

# **Chapter 3 Application of the WROSE Model for Promoting Effective Decision-Making and Sustained Climate Change Stabilization in the South African Waste Sector**

**Cristina Trois, Yared Abera, Andrea Dell'Orto, Sameera Kissoon, and Adriana Gómez-Sanabria**

## **3.1 Introduction**

It is estimated that the waste sector in South Africa contributes with over 19 million tons of  $CO_{2eq}$  per year, or 4.1% of South Africa's total GHG emissions (DFFE [2017\)](#page-22-0). In particular, the waste sector accounts for  $36.5\%$  of the total methane (CH<sub>4</sub>) emissions in 2020. The majority of these emissions are from solid waste disposal contributing 79.2% and the remaining emissions come from wastewater. Since 2000, methane emissions from solid waste disposal have increased to 34.1%, and total GHG emissions have increased of almost 56.7% (2.7% year by year) in the past 17 years (2000–2017) (DFFE [2017](#page-22-0)). However, the waste and climate change nexus is not explicitly quantifed nor addressed in current policies at national and/or local level causing a potential retarding effect on the achievement of the Nationally Determined Contributions (NDCs) and sustainability goals. At national level, GHGs from the waste sector are quantifed using models and carbon emission factors developed in contexts that are not specifc to South Africa (DEA [2014\)](#page-21-0). There is a need to create a realistic inventory of GHG emissions from the waste sector and a comprehensive mitigation strategy for the African continent. In South Africa, the disposal of unsorted waste to landfll is still the primary waste management method across the country; however, legislative developments aim to drive integrated waste management and the circular economy, putting the disposal of waste to landfll as the least favorable waste management solution (DFFE [2018](#page-22-1)). Arguably, with almost 80% of the municipal solid waste ending up in landfll sites unsorted and untreated,

C. Trois ( $\boxtimes$ ) · Y. Abera · A. Dell'Orto · S. Kissoon · A. Gómez-Sanabria SARChI Chair in Waste and Climate Change, School of Engineering, Howard College Campus, University of KwaZulu-Natal, Durban, South Africa e-mail: [Troisc@ukzn.ac.za](mailto:Troisc@ukzn.ac.za)

<sup>©</sup> Springer Nature Switzerland AG 2023 39

H. El Bari, C. Trois (eds.), *Waste Management in Developing Countries*, Waste as a Resource, [https://doi.org/10.1007/978-3-031-28001-6\\_3](https://doi.org/10.1007/978-3-031-28001-6_3#DOI)

the only reasonable "activator" of the circular economy would be an integrated waste management system, which is underpinned by an efficient separated collection at the source, followed by carefully selected and strategically localized waste treatment strategies, decentralized recycling facilities, and sustainable end-of-life disposal options. There is a need to correctly quantify the GHG emissions from the waste sector, to strengthen government's capacity in GHG monitoring and reporting, and to develop waste management strategies that, if regionally applied and correctly localized, can contribute towards the systematic reduction of GHG emissions, waste diversion, and can be a quantifable contribution to South Africa's Nationally Determined Contributions and climate change mitigation targets (DEA [2014\)](#page-21-0).

In Africa, local authorities have generally limited know-how in evaluating technology options, operate with limited resources, lack capacity and data, and function under complex institutional and social contexts which in turn increase the risk of failed inappropriate technologies and out of context installations (WMO [2018\)](#page-23-0). Moreover, implementation of waste strategies is based primarily on technical and economic considerations, while environmental and socioeconomic considerations are generally subordinate to the former. In recent years, through the development of waste management legislation as well as the requirements for landfll development, local municipalities are forced to explore alternative methods of waste management (Kissoon and Trois [2022\)](#page-22-2). The introduction of the waste hierarchy in South Africa as well as the National Waste Management Strategy puts the disposal of waste to landfll as an end-of-life solution (DEA [2008\)](#page-21-1). This gives rise to the need for implementing alternative strategies such as recycling, recovery of biogenic waste, and the reuse of waste as a resource. However, local municipalities lack the required human capital and fnancial resources to implement such new systems. Up to 40% of the South African population receives little or no waste services (DEA [2008\)](#page-21-1). Even though at national level there have been assessments to quantify GHG from waste, there is not a national standardized methodology specifc to the South African context.

The Waste Resource Optimization Scenario Evaluation (WROSE) model/tool aims at bridging this gap as it is a waste diversion and carbon emissions reduction model that was developed by the SARChI Chair Waste and Climate Change at UKZN since 2010 (Trois and Jagath [2011](#page-23-1)) to assist municipalities and the private sector to evaluate different waste management strategies and making the best and most sustainable decision from an environmental, technical/economic, social, and institutional viewpoint.

This chapter presents the preliminary results of a study on the assessment of GHG emissions and alternative waste diversion pathways from the eight South African metropolitan municipalities using the WROSE model with the aim to develop a comprehensive waste reduction and climate change stabilization strategy for the South African waste sector. The study is intended to provide data and information to municipal waste managers about potential alternatives to landfll disposal, using their carbon footprint and potential for GHG reduction as discriminants for their choice. The chapter reports on socioeconomic drivers, waste generation, and composition data for the eight metros but details the application of the WROSE model only for the eThekwini Municipality (as representative of the other seven metros) and focuses exclusively on commercial and residential (post-consumer)

41

municipal solid waste (MSW) as collected and disposed in urban areas in South Africa. The main aim of this project is to assess the potential annual carbon emissions reductions from optimized waste management strategies and from public sanitary engineered landflls in South Africa's eight metros and to identify feasible mitigation pathways to achieve those reductions.

The WROSE model (Kissoon and Trois [2019](#page-22-3); Trois and Jagath [2011\)](#page-23-1) was developed to assist municipal offcials in the decision-making process for the implementation of appropriate waste management strategies. The model was developed in two phases: phase 1 included a scenario analysis based on environmental and economic indicators, whereas phase 2 focused on the socioeconomic and institutional aspects of the model. The WROSE model was developed in conjunction with the private sector for municipal officials looking to implement alternative waste management strategies through activating public-private partnerships. The model uses country-specifc data and emission factors making it relevant to developing countries, and it covers a range of waste management technology options such as landflling, landflling with gas extraction and faring, landflling with gas recovery and electricity generation or gas upgrade, recycling, thermal treatment and incineration, anaerobic digestion, and composting. In addition, the WROSE model covers basic capital and operating cost of the waste management activities listed above. The WROSE model provides information such as GHG emission reduction potential, waste diversion rates, and landfill airspace savings realized both in terms of  $m<sup>3</sup>$  of airspace and in terms of the monetary value of prolonging the life of a landfll site or selling the recyclables. The model provides a detailed account of associated capital and operational costs/revenues, job creation potential and associated health risks, and the institutional framework (including possible "red tape") pertaining to the implementation of the assessed technology options. WROSE has been set up with IPCC emissions factors and follows standard methods for carbon emissions evaluation that are based on a frst-order decay model (IPCC [2006\)](#page-22-4). Therefore, the WROSE methodology is a reliable alternative to similar waste and carbon emission models used internationally such as WARM, WRATE, EASETECH, or GAINS (Ghinea and Gavrilescu [2010](#page-22-5)). However, since it has been developed and tested with a large number of Southern African municipalities and case studies over the past 10 years, specifc emission factors have been developed and tested for a number of waste technology options for South Africa, and current research by the SARCHI Chair Waste and Climate Change is directed to test the reliability and compare these local emission factors against the results obtained using the standard approved IPCC emission factors (Friedrich and Trois [2013a](#page-22-6), [b](#page-22-7)).

#### **3.2 Waste Management in South Africa**

As a result of increased waste output brought on by fast urbanization, population growth, and economic development, South African municipalities are under pressure to provide high quality services and manage landflls (CSIR [2020](#page-21-2)). The proportion of households from which waste is removed at least once per week climbed from  $58.8\%$  in 2019 to  $62.9\%$  in 2021 (STATS-SA [2021a](#page-22-8)). According to the State of the Waste report (Department of the Environment and Energy [2018\)](#page-21-3), out of the estimated 55.6 million tons of general waste produced in 2017, 0.2% was stockpiled, 34.5% was recycled or recovered, 0.1% was processed, and 65.2% was disposed in landflls. According to the State of the Waste Report Department of the Environment and Energy ([2018\)](#page-21-3), based on a representative sample of municipalities from each of its nine provinces, South Africa recycled 38.6% of its estimated 54.2 million tons (Mt) of general waste generated in 2017 – a sum of municipal (4.8 Mt), commercial and industrial (3.5 Mt), organic (30.5 Mt), construction and demolition (4.5 Mt), metals (4 Mt), glass (2.5 Mt), paper (2.2 Mt), plastic (1.1 Mt), tyres (0.24 Mt), and other (0.73 Mt) wastes. 38.3% of generated waste in 2017 was recovered and/or recycled, while 61.77% was landflled or treated.

The generation of waste in South Africa is affected by numerous drivers, such as population  $-$  size, growth, and density; economy  $-$  manufacturing and industry, higher incomes, and affuence; urbanization; and globalization of the recycling market. South Africa is classifed as an 'upper-middle income' country. Waste management challenges include lack of law enforcement (UNEP [2018](#page-23-2)), weak governance, low public awareness and negative attitudes, insufficient financial provision, and service backlog to address issues faced by communities (Trois and Simelane [2010\)](#page-23-3).

The NWMS was developed to achieve the objectives set out in the Waste Act (SAWIC [2016](#page-22-9)). One such objective is the application of the waste hierarchy as set out by the waste act that promotes waste minimization, reuse, recycling, waste treatment, and the disposal of waste to landfll as an end-of-life method for waste management (SAWIC [2016\)](#page-22-9). The National Waste Management Strategy 2020 determines three strategic pillars to improve the waste management in the country. The frst pillar is waste minimization with a 5-year target of 40%, 10-year target of 55% reduction, and 15-year target of 70% reduction of waste disposed in landflls with the aim to reach in the long term "Zero waste going to Landfll." The second pillar is effective and sustainable waste services with the aim to deliver sustainable waste services to all South Africans, and the third pillar is to ensure compliance, enforcement, and awareness. South African provinces and municipalities (1) have to develop integrated waste management plans (IWMP) that integrate and optimize waste management services that support the achievement of national objectives. Figure [3.1,](#page-4-0) extracted from the South African Waste information system, presents the evolution of disposed waste tonnages in the eight metros from 2015 to 2021.

#### **3.3 Waste Management Models and Decision-Support Tools**

Waste management models are typically intended to assist decision-makers in developing integrated programs for implementing solid waste management alternatives. The majority of these decision support models are based on various methods such as cost-beneft analysis, life cycle assessment (LCA), environmental risk

<span id="page-4-0"></span>

**Fig. 3.1** Waste disposal data for the eight Metropolitan areas. (Source: SAWIC, [2021\)](#page-22-10)

assessment, multi-criteria decision-making, and environmental impact assessment (Ghinea and Gavrilescu [2010](#page-22-5)). Several factors, including the quantity and composition of waste, socioeconomic, technological, topographical, and other variables, infuence the effciency or sustainability of waste management (Stevanović-Čarapina et al. [2019](#page-22-11)). Appropriately selecting waste processing technologies and effcient waste management strategies provide opportunities to maximize net energy production, reduce costs, increase waste diversion from landflls, reduce GHG emissions, and minimize other environmental impacts through energy and materials recovery (Levis et al. [2013\)](#page-22-12).

Tables [3.1](#page-5-0) and [3.2](#page-6-0) compare the major features of LCA-based waste management tools most relevant to this study, including country of origin, methodological approach, database, waste stream, waste material categories, waste management process and technologies, indicators assessment, and source of references.

## *3.3.1 The Waste to Resource Optimization and Scenario Evaluation Model (WROSE)*

The WROSE model is a zero-waste model developed in South Africa by UKZN in 2010 (Dell'Orto and Trois [2022](#page-21-4); Kissoon [2018;](#page-22-13) Trois and Jagath [2011](#page-23-1)). The input data to the model is waste generation rate and waste composition (Table [3.2](#page-6-0)). A number of scenarios are embedded in the WROSE model, ranging from baseline (business as usual) to more complex optimized solutions (Fig. [3.2\)](#page-9-0).

|                 | Country or                 |   |  |   |
|-----------------|----------------------------|---|--|---|
|                 | region                     | Methodological  |  |   |
| Models          | modeled                    | approach  | Database   | References  |
| <b>EASETECH</b> | Denmark/<br>Europe         | Based on the LCA<br>and the impact<br>categories of the<br><b>LCIA</b> methods<br>EDIP97, EDIP2003,<br>CML, USEtox, and<br><b>IPCC 2007</b> | Catalogues and process<br>libraries are included in the<br>database, such as material<br>fraction, interface,<br>constants and parameters,<br>elementary exchanges,<br>LICA (impact categories<br>and methods such<br>asEDIP97, EDIP2003,<br>CML, USEtox and IPCC<br>2007) and material<br>properties. | Zhao et al.<br>$(2015)$ ,<br>Clavreul et al.<br>$(2014)$ , Lodato<br>et al. (2020,<br>2021) and Shah<br>and Sattler<br>(2020) |
| <b>WRATE</b>    | UK/<br>Europe              | Based on LCA in<br>conjunction with ISO<br>standards  | Default waste stream<br>categories, waste<br>composition, waste<br>property, impact assessment<br>methods and electricity<br>energy mix  | <b>WRATE (2014)</b><br>and Shah and<br>Sattler (2020)   |
| <b>GAINS</b>    | Austria/<br>Europe         | Based on LCA  | Energy database (electricity<br>and district heat generation,<br>energy use for primary fuel<br>production, final energy<br>use), activity data, control<br>strategies, cost data and<br>regional parameters   | Amann et al.<br>$(2011)$ and<br><b>IIASA</b> (2021)   |
| WARM            | <b>USA</b>                 | Based on the LCA<br>and the impact<br>categories of the<br>LCIA method (IPCC<br>2006)   | Material properties, energy<br>units, labor hours, wages,<br>taxes and GHG emission<br>factors   | <b>U.S.EPA</b><br>$(2016)$ and<br>Shah and<br>Sattler (2020)  |
| <b>WROSE</b>    | South<br>Africa/<br>Africa | Based on life cycle<br>assessment and<br>multi-criteria<br>decision analysis<br>(MCA) methods   | Emission factors, economic<br>data, social and institutional<br>data for South Africa  | Kissoon $(2018)$ ,<br>Friedrich and<br>Trois $(2013a, b)$<br>and Trois and<br>Jagath $(2011)$                                 |

<span id="page-5-0"></span>**Table 3.1** Comparison of the waste management tools in terms of country of origin, methodological approach, database, and source of References. (Author: (Abera, [2022a\)](#page-21-5))

Author: Abera (2022)

# **3.4 Methodology**

# *3.4.1 Data Collection and Analysis: Waste Statistics and Socioeconomic Drivers*

This study comprised of four different components in assessing potential zero waste strategies: a waste stream analysis to determine the waste composition and generation rates, a carbon emission/reduction assessment, a landfll airspace, and a waste

<span id="page-6-0"></span>

(continued)

45



46



Author: Abera (2022) Author: Abera (2022)

<span id="page-9-0"></span>

**Fig. 3.2** WROSE scenarios schematic. (Dell'Orto and Trois [2022](#page-21-4); Trois and Jagath [2011\)](#page-23-1)

diversion rate assessment. Firstly, integrated waste management plans (IWMPs) were analyzed for each of the eight metros. Various datasets have been collected to estimate waste generation and disposal in South Africa's metropolitan municipalities. Reviewing the metro and province's integrated waste management plans (IWMP) and Integrated Development Plans (IDP), the South African waste information system, and different waste reports and published articles for South Africa was the initial step in the waste data collection process. Several data were collected, including population, population growth, income level, GDP, amount of garbage deposited into landflls, waste composition, waste collection rate, and the geographic location of landfll sites. The data analysis highlighted inconsistencies in the way waste categories are determined across the eight metros. To standardize the data forecasting for the study, specifc waste streams were selected based on available literature as follows: mixed MSW, food waste, garden refuse, mixed paper, glass, mixed metals, LDPE, HDPE, PET, and others. Gaps in the available waste data and inconsistencies on how waste data is collected and reported in the IWMPs compounded with outdated waste characterizations for certain municipalities made it diffcult to predict current waste generation trends.

#### *3.4.2 Waste Generation*

The total amount of waste generated by South African metropolitan municipalities is not precisely reported for all municipalities. Hence, quantity of waste disposed, collection rate, population, and income level have been utilized to estimate the waste generation. Equation [3.1](#page-10-0) calculates the total waste generation for the municipalities for which waste disposal and diversion data is available:

$$
W_G = \frac{(W_{DL} + W_D)}{W_C}
$$
\n(3.1)

<span id="page-10-0"></span>Where:

 $W_G$  is the waste generation (tons/year)  $W_{DL}$  is the waste quantity disposed to landfills (tons/year)  $W<sub>D</sub>$  is the waste quantity diverted from landfills (tons/year)  $W_c$  is the waste collection rate (%)

Equation [3.2](#page-10-1) calculates the total amount of waste generated in municipalities where waste disposal and diversion rates are unavailable.

$$
W_G = \sum (W_{gX} * P_X * 365 * 10^3, \text{ for } X = 1, 2, 3...N)
$$
\n(3.2)

<span id="page-10-1"></span>Where:

 $W_G$  is the total waste generation (tons/year)  $W_{\varrho X}$  is the waste generation per capita (Kg/day)  $P<sub>x</sub>$  is the population for each income level categories *X* is the income level type

Due to the lack of information regarding the waste diversion rate, it is presumed that all collected waste is sent to landflls. Equation [3.3](#page-11-0) calculates the total waste disposal quantity.

$$
W_{DI} = W_G * C_R \tag{3.3}
$$

<span id="page-11-0"></span>Where:

 $W_{DI}$  is the total Waste disposal quantity (Tonnes/Year)  $W_G$  is the Total waste generation (Tonnes/Year)  $C_R$  is the Waste collection rate (percentage)

#### *3.4.3 Carbon Emissions/Reduction Assessment*

Using the waste fractions calculated above, the carbon emissions production or reduction potentials were calculated in  $MTCO<sub>2</sub>$  eq using emission factors from the IPCC ([2006\)](#page-22-4) as quoted in U.S.EPA ([2016\)](#page-23-6). The tier 1 approach was adopted, as this is the methodology for countries where national data and statistics are not available. The emissions factor for the biological treatment of biogenic MSW as listed by the guidelines is 1 g  $CH<sub>4</sub>/kg$  of wet waste. Nitrous oxide emissions are assumed to be negligible, and an assumed 95% of methane is recovered for energy generation. GHG impacts are considered from the point at which the waste is discarded by the waste generator, to the point at which it is disposed, treated, or recycled into new products (U.S.EPA [2016](#page-23-6)). The emissions factors for the anaerobic digestion of biogenic MSW were developed using the same streamlined LCA approach as per the IPCC ([2006\)](#page-22-4) as detailed in Trois and Jagath [\(2011](#page-23-1)).

The equation below was used to determine the methane emissions or emission reduction potential in MTCO<sub>2</sub>eq for all municipalities:

Waste quantity in tons × emission factor = MTCO2eq 
$$
(3.4)
$$

The emissions produced/reduced were calculated for a 50-year period for each of the defned scenarios selected, using the appropriate emission factors.

#### *3.4.4 Landfll Space Saving and Waste Diversion Rate*

The estimation of landfll space savings from waste diversion is largely an empirical calculation, as the unique conditions and operational activities on site, specifcally compaction of waste into landfll cells, infuence the actual airspace saved. Actual landfll space savings (LSS) depend on the degree of compaction employed and the effciency to which it is conducted.

$$
LSS = \frac{t w}{C_{\text{ave}}}
$$
 (3.5)

where  $LSS =$  total landfill space savings,  $tw =$  total waste in tons, Cave = average compacted of MSW. The value for the compacted density of MSW was assumed to be  $1200 \text{ kg/m}^3 \ (1.2 \text{ tons/m}^3).$ 

The waste diversion rate refers to the total quantity of waste that is diverted from the landfll.

WDR = 
$$
\frac{\text{total quantity of waste directed}(\text{tons})}{\text{total quantity of waste entering waste stream}(\text{tons})}
$$
(3.6)

#### **3.5 Case Study: South African Metropolitan Municipalities**

There are eight metropolitan areas in South Africa as detailed in Fig. [3.3](#page-13-0) (Abera, [2022b\)](#page-21-8). This chapter presents a closer look at the eThekwini Municipality as one of the most populous municipalities in the country.

The total national population in 2020 is estimated around 60 million (Statistics South Africa [2021\)](#page-22-18). 57% of the population is concentrated in three provinces, of which 26% of the population resides in Gauteng, 19% in KwaZulu-Natal, and 12% in Western Cape. The remaining 43% is distributed in the rest of the provinces, having Northern Cape the lowest population (2% of the total national). National urbanization rate is estimated at 67% (UNDESA [2019](#page-23-7)). Gauteng is the most urbanized province (99%), followed by Western Cape (87%). KwaZulu Natal, North West, and Free State have an urbanization rate of 60% (Kamalie [2017\)](#page-22-19). Limpopo is the less urbanized province with 20% of the population living in urban areas. Future estimates suggest that urbanization in South Africa will reach 79.8% by 2050 (UNDESA [2019\)](#page-23-7). At a metropolitan level, Johannesburg is the most populated metro with 5,874,882 people, followed by Cape Town and eThekwini (Stats-SA [2021\)](#page-22-18). Figures [3.4](#page-13-1) and [3.5](#page-14-0) show the MSW generation and composition in the metropolitan areas, respectively.

Figure [3.4](#page-13-1) illustrates that MSW generation in the metropolitan areas is expected to grow to 9671 kt by 2050, which is 42% higher than the current amount. The

<span id="page-13-0"></span>

**Fig. 3.3** Map of South Africa provinces and metropolitan municipalities. (Abera, [2022b\)](#page-21-8)

<span id="page-13-1"></span>

#### Total MSW in metropolitan municipalities

**Fig. 3.4** Total MSW generation in metropolitan areas. (Source: IIASA [2022\)](#page-22-20)

estimates in Fig. [3.5](#page-14-0) suggest that the average estimated MSW composition of the metropolitan municipalities in 2020 is 42% food, 14% plastic, 13% paper, 6% glass, 3% metal, and 22% other waste (including textile, wood, diapers, some e-waste, among others). By 2050, shares are expected to be the same; however, as total MSW is increasing over time, it is likely that food waste will increase by 39% and other fractions between 42% and 45% compared to current levels.

<span id="page-14-0"></span>

Metropolitan municipalities - MSW composition

**Fig. 3.5** MSW composition metropolitan areas. (Source: IIASA [2022\)](#page-22-20)

# *3.5.1 Focus on the eThekwini Municipality – KwaZulu-Natal Province*

The eThekwini municipality is located on the KwaZulu-Natal Province's southern, eastern coastline, with an approximate area of 2297 km2 . eThekwini has an approximate population of 3,158,000 million, consisting of 45% rural, 30% peri-urban, and 25% urban areas. eThekwini Municipality currently has two active general waste (MSW) landfll sites (i.e., Illovu and Buffelsdraai landfll sites) as well as two closed facilities (Bisasar Rd. and Marianhill landflls) that accept construction and demolition waste and garden refuse. The Buffelsdraai Landfll was commissioned in 2006. It has an estimated lifespan of 60 years. The landfll is surrounded by sugarcane farms and low-income housing (eThekwini [2016](#page-22-21)). The landfll covers 100 hectares of land and has a total capacity of  $45 \text{ m}^3$ . The Illovu landfill site is located south of Durban. The landfll is surrounded by sugarcane plantations and has an estimated lifespan of approximately 18 years. The landfll covers around 52 hectares of land.

In addition to the seven transfer stations within the municipality, there are a further fourteen garden waste transfer stations (DSW [2016\)](#page-22-22). The transfer sites are open for public use, and some of the sites double as drop off centers for other recycling material (DSW [2016\)](#page-22-22). The municipality also has two additional garden refuse landfll sites, Wyebank and Shallcross (DSW [2016](#page-22-22)). According to the Cleansing and Solid Waste Unit (CSW), the garden landfll site Wyebank reached capacity in 2016.

In the eThekwini Municipality, collection of waste is done by either DSW (Durban Solid Waste) or CBCs (Community Based Contractors) for collection of household waste at low-income and high-density settlements. The integrated waste management plan of the eThekwini Municipality (eThekwini [2016\)](#page-22-21) shows that DSW provides households with a once per week waste collection service. Waste is collected from households, commercial areas, and industrial areas. Household

| Features   | <b>Bisasar Rd</b>   | Mariannhill   | <b>Buffelsdraai</b>                                      | <b>Illovu</b>  |
|--|---|---|--|--|
| <b>Status</b>  | Closed (2015)   | Closed (2019)   | Open   | Open   |
| Years to closure   | $\Omega$  | $\Omega$  | $50+$  | $50+$  |
| Type of waste<br>accepted                                    | Since 2015 accepts<br>only garden refuse,<br>sand, C&D waste  | Since 2019 accepts<br>only garden refuse,<br>sand, and C&D<br>waste   | <b>MSW</b><br>Garden refuse<br>C&D waste                 | <b>MSW</b><br>Garden refuse<br>C&D waste                 |
| Type of facility/<br>baseline scenario                       | Sanitary landfill<br>with gas recovery<br>and LFGTE facility<br>for the generation of<br>electricity (6 MW) | Sanitary landfill with<br>gas recovery and<br>LFGTE facility for<br>the generation of<br>electricity (1 MW) | Sanitary landfill<br>with gas<br>recovery and<br>flaring | Sanitary<br>landfill with<br>gas recovery<br>and flaring |
| Average received<br>waste (t/day)                            | 1000  | 300   | 2135   | 770  |
| Area $(m2)$ for<br>landfilling                               | $\Omega$  | $\theta$  | 25,020   | $\Omega$   |
| Design airspace<br>availability $(m^3)$                      | 25,000,000  | 4,400,000   | 43,026,691   | 9,660,000  |
| Approximate<br>remaining<br>airspace<br>availability $(m^3)$ | 330,000   | 102,500   | 40,185,392   | 8,786,615  |
| Remaining<br>landfill years                                  | 0.9   | 0.9   | 52   | 31.7   |
| Remaining<br>design life (year)                              | $\mathbf{1}$  | $\mathbf{1}$  | 52   | 32   |
| Rehabilitated<br>areas $(m2)$                                | 360,000   | 193,000   | 232,350  | 8500   |

<span id="page-15-0"></span>Table 3.3 Features of major sanitary landfill facilities in the eThekwini Municipality

waste is collected in DSW supplied black plastic bags, placed on kerbs on the required collection day. The use of orange and clear plastic bags is adopted for certain recyclable waste. In commercial and industrial areas, waste is collected either by CSW or by private waste collection companies. Table [3.3](#page-15-0) presents the main facilities present in the eThekwini Municipalities and indicate the baseline scenarios adopted in the WROSE simulations.

The eThekwini metropolitan municipality shows an increasing trend of MSW per capita throughout the study period. MSW per capita is assessed at 196 kt/cap/ year (0.54 kg/cap/day) in 2000, showing an increase of 12% in 2020 compared to 2000. By 2050, per capita MSW rate is expected to be 239 kg/cap/year (0.65 kg/cap/ day) (Fig. [3.6\)](#page-16-0). In Fig. [3.6](#page-16-0), it can be observed that MSW per capita grew relatively faster than GDP per capita between 2006 and 2018. Projections show that after 2025, GDP per capita is expected to grow at faster pace compared to MSW per capita.

Figure [3.7](#page-16-1) presents the total MSW generation in eThekwini. The total MSW generation in 2000 is assessed at 593 kt per year. In 2020, it is estimated that the MSW generation reached 873 kt which represents an increase of 46% compared to

<span id="page-16-0"></span>

**Fig. 3.6** (**a**) MSW per capita and (**b**) MSW per capita and GDP capita index 2000. (Source: IIASA [2022\)](#page-22-20)

<span id="page-16-1"></span>

**Fig. 3.7** Total MSW generation eThekwini. (Source: IIASA [2022](#page-22-20))

2000. By 2050, it is estimated that MSW quantities will rise up to around 1193 kt. The annual growth rate between 2020 and 2050 is assessed at 1.05%.

Figure [3.8](#page-17-0) shows the MSW composition in the eThekwini metropolitan area.

Figure [3.8](#page-17-0) shows that food waste is the biggest fraction of the MSW stream, with 57% back to 2000 and estimated to be around 53% towards 2050 or 637 kt per year. Paper, plastic, and other mixed waste made up 34% of the MSW in 2000 and 36% in 2020. By 2050, it is estimated that these fractions will make up 37% of the total MSW generated, of which 10% is plastic, paper 14%, and mixed waste 13%. Figure [3.9](#page-17-1) presents the total waste entering eThekwini landflls since 2001.

Figure [3.9](#page-17-1) shows that prior to the commissioning of the Lovu landfll site and the Buffelsdraai landfll, that majority of the waste went to the Bisasar Road landfll site. When Bisasar reached the fnal stages of capacity, the amount of waste per annum reduced.

<span id="page-17-0"></span>

**Fig. 3.8** MSW composition in eThekwini. (Source: IIASA [2022\)](#page-22-20)

<span id="page-17-1"></span>

**Fig. 3.9** Total waste entering eThekwini landflls in the past 19 years. (UKZN [2022](#page-23-8))

### **3.6 Results and Discussions**

From Fig. [3.2](#page-9-0), the scenarios chosen for the eThekwini Municipality simulations in WROSE are as follows:

- Scenario 2B (BAU baseline)
- Scenario 4B Anaerobic digestion
- Scenario 5 Aerobic composting

The simulation was run for Buffelsdraai and Lovu only, due to the other existing landflls having reached maximum capacity. The analysis was run using a projection until 2081, for both landfll sites. Although the Lovu landfll site has a lower life expectancy than the Buffelsdraai landfll site, the projection until 2081 is justifed as

<span id="page-18-0"></span>

**Fig. 3.10** GHG emissions reductions for the Buffelsdraai landfll

<span id="page-18-1"></span>

**Fig. 3.11** GHG emissions reductions for Illovu landfll

adopting a sustainable waste management strategy will help divert waste and preserve the life expectancy. The carbon emissions/reductions for each landfll and their respective scenarios are shown and discussed below.

Figures [3.10](#page-18-0) and [3.11](#page-18-1) display the estimated future GHG reductions for each of the scenarios selected.

From Figs. [3.10](#page-18-0) and [3.11](#page-18-1), it is evident that scenario 5 (landfll gas recovery, recycling, and composting) is the least favorable waste management strategy as it

<span id="page-19-0"></span>

LSS for Buffelsdraai

**Fig. 3.12** Landfll Airspace Savings (LSS) for the Buffelsdraai Landfll

LSS for Illovu

<span id="page-19-1"></span>

**Fig. 3.13** LSS for the Illovu landfll

reduces the least amount of carbon emissions, while scenario 4B (landfll gas recovery, recycling, and anaerobic digestion) produces the most favorable results as it reduces the most amount of carbon emissions.

The landfll space savings are summarized below for the respective landflls and scenarios in Figs. [3.12](#page-19-0) and [3.13.](#page-19-1)

From Fig. [3.12](#page-19-0), scenario 2B offers no landfll space savings as no waste is diverted. Scenario 5 offers the highest LSS over the projection period due to waste

|                       | Scenario 2B | Scenario 4B | Scenario 5 |
|-----------------------|-------------|-------------|------------|
| Buffelsdraai landfill |             | 39.85%      | 57.85%     |
| Lovu landfill         | NA          | 39.85%      | 57.85%     |

<span id="page-20-0"></span>**Table 3.4** Waste diversion rates

<span id="page-20-1"></span>**Table 3.5** Waste diversion rates for food waste, biogenic waste, and garden refuse streams only

|                       | Scenario 2B | Scenario 4B | Scenario 5 |
|-----------------------|-------------|-------------|------------|
| Buffelsdraai landfill |             | 28.22       | 46.22      |
| Lovu landfill         | NA          | 28.22       | 46.22      |

streams like garden refuse and biogenic food waste being diverted. On average, scenario 5 saves up to 45% more landfll space than scenario 4B. This makes scenario 5 the most viable option in terms of promoting longevity to landflls. Figure [3.13](#page-19-1) presents the projected landfll airspace savings for the Illovu landfll.

From Fig. [3.13,](#page-19-1) it is evident that scenario 5 is again the preferred scenario as it produces the most landfll airspace saved during the projection. The waste diversion rates (%) are summarized in Tables [3.4](#page-20-0) and [3.5](#page-20-1) for both landfills.

Both landflls have the same diversion rates for scenarios 4B and 5 as the same waste composition ratio was used for the simulations. From Tables [3.4](#page-20-0) and [3.5](#page-20-1), it is evident that scenario 5 offers the highest diversion rate as it diverts recyclables, biogenic food waste, as well as garden refuse.

#### **3.7 Conclusions and Recommendations**

The aims of this study were to fnd the most appropriate waste management scenario, which can be adopted by South African municipalities to reduce future GHG emissions while achieving a high waste diversion rate as well as determine how to optimize the conversion of biogenic food waste to a resource and thus improving environmental sustainability. The GHG emission/reduction results, simulated by the WROSE model, showed that scenario 4B (land fll gas recovery with electricity generation, recycling, and anaerobic digestion) was the most appropriate scenario as it provided the greatest GHG emission reductions for both landflls. The landfll space savings simulated by the WROSE model showed that scenario 5 (landfll gas recovery with electricity generation, recycling, and composting) offered the highest landfll space savings as well as the best diversion rates. Scenario 5 offered the highest waste diversion rates and landfll space savings. The main limitations of the study are related to the absence of a standardize outlining and reporting of MSW and the lack of available and reliable data. This limitation combined with the lack of available and reliable data sources forces the adoption of approaches to construct MSW datasets at metropolitan municipality level that somehow refect past and

current MSW generation and composition. As projections build on current MSW information, the assumptions will increase the uncertainty of the resulting future estimates. It is also important to note that the backcast and projections of waste generation and composition are just indicative as they build on only GDP per capita and do not consider any cultural traditions or latest technological developments that can infuence the composition of MSW in the future.

**Acknowledgments** The SARCHI Chair Waste and Climate Change at UKZN is supported by the National Research Foundation, the Department of Science and Innovation, and the CSIR of South Africa. We thank the NRF, SALGA, Durban Solid Waste, eThekwini Municipality, RDI Waste Roadmap, and CSIR for providing data and support.

Support for this study was received from the Waste RDI Roadmap Grant #51. "A comprehensive model for promoting effective decision-making and sustained climate change stabilization for South Africa" and from the World Bank Group for the ToR World Bank Group-UKZN on "South Africa: Assessment of Emissions Reduction Potential from Organic Municipal Solid Waste and Possible Mitigation Pathways."

The authors would like to thank Zbigniew Klimont from IIASA, Eric Dickson, Joshua Palfreman, and Sarah Moyer from the World Bank Group and to Aiden Bowers (World Bank) for the guidance and assistance with information on South African Municipalities from the study "Solid Waste Management Businesses in South Africa's Metros – A Diagnostic," prepared for the Cities Support Program of the World Bank in 2022.

#### **References**

- <span id="page-21-5"></span>Y. Abera, *Map of South Africa provinces and metropolitan municipalities [Map]. 1:100,000. WGS 1984. Created using ArcGIS software* (2022a)
- <span id="page-21-8"></span>Y. Abera, *Integration of the Four Levels of Sustainability in the Framework of WROSE Model Focus on South African Municipalities (Unpublished doctoral dissertation)*. PhD, University of KwaZulu-Natal, Durban, South Africa (2022b)
- <span id="page-21-7"></span>M. Amann, I. Bertok, J. Borken-Kleefeld, J. Cofala, C. Heyes, L. Höglund-Isaksson, Z. Klimont, B. Nguyen, M. Posch, P. Rafaj, Cost-effective control of air quality and greenhouse gases in Europe: Modeling and policy applications. Environmental Modelling & Software, **26**, 1489–1501 (2011)
- R. Couth, C. Trois, Carbon emissions reduction strategies in Africa from improved waste management: A review. Waste Manag. **30**, 2336–2346 (2010)
- R. Couth, C. Trois, Waste management activities and carbon emissions in Africa. Waste Manag. (Elsevier Ltd) **31**(1), 131–137 (2011)
- <span id="page-21-6"></span>J. Clavreul, H. Baumeister, T.H. Christensen, A. Damgaard, An environmental assessment system for environmental technologies. Environmental Modelling & Software, **60**, 18–30 (2014)
- <span id="page-21-2"></span>CSIR, *Annual Report 2020/21* (CSIR, 2020)
- <span id="page-21-1"></span>DEA, Republic of South Africa, National Environmental Management: Waste Act [Online] (2008). Available [https://www.dffe.gov.za/sites/default/fles/legislations/nema\\_amendment\\_act59\\_0.](https://www.dffe.gov.za/sites/default/files/legislations/nema_amendment_act59_0.pdf) [pdf](https://www.dffe.gov.za/sites/default/files/legislations/nema_amendment_act59_0.pdf). Accessed 18 Nov 2022
- <span id="page-21-4"></span>A. Dell'orto, C. Trois, Considerations on bio-hydrogen production from organic waste in south African municipalities: A review. S. Afr. J. Sci. **118**, 1–8 (2022)
- <span id="page-21-0"></span>DEA, South Africa's Greenhouse Gas (GHG) Mitigation Potential Analysis. Pretoria, Department of Environmental Affairs (2014)
- <span id="page-21-3"></span>Department of the Environment And Energy, National Waste Report 2018 [Online] (2018). Available <https://www.dcceew.gov.au/sites/default/files/documents/national-waste-report-2018.pdf>. Accessed 18 Nov 2022
- <span id="page-22-0"></span>DFFE, The 7th National GHG Inventory Report, Department of Forestry, Fisheries and the Environment South Africa (DFFE, 2017)
- <span id="page-22-1"></span>DFFE, *Department of Environmental Affairs Annual Report 2018/19* (DFFE 2018)
- <span id="page-22-22"></span>DSW, DSW annual report (2016)
- <span id="page-22-21"></span>Ethekwini, eThekwini Municipality Integrated Waste Management Plan 2016–2021 (2016)
- <span id="page-22-6"></span>E. Friedrich, C. Trois, GHG emission factors developed for the collection, transport and landflling of municipal waste in South African municipalities. Waste Manag. **33**, 1013–1026 (2013a)
- <span id="page-22-7"></span>E. Friedrich, C. Trois, GHG emission factors developed for the recycling and composting of municipal waste in South African municipalities. Waste Manag. **33**, 2520–2531 (2013b)
- <span id="page-22-5"></span>C. Ghinea, M. Gavrilescu, Decision support models for solid waste management – An overview. Environ. Eng. Manag. J. (EEMJ) **9**, 869 (2010)
- <span id="page-22-17"></span>IIASA, GAINS user guide tutorial for advance users. [https://gains.iiasa.ac.at/gains/download/](https://gains.iiasa.ac.at/gains/download/GAINS-tutorial.pdf) [GAINS-tutorial.pdf,](https://gains.iiasa.ac.at/gains/download/GAINS-tutorial.pdf) in: (IIASA), I. I. F. A. S. (ed.). Laxenburg, Austria (2021)
- <span id="page-22-20"></span>IIASA, South Africa: Assessment of Emissions Reduction Potential from Organic Municipal Solid Waste and Possible Mitigation Pathways (Unpublished report). Task 1 and 2 Inception Report International Institute for Applied Systems Analysis, Laxenburg, Austria (2022)
- <span id="page-22-4"></span>IPCC, Intergovernmental Panel on Climate Change (IPCC) Guidelines for National Greenhouse Gas Inventories Volume 5 Waste [Online] (2006). Available [https://www.ipcc-nggip.iges.or.jp/](https://www.ipcc-nggip.iges.or.jp/public/2006gl/vol5.html) [public/2006gl/vol5.html](https://www.ipcc-nggip.iges.or.jp/public/2006gl/vol5.html). Accessed 18 Nov 2022
- <span id="page-22-19"></span>Y. Kamalie, *Spatial Distribution of the Population in South Africa: A Statistical Approach* (University of the Western Cape, 2017)
- <span id="page-22-13"></span>S. Kissoon, *The Advancement of the Waste Resource Optimization and Scenario Evaluation Model: The Inclusion of Socio Economic and Institutional Indicators* (Master of Science in Civil Engineering, University of Kwa-Zulu Natal, 2018)
- <span id="page-22-3"></span>S. Kissoon, C. Trois, Application of WROSE the model to municipal integrated waste management plans: Focus on socio-economic and institutional indicators, in *Sardinia 2019, 17th International Waste Management and Landfll Symposium*, (Sardinia, Italy, 2019)
- <span id="page-22-2"></span>S. Kissoon, C. Trois, Plastic waste management for landfll space savings and GHG emission reduction in the garden route district municipality, in *Wastecon 2022*, (2022)
- K. Komen, N. Mrembu, M. van Niekerk, The role of socio-economic factors, seasonality and geographic differences on household waste generation and composition in the City of Tshwane, in *Proceedings of the 23rd WasteCon Conference*, (Johannesburg South Africa, 2016), pp. 17–21
- <span id="page-22-12"></span>J.W. Levis, M.A. Barlaz, J.F. Decarolis, S.R. Ranjithan, A generalized multistage optimization modeling framework for life cycle assessment-based integrated solid waste management. Environ. Model. Softw., 51–65 (2013).<https://doi.org/10.1016/J.ENVSOFT.2013.08.007>
- <span id="page-22-14"></span>C. Lodato, D. Tonini, A. Damgaard, T. Fruergaard Astrup, A process-oriented life-cycle assessment (LCA) model for environmental and resource-related technologies (EASETECH). Int. J. Life Cycle Assess. 25, 73–88 (2020)
- <span id="page-22-15"></span>C. Lodato, B. Zarrin, A. Damgaard, H. Baumeister, T.F. Astrup, Process-oriented life cycle assessment modelling in EASETECH. Waste Manag. 127, 168–178 (2021)
- <span id="page-22-16"></span>K.V. Shah, M.L. Sattler, Development of solid waste management decision making life cycle assessment tool for growing economies: A state-of-the-art (2020, October)
- <span id="page-22-9"></span>SAWIC, Waste Policy and Regulations, . In: DEPARTMENT OF ENVIRONMENT, F. A. F., SOUTH AFRICAN WASTE INFORMATION CENTRE (SAWIC) (ed.). (2016)
- <span id="page-22-10"></span>SAWIC, 2021. South African Waste INformation Centre. www.sawic.environment.gov.za
- <span id="page-22-8"></span>STATS-SA, Department of Statistics Republic of South Africa. General Household Survey, 2021 [Online]. Available: <https://www.statssa.gov.za/?p=15482>[Accessed November 18 2022] (2021a).
- <span id="page-22-18"></span>Statistics South Africa, Statistics South Africa, Republic of South Africa. POP302-mid-year population estimates (2021). Retrieved 2022
- <span id="page-22-11"></span>H. Stevanović-Čarapina, J. Stepanov, D. Prokić, Model for evaluating municipal waste management system applying the LCA: Part I: Review of LCA software. Recycling Sustain. Dev. **12**, 43–51 (2019)
- <span id="page-23-1"></span>C. Trois, R. Jagath, Sustained carbon emissions reductions through zero waste strategies for South African municipalities, in *Waste Management*, (INTECH Publications, 2011) ISBN 978-953-307-179-4
- <span id="page-23-3"></span>C. Trois, O.T. Simelane, Implementing separate waste collection and mechanical biological waste treatment in South Africa: A comparison with Austria and England. Waste Manag. **30**, 1457–1463 (2010)
- <span id="page-23-6"></span>U.S. EPA, *Documentation for Greenhouse Gas Emission and Energy Factors Used in the Waste Reduction Model (WARM)* (U.S. EPA, 2016), p. 129
- <span id="page-23-7"></span>UNDESA, *World Urbanization Prospects: The 2018 Revision (ST/ESA/SER.A/420)*. [Online]. [Accessed] (2019)
- <span id="page-23-2"></span>UNEP, *South African Municipal Waste Management Systems: Challenges and Solutions* [Online]. United Nations Environment Programme. Available: [https://wedocs.unep.org/bitstream/](https://wedocs.unep.org/bitstream/handle/20.500.11822/33287/SAM.pdf?sequence=1&isAllowed=y) [handle/20.500.11822/33287/SAM.pdf?sequence=1&isAllowed=y](https://wedocs.unep.org/bitstream/handle/20.500.11822/33287/SAM.pdf?sequence=1&isAllowed=y) [Accessed June 2022 2022] (2018).
- <span id="page-23-8"></span>UKZN, South Africa: Assessment of Emissions Reduction Potential from Organic Municipal Solid Waste and Possible Mitigation Pathways (Unpublished report). Task 1 and 2 Inception Report University of kwaZulu-Natal, Durban South Africa (2022)
- <span id="page-23-0"></span>WMO, 2018 Annual Report World Meteorological Organization (WMO) for the Twenty-frst Century (2018)
- <span id="page-23-5"></span>Wrate, Waste and Resources Assessment Tool for the Environment user manual V3. **3**, 264 (2014)
- <span id="page-23-4"></span>Y. Zhao, W. Lu, A. Damgaard, Y. Zhang, H. Wang, Assessment of co-composting of sludge and woodchips in the perspective of environmental impacts (EASETECH). *Waste Management*, **42**, 55–60 (2015)