribution of *A. parvitarsum* includes the arid lands of the Andean high plateau region of Argentina, Bolivia, Chile, and Peru and the Argentin Patagonia, where it has been found parasitizing SAC (Estrada-Peña et al. [2005;](#page-327-0) Muñoz-Leal et al. [2014\)](#page-329-0).

Adults and nymphs of *A. parvitarsum* infected by the hemoparasite *Rickettsia* sp. have been detected in Chile, Argentina, and Perú (Ogrzewalska et al. [2016;](#page-329-0) Muñoz-Leal et al. [2018\)](#page-329-0). The proportion of *A. parvitarsum* ticks infected by rickettsiae from Argentina and Chile was high $(\geq 57.7\%)$, while the overall positivity rate for ticks from Perú was 93.3% (Ogrzewalska et al. [2016](#page-329-0); Muñoz-Leal et al. [2018](#page-329-0)). Until now, the infection of WSAC by *Rickettsia* has not been confrmed.

11.4 Infectious Diseases

Some investigations have confrmed current or previous exposure to various viruses, bacteria, and protozoa in WSAC. However, although estimates of the proportion of exposed animals in some populations have been published, it has not been possible to make inferences about the variables that determine the occurrence of diseases. A few studies have revealed evidence of the circulation of infectious agents in populations of vicuñas or guanacos. Karesh et al. [\(1998](#page-328-0)) and Risco-Castillo et al. [\(2014](#page-330-0)) found no evidence of exposure to viral agents in guanacos from Chubut (Argentina) and vicuñas from Arequipa (Perú), respectively, but Marull et al. ([2012\)](#page-328-0) detected antibodies to bovine respiratory syncytial virus, infectious bovine rhinotracheitis, parainfuenza-3 virus, and rotavirus in the serum of guanacos from Mendoza, Neuquén, and Río Negro in Argentina. Parreño et al. [\(2001](#page-329-0)) isolated a rotavirus (group A rotavirus) associated with a severe outbreak of diarrhea with high morbidity and mortality in captive guanaco calves in Argentine Patagonia. Those guanacos (captured from 1 day to 4 months of age, raised in captivity in small paddocks without their mothers, and fed with bovine milk substitute) presented acute diarrhea and associated symptoms and died within 2–6 days, confrming at necropsy the presence of *Escherichia coli* and *Salmonella* sp., with septicemia as the fnal cause of death. In addition, 95% (38/40) had very high rotavirus antibody titers, suggesting recent exposure (Parreño et al. [2001\)](#page-329-0).

Marcoppido et al. [\(2010](#page-328-0)) studied evidence of exposure to pathogenic viruses of domestic cattle in wild vicuñas from Jujuy (northwestern Argentina), confrming that 100% (128/128) had been exposed to rotavirus, and reported lower prevalence for parainfuenza-3 virus (37%; 44/119), bovine herpesvirus-1 (0.78%; 1/128), and bovine viral diarrhea virus (0.78%; 1/128). Subsequently, Marcoppido et al. [\(2011](#page-328-0)) carried out a longitudinal study in captive guanaco offspring from Río Negro to evaluate the dynamics of antibody titers against viral diseases, also detecting evidence of antibodies against rotavirus (7/11) from the beginning of the study (possibly maternal) and subsequent seroconversion (4/11) with fecal elimination (1/11). At the beginning of the study, no antibodies against parainfuenza-3 virus were detected, but seropositive animals (11/11), although asymptomatic, were detected after 7 months, concluding that infections by this virus common in cattle could easily spread among young guanacos (Marcoppido et al. [2011](#page-328-0)). On the other hand,

Barbieri et al. [\(2017](#page-325-0)), using a hemagglutination inhibition assay, found sera positive for antibodies against H1-like infuenza A virus in 36% (32/89) of guanacos sampled in the central-west and in 38% (8/21) of vicuñas sampled in northern Argentina, but none of the individuals manifested clinical signs of respiratory disease.

Regarding bacterial diseases in WSAC, Risco-Castillo et al. ([2014\)](#page-330-0) detected antibodies against *Leptospira* (serovars Pomona, Autumnalis, Copenhageni, and Bratislava) in 1.9% (4/207) of vicuñas studied in Perú, showing a higher risk of infection for females older than 2.5 years. In that survey, 1% were also seropositive for *Neospora caninum*, without evidence of clinical signs of disease. In Argentina, Llorente et al. ([2002\)](#page-328-0) detected seroprevalence of 3.8% (3/78) for *Leptospira* serovar copenhageni in guanacos from Río Negro; 16.4% (12/73), 28.7% (21/73), and 2.7% (2/73) for serovars copenhageni, castellonis, and pyrogenes, respectively, in vicuñas from Salta; while for vicuñas from Catamarca, seroprevalence of 6.6% (2/30) and 16.6% (5/30) were found for the copenhageni and castellonis serovars. Marull et al. [\(2012](#page-328-0)) detected antibodies against *Leptospira* spp. in wild populations of guanacos from Mendoza, Neuquén, and Rio Negro.

The causal agent of Johne's disease (paratuberculosis), *Mycobacterium avium* subsp. *paratuberculosis* (MAP)*,* was confrmed in fecal culture of 4.2% (21/501) of guanacos analyzed in southern Chile (Salgado et al. [2009\)](#page-330-0). The isolates were classifed as bovine type and had a weak association with the age and sex of the individuals, and the sampled animals showed no signs of clinical disease (Salgado et al. [2009\)](#page-330-0). The proportion of positive samples for MAP was associated with areas of sympatry between guanacos and sheep, suggesting that there may be horizontal transmission between these species of ungulates in Chilean Patagonia (Collado et al. [2019\)](#page-326-0). However, another study on the dynamics of MAP infection in a sheepguanaco interface in southern Chile showed that the intensity of pathogen shedding was higher in both species in summer, but shedding was higher in sheep than in guanaco across all seasons, supporting a higher competence of sheep as hosts for MAP (Corti et al. [2022](#page-326-0)).

11.5 Concluding Remarks

This chapter allows for the identifcation of aspects of the host (such as age, infuenced by previous exposure and immunological maturity of the animals), ecological traits of the pathogens (host generalism, seasonality, and resistance to environmental conditions), and spatial factors (linked to land use and sympatry with domestic livestock) that are determining variables in the ecology of some of the parasitic diseases that affect WSAC populations.

Considering that for the distribution range of WSAC, it has been predicted that droughts will increase in the next two decades (they will be more frequent and severe), that extreme heat episodes could reach tolerance thresholds critical for health more frequently (IPCC [2022](#page-327-0)), and that knowledge about the ecology of diseases in WSAC is still very scarce (Rago et al. [2022](#page-330-0)), future research should
consider potential associations between the epidemiological aspects, relevant environmental variables in host-parasite interaction, the impact of anthropogenic disturbance (oil extraction, mining, WSAC management, and use), and coexistence with domestic livestock.

Studies must be designed to elucidate disease dynamics, including systematic sampling that allows for the discrimination of associations between the different variables and applying diagnostic techniques with high sensitivity and specifcity for the detection/identifcation of pathogens. It is also highly necessary to evaluate the impact of the identifed pathogens (and their modulating factors) on the population dynamics of their camelid hosts. This information is critical for the identifcation of disease risk factors and gain knowledge about population resilience, enabling the prediction and prevention of the impact of diseases on WSAC populations, thus ensuring their long-term conservation.

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Chapter 12 Infectious Diseases of Marine Mammals as Sentinels of Ecosystem Health in the Neotropics

Galaxia Cortés-Hinojosa and Mauricio Seguel

12.1 Introduction

Neotropical marine ecosystems harbor a substantial portion of global biodiversity and modulate planetary climate patterns (Sherman et al. [2017](#page-355-0)). These ecosystems also provide goods and services to nearly 80% of the population in Latin American and Caribbean countries and produce more than a third of the seafood on the planet (Gutierrez et al. [2017;](#page-352-0) Muñoz Sevilla and Le Bail [2017\)](#page-353-0). Despite their importance, knowledge on the biological processes and health of these ecosystems is scarce and, in some areas, nonexistent, contrasting with the more studied and more protected neotropical terrestrial ecosystems. Part of this lack of knowledge is due to the size of these ecosystems and the long dispersion rates of most of their species, making it difficult to track and collect data from living organisms (Hazen et al. [2019\)](#page-352-0). In this context, conspicuous, gregarious species with high site fdelity, such as marine mammals, are valuable allies to assess the health of marine ecosystems (Hazen et al. [2019\)](#page-352-0). These charismatic species are also hosts of a wide range of pathogens that establish delicate equilibriums within the host (Bossart [2011\)](#page-350-0). Many of these hostparasite relationships are sensitive to environmental pressures, which can facilitate parasite virulence and compromise marine mammal health while informing larger changes in the marine environment. Therefore, not only do marine mammals serve

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as sentinels, but their pathogens also provide valuable information on ecosystem status.

Marine mammals are key components of aquatic ecosystems throughout the neotropics, occupying high trophic levels in most cases. These trophic relationships make them sensitive to changes in lower trophic levels, a fundamental quality of good sentinel species. Sentinels are species that respond to ecosystem variability or change in a timely, measurable, and interpretable manner (Hazen et al. [2019\)](#page-352-0). Additionally, sentinels provide information that would otherwise be diffcult to access due to technical or spatiotemporal limitations. In the case of marine mammals, some species can be easily accessed and sampled on land, while others can be actively tracked and monitored at sea, providing valuable information on processes such as trophic ecology, demography, reproductive success, and health (Bossart [2011\)](#page-350-0). These marine mammal population traits can inform changes in the marine ecosystem, such as prey abundance, marine productivity, and changes in species distribution. Without the use of sentinel species such as marine mammals, the acquisition of this information requires extensive assessment of multiple species over large temporal and spatial scales, which can be logistically challenging. Therefore, top predators such as marine mammals are important for the study of ocean ecosystems. In the context of predator-prey relationships that inform ecosystem conditions, marine mammal pathogens are also highlighted as excellent sentinels. For example, parasites feed on the tissues or food resources of the host, making them predators at a higher trophic level than their host. Therefore, their population traits can also inform the abundance and health of lower trophic levels, including marine mammals. In this chapter, we will discuss how marine mammals and the infectious organisms that exploit them can be valuable sentinels of marine ecosystem health. We will use a few well-studied systems in the neotropics as case studies to highlight that long-term and experimental approaches in these species become valuable tools to explore the mechanisms that underlie the connection between changes in marine ecosystems and the dynamics of infectious diseases in marine mammals.

12.2 Threats for Neotropical Marine Ecosystems and Marine Mammal Health

In the neotropics, at least ten large marine ecosystems (LMEs) are recognized based on geography, major hydrodynamic processes, and biodiversity (Muñoz Sevilla and Le Bail [2017](#page-353-0)). These ecosystems encompass a diverse arrangement of marine resources ranging from tropical coral reefs in the Caribbean Sea to cold water upwelling systems in the Humboldt Current and Patagonian shelf large marine ecosystems (Sherman et al. [2017\)](#page-355-0). In most of these ecosystems, a diverse arrangement of marine mammal species occupies the top trophic levels. Neotropical LMEs harbor more than 60% global marine mammal diversity, including several endangered species, such as franciscana dolphin (*Pontoporia blainvillei*), West Indian manatee

(*Trichechus manatus*), marine otter (*Lontra felina*), blue whales (*Balaenoptera musculus*), and the most endangered marine mammal in the world, the vaquita (*Phocoena sinus*) (Jefferson et al. [2015\)](#page-352-0). In all these cases, anthropogenic impacts limit the recovery and survival of species. However, these anthropogenic pressures also affect other components of marine ecosystems, such as primary productivity and biomass. In these cases, marine mammal abundance is a general indicator of the general health of their environment and the impact of human exploitation and pollution of oceans.

Threats to other global marine ecosystems are also major issues for neotropical LMEs. All these threats are directly or indirectly linked to anthropogenic pressures such as eutrophication, overfshing, bycatch, plastic and organic pollution, and climate change (Abelson et al. [2020](#page-350-0)). However, one of the most signifcant is global climate change, with increases in temperature and decreases in pH as the two key physicochemical factors associated with a cascade of events that threaten marine biodiversity (Henson et al. [2017;](#page-352-0) Lotze et al. [2019\)](#page-353-0). Ocean acidifcation has been particularly detrimental in tropical LMEs. More acidic seawater affects the deposition of Ca+ in the skeleton of corals, the backbone of tropical marine ecosystems (Doney et al. [2020](#page-351-0)). Coral reefs serve as nurseries for fsh and other vertebrate species; therefore, disease and loss of coral are associated with an overall decline in biomass and diversity (Doney et al. [2020](#page-351-0)). Similar to pH, temperature is a major determinant of nutrient circulation and/or infux in marine ecosystems, particularly for those that depend largely on upwelling for primary productivity (Lotze et al. [2019\)](#page-353-0). In the neotropics, this is the case for the Humboldt Current LME and the California Current LME. In these areas, an increase in temperature is associated with a decrease in nutrient upwelling and a decline in primary productivity (Gutierrez et al. [2016;](#page-352-0) Espinosa-Carreón and Escobedo-Urías [2017](#page-351-0)). This leads to a decline in prey for top predators such as marine mammals, and in the case of species with limited dispersion capacity (central place foragers), this translates into a lower intake of high-quality, nutritious prey. These changes can escalate to lower reproductive success and decline of health in these species, as well as to changes in the dynamics of their parasites. Therefore, marine mammal population traits and the trends of their parasites are intimately linked to changes in these LMEs. However, the long-term consequences of climate change on marine mammal populations and their pathogens (viruses, bacteria, fungi, and parasites) are still unclear.

Marine pollution is a serious concern for biodiversity and health in neotropical LMEs. Although it is not fully understood how many of the organic and inorganic pollutants affect sentinel species, the evidence for some of the best studied substances is alarming. For instance, polychlorinated biphenyls and heavy metals have been associated with cancer, immunosuppression, and increased susceptibility to infectious diseases in marine mammals worldwide (Desforges et al. [2016\)](#page-351-0). In the neotropics, research on the impact of these contaminants is accumulating, although concentrations of organic pollutants are usually lower compared to more industrialized regions of the world (Durante et al. [2016;](#page-351-0) Alava et al. [2020\)](#page-350-0). In the case of heavy metals, some populations of neotropical cetaceans exhibit concentrations within the range known to cause immunotoxicity (Alava et al. [2020\)](#page-350-0), raising

concerns of potential health impacts on these species. Less clear is the role of microplastic pollution in the health of marine mammals, although evidence from invertebrates suggests that these particles can be incorporated into animal tissues, which could cause infammation and other physiological changes. Additionally, microplastics can serve as sources or vectors for chemical pollutants such as bisphenol A, heavy metals, and polychlorinated biphenyls (PCBs) (Thushari and Senevirathna [2020\)](#page-355-0), which have known endocrine and immune toxicity effects in mammalian cells (Oehlmann et al. [2009;](#page-353-0) Desforges et al. [2016](#page-351-0)). Microplastics can also adsorb and accumulate antibiotics, suggesting a potential impact on the mammalian microbiome (Fackelmann and Sommer [2019](#page-351-0)), a fundamental factor for immunity and health. For macroplastics, the impacts are usually direct and associated with mortality due to ingestion or entanglement (Jepsen and de Bruyn [2019](#page-352-0); Kühn and van Franeker [2020](#page-353-0)). Macro- and microplastic pollution in LMEs is similar to those of other densely populated regions of the world (Perez-Venegas et al. [2021;](#page-353-0) Orona-Návar et al. [2022\)](#page-353-0). Therefore, the impact of direct mortality and the potential health impacts of microplastics should be an important area of research in the neotropics as well as the study of policies to mitigate the release of plastics into the marine environment.

The impact of large-scale industrial fsheries is a serious concern for most global marine ecosystems. Fisheries can impact the health of marine mammals and other vertebrates through direct interactions during fshing activities or by overfshing key resources for top predators. The neotropics contains the largest single ecosystem producer of seafood on the planet, the Humboldt Current LME, which has faced historical overfshing, leading to the depletion of key resources for marine mammals (Gutierrez et al. [2016;](#page-352-0) Lima et al. [2020](#page-353-0)). Therefore, the decline or lack of recovery of certain species, such as the Peruvian fur seal and the South American sea lion, has been linked to overfshing (Cárdenas-Alayza et al. [2021\)](#page-350-0). Similar concerns have been raised for other neotropical LMEs with strong fshing pressures, such as the Gulf of California LME and the Patagonian shelf LME, although there is still limited information on a direct link between the decline in commercial marine resources and marine mammal health (Arreguín-Sánchez et al. [2017](#page-350-0); Muñoz Sevilla and Le Bail [2017\)](#page-353-0). However, in these ecosystems, as in others around the world with large industrial fsheries, marine mammals suffer the consequences of direct interaction with fsheries through noise pollution or entanglement in fshing gear (Crespo et al. [1997;](#page-351-0) Arreguín-Sánchez et al. [2017](#page-350-0)).

12.3 Marine Mammal Infectious Diseases as Ecosystem Health Sentinels

Healthy ecosystems are usually highly productive and resilient and are formed by complex levels of organization and biodiversity (Rapport et al. [1998](#page-353-0); Rapport and Maffi [2011\)](#page-354-0). All these attributes are difficult to model and measure, but bioindicators or sentinel species contribute to assessing and evaluating these processes.

Sentinels inform the state of ecosystems through the adaptive and nonadaptive changes that ecosystem alteration forces on them. Therefore, depending on the sensitivity to change and the feasibility of collecting sufficient information, marine mammal species can be good or sufficient sentinels. According to the definitions by Hazen et al. ([2019\)](#page-352-0), good ecosystem sentinels are "conspicuous, easily accessible and provide ecosystem information across spatiotemporal scales" (Hazen et al. [2019\)](#page-352-0). Sentinels should also reveal unobserved ecosystem components that are mechanistically linked to the sentinel species. Within this defnition, several marine mammal species are highlighted as excellent sentinels. For instance, fur seals and sea lions (otariids) are large charismatic vertebrates that are relatively easy to access in coastal areas. Since these animals depend exclusively on marine resources, they are obligated to spend long periods in water and, given their foraging plasticity, occupy a wide range of marine ecozones from coastal to pelagic waters (Costa

[2007\)](#page-351-0). Therefore, the prey they consume and the conditions they experience in the ocean signifcantly affect their health and reproductive success (Costa [2007\)](#page-351-0). Similarly, other marine mammal groups, such as cetaceans, also offer valuable information on marine ecosystems at even larger scales. For many of these species, especially large whales, their longevity and extreme migratory patterns can also inform historical or distant processes since they are among the few mammals in the world that travel from tropical to artic ecosystems (Roman et al. [2014](#page-354-0)). However, in contrast to otariids, direct sampling for health assessment in large cetaceans faces several logistical challenges. Fortunately, recent technological developments such as real-time tracking and refnement of laboratory techniques to use small environmental biological samples have contributed to advances in the understanding of cetacean health status in the wild.

Pathogens are one of the main concerns for marine mammal health, and there has been a recent surge in knowledge and understanding of pathogen-host dynamics among marine mammals in the neotropics. This portends a unique opportunity to use not only marine mammal population dynamics and life history traits as sentinels of ecosystem health but also their pathogens, given that they are also key components of marine ecosystems and could be at a higher trophic level than their host. Parasites can be considered predators since they consume host tissues or make use of resources consumed by the host. Therefore, when parasites infect a top predator and feed or replicate at the expense of host tissues, they occupy a top trophic level. This trophic position favors parasite impacts on many species within marine food webs. For instance, the oomycete that causes crayfsh plague (*Aphanomyces astaci*) reduces crayfsh (*Austropotamobius pallipes*) densities, thereby reducing predation pressure on amphipods, while the crayfsh microsporidian parasite *Thelohania contejeani* reduces the ability of the crayfsh to catch its amphipod prey (Hatcher et al. [2012\)](#page-352-0). This highlights the important role of parasites in shaping species interactions. However, parasites not only impact ecosystems but are also very sensitive to changes in the ecosystem. For instance, a decline in Anisakis and strongyle nematode prevalence and burden in northern fur seals (*Callorhinus ursinus*) has been associated with a decline in the main prey item of fur seals, the Alaskan pollock, and an overall decline in fur seal density (Kuzmina et al. [2021\)](#page-353-0). Therefore, similar to the

Fig. 12.1 Marine mammal parasites occupy the top trophic levels in marine food webs; therefore, they are highly sensitive to changes in lower trophic levels that also affect their host. The disease induced by these parasites is particularly suited as ecosystem sentinels since they arise from the interaction between the marine mammal host and the parasite. Different from parasite dynamics, which are harder to track, disease states are easier to assess and observe, even without direct handling of animals

case of other top predators, parasites act as sentinels of ecosystem conditions (Marcogliese [2005](#page-353-0)). However, although parasites are particularly suited to serve as sentinels based on trophic position and sensitivity to ecosystem change, they are small or microscopic lifeforms that require specialized tools to be detected and counted and to assess important ecological traits such as ftness. Fortunately, this disadvantage can be diminished if parasite dynamics and traits are assessed in terms of their impact on the host. Most parasites cause tissue damage, immune activation, disease, and/or mortality in a dose-dependent manner. These parasite effects on the host can be easier to detect and track compared to the assessment of parasite microdynamics. Therefore, monitoring infectious diseases in marine mammals paired with adequate detection and estimation of parasite burden can provide an interesting approximation of broader changes in the marine ecosystem (Fig. 12.1).

12.3.1 Marine Mammal Pathogens in the Neotropics

Marine mammal pathogens are a broad range of organisms ranging from the largest metazoan parasites among mammals (blue whale ascaris and cestodes) to small RNA viruses (e.g., morbillivirus). Compared to terrestrial mammals, less is known about the diversity and health impact of many parasite species, especially marine mammal species that are cryptic and difficult to study, such as pelagic or deep diving cetaceans. However, for pinnipeds and coastal cetaceans, there is a growing body of knowledge on these pathogens in the neotropics. Due to logistical reasons and economic and conservation concerns, these investigations have focused on a handful of species in the neotropics, including California sea lions, Galapagos sea lions, Guadalupe fur seals, South American sea lions and fur seals, bottlenose dolphins, manatees, Guiana dolphins, and Burmeister's porpoises (Table [12.1\)](#page-339-0). Although many metazoan and microbial agents have been described in neotropical marine mammals, the evidence of disease associated with these pathogens is scarce. Therefore, a shorter list of pathogens within major taxa are considered an important health concern for marine mammals given their high morbidity and mortality. This also makes most of these organism species excellent sentinels for marine mammal and aquatic ecosystem health.

12.3.1.1 Viruses

Morbilliviruses are the most signifcant microbial pathogens of marine mammals worldwide. In the neotropics, however, there is still scarce knowledge on the impact of these viruses in free-ranging populations. The best documented case of the tremendous impact these viruses can have occurred in the Rio de Janeiro Bay in Brazil. In this location, hundreds of Guiana dolphins were stranded due to morbillivirus and other coinfections (Groch et al. [2018](#page-352-0) and Cunha et al. [2021\)](#page-351-0). Although morbilliviruses have been found in other cetacean species in the neotropics, their impact in these species is uncertain, as is the role of environmental stress in the presentation of outbreaks. Other viruses reported in neotropical marine mammals include poxviruses, herpesviruses, and papillomaviruses. In these groups, the health impact of poxviruses has been documented in more detail. Poxviruses cause skin lesions in cetaceans ranging from isolated tattoolike lesions to widespread proliferative and ulcerative dermatitis. In association with other pathogens, these viruses have sometimes been indicated to cause poor health and could be contributory factors for stranding and death in cetaceans such as Burmeister's porpoises (*Phocoena spinipinnis)*. They are commonly assumed to be a good estimator of cetacean population health since their lesions can be observed and graded through photographic screening, serving as a sentinel of population health. However, skin lesions can be caused by other pathogens, such as fungi, and careful observation and additional testing are recommended. Other viruses of medical importance in mammals, such as coronaviruses, circoviruses, anelloviruses, and adenoviruses, have been detected in neotropical marine mammals, although their role in clinical disease has yet to be confrmed.

Table 12.1 Infectious agents causing disease among marine mammals in the neotropics **Table 12.1** Infectious agents causing disease among marine mammals in the neotropics

12.3.1.2 Bacteria

Several pathogenic bacteria infect marine mammals in the neotropics. However, *Brucella*, *Mycobacterium*, and *Leptospira* have concentrated most of the research effort given their zoonotic nature and the capacity of these bacteria to cause high morbidity and mortality among marine mammals. *Brucella ceti* is probably one of the most documented pathogenic bacteria of marine mammals in the neotropics. This bacterium causes encephalitis, abortion, discospondylitis, and sepsis in a wide range of cetacean species, and investigation and detection of these pathogens have been particularly active in Costa Rica (Hernández-Mora et al. [2017](#page-352-0)). *Mycobacterium pinnipedii* is endemic in Southern Hemisphere otariids, although it can infect cetaceans and other mammal species, including humans. This bacterium causes chronic granulomatous pneumonia that sometimes progresses to fatal pleuropneumonia with disseminated thoracic granulomas (De Amorim et al. [2014](#page-351-0)). Despite being recognized as a virulent mycobacterium, the population-level impact of this pathogen is not well understood. This is probably because animals develop a chronic disease that potentially affects older age categories, which can be harder to monitor at sea. Leptospirosis in marine mammals is usually associated with serovar Pomona (Colagross-Schouten et al. [2002\)](#page-351-0). This bacterium causes subacute to chronic tubulointerstitial nephritis that can sometimes lead to renal failure and death. In the neotropics, the population-level impact of leptospirosis is not well understood compared to what has been documented in California sea lions in the California current ecosystem (Prager et al. [2020\)](#page-353-0). In these populations, cyclic epidemics can signifcantly impact the recruitment of reproductive males into the population. In South America, *Leptospira interrogans* serovar Pomona causes lesions in South American sea lions (Sepulveda et al. [2015\)](#page-355-0).

12.3.1.3 Fungi and Protozoa

The most recognized fungal pathogen in marine mammals, *Paracoccidioidomycosis ceti* (lobomycosis), is a dimorphic fungus associated with skin lesions and granulomatous and ulcerative dermatitis. At least three species of cetaceans have been identifed, *Tursiops truncatus*, *Tursiops aduncus*, and *Sotalia guianenesis*, which include neotropical species. For many years, it was thought that this was the same agent as lobomycosis in humans and was considered a zoonotic agent. However, recent work has demonstrated that the cause of lobomycosis in cetaceans is a different fungus (Vilela et al. [2016\)](#page-355-0). The presence of this skin pathology is associated with environmental stressors or dysfunction of the immune system (Reif et al. [2008](#page-354-0), [2009](#page-354-0)).

Therefore, its monitoring is a clear example of a noninvasive assessment of the health of the dolphin population and ecosystem health. Reports of lobomycosis have occurred in Perú, Venezuela, Brazil, and Chile (Moreno et al. [2008](#page-353-0); Van Bressem et al. [2008a](#page-355-0), [b](#page-355-0); Bermudez et al. [2009\)](#page-350-0).

In regard to Protozoa, the most relevant marine mammals belong to the family Sarcocystidae. For example, *Toxoplasma gondii* and *Sarcocystis neurona* are parasites of concern among marine mammals in North America, especially marine otters. *T. gondii* has been identifed in the neotropics but not *S. neurona*. *T. gondii* can remain dormant within cysts or undergo replication in tissues and cause necrosis and infammation, which can cause systemic disease and even death. In the neotropics, this type of systemic infection has been observed in Guiana and bottlenose dolphins, a Bryde's whale (*Balaenoptera brydei*), and South American fur seals in Brazil. Although antibodies and *T. gondii* cysts have been identifed in other species, such as marine otters, their role as the agent of disease in these other neotropical species is yet unknown. Similarly, *Sarcocystis* sp. cysts are a common fnding in marine mammal tissues; however, they are generally incidental.

12.3.1.4 Helminths

Helminths are metazoan parasites within the nematode, cestode, and trematode phyla. Helminths are one of the most documented parasites in marine mammals, although there are few studies describing the overall population- and individuallevel health impact of these pathogens. Despite the large diversity of helminth species in marine mammals, a few species of lungworms (metastrongyles), tetrameres, hookworms, and respiratory sinus trematodes are highlighted as some of the most virulent members of this group. Metastrongyles such as *Halocercus brasiliensis* and *Halocercus* spp. cause bronchopneumonia and have been associated as contributors or causes of stranding in odontocete semipelagic and coastal cetaceans (Groch et al. [2020a](#page-352-0), [b](#page-352-0)). Additionally, in these cetacean species, pseudalid metastrongyles such as *Pseudalius infexus* cause arteritis, although their role in mortality is not well established in the neotropics. In otariids, metastrongyles in the *Paraflaroides* genus cause bronchopneumonia and stranding, and in some neotropical pinnipeds, such as the Guadalupe fur seal, *Paraflaroides decorus* causes vasculitis and thrombosis (Seguel et al. [2018b\)](#page-355-0). Tetrameres in the *Crassicauda* genus cause arteritis in several major blood vessels in several deep-diving cetacean species (*Ziphiidae whales*) worldwide. These vascular changes can lead to fatal aortic dissection in some cases, as has been recently found in Brazil (Jerdy et al. [2022\)](#page-352-0). Among trematodes, *Nasitrema* species are highlighted as the most pathogenic. Nasitrema are respiratory sinuses parasites of cetaceans; however, they commonly migrate throughout tissues, including the brain, sometimes causing fatal encephalitis (Phillips and Suepaul [2017\)](#page-353-0). The life cycle of most of these metazoan parasites is not fully elucidated, but epidemiological and trophic analyses suggest that most of them have indirect cycles with infective larvae present in marine mammal food items. Therefore, their overall prevalence and burden can be indicators of the diversity and abundance of certain prey items of marine mammals (Kuzmina et al. [2021\)](#page-353-0). One exception of marine mammal parasites with an indirect life cycle is hookworms, which infect pups exclusively through colostrum (Seguel et al. [2018a](#page-354-0)). Hookworms are common parasites of terrestrial mammals; however, they also infect most otariid and some phocid species. All hookworms of marine mammals belong to the *Uncinaria* genus and cause hemorrhagic enteritis and anemia among pups. Hookworms are the main cause of pup mortality in several fur seal and sea lion rookeries around the globe, including important populations of fur seals and sea lions in the neotropics (Seguel and Gottdenker [2017](#page-354-0)). Other metazoan parasites of concern in marine mammals include anisakid gastric nematodes, hepatic and pancreatic fukes, and gastrointestinal acantocephalan. However, although these parasites can cause local infammatory responses in infected tissues, their impact on individual or population health is uncertain.

12.4 Changes in the Marine Ecosystem and the Health of Marine Mammals in the Neotropics

Despite being exposed to a wide range of virulent pathogen species, marine mammals are among the most successful and long-living mammal groups on the planet. This probably reflects the fact that marine mammals, as their terrestrial counterparts, have evolved effective adaptations to establish an equilibrium with their pathogens and maximize ftness. These adaptations to infection can be generally categorized as resistance or tolerance, and both processes are mediated by the host immune system. Resistance involves an active immune response against pathogenic antigens that can boost effector mechanisms that decrease the burden of infection or eliminate pathogens from the host. A different strategy is tolerance, where the host response focuses on diminishing the tissue damage induced by the parasite, which is often achieved by allowing replication of the parasite. Resistance and tolerance immune mechanisms are context dependent, and their strength and dominance depend on the parasite species, infection burden, site of infection, and energy budget of the host. All these factors can be quite variable for marine mammals amid the complex dynamics of their infectious agents that come from land and the ocean and the seasonality and unpredictability of food resources in the marine environment. Therefore, even if the most evolutionarily stable defense strategy for some parasites is resistance, marine mammals are not always capable of initiating and sustaining these responses, which can lead to disbalanced host-parasite relationships and adverse health outcomes for the host.

Neotropical marine ecosystems are among the most productive on the planet; however, their primary productivity and overall biomass can be highly seasonal and unpredictable. For instance, the primary production in the Humboldt Current Large Marine Ecosystem and the California Current Ecosystem is dependent on the upwelling of cold, nutrient-rich waters (Gutierrez et al. [2016\)](#page-352-0). Therefore, during periods of sea surface warming, upwelling is compromised, and primary productivity decreases. This leads to a decline in species at higher trophic levels, decreasing the total marine biomass. For marine mammals, this translates into dynamic changes in the diversity and availability of prey. However, marine mammal species can adapt to these changes with adequate foraging strategies to maximize the energy budget in these constrained environments (Costa [2007](#page-351-0)). These strategies involve the utilization of alternative prey items and migration to foraging areas with adequate food sources. However, for central place foragers, such as otariids, their reproductive strategies involve prolonged maternal attendance of pups on land. This means that, for these species, their reproductive success depends on adaptations to the resources found near their reproductive colonies. If these resources decline below a certain threshold, these marine mammals cannot compensate for the lower availability of resources and the energy budgets of adults, and therefore, their dependent pups are compromised. Sustained negative energy budgets can lead to improper immune resistance and tolerance mechanisms. For instance, California sea lions (*Zalophus californianus*), South American fur seals (*Arctocephalus australis*), and Galapagos sea lions (*Zalophus wollebaeki*) with lower body conditions and/or blood glucose have milder infammatory responses (Brock et al. [2013](#page-350-0); Vera-Massieu et al. [2015;](#page-355-0) Seguel et al. [2018c](#page-355-0)). Similarly, in years with lower availability of nutritious prey items for South American fur seals, T-cell reactivity and production of protective antibodies decrease, leading to a higher burden of hookworms and virulent bacteria such as streptococci (Seguel et al. [2018c\)](#page-355-0).

12.4.1 Links Between Environmental Change and Marine Mammal Epidemics

Changes in climate and nutrient concentrations, as well as overfshing, can lead to decreases in marine productivity and the availability of prey for marine mammals. For marine mammals with limited spatiotemporal adaptability to these changes or when these changes are severe and widespread, the energy budget of individuals and populations can be decreased. This can lead to decreased immune function, increasing susceptibility to pathogens. Environmental stress from chemical or noise pollution and/or interaction with human activities such as fshing can also infuence immune function and behavior, increasing susceptibility and exposure to pathogens (aggregation or larger groups). Epidemics will arise when susceptibility in a population reaches a determined threshold for a pathogen or when exposure of susceptible individuals is widespread. A combination of these two processes likely plays a role in most marine mammal epidemics (Fig. [12.2](#page-345-0)).

12.5 Selected Infectious Diseases

12.5.1 Dolphin Morbillivirus

Morbilliviruses are members of the Mononegavirales family, which includes negative single-stranded RNA viruses. This virus is one of the most relevant viruses in cetaceans and has caused massive mortality since the end of the 1980s, affecting cetaceans worldwide. For this taxon, the signs are generally detected in the postmortem examination and are related to respiratory symptoms due to secondary

Fig. 12.2 Connection of environmental and host processes that can lead to epidemics in marine mammals

infections. The virus replicates mainly in lymphocytes, epithelial cells, and neurons. Viral replication in lymphoid tissue leads animals to depression of their immune system and the consequent invasion of tissues by other pathogens. The frst report of morbillivirus in Brazil was from a single case in 2010 (Groch et al. [2014\)](#page-352-0). Then, an unusual mortality event (UME) occurred between 2017 and 2018 on the coast of Brazil that affected 263 Guiana dolphins (*Sotalia guianensis*). In addition, between 2010 and 2017, eight stranded southern right whales (*Eubalaena australis*) were analyzed (Groch et al. [2020a](#page-352-0); Groch et al. [2019](#page-352-0)). Cetacean morbillivirus was confrmed in these two species and a killer whale (*Orcinus orca*) (Groch et al. [2020b\)](#page-352-0). Currently, fve clades of cetacean morbillivirus have been recognized: porpoise morbillivirus (PMV), dolphin morbillivirus (DMV), pilot whale morbillivirus (PWMV), and Longman's beaked whale morbillivirus (BWMV). In the last decade, the ffth clade was associated with massive mortalities in Brazil and Australia (Kemper et al. [2016](#page-353-0); Groch et al. [2020a](#page-352-0)). There are not other reports using molecular techniques of morbillivirus in South America's cetaceans. However, serological evidence of cetacean morbillivirus was reported in Peru in the late 1990s (Van Bressem et al. [1998\)](#page-355-0).

12.5.2 Infuenza Virus

Orthomyxoviruses are medium-sized (80–120 nm), segmented genome, pleomorphic, enveloped, negative-sense single-stranded viruses with intranuclear and intracytoplasmic replication. Since 2020, an epizootic of highly pathogenic avian infuenza A (HPAI) (H5N1) clade 2.3.4.4b has been reported, initially affecting wild birds in Asia, Africa, and Europe. In late 2021, the virus was detected in Canada, and in 2022, cases were initially reported in wild birds in the USA. Then, cases of infuenza affecting multiple mammals in the USA, including fox, skunks, and bears, and all cases related to avian-to-mammal transmission, most likely by consumption and/or direct contact with sick birds, were reported (Elsmo et al. [2023](#page-351-0)). In addition, two species of phocids were reported to be positive for H5N1 harbor (*Phoca vitulina*) and gray (*Halichoerus grypus*) seals in the North Atlantic. In this case, researchers believe that the exposure was because of a high accumulation of viral particles in the ecosystem, since no scavenger behavior has been reported for this species (Puryear et al. [2023\)](#page-353-0). Phocids have been reported to be susceptible to several variants of infuenza, and their mortality has been associated since the late 1970s (Lang et al. [1981](#page-353-0); Webster et al. [1981;](#page-355-0) Fereidouni et al. [2016\)](#page-351-0). Therefore, cases of infuenza are relatively common.

On the virus migratory highways reaching South America by the end of 2022, cases of massive mortalities on seabirds were reported (Gamarra-Toledo et al. [2023;](#page-351-0) Leguia et al. [2023\)](#page-353-0). Then, by the middle of the South American summer, the frst report in otariids (*Otaria byrona*) and common dolphin (*Delphinus delphis*) from Perú occurred (Gamarra-Toledo et al. [2023](#page-351-0), Leguia et al. [2023\)](#page-353-0). Prior to 2023, only one peer review report on infuenza virus in otariids was published, based solely on serological evidence author-informed exposure to infuenza B and A in South American fur seals *(Arctophoca australis*) (Blanc et al. [2009](#page-350-0)). Currently, this virus has been confrmed in *Otaria byrona* from Peru and Chile*.* Furthermore, according to Chilean authorities, this virus has caused the death of South American sea lions, marine otters (*Lontra felina*), Burmeister's porpoise (Phocoena spinipinnis), and Chilean dolphin (Cephalorhynchus eutropia) (Table [12.2](#page-347-0)) (SERNAPESCA [2023;](#page-355-0) WAHIS [2023\)](#page-355-0).

12.5.3 Poxvirus

This is large-size enveloped DNA virus with cytoplasmic replication and zoonotic potential. Cutaneous lesions caused by poxvirus in cetaceans are commonly referred to as "tattoo diseases" because they appear to form a tattoo, circle, or ring. These lesions are affected by multiple species of cetaceans. In general, these lesions are self-sufficient, although they can persist for an extended period. Recently, cases have been confrmed in Brazil (Sacristán et al. [2018a,](#page-354-0) [c](#page-354-0)), and in Chile, Burmeister's porpoises have been reported with macroscopic and histological lesions compatible with a poxvirus infection but were not confrmed with molecular techniques (Alvarado-Rybak et al. [2020](#page-350-0)). In the poxvirus family, the genera *Orthopoxvirus* and *Parapoxvirus* are considered potentially zoonotic. Poxvirus in pinnipedes is called "sealpox" and has been reported in several pinnipeds. In California sea lions, the poxvirus that infects them belongs to the genus *Parapoxvirus* and consequently is zoonotic (Waltzek et al. [2012](#page-355-0)). A report of Peruvian South American Sea Lions with pox lesions occurred in the 1970s (Wilson and Poglayen-Neuwall [1971\)](#page-355-0). In

Data from SERNAPESCA ([2023](#page-355-0))

Data from SERNAPESCA (2023)
In clear orange, the marine mammals most affected are indicated. Not all animals tested positive for H5N1 In clear orange, the marine mammals most affected are indicated. Not all animals tested positive for H5N1

addition, skin lesions of similar characteristics have been reported in sea lions in Chile, but no confrmation by molecular or histological techniques has been found in the literature at the time of the present review. Cases of poxvirus have been associated with animals in poor health and poxviral infection could be lethal (Wilson and Poglayen-Neuwall [1971\)](#page-355-0).

12.5.4 Brucellosis

Brucella ceti and *Brucella pinnipedialis* affect marine mammals worldwide*. Brucella* is a gram-negative intracellular bacterium with zoonotic potential. *Brucella ceti* affects cetaceans, and *Brucella pinnipedialis* has been reported in multiple species of pinnipeds. Brucellosis in cetaceans has been associated with various clinical signs and pathological changes, such as abortions, placentitis, and endometritis, and with neurological changes, such as spinal spondylosis, meningoencephalitis, and meningitis. Its transmission is relatively small, and it is believed that it can be a contributing factor to pneumonia in *Stenella coeruleoalba* (González-Barrientos et al. [2010](#page-351-0)). In the neotropics, this pathogen has been reported in Peru, Brazil, and Costa Rica (Guzmán-Verri et al. [2012](#page-352-0); Hernández-Mora et al. [2017](#page-352-0); Attademo et al. [2018;](#page-350-0) Sánchez-Sarmiento et al. [2018,](#page-354-0) [2019](#page-354-0)). In Costa Rica, it is particularly relevant with multiple cases of stranded cetaceans with brucellosis. Furthermore, six species of cetaceans have been reported with *Brucella ceti*, and 70% of stranded striped dolphins (*Stenella coeruleoalba*) have brucellosis (Hernández-Mora et al. [2017\)](#page-352-0). This makes this an endemic pathogen to these populations.

Brucellosis in pinnipeds has not been detected in the neotropics, and all cases are concentrated in the Northern Hemisphere. Interestingly, brucellosis has been detected in Antarctic pinnipeds, the Weddell seal, *Leptonychotes weddellii*, and the Antarctic fur seal, *Arctocephalus gazella* (Retamal et al. [2000\)](#page-354-0). The lack of detection of brucellosis in some populations could be due to sample effort. However, the risk of connecting populations in Antarctica makes this a relevant pathogen for monitoring under climate change and possible changes in the distribution patterns of some species.

12.5.5 Tuberculosis

Tuberculosis is caused by acid-fast gram-positive bacilli belonging to the genus *Mycobacterium*. In cetaceans, *Mycobacterium* has been reported in multiple species; however, it does not belong to the *Mycobacterium tuberculosis* complex (Tryland et al. [2018\)](#page-355-0). In cetaceans, most infections are related to environmental Mycobacterium (Clayton et al. [2012](#page-351-0); Waltzek et al. [2012](#page-355-0)). There have been reports of abscesses, granulomatosis lesions, respiratory lesions, dermatitis, and panniculitis associated to Mycobacterium (Tryland et al. [2012\)](#page-355-0). In the case of pinnipedes,

tuberculosis is caused by *Mycobacterium pinnipedii*, which belongs to the *Mycobacterium tuberculosis* complex. This causes a chronic debilitating disease in pinnipeds and is considered endemic to otariids from South America (Forshaw and Phelps [1991;](#page-351-0) Bernardelli et al. [1996](#page-350-0); Tryland et al. [2018\)](#page-355-0). Its impact on the population remains unclear.

12.6 Remarks: The Need for Data

To generate more data on the effect of infectious diseases in wildlife populations, we need multidisciplinary teams. Some relevant data generated by biologists and ecologists are needed frst to then be able to generate models of the effect of diseases on wildlife populations. In most species, there is no basic information on life history, so further analysis of the effect of infectious diseases is far from possible with traditional tools. For example, for dolphin morbillivirus, a virus that has caused mortalities across the globe, it has not been possible to obtain the basic reproductive number (R0) of the pathogen and to estimate its true impact at a population level. This type of knowledge is scarce in wildlife and it is even more diffcult to generate in marine mammals that inhabit on large hard-to-explore ecosystems. However, estimation using the growth rate of cases has been carried out in other viruses to estimate R0 and could be considered for marine mammal outbreaks (Morris et al. [2015\)](#page-353-0). During the 2013–2014 morbillivitus unusual mortality event in North America, a subsequent analysis generated an R0 using the partial date of the outbreak (Morris et al. [2015](#page-353-0)). However, the complexity of these diseases and their multispecies nature make it diffcult to undertake reservoirs, and the population effect on the different species affects this virus (Jo et al. [2018\)](#page-352-0). In the case of the outbreak reported in South America, this calculation has not been carried out, but this publication could be taken into consideration for the next outbreak or revisit the data obtained during the latest outbreaks.

12.7 Future for Ecosystem and Marine Mammal Population Health

Species related to the Humboldt upwelling large marine ecosystem are extremely resilient to environmental changes. They are evolutionarily adapted to overcome the effect of El Niño–Southern Oscillation (ENSO). There is evidence of the resilience of some species and the capacity for recovery. In the case of South American sea lions, they have been able to increase their population in most of their range, and their population is still growing until the most recent mortality events due to. However, scenarios of multiple impacts on the population could limit the capacity to recover for marine mammal populations. Furthermore, in addition to the

environmental effect of El Niño, which reduces primary productivity, marine mammals sustain the effects of climate change and direct anthropogenic stressors such as overfshing and bycatch. Finally, the impact of pathogens or other potential threats could represent a key element that prevents the recovery of populations or even drives populations to decline. We need work in multidisciplinary teams to be able to model how pathogens under the scenario of climate change can affect ecosystem sentinels.

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Chapter 13 Tick-Borne Microorganisms in Neotropical Vertebrates

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13.1 Introduction

Ticks (Acari: Ixodida) are cosmopolitan ectoparasites of terrestrial and semi-aquatic vertebrates and harbor a plethora of microorganisms (Guglielmone et al. [2014;](#page-383-0) Guglielmone and Robbins [2018\)](#page-383-0). As blood-feeding parasites, ticks are the primary vectors of pathogens for domestic and wild animals and the second most important vectors of disease to humans, surpassed only by mosquitoes (Nicholson et al. [2019\)](#page-386-0). Ticks can also produce lesions of different degrees in the host, such as simple focal dermatitis to severe pathologies affecting different organs, paralysis, or death in some cases (Guglielmone et al. [2023](#page-383-0)). With few exceptions, the transmission of microorganisms (MOs) from a tick to a host occurs through salivary fuids inoculated during the meal (Nicholson et al. [2019\)](#page-386-0). In the Neotropical Zoogeographical Region (NZR), ticks are known to transmit bacteria and protozoans (Barros-Battesti et al. [2006;](#page-380-0) Guglielmone et al. [2021\)](#page-383-0). Nematodes, which are also transmitted by a few ticks (e.g., *Ornithodoros tartakovsky i* vector of *Acanthocheilonema vitae*), are unknown in neotropical ticks, probably because of lack of research. Ticks also transmit viruses to their hosts (Kazimírová et al. [2017\)](#page-384-0), but similar to tick-borne

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nematodes, the evidence on viruses transmitted by ticks in the NZR is vague. Indeed, only two viruses have been isolated, Punta Salinas and Huacho strains from *Ornithodoros amblus* of Peru (Clifford et al. [1980\)](#page-381-0), and recent studies have explored the viromes of *Antricola delacruzi* in Brazil (Blomström et al. [2019\)](#page-381-0) and hard ticks (Ixodidae) in Colombia (Orozco et al. [2021\)](#page-387-0). It is therefore not unexpected that the knowledge of tick-borne microorganisms in neotropical vertebrates also concentrates bacteria and protozoans rather than viruses or nematodes.

The study of tick-borne microorganisms in animal hosts can be performed by serological or genetic techniques or through the isolation of the agents. For instance, isolating bacterial agents facilitates the implementation of local strain antigens in serological assays. However, in the NZR, few organisms transmitted by native species of ticks have been isolated, with few exceptions of *Rickettsia* and *Borrelia* spp., which have been isolated in Brazil. Although isolates are available, few tick-borne agents have complete chromosomal genomes (Kneubehl et al. [2022\)](#page-384-0) or have been successfully cultured (Hun et al. [2011;](#page-384-0) Pinter et al. [2008](#page-387-0); Labruna et al. [2007](#page-384-0), [2017;](#page-384-0) Pacheco et al. [2009;](#page-387-0) Bermúdez et al. [2021;](#page-381-0) Zaldívar et al. [2021](#page-390-0)). Detection of antibodies against *Rickettsia* spp. harbored by ticks has been performed in wild mammals; however, the results may yield cross-reactions and might be carefully interpreted (Miranda et al. [2011;](#page-385-0) Bermudez et al. 2017a, [b;](#page-381-0) Londoño et al. [2017\)](#page-385-0). The detection of antibodies against further agents is discussed below in the respective sections.

The bulk of data regarding tick-borne microorganisms in neotropical vertebrates comes through real-time and conventional [polymerase chain reaction](https://en.wikipedia.org/wiki/Polymerase_chain_reaction) (PCR) and further sequencing of the amplicons. Using these approaches, we currently know that in the NZR, tick-transmitted microorganisms engage their cycles with mammals and birds. However, most of the data regarding tick-borne microorganisms are obtained by sequencing amplicons directly from tick-extracted genomic DNA and should be carefully interpreted. Indeed, the fact that ticks submitted to DNA extraction are often collected either on their hosts or free living precludes conclusions whether the detected microorganisms were associated with the tick or came from

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the blood of their hosts. Moreover, to amplify and sequence amplicons does not prove that an agent was alive. Instead, it only gives evidence on the presence/ absence of a determined microorganism in a sample.

This chapter aims to summarize and discuss the current knowledge on the microorganisms transmitted or potentially transmitted by ticks that have been detected or isolated in neotropical vertebrates with two exceptions. First, although *Coxiella burnetii* could be transmitted experimentally by *Amblyomma mixtum* in Panama (Rodaniche and Rodaniche [1949](#page-387-0)) and has been isolated from *Amblyomma tigrinum* in Argentina (Pacheco et al. [2013](#page-387-0)), ticks are not the primary source of infection for this cosmopolitan pathogen (Duron et al. [2015\)](#page-382-0). Therefore, *C. burnetii* is not included in this chapter. Second, protozoans of the genus *Hepatozoon* are transmitted to vertebrates through the ingestion of infected ticks and other hematophagous invertebrates that harbor oocysts (Smith [1996\)](#page-388-0). While in the NZR, DNA of *Hepatozoon* has been detected in wild vertebrates (i.e., reptiles, rodents, racoons, foxes), compelling evidence indicating that these protozoans are naturally transmitted by ticks from the region is lacking. Therefore, further studies should seek oocysts in the hemolymph of ticks but also mites, feas, mosquitoes, and leeches to shed light on the vectors (Bennett et al. [1992;](#page-380-0) Smith [1996\)](#page-388-0).

13.2 Hosts, Ticks, and Transmitted MO

Not all animals can harbor the agents that a given tick species can transmit during a meal. In fact, tick-borne MOs spread under natural conditions only if they infect competent or primary hosts. While the primary host is essential to maintain the transmission of a given MO and becomes chronically infected, secondary or incidental hosts are not essential for a MO's life cycle but contribute to its amplifcation (Barker and Reisen [2019](#page-380-0)). An amplifying vertebrate host (AVH) multiplies the MO and the number of infected vectors in an area and generally does not remain infected for long periods (Barker and Reisen [2019\)](#page-380-0). The vector is defned as the "carrier" of a MO from one host to another (Barker and Reisen [2019](#page-380-0)). However, to be considered a vector, an arthropod must have intrinsic susceptibility to become infected, replicate, and transmit a given MO. That is to say, to become infected the vector must (1) acquire the MO by feeding on an AVH in which the MO circulates, (2) replicate the MO, and (3) transmit the MO to an uninfected susceptible host (Barker and Reisen [2019](#page-380-0)). This phenomenon is also called horizontal transmission. Vectors, especially ticks, also have an intrinsic amplifying mechanism called vertical transmission (VT). Two forms of VT occur: transstadial and transovarian. The frst refers to the sequential passage of the MO acquired in one evolutionary tick stage (larva, nymph, or adult) to the next stage during molting. The second is related to the ability of the MO to colonize the ovarian tissue of female ticks and pass on to progeny during oogenesis (Barker and Reisen [2019](#page-380-0)).

Despite replicating inside ticks or susceptible vertebrates, tick-borne MOs are limited above all to the geographic range and seasonal biology of the vector. In the case of ticks, annual fuctuation is characterized by alternating periods of peak activity (host search, feeding, and post-feeding behavior) and inactivity (quiescence and diapause) (Sonenshine and Roe [2014](#page-389-0)). Hence, environmental variables such as temperature and saturation defcit rule tick biology and indirectly their harbored MO (Estrada peña et al. 2014). Tick-borne MOs discussed below propagate on vertical and horizontal pathways. The records in wild neotropical vertebrates are listed in Table [13.1.](#page-360-0)

13.2.1 Anaplasmataceae **(Anaplasma***,* **Ehrlichia***,* **"Candidatus** *Neoehrlichia***")**

The Anaplasmataceae family belongs to the Alpha subclass of Proteobacteria and comprises host-associated bacteria that replicate intracellularly (Kersters et al. [2006\)](#page-384-0). The family is composed of four genera (*Anaplasma*, *Ehrlichia*, *Neorickettsia*, and *Wolbachia*) (Yu and Walker [2006](#page-390-0)), with candidate genera being progressively described based on genetic characterizations (Kawahara et al. [2004;](#page-384-0) Eshoo et al. [2015\)](#page-382-0). In particular, *Anaplasma*, *Ehrlichia*, and "*Candidatus* Neoehrlichia" are transmitted by ticks of the genera *Amblyomma*, *Dermacentor*, *Ixodes*, *Hyalomma*, or *Rhipicephalus* (Ixodidae) (Rar and Golovljova [2011](#page-387-0)). While under laboratory conditions transovarian transmission has been demonstrated for some species such as *Anaplasma platys* and *Anaplasma phagocytophilum* (Baldrige et al. [2009;](#page-380-0) Snellgrove et al. [2020\)](#page-388-0), it is believed that most *Anaplasma* spp., *Ehrlichia* spp., and "*Ca*. Neoehrlichia" spp. do not infect tick progeny (Rar and Golovljova [2011\)](#page-387-0). Instead, these three genera of intracellular bacteria invade mammalian blood or endothelial cells, causing a persistent infection that guarantees circulating bacteria when the vectors feed upon vertebrate hosts.

Although the majority of the evidence regarding the animals that these bacteria infect indicates that mammals and their associated ticks are the most important hosts globally, molecular detection of *Anaplasma* and *Ehrlichia* in bird organs or blood suggests that these bacteria could also be associated with avian hosts (Machado et al. [2012](#page-385-0); Muñoz-Leal et al. [2019\)](#page-386-0). Eight species are currently classifed in the genus *Anaplasma* (Rar et al. [2021](#page-387-0)), six in *Ehrlichia* (Rar and Golovljova [2011;](#page-387-0) Aguiar et al. [2019\)](#page-380-0), and three in "*Ca*. Neoehrlichia" (Rar and Golovljova [2011;](#page-387-0) Müller et al. [2018](#page-386-0)). However, the richness of the group seems to be far higher. In fact, multiple strains deserving further characterization have been described in ticks and vertebrates worldwide (Table [13.1](#page-360-0)). Particularly in the NZR, *Anaplasma* and *Ehrlichia* strains have been reported in domestic and wild animals (André et al. [2012;](#page-380-0) Widmer et al. [2011](#page-389-0); Almeida et al. [2013](#page-380-0); Soares et al. [2017a,](#page-389-0) [b](#page-389-0); de Oliveira et al. [2020;](#page-386-0) Lopes et al. [2018](#page-385-0); Muñoz-Leal et al. [2019](#page-386-0); Calchi et al. [2020](#page-381-0); Orozco et al. [2020;](#page-386-0) Félix et al. [2021](#page-382-0); Tarragona et al. [2022,](#page-389-0) [2023;](#page-389-0) Sebastian et al. [2022\)](#page-388-0).

Genetic sequences of Anaplasmataceae obtained from scansorial and terrestrial xenarthrans diverge from known species and have been named "*Candidatus* Anaplasma brasiliensis" and "*Candidatus* Anaplasma amazonensis" (Calchi et al.

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"Abreviation: PCR, polymerase chain reaction; IFA, immunofluorescence assay; ELISA, enzyme-linked immunosorbent assay; WB, western blot; aAbreviation: PCR, polymerase chain reaction; IFA, immunofuorescence assay; ELISA, enzyme-linked immunosorbent assay; WB, western blot; I&C, isolation and/or culture; M, microscopic observation of blood smears. I&C, isolation and/or culture; M, microscopic observation of blood smears.

[2020\)](#page-381-0). Moreover, two yet-to-be isolated ehrlichiae, "*Candidatus* Ehrlichia hydrochoerus" and "*Candidatus* Ehrlichia dumleri", were proposed as novel taxa in Brazil based on multilocus genetic characterizations performed on capybara (*Hydrochoerus hydrochaeris*) and coati (*Nasua nasua*) blood (Vieira et al. [2022;](#page-389-0) Perles et al. [2022](#page-387-0)) Still, "*Candidatus* Ehrlichia pampeana" is a putatively new species detected in gray brocket deer (*Mazama gouazoubira*) in Uruguay (Felix et al. [2021\)](#page-382-0). Captive and wild carnivores were also positive for Anaplasmataceae in Brazil (André [2018](#page-380-0)), indicating that strains closely related to *A. phagocytophilum* and *Ehrlichia chaffeensis* do infect or circulate among these animals (Table [13.1\)](#page-360-0).

In the NZR and Paleartic Zoogeographical Regions, deer are important hosts of *A. phagocytophilum* and *E. chaffeensis*, two zoonotic agents transmitted chiefy by ticks of the genus *Ixodes* (Rar and Golovljova [2011;](#page-387-0) Rar et al. [2021](#page-387-0)). For instance, in North America (NZR), the white-tailed deer (*Odocoileus virginianus*) has been extensively studied because of its role as a reservoir of these two pathogens (Rar and Golovljova [2011;](#page-387-0) Rar et al. [2021\)](#page-387-0). However, the white tailed deer has a wide distribution in the American Continent, extending towards Mexico and northern South America. Hence, it is not unexpected that genetic screenings aiming to detect DNA of *A. phagocytophilum* and *E. chaffeensis* also yielded positive results in Mexican populations of this deer species (Ojeda-Chi et al. [2019](#page-386-0)). However, further genetic characterizations are needed on these records since only short 16S rRNA gene sequences for both agents were obtained (Ojeda-Chi et al. [2019](#page-386-0)), which also the case for sequences of Anaplasmataceae (not available in GenBank) retrieved from marsh deer (*Blastocerus dichotomus*) in northern Argentina (Orozco et al. [2020](#page-386-0)) (Table [13.1\)](#page-360-0). An extended genetic characterization would be appropriate to elucidate the diversity of strains that circulate in neotropical cervids (and other vertebrates). For example, studies regarding the genetic diversity of *A. phagocytophilum* have shown that the bacterium splits into four ecotypes scattered along the Northern Hemisphere (Rar et al. [2021](#page-387-0)). Interestingly, a ffth ecotype was recently characterized in pudus (*Pudu puda*), an associated tick species from Chile (Santodomingo et al. [2023](#page-388-0)), demonstrating that the bacterium occurs in similar ecological scenarios involving *Ixodes* spp. that parasitize deer and rodents in the Southern Hemisphere as well. Although in the NZR reports of *Anaplasma* including *A. phagocytophilum* and *Ehrlichia* strains do point rodents as carriers of the agents (Benevenute et al. [2017\)](#page-380-0), the lack of extended genomic characterization and isolation of the bacteria precludes to understand the role of these mammals in the enzootic cycles of these bacteria.

While recent studies highlight that Anaplasmataceae agents that circulate in the Northern Hemisphere also thrive in similar ecological niches in South America (Müller et al. [2018](#page-386-0); Santodomingo et al. [2023\)](#page-388-0), others seem to challenge what is known regarding their common biological cycles. For instance, *Ehrlichia* spp. closely related to *Ehrlichia canis* have been recurrently detected in wild animals of the NZR, mainly in carnivores (André et al. [2012\)](#page-380-0) but also in birds (Machado et al. [2012\)](#page-385-0), primates (Mafra et al. [2015;](#page-385-0) Candido et al. [2023\)](#page-381-0), marsupials (Guimaraes et al. [2019](#page-383-0)), and hogs (Sant et al. [2022](#page-388-0)). At least in carnivores, this fact has been confrmed by seroconversion against *E. canis* antigens (de Sousa et al. [2017\)](#page-382-0).

Ehrlichia canis is a pathogen transmitted mainly by *Rhipicephalus sanguineus* sensu lato ticks that usually parasitize domestic dogs in tropical and subtropical regions of the globe (Rar and Golovljova [2011\)](#page-387-0). Infection of wild canids with *E. canis* has been reported worldwide (André [2018\)](#page-380-0). However, how *E. canis* can reach primates, marsupials, hogs, or even birds is still controversial. Nevertheless, the tick *R. sanguineus* sensu lato parasitizes synanthropic wild animals if available; therefore, it has been hypothesized that *E. canis* could infect uncommon hosts as a consequence of spillover from domestic dogs living nearby (André [2018](#page-380-0)). Although probable, this hypothesis needs further assessment.

Finally, evidence of the genus "*Ca.* Neoehrlichia" is incipient in neotropical mammals. In fact, the sole species corresponds to "*Candidatus* Neoehrlichia chilensis" characterized from organs of wild rodents in Chile (Müller et al. [2018](#page-386-0)). As "*Ca.* Neoehrlichia" bacteria are transmitted by *Ixodes* spp. (Rar and Golovljova [2011\)](#page-387-0), a better understanding of the possible species existing in the NZR would be gained by targeted surveillance on the animals exploited by ticks of this genus.

13.2.2 **Rickettsia**

The genus *Rickettsia* comprises a group of obligate intracellular gram-negative rods that reside free inside the cytoplasm of eukaryotic host cells, with some species also reaching the nucleus (Fang et al. [2017\)](#page-382-0). *Rickettsia* spp. harbored by ticks and other ectoparasites are usually classifed into four phylogenetic groups: the spotted fever group (SFG), the typhus group (TG), the transitional group, and the ancestral group (Fang et al. [2017\)](#page-382-0). The SFG is the largest one and includes several pathogenic species, such as *Rickettsia rickettsii*, *Rickettsia parkeri*, *Rickettsia africae*, *Rickettsia slovaca*, and other species of unknown pathogenicity. The TG is composed of two species, *Rickettsia prowazekii* and *Rickettsia typhi*, both human pathogens and transmitted by lice and feas, respectively. The transitional group includes few species, some with clinical importance such as *Rickettsia akari* and *Rickettsia australis*. Finally, the ancestral group includes two nonpathogenic species, *Rickettsia bellii* and *Rickettsia canadensis* (Fang et al. [2017\)](#page-382-0).

In the NZR, more than 20 species of *Rickettsia* have been reported in ticks and feas, including formally described species, candidate species and strains, and the records of those detections are stated in Table [13.1](#page-360-0) (Labruna et al. [2011a;](#page-384-0) Venzal and Nava [2011](#page-389-0); Bermúdez and Troyo [2018](#page-381-0); Charles et al. [2021\)](#page-381-0). *Rickettsia rickettsii* is the most important infectious agent of the genus, which causes febrile symptoms that can be fatal in humans if not treated (Valbuena [2010;](#page-389-0) Hidalgo et al. [2013](#page-383-0)). In the NZR, species such as *R. bellii*, *R. rickettsii*, *R. parkeri*, *Rickettsia rhipicephali*, *Rickettsia amblyommatis*, and *Rickettsia felis* have been isolated (Labruna et al. [2004,](#page-384-0) [2007](#page-384-0), [2017](#page-384-0); Pinter and Labruna [2006;](#page-387-0) Szabó et al. [2013](#page-389-0)), and their antigens have been employed for the detection of anti-*Rickettsia* antibodies in birds, wild rodents, lagomorphs, marsupials, carnivores, and cervids (Fuentes [1986](#page-382-0); Horta et al. [2009;](#page-383-0) Miranda et al. [2011;](#page-385-0) Rodriguez-Vivas et al. [2013;](#page-387-0) Londoño et al. [2017;](#page-385-0)

Bermúdez et al. 2017a, [b](#page-381-0); Ojeda-Chi et al. [2018;](#page-386-0) Serpa et al. [2021,](#page-388-0) Table [13.1\)](#page-360-0). However, the evidence from serological tests gives little specifcity for a determined rickettsial antigen (Hechemy et al. [1989\)](#page-383-0). Therefore, many of these records only indicate exposure to a given *Rickettsia* spp. and do not always mean infection.

Most of the knowledge on the epidemiology of *R. rickettsii* in South America comes from studies developed in Brazil, where the bacterium is endemic. The recent spread and increase of capybara (*Hydrochoerus hydrochaeris*) populations in the State of São Paulo (Brazil) triggered substantial research to study the role of this large rodent as an AVH of *R. rickettsii*, which is transmitted to capybaras and humans by the vector tick *Amblyomma sculptum* (Luz et al. [2017\)](#page-385-0). In the last decades, the abundance of sugarcane plantations to which capybaras have access for meals not only boosted populations of this rodent but also increased the abundance of *R. rickettsii*-infected *A. sculptum*, which populations currently overlapping urban areas in Brazil (Luz et al. [2017](#page-385-0)).

Similar to capybaras, opossums (*Didelphis aurita*) are also AVHs for the horizontal transmission of *R. rickettsii* (Horta et al. [2009](#page-383-0)), and the bacterium also infects *Amblyomma aureolatum*, in which it perpetuates transstadially and transovarially (Labruna et al. [2011b\)](#page-384-0). Interestingly, larvae and nymphs of this tick are parasites of birds, and adults feed on carnivores (Luz et al. [2017;](#page-385-0) Barbieri et al. 2014). Although the transmission of *R. rickettsii* from infected *A. aureolatum* to birds or wild carnivores has not been demonstrated, domestic dogs were experimentally infected through *A. aureolatum* bites and served as amplifers capable to infect naïve ticks (Binder et al. [2021](#page-381-0)). This fact suggests that wild carnivores that occur in areas where *R. rickettsii*-infected *A. aureolatum* thrive could also act as amplifer hosts. On the other hand, birds seem to be refractory to *Rickettsia* infection likely because of their elevated corporal temperature (Gillen [2014](#page-383-0)). Regarding rodents, the liver and spleen of several cricetid species were positive after screening for *Rickettsia* spp. using a highly sensitive real-time PCR protocol (Table [13.1\)](#page-360-0); however, attempts to obtain further genetic data from the positive animals to identify the agents were unsuccessful, possibly because of the low amount of target DNA (Serpa et al. [2021](#page-388-0)). For instance, while sequences of *R. felis* were retrieved from DNA extracted from the spleen of a gracile opossum in Brazil (*Gracilinanus agilis*) (Serpa et al. [2021\)](#page-388-0), the role of small mammals as amplifers or reservoirs of *Rickettsia* spp. demands further research.

13.2.3 **Borrelia**

Tick-borne spirochetes in the genus *Borrelia* are globally distributed and thrive in enzootic cycles, switching infections between ticks and tick hosts (Margos et al. [2022\)](#page-385-0). Currently, the genus is divided into three discrete phylogenetic groups: the Lyme disease group (*Borrelia burgdorferi* sensu lato, LDG), the relapsing fever group (RFG), and a group associated with echidna, reptiles, and birds (Binetruy et al. [2020](#page-381-0); Margos et al. [2022](#page-385-0); Lopez et al. [2023](#page-385-0)). Moreover, a novel monophyletic

clade intercalating between the LDG and RFG species has been recently characterized upon blood and organs of neotropical bats (Muñoz-Leal et al. [2021](#page-386-0); Lopez et al. [2023;](#page-385-0) Jorge et al. [2023\)](#page-384-0). While in the majority of cases, LDG and RFG borreliae are transmitted by hard (Ixodidae) and soft bodied (Argasidae) ticks, respectively (Talagrand-Reboul et al. [2018\)](#page-389-0), there are some exceptions. Indeed, few RFG spirochetes survive in hard ticks, which is the case of *Borrelia theileri* and *Borrelia miyamotoi,* transmitted by *Rhipicephalus microplus* and *Ixodes scapularis* respectively (Margos et al. [2022\)](#page-385-0).

While the role of wild vertebrates in the biological cycle of LDG spirochetes has been widely studied in the endemic areas where Lyme disease occurs (i.e., Northern Hemisphere), less is known regarding wild animals as hosts of LDG spirochetes in the NZR. Although LDG spirochetes are yet to be isolated from vertebrate hosts in the NZG, molecular detections performed in rodents from Brazil, Chile, Colombia, and Mexico (Colunga-Salas et al. [2020a](#page-381-0), [b;](#page-382-0) Thomas et al. [2020](#page-389-0); Mancilla-Agrono et al. [2022;](#page-385-0) Weck et al. [2022](#page-389-0)) suggest that as in the Northern Hemisphere, small mammals would be important hosts for these spirochetes. Interestingly, in the NZG most of the detected LDG *Borrelia* spp. correspond to previously uncharacterized taxa. A good example of this is "*Candidatus* Borrelia paulista," which was identifed using a multilocus sequencing typing scheme from organs of an *Oligoryzomys* mouse in Brazil (Weck et al. [2022](#page-389-0)). Moreover, LDG *Borrelia* have been detected in cricetid rodents (Cricetidae) from Chile (Thomas et al. [2020\)](#page-389-0) and Mexico (summarized in Colunga-Salas et al. [2020a](#page-381-0), [b](#page-382-0)). Notably, haplotypes of *Borrelia faB* gene 99–100% identical with *B. burgdorferi* sensu stricto were described in native and exotic mice in Colombia (Mancilla-Agrono et al. [2022\)](#page-385-0). However, the report needs further evaluation because sequences submitted to analyses were rather short (<274 pair bases), precluding a specifc identifcation. Similarly, the molecular evidence pointing to a high prevalence (16.6–42.5%) of *Borrelia* DNA in organs from synanthropic rodent species (*Mus musculus*, *Rattus rattus*) in Colombia and Mexico (Solís-Hernández et al. [2016;](#page-389-0) Mancilla-Agrono et al. [2022](#page-385-0)) should be carefully interpreted, since other studies seeking DNA of these spirochetes in synanthropic rodents have shown null or low $\left($ <10%) prevalence (Hornok et al. [2015](#page-383-0); Lau et al. [2020](#page-384-0)), and the detection of DNA does not imply that the rodents have a role in the *Borrelia* cycle.

In the Northern Hemisphere, *B. burgdorferi* sensu lato, the agent of Lyme disease, perpetuates in enzootic cycles involving *Ixodes* spp. as vectors that transmit spirochetes to rodents, which are common hosts for immature tick stages and competent hosts for spirochetes (Wolcott et al. [2021\)](#page-389-0). Adult ticks involved in this enzootic cycle parasitize cervids, which in turn are refractory to *Borrelia* infection (Kurokawa et al. [2020\)](#page-384-0). A similar epidemiological scenario occurs in southern South America, particularly in Chile, where *Borrelia chilensis*, a species of LDG, was isolated from *Ixodes stilesi* (Ivanova et al. [2014\)](#page-384-0), a tick that parasitizes cricetid rodents and cervids such as pudus (*Pudu puda*) (Guglielmone et al. [2023\)](#page-383-0). Interestingly, genetic screenings searching for *Borrelia* DNA in the blood and organs of pudus carrying *B. chilensis*-positive ticks were negative (Verdugo et al. [2017;](#page-389-0) Santodomingo et al. [2022\)](#page-388-0), reinforcing the evidence that cervids are not reservoirs of LDG spirochetes. However, the animals could be exposed when infected ticks inoculate the spirochetes during the meal. The fnding of LDG *Borrelia* antibodies in white-tailed deer (*Odocoileus virginianus*) in Mexico also supports such an exposure (Martínez et al. [1999](#page-385-0)).

Interest in studying RFG *Borrelia* in the NZR began in Panama and Colombia in the early twentieth century because of recurrent episodes of fever in workers of the Canal Zone and Emerald mines, respectively (Lopez et al. [2016](#page-385-0); Faccini-Martínez and Botero-García [2016](#page-382-0); Faccini-Martínez et al. [2022\)](#page-382-0). In both cases, entering to dense tropical forests exposed people to novel agents transmitted by hematophagous parasites, including ticks. At the time, *Ornithodoros rudis* was identifed in both foci as the vector or *Borrelia venezuelensis* (Muñoz-Leal et al. [2018](#page-386-0)). Although ticks biting humans were collected and spirochetes isolated, vertebrate tick hosts acting as reservoirs of *B. venezuelensis* in nature are still an unsolved question in the NZR, probably because of a lack of effort. Finding spirochetes in animals could be easier than expected since RFG *Borrelia* reach high concentrations in the blood of competent hosts, favoring their detection in blood smears observed with dark-feld microscopy or stained with Giemsa. Indeed, early surveys seeking RFG spirochetes in Panama targeted the blood of primates, cingulates, and marsupials and detected the bacteria in squirrels, monkeys, opossums, and armadillos (Dunn and Clark [1933\)](#page-382-0). However, at that time, the identity of the spirochetes was not determined by molecular tools. A gap of at least 80 years separates those studies from current fndings in wild animals, which include the detection by PCR of a *Borrelia* sp. phylogenetically closely related with *B. venezuelensis* in organs and blood of bats and rodents from Colombia (Mancilla-Agrono et al. [2022\)](#page-385-0). However, in that study, the generated sequences were short, precluding accuracy in the identifcation of the species.

Although the circulation of *B. venezuelensis* in bats needs further confrmation, recent studies unveiled a novel monophyletic group of *Borrelia* spp. in bats from Colombia and Brazil (Muñoz-Leal et al. [2021;](#page-386-0) Lopez et al. [2023](#page-385-0); Jorge et al. [2023\)](#page-384-0). In Colombia, hundreds of spirochetes were previously observed in blood smears of one of the positive species, Seba's short-tailed bat (*Carollia perspicillata*), suggesting that the spirochetes belonged to the RFG (Marinkelle and Grose [1968\)](#page-385-0). However, phylogenetic studies now indicate that the group of spirochetes detected in neotropical bats compounds a sister group to the RFG species (Muñoz-Leal et al. [2021;](#page-386-0) Lopez et al. [2023](#page-385-0); Jorge et al. [2023](#page-384-0)).

Species of RFG *Borrelia* that have been isolated from infected ticks collected in the wilderness correspond to *B. venezuelensis*, *Borrelia puertoricensis*, and "*Candidatus* Borrelia caatinga" (Muñoz-Leal et al. [2018;](#page-386-0) Bermúdez et al. [2021;](#page-381-0) Oliveira et al. [2023\)](#page-386-0). The fact that ticks positive for *B. venezuelensis* (*O. rudis*) were collected in abandoned bird nests suggests that avian hosts could harbor the spirochete in Brazil. Nevertheless, bats and rodents could also occupy bird nests as shelters and become bitten by infected *O. rudis* (Muñoz-Leal et al. [2018\)](#page-386-0), supporting that *B. venezuelensis* could infect bats and rodents as well. On the other hand, in Panama, agouties (*Dasyprocta punctata*) could be hosts for *B. puertoricensis* since ticks were collected from burrows of this rodent (Bermúdez et al. [2021\)](#page-381-0). The third species, "*Ca*. B. caatinga", could be circulating in rodents (*Kerodon rupestris*), with which the infected *Ornithodoros* sp. associates in the Brazilian Caatinga (Oliveira et al. [2023\)](#page-386-0).

Borrelia spp. in wild neotropical birds have not been documented. However, *Borrelia anserina* transmitted by *Argas miniatus*, a tick species with a distribution in the NZR (Muñoz-Leal et al. [2018](#page-386-0)), was isolated from domestic fowl infested by *A. miniatus* in Brazil (Marchoux and Salimbeni [1903;](#page-385-0) Ataliba et al. [2007](#page-380-0)). Therefore, localizing wild population of birds infested with *A. miniatus* should be taken into account to fnd possible bird species acting as reservoirs of *B. anserina* in the NZR.

*13.2.4 Piroplasmida (***Babesia***,* **Cytauxzoon***,* **Rangelia***, and* **Theileria***)*

Piroplasmida (*phylum* Apicomplexa) is an order of hemoparasitic protists transmitted among vertebrates exclusively by ticks and is composed of four genera: *Babesia*, *Cytauxzoon*, *Rangelia*, and *Theileria* (França et al. [2014](#page-382-0); Jalovecka et al. [2018\)](#page-384-0). Piroplasmids have complex life cycles and alternate infections between ticks and vertebrates, and depending on the genus, they invade erythrocytes, leukocytes, or endothelial cells, provoking disease or not (Jalovecka et al. [2018\)](#page-384-0). Merozoites, which multiplicate and invade different blood cells of their vertebrate hosts, are usually detected by microscopic observation of blood smears and constitute one of the asexual phases of parasites (Jalovecka et al. [2018\)](#page-384-0). Sexual reproduction starts within the hosts' erythrocytes with the development of gametocytes that, after blood uptake, turn into gametes inside the tick gut (Jalovecka et al. [2018](#page-384-0)). Fertilization occurs, and the parasites' kinetics invade the tick salivary glands, undergo maturation, and fnally shift into sporozoites, which are released into tick saliva and inoculated into the vertebrate host during the meal (Jalovecka et al. [2018\)](#page-384-0). This general life cycle applies to *Babesia* and *Theileria* (Jalovecka et al. [2018](#page-384-0)) and presumably to *Cytauxzoon* and *Rangelia*. Piroplasmids have transstadial perpetuation and *Babesia*, *Theileria*, and *Rangelia* transovarial transmission in their vector ticks.

From a systematic point of view, the genus *Babesia* is paraphyletic and includes 11 groups (Ikeda et al. [2021;](#page-384-0) Santodomingo et al. [2022](#page-388-0)), with *Cytauxzoon* and *Theileria* intercalating between *Babesia* sensu *lato* and *Babesia* sensu stricto clades (Jalovecka et al. [2019](#page-384-0)), whereas the genus *Rangelia* is to date endemic from South America and composed of only one species (*Rangelia vitalii*) that infects canids and branches within the *Babesia* sensu stricto group (França et al. [2014;](#page-382-0) Soares et al. [2014\)](#page-388-0). While cases of piroplasmosis in mammals caused by *Babesia*, *Theileria*, or *Cytauxzoon* have been reported in tropical, temperate, and cold regions globally and the parasites are transmitted by ticks of the genera *Ixodes*, *Dermacentor*, *Amblyomma*, or *Rhipicephalus* (Alvarado-Rybak et al. [2016](#page-380-0); Sebastian et al. [2022\)](#page-388-0), infection with *R. vitalii* has been reported only in wild carnivores from South America, and its vector corresponds to the hard tick *A. aureolatum* (Soares et al. [2014;](#page-388-0) Fredo et al. [2015](#page-382-0); Silveira et al. [2016a](#page-388-0), [b\)](#page-388-0). In addition to mammals, birds, including penguins, are also hosts of piroplasmids, specifcally of the genus *Babesia* (Montero et al. [2016](#page-386-0); Jalovecka et al. [2019\)](#page-384-0). However, in the NZR, species of *Babesia* have been detected by PCR or observed in blood smears exclusively in wild (and domestic) mammals. Although their life cycles are still unsolved, only ticks of the Ixodidae family have been associated but not confrmed as putative vectors. A possible exception corresponds to *Ornithodoros guaporensis* (Argasidae) from Brazil, which was collected on a rodent and was positive for *Babesia* DNA (Wolf et al. [2017\)](#page-389-0).

Babesia spp. are one of the most common blood parasites of mammals, and it is likely that every mammal that is parasitized by a tick could harbor a *Babesia* sp. (Jalovecka et al. [2019\)](#page-384-0). In the NZR, rodents, marsupials, artiodactyls, perissodactyls, and bats have been positive for *Babesia* screenings, either molecularly or sero-logically using optical microscopy (Table [13.1](#page-360-0)). However, the following caveats should be considered. The detection of anti-*Babesia caballi* antibodies in mountain tapirs (*Tapirus pinchaque*) from Ecuador should be carefully interpreted (Castellanos [2013\)](#page-381-0), since *B. caballi* is a common parasite of horses and cross-reactions with other (undescribed) *Babesia* spp. cannot be discarded. While the observation of *Babesia* in blood of opossums from Brazil and Colombia dates from decades ago (Regendanz and Kikuth [1928;](#page-387-0) Garcia [1945;](#page-382-0) Serra-Freire [1979](#page-388-0)), the alleged species, *Babesia brasiliensis*, currently lacks a genetic identity. Nevertheless, molecular detections indicate that *Babesia* spp. detected in opossums would be phylogenetically related to the "Western Babesia group" (Gonçalves et al. [2021](#page-383-0)) or placed elsewhere (Soares et al. [2017a](#page-389-0), [b](#page-389-0); Colle et al. [2019\)](#page-381-0). Recently, a group of *Babesia* spp. associated with South American marsupials was proposed based on a phylogenetic inference constructed with nearly complete sequences of the 18S rRNA gene (Perles et al. [2023\)](#page-387-0). Although the clade is statistically highly supported, the authors named the group based on sequences retrieved from *Didelphis* spp. only. Therefore, the monophyly of the "South American marsupial group" should be reassessed in the future mainly because the region is particularly rich in marsupial species, and most of them have not been screened for *Babesia* spp. Finally, genetic screenings performed in hogs (*Tayassu pecari*) demonstrated the presence of a *Babesia* genotype 94% identical to *Babesia duncani* (Soares et al. [2017a](#page-389-0), [b\)](#page-389-0). Because the sequenced gene (18S rRNA) is conserved, it is evident that this *Babesia* sp. likely corresponds to an undetermined taxon that merits further molecular work and morphological identifcation to be formally described.

Only two studies have targeted neotropical bats as hosts for piroplasmid species. Almost two decades ago, intraerythrocytic vacuoles compatible with *Babesia* merozoites were observed in the ghost-faced bat (*Mormoops megalophylla*) in Colombia (Marinkelle [1996\)](#page-385-0). Moreover, undetermined piroplasmid species have been genetically characterized in bats from Brazil (Table [13.1](#page-360-0)), and phylogenetic analyses indicate the fnding of putative novel species related to *Babesia* and *Theileria* genera (Ikeda et al. [2021](#page-384-0)). Because the two studies on bat-associated piroplasmids are impaired given that they lack either morphological or genetic data, future prospections in bats should combine both approaches to identify the agents.

In the Nearctic Zoogeographical Region, rodents and cervids are hosts of *Babesia microti* and *Babesia odocoilei*, two zoonotic species transmitted by *Ixodes* spp. (Yabesley and Shock [2013\)](#page-389-0). Interestingly, recent studies performed in northern Chile demonstrated the presence of a *Babesia* sp. of the microti group in cricetid rodents (*Abrothrix jelskii*, *Phyllotis darwini*) (Santodomingo et al. [2022a\)](#page-388-0), and a novel *Babesia* sp., preliminarily named *Babesia* sp. pudui, was genetically characterized from pudus' blood and associated ticks collected in the country's south (Santodomingo et al. [2022b](#page-388-0)). Remarkably, *Babesia* sp. pudui is phylogenetically closely related to *B. odocoilei*, which infects wild deer in the United States, a fact that supports the hypothesis that the ungulate-associated *Babesia* spp. form a monophyletic group (Hrazdilová et al. [2020](#page-383-0)). Deer often share the same landscape with cattle, and this situation has led to the spillover of exotic tick-borne pathogens because ticks that parasitize cattle (i.e., *Rhipicephalus microplus*) also feed on cervids (de Silveria et al. [2016a](#page-388-0), [b](#page-388-0)). In this regard, in the areas where novel tick-borne MOs have been detected on deer, herds of domestic ruminants that overlap geographically could be at risk risk.

Theileria spp. have been detected to a lesser extent in neotropical wild vertebrates, with only one species described through a multilocus analysis. Indeed, the description of *Theileria terrestris* from lowland tapirs (*Tapirus terrestris*) in Brazil showed that the sequencing larger sets of genes is useful to clearly separate species (Mongruel et al. [2022](#page-385-0)). Moreover, the analyses concluded that detections of a *Theileria* sp. near *Theileria equi* in lowland tapirs likely corresponded to this newly identifed piroplasmid. In other neotropical mammals, such as armadillos and agouties, incomplete sequences (≈ 600 bp) of the 18S rRNA gene have matched *T. equi* and *Theileria capreoli* with 96–86% identity (Soares et al. [2017a](#page-389-0), [b](#page-389-0)). Therefore, current genetic evidence precludes a specifc classifcation of the detected *Theileria* spp. Notably, 90% identity with the *Theileria* genus shown by short 18S rDNA sequences obtained from agouties of Trinidad implies the detection of a likely novel genus of Piroplasmida and not a *Theileria* sp., as stated (Sant et al. [2022\)](#page-388-0). Nevertheless, short Piroplasmida 18S rDNA sequences 98–100% identical with *Theileria cervi* detected in red brocket (*Mazama americana*) and gray brocket (*Mazama gouazoubira*) in Brazil (de Silveira et al. [2016a,](#page-388-0) [b;](#page-388-0) Soares et al. [2017a](#page-389-0), [b](#page-389-0)) support the circulation of that same *Theileria* species or a highly similar taxon. The circulation of *T. cervi* in South America was recently demonstrated through comparisons of nearly complete sequences of the 18S rRNA gene obtained from marsh deer (*Blastocerus dichotomus*) with symptoms of *Theileria* infection in Argentina (Sebastian et al. [2022\)](#page-388-0).

Five species of *Cytauxzoon* have been described, all of which infect felids in the Nearctic (*C. felis*, *C. manul*, *C. europaeus*, *C. banethis*, and *C. ontrantorum*) (Panait et al. [2021\)](#page-387-0), Palearctic (*C. felis*), and NZR (*C. felis*). *Cytauxzoon felis* is distributed in the midwestern and southeastern United States, where it is known to cause a highly fatal disease in domestic cats and other felids called cytauxzoonosis or bobcat fever (Reviewed by Wikander and Reif [2023](#page-389-0)). Cytauxzoonosis is an emerging veterinary disease in the United States, with cases increasing and expanding geographically (Miller and Davis [2013](#page-385-0)). Evidence indicates that domestic cats can be

asymptomatically (subclinically) infected. Although these cats are healthy and have never been known to have cytauxzoonosis, the parasite is detectable on blood flms and via polymerase chain reaction (Haber et al. [2007](#page-383-0); Brown et al. [2008](#page-381-0); Nagamori et al. [2016](#page-386-0)). Asymptomatically infected cats can transmit *C. felis* to tick vectors (Allen et al. [2019](#page-380-0)). Bobcats (*Lynx rufus*) are the reservoir or natural hosts of *C. felis* in the United States (Shock et al. [2011](#page-388-0); Zieman et al. [2017](#page-390-0)). Bobcats appear to be healthy when infected with *C. felis*, with only rarely documented cases of cytauxzoonosis in bobcats (Nietfeld and pollock [2002\)](#page-386-0). The vectors of *C. felis* in the United States are the lone star tick (*Amblyomma americanum*) (Reichard et al. [2010](#page-387-0)) and, less effectively, the American dog tick (*Dermacentor variabilis*) (Blouin et al. [1984\)](#page-381-0). In the NZR, *C. felis* has been detected in domestic cats, native ocelots (*Leopardus pardalis*), puma (*Puma concolor*), and jaguars (*Panthera onca*) in Brazil (André et al. [2009;](#page-380-0) Soares et al. [2017a](#page-389-0), [b;](#page-389-0) Antunes et al. [2018](#page-380-0); Paula et al. [2022\)](#page-387-0). Additionally, two captive lions (*Panthera leo*) died of cytauxzoonosis in the frst known documentation of *Cytauxzoon* spp. in the NZR (Peixoto et al. [2007\)](#page-387-0). One known fatal case of cytauxzoonosis in a jaguar was described by Guizelini et al. [\(2021](#page-383-0)) in 2021 in Brazil. Maia et al. [\(2013](#page-385-0)) described mild disease in a domestic cat infected with *C. felis*; however, this cat was coinfected with *Mycoplasma haemominutum;* therefore, a singular cause of pathology cannot be determined. The vector of *C. felis* in the NZR is unknown, and neither known vectors from the United States are present. Further work is necessary to detect *C. felis* in other felid hosts and to determine what vector(s) is(are) responsible for transmission.

13.3 Final Remarks

Although ticks are the main vectors of MOs to wild vertebrates globally, there is little information on how parasites impact the health of their hosts in nature. Most of the knowledge on tick-borne MOs comes from mammals; tick-borne MOs hosted by birds have been poorly assessed, and little is known in reptiles or amphibians. While the detection of these agents has relied on the sequencing of specifc genes from organs or blood samples, few of them have been isolated, a fact that prevents the design of specifc serological assays or a neat sequencing of their complete genomes. This fact also hinders the understanding of ecoepidemiological pathways because detecting a MO by PCR only proves the occurrence of DNA and does not demonstrate active infection. With the advent of next-generation sequencing technologies, the genetic characterization of tick-borne microorganisms in neotropical vertebrates will generate larger datasets for genomic comparisons, and transcriptiomic data might shed light wether the agent was alive in the positive host. Moreover, the implementation of a metagenomic approach to identify blood meals could be a useful tool to unveil the trophic relationships of vector ticks and their host and elucidate which animals could be involved in a given pathogen's enzootic cycle. Finally, determining the number of naturally infected free-living vectors and hosts is only the frst step in identifying a potential vector and host (primary, secondary, incidental, amplifying, or reservoir), demonstrating that the epidemiological universe of tick-borne MO in wild neotropical vertebrates is incipiently known.

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Chapter 14 Internal Parasites and Their Impact on the Health and Conservation of Neotropical Vertebrates

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14.1 Introduction

Approximately 30% of the global area is covered by forests, but these ecosystems and the biodiversity they host are both threatened by human actions associated with changes in land use and overexploitation of natural resources, impacting the balance of ecological processes (Daszak et al. [2000,](#page-413-0) [2001;](#page-413-0) Dunn and Hatcher [2015;](#page-413-0) OHHLEP et al. [2022](#page-417-0)). Habitat loss and fragmentation constitute the greatest threats to biological diversity since one of their effects is the isolation of small populations in forest remnants. This can result in greater exposure and susceptibility of wild populations to pathogens, which compromise their ecology, behavior, and reproduction, thus affecting their survival (Holmes [1996](#page-414-0); Catenacci et al. [2016](#page-412-0); Bueno et al. [2017\)](#page-411-0).

Wild animals are hosts of a great diversity of pathogens, playing an important role in the reservoir system of these organisms, for which they act as maintainer or amplifier hosts (Daszak et al. [2000](#page-413-0), [2001;](#page-413-0) Cunningham et al. [2003](#page-412-0); Roque and Jansen [2014\)](#page-418-0). A greater diversity of hosts can favor the maintenance of a pathogen, its high abundance (amplifying effect), or its reduction (dilution effect) (Gürtler and Cardenal [2015](#page-414-0)). The destruction of ecosystems and the expansion of areas occupied by human populations favor greater contact among wild fauna-domestic animals-humans, enabling the spillover of multi-host parasites. These, in turn,

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establish particular and dynamic interactions with each host species, which will act differently in the transmission cycle of these parasites on a spatiotemporal scale (Roque et al. [2008;](#page-418-0) Xavier et al. [2012;](#page-420-0) Aguirre [2017](#page-410-0); Jansen et al. [2018](#page-415-0); Oliveira et al. [2022\)](#page-417-0).

Parasites constitute a signifcant portion of biodiversity in natural ecosystems, where they play an important role in the structure and organization of ecological communities, infuencing competition between species, trophic interactions, and biological diversity (Holmes [1996](#page-414-0); Marcogliese [2004](#page-416-0); Hudson et al. [2006\)](#page-414-0). The role of parasites in the structure and organization of ecological communities is due to differences in both susceptibility and host tolerance (Hudson et al. [2006](#page-414-0)). Although parasitism is a coevolutionary process that tends to be harmonious over time, this ecological relationship can be infuenced by factors related to the parasite, the host, and the environment, negatively impacting the health and conservation of biodiversity and ecosystems (Holmes [1996](#page-414-0); Jansen et al. [2015;](#page-414-0) Valenzuela-Sánchez et al. [2021\)](#page-419-0). In some situations, human health can also be affected (Daszak et al. [2000](#page-413-0), [2001;](#page-413-0) Cunningham et al. [2003;](#page-412-0) Cunningham [2005](#page-412-0); Aguirre [2017](#page-410-0)). In this context, the approach to the impact of parasites on animal, human, and ecosystem health must be carried out under the prism of Conservation Medicine and One Health.

The anthropogenic dispersion of parasites, known as "pathogen pollution," is a threat to the conservation of biological diversity, both in wild and captive-breeding institutions and translocation-reintroduction programs (Daszak et al. [2000,](#page-413-0) [2001;](#page-413-0) Cunningham et al. [2003;](#page-412-0) Ramalho et al. [2009](#page-418-0); Dunn and Hatcher [2015](#page-413-0); Bienentreu and Lesbarrères [2020\)](#page-411-0). In this chapter, we will present the ecoepidemiological aspects of some generalist enzootic parasites, which may pose a risk to the health and conservation of wildlife and ecosystems. For parasites with zoonotic potential, we discuss the risks to human health to demonstrate the complexity of control and prevention approaches.

14.2 Internal Parasites of Amphibians and Reptiles

Because they occupy an intermediate position in the food chain, sometimes preying on invertebrates or small vertebrates and sometimes serving as the prey of larger vertebrates, such as mammals or birds, vertebrates from the Amphibia and Reptilia classes host a wide diversity of parasites (Ávila and Silva [2010](#page-411-0); Campião et al. [2014a](#page-412-0)). The decline in amphibian populations associated with diseases has been the main threat worldwide, but the true scale of the decline is still unknown, and the spatiotemporal patterns are still limited (Bienentreu and Lesbarrères [2020\)](#page-411-0). Studies have revealed multifaceted and even conficting patterns, which provide a complex picture that is often diffcult to translate into conservation actions (Bienentreu and Lesbarrères [2020\)](#page-411-0).

Aspects related to herpetofaunal health have been little studied, with most studies focusing on viral and fungal diseases, mainly for anurans, and relatively little attention has been given to helminths and protozoan parasites (Bienentreu and Lesbarrères [2020\)](#page-411-0). The main factors that infuence host-parasite dynamics in amphibians and reptiles are as follows: (i) host characteristics (species, body condition and reserves, developmental stage, behavior, habitat preferences, susceptibility, innate or adaptive immune response, and microbiome) and (ii) parasite characteristics (species, virulence, intensity of infection, infectivity, competitiveness, coinfection, order in which infection occurred by different helminth species, exposure time and natural history, coevolution, and infection). Additionally, biotic (host density, intra- and interspecifc reservoirs, microhabitat characteristics, landscape characteristics, predation, resource availability, sources of stress, and species that make up the host community), abiotic and anthropogenic factors (temperature, humidity, seasonality, UV-B radiation, contaminants, chemical characteristics of water, and habitat fragmentation) are noteworthy (Bienentreu and Lesbarrères [2020;](#page-411-0) Herczeg et al. [2021;](#page-414-0) Valenzuela-Sánchez et al. [2021;](#page-419-0) Fonseca et al. [2023\)](#page-413-0).

In populations of *Phrynops geoffroanus* in a river impacted by anthropogenic activities (e.g., domestic sewage and industrial, textile, and agricultural waste) in northeastern Brazil, it was demonstrated that the more impacted the river stretch, the lower the helminth richness (Fonseca et al. [2021a,](#page-413-0) [2023\)](#page-413-0). In areas with a higher level of environmental alterations, a higher percentage of animals presented macroand histological lesions related to parasitism by the nematodes *Spiroxys fgueiredoi* and *Serpinema monospiculatus*, which, in addition to being more pathogenic, were more resistant to anthropic alterations (Fonseca et al [2021a](#page-413-0), [2023\)](#page-413-0). Trematodes were not found in animals from the most impacted areas, because they are more sensitive to anthropogenic disturbance, confrming their role as environmental bioindicators (Fonseca et al. [2021a\)](#page-413-0).

Despite the environmental impacts, a great diversity of helminths has been recorded in *Crotalus durissus* snakes from urban areas in southeastern Brazil, with an emphasis on the heteroxene nematode *Ophidascaris arndti*, which showed high prevalence and was associated with multifocal granulomatous gastritis (Mello [2013\)](#page-416-0). Other nematodes with high pathogenic potential have also been registered, such as *Kalicephalus* spp. and *Hexametra* spp. The mechanisms of pathogenicity and the impact of parasites on snake health are poorly understood. In this context, histopathology is highlighted as an important tool for understanding the pathogenicity of parasites at both the individual and population levels (Sena et al. [2018](#page-419-0); Felix-Nascimento [2021](#page-413-0); Felix-Nascimento et al. [2022;](#page-413-0) Fonseca et al. [2021a](#page-413-0)).

Parasitism by third-stage larvae (L3) of the nematode *Physaloptera* sp. determined infammatory and necrotic lesions in anurans of the species *Leptodactylus macrosternum* in an area of conventional agriculture in the Caatinga biome in northeastern Brazil. Although this frog can act as a paratenic host for the parasite, the exposure of animals to pesticides and chemical fertilizers used in crops may be associated with the greater severity of the lesions found (Felix-Nascimento et al. [2022\)](#page-413-0). In addition, animals from this and other studied areas (organic agriculture and an area of Caatinga *stricto* sensu) showed extremely high levels of essential minerals and heavy metals (Felix-Nascimento [2021](#page-413-0)). Despite this, although the study recorded histopathological alterations in the liver and cytogenotoxic

alterations in the red blood cells of the animals from all three studied areas, these environments seem to provide the minimum conditions for maintaining the hostparasite relationship, considering that no marked differences were observed in the helminth fauna (Felix-Nascimento [2021](#page-413-0)).

Parasitism can indirectly affect physiological and behavioral processes in amphibians and reptiles, such as thermoregulation (Paranjpe et al. [2014](#page-417-0)), locomotion (Moretti et al. [2014,](#page-416-0) [2017\)](#page-416-0), and vocalization (Madelaire et al. [2013](#page-415-0)). In specimens of *Hypsiboas prasinus* parasitized by helminths in an Atlantic Forest area in southeastern Brazil, males with lower parasite loads had higher vocalization rates. This is likely a result of the high energy cycles associated with maintaining high vocalization performance, which affects male reproductive efficiency (Madelaire et al. [2013](#page-415-0)). The negative impact of pulmonary infection by the nematode *Rhabdias fuelleborni* on locomotor performance and basal metabolic rate was recorded in *Rhinella icterica* in the Atlantic Forest in southeastern Brazil, which may be associated with reduced lung diffusion capacity and tidal volume, in addition to the indirect effect of reducing useful energy storage (Moretti et al. [2014\)](#page-416-0). These data may represent a classic example of how parasites can modulate the distribution and abundance of hosts in an environment.

Changes in land use can increase morbidity and mortality due to the increase in parasites whose pathogenicity is density-dependent (McKenzie [2007\)](#page-416-0). Accelerated changes in land use, associated with the erroneous idea that some ecosystems have low biodiversity, should be seen with concern, as should the low level of government incentives, mainly due to reduced investment in conservation strategies and in studying these environments (Portela et al. [2020\)](#page-418-0). The diversity of pathogens and the abundance of host communities may increase or decrease due to habitat fragmentation of human origin (King et al. [2007](#page-415-0); McKenzie [2007\)](#page-416-0). In frogs of the families Hylidae and Leptodactylidae from southern Brazil, the prevalence, intensity, and abundance of helminths were higher in animals from areas with agricultural crops than in native pastures with cattle (Portela et al. [2020](#page-418-0)).

Currently, dilution and amplifying effects have received greater attention in studies of the parasitic ecology of herpetofauna. Although host density can potentially affect disease dynamics, only 3% of studies consider these effects (Bienentreu and Lesbarrères [2020](#page-411-0)). In lizards from the Atlantic Forest and naturally isolated forest enclaves, parasite richness was positively related to host specifcity; that is, in communities that are rich in parasite species, they tended to parasitize one or a few host species (Teixeira et al. [2020\)](#page-419-0). Furthermore, the parasites tend to be distributed in an aggregated way in a population, as observed in the chelonian *Mesoclemmys vanderhaegei* parasitized by hemogregarines in the Brazilian Cerrado (Goes et al. [2018](#page-414-0)).

The effects of community composition are important in translocation/introduction programs, as well as in the migration of amphibian species to previously unsuitable habitats induced by climate change (Bienentreu and Lesbarrères [2020\)](#page-411-0). Changes in community diversity may be due to the introduction of new pathogens or changes in the dynamics of the pathogens present, arising from an increase or decrease in competent species (Bienentreu and Lesbarrères [2020\)](#page-411-0). The consequences of introducing endoparasites into environments where they do not occur naturally are poorly studied (Ramalho et al. [2009](#page-418-0)). The generalist helminths of *Salvator merianae*, a lizard considered invasive on the island of Fernando de Noronha in Brazil, also began to parasitize the island's endemic reptile species, such as *Trachylepis atlantica* and *Amphisbaena ridley* (Ramalho et al. [2009\)](#page-418-0). However, there are no reports of the pathogenicity of these parasites in this native species.

The host-parasite relationship under natural conditions in healthy environments tends toward equilibrium (Holmes [1996](#page-414-0)). However, in animals kept under human care, parasitism can be a threat, especially when the objective is the conservation of the host species. Stress generated by captivity, the reduction of space, and high density of animals in the enclosures can lead to an increase in parasite rates at pathogenic levels for these hosts, as observed in *Chelonoidis carbonarius* and *C. denticulatus,* parasitized by the nematode *Chapiniella variabilis* in Brazil (Freire et al. [2017,](#page-414-0) [2019](#page-414-0)). In these same species of chelonians kept under human care, infection by nematodes and trematodes was associated with macro- and histological changes (Freire et al. [2019\)](#page-414-0). In *C. denticulatus* sold for meat consumption in Peru, reports have associated helminth infections with histological lesions (Julca et al. [2014\)](#page-415-0).

Host species are the main flter for the structure of the parasite community, even when studying contrasting environments, given that the biological and biometric characteristics of animals can infuence the ecological patterns of parasites (Campião and Dáttilo [2020](#page-412-0); Felix-Nascimento [2021\)](#page-413-0). In the anuran *Rhinella limensis* from the central coast of Peru, the prevalence and mean abundance of the nematode *Rhabdias fuelleborni* were associated with sex, with males showing higher rates of these ecological parameters (Chero et al. [2015](#page-412-0)). However, host body size is considered the best individual descriptor of host-parasite networks (Campião and Dáttilo [2020](#page-412-0)). In *Mesoclemmys vanderhaegei* from areas of the Brazilian Cerrado, a positive relationship between the prevalence of hemogregarines and the body size of the animals was demonstrated (Goes et al. [2018\)](#page-414-0).

The helminth community is also infuenced by foraging strategies (active or sitand-wait) and the type of habit (arboreal, aquatic, terrestrial, or semiterrestrial) of frogs and lizards (Campião et al. [2015](#page-412-0); Leung and Koprivnikar [2019](#page-415-0)). Infection by the nematodes *R. fuelleborni* and *Aplectana hylambatis*, for example, is more related to the foraging strategy of frogs than to the type of prey they capture (Chero et al. [2015](#page-412-0)). Anurans with terrestrial behavior, such as bufonids, generally have a low prevalence and medium intensity of digenetic trematodes due to the reduced ingestion of aquatic arthropods that are intermediate hosts. They also have greater exposure to monoxenous nematodes due to the ingestion of eggs and/or larvae or percutaneous penetration of infective larvae (Santos et al. [2013\)](#page-418-0). On the other hand, arboreal anurans tend to be infected mainly by parasites that are transmitted via ingestion of the infective forms (Martins-Sobrinho et al. [2017](#page-416-0); Sena et al. [2018\)](#page-419-0), while leptodactylids (semiterrestrial) are infected by infective stages present both in the water (e.g., digenetics) and in soil (e.g., direct cycle nematodes) (Campião et al. [2015\)](#page-412-0).

The aquatic habit of *Chelonia mydas* may also explain the predominance of trematode species in juvenile individuals of this turtle species off the Brazilian coast
(Werneck and Silva [2015\)](#page-420-0). Juveniles of *C. mydas* have a lower species richness of parasites compared to adult specimens, which can be attributed to the shorter life span and, therefore, reduced opportunities to become infected (Werneck and Silva [2015\)](#page-420-0). Diet can be a determining factor for the composition of the helminth parasite community, more specifcally those whose infection occurs orally, either in anurans or lizards.

Worldwide, amphibians and reptiles can be hosts of helminths and protozoa with zoonotic potential, and in the neotropical region, the following parasites have been recorded: *Spirometra* spp., *S. erinaceieuropaei,* and *S. mansonoides* (Oda et al. [2016\)](#page-417-0); *Trichinella zimbabwensis* and *T. papuae*; *Contracaecum* spp., *Anisakis* spp. and *Pseudoterranova* spp.; *Eustrongylides* spp., *Gnathostoma binucleatum*, *G. doloresi*, *G. hispidum*, *G. nipponicum*, *G. spingerum,* and *Angiostrongylus cantonensis* (Mendoza-Roldan et al. [2020](#page-416-0)). The transmission of these pathogens to humans can occur through ingestion of contaminated meat, direct contact with the feces of parasitized animals, and indirect contact due to contamination in the environment or through vectors (Mendoza-Roldan et al. [2020\)](#page-416-0).

Sparganosis, caused by nematodes of the genus *Spirometra*, is considered an emerging disease in humans, and most cases have been detected in rural areas in South American countries (Oda et al. [2016\)](#page-417-0). However, only a few of these records are related to reptiles and amphibians infected by these nematodes, and the pathogenic effects on these hosts are poorly studied, although larval migration can cause primary pathology in amphibians, in addition to predisposing them to the occurrence of other infections and diseases (Oda et al. [2016](#page-417-0)). In amphibians and squamata reptiles, there are different sites of infection (coelomic cavity, peritoneum, musculature, and different internal organs) (Mendoza-Roldan et al. [2020\)](#page-416-0), and parasite rates may be higher in lizards (*Salvator merianae*) and snakes (*Erythrolamprus poecilogyrus sublineatus*, *E. semiaureus*, *Philodryas patagoniensis*, and *Xenodon dorbignyi*), which act as paratenic hosts due to predation by parasitized anurans (Oda et al. [2016](#page-417-0)).

In addition to the conservation of animal species and the spread of diseases among them, the study of potentially zoonotic parasites in amphibians and reptiles is also an aspect that needs to be further studied in the neotropical region, especially for those parasites that use these animals as intermediate or paratenic hosts. In studies of parasitic ecology, the occurrence of larval stages of unidentifed endoparasites is common (Ávila and Silva [2010;](#page-411-0) Hamann et al. [2006](#page-414-0), [2012;](#page-414-0) Campião et al. [2014b\)](#page-412-0). Furthermore, the real role of amphibians and reptiles in the cycle of endoparasites with zoonotic potential is still uncertain or unknown (Mendoza-Roldan et al. [2020\)](#page-416-0), and this may be related to the great diffculty in taxonomic identifcation of the larval stages of endoparasites, whether through morphology, molecular biology, or experimental infections for the study of adult stages.

On a local scale, the distribution of infectious agents is likely to be mediated by human activities, but the flow of water can facilitate the spread of pathogens in more complex landscapes. On a continental scale, amphibian pathogens are spread through commercial activities, such as bullfrog breeding, and this needs to be more rigorously prevented and monitored (Bienentreu and Lesbarrères [2020](#page-411-0)).

Future studies of disease ecology in amphibians and reptiles would need to include the characterization of the host community, life stage and species-specifc effects, in addition to the identifcation of pathogens at the molecular level and an assessment of the environmental factors that potentially contribute to pathogen emergence (Bienentreu and Lesbarrères [2020](#page-411-0)). In general, what is observed among the studies published in the Neotropical region is an approach focused on ecological patterns or on the elaboration of species lists associated with a certain population or host community (e.g., Ávila and Silva [2010;](#page-411-0) Campião et al. [2014a;](#page-412-0) Campião and Dáttilo [2020;](#page-412-0) Portela et al. [2020\)](#page-418-0). Understanding the parasite-host relationship, especially in free-ranging populations, requires a multidisciplinary approach and the cooperation of different professionals (taxonomists, veterinarians, biologists, and ecologists, among others).

14.3 Internal Parasites of Birds

Among parasitic diseases, trichomoniasis is one of the main threats to bird conservation, and it is considered an emerging disease on a global scale (Bunbury et al. [2007;](#page-411-0) Sansano-Maestre et al. [2009](#page-418-0); Forzán et al. [2010](#page-414-0); Amin et al. [2014](#page-411-0)). The fagellate protozoan *Trichomonas gallinae* is a parasite of the digestive tract, but it can also be found in the liver, spleen, lung, maxilla and mandible, orbital cavity, and ear canal (Joppert [2007;](#page-415-0) Ecco et al. [2012](#page-413-0); Andery et al. [2013;](#page-411-0) Echenique et al. [2019\)](#page-413-0). The severity of avian trichomoniasis is related to the susceptibility of the birds and the virulence of the strain (Joppert [2007;](#page-415-0) Forrester and Foster [2008;](#page-413-0) Forzán et al. [2010;](#page-414-0) Santos et al. [2011](#page-418-0); Stimmelmayr et al. [2012](#page-419-0); Merling de Chapa et al. [2021\)](#page-416-0). Infammation, caseifcation and necrosis are the tissue alterations responsible for the clinical manifestation, and death occurs because of diffculty swallowing food and water and/or respiratory failure (Joppert [2007;](#page-415-0) Forrester and Foster [2008](#page-413-0); Ecco et al. [2012;](#page-413-0) Bruni et al. [2019](#page-411-0)).

Columbiform birds constitute the reservoir system of the protozoan and may be asymptomatic or present symptoms of varying severity (Forrester and Foster [2008;](#page-413-0) Rogers et al. [2016;](#page-418-0) Merling de Chapa et al. [2021](#page-416-0)). The dispersion of *T. gallinae* is mainly attributed to the species *Columba livia*, an invasive exotic species in several Neotropical countries (Sansano-Maestre et al. [2009](#page-418-0); Castillo [2019](#page-412-0); Merling de Chapa et al. [2021\)](#page-416-0). There are data indicating that *C. livia* is present in urban areas of approximately 90% of cities worldwide (Aronson et al. [2014](#page-411-0)). For that reason, Castillo ([2019\)](#page-412-0) draws attention to the fact that due to the global emergence of avian trichomoniasis, the One Health approach must be applied to the control of *C. livia* populations, not only to ensure human health because of the zoonotic pathogens that these birds harbor but also because of the environmental impact and threat to the health of birds severely affected by pathogens such as *T. gallinae*.

The *T. gallinae* cycle is found mainly in trophic networks since transmission occurs through the consumption of parasitized prey. Although the viability of *T. gallinae* is reduced outside the host organism, oral transmission can occur through the

consumption of food and water contaminated with the parasite's trophozoites and by fomites (Sansano-Maestre et al. [2009](#page-418-0); Forzán et al. [2010](#page-414-0); Amin et al. [2014;](#page-411-0) Rogers et al. [2016;](#page-418-0) Castillo [2019;](#page-412-0) Freitas et al. [2020;](#page-414-0) Merling de Chapa et al. [2021\)](#page-416-0). Large populations of pigeons tend to be concentrated in grain storage and processing areas (Echenique et al. [2019\)](#page-413-0). In wet grains, *T. gallinae* trophozoites can remain viable for up to 5 days, favoring the maintenance of the parasite cycle and the contamination of susceptible birds (Purple and Gerhold [2015](#page-418-0)). The food regurgitated by the adults to the young or the direct contact between beaks during feeding are situations that characterize vertical transmission (Joppert [2007](#page-415-0); Sansano-Maestre et al. [2009;](#page-418-0) Forzán et al. [2010](#page-414-0)).

The diversity and geographic distribution of *T. gallinae* hosts characterize the conservation impact of avian trichomoniasis, especially in free-living populations of endangered species (Bunbury et al. [2007;](#page-411-0) Sansano-Maestre et al. [2009;](#page-418-0) Forzán et al. [2010;](#page-414-0) Amin et al. [2014](#page-411-0); Bruni et al. [2019](#page-411-0); Echenique et al. [2019\)](#page-413-0). Trichomoniasis has been reported with increasing frequency in Falconiformes, Accipitriformes, Strigiformes, Psittaciformes, Passeriformes, Piciformes, and Galliformes (Joppert [2007;](#page-415-0) Bunbury et al. [2007;](#page-411-0) Forrester and Foster [2008;](#page-413-0) Sansano-Maestre et al. [2009;](#page-418-0) Forzán et al. [2010](#page-414-0); Santos et al. [2011](#page-418-0); Ecco et al. [2012;](#page-413-0) Andery et al. [2013;](#page-411-0) Amin et al. [2014](#page-411-0); Bruni et al. [2019;](#page-411-0) Castillo [2019](#page-412-0); Chavatte et al. [2019](#page-412-0); Echenique et al. [2019;](#page-413-0) Freitas et al. [2020](#page-414-0); Merling de Chapa et al. [2021](#page-416-0)). Around the world, trichomoniasis is seen as a threat to conservation, especially for birds of prey, a problem attributed to the expansion of the feeding habits of these birds that, due to the loss of their natural habitat, migrate to urban and peri-urban areas in search of shelter and food. In this context, columbids, which are more abundant in urban areas, become part of the diet of predators in greater frequency and quantity (Joppert [2007;](#page-415-0) Sansano-Maestre et al. [2009;](#page-418-0) Andery et al. [2013;](#page-411-0) Bruni et al. [2019](#page-411-0); Echenique et al. [2019](#page-413-0); Freitas et al. [2020](#page-414-0); Merling de Chapa et al. [2021\)](#page-416-0). Perhaps for this reason, morbidity and mortality from trichomoniasis in birds of prey are higher in urban areas than in rural areas (Andery et al. [2013](#page-411-0)). It is important to highlight that anthropization can affect the adaptive behavior of several groups of birds, thus contributing to a greater diversity of *T. gallinae* hosts (Bruni et al. [2019\)](#page-411-0).

Little is known about the factors involved in the development of trichomoniasis in raptors (Merling de Chapa et al. [2021](#page-416-0)). Age, immune status, previous exposure, and virulence of the strains are determinants for the development of trichomoniasis in columbids (Joppert [2007](#page-415-0); Merling de Chapa et al. [2021](#page-416-0)). A greater diversity of *T. gallinae* strains has been found in raptors, especially in those whose diet consists of parasitized columbids. In the Neotropics, mainly in Brazil, trichomoniasis has been recorded as the main infectious cause of mortality in birds of prey (Joppert [2007;](#page-415-0) Ecco et al. [2012](#page-413-0); Andery et al. [2013;](#page-411-0) Bruni et al. [2019;](#page-411-0) Echenique et al. [2019;](#page-413-0) Freitas et al. [2020](#page-414-0)). Some of these birds come from areas where *T. gallinae* infection has been previously recorded in populations of *C. livia* (Andery et al. [2013\)](#page-411-0). There are also records of infection in Passeriformes and Piciformes (Ecco et al. [2012;](#page-413-0) Freitas et al. [2020\)](#page-414-0).

In some captive-breeding institutions and translocation-reintroduction programs, pigeons from population control programs are used to feed birds prey, which may represent a risk for the occurrence of the disease (Santos et al. [2011](#page-418-0)). Therefore, this is a practice that should be avoided. In addition, the diagnosis of *T. gallinae* infection in susceptible birds is essential in translocation/introduction programs to avoid risks to the health of released individuals, as well as the spread of the protozoan to native populations, compromising conservation efforts (Santos et al. [2011](#page-418-0)).

14.4 Internal Parasites of Mammals

14.4.1 **Trypanosoma cruzi**

The protozoan *Trypanosoma cruzi* is the etiologic agent of Chagas disease, a zoonotic neglected tropical disease (NTD) that affects approximately six to eight million people in 21 countries in the Americas, causing 14,000 deaths/year (WHO [2022a](#page-420-0); Bergner et al. [2021;](#page-411-0) PAHO [2022\)](#page-418-0). In nature, the protozoan is maintained by a system of mammalian reservoirs of several orders (Artiodactyla, Chiroptera, Primates, Carnivora, Rodentia, Cingulata, Pilosa, and Didelphimorphia) and transmitted by more than 150 species of triatomines (Hemiptera, Reduviidae) in the neotropical region (Roque et al. [2008](#page-418-0); Cordovez and Guhl [2015;](#page-412-0) Jansen et al. [2018](#page-415-0), [2020;](#page-415-0) Ihle-Soto et al. [2019;](#page-414-0) Lazo et al. [2019](#page-415-0); Santos et al. [2019](#page-419-0)). This heterogeneity of vertebrate and invertebrate hosts, associated with habitat degradation and loss, results in differences in exposure and susceptibility to *T. cruzi* infection in the wild and peridomestic cycles (Orozco et al. [2013](#page-417-0); Jansen et al. [2015;](#page-414-0) Lazo et al. [2019\)](#page-415-0).

Because it is a heteroxene parasite with a great diversity of hosts, in which it infects almost all types of cells, this protozoan has been subjected to selective pressures that have resulted in large phenotypic and genetic variability (Roque et al. [2008;](#page-418-0) Costa et al. [2018;](#page-412-0) Jansen et al. [2020](#page-415-0)). Currently, seven subpopulations of *T. cruzi* are recognized, called discrete typing units (DTUs): TcI and TcII (most widely distributed), TcIII, TcIV, TcV, TcVI, and Tcbat (Lima et al. [2015](#page-415-0); López-Cancino et al. [2015;](#page-415-0) Rendón et al. [2015](#page-418-0); Brandão et al. [2019;](#page-411-0) Santos et al. [2019;](#page-419-0) Jansen et al. [2020](#page-415-0)). Of these, Tcbat has been recorded only in bats (Villena et al. [2018;](#page-420-0) Brandão et al. [2019;](#page-411-0) Lazo et al. [2019](#page-415-0); Jansen et al. [2020\)](#page-415-0). To date, there is no evidence that *T. cruzi* DTUs are associated with pathogenicity in humans or with biological and ecoepidemiological factors (Jansen et al. [2018](#page-415-0), [2020;](#page-415-0) Brandão et al. [2019\)](#page-411-0).

Despite the diversity of hosts, *T. cruzi* presents particular and dynamic patterns of interaction with some species of mammals, which result in differences in competence for maintenance (susceptibility, infection, and survival) and transmission (infectiousness and vector-host contact). Competence may be infuenced by factors such as the occurrence of mixed DTU infections, coinfection with other pathogens, physical and nutritional status, and stress caused by unfavorable environmental conditions, factors that change over time and space (Orozco et al. [2013;](#page-417-0) Gürtler and

Cardenal [2015](#page-414-0); Ihle-Soto et al. [2019](#page-414-0); Jansen et al. [2018](#page-415-0); Brandão et al. [2019;](#page-411-0) Santos et al. [2019\)](#page-419-0).

The increased number of cases and outbreaks of oral transmission in humans in Latin America and the United States has drawn attention to new ecoepidemiological scenarios involving the sylvatic cycle through the participation of vectors and mammals that act as *T. cruzi* reservoirs (Rocha et al. [2013;](#page-418-0) Bustamante et al. [2014;](#page-411-0) Costales et al. [2015](#page-412-0); Jansen et al. [2015](#page-414-0), [2020;](#page-415-0) Bergner et al. [2021](#page-411-0)). As it is primarily an enzootic infection, the assessment of infection risks for humans must consider the triatomine fauna and the faunal composition of hosts, as well as the landscape and social conditions of each locality (Xavier et al. [2012;](#page-420-0) Bustamante et al. [2014;](#page-411-0) Ihle-Soto et al. [2019;](#page-414-0) Jansen et al. [2018,](#page-415-0) [2020\)](#page-415-0).

The *T. cruzi* cycle in wildlife is embedded in trophic networks since transmission occurs mainly through the oral route through the predation of infected vectors or mammals. This is considered the oldest route of *T. cruzi* dispersion (Rocha et al. [2013;](#page-418-0) Jansen et al. [2018;](#page-415-0) Lazo et al. [2019](#page-415-0); Brandão et al. [2019](#page-411-0), [2020](#page-411-0)), whose infective potential seems to be favored by gastric juice (Roque et al. [2008](#page-418-0)). Despite the density of hair in some mammals acting as a barrier and hindering the cutaneous penetration of *T. cruzi*, the contamination of nests/shelters/refuges of animals with metacyclic forms of the protozoan, released in the feces of triatomines or in the secretion of the odoriferous glands of marsupials, is also likely to occur (Rocha et al. [2013;](#page-418-0) Jansen et al. [2015](#page-414-0), [2018;](#page-415-0) Lazo et al. [2019\)](#page-415-0). The participation of marsupials in the *T. cruzi* cycle is because these mammals are the oldest hosts and harbor all life stages of the protozoan cycle. Emphasis should also be given to some species of vampire bats in which the protozoan has already been detected in the salivary glands (Brandão et al. [2019](#page-411-0); Lazo et al. [2019](#page-415-0); Bergner et al. [2021](#page-411-0)). That is, marsupials and vampire bats can act as reservoirs and transmitters of the parasite to other mammals, including humans, and can transmit *T. cruzi* in the absence of triatomine vectors (Bustamante et al. [2014](#page-411-0); Costales et al. [2015;](#page-412-0) Jansen et al. [2015,](#page-414-0) [2020;](#page-415-0) Villena et al. [2018;](#page-420-0) Bergner et al. [2021](#page-411-0)). The epidemiological importance of these mammals needs to be further studied.

In wildlife, different scenarios of enzootic infection can occur in the same forest fragment in different strata, depending on the faunal composition and ecological and behavioral characteristics of the hosts. This results in a lower or higher rate of infection and, consequently, the ability to act in the *T. cruzi* reservoir system (Jansen et al. [2015](#page-414-0), [2018](#page-415-0), [2020;](#page-415-0) Brandão et al. [2020](#page-411-0)). In an Atlantic Forest fragment in Brazil, where a *Leontopithecus rosalia* conservation program was carried out, TcII infection was detected only in this endangered primate species, while other sympatric mammals (marsupials and rodents) were infected by TcI. These data suggest that the transmission of *T. cruzi* can occur in distinct and independent cycles, regulated by as yet unknown factors (Lisboa et al. [2015;](#page-415-0) Jansen et al. [2018](#page-415-0)).

Despite the diversity of mammals that participate in the *T. cruzi* reservoir system, some species act as primary reservoirs, while others play secondary or dead-end roles, depending on the competence and rate of contact with the vector (Orozco et al. [2013;](#page-417-0) Jansen et al. [2015,](#page-414-0) [2018\)](#page-415-0). According to Jansen et al. [\(2015](#page-414-0)), in wildlife,

dispersal and maintenance of *T. cruzi* is performed by approximately 40% of infected mammals. In this context, Didelphimorphia (*Didelphis* spp. and *Philander* spp.), Carnivora (including coatis, skunks, ferrets, raccoons, and foxes), Primates (*Leontopithecus rosalia*, *L. chrysomelas* and *Sapajus* sp.), Cingulata (*Dasypus novemcinctus*), and Pilosa (*Tamandua tetradactyla*) seem to play a prominent role as primary reservoirs of the protozoan (Yeo et al. [2005;](#page-420-0) Mehrkens et al. [2013;](#page-416-0) Orozco et al. [2013;](#page-417-0) Jansen et al. [2017,](#page-415-0) [2018,](#page-415-0) [2020](#page-415-0); Santos et al. [2019](#page-419-0); Hodo et al. [2020;](#page-414-0) Ocanã-Mayorga et al. [2021](#page-417-0)).

Generalist species (in relation to habitat and diet), such as carnivores and marsupials, are considered bioaccumulators and dispersers of *T. cruzi* genotypes (Xavier et al. [2012;](#page-420-0) Rocha et al. [2013;](#page-418-0) Jansen et al. [2015](#page-414-0); Brandão et al. [2019](#page-411-0), [2020\)](#page-411-0). Therefore, in urban and peri-urban forest fragments in endemic areas, systematic surveillance is necessary for the presence of synanthropic animals (such as marsupials, rodents, coatis, raccoons, and bats), which play an important role in the *T. cruzi* cycle (Xavier et al. [2012;](#page-420-0) Jansen et al. [2018;](#page-415-0) Trüeb et al. [2018](#page-419-0); Yef-Quinteros et al. [2018;](#page-420-0) Dronzino et al. [2019](#page-413-0); Bergner et al. [2021;](#page-411-0) Hodo et al. [2020](#page-414-0); Nantes et al. [2020\)](#page-417-0).

In the Neotropical region, several species of wild and synanthropic rodents act as important reservoirs of *T. cruzi* (López-Cancino et al. [2015](#page-415-0); Noya and González [2015;](#page-417-0) Yef-Quinteros et al. [2018;](#page-420-0) Ihle-Soto et al. [2019;](#page-414-0) Jansen et al. [2020\)](#page-415-0). However, in some contexts, wild rodents can exceptionally act as primary reservoirs of the protozoan, depending on the lifespan and extension of the home range, factors that infuence a lower or higher exposure to infection (Jansen et al. [2015](#page-414-0), [2018\)](#page-415-0). Experimentally, some caviomorph rodent species are less resistant to infection and die. In nature, cardiac damage and the consequent oxygenation deficit could make rodents more susceptible to predation, favoring the transmission of *T. cruzi* to other mammals (Jansen et al. [2017\)](#page-415-0).

The geographic distribution of *T. cruzi* subpopulations is not homogeneous. For this reason, captive-breeding and translocation/reintroduction programs can promote new infection scenarios (Bahia et al. [2017](#page-411-0); Minuzzi-Souza et al. [2016;](#page-416-0) Jansen et al. [2020;](#page-415-0) Reis et al. [2020\)](#page-418-0). Ecoepidemiological, animal health, and public health aspects related to *T. cruzi* infection in mammals kept in ex situ conservation institutions and biomedical research centers have been highlighted in several studies and include (i) the impact on health, especially of endangered species; (ii) interference in the results of studies with infected laboratory animals; (iii) the release of infected animals, which can result in the dispersion of the parasite in new areas, favoring infection during their stay in institutions or when they are released in environments where the parasite naturally circulates; and (iv) the transmission of the parasite to workers who deal directly with infected animals (Monteiro et al. [2006](#page-416-0), [2010](#page-416-0); Bahia et al. [2017;](#page-411-0) Hodo et al. [2018;](#page-414-0) Minuzzi-Souza et al. [2016;](#page-416-0) Reis et al. [2020](#page-418-0)).

In addition to being involved in the transmission cycle of *T. cruzi*, the health of some species may be affected by the parasite. In captivity, situations of stress and immunocompromise can boost *T. cruzi* infection and, consequently, negatively affect the health of animals, compromising conservation efforts, as well as the results of biomedical research with laboratory animals (Bahia et al. [2017](#page-411-0)). Despite this, there are still many gaps regarding the impact of *T. cruzi* infection on the health of host mammals (Bahia et al. [2017](#page-411-0); Minuzzi-Souza et al. [2016;](#page-416-0) Bueno et al. [2017;](#page-411-0) Hodo et al. [2018,](#page-414-0) [2020;](#page-414-0) Santos et al. [2018;](#page-419-0) Reis et al. [2020](#page-418-0)).

Neotropical nonhuman primates (NHPs) of approximately 30 species of the Cebidae, Atelidae, Pitheciidae, and Aotidae families are natural hosts of *T. cruzi* (Bahia et al. [2017](#page-411-0); Bueno et al. [2017](#page-411-0); Minuzzi-Souza et al. [2016](#page-416-0); Reis et al. [2020\)](#page-418-0). In these mammals, although the infection is subclinical in most cases, changes in the heart and in serum biochemical markers can compromise survival, especially in endangered species that are released in translocation/reintroduction programs, as observed in specimens of *Leontopithecus rosalia* in remnants of Atlantic rainforest in Brazil (Monteiro et al. [2006](#page-416-0), [2010](#page-416-0)).

In species of mammals of the orders Carnivora, Cetartiodactyla, Perissodactyla, Pilosa, and Primates kept in zoos in Brazil, natural infection by TcI was detected, including in threatened species of NHPs. Infection was also detected in triatomines of the species *Panstrongylus megistus*, found in several enclosures of parasitized and non-parasitized animals (Minuzzi-Souza et al. [2016;](#page-416-0) Reis et al. [2020\)](#page-418-0). Some parasitized animals were born at the zoo and were offspring of non-parasitized mothers; this indicates that transmission may have occurred in the institution, which is surrounded by a forest fragment in which TcI infection had already been detected in *D. albiventris.* This may be the origin of the triatomines that colonized the enclosures and of the parasite. However, as most of the parasitized animals came from other institutions or from rescues carried out by environmental agencies, this is another possibility of introducing *T. cruzi* into the zoo. Therefore, it is extremely important to monitor the presence of pathogens systematically in animals kept in ex situ conservation and research institutions, as well as in wild populations around these institutions (Bahia et al. [2017;](#page-411-0) Minuzzi-Souza et al. [2016](#page-416-0); Hodo et al. [2018;](#page-414-0) Reis et al. [2020](#page-418-0)).

Cardiac and other changes have been recorded in coatis (*Nasua nasua*) and marsupials (*D. marsupialis* and *D. virginiana*) naturally infected with *T. cruzi* in Brazil (Santos et al. [2018](#page-419-0); Nantes et al. [2019\)](#page-417-0) and Mexico (Villagrán et al. [2011](#page-420-0); Carnevali et al. [2017](#page-412-0)). The alterations are suggestive of compromised health and conservation of these mammals, mainly in degraded ecosystems, as well as in urban and periurban areas. In the United States, cardiac lesions caused by TcI and TcIV infections have been reported in free-ranging coyotes and raccoons, and increased susceptibility has been demonstrated in coyotes (Hodo et al. [2020\)](#page-414-0).

As it involves ecological aspects inherent to the human-animal-vector-ecosystem interface, Chagas disease is an example of a disease for which control must be approached in an integrative, multiprofessional, transdisciplinary, and intersectoral way in the context of One Health (Jansen et al. [2015](#page-414-0); García et al. [2016](#page-414-0); Dronzino et al. [2019](#page-413-0); Rivera et al. [2020;](#page-418-0) Austen and Barbosa [2021\)](#page-411-0). The disease should not be seen only as a "human parasitosis" due to the multiplicity of hosts of *T. cruzi* (Jansen et al. [2020\)](#page-415-0).

14.4.2 **Leishmania** *spp.*

Leishmaniasis is a set of NTDs caused by several species of protozoa, including *Leishmania infantum* (syn. of *L. chagasi*), *L. braziliensis*, *L. amazonensis,* and *L. mexicana*, species that occur in the neotropical region. These trypanosomatids parasitize cells of the mononuclear phagocytic system of animals and humans. Visceral leishmaniasis (VL), caused by *L. infantum*, is the most severe disease and is endemic in 13 countries in the Americas. It is estimated that approximately 350 million people worldwide are at risk of infection, and more than one million new cases appear worldwide each year (WHO [2022b](#page-420-0)).

Enzootic and zoonotic cycles occur from the bite of female sandfies (Diptera, Psychodidae), which transmit *Leishmania* to a wide range of mammalian hosts. Although vector transmission is the most important, other transmission routes have already been recorded, such as vertical, sexual, and iatrogenic routes (Souza et al. [2014;](#page-419-0) Azami-Conesa et al. [2021](#page-411-0)). The relationship between host competence and sandfy food preference is an important factor in the spread of *Leishmania*, particularly in biodiverse environments (Vianna et al. [2016](#page-419-0); Rivera et al. [2020](#page-418-0)). Different hosts have their own characteristics to attract vectors and transmit pathogens, and this involves factors that change on a space-time scale (Roque and Jansen [2014;](#page-418-0) Vianna et al. [2016;](#page-419-0) Brandão et al. [2019](#page-411-0); Rivera et al. [2020](#page-418-0)).

In the Neotropical region, the potential reservoirs of *Leishmania*, responsible for the maintenance and transmission of the parasite in nature, are grouped in the orders Didelphimorphia, Carnivora, Pilosa, Cingulata, Rodentia, Primates, and Chiroptera (Courtenay et al. [2002](#page-412-0); Dahroug et al. [2010](#page-413-0); Quintal et al. [2011;](#page-418-0) Humberg et al. [2012;](#page-414-0) Lima et al. [2012;](#page-415-0) Shapiro et al. [2013](#page-419-0); Roque and Jansen [2014](#page-418-0); Cardoso et al. [2015;](#page-412-0) González et al. [2015;](#page-414-0) Oliveira et al. [2015;](#page-417-0) Pereira et al. [2017](#page-417-0); Trüeb et al. [2018;](#page-419-0) Muñoz-García et al. [2019](#page-416-0); Brandão et al. [2020;](#page-411-0) Moreno et al. [2020](#page-416-0); Azami-Conesa et al. [2021](#page-411-0)). Although natural *Leishmania* infection has already been detected in a great diversity of Neotropical mammals, few studies have demonstrated the ecoepidemiological importance of these hosts in the maintenance and transmission of protozoa (Roque and Jansen [2014;](#page-418-0) Azami-Conesa et al. [2021\)](#page-411-0). These studies are fundamental to controlling the expansion of VL, which unfortunately has emerged and re-emerged in developing countries in the Neotropical region (González et al. [2015;](#page-414-0) Moreno et al. [2020](#page-416-0); Azami-Conesa et al. [2021](#page-411-0)). The occurrence of leishmaniasis has a signifcant dependence on wild hosts, so the surveillance of these species is important to detect the circulation of the parasite and thus anticipate cases in humans (Azami-Conesa et al. [2021](#page-411-0); López et al. [2021\)](#page-415-0).

The environmental degradation resulting from the expansion of urban centers and agricultural activities has contributed to the increase in the number of cases and outbreaks of VL, both in humans and in animals (Trüeb et al. [2018](#page-419-0); Berenguer et al. [2021\)](#page-411-0). Although the dog is considered the main reservoir of *L. infantum* in urban areas, the prevalence of infection in this animal is similar to or lower than that of synanthropic wild animals, such as marsupials, rodents, primates, and bats. This demonstrates the importance of research on the role of wild fauna in the maintenance and transmission of this parasite in different ecoepidemiological contexts (Quaresma et al. [2011](#page-418-0); Quintal et al. [2011;](#page-418-0) Humberg et al. [2012](#page-414-0); Mol et al. [2015;](#page-416-0) Pereira et al. [2017;](#page-417-0) Porfírio et al. [2018;](#page-418-0) Brandão et al. [2019](#page-411-0)).

Carnivores are widely studied because they are considered important reservoirs of *L. infantum* and because of the frequency of clinical cases, both in domestic and wild species (Souza et al. [2010;](#page-419-0) Roque and Jansen [2014](#page-418-0); Souza et al. [2014;](#page-419-0) Porfírio et al. [2018;](#page-418-0) Azami-Conesa et al. [2021\)](#page-411-0). Low infection rates have been recorded in wild canids, suggesting that the role of these animals in the parasite cycle may not be as important as that of other mammals (Courtenay et al. [2002](#page-412-0); Trüeb et al. [2018;](#page-419-0) Brandão et al. [2020](#page-411-0)). However, by exploiting an extensive home range, the degradation of its natural habitat has favored a greater proximity of wild canids with dogs, favoring the sharing of generalist pathogens, such as *L. infantum* (Porfírio et al. [2018;](#page-418-0) Brandão et al. [2020](#page-411-0)).

Several factors are associated with the susceptibility of wild animals to infection by *L. infantum*, such as immune and nutritional status, age, reproductive stage, environmental and captivity stress, and infective dose of the parasite (Malta et al. [2010;](#page-415-0) Bueno et al. [2017;](#page-411-0) Azami-Conesa et al. [2021](#page-411-0)). Several species of carnivores, primates, marsupials, and rodents are considered the main hosts of *L. infantum*, some of which show susceptibility to infection in wildlife or under human care, although studies on the pathogenicity of this parasite in wild animals are still scarce (Malta et al. [2010;](#page-415-0) Souza et al. [2010,](#page-419-0) [2014;](#page-419-0) Lima et al. [2012;](#page-415-0) Reis et al. [2020;](#page-418-0) Azami-Conesa et al. [2021\)](#page-411-0). Infected animals may not present symptoms, but when they do, the symptoms are usually similar to those presented by humans, dogs, and cats (Malta et al. [2010](#page-415-0); Souza et al. [2010](#page-419-0); Tenório et al. [2011](#page-419-0); Humberg et al. [2012;](#page-414-0) Bueno et al. [2017](#page-411-0); Azami-Conesa et al. [2021;](#page-411-0) Lima et al. [2021](#page-415-0)). Knowledge about the impact of infection on wild mammals is important regarding relevant aspects in the feld of Conservation Medicine (Oliveira et al. [2019\)](#page-417-0).

Detecting infection by *L. infantum* in wild animals under human care in ex situ conservation institutions in VL-endemic areas is an important measure of epidemiological monitoring, and it is also fundamental for knowledge about the impact of the disease on the health of animals, mainly in threatened species (Luppi et al. [2008;](#page-415-0) Malta et al. [2010](#page-415-0); Souza et al. [2010;](#page-419-0) Bueno et al. [2017;](#page-411-0) Oliveira et al. [2019;](#page-417-0) Reis et al. [2020](#page-418-0)). In addition to posing a risk to other susceptible wild and synanthropic animals, infected animals in ex situ conservation and biomedical research institutions can also be a source of infection for professionals who deal with these animals and for visitors (Jusi et al. [2011](#page-415-0)). Therefore, despite the relevance of knowledge about the impact of VL on wildlife, this disease should not be seen only as a "human parasitic disease," and dealing with it in the multiprofessional, transdisciplinary, and multisectoral context of One Health is very important (Martín-Sánchez et al. [2020;](#page-416-0) Lopes et al. [2021](#page-415-0)).

There are few studies on the occurrence of leishmaniasis in Neotropical NHPs and on the role that these mammals play in the transmission of *Leishmania* (Oliveira et al. [2019;](#page-417-0) Azami-Conesa et al. [2021\)](#page-411-0). Some Neotropical NHP species are used in experimental infections by *Leishmania* for studies with immunogens and immunopathology (Oliveira et al. [2019](#page-417-0)). Furthermore, natural infection by *Leishmania* has been detected both in wild populations and in zoos in endemic areas, the majority of which are asymptomatic animals (Malta et al. [2010](#page-415-0); Souza et al. [2010,](#page-419-0) [2014](#page-419-0); Lima et al. [2012](#page-415-0); Bueno et al. [2017](#page-411-0); Reis et al. [2020;](#page-418-0) Lopes et al. [2021\)](#page-415-0). Although the innate resistance of neotropical primates to infection by *L. infantum* has already been recorded, situations of environmental stress and captivity can predispose these animals to the disease (Lopes et al. [2021\)](#page-415-0). Although uncommon, death from *L. infantum* infection has already been recorded in *Callicebus nigrifrons*, an endangered species, in a zoo from a VL-endemic area in Brazil (Malta et al. [2010](#page-415-0)). The presence of mammals (wild, synanthropic, and domestic) and vectors in conservation institutions, as well as in their surroundings, can contribute to the maintenance of the transmission cycle, justifying the adoption of control and prevention measures (Malta et al. [2010](#page-415-0); Souza et al. [2010](#page-419-0); Minuzzi-Souza et al. [2016](#page-416-0); Reis et al. [2020\)](#page-418-0).

Infection by *L. infantum* has been reported in free-ranging canids and felids as well as in individuals kept in zoos and rescue and rehabilitation centers in VL-endemic areas in Brazil (Lima et al. [2009](#page-415-0); Dahroug et al. [2010](#page-413-0), [2011](#page-413-0); Souza et al. [2010](#page-419-0), [2014](#page-419-0); Brandão et al. [2020;](#page-411-0) Reis et al. [2020](#page-418-0); Lima et al. [2021](#page-415-0)). Domestic and wild felids are less susceptible to *Leishmania* infection (Azami-Conesa et al. [2021;](#page-411-0) Berenguer et al. [2021](#page-411-0)). Perhaps for this reason, wild felids of several species kept in two zoos in Brazil were asymptomatic (Dahroug et al. [2010;](#page-413-0) Reis et al. [2020\)](#page-418-0). However, *L. infantum* infection associated with skin disease, eye lesions and onychogryphosis was recorded in *L. pardalis* at a wildlife rescue and rehabilitation center in Brazil (Lima et al. [2021\)](#page-415-0).

Unlike felids, canids are more susceptible to infection by *L. infantum*, especially when kept under human care, as demonstrated in zoos and rescue and rehabilitation centers in Brazil, where canids present varied clinical symptoms (Luppi et al. [2008;](#page-415-0) Souza et al. [2010](#page-419-0), [2014](#page-419-0); Tenório et al. [2011;](#page-419-0) Lima et al. [2021](#page-415-0)). Age-related immunocompromise and captivity stress were considered decisive for the fatal outcome of infection in *Cerdocyon thous* and the endangered species *Chrysocyon brachyurus* and *Speothos venaticus* (Tenório et al. [2011;](#page-419-0) Souza et al. [2014\)](#page-419-0). The presence of the parasite on the skin of *C. thous* reinforces the epidemiological importance of this canid in the transmission of *L. infantum* (Tenório et al. [2011;](#page-419-0) Souza et al. [2010](#page-419-0), [2014;](#page-419-0) Brandão et al. [2020](#page-411-0)), while the participation of *C. brachyurus* and *S. venaticus* is still unknown (Souza et al. [2010;](#page-419-0) Mol et al. [2015;](#page-416-0) Brandão et al. [2020](#page-411-0)). In some Brazilian biomes, *C. thous*, *C. Brachyurus*, and *Lycalopex vetulus* are sympatric, which may favor the transmission of the protozoan (Brandão et al. [2020\)](#page-411-0).

Although they are considered resistant and capable of eliminating the infection, some species of wild animals may be susceptible to infection without showing clinical signs but are capable of transmitting *Leishmania* to competent vectors (Mol et al. [2015](#page-416-0); Oliveira et al. [2019](#page-417-0)). The canids *C. brachyurus* and *S. venaticus,* as well as Neotropical primates of the species *Leontopithecus rosalia* and *Sapajus apella,* which are naturally infected, are capable of transmitting *L. infantum* to the vector *Lutzomyia longipalpis*, although this results in low parasitic loads in sandfies (Mol et al. [2015;](#page-416-0) Oliveira et al. [2019\)](#page-417-0). *Lutzomyia longipalpis* is the main vector of *L. Infantum* in the Neotropics, but other sandfy species, such as *L. cruzi*, *Migonemyia* *migonei*, and *Pintomyia fscheri* have been found in VL-endemic areas in which *L. longipalpis* is absent (Galvis-Ovallo et al. [2020\)](#page-414-0). There are no studies on the infection of these potential vectors from naturally infected wild animals.

Animal traffcking, as well as the exchange of animals between conservation institutions, favors the spread of pathogens, even to non-endemic areas, which poses a risk to animal and human health. Therefore, the identifcation of pathogens is essential in programs for translocation/reintroduction, with special attention given to programs that involve threatened species (Souza et al. [2010](#page-419-0), [2014;](#page-419-0) Bueno et al. [2017;](#page-411-0) Reis et al. [2020](#page-418-0); Lima et al. [2021\)](#page-415-0). The diagnosis and treatment of infected animals, in addition to the use of repellent collars, are recommended for the control of the disease and the prevention of transmission in conservation institutions.

14.4.3 **Toxoplasma gondii**

Toxoplasma gondii is an obligate intracellular protozoan that undergoes an enteroepithelial cycle in felids, its defnitive hosts, and an extraintestinal cycle in practically all cell types of mammals and birds, domestic and wild, terrestrial and aquatic, which act as intermediate hosts (Dubey et al. [2020](#page-413-0)). The abundance of domestic and feral cats is the main factor involved in environmental contamination since these hosts are considered the main dispersers of *T. gondii* oocysts in terrestrial and aquatic ecosystems (VanWormer et al. [2014,](#page-419-0) [2016](#page-419-0); Barros et al. [2018](#page-411-0); Fonseca et al. [2021b](#page-413-0)). Studies on the ecoepidemiological importance of wild felids are still scarce (Silva et al. [2007](#page-419-0); Cañón-Franco et al. [2013;](#page-412-0) Barros et al. [2018\)](#page-411-0). Additionally, it is important to highlight the biotic potential of this protozoan, represented both by the large number of oocysts excreted in the primary infection of felids and by the physicochemical resistance of these oocysts for prolonged periods in the environment (Dubey et al. [2020\)](#page-413-0).

In contrast to the high seroprevalence, *T. gondii* infection results in asymptomatic infection, and the clinical manifestation of toxoplasmosis is mainly associated with the immunocompromise of the infected hosts (Silva et al. [2007](#page-419-0); Santos et al. [2014;](#page-419-0) Niehaus et al. [2020\)](#page-417-0). However, the disease can manifest in immunocompetent individuals, and the severity of the clinical manifestation is associated with factors of the parasite (inoculum and infective stage, strain), of the host (immune response, ecological and feeding behavior) and of the environment (availability of the parasite in water, food, and soil) (Santos et al. [2017;](#page-419-0) Niehaus et al. [2020](#page-417-0)).

Currently, toxoplasmosis is considered an emerging disease in wildlife, constituting a threat to conservation, especially in Neotropical NHPs, as a cause of death in free-ranging animals or those that are part of in situ and ex situ conservation programs (Epiphanio et al. [2000,](#page-413-0) [2001,](#page-413-0) [2003;](#page-413-0) Espinosa-Avilés and Morales-Martínez [2007](#page-413-0); Silva et al. [2007;](#page-419-0) Bernal et al. [2011;](#page-411-0) Casagrande et al. [2013;](#page-412-0) Catão-Dias et al. [2013](#page-412-0); Santos et al. [2014,](#page-419-0) [2017](#page-419-0); Assunção [2020](#page-411-0)). The recruitment and population growth rate of these mammals can be impacted by reproductive problems such as abortion and premature births (Catão-Dias et al. [2013](#page-412-0); Epiphanio et al. [2003;](#page-413-0) Santos et al. [2014](#page-419-0); Bueno et al. [2017](#page-411-0)).

The increasing population of domestic cats in urban and peri-urban areas and the presence of feral cats around and inside conservation areas are factors that may be related to the emergence of toxoplasmosis in wildlife (VanWormer et al. [2014](#page-419-0), [2016;](#page-419-0) Bueno et al. [2017;](#page-411-0) Barros et al. [2018;](#page-411-0) Fonseca et al. [2021b](#page-413-0)). In animals used in biomedical research institutions, *T. gondii* infection can negatively infuence the results of this research (Andrade et al. [2007](#page-411-0)). Toxoplasmosis has also had a signifcant impact on water and food security, being recorded in increasingly larger and more frequent outbreaks, such as the one that occurred in 2018 in humans in the city of Santa Maria, southern Brazil, which is considered the largest outbreak ever described in the world (Minuzzi et al. [2020](#page-416-0)). Due to its impact on human, animal, and environmental health, toxoplasmosis was one of the frst parasitic diseases recognized as a model of prophylaxis from the perspective of One Health (Aguirre et al. [2019;](#page-410-0) Oliveira et al. [2022\)](#page-417-0).

Systemic toxoplasmosis has been recorded as a cause of mortality in Neotropical NHPs, which have been shown to be more susceptible than Old World primates, possibly related to the former's arboreal behavior, which makes it impossible for animals to contact the infective forms of the parasite. This behavior probably hindered the development of a protective immune response, which is fundamental in the defense mechanism against intracellular protozoa such as *T. gondii* (Innes [1997;](#page-414-0) Epiphanio et al. [2000](#page-413-0), [2001;](#page-413-0) Espinosa-Avilés and Morales-Martínez [2007](#page-413-0); Bernal et al. [2011](#page-411-0); Casagrande et al. [2013;](#page-412-0) Catão-Dias et al. [2013](#page-412-0); Assunção [2020](#page-411-0)). In turn, habitat loss and maintenance under human care favor infection by *T. gondii*, making these primates even more susceptible (Espinosa-Avilés and Morales-Martínez [2007;](#page-413-0) Assunção [2020](#page-411-0); Niehaus et al. [2020;](#page-417-0) Ehlers et al. [2022\)](#page-413-0).

The few seroprevalence studies of infection in wild populations allow inferences to be drawn about the health risks to Neotropical primates, although the real impact of toxoplasmosis is still poorly understood (Bueno et al. [2017;](#page-411-0) Assunção [2020;](#page-411-0) Niehaus et al. [2020](#page-417-0); Ehlers et al. [2022](#page-413-0); Oliveira et al. [2022\)](#page-417-0). In animals kept under human care, the seroprevalence of *T. gondii* is usually higher than that in free-living populations, perhaps due to the higher and longer contact rate due to the increased life expectancy of animals in captivity (Silva et al. [2007;](#page-419-0) Niehaus et al. [2020\)](#page-417-0). Differences in the prevalence of anti-*T. gondii* may be related to susceptibility, contact rate or post-exposure time (Niehaus et al. [2020\)](#page-417-0). Furthermore, serology may not be the most suitable tool to assess the presence of *T. gondii* in wild NHP populations, since in these animals, the disease usually has an acute lethal course, and the animals die before developing a humoral response (Niehaus et al. [2020;](#page-417-0) Paula et al. [2020;](#page-417-0) Oliveira et al. [2022\)](#page-417-0).

In ex situ conservation institutions and research centers, the likely sources of infection for NHPs are contaminated water and food of animal and plant origin supplied to animals, proximity to wild felids and/or domestic and/or feral cats, ingestion of infected birds or rodents that invade the enclosures, and the dispersion of oocysts through the shoes/boots of professionals involved in handling the animals or by visitors (Epiphanio et al. [2000](#page-413-0), [2001;](#page-413-0) Casagrande et al. [2013](#page-412-0); Assunção [2020\)](#page-411-0).

In wild populations of *Alouatta palliata* in Costa Rica, seroprevalence was associated with a higher rate of plant cover, which confers greater survival of infective *T. gondii* oocysts (Niehaus et al. [2020\)](#page-417-0).

There are differences in susceptibility among Neotropical primate species, with high mortality in *Callithrix*, *Saguinus,* and *Leontopithecus* and moderate mortality in *Saimiri*, *Aotus*, *Ateles,* and *Alouatta* (Epiphanio et al. [2000](#page-413-0), [2001](#page-413-0); Pena et al. [2011;](#page-417-0) Casagrande et al. [2013](#page-412-0); Catão-Dias et al. [2013](#page-412-0); Niehaus et al. [2020](#page-417-0); Ehlers et al. [2022](#page-413-0); Oliveira et al. [2022\)](#page-417-0). Mortality reports in neotropical NHPs in conservation institutions and/or research centers include asymptomatic cases, followed by sudden death, or nonspecifc clinical signs (Epiphanio et al. [2000](#page-413-0), [2001;](#page-413-0) Andrade et al. [2007](#page-411-0); Bernal et al. [2011](#page-411-0); Pena et al. [2011;](#page-417-0) Casagrande et al. [2013;](#page-412-0) Santos et al. [2014;](#page-419-0) Assunção [2020](#page-411-0)). Systemic toxoplasmosis varies from hyperacute to acute, rapidly evolving to death, and can affect animals individually or in outbreaks (Oliveira et al. [2022\)](#page-417-0).

The few reports of toxoplasmosis as a cause of death in free-living primates refer to the species *Brachyteles arachnoides* (Santos et al. [2017\)](#page-419-0), *Callithrix penicillata* (Assunção [2020\)](#page-411-0), *Callithrix* spp. (Oliveira et al. [2022\)](#page-417-0), and *Alouatta guariba* (Ehlers et al. [2022](#page-413-0)). All these reports are from animals in Brazil, a country where 25.18% of NHPs are on the Brazilian Red List as vulnerable, endangered, or critically endangered (Ehlers et al. [2022](#page-413-0)). In this respect, information on the causes of mortality in wild populations provides important supporting information for the implementation of surveillance measures for animal, human, and environmental health, which will also have an impact on the conservation of species maintained in ex situ conservation programs (Santos et al. [2017;](#page-419-0) Assunção [2020;](#page-411-0) Dubey et al. [2020;](#page-413-0) Ehlers et al. [2022;](#page-413-0) Oliveira et al. [2022\)](#page-417-0).

14.4.4 **Prosthenorchis elegans**

Parasitism by gastrointestinal helminths is common in NHPs (Tavela et al. [2013;](#page-419-0) Martin-Solano et al. [2017](#page-416-0); Ehlers et al. [2022\)](#page-413-0). However, some highly pathogenic helminths, such as the acanthocephalan *Prosthenorchis elegans*, can be a threat to the health of insectivorous and omnivorous primates in the wild or under human care (Pérez García et al. [2007](#page-417-0); Pissinatti et al. [2007;](#page-418-0) Wenz et al. [2010;](#page-420-0) Botero et al. [2011;](#page-411-0) Tavela et al. [2013;](#page-419-0) Catenacci et al. [2016](#page-412-0); Martin-Solano et al. [2017;](#page-416-0) Oliveira et al. [2017](#page-417-0)). Animals kept under human care are more susceptible to *P. elegans* infection due to several factors related to the stress of captivity (Pissinatti et al. [2007;](#page-418-0) Botero et al. [2011;](#page-411-0) Tavela et al. [2013](#page-419-0)).

Prosthenorchis elegans is a acanthocephalan whose intermediate hosts are coprophagous beetles (*Lasioderma serricorne* and *Stegobium paniceum*) and cockroaches (*Blatella germanica*, *Blaberus fusca*, *Rhyparobia maderae*), insects that make up the diet of some primate species (Pérez García et al. [2007;](#page-417-0) Wenz et al. [2010\)](#page-420-0). Anthropic landscapes and captive management can both favor the maintenance and intraspecifc and interspecifc transmission of this acanthocephalan (Pissinatti et al. [2007](#page-418-0); Wenz et al. [2010;](#page-420-0) Botero et al. [2011;](#page-411-0) Tavela et al. [2013;](#page-419-0) Martin-Solano et al. [2017](#page-416-0); Ehlers et al. [2022](#page-413-0)). In natural environments, beetles are the main source of infection, although some cockroach species are present in the treetops of tropical forests (Wenz et al. [2010](#page-420-0)). Similar to coleoptera, cockroaches also have coprophagic behavior, which favors the participation of these insects in the transmission of the parasite.

Anthropization can change the population density of intermediate hosts, since in urbanized and peri-urbanized areas, vectors, especially cockroaches, are present in high densities, increasing the risk of infection for animals (Pissinatti et al. [2007;](#page-418-0) Wenz et al. [2010;](#page-420-0) Tavela et al. [2013;](#page-419-0) Catenacci et al. [2016\)](#page-412-0). The availability of organic waste in and around conservation institutions provides a greater abundance of cockroaches, making these insects the main source of infection for animals kept under human care (Pissinatti et al. [2007](#page-418-0); Botero et al. [2011;](#page-411-0) Martin-Solano et al. [2017\)](#page-416-0).

Infection by *P. elegans* is among the main causes of morbidity and mortality in Neotropical NHPs under human care, which represents a threat to the conservation of endangered species that are part of translocation/reintroduction programs (Tavela et al. [2013\)](#page-419-0). Ulcerative enteritis, which can result in intestinal perforation and peritonitis, is associated with attachment of the parasite's spinous proboscis to the mucosa of the ileum, cecum, and colon of hosts (Pérez García et al. [2007;](#page-417-0) Pissinatti et al. [2007](#page-418-0); Alfaro et al. [2008](#page-411-0); Sales et al. [2010;](#page-418-0) Wenz et al. [2010;](#page-420-0) Oliveira et al. [2017\)](#page-417-0). The most frequent site of parasitism is the ileocecal valve, where high parasite loads can result in obstruction and intussusception (Pérez García et al. [2007;](#page-417-0) Alfaro et al. [2008;](#page-411-0) Catenacci et al. [2016](#page-412-0)). Sudden death in asymptomatic animals is not uncommon (Pérez García et al. [2007;](#page-417-0) Alfaro et al. [2008;](#page-411-0) Sales et al. [2010;](#page-418-0) Catenacci et al. [2016;](#page-412-0) Oliveira et al. [2017;](#page-417-0) Tavernard [2017](#page-419-0)).

In addition to the health risks, the ineffectiveness of antiparasitic drugs in controlling this acanthocephalan is highlighted, leaving the surgical removal of adult parasites as an alternative, a procedure that can result in death in the postoperative period (Pérez García et al. [2007](#page-417-0); Tavernard [2017\)](#page-419-0). Some antiparasitic drugs only cause a reduction in egg production, which to some extent reduces environmental contamination and, consequently, vector infection (Pérez García et al. [2007;](#page-417-0) Pissinatti et al. [2007](#page-418-0); Martin-Solano et al. [2017](#page-416-0)). It is important to highlight the physical-chemical resistance of *P. elegans* eggs, which makes environmental control diffcult, especially in ex situ conservation institutions.

In the Neotropical region, records of *P. elegans* and *Prosthenorchis* sp. in NHPs in the wild and under human care are abundant and concern both the parasites recovered at necropsies and the detection of eggs in parasitological examinations of stool (Parra et al. [2005](#page-417-0); Tantaleán et al. [2005](#page-419-0); Pérez García et al. [2007;](#page-417-0) Pissinatti et al. [2007;](#page-418-0) Alfaro et al. [2008](#page-411-0); Sales et al. [2010](#page-418-0); Wenz et al. [2010;](#page-420-0) Botero et al. [2011;](#page-411-0) Parr et al. [2013;](#page-417-0) Tavela et al. [2013](#page-419-0); Catenacci et al. [2016;](#page-412-0) Corrêa et al. [2016](#page-412-0); Martin-Solano et al. [2017;](#page-416-0) Oliveira et al. [2017;](#page-417-0) Tavernard [2017](#page-419-0)). Some of these reports refer to infection in endangered species, such as *Leontopithecus chrysomelas*, *L. chrysopygus*, *L. rosalia*, *Callithrix kuhlii*, *Saguinus niger*, *S. leucopus*, *Aotus nancymaae*, *Ateles paniscus*, *Cebuella pygmaea*, *Lagothrix lagotricha*, and *Saimiri oerstedii* (Parra et al. [2005;](#page-417-0) Tantaleán et al. [2005](#page-419-0); Pérez García et al. [2007;](#page-417-0) Pissinatti et al. [2007;](#page-418-0) Alfaro et al. [2008](#page-411-0); Sales et al. [2010;](#page-418-0) Catenacci et al. [2016](#page-412-0); Corrêa et al. [2016\)](#page-412-0).

The risk of cross-transmission of generalist and pathogenic parasites, such as *P. elegans,* between species that have overlapping niches (share the same resources and shelters) is a cause for concern. This situation is worrying especially when it involves invasive species and threatened species, which may present differences in susceptibility to this acanthocephalan. (Sales et al. [2010;](#page-418-0) Parr et al. [2013](#page-417-0); Tavela et al. [2013](#page-419-0); Catenacci et al. [2016;](#page-412-0) Oliveira et al. [2017](#page-417-0)). Another risk situation occurs with animals under human care in institutions where sanitary management is deficient, favoring both the introduction of parasitized animals and the maintenance of transmission from the presence of intermediate hosts (Pérez García et al. [2007;](#page-417-0) Pissinatti et al. [2007;](#page-418-0) Botero et al. [2011](#page-411-0)). In this case, the diagnosis of *P. elegans* infection should be part of the clinical routine to avoid risks to the health of animals kept in these institutions, as well as to prevent the spread of this and other parasites to native populations in cases of animal release in translocation/reintroduction programs (Pissinatti et al. [2007](#page-418-0); Sales et al. [2010](#page-418-0); Parr et al. [2013;](#page-417-0) Tavela et al. [2013;](#page-419-0) Oliveira et al. [2017\)](#page-417-0). Studies on the susceptibility of free-living populations are scarce, a gap that needs to be flled within the framework of Conservation Medicine.

14.5 Conclusions

Future studies of disease ecology in Neotropical vertebrates would need to include the characterization of the host community, life stage, and its species-specifc effects, in addition to the assessment of environmental factors that potentially contribute to the emergence of the pathogen. Despite the increase in the number of studies in recent years, there are still signifcant gaps regarding the ecological and evolutionary relationships of parasites and the impact of parasitism on the health and conservation of Neotropical vertebrates, especially in the wild. Furthermore, as a threat to biodiversity conservation, biological invasions involving parasites should also be further explored in future studies. From the point of view of the One Health approach, animal, human, plant, and environmental health are connected and interdependent. Therefore, from this perspective, understanding the parasite-hostenvironment relationship requires a transdisciplinary approach and the cooperation of different professionals and sectors.

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The original version of this chapter was inadvertently published by placing incorrect affliations to the authors. The affliations have now been corrected and approved by the author.

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