

Lichens and Their Allies Past and Present 6

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# Abstract

This chapter gives an overview on (1) lichenforming fungi, lichen photobionts and peculiarities of lichen symbiosis such as gains and losses of lichenization, species concepts, specificity, morphodemes and morphotype pairs, non-lichen mutualistic fungal interactions with unicellular algae and cyanobacteria and mycophycobioses; (2) the mycobiont–photobiont interface, water relations and gas exchange, mycobiontderived secondary metabolites and the accumulation of heavy metals or radionuclides; (3) the microbiome of lichen thalli, i.e. the bacteriome (epi- and endolichenic bacteria), lichenicolous and endolichenic fungi, lichenicolous lichens and the virome of lichens and their allies; (4) fossil lichens and their microbiome; (5) lichen–animal interactions such as the micro- and mesofauna of lichen thalli, lichenivory in invertebrates and vertebrates, endo-and epizoochory; (6) lichenomimesis in animals and flowering plants.

### Keywords

Lichen symbiosis · Lichen-forming fungi · Lichen photobionts · Endolichenic fungi ·

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Lichenicolous fungi · Lichenicolous lichens · Bacteriome of lichens · Virome of lichens · Fossil lichens · Lichenivory · Lichenomimesis

## 6.1 Introduction

Lichens are the symbiotic phenotype of nutritionally specialized fungi, ecologically obligate biotrophs which acquire fixed carbon from a population of minute photobiont cells (Honegger [1991a](#page-39-0), [b\)](#page-39-0). Lichen-forming fungi (also referred to as lichen mycobionts) are, like plant or animal pathogens or mycorrhizal fungi, a polyphyletic, taxonomically diverse group of nutritional specialists, but are otherwise normal representatives of their fungal classes. They differ from non-lichenized taxa by their manyfold adaptations to symbiosis with a population of minute photobiont cells (Honegger [2009\)](#page-39-0). Lichenization is an ancient and very successful nutritional strategy, approximately 17% of extant fungal species being lichenized (Lücking et al. [2017a](#page-42-0)).

Lichens were the first mutualistic symbiosis discovered. The Swiss botanist Simon Schwendener (1829–1919) realized that the thallus of lichens is built up by a fungus which harbours a population of genetically different, minute green algal or cyanobacterial cells in its thalline interior (Schwendener [1867,](#page-46-0) [1869;](#page-46-0) Honegger [2000](#page-39-0)). Upon closer examination, lichen thalli represent not a dual or triple

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<span id="page-1-0"></span>symbiosis of a C-heterotrophic partner, i.e., a lichen-forming fungus (the mycobiont) and a photoautotrophic partner, either a green alga and/or a cyanobacterium (the photobiont, also termed cholorobiont or cyanobiont, respectively), but consortia with an unknown number of participants (Fig. 6.1a–b; Honegger [1991a](#page-39-0), [b](#page-39-0)) or complex ecosystems, respectively (Hawksworth and Grube [2020](#page-38-0)). Lichenicolous and endolichenic fungi and bacterial epi- and endobionts are very common and widespread, their taxonomic affiliation and potential roles for the symbiosis having been intensely studied in the last decades (see Sects. [6.5.1](#page-14-0)–[6.5.5\)](#page-19-0). Endolichenic fungal and actinobacterial symbionts and epithalline bacteria were already present in Chlorolichenomycites devonicus, a fossil lichen of the Early Devonian (ca. 415 Ma old, see Sect. [6.6.2;](#page-20-0) Honegger et al. [2013a\)](#page-39-0).

As pointed out by Hawksworth ([2016](#page-38-0)), there is no need to change the concept of lichen in the light of these findings. The term symbiosis, as defined by de Bary ([1879\)](#page-35-0), refers to genetically different organisms living together, neither the outcome of their interaction (parasitic, commensalistic or mutualistic relationships, the term mutualism having been introduced by Van Beneden in [1875\)](#page-48-0) nor the number of organisms involved was defined.

Until the end of the twentieth century, the taxonomy of lichen-forming fungi and their photobionts was based on morphological, chemical and structural characters. In the twenty-first century, molecular tools, bioinformatics and rapidly growing databases facilitated the study of the inter- and intraspecific diversity of lichenforming fungi and their photobionts, their phylogenies, but also the intrathalline



Fig. 6.1 The lichen thallus as a consortium with an unknown number of participants: (a, b) Scanning electron microscopy (SEM) micrograph of a cross-section of Sticta sylvatica, collected in Brittany, with bacterial, fungal, cyanobacterial and protozoan epibionts. A cyphella is tangentially sectioned, details in (b). Abbreviations thallus: C: cyphella (aeration pore); UC upper cortex, PH

photobiont layer (Nostoc sp.); M medullary layer, LC lower cortex with tomentum (T). Abbreviations of epibionts: a actinobacteria, b bacteria, cy cyanobacterial filament, f fungal hyphae, ta testate amoeba, presumably Assulina sp., its shell being composed of self-made siliceous shell-plates (idiosomes)

biodiversity and the pro- and eukaryotic microbiome of lichen thalli.

### 6.2 Lichen-Forming Fungi (LFF)

# 6.2.1 Gains and Losses of Lichenization

lichenization events in the Ascomycota, 6-7 in Lichenization was repeatedly acquired (14–23 the Basidiomycota), but also repeatedly lost in favour of a saprotrophic, lichenicolous or parasitic mode of nutrition (Lücking et al. [2017a,](#page-42-0) [b;](#page-42-0) Nelsen et al. [2020\)](#page-44-0). Examples of relatively recently de-lichenized taxa among the Lecanoromycetes are the lichenicolous Raesaenenia huuskonenii (syn. Protousnea huuskonenii, Parmeliaceae, Lecanorales; Divakar et al.  $2015$ ; Fig.  $6.6h-i$  $6.6h-i$  or the lignicolous Xylographa constricta (Baeomycetaceae, Baeomycetales; Spribille et al. [2014](#page-47-0)).

# 6.2.2 Species Concepts and Phylogenies

The genus and species name of a lichen refers to the fungal partner, irrespective of it being symbiotic in nature or axenically cultured apart from its photobiont (Hawksworth [2015](#page-38-0)). Attempts to refer to the aposymbiotically cultured mycobiont as ...-myces (e.g. Xanthoriomyces parietinae for aposymbiotically cultured Xanthoria parietina; Thomas [1939\)](#page-48-0) are obsolete. The photoautotrophic partner(s) of lichens have their own names and phylogenies. Traditionally, lichens were described on the basis of morphological (morphospecies) and chemical characters (secondary metabolites: chemospecies). Molecular tools provided novel insights into phylogenies, evolutionary trends and taxonomic relationships in general and in questions related to the delimitation of species in particular (Lücking et al. [2021\)](#page-42-0). Many well-known morphospecies turned out to comprise either cryptic species or morphodemes (see Sects. [6.2.3](#page-3-0) and [6.2.4](#page-3-0)).

In their 2016 classification, Lücking and colleagues listed 19,387 accepted species of lichenized fungi in 995 genera, 115 families, 39 orders and eight classes, the vast majority being ascomycetes; Lecanoromycetes, the largest and most anciently lichenized class among extant ascomycetes, comprises more than 15,100 spp. (Lücking et al. [2017a](#page-42-0), [b](#page-42-0)). Only 172 species, 15 genera, five families, five orders in one class belong to the basidiomycetes (Lücking et al. [2017a](#page-42-0), [b](#page-42-0)), but molecular data of more than 300 species of basidiolichens were not yet published. In the meantime, new taxa of basidiolichens have been described (Coca et al. [2018;](#page-35-0) Dal-Forno et al. [2019;](#page-35-0) Lücking et al. [2022\)](#page-42-0). However, large numbers of species and genera of lichenized asco- and basidiomycetes await molecular analysis.

Based on molecular datasets, large numbers of new species, numerous new genera, families, few orders (e.g., the ascolichen orders Eremithallales; Lücking et al. [2008,](#page-42-0) Leprocaulales; Lendemer and Hodkinson [2013,](#page-41-0) Collemopsidales; Pérez-Ortega et al. [2016,](#page-44-0) or the basidiolichen order Leptostromatales; Hodkinson et al. [2014\)](#page-38-0) and classes (e.g. Lichinomycetes; Reeb et al. [2004;](#page-45-0) Coniocybomycetes; Prieto et al. [2013\)](#page-45-0) have been described. Within 6 years (2010–16), more than half of all genera of LFF were subjected to changes (Lücking et al. [2017a](#page-42-0), [b\)](#page-42-0), and this work continues. Intense biodiversity analyses have been performed in areas and ecosystems which have so far been poorly investigated. An example is the TICOLICHEN project in Costa Rica, the major tropical lichen biodiversity inventory initiated in 2002 by Robert Lücking and colleagues (Lücking et al. [2004\)](#page-42-0).

Molecular phylogenies give fascinating insights into evolutionary processes and biogeographic developments. Examples are (1) the calicioid ascomycetes, predominantly crustose species with prototunicate asci whose ascospores achieve maturation in a mazaedium. Their asci disintegrate before the ascospore wall is fully differentiated, spore maturation being completed in a powdery mass, the mazaedium, at the surface of the ascoma (Honegger [1985\)](#page-39-0). Based on this feature, mazaediate ascomycetes were formerly

<span id="page-3-0"></span>classified in the order Caliciales within the Lecanoromycetes. Today, mazaediate taxa fall into four classes: Lecanoromycetes, Eurotiomycetes, Arthoniomycetes and Coniocybomycetes (Prieto et al. [2013;](#page-45-0) Wijayawardene et al. [2020](#page-49-0)). (2) the leprose lichens with no sexual reproductive stages and morphologically very simple thalli built up by loosely interwoven hyphae which secrete interesting secondary metabolites and are in contact with green algal cells, forming a powdery mass with no stratification. Today leprose lichens of the genus Lepraria are classified in the Stereocaulaceae, *Leprocaulon* in the Leprocaulaceae (Lecanoromycetes; Lendemer and Hodkinson [2013](#page-41-0)), Botryolepraria in the Verrucariaceae (Eurotiomycetes; Kukwa and Pérez-Ortega [2010](#page-41-0)) and Andreiomyces in Andreiomycetaceae (Arthoniomycetes; Hodkinson and Lendemer [2013\)](#page-38-0). Moreover, leprose thalli are formed in fertile taxa such as Chrysothrix (rarely fertile; Chrysothrichaceae, Arthoniomycetes; Nelsen et al. [2009;](#page-44-0) Liu et al. [2018\)](#page-42-0) or in Chaenotheca furfuracea (syn. Coniocybe f.; Coniocybaceae, Coniocybomycetes). (3) Phylogeographic studies provided insights into large-scale population histories, e.g., of Lobaria pulmonaria in North America or in the post-glacial re-colonization of the Alps (Lerch et al. [2018](#page-41-0); Allen et al. [2021](#page-32-0)), or of the cosmopolitan Psora decipiens (Leavitt et al. [2018](#page-41-0)).

## 6.2.3 Species Pairs and Cryptic Species

The species pair concept in lichenology is based on the observation that some morphologically similar lichens differ in their reproductive mode, i.e., are either fertile or asexually reproducing, the fertile stage having been assumed to be the primary species (Crespo and Pérez-Ortega [2009\)](#page-35-0). Many sexual–asexual species pairs turned out to represent a monophyletic lineage. The Porpidia flavocoerulescens and P. melinodes species pair was hypothesized to maintain asexual reproduction under optimal conditions, but sexual reproduction and re-lichenization for escaping from a suboptimal symbiosis (Buschbom and Mueller [2006](#page-34-0)). In the presumed Letharia columbiana (fertile) and L. vulpina species pair L. columbiana turned out to comprise five cryptic taxa, hybridization and polyploidization included (Kroken and Taylor [2001](#page-41-0); Altermann et al. [2016;](#page-32-0) Ament-Velásquez et al. [2021](#page-32-0)).

Many other morphospecies turned out to comprise numerous cryptic species (e.g. Pseudocyphellaria crocata comprising 13 spp.; Lücking et al.  $2017a$ , [b\)](#page-42-0), the most extreme examples being (1) the lichenized basidiomycete Dictyonema glabratum (syn. Cora pavonia), which comprises at least 126 taxa (Lücking et al. [2014,](#page-42-0) [2016](#page-42-0); Moncada et al. [2019\)](#page-43-0); upon closer examination these hidden basidiolichen species turned out to be morphologically distinct, although the differences are recognizable to the expert's eye only. (2) the species complex of the cosmopolitan crustose lichenized ascomycete *Lecanora polytropa* comprises up to 103 cryptic species (Zhang et al. [2022\)](#page-50-0).

# 6.2.4 Morphodemes and Morphotype Pairs (= Photosymbiodemes)

Morphodemes are formed by phylogenetically distinct taxa which differentiate the same morphotype; examples are found in the Sticta filix (Ranft et al.  $2018$ ) or the Sticta weigelii complexes (Moncada et al. [2021](#page-43-0)). On the other hand, morphologically distinct lichens, some of which had been classified in different genera, turned out to be congeneric or even conspecific. This phenomenon was first observed in tripartite lichens with a morphologically distinct cyanobacterial and a green algal morphotype, i.e., in Ricasolia amplissima (lobate chloromorph with cephalodia) and *Dendriscocaulon* bolacinum (fruticose cyanomorph; Dughi [1937\)](#page-36-0), chimaeric forms included (James and Henssen [1976\)](#page-40-0). Photosymbiodemes formed by lobate chloromorphs and fruticose, dendriscocauloid cyanomorphs occur in various peltigeralean genera (Sticta, Lobaria, Ricasolia; Paulsrud

et al. [1998;](#page-44-0) Magain et al. [2012;](#page-42-0) Tønsberg et al. [2016;](#page-48-0) Ranft et al. [2018](#page-45-0)). Photosymbiodemes with morphologically similar lobate chloro- and cyanomorphs occur in Peltigera spp. (Goffinet and Bayer [1997](#page-37-0); see Fig. 15.1f in Honegger [2012\)](#page-39-0).

Vastly different morphologies formed by the same fungal species were found in *Endocena* and Chirleja spp. (Icmadophilaceae); their coccoid green algal photobiont was not investigated (Fryday et al. [2017](#page-36-0)). A very peculiar morphotype pair is formed by two crustose lichens with either a trebouxioid or a trentepohlioid green algal photobiont (Ertz et al. [2018\)](#page-36-0). The sterile, sorediate Buellia violaceofusca (formerly classified in Lecanoromycetes), which associates with different phylospecies of the genus Trebouxia (Trebouxiophyceae), and the fertile Lecanographa amylacea (Arthoniomycetes) with a Trentepohlia photobiont (Ulvophyceae) turned out to be conspecific. This was the first example of a lichen-forming ascomycete with a trebouxioid and a trentepohlioid morphotype. As in non-lichenized fungi the species name refers to the sexually reproducing stage (teleomorph). Lecanographa amylacea most likely captures trebouxioid photobiont cells from adjacent crustose or leprose lichens to form the sorediate (ana-morphic) morphotype (Ertz et al. [2018](#page-36-0)).

# 6.2.5 Non-lichen Mutualistic Fungal Interactions with Cyanobacteria and Unicellular Green Algae

It is astonishing that no Zygomycetes, Glomeromycetes or Chytridiomycetes are among the extant LFF. However, in each of these classes, which are phylogenetically older than asco- and basidiomycetes, at least one example of a mutualistic symbiosis with either a cynobacterial or green algal partner was reported.

The Glomeromycetes Geosiphon pyriformis forms a very ancient endocyanosis with Nostoc sp. at the soil surface, the cyanobacterial photobiont being incorporated in hyphae which subsequently differentiate a bladder at the soil surface. The *Nostoc* filaments are kept in membrane-bound vesicles (perialgal vacuoles) in the fungal cytoplasm where they undergo cell division, are photosynthetically active and fix atmospheric  $N_2$ . The first glomeromycetan monosaccharide transporter characterized was shown to function at the symbiotic interface of Geosiphon with its cyanobacterial partner (Schüssler et al. [2006](#page-46-0), [2007](#page-46-0); review: Schüssler [2012\)](#page-46-0).

Under certain environmental conditions, mutualistic interactions between non-lichenized fungi and algae are formed without prior co-evolutionary adaptation. The zygomycete Mortierella elongata and the unicellular green alga Nannochloropsis oceanica are both biotechnologically cultured on large scale to produce lipids for biofuel. In co-culture, both partners interact, the algal cells adhere to the fungal hyphae and finally become internalized, leading to a green mycelium (Du et al. [2019\)](#page-36-0). This situation resembles the *Geosiphon–Nostoc* symbiosis, although a green alga instead of a cyanobacterium is involved.

Chytrids are saprobes or parasites of fungi, algae, plants and amphibians (reviews: Powell [2017;](#page-45-0) Longcore et al. [2020\)](#page-42-0). Parasites of phytoplankton attack free-living algal species (Van den Wyngaert et al. [2018](#page-48-0)) and have a devastating effect on commercially grown algal cultures (Hoffman et al. [2008](#page-38-0); Longcore et al. [2020\)](#page-42-0). The chytrid Rhizidium phycophilum forms a facultative mutualism with a *Bracteacoccus* sp. (Sphaeropleales; syn. Chlorococcales) and can only be cultured in the presence of this zoosporic green algal species. The alga is not parasitized, it even grows larger and more prolific and reveals an up to eight-fold increase in biovolume in co-culture as compared to axenic culture under the same conditions. This is the first report of a mutualistic interaction between a chytrid and a green alga (Picard et al. [2013](#page-45-0)).

The ascomycetous yeast Saccharomyces cerevisiae (Saccharomycotina) and filamentous ascomycetes such as Aspergillus nidulans (Eurotiomycetes) form mutualistic interactions with the flagellate unicellular *Chlamydomonas* reinhardtii (Chlamydomonadales,

Chlorophyceae), although with different levels of productivity and without differentiating thalluslike structures as seen in lichen-forming ascomycetes (Hom and Murray [2014](#page-39-0)) However, C. reinhardtii cells are protected by A. nidulans from bacteria and their toxins (Krespach et al. [2020\)](#page-41-0). Two extremely halotolerant organisms, the black yeast Hortaea werneckii (Teratosphaeriaceae, Capnodiales), which can switch from filamentous growth to the yeast form, and the flagellate unicellular green alga Dunaliella atacamensis (Chlamydomonadales), were found to interact in co-cultures (Muggia et al. [2020b](#page-43-0)). Despite close fungal–algal contacts, neither a mutual benefit, nor an antagonistic interaction was observed. Nevertheless, such heterotroph–autotroph contacts might be the beginning of a stable symbiotic interaction.

### 6.2.6 Mycophycobioses

Mycophycobioses are mutualistic fungal interactions with quantitatively predominant, multicellular algal hosts. Mycophycias ascophylli (Capnodiales incertae sedis, Dothideomycetes; Toxopeus et al. [2011](#page-48-0); Wijayawardene et al. [2020](#page-49-0)) inhabits the intertidal brown algae Pelvetia canaliculata and Ascophyllum nodosum (Fucales, Phaeophyceae) in the upper littoral of the Atlantic, only its minute ascomata being visible at the surface of the seaweed's receptaculum (gameteproducing structure; Fig. [6.2](#page-6-0)), their very thin vegetative hyphae being best visible in ultrathin sections (Xu et al. [2008\)](#page-49-0). In fresh water, Phaeospora lemaneae (syn. Leptosphaeria lemaneae; Verrucariales, Eurotiomycetes) associates with the red alga Lemanea fluviatilis (Batrachospermales, Rhodophyta; Brierley [1913;](#page-34-0) Hawksworth [2000](#page-38-0)). M. ascophylli improves the desiccation tolerance of the zygotes of its brown algal host A. nodosum (Garbary and London [1995\)](#page-37-0). Improved desiccation tolerance was also hypothesized for the red algal host L. fluviatilis upon infection with P. lemaneae (Hill [1992](#page-38-0)), but experimental data are missing.

About 100 species of fungal endophytes of seaweeds (Phaeophyta, Rhodophyta,

Chlorophyta) were identified, some of them producing bioactive secondary metabolites (Noorjahan et al. [2021\)](#page-44-0).

### 6.2.7 Secondary Metabolites

Lichen thalli are a rich source of interesting polyphenolic secondary metabolites, many of them with bioactive properties. Most of them are produced by the mycobiont, others by endolichenic fungi or by epi- or endolichenic bacteria (see below). Lichen compounds evolved early in the radiation of filamentous fungi (Armaleo et al. [2011\)](#page-32-0). Polyketide synthase (PKS) genes were most likely gained by horizontal gene transfer from actinobacteria before the radiation in Leotiomyceta, which gave rise to the extant crown group of Ascomycota (Schmitt and Lumbsch [2009](#page-46-0)). PKS genes have been repeatedly lost in non-lichenized ascomycetes but retained and even duplicated in most lichenized ascomycetes (Schmitt and Lumbsch [2009\)](#page-46-0). However, also in lichenized taxa were PKS gene clusters repeatedly lost (Pizarro et al. [2020](#page-45-0)).

The chemistry of mycobiont-derived secondary metabolites, the so-called lichen products, has attracted considerable interest, with a large body of literature having been published from the late nineteenth century onwards (e.g. Zopf [1896](#page-50-0), [1907;](#page-50-0) Asahina and Shibata [1954](#page-32-0); Culberson [1969,](#page-35-0) [1970;](#page-35-0) Culberson and Elix [1989;](#page-35-0) Culberson et al. [1977](#page-35-0); Huneck and Yoshimura [1996;](#page-40-0) Huneck [2001](#page-40-0); Elix and Stocker-Wörgötter [2008\)](#page-36-0); a database of high-resolution tandem mass spectrometry (MS/MS) spectra for lichen metabolites is available (Olivier-Jimenez et al. [2019\)](#page-44-0).

It is not known in which form the secondary metabolites are excreted by the fungal cells (possibly as glycosides?). In their soluble form, they are passively translocated into the apoplastic continuum during the wetting and drying cycles and crystallize either at on the surface of ascomata (e.g. bellidiflorin in Cladonia spp.; Fig. [6.3a](#page-7-0), or haemoventosin in Ophioparma ventosa; Fig.  $6.3b-c$  $6.3b-c$ , on the thallus surface (e.g. anthraquinones such as parietinic or

<span id="page-6-0"></span>Fig. 6.2 Mycophycobiosis in the knotted kelp (Ascophyllum nodosum), a common brown alga (Fucaceae, Phaeophyceae) in the littoral of the Northern Atlantic (a); its gametes are produced in conceptacles (c) in stalked receptacles (r). (b) Gametes are oozing out of the ostiole of conceptacles. Arrows point to ascomata of Mycophycias ascophylli (Mycosphaerellaceae, Capnodiales, Dothideomycetes), recognizable as tiny black dots between conceptacles. (c) Stained semi-thin section with ascoma (pseudothecium) of M. ascophylli



solorinic acid, pulvinic acid derivatives such as vulpinic or rhizocarpic acid, or depsides such as atranorin), many of them giving the thallus its characteristic colouration; others (e.g. depsidones such as physodic or protocetraric acid) crystallize on the surface of medullary hyphae and even on green algal photobiont cells in the thalline interior (Fig. [6.3d](#page-7-0); Honegger [1986b\)](#page-39-0). In soredia, mycobiont and photobiont cells are often heavily loaded with crystalline secondary metabolites which enhance their hydrophobicity (Fig. [6.3e](#page-7-0)). Crystalline lichen products are almost insoluble in aqueous systems below pH 7. Many mycobiont-derived secondary metabolites are produced in sterile, aposymbiotic culture (Thomas [1939;](#page-48-0) Yamamoto et al. [1985;](#page-49-0) Honegger and Kutasi [1990](#page-39-0); Culberson and Armaleo [1992;](#page-35-0) Stocker-Wörgötter et al. [2013;](#page-47-0) Díaz et al. [2020;](#page-35-0) Jeong et al. [2021](#page-40-0), etc.).

Numerous PKS gene clusters have been characterized (e.g. Miao [1999](#page-43-0); Armaleo et al. [2011;](#page-32-0) Wang et al. [2014](#page-48-0); Bertrand and Sorensen [2018;](#page-33-0) Bertrand et al. [2018](#page-33-0); Calchera et al. [2019;](#page-34-0) Pizarro et al. [2020;](#page-45-0) Sveshnikova and Piercey-Normore [2021](#page-48-0); Gerasimova et al. [2022](#page-37-0); Singh et al. [2022\)](#page-47-0), many of them being not expressed in the lichen thallus. Thus, LFF have an unexplored biosynthetic potential (Bertrand et al. [2018;](#page-33-0) Gerasimova et al. [2022](#page-37-0)).

As most LFF are slow-growing organisms, lichen-derived PKS genes were transferred into fast-growing non-lichenized ascomycetes. A Pseudevernia furfuracea-derived PKS gene was successfully expressed in baker's yeast (S. cerevisiae), the depside lecanoric acid being synthesized (Kealey et al. [2021\)](#page-40-0). The transfer of lichen-derived PKS genes in filamentous ascomycetes such as Aspergillus spp. lead to successful transcription (Wang et al. [2016\)](#page-49-0), but for unknown reasons, secondary products were often not synthesized (Gagunashvili et al. [2009](#page-37-0); Yang et al. [2018](#page-49-0); Bertrand and Sorensen [2019a](#page-33-0), [b\)](#page-33-0).

<span id="page-7-0"></span>

Fig. 6.3 Developmentally regulated biosynthesis of secondary metabolites. (a) Cladonia bellidiflora with usnic and squamatic acid in the thallus and bellidiflorin in the bright red ascomata. (b, c) The saxicolous, crustose Ophioparma ventosa with haemoventosin in the bright red ascomata. (c) LDI-MSI showing the tissue-specific accumulation of usnic, thamnolic, miriquidic and divaricatic acid in the thallus and haemoventosin in the epihymenial layer of the ascomal disc; from Le Pogam

Lichens have been used in traditional medicine around the globe (Crawford [2019\)](#page-35-0). In the last decades, a large body of literature was published on interesting pharmaceutical properties of β-glucans such as lichenans (e.g. Caseiro et al. [2022\)](#page-34-0), important cell wall components of Lecanoromycetes (Honegger and Haisch [2001\)](#page-39-0), and of a wide range of lichen-derived secondary metabolites with antibiotic, anti-inflammatory, antiproliferative, antiviral, antineurodegenerative, antioxidant activities, etc. (various authors in Ranković [2019](#page-45-0); reviews: Boustie and Grube [2005;](#page-34-0) Boustie et al. [2011](#page-34-0); Bhattacharyya et al. [2016;](#page-33-0) Studzinska-Sroka et al. [2017](#page-48-0); Ingelfinger

et al. ([2016,](#page-41-0) modified); courtesy of Pierre Le Pogam and Joël Boustie. (d) LTSEM (low temperature scanning electron microscopy) of the algal layer in in cryofixed, freezefractured Cetrelia olivetorum, with crystals of olivetoric acid on fungal hyphae and algal cell. (e) LTSEM of the margin of a soralium of Physcia adscendens. Secondary metabolites crystallize on hyphae and algal cells of developing soredia, thus increasing the hydrophobicity of their surfaces

et al. [2020](#page-40-0); Ureña-Vacas et al. [2021\)](#page-48-0). Best investigated is usnic acid (Macedo et al. [2021;](#page-42-0) Xu et al. [2022\)](#page-49-0), whose antibacterial properties were detected by Stoll et al. [\(1947](#page-47-0)).

### 6.3 Lichen Photobionts

#### 6.3.1 Diversity and Specificity

In her 1988 compilation, Tschermak-Woess listed 44 genera of lichen photobionts: 15 cyanobacterial, 27 chlorophycean, one xanthophycean and one phaeophycean (Tschermak-Woess [1988](#page-48-0)). All of these taxa had been described on the basis of morphological characters. From 1989 onwards, molecular tools, applied either to cultured (aposymbiotic) photobiont isolates or to whole thallus DNA preparations, revolutionized our knowledge of lichen photobiont diversity. In 2021, Sanders and Masumoto summarized the current state of the art. They listed 52 genera of lichen photobionts: 13 cyanobacterial, 36 chlorophycean, two xanthophycean and one phaeophycean (Sanders and Masumoto [2021\)](#page-46-0). New photobiont genera had been described (e.g. Heveochlorella, Trebouxiophyceae; Sanders et al. [2016,](#page-46-0) or Bracteacoccus, Chlorophyceae; Masumoto [2020](#page-42-0)).

The Verrucariales (three families, 55 genera, >800 spp.), which comprises terrestrial, but also numerous fresh water and marine species, harbours the highest photobiont diversity. The only phaeophycean (Petroderma maculiforme in Wahlenbergiella tavaresiae) and xanthophycean photobionts (Heterococcus sp. in Verrucaria and Hydropunctaria spp., Xanthonema sp. in Staurothele clopimoides) are symbiotic with verrucarialean taxa (review: Sanders and Masumoto [2021\)](#page-46-0). Many photobiont taxa associate exclusively with marine LFF. Examples are the cyanobacterial Hyella sp. in Collemopsidium halodytes (syn. Arthopyrenia h., Xanthopyreniaceae) or Rivularia sp. in Lichina pygmaea and L. confinis (Lichinales, Lichinomycetes; see Figs. 15.1.p-r in Honegger [2012\)](#page-39-0). Among marine green algal photobionts of marine Verrucariaceae are the ulvophycean Blidingia minima (with Turgidosculum ulvae; Pérez-Ortega et al. [2018\)](#page-45-0), Halophilum ramosum, Lithotrichon pulchrum, Paulbroadya petersii, Pseudendoclonium submarinum and Undulifilum symbioticum (with Hydropunctaria and Wahlenbergiella spp.), or the trebouxiophycean Prasiola spp. in Mastodia tessellata (Sanders and Masumoto [2021;](#page-46-0) Černajová et al. [2022\)](#page-34-0).

The genus *Trebouxia* harbours the most common and widespread unicellular green algal photobionts of LFF; Trebouxia spp. are photobionts of Parmeliaceae (>2760 spp.; Lücking et al.  $2017a$ , [b\)](#page-42-0), Teloschistaceae (>810 spp.), etc. Tschermak-Woess [\(1988](#page-48-0)) listed seven species, Sanders and Masumoto ([2021\)](#page-46-0) 27 plus several unnamed phylospecies and clades, whence five had been transferred from Pseudotrebouxia (which no longer exists), and 19 species have been newly described from 1989 onwards. In Asterochloris, the secondmost common genus, Tschermak-Woess [\(1988](#page-48-0)) listed one species, Sanders and Masumoto [\(2021](#page-46-0)) 18 plus several unnamed phylospecies and clades; four of these Asterochloris spp. had been transferred from Trebouxia to Asterochloris (A. erici, A. glomerata, A. italiana, A. magna). Asterochloris spp. are photobionts of Cladonia and Stereocaulon spp. and many other taxa (Sanders and Masumoto [2021\)](#page-46-0), new phylogenetic lineages having been recently described (Kosecka et al. [2021](#page-41-0)).

Trebouxia and Asterochloris spp. are characterized by their large, lobate chloroplast with central pyrenoid. Chloroplast lobation and its interspecific variation was visualized with Confocal Laser Scanning Microscopy (CLSM; Trebouxia: Muggia et al. [2012;](#page-43-0) Bordenave et al. [2021;](#page-33-0) Asterochloris: Škaloud and Peksa [2008;](#page-47-0) Moya et al. [2015](#page-43-0); Škaloud et al. [2015;](#page-47-0) Kim et al. [2017](#page-40-0)).

Trebouxiophyceae (Metz et al. [2019\)](#page-43-0) or As in LFF, considerable cryptic diversity was found among lichen photobionts; examples in Trebouxia (Singh et al. [2019;](#page-47-0) Muggia et al. [2020a](#page-43-0), [b](#page-43-0); Kosecka et al. [2022](#page-41-0)), Coccomyxa (Darienko et al. [2015;](#page-35-0) Malavasi et al. [2016\)](#page-42-0), Dictyochloropsis or Symbiochloris, respectively (Dal Grande et al. [2014;](#page-35-0) Škaloud et al. [2016\)](#page-47-0), Trentepohliales (Borgato et al. [2022](#page-34-0)).

Unfortunately lichen thalli cannot be routinely resynthesized by combining cultured myco- and photobionts. Therefore, the specificity and selectivity of the symbiosis cannot yet be investigated under controlled experimental conditions. Our knowledge about the range of acceptable partners per fungal species or genotype is based on analyses of specimens collected in nature. Ideally, sampling was done over the whole geographic range of the fungal species.

Many (the majority?) of LFF with trebouxioid or trentepohlioid photobionts associate with more

than one algal species or genotype; examples are Xanthoria parietina with Trebouxia decolorans or T. arboricola (Nyati et al. [2014\)](#page-44-0) or cosmopolitan species of Stereocaulon, Cladonia or Lepraria, which reveal low specificity towards their photobiont (Kosecka et al. [2021\)](#page-41-0). In Cladonia spp., external factors such as climate or soil chemical properties have an impact on photobiont selection (Pino-Bodas and Stenroos [2021](#page-45-0); Škvorová et al. [2022\)](#page-47-0); severe heavy metal pollution even induced a switch from Asterochloris to Trebouxia spp. (Osyczka et al. [2020\)](#page-44-0).

Based on light microscopy studies, the algal cell population of lichen thalli was assumed to be uniform, but molecular tools revealed intrathalline photobiont diversity. Different photobiont species or genotypes of the same photobiont may grow within the same thallus (for special relationships such as morphotype pairs, see Sect. [6.2.4](#page-3-0), or tripartite lichens, see Sect. 6.3.2, respectively). In all thalli of Ramalina farinacea were two unnamed Trebouxia spp. found with different physiological properties (Casano et al. [2011](#page-34-0); del Hoyo et al. [2011](#page-35-0)). In 45% of Evernia mesomorpha were multiple genotypes of one Trebouxia species found (Piercey-Normore [2006](#page-45-0)). In 30 out of 104 thalli of Tephromela atra or Rhizoplaca melanophthalma, respectively, were co-occurring Trebouxia spp. found (de Carolis et al. [2022\)](#page-35-0). Only once were two genotypes of T. decolorans found in a thallus of X. parietina (Nyati et al. [2014](#page-44-0)).

Some Trebouxia photobionts were found freeliving in nature (Bubrick et al. [1984](#page-34-0)), but they are not common members of aerophilic algal communities. In contrast, photobionts of the genera Apatococcus, Stichococcus, Coccomyxa, Elliptochloris, Myrmecia, Symbiochloris, Prasiola (all Trebouxiophyceae) or Trentepohlia (Ulvophyceae) are common in the free-living state, many of them having been found in soil samples along an altitudinal gradient (Stewart et al. [2021\)](#page-47-0) or in biological soil crust communities (Flechtner et al. [2013](#page-36-0); Borchhardt et al. [2017\)](#page-33-0). This should be kept in mind when exploring microalgal diversity in whole thallus extracts: adhering fragments of symbiotic propagules from other lichens or free-living algae might be included. Ideally, lichen photobionts are isolated from the algal layer of dissected thallus fragments and cultured under sterile conditions prior to genetic analysis (Nyati et al. [2013](#page-44-0), [2014](#page-44-0)).

#### 6.3.2 Tripartite Lichens

- (a) Lichens with a mixed photobiont layer comprising green algae and cyanobacteria. In a few lichens, the photobiont layer comprises a green algal and a cyanobacterial partner, both being photosynthetically active. Examples are (a) Euopsis granatina with Trebouxia aggregata as a green algal and Gloeocapsa sanguinea as cyanobacterial photobiont (Büdel and Henssen [1988\)](#page-34-0); (b) Muhria urceolata (Jørgensen and Jahns [1987,](#page-40-0) syn. Stereocaulon urceolatum; Högnabba [2006](#page-38-0)) and (c) various cyanomorphs of Pseudocyphellaria spp. (Ps. rufovirescens, Ps. lividofusca, Ps. dissimilis, Ps. hookeri, Ps. crocata) and Sticta fuliginosa, all with Nostoc sp. (Henskens et al. [2012\)](#page-38-0). In some of these peltigeralean species the green algal partner was detected when ribitol, the characteristic carbohydrate produced by numerous green algal photobionts (Hill [1976](#page-38-0); Honegger [1997\)](#page-39-0), was identified among the photobiont-derived mobile carbohydrates in the thalli (Henskens et al. [2012\)](#page-38-0).
- aphthosa, Stereocaulon ramulosum) or (b) Cephalodiate species are green algal lichens which capture repeatedly a diazotrophic cyanobacterium as a secondary photobiont (see Fig. 15.16 a–k in Honegger [2012](#page-39-0)), either at the upper (e.g. in green algal Peltigera spp.) or at the lower surface (e.g. in Solorina spp.) or at both surfaces (Lobaria pulmonaria; Cornejo and Scheidegger [2013\)](#page-35-0) and incorporate it in either an external (e.g. Peltigera internal cephalodium (e.g. Solorina crocea, Nephroma arcticum). Capture of a cyanobacterial partner occurs in young lobes of the green algal lichen, mature cephalodia being found in fully grown

areas of the lobes (Cornejo and Scheidegger [2013](#page-35-0)). The cyanobacterial photobionts in cephalodia are photosynthetically active, i.e., satisfy their demands of fixed carbon, but are diazotrophic, i.e., reduce atmospheric  $N_2$  into bioavailable ammonium, highest  $N_2$  fixation rates being achieved under microaerobic conditions. In mature cephalodia of Peltigerales with Nostoc as the secondary photobiont, the percentage of heterocysts, i.e., the site of  $N_2$  fixation, is elevated (up to 55%) as compared to either cyanobacteria as primary photobiont of cyanolichens (approx. 5–8%) or free-living Nostoc spp. (Englund [1977;](#page-36-0) Hyvärinen et al. [2002](#page-40-0)). It is particularly interesting to see how lichen mycobionts generate microaerobic conditions, optimal for  $N_2$  fixation, by forming a dense cortex around external cephalodia, which differs anatomically from the thalline cortex (see Fig. 15.16d–f and i–k in Honegger [2012\)](#page-39-0). By 3D imaging using X-ray computed tomography at the microscopy level (Micro-CT, allowing for non-destructive analysis of the 3D-geometry in solid specimens; Elliott and Dover [1982;](#page-36-0) Hunter and Dewanckele [2021](#page-40-0)), the cephalodia were quantified (Gerasimova et al. [2021](#page-37-0)); in Lobaria pulmonaria the internal cephalodia amounted for 0.73%, in Peltigera leucophlebia (external cephalodia) for 0.97% of thallus volume.

(c) Cephalodiate species are found in all green algal Peltigerales (Peltigera and Solorina spp. etc. [Peltigeraceae] and Lobaria, Sticta, Pseudocyphellaria spp., etc. [Lobariaceae]), in all representatives of the genera Stereocaulon (Stereocaulaceae, Lecanorales), *Placopsis* (Trapeliaceae, Baeomycetales; Ott et al. [1997](#page-44-0)), but also in some crustose taxa such as Calvitimela aglaea (syn. Lecidea shushanii or Tephromela aglaea, respectively, Tephromelataceae, Lecanorales; Hertel and Rambold [1988](#page-38-0); Bendiksby et al. [2015](#page-33-0)).

#### 6.3.3 Cyanotrophy

Cyanotrophy, i.e., the close contact of lichens with free-living cyanobacterial colonies, first observed in Bryonora spp. (Poelt and Mayrhofer [1988\)](#page-45-0), might be common and widespread among saxicolous, epiphytic and soil crust lichens (Elbert et al. [2012;](#page-36-0) Cornejo and Scheidegger [2016;](#page-35-0) Gasulla et al. [2020\)](#page-37-0). The contacting lichen benefits from fixed nitrogen, as produced by diazotrophic cyanobacteria, as is also the case in bryophyte interactions with free-living cyanobacteria (Gavazov et al. [2010](#page-37-0); Warshan et al. [2017\)](#page-49-0). Epiphytic bryophyte–cyanobacteria associations can serve as a reservoir for lichen cyanobionts (Cornejo and Scheidegger [2016](#page-35-0)).

## 6.4 Peculiarities of Lichen Symbiosis

#### 6.4.1 A. Symbiotic vs. Free-Living LFF

In nature, the majority of LFF are symbiotic, but physiologically they do not depend on lichenization. Soon after the discovery of lichen symbiosis by Schwendener [\(1867](#page-46-0), [1869\)](#page-46-0), the first culturing and resynthesis experiments with LFF and their photobionts were carried out (Stahl [1877;](#page-47-0) Bonnier [1886](#page-33-0), [1889\)](#page-33-0). From large numbers of lichen-forming ascomycetes, sterile cultures have been established, starting with either ascospores, soredia or hyphal fragments which had been scratched out of dissected thalli (e.g. Lange de la Camp [1933;](#page-41-0) Thomas [1939;](#page-48-0) Ahmadjian [1959](#page-32-0), [1962;](#page-32-0) Honegger and Bartnicki-Garcia [1991](#page-39-0); Crittenden et al. [1995;](#page-35-0) Stocker-Wörgötter [1995,](#page-47-0) etc.). Single-spore isolates derived from one meiosis (i.e. the content of one ascus) facilitated the analysis of mating type systems (Honegger et al. [2004a](#page-39-0); Honegger and Zippler [2007](#page-39-0); Scherrer et al. [2005\)](#page-46-0). Thus, LFF are ecologically obligate, but physiologically facultative biotrophs. However, some LFF most likely acquire additional carbohydrates as saprotrophs. Hyphae of Icmadophila ericetorum

or Baeomyces rufus on decaying wood grow deep into the substratum and penetrate the lignified cell walls (see Figs. 12 and 14 in Honegger and Brunner [1981\)](#page-39-0). In large numbers of Lecanoromycetes, a whole arsenal of genes encoding enzymes for carbohydrate degradation was identified (Resl et al. [2022](#page-45-0)).

LFF are seldom macroscopically visible in a non-lichenized state in nature. Examples are (1) juvenile developmental stages of Rhizocarpon spp. (Rhizocarpales), recognizable as a strongly melanized, black prothallus in search of a compatible photobiont, or (2) the optionally lichenized Schizoxylon albescens (Ostropales) on the bark of Populus tremula, which grows as a saprobe on dead wood of poplar (Muggia et al. [2011\)](#page-43-0), or (3) the lichenized Conotrema spp. and their non-lichenized, saprotrophic stage which had been described as Stictis spp., optional lichenization presumably allowing to increase the ecological amplitude (Wedin et al. [2004](#page-49-0), [2005\)](#page-49-0).

# 6.4.2 Morphogenetic Capacity of the Mycobiont

In order to keep their photoautotrophic partner photosynthetically active, LFF have to grow at the surface of the substrate, thus exposing their thallus to temperature extremes, illumination, ultra-violet (UV) radiation included and to continuous fluctuations in water content, i.e. to regular drying and wetting cycles. Many mycobiontderived cortical secondary metabolites such as parietin or atranorin are autofluorescent, i.e. absorb UV light and transform it into longer wavelengths (Fernandez-Marin et al. [2018\)](#page-36-0); therefore, they were assumed to protect the myco- and photobiont from UV damage and to provide the photobiont cell population with wavelengths matching the absorption spectra of photosynthesis; however, the latter hypothesis was not supported by experimental approaches (Fernandez-Marin et al. [2018\)](#page-36-0). The biosynthesis of secondary metabolites is enhanced by seasonally or experimentally elevated UV radiation (BeGora and Fahselt [2001;](#page-33-0) Bjerke et al. [2002](#page-33-0), [2005;](#page-33-0) Solhaug et al. [2009\)](#page-47-0). However, there are yet unknown genetic factors causing quantitative differences in cortical secondary metabolites among thalli of the same species growing side by side (Bjerke et al. [2005](#page-33-0); Itten and Honegger [2010\)](#page-40-0).

Foliose, band-shaped or fruticose lichen thalli with internal stratification are the most complex vegetative structures in the fungal kingdom. However, less than half of all lichens reveal this morphology and anatomy, the majority forming either microfilamentous, microglobose, crustose, leprose, gelatinous or squamulose thalli with no internal stratification (see Figs. 15.1a–y and 15.8 a–e in Honegger [2012\)](#page-39-0). Many crustose taxa grow within the uppermost zone of the substratum where they meet their photobiont; examples are Arthopyrenia halodytes (syn. Pyrenocollema h.) with cyanobacterial photobiont (*Hyella* spp.) in the calcareous shell of limpets (Patella spp., Mollusca) or barnacles (Cirripedia, Crustacea), Graphis spp. with trentepohlioid photobiont in bark, etc. (see Fig. 15.1a–b in Honegger [2012\)](#page-39-0).

# 6.4.3 The Mycobiont– Photobiont-Interface

In all lichenized asco- and basidiomycetes, the photoautotrophic partner is located outside the contacting fungal hyphae, with different types of mycobiont–photobiont interfaces being differentiated, ranging from simple wall-to-wall apposition to transparietal (formerly termed intracellular) or intraparietal haustoria (Honegger [1986a](#page-39-0), [b,](#page-39-0) [1991a](#page-39-0); see micrographs in Fig. 15.13 a–l in Honegger [2012](#page-39-0)). When compared with biotrophic plant pathogenic fungi such as rusts or powdery mildews or with arbuscular mycorrhizal fungi, which form lobate or arbuscular transparietal haustoria, i.e., a large exchange surface in close contact with the plasma membrane of the host cell, the mycobiont–photobiont contact site in lichen is surprisingly simple (see Fig. 1a–f in Honegger [1993\)](#page-39-0): in intraparietal haustoria, as formed by Parmeliaceae, Teloschistaceae, Cladoniaceae, etc., the mycobiont does not pierce the cellulosic cell

wall of the chlorobiont (*Trebouxia* or Asterochloris spp., respectively; see Fig. 15.13 a–l in Honegger [2012\)](#page-39-0). A whole range of genes encoding carbohydrate-active enzymes and sugar transporters were identified in 46 representatives of Lecanoromycetes; these LFF would be able to enzymatically degrade the cellulosic cell wall of their photobiont; many of them are likely acquiring additional carbohydrates as saprotrophs (Resl et al. [2022](#page-45-0)).

Simple wall-to-wall apposition is found in asco- and basidiolichens with Coccomyxa or Elliptochloris chlorobionts; these incorporate enzymatically non-degradable, sporopolleninlike algaenans in a thin outermost cell wall layer (Honegger and Brunner [1981](#page-39-0); Brunner and Honegger [1985;](#page-34-0) see Fig. 15.3 a–c in Honegger [2012\)](#page-39-0).

# 6.4.4 Water Relations and Gas Exchange

and Hugelshofer [2000](#page-39-0); see Fig. 16.5a-c in LFF and their photobionts are poikilohydrous organisms (Greek poikilos: variable; Greek hydror: water) and as such unable to control their water relations. They survive fluctuations in water content between saturation and desiccation (less than 10% water  $dw^{-1}$ ) unharmed and are metabolically dormant during drought stress events, but recover within few minutes upon rehydration (Scheidegger et al. [1995](#page-46-0)). During desiccation, the cyanobacterial and green algal cells shrivel and the fungal hyphae cavitate, as seen in Low Temperature Scanning Electron Microscopy preparations of frozen-hydrated specimens, but irrespective of these dramatic changes, the cellular membrane systems remain intact, as visualized in ultrathin sections prepared from cryofixed, freeze-substituted specimens in Transmission Electron Microscopy (Honegger and Peter [1994](#page-39-0); Honegger et al. [1996;](#page-39-0) Honegger Honegger [2009\)](#page-39-0). Poikilohydrous water relations are also characteristic of lichenicolous and endolichenic fungi and lichen-associated bacteria.

In lichen thalli water and dissolved nutrients are passively taken up at the thallus surface by the conglutinate cortex and/or rhizinae with hydrophilic wall surfaces and passively translocated into the photobiont and medullary layers. As shown with LTSEM techniques, a flux of solutes occurs within the fungal apoplast, mostly in the thick, glucan-rich outer wall layer and underneath the thin hydrophobic wall surface layer (Honegger and Peter [1994\)](#page-39-0); the latter is built up primarily by mycobiont-derived hydrophobin protein (Scherrer et al. [2000](#page-46-0), [2002;](#page-46-0) Scherrer & Honegger, [2003\)](#page-46-0), the characteristic hydrophobic lining of aerial hyphae in the fungal kingdom which self-assembles at the liquid–air interface (Wösten et al. [1993;](#page-49-0) Wessels [1997](#page-49-0); Wösten [2001\)](#page-49-0). Despite being located in the thalline interior, the hyphae of the photobiont and medullary layers are aerial, their hydrophobin layer spreads over the wall surfaces of the photobiont cell population, thus sealing the apoplastic continuum with a hydrophobic coat (Fig.  $6.4$ ). The same happens in the lichenized basidiocarp of Dictyonema glabratum (syn. Cora pavonia; Trembley et al. [2002a,](#page-48-0) [b](#page-48-0)). The mycobiont-derived hydrophobic wall surface layer plays a crucial role in the functioning of the symbiosis. In co-cultures under sterile conditions, hydrophobin protein is synthesized at an early stage of thallus resynthesis at the contact site of Usnea hakonensis and its chlorobiont (Trebouxia sp.; Kono et al. [2020](#page-41-0)).

Traditionally, gas exchange of lichens was measured in the same way as in plant leaves, i.e., outside the thallus which is built up by the heterotrophic partner. However,  $CO<sub>2</sub>$  diffusion through the cortex is blocked in fully hydrated thalli; this was interpreted as a depression of photosynthesis at high moisture contents, and water was assumed to fill the intercellular space in the thalline interior (Lange and Tenhunen [1981\)](#page-41-0). In ultrastructural studies, the hydrophobic lining of the wall surfaces in the algal and medullary layers was shown to prevent water from accumulating outside the apoplastic continuum, the algal and medullary layers being gas-filled at any level of hydration (Honegger [1991a](#page-39-0), [b](#page-39-0)). The mycobiont of macrolichens amounts to approx. 80% of thalline biomass, the photobiont cell population to approx. 20% or less. The cortex is

<span id="page-13-0"></span>Fig. 6.4 Diagram illustrating the apoplastic continuum and intrathalline gas exchange in Parmeliaceae with Trebouxia photobiont. After Honegger [\(1991a\)](#page-39-0), modified. The mobile carbohydrates, as produced by the different genera of green algal or cyanobacterial photobionts, are summarized by Hill ([1976\)](#page-38-0) and Honegger ([1997\)](#page-39-0)



translucent when wet, but opaque when dry, and chlorophyll fluorescence is highest in fully hydrated thalli. It was postulated that there should be enough mycobiont-derived respiratory  $CO<sub>2</sub>$  in

the thalline interior to secure the photosynthetic activity of the photobiont in fully hydrated thalli (Honegger [1991a\)](#page-39-0). By oximetric measurements of electron transport within thalli and fluorimetric <span id="page-14-0"></span>measurements of photosynthesis in Flavoparmelia caperata and its Trebouxia chlorobiont, ten Veldhuis et al. ([2019\)](#page-48-0) showed that photosynthetic  $O_2$  and photosynthates are taken up by the mycobiont and its respiratory  $CO<sub>2</sub>$  by the chlorobiont, the algal and fungal energy metabolism being mutually linked, a perfect recycling system.

### 6.4.5 Heavy Metal and Radionuclides

the crustose thalli of Lecanora vinetorum: it Lichens absorb not only water and nutrients, but also pollutants, heavy metals and radionuclides included. Thus, they are used in biomonitoring studies in urban and industrial areas (Nimis et al. [2002;](#page-44-0) Abas [2021\)](#page-32-0). They have also been used as silent chronists of early nuclear weapon testing and of the Chernobyl and Fukushima accidents (Seaward [2002;](#page-46-0) Saniewski et al. [2020;](#page-46-0) Dohi et al. [2021](#page-36-0); Anderson et al. [2022\)](#page-32-0). Heavy metals are bound in insoluble complexes within the thallus. Characteristic lichen communities develop on heavy metal rich rock substrates. 5000 ppm of copper were found in grows on wooden supports in vineyards in South Tyrolia which, for decades, were sprayed 12 times per year with copper sulfate as a fungicide to protect the Vitis cultivars (Poelt and Huneck [1968\)](#page-45-0); the mycobiont-derived xanthone vinetorin is assumed to form insoluble copper complexes.

# 6.5 The Microbiome of Lichen Thalli

# 6.5.1 The Bacteriome: Bacterial Epi- and Endobionts of Lichen Thalli

At least since the Early Devonian (approx. 415 Ma ago), non-photosynthetic bacteria live on the thallus surface of lichens, and filamentous actinobacteria are found on their cortex and in the thalline interior (Fig.  $6.5a-b$  $6.5a-b$ ; Honegger et al. [2013b\)](#page-39-0). In the twenty-first century, the taxonomic diversity and potential role of the bacteriome for lichen symbiosis was intensely explored, as reviewed by Grube and Berg ([2009\)](#page-37-0), Grube et al. [\(2012](#page-37-0), [2016\)](#page-37-0), and Grimm et al. ([2021\)](#page-37-0).

Many lichens live in nutrient-poor habitats such as bare rock surfaces, steppe and desert or oligotrophic boreal-arctic ecosystems. Numerous plant-beneficial bacteria have been identified in the rhizosphere of plants (Burr et al. [1984;](#page-34-0) Davison [1988\)](#page-35-0); some of them fix nitrogen, others solubilize phosphate or act as antagonists of plant pathogens and thus are important in sustainable agriculture (Romano et al. [2020\)](#page-46-0). Considering the ubiquitous bacterial epi- and endobionts of lichen thalli (Fig.  $6.5c-j$  $6.5c-j$ ), the question was: are there any lichen-beneficial bacteria (Honegger [1991b\)](#page-39-0)? Maria Cengia Sambo ([1924,](#page-34-0) [1926\)](#page-34-0) was the first to culture and identify an Azobacter associated with lichens and referred to its potential role as a N2-fixing partner; she introduced the term polysymbiosis. In the last decades, a whole range of nitrogen-fixing representatives of Rhizobiales and Frankia have been characterized as associates of lichen thalli (Hodkinson and Lutzoni [2009;](#page-38-0) Bates et al. [2011](#page-33-0); Erlacher et al. [2015;](#page-36-0) Eymann et al. [2017](#page-36-0); Almendras et al. [2018](#page-32-0), etc.). Some lichen-associated Rhizobiales synthesize β-carotenes (Pankratov et al.  $2020$ ). The most common and widespread bacterial symbionts of lichens are representatives of Alphaproteobacteria. The bacterial community may change upon infection of the thallus by lichenicolous fungi or lichens (Wedin et al. [2016\)](#page-49-0). Chlorolichens carry different bacterial communities than cyanolichens (Almendras et al. [2018](#page-32-0)).

In non-lichenized fungi, the intimate bacterial– fungal interaction triggers the biosynthesis of secondary metabolites such as archetypal polyketides in A. nidulans (Schroeckh et al. [2009\)](#page-46-0) or a cryptic meroterpenoid pathway in A. fumigatus (König et al. [2013](#page-41-0)).

Comparative analyses of the bacteriome of lichens from different ecosystems were performed, e.g., the littoral (Sigurbjornsdottir et al. [2014;](#page-47-0) Parrot et al. [2015](#page-44-0); West et al. [2018\)](#page-49-0), high mountain areas such as alpine soil crust lichens (Muggia et al. [2013\)](#page-43-0), the Andean Paramo

<span id="page-15-0"></span>

Fig. 6.5 Epi– and endolichenic bacteria past (a, b) and present  $(c-j)$ .  $(a, b)$  Charcoalified, cross-fractured fragment of the green algal lichen Chlorolichenomycites salopensis, 415 Ma old, with endolichenic fungi  $(e)$  and actinobacterial filaments  $(a)$  in the thalline interior  $(a)$  and bacteria  $(b)$  on the surface of the cortex  $(b)$ . After Honegger et al. ([2013b\)](#page-39-0). (c) Thallus margin of Peltigera praetextata with actinobacterial filaments (a) around protruding hyphae (trichogynes?). (d) Actinobacterial filaments (a) overgrowing the mycobiont–photobiont interface in the algal layer of Pleurosticta acetabulum. (e, f) Bacterial colonies growing on the surface of the

upper cortex of *Parmelia sulcata*.  $(g_{-i})$  The fruticose thallus of the reindeer lichen Cladonia arbuscula, a conglutinate, tubiform axis with peripheral photobiont layer and bacterial epi- and endobionts. (g) Cross-sections of the young (top) and older part of the thallus. (h) SEM of a longitudinal section, the conglutinate axis being built up by thick-walled parallel hyphae. (i) Bacteria growing on the inner surface of the axis near the tip of the thallus. (j) Cross-section of the basal, old part of the axis with large numbers of bacteria (b) growing between the thick walls of the fungal hyphae  $(f)$ 

(Sierra et al. [2020](#page-47-0)), the Qinghai–Tibet Plateau (Hei et al. [2021\)](#page-38-0) or tropical rainforests (Mara et al. [2011\)](#page-42-0). The best investigated is the microbiome of the lung lichen, Lobaria pulmonaria, as reviewed by Grimm et al. ([2021\)](#page-37-0).

In the desiccated state, i.e., in herbaria, some bacterial symbionts retain their viability for decades (Cernava et al. [2016\)](#page-34-0), whereas lichen myco- and photobionts die off within a few years of storage at room temperature (Honegger [2003\)](#page-39-0). Thus, herbaria with specimens from remote areas might be a source of interesting bacterial associates of lichens, some of them with biologically interesting properties (Cernava et al. [2016](#page-34-0)). In nature, bacterial associates of lichen thalli survive drought stress unharmed (Cernava et al. [2018](#page-34-0)).

Within thalli, the species richness and cell density of lichen-associated bacteria increase from peripheral, young growing zones to older, non-growing areas. In the lobate Xanthoparmelia, the highest bacterial diversity was found in the centre (Mushegian et al. [2011\)](#page-43-0), in the fruticose reindeer lichen Cladonia arbuscula or in the podetia of Cladonia squamosa in basal parts (Cardinale et al. [2008](#page-34-0); Noh et al. [2020;](#page-44-0) Fig.  $6.5g-i$  $6.5g-i$ ; some of the bacterial associates of old thallus areas are likely involved in biodegradative processes.

Vertical transmission of the microbiome (except the Nostoc cyanobiont of cephalodia) via symbiotic propagules occurs in the lung lichen (Lobaria pulmonaria; Aschenbrenner et al. [2014\)](#page-32-0); with high probability isidia and thallus fragments of most other species also carry at least part of the microbiome at their surface, but whether soredia with very hydrophobic surfaces (Fig. [6.3e](#page-7-0)) carry bacteria remains to be seen. Lichen-inhabiting invertebrates have their own bacteriome on their surfaces (Chasiri et al. [2015\)](#page-34-0).

Lichen-associated bacteria have attracted considerable interest as potential producers of novel secondary compounds with biotechnological potential, the focus being on actinobacteria (González et al. [2005;](#page-37-0) Parrot et al. [2015](#page-44-0), [2016a](#page-44-0), [b;](#page-44-0) Suzuki et al. [2016\)](#page-48-0).

## 6.5.2 Lichenicolous Fungi

Approximately 2320 spp. of lichenicolous ascoand basidiomycetes have been described (Fig.  $6.6$ ); 2000 spp. are obligately, 62 spp. facultatively lichenicolous and 257 spp. are lichenicolous lichens (Diederich et al. [2018\)](#page-35-0), new families, new genera and species being continuously described from ecosystems which had not been previously investigated. Examples are representatives of Pleostigmataceae and Melanina spp. among the Chaetothyriomycetidae in crustose lichens from subalpine areas (Muggia et al. [2021](#page-43-0)), or Crittendenia spp. lichenicolous representatives of Pucciniomycotina (Basidiomycota; Millanes et al. [2021\)](#page-43-0). A wellpreserved lichenicolous Lichenostigma sp., (Arthoniomycetes, Ascomycota) was found on fossil Ochrolechia sp. in palaeogene amber (approx. 24 Ma old; Kaasalainen et al. [2019](#page-40-0)).

Some lichenicolous fungi have a minor impact on their host (e.g. mycocalicialean Sphinctrina spp. on *Pertusaria* spp., Fig. [6.6e](#page-17-0)), others have a devastating effect, killing the fungal and photoautotrophic partner (e.g. the corticialean basidiomycetes Marchandiomyces aurantiacus and M. corallinus on Physcia and Parmelia spp.; Fig. [6.6b](#page-17-0)). Only a few lichen spp. regenerate after an attack by the athelialean basidiomycete Athelia arachnoidea (Fig. [6.6a\)](#page-17-0), whose asexual state is Rhizoctonia carotae, a pathogen of carrots (Adams and Kropp [1996\)](#page-32-0), but the free space created by the killing effect is soon occupied by fast-growing crustose taxa such as Biatora spp. (Motiejûnaitë and Jucevièienë [2005](#page-43-0)).

Several lichenicolous fungi stimulate, probably via secretion of hormones, gall formations in their hosts (Hawksworth and Honegger [1994\)](#page-38-0). Examples are the verrucarialean Telogalla olivieri on Xanthoria parietina, or the parmeliacean Raesaenenia huuskonenii on Bryoria spp. (Fig. [6.6h](#page-17-0)–i). The characteristic galls of members of the Biatoropsis usnearum complex (Tremellales, Basidiomycota; Fig. [6.6d;](#page-17-0) Millanes et al. [2016a](#page-43-0)) on Usnea spp. are basidiomata of the parasite. Even hyperparasitism was detected

<span id="page-17-0"></span>

Fig. 6.6 Lichenicolous (parasitic) ascomycetes (c, e, f, g, h, i) and basidiomycetes (a, b, d, j). (a) Athelia arachnoidea (Atheliales, Agaricomycetes) killing Physcia tenella. (b) Marchandiomyces corallinus (Corticiales, Agaricomycetes) on Physcia stellaris; courtesy of Erich Zimmermann. (c) Ascomata of Neobarya peltigerae (Clavicipitaceae, Sordariomycetes) on rhizinae of Peltigera sp. From Zimmermann and Feusi [\(2018](#page-50-0)), courtesy of Erich Zimmermann. (d) Basidioma (arrow) of Biatoropsis usnearum (Tremellales, Tremellomycetes) on Usnea ceratina. (e, e′) Mazaediate ascomata of Sphinctrina turbinata (Mycocaliciaceae, Eurotiomycetes) on dissected Pertusaria pertusa; (ac) ascoma of P. pertusa. (f, g) thallus squamule of Cladonia macrophylla invaded by Phaeopyxis punctum (Helotiales, Leotiomycetes), black dots in (f) are ascomata of the parasite, whitish dots are adhering soredia of the lichen.

(e.g. Tremella huuskonenii, parasite of R. huuskonenii on Bryoria sp., Fig. 6.6j; Lindgren et al. [2015](#page-41-0)).

Various investigators found basidiomycetous yeasts as symptomless endolichenic fungi on and in lichen thalli, belonging to the Tremellomycetes (Agaricomycotina), Cystobasidiomycetes or Agaricostilbomycetes (Pucciniomycotina; review: Diederich et al. [2018\)](#page-35-0). Examples are Fellomyces spp. (Cuniculitremataceae, Tremellales; Prillinger et al. [1997;](#page-45-0) Lopandic et al. [2005\)](#page-42-0), the Cyphobasidium usnicola

 $(g)$  Stained semi-thin section of C. macrophylla with cortex  $(c)$ , photobiont  $(ph)$  and medullary layer  $(m)$  of the lichen-forming ascomycete and ascoma of the parasite (ac  $Pp$ ); arrows point to the primordium of an ascoma of the parasite with trichogynes protruding through the cortex of the lichen. From Honegger [2012.](#page-39-0) (h–j) Horsehair lichens (Bryoria fuscescens in  $h$ , B. pikei in  $i-j$ ) invaded by curled gall-inducing Raesaenenia huuskonenii (syn. Phacopsis or Protousnea huuskonenii, respectively; Parmeliaceae, Lecanoromycetes). (h) Infected Bryoria fuscescens, from Zimmermann and Feusi ([2020\)](#page-50-0), courtesy of Erich Zimmermann. (j) basidioma of the hyperparasite *Tremella* huuskonenii (Tremellales, Tremellomycetes) developing on the gall of the lichenicolous R. huuskonenii on B. pikei. (i, j) From Lindgren et al. ([2015](#page-41-0)), courtesy of Paul Diederich

complex and other Cyphobasidium spp. on various macrolichens (Cystobasidiales; Millanes et al. [2016b;](#page-43-0) Spribille et al. [2016\)](#page-47-0), or Lichenozyma pisutiana in Cladonia spp. (Cystobasidiales; Černajová and Škaloud [2019](#page-34-0)). These basidiomycetous yeasts are widespread, but not ubiquitous in lichen thalli (Lendemer et al. [2019\)](#page-41-0). Particularly interesting are tremellalean lichenicolous fungi which produce galls on their host lichen, but switch between a yeast and a hyphal stage, the former being located on and in the cortex of the lichen, as visualized with FISH (fluorescent in

situ hybridization techniques; Spribille et al. [2016\)](#page-47-0). Examples are Tremella lethariae on Letharia vulpina (Tuovinen et al. [2019\)](#page-48-0), T. macrobasidiata and T. varia on Lecanora spp. (Tuovinen et al. [2021](#page-48-0)).

Some lichenicolous fungi reduce the growth rate and the production of secondary metabolites of their host (e.g. Plectocarpon spp. on Lobaria pulmonaria; Asplund et al. [2018](#page-33-0); Merinero and Gauslaa [2018\)](#page-43-0), others degrade the secondary metabolites of their host (e.g. parietin of Xanthoria parietina by the capnodialean Xanthoriicola physciae; Edwards et al. [2017](#page-36-0), or lecanoric acid by hypocrealean Fusarium spp. on Lasallia spp.; Lawrey et al. [1999](#page-41-0)), thus facilitating the attack of opportunistic fungi and/or enhancing the grazing pressure by lichenivorous invertebrates (Lawrey et al. [1999;](#page-41-0) Asplund et al. [2016\)](#page-32-0). More and different secondary metabolites than in the lichen proper were found in Cladonia foliacea upon infection with the lichenicolous Heterocephalacria bachmannii (Filobasidiales, Tremellomycetes), the antioxidant potential of the thallus being enhanced (Khadhri et al. [2019\)](#page-40-0).

### 6.5.3 Lichenicolous Lichens

Lichenicolous lichens (Fig.  $6.7a-c$ ) are a group of specialists whose ascospore-derived germ tubes start their development in the thallus of a host lichen, which they invade; some of them take over the photobiont host, others acquire a different green algal partner (see below). The parasitic lichen overgrows its host and differentiates its own thallus. The first known lichenicolous lichen, Rhizocarpon lusitanicum, parasite of Pertusaria spp., was described by Nylander in 1865 when lichen symbiosis was not yet defined. In their 2018 compilation, Diederich et al. listed 257 spp. of lichenicolous lichens and their hosts, representatives of 63 genera, 28 families, 16 orders and four classes of ascomycetes. The vast majority are Lecanoromycetes, the most species-rich genera being *Rhizocarpon* (33 spp.), Acarospora (27 spp.) and Caloplaca (26 spp.; Diederich et al. [2018\)](#page-35-0).



Fig. 6.7 Lichenicolous lichens. (a) Diploschistes muscorum (Ostropales) invading and overgrowing Cladonia chlorophaea. (ac) ascomata. (b) Caloplaca anchon-phoeniceon (Teloschistales) invading Aspicilia calcarea. (c) Rhizocarpon effiguratum (Rhizocarpales) invading Acarospora oxytona

The acquisition of the photobiont by the lichenicolous Diploschistes muscorum on Cladonia spp. was investigated using light microscopy techniques applied to symbiotic and cultured photobiont cells (Friedl [1987](#page-36-0)). In the first developmental stage on *Cladonia* sp., D. muscorum was shown to associate with Asterochloris irregularis (syn. Trebouxia irregularis), the unicellular green algal partner of the Cladonia host; subsequently algal switching occurred, A. irregularis being replaced by Trebouxia showmanii (Friedl [1987\)](#page-36-0) and/or other Trebouxia spp. (Wedin et al. [2016\)](#page-49-0). Diploschistes spp. associate with diverse photobiont species, as concluded from molecular investigations of D. diacapsis in Mediterranean soil crust communities, up to four different Trebouxia spp. having been found in one thallus (Moya et al. [2020](#page-43-0)).

## <span id="page-19-0"></span>6.5.4 Endolichenic Fungi (ELF)

Whereas the diversity of lichenicolous fungi has been intensely investigated from the nineteenth century onwards, the presence of numerous symptomless endolichenic fungi in lichen thalli was first detected towards the end of the twentieth century (Petrini et al. [1990](#page-45-0)). Today, more than 200 spp. of endolichenic fungi are known, predominantly ascomycetes, few basidio- and zygomycetes, 135 having been identified at species level; they were isolated from 114 lichen species, the majority being parmeliacean macrolichens (Chakarwarti et al. [2020](#page-34-0)).

Most ELF are saprobic generalists, but also several wood-decay asco- and basidiomycetes were found such as the xylarialean Xylaria, Hypoxylon and Daldinia spp., or the agaricales Schizophyllum commune and the russulales Heterobasidion annosum (Fig. 6.8). Lichen thalli as a refuge of wood-decay fungi: an unexpected result! Some ELF are plant pathogens, a few are also endophytes of plants (Arnold et al. [2009;](#page-32-0) U'Ren et al. [2010,](#page-48-0) [2012](#page-48-0)). The biology of endolichenic fungi is poorly investigated; they absorb polyols such as mycobiont-derived



Fig. 6.8 Comparatively thin hyphae of symptomless endolichenic fungi (arrows) growing between the medullary hyphae of Peltigera leucophlebia. Broad arrows point to clamp connections of an endolichenic Agaricomycetes. After Honegger [\(2012](#page-39-0))

mannitol from the apoplastic continuum of the lichen thallus (Yoshino et al. [2020\)](#page-49-0).

Upon isolation into sterile culture, ELF turned out to be a rich source of interesting bioactive secondary metabolites. More than 140 novel compounds have been identified, many of them with pharmaceutical potential such as antibiotic, antiviral, antifungal, anti-inflammatory, antiproliferative or antioxidant properties (reviews: Gao et al. [2016](#page-37-0); Kellogg and Raja [2017;](#page-40-0) Tripathi and Joshi [2019;](#page-48-0) Agrawal et al. [2020;](#page-32-0) Wethalawe et al. [2021\)](#page-49-0). Considering that only approximately 5% of lichen species have so far been investigated for ELF, there are lots of interesting work ahead.

### 6.5.5 The Virome of Lichens

Upon isolation into sterile culture, the first mycoviruses in the mycobiome of lichens were detected in the so-called dust lichens, i.e., the leprose thalli of Lepraria incana (Stereocaulaceae, Lecanoromycetes; LiCV1) and Chrysothrix chlorina (Chrysotrichaceae, Arthoniomycetes; CcCV1). LiCV1 and CcCV1 are classified in the genus Alphachrysovirus (family Chrysoviridae; Petrzik et al. [2019\)](#page-45-0) whose host range comprises fungi, plants and possibly insects (Kotta-Loizou et al. [2020](#page-41-0)). Upon closer examination, i.e., with fluorescent in situ hybridization techniques, CcCV1 was located not in the lichen mycobiont proper, but in an associated Penicillium citreosulfuratum (Eurotiomycetes; Petrzik et al. [2019](#page-45-0)).

Lichen photobionts were shown to harbour plant viruses such as the cauliflower mosaic virus (Petrzik et al. [2013\)](#page-45-0). With immunogold labelling techniques applied to ultrathin sections, viruses contained in more than 70 years old sterile cultures of Trebouxia aggregata, photobiont of Xanthoria parietina, were shown to be located in the algal cytoplasm, not in the chloroplast; upon mechanical transmission to plant host cells these phycobiont-derived viruses were infective (Petrzik et al. [2015](#page-45-0)).

In a study on virus diversity in the metagenome of the saxicolous Umbilicaria phaea, five novel viruses were detected, one of <span id="page-20-0"></span>them, a Caulimovirus, being associated with the Trebouxia photobiont and the four others, belonging to the Myoviridae, Podoviridae and Siphoviridae, with bacterial associates of the lichen, but so far, no mycoviruses have been found (Merges et al. [2021\)](#page-43-0).

RNA virus diversity was investigated in an extract of a microcosm, predominantly consisting of Cladonia spp. and other Lecanoromycetes and their trebouxiophycean photobionts, overgrowing bryophytes, non-lichenized ascomycetes, cyanobacteria, free-living green algae, fern (spores?) and minute animals living therein, as seen in SSU rRNA sequences (Urayama et al. [2020\)](#page-48-0). A total of 65 operational taxonomic units (OTUs) were achieved, 17 belonging to Partitiviridae (five to the genus Alphapartitivirus, one to Betapartitivirus, the host range of both genera comprising fungi and plants; Vainio et al. [2018\)](#page-48-0), plus representatives of 10 additional viral families and several unclassified dsRNA and ssRNA viruses (Urayama et al. [2020\)](#page-48-0).

The impact of viral infections on the biology and whether horizontal virus transfers occur between the partners of lichen symbiosis and their allies remain to be investigated.

# 6.6 Fossil Lichens and Their Microbiome

## 6.6.1 Fossil vs. Extant Lichens

Fungi colonized terrestrial environments in the Proterozoic, presumably associating with the even more ancient cyanobacteria, the latter having been present already in the Archean (approx. 2400 Ma), but colonized terrestrial habitats from the Proterozoic onwards, as concluded from fossil records and molecular clock analyses (Strother and Wellman [2016;](#page-47-0) Lutzoni et al. [2018;](#page-42-0) Demoulin et al. [2019;](#page-35-0) Garcia-Pichel et al. [2019\)](#page-37-0). Trebouxiophyceae, unicellular green algae, and Trentepohliales, filamentous green algae, were present from the Proterozoic onwards, some extant taxa of both groups being the main photobionts of extant LFF (Lutzoni et al. [2018;](#page-42-0) del Cortona et al. [2020](#page-35-0)). Thus, lichenization is assumed to be an ancient fungal lifestyle, but fossil records are very scarce and partly difficult to interpret (Fig.  $6.9$ ).

According to molecular phylogenetic datasets, the clades of ascomycetes which gave rise to extant lichens did not radiate before the Silurian (approx. 450 Ma; Lutzoni et al. [2018](#page-42-0)) or even the Mesozoic (approx. 250 Ma; Nelsen et al. [2019\)](#page-44-0). According to the latter authors ascolichens, as we know them today, are younger than previously assumed, did not predate the evolution of vascular plants and were not among the (extinct) early fungal colonizers of terrestrial habitats. Nevertheless, the lichen lifestyle was most likely established in the common and widespread nematophytes and *Prototaxites* spp. (review: Selosse and Strullu-Derrien [2015](#page-47-0)). The manyfold problems related to the interpretation of fungal fossils are summarized by Berbee et al. ([2020\)](#page-33-0).

#### 6.6.2 Palaeozoic Fossils

An approx. 600 Ma old lichen-like association was preserved in marine phosphorite of the Doushantuo Formation in South China (Yuan et al. [2005\)](#page-49-0). Mats of cyanobacterial or coccoidal algal cells were invaded by extremely thin filamentous hyphae with diameters below 1 micron, a characteristic feature of actinobacteria, not fungi (Honegger [2018\)](#page-39-0); thus, the Doushantuo fossils are not lichens.

Nematophytes, an extinct phylum of presumably lichenized fungi with no modern analogues and thus not represented in molecular phylogenetic trees of living species, were common and widespread constituents of terrestrial cryptogamic ground covers from the late Silurian to the late Devonian. They comprise the families Nematothallaceae (genera Nematothallus, Cosmochlaina, Tristratothallus; Edwards et al. [2013,](#page-36-0) [2018](#page-36-0)) and Nematophytaceae (genera Nematoplexus, Nematasketum and the enigmatic Prototaxites; Edwards and Axe [2012](#page-36-0)). After long debates about their taxonomic affiliation nematophytes are currently interpreted as presumably lichenized thalloid fungal structures (Edwards et al. [2013,](#page-36-0) [2018](#page-36-0)). Cross-fractures of

- <span id="page-21-0"></span> $\bullet$ accepted lichen, stratified (heteromerous) anatomy
- $\circ$ accepted lichen, crustose-nonstratified
- ۰ lichen-like morphology, neither myco- nor photobiont resolved
- presumed lichen, morphology & anatomy differ from extant lichens
- $\star$ presumed lichen, mycobiont resolved, photobiont unclear
- $\boldsymbol{\mathsf{x}}$ not lichens: cyanobacterial mats invaded by filamentous bacteria



Fig. 6.9 Fossil lichens, an overview. 1. Yuan et al. ([2005\)](#page-49-0); 2. Retallack [\(2009\)](#page-45-0); 3. Retallack [\(2020](#page-45-0)); 4. Edwards et al. ([2013,](#page-36-0) [2018](#page-36-0)); Honegger et al. [\(2018](#page-40-0)); 5. Honegger et al. [\(2013a\)](#page-39-0); 6. Taylor et al. ([1995,](#page-48-0) [1997](#page-48-0)), Karatygin et al. ([2009\)](#page-40-0); 7. Jurina and Krassilov [\(2002](#page-40-0)); 8. Stein et al. [\(1993](#page-47-0)), Jahren et al. [\(2003](#page-40-0)); 9. Ziegler ([2001\)](#page-50-0); 10. Wang et al. ([2010\)](#page-48-0), Fang et al. [\(2020](#page-36-0)); 11. Matsunaga et al. ([2013\)](#page-43-0); 12. Mägdefrau [\(1957](#page-42-0)), Rikkinen and Poinar [\(2002](#page-46-0)), Rikkinen ([2003\)](#page-46-0), Hartl et al. ([2015](#page-38-0)), Kaasalainen et al. ([2015,](#page-40-0) [2017,](#page-40-0) [2020\)](#page-40-0), Rikkinen et al. [\(2018](#page-46-0));

some nematophyte fossils reveal the same stratification as found in lichens, with a conglutinate peripheral cortex and a medullary layer built up by interwoven hyphae, but photobiont cells are missing; they might have been lost during fossilization. In charcoalifying experiments with extant cyanobacterial lichens, the fungal partner was well preserved, and so were the thick mucilaginous sheaths of the Nostoc photobiont, but most of the cyanobacterial cells proper were lost (Honegger et al. [2013a\)](#page-39-0).

Prototaxites spp., enigmatic fossils with erect axes, built up by hyphae of various diameters, ranging from pencil size dimensions as in the

13. Poinar Jr. et al. ([2000](#page-45-0)), Rikkinen and Poinar [\(2008](#page-46-0)); 14. MacGinitie ([1937](#page-42-0)), Peterson ([2000](#page-45-0)). Chronostratigraphy (International Commission on Stratigraphy (ICS) chart 2019; Hounslow [2020](#page-40-0)): Periods in Ma. Neoproterozoic (1000-541); Cam: Cambrian (541-484); Ord: Ordovician (485-443); Sil: Silurian (443-419); Dev: Devonian (419-358); Carb: Carboniferous (358-298); Perm: Permian (298-251): Tri: Triassic (251-201); Jur: Jurassic (201-145); Cretac: Cretaceous (145-66); Paleo: Paleogene (66-23). After Honegger [\(2018](#page-39-0)), modified

mid-Ordovician (Darrivilian, approx. 460 Ma old) P. honeggeri (Retallack [2020\)](#page-45-0) to more than 8 metres long stems, as in the mid-Devonian (early Givetian, approx. 385 Ma old) P. loganii, were interpreted as presumably lichenized fungi, coccoid photobiont cells having been resolved at the surface of the axes of both species (Retallack and Landing [2014](#page-45-0); Retallack [2020](#page-45-0)). However, it remains unclear how the few coccoid algal cells should have provided enough carbohydrate to nourish these large fungal structures; nematophytes were assumed to be associated with *Prototaxites* (Selosse and Strullu-Derrien [2015\)](#page-47-0). Delicate fruiting structures at the surface

of the axes were seldom retained; luckily the hymenial layer was preserved in a series of petrographic thin sections of P. taiti of the Kidston and Lang collection. The ascomata of P. taiti combine features of extant ascomycetes, i.e., Taphrinomycotina (Neolectomycetes lacking croziers) and Pezizomycotina (epihymenial layer secreted by paraphyses), but Prototaxites is not an ancestor of the latter (Honegger et al. [2018\)](#page-40-0).

The mid-Devonian Spongiophyton minutissimum is a presumed lichen, built up by a conglutinate, tissue-like, porate fungal cortex around a central cavity; a photobiont was not resolved (Taylor et al. [2004\)](#page-48-0).

Winfrenatia reticulata is a permineralized fossil lichen, 10 cm long, 2 mm thick, from the Lower Devonian Rhynie chert (approx. 400 Ma old; Taylor et al. [1995](#page-48-0), [1997\)](#page-48-0). A presumed mucormycete forms a basal mat and superimposed ridges built up by parallel hyphae which are in contact with coccoid cyanobacterial cells interspersed with a filamentous cyanobacterium (Karatygin et al. [2009](#page-40-0)). However, Winfrenatia might be a fragment of a larger thalloid organism such as a nematophyte (Honegger, unpubl.).

The oldest lichenized ascomycetes with dorsiventally organized, internally stratified thallus so far found are charcoalified fragments of Cyanolichenomycites devonicus, a cyanobacterial lichen with Nostoc-like photobiont (Fig. [6.10a,](#page-23-0) [b](#page-23-0)), and Chlorolichenomycites salopensis, a presumed green algal lichen whose globular photobiont cells were retained as framboidal pyrite (Fig. [6.10c](#page-23-0)–e; Honegger et al. [2013a\)](#page-39-0). Both fossils were extracted from a Lower Devonian siltstone from the Welsh Borderland (approx. 415 Ma old). Despite their striking structural similarity with extant Lecanoromycetes lichens, the taxonomic affiliation of these fossils remains unclear. They might either belong to the nematophytes or represent the earliest known members of extant lichenized ascomycetes (Hawksworth [2012;](#page-38-0) Lutzoni et al. [2018\)](#page-42-0); in the latter case, some molecular clock estimates would require recalibration.

#### 6.6.3 Mesozoic Fossils

Daohugouthallus ciliiferus, a well-preserved, band-shaped lichen resembling extant Parmeliaceae such as Pseudevernia furfuracea, was found in mid Jurassic sediments (approx. 165 Ma old) in north-eastern China (Inner Mongolia; Wang et al. [2010;](#page-48-0) Fang et al. [2020\)](#page-36-0).

Very well preserved is the Mesozoic Honeggeriella complexa, a dorsiventrally organized, foliose lichen from the Early Cretaceous of Vancouver Island (Valanginian-Hauterivian boundary, ca. 133 Ma old) with distinct green algal layer and haustorial complexes at the mycobiont–photobiont interface comparable to those found in extant taxa (Matsunaga et al. [2013\)](#page-43-0).

#### 6.6.4 Cenozoic Fossils

Large numbers of well-preserved lichens were found in Cenozoic Baltic and Bitterfeld (approx. 50–35 Ma old) and in Dominican amber (approx. 16 Ma old). Excellent external and internal structural preservation allows to identify taxa similar or closely related to extant species (Hartl et al. [2015\)](#page-38-0), most of them having been epiphytes on the resin-producing trees (e.g. Pinus spp. in N-Europe). Among the fruticose-pendulous lichens in Baltic amber are alectorioid taxa and Usnea spp. (Kaasalainen et al. [2015](#page-40-0), [2020\)](#page-40-0). Among the foliose lichens is *Anzia electra*, common and widespread in Baltic and Bitterfeld amber, but today extinct in Europe (Rikkinen and Poinar [2002;](#page-46-0) Schmidt et al. [2013\)](#page-46-0). Very well preserved Parmelia ambra and P. isidiiveteris (Poinar Jr. et al. [2000](#page-45-0)) and Phyllopsora dominicanus (Rikkinen and Poinar [2008\)](#page-46-0) were found in Dominican amber. Among the crustose lichens in Baltic amber are calicioid taxa (Rikkinen [2003](#page-46-0); Rikkinen et al. [2018](#page-46-0)) and Ochrolechia spp. (Kaasalainen et al. [2019\)](#page-40-0).

There are many more types of amber worldwide whose fossils await thorough investigation, the oldest ones dating back to the Carboniferous

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Fig. 6.10 Fossil lichens from the Lower Devonian (ca. 415 Myr old) with dorsiventrally organized, stratified thallus (a, c–d) in comparison with extant Lecanoromycetes (**b**, **e**). (**a**) *Cyanolichenomycites* devonicus with conglutinate cortex and a Nostoc-like cyanobiont in the photobiont layer; in contrast to the well-preserved gelatinous sheaths (gs) only few

cyanobacterial cells (bold arrow) are retained. (b) Peltigera praetextata (Peltigerales) with Nostoc sp. as cyanobiont. (c-d) Chlorolichenomycites salopensis with thin peripheral cortex and globose, presumed green algal photobiont, the latter being preserved as framboidal pyrite. (e) Pleurosticta acetabulum (Lecanorales); chlorobiont: Trebouxia sp. After Honegger et al. [\(2013a\)](#page-39-0), modified

period (approx. 320 Ma old). DNA has been successfully extracted, amplified and sequenced from invertebrate and plant fossils in Dominican and Lebanese amber, the oldest ones being approx. 120–135 Ma old (Poinar [1994\)](#page-45-0).

# 6.6.5 The Microbiome of Fossil Lichens

In the dorsiventrally organized thallus of the Lower Devonian Chlorolichenomycites salopensis were bacterial colonies growing on the surface of the cortex and actinobacteria and lichenicolous fungi in the thalline interior, comparable to the situation in extant lichens (Fig. [6.5a, b;](#page-15-0) Honegger et al. [2013b](#page-39-0)).

Fructifications of Lichenostigma sp. (Lichenostigmatales, Arthoniomycetes) were found on Ochrolechia sp. in palaeozoic amber (Kaasalainen et al. [2019](#page-40-0)), Lichenostigma spp. being common and widespread lichenicolous fungi of extant lichens (Hafellner and Calatayud [1999;](#page-38-0) Diederich et al. [2018\)](#page-35-0). A wide range of filamentous fungi were found on decaying crustose lichens embedded in amber, such as wellpreserved toruloid taxa, conidiomata of dematiaceous hyphomycetes resembling extant genera such as Sporidesmium, Taeniolella and Taeniolina (Kettunen et al. [2016](#page-40-0), [2018](#page-40-0)).

### 6.7 Lichen–Animal Relations

# 6.7.1 The Micro- and Mesofauna of Lichen Thalli

Many interactions of lichens with protozoans, invertebrates and vertebrates have been reported. Among lichen–invertebrate interactions are rotifera, amoebae (Figs. [6.1a, b](#page-1-0) and 6.11a), ciliates, tardigrades (Fig. 6.11b), mites (Fig. [6.12a, b](#page-25-0)), spiders, nematodes, collembola and insects (springtails, barklice, lepidopterans, grasshoppers, etc.) which live between and below, some even within lichen thalli (reviews: Gerson [1973;](#page-37-0) Gerson and Seaward [1977;](#page-37-0) Sharnoff [1998;](#page-47-0) Segerer [2009](#page-47-0)). Epiphytic lichen



Fig. 6.11 Representatives of the microfauna of lichen thalli. (a) Testate amoeba with agglutinate shell, predominantly composed of diatoms, in the tomentum of Sticta fuliginosa, collected in La Réunion. (b) Tardigrade on the surface of a campylidium (conidioma) of the foliicolous Lasioloma arachnoideum collected in Tanzania by Edit Farkaš

biomass on oak correlated significantly with the abundance of arthropods, tardigrades and rotifera in central Maine (Stubbs [1989\)](#page-48-0). The microfauna of one lichen species (Xanthoria parietina), collected in Lithuania, comprised 16 protozoan taxa, three tardigrades, two Nemathelminthes and three rotifera (Šatkauskienė [2012](#page-46-0)). In 26 terricolous lichen samples from Svalbard and Spitzbergen, 23 species of tardigrades were identified (Zawierucha et al. [2017\)](#page-49-0). On Cladonia rei growing on heavy metal-contaminated post-smelting dumps in Poland, 50 species of oribatid mites were recorded (Skubała et al. [2014](#page-47-0)).

Some of these allies of lichen thalli find shelter, others are lichenivorous (see below) or bacterivorous (Liu et al. [2011](#page-41-0)). Even pest insects of fruit and nut trees find shelter under epiphytic lichens; therefore, agricultural experts recommend fruit growers to control or even prevent lichen growth either mechanically or chemically in their orchards.

#### 6.7.2 Lichenivory: Invertebrates

Lichens have always been part of terrestrial food webs. The Silurian-Devonian nematophytes, presumably lichenized fungi, were grazed by

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Fig. 6.12 Lichenivory and endozoochory in oribatid mites. In captivity Trhypochthonius tectorum (a) and Trichoribates trimaculatus  $(b, b')$  were feeding on the hymenium (c) or the upper cortex and algal layer (d), respectively, of Xanthoria parietina; adjacent Physcia orbicularis was not grazed. Faecal pellets contained viable fungal and algal cells (Trebouxia arboricola), the latter

growing out upon incubation on Parafilm (f) in a wet chamber; mycelia grew out on agarized non-nutrient mineral medium  $(g)$ . Yellow crystals of parietin  $(p)$  are formed on and around the mycelium. The identity of the fungal and algal isolates was investigated with molecular tools. After Meier et al. [\(2002](#page-43-0))

arthropods, presumably millipedes, as seen in grazing marks on the thallus surface with adhering coprolites (fossil faecal pellets), some of which contain hyphae or even small fragmentary remains of the thalli (Edwards et al. [1995,](#page-36-0) [2020\)](#page-36-0). Grazing marks, presumably from arthropods, and faecal pellets were found on fossil foliose lichens in Palaeogene Bitterfeld amber (Schmidt et al. [2022\)](#page-46-0).

There is a large body of literature on lichenivorous invertebrates (Gerson and Seaward [1977;](#page-37-0) Rambold [1985;](#page-45-0) Sharnoff [1998;](#page-47-0) Segerer [2009\)](#page-47-0). In feeding experiments, Arthropoda such as mites (Acari), springtails (Collembola), barklice (Psocoptera) or beetles (Coleoptera) and Gastropoda such as door and pillar snails (Clausiliidae, Cochliopsidae) were all shown to graze the upper cortex and algal layer of Parmelia and Physcia spp. down to the medullary layer (Schmidt et al. [2022](#page-46-0)). Lichens were assumed to

be protected from lichenivory by their content in secondary metabolites (Stahl [1904\)](#page-47-0). However, many invertebrates consume even those parts of lichen thalli which contain large amounts of secondary products unharmed, crystals of these fungal metabolites passing the digestive tract unchanged (Zopf [1907](#page-50-0); Hesbacher et al. [1995;](#page-38-0) Asplund and Wardle [2013](#page-32-0); Gadea et al. [2019\)](#page-37-0). Lichenivorous mites are selective in their food choice, some lichen species being avoided (Reutimann and Scheidegger [1987;](#page-45-0) Fröberg et al. [2003](#page-36-0)). In molluscs (slugs and snails), a preference was noted for thallus areas or even species with low contents in secondary metabolites (Benesperi and Tretiach [2004;](#page-33-0) Asplund [2011\)](#page-32-0). Arion fuscus preferentially feeds on the large internal cephalodia of Nephroma arcticum, probably because these lack secondary metabolites (Asplund [2010;](#page-32-0) Asplund and Gauslaa [2010\)](#page-32-0). Feeding experiments with thalli whose

secondary products had been removed by rinsing in acetone were preferentially grazed (Gauslaa [2005;](#page-37-0) Boch et al. [2011](#page-33-0), [2015](#page-33-0)). Gall-producing lichenicolous fungi (Plectocarpon spp.) reduce the growth rate and the production of secondary metabolites in Lobaria pulmonaria (Asplund et al. [2016;](#page-32-0) Merinero and Gauslaa [2018\)](#page-43-0), thus making the infected thallus areas more palatable and increasing the grazing pressure by snails (Asplund et al. [2016\)](#page-32-0).

### 6.7.3 Lichenivory: Vertebrates

No vertebrate lives exclusively on a lichen diet, but in many regions, lichens are a substantial part of total food intake when other, preferred food such as buds, leaves, tubers and fruits are seasonally unavailable. Mat-forming terrestrial lichens such as *Cladonia* spp., and epiphytic species are a substantial part of the diet of reindeers and caribou (Rangifer tarandus) in Arctic tundras (Heggberget et al. [2002](#page-38-0)), but also of other ungulates such as deer, chamois or alpine Ibex in montane and alpine ecosystems of the Northern and Southern Hemisphere (Parrini et al. [2009;](#page-44-0) Yockney and Hickling [2000\)](#page-49-0), of camelids (guanacos, llamas) in South America (Follmann [1964\)](#page-36-0) and of numerous smaller mammals such as marmots, flying squirrels (Rosentreter et al. [1997\)](#page-46-0), voles, etc. (reviews: Richardson and Young [1977;](#page-46-0) Sharnoff and Rosentreter [1998;](#page-47-0) Segerer [2009\)](#page-47-0). Trampling damage occurs in reindeer lichen stands in subarctic and arctic tundras when large herds are feeding in dry weather; reindeer lichens (Cladonia spp.), like all other lichens, are elastic and flexible when wet, but very brittle in the dry state, thus being fragmented by the hooves of ungulates, recovery taking more than 30 years (Pegau [1970;](#page-44-0) den Herder et al. [2003;](#page-35-0) Théau et al. [2005](#page-48-0); Heggenes et al. [2017](#page-38-0), [2020\)](#page-38-0).

However, trampling damage and overgrazing are not the only reasons for the decline of lichen heaths in Arctic ecosystems. In response to global warming, the Arctic is greening, deciduous shrubs and graminoids increasingly outcompete the terricolous lichen communities, as observed in situ and with remote sensing technologies over time (Myers-Smith et al. [2011](#page-44-0), [2019](#page-44-0); Fraser et al. [2014;](#page-36-0) Aartsma et al. [2020](#page-32-0); Berner et al. [2020\)](#page-33-0). Increasing summertime temperatures are also causing the decline of cyanobacterial and other lichens in soil crust communities on the Colorado Plateau, where neither grazing nor anthropogenic disturbances are evident (Finger-Higgens et al. [2022\)](#page-36-0).

Large quantities, i.e., 30–75% of total food intake of beard lichens, especially Usnea longissima and Bryoria spp., are consumed by colobine primates (snub-nosed monkeys; Fig.  $6.13$ ) of the genus *Rhinopithecus* (*R. bieti*, R. roxellana, R. brelichii) in Western China and adjacent Tibetan mountain areas (Xiang et al. [2007;](#page-49-0) Grueter et al. [2009,](#page-37-0) [2012](#page-37-0); Li and Ang [2009;](#page-41-0) Kirkpatrick and Grueter [2010;](#page-41-0) Liu et al. [2013;](#page-41-0) Bissell [2014;](#page-33-0) Xiang [2014\)](#page-49-0). The rare and endangered lowland R. strykeri was shown to eat 15 lichen spp. in captivity, but these amounted to less than 3% of his diet (Yang et al. [2019\)](#page-49-0). Barbary macaques (Macaca sylvanus) in highaltitude fir forests in the Ghomaran Rif and other mountain areas in Marocco eat lichens only in harsh winters when no other food is available (Mehlman [1988\)](#page-43-0).

Lichens are rich in easily digestible carbohydrates such as β-glucans in the fungal cell walls such as lichenin (Honegger & Haisch, [2001\)](#page-39-0) but have only low protein content. Reindeer and caribou seasonally feed on mushrooms (Inga [2007\)](#page-40-0), whereas colobines consume mushrooms, invertebrates (Xiang et al. [2007;](#page-49-0) Yang et al. [2016](#page-49-0)) and vertebrates such as flying squirrels or bird's eggs (Grueter et al. [2009\)](#page-37-0), and even infanticide followed by cannibalism was observed (Xiang and Grueter [2007\)](#page-49-0).

#### 6.7.4 Endozoochory

Faecal pellets of lichenivorous invertebrates are probably common and widespread symbiotic propagules, but rarely described and experimentally investigated. Jahns [\(1987\)](#page-40-0) reported on a novel type of symbiotic propagule in Solorina crocea but did not realize that these were



<span id="page-27-0"></span>Fig. 6.13 Lichenivory in vertebrates. Yunnan black snub-nosed monkey (Rhinopithecus bieti) feeding on Usnea longissima and other beard lichens. Courtesy of Fabio Nodari

germinating faecal pellets of lichenivorous snails. In light microscopy studies, numerous investigators observed intact fungal and/or algal cells or thallus fragments in the intestines or faecal pellets of lichenivorous invertebrates, e.g., in oribatid mites (Acari; Lawrey [1980](#page-41-0); Meier et al. [2002\)](#page-43-0), springtails (Collembola; Lawrey [1980\)](#page-41-0), butterfly larvae (Lepidoptera; Rambold [1985;](#page-45-0) Brodo et al. [2021\)](#page-34-0), termites (Termitidae; Barbosa-Silva and Vasconcellos [2019;](#page-33-0) Barbosa-Silva et al. [2019b](#page-33-0)), and molluscs (snails and slugs, Gastropoda; Baur et al. [2000;](#page-33-0) Fröberg et al. [2001](#page-36-0); Boch et al. [2011,](#page-33-0) [2015\)](#page-33-0).

However, in only a few lichen–invertebrate interactions was the viability of the cells contained in faecal pellets tested with culturing experiments. Gut transmission of viable mycobiont and photobiont cells was found in oribatid mites which had been feeding on Xanthoria parietina (Fig.  $6.12a-g$  $6.12a-g$ ); the identity of both partners in these cultures was identified

with molecular tools (Meier et al. [2002\)](#page-43-0). Physcia adscendens and Lobaria pulmonaria were fed to nine gastropod species and the regeneration rate out of their faecal pellets tested. To a varying percentage, P. adscendens grew out of the faeces of all nine gastropod species (9/9), L. pulmonaria out of eight (8/9; Boch et al. [2011,](#page-33-0) [2015](#page-33-0)). No germination was observed in faecal pellets of lichenivorous snails and barklice which had been feeding on foliicolous lichens in a tropical rainforest (Lücking and Bernecker-Lücking [2000\)](#page-42-0).

Various investigators report on partly severe grazing damage in saxicolous and epiphytic lichen communities (e.g. Baur et al. [1995](#page-33-0), [2000;](#page-33-0) Gauslaa et al. [2006](#page-37-0); Skinner [2021](#page-47-0)), but considering the high regeneration rates of lichens out of detached thallus fragments and faecal pellets of lichenivorous invertebrates, there is also a potential for rejuvenation which deserves investigation.

# 6.7.5 Epizoochory

Epizoochory is probably a very common and widespread mode of dispersal of symbiotic propagules, thallus fragments or faecal pellets of lichenivorous invertebrates, but only few investigations have been published. Only few viable cells of the mycobiont and photobiont are required for regenerating a new thallus. Three types of epizoochory can be distinguished:

- Tortoises (Geochelone elephantopus) in 1. Lichens growing on animals (invertebrates and vertebrates). Examples are (a) limpets (Patella spp., Mollusca) and barnacles (Cirripedia) in the littoral fringe whose calcareous shell is inhabited by Pyrenocollema halodytes (syn. Arthroprenia halodytes, Collemopsidium h.) and its cyanobacterial photobiont (Hyella caespitosa; see Fig. 15.1a in Honegger [2012\)](#page-39-0). (b) foliose lichens growing together with fungi, algae and liverworts on the back of large weevils of the genus Gymnopholus in New Guinea (Gressitt et al. [1965;](#page-37-0) Gressitt [1966\)](#page-37-0), which are getting several years old, as concluded from the lichen growth on their back (Gressitt [1970,](#page-37-0) [1977\)](#page-37-0). This epizoic cryptogamic community is inhabited by mites, rotifers and nematodes; even a new family, genus and species of oribatid mites (Symbioribatidae, Symbioribates papuensis) were discovered which live among the cryptogams on the weevils' back (Aoki [1966\)](#page-32-0). Liverworts, lichens and fungi were also found growing on Costa Rican Shield Mantis (Choeradodis spp., Mantodea; Lücking et al. [2010\)](#page-42-0). (c) lichens growing on the shell of some, but by far not all Giant Galapagos (Hendrickson and Weber [1964](#page-38-0)).
- 2. Lichens being actively sampled for nesting or camouflage. Vertebrates: (a) Many birds such as hummingbirds, chaffinks, etc., use lichens for camouflage of their nest, which then seem to be part of the branch on which it is positioned; ideally these lichens continue to grow on the surface of the nest (Graves and Dal Forno [2018](#page-37-0)). On branches without lichen cover, fragments of bright-grey lichens on the

surface of birds nests reflect light, concealment by light reflection being an important mode of camouflage (Hansell [1996\)](#page-38-0). (b) Flying squirrels (Glaucomys sabrinus) use lichens as nesting material and food, soft Bryoria spp., having low contents of secondary metabolites, are preferentially collected (Rosentreter and Eslick [1993](#page-46-0); Hayward and Rosentreter [1994](#page-38-0)). Invertebrates: (a) Larvae of bagworms (Psychidae, Lepidoptera) mount tiny fragments of the lichens on which they feed to their silk bag as a very efficient camouflage (McDonogh [1939](#page-43-0); see Fig. 16.16a, b in Honegger [2009](#page-39-0)). (b) Barklice cover the body of their nymph with minute fragments of lichens, algae and sand granules (Henderson and Hackett [1986](#page-38-0)), and so do the larvae of the green lacewing (Nodita pavida; syn.), which become invisible under their load of tiny lichen fragments (Slocum and Lawrey [1976\)](#page-47-0). (c) Several species of lichenivorous, terrestrial snails of the genus Napaeus (Gastropoda, Pulmonata) actively paste fragments of lichens to their shell (Allgaier [2007;](#page-32-0) Holyoak et al. [2011\)](#page-38-0).

3. Passive sampling. Soredia, isidia and other symbiotic propagules, tiny thallus fragments or faecal pellets adhere to animal or human vectors and thus get dispersed. Examples are (a) mites carrying soredia (Stubbs [1995\)](#page-48-0). (b) lichenivorous termites most likely carry fragments of lichens to their nest where a species-rich lichen community develops (Aptroot and Cáceres [2014;](#page-32-0) Barbosa-Silva et al. [2019a\)](#page-33-0). (c) Woodpeckers are neither lichenivorous nor do they collect lichens for camouflage or nest building, but were found to carry vegetative propagules of lichens on their feet, chest and tail feathers in samples in a Finnish Natural History Museum (Johansson et al. [2021](#page-40-0)). (d) humans carry propagules or fragments of lichens on their clothes, as first described in cases of woodcutters disease, an allergic reaction to usnic acid containing lichens, in housewives in contact with their husbands clothes (Mitchell and Champion [1965;](#page-43-0) Aalto-Korte et al. [2005](#page-32-0)). The load of plant seeds, moss and lichen propagules on clothes of visitors of the Antarctic was thoroughly investigated, largest amounts having been found on socks of field working scientists (Huiskes et al. [2014](#page-40-0)). However, most of these lichen propagules on human clothes likely end up in the washing machine rather than invading Antarctic ecosystems.

Long-distance anthropogenic dispersal of epiphytic lichens on economic or ornamental plants is probably quite common (Bailey [1976](#page-33-0)). An example is Xanthoria parietina, which was not native to Australia, but is now growing around wineries in the Barossa Valley and elsewhere. In comparative RAPD-analyses of sterile cultured isolates of the mycobiont, some of the isolates from Australia and New Zealand clustered with those from southern France and Spain (Honegger et al.  $2004a$ , [b](#page-39-0)). It could be that *X*. *parietina* was brought to these areas with plants or cuttings of grape wine (Vitis vinifera). Similarly, Brodo et al.  $(2021)$  $(2021)$  concluded that *X. parietina* was anthropogenically transported from coastal to inland areas in Canada, presumably with trees from nurseries.

### 6.8 Lichenomimesis

#### 6.8.1 Lichenomimesis in Animals

Large numbers of invertebrates mimick lichens and are thus not easily detected by potential predators (Figs. [6.14a](#page-30-0)–e). The earliest report of lichenomimesis in insects is a moth lacewing, Lichenipolystoechotes angustimaculatus (Neuroptera, Ithonidae), co-occurring with the mesozoic Daohugouthallus ciliiferus, which beautifully mimicked the pattern of the lichen on its wings (Fang et al. [2020](#page-36-0)). Extant examples are (1) lichen moths (Arctiinae, Lepidoptera) in their caterpillar (e.g. Catocala ilia; Fig. [6.14c\)](#page-30-0) and/or adult stage such as Cleorodes lichenaria, the Brussels lace moth, whose lichenivorous caterpillar and moth stage mimick epiphytic lichens; (2) various species of bush crickets (Tettigoniidae, also termed katydids, in the genera Lichenomorphus, Lichenodracula,

Markia, etc.; Braun [2011](#page-34-0); Cadena-Castañeda [2011,](#page-34-0) [2013](#page-34-0); Fig. [6.14a\)](#page-30-0); one of them, Anapolisia maculata, even mimics foliicolous lichens in a tropical rainforest (Fig. [6.14b;](#page-30-0) Lücking and Cáceres [2002](#page-42-0)). (3) stick insects (Phasmatidae, syn. Phasmidae, such as Extatosoma tiaratum), or (4) spiders such as the North American Giant Lichen Orb Weaver (Araneus bicentenarius; Fig. [6.14d\)](#page-30-0).

Among vertebrates, many frogs mimic lichens, such as the N-American Dryophytes versicolor (syn. Hyla versicolor), the Brazilian Bokermannohyla pseudopseudis (Leite et al. [2012\)](#page-41-0) or the Australian tree frog Litoria genimaculata (Vanderduys [2012](#page-48-0)); the colouration may vary depending on the habitat. Foliicolous lichen communities are mimicked by tree frogs in Tanzanian (Leptopelis ulugurensis; Farkas and Pocs [1989](#page-36-0)) and S-American rainforests (Boana rufitela, syn. Hyla rufitela; Lücking and Cáceres [2002](#page-42-0)). Lichenomimesis occurs also in reptiles such as Rhampholeon spinosus (Chamaeleonidae) or Uroplatus sikorae and other Uroplatus spp. (Gekkonidae).

# 6.8.2 Lichenomimesis in Members of the Araceae (Flowering Plants)

A unique type of lichenomimesis occurs in petioles (leaf stalks) of some members of the Arum family (Araceae, monocotyledonous flowering plants). Representatives of several genera have spots on their petioles which resemble lichens (Fig.  $6.15a-f$  $6.15a-f$ ); particularly interesting are Amorphophallus spp. (Barthlott [1995;](#page-33-0) Hejnowicz and Barthlott [2005;](#page-38-0) Claudel et al. [2019\)](#page-35-0), among others A. gigas, the Giant Arum, which forms the largest inflorescence in the plant kingdom. One giant leaf per growing season grows out of the large tuber of these Araceae; it is fleshy and thus the vulnerable petiole resembles the stem of a lichen-covered tree, various types of crustose lichens being mimicked (Figs. [6.15a](#page-30-0)–f). This optical signal is obviously perceived by potential herbivores which assume these leaf stalks to be inedible wooden stems.

<span id="page-30-0"></span>

Fig. 6.14 Lichenomimesis in insects  $(a-c)$  and spiders  $(d)$ . (a–b) Katydids (Tettigoniidae, bush crickets). (a) Nymphs of Lichenodraculus matti on beard lichen (Usnea sp.); courtesy of David Weiller. (b) Anapolisia maculata mimicking foliicolous lichens in a tropical rainforest in Costa

Rica; courtesy of Andrea Bernecker, in Lücking and Cáceres [\(2002\)](#page-42-0). (c) Caterpillar of the Ilia Underwing Moth (Catocala ilia); courtesy of Erik Adams. (d) Giant Lichen Orb Spider (Araneus bicentenarius); courtesy of Jim Petranka and Becky Elkin



Fig. 6.15 Lichenomimesis in petioles (leaf stalks) of Araceae with characteristic patterns mimicking crustose lichens. (a) the bud of Amorphophallus gigas is protected by three ephemeral bracts. (b) Detail of a mature petiole of A. gigas. (c) A. dactylifer, mimicking representatives of

the genus Graphis. (d) A. decus-silvae, mimicking Pertusaria spp. (e) A. pseudoharmandii (syn. Pseudodracontium harmandii). (f) Typhonium venosum. Images taken in the Botanical Garden of the University of Zürich

Lichenomimesis is also seen in other Araceae such as Typhonium venosum (voodoo lily; Fig. [6.15f\)](#page-30-0). The inflorescences of these Araceae emit a remarkable scent, reminiscent of decaying meat, partly intermixed with dung (e.g. horsedung in T. venosum), which attracts copro-necrophagous insects, some of them acting as pollinators (Claudel [2021](#page-34-0)). These plants of the Arum family successfully mimic elements of the fungal (i.e. lichens) and of the animal kingdoms.

### 6.9 Conclusions and Outlook

Molecular genetics have revolutionized our view on the biodiversity of LFF, their photobionts and their microbial associates. These investigations will continue at a global scale.

Lots of fossils, lichens and lichen-like organisms, from the Palaeozoic to the Cenozoic await thorough investigation.

The search for bioactive compounds, as produced by lichen-forming and endolichenic fungi and their bacterial associates will be intensified, several promising components having already been found. Examples are (1) usnic acid with antimicrobial, antiproliferative and antiviral properties (Macedo et al. [2021\)](#page-42-0), as produced by various representatives of the Parmeliaceae in the lichenized and axenically cultured state (Yamamoto et al. [1985](#page-49-0); Stocker-Wörgötter et al. [2013;](#page-47-0) Xu et al. [2022](#page-49-0)) and by endolichenic Streptomyces cyaneofuscatus (Parrot et al. [2016a\)](#page-44-0); (2) antiproliferative cyaneodimycin from the same isolate of S. *cyaneofuscatus* (Parrot et al. [2016a](#page-44-0)) or (3) uncialamycin, a potent antibiotic with antiproliferative activity produced by Streptomyces uncialis, an endolichenic actinobacterium in Cladonia uncialis (Nicolaou et al. [2007,](#page-44-0) [2021;](#page-44-0) Parrot et al. [2016b\)](#page-44-0). It will be interesting to see whether some of these products with pharmaceutical potential will reach the clinical trial phases. The large-scale production of mycobiont-derived secondary metabolites, either by heterologous expression in fast-growing fungi or by chemical synthesis, will be a challenge. S. uncialis-derived uncialamycin is chemically synthesized (Nicolaou et al. [2007](#page-44-0)).

Many bacterial associates of lichen thalli are in close contact with mycobiont-derived secondary metabolites with antibiotic properties, and some bacterial associates of lichens were shown to synthesize antibiotics (review: Grimm et al. [2021](#page-37-0)); an example is Streptomyces cyaneofuscatus, producer of usnic acid, which was isolated from the marine lichen Lichina confinis which does not synthesize usnic acid (Parrot et al. [2016a](#page-44-0)). The biotransformation of usnic acid into a compound with lower antibiotic activity was observed in several lichen-associated bacteria (Noël et al. [2021\)](#page-44-0). The question is: are some of the epi- and endolichenic bacteria resistant to antibiotics? Bacterial antibiotic resistance emerged in the pre-antibiotic era; an example is the co-evolutionary adaptation of methicillinresistant Staphylococcus aureus on hedgehogs whose skin is infected with antibiotic producing dermatophytes (Larsen et al. [2022\)](#page-41-0).

Lichen-invertebrate and -vertebrate interactions deserve a closer examination as regards the dispersal of lichens and their allies. It would be interesting to see what birds carry on their feet and feathers in terms of symbiotic propagules (soredia, isidia, blastidia) or minute thallus fragments as well as faecal pellets of lichenivorous invertebrates or the minute lichenivorous mites proper. Examining the load of lichen propagules on the feathers and feet of birds with annual bipolar migration such as South Polar Skuas (Catharacta maccormicki, syn. Stercorarius m.) or Arctic Terns (Sterna paradisaea), which are assumed to be vectors of lichens with bipolar distribution (review: Garrido-Benavent and Pérez-Ortega [2017\)](#page-37-0), would be particularly interesting. But also lichen dispersal by smaller birds, which are regularly landing on lichen-covered surfaces, should be investigated, for example, in collaboration with ornithologists during their ringing activities (Bailey and James [1979\)](#page-33-0).

To conclude: there are lots of interesting work ahead, both in the field and laboratory.

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