

# **Chapter 3 The Direct Approach for Plates Considering Hygrothermal Loading and Residual Kinetics**

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**Abstract** The direct approach for plates is based on Cosserat continuum theory, or rather on the Cosserat surface. The same principles as used in classical continuum mechanics are applied whereby a restriction to two-dimensional body manifolds is imposed. At the same time independent rotational degrees of freedom are introduced. Pavel Andreevich Zhilin then proposed a reduced treatment that is widely used in engineering, especially in composite mechanics. However, the approach still lacks important extensions to include signifcant quantities infuencing the mechanical behaviour. These quantities result from moisture exposure, temperature changes and initial tense in the material. We here delineate extensions based on physical justifcations while discussing three-dimensional causation and two-dimensional impact. A resulting set of equations for the combined loading is derived and discussed.

**Key words:** Generalized plate theory · Hygroscopic impact · Thermal efects · Residual kinetics

## **3.1 Introduction**

We consider a rectangular plate of length  $L_1$ , width  $L_2$  and constant thickness H with arbitrary supports at four edges, cf. Fig. 3.1. The plate is subjected to moisture exposure and temperature change. Within the plate, felds exist that result from

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residual quantities, namely residual forces and moments. Such infuences are to be treated in the context of the direct approach for homogeneous thin-walled structures. A frst attempt was successfully utilized in [1] where a three-layered plate was considered with reduced infuence quantities. We here sharpen our refections and reduce ourselves to a single layer.

The direct approach for plates is strongly associated with the ideas of Zhilin, cf. [2], even if other scientists have had similar thoughts before, cf. Cosserat  $\&$  Cosserat [3] and Ericksen & Truesdell [4]. We here reduce our considerations to an initially plane (undeformed) surface on which in analogy to the procedure at classical threedimensional continua, kinematic and kinetic measures are defned and constitutive relations are introduced. The resulting theory is an analogy to a generalized plate theory in accordance with the works of Kirchhoff [5], Reissner [6], and Mindlin [7]. The generalization is meant in the spirit of [8], i.e., where in-plane, out-of-plane and transverse shear state of a plate may superimpose.

We are considering a monolithic conventional material with isotropic and purely elastic material behaviour. Thereby, we restrict ourselves to the small deformation setting. We furthermore consider

- the thermo-static case  $(\Delta \dot{\theta} = 0 \land \dot{\theta} = 0 \lor \theta \in \{v_{\alpha}, w, \varphi_{\alpha}\}),$
- the hygro-static case ( $\Delta \vec{\mu} = 0 \wedge \vec{\mu} = 0 \forall \vec{\mu} \in \{v_{\alpha}, w, \varphi_{\alpha}\}\)$ , and what we call
- the residual-static case  $(\dot{\Box}_{\alpha\beta}^0 = 0 \,\forall \Box \in \{N, L\} \land \dot{\Box} = 0 \,\forall \Box \in \{q_\alpha^0, v_\alpha, w, \varphi_\alpha\})$

for the entire plate at any time. Herein,  $\theta$ ,  $\mu$ ,  $N_{\alpha\beta}^0$ ,  $L_{\alpha\beta}^0$ ,  $q_\alpha^0$ ,  $v_\alpha$ ,  $w$ ,  $\varphi_\alpha$  are the degrees of freedom, which we will specify in more detail in the further course. Their consideration results in a description for two-dimensional body manifolds which is similar to the description of classical three-dimensional Cauchy continuum in a hygrothermal environment with residual stresses. With this analogy we formulate a generalized plate theory for hygro-thermo-elastostatics with preload.

**Notation:** Throughout present treatise, a direct tensor notation is used. First- and second-order tensors are denoted by lowercase and uppercase bold letters, e.g.,  $a$  and ∼ , respectively. Fourth-order tensors are designated by uppercase calligraphic letters, e.g.  $\mathcal{A}$ . Sets groups and spaces are written in blackboard bold letters, e.g. Sym. If index notation is required, we will make use of implicit summation over indices 1 to 3 in case of latin letters, and over indices 1 to 2 in case of greek letters. Furthermore, ·, **:**, ⊗, and × are the scalar product, the double scalar product, the dyadic product, and the cross product. We additionally introduce the three-dimensional  $\nabla_3 = e_i \partial \phi / \partial x_i$ and the two dimensional Hamiltonian  $\nabla_2 = e_\alpha \partial \varphi / \partial x_\alpha$ . With its help the operations divergence  $\nabla \cdot \Box$  and gradient  $\nabla \Box$  of a tensor  $\Box$  can be specified compactly. Also, the symmetric part of a gradient  $\nabla^{\text{sym}} \square = \frac{1}{2} [\nabla \square + \nabla^{\top} \square]$  can be given. For detailed penetrations of these operations we refer to [9] or [10].



Fig. 3.1: A plate exposed to moisture  $(\mu)$  and temperature  $(\theta)$  as well as as kinetic quantities  $(N^0,$  $L^0$ ,  $q^0$ ) which are already present in the interior of the unloaded state.

## **3.2 Frame of Reference**

In plate theory it is generally accepted that the plate can be represented by a deformable plane. Such considerations can traced back to Germain [11], Lagrange [12], Navier [13], Poisson [14], and of course Kirchhoff [5], among others (for further discussion see [15]). Hence, all contemplations are reduced to a two-dimensional problem due to the slenderness of the body considered. For this reason, one selects a surface  $\mathfrak S$  related to planar dimensions. It is reasonable to choose the mid surface due to material and geometrical symmetries in transverse direction. We reduce our concern to coplanarity of all material points of this surface, i.e. initially plane surfaces. For present treatise we furthermore assume that each material point is an infnitesimal rigid body with fve kinematic degrees of freedom, cf. Fig. 3.2 at the right-hand side. As will be described in more detail later, these are three translations and two rotations. Thus, we introduce a plate theory as proposed by Zhilin [2]. We directly enter the topic by introducing the degrees of freedom. In present case these are three translations  $\boldsymbol{a}$  and two rotations  $\boldsymbol{\psi}$ , which components are visualized in Fig. 3.2 on the ride-hand-side.

$$
a = v + w n \qquad \qquad \text{with } v = v_\alpha e_\alpha,
$$
 (3.1)

$$
\mathbf{\psi} = -\varphi_2 \mathbf{e}_1 + \varphi_1 \mathbf{e}_2. \tag{3.2}
$$



**Fig. 3.2:** Mid surface  $\mathfrak{S}$  in context of a three-dimensional body  $\mathfrak{B}$  with degrees of freedom assigned.

Herein, our tripod is still a set of orthogonal unit vectors with longitudinal directions  $e_{\alpha}$ and one direction perpendicular to the plane spanned by the longitudinal ones, called  $n$ . However, rotations around the surface normal remain unconsidered since they have no physical justifcation due to the fact that the in-plane shear stifness of classical engineering structures is much larger that the bending stifness, cf. [2]. However, instead of employing the rotational vector  $\psi$ , we introduce the vector  $\varphi = \varphi_{\alpha} e_{\alpha}$ , which is related via  $\varphi = \psi \times n$ .

Typical deformation measures are the membrane strains  $\vec{Q}$ , the curvature changes  $K$ , and the transverse shear strains  $g$ . In a geometrically linear framework, we can defne these measures as follows.

$$
\mathbf{G} = \mathbf{\nabla}^{\text{sym}} \mathbf{v} = G_{\gamma\delta} \mathbf{e}_{\gamma} \otimes \mathbf{e}_{\delta},\tag{3.3}
$$

$$
\mathbf{K} = \nabla^{\text{sym}} \boldsymbol{\varphi} = K_{\gamma \delta} \boldsymbol{e}_{\gamma} \otimes \boldsymbol{e}_{\delta},\tag{3.4}
$$

$$
\mathbf{g} = \nabla w + \varphi = g_{\beta} e_{\beta}.
$$
 (3.5)

The decoupling of the constitutive equations is reasonable due to

- coplanarity of all material points of the surface considered,
- geometrical symmetry in thickness direction,
- material symmetry in thickness direction, and
- isotropic material behaviour.

We can now introduce a decoupled, which means the in-plane, out-of-plan and transverse shear behaviour not infuencing each other, strain energy function of the deformable plane surface in terms of a Taylor series limited to quadratic terms where positive defniteness holds true.

$$
W(Q, \underline{\mathbf{K}}, \underline{\mathbf{g}}) = \frac{1}{2} (Q : \mathcal{A} : Q + \underline{\mathbf{K}} : \mathcal{D} : \underline{\mathbf{K}} + \underline{\mathbf{g}} \cdot \underline{\mathbf{Z}} \cdot \underline{\mathbf{g}})
$$
(3.6)

Therein, we have introduced the constitutive tensors, whereby  $\mathcal{A} = A_{\alpha\beta\gamma\delta}e_{\alpha} \otimes e_{\beta} \otimes$  $\mathbf{e}_{\gamma} \otimes \mathbf{e}_{\delta}$  is the fourth-order in-plane stiffness tensor,  $\mathcal{D} = D_{\alpha\beta\gamma\delta} \mathbf{e}_{\alpha} \otimes \mathbf{e}_{\beta} \otimes \mathbf{e}_{\gamma} \otimes \mathbf{e}_{\delta}$ is the fourth-order out-of-plane stiffness tensor, and  $\mathbf{Z} = Z_{\alpha\beta} \mathbf{e}_{\alpha} \otimes \mathbf{e}_{\beta}$  is the secondorder transverse shear stifness tensor. They depend on the material and geometrical properties. In the isotropic case, they depend on two material parameters only and the thickness of the plate. The kinetic measures arising from this potential.

$$
\frac{\partial W}{\partial \mathbf{G}} = \mathbf{\mathcal{A}} : \mathbf{G}, \qquad \frac{\partial W}{\partial \mathbf{K}} = \mathbf{\mathcal{D}} : \mathbf{K}, \qquad \frac{\partial W}{\partial \mathbf{g}} = \mathbf{Z} \cdot \mathbf{g}. \tag{3.7}
$$

Hence we have found dual quantities, i.e. the in-plane forces  $\dot{N}$ , the moments  $\dot{L}$ , and the transverse shear forces  $q$ .

$$
\frac{\partial W}{\partial \mathbf{G}} = \mathbf{N} = N_{\alpha\beta} \mathbf{e}_{\alpha} \otimes \mathbf{e}_{\beta},\tag{3.8}
$$

$$
\frac{\partial W}{\partial \underline{K}} = \underline{L} = L_{\alpha\beta} e_{\alpha} \otimes e_{\beta},\tag{3.9}
$$

$$
\frac{\partial W}{\partial g} = q = Q_{\alpha} e_{\alpha}.
$$
 (3.10)

The constitutive equations are then given as linear mappings.

$$
N = \mathcal{A} : G, \tag{3.11}
$$

$$
L = \mathcal{D} : K,\tag{3.12}
$$

$$
q = \mathbf{Z} \cdot \mathbf{g}.\tag{3.13}
$$

The isotropic stifness tensors can be given in following form [16].

$$
\mathcal{A} = 2Bh \quad \mathcal{P}_1^{\mathfrak{S}} + 2Gh \quad \mathcal{P}_2^{\mathfrak{S}}, \tag{3.14}
$$

$$
\mathcal{D} = 2B \frac{h^3}{12} \mathcal{P}_1^{\mathfrak{S}} + 2G \frac{h^3}{12} \mathcal{P}_2^{\mathfrak{S}},\tag{3.15}
$$

$$
Z = \kappa G h \quad P. \tag{3.16}
$$

Herein we have introduced the compression modulus of the surface  $B = \frac{Y}{2}(1 - y)$  [16] with Young's modulus Y, Poisson's ratio  $\nu$  and a correction factor  $0 < \kappa \le 1$  accounting for transverse shear, cf. [6, 7] and the discussion in [17]. The fourth-order isotropic projectors are given as follows.

$$
\mathcal{P}_1^{\mathfrak{S}} = \frac{1}{2} \mathbf{P} \otimes \mathbf{P}, \qquad \mathcal{P}_2^{\mathfrak{S}} = \mathcal{P}^{\text{sym}} - \mathcal{P}_1^{\mathfrak{S}}. \qquad (3.17)
$$

Herein,  $2\mathcal{P}^{sym} = e_{\alpha} \otimes e_{\beta} \otimes (e_{\alpha} \otimes e_{\beta} + e_{\beta} \otimes e_{\alpha})$  is the symmetric part of the fourthorder identity of the surface.

Finally, the equilibrium equations of the two-dimensional planar continuum are written as

$$
\nabla \cdot \underline{N} \qquad \qquad +s = \mathbf{0}, \tag{3.18a}
$$

$$
\nabla \cdot q \qquad \qquad +p=0,\tag{3.18b}
$$

$$
\nabla \cdot \underline{L} - q + m \times n = 0. \tag{3.18c}
$$

where  $N$ ,  $L$  are the tensors of in-plane forces and out-of-plane moments, respectively,  $q$  is the vector of transverse shear forces,  $m$  is the surface moment vector (excluding drilling moments in line with the assumptions of a fve degree of freedom continuum). The vector of surface forces  $t$  is split in two parts:  $s$  and  $p$ , where the first one is the vector of tangent surface forces and the second one is the vector transverse surface loads.

$$
t = s + p
$$
 with  $p = pn$  and  $s = -s_\alpha e_\alpha$  (3.19)

It is worth mentioning that any general body forces are applied as surface loads to the planar structure  $(\mathfrak{S})$ :

$$
t := \int_{-\frac{H}{2}}^{+\frac{H}{2}} \rho b \, dX_3 = s + p. \tag{3.20}
$$

where  $t$  is the general surface load (tangent and transverse) and  $\rho$  is the mass density of the body  $\mathfrak{B}$ . In a similar fashion, the moment of the body loads along directions 1 and 2 are applied as a surface moment vector (per unit area):

$$
\mathbf{m} := -\int_{-\frac{H}{2}}^{\frac{H}{2}} \rho X_3 \mathbf{P} \cdot \mathbf{b} \, dX_3.
$$
 (3.21)

By excluding any mixed boundary conditions on the surface  $\mathfrak{S}$ , only independent Drichlet (on  $\partial \mathfrak{S}_{D}$ ) and Neumann boundary conditions (on  $\partial \mathfrak{S}_{N}$ ) are considered over the boundary of the domain  $\partial \mathfrak{S}$ :

$$
\partial \mathfrak{S}_{D} \cup \partial \mathfrak{S}_{N} = \partial \mathfrak{S}, \qquad \partial \mathfrak{S}_{D} \cap \partial \mathfrak{S}_{N} = \varnothing. \tag{3.22}
$$

where  $\partial \mathfrak{S}_D$  and  $\partial \mathfrak{S}_N$  are non-intersecting non-trivial subsets of the boundary  $\partial \mathfrak{S}$ over which an outward normal direction vector  $v$  is defined, i.e.,  $v \cdot n = 0$ . In addition, the arc-length variable  $\ell$  parametrises the distance along the contour  $\partial \mathfrak{S}$ .

Consequently, the Drichlet boundary conditions consist of prescribed kinematic values for in-plane displacements  $v_{\star}(\ell)$ , deflections  $w_{\star}(\ell)$ , and rotations  $\varphi_{\star}(\ell)$ :

on 
$$
\partial \mathfrak{S}_{D}
$$
:  $v = v_{\star}(\ell)$ , 
$$
(3.23a)
$$

$$
w = w_{\star}(\ell), \tag{3.23b}
$$

$$
\varphi \stackrel{!}{=} \varphi_{\star}(\ell), \tag{3.23c}
$$

In addition, the Neumann boundary conditions consists of prescribed static values (distributed per unit length) for in-plane forces  $s_{\star}(\ell)$ , transverse forces  $p_{\star}(\ell)$ , and in-plane moments  $m_{\star}(\ell)$ :

on 
$$
\partial \mathfrak{S}_N
$$
:  $v \cdot N^{\frac{1}{2}} s_\star(\ell)$ , (3.24a)

$$
\mathbf{v} \cdot \mathbf{q} \stackrel{!}{=} p_{\star}(\ell), \tag{3.24b}
$$

$$
v \cdot L \stackrel{!}{=} m_{\star}(\ell). \tag{3.24c}
$$

#### **3.3 Thermal Efects and Hygroscopic Impact**

Environmental thermal cycles result in expansion/contraction of materials with nonzero coefficients of thermal expansion ( $\alpha^{\theta} \neq 0$ ). A homogeneous temperature change in an unconstraint isotropic material results in a volumetric change, i.e., it nullifes the thermal curvature change tensor  $\vec{K}^{\theta} = \vec{0}$  and thermal transverse shear vector  $g^{\theta} = \vec{0}$ . Thus, the following Duhamel-Neumann form [18, 19, 20] can be written if only normal in-plane thermal strains are considered:

$$
N = \mathcal{A} : (G - G^{\theta}), \tag{3.25a}
$$

$$
L = \mathcal{D} : K, \tag{3.25b}
$$

$$
q = \mathbf{Z} \cdot \mathbf{g},\tag{3.25c}
$$

where  $\mathbf{G}^{\theta}$  is the tensor of thermal in-plane strains:

$$
\mathbf{G}^{\theta} = \alpha^{\theta} \Delta \theta \mathbf{P}.\tag{3.26}
$$

Polymeric materials can absorb moisture and undergo swelling. Such a volumetric change is similar to what happens during thermal expansion in the sense that both are considered to be dilatoric eigenstrains  $(q^{\mu} = \mathbf{0})$ . By assuming a uniform throughthickness moisture absorption  $(\Delta m)$ , an isotropic plane will experience no

curvature change  $(K^{\mu} = 0)$  but only planar eigenstrains:

$$
N = \mathcal{A} : (G - G^{\mu}), \tag{3.27a}
$$

$$
L = \mathcal{D} : K, \tag{3.27b}
$$

$$
q = \mathbf{Z} \cdot \mathbf{g},\tag{3.27c}
$$

where  $Q^{\mu}$  includes the in-plane moisture strains:

$$
Q^{\mu} = \alpha^{\mu} \Delta m P, \qquad (3.28)
$$

where  $\alpha^{\mu}$  is the coefficient of moisture expansion, and  $\Delta m$  is the change in the moisture mass (absorption/desorption) per unit mass of the plate.

It is noteworthy that the mechanical properties of the material are deemed independent of the hygrothermal efects. Moreover, this smeared representation does not include individual constituents (e.g., fbres within a matrix) and their mesoscopic structures. More importantly, the hygroscopic component does not entail any residual stresses due to violating the compatibility condition—hence, it is a stress-free eigenstrain, see [21, 22].

## **3.4 Residual Kinetics**

Based on the concept of residual stresses, we also introduce residual kinetic quantities, i.e. in-plane forces, moments, and transverse shear forces. Such quantities may be present even in the absence of any external loading, thermal gradients etc. These quantities are often associated with plastic deformations, inhomogeneous microstructural transformations or hygrothermal effects.

In the context of present approach, a general set of incompatible strains results in residual fields for in-plane forces  $N^0$ , moments  $L^0$ , and transverse shear forces  $q<sup>0</sup>$ . In analogy to the problems of three-dimensional continua, these quantities can only be measured by the available non-destructive and destructive methods where the deformations corresponding to the residual stresses are measured. In order not to lapse into details, we refer to [23] or [24] and only disclose the transfer of measured residual Cauchy stresses  $T^0 = T_{ij}^0 e_i \otimes e_j$  to the quantities introduced here. In this sense, the following residual forces and moments are introduced:

$$
\mathbf{N}^{0} = \int_{-\frac{H}{2}}^{+\frac{H}{2}} \boldsymbol{P} \cdot \boldsymbol{T}^{0} \cdot \boldsymbol{P} dX_{3},
$$
 (3.29a)

$$
\mathbf{L}^{0} = \int_{-\frac{H}{2}}^{+\frac{H}{2}} X_{3} \mathbf{P} \cdot \mathbf{T}^{0} \cdot \mathbf{P} dX_{3}, \qquad (3.29b)
$$

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$$
\boldsymbol{q}^0 = \int_{-\frac{H}{2}}^{+\frac{H}{2}} \boldsymbol{P} \cdot \boldsymbol{T}^0 \cdot \boldsymbol{n} \, dX_3.
$$
 (3.29c)

The strain energy function now should be extended:

$$
W(Q, \underline{\mathbf{K}}, \mathbf{g}) = \frac{1}{2} \left( \mathbf{G} : \mathcal{A} : \mathbf{G} + \underline{\mathbf{K}} : \mathcal{D} : \underline{\mathbf{K}} + \mathbf{g} \cdot \underline{\mathbf{Z}} \cdot \mathbf{g} \right) + \mathbf{N}^0 : \mathbf{G} + \underline{\mathbf{L}}^0 : \underline{\mathbf{K}} + \mathbf{q}^0 \cdot \mathbf{g}
$$
 (3.30)

Considering the constitutive equations originally introduced, we can now extend this set as follows.

$$
N = \mathcal{A} : Q + N^0 \tag{3.31a}
$$

$$
\mathbf{L} = \mathbf{D} \cdot \mathbf{K} + \mathbf{L}^0 \tag{3.31b}
$$

$$
q = \mathbf{Z} \cdot \mathbf{g} + \mathbf{q}^0 \tag{3.31c}
$$

## **3.5 Conclusion**

In present treatise, the direct approach was adopted to set up the feld equations of a generalized plate theory under various infuences. More specifcally, thermal and hygroscopic efects along with residual quantities are considered. When all the quantities taken into account are combined, the Duhamel-Neumann analogy takes subsequent form.

$$
N = \mathcal{A} : (G - G^{\theta} - G^{\mu}) + N^0,
$$
\n(3.32a)

$$
\underline{\mathbf{L}} = \mathbf{D} : (\underline{\mathbf{K}} \quad ) + \underline{\mathbf{L}}^0, \tag{3.32b}
$$

$$
q = \mathbb{Z} \cdot (g \qquad ) + q^0. \tag{3.32c}
$$

Obviously, hygroscopic and thermal loads efects the in-plane force tensor solely, at least in present case. Contrary, residual quantities efect all three states. The feld equations of Eq. (3.18) my then be rearranged with the reduced forces and moments of Eqs. (3.32) to set up the linear hygro-thermo-static equilibrium with residual quantities. A concise visual representation can be found in Fig. 3.3.

To be honest, present execution concerns the simplest case of a two-dimensional theory where the reference surface halves the plate thickness. In absence of such geometrical symmetry, quantities like thermal moments etc. also occur then. Future work should take these quantities into account. Moreover, we are here limited to purely static considerations. In continuation, fuctuations, at least of temperature and moisture, should fnd entrance in the direct approach.

However, potential applications of present ansatz can be found in the analysis of composite structures as presented for example in [25].



**Fig. 3.3:** Tonti diagram [26] for the composed plate problem of present treatise.

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