## Daniel Ogochukwu Okanigbe *Editor* Abimbola Patricia Popoola *Co-editor*

# Resource Recovery and Recycling from Waste Metal Dust



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### **Preface**

Effciency in managing minerals and the byproducts produced when extracting them from ore bodies is becoming increasingly important. Some examples of these byproducts include concentrated wastes, diluted streams and effuent, used catalysts, plating solutions, process water, and waste metal dust.

The nature of many metallurgical processes leads to the inevitable generation of these byproducts that have no direct use for the main metal sector. Many of them, which are frequently regarded as "waste," end up in landfills or tailings ponds. Such waste products have also accumulated in enormous amounts, necessitating immediate action for resource recovery and recycling. Resource recovery and recycling from waste metal dust is also stressed because it may stop usable materials from being burned or dumped, which lowers waste disposal costs and eliminates the need for landflls and tailing ponds. Resource recovery and recycling is one of the best strategies to reduce the amount of energy needed in the metal business. The energy required for ore mining is cut down since metal recovery and recycling is done by processing the metal or engineering the ore.

That is why the reduction of waste, or ideally its elimination, is a highly desired goal. In addition, recent technical developments have sparked fresh progress in this direction thanks to scientifc understanding of the processes that take place. However, it must be acknowledged that resource recovery and recycling has been and will continue to be a key job in the metallurgical industry. Because metals are a limited resource, their virgin natural ores are steadily running out in industrialized nations. It goes without saying that resource recovery and recycling from waste metal dust is essential to ensuring that metals are available in the appropriate quantities.

The need to recover resources from such "waste" or transform them into usable byproducts is expanding. To fulfll the objective of sustainable development, continuous industrial expansion for healthy living standards, which demands a clean environment and continuous resource availability, they will be more and more in demand.

Hence, this book strives to offer more comprehensive treatment of all aspects of resource recovery and recycling from waste metal dust, explaining the goals and objectives of this theme. This book is organized into six parts, each of which has two chapters.

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## **Part I Resource Recovery and Recycling from Waste Metal Dust**

## <span id="page-9-0"></span>**Chapter 1 Resource Recovery and Recycling from Waste Metal Dust (I): Waste Iron Dust and Waste Aluminum Dust**



**Daniel Ogochukwu Okanigbe**

#### **1.1 Waste Metal Dusts**

Extractive metallurgy uses smelting to create a metal from its ore [\[1](#page-18-0)]. Heat and a chemical reduction agent are used in smelting to break down the ore, removing other components as gases or slag and only leaving the metal behind [\[2](#page-18-0), [3\]](#page-18-0) while purifying an impure metal is the purpose of refning in the feld of metallurgy [[4–6\]](#page-18-0). In contrast to smelting, which results in a chemical change to the raw material, refning typically results in a purer fnished product that is typically chemically same to the original [[7\]](#page-18-0).

However, in order to convert metal ores like copper glance [\[8](#page-18-0), [9\]](#page-18-0), pisolitic ironstone [\[10](#page-18-0)], bauxite [\[11](#page-18-0)], and galena [\[12](#page-18-0)] into pure copper, iron, aluminum, and lead, respectively, these two metallurgical processes need to reach extremely high temperatures. As a result, throughout the smelting process, it is crucial to know these metals' melting points, for instance, copper melts at 1085 °C [\[13](#page-18-0), [14](#page-18-0)], iron melts at 1536 °C [\[15](#page-18-0), [16](#page-18-0)], aluminum melts at 660 °C [[17\]](#page-18-0), lead melts at 328 °C [[18\]](#page-18-0) and so on. Many other metals and metal compounds also melt or volatilize at these temperatures; for instance, lead has a melting point of 328 °C [\[18](#page-18-0)], and mercury, cad-mium, zinc, and arsenic all have boiling points of 357 °C [\[19](#page-18-0)], 765 °C [\[20](#page-18-0)], 906 °C [\[21](#page-18-0)], and 613  $\degree$ C [\[22](#page-18-0)], respectively.

Wastes are produced during the metal smelting and refning processes, including dusts among others [\[23](#page-18-0)]. Metals including copper, iron, aluminum, lead, zinc, nickel, cadmium, chromium, mercury, selenium, arsenic, cobalt, etc., are frequently found in these wastes.

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To create metal alloys, these elements may be present in the ores utilized or they may be introduced as mixed metals to the melting [[24\]](#page-19-0).

In this chapter, the dusts created during metal smelting and refning are referred to as waste metal dust (WMD) because they frequently contain considerable amounts of valuable metal oxides. Due to the high metal concentrations, WMD created in the smelting furnace is categorized as both a lucrative by-product and a dangerous pollution [\[25](#page-19-0)]. In order to manage this type of metallurgical waste, this has inspired various researchers in the past and even now to study further acceptable ways on resource recovery and recycling from WMD.

Because of this, this chapter provides a summary of resource recovery and recycling from WMD, concentrating on the generation of WMD, their description, their recirculation or disposal, as well as modern methods for resource recovery and recycling from WMD.

#### **1.2 Types of Waste Metal Dusts**

#### *1.2.1 Waste Iron Dust*

#### **1.2.1.1 Generation**

For the most part, low-carbon stainless steels are refned using the argon oxygen decarburization (AOD) and vacuum oxygen decarburization (VOD) procedures. During the refning process, waste iron dust (WID) [[26,](#page-19-0) [27](#page-19-0)] is generated. It has a signifcant amount of precious metal oxides of Fe, Cr, and Ni, and its production rises together with that of stainless steel [\[28](#page-19-0), [29](#page-19-0)].

Several reports have been shared on its treatment, because of these valuable metals, WID often contains [\[30–34](#page-19-0)]. WID is metal and slag mixes that primarily found their way into the equipment used for collecting. Additionally, some WID was released from the fue gas pipelines as a result of the ferociously violent stirring of molten steel during the operations for producing stainless steel.

#### **1.2.1.2 Description of WID**

Particle Size Distribution of WID

WID is very fne material, according to all reported analyses [\[30](#page-19-0), [31,](#page-19-0) [35\]](#page-19-0). Particles with a diameter of less than 5 μm made up roughly 70–90% (by weight) of the dusts, and even more of them had a diameter of less than 1 m. In WID, there are still a few sizable solid agglomerates that make about 10–30% of the total weight and may have had a diameter of more than 150 μm.

#### <span id="page-11-0"></span>Chemical Composition of Typical WID

According to Soflić et al. [\[29](#page-19-0)], the chemical species in WID depend on the quality of the steel scrap treated, the type of steel being produced, technological and operating circumstances, and the degree of dust returned into the process.

According to this assertion, Table 1.1 illustrates the several chemical species that make up the predominant composition of these WID. Since iron ore is

	Content						
Species	WID 1	WID <sub>2</sub>	WID <sub>3</sub>	WID4	WID <sub>5</sub>	WID 6	WID7
CaO	14.00	6.59	9.02	9.14	12.90	9.14	2.31
SiO <sub>2</sub>	5.60	5.76	5.14	5.45	4.81	5.45	6.42
$Al_2O_3$	0.70	0.74	0.64	0.66	0.40	0.66	6.67
MgO	2.60	4.25	3.63	3.48	5.44	3.48	2.35
$Cr_2O_3/CrO$	$14.30^{[Cr}{}_{2}^{O}{}_{3}^{J}$	$\overline{\phantom{0}}$	$16.30^{[Cr}2^{O_3]}$	$15.10^{[Cr}2^{O_3]}$	$14.60^{[Cr, O_3]}$	$13.51^{\text{[CrO]}}$	$9.09^{[Cr}2^{O_3]}$
MnO/MnO <sub>2</sub>	$3.30^{[MnO]}$	5.88[MnO]	$4.41^{[MnO_2]}$	$4.67^{[MnO2]}$	$5.08^{[MnO]}$	$4.67^{[MnO_2]}$	$2.64^{[MnO]}$
Fe <sub>2</sub> O <sub>3</sub>	45.20	39.56	51.30	48.00	43.40	56.00	$\approx 46.28$
CuO	-	$\overline{\phantom{0}}$	$\qquad \qquad -$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	0.43
WO <sub>3</sub>	$\overline{\phantom{0}}$		$\overline{\phantom{0}}$	$\overline{\phantom{0}}$		$\overline{\phantom{0}}$	0.91
<b>BiO</b>	—	—	$\overline{\phantom{0}}$	-	$\overline{\phantom{0}}$		$\approx 0.07$
SnO <sub>2</sub>	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\approx 0.05$
TiO <sub>2</sub>	$\equiv$	0.16	0.08	0.12	0.08	$\equiv$	$\approx 0.07$
NiO	4.30	$\overline{\phantom{0}}$	6.25	6.70	2.79	6.70	2.42
ZnO	5.80	$\overline{\phantom{0}}$	0.96	0.93	4.49	0.93	7.41
Na <sub>2</sub> O	4.20	1.01	0.60	0.53	0.60	$\qquad \qquad -$	
$K_2O$	-	0.48	0.72	1.47	0.97	$\qquad \qquad -$	
$P_2O_3$	—	0.04	0.30	0.62	0.04	$\overline{\phantom{0}}$	
$V_2O_5$	$\overline{\phantom{0}}$	-	$\overline{\phantom{0}}$	$\equiv$	0.09	$\equiv$	0.13
PbO	-		0.29	0.16	0.39	0.16	0.45
CdO	—	$\overline{\phantom{0}}$	0.01	0.01	$\overline{\phantom{0}}$	$\qquad \qquad -$	$\approx 0.01$
MoO <sub>3</sub>	$\overline{\phantom{0}}$	-	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	1.35		
CoO		-	-	-	-		$\approx 0.04$
ZrO <sub>2</sub>	—	$\overline{\phantom{0}}$	—	—	$\overline{\phantom{0}}$	$\qquad \qquad -$	0.02
Nb <sub>2</sub> O <sub>5</sub>	—	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	$\qquad \qquad -$	$\approx 0.07$
SO <sub>3</sub>	-	-	-	-	0.47	$\qquad \qquad -$	-
F	-	-	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$		$\qquad \qquad -$	$\overline{\phantom{0}}$
Cl				$\overline{\phantom{0}}$	0.86		$\overline{\phantom{0}}$
S	-		-	-	$\overline{\phantom{0}}$	-	0.13
LOI	$\overline{\phantom{0}}$	3.67	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	0.21	$\overline{\phantom{0}}$	
Others	-	31.86	$\overline{\phantom{0}}$	$\overline{\phantom{0}}$	1.45	$\qquad \qquad -$	9.75

**Table 1.1** Chemical Species in WID from Various Processing Facilities across the World

Key: WID  $1 =$  Kim and Sohn [\[26\]](#page-19-0) (wt%); WID  $2 =$  Laforest and Duchesne [\[32\]](#page-19-0) (mass fraction, %); WID 3 = Tang et al. [\[33\]](#page-19-0) (mass fraction, %, bag house); WID 4 = Tang et al. [33] (mass fraction, %, stockpile); WID  $5 = Ma$  and Garbers-Craig [\[34\]](#page-19-0) (mass fraction, %); WID  $6 = Peng$ et al. (2007) (mass fraction, %, stockpile); WID 7 = Takano [[36\]](#page-19-0) (wt%); LOI = loss on ignition involved,  $Fe<sub>2</sub>O<sub>3</sub>$  had the highest percentage in each WID (39.56–56.00%), as one might anticipate. Then comes CaO (2.31–14.00%), ZnO (0.93–7.41%), NiO  $(2.42-6.70\%)$ , SiO<sub>2</sub>  $(4.81-6.42\%)$ , MnO/MnO<sub>2</sub>  $(2.64-5.88\%)$ , MgO  $(2.35-5.44\%)$ , and Cr<sub>2</sub>O<sub>3</sub>/CrO (9.09–16.30%). The variety of these WID's contents and compositions places a great emphasis on the need for categorization of these WID [[29](#page-19-0)]. Information like this demonstrates even more how the WID from the various sources listed in Table [1.1](#page-11-0) differs in terms of harmful chemical species like  $Cr_2O_3$ . This has influenced how waste management strategies have been adopted [[34\]](#page-19-0).

#### Mineralogy of WID

Some researches [\[26](#page-19-0), [29,](#page-19-0) [32](#page-19-0)] looked at the phase structures of the WID. Complex oxides, which frequently take the form of phases like  $ZnS_2O_4Na_2S_2O_4nH_2O$  [[29\]](#page-19-0) and  $Fe<sub>2</sub>(SO<sub>4</sub>)3H<sub>2</sub>SO<sub>4</sub>2H<sub>2</sub>O$ , are abundant in the WID and predominate there. Sulfides (FeS, Fe<sub>9</sub>S<sub>8</sub>, Ni<sub>7</sub>S<sub>6</sub> [[29\]](#page-19-0), and silicate SiO<sub>2</sub>, 2FeOSiO<sub>2</sub> [29]) are also present, though. Before disposal, a suitable process path has always been designed for the recovery of the valuable metals, depending on the composition of these compounds and associations.

#### Morphology of WID

Several researches have investigated the micro-shape of the WID constituents [\[29](#page-19-0), [32,](#page-19-0) [37](#page-19-0)]. Individual particles were typically spherical [[30,](#page-19-0) [37,](#page-19-0) [38](#page-19-0)] and frequently seen in aggregate forms; angular particles were uncommon [[29,](#page-19-0) [39\]](#page-19-0).

#### **1.2.1.3 Stabilization/Solidifcation for Recirculation or Disposal of WID**

WID must frst be converted into briquettes using a suitable reducing agent, such as carbon, in order to be recirculated [\[26](#page-19-0), [40,](#page-19-0) [41](#page-19-0)]. But because they require more binder, such as bentonite or cement, and increase the volume of slag, impurities like CaO,  $SiO_2$ ,  $Al_2O_3$ , MgO, and ZnO in the recirculated WID might reduce the fur-nace's efficiency and use unnecessary electricity [\[26](#page-19-0), [40](#page-19-0), [42](#page-19-0)].

Recirculation to the furnace is also not an option due to the presence of harmful heavy metals, some of which are partially soluble, such as the fatal hexavalent chromium  $(Cr^{6+})$  [[26\]](#page-19-0).

Should these harmful heavy metals in WID be stabilized or consolidated for ultimate disposal to class one landfll sites, like the large but expensive Vlakfontein investment in South Africa (SA) (Fig. [1.1\)](#page-13-0)? Where this WID are disposed of, potential liability issues may still exist.

<span id="page-13-0"></span>

**Fig. 1.1** Shows the enormous Vlakfontein investment in SA, which was built to address the problem of hazardous wastes. (Source: google image)

#### **1.2.1.4 Resource Recovery and Recycling from WID**

The Recovery of Metals from WID

For both economic and environmental reasons, many regions have been processing these WID during the past 20 years in order to extract the valuable metal components, such as nickel, chromium, molybdenum, and iron.

#### **The Use of Hydrometallurgical Techniques**

Four processes make up the majority of the hydrometallurgical process: roasting, leaching, purifcation, and electrowinning. It is a common technique for obtaining non-ferrous metals including zinc, lead, copper, and so forth. According to several commercial methods, hydrometallurgical techniques have been employed to recover Zn from WID using alkaline leaching [[43–46\]](#page-19-0).

#### **The Use of Pyrometallurgical Techniques**

WID mixes from several researchers have been used in laboratory size reduction smelting studies [[36\]](#page-19-0). Approximately 90% of the total iron, 95% of the chromium, and practically 100% of the nickel in the WID, according to the results, may be recovered by combining molten metals at the right temperature with additives and reductants.

#### **The Use of Physical Separation Techniques**

Smaller, more polluted particles are often removed using physical separation methods. Centrifugation, sedimentation, gravity separation, magnetic separation, and other techniques are among them. According to reports, certain commercial processes have recovered Ni and Cr from stainless-steel wastes using magnetic separation or gravity separation techniques, but the majority of research has remained in the lab [\[47](#page-19-0)]. When separating minerals with radically differing densities, gravity separation is an effcient technique. The recovery of ferromagnetic metals from non-ferrous metals and other non-magnetic wastes is frequently accomplished using magnetic separation.

Conversion of WSD into Value-Added Product

Ordoez and Colorado [\[48](#page-20-0)] used WID in their research as a complementing material in the additive manufacturing (AM) of kaolinite-based clays as an example of attempts toward the conversion of WID into value-added product. The direct ink writing method was the AM technique used. Since the residues can be immobilized and the water can be less contaminated, adding WID to the clay is good for the environment. The current study demonstrates the potential for employing WID in 3D printed components as well as an admixture with clay ceramics after sintering.

#### *1.2.2 Waste Aluminum Dust*

#### **1.2.2.1 Generation**

One of the materials that can be recycled the most easily is aluminum [\[49](#page-20-0), [50](#page-20-0)]. In fact, according to one estimate, 75% of the aluminum that has ever been produced is still in use [[51\]](#page-20-0). This is due to the existence of industrial procedures for the conversion of primary aluminum and scrap into secondary or second fusion aluminum, which generates a number of by-products.

Even so, enormous amounts are wasted as dusts (such as waste aluminum dust), slags, etc. [[52\]](#page-20-0), salt slag (more than 500 kg/MT Al), aluminum slag (less than 10,000 MT/year), and furnace filter fines (more than 35 kg/MT Al [[53\]](#page-20-0), herein referred to as waste aluminum dust), are the waste products produced in the secondary aluminum melting step (WAD).

#### **1.2.2.2 Description of WAD**

Particle Size Distribution of WAD

The particle size of the WAD examined in the study by Nifuku et al. [[54\]](#page-20-0) was primarily greater than 105 μm for samples 1 and 2 and primarily in the range of 8–20 μm for sample 3. According to Liu et al. [\[55](#page-20-0)], the WAD employed in their investigation had a larger size dispersion and a mean particle size of about 80 μm. The samples examined, according to Galindo et al. [\[52](#page-20-0)], had a signifcant percentage of fne particles. According to Wang et al. [[50\]](#page-20-0), the particle distributions for the WAD under study are 1.34 μm, 3 μm, and 6.59 μm, respectively.

#### Chemical Composition of Typical WAD

The claim of WAD containing signifcant amounts of value metals is supported by Table 1.2, which lists the many chemical species that make up the bulk of these WAD's composition.  $\text{Al}_2\text{O}_3$  has the largest percentage in each WAD (21.56–82.28%), as one might expect given that aluminum ore is included  $[52, 55]$  $[52, 55]$  $[52, 55]$  $[52, 55]$  $[52, 55]$ . SiO<sub>2</sub> (range: 0.38–6.30%), MgO (range:  $2.37–4.31\%$ ), CaO (range:  $1.00–2.80\%$ ), and Fe<sub>2</sub>O<sub>3</sub> (range: 0.64–1.40%) follow.

The amount of salts employed in the melting process in order to achieve a higher aluminum recovery, according to the researchers, is what caused the high sodium concentration,  $Na<sub>2</sub>O$  (range: 4.7–21.69%).

	Content (wt. $%$ )		
Composition	WAD 1	WAD <sub>2</sub>	WAD 3
$Al_2O_3$	82.28	75.00	21.56
SiO <sub>2</sub>	5.74	6.30	0.38
Na <sub>2</sub> O	4.71	2.20	21.69
MgO	4.31	4.00	2.37
CaO	1.00	2.80	1.37
Fe <sub>2</sub> O <sub>3</sub>	0.72	1.40	0.64
$K_2O$	0.41	0.70	5.88
TiO <sub>2</sub>	0.27	2.80	0.37
CuO	0.25	-	
$MnO_2/MnO$	$0.13^{\text{[MnO]}$	-	$0.05^{[MnO]}$
ZnO	0.08	—	$\overline{\phantom{0}}$
$V_2O_5$	0.05	-	-
$Cr_2O_3$	0.04	-	-
NiO	0.02	-	
$P_2O_5$	$\qquad \qquad -$	-	0.03
S	-	—	2.69
F	-	-	2.89
Cl	$\qquad \qquad -$	1.20	24.42
LOI		-	21.82
Others	-	3.30	$\qquad \qquad -$

**Table 1.2** Chemical Compositions of WAD Used in Different Study

Key: WAD  $1 =$  Liu et al. [\[55\]](#page-20-0); WAD  $2 =$  Galindo et al. [\[52\]](#page-20-0); WAD  $3 =$  Eliche-Quesada et al. [[56](#page-20-0)]

#### Mineralogy of WAD

Aluminum metal and aluminum oxide were found to be the primary crystal phases in the investigation by Liu et al. [[55\]](#page-20-0) using an XRD diffractogram of WAD. Aluminum nitride, aluminum magnesium oxide, and silicon are additional crystal phases.

#### Morphology of WAD

According to the morphology of the WAD particles utilized in the investigation by Liu et al. [\[55](#page-20-0)], they had an irregular form and a rough surface. While many small rod-like particles congregate on the surface of larger particles, some particles have a plate-like shape. On the other hand, the WAD employed in the study by Wang, Xu, and Wang [\[50](#page-20-0)] shows that the particles are roughly spherical and the surface is not rough.

#### **1.2.2.3 Recirculation of WAD**

A type of WMD having explosive potential [[57–59\]](#page-20-0), WAD produced during the production of aluminum goods is categorized as a hazardous waste in many nations [\[55](#page-20-0), [60](#page-20-0)].

#### **1.2.2.4 Resource Recovery and Recycling from WAD**

**Conversion of WAD into Value-Added Product** As an illustration of efforts to convert WAD into a value-added product, refractory mullite was prepared using WAD from the fine polishing process used in the manufacture of aluminum parts (also known as aluminum buffng dust) [[61\]](#page-20-0). Ball milling was used to combine Ranong kaolin with WAD in a ratio of 100:0 to 40:60. By dry pressing, the batch mixtures were shaped. The temperature used to fre the green specimens ranged from 1100 to 1400 °C.

In the work by Liu et al. [[55\]](#page-20-0), WAD was utilized to create autoclaved aerated concrete by acting as a foaming agent, while Eliche-Quesada et al. [[56\]](#page-20-0) produced geopolymer foams using the WAD from the secondary aluminum industry's dust flter as a foaming agent. The source of alumina and the foaming agent in this study was WAD. By using a commercial sodium silicate solution and a sodium hydroxide aqueous solution, precursors were chemically activated. The authors claim that the synthetic geopolymer foam materials are equivalent to traditional building products including gypsum boards, foamed concrete, and insulating materials in terms of

their qualities. They suggested using these synthetic geopolymer foams as materials for gas fltration or catalyst support in other applications.

#### **1.3 Conclusions**

The comparative particle size distribution, chemical composition and morphology results of WID and WAD, provide a better analysis of the possibility of their recirculation or disposal after their stabilization/solidifcation. Hence, the following deductions were reached:

- 1. All particle size distribution results show that WID was very fne, with particle size smaller than 5 μm in diameter.
- 2. The chemical composition of WID produced by steel producers around the world is often identical, with  $Fe<sub>2</sub>O<sub>3</sub>$  having the highest content (39.56–56.00%), as followed by  $Cr_2O_3/CrO$  (9.09–16.30%), then CaO (2.31–14.00%), ZnO  $(0.93-7.41\%)$ , NiO  $(2.42-6.70\%)$ , SiO<sub>2</sub>  $(4.81-6.42\%)$ , MnO/MnO<sub>2</sub>  $(2.64 - 5.88\%)$ , MgO  $(2.35 - 5.44\%)$ .
- 3. The metals in WID were mainly present in the form of complex oxide, and also as silicates.
- 4. On some occasions, the particle size of WAD ranged between 80 and 105 μm. whereas WAD's PSD was reported between 1.34 and 20 m size fractions.
- 5.  $Al_2O_3$  makes up the greatest percentage of each WAD (21.56–82.28%), followed by SiO<sub>2</sub> (0.38–6.30%), MgO (2.37–4.31%), CaO (1.00–2.80%), and Fe<sub>2</sub>O<sub>3</sub>  $(0.64 - 1.40\%)$ .
- 6. Aluminum metal and aluminum oxide were found to be the primary crystal phases in WAD. Aluminum nitride, aluminum magnesium oxide, and silicon are additional crystal phases reportedly present in WAD.
- 7. The WAD particle shapes range from small rod-like particles with a roughly spherical shape to smooth surfaces.

All of these fndings indicate that the WMDs mentioned contain signifcant amounts of precious metals and other components in addition to hazardous metals. Direct stabilization/solidifcation techniques for disposal or recirculation were not the most effective ways to manage WMD, though, due to the scarcity of mineral resources. Therefore, resource recovery and recycling from these WMDs is a sustainable strategy from both an economic and environmental standpoint.

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## <span id="page-21-0"></span>**Chapter 2 Resource Recovery and Recycling from Waste Metal Dust (II): Waste Copper Dust**



**Daniel Ogochukwu Okanigbe**

#### **2.1 Introduction**

The complex process [\[1](#page-31-0)] of extracting copper from mineral resources [\[2](#page-31-0)] is shown in Fig. [2.1.](#page-22-0)

These mineral deposits create mineralization zones that are commercially advantageous to the miner. As a result, several mining techniques are employed to explore and make use of them for their copper values [[3\]](#page-31-0). Unit operations are performed before, during, and after the mining process [\[4](#page-31-0)], and they normally take place on a facility and are done directly to speed up the mining process [[5\]](#page-31-0). These activities before, during, and after mining frequently produce desired items as well as unde-sirable by-products [[6\]](#page-31-0), such as waste copper dusts (WCD).

WCD produced by copper mining operations is currently inevitable [[7\]](#page-31-0), and if not appropriately controlled, they constitute a risk to human health and the environment [[7–11\]](#page-31-0).

When metal ore is chemically heated, dangerous gases are created, and WCD are frequently composed of copper and other metal values close to these gases [[12,](#page-31-0) [13\]](#page-31-0). These composites are usually categorized as dangerous under South Africa (SA) legislation due to their poisonous ingredients (Fig. [2.2](#page-22-0)).

Adhering to this legislation often presents a challenge, because most copper mining businesses work on a linear economic model, which is rather straightforward because it is based on extracting mineral resources and converting them into a product that eventually becomes waste (i.e., "taking, manufacturing, and wasting")

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<span id="page-22-0"></span>

Fig. 2.1 Flowchart of the copper mining process. (Source: Google image)



**Fig. 2.2** Characterization, content, and SA legislation on WCD [[14](#page-31-0)]



**Fig. 2.3** South Africa's copper mine production in metric tons from 2011 to 2018. (Image courtesy of Google)

[\[15–17](#page-31-0)]. This economic model is distinct from the circularity model, which contains ideas like "resource recovery and recycling; a system that minimizes the use of resource inputs that result in waste, pollution, and carbon emissions [\[17](#page-31-0), [18](#page-31-0)]."

Given the aforementioned, Evert Swanepoel, the executive chairperson of the Copper Development Association of Africa (CDAA), stated in a report from Mandarin Finance and Economics [[19\]](#page-31-0) that "Due to the declining and limited domestic copper production (Fig. 2.3), SA is expected to prioritize the recycling of copper resources to ensure there remains suffcient stock as the economy becomes more energy efficient and less dependent on fossil fuels" [[20,](#page-32-0) [21\]](#page-32-0). Two-thirds of all copper ever produced is still in use today, the CDAA chairman continued. Nevertheless, he noted that only Palabora Mining Company was still in operation to provide domestic demand in SA, where copper was becoming increasingly rare [\[22](#page-32-0)]. According to the CDAA chairman, the mine was on the verge of closing down [\[23](#page-32-0)], while explorer and developer Orion Minerals is constructing a new copper mine in the town of Prieska in the Northern Cape [\[24](#page-32-0)]. Ultimately, this project will not be fnished until 2023.

Furthermore, exporting a lot of copper scrap to foreign recyclers limits the amount of domestic copper available, giving local recyclers less supplies of copper scrap to work with. This leaves the nation with the options of "using recycled copper or importing copper raw material?"

Therefore, it is noteworthy at this moment to conduct research into resource recovery and recycling from waste metal dust (WCD) [\[25–29](#page-32-0)]. In fact, as a result of these efforts, the circularity model is given a powerful voice in the copper mining industry. This approach closes the copper loop while also helping to lessen adverse environmental consequences.

This chapter will explore the generation, description, and recirculation of WCD, as well as resource recovery and recycling from WCD, in light of this line of thinking. Following that, a list of suggested research felds for turning the South African WCD into a useful resource follows.

#### **2.2 Waste Copper Dust**

#### *2.2.1 Generation*

Impurities must be removed in copper metallurgy in order to produce copper that is of a high quality [[30](#page-32-0), [31](#page-32-0)], but they must also be removed for environmental reasons [\[32](#page-32-0)]. It is reasonable to anticipate an even more dire situation in the future given the continuous rise in impurities in copper ore over time [[33](#page-32-0)]. Impurities must be kept below the limits permitted by national and international regulations for the copper industry [[34](#page-32-0)], and the overall emission of hazardous substances must be reduced.

Oxidation, slagging, and volatilization are used to remove impurities from copper during the smelting, conversion, and refning processes [[35,](#page-32-0) [36](#page-32-0)]. The Teniente Converter, Inco Flash, Outokumpu Flash, and Mitsubishi processes, among others, have all seen advancements thanks to a mix of intensive reactors that use oxygen or oxygen-enriched air as the blowing gas. The way of removing impurities, however, has remained unchanged and has instead turned into a signifcant constraint in the contemporary method of copper smelting and conversion [[37,](#page-32-0) [38\]](#page-32-0).

Gaseous emissions generated from copper processing include heavy metals, water vapor,  $SO_2$ ,  $N_2$ , and  $O_2$  in addition to other contaminants [[39\]](#page-32-0). As a result of the gas cleaning procedure, WCD is produced [[40\]](#page-32-0). WCD contains condensate matter and small semi-melted concentrate particles that are carried with the off-gas.

#### *2.2.2 Description of WCD*

#### **2.2.2.1 Particle Size Distribution of WCD**

The WCD is primarily made up of fne-grained particles, according to the WCD production mechanism and the results of characterizing the WCD for its particle size distribution. The following researchers: Okanigbe et al. [[25\]](#page-32-0), Ha et al. [[41](#page-32-0)], Bakhtiari et al. [\[42,](#page-32-0) [43](#page-33-0)], Vakylabad et al. [[44](#page-33-0)], Morales et al. [[45\]](#page-33-0), Balladares et al. [[46\]](#page-33-0), and Xu et al. [\[47\]](#page-33-0) shared that the majority of the particles, measured quantitatively, are very small and lie within the size range of 5–50 μm below the 53-μm sieve aperture. When Okanigbe, [[48](#page-33-0)], studied the South African WCD's −53 μm size fraction using the laser diffraction technique, the results showed that the bulk particle sizes in this size fraction were primarily between 24 and 30 μm, with no particles falling within the nano-small range (Table [2.1\)](#page-25-0). This knowledge was helpful, especially for the actual separation of the South African WCD [[27](#page-32-0)].

Size (µm)	%Change	%Passing	Size (µm)	%Change	%Passing
2000.00000	0.00	100.00	4.63000	1.21	3.88
1674.00000	0.00	100.00	3.39000	0.93	2.67
1408.00000	0.00	100.00	3.27000	0.71	1.74
1184.00000	0.00	100.00	2.75000	0.54	1.03
995.60000	0.00	100.00	2.31300	0.38	0.49
837.20000	0.00	100.00	1.94500	0.11	0.11
704.00000	0.00	100.00	1.53500	0.00	0.00
592.00000	0.00	100.00	1.37500	0.00	0.00
497.30000	0.00	100.00	1.15600	0.00	0.00
418.60000	0.00	100.00	0.97200	0.00	0.00
352.00000	0.00	100.00	0.81800	0.00	0.00
296.00000	0.34	100.00	0.68800	0.00	0.00
248.90000	0.44	99.66	0.57800	0.00	0.00
209.30000	0.57	99.22	0.48500	0.00	0.00
176.00000	0.72	98.65	0.40900	0.00	0.00
148.00000	0.90	97.93	0.34400	0.00	0.00
124.60000	1.16	97.03	0.28900	0.00	0.00
104.70000	1.53	95.37	0.24300	0.00	0.00
88.00000	2.07	94.34	0.20400	0.00	0.00
74.00000	2.87	92.27	0.17200	0.00	0.00
62.23000	3.92	89.40	0.13500	0.00	0.00
52.33000	5.12	85.43	0.12200	0.00	0.00
44.00000	6.29	80.36	0.10200	0.00	0.00
37.00000	7.30	74.07	0.08600	0.00	0.00
31.11000	8.09	66.77	0.07200	0.00	0.00
26.16000	8.57	58.63	0.06100	0.00	0.00
22.00000	8.63	50.11	0.05100	0.00	0.00
18.50000	8.28	41.43	0.04300	0.00	0.00
15.56000	7.33	33.20	0.03600	0.00	0.00
13.03000	6.14	25.37	0.03000	0.00	0.00
11.00000	4.98	19.73	0.02550	0.00	0.00
9.25000	3.96	14.75	0.02150	0.00	0.00
7.73000	3.06	10.79	0.01810	0.00	0.00
6.59000	2.26	7.73	0.01520	0.00	0.00
5.50000	1.59	5.47	0.01280	0.00	0.00

<span id="page-25-0"></span>Table 2.1 PSD of WCD as Determined with the Laser Diffraction [[48](#page-33-0)]

	Content (wt. $%$ )						
Composition	WCD 1	WCD <sub>2</sub>	WCD <sub>3</sub>	WCD <sub>4</sub>	WCD 5	WCD 6	WCD <sub>7</sub>
Cu	18.02	10.8	10.90	27.00	7.53	41.70	4.00
Fe	13.36	0.8	1.60	11.00		29.60	
Zn	0.27	15.6	7.80	5.8	40.21	0.30	18.00
S	3.44	10.4	—	7.5	-	13.00	7.00
Bi	0.02	3.5	1.90	0.20	-	2.30	1.10
As	-	19.4	7.10	13.0	-	0.40	20.5
Ca	3.52	-	-	-	-	-	-
Mg	2.86	-	-	-	-	-	-
Ti	1.11	-	-	-	-	-	-
Si	33.06	-	-	-	-	-	-
Al	22.19	-	-	-	-	-	$\overline{\phantom{m}}$
Cd	-	-	1.30	-	-	-	9.50
Pd	0.12	7.80	14.20	1.50	6.62	0.00	8.00
Sb	-	0.1	0.10	-	-		1.20
Others	1.95	31.6	55.10	33.80	45.64	12.4	$\overline{\phantom{m}}$

<span id="page-26-0"></span>**Table 2.2** Different chemical compositions of WCD

Key: WCD 1 = Okanigbe et al. [\[25\]](#page-32-0); WCD 2 = Montenegro et al. [[39](#page-32-0)]; WCD 3 = Ha et al. [[41](#page-32-0)]; WCD  $4 =$  Morales et al. [\[45\]](#page-33-0); WCD  $5 =$  Qiang et al. [\[49\]](#page-33-0); WCD  $6 =$  Vítková et al. [\[50\]](#page-33-0); WCD  $7 =$ Font et al.  $[51]$ .

#### **2.2.2.2 Chemical Composition of Typical WSD**

According to Table 2.2, the composition of this WCD varies depending on the operation. The mineralogy of the concentrates, fuxes, and circulating material (slag, WCD, etc.), as well as their corresponding proportions, determines the composition [\[46](#page-33-0)], while the type of reactor used in the smelting and conversion procedures mostly affects the mass of WCD produced [\[47](#page-33-0)].

As shown in Table 2.2, WCD typically contains the minor elements copper (Cu), iron (Fe), lead (Pb), arsenic (As), cadmium (Cd), antimony (Sb), zinc (Zn), bismuth (Bi), and selenium (Se), but the exact form of each element depends on the environmental factors and operational parameters of the smelting and converting processes [\[39](#page-32-0)]. The ratio of these components in the WCD aids in determining the best waste management approach (i.e., disposal, recirculation, resource recovery, and recycling) to use.

#### **2.2.2.3 Mineralogy of WCD**

Researchers Vakylabad et al. [\[44](#page-33-0), [52](#page-33-0)], Morales et al. [\[45](#page-33-0)], Qiang et al. [[49\]](#page-33-0), Vtková et al. [[50\]](#page-33-0), Font et al. [\[51](#page-33-0)], Alguacil et al. [\[53](#page-33-0)], Ettler et al. [[54\]](#page-33-0), and Xu et al. [\[55](#page-33-0)] examined the phase structures of the WCD. The phases that are frequently found in WCD are represented in Table [2.3](#page-27-0) and mostly consist of complex copper oxides and sulfdes, although the compositions of these WCD vary greatly (Table [2.3](#page-27-0)).

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	Phases			
Composition	WCD 1	WCD <sub>2</sub>	WCD 3	WCD4
Cu	$Cu6.88Fe17.12O32.00$	CuO	$CuSO4.00$ .5H <sub>2.00</sub> O, CuFeO <sub>2.00</sub>	$CuSO4.00$ , CuS
	$Cu_{4.00}Fe_{4.00}S_{32.00}$			
Fe	$Mg_{0.04}Fe_{2.96}O_{4.00}$		Fe <sub>3.00</sub> O <sub>4.00</sub>	-
Zn	-	ZnO	-	$ZnS$ , $ZnSO4.00$
Ca	$Ca(SO_{4.00})(H_{2.00}O)_{2.00}$			
Pb	-	PbO	-	PbSO <sub>4.00</sub>
As	-		-	$As_{2.00}O_{3.00}$
Al	$\text{Al}( \text{Al}_{0.69}\text{Si}_{1.22}\text{O}_{4.85})$	AICl <sub>3.00</sub>	-	
Si	SiO <sub>2.00</sub>			

<span id="page-27-0"></span>**Table 2.3** Mineralogy of different WCD

Key: WCD 1 = Okanigbe et al. [[25](#page-32-0)]; WCD 2 = Qiang et al. [[49](#page-33-0)]; WCD 3 = Vítková et al. [[50](#page-33-0)]; WCD  $4 =$  Font et al. [\[51\]](#page-33-0)



Elements						Spectrums						
						6			Average		35	
	Wt%	σ	Wt%	$\sigma$	Wt%	σ	Wt%	a	$-Wt%$	$n$ d	30	<b>E</b> «wt% $B \n $
Cu	31.0	0.3	4.7	0.2	30.2	2.0	37.5	0.3	25.9	0.7	age Spectrum 25	
Fe	39.4	0.3	14.3	0.2	7.4	1.4	13.9	0.2	18.8	0.5	20	
l o	23.3	0.3	40.7	0.3	36.2	1.8	30.4	0.2	32.7	0.7	15	
l Ca	3.2	0.1	6.7	0.1	$\sim$	$\overline{\phantom{a}}$	1.3	0.1	3.7	0.1	Aver	
l Al	1.2	0.1	15.2	0.1	13.2	1.0	5.3	0.1	8.7	0.3	10	
l Si	1.0	0.1	15.0	0.1	12.9	1.1	4.7	0.1	8.4	0.4		
	0.4	0,0	0.7	0,0	$\sim$	$\sim$	3.3	0.1	1.5	0.0		
Tb	0.4	0.4	0.0	0.3		$\sim$	$\sim$	$\sim$	0.2	0.4	Cu Fe $\circ$ Tì. 2n ΤЬ Ca Si. AI ĸ	
K	$\sim$	$\overline{\phantom{a}}$	1.4	0.1	۰	۰	1.3	0.0	1.4	0.0	Elements	
Ti	$\sim$	a.	1.2	0.1	٠	$\sim$	1.3	0.1	1.3	0.1		
Zn	×	×	٠	$\sim$		×	1.0	0.2	1.0	0.2		

**Fig. 2.4** Scanning electron micrograph and energy dispersive spectrums of WCD from South Africa

#### **2.2.2.4 Morphology of WCD**

SEM images and energy dispersive spectra of a WCD from SA are shown in Fig. 2.4. Few unevenly shaped particles can be seen in the micrographs, whereas most particles are typically spherical in shape [[25,](#page-32-0) [47](#page-33-0)]. The appearance of the WCD under the electron microscope is typical of semi-molten or molten material solidifed by cooling in a gas transport system [[25\]](#page-32-0), as agreed upon by various researchers [\[25](#page-32-0), [47\]](#page-33-0), as opposed to particles reacting in the solid state, which have a more angular look [\[46](#page-33-0)]. The diameters of the particles, which range from submicron to micron, and their adhesion to one another [[25\]](#page-32-0) can also be seen in Fig. 2.4.

#### *2.2.3 Recirculation of WCD*

The approach of recycling the WCD back into the furnaces, according to Bakhtiari et al. [\[43](#page-33-0)], decreases their effcacy and raises the amount of energy needed for smelting; additionally, due to its characteristically fine nature,  $5-50 \mu m$  [\[25](#page-32-0), [41](#page-32-0), [47\]](#page-33-0), it damages the refractory bricks when returned to the furnace and places a circular load on the furnaces.

To determine the impact of WCD recirculating in the smelting process on WCD generation and the behavior of certain impurities among the matte and slag phases, Montenegro et al. [[39\]](#page-32-0) tested this recirculation strategy at the laboratory scale. The authors claim that copper can be successfully recovered using WCD recirculation. Recirculation into the smelting process can reduce WCD generation if the carryover of recirculated WCD is successfully reduced. However, the ultimate matte quality determines how much WCD recirculation occurs.

Additionally, the recirculation of WCD into the smelting process will be prevented by exceptionally high As concentrations and higher concentrations of other impurities including Bi, Pb, and Sb [[39,](#page-32-0) [56\]](#page-33-0). This is because recirculation causes the distributions of these contaminants in matte to dramatically rise. Therefore, the way those contaminants behave may restrict how much WCD recirculation occurs during the process.

#### *2.2.4 Resource Recovery and Recycling from WCD*

#### **2.2.4.1 The Recovery of Metals from WCD**

It is common for one or more extractive metallurgy unit activities to be combined in order to recover metal values from WCD. Following is a discussion of the various extractive metallurgy and mineral processing unit activities for the recovery of these metal values:

The Use of Hydrometallurgical Techniques

The work by Li et al. [[57\]](#page-33-0) showed how arsenic was removed from the WCD leach solution by co-precipitation with ferric ions. Secondary products from WCD, are abundant in bismuth (Bi) and other signifcant metals, claim Ha et al. [\[41](#page-32-0)]. They provided a cost-effective hydrometallurgical method for extracting Bi from WCD in their paper. When used as leaching reagents, a solution of  $H<sub>2</sub>SO<sub>4</sub>$  and NaCl can effectively remove Bi from WCD. Under ideal circumstances, a 92% leaching effciency was attained. Guo et al. [\[58](#page-33-0)] created a hydrometallurgical procedure to selectively recover arsenic from WCD by leaching the WCD with NaOH-Na<sub>2</sub>S.

The Use of Bio-Hydrometallurgy Techniques

The bioleaching of WCD from smelters in Iran's Sarcheshmeh copper complex was studied by Bakhtiari et al. [[43\]](#page-33-0). Although sulfde minerals, notably pyrite, in copper concentrates undergo oxidation processes that release acid, authors reported that the WCD bio-treatment process consumes acid. A different study by Bakhtiari et al. [\[42](#page-32-0)] looked at the bioleaching of copper from the WCD of the Sarcheshmeh copper smelting plant. In the study, the outcomes of a series of continuous tests performed on two-stage airlift bioreactors injected with bacteria that were originally produced from acid mine drainage were described.

#### The Use of Pyrometallurgical–Hydrometallurgical Techniques

The recovery of precious metals and the removal of arsenic from WCD have both been extensively investigated using the pyro-hydrometallurgical method [[47\]](#page-33-0).

The Use of Physical Separation Techniques

The process parameterization of a centrifugal concentrator for the separation of a WCD was followed by a theoretical contribution including the creation of a system of predictive models in the work by Okanigbe et al. [[27\]](#page-32-0). According to the fndings, a maximum grade of approximately 35.02 wt% Cu was attained at 120G for the rotational bowl speed, 3.0 L/min for the water flow rate, 1.48 L/min for the continuous experimental fow rate, and 0.5 L/min for the liquid to solid ratio. Similar to this, under the same testing conditions, a minimum output of  $14.58\%$  SiO<sub>2</sub> and  $10.29\%$  Al<sub>2</sub>O<sub>3</sub> was attained. This clearly shows a trend toward ideal experimental settings designed to maximize Cu output and reduce  $SiO_2$  and  $Al_2O_3$  levels.

Using unit operations of zinc vapor evaporation and condensation as well as super-gravity separation of copper droplets, researchers from Gao et al. [[59\]](#page-33-0) suggested a novel method to effciently extract metallic copper and crown zinc from WCD.

#### Stabilization/Solidifcation

Most of WCD are considered as hazardous pollutants because they contain lots of heavy metals; consequently, several researchers have focused on the stabilization/ solidification of them [\[45](#page-33-0), [60–62](#page-33-0)]. Furthermore, since  $As_2O_3$  is abundant worldwide, it is not practical from an economic standpoint to entirely recycle As-rich WCD from non-ferrous metallurgies [\[63](#page-33-0)]. Should WCD not meet the conditions set forth by the European Union (EU) for landflling in hazardous waste disposal sites (Table [2.2](#page-26-0)), it must be solidifed or stabilized before it can be accepted at any landfll site.

#### Conversion of WCD into Value-Added Product

With the shortage of mineral resources, direct disposal after stabilization/solidifcation processes might not be the best methods for treating WCD like the one generated in SA.

Granted the WCD from SA has the bare minimum As, Bi, Pb, and Sb contents necessary for landflling in hazardous waste disposal facilities. The best way to manage this waste is through resource recovery and recycling by conversion into useful commodities for additional value.

Hence, the following research suggestions on transforming WCD from SA into a value-added product are listed below, these ideas have been made public in their whole forms:

- 1. Thermal and Mechanical Properties (I): Optimum Predictive Thermal Conduction Model Development for Epoxy Filled Copper Oxide Nanoparticles Composite Coatings on Spent Nuclear Fuel Steel Casks.
- 2. Thermal and Mechanical Properties (I): Spark Plasma Sintered Ti–6Al–4V Alloy Reinforced with Mullite-Rich-tailings for Production of Energy Effcient Brake Rotor.
- 3. Wave Energy Converter Design: Seawater Integrity and Durability of Epoxy-Resin Filled Corrosive Microorganism Surface Modifed Waste Copper Dust.
- 4. Aircraft Engine Fan Blade Design: Impact Tolerance Prediction of Partially Filled 3D Printed Aluminum, Titanium, and PEEK Filled Waste Copper Dust.
- 5. Preparation and Characterization of Hydrotalcite-Derived Material from Mullite-Rich-Tailings (I): Transesterifcation of Used Cooking Oil to Biodiesel.
- 6. Preparation and Characterization of Hydrotalcite-Derived Material from Mullite-Rich-Tailings (II):  $CO<sub>2</sub>$  Capture from Coal-Fired Thermal Power Plants.

#### **2.3 Conclusions**

Discussions on the production, description, and recirculation of WCD, as well as resource recovery and recycling from South Africa's WCD, were given in this chapter and arrived at the following deductions:

- 1. As a result of the gas cleaning procedure, WCD is produced.
- 2. The bulk particles in the South African WCD's −53 μm size fraction were primarily made up of 24–30 μm particle sizes.
- 3. Because of the chemical makeup and/or mineralogy of some WCD, recirculation has been deemed unacceptable.
- 4. The WCD from SA contains the minimal minimum amount of As, Bi, Pb, and Sb required for landflling at facilities for the disposal of hazardous waste.

Therefore, it was suggested that the adoption of creative ideas that aim to transform WCD into functional material rather than recirculation or disposal will help to reduce adverse environmental consequences while also closing the copper loop (Zero-waste production).

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## **Part II Pre-treatment of Waste Copper Dust**

## <span id="page-35-0"></span>**Chapter 3 Pre-treatment of Waste Copper Dust (I): Potential of Oxidative Roasting–Density Separation–Sulphuric Acid Leaching Technology for Copper Recovery**



**Daniel Ogochukwu Okanigbe , Abimbola Patricia Popoola, and Abraham Adewale Adeleke**

#### **3.1 Introduction**

Numerous researchers have thoroughly investigated and reported on the treatment of waste copper dust (WCD)  $[1-11]$  $[1-11]$ . These procedures, which include roasting WCD [[12,](#page-54-0) [13](#page-54-0)], smelting WCD [[14–16\]](#page-54-0), roasting WCD followed by dissolution [\[17–20](#page-54-0)], and sulphuric acid leaching of WCD [[21–23\]](#page-54-0), have been presented or used at various levels (pilot and/or industrial levels).

In comparison with hydrochloric (HCl) and nitric acid ( $HNO<sub>3</sub>$ ), sulphuric acid  $(H_2SO_4)$  is the most effective and popular acid for leaching oxides, according to Teir et al. [[24\]](#page-54-0), Trans et al. [[25\]](#page-54-0), and Habashi [\[26](#page-55-0)]. In order to recover the copper value present in waste/by-products containing copper, such as WCD, sulphuric acid has been employed extensively [[11,](#page-54-0) [27\]](#page-55-0).

In WCD, copper is primarily present as copper oxides and, to a lesser extent, as copper sulphides [\[5](#page-54-0)]. Yes, it is noticeable given that 70,000–100,000 kilograms of WCD is produced annually [\[2](#page-53-0), [3](#page-54-0), [28](#page-55-0)]. Chalcopyrite forms passive layers over itself

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during sulphuric acid leaching, which slows the rate of dissolution and ultimately results in low copper recovery, therefore recovering its copper sulphides like chalcopyrite with this method will defeat the purpose of conserving mineral resources [\[29](#page-55-0), [30\]](#page-55-0). However, a pre-treatment method frequently preferred in the industry for copper sulphides [[17–20\]](#page-54-0) involves subjecting the WCD to either low temperatures (partial roasting)  $\left[31\right]$  $\left[31\right]$  $\left[31\right]$  or high temperatures (dead roasting)  $\left[32\right]$  in the hope of producing dissolvable oxidative products (or calcines) for copper recovery.

In addition, the complex mineralogy (mineralogy that might contain gangue minerals such clays, jarosites, gypsum, quartz, and micas) and porosity structure of WCD continue to produce side reactions [\[33](#page-55-0)] and poor mass transfer of solution. Sulphuric acid consumption from side effects is a problem and thus reduces the effectiveness of leaching. The diffusion mechanism in the solid particles of the WCD can also have an impact on the leaching efficiency; this mechanism is dependent on the pore distribution (also known as porosity) of the WCD and can have a positive or negative impact on the leaching effciency depending on the nature of the pore distribution in the WCD, which in turn affects the mass transfer of solution to the sites of leaching reactions.

In light of the foregoing, research into the impact of pre-treatments including oxidative roasting and density separation of WCD on mineralogy, side reactions, and mass transfer of solution is required to determine whether copper recovery may be improved. The results of these investigations will thus be presented in this chapter.

# **3.2 Experimental Method**

# *3.2.1 Material*

#### **Waste Metal Dust**

The WCD from South Africa was used as source of metal ore for this study.

# *3.2.2 Methods*

#### **3.2.2.1 Pre-Treatment Methods**

Oxidative Roasting

Read Okanigbe, Popoola and Adeleke [[7\]](#page-54-0), Okanigbe et al. [[9\]](#page-54-0), and Okanigbe [\[34](#page-55-0)] for further information on thermal behaviour, thermodynamic modelling, experiment design, and the experimental process of oxidative roasting pre-treatment of the WCD.

#### <span id="page-37-0"></span>Density Separation Method

The articles by Okanigbe et al. [\[8](#page-54-0)], Okanigbe [[34\]](#page-55-0), and Okanigbe et al. [[35\]](#page-55-0) provide more information on sample preparation, density determination, experiment design, and the experimental process of density separation pre-treatment of WCD.

# **3.3 Results and Discussion**

# *3.3.1 Effect of Pre-Treatments on Mineralogy of WCD*

#### **3.3.1.1 Oxidative Roasting**

Figure 3.1a, b displays the differential scanning calorimetry (DSC) and thermogravimetric analyser (TGA) results at various heating rates. The DSC curves have similar shapes, but when the heating rate rises, the peaks alter at higher temperatures (Fig. 3.1a). It was discovered that heating the WCD between 40 °C and 138 °C causes moisture to evaporate, which on the TGA curve equates to a loss of mass as well (Fig. 3.1b). Between 120 °C and 150 °C, an endothermic peak was seen in the DSC profle; this was most likely caused by the loss of water during crystallization, which occurs in species of minerals like gypsum [\[7](#page-54-0)]. However, pyrite began to oxi-dize at intervals of 173 °C–261 °C, which was shown by mass loss in the TGA curve (Fig. 3.1b) and an exothermic peak at 248 °C in the DSC profle (Fig. 3.1a).

The publication by Okanigbe, Popoola, and Adeleke [\[5](#page-54-0)] contains the fndings of the XRD analysis of the heat treated WCD.

Thermodynamic modelling of the oxidative roasting of WCD (using FACTSage 6.8 version) was done utilizing the results of the DSC (Fig. 3.1a), TGA (Fig. 3.1b), and XRD analyses (Table [3.1](#page-38-0)).

Based on these modelled results, a special superimposed predominant area diagram (SPAD) was created for the Cu-S-O and Fe-S-O systems (also known as Cu-Fe-S-O) at temperatures of 100 °C, 680 °C, 740 °C, and 800 °C (Fig. [3.2a, b\)](#page-39-0).



**Fig. 3.1** Thermal Behaviour of CSD as derived from (**a**) DSC and (**b**) TGA Curves at 5, 10, 15, and 20 °C/Min [\[7\]](#page-54-0)

No.	<b>Minerals</b>	Chemical Formulae	Content (Wt $\%$ )	Specific gravity
	Cuprospinel	Cu <sub>0.98</sub> O <sub>1.02</sub>	24.34	6.50 (Landes $[36]$ )
2	Chalcopyrite	$Cu6.88Fe4.00S8.00$	7.95	4.20 (Landes $[36]$ )
3	Mullite	$Al(Al_{0.69}Si_{1.22}O_{4.85})$	42.97	3.16 (Tripathi et al. [37])
$\overline{4}$	Gypsum	$Ca(SO_{4.00})(H_{2.00}O)_{2.00}$	11.69	2.33 (Landes $[36]$ )
	Ouartz	SiO <sub>2.00</sub>	11.45	2.70 (Landes $[36]$ )
6	Magnetite	$Fe_{2.96}O_{4.00}$	1.60	4.95 (Landes $[36]$ )

<span id="page-38-0"></span>Table 3.1 Mineralogical Composition and Specific Gravity of WCD [[5](#page-54-0), [6\]](#page-54-0)

The SPAD model displays the Cu-Fe-S-O system's potential phases at low temperature (680 °C), intermediate temperature (740 °C), and high temperature (800 °C) (Fig. [3.2a, b](#page-39-0)).

Copper and iron sulphides, such as chalcopyrite and bornite (Fig. [3.2a](#page-39-0)) and pyrite (Fig. [3.2b\)](#page-39-0), respectively, oxidize at lower temperatures to form sulphates and oxysulphates, such as chalcocyanite and dolerophanite. These minerals transform into oxides like tenorite, hematite, and magnetite at high temperatures (800 °C). Accordingly, it will be anticipated to detect copper ferrite/Cuprospinel-CuFe<sub>2</sub>O<sub>4</sub>, which was created in the WCD at temperatures of 900 °C, which is near to the operating temperature (i.e. oxidative roasting in reverberatory furnace-1400 °C) under which it was produced (Fig. [3.2a\)](#page-39-0). This modelled premise fits in nicely with a prior report on the characteristics of this specifc WCD [[5\]](#page-54-0).

According to theory, chalcopyrite native solid-phase migration into spinel form ferrite is what creates cuprospinel [\[7](#page-54-0)].  $CuSO<sub>4</sub>$ ,  $Fe<sub>3</sub>O<sub>4</sub>$ ,  $CuO$ , and  $Fe<sub>2</sub>O<sub>3</sub>$  are intermediary phases that allow  $CuFe<sub>2</sub>O<sub>4</sub>$  to form inside grains. The thermodynamic model was also used to predict the theoretical equilibrium composition (TEC) of the WCD after roasting in the air (Eq. 3.1).

$$
24.34 \left( Cu_2 O \right) \left( Fe_2 O_3 \right) + 7.95 CuFeS_2 + 42.97 Al_6 Si_2 O_{13} + 11.69 CaSO_4 \left( H_2 O \right)_2 + 11.45 SiO_2 + 1.60 MgFe_2 O_4 + (71\%N_2, 19\%O_2, 10\% CO_2) Air
$$
\n(3.1)

The thermodynamic model for WCD was experimentally validated by roasting the WCD in a muffe furnace with the furnace door slightly ajar to let in fresh air. It was found that even at 800 °C, the mullite, quartz, and gypsum in the WCD as it was received persisted in the roast product unaltered (Fig. [3.3\)](#page-40-0). While gypsum was observed to lose its water of crystallization at temperatures between 120 °C and 200  $\degree$ C to form anhydrite (Fig. [3.3](#page-40-0)), this was not the case for iron in WCD, which oxidized into iron II (Fe<sup>2+</sup>) and/or iron III (Fe<sup>3+</sup>). These findings are consistent with those made from analyses of DSC and TGA results (Fig. [3.1a, b\)](#page-37-0).

Because some sulphur did not completely oxidize during partial roasting, sulphur is still present in the roast products (RP) as  $Cu<sub>2</sub>S$  and FeS. In the RP, oxygen coexists with either copper or iron. It was found that varying temperature and time were related to variations in the sulphate and oxide levels in the RP. Certain circumstances made it more likely to produce sulphates than oxides, and vice versa (Fig. [3.4](#page-40-0)).

In terms of mineralogical composition, it was found that test 8 with treatment settings of 800 °C for 2 hrs produced the closest experimental result to TEC [\[9](#page-54-0)].

<span id="page-39-0"></span>

**Fig. 3.2** Superimposed predominance area (**a**) Cu-S-O and (**b**) Fe-S-O system diagrams at 100 °C, 680 °C, 740 °C, and 800 °C [[7\]](#page-54-0)

<span id="page-40-0"></span>

**Fig. 3.3** XRD Result of the Oxidative Roasting Carried Out on WCD



**Fig. 3.4** Experimental Equilibrium Compositions at Different Test Conditions

# **3.3.1.2 Density Separation**

In Table [3.2,](#page-41-0) you can see the mineralogical composition of copper value in tailings obtained from TC-7 of DS. According to Table [3.1](#page-38-0) and Fig. [3.5,](#page-41-0) the composition of the copper value in this group of tailings is primarily composed of copper sulfdes with a minor quantity of metallic copper, which is consistent with the theoretical deductions previously established. The copper value that reported to the tailings is primarily made up of sulfdes with a very tiny proportion of metallic copper, i.e. according to the mineralogical analysis of the tailings acquired after DS (Table [3.2\)](#page-41-0).

<span id="page-41-0"></span>

**Fig. 3.5** Concentration criterion for different minerals in the WCD

			$CC$ (between $CuS$ and $SiO2/$
No	<b>Minerals</b>	Chemical formulae of minerals	$SiO_2-Al_2O_3$
	Copper	$Cu_{4.00}$	< 2.5
2	Chalcopyrite	$Cu_{4.00}$ Fe <sub>4.00</sub> $S_{8.00}$	$\leq 2.5$
3	Pyrite	Fe <sub>4.00</sub> S <sub>8.00</sub>	$\leq 2.5$
$\overline{4}$	Wassonite	Cu <sub>28.00</sub> S <sub>16.00</sub>	< 2.5
	Tochilinite	Fe <sub>8.00</sub> S <sub>10.00</sub>	$\leq 2.5$
6	Isocubanite	$Cu133$ Fe <sub>2.67</sub> $S4.00$	$\leq 2.5$

**Table 3.2** Mineralogical Composition of Copper Value in Tailings from TC-7 of DS

Despite being less in content than copper oxides (Table [3.1\)](#page-38-0), these copper sulfdes are nevertheless of signifcant value, especially in light of the 70,000–100,000 kilograms of WCD produced annually  $[2, 3, 28]$  $[2, 3, 28]$  $[2, 3, 28]$  $[2, 3, 28]$  $[2, 3, 28]$  $[2, 3, 28]$ . Therefore, it is advised that oxidative roasting (400–500  $\degree$ C) be combined with DS in the pre-treatment protocol to change these copper sulfdes into copper sulphates or oxides before physical separation.

#### **3.3.1.3 Effect of Pre-Treatment on Classifcation of WCD**

The transformation (i.e. phase change) of minerals (Fig. [3.3\)](#page-40-0) was the major outcome of using oxidative roasting alone to pre-treat WCD. The objective of minimizing adverse effects (i.e. side reactions and poor porosity structures) while copper is being leached from the WCD persist. Since the gangue minerals (such as mullite, gypsum, and quartz), which cause the side reactions during leaching, are still tightly linked to copper value.

Copper oxide, iron oxide, calcium oxide, and magnesium oxide were much more prevalent in the concentrates than in the tailings, hereafter referred to as group A, after the physical separation of WCD with the centrifugal concentrator. However, the tailings had substantially more silicon oxide, aluminium oxide, and titanium oxide than concentrate did (Fig. 3.6). The optimal combination of maximal copper content and minimal quartz and mullite content, which acts as a baseline for centrifugal performance, was found in Test 7, and it was also noted (Fig. 3.7).

The information acquired (Figs. [3.8,](#page-43-0) [3.9, 3.10](#page-43-0), [3.11](#page-44-0), [3.12,](#page-44-0) [3.13,](#page-44-0) [3.14](#page-45-0), and [3.15](#page-45-0)) shows that the separation of copper oxide minerals from undesirable minerals



**Fig. 3.6** Total Mass Pull for Tests Performed with the KGC



**Fig. 3.7** Total Mass Pull for Tests Carried out with the KGC

<span id="page-43-0"></span>(aluminium oxide and silicon oxide) was made possible by applying this pretreatment method (i.e. DS) alone. With this outcome, side reactions during the leaching of copper from the WCD can be brought to the barest minimal (Okanigbe et al. [[8](#page-54-0)]).



**Fig. 3.8** Spectrums showing copper, aluminium, and silicon contents in concentrate from DS test 1



**Fig. 3.9** Spectrums showing copper, aluminium, and silicon contents in concentrate from DS test 2



**Fig. 3.10** Spectrums showing copper, aluminium, and silicon contents in concentrate from DS test 3

<span id="page-44-0"></span>

**Fig. 3.11** Spectrums showing copper, aluminium, and silicon contents in concentrate from DS test 4



**Fig. 3.12** Spectrums showing copper, aluminium, and silicon contents in concentrate from DS test 5



**Fig. 3.13** Spectrums showing copper, aluminium, and silicon contents in concentrate from DS test 6

<span id="page-45-0"></span>

**Fig. 3.14** Spectrums showing copper, aluminium, and silicon contents in concentrate from DS test 8



**Fig. 3.15** Spectrums showing copper, aluminium, and silicon contents in concentrate from DS test 9

#### **3.3.1.4 Effect of Pre-Treatment on Micro-Porosities**

The force that attempts to pull an object in a circle toward its centre is known as centripetal force.

Centripetal force is occasionally experienced by objects, although not as frequently as gravitational force or frictional force. Only when moving in a circle can you experience centripetal force. It makes it possible for items to move along a circular path without being forced off the path by inertia. The condition in which we rotate, swing any object, or travel in orbits around a centre is an excellent example. We talk about the centripetal force in relation to the classifcation of the WCD in this section of Chap. [4.](#page-56-0) The force necessary for circular motion is the centripetal force, and in accordance with Herivel's [[38\]](#page-55-0) interpretation of Newton's second law of motion, net force equals mass times acceleration. The centripetal acceleration is the acceleration in a homogeneous circular motion. Thus, using Eq. 3.2, it is possible to calculate the centripetal force's (F) magnitude. As a result, Eq. 3.2 can be used to estimate the size of the centripetal force acting on WCD particles during classifcation.

$$
C = MA \tag{3.2}
$$

where

 $C$  = centripetal force;  $M$  = mass of solid used for test;  $A$  = acceleration due to gravity

Hence:

$$
C = 83.0562 \,\mathrm{g} \times 9.8 \,\frac{\mathrm{m}}{\mathrm{s}^2}
$$
\n
$$
C = 813.9508 \,\mathrm{gm/s^2}
$$
\n
$$
C = 813.9508 \,\mathrm{N}
$$

However, it is possible to estimate the centripetal force that really affected the particles during WCD classifcation as follows:

**For level 1 (i.e. 60 G)**  $C = 60 \times 813.9508N$ 

 $C = 48,837.048N$ 

This force (48,837.048 N) is comparable to what 86 adults of average weight would apply to a single particle (Fig. 3.16).

**For level 2 (i.e. 90 G)**

 $C = 90 \times 813.9508 N$  $C = 73,255.572 N$ 

This force (73, 255.572 N) is comparable to what 129 adults of average weight would apply to a single particle (Fig. [3.17](#page-47-0)).

# **For level 3 (i.e. 120 G)**

 $C = 120 \times 813.9508 N$  $C = 97,674.096 N$ 

This centripetal force, which is equal to 97,674.096 N, is comparable to what 172 adults of average weight would apply to a single particle (Fig. [3.18\)](#page-47-0).



**Fig. 3.16** Illustration of the force applied to a single particle by 86 averagely weighed men

<span id="page-47-0"></span>

**Fig. 3.17** Illustration of the force applied to a particle by 129 adults of average weight



**Fig. 3.18** Picture showing the force applied to a single particle by 172 adults of average weight



**Fig. 3.19** SEM photo of Concentrate from DS Test 1

<span id="page-48-0"></span>

**Fig. 3.20** SEM photo of Concentrate from DS Test 2



**Fig. 3.21** SEM photo of Concentrate from DS Test 3

<span id="page-49-0"></span>3 Pre-treatment of Waste Copper Dust (I): Potential of Oxidative…



**Fig. 3.22** SEM photo of Concentrate from DS Test 4



**Fig. 3.23** SEM photo of Concentrate from DS Test 5

<span id="page-50-0"></span>

**Fig. 3.24** SEM photo of Concentrate from DS Test 6



**Fig. 3.25** SEM photo of Concentrate from DS Test 7

<span id="page-51-0"></span>3 Pre-treatment of Waste Copper Dust (I): Potential of Oxidative…



**Fig. 3.26** SEM photo of Concentrate from DS Test 8



**Fig. 3.27** SEM photo of Concentrate from DS Test 9



**Fig. 3.28** SEM photo of As-received WCD

Consequently, the concentrates after classifcation exhibit micro-porosities such as cracks and peels as illustrated by SEM images in Figs. [3.19,](#page-47-0) [3.20](#page-48-0), [3.21,](#page-48-0) [3.22](#page-49-0), [3.23](#page-49-0), [3.24, 3.25,](#page-50-0) [3.26,](#page-51-0) and [3.27](#page-51-0) when compared to the as-received WCD (Fig. 3.28).

The concentrate from Test 7 yielded the greatest copper content, which was measured (Fig. [3.25\)](#page-50-0). On the basis of the SEM photos that follow, it can be seen that the number of smaller particles gradually decreased until the ideal condition (test 7) was attained, which displayed the fewest number of smaller particles. This fnding is consistent with the prior EDS results in this chapter (Figs. [3.8](#page-43-0), [3.9](#page-43-0), [3.10,](#page-43-0) [3.11](#page-44-0), [3.12](#page-44-0), [3.13,](#page-44-0) [3.14](#page-45-0), and [3.15\)](#page-45-0).

## **3.3.1.5 Effect of Pre-Treatment on Surface Area, Pore Volume, and Pore Diameter of CSD**

Both the pore volume  $(0.0087 \text{ to } 0.0027 \text{ cm}^3/\text{g})$  and BET surface area  $(4.1730 \text{ to } 0.0087 \text{ to } 0.0027 \text{ cm}^3/\text{g})$  $0.4634 \text{ m}^2/\text{g}$ ) for RP significantly decreased. Shrinkage due to moisture loss caused particle aggregation, sintering, and formation of larger-sized pores (i.e. 82.9530 Å and 233.5759 Å for AS and RP, respectively) in RP (Table [3.3\)](#page-53-0). Although CT had a much bigger pore diameter (increase from 82.9530 Å in CSD to 410.8530 Å in concentrate), it had a much lower specific surface area (down from  $4.1730 \text{ m}^2/\text{g}$  in the WCD to  $0.3321 \text{ m}^2/\text{g}$  in concentrate). In this case, the change was attributed to

<b>BET</b>	<b>WCD</b>	<b>RP</b>	Difference	<b>CT</b>	Difference
Surface area $(m^2/g)$	4.166100	0.458100		0.320500	
	4.179900	0.468700	-	0.343700	-
Average	4.173000	0.463400	$-900\%$	0.332100	$-1200\%$
Pore volume $\text{cm}^3\text{/g}$ )	0.008506	0.002663		0.003139	
	0.008802	0.002749	-	0.003685	-
Average	0.008654	0.002706	$-320%$	0.003412	$-200\%$
Pore diameter $(\AA)$	80.057900	213.654300		396.514200	-
	85.848100	253.497500	-	425.191800	$\overline{\phantom{a}}$
Average	82.953000	233.575900	$+280%$	410.853000	$+400\%$

<span id="page-53-0"></span>**Table 3.3** BET Measurement of WCD [[34](#page-55-0)]

the CT having more heavier/larger particles and less lighter/smaller particles. The leaching rate of copper from this WCD is anticipated to beneft from the increased pore diameter (Table 3.3).

# **3.4 Conclusions**

The aim of this chapter is to present the potentials of introducing DS into OR-SAL technology. This was achieved by comparing OR and DS pre-treatments. Result showed average surface area of WCD decreased by 900% and 1200% when pretreated with OR and DS, respectively. The pore volume decreased by 320% and 200% when WCD was pre-treated using OR and DS, respectively. However, pore diameter increased by 280% and 400% when WCD was pre-treated with OR and DS, respectively. Furthermore, DS pre-treated particles revealed enhanced microporosities in form of peels and cracks. Signifcant amounts of gangue minerals (e.g. aluminium and silicon) reported to the tailings, presupposing reduced SR and enhanced PS. In conclusion, OR-DS-SAL is a technology with capacity to enhance copper recovery from WCD. Hence, recommended.

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# <span id="page-56-0"></span>**Chapter 4 Pre-treatment of Waste Copper Dust (II): Optimum Predictive Models and Experimental Data Error Margin**



**Daniel Ogochukwu Okanigbe , Micheal Kowejo Ayomoh, and Shade Rouxzeta Van Der Merwe**

# **4.1 Introduction**

Customarily, in mineral processing and pyrometallurgy, unit operations are integrated for successful resource recovery. These operations often entail rigorous and costly experimental evaluation of laboratory tests and/or pilot-scale equipment trials. These evaluations are carried out to assess the performance of the unit operations. As a result, developing a low-cost, time-saving tool with the ability to correctly predict the performance of these unit operations would be advantageous. A mathematical model can be used to achieve this purpose of predicting certain occurrences, while expressing it as a set of equations [\[1](#page-77-0)]. Additionally, the optimization and development of both old and new processes can be achieved via mathematical modelling in a cost-effective manner. Mathematical models have the advantage of today, because of the availability and obvious decline in the cost of hardware and software. The past few years have recorded signifcant achievements with the use of mathematical modelling in areas of

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mechanistic modelling, system modelling, and the representation of distributed parameter systems. In the past non-natural obstacle has been positioned between the scientists and engineers saddled with the responsibility of structure and properties of the fnished product on one hand and on the other hand those focused on metals extraction and refning, i.e. the production of semi-fnished products like slabs, rods, bars, or billets. Apart from this obstacle being non-natural and needless, it is also positively harmful. Hence, this challenge requires a holistic approach, one capable of fully integrating the "primary," "secondary," and the fnishing operations, in order to come to the optimal approach. Mathematical models therefore possess the capacity in bringing about such an approach [\[2](#page-77-0)].

The success of mineral processing and/or pyrometallurgy pretreatment unit operations depends on the selection of suitable process variables at which the response attains its best. One of the experimental design methods used to achieve best responses is the full factorial experimental design methodology (FFEDM), which was used to design the density separation experimentation of a waste copper dust (WCD), for enhanced copper recovery [\[3](#page-77-0)] and oxidative roasting experimentation of WCD for copper upgrade [[4\]](#page-78-0). Granted, there are other experimental design methods that can be used to screen relevant variables during mineral processing and/or pyrometallurgy of several ore materials [\[5–7](#page-78-0)]. Nonetheless, reports on optimum predictive model development for mineral processing and/or pyrometallurgy of secondary copper resources in order to determine behaviour of copper recovery under specifed conditions are limited [[3,](#page-77-0) [4](#page-78-0)] Additionally, the determination of error margin between developed optimum predictive models for mineral processing and/or pyrometallurgy of secondary copper resources like WCD has not been published in literature.

Hence, in this study, fndings from determining the consistency between developed optimum predictive models and experimental data for pretreatment of copper smelter dust were presented.

# **4.2 Experimental Method**

# *4.2.1 Materials*

#### **4.2.1.1 Waste Metal Dust (WMD)**

The WCD from South Africa was used as the waste metal dust (WMD) for this study.

#### **4.2.1.2 Methods**

Design of Experiment (DOE)

In the present study, the FFEDM was used to determine the interaction between the response functions—CuSO<sub>4</sub>, CuO, and Fe<sub>2</sub>O<sub>3</sub>:Fe<sub>3</sub>O<sub>4</sub> (Tables [4.1](#page-58-0) and [4.2\)](#page-58-0) contents during oxidative roasting (OR) experimentation and the two operating variables

	Input	PC				$CuO(wt\%)$	$CuSO4 (wt\%)$	
						<b>FDO</b>		
S.N	$CuO(wt\%)$	$CuSO4 (wt\%)$	$T({}^{\circ}C)$	t(h)	<b>OFR</b>	(mm)	EO	EО
1	24.34	7.95	680	1.0	NC	25	6.22	14.52
A	23.97	7.82	680	1.5	NC	25	NE	NE
2	23.85	7.86	680	2.0	NC	25	7.49	23.31
B	24.34	7.62	680	2.5	NC	25	NE	NE
3	23.97	7.45	680	3.0	NC	25	11.37	11.72
$\overline{4}$	24.84	8.43	740	1.0	NC	25	14.27	7.94
C	24.84	8.39	740	1.5	NC	25	NE	NE
5	24.77	8.35	740	2.0	NC	25	16.11	13.55
D	23.93	8.31	740	2.5	NC	25	<b>NE</b>	NE
6	23.93	8.29	740	3.0	NC	25	17.55	3.94
7	24.61	7.90	800	1.0	<b>NC</b>	25	18.06	1.16
E	24.89	8.11	800	1.5	NC	25	NE	NE
8	24.89	8.11	800	2.0	<b>NC</b>	25	16.07	7.95
F	23.91	8.31	800	2.5	NC	25	NE	NE
9	23.91	8.31	800	3.0	NC	25	18.37	0.52

<span id="page-58-0"></span>Table 4.1 Experimental inputs and outputs of CuO and CuSO<sub>4</sub> contents after oxidative roasting of WCD [[4\]](#page-78-0)

**Table 4.2** Experimental inputs and outputs of  $Fe<sub>3</sub>O<sub>4</sub>:Fe<sub>2</sub>O<sub>3</sub>$  ratios after oxidative roasting of WCD [[4\]](#page-78-0)

	PC	$Fe3O4:Fe2O3$				
S.N	$Fe_3O_4$ : Fe <sub>2</sub> O <sub>3</sub>	$T({}^{\circ}C)$	t(h)	<b>OFR</b>	FDO (mm)	EO
1	1	680	1.0	NC	25	0.72
А	1	680	1.5	NC	25	NE
2	1	680	2.0	NC	25	0.44
B	1	680	2.5	NC	25	NE
3	1	680	3.0	NC	25	0.43
$\overline{4}$	1	740	1.0	NC	25	0.41
C	1	740	1.5	NC	25	NE
5	1	740	2.0	NC	25	0.26
D	1	740	2.5	NC	25	NE
6	1	740	3.0	NC	25	0.20
7	1	800	1.0	NC	25	0.18
Ε	1	800	1.5	NC	25	NE
8	1	800	2.0	NC	25	0.15
F	1	800	2.5	NC	25	NE
9	1	800	3.0	NC	25	0.12

Key: *PC* parameters considered, *T* temperature, *t* time, *OFR* oxygen fow rate, *FDO* furnace door opening, *EO* experimental output, *PO* predictive output, *%E* percentage error, *NC* not controlled, *NE* no experiment was performed in the affected rows; hence, they were included for better predictive modelling intervals

		Chemical composition (feed)		Chemical composition (concentrate)						
	Experimental input						Experimental output			
	CuO	Fe <sub>2</sub> O <sub>3</sub>	SiO <sub>2</sub>	$Al_2O_3$	<b>RBS</b>	<b>FWFR</b>	CuO	Fe <sub>2</sub> O <sub>3</sub>	SiO <sub>2</sub>	$Al_2O_3$
S.N	$(wt\%)$	$(wt\%)$	$(wt\%)$	$(wt\%)$	(G)	(l/min)	$(wt\%)$	$(wt\%)$	$(wt\%)$	$(wt\%)$
$\mathbf{1}$	17.22	11.26	35.34	27.45	60	3.0	25.17	21.90	22.97	18.08
A	NE	<b>NE</b>	NE	NE	60	3.75	<b>NE</b>	NΕ	NE	NE
$\overline{2}$	15.86	11.00	35.94	28.15	60	4.5	21.09	18.66	27.97	22.00
B	<b>NE</b>	<b>NE</b>	NE	<b>NE</b>	60	5.25	<b>NE</b>	<b>NE</b>	NE	NE
3	16.61	10.63	36.29	28.38	60	6.0	18.43	15.75	31.36	24.67
$\mathsf{C}$	<b>NE</b>	<b>NE</b>	NE	<b>NE</b>	60	5.25	<b>NE</b>	<b>NE</b>	NE	NE
D	NE	<b>NE</b>	NE	NE	60	4.5	<b>NE</b>	NΕ	NE	NE
$\overline{4}$	16.42	11.23	35.30	27.64	90	3.0	30.08	24.41	18.10	13.98
E	NE	<b>NE</b>	NE	NE	90	3.75	<b>NE</b>	NΕ	NE	NΕ
5	16.68	11.79	34.95	27.40	90	4.5	27.54	23.14	20.86	16.09
F	<b>NE</b>	<b>NE</b>	NE	NE	90	5.25	<b>NE</b>	<b>NE</b>	NE	NE
6	16.05	11.21	35.69	27.96	90	6.0	23.74	20.83	24.93	19.32
G	NE	NE	NE	NE	90	5.25	NE	NΕ	NE	NE
Н	NE	<b>NE</b>	NE	NE	90	4.5	<b>NE</b>	NΕ	NE	NE
7	16.56	11.36	35.34	27.59	120	3.0	35.02	26.18	14.58	10.29
I	<b>NE</b>	NE	NE	<b>NE</b>	120	3.75	NE	NΕ	NE	NE
8	16.50	11.49	34.74	27.63	120	4.5	29.12	24.08	19.25	14.81
J	NE	<b>NE</b>	NE	NE	120	5.25	<b>NE</b>	NE	NE	NE
9	15.99	11.03	35.85	28.04	120	6.0	24.03	21.49	24.14	18.93

<span id="page-59-0"></span>**Table 4.3** Experimental inputs and outputs of CuO, Fe<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, and Al<sub>2</sub>O<sub>3</sub> after density separated WCD [[4\]](#page-78-0)

Key: *RBS* rotational bowl speed, *WFR* water fow rate, *FR* fow rate = 1.48 (l/min) (constant), *LSR* liquid-to-solid ratio = 0.5 (constant), *NE* no experiment was performed in the affected rows; hence, they were included for better predictive modelling intervals

(temperature and time), while CuO,  $Fe<sub>2</sub>O<sub>3</sub>$ , SiO<sub>2</sub> Al<sub>2</sub>O<sub>3</sub> (Table 4.3) contents during density separation (DS) and the two operating variables (fuidized water fow rate (FWFR) and rotational bowl speed (RBS)) were based on principles of experimental design, mathematical equations or models, and outcomes of the factors. The FFEDM contains all likely combinations of the operating variables (factors). The effect of all factors and interaction effects on the responses are investigated methodically.

## Modelling

#### **Modelling Procedure for Output Prediction**

According to Okanigbe et al. [[3,](#page-77-0) [4](#page-78-0)], the modelling procedure for the output prediction will follow these steps detailed subsequently:

Step #1: Study trend of experimental samples.

- Step #2: Set up constraint models to categorize and group samples into sub-classes based on #1.
- Step #3: Compute absolute difference between input and output samples in same class as grouped in #2.
- Step #4: Identify different experimental levels for selected classes.
- Step #5: Apply interpolant model to predict output.
- Step #6: End.

A generalized representation of model variables is presented in Table 4.4 [[3,](#page-77-0) [4\]](#page-78-0). These variables were built into a model and used for the determination of the predictive outputs of the different mineral constituents as contained in the experimental concentrates. The modelling procedure herein is premised on constrained interpolation of outputs from any three sequenced experimental samples. Usually, two of these outputs are known via experimentation while the third unknown output is obtained via predictive modelling. In Table 4.4, the frst and second columns represent the sequenced experimental trials under consideration for interpolation. The third and fourth columns, respectively, represent the (%) proportions of the inputs  $(p_{i_1}, p_{i_2}, \text{ and } p_{i_3})$  and outputs  $(p_{o_1}, p_{o_2}, \text{ and } p_{o_3})$  for the mineral constituents under investigation. Furthermore, column five represents the experimental levels while column six represents the absolute difference between the input and output values expressed in terms of  $\Phi_1$ ,  $\Phi_2$ , and  $\Phi_3$  for each of the three experimental samples under consideration.

Presented as follow in this research are the under listed modelling notations:

Output  $= f$  (speed, flow rate, input, feed rate, liquid solid ratio)

Let: serial number for inputs:  $s_i = \{1, ..., n-1, n\}$  and serial number for outputs  $s_0$  = {1, …, *n* − 1, *n*} for  $\forall n \in R$ .

Where:

 $exp_{(i)i, i}$  = Experimental inputs,  $exp_{(o)i, i}$  = Experimental outputs,

Level	Data acquisition procedure	Input value for variant factor $P_{1}$ .	Output value for variant factor $P_{\rm o}$ .	Expt. levels	Absolute difference between $p_{i}$ and $P_{\rm o}$
1-First	Prediction	$P_{\rm i}$	$P_{o_1}$	ξ	$ p_{i_1} - p_{o_1}  = \Phi_1$
$2$ -Second	Experiment	$P_{1}$	$P_{\rm o}$	$\mu$	$ p_{i_2} - p_{o_2}  = \Phi_2$
3-Third	Experiment	$P_{i_2}$	$P_{o_3}$	$\sigma$	$ p_{i_3} - p_{o_3}  = \Phi_3$

**Table 4.4** Generalized representation of model variables [[3](#page-77-0), [4\]](#page-78-0)

 $Pre_{(o)i, i}$  = Predictive outputs,  $p_i$  = % input proportion of selected samples,  $p_{o}^{'}$  = % output proportion of selected samples,  $\Delta p_j = \left| p_{i_j} - p_{o_j} \right|$  absolute difference between  $p_{i_j}$  and  $p_{o_j}$ 

Where  $j = \{1, ..., k - 1, k\}$  represents experimental levels.

The "absolute difference" models detailed with respect to experimental levels are shown on Eq.  $(4.1)$  through to Eq.  $(4.3)$ , while Eq.  $(4.4)$  through to Eq.  $(4.6)$ , in the light of Table [4.1,](#page-58-0) signify the fnal computational models for predicting the unknown outputs.

$$
\Phi_1 = \left[ \frac{\Phi_3(\mu - \xi) - \Phi_2(\mu - \xi) - \Phi_2(\sigma - \mu)}{(\mu - \sigma)} \right]
$$
(4.1)

$$
\Phi_2 = \left[ \frac{\Phi_3(\mu - \xi) + \Phi_1(\sigma - \mu)}{(\sigma - \mu) + (\mu - \xi)} \right]
$$
\n(4.2)

$$
\Phi_3 = \left[ \frac{\Phi_1(\mu - \sigma) + \Phi_2(\sigma - \mu) + \Phi_2(\mu - \xi)}{(\mu - \xi)} \right]
$$
\n(4.3)

$$
|p_{i_1} - p_{o_1}| = \Phi_1
$$
 (4.4)

$$
|p_{i_2} - p_{o_2}| = \Phi_2 \tag{4.5}
$$

$$
|p_{i_3} - p_{o_3}| = \Phi_3 \tag{4.6}
$$

Hence,  $\Delta p_i = \Phi_i$ .

#### **Modelling Constraint for OR and DS**

As presented in the report by Okanigbe et al. [\[3](#page-77-0), [4\]](#page-78-0), the constrained interpolant models ranging are focused on theoretical recovery of all experimental outputs OR (i.e. CuO, CuSO<sub>4</sub>, Fe<sub>2</sub>O<sub>3</sub>:Fe<sub>3</sub>O<sub>4</sub>) and DS (i.e. CuO, Fe<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub> Al<sub>2</sub>O<sub>3</sub>) as obtained from the DOE shown in Tables [4.1,](#page-58-0) [4.2](#page-58-0), and [4.3,](#page-59-0) respectively. Added to this capability of these constrained models is an ability to predict output proportions of mineral compositions not represented in the DOE. This robust predictive capability was made possible, by a global optimum interval of 0.5 unit deemed suitable as an upper interpolation limit, which was obtained via the rule of thumb, taking into account predictions output consistency and a minimized overall number of predictive trials as major guiding factors. The interval of 0.5 units was introduced to the time (*t*) column to effect the expanded DOE as presented in Tables [4.1](#page-58-0), [4.2](#page-58-0), and [4.3](#page-59-0), thus resulting in additional non-experimented rows with alphabetic serial numbering. Furthermore, some generic parameters common to OR (i.e. CuO, CuSO4**,**

 $Fe<sub>2</sub>O<sub>3</sub>:Fe<sub>3</sub>O<sub>4</sub>$  and DS (i.e. CuO,  $Fe<sub>2</sub>O<sub>3</sub>$ , SiO<sub>2</sub> Al<sub>2</sub>O<sub>3</sub>) mineral include the following: LSR =  $0.5$  and FR = 1.48 while the following notations viz  $t =$  time; temp = temperature (for oxidative roasting);  $RBS =$  rotational bowl speed,  $FWFR =$  fluidized water flow rate (for density separation); together with  $i = input$ ; and  $o = output$  are also common to the constraint equations for the mineral compositions [[3,](#page-77-0) [4\]](#page-78-0).

#### **Modelling Constraint for [CuO] Prediction (OR)**

Report on the modelling constraint for CuO prediction has been published by Okanigbe et al. [[4\]](#page-78-0).

## **Modelling Constraint for [CuSO4] Prediction (OR)**

Report on the modelling constraint for  $CuSO<sub>4</sub>$  prediction has been published by Okanigbe et al. [[4\]](#page-78-0).

#### Modelling Constraint for  $[Fe<sub>2</sub>O<sub>3</sub>:Fe<sub>3</sub>O<sub>4</sub>] Prediction (OR)$

Report on the modelling constraint for  $Fe<sub>2</sub>O<sub>3</sub>$ :  $Fe<sub>3</sub>O<sub>4</sub>$  prediction has been published by Okanigbe et al. [\[4](#page-78-0)].

#### **Modelling Constraint for [CuO] Prediction (DS)**

Report on the modelling constraint for CuO prediction has been published by Okanigbe et al. [[3\]](#page-77-0).

#### **Modelling Constraint for [Fe,O<sub>3</sub>] Prediction (DS)**

Report on the modelling constraint for  $Fe<sub>2</sub>O<sub>3</sub>$  prediction has been published by Okanigbe et al. [[3\]](#page-77-0).

#### Modelling Constraint for [SiO<sub>2</sub>] Prediction (DS)

Report on the modelling constraint for  $SiO<sub>2</sub>$  prediction has been published by Okanigbe et al. [[3\]](#page-77-0).

#### **Modelling Constraint for [Al<sub>2</sub>O<sub>3</sub>] Prediction (DS)**

Report on the modelling constraint for  $A_1O_3$  prediction has been published by Okanigbe et al. [[3\]](#page-77-0).

In the words of Okanigbe et al. [[3,](#page-77-0) [4](#page-78-0)], "A tenth order polynomial model as presented in Eqs. (4.8) and ([4.9](#page-63-0)) was adjudged the best ft for trend analysis of the experiments conducted for CuO, CuSO<sub>4</sub> and Fe<sub>2</sub>O<sub>3</sub>:Fe<sub>3</sub>O<sub>4</sub> (OR) and CuO, Fe<sub>2</sub>O<sub>3</sub>,  $SiO<sub>2</sub> Al<sub>2</sub>O<sub>3</sub>$  (DS). While Eq. (4.7) represents a generalized form of the polynomial function, Eqs. (4.8) and [\(4.9\)](#page-63-0) are specifc functions with respect to the different minerals in the mix."

$$
y = P_1 x^{10} + P_2 x^9 + P_3 x^8 + P_4 x^7 + P_5 x^6 + P_6 x^5 + P_7 x^4 + P_8 x^3 + P_9 x^2
$$
  
+  $P_{10} x + P_{11} \pm e$  (4.7)

 $CuSO<sub>4</sub>$ 

$$
y = -1.2e - 06x^{10} + 9.8e - 05x^9 - 0.0035x^8 + 0.069x^7 - 0.82x^6
$$
  
+6x<sup>5</sup> - 27x<sup>4</sup> + 72x<sup>3</sup> - 1.1e + 02x<sup>2</sup> + 82x - 12  $\pm e$  (4.8)

<span id="page-63-0"></span>
$$
y = 2.8e - 07x^{10} + 2e - 05x^9 - 0.00063x^8 + 0.011x^7 - 0.11x^6
$$
  
+0.77x<sup>5</sup> - 3.5x<sup>4</sup> + 10x<sup>3</sup> - 18x<sup>2</sup> + 17x - 0.55 ± e (4.9)

where  $e =$  error factor.

#### **Optimum Experimental Trend and Condition**

This section presents both the optimum experimental condition and optimum experimental trend represented in a generalized mathematical expression. The optimum and most viable experimental condition is directly linked to the maximization of the reduction process during density separation of dominant  $SiO<sub>2</sub>$  and  $Al<sub>2</sub>O<sub>3</sub>$  (silica and alumina) impurities in the resultant concentrates, while also maximizing the recovery of CuO and Fe<sub>2</sub>O<sub>3</sub> (Copper oxide and Iron oxide) in the same resulting concentrates [[3\]](#page-77-0). While the same optimum and most viable experimental condition is also directly linked to the maximization of the reduction process during oxidative roasting of dominant  $Fe<sub>2</sub>O<sub>3</sub>$  or  $Fe<sub>3</sub>O<sub>4</sub>$  impurities in the resultant concentrates, while also maximizing the recovery of CuO and CuSO<sub>4</sub> (copper oxide and copper sulphate) in the same resulting concentrates [[4\]](#page-78-0).

# **4.3 Results and Discussion**

# *4.3.1 Model Development for Outputs from OR and DS*

#### **4.3.1.1 Different Experimental Conditions and Constraints for OR**

Under this sub-section, an expanded view of the DOE which was initially presented in compact form in Tables [4.1](#page-58-0) and [4.2](#page-58-0) is presented in Tables [4.5](#page-64-0) and [4.6.](#page-64-0) Furthermore, additional experimental conditions with unknown percentage output proportion are also presented in Tables [4.5](#page-64-0) and [4.6](#page-64-0). These new inputs are represented in alphabetic serial order while the actual experimental conditions from the DOE are represented using numeric serial order. Different experimental trends in a sequential order were identifed from the same Tables [4.5](#page-64-0) and [4.6,](#page-64-0) which was used to develop a set of constraint models as presented in the following sub-sections.

#### Categorizing Constraint Models for OR

According to Okanigbe et al. [[3\]](#page-77-0), the major governing variables used in ordering the constraint models into sub-groups are the experimental time (*t*) and experimental outputs. Figures [4.1](#page-65-0), [4.2](#page-65-0), and [4.3](#page-66-0) are graphical representations of experimental outputs, whereas the steps taken to develop the interpolation model, prediction of output together with the discussion of observed trends, are reported in the work of Okanigbe et al. [[4\]](#page-78-0).

				Outputs for CuO			Outputs for $CuSO4$					
	Input		PC				$(wt\%)$			$(wt\%)$		
	CuO	CuSO <sub>4</sub>	$\tau$			<b>FDO</b>						
S.N	$(wt\%)$	$(wt\%)$	$(^{\circ}C)$	t(h)	<b>OFR</b>	(mm)	EO	P <sub>O</sub>	$\%E$	EO	PO	$\%E$
1	24.34	7.95	680	1.0	NC	25	6.22	6.22	0.00	14.52	14.52	$\overline{0}$
A	23.97	7.82	680	1.5	NC	25	NE	6.86		<b>NE</b>	18.83	
2	23.85	7.86	680	2.0	NC	25	7.49	7.49	0.00	23.31	23.31	$\overline{0}$
B	24.34	7.62	680	2.5	NC	25	NE	9.86		NE	17.48	
3	23.97	7.45	680	3.0	NC	25	11.37	11.37	0.00	11.72	11.72	$\overline{0}$
$\overline{4}$	24.84	8.43	740	1.0	NC	25	14.27	14.27	0.00	7.94	7.94	$\Omega$
C	24.84	8.39	740	1.5	NC	25	NE	15.23		<b>NE</b>	11.24	
5	24.77	8.35	740	2.0	NC	25	16.11	16.11	0.00	13.55	13.56	0.07
D	23.93	8.31	740	2.5	NC	25	NE	16.23		<b>NE</b>	13.09	
6	23.93	8.29	740	3.0	NC	25	17.55	17.19		3.94	3.94	$\Omega$
7	24.61	7.90	800	1.0	NC	25	18.06	18.07	0.06	1.16	1.16	$\Omega$
E	24.89	8.11	800	1.5	NC	25	NE	17.21		NE	4.66	
8	24.89	8.11	800	2.0	NC	25	16.07	16.08		7.95	7.95	$\Omega$
F	23.91	8.31	800	2.5	NC	25	NE	16.73		NE	4.34	
9	23.91	8.31	800	3.0	NC	25	18.37	18.37	0.00	0.52	0.52	$\overline{0}$

<span id="page-64-0"></span>**Table 4.5** Experimentation and constrained interpolant predictive outputs for CuO and CuSO<sub>4</sub> [\[4\]](#page-78-0)

Key: *PC* parameters considered, *T* temperature, *t* time, *OFR* oxygen fow rate, *FDO* furnace door opening, *EO* experimental output, *PO* predictive output, *%E* percentage error, *NC* not controlled, *NE* no experiment was performed in the affected rows; hence, they were included for better predictive modelling intervals

	PC		$Fe_3O_4$ : $Fe_2O_3$					
S.N	$Fe_3O_4$ : Fe <sub>2</sub> O <sub>3</sub>	$T({}^{\circ}C)$	t(h)	<b>OFR</b>	FDO (mm)	EO	PO	%E
1	$\mathbf{1}$	680	1.0	NC	25	0.72	0.72	0.00
А	1	680	1.5	NC	25	<b>NE</b>	0.58	
2	1	680	2.0	NC	25	0.44	0.44	0.00
B	1	680	2.5	NC	25	NE.	0.44	
3	1	680	3.0	NC	25	0.43	0.43	0.00
$\overline{4}$	1	740	1.0	NC	25	0.41	0.39	0.00
C	1	740	1.5	NC	25	NE	0.34	
5	1	740	2.0	NC	25	0.26	0.27	0.00
D	1	740	2.5	NC	25	NE.	0.23	
6	1	740	3.0	NC	25	0.20	0.20	
7	$\mathbf{1}$	800	1.0	NC	25	0.18	0.19	0.06
E	1	800	1.5	NC	25	NE	0.17	
8	1	800	2.0	NC	25	0.15	0.16	
F	1	800	2.5	NC	25	NE	0.14	
9	1	800	3.0	NC	25	0.12	0.13	0.00

**Table 4.6** Experimentation and constrained interpolant predictive outputs for  $Fe_3O_4$ : $Fe_2O_3$  [[4\]](#page-78-0)

Key: *PC* parameters considered, *T* temperature, *t* time, *OFR* oxygen fow rate, *FDO* furnace door opening, *EO* experimental output, *PO* predictive output, *%E* percentage error, *NC* not controlled, *NE* no experiment was performed in the affected rows; hence, they were included for better predictive modelling intervals

<span id="page-65-0"></span>

**Fig. 4.1** Experimental and predictive output for CuSO<sub>4</sub> [\[4\]](#page-78-0)



Fig. 4.2 Experimental and predictive output for CuO [\[4](#page-78-0)]

# **4.3.1.2 Different Experimental Conditions and Constraints for DS**

Under this sub-section, an expanded view of the DOE which was initially presented in compact form in Table [4.3](#page-59-0) is presented in Table [4.7.](#page-67-0) Furthermore, additional experimental conditions with unknown percentage output proportion are also presented in Table [4.7](#page-67-0). These new inputs are represented in alphabetic serial order

<span id="page-66-0"></span>

**Fig. [4](#page-78-0).3** Experimental and predictive output for  $Fe<sub>2</sub>O<sub>3</sub>:Fe<sub>3</sub>O<sub>4</sub>$  [4]

while the actual experimental conditions from the DOE are represented using numeric serial order. Different experimental trends in a sequential order were identifed from the same Table [4.7,](#page-67-0) which was used to develop a set of constraint models as presented in the following sub-section.

Categorizing Constraint Models for DS

According to Okanigbe et al. [[3\]](#page-77-0), the major governing variables used in ordering the constraint models into sub-groups are the rotational bowl speed (RBS), fuidized water flow rate (FWFR), and experimental outputs. Figures [4.4](#page-68-0), [4.5](#page-68-0), [4.6](#page-68-0), and [4.7](#page-69-0) are graphical representations of experimental outputs, whereas the steps taken to develop the interpolation model, prediction of output together with the discussion of observed trends, are reported in the work of [\[4](#page-78-0)].

#### **4.3.1.3 Data Error Margin for OR**

A root-mean-square error was computed for each point on the dataset generated from the constrained interpolant and polynomial curve ftting models. These were compared with the experimental outputs, and error computations carried out for all seven compounds investigated are the following: CuO, CuSO<sub>4</sub> and Fe<sub>3</sub>O<sub>4</sub>:Fe<sub>2</sub>O<sub>3</sub>  $(OR)$ ; CuO, Fe<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, and Al<sub>2</sub>O<sub>3</sub> (DS).

Figure [4.8a, b,](#page-70-0) respectively, presents the plotted errors for the interpolant and polynomial models for CuO. Figure [4.8a](#page-70-0) presents a relatively consistent low error

<span id="page-67-0"></span>

<span id="page-68-0"></span>

**Fig. 4.4** Experimental and predictive output for CuO [\[3](#page-77-0)]



**Fig. 4.5** Experimental and predictive output for  $Fe<sub>2</sub>O<sub>3</sub>$  $Fe<sub>2</sub>O<sub>3</sub>$  $Fe<sub>2</sub>O<sub>3</sub>$  [3]



**Fig. 4.6** Experimental and predictive output for  $SiO<sub>2</sub>$  [[3](#page-77-0)]

<span id="page-69-0"></span>

**Fig. 4.7** Experimental and predictive output for  $AI_2O_3$  [\[3\]](#page-77-0)

margin in comparison with Fig. [4.8b](#page-70-0) except at the tenth serial number of the experimental sequence. This is an indication of the high effectiveness of the interpolant model over the polynomial curve ftting model.

The error graph plotted from the datasets generated by the interpolant and polynomial predictive models relative to the experimental dataset is as presented in Fig. [4.9a, b](#page-71-0), respectively. Figure [4.9a](#page-71-0) presents a relatively consistently low error margin in comparison with Fig. [4.9b](#page-71-0) except at the ffth serial number of the experimental sequence.

The error margin for the iron compounds  $Fe<sub>3</sub>O<sub>4</sub>$ :  $Fe<sub>2</sub>O<sub>3</sub>$  is presented in Fig. 4.10a, [b,](#page-72-0) respectively. They showed the plotted errors for the interpolant and polynomial models. Unlike in the earlier scenarios as observed with CuO and  $CuSO<sub>4</sub>$ , Fig. 4.10a, [b](#page-72-0) presents seemingly equal error distribution margins.

Figure [4.11a, b,](#page-73-0) respectively, presents the plotted errors for the interpolant and polynomial models for CuO under DS pretreated WCD. Figure [4.11a](#page-73-0) presents a relatively consistent low error margin in comparison with Fig. [4.11b](#page-73-0) except at the 12th serial number of the experimental sequence. This is an indication of the high effectiveness of the interpolant model over the polynomial curve ftting model.

The  $Fe<sub>2</sub>O<sub>3</sub>$  error graph plotted from the datasets generated by the interpolant and polynomial predictive models relative to the experimental dataset for DS pretreated WCD are presented in Fig. [4.12a, b,](#page-74-0) respectively. Figure [4.12a](#page-74-0) presents a relatively consistently low error margin in comparison with Fig. [4.12b](#page-74-0) except at the ffth serial number of the experimental sequence.

The  $SiO<sub>2</sub>$  error margin for DS pretreated WCD is presented in Fig. [4.13a, b](#page-75-0), respectively, and the graphs show the plotted errors for the interpolant and polynomial models. Unlike in the previous scenarios as observed with CuO and  $Fe<sub>2</sub>O<sub>3</sub>$ , Fig. [4.13a, b](#page-75-0) presents signifcantly dissimilar error distribution margins. While Fig. [4.13a](#page-75-0) presents a relatively consistent low error margin in comparison with

<span id="page-70-0"></span>

**Fig. 4.8** (**a**) Interpolant model and experimental data error margin (CuO) for OR. (**b**) Polynomial curve ftting and experimental data error margin (CuO) for OR

Fig. [4.11b](#page-73-0) except at the 5th, 12th, 17th serial number of the experimental sequence, Fig. [4.13b](#page-75-0) presents dissimilar error margins with the highest error margin at eighth serial number of the experimental sequence. This is also an indication of the high effectiveness of the interpolant model over the polynomial curve ftting model.

<span id="page-71-0"></span>

**Fig. 4.9** (**a**) Interpolant model and experimental data error margin (CuSO4) for OR. (**b**) Polynomial curve fitting and experimental data error margin (CuSO<sub>4</sub>) for OR

The  $Al_2O_3$  error margin for DS pretreated CSD is presented in Fig. [4.14a, b](#page-76-0), respectively, while showing the plotted errors for the interpolant and polynomial models. Consistent with previous observations like FeO scenario, Fig. [4.14a](#page-76-0) presents a relatively consistently low error margin in comparison with Fig. [4.14b](#page-76-0) except at the ffth and tenth serial number of the experimental sequence.


**Fig. 4.10** (a) Interpolant model and experimental data error margin ( $Fe<sub>3</sub>O<sub>4</sub>:Fe<sub>2</sub>O<sub>3</sub>$ ) for OR. (**b**) Polynomial curve fitting and experimental data error margin (Fe<sub>3</sub>O<sub>4</sub>:Fe<sub>2</sub>O<sub>3</sub>) for OR



**Fig. 4.11** (**a**) Interpolant model and experimental data error margin (CuO) for DS. (**b**) Polynomial curve ftting and experimental data error margin (CuO) for DS



**Fig. 4.12** (a) Interpolant model and experimental data error margin (Fe<sub>2</sub>O<sub>3</sub>) for DS. (b) Polynomial curve fitting and experimental data error margin ( $Fe<sub>2</sub>O<sub>3</sub>$ ) for DS



**Fig. 4.13** (a) Interpolant model and experimental data error margin (SiO<sub>2</sub>) for DS. (b) Polynomial curve fitting and experimental data error margin  $(SiO<sub>2</sub>)$  for DS



**Fig. 4.14** (a) Interpolant model and experimental data error margin (Al<sub>2</sub>O<sub>3</sub>) for DS. (b) Polynomial curve fitting and experimental data error margin  $(Al_2O_3)$  for DS

### **4.4 Conclusions**

The aim of this paper was to development of an optimum predictive model for pretreatment of a WCD. The deductions reached as a result of this study are as follows:

- 1. Time is the most infuential factor than temperature, time-temperature during OR of WCD; additionally, an optimum time of 2 h is established as the time required for production of high-yield roast products [[4\]](#page-78-0).
- 2. The error margins obtained OR pretreated WCD process were between 0.00% and 0.07%. Based on the predictive model, further outputs can be generated prior to conducting laboratory experiments. Hence, the derived models can be further used to track experimental deviations from desired plans.
- 3. During the density separation of WCD, it was observed that both variables considered, i.e. FWFR and RBS had major infuence on the experimentation process of the concentrates from the centrifugal concentrator.
- 4. The predicted values obtained using the models were in good agreement with the observed values with an error margin between 0.00% and 0.06%.

It can therefore be concluded that constraint interpolant model predicted both the known and unknown experimental outputs for oxidative roasting and density separation of WCD. Therefore, it can be recommended that this method be adopted, so as to predict outputs for un-experimented conditions beyond initial DOE. Hence, providing an authentication basis to predict the outputs of "intended experimental trials" before they are carried out or the provision of estimated output results in a situation where further experiments cannot be carried out due to fatigue, breakdown of facilities or extreme conditions of input parameters amongst others is quite relevant to this research.

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# **Part III Extraction of Copper Oxide**

## **Chapter 5 Extraction of Copper Oxide (I): Purifed CuSO4 Solution**



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### **5.1 Introduction**

Heating and melting are frequently used to extract copper (Cu) from its parent ores [\[1–4](#page-105-0)]. Roasting, smelting, and conversion are the three phases of the heating and melting process for primary copper ores [\[5–11](#page-105-0)]. Significant amounts of copper are lost as dust in the frst and second phases; this dust is referred to as waste copper dust (WCD). According to the research done by Gorai, Jana, and Khan [[12\]](#page-105-0), for every 1000 tons of Cu concentrate treated, over 70–100 tons are lost as WCD.

According to reports on the mineralogy of WCD by Balladares et al. [\[13](#page-106-0)], Wang et al. [\[14](#page-106-0)], Jaroková et al. [\[15](#page-106-0)], and Okanigbe et al. [[16\]](#page-106-0), the considerable Cu content of WCD was deduced by Gorai et al. [[12\]](#page-105-0). Additionally, according to these mineralogical investigations, WCD is a complex low-grade copper ore in which the copper content frequently occurs in conjunction with other metals including iron (Fe), zinc (Zn), lead (Pb), silicon (Si), aluminum (Al), and others. Lacking a reliable waste management method, disposing of WCD in landflls defes the purpose of protecting the planet's mineral resources [[17\]](#page-106-0).

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According to Morales et al. [[18\]](#page-106-0), a hydrometallurgical process that operates at room temperature, atmospheric pressure, dilute reagent, few unit operations, and low energy cost should be the best waste management technique for the treatment of WCD. However, using hydrometallurgy alone presents diffculties due to an extremely small pH window, leading to the following:

- 1. Side reactions by contaminants that reduce leaching effciency.
- 2. Contaminants co-exist in pregnant leach solution.
- 3. High chances of contaminants carry over to the extraction stage.
- 4. Increase in the number of cycles required to achieve 99.9% pure copper electrolyte.
- 5. Increase in the cost of recovery.
- 6. Increase in the use of toxic extractants deleterious to the environment.

Three steps make up hydrometallurgy: leaching, solvent extraction, and electrowinning. Sulfuric acid  $(H_2SO_4)$  is frequently utilized as the leaching agent during the leaching stage. In comparison to hydrochloric and nitric acid, Teir et al. [\[19](#page-106-0)] and Habashi [\[20](#page-106-0)] indicated that  $(H_2SO_4)$  is the most effective and often used acid for leaching oxides.  $H_2SO_4$  is a low-cost leachant that is also frequently used to treat metallurgical wastes that contain copper [[21\]](#page-106-0). The choice of  $H_2SO_4$  as reagent for the hydrometallurgical treatment of WCD is established by its usage as a reagent for the conversion step, i.e., electrolysis to create copper cathode [\[22](#page-106-0)].

The inability of  $H_2SO_4$  to prevent iron from dissolving from copper ore, however, poses a selectivity diffculty that reduces the likelihood of achieving pure Cu electrolyte at a low cost. As a result, the PLS's solvent extraction (SX) stage was added to decrease and eliminate iron [[23,](#page-106-0) [24\]](#page-106-0).

Emulsifcation problems exist for SX because they lead to extractant loss and electrolyte contamination. Additionally, iron impurity induces entrainment and crud development in the SX process, according to Chen et al. [[25](#page-106-0)]. In addition, SX is extremely sensitive to low temperatures and low-grade solutions. Expensive organic reagents that are hazardous to the environment further complicate the process. Because iron is involved in a parasitic reaction that causes electricity to be diverted from plating of copper as it is oxidized from ferrous to ferric at the anode and reduced from ferric to ferrous at the cathode, a high concentration of iron in SX-treated PLS affects current efficiency during electrowinning [\[26](#page-106-0)].

Even though the dosage of  $H_2SO_4$  is frequently the key economic component in the process of leaching copper in its oxide form from this ore, copper oxide ore dissolves in  $H_2SO_4$  solution at room temperature. Researchers have also identified other factors, such as acid content, leaching period, temperature, pulp stirring, solidto-solution ratio, etc., that can affect leach rates during recovery [\[27](#page-106-0), [28](#page-106-0)]. Pulp stirring has been shown as a crucial process parameter for effcient leaching, according to Ghosh and Ray [[29\]](#page-106-0).

The results of comparing digital hotplate leaching with oven leaching will be presented in this chapter frst, based on the discussion that has led up to this point. Second, it will be discussed how altering the compositional proportion of  $H_2SO_4$ : FeSO<sub>4</sub>.7H<sub>2</sub>O can prevent iron from dissolving into pregnant leach solution (PLS).

### **5.2 Materials and Methods**

### *5.2.1 Material*

The study's primary source of material is the WCD from South Africa.

### *5.2.2 Methods*

#### **5.2.2.1 Sampling Using Rotary Splitter**

Since it creates the least variance between samples and can produce a greater number of samples in one operation, the rotary splitter was employed to separate WCD into representative samples. A vibratory feeder was fed 4000 g of the WCD from a feed hopper (Fig. 5.1). As depicted in Fig. 5.1, the feeder evenly disperses the material into a succession of collectors on a spinning table.

Before the feed hopper is empty, the turntable speed was adjusted so that each sample collector would pass several times beneath the feeder. The revolving speed was made slow enough to prevent the edge of the sample collectors from touching the falling particles, which would cause them to leap into a different container or fing them out of the machine entirely. This was done in order to obtain consistent and dependable fndings. To attain a WCD mass of 250 g in each sample collector, the speed was optimized, and the rotation switch was set at 17 rpm.

#### **5.2.2.2 Sampling Using the Coning and Quartering Method**

WCD was frst poured over a level surface until it assumed a cone-like shape. The coned WCD was then fattened into a cake and cut into four equal pieces (A, B, C, and D), as shown in Fig. [5.2](#page-83-0). The two pieces that are directly across from one



Fig. 5.1 Rotary splitter sampling

<span id="page-83-0"></span>





**Fig. 5.3** Graphical representation of WCD's PSD

another are removed, for example, A and C (Fig.  $5.2$ ), while the remaining two (B and D) are joined to create the reduced sample. The same procedure was carried out four times until a suitable sample size of 4 g of 60 WCD remained.

These aliquot WCD samples of 4 g each were used for subsequent test works like characterization and leaching exercises.

### **5.2.2.3 Particle Size Distribution (PSD) of As-Received WCD**

Following screening tests on the WCD sample, Fig. 5.3 displays the intended 80% mass passage of WCD at a −53 m screen size aperture.

#### **5.2.2.4 Calculations for Preparing Sulfuric Acid Solution**

The calculations were made using the following concentrations of 2 M, 4 M, 6 M, 8 M, and 10 M:

<span id="page-84-0"></span>Molarity  $(M) = \text{mol/L}$ Density (D) =  $1.84$  g/mL Molar mass  $(MM) = 98.079$  g/mol

For 2 M sulfuric solution Molarity was calculated using Eq. (5.1)

$$
M = D \times MM
$$
  
=  $\frac{1.84g}{mL} \times \frac{1000mL}{1L} \times \frac{1 mol}{98.1g}$   
=  $\frac{(1.84 \times 1000) mol}{98.1L}$   
=  $\frac{1840 mol}{98.1L}$   
= 18.75 mol/L (5.1)

We shall start with 2.5 L of roughly 18.8 M  $H_2SO_4$  in this experiment to recover copper from the WCD; hence, the amount of water needed to make the 2 M diluted  $H<sub>2</sub>SO<sub>4</sub>$  acid solution will be determined from the dilution factor in Eq. (5.2) as follows:

$$
M1V1 = M2V2
$$
  
18.8M×2.5L = 2M×V2  

$$
V2 = \frac{18.8M \times 2.5L}{2M}
$$

$$
V2 = \frac{47L}{2}
$$
 (5.2)

This assumes that in order to prepare the  $2 M$  diluted  $H_2SO_4$  acid solution, we require 23.5 times as much water as 18.8 M. Therefore, we would apply the algebraic expression given below (Eq. 5.3) to generate a 40 mL, 2 M diluted  $H_2SO_4$  solution:

$$
x + 23.5x = 40 \,\text{mL}
$$
\n(5.3)

where  $23.5x =$  volume of water.

From Eq. (5.3)

$$
24.5x = 40 \text{ mL}
$$

$$
x = 1.63 \text{ mL}
$$

As a result, 1.63 mL of concentrated acid is needed, whereas 40 mL of water is needed to dilute it.

$$
=1.63\times23.5
$$

$$
=38.37
$$
 mL

Total volume according to Eq. ([5.3](#page-84-0))

$$
1.63 + 38.37 = 40 \text{mL}.
$$

These calculations were repeated for 4 M, 6 M, 8 M, and 10 M.

### **5.2.2.5 Leaching Parameters**

The experiment was conducted under certain circumstances, with the following points being stressed:

- The temperature was kept constant at 45 °C.
- The leaching ratio of 1:10.
- Time: 30, 60, and 90 minutes.
- Speed: 300 Rev/min.

### **5.2.2.6 Experimental Procedures for Sulfuric Acid Leaching**

The subsequent experimental procedures were broken down into two parts. The leaching of WCD from ovens is one topic, while the leaching of WCD from hotplates is another. The following steps are detailed.

Experimental Procedure for Leaching of WCD Using Hotplate with Stirrer

- 1. Fill a 250 mL conical fask with 4 g of WCD.
- 2. Prepare a 2 M diluted  $H_2SO_4$  acid solution by adding 1.63 mL of  $H_2SO_4$  acid to a beaker using a pipette.
- 3. Fill the beaker with concentrated  $H_2SO_4$  acid solution with 38.37 mL of pure water (i.e., 2 M dilute  $H_2SO_4$  solution).
- 4. Place a stirring bar inside the conical fask containing the 4 g of WCD and this 2 M diluted  $H_2SO_4$  solution.
- 5. Place a piece of aluminum foil over the opening of the conical fask containing the acid and WCD.
- 6. Repeat steps 1, 2, 3, and 4 in reverse order.
- 7. Place the two conical fasks holding acid and WCD that have been coated in aluminum foil on the magnetic stirrer that has a heater set to 45 °C and an agitation speed of 340 rpm.
- 8. Wait 30 minutes after using the conical fask and its contents.
- 9. Repeat steps 1 through 7 for 30, 60, and 90 minutes.
- 10. After these leaching periods, take the conical fask and its contents out of the oven.
- 11. Use flter paper to separate the leach solution from the waste.

#### 5 Extraction of Copper Oxide (I): Purified CuSO<sub>4</sub> Solution

- 12. Fill a sample with the leach solution, and then label it for quick identifcation.
- 13. Keep the contents of the sample bottle at room temperature.

These steps were repeated for 4 M, 6 M, 8 M, and 10 M.

Experimental Procedures for Leaching of WCD Using a Laboratory Oven

- 1. Fill a 250 mL conical fask with 4 g of WCD.
- 2. Prepare a 2 M diluted sulfuric acid solution by adding 1.63 mL of sulfuric acid to a beaker using a pipette.
- 3. Fill the beaker with concentrated  $H_2SO_4$  acid solution with 38.37 mL of pure water (i.e.,  $2 \text{ M}$  dilute  $H_2SO_4$  solution).
- 4. Fill the conical flask containing the 4 g WCD with this 2 M diluted  $H<sub>2</sub>SO<sub>4</sub>$ solution.
- 5. Place a piece of aluminum foil over the opening of the conical fask containing the acid and WCD.
- 6. Repeat steps 1, 2, 3, 4, and 5 twice.
- 7. Preheat the oven to 45  $^{\circ}$ C, and place the two aluminum-foil-covered conical fasks containing acid and WCD inside.
- 8. Wait 30 minutes after using the conical fask and its contents.
- 9. Repeat Steps 1 through 7 for 30, 60, and 90 minutes.
- 10. After these leaching durations, remove the conical fask and its contents from the oven.
- 11. Using flter paper, separate the leach solution from the waste.
- 12. Fill a sample container with the leach solution, and then label it for quick identifcation.
- 13. Keep the contents of the sample bottle at room temperature.

These steps were for 4 M, 6 M, 8 M, and 10 M.

#### **5.2.2.7 Sample Filtration**

In this investigation, gravity-driven fltration was used. As seen in Fig. [5.4,](#page-87-0) the PLS was allowed to fall through gravity from the cylinder into the conical fask.

#### **5.2.2.8 Proposed Process Flow Diagram**

An outline of the hydrometallurgical group projects at Tshwane University of Technology in Pretoria, South Africa, can be found in Fig. [5.5](#page-87-0). The topic of this study is sulfuric acid leaching, with red arrows indicating the process fow (Fig. [5.5\)](#page-87-0).

<span id="page-87-0"></span>

**Fig. 5.4** Gravity-driven fltration process of copper recovery from WCD



**Fig. 5.5** Initial process fowsheet for Cu leaching from WCD

### **5.3 Results and Discussion**

The recovery method for the copper value that was present in WCD was determined by its distinctive characteristics [[16\]](#page-106-0). Consequently, hydrometallurgy was selected as an acceptable technique for its treatment. This investigation is restricted to the hydrometallurgical dissolution of copper from the WCD. The experiment will

contrast agitation leaching with oven leaching techniques. This report offers commentary on accomplishments accomplished during the B-Tech period, with a particular emphasis on project test work carried out at Tshwane University of Technology in Pretoria, South Africa. The fndings and discussion related to the following sub-heading are reported in this chapter:

- 1. Visual observation of as-received WCD
- 2. Visual observation of residue after leaching
- 3. Visual analysis of digital hotplate leachate
- 4. Visual analysis of oven leachate
- 5. Results on mass balance

### *5.3.1 Visual Observation of As-Received WCD*

Before the test work was done, the WCD by-product sample was scrutinized. As seen in Fig. 5.6, the WCD was discovered to be a dark gray color. According to the WCD by-product XRF data, the ore mostly comprises copper, iron, and gypsum as impurities, along with other minerals. These metals and the impurities signifcantly infuenced the ore's color. The sample felt generally powdery; however, the feel was uneven and parts of the particle were not very fne, as shown in Fig. 5.6. The head assay examination for the WCD as received reveals that the ore contains very fne particle, mostly in the -53 μm size fraction.



Feel of WCD sample

**Fig. 5.6** Visual analysis for CSD by-product as received



Feel of WCD Residue

**Fig. 5.8** Visual analysis of residue after leaching process

### *5.3.2 Digital Hotplate Leaching Process of WCD*

The leaching process utilizing a digital hotplate is shown in Fig. 5.7. The leaching process may be impacted by the black mineral in the solution that was seen to be separating from and sticking to the conical fask above the solution.

### *5.3.3 Visual Analysis of Residue After Leaching Process*

Following the leaching procedure, the solution was fltered to remove the solid particles from the liquid, and the leftovers were dried. The observation was successfully performed. The WCD residue had a more uniform texture and felt more powdery as depicted in Fig. 5.8. The light gray color of the WCD residue indicates that the majority of the metals responsible for the dark color of the WCD as received have been taken into the leach solution.

### *5.3.4 Visual Analysis Digital Hotplate Leachate*

The difference in leachate color after the fltration process was clearly seen on digital hotplate leachate, as illustrated in Fig. 5.9. The solution was seen to be a dark blue color. Clearly, the majority of the copper metal was taken.

### *5.3.5 Visual Analysis on Oven Leachate*

In addition, oven leachate was observed, as can be shown in the following Fig. [5.10](#page-91-0). The leachate's pale blue color is evident in the results. The results almost certainly point to a sizeable number of copper metals that are still trapped in gangue particles.

### *5.3.6 Results on Mass Balance: Digital Hotplate*

The comprehensive test work for digital hotplate leaching is detailed as follows.



**Fig. 5.9** Leachate from hotplate leaching

<span id="page-91-0"></span>

**Fig. 5.10** Leachate from oven leaching

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
	30	4.0140	1.6347	3.0590	38.3700
	60	4.0230	1.6329	2.7890	
	90	4.0330	1.6317	2.9305	
Total		12.0700		8.7785	

**Table 5.1** Test 1 hotplate leaching results for  $2 M H_2SO_4$ 

### **5.3.6.1 Test 1 Hotplate Leaching Results for 2 M H<sub>2</sub>SO<sub>4</sub>**

According to the data in Table 5.1, leaching that took place in 60 minutes produced the least amount of residue when compared to leaching that took place in 30 and 90 minutes, whereas leaching that took place in 30 minutes produced the most mass. The total mass percentage of residues was 73%.

### **5.3.6.2** Test 2 Hotplate Leaching Results for 2 M H<sub>2</sub>SO<sub>4</sub>

According to the data in Table [5.2](#page-92-0), leaching that was completed in 60 minutes produced the least amount of residue when compared to when the solution was leached for 30 and 90 minutes, while leaching that was completed in 90 minutes produced the most mass.

Overall, a mass percentage of 90% residue was obtained.

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
2	30	4.0080	1.6332	3.5279	38.3700
	60	4.0090	1.6320	3.4492	
	90	4.0010	1.6330	3.8754	
Total		12.0180		10.853	

<span id="page-92-0"></span>**Table 5.2** Test 2 hotplate leaching results for  $2 M H_2SO_4$ 





#### **5.3.6.3 Test 1 Hotplate Leaching Results for 4 M H<sub>2</sub>SO<sub>4</sub>**

According to the fndings in Table 5.3, the leaching process that was carried out in 90 minutes produced the least quantity of residue as compared to when the solution was leached for 30 and 90 minutes. In comparison to 90 and 30 minutes, the leaching operation carried out in 60 minutes produced the highest mass. After leaching, residue was collected in an overall mass percentage of 89%.

#### **5.3.6.4 Test 2 Hotplate Leaching Results for 4 M H<sub>2</sub>SO<sub>4</sub>**

Compared to when the solution was leached for 30 and 90 minutes, the results in Table [5.4](#page-93-0) demonstrate that the leaching process done in 30 minutes obtained the least quantity of residue after leaching. In comparison to 60 and 30 minutes, the leaching operation carried out in 90 minutes produced the highest mass. After leaching, residual mass percentage reached 90% overall.

#### **5.3.6.5 Test 1 Hotplate Leaching Results for 6 M H<sub>2</sub>SO<sub>4</sub>**

Compared to when the solution was leached for 30 and 60 minutes, the results in Table [5.5](#page-93-0) demonstrate that the leaching process done in 90 minutes yielded the least quantity of residue after leaching. In comparison to 60 and 30 minutes, the leaching operation carried out in 60 minutes produced the highest mass. After leaching, a residual mass percentage of 91% was achieved.

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
$\mathcal{D}$	30	4.0200	3.1370	3.2900	36.8700
	60	4.0000	3.1400	3.6500	
	90	4.0100	3.1390	3.9200	
Total		12.0300		10.8600	

<span id="page-93-0"></span>**Table 5.4** Test 2 hotplate leaching results for  $4 \text{ M H}_2\text{SO}_4$ 





### **5.3.6.6 Test 2 Hotplate Leaching Results for 6 M H<sub>2</sub>SO<sub>4</sub>**

The results in Table [5.6](#page-94-0) obtained show that leaching process executed in 30 minutes obtained the least amount of residue after leaching compared when the solution was leached for 30 and 60 minutes. The leaching process conducted in 90 minutes achieved the highest mass compared to 60 and 30 minutes. The overall 92% mass percentage was obtained of residue after leaching.

### *5.3.7 Results on Mass Balance: Oven*

### **5.3.7.1 Test 1 Oven Leaching Results for 2 M H<sub>2</sub>SO<sub>4</sub>**

According to the results in Table [5.7](#page-94-0), the solution was leached for 30 and 60 minutes, and the 60-minute method yielded the least quantity of residue after oven leaching. In comparison to 60 and 30 minutes, the leaching operation carried out in 30 minutes produced the highest mass. After leaching, residue was collected in an overall mass percentage of 87%.

### **5.3.7.2** Test 2 Oven Leaching Results for 2 M H<sub>2</sub>SO<sub>4</sub>

In comparison to leaching the solution for 30 and 60 minutes, the results in Table [5.8](#page-94-0) reveal that leaching processes completed in 30 minutes yielded the least amount of residue after oven leaching. In comparison to 60 and 30 minutes, the leaching operation carried out in 90 minutes produced the highest mass. After leaching, residue was collected in an overall mass percentage of 86%.

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
2	30	4.0000	4.5320	3.4600	35.4700
	60	4.0000	4.5430	3.6500	
	90	4.0200	4.5320	3.9200	
Total		12.0200		11.0300	

<span id="page-94-0"></span>**Table 5.6** Test 2 hotplate leaching results for  $6 \text{ M H}_2\text{SO}_4$ 

**Table 5.7** Test 1 oven leaching results for  $2 M H_2SO_4$ 

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
	30	4.0061	1.6343	3.5649	38.3700
	60	4.0020	1.6305	3.3438	
	90	4.0041	1.6345	3.5164	
Total		12.0120		10.4250	

**Table 5.8** Test 2 oven leaching results for  $2 \text{ M H}_2\text{SO}_4$ 



### **5.3.7.3 Test 1 Oven Leaching Results for 4 M H<sub>2</sub>SO<sub>4</sub>**

In comparison to leaching the solution for 30 and 60 minutes, the results in Table [5.9](#page-95-0) reveal that leaching processes completed in 30 minutes yielded the least amount of residue after oven leaching. In comparison to 60 and 30 minutes, the leaching operation carried out in 90 minutes produced the highest mass. After leaching, residue was collected in an overall mass percentage of 89%.

#### **5.3.7.4 Test 2 Oven Leaching Results for 4 M H<sub>2</sub>SO<sub>4</sub>**

In comparison to leaching the solution for 30 and 60 minutes, the results in Table [5.10](#page-95-0) reveal that leaching processes completed in 30 minutes yielded the least quantity of residue after oven leaching. In comparison to 60 and 30 minutes, the leaching operation carried out in 90 minutes produced the highest mass. After leaching, residue was collected in an overall mass percentage of 87%.

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
	30	4.0100	3.1380	3.1300	36.8600
	60	4.0000	3.1360	3.6200	
	90	4.0000	3.1380	3.9300	
Total		12.0100		10.6800	

<span id="page-95-0"></span>**Table 5.9** Test 1 oven leaching results for  $4 \text{ M H}_2\text{SO}_4$ 

**Table 5.10** Test 2 oven leaching results for  $4 \text{ M H}_2\text{SO}_4$ 

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
	30	4.0000	3.3170	2.7500	36.8600
	60	4.0000	3.1380	3.7400	
	90	4.0000	3.1370	3.9600	
Total		12.0000		10.4500	

#### **5.3.7.5 Test 1 Oven Leaching Results for 6 M H<sub>2</sub>SO<sub>4</sub>**

According to the results in Table [5.11](#page-96-0), the solution was leached for 30 and 60 minutes, and the 60-minute method yielded the least quantity of residue after oven leaching. In comparison to 60 and 30 minutes, the leaching operation carried out in 90 minutes produced the highest mass. After leaching, residue was collected in an overall mass percentage of 84%.

#### **5.3.7.6 Test 2 Oven Leaching Results for 6 M H<sub>2</sub>SO<sub>4</sub>**

The data shown in Table [5.12](#page-96-0) indicate that when the solution was leached for 90 minutes as opposed to 30 or 60 minutes, there was the least quantity of residue left over.

In comparison to 60 and 30 minutes, the leaching operation carried out in 60 minutes produced the highest mass. After leaching, residue was collected in an overall mass percentage of 87%.

#### **5.3.7.7 Test 1 Oven Leaching Results for 8 M H<sub>2</sub>SO<sub>4</sub>**

The results in Table [5.13](#page-96-0) demonstrate that, when compared to leaching the solution for 30 and 60 minutes, leaching the solution for 30 minutes yielded the least quantity of residue following oven leaching. In comparison to 60 and 30 minutes, the leaching operation carried out in 90 minutes produced the highest mass. After leaching, a residual mass percentage of 85% was achieved.

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
	30	4.0100	4.5320	3.4640	36.8600
	60	4.0100	4.5350	2.8200	
	90	4.0000	4.5320	3.8620	
Total		12.0200		10.1460	

<span id="page-96-0"></span>**Table 5.11** Test 1 oven leaching results for  $6 \text{ M H}_2\text{SO}_4$ 

**Table 5.12** Test 2 oven leaching results for  $6 \text{ M H}_2\text{SO}_4$ 

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
2	30	4.0000	4.5360	3.8500	35,0000
	60	4.0100	4.5340	3.4400	
	90	4.0100	4.5350	3.3400	
Total		12.0200		10.6300	

**Table 5.13** Test 1 oven leaching results for  $8 \text{ M H}_2\text{SO}_4$ 



#### **5.3.7.8** Test 2 Oven Leaching Results for 8 M H<sub>2</sub>SO<sub>4</sub>

When compared to leaching the solution for 30 and 60 minutes, the results in Table [5.14](#page-97-0) reveal that a 90-minute leaching method yielded the least quantity of residue after oven leaching. In comparison to 60 and 30 minutes, the leaching operation carried out in 60 minutes produced the highest mass. After leaching, residue was collected in an overall mass percentage of 95%.

#### **5.3.7.9** Test 1 Oven Leaching Results for 10 M H<sub>2</sub>SO<sub>4</sub>

According to the data in Table [5.15,](#page-97-0) the leaching process that was carried out in 90 minutes yielded the least quantity of residue following oven leaching as compared to when the solution was leached for 60 and 90 minutes. Comparing 60- and 30-minute leaching processes, the 60-minute method produced the highest mass. After leaching, residue had a mass percentage of 91% overall.

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
2	30	4.0100	5.8130	3.9000	34.1800
	60	4.0000	5.8140	3.9100	
	90	4.0200	5.8130	3.6400	
Total		12.0300		11.4500	

<span id="page-97-0"></span>**Table 5.14** Test 2 oven leaching results for  $8 \text{ M H}_2\text{SO}_4$ 

**Table 5.15** Test 1 oven leaching results for  $10 M H_2SO_4$ 

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
2	30	4.0100	7.0240	3.6000	32.9900
	60	4.0100	7.0510	3.8100	
	90	4.0100	7.0340	3.5500	
Total		12.0300		11.4500	

### **5.3.7.10** Test 2 Oven Leaching Results for 10 M H<sub>2</sub>SO<sub>4</sub>

Based on the data from Table [5.16](#page-98-0), it can be seen that when the solution was leached for 90 minutes as opposed to 60 or 90 minutes, there was the least amount of residue left behind after leaching in the oven. Comparing 60 and 30 minutes, the leaching process conducted in 60 achieved the highest mass. Leaching produced residue with a mass percentage of 91% overall.

### *5.3.8 Graphical Leaching Results for Digital Hotplate*

Cu was leached using a digital hotplate, and the fndings were tabulated and graphically displayed.

### **5.3.8.1 Digital Hotplate Leaching for 2 M H<sub>2</sub>SO<sub>4</sub> Test Work**

The following may be seen from the results of the digital hotplate leaching for 2 M  $H_2SO_4$  in Fig. [5.11](#page-98-0):

- (i) Test 1 residue leftovers' average mass was determined to have the least residue, with residue levels averaging 73% and metal recovery rates of 27% in 60 minutes for average mass ranging from 2.7 to 3.1 g.
- (ii) Test 2 average residue weight ranged between 3.4 and 3.8 g, and after 60 minutes, 13% of the metals and 87% of the average residue were recovered.

Test	Time	Initial mass	Volume of	Mass of	Volume of deionized
no.	(minutes)	(g)	$H_2SO_4$ (mL)	residue $(g)$	water $(mL)$
2	30	4.0100	7.0280	3.4600	32.9900
	60	4.0100	7.0250	3.6500	
	90	4.0200	7.0320	3.9200	
Total		12.0200		11.0300	

<span id="page-98-0"></span>**Table 5.16** Test 2 oven leaching results for 10 M  $H_2SO_4$ 



Test 2 Mass before leaching Test 2 Mass after leaching

**Fig. 5.11** Graphical results for  $2 M H_2SO_4$  hotplate leaching

### **5.3.8.2** Digital Hotplate Leaching for 4 M H<sub>2</sub>SO<sub>4</sub> Test Work

The following may be seen from the results of the digital hotplate leaching for 4 M  $H<sub>2</sub>SO<sub>4</sub>$  in Fig. [5.12](#page-99-0):

- (i) The residue of Test 1 average mass in the intervals of 30 and 60 minutes obtained the least quantity of residue.
- (ii) The average mass of Test 1 varied between 3.4 and 3.5 g, with an average residue content of 86.03% and a 60-minute metal recovery rate of 13.97%.
- (iii) Test 2 average residue weight ranged between 3.2 and 3.6 g, and after 60 minutes, 13% of the metals and 87% of the average residue were recovered.

#### **5.3.8.3** Digital Hotplate Leaching for 6 M H<sub>2</sub>SO<sub>4</sub> Test Work

The results of the digital hotplate leaching for 6 M  $H_2SO_4$  are shown in Fig. [5.13.](#page-99-0)

<span id="page-99-0"></span>

Test 1 Mass before leaching Test 1 Mass after leaching Test 2 Mass before leaching Test 2 Mass after leaching

**Fig. 5.12** Graphical results for  $4 \text{ M H}_2\text{SO}_4$  hotplate leaching



- **Fig. 5.13** Graphical results for  $6 \text{ M H}_2\text{SO}_4$  hotplate leaching
	- (i) The residue of Test 1 average mass was produced with the least quantity of residue in the intervals of 30 and 60 minutes.
- (ii) The average mass of Test 1 ranged between 3.46 and 3.65 g, with an average residue recovery rate of 86.5% and a metal recovery rate of 13.5% in just 30 minutes.
- (iii) Test 2 average residue weight ranged between 3.5 and 3.8 g, and in 90 minutes, an average of 88.3% of the residue and 11.7% of the metals were recovered.
- (iv) The process was found to be dormant after 90 minutes.

#### 5.3.8.4 Oven Leaching for 2 M H<sub>2</sub>SO<sub>4</sub> Test Work

The results obtained on oven leaching for  $2 M H_2SO_4$  in Fig. 5.14 show the following:

- (i) The residue of Test 1 average mass in 60 and 90 minutes interval achieved least amount of residue.
- (ii) The average mass of Test 1 varied from 3.34 to 3.56 g, and about 83.5% average of residue and 16.5% of metal were recovered in 90 minutes.
- (iii) Test 2 average residue varied between 3.24–3.6 g , and about 80.8% average residue and 19.20% of metals were recovered in 90 minutes.

#### 5.3.8.5 Results for Oven Leaching of  $4 \text{ M H}_2\text{SO}_4$

The following can be seen from the oven leaching results for  $4 \text{ M H}_2$ SO<sub>4</sub> in Fig. [5.15:](#page-101-0)

- (i) The residue of Test 1 average mass in the intervals of 60 and 90 minutes obtained the least quantity of residue.
- (ii) The average mass of Test 1 ranged from 3.34 to 3.56 g, and in 90 minutes, an average of 83.5% residue and 16.5% metal were recovered.
- (iii) Test 2average residue weight ranged between 3.24 and 3.6 g, and in 90 minutes, an average of 80.8% of the residue and 19.20% of the metals were recovered.





**Fig. 5.14** Graphical results for oven leaching of  $2 M H_2SO_4$ 

<span id="page-101-0"></span>

Test 1 mass before leaching Test 1 mass after leaching

**Fig. 5.15** Graphical results for oven leaching of  $4 \text{ M H}_2$ SO<sub>4</sub>

### 5.3.8.6 Results for Oven Leaching of 6 M H<sub>2</sub>SO<sub>4</sub>

The following can be seen from the oven leaching findings for 6 M  $H_2SO_4$  in Fig. [5.16:](#page-102-0)

- (i) The residue of Test 1 average mass in the intervals of 30 and 60 minutes obtained the least quantity of residue.
- (ii) The average mass of Test 1 ranged from 2.82 to 3.86 g, and in 60 minutes, an average of 70.5% residue and 25.5% metal were recovered.
- (iii) Test 2 average residue weight ranged between 3.34 and 3.86 g, and in 90 minutes, an average of 83.5% of the residue and 16.5% of the metals were recovered.

### 5.3.8.7 Results for Oven Leaching of 8 M H<sub>2</sub>SO<sub>4</sub>

The following can be seen from the oven leaching findings for 8  $M H<sub>2</sub>SO<sub>4</sub>$  in Fig. [5.17:](#page-102-0)

- (i) The residue of Test 1 average mass was produced with the least quantity of residue after 30, 60, and 90 minutes.
- (ii) The average mass of Test 1 varied between 3.29 and 3.55 g, with an average residue content of 82.04% and a 30-minute metal recovery rate of 17.94%.
- (iii) Test 2 average residue weight ranged from 3.64 to 3.91 g, and in 90 minutes, an average amount of 90.77% of the residue and 9.23% of the metals were recovered.

<span id="page-102-0"></span>



**Fig. 5.16** Graphical results for oven leaching of  $6 M H_2SO_4$ 



**Fig. 5.17** Graphical results for oven leaching of  $8 \text{ M H}_2\text{SO}_4$ 

### 5.3.8.8 Results for Oven Leaching of 10 M H<sub>2</sub>SO<sub>4</sub>

The following can be seen from the oven leaching findings for 10 M  $H_2SO_4$  in



**Fig. 5.18** Graphical results for oven leaching of 10 M  $H_2SO_4$ 

Fig. 5.18:

- (i) The residue of Test 1 average mass was produced with the least quantity of residue after 30, 60, and 90 minutes.
- (ii) The average mass of Test 1 ranged from 3.55 to 3.81 g, and in 90 minutes, an average of 88.5% residue and 11.5% metal was recovered.
- (iii) Test 2 average residue ranged between 3.46 and 3.92 g, and in 90 minutes, 13.72% of the average residue and 86.28% of the average residue were recovered.

### *5.3.9 Production of Purifed Pregnant Leach Solution from Leachate*

In the leach solution, the copper to iron ratio is typically 1 to 0.73. This proportion is rather high; hence, the following strategy is used.

By adding a little amount of  $FeSO<sub>4</sub>$ .7H<sub>2</sub>O to the leaching system to slow down the dissolving of iron, the iron in the  $CuSO<sub>4</sub>$  solution was reduced. By doing this, iron was precipitated from the  $CuSO_4$  solution by the hydrolysis of iron III (Fe<sup>3+</sup>), which then produced complexed iron hydroxides and solid precipitates. Stumm and Lee [\[30](#page-106-0)], Lawson [\[31](#page-106-0)], and Dutrizac [\[32](#page-106-0)], respectively, explain the chemical reactions occurring in Eqs.  $(5.4)$ ,  $(5.5)$ , and  $(5.6)$  $(5.6)$ .

$$
4Fe^{2+} + O_{2(aq)} + 4H^{+} \rightarrow 4Fe^{3+} + 2H_{2}O
$$
\n(5.4)

<span id="page-104-0"></span>

**Fig. 5.19** Reduction of iron content in  $CuSO<sub>4</sub>$  solution

$$
Fe(OH_2)_6^{3+} \to Fe(OH)n(OH_2)_{6-n}^{3-n} + nH^+ \tag{5.5}
$$

$$
2Fe^{3+} + 2H_2O \to Fe_2(OH)_2^{4+} + 2H^+ \tag{5.6}
$$

Iron was reduced by 29.03% using a mixture of 197 mL  $H_2SO_4$ : 3 mL FeSO<sub>4</sub>.7H<sub>2</sub>O (Fig. 5.19). The enhanced reduction in dissolved iron is explained by the formation of insoluble but thermodynamically stable species such as goethite ( $\alpha$ -FeOOH) and hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>). As precipitation started at 75 °C, this reaction is percentage.

When the CSD was treated with  $185 \text{ mL H}_2\text{SO}_4$ : 15 mL FeSO<sub>4</sub>.7H<sub>2</sub>O, there was a noticeable decrease (0.5%) in the reduction of dissolved iron. This can be linked to the formation of soluble, thermodynamically stable iron sulfate precipitates like jarosite [\[33](#page-106-0)].

In ferrihydrite complexes, some of the sulfur may not be structurally combined but may instead be adsorbed to the surface of the iron species, according to Bigham et al. [[34\]](#page-106-0). Sulfur is treated as an anionic contaminant in these situations. The iron sulfate complexes, as shown by Eqs.  $(5.7)$  and  $(5.8)$ , have the potential to act as an intermediary in the formation of iron sulfate precipitates [[35\]](#page-106-0).

$$
Fe^{3+} + SO_4^{2-} \to Fe(SO_4)^+ \tag{5.7}
$$

$$
Fe(SO_4)^+ SO_4^{3-} \to Fe(SO_4)_2^-
$$
\n(5.8)

### <span id="page-105-0"></span>**5.4 Conclusion**

The leaching of copper from WCD by  $H_2SO_4$  acid is the goal of this study. The outcomes of the digital hotplate leaching test work demonstrate that the best outcomes were obtained between 30 and 60 minutes. This demonstrates how processes attain equilibrium after a specifc amount of time. Hotplate leaching has been signifcantly impacted by stirring rate, concentration, and temperature.

The majority of the results from oven leaching indicate that leaching time and concentration play a signifcant role in achieving high recovery of important metals in WCD ore. The conclusion is that if the process is conducted for a long time, the best leaching results can be attained.

Under the situation of a compositional ratio of 197 mL  $H_2SO_4$ : 3 mL FeSO<sub>4</sub>.7H<sub>2</sub>O, the inhibition of iron dissolution resulted in a reduction of iron by 29.03%.

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## **Chapter 6 Extraction of Copper Oxide (II): Copper Oxide Nanoparticles**



**Daniel Ogochukwu Okanigbe**

### **6.1 Introduction**

The available resource recovery processes have capital and operating expenses that are higher than what the revenue from the recovered metal can support. In order to recover the copper value from metallurgical wastes such as copper anode slimes, copper slags, waste copper dust (WCD), the copper smelting industry is becoming more and more interested in inventing ways for doing so. It would be advantageous to have a processing technique that could eliminate impurities from the WCD while maintaining a suitable level of revenue metals in the resultant concentrate.

To facilitate the management of accumulated WCD, it would be desirable if this could be done economically. It is believed that recovering copper as oxides of nanoparticles (CuO-NPs) from purifed pregnant leach solution (PPLS) is preferable to recovering it as cathode slabs [\[1](#page-129-0)]. This is because CuO-NPs outperform conventional copper oxide in many applications thanks to their exceptional physicochemical characteristics. Recently, there has been increased interest in producing CuO-NPs with p-type semiconductivity [\[2](#page-129-0)]. CuO-NPs are recognized as one of the best inorganic semiconductors with desirable properties [\[3](#page-129-0)].

Moreover, CuO-NPs can be used in a wide range of technological applications, such as gas sensors [[4,](#page-129-0) [5\]](#page-129-0), magnetic phase transmitters [\[6](#page-129-0)], heterogeneous catalysts  $[7–9]$  $[7–9]$ , and optical switches  $[6, 10, 11]$  $[6, 10, 11]$  $[6, 10, 11]$  $[6, 10, 11]$ , nanofluids  $[12, 13]$  $[12, 13]$  $[12, 13]$  $[12, 13]$ , solar energy transformer [\[4](#page-129-0), [14](#page-130-0)], lithium ion electrode materials  $[8, 15]$  $[8, 15]$  $[8, 15]$  $[8, 15]$ , and field emitter  $[6, 11]$  $[6, 11]$  $[6, 11]$  $[6, 11]$ .

Due to the vast range of uses for metallic/metallic oxide nanoparticles, numerous methods for manufacturing them via chemical, physical, and biological processes

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have also been documented (CuO-NPs). Sol-gel [[16\]](#page-130-0), wet chemical approaches [\[17](#page-130-0), [18\]](#page-130-0), thermal oxidation of Cu substrates [\[10](#page-130-0)], and solvothermal methods [[4,](#page-129-0) [6\]](#page-129-0), microwave irradiation [[19\]](#page-130-0), sonochemical [\[20](#page-130-0)], and microemulsion [[21\]](#page-130-0), electrochemical [\[22](#page-130-0)], hydrothermal [\[23](#page-130-0), [24\]](#page-130-0), solid-state reaction [\[25](#page-130-0), [26\]](#page-130-0), submerged arc [\[27](#page-130-0)], and precipitation methods [\[12](#page-130-0), [28,](#page-130-0) [29\]](#page-130-0) are a few examples of these techniques. Co-precipitation and the thermal degradation of precursors are additional methods for producing nanoparticles [\[28](#page-130-0), [30](#page-130-0)[–32](#page-131-0)].

A key consideration in selecting the best technique is how to generate copper or copper oxide nanoparticles with an acceptable level of purity [\[27](#page-130-0)]. In a closed vessel, such as an autoclave, solvents are heated signifcantly above their boiling temperatures in a solvothermal process that involves chemical interaction. When water is used as the solvent, the process is referred to as a hydrothermal one. A variety of literatures have discussed how this strategy is used [\[33](#page-131-0), [34\]](#page-131-0). Byrappa and Yoshimura [\[35](#page-131-0)] described the hydrothermal process as a process involving a heterogeneous chemical reaction in a closed system, in the presence of an aqueous or non-aqueous solvent above room temperature, and at pressures more than 1 atm.

When water is 647.15 K and 221 bar above atmospheric pressure, it is referred to as a supercritical fuid. Water or other fuids that are supercritical behave both like liquids and like gases. Surface tension is decreased when a solid and a supercritical fuid come into contact, and chemical compounds that are extremely diffcult to dissolve in ambient conditions are also dissolved. These techniques largely take use of the metal's higher solubility and reactivity [\[36](#page-131-0)]. There are many advantages to using supercritical conditions, including simplicity, very small grain size, the presence of a single phase, and the creation of high-purity nanocrystals with outstanding crystallinity and green properties [[37\]](#page-131-0).

The thermal reduction technique is an illustration of a solvothermal process. This technology exceeds older ones like the chemical reduction method in terms of cleanliness, speed, and cost despite being relatively new. It requires the appropriate precursor to be picked, as well as focused heating. Numerous studies have shown that this method can produce copper oxide nanoparticles. According to Zhang et al. [\[8](#page-129-0)], the thermal breakdown of the copper oxalate precursor produced CuO-NPs with an average diameter of 10 nm. CuO nanoparticles were also produced from the thermal breakdown of the precursors brochantite  $Cu_4(SO_4)(OH)_{6}$  and posnjakite  $Cu<sub>4</sub>(SO<sub>4</sub>)(OH)<sub>6</sub>H<sub>2</sub>O$  [\[38](#page-131-0)]. Simpleness, a high possibility of single-phase, highpurity nanocrystals with high crystallinity, low particle size, and favourable environmental effects are all guaranteed by this method [[27,](#page-130-0) [37\]](#page-131-0).

A few studies have been done on the production of CuO-NPs by the thermal breakdown of copper precursors in a CSD's leach solution [\[27](#page-130-0), [32, 38\]](#page-131-0). Additionally, the thermal decomposition method for creating CuO-NPs from CSD requires careful selection of a copper precursor, as was previously mentioned in the preceding paragraphs [[27\]](#page-130-0). Therefore, it is essential to optimize the process parameters of agitation speed, temperature, flow rate, and concentration of both  $Na<sub>2</sub>CO<sub>3</sub>$  and  $CuSO<sub>4</sub>$  in order to obtain the best copper precursor, which will support the production of CuO-NPs with enhanced grade and recovery during its thermal decomposition.

<span id="page-109-0"></span>Thus, this chapter presents the results of the inquiry into the production of CuO-NPs from this low-grade waste metal dust (i.e. CSD).

#### **6.2 Experimental Method**

# *6.2.1 Material*

#### **6.2.1.1 Waste Metal Dust**

The WCD from South Africa served as the waste metal dust in this investigation.

## *6.2.2 Methods*

#### **6.2.2.1 Production of Pregnant Leach Solution (PPLS)**

In Okanigbe [[39\]](#page-131-0), the method for creating the PPLS employed in the synthesis of the CuO-NPs is described.

#### **6.2.2.2 Design of Experiment and Procedure for Production of Copper Precursor**

Starting with the manufacture of copper precursor from PPLS, CuO-NP manufacturing began. The 3-by-2 complete factorial experimental design approach was used to create the experiment design for the manufacture of copper precursor from PPLS, as illustrated in Tables 6.1 and [6.2](#page-110-0).

The copper precursor was made by adding 2 M  $\text{Na}_2\text{CO}_3$  solution dropwise to  $0.2$  M CuSO<sub>4</sub> solution in a 500-ml conical flask that was set on a hot plate while the mixture was stirred with a magnetic stirrer at various temperatures (Fig. [6.1\)](#page-110-0). Filtration was used to separate the resultant green precipitate, which was then washed with distilled water and pure ethanol. Then, for 24 h, this green precipitate is dried in an oven at 105 °C.

	Levels			
Parameters	Low	Medium	High	
Temperature $(^{\circ}C)$		55	85	
Rotational speed (rpm)	340	740	1480	

**Table 6.1** Parameters considered for the production of copper precursor

Tests	Temperature $(^{\circ}C)$	Rotational speed (rpm)	Treatment combinations (TC)
	25	340	25 °C/340 rpm
	25	740	25 °C/740 rpm
$\mathcal{E}$	25	1480	25 °C/1480 rpm
4	55	340	55 °C/340 rpm
	55	740	55 °C/740 rpm
6	55	1480	55 °C/1480 rpm
	85	340	85 °C/340 rpm
8	85	740	85 °C/740 rpm
Q	85	1480	85 °C/1480 rpm

<span id="page-110-0"></span>**Table 6.2** Design of experiment for the production of copper precursor



**Fig. 6.1** Experimental setup for the production of copper precursor

#### **6.2.2.3 Design of Experiment and Procedure for Production of CuO-NPs from Copper Precursor**

Using a thermogravimetric analyser and an atmospheric fow, it was determined how the synthetized copper precursor behaved thermally. Thirty-fve milligrams of samples was placed in a platinum crucible on the microbalance pan and heated between 25 and 1000 °C at rates of 5, 10, 15, and 20 °C/min.

The 3-by-2 complete factorial experimental design method was used to create the study (Tables [6.1](#page-109-0) and 6.2). Soon after becoming familiar with the copper precursor's thermal behaviour, the experiment was planned using the data, as can be seen in Tables [6.3](#page-111-0) and [6.4](#page-111-0) for the production of CuO-NPs. Experimental procedure can be found in Okanigbe [[39\]](#page-131-0).

	Levels			
Parameters	Low	Medium	High	
Temperature $(^{\circ}C)$	650	750	850	
Time (h)				

<span id="page-111-0"></span>**Table 6.3** Parameters considered for the production of CuO-NPs

**Table 6.4** Design of experiment for production of copper nanoparticles

<b>Tests</b>	Temperature $(^{\circ}C)$	Time (h)	<b>TC</b>
	650		650 °C/1 h
$\mathcal{D}$	650	2	650 °C/2 h
3	650	3	650 °C/3 h
4	750		750 °C/1 h
	750	2	750 °C/2 h
6	750	3	750 °C/3 h
	850		850 °C/1 h
8	850	$\overline{2}$	850 °C/2 h
9	850	3	850 °C/3 h

# **6.3 Results and Discussion**

# *6.3.1 Mineralogy of Copper Precursor*

This investigation into the synthesis of CuO-NPs from low-grade waste metal dust PPLS from South African CSD focuses on the synthesis and characterization of copper precursors before thermally decomposing the copper precursors to produce CuO-NPs. The many physical and chemical techniques used for material characterization are summarized in this section. Various physical characterization techniques, including powder X-ray diffraction, SEM, and TEM, were used to evaluate the copper precursor and CuO-NPs samples that were produced.

According to published literatures [[27,](#page-130-0) [32,](#page-131-0) [40,](#page-131-0) [41](#page-131-0)], the thermal dehydration and desulpheration processes (Eqs.  $6.1-6.3$ ) are part of the reaction pathway that leads to copper (II) oxide. Equations 6.1–6.3 demonstrate that the three separate steps of the decomposition of  $CuSO<sub>4</sub>$  in solution were dehydration (Eq. 6.1), crystallization (Eq. 6.2), and desulphurization (Eq. 6.3) according to Koga et al. [\[41](#page-131-0)].

$$
Cu_{4}(SO_{4})(OH)_{6}.xH_{2}O \to ^{170-180^{\circ}C} \to CuSO_{4}.3Cu(OH)_{2}+xH_{2}O
$$
\n(6.1)

$$
CuSO_4.3Cu(OH)_2 \rightarrow ^{170-180^{\circ}C} \rightarrow CuSO_4.2Cu(OH)_2 + CuO + xH_2O
$$
 (6.2)

$$
CuSO_{4}.2Cu(OH)_{2} \rightarrow ^{170-180^{\circ}C} \rightarrow CuO + xH_{2}O + SO_{2} + \frac{1}{2}H_{2}O
$$
\n(6.3)

## **6.3.1.1 Mineralogy of Copper Precursor Produced Under TC 25 °C/340 rpm**

The XRD machine was used to characterize the crystal structure and phase purity of the copper precursor generated under TC of 25  $^{\circ}$ C/340 rpm (Table [6.2\)](#page-110-0). Figure 6.2 demonstrates that exactly 87.1% of all the diffraction peaks were indexed to hydrotalcite, while 4.8% of the peaks were indexed to aragonite, and the remaining 8.2% of the peaks were indexed to the copper-containing compounds 0.1% posnjakite and 8.1% cuprite.

# **6.3.1.2 Mineralogy of Copper Precursor Produced Under TC 25 °C/740 rpm**

Figure [6.3](#page-113-0) displays the crystal structure and phase purity of the copper precursor produced at TC of 25 °C/740 rpm (Table [6.2](#page-110-0)). The majority of the diffraction peaks, 82.9%, were attributed to hydrotalcite, while 5.0% is indexed to aragonite. The remaining 0.1% and 12.1% of the peaks were attributed to the copper-containing minerals posnjakite and cuprite, respectively.

# **6.3.1.3 Mineralogy of Copper Precursor Produced Under TC 25 °C/1480 rpm**

XRD was used to characterize the crystal structure and phase purity of the copper precursor generated under TC of 25  $\textdegree$ C/340 rpm (Table [6.2](#page-110-0)). As can be seen in Fig. [6.4](#page-113-0), hydrotalcite accounted for exactly 86.5% of all the diffraction peaks, while



Fig. 6.2 XRD result of copper precursor obtained under 25 °C/340 rpm TC

<span id="page-113-0"></span>

Fig. 6.3 XRD result of copper precursor obtained under 25 °C/740 rpm TC



Fig. 6.4 XRD result of copper precursor obtained under 25 °C/1480 rpm

4.5% of them were indexed to aragonite, the other diffraction peaks were indexed to posnjakite (0.1%) and cuprite (9.0%).

## **6.3.1.4 Mineralogy of Copper Precursor Produced Under TC 55 °C/340 rpm**

By using the XRD, the crystal structure and phase purity of the copper precursor produced at TC of 55  $\degree$ C/340 rpm (Table [6.2](#page-110-0)) were identified. According to Fig. 6.5, the exact percentage of diffraction peaks indexed to hydrotalcite was 92.3%, the percentage indexed to aragonite was 2.7%, and the percentage indexed to cuprite was 5.1%.

## **6.3.1.5 Mineralogy of Copper Precursor Produced Under TC 55 °C/740 rpm**

XRD was used to characterize the crystal structure and phase purity of the copper precursor generated under TC of 55 °C/740 rpm (Table [6.2\)](#page-110-0). Figure [6.6](#page-115-0) demonstrates that exactly 88.1% of all the diffraction peaks were indexed to hydrotalcite, while 3.0% of the peaks were indexed to aragonite, and the remaining 0.1% and 8.8% of the peaks were indexed to cuprite.

# **6.3.1.6 Mineralogy of Copper Precursor Produced Under TC 55 °C/1480 rpm**

XRD was used to characterize the crystal structure and phase purity of the copper precursor generated under TC of 55 °C/1480 rpm (Table [6.2\)](#page-110-0). As can be seen in Fig. [6.7](#page-115-0), hydrotalcite accounted for exactly 89.5% of all the diffraction peaks, while 2.6% of them were indexed to aragonite, the other diffraction peaks were indexed to



**Fig. 6.5** XRD result of copper precursor obtained under 55 °C/340 rpm

<span id="page-115-0"></span>

Fig. 6.6 XRD result of copper precursor obtained under 55 °C/740 rpm



Fig. 6.7 XRD result of copper precursor obtained under 55 °C/1480 rpm

the copper-containing compounds posnjakite (0.3%), langite (0.1%), and cuprite (7.5%).

#### **6.3.1.7 Mineralogy of Copper Precursor Produced Under TC 85 °C/340 rpm**

The copper precursor produced at TC 85 °C/340 rpm (Table [6.2\)](#page-110-0) was examined using the XRD apparatus for its crystal structure and phase purity. According to Fig. 6.8, precisely 68.3% of all the diffraction peaks were indexed to hydrotalcite, 11.0% to aragonite, and the remaining peaks were indexed to posnjakite (4.8%) and cuprite (15.9%).

#### **6.3.1.8 Mineralogy of Copper Precursor Produced Under TC 85 °C/740 rpm**

The XRD machine was used to characterize the crystal structure and phase purity of the copper precursor generated under TC of 85  $\degree$ C/740 rpm (Table [6.2\)](#page-110-0). Figure [6.9](#page-117-0) reveals that exactly 83.2% of all the diffraction peaks were indexed to hydrotalcite, while 3.5% of the peaks were indexed to aragonite, and the remaining 13.3% of the peaks were indexed to posnjakite (0.1%) and cuprite (13.2%).

# **6.3.1.9 Mineralogy of Copper Precursor Produced Under TC 85 °C/1480 rpm**

The crystal structure and phase purity of the copper precursor produced at TC of 85 °C/1480 rpm (Table [6.2](#page-110-0)) are shown in Fig. [6.10](#page-117-0). 70.3% of the diffraction peaks were attributable to hydrotalcite, whereas aragonite was responsible for 10.6% of the peaks. Posnjakite, langite, and cuprite are the copper-containing minerals that are responsible for the remaining diffraction peaks, 5.8%, 1.6%, and 11.7%, respectively.



**Fig. 6.8** XRD result of copper precursor obtained under 85 °C/340 rpm

<span id="page-117-0"></span>

**Fig. 6.9** XRD result of copper precursor obtained under 85 °C/740 rpm



**Fig. 6.10** XRD result of copper precursor obtained under 85 °C/480 rpm

#### **6.3.1.10 Optimum TC for Production of Copper Precursor**

It is shown that the amount of copper-containing compounds (CCC) in the copper precursor obtained from the PPLS of low-grade waste metal dust (i.e. CSD) can be measured accurately by using the TC of temperature and agitation speed (Table [6.2\)](#page-110-0). Based on the results obtained (Table [6.5](#page-118-0)), the optimum TC that produced the highest CCC (20.7%) is 85 °C/340 rpm (Fig. [6.11\)](#page-119-0).

The variation in percentage contents of hydrotalcite and aragonite in copper precursor can be seen in Table [6.5.](#page-118-0) Based on the results obtained in Table 7.5, the <span id="page-118-0"></span>highest combination of hydrotalcite (92.3%) and aragonite (2.7%) contents, nonecopper-containing compounds is obtained under TC 55  $\degree$ C/340 rpm (Fig. [6.12](#page-119-0)) while the least combination of hydrotalcite  $(68.3\%)$  and aragonite  $(11.0\%)$  contents is obtained under TC 85  $\degree$ C/340 rpm (Fig. [6.12\)](#page-119-0); this is consistent with the claim of optimum TC for production copper precursor is 85 °C/340 rpm (Table 6.5).

# *6.3.2 Mineralogy of Copper Oxide Nanoparticles*

The copper precursor that was produced under optimum TC (Table 6.5) was used as the starting material for the production of the copper oxide nanoparticles (CuO-NPs). This copper precursor was subjected to the TC in Table [6.4.](#page-111-0) The results of the TC are reported in the subsequent part of this paper.

# **6.3.2.1 Mineralogy of CuO-NPs Produced under 650 °C/1 h**

The XRD machine was used to characterize the crystal structure and phase purity of the CuO-NPs generated under TC of 650  $^{\circ}$ C/1 h (Table [6.4\)](#page-111-0). Figure [6.13](#page-120-0) demonstrates that exactly 47.2% of all the diffraction peaks were indexed to tenorite, while 12.5%, 15.4%, 16.0%, 5.4%, and 3.6% of the remaining peaks were indexed to the none-copper-containing compounds, which are magnetite, sodium aluminium phosphate, disodium calcium silicate, grossular ferrian, periclase, respectively.

<b>TC</b>	Mineralogy of copper precursor						
	Hydrotalcite $(\% )$	Aragonite $(\% )$	Copper-containing compounds			TCCC (%)	
			Posnjakite	Langite	Cuprite		
			$($ %)	$(\%)$	$(\%)$		
25 °C/340 rpm	87.1	4.8	0.1	0.0	8.1	8.2	
25 °C/740 rpm	82.9	5.0	0.1	0.0	12.1	12.2	
25 °C/1480 rpm	86.5	4.5	0.1	0.0	9.0	9.1	
55 °C/340 rpm	92.3	2.7	0.0	0.0	5.1	5.1	
55 °C/740 rpm	88.1	3.0	0.1	0.0	8.8	8.9	
55 °C/1480 rpm	89.5	2.6	0.3	0.1	7.5	7.9	
85 °C/340 rpm	68.3	11.0	4.8	0.0	15.9	20.7	
85 °C/740 rpm	83.2	3.5	0.1	0.0	13.2	13.2	
85 °C/1480 rpm	70.3	10.6	5.8	1.6	11.7	19.1	

**Table 6.5** Percentage mineralogical composition of copper precursor

Key: TCCC = Total copper-containing compounds

<span id="page-119-0"></span>

**Fig. 6.11** Percentage content of copper-containing compounds in copper precursor at different TC



**Fig. 6.12** Percentage content of hydrotalcite and aragonite in copper precursor at different TC

#### **6.3.2.2 Mineralogy of CuO-NPs Produced under 650 °C/2 h**

The CuO-NPs produced under TC of 650 °C/2 h (Table [6.4](#page-111-0)) were characterized in terms of their crystal structure and phase purity using an XRD machine. Figure [6.14](#page-120-0) shows that exactly 46.7% of all the diffraction peaks were indexed to tenorite, while the remaining peaks were indexed to the non-copper-containing substances, such as magnetite, sodium aluminium phosphate, disodium calcium silicate, grossular ferrian, and periclase, at rates of 14.0%, 15.8%, 11.6%, 8.1%, and 3.8%, respectively.

<span id="page-120-0"></span>

**Fig. 6.13** XRD result of CuO-NPs obtained under 650 °C/1 h



Fig. 6.14 XRD result of CuO-NPs obtained under 650 °C/2 h

# **6.3.2.3 Mineralogy of CuO-NPs Produced under 650 °C/3 h**

Using the XRD machine, the CuO-NPs produced at TC of 650 °C/3 h (Table 7.4) were examined for their crystal structure and phase purity. Figure [6.15](#page-121-0) demonstrates that exactly 50.1% of all the diffraction peaks were indexed to tenorite, while the remaining peaks were indexed, at percentages of 12.8%, 14.9%, 13.1%, 5.4%, and 3.8%, to the non-copper-containing materials, such as magnetite, sodium aluminium phosphate, disodium calcium silicate, grossular ferrian, and periclase, respectively.

#### <span id="page-121-0"></span>**6.3.2.4 Mineralogy of CuO-NPs Produced Under 750 °C/1 h**

CuO-NPs generated at TC of 750 °C/1 h (Table 7.4) were subjected to XRD analysis to determine the crystal structure and phase purity. Figure [6.16](#page-122-0) shows that exactly 48.7% of all the diffraction peaks were indexed to tenorite, while the remaining peaks were indexed to non-copper-containing compounds like magnetite, sodium aluminium phosphate, disodium calcium silicate, grossular ferrian, and periclase at percentages of 8.8%, 13.0%, 17.5%, 8.6%, and 3.4%, respectively.

#### **6.3.2.5 Mineralogy of CuO-NPs Produced Under 750 °C/2 h**

To ascertain the crystal structure and phase purity of CuO-NPs produced at TC of 750 °C/2 h (Table [6.4\)](#page-111-0), XRD analysis was performed. Figure [6.17](#page-122-0) demonstrates that exactly 51.3% of all diffraction peaks were assigned to tenorite, while the remaining peaks were assigned to non-copper-containing substances like magnetite, sodium aluminium phosphate, disodium calcium silicate, grossular ferrian, and periclase at percentages of 12.0%, 17.8%, 10.1%, 6.0%, and 2.7%, respectively.

#### **6.3.2.6 Mineralogy of CuO-NPs Produced Under 750 °C/3 h**

XRD examination was done to determine the crystal structure and phase purity of CuO-NPs produced at a TC of 750  $\degree$ C/3 h (Table [6.4](#page-111-0)). According to Fig. [6.18](#page-123-0), tenorite accounted for exactly 50.8% of all diffraction peaks, with the remaining peaks being assigned to non-copper-containing materials like magnetite, sodium aluminium phosphate, disodium calcium silicate, grossular ferrian, and periclase at percentages of 11.1%, 16.8%, 13.2%, 6.2%, and 1.9%, respectively.



Fig. 6.15 XRD result of CuO-NPs obtained under 650 °C/3 h

<span id="page-122-0"></span>

Fig. 6.16 XRD result of CuO-NPs obtained under 750 °C/1 h



Fig. 6.17 XRD result of CuO-NPs obtained under 750 °C/2 h

#### **6.3.2.7 Mineralogy of CuO-NPs Produced Under 850 °C/1 h**

CuO-NPs generated at a TC of 850 °C/1 h (Table [6.4](#page-111-0)) were subjected to an XRD investigation to ascertain their crystal structure and phase purity. Tenorite accounted for exactly 51.3% of all diffraction peaks. The remaining peaks were ascribed to non-copper-containing substances such as magnetite, sodium aluminium phosphate, disodium calcium silicate, and grossular ferrian, at percentages of 14.4%, 17.7%, 11.4%, and 5.2%, respectively. These are all shown in Fig. [6.19.](#page-124-0)

<span id="page-123-0"></span>

**Fig. 6.18** XRD result of CuO-NPs obtained under 750 °C/3 h

#### **6.3.2.8 Mineralogy of CuO-NPs Produced Under 850 °C/2 h**

An XRD analysis was performed on CuO-NPs produced at a TC of 850 °C/2 h (Table [6.4\)](#page-111-0) to determine their crystal structure and phase purity. Exactly 48.9% of all diffraction peaks were accounted for by tenorite. The remaining peaks, with percentages of 15.9%, 14.9%, 14.4%, and 5.9%, were attributed to non-coppercontaining materials such as magnetite, sodium aluminium phosphate, disodium calcium silicate, and grossular ferrian. All of these are displayed in Fig. [6.20](#page-124-0).

#### **6.3.2.9 Mineralogy of CuO-NPs Produced Under 850 °C/3 h**

CuO-NPs produced at a TC of 850  $\degree$ C/3 h (Table [6.4\)](#page-111-0) were subjected to an XRD investigation to ascertain their crystal structure and phase purity. Tenorite accounted for exactly 47.0% of all diffraction peaks. Non-copper-containing substances including magnetite, sodium aluminium phosphate, disodium calcium silicate, and grossular ferrian were blamed for the remaining peaks, which had percentages of 16.8%, 10.9%, 17.9%, and 7.5%. These are all shown in Fig. [6.21](#page-125-0).

# **6.3.2.10 Optimum TC for Production of CuO-NPs**

It is shown that the amount of copper compound (CC) in the CuO-NPs obtained from the copper precursor produced from the WCD can be measured accurately by using the TC of temperature and agitation speed (Table [6.4](#page-111-0)). Based on the results obtained (Table  $6.6$ ), the optimum TC that produced the highest CC (51.3%) is 750 °C/2 h (Fig.  $6.22$ ).

<span id="page-124-0"></span>

**Fig. 6.19** XRD result of CuO-NPs obtained under 850 °C/1 h



**Fig. 6.20** XRD result of CuO-NPs obtained under 850 °C/2 h

The variation in percentage contents of magnetite, sodium aluminium phosphate, disodium calcium silicate, grossular ferrian, and periclase in CuO-NPs can be seen in Table [6.6](#page-125-0). Based on the results obtained in Table [6.6](#page-125-0), the highest combination of magnetite (14.0%), sodium aluminium phosphate (15.8%), disodium calcium silicate  $(11.6\%)$ , grossular ferrian  $(8.1\%)$ , and periclase  $(3.8\%)$ , none-copper-containing compounds (NCCC) is obtained under TC 650  $°C/2$  h (Fig. [6.23](#page-126-0)) while the least combination of magnetite (12.0%), sodium aluminium phosphate (17.8%), disodium calcium silicate (10.1%), grossular ferrian (6.0%), and periclase (2.7%) contents is obtained under TC 750  $\degree$ C/2 h (Fig. [6.23](#page-126-0)); this is consistent with the claim of optimum TC for production Cu-NPs is  $750 \text{ °C}/2$  h (Table [6.6\)](#page-125-0).

<span id="page-125-0"></span>

**Fig. 6.21** XRD result of CuO-NPs obtained under 850 °C/3 h

<b>TC</b>	Mineralogical composition of CuO-NPs						Total <b>NCCC</b>
	<b>Tenorite</b>		None-copper-containing compounds (NCCC)				
	(%)	Magnetite	Sodium	Disodium	Grossular	Periclase	$(\%)$
		$\binom{0}{0}$	aluminium	calcium	ferrian	$(\%)$	
			phosphate	silicate	$(\%)$		
			$(\%)$	$(\%)$			
650 °C/1 h	47.2	12.5	15.4	16.0	5.4	3.6	52.9
650 °C/2 h	46.7	14.0	15.8	11.6	8.1	3.8	53.3
650 °C/3 h	50.1	12.8	14.9	13.1	5.4	3.8	50.0
750 °C/1 h	48.7	8.8	13.0	17.5	8.6	3.4	51.3
750 °C/2 h	51.3	12.0	17.8	10.1	6.0	$2.7^{\circ}$	48.6
750 °C/3 h	50.8	11.1	16.8	13.2	6.2	1.9	48.2
850 °C/1 h	51.3	14.4	17.7	11.4	5.2	0.0	48.7
850 °C/2 h	48.9	15.9	14.9	14.4	5.9	0.0	51.1
850 °C/3 h	47.0	16.8	10.9	17.9	7.5	0.0	53.1

**Table 6.6** Summary of mineralogical composition of CuO-NPs at different TC

# *6.3.3 Characterization of CuO-NPs*

#### **6.3.3.1 SEM**

The morphology of the CuO-NPs powder was examined using the SEM machine (Fig. [6.24](#page-127-0)). The SEM images indicate that the particles are fower like in shape. Temperature is observed to play an important part in the morphology of the developed CuO-NPs, presupposing that the shape of the CuO-NPs can be tuned by changing the temperature.

<span id="page-126-0"></span>

**Fig. 6.22** Percentage content of CC in CuO-NPs at different TC



**Fig. 6.23** Percentage content of NCCC in CuO-NPs at different TC

#### **6.3.3.2 TEM**

The TEM images in Fig. [6.25](#page-128-0) show no specifc defect and average crystallite size is estimated as  $35 \pm 5$  nm. The TEM images indicate that the particles are cube like in shape.

<span id="page-127-0"></span>

Fig. 6.24 SEM images showing the flower like shape of developed CuO-NPs observed under (**a** and **b)** 10, 000x, (**c** and **d**) 20,000×, (**e** and **f**) 30,000×, and (**g** and **h**) 40,000× magnifcations

<span id="page-128-0"></span>

**Fig. 6.25** TEM images of the CuO-NPs [A-H], produced from WCD

# <span id="page-129-0"></span>**6.4 Conclusions**

The aim of the study was to develop CuO-NPs from leach solution of low-grade WCD. Several deductions were reached in the course of this study. Amongst these deductions are the following:

- 1. The optimum TC that produced the highest copper-containing compounds in the copper precursor (20.7%) is 85 °C/340 rpm.
- 2. The optimum TC that produced the highest copper compound in the CuO-NPs (51.3%) is 750 °C/2 h.
- 3. Angulars to spherical CuO-NPs were successfully prepared by thermal decomposition method with mean diameter of  $35 \pm 5$  nm.

These results represent a trend towards optimum experimental conditions aimed at maximizing CuO-NPs output and minimizing contaminants. It can be concluded that since this method does not require organic solvents, expensive raw materials, and complicated equipment, it is superior to the other methods for the synthesis of CuO-NPs from leach solution (i.e. CuSO<sub>4</sub> solution) of low-grade WCD.

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# **Part IV Thermal and Mechanical Properties**

**Chapter 7 Thermal and Mechanical Properties (I): Optimum Predictive Thermal Conduction Model Development for Epoxy-Filled Copper Oxide Nanoparticles Composite Coatings on Spent Nuclear Fuel Steel Casks**



**Daniel Ogochukwu Okanigbe and Shade Rouxzeta Van Der Merwe**

# **7.1 Introduction**

Nuclear reactors use radioactive elements to generate power [[1,](#page-161-0) [2\]](#page-161-0). While the byproduct of generating energy from radioactive materials is known as spent nuclear fuels (SNF) [\[3](#page-161-0), [4](#page-161-0)], it is anticipated that this waste will be safely stored and disposed of following conditioning and treatment [\[5–7](#page-161-0)]. Consequently, one of the biggest issues facing the nuclear industry is SNF management [[8,](#page-161-0) [9](#page-161-0)]. All transportation casks are anticipated to be built to comply with radiation safety regulations as part of managing SNF [[10–12\]](#page-161-0).

Therefore, type B casks (Fig. [7.1\)](#page-134-0) are used to transport SNF assemblies in order to comply with requirements that restrict radiation leaks and doses that represent a risk to the general public's health and safety as well as the environment [[13–16\]](#page-161-0). The casks are made of steel (or a steel and lead alloy) and are shielded [\[17–19](#page-161-0)]. The steel is typically around 30 cm thick and protects the fuel element while shielding the casks from radiation.

It has been discovered that the deposition of radioactive salts during spent fuel transportation frequently results in high-radiation levels in casks. The technique of

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<span id="page-134-0"></span>

Fig. 7.1 A typical type B SNF transportation cask. (Source: Google image)

eliminating these salts involves sanding, which involves directing an airstream flled with sand toward areas where they have gathered and there is a lot of radiation since this causes mechanical corrosion in those areas [[20\]](#page-161-0).

In accordance with Shkoukani Al-Qous [\[21](#page-161-0)], steel is exposed to radiation both internally and externally. As a result, the cumulative gamma irradiation effect on steel corrosion behavior results in a rise in corrosion rate with increasing irradiation dose.

As was previously indicated, the corrosion of the steel used to protect the casks (Fig. [7.1\)](#page-134-0) can cause signifcant economic losses as well as potential safety issues [\[22](#page-162-0)]. Surface coating has frequently been employed to protect the steel casks from mechanical and biological corrosion in order to alleviate these issues. However, surface coatings have a low resistance to electrolyte diffusion, which prevents them from offering long-term protection against corrosion [\[23](#page-162-0)]. As a result, numerous recent studies have been carried out to enhance these coatings' anticorrosion abilities [[20,](#page-161-0) [24,](#page-162-0) [25\]](#page-162-0).

Tensile stress, a corrosive environment, and a susceptible material are the three prerequisites for corrosion of steel casks, according to Ross et al. [\[26](#page-162-0)]. When assessing corrosion susceptibility, it is important to comprehend and take these circumstances into account. The three criteria will eventually be met in dry storage of SNF, according to previous studies [\[27–35](#page-162-0)].

Knight et al. [[16\]](#page-161-0) emphasized the significance of a material that is vulnerable to corrosion. The majority of SNF canisters is constructed of 304 stainless steel, which means that weld residual tensile stresses are adequate for corrosion to occur and that a corrosive environment has the potential to develop, according to the researchers. The presence of a chloride-rich brine on the surface of SNF canisters poses the greatest risk for corrosion [[36–](#page-162-0)[46\]](#page-163-0).

By reducing or completely eliminating the interaction of a corrosive brine with the canister surface, the researchers continued to emphasize the signifcance of surface coating materials in the prevention, mitigation, and repair potential of corrosion. By separating the metal surface from the corrosive environment on the coated canister surface, an effcient coating would do away with the chance of corrosion. By acting as a physical barrier that restricts mass transfer to the metal substrate, the majority of coatings either isolate the metal surface from exposure to aggressive chemistry or prevent corrosion by using corrosion inhibitors, such as organic inhibitors (i.e., organic coatings). Organic inhibitors protect the metal by forming a hydrophobic flm on the metal surface. The chemical make-up, molecular structure, and surface affnities of this class of inhibitors all affect how effective they are.

These anticorrosion coatings must, however, have excellent thermal conductivity properties as prescribed by the energy application (i.e., steel cask for transportation of SNF). A composite made of thermally conductive nano- or micro-sized particles spread in a polymer matrix is therefore one of the most effcient ways to create a thermally functional layer [[47–51\]](#page-163-0). In terms of cost and mass production, copper oxide (CuO), which is dark and has a high emissivity of more than 0.9, stands out among these materials and is a potential option for altering the metal heat sink surface to enhance heat dissipation in passive cooling [\[52](#page-163-0)].

By creating nanocomposite coatings and adding inorganic nano-fllers to the coating composition, the characteristics of organic coatings can be further enhanced [\[53](#page-163-0)]. The most often utilized inorganic nano-sized reinforcing fllers include carbon nanotubes, copper, zinc, titanium, magnesium, and gold [\[54](#page-163-0), [55\]](#page-163-0). In terms of thermal stability, mechanical, electrical, and catalytic capabilities, these hybrid coatings are discovered to be superior. The enhancement of these properties is due to the robust interfacial interaction between the polymer and the inorganic components, which results from the substantial specifc surface area of the nano-sized inorganic components [[56\]](#page-163-0). However, to create hybrid materials with desired qualities, a homogenous dispersion of the inorganic fllers must be attained inside the polymer matrix [[57\]](#page-163-0).

Additionally, because the contact between the coating layer and the metal surface depends on mechanical interlocking, bonding that is not at the atomic or molecular level, showing high-interfacial thermal resistance, and as an example, polymer/ceramic composite coating signifcantly increases the interfacial thermal resistance on the metal surface [\[58](#page-163-0)[–62](#page-164-0)].

Up until this point, numerous researchers have created a variety of thermally conductive polymers and their composites using flled type [[63\]](#page-164-0). The thermal conductivity coeffcient (*λ*) values of these polymers and their composites fall short of expectations, which has become the main bottleneck for their energy applications.

Due to this, several types of copper oxide nanoparticles (CuO-NPs) will be produced in the proposed study from concentrates acquired during the density separation of waste copper dust (WCD), depending on their copper oxide, aluminum oxide, and silicon oxide contents [[64\]](#page-164-0). This class of organic–inorganic composite coating will be studied to better understand the phenomenon of interfacial thermal resistance, an understanding that should assist with improving the coating's performance when used as a surface coating on steel casks during the transportation of SNF [[65,](#page-164-0) [66\]](#page-164-0). These various compositions of CuO-NPs will be used to create an epoxy/CuO-NPs composite coating.

## **7.2 Problem Statement**

The following basic discussions pertain to steel containers used to transport SNF and its surface coating:

- 1. Despite the enormous research efforts, there are still problems with the current hydrophobic coating methods, including poor mechanical strength and limited durability [[54\]](#page-163-0).
- 2. Despite numerous attempts to prepare a variety of thermally conductive epoxy composites using fller type [\[67](#page-164-0)], these epoxy composites frequently struggle to meet expectations in terms of their thermal conductivity coefficient values, which restrict their use in energy applications [[68\]](#page-164-0).
- 3. Epoxy composites with a higher fller content display deposition and agglomeration in the polymer matrix, which leads to poor adhesion between the fller particles and epoxy resin and reduced mechanical strength and durability [[69\]](#page-164-0).

# **7.3 Research Objectives**

# *7.3.1 Main Objective*

Investigating the interfacial thermal resistance and anticorrosion performance of CuO-NPs-Epoxy composite coatings from WMD on SNF steel casks is the main objective of this study.

# *7.3.2 Sub-Objectives*

By responding to the following research questions provided as sub-objectives, the main goal will be accomplished:

- 1. What is WCD's characteristics?
- 2. What makes up the concentrates and tailings produced by the WCD density separation?
- 3. What is the makeup of the leach solutions made from the various concentrate compositions?
- 4. What is the makeup of the copper precursor produced by the chemical reaction between reagents and leach solutions?
- 5. What makes up the CuO-NPs created by the thermal breakdown of various copper precursors?
- 6. What is the interfacial thermal resistance of the hybrid nanocomposite coatings made by adding different compositions of CuO-NPs to epoxy resin?
- 7. What is the anticorrosion behavior of hybrid nanocomposite coatings made by adding various compositions of CuO-NPs to epoxy resin?
- 8. What are the CuO-NPs/epoxy composite coatings' calculated and predicted thermal conductivity value  $(\lambda)$  for the given system?

# **7.4 Research Hypotheses**

On the basis of the model proposed to overcome the challenges noted, the following can be hypothesized:

- 1. Decreased thermal resistance between surfaces.
- 2. Hybrid coatings' improved anticorrosion behavior.
- 3. Decreased cost of hybrid coating production.
- 4. Utilization of the earth's mineral resources sustainably.

# **7.5 Signifcance of Study**

The positive outcome of this research will be advantageous to the following areas:

- 1. Creation of a hybrid coating that enables the economical design of superb thermally conductive steel containers for the transfer of SNF.
- 2. The earth's ability to support life despite other deteriorating environmental factors, while also providing WCD as a natural resource replacement for copperbased surface exploration.
- 3. Using an economical and effective approach of fabrication and processing to create hybrid coatings for steel barrel designs.

#### **7.6 Literature Review**

#### *7.6.1 Background and Literature Survey*

#### **7.6.1.1 Background**

Transportation of SNF

Every year, about 20 million transports of radioactive materials are made worldwide. However, shipping of materials used in the production of electricity make up a very minor portion of all radioactive material shipments made globally. The global transportation of used nuclear fuel has been done safely. No incident or mishap involving the transfer of SNF in the past 45 years has had a substantial radiological effect on either people or the environment. To date, used nuclear fuel has been shipped throughout the globe in excess of 80,000 tons.

Strong international transportation standards that have been approved and implemented by national regulatory programs are directly responsible for the industry's great safety record. According to the laws, radioactive material packages and casks must meet performance standards that are appropriate for the level of risk posed by the substances they are intended to hold. Extra-regulatory testing of radioactive material transportation packages and casks as well as investigations into serious, non-radioactive accident cases have shown that the current regulatory system is effective and that it is safe to transport radioactive materials, including SNF.

In some circumstances, such as transfer to another storage facility or transportation to a central storage location, a cask may need to be transported from one storage location to another storage location. This may be due to economic factors, such as enabling the full decommissioning of the facilities at a reactor site, or political factors such as the political consolidation of storage facilities in a given region or nation.

A cask could occasionally need to be moved from the storage location to the repository. The architecture of the disposal facility and the type of storage technology to be employed both need to be taken into account. Some storage methods used in the US were based on sealed canisters enclosed in concrete storage casks, with the idea being that the canister would act as a containment boundary in the repository rather than requiring the fuel to be unloaded.

However, if the container design does not completely satisfy the standards for the repository or the geological environment in which the fuel will be stored, repackaging of containers may be necessary. Before being disposed of, the fuel is to be repackaged in multipurpose containers or casks, according to alternative repository designs. This idea will be applied in Germany where casks will be accepted at the Pilot Conditioning Plant. There, the spent fuel will be removed from the storage cask and consolidated before being repackaged into disposal casks for disposal in a subterranean repository.

Types of Casks for Transportation of SNF

To specify safety requirements that offer an adequate level of control over radiological dangers, regulations controlling the packaging of radioactive materials have been devised. The laws utilize a graduated approach to the packing requirements because not all radioactive dangers are equal (radiopharmaceuticals used in medicine do not present the same hazard as an SNF).

The packing of radioactive materials depends on the material's classifcation before it is transported. Excepted, industrial (Type IP), Type A, Type B, and Type C are the fve package categories.

#### **Excepted Packages**

Materials exhibiting negligible radiological dangers, such as shipments of radiopharmaceuticals, are covered by excepted packages.

#### **Industrial Packages**

Industrial packages (Type IP-1, Type IP-2, and Type IP-3) are intended to hold radioactive materials with low-activity levels or radioactive materials with diffcultto-spread radioactivity. The regulatory specifcations for the three types of industrial packages range from meeting the general specifcations for all packages (Type IP-1), to being able to withstand conditions that might be anticipated during normal transport (Type IP-3), such as falling from a moving vehicle or being struck by a sharp object. Industrial packages are primarily used for the transportation of radioactive ores, low- and intermediate-level radioactive waste, and unirradiated nuclear fuel.

#### **Type A packages**

Type A packages are frequently used for the transportation of small but large amounts of radioactive materials. However, the laws include a cap on how much radionuclides they can have. A variety of tests imitating typical transit conditions are performed on Type A packages. For the purpose of reducing possible dispersal in the case of an accident, packages with liquid contents must meet additional standards. Radioisotopes used for medical diagnosis and some low- and intermediatelevel radioactive waste are typical examples of items delivered in Type A packaging.

#### **Type B packages**

Type B packaging is necessary for the transportation of highly radioactive materials, such as radioactive sources used in medical imaging equipment, parts taken from nuclear reactor cores, and SNF (Figs. 7.2 and [7.3\)](#page-141-0). Packaging designated as Type B must be able to withstand extreme accident circumstances without leaking or spilling. The relevant authorities or regulators of each nation where the packages are utilized must certify Type B package designs.

#### **Type C packages**

The 1996 revision of the IAEA regulations saw the introduction of Type C packages [\[70](#page-164-0)]. They are designed for the air transportation of extremely radioactive materials. This kind of bundle has not yet been created. The packaging design can differ greatly among any of the categories for radioactive materials. For instance, Type B packaging intended for used nuclear fuel are frequently very big and heavy, yet Type B packages intended for radioactive sources utilized in different industrial devices may be tiny enough to fit in a car's trunk.

The standards for packing, with the exception of Type C packages, are essentially irrespective of the mode of transportation, whether it is by road, rail, water, or air.



**Fig. 7.2** A typical Type B Truck cask for transportation of spent nuclear fuel. (Source: Google image)

<span id="page-141-0"></span>

**Fig. 7.3** A typical Type B rail cask for transportation of spent nuclear fuel. (Source: Google image)

Steel

In order to increase its strength and fracture resistance compared to other forms of iron, steel used in the production of casks is an alloy composed of iron with typically a few tenths of a percent of carbon. Many additional components could be included or added.

Modern steels are created using various alloy metal combinations to serve a variety of functions. 90% of steel is produced as carbon steel, which is only iron and carbon. To increase the hardenability of thick sections, low-alloy steel is alloyed with additional elements, typically molybdenum, manganese, chromium, or nickel, in amounts up to 10% by weight. In order to boost strength while only slightly raising price, high-strength low-alloy steel incorporates tiny additions (generally 2% by weight) of other elements, often 1.5% manganese.

Steel is susceptible to corrosion, which is caused by electrolysis, in which the metallic surface releases electrons into an electrolyte, such as a layer of moisture in the presence of oxygen. Because metals have a propensity to revert to their original states, this electrochemical reaction takes place.

Therefore, the following techniques are used to prevent corrosion in steel:

- 1. Store items properly. To considerably slow down rust, store metal products or parts in a low-moisture region or inside a temperature- and humidity-controlled environment.
- 2. Desiccant drying agents are also useful in this storage.
- 3. Surface coating, such as galvanizing, which involves dipping metals like iron or steel into a molten Zn metal bath to coat the metal surfaces.

#### Surface Coatings

Any mixture of flm-forming components combined with pigments, solvents, and other additives is referred to as a surface coating. When applied to a surface, this mixture cures or dries to produce a thin flm that is both useful and frequently beautiful. Paints, varnishes, enamels, oils, greases, waxes, concrete, lacquers, powder coatings, metal coatings, and fre-retardant formulas are all examples of surface coatings.

According to the composition of their binder, surface coatings are classifed as organic or inorganic. Coatings with an organic binder are known as organic coatings. Coatings classifed as inorganic contain an inorganic binder, such as a silicate. Surface coating is a method of surface engineering in which the surface of a material is covered with a different material, such as powder, flm, or bulk, depending on the desired applications and the coating material.

The following are examples of common coating materials:

- 1. Pure metals, including Molybdenum (Mo), Copper (Cu), and Aluminum (Al).
- 2. Alloys like aluminum bronze (CuAl), nickel chrome (NiCr), nickel aluminum (NiAl), etc.
- 3. Ceramic (Oxide), such as Zirconium Oxide ( $ZrO<sub>2</sub>$ ), Titanium Oxide (TiO<sub>2</sub>), Aluminum Oxide  $(Al_2O_3)$ , etc.
- 4. Polymers like urethane, silicone, acrylic, phenolic resins, nitrocellulose, and epoxy, as well as natural and synthetic rubber.

#### Epoxy Coatings

Steel will rust and corrode if it is not protected from the elements. One of the most popular steel coatings in the industrial and marine sectors is epoxy. Epoxy coating is used to add an additional layer of protection to steel. A polyamine hardener and an epoxy resin are the two different components that make up an epoxy coating (also known as a catalyst). When combined, the resin and hardener undergo a chemical reaction that causes the components to cross-link as the mixture dries.

Epoxies are known for having excellent adhesion to steel and provide good chemical resistance. They are also often sold as "surface tolerant", which means they will adhere well to surfaces with minimal surface preparation.

However, the following qualities should be stated and considered when choosing a coating for steel casks (both interior and external):

- 1. Reliability,
- 2. Cleanliness,
- 3. Emission and Absorption,
- 4. Capability of touch-up and repairs on the loaded (warm) casks.

# *7.6.2 Literature Survey*

# **7.6.2.1 Introduction**

Because of their low cost, high-specifc strength/modulus, easy processing, great chemical stability, and light weight, polymers like epoxy-resin are frequently employed as coating materials in the energy sector [\[71](#page-164-0)]. Epoxy has good anticorrosion properties, but its *λ* values, 0.18–0.44 W m<sup>-1</sup> K<sup>-1</sup>, are frequently low and cannot meet the demands of highly efficient and quick thermal conduction/dissipation from components like the steel casks used in storage and transportation of SNF [[72\]](#page-164-0).

Therefore, the study and development of epoxy and their composites with highthermal conduction/dissipation capabilities and excellent mechanical properties have urgent theoretical relevance and real-world application values for the development of materials in the energy domains. According to the method of preparation, thermally conductive polymer coatings can be split into two categories: intrinsic type and filled type [[67\]](#page-164-0).

Intrinsically Thermally Conductive Epoxy (ITCE) Coatings

In order to increase the intrinsic thermal conductivities of the polymers, ITCE are obtained through special physical structures (such as orientation, liquid crystalline, and crystalline structure) by altering the structures of polymer chain units during the polymer synthesis and processing processes.

Filled-Type Thermally Conductive Epoxy (FTCE) Composites Coatings

FTCE are created by incorporating highly thermally conductive fllers into the polymer matrix and then physically blending the polymers to give them exceptional thermal conductivities.

# **7.6.2.2 Review of Publications on Anticorrosion Properties and Interfacial Thermal Resistance of Epoxy Composite Coatings**

Anticorrosion Property of Epoxy Composites Coatings

Coatings are a frequent, practical, and preferred technique of preventing corrosion in metals [\[73](#page-164-0)]. Epoxy composite coatings with fllers have been widely employed as anticorrosion materials, claimed by Zhang et al. [[74\]](#page-164-0). The Metal–Organic Frameworks (MOFs) are useful for a variety of industrial applications due to their unique and varied building units and customizable features [\[75](#page-164-0)]. Because of this,
organic polymer coatings based on graphene are used often nowadays as corrosionprevention techniques.

Graphene and graphene oxide offer a wide range of potential uses in the feld of metal protection due to their superior dispersion, chemical activity, and physical barrier capabilities [\[68](#page-164-0)], while their barrier features make them suitable for protecting materials [\[76](#page-164-0)]. The substantial potential of advanced nanomaterials like graphene oxide as smart containers for the controlled desorption of inhibitors and their performance as dual active/passive anti-corrosive agents in the polymeric composites have been recognized in recent studies by Keshmiri et al. [[75\]](#page-164-0). The effectiveness of graphene and graphene oxide/polymer composite coatings as protective materials is signifcantly infuenced by the interface design in the resin matrix [\[47](#page-163-0)].

Despite the extensive research efforts, the existing hydrophobic coating options still have issues, such as weak mechanical strength and limited endurance [\[54](#page-163-0)].

The homogenous, 50-m thick epoxy coatings and composite epoxy coatings with 2 wt% of 130-nm silica particles were successfully manufactured in earlier papers on MOF, according to the report by Conradi et al. [[77\]](#page-164-0) on austenitic stainless steel of the type AISI 316L. As shown by the increased hardness, increased surface roughness, and induced hydrophobicity, the silica particles were found to significantly improve the microstructure of the coating matrix. In a chloride-ion-rich environment, the silica/epoxy coating was also demonstrated to have excellent anticorrosive performance. This was shown by the fact that the zigzagging of the diffusion path open to the ionic species resulted in a lower rate of corrosion and a higher coating resistance.

Direct mixed oxidation was employed to produce polyaniline (PANI) nanofbers utilizing four various inorganic acids [[78\]](#page-164-0). The fndings showed that the Q235 steel is protected to varying degrees by the various composite coatings of PANI doped by various inorganic acids. In conclusion, both the morphology and counter-anion would have an impact on the anticorrosion effect of the doped PANI.

Ghahremani et al. [[79\]](#page-164-0) developed a special nano-carrier by modifying the MWCNT surface with polydopamine (PDA), chitosan (CH), and zinc cations. The epoxy coating was improved with the inclusion of the OMWCNT-PDA-CH-Zn nanocomposite, yielding remarkable barrier performance and stable corrosion retardation for nearly 9 weeks.

Motamedi et al.'s [\[80](#page-165-0)] innovative nano-pigment, which combines performance epoxy and a nanoceria-decorated cerium (III)-imidazole network (NC/CIN), is similar to a MOF. After 7 weeks of exposure of the intact coating to saline solution, the results showed that the epoxy composite containing NC/CIN offered exceptional barrier-inhibitive protection for mild steel in corrosive environments as well as selfrepairing protective properties in the artifcially defective nanocomposite (log |*Z*|10  $mHz = 9.91$  cm<sup>2</sup>).

Titanium dioxide was used to make an epoxy composite (DGPMDAP/MDA/ TiO2) in the work by Hsissou et al. [\[81](#page-165-0)] in order to examine its rheological and anticorrosion properties. The results showed that adding more  $TiO<sub>2</sub>$  improved the rheological properties of DGPMDAP/MDA/TiO2. The epoxy polymer with anticorrosion capabilities decaglycidyl pentamethylene dianiline of phosphorus

(DGPMDAP) displayed mixed-type inhibitor activity and had a maximum corrosive inhibition effcacy of 92% at 103 M (when stationary electrochemical method was used). However, the transient electrochemical method showed that, at 103 M of DGPMDAP, it was a 91% effective carbon steel inhibitor in 1 M HCl solution. The Langmuir isotherm was also used to predict how DGPMDAP adhered to the surface of carbon steel.

In a ground-breaking study by Lashgari et al. [[82\]](#page-165-0), ZIF-67 nanoparticles were developed and used as a corrosion inhibitor container to enhance the barrier/active corrosion protection capabilities of the epoxy coating. The protective performance of the ZIF-67 and ZIF-67@APS NPs flled epoxy composites was evaluated utilizing EIS, salt-fog, and cathodic delamination experiments. The coating resistance values of the ZIF-67 and ZIF-67@APS NPs loaded epoxy coatings fell from 3.49  $\times$  $10^{10}$ Ω. cm<sup>2</sup> to 2.47 × 10<sup>9</sup>Ω. cm<sup>2</sup> and from 8.16 × 10<sup>10</sup>Ω. cm<sup>2</sup> to 5.78 × 10<sup>9</sup>Ω. cm<sup>2</sup>, respectively, after 50 days of immersion. The active corrosion inhibition effect of the epoxy/ZIF-67@APS NPs was demonstrated using electrochemical results.

Chhetri et al. [[83\]](#page-165-0) presented an intercalation modifcation technique to improve the anticorrosion properties of polymeric coatings. The layered double hydroxide (LDH) reservoir was functionalized to increase LDH's interfacial adhesion with the polymer matrix and steel surfaces, and an inhibitor, molybdate, was intercalated to impart inhibitive characteristics. The electrochemical results showed that the addition of functionalized double hydroxide signifcantly increased the protective effciency of the epoxy coating. The corrosion protection efficiency of the composite coating was raised by more than 98% and the corrosion rate was decreased by around 98% with the addition of 1 wt% of functionalized LDH.

Cao et al. [[84\]](#page-165-0) developed a nano-structured hybrid molecule with strong corrosion inhibitor encapsulating capability and the ability of controlled distribution of benzotriazole in order to simultaneously increase the hydrophobic property and corrosion resistance of epoxy coatings (BTA). Additionally, a flm covering the Ce-metal organic frameworks (Ce-MOF), which were developed and used as nanocontainers, was made using tetraethyl orthosilicate (TEOS). The addition of benzotriazole to nanocontainers gave the coating system exceptional anti-corrosion performance. The breakthrough is that the tetraethyl orthosilicate membrane would split and produce pores in an acidic environment, uniformly releasing benzotriazole. This self-healing system used polymer coatings to effectively release a corrosion inhibitor under the control of pH. The results showed that the epoxy coatings with organic frameworks containing  $3 \text{ wt\%}$  Ce-metal had the best anti-corrosion capabilities.

In a 3.5-wt% sodium chloride solution, Wu et al. [[85\]](#page-165-0) produced h-BN/SZP aqueous epoxy composite coatings and examined their corrosion protection effcacy. They achieved this by fusing the corrosion-inhibiting ability of strontium zinc phosphate (SZP) with the impermeability of hexagonal boron nitride (h-BN) nanosheets. The anti-corrosion performance of the coatings was evaluated using potential and impedance tests, ftting pore (pinhole) resistance, salt spray, and waterborne epoxy composite coatings applied to mild steels. The analysis of the synthesized (Fh-BN, SZP)/EP coating's localized electrochemical impedance spectroscopy (LEIS) and corrosion byproducts suggested that the combined effects of h-physical BN's barrier function and SZP's inhibition were responsible for the coated mild steel's superior corrosion resistance. Our method offers a new protection mechanism in coatings for metallic substrates while advancing the fundamental research and industrial uses of h-BN nanosheets.

#### Interfacial Thermal Resistance of Epoxy Composite Coatings

In thermally conductive polymer composites, interfaces are crucial and signifcantly affect [[86\]](#page-165-0). The vibration harmonic, acoustic, and modulus mismatch that occurs for phonons at the interfaces during the thermal conduction process leads to severe scattering and a sharp decrease in the phonon's mean free path [\[87](#page-165-0)]. According to corresponding macroscopic evidence, the heat fow is frequently partially blocked at the interface, leading to signifcant heat loss and decreasing the effectiveness of polymer composites [\[88](#page-165-0)]. Therefore, in order to further increase the of polymer composites, it will be crucial to enhance the interfaces in thermally conductive polymer composites and minimize interfacial thermal resistance (ITR).

Researchers found that fabricating thermally conductive fllers with hetero-structures can significantly reduce the ITR between filler to filler [\[89](#page-165-0), [90](#page-165-0)].

In order to create hetero-structured  $AI_2O_3$ -BNNS thermally conductive fillers, Zou et al. [[91\]](#page-165-0) covered the surface of alumina  $(AI_2O_3)$  with boron nitride nanosheets (BNNS) before creating thermally conductive  $Al_2O_3$ -BNNS/epoxy composites. The value of thermally conductive  $A_1O_3-BNNS/epoxy$  composites reached 2.43 W m<sup>-1</sup> K<sup>-1</sup>, higher than pure epoxy-resin (0.21 W m<sup>-1</sup> K<sup>-1</sup>), single Al<sub>2</sub>O<sub>3</sub>/epoxy (1.39 W m<sup>-1</sup> K<sup>-1</sup>), and simply blended (Al<sub>2</sub>O<sub>3</sub>/BNNS)/epoxy (1.94 W m<sup>-1</sup> K<sup>-1</sup>) composites under the same fllers amount. This was true when the volume ratio of BNNS to  $A_1O_3$  was 1:7 and the amount of  $A_1O_3/BNNS$  was 65 vol%.

Further work by Han et al. [[92\]](#page-165-0), on the use hetero-structured silicon carbide-BNNS (SiC-BNNS) thermally conductive fllers, proved that fabrication of thermally conductive fllers with hetero-structures corroborated can improve the interfaces between different types of fllers, reduce the phonon scattering at the interfaces and decrease ITR fller to fller.

The thermal characteristics of epoxy-based composites with large loading fractions of electrically conductive graphene fllers and electrically insulating boron nitride fllers randomly orientated were studied by Kargar et al. [[93\]](#page-165-0). It was discovered that at the loading fraction  $IT > 20$  vol%, both types of composites showed a unique thermal percolation threshold. The loading of graphene needed to achieve thermal percolation, fT, was signifcantly higher than the loading needed to achieve electrical percolation, fE. In terms of improving heat conductivity, graphene fllers fared better than boron nitride fllers. It was determined that heat conduction through the network of percolating fllers dominates thermal transport in composites with large filler loadings,  $f \geq fT$ . Unexpectedly, it was discovered that the

quasi-two-dimensional fllers' cross-plane thermal conductivity had a signifcant impact on the high-loading composites' thermal transport parameters. The results acquired provide insight into the highly contested mechanism of thermal percolation and aid in the creation of the next generation of effective thermal interface materials for electronic applications.

## **7.6.2.3 Review of Publications on Neutron Shielding, Anticorrosion Properties and interfacial Thermal Resistance of CuO-NPs-Epoxy Composite Coatings**

Neutron Shielding Capacity of Epoxy-CuO-NPs Coatings

The work by Künzel and Okuno [\[94](#page-165-0)] examines how particle size, material concentration, and radiation energy affect X-ray absorption. Separately, 5%, 10%, and 30% of the resin mass of a polymeric resin were treated with CuO nanoparticles and microparticles. We investigated the X-ray absorption of these materials using a CdTe detector. The nanostructured material displays more X-ray absorption than the microstructured one for all CuO concentrations.

In the study by Mahmud et al. [[95\]](#page-165-0), doping recycled high-density polyethylene (R-HDPE) with phosphotungstic acid (PTA) and CuO-NPs resulted in the creation of an unique (R-HDPE/CuO-NP-PTA) nanocomposite (CuO-NPs). Because the constructed nanocomposites had high-density components including CuO-NPs and phosphotungstic acid, they were discovered to be extremely resistant against rays. When phototungstic acid (PTA) and CuO-NPs were added to R-HDPE, it was discovered that PTA raised the composites' electron density (Nel), mass attenuation coefficient (mm), and effective atomic number (Zeff) more than CuO-NPs did.

According to Abd El-Lateef and Gouda [\[96](#page-166-0)], hydrothermal fabrication was successfully used to create new metal oxide–organic frameworks, including cellulose nanocrystals (CNCs), copper oxide/melamine/cellulose nanocrystals (CuO/MEL/ CNCs), and nickel oxide/melamine/cellulose nanocrystals (NiO/MEL/CNCs). At 300 mg L−<sup>1</sup> , the ability to prevent corrosion was determined to be in the following order: NiO/MEL/CNCs (98.3%), CuO/MEL/CNCs (96.8%), and CNCs (85.3%). According to the current study, CNCs and metal oxide-melamine frameworks at CNCs may be viable candidates to prevent AISI360-steel corrosion in the petroleum industry as low-cost, environmentally benign inhibitors.

Hassan and Hashim [[97\]](#page-166-0) researched the high-quality and low-cost synthesis of (polystyrene-copper oxide) nanocomposites and studied their structural and optical properties for biological application. The outcomes demonstrated that the nanocomposites have a high-UV region absorption. As the concentration of CuO nanoparticles rises, the attenuation coeffcients for the gamma radiation source (Cs-137) do as well.

#### Thermal Conductivity of Epoxy-CuO-NPs Coatings

Copper oxide nanoparticles (CuO-NPs) with an octahedral morphology that were created using a hydrazine reduction reaction were used to create a new epoxy-based nanocomposite by Sunny et al. [\[98](#page-166-0)]. By putting forth a mechanism based on the distribution of nCOP domains in the epoxy matrix and the current volume of restricted epoxy chains, the behavior of epoxy-nCOP nanocomposites has been explained in this study.

A novel epoxy-based nanocomposite material was created using nanosized copper (I) oxide particles (nCOP) created through a chemical reduction reaction, according to Sunny et al. [[99\]](#page-166-0). The shape and microstructure development in the nanocomposites have been associated with the tuning of the epoxy resin's hydrophilicity in the presence of variable nCOP content.

Rajendren and Subramani [[100\]](#page-166-0) claim that making epoxy materials into good conducting materials for extremely complex applications can make them more appealing. Cu/CNT composite powder increases the thermal stability of epoxy composites while lowering onset temperature as CNT concentration in Cu is increased. Epoxy composites with the highest thermal conductivity and shore hardness were found to have values of  $0.253$  W/mK and  $83.3 \pm 0.4$ , respectively, with corresponding improvements of 24.3 and 10% over pure epoxy. Regardless of the Cu-CNT concentration, the maximum value of epoxy-based composites is attained at 1.0 Epoxy/Comp25 composites and is then reduced.

According to Jasim et al. [\[101](#page-166-0)], polymeric composites are among the most isolated materials, yet they also offer a high degree of mechanical fexibility. The fndings indicate that at  $65\%$  EP +  $35\%$  Cu the highest glass transition temperature  $(T<sub>s</sub>)$ of (EP/Cu) composites was 58.949 °C. Hardness increases as copper concentration rises, reaching a maximum of 83.9 for  $(55\%EP + 45\%Cu)$ . To match the warm summer temperatures in our country, this composite can be used to coat surfaces and floors.

#### Anticorrosion and Mechanical Properties of Epoxy-CuO-NPs Coatings

According to Nazari et al. [\[102](#page-166-0)], a number of techniques have been used to shield metal assets against corrosion damage, one of which is the use of very effective and affordable organic coatings. It has been found that the most promising nanofllers for enhancing the barrier performance of organic coatings are those that are carbonand bio-based. As they could be controlled to release mending or protective compounds, primarily to corrosion faults or damaged parts of the coating once triggered by external stimuli, smart nanocomposite organic coatings were then investigated. Then, superior and long-lasting corrosion protection offered by high-performance nanocomposite organic coatings was looked at. Then, sophisticated characterization techniques for researching organic nanocomposite coatings were briefy covered. We wrapped up by summarizing the major discoveries and the unmet research requirements.

Zhang et al. [\[103](#page-166-0)], by using electrochemical impedance spectroscopy (EIS), Fourier transform infrared spectroscopy (FTIR), and scanning electron microscope (SEM/EDS) methods, the degradation processes of two self-polishing antifouling coatings containing copper-based agents (CuSCN and  $Cu<sub>2</sub>O$ ) in 3.5% NaCl solution as well as the protection effect of the coating systems were investigated. The fndings show that the two coating systems still have extremely good protective properties for the 5083 Al alloy substrate after immersion for 1525 days at room temperature, as seen by the high value of the low-frequency impedance. The antifouling topcoat suffers severe damage from the alternate high- and low-temperature immersion test (45 °C for 12 h + 25 °C for 12 h), and the failure is primarily characterized by numerous micro-pores and micro-cracks. The micro-pores created after the agents were dissolved and released during the hydrolysis process of the antifouling coating are relatively larger, because the CuSCN antifouling agent particle has a larger diameter and a slightly higher solubility than the  $Cu<sub>2</sub>O$  agent. This causes a greater decrease in impedance and a worse protective property of the coating system for the substrate.

In order to demonstrate the benefts of incorporating CuO nanofllers into the epoxy resin polymer, a comparison study (structural, thermal, mechanical, and electrical) between the neat-epoxy resin polymer matrix and the epoxy resin polymer decorated with (Ag-CuO) nanoparticles (NPs) and (Mg-CuO) nanosheets has been conducted by El-Masry and Imam [[104\]](#page-166-0). It was shown that the interaction of (Ag-CuO) nanoparticles or (Mg-CuO) nanosheets with epoxy networks enhanced the physical/chemical properties of neat-epoxy by providing it a new, captivating performance that satisfes the requirements of advanced applications. Epoxy plastic's behavior was altered by Mg-CuO nanofllers to exhibit the ductile feature. Epoxy's thermal stability, electrical conductivity, dielectric constant, and lowest activation energy were all increased by (Ag-CuO) NPs. A low-cost option for usage in numerous electrical applications is the Ag-CuO/Epoxy nanocomposite. On the stress–strain curve, Mg-CuO/Epoxy has a broader elasticity region. In comparison to the individual constituent phases and the matrix, the hybrid nanocomposite between neat-epoxy and (Ag/Mg-CuO) NPs presents the solution for more functional applications.

### **7.6.2.4 Thermal Conduction Models and Inner Mechanisms of Thermally Conductive Epoxy Composite**

The following are some of the most successful thermal conduction models that have been proposed by researchers.

For flled polymers with particles, Agari and Uno [\[105](#page-166-0)] suggested a new thermal conduction model, and projected values from the new model are compared with experimental results. The model is basically based on a generalization of composite parallel and series conduction models, which has been further refned to account for the isotropy of heat conduction in a random dispersion system. The new model yields the following equation:  $log \lambda = V \cdot C_2 \cdot log \lambda_2 + (1 - V) \cdot log(C_1 \cdot \lambda_1)$ . As a result,

the equation may be used to estimate the thermal conductivity of the flled polymer (*λ*) with any volume content (*V*) of particles when the thermal conductivities of the polymer and particles  $(\lambda_1, \lambda_2)$  are known. Experimental results for polyethylene, polystyrene, and polyamide are filled with graphite, copper, or  $Al_2O_3$ .

This study by Agrawal and Satapathy [\[106](#page-166-0)] investigates ways to improve the ability of aluminum nitride-loaded epoxy composites to conduct heat. In order to build a theoretical model for one-dimensional heat conduction through such a composite, the laws of least thermal resistance and specifc equivalent thermal conductivity were combined. The values obtained using the suggested mathematical correlation were compared with experimental fndings that were measured as well as with values that were estimated using other well-known correlations such the Rule-of-Mixture, Maxwell's model, Bruggeman model, and Nielson-Lewis model. This comparison shows that although none of the aforementioned models are capable of accurately forecasting the composites' effective thermal conductivity, the outcomes of the proposed model that incorporates a correction factor are in decent accordance with the experimental results.

#### **Conclusion on Literature Review**

There are always some discrepancies between the predicted values by models and the experimental values because the current thermal conduction models only have a limited application range and do not account for ITR, shape, amount, and surface properties of thermally conductive fllers, among other factors. Future research on thermal conduction models must fully take into account additional practical infuencing factors, quantify them, and incorporate them into the models in order to increase the degree of agreement between the models and the experimental data.

This will result in the establishment of general mathematical models on interfacial thermal resistance for novel shapes and properties of thermally conductive epoxy composites.

## **7.7 Methodology**

## *7.7.1 Materials and Methods*

**7.7.1.1 Materials**

WCD

The WCD from SA will serve as the raw material for the creation of CuO-NPs with various compositions.

Epoxy-Resin

The two major elements of the ultraviolet curable coatings will be epoxy acrylate and tripropylene glycol diacrylate, while vinyltrimethoxysilane and castor oil will function as plasticizers and coupling agents, respectively.

Steel

The substrate for this study will be mild steel sheets ( $7 \times 3.5 \times 0.1$  cm).

## **7.7.1.2 Methods**

The WCD will frst go through gravity separation to create concentrates with varied copper oxide, aluminum oxide, and silicon oxide contents as explained in the following sub-sections.

Density Separation of WCD

To separate the WCD based on densities, the rotational bowl speed and fuidized water flow rate will be altered at three levels (Table 7.1). Table [7.2](#page-152-0) details the test process for the density separation of the WCD. After fve passes through the separator, 45 test samples will be generated (Table [7.3](#page-152-0)). These concentrates will be used as the basic ingredient in the production of copper precursors. The calculations that will be performed before creating the slurry that will be used for the density separation experimentation are described in detail in Okanigbe's thesis [[107\]](#page-166-0).

Design of Experiment and Procedure for Production of Copper Precursor from Concentrates

Manufacturing of CuO-NPs started with the production of copper precursor from leached concentrates. The experiment design for the production of copper precursor from leached concentrates was developed using the  $3 \times 2$  complete factorial experimental design approach, as shown in Tables [7.4](#page-153-0) and [7.5.](#page-153-0)

The copper precursor will be created by adding dropwise  $2 M Na<sub>2</sub>CO<sub>3</sub>$  solution to 0.2 M CuSO<sub>4</sub> solution in a 500-ml conical flask positioned on a hot plate while

S.N	Parameters	Low $(0)$	Medium $(1)$	High(2)
$\Lambda$	RBS $(m/s2)$	60	90	
$\Lambda$	FWFR (l/min)	3.0	4.5	6.0

**Table 7.1** Parameters measured for density separation experiment

<b>Tests</b>	RBS(G)	FWFR (l/min)	Treatment combination (TC)
	А	A	AA
	А	B	AB
	А	⌒	AC
	B	А	<b>BA</b>
	B	B	<b>BB</b>
	B	⌒	BC
	$\subset$	А	<b>CA</b>
	$\subset$	B	CB
			CC

<span id="page-152-0"></span>**Table 7.2** Test protocol for density separation of CSD

Table 7.3 Test protocol for production of concentrates with different copper oxide, aluminum oxide, and silicon oxide contents

	Tests and tailings								
Passes	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	1(1)	1(2)	1(3)	1(4)	1(5)	1(6)	1(7)	1(8)	1(9)
$\overline{2}$	2(1)	2(2)	2(3)	2(4)	2(5)	2(6)	2(7)	2(8)	2(9)
3	3(1)	3(2)	3(3)	3(4)	3(5)	3(6)	3(7)	3(8)	3(9)
$\overline{4}$	4(1)	4(2)	4(3)	4(4)	4(5)	4(6)	4(7)	4(8)	4(9)
	5(1)	5(2)	5(3)	5(4)	5(5)	5(6)	5(7)	5(8)	5(9)

stirring the mixture with a magnetic stirrer at various temperatures (Fig. [7.4](#page-154-0)). The resulting green precipitate will be separated by fltration and then washed with distilled water and pure ethanol. The green precipitate will then be dried in a 105 °C oven for 24 h.

Design of Experiment and Procedure for Production of CuO-NPs from Copper Precursor

On the basis of an air fow and a thermogravimetric analyzer, the thermal behavior of the synthesized copper precursor will be measured. A platinum crucible containing 35 mg of samples will be placed on the microbalance pan and heated at rates of 5, 10, 15, and 20 °C/min between 25 and 1000 °C.

The investigation will be conducted using a  $3 \times 2$  complete factorial experimental design method (Tables [7.4](#page-153-0) and [7.5](#page-153-0)). After familiarizing oneself with the thermal behavior of the copper precursor, Tables [7.6](#page-154-0) and [7.7](#page-155-0) show how the experiment will be planned based on the data for the generation of CuO-NPs. An experimental approach can be found in Okanigbe [\[107](#page-166-0)].

	Levels		
Parameters	Low	Medium	High
Temperature $(^{\circ}C)$	25	55	85
Rotational speed (rpm)	340	740	1480

<span id="page-153-0"></span>**Table 7.4** Parameters considered for the production of copper precursor

<b>Tests</b>	Temperature $(^{\circ}C)$	Rotational speed (rpm)	Treatment combinations (TC) $(^{\circ}C/\text{rpm})$
	25	340	25/340
$\mathcal{L}$	25	740	25/740
3	25	1480	25/1480
$\overline{4}$	55	340	55/340
	55	740	55/740
6	55	1480	55/1480
	85	340	85/340
8	85	740	85/740
9	85	1480	85/1480

Table 7.5 The design of experiment for the production of copper precursor

Preparation and Polymerization of Hybrid Nanocomposite Coatings by Electron Beam Radiation

Six solvent-free coating formulations (blank  $L_0$ ) will be created using epoxy acrylate (EA) oligomer as the binder, TPGDA as the thinner, CO as the plasticizer, and VTMS as the coupling agent. Developed coating formulations (hybrid) will be measured against blank  $L_0$  to determine performance enhancement. Different CuO-NP compositions (CuO, and other trace oxides  $wt\%$ ) will be added to the formulations L-1(1) to L-5(9) as shown in Table [7.8](#page-156-0). These different compositions will be used to create hybrid organic–inorganic composite coatings. These hybrid formulations will then be homogenized using a homogenizer at room temperature after being sonicated for 20 min and lightly blended for a further 15 min at 10.000 revolutions per minute (rpm). Using a flm applicator (Elcometer 3540, USA), all hybrid formulations will then be applied as thin flms with a thickness of 100 mm onto steel panels and subsequently polymerized with a dose of 10 kGy of electron beam irradiation.

Development of Predictive Models

## **Modeling Technique for Predictive Output**

The fundamental processes used in the modelling approach described in this research are presented in this subsection:

Step 1: Analyze the trends in the experimental samples.

<span id="page-154-0"></span>

**Fig. 7.4** Experimental set-up for the production of copper precursor





- Step 2: Establish constraint models to classify and divide samples into sub-classes in accordance with Step 1.
- Step 3: Calculate the absolute difference between input and output samples belonging to the same class as those classifed in Step 2.
- Step 4: Determine various experimental levels for the classes.
- Step 5: Predict output using an interpolant model.
- Step 6: Finish.

Table [7.9](#page-157-0) contains a generalized form of the modeling variables. The possible effects of the various mineral constituents observed in the experimental concentrations were calculated using a model that took these variables into account. The modeling strategy discussed here is based on the constrained interpolation of fndings from any three sequential experimental samples. Two of these outputs are frequently known by experience, and predictive modeling is used to identify the third unknown output. First and second columns of Table [7.9](#page-157-0) display the ordered experimental trials that are used for interpolation. The  $(\%)$  proportions of the inputs ( $p_{i,j}$ ,  $p_{i_2}$ , and  $p_{i_3}$ ) and outputs ( $p_{o_1}$ ,  $p_{o_2}$ , and  $p_{o_3}$ ) for the investigated mineral constituents are shown in the third and fourth columns, respectively. Additionally, for each of the three experimental samples under examination, column six shows the

<b>Tests</b>	Temperature $(^{\circ}C)$	Time $(h)$	$TC$ ( $\mathrm{C}/h$ )
	650		650/1
$\mathcal{D}_{\mathcal{L}}$	650	2	650/2
3	650	3	650/3
4	750		750/1
	750	2	750/2
6	750	3	750/3
	850		850/1
8	850	$\overline{2}$	850/2
9	850	3	850/3

<span id="page-155-0"></span>**Table 7.7** The design of experiment for production of copper nanoparticles

absolute difference between the input and output values stated in terms of, and column fve shows the experimental levels.

The following under listed are modelling notations as presented in this research:

Output  $= f$  (speed, flow rate, input, feedrate, liquidsolidratio)

Let: serial number for inputs:  $s_i = \{1, ..., n-1, n\}$  and serial number for outputs $s_0$  = {1, …, *n* − 1, *n*} for  $\forall n \in R$ .

Where:

 $exp<sub>(i)</sub>, j$  = Experimental inputs,  $exp_{(o)i, j}$  = Experimental outputs,  $Pre_{(o)i, i}$  = Predictive outputs,  $p_i$  = % input proportion of selected samples,  $p_{0}^{'} = \%$  output proportion of selected samples,  $\Delta p_j = \left| p_{i_j} - p_{o_j} \right|$  absolute difference between  $p_{i_j}$  and  $p_{o_j}$ 

Where  $j = \{1, ..., k - 1, k\}$  represents experimental levels.

The "absolute difference" models defned in terms of the experimental levels are shown in Eqs. (7.1) through (7.3), and the fnal computational models for projecting the unknown outputs are shown in Eqs. ([7.4](#page-157-0)) through [\(7.6\)](#page-157-0) with respect to Table [7.9](#page-157-0).

$$
\Phi_1 = \left[ \frac{\Phi_3(\mu - \xi) - \Phi_2(\mu - \xi) - \Phi_2(\sigma - \mu)}{(\mu - \sigma)} \right]
$$
(7.1)

$$
\Phi_2 = \left[ \frac{\Phi_3 \left( \mu - \xi \right) + \Phi_1 \left( \sigma - \mu \right)}{\left( \sigma - \mu \right) + \left( \mu - \xi \right)} \right] \tag{7.2}
$$

$$
\Phi_3 = \left[ \frac{\Phi_1(\mu - \sigma) + \Phi_2(\sigma - \mu) + \Phi_2(\mu - \xi)}{(\mu - \xi)} \right]
$$
(7.3)

	Compositional formulations						
	EA	<b>TPGDA</b>	CO		<b>VTMS</b>	CuO-NPs	<b>Irradiation</b> dose
Coating	$(wt\%)$	$(wt\%)$	$(wt\%)$	Total	$(wt\%)$	$(wt\%)$	(kGy)
<b>Blank</b>	70	20	10	100	$\mathbf{1}$	0.5	10
$(L_0)$							
$L-1(1)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-1(2)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-1(3)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-1(4)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-1(5)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-1(6)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-1(7)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-1(8)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-1(9)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-2(1)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-2(2)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-2(3)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-2(4)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-2(5)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-2(6)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-2(7)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-2(8)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-2(9)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-3(1)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-3(2)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-3(3)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-3(4)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-3(5)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-3(6)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-3(7)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-3(8)$	70	20	10	100	$\mathbf{1}$	0.5	10
$\rm L-3(9)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-4(1)$	70	20	10	100	1	0.5	10
$L-4(2)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-4(3)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-4(4)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-4(5)$	70	20	10	100	1	0.5	10
$L-4(6)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-4(7)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-4(8)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-4(9)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-5(1)$	70	20	10	100	$\mathbf{1}$	0.5	10
$L-5(2)$	70	20	10	100	$\mathbf{1}$	0.5	10

<span id="page-156-0"></span>Table 7.8 Compositional formulations of different epoxy-CuO-NPs coatings

(continued)

	Compositional formulations						
	EA	<b>TPGDA</b>	CO		<b>VTMS</b>	$CuO-NPs$	Irradiation dose
Coating	$(wt\%)$	$(wt\%)$	$(wt\%)$	Total	$(wt\%)$	$(wt\%)$	(kGy)
$L-5(3)$	70	20	10	100	1	0.5	10
$L-5(4)$	70	20	10	100	1	0.5	10
$L-5(5)$	70	20	10	100	1	0.5	10
$L-5(6)$	70	20	10	100	1	0.5	10
$L-5(7)$	70	20	10	100	1	0.5	10
$L-5(8)$	70	20	10	100	1	0.5	10
$L-5(9)$	70	20	10	100	1	0.5	10

<span id="page-157-0"></span>**Table 7.8** (continued)

Table 7.9 Generalized representation of model variables

Level	Data acquisition procedure	Input value for variant factor $P_{\rm i}$ .	Output value for variant factor $P_{\rm o}$	Expt. levels	Absolute difference between $p_{i}$ and $p_{o}$
1-First	Prediction	$P_{\rm i.}$	$P_{\rm o}$	ξ	$ p_{i_1} - p_{o_1}  = \Phi_1$
	2-Second Experiment	$P_{i_{2}}$	$P_{\rm o}$	$\mu$	$ p_{i_2} - p_{o_2}  = \Phi_2$
3-Third	Experiment	$P_{i_2}$	$P_{o_3}$	$\sigma$	$= \Phi_{2}$ $ p_{i_3}-p_{o_3} $

$$
\left| p_{i_1} - p_{o_1} \right| = \Phi_1 \tag{7.4}
$$

$$
\left| p_{i_2} - p_{o_2} \right| = \Phi_2 \tag{7.5}
$$

$$
|p_{i_3} - p_{o_3}| = \Phi_3 \tag{7.6}
$$

Hence,  $\Delta p_i = \Phi_i$ .

#### Experimental Validation and Simulation

The epoxy-CuO-NPs composite coatings thermal conductivity, anticorrosion, and mechanical properties will be experimentally determined in order to validate the expected ideal composition of the organic–inorganic composite coating.

Characterization of the Developed Epoxy-CuO-NPs Composite Coatings

All coated flms will be characterized using the following:

- 1. Fourier transform infrared spectroscopy,
- 2. Transmission electron microscopy,
- 3. Thermogravimetric analyzer,
- 4. X-ray diffraction,
- 5. Scanning electron microscopy,
- 6. UV–Vis–NIR spectroscopy,
- 7. Atomic force microscope,
- 8. Contact angle meter.

Measurement of the Basic Properties of the Developed Epoxy-CuO-NPs Composite Coatings

For each of the following listed measurements, the average of five readings will be calculated:

- 1. Hardness measurement
- 2. Bending measurement
- 3. Adhesion measurement
- 4. Glossiness measurement
- 5. Coating steel scratch measurement
- 6. Acid/alkali resistance measurement

## Corrosion Tests

According to ASTM, corrosion tests for hybrid coating flms were estimated as follows: the degree of rust under the coated flm was determined according to ASTM D 610–01; the degree of blistering under the coated flm was performed according to ASTM D 714–7 (2000), and the degree of adhesion failure of the X-cut was made according to ASTM D 1654–92 (2000).

## Weight Loss Measurements

Mild steel sheets measuring  $3 \times 3 \times 0.1$  cm were used. The steel sheets have been thoroughly cleaned and weighed. The following Eq. (7.7) will be used to get the average weight reduction for coated steel:

$$
W = (W_1 - W_2) / S,
$$
 (7.7)

where *W* is the average weight loss (mg/cm<sup>2</sup>),  $W_1$  is the average weight loss of the coated steel before immersion,  $W_2$  is the average weight loss of the coated steel after immersion, and *S* is the total area of the mild steel panel.

The plates in the current investigation will be removed from sodium chloride (NaCl) solution at the intervals of 10, 20, 30, and 60 days in accordance with ASTM D 2688-94 (1999). Analyses of the electrochemical kind Galvanic charge/discharge measurements will be carried out using a Biologic SP300 and three electrodes. After 30 days of exposure to a 3.5% NaCl solution, the hybrid-coated steel plates will be removed, and the open circuit potential will be measured over the frequency range of 0.1–103 Hz with an amplitude of 10 mV.

Thermal Conductivity Measurements

Using a test rig apparatus, the epoxy coating's thermal conductivity will be determined at various concentrations of copper nanoparticles. A thermocouple, a uniform plate heater with a resistance, a voltage control, a switch, and a temperature reader will be included in this test apparatus.

# **7.8 Contribution to Knowledge**

At the end of the investigation, the following details will be made public:

- 1. The creation of a brand-new epoxy/CuO-NPs composite coating.
- 2. For improved heat conductivity and anticorrosion of mild steel, hence this composite coating has not yet been used in the design of cask for transportation of SNF.
- 3. As a result, the composition is brand-new.
- 4. The availability of WCD, a fresh secondary supply of CuO-NPs, enabling the creation of organic–inorganic coatings.
- 5. WCD is a secondary resource that has not been utilized as an inorganic material to improve the thermal conductivity and anticorrosion of mild steel.
- 6. The CuO-NPs substance is therefore brand-new.

## **7.9 Ethical Considerations**

This project has no unethical components.

## **7.10 Dissemination**

The Department of Higher Education and Training (DHET) recognized journals listed below are just a few that will publish the fndings of the study:

- 1. Nano-micro Letter, Publisher: Springer
- 2. Journal of Metals, Publisher: Springer

Additionally, the fndings will be presented at national and international conferences in addition to being published in full in the conference proceedings.

# **7.11 Budget and Time Frame**

# *7.11.1 Budget*

The estimated budget for this proposed study can be seen in Table 7.10.

# *7.11.2 Time Frame*

The estimated time for the completion of this proposed study can be seen in Table 7.11.





Key:  $TUT = Tshwane University of Technology; R = Rand$ 

S.N	Task name	Year	
	Proposal (compilation and presentation)	<b>WIP</b>	<b>WIP</b>
$\mathcal{L}$	Literature review	<b>WIP</b>	<b>WIP</b>
3	Material sourcing	<b>WIP</b>	<b>WIP</b>
$\overline{4}$	Sample preparation (sampling)	<b>WIP</b>	<b>WIP</b>
5	Fabrication of test samples optimization process	<b>WIP</b>	<b>WIP</b>
6	Fabrication of test samples	<b>WIP</b>	<b>WIP</b>
	Thermal conductivity and wear resistance tests	<b>WIP</b>	<b>WIP</b>
8	Results, data, and analysis	<b>WIP</b>	<b>WIP</b>
9	Optimum predictive model development	<b>WIP</b>	<b>WIP</b>
10	3D print of brake rotor and validation of optimum prediction	<b>WIP</b>	<b>WIP</b>
11	Compilation and presentation of final report	<b>WIP</b>	<b>WIP</b>

**Table 7.11** Estimated time frame of the project

Key: WIP = Work in progress

## **References**

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# **Chapter 8 Thermal and Mechanical Properties (II): Spark Plasma Sintered Ti–6Al–4V Alloy Reinforced with Mullite-Rich Tailings for Production of Energy Efficient Brake Rotor**



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## **8.1 Introduction**

The method to increase the energy efficiency of automobiles can be implemented in a variety of ways  $[1-9]$ . For instance, it can be accomplished by lowering parasitic frictional losses in the engine and drive-train, as well as by lowering rolling resistance of the tires, while designing the shape of the vehicle to enhance lower aerodynamic drag [[10–](#page-190-0)[18\]](#page-191-0). The disadvantage of these methods for reducing drag is that they make cars more difficult to stop  $[18, 20, 21]$  $[18, 20, 21]$  $[18, 20, 21]$  $[18, 20, 21]$  $[18, 20, 21]$  $[18, 20, 21]$ .

Brakes are used to help stop vehicles. A brake, then, is a mechanical device that prevents motion by soaking up energy from a moving system. It is used to slow

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down, halt, or prevent motion in a moving vehicle, wheel, or axle, and is most frequently performed using friction [[22\]](#page-191-0). The brakes are a crucial component of a car because they provide all necessary stopping purposes [\[23](#page-191-0)]. There are two main categories of brake configurations:

- 1. Drum brakes, in which fat pads are pressed against a circle rotor that is attached to the wheel hub, and
- 2. Disc brakes, in which curved contact surfaces (or "shoes") are forced outward against a circular drum's inner diameter.

Disc brakes are typically a standard part of cars, as well as some types of lorries and buses [[24–27\]](#page-191-0). Compared to drum/shoe type brakes, the rotor/pad confguration of disc brakes often exhibits superior resistance to fade (i.e., reduction in friction as a function of rise in brake temperature) [[28\]](#page-191-0). To prevent thermal cracking and rotor distortion, compositional changes and heat treatments are also adjusted [[29,](#page-191-0) [30\]](#page-191-0).

Most disc brake rotors are commonly constructed of gray cast iron (GCI), which is typically 3.5% carbon- and additive-free [[31–33\]](#page-191-0). Because to its high-melting point, excellent heat storage, castability, and machinability, damping capacity, and cost-effectiveness [[34\]](#page-191-0). Due to its durable performance, GCI is still the material of choice for braking discs and rotors [[35\]](#page-191-0). With GCI, brake emissions in the form of dust (a non-exhaust emission) and particulate matter that are harmful to human health are produced [[36–41\]](#page-192-0), but poor corrosion resistance and excessive wear of the brake disc material during service remain issues of concern [[34\]](#page-191-0). In an effort to reduce weight, a number of lightweight alloys have been investigated as replacements; nevertheless, due to their low-melting point and high-intrinsic costs, their widespread application has been constrained [[34\]](#page-191-0).

To evaluate lightweight materials for new energy-effcient brakes, however, there is a requirement to look into a number of research initiatives [\[42–44](#page-192-0)]. Ceramic composites [[44\]](#page-192-0), graphite materials [\[45](#page-192-0)], composites based on aluminum [\[46–48](#page-192-0)], and composites based on titanium [[49,](#page-192-0) [50\]](#page-192-0) are a few possible materials that could be used for this purpose.

Numerous titanium-based materials' tribological properties have been studied and described in literature [[50–53\]](#page-192-0). This interest was partly sparked by recent studies that promise to lower the price of titanium's raw materials and so make more applications economically viable [[54\]](#page-192-0). Furthermore, titanium alloys have not seen much commercial use in brake rotors outside of specialized racing applications [[53](#page-192-0), [55\]](#page-192-0). However, due to their low density  $(7.4 \text{ g/cm}^3)$ , good stiffness, relatively high strength, and resistance to corrosive attack by ice-melting salts, they have been given some consideration for use in automotive braking systems [\[56](#page-192-0)].

The metallurgy and processing of titanium alloys are well understood as a result of previous and current research and development activities [\[57](#page-192-0)[–60](#page-193-0)]. Their tribological properties, however, are less well recognized. While there has been a lot of research on the fretting properties of titanium alloys, there have been relatively few studies that discuss the thermal stress, sliding wear, and friction of titanium alloys [\[61](#page-193-0), [62](#page-193-0)]. According to the reports based on published works, titanium alloys are unlikely to be suitable rotor materials in the absence of surface modifcation,

particle additions, or coating [\[20](#page-191-0), [54](#page-192-0)]. While titanium-based alloys have many benefts for brakes, they also have three signifcant drawbacks.

- 1. Titanium has a low-thermal conductivity compared to cast iron,
- 2. The material is unfamiliar to the automotive sector, and
- 3. Titanium parts are expensive.

In terms of braking function, pad material selection, and rotor design, thermal behavior is especially important [[63–65\]](#page-193-0). Raw materials, processing, fabrication, surface treatment (if any), and machining are some of the cost-related factors. By including reinforcements [[20,](#page-191-0) [54](#page-192-0)] to create a composite [\[66](#page-193-0)], it is possible to address some of these faws in Ti–6Al–4V alloy.

In order to retain the matrix-reinforcement system in the compact form and to guarantee the desired shape and dimensions of products made of such materials, a composite matrix material is composed of a material that is the continuous phase and flls the gap between the reinforcement. In addition to distributing loads to the reinforcement and shielding it from harm or adverse environmental effects, a matrix is frequently essential for the chemical and thermal properties of a composite material.

Any metal, including ferroalloys, magnesium alloys, aluminum alloys, zinc alloys, silver alloys, nickel alloys, copper alloys, and titanium alloys, can be used as a matrix material.

In addition, it can be made of non-metal, a ceramic matrix (such as aluminum oxide, silicon carbide, aluminum nitride, graphite, cement, and chamotte), and an organic matrix made of polymeric materials (such as polypropylene, polycarbonate, polyesters, epoxide, and polyamide), or carbon [[67–71\]](#page-193-0). A component with superior attributes—usually strength properties—than the matrix properties is added to a matrix (that is a reinforcement).

The role of a reinforcement in a composite is typically to ensure, among other things, the required rigidity, increased yield strength and strength at room temperature, to prevent the propagation of cracks and to alter matrix susceptibility to plastic deformations [\[67–71](#page-193-0)]. A reinforcement can be either in the form of fbers, particles, or elements with complex geometric characteristics and even such ordered spatially.

However, because reinforcement is a generic phrase, its function for some composite materials may not only enhance mechanical or tribological capabilities but also enhance certain physiochemical properties, such as electric, thermal, or magnetic properties. As a result, the reinforcement of Ti–6Al–4V alloy with aluminosilicates like mullite, which has improved thermal shock and thermal stress resistance due to low-thermal expansion, good strength, and interlocking grain structure properties, can reduce the effects of poor thermal and wear resistance when used as brake rotors [\[72–74](#page-193-0)].

Mullite, a key ingredient in aluminosilicate ceramics, has been used in pottery and refractories for thousands of years [\[75](#page-193-0)]. Mullite is an old material that is fnding new applications in electronics, optics, high-temperature structural products, and more as our understanding of it advances [\[76](#page-193-0)]. Compared to the majority of other metal oxide compounds, including alumina, several of its high-temperature properties are superior [[75–78\]](#page-193-0). The use of Ti–6Al–4V + 3Al2O32SiO2 composite in the

production of brake rotors will undoubtedly be prevented by the high cost of raw materials, including metal powders like mullite that can act as reinforcement for Ti–6Al–4V alloy [[54\]](#page-192-0). This is because Ti–6Al–4V is signifcantly more expensive than alternatives like steel and aluminum (Table 8.1).

As a result, it becomes necessary to get mullite from secondary resources like slags, slimes, or mullite-rich tailings (MRT) from density separation of waste copper dust (WCD) [\[79](#page-193-0)], not only promotes environmental sustainability but also reduces environmental pollution caused by non-biodegradable solid wastes, such as metallic solid wastes, and powdery materials, among others [\[79](#page-193-0)]. Additionally, the high cost associated with using Ti-6Al-4V alloy will be significantly reduced by using tailings rich in mullite to create Ti–6Al–4V + 3Al2O32SiO2 composite [\[54](#page-192-0)].

The manufacturing of Ti–6Al–4V-based composites, such as Ti–6Al–4V + 3Al2O32SiO2, is not without its challenges. These issues stem from the processing of Ti–6Al–4V composites' high affnities for nitrogen and oxygen, which impairs the ductility of the resulting Ti–6Al–4V products [[80\]](#page-193-0). The processing of Ti–6Al–4V products must therefore be done in regulated, high-temperature, and vacuum environments if the bulk material's characteristics are to be protected and unaffected by interstitial components  $[81]$  $[81]$ . Due to this method, Ti–6Al–4V products are exceedingly expensive and have a small range of applications. Researchers have typically found spark plasma sintering (SPS) to be affordable and cost-effective, giving great energy conservation and efficiency over the traditional sintering methods [[82–84\]](#page-194-0). SPS is a new, emerging, and advanced powder consolidation technology.

The method has continued to draw a lot of interest from researchers since it offers the option of high heating vs. fast cooling rates while sintering powders to full densifcation in a little period of time, under a low-vacuum atmosphere, and at signifcantly lower temperatures [[85,](#page-194-0) [86\]](#page-194-0). The most common ultra-rapid sintering method used to create nanostructured materials, carbon nanotube-based composites, intermetallic compounds, amorphous materials, metal/ceramic matrix composites, highly porous and refractory metals/ceramics, functionally graded materials, and other advanced materials is the SPS technique [[87,](#page-194-0) [88\]](#page-194-0).

The Ti–6Al–4V alloy can therefore clearly play a more substantial role in the design of brake rotors with superior heat conductivity and mechanical qualities in light of the aforementioned. To achieve this, the price must be brought down. Investigating the thermal conductivity and mechanical characteristics of SPS Ti–6Al–4V+ 3Al2O32SiO2 composite, for the manufacturing of brake rotors, is one suggested strategy for reaching this goal; nevertheless, this study.

		Material (\$ per pound contained)					
Item	Steel	Aluminum	Magnesium	Titanium			
<b>Ore</b>	0.02	0.10	0.01	0.30			
Metal	0.10	0.68	0.54	2.00			
Ingot	0.15	0.70	0.60	4.50			
Sheet	$0.30 - 0.06$	$1.00 - 5.00$	$4.00 - 9.00$	$8.00 -$			

**Table 8.1** Cost of titanium compared to competing automobile materials [[54](#page-192-0)]

Key: Magnesium sheet is not commonly used. Castings are \$2.50–\$10.00 per pound

# **8.2 Problem Statement**

The following are general discussions of brakes rotors as it pertains to challenges faced with the integrity and durability of structural materials used in its design:

- 1. Developing the design of cars to improve reduce aerodynamic drag has the con-sequence of making the vehicles more difficult to stop [[19–21\]](#page-191-0).
- 2. Due to its durable performance, GC) is still the material of choice for braking discs/rotors [\[35](#page-191-0)]. Weight, low-corrosion resistance, and excessive brake disc material wear throughout service remain topics of concern, but [\[34](#page-191-0)].
- 3. Using GCI as a brake disc or rotor material causes brake emissions in the form of dust and particulate matter, which are harmful to human health [\[36–41](#page-192-0)].
- 4. Due to the limitations of GCI as a material for brake rotor/disc design, new, energy-efficient brakes must be made of lightweight materials [[42–44\]](#page-192-0).

Although titanium alloy (Ti–6Al–4V) is used to create brake rotors that are lightweight, it also offers potential benefts in terms of its high strength, low density, high-fracture toughness, and great corrosion resistance. For effective operation while in service, there are still several limits that must be addressed. These restrictions include the following:

- 5. The use of titanium alloy (Ti–6Al–4V) as a lightweight material to construct brake rotors is constrained by its high fabrication and processing costs, limited heat conductivity, and poor wear resistance [[20\]](#page-191-0).
- 6. Because of their strong affnities for nitrogen and oxygen during processing, Ti–6Al–4V composites exhibit reduced ductility [[80\]](#page-193-0).

# **8.3 Research Objectives**

# *8.3.1 Main Objective*

This project's primary goal is to analyze the thermal conductivity and mechanical property of a composite made from SPS Ti–6Al–4V and MRT that will be used to make brake rotors.

# *8.3.2 Sub-Objectives*

- 1. The four sub-objectives of ore sampling, ore preparation, characterization of MRT and determination of the true density of MRT are to be completed in order to meet the aim.
- 2. Ti–6Al–4V+MRT composites SPS process optimization.
- 3. Determine how compositional variation in the SPS Ti–6Al–4V+MRT composites affects thermal conductivity.
- 4. Analyze the impact of compositional change on the wear resistance of composites made from SPS Ti–6Al–4V and MRT.
- 5. For the thermal conductivity and wear resistance of SPS Ti–6Al–4V+MRT tailings composites, create the best predictive model possible.
- 6. As a suitable structural material for production brake rotors, SPS Ti–6Al–4V+MRT composites will be validated experimentally and by simulation of its thermal conductivity and wear resistance.

# **8.4 Research Hypotheses**

The following can be hypothesized based on the model suggested to address the difficulties identified:

- 1. Reduced aerodynamic drag.
- 2. Improved brake rotor material resistance to corrosion and wear in use.
- 3. Improved thermal conductivity of the material used to make brake rotors.
- 4. Removal of non-exhaust emissions.
- 5. Lowered cost of manufactured material for brake rotors.
- 6. Improved material ductility of brake rotors.

# **8.5 Signifcance of Study**

These areas will beneft from this research's successful conclusion:

- 1. Production of a brake rotor that supports the low-cost development of an energyefficient car.
- 2. The sustainability of the earth, in spite of other deteriorating environmental variables, while offering mullite as a substitute natural resource for MRT-based surface exploration.
- 3. The creation of material for brake rotor design using a method of fabrication and processing that is both affordable and efficient.

# **8.6 Literature Review**

# *8.6.1 Introduction*

With the help of a brake—a mechanical device that prevents motion by collecting energy from a moving system—rolling resistance of tires can be reduced. The brakes are a crucial component of a car because they provide all necessary stopping purposes [[23\]](#page-191-0). According to Dizdar et al. [[90\]](#page-194-0), GCI has traditionally been used to make brake rotors because it has strong wear resistance and good thermal conductivity properties. However, a GCI brake rotor is hefty since GCI is thick in comparison to other materials. At least three factors make a hefty brake rotor undesirable:

- 1. A vehicle's overall weight is increased by a hefty brake rotor, which decreases fuel efficiency and raises emissions.
- 2. The vehicle weight below the springs, or the unsprung vehicle weight, includes brake rotors. Unsprung weight increases the "NVH" (also referred to as "noise, vibration, and harshness") associated with vehicle operation. NVH often gets better when unsprung weight is lowered.
- 3. A vehicle component that needs to be rotated while in use is a brake rotor. As a result, a heavier brake rotor needs more energy to change its rotational speed.

Hence, researching for an alternative lightweight material that can be used to design energy efficient brake rotors is very important.

## *8.6.2 Theoretical Background*

#### **8.6.2.1 Titanium and Ti–6Al–4V Alloy**

Lightweight materials titanium (Ti) and its alloys (Ti–6Al–4V alloy) are known for their superior mechanical qualities. Their high price relative to other lightweight materials like aluminum (Al), however, restricts their use for a variety of engineering applications; a notable illustration of this limitation is their use as a structural material for the construction of automobile brake rotors.

#### **8.6.2.2 Mullite**

Due to its high-temperature stability [\[91](#page-194-0)] and suitable properties like high-melting point, thermal shock resistance, excellent dielectric strength, and good creep resistance, and corrosion stability, mullite is an important ceramic material for both conventional and advanced structural applications.

Neveling [[92\]](#page-194-0) provided a breakdown of WCD deposition rate during copper pyrometallurgy at South Africa's copper mine. The chemical composition of WCD is detailed in Table [8.2](#page-174-0) [\[93](#page-194-0), [94](#page-194-0)] (Fig. [8.1](#page-174-0)).

#### **8.6.2.3 Design of Brake Rotors**

The brake assembly should be built with high strength, good corrosion resistance, low noise, light weight, good thermal conductivity, long durability, strong wear resistance, consistent friction, and an acceptable cost-to-beneft ratio in mind. The numerous kinds of braking rotors are depicted in Fig. [8.2](#page-175-0). Drum brakes have curved contact surfaces that are forced against the internal diameter of a drum, while uniform pads in disc brakes press against a circular disc that is mounted to the wheel [[95\]](#page-194-0).

S/N	Minerals	Chemical formulae	Weight $(\%)$
	Cuprospinel	$Cu6.88Fe17.12O32.00$	24.34
2	Chalcopyrite	$Cu_{6.88}Fe_{4.00}S_{8.00}$	7.95
3	Mullite	$\text{Al}( \text{Al}_{0.69}\text{Si}_{1.22}\text{O}_{4.85})$	42.97
$\overline{4}$	Gypsum	$Ca(SO_{4.00})(H_{2.00}O)_{2.00}$	11.69
	Ouartz	SiO <sub>200</sub>	11.45
6	Magnetite	$Mg_{0.04}Fe_{2.96}O_{4.00}$	1.60

<span id="page-174-0"></span>**Table 8.2** Mineralogical composition of PC's WCD [\[93,](#page-194-0) [94](#page-194-0)]



Fig. 8.1 Chemical composition of MRT as determined by X-ray fluorescence [[123\]](#page-195-0)

## *8.6.3 Review: Use of TI–6Al–4V Alloy to Design Brake Rotors*

Ti–6Al–4V alloy works, notably as a structural material for engineering applications in the automotive industry, as shown by the performance advantages that have been identifed and extensively published in vehicle applications over many years [\[56](#page-192-0)]. The Ti–6Al–4V alloy has a high strength-to-density ratio and extraordinarily good corrosion resistance, according to the reports in the open literature [\[96–101](#page-194-0)].

Due to Ti and its alloys' remarkable corrosion resistance, they are used as brake pad guide pins and sealing rings in brake line connection fanges. As Ti has a limited thermal conductivity, the brake fuid is safeguarded [\[56](#page-192-0)].

In the conclusion section of their research, Froes et al. [[56\]](#page-192-0) stressed that Ti can unquestionably play a role in the development of fuel-effcient lightweight cars. The authors concede that there are methods that can be used to modify Ti–6Al–4V alloy in order to accomplish this goal (the creation of lightweight, fuel-effcient automobiles), but they caution that the method chosen must be economical.

<span id="page-175-0"></span>

Fig. 8.2 Disc rake and drum brake (source: google image)

The authors concluded their report by stating that, given that 50 million cars and light trucks are produced around the world annually and that the current global titanium market is estimated to be worth 50,000 metric tons, even a small increase in titanium consumption per vehicle would have a signifcant impact.

However, it is vital to pinpoint the knowledge gap in order to develop a better Ti–6Al–4V matrix composite and construct resilient, energy-effcient automotive brake rotors. As a result, the parts that follow give a concentrated literature overview.

## **8.6.3.1 Problem Defnition and Solution Formulation of Ti–6Al–4V Alloy for Design of Vehicle Brake Rotors**

Problem Defnition

The lifespan and performance of a vehicle's brake rotor are signifcantly infuenced by the quality of the metal used in its construction. The brake rotor will function better on the car if a better material was chosen for its design. Ti–6Al–4V components that are lightweight can lighten the load on the vehicle, improving fuel effciency [\[89](#page-194-0)]. However, Ti–6Al–4V alloy has a lower Young's modulus than steel and machining challenges in addition to other drawbacks like poor wear resistance and poor thermal conductivity [[102,](#page-194-0) [103](#page-195-0)], an essential physical property that helps in the transfer of heat quickly and prevents thermo-mechanical fatigue, deformation, and hot cracking, thus prospering the applications of Ti–6Al–4V alloy in more complex or harsh conditions and improving the service life of engineering components desired.

Ti–6Al–4V alloy has only been used in a few high-end road vehicles and racing automobiles due to the high cost of metal alloy powders [[56\]](#page-192-0). Ti–6Al–4V alloy is therefore unlikely to be a suitable structural material, especially in applications that emphasize weight-to-cost savings.

#### Solution Formulation

The automobile industry has previously set high fnancial and life cycle expectations, and the titanium sector has worked to accomplish these goals by concentrating on three key areas:

- 1. Enhanced wear resistance via Ti–6Al–4V alloy surface modifcation or coating.
- 2. Improved thermal conductivity achieved by using less expensive composite materials.
- 3. Investigating low-cost manufacturing techniques.

## **8.6.3.2 Additive Manufacturing of Ti–6Al–4V Alloy and Effect on Its Wear and Thermal Conductivity Properties as Material for Design of Brake Rotors**

Because additive manufacturing is a low-cost production method, a recent trend in additive manufacturing of the Ti–6Al–4V alloy is to change the process parameters or add alloying elements to change the microstructure in order to get the desired performance.

Microstructural Modifcation of Ti–6Al–4V Alloy

Two-phase  $(\alpha+\beta)$  alloys with high strength are typically used in structural applications. These alloys' mechanical characteristics are highly dependent on their microstructure (geometrical arrangement of the two phases). Ti–6Al–4V is the most widely used of all  $\alpha+\beta$  Ti alloys with Al as  $\alpha$  phase (Fig. [8.3a\)](#page-177-0) stabilizer and vana-dium as a β phase (Fig. [8.3b\)](#page-177-0) stabilizer [[104\]](#page-195-0).

As a result, the strong demand for new Ti–6Al–4V materials that perform well in severe settings has been sparked by developments in microstructural modifcation of Ti–6Al–4V alloy (such as the one brake rotors operate in). However, novel lowcost Ti–6Al–4V materials with improved wear resistance and thermal conductivity are in high demand, especially in the automotive sector, as a material to design brake rotors.

<span id="page-177-0"></span>

**Fig. 8.3** TEM image of Ti–6Al–4V recrystallization to (**a**) bi-modal structure (950 °C) and (**b**) a globular structure [[105\]](#page-195-0)

Coating of Ti–6Al–4V Alloy

The low scratch and wear resistance of Ti–6Al–4V alloy, according to Yazdi et al. [\[106](#page-195-0)], can be improved by a diffusion treatment. Thermal oxidation at 850  $^{\circ}$ C for 3 and 6 h led to the formation of an oxygen diffusion layer (ODL) on Ti–6Al–4V. In comparison to Ti–6Al–4V alloy, the ODL Ti–6Al–4V samples shown greater hardness and less plastic deformation. When the ODL Ti–6Al–4V samples were subjected to normal loads of 40 N and 50 N, their brittle nature caused the development of cracks and substantial acoustic signals during scratching. The obtained results also indicated that ODL Ti–6Al–4V samples had stronger scratch and wear resistance than Ti–6Al–4V samples. However, oxygen enrichment would have a negative impact on the fatigue characteristics and consequently would not be appropriate for revolving brake rotors [\[107](#page-195-0)].

A vehicle brake rotor component was manufactured in the study by Martino [\[108](#page-195-0)] using a Ti base powder alloy that had been 3D printed to the correct shape before coating. On this 3D printed part, the wear surface coating was either plasma sprayed on or integrated into the body after the main component was created. Therefore, bond coat and topcoat are present on each braking surface. Typically, the topcoat is a ceramic layer made of zirconium oxide (65 to 75 parts by weight) and chromium carbide (25 to 35 parts by weight), with Al as an additional component in the bond coat. Less nickel and aluminum can be present in the topcoat and intermediate coat than in the bond coat.

After that, the combined product was subjected to sintering, machining, and double disc grinding. The enhanced rotor was made of two outer layers of Ti metal mixed with other metals, sandwiching a center layer made of Ti metal and metal alloy. This technology also offered a better way to create a vehicle brake rotor using 3D printing techniques.

Chromium and zirconium (\$10 per 100 g and \$15 per 100 g, respectively) are used in both case situations (i.e., [[108,](#page-195-0) [109](#page-195-0)]), which defeats the purpose of a costeffective strategy as suggested by Froes et al. [[56\]](#page-192-0). As temperature decreases, the likelihood of the oxide layer detaching from the surface increases. This is due to the signifcant mismatch between the lattices of titanium and metal oxide [[110\]](#page-195-0), the large ratio of the oxide to titanium specific volume [[111\]](#page-195-0), and the significant difference in the coeffcients of thermal expansion between titanium and metal oxide [[112\]](#page-195-0).

In another study, Blau [[20](#page-191-0)] tested a full-scale Ti rotor on a dynamometer to examine the potential of Ti-based materials as truck disc brake rotors. The designs for a commercial hydraulic truck rotor were used to create four full-sized, investment-cast Ti alloy rotors, which served the intended purpose. After that, a proprietary, ceramic-containing coating that was about 1–2 mm thick was thermally sprayed onto the rotors (Fig. 8.4a). They were put through standard industry tests to determine how well the Ti brake rotors performed.

According to the results, the Ti rotor ran hundreds of degrees Celsius hotter than the GCI under comparable circumstances. Figure 8.4b depicts the rotor's thermal picture. Due to previous laboratory testing and Ti's lower heat conductivity compared to Fe, maximum temperatures for thermally sprayed Ti rotor surfaces were higher than those for GCI. There was a time during the hot performance test portion when the pad ran the hottest and the rotor ran the coldest. The maximum lining temperature to maximum rotor temperature ratio for the series of sliding pairs refects this. Then it was suggested that brake hardware made of Ti should not just be replaced with GCI using the same designs, but rather should be constructed to take these features into account. Better brake lining materials that are tailored for Ti-based rotors also need to be created and put to the test. In order to help transmit heat away, this will need to have a higher metallic content. It also needs to have acceptable wear lifetimes for both the pads and the rotors.

#### Reinforcement

According to Falodun et al. [[104\]](#page-195-0), the use of Ti–6Al–4V alloy is limited to temperatures about 400 °C because it loses effectiveness as wear resistance as temperature increases. The authors claim that the infuence of reinforcing phases is responsible



**Fig. 8.4** (**a**) Ti alloy truck brake rotor with a protective thermally sprayed coating applied to the friction surfaces and (**b**) infrared image of a Ti-based brake rotor [[20](#page-191-0)]

for the improved attributes of metal matrix composites (MMCs), including wear resistance and thermal conductivity, among others.

In the study by Patil et al. [\[113](#page-195-0)], optical microscopy, scanning electron microscopy (SEM), X-ray diffraction (XRD), electron back scattered diffraction, and pinon-disk tribometry were used to examine the impact of adding TiB2 to Ti–6Al–4V on the wear performance. The results demonstrated that the microstructure altered from martensite laths to a refned bi-modular structure with the addition of TiB2. As a result, wear performance was improved. It is suggested that a slower cooling rate be used to create the bi-modal structure (Fig. 8.5) rather than the martensite structure that is typically seen in Ti–6Al–4V alloy treated with DMLS.

Thermal conductivity tests were made on unreinforced Ti and Ti–6Al–4V alloy, reinforced Ti with short fber SiC, particulate SiC and particulate TiB2, and reinforced Ti–6Al–4V alloy with long fber SiC in the study done by Gordon et al. [\[115](#page-195-0)]. Instead of both forms of particle, the data obtained indicated an improvement in thermal conductivity with the TiB2 reinforcement. The signifcant heat resistance of the SiC/Ti interface was cited as the cause of the anomaly and was linked to the type of reaction layer that was produced at the interface during processing. The high-thermal resistance of the interface also reduced the transverse conductivity of the Ti–6Al–4V reinforced with long SiC fbers, though in this instance the effect was less noticeable due to the reinforcement's larger diameter, which lowers the frequency at which heat must be transported across an interface. Finally, the short SiC fber/Ti composite had comparable traits, with the exception that the axial



**Fig. 8.5** The bi-modal microstructure when the globular  $\alpha$  is surrounded by the Widmanstätten platelets [\[114\]](#page-195-0)


**Fig. 8.6** Image of secondary resource of mullite from Palabora copper (PTY) company, Limpopo, South Africa. (Image taken by scanning electron microscopy)

conductivity was somewhat less than anticipated. This result was attributed to localized matrix porosity at the ends of the fbers.

The work of Kushch [[116\]](#page-195-0), which concentrated on the numerical algorithm of the multipole expansion approach for conductivity of ellipsoidal particle composite, is in accordance with Gordon et al. [\[115](#page-195-0)] and their study. The algorithm-based method, according to the authors, offers a quick and accurate analysis of the local potential fields and the effective conductivity of composites with a sufficient account for the arrangement and direction of inhomogeneities. According to the authors' fndings, the volume content of inhomogeneities, their organization, size, and form (Fig. 8.6), inhomogeneity-matrix conductivity ratio, and normalized interface conductivity all affect an elliptic fber composite's effective transverse conductivity [\[116](#page-195-0)]. The original cell shape was established as a perfect (square or hexagonal) fber packing, imposing the porosity, or, alternatively, the number of fbers, mean fiber radius, and fiber volume fraction [\[117](#page-195-0)].

#### Secondary Resource of Mullite as Reinforcement to Ti–6Al–4V Alloy

Halloysite transforms to mullite (i.e., an aluminum silicate 3Al2O32SiO2) during thermal processes, while in service as engine blocks, pistons, cylinders, and brake discs, according to Dobrzaski et al. [[68\]](#page-193-0). This is why halloysite was chosen to develop aluminum porous skeletons (and, by extension, Ti porous skeletons), they reported. A sintered porous skeleton of Al or Ti alloy matrix composite materials created by gas-pressure infltration processes will use the mullite as reinforcement.

However, it is anticipated that using inexpensive secondary resources, such as MRTs from density-separated copper smelter dust (Fig. 8.6), as reinforcement material to either of these lightweight alloys will result in similar enhanced thermal conductivity properties without the need for phase transformation as in the case of the halloysite.

Ti and Ti–6Al–4V alloys have good physical and mechanical characteristics, with the exception of thermal conductivity and wear resistance, as was previously mentioned. Thus, low-cost processing equipment and raw materials such regular kaolinite or other clay minerals are required. The cost-effective method suggested by Froes et al. [[56\]](#page-192-0) can be achieved by using materials with high-thermal conductivity, such as mullite particles from secondary resources, such as MRT from density separated copper smelter dust, to manufacture Ti–6Al–4V-matrix composites.

#### Manufacturing Method

In the study by Tabrizi et al. [\[118](#page-195-0)], Ti alloy matrix composite reinforced by in-situ TiB whiskers (TiBw) and TiC particles were created by SPS fabrication of a powder combination consisting of Ti–6Al–4V and B4C at a temperature of 1100  $\rm{^{\circ}C}$  (TiCp). For TiBw and TiCp, the reinforcement content in vol% ranged from 0.12 to 0.5 and from 0.5 to 2, respectively. The outcomes demonstrated the alignment of TiBw in the rolling direction of the rolled samples and the refning of alternative/lamellae. The Ti–6Al–4V—0.5 vol%, TiBw—0.12 vol% TiCp sample exhibited the maximum fexural yield strength, according to the fndings of three-point bending tests (1850 MPa). It was discovered that the greatest fexural strength achieved by the Ti–6Al–4V-1 vol% TiBw-0.23 vol% TiCp composite was 2100 MPa after samples were subjected to a 66% reduction during hot rolling. Fractography analysis of the composite samples made using the SPS approach revealed that clustering of whiskers decreased fexural strength at greater reinforcing amounts.

Ti–6Al–4V foams were created by SPS employing a mixture of Ti–6Al–4V and NaCl powders in the works of Quan et al. [\[119](#page-195-0)]. The manufactured composite was unable to reach high-relative densities due to faws (pores), which were present. The SPS was used to post-heat treat these sintered foams at 1100 °C for 5 min. While densifying the foam walls, these heat treatments were successful in reducing the micro porosity. The foams' Young' s moduli ranged from 33.0 to 9.5 GPa, while their yield strengths ranged from 110.2 to 43.0 MPa and their porosity values ranged from 44.7 to 70.0%, all of which obeyed the Gibson–Ashby models. The post-heat treatment in a pressureless mode of the SPS at the stated 1100 °C for 5 min can be used to reduce the amount of porosity in pore-flled SPS-fabricated Ti–6Al–4V reinforced MRTs, even though these mechanical qualities appear unsatisfactory for brake rotor applications (TRMRT).

In the study by Adegbenjo et al. [[120\]](#page-195-0), which examined the densifcation, hardness, and tribological properties of Ti–6Al–4V reinforced by multi-walled carbon nanotubes (MWCNTs) compacts solidifed by SPS. According to the authors, 1, 2, and 3 wt% MWCNTs were distributed in Ti–6Al–4V using high-intensity ball milling, and the powders from the milling process were then solidifed using SPS at temperatures between 850 and 1000 °C. Ti–6Al–4V, MWCNTs, ground powders,

and the consolidated compacts were all characterized using SEM, transmission electron microscopy, and XRD as they were being received. On the Ti–6Al–4V and the Ti–6Al–4V/MWCNTs compacts sintered at 1000 °C, dry sliding wear tests were carried out at three load levels of 5, 15, and 25 N utilizing the ball-on-fat tribometer confguration with tungsten carbide (WC) as the counter face material. According to the fndings, the relative density of compact Ti–6Al–4V/MWCNTs increased with higher sintering temperatures but decreased as more MWCNTs were added to the Ti–6Al–4V (Table 8.3). Vickers microhardness was improved by raising the sintering temperature and MWCNTs concentration. In comparison to unreinforced Ti–6Al–4V alloy, the wear volume loss and coeffcient of friction for the compacts containing MWCNTs were improved [\[121](#page-195-0)].

Ti–6Al–4V alloy combines useful technical features, making it an intriguing material for the construction of vehicle brake rotors, according to Abe et al. [[122\]](#page-195-0). The goal of the authors was to examine the effects of different refractory nitrides on the microstructure, mechanical, chemical, and high-temperature oxidation properties of Ti–6Al–4V. These nitrides included titanium nitride (TiN), hexagonal boron nitride (h-BN), and aluminum nitride (AlN). The three refractory nitrides as well as the Ti–6Al–4V powder were effectively combined using the SPS approach (AlN, TiN, and h-BN). SEM, optical microscopy, and XRD were used to analyze the SPS Ti–6Al–4V-based composites' microstructure and phase composition. According to the Archimedes principle and the Vickers microhardness tests, densifcation and microhardness of the test samples were measured.

The findings demonstrated that due to sufficient diffusional mass movement in strongly bonded particles at the matrix-reinforcement interfaces, the binary composites achieved close to full theoretical relative densifcation (i.e., 98.23%–99.54%). The relative densifcation (99.54%) and microhardness (7030 MPa) of the Ti–6Al–4V-3h-BN composite were ideal, exceeding those of the monolithic alloy by 200% and the Ti–6Al–4V alloy reinforced with AlN and TiN by roughly 48%, respectively. With AlN and TiN nanoparticle reinforcement, the matrix's yield and ultimate tensile strengths increased by about  $47\%$ , and with nano-h-BN reinforcement, they increased by 116%. In the high-temperature oxidizing environment, Ti–6Al–4V-3TiN demonstrated the highest resistance to oxidation with the least normalized weight gain of 0.85 mg/cm<sup>2</sup>.

**Table 8.3** Density and microhardness properties of Ti-6Al-4V and Ti-6Al-4V/MWCNTs compacts sintered at 1000 °C [[120](#page-195-0)]

	<b>MWCNT</b>	Theoretical	Measured	Relative	<b>Microhardness</b>
S/N	content ( $wt\%$ )	density <sup>a</sup> ( $g/cm3$ )	density <sup>b</sup> ( $g/cm3$ )	density $(\% )$	(GPa)
		4.43	$4.41 \pm 0.01$	$99.60 \pm 0.03$	$3.55 \pm 0.13$
		4.41	$4.37 \pm 0.01$	$99.10 \pm 0.02$	$3.71 \pm 0.09$
		4.38	$4.31 \pm 0.02$	$98.40 \pm 0.04$	$3.88 \pm 0.07$
	$\mathfrak{D}$	4.36	$4.27 \pm 0.01$	$97.90 \pm 0.02$	$3.90 \pm 0.05$

Key:  ${}^{\circ}$ Rule of mixture ( $\rho$  Ti6Al4V ¼ 4.43 g/cm<sup>3</sup> and r MWCNT ¼ 2.1 g/cm<sup>3</sup>) **b**Archimedes principle

### **Conclusion on Literature Review**

The authors have determined from the studied literature that developing a vehicle's shape to reduce aerodynamic drag has the disadvantage of making the vehicle more diffcult to stop. Additionally, authors concur that due to its durable performance, GCI is still the best material for brake rotors. However, the usage of GCI as a brake rotor material produces particulate matter that is harmful to human health and nonexhaust emissions. Due to the GCI's limitations as a material for brake rotor design, new, lightweight materials for energy-effcient brake rotors are required. The Ti–6Al–4V alloy is generally acknowledged by authors to be a deserving lightweight material for the design of energy-effcient brake rotors, despite its high cost, subpar thermal conductivity, and subpar wear resistance. However, in order to address the fnal two issues, numerous researchers have suggested that Ti–6Al–4V alloy be reinforced with ceramic elements like mullite (i.e., poor thermal conductivity and poor wear resistance). However, scholars concur that the price of metal powders, such as mullite and Ti–6Al–4V alloy, is too high to support the economics of the proposed composite (TRMRT). Although it has not been documented in the open literature, obtaining mullite from trash (i.e., a secondary supply of mullite) to accomplish this goal has the potential to be a cost-effective strategy. As a result, a knowledge gap has been found in the feld of TRMRT for the manufacture of energy-effcient brake rotors. Therefore, it is suggested that future research efforts concentrate on examining the mechanical and thermal conductivity characteristics of SPS-TRMRT in order to produce brake rotors that are energy effcient.

# **8.7 Methodology**

Due to its efficiency in terms of cost, it is possible to produce energy-efficient brake rotors by using secondary resources of mullite as reinforcement to Ti–6Al–4V. Therefore, the proposed methodology is described in this section.

# *8.7.1 Materials*

# **8.7.1.1 Matrix Material**

Titanium alloy will be the matrix material in this study (i.e., Ti–6Al–4V).

# **8.7.1.2 Reinforcement Materials**

The MRT from the density separated WCD must be used as the reinforcing material. On Fig. [8.1](#page-174-0), you can see the planned MRT's chemical compositions.

### **8.7.1.3 Equipment and Tools**

The equipment/machine on Table 8.4 will be used for ample preparation or characterization during this proposed study.

### **8.7.1.4 Methods**

Powder Weighing

The proposed Ti–6Al–4V + 3Al2O32SiO2 composite is made of the selected powders, which are 10, 20, and 30% by weight accordingly and are made of Ti–6Al–4V and tailings from the density separated copper smelting dust. Use an electronic scale to determine the weight of the amount.

#### Powder Mixing

A tube mixer will be used to properly combine the measured particles.

The mixed sample will be put into an SPS graphite die for a predetermined amount of time and at a predetermined temperature and pressure.

#### Density Measurement

Following sintering, the electronic densimeter will be used to measure density. We shall use Archimedes' principle to examine the effects of sintered composites.

Process Optimization

The percentage of Ti–6Al–4V alloy and MRT in the composite will be chosen as the two key parameters to be researched based on prior research and the literature. Three levels were selected for each parameter using the full factorial method (FFM),

S/N	Equipment/Machine	Purpose	Equipment/Machine	Purpose
	Container mixer	<b>SP</b>	X-ray Diffractometer	<b>CH</b>
	Rotating die splitter	SP	Scanning electron microscope	<b>CH</b>
	SPS machine	<b>SP</b>	Energy dispersive spectroscope	<b>CH</b>
	Grinding-polishing	SP	Electronic densimeter	<b>CH</b>
	Stopwatch-tachometer	<b>SP</b>	Optical magnifying glass	<b>CH</b>
	Electronic scale	SP	Hardness tester	CН

**Table 8.4** Equipment/machine for proposed study

Key: *SP* Sample preparation, *CH* Characterization

S/N	Parameters	Low (L)	Medium $(M)$	High(H)
	$Ti-6Al-4V$	70	80	90
	<b>MRT</b>	30	20	10

**Table 8.5** Experimental parameters and their relative levels

**Table 8.6** Full factorial experimental design method for infuencing factors and their levels coded with values in parenthesis

<b>Tests</b>	Percentage content of Ti-6Al-4V $(\%)$	Percentage content of tailings $(\%)$
	L(70)	L(30)
$\mathcal{L}$	L(70)	M(20)
3	L(70)	H(10)
4	M(80)	L(30)
	M(80)	M(20)
6	M(80)	H(10)
	H(90)	L(30)
8	H(90)	M(20)
9	H(90)	H(10)

as shown in Table 8.5. To carry out the experiment, an FFM was constructed taking into account the two factors (Table 8.5) and their pertinent three levels. Therefore, nine experiments will be carried out as given in Table 8.6. The relative levels of the parameters listed in Table 8.6 range from one (1) to three (3) levels. Interactions between parameters are not to be considered in this experiment.

### Spark Plasma Sintering

The Ti–6Al–4V + 3Al2O3.2SiO2 composite will be made using SPS technology.

For this, a predetermined volume of raw powder materials (Ti–6Al–4V, 3Al2O32SiO2) must be placed into a conductive graphite die. Then, under pulsed, low-voltage electric current fowing through the die assembly, mechanical pressure must be applied to the graphite die along the vertical axis. To track the sintering temperature while processing the powder, an optical pyrometer or thermocouple must be focused on the die outside wall.

Development of Predictive Models

#### **Modeling Technique for Predictive Output**

This sub-section presents the basic steps utilized in the modelling process as contained in this paper:

Step #1: Study trend of experimental samples.

Step #2: Set-up constraint models to categorize and group samples into sub-classes based on #1.

- Step #3: Compute absolute difference between input and output samples in same class as grouped in #2.
- Step #4: Identify different experimental levels for selected classes.
- Step #5: Apply interpolant model to predict output.

```
Step #6: End.
```
Table 8.7 contains a generalized representation of the modelling variables. These variables were built into a model and used for the determination of the predictive outputs of the different mineral constituents as contained in the experimental concentrates. The modelling procedure herein is premised on constrained interpolation of outputs from any three sequenced experimental samples. Usually, two of these outputs are known via experimentation, while the third unknown output is obtained via predictive modelling. In Table 8.7, the frst and second columns represent the sequenced experimental trials under consideration for interpolation. The third and fourth columns, respectively, represent the  $(\%)$  proportions of the inputs  $(p_{i1}, p_{i2})$  and  $p_{i3}$ ) and outputs ( $p_{o1}$ ,  $p_{o2}$  and  $p_{o3}$ ) for the mineral constituents under investigation. Furthermore, column five represents the experimental levels, while column six represents the absolute difference between the input and output values expressed in terms of  $\Phi_1$ ,  $\Phi_2$ , and  $\Phi_3$  for each of the three experimental samples under consideration.

The following under listed are modelling notations as presented in this research:

Output = 
$$
f
$$
 (speed, flow rate, input, feed rate, liquid solid ratio)

Let: serial number for inputs: $s_i = \{1, ..., n-1, n\}$  and serial number for outputs $s_0$  = {1, ..., *n* − 1, *n*} for  $\forall n \in R$ 

where:

 $\exp_{(i)i}$  = Experimental inputs

 $\exp_{(\text{o})i, i}$  = Experimental outputs

 $Pre_{(o)i, j} = Predictive outputs$ 

 $p_{ii}$  = %input proportion of selected samples

		Input value for	Output value		
	Data acquisition	variant factor	for variant	Expt.	Absolute difference
Level	procedure	$p_{ii}$	factor $p_{oi}$	levels	between $p_{ii}$ and $p_{oi}$
1-First	Prediction	$p_{i1}$	$p_{o1}$		$ p_{i1} - p_{01}  = \Phi_1$
	2-second Experiment	$p_{i2}$	$p_{02}$	$\mu$	$ p_{i2} - p_{02}  = \Phi_2$
3-Third	Experiment	$p_{i3}$	$p_{o3}$	$\sigma$	$ p_{i3} - p_{03}  = \Phi_3$

**Table 8.7** Generalized representation of model variables

 $p_{oi}$  = %output proportion of selected samples

$$
\Delta p_j = \left| p_{ij} - p_{oj} \right| \text{absolute difference between } p_{ij} \text{ and } p_{oj}
$$

where  $j = \{1, ..., k - 1, k\}$  represents the experimental levels.

The "absolute difference" models defned in terms of the experimental levels are shown in Eqs.  $(8.1)$ ,  $(8.2)$ , and  $(8.3)$ , and the final computational models for projecting the unknown outputs are shown in Eqs.  $(8.4)$ ,  $(8.5)$ , and  $(8.6)$  with respect to Table [8.8.](#page-189-0)

$$
\Phi_1 = \left[ \frac{\Phi_3(\mu - \xi) - \Phi_2(\mu - \xi) - \Phi_2(\sigma - \mu)}{(\mu - \sigma)} \right]
$$
\n(8.1)

$$
\Phi_2 = \left[ \frac{\Phi_3(\mu - \xi) + \Phi_1(\sigma - \mu)}{(\sigma - \mu) + (\mu - \xi)} \right]
$$
\n(8.2)

$$
\Phi_3 = \left[ \frac{\Phi_1(\mu - \sigma) + \Phi_2(\sigma - \mu) + \Phi_2(\mu - \xi)}{(\mu - \xi)} \right]
$$
\n(8.3)

 $|p_{i1} - p_{01}| = \Phi_1$  (8.4)

$$
|p_{i2} - p_{o2}| = \Phi_2 \tag{8.5}
$$

$$
|p_{i3} - p_{o3}| = \Phi_3 \tag{8.6}
$$

Hence,  $\Delta p_j = \Phi j$ .

#### Experimental Validation and Simulation

The metal matrix composite's thermal conductivity and wear resistance will be experimentally determined in order to validate the expected ideal composition of Ti–6Al–4V + 3Al2O32SiO2.

Characterization and Tribological Measurement

#### **Characterization**

1. Scanning Electron Microscope (SEM) with Energy Dispersive Spectroscopy (EDS)

To ascertain the phase composition of the produced sample, the SEM/EDS shall be employed.

#### 2. X-Ray Diffractogram (XRD)

To ascertain the phase composition of the produced sample, an X-ray diffractometer with monochromatic Cu K radiation must be used.

3. Microstructural Analysis

The microstructure of the produced composites will be assessed using an optical microscope and an electron microscope.

#### **Tribological Measurement**

The DUCOM TR 20-M-106 pin-on-disk sliding tribometer will be used to test the wear and frictional characteristics of the SPSed TARMRT in accordance with ASTM G99-05 standard (ASTM Standard, 2010). The disk samples will be loaded against the brake rotor material that has been cut into the shape of a square-headed pin while rotating at a predetermined revolution per minute (RPM). The tests will be run at various speeds of 2 and 3 m/s with a 65-N load over a 2000-m sliding distance. The pin will also be heated during the testing to a temperature of 2001 °C in order to mimic the effect of friction-induced heating during braking. The disk surface temperature will be gauged on-site using an infrared pyrometer (Omega OS550). The associated software will be used to continuously track changes in frictional force and depth of wear. Using an electronic balance with 0.1 mg precision, the weight loss of both the pin and the disk will be determined at the conclusion of each experiment. The coefficient of friction (Eq.  $8.7$ ), wear volume loss (Eq.  $8.8$ ), and wear rate (Eq. 8.9) will be calculated using the following equations based on the weight loss (Eq. 8.10) measurements and frictional force:

Coefficient of friction CoF(
$$
\mu
$$
) =  $\frac{F}{N}$  (8.7)

Wear volume 
$$
lossV_L(mm^3) = \frac{WL}{\rho}
$$
 (8.8)

$$
Wear rate R(mm3 / Nm) = \frac{VL}{N \times D}
$$
 (8.9)

$$
Wight loss W_{L}(g) = W_{I} - W_{F}
$$
\n(8.10)

where *F* is the frictional force  $(N)$ ,  $\rho$  the density of disk material (g/cm<sup>3</sup>), *N* the normal load  $(N)$ , *D* the sliding distance  $(m)$ ,  $W<sub>I</sub>$  initial weight of the disk  $(g)$ , and  $W_F$  = the final weight of the disk (g).

### **8.8 Contribution to Knowledge**

The following information will be disclosed at the study's conclusion:

1. A new Ti–4Al–6V+ CSD tailings composite compositional blend is made available.

- <span id="page-189-0"></span>2. For improved heat conductivity and wear resistance of Ti–4Al–6V alloy, this compositional blend has not yet been used in the construction of braking rotors.
- 3. Consequently, the composition is brand-new.
- 4. The provision of mullite, a brand-new secondary resource.
- 5. Mullite is a secondary resource that has not been utilized as a ceramic material to improve the mechanical and thermal conductivity of Ti–4Al–6V alloy.
- 7. Consequently, the reinforcement material is fresh.

# **8.9 Ethical Considerations**

This project has no unethical components.

# **8.10 Dissemination**

The fndings of this study will be published in publications recognized by the Department of Higher Education and Training (DHET), such as the ones listed below:

- 1. Reports in the Journal of Materials Science and Engineering, Publishers at Elsevier
- 2. Additive Manufacturing Journal, Publishers at Elsevier
- 3. Journal of Compounds and Alloy, Publishers at Elsevier, Materials Science Journal.
- 4. Publishers by Springer, Journal of OM, Publishers by Springer

The full article will be published in the conference proceedings, and the results will also be presented at regional and worldwide conferences.

# **8.11 Budget and Time Frame**

# *8.11.1 Budget*

Items	Cost(R)	Source
Literature sourcing and stationaries	To be estimated	X
Materials and supplies	To be estimated	X
Analytical equipment	To be estimated	X
Travelling expenses	To be estimated	X
Miscellaneous expenses	To be estimated	X
Total		X

**Table 8.8** Estimated budget of the project

Key: *TUT* Tshwane University of Technology; *R* Rand

# *8.11.2 Time Frame (Table 8.9)*

S/N	Task name	Year	
	Proposal (compilation and presentation)	<b>WIP</b>	<b>WIP</b>
$\mathcal{D}_{\mathcal{L}}$	Literature review	<b>WIP</b>	<b>WIP</b>
3	Material sourcing	<b>WIP</b>	<b>WIP</b>
$\overline{4}$	Sample preparation (sampling)	<b>WIP</b>	<b>WIP</b>
5	Fabrication of test samples optimization process	<b>WIP</b>	<b>WIP</b>
6	Fabrication of test samples	<b>WIP</b>	<b>WIP</b>
	Thermal conductivity and wear resistance tests	<b>WIP</b>	<b>WIP</b>
8	Results, data, and analysis	<b>WIP</b>	<b>WIP</b>
9	Optimum predictive model development	<b>WIP</b>	<b>WIP</b>
10	3D print of brake rotor and validation of optimum prediction	<b>WIP</b>	<b>WIP</b>
11	Compilation and presentation of final report	<b>WIP</b>	<b>WIP</b>

**Table 8.9** Estimated time frame of the project

Key: *WIP* work in progress

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# **Part V Other Engineering Applications**

# **Chapter 9 Wave Energy Converter Design: Seawater Integrity and Durability of Epoxy Resin-Filled Corrosive Microorganism Surface-Modifed Waste Copper Dust**



**Daniel Ogochukwu Okanigbe and Shade Rouxzeta Van Der Merwe** 

# **9.1 Introduction**

Engineering depends critically on the properties of materials [[1\]](#page-230-0). They are crucial in the selection of components for various machines and constructions [\[2](#page-230-0)]. These parts should be extremely resilient to fatigue for example and resistant to the many environments they will be utilized in [[3\]](#page-230-0). Before introducing something wholly new, researchers in the feld of material science and engineering consider all potential solutions (including material selection) to achieve structural integrity and durability during the design stage of engineering projects [[4\]](#page-230-0).

In light of the aforementioned, epoxy resins are materials used not only for making parts but also for attaching them [[5\]](#page-230-0). Epoxy resins have good mechanical properties in terms of strength and stiffness [\[6](#page-230-0)]. Epoxy has several advantages, including being freproof and having a strong resilience to high temperatures [[7\]](#page-230-0). Epoxy resins are largely employed in the maritime and aviation industries, while they are used in many different industrial sectors [\[8](#page-230-0)].

Epoxy resins are used in the maritime sector for a range of tasks, such as coating and laminating wooden boat frames to stop seawater corrosion [\[9](#page-230-0)]. When liquid, epoxy resins can be utilized to attach components made of diverse materials [[10\]](#page-230-0). When epoxy resins are cured, they become strong, resilient structures with

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low-specifc weight and a variety of capabilities in a variety of environments, including water [[11–](#page-230-0)[13\]](#page-231-0), moisture [[14\]](#page-231-0), humid conditions [\[15](#page-231-0)], hydrothermal conditions [[16,](#page-231-0) [17\]](#page-231-0) or seawater conditions [\[18–20](#page-231-0)].

Epoxy resins used in the maritime industry are frequently subjected to the impacts of seawater, which is one of the most harmful environments for the service life of engineering structures, as was already mentioned [[5\]](#page-230-0). Epoxy resins contribute to assembly weight reduction, which improves performance, simplifes and, in most cases, greatly lowers the cost of assembly, and provides acceptable load-bearing strength without compromising quality. Epoxy resins used in the maritime industry are regularly subjected to the impacts of seawater, which has already been identifed as one of the conditions that is most damaging to the service life of engineering constructions [\[5](#page-230-0)]. Epoxy resins aid in the weight reduction of the assembly, which improves performance, makes assembly easier and, in most cases, much less expensive, and provides enough load-bearing strength without compromising quality.

Epoxy resins are therefore a real part of their assemblages. They are designed to function in accordance with specifcations unique to the assembly [\[21](#page-231-0), [22\]](#page-231-0). An important factor in bonding that is frequently essential to ensuring that structural integrity and durability are not compromised [[23–25\]](#page-231-0).

The need for economically feasible, environmentally friendly alternatives is increasing exponentially in response to the global desire for alternative energy sources. Thus, using epoxy resins for applications involving renewable energy has the following advantages: lower installation costs, lower maintenance costs, higher power production effciency, longer equipment lifespan, fewer stress points, simpler labor operations, and more space on the floor.

The potential for ocean wave renewable energy is enormous [[26–28\]](#page-231-0). Since they are still in their infancy, wave energy converters (WEC) are conceivably the least advanced ocean energy generation technology [[29–31\]](#page-231-0). South Africa (SA) may beneft greatly from wave energy given its extensive coastline and high wave energy potential [\[32](#page-231-0)[–36](#page-232-0)]. According to Joubert [\[37](#page-232-0)], South Africa's 2800 km of coastline has a significant potential for wave energy [\[38](#page-232-0)] as shown in Table [9.1.](#page-206-0)

Additionally, SA's coastline has a production capacity of 25–50 MW/km, according to Banks and Schäffer [\[38](#page-232-0)]. There is an estimated total power supply of 56,800 MW throughout the entire coast [[39\]](#page-232-0). Fourie and Johnson [\[40](#page-232-0)] estimate that this resource might increase SA's electricity supply by 8–10 GW, made possible by the Electricity Supply Commission (Eskom).

In SA, Eskom is a vertically integrated company that produces, transmits, and distributes power. Eskom generates 95 percent (95%) of the power used in SA. The wave power resources off the east and west coastlines of SA are now being researched by Eskom. Wave data must be recorded and then adjusted in order to conduct the feasibility study that will determine whether it is likely to be worthwhile to invest in a new generation of technology. Depending on the outcome of the resource assessment, Eskom will test several ocean energy conversion technologies in a laboratory. These experiments will assist Eskom's feasibility assessment, which will take place over several years, in selecting the best technology for use on SA's beaches.

Rec.	Lat./long.	D.O.	W.D.	Description of	Recording	$\%$	Wave
Station	Coord.	(km)	(m)	data	period	coverage	recorder
Port <b>Nolloth</b>	$29^\circ$ $46.8' S / 16$ ° 46'E	30	100	3 Hourly $H_{m0}$ and $T_{\rm n}$	1987/04/08 to 1996/08/31	63	Waverider
Slangkop	$34^{\circ}$ 7.6'S/18 $^{\circ}$ 10.6'E	13	170	6 Hourly $H_{m0}$ and $T_{\rm n}$	1978/10/03 to 1993/06/12	72	Waverider
Cape point	$34^\circ$ $12.2^{\prime}S/18^{\circ}$ 17.2'E	7	70	3 Hourly $H_{m0}$ and $T_{\rm n}$	2000/07/01 to 2006/06/30	92	Waverider
FA platform	$34^\circ$ 58.2'S/22° 10.2'E	72.5	113	1 Hourly $H_{m0}$ , $T_{\rm z}$ , and $H_{\rm max}$	1998/01/01 to 2003/12/31	97	Radar
Durban	$29^\circ$ $59.2^{\prime}S/30^{\circ}$ 59.9'E	2.3	42	3 Hourly $H_{m0}$ and $T_{\rm n}$	1992/08/11 to 2001/10/31	69	Waverider

<span id="page-199-0"></span>**Table 9.1** Relevant information of wave recording stations [\[39\]](#page-232-0)

Key: *Rec. station* Recording Station; *Lat./long. Coord.* Latitude and longitude coordinates; *D.O.* Distance offshore; *W.D.* Water depth

The majority of feasibility studies to date have focused on evaluating various and unique device technologies, on which several review papers have been written [\[30](#page-231-0), [41–44\]](#page-232-0). The cost of WEC is currently high compared to other mature technologies, such as wind energy conversion systems [[45\]](#page-232-0), making it essential for the development of this technology to lower operating and maintenance ( $O \& M$ ) costs. Due to access limits for O&M, which are already a concern for the offshore wind industry, wave farm developments in offshore wave climates may encounter difficulties [[46\]](#page-232-0). Therefore, maintenance activities should be as brief and rare as possible. Material choice may be important in this context [[47,](#page-232-0) [48\]](#page-232-0).

Recent studies and publications have emphasized the need for deeper research into more specialized design areas, typically emphasizing the need for the creation of "new" material solutions.

Traditional welded steel construction has been replaced by the use of marine composite materials, glass fber-reinforced polymers (GFRP), and carbon fberreinforced polymers (CFRP) [[49–54\]](#page-232-0). Numerous advantages of GFRP and CFRP include their low weight (for transportation, installation, and use), durability (against fatigue and corrosion), ease of maintenance, and capacity to produce intricate, seamless structures [[55–57\]](#page-232-0).

The importance of composite materials in the development of WEC cannot be overstated, even though there is still a lack of knowledge regarding the mechanical strength of adhesively bonded polymer composite materials under combined factors of internal pressure (i.e., water pressure) and external pressure (i.e., wave loads). In order to do this, investigations into the following material properties are often carried out in order to gauge their potential for the production of WEC: Matrix resins, laminated plates with a range of fber orientations and thicknesses, sandwich panels with a range of core materials, and material fibers (such as E-glass and S-glass).

Numerous publications in the literature have addressed the effect of water on the integrity and toughness of resin matrices used in maritime applications. In the works of Fernades et al. [[58\]](#page-233-0), authors described the fracture envelope of an epoxy resin utilized in the automotive sector as a function of the epoxy-water resin's content. Specimens of the Double Cantilever Beam (DCB) and Open-DCB (ODCB) were matured in distilled and salt water conditions. Bordes et al. [\[59](#page-233-0)] looked studied the long-term behavior of epoxy resins-bonded double lap shear steel joints aged in seawater as well as the degradation of an epoxy-mechanical resin's properties.

Bulk epoxy resin was aged in deionized water, salt water, and seawater at 20 °C, 40 °C, and 60 °C. Heshmati et al. [\[60](#page-233-0)] investigated the effects of aging the epoxy resins and bonded joints (fber-reinforced polymer/steel joints) in fve demanding conditions, including salt water solution at various temperatures (20 °C and 45 °C). The three-dimensional (3D) moisture diffusion capabilities of various fberreinforced polymer/steel (FRP) and epoxy-resin materials under varied aging conditions were investigated.

In a different study, Heshmati, Haghani, and Al-Emrani [\[61](#page-233-0)] discussed the effects of cyclic wet-dry, freeze-thaw, and combined wet and freeze-thaw conditions (i.e., distilled water and salt water, when combined with freeze-thaw for 125 and 250 cycles) on the mechanical behavior of bonded FRP/steel joints. The research has shown that drying epoxy resins from a wet state decreases their ductility, among other things. The material properties of these polymer matrix composite constructions decrease when exposed to seawater, which lowers their dependability. Understanding seawater aging and how it affects epoxy resins used in marine applications is crucial.

Therefore, the mechanical strength of epoxy resins can be altered by the powder addition of metallic or nonmetallic components. It was mentioned that one such method involved mixing cured, single-part epoxy resins with copper and aluminum powders to improve thermal conductivity for uses such as metal cutting tools. According to this study, heat conductivity can be greatly increased by including modest amounts of copper and aluminum powder. It should go without saying that any advantages of higher thermal conductivity should not be outweighed by a reduction in mechanical strength. The main subject of this study was how metal particles alter an adhesive's mechanical properties. Results and characteristics are contrasted with those of the adhesive without the inclusion of powder.

Although it is expected that modifying epoxy resins by adding fllers (i.e., metal powders) like copper and aluminum may impact the mechanical integrity and longevity of structural components, it is utilized to develop; however, the prohibitive cost of these metal powders will limit their use [\[62](#page-233-0)]. Metal powders that have been purifed are too expensive to be commercially useful as fllers. Therefore, it becomes important to obtain metal powders from secondary resources such as slags, slimes, tailings, or waste copper dust in order to mitigate the effects of the high cost of metal powders required for this purpose (WCD). When WCD is used for this reason, environmental contamination from nonbiodegradable solid wastes such metallic solid wastes and powdered materials, among other things, would be reduced. This will not only help the world remain sustainable [\[63](#page-233-0)].

Therefore, the proposed study analyzes a few mechanical properties of cured epoxy resin that has aged in seawater conditions, both unmodifed and modifed. Additionally, the performance of the proposed epoxy-flled WCD composite will be examined in relation to the impact of surface modifcation of WCD utilizing corrosive microorganisms. The ambient tensile fatigue strength of the aged and cured epoxy resins being tested will be assessed and compared during the testing.

# **9.2 Problem Statement**

Epoxy resin provides a wide range of maritime applications and good strength and stiffness characteristics [[6\]](#page-230-0), and it has a wide range of marine applications [\[64](#page-233-0)]. The following are actual diffculties with epoxy resins and use to produce WEC for renewable energy harvesting along the South African coastline:

- 1. One of the circumstances that is most destructive to the service life of engineering structures in this environment is the combination of fatigue and seawater, which frequently affects epoxy resin used in the maritime industry [\[5](#page-230-0)].
- 2. According to Joubert [\[37](#page-232-0)], wave energy conversion has a lot of potential along SA's 2800 km of coastline [[65,](#page-233-0) [66](#page-233-0)], but the technology to harness this natural resource is still under development.
- 3. By choosing the right materials, the issue of WEC's high O&M expenses [\[45](#page-232-0)] can be reduced to a minimum.
- 4. WCD cannot be used as epoxy fllers because, although being quick and simple, physical and chemical techniques of surface preparation greatly increase the cost of fller [\[67](#page-233-0)].
- 5. Due to a lack of information on joint performance, particularly in regards to how they behave in harsh environments and under cyclic loads, building structural adhesive joints continues to be a signifcant problem. They are still unable to provide a useful design basis after conducting a signifcant number of trials to compare the outcomes. Future study in this area will continue to focus on physical testing as a primary goal [\[68](#page-233-0)].

# **9.3 Research Objectives**

# *9.3.1 Main Objective*

Studying the integrity and durability of epoxy resin-flled, corrosive microorganism surface-modifed WCD for WEC design is the main objective of this project.

# *9.3.2 Sub-Objectives*

The main objective will be achieved by the following sub-objectives:

# **9.3.2.1 To Determine the Following Mechanical Properties of Unmodifed Epoxy Resin**

- (a) Tensile strength
- (b) Fatigue strength

# **9.3.2.2 To Optimize the Following Mechanical Properties of Epoxy Resin-Filled Synthetic Copper Powder Without Surface Modifcation**

- (c) Tensile strength
- (d) Fatigue strength

# **9.3.2.3 To Optimize the Following Mechanical Properties of Epoxy Resin-Filled Synthetic Copper Powder with Surface Modifcation**

- (e) Tensile strength
- (f) Fatigue strength

# **9.3.2.4 To Optimize the Following Mechanical Properties of Epoxy Resin-Filled Synthetic Aluminum Powder Without Surface Modifcation**

- (g) Tensile strength
- (h) Fatigue tensile strength

# **9.3.2.5 To Optimize the Following Mechanical Properties of Epoxy Rresin-Filled Synthetic Aluminum Powder with Surface Modifcation**

- (i) Tensile strength
- (j) Fatigue tensile strength

# **9.3.2.6 To Optimize the Following Mechanical Properties of Epoxy Resin-Filled WCD Without Surface Modifcation**

- (k) Tensile strength
- (l) Fatigue tensile strength

# **9.3.2.7 To Optimize the Following Mechanical Properties of Epoxy Resin-Filled WCD with Surface Modifcation**

- (m) Tensile strength
- (n) Fatigue tensile strength

# **9.3.2.8 To Determine the Effect of Seawater Aging on Tensile Strength and Fatigue Strength of the Following Composites Chosen at Optimum Test Conditions**

- 1. Stand-alone epoxy resin.
- 2. Optimum proportion of epoxy resin to copper powder.
- 3. Optimum proportion of epoxy resin to aluminum powder.
- 4. Optimum proportion of epoxy resin to WCD.

# **9.3.2.9 Development of Optimum Predictive Models for the Different Epoxy Resin Composites and the Experimental Validation of Optimum Predictive Models**

# **9.4 Research Hypotheses**

The following presumptions can be made based on the model suggested to address the diffculties identifed:

- 1. Epoxy resin with flled WCD will enable wave energy device development at a lower cost.
- 2. When subjected to internal pressure from seawater, the epoxy resin won't degrade if you utilize epoxy resin that is flled with WCD.
- 3. When exposed to external pressure from wave stresses, the use of epoxy resinflled WCD will improve the mechanical properties of epoxy composite.
- 4. As a result, the durability of WEC created utilizing epoxy resin-flled WCD will be increased.
- 5. Using a corrosive microbe will help bring down the cost of the fller and hence encourage the usage of WCD as an epoxy-resin fller.

# **9.5 Signifcance of Study**

The following areas will beneft if this research is completed successfully:

- 1. Development of a WEC that supports the objective of developing a renewable energy technology, that is energy efficient in order to combat the effect of the ozone layer's depletion due to gas emissions from the combustion of fossil fuels.
- 2. The sustainability of the planet in the face of other environmental problems while offering a different way to use of natural resources like WCD.

# **9.6 Background and Literature Survey**

### *9.6.1 Background*

Due to the First and Second World Wars, there were signifcant advancements in the research and production of new plastics and resins during the 1920s, 1930s, and 1940s [[69\]](#page-233-0). By enabling the use of newly discovered materials with a variety of qualities, these developments signifcantly boosted the development of adhesives [\[70](#page-233-0), [71](#page-233-0)]. New synthetic adhesives are still being developed today to meet changing needs and advance technologically [[72,](#page-233-0) [73\]](#page-233-0).

In the works of Gleich [\[74](#page-233-0)], the usage of adhesive bonds as an alternative to conventional jointing techniques like bolting and welding was compared. There have been more attempts than ever before to use it as a composite material (i.e., a polymer composite material) in new construction projects since it compares favorably to these other conventional techniques of jointing [[75,](#page-233-0) [76](#page-233-0)] as presenting in Table [9.1.](#page-199-0) As a result, increasing amounts of research is being done to enhance their strength characteristics [[77,](#page-233-0) [78\]](#page-234-0).

In addition to enhancing the strength features of adhesive bonding, current research efforts attempt to better understand its performance characteristics [[79–](#page-234-0) [81\]](#page-234-0), like the necessity of surface preparation [\[82](#page-234-0), [83\]](#page-234-0), such as the need for surface preparation [\[84](#page-234-0), [85\]](#page-234-0), as additional structural weight [\[86](#page-234-0), [87](#page-234-0)] fatigue resistance [\[88](#page-234-0), [89\]](#page-234-0), corrosion resistance [\[90](#page-234-0), [91](#page-234-0)], the simplicity of assembly [\[92](#page-234-0)], production costs [\[93](#page-234-0)], and environmental resistance [[94,](#page-234-0) [95\]](#page-234-0) as shown in Table [9.2.](#page-205-0)

Yemm et al. [[96\]](#page-234-0) believe that despite the progress being made in this feld of study, there is still a knowledge gap regarding the behavior of Adhesively Bonded joints (ABJ) as the primary structural element in the hostile maritime environments, especially as it relates to the design of WEC.

WEC operates in a marine environment that causes material degradation because of the following factors: salinity, surface temperature, wave heights, and wind speed [\[97](#page-234-0)]. Mehta [[98\]](#page-234-0) divided these causes of material degradation into two categories: physical degradation (such as cracking due to cyclic loading and surface wear due

S/N	Jointing method	Bonded	<b>Bolted</b>	Welded	Bonded and bolted
$\overline{1}$	Surface preparation required	Extensive	Little	Little	Extensive
2	Joining of dissimilar materials	Good	Limited	Poor	Good
3	Added weight to structure	Low	High	Moderate	Moderate
$\overline{4}$	Fatigue resistance	High	Poor	Moderate	Moderate
5	Corrosion resistance	High	Poor	Moderate	Moderate
6	Ease of disassembly	<b>Difficult</b>	Easy	Difficult	Difficult
7	Production cost	Medium	Low	Low	High
8	Environmental resistance	Poor	Poor	Moderate	Moderate

<span id="page-205-0"></span>**Table 9.2** Comparison of various jointing techniques [\[74\]](#page-233-0)

to abrasion) and chemical degradation (i.e., physicochemistry, which refers to exchange reactions between aggressive fuid and adhesive bond).

#### **9.6.1.1 Physicochemistry**

Over time, research on ABJ, which was made possible by the advancement of the scientific discipline known as surface physicochemistry [[99–101\]](#page-235-0) has led to a definition of adhesion that is more consistent but also multidirectional [\[102](#page-235-0), [103](#page-235-0)].

The range of phenomena that may occur at the touch of surfaces of related materials was specifed by Dutkiewicz [[99\]](#page-235-0), Pizzi and Mittal [\[100](#page-235-0)], Baldan [[102\]](#page-235-0), and Szewczak [\[104](#page-235-0)]. However, such a broad description only partially explains this phenomenon. Due to the fact that adhesion depends on numerous elements, efforts to describe it in a precise manner are particularly diffcult [\[100](#page-235-0), [105](#page-235-0)].

One of the frst defnitions was developed by McBain and Hopkins [\[106](#page-235-0)]. It was based on an adhesion model that illustrated how two surfaces interlocked with one another. This idea was expanded as a result of additional research, the creation of fresh research techniques, and a deeper comprehension of adhesion. As a result, various models of adhesion are used in literature along with the key variables affecting them. Figure [9.2](#page-208-0) presents the division that appears the most frequently in the literature.

### **9.6.1.2 Adhesion**

Mechanical Adhesion

As observed in Fig. [9.1](#page-206-0), there are additional parameters that affect adhesion in addition to the frst mechanical model, which is a function of the interlocking rough surfaces present in the materials to be joined [[100\]](#page-235-0).

<span id="page-206-0"></span>

Fig. 9.1 Adhesion types and models [\[104](#page-235-0)]

#### Proper Adhesion

While proper adhesion is essentially dependent on physicomechanical interactions, particularly the potential for chemical bonds to form between atoms on adjacent surfaces (Fig. [9.2\)](#page-208-0). The following adhesion mechanisms are some of those that are described as proper adhesion:

### **Adsorption Mechanism**

Chemical bonds are considered in the so-called adsorption theory, although depending on the circumstances and technique used to attach materials as well as their chemical makeup, different forms of chemical bonds may not necessarily exist at the same moment [\[107](#page-235-0)]. Both the more permanent van der Waals interactions required for adhesion and the traditional chemical bonds produced by chemical reactions are signifcant [[104\]](#page-235-0).

However, it is thought that the adhesion brought on by intermolecular interactions is best described by the adsorption theory [[99,](#page-235-0) [108,](#page-235-0) [109\]](#page-235-0).

#### **Electrostatic Mechanism**

The result of charge interactions at the contact is this adhesion process [\[110](#page-235-0)].

### **Diffusion Mechanism**

This adhesion mechanism is demonstrated in joining materials due to the reciprocal passage and permeation of charges between surface layers [\[100](#page-235-0), [111](#page-235-0), [112\]](#page-235-0), the theory of weak boundary layers accounting for the possibility of the occurrence of factors weakening adhesion on the joined surfaces, i.e., surface pores, impurities, and voids [\[100](#page-235-0), [108](#page-235-0), [113](#page-235-0), [114](#page-235-0)].

# **9.6.1.3 Adhesive**

According to ASTM D 907 [[115\]](#page-235-0), an adhesive is a substance that can hold things together by surface attachment. Adherends are the two surfaces. The forces of attraction hold the materials to one another at the contact between the adhesive and adherents. Mechanical interlocking or chemical bonding resulting from physical interactions can be these forces of attraction. As a result, it is critical that the bond strength depends on more than just the bulk properties of the adhesive and adherents.

A structural adhesive is any glue that can unite two stiff materials, withstand or transfer loads, and be reliable over an extended length of time. Chemical categories for structural adhesives include phenolic, acrylic, cyanoacrylate, urethane, and epoxy  $[68]$  $[68]$ .

### Phenolic

One of the main types of phenolic compounds found in plants is phenolic or phenolcarboxylic acid, which is a form of phytochemical referred to as a polyphenol. They can be found in a wide range of plant-based meals, with the largest concentrations being in seeds, fruit and vegetable skins, and leafy greens [\[116](#page-235-0), [117\]](#page-235-0).

### Acrylic

A translucent plastic with exceptional strength, rigidity, and optical clarity is acrylic. Acrylic sheet is simple to manufacture, adheres well to solvents and adhesives, and is simple to thermoform. Compared to many other transparent plastics, it offers better weathering characteristics [[118\]](#page-235-0).

### Cyanoacrylate

Strong, quick-drying adhesives in the cyanoacrylate family are used in industry, healthcare, and everyday life. They come from esters related to ethyl cyanoacrylate. In the presence of water, the cyanoacrylate group in the monomer quickly polymerizes to create lengthy, durable chains [[119,](#page-235-0) [120](#page-235-0)]. They are slightly harmful in various ways.

### Urethane

The chemical formula for ethyl carbamate is CH3CH2OCNH2. It is a whitish solid that is an ester of carbamic acid. It is not a part of polyurethanes, despite its name [\[121](#page-235-0), [122](#page-235-0)]. Although it is rarely utilized due to its carcinogenic nature, it naturally appears in small amounts in a variety of fermented foods and beverages.

### Epoxy Resins

The focus of this study is on epoxy resins as the structural glue because they are employed in segmental construction and have been one of the most popular adhesives since the 1940s.

<span id="page-208-0"></span>

One oxygen and two carbon atoms form the fundamental molecular structure of epoxy resin, a thermosetting reactive polymer (Fig. 9.2). Epicholorohydrin is a key basic material found in the majority of commercially available epoxy resins. This molecular foundation is highly malleable and gives rise to a variety of epoxies. Epoxy resins can interact with a wide range of therapeutic agents or hardeners, including amines and anhydrides. There could be an endless number of epoxies with varying properties given the different curative combinations [[123\]](#page-235-0). Glass transition temperature  $(Tg)$ , mechanical performance, stability, viscosity at room temperature, curing time, chemical resistance, and substrate type are the typical attributes used to describe epoxy resins. These attributes all depend on the formulation of the epoxy resin.

Due to their high fatigue resistance, low production cost, sealing, and thermal or electrical insulation features, epoxy resins have several advantages over bolted or welded joints, but they also have signifcant drawbacks. They should not, for instance, be intended to withstand peel and cleavage stress. Additionally, they require extensive surface preparation and are vulnerable to deterioration in harsh conditions or at high temperatures.

According to Hartshorn [\[68](#page-233-0)], creating structural adhesive joints remains a signifcant issue because there is a dearth of knowledge regarding the performance of joints, particularly their behavior in harsh environments and when subjected to cyclic loads. Despite a sizable number of experiments to compare the results, they are still unable to offer a practical foundation for design. Physical testing is still a key objective for this kind of research in the future.

It should be reiterated that one of the most widely utilized matrices for creating adhesive compositions, which are then used as structural adhesives in the maritime industry, is epoxy resin [[124,](#page-236-0) [125](#page-236-0)]. This is a result of a number of factors, such as very good adhesion to a wide variety of materials, reasonably high cure strength (both static and fatigue), minimal cure shrinkage, and resistance to a variety of exploitation forces [\[126–128](#page-236-0)].

Epoxy resins can fail to meet a product's performance requirements despite these remarkable qualities. Therefore, it is frequently necessary to change the properties of epoxy resins with various additives to create epoxy-resin composites in order to achieve these performance expectations [\[129–133](#page-236-0)]. Diluents, providing agents,

pigments, colors, softening agents, antioxidants, stabilizers, and fllers are a few examples of modifying compounds [[129,](#page-236-0) [131,](#page-236-0) [134\]](#page-236-0).

## *9.6.2 Literature Survey*

The research on epoxy resin is currently focused on two methods of modifcation.

### **9.6.2.1 Modifcation of Epoxy Resin with Fiber Reinforcement**

The frst direction entails creating new epoxy composites by adding fber reinforcements.

Effect of External Pressure (i.e., Cyclic Loads) on Epoxy Resin-Bonded Polymer Composite Performance

Due to the water ballast used to lower the buoy's inherent frequency so that it is not in resonance with waves, the buoys of WEC are sensitive to external pressure from waves. The creation of novel materials that can function under such external pressure has thus been the subject of numerous research papers. As a result, epoxy resin is included, as the following literature reports confrm:

Capela, Oliveira, and Ferreira [\[135](#page-236-0)] used short carbon fbers mixed with epoxy resin to help researchers better understand how low stiffness and resistance effciency values affect failure mechanisms of short fber composites with large fber volume fractions. The authors noted that the composites' static mechanical characteristics at low volume fractions are nearly proportional to their fber content. Low effciency was found for both tensile stiffness and strength at high volume fraction, though. As anticipated, as the fber content increases, the strain at failure lowers.

Similar to static strength, fatigue strength rises until the volume of fbers reaches 17.5%, after which it essentially stays constant. The key factors affecting fatigue life are fber dispersion and porosity.

According to Xu et al. [[136\]](#page-236-0), the tensile strength and modulus of an epoxy resinbased composite flm were signifcantly boosted by 300% and 612% as compared to those of pure resin with just 1 weight percent of silk fber. The material also demonstrated exceptional tear resistance, remaining intact after over 30,000 tensile cycles.

As an alternative to epoxy resin-based FRP plates for the reinforcing of concrete structures, carbon fber-reinforced polymer (CFRP) and grid-reinforced engineered cementitious composites (ECC) were created in the work by Zhu et al. [\[137](#page-236-0)]. The fndings demonstrated that every CFRP-ECC plate failed, with the internal CFRP grid rupturing and a dominant crack appearing in the ECC matrix. Regardless of the cyclic loading strategy used, the envelopes of the cyclic tensile stress-strain curves followed the static stress-stain curves. The unloading and reloading trajectories of CFRP-ECC plates under cyclic uniaxial tensile loads were proposed to be modeled using a nonlinear stress-strain model.

Basalt fber-reinforced thermoplastic epoxy polymer composites were the subject of a study by Wang et al. [[138\]](#page-236-0) to determine their static, fatigue, and damage mechanisms.

Under long-term cyclic loading, the stress-life curves and stiffness deterioration were examined. The fndings demonstrated that the basalt fber-reinforced thermoplastic epoxy polymer has favorable interface properties between the fber and new thermoplastic epoxy, leading to high tensile strength and ductility. The basalt fberreinforced thermoplastic epoxy polymer composites show different degradation rates for low- and high-cycle fatigue loads, and they are as follows:

- 1. High levels of fatigue stress led to a high rate of fatigue life degradation, which was related to fiber fractures.
- 2. Matrix cracking and interface debonding dominate the damage pattern at low and medium fatigue stress levels, which has a low impact on the pace at which the fatigue life degrades.
- 3. At a stress ratio of 0.8, stiffness degradations of 80–90% were seen before to failure for all stress levels.
- 4. Basalt fber-reinforced thermoplastic epoxy polymer has a similar static strength and fatigue life at high stress levels to thermosetting epoxy-based thermoplastic epoxy polymer. The fatigue life of the polymer, however, is signifcantly longer than that of the thermosetting one at low stress levels.

Gonabadi et al. [\[139](#page-236-0)] reported that the stiffness degradation of the regions at the fber/matrix interface regions was strongly correlated with the results of nanoindentation testing on the polymer composite microstructure of environmentally fatigued composite test coupons. This fnding validated the degradation of composite constituents.

The mechanical properties of 40 volume percent ramie fber and 1.5 volume percent organo-montmorillonite (OMMT) nanoclay are found to be improved, according to Sivaperumal and Jancirani [\[140](#page-236-0)]. According to wear testing, a composite of 40% fber and 1% OMMT nanoclay achieved high abrasion resistance. Similar to this, 44,218 cycles of fatigue life are shown in composites containing 1.0vol% OMMT nanoclay. Because of silane-treated ramie fber and OMMT nanoclay in epoxy composite, water absorption resistance is balanced. When compared to bare resin, the hydrophobicity has not signifcantly decreased. The SEM images demonstrate improved Ramie fber adherence to the matrix as well as exfoliation of OMMT nanoclay in the matrix.

According to da Silva Batista et al. [[141\]](#page-236-0), after 100,000 cycles of a fatigue test, the tensile strength of epoxy composites supplemented with sisal fbers was 1.4 times that of neat epoxy resin. The composite is also thought to withstand loads of 20% of its maximum tensile strength for 200,000 h before cracking due to creep. In conclusion, the effective adhesion between sisal fbers and epoxy obtained through NaOH treatment allowed the epoxy composite to have good mechanical behavior.

Yan et al. [[142\]](#page-236-0) reported that an epoxy resin called PEI-ER was created as a new cathode binder for lithium-sulfur (Li-S) batteries by in situ cross-linking between an epoxide compound and polyethylenimine. With this novel binder, Li-S batteries demonstrated impressive cycling stability and high initial specifc capacity.

### Effect of Internal Pressure (i.e., Water) on Epoxy Resin-Bonded Polymer Composite Performance

Interlinear shear strength tests on all three carbon/epoxy composites, according to Tual et al. [\[143](#page-236-0)], showed that seawater aging had an impact on interfacial adhesion. The combination of electrochemical oxidation and sizing treatments effectively increased the long-term interlaminar shear strength retention for carbon fberreinforced polymer in hydrothermal environment from 0.24–0.38 to 0.74–0.86, thus aligning with the specified environmental reduction factor  $C_{\rm E}$  (0.85) given in ACI 440.2R-08. This was reported in the work done by Wang et al. [[144\]](#page-236-0). Scanning electron microscopy and moisture absorption and desorption tests further demonstrated the enhanced toughness of the fber/epoxy interface (SEM).

Wang and Ploehn [[145\]](#page-236-0) found a substantial correlation between water absorption and interfacial adhesion and the degree of an additional relaxation mechanism, known as -relaxation. The tests undertaken were more likely to have diffculties because of water loss, the authors stated. In dry and wet composites, respectively, apparent activation energies of the  $-$  and -relaxation processes were statistically signifcant markers of interfacial adhesion.

The interface debonding during the uptake of hot  $(100 \degree C)$  water was studied by Xu and Ashbee [[146\]](#page-236-0). The differences in the durability of interfacial bonding and the fber failure modes for variously coated fbers have been determined by studying the formation of stress birefringence during resin swelling in the resin next to individual fbers. The fndings demonstrate that the condition of self-stress in model composites, which consist of a single carbon fber in a coating of epoxy resin, can be increased to the point where the axial tension in the fber can be suffcient to cause fber fracture by submersion in hot distilled water.

Additionally, in the study carried out by Pérez-Pacheco et al. [[147\]](#page-237-0), carbon fber/ epoxy unidirectional laminated composite was subjected to three levels of humidity—25%, 55%, and 95%—while maintaining a constant temperature of 25 °C. The outcomes demonstrated that each fber surface treatment has a signifcant impact on the mechanical properties. Failure mode for the silane-treated carbon fberreinforced epoxy laminate was attributed to matrix yielding followed by fber failure with no indication of fber-matrix interface failure for moisture contents up to 1.89%, while failure mode for the untreated carbon fber was attributed to fbermatrix interfacial failure.

In order to determine the impacts of seawater and temperature on the structural properties of produced composites, Mourad et al. [\[148](#page-237-0)] investigated the durability of fber-reinforced polymer in warm environments and seawater. According to the fndings, seawater absorption rose with both immersion time and temperature.

However, moisture causes a dual mechanism of stress relaxation—swelling mechanical adhesion, and breakdown of chemical connections between fber and matrix at the interface. The matrix in both composites was effective at protecting the fbers from corrosive substances in saltwater. High temperatures are believed to hasten the breakdown process in composites. The glass/polyurethane composite's tensile strength fell by 19% and 31%, respectively, after one year of exposure to seawater at ambient temperature and 65 °C. The matrix fails ductilely as a result of plasticization brought on by moisture absorption.

In order to assess the kinetics of water diffusion and the impacts of wet aging on the mechanical quasi-static properties at various aging periods, Boisseau et al. [\[149](#page-237-0)] tested three types of GRP epoxy laminates. The type of glass fber used did not signifcantly change the diffusion behavior, according to the authors.

Utilizing four-point bending tests of three injected composites across various aging times at 60  $\degree$ C, the mechanical behavior was also assessed. The quasi-static composite fexural strength was reduced by 40–56%, which indicates that saltwater aging has a signifcant impact on the fexural failure stress. Long aging durations resulted in a failure mode transition from compressive to tensile.

Combined Effect of External and Internal Pressures on Epoxy Resin-Bonded Polymer Composite Performance

According to what was previously mentioned, Dawson et al. [[150\]](#page-237-0) investigated the impact of the test environment variables, including temperature, conditioning medium (natural seawater or deionized water), and pressure, on the seawater aging of prepreg and infused glass/epoxy composites in terms of laminate weight gain and mechanical properties. This study was carried out to acquire a thorough grasp of how to design for a short service life.

The infused laminate, created with a final cure at 80  $\degree$ C, also underwent a fatigue test. The following were the key fndings:

- The temperature accelerated the weight gain of all specimens after two years of immersion at various temperatures (4 °C, 25 °C, 40 °C, 60 °C, and 80 °C), and prepreg laminates took longer to saturate than the injected ones.
- Proper temperature control is essential to avoiding severe degradation effects.
- Deionized water-conditioned specimens gained weight more quickly than seawater-conditioned specimens did.
- The weight growth of either type of laminate did not differ appreciably when subjected to conditioning at high pressure (500 bar).
- Aging in deionized water and natural saltwater signifcantly decreased the interlaminar shear strength as well as the tensile strength. The effect was stronger for deionized water.

#### **9.6.2.2 Modifcation of Epoxy Resin with Powder Fillers**

The fndings of experiments conducted Kilik and Davies [\[151](#page-237-0)], to ascertain the mechanical characteristics of an adhesive containing metallic particles are reported. The glue was a hardened, one-part epoxy with admixed copper and aluminum powders in varying proportions, each with a variety of grain sizes. Tensile strength, shear strength, peel force, impact strength, and fatigue strength results were obtained. All fndings were contrasted with those found in the glue without the addition of powder.

Based on this, the second trend in polymer chemistry involves adding additives, like powder fllers, to adjust the properties of polymers like epoxy resins [\[152](#page-237-0), [153\]](#page-237-0). The functional, mechanical, physicochemical, and rheological characteristics of the adhesive may be greatly impacted by its application. Additionally, by using them, it is feasible to reduce the quantity of adhesive that is often produced during the processing and refning of crude oil or through the expensive process of synthesizing other mers.

#### Epoxy Composite

According to Shojaei and Khasraghi [\[154](#page-237-0)], an epoxy composite is a polymeric substance made up of at least two phases: an epoxy matrix and a continuous or noncontinuous fller or reinforcement like fbers, whiskers, or particles. The exploration of epoxy composites is a result of epoxy materials' inability to meet a product's performance standards, as was hinted at in the paragraph preceding.

According to research, a little amount of fller can be added to the epoxy to improve its qualities [[155\]](#page-237-0). Today's market offers a wide variety of fllers for commercial use. However, mineral-based fllers are still a suitable choice because they are affordable and widespread in nature [[156\]](#page-237-0).

The matrix material can be made from a variety of polymeric materials, such as polyester, epoxy, and phenolic, as shown in Fig. [9.3.](#page-214-0) Copper, aluminum, corundum, aluminum silicate, chalk, silica, glass, graphite, quartz, metal fbers, carbon nanotubes, and carbon black are among the fllers that are frequently employed [\[124](#page-236-0), [157,](#page-237-0) [158\]](#page-237-0). Filler additions to adhesive compositions increase the strength and durability of adhesive connections by 25–30% [\[159](#page-237-0), [160](#page-237-0)].

Powder Fillers

### **Ground Limestone and Dolomite**

The ideal reinforcement materials for polymeric materials are dolomite and limestone since they are readily available, inexpensive, have a high degree of stiffness and hardness, and even have customizable surface chemistry [\[156](#page-237-0)]. In recent years, dolomite and limestone have drawn more attention as fllers in polymer composite systems [[104,](#page-235-0) [161,](#page-237-0) [162\]](#page-237-0), as studies have successfully demonstrated their

<span id="page-214-0"></span>

**Fig. 9.3** Polymer composite: matrices and fillers [\[156\]](#page-237-0)

ability to enhance the mechanical, physical, and thermal properties of various polymeric materials. However, in order to make raw dolomite an effective reinforcing fller, it must be treated or modifed chemically or physically. This was supported by the research done by Pahlevani and Sahajwalla [\[163](#page-237-0)], who stated that all treated panels had attributes that were on par with, or even superior to, those of natural stone (Quartz off-cut, sand, waste seashell, dolomite, limestone aggregates, concrete waste, and limestone dust).

Furthermore, according to Pahlevani and Sahajwalla [[163\]](#page-237-0), variables including the smooth particle morphology of glass, dolomite, and limestone as well as concrete's porous structure and clumps of ultra-fne powder in limestone dust reduce the strength of fnal panels.

#### **Chalk**

The results of using chemically precipitated chalk as an active, highly distributed fller in compositions based on epoxy oligomers are presented by Galeev et al. [\[164](#page-237-0)]. Used chemically precipitated chalk (both original and hydrophobized) is produced by precipitating, drying, dehydrating, and classifying sludge from a chemical water treatment facility. Two techniques of preparing the reaction mixture for curing are taken into consideration in order to achieve the homogeneity of composites: the initial injection of the fller into the epoxy oligomer and the introduction of the fller into the amine hardener. The discovered formulations can be used for producing adhesives and coatings as well as structural composites, depending on the manner of filler introduction and its concentration in the binder  $(0.5-10.0\%$  of the mass of the epoxy oligomer). The method of measuring microhardness was used to the research of mechanical properties, allowing evaluation of the impact of fller particle size and comparison of their effcacy with modest concentrations of carboncontaining nanofllers (0.005–0.5%).

The article by Miturska et al. [\[165](#page-237-0)], offers the preliminary test fndings analyzing fundamental technological parameters that affect the qualities of adhesive epoxide compositions, such as the type of modifying agent and seasoning time. The purpose of the study was to develop adhesive formulations including 2% of the chosen natural fillers (activated carbon powder C, powdered chalk (powder)—CaCO<sub>3</sub>, and montmorillonite NanoBent ZR-2), and to evaluate their strength characteristics. The epoxide resins utilized in industry—Epidian 5 and Epidian 53—cured by the addition of an aminomethyl group, where curing occurs through the Mannich reaction, made up the polymeric matrix needed to make an adhesive composition. As a benchmark, an epoxide resin mixture with a curing agent but no modifying agents was utilized. The tests reported in this article attempted to demonstrate the importance of the effect of the fllers used on the strength properties of the compositions under investigation. Using a scanning electron microscope, the fracture surface of epoxide adhesive compositions modifed with the chosen fllers was examined.

#### **Clay from Ground Brick and Other Ceramic Materials**

According to Zhao et al. [\[166](#page-237-0)], scrap brick powder can be used to partially or entirely swap out limestone fller for self-compacting mortar.

The article by Pati and Satpathy [[167](#page-238-0)], discusses a new class of epoxy composites that contain microsized red brick dust (RBD) particles and details its characterization and erosion wear performance. Its potential as a fller material in polymeric matrices has not yet been investigated, despite the fact that a number of uses for it have been proposed in the past. In this work, composites with various RBD contents are created using a straightforward hand layup approach. The density, porosity, microhardness, and strength characteristics of the composites are described. A wellplanned experimental schedule based on Taguchi design-of-experiments is followed for conducting solid particle erosion trials. Following that, scanning electron microscopy is used to analyze the morphology of composite surfaces. Additionally, an unique ant lion optimizer (ALO) algorithm inspired by nature is suggested in this work to obtain the lowest possible erosion wear rate. This algorithm imitates the actions of antlions in the wild. Due to its traits like enhanced exploration, avoiding local optimum, rapid convergence, and fewer tuning parameters, it outperforms other evolutionary algorithms in terms of performance. Comparing the traditional Taguchi result to the wear rate value acquired by ALO, it shows the lowest value [\[167](#page-238-0)].

Mostovoi and Kurbatova [\[168](#page-238-0)]. The physicomechanical characteristics of the epoxy composite are improved by covering the fller surface with silane, ensuring chemical contact at the fller-binder interface, and treating the mixture with ultrasound: A factor of 2–3 is added to the elastic modulus of bending and the failure stress. It is important to notice that even at 50% filling, there is a significant (2.5fold) increase in impact resilience.

The use of modifed brick dust improves the epoxy composite's heat resistance and thermal conductivity.
#### **Microsilica, Quartz Flour, Granite**

Szewczak and Szelg [[169\]](#page-238-0) conducted a research by adding microsilica to epoxy resin alters its viscosity and free surface energy, which are also factors that affect the polymer's ability to adhere to concrete surfaces.

According to Pahlevani and Sahajwalla [[163\]](#page-237-0), their research showed that silicabased panels, such as quartz, sand, and glass, which contain silica particles of great strength and hardness, perform better than panels made of calcium carbonate.

Their dosage is solely based on the fnal qualities of the resulting adhesive that must be met. It may be feasible to activate the fller molecules in the bulk of the glue and form new chemical bonds depending on the fller molecules' chemical makeup [\[170](#page-238-0), [171](#page-238-0)].

The orientation of the functional groups during cross-linking and attachment of the adhesive to the substrate can also be impacted by the electrons in the fller atoms. This fact is especially signifcant when considering how the polymer layer ages over time as a result of structural modifcations to its interior that primarily involve the movement of electrons and the weakening of chemical bonds between molecules [\[101](#page-235-0), [172](#page-238-0), [173](#page-238-0)].

#### **9.6.2.3 Physical Parameters of Powder Fillers**

The following physical characteristics are among them:

#### Specifc Surface

Filler surface area has a significant impact on dispersion; the bigger the surface area, the less dispersion there will be [\[174](#page-238-0)]. This outcome is probably the result of smaller aggregates, which are more likely to interact with one another in a dry condition and are typically seen in high surface area aggregates.

#### Shape

Ahmad et al. [[175\]](#page-238-0) studied the effects of silica mineral particle shape on the characteristics of epoxy composites at fller loadings of 15% and 45%. Shared observations included the following:

- 1. The angular silica mineral composites showed the lowest trend, while the elongated silica mineral composites displayed the maximum tensile strength and modulus.
- 2. Spherical particles had the lowest aspect ratio of the three particle shapes examined, while high aspect ratio particles (elongated particles) acquired the maximum tensile strength and modulus (Table [9.3\)](#page-217-0). Aspect ratios, or large surface areas per volume, may result in higher levels of stress transferability, according to Ahmad et al. [[175\]](#page-238-0).

S/N	Sample	Aspect ratio
	Angular (silica mineral)	0.824
	Cubical (silica mineral)	1.122
	Elongated (silica mineral)	2.954

<span id="page-217-0"></span>**Table 9.3** Aspect ratio of various shape of silica [\[175\]](#page-238-0)

3. The aspect ratio factor and the results of the tensile test can be connected. Although numerous parameters, including fller particle size, fller particle distribution in the matrix polymer, and strong adhesion at the interface surface, may have an impact on the tensile capabilities of a particulate fller flled system instead of the aspect ratio component [[175\]](#page-238-0).

These physical characteristics enable the adhesive to adhere to the target surface with greater force [[176,](#page-238-0) [177\]](#page-238-0).

#### **9.6.2.4 Surface Modifcation Methods**

In order to enhance this interaction between the polymer and the fller and to enable new composite technologies, fller surface treatment is a widespread process in the polymer industry [\[178](#page-238-0)]. This is because fller and polymers are not always compatible, necessitating surface modifcation of the particles to achieve desired performance. There are numerous surface modifcation techniques that can be used to improve reinforcement to polymers when fy ash (FA), a fnely split byproduct produced by the combustion of pulverized coal and transferred from the combustion chamber, is used as a fller [\[67](#page-233-0)].

Among these are chemical modifcations using acidic or basic solutions, coupling agents, and microbial modifcation.

#### Acidic or Basic Solutions

Increased particle roughness and total surface area are produced when FA particles are treated with a strong acid or basic solution. FA that had been exposed to NaOH or HCl exhibited greater surface area and roughness (FA  $146 \text{ m}^2/\text{g}$ ), which was equivalent to precipitated silica  $(179 \text{ m}^2/\text{g})$ . Despite having a larger surface area, rubber composites formed with the treated FA exhibited lower tensile strength and bound rubber than silica composites [\[179](#page-238-0)].

The interfacial area between the particle and the polymer is increased as a result of this treatment, according to the results, but the compatibility between the FA and the polymer is not improved. Additionally, some research contend that subjecting FA to acid and/or alkali treatment may increase or expose the FA's more chemically active amorphous component [\[179\]](#page-238-0). Therefore, this treatment by itself might not improve FA's capacity for reinforcement. By altering the alkalinity or acidity of the particles, acidic or basic treatment of FA may also be employed to infuence the curing behavior [[180](#page-238-0)].

#### Coupling Agents

The most popular technique for increasing compatibility, fortifying the interface between polymer and fller, and for preventing the adsorption of cures on the fller surface is coupling agents. These chemical elements serve as molecular connectors between the fller and rubber [[67\]](#page-233-0).

Although compatibility has improved with various coupling agents, treated FA reinforcement is still inferior than treated silica reinforcement [[67\]](#page-233-0).

This may be because silica's complex structure develops from primary particle aggregation and FA's larger particle size, which suggests that, similar to acid-base treatment, coupling agents alone can enhance FA's reinforcing efficiency but fall short of matching the reinforcement of treated commercial silica. Using coupling agents in addition to mechanical size reduction and/or acid-based therapy may be the best option.

#### Microorganisms

Although straightforward and effective, physical and chemical surface treatment methods raise the fller's cost, which may prevent the use of FA and other metallurgical wastes like the waste copper dust [[181,](#page-238-0) [182\]](#page-238-0), as fllers for polymers like epoxy. As an alternative and affordable method of FA surface modifcation, corrosive microorganisms, such as dissimilatory iron-reducing bacteria and sulfatereducing bacteria, have been investigated [\[67](#page-233-0)]. These specifc bacteria can easily adhere to the particles and change the structure and composition of the FA since they need many of the nutrients found in FA to develop.

While bacterial growth and metabolites produced by the bacteria make the surface chemistry of the particles lipophilic, corrosive bacteria can decrease sulfur and iron oxides to create a porous structure in the particles. Additionally, ferrosulfde nanoparticles can be created when reduced iron and sulfur combine.

As a result of the collapse of particles following severe corrosion, microbial treatment of FA led to increased pore volume, smaller particle size, and a reduced lipophilic contact angle compared to untreated FA.

#### **Conclusion**

One of the most common types of resins used as structural adhesives is epoxy resins (ERs). Despite this, ERs have several weaknesses, most of which are caused by the heavily cross-linked network that remains after the curing process. Building structural epoxy joints continues to be a major challenge since there is a dearth of knowledge on joint performance, particularly in respect to how they react in harsh environments and under cyclic loads. After running a sizable number of trials to compare the results, they are still unable to offer a helpful design basis. Physical testing will continue to be the main objective of any further research in this feld.

Epoxy resins can also have fllers added to them as enhancers to improve their strength and durability when used in maritime situations. However, the utilization is restricted since metal powders are so expensive. In order to reduce the high cost of metal powders and improve the integrity and dependability of epoxy resins in marine environments, it has been proposed to employ WCD. Before using this enhancer, WCD must frst have its surface modifed. Physical and chemical methods of surface preparation, while rapid and easy, signifcantly raise the cost of fller. A practical method for changing the surface of WCD is to use corrosive microorganisms.

Due to the observed knowledge gaps, wave energy converter design is the suggested area of research: Epoxy resin packed with corrosive microorganism surface-modifed waste copper dust has good seawater integrity and durability.

### **9.7 Methodology**

### *9.7.1 Materials and Methods*

### **9.7.1.1 Materials**

The unmodifed epoxy resin and the modifed epoxy resin are the two variations of an epoxy resin that will be examined.

#### Unmodifed Epoxy Resin

The curing agent and epoxy resin-based adhesive compound will be used to create this variation of epoxy resin. We will ascertain the cured epoxy's mechanical characteristics and use those results to fll out Table 9.4.

Curing Agent

The curing agent that will be employed in this investigation is one that was produced especially for curing low-molecule resin compounds, such as the epoxy resin that will be used as the study's main material.

S/N	Properties	Value (MPa)
	Bending strength	
	Compressive strength (MPa)	
	Shear strength for 16 h at 20–25 °C, 6 h at 80 $\pm$ 2 °C, not lower than	X
	Shear strength for 7 days at $20-25$ °C, not lower than	

**Table 9.4** Mechanical properties of cured epoxy resin

Filler

- 1. One type of copper powder has spherical particles and a purity of 99.0%.
- 2. 99.7% pure aluminum powder with agglomerated, sporadic-shaped particles.
- 3. WCD: Figure 9.4 provides more information on the chemical makeup of the WCD as determined by the x-ray efflorescence (XRF) method.
- 4. MRT: Figure 9.5 describes the chemical makeup of the MRT as determined by the x-ray efforescence (XRF) method.



**Fig. 9.4** Chemical composition of WCD





#### Surface Modifying Agent

We will investigate alternate and affordable WCD surface modifcation agents, such as corrosive microorganisms such as iron-reducing bacteria and sulfate-reducing bacteria.

#### **9.7.1.2 Methods**

Preparation of Unmodifed and Modifed Epoxy Resin

The components of the two types of epoxy resin (i.e., modifed and unmodifed) that will be examined in this investigation are described in Table 9.5.

Starting out, both unmodifed and modifed epoxy resin will be combined in a stoichiometric ratio of 100:80 with the curing agent. In addition to the materials indicated, modifed epoxy resins will also comprise 80 g of a curing agent, 2 g each of WCD, MRT, copper powder, and aluminum powder per 100 g of epoxy resin. Table 9.5 provides a thorough description of the composites (i.e., modifed epoxy resin) that will be created during the course of this investigation.

The following is the technique for making unmodifed epoxy resin: Using a balance that can read to four decimal spaces, the proper amounts of epoxy resin components will be weighed (0.0000). A homogenous mass will be produced after the components are mechanically combined for 90 seconds at a shear rate of 128 m/ min in a polymer container. A vacuum pump will be used to remove gas bubbles that were created during the mixing process from the compound. Weighing and combining the epoxy resin components will take place at a temperature of 23  $^{\circ}$ C  $\pm$  3  $^{\circ}$ C and a relative humidity of  $23\% \pm 6\%$ .

The following is the technique for making modifed epoxy resin: weigh (Table [9.6\)](#page-222-0) the required amounts of epoxy resin and metal fllers (such as copper powder, aluminum powder, WCD, and MRT) and combine them. The compound will then receive the curing agent. For both modifed and unmodifed epoxy resin, the preparation procedure parameters will remain the same.

		Variants of epoxy resin							
S/N	<b>ERBAC</b> components	Unmodified	Cu-powder	Al-powder	<b>CSD</b>	<b>MRT</b>			
	Resin type	Epoxy resin	Synthetic	Synthetic	Natural	Natural			
2	Amount $(g)$	100	100	100	100	100			
3	Curing agent	AA	AA	AA	AA	AA			
$\overline{4}$	Amount $(g)$	80	80	80	80	80			
	Filler type	-	Cu	Al	$Cu-A$ ]others	$Cu-A$ ]others			
	Designation	U-1	$U-2$	$U-3$	$U-4$	$U-5$			

**Table 9.5** Characteristic description of epoxy resin components

Designation	Constituents of modified epoxy resin								
$U-2$	Epoxy resin	Cu-powder	$E$ poxy resin + Cu	Composite					
$U-3$	Epoxy resin	Al-powder	$E$ Epoxy resin + Al	Composite					
$U-4$	Epoxy resin	<b>WCD</b>	$E$ poxy resin + $Cu-A$ l <sup>others</sup>	Composite					
$U-5$	Epoxy resin	<b>MRT</b>	$E$ poxy resin + Cu-Al <sup>others</sup>	Composite					

<span id="page-222-0"></span>**Table 9.6** Description of developed composites from epoxy resin

Optimization of Mechanical Properties of Adhesive with Filler

According to Table [9.7,](#page-223-0) the following process variables will be taken into account in order to optimize the mechanical properties of epoxy resin that has been flled with synthetic and natural fllers. While Table [9.8](#page-223-0) shows the design of experiment (DOE) design for process optimization.

Tables [9.9,](#page-224-0) [9.10](#page-225-0), and [9.11](#page-226-0) show experimental situations with unknown % output proportions in addition to the whole DOE sample space that is shown here in Table [9.8](#page-223-0). The original experimental conditions from the DOE are described using numeric serial order, whereas these novel inputs are represented using alphabetic serial order (Tables [9.9,](#page-224-0) [9.10](#page-225-0), and [9.11\)](#page-226-0).

#### MATLAB Code Used for Model Development

• Modeling procedure for output prediction

This sub-section presents the basic steps utilized in the modeling process as contained in this paper:

Step #1: Study trend of experimental samples.

Step #2: Set-up constraint models to categorize and group samples into sub-classes based on #1.

Step #3: Compute absolute difference between input and output samples in same class as grouped in #2.

Step #4: Identify different experimental levels for selected classes.

Step #5: Apply interpolant model to predict output.

Step #6: End4.

A generalized representation of the modeling variables can be found in Table [9.12](#page-227-0). These factors were incorporated into a model and utilized to calculate the predictive results of the various unmodifed and modifed epoxy-resin compositions.

Modeling notations as they are shown in this research are as follows:

Output =  $f$  (speed,flowrate,input,feedrate,liquidssolidratio)

Let: serial number for inputs:  $s_i = \{1, ..., n-1, n\}$  and serial number for outputs *so* = {1, …, *n* − 1, *n*} for ∀n ∈ R

Where:

S/N	Parameters	Level 1	Level 2	Level 3
	Amount of synthetic and natural fillers (g)			
	Curing temperature $(^{\circ}C)$	40		

<span id="page-223-0"></span>**Table 9.7** Process parameters to consider for the development of flled adhesive bond

**Table 9.8** Design of experiment using the 2 by 3 full factorial experimental method

S/N	Fillers $(g)$	Curing temperature $(^{\circ}C)$	Treatment combination (TC)
	1.5	40	(1.5)(40)
2	2.0	50	(2.0)(50)
3	2.5	60	(2.5)(60)
$\overline{4}$	1.5	50	(1.5)(50)
	2.0	60	(2.0)(60)
6	2.5	40	(2.5)(40)
	1.5	60	(1.5)(60)
8	2.0	40	(2.0)(40)
9	2.5	50	(2.5)(50)

 $exp_{(i)i}$  = Experimental inputs

 $exp_{(o)i,j}$  = Experimental outputs

 $Pre_{(o)i,j} = Predictive outputs$ 

 $p_{ii} = %$  inputproportionofselectedsamples

 $p_{oi} = %$  inputproportionofselectedsamples

 $\Delta p_i = |p_{ij} - p_{oj}|$  absolutedifference between  $p_{ij}$  and  $p_{oj}$ 

Where:  $j = \{1, ..., k - 1, k\}$  represents experimental levels.

The "absolute difference" models expressed in terms of the experimental levels are as presented in Eqs. 9.1, 9.2, and [9.3](#page-224-0) while [9.4](#page-224-0), [9.5](#page-224-0) and [9.6](#page-225-0) with respect to Table [9.12](#page-227-0) represent the fnal computational models for predicting the unknown outputs.

$$
\Phi_1 = \left[ \frac{\Phi_3(\mu - \xi) - \Phi_2(\mu - \xi) - \Phi_2(\sigma - \mu)}{(\mu - \sigma)} \right]
$$
(9.1)

$$
\Phi_2 = \left[ \frac{\Phi_3(\mu - \xi) + \Phi_1(\sigma - \mu)}{(\sigma - \mu) + (\mu - \xi)} \right]
$$
(9.2)

$Filling -$		Inputs	<b>Process Parameters</b>				Outputs		
Curing	T.S	F.S	S.M.P	L.D.C	$S.S^*$	W.T.B.	T.T.B	<b>M.S.T.S.</b>	<b>M.S. F.S</b>
Temp									
No	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
filling									
$10F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
<b>40T</b>									
A	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
$20F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
50T									
B	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
$30F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
60T									
$\overline{C}$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
$10F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
$50T$									
D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
20F-	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
60T									
$\overline{E}$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
$30F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
<b>40T</b>									
$\overline{F}$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
$10F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
60T									
$\overline{G}$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
$20F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
<b>40T</b>									
$H^-$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
$30F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D
50T									
$\top$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D

<span id="page-224-0"></span>Table 9.9 DOE for epoxy resin-filled synthetic fillers

**Key:** *Wt* **weight;** *T. S.* **tensile strength,** *F.S.* **fatigue strength,** *M.S.T.S.* **modifed surface tensile strength,** *M.S. F.S.* **modifed surface fatigue strength,** *S.M.P* **stress in mid-point,** *L.D.C* **loads given at defection curve,** *S.S\** **support span,** *W.T.B.* **width of the test beam,** *T.T.B.* **thickness of the test beam**

$$
\Phi_3 = \left[ \frac{\Phi_1(\mu - \sigma) + \Phi_2(\sigma - \mu) + \Phi_2(\mu - \xi)}{(\mu - \xi)} \right]
$$
(9.3)

$$
|\mathbf{p}_{i1} - \mathbf{p}_{o1}| = \Phi_1
$$
 (9.4)

$$
|\mathbf{p}_{12} - \mathbf{p}_{02}| = \Phi_2 \tag{9.5}
$$

$Filling -$		Inputs		<b>Process Parameters</b>					Outputs	
Curing	T.S	F.S	S.M.P	L.D.C	$S.S^*$	W.T.B.	T.T.B	<b>M.S.T.S.</b>	<b>M.S. F.S</b>	
Temp										
No	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
filling										
$10F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
<b>40T</b>										
$\overline{A}$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
$20F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
50T										
$\overline{B}$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
$30F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
60T										
$\overline{c}$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
$10F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
50T										
D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
$20F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
60T										
$\overline{E}$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
$30F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
<b>40T</b>										
F	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
$10F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
60T										
G	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
$20F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
<b>40T</b>										
H	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
$30F -$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	
50T										
$\overline{I}$	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	

<span id="page-225-0"></span>Table 9.10 DOE for epoxy resin-filled natural fillers

**Key:** *T. S.* **tensile strength;** *F.S.* **fatigue strength,** *M.S.T.S.* **modifed surface tensile strength,**  *M.S. F.S.* **modifed surface fatigue strength,** *S.M.P* **stress in mid-point,** *L.D.C* **loads given at defection curve,** *S.S\** **support span,** *W.T.B.* **width of the test beam,** *T.T.B.* **thickness of the test beam**

$$
|\mathbf{p}_{13} - \mathbf{p}_{03}| = \Phi_3 \tag{9.6}
$$

Hence,  $\Delta p_i = \Phi_i$ 

Experimental Validation and Simulation

The strongest flled adhesives will be simulated using fnite element analysis after being empirically confrmed for their weight, tensile strength, and consistency during environmental fatigue.

<span id="page-226-0"></span>Table 9.11 DOE of epoxy resin-filled synthetic and natural fillers at optimum processing conditions under cyclic loading and seawater aging

$Filling -$	Inputs			<b>FPB Process Parameters</b>				Outputs			
Curing	Wt	M.S.T	M.S.	S.M.P	L.D.C	$S.S^*$	W.T.B.	$\overline{T}$ .T.B	Wt	M.S.E.	M.S.E.
Temp		${\bf .S}$	F.S							T.S.	F.S.
<b>CSD</b>	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
filling											
@30°C											
A	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
<b>CSD</b>	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
filling											
@50°C											
В	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
<b>CSD</b>	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
filling											
@70°C											
С	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
<b>MRT</b>	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
filling											
@30°C											
D	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
<b>MRT</b>	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
filling											
@50°C											
Е	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
<b>MRT</b>	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
filling											
@70°C											
F.	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
Cu	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
filling											
@ 30°C											
G	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
Cu	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
filling											
@ 50°C											
н	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
Cu	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
filling											
@70°C											
	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
Al filling	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
@ 30°C											
J	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
Al filling	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
@ 50°C											
K.	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
Al filling	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D
@ 70°C											
L.	T.D	T.D	T.D	22.0	15.0	64.0	77.0	90.0	T.D	T.D	T.D

**Key:** *Wt* **weight,** *M.S.T.S.* **modifed surface tensile strength,** *M.S. F.S.* **modifed surface fatigue strength,** *M.S.E.T.S.* **modifed surface environmental tensile strength,** *M.S.E.F.S.* **modifed surface environment fatigue strength,** *S.M.P* **stress in mid-point,** *L.D.C* **loads given at defection curve,** *S.S\** **support span,** *W.T.B.* **width of the test beam,** *T.T.B.* **thickness of the test beam**

		Input value for	Output value		
	Data acquisition	variant factor	for variant	Expt.	Absolute difference
Level	procedure	$p_{ii}$	factor $p_{oi}$	levels	between $p_{ii}$ and $p_{oi}$
1-First	Prediction	$p_{i1}$	$p_{01}$		$ p_{i1} - p_{o1}  = \Phi_1$
	2-Second Experiment	$p_{i2}$	$p_{o2}$	$\mu$	$ p_{i2} - p_{o2}  = \Phi_2$
3-Third	Experiment	$p_{i3}$	$p_{o3}$	$\sigma$	$ p_{i3} - p_{03}  = \Phi_3$

<span id="page-227-0"></span>**Table 9.12** Generalized representation of model variables

#### Shape, Dimension, and Fabrication of the Unmodifed and Modifed Samples

Strength test samples (Fig. [9.6a](#page-228-0)) and microscopic test samples are the two types of cylinder samples that will be utilized in the tests (Fig. [9.6b](#page-228-0)).

The original and modifed epoxy-resin test samples will be made by casting them in 10 mL and 20 mL cylindrical molds. Unmodifed and modifed epoxy resin will be prepared, and then it will be poured into molds that will have silicon spray inside of them to make it easier to separate the cast from the mold. The samples will be allowed to cure for 7 days at 24 °C  $\pm$  3 °C and 23%  $\pm$  6% humidity.

In order to make the epoxy-resin test samples uniform in length and surface smoothness, they will be machined using grinding and milling. The fnished samples will be  $40 \pm 0.3$  mm in length and  $15 \pm 0.1$  mm in diameter on average.

The samples will be taken out of the molds after the curing process and condition for 24 h at a constant temperature of 22.1 °C and a relative humidity of 25%  $\pm$  1%. After that, the samples will be put in a marine environment (Fig. [9.7](#page-228-0)).

Combined Cyclic Loading and Seawater Aging

The combined effect of cyclic loading and saltwater aging on the integrity and durability of the unmodifed epoxy resin and produced epoxy-resin composites will be tested utilizing a four-point bending in a water bath since water has the potential to cause separation of epoxy resin and metal fllers (Fig. [9.7](#page-228-0)).

The manufactured epoxy-resin test samples will be aged in a seawater environment under cyclic stresses as part of the investigations. The proper amounts of tap water and sea salt (NaCl) will be measured out to create the seawater environment. The salinity of 35%, which represents the average salinity of the world's saltwater, will serve as the study's reference point. The testing will make use of tap water that is at room temperature.

Microstructural Analysis of Deformed Samples

Using a digital microscope, scheduled microscopic examinations will be carried out to examine the microstructure of the adhesive compounds and the effects of the studied aqueous environments on their appearance and properties. The timing of these tests will rely on the aging period. About 200 items will make up the entire batch of epoxy-resin samples being analyzed (for each type of epoxy-resin variants:

<span id="page-228-0"></span>

**Fig. 9.6** Shape and dimensions of epoxy-resin samples: (**a**) strength test samples (**b**) microscopic test samples



**Fig. 9.7** Four-point bending + seawater aging experimental setup; mimicking WEC environmental condition

unmodified and modified: 5 batches of environment types  $\times$  3 variants of aging time  $\times$  6 samples and 6 standard samples). The results' fundamental statistics will be taken into account. In order to evaluate the mean and standard deviation, data that represent obvious errors in the fndings will be excluded.

The strength test results for the unmodifed and modifed epoxy-resin samples will be contrasted.

### **9.8 Contribution to Knowledge**

The following information will be revealed at the conclusion of this study:

• This study will offer an innovative secondary copper resource at a low cost. One that can affordably act as a metal fller for epoxy resin. This secondary resource, which includes copper and aluminum, is unusual because it has never been employed as a metal fller for epoxy resin.

- Additionally, a brand-new compositional ratio of adhesive to waste metal dust will be made available. In the design of wave energy converters, this compositional percentage has not been used as a structural material. However, the ideal fller to adhesive ratio is a new discovery.
- The combined waste metal dust and epoxy-resin composite's seawater degrading behavior have not before been documented in the literature; consequently, its novelty.

## **9.9 Ethical Considerations**

This project has no unethical components.

### **9.10 Dissemination**

The full paper will also be published in the related conference proceedings. The results will be presented at regional and worldwide conferences. The following journals, recognized by the Department of Higher Education and Training, will publish more fndings:

- Journal of Materials Science. Springer publishers.
- JOM Journal. Springer publishers.

### **9.11 Budget (Table 9.13)**

Items	Cost	Source
Literature sourcing and stationaries	<b>XXX</b>	<b>XXX</b>
Materials and supplies	XXX	<b>XXX</b>
Analytical equipment	<b>XXX</b>	<b>XXX</b>
Traveling expenses	<b>XXX</b>	<b>XXX</b>
Miscellaneous expenses	<b>XXX</b> XXX	
Total	<b>XXXXX</b>	

**Table 9.13** Estimated budget of the project

Key: *TUT* Tshwane University of Technology, *R* Rand

### **9.12 Time Frame (Table 9.14)**

		Year	
S/N	Task name	2020	2021
	Proposal (compilation and presentation)	<b>WIP</b>	<b>WIP</b>
	Literature review	<b>WIP</b>	<b>WIP</b>
3	Material sourcing	<b>WIP</b>	<b>WIP</b>
4	Sample preparation (sampling)	<b>WIP</b>	<b>WIP</b>
6	Fabrication of test samples	<b>WIP</b>	<b>WIP</b>
	Mechanical tests on filled and unfilled samples in air	<b>WIP</b>	<b>WIP</b>
8	Mechanical tests on filled and unfilled samples in seawater	<b>WIP</b>	<b>WIP</b>
9	Results, data and analysis	<b>WIP</b>	<b>WIP</b>
10	Optimum predictive model development	<b>WIP</b>	<b>WIP</b>
11	Finite element simulation	<b>WIP</b>	<b>WIP</b>
12	Compilation and presentation of final report	WIP	<b>WIP</b>

**Table 9.14** Estimated time frame of the project

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# **Chapter 10 Aircraft Engine Fan Blade Design: Impact Tolerance Prediction of Partially Filled 3D Printed Aluminum, Titanium, and PEEK-Filled Waste Metal Dusts**



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### **10.1 Introduction**

The gross domestic product (GDP) contribution of the air transport sector in South Africa (SA), comprising airlines and its supply chain, is estimated at US \$5.2 billion (GDP). An additional US \$4.3 billion, or US \$9.4 billion, of the nation's GDP is supported by international visitor spending [[1\]](#page-261-0). In order to maintain competitiveness in the global tourism industry, further technological developments in aircraft operation are motivated by this support, which helps to increase SA's GDP growth. And as a result of this need for further technological development in operational aircraft, opportunities are formed for the discovery of new materials, unique design concepts, and the production of engineering components [\[2](#page-261-0), [3](#page-261-0)].

Cheap structural ceramics, such as the Mullite Rich Tailings (MRT) from density separation of copper smelter dust [\[4](#page-261-0), [5\]](#page-261-0), will undoubtedly fnd a position to play a signifcant part in the consequent technological developments in the aerospace industry [\[6](#page-261-0)] by taking use of these prospects. The use of ceramic matrix composites in the hot section of engines has recently gained increased support from aircraft

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D. O. Okanigbe, A. P. Popoola (eds.), *Resource Recovery and Recycling from Waste Metal Dust*, [https://doi.org/10.1007/978-3-031-22492-8\\_10](https://doi.org/10.1007/978-3-031-22492-8_10)

engine manufacturers, in line with this school of thought [[7, 8](#page-261-0)]. Mullite ceramics are capable of operating at temperatures of up to  $1600 \degree C$  and have a high thermal shock, claim Zhao et al. [[9\]](#page-261-0), Lee, Zhu and Lima [\[10](#page-261-0)], and Suleimanov et al. [[11\]](#page-261-0). These characteristics make this structural material more appealing for aerospace applications than standard materials; in particular, because it reduces weight, needs less cooling air, and as a result helps to lower fuel consumption and increase aircraft performance [\[10](#page-261-0), [12](#page-262-0)].

However, Padture [\[13](#page-262-0)] asserts that it is doubtful to fully utilize the capabilities of MRT to simply replace metallic or polymer components in existing propulsion systems, such as the aircraft engine fan blade (Fig. 10.1), with mullite ceramics (e.g., MRT). The processing and production of components using these novel composites [\[14](#page-262-0)] will also continue to be difficult [[15\]](#page-262-0), but they offer a fertile ground for innovation, thus they must be included in the endeavor  $[16]$  $[16]$ . For example, using impact tolerance of partially flled 3D printed turbine component as a function of varied infll densities, will need to be developed in order to account for the excessive cost of extensive component-level testing under realistic engine conditions [[17–19\]](#page-262-0). Additionally, reliable physics [\[20–22](#page-262-0)] and mechanisms-based models [[23\]](#page-262-0) that describe the behavior of the constituent MRT [[13,](#page-262-0) [24,](#page-262-0) [25\]](#page-262-0), the composites [[26–28\]](#page-262-0), and the component at temporal scales [[29\]](#page-262-0).

In order to coordinate the operations of the composites, i.e., of reinforcement (MRT) and matrix (metal or polymer), while embracing and utilizing the growing complexity at temporal scales, it will be necessary to design aircraft components with distributed systems. Noting that achieving necessary qualities inside composites that cannot be satisfed by existing materials will be necessary for the expedited development of new MRT reinforcing.



Fig. 10.1 Picture of the Boeing 747's engine with its fan blades. (Source: Google image)

The process must be put through an integrated design-modeling-experimentmanufacturing strategy, which should span composites-3D printed componentssystem hierarchy, in order to test these desired qualities. A strategy that, for accelerated development, should embrace integrated computational materials engineering and the materials genome initiative principles. By doing this, the MRT's full potential can be realized.

Furthermore, a thorough understanding of material cost savings associated with 3Dprinting aircraft components is necessary to fully realize MRT's potential for creating composites for aerospace applications. During the manufacturing of aircraft components using composite materials, materials' cost savings can be realized by optimizing 3D process parameters (especially infll density) to get the best percentage material content [\[30–32](#page-262-0)].

There is no need to fll the interior of 3D printed components, according to Moradi, Meiabadi, and Kaplan [\[33](#page-263-0)], because the optimized specimen has better mechanical properties, a lighter part weight, a faster build time, and lower production costs than the flled specimen. Consequently, fuel consumption was decreased as a result of the lighter components made under these circumstances.

It is crucial to research the possibilities of using MRT as reinforcement in the development of aerospace materials for the production of 3D printed engineering components. More specifcally, how this waste can further improve material cost savings and produce higher mechanical qualities like impact tolerance. This idea is supported by the proposed study, which tries to optimize the infll density of 3D printed turbine blades and choose the best construction capable of withstanding the highest expected loads with the least amount of material and production time.

### **10.2 The Problem Statement**

The degree to which specifc process parameters like time and material are managed can determine whether the impact tolerance of 3D printed components is acceptable or unwanted. The best conditions, approach, and/or requirements have not yet been discovered to produce the best impact tolerance of partially flled 3D printed components from a combination of all the characteristics. The goal of maximizing proft in the aerospace industry is defeated since, in order to obtain optimum tensile strength of a 3Dprinted turbopump component, an infll is frequently 100% flled. This implies greater expenses (i.e., in terms of time and material) and heavier components.

**Sub-problem 1** What are the main factors affecting the 3D printing process?

This will specify the components of the 3D printing procedure necessary to generate results of material advantages to be assessed for optimization. When changed, these components, also known as infuential parameters, have a signifcant impact on the output and defne the functionality and output of the 3D print process.

#### **Sub-problem 2** What are the optimization objectives?

This forces the researcher to establish design goals in the form of objectives, the anticipated outcomes of the 3D printing process, and which elements of these outputs should be maximized and which elements should be minimized. In order to achieve the overall goal of increasing the impact tolerance of partially flled 3D print components, this will help determine what outcomes need to be traded off in exchange for gains on other sides, what properties should increase and what properties must decrease, what beneficial aspects need to be strengthened and what harmful aspects need to be weakened.

**Sub-problem 3** What strategy will be used to model the problem and improve the model simulation's outcomes?

**Sub-problem 4** Which set of ideal parameters best addresses the research problem's numerous objectives?

This study's optimization deliverable is a multiobjective problem with multiple potential solutions rather than a single ideal one. In order to achieve the optimal combination or sets of combinations, we must now solve the problem of defning the amount of weights and impact coeffcients to be assigned to the various subobjectives, designating them in order of importance while limiting those with unclear possibilities.

### **10.3 Research Hypotheses**

For this study, the following tentative assumptions have been implemented to serve as the foundation for addressing the key questions which this research presents.

**Hypothesis 1** The following elements comprise the parameters for this optimization problem that affect the anticipated result of the 3D print:

- (a) 3D print attributes
	- Speed
	- Size
	- Accuracy
	- Durability
	- Material
- (b) Factors defning material behavior
	- Temperature
	- Pressure
	- Strain rate
	- Material content (% infll)
- (c) Mechanical component tolerance
	- Size
	- Shape
	- Volume

**Hypothesis 2** The results of an impact tolerance test on a partially flled 3D component are induced tensional residual stresses, material hardening, and uniaxial yield stress as a result of brittle deformation, therefore optimization goals must be specifed for all three results. These consist of:

- Tensional residual stress maximization
- Reduced material hardening
- Reduced uniaxial yield stress as a result of brittle deformation

**Hypothesis 3** Impact tolerance of a 3D print can be modeled as short, intense pressure pulses from an outside item that collide and transmit energy to the material. The primary factors governing the transfer of energy can be quantitatively modeled and evaluated using fnite element material modeling. In addition to ABAQUS, the parametric optimization software tool Isight can fnd the best combinations that will cause the 3D printing process to deliver the desired response(s).

**Hypothesis 4** It is anticipated that the optimization process will produce more than one feasible solution. In this scenario, the designated sub-objectives can be iterated with respect to the various responses they produce, with weights and scaling factors arranged in order of importance and impact. Using a decision-making matrix, a set or sets of multiple combinations of the best solutions can then be obtained.

## **10.4 Research Objectives**

## *10.4.1 Main Objectives*

The main objectives of the proposed research study are to predict the impact tolerance of a 3D printed turbine component that is partially flled and to identify the subsequent best infll.

## *10.4.2 Sub-Objectives*

The primary goal of this study will be accomplished by simulation and experimental model validation. The following set of supporting goals will help to attain this main goal:

- 1. The creation of a numerical turbine model using fnite element analysis (FEA).
- 2. Examine the impact tolerance of the turbine developed at various infll levels  $(i.e., 20\%, 25\%, 30\%, 35\%, 40\%, 45\%, 50\%, 55\%, 60\%, 70\%, 75\%, 80\%, 85\%$ as well as the impact on the deformation pattern (Plastic or brittle).
- 3. To experimentally check the consistency of the FEA model as well as to validate it analytically using the moment of inertia methodology.
- 4. Last but not least, suggest the ideal infll for a 3D printed turbine component that will conserve both time and material.

### **10.5 The Assumptions**

To optimize the infll density of the 3D printed turbine blade and choose the best structure able to handle the highest expected loads with the least amount of material and manufacturing time, fnite element analysis will be used. The study and resolution of the issue will be conducted under the following set of presumptions in order to do this:

- 1. The foreign object strikes the turbine blade at an angle that is normal to its surface.
- 2. The entire procedure conserves energy.
- 3. Each disk's blades are permanently fastened to the disk and have the same characteristics.
- 4. Radial displacement and torsion are disregarded because it is presumed that the blade angle motion is minimal.
- 5. The ith fan blade's motion consists of out-of-plane motion in the axial direction of the rotor and in-plane motion along the circumferential direction of the disk.
- 6. Homogeneous and isotropic mechanical characteristics of unmixed material, metal matrix composites, and polymer matrix composites will be assumed.
- 7. Unmixed materials, metal matrix composites, and polymer matrix composites are assumed to act linearly ideal elastically, which means that the law of linear elasticity is applied to each individual layer.
- 8. The apparent-level compressive modulus (*E*c) measured experimentally and combinations of infll densities will be used to represent the unmixed material, metal matrix composites, and polymer matrix composites as a continuum (20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%).
- 9. The hypothesis excludes faws like fractures and air bubbles.
- 10. It is assumed that the layers formed by the particle and matrix composition are orthotropic and properly adhered to one another.
- 11. Because the particles are not evaluated separately from the matrix or the binder layer, interface effects are disregarded.
- 12. The various layers are perfectly connected to one another. When loads are applied, it is presumed that relative slip won't happen.

## **10.6 The Project Deliverables**

When this research study is finished, it should produce the following results:

- 1. A fexible, fnite element-based model that has been experimentally verifed can be used to forecast the impact tolerance of a partially flled 3D printed turbine component.
- 2. A process that can be used to increase the impact tolerance of a 3D printed, partially flled turbine component.
- 3. Identifcation of the optimal process parameter combinations that infuence the outcomes of 3D printing methods.

## **10.7 Importance of Study**

This study's importance rests on:

## *10.7.1 Benefts to the Academia, Research, and Development*

- 1. In order to maximize benefts and reduce manifestation of side effects of the surface treatment process, the research aims to examine and provide answers regarding how, where, when, and which ways laser peening should be employed in the surface treatment of LP steam turbine blades.
- 2. It offers methods for methodically and nondestructively enhancing the mechanical characteristics of turbomachinery components in an effort to increase reliability, cut downtime, lower the likelihood of in-service failure, and extend the useful life of steam turbine blades.
- 3. The results of this study will be helpful for improving material tensional residual stress in a variety of production and manufacturing applications, including the power, energy, production, oil and gas, aviation, and automotive industries, where turbopump machinery is a crucial component of operations and production.
- 4. Finally, the research's uniqueness may lead to a patent, which would add to the body of knowledge by encouraging other studies into asset integrity management and additive manufacturing. When this research is fnished, journal articles in reputable, high impact journals and presentations at national and/or worldwide peer review conferences are also promised results.

## *10.7.2 Benefts to the Industry*

The results of this research will provide the industry with the following advantages in addition to the other two listed above:

- 1. The creation of best practice recommendations for 3D printing parts in industry to remain compatible with the systems of the fourth industrial revolution.
- 2. Designs can be strengthened where they are weak without necessarily adding weight or substance.
- 3. Decrease in equipment downtime, inspection time, and maintenance expenses as a result of replacing faulty components while they were still operating.
- 4. A signifcant decrease in the amount of time needed to complete 3D printing tasks on plant and equipment parts during maintenance sessions. Prolonging the equipment's overall usable life.
- 5. Enhanced industrial and power plant operation and maintenance effciency.
- 6. Increased guarantee of the components used in industry's safety and quality.

### *10.7.3 Benefts to South Africa*

The national development goals of energy security and innovation to commercialization are perfectly aligned with this research. Therefore, the research output will contribute to a greater South African society in addition to benefting academics and business, and it will be highly appealing to the goals of the government of the republic of South Africa in the following areas:

- 1. Decrease in the price of producing electricity
- 2. Improved industrial and power plant operation and maintenance effciency
- 3. Reduction in the likelihood that components of operating industrial or power plants would collapse catastrophically
- 4. Increased assurance of the components' quality used in industry

Creation of test models and protocols to certify and accredit replacement component suppliers for industrial application.

### **10.8 Overview of the Study**

**Chapter 1** Acts as the study's introduction. It gives the study background, the problem statement, the hypotheses, the research technique, the assumptions, the delimitations, the project planning, and the research project's fnancial budget. Additionally, the dissertation's whole chapter-by-chapter research project outline is provided.

**Chapter 2** Consists of a study of the literature done on blade containment difficulties.

This covers relevant literature found in the standards as well as earlier studies, books, conference reports, and more. This chapter will also include further studies on the actual experimental setup, tools, and equipment.

**Chapter 3** Introduces mathematical modeling of phenomena pertinent to the study's goals.

**Chapters 4 and 5** Focus on developing material models and a numerical model to predict impact tolerance using ABAQUS FEA software.

**Chapters 6 and 7** ABAQUS's complementary parametric optimization software tool Isight will be used to optimize the impact tolerance simulation's key parameters in order to fnd the best combinations that will lead to the impact tolerance process's desired response or responses.

**Chapter 8** Includes a description of the experimental characterization, which will cover the actual setup, analysis, and results tabulation. The experiments will be carried out when the physical testing specimen has been prepared.

**Chapter 9** Presents the research's overall fndings and results, along with any comparisons and explanations of the fndings.

**Chapter 10** Broad conclusions about the work, acknowledges unresolved issues, and makes suggestions for future work that can be done. This chapter will also include advice on how to deal with the underlying issue.

### **Chapter Summary**

The reader is introduced to the research study in this chapter, which also emphasizes the key reasons why it should be pursued. This part of the research project also includes the problem statement, project objectives, research methodology, and study scope. The background and literature review of this study effort are presented in the following chapter. It comprises the theoretical information required to comprehend this research endeavor and its relevance by making use of prior research.

## **10.9 Literature Review**

## *10.9.1 Introduction*

Short lead times for product development without sacrifcing the quality of the developed part are required by modern production processes. Rapid prototyping (RP) is one of the unique manufacturing techniques and materials that have been developed as a result of this [[34–38\]](#page-263-0). Additive manufacturing, often known as 3D printing, refers to procedures that manufacture objects by depositing material layer by layer without the need for fnishing, as with traditional manufacturing processes.

Using only the fabrication machine, additive manufacturing enables the direct production of intricately structured items from their computer-aided design (CAD) models. Low maintenance costs, easy material swapping, a cool working environment, supervision-free operation, and small size are some of this technique's primary benefts [[39\]](#page-263-0). The typical method for product development among manufacturers is now 3D printing [\[40](#page-263-0), [41](#page-263-0)]. Production in a computer-integrated industrial environment is facilitated by 3D printing.

Manufacturing processes can be signifcantly improved by integrating 3D printing and concurrent engineering [\[42](#page-263-0)]. Fused Deposition Modeling, or 3D printing, is the most popular method (FDM). This method involves layer-by-layer application of melted flament, often made of Acrylonitrile Butadiene Styrene (ABS) or polylactic acid (PLA), which fnally cools to create the solid object. The plastic flament is melted by an extruder that may move in the x-y plane and is then deposited on a bed that moves in the z direction to create the fnal 3D item.

FDM models reduce waste and make the process environmentally benign because they can be recycled [\[43](#page-263-0)]. The unique feature of the FDM method is its capacity to locally regulate the mechanical, density, and porosity characteristics of the created object [\[44](#page-263-0)]. FDM is a technique that can be used to create functioning items in addition to prototypes. The FDM needs to be improved in several ways in order to be used as a manufacturing tool. These include improved surface polish, tighter tolerances, and better dimensional control. Additionally, a wider variety of polymers should be available for usage, and prototyped parts' mechanical qualities should be improved to preserve their integrity while in use [\[45](#page-263-0)].

Contrary to the majority of manufacturing processes, the values of process parameters in additive manufacturing techniques can often be more important than the characteristics of the part material. Different sets of process settings will result in completely different attributes for parts with the same shape, such as strength [\[46](#page-263-0), [47\]](#page-263-0) or precision [\[48](#page-263-0)]. Each set of process variables, including bed temperature, layer thickness, infll pattern, and infll density, will result in a unique component structure, which will in turn provide unique mechanical property values.

Consequently, the papers under the following subheadings will be evaluated in this review section:

- 1. A review of publications on the impact of raster orientation and air gap on mechanical properties of 3D printed components.
- 2. A review of articles on how the mechanical qualities of 3D printed components are affected by printing speed, binder characteristics, infll rate, and layer thickness.
- 3. An analysis of papers examining the impact of PEEK use against ABS on the mechanical characteristics of 3D printed components.
- 4. An analysis of research on the impact of the infll pattern and density on the mechanical characteristics of 3D printed components.

### *10.9.2 Review of Publications: Present and Past*

#### **10.9.2.1 Review of Articles on the Impact of Infll Density on the Mechanical Characteristics of 3D Printed Components**

Using the Taguchi approach, Abbas et al. [[49\]](#page-263-0) assessed the tensile, compressive, and bending strength of polylactic acid (PLA) samples by adjusting the infll density and other process variables. With increasing infll density, a rise in strength was seen. While cutting back on the infll density, printing time was signifcantly reduced.

The same scenario played out as an increase in infll density led to an increase in tensile strength in a related study by Srinivasan et al. [\[50](#page-263-0)]. According to the authors, an infll density that yielded a tensile strength of 17.38 MPa at 100% infll produced a tensile strength of 32.12 MPa.

By adjusting the infll density and pattern, Ebel and Sinnemann [\[51](#page-263-0)] created PLA and ABS samples. It was determined that PLA samples had greater tensile strength than ABS samples. Additionally, samples that were fully flled were stronger than those that were partially flled.

This line of reasoning was documented in the study by Tanveer, Haleem, and Suhaib [[52\]](#page-263-0), not only for the impact strength but also for the tensile strength of 3D printed PLA parts. In their research, all of the FDM process parameters were held constant while the infill density was changed (A =  $100\%$ , B =  $75\%$ , C =  $50\%$ ). According to the combinations listed in Table 10.1, a total of nine kinds of specimens were claimed to have been created. Following are the results of this study's fndings:

- 1. That substantially less raw material can be used by stacking layers with various infll densities.
- 2. That by keeping the infll density of inner layers dense and the infll density of outer layers less dense, the tensile strength of the 3D printed PLA part can be improved. These arrangements, according to the authors, give resistance to crack development while fostering fexibility in the interior structure.

	Classification $(C)$	Infill density			
S/N		Outer layer	Inner layer	Outer layer	
	А	100	100	100	
2	B	75	75	75	
3	C	50	50	50	
$\overline{4}$	ABA	100	75	100	
5	<b>BAB</b>	75	100	75	
6	ACA	100	50	100	
	<b>CAC</b>	50	100	50	
8	<b>BCB</b>	75	50	75	
9	<b>CBC</b>	50	75	50	

**Table 10.1** The way the infll density is organized

C	Izod strength $(KJ/m2)$	Weight $(g)$	Charpy strength $(KJ/m2)$	Weight $(g)$
A	4.2	24.23	4.75	12.12
B	2.9	20.02	3.45	9.87
$\mathcal{C}$	2.42	17.42	2.75	8.80
ABA	1.7	19.14	3.00	10.84
<b>BAB</b>	1.85	18.57	3.75	10.12
<b>ACA</b>	2.58	19.82	2.90	9.88
<b>CAC</b>	3.15	17.50	3.50	9.11
<b>BCB</b>	2.75	22.70	1.90	9.84
<b>CBC</b>	3.54	21.35	2.10	9.27

**Table 10.2** The specimen's weight and impact resistance

- 3. For the impact test, the infll density and impact strength have a linear relationship, as shown in Table 10.2. Impact strength was found to decrease when different infll densities were mixed.
- 4. Unlike in the case of tensile strength, impact strength rises when the inner layer has a higher infll density and a lower density outer shell.

The observations made by Abbas et al. [[49\]](#page-263-0), Srinivasan et al. [[50\]](#page-263-0), and Ebel and Sinnemann [[51\]](#page-263-0) were also made in a different study by Gunasekaran et al. [[53\]](#page-263-0). According to the authors, the mechanical characteristics of PLA printed specimens improved as infll density rose from 25% to 100%. The hardness, tensile strength, impact strength, and fexural strength values for the PLA specimen printed with a 100% infll density were 97 HRC, 53 MPa, 70 J/m2 , and 53 MPa, respectively. The authors suggested using PLA specimens produced with a 100% infll density to create components for a variety of applications in light of their fndings.

But according to the research by Moradi, Meiabadi, and Kaplan [[33\]](#page-263-0), there is no need to fll the interior of 3D printed parts because the optimized specimen has better mechanical properties, a lighter part weight, requires less time to build, and costs less to produce overall than the flled specimen.

#### **10.9.2.2 A Review of Publications on Selective Laser Melted Aluminum Alloys for Development of Aerospace Components**

Aluminum is frequently combined with Zn, Cu, Mg, Mn, and Si to create agehardening alloys, casting alloys, and work-hardening alloys, as indicated in Fig. [10.2](#page-251-0) [\[54](#page-263-0)[–57](#page-264-0)] according to AtiK et al. [[54\]](#page-263-0). In this review, the emphasis is on the mechanical properties of aluminum-silicon (Al-Si) alloys produced using the metal additive manufacturing technology of selective laser melting (SLM).

Due to their castability and weldability, Al-Si alloys are frequently suited for SLM processing. According to fndings in the literature [\[59–63](#page-264-0)], AlSi10Mg and AlSi12 are the most often used SLM aluminum alloys. These publications describe

<span id="page-251-0"></span>

**Fig. 10.2** Important aluminum alloys. (Adapted from Altenpohl [\[58\]](#page-264-0))

the four basic types of Al-Si aluminum alloys and the classifcation of SLMprocessed aluminum alloys in terms of process optimization.

These publications provided an orderly criticism of several types of investigations into the mechanical characteristics of SLM aluminum parts. It is noted that the majority of these papers have focused on the tensile mechanical characteristics of SLM aluminum alloys. A relative few research have been done on the dynamic behavior of 3D printed components in tension and compression [[59–61,](#page-264-0) [64,](#page-264-0) [65\]](#page-264-0), fatigue [[66,](#page-264-0) [67](#page-264-0)], impact tolerance [[62,](#page-264-0) [68\]](#page-264-0), wear [\[69](#page-264-0), [70](#page-264-0)], and fexural response [\[63](#page-264-0), [71](#page-264-0)].

#### **10.9.2.3 An Analysis of Articles on the Use of Selective Laser Melting to Create PEEK for Aerospace Components**

Polyetheretherketone (PEEK) is increasingly being employed in selective laser sintering (SLS), as indicated by Patel et al. [[72\]](#page-264-0), due to its good mechanical and thermal properties as well as biocompatibility. It is known that SLS powder's qualities control how well components can be processed and produced. However, there is still more research needed to understand how this thermal history affects part quality. As a result, Patel et al. [\[72](#page-264-0)] used spectroscopic, morphological, and rheological characterizations to assess changes in thermally treated PEEK powder. Although the particle size and form of the melted PEEK powder were signifcantly raised in viscosity, with somewhat improved fowability, the authors observed that crystallinity rose only slightly within the frst 2 h.
Wang et al. [[73\]](#page-264-0) reaffirmed that PEEK is an engineering thermoplastic with outstanding biocompatibility and strong mechanical qualities, confrming the claim stated by Patel et al. [[72\]](#page-264-0). They agree that PEEK's high viscosity and high melting temperature pose diffculties for FDM. For the best surface quality and enhanced mechanical qualities, authors employed fnite element analysis (FEA) to simulate the melting conditions and fuidity of PEEK in a fow channel. For consistency in the outcomes for the mechanical characteristics, microstructure, and surface quality of printed PEEK parts, models were experimentally validated. Based on the results, the authors concluded that layer thickness of 0.1 mm, printing at a speed of 20 mm/s, and a higher heating temperature of 440  $^{\circ}$ C [[74\]](#page-264-0) can increase the density of PEEK parts while reducing internal defects, binding layers, and infll flaments, and surface roughness to the absolute minimum.

SLS is one of the cutting-edge additive manufacturing techniques that can construct the geometrically complicated structure from a three-dimensional CAD model, according to Wang et al. [[75\]](#page-265-0). PEEK is one of the materials that can be used for SLS and has drawn considerable interest because of its great characteristics [[72\]](#page-264-0). Thermally induced phase separation (TIPS), a new methodology was used by the authors to manufacture pristine PEEK and PEEK/CNT composite powders with nearly spherical shapes, desirable particle sizes, and size distributions for SLS applications. It was discovered that the powders created with TIPS had good fowability and processability. According to the results, PEEK's storage and loss modulus were both increased with the addition of 0.1% CNT.

#### **10.9.2.4 A Review of Works on Selective Laser Melting of Ti–6Al–4V for Use in Aerospace Parts**

The authors of Knowles, Becker, and Tait's studies [\[76](#page-265-0)] stated that SLM of Ti–6Al–4V has enormous promise in the aerospace and biotechnology sectors. The utilization of a concentrated laser beam using SLM to melt successive layers of metallic powder into intricate components was also disclosed by the authors. The authors claim that this method has the potential to generate signifcant residual strains caused by heat. They believe that at relatively modest cyclic stresses, these residual stresses, along with microfaws/pores from the underlying fabrication process, have the potential to produce premature fatigue fracture initiation and propagation. In order to ascertain residual stresses within SLM Ti–6Al–4V specimens and comprehend the underlying mechanisms for SLM Ti–6Al–4V's effective industrial application, the hole-drilling strain gauge method was used.

Beta Ti-alloys are most suited for applications requiring high fracture toughness and ductility, such as the aerospace and biomedical industries, as indicated by Madikizela, et al. [[77\]](#page-265-0). The authors also said that the aerospace sector has looked into SLM of the alpha + beta Ti–6Al–4V alloy in great detail. Despite the success in fabricating small parts, it is diffcult to build big parts with an acicular microstructure. Ti–6Al–4V has an acicular microstructure, which reduces its ductility and fracture toughness (10% elongation), causing components to distort and delaminate

from the base plate even before they are fnished because of stress buildup. This led the authors to investigate the microstructure and mechanical characteristics of twophase Ti–6Al–4V and beta titanium alloy Ti-38,644 in their as-built state. The fndings demonstrated that Ti-38,644 had a complete microstructure and lower strength than Ti–6Al–4V, which had a fne martensitic structure inside columnar grains. Ti-38,644 had three times the percentage elongation of Ti–6Al–4V, indicating the possibility of fabricating massive parts from it.

Yang et al. [\[78](#page-265-0)] studied the SLM-produced Ti–6Al–4V crystallographic pattern at various laser energy densities. The outcomes demonstrated that the mechanical anisotropy of SLMed Ti–6Al–4V samples is signifcantly infuenced by the crystallographic orientation dependent on laser energy density (LED). The SLMed Ti–6Al–4V samples have a completely martensite microstructure. With laser LED, the content of prismatic orientations rises from 101 to 269 J/mm<sup>3</sup>, while the proportion of basal orientations falls in martensites. The tensile properties of samples that were created horizontally and vertically differ, according to the authors, and this anisotropy is visible with LED. Higher Schmid factor values of grains in vertically built tensile samples than in horizontally built tensile samples are thought to be the cause of anisotropy.

#### **Conclusion on Literature Review**

*In order to identify the knowledge gap and research priorities from the perspective of aerospace applications, these review section analyzed various types of investigations on the mechanical properties of SLM aluminum, PEEK, and Ti–6Al–4V parts.*

*Conclusion: Although 3D printed airplane parts are frequently strong and lightweight, there is still a significant knowledge gap when parameters like infill density are set to 100% for maximum tensile strength of printed components. This knowledge gap is evident from the literature review.*

*Thus, the prediction of impact tolerance for a partially flled 3D printed turbine component became the study's main focus.*

#### **10.10 Research Methodology**

In order to address the highlighted problems, numerical and experimental methods will be employed.

#### *10.10.1 Material Study and Selection*

#### **10.10.1.1 Material Study**

Aluminum alloys, titanium alloys, thermoplastics, high-strength steels, nickel metal alloys, magnesium alloy, and composites are the most often utilized structural materials in commercial aerospace, and together they make up more than 90% of the weight of airframes.

#### **10.10.1.2 Material Selection**

#### Unmixed Material

Out of the aforementioned materials, three were identifed and chosen for this investigation. Table 10.3 lists these three materials along with their mechanical material characteristics.

#### Composite Materials

As particulate reinforcements to the pure materials (i.e., Aluminum 6082-T651 alloy, PEEK 1000, Ti–6Al–4V alloy), waste copper dust (WCD) and mullite-rich tailings (MRT) from density-separated WCD will be used.

These combinations of matrix and reinforcements will result in the following categories of composites (aluminum composites, PEEK composites, Ti–6Al–4V composites), which are depicted in Figs. 10.3, [10.4,](#page-255-0) and [10.5,](#page-255-0) respectively:

**Table 10.3** The mechanical material properties (MMP) of the aluminum 6082-T651 alloy, PEEK 1000

		Materials				
<b>MMP</b>	Units	Aluminum 6082-T651 alloy	<b>PEEK</b> 1000	$Ti-6Al-4V$ alloy		
Elastic modulus $(E)$	GPa	70 [79, 80]	3.6 [79]	107 [77]		
Density $(\rho)$	kg/ m <sup>3</sup>	2700 [79, 80]	1304 [79]	2560 [81]		
Poisson's ratio $(\nu)$		$0.33$ [79, 80]	$0.4$ [79]	$0.33$ [82]		
Coefficient of Kinetic friction $(\mu)$		$1.4$ [79, 80]	$1.0$ [79]	$0.25$ [83]		



**Fig. 10.3** Image of Aluminum 6082-T651-composites

<span id="page-255-0"></span>

**Fig. 10.4** Image of PEEK 1000-composites



**Fig. 10.5** Image of Ti–6Al–4V-composites

Aluminum 6082-T651-CSD and Aluminum 6082-T651-MRT composites, PEEK 1000-CSD and PEEK 1000-MRT composites, and Ti–6Al–4V-CSD and Ti–6Al–4V-MRT composites.

It will be decided what the MMP of the generated composites is, and that information will be utilized to fll out Table [10.4](#page-256-0) with data that will be used to simulate models for these various composites.

		<b>Materials</b>					
<b>MMP</b>	Units				4		6
Elastic modulus $(E)$	GPa	YTRD	YTRD	<b>YTBD</b>	YTRD	YTRD	YTRD
Density $(\rho)$	$k\text{g/m}^3$	<b>YTBD</b>	<b>YTBD</b>	<b>YTBD</b> YTBD		<b>YTBD</b>	YTRD
Poisson's ratio $(\nu)$		YTRD	YTRD	YTRD	YTRD	YTRD	YTRD
Coefficient of Kinetic friction $(\mu)$				YTRD	YTRD	<b>YTRD</b>	YTRD

<span id="page-256-0"></span>**Table 10.4** The mechanical material properties (MMP) of the aluminum 6082-T651-MRT, PEEK 1000-MRT, and Ti–6Al–4V-MRT composites

Key: Aluminum 6082-T651-CSD composite  $= 1$ ; Aluminum 6082-T651-MRT composite  $= 2$ ; PEEK 1000-CSD composite  $= 3$ ; PEEK 1000-MRT composite  $= 4$ ; Ti–6Al–4V-CSD composite  $= 5$ ; Ti–6Al–4V-MRT composite  $= 6$ ; YTBD  $=$  Yet to be determined

#### *10.10.2 Methods*

#### **10.10.2.1 Scaling and Similitude of Turbine Blade**

Utilizing engineering concepts, the simpler turbine blade will be scaled. An SGLformatted CAD turbine blade model will be created in order to accomplish this. The scaled turbine blade model and the real turbine blade will both have the same geometric similarity, kinematic similarity, and dynamic similarity as a result of the scaling and similitude operations. This is done in an effort to get the printer to manufacture a model of a turbine blade that resembles a real turbine blade. The following phase of the investigation will make use of this scaled model.

#### **10.10.2.2 Optimization in the Abaqus Environment Using TOSCA**

It is standard procedure to discover the ideal parameter (in this case, infll density) that satisfes the functional impact tolerance requirements after scaling and simulating the engineering structure (i.e., turbine blade). In this study, sizing optimization tools like SIMULIA Tosca Structure, which integrates optimization technologies in real-world engineering environments as an add-on module easily integrated into the existing Abaqus workflows as shown in Fig. [10.6,](#page-257-0) will be used instead of trial and error, which is a time-consuming and slow process.

The scaled turbine model from the earlier part will go through an optimization job where the objective function, or infll density, will be chosen and defned for minimization or maximization, and the corresponding restrictions and elements will be defned as design elements. Abaqus will be used for all setups and defnitions, with computer-aided engineering (CAE) used for preprocessing (Fig. [10.6](#page-257-0)).

The model is then automatically updated and adjusted using a robust nonlinear constrained optimizer based on sensitivities obtained using the semi-analytical adjoint method, and the optimization work is then fnished by an iterative process. The Abaqus solver will be used to resolve the adjoint equations as well as the fnite element equilibrium equations. The fnal model with optimum infll densities will

<span id="page-257-0"></span>

Fig. 10.6 Tosca sizing process workflow [\[84\]](#page-265-0)



**Fig. 10.7** (**a**) The Blade model and interactions, (**b**) Mesh refnement on the whole model: i.e., the turbine blade and the casing

be made available for the CAE postprocessing at the conclusion of the optimization operation.

#### **10.10.2.3 Impact Analysis in Abaqus Based on Tosca's Optimal Solutions**

Figure 10.7a depicts a simplifed blade model with dimensions of 22 mm in width, 37 mm in length, and 2 mm in thickness. The thickness can range from 2 to 5 mm. In this study, nine different blade materials—aluminum 6082-T651, PEEK 1000, Ti–6Al–4V, aluminum 6082-T651-CSD composite, PEEK 1000-CSD composite, Ti–6Al–4V-CSD composite, aluminum 6082-T651-MRT composite, PEEK 1000- MRT composite, and Ti–6Al–4V-MRT composite—will be impact tested on an aluminum 6082-T651 casing.

<span id="page-258-0"></span>Since a clearance distance of 1 mm is permitted between the blade and the casing in the design of an actual aircraft turbine blade, the interactions and predetermined loading will rely on the assembly. As shown in Fig. [10.7a](#page-257-0), the analysis of the casing will be fxed for this study, and the blade will be translated to the casing's center with a design clearance of 1 mm.

The actual design of the air engine will have a permissible clearance of 1 mm, whereas the clearance between the blade and casing will be 1 mm. With a time of 0.002 s, the analysis will be done in a dynamically explicit manner. This should provide researchers enough time to monitor the extent of damage the blade causes before it bounces off the casing and causes less damage. Due to the assumption that the blade is a freely detachable blade moving at 255 m/s, there won't be any boundary conditions for it.

It is anticipated that the impact zone of the casing will experience considerable deformation and subsequent elementary distortion as a result of the blade's ballistic impact. So, to obtain a particular mesh and performance, adaptive meshing will be applied (Fig. [10.7b](#page-257-0)). The stability of the nodes will be examined during a mesh quality check. By making the seeds smaller, a fner mesh will be created to increase the density of the elements. As a result, the partition's mesh size is 1 mm. As illustrated in Fig. 10.8, the mesh refnement will take place at the partitioned section. While the model's nodes and elements will have the following numbers at different





case thicknesses (2, 3, 4, and 5): Elements are 5406, 7668, 13,576, and 10,506 respectively, while nodes are 5442, 7612, 10,584, and 13,781 correspondingly.

As demonstrated in Fig. [10.8](#page-258-0), the bottom and top of the casing will be prevented from shifting and rotating in the x and y directions while being free to deform. Due to the assumption that the blade is detached from the hub, as was previously mentioned, the blade has free boundary conditions.

## **10.10.2.4 3D Printing of the Turbine Blade**

The simplifed turbine blade model that has been optimized and thoroughly examined will be divided into layers that overlap by  $35-50 \mu m$  to create the turbine blade components. The size of the material's particles will range from 20 to 55 μm. In this study, the turbine blades will be printed using the powder bed additive CSIR Selective Laser Melting (SLM) apparatus for further analysis.

### **10.10.2.5 Experimental Validation**

In order to verify the consistency of the Abaqus results, an experimental impact study will be performed utilizing the Charpy impact tester on the printed optimized/ analyzed simplifed turbine blade model.

## **10.10.2.6 Measurements and Microstructural Analysis of Damaged Samples**

Scanning Electron Microscopy (SEM)

With the help of scanning electron microscopy (SEM) in the FEI model Inspect F-50 operating in both secondary (SE) and backscattered (BSE) electron modes, it will be possible to determine the spatial distribution of the CSD and MRT in the matrices (Aluminum, PEEK, Ti–6Al–4V alloy), as well as the degree of damage to both unreinforced and reinforced materials. It will be furnished with a Link Analytical ISIS system for elemental analysis, both qualitative and quantitative (EDX). To supplement SEM characterization, a RayScan model 250E computed x-ray microtomography investigation was performed.

Electron Backscatter Diffraction (EBSD)

To evaluate the deformation caused in the unreinforced and reinforced materials in terms of potential phase change, recrystallization, strain hardening, and deformation texture, EBSD will be carried out using a Zeiss model Ultra 55 microscope ftted with an Oxford detector.

X-Ray Diffraction (XRD)

X-ray diffraction (XRD) analysis will be performed in addition using Panalytical's X Pert PRO equipment. Since creating the reinforced composites depends on creating the samples, rigorous procedures will be taken into account at this point.

# **10.11 Project Plan and Financial Budget**

## *10.11.1 Project Plan*

The layout and timeline for the proposed study can be seen in Table 10.5.

## *10.11.2 Financial Budget*

Detailed in Table [10.6](#page-261-0) is the estimated budget for the proposed study.

		Year	
S/N	Task name	<b>XXXX</b>	<b>XXXX</b>
1	Registration	WIP	WIP
$\overline{2}$	Development of research topic	WIP	WIP
3	Development of research proposal and approval	WIP	WIP
$\overline{4}$	Chapter 1	WIP	WIP
6	Chapter 2	WIP	WIP
7	Chapter 3	WIP	WIP
8	Chapter 4	WIP	WIP
9	Chapter 5	<b>WIP</b>	WIP
10	Chapter 6	WIP	WIP
11	Chapter 7	WIP	WIP
12	Chapter 8	WIP	WIP
13	Chapter 9	WIP	WIP
14	Chapter 10	WIP	WIP
15	Colloquium	WIP	WIP
16	Thesis defence	WIP	WIP
17	Production of final dissertation	WIP	WIP
18	Submission	WIP	WIP

**Table 10.5** Layout and timeline for the proposed study

			Cost
S/N	General items	Description	(ZAR)
	Tuition fees	Registration (DEng)	X
		Deng fees	X
2	Study materials	Journals	X
3	Experimentation and model	Component from Aluminum, PEEK, and	X
	manufacture	Ti-6Al-4V materials and FEA software	
$\overline{4}$	Instrumentation	Data recording instruments, turbine blade parts	X
		for impact tolerance measurement	
	Submission cost	Report binding for at least four copies	X
	Grand total		X

<span id="page-261-0"></span>**Table 10.6** Estimated budget for the proposed study

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# **Part VI Preparation and Characterization of Hydrotalcite-Derived Material from Mullite-Rich Tailings**

# **Chapter 11 Preparation and Characterization of Hydrotalcite-Derived Material from Mullite-Rich Tailings (I): Transesterifcation of Used Cooking Oil to Biodiesel**



**Daniel Ogochukwu Okanigbe and Shade Rouxzeta Van Der Merwe** 

## **11.1 Introduction**

The interest in renewable fuels for internal combustion engines has signifcantly expanded due to environmental worries about climate change and global warming. Furthermore, fnding alternative fuels is necessary to meet the current global energy demand because fossil fuels are running out day by day. As a result, biodiesel has emerged as a very desirable alternative fuel for diesel engines since it has less negative environmental consequences and has a smaller impact on acid rain and greenhouse gas emissions.

The usage of biodiesel is regarded as favorable in comparison with that of diesel fuel because of these features, as well as its biodegradability, largely sulfur-free nature, and aromatic nature [\[1](#page-288-0)]. In order to produce the necessary fatty acid methyl esters (FAME) and glycerol as a by-product, vegetable oils are transesterifed with methanol in the presence of a catalyst to produce biodiesel, which is the most popular biofuel in Europe [[2\]](#page-288-0).

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The most widely used technology favors the employment of homogenous basic catalysts that have been dissolved in methanol, primarily NaOH or KOH [[1\]](#page-288-0). Although a homogeneously catalyzed biodiesel synthesis can achieve high conversion rates and is reasonably quick, there are some signifcant limitations when the starting material has a high acidity [[3\]](#page-288-0). At the conclusion of the reaction in this instance, the catalyst must be neutralized and removed from the methyl ester phase, resulting in the production of a sizable amount of waste water [[4\]](#page-288-0).

The formation of soaps as well as the separation and purifcation of biodiesel may be minimized by the use of heterogeneous catalysts [[5,](#page-288-0) [6](#page-288-0)]. Furthermore, the application of heterogeneous catalysts could signifcantly lower the price of biodiesel while dramatically simplifying the process of product post-treatment. Different approaches have been suggested, including the use of solid catalysts for biodiesel generation, such as basic zeolites, alkaline earth metal oxides, and hydrotalcites (HT) among others [\[7–9](#page-288-0)], provided that the higher the raw material acidity, the lower the conversion effciency [[3\]](#page-288-0). Zeolites are superior to other heterogeneous catalysts in many ways; however, their catalytic activity in transesterifcation reactions using a pure mixture of fatty acids only reached 92% conversion, which is less than expected [[10\]](#page-288-0). Owing to its promising performance, HT has been employed as a catalyst precursor [\[11](#page-289-0)].

Hydrotalcites (HT) are brucite-like octahedral-layered anionic materials with di and trivalent metal ions commonly referred to as layered double hydroxide (LDH) [\[12](#page-289-0), [13](#page-289-0)]. Recently, HT are being investigated in the process of transesterifcation as catalyst in the production of biodiesel [\[14–16](#page-289-0)], although there is the existence of other types of catalyst, HT, as mentioned earlier has gained popularity because of their ease of separation, requirement of mild condition, ease of regeneration, reusability, hence affordable [\[17](#page-289-0)].

The most effective method for producing HT is co-precipitation, which involves mixing sodium carbonate solution with a mixed-metal solution that has been dissolved in deionized water. Sodium hydroxide solution is used to maintain a consistent pH. Nevertheless, it costs a lot of money to make HT from pure chemicals. A situation that has prompted research into developing cost-effective approaches for producing HT [[13,](#page-289-0) [18\]](#page-289-0), particularly from waste materials [[19\]](#page-289-0). An example was presented in the work of Gil et al. [\[19](#page-289-0)], when they produced HT from saline slag wastes. Using a refux system over a 2-hour period, saline slags were chemically treated with 2 mol/dm<sup>3</sup> aqueous solutions of NaOH. With the help of cobalt, magnesium, and nickel nitrates, as well as  $Na<sub>2</sub>CO<sub>3</sub>$ , aluminum aqueous solutions were employed as precursors to create HT materials with two different mole  $M^{2+}/Al^{3+}$ ratios, 2:1 and 4:1.

Despite efforts like the one mentioned in the paragraph before, costs associated with producing biodiesel are still being driven by raw materials [\[20](#page-289-0)]. The availability and price of the raw material have a major role in the choosing. Using edible oil to make biodiesel could potentially reduce the amount of oil available as food for both people and farm animals [[2\]](#page-288-0). Hence, another laudable approach to minimizing the cost of biodiesel production will be the use of waste cooking oil/fat (which typically shows high acidity) which has been examined as a feedstock for biodiesel production [\[21](#page-289-0)].

To the best of my knowledge, not much research has described the usage of HT from metallurgical wastes to enhance the transesterifcation of WCO to biodiesel. In one similar study, Brito et al. [\[22](#page-289-0)] converted WCO into biodiesel using as-received HT and heat-treated HT. After, following an 8-hour reaction period, Hernandez et al. added up to 10% salt to heat-treated HT and observed a favorable response from the catalyst [[23\]](#page-289-0).

Premised on introduction leading to this point, the following subsequent aspects of this proposal report: problem statement, research objectives, research hypothesis, signifcance of study, literature review, and methodology, will elucidate the essence of this proposed research.

### **11.2 Problem Statement**

Despite the potential of employing other sources, such as producing biodiesel from waste and biological sources  $[24–26]$  $[24–26]$ , South Africa is still dealing with issues that prevent the biodiesel business from taking off. These include the following:

- 1. A lack of innovative activities that could assist in bringing down the cost of biodiesel to a reasonable level.
- 2. Choosing feedstocks that might potentially greatly reduce the overall cost of biodiesel manufacturing in South Africa is a difficult task.
- 3. It costs a lot of money to make HT from pure chemicals.

## **11.3 Research Objectives**

#### *11.3.1 Main Objective*

Preparing and characterizing a hydrotalcite-derived material from mullite-rich tailings for the transesterifcation of waste cooking oil into biodiesel will be the main objective of this study.

#### *11.3.2 Sub-objectives*

The following sub-objectives will help to achieve the main objectives:

- 1. Preparation of edible cooking oil and WCO for transesterifcation
- 2. Characterization of edible cooking oil and WCO
- 3. Preparation and characterization of the waste copper dust (WCD)
- 4. Thermodynamic modeling of WCD oxidative roasting
- 5. Experimental oxidative roasting of the WCD
- 6. Density separation of WCD for production of MRT
- 7. Optimization of hydrotalcite production from MRT for the following:
	- (i) Catalyst loading on catalyst activity.
	- (ii) Molar ratio of methanol to oil on catalyst activity.
	- (iii) Reaction time on the catalyst activity will be investigated to optimize transesterifcation conditions.
- 8. Characterization of the produced biodiesel for the following:
	- (i) Thermal stability
	- (ii) Physical properties
	- (iii) Isoconversional models

## **11.4 Research Hypotheses**

Premised on the proposed scientifc idea, the following can be hypothesized:

- 1. That the use of WCO as feedstock will assist with the lowering of overall cost of biodiesel production in South Africa.
- 2. The use of WCO as feedstock for biodiesel production will eliminate the high cost of its disposal and associated environmental threats it poses.
- 3. Using WCO instead of edible cooking oil to make biodiesel will potentially increase the amount of edible cooking oil available as food for both people and farm animals.
- 4. Preparation and characterization of hydrotalcite-derived material from waste metal dust (i.e., MRT) for transesterifcation of WCO to biodiesel will assist with the cost reduction of biodiesel production in SA.
- 5. Since high basicity is one of the desired characteristics of heterogeneous catalyst used for transesterifcation, exploring the basicity of MRT to prepare and characterize a hydrotalcite-like material for transesterifcation will result in a much fast process than the existing one with existent catalysts.
- 6. The application of HT from MRT for the industrial production of biodiesel will contribute to addressing ecological and global stresses associated with environment.

# **11.5 Signifcance of Study**

The information in this study will contribute to bridging the gap of knowledge that exist in the following studies:

1. The catalytic application of hydrotalcite-like materials:

Among numerous applications of hydrotalcite-like material, its catalytical application as a heterogeneous catalyst in the process of transesterifcation at the industrial level of the production of biodiesel.

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- 2. The catalytic effect of MRT elements in a HT:

The choice of MRT and its availability, the role and contribution of the MRT element constituent for a HT structural feature, and their role in transesterifcation, leading to further feasibility studies on the preparation of a HDM from another material containing MRT-like elements.

- 3. Optimization of the preparation of a HDM from MRT: Paving ways on an optimal route of preparation of a HDM from MRT for future application and crystal structure studies.
- 4. Contribution of the HDM in the transesterifcation: Based on the results obtained, there will be clarity about the contribution of the HDM in the transesterifcation process.
- 5. Impact of the application of HDM as a catalyst on an industrial scale: Evaluate the economic possibility of using HDM from MRT as a catalyst in the transesterifcation process on an industrial scale.

## **11.6 Literature Review**

## *11.6.1 Introduction*

Interest in biofuels for transportation has been sparked by high energy prices, rising energy imports, supply concerns, and growing environmental awareness of the effects of fossil fuels [[27\]](#page-289-0). As a result, the development of biofuels has multiplied enormously in response to the need for affordable, viable energy sources that do not harm the environment [\[28](#page-289-0), [29](#page-289-0)]. Reiterating this, the pressure on petroleum-based fuels in the transportation sector has resulted in the development of vegetable oilbased fuels as the primary alternative fuel to non-renewable fossil fuel types [\[30](#page-289-0), [31\]](#page-289-0). When using alternative sources, researchers can get the best outcomes by converting wastes and biological energy sources into goods, in this case, biodiesel [\[24–26](#page-289-0)].

## *11.6.2 Biodiesel Production in South Africa*

Many nations are promoting the use and production of biodiesel because it reduces the need for foreign oil, increases domestic energy security, is safe for the environment by lowering greenhouse gas emissions, is renewable, and is both affordable and practical [\[31](#page-289-0)[–34](#page-290-0)]. As at year 2010, it was estimated that 10% replacement of South Africa's diesel usage will result in a 1 billion liters increase South Africa's biodiesel market.

SEBP plant		COBP plant	
Local feedstock	Manufacturing cost	Imported feedstock	Manufacturing cost
Canola	R4.81/liter	Palm oil	$R6.62$ /liter
Sunflower seeds	R6.67/liter	Soybean oil	R6.89/liter
Soybeans	R <sub>6.70</sub> /liter	Sunflower oil	R7.48/liter
		Rapeseed oil	R9.28/liter

**Table 11.1** Manufacturing costs of biodiesel for various feedstocks in SA [[35](#page-290-0)]

## *11.6.3 Manufacturing Cost of Biodiesel in South Africa*

The ideal size of a biodiesel plant in South Africa (SA), according to Nolte [\[35](#page-290-0)], is between 1500 and 3000 kg/h [\[35](#page-290-0)]. A seed extraction biodiesel production plant (SEBP) uses locally produced oilseeds as feedstock, and a crude oil biodiesel production plant (COBP) uses imported crude vegetable oil as feedstock, according to the author's study. A COBP plant would require a capital expenditure of roughly R45 to R50 million (amounts inclusive of working capital of approximately R35), while a SEBP plant would require a capital investment of between R110 and R145 million. The calculated biodiesel manufacturing costs of the two types of plants for various feedstocks were computed on August 30, 2006, at the current prices, and this can be seen in Table 11.1.

## *11.6.4 The Choice of Feedstock and Its Impact on Biodiesel Production*

The decision to use biodiesel as an alternative engine fuel is based on its characteristics, which are somewhat different from regular diesel and are frequently determined by the oil feedstock and alcohol utilized, although being otherwise extremely similar to diesel fuel [\[2](#page-288-0), [36](#page-290-0), [37](#page-290-0)]. According to Pratas et al. [[38\]](#page-290-0), Cao et al. [[39\]](#page-290-0), and Sajjadi et al. [[40\]](#page-290-0), it is made up of a lengthy organic chain of fatty acid methyl ester (FAME), also known as plant oil-based.

Plant oil-based (POB) fuels have long been successfully tested in diesel engines as an alternative engine fuel [\[32](#page-290-0), [41,](#page-290-0) [42\]](#page-290-0). Short-chain alcohols, which are now combined with gasoline, and biodiesels, which are typically made from seed oils, are examples of POB fuels. Soybeans have established a reputation as the main source of biomass-derived diesel in the United States and Brazil because they produce the greatest oil by weight among the POB fuel feedstocks, up to 20% [\[43–45](#page-290-0)].

#### *11.6.5 Soybean in South Africa*

As a good substitute for biodiesel made from fossil fuels, soybean-derived biodiesel can be used to generate electricity [[44\]](#page-290-0). According to the amount of land cultivated and the amount produced, soybeans are one of the most important grain crops in South Africa [\[38–40](#page-290-0)]. Since soybean has such a high socioeconomic value in South Africa, it is grown in all nine of the provinces there [[46](#page-290-0), [47\]](#page-290-0). The issue of waste management is a result of this, though.

#### *11.6.6 Waste from Soybean Production*

Tofu, soymilk, soymilk powder, beansprouts, dried tofu, soy sauce, soy four, and soybean oil are only a few of the delicacies made from soybean in Asian nations. Every year, Japan disposes of around 800,000 tons of waste products from the manufacture of soybean oil. The annual disposal cost is roughly 2.1 million rand [[48\]](#page-290-0). Currently, the garbage from this source is burned to create  $CO<sub>2</sub>$ , disposed of in landflls, and other waste management techniques [\[49](#page-290-0)]. The environmental issues brought on by this source's large waste production have received a lot of attention [\[50](#page-290-0)]. According to Redondo-Cuenca, Villanueva-Suárez, and Mateos-Aparicio [[51\]](#page-290-0), waste from soybean oil is frequently high in moisture content (70–80%), making it diffcult to manage and expensive to dry by conventional methods.

## *11.6.7 Proactive Measures for South Africa's Biodiesel Industry*

While preparing for government assistance to help South Africa's biodiesel industry get off the ground, proactive steps are needed, especially in the feld of creative initiatives that can help lower the price of biodiesel down to a respectable level. The selection of feedstock like those in Table [11.2](#page-274-0) is an innovative strategy that has the potential to signifcantly lower the overall cost of biodiesel production in South Africa. Waste cooking oil (WCO) is an illustration of a plant-based oil waste product. WCO is a synthetic oil that has lost its ability to be used for cooking due to the presence of a signifcant number of contaminants and the loss of its original qualities. WCO is produced by a variety of sources, including residential, commercial, and industrial food manufacturers, which have an impact on the environment. One of the best methods to use the material effectively and affordably is to produce biodiesel from WCO.

WCO biodiesel has been regarded as a promising option, claims Demirbas [[31\]](#page-289-0). For the production of biodiesel, WCO is inexpensive when compared to the price of fresh vegetable oil and, consequently, commercial diesel fuel (Table [11.3\)](#page-275-0). Detailed

<span id="page-274-0"></span>



 $Key: A alternative, C conventional$ Key: *A* alternative, *C* conventional

Fuel property	<b>WCO</b>	Biodiesel (WCO)	Commercial diesel
Kinematic viscosity ( $mm^2/s$ , at 313 K)	36.4	5.3	$1.9 - 4.1$
Density (kg/L, at 288 K)	0.924	0.897	$0.075 - 0.840$
Flash point $(K)$	485	469	340–358
Pour point $(K)$	284	262	$254 - 260$
Cetane number	49	54	$40 - 46$
Ash content $(\% )$	0.006	0.004	$0.008 - 0.010$
Sulfur content $(\% )$	0.09	0.06	$0.35 - 0.55$
Carbon residue $(\% )$	0.46	0.33	$0.35 - 0.40$
Water content $(\% )$	0.42	0.04	$0.02 - 0.05$
Higher heating value (MJ/kg)	41.40	42.65	45.62–46.48
Free fatty acid (mg KOH/g oil)	1.32	0.10	-
Saponification value	188.2	-	-
Iodine value	141.5	-	-

<span id="page-275-0"></span>**Table 11.3** Comparison of the qualities of commercial diesel fuel, waste cooking oil, and biodiesel from waste cooking oil [\[31\]](#page-289-0)

analysis in Table 11.3 demonstrates that there are numerous similarities between the properties of biodiesel and diesel fuels in general. As a result, biodiesel is viewed as a suitable diesel substitute. The methyl esters made by transesterifcation of old cooking oil have a molecular weight that is nearly one-third lower, a viscosity that is about one-seventh lower, a fash point that is a little lower, a volatility that is a little higher, and a pour point that is a lot lower.

## *11.6.8 Transesterifcation of WCO to Biodiesel*

Straight vegetable oil can be used as a fuel for diesel engines; however, there are a number of drawbacks, including high viscosity, injector coking, and engine deposits. By transforming the vegetable oils into their methyl esters, these issues can be somewhat resolved. This is accomplished by utilizing heterogeneous catalysts in the transesterifcation reaction. Fatty acid methyl ester (FAME), the end outcome, is also referred to as biodiesel.

Heterogeneous catalysts have been offering a sustainable and workable answer to issues occurring in various techno-economic limits of catalysis in recent years. The methods and technologies used to create these systems should serve as inspiration for addressing ecological and global environmental concerns. In recent years, intensive research has been conducted to produce these catalysts, and their prospective applications in a wide range of felds have been investigated. As previously mentioned, transesterifcation is one process where the use of these catalysts could soon have a signifcant impact on the manufacture of biodiesel at an industrial scale [\[14–16](#page-289-0)].

Although there are many different kinds of catalysts, including NaOH, KOH, HCl, and  $H_2SO_4$  [[78\]](#page-291-0), heterogeneous catalysis has grown in popularity since it is simple to separate, only needs mild conditions, can be recycled, and can lower production costs [[17\]](#page-289-0). Given their high surface area, basicity, and low production costs, zeolites made from bagasse and fy ash [\[79](#page-292-0), [80](#page-292-0)], calcined cement waste [[81\]](#page-292-0), Li-modifed rice husk [\[82](#page-292-0)], and CaO obtained from natural waste shells have all been investigated for their potential as heterogeneous catalysts like hydrotalcite in the production of biodiesel.

In the recent year, hydrotalcite has gained popularity in several felds and have been the topic of different studies due to their structural features and several applications [\[83](#page-292-0)]. Hydrotalcites are double-layered double showing a variety of stoichiometry due to the different arrangement of the stacking of the layers, ordering of the metal cations, as well as the arrangement of anions and water molecules, in the interlayer galleries, they are synthetic or natural occurring crystalline materials consisting of positively charged two-dimensional sheets with water and exchangeable charge compensating anions in the interlayer region as shown in Fig. 11.1.

The compounds of the hydrotalcite group show a wide range of the possible applications due to their specifc properties, such as their large surface area, ion exchange ability, the insolubility in water and most of the organic sorbents, and others. Hydrotalcite-like compounds also referred to as anionic clays or layered double hydroxides (LDHs) are a valuable and commonly reported group of materials with a complex structure that is composed of positively charged brucite-like layers  $[M^{2+}]$ or  $M^{3+}$  (OH)<sub>2</sub>]<sup>*x*+</sup>. In which an isomorphous substitution of the divalent  $M^{2+}$  and the trivalent cation  $M^{3+}$  are distributed among octahedral positions, alternating with disordered and negatively charged interlayers [A*x*/*n*]*<sup>n</sup>*− formed for instance by inorganic ions, heteropoly acids, organic acids, and others, which is represent by the formula of type  $[M_{1-x2} + M_{x3} + (OH)_2]_x + [A_{x/n}]^{n-} \cdot mH_2O$ .



Fig. 11.1 Hydrotalcite structural representation. (Source: Google Image)

#### *11.6.9 Production of Hydrotalcite from Natural Resources*

#### **11.6.9.1 Natural Dolomite**

Dolomite is a naturally occurring double carbonate and a source of calcium and magnesium ions, according to Hosni and Srasra [[84\]](#page-292-0). In their research, a straightforward process was used to create Mg-Al-CO<sub>3</sub> layered double hydroxide from dolomite feedstock. On their structure and textural qualities, the infuence of synthesis parameters, including the  $M^{2+}/Al^{3+}$  ratio, reaction temperature, and pH, was investigated. X-ray diffraction, Fourier transform infrared spectroscopy, thermogravimetric analysis (TGA), and Brunauer, Emmett, and Teller (BET) measurements were used to determine the structural characteristics of the materials.

The authors came to the conclusion that dolomite can be processed into pure and well-crystalline phases of Mg-Al-CO3 LDH in an aqueous  $Na_2CO_3$  solution. That a key consideration was the preparation's pH. Pure LDH was generated at a pH of 9.5. The LDH's crystallinity dropped below this threshold. Conditions that are quite alkaline do not seem to be favorable for the synthesis. The ideal dolomite/Al molar ratio was approximately 1. Above this ratio, brucite was generated. Low dolomite/ Al ratios resulted in products with poor crystallinity. A factor that was thought to be crucial for regulating crystallinity and particle size was aging temperature. According to experimental research, hydrotalcite must be at a high temperature to form a well-crystalline phase.

#### **11.6.9.2 Bittern**

An assessment of the viability of employing Class C fy ash for the synthesis of faujasite (Type X) and tschernichite (Type A) type zeolite materials is shown in the study by Kunecki et al. [[85\]](#page-292-0). Syntheses were performed to produce the well-formed zeolites. The variables were as follows: water, the fltrate (post-reaction solutions obtained during the hydrothermal synthesis of zeolites rich in Si), the ratio of fy ash to NaOH, and the quantity of aluminum foil added.

According to the analysis, three of the most efficient reactions (from which Samples 21–23 were formed) took place in the following circumstances: the amounts of  $H_2O$ , filtrate, and aluminum foil added were 100, 100, and 50 ml for each of the three reactions, respectively. The ratio of NaOH to fy ash was 1.6, 2.0, and 1.25, with fusion temperatures of 550 °C for each of the three reactions, fusion times of 1 hour, and reaction times of 4 hours. The reaction temperature was 80  $^{\circ}$ C for each of the three reactions (for each of the three reactions).

The three best zeolite materials (Samples 21–23) were submitted to mineralogical, chemical, and textural analytical characterization using X-ray powder diffraction (XRD), scanning electron microscopy-energy-dispersive X-ray spectroscopy (SEM-EDS), and X-ray fuorescence (XRF), Brunauer–Emmett–Teller (BET), to determine specifc surface area and pore volume and size). The produced zeolites, according to studies, have a Type A (Samples 21–22) and Type X (Sample 23) structure, as well as well-formed grains with isometric and cubic properties. The obtained zeolites' computed unit cell characteristics point to a cubic crystal system and are quite similar to the reference values for the structures of X- and A-type zeolites.

The ratio of  $SiO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub>$  in each of the three tested zeolite materials was as follows: 2.16, 1.98, and 2.41. For samples 21–23, the specifc surface area was 106, 104, and  $256 \text{ m}^2/\text{g}$ , respectively. The outcomes were comparable to the zeolite structures discovered in Class F fy ash. As a result, we can draw the conclusion that the Class C fy ash under analysis may also be a useful substrate for the synthesis of Type X and Type A zeolite materials.

#### **11.6.9.3 Blast Furnace Steel Slag**

The authors of this study by Kunecki et al. [[86\]](#page-292-0) reported the effect of time on the creation of the mineral structure of GIS Na-P1 zeolite. Aluminum and silica were obtained from thin microspheres. The material used in this project was created utilizing a prototype installation at a quarter-technical scale. X-ray diffraction (XRD), X-ray fuorescence (XRF), scanning electron microscopy equipped with a system of chemical composition analysis based on energy dispersive X-ray (SEM-EDS), volumetric adsorption analyzer, Fourier transform infrared spectroscopy (FTIR), and particle size analyzer were used to determine the chemical, mineralogical, and textural properties of the material (PSA).

The interesting and in some cases remarkable phenomena of the lattice parameters ripening as a function of time is demonstrated by experimental calculations based on Miller indices. With longer synthesis times, both the number of zeolites present and the unit cell characteristics (a, b, c, and volume) rise. With the use of the XRD and FTIR techniques, this process may be seen clearly. The fnished product's structural, morphological, and textural characteristics suggest that it might be benefcial as an adsorbent for several kinds of environmental pollution.

Muriithi et al. [[87\]](#page-292-0) reported the production of hydrotalcites (HT) from mineral waste (e.g., blast furnace slag), an area of research attracting growing attention. This paper describes a unique method for producing HT from fy ash, a common waste product of coal-fred power plants in South Africa. The optimization procedure, which highlights the boundary conditions for this mineral phase's crystallization, is the second area of originality.

The HCl content, aging duration and temperature, pH during the aging process, and crystallization time and temperature were the variables examined for the optimization of HT synthesis from fy ash. The best synthesis conditions were as follows: 3 M HCl concentration, 30 minutes of aging, 65 °C of aging, 11.5 pH during aging, 12 hours of crystallization, and 70 °C of crystallization temperature. The exterior surface area and microporosity of HT were both quite high.

The majority of the morphological components of synthesized HT were submicron, plate-like particles. Except for the presence of calcite, the structural features of HT made from fy ash were comparable to those of HT obtained from analytical grade chemicals. The waste Class F fy ash from SA was used in an innovative way to create high-quality HT under optimal processing conditions that reduced the production of secondary undesirable mineral phases like calcite or hydrogarnet.

#### **11.6.9.4 Aluminum Slag**

In this study by Wajima [[88\]](#page-292-0), hydrotalcite was created from bittern solution by adding AlCl<sub>3</sub> (the solution's Mg/Al molar ratio was 3), and its capacity to remove phosphate and nitrate from water was tested. Both bittern and seawater can be used to make hydrotalcite, although the product made from bittern has a higher hydrotalcite content than the one made from seawater. Higher than commercial hydrotalcite, the bittern product has phosphate and nitrate removal capabilities.

The experimental data are found to better ft the Langmuir than the Freundlich isotherm models when calculating the equilibrium adsorption capacity of the product for phosphate and nitrate ions. Because of the ion exchange process between chlorine and sulfate in the product, phosphate adsorption on the product reached saturation in 30 minutes and was essentially steady after that. Nitrate adsorption on the product increased in 15 minutes and then gradually decreased.

The main constituents of blast furnace slag (BFS) are CaO,  $SiO_2$ ,  $Al_2O_3$ , MgO, and trace amounts of transition metals like Fe, Ti, and Mn, according to Kuwahara et al. [[89\]](#page-292-0) in their study titled "A novel conversion process for waste slag: synthesis of a hydrotalcite-like compound and zeolite from blast furnace slag and evaluation of adsorption capacities." We successfully used the chemical method of acid-leaching and precipitation to create a hydrotalcite-like molecule from BFS.

After undergoing HCl acid-leaching, BFS was separated into hydrated silica with 92 wt.%  $SiO<sub>2</sub>$  and leaching solution containing other components, which provided a hydrotalcite-like product after further NaOH addition in a high yield. The result generated at 100 °C was determined to be a Ca-Al hydrocalumite complex by XRD and chemical analysis. Its stoichiometric molar ratios are Ca: Al: Cl = 2: 1: 1, and it contains other metal cations in its structure.

The hydrotalcite-like compound had an elevated phosphate adsorption capacity of about 40 mg P/g, which was more than three times more than that of typical Mg-Al-based hydrotalcite. The phosphate adsorption capacity of the raw slag was 1.5 mg P/g. In addition, utilizing the remaining silica and a hydrothermal treatment lasting 6 hours at 100 °C, single-phase A- and X-type zeolites with high crystallinities and outstanding water adsorption capacities (247 and 333 mg g−<sup>1</sup> , respectively) were effectively created. From the perspective of making efficient use of BFS, this conversion procedure, which enables us to create two distinct types of valuable materials from BFS at a cheap cost and with simple preparatory stages, is unquestionably advantageous.

According to Galindo et al. [\[90](#page-292-0)], due to environmental concerns, the powdered solid strapped in flter sleeves in the aluminum tertiary industry is currently disposed of in secure landflls. They are categorized as hazardous waste because they

contain a lot of aluminum, either in the form of metallic aluminum or in compounds like aluminum nitride.

These substances have the ability to react with extremely little moisture, releasing toxic or dangerous gases like hydrogen and ammonia.

In three steps, the low-cost method described in this study allows for the complete recovery of this hazardous waste and the creation of two different added-value components. The trash is subjected to mild acid hydrolysis in the frst step in order to produce an inert cake and a concentrated solution of aluminum. The subsequent steps involve synthesizing hydrotalcite from the resultant solution and using the cake to create transparent glasses based on the  $CaO-AI<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub>$  system. The products' characteristics show that the hydrotalcites can easily absorb anionic contaminants (molybdates), while the glasses offer better optical properties than those made by directly vitrifying the trash.

#### **11.6.9.5 Oil Shale Ash**

With the aid of ammonia and triethanolamine at pH 10, Galindo et al. [\[91](#page-292-0)] reported that hydrotalcite-like compounds were co-precipitated with dilute sodium hydroxide from an unconventional aluminum source: the aluminum waste produced by the tertiary aluminum industry. To compare results, these compounds were characterized using a variety of techniques (XRD, FT-IR, UV-vis-NIR, SEM, DTA-TG, and BET procedures).

Characterization of the products revealed considerable variations based on the choice of basic reagent. Products that co-precipitated with ammonia exhibited less crystal development, a higher internal surface area, and a structure that contained signifcantly more iron. Triethanolamine-derived products demonstrated how organic molecules entered the multilayer framework. These results were crucial for the development of waste treatment techniques that turned hazardous wastes made of aluminum into stacked double hydroxides, a product with additional value.

#### **11.6.9.6 Coal Fly Ash**

In the study conducted by Muriithi et al. [\[87](#page-292-0)], South African Class F coal fy ash, a generally available and inexpensive chemical feedstock, was used to successfully produce HT under optimal conditions. With the exception of the presence of calcite, the produced HT exhibited structural traits that were comparable to those of that made using analytical-grade chemicals. In the context of carbon capture and storage in South Africa, the production process might thus serve as a dual strategy for the re-exploitation of coal fly ash from power plants and for the reduction of  $CO<sub>2</sub>$ . Additionally, authors recommend that future research should focus on stopping the co-precipitation of calcite, while showing how these HT may be suitable for both short- and long-term  $CO_2$  collection. On the possibility for wet or dry ash dams to naturally collect carbon, very little information is currently known. This study compared a naturally occurring occurrence with accelerated ex-situ mineral carbonation of fresh fy ash to determine the degree of carbon capture in a wet-dumped ash dam and the mineralogical changes supporting  $CO<sub>2</sub>$  capture (FA). Sr, Ba, and Zr trace metals at signifcant concentrations were found in both fresh ash and weathered ash. However, it was discovered that weathered ash had more Nb, Y, Sr, Th, and Ba than fresh ash did.

Fresh ash is composed of quartz, mullite, hematite, magnetite, and lime from a mineral perspective; however, weathered and carbonated ashes also contained other phases like calcite and aragonite. The fresh FA was able to trap up to 6.5 wt%  $CO<sub>2</sub>$ , and accelerated carbonation (done at 2 hours, 4 MPa, 90 °C, bulk ash, and a S/L ratio of 1) resulted in a  $60\%$  conversion of calcium to  $CaCO<sub>3</sub>$ . On the other hand, it was discovered that over the course of 20 years of moist disposal of ash, 6.8 wt percent CO<sub>2</sub> was naturally carbonated.

Thus, the ash dumps' spontaneous carbonation is considerable and may be useful in extracting  $CO<sub>2</sub>$ .

In their study, Gil et al. [\[19](#page-289-0)] described the co-precipitation method's use of aluminum recovered from saline slag wastes to synthesize hydrotalcite-like materials. Using a refux system over a 2-hour period, saline slags were chemically treated with 2 mol/dm<sup>3</sup> aqueous solutions of NaOH. With the help of cobalt, magnesium, and nickel nitrates, as well as  $Na_2CO_3$ , aluminum aqueous solutions were employed as precursors to create hydrotalcite-like materials with two different mole  $M^{2+}/Al^{3+}$ ratios, 2:1 and 4:1.

X-ray diffraction, thermogravimetric studies, nitrogen adsorption at 196 °C, and scanning electron microscopy were used to analyze the resultant solids. The  $CO<sub>2</sub>$ adsorption at 50, 100, and 200 °C was assessed under dry conditions after thermal treatment at 200 °C. The Mg: Al-2: 1 sample had a remarkable sorption capacity of 5.26 mmol/g at 80 kPa and 50  $^{\circ}$ C, which was significantly greater than the sorption capacities previously reported in the literature for hydrotalcites under comparable conditions.

The values of the Henry's law constants, which range from 0.01 to 4.20 mmol/ kPag, were directly deduced from the adsorption isotherms at low pressures. Using the Clausius-Clapeyron equation, the isosteric heats of  $CO<sub>2</sub>$  adsorption were discovered to be between 5.2 and 16.8 kJ/mol.

#### **11.6.9.7 Mullite-Rich Tailings from Density-Separated Waste Copper Dust (WCD)**

According to Linda et al. [\[92](#page-292-0)], the mullite-rich tailings (MRT) from WCD can serve as a secondary resource of mullite, because it contains 67.15% of aluminosilicates. It is therefore recommended that MRT be used to lower the cost of mullite refned powders in later engineering applications due to its size, potential, and possibilities, after several other studies.

#### **Conclusion**

*The manufacture of biodiesel is acknowledged to lessen the demand for imported oil, boost domestic energy security, and be safe for the environment by reducing greenhouse gas emissions because it is a renewable resource. However, the effectiveness of biodiesel as an alternative engine fuel depends on its properties, which are usually infuenced by the type of alcohol and oil used as feedstock. Diesel engines have long been successfully tested with plant oil base (POB) fuels as an alternative engine fuel. Among the POB fuel feedstocks, soybeans yield the most oil by weight, up to 20%, and have earned a reputation as the primary source of biomass-derived diesel in the United States and Brazil.*

*Despite initiatives like the one stated in the preceding paragraph, the cost of generating biodiesel is still largely determined by the cost of raw ingredients. The choice is heavily infuenced by the raw material's availability and cost. Making biodiesel from edible oil can result in less oil being accessible for human and farm animal use. Therefore, the use of used cooking oil or fat, which normally exhibits high acidity, has been investigated as a feedstock for biodiesel synthesis, is another admirable strategy for reducing the cost of biodiesel manufacturing.*

*The second type of waste conversion to product will use the transesterifcation method to convert WCO to biodiesel using mullite-rich tailings (MRT) from densityseparated waste copper dust.*

*To my knowledge, very little study has discussed the use of HT derived from metallurgical wastes to speed up the conversion of WCO to biodiesel. Therefore, the development of an affordable biodiesel production process in South Africa may be aided by research into an effective catalyst to convert a WCO into biodiesel.*

## **11.7 Methodology**

## *11.7.1 Introduction*

The investigation on the preparation and characterization of hydrotalcites derived material from waste metal dust for transesterifcation of waste cooking oil to biodiesel will be carried out according to the methodology detailed as follows:

#### **11.7.1.1 Materials**

Waste Cooking Oil

The raw material that will be used for the production of biodiesel in this proposed study is a waste cooking oil.

#### Waste Metal Dusts

Two waste metal dust will serve as primary (i.e., mullite-rich tailings) and secondary (copper smelter dust) materials for synthesis of the heterogeneous catalyst (i.e., hydrotalcites derived material) that will be used to enhance the transesterifcation process of the waste cooking oil to biodiesel.

#### **11.7.1.2 Methods**

Preparation of WCO Feedstock for Transesterifcation

The contamination in the WCO will be filtered using a 5-um filter cloth in a vacuum fltration unit. Seven WCO samples of 1000 ml each will be fltered in the machine.

The edible cooking oil (ECO) will be characterized for free fatty acid (FFA) content, relative density (RA), acid value (AV), saponifcation number (SN), and ester value (EV). These values will be compared with the properties evaluated from seven purifed WCO samples as illustrated in Table 11.4. The best among these six samples will be used as feedstock for this study.

Density Separation of WCD

The WCD will be subjected to physical separation into using a centrifugal gravity separator. The parameters that will be optimized for the centrifugal gravity separation (CGS) will be the rotational bowl speed (RBS) and the fuidized water fow rate (FWFR) as detailed in Table [11.5](#page-284-0).

The protocol for the CGS is detailed in Table [11.6](#page-284-0), and it will involve 9 tests in total. These nine tests will be repeated 5 times as passes. The starting material for each new pass will be the tailings from the previous test. Based on this experimental plan, 45 test samples will be generated as shown in Table [11.7.](#page-284-0) These outputs from the CGS of WCD will be used as raw material for the production of the hydrotalcitederived material (HDM).

<b>Characteristics</b>	<b>FSO</b>	WCO1	WCO <sub>2</sub>	WCO <sub>3</sub>	WCO <sub>4</sub>		$WCOS$ $WCOS$	WCO7
<b>FFA</b>	<b>YTRD</b>	YTRD	<b>YTRD</b>	<b>YTBD</b>	<b>YTBD</b>	<b>YTBD</b>	<b>YTRD</b>	<b>YTBD</b>
RA	<b>YTRD</b>	YTRD	<b>YTRD</b>	<b>YTRD</b>	<b>YTRD</b>	YTBD	<b>YTRD</b>	<b>YTRD</b>
AV	<b>YTRD</b>	YTRD	<b>YTRD</b>	<b>YTRD</b>	<b>YTRD</b>	YTRD	<b>YTRD</b>	<b>YTRD</b>
<b>SN</b>	<b>YTRD</b>	YTRD	<b>YTRD</b>	<b>YTRD</b>	<b>YTRD</b>	YTBD	<b>YTRD</b>	<b>YTBD</b>
EV	YTRD	YTRD	YTRD	<b>YTRD</b>	YTRD	YTRD	<b>YTRD</b>	YTRD

**Table 11.4** Characteristics of FSO and WCO

Key: *YTBD* yet to be determined

S/N	Parameters	Low(0)	Medium $(1)$	High $(2)$
A	RBS $(m/s2)$	60	90	120
$\Lambda$	FWFR (l/min)		4.1	6.0

<span id="page-284-0"></span>**Table 11.5** Parameters measured for density separation experiment

**Table 11.6** Test protocol for density separation of WCD

<b>Tests</b>	RBS(G)	FWFR (l/min)	Treatment combination (TC)
	А	А	AA
	А	B	AB
	А	C	AC
	В	А	BA
	B	B	<b>BB</b>
	B	C	BC
	$\curvearrowright$	А	<b>CA</b>
$\circ$	$\sqrt{ }$	B	CB
	⌒	C	CC

**Table 11.7** Outputs from CGS of WCD



Optimization of Hydrotalcite Production from MRT

Pregnant leach solutions (PLS) will be obtained from the 45 MRT samples following the procedure that has been reported in by Okanigbe [[93\]](#page-292-0) and Baktiari [\[94](#page-292-0)]. The different grades of PLS will be subjected to co-precipitation (Fig. [11.2\)](#page-285-0). The parameters for the co-precipitation process will be optimized following Tables [11.8](#page-285-0) and [11.9](#page-285-0)

#### Optimization of Catalytic Activity

The transesterifcation of fltered WCO will be carried out in a 500-ml three-necked round-bottom fask equipped with refux condenser and a magnetic stirrer. Varied ratios of WCO: Alcohol (Table [11.10\)](#page-285-0) will be mixed with varied concentrations of  $HT<sub>MRT</sub>$  (Table [11.10](#page-285-0)) and stirred at a fixed temperature of 65.5 °C. This process will be optimized following the test protocol in Table [11.11](#page-286-0). After carrying out the reaction, the mixture was cooled to room temperature, centrifuged at 6000 rpm for

<span id="page-285-0"></span>

**Fig. 11.2** Proposed experimental setup for production of HDM from waste copper dust

	Levels					
Parameters	Low	Medium	High			
Temperature $(^{\circ}C)$		ככ	85			
Rotational speed (rpm)	340	740	1480			

**Table 11.8** Parameters considered for the production of hydrotalcite

<b>Tests</b>	Temperature $(^{\circ}C)$	Rotational speed (rpm)	<b>Treatment Combination</b>
	25	340	$25^{\circ}$ C-340 rpm
$\mathcal{L}$	25	740	$25 °C - 740$ rpm
3	25	1480	25 °C-1480 rpm
$\overline{4}$	55	340	55 °C-340 rpm
	55	740	55 °C-740 rpm
6	55	1480	55 °C-1480 rpm
	85	340	85 °C-340 rpm
8	85	740	85 °C-740 rpm
$\mathbf Q$	85	1480	85 °C-1480 rpm

**Table 11.9** Design of experiment for the production of hydrotalcite

**Table 11.10** Parameters measured for density separation experiment

S/N	Parameters	Low $(0)$	Medium $(1)$	High(2)
	WCO: Alcohol	1.5	1:6	1.7
	Catalyst concentration (wt $\%$ )	0.5		

<b>Tests</b>	WCO: alcohol	Catalyst conc. (wt $\%$ )	Treatment combination (TC)
	1:5	0.5	(1:5)(0.5)
$\overline{2}$	1:5	1.0	(1:5)(1.0)
3	1:5	1.5	(1:5)(1.5)
$\overline{4}$	1:6	0.5	(1:6)(0.5)
	1:6	1.0	(1:6)(1.0)
6	1:6	1.5	(1:6)(1.5)
	1:7	0.5	(1:7)(0.5)
8	1:7	1.0	(1:7)(1.0)
9	1:7	1.5	(1:7)(1.5)

<span id="page-286-0"></span>**Table 11.11** Test protocol for optimization of  $HT_{MRT}$  activity

10 minutes for catalyst separation, and the unreacted methanol was removed using rotary evaporator.

The biodiesel yield will be estimated using Eq. (11.1)

$$
yield\% = \frac{\text{weight of methylester(g)}}{\text{weight of oil(g)}} \times 100
$$
\n(11.1)

Characterization of Biodiesel

The biodiesel produced will be characterized for physical and chemical properties. Specifc gravities of all the samples will be measured using specifc gravity bottle as per American society for testing and materials (ASTM) D 1298-85. The viscosities of the samples will be measured at 40  $^{\circ}$ C using rheometer (Rheostress RS 1) from Thermo Electron, according to ASTM D 445. Acidic value will be determined as per ASTM D664-89. Calorifc values of the samples will be measured using bomb calorimeter according to ASTM D 240-92. 1H-NMR analysis will be performed at 25 °C.

## *11.7.2 Contribution to Knowledge*

The information in this study will contribute to bridging the gap of knowledge that exist in the following studies:

- The catalytic application of hydrotalcite-like materials
- The catalytic effect of copper smelter dust in a hydrotalcite
- Optimization of the Preparation of a hydrotalcite-like material from copper smelter dust
- Contribution of the hydrotalcite-like materials in the transesterifcation
- Impact of the application of hydrotalcite-like materials as a catalyst on an industrial scale

# *11.7.3 Ethical Considerations*

There are no ethical issues in this project.

# *11.7.4 Dissemination*

The results will be presented at local and international conferences, while the full paper will be published in the corresponding conference proceedings. Other results will be published in Department of Higher Education and Training (DHET) accredited journals like the following:

- Material Cycles and Waste Management—Journal —Springer
- Materials for Renewable and Sustainable Energy—Journal—Springer

# *11.7.5 Budget (Table 11.12)*

<b>Items</b>	Cost	<b>Source</b>
Literature sourcing and stationaries	х	TUT
Materials and supplies		דווד
Analytical equipment	х	TUT
<b>Travelling expenses</b>	x	TUT
Miscellaneous expenses		TUT
Total	x	TUT

**Table 11.12** Estimated budget of the project

**Key:** *TUT* **Tshwane University of Technology,** *R* **Rand**
# *11.7.6 Time Frame (Table 11.13)*

		Year		
S/N	Task name	2020	2021	
	Proposal (compilation and presentation)	X	X	
	Literature review	X	X	
3	Material sourcing	X	X	
	Sample preparation (sampling)	X	X	
	Fabrication of test samples optimization process	X	X	
6	Fabrication of test samples	X	X	
	Thermal conductivity and wear resistance tests	X	X	
8	Results, data, and analysis	X	X	
9	Optimum predictive model development	X	X	
10	3D print of brake rotor and validation of optimum prediction	X	X	
	Compilation and presentation of final report	X	X	

**Table 11.13** Estimated time frame of the project

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# **Chapter 12 Preparation and Characterization of Hydrotalcite-Derived Material from Mullite-Rich Tailings (II): CO**<sub>2</sub> **Capture from Coal-Fired Thermal Power Plants**



**Daniel Ogochukwu Okanigbe and Shade Rouxzeta Van Der Merwe** 

### **12.1 Introduction**

With an increase of about 2.7%, or 60% greater than that of the late twentieth century,  $CO<sub>2</sub>$  is thought to be the main greenhouse gas causing global warming [[1\]](#page-319-0). Therefore, there is now a global consensus on the control and decrease of  $CO<sub>2</sub>$ . For instance, South Africa (SA) is one of the nations that is severely impacted by carbon emissions; the nation is the top emitter of  $CO<sub>2</sub>$  in Africa and is included among the top twelve emitters globally [\[2](#page-319-0)], as depicted in Fig. [12.1a–c](#page-294-0).

The energy and manufacturing industries, which emit significant volumes of  $CO<sub>2</sub>$ into the atmosphere, boost the nation's emissions. For instance, it was estimated that SA released 367.6 million tons of  $CO<sub>2</sub>$  in 2011, and this number increased by almost 30% in 2015. As seen in Fig. [12.2](#page-294-0), coal combustion produces the majority of SA's  $CO<sub>2</sub>$  emissions [\[4](#page-319-0)]. Future projections indicate that  $CO<sub>2</sub>$  emissions will progressively rise unless steps are taken to counteract this trend, such as developing carbonneutral technologies that can mitigate this issue or incorporating  $CO<sub>2</sub>$  capture technologies into major sources of anthropogenic  $CO<sub>2</sub>$  emission, like coal-fired thermal power plants [[5\]](#page-319-0).

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**Fig. 12.1** (a) World's largest  $CO_2$ -emitting coal-fired power plants, (b) energy production, (c) fossil fuel produced by country as adapted from Yoro and Sekoai [[3](#page-319-0)]



**Fig. 12.2** Sources of CO<sub>2</sub> emissions in SA  $[3, 6, 7]$  $[3, 6, 7]$  $[3, 6, 7]$  $[3, 6, 7]$  $[3, 6, 7]$ 

Therefore, wet absorption, membrane separation, and cryogenic fractionation are only a few of the  $CO<sub>2</sub>$  capture techniques that researchers around the globe are interested in for coal-fired power plants  $[8-11]$ . These technologies do have certain drawbacks, though, including high cost, high energy usage, and the emission of toxic by-products [[12,](#page-319-0) [13\]](#page-319-0). It follows that there is a clear demand for more affordable and energy-effcient separation and capture methods. As a result, new methods for post-combustion capture, like adsorption, are constantly being investigated [[14\]](#page-319-0).

A particularly interesting alternative approach for trapping  $CO<sub>2</sub>$  is adsorption on solid sorbents [\[15](#page-319-0)]. There are currently several promising solid sorbents in development. According to Lee and Park [[16\]](#page-319-0) and Creamer and Gao [\[17\]](#page-319-0), they can be broadly divided into carbonaceous materials (such as activated carbons, ordered porous carbons, activated carbon fbers, and graphene) and non-carbonaceous materials (such as zeolites, hydrotalcite, silica, metal-organic frameworks and porous polymers, alkali metals, and metal oxide carbonates). The ability of coal fy ash (CFA), an

abundantly produced incombustible aluminosilicate waste during the burning of pulverized coal in thermoelectric power plants, to capture  $CO<sub>2</sub>$  has also been documented [[18–20\]](#page-319-0). CFA is not thought as a substantial sorbent in and of itself.

However, by employing it as a widely accessible, inexpensive feedstock to create a variety of solid porous materials for  $CO<sub>2</sub>$  capture, its  $CO<sub>2</sub>$  collecting capability can be increased [[20\]](#page-319-0). For example, potassium-based sorbents [\[21](#page-319-0)], activated carbon [\[22](#page-320-0)], sodium silicate sorbents [\[23](#page-320-0)], and mesoporous silica materials (such as MCM-41  $[24]$  $[24]$ ) have all been created using CFA for high-temperature CO<sub>2</sub> capture. A developing area of research involves creating  $CO<sub>2</sub>$  aluminosilicate sorbents such as zeolites [\[25](#page-320-0)] and hydrotalcites (HT) [[26\]](#page-320-0) from CFA waste [\[27](#page-320-0)]. This method may also represent a superior process choice that does not endanger life or health and has the potential to improve waste management procedures.

The layered crystal structure of HT, which are magnesium-aluminum hydroxycarbonates, exists in a variety of isostructural and polytype forms [\[28](#page-320-0)] and is created by the periodic stacking of positively charged  $(M^{2+}, M^{3+})\text{OH}_6$  octahedral layers similar to the structure of brucite  $(Mg(OH<sub>2</sub>)$  and negatively charged interlayers made up of anions and water molecules. Although utilizing pure chemicals raises their production costs, HT are easily made in the laboratory using analytical-grade chemicals. This eliminates the challenges brought on by many anions in the structural matrix and makes it easier to identify trends and characteristics. This drawback has led to investigations in the synthesis of HT from alternative sources of starting materials. The alternative raw materials for synthesizing HT include natural dolomite [\[29](#page-320-0)] and bittern [\[30](#page-320-0)], but also industrial wastes such as blast furnace steel slag [\[31](#page-320-0)], aluminum slag [[32–34\]](#page-320-0), oil shale ash [\[35](#page-320-0)], and more recently CFA [[26,](#page-320-0) [36\]](#page-320-0).

The diffculty in synthesizing aluminosilicate sorbents like zeolites and/or HT from coal fy ash is that it is unclear how metal oxides from the raw CFA will affect these materials' structural properties. The presence of iron oxides is also anticipated to be crucial for the use of waste copper dust (WCD) as  $CO<sub>2</sub>$  adsorbents, while it is unclear how much the iron concentration affects the hydrotalcites'ability to adsorb. In this view, further research is still needed to fully grasp the issue of how to classify CFA in terms of the primary chemical constituents that affect their capacity to trap  $CO<sub>2</sub>$ .

 Neveling [[37\]](#page-320-0), provided a breakdown of the rate of WCD deposition during copper pyrometallurgy, near a smelter and it's environ in South Africa. According to the author WCD deposition is signifcant constituting a nuisance to the environment; a situation that provoked study on the pre-treatment of WCD with the gravity separation technique [\[38](#page-320-0), [39\]](#page-320-0). This pre-treatment study produced tailings rich in mullite, herewith referred to as mullite-rich tailings (MRT). The potential of using this MRT to produce a solid sorbent for  $CO<sub>2</sub>$  capture is yet to be reported in literature.

Hence, the proposed study will aim at preparing and characterizing HT from MRT to capture  $CO<sub>2</sub>$  from coal-fired thermal power plants. The proposed study will also make efforts to clarify how properties of the produced HT and their capacity to capture  $CO<sub>2</sub>$  are influenced by macro-component composition of MRT from the density separated WCD. These properties and behavior of the produced HT for  $CO<sub>2</sub>$ capture under equilibrium and dynamic settings will be compared.

### **12.2 Problem Statement**

With regard to cost effectiveness and energy efficiency, research into the production of solid sorbents from waste materials to absorb  $CO<sub>2</sub>$  has recently experienced expansion in the coal-fred thermal power plants industry. But the following problems still demand attention:

### *12.2.1 Economic Problems*

Financial limitations have been the biggest obstacle to the adoption of carbon capture and storage technology (CCS). Depending on the  $CO<sub>2</sub>$  collection technique employed, the cost of integrating CCS in power systems varies between 30% and 70% [\[40](#page-320-0), [41\]](#page-320-0). Maver [\[42](#page-321-0)] shown that the main obstacles to CCS adoption internationally are economic, social, and legal in nature. Economic hurdles arise from the fact that CCS is expensive and cannot be adopted by the majority of poor nations.

Nevertheless, SA is one of the quickly emerging countries with strong economic structures and regulations that will allow it to successfully adopt CCS in its coalfred power stations. The deployment of CCS technology in SA has also been met with some skepticism; it has been argued that doing so will jeopardize the nation's efforts to create renewable and sustainable energy sources because they also call for significant financial incentives from the government  $[42]$  $[42]$ . However, the  $CO<sub>2</sub>$  produced by the nation's coal-fred thermal power plants is posing a number of problems in terms of environmental pollution and health risks.

In order to regulate this technology in SA, regulatory frameworks must be put in place [\[43](#page-321-0)]. A lack of funding for enforcement, a low priority for enforcement, an ineffective administrative structure, issues with the complaint-driven system used to enforce the law, and the minimal deterrent effect of sanctioning penalties are some other issues encountered when establishing regulatory frameworks that will oversee this technology.

So, one method to save costs is to look at the unit processes that make up key components of these technologies. For example, when HT is synthesized in the lab with analytical-grade chemicals, this removes the challenges brought on by many anions in the structural matrix and makes it easier to identify trends and features. However, using pure chemicals drives up the cost of manufacture [\[44](#page-321-0)].

# *12.2.2 Environmental Problems*

There are a number of environmental issues with CCS, including the potential for  $CO<sub>2</sub>$  leaks to contaminate groundwater and the possibility that the sequestered  $CO<sub>2</sub>$ could trigger earthquakes owing to pressure buildup  $[45]$  $[45]$ . If the sequestered  $CO<sub>2</sub>$  is

kept in subsurface rocks, it may seep into the atmosphere, worsening the effects of climate change. Additionally, if stored underground, leaking could have a severe impact on the quality of the soil, trees, and other vegetation [\[46](#page-321-0)]. This problem could be solved in SA because, in addition to being stored in subsurface rocks, the captured  $CO<sub>2</sub>$  can also be put into the basins that are available, as stated in the earlier chapters of this study. There are numerous geological formations and unmineable coal resources in SA where captured  $CO<sub>2</sub>$  can be stored safely for the environment [[47\]](#page-321-0).

### *12.2.3 Social Problems*

Because of the need for signifcant research and the fact that CCS is a relatively new technology in SA, its introduction may rekindle public debate. It is not fully understood how it will affect the environment and people in the long run. To highlight its benefits, such as the reduction of  $CO<sub>2</sub>$  emissions and environmental degradation, public awareness is necessary. This might then pique the interest of numerous parties, which might help it become a reality in SA [[48\]](#page-321-0).

### **12.3 Research Objectives**

### *12.3.1 Main Objective*

The preparation and characterization of hydrotalcite-derived material from mulliterich tailings for  $CO<sub>2</sub>$  capture from coal-fired thermal power plants will be the main objective of this project.

### *12.3.2 Sub-Objectives*

The main objective will be achieved by the following sub-objectives:

- 1. Characterization of the waste copper dust (WCD)
- 2. Thermodynamic modeling of the heat-treated WCD
- 3. Oxidative roasting of WCD
- 4. Density separation of WCD
- 5. Optimization of  $HT<sub>MRT</sub>$  preparation
- 6. Characterization of  $HT<sub>MRT</sub>$
- 7. Comparative study of structural properties of synthesized  $HT<sub>MRT</sub>$  versus commercial HT
- 8.  $CO_2$  capture studies of  $HT<sub>MRT</sub>$
- A. Determine effect of molar ratio
- B. Determine effect of temperature
- C. Determine effect of calcination
- D. Determine effect of diluted  $CO<sub>2</sub>$  and moisture on sorption/desorption
- E. Determine regeneration of developed sorbents

# **12.4 Research Hypotheses**

The following can be hypothesized based on the scientifc hypothesis (model) created to address the diffculties identifed:

- A good understanding of the macro-component composition of MRT from WCD and how it influences the produced HT will assist with optimizing its  $CO<sub>2</sub>$  capturing capacity.
- The use of it for the commercial manufacture of solid adsorbent will assist to alleviate ecological and worldwide environmental concerns.

# **12.5 Signifcance of Study**

The signifcance of this study are detailed as follows:

Application of hydrotalcite-like material from WCD ( $HT<sub>MRT</sub>$ ) for  $CO<sub>2</sub>$  capture:

One of the many uses for hydrotalcite-like material is the industrial-level  $CO<sub>2</sub>$  capture capabilities at coal-fred thermal power plants.

The  $HT_{MRT}$  components' ability to capture  $CO<sub>2</sub>$ 

The selection of MRT and its accessibility, the function and contribution of the MRT element constituent for an HT structural feature, and their role in  $CO<sub>2</sub>$  capture led to additional feasibility studies on the creation of an HT-like material from another material-containing MRT-like elements.

Improving the process for creating an HT-like material from MRT:

Laying the groundwork for the best method of creating an HT-like material from MRT for next applications and crystal structure research.

The use of materials similar to HT in  $CO<sub>2</sub>$  capture:

There will be clarity regarding the role of the  $HT_{MRT}$  in the  $CO_2$ -capturing process based on the results that will be collected.

Industrial-scale effects of the use of HT-like materials as solid adsorbents:

Analyze the viability of employing HT-like materials from MRT as a solid adsorbent in an industrial-scale  $CO<sub>2</sub>$  capture process.

### **12.6 Literature Review**

### *12.6.1 Introduction*

It is clear that SA, a rapidly developing nation with a heavily coal-dependent energy budget, has the capacity to implement CCS in the power industry. The largest emitting sources, including coal-fred power plants that produce electricity, which are a major emitting source, have the ability to capture  $CO<sub>2</sub>$ . The potential for using this technology in SA's electricity sector is illustrated by the establishment of the South African Center for Carbon Capture and Storage (SACCCS). The global adoption of CCS faces a number of challenges. However, it is clear that SA, a member to the Kyoto Protocol, is a nation with a strong commitment to sustainable and renewable energy.

SA is focusing on important sources of  $CO<sub>2</sub>$  emission, such as the nation's coalfred thermal power plants, in an effort to reduce its carbon footprint. Furthermore, it has mandated SACCCS to oversee the implementation of CCS rules and has incorporated them into its legislation. The immense potential for deploying CCS in the nation's coal-fred thermal power facilities is made abundantly obvious by this. In fact, the urgent needs for reducing  $CO<sub>2</sub>$  emissions need research in SA on clean and sustainable energy technologies like CCS.

For the installation of CCS in SA's coal-fred power stations, the following suggestions were made:

- 1. Due to budgetary constraints, a lack of frameworks, and a lack of technical skills, the installation of CCS has stalled in South African coal-fred power stations. However, this obstacle can be solved by utilizing solid, affordable composite adsorbents, which have recently been demonstrated to be commercially viable, to enhance CCS operations.
- 2.  $CO<sub>2</sub>$  capture heavily relies on chemical absorbents like monoethanolamine. A chemical absorbent with a higher loading capacity, such as amine 2-amino-2 methyl-1-propanol (AMP). It should be utilized if the absorption technique is required for the post-combustion  $CO<sub>2</sub>$  capture from these South African power plants due to some restrictions on the solvent capacity of those systems.
- 3. Given the widespread adoption of post-combustion  $CO<sub>2</sub>$  capture technology, its overall system performance with reference to SA's coal-fred power plants should be evaluated using experimental data from pilot plants at these power plants, and these data should be checked for accuracy.

Therefore, the goal of this literature review is to identify the knowledge gaps in this feld of study and, using the knowledge gained, to defne a research focus for developing a practical and energy-efficient method for  $CO<sub>2</sub>$  capture in SA. The following sub-sections will be taken into account in order to accomplish this objective:

- 1. A review of publications on the formulation of problems and solutions.
- 2. A review of articles on the South African topic of preparation and characterization of hydrotalcite-derived material from waste metal dust for  $CO<sub>2</sub>$  capture from coal-fred thermal power plants.
- 3. A review of international papers on the preparation and characterization of hydrotalcite-derived material from waste metal dust for the capture of  $CO<sub>2</sub>$  from coal-fred thermal power plants.
- 4. An analysis of articles on technological  $CO<sub>2</sub>$  capture routes.

### *12.6.2 Review: Past and Current Publications*

### **12.6.2.1 A Review of Works on the Defnition of Problems and Formulation of Solutions**

Problem defnition

The majority of hydrogen is produced via the steam methane reforming (SMR) process with a catalyst made of  $Ni/Al<sub>2</sub>O<sub>3</sub>$  at temperatures between 750 and 900 °C, pressures between 50 and 600 psig. The sorption-enhanced reaction process (SERP), which employs a combination of  $CO<sub>2</sub>$  adsorbent and SMR catalyst, can increase the production of hydrogen  $[49]$  $[49]$ . The creation of an adsorbent with a high CO<sub>2</sub> working capacity at a fairly high temperature of 300–500 °C is a crucial need for the commercial application of the SERP. At room temperature, zeolite A, zeolite X, and activated carbon are extensively utilized as  $CO<sub>2</sub>$  adsorbents; however, at high temperatures ( $>500$  °C), these materials perform poorly as  $CO<sub>2</sub>$  adsorbents. These adsorbents are therefore insufficient for the applications.

For  $CO<sub>2</sub>$  adsorption at high temperatures, the adsorbent should have a number of distinctive characteristics [\[50](#page-321-0)]. Significant volumes of  $CO<sub>2</sub>$  must be adsorbed by the adsorbent at high temperatures with desired adsorption/desorption kinetics. Additionally, regular adsorption/desorption cycles are necessary to maintain the  $CO<sub>2</sub>$ 's adsorption capacity. Most high-temperature  $CO<sub>2</sub>$  adsorbents are made of alkali metal oxides, which use their basic characteristics to absorb acidic  $CO<sub>2</sub>$ .

The basic properties of alkali metal oxides like CaO,  $MgO$ , and  $Al_2O_3$  make them ideal for adsorbing  $CO<sub>2</sub>$  at high temperatures. Recent reports suggest that hydrotalcite-like compounds are effective adsorbents for  $CO<sub>2</sub>$  adsorption at high temperatures [\[49](#page-321-0), [51\]](#page-321-0). Particularly, the family of solid super bases known as layered double hydroxides (LDH) that contain magnesium and aluminum is effective catalyst for aldol condensations, epoxidations, and alkene isomerizations [[52–56\]](#page-321-0). Additionally, the Mg/Al ratio affects the surface basicity of the calcined hydrotalcites, and the ratio also affects the number of basic sites [\[57](#page-321-0)].

#### Solution Formulation

Hydrotalcite is a double-layered substance made up of a layer that is positively charged and brucite-like and a layer that is negatively charged. Trivalent cations replace divalent cations in the brucite structure, generating a positive charge that is balanced by an anion already present in the interlayer. Its usual molecular formula is  $[M(II)<sub>1-x</sub>M(III)<sub>x</sub>(OH)<sub>2</sub>]<sup>n+</sup>A<sub>x/n</sub><sup>n−</sup> yH<sub>2</sub>O wherein M(II) = Mg, Cu, Ni, Co, Mn, Zn;$  $M(III) = AI$ , Fe, Cr, V; An– is any interlayer anion such as  $CO<sub>3</sub><sup>2</sup>$ , Cl<sup>-</sup>, NO<sup>3–</sup>, SO<sub>4</sub><sup>2–</sup>, and  $x = 0.1 - 0.33$  [[58\]](#page-321-0).

The type of cation,  $M^{2+}/M^{3+}$  ratio, type of anion present in the interlayer, and activation circumstances all affect the hydrotalcite's basicity. For instance, mixed metal oxides with strong basicity and high surface area are produced when the hydrotalcite is calcined at temperatures exceeding 450 °C. As a base catalyst or catalyst support, it is therefore versatile [\[59](#page-321-0)].

In order to employ them as adsorbents for  $CO<sub>2</sub>$  adsorption at high temperatures, a variety of Mg/Al-based hydrotalcites should be created utilizing different manufacturing methods, Mg/Al ratios, and  $K_2CO_3$  amounts. It is therefore important to investigate the link between preparation techniques and  $CO<sub>2</sub>$  adsorption capacity at high temperatures in order to produce the best hydrotalcite with a high  $CO<sub>2</sub>$  adsorption capacity suitable for the adsorbent in the sorption-enhanced reaction process.

#### **12.6.2.2 Review of Publications on Technological Routes for CO<sub>2</sub> Capture**

#### Absorption Technology

Chemical solvents are employed in the absorption technology to absorb  $CO<sub>2</sub>$ .

It is a thoroughly studied, reliable, and established technology that sees extensive industrial use. There are two types of absorption: chemical and physical. The absorption of  $CO<sub>2</sub>$  from the flue gas happens at high pressure and low temperature in the former, which is dependent on temperature and pressure. In contrast, the latter relies on an acid-base neutralization reaction employing basic solvents to absorb  $CO<sub>2</sub>$  from the flue gas [\[60–63](#page-321-0)]. The three main types of solvents that are most frequently employed for  $CO_2$  absorption from flue gases are amines [\[64](#page-322-0)], cooled methanol  $[65]$  $[65]$ , and ammonia solution  $[66]$  $[66]$ . Despite being a proven technology for  $CO<sub>2</sub>$ capture, absorption technique uses solvents, which makes it expensive, corrosive, and energy-intensive due to high energy needs during solvent regeneration.

Additionally, because this method uses liquid absorbents in power plants, it is not well suited for usage in the coal-fred power plants in SA [[67\]](#page-322-0). Liquids, like monoethanolamine, react with  $CO<sub>2</sub>$  easily. While the method can be used to remove  $CO<sub>2</sub>$  from the resulting liquid, it is not financially feasible for use in power plants. The method may cost 30% of the country's annual increase in gross domestic product if it were implemented at every power plant in SA [\[68](#page-322-0)]. It is necessary to look into less expensive techniques for capturing  $CO<sub>2</sub>$  and hydrocarbon emissions with low energy costs.

#### Membrane Separation Technology

The authors of the study  $[69]$  $[69]$  looked into CO<sub>2</sub> capture using membranes and came to the conclusion that the use of membranes to capture  $CO<sub>2</sub>$  from flue gases can only be competitive if the flue gas's  $CO<sub>2</sub>$  concentration is greater than 10%. The idea behind  $CO<sub>2</sub>$  capture utilizing membranes is that the physical or chemical interactions between the  $CO<sub>2</sub>$  gas and the membrane might differ, allowing one gas to move through the membrane more quickly than the other. The membrane modules can be utilized as a typical membrane separation unit or as a gas absorption column [\[70–74](#page-322-0)].

It is well known for its low selectivity, high energy requirements during separation, and relative youth of the membrane technology [\[75–81](#page-322-0)]. This presents a significant drawback for membrane-based  $CO<sub>2</sub>$  capture [[82–84\]](#page-322-0). Furthermore, this method [\[85](#page-322-0), [86](#page-323-0)] employs either organic polymeric membranes or inorganic ceramic membranes. Ceramic membranes are relatively pricey, but it is highly challenging to pass  $CO<sub>2</sub>$  in the flue gas through a single-stage ceramic or polymeric membrane with a high degree of separation and a high purity of  $CO<sub>2</sub>$  at the same time. This is yet another significant drawback of this CO<sub>2</sub> collecting method.

Due to the high cost of using ceramic membranes or fouling in the case of polymeric membranes, post-combustion  $CO<sub>2</sub>$  capture technology is not viable for adoption in South African coal-fred power plants. The polymeric membranes may plasticize under the impact of  $CO<sub>2</sub>$  in the membrane while having great selectivity and permeability for  $CO<sub>2</sub>$  capture due to their extremely low heat stability. As a result, the use of membrane technology for post-combustion  $CO<sub>2</sub>$  capture in power plants like the coal-fred thermal power plants in SA is limited. As an alternative to the use of membranes for post-combustion  $CO<sub>2</sub>$  capture, effective  $CO<sub>2</sub>$  capture methods with cheap cost and high  $CO<sub>2</sub>$  capture potential, as well as selectivity, need to be further researched [\[87](#page-323-0)].

#### Cryogenic Separation Technology

The condensation and chilling principle form the foundation of the cryogenic separation method for  $CO_2$  capture [\[88–92](#page-323-0)]. It is mostly utilized in  $CO_2$  capture systems where there is a high concentration of  $CO<sub>2</sub>$  in the gas streams. Because the  $CO<sub>2</sub>$ stream from power plants is more diluted, cryogenic separation cannot be employed to capture  $CO_2$  from those facilities [\[93](#page-323-0), [94](#page-323-0)].

The fact that this technique is energy-intensive, or that it uses a lot of energy to separate  $CO<sub>2</sub>$ , is another drawback. This  $CO<sub>2</sub>$  separation technology is challenging to apply in SA due to the low  $CO_2$  concentration from the country's coal-fired power facilities. The ideal conditions for cryogenic separation are also those with extremely low temperatures [[95–101\]](#page-323-0).

However, coal-fred power stations fnd it challenging to reach the extremely low temperatures required for this method of  $CO<sub>2</sub>$  collection. The majority of cryogenic separation methods involve a succession of compression, chilling, and separation phases to separate different components in gas mixtures [\[102](#page-323-0)]. In cryogenic separation operations, impurities cause the phase transition temperature of  $CO<sub>2</sub>$  to drop as low as −80 °C. In this situation, the energy cost of refrigeration rises signifcantly, and there is a high likelihood of  $CO<sub>2</sub>$  frost production, which endangers the safety of the equipment [\[102–105](#page-323-0)].

It is necessary to look into another technique that is both commercially and environmentally feasible.

#### Adsorption Technology

Chemical and environmental processes make substantial use of the adsorption technology. For the purpose of  $CO<sub>2</sub>$  capture, it makes use of a variety of adsorbents, including zeolites, activated carbon, polyaspartamide, metal oxides, porous silicates, metal-organic frameworks, and chitosan [[106\]](#page-323-0). However, when employed in power plants,  $CO<sub>2</sub>$  capture by adsorption employing activated carbon fibers and a carbon fber component is thought to be an effective method [\[107](#page-323-0)].

Adsorption technology is gaining popularity as a result of its attributes, which include low energy consumption, simple operation, inexpensive maintenance, and adaptability [[108\]](#page-323-0).

Temperature swing adsorption (TSA), one of the adsorption methods described in the literature, is a good method since it costs little and consumes little thermal energy. Incorporating it into coal-fred plants can therefore save operating costs [\[109](#page-323-0)]. For  $CO<sub>2</sub>$  capture, however, longer cooling and heating durations are necessary [\[110](#page-324-0)].

However, it has several disadvantages of its own, such as its sensitivity to input gas temperature. The fue gas may require further heat treatment to condition it before being injected into the VSA plant; this has an impact on the process's economics and separation effciency. Since PSA uses a wide range of temperatures and pressures and uses little energy, it has emerged as a promising technology in recent years. Its minimal investment costs are an additional beneft [[111\]](#page-324-0).

Although the adsorption process has some drawbacks, such as slow kinetics and poor heat transfer, particularly in tightly packed beds, these drawbacks are signifcantly outweighed by its benefts [\[112](#page-324-0)]. This method has potential since it makes it simple to regenerate the adsorbent using pressure modulation while using less energy in the coal-fred power plants in SA.

Solid adsorbents can be utilized to collect  $CO<sub>2</sub>$  emissions from coal-fired power stations.

Although less developed than the absorption process, it is less expensive, requires little energy, and employs non-corrosive materials. Different solid support systems, including activated carbon, zeolites, carbon molecular sieves, and polymeric adsorbents such amine-grafted polyaspartamide, can be used to produce  $CO<sub>2</sub>$  adsorption [\[113](#page-324-0)]. In the studies mentioned by Chaffee et al. [\[114](#page-324-0)], solid adsorbents are used physically absorb  $CO<sub>2</sub>$  with less energy than other  $CO<sub>2</sub>$  capture devices.

With equivalent pressure in the feed and product streams, physical  $CO<sub>2</sub>$  adsorption only needs around  $0.09$  KWh/kg  $CO<sub>2</sub>$ , which is significantly less energy than chemical CO<sub>2</sub> adsorption, which needs about  $0.34$  KWh/kg CO<sub>2</sub>. Yang et al's [[115\]](#page-324-0). investigation into pressure swing adsorption methods for  $CO<sub>2</sub>$  capture using activated carbon and zeolite  $13X$  and modeling the physical adsorption of  $CO<sub>2</sub>$  using solid physical adsorbents led to the conclusion that the adsorption technology is less expensive and less energy-intensive for  $CO<sub>2</sub>$  capture.

When employing molecular sieves and activated carbon materials, Othman et al. [\[116](#page-324-0)] corroborated similar findings. Rivas et al. [[113\]](#page-324-0) indicated that solid adsorbents are more effcient than liquid systems. For instance, solid adsorbents function better when the partial pressure of  $CO<sub>2</sub>$  is higher than 50 KPa [\[117–120](#page-324-0)]. However, when combined with chemical absorbents, liquid absorbents stabilize. Since  $CO<sub>2</sub>$ capture from these power plants must be done at high pressures and since the adsorption of gases is encouraged by higher pressures and lower temperatures, adsorption technology will be perfect for efficient  $CO<sub>2</sub>$  capture.

Physical adsorbents, which have a strong affinity for  $CO<sub>2</sub>$ , have also been shown to capture CO2. However, the downstream procedure causes the gas's purity to decline  $[121]$  $[121]$ . The best physical adsorbents to use for  $CO<sub>2</sub>$  capture, according to the research, are those based on polymers and activated carbon. They generate a stream of CO<sub>2</sub> that is between 75% and 80% pure and recover 90% [\[122](#page-324-0)]. Adsorption systems do not require a lot of energy, and their recovery is far lower than that of chemical absorption techniques [[123\]](#page-324-0).

The previous paragraphs made reference to how expensive and energy-intensive these technologies are  $[124]$  $[124]$ . Consequently, a cost- and energy-efficient strategy is required.

### **12.6.2.3 Review of Papers on Various Fly Ash-Based Sorbent Types That Have Been Prepared and Characterized**

#### Potassium-Based Sorbents

The potential of potassium-fly ash (K-FA) sorbents for high-temperature  $CO<sub>2</sub>$  sorp-tion was examined in the work of Sanna and Maroto-Valer [\[21](#page-319-0)]. Coal fly ash was used as a source of silica and aluminum for the production of K-FA. To test the synthesized materials' ability to trap  $CO<sub>2</sub>$ ,  $Li<sub>2</sub>CO<sub>3</sub>$  and  $Ca(OH)<sub>2</sub>$  were also added.

The fndings demonstrated that temperature had a signifcant impact on the K-FA sorbents' ability to absorb  $CO_2$ , with a  $CO_2$  uptake of 1.45 mmol  $CO_2/g$  sorbent for K-FA 1:1 at 700 °C. Li<sub>2</sub>CO<sub>3</sub> (10 wt%) improved the CO<sub>2</sub> sorption, allowing the K-FA 1:1 to absorb 2.38 mmol  $CO_2/g$  sorbent at 700 °C for 5 minutes. In comparison with previously created Li-Na-FA (2.54 mmol/g) and Li-FA (2.4 mmol/g) sorbents, this sorption was determined to be similar. Additionally, sorption and desorption were enhanced by the addition of  $10\%$  Li<sub>2</sub>CO<sub>3</sub>.

The fndings suggested that the creation of the K-Li eutectic phase, which favors the diffusion of potassium and  $CO<sub>2</sub>$  in the material matrix, is responsible for the higher uptake of  $CO<sub>2</sub>$  and faster reaction rates in the presence of K-FA. The cyclic testing revealed that throughout the course of 10 cycles, the  $CO<sub>2</sub>$  uptake and reaction rates were stable for the K-FA materials.

#### Activated Carbon

In the research conducted by Alhamed et al. [[22\]](#page-320-0), activated carbon (AC) treated with KOH at a higher temperature and assessed for  $CO<sub>2</sub>$  capture effectiveness were made from fly ash (FA), which was produced in desalination and power plants. The  $N<sub>2</sub>$ adsorption isotherm was used to measure the morphological properties of FA, including BET-specifc surface area (SSA), pore volume, pore diameter, and pore size distribution (PSD). Thermogravimetric analysis was used to determine the  $CO<sub>2</sub>$ adsorption capacity and isotherms of  $CO<sub>2</sub>$  over AC at various temperatures.

By activating at a KOH/FA ratio of 5 at 700  $^{\circ}$ C and activating for 2 hours, it is possible to get a BET SSA of 161  $m^2g^{-1}$  and an adsorption capacity of 26 mg  $CO<sub>2</sub>/g$  AC. Therefore, there is a lot of potential for making AC from FA, which will help to lessen the problem of landfills and global warming.

#### Sodium Silicate Sorbents

Na-silicates have been suggested as effective sorbents at room temperature; however, Sanna and Maroto-Valer [\[23](#page-320-0)] claim that their potential as high-temperature  $CO<sub>2</sub>$  sorbents has not yet been investigated. In this study, the  $CO<sub>2</sub>$  sorption of sodium silicates made from fly ash was assessed at 500, 600, and 700 °C. On  $CO_2$  sorption, the effects of 2–12 vol% moisture, 12.5–100 vol%  $CO_2$ , and  $CO_2$  sorption boosters were also assessed.

The findings show that the  $CO<sub>2</sub>$  sorption capacity and regeneration temperature were considerably infuenced by the carbonate: silica ratio utilized in the sorbent synthesis. The production of metastable sodium silicate phases, which led to greater  $CO<sub>2</sub>$  uptake, made calcination at 800 °C preferable. Na-FA 0.5:1 was chosen as the preferable developed sorbent because it was able to sustain  $CO<sub>2</sub>$  sorption/desorption capability after fve cycles (compared to 1:1 and 1.5:1). At post-combustion conditions (12.5 percent CO2, 12% H<sub>2</sub>O, and 700 °C), NA-FA 0.5:1 had an excellent capacity. The 20%  $CO_2$  sorption was improved by the addition of 20%  $Li_2CO_3$ .

Overall, the  $CO_2$  collection capacity and recyclability of the Na-FA 0.5:1 sorbent were equivalent to those of other high-temperature sorbents.

#### Mesoporous Silica Materials

In the study by Panek et al. [[24\]](#page-320-0), the researchers used silicate fltrate, a by-product of hydrothermal zeolite manufacturing, to create mesoporous silicate molecular sieve, MCM-41, from pulverized coal fy ash (PFA). In this study, rice husk ash was

likewise employed as a comparator, but sodium hydroxide was fused with it in a manner reminiscent of other fndings that used PFA as a precursor for the synthesis of MCM-41 to create the silicate fltrate.

The MCM-41 samples have greater pore volumes dominated by mesopores  $(0.92-1.13 \text{ cf. } 0.88 \text{ cm}^3 \text{g}^{-1})$  but are otherwise chemically and mineralogically comparable to a commercially available sample. The ash-derived MCM-41 samples displayed higher uptakes than the commercial sample after polyethyleneimine (PEI) impregnation for  $CO<sub>2</sub>$  capture, with the maximum achievable PEI loading of 60 wt.% PEI (dry basis) before particle agglomeration occurs, corresponding to approximately 13 compared to 11 wt.%, the latter being comparable to earlier reports in the literature.

The PFA sample that exhibits the quickest absorption kinetics to reach 90% of equilibrium had the biggest mesopore volume, measuring  $1.13 \text{ cm}^3 \text{g}^{-1}$ . Production costs for MCM-41 derived from PFA are predicted to be signifcantly lower than for MCM-41 derived from RHA because PFA-derived MCM-41 uses a waste silicate solution for hydrothermal preparation and no prior preparation is required. This is true even if ash is used, as it is for the RHA-derived MCM-41 used here.

#### 12.6.2.4 CO<sub>2</sub> Aluminosilicate Sorbents

#### **Zeolites**

In the works of Liu et al. [\[25](#page-320-0)], the authors used an alkali fusion technique to create Zeolite A and  $A + X$  mixes from coal fly ash imported from China. Both of the materials were crystalline and repeatable, according to X-ray diffraction. Pure zeolite A particles have a cubic morphology, according to scanning microscopy, but the combination exhibits intergrowth of cubic and pyramidal crystals.

 $A + X$  combination had a surface area of around 330 m<sup>2</sup>/g, which is greater than zeolite A but less than ordinary X zeolite. Adsorption isotherms for  $CO_2$  and  $N_2$ were measured, and the dual-site Langmuir equation was used to ft the data. Then, in a nine-step cycle, these zeolites were evaluated for  $CO<sub>2</sub>$  absorption at various temperatures. Both the zeolite  $A + X$  mixture and the zeolite A made from fly ash performed better in  $CO<sub>2</sub>$  capture from flue gas when compared to 13X zeolites at higher temperatures (90 °C) because they have stronger selectivity of  $CO_2$  over N<sub>2</sub>.

#### Hydrotalcites (HT)

According to Muriithi et al. [\[26](#page-320-0)], the authors noted that there is growing interest in the production of adsorbents such hydrotalcites (HT) from mineral waste, such as blast furnace slag. This paper describes a unique method for producing HT from fy ash, a common waste product of coal-fred power plants in SA.

The optimization procedure, which highlights the boundary conditions for this mineral phase's crystallization, is the second area of originality. The HCl content,

aging duration and temperature, pH during the aging process, and crystallization time and temperature were the variables examined for the optimization of HT synthesis from fy ash. The best synthesis conditions were as follows: 3 M HCl concentration, 30 minutes of aging, 65 °C of aging, 11.5 pH during aging, 12 hours of crystallization, and 70 °C of crystallization temperature. The exterior surface area and microporosity of HT were both quite high.

The majority of the morphological components of synthesized HT were submicron, plate-like particles. Except for the presence of calcite, the structural features of HT made from fy ash were comparable to those of HT obtained from analytical-grade chemicals. Under optimal process conditions that reduced the development of secondary undesirable mineral phases like calcite or hydrogarnet, a novel usage of waste South African Class F fy ash was as a suitable feedstock for the synthesis of high-quality HT.

### **12.6.2.5 Preparation and Characterization of Hydrotalcite-Derived Material from Various Feedstocks**

#### Natural Dolomite

Dolomite is a naturally occurring double carbonate and a source of calcium and magnesium ions, according to Hosni and Srasra [[29\]](#page-320-0). In their research, a straightforward process was used to create Mg-Al-CO<sub>3</sub> layered double hydroxide from dolomite feedstock. On their structure and textural qualities, the infuence of synthesis parameters including the  $M^{2+}/Al^{3+}$  ratio, reaction temperature, and pH was investigated. X-ray diffraction, Fourier transform infrared spectroscopy, thermogravimetric analysis (TGA), and Brunauer, Emmett, and Teller (BET) measurements were used to determine the structural characteristics of the materials.

The authors came to the conclusion that dolomite can be processed into pure and well-crystalline phases of Mg-Al-CO3 LDH in an aqueous  $Na_2CO_3$  solution. That a key consideration was the preparation's pH. Pure LDH was generated at a pH of 9.5. The LDH's crystallinity dropped below this threshold. Conditions that are quite alkaline do not seem to be favorable for the synthesis. The ideal dolomite/Al molar ratio was approximately 1. Above this ratio, brucite was generated. Low dolomite/ Al ratios resulted in products with poor crystallinity. A factor that was thought to be crucial for regulating crystallinity and particle size was aging temperature. According to experimental research, hydrotalcite must be at a high temperature to form a well-crystalline phase.

#### Bittern

An assessment of the viability of employing Class C fy ash for the synthesis of Faujasite (Type X) and Tschernichite (Type A) type zeolite materials is shown in the study by Kunecki et al. [[30\]](#page-320-0). Syntheses were performed to produce the well-formed

zeolites. The variables were as follows: water, the fltrate (post-reaction solutions obtained during the hydrothermal synthesis of zeolites rich in Si), the ratio of fy ash to NaOH, and the quantity of aluminum foil added.

According to the analysis, three of the most efficient reactions (from which samples 21–23 were formed) took place in the following circumstances: the amounts of H2O, fltrate, and aluminum foil added were 100, 100, and 50 ml for each of the three reactions, respectively. The ratio of NaOH to fy ash was 1.6, 2.0, and 1.25, with fusion temperatures of 550  $^{\circ}$ C for each of the three reactions, fusion times of 1 hour, and reaction times of 4 hours. The reaction temperature was 80 °C for each of the three reactions (for each of the three reactions).

"The three best zeolite materials (Samples 21–23) were submitted to mineralogical, chemical, and textural analytical characterization using X-ray powder diffraction (XRD), scanning electron microscopy–energy-dispersive X-ray spectroscopy (SEM-EDS), and X-ray fuorescence (XRF), Brunauer–Emmett–Teller (BET), to determine specifc surface area and pore volume and size)." The produced zeolites, according to studies, have a Type A (Samples 21–22) and Type X (Sample 23) structure, as well as well-formed grains with isometric and cubic properties. The obtained zeolites' computed unit cell characteristics point to a cubic crystal system and are quite similar to the reference values for the structures of X and A type zeolites.

The ratio of  $SiO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub>$  in each of the three tested zeolite materials was as follows: 2.16, 1.98 and 2.41. For Samples 21–23, the specifc surface area was 106, 104, and 256 m<sup>2</sup>/g, respectively. The outcomes were comparable to the zeolite structures discovered in Class F fy ash. As a result, we can draw the conclusion that the Class C fy ash under analysis may also be a useful substrate for the synthesis of Type X and Type A zeolite materials.

#### Blast Furnace Steel Slag

The authors of this study by Kunecki et al. [[31\]](#page-320-0) reported the effect of time on the creation of the mineral structure of GIS Na-P1 zeolite. Aluminum and silica were obtained from thin microspheres. The material used in this project was created utilizing a prototype installation at a quarter-technical scale. X-ray diffraction (XRD), X-ray fuorescence (XRF), scanning electron microscopy equipped with a system of chemical composition analysis based on energy dispersive X-ray (SEM-EDS), volumetric adsorption analyzer, Fourier transform infrared spectroscopy (FTIR), and particle size analyzer were used to determine the chemical, mineralogical, and textural properties of the material (PSA).

The interesting and in some cases remarkable phenomena of the lattice parameters ripening as a function of time is demonstrated by experimental calculations based on Miller indices. With longer synthesis times, both the number of zeolites present and the unit cell characteristics (a, b, c, and volume) rise. With the use of the XRD and FTIR techniques, this process may be seen clearly. The fnished product's structural, morphological, and textural characteristics suggest that it might be benefcial as an adsorbent for several kinds of environmental pollution.

Muriithi et al. [\[26](#page-320-0)] the synthesis of adsorbents such as hydrotalcites (HT) from mineral waste (e.g., blast furnace slag) is attracting growing attention. This paper describes an unique method for producing HT from fy ash, a common waste product of coal-fred power plants in South Africa. The optimization procedure, which highlights the boundary conditions for this mineral phase's crystallization, is the second area of originality.

The HCl content, aging duration and temperature, pH during the aging process, and crystallization time and temperature were the variables examined for the optimization of HT synthesis from fy ash. The best synthesis conditions were: 3 M HCl concentration, 30 minutes of aging, 65 °C of aging, 11.5 pH during aging, 12 hours of crystallization, and 70 °C of crystallization temperature. The exterior surface area and microporosity of HT were both quite high.

The majority of the morphological components of synthesized HT were submicron, plate-like particles. Except for the presence of calcite, the structural features of HT made from fy ash were comparable to those of HT obtained from analytical-grade chemicals. The waste Class F fy ash from SA was used in an innovative way to create high-quality HT under optimal processing conditions that reduced the production of secondary undesirable mineral phases like calcite or hydrogarnet.

#### Aluminum Slag

In this study by Wajima [[32\]](#page-320-0), hydrotalcite was created from bittern solution by adding AlCl<sub>3</sub> (the solution's Mg/Al molar ratio was 3), and its capacity to remove phosphate and nitrate from water was tested. Both bittern and seawater can be used to make hydrotalcite, although the product made from bittern has a higher hydrotalcite content than the one made from seawater. Higher than commercial hydrotalcite, the bittern product has phosphate and nitrate removal capabilities.

The experimental data are found to better ft the Langmuir than the Freundlich isotherm models when calculating the equilibrium adsorption capacity of the product for phosphate and nitrate ions. Because of the ion exchange process between chlorine and sulfate in the product, phosphate adsorption on the product reached saturation in 30 minutes and was essentially steady after that. Nitrate adsorption on the product increased in 15 minutes and then gradually decreased.

The main constituents of blast furnace slag (BFS) are CaO,  $SiO_2$ ,  $Al_2O_3$ , MgO, and trace amounts of transition metals like Fe, Ti, and Mn, according to Kuwahara et al. in their study titled "A novel conversion process for waste slag: synthesis of a hydrotalcite-like compound and zeolite from blast furnace slag and evaluation of adsorption capacities" [\[33](#page-320-0)]. We successfully used the chemical method of acidleaching and precipitation to create a hydrotalcite-like molecule from BFS.

After undergoing HCl acid-leaching, BFS was separated into hydrated silica with 92 wt.%  $SiO<sub>2</sub>$  and leaching solution containing other components, which provided a hydrotalcite-like product after further NaOH addition in a high yield. The result generated at 100 °C was determined to be a Ca-Al hydrocalumite complex by

XRD and chemical analysis. Its stoichiometric molar ratios are Ca: Al: Cl = 2: 1: 1, and it contains other metal cations in its structure.

The hydrotalcite-like compound had an elevated phosphate adsorption capacity of about 40 mg P/g, which was more than three times more than that of typical Mg-Al-based hydrotalcite. The phosphate adsorption capacity of the raw slag was 1.5 mg P/g. In addition, utilizing the remaining silica and a hydrothermal treatment lasting 6 hours at 100 °C, single-phase A- and X-type zeolites with high crystallinities and outstanding water adsorption capacities (247 and 333 mg g−<sup>1</sup> , respectively) were effectively created. From the perspective of making efficient use of BFS, this conversion procedure, which enables us to create two distinct types of valuable materials from BFS at a cheap cost and with simple preparatory stages, is unquestionably advantageous.

According to Galindo et al. [\[34](#page-320-0)], due to environmental concerns, the powdered solid strapped in flter sleeves in the aluminum tertiary industry is currently disposed of in secure landflls. They are categorized as hazardous waste because they contain a lot of aluminum, either in the form of metallic aluminum or in compounds like aluminum nitride.

These substances have the ability to react with extremely little moisture, releasing toxic or dangerous gases like hydrogen and ammonia.

In three steps, the low-cost method described in this study allows for the complete recovery of this hazardous waste and the creation of two different added-value components. The trash is subjected to mild acid hydrolysis in the frst step in order to produce an inert cake and a concentrated solution of aluminum. The subsequent steps involve synthesizing hydrotalcite from the resultant solution and using the cake to create transparent glasses based on the  $CaO-AI<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub>$  system. The products' characteristics show that the hydrotalcites can easily absorb anionic contaminants (molybdates), while the glasses offer better optical properties than those made by directly vitrifying the trash.

#### Oil Shale Ash

With the aid of ammonia and triethanolamine at pH 10, Galindo et al. [\[35](#page-320-0)] reported that hydrotalcite-like compounds were co-precipitated with dilute sodium hydroxide from an unconventional aluminum source: the aluminum waste produced by the tertiary aluminum industry. To compare results, these compounds were characterized using a variety of techniques (XRD, FT-IR, UV-vis-NIR, SEM, DTA-TG, and BET procedures).

Characterization of the products revealed considerable variations based on the choice of basic reagent. Products that co-precipitated with ammonia exhibited less crystal development, a higher internal surface area, and a structure that contained signifcantly more iron. Triethanolamine-derived products demonstrated how organic molecules entered the multilayer framework. These results were crucial for the development of waste treatment techniques that turned hazardous wastes made of aluminum into stacked double hydroxides, a product with additional value.

#### Coal Fly Ash

Muriithi et al. [[26\]](#page-320-0), by means of procedures including carbonation, dissolution, coprecipitation, and fuid transport mechanisms, natural weathering at coal power plants' ash dams results in the long-term chemical, physical, and geochemical changes in the ash. On the possibility for wet or dry ash dams to naturally collect carbon, very little information is currently known. This study compared a naturally occurring occurrence with accelerated ex-situ mineral carbonation of fresh fy ash to determine the degree of carbon capture in a wet-dumped ash dam and the mineralogical changes supporting  $CO<sub>2</sub>$  capture (FA). Sr, Ba, and Zr trace metals at signifcant concentrations were found in both fresh ash and weathered ash. However, it was discovered that weathered ash had more Nb, Y, Sr, Th, and Ba than fresh ash did.

Fresh ash is composed of quartz, mullite, hematite, magnetite, and lime from a mineral perspective; however, weathered and carbonated ashes also contained other phases like calcite and aragonite. The fresh FA was able to trap up to 6.5 wt%  $CO<sub>2</sub>$ , and accelerated carbonation (done at 2 hours, 4 MPa, 90 °C, bulk ash, and a S/L ratio of 1) resulted in a  $60\%$  conversion of calcium to  $CaCO<sub>3</sub>$ . On the other hand, it was discovered that over the course of 20 years of moist disposal of ash, 6.8 wt percent CO<sub>2</sub> was naturally carbonated.

Thus, the ash dumps' spontaneous carbonation is considerable and may be useful in extracting  $CO<sub>2</sub>$ .

In their study, Gil et al. [\[36](#page-320-0)] described the co-precipitation method's use of aluminum recovered from saline slag wastes to synthesize hydrotalcite-like materials. Using a refux system over a two-hour period, saline slags were chemically treated with 2 mol/dm<sup>3</sup> aqueous solutions of NaOH. With the help of cobalt, magnesium, and nickel nitrates, as well as  $Na<sub>2</sub>CO<sub>3</sub>$ , aluminum aqueous solutions were employed as precursors to create hydrotalcite-like materials with two different mole  $M^{2+}/Al^{3+}$ ratios, 2:1 and 4:1.

X-ray diffraction, thermogravimetric studies, nitrogen adsorption at 196 °C, and scanning electron microscopy were used to analyze the resultant solids. The  $CO<sub>2</sub>$ adsorption at 50, 100, and 200 °C was assessed under dry conditions after thermal treatment at 200 °C. The Mg: Al-2: 1 sample had a remarkable sorption capacity of 5.26 mmol/g at 80 kPa and 50 °C, which was signifcantly greater than the sorption capacities previously reported in the literature for hydrotalcites under comparable conditions.

The values of the Henry's law constants, which range from 0.01 to 4.20 mmol/ kPag, were directly deduced from the adsorption isotherms at low pressures. Using the Clausius–Clapeyron equation, the isosteric heats of  $CO<sub>2</sub>$  adsorption were discovered to be between 5.2 and 16.8 kJ/mol.

#### Mullite-Rich Tailings

The WCD from SA was studied for particle size distribution, chemical, and morphological investigations in the work of Okanigbe, Popoola, and Adeleke [\[125](#page-324-0)]. The outcomes indicated that the majority of the dust's particles fall within the −53 μm size fraction.

Linda et al. [[126\]](#page-324-0) acknowledged that the high cost of metal powders like mullite will make it impractical to employ mullite for some engineering applications. As a result, they suggested obtaining mullite from WCD or its by-product (i.e., MRT).

#### **Conclusion**

*Researchers concur that major emitting sources, such as coal-fred power plants that produce electricity, hold the key to the possibility for CO<sub>2</sub> collection. Researchers also concur that post-combustion*  $CO_2$  *capture is the best method for capturing*  $CO_2$ *for South African coal-fred power plants. The suitability is based on the simplicity of retroftting capture equipment in the existing coal-fred power plants, according to the research reports. In support of this assertion, authors also concur that solid adsorbent adsorption technology will be more practical and cost-effective for CO<sub>2</sub> collection in South African coal-fred power stations.*

*According to reports on research into the use of solid adsorbents in the adsorption process, hydrotalcites has shown potential as a solid adsorbent for CO<sub>2</sub> capture, even at an industrial scale. The use of pure chemicals raises their production costs, despite the fact that it is simple to synthesize HT in the lab using analyticalgrade chemicals, which remove the challenges brought on by many anions in the structural matrix and make it easier to identify trends and characteristics.*

*According to authors, producing HT from other sources of beginning materials can reduce the high production cost of HT to the bare minimum. The authors concurred that natural dolomite and bittern, as well as industrial wastes such blast furnace steel slag, aluminum slag, oil shale ash, and more recently coal fy ash, can be used as substitute raw materials for the synthesis of HTs.*

*According to authors, there are three key benefts of using waste materials like those listed in the previous paragraph to create CO<sub>2</sub> adsorbents like hydrotalcite. The frst option is for copper smelting facilities to recycle their waste and sell the finished goods to power plants in order to store or release CO<sub>2</sub> emissions at a later time through changes in pressure or temperature. Second, recycling scrap metal dust would lessen the harm caused by disposing of it. Thirdly, employing the waste metal dust instead of coal fy ash will allow you to avoid spending money on dust management and disposal site restoration.*

*The infuence of metal oxides conveyed from the raw coal fy ash has not been fully understood, according to scientists, which makes it diffcult to synthesis aluminosilicate sorbents like HT and their structural features. The presence of iron oxides is predicted to be crucial for the use of coal fly ash as a CO<sub>2</sub> <i>adsorbent, according to the scientists; however, it is unclear to what extent the iron concentration has a major impact on HT's adsorption ability. In this view, further research is still needed to fully grasp the issue of how to classify coal fy ash in terms of the primary chemical constituents that affect their capacity to trap CO2.*

*Researchers from TUT produced HT by accident from the leach solution of copper WCD. Therefore, the authors concur that South African WCD was an appropriate feedstock for making high-purity HT, which should have good adsorption characteristics for CO2 capture. The researchers did note, however, that metal oxides were transferred during the creation of HT from WCD. According to reports, as the heavy metal oxides reported to the concentrate during the density separation of WCD, the amount of heavy metal oxides in the tailings was reduced. Thus, there is a knowledge gap in the domain of making hydrotalcite from tailings rich in mullite from SA.*

*Consequently, the suggested research topic, "Preparation and Characterization of Hydrotalcite-Derived Material from Waste Metal Dust for CO<sub>2</sub> Capture from Coal-Fired Thermal Power Plants: South African WCD," came to be.*

### **12.7 Methodology**

### *12.7.1 Materials and Methods*

#### **12.7.1.1 Materials Description and Preparation**

The elemental and mineralogical compositions of previously acquired WCD samples have been discussed in the literature (Okanigbe et al. [[125\]](#page-324-0)). This WCD from SA will be used as a starting material for the production of different  $HT_{MRT}$  grades.

#### **12.7.1.2 Methods and Processes**

As described in the next sub-sections, the WCD will frst undergo gravity separation to produce tailings with different mullite contents.

Density Separation of WCD

The rotational bowl speed and fuidized water fow rate will be varied at three levels in order to separate the WCD on the basis of densities (Table 12.1). The test procedure for the density separation of the WCD is described in Table [12.2](#page-314-0). Forty-fve test samples will be produced after fve passes of the separation (Table [12.3](#page-314-0)). These MRTs will serve as the raw material for making  $HT<sub>MRT</sub>$ . The thesis by Okanigbe [\[38](#page-320-0)]

S/N	Parameters	Low $(0)$	Medium $(1)$	High(2)
77	RBS $(m/s2)$	60	90	120
$\Lambda$	FWFR (l/min)	3.0	4.5	6.0

**Table 12.1** Parameters measured for density separation experiment

<b>Tests</b>	RBS(G)	FWFR (l/min)	Treatment combination (TC)
	А	A	AA
	А	B	AB
	А	⌒	AC
	B	A	BA
	B	B	<b>BB</b>
	B	◠	BC
	$\subset$	А	<b>CA</b>
	$\subset$	B	CB
			CC

<span id="page-314-0"></span>**Table 12.2** Test protocol for density separation of CSD

**Table 12.3** Test protocol for production of Mullite-Rich Tailings (MRT)

	Tests and tailings								
Passes	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	1(1)	1(2)	1(3)	1(4)	1(5)	1(6)	1(7)	1(8)	1(9)
2	2(1)	2(2)	2(3)	2(4)	2(5)	2(6)	2(7)	2(8)	2(9)
3	3(1)	3(2)	3(3)	3(4)	3(5)	3(6)	3(7)	3(8)	3(9)
4	4(1)	4(2)	4(3)	4(4)	4(5)	4(6)	4(7)	4(8)	4(9)
	5(1)	5(2)	5(3)	5(4)	5(5)	5(6)	5(7)	5(8)	5(9)

includes specifcs about the calculations that will be done prior to preparing the slurry that will be utilized for the density separation experimentation.

### Synthesis of Hydrotalcite  $HT_{MRT}$

During the optimization process for the production of HT, the details on Tables [12.4](#page-315-0) and [12.5](#page-315-0) will be adhered to. Under optimal leach conditions, the MRTs mentioned in Table 12.3 will be leached to produce pregnant leach solutions (PLS). To produce HTs with different grades, PLS will be treated to precipitation procedure (Fig. [12.3\)](#page-315-0).

Characterization and Measurement

### **X-Ray Diffraction (XRD)**

Utilizing XRD, mineralogical compositions will be examined (Bruker D8 Advance X-ray diffractometer).

### **Scanning Electron Microscopy (SEM)**

A Hitachi X-650 scanning electron microanalyzer will be used for the morphological analysis.

	Levels		
Parameters	Low	Medium	High
Temperature $(^{\circ}C)$		55	85
Rotational speed (rpm)	340	740	1480

<span id="page-315-0"></span>**Table 12.4** Parameters considered for the production of hydrotalcite

Table 12.5 Design of experiment for the production of hydrotalcite

<b>Tests</b>	Temperature $(^{\circ}C)$	Rotational Speed (rpm)	<b>Treatment Combination</b>
	25	340	$25^{\circ}$ C-340 rpm
2	25	740	25 °C-740 rpm
3	25	1480	25 °C-1480 rpm
$\overline{4}$	55	340	55 °C-340 rpm
	55	740	55 °C-740 rpm
6	55	1480	55 °C-1480 rpm
	85	340	85 °C-340 rpm
8	85	740	85 °C-740 rpm
9	85	1480	85 °C-1480 rpm



**Fig. 12.3** Experimental setup for the production of hydrotalcites

#### **Transmission Electron Microscopy (SEM)**

HR-TEM will be used to further characterize the HT's surface morphology (Tecnai, G2 F20 X-Twin MAT).

### **Thermo-Graphical Analyzer (TGA)**

Using a Mettler Toledo TGA/SDTA 851e with sample robot and a TSO800 GC gas control unit, thermal gravimetric analysis will be performed.

### **FTIR**

A Micrometrics Tri Star 3000 Analyzer will be used to measure the surface area of the prepared hydrotalcite using a PerkinElmer Universal (ATR) mounted with a diamond crystal.

### **XRF**

In order to show the beneft of including a density separation stage to the proposed methodology and to highlight the extent to which metal in the MRT will not be incorporated in the structure of the  $HT<sub>MRT</sub>$ , aside from the divalent and trivalent cations, XRF analysis will be performed on the prepared hydrotalcite.

### **Brunauer–Emmett–Teller (BET)**

Using the Brunauer–Emmett–Teller (BET) method, the porosity and surface area measurements of the starting materials and manufactured HT will be calculated using the  $N_2$  adsorption/desorption isotherms at a temperature of 196 °C. The "classic theory model" of Barrett, Joyner, and Halenda will be used as a guide to compute the pore size distributions from the  $N_2$  adsorption isotherms.

*In order to confrm the applicability of the preparation procedures in producing hydrotalcite with the needed qualities from MOT, the results of these analyses' structural properties of the prepared hydrotalcite will be compared to those of commercial materials.*

 $CO<sub>2</sub>$  Sorption on Synthesized  $HT<sub>MT</sub>$ 

All generated HTMRT and commercial HTs adsorbents will be subjected to temperature-controlled desorption studies  $(CO<sub>2</sub>-TPD)$  utilizing a micrometrics auto Chem (model 2950 HP). As the temperature is ramped up from 20 to 200  $^{\circ}$ C at a rate of 2 °C/min, each adsorbent will be degassed by having helium flow over its surface at a rate of 20 ml/min.

The HT samples will be cooled to ambient temperature with a fow of helium after the temperature is maintained at 200 °C for 1 hour.

The sample will be exposed to 4 hours of  $99.99\%$  instrument grade  $CO<sub>2</sub>$  for adsorption onto the basic sites of the sample at room temperature. After 4 hours of isothermal helium blowing over the adsorbent to remove extra  $CO<sub>2</sub>$ , the TCD detector will be turned on, allowed to stabilize, and zeroed. The sample will be heated from ambient temperature to 900  $\degree$ C at a ramping rate of 2  $\degree$ C/min during the desorption of  $CO<sub>2</sub>$  in a helium environment at a flow rate of 20 ml/min. The amount of  $CO<sub>2</sub>$  evolved in relation to the temperature will then be detected by a TCD detector to produce the TPD profles.

### **Experimentation to Determine the Effect of Molar Ratio on HT<sub>MRT</sub> CO<sub>2</sub> Capture Capacity**

The ability of various Na-HT<sub>MRT</sub> sorbents to absorb  $CO<sub>2</sub>$  at temperatures typical of industrial  $CO<sub>2</sub>$  emitters, such as coal-fired thermal power plants, will be evaluated. We will look into how varied  $Na_2CO_3$ : HT<sub>MRT</sub> molar ratios affect  $CO_2$  sorption and desorption at 700 °C.

### **Experimentation to Determine the Effect of Temperature on**  $HT_{MRT}CO<sub>2</sub>$ **Capture Capacity**

To ascertain the temperature corresponding to the highest  $CO<sub>2</sub>$  sorption capacity and the  $CO_2$  sorption activation temperature, a non-isothermal  $CO_2$  absorption test will be performed.

### **Experimentation to Determine Effect of Calcination on HT<sub>MRT</sub> CO<sub>2</sub> Capture Capacity**

By raising the calcination temperature, which can facilitate Na diffusion, the distribution of sodium (Na) in the calcination products can be altered. We will look into the CO<sub>2</sub> uptake characteristics of Na-HT<sub>MRT</sub> sorbents that were calcined at 600, 800, and 900 °C.

### **Experimentation to Determine Effect of Diluted CO<sub>2</sub> and Moisture on** Sorption/Desorption on HT<sub>CSD</sub> CO<sub>2</sub> Capture Capacity

To assess their impact on the  $CO<sub>2</sub>$  sorption capacity, the  $CO<sub>2</sub>$  absorption capacity of the HTCSD sorbents will be investigated in the presence of diluted  $CO<sub>2</sub> (12.5%)$ and moisture (212%).

### **Experimentation to Determine Regeneration of Developed HT<sub>MRT</sub> Sorbents** after CO<sub>2</sub> Capture

With  $\text{Na}_2\text{CO}_3$ :SiO<sub>2</sub> molar ratios of 0.5:1, 1:1, and 1.5:1, five CO<sub>2</sub> sorption/desorption cycles for the Na- $HT<sub>MRT</sub>$  sorbent will be studied.

# **12.8 Contribution to Knowledge**

The following information will be revealed at the conclusion of this study:

- 1. This project will offer an innovative, low-cost secondary supply of mullite. One which will act as a solid adsorbent for the  $CO<sub>2</sub>$  capture.
- 2. This secondary resource of mullite is new since it has never been used as a solid adsorbent for the extraction of  $CO<sub>2</sub>$ .
- 3. Offering a novel  $HT_{MRT}$  with structural characteristics that have not been mentioned in the literature.
- 4. The ability of  $HT_{MRT}$  to absorb  $CO_2$  will be revealed.
- 5. To ensure compatibility, the results of  $HT_{MRT}$ 's structural characteristics and  $CO<sub>2</sub>$ adsorption potential will be compared to those of commercial materials.

# **12.9 Ethical Considerations**

There are no ethical issues in this project.

## **12.10 Dissemination**

The results will be presented at local and international conferences, while and the full paper will be published in the corresponding conference proceedings. Other results will be published in Department of Higher Education and Training (DHET) accredited journals like the following:

- Korean Journal of Chemical Engineering by springer publisher.
- Journal of Materials Science by springer publisher.

# **12.11 Budget (Table 12.6)**

**Table 12.6** Estimated budget of the projectKey: *TUT* Tshwane University of Technology, *R* Rand

<b>Items</b>	Cost	<b>Source</b>
Literature sourcing and stationaries	x	TUT
Materials and supplies		TUT
Analytical equipment	x	TUT
<b>Travelling expenses</b>	x	TUT
Miscellaneous expenses	x	TUT
Total	x	TUT

# **12.12 Time Frame (Table 12.7)**

**Table 12.7** Estimated time frame of the project

	Year		
S/N	Task name	2020	2021
1	Proposal (compilation and presentation)	<b>WIP</b>	<b>WIP</b>
$\overline{c}$	Literature review	<b>WIP</b>	<b>WIP</b>
3	Material sourcing	<b>WIP</b>	<b>WIP</b>
$\overline{4}$	Sample preparation (sampling)	<b>WIP</b>	<b>WIP</b>
5	Fabrication of test sample optimization process	<b>WIP</b>	<b>WIP</b>
6	Fabrication of test samples	<b>WIP</b>	<b>WIP</b>
	Thermal conductivity and wear resistance tests	<b>WIP</b>	WIP
8	Results, data, and analysis	<b>WIP</b>	<b>WIP</b>
9	Optimum predictive model development	<b>WIP</b>	<b>WIP</b>
10	3D print of brake rotor and validation of optimum prediction	<b>WIP</b>	<b>WIP</b>
11	Compilation and presentation of final report	<b>WIP</b>	<b>WIP</b>

Key*: WIP* Work in Progress

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