Younes M. Rashad Zakaria A. M. Baka Tarek A. A. Moussa *Editors* 

# Plant Nycobiome Diversity, Interactions and Uses



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Younes M. Rashad • Zakaria A. M. Baka Tarek A. A. Moussa Editors

# Plant Mycobiome

Diversity, Interactions and Uses



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ISBN 978-3-031-28306-2 ISBN 978-3-031-28307-9 (eBook) https://doi.org/10.1007/978-3-031-28307-9

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We dedicate this book to our families, friends, and colleagues. The editors would like to express their sincere gratitude to all authors, contributors, and the Springer team. We appreciate the time and effort they have put into this book. We also dedicate this book to all scientists who spend their life serving science, especially those who have worked on fungi all over the world.

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# Preface

Plant mycobiome represents a diverse array of plant-associated communities of endophytic and epiphytic fungi. These communities fundamentally affect plant health, development, adaptation, and communication with the surrounding ecosystem. Furthermore, they have key roles in the establishment, diversification, productivity, and sustainability of different natural ecosystems. However, some of these plant mycobiome are pathogenic for the plant itself or dangerous for the consumers, due to the production of toxins. In other words, plant mycobiome represents two faces of a coin. This book aims to explore contributions of plant mycobiome in plant-environment interactions from different perspectives. Chapters of this book address numerous themes covering the recent advances in the field of roles, diversity, and dynamics of plant mycobiome as biocontrol agents, biofertilizers, growth promoters, and their secondary metabolites in the area of sustainable crop improvement and biotechnology, as well as the plant pathogenic and toxigenic fungi. This book will be useful to postgraduate students, botanists, mycologists, ecologists, plant pathologists, and physiologists.

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## Introduction

Plant microbiome is a huge community of microbes that live near or on plants, or colonize their tissues. The plant microbiome, which consists of all microbial genomes, can enhance the plant's genomic and metabolic capabilities enabling a number of crucial functions such as nutrient uptake, immunological regulation, and stress tolerance. These varied species that make up the plant microbiota can spread horizontally through the environment or vertically through the seeds. Numerous studies have recently focused on the makeup, dynamics, and usefulness of the bacterial components involved in the plant microbiome. Understanding other plant microbiome components, such as fungi, as well as archaea, protists, and viruses, is, however, far less developed. Although the potential biotechnological uses of fungi in the industry have long been recognized, research on the microbiome typically overlooks the importance of the microbial communities that live in the soil and on plants, known as mycobiota. Because of technical problems resulting from the phenotypic/genotypic diversity of the mycobiota, our comprehension of it lags considerably behind that of the bacteriota.

Plant mycobiome is one of the most important topics for ecological management and sustainable agriculture in the twenty-first century. Through the cycling of organic matter and the distribution of nutrients across the trophic levels, a plantassociated fungal community significantly contributes to the preservation of ecological fitness. Numerous studies have emphasized the importance of plant mycobiome research for improved disease control, best ecological practices, and the adoption of eco-friendly crop production techniques. High-throughput sequencing techniques reveal fungal variety, functionality, and relationships with other microbiome creatures and plants that enhance our understanding of the significance of fungi to plants. By enhancing plant nutrition, stress tolerance, and defense, endophytic and epiphytic fungi can dramatically boost plant resilience. The significance of the plant mycobiome within the plant microbiome has been underestimated, even though some of these interactions have been recognized for decades. The creation of the best biotechnological applications in agro-systems and natural environments has been hampered by our inadequate understanding of fungal biology and its interactions with plants in the context of the broader phytobiome.

The three main compartments that house the plant mycobiota are the rhizosphere, phyllosphere, and endosphere. The composition of mycobiota assemblages varies depending on the plant compartment and is influenced by several variables, including plant genotype, biogeography, environmental conditions, nutrition availability, and interactions with other microbiota species. In this book, the editors and authors tried to take an overview of the plant mycobiome, covering all subjects related to it.

Younes M. Rashad

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# Mycotoxins and Their Producers: Diversity, Side Effects and Control



Younes M. Rashad, Zakaria A. M. Baka, and Tarek A. A. Moussa

#### 1 Introduction

Mycotoxins can be defined as natural, poisonous, low molecular weight secondary metabolites which are synthesized by a group of filamentous fungi (molds), mainly species of the genera Aspergillus, Penicillium, Fusarium, and Alternaria which can infect crops in the field (pre-harvest), in the store (post-harvest), and/or in any processing stages (Shabana et al. 2021). However, a mycotoxin may be synthesized by many fungal species, and one fungus may produce more than one type of mycotoxines (Palumbo et al. 2020). In contrary, not all fungi are toxigenic and not all toxins can be considered a mycotoxin, for example, antibiotics such as cephalosporins and griseofulvin which produced by fungi are toxic to bacteria but not considered as mycotoxins. Until now, over four hundred mycotoxins have been reported such as aflatoxins, ochratoxins, zearalenone, fumonisins, trichothecenes, and patulin. These poisonous metabolites have deleterious effects on human and livestock health causing acute or chronic mycotoxicosis, oncogenesis, mutagenesis, hepatotoxicity, nephrotoxicity, immunosuppression, or embryotoxicity (Pietsch 2020; Kyei et al. 2020). Mycotoxins can occur in our food via products derived from originally infected plants (field or post-harvest infection) or by contamination of food products such as grain-based foods, dairy products, legumes, flour, oilseeds, or fruits due

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© The Author(s), under exclusive license to Springer Nature Switzerland AG 2023 Y. M. Rashad et al. (eds.), *Plant Mycobiome*, https://doi.org/10.1007/978-3-031-28307-9\_1

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to growth of mycotoxigenic fungi at any stage of production, transportation, or storage. In addition, mycotoxins may be indirectly transferred to humans through consumption of animal-derived products such as eggs, meats and dairy products which derived from animals that fed with mycotoxines-contaminated feed (Kepińska-Pacelik and Biel 2021). Based on reports of Food and Agriculture Organization (FAO), more than 25% of the global crops are affected by different mycotoxins (Eskola et al. 2020). However, their type and extent of production vary based on the climatic variables in the field. Various factors can regulate their production such as temperature, wind, humidity (Shabana et al. 2022), agricultural practices, susceptibility of the plant/fruit in the field or under storage conditions, penetrability of the processed food products, fungicides/preservatives application, distribution, density, type of fungal species, genetic ability of the fungal isolate for mycotoxins accumulation, dissemination rate of the fungal spores, and storage conditions of the food products such as aeration and duration (Vogelgsang et al. 2019). However, mycotoxines may be found singly or in co-occurrence (more than one type). Co-occurrence of mycotoxines is a frequent phenomenon which can be explained on the basis of the ability of some toxigenic fungi to produce more than one type, contamination of the food/feed with more than one kind of toxigenic fungi at any production or processing stages, or mixing of multiple raw ingredient in preparing composite feed which results in different mycotoxines combinations (Pinotti et al. 2016).

Different and variable techniques have been developed for quantitative and qualitative detection of mycotoxines including chromatographic techniques such as HPLC, TLC, GC, ELISA, and biosensor-based techniques. However, detection of mycotoxines is limited by many factors such as sensitivity of the analytical method especially at low concentrations of mycotoxines so that in food industry, prevention of contamination with mycotoxines is more favorable than their detoxification (Bueno et al. 2015). Annually, high percentages of the harvested crops have been contaminated with mycotoxigenic fungi causing high economic losses in the agricultural and food industrial sectors. For example, United States of America (USA) has yearly lost up two billions of dollars in maize industry due to contamination by mycotoxins (Mitchell et al. 2016). In this chapter, diversity and occurrence of mycotoxins, their side effects, producing fungi, as well as their control measures in foodstuffs will be highlighted.

#### 2 Economic Importance of Mycotoxins

Every year, the global agricultural and health sectors have incurred high economic losses due to mycotoxins. Economic influences of mycotoxins can be investigated according to two vital aspects; (i) market costs due to crop losses, trade losses, or income losses of contaminated food commodities, and (ii) health impacts on humans and animals due to consumption of mycotoxin-contaminated food.

#### 2.1 Market Losses

Every year, considerable market losses are incurred due to contamination of foodstuffs with mycotoxins worldwide, specially cereals, coffee, peanut and nut crops. Data illustrated in Fig. 1 indicates the estimated global annual quantities of major food grains subjected to contamination with mycotoxins.

Mycotoxins-contaminated foodstuffs are subjected to rejection or at least sold at reduced prices for else use due to their lowered value. The economic losses can be occurred at various trade levels such as reduction in the crop yield quantity or value, monitoring and control costs, grain elevators costs (assessment and management), livestock losses, exportation market losses, and crop insurance costs (Mitchell et al. 2016). According to the Food and Drug Administration (FDA), USA annually have incurred economic losses up to 1.7 billion dollars due to contamination of maize, wheat, and peanut grains with aflatoxins, fumonisins, and deoxynivalenol, while the annually incurred losses in livestock and poultry due to mycotoxins are estimated to be around 6 million dollars (Villafana et al. 2019). In addition, about 0.5 billion dollars are also costed for management of mycotoxin contamination in USA. While, in the developing countries, little data about the economic losses due to mycotoxins are available.

One of the economic loss components due to mycotoxins is the lowered crop value, either in local or export market, due to elevated concentration of mycotoxins in the produced grains even if they appear healthy. In some cases, contamination with mycotoxins may lead to sever reduction in the grain quality and result in a bad appearance. In this regard, various crop producers have lost their deals with buyers due to elevated mycotoxins levels in their commodities over the regulatory limits. In other situations, they have been obligated to accept reduced prices for their commodities. In the developing countries, they may be enforced to export their best quality foodstuffs and keep the lowest quality foods for local use.

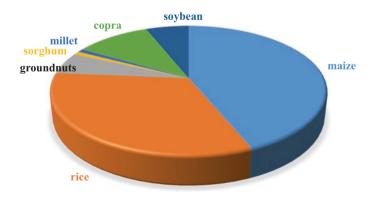


Fig. 1 Global annual estimation of mycotoxins-affected food grains

#### 2.2 Health Influences of Mycotoxins on Humans and Animals

According to World Health Organization (WHO), impacts of intake of mycotoxinscontaminated food include some acute illness which rapidly appears or chronic (long-term influences) such as oncogenesis and immunosuppression. In general, ingestion of the mycotoxin-contaminated foods may cause mycotoxicosis, oncogenesis, mutagenesis, hepatotoxicity, nephrotoxicity, immunosuppression, or embryotoxicity. Risks to humans vary from one person to another according to different factors such as ingestion dose, duration, mycotoxin type, and immunity of the person ranging from catching allergies, in case of non-immune people, to sever cancer and finally death. However, human health impacts are not easy to be quantified.

Contamination of food commodities with mycotoxins is more common in developing countries where application of the regulatory limits is infrequent. Avoiding food contamination is a function of setting up of regulatory standers and their implementation. In a recent study conducted in Kenya, the authors reported 84% contamination with aflatoxin in Kenyan wheat, in addition, 50% of the baby food samples were found to have high failure rates, based on the European Union (EU) regulatory limits. The study ended to a conclusion that the Kenyan humans and livestock are severely subjected to mycotoxin hazards and in extremely need to apply high quality monitoring and enforcement of strict regulatory standards (Kibugu et al. 2019).

Since the earliest reported toxicosis disaster in Britain in 1960s, where more than 100 thousands of turkeys died (Blount 1961), an elevated attention has been attracted in mycotoxicosis and their effects on animal production. Mycotoxins may influence animals health either singly or additively, in case of contamination of more than one type of mycotoxins, affecting their liver, immunity, fertility, or gastrointestinal tract leading to dangerous diseases and reductions in their productivity (Mavrommatis et al. 2021). Several symptoms have appeared on animals as a result to the mycotoxicosis including reduction in feeding (appetite), decrease in growth and performance, sick appearance, absence of response to antibiotics, convulsion, paralysis, gangrene, spasms, hyperthermal fever, abortion, reduced fertility, diarrhea, and mouth blistering (Table 1).

On the other hand, poultry and their derived products such as meat, egg and their processed products represent a great importance in the food chain and poultry industry. Hence, a considerable attention has been received and directed to the risk of contamination of their feed with dangerous mycotoxins due to the deleterious health effects they inflect. However, toxicity degree may vary depending on various factors such as the dosage and period of mycotoxin intake, susceptibility, animal sex, age, immunity, as well as other environmental factors (Murugesan et al. 2015).

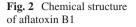
Target organ	Mycotoxins	Adverse effect
Liver	AFB, FUM	Liver cancer
		Increased liver weight
		Liver lesions
		Hepatocellular injuries
Kidney	AFB, FUM	Increased kidney weight
-		Kidney lesions
Gastrointestinal tract	AFB, DON, T-2, HT-2, OTA, Ergots	Gastroenteritis
		Gastrointestinal lesions
		Intestinal hemorrhages
		Impaired rumen function
		Decreased rumen motility
		Shifts in rumen pH and fatty acids production
		Decreased dry matter digestibility
		Decreased in crude protein and fiber digestibility
		Diarrhea
		Ketosis
Reproduction system	AFB, ZEN, T-2, HT-2, Ergots	Irregular heats
		Low conception rates
		Decreased milk production
		Ovarian cysts
		Embryonic loss
		Abortions
		Early development of mammary gland
		Low testicular development
		Low sperm production
		Low semen quality
Common	AFB, Don, T-2, HT-2,	Impaired thermoregulation
complications	Ergots, OTA	Convulsions and neurological signs
		Mastitis and laminitis
		Decreased milk production
		Residues in milk
		Immunosuppression
		Hematological alterations
		Growth inhibition

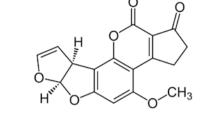
Table 1 Deleterious effects of mycotoxins-contaminated feed in cattle

#### **3** The Major Families of Mycotoxins

### 3.1 Aflatoxins

It is the first known family of mycotoxines, and is considered one of the most poisonous families which is produced mainly by *A. flavus*, *A. parasiticus* and *A. nomius*. The family name is composed of three parts "A" which derived from the genus





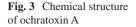
*Aspergillus*, "fla" which derived from the species *flavus*, and toxin which means poison (Kumar et al. 2017). In 1960s, about 100,000 domestic turkeys died in England due to "turkey X disease" and after 2 years the causative agent was recognized and named "aflatoxin" (Blount 1961). Up to date, at least 20 members have been identified in this family, among them, the main members are B1, B2, G1, and G2, in addition to M1 and M2 which are hydroxylated forms of B1 and B2, while the most toxic one is B1 (Nazhand et al. 2020).

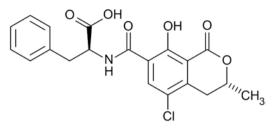
The chemical structure of aflatoxin B1 is illustrated in Fig. 2. The most foodstuffs usually contaminated with aflatoxins B1, B2, G1, and G2 are cereals such as wheat, maize, sorghum and rice, oilseeds such as cotton, sunflower, soybean, peanut, spices such as ginger, black pepper and turmeric, and eggs, milk and dairy products, while aflatoxins M1 and M2 are found in ruminant milk and milk products as a result of consuming feed which contaminated with aflatoxins B1 and B2 (Martinez-Miranda et al. 2019).

This family of mycotoxines is highly toxic, which mainly affecting the liver and causing hepatocellular carcinoma, mutagenesis, immunosuppression, and aflatoxicosis. In this concern, the International Agency of Research on Cancer (IARC) has classified aflatoxin B1 in group 1 carcinogen, while aflatoxin M1, hydroxylated form of B1, has been listed in group 2B (Ostry et al. 2017). Owing to their extreme toxicity, aflatoxins are the only group among all mycotoxins that have been closely regulated by the FDA action levels, while the other groups are controlled to advisory levels. The US regulatory limit for aflatoxins B1, B2, G1, and G2 in food products is 20 µg/kg for total and 0.5 µg/kg for M1, while the EU limits are 2–12 µg/kg for B1 and 4–15 µg/kg for total (B1, B2, G1, and G2), 0.05 µg/kg for M1 in milk and 0.025 µg/kg in infant milk (Alshannaq and Yu 2017).

#### 3.2 Ochratoxins

This family is one of the most common mycotoxins in foodstuffs which is produced by different members of the genera *Aspergillus* and *Penicillium*, particularly *A. ochraceus*, from which the family name was derived, *A. carbonarius* and *P. verrucosum* (Alshannaq and Yu 2017). The most important member in this family is





ochratoxin A which was first discovered in South Africa in 1965 from corn meal contaminated with *A. ochraceus* (Leitão 2019). Ochratoxins can contaminate several foodstuffs such as cereals, coffee, flour, red pepper, beans, wine, grape juice, in addition, they may be found in animal-derived products such as milk, cheese and meat (Malir et al. 2016; Palumbo et al. 2020).

Ochratoxin A has high stability against acidity and heat, so that it is very difficult to be eliminated from the contaminant food by cooking. The chemical structure of ochratoxin A is illustrated in Fig. 3. Ochratoxin A has intense nephrotoxicity, hepatotoxicity, neurotoxicity, and embryotoxicity. It has been listed by IARC in group 2B. The mode of action of ochratoxin A toxicity is by interfering with phenylalanine hydroxylase activity leading to inhibition in protein synthesis. Moreover, it inhibits nucleic acids biosynthesis. While FDA did not set any regulatory limits for ochratoxin A, the EU limits for ochratoxin A are  $2-10 \mu g/kg$  (Muñoz et al. 2014).

#### 3.3 Zearalenone

Zearalenone is one of the most abundant estrogenic mycotoxins in foodstuffs. It is produced by many species in the genus *Fusarium*, mainly *F. graminearum*, *F. semitectum*, *F. moniliforme*, and *F. oxysporum*. It can contaminate different foodstuffs such as cereals including wheat, oat, barley, sorghum, maize and their products, particularly under a high humidity and low temperature conditions. Although it shows heat stability (up to 160 °C), it can be partially eliminated by very high temperatures (Piacentini et al. 2019). The chemical structure of zearalenone is illustrated in Fig. 4.

Zearalenone has a close similarity with the natural mammalian estrogen, the main female sex hormone, so it can induce reproductive disorders (hyperestrogenism) affecting the female reproductive system (Kowalska et al. 2016; Zhang et al. 2018). Moreover, its toxicity may extend to severely destroy the intestinal mucosal membrane and disturb the microecological balance of the intestine (Wang et al. 2018). Due to their toxicity, zearalenone is listed by IARC in group 3 carcinogen. To date, FDA did not set any regulatory limit for zearalenone, however, their EU limits are 20–100  $\mu$ g/kg in any foodstuffs (Ostry et al. 2017).

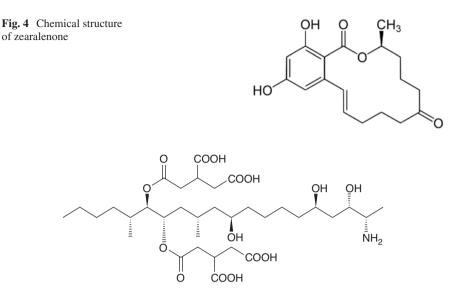
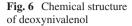


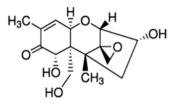
Fig. 5 Chemical structure of fumonisins B1

#### 3.4 Fumonisins

This family comprises a set of non-fluorescent mycotoxins, commonly known as *Fusarium* toxins, because they are produced by members of the genus *Fusarium*, mainly *F. verticillioides* and *F. proliferatum* (Ji et al. 2019). Fumonisins were first discovered in South Africa in 1970, on maize kernels contaminated with *F. verticillioides*, formerly *F. moniliforme*, as the causal agent of leukoencephalomalacia in horses (Kellerman et al. 1972). To date, more than 28 types of fumonisins were identified, and categorized in four groups; fumonisins A, fumonisins B, fumonisins C, and fumonisins P with three subtypes in each group. However, the most abundant group is fumonisins B (B1, B2, and B3) which form 75% of the family. The major foodstuffs which contaminated with fumonisins mycotoxins are wheat, maize, sorghum, rice, oat, soybean, milk, food products such as cornflakes, bread, flour, popcorn, oil, and some medicinal plants (Kamle et al. 2019).

Fumonisins B1 is the most toxic one, so it has been listed by IARC in group 2B (probably carcinogenic). The chemical structure of fumonisins B1 is illustrated in Fig. 5. Recent researches reported that it can lead to carcinoma of esophageal and liver and sever toxicosis in kidney, lung, and nervous system (Braun and Wink 2018; Feijó Corrêa et al. 2018). Although fumonisins exhibit relative heat-stability, their chemical structure can be altered during food systems which reduces their toxicity, so many studies have investigated their fate during different food industrial processes (Bordini et al. 2019). The US regulatory limits for fumonisins B1, B2, and B3 in foodstuffs are 2000–4000  $\mu$ g/kg, while the EU limits are 200–1000  $\mu$ g/kg.





#### 3.5 Trichothecenes

Trichothecenes family comprises a large and diverse set of sesquiterpenoid mycotoxines which is produced mainly by members of genus Fusarium such as F. graminearum, F. poae and F. culmorum. However, they have been produced also by members of various genera including Trichoderma, Trichothecium, Stachybotrys, Myrothecium, Acremonium, and Cylindrocarpon (Zhu et al. 2020). To date, over 200 trichothecenes have been identified and classified into four groups (A, B, C, and D). Among them, deoxynivalenol is the most abundant one, particularly in cereals. The chemical structure of deoxynivalenol is illustrated in Fig. 6. Trichothecenes were first recognized in the Soviet Union in 1932 from grains contaminated with F. sporotrichioides and F. poae as the causal agent of alimentary toxic aleukia. Trichothecenes can contaminate wheat, oat, maize, rice, barley, soybean, peanut, legumes, fruits, vegetables and cereals products (Alshannaq and Yu 2017). Trichothecenes are highly toxic causing neurotoxigenic, immune suppression, cytotoxic, anemia, and skin necrosis. The FDA regulatory limit for trichothecenes in food products is 1000 µg/kg, while the EU limits are 200-500 µg/kg for B1 and 4–15 µg/kg (Habrowska-Górczyńska et al. 2019).

#### 3.6 Patulin

Patulin is a polyketide mycotoxin, which is produced by various members of the genera *Penicillium*, and *Aspergillus*, mainly by *P. expansum* and *P. patulinum*, from which the mycotoxin name was derived. Patulin was first isolated from *P. griseofulvum* in 1943 as an antibiotic against gram +ve and gram -ve bacteria, but later it was recognized as a mycotoxin in 1944 (Sadok et al. 2019). The chemical structure of patulin is illustrated in Fig. 7. Patulin has been found to be associated with different rotten fruits such as apples, grapes, bananas, peaches, pineapple, blueberries, pears, their processed products, as well as vegetables, and cereals kernels (Ji et al. 2017).

Patulin was found to cause toxicosis, mutagenesis, carcinogenesis, and teratogenesis in humans. In addition, it can affect the immune system, the nervous system, and may led to DNA damage (Assunção et al. 2016). However, it has been listed by IARC in group 3 carcinogen. The US regulatory limit for patulin in foodstuffs is  $50 \mu g/kg$ , while the EU limits are 10–50  $\mu g/kg$  (Alshannaq and Yu 2017).

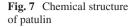
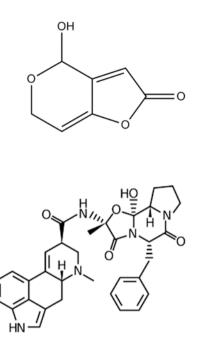


Fig. 8 Chemical structure of ergotamine



#### 3.7 Ergot Alkaloids

This family comprises tryptophan-derived alkaloid mycotoxins which are produced by members of the genus *Claviceps* such as *C. purpurea*, *C. sorghi*, *C. sorghicola*, and *C. Africana* (Topi et al. 2017). These pathogenic fungi are the causal agents of ergot disease on cereals and forage grasses, where the fungal sclerotia (dark, thick mass of fungal mycelia) are produced instead of the plant grains/seeds. Wheat, rye, barley, millet, and oats are the most common contaminated crops, where the ergot alkaloid mycotoxins still affect the plant grains, even if the fungal sclerotia are physically eliminated. However, wheat yield is considered "ergoty" if it includes at least 0.05% ergot sclerotia (Shi et al. 2019).

Various mycotoxins are known in this family such as  $\alpha$ -ergocryptine,  $\alpha$ -ergosine, ergometrine, secalonic acids, ergoflavin, ergocristine, and ergotamine. The chemical structure of ergotamine is illustrated in Fig. 8. Ingestion of ergot-contaminated grains causes ergotism disease, commonly known as ergot toxicosis, in humans which may result in gangrenous, hyperthermic, reproductive, or convulsive toxicosis and finally the death of the animal or human (Flieger et al. 2019). The US regulatory limit for ergot is 300 mg sclerotia/kg grain, while the EU limit is 0.5 g/kg for the sum alkaloids (Agriopoulou et al. 2020).

#### 4 Mycotoxins-Producing Fungi

Various fungal species which have the ability to produce mycotoxins are identified and studied by many researchers (Greco et al. 2014; Ayofemi Olalekan Adeyeye 2020). The most common toxigenic fungi include members of the genera *Aspergillus, Penicillium, Fusarium,* and *Claviceps,* in addition to some minor toxigenic fungi from the genera *Trichoderma, Trichothecium, Stachybotrys, Myrothecium, Acremonium,* and *Cylindrocarpon* (Zhu et al. 2020). These toxigenic fungi can infect crops in field causing serious diseases which affect the host yield quantity and quality (field fungi), or they may contaminate the yield or its products in the post-harvest, or at any processing stages under storage conditions causing spoilage and deterioration of stored foods/feeds (storage fungi).

#### 4.1 Aspergillus spp.

Members of this genus are widely distributed worldwide and can be found in soil, air, on plant debris, and woods, growing in a wide range of climates from moderate to high temperatures. Most of them are saprophytic, which live on plant debris or stored foodstuffs, in addition to few pathogenic species that can infect living plants in the field causing different diseases including black rot of grapes and molding of cereals. Among them, some members have the ability to produce mycotoxins, which have adverse effects on humans and animal health, specially aflatoxins and Ochratoxins. Table 2 represents the most common mycotoxigenic species in genus

Aspergillus species	Produced mycotoxins
Aspergillus flavus	Aflatoxin B1, Aflatoxin B2
Aspergillus nomius	Aflatoxin B1, Aflatoxin B2
Aspergillus parasiticus	Aflatoxin G1, Aflatoxin G2
Aspergillus ochraceus	Ochratoxins
Aspergillus niger	Ochratoxins
Aspergillus japonicas	Ochratoxins
Aspergillus carbonarius	Ochratoxins
Aspergillus glaucus	Ochratoxins
Aspergillus candidus	Ochratoxins
Aspergillus fumigatus	Ochratoxins
Aspergillus chevalieri	Sterygmatocystin
Aspergillus versicolor	Sterygmatocystin
Aspergillus amstelodami	Sterygmatocystin
Aspergillus terreus	Patulin
Aspergillus clavatus	Patulin

 Table 2 Most common mycotoxigenic Aspergillus spp. and their produced mycotoxins

*Aspergillus*. In this regard, some isolates of *A. flavus* and *A. nomius* have the ability to produce aflatoxin B1 and aflatoxin B2, other isolates of *A. parasiticus* can produce aflatoxin G1 and aflatoxin G2. While, some isolates of *A. ochraceus*, *A. niger*, *A. japonicas*, and *A. carbonarius* produce ochratoxins and other species produce patulin and sterygmatocystin mycotoxins.

#### 4.2 Penicillium spp.

Genus *Penicillium* compresses the highest number of species which inhabiting a wide range of habitats and different climates, especially that pose heavy rainfall and mild temperature. While, few members of this genus are highly destructive pathogens of post-harvest diseases. Many members in this genus can cause decay of fruits, kernels, and vegetables in the field, at harvesting, and in the storage. The main diseases caused by *Penicillium* spp. include molding of cereals, and blue and green molds of orange, and apple. In addition, they may decay other processed foods such as meat, dairy products, juices, and cereals products. This group of fungi can produce several mycotoxins including ochratoxins, patulin, citrinin, and penicillic acid. Table 3 represents the most common mycotoxigenic species in genus *Penicillium*.

#### 4.3 Fusarium spp.

Members of this genus have global distribution as one of the most important pathogenic fungi affecting various crops in filed, foods, and feeds. In addition, they may live as saprophytes on plant debris. *Fusarium* species can cause many plant diseases

Penicillium species	Produced mycotoxins
Penicillium verrucosum	Ochratoxins, Citrinin
Penicillium expansum	Patulin
Penicillium patulinum	Patulin
Penicillium griseofulvum	Patulin
Penicillium roquefiortii	Patulin
Penicillium citrinum	Citrinin
Penicillium aurantiogriseum	Penicillic acid
Penicillium variabile	Penicillic acid
Penicillium islandicum	Penicillic acid
Penicillium purpurogenum	Penicillic acid
Penicillium chrysogenum	Penicillic acid
Penicillium capsulatum	Penicillic acid

Table 3 Most common mycotoxigenic Penicillium spp. and their produced mycotoxins

Fusarium species	Produced mycotoxins
Fusarium acuminatum	T-2 toxin, moniliformin, HT-2 toxin, diacetoxyscirpenol, monoactoxycirpenol, neosolaniol, beauvericin
Fusarium anthophilum	Beauvericin
Fusarium avenaceum	Moniliformin, enniatins, beauvericin
Fusarium cerealis	Nivalenol, fusarenone-X, zearalenone, zearalenols
Fusarium chlamydosporum	Moniliformin
Fusarium culmorum	Deoxynivalenol, zearalenone, nivalenol, fusarenone-X, zearalenols, mono-acetyldeoxynivalenols
Fusarium equiseti	Zearalenone, zearalenols, monoactoxycirpenol, fusarenone-X, diacetoxyscirpenol, nivalenol, diacetylnivalenol, fusarochromanone, beauvericin
Fusarium graminearum	Deoxynivalenol, zearalenone, fusarenone-X, diacetylnivalenol, mono-acetyldeoxynivalenols, nivalenol, di-acetyldeoxynivalenol
Fusarium heterosporum	Zearalenone, zearalenols
Fusarium nygamai	Beauvericin, fumonisin B1, fumonisin B2
Fusarium oxysporum	Moniliformin, enniatins, beauvericin
Fusarium poae	Diacetoxyscirpenol, monoactoxycirpenol, nivalenol, fusarenone-X, T-2 toxin, HT-2 toxin, neosolaniol, beauvericin
Fusarium proliferatum	Beauvericin, fumonisin B1, fumonisin B2, moniliformin
Fusarium sambucinum	Diacetoxyscirpenol, T-2 toxin, neosolaniol, monoactoxycirpenol, beauvericin
Fusarium semitectum	Beauvericin
Fusarium sporotrichioides	T-2 toxin, HT-2 toxin, neosolaniol, diacetoxyscirpenol, monoactoxycirpenol
Fusarium subglutinans	Beauvericin, moniliformin, fusaproliferin
Fusarium tricinctum	Moniliformin, beauvericin
Fusarium verticillioides	Fumonisin B1, fumonisin B2, fumonisin B3

Table 4 Most common mycotoxigenic Fusarium spp. and their produced mycotoxins

such as wilt, rots of stalk, crown, and root, head blight, endosepsis, cereals ear rots in the field, as well as fruits and vegetables rots under storage conditions. Various mycotoxins can be produced by members of *Fusarium* genus including fumonisins, zearalenones, trichothecenes, moniliformins, beauvericin, and fusaproliferin. Table 4 shows the most common mycotoxigenic species in genus *Fusarium*.

#### 4.4 Claviceps spp.

Species of this genus can infect more than six hundreds of plant species such as wheat, rye, oats, and grasses. *Claviceps* spp. infect cereals plants producing t heir hard sclerotia instead of the grains in the spikes causing the ergots disease. Ergot alkaloids accumulate in produced sclerotia, which harvested within the cereal

grains, and when ingested by animals or humans they result in ergotism disease that may end with the death. A diverse set of mycotoxins is produced by members of the genus including  $\alpha$ -ergocryptine,  $\alpha$ -ergosine, ergometrine, secalonic acids, ergoflavin, ergocristine, and ergotamine. Genus *Claviceps* includes many mycotoxigenic fungi such as *C. purpurea*, *C. sorghi*, *C. sorghicola*, *C. fusiformis*, *C. cyperi*, *C. paspali* and *C. Africana*.

#### **5** Occurrence in Foodstuffs

Various foodstuffs can be contaminated with mycotoxins, single or in co-occurrence, based on different ecological factors and geographical locations worldwide.

#### 5.1 Cereals and Cereal Based-Products

Cereals and their processed products represent the most vital food source regarding to human and animal consumption, however, they are one of the highly foodstuffs groups regarding contamination with mycotoxines-producing fungi, among them, wheat and maize are the highest in this concern (Park et al. 2018). Indeed, all cereal crops can be contaminated with mycotoxines-producing fungi in field, particularly in the temperate and tropical areas, and when the plant is subjected to stress conditions such as drought, irregular irrigation, and insects attack. Postharvest contamination is more favorable in storage under low aeration, high relative humidity and temperature conditions (Iqbal et al. 2016). The main mycotoxigenic fungi reported on cereals include A. flavus, A. ochraceus, A. versicolor, F. armeniacum, F. graminearum, F. proliferatum, F. subglutinans, P. aurantiogriseum, P. citreonigrum, P. citrinum, P. verrucosum, A. infectoria, and Ustilaginoidea virens (Gonçalves et al. 2019; Palumbo et al. 2020). The most prevalent mycotoxines detected in cereals grains and their products include aflatoxin B1, fumonisins B1, ochratoxin A, zearalenone, and deoxynivalenol (Kaltner et al. 2017; Carballo et al. 2018; Wan et al. 2020). These mycotoxines may be found in a single form or in co-occurrence with each other. In this regard, Chen et al. (2016) reported the presence of aflatoxin B1 and zearalenone in maize, rice and peanut samples. Co-occurrence of fumonisins and deoxynivalenol in 11% of the investigated samples of maize, sorghum, and millet was also reported by Chilaka et al. (2016). Moreover, co-occurrence of at least two mycotoxines was also reported in 43% of the analyzed samples in this study.

#### 5.2 Meat and Processed Meat Products

Mycotoxines may be transferred to human consumers indirectly through animalderived products, which prepared from animals that were fed with contaminated feeds such as meat and milk products from cows and cattle, or eggs and flesh from poultry. In a recent study, the analyzed samples of chicken meat were found to be contaminated with 35%, 41%, and 52% of aflatoxins, ochratoxin A, and zearalenone, respectively, while occurrence of these mycotoxins in the egg samples was 28%, 35%, and 32%, respectively (Igbal et al. 2014). The most abundant mycotoxines in animal-derived products include aflatoxins, ochratoxins, zearalenone, fumonisins, and trichothecenes, however, they may be found singly or in a cooccurrence (Pereira et al. 2019). Co-occurrence of mycotoxines in animal feed is of a great danger because it provides a synergistic toxic effect, whether sum of the same actions or different mode of actions (Zachariasova et al. 2014). In addition, these mycotoxines that pass through the animal digestive system may be detoxified, or in the contrary, transformed to more toxic forms. Moreover, some mycotoxines may be synthesized during processing of the animal-derived products (Wen et al. 2016). Although selection of the fungal ferments should be lack of any mycotoxigenic fungi, various studies have indicated that the mycoflora isolated from meatderived products is usually contain some probable toxigenic fungi. So that, keeping the hygienic and nutritional quality of animal feeds is of an extreme importance, guaranteeing the animal health and productivity (Greco et al. 2014). Among 98 samples of sausage and burger analyzed for mycotoxins, 11.2% were found contained aflatoxin B1 (>1 ng/g), and 8.9% contaminated with A. flavus, A. niger, Mucor sp., and Penicillium sp. (Maktabi et al. 2016).

#### 5.3 Milk and Dairy Products

History of dairy products contamination with mycotoxins backs to the 1960s, where the first report of contamination by aflatoxin M1 (metabolized form of aflatoxin B1) in milk which resulted in sever health hazards. Feeding of lactating animals such as buffalos and cows with aflatoxin B1-contaminated fodder results in metabolizing of the ingested mycotoxin in the animal liver and its transformation into aflatoxin M1 which is highly water soluble and more excretable in the animal milk (Becker-Algeri et al. 2016). In addition, aflatoxin M1 is highly stable against pasteurization, sterilization, or other processing treatments (Iha et al. 2013). However, rate of bio-transformation of aflatoxin B1 to aflatoxin M1 varies between animals depending on the animal type, nutritional and physiological factors (Iqbal et al. 2013). In a 2-years survey study conducted in Egypt, 302 of raw milk and dairy products were

sampled and analyzed for aflatoxin M1. Of them, 21.6% and 18.3% of raw milk samples were found contaminated with aflatoxin M1 at concentrations exceed EU limits, respectively. While, 33.9% and 44.6% of karish cheese samples were found also contaminated at concentrations above EU limit, respectively (Ismaiel et al. 2020).

Although they have not been widely studied as aflatoxin M1, other mycotoxins such as ochratoxin A, zearalenone, fumonisins, and deoxynivalenol have also been reported in milk samples and dairy products even in non-significant concentrations. In this regard, of 38 milk samples, 84.2% of the samples were contaminated with ochratoxin A at 3.32–6.02 ng/ml which higher than EU limits.

#### 5.4 Fruits and Fruit-Based Products

Fruits and vegetables are subjected to attack by many toxigenic fungi including *P. expansum, P. claviforme, P. viridicatum, P. urticae, A. clavatus, A. niger, A. tubingensis, A. terreus, A. flavus, A. parasiticus, A. ochraceus, A. carbonarius, and Byssochlamys* sp. Among the known mycotoxines, patulin, aflatoxins, ochratoxin A, alternariol, and citrine are the most prevalent in vegetables and fruits (Nan et al. 2022).

However, the rate and level of contamination process in fruits and vegetables are affected by different factors such as susceptibility of the kind and cultivars of the plant, climatic variables, geographical site, pre- and post-harvest treatments, surface damage, and storage conditions (Oteiza et al. 2017). Occurrence and co-occurrence of various mycotoxins have been widely investigated in different fruits and their products juices. In this regard, Carballo et al. (2018) studied the occurrence of 15 mycotoxins in different fruit juices of pineapple, orange, apple, apricot, pear, and mixed juice. Of them, 36% were found contaminated with mycotoxins. Patulin was the most prevalent with frequency of 14-86%, while 43% of the mixed juice were contaminated with HT-2 toxin. In another study, Juan et al. (2017) studied mycotoxins presence in berries jam and juice. Of fifty-two analyzed samples, 53% of berries juice were found contaminated with aflatoxins, alternariol and alternariol monomethyl ether. Among them, 43% exhibited co-occurrence of two or more of the analyzed mycotoxins. As mentioned before, the mycotoxines are heat resistance molecules, not reduced by sterilization or pasteurization. In addition, drying of fruits or vegetables, which are contaminated with toxigenic fungi, does not prevent toxin production. Even deep freezing does not limit the mycotoxin concentration, just inhibits additional production of the mycotoxins through suppression of the fungal growth (Nan et al. 2022).

#### 6 Factors Affecting Mycotoxin Production and Occurrence in Foodstuffs

Production and contamination of a mycotoxin can be influenced by a diverse set of physical factors such as climatic conditions favored by the producing fungus (temperature, moisture, humidity, and aeration of stored foods), chemical factors such as application of suitable fungicides, and biological factors related to the toxigenic fungi and their substrates (Tola and Kebede 2016).

#### 6.1 Pre-harvest Conditions

Growth and occurrence of aflatoxin-producing fungi are highly occurred in temperate regions with warm climate so these areas are more exposed to this problem particularly due to global warming. Moreover, wounding by insects and herbivores or hot condition stress may affect plant susceptibility and lead to sever fungal infection in the field. In this regard, the best conditions for growth of the aflatoxigenic fungi *A. flavus* and *A. parasiticus* are 35 °C and 0.95 water activity, whereas, the optimum conditions for aflatoxin production are 33 °C and 0.99 water activity (Jackson and Al-Taher 2008). Other chemical components of the plant/fruit may induce/inhibit these fungi and their mycotoxin production such as soluble solids content (carbohydrates, and organic and amino acids). For example, 1% concentration of three sugars (sucrose, fructose, glucose) enhances the fungal growth but not their toxigenic activity, while, raising sucrose concentration to 20% leads to doubling of the produced toxin. In addition, application of suitable fungicides at proper doses and susceptibility of the plant cultivar can influence the fungal growth and mycotoxigenic activity.

#### 6.2 Harvest and Post-harvest Conditions

Health and ripening status of grains/fruits at harvesting has a great importance in limiting/enhancing infection of the toxigenic fungi and accumulation of their toxins during post-harvest and next processing stages. Excluding of rotten, abnormal, and insect-damaged fruits should be applied at harvesting. Good storing conditions such as aeration, temperature, humidity percentage, careful handling, and applying sanitary procedures have also a great importance in suppression/enhancement of fungal contamination. During processing stages, quality control should be established to prevent mycotoxin contamination. For example, fruits drying should begin immediately after the fruit harvest and for sufficient period at proper moisture content. However, raining during the drying period enhances the possibility of mycotoxin contamination (Jackson and Al-Taher 2008).

#### 7 Control Strategies of Mycotoxins

The high stability of different mycotoxins during harvesting, storage, and processing stages indicates the necessity for prevention of the fungal infection in the field, and contamination of the stored foodstuffs through utilizing safe agricultural and manufacturing practices and applying quality control during all stages (Ayofemi Olalekan Adeyeye 2020). However, if the mycotoxins are already occurred, decontamination/detoxification strategies should be followed which include suppression or reduction their absorption, induction of their excretion, or interfering their mode of action through addition of various food additives (Luo et al. 2018).

#### 7.1 Pre-harvest Strategies

Prevention of infection with toxigenic fungi in the field rather than mycotoxin detoxification in the next stages is more favored in mycotoxins control. The preharvest strategies include application of good agricultural practices such as use of resistant cultivars, disinfected seeds, crop rotation, suitable fungicides, insecticides, and herbicides (Alberts et al. 2017). In addition, the use of biological control products during the agricultural process such as antagonistic beneficial bacteria and fungi, or natural plant origin products is of a great importance to guarantee mycotoxins-free food (Sarrocco and Vannacci 2018). Many beneficial microorganisms (bacteria and fungi) have been widely studied as biocontrol agents against toxigenic and phytopathogenic fungi. In this concern, Bacillus- and Trichodermabased products share the vast majority of the biocontrol agents market (Rashad and Moussa 2020). These biocontrol agents pose potential antifungal activity against toxigenic fungi through multiple modes of action including competition for nutrients and/or space, antibiosis by (volatile, nonvolatile compounds, and lytic enzymes), and mycoparasitism (such as some Trichoderma spp.). In addition, most of the beneficial biocontrol agents have the ability to trigger the plant resistance against the attacking fungi (Köhl et al. 2019). Moreover, the biocontrol ability of some antagonistic microorganisms may exceed the fungal-growth-inhibitory activity to the anti-mycotoxigenic activity through suppression of the toxin biosynthesis process. In a recent study, twenty-nine strains of Bacillus spp., isolated from rice fields, were screened for their antagonistic and anti-aflatoxigenic activities against A. flavus. Of them, fifteen isolates inhibited the fungal growth of A. flavus, while twenty six isolates exhibited considerable anti-aflatoxigenic activity, some of which did not suppress the fungal growth (Chalivendra et al. 2018).

#### 7.2 Post-harvest Strategies

Elimination or alleviation of mycotoxin contamination during post-harvest and processing stages are considered one of the most serious challenges. Various techniques have been suggested in this concern including physical (such as radiation and thermal insulation), chemical (such as treating with bases, ozone or mycotoxin binders), and biological methods (such as microbial degradation or detoxification) (Agriopoulou et al. 2020). Whereas the chemical and physical methods have different limitations owing to their high cost and time-consumption, biological methods provide more potential, economic, and eco-friendly option (Wang et al. 2019).

#### 7.2.1 Physical Methods

Different physical methods are used to eliminate/minimize mycotoxinscontaminated foodstuffs such as washing, sieving, sorting, flotation and density segregation, peeling, irradiation, cold plasma, heating, drying, and use of mycotoxin binders. Washing and sorting represent the first stages of the mycotoxins control process. In this regard, Pascale et al. (2020) reported a reduction up to 94% in the aflatoxin content of maize batches when industrially processed by application of cleaning, mechanical (based on gravity), and optical sorting (using digital cameras) techniques. In contrast, elevated levels of aflatoxins ( $\approx 490 \ \mu g/kg$ ) were reported in the rejected parts. Elimination of rotten fruits significantly reduces the patulin mycotoxin content in the fruit batches fruit juice processing steps (Agriopoulou et al. 2020). On the other hand, solar irradiation, y-irradiation, and microwave irradiation are effective methods in detoxification of mycotoxins (Peng et al. 2018). Utilization of gamma irradiation at 5 kGy, as decontamination method, had considerable reduction in the toxicity of aflatoxin B1 and ochratoxin A molecules (Domijan et al. 2019). In addition, cold plasma, the fourth state of matter, which is an ionized gas with a mixture of reactive charged ions, UV photons, and electrons has been used as an alternative physical method for mycotoxins control. Cold plasma has the potential to detoxify the mycotoxins and suppress growth of the mycotoxigenic fungi (Pankaj and Keener 2017). In this concern, Casas-Junco et al. (2019) reported a complete inhibition of the mycotoxigenic fungi A. westerdijikiae, A. steynii, A. versicolor, and A. niger, and 50% reduction in the content of ochratoxin A in roasted coffee when treated with cold plasma for 30 min. Another alternative physical method of mycotoxins control which is the addition of mycotoxins binders to the contaminated foods, which bind to the mycotoxin molecules suppressing their absorption from the gut of livestock. A diverse set of adsorbents substances has been utilized such as activated clays, activated charcoal, clays, cholestyramines, graphene oxides, zeolites, and aluminosilicates (Ji and Xie 2020). Horky et al. (2020) reported rapid and efficient adsorption rates for application of graphene oxide against aflatoxins, zearalenone, and deoxynivalenol mycotoxins in crushed wheat in vitro.

#### 7.2.2 Chemical Methods

Mycotoxins eradication in foodstuffs using chemical treatments is another choice for mycotoxins control including ammoniation, ozonation, oxidation, and addition of antifungal preservatives. However, the chemical treatments are not favored by FAO owing to their probable roles in producing new toxic compounds, and lowering the nutritional values of the treated food (Peng et al. 2018). Detoxification (chemical inactivation/degradation) of mycotoxins in foods using ammonia as a gas or substance has been widely studied by many researchers leading to these mycotoxins to undetectable levels and suppress growth of the toxigenic fungi (Haque et al. 2020). Ammonization of aflatoxin B1 leads to its degradation through hydrolysis of lactone ring and decarboxylation to aflatoxin D1 but this hydrolysis process is reversible, so the ammonization process must continue for a long enough time. Ozonation of the foodstuffs such as cereals and vegetables is another reported method for degradation of many mycotoxins owing to its safety and efficiency (Conte et al. 2020). Li et al. (2019a, b) used gaseous ozone in order to detoxify deoxynivalenol in wheat scab and analyzed their degradation products. Their toxicities were found significantly lowered by ozone attack to deoxynivalenol. These results are in accordance with that obtained by Wang et al. (2016) on wheat grains. Application of eco-friendly antifungal chemicals as food preservatives such as chitosan for inhibition growth of the toxigenic fungi was also reported. Zachetti et al. (2019) investigated the synergistic effect of using chitosan and water activity on growth of F. proliferatum and F. verticillioides on maize and F. graminearum on wheat as well as their mycotoxins production. A growth reduction of F. graminearum as a result of application of chitosan at 0.5 mg/g, and suppression in the growth of F. proliferatum and F. verticillioides at 0.98 water activity and chitosan at 2 mg/g were obtained. In addition, a reduction in deoxynivalenol and fumonisins production on maize and wheat was also achieved.

#### 7.2.3 Biological Methods

Using microorganisms such as bacteria and fungi, or their enzymes in detoxification of mycotoxins has been heavily studied by many researchers (Ben Taheur et al. 2019; Wang et al. 2019). Detoxification/degradation of mycotoxins using microorganisms usually compresses converting them to less/non-toxic forms, which called biotransformation, through hydrolysis, hydroxylation, oxidation, methylation/ demethylation, or glycosylation processes (Li et al. 2020). In this regard, Wang et al. (2017) screened the zearalenone-degrading bacteria *B. pumilus* ES-21 based on their esterase activity. A degradation rate up to 95.7% for zearalenone in the culture medium was achieved. The probiotic yeast (*Saccharomyces cerevisiae*) was studied by Liu et al. (2019) and showed a significant mycotoxin degradation ability against deoxynivalenol. In another study, a degradation up to 90% of patulin to dexipitulic acid was also reported by the yeast *Rhodotorula mucilaginosa* (Li et al. 2019a, b). Bio-adsorption using microorganisms has been also described as another

mode of action for inactivation of mycotoxines. In this regard, Haidukowski et al. (2019) studied adsorption activity of Pleurotus eryngii mycelium, and reported an aflatoxin B1 removal of 85%. Bio-adsorption activity of lactic acid bacteria (Lactobacillus fermentum) for mycotoxins has been investigated by Adebo et al. (2019) achieving up to 98%, 84% and 82% reduction in aflatoxin B1, T-2, and alpha-zearalenol respectively through fermentation of sorghum grains. Using nontoxigenic fungal isolates from genera Aspergillus, Rhizopus, and Trichoderma to compete with the toxigenic ones is another form of biological control of mycotoxins (Sarrocco et al. 2019). Enzymatic detoxification is one of the most studied methods of biological control of mycotoxins. In this regard, detoxification of fumonisins mycotoxins by enzymes produced by Aspergillus spp. was reported (Burgess et al. 2016). The antifungal activity of  $\beta$ -glucanase and chitinase enzymes was tested by Cence et al. (2019) against the spoilage fungi P. simplicissimum, P. nalgiovense, A. niger and A. flavus, isolated from salami surface. A Highly growth inhibition for all tested fungi was obtained by application of both enzymes at 50% concentration indicating their efficacy in the fermented sausage industry. Plant extracts and essential oils are used also for their antifungal and anti-mycotoxins activities. Clove and turmeric essential oils have been reported as inhibitors for A. flavus and P. citrinum as well as suppressor for aflatoxin B1 production (Luo et al. 2018).

#### 8 Future Perspectives

Contamination of crops and foodstuffs with mycotoxins represents a great sanitary and economic problem and big challenge, particularly for developing countries, where there is a weak monitoring for the mycotoxin contents in the foodstuffs, and limited application of regulatory levels of mycotoxins. To overcome this challenge, a multi-aspect approach should be established. A research capacity buildings and research centers must be developed to set a food-borne-mycotoxins database and research expertise in areas of detection, analyzing, monitoring, and control of mycotoxins. Implementation of strict regulatory system to monitor and regulate trade, exportation, and importation of foods and feeds must be applied. Application of good agriculture practices and quality control procedures in all storage and processing stages should be enforced. Developing community knowledge and interest, especially farmers, for mycotoxins risk and ways to prevent their contamination should be implemented.

On the other hand, another problem should be addressed. It is about mitigation the adverse effects of global climate change, on the long-term, on occurrence and distribution of toxigenic fungi and their toxigenicity. Management control systems are needed to be applied including control measures of plant pathogens, as well as growing awareness of growers, breeders, and agronomists to overcome this challenge. Global warming may promote the growth, distribution, or translocation of toxigenic fungi, or it may alter their toxigenicity or pathogenicity.

#### 9 Conclusions

Mycotoxins represent a hazardous threat for humans and animals causing adverse health effects and considerable economic losses. Control of food contamination with mycotoxins and toxigenic fungi is a tremendous challenge, which requires applying of good quality control measures in order to prevent the infection with the toxigenic fungi in the field, storage, and food processing stages. Various control strategies have been described every year; however, there is a continuous demand to find new safe, effective, and low cost methods to control contamination of mycotoxins in foodstuffs to maintain the global food security.

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# Seed-Borne Mycoflora and Their Management



Satriyas Ilyas and Dyah Manohara

#### 1 Introduction

Seeds are the most important input for plant production and crop health. According to Anwar et al. (2013), about 90% crops of in the world use seeds as planting materials.

Seed-borne mycoflora are important not only have the potential to affect the seed quality causing germination failure but also can become a source of primary inoculum, causing new diseases and as inoculums that contaminate the soil can persist permanently (Kesho and Abebe 2020). Therefore, seed-borne mycoflora have to be managed, and seed health testing is an important step in managing seed-borne diseases.

In this chapter, we gather the previous research findings on the detection of seedborne mycoflora in various crop species with standard methods or a new approach using a multispectral imaging technique. The effect of seed-borne mycoflora on seed quality, plant growth, and yield is another concern. Finally, we present the findings on various seed treatments to overcome the seed-borne mycoflora.

A meta-analysis covering 396 studies globally shows that biological seed treatments can be a sustainable solution to increase crop yield under climate change while ensuring environmental sustainability and preventing negative effects on human health (Lamichane et al. 2022). Biological seed treatments can be done through biomatriconditioning or biopriming (Ilyas et al. 2015). The most common

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use is biopriming, which is a seed treatment that incorporates biological agents such as plant growth-promoting rhizobacteria (PGPR), involving the hydration of seeds and inoculation with beneficial microbes. Seed biopriming improves seed viability and vigor, plant growth, yield, and disease resistance through the production of growth regulators and protecting seedlings/plants from seed- or soil-borne pathogens (Ilyas et al. 2015; Mitra et al. 2021). Biopriming using PGPR inoculants is becoming more common in modern agriculture as an alternative to chemical treatments, thus being more environmentally sustainable and safer for future agriculture while improving plant growth and soil health (Mitra et al. 2021).

#### 2 Detection of Seed-Borne Mycoflora

Mycoflora as a seed-borne may be divided into two groups such as pathogenic and non-pathogenic fungi. Pathogenic fungi are fungi as the causal agent of disease in plants such as *Fusarium*, *Phytophthora*, and *Colletotrichum*. Non-pathogenic fungi are usually said as weak pathogenic or saprophytic such as *Penicillium*, *Aspergillus*, *Mucor*, and *Rhizopus* that colonize seeds as a result of physical damage or unfavorable environments that affect seeds during harvesting and storage (Gyasi et al. 2020). Detection of seedborne mycoflora is usually conducted using either a blotter test or agar plate method as recommended by International Seed Testing Association (ISTA).

Mycoflora of soybean seeds was observed by blotter test and agar plate method against eight varieties collected from central Java, Indonesia. Eight fungal species were found from the blotter test viz. Aspergillus flavus, Aspergullus niger, Cladosporium oxysporum, Colletotrichum dematium, Curvularia pallescens, Fusarium solani, Melanospora zamiae and Nigrospora sp. In contrast, only four species were detected by the agar plate method, Aspergillus niger, Cladosporium oxysporum, Colletotrichum dematium, and Fusarium solani (Soesanto et al. 2020). Ramdan and Kalsum (2017) also used the blotter test method to detect seeds' mycoflora, which were collected from West Java, Indonesia. They identified Fusarium spp., as the significant population, followed by Colletotrichum sp., Rhizopus sp., Curvularia sp., Aspergillus sp., and Penicillium spp. Amongst those seed mycoflora, the common pathogenic fungi against soybean in Indonesia were Collectotrichum dematium and Fusarium spp. Sajesh et al. (2014) revealed that C. dematium survived in the pericarp and hilum of soybean seed whereas Fusarium spp. was found in the pericarp and hilum and also embryo. Four seed-borne fungi species namely Aspergillus spp., Penicillium spp., Cladosporium spp., and Fusarium spp. were detected from soybean seeds by agar plate method in Turkey. Based on the pathogenicity test, F. proliferatum (RU1) and C. clodosporioides (AC1) isolates caused the seed rot. The other isolates, Aspergillus spp. and Penicillium spp., did not cause seed rot disease (Ustum et al. 2021), in other words, both were non-pathogenic.

Chang et al. (2020) conducted a screening of 12 soybean cultivar seeds in China using the agar plate method. The soybean plants were planted as intercropping with maize. In general, they found 148 isolates consisting of 13 fungal genera such as *Fusarium* spp., *Colletotrichum* spp., *Alternaria* sp., *Diaporthe/Phomopsis*, and nine genera in fewer populations. *Fusarium* was the dominant population (55%) followed by *Colletotrichum*. Two species of *Fusarium* viz. *F. fujikuroi* and *F. asiatum* had the highest pathogenicity compared to others. *F. fujikuroi* has been found in almost soybean cultivars. Alemu (2014) reported the result of detecting soybean seed-borne fungus by agar plate and blotter test method in Ethiopia. The fungus consisted of *Aspergillus flavus*, *Aspergillus niger*, *Fusarium* spp., *Penicillium* sp., and *Rhizopus* spp. Two fungi such as *A. flavus* and *A. niger* were dominant in both methods, and belong to saprophytic fungi. *A. flavus* occupied all parts of the soybean seed, while *A. niger was* found only on the pericarp and embryo (Sajeesh et al. 2014).

The cowpea cv. Phule Vithai seed-borne mycoflora was detected in India using five standard methods. The methods were the standard blotter test, agar plate method, blotter soak method, test tube water agar seedling symptom test, and standard deep freeze blotter method. They concluded that the standard blotter test without pre-treatment seeds in advance was the best because it could detect almost all the seed-borne fungi viz. *Alternaria alternata, Aspergillus flavus, Aspergillus niger, Fusarium oxysporum, Penicillium* spp. and *Fusarium moniliforme* (Zanjare et al. 2020). A similar result was found when 16 white cowpea seed samples collected from various cities in Pakistan were investigated for seed-borne fungi. Agar plate was the best method for the detection of *Macrophomina phaseolina* and *Rhizoctonia solani*, and deep-freezing and blotter methods were best for *Fusarium oxysporum*. The most dominant fungal species in all three methods used *were Aspergillus flavus* followed by *A. niger*. Surface sterilization of seeds with 1% sodium hypochlorite greatly reduced the incidence of saprophytic fungi (Dawar et al. 2015).

Screening seed-borne fungi of several legume species (Pisum sativum, Macrotyloma uniflorum, Lens culinaris, Phaseolus vulgaris, Vigna unguiculata, Cajanus cajan, Cicer arietinum) using blotter plate indicated that untreated seeds showed the highest number of seed-borne fungi than the seeds treated with 1% sodium hypochlorite for 10 min (Ghangaokar and Kshirsagar 2013). Pre-treatment with sodium hypochlorite by soaking the seeds is generally used to sterilize the surface of the seed so that the mycoflora attached to the seed surface will be lost. Dhakar et al. (2018) detected eight mycoflora using the agar plate method Alternaria alternata, Aspergillus flavus, A. niger, Curvularia lunata, Fusarium moniliforme, Rhizopus stolonifer, Mucor spp., and Trichoderma viride from wheat seeds in two districts in India. However, T. viride was not found when using the blotter test. The percentage incidences of all mycoflora isolated from sterilized seeds were lower than unsterilized ones. Rahim and Dawar (2015) investigated seed-borne mycoflora associated with okra (Abelmoschus esculentus (L.) Moench) seeds of 18 samples obtained from 13 areas of Pakistan. Among the ISTA seed health test methods used to detect the fungi, the agar plate method (59 species) was the best followed by the standard blotter method (35 species), only 5 fungi species were isolated by deep freezing. Species of *Aspergillus* and *Chaetomium* were the most dominant fungi isolated from the okra seeds. The incidence of storage fungi was reduced by surface sterilization of seeds with 1% sodium hypochlorite.

Mycofloral capsicum pepper seeds collected from three different agroecological regions in Sri Lanka were identified by Welideniya et al. (2019). They used several methods: the standard blotter method, agar plate, seed wash method, and deep-freezing method. They identified *Colletotrichum capsici* and *C. gloeosporioides* as pathogenic fungi, that cause anthracnose disease; *Fusarium* and *Aspergillus* as non-pathogenic or saprophytes on pepper seeds or fruits. Furthermore, they also observed the location of fungi in seeds by separating the parts of a seed. The result showed that *C. capsicum* and *C. gloeosporioides* were found in the seed coat, pericarp, and embryo of the diseased pepper plant but not in the healthy plant. *Fusarium* and *Aspergillus* were detected only on the seed coat of sick and healthy pepper plants.

Birla et al. (2020) identified seed mycoflora of two chili varieties (Garima-12 and HPH-12) by agar plate method, blotter paper method, and rolled paper towel method in India. The result revealed five seed-borne fungi viz. *Aspergillus flavus, A. niger, Colletotrichum capsici, Penicillium citrinum,* and *Fusarium annum*. Amongst the three methods, the agar plate method was the best for detecting the mycofloral of chili seeds. Ramdan and Kalsum (2017) identified the chili seed-borne fungal by blotter paper method, two kinds of fungus were the dominant population, viz. *Colletotrichum* sp. was a pathogenic fungus and *Rhizopus* sp. was a saprophyte fungus usually found in storage as the contamination.

Assessment of seed health specifically the seed-borne fungi on hybrid cacao seeds was done using water agar (WA), potato dextrose agar (PDA), and filter paper (FP). Thirteen species of seed-borne fungi were identified by WA and PDA media, and eight species by FP. The 13 seedborne fungi potentially reduced the physiological seed quality and yield of cacao. Predominant fungi were *Aspergillus* spp., *Penicillium chrysogenium, Colfoundetotrichum acutatum, Curvularia geniculata*, and *Fusarium* spp. However, these fungi need to be further tested for their pathogenic, saprophytic, or antagonistic properties towards other fungi on cacao seeds (Baharudin et al. 2012).

Welideniya et al. (2019) stated that many researchers have observed mycoflora on seeds using many kinds of methods. Hence, the results were quite varied since each method has advantages and disadvantages depending on the type of plant, cultivation, and location. Pathogenic fungi such as *Colletotrichum* spp. may settle in soybean and chili seeds internally and/or externally. The non-pathogenic or weak pathogenic, namely *Fusarium* and *Aspergillus* found on the seed coats of soybean and chili seeds. Both of them attached the seed coat during the processing of harvesting or storage so it is well-known as storage fungi besides *Penicillium* spp., *Mucor* spp., and *Rhizopus* spp. (Amza 2018).

Regular methods known as seed health test for detecting and identifying seedborne fungi is time-consuming and requires highly trained specialists for the characterization of the pathogens. Therefore, a new approach using a multispectral vision system for identifying surface properties of different fungal infections was tested in spinach seeds. It was shown that multispectral imaging with wavelengths ranging from 395–970 nm could be used to distinguish between uninfected seeds and seeds infected with *Verticillium* spp., *Fusarium* spp., *Stemphylium botryosum*, *Cladosporium* spp. and *Alternaria alternata* (Olesen et al. 2011). Recently, Rego et al. (2020) used the multispectral imaging technique at 19 wavelengths (365–970 nm) in combination with statistical models for evaluating the health status of cowpea seeds. The seeds were artificially inoculated with *Fusarium pallidoroseum*, *Rhizoctonia solani*, and *Aspergillus* sp. A model based on linear discriminant analysis (LDA) was developed using the reflectance, color, and texture features of the seed images. Results demonstrated that the LDA-based models were efficient with high accuracy (92–99%) in detecting and identifying different species of fungi in cowpea seeds. This confirms that the new method is promising for further development to evaluate seed-borne fungi rapidly.

### **3** Effect of Mycoflora on Seed Health and Viability, Plant Growth, and Yield

High-quality seed alone is estimated to contribute 18–20% to increasing crop yield with other production inputs fulfilled. However, many biotic and abiotic factors affect seed quality. Seed health is one important aspect of seed quality. The presence of seed-borne pathogens may reduce seed germination and seed vigor resulting in a low yield of up to 15% to 90% (Gebeyaw 2020).

A seed is a small embryonic plant that is a basic unit of production for all plants. Seed health is essential for plants to produce good products (Tsedaley 2015). Almost all seeds are contaminated by mycoflora, amongst which there is a seed-borne pathogen. The fungal pathogen interferes with seeds, decreasing seed germination and vigor, thus reducing seed quality (Chang et al. 2020). Furthermore, during germination, it may cause seed rot and seed mortality or inhibit plant growth and decrease productivity (Amza 2018). However, a fungal pathogen stays in the seed without showing symptoms as a latent infection (Boufleur et al. 2021; de Silva et al. 2017), so it could be a primary inoculum disease in new planting areas. Seed-borne diseases are the major production constraint for almost all crops, for instance, soybean dan chili.

Chang et al. (2020) studied the soybean seed mycoflora cultivated by relay strip intercropping with maize in Southwest China. *Fusarium* was the dominant population, followed by *Colletotrichum*. Further study against five *Fusarium* species found that it caused significantly reduced the germination percentage and vigor of soybean seedlings. In the previous reports (Pedrozo and Little 2017; Naeem et al. 2019), as pathogenic fungi, *Fusarium* could infect almost all the growth stages of soybean plants causing pod rot and seed decay. *Colletotrichum* spp. is a fungal pathogen usually found in soybean seeds (Dias et al. 2019). According to Boufleur et al. (2021), the main limiting factor of soybean production is caused by *Colletotrichum*, which causes anthracnose disease and the loss of production of up to 50%. As a seed-borne pathogen, the fungal causes pre and/or postemergence damping-off. Besides that, Colletotrichum also can carry out systemic infections in all parts of the soybean plant as a latent or silent infection, meaning without causing visible symptoms (de Silva et al. 2017; Dias et al. 2016). The potential of inoculum carrier seeds to spread to various areas will threaten the loss of soybean production in new areas (Naeem et al. 2019). The anthracnose incidence of about 1% can cause soybean production loss of up to 90 kg/ha (Dias et al. 2016). Soybean anthracnose is caused by C. truncate (Dias et al. 2019), although other Collectotrichum species have also been reported as causal agents of this disease. Boufleur et al. (2021) declared that at least 12 Colletotrichum lineages are associated with soybean; among them, C. truncatum is considered the most important causal agent of soybean anthracnose. According to Jauhari and Majid (2019), production loss due to soybean anthracnose may be up to 95%. Besides the pathogenic fungi, saprophytic fungi were frequently found dominant as mycofloral soybean seeds such as Aspergillus, Penicillium, and Rhizopus. Those fungi could reduce the germination of seeds and damage the seeds in storage (Alemu 2014).

*Colletotrichum* spp. is also found as seed mycoflora on chili pepper (*Capsicum annuum* L.), causing the disease known as anthracnose. Severe losses will occur if chili pepper is cultivated in tropical and subtropical areas due to anthracnose, which can occur during the preharvest or post-harvest period as fruit rot (Welideniya et al. 2019). *Colletotrichum* is a seed and air-borne, can infect chili from an early stage and continue until harvest (Saxena et al. 2016; Manda et al. 2020). According to Welideniya et al. (2019) in Sri Lanka, two species of *Colletotrichum are* usually found as the causal agent of anthracnose on chili pepper viz. *Colletotrichum capsici* (*Colletotrichum truncatum*) and *C. gloeosporioides*, decreased the quality and quantity of the harvesting. Germination of infected seeds ranges between 30.0% and 33.3%. Pre-emergence and postemergence losses range between 66.7–70.0% and 27.8–68.6%, respectively. In contrast, the sample from healthy seeds showed a germination range between 93.3% and 96.7%. Pre-emergence and post-emergence losses were between 3.3–6.7% and 0.0–11.1%, respectively.

Abdulwehab et al. (2015) investigated seed-borne mycoflora on six Sudanese leguminous crops *Cajanus cajan*, *Cicer aritinum*, *Dolichos lablab*, *Medicago sativa*, *Phaseolus vulgaris*, and *Vigna unguiculata* and their effect on seed germination and seedling emergence. *Alternaria*, *Aspergillus*, and *Fusarium* (four species each) were the most dominant fungi among others. The seeds contaminated with both saprophytic and pathogenic mycoflora (17–64%) reduced seed germination (41–86%), and seedling emergence (29–81%). Chaudhari et al. (2017) did a seed health test on pigeon pea (*Cajanus cajan* L.) using the agar and blotter method and detected *Alternaria alternata*, *Aspergillus flavus*, *A. niger*, *Fusarium moniliforme*, *Fusarium oxysporum*, and *Fusarium udum*. The seed mycoflora caused a reduction in seed germination and seedling vigor as compared to the healthy seeds (control), and the most prominent reduction was caused by *Aspergillus* spp. Sahu and Lakpale (2020) examined the seed health of lentil (*Lens culinaris* Medik.) seed lots of six varieties. When the roll paper towel method was used, the maximum frequency of

mycoflora was observed from local variety (110%) i.e. Aspergillus flavus (26%), *Fusarium* sp. (24%), *Rhizopus stolonifer*, *Penicillium* sp. (18%), *Chaetomium globosum* (12%), *Aspergillus niger*, and *Alternaria alternata* (6%) with minimum germination percentage (80%). Whereas the JL-3 variety recorded higher germination due to lower frequencies of detected mycoflora as compared to other varieties in the study. The presence of mycoflora may cause abnormalities and failure in seed germination. Hussain et al. (2009) examined the incidence of seed-borne fungi and their impact on the seed germination of pearl millet (*Pennisetum typhoides*). By use of the blotter test method, three fungi species were detected predominantly *Alternaria alternata* (35.5%), *Fusarium semitectum* (33.5%), and *Curvularia lunata* (23.5%), and other species of mycoflora were less than 20%. The roll paper method for the germination test showed that the percentage of abnormal seedlings resulting from naturally infected seeds was higher than normal ones.

Seed health tests were conducted on sorghum and foxtail millet seeds collected from different growing areas in South Korea using dry inspection, standard blotter, and the agar plate method. Five dominant seed-borne fungi species (*Alternaria alternata, Aspergillus flavus, Curvularia lunata, Fusarium moniliforme,* and *Phoma* sp.) were observed in sorghum and four dominant species (*Alternaria alternata, Aspergillus flavus, Curvularia lunata, Fusarium moniliforme*) were recorded in fox-tail millet. Inoculation of the dominant seed-borne fungi increased infected and dead seedlings and reduced the rate of seedling emergence (Yago et al. 2011). Wheat seeds tested with blotter test indicated the presence of field fungi was high initially in the storage, however, storage fungi were increased at the end of storage. The seed germination decreased as the storage duration increased (Habib et al. 2011).

#### 4 Management of Seed-Borne Mycoflora

Crop seeds are subjected to pathogen attack during seed development and before or after harvest by many species of mycoflora. Seed-bearing pathogen serves as the primary source of infection and has a very important role in the epidemiology of the disease because it is a very effective means for transporting the pathogen over long distances (Dhakar et al. 2018). It is well-known that the seed-borne pathogen can affect seed quality, plant growth, and crop productivity. Therefore, preventive action must be taken to anticipate the spread of the disease.

The most basic seedborne disease management in the seed production chain is analyzing seed lots for the presence of pathogens. Langeraak (1998) suggested that management of seedborne disease includes: (1) prevention of seed infection or contamination during seed establishment, (2) control of pathogens from developing further in seeds after harvest, (3) prevention of contamination between seed lots during processing, packaging, storage, and distribution; (4) reduction of infection or contamination levels in infected seed lots (disinfection, eradication), (5) do not send infected seed lots to areas at risk of disease, (6) never use infected seeds in diseasefree areas, (7) production of next-generation seeds from healthy seeds in disease-free areas or areas with low disease incidence/frequency, (8) development and use of disease-resistant varieties, and (9) field inspection, roguing, disease and weed control. The most effective way to protect from seedborne diseases at an early stage is by detecting and controlling pathogens (Rai et al. 2020). Gupta and Kumar (2020) stated that strategies and techniques for disease management can be categorized into prevention and therapy or curative (treatment or cure). Prevention includes practices undertaken for the management of plant diseases before the infection occurs, whereas curative action refers to practices undertaken after the pathogen encounters. For example, quarantine helps in the prevention of disease in any region, whereas seed treatment help in the elimination of established phytopathogens.

Management of seed-borne mycoflora should be conducted from the beginning, that is, before planting. It is recommended to use certified seeds or a location free from major pathogens. Seed health testing should be done before planting by conducting direct and indirect observation of seed samples. Direct observation is carried out to examine the shape and color of seeds. Good seeds commonly perform a uniform shape dan color. Indirect observation is conducted through incubation with the blotter method, for instance. The result of seed health testing determines the seed treatment method used afterward. Seed treatment aims to reduce or eliminate seed-borne mycoflora, which consists of physical, chemical, and biological practices (Amza 2018). Seed health tests followed by appropriate seed treatments are effective strategies for controlling seed-borne pathogens (Gebeyaw 2020).

Seed-borne mycoflora can be divided into two categories, originating from the field and storage infestation (Amza 2018). In the field, the origin of seeds, soil microflora, and plant cultivation techniques are the factors that can be the source of seed-borne mycoflora, especially pathogenic fungi. Seed-borne mycoflora can be air-borne mycoflora after planting in the field and spreading. Storage mycoflora usually belongs to the saprophytic or weak pathogen group of fungi.

Hot water treatment included in physical seed treatment is an old practice. However, it is an efficient method to eliminate seed-borne pathogens both inside and on the surface of the seed and an eco-friendly control method. The temperature is hot enough to kill the pathogens but does not affect the germination of the seed, so the seed soaking duration depends on the crop and the type of the pathogen (Singh et al. 2020a, b). Hot water treatment of carrot seeds at 54 °C for 20 min controlled *Alternaria dauci* as the causal agent of *Alternaria* leaf blight without affecting germination and yield (Amza 2018; Singh et al. 2020a, b). Maize seeds of three varieties were evaluated on the major seed-borne mycoflora *Bipolaris maydis*, *Cuvularia lunata*, and *Fusarium*. Seeds treated with hot water at 50 °C provided the best results for controlling the fungal infections while improving seed germination percentages as compared to other hot water treatments of 45 °C and 55 °C and untreated (Rahman et al. 2008). Hot water treatment at 54 °C for 15 min also controlled the chili seed-borne fungi (Alam et al. 2014).

According to Ayesha et al. (2021), heat treatment has generally been used as a seed treatment that can eliminate some seed-borne pathogens without interfering with seed germination. Besides hot water treatment, physical treatment can be done through hot air, aerated steam, and radiation. Those treatments have been used to

eliminate seed-borne fungi in some crops, but the results are variable and, in some cases, inconsistent.

Seeds can also be treated with a microwave to combat seed-borne fungi. Szopinska and Dorna (2021) investigated the effect of dry and wet microwave treatments on carrot seeds cv. Amsterdam, the seeds were naturally infected with *Alternaria radicina* at 38% and seed germination was 50%. Microwave-wet treatment (the seeds were soaked in water) at different output levels (500 W, 650 W, 750 W) for 45–90 s, significantly reduced the infection. The germination percentages reached the highest from the 650 W for 45 s treatment (85%) followed by 500 W for 75 s (81%) and 750 W for 60 s (77%), and the water temperature in those microwave radiation treatments was 58, 66, and 67 °C, respectively.

Seed disinfectant treatment of soybean had proved to reduce the number of seeds contaminated with mycoflora. In non-treated soybean seeds, the number of seeds that were contaminated with fungi was 58.7%. The number of contaminated seeds was reduced to 4%, 14.7%, and 16.7% after treatment in hot water at 60 °C for 2 min, 2% calcium hypochlorite for 10 min, and 5% acetic acid for 2 min, respectively. The data showed a decrease of 93.2%, 74.9%, and 71.6% of the total initial seed-borne fungi due to treatment in hot water at 60 °C for 2 min, 2% calcium hypochlorite for 10 min, and acetic acid 5% for 2 min, respectively. Based on the data, physical seed treatment practice for soybean was soaking in hot water at 60 °C for 2 min, or chemical seed treatment such as soaking in 2% calcium hypochlorite for 10 min, or 5% acetic acid for 2 min were recommended as potential seed disinfection treatments for soybean seeds, which no effect to germination seeds (Escamilla et al. 2019). Six fungi species (Aspergillus flavus, Rhizopus stolonifera, Colletotrichum capsici, Curvularia lunata, Alternaria alternata, and Fusarium moniliforme) isolated from chili seeds were effectively suppressed in vitro by 3% hydrogen peroxide compared to lower concentration (1% and 2%). However, the highest seed germination and vigor index was obtained in 1% H<sub>2</sub>O<sub>2</sub>-treated chili seed (Nandi et al. 2017).

Rahim and Dawar (2012) treated lentil seeds with NaCl or KCl 0.1% (w/w) before storage. Both seed treatments were effective to combat storage fungi observed after up to 80 days of seed storage at room temperature of 25–30 °C. Sterilization of the seed surface with 1% Na(OCl)<sub>2</sub> reduced the infestation of the fungi. Although KCl was more effective than NaCl against *Aspergillus* spp., NaCl was the best in increasing seed germination as compared to KCl seed treatment and control.

Fungicides have been used routinely to control seed-borne fungi; sometimes, it is the cheapest and most effective in eliminating or inhibiting the growth of seedborne fungi compared to other seed treatment methods (Ayesha et al. 2021). Three groups of fungicides are used as seed treatment based on their mobility. The first groups are fungicides that act by contact, the action is surface protectants of seedborne and soil-borne pathogens. The second groups are locally systemic, surface, and internal seed-borne pathogens targeted. The third groups are xylem mobile and systemic translocation. The systemic fungicide, such as carboxin and thiabendazole reduced both seed-borne and soil-borne fungal pathogens. Besides that, some fungicide seed treatments can improve seed emergence and plant vigor (Lamichhane et al. 2020).

Several mycoflora species infected the seeds of three rice cultivars in India. Seed treatment with 2 g carbendazim (kg seed)<sup>-1</sup> was more effective than mancozeb in inhibiting the seed-borne pathogen activity during the 9 months storage period. These chemicals possess antimicrobial agents hence the deterioration level in these treated seeds was less (Pedireddi et al. 2018). The incidences of downy mildew caused by *Peronosclerospora maydis*, seed-borne fungi in sweet corn grown in plastic-house and field experiments were reduced by seed treatment with synthetic fungicides combination of 3 g metalaxyl 25% (kg seed)<sup>-1</sup> and 5 g dimethomorf 60% (kg seed)<sup>-1</sup> (Sonhaji et al. 2013).

Working on lentil seeds, Hoque et al. (2014) found Aspergillus flavus, A. niger, Penicillium spp., and Fusarium spp. as seed-borne pathogens. Seed treatment with fungicide Secure 600 WG (0.2%) (fenamidone + mancozeb) was the most effective in controlling the fungi. Chaudhari et al. (2017) applied seed treatment on pigeon pea (*Cajanus cajan* L.) infected with several seed-borne mycoflora. A combination of metalaxyl and mancozeb 0.2% was the most effective seed treatment in improving seed germination and seedling vigor.

Complete elimination of seed-mycoflora through seed disinfectant seems impossible, so it has to be supported by other strategies such as Good Agriculture Practices/ GAP (Amza 2018; Chang et al. 2020). Cultural management is one of the most critical factors in preventing disease in seed production, so good care and maintenance of the crops must be applied to obtain good seed quality.

#### 5 Biological Seed Enhancement Treatment to Control Seed-Borne Mycoflora and Improve Seed Quality, Plant Growth, and Yield

Seed quality comprises genetic, physical, physiological, and pathological quality or seed health. The use of healthy seeds will result in healthy seedlings, good plant performance, and a high yield. On the other hand, unhealthy seeds or seeds with seed-borne pathogens may reduce seed viability, germination will fail or die. The seed germination may not be affected but the pathogens may develop and result in abnormal seedlings. One infected seed can infect many seedlings in the seedbed before being transplanted to the field. A few infected plants can be the source of inoculum to spread the disease to other plants. Therefore, the seed-borne pathogens must be eradicated by seed treatments before the seeds are used for planting. Many studies have been done on developing various seed enhancement treatments to control seed-borne mycoflora or fungi while improving seed vigor as well.

Biological seed treatments are an alternative to chemical seed treatments. Chemical seed treatments harm human health and the environment. Seed treatment using biological agents such as antagonists of the fungal pathogen in seed-mycoflora has been developed as eco-friendly control management. *Trichoderma viride* was reported to eliminate seed-borne pathogens such as *Colletotrichum dematium*, *Alternaria alternata, and Phyllosticta cajani*, and reduce seed-borne saprophytic such as *Aspergillus flavus* (Amza 2018). According to Lamichhane et al. (2022), biological seed treatments significantly improve seed germination  $(7 \pm 6\%)$ , seedling emergence  $(91 \pm 5\%)$ , plant biomass  $(53 \pm 5\%)$ , disease control  $(55 \pm 1\%)$ , and crop yield  $(21 \pm 2\%)$  compared to untreated seeds.

Seed dressing with fungicides combined with natural bio-formulants such as *Pseudomonas, Trichoderma,* and rhizobia enhanced crops' field performance (Ayesha et al. 2021). Seed dressing of chili (*Capsicum annuum*) with *Trichoderma* and fungicide carboxin, metalaxyl, and carbendazim effectively reduced seed-borne mycoflora that were *A. flavus, A. niger, Colletotrichum capsici, Penicillium citrinum,* and *Fusarium annum.* Besides that, it also reduced soil-borne fungi and increased seed germination (Birla et al. 2020).

Ilvas et al. (2015) incorporated biological agents Trichoderma harzianum or T. pseudokoningii in matriconditioning, so-called biomatriconditioning, the best treatment to reduce Colletotrichum capsici contamination level. However, biopriming showed better than biomatriconditioning in improving percent germination and index of vigor. Biopriming with a mixture of Bacillus polymixa BG25 and Pseudomonas fluorescens PG01 reduced anthracnose disease incidence and improved plant growth, fruit yield, and seed quality of harvested seeds. According to Sutariati et al. (2014), biological seed treatment with P. fluorescens PG01 only or a mixture with *B. polymixa* BG25 led to the induction of resistance against *C. cap*sici, as a result of the increase in peroxidase activity and phytoalexin biosynthesis that have been considered as resistance mechanisms against plant diseases. Amin et al. (2014) found that Colletotrichum lindemuthianum the seed-borne fungi causing anthracnose in common beans (Phaseolus vulgaris L.) was suppressed by bioagent seed treatments using either Pseudomonas fluorescence, Trichoderma harzianum or Trichoderma virides. The lowest percentages of disease severity, disease incidence, and infected pods per plant, and the highest yield were shown by the P. fluorescence treatment. While the untreated plots had the maximum disease incidence and severity levels, and the lowest yield.

Mastouri et al. (2010) examined the effects of seed treatment with *T. harzianum* strain T22 on the germination of tomato seed cv. Jubilee. The seeds were treated with the conidial *T. harzianum* suspension of  $2 \times 10^7$  CFU g<sup>-1</sup> seed and then were exposed to biotic stress (seed and seedling disease caused by *Pythium ultimum*) and abiotic stresses (osmotic, salinity, chilling, or heat stress). The treated seeds germinated faster and more uniformly under abiotic stress and improved the seedling vigor of aged seeds. This study indicated that the beneficial fungi ameliorate both biotic and abiotic plant stresses.

*Phytophthora capsici*, a seed-borne, and soil-borne fungal pathogen is the cause of phytophthora blight on chili. The disease is difficult to control because of the resistant varieties' unavailability in Indonesia. From our study, *P. capsici* fungus was found in all samples of sick plants in several chili planting areas in East Java-Indonesia, and there were three rhizobacterial isolates (E1, E3C2, and F2B1), out of

78 isolates from rhizosphere soil and 174 isolates from the rhizoplane of the healthy plants, that can inhibit *P. capsici* in vitro (Zakia et al. 2018). Biopriming of chili seeds with the rhizobacteria combination of E1 + F2B1 isolates after transplanting was capable to improve plant growth and control phytophthora blight disease in the greenhouse while 800 ppm metalaxyl seed treatment did not effective (Zakia et al. 2017). Furthermore, seed coating and biopriming of the chili seeds with those rhizobacteria were able to maintain seed viability (79-89%) for 24 weeks of storage at 27-30 °C as compared to metalaxyl seed treatment that reduced the viability down to 54% (Madyasasi et al. 2017). And when the bioprimed seeds were planted after being stored for 7 months, the plant growth was increased although the soil was inoculated with P. capsici. Bioprimed or coated seeds reduced the disease incidence by 28.3% while metalaxyl did not (Hikmawati et al. 2019). Our previous studies also proved that biopriming with rhizobacteria isolated from healthy pepper (Piper *nigrum*) plants, reduced the phytophthora blight disease incidence as compared to metalaxyl treatment (Rosadiah et al. 2015; Ibrahim et al. 2014), and there was no significant difference whether the rhizobacteria applied singly or combination of two isolates (Rosadiah et al. 2015).

Biopriming of tomato seeds with a combination of ascorbic acid and antagonistic microbes *Trichoderma asperellum* BHU P-1 and *Ochrobactrum* sp. BHU PB-1 improved plant growth, increased total phenol and lignin content in the plant, and induced pathogenesis-related proteins' gene expression in response to the *Fusarium oxysporum* f. sp. *lycopersici* challenge, which reduced Fusarium wilt disease incidence in tomato (Singh et al. 2020a, b).

Another sustainable and environmentally safe approach for controlling seedborne fungal diseases is the use of natural products, especially plant-derived compounds. They have played a significant role in reducing the incidence of seedborne pathogens and improving seed quality and seedling establishment (Bello and Sisterna 2010). Chitosan, a natural product, is known to have antimicrobial properties and has been used to control fungi pathogens. Jatropha curcas seeds applied with chitosan before inoculating with Fusarium equiseti and Curvularia lunata inhibited their pathogenic activities without reducing seed germination (Pabón-Baquero et al. 2015). Chitosan and yeast elicitor at 2000 ppm are potential compounds for seed-priming biopolymer agents to control seed-borne fungi (Aspergillus flavus, A. niger, Botrytis cinerea, Fusarium moniliforme, F. oxysporum, Phoma exigua, Rhizopus stolonifer, Macrophomina phaseolina, Penicillium spp., Curvularia lunata, Chaetomium spp., Colletotrichum spp., Cercospora spp. and Alternaria alternata) of cucurbits (cucumber, bottle gourd, sweet gourd, snake gourd, wax gourd). The seeds were soaked for 2 h in chitosan or yeast elicitor solution at room temperature before sowing. Antimicrobial activity of chitosan resulting from positively charged amino groups that respond to negatively charged cell membranes of microorganisms. This reaction leads to the leakage of intracellular protein components and other microorganism components. Yeast extract may contain vitamin B complex and glucan that elicit plant defense responses by triggering metabolite synthesis (Tumpa et al. 2018).

Plant-derived compounds including plant extracts and essential oils have been known to have antifungal properties that are potentially used as seed treatment replacing synthetic fungicides to protect seeds against seed-borne pathogens. Among plant-derived products, essential oils are used widely as a method of controlling plant diseases. Major active compounds from essential oils are known to have broad-spectrum antifungal activity against plant pathogens (Bello and Sisterna 2010).

Seed treatment with some botanical extracts potentially proved to control seedborne mycoflora. Gyasi et al. (2020) found that the effectivity of garlic (Allium sativum) aqueous extract (60% (w/v)) and mancozeb suspension was the same in eliminating C. capsici and C. gloeosporioides when the chili pepper seeds were soaked for 24 h. Ginger (Zingiber officinale) aqueous extract (60% (w/v)) was effective against seed-borne Fusarium and Aspergillus spp. whereas aqueous neem (Azadirachta indica) extract (60% (w/v)) was effective in controlling A. flavus and A. niger. Based on that result, garlic aqueous extract (60% (w/v)) can be used to control chili seed-borne fungi, replacing mancozeb (synthetic fungicide), so that to be an eco-friendly control method. Alam et al. (2014) studied some botanical seed treatments against chili seed-borne fungi, such as neem leaf extract (1:1 w/v), garlic clove extract (1:3 w/v), and ginger extract (1:2 w/v), and the result showed that three extracts eliminated C. capsici and Fusarium moniliforme seed-borne fungi. Besides that, neem leaf extract increased seed germination, healthy seedling, and seedling vigor. Working with pigeon pea seed, Chaudhari et al. (2017) showed that neem seed extract as well as Trichoderma viride were effective in reducing the seed-borne mycoflora and increasing seed germination and seedling growth. Investigating sunflower seeds, Afzal et al. (2010) found that Azadirachta indica and Allium sativum (0.015%) were the best antifungals against all the fungi tested (Alternaria alternata and A. helianthi, Aspergillus flavus, A. fumigatus, and A. niger, Curvularia lunata, Drechslera tetramera, Fusarium solani, and F. moniliforme, Macrophomina phaseolina, Mucor mucedo, Penicillium and Rhizopus spp.). Therefore, both plant extracts could substitute the systemic fungicides Topsin and Bayleton which were very effective in eradicating the phytopathogens.

Ilyas et al. (2015) found that matriconditioning using burned rice hull (65 mesh) plus 0.1% clove oil was the best seed enhancement treatment that significantly reduced infection levels of *C. capsici* in infected hot pepper seeds and increased the index of vigor and relative speed of germination. When clove leaf powder was used as a botanical fungicide integrated into matriconditioning it showed better for reducing the *C. capsici* infection level of hot pepper seeds than matriconditioning plus fungicide. This treatment also improved the storability of infected hot pepper seeds.

Gyasi et al. (2022) identified seed-borne fungi on 200 accessions of cowpea under cold storage at CSIR-Plant Genetic Resources Research Institute (PGRRI), Ghana. Amongst botanical fungicides which have an antifungal effect on the major seed-borne fungi of the cowpea seeds, the most effective one, *Aframomum melegueta* extract was highly recommended as a seed protectant. Mancini and Romanazzi (2013) revealed that among the plant extracts, thyme oil for seed treatment was more frequently effective than other natural compounds against various seedborne pathogens in vegetable crops.

#### 6 Concluding Remarks and Future Research

Seed is an effective medium in carrying the seed-borne mycoflora and infected other seeds, transmitting them through the plant and finally to the seeds. Infestation of mycoflora can also occur during harvest and post-harvest handling. Furthermore, mycoflora can be developed faster during the non-optimum storage condition, resulting in deteriorated or damaged seeds. The presence of mycoflora may cause abnormalities and failure in seed germination. If the germination is not affected, the fungi pathogens may show symptoms either at the vegetative or generative stage which cause yield reduction.

Among management strategies for controlling seed-borne mycoflora is an assessment of seed health to detect seed-borne pathogens. The blotter test and agar plate test are the most effective methods to detect seed-borne mycoflora. Determination of the seed's health status can be used as a guide to provide appropriate seed treatments. Chemical seed treatment is commonly used to combat seed-borne fungi. However, due to environmental safety or eco-friendly and sustainable considerations, the use of plant-derived protectants including plant extracts and essential oil, and biological agents increased. *Trichoderma* spp. are the most widely used biological agents to control seed-borne diseases, improve seed quality, plant growth promotion, and eventually increase yield. The efficacy of biological seed treatments needs to be investigated further on finding a more precise formula for application in the field on a large scale.

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## **Rhizosphere Mycobiome: Roles, Diversity, and Dynamics**



#### Tarek A. A. Moussa, Akram H. Mohamed, and Mohamed S. Zaky

#### 1 Introduction

A variety of fungi live in numerous compartment niches generated by plants, including the rhizosphere, endosphere, and phylloplane (Trivedi et al. 2020). The health, productivity, secondary metabolism, and biogeochemical cycling of plants are significantly influenced by a variety of interactions between these plant mycobiomes and their hosts (Vandenkoornhuyse et al. 2015; Frantzeskakis et al. 2020).

We are significantly less knowledgeable about the ecological characteristics of plant mycobiomes and their interactions with hosts, particularly in the context of coevolution, even though they are important, and fungi contribute more terrestrial biomass than bacteria do (Bar-On et al. 2018).

The evolutionary traits of plant-microbe interaction patterns have been studied very infrequently, even though many studies have estimated correlations between host evolutionary changes and microbiome variations to demonstrate evidence for phylosymbiosis (Vincent et al. 2016; Kim et al. 2020). The vast diversity of the microbial community and the intricacy of the underlying chemical pathways make this big (Upson et al. 2018). However, by focusing on whether bacteria that have been selected for or against different plant species are ecologically similar, we can simplify this investigation and arrive at a fundamental understanding because

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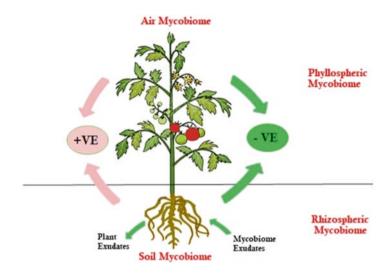


Fig. 1 The interaction of mycobiome with the plant

selection and exclusion of microbes are two important outcomes of plant-microbe interactions (Fig. 1).

#### 2 Neutral Processes

The neutral model offers a powerful sampling theory-based tool to assess the relative importance of these ecological processes. The neutral model is used because it is straightforward and effective in simulating microbial communities, even though the basic idea of ecological equality between species seems overly straightforward (Zhou and Ning 2017). More importantly, this model may be used as a null model to derive particular hypotheses regarding the roles of those taxa by examining how microbial taxa differ from the neutral prediction (Burns et al. 2016).

The relative simplicity of neutral and other sampling-based theories makes them a good starting point for studying assembly patterns. The similar per-capita rates of species' development, death, and dispersal, which assume species are "neutral" in their ecological fitness, are the basis for the neutral theory, which gets its name. Without these distinctions, community assembly is the consequence of the stochastic processes of dispersal and drift, in which organisms in the community randomly die and are replaced by individuals from the same community or by individuals dispersing from another community. Neutral models have accurately predicted the patterns of many communities, including microbial communities, even though their ecological equivalency assumptions may seem oversimplified (Ofiţeru et al. 2010; Östman et al. 2010; Venkataraman et al. 2015). These models are especially helpful when simulating microbial systems, where the enormous diversity of communities makes it challenging to characterize the precise ecological characteristics of each individual taxon. Additionally, they enable researchers to gauge the significance of processes like dispersal that are challenging to detect firsthand but can nevertheless have significant effects on microbial communities (Lindström and Östman 2011).

#### **3** Selective Processes

#### 3.1 The Biotic Effects Among Microbes

Rhizosphere and soil mycobiome interact with other soil species, therefore, changes in the microbial community may have an impact on how well the entire soil ecosystem functions (Yang et al. 2017). Mycologists must therefore evaluate the interactions between the mycobiome and other pedologic organisms in order to create a clear and complete picture of a soil and rhizosphere mycobiome community. Functional soil biodiversity and soil ecosystem function were found to be strongly correlated (Delgado-Baquerizo et al. 2017; Morriën et al. 2017).

#### 3.1.1 Interaction with Other Microorganisms

The rhizosphere, where plant exudates are generated by roots and serve as the primary food supply for microorganisms as well as a driving force behind their population density and activities, is also thought to be a hot zone for interactions between microbial species of various genera. The rhizosphere not only harbors and suppresses the existence of numerous species that have a neutral effect on the plant, but it also draws in organisms that have both negative and positive effects on the plant. These interactions combined create a dynamic zone of microbial conflict. Many pathogenic fungi, oomycetes, bacteria, and nematodes are present in the rhizosphere and soil, which would negatively affect plant growth and the health of the soil due to their functions in antagonism, pathogenicity, decomposing plant wastes, and giving nutrients (Ehrmann and Ritz 2014).

There are a ton of taxonomically different organisms living in the rhizosphere. A huge number of taxonomically arranged fungi live in the rhizosphere, where they serve a crucial role in absorbing nutrients and giving resistance to pathogen invasion and other abiotic stresses related to the host crops (Stringlis et al. 2018; Pérez-Jaramillo et al. 2019). For instance, (AMF) arbuscular mycorrhizal fungi form mutualistic endosymbiosis with their particular host crop to improve disease resistance, as well as abiotic stressors within the host plant, and the absorption of mineral nutrients (mostly phosphate) (Akiyama et al. 2005; Luginbuehl et al. 2017; Wang et al. 2017; Jia-Dong et al. 2019; Gao et al. 2020). As symbiotic fungi, several Glomeromycota species, such as AMF, are regarded as natural biofertilizers that make up the mycorrhizosphere. On the other hand, some soilborne fungi have the ability to cause illness in crops because they are plant-pathogenic (Delgado-Baquerizo et al. 2020). Fungifungi interaction dynamics are recognized to perform significant symbiotic

microbial roles for supporting crop health and constitute a key component of the rhizosphere fungal community. Characterizing these interactions has provided fresh insight into how pathogen infection and AMF symbiosis work (Agler et al. 2016). But even though more research is needed, and we don't yet fully understand how fungi interact with one another in the rhizosphere, understanding the mechanisms underlying pathogen infection and AMF symbiosis would be of great importance.

Different roles are played by saprotrophic fungi and non-symbiotic microorganisms in the plant-soil compartment that is advantageous to both the plant and other microbes. Trichoderma species are commercial bio-fungicides, biofertilizers, and soil amendments in addition to being natural biocontrol agents (Harman et al. 2004; Vinale et al. 2008). Trichoderma is widespread and is the dominating species in various ecosystems across a wide range of climate zones. Furthermore, it is possible to isolate and recognize these adaptable fungi from various agricultural fields. Such species are influenced by a variety of variables, such as microclimate, substrate accessibility, and complex ecological relationships. The rhizosphere is a typically ecological soil niche where other soil fungi that can serve as their prey and rich plant root-derived material are also important factors (Kredics et al. 2014); However, it can also be separated from synthetic substrates when xenobiotics are present. Some species exist as plant endophytes, which promote plant development, lessen the impacts of drought stress, and shield plants from disease (Zaidi et al. 2014). Most soilborne pathogens are adapted to grow and survive in the bulk soil, but the rhizosphere also serves as the pathogen's play area and infection court as it forms a parasitic bond with the plant. The diverse rhizosphere community, which includes microflora and microfauna, engages in interactions with pathogens and influences the course of pathogen infection in the rhizosphere.

#### 3.1.2 Plant Type Effect on Rhizosphere Community

Plant species have an impact on the rhizosphere's microbial population because when root exudates are released into the rhizoplane, many exoenzymes, fatty acids, chemotaxis, and secondary metabolites do as well (Hartmann et al. 2009). Roots offer a very attractive, nutrient-rich niche for microbes throughout the plant's life cycle and seasonal environment responses (Baetz and Martinoia 2014), where the interactions of both partners are also facilitated by the requirement for highly active transport of water and soluble molecules by roots, in addition to exudation of reactive carbon compounds and uptake of mobile nutrients and water (George et al. 2008; Hartmann et al. 2009). Plants have a significant impact on soil biology and structure in addition to being the main source of organic molecules, assisting in gas exchange, and reducing the need for inorganic fertilizers. Roots are thought of as the plant organs that typically harbor the largest numbers of microbes in the rhizosphere and rhizoplane due to the secretion of large amounts of exudates containing photosynthetically fixed carbon in the form of a wide range of molecules such as carbohydrates, amino acids, organic acid ions, and vitamins (Bais et al. 2006; Andreote et al. 2014).

Physical, chemical, and biological soil processes that support biodiversity enable soil carbon sequestration and cycle nutrients in natural and agricultural systems all depend heavily on the co-evolution of the rhizosphere and plant roots (Hinsinger et al. 2009; Lambers et al. 2009). The rhizosphere and soil mycobiome play essential roles in biogeochemical cycles, organic matter decomposition, plant growth, disease development, and control. Plant microbiota health and growth are closely linked to the rhizosphere and soil mycobiome, so the plant-rhizosphere system strongly influences the biomass and activity of soil mycobiome (Raaijmakers et al. 2009).

Because roots generate a variety of organic chemicals that contributed to a distinct rhizosphere nutrient pool that is available to soil microorganisms, it is hypothesized that plant-dependent fungal community diversity occurs in the rhizosphere (Han et al. 2017; Jiang et al. 2017). It is well known that changes in the rhizosphere fungal community are highly connected with soil's physical and chemical qualities (Schappe et al. 2017).

The impact of plant type on its rhizosphere microorganisms as a biotic factor impacting the soil mycobiome was examined in a dozen to hundreds of researches. The mycobiome composition in the rhizosphere is thought to be shaped and formed by changes in the pH and redox gradients as well as the available nutrients released by the plant, as the plants have an impact on soil microorganisms near their roots through the architecture of their roots, exudates, and mucilage (Bais et al. 2006; Badri and Vivanco 2009; Schmidt et al. 2011). The number of microorganisms that take advantage of the chemical changes near the roots and use these substances rises, and they often exhibit increased metabolic activity (Schreiter et al. 2014) (Table 1).

It is well known that the plant's endophytic microbiota is dynamically linked to the soil microbiomes in the rhizosphere and the rhizoplane surrounding it. However, despite the significance of the plant microbiome and its impact on the other soil microbiomes nearby, the rhizosphere mycobiome has only recently been

Biome	Average maximum rooting depth (m)	Deepest root (m)
Tundra	$0.5 \pm 0.1$	0.9
Boreal forest	$2.0 \pm 0.3$	3.3
Cropland	2.1 ± 0.2	3.7
Temperate grassland	$2.6 \pm 0.2$	6.3
Temperate deciduous forest	$2.9 \pm 0.2$	4.4
Tropical deciduous forest	$3.7 \pm 0.5$	4.7
Temperate coniferous forest	$3.9 \pm 0.4$	7.5
Sclerophyllous shrubs and trees	$5.2 \pm 0.8$	40.0
Tropical evergreen forest	$7.3 \pm 2.8$	18.0
Desert	$9.5 \pm 2.4$	53.0
Tropical grassland/savanna	$15.0 \pm 5.4$	68.0

 Table 1
 Average maximum depth of rooting and depth of deepest root for 11 terrestrial biomes from Canadell et al. (1996)

acknowledged as the second genome of plants themselves. The notion to incorporate the plant microbiome as a crucial component of plant breeding programs came about as a result of numerous recent research demonstrating the importance of the plant microbiome for plant development and health (Berendsen et al. 2012).

Conservative tillage, a widely used agricultural practice that alters soil texture and nutrient conditions as well as is confirmed to significantly modify the microbial community's composition in the soil, is a crucial mycobiome diversity determinant and effective factor. In order to shed light on the changes in the mycobiome during conservative tillage, high-throughput sequencing of the internal transcribed spacer (ITS) gene and quantitative PCR are now being used. Until now, it has been unclear how conservation tillage affects the rhizosphere and bulk soil fungal communities during plant growth. When compared to other tilling techniques, zero tillage greatly increased the variety of fungi in the bulk soil and rhizosphere. Additionally, there was a noticeable difference in the amount of fungal alpha diversity between the rhizosphere and bulk soils, with the latter showing the most diversity, even though tillage had no discernible impact during the blooming stage. The phylogenetic structure of the communities also showed this, with rhizosphere soil communities going through a higher transition from tillering to blooming than bulk soil communities. In summary, the alterations in soil characteristics brought on by plant development probably had an impact on the mycobiome populations of the soil under the plowing (Naumova et al. 2022).

#### 3.2 The Abiotic Effects Among Microbes

#### 3.2.1 Temperature, pH, and Moisture Effect on Mycobiome Diversity

Besides the cultivation types, soil profile, and surrounding microorganisms, climatic factors also have been proven to have a driving force on mycobiome diversity as well (Crowther et al. 2016; Newsham et al. 2016; Větrovský et al. 2019). Screening and surveying the whole soil mycobiome distribution on the planet earth didn't take much more concern due to the difficulties in unifying the sampling conditions everywhere, the tremendous amount of representative samples to all biogeographical regions needed to be captured, the process's cost, and time needed to accomplish sampling and data analysis... etc. (Bahram et al. 2018; Egidi et al. 2019; Větrovský et al. 2019). Recently several researchers exploited the HTS technique to end up the dominance of some fungal phyla on earth soil, mainly *Basidiomycota* and *Ascomycota* which is explained in reference to their capabilities to adapt and accommodate a wide range of climatic conditions, and on the other hand confirm the correlation between other phyla distributions according to the suitable climatic conditions (Maestre et al. 2015; Větrovský et al. 2019).

In order to capture the comprehensive attributes of the climatic factors on the mycobiome biodiversity, even to anticipate the upcoming mycobiome alteration combined with changing one factor or more of climatic ones, first, we have to deeply understand mycobiome communities. Understanding of mycobiome population

depends not only on its identification and classification, yet also, on studying its dynamics, functions, and roles in the ecosystem where they exist, all would have a great impact in visualizing and interpreting the full picture of the mycobiome community. Not only the prevalence of fungal species was correlated to the soil type and cultivation, but the climatic factors also showed to be as a driving factor of mycobiome biodiversity and determinant not only to the abundance but also to the community's function performance, so any proposed environmental changes lead to changes in biodiversity and functions of mycobiome (Fernandes et al. 2022).

In an attempt to understand the relationship between the environmental climatic factors and mycobiome population, so, screening of the metaproteomic of soil mycobiome in different environmental and climatic parameters across; forests, grasslands, and shrublands systems, the analysis led to the thorough functional profile of mycobiome in accordance to each and every ecosystem, where the forests had the highest protein intensity may due to the higher mycobiome abundance than another ecosystem, not only the environmental variable but also it was so clear that protein richness was linked to temperature, pH and moisture (Fernandes et al. 2022).

#### 3.2.2 Soil Type as a Factor Affecting the Mycobiome Diversity

Out of being in a dynamic and complex biosphere system, soil as a component is a crucial parameter influencing the mycobiome biodiversity (Targulian et al. 2019). Activities and research conducted to investigate the soil mycobiome on-field conditions are not enough to unveil the whole soil's effects on its different types of mycobiome biodiversity (Schreiter et al. 2014). Each soil system is distinguished by its own unique chemical and physical structure, which lead to the alluring of a specific group of fungi sharing the same characteristics or life pattern as each definite soil ecosystem, and on the other side may repel away or suppress other fungal species to specific soil, such behavior would lead to the creation of soil-dependent mycobiome community (Neumann et al. 2014).

The soil profile including different texture layers, porosity, organic matter composition, and soil particle size, in addition to particle shape, altogether are representing a big impact on the soil living inhabitants and leads to specifying and shaping the rhizosphere mycobiome accordingly (Singh et al. 2007; Wang et al. 2009). It wasn't surprising to tell that microbial diversity increases with decreasing particle size and different organisms can occupy niches of different soil textures of specific particle size (Sessitsch et al. 2001).

In spite of its remarkable role in architecting the mycobiome, but it is still undetermined specifically the range to which the influences of soil on the mycobiome population on-field has reached, due to the multifactorial condition including the cultivated plant type, the irrigation system management, the climatic factors, fertilizers exposure history and protocol of cultivation, all sharing great impact on the mycobiome abundance, so it is hard to generalize the results of investigating a specific region to global scale (Costa et al. 2006, 2007). Many human activities like deforestation and reclamation also act as drivers for soil mycobiome diversity and abundance (Ceballos et al. 2015; Seddon et al. 2016).

#### 4 Inferences in Microbial Community Assembly

Utilizing theoretical frameworks and statistical methods refined over years in community ecology. The complicated process of microbial community building is influenced by stochasticity, species diversity, and habitat filtering.

#### 4.1 Community Assembly in Host-Associated Systems

Process-based modeling of biogeochemical cycles suffers from a critical information gap related to the collective effects of community assembly mechanisms (such as dispersal, drift, and selection) on microbial metabolism of carbon and nutrients in the environment. Rates of microbial metabolism may be impacted by both dispersal and selection. For instance, dispersal limitation can prevent the immigration of metabolic diversity and, in some cases, result in a maladapted and poorly functioning community (Lindström and Östman 2011; Hanson et al. 2012; Peres et al. 2016). Selection, on the other hand, can enhance metabolism via species-sorting mechanisms that optimize the microbiome for a given environment (Lindström and Langenheder 2012). Numerous spatiotemporal dynamics, such as the distance between communities, the rate of environmental change, and historical abiotic conditions, affect how much community assembly processes control metabolism (Graham et al. 2014, 2016; Nemergut et al. 2014; Hawkes and Keitt 2015). However, a conceptual framework for how various community-building mechanisms interact to affect microbial metabolism is still lacking (Gonzalez et al. 2012; Shade et al. 2013: Graham et al. 2016).

Processes of community assembly have an impact on community membership via geography and time, which then has an impact on microbial metabolism (Nemergut et al. 2013). For instance, taxa that are well suited to their environment and have high metabolic rates may exist in communities that have seen a history of strong and consistent selection. As an alternative, microbial taxa that degrade scarce resource pools and hinder community metabolic functioning may be eliminated by harsh, unrelenting selection (Knelman and Nemergut 2014). In this situation, it would be predicted that more diversified communities-those with higher rates of dispersal or opposing selected pressures, for example-would show higher and more dependable rates of metabolism than those shaped by a single dominating selective pressure. Lower rates of community metabolism can result from dispersal limitations that prevent organisms from reaching their ideal environments, whereas high rates of dispersal can either reduce or enhance microbial metabolism, depending on whether they allow for the immigration of organisms that are not adapted to their environment or increase biodiversity (Hooper et al. 2012; Nemergut et al. 2014).

#### 4.2 Host Development

#### 4.2.1 Metabolites

#### Phytohormone Production

Phytohormone production by rhizosphere mycobiome could be synthesized via tryptophan which is excreted as exudates from the host plant through the host-fungal symbiotic relationships (Bartel 1997; Zhao 2014). Eighty percent of rhizo-spheric microbes could produce auxins as secondary metabolites (Patten and Glick 1996).

Indole acetic acid (IAA) is the most abundant auxin that plays a crucial role in cell division and elongation, root systems induction, leaves development, plant flowering, and fruiting of the plant (Duca et al. 2014). This auxin is considered a key hormone that could control the synthesis of other phytohormones such as ethylene (Woodward and Bartel 2005). Rather than the role of IAA in cell division and elongation, it could alleviate salinity stress and induce plant defense system through cell-cell signaling (Wang et al. 2016, 2021). Fungi have the ability to the production of IAA via both tryptophan-dependent and independent pathways which are similar to that produced by plants (Tsavkelova et al. 2012).

#### Phosphate Solubilization

Phosphorus is one of the most limiting elements which is required for many vital plant physiological processes (Ha and Tran 2014). It resembles about 0.2–0.8% of plant dry weight (Sharma et al. 2013). Phosphorus is very important for plant cell viability as its included in nucleic acids, enzymes, coenzymes, nucleotides, and phospholipids as well as root growth, flower formation, and nitrogen fixation in legumes in addition to increasing the resistance of plants against to phytopathogens (Bechtaoui et al. 2019). It is found that phosphorus forms an insoluble complex with iron, aluminum, and calcium making it unavailable for plants. So, it's necessary to apply phosphate solubilizing microorganisms (PSM) to the soil to make phosphorus available to plants through different mechanisms such as lowering soil pH, chelation as well as mineralization (Kalayu 2019).

#### ACC Deaminase Production by Rhizospheric Fungi

ACC (1-aminocyclopropane-1-carboxylic acid) is the precursor of ethylene production which play a vital role in many physiological processes in plants such as leaves, flowers, and fruits development, although the production of ethylene hormone could suppress or minimize plant growth. As reported in many studies that Plant growth promoting fungi (PGPF) have the ability to the production of ACC deaminase which acts on the ACC substrate and degrades it into NH<sub>3</sub> (ammonia) and  $\alpha$ -ketobutyrate (Nascimento et al. 2014). So, Fungi producing ACC deaminase could regulate plant growth by cleaving the ACC and minimizing the ethylene level when its concentration exceeds the optimal levels. Acds gene which is responsible for the encoding of ACC deaminase production by *Trichoderma asperellum* T203 correlated with the high plant growth ability of this fungus, while when this gene is knocked out its plant growth-promoting ability decreased (Brotman et al. 2013; Glick 2014). In addition, ACC deaminase was reported in other fungi including *Penicillium citrinum* and *Phytophthora sojae* (Singh and Kashyap 2012). Interestingly, ACC deaminase-producing microorganisms gained competitive activity in the soil rhizosphere over the non-ACC–deaminase producers since ACC deaminase supports soil with nitrogen source as by-products of ACC cleavage (Nascimento et al. 2014).

#### 5 Geographic Distribution

There are active and passive drivers that could lead to the mycobiome prevalence and abundancy somewhere over others, active ones include the fungal capability to spread and extend, the ability to adapt whatever the biogeographic and climatic factors, and finally the functioning performance, the other passive drivers recognized by long-distance wind dispersing mechanisms such as genera of *Alternaria*, *Cladosporium* and *Fusarium* (Pringle et al. 2016).

To a large extent, several fungal families are recognized as dependent on some hosts and counterparts, or even their existence limited to some conditions, or finite to some restrictions (Crowther et al. 2014). Much successive research hypothesized a coherent soil mycobiome network system, in addition to some fungal taxa propagations and prevalence covering a wide biogeographic range. For instance, genera of *Cladosporium*, and *Alternaria* accommodate and dominate different types of environments (Newsham et al. 2016), and the connected ground areas through neighbor continents ease the dissemination and invasion of some fungal families to accommodate vast areas of different ecosystems (Cox et al. 2016).

Universal dominant families and their characterization are of great importance to be understood could predict the mycobiome-ecosystem interactions and behavior in a different environment, in addition to the mycobiome pattern of feeding, breeding, and inhabits or even response to adverse events and harsh conditions (Peay et al. 2010). In accordance with their dynamic behavior and interaction activities with the surrounding organisms, any disturbance that appears in the mycobiome community may be reflected in the ecosystem functioning and stability (Hooper et al. 2012; Rivett and Bell 2018).

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### **Phyllosphere Mycobiome: Diversity and Function**



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#### 1 Introduction

Fungi are one of most fascinating and enigmatic kingdoms on earth. They occupy enormous habitats in both terrestrial and aquatic environments, driving biogeochemical cycling and influencing the structures of plant and animal communities (Peay et al. 2016; Grossart et al. 2019). Even in extreme ecosystems, such as deepsea sediments, volcanic vents, and dry valleys of Antarctica, fungi are still the key players of biodiversity and biochemistry (Coleine et al. 2022). Benefiting from the development of high-throughput sequencing and omics technics, we are redrawing the atlas of fungal kingdom on their taxonomic and functional diversity (Nilsson et al. 2019; Fernandes et al. 2021; Tedersoo et al. 2022). For example, current estimates based on high-throughput sequencing suggest that there are at least 6.28 million fungal species on earth (Baldrian et al. 2021), which is larger than the total

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species number previously estimated. In addition, the continuously updated fungal databases of functional traits, such as FUNGuild (Nguyen et al. 2016b), Fun<sup>Fun</sup> (Zanne et al. 2020), and FungalTraits (Põlme et al. 2021), are designed for rapid functional assignments and predicting how fungal functional diversity varies along certain environmental gradients. Nevertheless, many previous studies focus on the underground fungal diversity and biogeography (Tedersoo et al. 2014, 2021; van der Linde et al. 2018) and mycorrhizal functional ecology (Tedersoo et al. 2020; Tedersoo and Bahram 2019; Genre et al. 2020). The surfaces of phyllosphere fungal diversity and function are barely scratched, despite the highest proportion of unknown fungal species is assumed in plant tissues and lichens (Baldrian et al. 2021).

Phyllosphere is termed as the aerial habitat influenced by plants (Lindow and Leveau 2002), and generally includes the endosphere and episphere (i.e., surface) of plants tissues. In some cases, phyllosphere also includes stems, buds, flowers, and fruits (Whipps et al. 2008); however, most studies on phyllosphere microbiology focus on leaves, the most dominant plant aerial organ. It is estimated that the terrestrial leaf surface area is close to 10<sup>9</sup> km<sup>2</sup> (Lindow and Brandl 2003). Previously, researchers performed lots of work on bacterial diversity and function in phyllosphere (Lindow and Leveau 2002; Lindow and Brandl 2003; Remus-Emsermann and Schlechter 2018). This is because bacterial community is regarded as the most predominant component of phyllosphere microbial community by the traditional view. For example, based on culture method, bacterial cell number was found about 100-fold larger than fungal cell number in the unit weight of the blade (Yang et al. 2013b); however, the difference between phyllosphere bacteria and fungi was not significant at the diversity level (Xiong et al. 2021b; Wei et al. 2022). As far as phyllosphere microbial functions are concerned, both bacteria and fungi play the crucial roles in plant fitness, health, and productivity (Bashir et al. 2022; Xu et al. 2022a, b).

Compared with phyllosphere bacteria, phyllosphere fungi may have the larger differences in morphology, such as filamentous and yeast forms. Moreover, fungi are supposed to more actively enter the internal tissues of plants through leaf stomata or cuticle wounds. Consequently, a large quantity of case studies and reviews on phyllosphere fungi are at the scope of foliar endophytic fungi, including foliar endophytic fungal diversity and their interactions with plant health and fitness (Arnold 2007; Rodriguez et al. 2009; Busby et al. 2016). For example, Busby et al. exemplified foliar endophytic fungi to clarify how the plant microbiomes facilitate reforestation and serve in long-term forest carbon capture and the conservation of biodiversity (Busby et al. 2022). For leaf episphere, Gouka et al. reviewed the updated ecology and functional potential of yeasts; using genomic surveys, they proposed that we only scratched the surface of the largely unexplored functional potential of phyllosphere yeasts (Gouka et al. 2022).

Fungi exhibit a spectrum of life strategies among saprotrophy, mutualism (at most of the time, commensalism), and parasitism in phyllosphere (Schulz and Boyle 2005). At the alive state of leaves, endophytic and epiphytic fungi can mediate host plant growth and health by affecting plant physiology, development, and tolerance to biotic and abiotic stresses (Yang et al. 2013a, 2014; Busby et al. 2016; Costa Pinto et al. 2000; Khan et al. 2015). When leaves fall, some phyllosphere (epiphytic

and endophytic) fungi, as the pioneer decomposers of leaf litter, drive the degradation of leaves, and facilitate the nutrient reflux to plants or soil organic matter accumulation (Voriskova and Baldrian 2013; Unterscher et al. 2013; Chen et al. 2022; Osono 2006). Consequently, phyllosphere fungi play a predominant role in global carbon-nitrogen cycle, which has been largely ignored in the past. It is noted that foliar endophytic fungi, epiphytic fungi and both of them can be all termed as phyllosphere fungi. In some studies, epiphytic fungi are also termed as phylloplane fungi (Xiong et al. 2021a, b). The usage of aforementioned terms is determined by the pre-process methods of samples (e.g., leaf surface sterilization or not). Investigations at different compartments (e.g., endosphere vs. episphere) may lead to the distinctive diversity levels, community compositions, and co-occurrence patterns (Yao et al. 2019).

Albert Einstein once said that it is more important to ask a question than to solve it. Around phyllosphere mycobiome, there are many questions that await to be solved. On one hand, high diversity of phyllosphere mycobiome spawns a series of questions about biogeographic patterns, temporal dynamics, and community assembly processes. For example, what are the main environmental factors driving phyllosphere fungal diversity and community composition at different temporal and spatial scales? What are the relative contributions of stochastic and deterministic processes to fungal community assembly in phyllosphere? What are the proportions of phyllosphere fungal community originating from soil, air and water, respectively? On the other hand, the essential functions of phyllosphere mycobiome trigger us to think about the complicated interactions of phyllosphere mycobiome with plant health, changing environments and other biological communities. For example, what are the key functional traits and genes of phyllosphere fungi that can significantly enhance plant fitness and health? Whether do global change factors, such as warming and drought, break the balance of original relationships between phyllosphere fungi and host plants, or impair the beneficial effects of phyllosphere mycobiome? What are the potential roles of phyllosphere mycobiome in future global carbon cycling? By reading the chapter, we are confident that the readers will find most of the answers to the above questions.

## 2 High Diversity of the Phyllosphere Mycobiome

The phyllosphere supports a massive diversity of yeasts and filamentous fungi. Many of them are epiphytic and then become endophytic by entering the internal tissues. Some phyllosphere fungi could turn to pathogens (Behnke-Borowczyk et al. 2019; Lazarevic and Menkis 2020), while others have antagonistic capacities and influence plant performance (Bashir et al. 2022). Yeasts are the major fungal epiphytes, among which *Cryptococcus*, *Sporobolomyces* and *Rhodotorula* are the commonly occurring genera (Glushakova and Chernov 2004). Yeast-like fungus *Aureobasidium pullulans* is also frequently found in phyllosphere (Inacio et al. 2002). Compared to yeasts, most filamentous fungi tend to be endophytic and

commonly belong to *Acremonium*, *Alternaria*, *Aspergillus*, *Cladosporium*, *Mucor* and *Penicillium* (Bashir et al. 2022). Here, we summarize and compare the community composition and diversity of phyllosphere fungi from various plant species in different ecosystems, including natural ecosystems, agroecosystems, and urban ecosystems. We focus on the representative fungal taxa at genus level and summarize the fungal diversities in Table 1.

#### 2.1 Natural Ecosystems

A natural ecosystem is a relatively stable ecosystem maintained by natural regulation within a certain time and space scale. On land, natural ecosystems mainly include forests, grasslands, deserts and wetlands. Among them, forests represent the highly productive ecosystem with hierarchical structure, numerous species and essential ecological functions (Baldrian 2017; Pan et al. 2011). Forests are mainly consisted of and represented by coniferous and broad-leaved trees, and thus the diverse phyllosphere fungi related to these tall trees are summarized at first.

Conifer needles are long lived and thus may harbor diverse fungal taxa (Millberg et al. 2015). Pinus is one of the most widely distributed coniferous trees. Previous studies showed that Alternaria, Aspergillus, Cladosporium, Cryptococcus, Lophodermium, Penicillium and Sydowia were the most observed fungal genera in *Pinus* (Lazarevic and Menkis 2020; Behnke-Borowczyk et al. 2019; Millberg et al. 2015; Agan et al. 2021; Sun et al. 2021b; Lynikiene et al. 2020; Oono et al. 2015). In these cases, *Cladosporium* and *Lophodermium* were commonly observed in the phyllosphere of P. sylvestris, which was one of the most naturally widespread Pinus species (Behnke-Borowczyk et al. 2019; Millberg et al. 2015; Agan et al. 2021; Lynikiene et al. 2020). Moreover, higher fungal diversity was observed in needles with disease symptoms compared to healthy ones of P. sylvestris, indicating the enrichment of plant pathogens or decomposers (Millberg et al. 2015). In addition, the phyllosphere fungal diversities of Picea abies (Nguyen et al. 2016a), Picea glauca (Eusemann et al. 2016) and Sequoia sempervirens (Harrison et al. 2016) were also investigated. Generally, *Cladosporium* is the most common fungal genus in the phyllosphere of coniferous trees according to our summary. Alternaria, Aureobasidium, Cryptococcus, Exobasidium, Aspergillus, Lophodermium, Penicillium, Phoma, Sydowia and Taphrina were frequently observed in phyllosphere as well.

Most of coniferous tree leaves remain alive and green all the year around. Moreover, some broad-leaved tree species are also evergreen or semi-evergreen. It is interesting to explore their phyllosphere fungal composition and to compare the common fungal genera in the phyllosphere of coniferous and these broad-leaved trees. *Euterpe oleracea* is an arborescent multiple stemmed palm with *Xylaria* and *Letendraeopsis* as the most common foliar endophytic fungi (Rodrigues 1994). Another study isolated and identified the phyllosphere fungal communities of five evergreen or semi-evergreen plant species (*Acer monspessulanum, Quercus faginea*,

Ecosystems	Plant Species	Diversity Statistics	Dominant Genera <sup>a</sup>	Detection Methods	Target Regions	References
Natural ecosystems	Pinus heldreichii	254 genera (excl. singletons)	Lophodermium, Sydowia, Cyclaneusma, Phaeosphaeria	PacBio sequencing	ITS2	Lazarevic and Menkis (2020)
	Pinus massoniana	2127 OTUs (excl. singletons)	Camptophora, Cryptococcus, Dictyochaeta, Meliniomyces, Pestalotiopsis, Proliferodiscus	Illumina HiSeq sequencing	ITS2	Sun et al. (2021b)
	Pinus sylvestris	2429 OTUs (excl. singletons)/602 OTUs (excl. singletons)	Alternaria, Aureobasidium, Cladosporium, Cryptococcus, Coleosporium, Curvibasidium, Cyclaneusma, Phaeococcomyces, Lophodermium, Sydowia	PacBio sequencing/454 Pyrosequcing	ITS1/ITS2	Lynikiene et al. (2020) and Millberg et al. (2015)
	Pinus taeda	118 OTUs	Anthostomella, Nemania, Nigrospora, Podospora, Septorioides	Isolation, cultivation and identification/restriction fragment length polymorphism (RFLP)	ITS-LSU	Oono et al. (2015)
	Picea abies	1737 OTUs (excl. singletons)	Aureobasidium, Celosporium, Cladosporium, Ceramothyrium, Chrysomyxa, Epicoccum, Phaeocryptopus, Sydowia, Taphrina, Tumularia	454 pyrosequencing	ITS2	Nguyen et al. (2016a)
	Picea glauca	2009 OTUs	Articulospora, Aureobasidium, Catenulostroma, Constantinomyces, Gyoerffiella, Lemonniera, Meristemomyces, Perusta, Pseudocercospora, Venturia, Xenomycosphaerella, Xenophacidiella, Zasmidium	Illumina MiSeq sequencing	ITSI	Eusemann et al. (2016)
	Sequoia sempervirens	1274 OTUs	Arthroderma, Aspergillus, Batcheloromyces, Caloplaca, Catenulostroma, Cladophialophora, Phaeomoniella, Readeriella, Taphrina, Teratosphaeria	Illumina MiSeq sequencing	ITS1	Harrison et al. (2016)
	Fagus sylvatica	3713 OTUs/414 OTUs (excl. singletons)	Aureobasidium, Cryptococcus, Lalaria, Mycosphaerella, Penicillium, Taphrina, Venturia, Woollsia, Sphaerulina, Trametes	454 pyrosequencing/Illumina MiSeq sequencing	ITS1	Cordier et al. (2012a) and Siddique and Unterseher (2016)

 Table 1
 Phyllosphere fungal diversity and dominant genera of typical plant species across ecosystems

(continued)

					Target	
Ecosystems	Plant Species	Diversity Statistics	Dominant Genera <sup>a</sup>	Detection Methods	Regions	References
	Betula ermanii	1275 OTUs (excl. singletons)	Sphaerulina, Cylindrium, Mrakiella, Venturia, Allantophomopsis, Epicoccum, Cladosporium, Alternaria, Aureobasidium, Hymenoscyphus	454 pyrosequencing	ITSI	Yang et al. (2016b)
	Mussaenda shikokiana	792 OTUs	Cryptococcus, Hannaella, Kockovaella, Periconia, Phomopsis, Phoma, Rhodotorula, Stagonospora, Strelitziana, Trichomerium, Trimmatostroma	Illumina MiSeq sequencing	ITS2	Qian et al. (2018a)
	Catharanthus roseus	16 species	Alternaria, Chaetomium, Colletotrichum, Fusarium, Lasiodiplodia, Phoma	Isolation, cultivation and identification	ITS full length	Dhayanithy et al. (2019)
Agroecosystems	Triticum aestivum	278 OTUs (excl. singletons)/284 OTUs	Alternaria, Arthrinium, Ascochyta, Bipolaris, Blumeria, Cladosporium, Cryptococcus, Dioszegia, Epicoccum, Fusarium, Penicillium, Rhodotorula, Sporobolomyces, Udeniomyces, Zymoseptoria	454 pyrosequencing	ITS1/ITS2	Sapkota et al. (2015) and Karlsson et al. (2017)
	Oryza sativa	45 OTUs	Aspergillus, Bipolaris, Bullera, Cryptococcus, Curvularia, Eupenicillium, Fusarium, Hannaella, Hypocrea, Isaria, Leptosphaerulina, Metschnikowia, Meyerozyma, Penicillium, Pseudozyma, Sporidiobolus, Sporobolomyces, Trichoderma, Wickerhamomyces	Restriction fragment length polymorphism (RFLP)	DI-D2 (LSU)	Nasanit et al. (2015)
	Vitis vinifera	897 OTUs for ITS2 and 615 OTUs for D2	Alternaria, Aureobasidium, Cladosporium, Cryptococcus, Davidiella, Didymella, Endoconidioma, Lewia, Pleospora, Ustilago	Illumina MiSeq sequencing	ITS2 and D2 (LSU)	Castaneda et al. (2018)
	Brassica oleracea	2038 ASVs	Alternaria, Cystoftlobasidium, Filobasidium, Papiliotrema, Purpureocillium, Sporobolomyces	Illumina MiSeq sequencing	ITS2	Kim and Park (2021)
	Lactuca spp.	5 genera	Cladosporium, Cryptococcus, Lewia, Sporobolomyces, Tilletiopsis	Terminal restriction fragment length polymorphism (TRFLP)	ITS full length	Hunter et al. (2015)
	Cucurbita moschata	399 OTUs	Alternaria, Aureobasidium, Davidiella, Leptosphaerulina, Peniophora, Phoma, Podosphaera, Pseudozyma, Rhodotorula, Strelitziana	Illumina MiSeq sequencing	ITS1	Zhang et al. (2018d)

 Table 1 (continued)

	Solanum lycopersicum	413 OTUs	Bullera, Cladosporium, Curvularia, Dioszegia, Hannaella, Moesziomyces, Nigrospora, Papiliotrema, Pseudozyma, Saitozyma,	Illumina MiSeq sequencing	ITS1	Toju et al. (2019)
	Atractylodes 15 genera lancea	15 genera	Absidia, Acremonium, Alternaria, Aspergillus, botrytis,Isolation, cultivation andCephalosporium, Curvularia, Fusarium, Gilmaniella,identificationGliocladium, Mucor, Penicillium, Verticillum	Isolation, cultivation and identification	SSU	Yang et al. (2013b)
Urban ecosystems	Quercus macrocarpa	1232 OTUs	Alternaria, Aureobasidium, Cladosporium, Davidiella, Didymella, Epicoccum, Erysiphe, Mycosphaerella, Neofabraea, Sporobolomyces	454 pyrosequencing	ISTI	Jumpponen and Jones (2010)
	Betula pendula	246 OTUs	Pseudomicrostroma, Taphrina, Microstroma, Aureobasidium, Kondoa, Ampelomyces, Microstromatales, Exobasidium, Cephalosporium, Krufia	Illumina MiSeq sequencing	ITS full length	Ivashchenko et al. (2022)
	Cinnamomum 5132 OTU camphora	5132 OTUs	Mycosphaerella, Zasmidium, Trimmatostroma, Epicoccum, Paraconiothyrium, Phoma, Cryptococcus, Villosiclava, Pholiota, Preussia	Illumina MiSeq sequencing	ITS2	Tan et al. (2022)
	Lycoris radiata	27 species	Colletotrichum, Fusarium, Gibberella, Glomerella, Phyllosticta, Stagonosporopsis	Isolation, cultivation and identification	ITS full length	Zhou et al. (2020)
<sup>a</sup> For most studies	s, approximately	ten most abundant fi	<sup>a</sup> For most studies, approximately ten most abundant fungal genera were listed. For some plant species, several studies were performed, so the most abundant fungal genera	l studies were performed, so the	e most abun-	dant fungal genera

overlapped in the studies were listed. OTUs operational taxonomic units, ASVs amplicon sequence variants

*Cistus albidus, Pistacia lentiscus* and *Osyris quadripartita*) in a mediterranean-type ecosystem; they found that *Alternaria, Aureobasidium, Cladosporium* and *Penicillium* could be recovered from the leaf samples (Inacio et al. 2002). These four fungal genera were also frequently observed in the phyllosphere of coniferous species, indicating the long-life leaves might harbor the similar fungal taxa.

Although the leaves of some broad-leaved trees are evergreen or semi-evergreen, the leaves of most broad-leaved trees sprout in spring and fall in autumn. Thus, it is interesting to investigate whether these broad-leaved trees harbor different phyllosphere fungal communities compared to evergreen coniferous and broad-leaved trees. Some studies investigated phyllosphere fungal communities of Fagus sylvatica and showed that Mycosphaerella and Pseudocercospora were commonly observed in the phyllosphere (Cordier et al. 2012a, b; Siddique and Unterseher 2016; Unterscher et al. 2016). Other studies surveyed the phyllosphere fungal communities of more deciduous broad-leaved tree species, such as Betula ermanii (Yang et al. 2016b), Mussaenda shikokiana (Qian et al. 2018a), and Swida controversa (Osono and Mori 2005). Summarily, Cladosporium and Phoma were the most common fungal genera in the phyllosphere of deciduous broad-leaved trees according to our review. Moreover, Alternaria, Aureobasidium, Cryptococcus, Mycosphaerella, Penicillium and Pseudocercospora were frequently observed. Although the life cycles of evergreen and deciduous trees are quite different, they harbor the similar fungal taxa in their phyllosphere.

Besides trees, phyllosphere fungal diversities of shrubs and herbs were explored as well. For *Catharanthus roseus* in the coastal areas, *Alternaria, Cophinforma* and *Colletotrichum* were the only three fungal genera isolated from the sterilized leaves (Dhayanithy et al. 2019). The phyllosphere yeast populations of *Oxalis acetosella* were dominated by *Cystofilobasidium, Cryptococcus, Rhodotorula*, and *Sporobolomyces* (Glushakova and Chernov 2004).

Summarily, *Cladosporium* was the most common fungal genus in the phyllosphere of both coniferous and broad-leaved trees, which was not commonly observed in shrubs and herbs. This difference may be due to different sunshine conditions - the leaves of trees were commonly sampled from the canopy, while the leaves of shrubs and herbs most occupy the shade. In addition, *Alternaria* was frequently observed in the phyllosphere of trees and shrubs, while *Cryptococcus* was frequently observed in the phyllosphere of trees and herbs. It indicates some fungal taxa may horizontally transfer among different plant species. It is worth noting that different plant lineages harbor specific phyllosphere fungal taxa. Various morphological and metabolic characteristics of host plants' leaves may be one of important reasons behind the aforementioned patterns.

#### 2.2 Agroecosystems

Agriculture practices can significantly influence phyllosphere fungal diversity and function. For example, conventional and organic agricultural management differentially affected the fungal community composition on the leaves of grapevines

(Castaneda et al. 2018). Organic farming could increase fungal richness in the phyllosphere of *Triticum aestivum* (Karlsson et al. 2017). Fungicide negatively affected the fungal richness and evenness as well as significantly changed the fungal composition in the phyllosphere of *T. aestivum* (Karlsson et al. 2014). Moreover, agroecosystems are supposed to harbor different fungal taxa compared to natural ecosystems. Recent studies showed that phyllosphere mycobiome is very important to crop health and growth (Sapkota et al. 2015). Thus, understanding the diversity of phyllosphere mycobiome in agroecosystems is crucial to develop new strategies for improving crop growth and adaptation.

In particular, phyllosphere fungal diversity in cereals has been largely explored, considering their importance to global food production. The phyllosphere mycobiome of Avena sativa, Hordeum vulgare, Secale cereale, T. aestivum and Triticum × Secale were explored, and 20 fungal genera were observed in phyllosphere (Sapkota et al. 2015). Each genus was observed at least in two plant species, indicating the possibility of core fungal community in the phyllosphere of diverse cereals. Among them, Cladosporium and Cryptococcus were the most common genera observed in the phyllosphere of *T. aestivum* (Karlsson et al. 2014, 2017; Larran et al. 2007; Sapkota et al. 2015). Other studies focused on the fungal diversity in the phyllosphere of Oryza sativa, the major cereal in Asia. For example, Venkatachalam et al. isolated and identified two morphologically different fungal strains, belonging to Bipolaris and Curvularia (Venkatachalam et al. 2016). Mwajita et al. showed that Penicillium, Aspergillus and Trichoderma were the common genera in the phyllosphere of O. sativa (Mwajita et al. 2013). Nasanit et al. focused on the epiphytic veast diversity in O. sativa; they found that Bullera, Pseudozyma and Cryptococcus were the most common genera (Nasanit et al. 2015). The fungal genera observed in the aforementioned three studies were quite different; the reason may be the significant biogeographic isolation of phyllosphere fungal communities between nations that were revealed by culturable methods.

In addition, some studies explored the phyllosphere fungal communities across different grape species. For example, Singh et al. surveyed the fungal community composition in the phyllosphere of *Vitis pentagona, Vitis riparia, Vitis vinifera, Muscadinia rotundifolia* and *Parthenocissus quinquefolia* by Illumina MiSeq sequencing; they found that *Alternaria, Aureobasidium, Cladosporium* and *Lachnum* were the most abundant genera observed (Singh et al. 2019). *Alternaria, Davidiella* and *Didymella* were most abundant in the phyllosphere of Carménère grapevines (*V. vinifera*) (Castaneda et al. 2018). Moreover, the study showed that the diversity of phyllosphere fungi were highest in the wild, lowest in the conventional, and intermediate in the organic vineyard, respectively (Kernaghan et al. 2017). According to these studies, *Alternaria* was the most common fungal genus in the grape phyllosphere.

Besides cereals and fruits, vegetables are also important crops in agroecosystems. Kim and Park surveyed the fungal diversity in phyllosphere of preharvest and postharvest broccoli (*Brassica oleracea* var. italic); they found that *Cystofilobasidium* and *Purpureocillium* were the representative genera in phyllosphere of preharvest broccoli, while *Filobasidium* and *Sporobolomyces* were the most abundant genera in the phyllosphere of postharvest broccoli (Kim and Park 2021). Another study surveyed 26 lettuce (*Lactuca* spp.) accessions and showed that *Sporobolomyces* and *Cladosporium* were the two dominant genera with significant different abundances among accessions (Hunter et al. 2015). In addition, the researchers analyzed the fungal diversity in the phyllosphere of pumpkin (*Cucurbita moschata*) showing powdery mildew symptoms; they found besides *Podosphaera* (the plant pathogen), *Alternaria, Aureobasidium* and *Davidiella* were the most observed genera (Zhang et al. 2018d). Toju et al. surveyed the leaf endophytic fungal community of tomato (*Solanum lycopersicum*) and found that *Cladosporium*, *Dioszegia* and *Moesziomyces* were the most frequently observed genera (Toju et al. 2019).

Moreover, many studies were performed on the phyllosphere mycobiome of medicinal plants, considering their contributions to human health. Atractylodes lan*cea* is a traditional Chinese medicinal plant with abundant bioactive terpenoids. Acremonium, Fusarium and Penicillium were the most abundant fungal genera in the phyllosphere of A. lancea; besides, some special fungal genera, such as Absidia, Gilmaniella and Verticillum, were also observed in phyllosphere (Yang et al. 2013b). Ginkgo biloba is one of the most distinctive trees with an important position in plant evolution, and its dry leaves have multiple medicinal values (Lin et al. 2022). In the leaf interiors of G. biloba, Alternaria, Colletotrichum, Fusarium and Phomopsis were the most dominant fungal genera (Xiao et al. 2013). For the traditional Brazilian medicinal plant, Solanum cernuum, the dominant phyllosphere fungal genera differed between seasons: Colletotrichum, Coprinellus and Phoma were most frequently observed in summer; while Arthrobotrys, Colletotrichum, Glomerella, Diatrypella, and Mucor were most frequently observed in winter (Vieira et al. 2012). It is noteworthy that medicinal plants tend to harbor special fungal genera in their phyllosphere, which may facilitate the synthesis and accumulation of special bioactive secondary metabolites (Yang and Dai 2013).

Summarily, *Alternaria*, *Cladosporium* and *Cryptococcus* were frequently observed in both natural and agricultural ecosystems. However, *Exobasiduim, Lophodermium* and *Sydowia* were only enriched in natural ecosystems. The phyllosphere of agricultural crops, especially vegetables, harbored some unique fungal taxa, such as *Dioszegia* and *Sporobolomyces*, indicating the interactive effects of agriculture practices and plant species identity. In addition, for most cases, the observed numbers of genera and OTUs in agroecosystems were lower than those in natural ecosystems (Table 1).

## 2.3 Urban Ecosystems

With an increase in human population, urban area is expanding rapidly. Compared to other ecosystems, urban microbial communities are largely affected by anthropogenic activities, land management, urban heat island effect and air pollution (Perreault and Laforest-Lapointe 2022). For example, *Q. macrocarpa* is a native tree species in Manhattan and often used as an ornamental tree. Fungal richness and

other diversity indices in the phyllosphere of *Q. macrocarpa* grown in urban areas were lower than those trees grown in nonurban areas (Jumpponen and Jones 2009), and half of the phyllosphere fungal genera showed distinct and significant seasonal dynamics (Jumpponen and Jones 2010).

Many plant species are cultivated in urban area to purify the air and improve the environment. Some of them could release fragrant and antimicrobial volatiles. *Eucalyptus citriodora* is a widely cultivated tree in Indian cities; *Cladosporium* was dominant in its phylloplane, while *Botrytis* was dominant in its leaf interiors (Kharwar et al. 2010). For *Populus balsamifera* grown in a garden, *Leptosphaerulina* was dominant in the phyllosphere (Balint et al. 2013). Moreover, some flowers were also common ornamental plants cultivated in urban ecosystems, and their phyllosphere mycobiome were explored as well, such as *Camellia japonica* (Osono 2008) and *Lycoris radiata* (Zhou et al. 2020). Among their phyllosphere fungi, *Colletotrichum* was the genus observed in both of the two flowers.

Some studies revealed that the plants grown in polluted areas could harbor more pollutant-degrading microbes. For example, aromatic hydrocarbon (AH) degrading fungi were enriched in the phyllosphere of *Ixora chinensis, Ervatamia divaricata, Hibiscus rosa-sinensis* and *Amaranthus cruentus*, which were grown on the road-sides of polluted areas (Undugoda et al. 2016). Fungal communities in the phyllosphere of *Cinnamomum camphora* were surveyed in urban, suburban and rural area. The results showed that the fungal diversity was highest in the suburban areas and was strongly affected by the polycyclic aromatic hydrocarbon (PAH) concentrations (Tan et al. 2022); among the ten most abundant fungal genera, *Mycosphaerella, Zasmidium, Trimmatostoma, Epicoccum* and *Paraconiothyrium* were common in rural and suburban area, and *Phoma* was common in urban area.

Generally, all these studies showed that urban ecosystem could harbor special fungal taxa, and their enrichment was related to the environmental pollution induced by urbanization. In summary, common fungal genera could be observed in the phyllosphere of all the three ecosystems, but their abundances varied significantly. Thus, different ecosystems could enrich different fungal genera, indicating that different dominant environmental factors constrain and shape the fungal diversity and community composition accordingly.

### **3** Fungal Biogeographic Patterns in Phyllosphere

Fungal biogeography is the study of distribution of fungal diversity over space and time; the subject aims to reveal the general patterns and the underlying drivers (Martiny et al. 2006). It is necessary to know which fungi are where, and why they are found there and not somewhere else. These knowledges are the premise and foundation for the protection of fungal diversity and the utilization of fungal resources. The phyllosphere habitat provides an excellent platform to test the biogeographic hypotheses and formulate the associated theories (Andrews and Harris 2000). As early as 1987, researchers had used the theory of island biogeography to

explain the fungal distributions on apple leaves (Andrews et al. 1987); they proposed that leaves, like virtual islands, have the natural advantages for biogeographic studies, as they are accessible, replicated and easily manipulated. Other researchers corroborated the geographic isolation and size effect of islands by surveying foliar endophytic fungi of birch trees in the archipelago of Finland (Helander et al. 2007). Based on the framework of current microbial biogeography (Chu et al. 2020), phyllosphere fungal biogeographic distribution is constrained by multiple driving factors, such as plant host identity, leaf functional traits, climatic conditions, geographic distribution is spatial scale dependent. At the different spatial scales, the main driving factors may be distinctive (Vaz et al. 2014b). In addition, different detection methods, e.g., culture-based and culture-free methods (e.g., 454 Pyrosequencing, MiSeq Sequencing, and PacBio Sequencing), may lead to the different observed patterns (Bowman and Arnold 2021).

## 3.1 Fine and Local Scales

At the local-scale tropical forests, there are strong evidence of host tree preference and spatial heterogeneity for phyllosphere fungal communities, regardless of using culture-based or culture-free methods (Arnold et al. 2000; Kembel and Mueller 2014). However, the aforementioned host- and habitat-specificity were not observed for phyllosphere fungal communities of grasses in tropical forests (Higgins et al. 2011, 2014). In other ecosystems, such as mountains, mangroves, and arctic zones, phyllosphere fungal partners (Arfi et al. 2012; Zhang and Yao 2015; Yao et al. 2019; Apigo and Oono 2022). The significant plant identity effect is partly attributed to leaf economic traits (Kembel and Mueller 2014; Tellez et al. 2022), and its extent varies by different plant abundances and lineages (Apigo and Oono 2022).

In order to deeply and extensively explore the other driving factors besides plant species identity, many studies focused on the phyllosphere fungal biogeography of single plant species. Across a Hawaiian landscape, foliar endophytic fungal communities of Metrosideros polymorpha were strongly driven by temperature and rainfall (Zimmerman and Vitousek 2012). In a subalpine timberline ecotone on Changbai Mountain, the alpha diversity of foliar endophytic fungi of B. ermanii significantly increased with increasing elevation, and fungal community composition differed between different elevation sites; leaf carbon was the main driver of alpha diversity and community composition (Yang et al. 2016b). For Pinus muricata and Vaccinium ovatum growing across a broad soil nutrient gradient, foliar endophytic fungal richness was constrained by leaf nitrogen-to-phosphorus ratio and sodium content (Oono et al. 2020). The effect of plant within-species variation (i.e., tree genotype) was not observed in the needle mycobiome-Picea glauca system at an arctic treeline ecotone (Eusemann et al. 2016). In addition, biotic interactions, such as neighboring plant diversity, fungal-fungal associations, mycorrhizal colonization, and inoculation of endophytes, were found to be significant drivers of phyllosphere fungal communities (Pan and May 2009; Eschen et al. 2010; Nguyen et al. 2017; Yang et al. 2013b). Even at the fine scale, there are still the significant biogeographic patterns; e.g., fungal endophytes *Xylaria* associated with Myrtaceae exhibited leaf fragment preference to petiole and tip (Vaz et al. 2014a).

#### 3.2 Regional Scales

Regional-scale biogeographic studies are commonly carried out over a span of more than 100 km, and thus have the larger geographic distance and broader environmental gradient compared with local-scale studies. Both host species identity and geographic locality were the primary drivers of fungal communities in phyllosphere at the regional scale (Hoffman and Arnold 2008). However, their relative effects were different, and most of the studies showed that host species identity played a more important role than dispersal limitation in shaping phyllosphere fungal biogeographic patterns (Lau et al. 2013; Sapkota et al. 2015; Vincent et al. 2016). Of note, with increasing urbanization, the community dissimilarity of foliar endophytic fungi among different tree species in urban zones significantly decreased compared with that in rural forests (Matsumura and Fukuda 2013). It indicates that human activity exerts a profound effect on fungal biogeographic patterns in phyllosphere, e.g., decrease beta diversity among different tree species (i.e., host specificity).

Strictly, site effect may result from two independent factors - one is geographic distance, and the other is environmental distance (e.g., climatic difference). By focusing on the phyllosphere fungal community of single plant species, researchers found that environmental filtering plays a greater role in structuring foliar fungal communities than dispersal limitation caused by geographic distance (Garcia et al. 2013; Barge et al. 2019; Bowman and Arnold 2021). In addition, fungal community composition in the phyllosphere of Mussaenda pubescens was significantly structured by host genotype, and less by geographic distance (Qian et al. 2018b). Manipulative experiments are the important supplement to field surveys in biogeographic studies, as it can uncouple multiple effects directly and test for causality. Commonly, the manipulative experiments are carried out at the local scale. Sometimes, for example, provenance-progeny trails can be carried out at regional scales. Based the provenance-progeny trails of sugar maple as well as switchgrass, researchers found that site effect was the main driver of the variation in phyllosphere fungal communities, whereas seed provenance or host ecotype has no significant effect (Whitaker et al. 2018; De Bellis et al. 2022). Recently, a 7-year old provenance-progeny trail showed that both site and host genetic variation shape the phyllosphere fungal communities of Scots pine (Schonrogge et al. 2022). Therefore, more experiments involving single plant species should be extensively performed to summarize the general pattern of phyllosphere fungal biogeography. In addition, aerial spore communities, rare fungal species and plant genetic distance among different host species were also reported as the drivers for phyllosphere fungal distributions (Oono et al. 2017; Redondo et al. 2022; Sarver et al. 2022; Teng et al. 2022).

# 3.3 Continental and Global Scales

Phyllosphere fungi cooccur with all major lineages of land plants and are widely distributed across every corner of the earth. However, few studies were really performed to reveal the biogeographic pattern of phyllosphere fungi at the global scale. Previously, Arnold and Lutzoni isolated, cultured and (Sanger) sequenced 1403 endophytic fungal strains involving 28 host plant species from the lowland tropical forest of central Panama to the Canadian arctic; they found the incidence, diversity, and host breadth of foliar endophytic fungi significantly decreased with the increasing latitude (Arnold and Lutzoni 2007). The diversity pattern of foliar endophytic fungi along latitude seems to be similar to the pattern of plants and animals. Later on, the research team examined 4154 endophytic and endolichenic fungal strains involving ca. 20 plant and lichen species across North America; climatic variables, geographic distance, and plant host identity together affected the fungal distributions at the continental scale, among which climatic variables more strongly affected the fungal distributions than geographic distance alone (U'ren et al. 2012). It indicates again that environmental filtering plays a greater role in structuring foliar fungal communities than dispersal limitation at the broad spatial scale. When we study the global-scale phyllophere fungal biogeography, one issue always exists. Considering the turnover of host plants with geography and climate, the relative effects of host species identity, geographic distance, and abiotic environmental variables on foliar fungal biogeography are not clear, especially at the global scale. Also from the progress of Arnold's team, they revealed that host availability, rather than turnover with geographic or environmental distance, drove distributions of foliar endophytic fungi in boreal forest ecosystems at the trans-continent scale (across North America and Eurasia) (U'Ren et al. 2019).

Although there has been a few continental- and trans-continental-scale studies on phyllosphere fungal biogeography, these studies are mainly carried out in the American continent and for foliar endophytic fungi. Therefore, more global-scale integrated studies are needed to form the fundamental knowledge on the biogeography of phyllosphere fungi. Meta-analysis is one of practical approaches to acquire the global-scale understanding. Starting from the raw sequencing data of 10 published studies, researchers corroborated the latitudinal diversity decline and distance-decay relationships, which indicates the similarity in biogeographic patterns between fungi and other organisms (Meiser et al. 2014). Recently, Bladrian et al. complied and analyzed fungal high-throughput sequencing data from 156 publications; they extrapolated fungal diversity to 6.28 million and highlighted the hotspot of unknow diversity in lichen and plant tissues (Baldrian et al. 2021). Of note, phyllophere samples only accounted for one part in the aforementioned metaanalysis studies. Fortunately, some citizen science projects (incl. Dataset construction; Franic et al. 2022) that specify phyllosphere fungi are in progress (https://sisu.ut.ee/funleaf/about). In the near future, it will definitely bring us more insights into the biogeographic patterns of phyllosphere fungi.

# 4 Fungal Temporal Dynamics in Phyllosphere

# 4.1 Temporal Factors Shaping Phyllosphere Mycobiome Assembly

A better understanding of fungal temporal dynamics in the phyllosphere is essential for uncovering fundamental ecological processes underpinning the assembly of the plant mycobiome. Changes in phyllosphere mycobiome assembly along plant growth are closely associated with many temporal factors, including plant age, host developmental stage, and seasonal climatic factors (Gao et al. 2020; Xiong et al. 2021b; Vacher et al. 2016; Remus-Emsermann and Schlechter 2018). Growing evidences on maize, sorghum, barley, Arabidopsis, and trees have revealed that plant developmental stage and growing season are important factors influencing structure and function of leaf-associated fungal communities and regulating the balance between deterministic and stochastic processes in phyllosphere mycobiome assembly (Table 2). For example, Gao and colleagues showed that leaf-associated fungal communities altered strongly across plant developmental stages (1st to 17th week), and stochastic forces (drift or stochastic dispersal) played a role in shaping leaf fungal community assembly at the early stage of plant development (Gao et al. 2020). Similarly, a recent work on maize grown under different fertilization practices at two contrast sites have suggested that plant developmental stage had the strongest effects on the phylloplane mycobiome, compared with other plant and soil compartments (Xiong et al. 2021b). Null model analysis further showed that the relative importance of deterministic and stochastic processes in the assembly of leaf-associated mycobiome were greatly influenced by plant developmental stage, with a higher relative contribution of stochastic processes mainly belonging to homogenizing dispersal and undominated (e.g., diversification and/or drift) observing for both epiphytic and endophytic phyllosphere fungal communities at the seedling stage (Xiong et al. 2021b). By contrast, deterministic processes dominated the assembly of endophytic phyllosphere fungal community at both tasseling and mature stages (Xiong et al. 2021b). Given that the phyllosphere is an important interface between the plant host and the environment, fungal community assembly in the phyllosphere is not only shaped by temporal factors but also influenced by other biotic and abiotic factors (Vacher et al. 2016; Remus-Emsermann and Schlechter 2018; Vorholt 2012). By using artificial plants made of plastic material as "background controls" in the field during maize developmental stages, Xiong and colleagues found that season-dependent environmental factors like air, dust and rainwater also played a role in phyllosphere fungal community assembly (Xiong et al. 2021b). Source tracking analysis further indicated that atmosphere environment contributed an increasing proportion as the source of the maize phylloplane fungal community across three plant developmental stages (from 86.6% to 92.4%) (Xiong et al. 2021b).

Plant species	Leaf Compartment	Research content	Temporal factor	References
Arabidopsis thaliana	Episphere and endosphere	Fungal diversity, composition, and network properties	5 growing seasons	Almario et al. (2022)
45 subtropical tree species	Episphere	Fungal diversity and composition	Dry and wet seasons	Li et al. (2022a)
Hordeum vulgare	Episphere and endosphere	Fungal diversity, composition, and network properties	1st to 18th week	Sapkota et al. (2022)
Schefflera octophylla	Episphere	Fungal composition and network properties	2nd to 6th week	Song et al. (2022b)
Camellia sinensis	Episphere and endosphere	Fungal diversity and composition	4 developmental stages	Xu et al. (2022b)
Gingko biloba, Pinus bungeana, and Sabina chinensis	Episphere	Fungal diversity, composition, and network properties	2 growing seasons	Bao et al. (2022)
Zea mays	Episphere and endosphere	Fungal diversity, composition, assembly processes, and network properties	3 developmental stages	Xiong et al. (2021b)
Quercus robur	Episphere and endosphere	Fungal diversity and composition	3 growing seasons	Faticov et al. (2021)
Panicum virgatum	Episphere and endosphere	Fungal diversity, composition, and network properties	7 growing seasons	Bowsher et al. (2021)
Sorghum bicolor	Episphere and endosphere	Fungal diversity, composition, and assembly processes	1st to 17th week	Gao et al. (2020)
Olea europaea	Endosphere	Fungal diversity and composition	3 growing seasons	Materatski et al. (2019)
Olea europaea	Episphere and endosphere	Fungal diversity and composition	2 growing seasons	Gomes et al. (2018)
Fraxinus excelsior	Episphere and endosphere	Fungal diversity and composition	9 growing time points	Cross et al. (2017)

Table 2 Recent studies on fungal temporal dynamics in phyllosphere

In addition, increasing studies indicated that effects of the temporal factors and other drivers on fungal community assembly in the phyllosphere largely depend on changes in plant growth and developmental stages, variation in host identity, and spatial scale (e.g., geographic distance). For example, previous work had showed that plant developmental stage (18–39%) dominated over site (3–26%) in shaping fungal communities in both epiphytic and endophytic phyllosphere of maize (Xiong et al. 2021b). At the plant level, it was reported that plant developmental stage (10.7%) played a more important role than drought treatment (2.6%) and plant

cultivar (0.2%) in structuring fungal communities across soil, root, and leaf of sorghum (Gao et al. 2020). Moreover, a recent work on oak had suggested that plant growing season (10%) explained more variation in leaf fungal communities than warming treatment (2%) and host genotype (1%) (Faticov et al. 2021). Study on spring barley reported that plant age (44%) played a more important role than host cultivar (1%) in shaping leaf fungal community (Sapkota et al. 2022). Gomes et al. examined fungal communities in endophytic and epiphytic phyllosphere and demonstrated that season was the major driver of fungal community composition, especially for epiphytic fungal community (Gomes et al. 2018). Wind speed and temperature were important environmental factors influencing epiphytic phyllosphere fungal community, while plant organ, rainfall, and temperature were the major drivers shaping endophytic phyllosphere fungal community (Gomes et al. 2018). All these results suggest that the temporal factors are vital drivers shaping the assembly of leaf-associated fungal communities under different host selection and environmental stresses.

# 4.2 Temporal Patterns of Fungal Diversity, Composition, and Networks

Increasing works have suggested that the temporal factors also significantly affected diversity, composition, and co-occurrence patterns of the phyllosphere mycobiome (Bowsher et al. 2021; Li et al. 2022a; Faticov et al. 2021; Almario et al. 2022). For instance, the study on the pedunculate oak (Quercus robur) across one growing season had showed that phyllosphere fungal species richness increased but evenness decreased during the growing season (Faticov et al. 2021). The relative abundance of Yeasts increased over the time, while putative fungal pathogens decreased (Faticov et al. 2021). A recent work analyzed leaf fungal community of Arabidopsis thaliana throughout the plant's natural growing season (extending from November to March) over three consecutive years, and demonstrated that the time of sampling had an important effect on fungal communities (32-40% explained variance) (Almario et al. 2022). The relative abundance of Microbotryales increased throughout the plant's growing season, while that of Sporidiobolales decreased (Almario et al. 2022). Sapkota and colleagues characterized the phyllosphere mycobiome of three spring barley cultivars at weekly intervals during a growth season from seedling emergence to senescence and seed maturity, and showed that the specific members like *Dioszegia* and *Sporobolomyces* of the mycobiome responded differently to plant developmental stage (Sapkota et al. 2022). Moreover, it was found that fungal seasonal dynamics in the phyllosphere differed between phylogenetic groups, with Aureobasidium and Neoascochyta sp. peaking in early summer and then decreasing across the growing season (Bowsher et al. 2021). By contrast, higher relative abundance of *Epicoccum* sp. were observed at the early stage and then steadily increased throughout much of the growing season (Bowsher et al. 2021). Moreover, previous studies explored fungal diversity and seasonal

succession in ash leaves infected by the invasive ascomycete Hymenoscyphus fraxineus by high-throughput sequencing and quantitative PCR profiling of H. fraxineus DNA, and indicated that plant growing season had a significant impact on fungal composition in the phyllosphere (Cross et al. 2017). Initiation of ascospore production by *H. fraxineus* after overwintering was followed by pathogen accumulation in asymptomatic leaves across plant growing seasons (Cross et al. 2017). Some fungal taxa like genera *Phyllactinia* and *Phoma* were more abundant at the late season and were positively correlated with *Hymenoscyphus*, while some taxa like Taphrina, Tilletiopsis, Cladophialophora were more abundant at the early season and were negatively correlated with Hymenoscyphus (Cross et al. 2017). These strong seasonal changes of the phyllosphere fungal community might be explained by the fact that plant metabolisms, leaf physical and chemical traits, and seasonal weather conditions significantly vary across different growing seasons and plant developmental stages (Xu et al. 2022b; Vacher et al. 2016). For example, theophylline was prevalent metabolite at the early shoot development stage and strongly affected fungal communities in the tea plant phyllosphere, in contrast, epigallocatechin gallate was more abundant at the late stage and was identified as the main driver of fungal community assembly (Xu et al. 2022b). Bowsher and colleagues investigated seasonal dynamics of epiphytic phyllosphere fungal communities of switchgrass, and observed a strong impact of plant growing season on fungal community composition, with multiple taxonomic levels exhibiting clear temporal patterns (Bowsher et al. 2021). It was shown that fungal richness index increased after the first time point and remained high until late summer, when it decreased across the final two time points (Bowsher et al. 2021). Further, seasonal patterns in fungal community were significantly correlated to leaf nitrogen concentration, leaf dry matter content, plant height, and minimum daily air temperature(Bowsher et al. 2021), indicating that both host selection and environmental changes contribute to phyllosphere fungal temporal dynamics.

Furthermore, it was reported that fungal network connectivity changed across plant growth stages, with a weak co-occurrence pattern early in the season but increasing dramatically at the late stage (Sapkota et al. 2022). A recent work on maize also revealed that bacterial-fungal interkingdom network patterns in both epiphytic and endophytic phyllosphere changed distinctly across three developmental stages (Xiong et al. 2021b). The fungal network connectivity and the proportion of fungal nodes increased over the time, indicating an increasing role of fungal taxa in the networks (Xiong et al. 2021b). The random forest modeling analysis further indicated that fungal community composition at the mature stage is a strong predictor for crop yield (Sapkota et al. 2022). Additionally, an increasing research effort is to explore core taxa of the phyllosphere mycobiome during plant developmental stages. For instance, six fungal taxa were identified as persistent core taxa (present in at least 95% of samples) for the phyllosphere mycobiome, including two ascomycetes (Cladosporium spp.) and four basidiomycete yeast (Dioszegia sp., Itersonilia sp., Sporidiobolus sp., and Udeniomyces sp.) (Almario et al. 2022). Taken together, these findings reveal the prominent roles of temporal factors in shaping diversity, composition and co-occurrence networks of the phyllosphere mycobiome under various environmental conditions. These findings can help to form a systematic understanding on the fundamental ecological processes governing plant mycobiome assembly and to develop microbiome-based tools for sustainable plant protection and crop production.

#### 5 Fungal Community Assembly in Phyllosphere

## 5.1 Community Assembly Processes

Microbial community assembly are driven by four ecological processes: selection, speciation, diversification, and drift based on the theory proposed by Vellend (2010). Selection mirrors deterministic fitness differences between species. Diversification represents evolutionary process of generating new genetic variants. Dispersal relates to the movement of organisms across space, and drift reflects stochastic changes in species abundance (Vellend 2010; Zhou and Ning 2017).

#### 5.1.1 Selection

Leaves present as an extreme environment where phyllosphere fungi withstand low nutrient availability, large moisture fluctuation, intense ultraviolet radiation, and temperature oscillations. These leaf microclimate parameters vary with regional climate and exhibit fine-scale variations due to terrain, vegetation, and canopy structure (Vacher et al. 2016). Thus, environmental factors often exert important selective pressure on phyllosphere fungal communities. For example, climate warming altered the composition of fungal assemblages of Mycosphaerella punctiformis along an elevation gradient (Cordier et al. 2012b) or affected phyllophere fungal assemblages of Quercus robur in a multifactorial experiment (Faticov et al. 2021). Warming strengthened host plant defenses and filtered out the less adapted fungal taxa in the phyllosphere (Faticov et al. 2021). Precipitation also exerted a significant influence on phyllosphere fungal communities of Mussaenda kwangtungensis (Qian et al. 2018a) and Panicum hallii (Giauque and Hawkes 2016). Precipitation indirectly influenced fungal community assembly through variation in the local plant community structure (Hawkes et al. 2011). In addition, environmental changes were assumed to decrease the activity of host genes, resulting in the context-dependent expression of genetic variation for plant phenotypic features, which might further alter the community assembly processes of phyllosphere fungi (Wagner et al. 2016).

Since the phyllosphere is an ecological interface between air and host plants. Plant species identity should be an essential driver of community structure of phyllosphere fungi. Previous studies have shown significant different foliar fungal community composition among different plant species (Kembel and Mueller 2014; David et al. 2016) or plant genotypes (Qian et al. 2018b; Balint et al. 2013). Many phenotypic properties including leaf morphology, physiology, and chemistry derived from the host genetic repertoire likely exert selective pressure on the phyllosphere fungal community assembly and the plant-fungal interactions (Friesen et al. 2011). Fungal cells and spores that land on the leaf surface initially contact with the trichomes and cuticles, whose architecture varies greatly depending on environmental conditions and plant identity. Trichomes or hairy extensions can protect the leaf against ultraviolet radiation, ensnare the water, and help spores adhere to the leaf surface (Qian et al. 2020). For instance, some endophytic Trichoderma species were found to be intimately associated with Theobroma cacao glandular trichomes (Bailey et al. 2009). Cuticle permeability and wettability can influence the diffusion rate of compounds from the apoplast onto the leaf surface and the retention of water droplets on the leaf surface, which will affect the colonization of microbes in the phyllosphere (Schlechter et al. 2019). Additionally, plant traits related to leaf sizes, foliar nutrients (e.g., sulfur, nitrate, and calcium) and leaf secretions (e.g., organic acids, sugars, and secondary metabolites) can also largely influence the phyllosphere fungal diversity and community composition (Larkin et al. 2012; Kivlin et al. 2019; Glushakova et al. 2007; Saunders and Kohn 2009).

#### 5.1.2 Dispersal

Dispersal of foliar microbes is performed primarily by bioaerosols that contain fungal spores, single cells, and fragments of hyphae. Bioaerosols can deposit on nearby plants and travel over a long distance, which relies on the height of release occurs, environmental conditions, local vegetation structures, and the size and density of particles (Vacher et al. 2016). Dispersal limitation theory demonstrates that there will be a decay in the similarity of microbial communities with geographic distance (Hanson et al. 2012). This phenomenon has been found in the fungal communities inhabiting the leaves of *M. pubescens* var. *alba* (Qian et al. 2018b) and *Pinus taeda* (Oono et al. 2017) at regional scales, which highlights the importance of geographic distance as a driver in shaping regional foliar fungal communities.

#### 5.1.3 Diversification and Drift

Given that the current microbial distribution patterns cannot be entirely explained by selection and dispersal, diversification or mutation at the gene level may also act an essential role in determining microbial community assembly (Zhou and Ning 2017). Phyllosphere fungi often cope with intense ultraviolet radiation and reactive oxygen that are considered to accelerate mutation rates (Vorholt 2012). However, we still lack the methods to calculate the relative contribution of diversification in shaping the community structure.

Drift is a purely stochastic process that can function on its own via probabilistic factors, especially in small communities or when the regional pool is enormous in comparison to the size of local communities (Chase 2003). Drift could interact with selection to create multiple stable equilibria and become more important when

selection is weak (Chase and Myers 2011). Generally, the majority of phyllosphere fungi are rare taxa, which may be easily influenced by drift, because slight changes in their abundance can lead to extinction on a local scale (Vacher et al. 2016). In addition, the functional redundancy of phyllosphere microbes could increase neutrality and makes functionally redundant members more vulnerable to drift (Zhou and Ning 2017).

#### 5.2 Co-occurrence Networks

In phyllosphere environments, microbial members often interact with each other and live within complicated ecological networks rather than existing in isolation (Faust and Raes 2012). The microbial interactions can be classified as positive (mutualism), neutral (commensalism) or negative (competition, predation, parasitism) and play important roles in determining the fitness of phyllosphere microbes, selecting for specific microbial traits, and shaping the structure of microbial communities (Bashir et al. 2022; Vacher et al. 2016).

Co-occurrence network analysis can reveal how species coexist within a community, disentangle the microbe-microbe and microbe-host interactions, and thus provide comprehensive insights into the assembly process and ecological function of microbial communities (Banerjee et al. 2018). The co-occurrence pattern has been frequently visualized as a network of nodes (microbial taxa) connected by edges (microbial interaction) of varying strength that correspond to the frequency of paired species presence at a site (Kay et al. 2018). Ecological modules are comprised of closely connected microbes, and are usually considered as the result of phylogenetic relatedness, niche differentiation, and/or habitat heterogeneity of the microbial communities (Zhang et al. 2018a; Newman 2006). Network analysis can also help us identify keystone microbes that are highly connected; the keystone species may exert a great influence on the structure and functioning of microbial communities irrespective of their abundance (Banerjee et al. 2018). The removal of these taxa will lead to a dramatic shift in network topology. The network topological properties, such as clustering coefficient, average path length, mean connectivity, and edge density, can be used to speculate microbial assembly and interactions. In particular, co-occurrence network analysis based on amplicon sequencing data has been increasingly used to explore the ecological interactions among multiplekingdom microbial members as well as microbe-host relationships in various habitats (Teng et al. 2022; Yang et al. 2022).

Recently, several studies have been conducted to explore co-occurrence networks of phyllosphere fungal communities. For instance, Yao et al. found foliar endophytic network had higher levels of specialization and modularity but lower connectance and stronger anti-nestedness than the epiphytic network in a local mangrove forest (Yao et al. 2019). Qian et al. found that the phyllosphere fungal networks of *Mussaenda kwangtungensis* in island regions showed less complex and coherent, but more modular structure than the mainland ones (Qian et al. 2020). At the scale of more than 2000-km span of mountain forests in eastern China, Yang et al. demonstrated that the plant-fungus networks in leaves were significantly higher specialized, modular and stable, but less connected compared to the networks in soils (Teng et al. 2022). Phyllosphere fungal networks of *M. shikokiana* displayed a trend of reduced connectivity and integrity with increasing elevation (Qian et al. 2018a). Nevertheless, we still lack basic knowledge regarding the environmental factors that determine the network structure of phyllosphere fungi. Environmental drivers could influence phylogenetic congruence patterns and the rare taxa involved in coevolved interactions, but exploring the response of networks to environmental change will require linking network architecture with ecosystem functioning, and using multilayer network approaches (Tylianakis and Morris 2017).

# 5.3 Source Analyses of Phyllosphere Fungi

The phyllosphere recruits microbes via horizontal (from an environmental, freeliving symbiont source) or vertical (from the inheritance of the symbiont from the mother or both parents) transmissions (Bright and Bulgheresi 2010; Bashir et al. 2022). Therefore, the sources of phyllosphere fungi are diversified. Epiphytic fungal residents originate from the air, water, or soil and can arrive at the leaf surface through wind, bioaerosols, raindrops or animals (especially insects and herbivores) (Whipps et al. 2008). Once deposited, their establishment and survival will further rely on microbial physiological and genetic features (e.g., acquisition of leaf nutrients, capability to adhere to leaf surface, and adaptation to leaf microclimate) and leaf phenotypic properties in the aspects of morphology, chemistry and physiology (Bashir et al. 2022). Some of them can pass through leaf epidermal openings structure like stomata or hydathodes and become endophytes (Bashir et al. 2022). Yang et al. found more phylogenetically clustered structure for epiphytic and endophytic fungi inhabiting the leaves of *B. ermanii* compared with the corresponding soil fungi, indicating a continuum acted by epiphytes and endophytes in the phyllosphere (Yang et al. 2016a). Some endophytic fungal species (e.g., clavicipitaceous endophytes) can transmit vertically, with maternal plants passing fungi on to offspring through seeds (Rodriguez et al. 2009). Additionally, there is increasing evidence that endophytic microbes in the roots could enter the vascular system and be transferred internally to the leaves where they develop as foliar endophytes (Whipps et al. 2008). For example, many fungal taxa of Mussaenda kwangtungensis were shared between the leaf and root endosphere compartments, although the overall community structure can differ significantly (Qian et al. 2019).

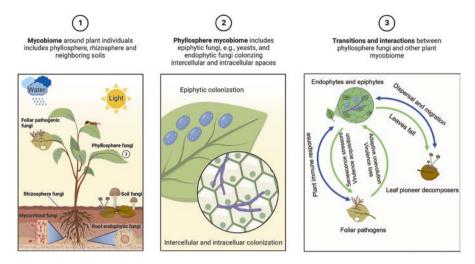
The advancement of statistical tools facilitates us to identify the sources of phyllosphere fungi more precisely. For example, SourceTracker is a Bayesian approach to estimate the proportion of contaminants in a given community (Knights et al. 2011); the approach was widely used in high-throughput metagenomic studies (Yang et al. 2021; Zhang et al. 2022). Using SourceTracker, the researchers found that 60% of the foliar endophytic fungal community of healthy Rice was derived from the soil environment. FEAST (i.e., fast expectation-maximization microbial source tracking) is an alternative Bayesian approach to estimate the proportions of microbial sources in a given community based on Gibbs sampling; this method can deal with bigger data information in a timely manner (Shenhav et al. 2019). Using FEAST, the researchers surveyed the sources of the foliar fungal community along the burn severity gradient; they found that the percentages of these sources were strongly affected by the burn severity levels (Dove et al. 2021). In unburned plots, 40% of the foliar fungal taxa were derived from rhizosphere, while bulk soil was the main source of the foliar fungal taxa in burned plots. In addition, airborne fungal community was more derived from leaf surface than soils (Qi et al. 2020). Therefore, soil, air and other plant tissues are potential sources of phyllosphere mycobiome, but their relative contributions vary by plant growth stages and surrounding environments.

## 6 Fungal Functions in Phyllosphere

Phyllosphere fungi have intimate relationships with plants and exhibit diverse functions, which not only benefit their own survival and growth but also affect plant performance and even the whole ecosystem. They have been reported to increase plant access to nutrients and water, enhance plant resistance to biotic and abiotic stress, degrade organic matters or pollutants, drive plant population and community and so on (Busby et al. 2016; Khan et al. 2015; Rudgers et al. 2010; Russell et al. 2011). However, leaf fungal pathogens induce plant diseases and cause huge losses in agroecosystem (Chen et al. 2021a). Thus, understanding functional diversity of phyllosphere fungi is essential to maintain the sustainability of natural ecosystems, promote the yield in agroecosystems, and benefit to human health in urban ecosystems.

## 6.1 Functional Traits

Recently, more and more studies focus on fungal functional traits, which are the measurable characteristics that affect organism growth and adaptability in certain environments (Yang 2021). Functional traits can be analyzed based on phenotypic characteristics or inferred from microbial genomes. Microbial functional traits are more sensitive to environmental fluctuations compared to microbial taxonomic composition (Xiang et al. 2020). Currently, several databases of fungal functional traits have been established, including FUNGuild (Nguyen et al. 2016b), Fun<sup>Fun</sup> (Zanne et al. 2020), and FungalTraits (Põlme et al. 2021), which make the quantification and prediction of diverse fungal traits under different conditions much quicker and easier.



**Fig. 1** Dimensions of mycobiome around plant individuals. The transitions in life history and biotic interactions among representative fungal guilds are shown in diagram ③. In the diagram, blue arrows represent biotic interactions between different fungal guilds. For example, endophytes (or epiphytes) and foliar pathogens affect each other by adjusting plant immune responses (Schulz and Boyle 2005). Green arrows represent the transitions in life history between phyllosphere fungi and other plant mycobiomes. For examples, endophytic fungal lineages frequently transit to and from pathogenicity, while endophytic lineages give rise to saprotrophs, but the revisions to endophytism are rare (Arnold et al. 2009). The diagrams are created with the help of BioRender.

Guild, also known as functional group, refers to a group of organisms that utilize the similar environmental resources or have certain niche overlaps, no matter these organisms are phylogenetic related or not (Nguyen et al. 2016b). In FUNGuild, 12 guilds were classified, among which endophytes, pathogens, saprotrophs, and mycorrhizal fungi are plant-associated fungi (Nguyen et al. 2016b). Nevertheless, there are the highly complicated plant mycobiome around plant individuals different functional guilds (incl. endophytes and epiphytes) transform the life histories and interact with each other closely (Fig. 1). Some fungal endophytes in the phyllosphere could become saprotrophic decomposers after leaf fall (Suryanarayanan 2013). Moreover, Colletotrichum (Rojas et al. 2010; Mendgen and Hahn 2002) and Dothideomycetes fungi (Ohm et al. 2012) could transform between non-pathogens and pathogens in response to different environmental conditions or host cues. Dothideomycetes fungi are common in the phyllosphere of many plant species (Qian et al. 2018b; Yao et al. 2019; Teng et al. 2022). The comparison of genome features of 18 Dothideomycetes fungi showed that they could be classified as plant pathogens and saprotrophs, and these pathogens could be further divided into necrotrophs, biotrphs, and hemibiotrophs (Ohm et al. 2012). Further analysis showed that genes involved in carbohydrate degradation and secondary metabolism were expanded in necrotrophs; and necrotrophs also had higher number of genes encoding effectors compared to (hemi)biotrophs, which could lead to the death of leaves and benefit the survival and growth of necrotrophs. Thus, the shift among different life strategies could be predicted by measuring fungal functional traits, such as the production and exudation of lytic enzymes, the suppression of host defenses, and so on (Mendgen and Hahn 2002).

Functional traits that are critical to fungal physiology were also summarized, such as growth rate, respiration rate, spore size and number, stress tolerance (especially through melanin synthesis), demand for nitrogen (N) and phosphorus (P), and extracellular enzyme activity (Zanne et al. 2020). Other functional traits are highly correlated with fungal interactions with plants. For example, some foliar endophytes inhibited *Rhizoctonia solani*, decreasing disease severity and increasing potato yield (Lahlali and Hijri 2010). Among the tested fungal endophytes, *Trichoderma atroviride* acted as a mycoparasite; *Alternaria longipes* and *Epicoccum nigrum* produced antagonistic secondary metabolites; *Phomopsis* sp. competes for nutrients and space with leaf pathogens. Thus, the aforementioned fungal functional traits could be used to predict three-way interactions among plants, pathogens, and mutualists.

As mentioned above, phyllosphere fungi may change their functional guilds in response to changed conditions. Zhang and Elser examined the stoichiometry of different fungal guilds and found that N content was higher while P content was lower in pathogens compared to saprophytes (Zhang and Elser 2017). However, the N/P ratio was much higher in saprophytes than pathogens (Zhang and Elser 2017). Moreover, saprophytes acquire carbon energy by decomposing dead plant matter, so they may harbor more abundant genes encoding carbohydrate-active enzymes (CAZymes) (Zanne et al. 2020). Therefore, for the fungal taxa that can transition from one guild to another, it is essential to understand which fungal traits can specify the guild changes. These functional traits may help to predict fungal functions in phyllosphere more precisely in the future.

#### 6.2 Functional Genes

One microbial strain harbors thousands of functional genes, which are less evolutionarily conserved compared to phylogenetic biomarkers such as 18S rRNA gene or nuclear ribosomal internal transcribed spacer (ITS) gene (Yang 2021). The presence and expression levels of certain functional genes can be used to estimate the fungal functional traits (Zanne et al. 2020). Although the simplest trait is encoded by single genetic locus, most traits are complex (Martiny et al. 2015). Some phyllosphere fungi may obtain certain genes from their host plants and exhibit novel traits through horizontal gene transfer (HGT) (Tiwari and Bae 2020). Thus, it is difficult to summarize all the fungal functional traits by only one or several genes (Escalas et al. 2019). More approaches are needed to ensure the links between functional genes and traits, such as gene knockout and genetic mutant generation.

Fungal community, as a functional library, contains a collection of genes selected by certain environmental conditions (Escalas et al. 2019). A large collection of microbial functional genes have been summarized, which are mainly categorized into nutrient cycling, substance degradation, antibiotic resistance, stress response, and virulence (Escalas et al. 2019). Some functional genes are reported to enrich or delete in phyllosphere mycobiome. For example, phyllosphere fungi harbored specific functional genes related to carbon (C), N, P, sulfur (S) cycles compared to the fungi in other habitats, because carbohydrates, amino acids, and organic acids were released by plants to leaf surface (Xiang et al. 2020). In contrast, powdery mildews lost genes encoding CAZymes, primary and secondary metabolism related enzymes, and transporters, which benefit its biotrophic pathogenicity (Spanu et al. 2010). Thus, the presence and absence of some unique genes in phyllosphere fungal communities can be used to assess their potential phenotypes, functional diversity as well as the healthy state of host plants.

# 6.3 Omics

Most fungi in the phyllosphere are unculturable in common media and under common culture conditions. Metagenomics is defined as the culture-independent genomic analysis of microbial community (Schloss and Handelsman 2003), which was followed by the emergence of metatranscriptomics, metabolomics, and metaproteomics (Schneider and Riedel 2010). The applications of aforementioned highthroughput techniques will promote the exploration of fungal functions in phyllosphere, providing more comprehensive and accurate information.

#### 6.3.1 Metagenomics

Metagenomics can reveal a much higher fungal diversity in the phyllosphere compared to culture dependent methods (Quince et al. 2017), because the unculturable microbes occupy nearly 99.5% of the entire environmental microbiota (Lloyd et al. 2018). More importantly, metagenomics provides a powerful tool to extend the functional traits from individual to community at the extensive sampling effort (e.g., hundreds or thousands of samples) (Barberan et al. 2012). Individual microbial genomes could be assembled from community metagenomics data, referred to as metagenome-assembled genomes (MAGs), providing an essential basis for genomecentric functional analyses (Luo et al. 2012). Several steps are essential to the accuracy and efficiency of metagenomics. For example, the purity and quality of nucleic acid molecules extracted from environmental samples must be ensured (Hawkes et al. 2021). Then, the improvements in bioinformatic tools and pipelines will further identify and remove contamination sequences. These bioinformatic tools could mimic gene translation progress, converting raw reads generated from metagenomic sequencing into meaningful microbial features. Furthermore, metagenomic sequencing could avoid the biases of PCR amplification that result from the use of target-specific primers (Tedersoo et al. 2015).

Metagenomic analysis showed low functional diversity but highly redundant functions in phyllosphere mycobiome, which may be relevant to fungal adaption to low nutrients, high ultraviolet radiation, and changing temperature and humidity of phyllosphere environment (Stone et al. 2018). Khoiri et al. analyzed the structure and function of microbial community in the sugarcane phyllosphere using the shotgun metagenomics, including archaea, bacteria, fungi, and viruses; they found that different farming practices strongly affected the taxonomic and functional diversity and co-occurrence interactions of phyllosphere microbes (Khoiri et al. 2021). However, several studies used metagenomics to explore fungal taxonomic diversity rather than functions. For example, Ottesen et al. combined amplicon and metagenomic sequencing to reveal the fungal and bacterial diversity in the surface of tomato leaves, stems, roots, flowers, and fruits (Ottesen et al. 2013).

It is noteworthy that metagenomics is the first step to understand microbial community, which could reveal the gene capacity of a community but not the expression of genes and their post-transcriptional modification. Thus, the metatranscriptomics, metabolomics, and metaproteomics should be applied to achieve a more comprehensive picture on the functions of phyllosphere mycobiome.

#### 6.3.2 Metatranscriptomics

Metatranscriptomics could capture gene expression in the plant-associated microbial community, showing the functional profile under certain conditions (Aguiar-Pulido et al. 2016). Since fungal transcripts show different sequence length and GC content from plant transcripts, it is possible to analyze the transcriptome of plants and their phyllosphere fungi together (Delhomme et al. 2015). Delhomme et al. performed de novo transcript assembly of more than 1 billion reads from Picea abies and obtained a mix of plant and fungal transcripts (Delhomme et al. 2015). They found that fungal transcripts were predominantly from Dothideomycetes and Leotiomycetes, with functional annotation related to glucose intake and processing, indicating active fungal growth and metabolism in the phyllosphere. In other studies, metagenomics and metatranscriptomics were combined to analyze phyllosphere mycobiome. Camargos Fonseca et al. combined amplicon sequencing, shotgun metagenomics, and small RNA transcriptomics to explore the fungal diversity and functions of rubber trees (Hevea brasiliensis); they found that most phyllosphere fungi were assigned to saprotrophic ecological mode, with fewer to pathotrophic and symbiotrophic modes, or a combination among them (Camargos Fonseca et al. 2022).

#### 6.3.3 Metabolomics and Metaprotemics

Metabolomics aims to analyze all the metabolites produced by an organism or a community, while metaproteomics aims to identify all the proteins and peptides in a microbial community. Unlike metagenomics and metatranscriptomics that heavily rely on sequencing, both metabolomics and metaproteomics have benefited from the improvement of mass spectroscopy technologies. Moreover, proteins and peptides could also be quantified by analyzing their individual intensity on gels. Generally, metabolomics and metaproteomics provide a more accurate information to the metabolic pathways compared to metagenomics and metatranscriptomics (Levy et al. 2018).

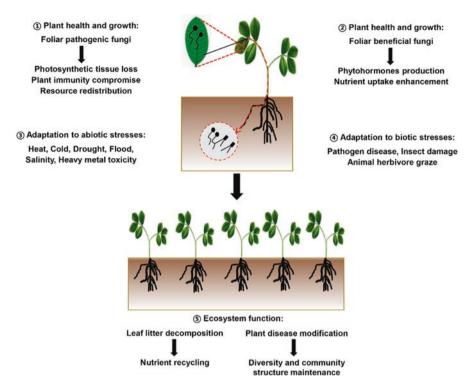
Metabolomics is able to characterize and quantify the chemical outputs of microbial metabolism, which are highly related to the cellular processes under certain conditions (Fiehn 2002). In community, microbes would produce various metabolites, including signaling molecules to communicate with others as well as toxins to kill competitors (Aguiar-Pulido et al. 2016). As such, metabolomics analysis can reveal the role of phyllosphere fungi in the transformation of nutrients and degradation of pollutants. Some fungal endophytes could get host genes through HGT and synthesize plant metabolites (Kusari et al. 2012). Consequently, phyllosphere fungi may be one of most important factors for leaf phytochemical composition (Mogouong et al. 2021). In addition, metabolome is considered as the most direct indicator of the homeostasis of an environment, so certain microbial metabolites could be developed as predictive biomarkers for environmental fluctuations (Lankadurai et al. 2013).

Metaproteomics is defined as a large scale characterization of the entire proteins in microbial communities at a given time point (Wilmes and Bond 2004), which is necessary to reveal the physiology, ecology, and evolution of microbial communities (VerBerkmoes et al. 2009). Currently, metaproteomics has been used to analyze the bacterial functions in the phyllosphere (Lambais et al. 2017; Knief et al. 2012), few studies focused on fungal metaproteomic analysis. In addition, some studies used metaproteomics to reveal fungal functions in soil. For example, Fernandes and colleagues found that the protein functions of soil fungi shifted from metabolism in forests to information processing and storage in shrublands (Fernandes et al. 2021). These existing studies provide some essential technical references to advancing metaproteomics analyses for phyllosphere mycobiome, whose composition and diversity are much simpler than soil mycobiome.

In summary, integrated analysis of metagenomics, metatranscriptomics, metabolomics, and metaproteomics are enabled by the lower cost of sequencing and the advancement of bioinformatic platform. These omics approaches will accelerate our understanding of phyllosphere fungal diversity and functions greatly.

#### 7 Interactions of Phyllosphere Mycobiome with Plants

Phyllosphere mycobiome intimately interacts with host plants and contributes to many processes, from the health of individual plant to the development and function of plant community. Some phyllosphere fungal taxa are reported as latent plant pathogens that may produce negative effects on plant development and growth in some cases. Most of phyllosphere fungi, especially for endophytic fungal group, are able to increase plant fitness by producing phytohormones, increasing plant nutrient uptake, reducing pathogen and herbivore damages, and enhancing plant adaption to stressful environments. Besides influencing the health of individual plant, phyllosphere fungi have a consequence on the plant population and community by



**Fig. 2** Roles of phyllosphere mycobiome in plant health and plant community. Phyllosphere fungi can act as latent plant pathogens that produce negative effects on plant development and growth. Some foliar fungi are able to increase plant fitness by producing phytohormones, increasing plant nutrient uptake, reducing pathogen and herbivore damages and enhancing plant adaption to stressful environments. Besides influencing the health of individual plant, foliar fungi have a consequence on the plant population and community by decomposing leaf litter and modifying plant disease. Part O-@ are at the plant individual level, while part O occurs at the plant population and community level

decomposing leaf litter (Osono 2006) and modify plant disease (Busby et al. 2016). Here, we focus on the effects of interactions of phyllosphere mycobiome with plants on plant health, growth, biomass, population and community (Fig. 2).

## 7.1 Roles in Plant Health

#### 7.1.1 Phyllosphere Fungi as Pathogens that Inhibit Plant Health

In the last several decades, the ecology of phyllosphere inhabiting fungi has been studied extensively, but more attentions have been paid on fungal pathogens (Jia et al. 2020). This is not surprising, as 7 in the top 10 most important plant-pathogenic fungi are foliar infection, including *Magnaporthe oryzae*, *Puccinia* spp., *Fusarium* 

graminearum, Blumeria graminis, Mycosphaerella graminicola, Colletotrichum spp., Ustilago maydis and Melampsora Lini (Dean et al. 2012). Many previous studies have revealed the molecular mechanisms underlying foliar infection of fungal pathogens, and identified fungal virulence effectors and plant resistance (R) proteins during infection process. For instance, fungal effector AvrPiz-t of *M. oryzae* suppresses rice immunity and promotes virulence by Ca<sup>2+</sup> sensor-mediated ROS scavenging system (Gao et al. 2021).

Given that leaves are initial infection organ for foliar fungal pathogens, it is reasonable that photosynthesis system would be the prime target by the infection. Foliar fungal infection inevitably deters plant health by directly damaging photosynthetic organelle, chloroplasts, and indirectly interfering plant immunity. Photosynthesis is the fundamental process that fuels plant growth and development (Brestic et al. 2021). Foliar fungal disease causes substantial loss of photosynthetic tissue, reductions in chlorophyll content, net photosynthesis rate (Pn) and other photosynthesis-related parameters, and thus reduces the carbohydrates for plant growth. For instance, maize leaves infection with Colletotrichum musae and Fusarium moniliforme reduce photosynthetic capacity due to the reduction in chlorophyll content (Pinto et al. 2000). The negative effects on photosynthesis system by foliar fungal and oomycete pathogens are achieved by a cocktail of effectors. During infection, pathogens introduce effectors specifically into chloroplasts, which interact with chloroplast proteins to induce programmed cell death (PCD), interfere chloroplasts function and facilitate pathogen proliferation (Kretschmer et al. 2019). For example, Pyrenophora tritici-repentis (Ptr), a necrotrophic fungus, produces host-selective toxins ToxA and ToxB (Ciuffetti et al. 2010), of which ToxA interacts with chloroplast protein ToxA Binding Protein 1 (ToxABP1) in wheat, leading to reductions in levels of PSI and PSII protein (Manning et al. 2010). A haustorium-specific protein (Pst\_12806) from wheat stripe rust fungus, Puccinia striiformis f. sp. Tritici (Pst) is transported into chloroplasts and interacts with the C-terminal Rieske domain of TaISP protein, which suppresses chloroplast function by reducing electron transport rate, photosynthesis and chloroplast-derived H<sub>2</sub>O<sub>2</sub> accumulation (Xu et al. 2019). However, our knowledge of chloroplast-targeted effectors from fungi and oomycete pathogens has lagged behind our knowledge of cytoplasm-targeted effectors, as chloroplast-targeted effectors have not been identified in some of best-characterized fungal pathogens, such as *M. oryzae* and *U. maydis*.

Besides fueling the growth of plants, photosynthesis system also plays central role in early immune responses through the formation of ROS and NO, Ca<sup>2+</sup> oscillations, and the synthesis of plant defensive phytohormones, including salicylic acid (SA) and jasmonic acid (JA) (Serrano et al. 2016). The biosynthesis of JA and SA is associated with chloroplast. Two different enzymatic pathways are responsible for SA biosynthesis: isochorismate (IC) and phenylalanine ammia-lyase (PAL) pathways, of which IC is operative in chloroplast. IC is catalyzed by the chloroplast-localized IC synthase (ICS), and exported to cytosol by enhanced disease susceptibility 5 (EDS5) (Rekhter et al. 2019). IC is then catalyzed by avrPphB Susceptible 3 (PSB3) to form IC-9-glutamate, which is converted to SA

spontaneously or enhanced by pesudomonas susceptibility 1 (EPS1) (Torrens-Spence et al. 2019). Notably, rapid biosynthesis of SA caused by foliar pathogens infection is mainly through ICS pathway. (9S, 13S)-12-oxophytodienoic acid (OPDA), a precursor of JA is synthesized in chloroplast by 13-lipoxygenase (LOX), allene oxide synthase (AOS) and allene oxide cyclase (AOC) (Turner et al. 2002; Zhang et al. 2019). The OPDA is then transported to peroxisome to synthesize JA. It is well known that SA and JA are key components of plant defence against biotrophic and hemibiotrophic (SA-mediated), and necrotrophic (JA-mediated) pathogens, respectively (Bari and Jones 2009). As a consequence, the loss of photosynthetic tissue by the foliar fungal pathogen activity compromises SA- and JA-mediated plant immunity, which further increases plant susceptibility.

To combat foliar fungal infection, the prioritization of carbohydrates synthesized by non-infected of leaves towards production of defense compounds rather than plant growth. As defense compounds biosynthesis imposes a substantial demand for resources, the diversion of plant resources from growth to defense is detrimental to plant growth and reproduction under a fixed total resource budget (Huot et al. 2014; He et al. 2022). This phenomenon is commonly known as "growth-defense tradeoff", which is one of most fundamental principles of "plant economics" (Monson et al. 2022). Consequently, foliar pathogens deter plant health by directly compromising photosynthesis and plant immunity due to the loss of photosynthetic tissue, and indirectly modulating plant resources distribution.

# 7.1.2 Phyllosphere Fungi as Bio-control Agents that against Pathogens and Herbivores

Besides being pathogens, some fungi inhabiting leaves act as bio-control agents that are beneficial to plant health. Foliar fungi, especially fungal endophytes reduce disease by directly killing pathogenic microbes or insects through the production of toxins, or indirectly activating plant immunity (Jia et al. 2020). The extracts of 5 foliar fungal endophytes (Diaporthe terebinthifolii CMRP1430, D. terebinthifolii CMRP1436, D. foliorum CMRP1321 and D. malorum CMRP1438) isolated from Schinus terebinthifolius showed the anti-microbial activity in agar diffusion assays, and three key classes of chemical compounds, including ferric chloride, potassium hydroxide, and vanillin-sulfuric acid, were identified (dos Santos et al. 2021). Other studies have shown that the plants infected by endophytic fungi emit volatile organic compounds (VOCs) to inhibit pathogenic fungal growth. For example, the volatile oil extracted from Epichloë gansuensis-infected drunken horse grass effectively inhibited the growth of 6 fungal pathogens, including Alternaria alternata, Bipolaris sorokiniana, Curvularia lunata, F. avenaceum, F. solani and Trichoderma viride (Zhang et al. 2015). Moreover, some foliar fungi can produce anti-fungal proteins. For example, Epichloë endophytes, can produce an anti-fungal protein, Efe-Afp, which directly impedes the growth of plant pathogen Sclerotinia homoeocarpa (Tian et al. 2017).

There is a bit of literature showed that some phyllosphere fungi can function as entomopathogenic fungi that restrict insect activity by producing toxins. Sumarah et al. screened the toxicity of extracts from 150 foliar fungal endophytes from Picea rubens (red spruce) needles to the forest pest Choristoneura fumiferana (eastern spruce budworm) in dietary bioassays and found that 3 of these strains are toxic to C. fumiferana larvae (Sumarah et al. 2010). LC-MS and spectroscopic analyses showed that the extracts of 3 strains contained 9 major metabolites, all of which showed toxicity to C. fumiferana. When feeding on Epichloë-infected plants, insects begin to metabolize alkaloids into non-toxic compounds. If the energetic cost of such detoxification over other physiological processes, such as growth and reproduction, the fitness of insects can be compromised (Bastias et al. 2017). Moreover, Epichloë endophytes-derived alkaloids are harmful to animal herbivores by influencing their gut metabolome and microbiome. Mote et al. investigated the metabolomic features of plasma and urine from steers grazing Epichloë-infected tall fescue, and provided evidence that *Epichloë* infection perturbs tryptophan and lipid metabolism (Mote et al. 2017).

Some phyllosphere fungi cannot directly inhibit pathogens and insect growth, but their colonization reduces pathogen and pest disease severity, suggesting that plant immunity is activated by foliar fungi under pathogen and pest challenges. For example, foliar application of leaf-colonizing yeast *Pseudozyma churashimaensis* strain RGJ1 confers pepper's resistance to bacterial and virus pathogens through inducing the expression of resistance marker genes Capsium annuum Pathogenesis-Related (CaPR)4 and CaPR5 (Lee et al. 2017). Although more and more foliar fungi and their metabolites with anti-microbial or anti-insect activities have been isolated and identified, their anti-microbial or anti-insect capacities were determined under controlled conditions, which is far away from the natural situation in planta. Future works are required to confirm their pathogens and pest diseases suppression in agricultural and natural ecosystems, which will greatly facilitate their practical use. Moreover, recent studies have reported that dysbiosis in phyllosphere microbiome led to disease symptoms (leaf chlorosis and necrosis) (Chen et al. 2020a), and leaves can recruit beneficial microbes to combat pathogenic diseases (Li et al. 2022b). Although these studies focus on the functions of foliar bacterial community, they promote us to test whether phyllosphere fungi possess the similar functions at community level.

## 7.2 Effects on Plant Growth and Biomass

#### 7.2.1 Phyllosphere Fungi Promote Plant Growth and Biomass through Producing Phytohormones

Phyllosphere fungi promote plant growth and biomass by producing phytohormones, including indole-3-acetic acid (IAA, a major auxin) and cytokinins (Liu et al. 2020). Auxin is one of the main regulators in plant developmental and physiological processes, including embryogenesis, vascular differentiation, organogenesis, top growth, and root and shoot architecture (Quint and Gray 2006; Zhang et al. 2018c). Khan et al. isolated 17 endophytic fungal strains from leaves and stems of Frankincense tree (Boswellia sacra); among them, Aureobasidium sp. BSS6 and *Preussia* sp. BSL10 showed high IAA production capacity (Khan et al. 2016). The root inoculation of *Preussia* sp. BSL10 significantly increased plant growth and biomass of *B. sacra* trees. Hoffman et al. reported that the presence of endohyphal bacteria (Luteibacter sp., Xanthomonadales) enhanced the IAA production of a foliar fungal endophyte (*Pestalotiopsis aff. Neglecta, Xylariales*), suggesting that the production of IAA in foliar fungi can be induced by their interactions with other microorganisms (Hoffman et al. 2013). Recently, several studies have indicated that the microbes-derived auxin plays crucial roles during the infection process by counteracting the plant immunity responses and alleviating ROS toxicity, but the knowledge comes from root-microbe interactions (Tzipilevich et al. 2021). Given that phyllosphere is a nutrient-limited, high ultraviolent radiation and low water availability environment (Vorholt 2012), the auxin production by fungi may contribute to their adaptability in phyllosphere.

#### 7.2.2 Phyllosphere Fungi Promote Plant Growth and Biomass through Increasing Nutrient Uptake

It is well known that root-associated beneficial fungi, such as arbuscular mycorrhizal (AM) fungi, ectomycorrhizal (EcM) fungi, and fungal endophytes promote plant nutrient and water uptake. Emerging evidences show that foliar fungal endophytes can also enhance plant capability to absorb minerals, such as nitrogen (N), phosphorus (P) and potassium (K) (Malinowski et al. 2000). Christian et al. traced the N uptake and distribution of *Theobroma cacao* with or without foliar fungal endophyte (Colletotrichum tropicale) inoculation with <sup>15</sup>N isotope labeling methods (Christian et al. 2019). The results showed that endophyte-inoculated plants exhibited a greater <sup>15</sup>N uptake efficiency than endophyte-free plants. The inoculation of Epichloë endophytes improved the survival and biomass of Lolium perenne in low fertility soils by increasing N, P and Mn content in leaves, as well as K content in leaves and roots (Chen et al. 2020b). Here, we suggest the two mechanisms that explain the roles of foliar fungal colonization in root nutrients uptake. (1) Foliar fungal colonization upregulates the genes that are associated with plant nutrient uptake (Wang et al. 2018). (2) Foliar fungal colonization alters rhizosphere microbiome by modulating the composition of root exudates (Casas et al. 2011). Previously, Novas et al. reported that the root exudates of Bromus setifolius infected by *Neotyphodium* significantly increased AM fungal hyphal branches and length, and thus promote plant nutrient uptake (Novas et al. 2011). However, the internal signals and pathways that mediate the effects of leaf-inhabiting fungi on root exudates or rhizosphere microbiome are largely unknown.

## 7.2.3 Phyllosphere Fungi Increase Plant Tolerance to Environmental Stresses

The colonization of phyllosphere fungi (in particular endophytes) can confer protection for the host plants against various environmental stressors, such as drought, salinity, heavy metals, cold and flood (Lee et al. 2021). Foliar fungal endophytes increase plant tolerance to drought by increasing root biomass, regulating stomatal closure and accumulating solutes for osmotic stress. For example, Xu et al. investigated the effects of E. sinensis endophyte on physiology of Festuca sinensis under different soil water conditions, finding that E. sinensis infection improved the growth of *F. sinensis* under drought conditions by increasing root and shoot growth, improving photosynthetic rate, accumulating K<sup>+</sup> and Ca<sup>2+</sup>, and promoting nutrient absorption (Xu et al. 2021). In particular, the inoculation of *E. sinensis* significantly modulated the content of abscisic acid (ABA). Similarly, fungal endophytes Acremonium strictum conferred drought tolerance to Atractylodes lancea plantlets by increasing the ABA level and root:shoot ratio of host plants (Yang et al. 2014). ABA plays a crucial role in triggering stomatal closure to avoid excessive water loss under drought (Gupta et al. 2020). In addition, foliar fungal infection increased the contents of osmo-protective compounds, such as sugars, proline, glutamic acid and mannitol (Yang et al. 2014). Higher levels of anti-oxidative enzymes, such as superoxide dismutase (SOD), ascorbate peroxidase (APX) and catalase (CAT) are found in foliar fungi-infected plants under drought, which contributes to the migration of damages by scavenging excessive ROS (Zhang and Nan 2007).

Foliar fungal infection also increases plant tolerance to salinity by increasing net photosynthesis, regulating ion transport and improving anti-oxidative system. For example, Neotyphodium colonization reduced Na<sup>+</sup> and Cl<sup>-</sup> contents in tall and meadow fescues, but increased K<sup>+</sup> contents in the shoots under salinity stress (Sabzalian and Mirlohi 2010). Higher levels of K<sup>+</sup> can balance Na<sup>+</sup>, which is crucial for the growth of plants under salinity conditions (Hussain et al. 2021). Pan et al. found that the infection of *E. coenophiala* promoted tall fescue salinity tolerance through lowering Na<sup>2+</sup> accumulation and decreasing lipid peroxidation, and thus maintained higher plant growth and photochemcial efficiency (Pan et al. 2021). Enzymatic and non-enzymatic anti-oxidants are also induced by foliar fungal infection, which contribute to salinity tolerance of plants by counteracting ROS accumulation. For example, E. gansuensis infection increase growth and grass yield of Achnatherum inebrians under salinity by enhancing the activity of glucose-6phosphate dehydrogenase (G6PDH) and plasma membrane (PM) H+-ATPase activity to reduce ROS content (Wang et al. 2021). Interestingly, foliar fungal infection can regulate plant anatomical structures to acquire salinity tolerance. For example, the presence of E. bromicola increased the area of conducting tissues and the thickness of leaf veins, epodermis in stems, cortex and endodermis in roots of wild barley (Hordeum brevisublatum) under salinity stress (Chen et al. 2021b). In that way, foliar fungi help wild barley to reduce water loss and inhibit the decrease of transport capacity, and ultimately enhance the salinity tolerance.

Similar to drought and salinity stresses, foliar fungal infection can relieve the symptoms of plants to heavy metal toxicity by promoting plant growth and inducing anti-oxidant systems. For example, the *E. gansuensis*-infected drunken horse grass (*Achnatherum inebrians*) had more biomass and higher values for plant height and tillers compared to non-infected plants under cadmium stress (Zhang et al. 2010). More plant biomass can dilute heavy metal concentrations, and induced anti-oxidant systems can prevent plants from ROS injury under heavy metal stress (Zhang et al. 2010).

## 7.3 Effects on Plant Population and Community

It has been established that the associations between root and microbial symbionts, such as AM fungi, EcM fungi and rhizobia, largely influence plant population and community (van der Heijden et al. 2016; Keller and Lau 2018; Tedersoo et al. 2020). Mycorrhizal networks connect the conspecific and heterospecific plant individuals belowground, mediating nutrient flow and phytochemcial signals transmission, and ultimately influencing plant population and community (Genre et al. 2020). Given that plant leaves are associated with a large number of fungal species, phyllosphere fungi are also supposed to drive the structure of plant population and community. On one hand, phyllosphere fungi may affect plant population and community by influencing growth and biomass of individual plants. On the other hand, more importantly, phyllosphere fungi drive plant population and community by controlling the degradation rate of leaf litter and the occurrence of plant diseases.

As we described above, foliar fungal infection can influence the health, growth and biomass of individual plant, which depends on the fungal taxa. If the leaves of individual plant infected by pathogens, the health of neighboring plant community will be threatened, as fungal spores can be transported by rainfall, wind and insects (Roper et al. 2010; Kim et al. 2019). In an interesting study, the researchers investigated the dispersal of spores of leaf rust fungus *Puccinia triticina* on the infected wheat plants following a raindrop hits with high-speed photography (Kim et al. 2019). They found the raindrop-induced vortex ring carried the spores beyond the laminar boundary layer of leaves and lead to the long-distance transport of pathogens through the atmosphere. If the phyllosphere fungi are beneficial to health of plants, they will have the positive impacts on plant community establishment and persistence under stressful conditions.

Phyllosphere fungi can indirectly influence plant population and community by acting as pioneer decomposers and regulating the subsequent soil nutrient cycling (Saikkonen et al. 2015; Sun et al. 2020). For example, accumulations of leaf litter create a physical barrier that interferes the arrival of seeds to soil and the emergence of sprouts and seedlings. Vellend et al. reported that the germination of forest sedges (*Carex*, Cyperaceae) is lower for seeds beneath the leaf litter than those on the top of the litter layer (Vellend et al. 2000). In addition, plant litter can

intercept light, shading seeds and seedlings, reduce soil water evaporations, and control soil thermal amplitude. As such, the effects of litter on seedling emergency depend to some extent on the litter amount and quality, which can be adjusted by phyllosphere fungi. Additionally, plant litter is the major organic carbon source in ecosystems, especially in forest and grassland ecosystems. During the early stage of leaf litter decay, *Ascomycota* is dominate phylum that involves the decomposition of easily degradable and nutrient-rich compounds, such as oligosaccharides, organic acid, hemicellulose and cellulose (Ma et al. 2013). With the process of degradation, Ascomycota is gradually replaced by the saprotrophs in Basidiomycota, which can degrade highly recalcitrant compounds, such as lignin and suberin (Voriskova and Baldrian 2013). The cooperation of phyllosphere fungi and later decomposer releases nutrients from leaf litter to soil, which increases soil nutrient availability and promotes root nutrient uptake, and ultimately influences plant community.

In addition, based on the Janzen-Connell hypothesis, the researchers reported that pathogenic fungi significantly increased plant diversity, while insect herbivores changed plant community composition in rainforest (Bagchi et al. 2014). Plant diseases caused by fungal pathogens, from some perspectives, are regarded as the modulator of plant diversity and community structure. The occurrence of plant diseases constrains the population density of dominate species and increases the advantage of rare species, maintaining multiple species co-existence. Usually, we name the pattern as conspecific negative density dependence (CNDD). By a long-term monitoring on seedling demographic data in a subtropical forest, Chen et al. found the tree species with higher pathogenic fungal accumulation more suffered from CNDD, whereas the tree species with higher ectomycorrhizal fungal accumulation less suffered from CNDD (Chen et al. 2019). Nevertheless, how diverse phyllosphere fungi regulate plant communities by affecting CNDD is still unknown. In future, the related studies will definitely expand our knowledge on effects of phyllosphere fungi on plant population and community.

# 8 Interactions of Phyllosphere Mycobiome with Global Change Factors

The Earth and its ecosystems are undergoing radical global changes such as climate change (e.g., global warming, extreme drought and precipitation) and land-use change (e.g., habitat loss, urbanization, and fertilization) (Perreault and Laforest-Lapointe 2022; Zhu et al. 2022). Emerging studies have suggested that these global change factors had an important impact on multiple facets of the phyllosphere mycobiome (Table 3). A better understanding of how the phyllosphere mycobiome and plant-mycobiome interactions response to global change will be a crucial step for harnessing the plant mycobiome to improve plant fitness and productivity.

Plant anapias	Leaf	Factors	Imposto	References
Plant species Quercus robur	Compartment Episphere and endosphere	Warming	Impacts Decrease fungal species richness and evenness; decrease the relative abundance of putative fungal pathogens	Faticov et al. (2021)
Eucalyptus	Episphere and endosphere	Precipitation	Increase fungal species richness and abundance; increase the relative abundance of putative fungal pathogens	Chen et al. (2021a)
Sorghum bicolor	Episphere and endosphere	Drought	Significantly affect fungal community structure	Gao et al. (2020)
Zea mays, Triticum aestivum, and Hordeum vulgare	Endosphere	Fertilization	Chemical N fertilizer increased the relative abundance of potential plant pathogen	Xiong et al. (2021a)
Schefflera octophylla	Episphere	Nitrogen deposition	2-week NH3 exposure increased the relative abundance of <i>Alternaria</i> , <i>Cladosporium</i> , and <i>Sampaiozyma</i> .	Song et al. (2022a)
Betula pendula	Episphere	Urbanization	Decrease fungal diversity, DNA amount, and activity; increase microbial respiration	Ivashchenko et al. (2022)
Populus nigra	Episphere and endosphere	Acid rain	Simulated sulfuric and nitric acid rain significantly decreased fungal biomass in the phyllosphere	Du et al. (2020)

 Table 3
 Recent studies showing the impacts of multiple global change factors on the phyllosphere mycobiome

# 8.1 Warming, Precipitation, and Drought

It is unequivocal that human activities result in rapid changes in global climate, and global mean surface temperature is estimated to be increased by 2–3 °C within the next decades (Zhu et al. 2022; Allen et al. 2014). Global warming caused by the "Greenhouse effect" attracts great attention from the general publics and could strongly affect the assembly and function of microbiome (Zhu et al. 2022; Faticov et al. 2021). A lot of efforts have been made to explore impact of warming on diversity, composition, and function of microbial community but mainly focused on bacteria and soil samples (Yuan et al. 2021; Feng et al. 2019; Tao et al. 2020). In

addition to affecting bacterial community, growing evidence has suggested that warming significantly influences diversity and structure of the fungal community living in the phyllosphere (Faticov et al. 2021; Zhu et al. 2022; Liu et al. 2019). For example, it was recently showed that warming had a significant effect on fungal community composition of the oak phyllosphere and decreased fungal species richness and evenness (Faticov et al. 2021). Moreover, warming decreased the relative abundance of putative fungal pathogens in the early and late growing seasons (Faticov et al. 2021). Using the common-garden experiment, Balint and colleagues also found that warming significantly decreased fungal diversity in the phyllosphere of *Populus balsamifera*; warming changed the phyllosphere fungal community with the increase of plausible pathogens (Balint et al. 2015).

Liu and colleagues investigated effects of global change on foliar fungal diseases using a 6-year factorial experiment in a natural Tibetan alpine meadow ecosystem, and revealed that warming significantly increased fungal diseases for nine plant species and increased pathogen load of entire host communities (Liu et al. 2019). In contrast, altered precipitation had no significant effect on community pathogen load, indicating that warming has a more important role than precipitation in affecting plant health (Liu et al. 2019). Inconsistent with this finding, it was reported that changes in precipitation can largely influence plant pathogens and plant fitness by altering humidity and water availability (Xin et al. 2016; Romero et al. 2022). For instance, humidity and high temperature are identified as key factors invoking actual fungal plant-disease outbreaks, and Puccinia and Fusarium are frequently reported as causative agents of plant disease in phyllosphere (Romero et al. 2022). Similarly, a recent study on the phyllosphere of Eucalyptus in Australia suggested that precipitation was the most important factor predicting fungal taxonomic diversity and abundance (Chen et al. 2021a). Random forest analysis and structural equation models (SEM) further indicated that precipitation was the best predictor for putative fungal pathogens and can increase its abundance in the phyllosphere (Chen et al. 2021a).

In addition to warming and precipitation, there is a global increase in drought frequency and duration, as well as in extreme weather events including flood and drought (Zhu et al. 2022; Sardans et al. 2008). Increasing researches have showed that drought has important impacts on plant production and health by affecting plant-associated microbiomes and plant-microbiome interactions (Zhu et al. 2022; Santos-Medellin et al. 2021; Gao et al. 2020; Xu et al. 2018; de Vries et al. 2020). Gao and colleagues examined fungal communities associated with soil, root, and leaf compartments of the sorghum under drought stress, and demonstrated that stochastic processes (e.g., drift or stochastic dispersal) dominated mycobiome assembly at the early stage of host development (Gao et al. 2020). Although drought treatment had a significant effect on fungal community structure, there was no signal for stochasticity was observed when drought stress was relieved, indicating that host selection rather than drought plays a more important role in shaping fungal assembly (Gao et al. 2020). All these observations highlighted the importance of improving our understanding of how the phyllosphere mycobiome responses to

climate change factors and harnessing the plant mycobiome to improve host fitness under warming, precipitation, and drought stresses.

# 8.2 Fertilization, Nitrogen Deposition, Acid Rain, and Urbanization

Chemical fertilizers like nitrogen, phosphorus, and potassium are essential for plant growth and health and play a vital role in modern agricultural production. The use of chemical fertilizers, especially for nitrogen fertilizer, is likely to increase significantly in future agricultural production to feed the growing human population (Zhu et al. 2022; Singh et al. 2021). It has been well documented that the overuse of the fertilizers can negatively influence ecosystem function and agricultural production by increasing environmental pollution and soil degradation, such as nitrogen deposition, acid rain, and soil acidification (Carrara et al. 2018; Zhu et al. 2022; Raza et al. 2020). Moreover, increasing evidence showed that excessive chemical fertilization will threaten the diversity, composition, and functioning of soil and plant microbiomes (Fan et al. 2019; Xiong et al. 2021a; Sun et al. 2021a). For example, a recent work on the soil-plant continuum of maize, wheat, and barley has suggested that the excessive application of chemical N fertilizer increased the relative abundance of potential fungal pathogen in the leaf endosphere (Xiong et al. 2021a). Sun and colleagues examined fungal communities associated soil and plant compartments of the sorghum and suggested that the mycobiome in phyllosphere was more resistant than those in soils to fertilization treatments including inorganic, organic, and mixed fertilizations (Sun et al. 2021a). Among the treatments, the NPKM fertilization regime (mineral fertilizers NPK plus organic manure) had a positive effect on fungal alpha diversity in phyllosphere (Sun et al. 2021a). In addition to fertilization regime, agronomic managements (e.g., organic and conventional management) were found to significantly affect microbial diversity and function in soil and plant compartments (Karlsson et al. 2017; Wittwer et al. 2021; Chowdhury et al. 2019). Karlsson and colleagues sampled the wheat leaves from 22 organically and conventionally cultivated fields and found that organic farming increased fungal alpha diversity in the wheat phyllosphere, compared with conventional management (Karlsson et al. 2017).

It has been well documented that nitrogen deposition and acid rain caused by agricultural intensification, industrial pollution, and rapid urbanization are major environmental problems that adversely influence food production, environmental quality, and biogeochemical cycling (Yu et al. 2019; Guo et al. 2010; Zhang et al. 2018b). Previously, numerous studies have explored the impact of nitrogen deposition and acid rain on microbial diversity and composition but still focused on soil and rhizosphere samples (Li et al. 2019; Moore et al. 2021; Zhao et al. 2020). A few recent studies reported that microbial communities living in leaves can take up pollutant nitrogen including wet, dry and gaseous N, and nitrogen deposition and acid

rain could drive the assembly changes of the phyllosphere mycobiome (Zhu et al. 2022; Song et al. 2022a, b; Vacher et al. 2016). For example, 2-week NH<sub>3</sub> exposure increased the relative abundance of most fungal genera in phyllosphere, including *Alternaria, Cladosporium, Sampaiozyma, Cystobasidium, Gibellulopsis*, and *Cercospora* (Song et al. 2022a). Song and colleagues explored the impact of continuous NO<sub>x</sub> exposure on the phyllosphere microbiome and found that NO<sub>x</sub> exposure intensify the phyllosphere fungal interactions in co-occurrence networks (Song et al. 2022b). Helander and colleagues tested the influence of simulated acid rain on the occurrence of endophytes and found that the acid rain treatment (pH = 3) decreased approximately 25% of the number of isolated endophytes in the birch phyllosphere (Helander et al. 1993).

In addition, growing evidence has demonstrated a role of rapid urbanization in structuring plant-associated microbial communities (Jumpponen and Jones 2010; Berg and Cernava 2022; Perreault and Laforest-Lapointe 2022). For instance, it was reported that seasonal dynamics of the fungal communities in the *Quercus macrocarpa* phyllosphere differed between urban and nonurban environments, indicating the role of urbanization in the assembly of the phyllosphere mycobiome (Jumpponen and Jones 2010). Imperato and colleagues observed the higher fungal diversity and richness in the phyllosphere of urban environment (Imperato et al. 2019), while Jumpponen et al. found the lower diversity values of that within cities (Jumpponen and Jones 2010). Recently, the researchers investigated the leaves of Betula pendula in Moscow at increasing distances from the road; they found that microbial diversity and activity significantly declined with road vicinity, indicating the negative impact of urbanization on phyllosphere fungal diversity and function (Ivashchenko et al. 2022). Taken together, the results demonstrate that multiple global change factors exert the strong effects on many traits of the phyllosphere mycobiome, including fungal diversity, community composition, assembly processes, and biotic interactions. A systematic understanding of ecological and biological mechanisms that govern mycobiome assembly and phyllosphere-mycobiome interactions in the context of global change will provide the pivotal basis for the future plant mycobiome engineering.

# 9 Future Prospects

Phyllosphere mycobiome owns the extremely high species diversity, and thus should be protected as a hotspot in the global diversity conservation boom. In particular, considering the pressures from deforestation, grassland degradation, irrational use of agricultural fertilizers and pesticides, and urban pollution, it is timely to draw the monitoring of phyllosphere mycobiome into the global biodiversity monitoring network (Guerra et al. 2021). Compared with the phyllosphere fungal studies in natural ecosystems, greater research efforts are needed in agricultural and urban ecosystems. It is because they are more closely correlated with our lives and benefits. Rhizosphere microbiome optimization and synthetic colony techniques has been considered as an important way to solve the

future food crisis (Zhang et al. 2017). Obviously, a better understanding of the mechanism of community assembly of phyllosphere fungi will further help us to develop climate-smart agriculture and maintain the crop health (Trivedi et al. 2020). In addition, monitoring the phyllosphere fungal community dynamics in urban greenery will provide new ideas and approaches for monitoring and altering urban environmental pollution.

As phyllosphere fungi are accessible, replicated and easily manipulated, they are well suited for theoretical studies in ecology and biogeography (Andrews and Harris 2000). Several factors that drive the variation in phyllosphere fungal communities have been identified, however, it is more difficult for us to generalize about their distribution patterns and succession dynamics. Currently, we still lack the global-scale and standardized research paradigm for biogeography of phyllosphere fungi. Fungal taxonomic diversity may not be always correlated with their functional diversity. How much is the functional redundancy of phyllosphere mycobiome at the global scale? Trait-based approaches, as an important direction for the phyllosphere mycobiome studies, will hopefully give us some clues (Zanne et al. 2020).

In terms of technology, drone mounted robotic arms will boost the sampling work for phyllosphere fungal researches. Compared with the traditional sampling methods, such as using pruning shears, professional tree climbers and shotgun collection, drone sampling will help us acquire the leaf samples at the high-height canopy more effectively and safely. For example, we may survey the phyllosphere mycobiome of *Sequoia sempervirens* along its whole trunk, which is one of the tallest trees in the world. In addition, the in-depth integration of remote sensing and omics will correlate the fungal taxonomic and functional diversity to the entire ecosystem functioning (e.g., Liu et al. 2022). As Albert Einstein said, the more we see, the less we know. For phyllosphere mycobiome, we only begin to scratch the surface of such important microorganisms. More and more researches and reviews in these areas will be helpful in evaluating and predicting the variation in diversity and function of phyllosphere mycobiome, and providing the new theoretical guide for agricultural application and ecological protection in the context of global change.

Acknowledgements This work was supported by the Youth Innovation Promotion Association of the Chinese Academy of Sciences (2022315) and National Natural Science Foundation of China (42277308, 32071531, 32101277, 32001128).

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# Plant Mycobiome in Sustainable Agriculture



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# 1 Introduction

Interactions between plants and their inhabiting microbiota play a central role in maintaining biodiversity, community stability, and ecosystem functioning (Sanchez-Cañizares et al. 2017; Xiong et al. 2021). The plant microbiota comprises highly diverse species communities that can be transmitted horizontally through the environment or vertically through seeds (Trivedi et al. 2020).

Fungi are one of the most important components of the plant microbiota and they mediate vital ecological functions such as soil carbon cycling (Yang et al. 2022). Indeed, plants have been shown to be reservoirs of fungal diversity (Unterscher 2011). The plant mycobiota represents the plant-associated fungal community that has multiple functional roles in response to plant type and environmental indications (Pagano et al. 2017). However, the importance of plant mycobiota has often been neglected in microbiome research. Plants and associated fungi are often described as co-evolving entities based on plant-fungal relationships, where microbial diversity and interaction are fundamental to keeping host plants healthy and productive (Vandenkoornhuyse et al. 2015; Schiro et al. 2019). Several factors such as plant host and density, environmental conditions, nutrient availability, and interactions with other external microbiota contribute to maintaining the composition of the plant mycobiome (Bahram et al. 2015; Nilsson et al. 2018). For many technical reasons, such as the complexity of life cycles, the presence of different somatic structures such as spores and hyphae, genome size, lack of reference genomes, and limited capacity for genetic transformation, the mycobiota is less well studied than

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the bacteriota. Evidence suggests that different plant compartments provide specifically different ecological niches inhabited by various microbial communities (Wei et al. 2021). However, microbial diversity, community composition, and assemblages in different plant compartment niches are even less documented. In particular, above- and belowground plant compartments are widely recognized as important determinants of fungal communities in agricultural ecosystems.

Mycobiota are known to play a key role in plant fitness and functioning through complex life strategies (Baldrian 2016) that include a continuum of mutualism, commensalism, and parasitism that can change over the life of the same fungal organism (Robinson et al. 2004). There are cases where commensal or mutualistic fungal endophytes become pathogenic under certain conditions, such as changes in nutrient availability and other abiotic stresses (Schulz and Boyle 2005; Rai and Agarkar 2016). On the other hand, interactions among different fungal guilds, i.e., pathogens, saprotrophs, and symbiotrophs, alter soil carbon dynamics and nutrient availability through both priming and Gadgil effects (Yin et al. 2014; Gadgil and Gadgil 1971). Moreover, fungi harbour their own microbiota that may adhere to the hyphal surface, develop in the pseudo-tissues formed by hyphal aggregation, or colonize the fungal cytoplasm (Bonfante et al. 2019). Therefore, deciphering the maintenance of fungal biodiversity, community formation, and interactions with other microbiota is critical for a better mechanistic understanding of fungal ecological functioning (Fernandez and Kennedy 2016; Zhao et al. 2018).

Most of our knowledge of plant mycobiota comes from molecular analyses using the internal transcribed spacer (ITS) of the nuclear rRNA operon as the official taxonomic barcode for fungi (Schoch et al. 2012), which allows taxonomic delineation of most groups at a low taxonomic level, i.e., species level. Advances in such sequencing technologies and bioinformatics tools have helped to better explore the diversity of fungi, their functionality, and their complex interactions within the plant microbiota (Bharti and Grimm 2019; Wagg et al. 2019). Here, we discussed the extent to which different plant compartments, i.e., rhizosphere, phyllosphere, and endosphere, are diverse in their mycobiota and how such fungal communities can influence both crop production and plant diseases to enable more sustainable agriculture. Most importantly, we discuss how plant mycobiota interact with other microbiota such as soil bacterial and fungal communities for plant health and production.

# 2 Mycobiota Diversity and Composition Differ Among Plant Compartments

The main plant compartments that harbour mycobiota are the rhizosphere, phyllosphere, and endosphere (Fig. 1, Trivedi et al. 2020). Mycobiota are not randomly assembled, but their diversity and composition are influenced by various biotic and abiotic factors such as plant species, phylogeny, and functional traits (Burns et al.

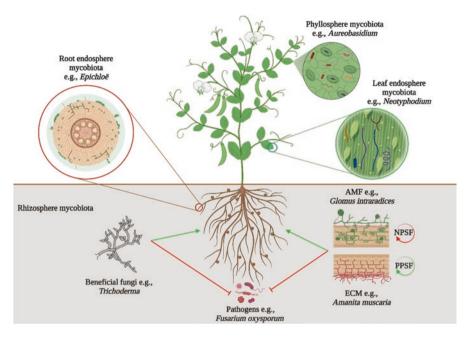


Fig. 1 Mycobiota associated with different plant compartments play an indispensable role in plant growth, health, tolerance to abiotic stress and resistance against pathogens and pests

2015; Barberán et al. 2015; Leff et al. 2018). Indeed, host plant specificity of fungi is well documented in the literature (Zhou and Hyde 2001; Dickie 2007; Prober et al. 2015; Leff et al. 2018), suggesting that variation in fungal community composition could be explained by the pattern of host plant preferences. However, the importance of host specificity on mycobiota composition continues to be debated. For example, Tedersoo et al. (2016) showed that the effects of plants on fungi are context-dependent and depend on environmental or edaphic conditions. On the other hand, the researchers concluded that the phylogenetic relatedness of plants could have an impact on the composition of mycobiota. Basically, this was an interesting area of research that began with the question of the extent to which host specificity, and thus fungal preferences, might be maintained between phylogenetically related plant species. This could be because phylogenetically related species emit phylogenetically similar signals and thus can recruit the same fungal species (Flores et al. 2014; Valverde-Barrantes et al. 2015). However, many studies have also noted the opposite trend regarding the importance of plant phylogeny in explaining fungal community composition (Leff et al. 2018). Finally, plant functional traits are also likely to mediate plant-fungal interactions. Many studies have shown that the abundance of different fungal groups, such as saprotrophic, pathotrophic, and symbiotic fungi, are related to plant traits (Eissenstat et al. 2015; Semchenko et al. 2018; Francioli et al. 2020). For example, a recent study by Sweeney et al. (2020) showed that root traits are strong determinants of fungal community composition in the rhizosphere. Specifically, the authors showed that root diameter, root nitrogen content, specific root length, and specific root area are the most important determinants of the relative abundance or richness of trophic fungal guilds. Despite numerous plant-fungal interactions known in the literature, such as the "collaboration gradient" (Bergmann et al. 2020), which defines how plant tissue assembly strategies influence nutrient foraging via associations with fungal symbionts, and the increasing understanding of the importance of root exudates in shaping fungal communities (Hu et al. 2018), many studies have not found a significant link between plant traits and fungal community composition (Barberán et al. 2015; Leff et al. 2018).

#### 2.1 Rhizosphere Mycobiota

The rhizosphere is defined as the volume of soil around living roots that is influenced by their activity (Hartmann et al. 2008). The rhizosphere is a hot spot for numerous organisms and is considered one of the most complex ecosystems on Earth (Raaijmakers et al. 2009). The rhizosphere harbours a rich diversity of microorganisms, many of which benefit plants by suppressing pathogenic invasions and helping to extract nutrients from the soil (Bulgarelli et al. 2013). Plant roots have co-evolved with soil as they play an important role in soil formation through a series of physical, chemical, and biological processes (Lambers et al. 2009). Through their interactions with plant roots, fungi support nutrient acquisition (Averill et al. 2019), resistance to pathogens (Marx 1972) and drought (Jayne and Quigley 2014), and play a key role in shaping plant productivity and community dynamics (Mommer et al. 2018; Liang et al. 2020). Therefore, understanding the taxonomic and functional components of the rhizosphere mycobiome is critical to manipulating them for sustainable ecosystem functioning.

Beneficial mycobiota inhabiting the rhizosphere play an important role in plant growth and fitness by providing nutrients to plants through decomposition of plant debris and mineral cycling in the soil (Ehrmann and Ritz 2014). In addition, rhizospheric mycobiota are important for plant health as they have been shown to play an effective role in controlling pathogens. Nevertheless, plants control rhizospheric fungi mainly through the production of carbon and its derivatives and bioactive metabolites (Ellouze et al. 2014). Mycobiota in the rhizosphere include both non-symbiotic and symbiotic beneficial fungi. Some examples of non-symbiotic beneficial fungi in the rhizosphere include *Penicillium* sp. (Babu et al. 2015), some endophytic fungi such as *Fusarium* spp., *Colletotrichum* spp., and *Cladosporium* spp. (Chadha et al. 2015; Shah et al. 2019), and *Trichoderma* strains (Kotasthane et al. 2015; Li et al. 2015). *Trichoderma*, an indispensable component of agroecosystems, is a genus of filamentous fungi that can feed on other fungi (mycotrophy) and is ubiquitous in almost all environments (Woo et al. 2022). *Trichoderma* controls fungal pathogens by acting as an antagonist based on competition, antibiosis,

and mycoparasitism, as well as by triggering local and systemic defence responses in the plant (Shoresh et al. 2010; Druzhinin et al. 2011). In contrast, symbiotic beneficial fungi in the rhizosphere include arbuscular mycorrhizal fungi (AMF), which form mutualistic associations with most vascular plants in which both partners exchange nutrients and energy (Smith and Read 2008). Mycorrhizal symbioses are found in almost all ecosystems worldwide and improve plant fitness and soil quality through important ecological processes. On the other hand, many mycobiota in the rhizosphere can negatively affect plant productivity by causing diseases, e.g., *Fusarium* species, *Verticillium* spp., *Pythium* spp., *Sclerotium* spp., *Botrytis cinerea*, and *Macrophomina* spp. (Tetali et al. 2015).

#### 2.2 Phyllosphere Mycobiota

The phyllosphere is defined as the surface of aboveground plant organs and represents the largest microbial habitat on Earth (Dong et al. 2019). In this plant compartment, bacteria are the most abundant colonizing microbes, while fungi are comparatively less abundant. The leaf surface is highly heterogeneous in terms of nutrient concentrations (Mercier and Lindow 2000) and likely provides numerous potential niches for fungal colonization. Several studies of leaf-associated fungi have focused primarily on endophytic fungi that colonize the internal structures of plants (Arnold et al. 2003). In addition, studies have also documented the wide diversity of epiphytes, defined as fungi that colonize the surface of leaves (Lindow and Brandl 2003). Unlike the mycobiome of the rhizosphere, which is surrounded by a buffering soil that provides a relatively stable environment, the fungi of the phyllosphere are exposed to ephemeral and stressful environmental conditions, such as climate, low water and nutrient availability, high UV radiation, heat and cold stress including frost, osmotic stress, plant defence activities, and anthropogenic factors such as pesticide use (Karlsson et al. 2014; Glenn et al. 2015; Sousa et al. 2018).

Most fungi observed in the phyllosphere have been assigned to taxonomic groups known to be saprotrophic, pathogenic, and lichenogenic (Jumpponen and Jones 2009). In general, the interaction of phyllosphere mycobiota with the host plant is often beneficial. While leaf-inhabiting fungi obtain protection, habitat, and nutrient sources from the host plant, members of the phyllosphere help increase plant productivity and/or improve overall protective capacity against plant pathogens (Finkel et al. 2017). Several reports showed that phyllosphere fungi can stimulate plant growth and protect against pathogens. For example, Epichloë species, the most studied aboveground endophytes, produce various types of alkaloids that protect the host from vertebrates and invertebrate herbivores (Schardl et al. 2013), and they can also improve plant performance in the face of disease or abiotic stress (Bastias et al. 2017; Fuchs and Krauss 2019; Pérez et al. 2020). Like fungal endophytes, fungal epiphytes can also protect host plants from disease (Saikkonen

2007). Epiphytic fungi can also act as decomposers of plant exudates on living leaves (Jumpponen and Jones 2009), and as initial colonizers, they likely play an important role in the initial decomposition of leaves after senescence (Osono et al. 2004; Voříšková and Baldrian 2013). Although the phyllosphere is a heterogeneous habitat for fungi, the drivers of fungal associations with different plant hosts are still poorly understood.

## 2.3 Endosphere Mycobiota

Fungal endophytes are defined as fungi that periodically or continuously colonise the internal parts of plant tissues without manifesting disease in their host (Hyde and Soytong 2008). Several studies have distinguished two types of endophytes, obligate and opportunistic. Obligate endophytes require plant tissue to complete their life cycle; some examples can be found in mycorrhizal fungi and members of the fungal genera Balansia, Epichloë, and Neotyphodium (Schardl et al. 2004; Parniske 2008). Opportunistic endophytes, on the other hand, are endophytes that thrive primarily outside plant tissue and sporadically invade the plant endosphere (Hardoim et al. 2015). However, there is evidence for another intermediate group of endophytes, termed facultative, which includes most endophytic fungi (Saikkonen et al. 2010). In principle, all types of plants harbour endophytes, and all microbes have adopted an endophytic lifestyle and can have a positive impact on plant growth and enhance the ability of plants to adapt to biotic and abiotic stresses (Hardoim et al. 2015; Grabka et al. 2022). However, the major dilemma is that a given microorganism can be pathogenic under certain conditions and commensal or perhaps even mutualistic under other conditions (Freeman and Rodriguez 1993). For example, Fusarium graminearum has been shown to cause head blight disease in many cereals (Hao et al. 2020) but is harmless in carrots (Louarn et al. 2013). Indeed, advances in next-generation sequencing have contributed to the understanding of endophytic lifestyle, as several studies identifying fungal endophyte communities by amplicon sequencing have found potentially known pathogens without their sequelae on the host plant, suggesting that some pathogens may be silenced in their endophytic lifestyle by the other microorganisms present. This suggests that a balanced microbiome is key to a healthy plant.

# 3 Management of Native Mycobiota for Sustainable Agriculture

Plant-inhabiting fungal communities can be directed by various means in a specific direction where they exert their maximum ability to positively influence plant growth and health by promoting/increasing the abundance of some selected

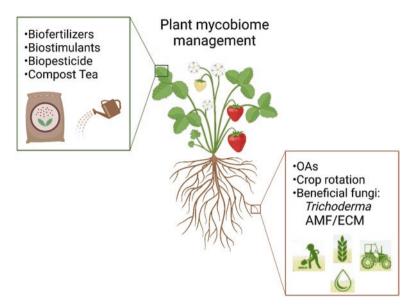


Fig. 2 Management of plant mycobiota differ among compartments and imply different strategies for a sustainable agriculture

mycobiota. In fact, many strategies have been proposed for good management of plant native mycobiota (Fig. 2).

# 3.1 Crop Rotation

Crop rotation is an ancient agricultural management tool (Howard 1996) based on growing different crops in succession on a given area to effectively maintain soil microbial diversity and protective capacity against disease (Fiers et al. 2012). Crop rotation promotes soil structure and organic matter conservation and reduces soil erosion that often accompanies continuous row crop production (Peters et al. 2003). However, one of the most important benefits of crop rotation is the reduction of plant diseases caused by soilborne pathogens, especially fungi (Pedersen and Hughes 1992). The effects of crop rotation on mycobiota vary and depend on many factors, such as crop genotypes (Garbeva et al. 2004), cropping sequence (Gan et al. 2003), length of rotation (Bennett et al. 2012), and soil properties (Bernard et al. 2012). Diversification of crops used in rotation provides various organic residues, both from litter decomposition and root exudates, which can result in a diverse food base that promotes fungal diversity, activity, and biomass (Swer et al. 2011). In addition, crop rotation also reduces disease pressure in agroecosystems by disrupting the life cycle of pathogens associated with a particular crop (Ellouze et al. 2014). Therefore, it is important to select crops in rotation that are not alternative hosts for pathogenic fungi to reduce disease-related yield losses.

Indeed, crop rotation is evident in the concept of plant-soil feedback (PSF). When growing in soil, plants may change its microbiome depending on their genotype, their functional traits and environment; these changes affect the performance of plants grown successively in that soil (Van der Putten et al. 2013). Negative PSF occurs when a plant grows better in a soil that was previously inhibited by the same plant species, while positive PSF is when a plant grows better in a soil that was previously conditioned by the same plant species. Accumulation of host-specific pathogenic fungi in plant rhizospheres has been identified as an important driver of negative PSF (Semchenko et al. 2018). Evidence suggests that positive PSF is the result of improved plant growth and resistance to pathogens (Pineda et al. 2020). The direction of PSF and thus the net outcome for plant growth depends on antagonistic and synergistic interactions within the soil microbiome (Bever et al. 2012). Therefore, targeting positive PSF would open new opportunities for crop management.

## 3.2 Organic Amendments Application

Organic amendments (OAs) such as compost, biochar, green manure, and animal manure have been shown to improve soil fertility, enhance soil structure, increase soil organic matter, increase microbial activity and biomass, and control soilborne pathogens (Ros et al. 2006; Janvier et al. 2007; Li et al. 2012; Iacomino et al. 2022). Previous studies have also reported the effects of OAs application on the development of rhizosphere microbial community richness and diversity in the long term (Hiddink et al. 2005; Hartmann et al. 2015). However, mediumor long-term responses to OAs remain controversial, as previous work has reported both limited and no effects on microbial diversity (Renella et al. 2008; Bastida et al. 2013). There is evidence that OAs can increase soil suppressiveness to numerous fungal plant pathogens such as Botrytis cinerea, Fusarium oxysporum, Verticillium dahliae, Sclerotinia minor, and Rhizoctonia solani by altering the soil microbiota (Jaiswal et al. 2017; Bonanomi et al. 2020, 2022). Application of OAs can control fungal pathogens directly by releasing fungitoxic compounds (Blok et al. 2000) or indirectly by promoting the development of a suppressive mycobiota such as plant growth promoting fungi (PGPF) like arbuscular mycorrhizal fungi (Bonanomi et al. 2018). Previous studies have shown that PGPF not only promote plant growth, but also play a critical role in protecting against pathogens (Artursson et al. 2006; Mendes et al. 2013). Competition for nutrients and space (Hoitink and Boehm 1999), direct parasitism (Bellini et al. 2023), and antagonism through the production of secondary metabolites are the main mechanisms that beneficial fungi use to combat pathogens. However, they can also act indirectly by inducing a systemic resistance response in host plants (Vallad et al. 2003).

## 3.3 Arbuscular Mycorrhizal Fungi (AMF)

AMF penetrate the plant's root tissue and form mycorrhizae, a secure relationship in which they extract carbon from plant roots and in return provide important nutrients that are useful to the plant. Mycorrhizal fungi form symbiotic relationships with 70–90% of terrestrial plant roots (Parniske 2008), and their global presence in forest and agroecosystems accounts for 50% of microbial biomass (Olsson et al. 1999). While AMF are dependent on their host plant for carbon nutrition, they provide a greater surface area for the acquisition of nutrients, particularly phosphorus, via their external mycelium that spreads in the soil beyond the rhizosphere (Roth and Paszkowski 2017). The effects of AM fungi on pathogens are most likely indirect, resulting from improved host tolerance by enhancing root growth and function or improved host resistance by stimulating a defence response or altering root exudations used by pathogens (Graham and Menge 1982; Smith 1988; Morandi 1996). However, AMF are also thought to suppress pathogen growth by competing with pathogens for space and resources (Smith 1988; Traquair 1995) or by promoting other soil microbiota antagonistic to pathogens (Thomas et al. 1994). On the other hand, the large surface area of the extra-radical mycelium of AMF provides nutrientrich niches for colonisation and growth of other soil microbiota, especially bacteria (Larsen et al. 2009). Such associated bacteria with suppressive effects have also been identified. Nevertheless, scattered evidence suggests that some soils either suppress or favour external mycelium activity since they can suppress AMF colonisation and plant growth response (Wilson et al. 1988). For example, Leigh et al. (2011) showed that the addition of a bacterial soil filtrate reduced the length of extra-radical mycelium of AMF. However, soil-induced suppression of AMF is still uncommon, and the mechanisms are not yet known.

AMF and fungal endophytes are considered as the common root symbionts and perform vital functions in the host microbiome (Zhong et al. 2018). However, we know little about whether the net effects are more beneficial or detrimental to the plant when these two mutualists co-occur. Previous studies have shown that co-colonization of fungal endophytes and AMF leads to competitive or synergistic interactions (Liu et al. 2020; Idbella et al. 2021). Apart from competition for resources offered by the host, the association of endophytic fungi and AMF may also be influenced by the exudates of the endophytic fungi and the root of the host (Alzarhani et al. 2019). In fact, there is evidence that the same endophytic fungus can have opposite effects on colonization of different AMFs (Liu et al. 2020). However, little is known about the interaction between endophytic fungi and AMF on host resistance and pathogen defence.

It has been repeatedly demonstrated that the direction and strength of PSF is strongly related to host plant mycorrhizal fungi type/guild (Dickie et al. 2014; Bennett et al. 2017). In general, negative PSFs are restricted to AMF-associated plant species, and positive PSFs are typically observed in ectomycorrhizal (EMF) plant species (Bennett et al. 2017). This effect may be due to the greater access and

transfer of nitrogen through EMF to their hosts, making them more useful than AMFs in nitrogen-limited systems (Corrales et al. 2016). Moreover, AMF have been shown to increase the abundance of soil biota that make the soil less suitable for conspecific seedlings compared to heterospecifics, thus promoting the coexistence of different AMF-associated plant species at the community level (Bever 2002; Kytoviita et al. 2003; Mangan et al. 2010). EMF, instead, increase the abundance of soil biota favouring conspecific seedlings over heterospecific ones, thus promoting the dominance of EMF associated plant species at the community level (Booth 2004; McGuire 2007). In addition, EMF form a physical sheath around young feeder roots that can prevent pathogen infection and therefore develop less negative PSFs (Marx 1972). However, we still do not know exactly how the different AMF species associated with different crop varieties determine the direction and magnitude of PSF.

## **4** Conclusions & Perspectives

Contrary to previous assumptions, recent advances in molecular technologies, highthroughput omics, functional genomics, and computational tools have revealed the greater diversity and complexity of the plant mycobiome. Although we are aware of the importance of the plant mycobiome, most of the studies conducted still focus on the bacterial communities rather than the entire microbiota, leaving us far from fathoming the complexity of the interplay between plants and the mycobiome. Indeed, more functional and long-term experimental field studies are needed to manipulate the plant microbiota, and especially experiments that consider the mycobiota in the context of the entire microbiota to unlock the full potential of the mycobiome. In addition, deciphering the driving forces that modulate the composition and functioning of the mycobiota is critical to maximizing the benefits of the mycobiome for sustainable agriculture and understanding plant-fungal interactions. Such research advances would provide more opportunities for optimizing mycobiome applications in crop production.

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# **Plant-Fungus Interactions in Rust Diseases**



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#### **1** Introduction

The region of host cell plasmalemma, which becomes invaginated and encloses a haustorium as it develops, has been the subject of much investigation as a major site of interactions between biotrophic fungal pathogens and host plant cells. Gay and Woods (1987) have discussed the nature and functioning of such interfaces comprehensively. In the wider context of biotrophic symbioses, including specialized pathogens, Smith and Smith (1990) have reviewed mechanisms of nutrient transfer, the role of ATPases, and discrepancies between different reports of enzymic activity on extrahaustorial (host) membranes and haustorial (fungal) membranes. Although the distribution of ATPase activity has been examined in several different types of biotrophic systems, mutualistic and parasitic, with varying durations of association of heterotroph and autotroph, the generalizations arrived at frequently rest on single examples of each group. Gay and co-workers have demonstrated cytochemically the distribution of ATPase in fungal infections involving different groups of fungi and different higher plants (Gay 1984; Gay and Woods 1987; Spencer-Phillips and Gay 1981; Woods and Gay 1983, 1987; Woods et al. 1988). The detection system (Bentwood and Cronshaw 1978, Woods and Gay 1987) corresponded to the specific

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features of plasmalemmal ATPases (Bowman and Bowman 1986), including  $Mg^{2+}$  requirement, insensitivity to  $NO_3^+$  and inhibition by vanadate.

An ATPase domain hypothesis has been proposed (Gay and Woods 1987; Spencer-Phillips and Gay 1981) to account for the polarized transport of nutrients across the interface between the host cell and haustorium and has been supported by dynamic evidence from experiments by Gay et al. (1987) with powdery mildew infections of barley. Manipulation of leaf or coleoptile epidermal strips allowed the exposure of living haustoria to specific inhibitors and promoters of ATPase activity. Observations of fluorescence of fluorescein within haustoria and host cytoplasm under these treatments confirmed the previously postulated tight coupling of the host cell and haustorium and demonstrated that proton extrusion via the wall-lining plasmalemma of infected cells is accompanied by a compensating flow of protons through the extrahaustorial region and the haustorial plasma membrane.

In the case of rust infections, investigations of ATPase activity have so far been limited to the D-haustoria of dikaryotic infections of *Uromyces appendiculatus* (Spencer-Phillips and Gay 1981) and filamentous haustoria of monokaryotic stages of *Puccinia poarum* (Woods and Gay 1987) and *P. lagenophorae* (Baka 1989). In these, the host plasmalemma lining the cell wall showed a high level of ATPase activity but haustoria of monokaryotic and dikaryotic infections differed strikingly in the cytochemically detected distribution of the enzyme: ATPase activity was absent from the extrahaustorial membrane EHM of the D-haustorium but present on the equivalent membrane enclosing the M-haustoria. In *P. poarum*, however, it was of interest that ATPase activity and the deposition of wall material, staining specifically as polysaccharide, were lower in younger than older regions and were absent from the tip of the filamentous haustorium (Woods and Gay 1987).

The consistent differences between monokaryotic and dikaryotic phases of rust infections in the morphological specialization of haustoria and their distribution within host tissues (Al-Khesraji and Losel 1980, 1981; Al-Khesraji et al. 1980; Baka 1989; Baka and Losel 1992a, b; Harder 1978; Larous 1990; Larous and Losel 1993a; Bushnell 1972; Littlefield and Heath 1979), are likely to be associated with important physiological differences. The following study therefore examines the plasmalemmal ATPase activity of host and fungal cells in spermogonial-aecial and uredinial-tetial phases of further species of rust fungi. Autoecious rusts were included in order to allow comparisons between monokaryon and dikaryon in tissues of the same host species rather than those growing in alternate hosts. To check the comparability of our application of the ATPase detection technique with that of Woods and Gay (1987), a short investigation of the monokaryotic haustoria of *P. poarum* was also made.

The invasion of host vascular tissue by rust fungi has been relatively infrequently recorded (Al-Khesraj and Losel 1980; Andreev et al. 1982; Colley 1918; Harder 1978; Jakson and Parker 1958; Krebill 1968; Larous 1990; Pady 1935; Van der Kamp 1969, 1970; Woo and Martin 1988; Zimmer 1965).

During the spermogonial-aecial stages of the life cycle of *Puccinia poarum*, monokaryotic intercellular and intracellular hyphae can be readily observed in the vascular tissue of *Tussilago farfara*, in the phloem region, xylem parenchyma and

bundle sheath. However, in the dikaryotic, uredinial-telial stages of the same pathogen on the alternate host *Poa pratensis*, only the mesophyll and bundle sheath cells contain haustoria. The question of whether similar differences occur also in autoecious rusts, infecting only a single host species, was investigated in the following study.

Melampsora euphorbiae (C. Schub.) Castagne is an autoecious macrocyclic rust pathogenic on various Euphorbia species and shows particular virulence against *E. helioscopia* and *E. peplus* (Wilson and Henderson 1966; Baka and Gjærum 1996), which are among the most serious agricultural weeds. Because of the severity of its effects on these two species, M. euphorbiae may be considered a potential biological agent for their control. Other Melampsora species, e.g. M. lini (Coffey et al. 1972), M. laricipopulina (Siwecki 1990), M. medusae, M. coleosporoides and *M. epitea* (Spiers and Hopcroft 1985) have been studied at EM level but no ultrastructural or cytochemical investigation of M. euphorbiae appears to have been reported. From fine structural studies, a general picture has emerged of the close biotrophic relationship of rust fungi with their host plants (Littlefield and Heath 1979; Harder and Chong 1984; Baka 1992; Baka and Losel 1992a; Larous and Losel 1993a, b). Monokaryotic and dikaryotic phases of rust infections commonly develop on different hosts, producing up to five types of spore within the life cycle, and differ in haustorium morphology as well as physiologically (Littlefield and Heath 1979; Baka et al. 1995). Early evidence of differences in wall composition in a single phase of a rust life cycle was provided by the light microscope study of Kaminskyj and Heath (1983) with differential staining of Uromyces phaseoli urediniospore germlings, during the development of germ-tubes, infection structures and intercellular hyphae. The importance of understanding the organization and composition of interfaces between such specialized pathogens and their host plants has often been emphasized (Littlefield and Heath 1979; Harder et al. 1986). Investigations of fungal cell wall chemistry, often in relation to taxonomy, (Bartnicki-Garcia 1968; Gooday 1977; Wessels and Sietsma 1979; Farkas 1979), indicate that carbohydrates usually constitute 80-90% of wall dry weight. The distribution of different polysaccharides within and on the surface of fungal walls and their significance in recognition reactions, host penetration, pathogen development and morphology have been discussed (Farkas 1979; Wessels and Sietsma 1979; Freytag and Mendgen 1991a, b). In this connection, Mendgen et al. (1985), and Mendgen and Deising (1993) have drawn attention to the special interest in the different types of infection structures formed by rust fungi during their life cycle. The use of lectins, labelled with colloidal gold (Benhamou 1989) or fluorochromes (Mendgen et al. 1985; O'Connell 1991), for identifying and quantifying specific compounds at the ultrastructural level, has permitted the localization of sugar residues and carbohydrate fractions in various pathogens and host tissues (Benhamou and Ouellette 1986a; Benhamou 1988; Mendgen and Deising 1993; Bourett et al. 1993). The Thiery silver proteinate method (Thiery 1967) is more limited since it reacts positively with a wide range of carbohydrates (Joseleau and Ruel 1985).

This study reports the first ultrastructural investigation of the cytochemical localization of different sugar residues within fungal structures and host tissues, using the lectin-gold complex method. The present observations may contribute to a better understanding of the structural and physiological interactions of rust fungi with their host plants.

### 2 ATPase Activity at the Host-Pathogen Interfaces of Rust Infection

### 2.1 Spermognial-Aecial Stages

In leaf tissue of *Cirsium arvense* infected by *Puccinia punctiformis* and of *Mentha piperata* infected by *P. menthae* a dense precipitate of lead phosphate, indicating the location of ATPase activity, was visible on the host plasmalemma, both lining the host cell wall and enclosing each filamentous haustorium (Figs. 1, 2 and 3). The intensity of the reaction varied in different regions of blocks, according to the degree of penetration of reagents into tissue. However, in some sections of the filamentous haustorium of *P. menthae*, as shown in Fig. 3, lead precipitation varied within one extrahaustorial membrane, decreasing towards the distal region. The distal region

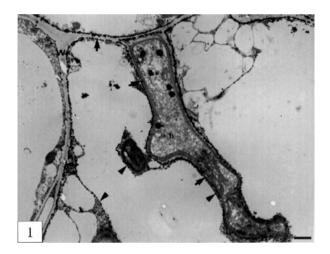


Fig. 1 Section of infected leaf tissue of *Cirsium arvense* after incubation in media containing ATP, magnesium ions, and nitrate, observed without further staining. Filamentous haustorium of *Puccinia punctiformis* in mesophyll cell. Lead precipitate indicates ATPase activity (arrows) on the host plasma membrane lining cell wall (W) and enclosing haustorium (h) but not on the fungal plasma membrane. Note activity is shown on some regions of the host tonoplast (arrowheads). The reaction product on the host wall adjacent to the haustorium probably lies over a trapped portion of the host plasma membrane. Bar =  $1.0 \,\mu\text{m}$ 

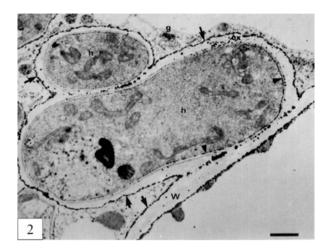
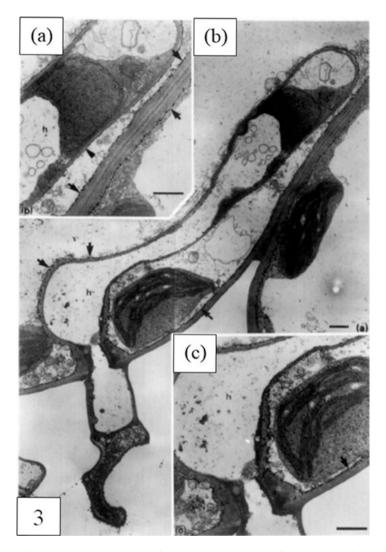


Fig. 2 Section of infected leaf tissue of *Cirsium arvense* after incubation in media containing ATP, magnesium ions, and nitrate, observed without further staining. Filamentous haustorium (h) of *Puccinia punctiformis* in bundle sheath cell, showing ATPase activity on both invaginated and uninvaginated host plasma membrane (arrow) and Golgi body (g). Enzyme activity is also indicated on the fungal plasma membrane (arrowheads) and in the extrahaustorial matrix (ex). Bar =  $1.0 \,\mu\text{m}$ 

also lacked the host wall-like material, characteristic of the extrahaustorial matrix of older regions of such haustoria (Al-Khesraji and Losel 1981; Littlefield and Heath 1979).

Control preparations, exposed to vanadate (Fig. 4) or without substrate (Figs. 7b and 8b), showed markedly less lead precipitate, in all the regions examined. Scattered precipitates of fine, electron-dense particles were sometimes observed in the host or fungal cytoplasm; both in tissue incubated with ATP and in substrate-deficient treatments but were not associated with membranes. With  $\beta$ -glycerophosphate as substrate instead of ATP, the location of lead phosphate deposits indicated that the distribution of general phosphatase activity was similar to that of ATPase (Fig. 5), except that only ATPase activity was present at the tonoplast.

In some preparations of *P. punctiformis*, the fungal plasmalemma of filamentous haustoria showed evidence of enzymic activity when either ATP (Fig. 2) or  $\beta$ -glycerophosphate (Fig. 5) was used as substrate. However, no precipitates were observed on the haustorial plasmalemma of *P. menthae*. The results of the preliminary investigation of monokaryotic infections of *Tussilago farfara* by *P. Poarum*, using the same ATPase detection procedure as Woods and Gay (1987), differed from the previous observations only in showing ATPase and  $\beta$ -glycerophosphatase activity on the fungal plasmalemma (Fig. 6).



**Fig. 3** (a) Filamentous haustorium (h) of the monokaryotic stage of *Puccinia menthae* incubated with ATP, as for Figs. 1 and 2, then stained with uranyl acetate /lead citrate. Lead deposition. Indicative ATPase activity (arrows), is seen on the wall-lining region of host plasmalemma and on regions of invaginated plasmalemma proximal to point of entry into the host cell, but decreases towards the distal end of the haustorium. Bar =  $1.0 \ \mu m$ . (b) Apical region of haustorium at higher magnification, to compare the lack of lead precipitation on the extrahaustorial membrane (arrowhead) with the ATPase reaction of the wall-lining region of the host plasmalemma (arrows). Bar =  $1.0 \ \mu m$ . (c) Haustorium close to the entry point enlarged to show the similarity of ATPase reaction (arrows) and deposition of wall material (w) on wall-lining and invaginated domains of host plasmalemma. Bar =  $1.0 \ \mu m$ 

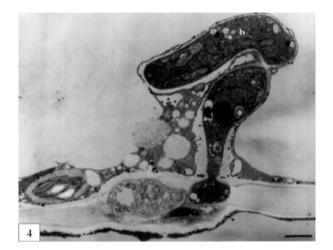


Fig. 4 Filamentous haustorium (h) of *Puccinia menthae*, after incubation with ATP in the presence of sodium orthovanadate, showing lead precipitation, compared with Fig. 3. Bar =  $1.0 \,\mu\text{m}$ 

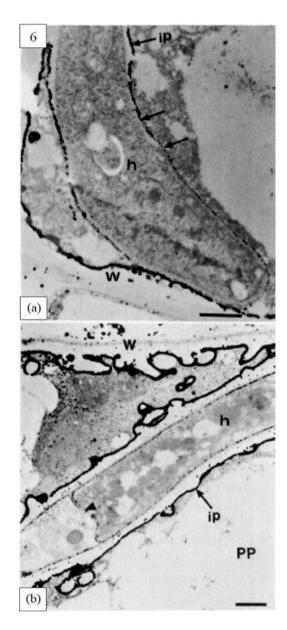


**Fig. 5** Filamentous haustoria (h) of *P. punctiformis* in mesophyll cell after incubation in the presence of  $\beta$ -glycerophosphate and Mg<sup>++</sup> and without further staining. Precipitates indicating general phosphatase activity (arrows) lie on both wall-lining and invaginated regions of host plasmalemma but not on membranes of vacuoles (v). Some activity is also indicated on haustorial plasmalemma (arrowheads). Bar = 1.0 µm

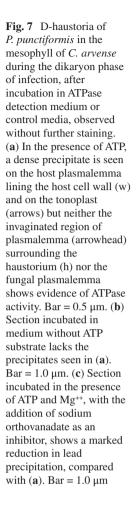
## 2.2 Uredinial Stages

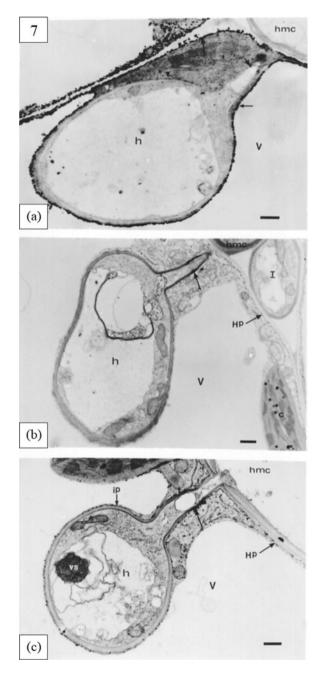
No ATPase activity was detected on the invaginated host plasmalemma forming the extrahaustorial membrane of D-haustoria of the dikaryotic, uredinial stages of *P. Punctiformis* (Fig. 7a) and *U. vicia-fabae* (Fig. 8a).

Fig. 6 Filamentous haustoria of P. poarum in phloem parenchyma of Tussilago farfara after incubation in ATPase detection medium. (a) Young M-haustorium showing ATPase reaction (arrows) on the invaginated plasmalemma (ip) near penetration point, similar to that of uninvaginated plasmalemma adjacent to host wall (w) but decreasing distally, where the extrahaustorial matrix is very thin. Bar =  $1.0 \,\mu m$ . (b) Older M-haustorium with strong ATPase reaction throughout the invaginated (ip) and wall-lining domains of host plasmalemma, surrounding the transfer cell type of projections of the wall (w) and extrahaustorial matrix enclosing the haustorium (h). ATPase activity is indicated on the fungal plasmalemma, including the septum region (arrowhead). Bar =  $1.0 \,\mu m$ 

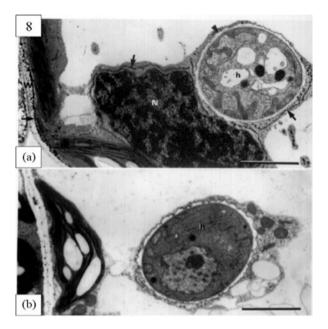


These haustoria showed the specialized morphology of D-haustoria with a very constricted point of entry, narrow neck region bearing an electron-dense neckband and expanded clavate body. In both infections, ATPase activity was indicated on the host plasmalemma lining the cell wall. Lead deposits were also associated with the endoplasmic reticulum, chloroplasts and nuclear membrane. ATPase (Fig. 9) but not





 $\beta$ -glycerophosphatase (Fig. 10) activity was indicated on the plasmalemma of *P. Punctiformis*. However, no enzymic reactions were detected on the plasmalemma of *P. menthae*.



**Fig. 8** D-haustoria (h) of *U. vicia-fabae* in mesophyll cells of *Vicia faba*. (a) Tissue incubated in the presence of ATP and observed without further staining. Lead precipitates indicate ATPase activity (arrows) on host plasmalemma lining the host cell wall (w) and within-host cytoplasm, particularly over ER cisternae and the membrane of the host nucleus (N), but no activity is detected on the extrahaustorial membrane (arrowhead). Bar =  $1.0 \,\mu\text{m}$ . (b) ATPase control without substrate lacks the precipitates seen in Fig. (a). Bar =  $1.0 \,\mu\text{m}$ 

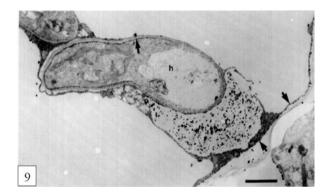


Fig. 9 D-haustorium of *P. punctiformis* (h), after incubation in the presence of ATP. Precipitates indicating ATPase activity (arrows) lie over the fungal plasmalemma, wall-lining host plasmalemma, and on obliquely sectioned collar (C) of wall-like material but not on the tonoplast or extrahaustorial membrane. Bar =  $0.5 \,\mu\text{m}$ 

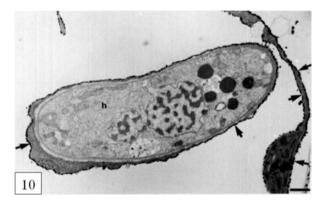


Fig. 10 D-haustorium of *P. punctiformis* (h) in a mesophyll cell, after incubation in the presence of  $\beta$ -glycerophosphate. Precipitates provide evidence of phosphatase activity on uninvaginated host plasmalemma and tonoplast (arrows) but not on the extrahaustorial membrane or fungal plasmalemma. Bar = 1.0  $\mu$ m

Control treatments without substrate or with sodium orthovanadate as a specific inhibitor of ATPase activity showed markedly less, or a complete absence of lead precipitation (Figs. 7b, c and 8b).

As in the cells containing filamentous haustoria, incubation in  $\beta$ -glycerophosphate gave rise to a similar distribution of lead phosphate precipitates to that resulting from ATP in the incubation mixture. The distribution of enzymic reactions observed in tissues examined here and in previous studies is summarized in Table 1.

The different distribution of activity of phosphatases associated with the membranes enclosing M- and D-haustoria of *P. punctiformis* in tissues of *C. arvense*, like the contrasting morphology of the two types of haustoria (Baka and Losel 1992a), clearly relate to genomic differences between the mono- and dikaryotic phases of the fungal life cycle and are not simply due to interactions with alternate hosts. This physiological distinction between monokaryotic and dikaryotic phases of rust infections is consistently borne out by the observations in this and previous work on the various rust infections summarized in Table 1.

The present investigation of ATPase activity in *P. punctiformis* infections appears to be the first to compare spermogonial-aecial and uredinial stages of single autoecious rust. Indeed, few EM studies of autoecious rusts, in both mono- and dikaryotic phases have been published (Gay and Woods 1987; Harder 1978; Larous and Losel 1993b; Littlefield and Heath 1979; Al-Khesraji 1981).

The interpretation of the observations of this and most previous studies on plant tissue depends on the reliability of the lead precipitation technique in the detection of ATPase, as distinct from phosphatase activity. From parallel cytochemical and *in vitro* investigations of ATPase activity in *Avena sativa* root tissue, Katz et al. (1988) reported a number of problems associated with the choice of fixatives and inhibitors and questioned whether the ATPase localized by the lead precipitation procedure, as commonly applied, identified the plasma membrane proton pump. These workers proposed a rigorous set of criteria for unambiguous cytochemical localization of the

	Pycnia	al-ae	ecia	1	Uredia	al		Reference
	HPM	EF	IM	FPM	HPM	EHM	FPM	
Rust fungus		pr	ds					
Puccinia punctiformis								Baka et al. (1995)
ATP-ase	+	+	+	+	+	-	+	
Phosphatase	+	+	+	+	+	-	-	
P. menthae								Baka et al. (1995)
ATP-ase	+	+	-	-				
Phosphatase	+	+	-	-				
P. poarum								Baka et al. (1995)
ATP-ase	+	+	-	-				
Phosphatase	+	+	-	-				
P. lagenophorae								Baka (1989)
ATP-ase	+	+	+	+				
Phosphatase	+	+	+	+				
Uromyces viciae-fabae								Baka et al. (1995)
ATP-ase					+	-	-	
Phosphatase					+	-	-	
Uromyces appendiculatus								Spencer-Phillips and Gay (1981)
ATP-ase					+	-	-	
Phosphatase					+	_	_	

**Table 1** Presence (+) or absence (-) of ATPase and phosphatase reactions on host plasma membrane (HPM), proximal (pr) and distal (ds) regions of the invaginated host plasma membrane (extrahaustorial membrane, EHM), and fungal plasma membrane (FPM) of haustoria of studies of rust infections

proton pump, recommending formaldehyde at 0 °C for fixation, a low concentration of lead or the use of other phosphate-precipitating agents, and the employment of 0.1 mM molybdate to reduce background deposition from soluble phosphatase activity (Hail and Williams 1991). In subsequent work, Chauhan et al. (1991) repeated and extended many of the experiments of Katz et al. (1988) and compared cerium-based and lead-based methods for localization of ATPase activity in maize root cells. With either lead- or cerium-based capture methods, precipitates occurred over both faces of the plasma membrane, a finer and more consistent deposition with less general cytoplasmic staining being found with cerium. The strongest cytochemical staining was obtained in tissue fixed with a mixture of cold 3% paraformaldehyde and 0.25% glutaraldehyde (concentrations close to those employed in the present study). With paraformaldehyde alone, advocated but apparently not used in cytological experiments by Katz et al. (1988), there was clear ATPase staining but poor cytoplasmic preservation. Both methods of capture were considered specific for plasmalemma ATPase, since Mg<sup>2+</sup> requirement and vanadate sensitivity were demonstrated; 50 rnM KNO<sub>3</sub> which specifically inhibits tonoplast ATPase had no effect on the cytochemical staining and omission of substrate or replacement with ß-glycerophosphate resulted in an absence of reaction product on the plasmalemma. Both lead and cerium interfered with the deposition of the reaction product, due to non-enzymatic hydrolysis, this effect being greater with cerium. It was suggested that histochemical detection of ATPase might be more sensitive than biochemical assays even if the cytochemical staining represents only a small proportion of the plasma membrane activity.

Resolution of the question of whether the cytochemical reactions listed in Table 1 correspond to H<sup>+</sup>-ATPase activity as well as similarly distributed non-specific phosphatase activity may have to await the isolation of specific immunocytochemical probes. However, the consistent observations in the present work that different parts of one plasma membrane, and even of one extrahaustorial membrane, react differently, providing strong evidence of physiological specialization at the parasitic interface. Recent progress in the employment of monoclonal antibodies in the cytochemical analysis of host-pathogen interfaces includes the recognition of specific glycoproteins of the fungal plasmalemma of isolated haustorial complexes of *Erysiphe pisi*. This differed from those of the plasmalemma of the surface mycelium (Mackie et al. 1991, 1993; Reberts et al. 1993) and the localization of glycoproteins, expressed in the intracellular hyphae of *Colletotrichum lindemuthianum* during the early biotrophic growth phase in leaf tissue of *Phaseolus vulgaris* but not present on conidia or appressoria (Pain et al. 1994).

In the rust infections examined here, the absence of ATPase activity from the extrahaustorial membrane of D-haustoria of *P. Punctiformis* and *U. vicia-fabae* is consistent with earlier findings for dikaryotic *U. appendiculatus* (Spencer-Phillips and Gay 1981). Likewise, the presence of ATPase reactions on the extrahaustorial domain of host plasmalemma in *P. punctiformis* and *P. menthae* infections corresponds to previous reports for *P. poarum* (Woods and Gay 1987) and *P. lagenophorae* (Baka 1989) in which the invaginated host plasmalemma surrounding M-haustoria appears similar to the rest of the host cell membrane. O'Connell (1987) detection of ATPase activity on the invaginated plasmalemma enclosing the unspecialized hemibiotroph *Colletotrichum lindemuthianum*, during its brief biotrophic phase in cells of *Phaseolus vulgaris*, is of interest in this connection.

The present evidence for parallel decreases in ATPase activity and in the associated deposition of wall material from proximal to distal regions of the invaginated plasmalemma of M-haustoria of *P. poarum* and *P. menthae* supports the very thorough previous study of *P. poarum* (Woods and Gay 1987), but these phenomena were not detected in *P. punctiformis* or *P. lagenophorae* (Baka 1989). Beale et al. (1990) provide an interesting parallel from a light microscope study of the downy mildew species *Peronospora viciae*, in which an adaptation of the ATPase detection reaction was employed to improve the visibility of intercellular hyphae and haustoria within pea leaf tissue. In *P. viciae* also, the staining showing ATPase activity decreased towards the tip of some of the simple, filamentous haustoria.

Where ATPase and phosphatase activities are absent from the membrane enclosing the distal region of M-haustoria, the physiology may resemble the situation in D-haustoria, where it was interpreted by Spencer-Phillips and Gay (1981) as indicating diminished control of the passage of solutes via the host plasmalemma. Similar interpretations were proposed for the Oomycetes *Albugo candida* (Woods and Gay 1983) and *Bremia lactucae* (Woods et al. 1988). In M-haustoria (Woods and Gay 1987) however, this is probably a transient condition, followed by increasing ATPase activity and deposition of wall material, as successive regions of the filamentous structure mature. Woods and Gay 1987) suggested that the relative inefficiency of the apparently unspecialized M-haustoria could be compensated by the observed location of monokaryotic haustoria within the vascular tissues.

The only previous evidence for ATPase activity on the haustorial plasma membrane appears to be from EM cytochemical studies of Erysiphe pisi (Spencer-Phillips and Gay 1981), M-haustoria of Puccinia lagenophorae Baka 1989), and the light microscopic observations on *P. viciae* (Beale et al. 1990) mentioned above. ATPase activity has now been found in the plasmalemma of both types of haustoria of P. Punctiformis and in M-haustoria of P. poarum, although not detected in P. poarum previously (Woods and Gay (1987), in haustoria of P. menthae and U. vicia-fabae in the present study, nor in those of the downy mildew Bremia lactucae (Woods et al. 1988). These discrepancies may reflect differences in penetration of fixative and/or substrate into the fungal structures (e.g. Beale et al. (1990) noted that over-fixation inhibited the ATPase reaction) as well as specific features of fungal ATPases, such as a lower pH optimum than found in plasmalemma H+-ATPases of plants (Bowman and Bowman 1986). Although ATP-ases from a number of plant sources (Serrano 1989, Sussman ad Harper 1989) and from several species of saprophytic fungi (Bowman and Bowman 1986), in which plasmalemma proton pumps are particularly active (Sussman ad Harper 1989), have been investigated at the molecular level, no ATPases of plant-pathogenic fungi appear to have been characterized so far.

The functioning of ATPases in nutrient transport across the plant plasmalemma has been studied most intensively in relation to transfer cells and phloem loading (Van Bel 1993), both cytochemically in *P. sativum* (Bentwood and Cronshaw 1978) and by combined cytochemical and biochemical studies (Williams and Hall 1987) of *Ricinus communis* cotyledons. Leaves of *Pisum sativum, Phaseolus vulgaris* (Spencer-Phillips and Gay 1981) and *T. farfara* (Woods and Gay 1987) showed stronger plasmalemmal ATPase reactions in epidermal, phloem transfer and companion cells, i.e. tissues importing photosynthate, than in cells of the mesophyll. Interactions between biotrophic fungal pathogens and host plant cells appear to induce a comparable differential distribution of ATPase activity (Gay 1984; Gay and Wood 1987) corresponding to the special source-sink relationships of infected tissues.

#### **3** Infection of Vascular Tissues in Host-Rust Interaction

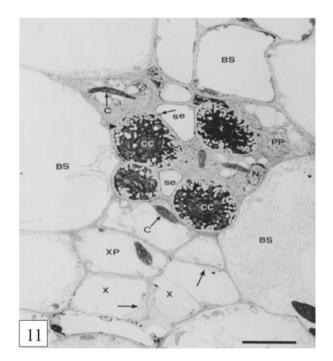
The taxonomically related, autoecious rusts, *Puccinia punctiformis* (Str.) Rohl and *P. lagenophorae* Cooke were selected, since their respective hosts *Cirsium arvense* L. and *Senecio vulgaris* L., are in the same family as *T. farfara*, the host of the monokaryon of *P. poarum*. The occurrence of infection in the leaf vascular system was investigated throughout the macrocyclic life cycle of *P. punctiformis* and in the aecial stage of *P. lagenophorae*.

*P. punctiformis* produces spermogonia on systemically infected shoots in late spring, followed by uredinoid aecia (Wilson and Henderson 1966), and termed primary uredinia (Buller 1950). The spores in these sori are morphologically identical

to urediniospores but the associated limited mycelium bears unspecialized, filamentous intracellular structures (Baka and Losel 1992a) of the type normally found in monokaryotic phases of the rust life cycle (Littlefield and Heath 1979). Infection of healthy thistles by primary urediniospores initiates a dikaryotic mycelium with typical D-haustoria and bearing secondary uredinia and telia (Baka and Losel 1992a). *P. lagenophorae*, native to Australia and found in Europe only since 1961 (Wilson and Henderson 1966), was investigated mainly in the aecial phase. Teliospores develop later among the aeciospores and in telia, which, in some cases (N. Paul, personal communication), even develop within the pith cavity.

#### 3.1 Puccinia Punctiformis

Healthy leaves of *C. arvense* showed normal vascular tissue components (Fig. 11). In infected leaves of *C. arvense* bearing spermogonia, monokaryotic filamentous haustoria were common xylem parenchyma (Fig. 12) and bundle sheath cells (Fig. 13) but sparsely distributed within the vascular tissue. During the subsequent



**Fig. 11** TEM micrograph of a transverse section of a vascular bundle from healthy *C. arvense* leaf showing typical components of phloem and xylem. Note the plasmalemma (small arrow) between the sieve element (se) and the companion cell (cc). Note also the wall ingrowths (arrowheads) of both phloem parenchyma (pp) and companion cells. The thickening of xylem vessels (large arrows), bundle sheath (BS), xylem (x), xylem parenchyma (XP) chloroplasts (C), and nucleus (N) can also be seen. Bar =  $0.5 \mu m$ 

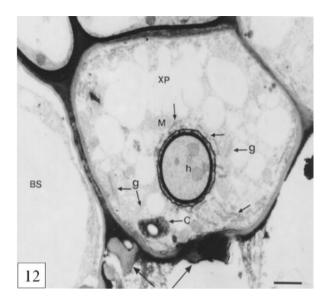
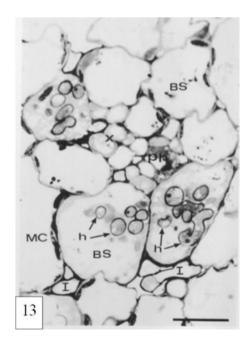


Fig. 12 Filamentous haustorium in xylem parenchyma of *Cirsium arvense* leaf, during spermogonial stage of *Puccinia punctiformis*. The host cell cytoplasm contains mitochondria and profiles of endoplasmic reticulum (arrows), closely associated with extrahaustorial membrane and plasmalemma. A large arrow indicates a secondary wall in the adjacent tracheary element. Bar =  $1.0 \mu m$ 

Fig. 13 Light micrograph of a semi-thin section of a small vascular bundle of *C. arvense* during aecial stage of *P. punctiformis* showing numerous sections of fungal structures in bundle sheath cells. Intercellular hyphae are present in vascular tissue as well as in mesophyll. Bar =  $5.0 \,\mu\text{m}$ 



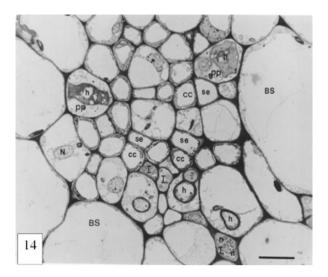


Fig. 14 Phloem region of larger vascular bundle showing the infection of phloem parenchyma cells. Note intercellular hyphae. Bar =  $5.0 \ \mu m$ 

development of aecia, bundle sheath cells often became densely infected and intercellular hyphae grew within the vascular region (Fig. 14). Filamentous haustoria were frequent in phloem parenchyma (Fig. 15), xylem parenchyma and even xylem elements where, in some instances, they were embedded in bands of lignified wall thickening (Fig. 16).

The cytoplasm of infected cells of the vascular region was of relatively healthy appearance with abundant profiles of endoplasmic reticulum and mitochondria. The hypha-like intracellular structures, like those previously described in the mesophyll (Baka and Losel 1992). were not observed to exit from the host cells. These structures grew mainly longitudinally in vascular elements, commonly presenting circular profiles in transverse sections of leaf veins. Later in aecial development, morphologically similar haustoria were seen in vascular tissue. These intracellular structures, like those previously noted in the mesophyll (Baka 1987; Baka and Losel 1992a), lacked the narrow neck and neckband typical of the specialized D-haustoria (Littlefield and Heath 1979) of uredinial-telial phases of rust life cycles. As in mesophyll cells of these and other (Harder 1978; Littlefield and Heath 1979; Woods and Gay 1987) rust species, a material resembling the host wall in its staining reactions tended to accumulate around older filamentous haustoria, in the extrahaustorial matrix between the invaginated regions of host plasmalemma and fungal walls (Fig. 14). This layer was absent in young haustoria, as in Fig. 11. In secondary uredinial and telial stages of infection by P. punctiformis, examination of many tissue blocks from different plants failed to reveal any penetration of the vascular system by the fungus, although the bundle sheath cells were infected by clavate, D-haustoria of the type familiar in dikaryotic infections by rust fungi.

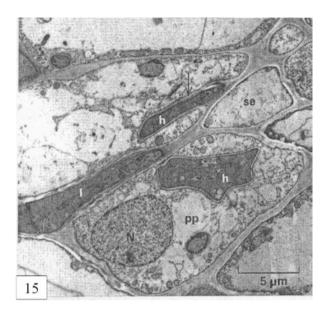
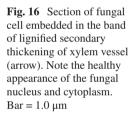
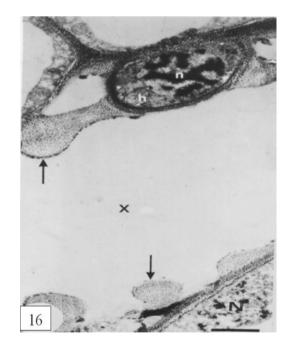


Fig. 15 Haustoria and intercellular hypha in phloem parenchyma. Compare the staining of host wall material and extrahaustorial matrix (arrow). Bar =  $5.0 \ \mu m$ 





#### 3.2 Puccinia lagenophorae

In leaves of *Senecio vulgaris*, the development of aecia of *P. lagenophorae*, was consistently associated with a vascular infection which light microscopy showed to be more densely concentrated in this region than in the mesophyll (Fig. 17). Filamentous haustoria were found in all types of cells of both phloem and xylem (Figs. 18, 19, 20 and 21). The cytoplasm of infected phloem parenchyma, transfer cells, and xylem parenchyma, remained relatively electron-dense, even after infection, with a well-developed membrane system and abundant mitochondria, giving the impression of a metabolically active condition (Figs. 17, 18, 19 and 20). In some older infections of transfer cells of the phloem of *Senecio*, host wall-like material was occasionally found to be deposited in the extrahaustorial matrix surrounding filamentous haustoria in a manner similar to the wall ingrowths projecting from the rest of the host cell wall (Fig. 19). As in *P. punctiformis*, where xylem vessels had been penetrated, fungal cells were sometimes embedded within the lignified bands of secondary wall thickening (Fig. 21).

The above observations appear to be the first ultrastructural investigation of the relationship of autoecious rusts with host vascular tissues. The intensive invasion of the vascular system of *Senecio vulgaris* by the aecial phase of *P. lagenophorae* contrasts strikingly with the more limited vascular infection by *P. punctiformis* in *C. arvense* and closely resembles the distribution of the monokaryon of *P. poarum* in leaves of *T. farfara* (Al-Khesraji et al. 1980). In the case of the heteroecious rusts,

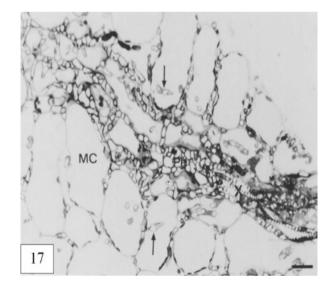
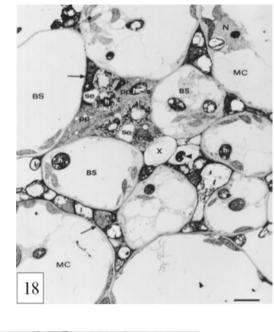


Fig. 17 Light micrograph of a longitudinal section of leaf veins of *Senecio vulgaris* during the aecial stage of *Puccinia lagenophorae*, showing inter- and intra-cellular infection of bundle sheath, phloem, and xylem. Compare the density of infection of the vascular strand and adjacent meso-phyll. Bar =  $10 \mu m$ 

Fig. 18 Transverse section of small vascular bundle, showing fungal structures adjacent to and within cells of bundle sheath, phloem, and xylem (arrowhead). Note bi-nucleate cells of intercellular hyphae (arrow). Bar = 5  $\mu$ m



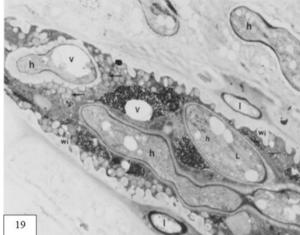


Fig. 19 Longitudinal section through heavily infected transfer cells of phloem. Note long, intracellular, fungal structures with a moderately electron-dense extracellular matrix, which, in the one on the right, resembles wall in-growths of transfer cell. The upper cell contains large numbers of mitochondria and deposits of electron-dense granules. The haustoria in the lower cell are probably younger, having no wall-like material visible in the matrix. Bar =  $2.0 \,\mu m$ 

*P. coronata avenae* (Harder 1978) and *P. poarum* (Al-Khesraji et al. 1980), the monokaryons which infect the vascular systems of *Rhamnus cathartica* and *T. far-fara*, respectively, it could be argued that the exclusion of the dikaryon from

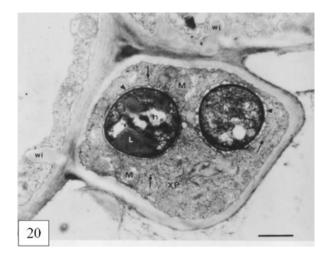


Fig. 20 Transverse sections of two filamentous haustoria in xylem parenchyma cell. The host cytoplasm is of healthy appearance, unvacuolated, containing mitochondria and numerous profiles of endoplasmic reticulum (arrows). The extrahaustorial matrix surrounding the haustorium on the left is moderately electron-dense but that on the right (arrow) shows no deposition of wall-like material. Bar =  $1.0 \,\mu\text{m}$ 



Fig. 21 Tracheary elements of xylem with intercellular hypha and intracellular fungal structures, partially embedded in secondary wall thickening. Note the breakdown of the primary wall (arrows). Bar =  $0.5 \,\mu$ m

vascular tissues of the alternate hosts, *A. sativa* and *Poa pratensis*, might be determined by anatomical or physiological characteristics of these grasses, such as the lignified bundle sheath. The present study has shown this pattern persisting even where there is no difference in host species during the life cycle. The dikaryon initiated by the uredinoid aeciospores of *P. punctiformis* appears to be incapable of penetrating the vascular system of *C. arvense*. The situation is still unclear in

P. lagenophorae, which lacks uredinia and spermogonia, and where the limited amount of telial material examined proved too brittle to provide good sections. The only evidence so far for the occurrence of D-haustoria in host vascular tissue is from a study of wheat varieties infected by P. graminis where vascular penetration was recorded in the most susceptible of a range of varieties examined (Andreev et al. 1982). During the spermogonial and aecial stages of infection by *P. punctiformis* and *P. lagenophorae* the fungal structures within living cells of the vascular system, like those in the mesophyll (Baka 1987; Baka and Losel 1992b), correspond to descriptions of P-haustoria (Harder 1978), or M-haustoria (Littlefield and Heath 1979). Since, like those of *P. poarum* (Juniper et al. 1970; Woods and Gay 1987), they have not been observed to exit from a host cell, they fit Bushnell's definition of a haustorium (Baka and Losel 1992b), rather than intracellular hypha, as employed by Gold et al. (1979). These intracellular structures like those of the monokaryons of P. poarum (Al-Khesraji and Losel 1981; Woods and Gay 1987), P. coronata (Harder 1978), P. menthae (Larous 1990) and other rusts (Littlefield and Heath 1979), lack the strongly-constricted neck with osmiophilic neck-band, characteristic of the specialized D-haustoria of uredinial-telial dikaryon (Baka and Losel 1992a; Littlefield and Heath 1979). They share common features of a wider point of entry into the host cell and similar cytochemical reactions of the extrahaustorial matrix (Baka 1987; Baka and Losel 1992a; Larous 1990), elegantly demonstrated by Woods and Gay in monokaryotic infections of *P. poarum* (Woods and Gay 1987). The distinction between intracellular hyphae, as found in *P. recondita* (Gold et al. 1979), and P. menthae (Larous 1990), and filamentous haustoria are likely to be functionally less significant than their differences from D-haustoria. The encasement of the fungal wall in older regions of filamentous haustoria by host wall-like material has been studied cytochemically in P. poarum (Woods and Gay 1987), P. punctiformis and P. lagenophorae (Baka 1987; Baka and Losel 1992b) and other rust fungi (Larous 1990; Littlefield and Heath 1979). A more specialized reaction of this type has been noted here in some transfer cells of leaf veins of S. vulgaris, where transfer cell-like projections of wall material, have occasionally been observed around intracellular structures of P. lagenophtrae. The question arises whether the proliferation of the enclosing extrahaustorial membrane, associated with such wall deposition, may facilitate the movement of solutes from host cell to hypha, by a mechanism comparable to the loading of conducting elements adjacent to transfer cells. Such deposition of wall material, like the embedding of fungal cells within bands of lignified secondary wall thickening, in xylem elements of C. arvense and S. vulgaris, supports the cytochemical evidence (Baka 1987; Larous 1990; Woods and Gay 1987) that the invaginated region of plasmalemma, surrounding the fungal wall of older regions of filamentous haustoria, carries out similar wall-synthesizing reactions to those of the uninvaginated region lining the host cell wall. The hyphae found within non-living xylem elements may have developed initially as haustoria in young, undifferentiated cells. Following the maturation and death of the host cell, however, they become essentially apoplastic, lack the extrahaustorial matrix of functional haustoria, and frequently appear necrotic. As suggested in the

case of *P. poarum*, the ability of the spermogonial-aecial thallus of these rust fungi to gain access to host nutrients of the vascular system may compensate for the unspecialized nature of the associated filamentous haustoria (Al-Khesraji et al. 1980; Woods and Gay 1987), The lack of this characteristic in the uredinial-telial dikaryon points to fundamental physiological and morphogenetic differences in genome expression which merit further investigation.

### 4 Cytochemical Aspects of the Interaction Between the Rust Fungus *Melampsora euphorbiae* and Its Host, *Euphorbia peplus*

By use of the probes listed in Table 2, specific sugar residues were detected and localized in fungal (Table 3) and host cells (Table 4). Incubation of infected *Euphorbia* leaves with WGA/ovomucoid-gold complex, resulted in labelling of chitin (a  $\beta$ -1, 4-linked N-acetylglucosamine polymer) in hyphal walls, more intensively on septa than on longitudinal walls (Fig. 22a). Urediniospore walls were labelled (Figs. 22b), but the spines embedded in the outer wall of mature urediniospores gave no chitin reaction (Fig. 22c). The haustorium wall, extrahaustorial matrix and extrahaustorial membrane (Fig. 22d) were, however, almost free of labelling. Cytoplasm, mitochondria, oil drops and vacuoles of both fungus and host remained unlabelled (Fig. 22d). Surprisingly, however, this probe was also bound to the secondary wall of xylem vessels (Fig. 22e). The density of distribution of gold particles was greatly reduced over sections treated with WGA-gold complex preincubated with N-acetyl-chitotriose.

When ConA gold conjugate was used to detect  $\alpha$ -D-mannose and/or  $\alpha$ -D-glucose residues, heavy binding was observed to the glycogen-like granules in the hyphal cytoplasm (Figs. 23a, b), starch grains in the host cell (Fig. 23c), and to a myelin-like profile of concentric membranes (Fig. 23d), but not to host or fungal walls (Fig. 23c, d). Weak labelling was also observed in the cytoplasm of the fungus (Fig. 23d).

Probe <sup>a</sup>	Source <sup>b</sup>	Substrate specificity	pH
WGA	Triticum vulgare	Chitin	7.4
ConA	Concanavalia ensiformis	α-D-mannose, a-D-glucose	8.0
RcA <sub>1</sub>	Ricinus communis	β-D-galactose	8.0
LTA	Lotus tetragonolobus	α-L-fucose	7.0
β-glucosidase	Almond	β-glucosides	9.3

 Table 2
 Lectins and enzymes used for investigation of rust infected tissue, their sources and pH values of colloidal gold for complex formation

<sup>a</sup>WGA wheat germ agglutinin, ConA concanavalin A, RcA1 Ricinus communis agglutinin, LTA Lotus tetragonolobus lectin

<sup>b</sup>All from Sigma, UK

Probe <sup>a</sup>	Specificity	IHW	HW	UW	FCY	GP	LO	FL	Al
WGA	Chitin	+	-	+	-	_	-	-	-
ConA	α-D-mannose, α-D-glucose	-	-	-	+	++	-	-	-
RcA <sub>1</sub>	β-D-galactose	++	+	-	-	_	++	-	-
LTA	α-L-fucose	++	t	-	+	_	-	-	+++
β-Glucosidase	β-Glucosides	-	-	-	-	_	-	-	-

 Table 3
 Occurrence, localization<sup>a</sup> and relative amounts<sup>b</sup> of carbohydrates detected in *Melampsora* euphorbiae

<sup>a</sup>Abbreviations as in Table 1

<sup>b</sup>Location: *IHW* Intercellular hypha wall, *HW* Haustorium wall, *UW* Urediospore wall, *FCY* Fungal cytoplasm, *GP* Glycogen particles, *LO* Lomasomes, *FL* Fungal lipid drops, *AL* Amorphous layer between hyphae

<sup>c</sup>Relative amount, indicated by distribution density of gold particles: +++ = very high; ++ = high; + = moderate; t = trace; - = absent

 Table 4 Occurrence, localization<sup>a</sup> and relative amounts<sup>b</sup> of carbohydrates detected in tissue of host plant *Euphorbia peplus*

Probec	Specificity	CW	XT	SG	MS	HN	HL	HCY	ELW	ILX
WGA	Chitin	-	++	-	-	_	-	-	-	-
-ConA	α-D-mannose, α-D-glucose	-	-	+++	++	-	_	-	-	-
RcA <sub>1</sub>	β-D-galactose	++	-	-	-	—	-	-	-	-
LTA	α-L-fucose	-	-	-	-	—	-	-	-	-
β-Glucosidase	β-Glucosides	-	-	-	-	_	-	-	+++	+

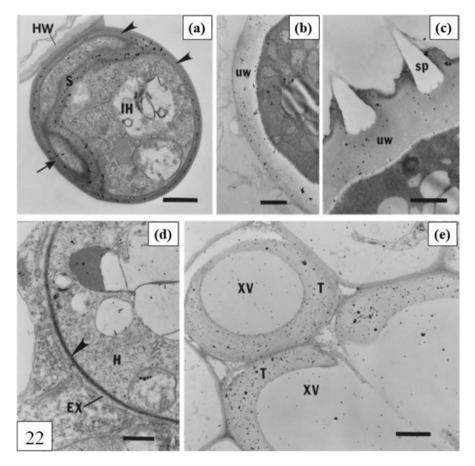
<sup>a</sup>Location: *CW* Cell wall, *XT* Xylem thickening, *SG* Starch grain, *MS* myelin-like profile of concentric membranes, *HN* Nucleus, *HL* Lipid drops, *HCY* cytoplasm, *ELW* external layer of wall, *ILX* inner layer of xylem primary wall

<sup>b</sup>Relative amount, indicated by distribution density of gold particles: +++ = very high; ++ = high; + = moderate; t = trace; - = absent

<sup>c</sup>Abbreviations as in Table 1

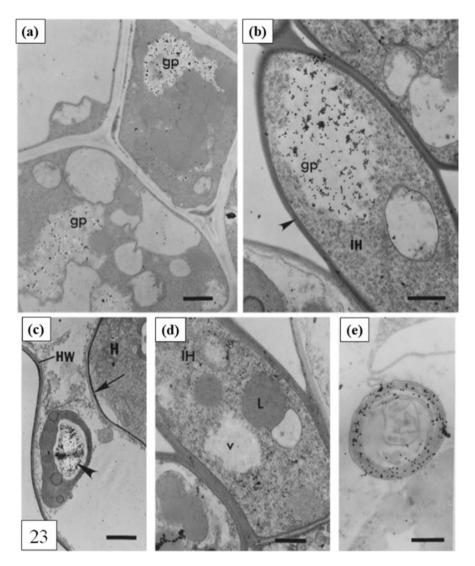
Treatment of the sections with ConA-gold complex, previously absorbed to  $\alpha$ -D-mannose or  $\alpha$ -D-glucose, gave negative results. After incubation with the *Ricinus communis* agglutinin I (RCA<sub>1</sub>)-gold complex, to detect  $\alpha$ -D-galactose, an increase in labelling was observed in the walls of intercellular hyphae (Fig. 24a, c), and in haustorial and host cell walls (Fig. 23c). The vesicular structures inside intercellular hyphae identified as lomasomes (Littlefield and Heath 1979) were heavily labelled (Fig. 24b) but other cytoplasmic components of both host and fungus were not significantly labelled (Figs. 24a–c). In a control test including the previous adsorption of the RcA<sub>1</sub>-gold complex on D-galactose, no labelling was observed.

Incubation with *Lotus tetragonolobus* lectin-gold complex to detect L-fucose, revealed a regular deposition of gold particles over the walls of intercellular hyphae (Figs. 25a). In contrast, there was little labelling of haustorial walls (not shown) and none over either host cell walls or host and fungal organelles (Figs. 25a–d). Negative results were obtained when the sections were incubated with the lectin-gold



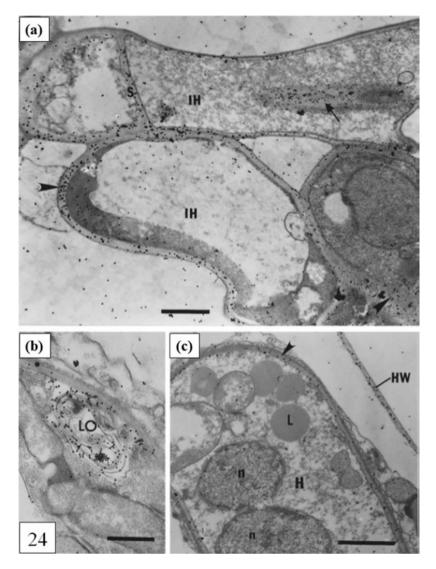
**Figs. 22** Rust-infected leaf tissue of *E. peplus* labelled with WGA and ovomucoid-gold complex. (a). Obliquely sectioned intercellular hypha (IH) showing labelling over the wall (arrow) and septum (S) and absence of labelling in wall regions furthest from the septum (arrowheads). The host wall (HW) and adhesion matrix remain unlabelled. Bar =  $0.5 \,\mu$ m. (b). Labelling over the wall (uw) of immature urediniospore. Bar =  $1.0 \,\mu$ m. (c). Labelling is distributed over the secondary wall (uw) of mature urediniospore but absent from the spines (sp). Bar =  $0.5 \,\mu$ m. (d). No significant labelling is observed over the haustorial body (H), haustorial wall (arrowhead), or extrahaustorial matrix (EX). Bar =  $0.5 \,\mu$ m. (e). Labelling of wall thickening (T) of host xylem vessels (XV). Bar =  $1.0 \,\mu$ m

complex, which had been previously adsorbed to L-fucose. Treatment with  $\beta$ -glucosidase-gold complex, to detect  $\beta$ -glucosides, resulted in intense labelling of the external layer of host cell walls. This labelling was concentrated at contact regions between host cells (Figs. 25c). Labelling also occurred over the primary wall of developing xylem vessels (Fig. 25e) and, to a lesser extent, associated with the outer surface of the plasmalemma, between deposits of wall thickening. In contrast, the fungal and host cytoplasm and the secondary thickening of young xylem elements were free of labelling (Fig. 25a–e).



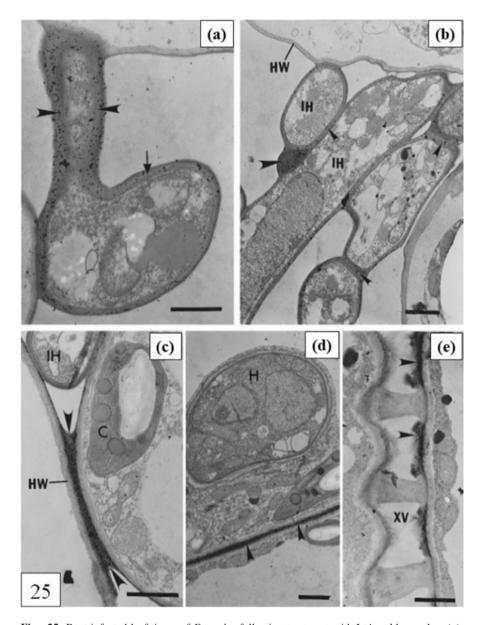
**Figs. 23** Rust-infected leaf tissue of *E. peplus* following treatment with ConA-gold complex. (a). Glycogen particles (gp) of the hyphae under the uredinium are heavily labelled. Bar = 0.5 um. (b). Glycogen particles of intercellular hypha (IH) are also heavily labelled but no label occurs over the fungal wall (arrowhead). Bar =  $1.0 \mu m$ . (c). A strong positive reaction of starch grain (arrowhead) in host chloroplast but not over walls (arrow) of haustorium (H) or host cell (HW). Bar =  $0.5 \mu m$ . (d). Some labelling over cytoplasm of intercellular hypha (IH) but very little over the wall. Fungal vacuoles (v) and lipid droplets (L) are unlabelled. Bar =  $0.5 \mu m$ . (e). Membranes of myelin-like structure in host cells are heavily labelled. Bar =  $1.0 \mu m$ 

Adsorption of the  $\beta$ -glucosidase-gold complex with the  $\beta$ -glucoside salicin, prior to incubation, gave negative results. These cytochemical observations are summarized in Tables 2 and 3.



**Figs. 24** Rust-infected leaf tissue of *E. peplus* following treatment with RcA<sub>1</sub>-gold complex. (**a**). Wall (arrowheads) and septum (S) of intercellular hyphae (IH) and an unidentified structure (arrow) are strongly labelled. Bar =  $0.5 \mu m$ . (**b**). Heavily labelled fungal lomasome (LO). Bar =  $0.5 \mu m$ . (**c**). A label is present on the haustorium (H) wall (arrowhead), more densely over the host cell wall (HW) but relatively sparse over fungal nuclei (n) and cytoplasm. No significantly labelling of oil drops (L). Bar =  $1.0 \mu m$ 

As described in other rust infections, a collar of wall-like material, usually interpreted as a host response to the fungal invasion, formed around the haustorium neck. The changes in appearance and the cytochemical reaction of this collar during haustorium development support previous reports (Table 4) that deposition of



**Figs. 25** Rust-infected leaf tissue of *E. peplus* following treatment with LtA-gold complex. (a). The hyphal wall shows regions of strong (arrow) and weaker labelling (arrowheads). Fungal organelles are unlabelled. Bar = 1.0 μm. (b). The walls (small arrowheads) of intercellular hyphae (IH) and extramural matrix (large arrowheads) between hyphae are labelled but the host cell wall (HW) is unlabelled. Bar = 1.0 μm. (c). Rust-infected leaf tissue of *E. peplus* treated with β-glucosidase-gold complex. Gold particles restricted to the outermost layer (arrowheads) of the host cell wall (HW), absent from intercellular are hypha (IH), host chloroplast (C) and cytoplasm. Bar = 1.0 μm. (d). Rust-infected leaf tissue of E. peplus treated with β-glucosidase-gold complex. Haustorium (H) and host cytoplasm are almost free of labelling although the outermost layer (arrowheads) of the host wall is heavily labelled. Bar = 1.0 μm. (e). Rust-infected leaf tissue of E. peplus treated with β-glucosidase-gold complex. Labelling of the primary wall (arrowheads) in developing xylem vessel (XV), some gold particles opposite, on the outer surface of host plasmalemma, but bars of secondary wall thickening are unlabelled. Bar = 1.0 μm

callose (a  $\beta$ -1,3-linked glucose polymer) may occur between the plant plasma membrane and cell wall, in response to stress, wounding, or pathogen invasion (Littlefield and Heath 1979) (Table 5).

From the stereological analysis of electron micrographs of cowpea leaf tissue infected with Uromyces vignae, Skalamera and Heath (1995) concluded that both callose synthesis and fungal presence are associated with de novo synthesis of membranes and that callose deposition may require an increase in the smooth membrane, whereas the establishment of a haustorium may be dependent on the increased synthesis of the rough endoplasmic reticulum. The complex distribution of carbohydrates on cell walls of several rust fungi has been investigated both biochemically and histochemically (Courtory and Simar 1974; Kim et al. 1982; Kaminskyj and Heath 1983; Chong et al. 1985, 1986; Mendgen et al. 1985; Freytag and Mendgen 1991a, b; Mendgen and Deising 1993). The above evidence for the presence of chitin in hyphal and urediniospore walls of M. euphorbiae and its absence from haustorial walls agrees with studies on Puccinia graminis f. sp. tritici by Chong et al. (1985) and Harder et al. (1986) who presented evidence that urediniospore walls also contained large amounts of polysaccharides or glycoproteins with vicinal hydroxyl groups. Without better evidence for the occurrence of chitin in plant cell walls, the specificity of WGA-ovomucoid-gold labelling of secondary wall material in *E. peplus* xylem vessels requires further investigation. The density of gold particles at this site (Fig. 14) and their absence from the primary walls strikingly resemble the labelling of xylem elements in healthy roots of Hevea brasiliensis demonstrated by Nicole and Benhamou (1991). These observations and similar reports (Chamberland et al. 1985; Benhamou and Asselin 1989) have been interpreted as indicating N-acetylglucosamine residues in secondary walls. In this connection, the relatively high amounts of hexosamine-containing compounds found in healthy as well as fungal infected tissues, during chitin estimations (Ride and Drysdale 1971; Losel and Lewis 1974) are of interest. Whipps and Lewis (1980) demonstrated that acetone extraction of this fraction before KOH hydrolysis greatly improves the sensitivity of the assay. The absence of chitin from the haustorial walls of M. euphorbiae and P. graminis f. sp. tritici may be related to the accumulating evidence for the role of chitin as an elicitor of plant defence reactions against fungal pathogens (Barber et al. 1989). Infection structures lacking chitin on the wall surface may avoid triggering chitinase activity and the breakdown of wall polymers. Such hydrolytic activity has been detected in plants at the early stages of infection and is now considered to play a role in plant defence systems (Isaac 1992). During the development of Fusarium wilt disease in tomato, Ferraris et al. (1987) observed increased glycosidase activity (β-1,3-glucanase, chitianase, β1,4-glucosidase and N-acetylglucosaminadase), which could be correlated with disease severity and was greater in susceptible than in resistant plants. Sequential changes in fungal surface carbohydrate composition during germination of rust urediniospores, differentiation of infection structures and fungal development within the host mesophyll have been described by Freytag and Mendgen (1991a, b). Chitin, which covered germ tube walls, along with  $\alpha$ - and  $\beta$ -glucans and hexose, decreased or was masked in appressoria, where glucans and hexoses were predominant together with smaller

<b>1able 5</b> Presence (+) or absence (-) of sugar residues, previously reported from some phytopathogenic fungi	nce (–) oī sugar residu	les, prev	iousiy reported i	trom some l	onytopatr	logenic	rgnu	
			Mannose and					
Pathogen	Disease	Chitin	Chitin glucose	Galactose	Fucose	Pectin	Galactose   Fucose   Pectin   Glucosides   References	References
Puccinia graminis f. sp. tritici	Wheat rust	++++	++++	Z	I	z	z	Chong et al. (1985, 1986)
Ophistoma ulmi	Dutch elm	+++++	++++	+	z	+++++++++++++++++++++++++++++++++++++++	Z	Benhamou (1988) and Benhamou et al. (1988)
Verticillum albo-atrum	Potato wilt	+	I	++++++	z	+	I	Benhamou (1988) and Benhamou et al. (1988)
Ascocalyx abietina	Scleroderris canker of conifers	+	+	+	+	+	Z	Benhamou and Quellette (1986a, b) and Bendayan and Benhamou (1987)
Magnaporthe grisea	Rice blast	Z	Ι	N	N	Z	N	Bourett et al. (1993)
Sphaerotheca pannosa	Powdery mildew of + rose	+	N	Z	z	I	N	Hajlaoui et al. (1992)
Fusarium oxysporum f. sp. radices-lycopersici	Tomato wilt	+	Z	I	z	z	Z	Benhamou et al. (1988) and Chamberland et al. (1985)
Colletotrichum lindemuthianum	Bean anthracnose	+	+	I	I	z	N	O'Connell (1991) and O'Connell and Ride (1990)
Fusarium oxysporum f. sp. lycopersici	Tomato wilt	+++++++++++++++++++++++++++++++++++++++	++	+++++++++++++++++++++++++++++++++++++++	z		++	Al-Askar et al. (2015)
N = not investigated								

Table 5 Presence (+) or absence (-) of subar residues previously reported from some phytomathogenic funoi

of N-acetylglucosamine, N-acetylgalactosamine, and galactose. amounts Comparable changes in chitin distribution during plant penetration have been reported for Colletotricum lindemuthianum (Chamberland et al. 1985; O'Connell and Ride 1990). Mendgen and Deising (1993) commented on the significance of such alterations to the surface of infection structures and associated differences in exposure of chitin at successive developmental stages, for successful infection by various biotrophic pathogens. Chitin was identified in cell walls of both fungi in interactions between the mycopathogen Trichoderma harzianum and sclerotia of the soil-borne plant pathogen Sclerotium rolfsii (Benhamou and Chet 1996). ConA labelling of host starch and fungal glycogen, similar to that in M. euphorbiae infection of *E. peplus*, was reported in wheat leaves infected by *Puccinia graminis* f. sp. tritici (Chong et al. 1986) and uninfected leaves of maize (Bouchet et al. 1984). The absence of label from haustorial walls in the present study may be due to the relatively young haustoria examined, since Chong et al. (1986) noted that ConA bound only to older haustoria. Although not distinguishing between  $\alpha$ -D-mannose and  $\alpha$ -D-glucose, ConA has a much higher affinity for the former (Bouchet et al. 1984). The small amounts of hexose localized in the hyphal cytoplasm of *M. euphorbiae* may represent storage products, as suggested by Clay et al. (1991) in the case of ConA-gold labelling in sporangial cytoplasm of Rhizidiomyces apophysatus. Comparison of the present evidence for galactose residues in hyphal and haustorial walls of *M. euphorbiae* with the findings of Benhamou et al. (1988), who did not detect galactose in hyphal walls of Fusarium oxysporum, but found small amounts in Ophiostoma ulmi, supports their view that such variations may correspond to different types of pathogen-host interactions. The concentration of galactose residues in lomasome-like structures of *M. euphorbiae*, apparently the first report of this in fungi, may indicate synthesis of galactolipids or galactose-linked protein, which could be significant in fungus-host interactions. Furthermore, both galactose and mannose are common components of membrane sphingolipids in the relatively few species of fungi where sphingolipids have been investigated (Losel 1988). The association of L-fucose with walls of intercellular hyphae of *M. euphorbiae* corresponds with previous evidence from walls of urediniosporelings of P. graminis tritici (Kim et al. 1982) and hyphae of the ascomycete Ascocalyx abietina (Benhamou and Ouellette 1986b). L-fucose has been reported to occur at non-reducing termini of glycoproteins and some glycolipids (Flowers 1981). Benhamou and Ouellette (1986a) suggested that these molecules may act as chain-stoppers in biosynthetic processes controlling the extent of chain elongation. β-glucosides, which were here localized in the fibrillar outermost layer of mesophyll cell walls of rust-infected E. peplus, appeared also to occur on the inner wall layer of xylem vessels but not in fungal walls or intracellularly. Benhamou (1988) did not find these residues in ascomycetes, but Bendayan and Benhamou (1987) detected β-glucosides in fibrillar wall layers adjacent to intercellular spaces of tobacco leaf tissue as well as in endoplasmic reticulum, nucleus and chloroplast stroma. It is of interest that the sites showing  $\beta$ -glucoside reactions in rust-infected *E. peplus* leaves correspond to those associated with peroxidase activity in rusted flax, investigated by Coffey and Cassidy (1984), who noted enhanced peroxidase activity preceding lignification of walls of incompatible host cells. In compatible host cells, evidence of peroxidase activity was found on the host tonoplast surrounding haustoria but on no other membranes. Polysaccharides associated with lignin may, however, also play other roles in host defence mechanisms. The present study has contributed further evidence that wall carbohydrate composition varies in different fungal pathogens and is affected by the age and location of the fungal structures. Using fluorescein isothiocyanate-labelled lectins and measuring fluorescence with a microscope photometer. Mendgen et al. (1985) demonstrated differences in surface carbohydrates of infection structures of Uromyces appendiculatus and Puccinia coronata with developmental and physiological stages. Mendgen and Deising (1993) have discussed corresponding changes in hydrolytic enzyme activity during infection by rusts and other biotrophic pathogens. Increasing sensitivity of methodology, e.g. combination with freeze substitution to improve cytochemical differentiation of intracellular processes, as in hyphal tip studies on Magnaporthe grisea by Bourett et al. (1993), changes in resins (Gruber 1987) and an extending range of probes with improved specificity (Sahai et al. 1993) have increased the power and reliability of cytochemical investigations. Quantitative analytical studies during successive phases of the complete life cycle of *M. euphorbiae* and other rust fungi within host plants may be expected to provide a better understanding of their physiological interactions and significance in pathogenesis (Baka and Losel 1998).

#### 5 Conclusion

Phosphatase activities have been localized cytochemically at haustorial-host interfaces of spermogonial-aecial and uredinial stages of Puccinia punctiformis, the monokaryotic phase of *P. menthae* and dikaryotic infections of *Uromyces vicia*fabae. ATPase activity, similar to that of the wall-lining plasmalemma of the host cell, was associated with the extrahaustorial membrane of the filamentous monokaryotic (M-) haustoria of the spermogonial-aecial infections but not with the dikaryotic (D-) haustoria of the uredinial infection. In P. menthae, ATPase activity associated with the extrahaustorial membrane decreased towards the distal region of M-haustoria, as previously reported for P. poarum. This supports the hypothesis that, in some cases, the membrane enclosing the apical portion of M-haustoria transiently resembles the extrahaustorial membrane of D-haustoria, whereas adjacent to older, proximal regions is functionally similar to the remainder of the host plasmalemma. ATPase activity was recorded at the fungal plasmalemma of both M- and D-haustoria of P. punctiformis but not in P. menthae. These results are in accord with the established view that the filamentous haustoria of the monokaryon are physiologically, as well as morphologically, less specialized than those of the dikaryon.

This investigation lends support to the evidence for structural and functional differences between haustoria of monokaryotic and dikaryotic phases of the rust life cycle, and for greater variability in the interfaces between monokaryotic structures and host cells than is found in the dikaryon. The increasing likelihood that such variation is a feature of the developmental stage indicates the need for time-course studies of haustorial differentiation in monokaryotic infections.

The distribution of two autoecious rust fungi within host leaves has been investigated with particular reference to the vascular system. Vascular infection by the thistle rust *Puccinia punctiformis* was low at the spermogonial stage but increased during the development of the uredinoid aecia. Aecial stages of *P. lagenophora* were accompanied by dense fungal growth in leaf veins of *Senecio vulgaris*. In both cases, host cells were penetrated by unspecialized, filamentous, fungal structures. No vascular infection by the dikaryon was found in the uredinial-telial phases of *P. punctiformis* on thistle leaves, although specialized D-haustoria were present with high frequency in mesophyll cells. These observations are consistent with the hypothesis that access to host translocates, afforded by vascular penetration, may compensate for the probably lower efficiency of the unspecialized filamentous haustoria found in spermogonial and aecial stages.

Cytochemical investigations of the interaction between *Melampsora euphorbiae* and its host *Euphorbia peplus* are described. Two types of the collar around the haustorial neck could be recognized, corresponding to the maturity of the haustorium. Using various lectin-gold complexes as probes, different glycoconjugates were revealed in the fungus and host. Chitin was found in walls of urediniospores and intercellular hyphae but not in haustoria. D-glucose and or D-mannose were strongly indicated in host starch grains and glycogen particles inside the intercellular hyphae, but only lightly in the fungal cytoplasm. Galactose residues and L-fucose were detected in fungal walls, more strongly in those of intercellular hyphae than haustoria. Galactose was also localized cytochemically in lomasome membranes of the fungus.  $\beta$ -glucosides were detected in the fibrillar wall material bordering intercellular spaces of host tissue (Baka and Losel 1998).

**Acknowledgments** As the authors of this chapter, we would like to thank Elsevier Publishing Company for granting us permission to use some of the previously published images of the first author's papers to be included in this chapter. The published papers are:

- Baka ZAM, Losel DM (1998) Ultrastructure and lectin-gold cytochemistry of the interaction between the rust fungus *Melampsora euphorbiae* and its host Euphorbia peplus. Mycol Res 102: 1387–1398.
- Baka ZAM, Losel DM (1992) Infection of vascular tissues by autoecious rusts, *Puccinia punctiformis* and *P. lagenophorae*: a cytological study. Physiol Molec Plant Pathol 40: 411–421.
- Baka ZAM, Larous, Losel DM (1995) Distribution of ATPase activity at the host pathogen interfaces of rust infections. Physiol Molec Plant Pathol 47: 67–82.

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# **Rust Haustoria**



Zakaria A. M. Baka

# 1 Introduction

Rust fungi are frequent names for members of the order Uredinales. All Uredinales are parasitic on plants, frequently resulting in catastrophic losses in a variety of significant crop species (Alexopoulos et al. 1996). Rust fungi, along with oomycetes that cause downy mildew and powdery mildew fungi, are members of the obligate biotrophs, a group of parasites that are incredibly successful. The phrase "obligate biotrophic" refers to a certain way of life in which the pathogen must have a living host in order to complete its life cycle (Silva et al. 2022; Faoro et al. 2022). In response, the host plant often sustains only minor harm over an extended period of time (Staples 2000). Rust fungi, which are among the most harmful pathogenic organisms of agriculturally significant crops and are widely spread, are responsible for major losses in quality and productivity.

However, due to their inability to reproduce and finish their life cycle on artificial medium, obligatory parasitic pathogenic microbes are still the subject of pathogenesis research (Mapuranga et al. 2022). Voegele et al. (2009) proposed the following criteria to characterize the obligatory biotrophs in order to distinguish them from hemibiotrophs and necrotrophs; (1) Obligate biotrophs lack the ability to be cultured *in vitro*, (2) generate highly differentiated infection structures, (3) have restricted secretory activity, (4) create a small contact zone between fungal and plant plasma membranes, (5) engage in long-term suppression of host defense responses, (6) form haustoria (specialized hyphae that penetrate host cells).

The rust fungi are obligate parasites of plants, which they rely on for food, reproduction, and the completion of their life cycles. Rust fungi, which parasitize a wide range of plants, including advanced monocots and dicots, number over 7000

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species. In economically significant, plant species like cereals, legumes, composites, and many trees, rust fungi cause diseases. The rust-colored masses of urediospores that are clonally formed on plant hosts are what give rust fungi their name. The rusts' life cycles, which can range from two to five distinct spore stages and encompass haploid, diploid, and dikaryotic nuclear states, make them scientifically fascinating. While some rust species only need one host to complete their life cycle, others need two hosts that are not related taxonomically. Rust species have evolved to be quite selective about the kinds of plants they can infect, inhabit, and reproduce on (Kolmer et al. 2009).

# 2 Rust Haustorium

Further differentiation signals are required to form infection structures. A topographical signal was demonstrated to be necessary for distinguishing of an appressorium from a number of rust species (Read et al. 1997). Urediospores with thick walls, black pigment, and ornamentation germinate with a germ tube, which upon coming into touch with a topographic signal of the right magnitude develops into a well-defined appressorium (Hoch et al. 1987; Staples and Hoch 1997). At the appressorium's base, a penetration hypha develops, and it enters the leaf through the stomatal hole (Boshoff et al. 2022). Within the stomatal cavity, a vesicle is created from which an infectious hypha arises. A haustorial mother cell, from which a haustorium is created, differentiates when it comes into touch with a mesophyll cell. Rust fungi create unique branches in their intercellular hyphae that pierce the host cell walls (Bushnell 1972; Harder and Chong 1991; Baka and Losel 1992a). In contrast to monokaryotic phases, these intracellular structures have been examined more thoroughly during dikaryotic stages. Harder (1978), Gold and Littlefield (1979), Al-Khesraji and Losel (1981), Glidewell and Mims (1979), and Borland and Mims (1980) all conducted light and electron microscopic studies of the intracellular structures of macrocyclic rusts in various phases (Al-Khesraji and Losel 1980). The monokaryotic phase typically appears to lack the typical dikaryotic infection haustoria (Rice 1927; Rijkenberg and Truter 1973; Losel and Lewis 1974; Harder 1978; Gold et al. 1979; Al-Khesraji and Losel 1981).

Numerous researchers have described the typical dikaryotic haustorium, which has an enlarged clavate or club-shaped body and a slender tubular neck (Littlefield and Heath 1979; Borland and Mims 1980; Al-Khesraji and Losel 1981; Coffey and Allen 1983; Heath and Bonde 1983; Harder 1984; Longo and Bruscaglioni 1986; Baka 1992, 1996a, b, 2002, 2014; Baka and Losel 1992b, 1998; Larous et al. 2008). A terminal cell of an intercellular hypha, the haustorium mother cell, which is connected to this haustorium, is a hypha. Numerous workers looked at the haustorium mother cell wall thickening at the penetration site (see reviews above). The haustorium's and its mother cell's cytoplasm is continuous and lacks a septum (Coffey et al. 1972). According to Heath and Heath (1975) and Mendgen (1975), the

cytoplasm is transferred from the haustorium mother cell to the haustorium. This is followed by vacuolation of the haustorium mother cell (Coffey 1976).

The majority of the rusts have a neckband on their dikaryotic haustorium (Rijkenberg and Truter 1973; Coffey 1976; Harder 1978; Borland and Mims 1980; Al-Khesraji and Losel 1981; Heath and Bonde 1983; Harder 1984; Longo and Bruscaglioni 1986; Baka 1992, 1996a, b, 2002, 2014; Baka and Losel 1992b, 1998). The neckband may serve as a seal to prevent an apoplastic flow of materials along the neck wall, according to theories put forth by Coffey et al. (1972) and Coffey (1976), or it may serve as a site of material exchange between the fungus and its host (Heath 1976; Baka and Losel 1998). There may be different haustorial morphologies (Rice 1927).

A somewhat electron-lucent layer, surrounded by the host plasma membrane, separates the haustorium's cytoplasm from the host cytoplasm. Ehrlich and Ehrlich (1963), Bracker (1967), Rijkenberg and Truter (1973), Coffey (1975), Fraymouth (1956), Ehrlich and Ehrlich (1963), Peyton and Bowen (1963), Hirata and Kojima (1962), and Ehrlich and Ehrlich (1971) all refer to this layer as a sheath, encapsulation, sac, and extrahaustorial matrix (Bushnell 1972; Coffey 1976; Hickey and Coffey 1978; Littlefield and Heath 1979; Al-Khesraji and Losel 1981; Harder 1984; Baka and Losel 1992b, 1998).

According to several researchers (Bushnell 1972; Coffey et al. 1972; Manocha 1975; Harder 1978; Baka 1992, 1996a, b, 2002, 2014; Baka and Losel 1992b, 1998), the extrahaustorial matrix contains more electron-dense materials as the haustorium ages. In other instances, a substantial extrahaustorial matrix surrounds the juvenile haustorium (Kajiwara 1971). According to Manocha (1966), a resistant host develops the extrahaustorial matrix around haustoria more quickly than a susceptible one. The extrahaustorial matrices of sensitive and resistant hosts, however, are similar in other situations (Zimmer 1970).

Materials may pass from the host to the haustoria or *vice versa* through the extrahaustorial membrane, which is the invaginated host plasma membrane surrounding the haustoria (Ehrlich and Ehrlich 1971; Littlefield and Heath 1979). There may be different amounts of nuclei in the haustorial body of dikaryotic infection (Table 1).

Littlefield and Bracker (1972); Coffey et al. (1972); Al-Khesraji and Losel (1981); Baka (1996a, b, 2014) found that haustoria contain mitochondria, ribosomes, endoplasmic reticulum, multivesicular bodies, vacuoles, lipid drops, and glycogen. Microbodies are infrequently discovered in the haustoria of rust fungus, according to Mendgen (1973) and Harder and Chong (1991) report.

## 2.1 Monokaryotic and Dikaryotic Haustoria

Plant infections called rust fungi can infect a variety of commercially significant crop species and make them diseased (Littlefield and Heath 1979). While the urediospore-derived dikaryotic stage penetrates plant tissue through stomata openings, grows between plant cells, and subsequently produces highly specialized

Rust fungus	Number of nuclei	Author	
Puccinia carthami	1	Zimmer (1970)	
P. helianthi	2	Coffey et al. (1972)	
P. poarum	1	Al-Khesraji and Losel (1981)	
P. podphylli	2	Borland and Mims (1980)	
P. polypogonis	2	Baka (1992)	
P. tuyutensis	2	Baka (1996a, b)	
P. punctiformis	2	Baka and Losel (1992b)	
P. pimpinellae	2	Baka (2014)	
Cronartium flaccidum	2	Longo and Bruscaglioni (1986)	
C. quercuum f. sp. fusiformae	2	Khan et al. (1982)	
Gymnospoangium juniper-virginiana	1	Mims and Glidewell (1978)	
Hemileia vastatrix	2	Rijo and Sargent (1974)	
Melampsora euphorbiae	2	Baka and Losel (1998)	
M. lini	2	Coffey (1976)	
Uromyces appendiculatus	1	Hardwick et al. (1971)	
U. euphorbiae	2	Baka (2002)	

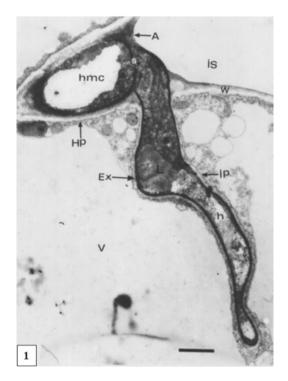
 Table 1
 Number of nuclei in D-haustoria of some rust fungi

D-haustoria inside mesophyll cells, the basidiospore-derived-monokaryotic stage typically directly penetrates plant epidermal cells, producing an intracellular hypha, which then branches out into an intercellular mycelium, which develops intracellular M-haustoria (Littlefield and Heath 1979). As a result, both growth phases result in the production of intracellular structures, or haustoria. The only plant structure that has been penetrated is the cell wall because the haustoria are still covered by the invaginated plant plasma membrane. Even so, because fungal structures are in close proximity to the plant protoplast, they have the ability to significantly rearrange organelles to be in close proximity to these structures for nutrient demands (Heath and Skalamera 1997).

# 2.2 Case Study: Haustoria of Puccinia punctiformis

#### 2.2.1 Filamentous Haustoria (M-haustoria)

During the P-PU (pycnial and primary uredial) stages of *P. punctiformis*, the mesophyll cells of *C. arvense* are penetrated by relatively unmodified haustoria differing in structure from the haustoria of uredial-telial stages. These haustoria are filamentous with irregular shapes (Figs. 1 and 2). There are two types of filamentous haustoria. The first type, which is observed during the pycnial stage, has a septum between the haustorial mother cell and the rest of the haustorium (Fig. 1). A wide proximal part and a narrow, distal, tapering end inside the mesophyll cell characterize this type. The host plasma membrane completely surrounds this type of



**Fig. 1** TEM micrograph of a section through penetration point of filamentous haustorium during pycnial stage of *P. punctiformis*. A septum (s) is formed between the haustorial mother cell (hmc) and the penetrating haustorium (h). The haustorium is characterized by a wide proximal part and an apparently narrower tapering distal end. The host plasma membrane (Hp) is completely surrounding the haustorium to become an invaginated plasmalemma (ip). Note a thin extrahaustorial matrix (Ex) between the invaginated host plasma membrane and fungal wall. Note also lipid drops (L) inside the haustorium. Amorphous material (A) can also be seen between the mother cell and the host wall. *Is* intercellular space, *W* cell wall, *v* host cell vacuole. Scale bar =  $1.0 \,\mu\text{m}$ 

haustorium and separates the host cytoplasm from a relatively thin extrahaustorial matrix, this matrix seems to resemble host wall material. The second type of haustorium, found during the primary uredial stage when pycnia have completely disappeared, is characterized by having no septum formed between the haustorial mother cell and the rest of the haustorium and by a constriction at the penetration site (Fig. 2). This type is also completely enclosed by a host plasma membrane and an extrahaustorial matrix, which is relatively thicker than those of the first type of haustorium and is of a fibrillar appearance like host wall material. The haustorial mother cell is seen embedded in the middle lamellae of the host cell wall. It also differs from the first type in the formation of a small collar, which lie on one side of the neck. No neckband is detected in filamentous haustoria of *P. punctiformis* after normal UA/PbC staining. During the pycnial stage, the haustorium is characterized by having only one nucleus in its cytoplasm (Figs. 3 and 4) and confirmed by light microscope examination after nuclear staining, although in rare cases, two nuclei

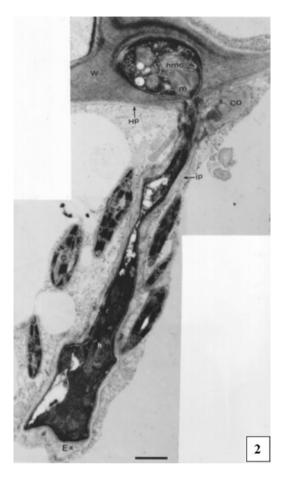
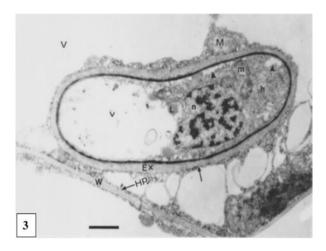


Fig. 2 TEM micrograph of a section through penetration site of filamentous haustorium of *P. punctiformis* in the mesophyll of *C. arvense* during primary uredial stage of infection. The haustorium mother cell (hmc) is embedded in the host cell wall (W) material. A constriction is formed between the mother cell and penetrating haustorium. The host plasma membrane (Hp) is completely enclosed the haustorium to become invaginated (ip). A relatively thick matrix, deposited on the fungal wall, is composed of fibrillar material. A smaller collar (CO) is formed at one side (probably the section is not completely median). Note a mitochondrion (M) begin to migrate from mother cell to the haustorium (h). Note also the host cytoplasm with its chloroplasts (C) around nearly the whole body of the haustorium. Scale bar =  $1.0 \,\mu\text{m}$ 

are found (Fig. 5). The haustoria during the primary uredial stage mostly have two nuclei. The nuclei are enclosed by a double membrane and contain variable amounts of heterochromatin and euchromatin. Nucleoli are not observed in the haustoria during the pycnial stage of infection but they are detected during the primary uredial stage. Figure 6 shows that the haustoria of the pycnial stage are completely enclosed by an extrahaustorial matrix, similar in its staining to the host cell wall, this matrix lying between the invaginated plasma membrane and the fungal wall.

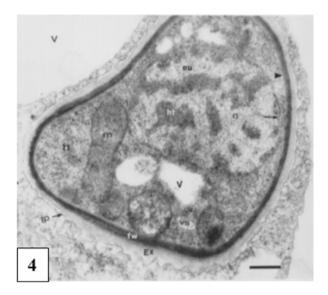


**Fig. 3** TEM micrograph of a longitudinal section of filamentous haustorium (h) of *P. puncitformis* inside mesophyll cell of *C. arvense* during the pycnial stage of infection showing nucleus (n), mitochondria (m), oil drops (L), glycogen (arrowheads) and big vacuole (v). Note the haustorium is enclosed by a matrix (Ex) stained similar to host cell wall (W) material. Note also an invaginated host plasma membrane (arrow), host plasma membrane (Hp) and host cell vacuole (v). Host mitochondrion (M) can also be seen which Is closely associated with the haustorium. Scale bar =  $1.0 \,\mu$ m

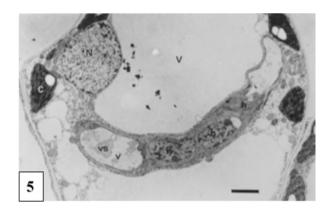
#### 2.2.2 Clavate Haustoria (D-haustoria)

Secondary uredial and telial (SU-T) stages are characterized by the formation of specialized haustoria with a clavate shape (which is mainly dikaryotic). A peg formed from the haustorial mother cell penetrates the host cell wall. At this stage, the haustorial mother cell wall, which lies in close contact with the host cell walls usually, becomes thick, and an extension of host wall material develops (Fig. 7). The haustorial neck then penetrates this wall material and a smaller collar is formed. This fibrillar collar usually appears as a limited extension from the host cell wall around the proximal part of the haustorial neck. The haustorial neck is greatly constricted at the penetration site and shows an electron-dense area (after UA/PbC staining), the neckband, on the neck wall (Fig. 8). A smaller electron-dense and slightly raised area in the host cell wall on both sides of the haustorial neck are seen (Fig. 8).

The haustorial neck, which penetrates the host cell wall, is continuous with the inner layer of the presumably acts as an adhesive material between the mother cell and the host cell wall (Fig. 9). Figure 9 also shows a vacuolated haustorium mother cell after complete migration of the cytoplasm to the haustorium. The haustoria of SU-T stages are characterized by a long-constricted neck and swollen haustorial body (Figs. 9, 10 and 11). Electron micrographs of mature haustoria of SU-T stages show that their bodies contain one nucleus (Fig. 9). The nucleolus is not observed in the haustoria of SU-T stages.

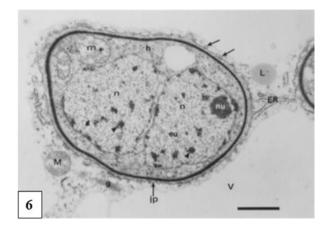


**Fig. 4** TEM micrograph of a transverse section of filamentous haustorium (h) during pycnial stage of *P. punctiformis* showing nucleus (n) with heterochromatin (ht) and euchromatin (eu). The nucleus is enclosed by a nuclear membrane (arrow). The haustorium is surrounded by an extra-haustorial matrix (Ex) and invaginated host plasma membrane (ip). Note fungal mitochondria (m), vacuole (v), vesicle (vs) and fungal plasma membrane (arrowhead). Note also an electron-dense fungal wall (fw) and host cell vacuole (V). Scale bar =  $0.5 \,\mu\text{m}$ 

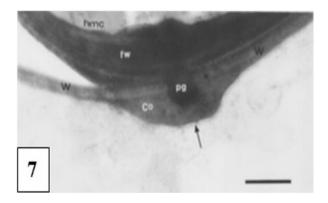


**Fig. 5** TEM micrograph of a longitudinal section of filamentous haustorium (h) during pycnial stage of *P. punctiformis* showing two nuclei (n), vacuole (v) and vesicle (vs). Note host chloroplasts (C), nucleus (N), and vacuole (V). Scale bar =  $2.0 \,\mu\text{m}$ 

Electron-dense bodies, which frequently appear near to the haustorial neck, are characterized in transverse section by a solid core, surrounded by a single membrane (Fig. 12), and may appear as bead-like groups, particularly around telial haustorium (Figs. 13 and 14). The clavate haustorium has two nuclei during the flecking

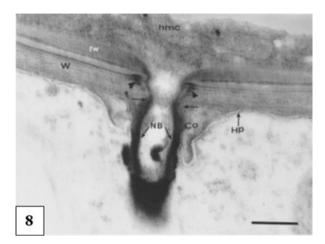


**Fig. 6** TEM micrograph of a filamentous haustorium (h) during the primary uredial stage of *P. punctiformis* showing two nuclei (n) with nucleolus (nu). Note small patches of heterochromatin (arrowheads) and large amounts of euchromatins (eu). Note that vesicle-like structures (arrows) are associated with the invaginated host plasma membrane (ip). Note also fungal mitochondria (m). Host endoplasmic reticulum (ER), mitochondria (M), Golgi bodies (g), vacuole (V) and lipid bodies (L) can also be seen. Scale bar =  $2.0 \,\mu\text{m}$ 



**Fig. 7** TEM micrograph of a haustorial mother cell (hmc) of secondary uredial stage of *P. puncti-formis* in the process of forming a penetration peg (pg). Note the thickening of the haustorial mother cell wall (fw) at the penetration site and the beginning of collar (co) formation from the host cell wall (W). Note also the host plasma membrane (arrow) around the collar. Scale bar =  $0.5 \,\mu\text{m}$ 

stage of secondary uredinia. The cytoplasm of filamentous and clavate haustoria of *P. punctiformis* contains mitochondria with plate-like cristae, endoplasmic reticulum, ribosomes, oil drops, vacuoles, and vesicles (Figs. 9, 12, 13, 15 and 16). Figure 15 shows an aggregation of big oil drops in a rosette arrangement and vesicles with electron-dense inclusions. All contents of filamentous and clavate haustoria are surrounded by the fungal plasma membrane. Both filamentous and clavate haustoria are enclosed by an extrahaustorial matrix, which is bounded by the



**Fig. 8** TEM micrograph of a section during the secondary uredial stage of *P. punctiformis* showing a more advanced penetration site than Fig. 7. Note a localized thickening of the haustorium mother cell (hmc) wall (fw) at the point of entry and part of the neck region with the neckband (NB). Note also the continuity of the haustorial mother cell wall with the haustorial neck wall, a constriction of the neck at the point of entry (arrows), host cell wall (W) and a small collar (Co) enclosed by a host plasma membrane (Hp). Electron-dense areas (arrowheads) on the host cell wall to either side of the neck can also be seen. Scale bar =  $0.05 \,\mu\text{m}$ 

invaginated host plasma membrane and varies in thickness, depending on the age of haustoria. In filamentous haustoria, this matrix consists of the deposition of variable amounts of materials, closely resembling the host cell wall, directly deposited on the more electron-dense fungal wall (Fig. 16). This matrix appears fibrillar in nature, particularly around old haustoria (Figs. 17, 18 and 19). The matrix around the clavate haustorium is more electron-dense than that of the filamentous haustorium and tends to be accompanied by fibrillar materials of electron density similar to the fungal wall, although in some cases, the matrix leaves an electron-lucent zone containing electron-dense deposits (Fig. 16). The extrahaustorial membrane of the filamentous haustorium appears similar in electron density to the uninvaginated host plasma membrane. Figure 19 shows an association of circular structures, probably the endoplasmic reticulum, with the extrahaustorial membrane. The extrahaustorial membrane of clavate haustoria is more electron-dense than the uninvaginated host plasma membrane (Fig. 19). The host nuclei, Golgi bodies, and ER are generally associated with both filamentous and clavate haustoria. The primary phases of development of P. punctiformis are characterized by monokaryotic intercellular hyphae during the pycnial stage and dikaryotic intercellular hyphae during the primary uredial stage. Figure 20 shows a diagrammatic representation of the comparison between filamentous (M-haustorium and clavate haustorium of P. punctiformis. Table 2 summarize the comparison between the filamentous and clavate haustoria of P. punctiformis.

Buller (1950) in his light microscope studies on the same rust fungus claimed that the systemic intercellular hyphae derived from overwintering are dikaryotic,

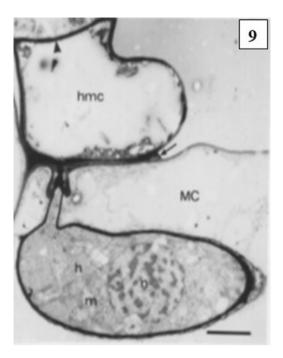
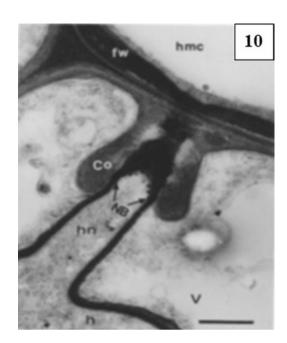


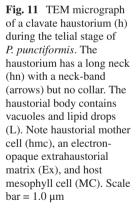
Fig. 9 TEM micrograph of penetration site of clavate haustorium (h) from secondary uredial stage of *P. punctiformis* showing a narrow neck with collar (Co). The haustorium body contains one nucleus (n) and mitochondria (m). The haustorium mother cell (hmc) become completely empty due to the migration of cytoplasm to the haustorium. Note a septum (arrowhead) between the mother cell and the rest of the intercellular hypha. Note also the host mesophyll cell (MC) and an amorphous material (arrow) deposited between the mother cell and host cell wall. Scale bar =  $2.0 \,\mu\text{m}$ 

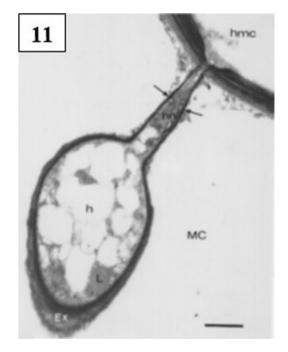
but that by de-dikaryotization (Littlefield and Heath 1979), haploid intercellular hyphae were produced to give pycnia and protouredia. The mycelia later become dikaryotic giving rise to primary uredia. According to Allen (1934), anastomoses between hyphae of compatible mycelia may occur. Dikaryotization involving nuclear migration has been reported in *P. helianthi* (Craigie 1959) and *P. graminis* (Craigie and Green 1962). This is may be supported by the present investigation where a nucleus appears to be beginning to migrate from one cell to another via the septal pore of the intercellular hypha. This is consistent with the absence of flexuous hyphae from the pycnium of *P. Punctiformis*. Wilson and Henderson (1966) considered the primary uredial stage of *P. punctiformis* as a uredinoid aecial stage.

Generally, the morphology of filamentous haustoria of *P. punctiformis* is similar to that of pycnial and aecial haustoria described by other workers with light and electron microscopy (Baka and Losel 1992a). The haustoria of pycnial and primary uredial stages of *P. punctiformis* are referred to as filamentous haustoria and not intracellular hyphae because they do not emerge from one cell to another like those observed by Gold and Littlefield (1979), and Heath and Bonde (1983), which are

**Fig. 10** TEM micrograph of a magnified part of Fig. 9 showing localized thickening of the haustorium mother cell (hmc) wall (fw) at the point of entry. The collar (Co) around the upper part of the haustorial neck (hn) and an electron opaque neckband (NB). Note host cell vacuole (V). Scale bar = 0.5 µm







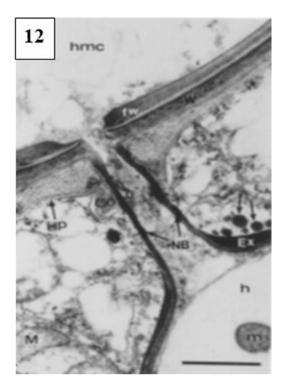


Fig. 12 TEM micrograph of penetration site of clavate haustorium (h) during telial stage of *P. punctiformis* showing a thick wall (fw) of the haustorial mother cell (hmc), haustorial neck with neckband (NB), and collar (Co) with fibrillar material. The part of the haustorial neck wall above the neckband, towards the haustorial mother cell, is more electron-dense than the part below the neckband toward the haustorial body. Note electron-dense bodies presumably tubules of ER with solid core bounded by a single membrane (arrows). Note also the continuity of the host plasma membrane around the collar. Electron-dense extrahaustorial matrix (Ex), host plasma membrane (Hp), and fungal mitochondria (m) can also be seen. Scale bar =  $1.0 \,\mu\text{m}$ 

generally termed intracellular hyphae. Moreover, since these haustoria may contain two nuclei at the primary uredial stage of *P. punctiformis*, the terms monokaryotic haustoria or P-haustoria (referring to their association with pycnial stage) are not suitable. This agrees with other observations on rust fungi (Allen 1934; Rijkenberg and Truter 1973; Robb et al. 1975a, b; Borland and Mims 1980). These types of dikaryotic haustoria differ from the dikaryotic haustoria of secondary uredial and telial stages of *P. punctiformis*, which are referred to in this account as clavate haustoria. In many ways, these differences are more or less similar to those summarized by Harder (1984). Generally, the ultrastructure of clavate haustoria of both secondary uredial and telial stages of *P. punctiformis* is similar to that of D-haustoria, described by other investigators.

Haustorial penetration seems to be both mechanical and enzymatic. This is clear during early stage of penetration from the presence of raised areas of the host wall and at the same time electron-dense areas of host wall at both sides of the penetration peg. The raised area may be attributed to mechanical force and electron-dense

Fig. 13 TEM micrograph of two transverse sections of clavate haustoria (h) during telial stage of P. punctiformis showing fibrillar nature of extrahaustorial matrix (Ex). Note large amounts of bead-like structures (arrows) suggesting tubules of host ER around the haustoria. Note also fungal mitochondria (m), fungal endoplasmic reticulum (er), and host cell wall (W). Electron-dense extrahaustorial membrane (ip) can also be seen. Scale  $bar = 1.0 \ \mu m$ 

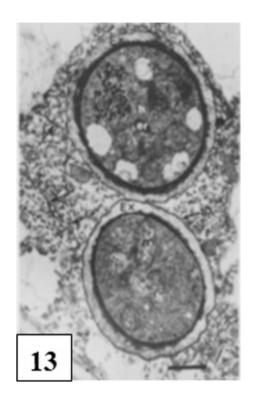
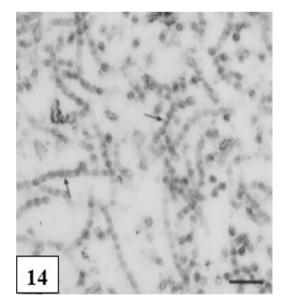


Fig. 14 EM micrograph of magnified part of Fig. 13 showing the bead-like structures (arrows) suggesting tubules of host ER. Each unit of the chain consists of a solid core surrounded by a single membrane. Scale  $bar = 0.25 \ \mu m$ 



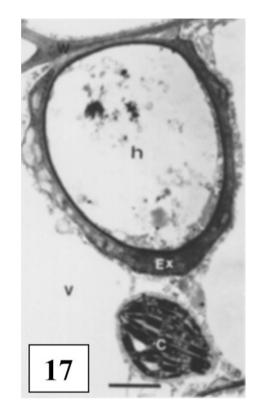
**Fig. 15** TEM micrograph of a clavate haustorium (h) during the secondary uredial stage of *P. punctiformis* showing an aggregation of large lipid drops (L) as a rosetteshape, mitochondria, and electron-dense vesicle (vs). Note invaginated host plasma membrane (ip) enclosed a fibrillar extrahaustorial matrix (Ex). Scale bar = 1.0 μm

Fig. 16 TEM micrograph of a transverse section of clavate haustorium during the telial stage of P. punctiformis showing several mitochondria (m), nucleus (n), endoplasmic reticulum (er), and vesicle (vs). Note an electrondense extrahaustorial matrix (long arrow) and invaginated host plasma membrane (arrowhead). Not also, the tubules of host ER (short arrow) are close to the haustorium. Scale bar = 1.0 um

15 16

areas may be due to reaction between host wall and enzymes produced from the fungus. This corresponds with observations of other authors who referred to the haustorial penetration as enzymatic (Littlefield and Heath 1979; Al-Khesraji and Losel 1981; Chong et al. 1981; Longo and Bruscaglioni 1986). The clavate haustoria of secondary uredial and telial stages of *P. punctiformis*, unlike the filamentous

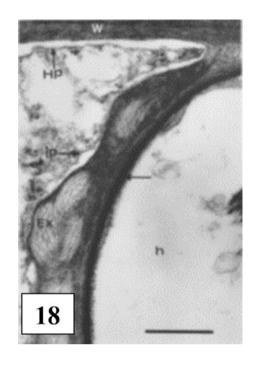
Fig. 17 TEM micrograph of a vacuolated filamentous haustorium (h) during P-PU stages of P. punctiformis showing an electron-dense extrahaustorial matrix (Ex) surrounding the haustorial body. The matrix is composed of a host wall-like material and shows a fibrillar appearance. The haustorium is highly vacuolated indicating that, it is old. Note host chloroplast (C) close to the haustorium. Note also the host cell vacuole (V). Scale  $bar = 1.0 \ \mu m$ 



haustoria, are characterized by an electron-dense neckband on the haustorial neck, observed after lead staining, which acts as a seal to stop an apoplastic flow of materials along the neck wall (Heath 1976). The neckband becomes visible with the appearance of the penetration peg prior to the formation of the haustorial body. This is consistent with the observation of Littlefield (1972) on Melampsora lini. The mature clavate haustoria of P. punctiformis are characterized by having a single nucleus without a nucleolus while the young haustorium during the flecking secondary uredial stage contains two nuclei. Many workers reported that the number of nuclei may vary in D-haustoria. The absence of nucleoli from mature clavate haustoria corresponds to the interpretation of Harder and Chong (1984). They suggested that the reduced granular component of nucleoli in haustoria is possibly because the haustoria are not actively involved in the synthesis of new material. It is assumed by Littlefield and Heath (1979) that at maturity, the haustorial body contains two nuclei while the haustorial mother cell is vacuolated and devoid of nuclei although in the present study only one nucleus is observed in mature clavate haustorium and the haustorial mother cell is completely vacuolated.

The filamentous haustoria of *P. punctiformis* are completely enclosed by host wall-like material which is referred to in this study as an extrahaustorial matrix (Bushnell 1972). This matrix becomes more fibrillar around the old vacuolated

Fig. 18 TEM micrograph of a magnified part of Fig. 4:32 showing fibrillar extrahaustorial matrix (Ex) of the haustorium (h). Note that invaginated (ip) and uninvaginated (Hp) host plasma membranes. Note also an electron-dense fungal wall (arrow). And host cell wall (W). Scale bar =  $0.5 \mu m$ 



haustoria than around the young haustoria. The collar and matrix are not exactly the same as the host wall material. This change may be due to other products of the host fungus interaction, In some investigations of M-haustoria, the matrix has not been distinguished from the collar material (Littlefield and Heath 1979; Rijkenberg and Truter 1973). The exrahaustorial matrix around either mono- and dikaryotic filamentous haustoria of *P. punctiformis* appears similar to the matrix of other mono-karyotic haustoria (Littlefield and Heath 1979; Al-Khesraji and Losel 1980, 1981). The matrix around clavate haustoria of *P. punctiformis* in the presence of electron-dense deposits which may originate from the fungus. This agrees with the observations of Al-Khesraji and Losel (1981) on the dikaryotic haustoria of *P. poarum*. This matrix may be a product of host-parasite interaction (Ehrlich and Ehrlich 1963; Zimmer 1970) or could be of fungal origin (Mims and Glidewell 1978; Glidewell and Mims 1979).

High-resolution autoradiographic studies have indicated that the matrix is of host origin (Mendgen and Heitefuss 1975; Mendgen 1979). Harder (1978) suggested that the matrix might be of both host and fungal origin. The variability in the appearance of the extrahaustorial matrix may relate to the age of the haustoria or the degree of compatibility with the host (Harder and Chong 1991). Both filamentous and clavate haustoria are surrounded by an invaginated host plasma membrane or the extrahaustorial membrane as named by Bushnell (1972) which appears continuous with the uninvaginated host plasma membrane. The filamentous haustoria are completely enclosed by the exbrahaustorial membrane which is separated from the fungal wall

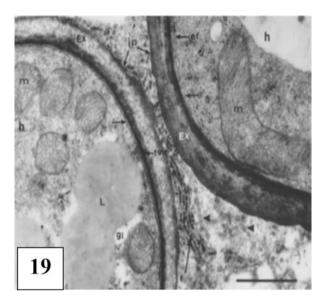
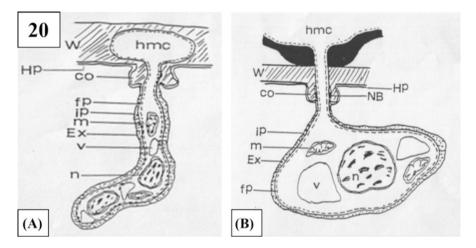


Fig. 19 TEM micrograph of two parts of haustorial bodies (h) during the telial stage of *P. puncti-formis* showing fungal wall (fw) and extrahaustorial matrix (Ex) bounded by electron-dense extrahaustorial membranes (ip). Electron-dense fibrills are seen in the extrahaustorial matrix probably derived from the fungal wall. Note fungal mitochondria (M), oil drops associated with electron-lucent granules suggesting glycogen (gl), endoplasmic reticulum (er), and fungal plasma membrane (small arrows). Note also the close association of host endoplasmic reticulum (large arrow) and tubules of ER (arrowheads) with the extrahaustorial membrane. Scale bar =  $0.5 \,\mu\text{m}$ 

by an extrahaustorial matrix, while in the case of clavate haustoria, this membrane is appressed to the haustorial neck, particularly at the neckband. This corresponds to the observations of Littlefield and Bracker (1972) on *Melampsora lini* and Harder et al. (1978) on *P. graminis*. This is similar to the membrane-wall relationship shown for the Casparian strip in endodermal cells of higher plants by Bonnett (1968) as was confirmed by Heath (1976) when she pointed to the functional similarity of the haustorial neckband of rust fungi and the Casparian strip of vascular plants. The undulation of the extrahaustorial membrane observed in clavate haustoria of *P. punctiformis* is in agreement with the report of Chong et al. (1981).

Gunning (1977) suggested that the undulated nature of the extrahaustorial membrane around fungal haustoria increased the surface area to facilitate the transfer of substances. Some vesicles are observed in contact with the invaginated PM around the filamentous haustorium of *P. punctiformis*. These are presumably smooth ER, although in most cases proliferation of rough ER is observed close to the filamentous and clavate haustoria of the parasite in this study, and no direct contacts are detected. Some workers reported that the ER in close association with invaginated PM was consistently ribosome-free (Heath and Heath 1971; Littlefield and Bracker (1972). Moreover, Morre and Mollenhauer (1974) concluded that smooth ER might be a transitional element between membrane systems. One striking feature



**Fig. 20** Diagrammatic representation of filamentous (**a**) and clavate (**b**) haustoria of *P. punctiformis*. Hmc = haustorial mother cell; W = Host cell wall; Hp = Host plasma membrane; co = collar; NB = Neckband; ip = invaginated host plasma membrane; Ex = Extrahaustorial matrix; n = Haustorial nucleus; v = haustorial vacuole; m = haustorial mitochondria; fp = fungal plasma membrane

-		
Item	FH of P-PU stages	CH of SU-T stages
Number of nuclei per fungal cell	Two	One or two
Nucleolus visible	Present	Absent
Adhesive material between the fungal wall and host cell wall	Absent	Absent
Collar	Present	Present
Constricted neck and neckband	Absent	Present
Localized thickening at point of entry	Absent	Present
Septation	Absent	Absent
Crystal-containing microbodies	Absent	Absent
Growth within host vascular tissue	Present	Absent
Contents of extrahaustorial matrix	Resemble host cell wall	Electron-dense material
Association with host nucleus	Present	Absent
Lomasomes	Absent	Absent

 Table 2
 Comparison between the filamentous and clavate (haustoria during the pycnial-primary uredial (P-PU) and secondary uredial-telial (SU-T) stages of *P. punctiformis*

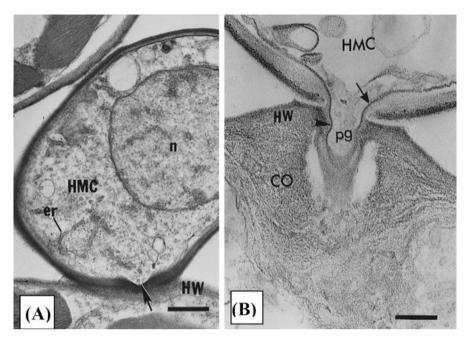
FH filamentous haustorium, CH clavate haustorium

All fungal structures contain mitochondria, oil drops, endoplasmic reticulum, glycogen-like particles and vesicles with membranous structures

frequently noticed in mesophyll cells invaded by clavate haustoria of *P. punctiformis*, was the accumulation of vesicles and tubules around the haustoria. These vesicles and tubules resembled those reported in tissues infected by *P. coronate avenae* (Chong and Harder 1982), *Melampsora lini* (Coffey 1976), *Physopella zeae* (Heath and Bonde 1983), P. coronata (Chong 1981). Similar vesicles, which were observed near the haustoria of *Physopella zeae* were suggested by Heath and Bonde (1983) to be of Golgi origin. Heath and Heath (1971) suggested that the vesicles attached to the host plasma membrane in cowpea infected with Uromvces phaseoli var. vignae possibly supplied the extra membrane needed to cover the developing extrahaustorial matrix. Harder (1978) noted that the vesicles and tubules associated with the haustoria of *P. coronata avenae* contained densely staining material similar to that in the extrahaustorial matrices of mature haustoria. This supports the idea that the extrahaustorial matrix is the host origin. It seems that the membrane complex found close to the haustoria is specific to the fungus and even to the infection stage. These types of vesicles and tubules were observed around clavate haustoria and not around filamentous haustoria although they invaded cells of the same host. These observations are in agreement with those of Harder and Chong (1984), who found similar membrane complexes in oat, induced by P. graminis f. sp. tritici and also in wheat, induced by *P. graminis* f. sp. *tritici* as distinct from the type induced in oat by P. coronata.

Harder and Chong (1984) concluded that the fungus is able to pass messages into host cell to alter specifically the metabolic processes in that cell. They added that these complexes are open directly to the extrahaustorial matrix and are themselves interconnected via the host ER system and suggested that these tubular complexes provide and facilitate the flow of metabolites. The contents of filamentous and clavate haustoria of *P. punctiformis* are basically the same. The vacuolation and oil drops are increased in old haustoria compared with young haustoria. Glycogen is detected in both filamentous and clavate haustoria. Some other workers have found glycogen in D-haustoria (Zimmer 1970; Coffey et al. 1972; Mares 1979). Generally, the growth of filamentous haustoria of *P. punctiformis* inside host cells is less than that of clavate haustoria.

Al-Khesraji and Losel (1980) presented quantitative data on the penetration of different stages of *P. poarum*. They found a lower frequency of penetration of host cells by intracellular structures in *Tussilago* than in *Poa*, suggesting that the mono-karyon of *P. poarum* may be less important in nutrition than the haustoria of the dikaryon. The high frequency of invaded vascular tissue may supply the fungus directly with nutrients rather than from mesophyll cells (Baka and Losel 1992a, b). The close association of host nuclei with filamentous and clavate haustoria is clearly evident except during pycnial stage and a greater degree of association is detected with filamentous haustoria during the primary uredial stage of *P. punctiformis*. Al-Khesraji and Losel (1980) pointed out that the pycnial and aecial stages of rust frequently elicit greater disturbance of normal host physiology than the uredial stages of rust fungi. Figure 20 shows a diagrammatic representation of both types of haustoria of *P. punctiformis*.

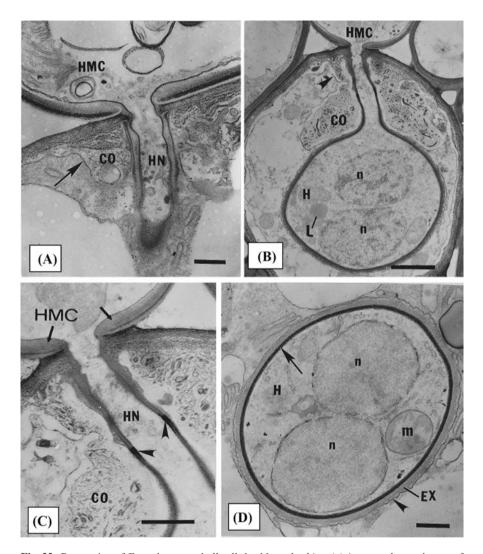


**Fig. 21** Penetration of *E. peplus* mesophyll cells by *M. euphorbiae.* (**a**) Haustorium mother cell (HMC) in close attachment to the host cell wall (HW) at the penetration site, where a tiny peg (arrow) is starting to form. The HMC contains nucleus (n), endoplasmic reticulum (er) and ribosome-rich cytoplasm. Bar =  $0.5 \mu$ m. (**b**) Point of entry of penetration peg (pg) from haustorium mother cell (HMC) through the host cell wall (HW). A collar (CO) as a response to penetration is formed. Note the continuity of plasmalemma (arrow) in HMC and inner layer of the penetration peg. Note also the constriction (arrowhead) of the penetration peg. Bar =  $0.25 \mu$ m

#### 2.2.3 Development of D-haustorium of Melampsora euphorbiae

Intercellular hyphae of the dikaryotic phase of *M. euphorbiae* in infected leaf tissue of *Euphorbia peplus* were septate and usually showed two nuclei per cell as well as the normal cytoplasmic organelles of eukaryotic cells (Baka and Losel 1998). Haustoria developed from specialized haustorium mother cells (HMC) which adhered to the host cell wall (Fig. 21a). A very slender penetration hypha emerged from the HMC at the point of contact with the host cell wall (Fig. 21b). Further development of the penetration hypha, the wall of which was continuous with the inner layer of the HMC wall, resulted in penetration of the host wall (Fig. 21b). Subsequent extension within the host cell formed the haustorium neck (sectioned obliquely in Fig. 22d), and expansion of the tip formed the haustorium body (Fig. 22d).

The penetration hypha was usually slightly constricted at the site of entry. Two types of collar deposits could be recognized surrounding the neck on the inside of the cell wall close to the penetration site. A small collar of microfibrillar material resembling the host cell wall in its intensity of reaction was associated with young haustoria (Fig. 22b), while a more extensive electron-lucent collar, appearing



**Fig. 22** Penetration of *E. peplus* mesophyll cells by *M. euphorbiae*. (**a**) A more advanced stage of penetration showing the haustorium neck (HN). Note the continuity of host plasmalemma (arrow) around the collar (CO). HMC = haustorium mother cell. (**b**) Median section through haustorium mother cell (HMC), neck, and mature haustorium (H), containing two nuclei (n) and lipid drop (L), inside the host cell. Note the extensive collar (CO) surrounding the neck and the continuity of the invaginated host plasmalemma (arrowhead) around the collar. Bar = 1  $\mu$ m. (**c**) Magnified part of Fig. 6 showing haustorium mother cell (HMC) with neckband (arrowheads). Note the thickening (arrows) of the haustorium mother cell (HMC) wall at the site of penetration and the collar (CO). Bar = 0.5  $\mu$ m. (**d**) Transverse section through the body of a haustorium (H) with two nuclei (n) and mitochondrion (M). The haustorial wall (arrow) is more electron-dense than the extrahaustorial matrix (EX) or the extrahaustorial membrane (arrowhead). Bar = 0.5  $\mu$ m

similar to callose, enclosed the neck of mature haustoria (Fig. 22a, b). Like D-haustoria of other rust fungi, the mature haustorium of *M. euphorbiae*, was clavate, having a narrow cylindrical neck with a distinct electron-opaque neckband in the wall midway along its length (Fig. 22a, b). The haustorium body usually contained two nuclei and oil drops (Fig. 22b, d). The host plasma membrane was invaginated around the collar and haustorium and also enfolded between the collar and haustorium (Fig. 22c).

#### 2.2.4 The Function of Rust Haustorium

It appears that rust haustoria perform two primary functions: controlling the hostparasite relationship and nutrient intake (Harder and Chong 1991; Mendgen et al. 2000). The host range of rust fungus, which is often constrained to related host species, has been extensively described through experiments. Comparing haustoria from related rust species showed that each species has different structural alterations (Berndt 1999). Additionally, interactions between various rusts and the same host plant exhibit species-specific interactions: *Puccinia graminis* causes endoplasmic reticulum-derived membranes with tiny interconnected tubules to emerge in oat plants, whereas *P. coronata* causes the formation of very distinct long, thin tubular extensions (Harder and Chong 1991). These findings imply that in addition to the signals shared by the parasite and host during the growth of infection structures (Heath 1997), species- or even race-specific signals may also be involved in the establishment of the fine structure of the haustorial parasite-host interface. Suppressors may be present in such signals.

It has been hypothesized that suppressors play a role in preserving the fundamental compatibility between biotrophic fungi and their host plants (Bushnell and Rowell 1981). Induced susceptibility, a phenomenon, provides support for suppressors. *Uromyces vignae*-infected French bean tissue allowed for the growth of secondary infections by a number of non-host pathogens (Fernandez and Heath 1991). There is little direct evidence of rust haustoria consuming specific host metabolites like sugars and amino acids. Radioisotope studies have been conducted for more than three decades (Mendgen et al. 2000). They are limited by the fact that any tagged substrate provided to infected host plants is partially digested before reaching the fungus. Additionally, <sup>14</sup>CO<sub>2</sub> or <sup>3</sup>H<sub>2</sub>O may form as products, depending on the isotope utilized, and skew the results. While attempts to distinguish between uptakes by intercellular hyphae vs. haustoria revealed certain trends, they were unable to determine the exact destiny of individual chemicals from the plant cell into the haustorium (Mendgen 1981).

Because haustoria are the only fungal structures present within the host tissue in powdery mildews, their function in the nutrient acquisition is more obvious. Feeding studies using radiolabeled sugars appeared to show that the primary carbohydrate acquired from epidermal cells is glucose rather than sucrose (Sutton et al. 1999). Manners (1989) proposed that the extrahaustorial membrane loses control of solute export and that ATPase activity of the haustorial plasma membrane would sustain a

high efflux of solutes through the haustorial interface based on inhibitor studies with haustoria of *Erysiphe graminis*. However, contradictory findings have been obtained using the lead precipitation method to identify relevant membrane ATPase activity on either the host or the fungal side (Woods and Gay 1988; Baka et al. 1995).

**Acknowledgments** As the author of this chapter, I would like to thank Elsevier Publishing Company for granting me permission to use some of the previously published images of my papers to be included in this chapter. The published papers are:

- Baka ZAM, Losel DM (1998) Ultrastructure and lectin-gold cytochemistry of the interaction between the rust fungus *Melampsora euphorbiae* and its host *Euphorbia peplus*. Mycol Res 102: 1387–1398.
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# **Recent Advancement in Fungal Biocontrol Agents**



Najam-ul-Sehar Afshan

# 1 Introduction

Globally, food production is at risk due to different plant diseases and pests, especially crops having increased yield are more frequently attacked by the pathogens and pests making them more vulnerable to diseases. Many living organisms including fungi, viruses, bacteria, and nematodes attack on plants and obtain nutrients from them. The use of synthetic pesticides (insecticides, fungicides, and herbicides) has become an important part of agriculture as pest management approaches mostly rely on them. Besides the prevalence of chemical approach on other control ways, the usage of manmade pesticides/fungicides in management of plant diseases/pests is gradually decreasing due to rising global concerns on hazards owing to the food and environmental residues (Juntarawijit and Juntarawijit 2018; Ons et al. 2020; Palmieri et al. 2022). Due to negligible harmful impacts on the environment and increased safety, usage of biological control agents to counter plant diseases and pests is becoming a more feasible and reliable alternative to synthetic pesticides and is accepted for use in organic cultivation (Thambugala et al. 2020; Collinge et al. 2022; Palmieri et al. 2022).

# 2 Fungal Biocontrol Agents (FBCAs)

Currently, usage of microbial antagonists against many plant diseases and pests is gaining importance as many commercial bioproducts comprising microbial BCAs have been effectively introduced in modernized agriculture (Thambugala et al. 2020). Recently, exploratory research work on naturally existing microorganisms,

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<sup>©</sup> The Author(s), under exclusive license to Springer Nature Switzerland AG 2023 Y. M. Rashad et al. (eds.), *Plant Mycobiome*, https://doi.org/10.1007/978-3-031-28307-9\_8

like fungi, for the prevention of crop diseases as biocontrol agents has become more intense. As biocontrol agents, the fungi can help against different pests like microbial pathogens and nematodes infecting different plant parts and provide shield against diseases by the support of biocontrol strategies like mycoparasitism, antibiosis, competition, conferring induced systemic resistance to the host plant, and mycovirus treated cross-protection. Hence, the utilization of a fungal biocontrol agent (FBCA) is now acknowledged as an increasingly developing natural process in the area of agriculture for improved yield of plants and increased food production (Singh and Giri 2017; Thambugala et al. 2020; Lahlali et al. 2022).

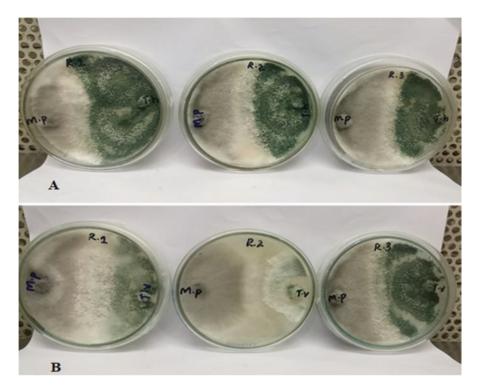
There is rapid increase in the application of FBCAs against plant pathogens because fungi are target specific and have a relatively high sexual and asexual reproductive frequency with a small generation time. Further, they are highly sustainable in the environment as they can persist easily in the absence of the host, living as a saprotroph instead of parasite (Thambugala et al. 2020). For improvement in biocontrol ability, advanced biotechnological and genetic techniques could be used for introducing desired beneficial fungal genes into the host plants genomes as well as these genes may be interrupted or overexpressed (Ghorbanpour et al. 2018).

Extensive research work has done to develop different fungal strains as the biocontrol means against plant diseases including *Trichoderma* spp., arbuscular mycorrhizas (AMF), ectomycorrhizas, yeasts, rust fungi and endophytes. Among these, the genus *Trichoderma* Pers. is the most prevalent one (Abdullah et al. 2021) including other fungi, such as *Alternaria* Nees, *Botrytis* P. Micheli ex Pers., *Aspergillus* P. Micheli ex Haller, *Fusarium* Link, *Gaeumannomyces* Arx & D.L. Olivier, *Phytophthora* de Bary, *Pyricularia* (Sacc.) Sacc., *Pythium* Pringsh., *Rhizoctonia* DC. (Pal and Gardener 2006; Adebola and Amadi 2010), *Penicillium* Link (Alam et al. 2011), *Gliocladium* Corda (Agarwal et al. 2011) and *Saccharomyces* Meyen (Nally et al. 2012).

Selected strains of nematophagous fungi can be employed as biological control agents to counter root-knot nematode, *Meloidogyne enterolobii* Yang & Eisenback under certain circumstances (Silva et al. 2017). Similarly, Arbuscular mycorrhizal fungi (AMF) can also provide resistance to plants against numerous soil-borne pathogens including root-knot nematodes, however, their mode of action is still obscure (Vos et al. 2012; Tariq et al. 2020).

Different fungal antagonists are significant for the regulation of plant pathogens and are being utilized as Biocontrol Agents (BCAs) globally. Thambugala et al. (2020) provided an inclusive list of different FBCAs used against fungal plant pathogens, clarifying their phylogenetic relationships following modern taxonomic concepts. Their review included details of about 300 fungal antagonists with their target pathogens and plant diseases. Among these, genus *Trichoderma* was found dominant having 25 BCAs against different plant diseases proliferated by fungi.

*Trichoderma* is a noteworthy mycoparasite and used as biopesticide against numerous aeronautical and soil-borne plant pathogens in field or greenhouse experiments. Many fungal cell wall-degrading enzymes like chitinases, hydrolases, 1,3- proteases, glucanases, and mannanases are produced by different members of this genus and are used in commercial agriculture including greenhouse industry (Thambugala et al. 2020). For determination of biocontrol process of different *Trichoderma* spp. against



**Fig. 1** (A) Interaction of *Trichoderma harzianum* and *Macrophomina phaseolina* (B) Interaction of *Trichoderma viride* and *Macrophomina phaseolina* 

fungal pathogen *Macrophomina phaseolina* (Tassi) Goid., *in-vitro* interaction of *M. phaseolina* with *T. harzianum* Rifai and *T. viride* Pers. was performed in Fungal Biotechnology and systematics Research Laboratory, Institute of Botany, University of the Punjab, Lahore, Pakistan by the author and her team. Zones of inhibition appeared indicating inhibitory effects of both antagonists against pathogen (Fig. 1).

Different filamentous fungi are also found very potential BCAs against different olive tree pathogens including fungi, bacteria and nematodes. A complete review was provided about all the experiments conducted to control Olive pathogens using FBCAs including mycorrhizal and endophytic filamentous fungi. They adopted various modes of action like activation of the plant's defensive responses, antibiosis, competition and parasitism etc. (Poveda and Baptista 2021).

### **3** Modes of Action of Fungal Biocontrol Agents (FBCAs)

The complexity in biocontrol mechanisms of FBCAs are primarily due to proteins and different molecules secreted by fungi working as effectors, antibiotics, elicitors as well as degrading enzymes (Srivastava et al. 2021). Different modes of action used by FBCAs have been identified and categorized as (Fig. 2):

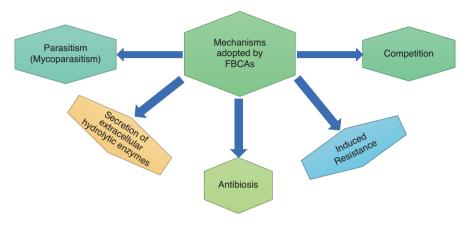


Fig. 2 Different mechanisms adopted by FBCAs

- (i) Direct antagonism (antibiosis, mycoparasitism and competition)
- (ii) Indirect antagonism (induced resistance etc.) (Raymaekers et al. 2020)

A single BCA depends on different mechanisms and its ability to adopt a specific mode of action against a plant pathogen varies according to the pathogen category, specific host plant and prevalent ecological conditions including temperature, pH and nutrient availability etc. (Vinale et al. 2008; Palmieri et al. 2022). So, there are four basic mechanisms adopted by fungal biocontrol agents against plant pathogens including (a) exploitation competition for resources i.e. carbon, nitrogen, oxygen etc. (b) antibiosis i.e. interference competition for space secreting toxic secondary metabolites (c) mycoparasitism and (d) induced resistance. Further, stimulation of plant growth due to improved nutrient absorption or through variations in plant hormonal pathways could be considered a fifth mechanism of biocontrol by rhizosphere bacteria and fungi. However, it is not recognized as a biocontrol strategy by some researchers (Jensen et al. 2017; Stenberg et al. 2021).

Comprehensive study on different fungal plant pathogens is required to understand plant pathogens, their diseases, modes of actions, disease cycles and pathogen/pest regulator strategies (Wang and Coleman 2019). Only scanty data is available on systematic research related to defense-response activation processes and signaling identification to understand key interactions between fungi and their hosts as well as disease-resistance peculiarities and mechanisms for major crop plants (Peng et al. 2021). Initiation of recent biotechnological methods will lead to increase the usage of fungal biocontrol agents (FBCAs) against different plant diseases. During past several years, numerous experiments have been carried out to identify and characterize novel FBCAs and assess their effectiveness against different plant pathogens under diverse environmental conditions. Here we provide details about some rapidly developing FBCAs and their modes of actions using recent advanced technologies.

#### 4 Modern Biotechnological Approaches

Recent development in biotechnological research has opened ways to the development of fungal BCAs that can be used against a wide range of plant diseases. The development and application of genomics, genetic engineering, and recombinant DNA techniques for the improvement of fungal strains for biocontrol mechanisms have brought a revolution. An acceptable and possibly long-term biocontrol option is the production of resistant crop varieties or clones in addition to identify fungal antagonists, their genetic traits and to evaluate their potential for enhanced biocontrol action (Janisiewicz and Korsten 2002; Droby 2006; O'Brien 2017; Thambugala et al. 2020).

The several lytic enzyme-encoding genes introduced into *Trichoderma virens* genome has emerged in a fungal strain showing significantly improved inhibition of the fungal plant pathogens including *Globisporangium ultimum* (Trow) Uzuhashi (= *Pythium ultimum* Trow), *Rhizoctonia solani* J.G. Kühn and *Rhizopus arrhizus* A. Fisch. (= *Rhizopus oryzae* Went & Prins.) by secreting a mixture of glucanases (Djonovic et al. 2007).

Genetic transformation of *Cyclaneusma minus* (Butin) DiCosmo, Peredo & Minter was done by using protoplasts produced through incubation with Glucanex<sup>TM</sup> enzyme. It was varied with a gene coding green fluorescent protein (GFP), allowing to recognize numerous *Trichoderma* strains having biocontrol capabilities against the disease (McDougal et al. 2012).

The usage of endophytic fungi as biocontrol agents have been studied in detail by different researchers indicating their biocontrol strategies as parasite causing direct inhibition of the pathogen, lytic enzymes and secondary metabolites formation and competition for nutrients and/or space (Chadha et al. 2015; Deshmukh et al. 2015; Card et al. 2016; Larran et al. 2016; De Vries et al. 2018; De Silva et al. 2019; Rabiey et al. 2019). It was also found that endophytes can activate systematic resistance through signaling pathways that are either salicylic-acid (SA) or JA/ET-dependent and may act as BCA by inducing host resistance (Li et al. 2018; Bastías et al. 2018; Vahabi et al. 2018; Poveda and Baptista 2021).

## 5 Microbial Consortia

Biocontrol research is primarily relied on the use of single microorganism, however, complicated interactions inside the soil microbial community and the environment have strong impact on the inoculant's functionality and persistence (Trivedi et al. 2020; Pozo et al. 2021). The biocontrol mechanisms using single microorganism that may be a fungus or a bacterium, sometime become useless due to the heterogeneity of the soil types, their characters and the variations in fungal pathogens (Niu et al. 2020; Trivedi et al. 2020). The reason behind this ineffectiveness is insufficient host colonization by useful microorganisms as well as their reduced effect on growth

and virulence of soil-borne pathogens. The major limitation for commercial exploitation of individual biocontrol agents is the comparatively narrow spectrum of their biocontrol activity against plant pathogens. A recent biological control approach to solve this problem is to develop mixture of microorganisms covering many target organisms and conditions inclusively (Kannan and Sureendar 2009; Faust 2019; Mitter et al. 2019).

In order to improve the efficacy and stability of microorganisms introduced in the soil and rhizosphere, a combination of BCAs comprising of two or more than two microbial strains, known as microbial consortia is developed (Niu et al. 2020). The layout of microbial consortia for the improvement of the recent biological control strategies is being considered a main process in biotechnology, and is also used in the sustainable agricultural practices (Minchev et al. 2021) for the prevention of soil-borne diseases. Different microbiota comprising of discrete application of fungi (Vargas-Inciarte et al. 2019) or prokaryotes (Liu et al. 2017; Palmieri et al. 2017) or use of a combination of bacteria and fungi (Minchev et al. 2021; Win et al. 2021) are available, for example, the insect pathogen *Metarhizium brunneum* Petch jointly with the FBCA *Clonostachys rosea* (Link) Schroers against fungal pathogen *Fusarium culmorum* (Wm.G. Sm.) Sacc. and root-feeding insects of wheat (Keyser et al. 2016; Collinge et al. 2022).

# 6 Myconanotechnology

The development of sustainable techniques and tools for biocontrol strategies circumventing traditional agriculture practices is the foremost requirement against virulent microbial pathogens of plants. Nanotechnology provides an explication to the rising challenges in plant disease management as the engineered nanoparticles (NPs) possess the desired shape and size having specific optical properties enabling them to be used for various agricultural applications especially as novel pesticide formulations with enhanced pathogen and pest control efficacies. Hence nanotechnology provides a good management alternative to tackle different plant diseases produced by bacteria, fungi, viruses and pests (Alghuthaymi et al. 2021; Mansoor et al. 2021).

A comprehensive review about the practice of different nanoparticles to prevent phytopathogenic fungi is provided by Cruz-Luna et al. (2021). For the regulation of plant diseases, silica, carbon, silver, and non-metal oxides or alumino-silicates are the most commonly used nanoparticles (Alghuthaymi et al. 2021), while silver nanoparticles (AgNPs) have excellent antimicrobial properties, followed by Cu nanoparticles (Thakkar et al. 2010; Ghosh et al. 2012; Mansoor et al. 2021). The formation of nanoparticles using microorganisms especially fungi is an emerging green nanotechnology and has recently developed as a novel way for nanoparticle synthesis as it is harmless in terms of toxicity while NPs developed through chemical and physical methods may cause environmental hazards by imparting toxicity (Bhattacharya et al. 2022).

Fungi have prodigious prospects in nanotechnology and are gaining more consideration due to their environment friendly nature of metabolite-mediated nanoparticles and have found properties in plant disease management practices by producing environment friendly, non-toxic fungicides and insecticides to improve agricultural productions (Elijah et al. 2021).

Myconanotechnology, an ally between mycology and nanotechnology (Hanafy 2018; Sousa et al. 2020) is of extreme importance due to presence of diverse fungal species (Khande and Shahi 2018) while mycofabrication is a term used for the formation and designing of metal nanoparticles by the use of fungi (Guilger-Casagrande and Lima 2019). For biological systems, many fungal extracts have been employed in the synthesis of silver (Ag) nanoparticles and are applied in agriculture sector, exhibiting good biocontrol potential against plant-pathogenic bacteria, fungi, insects and viruses because of their high bioactivity and antimicrobial properties (Tomah et al. 2020). Hence, the usage of AgNPs against plant pathogens can reduce the biocides and pesticides usage, improve the efficacy of agrochemicals (Ahmed and Dutta 2019; Ramírez-Valdespino and Orrantia-Borunda 2021) and show effectiveness against a broader range of microbes at very minute concentrations with almost slight intrinsic toxicity towards other life forms (Singh et al. 2016; Mohanta et al. 2018; Moradi et al. 2021). Myconanotechnology is an eco-friendly and economical choice and the use of fungi in this strategy is appealing due to production of different enzymes and their easy handling in the laboratory (Gade et al. 2010; Youssef et al. 2017; Adebayo et al. 2021; Bahrulolum et al. 2021; Zaki et al. 2022).

#### 6.1 Filamentous Fungi

Filamentous fungi including *Penicillium*, *Fusarium*, *Aspergillus*, and *Trichoderma* are the microorganisms having greatest potential for NP biosynthesis due to extracellular protein production, easy and extensive cultivation, biomass collection, greater tolerance to metals and resistance to high pressure (Narayanan and Sakthivel 2010; Vahabi et al. 2011; Salvadori et al. 2014; Guilger-Casagrande and Lima 2019; Rai et al. 2021).

Ramírez-Valdespino and Orrantia-Borunda (2021) reported that *Trichoderma* is the potential fungus used for the mycosynthesis of NPs and development of products useful in the improvement of crop weight and control of phytopathogens. The main NPs synthesized by *Trichoderma* include copper (CuNPs) and CuONPs, gold (AuNPs), ZnONPs, AgNPs, and selenium (SeNPs) showing antimicrobial activities.

A wider range of MtNPs such as gold, iron oxide, silver, and even bimetallic nanoparticles can be produced by filamentous fungi (Molnár et al. 2018) such as *Phoma* sp., *Pestalotiopsis* sp., *Humicola* sp., *Trichoderma* sp., *Fusarium oxysporum* Schltdl., *Aspergillus niger* Tiegh., *Amorphotheca resinae* Parbery (= *Hormoconis resinae* (Lindau) Arx & G.A. de Vries), *Phanerodontia chrysosporium* (Burds.) Hjortstam & Ryvarden (= *Phanerochaete chrysosporium* Burds.) and *Penicillium* spp. (Mittal et al. 2020; Rai et al. 2021).

*Trichoderma harzianum* is one of the utmost significant fungal species in agriculture serving as a biological control agent against phytopathogens. Different enzymes (N-acetyl- $\beta$ -D-glucosamine deacetyl transferase, chitinases, and proteases) released by this fungus are critical to mycoparasitism (Guilger-Casagrande et al. 2019; Konappa et al. 2021; Zaki et al. 2022). Due to its potential for the control of phytopathogens and ease to handle, *T. harzianum* has been greatly studied in the area of nanotechnology and biotechnology, offering new possibilities for the production of novel products (Fraceto et al. 2018; Zaki et al. 2021, 2022). Several novel fungal proteins are obtained from different fungal species having exceptional capping and reducing properties that may be utilized in the biological formation of different metallic nanoparticles (Li et al. 2012; Mohanta et al. 2018) and applied in agriculture sector as biocontrol agents.

#### 6.2 Mushrooms

The use of mushroom and their extracts in biological synthesis of AgNPs is a simple, novel, rapid, economical and eco-friendly approach. However, due to seasonal appearance of mushrooms along with their critical location of growth, very few reports exist about the applications of mushrooms in metallic nanoparticles synthesis (Owaid and Ibraheem 2017).

Numata et al. (2004) examined the role of mushrooms in myconanotechnology by producing nano-fibers from the purified polysaccharides ( $\beta$ -1,3-glucan) of *Schizophyllum commune* Fr. Then, proteins extracted from the substrate of *Pleurotus ostreatus* (Jacq.) P. Kumm., were used against microbes *in vitro* as nano-drugs (Vigneshwaran et al. 2007). Mohanta et al. (2016) reported mycosynthesis of AgNPs utilizing *Ganoderma lucidum* (Curtis) P. Karst. and *G. applanatum* (Pers.) Pat. extracts having higher antimicrobial activities. Inbakani and Siva (2017) described the biological synthesis of AgNPs from edible mushrooms extracts including *Agaricus bisporus*, *Calocybe indica* Purkay. & A. Chandra, *Pleurotus floridanus* Singer, and *P. ostreatus* as a bioreductant and biocontrol agent against various bacteria.

The wild mushroom *Ganoderma sessiliforme* Murrill was also used for the production of silver nanoparticles (AgNPs) and their antimicrobial activity was evaluated opposed to common food-borne bacteria. The synthesized AgNPs were found efficient biocontrol agents against food-borne pathogens, having promising application in the food packaging industry (Mohanta et al. 2018). The oyster mushroom was used in the production and application of nanoparticles especially AgNPs that showed repressive effects against many plant pathogens including bacteria, fungi, and yeasts etc. (Owaid 2019).

Production of mycosynthesized AgNPs from different mushrooms including *Agaricus bisporus* (J.E. Lange) Imbach (Owaid et al. 2020), and *Pleurotus* spp., having biocontrol potential against pathogenic fungi, yeasts, bacteria, and tumors

etc. have achieved recently (Owaid 2019; Jaloot et al. 2020). Similarly, Silver nanoparticles mycosynthesized from Shaggy Bracket fungus, *Inonotus hispidus* (Bull.) P. Karst. have been reported to have antimicrobial peculiarities against bacteria and fungi (Jaloot et al. 2020).

Silver nanoparticles were also prepared from crude polysaccharide extracts of *A. brasiliensis* Fr., *Agaricus bisporus*, and *Tropicoporus linteus* (Berk. & M.A. Curtis) L.W. Zhou & Y.C. Dai (= *Phellinus linteus* (Berk. & M.A. Curtis) Teng) and tested against many pathogens showing 100 times more effectivity than antibiotics. The nanoparticles synthesized from these mushrooms were found very effective against *Pseudomonas aeruginosa* and *Candida albicans* (Klaus et al. 2020).

Powdery Mildews caused by *Golovinomyces ambrosiae* (Schwein.) U. Braun & R.T.A. Cook on Sunflower (*Helianthus annuus* L.) is an important disease worldwide including Pakistan. In an experiment conducted by our team at Fungal Biotechnology and Systematics Research Laboratory, University of the Punjab, Lahore, Pakistan, the silver nanoparticles synthesized from *Pleurotus cystidiosus* O.K. Mill. were outspread to the Sunflower plants infected with powdery mildew fungus and found suitable in the control of this disease. Biochemical analysis was also done to observe the physiological changes in plants after treatments. The suppression in further growth of mycelia of the pathogen was observed after application of the silver nanoparticles (Fig. 3).

During green synthesis of MtNPs, it is important to identify most suitable fungus for making nanoparticles with the required characteristics, the suitable fundamentals for its growth, maintenance of sterile conditions and proper time needed for the fungus growth. However, more studies are required to unveil this important aspect of biocontrol research, as use of fungi for the green production of MtNPs has many possible applications especially in agriculture sciences for the regulations of plant pathogens, weeds and pests.

#### 7 Arbuscular Mycorrhizal Fungi (AMF)

Arbuscular mycorrhizal fungi (AMF) are the most ordinary and important group of fungi having definite inhibitory or antagonistic effect on soil-borne pathogens (Allsup et al. 2021). AMF plays significant role as biocontrol agent by regulating the production of secondary bioproducts in host plants by making changes in the morphological or microscopical structure of plant roots, improves the chemical and physical characteristics of the rhizosphere environment and competes with different pathogens for photosynthates and space as well as activates disease resistance and defense systems in plants (Aseel et al. 2019; Pozo et al. 2013; Singh et al. 2019). These different mechanisms/processes operate frequently and simultaneously making mycorrhizal fungi effective BCAs to counter fungal pathogens (Hilbig and Allen 2019), oomycetes (Hou et al. 2019), nematodes (Poveda et al. 2020) and/or bacteria (Poveda et al. 2021; Poveda and Baptista 2021).



Fig. 3 (A–H) Application of AgNPs from *Pleurotus cystidiosus* on sunflower plants infected with powdery mildew showing disease suppression

AMF has been extensively employed as an advanced biological control way against different phytopathogenic fungi. The role of AMF in biological control process is a recent pest control technology, as about 30 AMF species have found productive against plant soil-borne diseases (Weng et al. 2022). Therefore, detailed

analysis of the biological control mechanism of AMF against plant diseases is of great theoretical and practical concern (Lin et al. 2021).

AMF provides improved resistance against plant diseases caused by *Phytophthora parasitica* Dastur (Vigo et al. 2000; Liu et al. 2018), *Fusarium oxysporum* Schltdl. (Wang et al. 2012), and *Rhizoctonia solani* J.G. Kühn (Huang et al. 2020), and boosts mineral nutrient attainment, especially phosphorus (Smith and Read 2008). Mishra et al. (2018) reported a reduction in the occurrence of nematode attacks and fungal diseases on host plants by 44–57% and 30–42% respectively due to biocontrol potential of Arbuscular mycorrhizal fungi (AMF).

AMF may reduce disease severity as studies on inoculation of *Glomus mosseae* (T.H. Nicolson & Gerd.) Gerd. & Trappe on *Pisum sativum* showed reduction in the prevalence of powdery mildews (*Erysiphe pisi* DC.) indicating a decrease in the disease index (DI) from 55.2% to 28.7% (Liu et al. 2018). AMF also modify different defense mechanisms in plants such as stimulation of different plant biochemicals, damage compensation, increase in plant nutrients acquisition, and induction of disease-resistance genes capability to compete with plant pathogens for food products (Liu et al. 2018; Varma and Choudhary 2019).

Arbuscular mycorrhizal fungi are also known to increase plants protection against different biotrophic fungal pathogens including rusts and powdery mildews. To investigate the root colonization frequency of mycorrhizae of plants relative to powdery mildew disease, experiments were conducted on powdery mildew infected plants of *Helianthus annuus*. Results showed that plants affected with powdery mildew fungus *Golovinomyces ambrosiae* (Schwein.) U. Braun & R.T.A. Cook produce more arbuscules as the percentage of supply and exchange of nutrients increase with enhanced intensity of powdery mildew disease (Afshan et al. 2022).

AMF may lessen the utilization of pesticides by minimizing the destruction caused by fungi, bacteria, nematodes and other pathogens of different plants (Li et al. 2018; Weng et al. 2022). Moreover, the biocontrol peculiarities of AMF are wide-ranging and more distinct against fungal root pathogens than shoot ones. AMF is more effective biocontrol agent against a number of fungal pathogens including *Alternaria, Botrytis, Colletotrichum, Cylindrocladium, Erysiphe, Fusarium, Gaeumannomyces, Macrophomina, Rhizoctonia* and *Verticillium* etc. but is not much effective against many bacterial and viral pathogens (Pandit et al. 2022).

The limitations of AMF cultivation methods also restrict their application as their mass production through fermentation and industrialization is currently not possible. Therefore, rapid cultivation of AMF and assessment of microbial biocontrol including effect of biocontrol microorganisms on other microorganisms' diversity in the soil are future research priorities. The biocontrol mechanism of AMF is influenced by various biotic and abiotic factors. There is need for the monitoring factors to enhance the plant benefits including optimal inoculation period and dose, environmental conditions, farming methods and fertilization amount, should be considered in detail to launch a scientific and successful AMF biocontrol program (Weng et al. 2022).

#### 8 Rust Fungi

The plant rust fungi are considered important biocontrol agents because they are highly host specific, virulent, may cause infections directly through stomata or the host epidermis, and are wind dispersed (Gardner 2006; Morin et al. 2011; Barton 2012). Their high mobility within and between plant populations helps in long distance dispersal required for the prevention of invasive alien weed varieties occupying broad areas (Morin et al. 2012; Tanner et al. 2015). Globally, they are ever more recognized as being great potent and harmless biocontrol sources in classical weed biological control strategies.

The rust fungi are being employed for classical biological control (CBC) of weeds after the declaration of *Puccinia chondrillina* (Bubák & Syd.) Arthur & Mains against *Chondrilla juncea* (skeleton weed), in Australia in 1971 (Hasan & Wapshere 1973). After this, several rust fungi are reported and being employed as biological control agents against various noxious and invasive weeds that are a continuous threat to agricultural productivity and cause considerable reduction in the quantity and quality of crop production (Yandoc-Ables et al. 2006). Morley and Morin (2008) reported that *Endophyllum osteospermi* (Doidge) (boneseed rust) is a potential biocontrol source for boneseed *Chrysanthemoides monilifera* subsp. *monilifera* (L.) Norlindh, because it decreases reproduction and development of the plants, causing 'witches' brooms.

In Pakistan, parthenium weed (Parthenium hysterophorus) infected with rust fungus from different areas of Punjab and Khyber Pakhtunkhwa was taken and rust fungus was characterized and identified as Puccinia abrupta var. partheniicola (H.S. Jacks.) Parmelee. In order to investigate biological control potential of P. abrupta var. partheniicola against parthenium weed, post-infection biochemical analysis of healthy and infected plants was also performed. It was observed that chlorophyll, carotenoid, flavonoid and phenolic contents were higher in healthy plants as compared to infected ones. This work was a first attempt to describe the biological control potential of Puccinia abrupta var. partheniicola against Parthenium weed in Pakistan (Fig. 4). Recently, Puccinia rapipes Berndt & E. Uhlmann against Lycium ferocissimum Erkelenz, (African boxthorn) in Australia (Ireland et al. 2019); P. spegazzinii De Toni against Mikania micrantha Kunth in Australia (Anonymous 2020); P. abrupta var. partheniicola (H.S. Jacks.) Parmelee against Parthenium hysterophorus L. (Maharjan et al. 2020); Phragmidium violaceum against blackberries (Hennecke et al. 2021) and P. komarovii var. glanduliferae against an exotic weed Impatiens glandulifera Royle (Pollard et al. 2022) have been introduced as fungal biocontrol agents.

Recently, the employment of advanced molecular and omic tools in the processes of biocontrol potential of certain FBCAs is a major research tool. Studies based on these tools help in the selection of a suitable criterion for the choice and use of new FBCAs. Furthermore, recognition and regularization of FBCAs on plant body as well as in the environment, are being done using molecular tools, that is necessary for gaining information about the persistence of these agents (Palmieri et al. 2022). In order to take benefit of the antagonistic activity, knowledge of the particular



Fig. 4 (A–J) Parthenium plants showing development of rust infection & death of plant tissues

mechanism of biocontrol directed by FBCAs to counter plant pathogens at a molecular level is a fundamental requirement. Currently, the availability and development of next-generation sequencing (NGS) tools have brought revolution in the biocontrol field making it a functional approach (Collinge et al. 2022).

## 9 Recent Molecular Approaches

The production of whole genome sequencing, comparative genome and gene/protein expression studies for identification of molecular pathways have a tremendous impact in biocontrol techniques. Further, transcriptomics (RNAseq) and proteomics data, and leading genes are potentially contributing a significant role in facilitation of fungal biocontrol mechanisms. The molecular and omic tools can provide a better, effective and quicker election of microbial antagonists, a comprehensive knowledge regarding their modes of act, a critical inspection to improve FBCAs activity, thus simplifying their registration procedures (Palmieri et al. 2022). Previously, research on the interactions of Microbial biological control agents and targeted pathogen with the resident microflora have been hindered due to limitations of available molecular methods. The usage of Next Generation Sequencing (NGS) techniques entailing metatranscriptomics and metagenomics have allowed identification of the composition and roles of the microbiome (Massart et al. 2015a, b). Different studies on signalomics and metametabolomics (Mhlongo et al. 2018) provided comprehensive information on developed MBCAs and resident microbes interactions. Finally, a complete understanding of MBCA-microbiota interactions following recent molecular and omic approaches in the mechanisms of biocontrol activity will provide better formulation and timely application of MBCAs avoiding any further failure (Köhl et al. 2019).

To understand biocontrol and plant-growth promoting ways of filamentous fungi, many molecular techniques are applied alone or in combination. For example, gene expression changes and utilization of omics approaches in the well-known biocontrol and plant-growth fostering agent Trichoderma hamatum (Bonord.) Bainier and Trichoderma sp. during antagonistic interactions (Shaw et al. 2016; Sharma et al. 2017), comparative study of genomics and transcriptomics to explain the biocontrol mechanisms employed by different mycoparasites Paraphaeosphaeria minitans (W.A. Campb.) Verkley, Göker & Stielow (= Coniothyrium minitans W.A. Campb.) against S. sclerotiorum (Zhao et al. 2020), Chaetomium globosum Kunze against Bipolaris sorokiniana (Darshan et al. 2020), and Clonostachys rosea against Fusarium graminearum Schwabe (Demissie et al. 2020) were studied describing excess production of fungal cell-wall-degrading enzymes (FCWDs) during process of parasitism. Lysøe et al. (2017) reported an advanced method of course-based transcriptomic way to recognize genes manifested in a three-way relation between the Biocontrol agent, C. rosea, the pathogen Helminthosporium solani Durieu & Mont., and the host Solanum tuberosum.

The function of drug efflux transporters in the biocontrol process of *Clonostachy rosea* against *Fusarium graminearum* was also demonstrated using comparative genomics (Broberg et al. 2021) following classical RNAseq protocol, while dual RNAseq analysis was performed in other studies (Palmieri et al. 2022). The manipulation of the omics approach in biocontrol processes of FBCAs against plant pathogens not only provides an inclusive knowledge of the fundamental molecular processes related to this method but also helps in further experimentation using different functional genetics techniques including targeted mutagenesis or overexpression analyses etc. for the confirmation of a particular gene/pathway included in the proposed biocontrol phenotype. The genus *Trichoderma*, a filamentous mycoparasitic fungus is extensively studies using recent molecular mechanisms of biocontrol.

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## **New Perspectives on Fungal Siderophores**



Tarek A. A. Moussa, Younes M. Rashad, and Zakaria A. M. Baka

#### 1 Introduction

Fungi play a significant role in ecology and have a variety of effects on human life, both positively and negatively: they are key players in saprobic decomposition, significant plant symbionts (mycorrhiza), pathogens of plants and animals that affect human health as well as food production and preservation (decay, toxin production), serve as food or are used in the production of food (such as mushrooms, alcohol, bread, and mold cheese), and are crucial workhorses. Iron is a critical nutrient that affects all of these processes. Most fungal species handle iron using siderophores, which are ferric iron chelators unique to those particular fungi.

Iron is a transition metal, which has redox properties that enable it to exist in two oxidation states, ferrous ( $Fe^{2+}$ ) and ( $Fe^{3+}$ ) for the donation and acceptance of electrons, respectively (Haas et al. 2008; Blatzer et al. 2011; Johnson et al. 2012). Iron is the fourth most abundant metal on earth (Huber 2005; Gamit and Tank 2014), but its bioavailability is very low in an aerobic environment (in the presence of oxygen and at neutral pH) because iron is present as ferric hydroxides which is the major oxidized form found in aerobic environments (Oberegger et al. 2001; Johnson et al. 2012; Beckmann et al. 2013), it is insoluble and display a solubility below  $10^{-9}$  M at neutral pH, which is not enough to conduct vital processes (Ratledge and Dover 2000).

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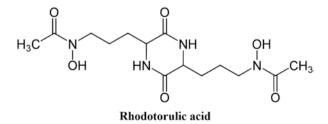
Siderophores (Greek for the iron carrier) are low molecular weight (500–1000 Daltons), organic, negatively charged molecules, high-affinity ferric iron-specific chelating compounds which are excreted by microorganisms to compete for iron with the host (Bruns et al. 2018). Siderophores' biosynthesis depends on iron availability, and their function is to supply iron to the cell. In the iron-limited environment, siderophores are excreted to mobilize extracellular iron while in an iron-supplemented environment, they are produced intracellularly for iron storage. In contrast to the reductive iron system uptake, siderophore is an essential determinant of the virulence of *A. fumigatus* and other pathogens (Leal et al. 2013).

According to the chemical nature of the moieties donating the oxygen ligands for  $Fe^{3+}$ , siderophores are classified into three main groups (Wencewicz et al. 2009): (i) aryl caps (catecholate and phenolates), (ii) carboxylates, and (iii) hydroxamates. Several bacteria produce mixed-type-siderophores that combine different  $Fe^{3+}$  ligands in one molecule but all fungi produce hydroxamate siderophores except certain zygomycetes which produce carboxylate siderophore rhizoferrin (Holinsworth and Martin 2009).

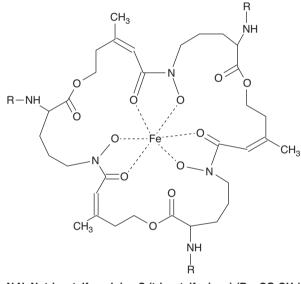
#### 2 Structure and Biosynthesis of Siderophores

Hydroxamates siderophores can be grouped into four structural families according to non-proteinogenic amino acid ornithine and different acyl groups:

(i) Rhodotorulic acid, the N-acetyl-l-N-hydroxyornithine diketopiperazine. It has primarily been discovered in basidiomycetous yeasts (van der Helm and Winkelmann 1994, 2020). The iron-bearing ligand is created by combining 3 mol of dimerum acid (DA), a dihydroxamate derivative of rhodotorulic acid, with 2 mol of iron (Fe<sub>2</sub>(DA)<sub>3</sub>). Comparatively speaking to the other three-hydroxamate groups, the binding of iron is weaker. Some phytopathogenic fungi, such as *Stemphylium botryosum* and *Epicoccum purpurescens* (Frederick et al. 1981; Manulis et al. 1987), and some therapeutically significant fungi, such as *H. capsulatum* (Burt 1982) and *Blastomyces dermatitidis*, generate dimerum acid.

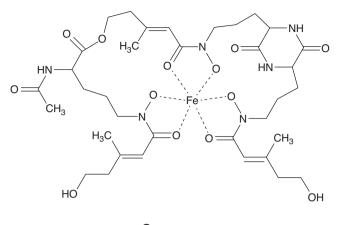


 (ii) Fusarinines, also called fusigens, may be cyclic or linear hydroxamates. N-hydroxyornithine is N-acylated by anhydromevalonic acid to form fusarinine. The zoopathogens *Fusarium* spp., *Paecilomyces* spp., and *Aspergillus* spp. all contain different fusarinines (van der Helm and Winkelmann 1994, 2020). *H. capsulatum* culture filtrates contained substances identified as transfusarinine and an unknown monohydroxamate, although they lacked biological activity (that is, they did not promote the growth of the fungus) (Burt 1982). Because it is generally known that *H. capsulatum* does not clone in its yeast cell phase of growth on most culture media in vitro, research on the siderophores of the fungus was started (Burt 1982). Burt employed culture filtrate-isolated hydroxamates to alleviate the growth restriction (Burt 1982). The same technique growth stimulation was employed in a *Paracoccidioides brasiliensis* study (Castaneda et al. 1988). *B. dermatitidis* siderophores coprogen B and DA were employed to increase *P. brasiliensis* plating efficiency (Castaneda et al. 1988).



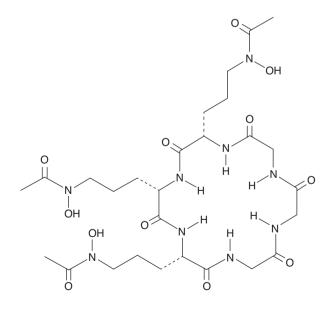
N,N ,N -triacetylfusarinine C (triacetylfusigen) (R = CO-CH<sub>3</sub>) and fusarinine C (fusigen) (R = H)

(iii) Coprogens, include 1 mol of acetic acid, 3 mol of anhydromevalonic acid, and 3 mol of N-acyl-N-hydroxyl-l-ornithine. In the coprogen, ferrichrome, and fusarinine families, 1 mol of iron combines with one ligand, in contrast to the case with the rhodotorulic acid family (van der Helm and Winkelmann 1994, 2020). Numerous plant pathogens, including *H. capsulatum* (Burt 1982), *B. dermatitidis*, and occasionally human infections *Fusarium dimerum* and *Curvularia lunata*, create coprogens (Manulis et al. 1987; Höfte 1993; van der Helm and Winkelmann 1994, 2020). The number of hydroxamate families would be reduced to three if the coprogens were thought of as trihydroxamate derivatives of rhodotorulic acid with a linear structure. It is understood that 1 mol of dimerum acid and 1 mol of trans-fusarinine arise from the hydrolysis of the ester group of coprogen B (Winkelmann 1993).



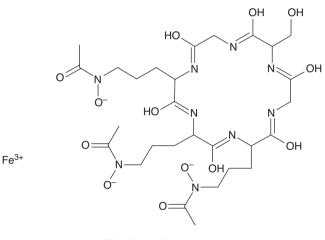
Coprogen

(iv) ferrichrome, cyclic peptides with combinations of glycine, serine, or alanine, as well as the tripeptide N-acyl-N-hydroxy ornithine (Haas et al. 2008). Some phytopathogenic fungi (Höfte 1993), *Microsporum* spp. (Bentley et al. 1986; Mor et al. 1992), *Trichophyton* spp. (Mor et al. 1992), and *Aspergillus* spp., including the significant pathogen *A. fumigatus*, are among the pathogenic fungi that make ferrichrome (van der Helm and Winkelmann 1994, 2020; Leong and Winkelmann 1998). Iron storage within cells is another use of ferrichrome.



Ferrichrome (iron-free)

Numerous hydroxamate siderophores, including ferricrocin, ferrichrome, ferrichrome C, and N,N,N-triacetylfusarinine C (TafC), are produced by *A. fumigatus* (Wallner et al. 2009). To maintain iron homeostasis, the four siderophores are secreted (Haas et al. 2008). TafC and ferricrocin are generated in the greatest amounts in iron-limited environments, particularly media-containing serum (Hissen et al. 2004). In addition to the two intracellular siderophores desferri-ferricrocin (DF-FC) and hydroxyferricrocin (HFC) for hyphal iron distribution and storage, fusarinine C (FsC) and desferri-triacetylfusarinine C (DF-TafC) are generated for the solubilization and uptake of iron (Haas et al. 2008; Wallner et al. 2009).



Ferricrocine

The main precursor of siderophores is the non-proteinogenic amino acid ornithine (Orn) which is produced in mitochondria from glutamate (Eisendle et al. 2003; Schrettl et al. 2004). The produced ornithine is either transported to the cytosol by *AmcA* (ortholog of *S. cerevisiae Arg11*) or converted into citrulline by the ornithine transcarbamoyl transferase (*ArgB*) in *A. fumigatus* (Jadoun et al. 2004). Production of ornithine involve six enzymes: acetylglutamate synthase, acetylglutamate kinase and acetylglutamyl phosphate- reductase that are encoded by *argEF* gene in *A. fumigatus* (ortholog of *Saccharomyces cerevisiae ARG5,6*), acetylornithine-aminotransferase, acetylornithine-deacetylase, and arginine biosynthesis bi-functional enzyme (Boonchird et al. 1991). The acetylglutamate kinase may be the rate-limiting step in arginine biosynthesis (Hilger et al. 1973).

The first shared step in the biosynthesis of all siderophores is the N5-hydroxylation of L-ornithine catalysed by the L-ornithine-N5-monooxygenase *SidA* (Eisendle et al. 2003; Hissen et al. 2004; Schrettl et al. 2004; Haas 2012, 2014). Then, the pathways for biosynthesis of extra- and intracellular siderophores to attach different acyl residues and formation of fusarinines and ferrichromes. For biosynthesis of fusarinine, the transacylase *SidF* transfers anhydromevalonyl (derived from meval-onate by CoA-ligation) to N5-hydroxy-L-ornithine and dehydration is stimulated

by the enzymes *SidI* and *SidH* (Schrettl et al. 2007; Yasmin et al. 2012). Nonribosomal peptide synthetases (NRPSs) is an enzyme family that is best known from secondary metabolism and responsible for formation of both of fusarinines and ferrichromes. The NRPS SidD links three N5-cis-anhydromevalonyl-N5hydroxy-L-ornithine residues by ester bonds and produce fusarinine C (FsC) and affinity for Fe(III) is increased (Eisendle et al. 2003; Schrettl et al. 2007). TAFC is derived by triple N2-acetylation of FsC catalysed by *SidG* (GNAT protein family) which its activity is important for biosynthesis of TAFC.

For biosynthesis of ferrichromes, two transacetylases are required for the N5-acetylation of N5-hydroxy-L-ornithine: the main enzyme *SidL* and an unidentified enzyme, which is upregulated by iron starvation (Blatzer et al. 2011). Both *SidF* and *SidL* belong to the GCN5-related N-acetyltransferases (GNAT). The NRPS *SidC* links three N5-acetyl-N5-hydroxy-L-ornithine, two L-glycine and one L-serine residue by peptide bonds and form the hexapeptide ferricrocin that improves the chemical stability. Hydroxy ferricrocin (HFC) is derived by single hydroxylation of ferricrocin (FC) stimulated by an unidentified gene product (Eisendle et al. 2003; Schrettl et al. 2007).

#### **3** The Function of Siderophores

Fungal siderophores have main functions: (i) uptake of iron bound to organic molecules such as citrate or haem, (ii) the absorption of iron by membrane-bound uptake systems, (iii) the secretion of siderophores, which are considered as secondary metabolites that form soluble Fe<sup>3+</sup> complexes; these complexes are then actively taken up via specific receptors, (iv) iron storage within mycelia and conidiospores (Boiteau et al. 2016). Additionally, Siderophores are responsible for their ability to access transferrin-bound iron and allow the growth of *A. fumigatus* in the presence of serum (Hissen et al. 2004; Tekaia and Latgé 2005). Also, the siderophore system is essential for the virulence of *A. fumigates*, particularly in pathogens that encode multiple siderophores (Bachman et al. 2012).

#### 4 Mechanism of Siderophores-Mediated Iron Uptake

Chelation of iron, uptake of the siderophore-iron complex, and intracellular release of iron are the steps involved in siderophore-mediated iron uptake. The iron is then supplied to the microbe via high affinity siderophore uptake mechanisms (Table 1). The breakdown product and iron-free siderophore are expelled at the conclusion. Numerous fungi have the ability to retain internal iron linked to cellular siderophores that are not expelled. When the hard Lewis acid Fe (III) is strongly solved in

Mechanism	Examples	Reference
Reduction of ferric to	Candida albicans	Morrissey et al. (1996)
ferrous iron	Cryptococcus neoformans	Jacobson et al. (1998)
	Geotrichum candidum	Mor et al. (1988)
	Saccharomyces cerevisiae	Lesuisse and Labbe (1994)
Siderophore acquisition o	f ferric iron Hydroxamates (f	amilies)
Rhodotorulic acid	Epicoccum purpurescens	Frederick et al. (1981)
	Histoplasma capsulatum	Burt (1982)
	Stemphilium botryosum	Manulis et al. (1987)
Coprogens	Curvularia lunata	van der Helm and Winkelmann (1994, 2020)
	Epicoccum purpurescens	Frederick et al. (1981)
	Fusarium dimerum	van der Helm and Winkelmann (1994 2020)
	Histoplasma capsulatum	Burt (1982)
	Neurospora crassa	van der Helm and Winkelmann (1994 2020)
	Stemphilium botryosum	Manulis et al. (1987)
Ferrichromes	Aspergillus spp.	Charlang et al. (1981)
	Epicoccum purpurescens	Frederick et al. (1981)
	Microsporum spp.	Bentley et al. (1986)
	Neurospora crassa	van der Helm and Winkelmann (1994. 2020)
	Trichophyton spp.	Mor et al. (1988)
	Ustilago maydis	Ardon et al. (1997, 1998)
Fusarinines	Aspergillus spp.	van der Helm and Winkelmann (1994, 2020)
	Epicoccum	Frederick et al. (1981)
	purpurescens	
	Fusarium spp.	van der Helm and Winkelmann (1994, 2020)
	Histoplasma capsulatum	Burt (1982)
	Paecilomyces spp.	van der Helm and Winkelmann (1994 2020)

 Table 1
 Mechanisms of iron acquisition by pathogenic fungi

(continued)

Mechanism	Examples	Reference
Unidentified in report	referenced	
	Absidia corymbifera	Holzberg and Artis (1983)
	Candida albicans	Holzberg and Artis (1983) and Ismail et al. (1985)
	Madurella mycetomatis	Mezence and Boiron (1995)
	Pseudallescheria boydii	De Hoog et al. (1994)
	Rhizopus arrhizus	Holzberg and Artis (1983)
	Rhizopus oryzae	Holzberg and Artis (1983)
	Scedosporium prolificans	De Hoog et al. (1994)
	Sporothrix schenckii	Holzberg and Artis (1983)
Polycarboxylates (rhi	zoferrin)	
	Zygomycetes	van der Helm and Winkelmann (1994) 2020)
Phenolates-catecholat	tes (chemical structures not identi	fied)
	Candida albicans	Ismail et al. (1985)
	Wood-rotting fungi	Fekete et al. (1983, 1989)
Miscellaneous iron re	sources Hemin	
	Candida albicans	Moors et al. (1992)
	Histoplasma capsulatum	Worsham and Goldman (1988)
β-Keto aldehydes (ph	ytotoxins)	
	Stemphylium botryosum	Barash et al. (1982)
Acidification and mol	bilization	
	Neurospora crassa	Winkelmann (1979)
	Saccharomyces cerevisiae	Lesuisse and Labbe (1994)

#### Table 1 (continued)

Adapted from Howard (1999)

an aqueous solution, siderophores can form a stable octahedral (Fe  $(H_2O)_6)^{3+}$  complex with ferric iron (Raymond and Dertz 2004). For instance, certain ferric siderophore transporters (which are members of the Siderophore-Iron-Transporter (SIT) family) supply Ferri-TafC (TafC+Fe) to cells (Haas et al. 2003; Raymond-Bouchard et al. 2012).

Iron is transported to the metabolic machinery (for iron transport) or to the intracellular siderophore FC (for iron storage) after chelation of iron and uptake, where TafC is hydrolyzed by the esterase EstB, where TafC degradation products (fusarinines) are excreted and the iron is stored as ferri-FC (FC + Fe) (Gsaller et al. 2012).

#### 5 Role of Siderophores

#### 5.1 Siderophore and Microbial Community

Accordingly, siderophores can speed up the dissolution of insoluble phases in minerals by forming a Fe(III)-siderophore complex at the surface of the mineral SS (Shirvani and Nourbakhsh 2010), which is subsequently transported into the soil solution and made available for microbial or plant absorption (Kalinowski et al. 2000; Kraemer 2004). Siderophores make iron more bioavailable to microorganisms, which led to a rise in the microbial population and a change in the microbial community of the soil (Sullivan et al. 2012).

## 5.2 Siderophores Promotes Plant Growth

Aspergillus niger, Penicillium citrinum, and Trichoderma harzianum siderophores lengthen the shoot and root of chickpeas (*Cicer arietinum*) (Yadav et al. 2011). Additionally, *Trichoderma asperellum*'s siderophore promotes cucumber growth by reducing salt stress (Qi and Zhao 2013). A type of symbiotic interaction known as ectomycorrhiza occurs when a fungal symbiont and a plant species' roots work together to give iron to the host roots of the plant (van Schöll et al. 2006). Phenolic exudates released from roots of transgenic plants encourage the growth of siderophore-producing microorganisms to enhance the solubility and uptake of iron by plants (Jin et al. 2010). In addition, plants produce phyto-siderophore to directly chelate iron (Masalha et al. 2000).

#### 5.3 Siderophores and Fungal Virulence

Here, the function of siderophores in virulence is briefly discussed. The function of siderophores in controlling host immune responses is then further discussed. There has been substantial research on how siderophores affect pathogen virulence in both plants and animals (Haas et al. 2008; Cornelis and Dingemans 2013; Franza and Expert 2013). Data on siderophores and plant defense mechanisms are limited in comparison to the extensive amount of research on animal defense mechanisms. However, current discoveries raise a number of intriguing issues about the siderophore-related immune manipulation pathways in plants.

Competition for iron between the host and the microbe may occur during a fungal infection. Fungi have created specialized systems to absorb haems or glycoproteins involved in iron transport, such as transferrin and lactoferrin, in order to steal iron from the host. In addition, due to siderophores' high affinity for iron, they can directly fight for this element (Caza and Kronstad 2013). Furthermore, multiple investigations show that siderophore synthesis by microbial pathogens is necessary for full pathogenicity in mammalian hosts. For instance, both intracellular and external siderophores contribute to the virulence of the extremely deadly fungus Aspergillus fumigatus. Mice exhibit decreased virulence and oxidative stress resistance when important siderophores-producing genes are deleted (Schrettl et al. 2007).

For full pathogenicity in their respective hosts, maize, rice, wheat, and *Arabidopsis thaliana*, siderophores are necessary in four ascomycete species, *Cochliobolus miyabeanus*, *C. heterostrophus*, *Fusarium graminearum*, and *Alternaria brassicicola* (Oide et al. 2006). It has been demonstrated that the hemibiotrophic fungus *Colletotrichum graminicola* must produce siderophores in order to be virulent in maize and resistant to oxidative stress (Albarouki et al. 2014). A siderophore-deficient mutant of the pathogenic fungus *A. alternata* on citrus exhibits reduced virulence (Chen et al. 2013).

#### 5.4 Siderophores and Plant Immune Responses

Because plants are subjected to a variety of biotic stressors, they have evolved a number of defense mechanisms to fend off possible plant diseases. Plants are capable of detecting pathogen attacks and activating complex signaling networks, which results in induced defenses that bestow a more tolerant condition, in addition to pre-formed physical and chemical barriers. Phosphorylation events, reactive oxygen species (ROS) buildup, cell wall rigidification, callose deposition, defense hormone signaling, and expression of genes encoding pathogenesis-related (PR) proteins are examples of induced innate immune activities (Nürnberger et al. 2004). Plants have sentry systems made up of proteins that recognize elicitors originating by possible microbial diseases in order to activate these defenses.

The coprogen production of the hemibiotrophic fungus *C. graminicola* on maize initiates defense reactions in the rhizosphere. It's interesting to note that during the early biotrophic phase of invasion, the genes involved in coprogen production are suppressed, and during the necrotrophic phase, they are up-regulated (Albarouki et al. 2014). Thus, at the early stages of infection, the fungus closely regulates the production of siderophores, likely to get around the plant's defense mechanisms.

The plant immune responses induced by the siderophores in A. thaliana were extensively examined to better understand the molecular pathways involved in siderophore-mediated immunity in leaves. The experiments conclusively demonstrate that iron scavenging causes immune responses using a number of potent iron chelators, including fungal siderophores and an artificial molecule called ethylene diaminedi (o-hydroxyphenylacetic) acid (EDDHA), which is not a natural product but is typically used as a fertilizer for plants in its Fe-EDDHA form (Dellagi et al. 2009; Aznar et al. 2014). As a result, the application of ferri-siderophores to leaves does not trigger an immune response. These findings call into question how siderophores trigger immunity.

A microarray investigation on the physiological processes that the siderophores induce was spurred by the question of the mechanism of action (Aznar et al. 2014). The most significant mechanism that this highly specialized iron chelator was predicted to regulate was iron homeostasis, and immunology was just a small response. Surprisingly, the most significant physiological function that siderophores in leaves appeared to trigger was plant immunity. Heavy metal homeostasis is the main process that is active in roots. Clearly, siderophore treatment simulates biotic stress.

By demonstrating the buildup of ROS and the defense hormones SA and JA in treated leaves, this biotic-stress-like response was confirmed. It's intriguing because although SA and JA signaling are typically antagonistic, the increase of both hormones after siderophore treatment seems incongruous. Although the consequences of crosstalk between SA and JA can vary depending on the spatiotemporal distribution of each hormone, it can be a very complex process (Thaler et al. 2012). Intriguingly, siderophore therapy that activates the SA pathway causes the JA pathway to be suppressed in *Arabidopsis* (Dellagi et al. 2009).

Therefore, siderophores have a function as elicitors but they can also disrupt the hormonal balance of the plant. In Arabidopsis leaves, siderophore infiltration results in cell wall rigidification, which is reflected in the buildup of callose along the leaf vascular system. The most likely explanation is that siderophores rapidly alter the iron status in the vascular system, which results in the formation of ROS and the deposition of callose along the veins. It has been shown that siderophore treatment causes several genes that are known to be up-regulated during iron deficiency to become up-regulated (Aznar et al. 2014). For instance, after treating leaves with various siderophores, the gene for the main iron transporter, iron-regulated transporter 1 IRT1, is highly up-regulated (Dellagi et al. 2009; Aznar et al. 2014). The ferritin-coding gene is also up-regulated 24 hours after siderophore therapy, indicating iron inflow in the cell or oxidative stress, and is down-regulated shortly after siderophore treatment due to a primary "iron deficiency"-like event. Iron and zinc concentrations in treated plant roots have increased, which suggests that siderophores affect the number of heavy metals in the plant. It's interesting to note that metal concentrations don't alter in leaves. However, the distribution of iron at the cellular level shows that siderophores lead to iron depletion in plastids and buildup in cell walls. Consequently, siderophores cause significant alterations in the distribution of heavy metals in the plant (Aznar et al. 2014).

These observations show that iron or other metal distributions are perturbed during immune activation, which is supported by other experiments. Treatment with a siderophore does not result in the expression of immunological markers in irondeficient plants (Dellagi et al. 2009). Additionally, siderophores fail to elicit the immunological responses seen in the WT in an irt1 mutant impacted by the absorption of many metals ( $Zn^{2+}$ ,  $Mn^{2+}$ ,  $Fe^{2+}$ ), including iron (Aznar et al. 2014). Metals can efficiently aid in the formation of ROS, one of the several processes by which plants defend themselves against diseases (Fones and Preston 2013). Changes in the distribution or status of the metal can prompt ROS and immunity locally as a quick response, but they can also do so as a secondary, delayed response after the metal has been taken up from the rhizosphere or perhaps after mobilization from other organs. Furthermore, the MYB72 gene may be a significant contributor to siderophore-triggered immunity through interfering with metal homeostasis. The iron deficiency in Arabidopsis necessitated the use of MYB72 and MYB10 (Palmer et al. 2013).

Alternately, siderophores may target the function of one or more proteins, similar to how pathogen effectors do, in which case metalloprotein modification may be involved. Immune responses will be triggered if this target protein is protected by a resistance-like protein, such as a member of the family of nucleotide-binding leucine-rich repeat (NB-LRR) proteins. In conclusion, since particular identification and the scavenging action are not mutually exclusive, siderophores may cause both to occur. Additionally, there can be variations in sensing mechanisms between various plant species. The iron scavenging effect, for example, does not appear to be involved in the process of coprogen defense activation in maize (Albarouki et al. 2014).

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# **Biogenic Synthesis of Nanoparticles Mediated by Fungi**



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### 1 Introduction

One of the most exciting developments in technology that the twenty-first century has brought forth is nanotechnology. The Greek word "Nanos," which means "dwarf" or "very little," is the source of the prefix "nano".

One nanometer is equal to one billionth of a metre, which is an incredibly minute measurement unit. Nanotechnology is a multidisciplinary discipline that merges several areas of science and technology through the use of materials in nanoform. It is important to recognize that the fundamentals of quantum physics have played a role in the revolutionary character of nanotechnology. The capacity to observe, measure, manipulate, assemble, control, and manufacture materials at the nanoscale scale is what is meant by "nanotechnology". Nanotechnology is the implementation of the theory behind nanoscience. It focuses on the synthesis of materials at a size of 1–100 nm and has applications in agriculture, medicine, the pharmaceutical industry, the environmental area, and other disciplines (Lateef et al. 2021). A significant aspect of nanotechnology is the creation and modification of materials at the nuclear level to give them innovative features that may be used in a variety of ways. As a result, this would result in the production of cutting-edge materials that have exceptional characteristics. In recent years, nanotechnology and nanoscience".

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When it comes to technological developments, nanotechnology has been at the forefront of the discussion and has garnered an increasing amount of attention over recent years. Nanotechnology has not only piqued the interest of people of all ages and backgrounds all around the globe, but it has also caught the attention of the general public. Because of the topic's possible uses in technology, there has been a significant rise in interest in it over the last several years, and there has been a meteoric rise in commercial interest (Romig Jr et al. 2007). As a scientific and engineering discipline, nanotechnology is about the designing, synthesis, characterization, and application of materials and devices that have at least one dimension of their functional organization on the nanoscale scale. At the nanoscale, quantum physics governs rather than classical physics governs the behavior of materials. Nanoscaled materials have unique qualities that make them superior and more desirable than bulk materials, owing to their extraordinary attributes (Bhagyaraj and Oluwafemi 2018). Moving from a macro to a micro perspective eliminates the possibility of this impact occurring. Nevertheless, once the nanoscale size range is reached, it emerges as the dominating force. In addition, a variety of physical characteristics, such as mechanical, electrical, optical, and so on, alter when nano-scales are compared to macroscopic ones. A change in the mechanical, thermal, and catalytic characteristics of materials may also result from an increase in the surface area to volume ratio at the nanoscale (Purohit et al. 2012).

#### 2 Nanoparticles

The term "nanoparticles (NPs)" refers to a broad category of materials that includes particulate compounds and must have at least one dimension that is less than 100 nanometers, which is much smaller than the bulk material. In the last 10 years, there has been an explosion of interest in the production of nanoscale materials, particularly metallic NPs, owing to the unique properties that these materials possess (Elmer and White 2018). Because NPs aren't simple molecules, they have three distinct layers as illustrated in Fig. 1, (a) the surface layer, which has the potential to be functionalized with a wide range of small molecules, metal ions, surfactants, and polymers. (b) The shell layer is composed of a substance that is completely unlike the core in terms of its chemical composition; and (c) The core, which is basically the centre piece of the NP and most often, refers to the NP itself (Shin et al. 2016).

The term "natural" may relate to either the shape of the materials or the aspect of the process. There are a variety of options, each of which requires more investigation to realize its full potential as a renewable resource for the natural production of NPs. Several types of yeast and bacteria that are not harmful provide useful possibilities for the production of a variety of nanoscale soluble particles. On the other hand, other plant products, such as herbs and debris from harvesting, might be fascinating alternatives. In a similar vein, grinding and homogenizing plant material may release a wealth of hitherto unexplored creative avenues and opportunities

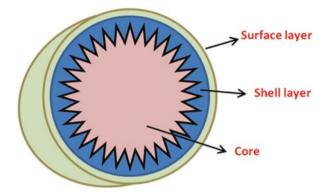


Fig. 1 Structure of nanoparticle (NP)

(Zhang et al. 2012; Liang et al. 2019). Bio-nanotechnology, together with phyto- or phyco-nanotechnology, is regarded as an exquisite twist in the realm of nanoscience. It gives a 'greener' aspect to the otherwise synthetic domain. As a component of bioremediation, the utilization of certain biogenic factories, the recovery of valuable materials from waste, and the efficient elimination of toxins are all now commonplace. Many applications in the natural and human sciences rely on NPs because of the large variety of activities in which they may be implemented, which is dependent on their size. It was the breakthrough in nanotechnology that signaled the beginning of a revolution in technology and a shift in the direction of human history (Nandini et al. 2017). Large quantities of bulk material were unable to compete with the widespread applications of nanoparticles because the latter owed their significance to the chemical, optical, mechanical, and magnetic properties that resulted from the increased surface area due to decreased particle size, while the former was devoid of this quality. Because of their one-of-a-kind characteristics and groundbreaking capabilities, NPs are increasingly being incorporated into everyday activities as well as the production of energy (Hasan 2015).

#### **3** Biosynthesis of NPs

The production of non-hazardous and biodegradable nanoparticles may be accomplished using biosynthesis, which is an environmentally responsible and green approach. Instead of using conventional chemicals for bioreduction and capping, biosynthesis produces nanoparticles using bacteria, plant extracts, fungus, and other biological precursors and precursor compounds. Unique and improved characteristics of biosynthesized nanoparticles lead to their use in biological applications (Hasan 2015).

NPs are progressively being used in new products and devices having an excellent impact on completely different fields from sensoristics to biomedicine. Microbial biosynthesis of nanoparticles is recently attracting interest as a brand new, exciting approach towards the development of 'greener' nanomanufacturing compared to classical chemical and physical approaches (Ribeiro et al. 2016). The most common microorganisms employed in nano-biosynthesis, mostly for medical applications, are bacteria and microalgae. Extracellular synthesis in yeasts and moulds is favourable for the potential re-use and for reducing the need for nanomaterial purification methods (Prasad et al. 2016).

The variation of microbiological systems needs a larger protocol standardization to get nanoparticles with increasingly uniform and reproducible chemical-physical characteristics (Hamida et al. 2021). In-depth knowledge of biosynthetic pathways and the potential benefits of genetic engineering are driving research into the development of ground-breaking microbial-based nano-synthesis for future scaling-up and potential economic exploitation of those promising nano-factories (Skeffington and Scheffel 2018).

The microbial nanoparticles synthesis has been extensively explored showing completely different advantages such as (i) synthesized nanoparticles have outlined chemical composition, size and morphology, (ii) biosynthesis is carried out under physicochemical conditions; (iii) simply handling and cultivating microbial cells and the possibility of cell culture scale-up; and (iv) Possibility of in vivo standardization of nanomaterial properties by genetic engineering methods or by adjusting critical cell culture operational starting parameters (Dragone et al. 2017).

It is crucial to understand the molecular biology and microbial genetics concepts behind the microbial nano-biosynthetic processes. Increased control over the chemical compositions, forms, and sizes of nanoparticles, for example, could result from the analysis of poorly understood biochemical mechanisms and the thorough identification of extracellular enzymes released by filamentous fungi (Al-Dhabi et al. 2018). The possibility of genetically engineering microorganisms to produce nanoparticles and calibrate their properties in vivo may be greatly increased by the availability of microorganism genome sequences (Voeikova et al. 2017).

The sequences of the genes involved in the synthesis of nanoparticles may be identified using biotechnological methods based on recombinant DNA technology, and their potential for heterologous expression (the controlled expression of the gene in a host organism) to increase the productivity of nanomaterials may also be explored (Husseiny et al. 2015).

#### 3.1 Myconanotechnology

Through a variety of interrelated biomechanical and chemical mechanisms, fungi exhibit a variety of skills in influencing mineral formation, dissolution, and toxicity as well as metal speciation. The formation of nanoparticles, which can be in elemental, mineral, or compound form, is a result of several metal-mineral interactions. Since some mycogenic nanoparticles will act as nano-enzymes mimicking enzymes, such as peroxidase, organisms may profit from the development of such nanomaterials by removing metal toxicity, being protected from environmental stress, and having better redox characteristics. As nanotechnology progresses, there is increased interest in using biological systems to produce nanomaterials, which could have positive economic effects and less negative environmental effects than traditional chemical synthesis. The formation of nanoparticles is a common result of many metal-mineral microbiological interactions, and fungi aren't an exception to the rule. The synthesis of CdS crystallites in yeasts is a prominent example of the latter, but the production may also be a direct or indirect result of metabolism and/ or the reactivity of structural components (Rai et al. 2021).

Myconanotechnology is the production of nanoparticles by fungi and their subsequent usage, primarily in healthcare, environmental, and agricultural products. It looks into different ways to make metal nanoparticles, as well as process methods, environmental protection, and prospects (Rai et al. 2021). Soil mycobiota will influence metallic zinc mobilization from ZnO NPs in soils. Therefore, Aspergillus niger, a typical soil flora, was selected to assess microbial interactions with ZnO NPs. As expected, the A. niger strain had a major significant effect on the stability of particulate forms of ZnO due to the acidification of its environment. Developing effective synthesis and nanoparticle extraction methods may benefit from research on the actual production of nanoparticles as well as the effects of different factors on metal ion reduction. Mycogenic nanoparticles, risk evaluation, protection, and control will also be covered. Fungi can manufacture several extracellular enzymes that hydrolyze complex macromolecules and leave behind a hydrolysate (Castro-Longoria et al. 2017). The metabolic capacity of its use in bioprocesses has been a significant source of worry for the application of fungi. The diversity of fungi has resulted in significant consequences for the mycogenic synthesis of nanoparticles, a key component of myco-nanotechnology (Rai et al. 2011).

Myconanoparticles are widely employed as nematicides, to clean wastewater, preserve food, detect and regulate pathogenic organisms, and for a variety of alternative products. Numerous fungal species produce mycogenic nanoparticles, which could be employed in various agricultural applications to boost crop yield by promoting growth and preventing diseases (Hashem et al. 2021). Additionally, this can increase how hazardous chemical pesticides and herbicides are to plant environments. Human infectious disease-causing microorganisms have been effectively inhibited by fungal-mediated nanoparticles, especially when it comes to infections that are multi-resistant to conventional antibacterial treatments (Alghuthaymi et al. 2021).

In a very broad range of scientific fields, including medicine, pharmaceuticals, agriculture, and electronics, fungi-mediated nanoparticles are successfully applied. Because of this, several analyses concentrated on the application of mycogenic nanoparticles against plant diseases, post-harvest antibiotics, mycotoxin management, and plant pests, as well as specific animal pathogens. Additionally, fungus-based nanomaterials have great promise for improved diagnostics, biosensors, precision agriculture, and targeted smart delivery systems (Rai et al. 2021). The development of antifungal nanohybrid agents containing conjugates of organic or inorganic compounds, biological elements and biopolymers was researched to get

cheaper, additional dependable and effective products against most fungal infections of plants and animals (Kalia et al. 2020). Since myco-nanoparticles are still in their infancy, much research should be conducted in this field. Plants, animals, and humans will all substantially benefit, thus it is important to develop methods that are both affordable and environmentally benign (Jagtap et al. 2021).

Since most fungi are easily cultivated under controlled conditions and are wellknown for the production of metabolites and enzymes linked to nanoparticles, we concentrate on fungi in this chapter. The production of nanoparticles can be a direct or indirect result of metabolism and/or the reactivity of structural elements, or it can be a part of a metal resistance mechanism. An example of the latter is the formation of CdS crystallites in some yeast, and the cell wall additionally offers abundant nucleation sites for their formation. Nanoparticles can be formed intracellularly or extracellularly (Jacob et al. 2016).

The mycogenic route for nanoparticles synthesis has been well recognized and chosen as better nano factories over bacteria and plants according to the following various reasons (Fig. 2):

(a) Exceptional protein secretor

Fungi produce large amounts of extracellular enzymes that catalyse the heavy metal ions and produce nanoparticles, and many may flourish within the presence of high metal concentrations due to various active and incidental mechanisms to combat metal toxicity due to which fungi can produce nanoparticles at a faster rate than chemical synthesis (Hashem et al. 2021).

#### (b) Easy culture

Fungi are easy to isolate and subculture as they have simple nutritional requirements. Serial dilutions, plating and hyphal extraction are the simple methods required to isolate fungi (Gade et al. 2010). A filamentous explorative mycelium

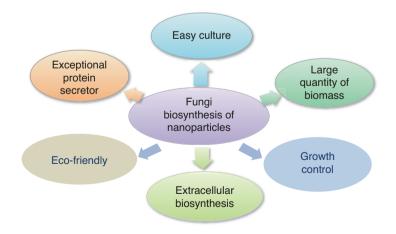


Fig. 2 Advantages of mycogenic synthesis of nanoparticles

made of fungal hyphae grows branches and fuses to have a high surface area to mass ratio and nutrient transfer capabilities. The hydrated mucilaginous sheath that frequently envelops hyphae serves as a matrix for geochemical reactions. Due to the numerous metal-binding functional groups inside the cell wall and accompanying extracellular polymeric substances (EPS) that serve as nucleation sites, the branching network offers an effective template for the creation of nanoparticles or nanominerals (Yu et al. 2020).

- (c) Large quantity of biomass (Gade et al. 2010).
- (d) Extracellular synthesis of nanoparticles

Fungi can produce nanoparticles extracellularly which is suitable for easier downstream processing and handling of biomass (Sahai 2010).

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(e) Growth control
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The enzymes secreted by fungi can be used to synthesize nanoparticles of defined size and shape. Fungi can sustain under high agitation and flow pressure as compared to other microorganisms and plants (Bhardwaj et al. 2020).

(f) Eco-friendly (Jagtap et al. 2021).

Several fungi species can be used to synthesize metal nanoparticles via extracellular and intracellular processes that are safe for the environment, clean, and non-toxic.

# 3.2 Strategies Used for the Fungal Biosynthesis of Nanoparticles

#### 3.2.1 Top Down

This includes the formation of nano-size material from massive substrates. It involves cutting, etching, and grinding by mechanical, chemical or electrochemical methods (Fig. 2) depending upon the nature of basal matter. This may be due to lots of impurities and structural defects in synthesized nanoparticles by lithography (Behari 2010).

#### 3.2.2 Bottom Up

It is mediated by the congregation of the substrate to atoms/molecules and assemblies into nanostructures like nanorods, nanotubes, nanowires or quantum dots. The key point in myco-synthesis of nanoparticles is the secretory enzymes having reducing power that is responsible for the reduction of metal compounds into a respective nanoparticle. The bottom-up approach for synthesis offers a diverse range of nanoparticles with more uniformity and fewer defects. The reason behind this is the

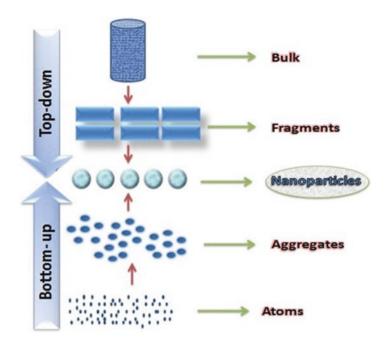


Fig. 3 Strategies of mycosynthesis of NPs

reduction of Gibbs free energy that results in the synthesis of nanoparticles which are close to thermodynamic equilibrium (Moghaddam 2010). Bottom-up approaches entail building structures through self- or positional assembly into crystals or tubes, followed by particle creation with nanoscale dimensions, which is the opposite of top-down approaches (Fig. 3).

Kashyap et al. (2013) reported the synthesis of nanoparticles by the use of fungi, which is a type of bottom-up strategy where most of the reaction is based on the reduction of the substrate, leading to the step-by-step formation of nanoparticles. There are several biotic and biotic factors which directly affect the myco-synthesis of nanoparticles such as temperature, pH, incubation conditions, time in the exposure of the substrate, the presence of a particular enzyme, metal species, biomass concentration of fungus and colloidal interaction conditions (Alghuthaymi et al. 2015).

#### 3.3 Mechanism of Myco-synthesis of Nanoparticles

Fungi will manufacture nanoparticles as extracellularly or intracellularly, but the mechanism is not understood completely. Putative mechanisms during intracellular synthesis include heavy metal binding to the fungal cell wall by proteins or enzymes present on it via electrostatic interactions (Kashyap et al. 2013). Additionally, the

metal ions are reduced by enzymes present in the cell wall. This leads to the aggregation of metal ions and the formation of nanoparticles. Extracellular synthesis of nanoparticles has advantages because it doesn't require the lysis of fungal cells, a downstream process for the recovery and purification of nanoparticles. Whereas in the case of intracellular synthesis recovery and purification of nanoparticles from fungi biomass is a tedious task and thus analytical equipment and long processing techniques are needed (Zhang et al. 2011).

While some nanoparticles will operate as nanozymes, mediating redox processes and catalysis, altering iron speciation, and organic matter breakdown, and offering protection against reactive oxygen species, organisms may benefit from metal detoxification and protection from environmental stress. Nanozymes are inorganic nanoparticles made of metals and metal oxides that replicate the functions of enzymes in redox processes. Most of the catalytic reactions mediated by nanozymes involve oxidase (OXD), peroxidase (POD), catalase (CAT) and superoxide dismutase (SOD) activities (Yu et al. 2020). To prevent oxidative stress and preserve redox balance, the antioxidant enzyme eliminates extra reactive oxygen species (ROS), such as superoxide  $(O_2^-)$  and hydrogen peroxide  $(H_2O_2)$ . What are the roles of biogenic nanomaterials in the co-evolution of the lithosphere and biosphere? is the question that is raised by the discovery that ferrihydrite nanoparticles produced by fungal transformation of hematite do indeed possess peroxidase activity, scavenge hydrogen peroxide for mitigation of cytotoxicity, and ensure the acquisition of essential iron. Moreover, several fungi are excellent candidates for metal immobilization, mineral dissolution and formation, and many can flourish in the presence of high metal concentrations due to various active and incidental mechanisms to combat metal toxicity (Chi et al. 2021).

## 3.4 Different Metallic Nanoparticles Are Produced During Myco-synthesis

Fungal nanotechnology examines a variety of metal nanoparticle syntheses, including processing methods, environmental preservation, and prospects. Certain nanomaterials, including *Trichoderma, mushrooms, Fusarium*, endophytic fungi, silver, gold, palladium, magnesium, zinc, copper, selenium, metal sulfides, cellulose, and titanium dioxide, as well as other important fungi (Prasad 2017). For example, *Rhizopus ory-aze* metabolites were utilized as a biocatalyst for the green synthetization of magnesium oxide (MgO-NPs) nanoparticles (Hassan et al. 2021). Silver nanoparticle formation by *Trichoderma virens* also requires silver ion reduction by nitrate reductase (Devi et al. 2013). One of the most widespread genera of fungi, *Fusarium*, is crucial to the manufacturing of numerous nanoparticles and can be seen as a nanofactory. This issue discusses the production of silver nanoparticles (AgNPs) from Fusarium, also its mechanism and uses (Rai et al. 2021). Myco-fabrication can be described as the synthesis of various metal nanoparticles via fungal species (Table 1).

Nanoparticles	Size (nm)	Shape	Species	Reference
Ag	-	Granular	A. pullulans, D. hansenii, C. albicans, R. rubra	Kierans et al. (1991)
	50-200	Spherical	Phanerochaete chrysosporium	Vigneshwaran et al. (2007)
	5–25	Monodispersed	A. fumigatus	Basavaraja et al (2008)
	5-200	Pyramidal	Cladosporium cladosporioides	Balaji et al. (2009)
	8.92	-	A. flavus	Sanghi and Verma (2009)
	20	Spherical	A. niger	Gade et al. (2008)
	15	Spherical	Volvariella volvacea	Shaligram et al. (2009)
	16 ± 6	Spherical	P. cyclopium	Wanarska and Maliszewska (2019)
	20–60	Polydisperse spherical	Alternaria alternata	Bansal et al. (2005)
Au	$20 \pm 2.0$	Spherical	Rhizopus oryzae	Das et al. (2012)
	43-63	_	A. niger	
	20	_	A. niger	
	8.7 ± 6	_	A. niger	
	6–37	_	A. oryzae	
	$3.5 \pm 3$	_	A. tamarii	
	5	_	F. oxysporum	
	$7.7 \pm 4.3$	-	Penicillium ochro-chloron	
	75	Heterogeneous	Penicillium sp.	
	15–20	Spherical	S. cerevisiae	Sen et al. (2011)
BaTiO <sub>3</sub>	20–30	Spherical	F. oxysporum	Riddin et al. (2006)
BiMnO <sub>3</sub>	50	Spherical	Humicola sp.	Mazumder et al (2007)
CdCO <sub>3</sub>	55-870	Granular	Neurospora crassa	Li et al. (2014)
CdTe	15–20	Spherical	F. oxysporum	Syed and Ahmad (2013)
CeO <sub>2</sub>	5-20	Spherical	A. niger	Gopinath et al. (2015)
CePO <sub>4</sub>	100–200, 500	Needles, spherical	S. cerevisiae	Jiang et al. (2010)
	54.85	Spherical	S. cerevisiae	Li et al. (2018)

 Table 1
 Fungal biosynthesis of nanoparticles

Nanoparticles	Size (nm)	Shape	Species	Reference
$\begin{array}{c} Cu_{2}CO_{3}(OH)_{2},\\ Cu_{3}\;(OH)_{2}\\ (CO_{3})_{2} \end{array}$	10–20	Granular	Neurospora crassa	Li and Gadd (2017a)
Cu, CuO	5-20	Spherical	Stereum hirsutum	Cuevas et al. (2015)
CuS	10-40	Irregular	F. oxysporum	Schaffie and Hosseini (2014)
CuAlO <sub>2</sub>	5	Spherical	Humicola sp.	Ahmad et al. (2007)
FeCO <sub>3</sub> , Fe	~80	Granular	Neurospora crassa	Li et al. (2020)
Fe <sub>3</sub> O <sub>4</sub>	20–50	Quasi-spherical	F. oxysporum	Vainshtein et al. (2014)
Hydronium- jarosite	-	Spherical	Purpureocillium lilacinum	Oggerin et al. (2013)
LaCO <sub>3</sub>	~80	Needles	Neurospora crassa	Li and Gadd (2017b)
LiFePO <sub>4</sub>	-	Blocky	S. cerevisiae	Cao et al. (2018)
	_	Amorphous	S. cerevisiae	Zhang et al. (2013)
MgO	45.12–95.37	Heterogeneous	Trichoderma viride	Alrabadi et al. (2017)
MnCO <sub>3</sub> /Mn <sub>2</sub> O <sub>3</sub>	20	Lamellar	Neurospora crassa	Li et al. (2016)
NiCO <sub>3</sub>	60	Granular	Neurospora crassa	Li et al. (2019)
Ni oxide	1.25–3.8	Spherical	Hypoxealixii	Salvadori et al. (2015)
PbSe	20–160	Rods	A. terreus	Jacob et al. (2014)
Pt	10-100	Hexagonal, pentagonal, spherical, cuboid	F. oxysporum	Riddin et al. (2006)
Pd	10–20	Spherical	S. cerevisiae	Saitoh et al. (2020)
Se	70–90	Spherical	Magnusiomyces ingens	Lian et al. (2019)
Se, Te	48–78	Granular	Aureobasidium pullulans, Mortierella humilis, Trichoderma harzianum, Phoma glomerata	Liang et al. (2019)
SmPO <sub>4</sub>	50-200	-	S. cerevisiae	Jiang et al. (2018)
SrCO <sub>3</sub>	10–50	Needles	Fusarium oxysporum	Rautaray et al. (2004)
Те	20-465	Needles	P. chrysosporium	Espinosa-Ortiz et al. (2017)

 Table 1 (continued)

Nanoparticles	Size (nm)	Shape	Species	Reference
Ti/TiO <sub>2</sub>	2–16	Spherical	Trichoderma harzianum	Jayaseelan et al. (2013)
TiO <sub>2</sub>	6.7 ± 2.2	Spherical, oval	S. cerevisiae	Peiris et al. (2018)
	10	Oval	S. cerevisiae	He et al. (2011)
U(VI)	50-100	Needles	<i>Geotrichum</i> sp.	Zhao et al. (2016)
ZnO	-	Cuboid	Pichia fermentans	Chauhan et al. (2015)
ZnS	42	Spherical	F. oxysporum	Mirzadeh et al. (2013)
Zn <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub>	10–80 nm in width and 80–200 nm in length	Butterfly-like	S. cerevisiae	Yan et al. (2009)
ZrO <sub>2</sub>	7–8	Spherical	F. oxysporum	Bansal et al. (2004)

 Table 1 (continued)

#### 3.4.1 Silver Nanoparticle Production (AgNP)

Min et al. (2009) reported that silver nanoparticles have a high fraction of surface atoms which shows a more antimicrobial effect compared to bulk silver. Synthesis of silver nanoparticles has been investigated utilizing several ubiquitous fungal species including *Trichoderma* (Vahabi et al. 2011), *Fusarium* (Durán et al. 2005), *Penicillium* (Hemath Naveen et al. 2010), and *Aspergillus* (Bhainsa and D'Sousa 2006). Extracellular synthesis has been demonstrated by *Trichoderma virde*, *Fusarium oxysporm*, *F. semitectum*, *F. solani*, *Aspergillus niger*, *A. flavus* (Jain et al. 2011), *Pleurotus ostreatus*, *Cladosporium cladosporioides* (Vahabi et al. 2011), *Penicillium brevicompactum*, *Epicoccum nigrum*, *Chrysosporium tropicum*, and *Phoma glomerata*, while intracellular synthesis was shown to occur in a *Verticillium* species, and in *Neurospora crassa* (Mukherjee et al. 2001).

Rathod and Ranganath (2011) have reported the extracellular synthesis of monodispersed AgNPs by *Rhizopus stolonifer* which is cost-effective as well as ecofriendly and characterized by UV-Vis, SEM, TEM, FTIR and AFM. Further, they have also extended their studies on determining the antibacterial activity against multidrug-resistant as *Pseudomonas aeruginosa*, *E. coli* and *S. aureus*. Jain et al. (2011) confirmed the presence of an extracellular protein of molecular weight 32 kDa during the synthesis of silver nanoparticles using cell filtrate of *A. flavus*.

Furthermore, Endophytic *Pestalotia* sp. isolated from leaves of *Syzgium cumini* has been used to produce spherical and polydispersed AgNP having an average size of 12.4 nm. They have reported this silver nanoparticle as a better antimicrobial agent by evaluating its antibacterial activity against *S. aureus* and *S. typhi*. Sanghi and Verma (2009) synthesized protein-capped AgNP using fungus proteins of *Coriolus versicolor*. The amino group of protein was found to be bound on AgNP

as determined by FTIR. The endophytic *Penicillium* sp. isolated from *Curcuma longa* leaves were found to be an excellent producer of silver nanoparticles as reported recently. Qian et al. (2013) synthesized AgNps from an endophytic fungi *Epicoccum nigrum* isolated from the cambium of *Phellodendron amurense*. The synthesized AgNP was found to be highly stable even at varied pH and temperature.

#### **3.4.2** Gold Nanoparticle Production (AuNP)

Being more harmful to the fungus than silver, the synthesis of gold nanoparticles has been investigated utilizing *Fusarium* (Mukherjee et al. 2002), *Neurospora* (Castro-Longoria et al. 2011), *Verticillium*, *yeasts* (Chauhan et al. 2011) and *Aspergillus*. Extracellular gold nanoparticle synthesis was demonstrated by *Fusarium oxysporum*, *Aspergillus niger* and *Candida albican*. Intracellular gold nanoparticle synthesis has been detected by a *Verticillum* species (Gericke and Pinches 2006). Das et al. (2009) also reported that gold nanoparticles synthesized from *Rhizopus oryzae* have strong adsorption capacity. *Fusarium oxysporum*-mediated AuNP showed more aggregation and irregularity in shape and size (Anitha and Palanivelu 2011). Intracellular synthesis of AuNPs by using *Penicillium* sp. has been reported by Zhang et al. (2009). Variation in the temperature was found to control the size of biosynthesized gold nanoparticles.

Du et al. (2011) have reported that rapid extracellular synthesis of AuNP in cell filtrate and intracellular synthesis in fungal biomass by Penicillium sp. Shankar et al. (2003) synthesized AuNPs using endophytic fungi. *Colletotrichum* sp. isolated from leaves of Pelargonium graveolus as determined by TEM analysis. The Sclerotium rolfsii-mediated gold nanoparticles were found to be spherical and anisotropic which is of variable shapes such as triangle, hexagonal rod and decahedral in shape. The size shape and state of aggregation of the nanoparticle are determined by various factors including various concentrations of precursor salts, and different cellular fractions of culture. Deepa and Panda (2014) synthesized AuNPs from the culture filtrate of F. oxysporum and found diverse shapes and sizes of AuNPs in the presence of different cellular fractions. The specificity and sensitivity of the assay determine the pathogen detection in less time with more accuracy. Synthesis of AuNPs by edible mushroom *Pleurotus florida* has been documented. The synthesized AuNPs showed anticancer activity against cancer in vitro as human lung carcinoma, leukaemia, and human adenocarcinoma mammary gland (MDA-MB) (Bhat et al. 2013).

#### 3.4.3 Miscellaneous Nanoparticle Production

Add to silver and gold, *F. oxysporum* has been used to synthesize zirconia, cadmium sulfide, titanium and cadmium selenide. The white-rot fungus *Phanerochaete chrysosporium* has incontestable that can synthesize elemental selenium nanoparticles

(Syed and Ahmad 2013). Cadmium sulfide nanoparticles have been synthesized by *Trametes versicolor*, *Schizosaccharomyces pombe* and *Candida glabrata*. Prasad and Jha (2010) reported the synthesis of CdS nanoparticles using *S. cerevisiae* as a rapid and low-cost green method.

In addition to the above, several other metallic nanoparticles were synthesized using fungi as the synthesis of nanosized magnetite by *Mucor javanicus* (Meng et al. 2014), Selenium nanoparticle by *A. alternate* (Sarkar et al. 2011), Silica nanoparticle by *F. oxysporum* (Bansal et al. 2006), Barium titanate nanoparticle by *F. oxysporum* (Bansal et al. 2006), Bi<sub>2</sub>O<sub>3</sub> nanoparticle by *F. oxysporum* (Uddin et al. 2008) and Platinum nanoparticle by *F. oxysporum* (Govender et al. 2009). The biologically produced nanoparticles exhibit improved antibacterial activity against both Gram-positive and Gram-negative bacteria.

#### 3.5 Potential Uses for Mycogenic Nanoparticles

Due to their distinctive characteristics, nanoparticles are utilised in everyday life. It has been discovered that nanoparticles are used in a variety of products. However, pharmacological and biological science is where nanoparticles are most useful (Golinska et al. 2014). The extensive use of NPs in numerous fields has recently raised awareness of fungus-mediated NP production. Also, Fungi are great choices among the different microorganisms utilized to produce nanoparticles for both internal and exogenous MtNPs which have good dispersion and stability properties (Bahrulolum et al. 2021).

The positive effects of nanotechnology are shown in various facets of agriculture. Currently, plant diseases are controlled through the myco-synthesis of nanomaterials in different structures (El-Batal et al. 2020). Myconanotechnology opened the door for the development of nano-devices and nano-structures, which have potential new applications in the agricultural fields (Raliya et al. 2015). Additionally, NPs produced by several fungal species are utilized to prevent plant pathogens from infecting plants and to protect them from pests and insects (Raliya et al. 2016). Fungi-produced nanoparticles play a significant role not only in inhibiting or killing harmful insects but also, in the decomposition of dangerous pesticides such as the silver nanoparticles formed from *Penicillium pinophilum* can degrade chlorpyrifos pesticide under different conditions. Both *Culex quinquefasciatus* and *Anopheles stephensi* larvae were shown to be vulnerable to gold nanoparticles and silver nanoparticles created by the entomogenous fungus *Chrysosporium tropicum* (Soni and Prakash 2012).

Researchers have previously focused on the antimicrobial examination of fungiproduced nanoparticles against microbes in the agricultural field (Sandhu et al. 2019). Fungal cells are a key component in the production of nanomaterials used to combat plant cell disease. Because of their high metal tolerance and capacity to accumulate metals, they are widely used in the production of NPs such as platinum, silver, iron, and gold, among others. It is reported that Ag-NPs formed by *Mucor*  *hiemalis* were potent against different pathogenic fungi (Aziz et al. 2016). According to Bansal et al. (2005), *F. oxysporum* is capable of myco-synthesizing silica NPs, which has significant in improving disease resistance in plant cells. CuO-NPs produced by *P. chrysogenum* were effective against many plant pathogenic fungi (El-Batal et al. 2020). The silver nanoparticles formed extracellularly by *Trichoderma longibrachiatum* significantly decreased the fungal growth of *Fusarium verticillioides*, *Fusarium moniliforme*, *Helminthosporium oryzae*, *Penicillium brevicompactum* and *Pyricularia grisea* (Elamawi et al. 2018). The plant pathogen *Fusarium solani*, isolated from wheat, was a good producer of AgNPs that have antifungal effects against different species of fungal pathogens that infect wheat, barley, and maize kernels (Vigneshwaran et al. 2007).

Environmental bioremediation is one of the most significant applications of nanoparticles. Nano-remediation employs active nanoparticles to stimulate and reduce pollution. Nanoparticles are now effective oxidizing agents for removing environmental pollutants and they have considerable permeability and reactivity to various organic contaminants (El-Sayed et al. 2020). Nanoparticles such as Au-NPs, ZnO-NPs, and Ag-NPs play a catalyst role in the dye-removal process by increasing the reaction rate while decreasing the time it takes to finish the degradation process (Rabeea et al. 2020). According to other studies, the biosynthesized Se-NPs and Au-NPs by the Monascus purpureus and Cladosporium oxysporum have proteins surface which enhances the adsorption of dyes (methylene blue and rhodamine B) as amino acids linked to rings of aromatic compounds creating hydrophobic areas that cause the interaction between nano-catalyst and dye molecules (El-Saved et al. 2020). Many studies showed that the catalyst in the form of nano-silver has good effectiveness in eliminating pollutants, notably organic dyes, which results in an improved reaction rate and high efficiency. Also, the utilization of silver nano-catalysts leads to inhibition or decreasing the by-products generated during the production of propylene oxide, a common substance used for various industrial purposes. This highlights the industrial role that nano-metals play (Popli et al. 2018). In addition, silver nanoparticles created from fungi are employed in many environmental applications such as air decontamination, wastewater treatment and textile fabrics to minimize microbial infection (Durán et al. 2007; Zhang et al. 2014).

The advantages of NPs utilization in wastewater treatment are mostly through the adsorption of harmful substances including compounds, heavy metals, and other contaminants besides its antimicrobial metals and antioxidant characteristics (Gaur et al. 2014). Nowadays, this technique for wastewater treatment is capable of producing good-performance treated water containing fewer impurities, less toxic compounds and free from most heavy. Myconanotechnology has provided a new method for eliminating heavy metals like chromium, lead, and cadmium from wastewater using nanoparticles like iron oxide. This is ensured by the study of Mahanty et al. (2019) which recorded the removal of 90% of chromium content from water pollutants. Economically, myco-nanotechnology is regarded as a new approach to solving difficulties associated with wastewater treatment (Khandel and Shahi 2018). Myconanotechnology is recently gaining attention in many industrial applications. In the field of the food industry, the applications of nanomaterials have proven their efficiency in food processing as its main focus is to reduce food spoilage. The nanoparticles are used in packaging food products taking into consideration food safety. Packaging materials containing AgNPs or ZnO-NPs were effective in preventing juices from spoilage without altering their characteristics although Ag-NPs have a higher antimicrobial activity on mould and yeast cells by comparing with ZnO-NPs. Ray et al. (2013) found that the addition of nano-gold to the packaging tissue gave it a new quality by raising its antimicrobial activity. A study on Se-NPs revealed that they can eliminate pollutants that lead to food spoiling and eradicate pathogens during the manufacturing process (Mosallam et al. 2018).

In the textile industry, one of the most significant industries, nano-metals were highly efficient in raising their quality by improving their antimicrobial activity and protection from harmful radiation. In this context, AgNPs have been successfully improved into textiles and wound dressings in eliminating microbial infections (Shaheen and Abd El Aty 2018). ZnO-NPs formed by *F. keratoplasticum, A. niger* and *A. terreus* enhanced the antibacterial efficiency of cotton fabrics against different bacterial strains and increased UV-blocking properties (Mohamed et al. 2019).

The appearance of new drug-resistant pathogens is a significant problem therefore, it was necessary to improve the characteristics of new drugs to increase their effectiveness against these organisms. The applications of NPs have several benefits in the medical field as disease diagnosis and treatment (Yousef et al. 2020). Numerous studies have shown that myco-synthesized Se-NPs, ZnO-NPs, Ag-NPs, Cu-NPs, and CuO-NPs have antibacterial properties against different pathogenic bacteria (Salem et al. 2021). Also, Mycosynthesized AgNPs have been reported as efficient antifungal agents against various pathogenic fungi such as A. flavus, A. fumigatus, Candida parapsilosis, C. krusei, C. albicans, C. tropicalis, Sporothrix schenckii and F. solani (Aziz et al. 2016; Parmar and Sharma 2020). This distinct antimicrobial effect of metal-NPs is attributed to its destructive effect on the microbial cell wall, degradation of cell components and/or oxidative damage of NPs (Oin et al. 2020). Different studies found a variation between Gram-negative bacteria than Gram-positive bacteria in response to metallic-NPs and this is attributed to the difference in their cell wall structure (Roy et al. 2019). Additionally, the disruption of membrane permeability due to electrostatic interaction between the NPs' positive charge and the negative charge of lipopolysaccharides in Gram-negative bacteria explained its higher sensitivity to NPs (Yun'an Qing et al. 2018). As the metallic-NPs enter a microbial cell, it binds with vital components like enzymes and nucleic acids, causing alteration of their normal structure (Qin et al. 2020).

Cancer diagnosis and therapy have drawn increased attention recently. Numerous nanomaterials have been studied to increase their effectiveness in treating cancer while minimizing side effects when compared to traditional medicines. The toxicity effects of mycogenic biosynthesized NPs are determined by Changes in survival, shape, and metabolic functions of the cells (Mohanta et al. 2018). Physico-chemical characteristics of NPs as nature, size, surface area and capping agents have an important role in their cytotoxicity impacts (Golinska et al. 2017; Mohamed et al.

2019). In recent years, to lessen the side effects of traditional anticancer medications and increase the performance of antitumor drug target therapies, a variety of NP-sized drug types have been studied in cancer therapy. The biosynthesized AgNPs from *Agaricus bisporus* and *Penicillium brevicompactum* showed an anticancer effect against the MCF-7 breast cancer cells (Majeed et al. 2016). Similar to this, gold nanoparticles made from *Chonemorpha fragrans* demonstrated efficacy against human breast and cervical cancer cell lines by induction of apoptosis in the cancer cell line (Clarance et al. 2020).

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# Plant Growth-Promoting Fungi for Growth Improvement and Resistance Induction



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### 1 Introduction

Plant diseases play a momentous role in destroying the productivity and quality of agricultural crops. These diseases are responsible for reducing the annual production of food in the world by 78% in fruit crops, 54% in vegetables, and 32% in cereals (Velásquez et al. 2018; Richard et al. 2022). The diseases caused by soil-borne pathogens are the most serious for the natural and production ecosystems, among them fungal plant pathogens that are the big group of plant disease agents and cause a voluminous reduction in the production of the five most crucial world crops, rice, wheat, corn, potatoes, and soybean with damage to as much as one-third of all crops annually according to the Food and Agriculture Organization of the United Nations (FAO) (Almeida et al. 2019; Manzar et al. 2022; Tyśkiewicz et al. 2022). In recent decades, synthetic pesticides are the main way for the control plant pathogens. However, the prolonged and excessive applications of these synthetic chemicals are associated with high levels of environmental pollution, toxicity, and carcinogenic properties in humans as well as the appearance of resistant strains from plant pathogens to pesticides (Rahman et al. 2018; Elsherbiny et al. 2019; Jacquet et al. 2022). Moreover, fertilizers have been used extensively worldwide for a long time, especially in developing countries. However, the outspread use of fertilizer leads to several adverse effects including soil degradation and yield losses which cause immense problems to soil sustainability and food security (Kohl et al. 2019; Chaudhary et al. 2022).

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© The Author(s), under exclusive license to Springer Nature Switzerland AG 2023 Y. M. Rashad et al. (eds.), *Plant Mycobiome*, https://doi.org/10.1007/978-3-031-28307-9\_11

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Hence, plant growth-promoting fungi (PGPF) have received much attention to be used in both plant growth promotion and suppression of plant pathogens via induction of plant resistance for their distinctive properties and noteworthy applications (Busby et al. 2017; Francioli et al. 2021). PGPF are heterogeneous classes of nonpathogenic fungi associated with plants as non-symbiotic saprotrophic fungi, and non-obligate mutualism fungi with many different hosts (Murali et al. 2021; Mandal and Tiru 2022). PGPF can divide into endophytic fungi that live inside the root, seeds, stems, and leaves tissues or epiphytic fungi that live in the root and leaves surfaces or free-living fungi in the rhizosphere outside the root cells (Jahagirdar et al. 2019; Cantabella et al. 2022). PGPF include diverse taxonomic groups, a few the majority of these fungi primarily belong to the phylum Ascomycota like Alternaria, Aspergillus, Botrytis, Chaetomium, Cladosporium, Colletotrichum, Penicillium, Trichoderma, Fusarium, Gliocladium, Phoma, Phomopsis, and Talaromyces, and a few species belongs to Basidiomycota like Rhodotorula, and Rhizoctonia, and Zygomycota like Mucor and Rhizopus (Mishra et al. 2017; Hossain and Sultana 2020). Also, PGPF contains some sporeless fungi known as sterile black fungus (SBF), sterile dark fungus (SDF), and sterile red fungus (SRF) (Naseri and Younesi 2021).

The interaction between plants and PGPF leads to several positive tremendous effects on belowground and aboveground plant organs which support plant development and crop health (Abdel-Motaal et al. 2020; Ozimek and Hanaka 2021). PGPF promotes plant growth in various ways including increased yield, nitrogen fixation, enhanced photosynthesis, improve nutrient uptake, secretion of biomolecules like siderophores, phytohormone production like indole acetic acid (IAA), auxin, cytokinin, and gibberellin, antioxidative enzyme production, synthesize bioactive substances like volatile and non-volatile compounds, improve plant biochemical composition, and alleviation of heavy metal stress (Basavaraj et al. 2019; Ghosh and Panja 2021; Asghar and Kataoka 2022; Kumar et al. 2022). In addition, the application of PGPF as a biofertilizer, such in the forms of nitrogen fixer (N-fixer), potassium solubilizer (K-solubilizer), zinc solubilizer (Zn-solubilizer), phosphorus solubilizer (P-solubilizer), and sulfur oxidizer (S-oxidizer), is one of the best promising alternatives that cause considerable promotion in plant growth along with regaining fertility, organic form of nutrients, and sustainable biodiversity (Puga-Freitas and Blouin 2015; Nosheen et al. 2021; Bhatt et al. 2022).

Moreover, PGPF are able to adopt different strategies of eco-friendly disease management with a long-lasting induction of plant resistance by inducing systemic acquired resistance (SAR) and induced systemic resistance (ISR) in plants (Abdul Malik et al. 2020; Aboulila 2022). Plants have evolved different levels of active defense systems during the interaction between themselves and pathogens including pathogen-associated molecular patterns (PAMPs), which lead to the first type of induced defenses, known as pattern-triggered immunity (PTI), as well as effector-triggered immunity (ETI) (Cook et al. 2015; Berens et al. 2017; Zehra et al. 2021). PAMPs and ETI are described as elicitors that promote defense responses in plants and increase the production of new bioactive metabolites (Bigeard et al. 2015; Appu et al. 2021). These elicitors involve many different compounds inducing any type of

plant defense. PTI and ETI activate the induced resistance in plant tissues that are distal from the infection site, and this distinct form of pathogen-induced resistance is known as SAR (Cui et al. 2015; Yang et al. 2022). PGPF activates signaling pathways involving jasmonic acid (JA), and ethylene (ET), which trigger ISR throughout the plant as well as salicylic acid (SA) in SAR (Kushalappa et al. 2016; He et al. 2018). This chapter focuses on the properties of PGPF and their role in plant growth promotion and induction of resistance in plants as protective agents against plant pathogens along with a description of the potential mechanisms of PGPF activity.

#### 2 Impact of PGPF on Plant Growth Promotion

PGPF possesses distinct beneficial properties for all plant species including improvement of seed germination rate, seedling growth, development and morphogenesis of plant roots, shoot growth, flowering, crop yield, phytohormone production, and photosynthetic efficiency (Priyadharsini and Muthukumar 2017; Bano et al. 2022). Most PGPF strains have the capacity to the production of siderophores, phytohormones (e.g., indole acetic acid, IAA, gibberellin, GA), volatile organic compounds (VOCs), solubilization of minerals (e.g., potassium, phosphorus, calcium, iron, copper), increases in nutrient uptake, and utilization of microbial enzymes (Burragoni and Jeon 2021; Hakim et al. 2021; Kour et al. 2021). PGPF impacts, directly and indirectly, the growth and productivity of plants. The direct way is by developing diverse mechanisms to mediate improvements in the plant growth, and productivity of crops, and the indirect way is by suppressing the plant pathogens (Breakfield et al. 2021; Zhang and White 2021). The PGPF promotes plant growth using one or more of these mechanisms (Malgioglio et al. 2022; Toppo et al. 2022). Table 1 recapitulates current studies showing that several strains of PGPF promote plant growth in many economic crops.

The inoculation of lettuce seeds by *Aspergillus niger* increased the plant diameter (6.9%), the number of leaves (8.1%), fresh weight (23.9%), and chlorophyll content (3.8%) as compared to the control groups. Also, the application of the conidial suspension of *A. niger* to the lettuce seedlings before transplanting produced similar results of all vegetative growth parameters using seed inoculation (Silva et al. 2022). The culture filtrates of *Chaetomium globosum* and *Minimedusa polyspora* displayed a direct plant growth-promoting effect through an increase of biomass, both in shoots and roots, number of leaves, and leaf area of chicory plants, *Cichorium intybus*. Based on <sup>1</sup>H-NMR spectra, the hydroalcoholic and chloroform extracts of chicory leaves and roots contain 49 molecules including amino acids, organic acids, sugars, organic compounds, fatty acids, secondary metabolites, and other compounds. The treatment with culture filtrates of both fungi stimulated the synthesis of 3-OH-butyrate by decreasing the synthesis of fatty acids and sterols as a mechanism balancing the NADPH/NADP<sup>+</sup> ratio in *C. intybus* roots. The culture filtrate of *C. globosum* increased the phenylalanine and chicoric acid in the roots,

Crop	PGPF strain	Effect	References
Lettuce Lactuca sativa	Aspergillus niger	Seedling growth Shoot growth Plant growth	Silva et al. (2022)
Chicory Cichorium intybus	Chaetomium globosum Minimedusa polyspora	Root growth Shoot growth Bioactive compounds	Spinelli et al. (2022)
Cucumber Cucumis sativus	Chaetomium globosum	Seed germination and seedling growth Bioactive compounds Plant signaling pathways	Tian et al. (2022)
Apple Malus hupehensis	Trichoderma asperellum	Seedling growth Root growth Tree growth	Wang et al. (2022)
Potato Solanum tuberosum	Paecilomyces variotii	Root growth Shoot growth Crop yield Bioactive compounds	Cao et al. (2021)
Pakchoi Brassica campestris	Trichoderma atroviride Trichoderma citrinoviride	Seed germination and seedling growth Root growth Shoot growth	Chen et al. (2021)
Common bean Phaseolus vulgaris	Aspergillus niger	Root growth Shoot growth Bioactive compounds	Galeano et al. (2021)
Tobacco Tomato Kimchi cabbage Broccoli Bok choy Carrot	Cladosporium halotolerans	Volatile organic compounds (VOCs) Root growth Bioactive compounds Phytohormone production Plant signaling pathways	Jiang et al. (2021)
Tomato Solanum lycopersicum	Botrytis cinerea	Volatile organic compounds (VOCs) Root growth Shoot growth Plant signaling pathways	Kamaruzzaman et al. (2021)

 Table 1
 Effect of PGPF on plant growth promotion

Crop	PGPF strain	Effect	References
Arabidopsis Arabidopsis thaliana Onion Allium cepa	Aspergillus chiangmaiensis Aspergillus pseudopiperis Aspergillus pseudotubingensis	Root growth Shoot growth Crop yield Bioactive compounds	Khuna et al. (2021)
Eggplant Solanum melongena	Penicillium oxalicum Aspergillus brunneoviolaceus Aspergillus tubingensis	Seedling growth Root growth Flowering Phytohormone production	Li et al. (2021)
Tobacco Nicotiana tabacum	Byssochlamys spectabilis Chaetomium globosum Cephalotheca foveolate Penicillium melinii Alternaria tenuissima Nigrospora chinensis	Seedling growth Root growth Shoot growth Phytohormone production Bioactive compounds	Tarroum et al. (2021)
Tomato Solanum lycopersicum	Trichoderma afroharzianum	Seed germination Root growth Shoot growth Bioactive compounds Plant signaling pathways	Zhao et al. (2021a)
Bean Phaseolus vulgaris	Trichoderma harzianum	Root growth Shoot growth Phytohormone production	Eslahi et al. (2020)
Chili pepper Capsicum annuum	Alternaria solani	Root growth Shoot growth Flowering	Mauricio-Castillo et al. (2020)
Tomato Solanum lycopersicum Pepper Capsicum annuum	Paecilomyces variotii	Seed germination Root growth Shoot growth Phytohormone production	Moreno-Gavíra et al. (2020)
Chilli Capsicum annuum	Talaromyces sp.	Seed germination and seedling vigor Shoot growth Bioactive compounds	Naziya et al. (2020)

Table 1 (continued)

Crop	PGPF strain	Effect	References
Lettuce Lactuca sativa	Trichoderma asperellum	Volatile organic compounds (VOCs) Root growth Shoot growth Antifungal activity	Wonglom et al. (2020)
Tobacco Nicotiana tabacum Pepper Capsicum annuum	Cladosporium sphaerospermum	Root growth Shoot growth Flowering Crop yield	Li et al. (2019)

 Table 1 (continued)

whereas the compound 4-OH-benzoate was increased by the treatment of *M. polyspora* culture filtrate (Spinelli et al. 2022).

On similar lines, the cucumber seeds inoculated with the fungus Chaetomium globosum ND35 had higher seed radicle length, fresh weight, and dry weight, and seedlings had higher plant height and root height length, shoot dry weight, and root dry weight after 15 days compared with non-inoculated groups. The differentially expressed genes (DEGs) caused by strain ND35 were mainly involved in phenylpropanoid biosynthesis, plant hormone signal transduction, plant-pathogen interaction, and photosynthesis through transcriptome analysis. The levels of reactive oxygen species (ROS), hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), indole-3-acetic acid (IAA), gibberellin (GA), zeatin (ZT), salicylic acid (SA), jasmonic acid (JA) and the activity of phenylalanine ammonia-lyase (PAL), 4-coumarate-CoA ligase (4CL), cinnamyl alcohol dehydrogenase (CAD), and peroxidase (POD) were higher in seedlings inoculated with C. globosum ND35 than those of non-inoculated groups according to Tian et al. (2022). The application of Trichoderma asperellum strain 6S-2 promoted the seedlings growth of *Malus hupehensis* Rehd and apple trees 2-year-old. The use of T. asperellum 6S-2 fertilizer increased the root dry and fresh weights of *M. hupehensis* Rehd seedlings as compared to the control group under greenhouse conditions and increased the number of branches and branch elongation of young apple trees under field conditions (Wang et al. 2022).

In a different study, Cao et al. (2021) used Zhinengcong (ZNC), an ethanol extract from the fungus *Paecilomyces variotii*, as a plant growth promotion and biocontrol tool in potato plants. The extract increased potato height, leaf area, stem diameter, root length, root weight, and yield as well as induced the production of reactive oxygen species (ROS), and the expression of indole acetic acid (IAA) related genes. The irrigation with ZNC significantly increased the output by 18.83% or more in 2 years of field trials with improvement in potato tubers quality including the content of vitamin C, protein, sugar, and starch. Likewise, ZNC significantly reduced the incidence and severity of late blight disease caused by the oomycete *Phytophthora infestans* in both greenhouse and field conditions. Also, Chen et al. (2021) used a mixture of two *Trichoderma* strains (*Trichoderma atroviride* LX-7 and *Trichoderma citrinoviride* HT-1) to promote the growth of pakchoi plants. Both

strains *T. atroviride* LX-7 and *T. citrinoviride* HT-1 showed the ability of siderophore and indole acetic acid (IAA) production and the strain LX-7 was efficient for potassium solubilization. The combination of LX-7 + HT-1 (1:1) gave the highest percentage of seed germination, germination energy, germination index, vitality index, growth of radicles and plumules, and fresh and dry weight of seedlings as well as the mixture of LX-7 + HT-1 (1:2) caused the highest biomass and quality of plants. Also, the two strains caused a strong increase in shoot length, root length, leaf length  $\times$  width, and fresh, and dry weight of pakchoi plants in greenhouse experiments with an increase in the content of chlorophyll, vitamin C, soluble sugar, and soluble protein.

The fungal *Aspergillus niger* 9-P was isolated from forage grass and it was able to produce indole-3-acetic acid (IAA), siderophores, ammonia (NH3), hydrogen cyanide (HCN), 1-aminocyclopropane-1-carboxylic acid (ACC), and high phosphorus solubilizing activity with an increase in the activity of phosphatases, proteases, amylase, and pectinase. Moreover, isolate *A. niger* 9-P caused a strong increase in the growth parameters of common bean plants compared with the uninoculated plants (Galeano et al. 2021). In yet another example, the volatile organic compounds (VOCs) emitted by *Cladosporium halotolerans* NGPF1 enhanced the fresh weight of leaf and root, leaf number, root length, and chlorophyll content in broccoli, tobacco, tomato, bok choy, kimchi cabbage, and carrot in vitro conditions. Seven compounds were identified from NGPF1 grown in potato dextrose (PD) liquid medium using headspace solid-phase microextraction (HS-SPME) coupled with gas chromatography-mass spectrometry (GC-MS). The compounds 2-methylbutanal and 3-methyl-butanal individually or in a mixture enhanced the plant growth and root system development (Jiang et al. 2021).

Similarly, the volatile organic compounds (VOCs) of the hypovirulent strain QT5-19 of Botrytis cinerea increased plant height, basal stem diameter, root number per plant, fresh weight per plant, and dry weight per plant of tomato seedlings after incubation at 20 °C for 16 days. The compounds, 2-butyl-1-octanol, 2-heptanal, 2-heptylfuran, and 1-octene-3-ol, as appearing in the VOC profile of QT5-19 promoted the plant growth parameters of tomato seedlings. The values of photosynthetic assimilation, stomatal conductance, transpiration, water use efficiency, and chlorophyll content increased in the tomato plants exposed to the VOCs of hypovirulent strain QT5-19 as compared with the control treatments (Kamaruzzaman et al. 2021). Seven new fungal strains namely Aspergillus chiangmaiensis (SDBR-CMUI4 and SDBRCMU15), Aspergillus pseudopiperis (SDBR-CMUI1 and SDBR-CMUI7), and Aspergillus pseudotubingensis (SDBR-CMUO2, SDBR-CMUO8, and SDBR-CMU20) were able to solubilize the insoluble mineral form of phosphorus, calcium, manganese, magnesium, iron, copper, zinc, cobalt, feldspar, and kaolin in the agar plate assay. All fungal strains significantly increased the leaf number, leaf length, dried biomass of shoot and root, chlorophyll content, and cellular inorganic phosphate content in both Arabidopsis and onion plants. Also, all strains improved the yield and quercetin content of the onion bulb (Khuna et al. 2021). Nevertheless, Li et al. (2021) isolated 162 fungal strains from different abandoned wastelands. Only four isolates, Penicillium oxalicum HZ06, Aspergillus

*brunneoviolaceus* HZ23, HZ10, and *Aspergillus tubingensis* HZ123, had the capacity to produce siderophore, and indole acetic acid (IAA) with the ability of phosphate-solubilizing. The inoculation of *P. oxalicum* HZ06 and *A. brunneoviolaceus* HZ23 and HZ10 caused an increase in the growth parameters of eggplant under greenhouse conditions including seedling fresh and dry weight, seedling length, root fresh and dry weight, root length, leaf size, and early flowering.

Tarroum et al. (2021) isolated Byssochlamys spectabilis, Chaetomium globosum, Cephalotheca foveolata, Penicillium melinii, Alternaria tenuissima, and Nigrospora chinensis from the rhizosphere of Aeluropus littoralis. All of the tested fungi promoted tobacco seedling growth in liquid Murashige and Skoog medium and significantly increased plant height, leaf area, dry weight, and total chlorophyll content compared with the control plants. The cell-free filtrates of all fungal strains in nutrients solution significantly increased shoot length, root length, shoot dry weight, root dry weight, leaf number, and leaf area of tobacco plants. The culture filtrate of Trichoderma afroharzianum TM2-4 promoted tomato seed germination, with hypocotyl length, radical length, and vigor index increased by 28.7, 19.4 and 62.1%, respectively by the production of bioactive substances. Also, T. afroharzianum significantly enhanced tomato plant growth including plant height, dry weight, number of leaves per plant, and root activity, through colonization in the rhizosphere and plant root system. A total of 984 differentially expressed genes in tomato roots inoculated with T. afroharzianum were identified by transcriptome analyses, which have a vital role in phytohormone homeostasis, antioxidant activity, and metabolic pathways including phenylpropanoid biosynthesis and glutathione metabolism (Zhao et al. 2021a).

Also, the strains of Trichoderma harzianum (T13, T15, and Tw) were able to produce indole acetic acid (IAA), and siderophore as well as high activity in solubilizing phosphorus and potassium in the soil. These strains also enhanced the expression of genes associated with plant growth promotion such as *chit42*, *Thpg1*, *aid74*, and tex10. T. harzianum significantly increased the growth parameters in bean plants in both the presence and absence of the pathogen Rhizoctonia solani including root fresh weight, root dry weight, shoot height, stem diameter, shoot fresh weight, and shoot dry weight with enhanced expression of the growth-related genes (NAC1, EXP1, DGL1) according to Eslahi et al. (2020). In the same way, Mauricio-Castillo et al. (2020) isolated the fungus Alternaria solani IA300 from mature seeds of *Phaseolus vulgaris*. This isolate IA300 showed the ability to promote the growth in chili plants Capsicum annuum, including root length, aerial part length, root fresh weight, aerial fresh weight, root dry weight, aerial dry weight, number of leaves, flowers, and buttons after 15, 30, 45, and 60 days of inoculation. Paecilomyces variotii showed a high ability to produce siderophores and indole-3-acetic acid (IAA), but a low ability to solubilize phosphorus. The inoculation of tomato and pepper seeds by spores of *P. variotii* increased the seed germination, root and shoot length, and seed vigor index as well as the growth parameters of tomato and pepper seedlings including stem length, stem diameter, leaf number, leaf area, root dry weight, aerial dry weight as compared with the experimental control (Moreno-Gavíra et al. 2020).

The isolate of Talaromyces sp. significantly enhanced seed germination and seedling vigor with promoted growth parameters in chilli plants e.g., plant height, shoot fresh and dry weight, shoot dry weight, and total chlorophyll. Furthermore, Talaromyces sp. can solubilize phosphate and produce cellulase, chitinase, siderophore, indoleacetic acid, and hydrogen cyanide (Naziya et al. 2020). In a different study, the volatile organic compounds (VOCs) emitted by Trichoderma asperellum T1 enhanced the defense-related enzymes in lettuce plants,  $\beta$ -1,3-glucanase, and chitinase, as the cell-wall degrading enzymes after 2 weeks of exposure. The fresh and dry weight of shoots and roots, the number of leaves, plant biomass, and total chlorophyll content were increased in lettuce plants after 14 days of exposure to the VOCs of T. asperellum T1. 22 compounds were identified as acids, alcohols, aldehydes, alkanes, pyran, and fatty acid groups as VOCs of T. asperellum T1, and the compound, 6-pentyl-2H-pyran-2-one (6-PP), was the major one by 14.2% using GC-MS analysis. In addition, these VOCs inhibited the fungal growth of Corynespora cassiicola and Curvularia aeria as the two important leaf spot fungal pathogens in lettuce (Wonglom et al. 2020). The fungus Cladosporium sphaerospermum TC09 increased stem length, shoot biomass, root biomass, leaf biomass, and length of the leaf of tobacco seedlings under in vitro conditions after 20 days exposed to TC09 cultures. The expression of the genes associated with phytohormone homeostasis, photosynthesis, and defense responses increased in the tobacco seedlings after 10 days using comparative transcriptome analyses. Also, the pepper seedlings treated with C. sphaerospermum TC09 for 20 days in the greenhouse conditions flowered 20 days earlier and yielded 213% more than the control plants (Li et al. 2019).

#### **3** Impact of PGPF on Plant Resistance Induction

Several members of PGPF have been found to be potential inducers of systemic resistance such as *Trichoderma*, *Aspergillus*, *Mucor*, *Penicillium*, *Talaromyces*, *Chaetomium*, and *Phoma* against plant pathogens (Hossain et al. 2017; Verma et al. 2022). The PGPF employs multifarious mechanisms in controlling plant diseases including direct antagonism like parasitism, hyperparasitism, and commensalism, and indirect antagonism such as systemic acquired resistance (SAR) and induced systemic resistance (ISR) (Fig. 1) (Walters et al. 2013; Fontana et al. 2021).

SAR is induced in plants as a response to the primary infection by a virulent pathogen which causes a hypersensitive reaction (HR) or local necrotic lesions on the host plant to arrest the pathogen growth (Durrant and Dong 2004; Salman et al. 2022). These lesions are characterized by different types of pathogenesis-related (PR) proteins such as hydrolase [ $\beta$ -1,3-glucanase] (PR-2) and chitinase (PR-3), which inhibit the growth of phytopathogens as well as by the increased expression of the pathogenesis-related genes (PR genes) (Gao et al. 2015; Eccleston et al. 2022). SAR is typically triggered by activation of a pathogen-associated molecular pattern (PAMP)-triggered immunity (PTI), and effector-triggered immunity (ETI)

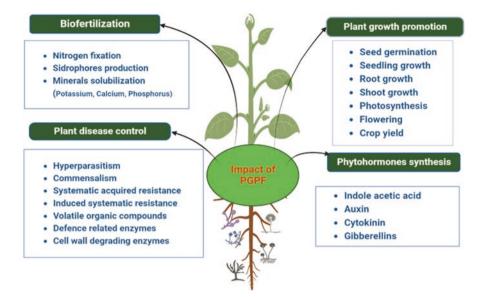


Fig. 1 Impact of PGPF on plant growth promotion and plant diseases suppression

response (Meena et al. 2022). The high level of endogenous salicylic acid (SA) in systemic tissues is one of the hallmarks of SAR (Klessig et al. 2018).

ISR is triggered upon colonization of plants by biological agents like plant growth-promoting rhizobacteria (PGPR) and plant growth-promoting fungi (PGPF) or chemical inducers (Pieterse et al. 2014; Olowe et al. 2020). ISR leads to resistance priming in root parts and aerial parts of plants (Romera et al. 2019). Therefore, ISR is known to reduce the incidence and severity of various plant diseases (Walters et al. 2013; Thankappan et al. 2022). Unlike SAR, ISR does not include the accumulation of pathogenesis-related proteins or salicylic acid (SA), but jasmonic acid (JA) and ethylene (ET)-mediated pathways are responsible for the ISR as well as the production of defense-related enzymes and defense chemicals diseases (Vlot et al. 2021). Table 2 summarizes findings related to the effect of some PGPF as biological inducers on the induction of plant resistance.

For instance, the ethyl acetate extracts of *Aspergillus flavus*, *A. niger*, *Mucor circinelloides*, and *Penicillium oxalicum* significantly inhibited *Fusarium oxysporum* f. sp. *lycopersici* using the agar well diffusion method and reduced wilt disease severity in tomato plants by 16.6, 20.83, 37.5, and 45.83%, respectively. The PGPF enhanced the total soluble proteins and carbohydrates, total phenol, and total proline in treated plants compared to control plants as well as the activity of oxidative enzymes including peroxidase (POD), and polyphenoloxidase (PPO) (Attia et al. 2022). In the same way, *Aspergillus terreus* ANU-301 inhibited the growth of *Fusarium oxysporum* f. sp. *lycopersici* by 58.5% in the dual culture assay, while culture filtrate of the fungus caused only 20.2% inhibition of the pathogen growth. Also, the isolate ANU-301 significantly reduced the wilt disease symptoms in

Crop	Pathogen (disease)	PGPF strain	Mechanism	References
Tomato	<i>Fusarium oxysporum</i> f. sp. <i>lycopersici</i> (Fusarium wilt)	Aspergillus flavus Aspergillus niger Mucor circinelloides Penicillium oxalicum	Antifungal activity Significantly reduced disease severity	Attia et al. (2022)
Tomato	Fusarium oxysporum f. sp. lycopersici (Fusarium wilt)	Aspergillus terreus	Antifungal activity Reduce disease severity Bioactive compounds	Choi and Ahsan (2022)
Maize	Cephalosporium maydis (Late wilt)	Chaetomium globosum	Antifungal activity Reduce incidence and severity of disease Enzymes activity	Elshahawy and Khattab (2022)
Ginseng	Alternaria panax Botrytis cinerea Cylindrocarpon destructans Fusarium oxysporum Sclerotinia nivalis Sclerotinia sclerotiorum (Root rot)	Trichoderma Pleuroticola Trichoderma atroviride	Antifungal activity Volatile organic compounds (VOCs)	Joo and Hussein (2022)
Tobacco Cotton	Verticillium dahliae (Verticillium wilt)	Trichoderma koningiopsis	Antifungal activity Volatile organic compounds (VOCs) Reduce disease severity	Kong et al. (2022)
Macadamia	Lasiodiplodia theobromae (kernel rot)	Trichoderma hamatum	Antifungal activity Volatile and non-volatile metabolites Reduce the disease severity	Li et al. (2022)
Rice	Rhizoctonia solani (Sheath blight)	Talaromyces spp.	Reduce disease index Plant signaling pathways Plant growth promotion	Abbas et al. (2021)

 Table 2
 Effect of PGPF on plant resistance induction

Crop	Pathogen (disease)	PGPF strain	Mechanism	References
Tomato	Rhizoctonia solani (Crown rot)	Acrophialophora jodhpurensis	Antifungal activity Volatile and non-volatile metabolites Decrease the disease index Enzymes activity	Daroodi et al. (2021)
Peanut	Fusarium solani (Brown root rot)	Trichoderma harzianum	Antifungal activity Bioactive compounds Reduce incidence and severity of disease	Erazo et al. (2021)
Tomato	Alternaria alternata (Early blight)	Trichoderma viride Chaetomium globosum	Antifungal activity Reduce incidence and severity of disease Enzymes activity	Khalil et al. (2021)
Muskmelon	Stagonosporopsis cucurbitacearum (Gummy stem blight)	Trichoderma asperelloides	Antifungal activity Reduce disease severity index (DSI) Enzymes activity	Ruangwong et al. (2021)
Tomato	Botrytis cinerea (Gray mold)	Trichoderma asperellum	Antifungal activity Reduce incidence and severity of disease Plant growth promotion	Wang et al. (2021)
Chilli	<i>Colletotrichum</i> <i>truncatum</i> (Anthracnose)	Trichoderma harzianum Trichoderma asperellum	Antifungal activity Induced systemic resistance (ISR) Reduce disease severity Enzymes activity	Yadav et al. (2021)
Cotton	Verticillium dahlia (Verticillium wilt)	Chaetomium globosum	Antifungal activity Reduce disease incidence Bioactive compounds	Zhang et al. (2021)

Table 2 (continued)

Crop	Pathogen (disease)	PGPF strain	Mechanism	References
Tomato	Botrytis cinerea (Grey mould)	Trichoderma afroharzianum	Antifungal activity Reduce incidence and severity of disease Enzymes activity Plant signaling pathways	Zhao et al. (2021b)
Wheat	Rhizoctonia solani (Wilt disease)	Aspergillus flavus Aspergillus niger Penicillium citrinum Penicillium chrysogenum Trichoderma koningiopsis	Reduce disease severity Induced systemic resistance (ISR)	El-Maraghy et al. (2020)
Chilli	Colletotrichum capsici (Anthracnose)	<i>Talaromyces</i> sp.	Antifungal activity Reduce incidence and severity of disease Lignin and callose deposition Enzymes activity	Naziya et al. (2020)
Cucumber	Rhizoctonia solani	Trichoderma brevicrassum	Antifungal activity Reduce incidence and severity of disease Plant growth promotion	Zhang and Zhuang (2020)
Onion	Sclerotium cepivorum (White rot)	Phoma sp. Trichoderma asperellum Fusarium equiseti Penicillium simplicissmum	Antifungal activity Reduce incidence and severity of disease Enzymes activity	Elsharkawy and El-Khateeb (2019)

 Table 2 (continued)

tomato plants inoculated with *F. oxysporum* f. sp. *lycopersici*, and *A. terreus*. Twenty compounds were identified in the culture filtrate of *A. terreus* by GC-MS/MS analysis, and 2,4-bis(1-methyl-1-phenylethyl)-phenol and 2,3,4,5-tetraphenyl-1H-pyrrole were the major components in the filtrate (Choi and Ahsan 2022). The fungus *Chaetomium globosum* Chg-1 caused a voluminous inhibition on the growth of *Cephalosporium maydis* by 91% in the dual culture test. Hexane, ethyl acetate, and methanol extracts of *C. globosum* Chg-1 were highly effective in the reduction of the mycelial growth and conidial germination of *C. maydis* at different concentrations. In greenhouse trials, the treatments of *C. globosum* Chg-1 significantly reduced late wilt disease incidence and severity in two maize cultivars by inducing

resistance mechanisms in maize plants via the induction of antioxidant enzymes (Elshahawy and Khattab 2022).

In yet another example, Joo and Hussein (2022) isolated different strains of Trichoderma from the rhizosphere of Korean ginseng and pine soils (T. harzianum KNU1, T. reesei KNU4, T. harzianum KNU10, T. harzianum H22, T. atroviride 24, T. koningii 27, T. virens 19, T. longibrachiatum 28, T. Pleuroticola P22, and T. asperellum 18). The fungus T. Pleuroticola P22 showed high antifungal activity against Alternaria panax, Botrytis cinerea, Cylindrocarpon destructans, Fusarium oxysporum, Sclerotinia nivalis, and S. sclerotiorum. Moreover, volatile organic compounds (VOCs) produced by T. atroviride showed a decisive inhibition of A. panax, B. cinerea, C. destructans, and S. nivalis. The volatile organic compounds (VOCs) produced by Trichoderma koningiopsis T2 significantly inhibited the growth of Verticillium dahliae. Trichoderma VOCs reduced the severity of Verticillium wilt by preventing the colonization of V. dahliae on tobacco and cotton. Six volatile compounds were identified by GC-MS analysis, and the major compounds were 3-octanone, 3-methyl-1-butanol, butanoic acid ethyl ester, and 2-hexyl-furan. The VOCs of T. koningiopsis T2 significantly inhibited the microsclerotia formation of V. dahliae and decreased the activity of cell wall-degrading enzymes of the pathogen (Kong et al. 2022). On similar lines, Trichoderma hamatum C9 inhibited the growth of Lasiodiplodia theobromae by 56.3% in the dual confrontation assay. The cell-free culture filtrate of T. hamatum markedly inhibited the mycelial growth of L. theobromae at a concentration ranging from 0.5% to 10% and the volatile organic compounds (VOCs) of the strain C9 caused 32.4% inhibition on the pathogen growth. The lesion area caused by L. theobromae on macadamia leaves was reduced after spraving the leaves with a conidial suspension of T. hamatum as well as the disease severity index (DSI) according to Li et al. (2022).

*Talaromyces* spp. were isolated from the paddy soil and caused more protection from the damage by rice sheath blight caused by Rhizoctonia solani compared with the control plants under greenhouse conditions. The defense-related genes were highly expressed in the plants treated with isolates of *Talaromyces* such as OsPR1a (General defense), OsEIN2 (Ethylene signaling), OsJAMYB (Jasmonic acid biosynthesis), and OsAOS2 (Jasmonic acid response). Concurrently, these isolates caused an increase in the total plant height, fresh and dry biomass, the number of tillers and productive tillers, panicle length, and grain yield (Abbas et al. 2021). The strain Acrophialophora jodhpurensis inhibited the growth of Rhizoctonia solani AG4-HG II in the dual culture test on PDA. Both volatile and non-volatile metabolites of A. jodhpurensis inhibited R. solani growth especially non-volatile metabolites at the concentration of 15%. The isolate of A. jodhpurensis decreased the disease index of crown rot in tomato seedlings by more than 40% compared to the control groups. Also, A. jodhpurensis induced the activity of antioxidant enzymes including catalase (CAT), ascorbate peroxidase (APX), peroxidase (GPX), and superoxide dismutase (SOD) as well as phenolic content, lignin accumulation, relative water content, cell membrane stability, hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), superoxide  $(O_2)$  and iron ions (Daroodi et al. 2021).

root extract agar (PREA), and malt extract agar (MEA), respectively, and 78.2% inhibition with the used filtered liquid cultures of T. harzianum. Also, T. harzianum ITEM 3636 was able to synthesize a high level of some enzymes including protease (prb1), N-acetyl-β-D-glucosaminidase or NAGase (exc1 and exc2), β-1,3-glucanase (b13glu), and chitinases (chit33, chit42) as a mechanism of antagonistic activity against F. solani RC386. The genes, prb1, chit33, and bgn13.1, were detected with the interaction between T. harzianum and F. solani mycelia as biocontrol-associated genes. The application of T. harzianum ITEM 3636 on peanut plants in the greenhouse assays reduced both the incidence and the severity of peanut brown root rot by 3.8 and 63.98% respectively (Erazo et al. 2021). In this context, Trichoderma viride and Chaetomium globosum showed antagonistic activities against Alternaria alternata with inhibition zones reaching 1.6 and 1.4 cm, respectively. The foliar application on tomato plants by T. viride and C. globosum either alone or in combination reduced the incidence of early blight disease by 73.3, 37.6, and 59.1%, respectively and the disease severity was 18.7, 46.4, and 37.6%, respectively, compared with the control groups. Also, these treatments enhanced the antioxidant enzymes such as superoxide dismutase (SOD) and catalase (CAT) with an increase in chlorophyll content and a decrease in hydrogen peroxide  $(H_2O_2)$ , membrane ion leakage, and Malondialdehyde (MDA) levels in the treated plants (Khalil et al. 2021). Similarly, Trichoderma asperelloides PSU-P1 caused a tremendous inhibition of the growth of Stagonosporopsis cucurbitacearum by 96.08% in the dual culture assay. The seedlings of muskmelon treated with T. asperelloides showed a disease severity index (DSI) of gummy stem blight by 10% as compared to the control by 75% DSI. Also, the activity of defense-related enzymes, peroxidase (POD) and polyphenol oxidase (PPO), and cell wall degrading enzymes, chitinase, and β-1,3-glucanase, in muskmelon seedlings treated with T. asperelloides PSU-P1 were higher than in the control groups (Ruangwong et al. 2021).

Wang et al. (2021) tested 23 Trichoderma strains isolated from tomato rhizosphere soil against Botrytis cinerea in the dual culture assay. Among them, Trichoderma asperellum strain DQ-1 caused a strong inhibition of the pathogen growth by 88.41%. The disease incidence and severity of gray mold were reduced by 38 and 64% in tomato plants inoculated with T. asperellum DQ-1 and then with B. cinerea as compared with the control groups. The isolate T. asperellum DQ-1 caused an increase in the expression levels of disease resistance-related genes PR2 and TPX, ethylene pathway-related genes ETR1 and CTR1, and jasmonic acid pathway-related genes LOX1 and PAL with triggered the systemic acquired resistance (SAR) and induced systemic resistance (ISR) pathway. Also, the strain DQ-1 increased tomato seeds germination rate and root length by 5.55 and 37.86%, respectively. In another study, Trichoderma harzianum and T. asperellum showed a strong radial growth inhibition against Colletotrichum truncatum by 75.46, and 73.09%, respectively in the dual culture plates. The seeds of chilli treated with T. asperellum, T. harzianum, and T. asperellum + T. harzianum inflicted an induced systemic resistance (ISR) against a C. truncatum under greenhouse conditions with a considerable reduction in the anthracnose disease index percentage in chilli plants. Additionally, increasing the relative chlorophyll content in plants and accumulating phenolic compounds as well as enhanced the activity of defense-related enzymes including superoxide dismutase (SOD), peroxidase (POX), polyphenol oxidase (PPO), catalase (CAT), ascorbate peroxidase (APX), guaiacol peroxidase (GPX) and phenylalanine ammonia-lyase (PAL) (Yadav et al. 2021). The fungus *Chaetomium globosum* CEF-082 inhibited the colony expansion of the pathogen *Verticillium dahlae* in the dual-culture antagonism bioassay. Also, *C. globosum* CEF-082 and its metabolic filtrate reduced the incidence of cotton Verticillium wilt and increased the cotton plant height. The compound chaetoviridin A was identified from the metabolic crude extract of *C. globosum* CEF-082 through HPLC and NMR analysis and caused strong inhibition of the growth and microsclerotia germination of *V. dahliae*. This compound caused cell necrosis and mycelial deformation of *V. dahliae* with an increase in the production of reactive oxygen species and nitrous oxide (Zhang et al. 2021).

Trichoderma afroharzianum TM24 inhibited the growth of Botrytis cinerea by 74.2% with continuous overgrowth on the mycelia of the pathogen. Also, after 5 days of incubation, isolate TM24 exhibited the highest activities of chitinase and  $\beta$ -1,3-glucanase. The isolate TM24 displayed a significantly reduced in both disease incidence and disease severity on tomato leaves and the biocontrol efficiency was 56.7% against grey mould in greenhouse experiments with an increase in the activity of defense-related enzymes including polyphenol oxidase, phenylalanine ammonia-lyase, superoxide dismutase, and peroxidase. A total of 1941 differentially expressed genes (DEGs) were obtained in tomato leaves treated with T. afroharzianum and then inoculated with B. cinerea by transcriptome analysis. These genes were related to defense-related pathways, like flavonoid, phenylpropanoid, jasmonic acid, and ethylene metabolisms as well as the MAPK signaling pathway and plant hormones signal transduction pathway (Zhao et al. 2021b). Furthermore, the rhizosphere fungi Aspergillus flavus, A. niger, Penicillium citrinum, P. chrysogenum, and Trichoderma koningiopsis significantly decreased the disease severity (lesions size) in wheat plants via stimulated induced systemic resistance (ISR) against wilt disease caused by Rhizoctonia solani R43. Also, all fungi showed a high capability to produce siderophores and solubilize tri-calcium phosphate (El-Maraghy et al. 2020). Also, the fungus Talaromyces sp. record the maximum inhibition against Colletotrichum capsici, the causal agent of chilli anthracnose disease, by 88.64% during the antagonism test with root colonization ability in the plants. Also, Talaromyces sp. caused great protection in chilli plants against anthracnose by 78.75% under greenhouse conditions. The PGPF fungus directly activated lignin and callose deposition in chilli seedlings as well as the enzymes of phenylalanine ammonia-lyase (PAL), peroxidase (POX),  $\beta$ -1,3-glucanase, and chitinase (Naziya et al. 2020).

Among 278 *Trichoderma* strains belonging to 139 species, *Trichoderma brevicrassum* TC967 caused the highest inhibition on the growth of *Rhizoctonia solani* by 72.14% in the dual culture experiment. The disease index was only 37.5% in the cucumber seedlings treated with *T. brevicrassum* TC967. Also, this strain

significantly reduced disease symptoms in cucumber plants under greenhouse conditions. The strain TC967 induced the expression of systemic acquired resistance (SAR) genes such as PR1 (encoding pathogenesis-related protein), PR5 (encoding thaumatinlike protein), and PR4 (encoding chitinase for induced systemic resistance, ISR) in cucumber plants treated with TC967 and the pathogen as compared with the control. At the same time, the shoot length and dry weight of cucumber seedlings were increased after treatment with the strain TC967 than those of the control (Zhang and Zhuang 2020). The PGPF isolates, *Phoma* sp. GS8-1, *Phoma* sp. GS8-3, *Trichoderma asperellum* SKT-1, *Fusarium equiseti* GF18-3, and *Penicillium simplicissmum* GP17-2, strongly inhibited the growth and germination of the sclerotia of *Sclerotium cepivorum* and the four culture filtrate of PGPF isolates significantly decreased the germination of sclerotia. The treatments with PGPF isolates significantly reduced both disease incidence and severity in onion plants in greenhouse and field trials with an increase in the levels of peroxidase (POX) and polyphenol oxidase (PPO) enzymes (Elsharkawy and El-Khateeb 2019).

# 4 Conclusions

Plant growth-promoting fungi (PGPF) are promising agents for sustainable agriculture. The use of PGPF proves to be an outstanding alternative to chemical fertilizers and synthetic pesticides in the long term with numerous potentials in biofertilization, biocontrol, and biostimulation. PGPF are a powerful tool for scientific research and agricultural companies to develop viable strategies in crop productivity and plant disease management with maintaining the agroecosystems, biodiversity, and soil health. The application of PGPF improves plant growth and yield, directly suppresses plant pathogens, and indirectly enhances induced resistance in plants including SAR and ISR in an eco-friendly, non-toxic, and cost-effective manner. Understanding the underlying mechanisms of PGPF activity will be useful and highly vital for developing new tools to boost crop productivity, plant disease control, and involvement in a sustainable ecosystem.

**Acknowledgments** The authors thank the outstanding contribution of Dr. Sabrien A. Omar, Agricultural Microbiology Department, Faculty of Agriculture, Mansoura University, Egypt, for the creation of the Figure.

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# An Insight into Fungi in Forest Ecosystems



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## 1 Introduction

In nature, any organism does not exist in isolation. All living organisms in an ecosystem interact with each other for their survival. The Physico-chemical environment makes up the habitat for each organism for example if the plant is the organism, it grows in two environments: the aerial environment (shoot system) for light, temperature, precipitation, wind, etc., and the soil (edaphic factor) environment (root system) for inorganic nutrients and water. The microorganisms depend on living as well as dead organic matter from plants and animals for food, and shelter and release minerals bound to the organic matter for plant reuse. A forest ecosystem is a highly organized system the predominant elements are plants, particularly trees, which form a canopy cover and serve as habitats for the microorganisms particularly bacteria, fungi, insects, and animals. In a forest ecosystem both living as well as dead wood serves as the substrate for the fungi, lichens, small plants, etc. Fungi establish different associations with forest plants such as mycorrhizal, epiphytic, endophytic, saprophytic, and parasitic. In a forest, ecosystem fungi play a vital role in mineral recycling, decomposing dead organic matter, absorption of minerals from the soil, acting as biocontrol agents, etc.

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#### 2 Mycorrhizal Fungi

Intimate connections between plant roots and rhizosphere microorganisms are represented by mycorrhizas, a symbiotic link between fungi and plant roots (Giovannini et al. 2020). In the carbon sequestration within forest soil, mycorrhizal fungi are crucial. Mycorrhizae, which act as a carbon sink, are crucial for distributing carbon and have an impact on the ecosystem's nutrient cycling. Through a mutualistic interaction, mycorrhizal association enhances plant development and existence in the ecosystem (Juan-Ovejero et al. 2020). The principal energy source for mycorrhizal fungi is simple sugars, which are transported to the roots of plants as photosynthates. The plant Sequoiadendron giganteum produces a type of mycorrhizae known as vesicular-arbuscular mycorrhizae; seedlings inoculated with these fungi in nurseries can be two to three times larger than non-inoculated manageable seedlings. Mycorrhizal fungi have a role in the cycling of nutrients in the soil. As well as their reproductive organs (spores, mushrooms, and Truffles) are essential parts of the intricate woodland food chain. Management techniques that safeguard the soil's biological composition will safeguard the overall well-being and functionality of the forest ecosystem (Molina 1994). Some of the common mycorrhizal fungi are listed in (Table 1).

## 2.1 Ectomycorrhizal Fungi (EMF)

In symbioses with over 60 percent of the trees, these types of fungi constitute an essential part of the forest soil microbiome (Bueno et al. 2017; Steidinger et al. 2019). The absence of data on the population of ectomycorrhizal fungi and the growth rate of trees has made it difficult to study the data on other in situ variations in the environment. This paved the way for ectomycorrhizal fungi to study the growth rate by taking into account the climatic effects, nitrogen deposition, and other tree morphological characteristics. We were also able to explicitly correlate

Host	Family	Mycorrhiza	
Clusia multiflora	Clusiaceae	Acaulospora scrobiculata, Glomus sp., Gigaspora sp., Racocetra fulgida	
Ipomoea batatas	Convolvulaceae	Gigaspora margarita	
Liriodendron tulipifera	Magnoliaceae	Acaulospora morrowiae, Claroideoglomus claroideum, Rhizoglomus clarum, Paraglomaus brasilianum	
Andropogon virginicus	Poaceae	Fuscutata heterogama	
Panicum virgatum L.	Poaceae	Glomus diaphanum, Claroideoglomus etunicatum, Gigaspora albida	
Malus prunifolia	Rosaceae	Cetraspora pellusida, Acaulospora scrobiculata	

Table 1 Common Mycorrhizal fungi and their host plant

the composition of the EMF community & genomic functional potentials with forest tree growth. The ability to statistically account for well-known determinants of tree development makes it particularly crucial to include these environmental factors in our mode everywhere this work was done in the forest network, particularly age and stand density, nitrogen deposition, and climate (Etzold et al. 2020).

## 2.2 Endomycorrhizal Fungi (EF)

The majority of vegetative crops such as grasses, fruit trees, and vegetables constitute endomycorrhizal fungi that penetrate the cortical cells. In other words, endomycorrhiza has an exchange system inside the root, & the fungi's hyphae extend outside the root. Compared to ectomycorrhiza, this connection is more intrusive. Spores, fragments of colonized roots, & vegetative hyphae are the three main inoculum sources that endomycorrhizal fungus uses to colonize plants. Propagules, the conventional unit of measurement indicated on the majority of commercially available mycorrhizal products, refer to these inoculants collectively (Sutela et al. 2020; Coque et al. 2020).

#### 2.3 Arbuscular Mycorrhizal Fungi (AMF)

The development of arbuscles inside the roots is the characteristic feature of Endomycorrhizal filamentous fungi. AMF includes hundreds of species from the phyla of Glomeromycota which have the potential to colonize around 80% of terrestrial plants (Lee 2019). Arbuscular mycorrhizal fungi are helpful soil microorganisms that form mutualistic symbioses with the roots of the most significant food crops and are essential to maintaining the long-term fertility and health of the soil. By choosing AMF inoculum based on their colonization capacity and effectiveness, which are influenced by fungal and plant genotypes and a variety of environmental factors, the enormous inter and intra-specific AMF diversity can be fully used. The several functions of AMF, which include nitrogen fixation, phosphorus solubilization, and the generation of phytohormones, siderophores, and antibiotics, are the consequence of the cooperative efforts of the bacterial populations that inhabit the mycorrhizosphere. Host plants, mycorrhizal symbionts, and related microorganisms exhibit advantageous newly emerging traits that could be effectively utilized in sustainable agriculture (Giovannini et al. 2020).

## 2.4 Ericoid Mycorrhizal Fungi (ERM)

The first Leotiomycetes species isolated from ERM roots was *Rhizoscyphus ericae*. It was formerly assigned to the genus *Pezizella* but was then transferred to Hymenoscyphus and finally to Rhizoscyphus. Ericaceae plants are essential as they store 20% of the total carbon storage of the planet. These infertile soils have a high concentration of resistant polyphenolic chemicals and acidic conditions, which cause the organic matter in the soil to decompose very slowly. Ericaceous plants' endomycorrhizal relationships with fungi, which help them extract nutrients from the soil by breaking down a variety of intricate and resistant organic substrates, are essential to their survival in these habitats. Ericaceous plants also make up the understory in forest ecosystems. In colder temperate and altitudinal forests, the ERM fungal biomass may help to store a significant amount of soil organic matter. Because of their role in decomposition and the possibility that their fungal biomass itself is rich in refractory carbon compounds. Four widespread species of Leotiomycetes have recently had the genetic machinery underlying their exceptional saprotrophic powers revealed. These ERM fungi are less like other mycorrhizal fungi and more like saprotrophs and pathogens due to their enzyme machinery (Perotto et al. 2018).

## 2.5 Orchid Mycorrhizal Fungi (OMF)

Some orchid species lack the ability to synthesize chlorophyll, while others only do so once they have developed past the seedling stage. Every time, at least a portion of the plant's life is dependent on sugars produced by a fungus partner. Although the tiny orchid seeds can germinate aseptically when given the "fungal sugar" trehalose, they will not grow unless a fungus infects them since they have very little nutritional reserves. Because the plant in essence parasitizes the fungus that invades it, these mycorrhizas are uncommon. *Rhizoctonia solani*, a common plant pathogen, is similar to the fungus in these partnerships, although current taxonomic investigations have placed them in multiple related genera. They are mostly saprotrophic, meaning that they develop by breaking down organic matter in the soil, although they may also obtain trace minerals or other nutrients., Although they are mostly saprotrophic, which means they grow by consuming soil organic matter, they may also get trace elements or other nutrients from plants. *Armillaria* species indirectly parasite non-photosynthetic plants such as *Monotropa* species even though they are termed as mycorrhizal fungi (Alghamdi 2019).

#### **3** Role of Mycorrhizal Fungi

Plants can take up more phosphorus and trace elements with the help of mycorrhizas. They achieve this by increasing the amount of soil the plant explores. Extremely thin hyphae, measuring between 1 and 10 thousandths of a millimeter in width, are a distinctive feature of mycorrhizal fungus. These hyphae search the soil for nutrients, carry them back to the host plant, and aid in aggregating soil particles. This property of mycorrhizal fungus is particularly crucial for plant uptake of phosphorous, which does not travel as easily in the soil solution as nitrogen. When phosphorus is low in the soil, plants with mycorrhizas on their root systems have better access to and absorb more phosphorus, copper, and zinc, which act similarly in soil. The aggregate of soil can also be increased by mycorrhizal fungus. The hyphae create networks between adjacent soil particles, roots and soil particles, roots of the same plant, and roots of various plants. Inside the roots they colonize, they also create networks. There is also some evidence to suggest that the fungi may aid in plant adaptation to drought (Alghamdi 2019).

More nutrients and water are absorbed by plants from the soil as a result of mycorrhizae. Additionally, they improve plants' resistance to numerous harmful environmental stressors. Additionally, mycorrhizae play a significant part in the process of soil structure and promote advantageous microbial activity, increase the capacity of the soil to hold water and nutrients for the soil, boost the permeability and porosity of the soil, create a favorable habitat for increased microbial activity, and nutrient cycling in the soil. Root survival is increased and established when seeds are sown or plants are transplanted which enhances the plant's capacity to absorb minerals and gain access to other nutrition sources shared by colonized plants. They increase the ability of plants to resist soil diseases, viruses, drought, salt stress, and pests, among other things, and lead to the healthy growth of the plant's root system (Sutela et al. 2020). The mycorrhizal connection is crucial to the health of the forest ecosystem. Changes in  $CO_2$  content may have a significant impact on roots and mycorrhizal fungi. Despite the fact that they serve as a substantial carbon sink; Hence, detailed analysis is required to predict their function. Using mycorrhizas behave in a variety of ways to high CO<sub>2</sub> that could change soil-plant interactions, nutrient uptake, and plant growth patterns of carbon allocation. The data indicate that increased CO<sub>2</sub> could be affecting forests through modified C inputs from plant systems. Future carbon sequestration should be evaluated. The interactions between the improved carbon inputs restrictions enhanced mycorrhizal activity and functions with increased capacity for resource acquisition. One of the more common types of forest creatures, forest fungi are essential to the productivity of ecosystems by aiding in soil fertility, nitrogen cycle, and nutrient uptake. They serve as pillars in the intricate woodland food web. Forest plants and symbiotic root fungus have co-evolved in mutualistic partnerships such that both parties' life and fitness depend on the other. We must safeguard the invisible and underappreciated below-ground ecology, just as woods make enormous financial investments in the form of photosynthates to support beneficial soil species.

## 4 Phyllosphere Epiphytic and Endophytic Fungi

Plant-microbe interactions are essential in plant diversity, population or community stability, and in turn, ecosystem dynamics (Wardle et al. 2004). Epiphytic fungi serve as an important component of the forest microbial population that survives on the leaf surface. Those fungi living inside the host are termed endophytes which do not cause any symptoms or injury to the host. Endophytic fungi are highly diverse & play several important roles in forest ecosystems (Arnold et al. 2003; Guerreiro et al. 2018) These fungi play a crucial role in carbon & nitrogen recycling in forest ecosystems (Sun et al. 2011). Microenvironments with which they reside vary for epiphytes and endophytes. The former rest on the external environment whereas the latter depends on nutrients from host tissues. So, the host plants therefore apparently have more control over the endophytic fungi than epiphytic fungi (Inácio et al. 2002; Clay and Schardl 2002; Santamaria and Bayman 2005).

Phylloplane, which denotes the surface of the leaf is an important niche for the survival and growth of a diversity of microorganisms. Knowing the diversity of epiphytes & their role in the ecosystem dynamics has gained attention worldwide. Epiphytes have frequently been viewed as commensals, pathogens, & parasites. Some mycologists have described epiphyllous as epifoliar fungi or nutrition guilds & epifoliar fungi are functionally commensal (Whipps et al. 2008; Anthony et al. 2002; Li et al. 2016; Gilbert and Strong 2007). Fungal spores are present on the surface of the leaf depending on the moisture conditions for their germination. Conversely, some spores are washed away without deposition (Jones 1994; Braun and Howard 1994). During germination of fungal spores on the surface of the leaf, rapid changes can take place in temperature, fluctuation in humidity & nutrients are also in short supply apart from this competition among epiphytes for space. Epiphytic fungi which are exposed to radiations and high-intensity light are susceptible to failure in spore germination (Shepherd and Wagner 2012). Pigment melanin which provides spores and hyphae dark color protects fungal hyphae from ultraviolet damage (Whipps et al. 2008).

Dechnik-Vázquez et al. (2016); Terborgh and Peres (2017), and Mežaka et al. (2020) reported that the distribution of epiphyllous fungi on the leaf surface is determined by both environments and leaf chemistry. The distribution of epiphyllous fungi also differs between closed forest sites and ground-rooted plants. The diversity of epiphyllous communities in the forests does not follow similar patterns instead shows species vary depending on the leaf age. However, an intense study is required for interactions between early colonizers of epiphyllous fungi and later colonizers. Phylloplane fungi like *Vizella* Sacc obtain protection by growing below or inside leaf cuticles (Gadgil 2005). Phylloplane fungi grow on the surface with minimum or low nutrient conditions but the saprotrophic fungi obtain nutrients by

breaking down dead hyphae and other leaf exudates (Cooke and Rayner 1984). Some epiphyllous fungi are parasitic, they enter the host by developing haustoria that break the epidermal layer and gain entry into and absorbs the food.

## 5 Fungal Endophytes

Anton De Bary coined the term endophyte describing it as fungi or bacteria living in plants without showing any disease symptoms (Wilson 1995). Endophytes differ from other organisms such as epiphytes and mycorrhiza in which the latter resides in the external (Saikkonen et al. 1998). Fungal endophytes survive inside the healthy plant tissues as dormant structures and form symbiotic relations with the host plants. Most mycologists agreed on the fact that the presence of fungal endophytes is ubiquitous. Yet they may not be exposed to the varying external environment as the epiphytic (phylloplane) fungi are, they come across the defense reactions of the host. So, their life strategies are likely to be different from those of other fungi (Rajagopal 1999). Nearly 400,000 types of plants that exist on earth are associated with one or more fungal endophytes. The diversity and distribution of fungal endophytes were found to be diverse in each host plant it is attributed to plant health, leaf age, climate, canopy cover, etc. Litter and fungal endophyte, microfungi are found to occur in almost every plant on earth and have been reported from all plants studied to date. Bills and Polishook (1994) have reported that tropical plants harbor various fungi in abundance than temperate plants. Fungal endophytes are a collection of endosymbionts with distinct biological niches and have high range of diversity that hosts seeds, leaves, roots, and stems (Tiwari et al. 2010). Like mycorrhizal fungi, fungal endophytes form symbiotic plant-fungi associations. But, unlike mycorrhiza fungi which colonize plant roots & grow into the rhizosphere, fungal endophytes infect above-ground parts and reside completely within plant tissues (Caroll 1988). Fungal endophytes comprise various groups of species that vary in symbiotic and ecological functions. Rodriguez et al. (2009) grouped endophytes into four functional classes based on host range, colonization, tissue specificity, transmission patterns, and fitness benefits conferred to hosts. Class I Class of endophyte belongs to clavicipitaceous fungi infecting grasses whereas classes II, III, and IV are nonclavicipitaceous fungal endophytes, present in asymptomatic plant tissues of nonvascular plants, ferns, gymnosperms, and angiosperms (Tables 2 and 3).

### 6 Role of Endophytic Fungi

Fungal endophytes prevent pathogenic microorganisms by infecting host plants and they are capable of producing an array of biologically active compounds which are essential for host endophyte relationships (Strobel and Daisy 2003). Several endophytic fungi synthesize antimicrobial compounds active against pathogens infecting

Host	Family	Fungal endophyte	
Avicennia marina	Acanthaceae	Pseudocercospora sp.	
		Capnodiales sp.	
		Basidiomycota sp.	
		Sporidiobolales sp	
Carpinus caroliniana	Betulaceae	Pestalotiopsis guepinii Trichoderma harzianum	
Alnus rubra	Betulaceae	Gnomonia setacea, Gnomoniella tubiformis	
Betula pubescens	Betulaceae	Venturia ditricha Phomopsis sp.	
41 1	D ( 1	Ophiovalsa betulae Trimmatostroma betulinum	
Alnus glutinosa	Betulaceae	Ophiovalsa suffusa Pezicula cinnamomea Pleurophomopsis lignicola	
Alnus rubra	Detalesses		
Ainus rubra	Betulaceae	Phomopsis sp.	
D ( 1 1 1	Detalesses	Ophiovalsa suffuse	
Betula pendula	Betulaceae	Ophiovalsa betulae	
<u> </u>	D ( 1	Pseudovalsa lanciformis	
Carpinus betulus	Betulaceae	Pezicula carpinea	
	E 1 1'	Diaporthe carpini	
Excoecaria	Euphorbiaceae	Phyllosticta sp. Botryosphaeriaceae sp.	
agallocha		Pseudocercospora sp. Erythrobasidium sp.	
		Dothideomycetes sp. Jaminaea sp.	
		<i>Uwebraunia</i> sp. <i>Mycosphaerellaceae</i> sp. <i>Zasmidiums</i> p	
		Pleosporales sp. Mycosphaerellaceae sp.	
		Erythrobasidiales sp. Symmetrospora sp	
Rhododendron	Ericaceae	Alternaria alternata	
arboretum	Liteaceae	Chaetomium indium	
arboreium		Cochliobolus lunatas	
		Fusarium sp.	
		Humicola sp.	
		Sporormiella sp.	
		Aspergillus niger	
		Chaetomiun indicum	
		Cladosporium sp.	
		Emericella nidulans	
		Phoma sp.	
		<i>Trichoderma</i> sp	
Acacia	Fabaceae	Penicillium sp.	
melanoxylon		Botrytis sp.	
		Chaetomium globosum	
		C. incomptum	
		Cladosporium sp.	
		Fusarium sp.	
		Sporormiella sp	

 Table 2 Fungal endophytes from forest trees (angiosperms)

(continued)

Host	Family	Fungal endophyte	
Acacia decurrens	Fabaceae	Aspergillus flavus	
		Aspergillus niger	
		Drechslera sp.	
Dalbergia latifolia	Fabaceae	Pestalotiopsis sp.	
		Curvularia sp.	
		Curvularia lunata	
Pterocarpus marsupium	Fabaceae	Nigrospora oryzae	
Acacia dealbata	Fabaceae	Drechslera sp. Fusarium sp	
Quercus robur	Fagaceae	Dicarpella dryina	
Quereus roour	rugueeue	Apiognomonia quercina Ulocladium sp.	
		Trichoderma viride	
		Amphiporthe leiphaemia	
		Phomopsis quercina	
		Colpoma quercinum Nodulisporium sp.	
		<i>Eutypella</i> sp	
Quercus petraea	Fagaceae	Apiognomonia quercina, Aureobasidium apocryptum,	
		Colpoma quercinum, Apiognomonia errabunda	
Quercus cerris	Fagaceae	Cladosporium cladosporioides Phomopsis quercina	
		Diplodia mutila	
		Dicarpella dryina, Dendrodochium sp	
Fagus sylvatica	Fagaceae	Apiognomonia errabunda Dicarpella dryina	
		Pezicula livida	
		Botryosphaeria quercuum Diaporthe eres	
		Asterosporium asterospermum Neohendersonia kickxii	
Quercus alba	Fagaceae	Dicarpella dryina	
		Dicarpella subglobosa	
Fagus crenata	Fagaceae	Apiognomonia sp. Geniculosporium sp.	
		Ascochyta sp.	
		Tritirachium sp.	
		<i>Periconiella</i> sp.	
		Phomopsis sp.	
Quercus emoryi	Fagaceae	Asteromella sp.	
Quercus pubescens	Fagaceae	Cladosporium cladosporioides Ulocladium sp.	
		Phomopsis quercina, Apiognomonia quercina	
Quercus ilex	Fagaceae	Phyllosticta ilicina	
		Phomopsis glandicola Acremonium strictum	
		Biscogniauxia sp.	
		Nodulisporium sp.	
0	<b>P</b>	Phoma sp.	
Quercus garryana	Fagaceae	Apiognomonia quercina	
Castanea sativa	Fagaceae	Cryptodiaporthe castanea, Pezicula cinnamomea	
Acer	Malvaceae	Petrakia irregularis	
pseudoplatanus		Phomopsis sp.	
		Phialocephala dimorphospora	

(continued)

Table 2 (continued)

Host	Family	Fungal endophyte	
Tilia cordata	Malvaceae	Apiognomonia tiliae Mycosphaerella punctiformis	
Grewia tiliifolia	Malvaceae	Nigrospora oryzae	
M. nilagirica	Magnoliaceae	Phyllosticta sp. Chaetomium globosum Fusarium sp.	
Michelia champaca	Magnoliaceae	Curvularia sp. Drechslera sp. Penicillium sp	
Eucalyptus globulus	Myrtaceae	Cladosporium sp. Scopulariopsis sp. Trichoderma sp. C. indicum	
Fraxinus excelsior	Oleaceae	Phomopsis sp.	
Aegiceras corniculatum	Primulaceae	Neodevriesia sp. Cladosporium sp. Pestalotiopsis sp. Capnodiales sp. Agaricostilbales sp. Dothideomycetes sp. Davidiellacea sp	
Rhizophora stylosa	Rhizophoraceae		
Acer macrophyllum	Sapindaceae	Phomopsis sp. Diaporthe eres Cryptodiaporthe hystrix Pezicula livida	
Acer pseudoplatanus	Sapindaceae	Phloeospora aceris Cryptodiaporthe hystrix	
Populus tremula	Salicaceae	Penicillium sp. Cladosporium maculicola	
Salix fragilis	Salicaceae	Cryptodiaporthe salicella Daldinia sp. Microsphaeropsis sp.	
Populus tremula	Salicaceae	Valsa sordida Trichoderma viride	

Table 2 (continued)

plants (Rajagopal 1999). Fisher et al. (1984a) reported that several fungal endophytes that they tested possess antibacterial and antifungal compounds. Fisher et al. (1984b) have isolated a broad-spectrum antibiotic from fungal endophyte isolated from *Vaccinium* sp. Fungal endophytes have the capacity to tolerate or metabolize phenolics and other defense chemicals of the host plants (Carroll and Petrini 1983). Endophytes serve as biocontrol agents in many countries against fungal pathogens for plant crops (Petrini 1991). Fungal endophytes represent natural genomes bestowed with beneficial attributes that could be identified and introduced into other plants for required characteristics. Then again, fungal endophytes could be used as

Host	Family	Fungal endophyte	
Juniperus communis	Cupressaceae	Kabatia juniper	
		Anthostomella formosa Pezicula cinnamomea	
Sequoia	Cupressaceae		
sempervirens		Pezicula livida	
Calocedrus	Cupressaceae	Linodochium sp. Geniculosporium sp.	
decurrens			
Thuja plicata	Cupressaceae	Chloroscypha seaveri Geniculosporium sp.	
Chamaecyparis	Cupressaceae	Scolecosporiella sp. Nodulisporium sp.	
lawsoniana			
Juniperus	Cupressaceae	Sarea difformis	
occidentalis			
Larix sibirica	Pinaceae	Monilinia laxa	
Abies magnifica	Pinaceae	<i>Phyllosticta</i> sp.	
		Cryptocline abietina	
Picea abies	Pinaceae	Lophodermium piceae, Tiarosporella parca	
Abies lasiocarpa	Pinaceae	<i>Cryptocline</i> sp.	
Picea glauca	Pinaceae	Lophodermium piceae Mycosphaerella sp.	
Abies balsamea	Pinaceae	Phyllosticta sp.	
Lophodermium sp.		Lophodermium sp.	
Picea mariana	Pinaceae	Cryptocline abietina	
Abies procera	Pinaceae	Phyllosticta sp.	
		Lophodermium sp.	
Abies concolor,	Pinaceae	Phyllosticta sp.	
Abies grandis		Cryptocline sp.	
Abies amabilis Pinaceae		<i>Phyllosticta</i> sp.	
		Lophodermium sp.	
Taxus brevifolia	Taxaceae	Phyllosticta sp.	
Pinus thunbergii x densiflora	Pinaceae	Lophodermium pinastri Phialocephala sp.	
Tsuga heterophylla	Pinaceae	Cryptocline sp	
Pseudotsuga menziesii	Pinaceae	Rhabdocline parkeri Phyllosticta abietis	
Tsuga mertensiana	Pinaceae	Lophodermium sp.	
~		Phyllosticta sp.	
Pinus contorta	Pinaceae	Lophodermium sp.	
Pinus lambertiana	Pinaceae	Lophodermium sp. Cyclaneusma minus	
Pinus nigra	Pinaceae	Cyclaneusma niveum Cenangium ferruginosum	
Pinus resinosa	Pinaceae	Lophodermium sp. Pragmopycnis sp	
Pinus sylvestris	Pinaceae	Anthostomella Formosa Lophodermium seditiosum	
		Cyclaneusma minus Cenangium ferruginosum	
		Lophodermium pinastri	
Picea sitchensis	Pinaceae	Lophodermium piceae	
		Rhizosphaera kalkhoffii Phomopsis sp.	

 Table 3 Fungal endophytes from forest trees (gymnosperms)

(continued)

Host	Family	Fungal endophyte	
Pinus banksiana	Pinaceae	Coccomyces sp. Phomopsis sp	
Pinus mugo	Pinaceae	Cenangium ferruginosum Cyclaneusma minus Lophodermium pinastri	
Pinus ponderosa	Pinaceae	Lophodermium sp. Sydowia polyspora	
Pinus strobus	Pinaceae	Lophodermium nitens Hormonema sp	
Pinus monticola	Pinaceae	Lophodermium sp. Hormonema sp.	
Pinus attenuata	Pinaceae	Cyclaneusma sp. Lophodermium sp	
Pinus densiflora	Pinaceae	Lophodermium pinastri Phialocephala sp.	
Larix decidua	Pinaceae	Tympanis sp. Phialocephala dimorphospora	
Pinus tabulaeformis	Pinaceae	Rhodotorula pinicola	
Picea abies	Pinaceae	Tryblidiopsis pinastri Mollisia cinera Pezicula livida Tympanis sp. Pocillopycnis umensis	
Pinus sylvestris	Pinaceae	Pezicula livida Tympanis sp.	
Abies alba Pinaceae Diaporthe eres Grovesiella abieticola Pezicula sp. Cryptocline abietina, Gloeosporidiella sp.		Grovesiella abieticola Pezicula sp. Cryptocline abietina,	

Table 3 (continued)

vectors of genes to be delivered artificially into other hosts (Petrini et al. 1992). Fungal endophytes provide the greatest potential for biocontrol because these fungi are integrated into the host system. Dewan and Sivasithamparam (1989) stated that "Take all" diseases caused by fungus in wheat are protected by fungal endophytes. The fungal endophyte also confers protection against insects and pests for example the needles of Douglas fir harbor a fungal endophyte *Rhabdocline parkeri* this fungal endophyte controls the gall midge caused by *Contarina* sp. (Carroll and Carroll 1978).

Pugh (1972) demonstrated the synthesis of the growth-promoting substances IAA from the fungal endophytes *Aureobasidium pullulans* and *Epicoccum purpurascens*. Fungal endophytes isolated from Neem leaves are known to produce bioactive compounds that interfere with plant cell division (Suryanarayanan and Rajagopal 1998). The fungal endophytes such as *Aureobasidium pullulans & Epicoccum purpurascens* produce phytohormones that fasten seed germination in plants. Fungal endophytes are being investigated in commercial sectors such as medical, pharmaceutical, & agricultural industries (Monaghan et al. 1995) because

most of the fungal endophytic fungi are an unexploited pool of secondary metabolites. Hence, the presence of fungal endophytes is an advantage to plants.

Fungal endophytes are symbionts that survive inside the aerial part of the plants. They may augment host resistance to grazing herbivores by synthesizing various alkaloids Endophyte infection also increase nutrient uptake and play a key role in increasing host tolerance to various stress conditions such as heat, salinity, etc. and affect evolution and plant biodiversity (Clay and Shardl 2002; Redman et al. 2002; Brundrett 2006). Fungal endophyte provides disease resistance to host plants and increases the productivity of host plants in resisting abiotic stresses (Lewis 2004; Newsham et al. 1998; Hesse et al. 2003 Fungal endophyte increases host biomass by enhancing photosynthetic efficiency. Under stress conditions, enhancement of tillering ability plays a major role Enhanced tillering ability is advantageous for both host expansion & fungal endophyte transmission, particularly under stress conditions. This improvement in host plant growth might be attributed to the enhanced production of indole acetic acid (De Battista et al. 1990). Fungal endophytes are recognized as dormant saprobes or latent pathogens and are involved in important functions such as deterrent to pest and herbivory, release growthpromoting stimuli, and increasing competitive ability in the host. They are known to synthesize metabolites useful in the biocontrol of plant pests and pharmaceuticals (Bacon et al. 1986; Bills 1996; Wagner and Lewis 2000).

Recently, it was found that fungal endophyte and bacterial infections in plants were found to enhance tolerance to aluminum in tall fescue and tolerance to zinc in perennial ryegrass. Fungal endophytes protect plants from heavy metal exposure and thus difference in the growth rate of fungal endophyte-free and infected plants showed remarkable differences (Ren et al. 2006). During Cd stress, fungal endophyte infection augmented Cd uptake more than EF hosts, and therefore phytoextraction efficiency of tall fescue increased due to fungal endophyte infection. Tumau et al. (1996) predicted that possible mechanisms of Cd uptake by fungal endophytes gather Cd in mycelia and store it in Pinus sylvestris, Cd is retained by ectomycorrhizal fungi in the fungal mantle. Fungi might use another mechanism by secreting metal-chelating compounds like phenolic or organic acid molecules into the rhizosphere. More exudate production by endophyte infection has been reported in tall fescue and in regress. Hence, exudates might be accountable for the increased Cd transport to the shoot region in EI tall fescue. Besides, heavy metal mobilization would occur by the production of siderophores in endophyte bacteria (Abou-shanab et al. 2003). reported that metal ions were incorporated in the glucan-chitin complex of Acremonium pinkertoniae by forming bonds with nitrogen & oxygen atoms of the fungal cell wall polysaccharides. This mechanism of binding is similar to that in the tall fescue infected with EI. Due to human interference and natural activities, heavy metal contamination drastically increases in the ecosystem. Currently, physical or chemical, or phytoremediation is employed. But, in phytoremediation the plant species are used even though they are called hyperaccumulators of metal ions, unfortunately, most of the plant species are very slow growing so it has restricted the use of this method in phytoremediation metal contaminated soils. However, metal ion uptake by plants can be influenced by microorganisms that are closely

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associated with the host plants. The use of such indigenous organisms as endobacteria and fungal endophytes including mycorrhizal are effective in heavy metal sequestration (Wieshammer et al. 2007). Several studies indicated that endophyte infection could considerably increase plant biomass and heavy metal uptake of the host plants. Endophyte infection significantly protects the host plant from abiotic stresses such as drought and mineral scarcity which occur in heavy metal polluted sites. Host-specific endophyte-plant symbionts can be used in phytoremediation, or tolerant endophyte species can be horizontally transferred into other plants, increasing their phytoremediation competence (Wang et al. 2004). The root fungal endophytes can enhance and improve the ecological adaptations of plants living in extreme environments. The fungal endophytes in roots can improve the stress tolerance of the host plants to abiotic and biotic stress. Some of the major stress factors include heat, salt, drought, herbivores, and pathogens (Weiss 2011, 2016; Nguyen et al. 2016; Rodriguez et al. 2008; Arnold et al. 2003). Fungal endophytes present in roots are dark-septate endophytes (DSE) which provide tolerance of plants to heavy metal stress through antioxidative mechanisms by altering heavy-metal distribution in plant cells, and detoxification of heavy metals (Nisa et al. 2015).

## 7 Pathogenic Fungi

Forest plants are essential for sustainable wildlife habitat and have a greater contribution to the industry for their harvesting value. Fungal pathogens affect healthy natural forest ecosystems, although they are beneficial in eliminating unfit flora from the forest ecosystem (Hyde et al. 2019; Castello et al. 1995). Plant diversity in the forest ecosystem is maintained by soil-borne pathogens and also has detrimental effects during the distribution of seedlings. A difference in the juvenile population is caused when the host-specific pathogens kill the plants present close to them (Packer and Clay 2000). Fungi possess a parasitic and pathogenic relationship with the host which varies depending on favorable and unfavorable conditions (Rai and Agarkar 2016). Pathogenic fungi prevail as necrotrophs in the form of latent pathogens where they get triggered by physiological changes of the host and re-establishes their growth (Brown 1998; Slippers and Wingfield 2007). Hemibiotrophs transform into necrotrophs by initially occurring as biotrophs (De Silva et al. 2017). A natural ecosystem is greatly affected as pathogenic fungi pose a serious threat causing a reduction of its viability and vitality. (Fisher et al. 2020). The emergence of new pathogens leads to the generation of dangerous strains which negatively impact plant health and biodiversity conservation (Avila-Quezada et al. 2018). A high virulence rate is observed in emerging pathogens and they also arise from new taxa on native hosts. Depletion of keynote species causes a modification in the basic nature of the forest ecosystem. Non-indigenous fungal species effectively remove many different types of foundation species which helps in stabilizing water levels and many other ecological processes. Deletion of such species from the ecosystem leads to serious impacts on food webs, nutrient fluxes, and biodiversity (Ellison et al.

2005). Some major factors such as abiotic stresses, change in climatic conditions, and migration of pathogens contribute to the devastating effects of fungal diseases on forest trees (La Porta et al. 2008).

#### 8 Emerging Forest Pathogenic Fungi

Emerging fungal pathogens are diverse which attributes to many factors with climatic changes as the predominant factor. *Phytophthora cinnamomi*, a soil-borne oomycete is a unique example of a fungal pathogen related to climatic changes (Brasier and Kirk 2001). The *P. cinnamomi*, a native of Southeast Asia, is now prevalent in most parts of temperate and tropical areas. The major symptoms of the disease are necroses involving root, collar, and stem leading to major diseases such as stem cankers and declines (Hardham and Blackman 2018). *P. cinnamomi* move to warmer regions as they are sensitive to host and infect plant species present in warmer areas (Bergot et al. 2004). The *P. cinnamomi* not only infects plants within South Asia and infects plant trees worldwide, particularly in South-west Australia.

Common symptoms related to fungal diseases range from spots, wilts, blights, rots, cankers, and damping-off (Jayawardena et al. 2019). Fungus-like pathogens and fungi threaten biodiversity with regard to their gene expression levels and biogeography (Scott et al. 2019). Globally, newly emerging pathogens are the primary cause of disease for plant pathogens (Rafiqi et al. 2018). Climatic changes are ranked as the top contributing drivers for emerging fungal pathogens in addition to natural calamities such as floods, hurricanes, and storms. (Nnadi and Carter 2021). Worldwide migration of pathogens is due to the migration of living plants across international borders and those pathogens have severe ecological consequences. Native pathogens have a less deleterious effect on host plants and these pathogens when migrating to new places show alarming damages to the endemic plants with lesser resistance. Previously unaffected hosts are affected by P. cinnamaomi which expands its geographic range. Latent pathogens are the major source of emerging pathogens causing virulence and they are cryptically associated with plants. They lead to unanticipated diseases and are similar to known species with little variations in some traits (Stergiopoulos and Gordon 2014). The pathogen shows symptoms of diseases if the host is immunocompromised or nutritional conditions get altered. (Photita et al. 2004).

#### 9 Major Plant Diseases

Pathogenic fungi contribute to plant diseases through various invasion mechanisms. They have diverse dispersal mechanisms, reproduction patterns, growth, and parasitism (Porras-Alfaro and Bayman 2011). Spore dispersal can occur through pollen dispersal or independent of the host such as water, insect vector, or wind (Doehlemann et al. 2017). Spores secrete an extracellular matrix for attaching to the host surface and penetrate through phloem or through wounds. Some fungi penetrate the hosts using cell wall degrading enzymes or using appressoria (Dean et al. 2012). A high turgor pressure causes the fungus to penetrate through the cuticle to enter the hosts and obtain nutrients as necrotrophs. Forest pathogenic fungi affect the forest ecosystem by causing canker, dieback, gall, leaf spot, rust, butt rot, chestnut blight, Dutch elm disease, honey fungus, oak decline, *Gremmeniella abietina*, Red and brown band needle blight of pines, Citrus and black spot, Beech bark disease, red needle cast disease, Leaf rust disease, leaf spot diseases, powdery mildew etc. (La Porta et al. 2008).

#### 9.1 Dutch Elm Disease

Dutch elm disease causing vascular wilt predominantly affects *Ulmus* sp. in the Northern hemisphere. *Scolytid* bark beetles are the vectors infecting healthy bark beetles leading to tree death. (Webber 2000). *Ophiostoma ulmi* was identified as the causative fungi during the first pandemic which affected Europe and North America. In 1940, the pandemic reduced causing the death of most of the trees, and around the 1950s, the disease reappeared in Western Asia, Northern America, and Europe with a new name as *Ophiostoma novo-ulmi*. The first Dutch elm disease caused by *Ophiostoma ulmi* was not as severe as the first pandemic due to the viral infection of the fungi. (Mitchell and Brasier 1994).

#### 9.2 Canker

*Fusarium circinatum* results in canker disease of *Pinus radiata* and is found to be one of the most important phytopathogens affecting a group of crops and trees. This pathogen affects pine trees globally. It was reported in California initially in 1986 and later was found to infect Europe (Correll et al. 1991). *Fusarium* sp. Is highly prevalent in tropical regions when compared to temperate regions and its dispersion depends mainly on climatic conditions. They do not prefer cooler temperatures and are less prevalent in northern latitudes in spite of the presence of susceptible hosts (Drenkhan et al. 2020). The plantation trees in the United States and Southern Europe were killed by the pathogenic fungus *Ceratocystis platani* and also affected plantations in Italy in 1972. In Europe, genetic variations have been introduced in the fungus which is transmitted through wounds or pruning. The fungus damages the cambium and bark and causes ink disease which causes death in 3–6 years. The spread of fungal infection could be prevented by destroying diseased trees but the eradication of fungus in stumps remains a challenge (Maire and Vigouroux 2004)

## 9.3 Ash Dieback

*Hymenoscyphus fraxineus*, an invasive discomycte infects *Fraxinus excelsior* causing dieback which is prevalent in both tropical and temperate regions of Asia, Europe, Central America, and North America. The origin of *Hymenoscyphus fraxineus* is in Eastern Asia and is misidentified as *Lambertella albida* which is less virulent than *H. fraxineus* (Gross et al. 2014). *H. fraxineus* produces dieback symptoms in ash trees which were detected in Poland and subsequently had an impact on many European countries (Mckinney et al. 2014). *H. fraxineus*, an aggressive pathogen infects ash trees through ascospores dispersed by wind and present on the leaf petioles. This leads to symptoms of crown dieback, premature leaf fall, leaf necrosis and finally leading to mortality of the tree. This also induces necrosis of bark lesions and seedlings are exposed to an increased death rate whereas aged trees develop long-term infection (Gross et al. 2014).

#### 9.4 Red Needle Cast Disease

*Phytophthora pluvialis*, which causes red needle cast disease in *Pinus radiata* was found in New Zealand. *P. pluvialis*, an aerial homothallic fungus produces oogonia with caducous sporangia which produce zoospores and these zoospores move to the needle surfaces of the *Pinus* plant. Subsequently, zoospores move to intercellular spaces. Again, a new cycle is started when they develop sporangia from the stomata (Gómez-Gallego et al. 2019)

#### 9.5 Foliar Rust Disease

*Melamspora* species infect trees worldwide causing foliar rust disease which leads to reduced photosynthetic activity, reduced biomass, and early leaf drop by *M. medusae*, *M. occidentalis*, *M. allii-populina* and *M. laricis-populina* are some common species of *Melamspora* (Newcombe et al. 2000). The trees mostly affected by *Melamspora* are *Populus* species native to Northern America. Natural hybridization between *M. medusae* and *M. occidentalis* results in a new hybrid that has a greater impact in the USA. Thus, novel virulent traits in *Populus* sp. are a result of such hybridization.

## 9.6 Oak Decline

Throughout Europe, a reduction in oak forests has been associated with climatic changes, and the fungal pathogen *Phytophthora cinnamomi* results in root rot disease at higher temperatures. *P. cinnamomi*, resistant to osmotic stresses and the strong influence of climate and pathogen interaction causes a drastic reduction in mature oaks. Also, endophytic fungi play a significant role in oak decline. Mediterranean *Quercus* sp. are predominantly affected by four endophytic fungi among the 27 endophytic fungi reported (Ragazzi et al. 2003). The colonization frequency of such endophytes is higher in diseased plants than in healthy plants. *Discula quecina*, an endophyte of Turkish oak exhibits an unstable equilibrium between mutualism and pathogenesis. Climatic factors play a major role in the endophytic nature of *Discula quecina*.

### 9.7 Cypress Canker

Cypress canker is caused by the imperfect fungus *Seiridium cardinale* in recent decades. *Seridium cardinale* is the most pathogenic species among other species of *Seiridium*. The colonies of *S. cardinale* can grow up to 35 °C with 25 °C being optimal. The landscape and amenity values of the plants are largely affected.

#### 9.8 Shoot Blight

Sphaeropsis sapinea causes shoot blight in Pines which is an opportunistic pathogen and causes the death of trees in a few years. It was identified in Italy in 1900 and during water stress, it was found to damage different parts of the plant causing blue necrosis, crown dieback, and death of cones. The colonization frequency of the fungus *Sphaeropsis sapinea* was drastically reduced during drought in Europe with some species commonly found in pine plantations (Paoletti et al. 2001). Cones are damaged by the fungus in drought-reduced areas where the trees show browning of needles. *Sphaeropsis sapinea* has been found as an invasive species in Estonia in 2008. Fungal pathogens *Dothistroma pini* and *Dothistroma septosporum* causes red and brown band needle blight of pines and distinguishing both the species was found to be difficult and could be overcome by the molecular level of identification.

#### 10 Mushrooms and Wood Decay Fungi

Mushroom is one of the organisms that have a wide diversity after insects. Fungi estimates at about 1.5 million across the globe, but only 70,000 species have been identified. Mushrooms inhabit different locations but most of them reside in association with forest trees. Mushrooms live as saprophytes & serve as an agent of wood decay. Basidiomycota and Ascomycota constitute the majority of the wood-decaying fungi (Arnstadt et al. 2016; Swift 1982). They are very much important as decomposers since they have cellulose and other plant polymer degrading abilities. Generally, they serve as nature's trash burner and replenisher of soil and thus help in the rejuvenation of the forest ecosystem.

A number of species of wild & medicinal mushrooms occur in all biologically diverse regions during the rainy season. They are found on the wood of living or dead trees, on leaf litter, & in soil (Arora 2008; Karwa and Rai 2010). Tropical rainforests are well known for a variety of organisms based on biogeographical regions. Although tropical habitats occupy only 25.7% of Earth's land, they are the house of most of the world's species (Deshmukh 1986). The availability of the mushroom in nature highly depends on many environmental conditions which include, soil moisture, air humidity, pH, temperature, light intensity, and substrate. The spread & growth of an organism in the world is also influenced by environmental factors. Depending on the enzymes they have, mushrooms grow in different habitats. The fruit body production of mushrooms is not well understood to date as many factors interact with mushroom growth in nature. Nearly 5000 macromycetes have been documented in Switzerland. Among them reported, 30% are mycorrhizal, and the remaining occur in forests. Worldwide, there are about 92 medicinal fungi have been grown (Boa 2004) but none belong to mycorrhizal species.

Mycorrhizal fungi depend on host trees for extending fixing carbon to extend their mycelium in the soil. Mycorrhizal colonization & fruit body production are affected by the interruption of carbon flow from the host to the roots. Tree girdling, shading & herbivores decrease mycorrhizal colonies and their community (Hogberg et al. 2001; Hacskaylo 1965; Gehring and Whitham 2002). Mycorrhizal fungi are host specific and the host tree plays a stronger role in community building than climatic changes (Rineau et al. 2010). Fungal communities and its population is strongly affected during forest succession (Hintikka 1988).

A geographic Information System (GIS) was used to study the area of Grevena, a city in Greece. Grevena has enriched fungal diversity and the mushrooms present in that area were studied extensively. Molasse, ophiolites, & flysch are the dominant geological formations found in the forest of Grevena. Mushrooms found in the Grevena forest ecosystem could be classified into four major categories based on GIS. Mushrooms are found in more than one forest (Table 3) habitat found in molasse, ophiolite, and flysch. Based on the data obtained by GIS, mushrooms found in the forest ecosystem of Grevena can be classified into four major categories (Table 4).

Oak Forest	Beech forest	Coniferous forest	Mixed forest
Amanita caesarea	Amanita muscaria	Agaricus silvaticus	Macrolepiota
			procera
Amanita phalloides	Boletus edulis	Agaricus silvicola	Boletus reticulates
Amanita pantherina	Boletus regius	Gyromitra	Cantharellus
		esculenta	cibarius
Amanita verna	Coprinus silvaticus	Gyromitra gigas	Gyromitra infula
Amanita rubescens	Ramaria aurea	Morchella deliciosa	Inocybe geophylla
Amanita vaginata	Ramaria sanguinea	Morchella elata	Hydnum rufescens
Boletus satanas	Russula mariae	Galerina marginata	Suillellus luridus
Boletus aereus	Plallus impudicus	Lactarius	Boletus
		deliciosus	rhodopurpurus
Boleus lupinus	Laccaria amethyslina	Russula sanguinaria	Mycena rosea
Boletus subtomentosus	Hygrophoropsis aurantiaca	Suillus collinitus	Russula cyanoxantha
Boletus queletii	Lycoperdon echinatum	Suillus luteus	Stropharia aeruginosa
Craterellus cornucopioides	Cortinarius cinnabarinus	Suillus variegates	Lyiphyllus decastes
Lactarius piperatus	Cortinarius elegantissimus	Suillus bovinus	Lycoperdon perlatus
Ramaria formosa	Cortinarius	Hygrocybe coccinea	Hydnum repandus
Russula maculata	Elegantissimu	Coronaria	Laccaria laccata
Entoloma sinuatum	Pluteus cervinus	Caloscypha fulgens	Plleurotus ostreatus
Lactarius volemus	Albatrellus cristatus	Tricholoma	Pleurotus ostreatus
	Mycena renati	aurdrtium	
	Pholiota suarrosa		

 Table 4
 Different mushroom groups in forest ecosystem

Currently, soil microbiologists and ecologists are engaged in understanding the biological interactions below the soils of the forest floor of different forest ecosystems. The interaction among microbes, plants, and animals greatly influences the dynamics of forest ecosystems (Copely 2000). Rossman and others (1998) reported that among the various groups of soil biota fungi, which are more diverse play a major role in the forest ecosystem. Considering the various functions of fungi in forest ecosystems their activity determines the succession and stability of other biological entities' establishment like trees. Hence, soil fungi multiplication and their diversity can offer insight into sustaining fungi as valuable resources. Fungi are able to survive in different habitats because they degrade complex food materials into simpler food extracellularly, the degraded food materials are absorbed across the cell membrane and fungi are capable to inhibit the activity of compounds that reduce the fungal growth in soil. The diversity of fungi is enormous in any forest ecosystem as they use different organic and inorganic substrates for their survival. It is difficult to assess the exact number and diversity in a forest ecosystem. Different

groups of fungi isolated from forest woods belong to different classes like Ascomycetes, Basidiomycetes, and Deuteromycetes (imperfect fungi-asexual fungi) however fungi associated with woody tree roots were poorly studied.

The process of wood decay is linked to the ecological functions of fungi. Many fungi isolated from forest woods include various groups such as ascomycetes, basidiomycetes, Deuteromycetes, and zygomycetes. In the forest, the function of wood decay fungi is to recycle carbon and nitrogen and convert wastes into humus. They are important for the recycling of wood components particularly carbon in biogeochemical cycles. The forest wood mainly consists of lignocellulose. Lignocellulose is a recalcitrant material that contains cellulose, hemicellulose, & lignin, along with proteins, pectin, fatty acids, & other compounds. The ratio of lignocellulosic materials varies between Angiosperms & Gymnosperms, species to species, and varies in different parts of the plant (Cote 1968; Sjostrom 1993). More amount of cellulose and less lignin is present in angiosperms than in Gymnosperm trees. Wood decaying fungi are classified based on the degradation of cell wall components such as cellulose, hemicellulose, & lignin, they are (i) Brown rot fungi (ii) Soft rot fungi, and (iii) White rot fungi.

Brown rot fungi actively degrade cellulose and hemicelluloses the lignin was degraded only partially by brown rot fungi by the process of dealkylation and demethoxylation deposits wood residues (Floudas et al. 2012). Floudas et al. (2012) described that white rot could be the ancestors of brown rot fungi. Riley et al. (2014) proposed that the changeover from white rot to brown rot would have happens in two steps. (i) By losing some of the genes encoding degrading enzymes peroxidases essential for white rot decay mechanisms or (ii) The lignocellulosic complex was rapidly degraded by the wood decay brown rot by redox reactions and thus, the brown rot fungi utilize carbohydrate polymers of the wood and leave lignin as a brown residue. eg. Gloeophyllum trabeum. Soft rot fungi perform incomplete degradation of cellulose, and hemicellulose which results in cavities with a soft appearance cell wall. Most of the soft rot fungi primarily belong to ascomycetes, from different groups e.g., Aspergillus, Phialocephala dimorphospora, Trichoderma, and Xylaria. The white rot fungi destroy all three cell wall components of wood and make the wood consistency white and soft. The white rot is mainly caused by agaricomycetes a subphylum of basidiomycetes Fomes sp., Heterobasidion sp., Phanerochaete chrysoporium, Trametes, and Trichaptum. Some ascomycetous fungi like Coccomyces sp. and Xylaria sp., are also capable of causing white rot fungi (Makela et al. 2015). The descendants of wood decay fungi are from white rot fungi, which would have evolved into brown rot fungi by loss of ligninolytic enzyme genes such as manganese & lignin peroxidases. Based on substrate utilization the white rot fungi are classified into (i) Necrotrophs- killing all living cells for ensuing saprotrophic colonization, (ii) Saprotrophs that survive on either living or dead organic matter, and (iii) Biotrophs they grow on living wood cells without killing them. The saprobic wood decay fungi mainly belong to basidiomycetes, while saprobic fungi decomposing plant litter belong to both ascomycetes and basidiomycetes. Generally, the white rot, brown rot, and wood rot fungi are gilled pleurotoid, polypore, or corticoid agaricomycetes species belonging to class basidiomycetes which includes grassland and forest soil-inhabiting and litter decomposing mushrooms. The wood degrading fungi secrete both enzymes or nonenzymatic mechanisms to destroy wood and at the same time resist wood defense chemicals (Deroy et al. 2015). The fungi overcome host defense by different modes like secreting secretome, proteins by fungal hyphae, degrading or modifying toxic substances, and producing detoxifying enzymes belonging to Cytochrome p450 monooxygenase (cytP450) and Glutathione transferase (GST) families (Alfaro et al. 2014; Morel et al. 2018). The ligninolytic fungi vary in their infection mode some of them have a narrow host range and others have a wide host range. Generally, brown rot fungi prefer gymnosperms as a host to attack over angiosperms, whereas white rot fungi prefer angiosperms to gymnosperms (Hibbett and Donnghue 2001). Some of the Pinus species not infected by the Heterobasidion abietinum, H.occidantale, and H. Parviporum, whereas the Hannosum sp. and H.irregulare could infect Pinus (Bruns et al. 1998; Johannesson and Stenlid 2003; Dalman et al. 2010; Garbelotto and Gonthier 2013). Hess et al. (2017) reported that the brown rot decay fungi Serpula lacrymans is suggested to be adapted to a habitat with large substrates in its natural habitat. The capability of this species to decay wood in a swift has been reported (Jennings 1991). The brown rot decays certain substrates more quickly than its close sister species S. himantioides (Skrede et al. 2011; Balasundaram et al. 2018). Therefore, it appears that S. Lacrymans is adapted to a very narrower habitat than its more prevalent species, S. himantioides. Hence, it appears that Serpula genus genomes carry more genes for CAZymes than other brown rots. Hess et al. (2017) reported that the brown rot decay fungi Serpula *lacrymans* is suggested to be adapted to a habitat with large substrates in its natural habitat. The capability of this species to decay wood in a swift has been reported (Jennings 1991). The brown rot decays certain substrates more quickly than its close sister species S. himantioides (Skrede et al. 2011; Balasundaram et al. 2018). Hence, it appears that Serpula genus genomes carry more genes for CAZymes than other brown rots. Hess et al. (2017) stated that S. lacrymans number of CAZymes is fewer than in S.himantioides, indicating that S. Lacrymans causes brown rot decay which is characterized by a non-enzymatic energy efficient decay system. Generally, fungi can secure their substrate in different methods like altering the pH, oozing oxidative enzymes, or emitting volatiles. The primary colonizers were established initially & must adapt to the substrate rapidly using an efficient defense mechanism. Usually, the primary colonizers are overcome by secondary colonizers by the latter producing metabolites that sustain them. Nowadays biocontrol agents were used by forest industries to overcome forest pathogens. For example, the wood decay species Phlebiopsis gigantea stops diseases caused by H.annosum, as the latter cannot outcompete P. gigantea (Garbelotto and Gonthier 2013). The P.gigantea is incapable of killing alive tress as H. annosum does, hence, P.gigantea is a preferred fungal species as a biocontrol agent by the forest owners.

**Acknowledgments** The authors wholeheartedly thank S. Sruthi Surya and Venkatesh, J, scholars for their help in typing during the preparation of the book chapter is greatly acknowledged.

Conflict of Interest The authors do not have a conflict of interest.

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## **Recent Progress on Fungal Enzymes**



Neveen M. Khalil

## 1 Introduction

In light of the many developments that are taking place around us, we cannot but always search for what is new to keep pace with this tremendous development of the era. Meanwhile, it is clear that enzymes play a pivotal role in many applications that keep pace with such enormous progress. There is always a rising demand for enzymes production to satisfy the need for them.

In general, enzymes are proteins in nature. All living organisms produce them to catalyze specific reactions. Enzymes are manipulated in fields of industry, medicine and environment. Enzymatic processes are more advantageous when compared with the conventional chemical ones. This appears in the gentler reaction conditions and the more advanced specificity which led to higher production of desired products and less production of byproducts (de Souza et al. 2020), moreover more efficient and cleaner processes thus contributing to the sustainable growth concept (Dhevagi et al. 2021).

While all living organisms are capable of producing enzymes, it is noticed that animals and plants cannot satisfy the industrial demands. This drew the attentions towards microbial enzymes (Guerrand 2018). Microbial enzymes can be produced at much higher rates. They are also cost-effective, scalable and more genetically compliant (Singh et al. 2019). Regarding fungal enzymes, they are more stable and more retaining of their activity (Verma et al. 2020). Fungal enzymes show higher production potency, easier purification steps, especially in case of filamentous fungi. Furthermore, since ancient times, fungi have been utilized for different purposes such as baking and brewing. From this perspective, fungi can be considered safe and thus justifying the continuity of their recent use in more than half of commercial enzymes. For all of the previous fungal enzymes are of more significance in various

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application fields (Kango et al. 2019). Some species belonging to genera of *Aspergillus, Penicillium, Rhizopus* and *Trichoderma*, and recently mushroom are fulfilling the enzyme market requirements. The rapid growth of this market led to continuous attempts to find novel enzymes producers satisfying the industrial characteristics (Kumla et al. 2020).

According to their mode of nutrition, fungi are considered chemo-organoheterotrophs getting their nutrients via the breakdown of extracellular organic matter. They could be parasites if the source of organic matter used is from a living host or they could be saprophytes if the source is dead organic matter. (Devi et al. 2020; Suman et al. 2015). In either way, fungi produce an array of hydrolytic (glycolytic, proteolytic and lipolytic) and oxidative enzymes to breakdown the complex organic matters forming simple ones (Kour et al. 2019). Fungal enzymes are mainly produced during the log phase of growth. Extracellular enzymes are secreted to the outside of the cell for digestion of complex nutrients prior to being absorbed within the cells, then endocellular enzymes (found inside the cells) further assimilate the absorbed nutrients (Dhevagi et al. 2021). Extracellular enzymes could also participate in protection of fungi against the naturally existing hazardous materials or those resulting from substrates hydrolysis (Verma et al. 2020). Enzymes are classified where an enzyme could belong to a hydrolase, lyase, oxidoreductase, translocase, transferase, ligase or an isomerase group (Jeske et al. 2019). Hydrolases and oxidoreductases are the most commercially valuable fungal enzymes (Berbee et al. 2017).

There is a variety of enzymes secreted by fungi namely amylases, xylanases, cellulases, lipases, proteases, peroxidases, catalases and laccases (Marco et al. 2013). It is always desirable to use enzymes instead of corrosive chemicals to perform specific functions at the ambient temperatures. Fungal enzymes when purified, their application could be expensive due to the number of phases in the purification process. Nevertheless, their employment could be cost-effective if recyclable biocatalysts are utilized (Godfrey and Reichelt 1996; Gianfreda and Rao 2004).

This chapter discusses the production and purification of fungal enzymes with emphasis on their recent biotechnological applications. Such applications will be outlined in the industrial, biomedical and environmental fields.

#### 2 Production of Fungal Enzymes

Large-scale production of enzymes was developed through the numerous researches conducted in the recent period using specific strains. Studies are concerned with developing fermentation processes, recombinant DNA cloning and enzymes engineering, introducing them to many application fields (Gurung et al. 2013).

#### 2.1 Optimization of Medium

Economically important compounds with applications in various fields are produced by fermentation technology (Dubey et al. 2008). Studies for medium optimization are performed to enhance production of the desired yield. Many investigations were concerned with the microbial nutritional requirements for enhancing metabolites (e.g. enzymes) production (Shih et al. 2002; Singh et al. 2012). It must be taken into consideration that medium optimization should fulfil minimal microbial growth to obtain maximum production of the desired metabolite. This is to have maximum efficiency and minimum cost and wastes thus competing the traditional methods (Singh et al. 2017).

Various strategies are proposed for designing and optimizing the medium for highest efficiency for production. In the classical experimental technique for fermentation medium optimization, the one-factor-at-a-time (OFAT), one factor is changed at each experiment while the other factors are kept constant. Then the concentrations of each selected medium component is varied over a tested range. The OFAT is easy and convenient. Hence, many researchers prefer it (Gonzalez et al. 1995) and they still follow this method (Singh et al. 2017). On the other hand, using the statistical design of experiments (DOE) technique for optimizing the fermentation medium can overcome some of the limitations of the OFAT technique. In 1992, Fisher proposed the theory of experimental design. This theory describes that varying more than one of the medium factors at a time is more efficient than varying only one factor at a time (Fisher 1992).

Optimization of fermentation medium reached new dimensions with the advancements in the statistical methodology. There were improvements in the process efficiency, reduction in experimental time and cost, consequently contributing in the process economics. The microbial process is biological in nature containing relatively many natural variables. Microbial reactions are associated together in a complex network, where several factors influencing different parts in this network. Applying the rational experimental design statistically evaluating the results, leads to increasing the reliability of the obtained experimental data. Furthermore, using the experimental design reduces the number of experiments needed for obtaining reliable data (Elibol 2004).

The experimental design is considered a study plan for achieving certain objectives. Experiments have to be well-planned and the sample size should be enough for obtaining sufficient data so as to answer the objectives of the study. In the full factorial design, all factors, e.g. strain, medium constituents, temperature, pH etc. are studied. Meanwhile, in the partial factorial one, a few number of factors are chosen to be tested, which is usually done if the full factorial design cannot be applied due to little availability of knowledge about all the interactions of medium constituents (Singh et al. 2017).

Since not all medium constituents contribute in the production of the desired product, then the unimportant factors should be removed from the study. R.L. Plackett and J.P. Burman issued their study in 1946 about designing optimal factorial

experiments to precisely set and select the major effects in any process. This is the Placket-Burman Design (PBD), which is a two-level design. It is economically useful in finding the main effectors when assuming that other interacting effects are negligible, to compare the important ones. In other words, the effect of a factor will be superior or will be underestimated if there are no interactions (Vaidya et al. 2003).

# 2.2 Genetic Approaches

Genetic engineering (transcriptomics, proteomics and designing recombinant strains) is used in analyzing and improving enzymes production with least alterations in strains genome (Mever et al. 2010; Liu et al. 2013). For instance, in Saccharomyces cerevisiae, overexpression of several transcription factors (TFs) resulted in enhancing TF target genes expression, whether under inducing or non-inducing effects (Chua et al. 2006). While in Neurospora crassa, inducer-independent cellulases production was accomplished by the constitutive overexpression of *clr*-2 via the ccg-1 promoter (Coradetti et al. 2013). Aspergillus tamarii was subjected to Illumina RNA-seq transcriptome profiling to identify genes responsible for encoding proteins managing plant biomass degradation. There were 209 CAZyme (carbohydrate-active enzyme) genes identified. Another five genes belonging to AA9 (GH61) family and related to LPMO (lytic polysaccharide monooxygenase) were identified. It was noticed that there was up-regulation of transcription factor gene XlnR, responsible for hemicellulases induction, and ClrA gene, involved in regulating cellulases, as well as more than 150 transporter genes (Midorikawa et al. 2018). In Aspergillus niger, it was found that overexpression of gaaR via A. nidulans promotor, gpdA, lead to the constitutive expression of genes responsible for encoding pectinases (Alazi et al. 2018). Another study revealed that in order to achieve stable and safe cellulase gene (sestc) expression, clustered regularly interspaced short palindromic repeats-Cas9 (CRISPR-Cas9) approach was applied to integrate the sestc expression cassette, which contains Agaricus biporus gpd (glyceraldehyde-3-phosphate-dehydrogenase) gene promoter, in the chromosome of Saccharomyces cerevisiae. Ethanol production showed 37.7-fold increase in the engineered S. cerevisiae strain compared with the wild type (Yang et al. 2018).

Properties of fungal enzymes can be improved through protein engineering (Ribeiro and Ribeiro 2013). Work began in the field of protein engineering in the eighties of the last century. Protein engineering is concerned with constructing proteins that are modified via site directed mutagenesis. Researches extended to study the catalytic mechanisms of enzymes and the relationship between their structure and function (Brannigan and Wilkinson 2002). By conducting advanced gene manipulation techniques, the proteins macromolecular structure can be changed to allow the manipulation of the enzymes target functions (Fan et al. 2009).

Site directed mutagenesis is a traditional technique of rational enzyme designing. It is used for evaluating the impact of a certain amino acid or more on the characteristics of the studied enzyme. The thermostable endoglucanase of *Humicola*  *grisea* Cel12A showed three uncommon free cysteines. These were Cys175, Cys206 and Cys216. They were used to construct mutants by site directed mutagenesis. The study demonstrated that these cysteines have a role in enzyme stability (Sandgren et al. 2005).

Another type of rational approaches is creation of multifunctional, chimera, enzymes. Such strategy aids in reducing costs when enzymes are economically used. When enzymes are engineered to have multi-domains along a single polypeptide chain, this would simplify production and purification processes. A natural linker was used to fuse a domain having laccase activity and obtained from *Pycnoporus cinnabarinus* with an *Aspergillus niger* CBM1 domain (Ravalason et al. 2009). The CBM1 domain is responsible for connection to molecules of cellulose, while the laccase domain manages lignin degradation around cellulose with good end results when applied in pulp and paper industries (Ibarra et al. 2006).

In the directed evolution approach, protein engineering employs the natural selection basis for the creation of novel characteristics of proteins and RNAs. Molecular diversity is generated here by random mutations using selective pressure. Survivors to these pressures are selected (Otten and Quax 2005). An example of the random mutagenesis methods is the error occurring in a PCR (polymerase chain reaction), where there is a controllable mis-incorporation of bases during amplification of genes (Cadwell and Joyce 1994). Another method for random mutagenesis is EP-RCA that employs rolling circle amplification (RCA) (Fujii et al. 2004). EP-RCA was used in the small DNA which encodes glucoamylase signal peptide in a recombinant Saccharomyces cerevisiae. This DNA was circularized and then it served as an EP-RCA template (Luhe et al. 2010). The technique of DNA shuffling was developed for random recombination mimicking natural evolution (Stemmer 1994a, b). An example for DNA shuffling technique is the staggered extension process (StEP). For instance, GOase (galactose oxidase) was obtained from Fusarium and then it was evolved by StEP and expressed in functional form inside E. coli. The evolved enzymes showed same substrate specificity and activity but showed more thermostbility and higher expression levels compared with native fungal oxidase (Sun et al. 2001).

In the semi-rational design, semi-rational mutagenesis can be considered a combination of directed and random mutagenesis. Here, hot spots can be defined by the structural or the functional information, which are then randomized for all the amino acids. This is to enhance the enzyme activity or change substrate specificity or the enantioselectivity mutations found close to the active site which are more important than those found on the enzyme surface (Bornscheuer and Pohl 2001). In this relation, an up-shift took place in the optimum pH of *Trichoderma reesei* endoglucanase II variants which occurred when a library was constructed by strand overlap extension (SOE) saturation mutagenesis technique (Qin et al. 2008). Another strategy with less number of cloning steps than SOE is *in vivo* overlap extension (IVOE) which was explored in ascomycetes and basidiomycetes (Mate et al. 2011). The *Pycnoporus cinnabarinus* laccase activity was 8000-fold increased using the error-prone PCR technique together with the *in vivo* shuffling and also the IVOE site directed mutation and recombination (Camarero et al. 2012).

#### **3** Purification of Fungal Enzymes

It has become pivotal that researchers should try to find new methods replacing the traditional ones for fungal enzymes recovery and purification (Polizeli et al. 1991). We also cannot ignore that it is necessary to investigate the biochemical characteristics and the correlation between the structure and function of the purified enzyme (Gupta et al. 2003). Furthermore, its purity as well as its molecular weight are usually examined by SDS-PAGE (Patil and Chaudhari 2010).

Various procedures are employed for fungal enzymes purification. The purification process usually starts with precipitating proteins found in crude enzyme extract to concentrate them. In this step, ammonium sulfate or an organic solvent such as ethanol or acetone can be used. Next steps include dialysis and chromatographic techniques such as ion exchange or gel filtration (Kiiskinen et al. 2004). If the organic solvent step is only applied, where it is tested in different percentages to separate different protein types, the obtained precipitated protein in this case is only partially purified (Kumarevel et al. 2005; Yadav et al. 2019).

The liquid-liquid extraction technique surpasses the traditional ones in that several early stages can be cut short. This technique is based on the fact that when immiscible liquids are brought together, molecules transfer from phase to another. For instance, the ATPS (acquous two-phase system) technique avoids organic solvents use, but molecules are separated between two phases whether it is salt/salt or polymer/salt or polymer/polymer immiscible aqueous phases (Albertsson 1958). The ATPS method is preferred in extracting enzymes since high amounts of water are present (Freire et al. 2012), lower cost than when utilizing chromatography, more environmentally friendly and can be scaled up to reach higher purification folds (Naganagouda and Mulimani 2008; Schwienheer et al. 2015). In this relation, *Penicillium candidum* protease was purified using a system of PEG and sodium citrate and it was amended with sodium chloride to enrich the salt phase thus increasing the purification level (Alhelli et al. 2016).

On the other hand, the emerging TPP (three-phase partitioning) technique is developed for proteins, especially enzymes, extraction. It is characterized by high potential for concentrating proteins from multi-component crude broths and exhibiting higher purification levels compared with conventional methods of protein concentration (Gagaoua and Hafid 2016). The basis of this rising tool is combining the crude protein extract with a solid salt, ammonium sulfate, and organic solvent (e.g. butanol) for obtaining three phases (Ketnawa et al. 2017). The major drawback here is using an organic solvent, which limits the large-scale utilization of this technique (Alvarez-Guerra et al. 2014) since enzymes activity is reduced in presence of organic solvents (Ketnawa et al. 2017). However, butanol delivered a 7.2-fold of purity and a 184-recovery percentage for a laccase obtained from *Pleurotus ostreatus* (Kumar et al. 2011).

#### **4** Recent Applications of Fungal Enzymes

In this section, different fungal enzymes will be reviewed while elucidating their progress in industrial, biomedical and environmental fields (Fig. 1).

#### 4.1 Industrial Applications

Fungal enzymes are widely used in various industrial applications (Table 1). In the biofuel field, Rice straw was used for the production of cellulase-hemicellulase consortium by *Aspergillus niger* P-19. This enzyme preparation caused saccharification (70 g/L reducing sugars) of rice straw pretreated with 0.25 N NaOH. Fermentation of reducing C6 sugars yielded 15.6 g/L ethanol, with possibility of increasing the yield by targeting C5 sugars (Kaur et al. 2020). On the other hand, the enzymes hydrolysate (lignin peroxidase, manganese peroxidase, cellulase, xylanase) obtained from *Pycnoporus sanguineus* MCA 16 achieved saccharification of sugarcane bagasse. This hydrolysate was utilized for the production of ethanol by *Saccharomyces cerevisiae* CAT-1 (Scarpa et al. 2019).

As for microbial fuel cells (MFCs), their performance is influenced by microbial growth and metabolism. Fungi are eukaryotic microorganisms characterized by complex cell organization. In fungi, electron transfer occurs in two pathways. While oxidation of the substrate glucose by glycolysis produces two molecules of NADH for each glucose molecule, we find that interaction of mediators as methylene blue with a constituent of the electron transport chain (ETC) results in continuous functioning of the ETC and generation of electrons from Krebs cycle. These two pathways are therefore crucial for the simultaneous electrons providing and waste removal from substrate. Basically, there are two designs for constructing MFCs;

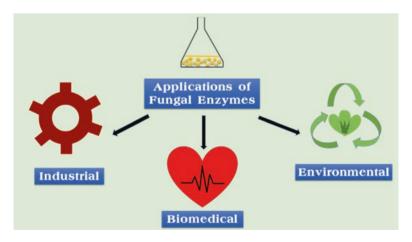


Fig. 1 Applications of fungal enzymes in different fields

Enzyme	Fungal source	Industrial aspect	References
Cellulases and hemicellulases	Aspergillus Niger	Ethanol production	Kaur et al. (2020)
Lignin peroxidase manganese peroxidase, cellulase, xylanase	Pycnoporus sanguineus	Ethanol production	Scarpa et al. (2019)
Oxidoreductases	Aspergillus sydowii	Single-chamber microbial fuel cell embedded in interior design elements	Abdallah et al. (2019)
Oxidoreductases	Trichoderma harzianum	Dual-chamber microbial fuel cell	Shabani et al. (2021)
Xylanase	Sclerotium rolfsii	Paper and pulp, and fuel industries	Moussa et al. (2014)
Ligninolytic enzyme cocktails	Aspergillus sp., Trichoderma sp. and Trametes versicolor	Food additive	Margetic et al. (2021)
L-asparaginase	Penicillium crustosum	Acrylamide reduction in coffee	Khalil et al. (2021)
Polygalacturonases	Talaromyces Leycettanus	Clarification of grape juice	Li et al. (2017)
α-Amylase	Geomyces Pannorum	Bread making	He et al. (2017)
β-Glucosidase	Meyerozyma guilliermondii	Wine making	da Silva et al. (2019)
Lipase	Thermomyces lanuginosus	Fats interesterification, green apple flavoring	Shekarchizadeh and Kadivar (2012), Sadighi et al. (2017)
Protease	Aspergillus oryzae	Cheese making	Kumura et al. (2017)
Protease	Pleurotus albidus	Milk clotting	Abdel-Rahman et al. (2018)
Protease	Aspergillus terreus	Detergent and leather industries	Abu-Tahon et al. (2020)

Table 1 Some recent industrial applications of fungal enzymes

single and dual chambers MFCs (Sarma et al. 2021). An example of the singlechambered fungal MFC is the one constructed by Abdallah et al. (2019). In their work, *Aspergillus sydowii* NYKA 510 was utilized as a cathodic biocatalyst in an MFC, where its oxidoreductases were responsible for performance of the MFC at 2000  $\Omega$ , which achieved 160 mWm<sup>-2</sup>, 0.4 W, 0.76 V as well as 380 mAm<sup>-2</sup>. A project was designed for a lighting unit that was implemented by using a system of two sets of four MFCs each, and connected in series, to generate electricity. The scanning electron microscope image of the utilized *A. sydowii* NYKA 510 was used in algorithmic form generation equations to design the lighting unit. On the other hand, Shabani et al. (2021) constructed a dual-chambered fungal MFC with the pure culture of *Trichoderma harzianum*. Another MFC was constructed with a mixed culture of *Trichoderma harzianum* and *Pseudomonas fluorescens*, which were used as bioanodes as sources of oxidoreductases. The MFC recorded a 1.7 mW m<sup>-2</sup> power density for the MFC system working with mixed biofilm, while that of the pure fungal biofilm achieved 0.13 mW m<sup>-2</sup>.

Beside the ability of xylanases to improve the overall utilization of lignocellulosic matters in generation of biofuels and chemicals, they have also attracted much attention in the paper and pulp technological industries. Fungi are broadly used as producers of xylanases more than bacteria. A xylanase was purified from *Sclerotium rolfsii* with high thermal and pH stabilities making it a good candidate for such industrial applications (Moussa et al. 2014).

Acrylamide has been encountered in some foods that are subjected to heat treatments, e.g. French fries, bread and coffee beans. One of its formation mechanisms is the Maillard reaction, where at highly elevated temperatures the amino group in the amino acid L-asparagine reacts with the carbonyl group in another compound (e.g. reducing sugar). L-asparaginase can be used to hydrolyze L-asparagine to L-aspartic acid and ammonia, thus contributing in decreasing acrylamide generation (Xu et al. 2016). A heterodimer L-asparaginase was purified from *Penicillium crustosum* NMKA 511 that was highly specific towards L-asparagine. The enzyme reduced the acrylamide levels up to 80.7% and 75.8% for light-roasted coffee beans and dark-roasted ones, respectively (Khalil et al. 2021).

Increasing the daily supply of dietary fibers is of great priority while searching for novel sources and production technologies. Lignocellulosic materials hydrolysis by enzyme cocktails from *Aspergillus* and *Trichoderma* could be efficiently improved after *Trametes versicolor* laccase action. The procured soluble dietary fibers exhibited a 20-fold increase in the antioxidant activity when compared with the untreated (Margetic et al. 2021). The endo- and exopolygalacturonases synergistic action ensures effective pectic substances hydrolysis. Exo-TePG28a and endo-TePG28b polygalacuronases from *Talaromyces leycettanus* JCM12802 were overexpressed in the yeast *Pichia pastoris* and it was then characterized. Both enzymes showed high pH (2–7) and thermal (70 °C) stabilities. They caused a 140% pectin degrading efficiency making them worthy to be applied in the juice industry (Li et al. 2017).

In starch industrial applications, such as bread making,  $\alpha$ -amylase could be of great value. The  $\alpha$ -amylase (AmyA1) gene from the fungus *Geomyces pannorum* was cloned and expressed in *Aspergillus oryzae*. The enzyme could increase bread cohesiveness and decrease gumminess. Furthermore, the immobilized AmyA1 enzyme displayed thermal and pH stabilities and reusability (He et al. 2017). On the other hand, in the winemaking industry,  $\beta$ -glucosidase breaks down the glycoside-terpene complexes releasing the terpene groups, which promote wine flavor and quality. The  $\beta$ -glucosidase obtained from *Meyerozyma guilliermondii* revealed ethanol-glucose tolerance which is important to be applied in final saccharification during winemaking (da Silva et al. 2019).

The lipase from *Thermomyces lanuginosus* was immobilized. It was then used in the interesterification process of fats procured from camel hump. This is to be a potential analogue of cocoa butter manufacture (Shekarchizadeh and Kadivar 2012).

Another immobilized lipase, with high catalytic activity, from *Thermomyces lanuginosus* was exploited for the synthesis of ethyl valerate which is responsible for the green apple flavoring (Sadighi et al. 2017).

Owing to their high stable activity at acidic pHs and flavor enhancing property, it is becoming popular nowadays to use milk-clotting proteases as substitutes for calf rennin in cheese manufacture (Mamo et al. 2020). The protease secreted by *Aspergillus oryzae* is considered safe; accordingly, it can be applied as a milk-clotting agent in dairy industries (Kumura et al. 2017). In addition, *Pleurotus albidus*, the edible mushroom, was utilized as safe and efficient producer of milk-clotting enzyme (Abdel-Rahman et al. 2018).

Other applications of proteases are recognized for the alkaline protease produced by *Aspergillus terreus*. The purified protease was highly stable at wide temperature and alkaline pH ranges. It was also compatible with surfactants and detergents and exhibited good washing performance. Moreover, it showed a dehairing ability for animal hides without added chemicals thus it could be exploited in the leather industry (Abu-Tahon et al. 2020).

# 4.2 Biomedical Applications

Different biomedical activities are explored for fungal enzymes that vary between antimicrobial, antitumor, antioxidant, as well as therapeutic (Table 2). Fungal enzymes can cause cell membrane rupturing which results in losing cytoplasmic constituents. Moreover, they can inhibit synthesis of DNA, essential enzymes, or electron transport chain, in addition to blocking receptors of bacteria. This accounts for their antimicrobial activity (Fuglsang et al. 1995).

Enzyme	Fungal source	Biomedical aspect	References
Chitinase	Trichoderma harzianum	Antifungal activity	Deng et al. (2019)
Collagenase	Penicillium aurantiogriseum	Antibacterial and antioxidant activities	Lima et al. (2015)
Tyrosinase	Saccharomyces cerevisiae	Antioxidant, protective effect of normal cells.	Abdel-Rahman et al. (2019)
L-phenylalanine ammonia lyase	Rhodosporidium toruloides	Anticancer activity against breast cancer MCF7 and prostate cancer DU145 cells	Babich et al. (2013)
Ribonuclease	Hohenbuehelia serotina	Antiproliferative activity towards leukemia, lymphoma cells and HIV-1 reverse transcriptase	Zhang et al. (2014)
Asparaginase	Lasiodiplodia theobromae	Antileukemia	Moubasher et al. (2022)
β-Glucosidase	Aspergillus sp.	Neurological disorders treatment	Oh et al. (2018)

 Table 2
 Some recent biomedical applications of fungal enzymes

Chitinases acquired from fungi have potent antifungal activity, which enables their use in biocontrol applications (Le and Yang 2018). In this relation, the chitinase obtained from Trichoderma harzianum caused efficient retardation in growth of the phytopathogenic fungus *Botrytis cinerea* (Deng et al. 2019). The collagenase from Penicillium aurantiogriseum URM 4622 caused hydrolysis of collagen resulting in formation of peptides having molecular weights less than two kDa. These peptides showed antibacterial activities against Bacillus subtilis, Escherichia coli and Staphylococcus aureus, in addition to an antioxidant activity (Lima et al., 2015). Antioxidant compounds are of great importance since they augment in avoiding oxidative stresses generated by the harmful reactive oxygen species (ROSs). ROSs can cause cell damage by modifying structures of compounds like lipids, proteins and nucleic acids (Aklakur 2016). Fungal enzyme antioxidants can protect against severe actions of ROSs by transforming them into water and molecular oxygen (Rafi et al. 2016). Tyrosinase is a copper-containing monooxygenase, which is involved in the formation of the melanin pigment. The purified tyrosinase obtained from Saccharomyces cerevisiae showed an antioxidant activity. It also caused an increase in the viable count of MFB-4 cell line (normal skin melanocytes) before and after exposure to UV-irradiation indicating the protective and healing actions against UV (Abdel-Rahman et al. 2019).

The enzyme L-phenylalanine ammonia lyase (PAL) was purified from *Rhodosporidium toruloides*. The enzyme showed remarkable *in vitro* and *in vivo* antitumor activities against the cell lines MCF7 (breast cancer) and DU145 (prostate cancer), suggesting their potential application in cancer treatment (Babich et al. 2013). Meanwhile, the ribonuclease (RNase) purified from the fruiting bodies of the mushroom *Hohenbuehelia serotina* caused inhibition of reverse transcriptase of HIV-1 (human immunodeficiency virus type 1), in addition to decreasing the uptake of [<sup>3</sup>H-methyl]-thymidine by the leukemia cells L1210 and the lymphoma cells MBL2 (Zhang et al. 2014).

It is noted that normal as well as leukemia cells require asparagine amino acid for their proliferation. However, only normal cells are capable of synthesizing asparagine using asparagine synthetase, while leukemia cells lack this enzyme. Asparaginase administration to ALL (acute lymphoblastic leukemia) patients causes hydrolysis of serum asparagine, consequently, proliferation of leukemic cells will be prohibited (El-Naggar et al. 2014). The endophytic fungus *Lasiodiplodia theobromae* was used as a source of asparaginase which exhibited a potential to be utilized as a reliable anticancer agent against leukemic cell line M-NFS-60 (Moubasher et al. 2022).

On the other hand, extracellular  $\beta$ -glucosidases, BGL1 and BGL2, were isolated from *Aspergillus* sp. YDJ216. They presented a potential to be applied in pharmaceutical industries. The flavone glycosides hydrolysis showed an inhibitory action on monoamine oxidase. This suggests their possible application in treating neurological disorders (Oh et al. 2018).

It is worthy to point out that, lectins, non-immunogenic proteins, do not have the catalytic activity of enzymes. However, they can bind without catalysis to certain carbohydrates (Lam and Ng 2011). Fungi are important producers of lectins, where

mushrooms constitute for 82% of fungal lectins (Diaz et al. 2011; Varrot et al. 2013). They have various applications regarding their antiproliferative, immune stimulating, antioxidant, antimicrobial and therapeutic potentials. In this regard, a lectin purified from *Pleurotus ostreatus* SS89 was stable over wide temperature and pH ranges. It showed significant antiproliferative activities towards the colorectal cancer cells HCT and the hepatic cancer cells HepG2. It also exhibited antibacterial activities towards *Escherichia Coli, Bacillus subtilis, Pseudomonas aeruginosa, Staphylococcus aureus* and *Streptococcus faecalis* (Kamel et al. 2021).

# 4.3 Environmental Applications

The continuous growth of the world population along with employing environmental resources is offset by an increase in pollution levels of waste materials as well as xenobiotics in the environment, which could be hazardous (Moussa and Khalil 2022). Table 3 depicts some fungal enzymes exploited in the environmental field. In this regard, the alkaline keratinase of *Scopulariopsis brevicaulis*, obtained from Egyptian black sand, showed hydrolyzing activities towards different keratinaceous waste materials (human hair, human nails, chicken feathers). The highest degrading ability was achieved against chicken feathers (Sharaf and Khalil 2011). Marchut-Mikolajczyk et al. (2015) found that the immobilized enzymes, lipases, laccases and peroxidases of *Mucor circinelloides* enhanced the biodegradation efficiency of diesel oil hydrocarbons by 20–30%.

Enzyme	Fungal source	Environmental aspect	References
Keratinase	Scopulariopsis brevicaulis	Keratinaceous wastes degradation	Sharaf and Khalil (2011)
Lipases, laccases and peroxidases	Mucor circinelloides	Diesel oil hydrocarbons degradation	Marchut- Mikolajczyk et al. (2015)
Ligninolytic enzymes	Aspergillus terreus	Naphthalene and anthracene degradation	Ali et al. (2012)
Laccase	Aspergillus flavus	Dye decolorization	Khalil et al. (2016)
Cellulase laccase	Aspergillus oryzae Ganoderma lucidum	Detoxification of ink	Saini et al. (2020)
Laccase	Trametes versicolor	Tetracycline removal	Wen et al. (2019)
Manganese peroxidase	Anthracophyllum discolor	Removal of dyes	Siddeeg et al. (2020)
Lignin peroxidase	Pichia methanolica	Degradation of organic pollutants	Guo et al. (2019)
CYP450	Trametes versicolor	Removal of the herbicide diuron and the insecticides acetamiprid and imidacloprid	Hu et al. (2022)

Table 3 Some recent environmental applications of fungal enzymes

The pollutants polycyclic aromatic hydrocarbons (PAHs) are, due to their hydrophobicity, quite resistant to biodegradation (Antizar-Ladislao et al. 2006). They can be chemically, physically or biologically remediated (Wu et al., 2010). Fungal ligninolytic enzymes can degrade PAHs. A potent *Aspergillus terreus* isolate producing lignin peroxidase and manganese peroxidase, degraded efficiently naphthalene (98.5%) and anthracene (91%) in tested soil models (Ali et al. 2012).

Synthetic dyes are found in the effluents of textile, cosmetics, paper and leather industries (Rezaei et al. 2015). Laccases can decolorize these dyes. For instance, a laccase purified from *Aspergillus flavus* NG85, a Saint Catherine Protectorate isolate, showed remarkable decolorization efficiencies against different dyes especially malachite green. Moreover, laccase decolorized a real textile effluent (Khalil et al. 2016).

Sustainable energy is meeting today's demands without jeopardizing the consumption of environmental resources for the future generations. In this context, wastes such as paper wastes can be used for production of bioethanol. However, this approach is obstructed due to presence of ink. A study was conducted where a cellulase from *Aspergillus oryzae* MDU-4 along with the laccase isozymes of *Ganoderma lucidum* MDU-7 showed significant effects in the toxic ink degradation. The CAZymes enzymatic consortium from *Trichoderma citrinoviride* MDU-1 caused solubilization of carbohydrate in the deinked papers. This was followed by fermentation of hexose sugars, which are free from the toxic ink to form bioethanol using *Saccharomyces cerevisiae* NCIM-3640 (Saini et al. 2020).

BDMMs (bentonite-derived mesoporous materials) were used to immobilize a laccase from *Trametes versicolor* to develop BDMMs-*Lac*. This was used for TC (tetracycline) removal. It showed 60% efficiency in TC removal (Wen et al. 2019).

Manganese peroxidase (MnP) has drawn the attention to be used in wastewater treatment. MnP was obtained from the fungus *Anthracophyllum discolor* and then immobilized on the nanocomposite  $Fe_3O_4$ /chitosan. It caused 96% ± 2% and 98% ± 2% removal of the dyes methylene blue and reactive orange 16, respectively, showing its potential in bioremediation of wastewater (Siddeeg et al. 2020).

Lignin peroxidase was procured from *Pichia methanolica* by heterologous expression. The enzyme was purified and immobilized on the nanoparticles  $Fe_3O_4@SiO_2@polydopamine$ . The immobilized enzyme caused remarkable dissipation of the organic pollutants phenol, 5-chlorophenol, dibutyl phthalate, tetracycline, phenanthrene and fluoranthene. The dissipation that occurred was due to degradation, primarily, and adsorption (Guo et al. 2019).

An investigation was performed to study the importance of the cytochrome P450 (CYP450) system of *Trametes versicolor* in removing the herbicide diuron, the insecticides acetamiprid (ACE) and imidacloprid (IMI). Presence of 1-ABT, CYP450 inhibitor, in the culture retarded the degradation of diuron. In addition, the half-life of ACE and IMI markedly increased when 1-ABT was present. Accordingly, the authors concluded that the system of CYP450 takes part in the degradation of the tested pollutants (Hu et al. 2022).

# 5 Conclusion

The global industrial demands for enzymes increases daily. Animal and plant enzymes cannot fulfil these demands; hence, the attention is drawn to microbial enzymes due to their feasible production in high quantities and more stability. Among microbial enzymes, enzymes derived from fungi are produced at larger scales and are more easily purified. They are inevitable in the industrial, biomedical and environmental sectors, as they can perform many tasks with high efficiency in production of foods and beverages, generation of biofuels, manufacture of detergents, leather, paper, textile and pharmaceuticals, and management of wastes. More research should be focused on exploring novel fungal sources for production of enzymes with desired features.

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# **Endophytic Fungi as Sources of Novel Natural Compounds**



**Adel Kamel Madbouly** 

# 1 Introduction

Currently, the scientists are searching for natural bioactive metabolites, which are useful in application for treatment of the various diseases; especially those diseases caused by the drug resistant microorganisms. This is in addition to their use in the sector of agriculture for the development of natural pesticides, which are less toxic and more efficient than the currently used chemical pesticides (de Carvalhoa et al. 2021). These natural products have been recently applied in agriculture as herbicides, fungicides, and insecticides, and have caused significant increase in the crop yield and quality, including the avermectin, spinosyn, and phosphinothricin (Yan et al. 2018).

There is a continuous search for microorganisms that are considered as vast reservoirs of bioactive secondary metabolites, including the endophytes. The increasing demands for secondary metabolites in the international markets imposed severe threats on many plant species. However, in recent years; the plant endophytes have emerged as promising alternative sources for the plant secondary metabolites (Gupta et al. 2020). These endophytes live symbiotically inside the plant tissues, and represent reservoirs of the novel bioactive compounds, which have widespread applications as promising agents for the development of novel agricultural and biomedical products (Petrini 1991 and Paramanantham et al. 2019).

The endophytes can release the same and/or similar secondary metabolites as their host plants (Strobel 2003; Puri et al. 2005). The produced bioactive metabolites have been recorded to possess several pharmacological potentials, including anti-microbial (Xing et al. 2011; Uche-Okereafor et al. 2019), immune-modulatory (Puri et al. 2007), anti-malarial (Baba et al. 2015), anti-cancer (Jia et al. 2014;

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Jinfeng et al. 2017), anti-inflammatory (Pretsch et al. 2014), and anti-oxidant (Singh et al. 2016). Moreover, several novel drug molecules have been recovered from the endophytic fungi, such as vinblastine (Guo et al. 1998), paclitaxel (Strobel et al. 1997), quercetin as an anti-inflammatory agent (Qiu et al. 2010), podophyllotoxin as an antitumor agent (Kour et al. 2008), borneol as an anti-oxidant (Chen et al. 2011), and berberine as an antibiotic (Duan et al. 2009).

Furthermore, the endophytes have several beneficial impacts on the development of large number of crop plants, including tomato; when grown under greenhouse (Anupama et al. 2014) and\or under field conditions (Babu et al. 2015). These beneficial endophytes involve the plant-growth-promoting fungi (PGPF), which promote the plant growth through several modes of action, including plant protection against the different pathogen infections (Jogaiah et al. 2018). Moreover, they support the crop plants to get the available nutrients in the soil, and stimulate the plant growth as well (Murali et al. 2012). The plant growth-promoting endophytes (PGPE) enhance the enzyme activity (Hassan 2017), promote hormones production in the plant tissue (Lin and Xu 2013), and facilitate the nutrients exchange. In addition, these endophytes have the ability to provide nitrogen to their host plants, and mobilize the insoluble phosphates (Matsuoka et al. 2013), thus they play an important role in providing the soil phosphorus as a low-cost input to their host plants.

The endophytes can bio-transform the plant-derived bioactive metabolites to their respective more effective derivatives, leading thus to functional and structural diversification (Tian et al. 2014; Ebada et al. 2016). Furthermore, several endophytes have the ability to up-regulate synthesis of the host bioactive compounds, and cause expression of the related genes in their host plants. Accordingly, biosynthesis of the plant-derived natural bioactive compounds by the fungal endophytes represents a promising technique for the specific and efficient production of the valuable bioactive compounds; using the endophytes as efficient "bio-laboratories" (Singh et al. 2021). The promising fungal endophytes can be modified to be more beneficial to the humankind; through manipulation of the modern biotechnologies, including genetic engineering, microbial fermentation, and recombinant DNA technology (Mahmud et al. 2020).

The objectives of the current study were to give a comprehensive insight on the efficacy of endofungi as potent producers of the novel natural bioactive compounds, define several types of these compounds, and recording their beneficial roles in the various medical and agricultural disciplines.

# 2 What Are Endophytes?

Endophytes are defined as endo-symbiotic groups of microorganisms, including bacteria and\or fungi that colonise the inter-and\or intracellular sites of the host plants (Pimentel et al. 2011; Singh and Dubey 2015). A previous study of Petrini (1991) defined endophytes as any microorganism that colonises the internal tissues of the host plant at some part of its life cycle; without causing any sort of

harm to this host. Recently, Mishra et al. (2021) added that endophytes are a group of plant-associated microorganisms, such as bacteria and fungi that inhabit the internal plant tissues. According to Jain et al. (2020) and Phurailatpam and Mishra (2020), these endophytes do not cause any disease symptoms to their hosts; however, they provide a merit to their hosts under the various biotic and abiotic stresses. Usually the most frequently detected endophytes in the tissues of their host plants are the fungi, although larger numbers of bacteria are often isolated. A previous study conducted by Brader et al. (2017) revealed that many endophytes might be either beneficial or pathogenic. Although the majority of these endophytes do not cause harmful effects on certain host plant species; however, they may be pathogenic when inhabiting the other plants. The endofungi alter the levels of gene expression, mitigate the stress conditions in the host plants, and modulate the biosynthetic pathways; accordingly, they play significant roles in establishing the plant's defence against the various pathogens (Deshmukh et al. 2020).

In general, the endophytes colonise the root; stem, leaf segments, petiole, fruit, seed, and buds, in addition to the dead and hollow cells of the host plants (Specian et al. 2012; Stepniewska and Kuzniar 2013). Meanwhile, Rosenblueth and Martínez-Romero (2006) previously reported that the endophytes have been divided into various subgroups, including the obligate or facultative endophytes that inhabit all types of the plants. Almost all endophytes that are recorded in the vascular plants employ a plant-fungal interaction, which is symbiotic, as most of the endophytes absorb nutrients from their host plants; however, they provide valuable benefits to these plants. These endophytes live within the tissues of their hosts harmlessly, thus provide an indirect defence against the various herbivores, as revealed by Bamisile et al. (2018).

The endophytes obtain nutrients and protection from their hosts; however, they encourage the uptake of nutrients by their host plants and protect them against the various abiotic and biotic stresses. Hardoim et al. (2015) reported that existence of the endophytes have several impacts on the plant health; growth, developments, the different types of the plant communities, population dynamic, and on the ecosystem functioning.

According to Wani et al. (2015), the endophytes have been divided into systemic and non-systemic or transient endophytes. The systemic endophytes are the same phylogenetically, as they colonise their host plants under the various environmental conditions. Conversely, the non-systemic endophytes are not phylogenetically the same, and they vary in their diversities and abundances with the variation in the environmental conditions (Higgins et al. 2014). On microbial infection, both of the host plants and the endophytes express mutual association, which allows them to develop molecular mechanisms and different strategies for their collaborated adaptation (Christensen et al. 2002). Depending on several factors, the interaction between the plant and an endophyte varies from commensalism to symbiosis and/or to parasitism (Eaton et al. 2011; Nair and Padmavathy 2014).

The pathogenicity traits of the endophytes are based on several biotic interactions and environmental factors. The fluorescent Pseudomonads are beneficial to most of the plants; however under certain conditions they may be pathogenic to the leather leaf plants (Kloepper et al. 2013). The beneficial endophytes produce several compounds that enhance the host plants growth and sustainability, and protect the plants from the various environmental conditions; while being conveniently living inside these host plants. The populations of the endophytes are mainly controlled by the climatic conditions and the locations where their host plants naturally grow.

Several studies conducted by Franken (2012) and Chetia et al. (2019) reported that endophytes improve the growth and development of their host plants via providing several advantages that range from mutual survival in the harsh habitats to tolerance to the various abiotic and\ or biotic stresses.

According to Chutulo and Chalannavar (2018), the endophytes have proven to aid in the evolution and adaptation of the host plants to their environment. They are either partially or completely responsible for metabolite synthesis in their hosts, to help the plants in surviving in the harsh environment. *In vitro* and *in vivo* studies conducted by Li et al. (2019) confirmed that removal of the endophytes from the host plants has led to the loss of their resistance and adaptative properties toward the unfavorable environments; where the hosts live friendly with their endophytes. Gouda et al. (2016) pointed out that variations exist within populations of the endophytes that live within their host plants depending on several factors, including the host species; the developmental stage of the host, and the prevailing environmental conditions.

The previous study of Hardoim et al. (2008) reported that obligate endophytes depend on the metabolism of their host plants for survival, and they spread among their hosts through vertical transmission and through activities of the different types of vectors. On the other hand, the facultative endophytes live outside the bodies of their hosts during a certain stage of their life cycle, and they are mostly associated with plants from their atmosphere and from the neighboring soil environments (Abreu-Tarazi et al. 2010).

As a result of this long-term evolutionary association between the endophytes and their host plants, the native microbial endophytes have evolved to produce a wide range of metabolites. Sometimes these metabolites are synthesized via similar biochemical pathways; however, they can also be made by different pathways that exist in the plant or in the endophyte (Gupta et al. 2020). About 10% of the fungal endophytes recovered from Taxus baccata plant have the ability to produce taxanes (Caruso et al. 2000), which is a class of diterpenes usually isolated from the different Taxus spp. These compounds are known for their anti-mitotic potentials, due to stabilization of the microtubules (Crown and O'Leary 2000). Moreover, >73 genera of the endofungi isolated from plants have been reported to produce taxol that is originally isolated from T. brevifolia. Taxol expresses cytotoxic efficacy, and is thus used to treat several types of tumours (Gupta et al. 2020). This widespread production of taxol by the endofungi that belong to different taxonomic groups protects their niches inside the host plant tissues through inhibiting the invading fungi (Kusari et al. 2014). Furthermore, the bioactive compounds derived from the endophytes are used as a part of the plant chemical defences against the invading microbial pathogens and insects (Sahu et al. 2019, 2020).

The study of metabolites production by the endophytic fungi and their hosts using *in vitro* and *in silico* analyses may help in the prediction of new bioactive compounds, and their manipulation in human benefits (Nischitha and Shivanna 2022).

#### **3** Isolation of the Fungal Endophytes

For effective selection of the promising plants as sources of fungal endophytes; 4 points should be considered, including: (i) Plants should be from a distinctive ecological niche, survival strategies, and unique biology, (ii) Plants must be of medical and ethnobotanical importance, (iii) Plants should be endemic to specific areas, and (iv) Plants should be from biodiversity attraction areas (Strobel and Daisy 2003).

#### 4 Benefits of the Plant's Endofungi

Endophytes develop certain specific mechanisms to penetrate and then reside inside the tissues of their hosts. They possess the necessary exo-enzymes to grow well and then colonise the apoplastic fluid of their hosts (Chandra 2012). The association of endophytes with their hosts may become mutualistic when they colonise the roots, which will allow better growth of the host, and provide the endophyte with the adequate nutrients required to colonise their host's roots. Schulz et al. (2002) revealed that the concentrations of several plant defence metabolites are lower in the control host plant when becomes infected with a pathogen, compared to their concentrations in the presence of an endophyte. In general, there is an equilibrium between the fungal pathogenesis and the plant defence.

However, a disease develops, when this balance is disturbed by either an increase in fungal virulence or a decrease in the plant defence. Endophytes synthesize their various metabolites in order to compete with the pathogens on colonising the host and compete with the epiphytes, in addition to regulating the host metabolism, which are in a balanced association.

Moreover, endophytes have a significant tolerance toward the different host's metabolites. A previous study of Wang and Dai (2011) highlighted that endophytes' detoxification or transformation ability of these plant's defense bioactive compounds is an important characteristic, which will determine the range of colonization of the endophyte's hosts. Saunders and Kohn (2009) previously reported that the biotransformation ability of the endophytes will help in detoxification of the host's toxic metabolites, in addition to the production of novel bioactive secondary metabolites.

The fungal endophytes colonise their host tissues, and then help in producing the plant bioactive compounds and hormones, in addition to promoting the accumulation of their hosts secondary metabolites (Shwab and Keller 2008 and Waqas et al. 2012). Later, Schouten (2019) added that as a symbiotic relationship, the plants

assist their fungal endophytes through providing adequate nourishment and shelter, and cause seed dissemination, while the fungal endophytes transform the host's bioactive compounds into multifunctional products. Furthermore, several studies conducted by Khan et al. (2016) and Satheesan and Sabu (2020) highlighted that the fungal endophytes influence the biosynthesis of the host's phytohormones, enzymes, and their bioactive compounds.

A previous work conducted by Subbulakshmi et al. (2012) proved that microorganisms associated with their host plants rather than the plants themselves provide products with significant therapeutic potentialities. The plant endophytes produce phytohormones and bioactive compounds of high biotechnological interests, including the pharmaceutical drugs and the enzymes (Joseph and Priya 2011 and Parthasarathi et al. 2012).

According to several recent studies conducted by Ze-Hong et al. (2018), Salendra et al. (2018), Mondal (2019) and Wang et al. (2020), large numbers of endophytic fungi have attracted the attention due to the production of structurally complex secondary metabolites, which have various biological potentials.

The endophytes act as major resources of structurally unique natural bioactive metabolites, including benzoquinones; alkaloids, flavonoids, benzopyranones, steroids, phenols, terpenoids, xanthones, and tetralones, which deserve exploration in the novel therapy (Tan and Zou 2001). Furthermore, the endophytes are potent producers of antibacterial; antifungal, antiviral, immunosuppressive, and cytotoxic bioactive metabolites.

Siderophores are another group of biologically active compounds produced by the endophytes, which aid the microorganisms in chelating the  $F^{+3}$  ions to improve the plant growth (Fadiji and Babalola 2020). Moreover, the siderophores represent also important components of the microorganisms that express a virulence trait, thus affecting the people, animals, and plants. Several studies have been conducted on 5 different strains of an endophytic fungus named *Phialocephala fortinii*, which produces 3 types of siderophores; namely, ferrichrome C, ferrirubin, and ferricrocin. The secretion of these siderophores depends mainly on the  $F^{+3}$  ion concentration and on the pH of the growth medium (Nair and Padmavathy 2014). Accordingly, *P. fortinii* showed a promise for its use in the manufacture of siderophores on the industrial scale.

Currently, the endophytic resources are improved to provide us with several benefits, including the discovery of novel and effective metabolic compounds that may not be easily synthesized via the chemical routes. Another endophytic fungus identified as *Hypoxylon* sp. that is recorded as a resident in the *Persea indica* plant tissues produces several volatile organic compounds (VOCs), including 1,8–1-met hyl-1,4-cyclohexadiene and cineole, which are temporarily reported as alpha-m ethylene-alpha-fenchocamphorone. In addition, this endophyte produces a strong antimicrobial VOC, which is effective in inhibiting the growth of *Sclerotinia sclerotiorum, Phytophthora cinnamomi, Cercospora beticola,* and *Botrytis cinerea* (Fadiji and Babalola 2020). Furthermore, *Hypoxylon* sp. produces 1, 8-cineole (a monoterpene) that is a novel octane derivative, which can be used as a fuel additive. Fadiji and Babalola (2020) study added that the search for more fungi that can produce VOCs will increase their use in the production of energy to improve the agricultural sectors, in addition to their utilization in medicine and in several other industries.

Singh et al. (2011) recorded a fungal endophyte termed *Phomopsis* sp. that has been isolated from *Odontoglossum* sp., which secretes a number of VOCs, such as ethanol, benzene, and 2-propanone, in addition to a monoterpene called sabinene that has a peppery odor. Moreover, the gases produced by *Phomopsis* sp. have antifungal traits, where as the mixtures of these VOCs have antibiotic activities against several phytopathogenic fungi.

# 5 Bioactive Metabolites Produced by the Endophytic Fungi

In addition to plants, microorganisms represent leading sources of natural compounds with desirable bioactive activities, where more than 20,000 bioactive metabolites have been recorded by the end of 2002 (Bérdy 2005). Fungi are one of the most important eukaryotic microorganisms, which are explored for using their metabolites in several clinical applications. Nowadays, the prevailing drugs of fungal origin include griseofulvin;  $\beta$ -lactam antibiotics, cyclosporine A, lovastatin, Taxol, and the ergot alkaloids.

A previous study by De Souza et al. (2011) reported that endophytes are the main producers of chemical compounds inside their host plants. Many of these endophytes are able to synthesize bioactive compounds, which can be used as growing sources of pharmaceutical drugs. Several endophytic fungi have been reported as sources of novel antifungal; antibacterial, antiviral, anti-tumour, and anti-inflammatory metabolites, in addition to other substances that belong to the steroids; alkaloids, flavonoids, and terpenoid extracts.

A previous study on the biosynthetic pathways that has been conducted by Jennewein et al. (2001) revealed that both the plants and the endophytic fungi have similar but distinct pathways for synthesis of the secondary metabolites. However, few studies reported that endophytes associated with the non taxol producing plants produce taxol. An endophytic taxol-producing fungus named *Colletotrichum gloeosporioides*, which is isolated from the leaves of *Justicia gendarussa* plant, produces about 163.4 µg\l of taxol (Gangadevi and Muthumary 2008).

A research study conducted by Ancheeva et al. (2019) proposed that the endophytic fungi represent growing sources of important metabolites, which may be beneficial such as antibiotics (i.e. penicillin), or detrimental such as mycotoxins (i.e. aflatoxins); with wide range of biological efficacies. The non-virulent nature of most of the fungal endophytes makes most of their secondary metabolites (SMs) more suitable for the human usage, as these metabolites are nontoxic to the mammalian cells.

#### 5.1 Indole Alkaloids

Although several metabolites have been recovered from the fungal endophytes; however, prenylated indole alkaloids have attracted the attention of the biologists and the chemists due to their significant bioactivities and flexible frameworks. Several types of bioactive prenylated indole alkaloids such as fumitremorgins; versicolamides,  $\alpha$ -cyclopiazonic acid, and paraherquamides (Cui et al. 2016), in addition to the ergot alkaloids (Ansari and Häubl 2016); have been recovered and identified from the genera of *Penicillium, Claviceps*, and *Aspergillus*. During the recent study conducted by Wang et al. (2021) several prenylated indole alkaloids have been isolated and identified (Fig. 1), including 2 new prenylated indole alkaloids named asperlenines A-B (1–2) and a new indole alkaloid termed asperlenine C (4) together with 12 known compounds, including asperorydine F (3) (Song 2012); butyrolactone I (5) (Dewi et al. 2015), auranthine (6) (Liu 2018), pyripyropene A (7) (Liang 2014) ardeemins (8) and (9) (Khalil 2014), paecilin B (10) (Mapook 2020), and five heterodimeric tetrahydroxanthone derivatives asperlentions D-H (11–15) (Li et al. 2016).

Results of evaluating the anticancer potentials of all these compounds against several tumor cell lines, such as H460, A549, and BT549, revealed that compounds 2, 4, 5, 13, and 15 have expressed moderate anti-cancer efficacy against A549 cell line with  $IC_{50}$  value of 18.74 to 45.76  $\mu$ M. Moreover, all these prenylated indole

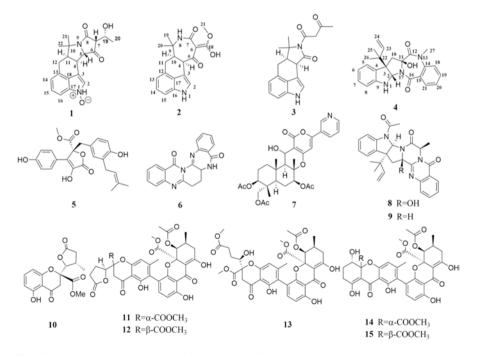


Fig. 1 The chemical structure of 1–15 compounds. (Wang et al. 2021)

alkaloids have also been screened for their anti-microbial potencies against 3 phytopathogeic fungi; namely *Fusarium oxysporum*, *Rhizoctonia solani*, and *Colletotrichum gloeosporioides* penz, and against 2 phytopathogenic bacteria, including *Xanthomonas oryzae* pv. *oryzicola* and *Xanthomonas oryzae* pv. *oryzae*. Compounds 13 and 15 have demonstrated significant anti-bacterial potential against *X. oryzae* pv. *oryzicola* recording MIC value of 25 µg/ml.

Aconitine is a diterpenoid alkaloid observed in *Aconitum* spp., which is a voltagegated sodium channel activator that opens the Na<sup>+</sup> channels effectively, thus causing prolonged presynaptic depolarization of the neurons and muscles. This alkaloid is also synthesized by *Cladosporium cladosporioides*; an endophytic fungus that inhabits *Aconitum leucostomum* (Yang et al. 2013). In the Chinese folk medicine, aconitine is used to relief the pain caused by the intercostal neuralgia, trigeminal, migraine, and rheumatism, in addition to the general debilitation (Singh et al. 2021).

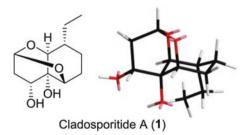
Piperine is an anti-inflammatory and anticancer alkaloid that has been recorded in the fruits of *Piper nigrum* and *Piper longum*, and is responsible for their pungent taste. Piperine has also been extracted from cultures of several endophytic fungi; mainly *Mycosphaerella* sp., *C. gloeosporioides*, and *Periconia* sp. that have been originally isolated from *Piper* spp. (Chithra et al. 2014). This alkaloid decreases the renal glutathione concentration and renal glutathione reductase activity, and enhances the hepatic-oxidized glutathione, thus demonstrating an anti-diabetic efficacy.

#### 5.2 Polyketides

Using a culture of *Alternaria* sp. that is an endophytic fungus, about 6 new polyketides have been isolated, including alternaritins A-D  $[(\pm)-1-4]$  and isoxanalteric acid I (8), in addition to 25 known toxins of *Alternaria* (Tian et al. 2021). The bioactivities of all these polyketides compounds have been evaluated. The 2 new compounds; 2 and 3, have displayed moderate inhibitory activity against COX-2 cell line, while the pair of isomers; 8 and 9 have demonstrated medium potential against COX-2 and against the uropathogenic bacterium *Escherichia coli* (Tian et al. 2021).

Cladosporitides A–C (Fig. 2) are 3 new polyketides, which are isolated from *Cladosporium tenuissimum*; an endophytic fungus obtained from the *Berberis* 

**Fig. 2** Chemical structure of Cladosporitide A. (Feng et al. 2021)



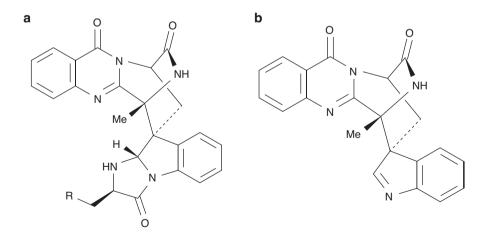
*heteropoda* stem (Fig. 2). Using an anti-inflammatory assay, the compounds 1-3 manifested moderate inhibitory efficacies against LPS-induced NO production in RAW 264.7 cells; recording IC<sub>50</sub> that ranged from 22.32–33.97 IM (Feng et al. 2021).

# 5.3 Alkaloids

According to the previous study conducted by Wang et al. (2011), alkaloids are defined as a class of nitrogenous organic compounds biosynthesized from amino acids. Moreover, they are well defined for their various biological activities, including antiviral, antifungal, and anticancer efficacies, in addition to being important sources of drugs. Barros and Rodrigues-Filho (2005) reported the production of spiroquinazoline alkaloids, such as alantrypinene, alanditrypinone, alantryleunone, and alantryphenone, by the endophytic fungus termed *Eupenicillium* sp., which has been isolated from *Murraya paniculata* leaves (Fig. 3).

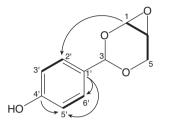
#### 5.4 Phenolic Compounds

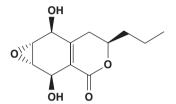
The phenolic compounds represent the largest group of fungal secondary metabolites. Most of the plant phenolics are products of the shikimic acid pathway produced through the phenylpropanoid metabolism. The class of phenolics comprises important subclasses including the flavonoids and lignans. Meanwhile, the flavonoids include several subclasses, including tannins, isoflavonoids, anthocyanin



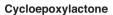
**Fig. 3** Structures of some spiroquinazoline alkaloids recovered from *Eupenicillium* sp.; an endophytic fungus that has been isolated from the leaves of *Murraya paniculate*. (a) Alanditrypinone (R = 3-indolyl); Alantryphenone (R = Ph); Alantryleunone (R = CHMe2), and (b) Alantrypinene. (Mathur et al. 2021)

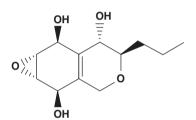
pigments, flavones, flavanones, catechins, leucoanthocyanidins, aurones, and chalcones. The tannins act as wood protectants and feeding deterrents. However, the isoflavonoids act as signaling molecules, in addition to being used by the plants as a defense mechanism (Croteau et al. 2000) (Fig. 4).



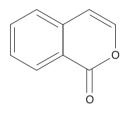


4-(2,4,7-trioxa-bicyclo[4.1.0]heptan-3-yl) phenol

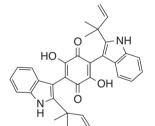


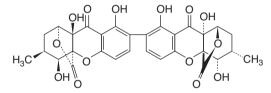


**Cycloepoxytriol B** 



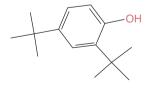
Isocoumarin





Hinnuliquinone

Ergoflavin



Phenol,2,4-bis (1,1-dimethylethyl)

Fig. 4 Chemical structures of some phenolic compounds recovered from the leaf endophytes. (Mathur et al. 2021)

The previous study conducted by Subban et al. (2013) reported that *Pestalotiopsis* mangiferae; an endophytic fungus that inhabits the leaves of Mangifera indica, synthesizes a phenolic compound termed 4-(2,4,7-trioxa-bicyclo [4.1.0] heptan-3-yl) phenol, which has displayed significant antifungal and antibacterial efficacies against *C. albicans; E. coli, P. aeruginosa, K. pneumoniae, B. subtilis,* and *Micrococcus luteus.* This phenolic compound forms pores in the bacterial cell wall leading to its destruction. Furthermore, *Phomopsis* sp. recovered from the leaves of *Laurus azorica* produces cycloepoxytriol B and cycloepoxylactone, which have demonstrated significant inhibitory activities against *Chlorella fusca, Bacillus megaterium,* and *Microbotryum violaceum.* 

#### 5.5 Lipids

Lipids are composed of several natural compounds such as essential oils; waxes, fixed oils, phospholipids, fat-soluble vitamins (i.e. vitamins A, D, E and K) and sterols (Hussein and El-Anssary 2018). The essential oils are defined as low molecular weight volatile compounds, including menthol; linalool, camphor, and menthone, which have analgesic; sedative, anesthetic, antiseptic, and spasmolytic activities. Manganyi et al. (2019) revealed that linoleic acid (A) and cyclodecasiloxane (B) produced by the endophytic fungus *Alternaria* sp. that is recovered from *Pelargonium sidoides* plant; expressed antibacterial potency against *Bacillus cereus*, *Enterococcus gallinarum*, and *Enterococcus faecium* (Fig. 5).

Meanwhile, the previous study conducted by Santos et al. (2015) reported that the leaves of *Indigofera suffruticosa* are colonised by about 65 fungal endophytes, where *Pestalotiopsis maculans* and *Nigrospora sphaerica* exhibited antibacterial efficacy against *Staphylococcus aureus*. Moreover, the endophytic bacterial strain of EML-CAP3 that is recovered from *Capsicum annuum* produced lipophilic

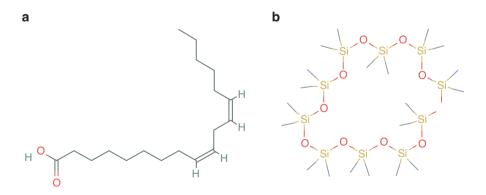


Fig. 5 Chemical structures of (a) Linoleic acid and (b) Cyclodecasiloxane recovered from *Pelargonium sidoides* leaf endophytes. (Mathur et al. 2021)

antimicrobial peptides, which are reported to exhibit anti-angiogenic potential (Jung et al. 2015).

#### 5.6 Terpenoids and Steroids

Recently, Mathur et al. (2021) defined the terpenoids and steroids as the major classes of metabolites derived from isopentenyl diphosphate, which are biosynthesized through the glyceraldehyde 3-phosphate\pyruvate pathway and\or the acetate\ mevalonate pathway. The diterpenes commonly include the gibberellin hormones, resins, and phytol. The triterpenoids include the toxins and phytoalexins, while the sesquiterpenes majorly comprise the essential oils (Croteau et al. 2000). The endophytic fungi produce sesquiterpenes, diterpenoids, and triterpenoids, which are mainly responsible for their antimicrobial potentials (Geetanjali 2017). The endophytic fungus *Alternaria alternata* recovered from the leaves of *Azadirachta indica* synthesizes more than 10 varieties of terpenes, which have shown antibacterial potency against *Listeria monocytogenes*, *B. subtilis*, *Staphylococcus aureus*, *E. coli*, and *Salmonella typhimurium*. Furthermore, these compounds demonstrated strong antioxidant efficacies (Chatterjee et al. 2019) (Fig. 6).

The Pestalotiopens A compound (Fig. 7) produced by endophytic fungus *Pestalotiopsis* sp. that is recovered from the leaves of *Rhizophora mucronate* has displayed antibacterial potential against *E. faecalis*, as revealed by Deshmukh et al. (2015).

Woodrow et al. (2005) reported that a sesquiterpene lactone with endoperoxide trioxane moiety called Artemisinin has been recovered from the *Artemisia annua* 

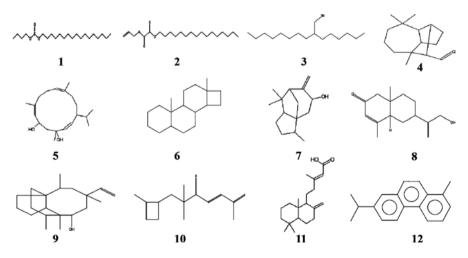
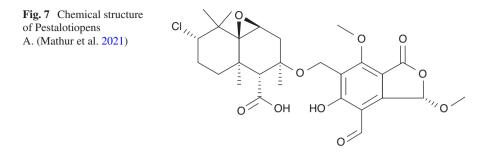


Fig. 6 Chemical structures of terpenes obtained from *Azadirachta indica* leaf endophytes (Chatterjee et al. 2019)



plant; as its active antimalarial component. This terpene and its derivatives have several biological potentials, such as anti-inflammatory, anticancer, and immuneregulatory; without any risk of drug-resistance development (Das 2015). Recently, Bridgford et al. (2018) added that Artemisinin antimalarial potency operates through ROS generation, causing compromisation of the parasite proteasome function in addition to protein damage, thus inducing the stress response of the endoplasmic reticulum (ER).

# 5.7 Vinblastine

The terpenoid indole alkaloid derivatives such as vincristine and vinblastine are anti-cancerous agents, which are obtained by the combination of catharanthine and vindoline monomers (Selvakumar and Panneerselvam 2018). Vincristine interferes with the mitotic spindle dynamics and microtubule formation; decreases the tumor blood flow probably as a result of anti-angiogenesis, and causes disruption of the intracellular transport.

On the other hand, an early study conducted by Barnett et al. (1978) documented that vinblastine and vincristine are two natural alkaloids recovered from *Catharanthus roseus* or *Vinca rosea*, which are the major drugs used in treatment of lymphoma and leukemia, respectively. The importance of *Catharanthus roseus* plant is attributed to the presence of vinblastine and vincristine as 2 bisindole anti-tumor alkaloids. In addition, both of these alkaloids can lower the number of leukocytes. As anti-cancerous drugs, vinblastine and vincristine bind to the tubulin and prevent mitosis in the metaphase, thus preventing the cells from making the spindles that are needed for their division. Later, Creasey (1979) added that to mediate their cytotoxic action, vinblastine and vincristine bind intracellularly to the tubulin, thus causing subsequent dissolution of the microtubules and arrest of the cells during the mitosis phase.

# 5.8 Paclitaxel

A highly functionalized diterpenoid named Paclitaxel (Taxol) exists in yew (*Taxus*) species; however, it is mainly isolated from *Taxus brevifolia* plant. Taxol compound is the world's first expensive anticancer drug used for the treatment of breast and ovarian cancers, in addition to being currently used for the treatment of other human tissue-proliferating diseases. Furthermore, in South Carolina, several *Pestalotiopsis microspora* isolates have been recovered from the bald cypress and also produce Taxol. Many other endophytic fungi produce Taxol including *Periconia* sp. and *Pestalotiopsis guepini* (Strobel et al. 1997). Taxol has proven to exhibit an efficient action against several types of cancers, including ovarian; prostate, lung, and breast cancers. It acts through stabilizing the microtubules and then disrupts their dynamic equilibrium (Wang et al. 1999).

During the study reported by Zhao et al. (2010), about 19 genera of endophytic fungi, such as *Aspergillus; Alternaria, Botryodiplodia, Cladosporium, Botrytis, Ectostroma, Metarhizium, Fusarium, Monochaetia*, Ozonium, *Mucor, Papulaspora, Pestalotia, Periconia, Pestalotiopsis, Pithomyces, Taxomyces, Phyllosticta,* and *Tubercularia*, have been screened for their capacity to produce Taxol and its analogues (i.e. baccatin III, 10-deacetylbaccatin III).

The hosts of paclitaxel-producing fungi include several *Taxus* spp.; mainly *T. cuspidate, T. baccata, T. yunnanensis,* and *T. media,* in addition to non-*Taxus* spp.; such as *Citrus medica; Cardiospermum helicacabum, Ginkgo biloba, Cupressus* sp., *Podocarpus* sp., *Taxodium distichum, Hibiscus rosa-sinensis, Terminalia arjuna, Wollemia nobilis,* and *Torreya grandifolia.* These recorded results demonstrate that endophytic fungi will be considered as promising alternative resources for the production of paclitaxel.

The supply of taxol from the stem bark of Pacific yew tree (*Taxus brevifolia* Nutt.) (*Taxaceae*) is limited (Wheeler et al. 1992); as this plant does not abundantly exist in nature (Cragg et al. 1993), and it grows slowly (Flores and Sgrignoli 1991); in addition, it contains trace amounts of paclitaxel (Banerjee et al. 1996). Taxol has become widely used as an anticancerous drug for the treatments of lung (Ettinger 1992), neck and head (Forastiere et al. 1993), prostrate, renal, colon, gastric, pancreatic, and cervix cancers (Brown et al. 1993). Furthermore, Taxol has also demonstrated effectiveness against noncancerous conditions such as polycystic kidney diseases (Woo et al. 1994). On the contrary to the other anti-microtubular agents, such as colchicine; podophyllotoxin, combretastatin, and vinca alkaloids, which act through inhibiting the microtubule assembly, Taxol stabilizes the microtubules against depolymerization. Through this mechanism of action, Taxol blocks the cancer cells capacity to disassemble the mitotic spindle during division, thus the cells become blocked in the G2\M phase of their cycle (Schiff et al. 1978), and leads finally to cells death.

# 5.9 Camptothecin (CPT)

A pentacyclic quinoline alkaloid termed Camptothecin (CPT) has been initially isolated from the wood of *Camptotheca acuminate*, and acts as an antineoplastic agent. The primary mode of action of CPT is through inhibiting the intranuclear enzyme topoisomerase-1, which is requested during the molecular events of DNA replication and transcription (Selvakumar and Panneerselvam 2018).

Camptothecin and its analogue10-hydroxycamptothecin are two of the potent antineoplastic agents. Sirikantaramas et al. (2007) reported that Camtostar (irinotecan) and Hycamtin (topotecan) are two of the effective CPT semi-synthetic drugs, which are being used against the ovarian, small lung, and refractory ovarian cancers. An endophytic fungus termed *Entrophospora infrequens* recovered from *Nothapodytes foetida* has been first reported by Puri et al. (2005), which has the capacity to produce Camptothecin.

# 5.10 Podophyllotoxin (PDT)

Podophyllotoxin (PDT) mainly exists in several genera of *Sabina* (Juniperus); Diphylleia, Sinopodophyllum (Podophyllum), and Dysosma (Cao et al. 2007). About 6 endophytic fungi recovered from *Dysosma veitchii*, *Sinopodophyllum hexandrum*, and *Diphylleia sinensis* has been first recorded by Yang et al. (2003), which have the capacity to produce PDT. Furthermore, Lu et al. (2006) later reported that *Alternaria* sp., which is an endophytic fungus recovered from *Sabina vulgaris* is able also to produce Podophyllotoxin. This aryltetralin lignan has potent antiviral; antibacterial, anticancer, immunostimulation, anti-rheumatic, and antioxidant activities. Kour et al. (2008) added that PDT has been used as a precursor for the chemical synthesis of several anticancer drugs, including etoposide, etopophose phosphate, and teniposide. These drugs are commonly used in the treatment of testicular cancer, lung cancer, and various other solid tumors, in addition to leukemias (Majumder and Jha 2009).

Podophyllotoxin is commercially extracted from the rhizomes and roots of *Podophyllum peltatum* L. (the American *Podophyllum*) and *Podophyllum hexandrum* Royle (the Indian *Podophyllum*), which belong to the family of *Berberidaceae*. However, agricultural production of PDT is difficult, as growth of this plant needs proper climatic conditions (Lee and Xiao 2003). Accordingly, production of PDT through several endophytic fungi, such as *P. peltatum*; *P. hexandrm, Juniperus recurva* L. *Horstmann*, and *J. communis*, are being extensively explored as alternative sources of PDT (Chandra 2012).

#### 6 Bio-applications of the Endofungal Metabolites

The endophytic fungal metabolites have potent bioactivities including;

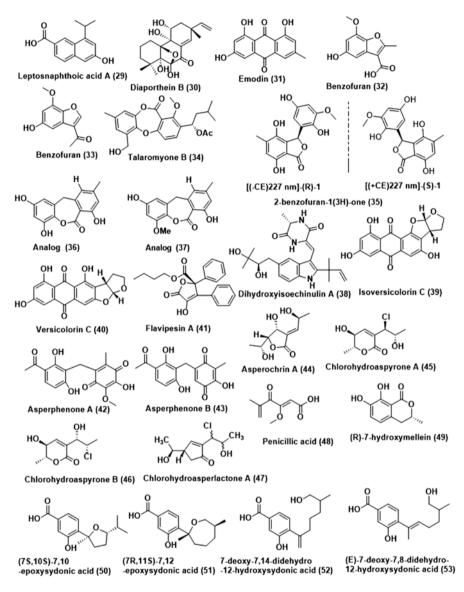
#### 6.1 Antibacterial Potential

The recent study conducted by Nischitha and Shivanna (2022) demonstrated that some of the major compounds detected in the endophyte *Chaetomium subaffine* and in *Heteropogon contortus* as its host grass, include 2,3-dichloro-1-hexanol, phytosphingosine, and hexadecasphinganine, in addition to 11 major peak compounds. Out of the 14 major studied bioactive compounds, 4 antibacterial drugs including andrographolide; phytosphingosine, schaftoside, and hexadecasphinganine, have presented good binding affinity towards 5 proteins, which are related to the inhibition of bacterial DNA, protein, and cell wall synthesis.

The ethyl acetate extract of about 70 endophytic fungal strains recovered from the leaves of *Laguncularia racemosa* (L.) Gaertn plant demonstrated antibacterial efficacy against several bacterial genera; mainly *B. subtilis*, *E. faecalis*, *E. coli*, *Staphylococcus aureus*, *P. aeruginosa*, and *Micrococcus luteus* Furthermore, results of screening for in vitro antibacterial potency of the crude extracts of *T. reesei* and *T. viride* on CYS80 medium, showed effectiveness against several tested bacterial spp., such as *Staphylococcus agalactiae*, *E. coli*, *S. aureus*, *Streptococcus pyogenes*, *S. pneumoniae*, methicillin-resistant *Staphylococcus aureus*, *E. faecalis*, *K. pneumoniae*, *P. mirabilis*, *P. aeruginosa*, and *S. sonnei* (Selvakumar and Panneerselvam 2018).

A new isocoumarin derivative named Pestalotiopisorin B (1) has been extracted from *Pestalotiopsis* sp.; the endophytic fungus associated with *Rhizophora stylosa* that is a mangrove plant collected from China. This pestalotiopisorin B compound expressed moderate antibacterial potential against *P. aeruginosa* and *E. coli*, recording MIC values of 50 and 12.5 mg/ml, respectively (Xu et al. 2018). An anthraquinone; emodin (31) (Fig. 8), has been obtained from *Eurotium chevalieri* KUFA 0006, an endophytic fungus associated with *Rhizophora mucronata* Poir collected from Thailand. This compound showed antibacterial efficacy against *E. faecalis* and *S. aureus*, exhibiting MIC values of 64 and 32 mg/ml, respectively (Zin et al. 2017).

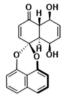
Asperphenone A and B (42, 43) (Fig. 8) are 2 phenone derivatives, which have been obtained from *Aspergillus* sp. YHZ-1 that inhabits unknown mangrove plants from China. They presented mild antibacterial potency against 4 bacterial spp., including *S. pyogenes* ATCC19615, *Staphylococcus. aureus* CMCC(B) 26003, *M. luteus*, and *B. subtilis* CICC 10283, recording MIC values in the range of 0.33–21.6 mg/ml (Guo et al. 2018). Penibenzophenone A, is a new compound that has been recovered from *Penicillium citrinum* HL-5126; an endophytic fungus obtained from *Bruguiera sexangula* var. *rhynchopetala*; which is a mangrove plant collected from the South China Sea. This new compound exhibited antibacterial efficacy against *S. aureus* recording MIC value of 20 mg/ml (Zheng et al. 2018).



**Fig. 8** Chemical structures of several bioactive compounds recovered from mangrove endophytic fungi that have demonstrated antibacterial potential (Deshmukh et al. 2020)

A novel isoprenylisoindole alkaloid termed Diaporisoindole A, together with its precursor named tenellone C have been isolated from *Diaporthe* sp. SYSU-HQ3; an endophytic fungus isolated from a mangrove plant named *Acanthus ilicifolius* that has been collected from China. Both compounds demonstrated inhibitory potential against the protein tyrosine phosphatase B (MptpB) of *M. tuberculosis*, recording IC<sub>50</sub> values of 1.77 and 2.2 mg/ml, respectively (Cui et al. 2017).









Palmarumycin CP3 (107)

оң Н

Tetrahydroaltersolanol B (111)

R1=OH, R2=H Altersolanol L

он

ОН

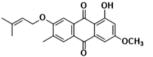
0

H<sub>3</sub>CO

R1=R2=H;

(112)

Palmarumycin P1 (104) Decaspirone A (105) Decaspirone C (106)

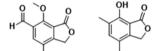


7-(y,y)-dimethylallyloxymacrosporin (108)

H OCH<sub>3</sub>

о он

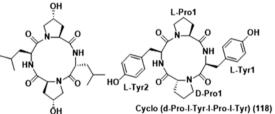
R=OH Macrosporin (109) R=OCH3: 7-methoxymacrosporin (110)

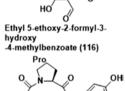


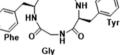
Diaporthelactone (113)



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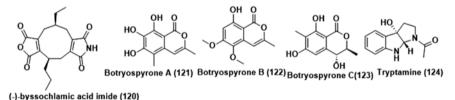






Cyclo (Gly-I-Phe-I-Pro-I-Tyr) (119)

ÖH Cyclo-(L-leucyl-trans-4-hydroxy-L-prolyl-D-leucyl-trans-4-hydroxy-L-proline) (117)



**Fig. 9** Chemical structures of some metabolites recovered from mangrove endophytic fungi presenting anti-mycobacterial potency (104–107) and antifungal efficacy (108–124). (Adopted by Deshmukh et al. 2020)

Several compounds belonging to spirodioxynaphthalenes group, including palmarumycin CP3 (107) and palmarumycin P1 (104), in addition to decaspirones A (105) and C (106) (Fig. 9), have been extracted from the fungus BCC 25093 that is recovered from an unidentified mangrove wood of Thailand. These compounds expressed anti-tuberculosis potential against *Mycobacterium tuberculosis* H37Ra, recording MIC values of 3.13 mg\ml for palmarumycin CP3 (107) and decaspirone C (106), and 1.56 mg\ml for decaspirone A (105) and Palmarumycin P1 (104) compounds (Bunyapaiboonsri et al. 2015).

During the previous study conducted by Qadri et al. (2013), about 4 endohytic fungi have been isolated from the medicinal plants of Western Himalayas; mainly *Alternaria* sp., *Fusarium* sp., *Chaetomium* sp., and *Penicillium* sp., which have suppressed the growth of *E. coli* and *S. aureus*, whereas *Fusarium* sp. has inhibited the growth of *Staphylococcus aureus*.

# 6.2 Antifungal Efficacy

The study conducted by Wu et al. (2019) reported that Botryospyrones A (121), B (122), C (123); new derivatives of isocoumarin, and (3aS, 8aS)-1-acetyl-1, 2, 3, 3a, 8, 8a-hexahydropyrrolo [2,3b] indol-3a-ol (124); a new tryptamine (Fig. 8), have been isolated from *Botryosphaeria ramosa* L29; an endophyte associated with *Myoporum bontioides* leaves collected from China. Botryospyrone A (121) has been active against *F. oxysporum* recording MIC of 25.11 mg/ml, and has expressed weak antifungal potency towards *F. graminearum*, recording MIC of 200.75 mg/ml. Meanwhile, Botryospyrone B (122) has expressed moderate antifungal potential against *F. graminearum*, *F. oxysporum*, and *P. italicum*; recording MIC values of 50.18, 25.0, 50.18 mg/ml, respectively. Botryospyrone C (123) has shown antifungal efficacy against *F. graminearum* and *F. oxysporum*, recording MIC value of 50.1 mg/ml. Finally, (3aS, 8aS)-1-acetyl-1, 2, 3, 3a, 8, 8a-hexahydropyrrolo [2,3b] indol-3a-ol (124) compound has expressed antifungal potential against *F. graminearum*, and *P. italicum*, with MIC values of 6.26, 6.26 and 12.5 mg/mL, respectively.

Fusarihexin A and fusarihexin B are two novel cyclic hexadepsipeptides, in addition to a known compound named cyclo-(L-Leu-L-Leu-DLeu-L-Leu-L-Val), have been extracted from Fusarium sp. R5; a semi-mangrove fungus that resides within the Myoporum bontioides plant collected from China (Zhu et al. 2018). A bioactive compound termed Fusarihexin A showed promising antifungal potency against 3 phytopathogens, including F. oxysporum Schlecht f. sp. lycopersici that causes fruit rot and Fusarium wilt in tomatoes, Colletotrichum musae that causes crown rot and anthracnose in bananas, and Colletotrichum gloeosporioides, the fungal causal agent of anthracnose in different vegetables, recording MIC values of 7.73, 19.96, and 12.43 mg\ml, respectively. Similarly, Fusarihexin B presented antifungal efficacy against C. musae, C. gloeosporioides, and F. oxysporum, exhibiting MIC valrespectively. ues of 12.3, 12.3, and 24.7 mg\ml, Finally, cyclo (LLeu-L-Leu-D-Leu-L-Val) bioactive compound expressed moderate antifungal potential against F. oxysporum, C. musae, and C. gloeosporioides, expressing MIC values of 12.7, 24.85, and 50.2 mg/ml, respectively.

The previous study conducted by Huang et al. (2017) reported that 7-(g,g)dimethylallyloxymacrosporin (108); a new anthraquinone, along with 7-methoxymacrosporin (110), macrosporin (109), altersolanol L (112), and tetrahydroaltersolanol B (111) (Fig. 9), have been recovered from *Phoma* sp. L28 endophyte that has been isolated from a mangrove plant collected from China. These compounds demonstrated significant antifungal potential against *F. graminearum*, *C. musae*, *Penicillium italicum*, *C. gloeosporioides*, *Rhizoctonia solani*, and *F. oxysporum* f. sp. *lycopersici*, recording MIC values that ranged from 3.75 mg/ml to 100 mg/ml. Furthermore, Altersolanol L (112) expressed antifungal efficacy against *R. solani* and *P. italicum*; expressing MIC values of 50 and 35 mg/ml, respectively. Meanwhile, tetrahydroaltersolanol B (111) has been effective against *P. italicum* only recording MIC of 80 mg/ml. On the other hand, Altersolanol L (112) has demonstrated weak efficacy against *C. gloeosporioides* and *F. graminearum*; recording MIC values of 200 and 100 mg/ml, respectively.

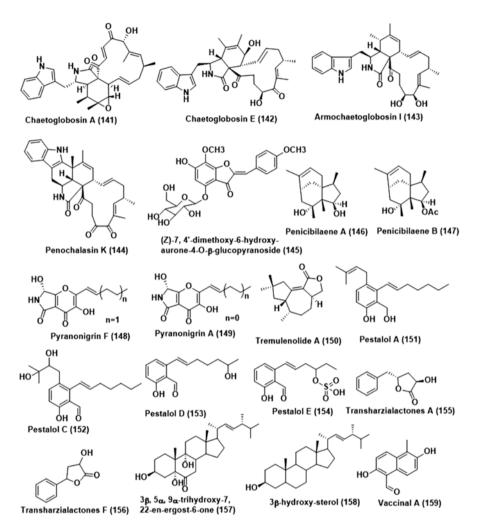
The antifungal metabolite Ergokonin A, which has been isolated from *T. longibrachiatum*, has expressed very broad antifungal activity against *S. cerevisiae*, *Candida* sp., and several other filamentous fungi (Vicente et al. 2011).

The marine genus of *Trichoderma* produces several bioactive metabolites, including (a) the anti-mycobacterial compounds such as trichoderins and aminolipopeptids (Pruksakorn et al. 2010), (b) the antifungal, (c) the cytotoxic dipeptide, and (d) the trichodermamide B ketone (Garo et al. 2003), in addition to the antibacterial metabolites; mainly the tetra hydroanthraquinone and xanthone derivatives. Moreover, two pyridines including Trichodin A and Trichodin B, in addition to a known Pyridoxatin compound, have been extracted from the mycelia and culture broth of the same marine fungus. *Trichoderma* metabolites are effective against Gram-positive bacteria (i.e. *Staphylococcus aureus* and *B. subtilis*), and against the yeast fungus *C. albicans*. On the other hand, pyridoxatin has been effective against *Staphylococcus aureus*, *Staphylococcus epidermis*, *B. subtilis*, *Trichophyton rubrum*, and *C. albicans* (Wu et al. 2014).

#### 6.3 Antiviral Potency

A new naphthalene derivative named Vaccinal A (159) (Fig. 10), has been isolated from *Pestalotiopsis vaccinii* (cgmcc3.9199); an endophyte recovered from a branch of *Kandelia. candel* that is widely distributed in China. This compound has showed in vitro anti-Enterovirus 71 (EV71) activity, with an IC<sub>50</sub> of 3.88 mg/mL (Wang et al. 2014). Vaccinol J, is a new salicyloid derivative that has been also recovered from *Pestalotiopsis vaccinii* (cgmcc3.9199). Vaccinol J expresses in vitro anti-Enterovirus 71 (EV71) potential with an IC<sub>50</sub> of 8.36 mg/ml; in addition, this manifested inhibitory potential has been stronger than the positive control Ribavirin, which recorded IC<sub>50</sub> of 43.22 mg/ml (Wang et al. 2017).

An unprecedented meroterpenoid termed Simpterpenoid A has been recovered from *Penicillium simplicissimum* MA-332 fungus, which inhabits the rhizosphere soil of *Bruguiera sexangula* var. *rhynchopetala*; a mangrove plant collected from the South China Sea. Simpterpenoid A bioactive compound has shown promising antiviral potency against Influenza neuraminidase virus recording an  $IC_{50}$  of



**Fig. 10** Chemical structures of some bioactive metabolites that have been recovered from mangrove endophytic fungi; expressing antifungal (141–150) and antiviral activities (151–159) (Deshmukh et al. 2020)

0.003 mg/ml, whereas Oseltamivir (positive control) demonstrated antiviral efficacy with an IC<sub>50</sub> of 0.00099 mg/ml (Li et al. 2018). The new brefeldins E1E5 (174178), in addition to the known brefeldins A 7-O-acetate; brefeldin A, 30-hydroxyalternar iol-5-O-methyl ether, alternariol-5-O-methyl ether, and mangrovamides A, have been obtained from *Penicillium* sp.; an endophytic fungus inhabiting the root of *Panax notoginseng* collected from China. The bioactive compounds, including Mangrovamides A; Brefeldin E1E5 (174178), 30-hydroxyalternariol-5-O-methyl ether, and alternariol-5-O-methyl ether, have expressed weak antiviral potency. On the other hand, the compounds brefeldin A 7-O-acetate and brefeldin A have shown

significant antiviral potential against HCV and HBV viruses, recording  $ID_{50}$  values in the range from 4.03 mg\ml to 7.09 mg\ml, respectively (Xie et al. 2017).

During the previous study reported by Yu et al. (2015), several potent bioactive compounds; named Fumiquinazoline alkaloids and Neosartoryadins A and B, have been recovered from *Neosartorya udagawae* HDN13-313, which is an endophytic fungus. Neosartoryadins A and B have manifested anti-influenza virus A (H1N1) potential; recording IC<sub>50</sub> of 32.11 and 29.14 mg/ml; respectively, whereas Ribavirin as a positive control exhibited an IC<sub>50</sub> of 22.95 mg/ml.

# 6.4 Anti-Cancerous Activity

Epothilones are secondary metabolites produced by *Sorangium cellulosum* plant with powerful anti-proliferative potential against the tumor cells; through arresting their cellular division at the G2-M phase and stabilizing their microtubule arrays. However, epothilone is produced by this plant in low yield. As an endophyte of *Catharanthus roseus* plant, *Aspergillus fumigatus* EFBL is a potent alternative epothilone producer, yielding about 21.5  $\mu$ g\g biomass. Epothilone B metabolite produced by *A. fumigatus* has demonstrated significant anti-proliferative potency against MCF-7, LS174, and HepG-2 T cell lines, recording IC<sub>50</sub> values of 8.7, 10.21 and 6.4  $\mu$ M, respectively. The recent study conducted by El-Sayed et al. (2021) has explored for the first time the feasibility of using an endophytic fungus for epothilone production, thus *A. fumigatus* could be considered as a novel source for the production of this anticancerous metabolite on the industrial scale.

Sclerotiorin metabolite is recovered from the endophytic fungus *Cephalotheca faveolata*, and has demonstrated effective anti-proliferative activity against various cancer cells. This metabolite causes apoptosis in the colon cancer cells (i.e. HCT-116); through activation of the BAX and down regulation of the BCL-2. In addition, it stimulates the dissected caspase-3 leading to apoptosis of the cancer cells (Giridharan et al. 2012).

#### 6.5 Cerebral Stimulant and Vasodilator

The cardiovascular disease (CVD) is one of the major causes of human deaths worldwide. Statins are a group of drugs that decreases the cholesterol level in the blood, which include lovastatin and compactin (Barrios-González and Miranda 2010). Lovastatin is a polyketide metabolite that is known as 'Merck's Mevacor', which is an anti-cholesterol agent. This metabolite is produced by several fungal endophytes; mainly *Aspergillus niger*, *Aspergillus flavus*, *Aspergillus terreus*, *Monascus ruber*, *Trichoderma viride*, *Penicillium* sp., *Monascus* sp., Cinnamonum sp., and *Pleurotuso streatus* (Amin-Hanjani et al. 2001). Lovastatin acts through inhibiting the level of a rate-limiting enzyme that is known as

3-hydroxy-3-methyl-glutaryl-CoA (HMG-CoA) reductase, which converts HMG CoA to mevalonate and participates in the cholesterol biosynthesis (Rai et al. 2021).

Similar to its host plant *Garcinia macrophylla*; the created endophytic fungal strain QJ18 produces a biometric compound known as gentiopicrin. In addition, the medicinal plant *Vinca minor* produces the alkaline vincamine, which is used as a cerebral catalyst and as a vasodilator. Vincamine; as a bioactive constituent, is extracted from the endophytic fungus (Vm-J2) and from its host plant (Yin and Sun 2011).

# 6.6 Endophytes as Promoters of Plant Growth

The endofungi are capable of promoting the host plant growth and increasing the production of several bioactive secondary metabolites in the medicinal plants in a sustainable and ecofriendly manner (Chen et al. 2021).

Some endophytic fungi improve the growth of their host plants through the secretion of siderophores; to chelate the Fe<sup>+3</sup> ion from the environment, solubilize the phosphorus and\or potassium in the soil, fix the atmospheric nitrogen, and provide nutrients for their host plants (Turbat et al. 2020). The endofungi that can exist with their hosts for a long time affect their hosts metabolism (Kusari et al. 2012). Colonization of the host plants by the endophytic fungi triggers their defense responses (Sabra et al. 2018). These responses include accumulation of secondary metabolites that antagonise the pathogens and overexpression of the defense enzymes, in addition to changes in the plant cell wall structures (Schulz and Boyle 2005). Furthermore, the endophytes produce fungal elicitors, which trigger the plant defenses; leading thus to the accumulation of bioactive secondary metabolites (Zhai et al. 2017).

Recently, Domka et al. (2019) added that the endophytic fungi are capable of protecting their host plants against the various pathogens, in addition to promoting their growth; leading thus to much higher yield and to an increase in the host resistance to the various biotic and abiotic stresses. The fungal endophytes produce several secondary metabolites that can be used as ecofriendly products for promoting the plant growth, including the siderophores, phytohormones, hydrogen cyanide, hydrolytic enzymes, and phosphate solubilizing agents (Rana et al. 2020). As endophytes, *Fusarium proliferatum* BRL1and *Aspergillus fumigatus* TS1, can produce the gibberellins phytohormone, in addition to regulating the plant endogenous hormones as well (Bilal et al. 2018).

Manipulation of the plant growth promoting (PGP) endophytes as biofertilizers in the agricultural sector has shown significant promise in providing an eco-friendly and effective method that ensures food security (Glick 2014).

# 7 Approach's Employed to Enhance the Production of Endofungal Secondary Metabolites

Microorganisms produce bioactive compounds in low quantities as a defence mechanism against the various biotic and abiotic stresses. Several techniques are often used to place the microorganism under stress to enhance the production of secondary metabolites, such as strain improvement; optimization, epigenetic modulation, and one strain many compounds (OSMAC) (Deshmukh et al. 2020).

Co-cultivation of two or more microorganisms together in the laboratory is used to create competition amongst these species and to activate the silent biosynthetic genes, which remain silent in the normal conditions. On co-cultivation, the microorganisms antagonise each other's and/or compete for the limited resources, which activate their defence mechanisms for survival, thus lead to the production of bioactive secondary metabolites. In addition, co-cultivation significantly enhances the production of bioactive compounds that are not produced in single culture of a producing strain (Marmann et al. 2014).

Pestalone is an example of an antimicrobial compound that is produced on mixed cultures of a fungus and a bacterium (Cueto et al. 2001). Similarly, aspergicin, ergosterol, and neoaspergillic acid; are compounds produced on using co-culture of a mangrove epiphyte, which express significant antibacterial potential against certain selected Gram-positive bacteria (Zhu et al. 2011).

A previous study conducted on a fungal genome has confirmed the presence of silent gene clusters, which are responsible for production of the secondary metabolites (Brakhage and Schroeckh 2011). Epigenetics regulate the action of these silent gene clusters (Cichewicz 2010). The epigenetic modulators can induce these silent genes in the endophytes, which results in the production of more and new bioactive compounds (Fischer et al. 2016). Recently, Pfannenstiel and Keller (2019) added that in order to enhance the production of secondary metabolites; the chemical inhibitors are used to induce the expression of cryptic genes of the fungal genome. The chemical inhibitors such as sodium butyrate and suberoylanilide hydroxamic acid (SAHA) that modulate the histone deacetylase (HDAC) activity; are commonly used for activation of the biosynthetic pathways involved in biosynthesis of the fungal secondary metabolites, which remain silent under the normal laboratory conditions (Cichewicz 2010 and Demers et al. 2018).

## 8 Conclusion

The fungal endophytes live symbiotically within their host plants without causing any harm; as most of them are non-parasitic, at least in their host plants. They are promising producers of novel natural bioactive compounds, which present significant activities in both of the medical and agricultural sectors worldwide. Accordingly, the endofungi can be manipulated as natural sources of drugs for treatment of the multidrug resistant microorganisms and tumor diseases, and can be applied also as potent microbicides and biofertilizers to promote the plant growth. The endofungi produce these bioactive compounds in different and\or similar pathways as their respective host plants; however, these compounds can not be produced chemically. Finally, it is recommended to place these endophytic fungi under stress conditions such as growth in dual cultures; to induce the silent biosynthetic genes, which results in the production of more and novel bioactive compounds.

Acknowledgements I express my sincere acknowledgements to my Respected Mother, my Wife Rania Hafez, and my Son Youssef, for supporting me during editing this book chapter.

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# Symbiotic Relationships with Fungi: From Mutualism to Parasitism



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# 1 Introduction

Fungi are a dynamic population with a great impact in the plant, animal, and human body. In the case of a plant, they are associated to the whole plant especially roots and rhizospheres with remarked effect on the fitness and productivity of the plant (Vicente et al. 2013; Vandenkoornhuyse et al. 2015).

This collection of fungi is termed mycobiome "a characteristic fungal community inhibiting a generally well-defined habitat which has distinct physical and chemical properties" (Dridi et al. 2011; Mendes et al. 2011). The combination of the plant and its mycobiome leads to environmental adaptation in plants which is essential for maintaining the function of terrestrial ecosystems (Chialva et al. 2018; Cavicchioli et al. 2019). Soil mycobiome have many effects on plant growth and development and inhibition of plant diseases by imposing physiological restrictions on pathogens establishing and infecting plant tissues (Kumar et al. 2012). They give the rhizosphere system some resistance to invaders (Van Elsas et al. 2012). They also provide nutrients, which play a crucial role in some processes such as

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© The Author(s), under exclusive license to Springer Nature Switzerland AG 2023 Y. M. Rashad et al. (eds.), *Plant Mycobiome*, https://doi.org/10.1007/978-3-031-28307-9\_15 phosphorus solubilization and nitrogen fixation. These processes support the nutrients uptake from the soil and promote plant protection by hindering agents of plant stresses, such as infection by pathogens and pests (Mendes et al. 2013; Quecine et al. 2014). All living microorganisms; microbiota live associated with each other or with other organisms in different types of relationships like synergism, amensalism, antagonism, parasitism, predation, and competition (Fig. 1).

In general, these types are divided into two large groups according to the degree of benefit and harm of the two partners of the relationship. Positive interactions such as commensalism or mutualism or synergism among microbial members are more prevalent. They can significantly affect the productivity of the bioprocess in industrial production (Hernandez et al. 2019). Contrary to that, the negative interactions exclude one organism from the community structure, such as parasitism, predation, or amensalism (Ghosh et al. 2016). In an asymmetric contact called amensalism, one species suffers harm or even dies while the other is untouched (Willey et al. 2011).

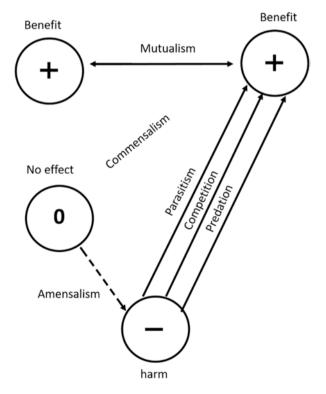


Fig. 1 The six different types of symbiotic relationships between the species. The dark black arrow mentions the direction of benefaction. Interrupted arrow mentions the harmful direction

#### 2 Mutualism in Plant Fungi Symbiosis

The sassily of plants derived it to make multitrophic interactions with other organisms, mainly microorganisms, which are diversely localized and have remarkable functional lifestyles. Mutualism implies 'relative benefits' in associations involving two or more different organisms. Mutualism is an obligatory or highly specific interaction between two populations in which both of them benefit from each other. It usually required a close physical connection in which both partners may act as if they are one. When they exist separately, the physical tolerance and metabolic activities will be different for every single symbiont (Leung and Poulin 2008).

Mutualisms are everywhere in the biosphere and are fundamentally important in evolution and ecology (Bronstein 2015). The fungal plant mutualism is beneficial to host plants by conferring fitness benefits on hosts. It promotes plant growth and production (Yuan et al. 2019), improves resistance to herbivores e.g. insects (Estrada et al. 2013), enhances tolerance to biotic stress (Khare et al. 2018). It also increases the accumulation of useful secondary metabolites (Gupta and Chaturvedi 2019; Yuan et al. 2019) and confers tolerance to abiotic stress (Sabra et al. 2018). Mutualism includes plant-symbiotic nitrogen fixation, plant–Mycorrhizae and plant–endophyte associations. The first two associations have been discussed in other parts in this edition so we add some light in plant endophytes association.

Mutualism according to the partner's selection (2) Facultative (1) Obligatory when both microorganisms live together in when one of the two partners can survive close proximity, and each of them cannot without its mutualistic partner by itself in some survive without its mutualistic partner conditions Mutualism according to interaction purposes (1) Trophic mutualism: (2) Defensive mutualism: it is also called resource-resource interactions. it is also called service-resource relationships. It is a type of mutualistic association, which It appears when one organism provides shelter comprises the exchange of nutrients between or protection from predators or pathogens, two species while the other provides food (3) Service-service mutualism: it appears when one species receives service from its partner in return for transporting another service to the other organism

Summary of mutulism types (Selim and Zayed 2017)

## 2.1 Plant–Endophyte Association

Endophytic fungi are obligate mutualists in plants and they introduce essential thermotolerance to the symbiosis (Redman et al. 2002). Endophytes have been identified in growing plants in all types of environments and represent a large taxonomic diversity of fungi. This suggests the convergent and redundant appearance of endophytism in different times and spaces during the co-evolution of plants and fungi. Plant-mycoendophyte interactions are symbiotic. This symbiotic relationships are ranging from mutualism through commensalism to parasitism (Rodriguez and Redman 2008; Aly et al. 2011). Actually, endophytes can shift their lifestyle, being latent saprotrophs, pathogens, temporary residents, mutualists, or commensals (Suryanarayanan 2013). Some endophytes can survive as decomposers on leaves after the death of plant tissues, suggesting that mutualism could derive from saprophytism (Suryanarayanan 2013).

Host and mycoendophyte specific factors as well as external environmental factors play significant roles in shaping plant-mycoendophyte interactions. Such as host and fungal species (Jia et al. 2016; Fesel and Zuccaro 2016; Wang et al. 2019), growth and plant age (Jia et al. 2016), communication pattern (Fesel and Zuccaro 2016), and physiological stress (Rodriguez and Redman 2008). External factors such as light conditions and other abiotic stressors (Bacon et al. 2008; Alvarez-Loayza et al. 2011). In plant, mycoendophyte phytohormones form a part of the host's released metabolites which function as signal molecules that facilitate host-endophyte crosstalk and in turn determine the success of endophyte interactions and colonization (Lubna et al. 2018; Xu et al. 2018). Many genera of fungi are endophytes such as Trichoderma spp., Epicoccum spp., Penicillium spp., Alternaria spp., Cladosporium spp., Fusarium spp., Chaetomium spp., Cladosporium spp., Aspergillus spp., Curvularia spp., Gilmaniella spp., Arthrobotrys spp., Acremonium spp., Colletotrichum spp., Fusarium spp., Saccharomyces spp., Beauveria spp., and paecilomyces spp. (Zakaria et al. 2010; Oldroyd et al. 2011; Paul et al. 2012; Fávaro et al. 2012; Ek-Ramos et al. 2013; Sharma et al. 2019). One endophyte fungal species may be associated with many plant species, and vice versa many species of endophytes may be present in the same species (Rana et al. 2019).

Some fungal endophytes as *A. niger* CSR3, *Phoma glomerata* LWL2, and *Penicillium* sp. LWL3, have been reported to produce and degrade phytohormones like auxin, cytokinins, and gibberellins. They enable them to manipulate host defense responses to infection and facilitate the successful interaction and colonization (Lubna et al. 2018). Furthermore, a significant number of miRNAs induced in the host during endophyte infection and colonization target hormone-response pathways (Formey et al. 2014). Strigolactones are phytohormones which are known to be involved in plant-microorganism interactions in the rhizosphere (Xie et al. 2010). Experimental reports have shown that strigolactones have a role in mediating and shaping plant-fungi interactions including mycoendophytes (Foo et al. 2013).

# 3 Commensalism in Plant Fungi Symbiosis

The commensal relationship is often between a larger host (unaffected) and a smaller commensal. In this relation, commensals may obtain nutrients, support, shelter, or locomotion from the host species. For success, the commensal species may show great morphological adaptation. This relationship can be contrasted with mutualism, in which both species benefit (Alvarez-Loayza et al. 2011).

In Plant-fungal interaction commensalism is the undisturbed existence of fungus inside the plant tissue without affecting the host. It neither provides any benefit or support to plant growth in the form of nutrients or secondary metabolites nor causes any disease (Ko and Helariutta 2017). But this relationship can affect the plant immune responses. For example, commensal root microbiota members triggered an immune response in distant shoot organs and modulate resistance against a wide range of microbial pathogens and herbivores (Berendsen et al. 2018; Chialva et al. 2020). These responses include commensal-triggered induced systemic resistance (ISR) and pathogen-triggered systemic acquired resistance (SAR). Some recent evidences indicated that the accumulation of azelaic acid SAR component (AzA) in tomato leaves occurred in response to rhizosphere microbial commensals (Chialva et al. 2020). Additionally, there are 116 metabolites in distant shoot organs were modulated by the local rhizosphere microbiome. In contrast to SAR, initiation of ISR by beneficial root-colonizing microbes primes aboveground plant parts for an accelerated defense response upon pathogen or insect attack. This phenomenon has been extensively described by Pseudomonas simiae root colonization of A. thaliana via transcription factor MYB72, which also regulates the secretion of coumarins in the rhizosphere. These coumarins have a role in selecting beneficial over nonbeneficial ISR and has a function in growth-promoting strains exist in the rhizosphere as it acts in concert with the root microbiota to improve iron nutrition (Ota et al. 2020). Although experimental evidence is lacking, coumarins might also travel from root to shoot and might contribute to the onset of ISR (Harbort et al. 2020).

Soil conditioning by plant residence time, plant species and mutants, or plant pathogen pressure resulted in host-induced shifts in rhizosphere microbial community composition that are directly linked with the plant's ability to resist aboveground pests and pathogens. This made the belowground microbial community composition and aboveground systemic immune outputs more tightly connected (Pineda et al. 2020). Commensal root microbiota members alleviate plant growth deficiency induced by aboveground changes in temperature or light conditions. It might influence the energetic status of aboveground shoot organs, thereby driving investment into growth when aboveground environmental conditions are suboptimal. Systemic defense responses in leaves are induced also by root microbiota members (Hou et al. 2020).

Prioritization of microbiota-induced growth in the context of aboveground abiotic stresses is associated with the down-regulation of microbiota-induced defense responses. Examples supporting this hypothesis in *A. thaliana* indicated that: (1) priority to shade avoidance responses occur at the expense of defense, (2) light and phytochrome photo perception mechanisms induced by SAR, and (3) root microbiota-induced growth under suboptimal light coincides with transcriptional repression of systemic leaf immune responses and increased susceptibility to microbial leaf pathogens (Liu et al. 2020).

Plant commensal microbes have evolved a variety of strategies to interfere with or bypass microbial-triggered immunity (MTI) to establish symbiosis (Teixeira et al. 2021). In nature, plants are colonized by different types of microorganisms from their habitats, including commensal microbes and pathogens (Fitzpatrick et al.

2020). The innate immune system of plants suppresses the invasion of pathogenic microbes. The defense is based on a series of immune responses, such as fungal chitin, peptidoglycan, flagellin 22 (flg22), and elongation factor Tu (EF-Tu) (Boller and Felix 2009). Moreover, symbiotic microbial communities promote mutualism by suppressing immunity (Buscaill and van der Hoorn 2021). Studies on plants have so far focused on single microorganisms, and the specific immunomodulatory effects of different strains have not been integrated into the context of complex communities.

On the other hand, aboveground biotic and abiotic stresses can modulate root microbiota assembly, and conversely, root commensals can promote host tolerance to biotic and abiotic stresses. It remains difficult to experimentally test whether these two responses are part of a microbiota-root-shoot circuit that promotes stress resistance in plants. Biotic stresses such as leaf pathogen inoculation can trigger selective host recruitment of beneficial root commensals that modulate aboveground pathogen growth through commensal-induced modulation of the host immune system. Beneficial root commensals were selectively stimulated through the combined action of commensal-mediated pathogen growth suppression and commensalinduced immune system modulation. Disease-induced re-assembly of beneficial root commensals is not limited to infection by microbial pathogens but has been also reported during herbivory. For example, compositional shifts in the root microbiome of maize mutants deficient in benzoxazinoids were correlated with changes in plant defense, growth, and herbivore resistance of the next plant generation (Liu et al. 2020). Complementation experiments with the benzoxazinoid; breakdown product of 6-methoxy-benzoxazolin-2-one (MBOA) indicated that MBOA induced the shift of rhizosphere microbiota, rather than MBOA itself (Hu et al. 2018). Therefore, modulation of benzoxazinoids exudation in the first generation and conditioning of the rhizosphere microbiota is the key to orchestrating leaf defense responses and suppression of herbivore growth in the second plant generation. These data suggest a general model in which recognition of aboveground pests in leaves can signal along the shoot-root axis to modulate rhizosphere microbiota assembly, thereby leaving a microbial footprint in soil that promotes offspring health.

#### 4 Parasitism in Plant Fungi Symbiosis

An interactive association known as parasitism occurs when two biologically and phylogenetically distinct species coexist for an extended length of time. In this kind of interaction, the "host" suffers while the "parasite," which typically benefits does not. The ability of an organism to cause a disease or pathogenicity is connected with parasitism. Many recent data suggest that oomycetes evolved plant parasitism several times and independently of other eukaryotic pathogens (Meng et al. 2009; Thines 2014).

In general, in the parasitism relationship at least one (the pathogen) benefits. There are some other cases; both host and pathogen alternate the benefits. For instance, bacterial nodules in the roots of legume plants and the mycorrhizal infection of feeder roots of most flowering plants. Both biotic and abiotic agents have an impact on a number of crucial physiological and metabolic processes in the host that are involved. For example, growth including the production of chlorophyll, photosynthesis, transpiration, cell wall metabolism, the balance of growth regulators, seed germination, and nutrient uptake (Rizwan et al. 2016; Pandey et al. 2017; Cohen and Leach 2019; Ganie and Ahammed 2021). In many instances, parasitism is closely linked to pathogenicity, i.e., the ability of a pathogen to cause disease.

The amount of damage occurred to plants is often much greater than would be expected. This damage is caused by substances released by the parasite or made by the host in response to parasite resistance. In many plant-pathogen interactions, an arsenal of chemicals known as effectors is released by pathogens to aid in infection (Oliva et al. 2010). These effectors modify plant physiology to suppress plant defense responses. These effectors either exist in the interaction zone between the fungal hyphae and the host surface or are transferred inside the plant cells (Lo Presti et al. 2015). Some of these effectors are recognized directly or indirectly by resistance (R) proteins from the plant and then modulate the innate immunity of the plant. These R proteins are called avirulence (Avr) proteins. The presence of the 'R' gene and the corresponding 'Avr' gene leads to the recognition of the pathogen by the host cell which activates resistance against the pathogen (Patel et al. 2020). These proteins trigger a set of immune responses termed Effector-Triggered Immunity (ETI), which frequently lead to a rapid hypersensitive response (HR). Certain genetic changes such as complete deletion, inactivation, or down-regulation of the AVR gene, as well as point mutations, allow the recognition between the pathogen and plant cell to be evaded (Guttman et al. 2014).

Avr genes which are recognized by several *R* genes were reported in the pathosystems: *Leptosphaeria maculans*/oilseed rape (Rouxel and Balesdent 2017), *Magnaporthe oryzae*/rice (Kanzaki et al. 2012; Cesari et al. 2013), *Fusarium oxysporum*. lycopersici/tomato (Houterman et al. 2008; Houterman et al. 2009) and both the fungal pathogen *Cladosporium fulvum* and the nematode *Globodera rostochiensis*/tomato. R protein recognized Avr proteins as they render the pathogen avirulent on plants that carry the suitable receptor (Lozano-Torres et al. 2012).

# 4.1 Hyperparasitism

It is a phenomenon that occurs when pathogens are attacked and killed by a biocontrol agent. There are four categories of hyperparasites: obligate bacterial pathogens, mycoviruses as hypovirulence factors, facultative parasites, and predators. According to a study carried out by Latz et al. 2016 *Actinomyces, Pseudomonas,* and *Bacillus* rhizosphere bacteria were responsible for *Rhizoctonia solani* suppression in potato plants. Endophytic bacteria stimulate plant growth due to their good properties such as nitrogen fixation (Ladha and Reddy 2003), and synthesis of plant hormones such as IAA (Bal et al. 2013). Also, they play a role in phosphate solubilization (Prakash 2011), inhibition of plant diseases (Sayyed et al. 2013), and production of some secondary substances such as siderophore. Recent work by (Do 2022) reported that strains of rice root endophytic bacteria; *Bacillus velezensis* and *Pseudomonas putida* can control the *Magnaporthe oryzae*; the causal agent of blast disease in rice. *Trichoderma* species have been reported to be effective against many plant pathogenic fungi, especially members of oomycetes (Verma et al. 2007). *Trichoderma* spp. has a multifaceted mode of approach including competition for nutrients (Elad 2000), mycoparasitism (Troian et al. 2014), secretion of antimicrobial compounds (Xiao-Yan et al. 2006), induction of the plant resistance and the host growth promotion (Martínez-Medina et al. 2014). *Trichoderma* has a successful antagonistic effect against various important plant pathogens, such as *Pythium* (Tchameni et al. 2020), *Phytophthora* sp. (Bae et al. 2016), *Botrytis* (You et al. 2016), *Fusarium* sp. (Saravanakumar et al. 2016; Sreenayana et al. 2022), *Sclerotinia sclerotiorum* (Pastrana et al. 2018), *Sclerotium rolfsii* (Islam et al. 2017), *Macrophomina* (Pastrana et al. 2016) and *Rhizoctonia solani* (Daryaei et al. 2016).

# 4.2 Types of Parasitism

Parasites can be differentiated based on their life cycles into two categories:

#### 4.2.1 Obligatory

The obligate parasitic fungi cannot complete their life cycle without exploiting a suitable host. If an obligate parasite cannot obtain a host, it will fail to reproduce. All obligatory and some non-obligate parasites must either penetrate living cells or come into close contact with them to obtain nourishment. The two most significant biotrophic fungi which cause powdery mildew and rust belong to the biggest category of plant pathogenic fungi, which harm numerous economically crucial crops and significantly reduce yields (Hückelhoven 2005; Jakupović et al. 2006; Micali et al. 2008; Yin et al. 2009). Rust and powdery mildew are caused by many fungi that can create specialized infective structures called haustoria. These haustoria have been identified as a fungal structure with a key role in disease establishment and have been implicated in essential processes like nutrient uptake and effector delivery.

#### 4.2.2 Nonobligatory (Facultative)

Certain saprophytic fungi and bacteria can live on live, dead host tissues and various nutrient media. These parasites as necrotrophs grow saprophytically, but under specific circumstances, they attack live plants and cause disease. The nonobligatory parasites vary in their pathogenicity. They are more resilient and include many common fungi such as *Rhizoctonia* sp., *Alternaria* sp., *Cercospora* sp., and *Sclerotium* sp. These pathogens have a highly diverse spectrum of hosts. Vascular wilts, which are frequently caused by *Fusarium*, *Verticillium*, *Ceratocystis*, and *Cephalosporium*, occupy a unique position among plant diseases since during the critical stages of pathogenesis, the fungus is confined within non-living xylem elements of the host. Most nonobligatory parasites primarily invade and infect plants by degrading the plant cell wall using lysozymes as one of their primary mechanisms (Nühse 2012; Davidsson et al. 2013).

Parasitic fungi-infected plants are classified generally based on their strategies into two categories, ectoparasitism, and endoparasitism. Most genera of *Erysiphaceae* are ectoparasites. Out of the 17 genera of the *Erysiphaceae*, only four genera, *Phyllactinia, Leveillula, Queirozia*, and *Pleochaeta* exhibit endoparasitism (Takamatsu et al. 2016). Mycorrhizas are commonly divided into ectomycorrhizas and endomycorrhizas. Ectomycorrhizal hyphae penetrate the root cortex with a web of closely intertwined fungus hyphae (Favre-Godal et al. 2020). The ectomycorrhizas hyphae remain apoplastic outside the protoplasts of the root cells. In other cases, hyphae may be invasive then representing ectomycorrhizae.

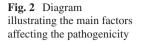
On the other hand, endomycorrhizae and fungal hyphae form coils for the exchange of metabolites and minerals within the root cells while still staying enveloped by a phagocytotic pocket. The hyphal coils may eventually be digested by the root cells. Endomycorrhiza includes arbuscular, ericoid, and orchid mycorrhiza (Brundrett 2004).

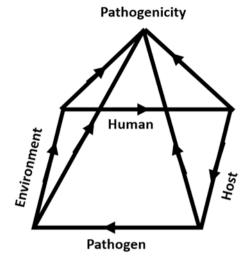
#### 4.3 Factors Affecting Parasitism

It has been shown that the composition of the rhizosphere microbial community is influenced by many environmental factors such as temperature (Brooks et al. 2014), water content (Abdul Rahman et al. 2021), pH (Javed et al. 2002) (Aydi Ben Aydi-Ben-Abdallah et al. 2020), CO<sub>2</sub> concentration, O<sub>2</sub> levels (Abdul Rahman et al. 2021), EC (Aydi-Ben-Abdallah et al. 2020) and the biochemical composition of root exudates (Bais et al. 2008).

Exogenous environmental factors have a critical role in the predisposition of plants to infection and disease spread in the host after infection by fungi. The host damages ranging from small outbreaks to an epidemic-level scale depend mostly on some climatic, chemical, physical, and biological conditions that can interact with one another to induce the onset of disease (Thompson et al. 2014). The host-microbe interaction and the pathogenicity are varied according to the pathogen, the host, the environment, and the interference of human factors (Fig. 2). The host plant's development and resistance, as well as the pathogen's development or sporulation and level of virulence, may be affected by environmental and human variables.

Many pathogenic fungi create one or more protein elicitors during plant-microbe interactions, which can cause the induction of defense responses in plants (Peng et al. 2011). The mechanisms induced by microbial elicitors include many defense





reactions like hypersensitive reaction (Allen et al. 2004; Rowland et al. 2005), systemic acquired resistance (Durrant and Dong 2004), reactive oxygen species (Glazebrook 2005), and biosynthesis of phytoalexins and pathogenesis-related protein (Silipo et al. 2010). Elicitors are signaling molecules that trigger secondary metabolites formation in a plant cell by inducing plant defense, hypersensitive response, and/or pathogenesis-related proteins (Yu et al. 2016). They fall into two categories: biotic and abiotic. The biotic elicitors are derived from the biological origin and include proteins, polysaccharides, glycoproteins, or cell-wall fragments derived from fungi, bacteria, and even plants (Ramirez-Estrada et al. 2016; Ochoa-Meza et al. 2021). Many physical and chemical factors are effective abiotic elicitors (Sák et al. 2021). These include ultraviolet irradiation, partial freezing, Ozone, salts of heavy metals, free radicals, and DNA-intercalating compounds.

Upon the abiotic or biotic elicitor treatment, many metabolic reactions may take place. For example, the accumulation of many toxic substances such as salicylic acid and jasmonate (Shinya et al. 2022) and activation of defense enzymes like peroxidase, oxidase, superoxidase, catalase, superoxide dismutase, chitinase and PR-proteins like  $\beta$ -1–3 glucanase. Also, the production of secondary metabolites was increased like phytoalexin (Shinya et al. 2022), cytokinins, ethylene, salicylic and abscisic acids (Morimoto et al. 2018), phenols, and lignin content (Patel et al. 2020). In addition to promoting disease resistance, fungal elicitors also play a significant role in inducing plant growth and development (Patel et al. 2020).

Some fungal spores have adhesive materials on their surfaces that enable them to adhere to different surfaces. Once the spore germinates, it often secretes more enzymes that presumably soften or dissolve the contact cell wall and make its penetration easier. When a pathogen attacks a host plant, the genes of the pathogen are activated, produced, and release all their chemical weapons of attack against the host cell. The primary groups of chemicals generated by plant pathogens that are thought to be involved in the direct or indirect development of disease are enzymes, poisons, growth regulators, and polysaccharides (Komon-Zelazowska et al. 2007; Druzhinina et al. 2011). The toxicity of these chemicals varies widely, and their relative relevance may differ from one disease to another.

Nematophagous fungus; *Arthrobotrys oligospora* produces not only chemotaxis (Bargmann 2006) that trapped nematodes but also produces a sticky substance that fixes the pathogen to the prey. These sugars coat the nematode's surface and are involved in the interconnectivity caused by pectin and chemotaxis (Hsueh et al. 2013, 2017).

Pathogenic fungi have the ability to live off the substances manufactured by the host plants, and some other pathogens depend on these substances for survival. Additionally, many plant pathogens elaborate phytotoxic compounds when attacking the host which produces a variety of symptoms in sensitive plants. These toxins are mandatory for the pathogenicity of the sensitive host plant. The development of plant disease symptoms, such as leaf spots, chlorosis, wilting, necrosis, and growth inhibition and promotion is significantly influenced by fungus toxins (de Moraes Pontes et al. 2020; Chen et al. 2020). Most pathogenic fungi especially oomycetes secrete a plethora of effectors into the extra-haustorial space which is then seeped into the host cytoplasm (Oliva et al. 2010; Oliver and Solomon 2010). In the pathogenesis of the majority of plant diseases, toxins play a significant role. It has been demonstrated that a number of toxic substances produced by phytopathogenic fungi have been shown to produce all or part of the disease syndrome on the host plant and on other species of plants that are not normally invaded by these pathogens in nature. These toxins are called nonhost-specific toxins and affect the virulence of the pathogen, but they are not necessary to cause disease.

Many reviews reported that the phytotoxins are produced by one fungal genus (McLean 1996; Kim and Chen 2019) or one fungal species (Chen et al. 2019). Others stated that fungal interactions with a single plant species or plant group resulted in the production of phytotoxins (Masi et al. 2018). There are at least 545 fungal phytotoxic secondary metabolites identified to date, including 207 polyketides, 46 phenols, and phenolic acids, 135 terpenoids, 146 nitrogen-containing metabolites, and 11 other compounds (Xu et al. 2021).

For example, *Alternaria* is a well-known genus for the production of a variety of about 70 toxic metabolites (Logrieco et al. 2009; Pavón Moreno et al. 2012). Some of these metabolites are; altenuene, dibenzo-αpyrones alternariol, tentoxin, alternariol monomethyl ether, and a derivate of both tenuazonic acid and tetramic acid (Logrieco et al. 2003; Ostry 2008; Noelting et al. 2016; Escrivá et al. 2017; Topi et al. 2019; Crudo et al. 2019). Tentoxin is produced by *Alternaria alternata* fungus, which causes leaf spots and chlorosis in many plants (Noelting et al. 2022). Tentoxin is a cyclic tetrapeptide that inactivates a protein (chloroplast-coupling factor) involved in energy transfer into chloroplasts (Durbin and Uchytil 1977; Mochimaru and Sakurai 1997). This inhibits the light-dependent phosphorylation of ADP to ATP, in comparison to species that are not sensitive to the toxin, these all-inhibition

effects are substantially more pronounced in plant species susceptible to chlorosis after tentoxin treatment. *Alternaria* species create a lot of toxic substances like alternariol and alternariol monomethyl ether (Pero et al. 1973). Additionally, it produced non-specific toxins in culture filtrates, according to (Anand et al. 2008), which decreased cotton seedling vigor, root length, shoot length, and seed germination.

Cercosporin is a photosensitizing perylenequinone which is produced by the fungus *Cercospora* (Newman and Townsend 2016). It reacts with lipids, proteins, and nucleic acids of the host cells thereby enhancing the virulence of the pathogen. Another example of toxins produced by fungi is the fumaric acid which is produced by *Rhizopus* spp. in rot disease. Also, oxalic acid toxin which is produced by *Sclerotinia sclerotiorum* (Zhou and Boland 1999; Cessna et al. 2000) and *Cryphonectria parasitica* (Heiniger and Rigling 1994; Rigling and Prospero 2018). In various plants, ophiobolins are produced by *Cochliobolus* sp. (Tian et al. 2017); ceratoulmin is produced by *Ophiostoma ulmi* in Dutch elm disease (Temple et al. 1997); fusicoccin is produced by *Phomopsis amygdali* in the twig blight disease of peach (Marra et al. 2021); pyricularin is produced by *Pyricularia grisea* in rice blast disease (Valent and Chumley 1991); fusaric acid and lycomarasmin are produced by *Fusarium oxysporum* in barley wilt; and many others (Liu et al. 2016).

A host-specific is a substance produced by a pathogenic microorganism that is toxic only to the susceptible hosts of that pathogen and shows little or no toxicity against the non-susceptible plants (Meena and Samal 2019). It has been established that certain fungi, including *Helminthosporium*, *Alternaria*, *Periconia*, *Colletotrichum*, *Phyllosticta*, *Corynespora*, and *Hypoxylon*, produce these toxins (Xu et al. 2021).

Among such host-specific toxins produced by fungi are Victorin which are produced by *Helminthosporium victoria* (Rines and Luke 1985) in oat leaf blight. T toxin is produced by race T of *Cochliobolus heterostrophus* in southern corn leaf blight (Xiaodong et al. 2018); HC Toxin Race 1 of *Cochliobolus carbonum* causes northern leaf spot and ear rot disease in maize(Xiaodong et al. 2018). CCT toxin is produced by *Corynespora cassiicola* in tomato (Oka et al. 2006); peritoxin is produced by *Periconia circinate* which causes sorghum root rot disease (Macko et al. 1992); and many others.

Plant pathogens frequently disrupt the hormonal balance of the plant and induce irregular growth responses that are incompatible with a plant's healthy development. Pathogens may cause disease by affecting the growth regulatory systems of the infected plant through the secretion of growth regulators in the infected plant. This disturbance in plant growth regulatory systems may lead to abnormal plant growth responses which cause abnormal symptoms, such as overgrowths, stunting, rosetting, excessive root branching, stem malformation, leaf epinasty, defoliation, and suppression of bud growth. Some pathogenic fungi as *Fusarium oxysporum* in banana wilt can produce IAA on their own in addition to increasing the levels in their respective hosts. High concentrations of IAA can suppress the expression of plant defense genes (Shinshi et al. 1987) and may inhibit the hypersensitive response

(Jouanneau et al. 1991). Rice seedlings infected with *Fusarium fujikuroi* grow rapidly and become much taller than healthy plants (Hedden and Sponsel 2015). This observation is referred as gibberellin secretion by the pathogen.

Many studies showed that the microbial community in the plant rhizosphere depends on the plant species and ecotype (Lundberg et al. 2012; Peiffer et al. 2013; Lebeis 2014). Some fungal pathogens are restricted to a single plant species, others to one genus, and others have a wide range of hosts belonging to many families of higher plants. *Fusarium oxysporum* attacks only tomatoes to cause tomato wilt disease. Similarly, *Venturia inaequalis*, which causes apple scab, affects only apples, whereas *Puccinia graminis* which causes stem rust of wheat, attacks only wheat.

Most smut fungi attack the ovaries of monocot spikes and develop in them. Dematiaceous fungi cause wilt to invade susceptible plants through the roots and basal wounds to reach the vascular bundles. Depending on the cropping season, cultivar type, and stage of plant development, the microbial community in the rhizosphere of potato plants might vary (da Silva et al. 2003). Also, the plant growth stage had a greater impact on fungal community composition than bacterial community composition (Schlemper et al. 2018).

Many studies showed that the microbial population in the plant rhizosphere is influenced at least in part by the species and ecotype of the plant (Bulgarelli et al. 2012; Lundberg et al. 2012; Peiffer et al. 2013; Lebeis 2014). Plants can control soil microbial population through their root exudates serving as nutrient sources, chemical stimulants, or inhibitors for associated microorganisms. Chemical analysis of the *Arabidopsis thaliana* root exudates shows many variations between ecotypes, suggesting a way by which the plant controls the assembly of the community (Micallef et al. 2009). The chemical components which are found in *A. thaliana* root exudates, as well as the rhizosphere microbiome, change as the plant develops. This finding shows that *A. thaliana* sends out growth-stage-specific signals that influence the microbiome of its roots (Chaparro et al. 2014).

In addition, it has been noted that the microbial communities associated with potato cultivars are varied at different growth stages (İnceoğlu et al. 2010). Recent studies on maize (Hou et al. 2018) and wheat (Simonin et al. 2020) rhizosphere microbial communities have demonstrated that the plant types change the microbial community under stable environmental conditions. Finally, the physiological state of the plant also influences its microbiome. Susceptible plants that have specific receptors for certain pathogens become diseased (Bhaskar et al. 2021). While plants that lack such receptors remain resistant to pathogens and develop no symptoms. Plants species or varieties that do not produce one of the substances essential for the survival of an obligate parasite, or for its development would be resistant to this pathogen. Most plants and activities of the pathogen may partially or almost totally defend themselves with the aid of various combinations of naturally occurring or induced chemical compounds or defense structures.

Many pathogens that succeed to enter nonhost plants naturally fail to cause infection. This suggests that the resistance to infection displayed by plants against some pathogens is the result of chemical defense mechanisms rather than structural ones. Plants release a range of chemicals through the surface of their shoots and roots that have a pathogen-inhibiting effect. For instance, fungi toxic exudates on the leaves of some plants, e.g., sugar beet and tomato inhibit the germination of spores of fungi *Cercospora* and *Botrytis* respectively.

Root exudates play an important role in understanding the relationships between plants and soil microorganisms, ranging from mutualistic to pathogenic. Recent research employing rRNA gene pyrosequencing showed that root exudate changes are mostly controlled by molecular cross -talk between plants and soil microorganisms (Chaparro et al. 2014; Sugiyama et al. 2014). It was observed that the root exudates are the first step toward colonization for many rhizosphere bacteria (Tan et al. 2013). They stimulate spore germination for root parasitic fungi (Harrison 1998; Clocchiatti et al. 2021), seed germination for flowering parasitic plants (El-Halmouch et al. 2006), and cyst hatching of nematodes (Turner and Subbotin 2013). In addition, root exudates can act as specific stimulatory compounds and antimicrobials which have a considerable toxic effect on the rhizosphere microflora. Root exudates are a complex mixture of high and low molecular-weight chemicals, many of which can trigger plant growth-pmoting rhizobacteria (PGPR) responses (Bais et al. 2008; Broeckling et al. 2008; Liu et al. 2017; Feng et al. 2018; Sharma et al. 2020). It was demonstrated that tiny sugars, polysaccharides, amino acids, aromatic chemicals, phenolics, and small organic acids are thought to be key drivers of bacterial and/or fungal attraction in the rhizosphere (de Weert et al. 2002; Ling et al. 2011; Neal et al. 2012; Zhang et al. 2020).

The compounds present in *A. thaliana* root exudates vary with the plant's developmental stages. For example, *A. thaliana* sends out growth-stage-specific signals that influence the microbiome of its roots (Chaparro et al. 2014). Also, some other evidence indicated that the microbiome of the soil can be shaped by the composition of root exudates that are released by host plants (Shi et al. 2011). Moreover, it was concluded that the host growth stage affects root physiology and changes the quality and amount of root exudates. As a result, these changes select root-associated microbiota during various growth stages (Dunfield and Germida 2003; Sugiyama et al. 2014). The secretion composition varies along the root length and this results in distinct bacterial communities along the root length (Ofek et al. 2011).

Zoospores of *Phytophthora* sp. may be attracted by the root and/or exudates of their hosts (Zentmyer 1961; Chepsergon et al. 2020; Bassani et al. 2020). *Pythium* species depend on seed and seedling exudates for either oospore germination (Stanghellini and Burr 1973; Martin and Loper 1999; Nzungize et al. 2012), sporangial germination (Nelson 1991), or zoospore attraction towards the host (Heungens and Parke 2000; Zhang et al. 2020). Contrary, maize root exudates inhibit the zoospore activity, cyst germination, and mycelial growth of *Phytophthora sojae* (Zhang et al. 2019, 2022).

# 5 Signaling and Quorum Sensing in Plant Symbiotic Relationships with Fungi

A large number of endophytes can colonize the plants; as a consequence, particular balancing mechanisms are required for their survival. This is a sophisticated process; quorum sensing (OS) plays a pivotal role in this communication. OS can be defined as a cell-to-cell communication system and it is a cell density-dependent microbial communication that controls gene expression by forming freely diffusible compounds called autoinducers (AIs) or quorum sensing molecules (OSMs) (Fuqua et al. 2001; Williams et al. 2007; Cornforth et al. 2014; Naga et al. 2021). These AIs synchronize responses across a density population to achieve crosstalk and inhibit the chemical defense of other organisms (Teplitski et al. 2011). QS is critical in microbe-microbe and plant-microbe crosstalks in all ecological niches (Safari et al. 2014). Since its discovery in the luminescent marine bacteria Vibrio fischeri (Nealson and Hastings 1979), it has been identified in a wide range of bacteria. OS regulates cell-cell communication, virulence factors, motility, competence, biofilm formation, antibiotic production, and sporulation (Miller and Bassler 2001). Through modulation of virulence factors, it allows environmental adaptation to microbial interactions with plants (Antunes et al. 2010). For decades, OS was thought to be limited to bacterial systems, eukaryotic QS gained attraction after the revolutionary discovery of farnesol compound as the OSM in the pathogenic fungus Candida albicans (Hornby et al. 2001) and some other fungal species were reported to have QS mechanisms and produce AIs (Table 1). QS regulates the expression of virulence genes in a variety of microorganisms, in addition to mediating a variety of functions (Antunes et al. 2010). Other growth of microorganisms has been reported to be inhibited or slowed by certain QSMs. For example, farnesol could inhibit the growth of Saccharomyces cerevisiae by lowering the level of diacylglycerol (DAG), effectively suppressing the G1 stage of the cell cycle (Machida et al. 1999). Astonishingly, cross-kingdom signaling was reported to be mediated by both bacterial and fungal QSMs. For example, eukaryotes signaling could approve an efficacy to interfere with bacterial quorum signals (Ismail et al. 2016) and similarly, bacteria could influence fungal QS (Martín-Rodríguez et al. 2014). In addition, endophytes exhibited a communication system network mediated by QS to control the expression of many genes among their confined populations, maintain their colonization in host plants, and counteract phytopathogens (Venkatesh Kumar et al. 2019). Qs allows for complex cross-talks between diverse endophytic microorganisms communities in *planta*. A pioneering study recently revealed the role of autoinducer-2 (AI-2) in endophytic fungi and bacteria inter-kingdom signaling systems (Tourneroche et al. 2019).

Surprisingly, plants also showed efficacy to synthesize QS-like molecules (Hartmann et al. 2014). N-acylated homoserine lactones (AHLs) serve as the most widely AI used in *proteobacteria*. QSMs that mimic AHL have been detected in some plants as *Oryza sativa* (rice) and *Phaseolus vulgaris* (bean) (Pérez-Montaño et al. 2013). These biomolecule analogs can bind to bacterial receptors and inhibit

Fungi strain	QSMs	Mediated processes	Reference
Candida albicans	Farnesol	Morphogenesis, pathogenicity	Hornby et al. (2001)
	Tryptophol		Chen et al. (2004)
	Tyrosol		Chen et al. (2004)
	Phenylethanol		Chen et al. (2004)
	Farnesoic acid		Hogan (2006)
Saccharomyces cerevisiae	Tryptophol	Adhesion, invasive growth, morphogenesis	Hogan (2006)
	Tyrosol		Chen et al. (2004)
	Phenylethanol		Chen et al. (2004)
Aspergillus terreus	Butyrolactone I	Secondary metabolite synthesis	Raina et al. (2012)
A. nidulans	γ-hepatolactone	Secondary metabolite synthesis	Williams et al. (2012)
A. flavus	Oxylipins	Sporulation, mycotoxin production	Affeldt et al. (2012)
Penicillium sclerotiorum	γ-butyrolactone	Phospholipase A2 inhibitory activity	Raina et al. (2010)
Neurospora crassa	Unknown	Conidial anastomosis	Roca et al. (2005)
Cryptococcus neoformans	Amino acid peptides	Virulence	Lee et al. (2007)
Debaryomyces hansenii	Ammonia	Adhesion	Gori et al. (2011)
Penicillium decumbens	Farnesol	Cell wall biogenesis	Guo et al. (2011)
P. sclerotiorum	γ-butyrolactone	Phospholipase A2 inhibitory activity	Raina et al. (2010)
Ophiostoma floccosum	Cyclic sesquiterpenes	Yeast-mycellium dimorphism	Berrocal et al. (2014)

Table 1 Some fungal QS molecules and the mediated processes

QS-based biofilm development in *Pantoea ananatis* and *Sinorhizobium fredii* (Pérez-Montaño et al. 2013). As a result, QS is more than a communication system used by microbes; it represents a more complex interactive phenomenon used by competing ecological niches like bacteria, fungi, and plants. Plants harbor a variety of microbes in their endospheric, rhizospheric, and phyllospheric microbiomes possibly coinciding with their origin. Hence, it is clear that co-evolutionary forces have endowed plants with the ability to produce signaling molecules to mimic microbial AIs (Teplitski et al. 2011; Hartmann et al. 2014). Research in agriculture and biotechnology today puts a great deal of attention on the complex interactions between plants and bacteria.

In a natural microbial community, a microbe's virulence is not only controlled by QS but can also be modified by other members of the community that occupy the same niche (Brader et al. 2017). Certain microorganisms can inhibit QS, this phenomenon is named by quorum quenching (QQ) and it mediates inter and intrakingdom cross-talks (Dong et al. 2007). QQ can be achieved by inhibiting auto-inducer synthesis, preventing auto-inducer binding to their receptors, or by degrading them (Natrah et al. 2011). A variety of endophytic fungi was reported to exhibit QQ in artificial cultures, making them a potential source of alternative medicine against pathogenic microbes that utilize QS for virulence (Table 2).

Some endophytic bacteria and fungi use the QQ as antivirulence strategy (Kusari et al. 2014, 2015). In general, some QQ enzymes were reported to mediate the disruption of AIs (Hong et al. 2012). For example, lactonase and acylase enzymes can degrade AHL in Gram-negative bacteria by inactivating the lactone ring (Whitehead et al. 2001) (Fig. 3). Oxidoreductase enzyme can interfere with bacterial communication by reducing or oxidizing the acyl chain of AHLs rather than breaking them down (Hong et al. 2012).

AHL is composed of a homoserine lactone moiety and a variable-length acyl chain. Lactonase enzyme hydrolyses AHL lactone bonds, making it incapable of binding the target transcriptional factors required to synthesize virulence proteins. Lactonase was discovered in Endophytic *Enterobacter* that was isolated from the woody plant climber; *Ventilago madraspatana* (Rajesh and Rai 2014). Interestingly, lactonase enzyme from *Enterobacter* sp. CS66 isolated from another medicinal plant had a lower degrading AHL activity. However, it significantly inhibited

Endophytic fungi	Source	Test strain	Reference
Penicillium restrictum	Silybum marianum	Hybrid of bacterial strains AH2759	Figueroa et al. (2014)
Khuskia (LAEE21)	Marine plants	Chromobacterium violaceum CVO26	Martín-Rodríguez et al. (2014)
Fusarium (LAEE13)			
Sarocladium (LAEE06)			
Lasiodiplodia sp.			
<i>Epicoccum</i> (LAEE14)			
Fusarium graminearum	Ventilago madraspatana	Chromobacterium violaceum CVO26	Rajesh and Rai (2013)
Aspergillus sp.	Agricultural field	Pseudomonas aeruginosa	Dawande et al. (2019)
Penicillium sp.			
Fusarium sp.			
Phoma sp.			
Alternaria alternata	Carica papaya	Pseudomonas aeruginosa	Rashmi et al. (2018)
Phomopsis tersa	Carica papaya	Pseudomonas aeruginosa	Meena et al. (2020)

Table 2 Fungal endophytes have anti-quorum sensing potential

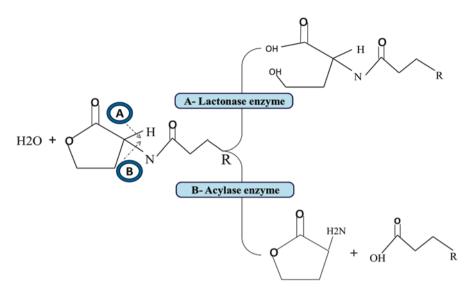


Fig. 3 QQ enzymes; lactonase enzyme (a) and acylase enzyme (b)

QS-dependent virulence factors production of *Pectobacterium atrosepticum* (Shastry et al. 2018). It suggests that various endophytic QQ enzymes evolved modifications, and their primary activity is to disrupt the virulence factors of surrounding microorganisms. *Phomopsis tersa* is an endophytic fungus isolated from *Carica papaya* that was recently discovered to reduce *Pseudomonas aeruginosa* QS-regulated virulence factors (Meena et al. 2020). As a result, it is clear that endophytes use QS and QQ to regulate the virulence and other related phenomena of resident and invading microorganisms, allowing them to survive inside plant tissues.

Many plants and their products exhibited anti-quorum sensing properties (Koh and Tham 2011; Kim and Park 2013; LaSarre and Federle 2013; Peter et al. 2019; Naga et al. 2022). Plants have been observed to disrupt QSMs through increased phytohormones production such as cytokinins and auxins, reactive oxygen species (ROS) generation, and the genes related to plant immune responses expression (von Rad et al. 2008; Bai et al. 2012; Schenk et al. 2012). They produce antivirulence quorum sensing inhibitors (QSIs), for example, *glycyrrhiza glabra* flavonoids reduced the virulence of *Acinetobacter baumannii* (Bhargava et al. 2015). Similarly, soft rot in potato is caused by *Pectobacterium* and depends on QS to synchronize its virulence factor and the plant cell wall degrading enzymes interfere with plant phenolic volatiles and disrupt its QS (Joshi et al. 2016). Furthermore, the reduction in AHL accumulation following treatment with plant volatiles indicating a direct interaction with N-acyl homoserine lactone synthase or regulatory protein (Joshi et al. 2016).

As a result, it is clear that plants are highly dependent on QQ and QS to sustain their endophytic microbiome for their growth, virulence, and sporulation. In light of this, the plant endospheric microbiome offers a favorable environment for endophytic microorganisms to compete in.

## 5.1 Endophytism Interactions

Endophytic behavior varies greatly and ranges from pathogenism, mutualism, and saprophytism (Saikkonen et al. 1998; Schulza and Boyle 2005). In physiological adaptation to fluctuating habitats, the transition between various lifestyles serves as an evolutionary determinant, providing phenotypic diversity to fungi. After colonizing several plants, the endophytic fungi may have a range of lifestyles depend on the transmission mode, infection pattern, the age of the plant, climate changes, and the genotype of the endophyte and host (Saikkonen et al. 1998; Freeman et al. 2001). One microbial species may have strains that are mutualistic, pathogenic, or commensal (Sheibani-Tezerji et al. 2015). Microbes from various strains share some genomes due to intra-specific existence, which allows the plant defense system to attack them identically. Comparative genomic research of endophytes and pathogens showed that their virulence factors are analogous. But, some endophytes lacked the essential virulence factors that act as a defining characteristic (Lòpez-Fernàndez et al. 2015). It is noteworthy to mention that a colonized fungus can change its lifestyle from pathogenism to endophytism or vice-versa. This relies on the metabolic and/or genetic condition of its interacting partners as well as environmental conditions (Márquez et al. 2007; Redman et al. 2001; Unterseher and Schnittler 2010). However, the genetic bases of switching in endophytic lifestyles is not understood (Redman et al. 2001; Unterseher and Schnittler 2010).

For instance, it was observed that the mutualistic endophyte; Epichloe festucae benefits its host plant; Lolium perenne by enhancing the acquisition of nutrients and this increased the biotic stressors resistance (Schardl 2001). E. festucae NoxA mutant strain which causes a change from parasitism to mutualism was isolated to pinpoint the symbiotic genes of E. festucae (Tanaka et al. 2006). NoxA gene in E. festucae and the symbiosis regulation was activated by GTPase RacA (Tanaka et al. 2008). ROS are released by the E. festucae NoxA gene, which encodes NADPH oxidase that caused a significant infection, loss of apical dominance, premature senescence, and ultimately death in the L. perenne plant. Further molecular information about this interaction provided detailed insight into the endophyte's intricate regulatory processes displayed by E. festucae within the host L. perenne (Bharadwaj et al. 2020) (Fig. 4). The most intriguing aspect of this interaction is the endophytes efforts to sustain themselves inside the host by trying to limit its growth in the intercellular spaces of the host. This autoregulation example shows that balanced antagonisms as well as other antagonism-independent methods may also help endophytes survive in a mutualistic and a symptomatic manner. Many endophytes particularly systemic ones that spread vertically are perpetually present in the host plant and never develop into pathogenic organisms. Some endophytes were evolved in close association with a specific host such that antagonism is not displayed against them, and they may have acquired alternative methods of endophytism maintenance.

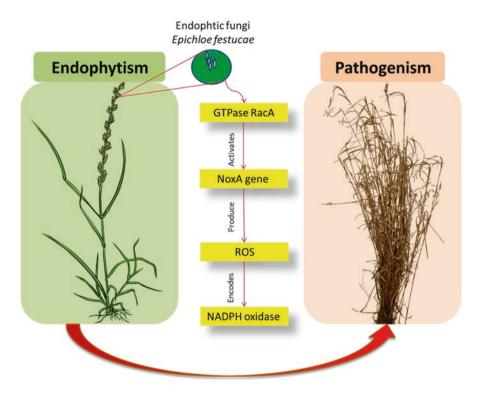


Fig. 4 Conversion the endophytic fungi *E. festucae from* endophytism to pathogenism in *L. perenne* 

Similarly, saprophyte-endophyte shift was reported, but nothing is understood about the triggers that cause these shifts. For instance, *Phomopsis liquidambari* B3 fungi can form a mutualistic relationship with some host plants such as *Bischofia polycarpa* plant and revealed to exude a variety of enzymes in a saprophytic state including cellulase, laccase, and polyphenol oxidase (Chuan-Chao et al. 2010; Zhou et al. 2014). The colonization strategy used by *P. liquidambari* B3 in these plants is host adapted. They exhibit various growth promotions that are influenced by nitrogen (N<sub>2</sub>) availability according to observations of colonization dynamics and promoting of plant growth evaluation as in *Oryza sativa* and *Arabidopsis thaliana*. By studying the genes connected with the saprophytic-endophytic transition, it was revealed that the most notable genes in *P. liquidambari* B3 participate in protein synthesis, ribosome biogenesis, and MAPK signaling and most of which are up-regulated in the endophyte (Zhou et al. 2017).

In addition, endophytic fungi exhibit a shift towards the pathogenic side of the spectrum with the aging of the leaves (Saikkonen et al. 1998). Age increases the prevalence of endophytic fungi which result in more visible outer infections. For instance, endophytic fungus colonized older *Pinus densiflora* and *Pinus thunbergia* 

needles more than younger needles (Hata and Futai 1993). Similar to this, *Citrus lemon* older seedlings that have the endophytic *Metarhizium anisopliae* and *Beauveria bassiana* strains showed the best survival rate. Consequently, plant aging has an impact on endophytic lifestyle as it is distinguished by a deficiency of critical nutrients (Bamisile et al. 2020).

Also, it was evaluated that fungal community may be altered from stage to another according to the developmental stage of plant and this is important in the change from parasitic to mutualistic. For example, joshua trees relationship with arbuscular mycorrhizal fungi (AMF) (Harrower and Gilbert 2021). Furthermore, environmental factors can have an impact on the endophytic fungus symbiotic lifestyle. Under certain conditions, the symptomatic endophyte *Diplodia mutila* of *Iriartea deltoidea* plant becomes parasitic (Alvarez-Loayza et al. 2011). The impact of irradiance on the endophytic transition was also investigated; *I. deltoidea* was reported to favor the shaded parts while intense light causes the associated endophyte to become pathogenic. On the contrary, endophytic fungi *Periconia macrospinos* changes from a mutualistic lifestyle to an extreme pathogenic if the shade increased (Mandyam and Jumpponen 2015). Salinity was shown to increase *Fusarium solani* pathogenicity (Eydoux and Farrer 2020).

To retain the mutualism, there must be a balance between balancing strategies and antagonisms and in case of any disorder occurrence, disease symptoms may manifest and the host immune system will reject the fungus (Rai and Agarkar 2016). The relationship between genetic regulation of the pathways variables and the processes is yet unclear. Additionally, systemic endophytes tend to exhibit mutualism more whereas transitory endophytes are very dynamic. Thus, the above- mentioned variables may be modifying the balancing tactics to upset the endophytic lifestyle, pushing the fungus to the maximum pathogenicity.

Endophytes use QQ and QS as anti-pathogenic strategies against invader and resident microbes, any disruption in these communicating pathways influence and destabilize the mutualistic symbiosis. Microbial QSIs can regulate the virulence, proliferation, and sporulation of a particular target microbe. However, when the regulating mechanism becomes unstable owing to any intrinsic or external reason, the target microbe becomes harmful. Additionally, it is possible that plant QSIs balance the pathogenicity of endophytes in the microecosystem of plant whereas nutritional imbalances may make it unstable. For instance, the fungus E. festucae is mutualistic and asexually reproducing endophyte of L. perenne that has been extensively investigated (Schardl 2001). Nevertheless, the start of flowering in the host plant triggers the sexual life cycle in some Epichloe spp., which changes the fungi mutualism to antagonism because resources are being pushed towards flowering (Schardl et al. 2004). It implies that plants devote the entirety of their energy to flowering rather than the virulence resistance of endophytic microorganisms which leads to changing their lifestyle. Even though these methods of disruption cannot fully explain this complex phenomenon, their involvement could not be disregarded. Therefore, uncovering the complex interaction of numerous elements that underlies endophytic dynamism would require extensive research.

Organisms have evolved some complex strategies to interact and tolerate the environmental changes (Bouyahya et al. 2017). So, they may alter their metabolism to resist various intrinsic and/or extrinsic stress situations for improved survival in the changed surroundings (van't Padje et al. 2016). The fundamental cross-talks between endophytes and plants are based on secondary metabolites (Huang et al. 2019; Jacoby et al. 2021). The host metabolism is typically induced by endophyte (Ludwig-Müller 2015). While endophytes supply many metabolites to assist the host plants in surviving with diverse stress circumstances, plants can produce some compounds which are essential for their self-defense and the endophytes growth (Guo et al. 2008). Endophytes can produce secondary metabolites in axenic cultures, and they are being used to make well-known and innovative medicines with antimalarial, antioxidant, antiviral, and anti-cancerous properties (Kusari et al. 2012). Natural bioactive compounds generated from endophytes come into many structural classes including steroids, flavonoids, phenolics, alkaloids, phenylpropanoids, terpenoids, quinones, volatile organic and aliphatic compounds (Schulz et al. 2002).

It is noteworthy to mention that the endophytes influence not just the host plant metabolism, but also the metabolism of any resident endophytes. It is clear from the fact the large number of secondary metabolites remain equivocal (Lim et al. 2012). It was reported that microbial interactions are crucial in stimulating the secondary metabolites production. For example, culturing *Streptomyces hygroscopicus* with *Aspergillus nidulans* enabled polyketide biosynthetic gene cluster activation (Schroeckh et al. 2009). Also, methyl esters and polyketides production was induced by culturing *Bacillus subtilis* bacteria with the endophytic fungus *Chaetomiun* sp. (Akone et al. 2016).

In addition, some plant extracts have been reported to act as inhibitors of the epigenetic modification-related enzymes, hence activating specific quiet biosynthesis pathways of secondary metabolites. For instance, the endophytic fungus Colletotrichum gloeosporioides isolated from Syzygium aromaticum generated some metabolites after the addition of the epigenetic modulators curcumin and resveratrol from grape and turmeric extracts, respectively (Sharma et al. 2017). Similarly, Eupenicillium sp. LG41 (fungal endophyte) of Xanthium sibricum when treated with nicotinamide produced the two recognized metabolites; eupenicinicol A and eujavanicol A (Li et al. 2017). In a similar manner, treatment of the endophytic fungus Hypoxylon anthochroum of Carica papaya with the curry leaf extract and garlic led to the stimulation of cryptic bioactive metabolites (Mishra et al. 2020). Diallyl disulfide and allyl mercaptan; the two main ingredients of organosulfur compounds known for inhibiting histone deacetylases, are reported to be produced by garlic leaves. Curry leaves also contain mahanine, which repress DNA methyltransferase. These relationships are based on small diffusible signaling molecules that can activate normally silent biosynthetic pathways, such as QSMs (Hughes and Sperandio 2008; Scherlach and Hertweck 2009).

Endophytes are endosymbionts that persist for at least a portion of their life cycle inside of plants without causing any diseases (Hallmann et al. 1997). They are frequently bacteria or fungi which are stabilized by chemically mediated interactions

(Wang 2016). For example, hexacyclopeptides antimicrobial was produced by the endophytic fungi *Fusarium solani* and bacteria *Achromobacter xylosoxidans* on *Narcissus tazetta* (Wang et al. 2015; Haryani et al. 2020). Hence, it is clear to note that endophytes developed complex communication strategies due to their sharing ecosystems and not all these interactions are inherently antagonism-based cross-talks (Mattoo and Nonzom 2021). Intriguingly, the outcome of this cross-kingdom symbiosis is shaped by the diverse interactions between endophytic microorganisms and the plant (Rodriguez and Roossinck 2012). For instance, thermotolerance ability of *Dichanthelium lanuginosum* was believed to be caused by endophytic fungus *Curvularia protuberata* but actually it was caused by the double-stranded virus carried by the symbiont fungus (Márquez et al. 2007; Rodriguez and Roossinck 2012).

Few endophytic fungi were reported by harboring bacteria that could change how they interact with their host plants in some ways (MacDonald and Chandler 1981; Bonfante and Anca 2009; Kobayashi and Crouch 2009). For example, Burkholderia spp. that thrive within the Gigaspora decipiens fungi could inhibit the germination of spores (Levy et al. 2003). Numerous endohyphal bacteria have an impact on endophytic fungi ability to produce metabolites. For instance, after hosting Luteibacter sp. bacteria, the endophytic fungi Pestalotiopsis neglecta produced indole-3-acetic acid at a considerably higher rate (Hoffman et al. 2013). Additionally, it was discovered that the Phyllosticta capitalensis fungus which is an endophyte of Buxus sinica produced two novel lactamfused-4-pyrones by harboring Herbaspirillum sp. bacteria (Wang 2016). Also, it was reported that Cupressus sempervirens endophytic fungi that contain endohyphal bacteria as Bacillus subtilis, B. pumilus, and Sphingomonas paucimobilis which could produce organic volatile compounds which have antibacterial activity against many pathogens (Pakvaz and Soltani 2016). Additionally, endohyphal bacteria were shown to trigger phytohormones, which control the host reproductive system and protect host fungi from harsh conditions (Arora and Riyaz-Ul-Hassan 2019).

Endosymbiotic bacteria may be facultative or obligatory partners on the fungi (Bastías et al. 2020). Obligate symbionts reproduce vertically with the fungal host, but facultative symbionts more closely resemble the free-living members hence have the capacity to invade fungal hosts (Baltrus et al. 2017). Numerous facultative nonpathogenic bacteria can colonize plant tissues on their own independent of the fungal hosts (Glaeser et al. 2016). For instance, endoglucanases and endobetaxylanases enzymes assist the endohyphal bacteria *Rhizobium radiobacter* F4 to colonize several plants roots on their own (Guo et al. 2018).

Ectosymbiotic bacteria were observed to influence the fitness of their associated fungi as well as endosymbiotic counterparts. Numerous microbial interactions benefit their partners development and defense in various ways (Schelkle and Peterson 1997; Oh et al. 2018). For instance, *Rhizophagus irregularis* fungi and *Rahnella aquatilis* bacteria exchange of phosphorus, calcium, and carbon was reported to be essential for their interaction (Zhang et al. 2018). It is interesting to observe that the AMF fructose exudation was approved to enhance the bacterial genes expression that code for the enzyme phosphatase which dissolves phosphate (Mattoo and

Nonzom 2021). Various endophytes cooperate to support host growth and biocontrol mechanisms. For example, inoculation of nitrogen-fixing endophytic strains derived from *Phaseolus vulgaris* with *Rhizobium tropici* and nodule endophytic strains such as *Burkholderia*, *Bacillus*, *Pseudomonas*, and *Paenibacillus* enhanced disease resistance against *Rhizoctonia solani* (Ferreira et al. 2020). In conclusion, some endophytes that are closely symbiotic with their partners assist in the successful colonization of their partners while exhibiting antagonistic behavior toward some other endophytes and diseases. Therefore, it would appear that balanced antagonism is not always a necessary condition for maintaining endophytism. Theoretically, it can be one of the methods or a part of the complex plan the endophytes utilize to survive inside the host plants.

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# **Roles and Benefits of Mycorrhiza**



Younes M. Rashad, Tarek A. A. Moussa, and Sara A. Abdalla

# 1 Introduction

Soil microbiome comprises a diverse set of microbial species, including bacteria, fungi, and archaea. There are around one thousand microbial cells per gram of a soil sample, with a variety up to hundred species (Tecon and Or 2017), and 10% of them live in the plant-influenced zones (Spence and Bais 2013). Utilizing microbial soil ecosystems is therefore the most effective method for achieving sustained and healthy crop production. Even under severe conditions, they may sustain the biosphere by enhancing not just plant nutrition and health but also soil quality. Arbuscular mycorrhizal fungi (AMF) are among the most important biotrophic root colonizing fungi, which belong to phylum: Mucoromycota, subphylum: Glomeromycotina (Spatafora et al. 2016). They live with plant roots in a mutualistic association, where both partners benefit each other. Mycorrhizal associations with the plants are grouped into four basic categories based on their structure and function into; endomycorrhiza or arbuscular mycorrhiza (AM), ectomycorrhiza (EM), orchid mycorrhiza, and ericoid mycorrhiza (Smith and Read 2008). AM can colonize 74% of the terrestrial plants, while, orchid mycorrhiza (9%) and ectomycorrhiza (2%). Ericoid mycorrhiza interacts with a variety of host plants at various hierarchical levels, including temperate and tropical herbaceous plants, bushes, and trees (Brundrett and Tedersoo 2018). In an ecosystem, AMF play many roles and serve as a valuable instrument for establishment, diversification, productivity, and sustainability of the ecosystem. Moreover, AMF represent a crucial factor in the

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afforestation programs, assisting in sustainable agriculture, landscape restoration, and horticulture (Castillo et al. 2016).

In AM association, the plant partner provides the fungus with the assimilated carbon as a photosynthesis product through the passive diffusion, while the fungus partner benefits the host with many advantages such as uptake of water and nutrients from soil via extraradical mycelial network that extend in the soil deeper than the plant roots can do. More than half of AM's fungal biomass can be detected below 30 cm. Therefore, AMF communities of the subsurface differ from those of the topsoil. Fungal hyphae are much thinner (10 µm in diameter) than the plant roots and can therefore compete with soil microorganisms for nutrient uptake more effectively than the plant roots (Allen 2011). In the same time, AMF colonize the root cortex and form arbuscules, which serve as exchange sites between the host and the mycorrhizal fungus. However, the mycorrhizal association is more complicated than just exchange of water and nutrients. Vangelisti et al. (2018) reported that once the AM association was established in the plant root, a highly complicated genetic reprogramming is occurred in the host plant leading to a diverse array of metabolic modulations and elicitation of innate and adaptive plant responses. However, the intensity and type of modulation depend on other factors such as the host plant, the colonizing fungus, the colonization phase, and soil and environmental conditions (Jung et al. 2012). AM colonization simultaneously primes the plat growth and induces its defense and adaptive responses to various biotic and abiotic stresses such as pathogen invasion, drought, salinity, heat and chilling conditions and heavy metal toxicity (Bücking and Kafle 2015). In this chapter, we will highlight different roles and mechanisms utilized by AMF in their association with the host plants.

## 2 Roles and Mechanisms of AMF in Water and Nutrients Acquisition

# 2.1 Water Acquisition

AMF have the potential to actively and passively improve the plant water acquisition in different ways. AM extraradical hyphae represent an additive and facilitated water absorbing system to the host plant. Length of these dichotomously branched hyphae may reach 100 m occupied in 1 cm<sup>3</sup> of the soil forming absorbing networks. Such absorptive tubes can extend more than 10 cm deeper than the roots (Jansa et al. 2003). When compared to the individual plant roots, these absorbing networks can enhance the absorbing surface area by twofold (Raven and Edwards 2001). Mycorrhizal hyphae are generally very smaller in diameter than the plant root, they can reach soil pores that are not reachable by the roots (Allen 2007), and so utilize available resources there. Because the roots grow into moist soil and the hyphae obtain water via small pores physically unreachable to the roots, these highly branched hyphae would facilitate water acquisition over short distances. Furthermore, the runner hyphae can help as highways for water uptake, successfully increasing plants' reach even to far-off groundwater sources (Drew et al. 2003). Absorbing hyphae may play an important role in the water uptake by plants in isolated moist regions. This technique could be suitable for long-distance water transportation. However, despite the fact that the support of water discharge to plants via AM hyphae has been widely reported, the idea remains disputed (Smith et al. 2010). In contrary, other researchers have found that the rate of water absorption via AM hyphae in a plant is negligible in comparison to its transpiration demands. Because the inner diameter of hyphae is very tiny, water will have to flow through the hyphae at excessively high speeds to be relevant for the plant (George et al. 1992). Moreover, once the real quantity of water accessible from tiny pores is evaluated and contrasted to the amount from large size pores, the benefits linked with AM hyphae's ability to penetrate small pores become disputed (Allen 2007). In a recent study, Püschel et al. (2020) studied water uptake via AMF in Medicago truncatula planted in two-compartment rhizoboxes using isotope tracing with deuterium. Despite AM colonization increased labelled water uptake in the mycorrhizal plants more than twofold than non-mycorrhizal plants, but water uptake due to mycorrhizal fungi was less than the plant transpiration demand.

However, AM colonization can improve plants water uptake via another passive way, which is may be more important than its active water transfer. It has been found that the presence of AM fungus improves soil hydraulic conductivity (Bitterlich et al. 2018a, b). The degree of water transfer mediated by the AM fungi in the soil is not affected only by the soil water content but also the soil hydraulic parameters, which are influenced by the size and distribution of the pore spaces. In case of soil pores of large diameter are water-filled, AM mediated water transport is expected to be insignificant (Allen 2007). When the soil desiccates, the large pores get air-filled and water becomes progressively confined in microscopic pores that are well spaced from one to another. As a result, the continuity between water filled pores decreases, the texture of the soil to root route increases, and the hydraulic conductivity of the soil decreases (Rowell 2001). In certain cases, the hyphae may serve as links connecting water-filled pores to plant roots through air-filled pores (Miller and Jastrow 2000).

# 2.2 AMF-Mediated Induction of Tolerance Responses to Drought

One of the most important expected impacts of climate change is the acceleration of droughts conditions, which negatively affect the crops production causing up to 50% reduction in their yields. Drought stress can limit water and nutrient acquisition leading to a reduction in the transpiration rate, cell permeability, metabolic reactions, physiological processes, and plant development in general (Fernández-Lizarazo and Moreno-Fonseca 2016). Therefore, drought is considered the most effective abiotic stress limiting the global agriculture in the future (Yang et al. 2008).

AMF have been reported to improve drought tolerant in different plant species, and represent a sustainable mitigation way to drought stress (Aroca 2012). However, this improvement depends on the species of AMF and the plant partner and on the intensity of the drought stress. The potential mechanisms driving the tolerance responses triggered by AMF colonization include:

#### 2.2.1 Induction of Phytohormones

Abscisic acid, strigolactones, and jasmonic acid (JA) signaling pathways have been widely reported to be induced in the host plant due to the mycorrhizal colonization, especially under drought stress, which indicates their roles and complexity of interactions in the tolerance responses against the drought stress. Induction of these signaling pathways regulates different drought-related genes enhancing the plant tolerance to the drought stress.

#### 2.2.2 Improving Plant Water Uptake

Mycorrhizal colonization has been found to enhance the water-uptake efficiency by improving the level of transpiration, stomatal and hydraulic conductivity, and water potential. Improving water uptake in the plant leads to increment in the photosynthesis due to the increment in stomatal conductance increases diffusion of  $CO_2$  (Boldt et al. 2011).

#### 2.2.3 Enhancing the Hydraulic Conductivity

AMF extraradical hyphal network magnifys the absorbing surface area in the soil resulting in enhancing the relative water content, water absorbing efficiency, and rate of plant transpiration. In addition, AMF can improve the soil structure by soil particles aggregation via production of a glycoproteins (glomalin) that connects the soil particles with each other leading to an improvement of the soil texture and the water holding capacity.

#### 2.2.4 Osmoprotectants Production

One of the most important drought tolerance responses induced in mycorrhizal plants is the production of the osmolytes such as proline that adjusts the cellular osmotic pressure to increase tolerance to drought stress. Osmotic adjustment has a potential role in reducing water from the plant cells under drought condition (Santander et al. 2017).

#### 2.2.5 Induction of Antioxidant Activity

Reduction of the oxidative free radicals generation due to drought stress is one of the crucial benefits of mycorrhizal colonization of plants (Fernández-Lizarazo and Moreno-Fonseca 2016). In addition, enhancement of enzymatic and non-enzymatic antioxidant systems due to plant mycorrhization is also reported under drought conditions (Amiri et al. 2015).

#### 2.2.6 Improvement of the Photosynthesis Efficiency

Drought stress reduces the photosynthesis rate due to the oxidative damaging resulted by the free radicals that attack the photosynthetic apparatus (Abbaspour et al. 2012). Increased photosynthetic rate in mycorrhizal plants under drought stress is due to the increment in the photosynthetic pigments content, which prevents the inhibition and destruction in the photosynthetic apparatus, compared with the non-mycorrhizal plants (Asrar and Elhindi 2011).

### 2.3 Nutrients Acquisition

Root colonization by AMF enhances the root surface area available for the nutrient acquisition via extension several centimeters into the soil and secretion of the hydrolyzing enzymes and organic acids to utilize the nutrients (Kakouridis et al. 2022). The increment in the nitrogen (N), phosphorus (P), potassium (K), sulfur, and magnesium (Mg) absorption, as well as the trace elements has been observed as a result of AMF extraradical hyphae mediated root system expansion (Bücking and Kafle 2015). This wide nutrient absorbing system surrounds the roots and spreads outside the rhizosphere's nutritional zones, enabling AMF to acquire a wider amount of soil than non-mycorrhizal roots. Significance of the AM symbiosis to plant nutrition is widely reported, nevertheless, the involvement of AMF in their host's nitrogen nutrition has received more attention (Bücking and Kafle 2015). Furthermore, when compared to the data on symbiotic phosphorus and nitrogen uptake in plants, the significance of AM symbiosis in plant potassium (K<sup>+</sup>) acquisition is far less well understood (Liu et al. 2019; Haro and Benito 2019).

#### 2.3.1 Nitrogen

Nitrogen element is found in the form of NO3<sup>-</sup> in the majority of cultivated fields, meanwhile NH4<sup>+</sup> is found in unmodified or acidified soils as it is less transportable and more common. Although NH3<sup>-</sup>/NH4<sup>+</sup> is the predominant form of nitrogen

produced by the fungus and absorbed by the plant, AMF may absorb both forms of nitrogen (Bücking and Kafle 2015). Plants can get up to 42% of their nitrogen from the AMF symbiotic (Walder et al. 2012). AMF has a greater affinity for NH4<sup>+</sup> than plant roots, therefore it helps plants obtain nitrogen even in the low-nitrogen soils (Pérez-Tienda et al. 2011). AMF hyphae utilize hydrolytic enzymes like phosphatases, pectinases, cellulases, xylanases, and chitinases to transport nitrogen from organic regions to the host plant (Leigh et al. 2009). Unlike phosphorus, nitrogen is only acquired from mineral resources by AM hyphae to a maximum of 20%, depending on a variety of parameters such as soil moisture, pH, and type (Hodge and Fitter 2010). When soils have poor mineral nitrogen supply, plants and AMF compete for soil nitrogen uptake, resulting in mycorrhizal plants' being able to take up less nitrogen from nitrogen-deficient soils than non-mycorrhizal plants. Mycorrhizal plant may improve nitrogen acquisition via better P acquisition and/or N intake from organic nitrogen sources, particularly in organic soils (Püschel et al. 2016).

When natural additions like organic manure, plant litter, yeast biomass, chitin, biomolecules, or proteins are applied to soil substrate, they have been found to improve AMF development and spore densities (Jansa et al. 2019). The production of organic nitrogen, particularly in the form of NH4<sup>+</sup>, from these supplements during AMF growth and spore development is responsible for this positive response during AMF growth and spore formation (Nuccio et al. 2013). Phosphorus and NH4<sup>+</sup> carriers are required not only for the transport of nutrient elements but also for the survival of arbuscules and mycorrhization in the root system (Breuillin-Sessoms et al. 2015). Despite the fact that the fungal arbuscules are loaded with nutrients, just the required amount is delivered by a transporter inside the host plant's root cortex cell. Plants can use the nutrient-dependent AMF colonization as a feedback mechanism to either encourage or inhibit fungal growth (Nouri et al. 2015). AMF colonize root systems of plants with N<sub>2</sub> fixing bacteria in high numbers (>70-80%), primarily in Fabaceae species, which act as mycorrhizal helper bacteria, supporting AMF in raising the number of spores and mycorrhization (Muleta 2010). The enrichment of the soil nitrogen by microbiological grazers such as protists and nematodes can make the nitrogen easily accessible for AMF hyphae to take up and then use or transmit to the host plants (Bukovská et al. 2018).

#### 2.3.2 Phosphorus

Phosphorus (P) can be found in the natural soils as inorganic orthophosphates including iron phosphate (FePO<sub>4</sub>), aluminum phosphate (AlPO<sub>4</sub>), and calcium phosphate (CaPO<sub>4</sub>), as well as organic molecules such as lecithin and phytate, which can make up to 50% of the total available organic phosphate. Because phosphorus is very insoluble in soils, its acquisition by roots causes a deficiency zone around the root hairs in the rhizosphere as a result of interactions with soil bivalent and trivalent

cations, primarily  $Ca_2^+$ ,  $Fe_3^+$ , and  $Al_3^+$  (Thangavel et al. 2022). Plants have evolved several phosphorus uptake methods that either boost the effectiveness of phosphorus acquisition via rapid root growth, root hairs, and AM colonization, or release phosphorus from recalcitrant sources through ecto- and ericoid-mycorrhiza. It was found that length of mycorrhizal hyphae is influenced by nutrient levels, particularly soil phosphorus levels. Several plant parameters, including root length, root diameter, root surface area, shoot-to-root ratio, and root hair density, length, and diameter, have also been thought to be essential for nutrient uptake, particularly in low mobile phosphorous conditions (Richardson et al. 2011). The small hyphae of

diameter, have also been thought to be essential for nutrient uptake, particularly in low mobile phosphorous conditions (Richardson et al. 2011). The small hyphae of AMF, on the other hand, may be more efficient than plant root hairs in absorbing minerals from the soil. Phosphatases may be used in the AMF colonization to hydrolyze phosphate from organic phosphate molecules (Joner and Johansen 2000). In sunflower shoots and roots, mycorrhiza-associated plants had higher nutritional content, including  $Mg_2^+$ ,  $K^+$ , and  $P_3^+$  (Nafady et al. 2019). Phosphorus and organic acids produced by AMF or organic acids produced by plant development microorganisms may be involved in AMF-mediated increases in phosphorus uptake, which leach the cations linked to phosphate and improve phosphorus availability in soil (Rosier et al. 2018). Through AMF, phosphorus can be transmitted from the failing roots of one plant to the intact roots of a recipient or neighboring plant (Giovannetti et al. 2015). Extraradical hyphae of AMF have been documented to stay active and colonies surrounding plants for up to 5 months after their plant hosts have died and no longer have functional roots (Pepe et al. 2018). Absorption and transport of other nutrients in the soil and in plants are influenced by soil macronutrients, particularly N, P, and K. For this purpose, Zhang et al. (2016) found that phosphorus fertilizer had a significant impact on root Zn absorption in the upper soil layer but had a lesser impact in the deeper soil layer. The hyphal height frequency was shown to decrease dramatically as soil depth increased, with the greatest hyphal length concentration values obtained in the soil surface layer (0-20 cm) (Hou et al. 2021).

#### 2.3.3 Potassium

Despite the amount of potassium (K) in the soil, plants have limited access to it, limiting their development and productivity (Garcia and Zimmermann 2014). Mycorrhization has been shown to promote plant potassium uptake, especially in potassium-deficient environments. Nevertheless, the relationship between soil potassium and AMF is incompletely understood. AMF-induced increases in potassium intake in plants were found to be variety dependent (Priyadharsini and Muthukumar 2016). There are two potassium transmission methods: one of them is potassium selective and effective in lower potassium levels, and the other is less sensitive to potassium and effective in greater soil potassium levels (Zare-Maivan et al. 2017). The second method effectively facilitates calcium permeability through the cell membrane with higher cation levels in the rhizosphere, limiting potassium

uptake in the procedure. It was believed to be associated with potassium absorption in plants when soil calcium levels are too high. AMF can assist plants in absorbing potassium under abiotic stress conditions such as salt and drought (Garcia and Zimmermann 2014). In numerous cases, the AM connection improved plant salt stress by modifying the potassium nitrogen ratio under salt stress through the AMF (Estrada et al. 2013). The AM symbiosis increased plants' growth during a water deficit by increasing potassium absorption, which is essential for osmotic correction (Garcia and Zimmermann 2014).

### **3** Roles and Mechanisms of AMF in Plant Growth

The growth enhancing influence of AMF has been widely reported on different plant species (Rashad et al. 2020b; El-Sharkawy et al. 2022). Different modes of action have been discussed in this regard, including accumulation of a set of growth regulators suc as cytokinins, gibberellins, and auxins. Pons et al. (2020) recorded an accumulation of isopentenyl adenosine, indole-acetic acid, gibberellin A4, and ethylene by the germinated spores of Rhizophagus irregularis. These phytohormones play an important role in enhancing the plant growth, activation of various metabolic reactions, and upregulation of different growth-related genes in the plant. Induction of photosynthesis pigments and performance was reported also by AMF (Rashad et al. 2022). Priming water and nutrient uptake is another mode of action by which AMF can promote the host growth. Forming an extra radical hyphal network by AMF, which extends in the soil, improves water and nutrients acquisition for the host and connect roots of neighboring plants with each other. This hyphal network plays a vital role in translocation of nutrients and water between the neighboring plants (Muneer et al. 2020). Furthermore, AMF can produce organic acids, which improve availability of different nutrients in soil, particularly acid and alkaline phosphatases (Sato et al. 2019).

Many species of plants exhibited up to a 47-fold increase in the root absorption area as a result of AMF colonization in their cortex, which allowed plants to absorb water and mineral nutrients from underground ecosystems (Valverde-Barrantes et al. 2018). Since the sensitivity of crop species to AMF differs among species, where it was found to be high in maize and low in wheat and barley, many studies have showed that plants grew more quickly in response to AMF inoculation (Smith and Smith 2011). Onion plants (*Allium cepa* L.) grown in mineral soil with AMF colonized bulbs had higher biomass, a marketed size bulb (>25 mm in diameter), and a 22% increase in yield, compared to non-colonized plants (Charron et al. 2001). According to Surendirakumar et al. (2019) AMF-colonized pepper plants showed an enhanced growth rate and yield. In addition, pepper plants can sustain membrane growth and stability through mycorrhizal colonization, which may be linked to phosphorus supplementation (Beltrano et al. 2013).

### 4 Roles and Mechanisms of AMF in Plant Protection

AMF colonization results in a vast genetic reprogramming in the host cells, leading to some physiological alterations that activate the plant responses (Vangelisti et al. 2018). These physiological modulations include triggering of many innate and adaptive defense responses against the attacking pathogens. However, these modifications vary in their acuity depending on species of both partners, colonization stage, and the environmental conditions. Different defense mechanisms have been reported to be activated due to AMF colonization including overexpression of defense related genes. In a recent study, Rashad et al. (2020a) recorded upregulation of multi genes regulating the polyphenol biosynthetic pathway in sunflower plants in response to their colonization with AMF. Cell wall lignification and accumulation of antifungal phenolic compounds were the main mechanisms in this case. Ultrastructural alterations including granulated cytoplasm and programmed cell death were also recorded in AMF colonization (Abdel-Fattah et al. 2011). In addition, activation of enzymatic and non-enzymatic antioxidant systems as well as antifungal proteins were also reported. El-Sharkawy et al. (2022) reported an increment in the activities of the antioxidant enzymes peroxidase and polyphenol oxidase in pea plants in colonized with R. irregularis against infection with Fusarium Wilt.

Mycorrhiza induced resistance (MIR), a defense provided by AMF symbiosis in hosts, provides systemic defense against a variety of invading pathogens (Nguvo and Gao 2019). MIR combines traits of both systemic acquired resistance (SAR), which develops after a plant is infected with a pathogen, and induced systemic resistance (ISR), which develops after non-pathogenic rhizobacteria colonize a plant roots (Cameron et al. 2013). MIR is a result of plant-accumulated reactions to mycorrhizal colonization, and it can protect against biotrophic and necrotrophic fungi, viruses, nematodes, and insects (Dey and Ghosh 2022). Salicylic acid (SA), JA, and their metabolites are produced as a result of MIR, which is activated after AMF is established in the host (Fiorilli et al. 2018). MIR is also responsible for the production of chitinases, glucanases, and pathogenesis-related (PR) proteins (Pozo De La Hoz et al. 2021). AMF colonization resulted in activation of JA dependent signaling pathway in its host plant, leading to rapid and intense induction of numerous cellular defensive responses when exposed to pathogens or abiotic stress (Dey and Ghosh 2022). In order to control plant immunological homeostasis, two important defense hormones; SA and JA construct a complicated regulatory network (Yu et al. 2022).

In addition, the host plant responds to AM colonization by producing a variety of novel proteins (endomycorrhizins), new polypeptides and the disappearance of others (Bigeard et al. 2015). The early stages of AM production do not reveal phytoalexins, low-molecular-weight, toxic substances that are typically accumulated with pathogen attack and discharged at the sites of infection, but they can be later determined in the symbiosis (Poltronieri et al. 2019). For instance, the primary phytoalexin of soybeans, glyceollin, was not detectable for the first 30 days following AM

inoculation, while roots infection with *Rhizoctonia solani* showed a clear rise in this substance (Boutaj et al. 2022). Level of the phytoalexin medicarpin in *M. truncatula* increased during the early stages of AM colonization but declined to relatively low levels during the latter phases of symbiotic formation (Finkel et al. 2017). Directly or indirectly, AMF can inhibit both necrotrophic and biotrophic pathogens (Veresoglou and Rillig 2012). Furthermore, AMF colonization induce plant resistance against plant viruses (Aseel et al. 2019). AMF can protect the host plant against parasitic nematode infections (Koffi et al. 2013). Different mechanisms may be discussed including (i) increased microbial functioning and competition in the rhizosphere; (ii) accumulation of actinomycetes at AMF-associated roots; (iii) a more efficient trade-off in the root's mechanism for absorbing nutrients; and (iv) changes in the amount and nature of chemical products produced by the host plant's roots (Devi et al. 2021). In addition to producing volatile compounds and controlling soil and seed-borne phytopathogens (Bell et al. 2015), it has been shown to protect plants and reduce the growth of plant-destructive microbes.

# 5 Role and Mechanism of Arbuscular Mycorrhizal Fungi in Plant Tolerance to Salinity

Plant productivity is frequently hampered by salt of the soil in both agricultural and natural contexts. AMF symbionts can control plant stress responses by improving salinity tolerance, but less focus has been placed on evaluating these effects across plant-AMF investigations, posing a serious danger to global food security. Soil salinization is a growing environmental issue. It is well recognized that salinity stress inhibits plant growth by reducing the vegetative development and net assimilation rate, which results in lower yield productivity (Ahanger et al. 2017a). In addition, it encourages the overproduction of reactive oxygen species (Ahanger et al. 2017b, 2018). There are efforts underway to investigate potential strategies for improving agricultural productivity in salt-affected soils. One such promising method is the careful use of AMF to reduce the harmful effects of salinity on plants (Santander et al. 2019). Numerous studies have documented the effectiveness of AMF in promoting plant growth and yield under salt stress (Talaat and Shawky 2014). Accordingly, AMF improved the Antirrhinum majus plants' growth rate, leaf water potential, and water use efficiency (El-Nashar 2017). The positive impacts of AMF symbiosis on physiological variables as photosynthetic rate, stomatal conductance, and leaf water relations under saline regimes have recently been described (Ait-El-Mokhtar et al. 2019). AMF greatly reduced the negative effects of salt stress on photosynthesis (Sheng et al. 2011). Under saline conditions, mycorrhizal inoculation significantly increased the photosynthetic rate, as well as other gas exchange features, chlorophyll content, leaf area index and fresh, dry biomass and water usage efficiency (Elhindi et al. 2017). Mycorrhizal inoculation under moderately

salinity circumstances significantly improved fresh and dry weights as well as N concentration of shoot and root (Wang et al. 2018).

Synthesis of salicylic acid, jasmonic acid, and several other crucial inorganic nutrients is improved in plants with AMF. For instance, under salt stress conditions, AMF-treated plants had increased amounts of total P, Ca<sup>2+</sup>, N, Mg<sup>2+</sup>, and K<sup>+</sup> compared to untreated plants. Under saline conditions, mycorrhizal inoculation of resulted in increased chlorophyll contents, Mg<sup>2+</sup> and N uptake, and decreased Na<sup>+</sup> transport (Cekic et al. 2012). Furthermore, using lettuce that mycorrhizal plants produced more biomass, increased proline synthesis, increased N uptake, and noticed changes in ionic relations, particularly decreased Na<sup>+</sup> accumulation, than non-mycorrhizal plants did under stress (Santander et al. 2019). Key growth regulator levels can be efficiently controlled by AMF inoculation. For instance, under salt stress, an AMF-mediated increase in cytokinin concentration led to a noticeable photosynthate translocation was found (Talaat and Shawky 2014). In addition, it was determined that the altered polyamine pool was the cause of the AMF-mediated growth promotion under salt stress. Furthermore, how salt impacts on lettuce plants were significantly reduced by increased strigolactone in AMF-treated plants was demonstrated (Aroca et al. 2013). By inhibiting lipid membrane peroxidation in response to salinity stress, AMF-colonized plants can reduce oxidative stress (Talaat and Shawky 2014). In addition, it was found that the inoculation of AMF increased the accumulation of several organic acids, which in turn increased the osmoregulation process in plants grown under saline stress. For instance, the indirect involvement of AMF in plant osmoregulation under salt stress by seeing increased synthesis/ accumulation of certain organic acids in maize plants growing in saline soil and inducing higher production of betaine was confirmed (Sheng et al. 2011).

A surplus of sodium chloride (NaCl) harms almost 20% of the 230 million acres of irrigated agricultural land worldwide (Munns and Tester 2008). Because of overabsorption, ion imbalance, and hyperosmotic stress (water shortage under highly negative water potential), higher concentrations of Na<sup>+</sup> (>40 mM) have a detrimental effect on plant growth (Munns and Tester 2008).

AMF have been proven to enhance nutrient uptake from the soil, including nitrogen, phosphate, magnesium, and micronutrients. They have also been demonstrated to improve soil structure and increase plant tolerance to a variety of abiotic and biotic stresses. In addition, AMF supports root architecture and the provision of vital nutrients to the host plant under salt stress. Ion and membrane transport proteins that regulate the ion homeostasis of the host plants are heavily regulated by AMF (Ramos et al. 2011).

The concentrations of  $K^+$ ,  $Ca^{2+}$ , and  $NO_3$  in crop plants drop with increased salinity on irrigated agricultural land, whereas the concentrations of inorganic phosphate increase (P). However, if the concentration of Na<sup>+</sup> and Cl<sup>-</sup> ions rises, it can cause ionic damage as well as osmotic and nutritional imbalance (Bothe 2012). Maintaining ion balance in the cytoplasm is also thought to benefit from having a healthy K/Na ratio (Tomar and Agarwal 2013). Compared to non-AMF plants, plants inoculated with AMF absorb more  $K^+$  ions and less Na<sup>+</sup> ions, showing that AMF causes preferential loading of  $K^+$  rather than Na<sup>+</sup> into the root (Tomar and Agarwal 2013). Phosphorus (P) uptake in plants is influenced by an increase in AMF colonization, suggesting that alkaline phosphatases are likely involved in P acquisition. In addition, there is a chance that more than one acid phosphatase may be in charge of transporting P, which would result in an increase in P uptake under salt stress conditions. The amount of P accumulated largely determines how well the plant can withstand stress (Reichert et al. 2022).

Salt stress may reduce plants capacity for photosynthetic activity and cause physiological dryness, which lowers food production. By controlling the physiological and biochemical processes of plants, AMF are known to survive disturbed soil, contribute to plant growth and development, and improve plant tolerance against biotic and abiotic stresses (Ma et al. 2020).

The amount of chlorophyll pigments declines when affected by salinity stress. This reduced chlorophyll content caused by salinity stress was confirmed in some plants (Datta and Kulkarni 2014). Reduced chlorophyll contents under stress are attributed to increased activity of chlorophyllase causing degradation of pigments and hence resulting in reduced photosynthesis and affect growth. AMF-inoculated plants maintained increased contents of chlorophyll pigments compared to stressed plants. The fact that many plants' chlorophyll content was greatly boosted by AMF colonization. The increase in chlorophyll pigments brought on by AMF is the result of increased mineral intake, particularly magnesium, a crucial part of the chlorophyll molecule (Sheng et al. 2008). In plants inoculated with AMF, higher chlorophyll concentrations promote better photosynthetic activity, which supports maintenance of normal growth. Therefore, it is evident that AMF inoculation increases chlorophyll concentrations and partially offsets the detrimental effects of salt (Sheng et al. 2008).

Along with increasing soil salinity, an imbalance in nutrients and ions results from the rise in salt content in soil solution. This ion and nutritional imbalance cause a significant rise in ROS formation within the plant. Understanding the many processes that allow plants to withstand salt-induced stress and growth is crucial because salinity impairs plant health. In order to combat salt stress, plants produce more osmolytes and antioxidant enzymes that guard against oxidative damage (Rai et al. 2011). In order to defend themselves against oxidants, plants have developed specialized defense mechanisms involving enzymes and antioxidant compounds (Nunez et al. 2003). When pathogens assault a plant, the plant responds by activating its defence mechanisms, such as POD and CAT, which help to reinforce the cell wall or by acting as antioxidants (Kaur et al. 2022). The fundamental antioxidant enzyme known as superoxide dismutase (SOD) transforms superoxide to oxygen and hydrogen peroxide ( $H_2O_2$ ) (Alscher et al. 2002).

The level of antioxidant enzyme activity varied between different AMF fungus species in the maize crop. The plant under salinity stress displayed an increase in SOD activity in the root rather than the shoot. SOD activity was higher in mycorrhizal plants than in non-mycorrhizal maize crops (Dastogeer et al. 2020). The mycorrhizal maize crop's increased SOD activity aids in reducing oxidative stress. The absence of CAT activity in maize plants under salt stress conditions suggests that the AMF symbiosis has no effect on CAT activity in these circumstances (Dastogeer et al. 2020).

The most prevalent osmolyte in plants, proline, is essential for enhancing a plant's capacity to adapt to salinity stress. It is one among the organic solutes that plants produce in response to salinity and drought stress, and it is crucial for maintaining the osmotic balance of cells to lessen the effects of salt stress. Pyronine-5 carboxylate synthase (P-5 Cs) and pyronine-5 carboxylate reductase (P-5 Cr) are two enzymes that produce proline (Ondrasek et al. 2022). An essential organic substance called proline plays a role in osmotic adjustment under abiotic stress circumstances (Ondrasek et al. 2022).

AMF cause plants under drought stress to accumulate more proline (Chun et al. 2018). Proline is one of the osmolytes that builds up in species that are less tolerant to salinity. It modulates salt stress through osmotic adjustment, plays a variety of roles in plant stress tolerance, safeguards macromolecules during dehydration (Raja et al. 2022), and acts as a hydroxyl radical scavenger (Chun et al. 2018). AMF are therefore thought to improve saline soils biologically (Raja et al. 2022).

# 6 Role and Mechanism of Arbuscular Mycorrhizal Fungi in Plant Tolerance to Drought

One of the main abiotic stresses, drought stress, has a significant impact on agricultural output and threatens global food security (Zhang et al. 2018). Plants use morphological, physiological, and molecular responses as part of their drought avoidance and/or tolerance mechanisms to adapt to drought deficient conditions (Zhang 2016). Numerous elements of plant physiology are significantly impacted by water deficiency (Batool et al. 2019). For example, it disrupts the structure of enzymes, uncouples photosynthesis, and lowers nutrient uptake and/or transport to the shoot, which causes a hormonal and nutritional imbalance in the plant (Xie et al. 2018). In addition, osmotic stress brought on by drought stress can result in turgor loss, which can limit plant growth and development. Reactive oxygen species (ROS) are produced as a result of drought stress, which causes oxidative damage to carbohydrates, protein synthesis, and lipid metabolisms. ROS can also result in membrane damage and cell death in plant tissues (Ahanger et al. 2017a, b).

In comparison to normal soils, it has been discovered that AMF species are less common in soils deficient in water, with Glomeraceae being distributed as the "global family." These AMF species exhibit opportunistic behaviour because they focus most of their efforts on producing offspring. AMF species have additionally developed traits that are useful in dry conditions (Sýkorová et al. 2007). Some AMF isolates or specific species are widely dispersed and can withstand drought stress (Stahl and Christensen 1991). After extensive periods of adaptation to soils with

severe features, native AMF ecotypes have emerged (Bethlenfalvay and Barea 1994). Numerous studies have shown that Glomus species are common in semi-arid Mediterranean habitats and can thrive when there is a water shortage (Tian et al. 2009).

However, there is compelling evidence that AMF reduces the effects of drought stress in a variety of crops, including wheat, barley, maize, soybean, strawberries, and onions (Yooyongwech et al. 2016; Moradtalab et al. 2019). The extensive area of soil that plant roots can access, and the extra-radical hyphae of fungi may be the main causes of plant tolerance to drought (Zhang et al. 2017).

A variety of physio-biochemical processes in plants are thought to be regulated by such a symbiotic relationship, including increased osmotic adjustment (Wahab et al. 2022; Yan et al. 2022), stomatal regulation by regulating ABA metabolism (Muhammad Aslam et al. 2022; Mildaziene et al. 2022), enhanced proline accumulation (Yooyongwech et al. 2016; Begum et al. 2019), or increased glutathione (Begum et al. 2019). Under immediate drought conditions, a symbiotic association between diverse plants and AMF may eventually boost root size and efficiency, leaf area index, and biomass (Begum et al. 2019). In addition, AMF and the host plant they interact with help protect plants from harsh environmental circumstances.

AMF represent a viable way to enhance next-generation agriculture and regulate multiple pathways to minimize oxidative damage under drought stress (Chitarra et al. 2016). The emergence of AMF-mediated processes in response to drought stress involves alterations in the concentration of plant hormones including strigo-lactones, jasmonic acid (JA), and abscisic acid (ABA), as well as an improvement in plant water status through an increase in hydraulic conductivity (Fernández-Lizarazo and Moreno-Fonseca 2016).

Different methods that enable plants to avoid stress and/or improve their tolerance to drought are adopted in response to drought stress in plants. Although most plant species find these alterations to be critically important, they have not been proven to be a typical response in host plant species with various evolutionary histories (Grabherr et al. 2011). By maintaining a greater water status, these plant adaptation methods enable the plant to endure water-limiting situations. AMF can modify the extremely plastic features of plant roots to increase water uptake and/or reduce water loss. When the leaf water potential is low, continuous physiological adjustments help the leaves' capacity to withstand dehydration (Zou et al. 2017). This dehydration tolerance is related to survival. Fascinatingly, most plants instantly request AMF assistance when they sense drought stress by secreting rhizosphere signalling molecules, or so-called "strigolactone" (a type of phytohormones) (Oldroyd 2013). The use of AMF inoculation to reduce the effects of drought stress has recently attracted a lot of interest (Kumar and Verma 2018). A thorough assessment of the literature reveals that leaf water potential was not static in certain tests, and that mycorrhizal interaction with host plants improves the hydration status overall, as measured by leaf relative water content (LRWC) (Wu et al. 2017; Barros et al. 2018) (Table 1). Several concerns still need to be answered, despite the fact that the AMF colonization-mediated adaptation processes in plants have been well documented.

(Bahadur et al. 2019)	()					
		AMF	Plant variables			
Plant species	AMF species	variables	Morphological	Physiological	Biochemical	References
Calotropis procera Ait	Glomus intraradices	Col% <sup>↑</sup>		$\mathbf{N}^{\dagger}, \mathbf{K}^{\dagger}$	CAT <sup>†</sup> , POD <sup>†</sup> , APX <sup>†</sup> , SOD <sup>†</sup>	Bahmani et al. (2018)
Cynophala flexuosa L.	AMF	Col% <sup>ns</sup>		LRWC <sup>1</sup> , PEUE <sup>†</sup> (Cycle 2), leaf construction cost <sup>1</sup> (Cycle 2), SLA <sup>†</sup> (Cycle 1)		Barros et al. (2018)
Glycine max L.	AMF	Col% <sup>†</sup>	Soil moisture (%) <sup>†</sup> , LAI <sup>†</sup> , growth performance <sup>†</sup>	Pn <sup>+</sup> , Leaf proline concentration <sup>1</sup>		Pavithra and Yapa (2018)
Glycyrrhiza uralensis Fisch. ex DC.	Rhizophagus irregularis	Col%¹, A% <sup>↓</sup>		Leaf proline concentrations <sup>1</sup> , P <sup>1</sup> , C:N <sup>1</sup> , Pn <sup>1</sup> , WUE <sup>1</sup> , C:P <sup>1</sup> , N:P <sup>1</sup>	Root ABA <sup>1</sup>	Xie et al. (2018)
Ipomoea batatas (L.) Lam.	Commercial inoculum containing <i>Glomus sp.</i> and <i>Acaulospora sp.</i>		Plant growth <sup>t</sup> , tubers per plant <sup>1</sup> , tuber fresh weight <sup>1</sup>	P content', soluble sugars', leaf osmotic potential', chlorophyll degradation', photosynthetic pigments', maximum quantum yield of PSII (Fv/Fm) <sup>†</sup> , photon yield of PSII (ΦPSII) <sup>†</sup> , net photosynthetic rate <sup>†</sup>	Proline <sup>†</sup>	Yooyongwech et al. (2016)
Pelargonium graveolens (L.) Herit.	Funneliformis mosseae, Rhizophagus irregularis	Col% <sup>J</sup>		Essential oil content <sup>1</sup> , oil yield <sup>1</sup>	MDA <sup>1</sup> , H <sub>2</sub> O <sub>2</sub> <sup>1</sup> , CAT <sup>1</sup> , APX <sup>1</sup> , SOD <sup>1</sup> , GPX <sup>1</sup>	Amiri et al. (2015)

Table 1 Drought stress effects on morphological, physiological, and biochemical differences in different experimental set-ups and plant species, adopted from

(continued)

### Roles and Benefits of Mycorrhiza

Table I (continued)						
		AMF	Plant variables			
Plant species	AMF species	variables	Morphological	Physiological	Biochemical	References
Phoenix dactylifera L.	Funneliformis monosporum, Rhizophagus clarus, Glomus deserticola		Plant growth performance <sup>1</sup>	Nutrient absorption <sup>1</sup> , RWC <sup>1</sup> , water potential <sup>1</sup> , stomatal resistance <sup>1</sup>		Meddich et al. (2015)
Poncirus trifoliata Diversispora (L.) versiformis	Diversispora versiformis	Col% <sup>↓</sup> , hyphal length <sup>↓</sup>	Plant growth performance <sup>1</sup> , root morphology <sup>1</sup>	LWP <sup>4</sup>	IAA <sup>†</sup> , MeJA <sup>†</sup> , NO <sup>†</sup>	Zou et al. (2017)
Poncirus trifoliata Funneliformis (L.) mosseae	Funneliformis mosseae	Col% <sup>↓</sup>	Shoot <sup>†</sup> , root <sup>†</sup> , total biomass <sup>†</sup> , surface area of lateral roots <sup>†</sup>		$O_2^{-1}, H_2O_2^{-1}, MDA^{\downarrow}$	Huang et al. (2017)
Poncirus Trifoliata (L.)	Funneliformis mosseae, Paraglomus occultum	Col% <sup>↓</sup>	Plant height <sup>1</sup> , stem diameter <sup>1</sup> , leaf number <sup>1</sup> , leaf, stem, and root dry weight <sup>1</sup>	LRWC1	Leaf sucrose <sup>†</sup> , glucose <sup>†</sup> , fructose <sup>†</sup> , leaf proline concentration <sup>‡</sup>	Wu et al. (2017)
Poncirus trifoliate Funneliformis (L.)	Funneliformis mosseae	Col% <sup>4</sup>	Plant height <sup>+</sup> , shoot and root biomass <sup>†</sup> , root hairs density <sup>†</sup> , length <sup>†</sup> , diameter <sup>†</sup>		Root IAA <sup>†</sup>	Chun-Yan et al. (2017)
Poncirus trifoliata Funneliformis (L.) Paraglomus occultum	Funneliformis mosseae, Paraglomus occultum	Col% <sup>↓</sup>	Root biomass <sup>1</sup> , taproot length <sup>1</sup> , number of lateral roots <sup>1</sup>		Root sucrose <sup>1</sup> , glucose <sup>1</sup> , fructose <sup>1</sup> root sucrose relevant enzymes <sup>1</sup> , root proline <sup>4</sup>	Zhang et al. (2018)
Solanum lycopersicum L. mutant notabilis and its wild-type	Rhizophagus intraradices	Col%¹, Col%¹	Shoot biomass <sup>†</sup>	Shoot and root P concentrations <sup>1</sup> , WUE <sup>1</sup> , Tr <sup>1</sup>	ABA <sup>↓</sup>	Xu et al. (2018)

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		AMF	Plant variables			
Plant species	AMF species	variables	variables Morphological	Physiological	Biochemical	References
Solanum lycopersicum L.	Funneliformis mosseae,		Plant height <sup>†</sup> , root fresh weight <sup>↓</sup>	Stomatal density <sup><math>\uparrow</math></sup> , WUE <sup><math>\uparrow</math></sup> , Tr <sup><math>\uparrow</math></sup>	$ABA^{\downarrow}, H_2O_2^{\downarrow}, proline^{\uparrow}$	Chitarra et al. (2016)
۹ ۱	Rhizophagus intraradices		)		4	
Zea mays L.	Rhizophagus irregularis	Col%↓	Plant growth <sup>†</sup>	P <sup>t</sup> , WUE <sup>t</sup> , rehydration rate <sup>†</sup> , leaf moisture percentage <sup>†</sup>	$ \begin{array}{ c c c } Proline^{\dagger}, C:P^{\downarrow}, N:P^{\downarrow}, & Zhao et al. \\ MDA^{\downarrow} & (2015) \end{array} $	Zhao et al. (2015)
Zea mays L.	Rhizophagus irregularis	Col% <sup>ns</sup>	Shoot dry weight <sup>†</sup> , root dry weight <sup>†</sup>	gs <sup>†</sup> , Lpr <sup>†</sup> , Lo <sup>†</sup>	Root ABA <sup>†</sup>	Quiroga et al. (2017)
$^{\uparrow}$ and $^{\downarrow}$ indicate incr	easing and decreasing	responses. A.	MF arbuscular mycorrh	and <sup>4</sup> indicate increasing and decreasing responses. AMF arbuscular mycorrhizal fungi, WUE water use efficiency, Tr transpiration rate, ABA abscisic acid,	$Tr$ transpiration rate, $AB_{\ell}$	4 abscisic acid,

Col AMF colonization, P phosphorus, C:N carbon: nitrogen, Pn photosynthetic rate, C:P carbon: phosphorus ratio, N:P nitrogen: phosphorus, LAI leaf area index, LRWC leaf relative water content, PEUE photosynthetic energy use efficiency, SLA specific leaf area, CAT catalase, POD peroxidase, APX ascorbate peroxidase, SOD superoxide dismutase, N nitrogen, K potassium, gs stomatal conductance, Lpr hydrostatic root hydraulic conductivity, Lo osmotic root hydraulic conductivity, IAA indoleacetic acid,  $O_2^-$  superoxide radical,  $H_2O_2$  hydrogen peroxide, MDA malondialdehyde, LWP leaf water potential, MeJA methyl jasmonate, NO nitric oxide, GPX glutathione peroxidase, ns non-significant

# 7 Role and Mechanism of Arbuscular Mycorrhizal Fungi in Plant Tolerance to Heavy Metal Toxicity

Due to the usage of sludge, pesticides, fertilizers, emissions from municipal waste incinerators, car exhausts, residues from metalliferous mines, and smelting enterprises, heavy metals are among the most dangerous inorganic compounds that have contaminated significant areas of land (Alengebawy et al. 2021). Despite the fact that metal ions like copper (Cu), zinc (Zn), iron (Fe), manganese (Mn), and nickel (Ni) etc. participate in redox reactions, electron transfers, and a variety of enzymecatalyzed reactions in various cellular metabolism at optimal concentrations, the toxic concentration of the same essential metals or the non-essential toxic ions of Cd, Hg, Pb, Ag, As, Al, etc. are strongly poisonous to metal-sensitive (Smethurst and Shcherbik 2021; Jomova et al. 2022). How do plants defend themselves from metal poisoning and control their metabolism in reaction to heavy metals? The key is to comprehend how plants detoxify and how they can withstand excessive metal stress (Hasan et al. 2017).

Because of their capacity to strengthen the defensive system of the AMFmediated plants to promote growth and development, AMF is widely regarded to support plant establishment in soils contaminated with heavy metals. Food crops, fruits, vegetables, and soils may collect heavy metals, posing varied health risks (Yousaf et al. 2016). Under aluminium stress, a favourable interaction between wheat and AMF enhanced nutrient absorption (Aguilera et al. 2014). The growth of shoots and roots, leaf chlorosis, and even plant death are significantly suppressed in plants raised in soils enriched with Cd and Zn (Moghadam 2016). The effects of AMF on the accumulation of metals in plants have been the subject of numerous reports in the literature (Souza et al. 2013). By fixing heavy metals in the cell wall and storing them in the vacuole or by chelating with other compounds in the cytoplasm, fungal hyphae of internal and external origin can immobilize heavy metals, reducing their toxicity to plants (Ouziad et al. 2005). The ability of these fungi to increase morphological and physiological processes that increase plant biomass and, as a result, uptake of significant immovable nutrients like Cu, Zn, and P and consequently, reduced metal toxicity in the host plants, accounts for the majority of the strong effects of AMF on plant development and growth under severely stressful conditions (Miransari 2017). In addition, it is thought that chelation or improved development in the rhizosphere of the soil might dilute metals in plant tissues (Audet 2014). AMF are said to bind Cd and Zn in the mantle hyphae and cortical cells' cell walls, preventing them from being absorbed and enhancing growth, yield, and nutrient status (Garg and Chandel 2012).

Mycorrhizae can impede certain metals' uptake by plants from the rhizosphere and their passage from the root zone to the aerial regions (Li et al. 2016a; Ma et al. 2022). As a result, many AMFs exhibit substantial cation-exchange capacities and metal absorption in their mycelia (Joner et al. 2012). AMFs are considered to control the absorption and accumulation of some significant inorganic nutrients (Ho-Plágaro and García-Garrido 2022).

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In soil that has been artificially polluted with various elements such as Cd, Ni, and Zn, metal non-adapted AMF settles the polluted soils and lowers uptake and accumulation of heavy metals (Begum et al. 2019; Riaz et al. 2021). Some important inorganic nutrients are thought to be regulated by AMFs in terms of absorption and accumulation. For instance, mycorrhiza-inoculated plants have been shown to have increased Si uptake (Etesami et al. 2021). In addition, significant Si absorption and transmission to the host roots were observed in *Rhizophagus irregularis* spores and hyphae (Hammer et al. 2011). It is important to note that AMF can also address poor Cd mobility and toxicity by raising soil pH, reintroducing Cd into extra-radical mycelium (Janoušková and Pavlíková 2010), and binding Cd to the glycoprotein glomalin. For instance, the Cd levels in the vacuoles and cell walls of rice were significantly reduced by AMF, resulting in Cd detoxification (Li et al. 2016b). The alteration of the chemical forms of Cd in various plant tissues may have contributed to AMF-mediated increased Cd tolerance in alfalfa (Medicago sativa L.) (Wang et al. 2012). Immobilization/restriction of metal compounds, granulation of polyphosphate in the soil, and adsorption to fungal cell walls are some of the actions that are carried out by the AMF (Begum et al. 2019).

# 8 Role and Mechanism of Common Mycorrhizal Networks

Shared or common mycorrhizal networks (CMNs), which serve as channels for the exchange of resources among plants, can connect plant roots. All significant terrestrial ecosystems have mycorrhizal networks, which are defined as a shared mycorrhizal mycelium connecting the roots of at least two plants (Figueiredo et al. 2021).

In natural communities, CMNs which serve as channels for the exchange of resources between plants frequently connect the plant roots (Figueiredo et al. 2021). Such networks can create "guilds of mutual aid" between nearby plants of the same or different species or shift nutrients along traditional source-sink gradients from resource-rich (source) to resource-poor plants (Simard et al. 2015). There have been recorded net carbon, nitrogen, and phosphorus translocations between plants connected by CMNs (Gorzelak et al. 2015). It has been suggested that CMNs are fundamental agents in ecosystems because they provide significant pathways for a variety of ecological interaction processes (Torrecillas et al. 2014). Information on the structure of CMNs is now becoming available (Simard et al. 2015).

The AMF may associate with most plant species and has almost limitless host ranges (Smith and Read 2010). Compared to perennial plant species, annual plant species have higher AMF diversity, and half of the AMF species now recognized are specialized to a single plant species (Torrecillas et al. 2012). The AMF species and the related plant species both affect the length of intact extraradical mycelium. CMNs can be formed by the extraradical mycelium of one AMF or the hyphal fusion of separate mycelia (Walder et al. 2012). These networks can connect nearby plants of the same or different species within a community (Gorzelak et al. 2015).

The ectomycorrhizal fungi (EMF) class is the other important mycorrhizal class. In contrast to AMF, fewer plant species have been discovered to form symbioses with EMF; nonetheless, these hosts tend to be more numerous, plentiful, and dominant in their assemblages (Rasmussen et al. 2017). The majority of coniferous trees (including Pinaceae), the majority of woody shrub species in temperate and boreal forests, and the Dipterocarpaceae, for instance, are hosts for EMF. As a result, EMF is also frequent in tropical forests (Brearley 2012). Root tips harbouring EMF can be identified by macroscopic characteristics such as I the mantle, a fungal sheath that surrounds a colonised root tip, and (ii) extramatrical mycelium (diffuse hyphae that extend out into the surrounding soil). EMF species, mostly from the phylum Basidiomycota and Ascomycota, produce either epigeous mushrooms or hypogeous truffles. Up to 66 instances of ectomycorrhizal fungi have been discovered thus far from phylogenetic evidence, suggesting that they may have originated individually in several plant families (Tedersoo et al. 2010). Some remarkable plant groups and genera have the capacity to simultaneously generate healthy symbioses with EMF and AMF. AMF systems have recently been shown to have lower soil C: N ratios than those dominated by EMF, indicating fundamentally different nutrient cycle regimes, resulting in more carbon trapped in EMF forests. This has large-scale implications for resource availability (Averill et al. 2014).

There is evidence that both EMF and AMF fungus can create networks. The contrasts between them are emphasized, and it is also underlined that despite these differences, both appear to be able to influence changes in plant behaviour through the construction of networks. As this topic is covered elsewhere (Barto et al. 2012).

CMNs have several advantages for their host plants, and they can carry information back and forth between them with a net flux in favour of one plant (Selosse et al. 2006). By facilitating plant-to-plant communication, CMNs can enhance interplant nutrition, interplant nutrition and growth, impact plant and microorganism community compositions, and increase seedling establishment (Gorzelak et al. 2015; He et al. 2019). In addition, through a variety of phytohormones like jasmonic acid, methyl jasmonate, and zeatin riboside, CMNs can stimulate plant defense responses (defense enzyme activity and defense-related gene expression) and plant communication (Song et al. 2010).

By changing the distribution of population size classes, which is a functional feature representing symmetrical or asymmetrical competition (Weremijewicz et al. 2016, 2018) between young and adult trees, CMNs increase intraspecific competition (Merrild et al. 2013). After germination, population distributions are often symmetrical, but as plants age, they become more asymmetrical, reflecting the predominance of large individuals who receive an excessive share of a finite resource. Plants with intact CMNs displayed asymmetrical competition, whereas plants with severed CMNs displayed symmetrical competition (Weremijewicz et al. 2016, 2018). This suggests that intact CMNs may provide nutrients such as N to large individuals that are highly photosynthetically active and provide the most C to their associated AMF (Merrild et al. 2013). The pace of nutrient exchange between

the host plant and the fungus could determine this reciprocal benefit (Kiers et al. 2011). Other factors, such as host sink strength, intraspecies size hierarchy, and interspecies interactions, may affect the dynamics of nutrients in CMNs (Walder and Van Der Heijden 2015). However, in a CMN between sorghum and flax, the reciprocal reward does not appear to represent a general occurrence (Walder et al. 2012, 2015). This suggests that biological market dynamics governs the flow of resources in AM symbiosis, and there is evidence that the cost-benefit ratio of nutrients differs among various host plant species (Walder et al. 2012, 2015).

As opposed to waiting for AMF spore germination, the effects of CMNs on seedling recruitment may be advantageous. For the growth of the intraradical and extraradical mycelia, for instance, AMF spore germination implies a C cost for the growing seedlings that is larger for Gigasporaceae species than for Glomus species (Chagnon et al. 2013). In addition, seedlings' P resources may be restricted, and the results of interactions between one plant and various AMF species are not always predictable in terms of net advantages (Hoeksema et al. 2010; Kiers et al. 2011). On the other hand, when seedlings are entrapped in the already-existing CMN, the effects of CMNs on plant germination (growth and chances of establishment) are favorable (Walder and Van Der Heijden 2015). Depending on plant photosynthetic rates or the intensity of sources and sinks, CMNs may promote faster mycorrhiza development, restrict seedling investment in hyphal network construction expenses, provide access to mineral nutrients and water, and transport carbon from one plant to another.

Plant-plant communication may help ensure food security by lowering crop losses brought on by pests. AMF can influence rivals through allelopathy (Barto et al. 2012). Following a caterpillar attack (Song et al. 2010) or necrotrophic fungal attack (Babikova et al. 2013), the CMN might operate quickly (between 24 and 50 h) (Song et al. 2010). The CMN alters leaf volatile organic compounds or assists in extending the bioactive zone of allelochemicals in the soil (Barto et al. 2012; Babikova et al. 2013). As a result, CMNs offer a significant opportunity for crop pest management via this underground plant-plant transmission pathway (Babikova et al. 2014). The frequency and speed of pest attacks, the number of attacked crop plants, the signal travelling over long distances (Babikova et al. 2013), the putative relay of the signals among plants, and the putative transfer to other CMNs will all affect the reliability of CMNs in agroecosystems and their ability to play a direct, rapid, and realistic role in pest control. But in order for CMNs to be effective and beneficial in crop pest control, they must first be alerted to attacks and then maintain their physical integrity. Tilling most cropped soils probably breaks up CMNs. Tillage intensity increases reduce plant mycorrhizal colonization (Sommermann et al. 2018). By favoring more tolerant AMF species and having an effect on CMNs' capacity to transmit defense signals, tillage may alter the composition of the AMF population (Brigido et al. 2017). Together, these results demonstrate the significance of CMNs and the urgent need for additional study into their purpose and function, particularly in relation to agroecological management.

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# Mycorrhizal Networks: A Secret Interplant Communication System



Mansoor Karimi-Jashni and Farzaneh Yazdanpanah

# 1 Introduction

In plant-fungal interactions, symbiosis has received special attention because of its role in driving microbial and plant communities. The word "symbiosis" was firstly applied by Frank at 1877 for coexistence of dissimilar organisms that help each other and do not imply parasitism (Sapp 2004). Over time, the term of "symbiosis" was more used by De Bary and others for beneficial associations and the term "parasitism" was used for pathogenesis of pathogens (Sapp 2010). Symbiosis was later defined for a broad range of association from parasitic relationship to commensalism and mutualism (Martin and Schwab 2012). Parasitism is a type of symbiotic relationship in which one species is parasite and benefits, while the other species is host and harm from the association. In Mutualism both species benefit from the symbiotic relationship. Commensalism is another type of symbiotic relationship in which one species benefits while the other species is not affected. Therefore, there is a continuum of associations from parasitic symbiosis of biotrophic fungi that cause rust and powdery mildews to mutualistic symbiosis of mycorrhizal fungi (Sapp 2010). Although, mycorrhizal fungi also represent a continuum of symbioses, the majority are beneficial. In this chapter, we focus on mycorrhiza with mutual interaction with their host partners. The word mycorrhiza is coming from the Greek terms "Myco" meaning the "fungus" and term "Rrhiza meaning the "root" describing the association of fungi with roots (Alizadeh 2011). Mycorrhizal fungi mainly

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Department of Plant Pathology, Tarbiat Modares University, Tehran, Iran e-mail: mkjashni@modares.ac.ir

F. Yazdanpanah Department of Cell and Molecular Biology, Shahid Beheshti University, Tehran, Iran create a wide network of hyphae in soil to offer an efficient horizontal transfer of compounds. This association includes interaction of plant-mycorrhiza as well as interplant communication via mycorrhizal fungal hyphae that link neighboring plants (Figueiredo et al. 2021). Even, the non-host plant species, which for any reason do not interact with these fungi, were found to connect with mycorrhizal fungi through mediated-host plants (Wang et al. 2022b). Mycorrhizal fungi together with plant roots and other partners create a brilliant underground community that benefit them from their cooperation.

### 2 Mycorrhiza in Rhizosphere

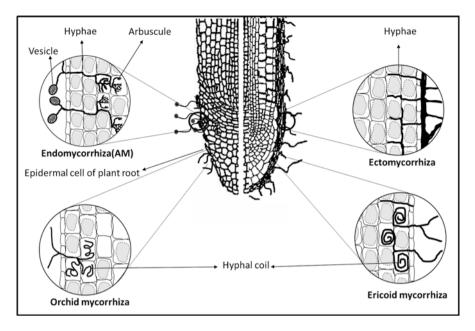
Rhizosphere is the zone of interaction between root and soil where root exudates effect the surrounding soil typically from millimeters to centimeter (Lettice 2018). Rhizosphere is a dynamic microenvironment zone containing plant roots, surrounding microorganisms, and soil components. Rhizosphere is an ecosystem crowded with combination of flora and fauna of beneficial microbes as well as pathogens for the plants (Velmourougane et al. 2017). Among this microbiome complex, plants need to recognize their friend and foes and properly respond them. Beyond this, plants need to interact with proper partners within beneficial microbes that can support them in unfavorable conditions. Beneficial microbes include some mycorrhizal fungi and nitrogen (N<sub>2</sub>)-fixing bacteria that improve soil physicochemical properties and enhance plant development (Mendes et al. 2013). Mycorrhiza colonize roots of approximately 80% of plants (Brundrett 2009). During the growth of root, the distal elongation zone of root release exudates that attract mycorrhizal fungi of soil. These microorganisms colonize the root surface just behind the meristematic tissue and begin the mutualistic association. Fungal spores or residues released from previously colonized neighboring plants initiate colonization of root of the same or different plant species (Marschner 2012). Mycorrhizae increase the accessibility of plant roots to inaccessible soil spaces (Becquer et al. 2019; Hodge et al. 2010; Wipf et al. 2019). Majority of mycorrhizae colonizes and with their mycelium interconnect many plant species known as wood wide web (WWW) (Castro-Delgado 2020). WWW influences the whole life of connected plants as well as the composition of microbial community at the surroundings.

### 3 Taxonomy of Mycorrhizal Fungi

Mycorrhizal fungi based on their morphology and physiology, are classified into two main group of ectomycorrhiza and endomycorrhiza. Ectomycorrhizal fungi (EM) colonize the intercellular spaces of the root cells and form a network of hyphae that called as "hartig net". The outer layer of EM includes external hyphal elements that shape "mantle" to cover the plant root and extracardial appendages to connect with soil. The inner layer includes the hartig net, which mainly develop around the epidermal cell of host roots and do not often reach to the cortex (Anderson and Cairney 2007). EM often associate with roots of woody plants and contribute to produce humus in the forest. More than 2000 species of EM fungi have been identified worldwide, where the majority belong to fungal species Basidiomycotina, a few species belong to the Ascomycotina and Endogone genus in the Zygomycotina (Rinaldi et al. 2008). Various plant members belong to gymnosperms and angiosperms host these EM fungi. Species, such as Populus, Fagus, Eucalyptus, Betula, Shorea; respectively, from Pinaceae, Fagaceae, Betulaceae, and Diperocarpaceae are the main EM-associated plants in the forest ecosystems (Reddy and Saravanan 2013). Some EM mycorrhizal fungi are more specialized and penetrate more into the plant root reaching to intercellular space of four cells of cortex. These group was called ectoendomycorrhiza including members of the Ericales from ascomycotina that mainly colonizes conifer plants (Čatská 1997).

The other type of mycorrhiza includes Endomycorrhizal fungi. Endomycorrhiza include ericoid mycorrhizas, orchid mycorrhizas and the arbuscular mycorrhizae (AMs) (Brundrett 2009). In nature, orchid plants require the association with fungi for their growth and sometimes are very dependent to them that carry fungus in their seeds for early stages of their development. Orchid mycorrhizas produce highly coiled arbuscules called "peletons" in the cortical cells of host cell. These structures are the source of carbohydrate and nutrient supplies cellulose and pectin within the host cell and are released after the fungal death (Favre-Godal et al. 2020; Rasmussen and Rasmussen 2009). The other group of Endomycorrhizal fungi are Ericoid mycorrhiza that evolutionary were evolved with Ericaceae plants and have narrow to broad host range within this family. Based on the molecular studies, Ericoid mycorrhiza were diversified from saprobes, and apparently also from some lineages of ecto- and endomycota. Ericoids produce distinctive hyphal coils within root cells of Ericaceae (Vohník 2020) (Fig. 1).

AM fungi belong to phylum Glomeromycota, previously known as vascular arbuscular mycorrhizas (VAMs), and are the most common mycorrhizal fungi associated with plants (Kehri et al. 2018; Krüger et al. 2012; Schüßler and Walker 2011). Phylogenetic studies based on the sequence of three markers including small subunit rRNA (SSU) gene, large subunit (LSU) rRNA gene and the complete internal transcribed spacer (ITS) region of ribosome-encoding operon (rDNA) demonstrate a complex of AM communities consisting of various glomeromycotan lineages (Kehri et al. 2018; Kolaříková et al. 2021). AM fungi interact with wide range of angiosperms, gymnosperms, pteridophytes, and bryophytes (Hata et al. 2010). In their associations, plant partners provide carbon for arbuscular mycorrhiza and in turn, AMs mobilize N, S, and P and other mineral nutrients for plant. AMs are the most common mycorrhiza and more predominant in warm climates (Krüger et al. 2012). Mycorrhiza also participate in a number of beneficial interactions with various groups of soil microorganisms (Giovannini et al. 2020).



**Fig. 1** Representative of the relationships between four common types of mycorrhiza and cells of plant root. Mycelium of endomycorrhiza penetrate into plant root and develop mycelium between cells of cortex and form arbuscules inside close to cell membranes for exchange of various compounds. Endomycorrhiza also produce vesicles containing fungal spores outside the root. Orchid and ericoid mycorrhiza also produce morphologically different hyphal coil in the root cell. Ectomycorrhiza produce hyphae between root cells below epidermal layer and do not penetrate into cells. (Figure is designed by author based on the references discussed in the chapter)

## 4 Plant-Mycorrhizal Association

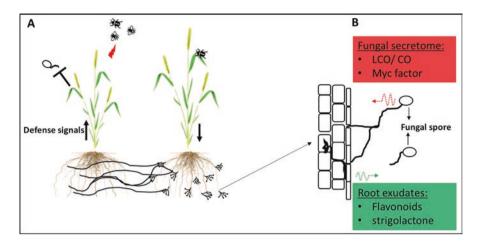
Ectomycorrhizal and endomycorrhizal fungi are involved in building of plantmycorrhiza network and together with other biological networks can shape the underground ecosystem (Oelmüller 2019). This connection facilitates the transfer of water, nutrients, defense signals or allelochemicals and leads to plenty changes in plant physiology and reprogramming of gene expressions and defense responses (Gorzelak et al. 2015). Apart from their own benefits, plant-mycorrhiza interaction facilitates the transfer of compounds from one plant to another via hyphal networks. Taking the temporal and environmental influences to account, EM fungi provide more protection against pathogens (Castro-Delgado 2020). Due to the development of structures outside the plant roots, EM to some extents are more efficient in the transfer of compounds and signals for plant development and the relationship between fungi and plants (Bennett et al. 2017).

Endomycorrhizal fungi are more widespread, however are restricted to the roots and do not colonize the aerial parts of plants, probably due to the presence of inhibitory barriers (Wang et al. 2018). These fungi penetrate the cell wall of roots and colonize the inside of the cells to form branched intracellular structures called "arbuscules". To develop a successful interaction both fungus and plant secretes various molecules. Some of these molecules stimulate the growth of root system (Oldroyd 2013; Oldroyd and Downie 2008), while other molecules stimulate the fungal metabolism leading to development of arbuscules (Pozo et al. 2015). Arbuscules of AMs develop inside the root cells of host and actually produce a site to exchange various signals and nutrients with the symbiotic partners. At the later stages, fungus decompose arbuscules as the source of nutrients in the benefit of plant. AM fungi also develop an outer layer that includes the runner or extraradicular hyphae that increase the root surface and grow into the soil to absorb more water and nutrients (Barbosa et al. 2019). The interaction of extraradical mycelium that interconnect two neighboring during in vitro growth demonstrates the impact of host demand on nutrient transfer strategy of fungus (van't Padje et al. 2021). It seems Endomycorrhiza follow mainly the biological market theory as the bidirectional transfer of resources between partners show the rewards for the best rate of exchange (Kiers et al. 2011).

The interaction of plant and mycorrhiza has been evolved during evolution (Wang and Qiu 2006). It is a great question that how plant reach to the point of a stable relation with one or a few specific fungal species, despite the diversity of microbes with different capacity to offer benefits as well as the fluctuation in the availability of resources. For both plant and mycorrhizal fungi, it is more reliable to trade with multiple partners as a complex network (Heaton et al. 2012; Oelmüller 2019). It means that in the complex network multiple fungal species colonize an individual plant and an individual fungus interacts with multiple plant hosts and species, simultaneously (Wipf et al. 2019). Studies showed that symbiont actively colonize and share common mycorrhizal networks (CMNs) with various host plants with high and low carbon (C) source strength. Although mycorrhizal fungi discriminate the quality of host plants, they simultaneously provide multiple host plants with nutrients with phosphate and nitrogen to all plants not on an all-or-none basis (Fellbaum et al. 2014). It is expected that symbionts share CMN and preferentially allocated more nutrients to high-quality host plants, however the quality of host seem does not affect the quality of root colonization.

### 5 How Plants Recognize the Mycorrhizal Fungi as Friends

Plants roots live in close contact with large variety of microorganisms. These microorganisms include beneficial microbes as friends and parasitic pathogens foes. Under nutrient limitations, plants require to build the symbiosis interaction with beneficial microbes. The main question is that how plants discriminate beneficials from pathogens to enter into symbiosis (Fig. 2). Much studies discovered the involvement of molecules and mechanisms that enable plants to permit or ban these interactions (Zamioudis and Pieterse 2012). These mechanisms can be discussed in three levels: the first mechanism is called "METABOLIC GATING", where plants



**Fig. 2** Representation of signal transfer in plant–plant communication and molecules involved in connection of plant-mycorrhiza connection. (a) Transfer of defense signals from plant treated with pathogen/pest to the neighboring plant through common mycorrhizal network. Perception of signals from CMN induces receiver plant to produce defense related compounds against invading pest/pathogens. (b) Root exudates include strigolactones and flavonoids that trigger germination of fungal spores and branching of hyphae. Lipochito-oligosaccharides (LCO), Chito-oligosaccharides (CO) and other Myc factors promote colonization of root and development of arbuscules. (Figure is designed by author based on the references discussed in the chapter)

secrete molecules that select or restrict potential group of beneficials or pathogens. One type of these molecules are nutrients. Plant secretes a type of nutrient compounds that mainly beneficial microbes prefer to use. The second type are antimicrobial compounds that inhibit or expose toxicity to pathogens but not to beneficials. The third type include molecules present in root exudate that attract specific microbes. These molecules are also called as "branching factor" as they effect on spore germination and branching of hyphae when fungus reach to plant roots (Tamasloukht et al. 2003). One of the most documented molecules is strigolactone, a phytohormone that induce the mitosis and respiration of fungi (Rozpądek et al. 2018). Even after penetration into plant roots, Strigolactone stimulates the metabolism of fungal hyphae leading to development of arbuscules (Pozo et al. 2015). Other molecules like plant cutin monomers, which are normally present in aerial tissues, stimulate the mycorrhizal symbiosis in plant root (Murray et al. 2013). The second mechanism is called "Dual receptor recognition". Recognition of mycorrhiza follow similar principle explained for fungal pathogens (Jones and Dangl 2006; Kanyuka and Rudd 2019). Molecules called Microbe associated molecular patterns (MAMPs) like chitin is a common between these two types of microorganisms and its recognition by plant receptors can only inform that the invader is a fungus. However, beneficial mycorrhiza secrete additional molecules including lipochitooligosaccharides (LCOs) known as "Myc-factors" that the dual perception of these molecules triggers the initiation of symbiosis (Schmitz and Harrison 2014). There are two types of molecules that their recognition promotes signalling

pathways in plant cells leading to arbuscular mycorrhizal symbiosis. Signal molecules chitooligosaccharides (COs) and lipochitooligosaccharides (Myc-LCOs) in soil (Gobbato 2015) were shown to be involved in plant-mycorrhizal connection.

Plants LysM-receptors that are present in the membrane of root cells, recognize and trigger the symbiotic signalling pathway (CSSP) through calmodulin-dependent protein kinase (CCaMK), CYCLOPS and GRAS transcription factors (Camps et al. 2015). After recognition at molecular level, fungal hyphae penetrate the cortical cells of roots, and forms the arbuscules (Schmitz and Harrison 2014). The third mechanism is the integration of environmental signals with immune homeostasis to fine-tune decision making in symbiosis. For instance, in high phosphorous level plant do not produce strigolactone and as its consequence restrict the symbiosis, while secretion of strigolactone occurs mainly in P deficiency (Czarnecki et al. 2013). The integration of intrinsic and environmental signals affects the threshold immunity for or against symbiosis that eventually shape the microbiome community.

# 6 Role of Mycorrhiza in Exchange of Info-Chemical Molecules

The role of volatile molecules in the plant-plant communication is well documented (Arimura et al. 2000; Brilli et al. 2019; Naznin et al. 2014). Over 80% of plants are connected through underground systems with CMNs of mycorrhiza. CMNs were evidenced for their involvement in the transfer of info-chemicals from infected (donor) plants to healthy (receiver) plants. It is shown that pathogenesis related proteins like chitinase, b-1,3-glucanase, peroxidase, polyphenol oxidase, phenylalanine ammonia-lyase and lipoxygenase were induced in the healthy tomato interconnected with infected tomato inoculated with Alternaria solani (Song et al. 2010). With the same condition, healthy neighboring 'receiver' common bean (Vicia fabae) plants become less attractive to the aphid Acyrthosiphon pisum when interconnected with plants infested with aphids (Babikova et al. 2013). Comparing to airborne communication, communication through CMN is more reliable and stable and is away from environmental effects. Colonization of Nicotiana attenuate plants having roots interconnected with CMNs showed that fungal hyphae transmit herbivoryelicited defense signaling molecules among connected plants (Song et al. 2019). Methyl-salicylate and JA are two info-chemicals that are suggested to transfer via a CMN of the arbuscular mycorrhizal to activate defense responses in healthy neighboring receiver plants when they are connected to the donor plant fungus Glomus mosseae (Gorzelak et al. 2015; Song et al. 2019). These molecules are suggested to induce pathogenesis-related proteins peroxidase, polyphenol oxidase, chitinase, b-1,3-glucanase, phenylalanine ammonia-lyase, and lipoxygenase in healthy neighboring receiver plants (Gorzelak et al. 2015; Song et al. 2019). The transfer of infochemical molecules was observed or experimentally documented in different ways including fungal cytoplasm, fungal apoplast, transport along with a biofilm or

through the fungal cell wall, and plasma membrane (Oelmüller 2019). Apparently, transfer of nutrients occurs through symplast from the cytoplasm of a plant cell via the symplast of the fungal mycelia to the cytoplasm of a connected plant cell. The info-chemicals and some nutrients released by plant cell transfer through apoplast of fungal hyphae. However transfer of hormone through symplast is not documented yet and it is possible that the message is converted into other signals that can travel through CMNs and induce defense response in the neighboring plant (Oelmüller 2019) (Fig. 2).

# 7 Role of Mycorrhiza in Exchange of Allelo-Chemical and Toxic Molecules

The role of CMNs by transferring allelochemicals between plants was also proved experimentally. It is shown that CMNs can increase transfer rates of the herbicide imazamox from treated to mock *Z. mays* grown in screen-divided pots with shared soil inoculated with a mixture of convenient arbuscular mycorrhizal fungi *Glomus mosseae* or *Funelliformis mossae*, without root contact (Achatz et al. 2014). Another group of allo-chemicals are toxic ions and radionuclides that accumulate in soil and get absorbed by plants and remain in food chain. Radiocesium is one of the major contaminant and its direct transfer to via the cytoplasm/protoplasm of the AM fungi between donor and receiver *Medicago truncatula* plants is demonstrated (Gyuricza et al. 2010). The established CMNs are able to transfer heavy metal cadmium (Cd) between plants of different species, maize (*Zea mays* L.) to soybean (*Glycine max* (Linn.) Merr.). Soil-borne AM are able to establish mutualistic symbiosis with many plants and this system can serve to collect toxic heavy metals from main food crops to heavy metal hyperaccumulators. It can also significantly improve plant performance and nutrient acquisition and increase resistance to Cd stress (Ding et al. 2022).

### 8 Resource Exchange in the Plant-Mycorrhizal Association

In the symbiotic relationship, the cost for developing root to shoot ratio often is reduced for plant. In this relation, fungus assist plant to absorb nutrients from soil, therefore plant does not expense carbon to develop its roots and in turn fungus receive its carbon from plant side (Corrêa et al. 2012). Apparently, under availability of nutrients, plant distribute carbon resources to associated fungi in nondirectional way. However, under limitation of nutrients the cost and benefits of symbiosis differs and this condition influences on the normal relationship. For example, in the soils limited in nitrogen, interaction of plant-mycorrhiza always is not mutual and fungus suppresses the plant growth (Johnson et al. 2010). Influence of environmental factors strict the plants to develop an efficient and stable symbiosis with a proper partner. In addition to plant-mycorrhiza connection, Mycorrhizal fungi develop CMNs that interconnect roots of neighboring plant species (Figueiredo et al. 2021; Walder et al. 2012; Weremijewicz et al. 2016). The presence of CMN influences plant community dynamics, through transfer of nutrients phosphorus, nitrogen, carbon, and other micronutrients (Philip 2006).

Phosphorus is the major macronutrient in soil, which predominantly is present as phosphate ion (Pi). Pi is crucial for plant growth and plant absorb it root from rhizosphere (Nussaume et al. 2011). However, under Pi limitation, mycorrhizal fungi increase root surface (100 times than root area) to transfer it from long distance of rhizosphere (Nussaume et al. 2011). The extraradical hyphae of AM release phosphatase enzymes probably to solubilize organic P of soil and transfer it (as poly P) to plant partner (Ezawa and Saito 2018). EM fungi also obtain phosphorus from the hyphae of a saprotrophic fungus and pass it to the associated plant (Cairney 2011).

Nitrogen is another macronutrient that is required for plant growth. Nitrogen is present in amino acids, chlorophyll and plant protoplasm. The most abundant molecule (about 78%) in air is nitrogen  $(N_2)$ , however plants are not able to use them directly. Some free-living bacteria and algae are able to transform Nitrogen molecules  $(N_2)$  to ammonia  $(NH_3)$  and further into nitrites, nitrates, and organic acids and fix them in the soil and (Dos Santos et al. 2012). In Rhizobium-legume interaction, plant secretes metabolites flavonoids and iso-flavonoids that start a signaling event in the root epidermis. These signals induce Nod genes of symbiotic bacteria and at the presence of plant hormones form nodules, where fixation of nitrogen occurs (Oldroyd and Downie 2008). Non-N<sub>2</sub>-fixing plants provide their nitrogen from fertilized soil and from nitrogen sources fixed by Leguminosae plants. It seems that transfer of nitrogen is greater among heterospecific plants growing adjacent to AM (Ingraffia et al. 2021). In systems with low nitrogen, plants compete for the small amounts N, but it is likely that competition between symbionts for N also occurs (Hodge et al. 2010; Hodge and Storer 2015). In this situation, it is not clear that symbiont is a mere extension of plant root or has competition with plant.

In turn to providing nutrients, plant support its symbionts with carbon (C) supply. During photosynthesis, plants use solar energy to produce starches and sugars from  $CO_2$  and  $H_2O$  (Calvin 1974). These starches and sugars are the source of carbon. In the reverse direction, plant supply the symbiotic partner with these sources of carbon. AM take the amount for its need and through its extraradical hyphae transfer the rest to other microbes. AM and other microbes use these carbohydrates for their growth, development and reproduction (Finlay and Söderström 1992; Zhang et al. 2016). It is shown that for the AM, phosphate-solubilizing bacteria produce inorganic P from the organic phosphate of soil and in turn receive carbohydrates from plant through AM (Zhang et al. 2016).

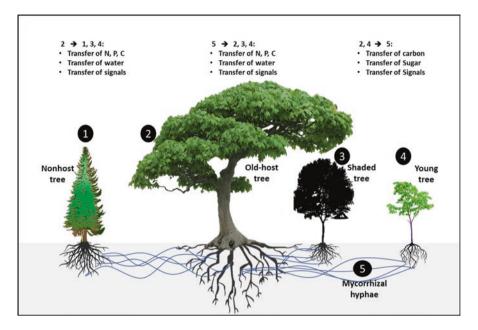
To measure the mobility of nitrogen, phosphorus and carbon among interconnected plants, researchers apply isotopes for stable labeling of these elements and compare (He et al. 2009). Compared to non-radioisotope atoms, isotopes contain the same number of protons but different in the number of neutrons (Adelstein and Manning 1995). Stable radioisotope <sup>15</sup>N, <sup>33</sup>P supplied in soil and CO<sub>2</sub> containing <sup>14</sup>C for photosynthesis are common chemicals for isotope labelling studies. Researches determined that AM actively mediate the nutrient uptake and transfer to plant and in reverse direction fungus acquire carbon products (Cruz-Paredes and Gavito 2020; Thirkell et al. 2019; Walder et al. 2012).

# 9 Models That Mycorrhiza Follow for Transfer of Nutrients Between Plants

To realize the dynamics and composition of the underground community, it is necessary to understand the behavior of plant communities and competition among the species involved in the CMN and as well as the forces driving these interactions (Simard et al. 2015). In contrast to the AM fungi, plant species are not obligately dependent to their partner; particularly, when nutrients are highly available. Moreover, some plants have no tendency to interact with symbionts. Studies show that plants transfer up to 20% of their photosynthetically fixed C to AM fungi. Evolutionary thinking, it is hard to explain how the mutual trade occurs specially when an individual plant is connected to many fungi and an individual fungus is connected to many plants. The next point is that how egotistic individuals that compete for more benefit do not ruin the many to many mutual interactions (Leigh 2010). The patterns for resource exchange are consistent with complex adaptive system models explained below.

In the source-sink model, the nutrient transfer occurs through CMNs from source that is abundant in nutrient to the sink where it is more necessary to use (Heaton et al. 2012). This theory is illustrated for C and N following a source-sink pattern that help survival and development of ecosystem. This pattern was found for transfer of these nutrients from trees having high photosynthesis rate to shaded trees or from defoliated and old trees with high nutrient sources to young seedling through connected ectomycorrhiza (Muneer et al. 2020; Teste et al. 2009). Common transfer occurs between older plants supporting young seedlings; however, there are also reports of reduced transfer of C within a CMN to sink (shaded, defoliated, seedling) plants, and even C transfer from sink (shaded) plants to source plants (Weremijewicz et al. 2016) (Fig. 3).

Another model that is observed between connected plants and mycorrhizal fungi is called the "Biological Market" theory. Based on this theory, both plant and fungi, adjust their resource allocation according to gains from the other sides (Fellbaum et al. 2014). This means the organism that contain a source of a nutrient like C, N or P allocates it in bidirectional way to the best rate it rewarded (Wang et al. 2016). This relation is evolutionary stable and plants cooperate with the best fungal partner that transfer more nutrient and fungus also provide the more nutrient to those roots providing more carbohydrates. It seems this relationship follows a reciprocal relation and a plant that needs more N or P should produce more C and provide it to its fungal partner (Kiers et al. 2011). In this theory the large flux of nutrient can be



**Fig. 3** Representative of the role of common mycorrhizal network (CMN) in the transfer of nutrients among trees; tree number 2 is an old and photosynthetic tree that can support nonhost (number 1), shaded (number 3) and young tree (number 4) with nutrients of nitrogen (N), Phosphorus (P), photosynthetically produced sugars/carbohydrates (C) and even water through fungal CMN. Plants 2 and 4 also can support mycorrhiza with nutrients as they have access to light for photosynthesis. (Figure is designed by author based on the information of references discussed in the chapter)

explained when a large tree obtains more N and P from fungal partner and in turn provide more C (Gorzelak et al. 2015).

In the mycorrhizal symbiosis, plant transfer the photosynthesized carbon to its fungal partner in a mutual interaction and in turn fungus facilitates the plant nutrition by uptake of mineral nutrients from the soil (Smith and Read 2010). In Mutualistic cooperation benefits often come at the cost paid for the interaction. There are over 500 non-photosynthetic plants called cheaters of which about half the total number are associated with AM fungi (Mycoheterotrophic) (Selosse and Cameron 2010). Cheaters established an adaptive strategy to subvert the biological market established between photosynthetic plants and mycorrhizal fungi and indirectly retrieve carbon by cheating of mycorrhizal symbiosis without paying the associated cost (Rasmussen and Rasmussen 2009). Factors such as autotrophic green host, interactions with reliance fungus, and soil nutrient availability can potentially contribute to the existence of mycoheterotrophic plants at a local scale (Merckx 2012). Although, cheaters have interaction with some specific mycorrhiza, it is considered as "functional constraints", forced through physiological or biochemical and regulation of interaction (Perez-Lamarque et al. 2020) than a speciesspecific interaction (Perez-Lamarque et al. 2020).

### 10 Impact of Mycorrhizas on Plant Immunity

Mycorrhizal fungi were found in symbiosis with various crops and trees in natural ecosystems. Mycorrhiza improve resistance of host plants in a plant genotypespecific manner against pathogens (Kumar and Verma 2018). There are several mechanisms that were proposed to enhance the resistance of host plants. First, mycorrhiza improve plant resistance by transferring more nutrients through their hyphae to plant roots. Second, mycorrhiza might also change the structure and the longevity of roots and by this it increases the plant ability to absorb more water and nutrients and enhances the photosynthesis. Third, mycorrhiza also colonizes niches in competition with pathogens that limits the progress of pathogens. Forth, mycorrhiza induces host defense mechanisms known as mycorrhiza-induced resistance (MIR). Pre-inoculation of tomato with AM fungus Rhizophagus irregularis reduced the disease caused by *Fusarium oxysporum* through jasmonate signaling pathway (Wang et al. 2022a). The role of mycorrhiza is highly documented in bioprotection of plants against fungi (Dey and Ghosh 2022; Goicoechea 2020; Hu et al. 2010; Shukla et al. 2015) and nematodes (Poveda et al. 2020; Schouteden et al. 2015), oomycetes (Gallou et al. 2011), Bacteria (Fujita et al. 2022) and viruses (Deja-Sikora et al. 2020). Mycorrhiza are not the only beneficial microbes in soil. Other soil microorganisms like growth promoting rhizobacteria also synergistically or alone affect the soil fertility through nutrient solubilization and enhance plant growth against biotic and abiotic stresses (Nanjundappa et al. 2019).

# 11 Application of Mycorrhizas in Agriculture, Horticulture and Forestry

Mycorrhizal symbiosis plays an important role in nature. The prevalence of their beneficial effects on plant can be used in agriculture (both agronomy and horticulture) or forestry systems (Smith and Read 2010). Worries about environment and growing demands for food are the main reasons to apply mycorrhiza in sustainable and organic agriculture. Mycorrhizal application has been successfully developed for both AM and EM fungi (Basiru et al. 2020). AM mainly were used for improvement of various agriculture and horticulture programs, and EM for forest production and replantation programs (Smith and Read 2010).

### 11.1 Arbuscular Mycorrhizas in Agriculture and Horticulture

There are several methods for formulation and application of AM as biological material. Different parts of fungus including resting spores, hyphae and root fragments colonized with desired AM are the source of fungal biomass. For formulation

of fungal biomass, carriers, surfactants and stabilizers are required to increase their efficiency on plant and durability in storage (Gianinazzi and Vosátka 2004). Arbuscular mycorrhiza can be formulated as capsules of fungal biomass (Plenchette and Strullu 2003). Application of AM requires adequate quality standards and ease of use for seed and seedlings inoculation (Gianinazzi and Vosátka 2004). Inoculation of seedlings is appropriate method for establishing selected fungi in roots at early stage before infection by soil-borne species (Smith and Read 2010). Many studies confirmed that crop productivity is improved by AM symbioses. Application of AM at the initial stage of plant development enhanced the AM symbiosis and improved the plant growth parameters both in the nursery and in the field (Wang et al. 2008). Inoculation of seedlings with mycorrhizal fungi significantly influenced the length of stems and roots in tomato (Jamiołkowska et al. 2019). In another study, the improved gaining of phosphate by mycorrhiza positively affected on tomato productivity (Subramanian et al. 2006). Furthermore, AM symbiosis enhanced the content of chlorophyll in maize leaves (Sheng et al. 2008; Zhu et al. 2012) and improved the photosynthesis parameters and drought tolerance in poplar (Liu et al. 2015). Comparison of the yield of mycorrhized tomato grown in artificial rockwool and natural soil, have determined that beneficial interaction of AM and tomato roots does not occur in artificial culture (Michałojć et al. 2015). The cost of application of AM products in large scales is much lower than using synthetic fertilizers in soils deficient in phosphorous (Igiehon and Babalola 2017).

### 11.2 Ectomycorrhizas and Forest Production

EM fungi play a critical role in carbon and N cycling, phosphorus uptake, and soil aggregation in many native ecosystems (Cairney 2011; Pandey et al. 2019; Rillig and Mummey 2006). The most important issues for application of mycorrhizas in agricultural environments are their effectiveness and persistence. In forestry ecosystems, this application might be less successful as natural selection over many generations has produced stable populations that limits the establishment of new species. Therefore, mycorrhizal associations should be considered as integral components of soil complexity in both agricultural and forestry ecosystems (Philippot et al. 2013).

Determining appropriate management strategies of mycorrhizal fungi and effective inoculation techniques should be established to maximize plants productivity (Smith and Read 2010). Ectomycorrhizas that were used in the nursery itself and after out-planting were significantly increased the timber (Mbora et al. 2008). Tree plants grown in ectotrophic forests had better growth in comparison with those in soils deficient in EM fungi. Application of EM fungi that physiologically and ecologically were corresponded to a specific planting area enhanced their crop performance as shown in Austria, Argentina and Australia (Marx et al. 1991). Inoculation with mycelium of EM at seedlings stage in nursery were found as the most effective types at the best growth stage (Sanchez-Zabala et al. 2013). Application of spores also were used in different formulations such as inoculation of substrates with fungal spores or irrigation of substrates by suspension of spore before and after seed and seedling (Rincón et al. 2001). In tropical forests seedlings that are grown close to congeneric adult trees are colonized more rapidly and/or by a greater diversity of EM fungi (Jones et al. 2003). However, plants receive more benefits from ectomycorrhiza when are imposed by biotic and abiotic stress (Liu et al. 2017). This phenomenon is observed for drought and salt stresses as well (Chen et al. 2014).

# 12 Mycorrhiza Restore Human Activity and Climate Disturbance

Anthropic human activities directed to soil environment can negatively affect the abundance and richness of the mycorrhizal community in soil (Philippot et al. 2013; Pringle et al. 2009). Pesticides and agricultural fertilizers are two main sources that contaminate the soil and negatively affect the microbial community (Jamiołkowska et al. 2021). These pollutants also harm the human health through their toxicity and their contribution in physiological changes in plants (Saladin and Clément 2005). Mycorrhizae increase the absorption through fungal hyphae and plant roots and indirectly reduce the pollution of agrochemicals (Wang et al. 2020). Human activities and climate change also disturb soil structure leading to quick soil erosion. Fungal hyphae and AMs secrete protein glomalins that is known for its role in aggregating soil particles and improving the stability of soil structure (Muneer et al. 2020). Mycorrhizal fungi absorb heavy metals from the contaminated soils and store them in their vesicles. With this, metals are immobilized in the fungal and cannot inhibit nutrient uptake leading to enhancing tolerance to metals and crop quality of plants cells (Fester 2013; Wang et al. 2020). Although bioremediation seems very valuable, there are concerns for transfer of organic contaminant residues from the aboveground to belowground in plant roots (Wang et al. 2020). Molecules of glomalins and metallothionin also immobilize toxic metals that significantly reduces the toxicity of heavy metals (copper, cadmium, zinc) in the soil (Bano and Ashfaq 2013). The other point is that mycorrhiza reduces the mobility of P in repacked soil columns in favor of plant growth in low P soils and restrict its losses to streams and groundwater (Asghari et al. 2005).

### 13 Conclusion

In this chapter, we focused on the most relevant issues on the plant-mycorrhiza interaction. We know that beneficial microbes created an outstanding network underground that bring all individuals into community, directly or indirectly. This network transfers sympathy of all fortune and worries and share nutrients among the

community. Like that of human beings, there are collaborations and competitions among individuals described by several models of trades. In this community, individuals reduce the risk of life by connecting with many other collaborators. During the last two decades, much attention is paid to mycorrhizal fungi due to their relevance in sustainable agriculture. Due to manmade environmental situations, we need to listen and back to nature, where both endo- and ectomycorrhiza have been evolved with plants. These fungi have potential to be used in agriculture, horticulture and forestry. There are several concerns on the direction of studies on mycorrhiza that needs more attention. The first issue is that the majority of publications, reviews and studies repetitively describe these microbes, while scientists should grow-up this field experimentally. Second, with lots of variables affecting plantmycorrhiza interactions, studies should fundamentally determine all parameters that can affect their interaction. Third, to replace biofertilizers of mycorrhiza with synthetic fertilizers, studies should discover effective species of fungus with clear effect on soil fertility and plant health. Forth, advanced technologies should support the outstanding ideas and cutting-edge studies to elucidate several dark points present during interactors in soil. It is not clear what is the effect of climate change, wealth or wanes of nutrients, presence of cheaters and pathogens on interaction of mycorrhiza-plant and at which condition this collaboration comes to competition?. Technologies like metagenomics, transcriptomics and proteomics can produce valuable data for laboratory experiments to benefit human from natural ecosystem.

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# **Impacts of Climate Change on Plant Mycobiome**



Abdelghafar M. Abu-Elsaoud and Walaa I. Saadeldin

### 1 Introduction

There is widespread agreement that climate change is a serious threat to the environment and one of the most pressing social issues of the century. More atmospheric carbon dioxide ( $CO_2$ ) and, by extension, increased ultraviolet radiation (UVR) reaching Earth's surface owing to both rising temperatures and ozone depletion are two of the many phenomena associated to global climate change that have their roots in industrialization (Madronich et al. 1998). These climatic shifts may have both direct and indirect effects on organisms, altering their phenology and physiology (Beaugrand et al. 2003; Cloern et al. 2005) and impacting environmental parameters that regulate mortality and growth (Beardall et al. 2009). There is a chance that this might change the species' range, the composition of communities, and the ecosystem's ability to operate (Beaugrand et al. 2002).

The effects of ultraviolet radiation (UVR) on plants and fungi are not only dependent on the UVR's intensity and spectrum content, but also on the interaction of UVR exposure with other environmental factors such as nutrients (Marcoval et al. 2007), light acclimation history (van de Poll et al. 2006), and temperature (Villafañe

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© The Author(s), under exclusive license to Springer Nature Switzerland AG 2023 Y. M. Rashad et al. (eds.), *Plant Mycobiome*, https://doi.org/10.1007/978-3-031-28307-9\_18

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et al. 2008). (Boyd et al. 2010). Biological processes including nutrition uptake, growth, species composition, and toxin generation might all be negatively impacted by UVR. Evidence from many studies (Beardall et al. 2009; Fu et al. 2012; Hogue et al. 2005) supports this notion. The community structure of phytoplankton might shift as a result of this since various species/groups react differently to UVR. As a result of affecting both the dark and light responses of photosynthesis at photosystem II, namely the enzyme RuBisCO (Vincent and Neale 2000), exposure to UVR can reduce photosynthetic rates. Significant UVR-induced damage on nucleic acids has also been observed (Boelen et al. 1999; Buma et al. 1996), leading to nucleotide damage and the production of photoproducts (Görner 1994). These photoproducts, such as pyrimidine dimers, can induce mutations and decrease the amount of free RNA polymerase, which affects transcription (Britt 1996). UVR exposure has been linked to an increase in reactive oxygen species (He and Häder 2002), which may damage macromolecules including lipids, DNA, and proteins, leading to oxidative damage and possibly even cell death.

The rise in atmospheric carbon dioxide concentrations since the start of the Industrial Revolution has had a significant impact on global warming. The increase in atmospheric CO<sub>2</sub> from 280 parts per million (before the start of the Industrial Revolution) to the present 410 parts per million is roughly proportional to the increase in average global temperature of about 1 °C since 1880. (Ciais et al. 2014). As long as CO<sub>2</sub> concentrations are on the increase, the Earth will continue to warm, but the extent to which this happens will rely on political will and human ability to limit carbon emissions as quickly as feasible. Therefore, increasing temperatures will lead to different climatic conditions in many places, which will affect the way species operate and their current geographic ranges. By taking in some of the carbon dioxide (CO<sub>2</sub>) emitted into the air from burning fossil fuels, terrestrial plants have played an important role in mitigating climate change. Ciais et al. (2014) found that plants now absorb 30% of annual  $CO_2$  emissions, hence slowing the pace at which the planet is warming. However, plants are adaptive, and some of them may adjust their optimal development temperature based on external conditions (see below). Because forests are responsible for a sizable proportion of global terrestrial production, knowing how they will respond to climate change is crucial for foreseeing the future. In order to assess how trees in a forest will react to rising temperatures, it is important to measure any potential changes in tree physiology. One of the major gaps in our knowledge of the carbon cycle and our capacity to forecast future increases in atmospheric CO<sub>2</sub> concentrations is how temperature influences the physiological changes of forest trees (Mercado et al. 2018). Since the greatest fluxes of carbon intake and loss occur during photosynthesis and respiration, respectively, the capacity of a species to physically modify its plant metabolism is a first line of defence for how they would adapt to rising temperatures. The topics of this chapter include global warming (temperature), UV radiation, and carbon dioxide emissions.

### 2 Effect of Climate Change on Plants and Mycobiota

### 2.1 Ultraviolet Radiations

#### 2.1.1 Nature of Light

Light is an essential source of energy for virtually all organisms on Earth. Many different kinds of organisms are able to absorb and use the energy from light. Autotrophs and plants, for instance, are able to achieve this via photosynthesis. However, light has many other functions than providing energy for biological reactions. Its quality (the ratio of photons at different wavelengths), intensity (energy flux), and relationships to other environmental characteristics all reveal information about the condition of the environment right now. (Jones et al. 2013).

Relativity and quantum physics, the two dominant theories of the twentieth century, both focus on the behaviour of as light travels through space as well as interacts with matter. The study of this phenomenon is also crucial to our knowledge of how organisms behave and operate (Björn 2015).

*Photomorphogenesis* is described as an organism's developmental reaction to information in light, such as the amount of light, the quality of light in terms of wavelengths present, the direction of light, or the length of night and day and (photoperiod). Photoreceptors are molecules within cells that take in light and trigger a series of reactions in the organism when exposed to it (Jones et al. 2013). *Photostimulators* are a specific kind of light utilised in the process of *photostimulation*, which is the use of light to stimulate biological processes.

### 2.2 Electromagnetic Spectrum

For all forms of energy production that do not involve nuclear fission, the Sun is indispensable. Energy from the sun is the result of nuclear fusion, and each year the Earth absorbs around  $5.62 \times 1024$  joules of solar radiation through its atmosphere, seas, and landmasses; of this amount, photosynthesis is responsible for capturing  $3.16 \times 1021$  joules (Table 1). The electromagnetic spectrum includes not only visible light but also - and X-rays, and all the way to radio waves at the other end. Light is both a particle and a wave at the same time. As a simplified metaphor, think of it as waves made up of discrete packets of energy, or quanta. A photon is the quantization of light's energy. Lambda ( $\lambda$ ), the Greek letter that represents wavelength, is often written in nanometers when referring to visible light (nm). Radiation with wavelengths between around 380 nm (violet) and 760 nm (far red) is known as the visible spectrum (Fig. 1). Equation 14.1 expresses the relationship between frequency ( $\nu$ , Greek letter nu; units = s<sup>-1</sup>), speed of light (c, units = m s<sup>-1</sup>), and wavelength (in meters). There are two primary characteristics of light. Light has both particle and wave qualities, and they can be clearly seen in an adjusted version of Young's double-slit experiment (Jones 2013).

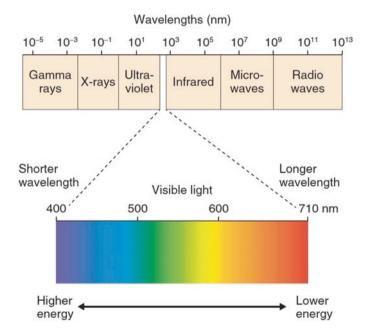
Global solar power balance	Amount in terawatts <sup>a</sup>
Solar power input <sup>b</sup>	178,000
Reflected to space immediately	53,000
Absorbed and then reflected as heat	82,000
Used to evaporate water	40,000
Captured by photosynthesis (net primary productivity) <sup>c</sup>	100
Total power used by human society	
In 2005	13
Projected use in 2100	46
Total used for food	0.6

 Table 1
 The fate of solar energy reaching Earth (Jones 2013)

<sup>a</sup>Power is measured in watts, and a watt is equal to one joule every second. Terawatts are measured in units of power equivalent to 1012 joules second<sup>-1</sup>, or 1012 watts

<sup>b</sup>Solar energy input is 5.621012 terawatts (5.621024 joules)

<sup>c</sup>Photosynthetic organisms are responsible for harvesting an average of  $3.16 \times 109$  terawatts ( $3.16 \times 1021$  joules) of solar energy every year



**Fig. 1** The visible portion of the electromagnetic spectrum, from 400 to 710 nm, enlarged to display colour. The blue end of the spectrum (380 nm) and the red end of the spectrum (760 nm) are not the absolute limiting factors for human perceptual abilities. Keep in mind that the units of energy are J mol<sup>-1</sup> (Jones 2013)

Equation 1 Relationship between light speed, frequency & wavelength

 $c = v\lambda$ 

**Equation 2** Energy as a function of electromagnetic radiation wavelength or frequency:

$$E = hc / \lambda$$

Where *c* = speed of light (approximately  $300 \times 10^6$  m s<sup>-1</sup>) and *h* = Planck's constant (4.14 × 10<sup>-15</sup> eV.s).

### 2.3 Photobiology: Interaction of Light with Living Organisms

Photobiology is the study of how various wavelengths of light influence living organisms. Photoreceptors are light-absorbing molecules that trigger a series of reactions in living things when they detect light (Jones et al. 2013). Photostimulators are a specific kind of light utilised in the process of photostimulation, which is the use of light to stimulate biological processes. Photoreceptor molecules detect light and transmit that information to the cell so that the body may respond to changes in its environment.

Rhodopsin is found in the eyes of humans and other animals and functions as a photoreceptor. Photoreceptors are found in a wide variety of plant and microbial species. Phytochromes, cryptochromes, and phototropins are all examples of photosynthetic pigments. There is a unique spectrum of light that is taken in by each type of photoreceptor. Absorption of light by a photoreceptor causes a variety of reactions depending on the wavelength of the light. An action spectrum is the result of plotting the magnitude of a certain physiological reaction against the wavelengths that elicit that response. The photoreceptor responsible for a given reaction can be determined by measuring the spectrum of the associated action potential.

UV light with shorter wavelengths than the visible and infrared ranges display a greater number of quantum characteristics. We arbitrarily divide ultraviolet light into three bands, each with distinct biological consequences. Since it carries the least amount of energy, UV-A light is the least dangerous and most frequent kind of UV radiation. The ultraviolet-a (UV-A) spectrum of light is commonly referred to as "black light" because of its reputation for inducing visible light emission from fluorescent materials. UV-A lamps, the kind used in tanning salons and phototherapy, are the most common (Fig. 2).

Since UV-B has enough energy to destroy living tissues yet is not completely absorbed by the atmosphere, it is the most dangerous kind of UV radiation. Overexposure to UV-B rays has been linked to skin cancer. Given that the atmosphere blocks most of the UV-B radiation from space, even a little change in the

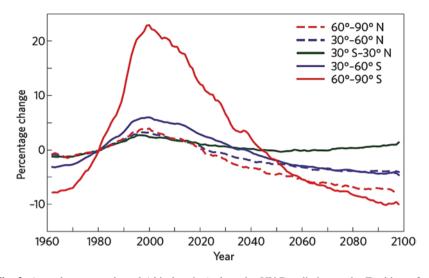


Fig. 2 Annual mean erythemal (skin-burning) clear-sky UV-B radiation at the Earth's surface, observed (before 2010) and anticipated (after 2010) compared to 1980 for different latitude bands (Bais et al. 2015; McKenzie et al. 2011; Williamson et al. 2014)

ozone layer might significantly increase the risk of skin cancer. While the sun's ultraviolet radiation (UV) is essential for life on Earth, it has the potential to damage living as well as non-living organisms. Conventionally, UV light has been separated into three wavelength bands: UV-A (315-400 nm), UV-B (280-315 nm), and UV-C (200 nm) (100-280 nm). Potentially harmful ultraviolet (UV)-C radiation is blocked entirely by Earth's atmosphere before it reaches the planet's surface. Stratospheric ozone absorbs the most harmful short wavelength UV-B radiation, protecting Earth's surface from it. The majority of the sun's ultraviolet (UV) light reaching the ground is UV-A, which is mostly unimpeded by the Earth's atmosphere. UV-A radiation is mutagenic and suppresses the immune system in humans, but it also has essential impacts on tropospheric chemistry, air quality, aquatic and soil processes, and is typically less hazardous than UV-B radiation (Damian et al. 2011). Insect pests and harmful bacteria can be effectively repressed by plants' natural defence mechanisms, and solar UV light, especially UV-B, can be a positive regulator of these mechanisms (Williamson et al. 2014). Microorganisms can be affected positively or negatively by UV light, with UV-A and UV-B having the most dramatic impacts (Abu-Elsaoud and Abdel-Azeem 2020).

In an in vitro experiment, we determined how exposure to higher UV radiation levels, particularly UVA + UVB, affected certain aeromycobiota from the Ismailia region in Egypt (unpublished data). *Paecilomyces* sp. and *Drechslera* sp. were the two kinds of fungi examined. While both *Drechslera* sp. and *Paecilomyces* sp. showed an effect of UV-B and UV-A on biochemical consequences and conidial structure (size), UV-absorbing compound levels were found to be much higher in *Paecilomyces* following irradiation with both wavelengths compared to the control group. Mycosporine-like amino acids (MAAs) were found in increased quantities (Abu-Elsaoud and Abdel-Azeem 2020). Table 2 Summarized selected studies on the effect of climate change in terms of Electromagnetic spectrum on microorganisms especially fungi (Figs. 3 and 4).

The majority of filamentous fungi finish their asexual life cycle by forming specialised structures known as conidia. They are critical to the proliferation of fungi as well as the survival of their habitats. They also play a role in pathogenic species identification and infection. Solar radiation can have a variety of effects on conidial production, survival, dispersal, germination, pathogenicity, and virulence, some of

EM radiation	Wavelength $(\lambda; nm)$	Subject	Microorganism	Reference
UV-B	280–320	Growth, pigmentation, and spore generation in the phytopathogenic fungus Alternaria solani in response to ultraviolet B light	Alternaria solani	Fourtouni et al. (1998)
UV-B 280–320	Effect of UV-B irradiation on the antioxidant activity and content of the medicinal Caterpillar fungus, Cordyceps militaris (ascomycetes)	Cordyceps militaris	Huang et al. (2015)	
	Serpula himantioides cultures exposed to UV-B radiation accumulate more xerocomic acid, which is found in the cell wall	Serpula himantioides	Torres et al. (2019)	
		Physiological and molecular effects of environmental UV radiation on fungal conidia	Magnaporthe grisea, Alternaria alternata, Colletotrichum lagenarium, Cochliobolus heterostrophus, and Aspergillus spp.	Braga et al. (2015)
UV-A + UV-B 320–400 280–320	320-400	Conidial structure has been altered	<i>Fungi: Drechslera</i> sp. <i>Paecilomyces</i> sp.	Abu- Elsaoud and Abdel- Azeem
	280-320	UV-absorbing chemicals have been increased		
	Mycosporine-like-amino acids have increased (MAAs)		(2020)	
He-Ne laser and UV		Endo-polysaccharide synthesis and antioxidant activity	Phellinus igniarius	Zhang et al. (2016)

 Table 2
 Some selected studies Effect of climate change in terms of Electromagnetic spectrum on microorganisms especially fungi

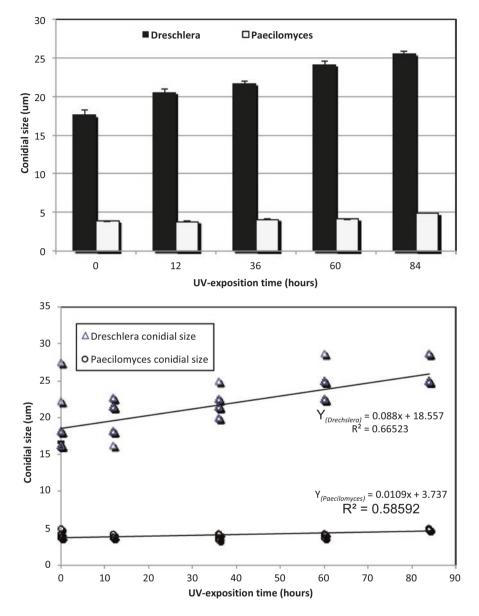


Fig. 3 The conidial size (m) of Paecilomyces spp. and *Dreschlera* spp. increases in response to increased ultraviolet radiations (UV-B, UV-A). (Abu-Elsaoud and Abdel-Azeem unpublished data)

which are species-specific. The ultraviolet (UV) spectrum of the sun's radiation is the most harmful and mutagenic. Most fungal conidia are susceptible to mortality when exposed to direct sunlight for a few hours. Conidia are killed by UV-A and UV-B rays from the sun. Sublethal UV light exposure can decrease the speed and

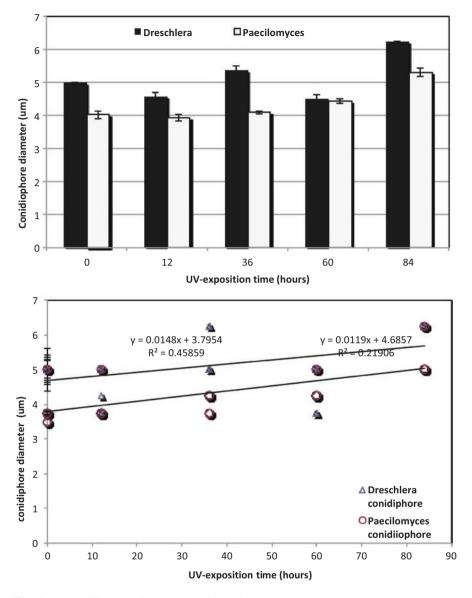


Fig. 4 The conidiophore diameter (m) of *Paecilomyces* sp. and *Dreschlera* sp. increases when exposed to higher amounts of ultraviolet radiations (UV-B, UV-A). (Abu-Elsaoud and Abdel-Azeem unpublished data)

pathogenicity of conidial germination as well as kill conidia, reducing the number and spread of the fungal population. This page attempts to provide readers with an overview of the key systems involved in UV radiation defence and healing, with a particular emphasis on how these mechanisms influence conidia. The methods used

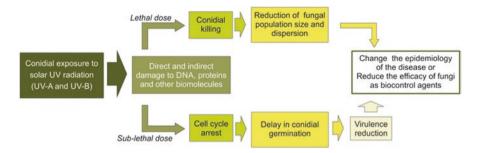


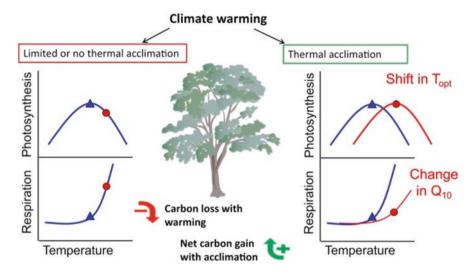
Fig. 5 The biological and molecular impacts of solar UV radiation on conidia and their ability to operate. (Source: Braga et al. 2015)

to create sun radiation-resistant strains of fungal species of interest, such as entomopathogens, will also be discussed. To further understand how solar UV radiation affects conidia on a molecular and physiological level, as well as how conidia respond functionally, refer to Fig. 5 (Braga et al. 2015).

### **3** Climate Warming

### 3.1 Plant Responses to Climate Warming

Tree growth and other physiological processes are very sensitive to temperature. A rise of 2-5 °C is forecast for this century, creating circumstances for numerous species that have never been seen before in evolutionary history. Sedentary and living for far longer periods of time than animals, plants, and especially trees, may require physiological adaptations to greater temperatures. But most plants can adjust to new conditions, and they typically do so in ways that maintain or even improve their carbon gain. Climate change has led to adaptations that increase carbon intake and growth, such as reduced respiration rates (Atkin and Tjoelker 2003), increased leaf areas (Way and Oren 2010), and even increased assimilation rates at warmer growth temperatures (Way and Sage 2008). In addition, most species may raise their thermal optimum of photosynthesis in response to rising temperatures (Crous et al. 2013; Way and Oren 2010) (Fig. 6). "Thermal acclimation" refers to the process by which a plant's physiology adapts to different temperatures used for growth. In most cases, the thermal ideal of photosynthesis will alter by a fraction of a degree for every degree that the growth temperature changes. By allowing plants to function at extremely high temperatures without a decrease in photosynthetic rates, raising the temperature ideal of photosynthesis has the potential to greatly mitigate the negative effects of warming (Fig. 6). Furthermore, in comparison to non-adaptive respiration rates, lower respiration rates with warming minimise carbon loss (Atkin et al. 2015). Large-scale changes in plant fluxes of respiration and photosynthesis will impact



**Fig. 6** Reduced complexity version of the physiological responses plants can make to rising temperatures throughout time (i.e., thermal acclimation). Temperature increases (red dots) and higher respiration (blue dots) relative to ambient conditions (left picture) both lead to lower rates of carbon uptake through photosynthesis. In reaction to rising temperatures, plants often move to a higher temperature optimum for photosynthesis (Shift in Topt), which allows them to keep their photosynthetic rates constant even as the temperature rises (compare red with blue lines in upper right panel). Consider the case when respiration is equal at the new growth temperature compared to ambient conditions, but with a lower slope, to see how thermal adaptation in respiration (Change in Q 10) can reduce carbon loss due to warming temperatures (compare red with blue lines in bottom right panel). (Source: Crous 2019)

the future degree of climate warming because plants affect global and regional temperature (Dusenge et al. 2019).

The climatic conditions to which a species is used have a role in determining how well it adapts to its new environment. When temperatures rise, many plant and animal species respond positively by increasing their rate of development and photosynthetic ability (Gunderson et al. 2009; Way and Sage 2008). On the other hand, research conducted in warmer climes showed that tree growth and carbon acquisition are lower in species native to warmer low-latitude conditions, as is the species' photosynthetic capability (Crous et al. 2013; Feeley et al. 2007). This data suggests that warmer-grown animals have a restricted physiological potential to adapt to higher temperatures. Species native to the equator, which experience relatively constant temperatures throughout the year, may be less able to adapt to rising global temperatures than those native to colder regions (higher latitudes), where seasonal temperature swings are more pronounced. Species that live at lower latitudes are also more likely to be operating at their thermal optimum (Crous et al. 2018; Doughty and Goulden 2008). As a result, the tropical rainforests, the most productive ecosystem on Earth, may lose some of their capacity to act as a carbon sink if the global average temperature continues to rise.

Plant responses to warming can be modulated by a number of other variables, including, but not limited to, increased ( $CO_2$ ), nutrient availability, and changing precipitation patterns. Drought stress is anticipated to rise as a result of changes in rainfall patterns, the frequency of heatwaves, and the intensity of those heatwaves, all of which reinforce the negative impacts of higher temperatures. Warmer temperatures not only slow development, but also hinder seed generation and dissemination, which can ultimately lead to fewer seedling establishments and widespread forest dieback (Allen et al. 2010). Climate change has several consequences, including altered plant communities and decreased or modified distribution ranges of several plant species (Harsch and HilleRisLambers 2016).

# 3.2 Climate Affects Symbiotic Fungal Endophyte Diversity and Performance

The genetic diversity of endophytic fungi, which are microorganisms found on the surfaces of plants, is exceptionally great (Rodriguez et al. 2009). As a result, they can alter a plant's growth, offspring, and resistance to predators and adverse conditions (Cosme et al. 2016; Kivlin et al. 2013; Mayerhofer et al. 2013; Oberhofer et al. 2014; Rho et al. 2018; Rodriguez et al. 2008). Increased nitrogen absorption by host plants is one positive effect of endophytes (Afkhami and Strauss 2016; Aguilar-Trigueros and Rillig 2016; Behie and Bidochka 2014; Clay and Holah 1999; Rudgers et al. 2004, 2005) have all shown that endophytes have an impact on the overall structure and function of plant communities and the ecological webs that connect them (e.g. herbivores and their parasitoids; Omacini et al. 2001). The genus Neotyphodium and its asexual stage, Epichlo, have been used in a small number of experiments to teach us about fungal endophytes. It is not feasible to undertake randomised controlled trials to validate the ecological activities of most fungal endophytes due to their infamous difficulty to cultivate.

One of the most notable features of this important group of fungal endophytes is the wide host and geographic ranges of the species that make up the Serendipitaceae family, which is part of the order Sebacinales (Garnica et al. 2016; Weiß et al. 2011). Previous studies have demonstrated that Serendipita indica (Piriformospora indica) improves plant growth and modulates plant nutrition and tolerances to biotic and abiotic stresses, however these studies have mostly focused on S. indica (Achatz et al. 2010; Barazani et al. 2005; Gill et al. 2016; Waller et al. 2005). Tübingen coworkers and I have recently identified and cultured Serendipita herbamans, another member of the Serendipitaceae family that is widespread and associated with a wide range of host species and environmental conditions across Central Europe (Riess et al. 2014).

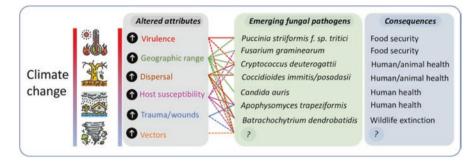
Soil microorganisms may have an impact on both plant growth and stress resistance, albeit how exactly they do so may differ from host to host. As a result, plantmicrobe interactions aid in the development of plant communities, and there is growing evidence that they play a role in the spread of invasive plant species (Callaway et al. 2004; Dawson and Schrama 2016; Inderjit and van der Putten 2010; Klironomos 2002). Plants may profit from or be harmed by the microorganisms that live on them (Bever et al. 2012; van der Putten et al. 2013). If exotics accumulate biota that has a net favourable effect on the plant, they may have an advantage over locals. This could happen if the imported region does not have the same natural illnesses as the exotic does (Callaway et al. 2011; Maron et al. 2014; Mitchell and Power 2003; Reinhart et al. 2003). It has been suggested that the introduction of exotic plants into an area can have a negative effect on the soil biota by either increasing the number of diseases that attack native plants (Mangla and Callaway 2008) or by disrupting the interactions between mutualists and native plants (Meinhardt and Gehring 2012; Stinson et al. 2006).

Many studies on plant-microbe interactions and plant invasion have focused on soil-borne microbes rather than endophytes, despite the fact that fungal endophytes are apparently widespread and diverse also in invasive plant populations (Clay et al. 2016; Shipunov et al. 2008). A remarkable set of research by Aschehoug et al. (2012, 2014) showed how the leaf endophyte Alternaria alternata causes the invasive knapweed (Centaurea stoebe) highly effective and allelopathic towards native North American grasses.

### 3.3 Climate Change and Fungal Pathogens

Growing evidence suggests environmental factors have a significant influence in the emergence and resurgence of infectious illnesses, notably those caused by fungus and other fungal infections (El-Sayed and Kamel 2020; Wu et al. 2016; Nnadi and Carter 2021). The United Nations Framework Convention on Climate Change defines climate change as "a change of climate which is attributed directly or indirectly to human activity that alters the composition of the global atmosphere and which is in addition to natural climate variability observed over comparable time periods," suggesting that climate change may lead to the emergence of new fungal diseases (Farber 2021). Reference: (Garcia-Solache and Casadevall 2010). The possible role of viruses and bacteria in epidemics and pandemics is well discussed, but fungus should not be overlooked. Fungi may grow saprotrophically, producing huge amounts of infectious spores, and infecting new hosts does not necessitate direct contact between them. Despite these challenges, no vaccines have been developed specifically for fungal infections (Casadevall 2019). To be sure, fungi appear to be the only organisms capable of triggering total host extinction (Fisher et al. 2012).

Most fungal species cannot infect animals and establish lifelong infections because they cannot tolerate high temperatures. While a rise in disease-causing organisms is possible as a result of climate change's sluggish adaptation to warming temperatures, fungi can be taught to gain thermotolerance (Casadevall 2020; de Crecy et al. 2009). Climate change also increases the likelihood that pathogenic



**Fig. 7** Climate change's impact on the development of fungal diseases. Climate change modifies the characteristics of the fungus, its habitat, and its host, which can lead to the creation of novel, unusual, or adaptable fungal species, with repercussions for human health, biodiversity, and food security. On this diagram, solid lines between characteristics and fungal species represent associations supported by published research, whereas dashed lines represent associations that are likely but unconfirmed. "?" signifies the advent of as-yet-unidentified fungus species with unclear repercussions. (Source: Nnadi and Carter 2021)

organisms or the vectors that carry them may move to new locations, perhaps resulting in the emergence of diseases that have not been seen in those areas before (Casadevall 2020). Mold may be spread and aerosolized during climate-related environmental disruptions like floods, storms, and hurricanes, or it can be implanted via traumatic wounds and cause diseases from previously identified fungal species. Figure 7 depicts the potential consequences of climate change through the lens of emergent fungus and its effects, as well as the possibility that new and undiscovered species will emerge.

# 3.4 Climate Affects Symbiotic Fungal Endophyte Diversity and Performance

Because water is such a crucial factor in a plant's survival and growth, its drought tolerance can have far-reaching effects on production, variety, and dispersion (Knapp and Smith 2001; Lauenroth and Sala 1992). That this is the case has been demonstrated (Archaux and Wolters 2006; Craine et al. 2013; Knapp et al. 2002; Tilman and El Haddi 1992). Many climate models forecast broad increases in drought frequency and intensity in the future, therefore plants' capacity to tolerate drought will likely grow more essential (Meehl et al. 2007; Schoof et al. 2010; Seager et al. 2007; Solomon et al. 2007). Understanding the processes underpinning drought resistance is crucial for optimising plant growth in water-limited conditions. There is mounting evidence that microbial symbionts can play a role in mediating plant responses to drought and other stresses (e.g., Augé 2001; Márquez et al. 2007; Xu et al. 2008), despite the fact that most studies of plant drought resistance have focused on the plant's physiology and genetics in its abiotic environment.

Common plant symbionts, fungal endophytes, can have a significant impact on how well plants tolerate drought. Osmotic adjustment and other drought tolerance mechanisms may be affected by these factors (Malinowski and Belesky 2000; Morsy et al. 2010; Rodriguez et al. 2009, 2010; Waller et al. 2005). Host plants that were colonised by endophytes during drought showed increased biomass production, decreased evaporation, and increased resistance to water stress (Elmi and West 1995; Kane 2011; Kannadan and Rudgers 2008; Rodriguez et al. 2008). However, not all endophytes are beneficial to their host plants. Actually, the presence of certain endophytes can cause a decline in biomass and an increase in transpiration rates in host plants (Arnold and Engelbrecht 2007; Cheplick 2004; Kleczewski et al. 2012). Complex variables may explain why endophyte function varies between fungal species, genotypes, and habitats (Cheplick 2004; Morse et al. 2007; Rodriguez and Redman 2008).

How and what fungal endophytes perform in communities are likely influenced by a variety of factors, including location, ecology, and evolution (Leibold et al. 2004). Although most assume that bacteria may spread globally, there is mounting evidence that their transmission is confined to regional scales at most (Kivlin et al. 2011; Martiny et al. 2011; Peay et al. 2010; Waldrop and Firestone 2006). Spatial structure and species turnover may result from limited dispersion. For instance, Márquez et al. (2008) discovered that as they travelled further from the coast of Spain, the endophyte community in two different grasses became less similar. Species will naturally separate into several populations in places with varying habitats if there is sufficient dispersal (Leibold et al. 2004). By analysing community data from 158 research, Cottenie (2005) showed that 44% of communities were structured by species sorting, 29% by a combination of species sorting and dispersion effects, and 8% by spatial factors that likely indicate neutral processes or patch dynamics. The review did not consider symbiotic or terrestrial microbial populations. The nonclavicipitaceous endophytes of above-ground plant tissues discussed here are often a result of horizontal transmission from their natural habitats (e.g., soil, other plants, Rodriguez et al. 2009). Horizontal spread of endophytes is less likely to result in symbiotic relationships than vertical transmission via seeds (Higgins et al. 2011; Rodriguez et al. 2009). What's more plausible is that endophytes are influenced by a combination of environmental variables and the way space evolves through time. For instance, Arnold and Lutzoni (2007) discovered that, for 28 host species spanning the northern tundra to the tropical jungle, latitude was the strongest predictor of endophyte diversity. Likely causes include restricted range and a lack of suitable habitat.

The key to developing a prediction paradigm for endophyte function in symbiosis is understanding how endophyte dispersion corresponds to their functional capacities. Since endophyte function is linked to some particularly hostile environments, environmental filtration and local adaptation may both play a role in shaping species' ranges in such settings. Several plant species, for instance, gained salt and heat tolerance through endophytes that had been separated from salty and geothermal habitats (Redman et al. 2002; Rodriguez et al. 2008). Both past drought patterns and current drought levels are likely to operate as environmental filters when we think about drought stress (Evans and Wallenstein 2012). Current endophyte communities may have emerged in reaction to previous moisture circumstances, but it is unknown how long-term drought stress influences the available species pool. If dispersal is the major controller of endophyte distributions, however, these organisms will be dispersed in a fashion that is unrelated to their function, as established by the spatial arrangement of sites. It may be possible to better anticipate the involvement of endophytes in plants under different environmental conditions if we understand the relative impact of environmental variables (species sorting) and spatial processes (neutral or mass effects) in endophyte community distributions. By learning more about endophytes' function in drought resistance, we could be better able to foresee how plants will react to drought in the future.

### 3.5 Effect of Climate Change on Fodder and Forage Availability and Livestock

The farming industry as a whole is heavily invested in animal domestication. It is not uncommon for there to be lone or several small farmers in each country of the region, each with between one and five ruminants. To put it another way, climate change has an immediate effect on the production of feed and livestock. The effects of climate change in the 1990s were disastrous across the world. Global surface temperatures increased by 0.6 °C over the twentieth century, and more rises are expected during the twentieth century. At now, the ability of ruminants to transform low-quality forages into nutritious human food is threatened by the global warming phenomenon. The cattle business is a major source of greenhouse gas emissions, including methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), and carbon dioxide (CO<sub>2</sub>). The International Panel on Climate Change (IPCC) estimates that ruminants in India, Pakistan, and Bangladesh release as much carbon dioxide as 950 metric tonnes worth of methane every year. More study is required because of the large gap between IPCC estimates and actual situations. There are more than 125 million buffalo in the surrounding area. It's possible that ruminants fed a diet high in roughage, although economically feasible, will emit more of the greenhouse gas methane than ruminants on diets more typical of the rest of the globe (Godde et al. 2021).

The amount of food production and the health of the global environment are both linked to the intensity with which agriculture is practised. Half of all farmable land is already in use, either for extensive livestock ranching or large-scale crop production. The sustainability of food production, aquatic ecosystems, and societal services will be severely tested by the predicted doubling of global food demand over the next 50 years. Most of the world's population lives on grasslands, which account for 40% of the planet's surface and are particularly vulnerable because of this. The ability of the world's grasslands to sustain human, plant, and animal life has diminished as a result of overgrazing. Grasslands are changing due to human activities such as agriculture, urbanisation, and industry. The warming effect of atmospheric

gas buildup over the coming century makes it evident that the world's resource allocation and consumption must alter. Most scientists agree that climate change is happening due to human actions like burning fossil fuels, clearing forests, and using chemical fertilisers, and that poorer nations will be hit harder by the effects of this shift.

Greenhouse gases, like CO<sub>2</sub>, methane, and nitrous oxide, that humans release into the atmosphere are a major cause of global warming. The higher prevalence of floods, droughts, cyclones, and heavy rains in recent times is evidence that the accumulation of gases is affecting the climate change globally. Ruminant animals are the most effective users of natural grassland and serve a variety of purposes in global agricultural systems. They provide as a source of food and revenue for both rural and urban dwellers, facilitate movement by providing transport and traction, and generate value-added commodities that can have a multiplicative influence on the economy and the demand for a wide range of services. Reports on the effects of global warming on agriculture indicate that the nations of the tropics and subtropics will be particularly hard hit. The development and maturity of plants, as well as the quality of their forage, can be affected by variations in environmental conditions from year to year, season to season, and location. Because of this, estimating the nutrient content of forages and the variety in how they will be used by ruminants is more difficult than it needs to be. Changes in chemical composition and senescence caused by environmental factors such temperature, moisture, sunlight, soil composition, and pathogens can reduce fodder quality and therefore, intake and digestion. Production and feeding of quality forages, which are impacted by climate and soil, are the main constraints on sustainable livestock production in the South Asian area. Despite the importance of studying the impact of environmental changes on fodder productivity and quality in the Asian area, relatively few research has been done on the topic. The elements that affect plant growth and quality are discussed in this work.

Reasons for the climate change are related to the environment. Cause of global warming. Methane (CH<sub>4</sub>), carbon dioxide (CO<sub>2</sub>), halocarbons, ozone, nitrous oxide (N<sub>2</sub>O), water vapour and aerosols are the most significant greenhouse gases. Human activity is the primary contributor to the steady increase of carbon dioxide in the atmosphere (Fig. 8).

Carbon dioxide levels are rising at a rate of roughly 0.3% each year, according to measurements taken throughout the world. They are expected to reach 600 parts per million by the end of the twenty-first century, from their current level of 370 parts per million (Houghton et al. 1990). Humans contribute at a rate of 1.9% year (Marland 1990; Watson et al. 1992), with most of the increase coming from wealthy countries. The United States and the United Kingdom are responsible for an estimated 18.9 and 8.9 tones, respectively, while India contributes a far more modest 1 tone. Carbon dioxide emissions at a worldwide level increased by 1.6 gigatons per year due to deforestation (Watson et al. 1990, 1992). In 1990, it was projected that Bangladesh produced 13.5–15.5 and 61.2 thousand Gg of carbon dioxide annually from the burning of fossil fuels and biomass, respectively (Ahmed et al. 1996; DOE 1997).

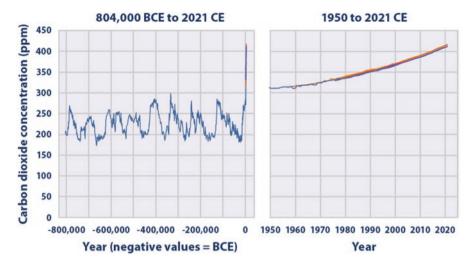


Fig. 8 Global atmospheric concentrations of carbon dioxide over time. (Source: US EPA 2022)

The death of all above-ground vegetation and the resulting shortage of forage can have a devastating effect on animal output. Due to slower stem development and a resultant leafier sward, digestibility is unaffected by or even improved by moderate moisture stress (Wilson 1983). This is crucial information for plants that need a constantly moist environment to thrive. Forage growth and productivity are more severely impacted by water stress than forage quality. Increases in nitrogen (N) content (Wilson and Ng 1975), minerals (Abdel Rahman et al. 1971), and soluble carbohydrates (SC) in forage have all been linked to water stress(Ford and Wilson 1981). Alfalfa output drops by 49% when water stress delays plant development, leading to a higher leaf-to-stem ratio (18%) and higher digestibility (8%). It also caused a 10% boost in CP in the stem and a 14% drop in the leaves (Halim et al. 1989). Forage grasses and legumes exhibited analogous tendencies. Where soil phosphorus levels are low, animal output may be constrained because phosphorus concentrations are often low in water-stressed feed (Abdel Rahman et al. 1971). Elevated levels of alkalinity, hydrocyanic acid, or tannins in forages might diminish their appeal (Hoveland and Monson 1980). Grass that has been sitting in the rain for too long or that grows in low-lying regions may have a high call wall content but low CP (Pate and Snyder 1979). Lower cell wall digestibility from increased lignifications is a common result of high growing temperatures, which has important implications for food quality (Ford et al. 1979). High temperatures have a more noticeable impact on grass quality than legume quality. Plants cultivated at low temperatures are more digestible than those produced at high temperatures, despite the fact that both had the same age at harvest (Fig. 3). A decrease in the N content and digestibility of grasses and tropical legumes may accompany the effect of drought on production and composition of forage legumes and grasses in tropical climates (Wilson and Mannetje 1978).

#### 3.5.1 Nutritional Factors

Fodder, horticultural, vegetable, forest, livestock, and fishery production are all impacted by climate change, as is the capacity to supply the world's ever-increasing food demand. Rapid climate change hinders ecosystems' and species' ability to adapt, speeding up biodiversity loss. Human security is threatened by climate change and the corresponding loss of biodiversity because of the potential for drastic shifts in the food chain on which we rely, the potential for water sources to change, recede, or disappear, and the potential for medicines and other resources to be affected. It may become more challenging for humans to get some resources if plant and flora populations decline or disappear. Climate change in the region has had a significant impact on a wide range of physical and biological systems, and there are signs to suggest it has also had an impact on social and economic structures. As a result of the summer monsoon circulation, India's climate and weather are dominated by the world's most significant seasonal mode of precipitation. Precipitation variability beyond this seasonal mode is primarily inter-annual and intra-seasonal, resulting in extremes in seasonal anomalies that cause widespread droughts and floods and short-period precipitation extremes that take the form of torrential downpours or protracted breaks on the synoptic scale. In addition, India's climate has cold waves throughout the north during the winter and hot waves in the bulk of the nation during the pre-monsoon season. As a significant natural catastrophe connected to climatic extremes, tropical cyclones are responsible for severe destruction and loss of life when they strike coastal areas with heavy rain, strong winds, and storm surges. Human activities are affected by these extremes, thus more attention is needed from all levels of society to combat this threat.

#### 3.5.2 Effect of Climate on Fodder

As a crop or plant, fodder has a high level of variety and the ability to withstand moderate effects of climate change. However, in any particular area, the predominating source of feed is the vegetation and animals that evolved there organically. However, there is a wide range in the development and production capacity of excellent green fodder due to the fact that different cultivable cereals fodder, roughes, legumes, trees, and perennial grasses have distinct climatic requirements. Green forage varied in composition and quality as the climate did. In addition, the same affected the health of animals and the quality of animal products.

#### 3.5.3 Effect of Climate on Livestock

Loss of grazing land, a shortage of forage because of slowing growth and lower green fodder yield (GFY), and lower milk, egg, and meat production are the most notable consequences of climate change for the livestock industry. There will be a drop in income and an increase in rural residents' need for food stamps and

unemployment as a result of all these factors. Weather and extreme events have direct effects on animal health, growth, and reproduction; (a) the availability and cost of livestock feed grains; (b) the production and quality of pastures and forage crops used in livestock production; (c) the distribution of livestock diseases and pests; and (d) the direct effects of weather on livestock. However, it is unclear how global climate change may affect animal productivity because most research has been conducted in industrialised nations and very little in Africa, Asia, and South America. Threats to the animal husbandry industry include habitat loss, altered environmental conditions, disease outbreaks, reproductive obstacles, and decreased productivity.

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