

Brazilian Marine Biodiversity

Yara Schaeffer-Novelli
Guilherme Moraes de Oliveira Abuchahla
Gilberto Cintrón-Molero *Editors*

Brazilian Mangroves and Salt Marshes

MOREMEDIA



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Brazilian Marine Biodiversity

Series Editor

Alexander Turra, Unesco Chair for Ocean Sustainability, Oceanographic Institute and Advanced Studies Institute, University of São Paulo, São Paulo, Brazil

The book series *Brazilian Marine Biodiversity* was designed to communicate to a broad and international readership the diversified marine and coastal habitats along the large Brazilian coast.

* * *

The diversity of marine habitats found in Brazil is astonishing and includes estuaries, coral reefs, rocky shores, sandy beaches, rhodolith beds, mangroves, salt marshes, deep-sea habitats, vegetated bottoms, and continental shelf. These habitats are addressed from an ecosystem perspective across the series, and characterized in terms of distribution and peculiarities along the Brazilian coast, records of relevant species, and information on the prevailing structuring ecological and oceanographic processes governing biodiversity.

The series also presents an analysis of the role of biodiversity and the importance of ecosystem services, and discusses the threats to each habitat, such as pollution, habitat loss, invasive species, overfishing, and global environmental changes. Conservation efforts are also considered as well as gaps in scientific knowledge and science-policy interface.

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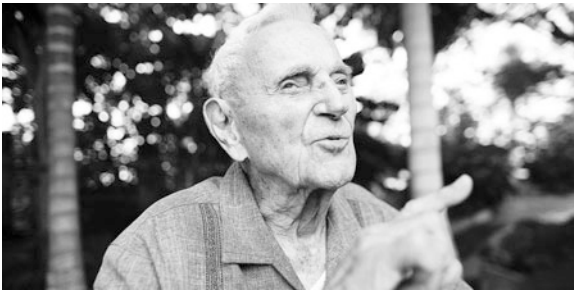
Coordination



Support



Foreword



These authors selected an unusual example to expose their philosophy. Tropical and too unstable for most land uses and growing on mud too salty for even other vegetation, we come to the mangroves. Commonly located near busy seaports where they may be abandoned, leaving the authors whom I know and expect will request readers to join with compassion and conviction to save and conserve the mangroves for their many benefits for us all.

Frank Howard Wadsworth, in memoriam
Director of the Institute of Tropical
Forestry, US Forest Service (1942 to
1978), International Institute of Tropical
Forestry, USDA Forest Service, San
Juan, Puerto Rico
Puerto Rico, 2019

Preface

The coast of Brazil was born from the tectonic divorce of Gondwanaland, but geomorphic forces and mangroves have acted over time to smooth out rifts and create settings that in turn transformed the coast and hid the marks of the separation. In fact, the smooth shoreline of Brazil is the manifestation of nature's own healing power and self-organization that in the process creates unexpected novelty. Jagged rifting sediments and mangroves combined to create a coastline dominated by beaches, sheltered coastal lagoons, and estuaries that in turn have become habitats for life that through animal migrations have transhemispheric importance.

The study of mangroves covers a wide domain. For convenience, we have subdivided the book into parts that correspond roughly to levels of organization. Just like a Russian nesting doll or a fractal, knowledge comes in layers, so we have attempted to present those layers as well from the largest broadest view in four parts.

The first part, Tropical and Subtropical Brazilian Coastal Zone, introduces the reader along the coastline through different scales of observation. For instance, the term "mangrove" refers to individual trees or whole ecosystems. This apparent ambiguity reflects their scaleless fractal nature. They may occur as individuals, ecosystems, landscapes, and whole coasts as in northern Brazil. Along the country's coastline, we meet various transformations and local versions of mangrove consortia with local factors to enhance the diversity of the coast itself while coupling with the continental shelf and the offshore ecosystem. Here, we provide a closer look at the responses of mangroves and salt marshes, where the reader can catch a glimpse of their secret, their ability to deal with extreme dynamism. The entire part ends up revisiting a 1990 perspective on broad generalizations based on rudimentary technology available at the time, now through modern tools and perspectives. This highlights the importance of how trained eyes and technology make for a great partnership. Not much changed through the decades in terms of interpretation, but the depth of knowledge provided for a much better understanding and appreciation of the complexity of natural processes and their openness.

Part II, The Mangrove Ecosystem, tackles core principles in the understanding of mangroves as individuals, populations, and communities. The power of keen

observation is highlighted but the need for scope is identified as a requisite for detecting long-term trends and ascertaining possible responses to environmental changes as well as establishing phenological patterns of mangrove forests. Genetic and epigenetic studies provide clues on the orthogenesis of mangrove ecosystems, taking into consideration their importance as information processors and historical entities. Furthermore, other aspects of mangrove responses to the environment reflect the close link between species, ecosystem, and settings. We give special attention to litterfall data from all along the Brazilian coast, reflecting once more the diversity and potential of our coast to hosting and providing new life.

The third part, Mangrove and Salt Marsh Associates, focuses on diverse organisms and communities that are essential aspects of ecosystem function. Generally overlooked by mangrove specialists due to its structural complexity, microorganism communities drive geochemical cycles and ecosystem services. Macroalgae can also be found in mangrove and salt marsh ecosystems along the entire coast; their temporal and spatial variability is driven by changes and fluctuations in environmental factors describing survival strategies that involve the production of a diverse array of bioactive compounds. Zooming out, meio- and macrofauna inhabit the usually low oxygen, highly saline sediment of mangroves and salt marshes. They are a set of silent but crucial actors that process organic matter and route it among multiple pathways. On the other side of the spectrum is the iconic community of Brachyuran crabs. Their roles span whole domains, ecological, social, and even hemispheric by supporting human societies and trans-hemispheric migratory birds. With effect, this is one of the most well-known study cases of ecological connectivity. The same is true for the ichthyofauna, which act as a bridge between the massive productivity in mangroves and salt marshes and the adjacent oligotrophic high seas. Last, but not least, we highlight the importance of flagship species, such as the Antillean manatee, as a powerful ecosystem conservation tool.

Part IV, Conservation Strategies, deals with an emerging level of organization that is related to the integration of social and ecological processes to shape a new domain, that of an integrated social-ecological system (i.e., biogeocoenosis). Throughout Brazilian history, mangroves played an important role in the shaping of the coastline; initially perceived as barriers in the eyes of the arriving Europeans, they were nevertheless exploited in diverse ways, facilitating the establishment of coastal cities. This ambiguity is a manifestation of the human ecological shortsightedness that can only be changed by blending or learning from traditional and ethnic practices that were formed through centuries of cohabitation. In the national context, specific extractive reserve protected areas take traditional communities into consideration, and the system that regulates them forms the backbone of the country's conservation strategy. Among important conservation strategies, we highlight the importance of education for a citizen-driven, transformative practice. In the same way, we suggest that a setting-landscape perspective is the most effective way of perceiving mangrove and salt marsh ecosystems. These settings are both transformative and self-organizing through different scales in space and time, creating a densely woven fabric that can only be managed in an integrated fashion. The complexity emerging from this fabric breeds irreducible complexity related to the

outcomes of interventions. This suggests that environmental management should be multiscale adaptive through dynamic framing in order to achieve compatibility with the hierarchic complexity that managing mangroves and salt marshes require.

We hope you enjoy the book and do not forget to get your feet muddy as well!

São Paulo, SP, Brazil
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Bremen, Germany

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Gilberto Cintrón-Molero
Guilherme Moraes de Oliveira Abuchahla

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Part I
Tropical and Subtropical Brazilian Coastal
Zones

Chapter 1

The Brazilian Coastal Landscapes: A Narrative



Cláudia Câmara do Vale, Gilberto Cintrón-Molero,
and Yara Schaeffer-Novelli

1.1 Introduction

The length of Brazil's coastline is reported to be from 7408 km (CEMBRA 2012) to 9200 km (Dominguez 2009). However, adding the shoreline length of the coastal states using IBGE data (2016), the total length adds to 10,959.52 km. This discrepancy is not due to lack of information or measurement error but instead reflects a fundamental property of all coastlines; the length of any coastline can vary drastically depending on the unit of length and the scale of the map. This implies that there is no explicit answer to the question of the length of a given shoreline. Rather length can only be estimated; the fractal-like properties of coastlines make the notion of length inapplicable.

Length is a function of resolution and detail captured by measuring. However, interesting aspects of the Brazilian coastline emerge from the consideration of its fractal dimension (D) (Mandelbrot 1967). This dimension allows the assessment of the complexity or roughness of a coastline and, we suggest, its potential diversity. A fractal dimension approaching 1 corresponds to a nonfractal monotonous straight line. This suggests a high diversity of shoreline habitats is associated with a higher

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(>1) fractal dimension (> rugosity). The fractal dimension of Brazil's coast has been estimated considering coastal lengths from CEMBRA (2012), Dominguez (2009), and IBGE (2016). Estimates varied from 1.2 to 1.5, depending on the rugosity of each coastal sector.

A clue to the fractal dimension of the Brazilian coast is provided by the observation that although globally this coast ranks 12th in length among the planet's shorelines (IBGE 2016), it significantly ranks second in terms of mangrove area (FAO 2007; Giri et al. 2010). Another interesting fact related to their fractal nature is that coastlines self-organize to dissipate the greatest amounts of energy. This reveals another important feature of the Brazilian coast, its dynamic adaptability, and constant transformability. The coast of Brazil is characterized by barrier structures typical of a trailing coast where sediments are abundant and strongly influenced by sea-level changes and wave action. Energy dissipation is related to work done in moving sediments and building structures such as sandy barriers and geomorphic structures that provide shelter for mangrove forests and salt marshes among other ecosystems. This results in low dimensional landforms such as straight beaches and locally dominated by wave regimes with low incidence angles.

Thus, along the length of the Brazilian coast, we observe a highly diverse suite of features spanning various spatiotemporal process scales, reflecting the endless shaping of coastal landforms by ecogeomorphologic factors acting since the splitting of the supercontinent of Pangea (Dominguez 2009), during the Mesozoic. This variability is manifested in terms of geographical position as well as landform types, sizes, endurance, and orientation.

What we see today along the coast of Brazil reflects the combined interaction of geological, oceanographic, and ecogeomorphic processes interacting with sediment supply, climate, and oceanographic factors, and antecedent topography to produce the modern dynamic coastal landscapes. Mangroves play important roles in such dynamic landscapes, because they can rapidly colonize and stabilize new intertidal areas. Sediment supply and antecedent geology are fundamental controls of the first-order hierarchy of coastal landscapes, but in another sense, each landscape is unique and distinctive, "Perfect Landscapes" (Phillips 2007), that results from a combination of processes, interactions, and events unlikely to be duplicated. Landscapes are evolving entities and coastal evolutionary processes are open ended. This entails the potential to produce an endless diversity of forms, unbounded complexity, novelty, and dynamism (Ab'Sáber 2001).

The Brazilian coast shows good records of sea-level oscillations in its sedimentary rocks, reliably documenting temporal and spatial variations in terms of features that developed when sea-level stood at different elevations in the past (Souza 2015). The Brazilian sea-level history is complex; at least three high sea level episodes are recognized; a high still stand some 5600 years BP (+5 m), a high still stand some 12,000 years BP (+8 m), and perhaps others dated around 200,000 years BP (+8 m) (Souza 2015).

On trailing edge continental shorelines wave-dominated coasts, sea-level behavior is the most important factor controlling coastal sedimentary features such as sandy beaches, deltas, and barriers, producing changes in aerial features such as

beach ridges, cheniers, dunes, and complex combinations of such landforms. The Postglacial Marine Transgression and sediment supply have been important forcing functions shaping coastal geomorphology. About 18,000 years BP ice sheets were close to the maximum extent and the sea stood some 120–130 m below present level (Dominguez 2009). Later sea-level rose, submerging the continental shelf, reaching up to 5 m above present level before falling to the present level about 6000 years ago (Dominguez 2009). The text refers to the actual coastline in relation to the sea level. The processes of progradation and recession refer to the coastline itself during the different sea-level rising and lowering across the eras. However, as a result of these changes in sea level, the present coast is characterized by its relative absence of barrier island lagoonal systems (Dominguez 2009). That is, today's barrier islands and estuaries are, in a geological sense, rare features. These oscillations in sea-level highlight the dynamism and malleability of the Brazilian coastal features and help comprehend its rich diversity. Coasts evolve, adapt, and transform at multiple scales; they are not static entities and are best understood within the framework of complex adaptive systems (CASs) and Earth Surface Systems (ESSs) (for more information, see Chaps. 3 and 18). The Brazilian coast is full of signs that if properly interpreted have valuable lessons for contemporary planners, policymakers, and coastal communities.

In order to better understand the configuration of a coast dynamically shaped by short- and long-term spatiotemporal processes, we adopted a typology originally developed by Silveira (1964) and summarized by Ab'Sáber (2001), which divides the coast into six distinct sectors: Amazonian Equatorial Coast; Septentrional Northeastern Coast, Oriental Northeastern Coast, Eastern Coast, Southeastern Coast, and Southern Coast (Fig. 1.1). There have been many attempts to classify coastal environments since Hart's "Geology and Physical Geography of Brazil" (1870), but Silveira's (1964) typology was here adopted as a practical geographic tool to illustrate the variability and diversity of landforms within coastal sectors. Coastal classifications have received little attention until recently when geographical information systems (GIS) have enabled the association of numerous attributes for susceptibility and vulnerability assessments. The Brazilian coast is eminently Tropical (77%) but has a significant Subtropical length (23%) along its southernmost states below 23° 26' S, within the Southeastern and Southern sectors.

Along the coast of Brazil, mangrove forests find numerous favorable environments to colonize, develop, and persist; except conspicuously along the coast of Rio Grande do Sul (RS; Fig. 1.1) state where unfavorable climatic factors interact with physiography to create oligohaline environments that inhibit and preclude their establishment in what at first seems to be favorable conditions in terms of available geomorphic features (Duke et al. 1998; Cintrón-Molero and Schaeffer-Novelli 2019). After Indonesia, Brazil has the largest mangrove area of any other country with 13,989.66 km², about 8.5% of the global total (Spalding et al. 2010; Simões and Oliveira 2018). According to Schaeffer-Novelli et al. (2000), mangrove forests are widely distributed over some 90% of the Brazilian coast, generally confined to sheltered intertidal mudflats, particularly at the larger coastal indentations, such as bays, estuaries and behind coastal barriers and barrier islands, and in coastal lagoons

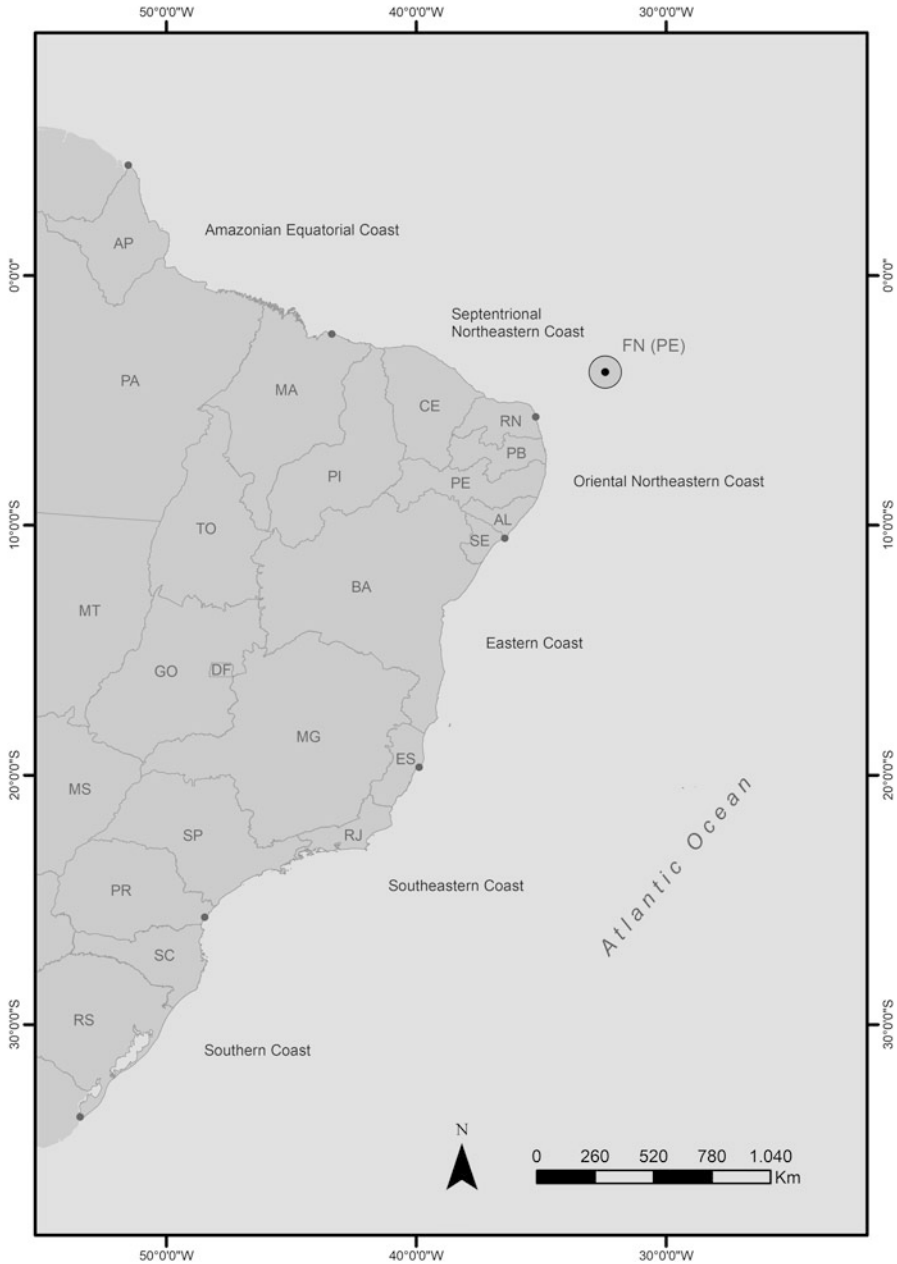


Fig. 1.1 Distribution of coastal sectors of Brazilian coast according to Ab'Sáber (2001). The 17 Brazilian coastal states are: *AP* Amapá, *PA* Pará, *MA* Maranhão, *PI* Piauí, *CE* Ceará, *RN* Rio Grande do Norte, *PB* Paraíba, *PE* Pernambuco, *AL* Alagoas, *SE* Sergipe, *BA* Bahia, *ES* Espírito Santo, *RJ* Rio de Janeiro, *SP* São Paulo, *PR* Paraná, *SC* Santa Catarina, *RS* Rio Grande do Sul, *FN* Fernando de Noronha Archipelago

subject to frequent flooding by tides and subject to saline intrusions. During the Quaternary changes in sea level and climate the most recent morphological elements of the Brazilian coast were added; strand plains (prograded barriers), tidal flats, wetlands, and coastal dune fields (Dominguez 2009). From 04° 26' 12" N, in the state of Amapá, on the Amazonian Equatorial Coast, mangrove forests are present intermittently along the coast to their latitudinal limit 28° 30' S at Laguna (Santa Catarina State).

Conversely, salt marshes are predominant south from the mangrove latitudinal limit (Costa et al. 2009). Nevertheless, they may occur throughout the tropical domain in the lower edges of mangrove ecosystems and in hypersaline areas and salt flats within or at the terrestrial-most margin of mangroves. They will colonize the lands within the spring tide reach under direct sunlight. Despite their prominence in some areas of the coast, there is little consensus on what is the actual area of occurrence and occupation by salt marshes (Junk et al. 2013). This fact can be explained by the seasonal variation in aerial structure (leaves or blades) that these plants naturally show and that is responsible for the high export of particulated organic matter to surrounding environments (Long and Mason 1983; Adam 1990; Silva et al. 1993a; Abreu et al. 2006; Marangoni and Costa 2009).

1.2 Amazonian Equatorial Coast (04° 26'12" N to 02° 20' 43" S)

The Amazonian Equatorial Coast is 4250 km long (IBGE 2016) and encompasses a tide-mud dominated (Amapá-Guianas sector) to the west, and the tide-dominated Pará-Maranhão unit in the east. Temperatures do not vary greatly during the year and only two distinctive seasons are recognized (summer and winter); the latter is characterized by intense rainfall rather than low temperatures. Over 80% of Brazil's mangroves are found within the deltaic complex of Brazil's three northernmost coastal states (Amapá, Pará, and Maranhão) (see Chap. 3, Maps 1, 2, and 3, respectively).

The mangrove coast to the east encompasses two world geocomorphic records; the largest continuous mangrove system, with 480 km in length and 7500 km² in coverage (Souza Filho 2005) as well as the gorge of the largest river in length and water and sediment discharge; the Amazon River (or Amazonas). This river, along with the Tocantins and the Parnaíba rivers, brings to the coast the largest sediment load of the entire South American continent.

Two contrasting sedimentary regimes characterize this coast defined by the down-drift (East) and up-drift (West). The different style of the western sector sedimentation produces a muddy shoreline lacking reentrants and the hinterland is dominated by a Precambrian basement with very short rivers. The presence of muddy sediments even increases the tidal amplitude by decreasing bottom friction.

Mangroves can become tenuously established over transient mudflats while persisting in more stable features as in well-developed mangrove forests lining the larger rivers: The Oiapoque and the Caciporé rivers, whose lower reaches are subjected to saltwater wedges that enter deep inland into a wide and low coastal plain. This riverine-marine lowland is interrupted in places by vegetated beach ridges within a dissected paleo-coastline.

From the extreme northern limits, the coast is less incised, with fewer prominent estuaries such as the Cunani, Calçoene, and Flechal rivers but many creeks (“igarapés”) that reach the coastal shallows, often occupied by mangroves, or interrupted by beach ridges marking old coastlines and beaches now occupied by “restinga”¹ formation.

From the offshore island of Maracá to the Araguari River Delta, flooded fields and small, shallow, muddy lagoons characterize the coast, with mangroves growing over fine sediments deposited at the delta.

Along the Amazon right margin, the amount of fine suspended sediments and the volume of freshwater are so huge that mangrove forests are found only as dispersed elements in a mosaic composed of mixed floodplain forests and patches of herbaceous vegetation that, like mangroves, are created and destroyed seasonally due to instability of the sediments and cyclic erosive events generated by periods of greater precipitation and river discharge. At the mouth of the Amazon River, a huge fluvial island has formed, the Marajó Island, considered the world’s largest island of this type, covering 48,000 km² (Ab’Sáber 2001).

The eastern side of the Marajó Island is lined by mangrove forests where saline influence is greater, including the edges of igarapés and tidal creeks that drain them. Although tidal influence reaches inland as far as Óbidos (some 1000 km upstream the Amazonas River) saline influence is present only near the mouth of the river facing the east.

Between Belém (PA) and São Luís (MA), the coast is extremely rugged and unstable; known as “coasta de ria” (inlet coast), it is characterized by narrow drowned river valleys cut into the Barreiras Formation² and flooded by the Holocene sea-level transgression and its subsequent regression, which exposed fine sediments suitable for colonization by mangroves. In this setting, mangroves find perfect conditions for colonization and persistence, including tropical humid climate, ample fresh water, and sediment supply as well as tidal mixing driven by a macrotidal regime. This combination of factors contributes to its lush development with trees reaching 30–45 m in height.

The low and flat coastal plain allows saltwater and mangroves to penetrate more than 25 km inland. From Marajó Bay to Pirabas River, south of Belém, the coastal

¹The *restinga* is an elongated shoreline feature of sandy nature and low amplitude that tends to close recesses (Souza et al. 2008), at times covered in vegetation of diverse nature (e.g., halophilous, psammophilous, xerophytes, and hygrophytes).

²The Barreiras Formation is a series of Cenozoic segments of diverse origins and complex genesis, forming barriers, tablelands, and abrupt cliffs that separate the interior of the country from the Atlantic Ocean (Moura-Fé 2014).

plateau reaches the coastline, where active bluffs are being carved by erosion into the Tertiary sediments of the Barreiras and Pirabas formations, which are exposed to the action of waves and tidal currents, as in the Gurupi River, which separates the states of Pará and Maranhão (Souza Filho 2005). Here, estuarine channels extend for approximately 60 km.

The margins of São Marcos Bay (MA), an estuary 100 km long and 16 km wide, shelter exuberant mangrove forests, especially in its western portion. The São Marcos Bay is one of the largest indentations on the Amazonian Equatorial Coast of Brazil.

1.3 Septentrional Northeastern Coast (02° 20'43" S to 05° 04'12" S)

This segment can be analyzed in two sectors: one to the west is a dune field that constitutes the Lençóis Maranhenses National Park (LMNP), and the other to further east, between the Piauí State and the city of Natal (RN) (see Chap. 3, Maps 3, 4, 5, and 6).

The most characteristic feature of this coastal setting is the LMNP, the largest dune field in Brazil and one of the largest in the world. At its widest portion, the dune field is 31 km wide, while its total width, including vegetated portions, exceeds 120 km (Ab'Sáber 2001). This sand barrier extends along the coast for some 76 km, migrating landwards along a NE-SW direction (Ab'Sáber 2001). To the east of the Lençóis Maranhenses, there are active prograded barriers dominated by active transgressive dune fields, which become smaller from west to east and include deflation plains.

Parts of the coast are dominated by dune fields and mangrove forests are restricted to few existing rivers, such as the Preguiças River that flows into the Atlantic and the Da Fome River, which flows into Tutóia Bay, in the Parnaíba River Delta and eastern boundary of Lençóis Maranhenses National Park. This area is notable because of the prominent dune fields and the common occurrence of three red mangrove species (*Rhizophora mangle* L., *Rhizophora racemosa* Meyer, and *Rhizophora harrisonii* Leechman) (Prance et al. 1975).

Further east is the only true active delta found on the Brazilian coast: the Parnaíba River delta, which borders the state of Piauí. This delta is characterized by its environmental heterogeneity, creating habitats for several types of vegetation in a setting rich in faunal and floral biodiversity. In addition to the mangrove forests that colonize the sedimentary landforms in coastal depressions, there are other types of vegetation, such as pioneer psamophilic vegetation and subperennial dune vegetation, among others (Costa and Cavalcanti 2010). The Parnaíba River delta is the southernmost limit of the occurrence of *R. harrisonii* and *R. racemosa* (Schaeffer-Novelli 1991).

At the border of Piauí with Ceará states, the estuary of the Timonha River is flanked by moving coastal dunes. This coast faces directly into the trade winds,

rainfall is nil in the dry season, and extensive dune fields form and eventually drift, filling estuaries, lagoons, and mangrove ecosystems. Aridity, high sediment supply, and strong winds are reasons for the dominance of dune fields in Maranhão, Piauí, Ceará, and Rio Grande do Norte states. Beyond the Timonha River, mangroves are found in Camocim, Acaraú, Aracati-Mirim, and in other smaller estuaries. The coast of Ceará State is almost rectilinear (W-E), with extensive sandy beaches, and the occurrence of mangrove forests is limited. Within the metropolitan area of Fortaleza, mangrove forests have resisted massive urban expansion in the two important estuaries of Ceará and Cocó rivers. The Ceará River estuary ($\sim 03^{\circ} 44' S$) presents 1158 ha of mangroves (Reis-Neto et al. 2011).

Along the semiarid section is the coast of Rio Grande do Norte State, which presents similar characteristics to the coast of Ceará in terms of prevailing aridity with 750 mm year^{-1} . The exception along the coast of Rio Grande do Norte is the Apodi-Mossoró estuary, carved into the Barreiras Formation. In its margins, several relict salt marshes are being filled by sediments and recolonized by mangrove forests, where salinity is not too high (Costa et al. 2014). Saltworks are characteristic in the northern coast of Rio Grande do Norte, where high temperatures, low precipitation, and high evaporation favor the activity.

1.4 Oriental Northeastern Coast ($05^{\circ} 40'12'' S$ to $10^{\circ} 30' 13'' S$)

Cape Calcanhar (RN) is the northernmost extremity of the Oriental Northeastern Coast, which covers part of this state and the Paraíba, Pernambuco, and Alagoas states (see Chap. 3, Maps 6, 7, 8, and 9).

Here a new physiographic element appears along the coastline; the coral reefs forming linear stretches of beach rock pavements marking paleo-shorelines (Santos et al. 2007). The beach rocks in front of Natal run parallel to the shore and are composed of two lines that dip slightly seaward. Carbon dating of the more continuous outer “reef” dates from 4700 years BP, whereas the inner one is older dating from 6250 years BP (Oliveira et al. 1990). These cemented iron oxide sand grain barriers were formed during a period of rapid sea-level rise and high sea-level still stands. Their exposure and erosional features are due to the present low sea level.

The Barreiras Formation, however, remains the most striking element of this coast, whose promontories reach the coast forming occasional subdeveloped beach arches. The Rio Grande do Norte coast is on a sediment-starved coast; the rivers are small and do not contribute with significant sediment amounts to the coast. Only small- and medium-sized rivers reach the coast. The rivers with the largest discharge (Piranhas-Açu and Apodi-Mossoró) are dammed and sediments are trapped in their reservoirs and do not reach the sea. As a result, sediment loss to the dune fields, long-shore transport, and spit barrier formations contribute to a negative sediment budget. Sediment is deposited only in the head of small estuaries behind sand strips, forming narrow *restingas*.

Riverine forests are only found on the head of extensive estuaries. Coastal dune fields are present along the Natal coast just landward from the beach overlaying the Barreiras Formation and extending inland several kilometers. The county of Tibau do Sul is the limit of occurrence of the active dunes of the Oriental Northeastern Coast. The Curimataú River, next to the Paraíba State, is the last large estuary of Rio Grande do Norte State. At its margins, the mangrove forests are well developed although shrimp farming is also extensive.

The coast of Paraíba State, which is almost rectilinear in the first few kilometers from (N-S), is lined by active cliffs and only a few rivers are incised into the Barreiras Formation plateau. Among them is the estuary of the Mamanguape River, which cuts inland flanked by the plateau and extending inland approximately 14 km, with extensive mangrove forests surrounded by sugarcane crops. The mouth of the Paraíba do Norte River to the north of the capital city of João Pessoa is an estuary of considerable dimensions, containing the mangrove forest of Cabedelo, which is considerably altered at the margins by human impact.

From the city of João Pessoa toward the south to the border with Pernambuco State, the coast remains almost rectilinear, with few small rivers reaching the Tertiary cliff-dominated coast. Shrimp farms and mangroves are found inside the estuary formed by the Tracunhaém and Siriji rivers. Shrimp farming activity along this coast has taken a great toll on the salt flats and marshes, which are essential habitats for migratory birds along an important Neotropical migratory route.

From the metropolitan region of Recife-Olinda to the south, mangroves occupy Holocene terrain as in the case of the Capibaribe-Jordão-Pina-Tejipió estuarine complex. The Abreu e Lima industrial complex of the Suape Port was built over reclaimed former mangroves taking advantage of the shelter created by the Cape Santo Agostinho and the estuary of the Ipojuca River. This has intensely modified the landscape as well as the coastal dynamics, suppressing coral reefs and resulting in the elimination of a large part of the mangrove forests there (499.83 ha), besides causing multiple indirect impacts (Tavares 2015).

The Sirinhaém River basin covers an area of 2000 km², which drains into a large estuary with well-preserved mangrove forests, particularly upstream from the city of Barra do Sirinhaém on the right margin of the river. This river is also known as Formoso River, an important touristic spot in the coast of the state.

Within Pernambuco State, the only oceanic insular mangroves of the South Atlantic stand in Fernando de Noronha Archipelago and deserve attention despite their small size (see Chap. 3, Map 18). The island's main water body, the Maceió Creek, supports the Sueste's 0.89 ha *Laguncularia racemosa* (L.) Gaertn. f. mangrove stand that surrounds a lagoon behind dune barriers (Ridley 1890; Pessenda et al. 2008; Barcellos et al. 2017). This small stand is fed by freshwater from a small watershed drained by the Maceió Creek and saltwater percolation across the porous dune and the intermittent seawater intrusions across the inlet (Barcellos et al. 2017).

The coastline of Alagoas State is 220 km long and runs along a NE-SW direction. The border with Pernambuco State follows the Persinunga River between the counties of São José da Coroa Grande and Maragogi. There, the coast is

characterized by active cliffs undercut by waves, in a clear process of recession, in proximity to the shore of the coral reefs. Many rivers are similar to the Manguaba River, with a narrow upper course that widens at the mouth. Among these are the Tatuamunha, Camaragibe, Jirituba, Paripueira, and Prataji rivers, all mangrove-lined in their lower course. These are just a few of the most significant estuaries along this wave-dominated coast.

Despite the predominance of an extensive sandy plain on the coast of Alagoas State, the Mundaú-Manguaba estuarine lagoon complex (09° 35' S) stands out as the largest mangrove forest. It is formed by the Mundaú River, which flows into the lagoon of the same name (27 km²), and by the Paraíba do Meio River, which flows into the Manguaba Lagoon (42 km²); the two largest lagoons in the state (Lins et al. 2013). The Mundaú-Manguaba riverine complex experiences a rainy and dry regime and dampened tidal influence. River discharge controls salinity during the rainy season, whereas tides move seawater into the system in the dry season (summer).

Another important part of the coast of Alagoas State is the estuarine lagoon system on the Roteiro municipality, associated with the mouth of São Miguel River involving the counties of Barra de São Miguel to the north, and Roteiro, to the south. The Coruípe River, 40 km south of Barra de São Miguel, has its mouth between the seaside towns of Pontal de Coruípe and Batel. Here mangroves are well developed on the left margin of the Coruípe River and entirely altered on the right margin, where the town of Batel is located, right between the mangrove fringe and beach ridges. From there, the Quaternary plain widens and is truncated by beach ridges formed by regressive Pleistocene and Holocene shoreline movements. An extensive field of dunes is superimposed on the ridges, reaching the mouth of the São Francisco River.

The southern border of Alagoas State is geographically limited by the São Francisco River, which defines the border with the state of Sergipe. The São Francisco River delta (800 km²) has been the topic of an interesting debate by geomorphologists. Galloway (1975) considered this delta an icon for a wave-dominated delta as his 1975s tripartite model. However, Dominguez et al. (1982) questioned the validity of Galloway's model in the case of the São Francisco, since this delta, as well as others along the Brazilian coast, has been influenced by a 5 m transgressive event that has exerted a major control on the shore.

Galloway's classification, although thought-provoking, is simplistic in that it neglects sea-level fluctuations in shaping deltas. Thus, the São Francisco River mouth may not be a "classical" delta but is unique in terms of the complexity of the processes that have shaped it. It can be considered as in Phillips' (2007) contention about complex systems, a "perfect landscape." Landscapes are a circumstantial contingent result of several factors acting within a specific environmental setting. Models are inevitable simplifications of reality; a tripartite model neglects many formative processes that, for more detailed understanding, must be considered. For example, greater detail can shed light on the sediment dynamics that drove mangrove colonization on the different *facies* formed and reformed during this deltaic development.

The southernmost point in the Alagoas State marks the boundary between the Oriental Northeastern Coast and the Eastern Coast sectors. Along this coastal segment, the Barreiras Formation approaches the coast and forms cliffs up to 15 m high that rise abruptly from the foreshore; narrow and rugged beaches predominate.

1.5 Eastern Coast (10° 30'13" S to 19° 40'29" S)

The Eastern Coast sector extends from Sergipe State, at the São Francisco River southward to the left margin of the mouth of the Doce River in Espírito Santo State (see Chap. 3, Maps 10, 11, and 12).

This coast is smooth and backed by the Barreiras cliffs generating an abrasive and aligned character. The Sergipe State has a straight coastline that extends for about 163 km (Vilar and Santos 2011), with four large estuaries: the São Francisco, Sergipe, Vaza-Barris, and Piauí-Real-Fundo, which interrupt the straightness of the coastline and open large estuaries where mangrove forests can develop. This part of the Eastern coast presents typical features of marine transgression and regressions, such as marine terraces, coastal ridges, coastal dunes, fluvial-lagoonal plains, and estuaries.

From the right margin of the São Francisco River delta, a tributary flows south parallel to the coastline crossing 15 km between Pleistocene and Holocene ridge depressions and reaching the dune formations. This tributary is locally known by various names such as Parapuca Canal, soon after its branching from the São Francisco River, also Carapitanga, Poço, and Boca do Poço canals. The latter has its outlet in the Atlantic Ocean (Santos et al. 2014) where 21.68 km² of mangrove forests are found according to Carvalho and Fontes (2007), with *R. mangle* as the dominant species.

Toward the south is the Japarutuba River, which, in its lower course, becomes sluggish and supports a mixed mangrove forest containing *R. mangle*, *L. racemosa*, and *Avicennia germinans* (L.) L., which occupies soils rich in organic matter. The Sergipe River estuary is one of the four largest of the state. According to Carvalho and Fontes (2006), the mangrove area of the Sergipe River occupies 54.96 km². However, it is in the broader and substantially larger Vaza-Barris River estuary where mangroves are better preserved and occupy a considerable area of the coastal plain. At the mouth itself, marine influence on coastal hydrodynamics is remarkable, with waves and coastal currents being active processes. The more open portion of the estuary is not conducive to the establishment of mangrove seedlings, due to the instability of the sandy margins and erosive processes. However, it is on several islands within this estuary that mangrove forests are better developed and preserved.

The 132 km long Piauí River flows along the geographic microregions of Agreste do Lagarto and Sergipe's southern coast, flowing between the counties of Estância, Sergipe, and Jandira, in the Mangue Seco village, already in the Bahia State, downstream from its confluence with the Fundo River on the left margin and Real River on its right margin. The mangroves of the Piauí-Real-Fundo rivers estuarine

complex can be evaluated jointly according to various authors because of their geographic proximity and the sharing of similar environmental characteristics (ADEMA 1984; Carvalho and Fontes 2006, 2007). In this estuarine complex, several tidal channels reach inland, having their margins occupied by narrow mangrove forests.

The coast of Bahia State, with 800 km in length, is mostly N-S oriented but markedly segmented, presenting different compartments that can be individualized by climate, geological, geomorphological, oceanographic, regional, and local conditions. The Geodiversity Survey Map of Bahia State (Carvalho and Barreto 2010) identifies and classifies 71 geological environmental units, distributed in different domains. Along the coast, this includes plateaus, cliffs, low hills and domes, structural steps, erosive ridges, fluvial and coastal features, and reefs.

The Barreiras Formation is present along the entire coast of Bahia except where sedimentary rocks of the Mesozoic rifts outcrop along the coast. The oldest geological elements on this coast, from north to south, are the São Francisco Craton and the Arauaí Fold Belt. These two provinces have exerted a major control on coastal development since the South America-Africa breakup (Dominguez 2009). The outcome is that the continental shelf in the fold belt is much wider than in the cratonic section characterized by a narrower shelf. Mangue Seco, the northernmost spot on the coast of Bahia, is located on a fluvial bar currently occupied by an extensive coconut plantation, on the right margin of the Real River, on the border with Sergipe State. From this point south, there are nearly 34 km of extensive and uninhabited sandy beaches fringing a narrow coastal plain sometimes with regressive coastal lagoons and different physiognomic patterns of *restingas*. In this section of the Brazilian coast, mangroves are absent.

The Itapicuru River, which starts in the piedmont of the Chapada Diamantina, drains an approximate area of 36,440 km² and flows into the Atlantic, in the area known as Costa dos Coqueiros, on the northern coast of Bahia. There the flow is influenced by tides and most of the sediment is deposited, creating a substrate for the establishment, growth, and maintenance of extensive mangroves. An interesting feature of this area is the paleo-bay head delta associated with the Itapicuru River and its extensive lower river mangroves. Research shows a 10-meter-deep estuary existed during the last sea transgression (Dominguez 2009). Bayhead deposits reach 6 m in thickness and *Crassostrea rhizophorae* middens dated around 5100 years BP indicates the presence of mangroves during that time (Dominguez 2009).

Between the estuary of the Itapicuru River and the mouth of Itariri River, there are sandy beaches and coastal lagoons without direct contact with the Atlantic Ocean, besides the different physiognomic patterns of the *restinga* vegetation. The Itariri River meanders in its lower estuarine reach and spreads over a coastal depression, where the occurrence of mangrove forests is restricted to its margins, but better developed near its mouth.

Beyond this section is the Todos os Santos Bay within the Recôncavo Baiano basin, forming an embayment covering some 1100 km² and 200 km in the perimeter. Its geologic origins as a rifted coast date back to the rifting of the present African and South American continents, with the emergence of an aborted rift and successive

processes of subsidence and uplift, influenced by the processes of marine transgression and regression, and to the exposure to a past semiarid climate (Dominguez et al. 2009). This is a sediment-starved coast with few rivers of small size within small drainage basins and low precipitation values reaching the bay or the coast. In this sector, the largest river is the Paraguaçu; which also drains the plateau of the Chapada Diamantina and has its waters dammed at the Pedra do Cavalo Dam. The dam furnishes 60% of the water supply to the metropolitan region of the state's capital Salvador (Hadlich 2009). When it reaches the calm and sheltered waters of the Iguape and the Todos os Santos bays, its sediments are deposited at the foot of the hills and cliffs, creating favorable environments for mangrove formation (Hadlich 2009).

Further south, from the Garcez Inlet on the left margin of the Jequiçá River to the Ponta do Curral Bar, is a coastal plain with wide regressive beach ridges and wide marine-built terraces separating the Todos os Santos Bay from the islands of Tinharé and Boipeba. Beyond Pratagi Beach wide regressive beach ridges, sometimes covered by *restinga* vegetation or exposed, sometimes containing mangroves and coastal lagoons separated by internal marine-built terraces, face the Camamu Bay, another inlet of considerable size incorporating several rivers, bays, and tidal channels covered by mangrove forests. This bay is located on the southern coast of Bahia, where extensive areas of mangrove forests are present.

The Santarém, Igrapiúna, Orojó, and Serra rivers are the largest rivers that flow into Camamu Bay forming an intricate and diverse estuarine complex: some 6 km further south in the county of Itacaré, on the right margin of the Contas River (Ilhéus municipality). The coast of Itacaré is characterized by sandy beaches anchored by promontories of the Barreiras Formation. From its southern limit, the coast is straight, interrupted by small mangrove-lined estuaries. From Ilhéus to the mouth of the Una River, and for about 50 km, there are straight sandy beaches interrupted by marine-cut terraces, remnants of old razed Pleistocene cliffs. At least four river bars and tidal channels make up an intricate estuarine complex where mangrove forests are found. From the mouth of the Salsa River to the mouth of Jequitinhonha River, several environmental, geological, and geomorphological processes interact to produce a variety of features and strand plain evolutionary sequences. Among the morphological features in the wide strand plain are Pleistocene and Holocene marine terraces, beach ridges, alluvial fans, paleo-cliffs, and residues of marshes and mangroves.

From the south of the Jequitinhonha River plain, the occurrence of offshore reefs such as the Coroa Alta Reef is reflected in the coastal morphodynamics, which becomes influenced by wave refraction. In this part of the coast, the Santo Antônio and Braço do Norte rivers merge to flow into the Atlantic Ocean, in narrow watercourses lined by mangroves, near the village of Santo Antônio. Another mangrove-lined estuary is the João da Tiba River that empties into the Atlantic along the right margin of the city of Santa Cruz de Cabrália. Along this sector of the coast, offshore reefs play an important morphodynamic role in inducing the formation of tombolos.

Along the coast of Porto Seguro, mangrove forests are restricted to the estuary of the Buranhém River, which is considerably altered and polluted. Several small mangrove-lined estuaries occur south of Porto Seguro, such as those of the Frades River, in Itaquena, the Caraíva River, by the namesake city, and the Corumbau River, that flows into Ponta de Corumbau. A coastal feature that is not related to any large river is the presence of beach ridges of the coastal plain of Caravelas, which are old Pleistocene and Holocene formations that become truncated at Ponta da Baleia. This coastline reflects a complex interaction among the offshore reef growth (the Arolhos Reef-Bank), wave refraction, sea-level changes, and long-shore drift.

Offshore reef development led to the formation of a low-energy zone in the vicinity of Caçumba Island that favored the deposition of fine sediments and extensive mangrove and tidal flat development (Dominguez 2009). The left margin of the Doce Stream is the border between the states of Bahia and Espírito Santo, which is the southerly end of the Eastern Coast sector. A striking feature of this stretch of coastline is the presence of the Barreiras Formation near the coast. At Conceição da Barra (ES), the Doce River hosts a small mangrove stand. The total mangrove area along Espírito Santo is 70.35 km², and the species found include *R. mangle*, *L. racemosa*, *Avicennia schaueriana* Stapt & Leechm., and *A. germinans* (Vale and Ferreira 1998). The wide strand plain of the Doce River is related to the transgression and regression of the sea level during the Quaternary and comprises beach ridge terraces, lagoons, freshwater, and mangrove swamp deposits. The strand plain is the result of the interaction of sea-level with fluvial sediment inputs, wave reworking, and drowning of the paleo-delta.

The area receives several rivers that flow to the Atlantic, but some could not break through the beach ridges forcing diversions, to the north or the south. In these rivers, the development of mangroves is extensive, with the São Mateus estuary being the largest with 10.1 km² of mangrove forest. The mouth of the São Mateus River reflects both erosive and depositional processes, possibly cyclical, that destroyed in 1991 part of the city of Conceição da Barra (Vale 2010; Vale and Ross 2011).

1.6 Southeastern Coast (19° 40'19" S to 25° 39'53" S)

The Southeastern Coast (see Chap. 3, Maps 12, 13, 14, and 15) begins along the paleo-cliffs at the right margin of Doce River originating from wave erosion during the Quaternary marine transgression. Because of the large volume of freshwater and sediments carried by the Doce River into the Atlantic Ocean, mangroves are not found here. The abrupt narrowing of the Piraquê coastal plain of the Doce River is due to a smaller river, the Doce Stream that separates the plain from the Barreiras Formation at Aracruz.

From the Barra do Sahy (ES), a sequence of beaches occurs where mangroves are absent. About 10 km separates the Barra do Saí from the mouth of the Piraquê River, which is formed by the Piraquê-Mirim and the Piraquê-Açu rivers (Vale and Ferreira 1998). Completely inserted within the Barreiras Formation, both have well-

developed mangrove forests on their margins, occupying an area of 15.80 km² (Vale and Ferreira 1998). In the section that stretches from the mouth of the Piraquê River to the entrance of Vitória Bay, mangrove forests are found in small rivers, such as Reis Magos and Jacaraípe.

The Barreiras Formation retreats and reappears as paleo-cliffs and marine erosional terraces, which constitute laterites, on which some red mangroves (*R. mangle*) are seen, but they do not form groves of “rock mangroves,” as in the coast of Bahia (Vale and Schaeffer-Novelli 2018).

The coastal landscape of the city of Vitória has both continental and island portions, a high heterogeneity, and geological-geomorphological diversity. The granitic-gneiss outcrops that characterize the relief of the Espírito Santo State coast, especially those found in the proximity of the Vitória Bay, have acted as traps for sediments brought by the several rivers that drain into the bay (Ferreira 1989). This process of sedimentation provides for the accretion of mangroves, a fact visible in the Santa Maria da Vitória River Delta.

The Vitória Bay is one of the most prominent features of the Espírito Santo coast, where the Santa Maria da Vitória, Bubu, and Aribiri rivers, as well as the Passagem and Lameirão channels, add up to 18 km² of mangrove landscape around the bay (Vale and Ferreira 1998). A striking feature of these mangrove forests is the occurrence of salt flats, particularly in transition areas as well as in the inner part of the larger mangrove forests.

From the entrance of the Vitória Bay toward the south, the coast presents its morphology dominated by a small inlet anchored in Precambrian outcrops that reach the coast and continue to the south at Anchieta; dominated by broad truncated sand cords due to transgression and regression processes or dominated by the Barreiras Formation, which, to the south, forms active cliffs (Vale and Schaeffer-Novelli 2018). Although the coast has more inlets toward its southern end, the occurrence of mangroves is lower than on the estuaries and rivers of the northern portion. Mangroves are found in Guarapari, on the margin of the estuaries of the Una and Perocão rivers, which break through to the sea at Santa Mônica, and along the margin of the Jabuti, Aldeia Velha, and Lameirão rivers, which together with other smaller ones open into Guarapari Bay where mangroves occupy 5.7 km² (Vale and Ferreira 1998). Furthermore, mangrove forests are found in the Benevente River estuary, in Anchieta, where the most structurally developed and best-conserved forests in the state are. This mangrove forest occupies 4.57 km² along the river with the predominance of *R. mangle* and *Avicennia* spp.

The rivers Iconha, Itapemirim, and Itabapoana are characterized by the occurrence of riverine mangrove forests. These features extend from the right margin of the Itabapoana River until reaching the Paraíba do Sul River, at the northern coast of Rio de Janeiro State.

The northern coast of Rio de Janeiro State lacks mangroves. Extensive areas of *restinga* vegetation are the distinctive feature of this coastline. Further south mangroves occur in the Paraíba do Sul River delta, near the cities of Atafona and São João da Barra. Here, several of the paleo-channels can be observed reflecting the dynamics under which the delta was formed. There are truncated beach ridges on

both sides of the river, marine terraces, *restinga* vegetation, and mangrove deposits. A coastal feature that stands out at the mouth of the Paraíba do Sul River is the Convivência Island, which supports the interpretation that this feature was part of a cusped destructive delta, dominated by waves Dominguez et al. (1981). Mangroves are found on both margins of the delta associated with interridge depressions that form a mangrove-lined channel that runs parallel to the coastline as a branch of the Paraíba do Sul River with a considerable mangrove coverage.

Southward, coastal aeolian dunes occur in the vicinity of Cabo Frio because of the local arid microclimate generated by a periodic but intense oceanic upwelling (Dias and Kjerfve 2009). The inlet between the peninsulas of Búzios, Cabo Frio, and Arraial do Cabo constitutes an interesting stretch of the coast of Rio de Janeiro, but the occurrence of mangrove is restricted to the small Una River. From Arraial do Cabo to the entrance of Guanabara Bay, the coastline presents a succession of coastal lagoons that reflect their origin as features shaped by marine transgressions and regressions. The largest hypersaline coastal lagoon in the country is the Araruama Lagoon, surrounded by *restinga* and halophytes such as *Sesuvium portulacastrum* (L.) L., *Salicornia gaudichaudiana* Mog., and *Blutaparon portulacoides* (St. Hil.), as well as the Poaceae *Sporobolus virginicus* (L.) Kunth (FEEMA 1988; Debenay et al. 2001). This coastal stretch takes an east-west orientation, marking the end of the Barreiras Formation.

The Guanabara Bay is an iconic Brazilian feature. Satellite images dating back to 2018 show continuous mangrove areas, the largest one located in the north part of the bay, drained by the Macacu, Guapi, Guaraí, Cacerebu, and Guaxindiba rivers (Zee et al. 2017). According to Pires (2010), this complex encompassed a mangrove area of 10.36 km² in 2002. A smaller area of remnant mangrove forest is found close to Jardim Gramacho, where a fringe of variable width from the water line to the urbanized littoral ranges from 700 to 1300 m in width (Vale and Schaeffer-Novelli 2018).

Southward to the Guanabara Bay, a set of E-W barriers enclosing lagoons characterizes the southern coast of Rio de Janeiro where the occurrence of mangroves is limited. The larger occurrence is in Sepetiba Bay, where they are widely distributed, forming fringe forests or islands, such as in the Guaratiba Inlet. Further south the Bocaina Mountain Range defines the border between the states of Rio de Janeiro and São Paulo. In Ubatuba municipality, mangroves occur in the estuary of Escuro River at the Dura Beach (Cunha-Lignon et al. 2009), and in front of Picinguaba Bay on the margins of the namesake river that flows along a narrow fluvial-marine plain in direct contact with Precambrian Bocaina Mountain Range outcrops (Vale and Schaeffer-Novelli 2018).

Within the wider fluvial-marine plain of the city of Caraguatatuba, on the margin of the Juqueriquerê River, mangroves are found within an urban setting. In the county of São Sebastião, there are urban mangroves by the ferry boat terminal and in Araçá Bay (Schaeffer-Novelli et al. 2018). At the southernmost part of this county, mangroves also are found on the margins of the Sahy River, which ends at Barra do Sahy Inlet. Here, the development of holiday homes is a significant conservation and land-use issue. Further south the mangroves at the Juquehy River persisted despite

the river rectification. In Bertioga municipality, mangroves occur along both margins of the Bertioga Channel and at Itaguará and Guaratuba estuaries.

A wide fluvial plain has developed in the central portion of the São Paulo State, where the Serra do Mar Mountain Range retreats inland. One of the largest archipelagos on the Brazilian coast lies right behind the coastline comprising the municipalities of Santos e São Vicente; named the Santista-Vicentino Archipelago (Vale and Schaeffer-Novelli 2018). The Santos Port, the largest South American harbor complex, is in this complex of rivers that flow toward the Atlantic. Colocated is the greatest concentration of industries in the country shaping an industrial and urban complex that includes the city of Cubatão. Here mangroves are found in a sheltered environment, with an ample contribution of freshwater and terrigenous sediments, that together with a suitable climate guarantees their establishment, development, and persistence. The original extent of the Baixada Santista mangroves was estimated by Silva et al. (1993b) as 131 km², with only 53 km² in relatively good condition by 1991. Within this estuarine complex, the largest extents of mangroves persist on Barnabé, Bagres, and Piaçaguera islands. Among the rivers that flow into the area are the Piaçabuçu, Santana, Cubatão, Casqueiro, Jurubatuba, Moji, Quilombo, Itapanhaú, and the Bertioga Channel. Mangroves still thrive in some of these rivers (Menghini et al. 2011).

Beyond this estuarine complex, toward the south, the coastal plain of São Paulo becomes mostly rectilinear, but mangroves are found in the county of Itanhaém, on the margins of the river of the same name. As a historical note, the mangroves of Itanhaém were described by Lamberti (1969) in one of the first modern mangrove studies in Brazil. The waters of Jequiá, Aguapé, and Preto rivers form the Itanhaém estuary that shows well-developed mangroves. Here the Precambrian outcrops reach the coastline, forming high outcrops, still covered by Atlantic Forest vegetation. The Guaraú Outcrop (about 500 m high) separates two rivers in which mangroves occur along their margins. The Peruíbe River rectified before the lower estuary runs along the north slope of Guaraú Outcrop and has altered mangroves because of the proximity to an urban area. On the southern slope of the Guaraú Outcrop mangroves are much better preserved. About 40 km south is the coastal plain of Cananéia-Iguape, another notable geomorphic feature of the Eastern Coast sector in the state of São Paulo (Ab'Sáber 2001).

Before the *restinga* barriers had been formed, the sea made shallow penetrations into the entire regional coast, reaching, during the main Holocene transgression, the foothills of the Serra do Mar Mountain Range. The Cananéia-Iguape coastal system is composed of three natural main islands: Cardoso, Comprida, and Cananéia, separated by rivers and channels. Also, the artificial Iguape Island delimitates the system to the southwest by the Valo Grande Canal opened in 1852 (see Cunha-Lignon et al. 2011). The ca. 64-km-long Comprida Island, between the Cananéia and Icapara inlets, integrates the Iguape-Cananéia Lagoonal System, the result of the latest and faster transgression and marine regression of the Holocene. The island is an elongated sequence of regressive sandy ridges, whose width varies between 3 and 4 km, limited to the west by a lagoon that because of its length has different names: Mar de Cananéia, or Mar de Fora in the southwest, adjacent to Cananéia, Mar

Pequeno or Mar de Iguape in the northeast adjacent to Iguape Island. To the east, the system is bordered by the Atlantic. The Cardoso Island is separated from the mainland by the Ararapira Channel and the Trapandé Bay, with dense mangrove colonization.

The Varadouro Channel connects the Cananéia-Iguape Lagoonal System to Pinheiros Bay, in the state of Paraná (Schaeffer-Novelli et al. 1990a). Here, mangroves cover islands of various dimensions. Another feature that stands out in this part of the Southeastern Coast is the Paranaguá Bay (PR), formed by a combination of small bays, inlets, and islands. The Mel and Peças islands are found at the entrance of the bay.

The last portion of the Southeastern Coast to be described comprises an area from the Antonina Bay, where mangroves are already found in a subtropical setting. To the south, the Paranaguá Bay opens within a wide coastal plain, in which several rivers flow parallel to the coastline draining into the sheltered waters of the bay. The Guaratuba Bay, close to the limit with the state of Santa Catarina, is another large indentation where mangroves have developed along the margin of tidal channels on the edges of small hills.

1.7 Southern Coast (25° 39'53" S to 33° 45'07" S)

The last section of the coast starts at Paranaguá Bay and extends to Chuí (RS), the southernmost tip of the country (see Chap. 3, Maps 15, 16 and 17). This coast rests against the crystalline massifs that form the Serra do Mar Mountain Range that stretches from the state of Rio de Janeiro (21° S) to Santa Catarina (28° S) (Almeida and Carneiro 1998). Its most prominent feature is the scraped coastal range that when it intersects the coastline forms embayments, strand plains, and estuaries. Mangroves occur along the coast of the state of Santa Catarina, including on the margins of the Saí-Guaçu and Saí-Mirim rivers on the northern coast of the state (Ab'Sáber 2001).

Among the most significant estuaries is the São Francisco Bay (also known as Babitonga Bay) that extends over 130 km², where the adjacent lands include stretches of older outcrop formations, sometimes in direct contact with the Atlantic or inland reaching the scarp of the Serra do Mar (Vale and Schaeffer-Novelli 2018; França et al. 2019). Three sections can be distinguished in this bay: One being the bay itself, which receives water from two other sectors; the Palmital Channel to the north and another to the south composing the sector that separates the São Francisco do Sul Island from the mainland, the Linguado Canal. This sector of the Santa Catarina State's coast shelters about 75% of all the mangroves of the state, covering 59.94 km² (Vale and Schaeffer-Novelli 2018).

Southward a combination of beach arches anchored in the protuberances of the Precambrian basement and colder waters do not propitiate the colonization by mangroves. At the northern end of Santa Catarina Island, there is a small stretch of fringed mangroves at the entrance of the Norte Bay. At the Itacorubi River,

mangroves and associated salt marshes are well preserved (Sierra de Ledo and Soriano-Sierra 1998). In the Sul Bay or Sul Channel, the mangroves of Tavares River correspond to the second largest mangrove area of the island covering 7.44 km² (Espinoza 2008).

The Southern latitudinal limit for *R. mangle* is at Sonho Beach (27° 50' S), Palhoça municipality, in the mainland of Santa Catarina State. The white mangrove *L. racemosa* and the black mangrove *A. schaueriana* reach their southern limit at the Ponta Grossa River (28° 30'S) within the Santo Antônio Lagoon, Laguna municipality (Cintrón-Molero and Schaeffer-Novelli 1981; Schaeffer-Novelli et al. 1990b; Soares et al. 2012) (see Chaps. 3 and 18). Beyond this point is Brazil's largest Quaternary sandy progradation. The coastal plain is made up of a series of barriers separated by low line areas occupied by fresh and salt marshes and large water bodies, some connected to the sea (through the Rio Grande and the Tramandaí inlets), some not.

The Patos Lagoon is a coastal lagoon of relevance to migratory birds coming from both North and South pole, depending on the season (see Chap. 12). The most prominent lagoon is the Patos Lagoon. There, salt marshes colonize mud flats, mainly *Spartina densiflora* Brong and *Juncus* spp. (Marangoni and Costa 2009). Nevertheless, other marsh species can be found in the area, namely, *Spartina alterniflora* Loisel., *Scirpus maritimus* L., *Scirpus olneyi* A. Gray, *Juncus acutus* L., *Fimbristylis spadicea* L., *Myrsine parviflora* A. DC, *Acrostichum danaefolium* Langsd. Fisch (also a mangrove-associate), *Vigna luteola* (Jacq.) Benth, and *Salicornia gaudichaudiana* Mog. (Marangoni 2003). The Southern Coast segment ends at Chuí (33° 45'07" S).

1.8 Summary

The Brazilian coast is characterized by a broad suite of features spanning several spatiotemporal processes and scales, reflecting the sculpturing of coastal landforms by ecogeomorphic factors. Climatic, geological, oceanographic, and ecological processes interact with sediment supply and antecedent topography to produce the modern coastal landscape that incorporates a record of sea-level change in terms of erosional and depositional features as well as biological indicators. Sea-level oscillations highlight the dynamism of coastal features, helping to comprehend its malleability and diversity. Coasts evolve and adapt at multiple scales; they are not static entities and are best understood within the framework of complex adaptive systems and Earth Surface Systems. Over most of Brazil's coast, mangrove forests and salt marshes are widely distributed although generally confined to sheltered upper intertidal mudflats in the larger coastal indentations, such as bays, estuaries, and behind coastal barriers and barrier islands, in lagoons subject to frequent flooding by tides and saline intrusions.

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Chapter 2

Environmental and Biotic Factors Driving Distributional Patterns in Mangrove and Salt Marsh Ecosystems



Sarah Charlier-Sarubo, Marília Cunha-Lignon,
and Eduardo J. Soriano-Sierra

2.1 Introduction

Mangrove distribution is regulated by a complex combination and interaction of biotic and environmental factors, including salinity, soil type, degree of anoxia, nutrient availability, physiological tolerances, predation, and competition, at different scales (Ellison 2002). Seemingly, according to Alongi (2008), both composition and structure (also called phytosociology) of mangrove forests are the results of a complex interplay of physiological tolerances and competitive interactions leading to a mosaic of interrupted or arrested succession sequences in response to physical/chemical gradients and changes in geomorphology.

Zonation theories in mangroves have a rich experimental and observational history (Krauss et al. 2008). Mangrove forests have been described as having bands of vegetation, typically arranged along tidal gradients which are dominated by one or two species (Feller et al. 2010). It is related to physical processes such as tidal frequency and edaphic factors that change along a gradient from seaward to landward (Friess et al. 2012).

Although several hypotheses have been put forth to explain zonation, most publications report only dominant species and describe zones without quantifying them (Ellison et al. 2000). It is essential to consider that mangrove and salt marsh

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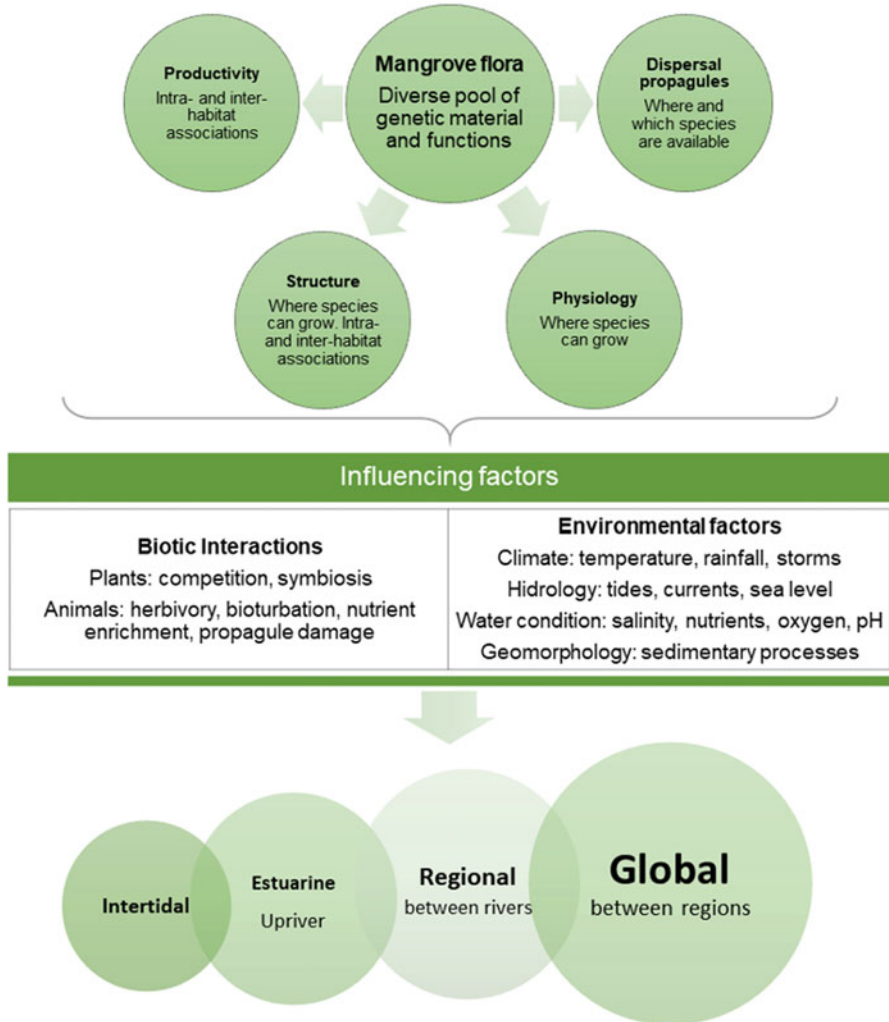


Fig. 2.1 Relationship between mangrove floristic and its main strategies to succeed under a range of biotic and environmental factors, considered at four geographic scales. (Based on Duke et al. 1998)

ecosystems respond to biotic and abiotic factors, considering different time and spatial scales (Fig. 2.1).

Physical form and structure, physiological capabilities, productive capacity and growth, and reproductive development with the dispersal of propagules are the main strategies mangrove species developed to live in such a harsh environment. Each attribute is then influenced by a range of biotic and environmental factors, which merge to determine the distributional patterns of mangrove at different scales (Ball

1996; Duke et al. 1998; Krauss et al. 2008; Feller et al. 2010), even though biotic interactions are mostly reported at intertidal scales.

2.2 Biotic Factors Influencing Species Richness and Distribution of Mangroves

According to Duke et al. (1998), the distribution of mangrove species across the intertidal profile is influenced by associated fauna, which may indirectly assist in the establishment of propagules. Predation on propagules is also essential for the distributional patterns of some taxonomic groups of mangroves and in specific geographic regions (Smith 1996).

Cannicci et al. (2008) have reviewed many studies documenting the impacts of fauna on forest development, productivity, and structural complexity, concluding that the ecological role played by insect and crab herbivory is not restricted to tissue lost or damage but also at an ecosystem level on functioning and performance.

Other authors (Berger et al. 2006; Peters et al. 2020) have proposed modeling approaches to explain plant competition and succession on mangrove forests. They initially state dominating species will be gradually replaced in the canopy due to tree competition for light and use crown height, crown shape, and tree size as main competition parameters. Berger et al. (2006) argued that it is essential to assume that the fast growth rate of the pioneer species slows down relative to those of the following species within succession. A decrease in nutrient availability may be responsible for these relative changes in the growth rates of different species.

2.2.1 *Predation on Mangrove Propagules and Its Effects on Forest Structure*

Cannicci et al. (2008) summarized three models that have been proposed in the literature in order to evaluate and explain the impact of crab propagule predation on vegetation structure: (i) The dominance-predation model, which suggests an inverse ratio between the rate of predation of particular species and its dominance in the grove canopy; (ii) the canopy-gap-mediated model, which considers that predation could be more intense under closed canopies than in adjacent gaps, and (iii) the flooding regime model, which considers the time available for crabs to forage at low intertidal and upper intertidal belts, suggesting that propagule predation may be related to inundation period.

Clarke and Kerrigan (2002) conclude that a dominance-predation effect may occur in some mangrove stands, but it is not a general phenomenon among the tested species. Their assessment has also corroborated the canopy-gap-mediated model, suggesting that crabs can clear high-density stands and enhance

establishment and growth of new seedlings. Krauss et al. (2008) observed that the impact of arthropod consumers on the survival of mangrove propagules or seedlings varies with light and temperature conditions in several different systems. While some studies in Australia presented that canopy gaps could afford a refuge from crab predation for mangrove propagules, a related study on the Caribbean coast of Panama, where the crab species are different, found no difference in crab predation rates between understory and gap environments (Krauss et al. 2008).

Farnsworth and Ellison (1997) demonstrated the significant presence and the ecological importance of predispersal propagules predation on mangroves worldwide. They have found the most frequent predators are scolytid beetles, lepidopteran larvae, and crabs. While crabs and beetles were detected in propagules throughout the world, burrowing moth larvae were more common in the southern hemisphere.

2.2.2 Bioturbation Processes Influencing Ecosystem Engineering

Crabs are considered the engineer species of mangrove ecosystems by their habitat and their bioturbation activity, which significantly decreases ammonium and sulfide concentrations in mangrove soil, playing a crucial ecological role in the structural and functional stability of mangrove ecosystems (Cannicci et al. 2008).

They physically modify the structure of sediments, favor their oxygenation and their enrichment in organic matter, breaking and burying leaves and propagules into their burrows. They also improve the circulation of water and organic matter, thus positively benefiting mangrove productivity (Capdeville et al. 2019).

Kristensen and Alongi (2006) proved that the activity of fiddler crabs (*Uca vocans*) affected redox-sensitive elements, such as iron (Fe) and sulfur (S), down to a depth of 2 cm, not only during feeding but also during other activities involving movement, such as walking when the legs sink into the sediment. Further, the deposition of feeding pellets and burrow maintenance activities probably enhances the effective mixing depth, resulting in increased productivity of *Avicennia marina* saplings associated with those crabs.

2.2.3 The Importance of Dispersal Ability and Factors Regulating Propagule Establishment

Most mangrove species are typically dispersed by water-buoyant propagules, which allow them to take advantage of estuarine, coastal, and ocean currents both to restore existing stands and to establish new ones (Duke et al. 1998). The direction they travel depends on sea currents and land barriers, but the distance they reach stands on

the time propagules remain buoyant and viable, which differ for each species (Duke et al. 1998).

According to the previous authors, it seems that a range of factors may influence propagule dispersal on a local scale (intertidal and estuarine scale), possibly including propagule size, but also water quality, substrate condition, tidal position, immerse propagule durability, germinating and rooting capacity, resistance of propagules to damage caused by predators, and the general viability of propagules.

Although dispersal potential alone does not correlate with zonation, the combination of buoyancy and early growth may influence the distribution of some high shore species, which float for long periods and initiate roots and shoot more slowly than other floating species (Clarke et al. 2001). Delgado et al. (2001) suggested that factors as propagule abundance, dispersal, buoyancy, and sensitivity to flooding and mechanical stress were essential in determining mangrove species' distribution in the environmental setting of an estuary on the Pacific coast of Costa Rica.

According to Sousa et al. (2007), dispersing propagules are consistently transported downward toward lower tidal heights; this result strongly suggests that dispersal limitation plays an essential role in structuring higher elevation, inland forest stands. On the other hand, agitation by waves may prevent the smaller propagules from establishing at the lower, seaward boundary of the forest. In the zone between these upper and lower boundaries, there is no limitation by propagules supply nor water depth, and a variety of interacting biotic and abiotic processes determine forest composition and species distribution (Sousa et al. 2007). Such local dispersal may also influence the considerable genetic variation that has been observed among local populations of *Avicennia germinans*, *A. marina*, and *Rhizophora mangle* (Sousa et al. 2007).

2.3 Environmental Factors and Physiological Adaptation

The distribution of mangroves and salt marshes is related to physical, environmental, and climatic factors at different scales (Fig. 2.1), as proposed by Ball (1996), Smith (1996), Duke et al. (1998), Pennings and Moore (2000), Krauss et al. (2008), and Feller et al. (2010). If a species is present, then the environment must be suitable for it, not the opposite. Hence, the presence of certain species in mangrove environments depends first on the proximity of source populations (Duke et al. 1998), while physiological attributes of mangrove species also play critical roles in the development of forest structure, and certain environmental factors can lead to adaptive responses (Ball 1996, 2002; Feller et al. 2010; Arrivabene et al. 2014).

Factors like physiological tolerance (e.g., salinity, flood, and temperature), growth responses, competitive abilities toward other species, availability of suitable places for the establishment, and establishment ability regulate each mangrove plant in some way; from the individual to its position along the intertidal profile, from their upstream location within an estuary to their coastal range and throughout the world in one or more biogeographic regions (Duke et al. 1998; Krauss et al. 2008).

2.3.1 *Climate Parameters and Its Relation to the Early Development*

Krauss et al. (2008) identified the importance of not frequently studied environmental factors, such as temperature, atmospheric CO₂ concentration, and sea-level rise (SLR) as important drivers not only to mangrove establishment on a global scale but also to seedling growth and persistence on an intertidal scale.

Winter air temperature and precipitation regimes greatly influence mangrove physiology and literature indicates that freeze and drought sensitivity are range limit dependents (Lovelock et al. 2016; Osland et al. 2017). Although mangroves may face either high or low temperatures extremes, most studies have emphasized their sensitivity to freezing temperatures, since this factor limits its distribution latitudinally on a regional scale (Krauss et al. 2008). Those authors also cite some effects, which high temperature may cause, such as physiological processes limitation and death of the tissues or the whole plant.

By contrast, salt marshes emerge to be less constrained by climate compared to mangroves, which is demonstrated by their occurrence in the subtropics and tropics in restricted areas where mangrove development is excluded. Thus, traditional mapping of coastal wetland distribution probably underestimates the overall area of salt marshes found in the tropics, leading to the presumption that latitude is the overriding constraint controlling marsh (temperate) versus mangrove (subtropical to tropical) (Friess et al. 2012).

While increased atmospheric CO₂ is likely to improve the potential growth of mangrove species, some factors may delay, limit, or prevent mangrove seedling and growth, such as competition from other species, presence of maternal reserves, or other phenological aspects (Krauss et al. 2008). They also mention that CO₂ response will usually depend on other growth-limiting factors such as salinity and nutrient availability.

2.3.2 *Effects of Salinity Gradients on Mangrove and Salt Marsh Species Distribution*

To succeed on a substrate that contains high concentrations of soluble salt, mangrove trees present salt-eliminating mechanisms that have been classified into three groups: (1) Salt excluders, (2) salt secretors, and (3) salt accumulators (Parida and Jha 2010). The salt-excluding *R. mangle* keeps the xylem sap almost free of salt by ultrafiltration at the membranes of root cells. Salt secretors regulate internal salt levels by secreting excess salt through foliar glands, like *Laguncularia racemosa* and *A. schaueriana* do. Salt accumulators, on the other hand, accumulate high concentrations of salt by efficient isolation of ions to the vacuoles in the leaf, translocation outside the leaf, possible cuticular transpiration, and efficient leaf turnover to salt shedding (Parida and Jha 2010), such as the genera *Rhizophora* and *Avicennia*. Both

genera present more than one mechanism of salt adaptation in different plant tissues: *Rhizophora*, salt exclusion and accumulation, while *Avicennia* presents all three mechanisms.

As reported by Costa and Davy (1992), in the tropical and subtropical coastal regions of Brazil, salt marshes occur as fringes in front of mangroves and as spots in the interior of these. In the first case, the smooth cordgrass *Spartina alterniflora* generally dominates salt marshes. When salt marshes occur in the interior of mangroves, in oligohaline places, the species generally dominant is *Spartina densiflora*, accompanied by several other plants, like those of the genera *Limonium* and *Salicornia*.

In well-structured salt marshes, as is often the case in temperate zones, the zoning of vegetation on the intertidal scale is characteristic, forming distinct bands according to the salinity, frequency, and duration of the floods (Soriano-Sierra 1999). The porewater salinity accompanies the flood gradient, presenting the same salinity of the waters of the estuary at the more frontal parts of salt marshes, as it decreases to the highest part. In the low and medium salt marsh, only a few halophytic herbs occur; however, in the upper salt marsh, more than 40 species can occur that are only tolerant of low salinity.

According to Ball (1996), mangroves species differ in their ability to grow in extremely low or high salinities, although maximum growth of most species occurs under moderate salinity regimes. Ball (1998) concluded that three factors seem to promote the highest species richness in moderate saline sites: (i) salinities are within the range of tolerance of most species; (ii) species richness is greatest where the diversity of functional types is high; and (iii) seasonal variation in salinity, within tolerance limits, may cause temporal variations in resource use, which may support species coexistence.

Cunha-Lignon et al. (2011a) demonstrated that although high sedimentation rates promote mangrove colonization, the low salinity overcomes it by creating suitable conditions to macrophytes reproduction, which has a superior adaptation to salt-limited environments (Schaeffer-Novelli et al. 2016).

2.3.3 Sediment Fertility and Its Influence on Mangrove Structure

Sediment nutrient availability can vary spatially along tidal gradients and temporally with seasonal and interannual variation in nutrient delivery and cycling (Feller et al. 2002, 2010).

Feller et al. (2002) proved that essential nutrients such as nitrogen (N) and phosphorus (P) are not uniformly distributed within mangrove forests. Sediment fertility can switch from conditions of N to P limitations across fringe, dwarf (or stunted), and transition zone, and the same nutrient limitation does not affect all ecological processes within an ecosystem (e.g., plant growth, internal nutrient

cycling, decomposition) consistently. On the other hand, Reis et al. (2019) registered in fringe mangroves higher N inputs and higher N losses to the atmosphere, compared to basin mangroves in polluted sites (N-enriched areas) and conserved sites (non-N-enriched areas). According to the authors, the current and future N pollution scenarios via water pollution and N deposition from the atmosphere, mangroves could become a more significant source of nitrous oxide to the atmosphere as fringe mangroves continue to cycle higher inputs of N.

To understand how nutrient availability affects within-stand nutrient-cycling processes for *R. mangle*-dominated forests with high P-deficient soils, fertilization experiments indicated that P deficiency is a significant factor limiting the primary productivity of dwarf red mangroves (Feller et al. 1999; Lovelock et al. 2006).

McKee (1993) concluded that spatial and temporal variation in sediment redox potential and sulfide levels influences both mangrove seedling dynamics and root systems of adult trees. Seedling recruitment patterns across the intertidal zone may also differ locally and regionally depending on nutrient input and canopy structure (McKee 1995).

2.4 Response of Mangrove and Salt Marsh Species to Sedimentary Processes

The distribution of mangrove species corresponds with the intertidal accommodation space, which progressively supplies through sediment accumulation (Woodroffe et al. 2016). The vegetation structure of mangroves forests is a good indicator of sedimentary processes and environmental changes (Cunha-Lignon et al. 2009a).

Confirming the importance of these processes to mangrove dynamics, Thomas et al. (2017) produced a global map using seven categories of mangrove changes. According to these authors, natural processes of deposition and erosion contribute to 32.4% and 20.3% of mangrove area changes around the globe, respectively; moreover, both processes were commonly observed simultaneously. Although these observations cannot be readily managed as with human-induced alterations, it is known that mangrove forest extent is dynamic and strongly influenced by external pressures (Schaeffer-Novelli et al. 1990; Schaeffer-Novelli et al. 2000; Thomas et al. 2017).

2.4.1 Depositional Process Regarding Sea Level Rise

The origin and evolution of salt marshes result from processes of sedimentation of fine particles from the sea and dry land in sheltered coastal sectors (Boorman 1999). The substrates are initially colonized by algae and bacteria, which form a biofilm that

contributes to the retention of sediments. It is then the turn of halophilic macrophytes, which retain more sediment due to their high stem and radicular density.

The elevation of the substrate level progressively decreases flooding by tides and salinity, favoring succession for plants less tolerant to these factors. This substrate becomes increasingly higher and more compact, hence vegetated by species progressively less tolerant to salinity (Soriano-Sierra 1993; Cunha-Lignon et al. 2009b; Schaeffer-Novelli et al. 2016).

In depositional areas, succession takes place with *S. alterniflora* colonizing sediment banks. This species of smooth cordgrass helps the establishment of propagules and the development of *L. racemosa* seedlings and saplings (Cunha-Lignon et al. 2009a). This vertical evolution should accompany the rise in average sea level if the sediment supply continues. The growth of marsh and mangrove species always occurs until the highest level is reached by the tide. This geomorphic structure is dynamic and capable of adapting to sea level rise (SLR) by local deposition and erosion processes; thus, expansion toward the mainland is possible when no obstacles are present landward (Arasaki et al. 2008; Schaeffer-Novelli et al. 2016).

Marsh and mangrove establishment and growth provide positive feedback, since increased deposition stabilizes roots and contributes to elevating the substrate diminishing flooding frequency and increasing deposition (Schaeffer-Novelli et al. 2016). Chen et al. (2018) considered sediment trapping mechanisms based on the hydrodynamic related to sediment settling and direct trapping by vegetation and confirmed that salt marsh grasses are more efficient than mangrove trees at inducing sediment trapping over a tidal-cycle scale. Structural vegetation characteristics will be established based on the site conditions (stable or in progradation) (Cunha-Lignon et al. 2011b).

The SLR rate associated with the sedimentation balance, oceanographic conditions, coastal geomorphology, and topography are factors that can determine mangrove dynamics (Soares 2009).

2.4.2 Erosional Process Concerning Extreme Events

Shoreline stabilization and coastal protection are some of the security services provided by mangrove and salt marsh ecosystems (Alongi 2008; UNEP 2014) by reducing wave energy, increasing sedimentation, reducing erosion, and mitigating the effects of storm surges and debris movement (Alongi 2008; Spalding et al. 2014; Di Nitto et al. 2014).

Catastrophic erosional storms are rare along the Brazilian coast (Ward et al. 2016). On the other hand, climate change will lead to a higher frequency of extreme storm events (IPCC 2013), which may increase the volume of water carried by channels, maintaining reduced salinity, and intensifying erosional processes along the fringes. While in sites where the sediment supply is limited from marine sources, storms can provide valuable input to maintain surface elevation (Ward et al. 2016).

Erosive processes on salt marsh and mangrove ecosystems are expected because of SLR and consequent changes in water circulation patterns. McLoughlin et al. (2015) found a stronger correlation between wave energy flux and volumetric erosion rates along the marsh edges than with lateral erosion rates. On the other hand, lateral erosion over affluent estuaries is likely due to increased precipitation. Erosion will enforce the retreat of the frontal banks of salt marshes, by removing the tufts of macrophytes, and may even cause loss of the banks locally (Soriano-Sierra 1993). In erosional areas, *R. mangle* and/or *A. schaueriana*, with high structural development, dominate the mangrove fringe.

2.4.3 Sedimentary Processes Across Scales

The characteristics of mangrove forests are strongly influenced by coastal types and process scales (Schaeffer-Novelli et al. 2000). These authors cite that the first-order control over the extensive coastal zones in which mangroves develop is provided by plate tectonics, which has little influence on the Brazilian coast resulting in a contribution of large amounts of sediments from the rivers to the continental shelves.

Second-order controls are associated with sedimentary processes driven by waves, tides, and fluvial energies that shape the systems morphodynamically and make diversification of mangrove forests possible (Schaeffer-Novelli et al. 2000; Cunha-Lignon et al. 2009b). Those forcing functions led to regional trends in structural development and function. Schaeffer-Novelli et al. (1990, 2000) proposed the division of the Brazilian coast into eight mapping units (segments) based on similar environmental conditions, geomorphic processes, disturbance regimes, and landscape mosaics predominate (see Chap. 3).

At the broadest geomorphological macroscale, mangroves occupy settings created or modified by geomorphic forces, including climate and SLR (Woodroffe et al. 2016). At a lower-level component, regional, extremely active geomorphic processes occur in the coasts of Amapá and Pará, submitted to the Amazon River energy, while barrier-lagoon systems are considered as relatively stable areas (Schaeffer-Novelli et al. 2000). At the microscale, a variety of geomorphological and biological surface and subsurface processes run, such as the hydroperiod and surface elevation changes, which regulate forest structure, although response times may be slow (see Chap. 3, Maps 1 and 2).

Although zonation has been described as evidence of plant succession in the past, there have been few investigations of the replacement of mangrove species over time (Woodroffe et al. 2016). Krauss et al. (2014) assumed that accounts of peat deposition from mangrove root growth over the Holocene infer a capacity for root zone impact on elevation change associated with water level changes over geological time frames.

2.5 Climate Change and Its Effects on Mangrove Forests and Salt Marshes

Historically, mangroves have responded not only to sea-level oscillations but also to changes in long-term shifts in temperature and precipitation (Alongi 2015). Local variability and local geomorphology are important factors envisioning whether mangroves of a specific region will adapt or survive (Alongi 2015). The capacity of mangroves to adapt to SLR may reside not only in the properties of individual species or forests but also in processes that operate at the landscape and regional levels (Schaeffer-Novelli et al. 2002).

Climate change is likely to have an extensive impact on mangrove and salt marsh ecosystems (Saintilan et al. 2014; Ellison 2015), through processes including SLR, changing ocean currents, increased storminess, increased temperature, changes in precipitation, and increased atmospheric CO₂ (Fig. 2.2) (McKee et al. 2012; Ward et al. 2016).

Mangroves are likely to be less affected by SLR in areas with high sediment availability, uplifting or stable coasts, high productivity, and large tidal ranges (Ward et al. 2016), along wet tropical coasts, and in areas adjacent to significant river input (Alongi 2008), such as the estuary of the Amazon River and Parnaíba River delta (see Chap. 3, Map 4).

The abovementioned factors combined with increased temperatures at the latitudinal extremes of mangrove distribution and the predicted increase in the strength and frequency of El Niño events could promote the advance of mangroves in higher latitudes in South America. However, in the semiarid regions, where mangroves typically occur in estuaries, and irrigation and damming are prevailing, mangroves are expected to suffer from the increase in salt stress and resultant decreases in productivity, combined with decreases in sediment input (Alongi 2015; Ward et al. 2016).

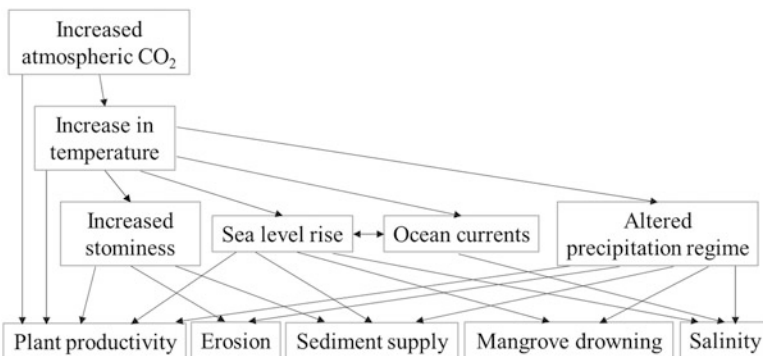


Fig. 2.2 Conceptual framework of the main impacting factors of climate change, the shifts in ocean-atmosphere system, and their influence in mangrove aspects, which influence their distribution. (Based on Ward et al. 2016)

Saintilan et al. (2014) indicate that mangrove species have proliferated at or near their global poleward limits over the past half-century to the detriment of salt marsh species. Soares et al. (2012) confirmed that mangroves' southern limit on the Atlantic coast is Santo Antônio Lagoon (28° 28' S; 48° 50' W) in the municipality of Laguna, Santa Catarina State, as stated earlier by Schaeffer-Novelli et al. (1990) (see Chap. 3, Map 16). At this site *L. racemosa* (dominant species) is stunted, a common trait to peer species globally at their southern limit, although *A. schaueriana* may reach up to 10 m, suggesting a vigor well out of its range. It is expected that the mangrove forests will expand toward regions south of their present latitudinal limit considering the changes observed in the climate and oceanographic characteristics in the western South Atlantic (Soares et al. 2012).

2.5.1 Mangrove and Salt Marsh Vulnerability to Climate Change

The tidal range is likely to affect the mangrove vulnerability to SLR directly; mangrove forests located in microtidal areas are generally more vulnerable than those settled in macrotidal environments (Ward et al. 2016). On the other hand, these ecosystems can modify their environment actively through surface elevation change processes by promoting sediment trapping and retention (Krauss et al. 2014).

Mangroves migration landward via seedling recruitment and vegetative reproduction depend on the ability of individual mangrove species to colonize newly available habitat at a rate that keeps pace with the rate of relative SLR (Duke et al. 1998; Gilman et al. 2008; Di Nitto et al. 2014; Alongi 2015), the slope of adjacent land, and the presence of obstacles to landward migration of the landward mangrove boundary (Gilman et al. 2008; Krauss et al. 2014; Di Nitto et al. 2014; Feller et al. 2017). The intertropical marshes that usually develop as fringes in front of mangroves (Lana 2003) are expected to be locally extinct by permanent submersion caused by SLR.

Mangrove latitudinal limits are to expand as mangroves are expected to replace marshes with the increase of temperature in temperate regions. Likewise, the marsh is expected to also expand to higher latitudes (Krauss et al. 2014; Di Nitto et al. 2014; Saintilan et al. 2014; Alongi 2015; Cintrón-Molero and Schaeffer-Novelli 2019).

Mangrove species show physiological traits that increase resiliency to the damage caused by storms (Alongi 2008), which can have both destructive and constructive impacts on mangrove ecosystems. Hurricanes and cyclones can substantially impact mangroves and even lead to complete removal or large-scale loss; further, extreme storm events can have medium- to long-term successional impacts on mangroves by giving a rapid input of allochthonous sediment, which can increase soil elevation (Ward et al. 2016). Moreover, nutrient pulses may push both productivity and mangrove growth (Lovelock et al. 2011).

2.6 Final Remarks

It would be unreliable to assume simple assessments of one or two factors to have an overall descriptive representation of how the structure and functioning, as well as zonation and succession work in the mangrove and salt marsh ecosystems. Since mangrove plants are related overall by their ability to grow in the intertidal zone, they have naturally developed different attributes and strategies to persist in this environment (Duke et al. 1998; Krauss et al. 2014; Woodroffe et al. 2016).

A variety of biotic and environmental factors influence each attribute which couples to define the distributional patterns of each species associated with others at global, regional, estuarine, and intertidal scales (Duke et al. 1998). Given the mangrove species resilience, their condition reflects environmental changes, highlighting the adaptability of resident species that can be used as bioindicators of forcing functions (Cunha-Lignon et al. 2009a; Arrivabene et al. 2014). Mangroves are expanding their latitudinal range as global temperatures keep rising (Alongi 2015) and the shift from salt marsh to mangrove dominance on subtropical and temperate shorelines has important implications for ecological structure, function, and global change adaptation (Saintilan et al. 2014).

Around 70% of Brazilian mangroves are in preserved areas, but the effectiveness of this progress continues weakened by bureaucracy, the lack of enforcement on conservation policy violations, and economic affairs (Ferreira and Lacerda 2016). Sadly, human impacts have often critically reduced the area occupied by mangrove forests (Giri et al. 2011) or monopolized areas into which they might have expanded. Land-use conversions not only directly affect mangrove forests, but also reduce the opportunities for mangroves to extend farther landward as the sea rises (Woodroffe et al. 2016).

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Chapter 3

Variability of Mangroves Along the Brazilian Coast: Revisiting



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3.1 Introduction

Considering the high dynamism of coastal systems, the advances in the concepts of complex systems theory to illuminate contemporaneous coastal management issues related to multiple spatial and temporal scales, and the recent knowledge produced on Brazilian mangroves and saltmarshes in this chapter, we revisit and expand the contribution presented by Schaeffer-Novelli et al. (1990) (hereafter referred to as the *1990 paper*) to understand mangrove and salt marsh patterns and processes along the Brazilian coast and to discuss the originally proposed macroecological concepts.

The 1990 paper was written at a time when interest in mangroves on a global scale was beginning to blossom triggered mainly by a series of outstanding publications, that is, Chapman's (1975) book on mangrove biogeography, Walsh's (1974) seminal work on mangrove zonation, and the concept of "outwelling" by Odum (1971) and Odum and Heald (1975). Seminal papers such as *The Ecology of Mangroves* by Lugo and Snedaker (1974) were shifting attention from vegetation to ecological processes. Other major contributions were the UNESCO's release of the world's most thorough mangrove bibliographic survey since 1614 (Rollet 1981), and the *Handbook for Mangrove Area Management* (Hamilton and Snedaker 1984). The Association of Marine Science Researchers (ALICMAR) was created in 1974 and provided the first social-technical platform for sharing ecological knowledge in the Americas. At about the same time, the Organization of American States (OEA) and UNESCO's Regional Office for Latin America subsidized the participation of researchers in various meetings in Hawaii, United States (1974), and Cali, Colombia (1978). Simultaneously, several academic institutions initiated local activities supported by national research organizations, which also sponsored academic exchanges, planting the seed of mangrove ecology on increasingly fertile ground.

Thus, the 1990 paper was an outgrowth of the convergence of attention from multiple Brazilian organizations being directed at coastal systems and the emerging recognition that ecological knowledge would contribute significantly to administer systems that were being recognized for their ecologic importance for coastal fisheries, whereas just a decade earlier, they had been misjudged as useless wastelands suitable for reclamation – a mindset that had prevailed since colonial times and was firmly entrenched in society. What was emerging since the 1960s and early 1970s (Odum 1969; Odum 1970) was the realization that perhaps these lands were not wastelands after all, and that they merited to be managed more rationally, in terms of what today is termed an ecosystem services-based approach (Gregory and Goudie

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2011). Changing deeply seated mindsets requires considerable efforts, such as increasing research levels for understanding the behavior of these systems and building a cadre of human resources that could increase societal awareness to promote their conservation rather than their reclamation and transformation.

The 1990 paper adopted a mesoscale (landscape) perspective for convenience. Landscapes are the most tangible ecological criteria and remain an appropriate level of observation for broad-scale management as well as for focusing on smaller scales. In this respect, we propose a refinement of the mesoscale classification scheme used in the 1990 paper and argue that the application of the coastal environmental setting (CES) framework (Thom 1982; Woodroffe 1992; Twilley et al. 2018;) improves our capacity to appropriately understand and scale mangroves' macroecological attributes and responses to natural and anthropogenic stressors at larger spatial and temporal scales.

In addition, later in this chapter, we discuss an approach we call *dynamic framing* as complementary for adopting a landscape perspective coherent with nature's investment and endurance strategy. However, of critical importance is that the mesoscale landscape approach merges human social systems and geomorphic systems into a unitary system, and recognizes multiple interactive and intercausal scales, geomorphological, social, and ecological processes (Huggett 1995) that are interdependent and vital for sustainability.

3.2 Scales and Variability in Mangrove Macroecology

The Brazilian coast is characterized by mangrove forests along most of its 10,959.52 km length (IBGE 2016), between the latitudes 04°20'12"N and 33°45'07"S. The measured length of any coast is a function of the scale and resolution of the measurement (Mandelbrot 1983), so it is not surprising that various length estimates exist in the literature (see Chap. 1 for more details). On a global scale, Brazil's coastline length ranks 12th (IBGE 2016), but shelters the second-largest mangrove area cover in the world (FAO 2007; Giri et al. 2011; Hamilton and Casey 2016). This suggests that from a broad perspective, this coast contains landscape features that are particularly favorable for mangrove development. Because a broad view subdues detail, processes, and structures, it is not surprising that a closer look often reveals unexpected variability at different levels.

Reality is complex and stratified into characteristic scales, dynamics, and patterns. These tend to be bundled into discrete scales of interaction (Rowe 1961; Simon 1962). Variability is the result of complexity; the diversity of components compounded by the spatiotemporal diversity of factors influences landscape responses and development at various scales, leaving distinct signatures that reveal dominant influences. The interaction between factor regimes and scales results in relatively distinct landforms. Thus, the original paper intended to examine zonality, that is, Brazilian coastal patterns, in terms of features and regional environments. The outcome was a proposed division of the coast into segments within which

similar broad climatic, geomorphologic, and oceanographic features and comparable management needs are found. Mangroves were accounted as mostly azonal perhaps because of the dominance of local (site) factors in influencing development. Complexity defies any attempt of classifying any coast where the diversity of landscape elements is high and where these elements and forcing functions act in combination and interact in complex ways.

In the context of revisiting the 1990 paper, we find it desirable to review some aspects of the notion of variability, considering that coasts are the most dynamic places on the planet. It is misleading to consider coastal features as static or perceive variability as problematic. Variability is a manifestation of complexity and although it presents obstacles to generalizing and identifying clear-cut patterns in nature, it is part of it and is present at all scales driven by external and internal factors such as self-organization. Variability paradoxically entails the iterative power of order, of system-level responses that eventually can lead to adaptive change and the capture of environmental energies to gradually perform increasingly more complex geoeologic work that makes more complete use of all available energies.

Furthermore, categorizations are based on generalizations and variability tends to obscure categories. In fact, all categorization schemes are simplifications and ignore variability at some scale. In nature, absolute categories do not exist, because categories exist only as (human) ideas, whereas reality is a *continuum*; change, variability, and transformation are pervasive but until very recently we have perceived reality in terms of static components and neglected processes and change. A shift taking place in ecology is the increasing adoption of a process-based perspective. The relationships between ecological processes and spatiotemporal patterns on a variety of scales are one of the most relevant research topics for most unresolved questions in ecology. Even climate was accounted as constant until very recently. What is pertinent in this appreciation is that temporal variability is an important area of concern, because the temporal scope of human observation is often very limited when considering the extended endurance of many geomorphic features. Focusing on the narrow spatiotemporal window of human experience inevitably provides a “keyhole” or partial view of coastal systems that ignoring its limitations can undermine management efforts no matter how well-intended they might be. In general, ecological events have a characteristic frequency and a corresponding spatial scale, and an ecological study of the landscape must conform to these scales (Turner 1998; Blackburn and Gaston 2002).

The Brazilian coast has been divided into different segments by several authors for different purposes, highlighting certain features and processes. The complexity of responses from a complex system approach is obviously overwhelming. In our 1990 paper, the purpose was to highlight geographic variability in the context of settings for mangrove establishment and development. That paper did not intend to describe causal factors or system dynamics but was limited to describing patterns of mangrove structure along the coast in very broad terms. To do that we conveniently divided the coast into eight broad segments oriented to mangrove abiotic drivers (Fig. 3.1) such as climatological (temperature, precipitation, and potential evapotranspiration), hydrographic (river order rank), and oceanographic (tidal amplitude),

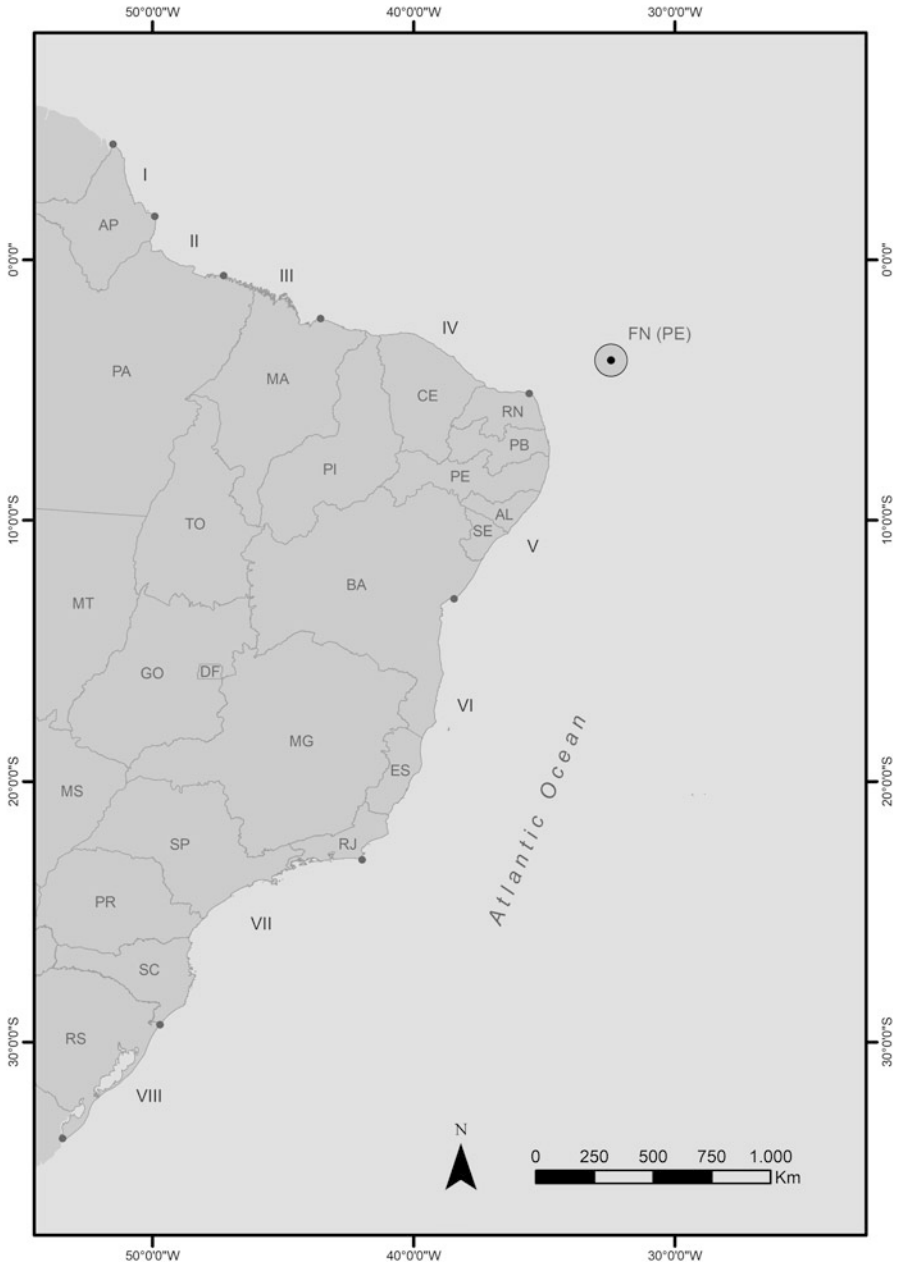


Fig. 3.1 Coastal segments proposed in Schaeffer-Novelli et al. (1990), divided by dots: I – Cape Orange (04°30'N) to Cape Norte (01°40'N), II – Cape Norte to Ponta Curuçá (00°36'S), III – Ponta Curuçá to Ponta Mangues Secos (02°15'S), IV – Ponta Mangues Secos to Cape Calcanhar (05°08' S), V – Cape Calcanhar to Recôncavo Baiano (13°00'S), VI – Recôncavo Baiano to Cabo Frio (23° 00'S), VII – Cabo Frio to Torres (29°20'S), and VIII – Torres to Chuí (22°35'S)

including the resulting mangrove development (structure; see Table 3.1). That method was summarized by Schaeffer-Novelli et al. (2016).

Within seven out of the eight coastal segments (Table 3.1), mangroves occupy landforms that bear the signature of past legacies of dominant formative processes (Rovai et al. 2018) (Fig. 3.2). Geographic partitioning is a common tool for supporting spatial reasoning for deriving qualitative inferences from broad categories. Here, we strengthen the original mesoscale approach by incorporating the coastal geomorphology variability within each segment as originally proposed in the 1990 paper, following the elements that have been presented in Chaps. 1 and 2.

3.3 The Coastal Environmental Setting (CES) Framework

Some fifty years ago, Bruce Thom proposed a framework based on ecogeomorphology to explain ecological regularities linked to different Coastal Environmental Setting (CESs). This is the framework used in the 1990 paper. These ideas were further developed by Rovai et al. (2018) and applied to multiple-scale ecological models to explain global variations in the mangrove ecosystem's properties. Incorporating ecogeomorphic forcings into predictive models has helped to advance hypotheses that improve our understanding and capacity to foresee the effects of global changes in these ecosystems.

Ecology has made great strides since the 1980s when the 1990 paper was conceived; new notions, tools, and concepts have been developed and are taking increasingly important roles in expanding observational windows in quality and scope, furthering interpretation, and reinterpretation of data and previous analyses. These new tools and notions have interacted catalytically to broaden ecological knowledge greatly in time and space. Understanding and dissemination of knowledge now have achieved global scales and we now can speak of global or macroecology as a discrete research field. The beneficiary community has expanded as well, and now includes scientists, educators, resource managers, and large stakeholder communities. New tools have also become available such as remote sensing instruments including global positioning systems (GPS) and inexpensive portable sensors. Increasing computational power and progressively easier access to distant places and real-time communications among researchers has propitiated a revolution in ecology that is still taking place and continues at an increasing pace. Furthermore, there are now more universities, scientists, and engineers than ever before in history. More importantly, complex environmental issues are part of the public sphere or social spaces nowadays. Thus, there is an increased demand for scientific communication to nonprofessionals to promote greater public understanding and engagement by educated constituencies.

The 1990 paper's perspective remains relevant as an appropriate level of observation for revealing mesoscale order as a starting point for a triadic approach that pays attention to events that take place at other levels: the focal level, the next higher level, and the level immediately below (Salthe 1985). Here we will demonstrate that

Table 3.1 Eight coastal segments proposed in Schaeffer-Novelli et al. (1990)

Segment	Description
I	From Cape Orange (04°30'N) to Cape Norte (01°40'N), at the northern limit of the mouth of the Amazon River. It is characterized by homogeneous forests dominated by the <i>Avicennia</i> . Mangroves colonize coastal rivers, extending to considerable distances inland. The genus <i>Rhizophora</i> occupies the estuarine portion of the rivers, where the marine influence is direct. In these areas, <i>Montrichardia</i> and <i>Laguncularia</i> occupy the inner parts of the forests.
II	From North Cape (01°40'N) to Ponta Curuçá (00°36'S). Mangrove development and cover are sparse in this segment due to the influence of the fluvial discharge of the Amazon River. The forests are mixed, with freshwater mudbanks dominating the northern part of the Amazon River mouth. The mangroves are mostly formed by the genus <i>Avicennia</i> at sites of low elevation and low salinity, while the <i>Rhizophora</i> occurs at sites with more significant marine influence or periodically inundated by tides.
III	From Ponta Curuçá (00°36'S) to Ponta Mangues Secos (02°15'S). The genus <i>Rhizophora</i> dominates the forest fringes. The higher ground behind the fringes is colonized by <i>Avicennia</i> and <i>Laguncularia</i> . Low-energy, depositional environments are colonized by <i>Spartina</i> . The genus <i>Conocarpus</i> is found in transition zones to upland.
IV	Ponta Mangues Secos (02°15'S) to Cape Calcanhar (05°08'S). Mangroves are poorly developed along this stretch of coast due to the lack of freshwater input associated with prolonged dry seasons. High salt concentrations limit mangroves to river mouths.
V	Cape Calcanhar (05°08'S) to Recôncavo Baiano (13°00'S). Due to the high energy of this section of the coast, mangroves develop in sheltered areas, associated to estuaries and coastal lagoons. <i>Rhizophora</i> and <i>Laguncularia</i> appear as pioneers. In the inner parts of the forests, <i>Avicennia</i> and <i>Laguncularia</i> form mixed forests.
VI	From Recôncavo Baiano (13°00'S) to Cabo Frio (23°00'S). Relatively extensive mangroves are commonly found behind <i>restingas</i> . All three genera of mangroves are found, either mixed or in monospecific stands. In the Todos os Santos Bay, <i>Laguncularia</i> is dominant, colonizing sandy-clay soils. <i>Rhizophora</i> is found predominantly on the margins, forming a narrow strip on the fringes. When dominant, they form monospecific stands frequently flooded by the tides. <i>Avicennia</i> and <i>Laguncularia</i> may also form mixed marginal forests.
VII	From Cabo Frio (23°00'S) to Torres (29°20'S). The tallest mangrove trees border estuaries, channels, and some river downstream. Forests can be monospecific or mixed of the three genera. Recent sediments of barrier islands may be colonized by <i>Spartina</i> , forming saltmarshes completely flooded by high tides. <i>Rhizophora</i> colonizes muddy sediments with large amounts of organic matter, while <i>Avicennia</i> is found in higher deposits forming extensive forests. Landward transitional zones are often colonized by <i>Hibiscus</i> , <i>Crinum</i> , and <i>Acrosticum</i> . The latitudinal limit for real mangrove species is on the coast of Santa Catarina, at 27°30'S (<i>R. mangle</i>) and 28°30'S (<i>A. schaueriana</i> and <i>L. racemosa</i>).
VIII	From Torres (29°20'S) to Chuí (33°45'S). This stretch of coastline is formed by extensive beach deposits, associated with dune ridges and sandy ridges. Abundant lagoons, isolated from the ocean by multiple barriers, result from successive transgressive and regressive geologic events. Low winter temperatures and a wide temperature range inhibit the growth of typical mangrove species, promoting the development of salt marshes.

Adapted from Schaeffer-Novelli (1999)

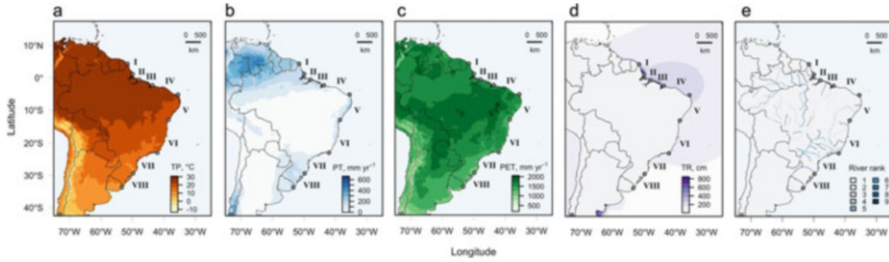


Fig. 3.2 Coastal segments proposed in Schaeffer-Novelli et al. (1990) highlighting dominant environmental forcing's and climate-driven threats. Mangroves are present in segments I–VII, salt marshes are predominant at segment VIII. (a) TP-mean annual temperature, (b) PT-mean annual precipitation, (c) PET-mean annual potential evapotranspiration, (d) TR-mean tidal range, and (e) River order rank. Sources: TP and PT data from Hijmans et al. (2005), PET from Title and Bemmels (2018), TR from Vestbo et al. (2018), and river order rank from Patterson and Kelso (2018)

the CES framework¹ provides the most obvious and tangible ground for improvement in spatial resolution, accessing constraints, and moving toward higher fidelity scales. All ecological processes and structures are multiscales (Allen and Hoekstra 1992). We provide a reanalysis of mangrove structural (biomass) and functional (primary productivity, carbon sequestration) attributes discussed in the 1990 paper. We used global compilations on climatic and oceanographic variables to predict mangrove ecological traits at a continental scale, expanding models proposed in our original 1990 paper from a conceptual to an empiric perspective. Particularly, we explored how the relative contribution of rivers, tidal range, along with regional climate, shapes distinct CES, reflected in substrate conditions to which plants respond (Thom 1982; Woodroffe 1992; Twilley et al. 2018) (Fig. 3.3). Distinct CES, for example, deltas, estuaries, and lagoons, are formed by the relative contribution of geophysical variables (e.g., river discharge, tidal amplitude, wave energy). Along with regional climatic drivers, these geophysical forcings constrain carbon partitioning among ecosystem compartments (soil, above- and belowground biomass). CES types include large rivers, small deltas (grouped as deltaic by Thom 1982), tidal systems (estuaries, bedrock as defined by Thom 1982), lagoons (including composite settings as defined by Thom 1982), carbonate coastal settings, and arctic or dry coastlines.

The following brief, yet comprehensive overview on dominant global types of CES was originally summarized by Rovai et al. (2018). However, we suggest consultation of the original sources (Thom 1982; Woodroffe 1992) for additional information. One of the major factors defining the different CES is sediment source (i.e., river-borne), which represents a combination of geophysical processes and

¹The term Coastal Environmental Settings (CESs) refer to a typology of mangrove-occurring localities that share certain composed by geophysical, geomorphic, and biologic characteristics.

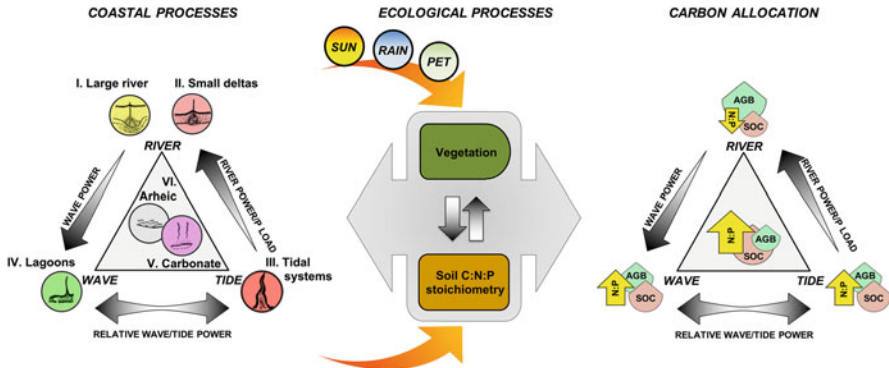


Fig. 3.3 Coastal ecogeomorphology conceptual framework, showing how bidirectional fluxes between abiotic and biotic components control nutrient stoichiometry and carbon storage in mangroves. CES types: I – large rivers; II – small deltas; III – tidal systems; IV – lagoons; V – carbonate coastal settings; and VI – arheic, or dry coastlines. PET: potential evapotranspiration; C:N:P: carbon-to-nitrogen-to-phosphorus ratio. Adapted from Twilley et al. (2018)

local geology influencing mangrove dynamics (Thom 1982; Woodroffe 1992; Woodroffe 2002).

The CES framework provides an alternative to the latitude gradient paradigm, and its use has advanced our capacity to predict mangrove ecological attributes such as aboveground biomass (Rovai et al. 2016), litterfall production (Ribeiro et al. 2019), and soil organic carbon (Rovai et al. 2018) at larger scales with a high confidence level. This is particularly useful for coastlines that lack such information. Here, we focus on the variability of mangrove aboveground biomass (AGB), litterfall (NPP_L, or Net Primary Productivity Litterfall), and soil organic carbon (SOC) along the Brazilian coastline, as these ecosystem attributes constitute the largest long-term (>100 years), perennial carbon pools in mangrove forests. However, a pressing need remains for generating estimates of belowground biomass (roots) and productivity as these are significant components of ecosystem-level C stock and budget, respectively. CESs provide an ecological/terrain conceptual unit for management that is easily geographically defined.

3.4 Aboveground Biomass

Previous attempts to predict continental-scale mangrove aboveground biomass (AGB) include latitude (Saenger and Snedaker 1993; Twilley et al. 1992) and climate-based models (Hutchison et al. 2014). Although latitude-based models can indirectly encompass critical climatic and geophysical variables, their individual contribution to explain AGB value spatial patterns is unknown, since their explanatory power is not explicitly weighted in the statistical analysis. Although a climatic modeling approach explicitly includes climate variables such as temperature and

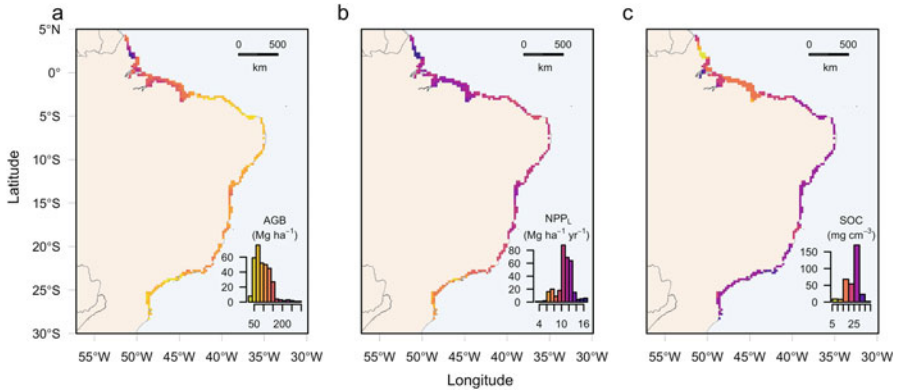


Fig. 3.4 Predicted mangrove aboveground biomass (a) (AGB in Mg ha^{-1}), litterfall productivity (b) (NPP_L in $\text{Mg ha}^{-1} \text{ year}^{-1}$), and soil organic carbon density (c) (SOC in mg cm^{-3}) in Brazilian mangroves. Histograms depict the frequency of modeled values for each mangrove attribute. AGB data extracted from Rovai et al. (2016), NPP_L , from Ribeiro et al. (2019), and SOC from Rovai et al. (2018)

precipitation to explain mangrove AGB at the global scale (Hutchison et al. 2014), this analysis is limited not only by the number of climatic variables included in the model but also by the lack of other environmental variables that directly influence mangrove structural and functional properties at regional and local scales (Twilley 1995; Twilley and Rivera-Monroy 2009).

A literature review to assemble a global dataset containing information on published mangrove AGB and forest structure data is summarized in a review by Rovai et al. (2016). The inclusion of other geophysical variables in the climatic-geophysical model significantly improves AGB estimates at the latitudinal scale as demonstrated for the neotropics. As in the conceptual model proposed in the 1990 paper, the review by Rovai et al. (2016) shows that at continental scales, higher tidal amplitudes contributed to high forest biomass associated with warm temperatures, abundant rainfall, and low potential evapotranspiration (Figs. 3.1a–c and 3.3a). For the Brazilian coast, this model corroborates the mangrove forest structural development described for each segment proposed in the 1990 paper (see Chaps. 4 and 6), with higher AGB values predicted for low latitude, deltaic and macrotidal coastlines (Segments I–III, Fig. 3.1; Table 3.1), and lower values along increasingly austral latitudes, tide- and wave-dominated, or dry coastlines (Segments IV and VII, Table 3.1; Fig. 3.4a).

Mangrove AGB values in Brazil range from 25.3 to 284.8 Mg ha^{-1} (mean = 95.8 Mg ha^{-1}), within the range estimated for the neotropics (16.6–627.0 Mg ha^{-1} , mean = 88.7 Mg ha^{-1}) (Rovai et al. 2016). Using a biomass-to-carbon conversion factor of 0.475 (Hamilton and Friess 2018) and a mangrove forest cover of 7675 km^2 (Hamilton and Casey 2016), the total C stored in mangroves' AGB in Brazil is estimated at 0.04 PgC, which corresponds to 7.3% of global C stocks in mangrove AGB (Rovai et al. 2016).

Rovai et al. (2016) show that CES represents a major determinant on mangrove wetland development, configuration, and realized maximum biomass, particularly considering the diversity of mangrove geoeological settings and associated dynamics (Thom 1982; Woodroffe 1992; Twilley 1995). This energy signature is strongly influenced by the local tidal range and river discharge, critical geophysical variables explaining a significant percentage of the AGB total variance (Rovai et al. 2016). Indeed, tidal amplitude, a component of the hydroperiod regime in coastal regions, significantly influenced mangrove structural development. Higher tidal amplitude promotes nutrient exchange and aeration of soil layers, which reduces sulfide production and accumulation, allowing higher growth rates and forest development (Lugo and Snedaker 1974; Castañeda-Moya et al. 2013).

3.5 Net Primary Productivity – Litterfall (NPP_L)

Ribeiro et al. (2019) provided the first model that accounts for continental-scale variability in mangrove Net Primary Productivity [Litterfall] (NPP_L) in response to climatic and geophysical variables combined. Their results advance the current understating of mangrove NPP_L variability across latitudinal and longitudinal gradients, considering that previous studies did not account for the role of geophysical forces in driving large-scale NPP_L variability. Instead, correlations were usually performed using absolute variation in latitude degrees as a predictor of mangrove primary productivity (e.g., Twilley et al. 1992; Saenger and Snedaker 1993; Bouillon et al. 2008).

The model by Ribeiro et al. (2019) addresses a core question in mangrove macroecology, clarifying the role of factors that control mangrove NPP_L at larger spatial scales. The authors show that mangrove NPP_L is controlled by a combination of climatic (temperature and precipitation) and geophysical forces, such as tidal range. Here we used the model results by Ribeiro et al. (2019) for the neotropics to estimate NPP_L for Brazilian mangroves (Fig. 3.4b). The predicted NPP_L values for Brazilian mangroves ranged from 3.79 to 16.97 $\text{Mg ha}^{-1} \text{ year}^{-1}$ (mean = 10.92 $\text{Mg ha}^{-1} \text{ year}^{-1}$), and the range reported for the neotropics is 1.66–28.81 $\text{Mg ha}^{-1} \text{ year}^{-1}$ (mean = 10.25 $\text{Mg ha}^{-1} \text{ year}^{-1}$) (see Ribeiro et al. 2019 for details). Using a biomass-to-carbon conversion factor of 0.475 (see Hamilton and Friess 2018), the predicted mean NPP_L for Brazilian mangroves corresponds to 5.5 $\text{Mg C ha}^{-1} \text{ year}^{-1}$. Using Hamilton and Casey's (2016) estimative for Brazilian mangrove forest cover of 7675 km^2 , the annual rate of C removed from the atmosphere by mangrove NPP_L in the country is estimated at 4 Tg C, which corresponds to 30% of total NPP_L in the neotropics (Ribeiro et al. 2019).

Higher NPP_L rates were predicted for mangrove forests influenced by large river systems, such as along the Amazon River coastline. These patterns of high NPP_L rates predicted for river-dominated coastlines are consistent with observed values reported for other deltaic coastal settings in the neotropics such as in the San Juan River delta (Colombia), Orinoco River delta (Venezuela), and Essequibo River

(Guyana) (see Ribeiro et al. 2019 for details). These regions with high NPP_L are located in tropical regions subjected to low annual variability in temperature, high rates of rainfall ($>2000 \text{ mm year}^{-1}$) (Hijmans et al. 2005), and macrotidal regimes (Carrère et al. 2012). Conversely, the low rates of NPP_L in Brazil were predicted for mangroves subjected to lower winter temperatures, reduced tidal amplitude (i.e., Segment VII, Table 3.1; Fig. 3.2), as well as reduced annual precipitation and reduced river discharge (Segment IV, Table 3.1; Fig. 3.2), which altogether constrain high primary productivity and forest development.

Ribeiro et al. (2019) showed that the interaction between precipitation and temperature accounted for most of the variability in mangrove NPP_L across the neotropics. Temperature and precipitation regimes have long been described as important drivers of mangrove NPP_L (Pool et al. 1975; Twilley 1995; Day et al. 1996; Feher et al. 2017). Temperature affects plants' vital processes from photosynthesis and respiration to reproductive success and carbon storage (Duke 1990; Lovelock 2008). Similarly, rainfall also influences mangrove growth and primary production (Day et al. 1996; Twilley et al. 1997; Agraz-Hernández et al. 2015). Lower primary production has been reported for mangrove forests along dry coastlines, whereas the highest NPP_L rates were related to areas with rainfall regimes over $2000 \text{ mm year}^{-1}$ (Hernández and Mullen 1975; Félix-Pico et al. 2006; Lema and Polanía 2007). The synergism between temperature and precipitation regimes plays a major role in determining mangrove development and distribution (Spalding et al. 2010; Osland et al. 2016; Feher et al. 2017).

The results in Ribeiro et al. (2019) also highlighted the role of tidal regimes in mangrove NPP_L variability at larger scales. These findings support previous studies that show a strong positive influence of tidal amplitudes in primary production (Cintrón and Schaeffer-Novelli 1981; Alongi 2002). Tides are an energy subsidy to mangroves' primary production (Odum et al. 1982) and as this energy increases, so is the amount of organic matter exchanged between mangroves and adjacent environments (Twilley et al. 1986, 1992). Periodic tidal inundation promotes nutrient exchange and soil aeration, which reduces the accumulation of toxic substances (e.g., sulfides) and enhances forest development (Lugo and Snedaker 1974; Castañeda-Moya et al. 2013). In addition, earlier studies have shown tides to be a major driver of carbon allocation between above- and belowground compartments in mangrove forests. For instance, higher tides are frequently associated with well-developed mangrove forest stands (Cintrón and Schaeffer-Novelli 1981; Twilley 1995; Rovai et al. 2016). Conversely, mangrove root biomass was found to be higher in sites subjected to infrequent inundation (Castañeda-Moya et al. 2011; Adame et al. 2017). Similarly, higher soil organic carbon stocks have been negatively correlated with tides (Rovai et al. 2018). Also, the tidal amplitude is an important component of hydroperiod influencing mangrove species zonation (Crase et al. 2013) as well as the vertical range of suitable environment for mangrove establishment (Hutchings and Saenger 1987).

Although not selected as a significant term in the model by Ribeiro et al. (2019), potential evapotranspiration (PET) has been acknowledged as one of the major climatic factors determining the distribution of life zones on Earth (Holdridge

1967). PET represents the amount of water that could potentially be used by plants, but it is transferred back to the atmosphere through evaporation, thus, being an important regulator of forest water balance (Holdridge 1967). The interaction between PET and precipitation is especially important for mangroves, due to soil water content and salinity balance (Clough 1992; Wolanski et al. 1992). Indeed, PET has been shown to play a major role in the continental-scale variability of above-ground biomass and soil organic carbon stocks in mangroves (Rovai et al. 2016, 2018).

In equatorial climates, where temperatures are constantly high throughout the year, precipitation rates are moderate to high and the ratio between precipitation and PET is low, so mangrove forests can allocate more energy to their aboveground biomass and thus are better developed (Schaeffer-Novelli et al. 1990; Clough 1992). Where PET exceeds rainfall, the water deficit leads to decreased soil moisture, and consequently higher soil salinities, water stress on mangrove trees, and restricted forest development (Schaeffer-Novelli et al. 1990; Day et al. 1996; Castañeda-Moya et al. 2006). Moreover, the upper limit of distribution and survival of particular mangrove species is very often determined by soil salinity and soil water content, which are regulated by the conjunction of PET, rainfall, and tidal amplitude (Wolanski et al. 1992; Castañeda-Moya et al. 2006).

Furthermore, the influence of river discharge on mangrove ecosystems functioning is also indubitable. Nevertheless, excessive freshwater discharges act as a constraint by promoting competition by glycophytes that limits mangrove colonization. This is true in the Amazon estuary as well as south of Laguna (28°30'S) where freshwater habitats prevail displacing mangroves and favor freshwater marsh development. Overall, rivers are responsible for most of the freshwater input in mangroves, acting as a source of nutrients (phosphorus) and decreasing interstitial salinity (Pool et al. 1975; Castañeda-Moya et al. 2013). Riverine mangroves are characterized by optimal structural growth, with high values of aboveground biomass and NPP_L resulting from high nutrient availability, abundant freshwater drainage, and reduced soil salinity levels, which are controlled by river discharge (Cintrón et al. 1978; Castañeda-Moya et al. 2006). River discharge is particularly important in dry (or arctic) coastlines such as in Northeast Brazil (see Chap. 1). In these dry climates, evapotranspiration exceeds the moisture supplied by precipitation, and river discharge becomes an important source of freshwater that controls salinity within limits that are not stressful for mangrove survival, forming extensive hypersaline flats (or “apicuns”).

The *apicum* (in singular) is a spatial-temporal ecogeomorphic feature of the mangrove ecosystem; it is a morphoclimatic hydrosere, a dynamic feature of the high intertidal zone, and technically a high salt marsh feature. The high salt marsh is influenced by precipitation, runoff, or seepage (Costa and Davy 1992; Hadlich et al. 2010). In dry coastlines with minor river discharge, massive mangrove diebacks can occur triggered by inland droughts, multidecadal fluctuations in sea level such as the 18.6-year Metonic Cycle (Munk et al. 2002), reductions in rainfall, and abnormally high air temperatures (Duke et al. 2017; Lovelock et al. 2017). During these events, only mangroves fringing estuary channels and upstream riverine stands remained healthy and mostly intact (Duke et al. 2017).

3.6 Soil Organic Carbon (SOC) Stocks

In the present work, we include a new mangrove ecological feature not covered in the 1990 paper, the continental-scale variability of Soil Organic Carbon (SOC) stocks in response to climatic and geophysical drivers. Mangroves have long been recognized for their potential role as a significant global carbon sink that may mitigate atmospheric CO₂ enrichment (Twilley et al. 1992). They were recently recognized as the most carbon-dense forests in the tropics (Donato et al. 2011), culminating in an increase in research papers reporting mostly on local and regional carbon stocks. Few studies have attempted to deliver global mangrove carbon budgets (Chmura et al. 2003; Bouillon et al. 2008). Only recently have specific models been developed to account for global variation in mangrove SOC stocks (Jardine and Siikamäki 2014; Atwood et al. 2017; Rovai et al. 2018). Attention has been driven to SOC stocks, because most of the carbon in mangroves ecosystems is stored in this compartment (Twilley et al. 1992; Hamilton and Friess 2018), where it remains stable for much longer compared to AGB.

Rovai et al. (2018) demonstrated how local and regional estimates of SOC linked to CES can render a more realistic spatial representation of global mangrove SOC stocks. They combined 107 published and unpublished studies conducted worldwide to yield a dataset consisting of depth-integrated (top meter) mangrove SOC density values, reporting on 551 sites from 43 countries. In contrast to previous studies (e.g., Jardine and Siikamäki 2014; Atwood et al. 2017), this dataset included exclusively soil profiles that were at least 0.3 m in depth (which were then normalized to a depth of 1 m), and mangrove SOC density values obtained from elemental analyses or chemical determination (i.e., wet oxidation). Rovai et al. (2018) showed that the diversity of CESs can contribute to the global integration of complex geomorphological, geophysical, and climatic responses that explain the contribution of mangroves to global carbon sequestration. Their approach improved our capacity to predict the global contribution of coastal systems such as mangroves to carbon dynamics in the Earth system. Although their global mangrove SOC budget estimate was similar to early ones, for example, 2.3 PgC (Rovai et al. 2018) and 2.6 PgC (Atwood et al. 2017), they showed that mangrove SOC stocks vary markedly across different types of CESs, increasing from river- to tide/wave-dominated to carbonate coastlines. For example, a global estimate, recently provided by Atwood et al. (2017), used a country-level mean mangrove SOC stock of 283 Mg C ha⁻¹ based on values from 48 countries to extrapolate global patterns for the remaining 57 countries that lack data on mangrove SOC. Results in the study by Rovai et al. (2018) indicate that for those countries, many of which comprise mostly carbonate CESs, the global mean reference value of mangrove SOC stocks suggested by Atwood et al. (2017) is about 50% lower than values based on distinct CESs. Moreover, their analysis showed that the CES framework has the potential to resolve unexpected patterns observed between carbonate and river-dominated coastal landforms identified in former global mangrove SOC budgets (Jardine and Siikamäki 2014). They showed that mangrove SOC stocks have been underestimated by up to 44%

(a difference equivalent of roughly 200 MgC ha^{-1}) and overestimated by up to 86% (around 400 MgC ha^{-1}) in carbonate and deltaic settings, respectively, likely due to the omission of geomorphological and geophysical drivers in accounting for the large-scale variability of mangrove SOC stocks.

Here we used Rovai et al. (2018) results to compute estimates of SOC for Brazilian mangroves (Fig. 3.4c). Lower SOC density values were predicted for deltaic and macrotidal (Segments I–III, Table 3.1; Fig. 3.2) and arid (Segment IV, Table 3.1; Fig. 3.2) CESs. Higher SOC values were consistent along tide- and wave-dominated coastlines (Segments V–VII, Table 3.1; Fig. 3.2). Mangrove SOC stocks in the soil top meter in Brazil ranged from 72.1 to $388.3 \text{ MgC ha}^{-1}$ (mean = $240.4 \text{ MgC ha}^{-1}$), within the global range of 33.8 to $464.1 \text{ MgC ha}^{-1}$ (mean = $296.6 \text{ MgC ha}^{-1}$) (Rovai et al. 2018). Using the mangrove forest area of 7675 km^2 (Hamilton and Casey 2016), the total carbon stored in mangroves soils in Brazil is estimated at 0.15 PgC, which corresponds to 6.5% of global SOC stocks in contrast to the 9.3% suggested earlier (Hamilton and Friess 2018).

3.7 Advancing the CES Framework: Challenges for Mangrove Macroecologists

Tremendous advances have been made recently in terms of mapping the global mangrove forest cover. The two most recent mangrove forest cover estimates range from nearly 82,000 (Hamilton and Casey 2016) to 132,000 km^2 (Giri et al. 2011). This difference of approximately 40% in mangrove forest cover is due to different methodologies used to classify mangrove occurrence within each degree-cell. While the database in Hamilton and Casey (2016) (CGMFC-21)² estimates the percent cover for each degree-cell within a mangrove forest, the earlier database in Giri et al. (2011) (MFW)³ uses a presence approach. Despite these methodological aspects, both CGMFC-21 and MFW databases have a very high resolution of approximately 900 m^2 ($30 \times 30 \text{ m}$ at the equator).

The parameters on which we based most of the discussion in this chapter (that is, AGB, NPP_L , and SOC) were conveyed using the mangrove forest cover provided by the CGMFC-21 database but adjusted to a much lower fidelity (approximately 625 km^2 or $25 \times 25 \text{ km}$ at the equator) than the original spatial resolution. As pointed out in the original sources, we based our analyses on Rovai et al. (2016 and 2018) and Ribeiro et al. (2019).

There are essentially two main reasons that may be preventing the development of robust higher-resolution large-scale mapping of mangrove ecological attributes. First, the attempt to balance the loss of information during the trade-off process of down- and upscaling data with different resolutions (Blackburn and Gaston 2002).

²CGMFC-21 (project): Continuous Global Mangrove Forest Cover for the Twenty-first Century.

³MFW (dataset): Mangrove Forest Cover Loss dataset.

Indeed, recent efforts in macroecology strived to consolidate a database of environmental variables that are thought to be relevant to species' ecology and geographic distribution at a reasonable spatial resolution (0.08333° or approximately 8.3 km at the equator) (Title and Bemmels 2018). Even the WorldClim database (Hijmans et al. 2005), which has over 3000 citations, has resolutions that range from 1 to 340 km². In this respect, some of the predictors used in the analyses we present here have a coarse native resolution, such as river discharge (0.5°). Thus, it is reasonable to work with an intermediary cell size (e.g., 0.25°) that is spatially representative of most CES domains, which the modeling framework is based on. Second, although the integration of information on mangrove typology based on local hydrology and topography (e.g., fringe vs. interior sites) would potentially allow for more robust local and global estimates, most papers in which the analyses presented here are based on do not include accurate information on hydroperiod. Accordingly, the spatial resolution of most global compilations on marine and terrestrial environmental variables (Title and Bemmels 2018) does not reflect the variability compatible with neither the CMFGC-21 nor the MFW database native resolution.

In order to perform a multiscale spatial analysis, both dependent and independent variables would have to be available at differing resolutions. Moreover, the set of environmental variables would have to hold ecological meaning across different spatial scales, which is unlikely as variables that control SOC formation in coastal wetlands differ at different scales (check Holmquist et al. 2018; Osland et al. 2018; and Rovai et al. 2018). While the scale-dependent issues discussed here are perhaps one of the major challenges mangrove ecologists will face when upscaling ecological traits from site-level observations, the CES framework resolved much of the dramatic difference in mangrove SOC estimates, particularly in terms of spatial variability with mangrove soil properties following close the energetic signature of distinct coastline types (Rovai et al. 2016, 2018; Twilley et al. 2018; Ribeiro et al. 2019).

3.8 CES Restrict the Atlantic South American Mangrove Limit

Laguna is an interesting threshold and is currently considered the southernmost limit of mangroves in Brazil (Cintrón and Schaeffer-Novelli 1981; Soares et al. 2012). However, it is attention-grabbing, because suitable habitats further south in the country seem to be present (Ximenes et al. 2018), yet mangroves as an ecosystem stop abruptly at Laguna ($28^\circ48'S$). The mean sea surface temperatures here vary from 18.2°C in summer to 16°C in winter (Ximenes et al. 2018).

In a biogeographical terminus, this is a particularly interesting transitional zone, because it appears not only to be a limit to a species but to an ecosystem; at this geographic location, a regime or phase shift takes place. The discontinuity apparent in Laguna is a topic of great relevance to climate change research and the

understanding of the future of mangroves in the region. Rather than mangrove expansion, the region may have been experiencing a contraction due to increasing freshwater dominance that might have resulted in a freshwater barrier blockage that now limits further mangrove expansion to the south beyond Laguna (Cintrón-Molero and Schaeffer-Novelli 2019) (Map 16).

Furthermore, because of potential conflict with agricultural land use in the Patos Lagoon region, it is likely that manmade attempts to restrict saline intrusions could further limit mangrove expansion to the south in the near future. Below Laguna is the 620 km coastal tract of Rio Grande do Sul State (Map 17), which encompasses South America's longest barrier structure, running almost uninterrupted except near Cassino and Tramandaf inlets; the former is the inlet to the Patos Lagoon. Both are permanent openings due to the high freshwater discharges of the coastal lagoons behind the barrier.

Patos Lagoon's extensive marshes are dominated by the genera *Spartina*, *Juncus*, *Cyperus*, *Typha*, *Scirpus*, *Paspalum*, and *Sesuvium* (Delaney 1962), which prevail in an eminently freshwater environment promoted by abundant rainfall water ($P \approx 1500 \text{ mm year}^{-1}$), reduced potential evapotranspiration, high percolation rates, seepage, river flows, and microtidal regime (Hijmans et al. 2005; Carrère et al. 2013; Cohen et al. 2013). These occupy the biogeographic changeover zone that extends to northeastern Argentina (Costa and Davy 1992). The coast of the Rio Grande do Sul State, south of 34°S, is well known to receive rain throughout the year, including the passage of Mesoscale Convective Systems (MCS) (Houze Jr 2004), severe frontal systems as well as sporadic severe hail and frost events. The larger continental landmass at 10–25°S is conducive to the development of deep convective activity fed by Amazon moisture transport by a low-level jet into the area. This makes this area the most active MCS region in the world (Nesbitt and Zipser 2003).

Mangroves are documented to persist at the latitude of 38°45'S at Westport, Australia, where the mean annual atmospheric temperature is 18 °C and the coldest is 17 °C and where humid subtropical (Cfa) and maritime (Cfb) climate prevails (Peel et al. 2007). At Corner Inlet, Australia, they are found at 38°54'S. So, the abrupt phase shift at Laguna (Brazil) is a prominent feature that merits further and more detailed attention in the context of environmental change prediction. In any case, climate change is perhaps one of the most active research areas in present times, and southeastern Brazil and mangrove ecosystem dynamics offer fruitful research possibilities that would lead to understanding how climate influences coastal vegetation.

The southern domain is an area where planetary, regional, and local processes interact but where it is realistic to locate instrumentation to provide local-level data recordings and frequent site-level vegetation and interstitial salinity monitoring. This is a region where active climatological research is taking place and where climatology is of great interest because of its impact on agriculture and the local economy. This research is bound to help support new hypotheses about the distribution and abrupt limit of mangrove occurrence in this coastal segment.

3.9 Dynamic Framing and the Three Coastal Domains

The segments described, in the 1990 paper, are embedded within three broad domains that span the whole coast; they remain relevant to serve as guiding posts for versatile back-and-forth shifting of observation scales, an approach we have designated as *dynamic framing*. The three domains we identified are (Fig. 3.5)

- The *Northernmost Domain* is highly moisture- and tide-subsidized and extends from the Guyanas and Amapá (Brazil, Cape Orange, Oiapoque River) to Cape São Roque).
- The *Central Deltaic Coast Domain* extends from below Cape São Roque to Cabo Frio, as a domain characterized by warm temperatures but strong lateral constraints due to high levels of wave/energy.
- The *Cabo Frio to Laguna Domain*, largely below the Tropic of Capricorn and increasingly influenced by cold frontal systems and the convective activity of the South Atlantic Convergence Zone. This portion of the Brazilian coast is periodically and strongly influenced by local, regional (South American Monsoon System, Robertson et al. 2005), and global forcings (e.g., ENSO).

An apparent paradox by which muddy coasts act simultaneously as outwelling sources of biological organic matter while being geological sinks is resolved by recognizing a dialectical perspective between scales. In the short term, outwelling is notable and characteristic but over long temporal scales, deposition and accumulation prevail. This suggests that the CES scale integrates equilibril and nonequilibril dynamics at the scale of the whole system.

3.10 Final Remarks

It is misleading to consider coastal features as static or perceive variability as a disturbing feature. Variability is representative of complexity and although it presents obstacles to generalizing and identifying clear-cut patterns in nature, it is part of it and is present at all scales driven by external and internal factors, especially climate and self-organization. The emerging awareness about mangrove systems in sequestering carbon emissions and their contribution to climate regulation increases the relevance of continued research for education, developing robust conservation policy and for suggesting future research grounded in the emerging field of complexity science.

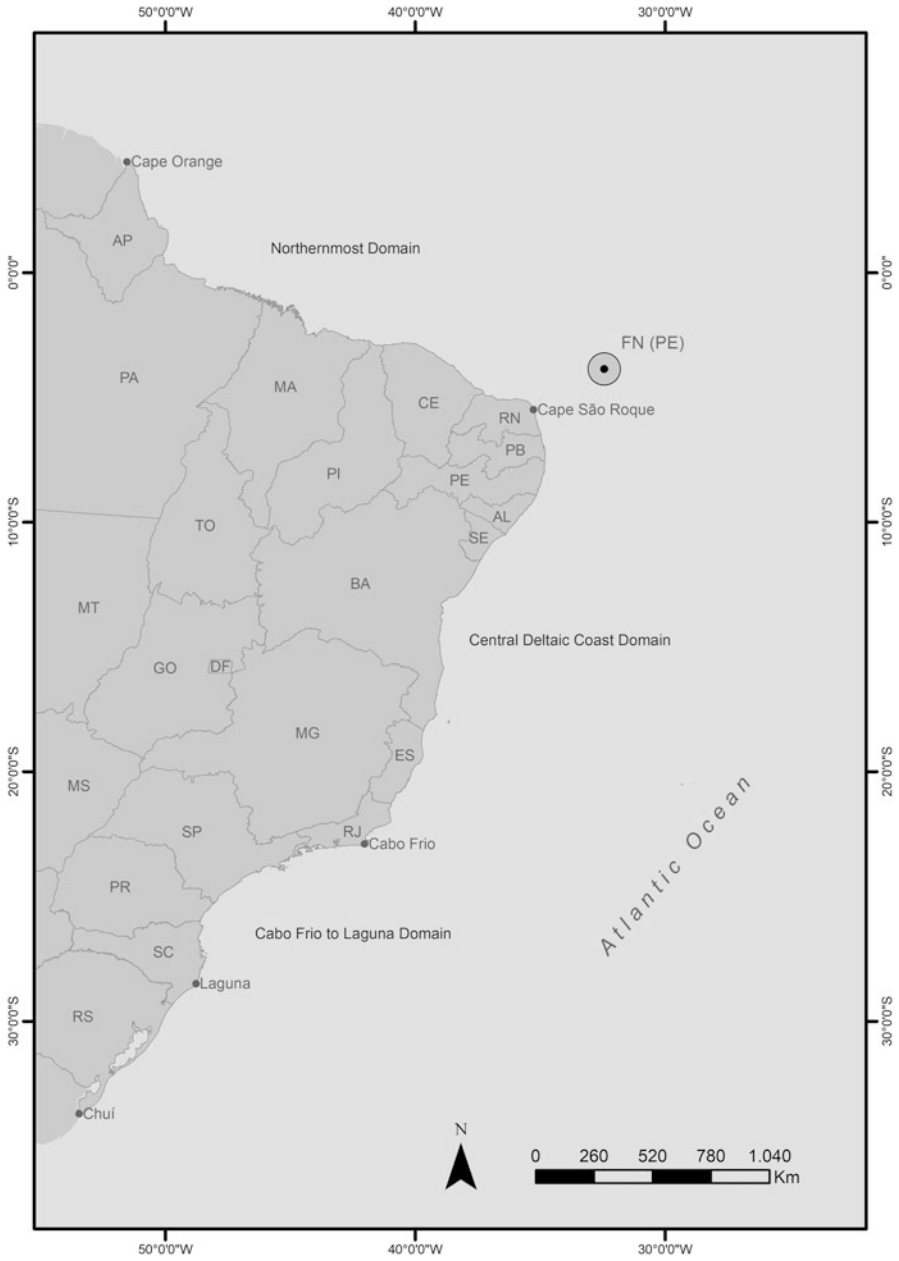


Fig. 3.5 Northernmost, Central Deltaic, and Cabo Frio to Laguna Coastal Domains

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Part II
The Mangrove Ecosystem

Chapter 4

Reproductive Phenology of the Brazilian Mangrove Species



Elaine Bernini, Frederico Lage-Pinto, and Yara Schaeffer-Novelli

4.1 Introduction

Phenology is the study of the occurrence of repetitive biological phenomena and their causes in terms of environmental factors as well as the interrelationship between phases of the same or different species (Lieth 1974). The phenological events studied in plants include vegetative phases (buds and leaf fall) and reproductive phases (flowering and fruiting).

Plant reproductive phenology is controlled by complex interactions between biotic and abiotic factors (Wolkovich et al. 2014). The biotic factors include interactions with pollinators, dispersers, and morphological and physiological adaptations (Van Schaik et al. 1993; Liebsch and Mikich 2009; Wolkovich et al. 2014), while abiotic factors include precipitation, air temperature, photoperiod, solar radiation, and soil water availability (Morellato et al. 2000; Engel and Martins 2005; Couralet et al. 2013; Wolkovich et al. 2014; Borchert et al. 2015). The reproductive patterns of tropical plants and their relationship with abiotic factors have been described for various ecosystems (Ballestrini et al. 2011; Nadia et al. 2012; Morellato et al. 2013; Rodríguez-Gallego and Navarro 2015; Ulsig et al. 2017).

The study of the reproductive phenology of plant species is fundamental to understanding the dynamics of ecosystems (Fournier 1974) since the periodicity and synchrony of phenological events influence the structure and functioning of

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communities (Williams et al. 1999; Encinas-Viso et al. 2012; Revilla et al. 2015). In addition, monitoring reproductive phenomena provides data on the quantity and quality of available wildlife resources (Bullock and Sollis-Magallanes 1990; Araújo et al. 2011), while also informing projects aiming at the recovery of degraded areas (Zamith and Scarano 2004; Garcia et al. 2009). Furthermore, phenological studies are currently being used to monitor climate change (Morellato 2008; Cleland et al. 2012) and can contribute to assessing and mitigating the consequences of land-use changes and other anthropogenic disturbances, such as fragmentation, fire, and invasive species (Morellato et al. 2010; Morellato et al. 2016).

Mangroves are highly ecologically important ecosystems (Adame et al. 2010; Mumby 2006; Donato et al. 2011) whose area has declined alarmingly in recent decades (Valiela et al. 2001; Giri et al. 2011; Hamilton and Casey 2016). The loss of mangrove forests has been caused by tourism, aquaculture, urban development, overexploitation of resources, agriculture, and industrialization (Alongi 2002), and the remnant mangroves remain under intense anthropogenic pressure, with an estimated global deforestation rate between 0.16% and 0.39% per year (Hamilton and Casey 2016) (see Chaps. 2 and 16).

Currently, mangroves are being affected by global climate changes that further aggravate anthropogenic pressures (Wong et al. 2014). Global changes in precipitation rates and air temperature as well as rising sea levels may modify reproductive phenology and reduce the production of flowers and fruits in addition to altering seed dispersal and seedling establishment (Alongi 2008; Ellison 2012; Van der Stocken et al. 2017). Thus, climate change is expected to have consequences for population dynamics and the biogeographical distribution of mangrove species (Perry and Mendelssohn 2009; Van der Stocken et al. 2017).

This chapter aims to assess the state-of-the-art research on reproductive phenology in mangroves in Brazil. We address methodological issues, describe patterns of flowering and fruiting, and highlight knowledge gaps on the phenology of mangrove species. The review was carried out by conducting a bibliographical survey of scientific journals and books as well as theses, dissertations, and monographs, since such references cover a large portion of the studies of the phenology of mangrove species in Brazil, namely, *Avicennia germinans* (L.) Stearn, *Avicennia schaueriana* Stapf & Leechm. ex Moldenke, *Laguncularia racemosa* (L.) Gaertn. f., *Rhizophora harrisonii* Leechman, *Rhizophora mangle* L., and *Rhizophora racemosa* G. F. Meyer.

4.2 Methodological Approaches

In Brazil, most studies of reproductive phenology monitor flowering (Fig. 4.1) and fruiting (Fig. 4.2), but the definition of phenophases varies according to the species. For *Rhizophora mangle*, for example, the recorded phenophases may be flower buds, flowers at anthesis, fruits, and propagules, while for *Avicennia schaueriana* and *Laguncularia racemosa*, they may be buds, flowers, and propagules (Nadia et al. 2012). Some authors also record immature and mature fruits (Matni 2007; Lima



Fig. 4.1 Flowers of (a) *Avicennia germinans*, (b) *A. schaueriana*, (c) *Laguncularia racemosa*, and (d) *Rhizophora mangle*. (Photos: Clemente Coelho-Jr)

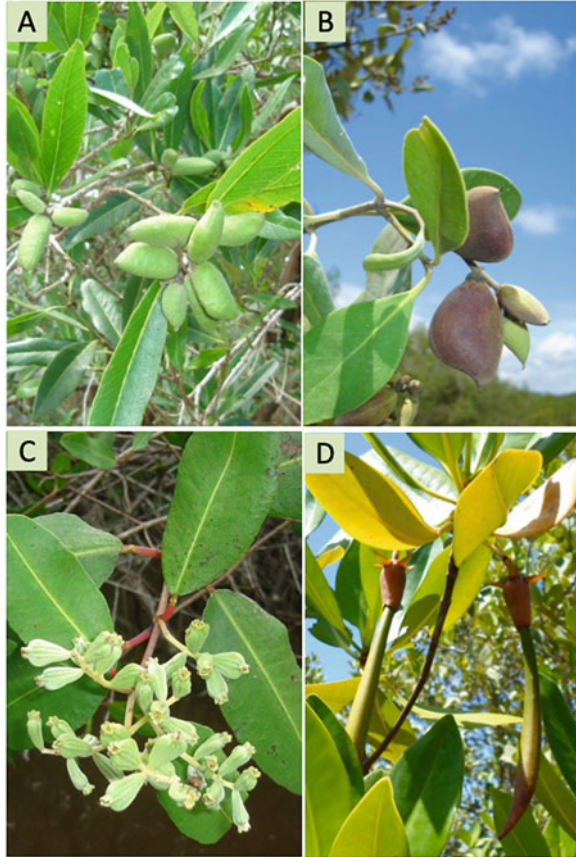
2012). The detailed monitoring of flowering (buds and flowers) and fruiting (immature fruits, mature fruits, and propagules) is useful to better plan recovery actions for degraded areas.

The research methodology can influence the analysis and interpretation of the phenological patterns, making it difficult to compare results among studies, so the choice of the evaluation method is of relevance (Bencke and Morellato 2002; D’Eça-Neves and Morellato 2004). A total count of flowers and fruits is virtually impossible for trees, so direct and indirect methods have been developed to monitor reproductive phenophases.

In Brazil, direct observation procedures for mangrove species include the semi-quantitative methods of canopy counting (Fernandes 1999) and Fournier intensity (Fournier 1974), in which the number of objects (e.g., flower buds or fruits) in different categories is recorded on an ordinal scale. Direct observation can be carried out by the quantitative method of branch counting (Christensen 1978), by which all the flowers or fruits on a branch are counted. Direct methods are faster than the indirect method (Stevenson et al. 1998; D’Eça-Neves and Morellato 2004).

The indirect method is conducted by quantifying the dry weight of phenophases, with the aid of litterfall collectors, which are baskets that come in different shapes and sizes. For mangrove species, the studies that have been carried out with collectors mainly emphasize the importance of phenological patterns in the

Fig. 4.2 Fruits of (a) *Avicennia germinans*, (b) *A. schaueriana*, (c) *Laguncularia racemosa*, and (d) *Rhizophora mangle*. (Photos: Elaine Bernini)



production of forest biomass. Such studies do not always separate reproductive structures into categories (flowers and fruits) and/or species. We found only one study (Mehlig 2006) that specifically used collectors to evaluate phenology in Brazil. However, studies that employed collectors to quantify primary production and that specified the occurring phenophases/mangrove species were also included in our data compilation.

Studies comparing direct and indirect observation methods in tropical forests have found different results. Morellato et al. (2010) reported differences in seasonal patterns, while Stevenson et al. (1998) reported similar seasonal patterns for both methods. Morellato et al. (2010) drew attention to the following deviations when estimating phenology using collectors: (1) species composition may vary between methods; (2) there is a time interval between the direct observation of a phenophase in a tree and the record of it in the collectors; and (3) there is sensitivity to local effects, such as the presence of large quantities of flowers and fruits of a certain species in the collectors or flowers or heavy fruits that overestimate production. As

mangrove species exhibit significant differences in propagule weight, the evaluation of phenology using collectors should be avoided.

Fernandes et al. (2005) studied the reproductive phenology of *L. racemosa* through the direct methods of canopy and branch counting. The authors noted the difficulty of recording more than 100 items in higher trees using the canopy counting method. It is possible to quantify the absolute number of each item more easily using the branch counting method, but this is logistically more complicated in forests with very high trees. However, the results of the two methods showed a significant correlation with both the flower phenophase ($r = 0.94$, $p < 0.001$) and the fruit phenophase ($r = 0.93$, $p < 0.001$), indicating that the indirect method is efficient for monitoring and describing reproductive phenological patterns.

The Fournier intensity (1974) has been the most-used method for mangrove species in Brazil followed by canopy counting, branch counting, and collectors (see Tables 4.1, 4.2, 4.3 and 4.4). In the northern region of the country, the most used method is canopy counting, while the Fournier method (1974) is more common in the other regions.

Sample size may also influence the characterization of phenological patterns. Fournier et al. (1975) suggested a sample size of 10 individuals to analyze the phenology of tropical tree species, but Morellato et al. (2010) recommend at least 15 trees to better estimate the pattern of a sampled population. Considering the most-used evaluation methods in Brazilian mangrove forests (Fournier 1974; Fernandes 1999), the sample number was 15 or more trees in 70% and 10 trees in 30% of the surveys analyzed, indicating an adequate sample number.

The frequency of observations is another important aspect of phenological studies. Morellato et al. (2010) showed that larger sample numbers describe phenological patterns with increasing accuracy as the frequency of observations increases, while small samples lose information and accuracy. Thus, biweekly observations (i.e., every 15 days) provide reasonable accuracy regardless of sample size. For the studies analyzed here, only Mehlig (2006) and Bernini and Rezende (2010) made biweekly observations, while the other studies performed monthly observations (see Tables 4.1, 4.2, 4.3 and 4.4). This result can be partly attributed to a lack of financial resources and logistical issues but considering that the number of sampled individuals has been adequate in most studies, the monthly observation frequency would not represent a severe loss of information (Morellato et al. 2010) that might compromise the phenological characterization by the studies here presented.

Phenological patterns may vary over time due to climate variations and differential flower and fruit production, so long-term studies (three years or more) are recommended. For Brazilian mangroves, most of the data refer to one year of observation with sampling periods exceeding two years in rare cases (see Tables 4.1, 4.2, 4.3 and 4.4). The reasons for the short study duration can be the limitation of resources, as above, as well as a short period of time available to perform the monitoring and/or achieve the study objectives since most studies are linked to dissertations and theses. Monitoring for three or more annual cycles is mainly important for studies aimed at investigating climate change, as it will have

Table 4.1 Data on reproductive phenology of *Avicennia germinans* in Brazilian mangroves in different locations (coastal state and coordinates)

Location	Method and sample number	Periodicity and duration (months)	Flowering duration (months)	Fruiting duration (months)	Flowering pattern	Fruiting pattern	Period of highest flowering intensity			Period of highest fruiting intensity				
							D	R	DRT	D	R	DRT		
Amapá ^a 02°10' N	Semiquantitative ⁱ (n = 50)	Monthly (12)	8	9–11	Annual	Annual	•					•		
Pará ^b 00°50' S	Semiquantitative ⁱ (n = 15)	Monthly (12)	5–7	1–4	Annual	Annual	•						•	
Pará ^c 00°50' S	Semiquantitative ⁱ (n = 20)	Monthly (36)	12	9–10	Continuous	Annual	•						•	
Pará ^d 00°53' S	Semiquantitative ⁱ (n = 20)	Monthly (12)	11	11	Continuous	Continuous	•						•	
Pará ^e 01°00' S	Semiquantitative ⁱ (n = 20)	Monthly (12)	9	8	Annual	Annual	•						•	
Paraíba ^f 06°49' S	Semiquantitative ^j (n = 16)	Monthly (12)	8	7	Annual	Annual	•						•	
Rio de Janeiro ^g 21°17' S	Quantitative ^k (n = 4)	Monthly (12)	10	8–9	Annual	Annual								•
Rio de Janeiro ^h 21°36' S	Quantitative ^k (n = 7)	Biweekly (24)	5–6	4–5	Annual	Annual								•

D dry, R rainy, DRT dry and rainy transition, RDT rainy and dry transition

^aFernandes (1999); ^bMatni (2007); ^cRodrigues (2015); ^dSilva and Fernandes (2011); ^eGardunho (2009); ^fLage-Pinto et al. (unpublished data); ^gBernini et al. (2014); ^hBernini and Rezende (2010); ⁱFernandes (1999); ^jFournier (1974); ^kBaskets. See Chap. 3, Maps: 1 – Amapá, 2 – Pará, 7 – Paraíba, 8 – Pernambuco, 13 – Rio de Janeiro, 14 – São Paulo, and 15 – Paraná

Table 4.2 Data on reproductive phenology of *Avicennia schaueriana* in Brazilian mangroves in different locations (coastal state and coordinates)

Location	Method and sample number	Periodicity and duration (months)	Flowering duration (months)	Fruiting duration (months)	Flowering pattern	Fruiting pattern	Period of highest flowering intensity			Period of highest fruiting intensity		
							D	R	DRT	D	R	DRT
Pernambuco ^a 07°47'S	Quantitative ^h (n = 7)	Monthly (12)	5	–	Annual	–	•					
Pernambuco ^b 07°50'S	Semi-quantitative ⁱ (n = 10)	Monthly (48)	4–7	5–6	Annual	Annual	•		•			
Rio de Janeiro ^c 22°42'S	Semi-quantitative ⁱ (n = 20)	Monthly (24)	10	9	Annual	Annual	•			•		
Rio de Janeiro ^d 23°00'S	Quantitative ^j (n = 6)	Monthly (36)	–	6–9	–	Annual					•	
São Paulo ^e 25°00'S	Quantitative ^j (n = 5)	Monthly (38)	8	4	Annual	Annual			•			
Paraná ^f 25°29'S	Semi-quantitative ⁱ (n = 10)	Monthly (13)	13	12	Sub-continuous	Sub-continuous	•		•			
Paraná ^f 25°49'S	Semi-quantitative ⁱ (n = 10)	Monthly (13)	13	12	Sub-continuous	Continuous	•		•			
Paraná ^g 25°49'S	Semi-quantitative ⁱ (n = 20)	Monthly (12)	8	3	Sub-annual	Sub-annual	•		•			•

D dry, R rainy, DRT dry and rainy transition, RDT rainy and dry transition

^aMedeiros and Sampaio (2013); ^bNadia et al. (2012); ^cRodrigues (2015); ^dCardoso et al. (2015); ^eAdaime (1985); ^fLima (2012); ^gAlvarenga (2015);

^hChristensen (1978); ⁱFournier (1974); ^jBaskets

Table 4.3 Data on reproductive phenology of *Laguncularia racemosa* in Brazilian mangroves in different locations (coastal state and coordinates)

Location	Method and sample number	Periodicity and duration (months)	Flowering duration (months)	Fruiting duration (months)	Flowering pattern	Fruiting pattern	Period of highest flowering intensity			Period of highest fruiting intensity				
							D	R	DRT	D	R	DRT		
Amapá ^a	Semiquantitative ^b (n = 50)	Monthly (12)	9–12	9–12	Sub-annual	Sub-annual	●					●		
02°10'N														
Pará ^b	Semiquantitative ^b (n = 15)	Monthly (12)	1–5	2–9	Annual	Annual	●						●	
00°50'S														
Pará ^c	Semiquantitative ^b ^a (n = 20; n = 5)	Monthly (12)	11	12	Continuous	Continuous		●					●	
00°50'S														
Pará ^d	Semiquantitative ^b (n = 20)	Monthly (12)	12	12	Continuous	Continuous		●					●	
01°00'S														
Pernambuco ^e	Quantitative ^f (n = 7)	Monthly (12)	5	–	Annual	Annual		●						
07°47'S														
Pernambuco ^f	Semiquantitative ^b (n = 10)	Monthly (48)	6–9	5–10	Sub-annual	Sub-annual		●					●	
07°50'S														
Pernambuco ^g	Quantitative ^g (n = 8)	Monthly (12)	7	7	Annual	Annual		●					●	
08°43'S														
Parabá ^h	Semiquantitative ^f (n = 16)	Monthly (12)	8	3	Annual	Sub-annual		●					●	
06°49'S														
Rio de Janeiro ⁱ	Quantitative ^g (n = 4)	Monthly (12)	3	2–4	Annual	Annual		●					●	
21°17'S														
Rio de Janeiro ^j	Quantitative ^g (n = 7)	Biweekly (24)	4	4	Annual	Annual		●					●	
21°36'S														
Rio de Janeiro ^k	Semiquantitative ^f (n = 20)	Monthly (24)	8	8–10	Annual	Annual		●					●	

22°42'S																			
Rio de Janeiro ^l	Quantitative ^s (n = 6)	Monthly (36)	–	2–6	–	Sub-annual													●
23°00'S																			
São Paulo ^m	Quantitative ^s (n = 5)	Monthly (38)	3	7	Annual	Annual													●
25°00'S																			
Paraná ⁿ	Semi-quantitative ^t (n = 10)	Monthly (13)	12	7	Sub-continuous	Annual	●												●
25°29'S																			
Paraná ⁿ	Semi-quantitative ^t (n = 10)	Monthly (13)	11	7	Sub-continuous	Annual	●												●
25°49'S																			
Paraná ^o	Semi-quantitative ^t (n = 20)	Monthly (12)	8	3	Annual	Annual													●
25°49'S																			

D dry, R rainy, DRT dry and rainy transition, RDT rainy and dry transition

^lFernandes (1999); ^mMatti (2007); ⁿFernandes et al. (2005); ^oCardunho (2009); ^sMedeiros and Sampaio (2013); ^tNadia et al. (2012); ^uLongo (2009); ^vLage-Pinto et al. (unpublished data); ^wBernini et al. (2014); ^xBernini and Rezende (2010); ^yRodrigues (2015); ^zCardoso et al. (2015); ^{aa}Aclaine (1985); ^{ab}Lima (2012); ^{ac}Alvarenga (2015); ^{ad}Fernandes (1999); ^{ae}Christensen (1978); ^{af}Fournier (1974); ^{ag}Baskets. See Chap. 3, Maps: 1 – Amapá, 2 – Pará, 7 – Paraíba, 8 – Pernambuco, 13 – Rio de Janeiro, 14 – São Paulo, and 15 – Paraná

long-term effects that may alter the phenological patterns of mangrove plants (Alongi 2008; Ellison 2012).

One variable that may change over time is the synchrony of reproductive phenophases. Synchrony refers to a simultaneous occurrence of a given phenophase between individuals and populations, but it has received little attention in phenological studies of mangrove species. In Brazil, synchrony has mainly been evaluated without the use of quantitative methods. Nadia et al. (2012) and Lage-Pinto et al. (2021) evaluated synchrony using the index proposed by Augspurger (1983). This synchrony index is a quantitative method that estimates the overlap in the flowering or fruiting period between individuals of the same species, but it does not consider the differences in the intensities of the phenophases. Freitas and Bolmgrem (2008) suggested an index that includes the total duration of the phenophase of an individual and the variation in the number of flowers and fruits within this interval. This index corrects the overestimation of synchrony when the measurement does not account for the differences in the intensity of the phenophase. The use of quantitative methods to evaluate the flowering and fruiting synchrony of mangrove species would facilitate data comparison, especially when long-term monitoring is required to evaluate phenological responses to climate change.

4.3 Phenological Patterns

Adaime (1985) was the first researcher to describe the flowering and fruiting phenological patterns of mangrove species in Brazil (São Paulo state), even though the focus was on the primary productivity of the ecosystem. Since then, studies of reproductive phenology have become more frequent but have been unevenly distributed along the Brazilian coast (Tables 4.1, 4.2, 4.3 and 4.4). This scenario reflects the distribution of both species and researchers.

In Brazil, the northern limit of the distribution of mangrove species is in Amapá State, and the southern boundary varies according to the species (Cintrón and Schaeffer-Novelli 1992) (see Chap. 1). From the northernmost portion of Brazil, *Rhizophora harrisonii* and *R. racemosa* occur until Maranhão State (Santos 1986), and *Avicennia germinans* occurs until Rio de Janeiro State (Maciel and Soffiati-Netto 1998). The state of Santa Catarina is the southern limit of occurrence of *A. schaueriana*, *L. racemosa*, and *R. mangle* (Cintrón-Molero and Schaeffer-Novelli 1981, 1992) (see Chap. 3, Maps).

Mangroves in the westmost part of the Amazonian Equatorial Coast (Amapá and Pará states) (see Chap. 1) are the subject of most of the studies since they have been the focus of a research group that aims to determine the reproductive phenological patterns of mangroves in that region (Fernandes 2016). However, there continues to be a lack of information on the phenology of the mangroves in Maranhão State, at the eastmost part of the coastal segment. Concerning the other studied mangrove areas in the country, the states of Pernambuco, Rio de Janeiro, and Paraná are the ones presenting more data on flowering and fruiting (Tables 4.1, 4.2, 4.3 and 4.4).

In terms of species, most of the data correspond to *L. racemosa* and *R. mangle*, reflecting their wider distributions, but there are no records for several Brazilian states. Despite the wide geographic distribution of *A. schaueriana*, the number of studies on this species is relatively low. There are fewer studies of *A. germinans*, *R. harrisonii*, and *R. racemosa*, reflecting the smaller biogeographic range of these species in Brazil.

The reproductive phenology data of the typical mangrove species in Brazil are summarized in Tables 4.1, 4.2, 4.3 and 4.4. The frequency of the phenological patterns was classified according to Newstrom et al. (1994). The phenological patterns of *R. harrisonii* and *R. racemosa* are described throughout the text, but *Conocarpus erectus* was not included in this chapter, because, according to Lugo (1998), this species is erroneously listed as a typical mangrove species as it can tolerate salt but not flooding.

Avicennia germinans presents marked seasonality in its formation of flowers and fruits, whose pattern is mainly annual (Table 4.1). According to 75% of the studies analyzed, the presence of flowers and fruits extends for eight or more months of the year. Flowering shows a tendency toward greater intensity during the dry season, and the fruiting peak mainly occurs during the rainy season. *Avicennia schaueriana* exhibits a seasonal pattern and is mainly characterized by the annual pattern (Table 4.2). Flower production is typically highest during the dry season and was recorded as occurring over eight or more months of the year in 71% of the studies. Fruiting may occur in the dry or rainy season, but the duration of this phenomenon varies widely throughout the year along the Brazilian coast (3–12 months).

Flowering and fruiting in *L. racemosa* show mainly annual patterns, being characterized as seasonal or not (Table 4.3). Reproductive phenophases exhibit peaks in the rainy season. In most studies, flowering occurs in more than seven months, while fruiting occurs in less than eight months throughout the year.

Rhizophora mangle exhibits continuous or annual patterns for flowering and fruiting (Table 4.4). Peak flower production mainly occurs during the rainy season but can also occur in the dry season, in the transition between the dry and rainy seasons, or the transition between the rainy and dry seasons. Fruiting shows greater intensity during the rainy season. *Rhizophora harrisonii* and *R. racemosa* exhibit phenological patterns like those of *R. mangle*. In Amapá State, *R. harrisonii* presented continuous flowering and fruiting throughout the year, but flowering is more intense during the transition from the dry to the rainy season and fruiting is more intense during the rainy season (Fernandes 1999). Similar results were recorded for *R. harrisonii* and *R. racemosa* in Pará State, but the flowering peak occurred in the rainy season (Gardunho 2009).

While the reproductive phenophases of mangrove species are more intense during the dry or rainy seasons, significant correlations with precipitation may be weak or absent (Fernandes 1999; Matni 2007; Bernini and Rezende 2010; Nadia et al. 2012). Most studies have related flowering and fruiting with precipitation and air temperature, but the intensity of solar radiation, photoperiod, relative humidity, evapotranspiration, and interstitial salinity also play important roles in the reproductive

phenology of mangrove species (Nadia et al. 2012; Alvarenga 2015; Cardoso et al. 2015; Rodrigues 2015; Lage-Pinto et al. 2021).

Studies conducted at different latitudes show different results. For example, Alvarenga (2015) showed that variations in interstitial salinity promote marked seasonality in reproductive phenophases in a mangrove in Paraná State, while Matni (2007) did not find an effect of salinity on the flowering and fruiting of the mangrove species in Pará State. Bernini and Rezende (2010), who studied a mangrove in Rio de Janeiro State, and Lima (2012), who evaluated a mangrove in Paraná State, demonstrated that the flowering of *L. racemosa* and *R. mangle* mangroves was positively correlated with mean air temperature, but Nadia et al. (2012) did not find such a correlation in a mangrove in Pernambuco State. The flowering of *A. schaueriana* was significantly correlated with photoperiod in Pernambuco State (Nadia et al. 2012), but no correlation was recorded in Paraná State (Lima 2012). The phenological records of Adaime (1985) showed the seasonal influence of low temperatures on flower and fruit formation in the three mangrove species that are typical of the Cananéia region (São Paulo State). On the other hand, Fernandes (1999) found no significant relationship between flowering and abiotic factors and suggested that endogenous factors are responsible for stimulating the formation of mangrove species in Pará State.

Duke (1990) found latitudinal trends in the flowering and fructification of *Avicennia marina* Vierh in Australian mangroves, where the duration of each phenophase increased with higher latitudes. The air temperature was the main factor responsible for stimulating the reproductive cycle, playing a fundamental role in flower formation. Van der Stocken et al. (2017) found clear latitudinal patterns in the release of the fruits and propagules of 47 species of mangroves in the northern and southern hemispheres, with significant positive correlations with precipitation. The authors observed that the propagules/fruits fall from the trees during most of the year without pronounced production peaks in the equatorial zone, but at higher latitudes, the release of propagules is variable and significantly correlated with air temperature.

In general, as mangrove species exhibited a lot of variation in phenological patterns (Tables 4.1, 4.2, 4.3 and 4.4), it is not possible to establish a latitudinal pattern related to abiotic variables. However, phenological data on the species are insufficient to establish a general pattern for the Brazilian coast.

Nadia et al. (2012) showed that biotic factors also shape phenology, as they observed that mangrove species that share the same pollinators present distinct flowering strategies, but the fruiting pattern is similar among species with the same dispersal syndrome. These authors also found that precipitation, air temperature, and day length play an important role in the formation of flowers and fruits. Thus, the reproductive phenological patterns of mangrove species represent a complex response to abiotic and biotic factors. However, the results of the studies remain unclear, so further research on the correlations with these factors is needed to better understand the phenological patterns of Brazilian mangrove species since the climatic variables vary widely along the coast.

Another biotic factor that has been related to reproductive phenology is the forest structure. Silva and Fernandes (2011) evaluated the influence of structural

characteristics (height, density, and basal area) on the reproductive phenology of *A. germinans* in Pará State. The authors concluded that the structural attributes do not affect the reproductive phenology of this species, because the phenological events were synchronized, in both quantity and periodicity, in forests with distinct structural characteristics.

Regarding the timing of reproductive phenological phases, some studies have indicated flowering synchrony in populations of *A. germinans* (Fernandes 1999; Rodrigues 2005; Matni 2007; Silva and Fernandes 2011; Lage-Pinto et al. 2021), whereas asynchrony in fruit production is more common in populations of this species (Fernandes 1999; Matni 2007). In *A. schaueriana*, high intraspecific synchrony was observed in flower and fruit production (Nadia et al. 2012), but the reproductive phenophases show lack of synchrony (Fernandes 1999; Matni 2007) or low synchrony in *L. racemosa* (Nadia et al. 2012). In *R. mangle*, synchrony has not been analyzed, because this species exhibits continuous production throughout the year (Nadia et al. 2012) or has been assessed at the peak of flower or fruit production (Rodrigues 2005; Matni 2007), which indicated a lack of synchrony between populations.

4.4 Final Remarks

Direct observation methods have been used mostly to evaluate the reproductive phenology of mangrove species in Brazil. The number of individuals sampled and the frequency of observations of reproductive phenophases have been adequate in most studies, but long-term studies (more than three years) are scarce.

Further studies on reproductive phenology are needed, mainly for *A. germinans* and *A. schaueriana*. There was no record of studies to evaluate the effects of climate change on the reproductive phenology of mangrove species. Therefore, long-term studies are needed and could be made possible through collaboration among scientists who simultaneously collect data along the Brazilian coast. The information may contribute to understanding the responses of mangrove species to climate changes and establishing the reproductive phenological patterns of Brazilian mangrove forests. In addition, the inclusion of a synchrony index would facilitate data comparison.

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Chapter 5

Genetic and Epigenetic Diversity of Mangrove Plants: Markers of Adaptation in a Changing Environment



Catarina F. Lira and Renan Granado

5.1 Introduction

Mangroves have suffered great habitat loss in the last decades, at risk of disappearing in the next one hundred years (Duke et al. 2007; Polidoro et al. 2010). Brazil is one of the countries with the largest mangrove coverage in the world (Polidoro et al. 2010). Yet, they suffer constantly with intense human pressure, such as pollution, urban growth, and overexploitation of their resources, among other threats, leading to excessive habitat loss and fragmentation and smaller populations (Alongi 2002).

The adaptation process in response to environmental changes is intimately related to the natural genetic variability. This variability can be enriched or impoverished by the evolutionary process through generations as well as by ecological processes as population connectivity. Threats, such as habitat loss and fragmentation, decrease the genetic variability due to genetic drift or inbreeding. On the other hand, mutation and migration can improve variability. The population connectivity fosters gene flow, avoiding the erosion of genetic diversity inside populations and the higher genetic differentiation between populations. The balance between loss and gain of genetic variability might be biased by human impacts, which contribute to much faster and much more intense losses than gains in the species' genetic variability (Otto 2018).

The genetic diversity of a species is measured by the DNA polymorphisms of individuals and populations. There are many molecular markers in the DNA to

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measure the polymorphism level of a species. Some markers are neutral (not affected by natural selection), while others are functional or adaptive and can be directly affected by changes in the environment, thus representing adaptations. Neutral markers have been used in population studies of genetic diversity since the 1980s, but many adaptive markers are also currently used, especially after the advent of DNA sequencing.

Besides the genetic diversity, there are other ways to assess DNA variability and adaptation capacity such as epigenetic markers. Epigenetics are all changes in the DNA that are not associated with nucleotide changes, such as methylation and acetylation of cytosines and histones. Histone methylation or acetylation, cytosine methylation, and small RNAs are epigenetic phenomena directly related to gene expression, gene silencing, and environmental sensing (Rapp and Wendel 2005). The epigenetic diversity has an important role in the organism's adaptation capacity (Lira-Medeiros et al. 2010). Epigenetic diversity can contribute to adaptation to environmental changes, phenotypic plasticity, and selective pressures. Nevertheless, studies focusing on wild species' epigenetic variations and adaptation to environmental changes are still incipient in Brazil.

Although there are several studies on Brazilian mangroves, only a handful focuses on plant species, especially regarding plant genetic diversity. Genetic studies are still overlooked in Brazil due to a lack of funding, hindering scientists from addressing genetic and evolutionary questions. This chapter reviews and discusses the advances in the knowledge of genetic and epigenetic diversity of Brazilian mangrove plants in addition to comparing them with studies from other parts of the globe. There is a great potential for addressing important questions about species evolution and adaptation in the Brazilian mangroves. We hope that this chapter will bring more visibility and interest to genetic studies on such disregarded ecosystems.

5.2 The Importance of Genetic Diversity

The evolution of a species is a process based on natural genetic diversity, where the most suitable organisms will survive and persist in the environment. Natural selection is one evolutionary force that acts by selecting favorable variations in the DNA, while genetic drift is a force that reduces genetic diversity by chance. High levels of genetic diversity are expected in all species that are not under the effects of genetic drift through bottlenecks or founder effects. Scientists assess the level of genetic diversity within a species to evaluate how the evolutionary forces are acting on them.

The genetic diversity of a species is measured by the level of polymorphism of molecular markers in the DNA, either among individuals within a population or among natural populations of a species. Population studies can enlighten information on life history, breeding system (selfing or outcrossing), migration (by calculating gene flow and pollen-to-seed ratio), isolation by distance or fragmentation, bottlenecks, and other processes as well as characteristics of a certain species (Hamrick and Godt 1996).

The higher the genetic diversity, the higher the probability of success of the population or ecosystem when facing threats and environmental changes. High levels of genetic diversity within a population indicate higher outcrossing than selfing rates. This is advantageous for the long-term survival of the population. Lower levels of genetic diversity may indicate high selfing rates, restricted gene flow to other populations, or isolation by distance or fragmentation. So, these analyses can unveil ecological characteristics of the species and become key contributors to conservation strategies and management of natural plant populations.

In contrast with the mangrove species of the Eastern hemisphere, there is little knowledge on the genetic diversity of Brazilian mangroves. It is urgent for the conservation of this ecosystem that more mangrove species and populations from the Americas are studied.

5.3 Brazilian Mangrove Evolutionary Theories

The World mangrove ecosystem has evolved and diversified through a vicariance event that separated Atlantic-East Pacific (AEP) and Indo-West Pacific (IWP) mangroves. This theory is supported by the absence of shared species in these two areas within the genera *Avicennia* and *Rhizophora* (Triest 2008). Within the AEP region, there are two hypotheses for the biogeographic distribution of the species: (a) Vicariance with the Central America Isthmus (CAI) acting as a barrier for mangrove plant dispersal and (b) Long-distance dispersal (LDD) through the Atlantic Ocean causing admixture of American and African populations (Dodd et al. 2002). Both theories are supported by studies using neutral molecular markers.

Low divergence was found between East-American and West-African populations of *A. germinans*, indicating trans-Atlantic LDD events (Dodd et al. 2002; Mori et al. 2015; Nettel and Dodd 2007). Moreover, the lack of shared haplotypes of *A. germinans* in East-Pacific and West-Atlantic populations of Central America corroborated the theory that CAI emergence blocked gene flow between these populations around 3 Ma ago (Dodd et al. 2002; Nettel and Dodd 2007; Mori et al. 2015). A similar genetic structure was found for *Rhizophora mangle* and *R. racemosa*, corroborating both hypotheses for *Rhizophora* species (Cerón-Souza et al. 2010). *Laguncularia* is the third genus occurring in the AEP region. This monospecific genus (the only species being *Laguncularia racemosa*) has been better studied than the others, but there are no works including all regions of occurrence to test those hypotheses.

The mangrove species in Brazil show higher genetic diversity levels on the coastal segments I–V (see Chap. 3; equivalent to the northeast and northern Brazilian coast) than in the rest of the country, as expected. The theory behind this fact is that the Quaternary glaciations limited most of the mangroves in the equatorial regions, while range edge populations went extinct. The Brazilian populations of *R. mangle* corroborate this hypothesis (Pil et al. 2011). The low genetic diversity and high genetic drift at the range edge are also observed in IWP mangrove species, like

Sonneratia alba (Wee et al. 2017), *A. marina* (Arnaud-Haond et al. 2006), and *Lumnitzera racemosa* (Su et al. 2006). More genetic studies are needed with AEP species and populations to uncover the evolutionary patterns of Brazilian mangroves.

The decrease in mangroves' genetic diversity from North to South of the Brazilian coast is probably a result of historic fragmentation leading to genetic drift and restricted gene flow (Pil et al. 2011). Morphological differences can be observed in reduced and scarcely distributed stands in the Southeast Region of Brazil, and the relatively lower biomass. Nevertheless, the increase in studies on the genetic structure would help in elucidating the evolutionary history of mangrove plants in the country.

5.4 Methods in Genetic and Evolutionary Studies

Molecular markers are essential tools for biodiversity studies focusing on genetic diversity. These markers were initially based on morphology, proteins (isoenzymes), or large amounts of DNA. With the development of the polymerase chain reaction (PCR) technique, the former molecular markers were rapidly replaced by DNA-based methods. This new technology consists of DNA amplification, allowing the usage of small amounts of tissue and a high-throughput analysis for genetic population studies (Henry 2013). These methods are also more efficient and accurate than the previous methods.

There are several molecular markers based on PCR. The choice of the method depends on the goal of the study and its scientific question (Table 5.1). If the aim of the study is breeding information, codominant markers, which are markers that allow the identification of heterozygotes, are more suitable due to their robustness on genetic data inherited from the parents. If the goal is to analyze the population structure to answer questions about the evolutionary history of the species, all markers can be used, and the choice will depend on the availability of markers, technique knowledge, and experimental design. On the other hand, for fine-scale structure and local studies, fingerprinting dominant markers are more suitable due to the high number of polymorphisms obtained – despite being numerous and often indistinguishable between parents.

Neutral molecular markers are broadly used for measuring population genetic diversity, because they are not affected by natural selection and can be assessed by different methods. The most used neutral markers are random amplified DNA (RAPD), inter simple sequence repeat (ISSR), amplified fragment length polymorphism (AFLP), microsatellite or simple sequence repeat (SSR), and single nucleotide polymorphism (SNP) – most are based on PCR and sequencing.

Microsatellite and SNP are codominant markers that allow us to assess heterozygosity uncovering the alleles of each marker. On the other hand, dominant markers generate fingerprinting – a series of polymorphisms of markers, such as RAPD, AFLP, and ISSR. Another dominant marker is methyl-sensitive amplified

Table 5.1 Most common scientific goals and the correspondent suitable markers used in the studies on the genetic and epigenetic diversity of mangrove plants in Brazil

Aim of the study	Molecular marker type	Molecular marker
Breeding: Outcrossing and selfing rates, gene flow, number of migrants, paternity, seed-to-pollen ratio, and others	Codominant ^a markers	SSR and SNP
Population structure: Gene flow, isolation by distance, admixture, number of migrants, genetic differentiation, genetic diversity, bottlenecks, founder effect, etc.	Both dominant ^b and codominant ^a markers	All markers
Fine-scale structure: Local genetic diversity, local fragmentation/gene flow, bottlenecks, founder effect, etc.	Dominant ^b markers	Fingerprinting markers: RAPD, ISSR, and AFLP

^aCodominant markers allow the visualization of allelic polymorphism from both parents and the identification of heterozygotes

^bDominant markers do not allow identification of heterozygotes. They are fingerprinting techniques, highly polymorphic but not distinguishing alleles

SSR simple sequence repeat, SNP single nucleotide polymorphism, RAPD random amplified DNA, ISSR inter simple sequence repeat, AFLP amplified fragment length polymorphism

polymorphism (MSAP), which allows the analysis of methylated, unmethylated, and hemimethylated cytosine along the genome. This marker is linked to epigenetic phenomena and then linked to the species' adaptation to environmental conditions (Lira-Medeiros et al. 2010). MSAP can be used together with AFLP to separate the genetic and the epigenetic diversities of a species, although this combination is still rarely used albeit with the potential to elucidate evolutionary questions.

The dominant markers are very efficient for wild species studies, because they do not need previous knowledge of the DNA sequence nor expensive laboratory procedures. Fingerprinting techniques are low-cost and highly polymorphic, thus amplifying hundreds or thousands of variable markers for each sample, which is excellent for fine-scale local population studies of wild species. The disadvantage of these markers is the dominance, whereas heterozygosity is not directly assessed but inferred in the statistical analysis; then for parenting, crossing, and mapping analysis (and others), these markers are not suitable (Lira-Medeiros et al. 2006).

Codominant markers show all the alleles, from where heterozygosity and allele frequency can be directly calculated. This allows one to determine the preferential crossing system of the analyzed species, based on the Hardy-Weinberg Equilibrium. Nowadays, codominant markers are easily assessed even on wild species with the advance of sequencing and analysis methodologies. Nonetheless, genomics is still incipient within mangrove conservation efforts (Wee et al. 2019).

With the development of new technologies over time, more efficient tools will facilitate molecular markers analysis, which will become a cheaper way for obtaining fundamental information on fitness, population dynamics, and coping mechanisms to environmental changes (Allendorf et al. 2010).

As the DNA-based methods created a turning point some decades ago, the next-generation sequencing (NGS) technologies have recently created another. The NGS platforms have been applied in SNP analysis of numerous species even those whose genome sequence is not available. They have also revolutionized approaches to biology and notoriously increased the speed at which DNA sequences can be acquired, reducing costs drastically (Henry 2013). In addition, NGS may help researchers in answering many biological questions with high accuracies, such as the phylogeography of wild populations, identification of *loci* affecting fitness, adaptive variation, and inbreeding/outbreeding depression, as well as diminishing sequencing efforts and facilitating the development of new molecular markers (Allendorf et al. 2010; Henry 2013).

5.5 A Look into the Studies on Genetic Diversity

Neutral molecular markers can be assessed by polymorphic fingerprinting (dominant markers) or alleles (codominant markers). Independently of the marker, the results obtained in the laboratory are transferred and processed by specific programs according to the desired analysis, based on Euclidean, Bayesian, or multivariate analysis.

When dealing with dominant markers, heterozygosity cannot be directly calculated, so deviation from Hardy-Weinberg equilibrium (HWE) must be either assumed as null, bypassed, or assessed by other means (Lira-Medeiros et al. 2010). Due to the difficulty in correctly evaluating these genetic data, we exemplify below how to carry out a population analysis to assess the genetic diversity of a species based on dominant markers.

The data collected from the molecular analysis are transferred to tables in the numbers 0 and 1, representing the absence and presence of a marker in each locus. The data is then uploaded to a software, such as Hickory[®] (Holsinger et al. 2002). The software calculates parameters in genetic diversity by a Bayesian analysis, where uncertainties of inbreeding levels (f) and genetic differentiation (G_{ST}) are incorporated and predicted (Holsinger et al. 2002). This type of software is recommended for studies using dominant markers, because it calculates the deviation of HWE, whereas most of the genetic population programs are based on Euclidean analysis and allele frequency, which points to a bias in small and local experimental designs. The most important genetic indexes inferred by these pieces of software are shown in Table 5.2.

Table 5.2 Most important genetic indexes in population studies. The indexes, range value, and significance of obtained values

Indexes	Value	Significance
P	0–100%	0 – No polymorphism found (all samples are very similar, maybe clones) 100 – All loci are polymorphic (excellent diversity within samples)
H_S	0–1	The genetic diversity within population equivalent to expected heterozygosity for diploids: 0 – No diversity (all individuals are very similar, maybe clones) 1 – Maximum value
H_T	0–1	The total genetic diversity of the species: 0 – No diversity (all individuals are very similar, maybe clones) 1 – Maximum value
θ_{ST}	0–1	The genetic differentiation among populations: 0 – No differentiation (diversity within populations is higher than among populations; great gene flow) 1 – Populations completely differentiated (no gene flow)
G_{ST}		
F_{ST}		
f	0–1	Coefficient of inbreeding: 0 – No selfing, all outcrossing 1 – All selfing, no outcrossing

P – Percentage of polymorphic loci, H_S – Mean population heterozygosity level, H_T – Species total heterozygosity level, θ_{ST} , G_{ST} , F_{ST} – Between-population differentiation indexes, f – Inbreeding index

5.6 The Current Knowledge Obtained from Genetic and Epigenetic Markers in Mangroves of the Americas and Brazil

Genetic studies can infer evolutionary background on current populations and species. There are many studies on global and local mangrove populations and their structure, dispersal, connectivity, and how past and future events may have impacted or will impact them.

Using a high-resolution eddy-tide-resolving numerical ocean model, the dispersion of mangrove propagules across the global ocean was simulated (Van der Stocken et al. 2019). Generating connectivity matrices between mangrove habitats using ranges of floating periods, this study found high rates of long-coast transport and transoceanic dispersal across Atlantic, Pacific, and Indian Oceans, but did not observe any connectivity between populations on west Africa and east America (Van der Stocken et al. 2019). However, the spatial scale and distribution of mangrove species remain largely unknown especially because of climate change effects.

One study on the American continent collected samples along the east and west Atlantic coasts and the Pacific coast to analyze the effects of the Atlantic Ocean and the Central American Isthmus (CAI) on genetic diversity and population structure of *Avicennia germinans* (Dodd et al. 2002). They found a high differentiation between the Pacific and Atlantic populations, and a lower one among the Atlantic

populations, suggesting that the CAI has had an important influence on the population genetics' structure in this species.

Furthermore, another study analyzing not only *A. germinans*, but also *A. schaueriana*, the only two species of the genus that occur on the Brazilian coast, found new evidence of current hybridization between them using microsatellites (Mori et al. 2015). Studying the sympatric zones in Brazil, they found that this hybridization, contrary to what was thought, is symmetric, because some trees had shared and reciprocal haplotypes from both species, so the gene flow does occur bidirectionally.

In addition, previous studies also found evidence of ancient hybridization between *A. germinans* and *A. bicolor*, a species found in the eastern Pacific coast, supporting that these processes may be more common intense than previously believed. In addition to that, they suggest that the discordant molecular variation patterns between high and low mutations markers for both *A. germinans* and *A. schaueriana* may be due to a recent demographic expansion of both species, probably because they responded differently since the last glaciation, explaining the current distribution of the species in South America (*A. schaueriana* is the only that is present up to the southernmost site of the Brazilian coast) (Mori et al. 2015).

Silva et al. (2021), also studying mangrove species along the South America coast, used landscape genomic approaches to investigate the relative contributions of geographic and environmental variables to genetic variation of *A. schaueriana* and *A. germinans*. Using single nucleotide polymorphism (SNP), they concluded that the large extension and major oceanic currents of the Brazilian coast physically limit dispersal of *Avicennia* species, where the South Equatorial Current acts like a barrier to gene flow in *A. schaueriana* and the isolation pattern best explains the genetic differentiation of *A. germinans*. Additionally, for both species, they observed significant correlations between genetic variation and precipitation regimes, tidal variation, solar radiation, and temperature patterns. Studies like this provide not only an understanding of the effects of the environment on microevolutionary processes and population dynamics but also important information to predict future responses for expected global changes on coastal species for this century. Cruz et al. (2019) found divergences between northern and southern populations along the extremes of South America, mostly associated to biogenesis of photosynthetic apparatus, anthocyanin biosynthesis, and osmotic and hypoxia stress responses.

The story behind the distribution of the red mangrove *Rhizophora mangle* is very interesting. This species can be commonly found around the globe and it is very abundant in Brazil. One study analyzed *R. mangle* individuals along the Brazilian coast and concluded that the populations are not composed of a single panmictic one, presenting well-structured groups with low heterozygosity and low genetic variability (Pil et al. 2011). A conceivable hypothesis to explain the differences and genetic structure of the populations, mainly on the coastal segments I–V (see Chap. 3), is associated with climate change that occurred in the last thousands of years (Pil et al. 2011). The low variability and the reduced richness in the populations of the red mangrove on the Brazilian coast may be explained by the propagule dispersion constraint during the last glaciations, which created refugia interrupting the flow

between the north and the south of the country. This hypothesis is supported by palynological and genetic evidence (Pil et al. 2011).

Francisco et al. (2018) not only tested the genetic structure pattern in three species of *Rhizophora* (*R. mangle*, *R. harrisonii*, and *R. racemosa*) but also tested for the presence of a hybrid zone. Covering more than 4,900 km of the Atlantic Coast of South America, the results using microsatellites indicated that *R. mangle* is distantly related to individuals of *R. racemosa* and *R. harrisonii*, where *R. mangle* comprises a “pure” species. Nevertheless, the southern populations differed from the population from the north, while *R. racemosa* and *R. harrisonii* formed a different, non-admixed species and a homogeneous cluster, presenting that hybridization and introgression processes play important roles in the genus. In general, samples from the northern populations presented higher genetic variation and higher frequency of alleles, while southern populations are more homogeneous, as also seen in *Avicennia* (Mori et al. 2015).

However, individuals from both regions were clustered together, indicating that there is no abrupt break between them. The likely reason for the north-south difference regarding genetic variation is maintained by superficial ocean currents as previously suggested by Pil et al. (2011) and Mori et al. (2015), since the high-velocity from the north-northeastward North Current facilitates the movement of propagules from south to north, while the low-velocity south-southwestward Brazil Current creates a barrier to the southwestward dispersal of individuals from the northeastern populations.

Using SNP, Mori et al. (2021) used a phylogeographical approach to test hypotheses of *R. mangle*, *R. racemosa*, and *R. harrisonii* individuals from the Atlantic East Pacific region and South Pacific Islands. Besides finding that the American continent plays an important role as a barrier to gene flow within the genus as other authors suggested, they concluded that taxonomic identification based only on current morphological traits is inconsistent and could not define genetic clustering nor the phylogenetic relationships among groups on both locations. For instance, they found that *R. racemosa* from the Atlantic basin is, probably, because of the continent barrier, genetically more similar to *R. mangle* from the same basin than to *R. racemosa* from the Pacific coast. Also, it was found that *R. harrisonii* is likely composed of two independently originated and separately maintained evolutionary lineages in both sides of the American continent and were positioned between *R. racemosa* and *R. mangle* individuals (as expected due to its putative hybrid nature).

At the same study, testing evolutionary models to best explain *Rhizophora* species evolutionary history, they found a divergence between plants from both oceans’ basins and that *R. harrisonii* plants were generated by an admixture process that occurs independently in the Pacific and the Atlantic coasts, although sharing similar morphological traits, indicating that *R. harrisonii* morphotype trees should not be classified as a single hybrid species. Rejecting the unity of *R. harrisonii* and consequently proposing that there are more species than the taxa recognized for the regions, the study also reinforced the taxonomic uncertainties regarding the *Rhizophora* genus.

Moreover, founder effects can also reduce genetic diversity and richness, because they result in the loss of alleles and consequently heterozygosity. A study on the white mangrove *Laguncularia racemosa* in a mangrove restoration site at Guanabara Bay, Rio de Janeiro (Granado et al. 2018), found higher levels of genetic diversity in the autochthonous plants, which survived in the area, than in the ones planted during the restoration effort around 2007 (see Chap. 3, Map 13). Using dominant markers, the results suggest that the population of white mangroves suffered a bottleneck event that consequently increased homozygosity levels. On the other hand, a small population located at Araçá Bay, São Paulo State, showed higher levels of genetic diversity compared to Rio de Janeiro and was considered a potential source of donors to improve the genetic diversity of other areas nearby (Schaeffer-Novelli et al. 2018) (see Chap. 3, Map 14).

Another study found epigenetic diversity differentiation following morphological variation when comparing white mangrove individuals living along a salt marsh to the ones living riverside at Sepetiba Bay (Lira-Medeiros et al. 2010). This was the first study to show that epigenetic diversity can be accounted for in wild populations and can be associated with environmental and morphological characteristics. The theory was corroborated due to nonsignificant genetic differentiation between the same areas of Sepetiba Bay, leading to the conclusion that epigenetic diversity has an important role in plant adaptation decoupled of genetic diversity (Lira-Medeiros et al. 2015).

In summary, it becomes evident that mangrove species all around the world represent a very particular group of plants of unique evolutionary history and intriguing adaptive behavior, which made it possible to overcome all major environmental changes during the evolutionary period. However, recent human activities represent a threat to this resilient group of plants, causing fragmentation and consequently genetic isolation between mangrove populations. This fact accentuates the importance of genetic studies as tools to measure genetic characteristics of natural and impacted populations and subsidizing better restoration strategies and conservation management and planning decisions in a world facing such fast-paced climate changes.

5.7 The Future of Genetic and Epigenetic Studies on Mangrove Plants

Few studies are assessing the genetic and epigenetic diversity of AEP mangrove plants in comparison to many studies on IWP mangroves. A lot of questions are still to be answered about the reproductive biology, dispersal capacity, propagule dormancy, and genetic and epigenetic diversity of AEP species, especially in the coastal segments I–II in the northern Brazilian coast (see Chap. 3), where mangrove ecosystems are most prosperous.

The future of genetic studies is being transposed to genomic studies. New technologies of NGS are revolutionizing the way traditional genetic studies are done. But we still lack computer power to analyze all data that can be generated with full-power NGS and, most importantly, we lack conservation strategies that incorporate genetic and genomic data. The NGS data is particularly useful for clarifying species identity, defining potential protected areas, and understanding adaptation (Wee et al. 2019). The epigenetic studies are also migrating to the NGS platform, which brings a challenge in data analysis but also a new perspective on the adaptive and evolutionary potential of populations and species with low levels of genetic diversity.

With climate changes transforming the global environment, it is possible that increasing sea surface temperature (SST) will allow mangrove ecosystems to expand their range edge in the next years, since low SST is one of the most limiting factors for mangrove occurrence (Ximenes et al. 2018; but see Chap. 3). This could represent another chance for mangroves globally, once they have been facing increasing threats that could lead them to extinction within the next hundred years (Polidoro et al. 2010).

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Chapter 6

Latitudinal Variation in Brazilian Mangroves' Annual Litterfall as Evidence of Maximum Power and Geocological Manifestation



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6.1 Introduction

One of the major paradigms in mangrove ecology is that mangroves are highly productive systems and are considered an azonal formation present over a wide latitudinal span from the tropics to well over the subtropics, reaching latitudes close to or slightly beyond 34° (Tomlinson 1986). Mangroves visibly do best between latitudes 25°N and S where they manifest their highest productivity in terms of biomass and litterfall (Cintrón and Schaeffer-Novelli 1983; Saenger and Holmes 1991). Although in the New World the species diversity of mangroves is lower than in Asia, mangrove productivity is similar and systems under similar conditions reach similar levels of development (Saenger and Snedaker 1993).

Mangrove aboveground biomass is correlated to litterfall production in the sense that larger trees can support a larger canopy and potential for producing higher litterfall levels. Observations show that productivity is linked to hydrology with riverine systems attaining the highest levels of structural development followed by

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fringes and, finally, basins (Lugo and Snedaker 1974). However, on an aerial basis, fringes are limited to edges whereas basins can dominate a landscape.

Twilley (1995) presented summaries of biomass and litterfall accumulation and productivity of mangroves with latitude worldwide. These data show a trend for biomass accumulation to/decline with latitude, as would be expected from climatic constraints, but, surprisingly, also show high litterfall production even near latitudinal extremes. In this context, the Brazilian coastline provides an attractive opportunity to examine latitudinal patterning and ecosystem functions as geoecological manifestations, since it extends from Equatorial to Subtropical latitudes (04°26' 12"N to 33°45'07"S), an approximately 11 thousand km latitudinal span (IBGE 2016). Cintrón and Schaeffer-Novelli (1981) reported to Brazil that mangroves reach their latitudinal limit along this coast, occupying habitats below the Tropic of Capricorn (23°30'S to 28°30'S). Interestingly, solar energy decreases, and the planetary heat balance shifts from surplus incoming energy to energy deficits at about 35°S to 40°S where radiative deficits prevail (INMET: <http://www.inmet.gov.br>), which drive productivity patterns.

6.2 Brazilian Mangrove Forests' Annual Litterfall

This chapter shows assembled and analyzed data from published and unpublished studies on Brazilian mangrove forests' annual litterfall along the coast between latitudes 04°N and 27°S. The criteria for data inclusion took into consideration Proctor's (1984) considerations on data comparison issues. The requirements for inclusion were: (1) all litter components had to be included in the study, not merely the leaves; (2) all study sites should be georeferenced or ascertainably situated deriving from other sources; (3) all litterfall data had to represent at least a one-year collection, regardless of the season of commencement or termination of sampling; and (4) all collecting and weighing methods are well accepted in the literature as long as sufficient replicate traps were used to take variability into account.

Northern and southern litterfall values (all components of the litter expressed as $\text{g m}^{-2} \text{ day}^{-1}$) were treated as equivalent for mixed and monospecific mangroves communities of the various species (Saenger and Snedaker 1993).

6.2.1 Annual Litterfall

The obtained linear regression shows to be somewhat skewed (skewness = 0.1379), with several point accumulations of high tropical and subtropical values contributing to the skewing (Fig. 6.1). The assembled data ($N = 94$) are presented in Tables 6.1 and 6.2.

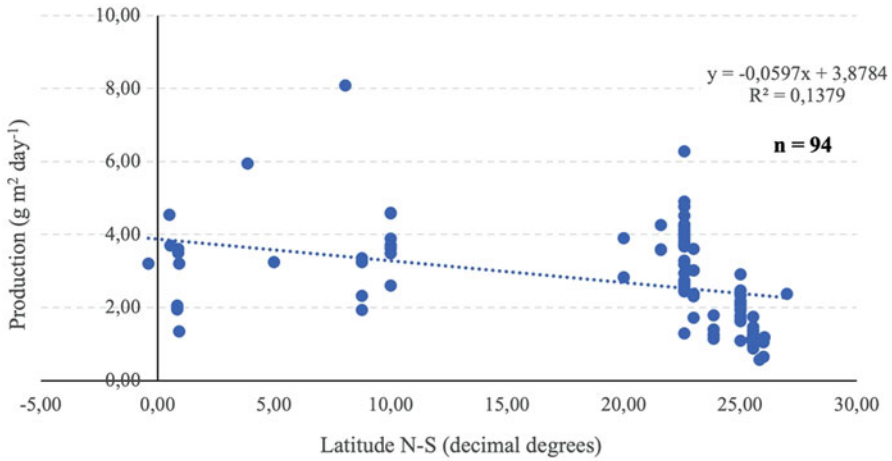


Fig. 6.1 Scatter diagram of mangrove litterfall along the Brazilian coastline. Latitudinal degrees were transformed to latitudinal decimal degrees. Litterfall and latitudinal location fed a best-fit linear regression ($y = ax + b$)

The litterfall values ranged from the highest rate of $8.08 \text{ g m}^2 \text{ day}^{-1}$ in a *Laguncularia racemosa* stand in Olinda (08°S) (Paiva and Coelho-Jr unpublished) to the lowest of $0.65 \text{ g m}^2 \text{ day}^{-1}$ in Babitonga Bay (26°S) (Cunha 2001; Almeida 2004) (see Chap. 3, Maps 8 and 16, respectively). The latitudinal variation on its own was responsible for ca. 14% of the variation in productivity, with a lesser trend in higher latitudes.

6.3 On the Causes for Litterfall Productivity

When there are no site-specific growth constraints, litterfall production is proportional to solar insolation, which, in turn, reflects the generally increased structural complexity of mangrove communities under optimal growing conditions in the tropics.

Pool et al. (1977) presented data on tree height and latitude of mangroves in the western hemisphere. Their data, although not statistically significant, showed a similar trend for the relationship between biomass and latitude. Nevertheless, data on Brazilian litterfall show surprisingly high productivity even near the limits of distribution, as it has been observed in a few other studies, such as Twilley's (1995) and López-Medellín and Ezcurra's (2012) in Mexico.

Litterfall values strongly reflect latitude influences through insolation, temperature, and freshwater availability. Within equatorial latitudes, ample solar radiation in terms of day length duration and solar angle, combined with warm temperatures and suitable site factors, favors high productivity that prevails to about 10°S (Saenger

Table 6.1 Ninety-four litterfall data ($\text{g m}^2 \text{day}^{-1}$) along the Brazilian coast latitudinal span with respective references

Latitude	Production ($\text{g m}^2 \text{day}^{-1}$)	References
(04°N)	3,20	Fernandes (1997)
(00°)	2,05	Gonçalves et al. (2006)
(00°)	1,95	Gonçalves et al. (2006)
(00°)	2,03	Fernandes et al. (2007)
(00°)	3,50	Mehlig (2001)
(00°)	3,60	Mehlig (2001)
(00°)	3,20	Nascimento et al. (2006)
(00°)	1,35	Farias et al. (2006)
(00°)	3,70	Reise (2003)
(00°)	4,55	Nordhaus (2004)
(03°S)	5,94	Travassos et al. (2012) <i>unpublished</i>
(05°S)	3,25	Rêgo (1999)
(08°S)	3,24	Longo (2009)
(08°S)	2,32	Longo (2009)
(08°S)	3,35	Longo (2009)
(08°S)	1,94	Longo (2009)
(08°S)	8,08	Paiva and Coelho-Jr (<i>unpublished</i>)
(10°S)	3,49	Menezes (2010)
(10°S)	3,89	Menezes (2010)
(10°S)	4,59	Menezes (2010)
(10°S)	4,58	Menezes (2010)
(10°S)	3,71	Santos (2013)
(10°S)	3,62	Santos (2013)
(14°S)	2,60	Santos (2009)
(20°S)	3,90	Carmo et al. (1998)
(20°S)	2,83	Carmo et al. (1998)
(21°S)	3,58	Bernini and Rezende (2010)
(21°S)	4,26	Bernini and Rezende (2010)
(21°S)	3,59	Bernini and Rezende (2010)
(22°S)	3,81	Chaves (2007)
(22°S)	3,67	Chaves (2007)
(22°S)	3,92	Chaves (2007)
(22°S)	2,93	Chaves (2007)
(22°S)	2,47	Chaves (2007)
(22°S)	4,90	Chaves (2007)
(22°S)	4,11	Chaves (2007)
(22°S)	4,52	Chaves (2007)
(22°S)	4,77	Chaves (2007)
(22°S)	4,22	Chaves (2007)
(22°S)	3,29	Chaves (2007)
(22°S)	4,00	Chaves (2007)
(22°S)	2,55	Chaves (2007)

(continued)

Table 6.1 (continued)

Latitude	Production ($\text{g m}^2 \text{ day}^{-1}$)	References
(22°S)	3,70	Chaves (2007)
(22°S)	6,27	Chaves (2007)
(22°S)	2,68	Chaves (2007)
(22°S)	2,44	Chaves (2007)
(22°S)	4,27	Chaves (2007)
(22°S)	3,73	Chaves (2007)
(22°S)	1,29	Chaves (2007)
(22°S)	2,63	Chaves (2007)
(22°S)	3,95	Chaves (2007)
(22°S)	2,74	Chaves (2007)
(22°S)	3,18	Chaves (2007)
(23°S)	2,31	Machado (2014)
(23°S)	3,02	Machado (2014)
(23°S)	3,60	Machado (2014)
(23°S)	3,02	Machado (2014)
(23°S)	2,33	Machado (2014)
(23°S)	1,72	Machado (2014)
(23°S)	2,38	Silva et al. (1998)
(23°S)	1,79	Lamparelli (1995)
(23°S)	1,40	Lamparelli (1995)
(23°S)	1,25	Lamparelli (1995)
(23°S)	1,14	Lamparelli (1995)
(25°S)	2,34	Valadares (2015)
(25°S)	1,09	Ponte et al. (1990)
(25°S)	2,08	Adaime (1985)
(25°S)	1,67	Adaime (1985)
(25°S)	2,47	Menezes (1994)
(25°S)	1,77	Menezes (1994)
(25°S)	2,11	Menezes (1994)
(25°S)	2,91	Almeida (2004)
(25°S)	2,17	Almeida (2004)
(25°S)	2,05	Almeida (2004)
(25°S)	1,93	Almeida (2004)
(25°S)	1,62	Almeida (2004)
(25°S)	1,12	Larcher (2014)
(25°S)	0,57	Larcher (2014)
(25°S)	0,97	Sessegolo (1997)
(25°S)	1,75	Sessegolo (1997)
(25°S)	1,39	Sessegolo (1997)
(25°S)	1,26	Sessegolo (1997)
(25°S)	0,97	Sessegolo (1997)
(25°S)	0,87	Sessegolo (1997)

(continued)

Table 6.1 (continued)

Latitude	Production ($\text{g m}^2 \text{ day}^{-1}$)	References
(25°S)	1,36	Sessegolo (1997)
(25°S)	1,19	Sessegolo (1997)
(25°S)	1,35	Sessegolo (1997)
(25°S)	1,47	Sessegolo (1997)
(25°S)	1,05	Cunha (2001)
(25°S)	0,65	Cunha (2001)
(26°S)	1,19	Silva (2001)
(27°S)	2,37	Panitz (1986)

and Snedaker 1993; Simrad et al. 2019). However, what is interesting in these data is the peak extending south of about 23°30'S in a region where sun radiative deficits become more pronounced and evident. It seems that this reflects the propensity of mangroves to persist by maximizing the use of available subsidiary energies through autocatalytic growth that reinvests high-quality energy into compartments that reinforce further production, thus generating maximum power. Following Boltzmann (1886), Lotka (1922) proposed the Principle of Maximum Power, which has wide application in all thermodynamic systems. In Ecology, Odum and Pinkerton (1955) associated this phenomenon with autocatalysis. This Principle suggests that evolutionary selection favors systems that can maximize energy flow by using all available site energies and reinvesting captured energy into ways favorable to persistence close to the edge of species' or system's tolerances.

At the ecosystem level, Lotka's Maximum Power Principle (MPP) means selection for increasingly tolerant species or beyond into higher-level designs that combine other systems such as salt marshes. We suggest that the MPP is manifested in mangroves as Maximum Ascendency (*sensu* Ulanowicz 1980). The Brazilian litterfall data provides empirical evidence for the MPP and associated maximization functions, for example, Goal Functions (*i.e.*, ascendency) described in the literature by Odum (1969) and Jørgesen (1992), among others. The MPP is increasingly recognized as an ecological law of thermodynamics (Odum and Pinkerton 1955; Jørgensen 1992).

In the case of Brazil, the assertiveness of mangroves is combined with coastal geomorphology, tidal regime, and local climate (see Chap. 3) to create extremely favorable conditions that allow mangroves to persist poised at the edge of chaos where environmental conditions preclude further occupation of coastal habitats.

In Southeast Brazil, the coastal escarpment reaches about latitude 23°S; beyond that point, the coastline becomes more indented (or higher fractal dimension) within a region increasingly influenced by the South Atlantic Convergence Zone convective activity (SACZ); at 20°–25°S. The SACZ conveys enhanced precipitation and increasing moisture that, combined with a favorable microtidal (less than 2 m) regime and geomorphology, creates favorable habitats very close to the latitudinal limit. Notably, a microtidal to mesotidal pulsing regime is considered optimal for salt marsh development (Odum et al. 1995) as is for barrier island formation (Davies 1973; Hayes 1975). Thus, the 20°–28°S structural setting of the Brazilian coast

Table 6.2 Ninety-four litterfall data ($\text{g m}^{-2} \text{day}^{-1}$) along the Brazilian coast latitudinal span with respective references

Latitude	Production ($\text{g m}^{-2} \text{day}^{-1}$)	References	Latitude	Production ($\text{g m}^{-2} \text{day}^{-1}$)	Reference	Latitude	Production ($\text{g m}^{-2} \text{day}^{-1}$)	References
(04°N)	3,20	Fernandes (1997)	(22°S)	3,92	Chaves (2007)	(23°S)	1,25	Lamparelli (1995)
(00°)	2,05	Gonçalves et al. (2006)	(22°S)	2,93	Chaves (2007)	(23°S)	1,14	Lamparelli (1995)
(00°)	1,95	Gonçalves et al. (2006)	(22°S)	2,47	Chaves (2007)	(25°S)	2,34	Valadares (2015)
(00°)	2,03	Fernandes et al. (2007)	(22°S)	4,90	Chaves (2007)	(25°S)	1,09	Ponte et al. (1990)
(00°)	3,50	Mehlig (2001)	(22°S)	4,11	Chaves (2007)	(25°S)	2,08	Adaime (1985)
(00°)	3,60	Mehlig (2001)	(22°S)	4,52	Chaves (2007)	(25°S)	1,67	Adaime (1985)
(00°)	3,20	Nascimento et al. (2006)	(22°S)	4,77	Chaves (2007)	(25°S)	2,47	Menezes (1994)
(00°)	1,35	Farias et al. (2006)	(22°S)	4,22	Chaves (2007)	(25°S)	1,77	Menezes (1994)
(00°)	3,70	Reise (2003)	(22°S)	3,29	Chaves (2007)	(25°S)	2,11	Menezes (1994)
(00°)	4,55	Nordhaus (2004)	(22°S)	4,00	Chaves (2007)	(25°S)	2,91	Almeida (2004)
(03°S)	5,94	Travassos et al. (2012) ^a	(22°S)	2,55	Chaves (2007)	(25°S)	2,17	Almeida (2004)
(05°S)	3,25	Rêgo (1999)	(22°S)	3,70	Chaves (2007)	(25°S)	2,05	Almeida (2004)
(08°S)	3,24	Longo (2009)	(22°S)	6,27	Chaves (2007)	(25°S)	1,93	Almeida (2004)

(continued)

Table 6.2 (continued)

Latitude	Production (g m ² day ⁻¹)	References	Latitude	Production (g m ² day ⁻¹)	Reference	Latitude	Production (g m ² day ⁻¹)	References
(08°S)	2,32	Longo (2009)	(22°S)	2,68	Chaves (2007)	(25°S)	1,62	Almeida (2004)
(08°S)	3,35	Longo (2009)	(22°S)	2,44	Chaves (2007)	(25°S)	1,12	Larcher (2014)
(08°S)	1,94	Longo (2009)	(22°S)	4,27	Chaves (2007)	(25°S)	0,57	Larcher (2014)
(08°S)	8,08	Paiva and Coelho-Jr (unpublished) ^a	(22°S)	3,73	Chaves (2007)	(25°S)	0,97	Sessegolo (1997)
(10°S)	3,49	Menezes (2010)	(22°S)	1,29	Chaves (2007)	(25°S)	1,75	Sessegolo (1997)
(10°S)	3,89	Menezes (2010)	(22°S)	2,63	Chaves (2007)	(25°S)	1,39	Sessegolo (1997)
(10°S)	4,59	Menezes (2010)	(22°S)	3,95	Chaves (2007)	(25°S)	1,26	Sessegolo (1997)
(10°S)	4,58	Menezes (2010)	(22°S)	2,74	Chaves (2007)	(25°S)	0,97	Sessegolo (1997)
(10°S)	3,71	Santos (2013)	(22°S)	3,18	Chaves (2007)	(25°S)	0,87	Sessegolo (1997)
(10°S)	3,62	Santos (2013)	(23°S)	2,31	Machado (2014)	(25°S)	1,36	Sessegolo (1997)
(14°S)	2,60	Santos (2009)	(23°S)	3,02	Machado (2014)	(25°S)	1,19	Sessegolo (1997)
(20°S)	3,90	Carmo et al. (1998)	(23°S)	3,60	Machado (2014)	(25°S)	1,35	Sessegolo (1997)
(20°S)	2,83	Carmo et al. (1998)	(23°S)	3,02	Machado (2014)	(25°S)	1,47	Sessegolo (1997)

(21°S)	3,58	Bernini and Rezende (2010)	(23°S)	2,33	Machado (2014)	(25°S)	1,05	Cunha (2001)
(21°S)	4,26	Bernini and Rezende (2010)	(23°S)	1,72	Machado (2014)	(25°S)	0,65	Cunha (2001)
(21°S)	3,59	Bernini and Rezende (2010)	(23°S)	2,38	Silva et al. (1998)	(26°S)	1,19	Silva (2001)
(22°S)	3,81	Chaves (2007)	(23°S)	1,79	Lamparelli (1995)	(27°S)	2,37	Panitz (1986)
(22°S)	3,67	Chaves (2007)	(23°S)	1,40	Lamparelli (1995)			

^aUnpublished

shapes a mesoscale physiographic/climatic complex that harbors the largest estuarine systems of Southeast Brazil (Angulo et al. 2009). This geomorphic template subsidizes mangrove occupation, where they can act as an endogenous living force capable of modifying geomorphic processes and buffering exogenic (climate-driven limitations). As a result, mangrove productivity instead of decreasing gradually ends abruptly at Laguna, Santa Catarina State, near 28°30'S (see Chap. 3, Map 16), contradicting/contrasting with what is assumed as a pattern for Australia, New Zealand, and Japan (Tomlinson 1986; Friess 2018). We suggest that mangroves here can be considered as “surfing at the edge of chaos,” and this is manifested in fractal dynamics on the ground, such as persistent change (or pulsing) at local scales, rather than a steady state.

An appearance of steady state may be induced by constant recruitment. Pulsing at a local scale can induce persistent changes in vegetation patterns consistent with pulsing driven by slight external changes in climate and internal (local scale) changes. A steady state at the landscape level is an emergent function of local scale pulsing. The prevalence of pulsing in nature led Odum et al. (1995) to propose it as a paradigm of nature's organization and suggest that pulsing can be interpreted as oscillations at the edge of chaos.

Mangroves frequently express a high within-region diversity of structural patterns, and an equally high diversity of functional roles (Lugo and Snedaker 1974; Pool et al. 1977). Based on knowledge of the dataset here analyzed, structural characteristics (i.e., high values for height, biomass, and litterfall) indicate optimum habitats, particularly concerning reduced salinity regimes, optimal climatic conditions, site-specific fertility, as also observed where human input of domestic sewage nutrients influences the high values of productivity at Olinda (PE) and Guanabara Bay (RJ) (see Chap. 3, Maps 8 and 13, respectively). The slope of the present regression (0.138) is not significantly different from the slope in Saenger and Snedaker's (1993) regression (0.201, Eq. 5). The high value at Fernando de Noronha (see Chap. 1) (see Chap. 3, 18) can be explained by rich volcanic soil and low salinity values. In contrast, the low-value sites are mainly characterized by natural stress situations, for example, aridity and poor fertility (Cintrón et al. 1978). Although the correspondence is not precise, these general regional trends are consistent with the more specific conclusions drawn by Pool et al. (1977) and Saenger and Snedaker (1993).

Finally, south of 28°30'S, sediment transport by wave action, the microtidal tidal regime (mean range only 0.5 m) and freshwater runoff from a humid climate become too adverse for mangrove establishment. Particularly, a barrier system of Rio Grande do Sul State acts as a dam to freshwater input, which combined with restricted tidal influence favors freshening of the choked lagoon and the emergence of a “cut-off” filter or “brick wall” that impedes mangrove and salt marsh development further south. This barrier system is one of the longest in South America and one of the longest in the world. Salt marshes do reappear at the southern end near Cassino Beach (see Chap. 1) where the inlet allows seawater intrusion into the lower lagoon. The reduction in tidal influence reflects the influence of an amphidromic point off the coast of Rio Grande do Sul that reduces the main lunar component of the tide (Schwidorski 1980) (see Chap. 3, 17).

6.4 Final Remarks

The assembled data on Brazilian litterfall and corresponding analyses fully support Saenger and Snedaker's (1993) results that within the global mangrove community, the indices of organic production are highest at the lower latitudes. Nevertheless, mangrove communities below the Tropic of Capricorn latitudes are capable of larger litterfall rates relative to their biomass than more tropical ones. We suggest that this reflects the propensity of mangroves to persist by maximizing the use of available subsidiary energies combined by a combination of unusually favorable biotic and abiotic factors south of 23°30'S.

The Brazilian coast provides an attractive opportunity to examine latitudinal patterning and ecosystem functions as geoecological manifestations due to its extension. Also, its range makes it possible to study mangroves at the edge of chaos near their latitudinal limit. There is a great potential of addressing important questions about mangrove species' evolution and adaptation, especially in a country with such extensive coastal length. We hope that this chapter will bring more visibility and interest to combined studies on mangrove structure and genetics.

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Part III
Mangrove and Salt Marsh Associates

Chapter 7

The Microbiota of Brazilian Mangroves



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7.1 Introduction

Mangrove sediments are complex systems affected by the interaction among geological, hydrological, physicochemical, and biological factors (Köster and Meyer-Reil 2001) that are intensively colonized by microorganisms such as bacteria, archaeon, fungi, cyanobacteria, algae, virus, and protozoa. These microorganisms play an important role in the cycles of matter and energy in sediments (Danovaro et al. 2000; Moreno et al. 2006).

As in the water column, high metabolism leads to an increase in the biomass of microbial producers, consumers, and decomposers, forming a microbial food chain called “microbial loop” (Azam et al. 1983), responsible for the transfer organic matter and energy to the higher trophic levels and nutrient regeneration, contributing to the formation of humic substances and oxygen, which will be consumed through aerobic metabolism, consequently exerting influence on the carbon transference of the food chain of mangrove sediments (Danovaro et al. 2000).

The microbial contribution to the system depends on the density, diversity, and activity of the present microorganisms, which in turn are influenced by biotic and abiotic factors. Among them, densities and species of prey and predator, sediment composition, organic and inorganic matter concentrations, local hydrodynamics, granulometry, and temperature. On the other hand, microorganisms influence the sediments where they occur through their metabolic activity (Peroni and Rossi 1986; Deming and Baross 1993). The main questions in the microbial ecology of

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mangroves are how the microbiological communities are adapted to the conditions of this environment and what are the main factors controlling the diversity, distribution, and activity of these organisms (Moreno et al. 2006).

The importance of bacterial, cyanobacterial, and fungal species is being widened since the products of their metabolism have been the subject of bioprospecting studies and many species have been isolated from mangrove sediments due to their capacity to biodegrade certain compounds and produce enzymes and molecules of commercial interest (Demain 2007; van Dommelen et al. 2016; Luna and Townsend 2007).

This chapter will cover the knowledge on the Brazilian mangrove microbial loop, including studies on the diversity of bacteria, archaea, fungi, and viruses in mangrove sediments.

7.2 Microbial Loop

Microorganisms play a major role in the maintenance of marine ecosystems. Nevertheless, for many years, they have received little attention from researchers. Aquatic bacteria have great importance not only in the decomposition of organic matter and in the remineralization of inorganic nutrients (Pomeroy et al. 2007), but also in adding energy to higher levels. In some ecosystems, such as mangroves, microbial processes greatly contribute to productivity, regenerating nutrients and exporting them to adjacent ecosystems (Hemminga et al. 1994; Alongi 1996; Holguin et al. 2001; Sjöling et al. 2005).

Until the end of the 1970s, researchers believed that the role of microorganisms, in the traditional food web, was only as a food source to planktonic organisms, and discarded them in studies of carbon flux dynamics (Fenchel 2008; Andrade 2015). The idea was of a straight flow, where microorganisms were just the base of the food web, giving no direct input of energy and carbon to the higher levels. But, with the development of new techniques and study methods (e.g., estimate growth rates), and the improvement of the existing ones, the idea of a straight flow became outdated (Pomeroy 1974; Steele 1974; Azam et al. 1983; Azam 1998; Fenchel 2008). Herewith, scientists discovered that the counts of microorganisms were underestimated, corresponding to only about 10% of the actual number of organisms in nature (Krambeck et al. 1981; Azam et al. 1983; Andrade 2015).

However, what is the *Microbial loop*? In the early 1980s, Azam et al. (1983) coined the term to describe the result of several ecological interactions between microorganisms involved and the pathways of nutrients (Fig. 7.1). Figure 7.2 displays examples of microorganisms commonly found in Brazilian mangrove sediments.

Most of the dissolved organic matter (DOM) in the marine environment is controlled by microorganisms, mainly by heterotrophic bacteria, cyanobacteria, and heterotrophic nanoflagellates (Pomeroy 1974; Anderson and Ducklow 2001). The cyanobacteria are a significant portion of the autotrophic phytoplanktonic

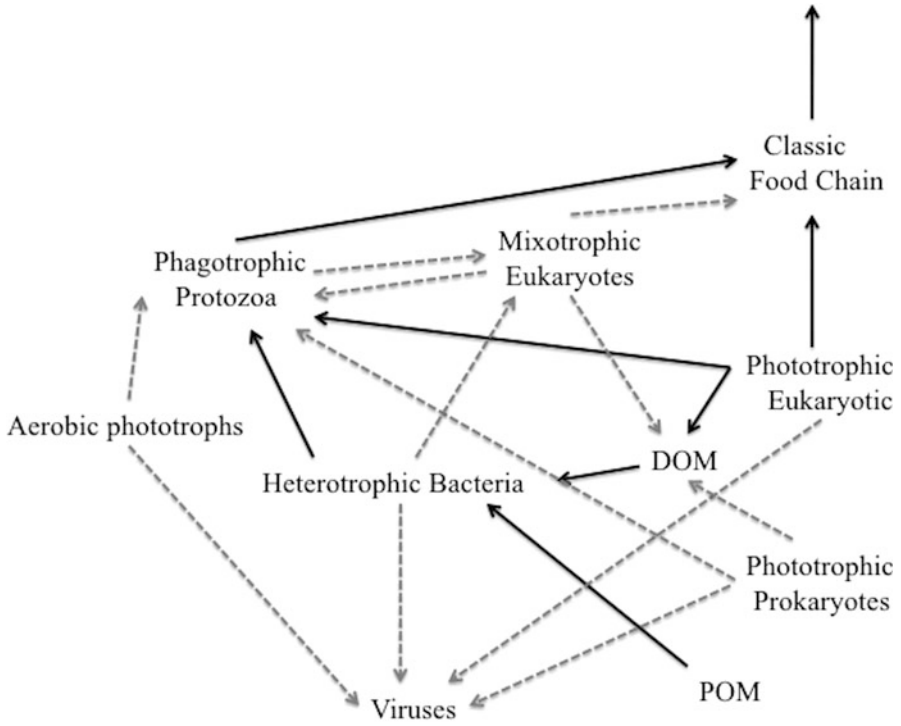


Fig. 7.1 Overview of general microbial loop pathways that also operate in mangrove sediments. Black arrows: Microbial Loop described by Azam et al. (1983). Gray dotted arrows: subsequent additions to the microbial loop. *POM* particulate organic matter. *DOM* dissolved organic matter. (Adapted from Fenchel 2008)

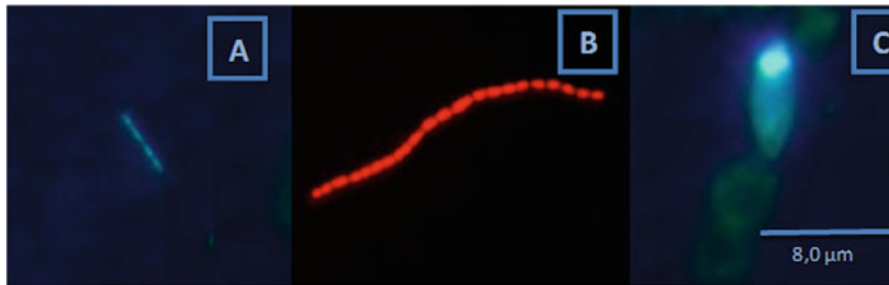


Fig. 7.2 Heterotrophic bacteria, cyanobacteria, and nanoflagellates from Brazilian mangrove sediment samples. (a) Heterotrophic bacteria colored with fluorochrome DAPI (4',6-diamidino-2-phenylindole), (b) Self-fluorescence of cyanobacteria chain, and (c) Heterotrophic nanoflagellate with DAPI (4',6-diamidino-2-phenylindole)

biomass, being responsible for a significant part of the primary production in aquatic ecosystems, with densities of about 10^5 cells mL^{-1} (Oliveira 2000; Pomeroy et al. 2007; Pereira 2014). The heterotrophic bacteria are responsible for about 30% of the primary production in coastal waters, with average densities of 10^6 cells mL^{-1} (Pereira 2014). They also have enzymes that develop important activities (e.g., cellulolytic, pectinolytic, amylolytic, and proteolytic), which allow the decomposition of mangrove matter (Matondkar et al. 1981).

Nanoflagellates present average densities of 10^3 cells mL^{-1} and are great predators of autotrophic and heterotrophic picoplankton, developing an essential ecological role in the control of the bacterial community (Caron et al. 1991; González 1993; Fernandes and Mesquita 1999; Oliveira 2000; Pereira 2011; Pereira 2014; Andrade 2015). In the early 1990s, viruses were added to the model of the Microbial Loop, acting in the control of bacterial density (Proctor and Fuhrman 1990; Oliveira 2000). They can change the distribution of particles and other organisms in any trophic level (Proctor and Fuhrman 1990; Bratbak et al. 1992, 1994; Thingstad et al. 1993; Fenchel 2008). According to Bratbak et al. (1994), viral densities vary from 2×10^6 to 50×10^6 Virus Particle-Like (VPL) mL^{-1} , in coastal and estuarine ecosystems, much higher than in offshore and oceanic systems (0.2×10^6 – 2×10^6 VPL mL^{-1}). Also, the grazing rates of bacteria over viruses are similar to protozoan grazing rates, but since viruses are highly specific, their effects are different and sustain the high diversity of bacteria (Fenchel 2008).

Focusing on the mangrove ecosystem, the mineralization of organic matter in sediments, especially nitrogen fixation, is an important role of microorganisms (Sjöling et al. 2005). The mangrove sediment is rich in organic matter, receiving abundant light and water, resulting in very high biomass production rates (Ghizelini et al. 2012). According to Alongi (2002), the microbial biomass in mangrove sediments is never greater than 1.2% of the total mass of detritus, and 91% of this biomass is composed of bacteria and fungi.

Unfortunately, according to Ghizelini et al. (2012), many Brazilian mangroves are contaminated, which can modify their hydrology, sediment composition, and nutrient dynamics (Lee et al. 2006). There are only a few studies about the microbial loop in Brazil, especially in the sediment of coastal and mangrove areas. Most studies were carried out in the Southeast coast, relating microbial densities to some anthropogenic impacts (e.g., sewage discharge and oil spills), population structure, grazing (e.g., nanoflagellates, viruses), and abiotic factors (Mesquita and Fernandes 1996; Fernandes and Mesquita 1999; Koch and Wolff 2002; Oliveira et al. 2007; Gomes et al. 2010; Souza et al. 2010; Pereira 2011; Moraes et al. 2014; Pereira 2014; Andrade 2015; Quintana et al. 2015; Santana et al. 2021; Tavares et al. 2021; Bezerra et al. 2022).

In recent years, with the development of pyrosequencing and new-generation sequencing techniques, in Brazil, the focus has been on the study of microbial diversity, as can be seen in the next session.

7.3 Microorganisms in Brazilian Mangrove Sediments

Mangroves are known to be rich in microbial diversity, which is fundamental to their homeostasis. According to Alongi (2002), about 91% of the mangrove microbial biomass corresponds to bacteria and fungi involved in nutrient transformation, biogeochemical cycles, and, for some specific groups such as cyanobacteria, in photosynthesis. Besides, they present a high biotechnological potential for being used in bioremediation and the production of certain substances.

These microorganisms not only contribute to high productivity but are also responsible for most of the carbon flow in the sediments, since much of the organic matter retained there undergoes degradation or chemical modification by the microorganisms (Kristensen et al. 1998).

Thus, due to the great importance of microorganisms mangrove ecosystems, knowing their diversity and the processes they carry out can contribute to the advancement of knowledge about the functioning of ecosystems, as well as to the development of protection measures and more effective public policies. In the next sections, we will explore a little more about the diversity of bacteria, archaea, fungi, and viruses in the Brazilian mangroves.

7.3.1 *Bacterial and Archea Diversity in Brazilian Mangrove Sediments*

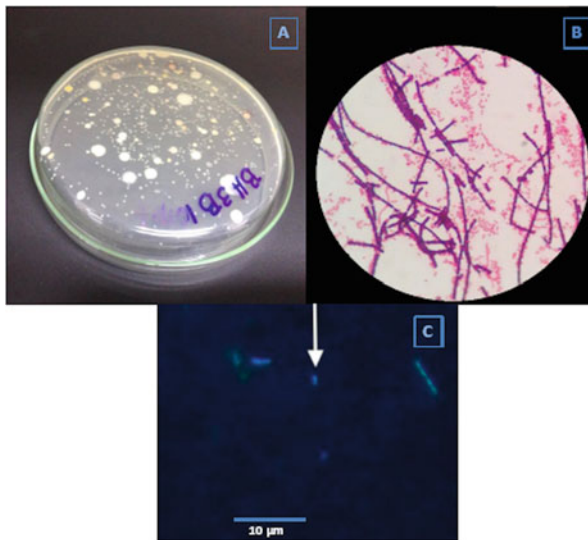
Heterotrophic bacteria are 1–2- μm -sized species in the domain Bacteria and live by assimilating dissolved organic compounds from water or by degrading nonliving detrital organic matter (Fig. 7.3). Species in the domain Archaea are also widely present in both sea and freshwater habitats (Sherr and Sherr 2009); however, Archaea studies in Brazilian mangroves are rare.

Bacteria in mangrove sediments, including cyanobacteria, play an important role in the biogeochemical cycles of these coastal ecosystems. These organisms are directly involved in the transformation of nutrients, photosynthesis, nitrogen fixation, methanogenesis, phosphate solubility, sulfate reduction, and production of other substances, including antibiotics and enzymes (Santos et al. 2010). The analysis of prokaryotic communities is crucial to understand ecosystem functioning (Fernandes et al. 2010).

However, for many years, the development of knowledge about microbial diversity has been linked and limited to cultivation methods. The development of molecular techniques capable of identifying noncultivable organisms has considerably increased knowledge of biodiversity in general.

Investigations on marine sediment diversity based on molecular methods began in 1996 (Gray and Herwig 1996), followed by several studies in this field (Urakawa et al. 1999; Shao et al. 2004; Musmann et al. 2005). Nevertheless, studies on mangrove sediments started with Liang et al. (2006), investigating bacterial diversity

Fig. 7.3 Heterotrophic bacteria isolated from Brazilian mangrove sediments. (a) culture media; (b) gram; (c) epifluorescence microscopy



in subtropical mangroves showing the presence of many gene sequences derived from unknown taxa.

Numerous studies have demonstrated the uniqueness of coastal sediments concerning the composition of their microbiota (Gray and Herwig 1996; Bano et al. 1997; Ravenschlag et al. 1999; Urakawa et al. 1999; Todorov et al. 2000; Polymenakou et al. 2005; Gomes et al. 2010; Santos et al. 2011). However, there is still a gap in the knowledge of microbial biodiversity in mangrove sediments. In Brazil, the studies focus on hydrocarbon-contaminated environments and are mostly conducted in Southeast Brazil.

Dias et al. (2010) conducted a study on pristine mangrove sediments and demonstrated the predominance of the classes Alphaproteobacteria, Gammaproteobacteria, and Acidobacteria. Through molecular techniques (DGGE – Denaturing Gradient Gel Electrophoresis), the authors were able to recover a great diversity of microorganisms as well as to correlate this diversity with environmental factors.

Gomes et al. (2008) conducted a study on urban mangroves under strong anthropogenic pressure and demonstrated the dominance of Alteromonadales, Burkholderiales, Pseudomonadales, Rhodobacterales, and Rodocyclales. Members of these groups are involved in hydrocarbon degradation, thus justifying their predominance in human-impacted environments. Gomes et al. (2010) also demonstrated a limited diversity of Betaproteobacteria and Actinobacteria in mangrove sediments from impacted areas in Rio de Janeiro state.

Peixoto et al. (2011) demonstrated that the composition of the microbial community of mangrove sediments reflects the spatial variation of the present pollutants and that the microbial communities are heterogeneously distributed. In this study, Total Petroleum Hydrocarbon (TPH) levels were significantly associated with the presence

of bacteria Betaproteobacteria, whereas anthracene and Polycyclic Aromatic Hydrocarbons (PAHs) levels were strongly associated with the presence of Actinobacteria.

Rocha et al. (2016), in a study conducted in the mangroves of Barra Grande, Ceará State, showed a high diversity of Gammaproteobacteria besides the record of three distinct community structures, which evidences the presence of different microhabitats within the same mangrove. Differences in the structure of microbial communities may be associated with the specificity of each site since mangroves are influenced by two distinct environments (marine and terrestrial).

The table below shows studies carried out in different Brazilian mangroves to investigate bacterial diversity in mangroves and estuarine systems using culture-independent methods (Table 7.1).

Brazil still needs many studies that cover all the mangroves in the different regions of the country, in order to fill the gaps in knowledge about the diversity of the microbiota in this ecosystem. Despite the scarcity of studies, some studies have already documented regions with highly diverse bacterial populations and with a very specific microbiome (Rocha et al. 2016; Zampieri et al. 2020). However, we still need to understand how the different types of contaminants influence the diversity and composition of the community, which groups resist these adverse conditions, and which groups have their population reduced.

7.3.2 *Fungi Diversity in Brazilian Mangrove Sediments*

Fungi are nonmotile eukaryotes, whose cell walls are usually made of polysaccharide chitin presented as microfibrillar bundles, for example, cellulose. Other glycans such as mannan, galactosan, and chitosan may replace chitin in some fungal cell walls (Madigan et al. 2008). These organisms are absorptive heterotrophs, and secrete exoenzymes into the environment, later absorbing the digested nutrients. Most are saprophytes that decompose dead organic matter, but some are parasites of plants and animals.

Fungi are informally divided into unicellular yeasts and filamentous molds based on their overall appearance. Dimorphic fungi have both mold and yeast life cycle stages (Fig. 7.4). Fungal life cycles are usually complex, involving both sexual and asexual forms of reproduction. They occur in diverse habitats; some are aquatic, but most inhabit terrestrial habitats, in soil, or dead plant matter, playing a crucial role in the mineralization of organic carbon.

Mangrove forests are among the most productive ecosystems in the world and are characterized by intense carbon processing (Kristensen et al. 1998). Fungi are thought to play an important role in organic matter decomposition pathways in this ecosystem (Jones 2000). Their diversity has been widely studied, especially on mangrove trees and decomposing litter (Ananda and Sridhar 2004).

Mangrove trees are interesting study objects for mycologists, because the bases of their trunks and the aerating roots are permanently or intermittently submerged, whereas the upper parts of roots and trunks are rarely reached by the saltwater. Thus,

Table 7.1 List of studies using culture-independent methods to access bacteria diversity in mangroves and estuarine systems

Study area	State	Method	Sample	References
Mangrove	RJ	Sequencing of 16S rRNA gene and T-RFLP	Sediment	Brito et al. (2006)
Mangrove	CE	PCR analysis of 16S rRNA gene and DGGE	Water	Sousa et al. (2006)
Estuary of Guanabara Bay	RJ	16S rRNA PCR, DGGE, and clones library	Water	Vieira et al. (2007)
Mangrove at Guanabara Bay	RJ	16S rRNA PCR, DGGE and clones library	Sediment	Gomes et al. (2008)
Mangrove at Guanabara Bay	RJ	16S rRNA PCR, DGGE and clones library	Sediment	Gomes et al. (2010)
Mangrove of Cardoso Island	SP	Denaturing gradient gel electrophoresis (DGGE) and analysis of 166 sequences from a clone library	Sediment	Dias et al. (2010)
Mangrove of Todos os Santos Bay	BA	Denaturing gradient gel electrophoresis (DGGE)	Sediment	Peixoto et al. (2011)
Mangrove of Cananéia and Bertioga	SP	Pyrosequencing of 16S rRNA gene	Sediment	Andreote et al. (2012)
São Sebastião Channel and Búzios Island	SP, RJ	16S library and pyrosequencing	Water and sediment	Carlos et al. (2013)
Cardoso Island	SP	T-RFLP and pyrosequencing of 16S rRNA gene	Sediment	Mendes and Tsai (2014)
Barra Grande mangrove	CE	T-RFLP	Sediment	Rocha et al. (2016)
Camamu Bay	BA	MiSeq sequencing	Water	Affe et al. (2018)
São Sebastião Channel and Santos Bay	SP	MiSeq sequencing	Sediment	Zampieri et al. (2020)

Modified from Bruce et al. (2012). Brazilian states: Ceará (CE), Bahia (BA), Rio de Janeiro (RJ), São Paulo (SP). See Chap. 3, Maps 5, 11, 13, and 14, respectively

terrestrial fungi and lichens occupy the upper half of the trees and marine species occupy the lower. At the interface, there is an overlap between marine and terrestrial fungi (Kohlmeyer 1969).

According to Sridhar (2013), the first monograph on marine mycology “A Treatise on Fungi in Oceans and Estuaries” was published by Johnson and Sparrow in 1961. In 1975, Hughes summarized the studies on marine mycology up to that year. Kohlmeyer and Kohlmeyer (1979) listed 209 filamentous fungi in their taxonomic and ecological monograph (Marine Mycology: The Higher Fungi), subsequently elevating them to 321 species (Kohlmeyer and Volkmann-Kohlmeyer

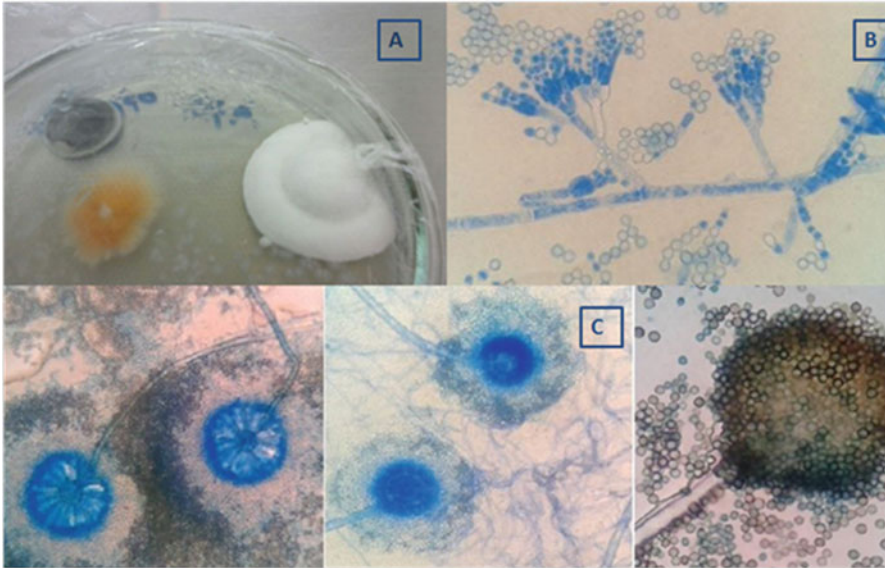


Fig. 7.4 Fungi isolated from Brazilian mangrove sediments. (a) Culture showing colonies of *Aspergillus* (gray and orange) and *Penicillium* (white); (b) *Penicillium* conidia; and (c) *Aspergillus* conidia

1991), until Hyde and Pointing (2000) reached the mark of 444 species. The literature review by Schmit and Shearer (2003) reveals the occurrence of 625 fungal species from different mangrove habitats. About 200 species have been considered as obligate marine fungi, whereas 131 species that occur in mangrove sediment or peat are not restricted to the marine environment.

In Brazil, there is little knowledge of mangrove fungi in comparison to what is known about bacteria. The molecular/culture-independent methods that have been widely used to study bacteria have not yet been reported on the same scale for fungi. Some studies, like Soares et al. (1997), found ascomycetes, basidiomycetes, zygomycetes, and mitosporic in the mangroves. More often, studies try to find a biotechnological application to fungi, focusing on species such as *Cyclothyrium* spp., *Penicillium* spp., and *Psilocybe* spp., isolated from the Santos' estuarine sediment and showing the ability to degrade phenanthrene and pyrene. A study by Gomes et al. (2011) isolated and identified filamentous fungi from mangrove sediments of Pernambuco, in Northeast Brazil. They found *Penicillium* and *Aspergillus* as the dominant genera, followed by *Trichoderma*, *Fusarium*, *Phoma*, *Talaromyces*, *Cladosporium*, *Eupenicillium*, *Gongronella*, *Microsphaeropsis*, *Mucor*, *Stibella*, and *Thielavia*.

Sebastianes et al. (2013) showed through molecular identification that the fungal community associated with some mangroves in São Paulo State is composed of at least 34 different genera, the most frequent being *Diaporthe*, *Colletotrichum*,

Fusarium, *Trichoderma*, and *Xylaria*. The results indicated that the mangrove fungal community possesses a vast diversity and richness of endophytic fungi. The data also revealed a large reservoir of fungal genetic diversity inhabiting these Brazilian mangrove forests and highlighted substantial differences between the fungal communities associated with distinct plant tissues, plant species, level of human impact, and seasonality.

A few Brazilian studies have recently focused on endophytic fungi (Costa et al. 2012; Sebastianes et al. 2013). These are microorganisms that invade the tissues of plants during their life cycle without causing disease symptoms, and many show biotechnological purposes, producing a high diversity of substances with potential medical, agricultural, and industrial applications. All the above-mentioned studies revealed a large reservoir of fungal genetic diversity inhabiting these Brazilian mangrove forests.

Ghizelini et al. (2012) emphasized the existence of few publications about fungal communities in mangrove sediments. There are many reasons for this, including the fact that short fragments of ribosomal DNA, which are frequently used to describe bacterial diversity, are not suitable to adequately describe fungal diversity. This fact shows that there is a real need to refine and establish specific molecular markers for fungal taxonomy. This is the reason why even today there is a certain difficulty in accessing fungal communities in mangroves, despite the progress that has already been made. In addition to that, the diversity of environments in which Brazilian mangroves can be found hinders generalization. Each of them possesses specificities and different degrees of conservation. This way, much effort is still needed to better characterize fungal diversity in this unique and extensive area, such as the study by Ghizelini et al. (2019) on the effects of a massive oil spill that affected most of the northeastern Brazilian coast on mangrove sediment fungal diversity.

7.3.3 Virus Diversity in Brazilian Mangrove Sediments

A virus is a small parasite that cannot reproduce by itself. Once it infects a susceptible cell, however, a virus can adjust the cell machinery to produce more viruses. Most viruses have either RNA or DNA as genetic material. The nucleic acid may be single- or double-stranded. The entire infectious virus particle, called a virion, consists of nucleic acid and an outer protein shell. The simplest viruses contain only enough RNA or DNA to encode four protein types. The most complex can encode 100–200 proteins (Lodish et al. 2000).

Viruses infect numerous microorganisms including, predominantly, bacteria (bacteriophages or phages) but also archaea, protists, and fungi. They are the most abundant and ubiquitous biological entities on Earth and are important drivers of ecosystem functioning. Little is known, however, about the vast majority of these viruses that live off microorganisms (Allen and Abedon 2014). Studies show that viruses present incredible abundance. The estimated overall abundance of marine

viruses in the world's oceans is on the order of 10^{30} (Suttle 2005, 2007), a value that exceeds by ten times the abundance of prokaryotes (Suttle 2005).

Viruses are no longer viewed as static but instead as dynamic players within the microbial ecology of the oceans. Through cell lysis, viruses return photosynthesis-derived carbon to the ocean. Some studies show that viruses may be responsible for 50% of all bacterial mortality (Fuhrman and Noble 1995). The particles also have a function in the control of bacterial blooms and in altering the genetic composition of their host. More research is required to fully understand the processes occurring in the microbial loop. However, it is clear that viruses play a central role in this loop, and that many questions remain to be answered.

According to Weitz and Wilhelm (2012), the study of viruses in the oceans has been key to many discoveries, like the finding that viruses have evolved novel lineages of key photosynthetic genes, as seen in cyanophages infecting *Synechococcus* and *Prochlorococcus* (Mann et al. 2003). Considering so much new information about viruses, the identification of many novel viral families defies much of the conventional knowledge about the viral life history, for example, the discovery of “giant” algal-infecting viruses (Derelle et al. 2008), and the discovery of viruses that exploit other viruses (La Scola et al. 2008).

Although no specific studies show the role of viruses in mangroves areas, especially in Brazil, one can infer that the same findings for the marine environment would take place in the mangrove environment. Thus, there are still gaps in the knowledge on virus diversity in mangroves all over the world.

7.4 Final Remarks

The field of marine microbial ecology is just at the beginning of its specific technological evolution. Such advances in technologies include direct-imaging methods, sequencing technologies, and bioinformatics that have revealed a previously unknown world of viral diversity in natural environments. However, microbial activities in the mangrove ecosystem are highly complex, especially the microbial loop itself. For this reason, there is a need for more studies about the structure of microbial communities, their function and impact on productivity, potentially changing paradigms in the management and conservation strategies of mangroves in the country.

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Chapter 8

Mangrove Macroalgal Communities



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8.1 Introduction

Despite the high variation in abiotic parameters in estuaries, mangroves harbor a great diversity of organisms, from primary producers to consumers in high trophic levels. This ecosystem's macroalgal community shows a characteristic low species diversity (Oliveira 1984), growing on pneumatophores, seedlings, saplings, roots, and in the lower trunks of mangrove trees, including *Rhizophora mangle* L., *Avicennia schaueriana* Stapf & Leechmann, and *Laguncularia racemosa* (L.) Gaertner (Cordeiro-Marino et al. 1992).

Mangrove macroalgae are essential components of coastal ecosystems, providing several ecological services, such as nutrient retention, habitat provision, breeding and spawning grounds, and food source to diverse invertebrates and larvae of marine and terrestrial organisms. These will then serve as food for juvenile stages of fishes

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and invertebrates of commercial importance. Therefore, mangrove macroalgae have a primordial role in the maintenance of life and contribute significantly to the conservation of coastal and marine biodiversity.

8.2 Species Composition

The macroalgal community growing on the several microhabitats in the mangrove trees (Figs. 8.1–8.4) is dominated by a few genera, mainly *Bostrychia* Mont., *Caloglossa* (Harv.) G. Martens, and *Catenella* Grev. This assemblage is widely known as “Bostrychietum” (sensu Post 1968). Although composed of a few red algae, the delimitation of these species within each genus is quite complicated due to the wide morphological plasticity.

In Brazil, before Fontes (2012), Kano (2015), and Sena (2016), the identification of the mangrove macroalgae was primarily based on morphological features. The identification of specimens based solely on morphological characters may result in either underestimation or overestimation of diversity, since the phenotypic plasticity



Figs. 8.1–8.4 Mangrove macroalgal community. **8.1–8.2:** Macroalgal assemblage growing on the pneumatophores, rhizosphere, and on the trunks of mangrove trees at Rio Escuro, Ubatuba, São Paulo State (see Chap. 1 for location) (*Bostrychietum sensu Post 1968*). **8.3–8.4:** Details of the *Bostrychietum* (scale = 5 mm)

Table 8.1 Macroalgal species reported to the Brazilian mangroves

RHODOPHYTA
Ceramiales
Delesseriaceae
<i>Caloglossa apomeiotica</i> J.A. West and Zuccarello
<i>C. confusa</i> Kravesky, J.A. West and Kamiya
<i>C. kamiyana</i> Freshwater, Cath.E. Miller and Frankovich ^a
<i>C. leprieurii</i> (Mont.) G. Martens
<i>C. rotundata</i> Kamiya
Rhodomelaceae
<i>Bostrychia binderi</i> Harvey
<i>B. calliptera</i> (Mont.) Mont.
<i>B. kelanensis</i> Grunow ex E. Post
<i>B. montagnei</i> Harv.
<i>B. moritziana</i> (Sond. ex Kütz.) J. Agardh
<i>B. pilulifera</i> Mont.
<i>B. radicans</i> (Mont.) Mont.
<i>B. tenella</i> (J.V. Lamour.) J. Agardh
<i>Dawsoniocolax bostrychiae</i> (A.B.Joly & Yam.-Tomita) A.B.Joly & Yam.-Tomita
Gigartinales
Caulacanthaceae
<i>Catenella caespitosa</i> (Wither.) L.M. Irvine
CHLOROPHYTA
Ulotrichales
Gayraliaceae
<i>Gayralia brasiliensis</i> Pellizzari, M.C. Oliveira and N.S. Yokoya
<i>G. oxysperma</i> (Kütz.) K.L. Vinogr. ex Scagel et al.
Bryopsidales
Udoteaceae
<i>Boodleopsis pusilla</i> (Collins) W.R. Taylor, A.B. Joly and Bernat.
<i>B. vaucheriaidea</i> Calderón-Saenz and Schnetter
Cladophorales
Boodleaceae
<i>Cladophoropsis membranacea</i> (C. Agardh) Børgesen
Cladophoraceae
<i>Pseudorhizoclonium africanum</i> (Kütz.) Boedeker
<i>Rhizoclonium riparium</i> (Roth) Kütz. ex Harv.

Sources: Paula et al. (1989), Fujii et al. (1990), Fontes (2012), Pellizzari et al. (2013), Sena (2016), Fontes et al. (2016), Kano et al. (2017), Wynne (2017), and Freshwater et al. (2021)

^a*Caloglossa kamiyana* from Brazilian mangroves was previously identified as *C. ogasawaraensis* Okamura

of some taxa may lead to misidentifications (Kravesky et al. 2012). Sena (2016) and Kano et al. (2017) have used molecular approaches based on the 5' region of the *cox1* gene that encodes cytochrome c oxidase subunit 1 (COI-5P), as proposed by Saunders (2005); the universal plastid amplicon (UPA), as proposed for DNA barcoding in algae (Presting 2006; Sherwood and Presting 2007), and the gene encoding for the large subunit of RuBisCO (*rbcL*), which was tested as a DNA barcoding tool (Saunders and Kucera 2010).

The current estimation of the most common macroalgae in Brazilian mangroves points to 22 species (Table 8.1), with fifteen Rhodophyta and seven Chlorophyta

(Hadlich and Bouzon 1985; Paula et al. 1989; Fujii et al. 1990; Fontes 2012; Pellizzari et al. 2013; Fontes et al. 2016; Sena 2016; Kano et al. 2017; Wynne 2017; Freshwater et al. 2021). In some of these studies, the authors revealed that many taxa are genetically more diverse than what the morphology suggests. Species among the genus *Caloglossa* are specifically challenging to identify because of their widespread phenotypic plasticity (Kamiya et al. 1999, 2016; Kravesky et al. 2011, 2012; Kano et al. 2017; Wynne 2017; Freshwater et al. 2021). Some red algae have a more specific occurrence in Brazilian mangroves such as *Murrayella periclados* (C. Agardh) F. Schmitz, *Polyshiphonia subtilissima* Montagne, *P. tepida*, and *P. howei* (Cunha et al. 1999, Cutrim et al. 2004, Fontes et al. 2016, Farraboti 2018). In Brazil, the two last species are currently named *Vertebrata foetidissima* (Cocks ex Bornet) Díaz-Tapia and Maggs, and *Wilsonosiphonia fujiae* D. Bustamante, Won, and T.O. Cho, respectively. Few green algae had also occasional occurrences, as *Caulerpa fastigiata* Montagne (Fontes et al. 2007), *Cladophora vagabunda* (L.) Hoek, *Ulva flexuosa* Wulfen and *Chaetomorpha* sp. (Machado and Nassar 2007).

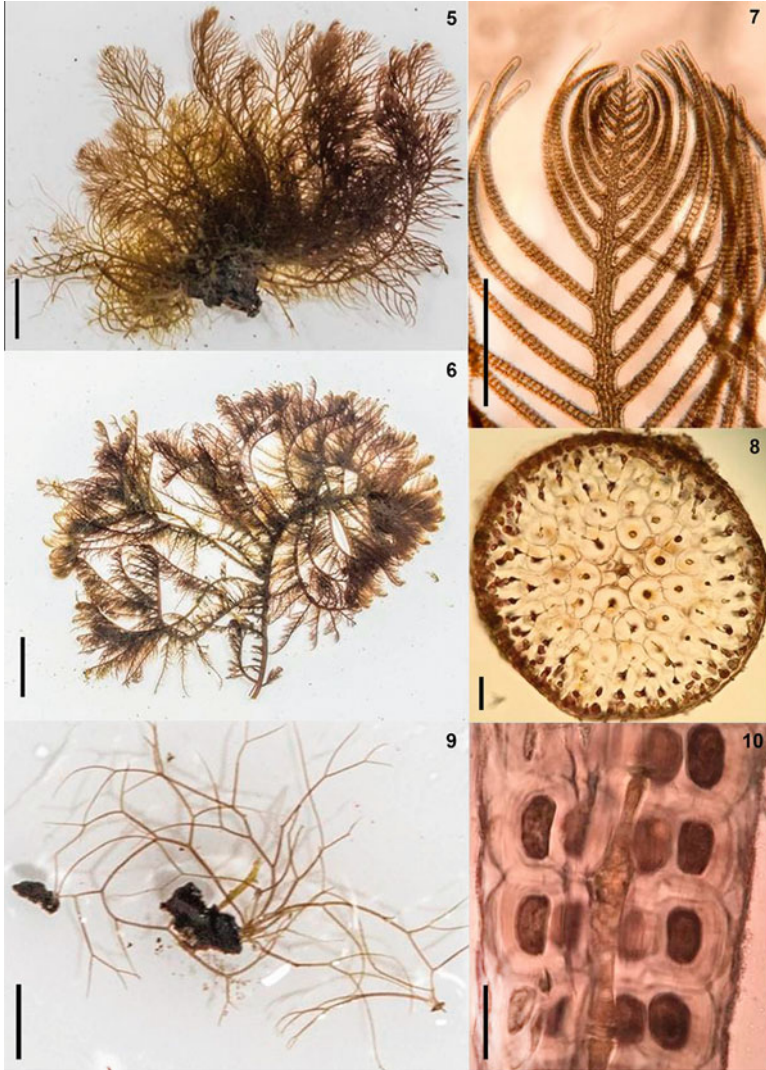
The most diverse genus is *Bostrychia*, comprising eight species widely distributed in mangroves (Menezes et al. 2015). The species of *Bostrychia* present morphological differences that allow their identification (Figs. 8.5–8.10). The thalli of *Bostrychia* are polysiphonous, typical of Rhodomelaceae, and the species distinction is based on the branching pattern, presence or absence of cortication, degree of polysiphonous branches, and the type of haptera (King and Puttock 1989).

Two types of haptera are described in *Bostrychia* species: Cladohapteron and peripherohapteron. The first is originated from pericentral and axial cells, like a first main branching with negative geotropism to attach to the substrate. Cladohapteron is found in *B. kelanensis*, *B. moritziana*, *B. pilulifera*, and *B. radicans*. On the other hand, peripherohapteron is originated from pericentral and cortical cells in the ventral side of the stoloniferous branches, and it can be found in *B. binderi*, *B. calliptera*, *B. montagnei*, and *B. tenella*.

Molecular and phylogenetic data have provided advances for the knowledge of the taxonomy of the genus *Bostrychia*. An important example is the *B. tenella* complex, in which *B. binderi* was synonymized to *B. tenella*, since the morphological characters to distinguish both species overlapped (King et al. 1988; King and Puttock 1989). However, *B. binderi* was resurrected from *B. tenella* species complex based on molecular and morphological data of specimens collected worldwide (Zuccarello et al. 2015).

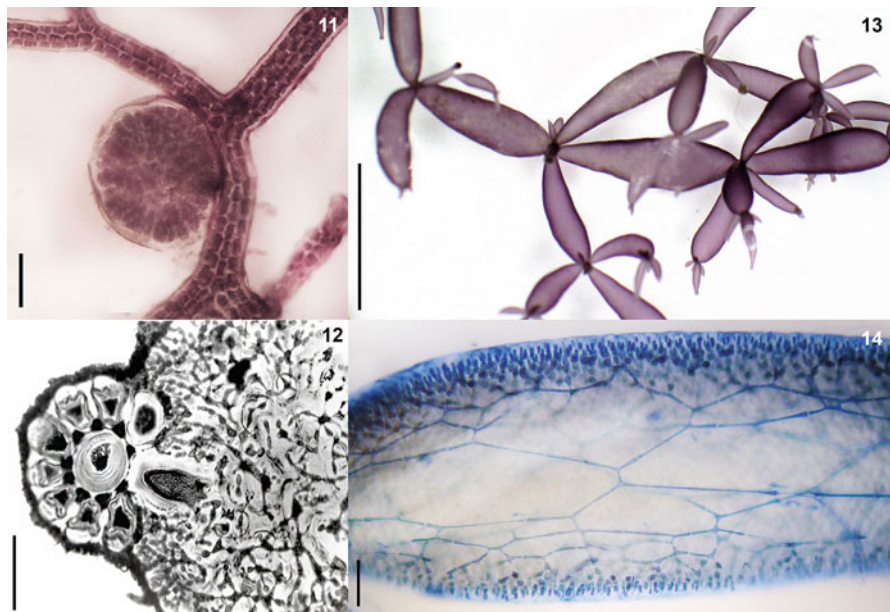
Dawsoniocolax bostrychiae is a Rhodomelacean adelphoparasite originally described growing on *Bostrychia radicans* (Figs. 8.11–8.12), and later also on *B. moritziana*. The thallus is small shaped with whitish warts. Guimarães (1993) described reproductive structures of female and male gametophytes as tetrasporophytes.

Among the red algal species growing on mangroves, the only one not belonging to Ceramiales is *Catenella caespitosa* (Caulacanthaceae, Gigartinales). This species is easily recognized by its cartilaginous, segmented sausage-shaped thallus (Figs. 8.13–8.14).



Figs. 8.5–8.10 Species of *Bostrychia* (Ceramiales, Rhodophyta) in Brazilian mangroves. **8.5:** *B. radicans* (scale = 5 mm). **8.6:** *B. montagnei*, gross morphology (scale = 5 mm). **8.7:** *B. calliptera*, detail of the thallus (scale = 100 μ m). **8.8:** *B. montagnei*, cross section of the thallus showing dense cortication (scale = 25 μ m). **8.9–8.10:** *B. kelanensis*, gross morphology (scale = 1 mm), and longitudinal section of the ecorticated thallus (scale = 50 μ m)

In Brazil, the genus *Caloglossa* is represented by five species: *C. apomeiotica*, *C. confusa*, *C. lepriurii*, *C. kamiyana*, and *C. rotundata* (Figs. 8.15–8.20). Except for *C. kamiyana*, the other four species are morphologically similar, and the diagnostic characteristics are difficult to distinguish. Nevertheless, these taxa are genetically different (Kano et al. 2017). The main morphological characteristics used to

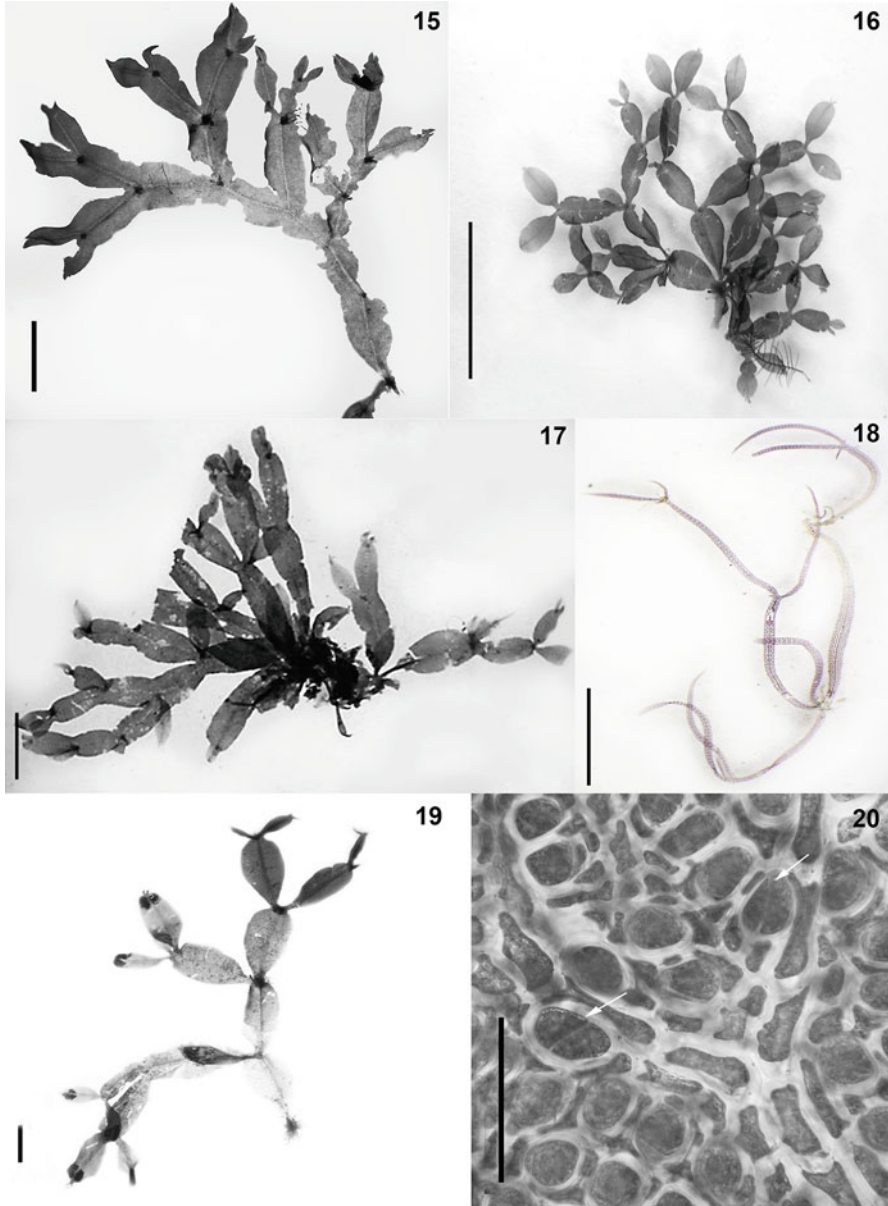


Figs. 8.11–8.14 Species of Rhodophyta in Brazilian mangroves. **8.11–8.12:** *Dawsoniocolax bostrychiae*, a Rhodamelacean adelphoparasite growing on *Bostrychia radicans* (scale = 300 μm); and cross section of *Bostrychia radicans* with *Dawsoniocolax bostrychiae* (scale = 100 μm). **8.13–8.14:** *Catenella caespitosa*, gross morphology (scale = 5 mm); and detail of the thallus in cross section (scale = 200 μm)

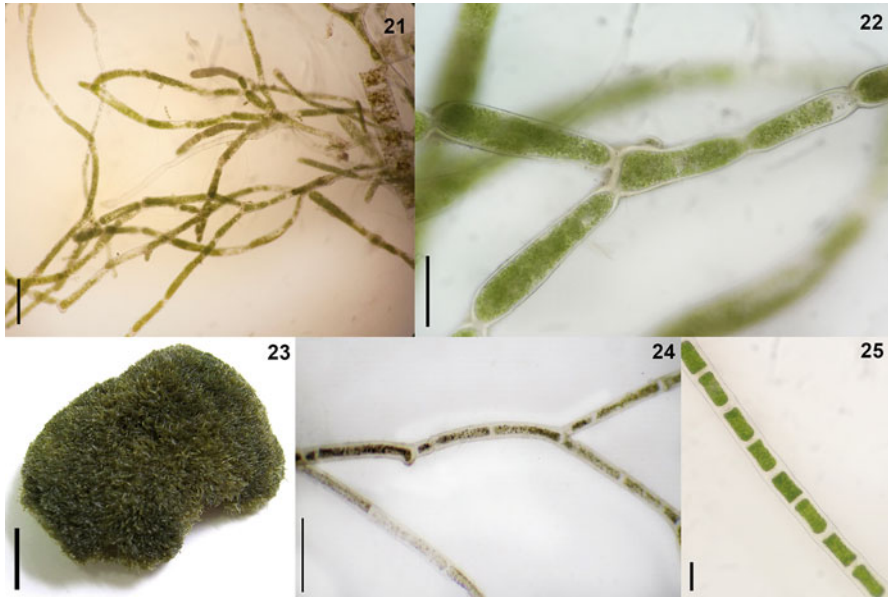
identify *Caloglossa* species are thallus size, blade shape, type and number of rhizoids per cell and their distribution along the thalli, and characteristic of vein on the blade.

Considering the Chlorophyta, mangrove green algae have filamentous thalli as found in Bryopsidales and Cladophorales, exemplified by *Boodleopsis pusilla*, *Cladophoropsis membranacea*, and *Rhizoclonium riparium* (Figs. 8.21–8.25). *Boodleopsis* is characterized by cenocytic, divaricately branched thalli (Figs. 8.21–8.22), and the distinction between *Boodleopsis pusilla* and *B. vaucheroidea* is based on the dichotomy degree. The first species presents regularly constricted thalli, and the angle of dichotomy is smaller than that presented by *B. vaucheroidea*, which has divaricated branching with an angle of 90–140°, without constrictions.

Among the monostromatic green algae described in Brazilian mangroves, two taxa were recognized based on the differences in life history, thallus ontogeny, and type of swarmer liberation: *Monostroma* sp. (zoospores germinate into a laminar blade) and *Ulvaria oxysperma* (Kützing) Bliding (zoospore germination gives rise to a saccate stage, and later forming laminar blade) (Cordeiro-Marino et al. 1993; Braga 1997). However, *U. oxysperma* is currently regarded as a synonym of *Gayralia oxysperma* (Kützing) K.L.Vinogradova ex Scagel et al. (Guiry and Guiry 2018).



Figs. 8.15–8.20 Species of *Caloglossa* in Brazilian mangroves: **8.15–8.16** *C. apomeiotica*, gross morphology (scale = 10 mm) and detail of the bisporangia in surface view (arrows, scale = 100 μ m). **8.17** *C. confusa* (scale = 1 mm). **8.18** *C. leprieurii* (scale = 5 mm). **8.19** *C. kamiyana* (scale = 10 mm). **8.20** *C. rotundata* (scale = 10 mm). (Modified from Kano et al. 2017)



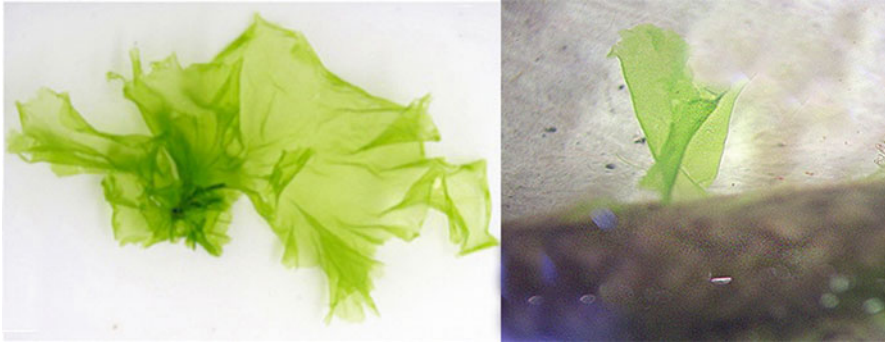
Figs. 8.21–8.25 Species of Chlorophyta in Brazilian mangroves. **8.21–8.22:** Cenocytic, divaricately branched and regularly constricted thalli in *Boodleopsis pusilla* (scales = 200 and 50 μm). **8.23:** *Cladophoropsis membranacea*, gross morphology (scale = 1 mm). **8.24–8.25:** *Rhizoclonium riparium*, gross morphology (scale = 500 μm), and detail of the unisseriate filament (scale = 100 μm)

Pellizzari et al. (2013) identified a new species of monostromatic green specimen based on molecular markers. In this new species, zoospores germinate directly into leafy monostromatic blades, and asexual reproduction occurs by biflagellate zoospores. Hence, the status of mangrove monostromatic chlorophytes indicates the occurrence of two species of *Gayralia*: *G. brasiliensis* and *G. oxysperma* (Pellizzari et al. 2013) (Figs. 8.26 and 8.27).

Therefore, taxonomical studies based on molecular markers in combination with morphological and developmental analyses have elucidated the occurrence of cryptic species in some genera of mangrove macroalgae, as reported to *Caloglossa* (Kano et al. 2017; Freshwater et al. 2021), and *Gayralia* (Pellizzari et al. 2013). However, further taxonomical studies are required to better knowledge of the small filamentous green algae belonging to the orders Bryopsidales and Cladophorales.

8.3 Diversity of Algal Propagules

Macroalgae are sessile organisms and can be dispersed by propagules (e.g., spores, gametes, and zygotes) or by free-floating seaweeds in different dispersal ranges. Algal propagules are spread in the marine environment and their richness in



Figs. 8.26 and 8.27 Monostromatic green algae in Brazilian mangroves. **8.26:** General aspect of *Gayralia brasiliensis*. **8.27:** Initial developmental stage of *G. brasiliensis* growing on net cultivation. Scales = 1 mm

comparison to the *in situ* populations can be distinct, and this phenomenon was studied mainly in the coastal habitats (Zechman and Mathieson 1985).

Temporal and spatial variations on the composition of macroalgal propagules in the water column are influenced by many factors, including, according to Hoffmann (1987): (a) Periodic variations in reproductive activity (related to reproductive seasonality and endogenous rhythms); (b) propagule production, which is associated with life strategies (e.g., opportunistic algae produce a larger number of propagules); and (c) environmental factors, which play an important role on algal reproduction, and spore release, settlement, and germination.

The only study on macroalgal propagules in mangroves was carried out by Cordeiro-Marino et al. (1990) who evaluated the composition of macroalgal propagules in Perequê River mangrove, Cardoso Island, Southeast Brazil (see Chap. 3, Map 14). Seawater samples (500 mL) were collected in three sites along a salinity gradient over 1 year, and aliquots of 100 mL were filtered in fiberglass membrane, and cultured under controlled conditions of temperature, photoperiod, and irradiance in the laboratory, with culture medium at a salinity of 25. After 2 weeks, plantlets growing on the membranes were isolated and cultured for several months until their taxonomic identification. The diversity of algal propagules was composed of 35 taxa, including 14 green algae, 16 red algae, and 5 brown algae (Table 8.2). However, within the total of 35 taxa, only eight species (26.7%) effectively colonized and grew successfully in the studied mangrove (Braga et al. 1990a, b; Yokoya et al. 1999). Propagule composition varied among the collecting sites and seasons (Table 8.2). The higher diversity of algal propagules was observed in the spring (southern hemisphere) with the presence of Ulvales (*Ulva* spp.), Ceramiales (*Callithamnion* and *Polysiphonia*), Gelidiales (*Gelidium*), and Ectocarpales (*Bachelotia* and *Feldmannia*). Except for *Gelidium* sp., these species are considered opportunistic, as they produce a large number of propagules of high dispersal capacity. These results evidenced that although the propagule flora is more diversified, only a few species can survive, attach, and grow as *in situ* populations in Brazilian mangroves.

Table 8.2 Composition of macroalgal propagules in seawater sampled in three different sites (S1, S2 and S3) in the mangrove of Cardoso Island, São Paulo State, Southeast Brazil. (After Cordeiro-Marino et al. 1990)

Taxon	February			June			October			February		
	S1	S2	S3	S1	S2	S3	S1	S2	S3	S1	S2	S3
CHLOROPHYTA												
1. <i>Boodleopsis pusilla</i>	+	+	+	-	-	-	+	+	+	-	-	-
2. Chaetophoraceae	+	+	+	+	+	+	-	+	+	-	-	-
3. <i>Cladophoropsis membranacea</i>	-	+	-	-	-	-	+	-	+	-	-	-
4. <i>Gayralia brasiliensis</i>	-	-	-	-	-	-	+	-	-	-	-	-
5. <i>Rhizoclonium tortuosum</i>	-	-	-	+	-	+	-	-	-	-	-	-
6. <i>Rhizoclonium</i> sp.	-	+	-	-	-	-	-	-	+	-	-	-
7. <i>Ulva clathrata</i>	+	+	-	+	-	-	-	+	+	+	-	-
8. <i>U. flexuosa</i>	-	-	-	-	+	-	+	+	+	-	+	-
9. <i>U. lactuca</i>	-	-	-	-	-	-	+	-	+	-	-	-
10. <i>U. linza</i>	-	-	-	-	-	-	-	-	+	-	-	-
11. <i>U. micrococca</i>	-	+	-	+	-	+	+	-	+	-	-	-
12. <i>Ulva</i> sp. 1	-	-	-	-	-	-	-	+	-	-	-	-
13. <i>Ulva</i> sp. 2	-	-	-	-	-	-	-	-	+	+	-	-
14. <i>Ulva</i> sp. 3	+	-	+	+	+	+	+	+	+	+	+	+
RHODOPHYTA												
15. <i>Acrochaetium</i> sp.	-	-	-	+	-	-	-	-	+	-	-	-
16. <i>Antithamnionella breviramosa</i>	-	-	-	-	-	-	-	+	-	-	-	-
17. <i>Bostrychia calliptera</i>	-	-	-	-	-	+	-	-	-	-	-	-
18. <i>B. moritziana</i>	+	-	-	-	+	-	-	-	-	-	+	-
19. <i>B. radicans</i>	+	+	+	+	+	+	-	+	+	-	+	+
20. <i>Callithamnion</i> sp.	-	-	-	-	+	-	+	+	+	-	-	-
21. <i>Caloglossa leprieurii</i>	-	-	+	-	-	-	-	-	+	-	-	-
22. <i>C. kamiyana</i>	+	+	-	-	-	-	-	-	-	-	-	-
23. <i>Centroceras clavulatum</i>	-	-	-	-	-	-	+	-	-	-	-	-
24. <i>Ceramium codii</i>	-	+	-	-	-	-	+	-	-	-	-	-
25. <i>Erythrotrichia carnea</i>	-	-	-	+	-	-	-	-	-	-	-	-
26. <i>Herposiphonia secunda</i>	-	+	-	+	-	-	-	-	-	-	-	-
27. <i>Heterosiphonia crispella</i>	-	-	+	-	-	-	-	-	-	-	-	-
28. <i>Gelidium pusillum</i>	-	-	-	-	+	-	-	-	-	-	-	-
29. <i>Gelidium</i> sp.	-	-	-	+	+	-	+	+	+	-	-	-
30. <i>Polysiphonia subtilissima</i>	-	-	-	-	-	-	+	+	+	-	-	-
OCHOROPHYTA/PHAEOPHYCEAE												
31. <i>Bachelotia antillarum</i>	+	+	+	+	+	+	+	+	+	+	-	-
32. <i>Feldmannia irregularis</i>	-	-	-	+	-	-	+	-	+	-	-	-

(continued)

Table 8.2 (continued)

Taxon	February			June			October			February		
	S1	S2	S3	S1	S2	S3	S1	S2	S3	S1	S2	S3
33. <i>Feldmannia</i> sp.	–	–	–	+	–	–	+	–	–	–	–	–
34. <i>Sphacelaria rigidula</i>	–	–	–	–	–	–	+	–	–	–	–	–
35. <i>Sphacelaria</i> sp.	–	–	–	–	–	+	–	–	–	–	–	–
TOTAL	8	12	7	13	9	7	16	12	19	4	4	2

+ presence; – absence

S1: downstream site (salinity of 22–32); S2: intermediate site (salinity of 15–32); S3: upstream site (salinity of 15–32)

Species written in bold were recorded as *in situ* populations in the Cardoso Island mangroves (Braga et al. 1990a, b; Yokoya et al. 1999)

Currently, the available molecular tools, such as DNA barcoding, have allowed a more accurate identification at the species level (e.g., Pellizzari et al. 2013; Kano et al. 2017), and consequently, the propagule-originating species, thus facilitating studies on species richness and dispersion of macroalgal propagules. Therefore, further research can contribute to understand the propagule dispersal in mangroves and the influence of seaweed communities from nearby rocky shores.

8.4 Ecology

Ecological studies on Brazilian mangroves were addressed mainly to evaluate the distribution, seasonality, biomass variation, zonation, colonization, and succession in macroalgal communities. However, these studies are restricted to certain regions, and there are gaps in the knowledge on macroalgal communities from mangroves along the Brazilian coast.

Bostrychia radicans and *B. calliptera* have been reported as the predominant species considering the percentage cover or biomass in the majority of Brazilian mangrove macroalgal communities (Eston et al. 1991; Cunha et al. 1999; Cutrim et al. 2004; Machado and Nassar 2007; Fontes et al. 2016), except for the green alga *Cladophoropsis membranacea*, which presented the highest biomass in Vila Velha and Suape mangroves, Pernambuco State (Fontes et al. 2007) (see Chap. 3, Map 8). *B. radicans* presented higher percentage cover and predominated in all sites and substrata (pneumatophores, plantlets, etc.) of mangroves of Cardoso Island, São Paulo State (Eston et al. 1991) (see Chap. 3, Map 14). Besides, macroalgal communities of Picinguaba and Fazenda rivers, in Ubatuba, São Paulo State, are predominated by *B. radicans* with the highest biomass values followed by *B. calliptera* and *B. moritziana*, and no clear spatial and temporal patterns in the distribution of the species were observed probably due to the similar environmental conditions of both rivers associated with the lack of a dry season throughout the year (Machado and Nassar 2007). Similarly, *B. radicans* also showed the highest biomass

and frequency percentages in the mangrove of Boa Viagem Beach, Maranhão State (Fontes et al. 2016) (see Chap. 3, Map 3). However, *B. calliptera* predominated with higher biomass values in Parná-Açu and Tauá-Mirim mangroves, in Maranhão State (Cutrim et al. 2004), and Babitonga Bay mangrove, Santa Catarina State (Cunha et al. 1999) (see Chap. 3, Map 16).

There are no clear patterns in the recruitment and vertical distribution of macroalgae in the southeastern Brazilian mangroves, and this could be related to the absence of competition for bare space during colonization processes, as reported by Eston et al. (1992). Besides, the range of vertical distribution of macroalgae on trunks of *R. mangle*, *L. racemosa*, and *A. schaueriana* seems to be associated with tidal levels; however, zonation pattern was not observed, since *Rhizoclonium* spp., *Bostrychia calliptera*, and *B. radicans* occurred over the vertical range of distribution (Yokoya et al. 1999). Wilkinson (1980) suggested that a low number of species might reduce interspecific competition, resulting in a less well-defined zonation in the estuarine algal community. On the other hand, a clear zonation pattern in macroalgal communities was observed in mangroves of Puerto Rico (Almodovar and Pagan 1971) and Japan (Tanaka and Chihara 1987).

Temporal variations on species composition in mangroves of Cardoso Island are related to temperature, tidal levels, and tolerance of each species to withstand emersion, whereas spatial variations are related to salinity and light (Yokoya et al. 1999). Macroalgal distribution and biomass on trunks and roots of mangrove trees in Babitonga Bay were influenced by salinity gradients and flooding frequency (Cunha and Costa 2002). Salinity gradients also influenced species composition of macroalgal communities in Itapanhaú River mangroves, Bertioga, São Paulo State (Farraboti 2018).

Following Dawes (1998), the stages of the successional process consider pioneer species, intermediate, and stable forms (the final mature/climax stage). Pioneer species are usually annual, showing rapid growth and high reproduction rates, high productivity, short life histories, and simple morphology. All these characteristics allow them to colonize barren and disturbed areas, such as numerous species of Ulvales. In contrast, perennial species have long life histories, complex morphology, slow growth, and spend resources producing chemical and structural grazing defenses. However, the macroalgal colonization pattern on artificial substrates in the southeastern Brazilian mangroves showed that some perennial species may present early settlement, with *Bostrychia radicans* settling throughout the colonization process (Eston et al. 1992). Therefore, these authors suggested that mangrove macroalgal colonization patterns illustrate a successional series in which pioneer communities are also the final ones, and perennial species settled on available substrates as early colonists. Also, only species already observed on natural substrates settled on PVC tubes and wood canes placed as artificial substrates (Eston et al. 1992). Pellizzari et al. (2007) observed recruitment of *Gayralia brasiliensis* in artificial substrates (polypropylene nets) placed to evaluate the potential of its cultivation in estuaries (Fig. 8.28). Besides, no other propagules were attached in artificial or natural substrates during this experiment, suggesting an “exclusion hypothesis” of recruitment and successional patterns on mangroves, probably

Fig. 8.28 Cultivation of *Gayralia brasiliensis* in polypropylene nets in the estuarine complex of Paranaguá Bay, Paraná State, South Brazil (Pellizzari et al. 2007)



associated with competition and the production of chemical compounds against biofouling and/or herbivory (Karsten et al. 2000; Cassolato et al. 2008).

Little information on the herbivory and fauna associated with mangrove macroalgae is available. Macrofauna diversity associated with the Bostrychietum community of pneumatophores in Araçá Bay, São Paulo State (see Chap. 3, Map 14), comprised mostly of omnivorous amphipods and desiccation-resistant detritivorous species, and emersion time of Bostrychietum and the period of the year affect the community structure, for both seaweeds and the associated fauna (García et al. 2016). Besides, epiphytic macroalgae were considered determinants for the fauna, since the volume of sediment retained among stems and algal biomass influenced their distribution (Lopes 2011).

8.5 Cultivation

The world market for seaweeds including species from mangrove-estuarine complexes is increasing due to a demand by cosmetic, pharmaceutical, and food industries. These sorts of experiments indicated that some mangrove algae species recruit and grow well even when seeded on artificial collectors, suggesting that some species are suitable for management and cultivation (Pellizzari et al. 2007). This may be relevant as an alternative livelihood of fishermen communities facing the present decline in fisheries. Asian countries, such as South Korea and Japan, already cultivate monostromatic green algae in the brackish water of inner bays and estuaries (Ohno and Largo 1998).

Among the species of Brazilian mangrove macroalgae, ecophysiological responses and life history of species belonging to Ulotrichales have been well investigated due to cultivation purposes. Cordeiro-Marino et al. (1993) and Braga (1997) described the reproduction and thallus ontogeny of mangrove monostromatic green algae, aiming to elucidate their taxonomy.

Pellizzari et al. (2006) described the *Gayralia* sp. phenology comprising recruitment and percentage cover in these populations, establishing databases for cultivation in Paranaguá Bay, while Pellizzari et al. (2007) reported details on cultivation strategies of the edible *Gayralia*. Pellizzari et al. (2008) studied in detail the life history, thallus ontogeny, and the effects of temperature, irradiance, and salinity on the growth of two species of *Gayralia*. Later these authors published the new species, *Gayralia brasiliensis*, based on morphology, ontogeny, and phylogenetic position (Pellizzari et al. 2013). The authors reported that *Gayralia* sp. grew better at 18–22 °C and that recruitment occurred year-round in Paranaguá Bay, with higher recruitment rates during autumn (see Chap. 3, Map 15).

Based on recruitment and succession studies, Pellizzari et al. (2007) underwent *Gayralia* pilot cultivation using floating polypropylene nets in shallow subtidal areas or set in fixed systems in the intertidal zone. The best locations for natural recruitment (by asexual zooids) and frond growth were in the outer estuary, surrounding the mangrove fringes, where mother-fronds usually grow attached to stems and roots. Net biomass production was $458 \pm 157 \text{ g m}^{-2}$ (wet weight) only 45 days after zooid settlement. Although higher growth rates have been observed in nets in floating rafts, the fixed systems showed more stable production with few disturbances from epiphytes, fouling, and grazing, mainly during the winter. Peña-Salamanca (2008) studied the spatial-temporal dynamics of algal biomass associated with mangrove roots in Buenaventura Bay, in the Pacific Coast of Colombia, observing that the average biomass per species at the mouth of the estuary was significantly higher than in the inner estuary (annual average of 30.7 ± 10.8 and $13.8 \pm 4.1 \text{ g m}^{-2}$, respectively). These results could be related to the level of tidal flood and the vertical distribution on the root; both seem to be the most limiting factors for algal biomass.

8.6 Chemical Diversity and Biotechnological Application

Marine environments comprise a large variety of organisms with adaptations to extreme conditions or wide environmental variation, and the search for new medicinal or cosmetic active compounds has been proven promising. Marine Natural Products (MNPs) have taken a progressively important position as drugs or as lead structures for bioinspired chemicals (Maciel et al. 2018). The review of Blunt et al. (2016) reported the extraordinary number of 1378 new compounds in 456 papers for 2014, which were isolated from marine microorganisms, phytoplankton, seaweeds (including mangrove macroalgae), sponges, and cnidarians.

Most MNPs often demonstrate remarkable pharmacological potential such as anticancer, antiviral, anti-inflammatory, antimalarial, antioxidant, antifungal, and antibacterial properties. Besides, functional foods and nutraceuticals containing antioxidant peptides isolated from the marine environment have become a topic of attention for pharmaceutical products and the healthy food industry (Maciel et al. 2018).

8.6.1 Mangrove Macroalgae

In Brazil, studies on the chemical diversity of mangrove macroalgae are focused on a few genera, *Bostrychia* and *Gayralia*, mainly from São Paulo and Paraná states, and need to be extended to macroalgae from other regions of Brazil as well as to other taxonomic groups.

Earlier chemical studies on the genus *Bostrychia* were focused on the yield of primary metabolism compounds. Among these compounds, we could exemplify sulfated polysaccharides from *B. montagnei*, which showed antiviral effects against *Herpes simplex*, and demonstrated anticoagulant activity (Nosedo et al. 1999; Duarte et al. 2002, 2010). However, the most extensively studied primary metabolites in *Bostrychia* spp. are the polyols (low molecular weight carbohydrates) with multiple functions for cell osmoregulation, and for protecting and stabilizing organelle systems, protein synthesis, and enzymatic functions (Zuccarello and West 2011). Studies on polyol in *Bostrychia* spp. collected in São Paulo mangroves showed that *B. binderi*, *B. tenella*, and *B. moritziana* produced sorbitol and dulcitol, *B. calliptera* and *B. montagne* produced sorbitol, dulcitol, and traces of digeneaside, and *B. radicans* showed only sorbitol (Karsten et al. 1992; Zuccarello and West 2011).

Further studies aiming to discover new metabolites with different structures led to the isolation of two aromatic compounds from *Bostrychia tenella*, the sulfate metabolite potassium 4-(hydroxymethyl)-benzenosulfonate and the compound 1-methoxyphenethyl alcohol, the latter described previously as a synthetic product (de Felício et al. 2008) (Fig. 8.29). De Oliveira et al. (2012) discovered two new amides (*N*,4-dihydroxy-*N*-(2'-hydroxyethyl)-benzamide and *N*,4-dihydroxy-*N*-(2'-hydroxyethyl)-benzeneacetamide) in *B. radicans* (Fig. 8.29).

Volatile compounds were identified from the nonpolar fractions of marine algae *Bostrychia radicans* and *B. tenella*, both growing on the rocky shore at Dura Beach, in Ubatuba, São Paulo State, and from *B. radicans* and *B. calliptera*, both collected in the mangrove at Escuro River, also in Ubatuba. A solid-phase microextraction (SPME) and GC-MS analyses showed the presence of aldehydes in all samples but in higher percentages in mangrove species as well as the alcohols class. Moreover, fatty acids and hydrocarbons could also be observed in the fractions (de Oliveira et al. 2009).

Mangrove macroalgae have been exposed to high solar radiation and produce mycosporine-like amino acids (MAAs), which have antioxidant and photoprotective properties. The MAA contents were quantified in several *Bostrychia* species, including *B. calliptera* and *B. radicans* from São Paulo mangroves, and the MAA profiles evidenced different chemotypes and can be used as taxonomic markers (Orfanoudaki et al. 2020a). Moreover, phytochemical profiles of several samples of *Bostrychia calliptera* from different countries, including samples collected in Cardoso Island mangroves, revealed that MAAs and brominated and sulfated phenols are suitable chemotaxonomic markers since the three different chemotypes were the same lineages evidenced by DNA sequence data (Orfanoudaki et al. 2020b).

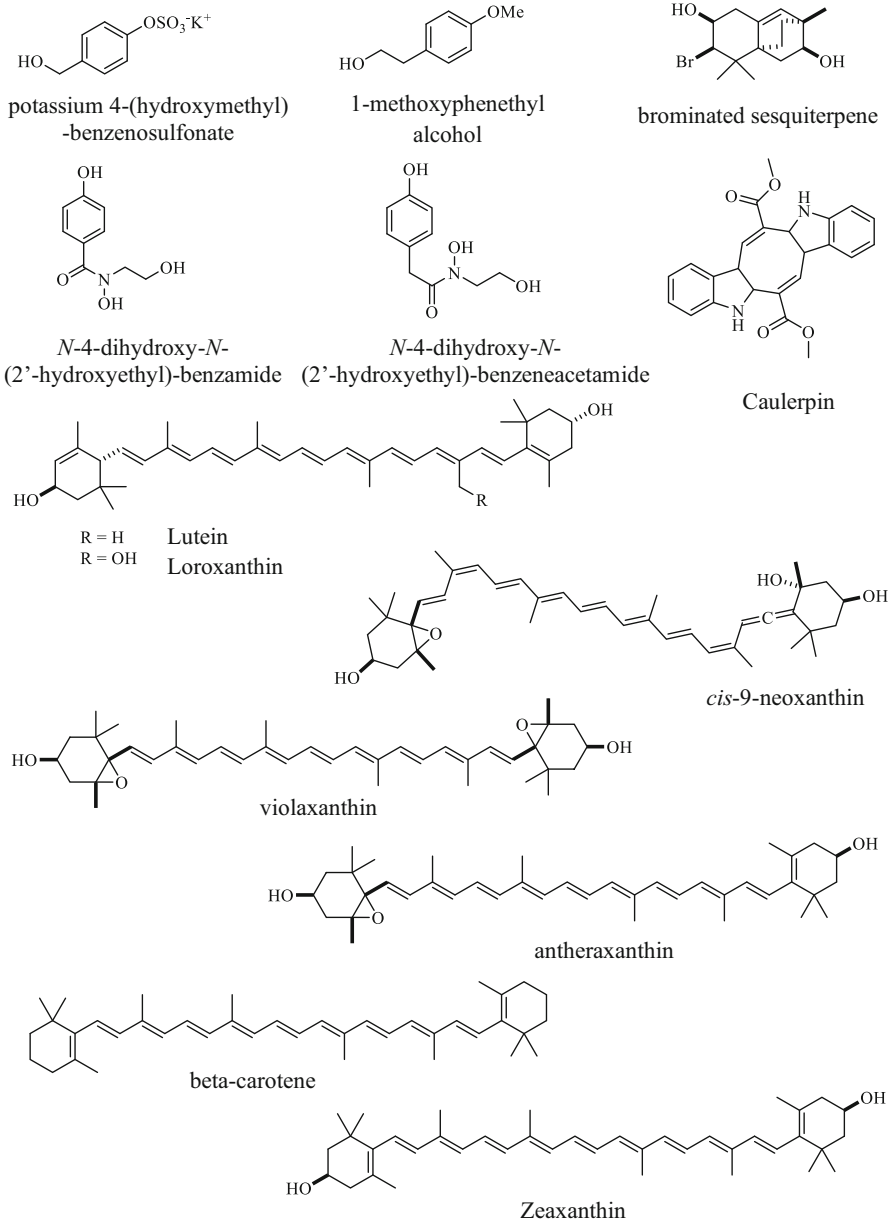


Fig. 8.29 Examples of metabolites found in macroalgae from Brazilian mangroves algae

A homogeneous sulfated heterorhamnan carbohydrate was obtained from the green seaweed *Gayralia brasiliensis* (previously identified as *G. oxysperma*) collected from mangroves in the Paraná State (Cassolato et al. 2008). The backbone is constituted by 3- and 2- linked rhamnosyl units, the latter being 50% substituted at C-3 by side chains containing 2-sulfated glucuronic and galacturonic acids and xylosyl units. The 3- and 2- linked rhamnosyl units are unsulfated (20%), disulfated (16%), and mostly monosulfated at C-2 (27%) and C-4 (37%). The branched and sulfated heterorhamnan of *G. brasiliensis* had high and specific activity against the *Herpes simplex* virus (Cassolato et al. 2008). Besides, antioxidant activities based on the 2,2-diphenyl-1-picrylhydrazyl (DPPH) radical scavenging method, by quantification of phenolic and carotenoid contents, were reported to *G. brasiliensis* from Paraná mangroves (Bernardi et al. 2016).

Although the chemical studies on Brazilian mangrove macroalgae are limited to *Bostrychia* and *Gayralia*, other classes of compounds were reported in other genera. As an example, a brominated sesquiterpene called (2S,3S,4aR,6R,7S)-6-bromo-2,5,5-trimethyl-3,4,5,6,7,8-hexahydro-2H-2,4a-ethanonaphthalene-3,7-diol was isolated from the alga *Caloglossa leprieurii* from Xisha Island in the South China Sea (Fraga 2003).

Yoshii et al. (2004) investigated the carotenoid compositions of Cladophorales from Japan. The HPLC analysis showed that pigments of *Cladophoropsis membranacea* and *C. vaucheriiformis* (Areschoug) Papenfuss were classified as lutein type, while *C. fasciculatus* (Kjellman) Wille was included in lodoxanthin type, which was considered as an inconsistency once it was expected for them to have the same precursor type. *Rhizoclonium grande* Børgesen was also included as lodoxanthin type. Carotenoids 9-*cis*-neoxanthin, violaxanthin, antheraxanthin, lutein, and β -carotene were detected in all mentioned species and a small amount of zeaxanthin was detected only in *Cladophoropsis vaucheriiformis*. The authors concluded that the ancestral state for the Cladophorales was the lodoxanthin type, based on the distribution pattern of the carotenoid types (Fig. 8.29).

Aqueous extract of *Rhizoclonium hieroglyphicum* (C.Agardh) Kützing from the Nan River in northern Thailand showed strong antioxidant properties with high free-radical scavenging capacity probably due to its large amount of sulfated polysaccharides and polyphenolic compounds. In addition, the authors of the study have evidenced a gelling ability of this extract that could be useful in nutritional, pharmaceutical, and cosmetic products (Mungmai et al. 2014).

Ethanol extracts of *Cladophoropsis* sp. from the Persian Gulf showed cytotoxic effects on three tumor cell lines and, therefore, may be good candidates for further obtaining novel anticancer compounds. Moreover, stronger cytotoxic effects on estrogen-negative breast cancer cell line (MDA-MB-231(ER-)) in comparison to estrogen-positive cells (MCF-7 and T-47D) suggest that the extract of *Cladophoropsis* sp. may have an estrogen receptor/progesterone receptor-independent mechanism acting on cellular growth inhibition (Erfani et al. 2015).

Based on the information presently described, Brazilian mangrove species belonging to *Caloglossa* and Cladophorales have the potential to be a source of compounds with biological activities, and further studies are required to characterize their chemical profile.

8.6.2 Algaliculous Fungi

Fungi colonize the internal tissues of different marine organisms, such as sponges, jellyfishes, and algae, being predominantly responsible to produce bioactive secondary metabolites that cannot be found in terrestrial organisms. Their relationship to the hosts is usually described as a symbiotic association of mutualism (Blunt et al. 2016; Maciel et al. 2018).

Many compounds biosynthesized by marine algae and associated endophytic fungi have been studied in the search of finding new natural alternatives to neutralizing the damage caused by solar radiation, for example, mycosporine produced by fungi and mycosporine-like amino acids found in algae, cyanobacteria, and invertebrates (Pallela et al. 2010).

It is important to mention that fungal chemical studies showed variations in the number of new compounds, with the figures of 318 in 2014 (Blunt et al. 2016), 371 in 2015 (Blunt et al. 2017), and 328 in 2016 (Blunt et al. 2018). Moreover, an increasing noteworthy number of compounds was observed in new substances isolated from endophytic fungi from mangroves, reaching 142 in 2016 (Blunt et al. 2018). These elevated numbers justify further studies employing endophytic fungi (Blunt et al. 2017, 2018).

In Brazil, information on fungi associated with mangrove macroalgae is limited to *Bostrychia* species. Endophytic fungi isolated from *Bostrychia radicans* and *B. tenella* were proven to be potential sources of bioactive secondary metabolites, acting as antimicrobial, cytotoxic, and exhibiting photoprotective properties (Erbert et al. 2012; de Felício et al. 2015; Pavão et al. 2016; Maciel et al. 2018).

The endophytic fungus *Phomopsis longicolla* isolated from *Bostrychia radicans* yielded three major compounds (Fig. 8.30): 18-deoxycytochalasin H, mycophenolic acid, and dicerandrol C; the latter showed antibacterial activity against *Staphylococcus aureus* (ATCC6538) and *S. saprophyticus* (ATCC 15305), with minimum inhibitory concentrations of 1 and 2 $\mu\text{g mL}^{-1}$ (1.33 and 2.66 μm , respectively) (Erbert et al. 2012). Further studies on *P. longicolla* from *B. radicans* led to the isolation of another known structure called phomoxanthone A (PhoA), focusing on its cytotoxicity, genotoxicity, and mutagenicity in healthy lymphocytes and promyelocytic leukemia HL60 cells. Cells were treated with PhoA at concentrations from 0.01 to 100.0 $\mu\text{g mL}^{-1}$, not showing cytotoxicity, genotoxicity, or mutagenicity in lymphocytes at any tested concentration (Pavão et al. 2016). Otherwise, PhoA was highly cytotoxic, genotoxic, and mutagenic to HL60 cells. The obtained results highlight the selectivity of PhoA, which had a distinct performance on the cancer cell line, whereas no damage has been observed on healthy lymphocytes. The findings of this work suggest this compound has potential as a lead drug against cancer (Pavão et al. 2016).

Ten endophytic fungi strains were isolated from *Bostrychia tenella*, and they are identified as *Acremonium sp.*, *A. implicatum*, *Eurotium sp.*, *Nigrospora oryzae*, *Penicillium decaturense*, *P. waksmanii*, *Phomopsis sp.*, *Trichoderma atroviride*, *Xylaria sp.*, and Xylariaceae (de Felício et al. 2015). Furthermore, this is the first

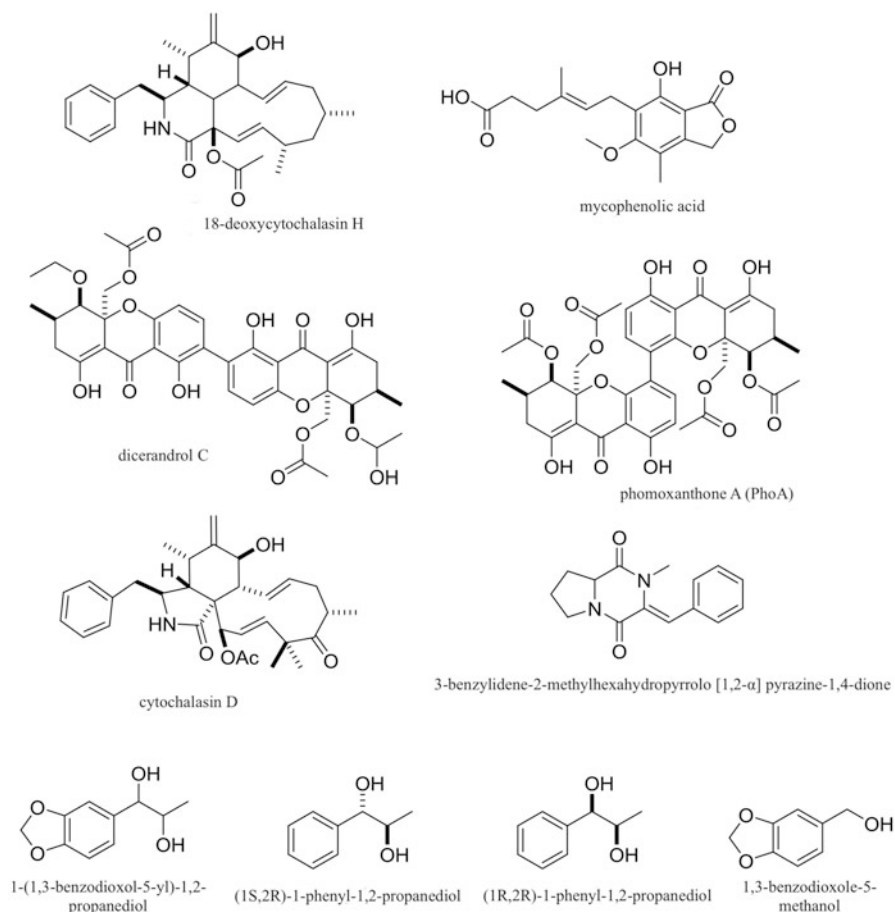


Fig. 8.30 Examples of metabolites isolated from algaliculous fungi from Brazilian mangroves

description of *Acremonium implicatum* as a marine endophyte and *Xylaria* sp., *Trichoderma atroviride* and *Nigrospora oryzae* as marine seaweed endophytes. Their crude extracts and organic fractions obtained from mycelia after fungal cultivation were evaluated for diverse activities: Cytotoxic activity using SF-295 (glioblastoma), HL-60 (leukemia), and HCT-8 (human colon carcinoma) cell lines; antifungal activity against *Cladosporium cladosporioides* and *C. sphaerospermum* phytopathogenic fungi; and antibacterial potential against *Staphylococcus aureus* Rosenbach 1884 (ATCC 6538) and *Klebsiella pneumoniae* (Schroeter 1886) Trevisan 1887 (ATCC 13883) (de Felício et al. 2015). The strains of *Penicillium* are highlighted by their positive results in a diversity of assays, exhibiting efficient cell growth inhibition (80–100%) in all tumor cell lines, fungal growth inhibition of *Cladosporium*, and antibacterial activity against *S. aureus* and *K. pneumoniae*.

Besides, the other five strains were active in at least one test (de Felício et al. 2015). In addition, this work led to the isolation of a compound called cytochalasin D, which had its first report from a marine seaweed endophyte *Xylaria* sp. This compound was described at the first time as a metabolite from terrestrial fungi with antitumor and antibiotic properties (de Felício et al. 2015).

Maciel et al. (2018) evaluated the photoprotective activity of compounds isolated from the endophytic fungus *Annulohyphoxylon stygium*, one of the strains isolated from the red alga *Bostrychia radicans*. This work yielded the isolation of five compounds: Two bioactive metabolites, the novel substance 3-benzylidene-2-methylhexahydropyrrolo [1,2- α] pyrazine-1,4-dione, which was previously described as a synthetic product in the literature, and the known compound 1-(1,3-benzodioxol-5-yl)-1,2-propanediol; two diastereomers (1*S*,2*R*)-1-phenyl-1,2-propanediol and (1*R*,2*R*)-1-phenyl-1,2-propanediol; and the 1,3-benzodioxole-5-methanol. The compounds were evaluated through the UVA/UVB absorption range, photostability, and phototoxicity using the 3T3 NRU phototoxicity test (OECD TG 432). Metabolites 3-benzylidene-2-methylhexahydropyrrolo [1,2- α] pyrazine-1,4-dione and 1-(1,3-benzodioxol-5-yl)-1,2-propanediol demonstrated expressive UVB absorption, photostability, and no phototoxicity (Fig. 8.30). This research allowed the discovery of a new photoprotective class of natural products.

8.7 Final Remarks

This chapter outlined the current state of knowledge on Brazilian mangrove macroalgae, and the later approaches in the elucidation of taxonomic problems in some genera, physiological and ecological processes, as well as chemical diversity. Taxonomical studies based on molecular markers in combination with morphological and developmental data have elucidated the occurrence of cryptic species in some genera, such as *Caloglossa* and *Gayralia*. The higher diversity of algal propagules is an interesting approach to understanding seaweed adaptation, distribution, and survival in mangrove ecosystems. Moreover, the strategies to survive in these conditions could explain the large number of compounds biosynthesized by mangrove algae and associated endophytic fungi, which are new natural products with potential to be considered as bioactive compounds for targeting pharmacological and cosmetic purposes. Studies on chemical diversity are focused on *Bostrychia* and *Gayralia* from the southern and southeastern regions, and need to be extended to mangroves from other regions of Brazil as well as to other taxonomic groups.

Finally, this chapter evidenced that the mangrove macroalgal communities are well studied in certain regions, and there are gaps, which need to be filled in order to understand the macroalgal communities from mangroves distributed along the Brazilian coast.

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Chapter 9

Macro- and Meiofaunal Communities in Brazilian Mangroves and Salt Marshes



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9.1 Benthic Environment

Mangrove forests are coastal habitats commonly characterized by a sedimentary environment composed mainly of muddy sediments. Organisms inhabiting mangroves are subjected to pressing conditions, many of which vary on a short time scale, from a few hours (semidiurnal tides) to a few days (moon cycle). Tidal cycles, anoxic sediment, and salinity fluctuation due to brackish estuarine waters are among the conditions shared with other coastal environments. What makes mangroves and salt marshes unique is the presence of vegetation, which is responsible for changing sedimentary dynamics and providing resources and refuge for a wide range of macro- and meiofaunal organisms. Due to these factors, the presence of mangroves enhances the diversity of benthic species in coastal ecosystems, especially for mollusks and crustaceans (Nagelkerken et al. 2008; Gorman and Turra 2016), despite the harsh and variable physical environment. As mangroves provide a variety of microhabitats, many organisms inhabiting mangroves are also found on adjacent ecosystems, such as estuaries, beaches, and rocky shores. The high productivity of mangroves represents an important source of organic matter for the resident fauna, but also for neighboring communities when exported to nearby coastal ecosystems.

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Aside from the sedimentary environment, mangroves also house a very diverse fauna that lives attached to mangrove aerial roots or is associated with macroalgae that grow on mangrove roots and pneumatophores. The most illustrative macroalgae association known as *Bostrychietum* (see Chap. 8) is commonly seen in Brazilian mangroves growing on pneumatophores and trunks of trees, such as those of the genera *Avicennia* and *Laguncularia*. The presence of these macroalgae enhances habitat complexity and resource availability, providing refuge and food to the resident fauna and to the settling larvae of species that otherwise would not be able to recruit in mangroves. Tree structures are not only important for macroalgae establishment, but also as a substrate for encrusting macrofauna, mainly suspension feeders such as bivalves.

Brazil has the second-largest mangrove area in the world, extending from subtropical to tropical climates (Spalding 2010). Aside from the expected latitudinal variations, the tidal regime varies greatly from the south (microtidal) to the north coast (macrotidal) of the country, and vegetation changes gradually to the dominance of *Spartina alterniflora* Loisel toward the southern coast (see Chaps. 1 and 3). Tidal variation can deeply affect mangrove benthic fauna, mainly by changing activity and zonation patterns between and within species (Nishida et al. 2006; Wunderlich and Pinheiro 2012). Hence, the patterns of benthic community structure (i.e., abundance, dominant taxon, diversity) may vary greatly along the coast, as already demonstrated in the Brazilian estuaries (Lana and Bernardino, 2018). In the following sections, we present an overview of the composition of benthic fauna in mangroves and salt marshes of Brazil, highlighting the most representative taxa. It is important to mention that this chapter reviews the studies carried out in Brazil but is a nonexhaustive review of the main findings. Thus, at the end of each section, we provide additional references to those looking to further delve into the benthic biodiversity of Brazilian mangroves.

9.2 Benthic Fauna

Like other benthic environments, such as sandy beaches, coastal bays, and seagrass habitats, a great diversity of taxa can be found in mangroves. The faunistic composition ranges from mobile to sessile, detritivores to predators, and epifaunal to infaunal species. Many species have behavioral or physiological adaptations to cope with the varying tides and salinity and the overall oxygen-depleted sediments due to the environmental conditions generated by the location within estuaries and the high decomposition rate within the sediment. An important difference from other intertidal soft-bottom habitats (e.g., sandy beaches) is that, due to the presence of vegetation, epifaunal organisms are more common. As the mangrove and saltmarsh biotic structures enhance the environmental suitability for many species, these species are also important for the maintenance of the ecosystem by participating in the cycling of nutrients and organic matter, enhancing the mineralization, and oxygenating the sediment via bioturbation. Below we present the most

representative groups of mangrove invertebrate fauna, including examples of species commonly found on the Brazilian coast.

9.2.1 Mangrove Crabs

Mangrove crabs are likely the most prominent faunistic group in mangrove ecosystems (see also Chap. 10). They are conspicuous organisms that can be seen climbing trees, hiding among the mangrove roots, and burrowing in the muddy sediment. Their assemblage may attain a very high diversity in mangroves, being composed of taxa from many different families. The most abundant mangrove crab superfamilies along the Brazilian coast are Ocypodoidea (e.g., Ocypodidae, Ucididae) and Grapsoidea (e.g., Grapsidae, Sesamidae, Varunidae), like patterns registered in mangroves around the globe (Branco 1990; Oshiro et al. 1998; Colpo et al. 2011; Sousa et al. 2015). Colpo et al. (2011) suggest that the forest's successional stage is related to the dominance of one superfamily over another, with Ocypodoidea dominating pioneer stages, and Grapsoidea, the more mature forests.

The Ucididae family is represented mainly by one species, the swamp ghost-crab *Ucides cordatus* (Fig. 9.1a), popularly known as “caranguejo-uçá” in Brazil. This is likely the most common and well-known benthic species in Brazilian mangroves,

Fig. 9.1 Brazilian mangrove crabs. (a) *Ucides cordatus*; (b) *Goniopsis cruentata* (Photos: Clemente Coelho-Jr)



Fig. 9.4 (a) *Uca* spp.; (b) *Cardisoma guanhumi*.
(Photos: C. Coelho-Jr)



occurring abundantly and continuously in mangroves along the entire coast, albeit varying in density at local scales (Schories et al. 2003; Sandrini-Neto and Lana 2011). This species inhabits burrows mainly in the inner area of mangroves, where it feeds primarily on leaves and plant detritus (Nordhaus and Wolff 2007). Its feeding rate is so high that *U. cordatus* is considered to be responsible for the removal and processing of leaf litter in Brazilian mangroves (Schories et al. 2003; Nordhaus et al. 2009). It is also the most important mangrove species in terms of economic exploitation (see Sect. 9.4). This is the most studied species in Brazilian mangroves, with research focusing on the population structure, ecological responses, and fisheries in addition to impact assessments (Diele et al. 2005; Duarte et al. 2016; Banci et al. 2017; Pinheiro et al. 2018).

Fiddler crabs (Ocypodidae), popularly known as “chama-marés” in Brazil, also occur in great abundance and are a widely studied faunal component in Brazilian mangroves, with many studies that focus on population, behavior, and community ecology (e.g., Costa and Negreiros-Fransozo 2001; Koch et al. 2005; Bezerra et al. 2006; Machado et al. 2013; Thurman et al. 2013; Checon and Costa 2017). There are currently ten fiddler crab species recognized in Brazilian mangroves: *Leptuca cumulanta*, *L. leptodactyla*, *L. uruguayensis*, *Minuca burgersi*, *M. mordax*, *M. rapax*, *M. thayeri*, *M. vocator*, and *Uca maracoani* (see Fig. 9.4a) in addition to one endemic species (*Minuca victoriana*). Due to this diversity, fiddler crabs are found in different zones along the mangrove, including the unvegetated edge to the

innermost areas (Checon and Costa 2017). Most of these species occur along the entire Brazilian coast, except for *L. uruguayensis*, which is restricted to the mangroves of southern and southeastern areas, and *M. victoriana*, whose distribution is restricted to the southeastern coast, but with a trend of northward and southward expansion noted recently (Castiglioni et al. 2010; Thurman et al. 2013). The ecology and behavior of fiddler crabs can be further explored in works such as Thurman et al. (2017), De Grande et al. (2018), Vianna et al. (2020), and Arakaki et al. (2020).

The blue land crab *Cardisoma guanhumi* (Gecarcinidae), popularly known as “guaiaumum” in Brazil, has a wide distribution along the coast. Like *U. cordatus*, that is a species that is commonly exploited for economic purposes (Sect. 9.4). However, while *U. cordatus* is mostly found in the intertidal and fringe areas of mangroves, *C. guanhumi* occurs in the apicum zone, the dry upper area of mangroves (Oliveira-Neto et al. 2008). Occurrence in this zone makes this species more susceptible to suppression of mangroves, which has resulted in population decline, especially in the more urbanized southeastern coast (Amaral and Jablonski 2005). It has been found to adapt to terrestrial conditions outside of mangroves in some areas; however, this adaptation comes with a cost, and individuals inhabiting the apicum zones of mangroves can excavate more suitable burrows than individuals adapted to the terrestrial environment (Oliveira-Neto et al. 2008). Further information can be found in studies such as Cavalcanti et al. (2009), Firmo et al. (2012), and Silva et al. (2014).

The red mangrove-root crab *Goniopsis cruentata* (Gecarcinidae), popularly known as “maria-mulata” or “aratu-vermelho” in Brazil, is another species with continuous distribution along the coast (Fig. 9.1b). This species is a nonburrowing crab that inhabits crevices and holes inside logs, as well as empty burrows left by other species. It has a crucial role in the food web, being one of the most prominent omnivores in Brazilian mangroves (Koch and Nordhaus 2010). As an active and generalist predator, it feeds on smaller crabs, but may also consume carrion, mangrove propagules, and detritus (Koch and Nordhaus 2010; Andrade et al. 2012). Gut content studies indicate that plant detritus is likely the main source of food for this species (Lima-Gomes et al. 2011). Further research on this species can be found in studies such as Lira et al. (2013), Davanso et al. (2013) and Hirose et al. (2015).

The Varunidae crab *Neohelice granulata* (formerly known as *Chasmagnathus granulatus*), popularly known as “catanhão” in Brazil, is also very abundant, but its distribution is restricted to the southern and southeastern coasts, occurring in mangroves and salt marshes, where it is the main grazer of *Spartina alterniflora* (Freitas et al. 2015). The species is widely studied in terms of behavior, grazing pressure, influence on sedimentation, and pollution impacts (Spivak 2010; Freitas et al. 2015). According to Spivak (2010), it is the sixth most studied crab species in the world, due to its abundance in South Brazil and Argentina.

Other numerically important crab species inhabiting Brazilian mangroves are the Xanthidae *Eurythium limosum*, the Grapsidae *Sesarma rectum*, *Pachygrapsus gracilis*, and, especially, the tree crab *Aratus pisonii* (mangrove-tree crab) (Fig. 9.3), commonly found climbing mangrove trees or walking between pneumatophores. Most of these species are not restricted to Brazilian mangroves and can be

Fig. 9.3 *Aratus pisonii*
H. Milne Edwards and
Melampus coffea L. (Photo:
Clemente Coelho-Jr)



found distributed along the Western Atlantic Coast. Blue crabs (Portunidae) occur in mangroves at low tide levels in estuarine areas, represented mainly by the omnivore crabs from the genus *Callinectes*, mainly *C. sapidus* and *C. danae*, both popularly known as “siria zul” in Brazil.

9.2.2 Other Crustaceans

Aside from brachyurans, peracarid crustaceans are also commonly found in mangrove forests. These organisms may occur under different conditions and habitats. Contrary to the brachyuran, which are found more commonly in the sediment, peracarid crustaceans, especially amphipods, are more abundant in association with macroalgae mats. For instance, amphipods and tanaids were reported as the most common organisms associated with the *Bostrychietum* on pneumatophores in a Southeast Brazilian mangrove (García et al. 2015). Amphipods and tanaids can also be found on decomposing mangrove leaves, especially those of *Rhizophora mangle* (Oliveira et al. 2012).

Shrimps are also commonly found in Brazilian mangroves. Species of importance as fishery resources are the pink shrimps *Farfantepenaeus brasiliensis* and *F. paulensis* (popularly known as camarão-rosa in Brazil), occupy mangrove estuaries and adjacent bay areas, although the latter species is much more present in the estuary (Costa et al. 2008). The seabob shrimp *Xiphopenaeus kroyeri* (popularly known as camarão-sete-barbas in Brazil) is also registered in bay areas surrounded by mangroves (Grabowski et al. 2016). Despite the occurrence of shrimp species, populational and ecological studies in Brazilian mangroves of this group are scarce, and most are directed toward the characterization and effects of shrimp farming, as discussed in Sect. 9.5.

Depending on sedimentary conditions and availability of gastropod shells, hermit crabs of the families Paguridae (*Pagurus criniticornis*) and Diogenidae (*Clibanarius antillensis*, *C. sclopetarius*, *C. vittatus*, and *Calcinus tibicen*) may also occur in the mangroves (Amaral et al. 2010; Sousa et al. 2015). Finally, although not commonly

surveyed in Brazilian mangroves, barnacles (Cirripedia) can be frequently found attached to the trunks and pneumatophores of mangrove trees. Farrapeira (2006) reported five species attached to mangrove trunks and wood debris (*Amphibalanus amphitrite*, *A. eburneus*, *A. improvisus*, *Chthamalus proteus*, and *Euraphia rhizophorae*), albeit noting the degraded condition of the study area in Recife (Pernambuco State, PE) (see Chap. 3, Map 8) could have limited the occurrence of barnacles since they are pollutant-sensitive animals. Another study in an estuarine area on the Island of Itamaracá (PE) registered six species attached to mangrove trees (*Amphibalanus amphitrite*, *A. improvisus*, *A. reticulatus*, *Chthamalus proteus*, *Euraphia rhizophorae*, and *Microeuraphia rhizophorae*) (Farrapeira 2008).

9.2.3 Mollusks

Mollusks are the second most common epifaunal component in mangroves (Nagelkerken et al. 2008). In some mangroves, mollusks reach high densities, being very abundant elements of the epifauna (mainly Gastropoda, oysters, and mussels) and infauna (most Bivalvia, except oysters, and mussels).

Bivalvia is likely the most known mollusk group inhabiting mangroves, mainly due to the occurrence of economically important species. Infaunal bivalves such as the heart clam *Anomalocardia brasiliiana (flexuosa)* (popularly known as “berbigão” or “vôngole” in Brazil) and *Tagelus plebeius* (popularly known as “unha-de-velho” in Brazil) are examples of infaunal bivalves commonly found in mangroves, albeit also common on adjacent ecosystems and captured for human consumption (Nishida et al. 2006). However, epifaunal bivalves are also very common in mangroves.

The most prominent examples of epifaunal bivalves are mangrove oysters, belonging to the genera *Crassostrea*, especially *C. rhizophorae* and *C. gasar* (Fig. 9.2). These filter-feeding oyster species live attached to the trunks and the

Fig. 9.2 *Crassostrea rhizophorae* Guilding.
(Photo: Clemente Coelho-Jr)



rhizosphere, fragments of rocks or other hard substrates, and may form dense aggregations in the lower intertidal area within the mangrove. Other abundant sessile bivalves inhabiting mangroves are the mussels *Mytella charruana* and *M. guyanensis* (popularly known as “sururu” in Brazil). Contrary to *Crassostrea* sp., these species mainly attach to the superficial mud sediment, forming mussel beds, although sometimes they are found attached to prop roots and pneumatophores.

Mytella spp. mussel beds increase substratum complexity, and an associated fauna may occur in areas where they are found (Nishida and Leonel 1995). Both the mangrove oysters and mussels are economically important, being collected by artisanal collectors, as well as cultivated in aquaculture systems (Nishida et al. 2006). Due to their filter-feeding habit, these species are studied as indicators of anthropic interference, based on the bioaccumulation of toxic compounds in their tissues (Molisani et al. 2004; Vaisman et al. 2005).

Shipworms (Bivalvia, Teredinae) are bivalves popularly known in Brazil as “teredo” or “turu.” They can be found along the entire Brazilian coast, and they are known for their unusual life form, which allows them to bore and digest wood, forming extensive galleries inside mangrove logs. The number of species along the Brazilian coast varies among locations. In a mangrove in Paraná, South Brazil, ten species were recorded (*Bankia bagidaensis*, *B. fimbriatula*, *B. gouldi*, *B. rochi*, *Lyrodus floridanus*, *Nausitora fusticula*, *Neoteredo reynei*, *Teredo bartschi*, *T. mindanensis*, *T. navalis*) (Müller and Lana 1986), the highest number among all studies in the country. Six species were found in a mangrove at Dura Beach (Ubatuba, São Paulo), Southeast Brazil, in which *Nausitora fausticula* was the most abundant, and the species *Bankia* sp. and *Neoteredo reynei* were limited because of low salinity (Lopes and Narchi 1993). In contrast, in Northern Brazilian mangroves, Beasley et al. (2005) found four shipworm species, whereas Filho et al. (2013) recorded only *N. reynei* inhabiting mangrove logs (Filho et al. 2013). Although this may suggest a latitudinal pattern in shipworm richness, other factors such as salinity, zonation, and tree density are likely to influence differences between mangroves.

Gastropods are also commonly found in mangroves. These mollusks usually occupy the sedimentary environment, as epifauna, but may also be found climbing on the branches of mangrove trees. Some species, such as the pulmonated gastropod *Melampus coffea* (coffee bean snail) (Fig. 9.3), exhibit this climbing behavior during the high tide, returning to the sediment to forage during low tides (Maia and Tanaka 2007). The Littorinidae genera *Littorina* and *Littoraria* may also be abundantly found climbing on mangrove trees. Moreover, a survey by Queiroz and Dias (2014) on a hypersaline mangrove in northeast Brazil found that gastropods were the most abundant organisms inhabiting algal fronds of *Gracillaria* spp., especially the fat dove snail *Parvanachis obesa* (Collumbellidae) and the Virgin Nerite *Neritina virginea*, popularly known as “aruá-do-mangue” in Brazil (Neritidae). Additional findings regarding mollusks in Brazilian mangroves can be found in studies such as Lima et al. (2017), Muniz et al. (2019), and Saad et al. (2019).

9.2.4 *Polychaetes*

Polychaetes are one of the most numerically abundant organisms inhabiting mangrove sediments. The number of studies on these organisms in Brazilian mangroves, however, is limited in comparison to crustaceans and mollusks, as the worldwide trend (Nagelkerken et al. 2008). Contrary to the observed positive correlation between mollusk and crustacean abundance and the presence of mangroves, polychaetes in Brazilian mangroves and salt marshes do not seem to follow that pattern (Netto and Lana 1997; Pagliosa and Lana 2005). There is a decrease in density in mangrove areas, which may be attributed to changes in sedimentary conditions caused by mangrove plants (Checon et al. 2017). Nonetheless, studies on Brazilian mangroves reveal a high diversity of polychaete species (Lana et al. 1997; Netto and Gallucci 2003; Pagliosa and Lana 2005; Checon et al. 2017).

The polychaete *Capitella capitata* is part of a species complex likely composed of many cryptic species (Silva et al. 2017), and one of the most common polychaetes of Brazilian mangroves (Lana et al. 1997; Netto and Gallucci 2003; Checon et al. 2017). This species complex is widely recognized as a biological indicator of organic enrichment and is associated with very fine sediments (Pearson and Rosenberg 1978). Given the anthropic impacts on Brazilian mangroves, its occurrence may not only be linked to organic contamination but also be an effect of the naturally high content of organic matter of mangroves. Other capitellids, such as *Heteromastus filiformis*, *H. similis*, and *Mediomastus californiensis*, are found in much lower frequency and abundance compared to *C. capitata* (Pagliosa and Lana 2005; Checon et al. 2017).

Spionid polychaetes are also fairly common, especially the *Polydora* genus, which can be found in the sediment and boring oyster shells (Sabry et al. 2013). Nereididae polychaetes are rather dominant in mangroves and salt marshes, with *Laeonereis culveri* and *Nereis oligohalina* being the most reported species (Netto and Lana 1997; Pagliosa and Lana 2005). The Nereidid polychaetes *Namalycastis* sp. and *Namanereis* sp. are frequently associated with shipworm galleries, with the former being called “mother of turu” (Aviz et al. 2009), and decomposing mangrove leaves (Oliveira et al. 2012). Other reported species in Brazilian mangroves and salt marshes are *Glycinde multidentis* (Goniadidae), *Isolda pulchella* (Ampharetidae), *Sigambra grubei* (Pilargidae), and *Typosyllis* sp. (Syllidae) (Netto and Lana 1997; Netto and Gallucci 2003; Pagliosa and Lana 2005; Checon et al. 2017). Additional research on polychaetes in Brazilian mangroves can be found in studies such as Melo et al. (2013), Silva-Camacho et al. (2017), and Tavares-Cutrim et al. (2018).

9.2.5 *Other Groups*

The macrofaunal groups reviewed in the previous items are the most recognized and likely most abundant organisms found in mangrove forests in Brazil. However, other faunal taxa may occur in these environments, especially in the sublittoral. Studies

evaluating these groups in Brazilian mangroves are generally lacking, which compromises the recognition of the true biodiversity of mangroves, and the ecological role that these other groups may play in the mangrove ecosystem.

Echinoderms may be found in the shallow sublittoral of coastal ecosystems, including mangroves. For instance, the holothuroidean *Holothuria dakarensis* was recently registered for the first time in the Southwestern Atlantic, in a hypersaline mangrove in Northeast Brazil (Prata et al. 2014). Ophiuroideans can also be found associated with mangrove areas on the Brazilian coast, for example, *Amphipholis squamata*, *Ophiactis savignyi*, and *Ophiocoma echinate* (Lima et al. 2011).

Other groups, such as sponges, ascidians, and Actinairian anemones, can be found in the lower intertidal area of mangroves, particularly attached to the vegetation (Rosa-Filho and Farrapeira 1998).

9.2.6 Meiofauna

Meiofaunal organisms are numerically dominant in mangrove sediments (Netto and Gallucci 2003), even in the most organically enriched anoxic and sulfidic sediments, where macrofauna becomes rare (Zeppilli et al. 2018). The meiobenthic fauna plays an important ecological role in mangroves since it takes part in litter degradation (Sommerfield et al. 1998) and is a significant component of the diet of commercially relevant fish and crustacean species (Coull et al. 1995; Dittel et al. 1997). For the most abundant meiobenthic taxa, the nematodes, it has been indicated that, at the global scale, richness is higher closer to the equator (Brustolin et al. 2018), a pattern distinct from the other benthic taxa, which may have evolved independently of mangrove tree richness.

At a local scale, the meiobenthos community structure shows high density and dominance. In Brazil, meiofauna studies are restricted to a few mangroves, in which nematodes accounted for 70–90% of the total sampled meiofauna (Gomes et al. 2002; Netto and Gallucci 2003; Paula et al. 2006; Santos et al. 2009; Venekey et al. 2019). Total nematode genus richness is similar among studies, with ca. 80 genera (Gerlach 1958; Netto and Gallucci 2003; Pinto et al. 2013), except for some mangroves from the Amazonian coast with less than 40 genera (Venekey et al. 2019). In this area, the low diversity of nematofauna together with low meiofauna density and richness is probably related to the high local hydrodynamics, since the large Amazon River discharges cause significant fluctuations in salinity (Rosa Filho and Aviz 2013, Venekey et al. 2019). There is so far no evidence for exclusively mangrove-specific nematode taxa (Nagelkerken et al. 2008), but there are some genera commonly found in mangrove areas (Fonseca and Netto 2015). The families Chromadoridae and Linhomoidae are the most relevant in terms of abundance and richness. *Terschellingia* (Linhomoidae), for example, accounting for more than half of the nematode abundance in Curuçá, Pará State (PA), North Brazil (Venekey and Melo 2016) (see Chap. 3, Map 2), also numerically dominated the nematode fauna in Santa Catarina (South Brazil), together with *Haliplectus* (Haliplectidae) and

Anoplostoma (Anoplostomatidae) (Netto and Gallucci 2003). In Itamaracá (PE), in Northeast Brazil, *Terschellingia* was found in all sampled microhabitats, and it was the most abundant in sandy mud, sponge sediments, and microhabitats composed of pneumatophore, algae, and sediments (Pinto et al. 2013). Nematodes in mangroves are mainly structured by the sediment characteristics and detritus biomass (Netto and Gallucci 2003; Pinto et al. 2013). Species richness is positively correlated to the amount of detritus and silt percentage (Netto and Gallucci 2003).

Harpacticoid copepods are also frequently found in high numbers in mangroves (Gomes et al. 2002). Like nematodes, there is little evidence of specialized mangrove copepod taxa; however, some species of the Darcythompsoniidae family can only be found on mangrove leaf litter (Por 1984; Somerfield et al. 1998). One study in Cananéia, state of São Paulo, registered 14 copepod species in the mangroves (Por et al. 1984) (see Chap. 3, Map 14). Copepods are also found in association with mangrove macroalgae (Garcia et al. 2015).

The mangrove phytal meiofauna is often dominated by acari (Nagelkerken et al. 2008, Gallucci et al. 2020) and is mostly found on turf growing on prop roots and pneumatophores (Chatterjee 2015). Halacarids were the second most abundant taxa to be found in a state of Santa Catarina mangrove studied by Netto and Gallucci (2003). The species *Rhombognathus abirus* and *R. picinguabensis* were described in the mangrove at Fazenda Beach, Ubatuba, state of São Paulo (Pepato and Silveira 2015).

Kinorhynchs are often reported as one of the rarest meiofaunal taxa in ecological studies, representing <1% of the total abundance (e.g., Netto and Gallucci 2003). Yet, in the Itamaracá (PE) mangroves, kinorhynchs were found in densities equal to, or even higher than, those observed for copepods (Gomes et al. 2002; Santos et al. 2009). Platyhelminthes and other soft-bodied taxa, such as gnathostomulids and gastrotrichs, may be just as abundant as nematodes and copepods in mangroves; however, the techniques of meiofauna sampling often damage these flatworms, leading to underestimation of their abundance (Alongi 1987; Fonseca et al. 2010).

9.3 Ecological Role

The mangrove benthic fauna is associated with important ecological processes, especially crabs, widely known as important ecosystem engineers (Kristensen 2008). Crab burrowing and colonization cause significant bioturbation and detritus consumption, both contributing to sediment oxidation, increase in microbial activity, nutrient cycling, and diverse biochemical pathways (Koch and Wolff 2002; Kristensen 2008). Bioturbation by crabs also changes the environment's physical properties, such as surface topography and the distribution of sediment (Lee 2008).

Ucides cordatus is a key species for the homeostasis of Brazilian mangrove ecosystems. Along with the tree crab *Aratus pisonii*, they are the main leaf consumers in the country's mangroves, with *U. cordatus* being a detritus feeder, whereas *A. pisonii* is a fresh leaf consumer (Koch and Nordhaus 2010). This leaf

consumption plays a major role in the cycling of nutrients that were not exported by the tides to adjacent areas.

The presence of vegetation directly influences the occurrence of crabs, but the crabs' activity also in turn impacts tree diversity. Propagule predation by the red crab *Goniopsis cruentata* is suggested to directly influence the composition of mangrove stands, as it predated preferentially on propagules of *Laguncularia racemosa* and *Avicennia schaueriana*, hampering the recruitment by these species and favoring the establishment of *Rhizophora mangle* (Ferreira et al. 2013).

Mangrove crabs and other benthic fauna can also provide habitat for other organisms by enhancing environmental complexity. Galleries created by *U. cordatus* serve as a home for other crustaceans in south Brazil, especially for the tanaid *Sinolobus stanfordi* (Kassuga and Masunari 2008). Tunnels created in mangrove logs by the shipworm *Neoterredo reynei* promote an enhancement of habitat complexity and create a habitat where a diverse microbenthic community thrives. Aviz et al. (2009) found that these abandoned galleries are densely colonized by decapod crustaceans, polychaetes, amphipods, and gastropods.

Crassostrea sp. oyster banks form reef-like formations that enable the occurrence of other organisms. Fishes, such as economically relevant *Centropomus* sp. (or “robalo” in Brazil) and *Atherinella brasiliensis* (or “peixe-rei” in Brazil), were observed in a mangrove oyster farm in Ceará State, Northeast Brazil, during high tide (Freitas et al. 2006). It is known that oysters also enhance the occurrence of other benthic groups such as crustaceans, mollusks, and polychaetes (Minchinton and Ross 1999; McAfee et al. 2016). However, studies with this associated fauna in Brazilian mangroves are still lacking.

9.4 Species of Social-Economic Relevance

Many coastal communities depend directly or indirectly on subsistence and commercial fishing, crab catching, and mollusk gathering in mangrove areas. These species are mainly exploited as a food source in regional cuisine, but also for medicinal, religious, and artistic purposes.

By far the most prominent benthic organisms in this context are brachyuran crabs, especially the mangrove crab *Ucides cordatus*, the blue land crab *Cardisoma guanhumi* (Fig. 9.4b), the mangrove root crab *Goniopsis cruentata*, and the blue swimming crabs (*Callinectes* spp.). These species represent the most important economically explored macrobenthic species in Brazilian mangroves, as shown by local surveys in coastal communities (Glaser 2003; Santos et al. 2017). A deeper analysis of the social importance and the biological aspect of economically important brachyuran crabs can be found in Chap. 10 of this book.

Bivalves are the second most exploited macrobenthic group for commercial purposes. The most prominent examples are Mytillidae mussels, the mangrove oyster *Crassostrea rhizophorae*, the stout razor clam *Tagelus plebeius*, and shipworm species. The clam *Anomalocardia brasiliiana* (Fig. 9.5), one of the most

Fig. 9.5 *Anomalocardia brasiliiana* Gmelin. (Photo: Guilherme Moraes de Oliveira Abuchahla)



consumed bivalves in Brazil, inhabits the mangrove borders, although it presents a higher abundance in estuarine areas rather than in the mangroves.

The importance of mangrove macrobenthic exploitation has been declining in the past years, mainly due to aquaculture expansion, overexploitation (stock depletion), and urbanization (habitat loss), and industrialization (contamination and pollution) of coastal cities. Nonetheless, many human communities, especially on the Northern and Northeastern coasts, still heavily rely on these resources for their subsistence. Communities around the Caeté River estuary (PA) (see Chap. 3, Map 2) have a strong dependence on the capture of mangrove species, especially *U. cordatus*, with up to 80% of the population relying on such activity (Glaser 2003).

Mangrove fauna also has cultural relevance in some regions. Especially in North and Northeast Brazil (although not restricted to), animal-derived material (e.g., extracts from tissues and organs, powdered components, ointments, crab fat, and animal infusions) is used as alternative medicine and in religious rituals or as souvenirs. Some examples include the fat of *U. cordatus* and *C. guanhumi*, used to treat hemorrhages and aid cicatrization, respectively (Costa-Neto and Marquez 2000); the flesh and shell of *C. rhizophorae* are used for the treatment of an array of unrelated illnesses (e.g., osteoporosis, stomach pain, flu, and cancer) (Alves and Rosa 2006); the flesh and bile of *G. cruentata* are used to treat venereal diseases (Alves and Rosa 2006). Other examples include the clam *A. brasiliiana*, fiddler crabs, the mussel *M. charruana*, and the tree crab *A. pisonii*, which are used either in popular medicine practices, as well as to produce ornaments and artisanal goods (Alves and Rosa 2006; Alves et al. 2007). On the Northeast, the neogastropod *N. virginea* is commonly captured to make ornaments, such as necklaces, due to

the unique patterns of their shells. The preservation of such traditions is important from a cultural standpoint; however, it should be noted that this may add pressure on populations, especially considering that most of these species are also commercialized in the food industry.

Mangroves are not only important for macrobenthic species of economic relevance. Many economically important fish species use mangroves both as a refuge from predators and as feeding ground, preying upon benthic species. That is one of the reasons why mangroves are considered nursing habitats for juvenile fishes. Some examples in Brazil are the sea catfish *Sciades herzbergii* (popularly known as “bagre”), a generalist feeder in mangroves, preying upon crustaceans, polychaetes, and mollusks (Giarizzo and Saint-Paul 2008); the banded puffer *Colomesus psittacus* (popularly known as “baiacu” in Brazil), a specialist feeder, preying mainly upon barnacles and brachyuran crabs (Krumme et al. 2007); *Eucinomostus* spp. (popularly known as “carapicu” in Brazil), a transitory inhabitant during juvenile stages, which enters mangrove areas to prey on polychaetes (Chaves and Otto 1999); and the flatfishes *Citharichthys* sp., *Etropus* sp., and *Achirus lineatus*, which feed on a diversity of brachyuran crabs, mollusks, polychaetes, and gammarid amphipods (Chaves and Serenato 1998). Conclusively, mangrove benthic species are a key element in the maintenance of fish stocks, important for ecological and socioeconomical equilibria.

9.5 Anthropic Impact on Mangrove Benthic Fauna

Worldwide, coastal areas are under increasing threat from anthropic activities. Mangroves are no exception, and loss by deforestation for urban, aquaculture, and industrial activities is a major concern for this ecosystem’s conservation. In Brazil, estimates point to a considerable loss of the total mangrove area in the country just over the last three decades (Ferreira and Lacerda 2016). Furthermore, aside from the obvious impacts on the benthic fauna through the loss of complexity due to mangrove suppression, anthropic activities developed within and surrounding the estuaries affect the fauna directly.

As a consequence of the use of benthic species for commercial purposes, overexploitation of economically important species represents a strong pressure on the Brazilian mangrove fauna. Currently, there are regulations to control the exploitation of species such as *Ucides cordatus* and *Cardisoma guanhumi* during breeding periods. Nonetheless, that does not inhibit the effects of overexploitation on these species. *Ucides cordatus*, alongside *Neohelice granulata*, are considered as nearly threatened (NT, per the IUCN criteria), due to the decline in the population of *U. cordatus* and the limited range of *N. granulata* (Pinheiro and Boos 2016). *Cardisoma guanhumi* populations are rapidly declining because of exploitation and loss of *apicum* zones along the Brazilian coast (Firmo et al. 2012), and the species is considered critically endangered (CR, per IUCN criteria), with an estimated decrease of 88% in the population throughout three generations (Pinheiro and

Boos 2016). Populations of *Goniopsis cruentata* have also seen historical shrinking, reported by local communities in Pernambuco State, which is attributed to, aside from overexploitation, increased tourism, aquaculture, and incoming pesticides from upland crops (Maciel and Alves 2009). Overexploitation of *G. cruentata* also increased in recent years due to a concurrent decrease of *U. cordatus* populations (Botelho et al. 2004). This species, however, is still considered as least concern (LC, per IUCN criteria) (Pinheiro and Boos 2016). It is important to notice, however, that there are sustainable examples of fauna capture on the Brazilian coast (Diele et al. 2010) and that not every instance results in threats to local fauna (see Chap. 10). For fiddler crabs, most species have wide distributions along the coasts and are considered not threatened, except for *U. uruguayensis* and *U. victoriana*, classified as nearly threatened (NT) due to the limited range (Pinheiro and Boos 2016).

Aquaculture, especially shrimp farming, had the largest contribution to worldwide mangrove loss during the last century (Valiela et al. 2001). The implementation of aquaculture in northeast Brazil is perceived by local communities as the cause for the decrease in abundance of *U. cordatus*, which in turn hinders the livelihood of these communities who rely on the species (Santos et al. 2017). More systemic impacts have also been registered, with impacts of shrimp farming at Todos os Santos Bay, state of Bahia (see Chap. 3, Map 11), affecting the abundance, richness, and diversity of macrobenthic fauna as well as the chemistry of the water column (Hatje et al. 2016; Ribeiro et al. 2016), with an increase in the concentration of metals and organic nutrients (Ribeiro et al. 2016). The history of implementation of shrimp farming in Brazil and its impacts can be found in studies such as Guimarães et al. (2010), Queiróz et al. (2013), Tenório et al. (2015), Ferreira and Lacerda (2016), and Bernardino et al. (2018).

Climate change is another major concern for the conservation of mangrove ecosystems and their benthic fauna. Contrary to other coastal ecosystems, such as sandy beaches, predictions of impacts vary between regions, with studies indicating expansion in some areas, and contraction and even disappearance in others. Recent predictions for the Brazilian coast indicate that mangroves from the Northern region are likely to expand, whereas in more encroached areas, such as the Southeast coast, rising sea level is likely to cause habitat loss and consequently affect local biodiversity (Godoy and Lacerda 2015), as smaller mangroves are more likely to be affected by tide and wave energy effects. This loss in biodiversity would have a strong socio-ecological impact on this coastal sector.

The presence of solid waste and pollutants is another problem in Brazilian mangroves that impact benthic macrofauna. Mangroves are susceptible to this type of pollution due to the retention potential of the pneumatophores of *Avicennia schaueriana* and *Laguncularia racemosa* and leaves of *Spartina alterniflora*. In this sense, mangroves end up as sinks of plastic waste and debris that arrive in the estuaries (Ivar do Sul and Costa 2014; Vermeiren et al. 2016). Plastic wastes (e.g., bags, food wrapping) are the bulk component of this problem on the Southern and Southeastern coasts, and they derive mostly due to urban activities and the illegal occupation of the coastline (Cordeiro and Costa 2010; Vieira et al. 2013). Yet the impacts of this pollution on the benthic fauna are little studied in Brazil (but see

Gorman and Turra 2016). It is known that fiddler crabs (*Minuca rapax*) may ingest microplastic pellets that in turn accumulate in their internal organs, causing potentially harmful effects (Brennecke et al. 2015). The same likely occurs for other species, given the evidence of microplastic ingestion by many benthic species worldwide (Wright et al. 2013).

Mangroves are ecosystems prone to the retention of organic and inorganic contaminants. Studies in Guanabara Bay, state of Rio de Janeiro (RJ), show that mangroves retain heavy metals that would otherwise end up in coastal waters (Machado et al. 2002) (see Chap. 3, Map 13). Other studies show heavy metal accumulation in Brazilian mangroves (e.g., Meyer et al. 1998; Fonseca et al. 2013), which results in negative effects on the resident fauna. They were found to accumulate in the tissues of *Ucides cordatus* and *Callinectes danae* subjected to inorganic pollution in the Santos estuary, state of São Paulo, resulting in physiological alteration in oxygen consumption (Harris and Santos 2000). Malformations have been noted in *U. cordatus* inhabiting mangroves in heavily urbanized areas (Pinheiro and Toledo 2010). Heavy metals above the limit of safety for human consumption have been found in the tissue of *G. cruentata* in impacted mangroves (Vedolin et al. 2020). In the mangroves near Sepetiba Bay (RJ), a heavily industrialized area, species such as *Crassostrea gigas*, *Anomalocardia brasiliiana*, *Callinectes danae*, and *Cardisoma guanhumi* show higher heavy metal levels than permitted by law for human consumption (Molisani et al. 2004). This contamination tends to be higher in filter-feeding organisms, such as bivalves, so much that *Crassostrea rhizophorae* has been chosen as an indicator species to monitor impact, especially due to its tendency to accumulate mercury (Vaisman et al. 2005).

Polycyclic aromatic hydrocarbon concentrations reach levels that might cause adverse effects on aquatic organisms in Northeast Brazil (Cavalcante et al. 2009). In the southeast coast, contamination by organochlorines decreased the density of *Neohelice granulata* once the pollutant accumulated in their eggs (de Sousa et al. 2008).

The above-mentioned examples are a sample of Brazilian studies on anthropogenic impacts on mangroves; however, more studies further discuss those and other aspects of the interaction between humans and the mangrove fauna (e.g., Faraco and Lana 2003; Soares-Gomes et al. 2010; França et al. 2012; Pinheiro et al. 2012; Pagliosa et al. 2016; Bernardino et al. 2020). The extensive literature available on the subject in Brazil shows the extent and relevance that anthropic activities have on these ecosystems and shows how the benthic fauna are under pressure and can be used to monitor changes and the status of mangroves.

9.6 Final Remarks

The biodiversity of Brazilian mangroves' benthic fauna is widely recognized. However, there are important regional gaps in the knowledge of this diversity, limiting the potential for better understanding and improved conservation strategies (Amaral and Jablonski 2005).

The growing human pressures on mangrove ecosystems along the Brazilian coast demonstrate the need to carry on further studies on their description and evaluation of their extent. The effects on the benthic fauna are mostly negative and there is no evidence of recovery. However, many gaps still need to be resolved regarding anthropic impacts on mangroves in Brazil, such as studies that assess the consequences of habitat fragmentation, as well as the implications of contamination at the population and community level. Considering that many of these species are ecologically and economically relevant, the comprehension of the negative effects is paramount to developing adequate conservation and management practices. Without such studies, it is hard to evaluate the exact condition of benthic fauna in Brazilian mangroves and to subsidize new management strategies based on the acquired knowledge.

Ferreira and Lacerda (2016) recently suggested measures for the protection of Brazilian mangroves, such as the integration of native communities in policymaking, sustainable aquaculture practices, and the planting of mangrove trees to lessen the speed of habitat loss. The extent to which these actions will be carried out and the outcomes on the recovery or protection of benthic fauna are still to be unfolded and studied.

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Chapter 10

Brachyuran Crabs of Brazilian Mangrove and Salt Marsh Ecosystems



Anders J. Schmidt and Karen Diele

10.1 Introduction

Brachyuran crabs (referred to in this chapter as “crabs” for the sake of simplification) have significant cultural and socioeconomic importance around the world. In Brazil, the oldest records of human harvesting mangrove and marsh crabs date back to at least 6000 years BP (Corrêa et al. 1978). Today, thousands of coastal inhabitants still rely on crab harvesting for their livelihood, and the appreciation for this natural resource appears in gastronomy, folk festivals, popular music, and literature (e.g., Diele et al. 2010a). Within the realm of science, the number of articles focusing on Brazilian crabs has grown considerably in recent decades, from studies on individual species to crab communities.

The aim of this chapter is to present an overview of the crab biodiversity of Brazilian mangroves and salt marshes, building on the general information on the benthic biodiversity presented in Chap. 9 and including information on their geographic and zonal distribution, as well as on the most conspicuous biological features of the different species and their ecological and socioeconomic roles. The chapter will be particularly useful to people unfamiliar with this faunal group but working in Brazilian mangrove and salt marsh ecosystems, and for experts from other parts of the world aiming to work in Brazil.

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10.2 Criteria for Species Compilation

We categorized as mangrove crabs those species that spend at least one stage of their life cycle in this ecosystem, associated with vegetation, decaying wood, leaf litter, or sediment, between the lower and the higher levels of perigean spring tides. This tidal range includes not only areas dominated by the mangrove trees *Avicennia germinans*, *A. schaueriana*, *Laguncularia racemosa*, and *Rhizophora mangle*, but also the adjacent subtidal and the upper supratidal zones, which contain non-mangrove species sensu stricto (see Lana and Bernardino 2018). This chapter will take those marginal zones into consideration, even though they are mainly populated by salt marsh plants (e.g., *Spartina* spp.) or terrestrial vegetation (e.g., *Hibiscus pernambucensis* Arruda), since they are closely connected to mangroves, that is, hosting several crab species that depend on the mangrove forest (Schmidt et al. 2013).

The categorization of salt marsh crabs comprises species that occur in this ecosystem in latitudes where mangrove vegetation is no longer present, such is the case of the south of the Santa Catarina and the entire Rio Grande do Sul states (see Chap. 3, Maps 16 and 17). There, large flats of halophile herbaceous plants, mainly *Spartina* spp. and *Scirpus maritimus*, are the dominant vegetation. Given the ecological connectivity of these marshes to adjacent shallow water meadows, often occupied by the marine spermatophyte *Ruppia maritima* (Bemvenuti 1987), crab species occurring in the subtidal zone were also included in this compilation.

This chapter focuses on the most abundant and conspicuous mangrove and salt marsh crab species, while exotic species, cryptic commensal species, and occasional occurrences of species typical of other habitats (e.g., rocks, pillars, or other hard substrates introduced by humans) are not considered here. The species were categorized in terms of maximum carapace width: small (<40 mm); medium (between 40 and 60 mm); and large (> 60 mm) (Diele et al. 2010b). Besides, we cite the most notable characteristics of each species' adult stage regarding their behavior and ecology.

10.3 Species Richness and Geographical Distribution

A total of 36 Brazilian crab species matched the above-mentioned criteria; 30 of them occur exclusively in mangroves, five in both mangroves and salt marshes, and one in salt marshes only (Abele 1992; Coelho-Filho and Coelho 1996; Melo 1996; Bemvenuti 1998; Coelho et al. 2008; Marochi and Masunari 2011; Melo 2008; Almeida et al. 2010; Diele et al. 2010b; Colpo et al. 2011; Boos et al. 2012; Negromonte et al. 2012; Thurman et al. 2013).

Eight families of Brachyura are present in mangroves: Ocypodidae (10 species), Sesarmidae (7 species), Panopeidae (6 species), Portunidae (6 species), Grapsidae (3 species), Varunidae (2 species), and Ucididae and Gecarcinidae (each with one

species) (Table 10.1). Compared to mangroves, salt marshes have considerably lower biodiversity, including six species from four families of Brachyura: Portunidae (2 species), Varunidae (2 species), Sesarmidae and Ocypodidae (each with one species) (Table 10.1).

Species richness increases from the Equator, in the extreme northern region of Brazil (Amapá State: 20 crab species) (see Chap. 3, Map 1), to the south, toward the Tropic of Capricorn – peaking in the state of Rio de Janeiro, with 33 species (Fig. 10.1). This peak may be explained by the fact that more temperate species reach their northernmost occurrence in Rio de Janeiro (e.g., *Neohelice granulata* and *Uca uruguayensis*), coexisting with species of warmer climates (e.g., *Leptuca cumulanta* and *Panopeus lacustris*). Species that are less tolerant to low temperatures gradually decrease toward the southern coast of Brazil, resulting in a reduction in crab species richness between the states of Rio de Janeiro and Santa Catarina (4 species less in the latter compared to the former state) (Fig. 10.1). With mangroves lacking in southern Brazilian estuaries, these areas become dominated by salt marshes, characterized by considerably lower crab species richness, with only 6 species (Table 10.1 and Fig. 10.1).

10.4 Zonation

In mangrove and salt marsh ecosystems, there is a co-occurrence of soft-bottom horizontal zonation from the channel margin to the dryland, with a hard-bottom vertical zonation extending from the roots to the top of the plants (Schmidt et al. 2013; Schaeffer-Novelli et al. 2016). These zones are generated principally by gradients of seawater flooding and, in the case of horizontal zonation, by freshwater drainage from the upland. Flooding contributes to the appearance of secondary gradients of sediment grain size, organic matter, salinity, and pH of interstitial water, among others (e.g., Sandrini-Neto and Lana 2011; Schmidt 2012). The vegetation zones resulting from these gradients decisively influence, in turn, temperature gradients, shifting from lower temperatures in shaded arboreal zones to higher temperatures at the herbaceous marginal zones (e.g., Schmidt 2012; Schmidt et al. 2013).

Crabs associate with different ecological zones according to their tolerance to submersion, desiccation, temperature, as well as in response to morphological adaptations, such as pericardial sacks that store water for their gills and pereopods adapted for swimming; physiological adaptations, such as osmotic regulation and respiration; and behavioral adaptations, such as the ability to burrow and search for appropriate microhabitats (e.g., Warner 1969; Principe et al. 2018).

Crab distribution is also influenced by substrate, porewater salinity, food availability, presence of predators, and intra- as well as interspecific competition (Schmidt 2012; Schmidt et al. 2013; Thurman et al. 2013; Grande et al. 2018). The large number of environmental gradients, biotic and abiotic factors that influence the local distribution of species, allied to geographic distributions, makes it

Table 10.1 List of species (and families) of brachyuran crabs in Brazilian mangroves and salt marshes and their range along the 17 coastal Brazilian states (see Fig. 10.1)

Species	Family	Range N-S	Ecosystem	
			mangrove	Salt marsh
<i>Cardisoma guanhumi</i>	Gecarcinidae	PA-SC	x	
<i>Goniopsis cruentata</i>	Grapsidae	AP-SC	x	
<i>Pachygrapsus gracilis</i>	Grapsidae	AP-RS	x	
<i>Pachygrapsus transversus</i>	Grapsidae	AP-RS	x	
<i>Leptuca cumulanta</i>	Ocypodidae	AP-RJ	x	
<i>Leptuca leptodactyla</i>	Ocypodidae	MA-SC	x	
<i>Leptuca uruguayensis</i>	Ocypodidae	RJ-RS	x	x
<i>Minuca burgersi</i>	Ocypodidae	PA-SC	x	
<i>Minuca mordax</i>	Ocypodidae	AP-SC	x	
<i>Minuca rapax</i>	Ocypodidae	AP-SC	x	
<i>Minuca thayeri</i>	Ocypodidae	AP-SC	x	
<i>Minuca victoriana</i>	Ocypodidae	CE-SP	x	
<i>Minuca vocator</i>	Ocypodidae	AP-SC	x	
<i>Uca maracoani</i>	Ocypodidae	SP-SC	x	
<i>Acantholobulus bermudensis</i>	Panopeidae	PA-SC	x	
<i>Eurytium limosum</i>	Panopeidae	PA-SC	x	
<i>Panopeus americanus</i>	Panopeidae	PA-SC	x	
<i>Panopeus austrobesus</i>	Panopeidae	RJ-RS	x	
<i>Panopeus lacustris</i>	Panopeidae	AP-RJ	x	
<i>Panopeus occidentalis</i>	Panopeidae	MA-RS	x	
<i>Callinectes bocourti</i>	Portunidae	AP-RS	x	
<i>Callinectes danae</i>	Portunidae	AP-RS	x	x
<i>Callinectes exasperatus</i>	Portunidae	AP-SC	x	
<i>Callinectes marginatus</i>	Portunidae	PA-PR	x	
<i>Callinectes ornatus</i>	Portunidae	AP-RS	x	
<i>Callinectes sapidus</i>	Portunidae	AL-RS	x	x
<i>Armases angustipes</i>	Sesarmidae	PA-SC	x	
<i>Armases benedicti</i>	Sesarmidae	AP-SC	x	
<i>Armases rubripes</i>	Sesarmidae	PA-RS	x	x
<i>Aratus pisonii</i>	Sesarmidae	AP-SC	x	
<i>Sesarma crassipes</i>	Sesarmidae	AP-PE	x	
<i>Sesarma curacaoense</i>	Sesarmidae	AP-ES	x	
<i>Sesarma rectum</i>	Sesarmidae	AP-SC	x	
<i>Ucides cordatus</i>	Ucididae	AP-SC	x	
<i>Cyrtograpsus angulatus</i>	Varunidae	RJ-RS		x
<i>Neohelice granulata</i>	Varunidae	RJ-RS	x	x

Coastal Brazilian states, from North (N) to South (S): Amapá (AP); Pará (PA); Maranhão (MA); Piauí (PI); Ceará (CE); Rio Grande do Norte (RN); Paraíba (PB); Pernambuco (PE); Alagoas (AL); Sergipe (SE); Bahia (BA); Espírito Santo (ES); Rio de Janeiro (RJ); São Paulo (SP); Paraná (PR); Santa Catarina (SC); and Rio Grande do Sul (RS). See Chap. 3, Maps: 1-AP, 2-PA, 3-MA, 4-PI, 5-CE, 6-RN, 7-PB, 8-PE, 9-AL, 10-SE, 11-BA, 12-ES, 13-RJ, 14-SP, 15-PR, 16-SC, and 17-RS

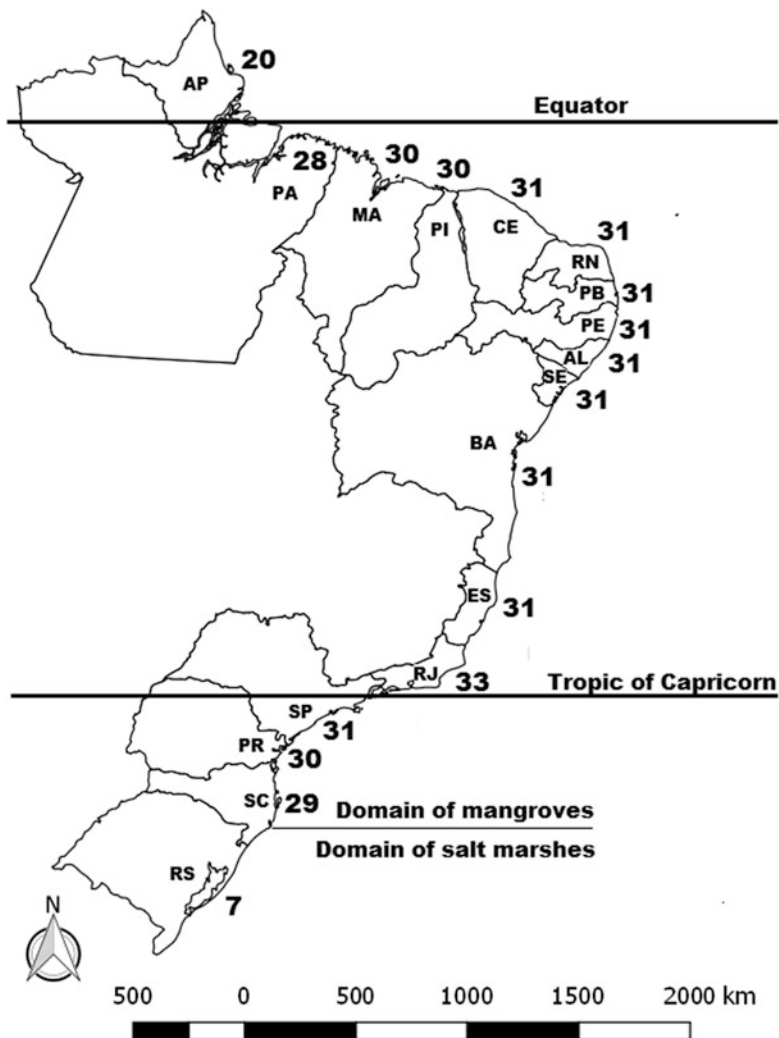


Fig. 10.1 Number of brachyuran species in Brazilian mangroves and salt marshes. Coastal Brazilian states, from North to South: Amapá (AP); Pará (PA); Maranhão (MA); Piauí (PI); Ceará (CE); Rio Grande do Norte (RN); Paraíba (PB); Pernambuco (PE); Alagoas (AL); Sergipe (SE); Bahia (BA); Espírito Santo (ES); Rio de Janeiro (RJ); São Paulo (SP); Paraná (PR); Santa Catarina (SC); and Rio Grande do Sul (RS). See Chap. 3, Maps: 1-AP, 2-PA, 3-MA, 4-PI, 5-CE, 6-RN, 7-PB, 8-PE, 9-AL, 10-SE, 11-BA, 12-ES, 13-RJ, 14-SP, 15-PR, 16-SC, and 17-RS

difficult to establish a standard pattern of crab zonation in Brazilian mangroves and salt marshes. In the following section, we present some recurrent features of crab zonation on hard and soft bottoms; however, it must be noted that the species cited here do not always occur simultaneously in the same geographic areas along the Brazilian coast (Table 10.1).

10.4.1 *Hard Bottom Crabs in Mangroves*

In mid- and low-intertidal zones, especially at the mangrove fringe, at the trees' lower bottom, between the oyster-encrusted prop roots of *Rhizophora mangle*, one is likely to find small grapsid crabs (*Pachygrapsus gracilis* and *P. transversus*) (Warner 1969; Melo 1996; Almeida et al. 2010; Diele et al. 2010b). These crabs feed on algae and small invertebrates, mostly during low tides, and show typical fast-moving grapsid behavior (Christoforetti et al. 2010). Another, even more agile grapsid crab, *Goniopsis cruentata*, inhabits the intertidal zone, being most abundant at the seaward mangrove fringe (Warner 1969; Diele et al. 2010b). This medium-sized crab wanders around on mudflats during low tide in search of diverse edible resources such as mangrove tree propagules, algae, small invertebrates, and sediment-containing microorganisms (von Hagen 1977; Diele et al. 2010b; Lima-Gomes et al. 2011; Ferreira et al. 2013; Wellens et al. 2015). *G. cruentata* does not burrow, exclusively taking advantage of other crabs' burrows when threatened. At high tide, when water covers the substrate, this crab climbs backward up into trees, where they move slowly or remain stationary; they will jump into the water if threatened but return to the tree when the perceived danger has passed. Climbing trees is more of a strategy to avoid predators than an effort to search for food resources in *G. cruentata* (von Hagen 1977). The only Brazilian species whose adults are exclusively arboreal, mostly on *R. mangle*, is the sesamid crab *Aratus pisonii*, which feeds on leaves and encrusting algae (von Hagen 1977; Brogim and Lana 1997). Females of this species migrate to the seaward mangrove fringe for incubation and larval release (e.g., Leme and Fransozo 1998).

10.4.2 *Soft Bottom Crabs in Mangroves*

In Brazil, the soft bottom subtidal zone is dominated by swimming crabs of the genus *Callinectes*. Females of this genus, however, can also be found in deep offshore waters during the spawning season, where they release their larvae (e.g., Branco and Masunari 2000). Besides *Callinectes danae* and *C. sapidus*, one of the most intimately mangrove-linked swimming crab species is *C. exasperatus*. These crabs visit the inner forest during high tide, where they predate on crustaceans, mollusks, and fish, or act as scavengers (Carvalho and Couto 2010). At low tide, *C. exasperatus* tends to stay in tidal pools near the prop roots of *R. mangle* or return to the subtidal zone.

The soft-bottom intertidal zone of mangroves is the preferred habitat of burrowing crabs. The burrows are used as shelters against predators and competitors, help to avoid adverse environmental conditions (e.g., Araújo and Calado 2011), and, in many cases, are the feeding ground for previously stored food (e.g., Nordhaus et al. 2006). The crabs also retrieve to the burrows for molting (Alves and Nishida 2002) and mating (e.g., Crane 1975; Christy 2007). Besides, burrows also serve as recruitment sites (Schmidt and Diele 2009; Schmidt 2012).

Burrows by Panopeidae crabs are rather cryptic, as they are small and predominantly situated between the oyster-encrusted rhizophores of *R. mangle* or underneath pieces of deadwood. The latter are the habitat of the small *Acantholobulus bermudensis*, *Panopeus americanus*, *P. austrobesus*, and *P. occidentalis*, all frequent in the low-intertidal zone. From the low-intertidal, advancing to the mid-intertidal zone, medium-sized *Panopeus lacustris* and smaller *Eurytium limosum* (Coelho-Filho and Coelho 1996; Diele et al. 2010b) can be found. Most panopeid crabs leave their burrows at high tide to feed on encrusting oysters and barnacles using their strong claws to break the resistant outer shells (Whitefleet-Smith and Hardin 2014).

The small fiddler crabs (Ocypodidae Family) are independent of consolidated substrates. The most striking feature of these crabs is the large, asymmetrical chelipeds of the males used for what is called “claw-waving display” (Crane 1975). This display consists basically of a rhythmic elevation and lowering of the larger claw; the movements are complex and vary according to species and context (Christy and Salmon 1984). In most Brazilian fiddler crabs, the claw-waving display, together with specific sound-making, is used by the males to defend their burrows and attract females for mating (Crane 1975; Christy 2007). The females wander on the mud surface looking for males and usually use the male’s burrow for breeding (Christy 2007). When not involved in reproduction, fiddler crabs spend their time feeding on nutrient-rich microorganisms such as bacteria, fungi, protozoa, and microalgae, by removing them from the sediment using their buccal appendages (Diele and Koch 2010a).

As the substrate is fundamental for feeding and burrowing, the characteristics of the sediment, together with water salinity and the shore level, will decisively influence the tidal spatial distribution of fiddler crabs (Koch et al. 2005; Thurman et al. 2013). There is a predominance of *Uca maracoani*, the largest Brazilian fiddler crab in body size, in the low-intertidal zone where it burrows in the unvegetated mudflat adjacent to the mangrove forest (Koch et al. 2005; Diele et al. 2010b; Thurman et al. 2013). In muddy sediments between mangrove trees, chimney-building fiddler crabs predominate. Chimneys are sedimentary protector walls surrounding the entire edge of the burrow opening (Crane 1975; Gusmão-Júnior et al. 2012). These chimney-like structures are built by *Minuca vocator* (from the low- to mid-intertidal), *M. thayeri* (mid-intertidal), and *Leptuca cumulanta* (from the mid to the upper-intertidal) (Warner 1969; Koch et al. 2005; Diele et al. 2010b; Thurman et al. 2013). This sequence of fiddler crabs in the intertidal zone is not always complete and rigorous, and the presence or absence of species will depend also on the salinity in a given part of the estuary and the geographic distribution of each crab species (Thurman et al. 2013).

Although fiddler crabs dominate in terms of abundance, for example, 45 burrows/m² in *M. vocator* (Colpo and Negreiros-Fransozo 2016), the large burrows of the ucidid *Ucides cordatus*, whose carapace width reaches up to 9 cm (Pinheiro et al. 2005; Diele and Koch 2010b), are the most conspicuous structures in the intertidal zone of a typical Brazilian mangrove. Despite its large size, *U. cordatus* is not easily seen, since the crabs are rather cryptic, spending up to 85% of the time inside their

burrows (Nordhaus et al. 2009). When they emerge, they mostly stay right near the burrow entrance, only occasionally moving within a radius of about 1 m to collect plant material or mud (Nordhaus et al. 2009). The burrows are often sealed with mud and remain closed for numerous days when the crabs are vulnerable during molting periods (Alves and Nishida 2002; Piou et al. 2007).

The cryptic routine behavior of *U. cordatus* changes drastically during the popularly known as “*andadas*,” in which a synchronized mass mate-searching occurs. This event is closely linked to lunar and storm cycles (Diele 2000; Diele et al. 2005; Diele and Koch 2010a; Schmidt et al. 2012). During the *andadas*, many mostly male *U. cordatus* individuals leave their burrows, exploring other burrows and fighting between each other while searching for females. Copulations are rarely seen on the mud surface, suggesting that they mate inside burrows. When females fertilize their eggs with stored sperm and extrude the egg masses, they often climb mangrove trees during high tide (Schmidt et al. 2008a). As it follows, they return to their burrows to incubate the eggs, chasing away any crab that might come near. Most females release their larvae from their burrows, but in some locations, spawning migrations toward channel margins have been reported (Góes et al. 2000; Schmidt 2006). Larvae are exported to offshore waters with ebb tidal currents and reinvade the estuary after 3–4 weeks (Diele 2000). Larval settlement is triggered by conspecific odors (Diele and Simith 2007; Simith et al. 2013, 2017) and recruitment occurs inside the burrows of conspecifics (Schmidt and Diele 2009; Schmidt 2012), mainly located in the upper intertidal zone and lower supratidal, with sandy-muddy substrates, most typically dominated by *L. racemosa* trees (Schmidt et al. 2009, 2013; Wunderlich and Pinheiro 2013).

The upper-intertidal zone is also the habitat of small sesarmid crabs (e.g., Araújo et al. 2014) that feed on plant material (Brogim and Lana 1997), some burrowing, others nonburrowing. Although some species of this family inhabit low- to mid-intertidal zones, for example, *Armases benedicti* and *A. rubripes* (Diele et al. 2010b; Lima et al. 2006), sesarmids such as *Armases angustipes*, *Sesarma curacaoense*, and *S. rectum* are more conspicuously found in the upper-intertidal underneath *L. racemosa* trees (e.g., Araújo et al. 2014). They also enter the salt flats in the supratidal zone, which, in some places in Brazil, are known as *apicuns*.

In the lower supratidal, there is still some shading by smaller mangrove trees and this zone often functions as a nursery for juvenile *U. cordatus* crabs (Schmidt et al. 2009, 2013). However, the most abundant species in this zone are small fiddler crabs, which can reach high population densities, for example, 240 ind./m² of *Leptuca leptodactyla* (Masunari 2006). In localities with saltier interstitial water and sandier sediment, *L. leptodactyla* and *Minuca rapax* occur, while *Leptuca uruguayensis* is more prominent in muddy sediment (Masunari 2006; Thurman et al. 2010). Males of all three species build semicircular sediment structures above the burrow entrance, called hoods or semidomes, related to mate searching (Masunari 2012; Ribeiro et al. 2016; Carvalho et al. 2018). Non-hood-building species are also found in the supratidal zone influenced by upland freshwater, as the species *Minuca mordax*, *M. burgersi*, and *M. victoriana* (Warner 1969; Masunari 2006; Almeida et al. 2010; Thurman et al. 2010).

Fiddler crabs and sesarmid crabs are found throughout the supratidal zone until the transition to the landward vegetation, which is dominated by plant species such as *Acrostichum aureum*, *Hisbiscus pernambucensis*, *Dalbergia ecastophyllum*, and *Aechmea blanchetiana*, a bromeliad in which *Armases angustipes* and *A. rupripes* seek shelter (Melo 1996; Fischer et al. 1997). This zone is also the recruitment area for the largest Brazilian mangrove crab, the blue land crab *Cardisoma guanhumi* (Schmidt et al. 2008b, 2013). This gecarcinid crab has a carapace width of up to 11 cm (e.g., Hurtado et al. 2015) and their burrows, which often reach down to the groundwater, can be found in the adjacent landward forests known as “matas de restinga” in Brazil. These crabs harvest leaves and fruits, and occasionally carrion, which they consume in their burrows (Herreid II 1963; Firmo et al. 2012). They only abandon their burrows for longer times during their reproductive period, when males and females emerge in great numbers to mate. The egg-bearing females migrate to the edge of the tidal water to release their larvae (Gifford 1962; Firmo et al. 2012), occasionally even climbing trees for that purpose. These mass movements of *C. guanhumi* are also called *andadas* and are influenced by the same geophysical factors as *U. cordatus*.

10.4.3 *Hard Bottom Crabs in Salt Marshes*

In salt marshes, hard bottom crabs are less common compared to mangrove forests. This is likely due to the lower structural complexity of the short and thin plants. Between stems of *Spartina* spp., the small herbivorous sesarmids *Armases rubripes* (Bemvenuti 1998) compete for space and food with the medium-sized varunid *Neohelice granulata* (Capítoli et al. 1977). Despite being a typical soft-bottom crab, *N. granulata* frequently climbs into the marsh canopy and grazes on the leaf blades of *S. alterniflora* (Freitas et al. 2014).

10.4.4 *Soft Bottom Crabs in Salt Marshes*

Salt marshes have a soft bottom horizontal zonation that is quite variable, both spatially and temporally. In Brazil, this ecosystem occurs predominantly in localities with low amplitude tides (micro-tides), and meteorological conditions can strongly influence both frequency and duration of flooding (Costa and Davy 1992). The portunid *Callinectes sapidus*, and, to a lesser extent, *C. danae*, can be found in the subtidal zone, predominantly in meadows of *Rupia maritima* adjacent to salt marshes (Weber and Levy 2000). The juveniles of these swimming crabs spend the summer feeding on algae and polychaetes, whilst larger specimens prey upon mollusks and crustaceans, including the varunid *Cyrtograpsus angulatus* (Kapusta and Bemvenuti 1998). *C. angulatus*, another predator of benthic macrofauna, also occurs in the subtidal zone of salt marshes in its juvenile phase, and small individuals can even be found under rocks in the intertidal zone (Boschi 1964; Bemvenuti 1998).

In the intertidal salt marsh zone, especially among large meadows of *Spartina* spp., generalist feeders such as the varunid *Neohelice granulata* prevail, inhabiting semi-permanent burrows between stems and roots (Boschi 1964; Bemvenuti 1998). The herbivore and deposit-feeder *Armases rubripes* hides in the vegetation in the intertidal zone (Bemvenuti 1998), although sometimes digging burrows (Fischer et al. 1997). A typical burrowing crab and deposit feeder is the ocypodid crab *Uca uruguayensis*, abundant on more compact substrates in the supratidal zone of salt marshes (Boschi 1964; Ribeiro et al. 2016).

10.5 Ecological Role

Most Brazilian mangrove and salt marsh crabs are burrowers, and their digging can affect physicochemical sediment properties, such as changes in its reduction state. For example, in a mangrove in Northeast Brazil, more oxidizing conditions were found in sediments bioturbated in areas of higher densities of *U. cordatus* (Araújo et al. 2012). In contrast, in North Brazil, at half of the previously mentioned burrow density, the same effect could be observed at the immediate vicinity of the burrow walls (Pülmanns et al. 2014), but not further away (Pülmanns et al. 2016). The increased sediment-air interface at the walls allows aerobic infauna to thrive and increases aerobic microbial decomposition during low tide. This may result in increased sediment-generated CO₂ being released near burrows (e.g., Leopold et al. 2013; Pülmanns et al. 2014).

Crab burrows can also increase sediment drainage, which facilitates the removal of excess salts from the sediment, as observed in complex galleries of sesarimid crabs that dominate in mangroves in the Indo-West Pacific (e.g., Stieglitz et al. 2000). However, in Brazil, the most abundant crab species (ucidids and ocypodids) build relatively simple, nonbranched burrows (e.g., Araújo and Calado 2011; Machado et al. 2013), mostly with single openings, and this might explain why their burrows do not significantly increase sediment desalination (Pülmanns et al. 2016; Pestana et al. 2017).

The forest floor of Brazilian mangroves is often free of mangrove leaves mostly due to the feeding of *U. cordatus*, the key-litter feeder in the region (Schories et al. 2003). In the state of Pará, North Brazil, these crabs consume up to 81% of the litter and propagule production (Nordhaus et al. 2006). A large part of the consumption is returned to the sediment as feces, a growth medium for microorganisms, as evidenced by the much higher microbial biomass in *U. cordatus* feces compared to sediment (Nordhaus 2004). The species fragments litter into small pieces through sloppy feeding, and detritus-feeding crabs further grind these to even smaller particles. The consecutive increased surface detritus area promotes microbial degradation and benefits microbe-feeding fiddler crabs. Hence, the litter- and detritus-feeding activities of mangrove crabs accelerate nutrient cycling in this ecosystem (Nordhaus and Wolff 2007). Crabs can also influence biogeochemical cycles by transporting organic matter together with sediment in the process of

excavation and maintenance of burrows, as reported with *Leptuca leptodactyla* by Natálio et al. (2017).

Besides the importance of crabs for nutrient cycling and sediment properties, they can influence the distribution of mangrove trees through selective propagule feeding. In Brazil, *U. cordatus* and *G. cruentata* are the most important propagule predators (Nordhaus et al. 2006; Ferreira et al. 2013; Wellens et al. 2015), and most studies have shown that the crabs prefer the fleshier, more nutritious, and palatable *Avicennia* propagules over *Rhizophora* and *Laguncularia* (McKee 1995; Souza and Sampaio 2011). In Panama, this differential predation seems to be one key factor preventing the formation of *Avicennia* stands in the low intertidal, where the density of *U. cordatus* and *G. cruentata* is highest (Sousa and Mitchell 1999). Future research should focus on generating a better understanding of the ecological role of any given mangrove crab species in different environmental contexts (Pülmans et al. 2016).

Crabs are also important for the trophic processes in salt marshes, but the most abundant species in these ecosystems have a less specialized diet and feed on more than one trophic level (Bemvenuti and Colling 2010). As a secondary consumer, the abundant *Neohelice granulata* can prey upon other invertebrates, but it mainly acts as a primary consumer feeding upon leaves and detritus of *Spartina* spp. (Bemvenuti 1987; D’Incao et al. 1990). The selective herbivory by *N. granulata* on *Spartina alterniflora* at the mid-marsh promotes the dominance of the plant *Scirpus maritimus* in this zone and restricts the occurrence of the former to the low-marsh zone (Costa et al. 2003). The salt marsh benthic diversity is also affected by the bioturbation caused by individuals of *N. granulata*. For example, the intense sediment disturbance caused by their burrowing activities negatively affects surface meiofauna, reducing population densities of copepods and ostracods (Rosa and Bemvenuti 2005).

10.6 Fisheries and Socioeconomic Relevance

Edible crab species sustain the livelihoods of coastal human communities and are important economic resources in Brazil. *U. cordatus*, popularly known as *caranguejo-uçá* or simply *caranguejo*, is the main commercial resource harvested from almost all Brazilian mangroves. The harvesting techniques are artisanal and vary from place to place. One of the most traditional capture techniques consists of simply reaching deep with the arm into the crab burrow and pulling out the crab. As some burrows are up to 2 m deep, the crab harvesters also use auxiliary techniques to catch the crabs (Diele et al. 2010a). They often use a hook made of just a simple rebar with curved end or a wooden stick with an affixed rebar hook (Schmidt 2006; Schmidt et al. 2009; Diele et al. 2010a). Clogging the entrance of the burrow with mud (locally called “tapamento”) induces the crab to climb up to uncover it, which makes them more accessible to capture (Nascimento et al. 2016).

These active capturing techniques have in recent years been replaced by techniques involving traps. The most widely used trap is called “redinha” (Portuguese for “small net”), which is simply a tangled net of polypropylene threads (obtained from old grain sacks) affixed to two thin pieces of mangrove branches (broken from the mangrove trees) at the entrance of the burrow. When the crab emerges from its burrow, it becomes entangled and can easily be caught (Nascimento et al. 2012, 2016 Duarte et al. 2014). A less-used trap is called “lacinho” (Portuguese for “small lasso”), a technique that consists of fixing a noose of thin nylon line at the exit to the burrow. When the crab tries to leave the burrow, it will be “lassoed” by the line.

The mangrove crab *U. cordatus* is also captured using baited traps in some locations (Carvalho and Igarashi 2009). The trap consists of a cylinder (usually a PVC tube, plastic bottle, or can) with one end permanently sealed, and the other having a trap door tensed with rubber bands but held open by a wooden lever lightly attached to a metal clip (the trigger), which pierces the cylinder wall. The clip is baited inside the cylinder (usually with leaves of *R. mangle*) and the trap is placed onto a burrow opening (as if it was an extension of the tunnel). Upon entering the cylinder and trying to remove the bait, the crab will release the wooden lever and the rubber bands will shut the trap door.

That baited trap is called “ratoeira” (Portuguese for “mouse trap”), as it was originally designed to capture rats and mice, and is commonly used to capture *Cardisoma guanhum*, the blue land crab, locally known as “guanhamum” or “guaiamum.” The bait used to capture the *guaiamum* mainly consists of aromatic fruits such as lemons, pineapples, and jackfruits. Another type of baited trap used to capture this crab is a wooden box with a levered door and roof held open by a trigger, known as “arapuca.” When the crabs enter and touch the bait, the trap door falls by its weight (Botelho and Santos 2005; Firmo et al. 2012).

Another widely harvested crab in Brazilian mangroves, despite its smaller size, is *Goniopsis cruentata*, popularly known as “aratu.” This crab is captured using a variety of techniques. One of the most rudimentary methods is to simply throw handfuls of mud at the rapidly scurrying crabs to temporarily immobilize them. Another technique is almost like fishing; the bait (e.g., mangrove leaves and other crustaceans such as *Aratus pisonii*) is tied to a line attached to a bamboo pole, which is then held by the fisher sitting on tree roots, dangling the fishing rod over the mud where the *aratus* are foraging. The crabs will run to the bait, grab it, and hold onto it so that they can easily be collected (Botelho and Santos 2005; Maciel and Alves 2009). The capture of *aratus* can also involve baited funnel traps made of wooden splints tied together. The crabs will enter the funnel trap to eat the bait but are then unable to leave (Botelho and Santos 2005). These traditional capture methods have been rapidly replaced in many parts of Brazil by nocturnal catch using lanterns. The strong, sudden light momentarily paralyzes the animal, which can then be easily captured.

Swimming crabs are popularly known in Brazil as “siris.” Different species of *Callinectes* are also captured in the mangrove forest with the aid of a rebar hook, as during low tide, many individuals remain in pools in the intertidal zone. Nevertheless, the subtidal zone is the best place to capture other species of swimming crabs.

As they are scavengers, swimming crabs are attracted to bait such as meat, chicken, or fish. The bait can be tied in witch-hat nets, trotlines, or funnel traps placed on the sediment (Botelho and Santos 2005; Severino-Rodrigues et al. 2009; Magalhães et al. 2011). Nonbaited gears, such as trawling nets, are also employed in shallow waters, mostly in regions near salt marshes (Maier and Neto 2009).

Commercialization varies according to species. The highly valued *guaiaumum* (*C. guanhumii*) is held in tanks and fed with fruits and grains to fatten up, and later be sold bigger and alive. *U. cordatus* crabs are also sold alive, but without being fattened. They are usually transported in large quantities alive on trucks, and many individuals die during transport and are discarded (Legat et al. 2006). In some places, the crabs are processed for their meat by cooking and removing their exoskeletons before being sold. However, meat processing is more common in *aratus* (*G. cruentata*), never sold alive, and in *siris* (*Callinectes* spp.), rarely sold alive. Meat processing is typically undertaken by women and younger members of the family (Nascimento et al. 2017). The sold meat is often called “siri catado” in northeast Brazil.

The different forms of harvesting, processing, and trading Brazilian mangrove and salt marsh crabs have in common the low costs of the equipment used, which makes these activities viable to populations of very low income, providing alternatives to unemployment – a historic problem in Brazil (Diele et al. 2010a). That solution is not, however, absent of drawbacks, since crab harvesting is often an unhealthy occupation (e.g., Glaser and Diele 2004), and the economic gains are severely reduced by the strong presence of middlemen within the production chain (e.g., Nascimento et al. 2017). That added to sparse public investments in these traditional (and often isolated) coastal communities, limits access to education, health services, and basic sanitation.

10.7 Threats, Management, and Conservation

Overfishing, diseases, habitat destruction, and pollution are the main factors threatening mangrove and salt marsh crab populations in Brazil. Given that many thousands of people harvest crabs along the coast, it might be expected that overharvesting would threaten many species. However, scientifically robust stock assessment studies are still sparse to date and therefore, for most harvesting areas, it is unknown whether the targeted crab populations are overfished. Nonetheless, as a precautionary measure, *U. cordatus*, *C. guanhumii*, and *C. sapidus* were even included in the “National List of Aquatic Invertebrate Species and Fish Overexploited or Threatened with Overexploitation” (Pinheiro and Rodrigues 2011) in 2004, but this list was replaced by the Ordinance 445/2014. Furthermore, different states have their regulations, for example, São Paulo State Decree No. 63,853 established in 2018.

Despite intense harvesting, in the few localities where exploited *U. cordatus* populations have been monitored for several years, the stocks appeared to be sustained, for example, Bragança, Pará State (Diele et al. 2005) and Canavieiras and Caravelas, Bahia State (Schmidt 2014a, b) (see Chap. 3, Maps 2 and 11, respectively). That does not mean, however, that the species is not suffering from other threats. Successive mass die-offs caused by a fungal disease impacted numerous populations from 1997 to 2005 throughout almost all of Northeast Brazil (Schmidt et al. 2008c; Orélis-Ribeiro et al. 2010). This region has also suffered from significant habitat loss, especially in recruitment zones of *U. cordatus* and *C. guanhumí* in the *apícuns*, due to environmental degradation caused by shrimp farming and salt extraction (Schmidt et al. 2013; Lima and Bailey 2015; Schaeffer-Novelli et al. 2016).

Shrimp ponds and artificial salt pans also impact the spawning migrations of *C. guanhumí* females from upland areas to the mangrove forest as well as the migration of recruits from the supratidal mangrove zone to the landward forests (Schmidt et al. 2013; Schaeffer-Novelli et al. 2016). In upland areas, where urban and tourism infrastructure growth is most pronounced, the species competes with humans for habitat. Because human activities, including catching small juveniles, greatly impact *C. guanhumí* populations, this species is currently officially regarded as critically endangered according to Ordinance 445/2014, as mentioned above.

Swimming crabs are also not free from threats, even in sublittoral areas without human occupation. Throughout Brazil, *Callinectes* spp., including young individuals, are the most frequent bycatch of shrimp trawling (e.g., Ruas et al. 2017). Additionally, as they live essentially submerged their entire lives cycles, swimming crabs are continuously exposed to pollutants such as heavy metals (e.g., Lacerda et al. 2012), although crabs that are not submerged most of their lifecycles, that is, *C. guanhumí*, *G. cruentata*, and *U. cordatus*, also suffer from those impacts (e.g., Horta et al. 2011; Pinheiro et al. 2012; Carneiro et al. 2018).

Considering so many threats, there is much to be done to guarantee the conservation of mangrove and salt marsh crab populations in Brazil. There is an urgent need for reliable stock assessments from a wide range of localities in the country to help evaluate current fishing pressures, as well as studies in populations' statuses to inform communities and regulators. Monitoring artisanal harvesting of crabs presents a considerable challenge as landings occur in several small sites scattered along the coast, and the exact number of involved fishers is unknown. Nevertheless, population parameters of some crab species have been continuously monitored in some locations in Northeast and North Brazil through combined efforts of governmental organs, universities, and NGOs, for example, São João da Ponta (PA) and Canavieiras and Caravelas (BA) (Schmidt 2014a, b).

The harvesting of *U. cordatus*, *C. guanhumí*, and *Callinectes* spp. (but not *G. cruentata*) has been subject to governmental regulation in terms of minimum capture sizes as well as protection of egg-bearing females and reproductive events. Safeguarding the reproduction, namely, the *andadas* (synchronous mass-mating events) of *U. cordatus* and *C. guanhumí*, remains a challenge to date, due to critical knowledge gaps regarding their temporal occurrence.

To better understand the *andada* phenomenon, a network of researchers (the REMAR network)¹ has been studying the synchrony of reproductive activities with geophysical cycles across multiple areas of Brazil since 2013. Since 2017, this research is supported by a supplementary participatory citizen-science approach where the public contributes with observational *andada* data via purpose-built Android and iOS smartphone applications *Remar_Cidadão*, freely downloadable on Google Play Store (ICMBio 2017, 2018) or Apple App Store. With the inclusion of both conventional scientists and citizen-scientists' inputs and the support of environmental governmental agencies, the REMAR network is predicting with high accuracy the occurrence of *andadas* in most of the Brazilian coast, thus helping to define adequate temporal bans to avoid existing social and economic conflicts. Bans based on REMAR forecasts have already been established for the North and Northeast regions from 2020 to 2024 by governmental agencies, a procedure likely to be continued in the longer-term future (Schmidt et al. 2012).

A currently controversial topic is the prohibition of certain harvesting techniques. For example, the use of *redinha* traps to capture *U. cordatus* is now prohibited by law, even though this technique is still widely used throughout the country. The respective legislation was implemented by Government agencies without sound scientific knowledge regarding the degree of damage caused (e.g., ghost-fishing and pollution by plastic waste). It is also currently unknown whether these commonly used traps are better or worse than any of the other techniques. Locally agreed regulations regarding harvesting techniques have recently been established by official participatory agreements and appear promising, especially within extractive reserves designed to promote the sustainable use of natural resources (see Chap. 16). Such agreements have a great potential to regulate fishing activities by empowering traditional populations.

10.8 Final Remarks

Brazilian mangroves and salt marshes are the habitat of diverse ecologically and economically important brachyuran crab species. Degradation and pollution threaten these habitats and their associated species, including the many important ecosystem services they provide. Across the country, coastal poor communities depend on harvesting mangrove and salt marsh crabs for their livelihoods, yet neither the number of people extracting crabs is known to date, nor the sustainability of the respective activity. This hampers the conservation of the targeted species, and their harvesting management, putting the future of the associated human livelihoods at risk. Research efforts need to be increased to fill these knowledge gaps to inform stakeholders. The recent participatory and transdisciplinary approaches implemented in some locations appear promising and show great potential to be geographically expanded to help generate robust data on a national scale.

¹<http://crabdata.napier.ac.uk/public/>

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Chapter 11

Fish Communities of Mangroves and Salt Marshes Along the Brazilian Coast



Teodoro Vaske Júnior and Matheus M. Rotundo

11.1 Introduction

Mangroves provide structural heterogeneity for fish species, expanding shelter areas and providing nutrients to early life stages (Nagelkerken et al. 2000; Laegdsgaard and Johnson 1995, 2001; Dorenbosch et al. 2004). Several marine species, including reef species, depend on the connectivity with habitats offered by mangroves in estuarine environments for one or more life stages (Huxham et al. 2004; Mumby et al. 2004; Rooker et al. 2018; Bastos et al. 2022). Such connectivity is directly associated with fishery production in adjacent marine areas, in part due to the carbon flow and the trophic processes in mangroves (Giarrizzo et al. 2006; Corrêa and Uieda 2007).

For fish, much of the mangrove ecosystem is only available for a short time due to tidal variations. Thus, populations migrate in different estuarine areas where abiotic factors (mainly temperature, salinity, depth, turbidity, and dissolved oxygen) are also responsible for each species' movements (Joyeux et al. 2009; Vilar et al. 2013). Estuaries, salt marshes, and mangroves are habitats where fishes find ideal conditions for mating, breeding, foraging, and feeding, finding shelter from predators, and optimal growth of larvae and juveniles. Fish such as anchovies, croakers, sardines, groupers, and mullets, among others, swim through the mangroves for breeding and feeding. Some fish species spend their entire lives within the mangrove and adjacencies, while others use the area only during part of their life cycle. Several commercial fish depend in some way on the mangrove for their survival, being captured by artisanal fishery at some point of the year (Gasalla and Rossi-Wongtschowski 2004; Siliprandi et al. 2019).

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Structural complexity and environmental characteristics encourage the use of mangroves by fish, and these factors may influence separately or together. The high turbidity and refuge areas originating from the mangrove prop roots and pneumatophores act as shelter for several species during high tide. The great availability of food, as a function of the intense primary production, also favors the abundance of crustaceans in different stages of development, which, for instance, will serve as a food supply for many planktivore and carnivore fishes.

In addition to the physiological adaptations necessary to inhabit mangroves, fish species bear morphological adaptations that benefit them over transient species (e.g., Gobiidae, Blenniidae, Anablepidae). In general, species well adapted to these habitats are rarely captured by common fishing gears like trawls and traps, because of the ease of hiding and avoidance, as fishing gears are commonly used in deeper waters. In this way, many studies do not truly estimate the real diversity of fish in mangroves. This fact can be corroborated, for example, as in the case of fire in the port area of the Santos and São Vicente estuary (SP-Brazil), where, due to mortality, 41 uncatalogued species were recorded for the region (Rotundo et al. 2020). Nevertheless, when analyzed, it is possible to realize that the ichthyofauna associated with mangroves is relatively similar (at the family level) in different regions of the planet (Krishnamurthy et al. 1984), even when considering regional differences caused by the biogeographic patterns of distribution.

11.2 Review on Fish Diversity in Brazilian Mangroves and Salt Marshes

This is a review on fish communities of Brazilian mangroves regarding species richness, latitudinal and environmental occurrence, and artisanal fisheries. Several fish species inhabit estuaries at least during one stage of their life cycles. According to Andrade-Tubino et al. (2008), the last synthesis of Brazilian estuarine fishes showed 304 species belonging to 83 families in 22 estuaries along the coast from the Amazon estuary (Pará State) to the Arroio-Chuí estuary (Rio Grande do Sul State). The most frequently found families were Sciaenidae, Gobiidae, Serranidae, Ariidae, Haemulidae, Gerreidae, Paralichthyidae, and Syngnathidae, in order of relevance. In the same study, only 11 species were common to all localities. Another study that covered estuarine fishes between 0° and 25°S was performed in four large estuaries, aiming to determine the length-weight relationship of 70 species distributed in 25 families (Joyeux et al. 2009).

More recently, Blaber and Barletta (2016) reviewed the status of estuarine fishes in 22 estuaries in South America (13 of them in Brazil), including comments in sustainable management and conservation. Their research mainly focused on biomass and biodiversity of fishes, nursery habitats, exploitation of living resources (flora and fauna), conservation and recovery of the riparian vegetation, treatment of domestic, industrial, and agricultural effluents, water circulation barriers, and

dredging strategies. The conclusions of this study highlight that there is scarce information that deals with an overview of mangrove fish for the entire Brazilian coast, that is, the species can be grouped into habitat characteristics and geographic regions of occurrence. In this way, the present study surveys the diversity of fish species that have been documented in mangroves, estuaries, and salt marshes along the Brazilian coast, which represents the most updated list yet available, including updated taxonomy once some species have recently been renamed.

A total of 37 points were collected from the literature whenever the species were inhabiting waters with expressive variable salinity as observed in estuaries, mangroves, and salt marshes along the Brazilian coast (Fig. 11.1).

According to the consulted literature on fish occurrence and diversity in Brazilian estuaries, mangroves, and salt marshes in these 37 localities, there are to date 604 species of fish in these environments, distributed in 123 families (Andreatta et al. 1989, 2002a, b; Andreatta and Marca 2013; Araújo and Azevedo (2001); Araújo et al. 2017; Araújo et al. 1998; Azevedo et al. 2007; Azpelicueta et al. 2019; Barbanti et al. 2013; Barletta et al. 2003, 2005; Barreiros et al. 2009; Barros et al. 2011; Basilio et al. 2009; Bolzan 2014; Caires et al. 2019; Carvalho-Filho et al. 2019; Carvalho-Filho et al. 2019; Carvalho et al. 2020; Carvalho-Neta and Castro 2008; Castro et al. 2010; Cattani et al. 2016; Catelani et al. 2014; Chao et al. 2021; Chaves and Bouchereau 2000; Claudino et al. 2015; Contente 2013; Contente et al. 2011; Corrêa and Uieda 2007; Costa and Camara 2012; Dallevo-Gomes et al. 2020; Dias et al. 2011; Favero and Dias 2015; Figueiredo et al. 2021; Garcia and Vieira 1997, 2001; Garcia et al. 2003; Giarrizzo 2007; Giarrizzo et al. 2006; Giglio and Freitas 2013; Guedes et al. 2005; Hostim-Silva et al. 2013; Jimenez et al. 2013; Lamas et al. 2016; Lima 2010; Littmann et al. 2021; Loebmann and Vieira 2005; Lopes et al. 1998; Lourenço 2016; Marceniuk et al. 2016, 2017, 2020; Martins and Vendel 2014; Melo et al. 2016; Neves et al. 2011; Paiva et al. 2009, 2013; Paiva and Araujo 2016; Passos et al. 2012; Pereira and Rocha 2015; Parenti 2019; Petean et al. 2020; Pickett et al. 2020; Pinheiro 2010; Pinheiro-Jr et al. 2017; Ramos et al. 2011; Ramos and Vieira 2001; Reis-Filho et al. 2010; Ribeiro et al. 2018; Rocha and Dias 2015; Rotundo et al. 2020; Santos et al. 2015; Silva et al. 2016; Silva et al. 2018; Silva Jr 2012; Soares-Filho 1996; Souza-Conceição et al. 2013; Tavera et al. 2018; Tavera et al. 2018; Teixeira et al. 2017; Vendel and Chaves 2006; Viana and Frédou 2014; Vieira 2006; Vilar et al. 2011; Xavier et al. 2012) (Table 11.1).

To illustrate the most representative species of mangroves on the Brazilian coast, 90 most common species were selected in order of frequency of occurrence in the four political divisions of the country in the coast (north, northeast, southeast, and south) and the three habitats (Table 11.2), so that they can be easily recognized and compared with other estuarine, mangrove, or salt marsh fishes in the world.

The table summary above shows that over 43% (262) of the recorded species were present in all four coastal political regions. The most widespread and numerous taxonomic families are Carangidae, Characidae, Cichlidae, Sciaenidae, and Serranidae. These families display fishes of all habitats, many of which are considered of economic relevance in the country.

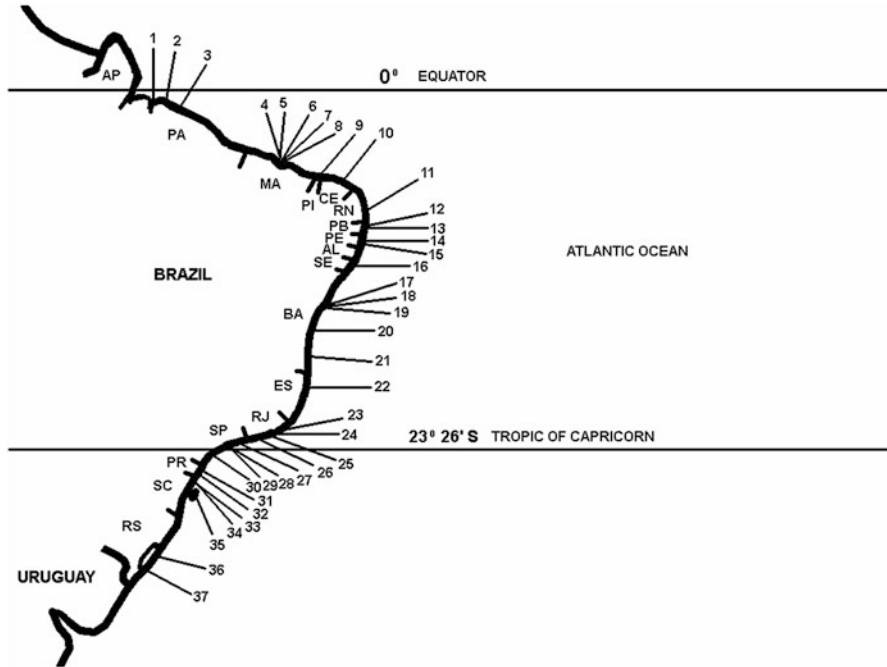


Fig. 11.1 Mangroves, estuaries, and saltmarshes that were considered in the review of fish occurrence and diversity along the Brazilian coast. North region: (1) Curuçá Estuary (PA), (2) Caeté Estuary (PA), and (3) Pará River (PA); see Chap. 3, Maps 1 and 2. Northeast region: (4) Paciência River (MA), (5) Anil River (MA), (6) Cachorros River (MA), (7) Raposa Mangrove (MA), (8) Caranguejo Island (MA), (9) Timonha and Ubatuba Estuary (PI), (10) Jaguariba and Mundaú Estuary (CE), (11) Guarairas Lagoon (RN), (12) Mamanguape River (PB), (13) Comandatuba Estuary (PB), (14) Goiana and Santa Cruz Estuary (PE), (15) Formoso River (PE), (16) Japarutaba River (SE), (17) Mataripe Estuary (BA), (18) Itaparica Island (BA), (19) Contas River (BA), (20) Itacaré River (BA), and (21) Cassurubá River (BA); see Chap. 3, Maps 3–11 and 18. Southeast region: (22) São Mateus Estuary (ES), (23) Rodrigo de Freitas Lagoon and Guanabara Bay (RJ), (24) Marapendí Lagoon (RJ), (25) Ribeira Bay (RJ), (26) Mambucaba River (RJ), (27) Ubatuba and Araçá Bay (SP), (28) Bertioga Channel (SP), (29) Santos and São Vicente Estuary (SP), and (30) Cananéia Estuary (SP); see Chap. 3, Maps 12, 13, and 14. South region: (31) Paranaguá Estuary (PR), (32) Guaraguaçu and Guaratuba Bay (PR), (33) Saí River (SC), (34) Babitonga Bay (SC), (35) Ratoles River (SC), (36) Peixes Lagoon (RS), and (37) Patos Lagoon (RS); see Chap. 3, Maps 15, 16, and 17

The area in the coast with the largest number of exclusive species is the north region (54), all of them either considered fresh- or brackish-water species. Two of the assessed families cannot be found in that region: Narcinidae and Rivulidae. The former represented by the Brazilian electric ray or lesser numbfish *Narcine brasiliensis*, and the latter by three species: the killifish *Anablepsoides cearensis*, found in the lower portion of the Mundaú River estuary (CE); *Atlantirivulus santensis*, found throughout the São Paulo State coast; and *Kryptolebias ocellatus*, commonly found in Northeast Brazil and some areas of Espírito Santo State

Table 11.1 List of fish species found in estuaries, mangroves, and salt marshes along the Brazilian coast in different habitats

Taxon	Habitat	Distribution
Family Acanthuridae		
<i>Acanthurus bahianus</i> Castelnau, 1855	M	N, NE, SE, S
<i>Acanthurus chirurgus</i> (Bloch, 1787)	M	N, NE, SE, S
<i>Acanthurus coeruleus</i> Bloch & Schneider, 1801	M	N, NE, SE
Family Acestrorhynchidae		
<i>Acestrorhynchus grandoculis</i> Menezes & Géry, 1983	F	N
Family Achiridae		
<i>Achirus achirus</i> (Linnaeus, 1758)	FBM	N, NE
<i>Achirus declivis</i> Chabanaud, 1940	FBM	N, NE, SE, S
<i>Achirus lineatus</i> (Linnaeus, 1758)	BM	N, NE, SE, S
<i>Apionichthys dumerili</i> Kaup, 1858	FB	N
<i>Catathyridium garmani</i> (Jordan, 1889)	BM	SE, S
<i>Trinectes microphthalmus</i> (Chabanaud, 1928)	BM	N, NE, SE, S
<i>Trinectes paulistanus</i> (Miranda Ribeiro, 1915)	BM	N, NE, SE, S
Family Albulidae		
<i>Albula goreensis</i> Valenciennes, 1847	M	N, NE
<i>Albula nemoptera</i> (Fowler, 1911)	BM	N, NE, SE
<i>Albula vulpes</i> (Linnaeus, 1758)	M	N, NE, SE, S
Family Anablepidae		
<i>Anableps anableps</i> (Linnaeus, 1758)	FB	N, NE
<i>Anableps microlepis</i> Müller & Troschel, 1844	FB	N, NE
<i>Jenynsia lineata</i> (Jenyns, 1842)	FB	SE, S
<i>Jenynsia multidentata</i> (Jenyns, 1842)	FB	SE, S
Family Anostomidae		
<i>Leporinus copelandii</i> Steindachner, 1875	F	NE, SE
<i>Leporinus fasciatus</i> (Bloch, 1794)	F	N, NE
<i>Leporinus friderici</i> (Bloch, 1794)	F	N, SE, S
<i>Leporinus piau</i> Fowler, 1941	F	NE
<i>Schizodon fasciatus</i> Spix & Agassiz, 1829	F	N
Family Antennariidae		
<i>Antennarius multiocellatus</i> (Valenciennes, 1837)	M	N, NE, SE
<i>Antennarius striatus</i> (Shaw, 1794)	M	N, NE, SE, S
<i>Histrio histrio</i> (Linnaeus, 1758)	M	N, NE, SE, S
Family Apogonidae		
<i>Apogon pseudomaculatus</i> Longley, 1932	M	N, NE, SE, S
Family Apterotonidae		
<i>Apterotonus albifrons</i> (Linnaeus, 1766)	F	N, NE, SE
<i>Sternarchella terminalis</i> (Eigenmann & Allen, 1942)	F	N
<i>Sternarchorhamphus muelleri</i> (Steindachner, 1881)	F	N
Family Arhynchobatidae		
<i>Sympterygia acuta</i> Garman, 1877	M	SE, S
<i>Sympterygia bonapartii</i> Müller & Henle, 1841	M	SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
Family Ariidae		
<i>Amphiarius phrygiatus</i> (Valenciennes, 1840)	BM	N, NE
<i>Amphiarius rugispinis</i> (Valenciennes, 1840)	BM	N, NE
<i>Aspistor luniscutis</i> (Valenciennes, 1840)	BM	N, NE, SE, S
<i>Aspistor quadriscutis</i> (Valenciennes, 1840)	FBM	N, NE
<i>Bagre bagre</i> (Linnaeus, 1766)	BM	N, NE, SE, S
<i>Bagre filamentosus</i> (Swainson, 1839)	BM	N, NE, SE, S
<i>Cathorops agassizii</i> (Eigenmann & Eigenmann, 1888)	FB	N, NE
<i>Cathorops spixii</i> (Agassiz, 1829)	FBM	N, NE, SE, S
<i>Genidens barbatus</i> (Lacépède, 1803)	BM	NE, SE, S
<i>Genidens genidens</i> (Cuvier, 1829)	BM	N, NE, SE, S
<i>Genidens machadoi</i> (Miranda Ribeiro, 1918)	BM	SE, S
<i>Genidens planifrons</i> (Higuchi et al., 1982)	BM	S
<i>Notarius bonillai</i> (Miles, 1945)	F	N, NE
<i>Notarius grandicassis</i> (Valenciennes, 1840)	BM	N, NE, SE
<i>Potamarius grandoculis</i> (Steindachner, 1877)	F	NE, SE
<i>Sciades couma</i> (Valenciennes, 1840)	FBM	N, NE
<i>Sciades herzbergii</i> (Bloch, 1794)	BM	N, NE
<i>Sciades parkeri</i> (Traill, 1832)	BM	N, NE, SE
<i>Sciades passany</i> (Valenciennes, 1840)	BM	N, NE
<i>Sciades proops</i> (Valenciennes, 1840)	FB	N, NE
Family Aspredinidae		
<i>Aspredinichthys filamentosus</i> (Valenciennes, 1840)	FB	N
<i>Aspredinichthys tibicen</i> (Valenciennes, 1840)	FBM	N, NE
<i>Aspredo aspredo</i> (Linnaeus, 1758)	FBM	N
Family Atherinopsidae		
<i>Atherinella blackburni</i> (Schultz, 1949)	BM	NE, SE
<i>Atherinella brasiliensis</i> (Quoy & Gaimard, 1825)	BM	N, NE, SE, S
<i>Membras dissimilis</i> (Carvalho, 1956)	M	SE, S
<i>Odontesthes argentinensis</i> (Valenciennes, 1835)	FBM	SE, S
<i>Odontesthes bonariensis</i> (Valenciennes, 1835)	FBM	NE, SE, S
<i>Odontesthes incisa</i> (Jenyns, 1841)	FBM	S
Family Auchenipteridae		
<i>Ageneiosus inermis</i> (Linnaeus, 1766)	F	N
<i>Ageneiosus ucayalensis</i> Castelnau, 1855	F	N
<i>Pseudauchenipterus nodosus</i> (Bloch, 1794)	FB	N, NE, SE
<i>Trachelyopterus galeatus</i> (Linnaeus, 1766)	F	N, NE
<i>Trachelyopterus striatulus</i> (Steindachner, 1877)	F	SE
Family Balistidae		
<i>Balistes capriscus</i> Gmelin, 1789	M	N, NE, SE, S
<i>Balistes vetula</i> Linnaeus, 1758	M	N, NE, SE

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
Family Batrachoididae		
<i>Amphichthys cryptocentrus</i> (Valenciennes, 1837)	M	N, NE
<i>Batrachoides surinamensis</i> (Bloch & Schneider, 1801)	BM	N, NE
<i>Opsanus beta</i> (Goode & Bean, 1880) ^a	FBM	SE, S
<i>Porichthys plectrodon</i> Jordan & Gilbert, 1882	M	N, NE
<i>Porichthys porosissimus</i> (Cuvier, 1829)	M	SE, S
<i>Thalassophryne maculosa</i> Günther, 1861	M	N, NE
<i>Thalassophryne montevidensis</i> (Berg, 1893)	M	NE, SE, S
<i>Thalassophryne nattereri</i> Steindachner, 1876	M	N, NE, SE
<i>Thalassophryne punctata</i> Steindachner, 1876	M	NE
Family Belonidae		
<i>Strongylura marina</i> (Walbaum, 1792)	FBM	N, NE, SE, S
<i>Strongylura timucu</i> (Walbaum, 1792)	FBM	N, NE, SE, S
<i>Tylosurus acus acus</i> (Lacepède, 1803)	M	N, NE, SE
<i>Tylosurus crocodilus</i> (Péron & Lesueur, 1821)	BM	N, NE
Family Blenniidae		
<i>Entomacrodus nigricans</i> Gill, 1859	M	N, NE
<i>Hyleurochilus fissicornis</i> (Quoy & Gaimard, 1824)	M	SE, S
<i>Lupinoblennius paivai</i> (Pinto, 1958)	FB	NE, SE
<i>Omobranchus punctatus</i> (Valenciennes, 1836) ^a	BM	SE, S
<i>Parablennius marmoreus</i> (Poey, 1876)	M	N, NE, SE, S
<i>Parablennius pilicornis</i> (Cuvier, 1829)	M	SE, S
<i>Scartella cristata</i> (Linnaeus, 1758)	M	N, NE, SE, S
Family Bothidae		
<i>Bothus ocellatus</i> (Agassiz, 1831)	M	N, NE, SE
<i>Bothus robinsi</i> Topp & Hoff, 1972	M	N, NE, SE, S
Family Callichthyidae		
<i>Callichthys callichthys</i> (Linnaeus, 1758)	F	N, NE, SE, S
<i>Corydoras paleatus</i> (Jenyns, 1842)	F	SE, S
<i>Hoplosternum littorale</i> (Hancock, 1828)	F	N, SE, S
Family Carangidae		
<i>Alectis ciliaris</i> (Bloch, 1787)	M	N, NE, SE
<i>Caranx bartholomaei</i> Cuvier, 1833	M	N, NE, SE, S
<i>Caranx crysos</i> (Mitchill, 1815)	BM	N, NE, SE, S
<i>Caranx hippos</i> (Linnaeus, 1766)	FBM	N, NE, SE, S
<i>Caranx latus</i> Agassiz, 1831	FBM	N, NE, SE, S
<i>Caranx lugubris</i> Poey, 1860	M	N, NE, SE, S
<i>Caranx ruber</i> (Bloch, 1793)	M	N, NE, SE, S
<i>Chloroscombrus chrysurus</i> (Linnaeus, 1766)	BM	N, NE, SE, S
<i>Hemicaranx amblyrhynchus</i> (Cuvier, 1833)	M	N, NE, SE, S
<i>Oligoplites palometa</i> (Cuvier, 1832)	FBM	N, NE, SE, S
<i>Oligoplites saliens</i> (Bloch, 1793)	BM	N, NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
<i>Oligoplites saurus</i> (Bloch & Schneider, 1801)	FBM	N, NE, SE, S
<i>Parona signata</i> (Jenyns, 1841)	M	SE, S
<i>Selar crumenophthalmus</i> (Bloch, 1793)	M	N, NE, SE
<i>Selene brownii</i> (Cuvier, 1816)	BM	N, NE, SE
<i>Selene setapinnis</i> (Mitchill, 1815)	BM	N, NE, SE, S
<i>Selene vomer</i> (Linnaeus, 1758)	BM	N, NE, SE, S
<i>Seriola lalandi</i> Valenciennes, 1833	BM	N, NE, SE, S
<i>Trachinotus carolinus</i> (Linnaeus, 1766)	BM	N, NE, SE, S
<i>Trachinotus cayennensis</i> Cuvier, 1832	BM	N
<i>Trachinotus falcatus</i> (Linnaeus, 1758)	BM	N, NE, SE, S
<i>Trachinotus goodei</i> Jordan & Evermann, 1896	M	N, NE, SE, S
<i>Trachinotus marginatus</i> Cuvier, 1832	M	SE, S
<i>Trachurus lathami</i> Nichols, 1920	M	N, NE, SE, S
<i>Uraspis secunda</i> (Poey, 1860)	M	N, NE, SE, S
Family Carcharinidae		
<i>Carcharhinus limbatus</i> (Valenciennes, 1839)	BM	N, NE, SE, S
<i>Carcharhinus porosus</i> (Ranzani, 1839)	BM	N, NE, SE, S
<i>Rhizoprionodon lalandii</i> (Valenciennes, 1839)	M	N, NE, SE, S
<i>Rhizoprionodon porosus</i> (Poey, 1861)	FBM	N, NE, SE, S
Family Centropomidae		
<i>Centropomus ensiferus</i> Poey, 1860	FBM	N, NE, SE
<i>Centropomus irae</i> Carvalho-Filho et al., 2019	BM	N
<i>Centropomus parallelus</i> Poey, 1860	FBM	N, NE, SE, S
<i>Centropomus pectinatus</i> Poey, 1860	FBM	N, NE, SE
<i>Centropomus undecimalis</i> (Bloch, 1792)	FBM	N, NE, SE, S
Family Chaetodontidae		
<i>Chaetodon ocellatus</i> Bloch, 1787	M	N, NE, SE
<i>Chaetodon striatus</i> Linnaeus, 1758	M	N, NE, SE, S
Family Characidae		
<i>Astyanax bimaculatus</i> (Linnaeus, 1758)	F	N, NE, SE, S
<i>Astyanax eigenmanniorum</i> (Cope, 1894)	F	SE, S
<i>Astyanax fasciatus</i> (Cuvier, 1819)	F	N, NE, SE, S
<i>Astyanax giton</i> Eigenmann, 1908	F	SE
<i>Astyanax janeiroensis</i> Eigenmann, 1908	F	SE
<i>Astyanax lacustris</i> (Lütken, 1875)	F	SE, S
<i>Astyanax taeniatus</i> (Jenyns, 1842)	F	NE, SE
<i>Cheirodon ibicuihensis</i> Eigenmann, 1915	F	S
<i>Cheirodon interruptus</i> (Jenyns 1842)	F	S
<i>Compsura heterura</i> Eigenmann, 1915	F	NE, SE, S
<i>Diapoma alburnus</i> (Hensel, 1870)	F	S
<i>Hemigrammus guyanensis</i> Géry, 1959	F	N, NE
<i>Hemigrammus marginatus</i> Ellis, 1911	F	N, NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
<i>Hemigrammus rodwayi</i> Durbin, 1909	F	N, NE
<i>Hyphessobrycon bifasciatus</i> Ellis, 1911	F	SE, S
<i>Hyphessobrycon boulengeri</i> (Eigenmann, 1907)	F	S
<i>Hyphessobrycon igneus</i> Miquelarena et al., 1980	F	SE, S
<i>Hyphessobrycon luetkenii</i> (Boulenger, 1887)	F	SE, S
<i>Hyphessobrycon meridionalis</i> Ringuélet et al., 1978	F	S
<i>Macropsobrycon uruguayanae</i> Eigenmann, 1915	F	S
<i>Mimagoniates inequalis</i> (Eigenmann, 1911)	F	S
<i>Moenkhausia costae</i> (Steindachner, 1907)	F	NE
<i>Oligosarcus hepsetus</i> (Cuvier, 1829)	F	SE, S
<i>Oligosarcus jenynsii</i> (Günther, 1864)	FB	SE, S
<i>Oligosarcus robustus</i> Menezes, 1969	F	S
<i>Phenacogaster calverti</i> (Fowler, 1941)	F	NE, SE
<i>Pseudocorynopoma doriae</i> Perugia, 1891	F	S
<i>Serrapinnus heterodon</i> (Eigenmann, 1915)	F	NE, SE
<i>Serrapinnus piaba</i> (Lütken, 1875)	F	N, NE, SE, S
<i>Triportheus auritus</i> (Valenciennes, 1850)	F	N
<i>Triportheus angulatus</i> (Spix & Agassiz, 1829)	F	N, NE
Family Cichlidae		
<i>Astronotus ocellatus</i> (Agassiz, 1831)	F	N
<i>Australoheros facetus</i> (Jenyns, 1842)	F	SE, S
<i>Cichla ocellaris</i> Bloch & Schneider, 1801	FB	N, NE
<i>Cichla orinocensis</i> Humboldt, 1821	F	N
<i>Cichla pinima</i> Kullander & Ferreira, 2006	F	N
<i>Cichla pleiozona</i> Kullander & Ferreira, 2006	F	N
<i>Cichla temensis</i> Humboldt, 1821	F	N
<i>Cichlasoma bimaculatum</i> (Linnaeus, 1758)	F	N
<i>Cichlasoma orientale</i> Kullander, 1983	F	NE
<i>Cichlasoma portalegrense</i> (Hensel, 1870)	F	S
<i>Coptodon rendalli</i> (Boulenger, 1897) ^a	FB	NE, SE, S
<i>Crenicichla johanna</i> Heckel, 1840	F	N
<i>Crenicichla lacustris</i> (Castelnau, 1855)	F	SE
<i>Crenicichla lepidota</i> Heckel, 1840	F	SE, S
<i>Crenicichla lugubris</i> Heckel, 1840	F	N
<i>Crenicichla menezesi</i> Ploeg 1991	F	NE
<i>Crenicichla reticulata</i> (Heckel, 1840)	F	N, NE
<i>Crenicichla saxatilis</i> (Linnaeus, 1758)	F	N, NE
<i>Geophagus brasiliensis</i> (Quoy & Gaimard, 1824)	FB	SE, S
<i>Geophagus proximus</i> (Castelnau, 1855)	F	N
<i>Geophagus surinamensis</i> (Bloch, 1791)	F	N
<i>Oreochromis niloticus</i> (Linnaeus, 1758) ^a	FB	NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
Family Clariidae		
<i>Clarias gariepinus</i> (Burchell 1822) ^a	F	SE, S
Family Clinidae		
<i>Ribeiroclinus eigenmanni</i> (Jordan, 1888)	M	SE, S
Family Clupeidae		
<i>Brevoortia aurea</i> (Spix & Agassiz, 1829)	M	SE, S
<i>Brevoortia pectinata</i> (Jenyns, 1842)	M	SE, S
<i>Harengula clupeola</i> (Cuvier, 1829)	M	N, NE, SE, S
<i>Harengula jaguana</i> Poey, 1865	FBM	N, NE, SE, S
<i>Lile piquitinga</i> (Schreiner & Miranda Ribeiro, 1903)	FB	N, NE, SE
<i>Opisthonema oglinum</i> (Lesueur, 1818)	BM	N, NE, SE, S
<i>Platanichthys platana</i> (Regan, 1917)	FB	NE, SE, S
<i>Ramnogaster arcuata</i> (Jenyns, 1842)	M	SE, S
<i>Rhinosardinia amazonica</i> (Steindachner, 1879)	FB	N, NE
<i>Rhinosardinia bahiensis</i> (Steindachner, 1879)	FB	N, NE, SE
<i>Sardinella aurita</i> Valenciennes, 1847	BM	N, NE, SE, S
<i>Sardinella brasiliensis</i> (Steindachner, 1879)	BM	N, NE, SE, S
Family Congridae		
<i>Conger orbignianus</i> Valenciennes, 1837	M	SE, S
Family Crenuchidae		
<i>Characidium bimaculatum</i> Fowler, 1941	F	NE
<i>Characidium rachovii</i> Regan, 1913	F	S
Family Ctenoluciidae		
<i>Boulengerella cuvieri</i> (Spix & Agassiz, 1829)	F	N
Family Curimatidae		
<i>Curimata inornata</i> Vari, 1989	F	N
<i>Cyphocharax gilbert</i> (Quoy & Gaimard, 1824)	F	NE, SE
<i>Cyphocharax saladensis</i> (Meinken, 1933)	F	S
<i>Cyphocharax voga</i> (Hensel, 1870)	F	S
<i>Steindachnerina elegans</i> (Steindachner, 1875)	F	NE
<i>Steindachnerina notonota</i> (Miranda Ribeiro, 1937)	F	NE
Family Cynodontidae		
<i>Rhaphiodon vulpinus</i> Spix & Agassiz, 1829	F	N
Family Cynoglossidae		
<i>Symphurus diomedeanus</i> (Goode & Bean, 1885)	M	N, NE, SE, S
<i>Symphurus jenynsi</i> Evermann & Kendall, 1906	M	SE, S
<i>Symphurus plagusia</i> (Bloch & Schneider, 1801)	BM	N, NE, SE, S
<i>Symphurus tessellatus</i> (Quoy & Gaimard, 1824)	BM	N, NE, SE, S
<i>Symphurus trewavasae</i> Chabanaud, 1948	M	SE, S
Family Dactylopteridae		
<i>Dactylopterus volitans</i> (Linnaeus, 1758)	M	N, NE, SE, S
<i>Dactyloscopus crossotus</i> Starks, 1913	M	N, NE, SE

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
Family Dasyatidae		
<i>Bathytoshia centroura</i> (Mitchill, 1815)	BM	N, NE, SE, S
<i>Dasyatis hypostigma</i> Santos & Carvalho, 2004	BM	SE, S
<i>Fontitrygon geijskesi</i> (Boeseman, 1948)	BM	N
<i>Hypanus berthaltutzae</i> Petean et al., 2020	BM	N, NE, SE
<i>Hypanus guttatus</i> (Bloch & Schneider, 1801)	M	N, NE, SE, S
<i>Hypanus marianae</i> (Gomes, Rosa & Gadig, 2000)	BM	NE
<i>Hypanus say</i> (Lesueur, 1817)	BM	N, NE, SE, S
Family Diodontidae		
<i>Chilomycterus antennatus</i> (Cuvier, 1816)	M	N, NE
<i>Chilomycterus antillarum</i> Jordan & Rutter, 1897	M	N, NE
<i>Chilomycterus reticulatus</i> (Linnaeus, 1758)	M	SE
<i>Chilomycterus spinosus</i> (Linnaeus, 1758)	M	N, NE, SE, S
<i>Diodon holocanthus</i> Linnaeus, 1758	M	N, NE, SE, S
<i>Diodon hystrix</i> Linnaeus, 1758	M	N, NE, SE, S
Family Doradidae		
<i>Lithodoras dorsalis</i> (Valenciennes, 1840)	F	N
Family Echeneidae		
<i>Echeneis naucrates</i> Linnaeus, 1758	M	N, NE, SE, S
<i>Phtheichthys lineatus</i> (Menzies, 1791)	M	N, NE, SE, S
<i>Remora remora</i> (Linnaeus, 1758)	M	N, NE, SE, S
Family Eleotridae		
<i>Butis koilomatodon</i> (Bleeker, 1849) ^a	FBM	NE, SE
<i>Dormitator maculatus</i> (Bloch, 1792)	FBM	N, NE, SE, S
<i>Eleotris pisonis</i> (Gmelin, 1789)	FBM	N, NE, SE, S
<i>Erotelis smaragdus</i> (Valenciennes, 1837)	FBM	N, NE
<i>Guavina guavina</i> (Valenciennes, 1837)	FBM	N, NE, SE, S
Family Elopidae		
<i>Elops smithi</i> McBride et al., 2010	M	N, NE, SE, S
Family Engraulidae		
<i>Anchoa cayorum</i> (Fowler, 1906)	M	N, NE
<i>Anchoa filifera</i> (Fowler, 1915)	BM	N, NE, SE, S
<i>Anchoa hepsetus</i> (Linnaeus, 1758)	BM	N, NE, SE, S
<i>Anchoa januaria</i> (Steindachner, 1879)	M	N, NE, SE, S
<i>Anchoa lyolepis</i> (Evermann & Marsh, 1900)	M	N, NE, SE, S
<i>Anchoa marinii</i> Hildebrand, 194	M	N, NE, SE, S
<i>Anchoa parva</i> (Meek & Hildebrand, 1923)	FBM	N, NE, SE, S
<i>Anchoa spinifer</i> (Valenciennes, 1848)	FBM	N, NE, SE, S
<i>Anchoa tricolor</i> (Spix & Agassiz, 1829)	BM	NE, SE, S
<i>Anchovia clupeoides</i> (Swainson 1839)	M	N, NE, SE, S
<i>Anchovia surinamensis</i> (Bleeker, 1865)	FB	N, NE
<i>Anchoviella brevirostris</i> (Günther, 1868)	BM	N, NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
<i>Anchoviella guianensis</i> (Eigenmann, 1912)	FB	N
<i>Anchoviella lepidentostole</i> (Fowler, 1911)	FBM	N, NE, SE, S
<i>Cetengraulis edentulus</i> (Cuvier, 1829)	BM	N, NE, SE, S
<i>Engraulis anchoita</i> Hubbs & Marini, 1935	M	N, NE, SE, S
<i>Engraulis eurystole</i> (Swain & Meek, 1884)	M	N, NE
<i>Lycengraulis batesii</i> (Günther, 1868)	FB	N, NE
<i>Lycengraulis grossidens</i> (Spix & Agassiz, 1829)	FBM	N, NE, SE, S
<i>Pterengraulis atherinoides</i> (Linnaeus, 1766)	FB	N, NE
Family Ephippidae		
<i>Chaetodipterus faber</i> (Broussonet, 1782)	FBM	N, NE, SE, S
Family Erythrinidae		
<i>Hoplias malabaricus</i> (Bloch, 1794)	FB	N, NE, SE, S
Family Exocoetidae		
<i>Cheilopogon melanurus</i> (Valenciennes, 1847)	M	N, NE, SE
<i>Hirundichthys affinis</i> (Günther, 1866)	M	N, NE
<i>Parexocoetus brachypterus</i> (Richardson, 1846)	M	N, NE, SE, S
Family Fistularidae		
<i>Fistularia petimba</i> Lacepède, 1803	M	N, NE, SE, S
<i>Fistularia tabacaria</i> Linnaeus, 1758	M	N, NE, SE, S
Family Gagidae		
<i>Urophycis brasiliensis</i> (Kaup, 1858)	M	SE, S
Family Gerreidae		
<i>Diapterus auratus</i> Ranzani, 1842	FBM	N, NE, SE, S
<i>Diapterus rhombeus</i> (Cuvier, 1829)	BM	N, NE, SE, S
<i>Eucinostomus argenteus</i> Baird & Girard, 1855	FBM	N, NE, SE, S
<i>Eucinostomus gula</i> (Quoy & Gaimard, 1824)	FBM	N, NE, SE, S
<i>Eucinostomus havana</i> (Nichols, 1912)	BM	N, NE
<i>Eucinostomus lefroyi</i> (Goode, 1874)	M	N, NE, SE, S
<i>Eucinostomus melanopterus</i> (Bleeker, 1863)	FBM	N, NE, SE, S
<i>Eugerres brasilianus</i> (Cuvier, 1830)	M	N, NE, SE, S
<i>Gerres cinereus</i> (Walbaum, 1792)	FBM	N, NE, SE
Family Glymostomatidae		
<i>Ginglymostoma cirratum</i> (Bonnaterre, 1788)	M	N, NE, SE, S
Family Globiesocidae		
<i>Gobiesox barbatulus</i> Starks, 1913	M	SE, S
<i>Gobiesox strumosus</i> Cope, 1870	BM	N, NE, SE, S
<i>Tomicodon fasciatus</i> (Peters, 1859)	M	N, NE, SE
Family Gobiidae		
<i>Awaous tajasica</i> (Lichtenstein, 1822)	FBM	N, NE, SE, S
<i>Bathygobius soporator</i> (Valenciennes, 1837)	FBM	N, NE, SE, S
<i>Ctenogobius boleosoma</i> (Jordan & Gilbert, 1882)	FBM	N, NE, SE, S
<i>Ctenogobius shufeldti</i> (Jordan & Eigenmann, 1887)	FBM	N, NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
<i>Ctenogobius smaragdus</i> (Valenciennes, 1837)	FBM	N, NE, SE, S
<i>Ctenogobius stigmaticus</i> (Poey, 1860)	M	N, NE, SE, S
<i>Evorthodus lyricus</i> (Girard, 1858)	FBM	N, NE, SE, S
<i>Gobioides broussonnetii</i> Lacepède, 1800	FBM	N, NE, SE, S
<i>Gobionellus oceanicus</i> (Pallas, 1770)	FBM	N, NE, SE, S
<i>Gobionellus stomatus</i> Starks, 1913	B	NE, SE, S
<i>Gobiosoma hemigymnum</i> (Eigenmann & Eigenmann, 1888)	M	N, NE, SE, S
<i>Gnatholepis thompsoni</i> Jordan, 1904	M	N, NE, SE
<i>Microgobius meeki</i> Evermann & Marsh, 1899	M	N, NE, SE, S
<i>Microgobius carri</i> Fowler, 1945	BM	N, NE, SE
<i>Priolepis dawsoni</i> Greenfield, 1989	M	N, NE, SE
Family Gymnotidae		
<i>Gymnotus carapo</i> Linnaeus, 1758	F	N, SE
Family Gymnuridae		
<i>Gymnura altavela</i> (Linnaeus, 1758)	BM	N, NE, SE, S
<i>Gymnura micrura</i> (Bloch & Schneider, 1801)	BM	N, NE, SE, S
Family Haemulidae		
<i>Anisotremus surinamensis</i> (Bloch, 1791)	M	N, NE, SE, S
<i>Anisotremus virginicus</i> (Linnaeus, 1758)	M	N, NE, SE, S
<i>Brachygenys chrysargyrea</i> Günther, 1859	M	N, NE
<i>Boridia grossidens</i> Cuvier, 1830	M	SE, S
<i>Conodon nobilis</i> (Linnaeus, 1758)	FBM	N, NE, SE, S
<i>Genyatremus luteus</i> (Bloch, 1790)	BM	N, NE, SE, S
<i>Haemulon atlanticus</i> Carvalho, Marceniuk, Oliveira & Wosiacki, 2020	M	N, NE, SE, S
<i>Haemulon aurolineatum</i> Cuvier, 1830	M	N, NE, SE, S
<i>Haemulon flavolineatum</i> (Desmarest, 1823)	M	N, NE
<i>Haemulon parra</i> (Desmarest, 1823)	M	N, NE, SE
<i>Haemulon plumierii</i> (Lacepède, 1801)	M	N, NE, SE
<i>Haemulon squamipinna</i> Rocha & Rosa, 1999	M	NE, SE
<i>Haemulopsis corvinaeformis</i> (Steindachner, 1868)	BM	N, NE, SE, S
<i>Orthopristis rubra</i> (Cuvier, 1830)	BM	SE, S
<i>Orthopristis scapularis</i> Fowler, 1915	BM	N, NE
<i>Paranisotremus moricandi</i> (Ranzani, 1842)	M	N, NE, SE
<i>Pomadasys ramosus</i> (Poey, 1860)	FBM	N, NE, SE, S
<i>Rhonciscus croco</i> (Cuvier, 1830)	FBM	N, NE, SE, S
Family Hemiodontidae		
<i>Hemiodus unimaculatus</i> (Bloch, 1794)	F	N
Family Hemiramphidae		
<i>Hemiramphus balao</i> Lesueur, 1821	BM	N, NE, SE
<i>Hemiramphus brasiliensis</i> (Linnaeus, 1758)	BM	N, NE, SE, S
<i>Hyporhamphus roberti</i> (Valenciennes, 1847)	BM	N, NE, SE, S
<i>Hyporhamphus unifasciatus</i> (Ranzani, 1841)	BM	N, NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
Family Heptapteridae		
<i>Pimelodella altipinnis</i> (Steindachner, 1864)	F	N
<i>Pimelodella australis</i> Eigenmann, 1917	F	S
<i>Pimelodella cristata</i> (Müller & Troschel, 1849)	F	N, NE
<i>Pimelodella lateristriga</i> (Lichtenstein, 1823)	F	SE
<i>Rhamdia quelen</i> (Quoy & Gaimard, 1824)	F	N, NE, SE, S
Family Holocentridae		
<i>Holocentrus adscensionis</i> (Osbeck, 1765)	M	N, NE, SE, S
Family Hypopomidae		
<i>Brachyhypopomus janeiroensis</i> (Costa & Campos-da-Paz, 1992)	F	SE
Family Kyphosidae		
<i>Kyphosus sectatrix</i> (Linnaeus, 1758)	FBM	N, NE, SE
<i>Kyphosus vaigiensis</i> (Quoy & Gaimard, 1825)	M	N, NE, SE
Family Labridae		
<i>Halichoeres poeyi</i> (Steindachner, 1867)	M	NE, SE, S
Family Labrisomidae		
<i>Labrisomus nuchipinnis</i> (Quoy & Gaimard, 1824)	M	N, NE, SE, S
<i>Malacoctenus delalandii</i> (Valenciennes, 1836)	M	N, NE, SE, S
Family Lebiasinidae		
<i>Nannostomus beckfordi</i> Günther, 1872	F	N, NE
Family Lobotidae		
<i>Lobotes surinamensis</i> (Bloch, 1790)	FBM	N, NE, SE, S
Family Loricariidae		
<i>Acanthicus hystrix</i> Spix & Agassiz, 1829	F	N
<i>Hypostomus affinis</i> (Steindachner, 1877)	F	SE
<i>Hypostomus plecostomus</i> (Linnaeus, 1758)	F	N, NE
<i>Hypostomus punctatus</i> Valenciennes, 1840	F	SE
<i>Hypostomus watwata</i> Hancock, 1828	F	N, NE
<i>Loricaria cataphracta</i> Linnaeus, 1758	F	N
<i>Loricaria parnabyae</i> Steindachner, 1907	F	N, NE
<i>Loricariichthys anus</i> (Valenciennes, 1835)	F	S
<i>Parotocinclus cearensis</i> Garavello, 1977	F	NE
<i>Pterygoplichthys joselimaianus</i> (Weber, 1991)	F	N, NE
<i>Schizolecis guntheri</i> (Miranda Ribeiro, 1918)	F	SE
Family Lutjanidae		
<i>Lutjanus alexandrei</i> Moura & Lindeman, 2007	M	N, NE
<i>Lutjanus analis</i> (Cuvier, 1828)	FBM	N, NE, SE, S
<i>Lutjanus apodus</i> (Walbaum, 1792)	BM	N, NE
<i>Lutjanus buccanella</i> (Cuvier, 1828)	M	N, NE
<i>Lutjanus campechanus</i> (Poey, 1860)	M	N, NE
<i>Lutjanus cyanopterus</i> (Cuvier, 1828)	BM	N, NE, SE
<i>Lutjanus griseus</i> (Linnaeus, 1758)	FBM	N, NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
<i>Lutjanus jocu</i> (Bloch & Schneider, 1801)	FBM	N, NE, SE
<i>Lutjanus synagris</i> (Linnaeus, 1758)	M	N, NE, SE, S
<i>Lutjanus vivanus</i> (Cuvier, 1828)	M	N, NE, SE
<i>Ocyurus chrysurus</i> (Bloch, 1791)	M	N, NE, SE
Family Megalopidae		
<i>Megalops atlanticus</i> Valenciennes, 1847	FBM	N, NE, SE, S
Family Monacanthidae		
<i>Aluterus heudelotii</i> Hollard, 1855	M	N, NE, SE, S
<i>Aluterus monoceros</i> (Linnaeus, 1758)	M	N, NE, SE, S
<i>Aluterus schoepfii</i> (Walbaum, 1792)	M	N, NE, SE, S
<i>Cantherhines macrocerus</i> (Hollard, 1853)	M	N, NE, SE
<i>Cantherhines pullus</i> (Ranzani, 1842)	M	N, NE, SE
<i>Monacanthus ciliatus</i> (Mitchill, 1818)	M	N, NE, SE, S
<i>Stephanolepis hispida</i> (Linnaeus, 1766)	M	N, NE, SE, S
<i>Stephanolepis setifer</i> (Bennett, 1831)	M	N, NE, SE
Family Mugilidae		
<i>Mugil brevirostris</i> Miranda Ribeiro, 1915	FBM	SE, S
<i>Mugil cephalus</i> Linnaeus, 1758	FBM	N, NE, SE, S
<i>Mugil curema</i> Valenciennes, 1836	FBM	N, NE, SE, S
<i>Mugil curvidens</i> Valenciennes, 1836	M	N, NE, SE, S
<i>Mugil gaimardianus</i> Desmarest, 1831	BM	N, NE, SE, S
<i>Mugil hospes</i> Jordan & Culver, 1895	BM	N, NE, SE, S
<i>Mugil incilis</i> Hancock, 1830	FB	N, NE, SE, S
<i>Mugil liza</i> Valenciennes, 1836	FBM	N, NE, SE, S
<i>Mugil rubrioculus</i> Harrison et al., 2007	BM	N, NE, SE, S
<i>Mugil trichodon</i> Poey, 1875	FBM	N, NE, SE
Family Mullidae		
<i>Mullus argentinae</i> Hubbs & Marini, 1933	M	SE, S
<i>Pseudupeneus maculatus</i> (Bloch, 1793)	M	N, NE, SE, S
<i>Upeneus parvus</i> Poey, 1852	M	N, NE, SE, S
Family Muraenidae		
<i>Gymnothorax funebris</i> Ranzani, 1839	M	N, NE, SE
<i>Gymnothorax moringa</i> (Cuvier, 1829)	M	N, NE, SE
<i>Gymnothorax ocellatus</i> Agassiz, 1831	M	N, NE, SE, S
<i>Gymnothorax vicinus</i> (Castelnau, 1855)	M	N, NE, SE
Family Muraenosocidae		
<i>Cynoponticus savanna</i> (Bancroft, 1831)	BM	N, NE, SE, S
Family Myliobatidae		
<i>Aetobatus narinari</i> (Euphrasen, 1790)	BM	N, NE, SE, S
<i>Rhinoptera bonasus</i> (Mitchill, 1815)	BM	N, NE, SE, S
Family Narcinidae		
<i>Narcine brasiliensis</i> (Olfers, 1831)	M	NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
Family Ogocephalidae		
<i>Ogocephalus nasutus</i> (Cuvier, 1829)	M	N, NE
<i>Ogocephalus vespertilio</i> (Linnaeus, 1758)	M	N, NE, SE, S
Family Ophichthidae		
<i>Ahlia egmontis</i> (Jordan, 1884)	M	N, NE, SE
<i>Echiophis intertinctus</i> (Richardson, 1848)	M	N, NE, SE, S
<i>Myrichthys ocellatus</i> (Lesueur, 1825)	M	N, NE, SE, S
<i>Myrophis punctatus</i> Lütken, 1852	FBM	N, NE, SE, S
<i>Ophichthus cylindroideus</i> (Ranzani, 1839)	M	N, NE, SE, S
<i>Ophichthus gomesii</i> (Castelnau, 1855)	BM	N, NE, SE, S
<i>Ophichthus ophis</i> (Linnaeus, 1758)	M	N, NE, SE, S
Family Opistognathidae		
<i>Opistognathus cuvierii</i> Valenciennes, 1836	M	NE, SE
Family Ostracidae		
<i>Acanthostracion polygonius</i> Poey, 1876	M	N, NE, SE, S
<i>Acanthostracion quadricornis</i> (Linnaeus, 1758)	M	N, NE, SE, S
<i>Lactophrys trigonus</i> (Linnaeus, 1758)	M	N, NE, SE
<i>Lactophrys triqueter</i> (Linnaeus, 1758)	M	N, NE, SE
Family Paralichthyidae		
<i>Citharichthys arenaceus</i> Evermann & Marsh, 1900	FBM	N, NE, SE, S
<i>Citharichthys cornutus</i> (Günther, 1880)	M	N, NE, SE, S
<i>Citharichthys dinoceros</i> Goode & Bean, 1886	M	N, NE, SE, S
<i>Citharichthys macrops</i> Dresel, 1885	M	N, NE, SE, S
<i>Citharichthys spilopterus</i> Günther, 1862	FBM	N, NE, SE, S
<i>Cyclopsetta chittendeni</i> Bean, 1895	M	N, NE, SE
<i>Etropus crossotus</i> Jordan & Gilbert, 1882	BM	N, NE, SE, S
<i>Etropus longimanus</i> Norman, 1933	M	SE, S
<i>Paralichthys brasiliensis</i> (Ranzani, 1842)	BM	N, NE, SE, S
<i>Paralichthys orbignyanus</i> (Valenciennes, 1839)	B	NE, SE, S
<i>Paralichthys patagonicus</i> Jordan, 1889	M	NE, SE, S
<i>Paralichthys tropicus</i> Ginsburg, 1933	M	NE
<i>Syacium micrurum</i> Ranzani, 1842	M	N, NE, SE
<i>Syacium papillosum</i> (Linnaeus, 1758)	M	N, NE, SE, S
Family Pempheridae		
<i>Pempheris schomburgkii</i> Müller & Troschel, 1848	M	N, NE, SE
Family Percophidae		
<i>Percophis brasiliensis</i> Quoy & Gaimard, 1825	M	SE, S
Family Pimelodidae		
<i>Brachyplatystoma filamentosum</i> (Lichtenstein, 1819)	F	N
<i>Brachyplatystoma platynemum</i> Boulenger, 1898	F	N
<i>Brachyplatystoma rousseauxii</i> (Castelnau, 1855)	F	N
<i>Brachyplatystoma vaillantii</i> (Valenciennes, 1840)	F	N, NE

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
<i>Hypophthalmus edentatus</i> Spix & Agassiz, 1829	FB	N
<i>Hypophthalmus marginatus</i> Valenciennes, 1840	F	N
<i>Parapimelodus nigribarbis</i> (Boulenger, 1889)	F	SE, S
<i>Pimelodus blochii</i> Valenciennes, 1840	FB	N, NE, SE, S
<i>Pimelodus maculatus</i> Lacepède, 1803	F	SE, S
<i>Pinirampus pinirampu</i> (Spix & Agassiz, 1829)	F	N
<i>Platystomatichthys sturio</i> (Kner, 1858)	F	N
<i>Propimelodus eigenmanni</i> (Van der Stigchel, 1946)	F	N
<i>Pseudoplatystoma fasciatum</i> (Linnaeus, 1766)	F	N, NE
Family Pinguipedidae		
<i>Pinguipes brasiliensis</i> Cuvier, 1829	M	SE, S
Family Pleuronectidae		
<i>Oncopterus darwinii</i> Steindachner, 1874	M	N, NE, SE, S
Family Poeciliidae		
<i>Cnesterodon decemmaculatus</i> (Jenyns, 1842)	BM	SE, S
<i>Phalloceros caudimaculatus</i> (Hensel, 1868)	BM	SE, S
<i>Phalloceros harpagos</i> Lucinda, 2008	F	SE, S
<i>Phalloptychus januarius</i> (Hensel, 1868)	F	SE, S
<i>Poecilia reticulata</i> Peters, 1859 ^a	FB	N, NE, SE, S
<i>Poecilia sarrafae</i> Bragança & Costa, 2011	F	NE
<i>Poecilia vivipara</i> Bloch & Schneider, 1801	FB	N, NE, SE, S
<i>Tomeurus gracilis</i> Eigenmann, 1909	F	N, NE
<i>Xiphophorus hellerii</i> Heckel, 1848 ^a	F	SE, S
Family Polynemidae		
<i>Polydactylus oligodon</i> (Günther, 1860)	FB	N, NE, SE, S
<i>Polydactylus virginicus</i> (Linnaeus, 1758)	FB	N, NE, SE, S
Family Pomacanthidae		
<i>Pomacanthus arcuatus</i> (Linnaeus, 1758)	M	N, NE, SE
<i>Pomacanthus paru</i> (Bloch, 1787)	M	N, NE, SE, S
Family Pomacentridae		
<i>Abudefduf saxatilis</i> (Linnaeus, 1758)	M	N, NE, SE, S
<i>Stegastes fuscus</i> (Cuvier, 1830)	M	NE, SE, S
<i>Stegastes variabilis</i> (Castelnau, 1855)	M	NE, SE, S
Family Pomatomidae		
<i>Pomatomus saltatrix</i> (Linnaeus, 1766)	BM	N, NE, SE, S
Family Potamotrygonidae		
<i>Potamotrygon motoro</i> (Müller & Henle, 1841)	F	N, NE
<i>Potamotrygon orbignyi</i> (Castelnau, 1855)	F	N
Family Priacanthidae		
<i>Priacanthus arenatus</i> Cuvier, 1829	M	N, NE, SE, S
Family Pristidae		
<i>Pristis pristis</i> (Linnaeus, 1758)	FBM	N

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
Family Pristigasteridae		
<i>Chirocentrodon bleekermanus</i> (Poey, 1867)	BM	N, NE, SE
<i>Odontognathus mucronatus</i> Lacepède, 1800	FBM	NE, SE
<i>Pellona castelnaeana</i> Valenciennes, 1847	FB	N
<i>Pellona flavipinnis</i> (Valenciennes, 1837)	FB	N, NE
<i>Pellona harroweri</i> (Fowler, 1917)	BM	N, NE, SE, S
Family Prochilodontidae		
<i>Prochilodus argenteus</i> Spix & Agassiz, 1829	F	N, NE
<i>Prochilodus nigricans</i> Spix & Agassiz, 1829	F	N, NE, SE, S
<i>Prochilodus brevis</i> Steindachner, 1875	F	NE
<i>Prochilodus vimboides</i> Kner, 1859	F	SE
Family Rachycentridae		
<i>Rachycentron canadum</i> (Linnaeus, 1766)	M	N, NE, SE, S
Family Rajidae		
<i>Atlantoraja platana</i> (Günther, 1880)	M	SE, S
<i>Rioraja agassizii</i> (Müller & Henle, 1841)	M	SE, S
Family Rhamphichthyidae		
<i>Rhamphichthys marmoratus</i> Castelnau, 1855	F	N
<i>Rhamphichthys rostratus</i> (Linnaeus, 1766)	F	N
Family Rhinobatidae		
<i>Pseudobatos horkelii</i> (Müller & Henle, 1841)	M	N, NE, SE, S
<i>Pseudobatos percellens</i> (Walbaum, 1792)	M	N, NE, SE, S
<i>Zapteryx brevirostris</i> (Müller & Henle, 1841)	M	NE, SE, S
Family Rivulidae		
<i>Anablepsoides cearensis</i> (Costa & Vono, 2009)	F	NE
<i>Atlantirivulus santensis</i> (Köhler, 1906)	F	SE, S
<i>Kryptolebias ocellatus</i> (Hensel, 1868)	FB	SE
Family Scaridae		
<i>Nicholsina usta</i> (Valenciennes, 1840)	M	N, NE, SE
<i>Scarus trispinosus</i> Valenciennes, 1840	M	NE, SE
<i>Sparisoma amplum</i> (Ranzani, 1841)	M	NE, SE
<i>Sparisoma axillare</i> (Steindachner, 1878)	M	N, NE, SE, S
<i>Sparisoma radians</i> (Valenciennes, 1840)	M	N, NE, SE
Family Sciaenidae		
<i>Bairdiella goeldi</i> (Marceniuk <i>et al.</i> , 2019)	BM	N, NE, SE, S
<i>Ctenosciaena gracilicirrus</i> (Metzelaar, 1919)	M	N, NE, SE, S
<i>Cynoscion acoupa</i> (Lacepède, 1801)	FBM	N, NE, SE, S
<i>Cynoscion guatucupa</i> (Cuvier, 1830)	M	SE, S
<i>Cynoscion jamaicensis</i> (Vaillant & Bocourt, 1883)	BM	N, NE, SE, S
<i>Cynoscion leiarchus</i> (Cuvier, 1830)	BM	N, NE, SE, S
<i>Cynoscion microlepidotus</i> (Cuvier, 1830)	BM	N, NE, SE, S
<i>Cynoscion similis</i> Randall & Cervigón, 1968	BM	N

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
<i>Cynoscion steindachneri</i> (Jordan, 1889)	FBM	N, NE
<i>Cynoscion virescens</i> (Cuvier, 1830)	BM	N, NE, SE, S
<i>Isopisthus parvipinnis</i> (Cuvier, 1830)	BM	N, NE, SE, S
<i>Larimus breviceps</i> Cuvier, 1830	FBM	N, NE, SE, S
<i>Lonchurus lanceolatus</i> (Bloch, 1788)	BM	N
<i>Macrodon ancylodon</i> (Bloch & Schneider, 1801)	BM	N, NE
<i>Macrodon atricauda</i> (Günther, 1880)	BM	SE, S
<i>Menticirrhus cuiaranensis</i> (Marceniuk et al., 2020)	BM	N, NE, SE
<i>Menticirrhus gracilis</i> (Cuvier, 1830)	BM	SE, S
<i>Menticirrhus martinicensis</i> (Cuvier, 1830)	FBM	N, NE, SE, S
<i>Micropogonias furnieri</i> (Desmarest, 1823)	FBM	N, NE, SE, S
<i>Nebris microps</i> Cuvier, 1830	BM	N, NE, SE, S
<i>Odontoscion dentex</i> (Cuvier, 1830)	M	N, NE, SE
<i>Pachypops fourcroyi</i> (Lacepède, 1802)	F	N, NE
<i>Paralonchurus brasiliensis</i> (Steindachner, 1875)	BM	N, NE, SE, S
<i>Pareques lineatus</i> (Cuvier, 1830)	M	N, NE, SE
<i>Plagioscion auratus</i> (Castelnau, 1855)	F	N, NE
<i>Plagioscion squamosissimus</i> (Heckel, 1840)	F	N, NE
<i>Plagioscion surinamensis</i> (Bleeker, 1873)	F	N
<i>Pogonias cromis</i> (Linnaeus, 1766)	BM	N, NE
<i>Pogonias courbina</i> (Lacepède, 1803)	BM	SE, S
<i>Stellifer brasiliensis</i> (Schultz, 1945)	BM	NE, SE, S
<i>Stellifer cervigoni</i> Chao et al., 2021	M	N
<i>Stellifer collettei</i> Chao et al., 2021	M	N, NE, SE
<i>Stellifer gomezi</i> Chao et al., 2021	BM	N, NE, SE, S
<i>Stellifer menezesi</i> Chao et al., 2021	BM	NE, SE
<i>Stellifer microps</i> (Steindachner, 1864)	BM	N, NE
<i>Stellifer musicki</i> Chao et al., 2021	BM	N, NE
<i>Stellifer naso</i> (Jordan, 1889)	BM	N, NE
<i>Stellifer punctatissimus</i> (Meek & Hildebrand, 1925)	BM	N, NE, SE
<i>Stellifer rastrifer</i> (Jordan, 1889)	BM	N, NE, SE, S
<i>Stellifer stellifer</i> (Bloch, 1790)	BM	N, NE, SE, S
<i>Umbrina canosai</i> Berg, 1895	BM	N, NE, SE, S
<i>Umbrina coroides</i> Cuvier, 1830	BM	N, NE, SE, S
Family Scombridae		
<i>Acanthocybium solandri</i> (Cuvier, 1832)	M	N, NE, SE, S
<i>Scomberomorus brasiliensis</i> Collette et al., 1978	M	N, NE, SE, S
<i>Scomberomorus cavalla</i> (Cuvier, 1829)	M	N, NE, SE, S
<i>Scomberomorus maculatus</i> (Mitchill, 1815)	M	N, NE
<i>Scomberomorus regalis</i> (Bloch, 1793)	M	N, NE, SE
Family Scorpaenidae		
<i>Scorpaena brasiliensis</i> Cuvier, 1829	M	N, NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
<i>Scorpaena isthmensis</i> Meek & Hildebrand, 1928	M	N, NE, SE, S
<i>Scorpaena plumieri</i> Bloch, 1789	M	N, NE, SE, S
Family Serranidae		
<i>Acanthistius brasilianus</i> (Cuvier, 1828)	BM	SE, S
<i>Alphestes afer</i> (Bloch, 1793)	M	N, NE, SE, S
<i>Diplectrum formosum</i> (Linnaeus, 1766)	M	N, NE, SE, S
<i>Diplectrum radiale</i> (Quoy & Gaimard, 1824)	BM	N, NE, SE, S
<i>Dules auriga</i> Cuvier, 1829	M	NE, SE, S
<i>Epinephelus adscensionis</i> (Osbeck, 1765)	M	N, NE, SE
<i>Epinephelus itajara</i> (Lichtenstein, 1822)	BM	N, NE, SE, S
<i>Epinephelus marginatus</i> (Lowe, 1834)	M	SE, S
<i>Epinephelus morio</i> (Valenciennes, 1828)	M	N, NE, SE, S
<i>Hyporthodus nigritus</i> (Holbrook, 1855)	M	N, NE, SE, S
<i>Hyporthodus niveatus</i> (Valenciennes, 1828)	M	N, NE, SE, S
<i>Mycteroperca acutirostris</i> (Valenciennes, 1828)	M	NE, SE, S
<i>Mycteroperca bonaci</i> (Poey, 1860)	M	N, NE, SE, S
<i>Mycteroperca interstitialis</i> (Poey, 1860)	M	SE, S
<i>Mycteroperca microlepis</i> (Goode & Bean, 1879)	M	N, NE, SE, S
<i>Mycteroperca tigris</i> (Valenciennes, 1833)	M	N, NE, SE, S
<i>Rypticus randalli</i> Courtenay, 1967	M	N, NE, SE, S
<i>Rypticus saponaceus</i> (Bloch & Schneider, 1801)	M	N, NE, SE
<i>Serranus atrobranchus</i> (Cuvier, 1829)	M	N, NE, SE, S
<i>Serranus flaviventris</i> (Cuvier, 1829)	M	N, NE, SE, S
Family Serrasalmidae		
<i>Pristobrycon calmoni</i> (Steindachner, 1908)	F	N
<i>Pristobrycon striolatus</i> (Steindachner, 1908)	F	N
<i>Pygocentrus nattereri</i> Kner, 1858	F	N, NE
<i>Serrasalmus rhombeus</i> (Linnaeus, 1766)	F	N, NE
<i>Serrasalmus spilopleura</i> Kner, 1858	F	N, NE
Family Sparidae		
<i>Archosargus probatocephalus</i> (Walbaum, 1792)	BM	N, NE, SE, S
<i>Archosargus rhomboidalis</i> (Linnaeus, 1758)	BM	N, NE, SE, S
<i>Calamus penna</i> (Valenciennes, 1830)	M	N, NE, SE, S
<i>Calamus pennatula</i> Guichenot, 1868	M	N, NE, SE
<i>Diplodus argenteus</i> (Valenciennes, 1830)	M	N, NE, SE, S
Family Sphyraenidae		
<i>Sphyraena barracuda</i> (Edwards, 1771)	BM	N, NE, SE, S
<i>Sphyraena guachancho</i> Cuvier, 1829	M	N, NE, SE, S
<i>Sphyraena picudilla</i> Poey, 1860	M	N, NE, SE, S
<i>Sphyraena tome</i> Fowler, 1903	M	SE, S
Family Sphyrnidae		
<i>Sphyrna tiburo</i> (Linnaeus, 1758)	BM	N, NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
<i>Sphyrna lewini</i> (Griffith & Smith, 1834)	BM	N, NE, SE, S
<i>Sphyrna mokarran</i> (Rüppell, 1837)	BM	N, NE, SE, S
<i>Sphyrna zygaena</i> (Linnaeus, 1758)	BM	N, NE, SE, S
Family Sternopygidae		
<i>Distocyclus conirostris</i> (Eigenmann & Allen, 1942)	F	N
<i>Eigenmannia macrops</i> (Boulenger, 1897)	F	N
<i>Eigenmannia trilineata</i> López & Castello, 1966	F	SE
<i>Eigenmannia virescens</i> (Valenciennes, 1836)	F	N, NE, SE, S
<i>Rhabdolichops caviceps</i> (Fernández-Yépez, 1968)	F	N
<i>Sternopygus macrurus</i> (Bloch & Schneider, 1801)	F	N, NE, SE, S
Family Stromateidae		
<i>Peprilus crenulatus</i> Cuvier, 1829	M	N, NE, SE, S
<i>Peprilus xanthurus</i> (Quoy & Gaimard)	BM	N, NE, SE, S
Family Synbranchidae		
<i>Synbranchus marmoratus</i> Bloch, 1795	FB	NE, SE, S
Family Syngnathidae		
<i>Bryx dunckeri</i> (Metzelaar, 1919)	M	N, NE, SE, S
<i>Cosmocampus elucens</i> (Poey, 1868)	M	N, NE, SE, S
<i>Hippocampus erectus</i> Perry, 1810	M	N, NE, SE, S
<i>Hippocampus patagonicus</i> Piacentino & Luzzatto, 2004	M	SE, S
<i>Hippocampus reidi</i> Ginsburg, 1933	BM	N, NE, SE, S
<i>Micrognathus erugatus</i> Herald & Dawson, 1974	M	NE
<i>Microphis brachyurus</i> (Bleeker, 1854)	FBM	N, NE, SE, S
<i>Microphis lineatus</i> (Kaup, 1856)	FBM	N, NE, SE, S
<i>Pseudophallus brasiliensis</i> Dawson, 1974	FB	N, NE, SE, S
<i>Pseudophallus mindii</i> (Meek & Hildebrand, 1923)	FB	N, NE, SE, S
<i>Syngnathus folletti</i> Herald, 1942	M	NE, SE, S
<i>Syngnathus pelagicus</i> Linnaeus, 1758	M	N, NE, SE, S
Family Synodontidae		
<i>Synodus bondi</i> Fowler, 1939	M	N, NE, SE, S
<i>Synodus intermedius</i> (Spix & Agassiz, 1829)	M	N, NE, SE, S
<i>Synodus poeyi</i> Jordan, 1887	M	N, NE
<i>Trachinocephalus myops</i> (Forster, 1801)	M	N, NE, SE, S
Family Tetraodontidae		
<i>Canthigaster figueiredoi</i> Moura & Castro, 2002	M	N, NE, SE, S
<i>Colomesus asellus</i> (Müller & Troschel, 1849)	FBM	N, NE
<i>Colomesus psittacus</i> (Bloch & Schneider, 1801)	FBM	N, NE
<i>Lagocephalus laevigatus</i> (Linnaeus, 1766)	BM	N, NE, SE, S
<i>Lagocephalus lagocephalus</i> (Linnaeus, 1758)	M	N, NE, SE, S
<i>Sphoeroides greeleyi</i> Gilbert, 1900	BM	N, NE, SE, S
<i>Sphoeroides spengleri</i> (Bloch, 1785)	BM	N, NE, SE, S
<i>Sphoeroides testudineus</i> (Linnaeus, 1758)	FBM	N, NE, SE, S

(continued)

Table 11.1 (continued)

Taxon	Habitat	Distribution
<i>Sphoeroides tyleri</i> Shipp, 1972	M	N, NE, SE, S
Family Triakidae		
<i>Galeorhinus galeus</i> (Linnaeus, 1758)	M	SE, S
Family Trichiuridae		
<i>Trichiurus lepturus</i> Linnaeus, 1758	BM	N, NE, SE, S
Family Triglidae		
<i>Prionotus nudigula</i> Ginsburg, 1950	M	SE, S
<i>Prionotus punctatus</i> (Bloch, 1793)	BM	N, NE, SE, S
Family Uranoscopidae		
<i>Astroscopus sexspinosus</i> (Steindachner, 1876)	M	SE, S
<i>Astroscopus y-graecum</i> (Cuvier, 1829)	M	N, NE, SE, S

Habitats: *B* brackish water, *F* fresh water, *M* marine. Brazilian coastal regions: *N* north (AP and PA states), *NE* northeast (MA, PI, CE, RN, PB, PE, AL, SE, and BA states), *SE* southeast (ES, RJ, and SP states), *S* south (PR, SC, and RS states)

Occurrence refers to the presence in the 37 localities shown in Fig. 11.1

^aNonnative species

Table 11.2 Summary of the number of fish species found in estuaries, mangroves, and salt marshes and percentages in relation to 604 species along the Brazilian coast

	n	%
N	54	8.94
N, NE	75	12.42
N, NE, SE	63	10.45
N, NE, SE, S	262	43.43
N, SE	1	0.17
N, SE, S	2	0.33
NE	15	2.48
NE, SE	15	2.48
NE, SE, S	21	3.48
S	17	2.81
SE	13	2.15
SE, S	66	10.93
<i>F</i>	139	23.01
<i>B</i>	2	0.33
<i>M</i>	224	37.09
<i>FB</i>	37	6.13
<i>BM</i>	126	20.86
<i>FBM</i>	75	12.42
<i>Species</i>	604	
<i>Families</i>	123	
<i>Orders</i>	39	
<i>Genera</i>	324	

Habitats: *B* brackish water, *F* fresh water, *M* marine. Brazilian coastal regions: *N* north, *NE* northeast, *SE* southeast, *S* south

(Sarmiento-Soares et al. 2014; Teixeira et al. 2017; Ywamoto 2019). Other families that are absent in the north region are Opistognathidae (also absent in the south); Crenuchidae (also absent in the southeast), and Arhynchobatidae, Clariidae, Clinidae, Congridae, Gagidae, Percophidae, Pinguipedidae, Rajidae, and Triakidae (also absent in the northeast).

The south region did not shelter individuals of the families Apterontidae, Auchenipteridae, Kyphosidae, Labridae, Pempheridae, and Synbranchidae. The families Aspredinidae, Lebiasinidae, Potamotrygonidae, and Serrasalminidae are absent in the south as well as in the southeast.

The southeast regions show only one exclusive family, Hypopomidae, represented by the bluntnose knifefish *Brachyhypopomus janeiroensis*. The family encompasses other species in diverse freshwater habitats, but this is the only recorded in an estuarine region despite its freshwater preference.

11.3 Final Remarks

The current global problem of human waste in aquatic environments significantly affects all ecosystems where fish occur. Most mangrove areas in the country are closely related to urban centers where anthropogenic waste is discarded directly into the river or estuary, which frequently leads to waste accumulation in the complexity in between the mangrove tangle and sediment. All organisms are under the effects of this disturbance that has been reinforced in recent decades. This is yet another reason to consider scientific surveys of fish species for future comparisons. The appearance or disappearance of certain species may not be related only to environmental changes but also due to the direct human influence in modifying coastal ecosystems, especially through landfills, chemical pollution, toxic metals, and domestic waste.

Despite the occasional efforts and species-listing studies, the complexity of estuaries, mangroves, and salt marshes of the Brazilian coast still requires studies for sustainable management and conservation, always taking into consideration human impacts.

Appendix

The following six plates show 90 of the most common fish species in Brazilian mangroves (Plates 11.1, 11.2, 11.3, 11.4, 11.5, and 11.6).



Plate 11.1 Fish species, from left to right. First row: *Achirus declivis* | *Achirus lineatus* | *Albula vulpes* | *Anchoa januaria* | *Anchoa spinifer*. Second row: *Anchoa tricolor* | *Anchovia clupeioides* | *Anchoviella lepidentostole* | *Archosargus rhomboidalis* | *Atherinella brasiliensis*. Third row: *Bairdiella goeldi* | *Bathygobius spporator* | *Caranx hippos* | *Caranx latus* | *Cathorops spixii*. The bars correspond to a scale of 10 cm. *Exception to scale indicated in the plate

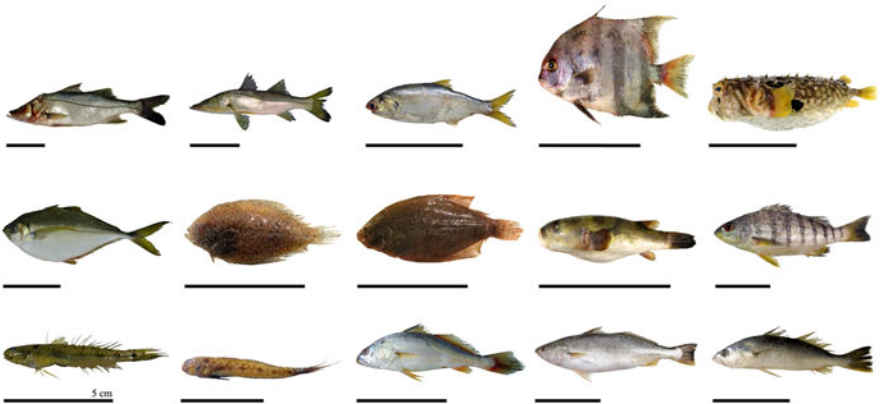


Plate 11.2 Fish species, from left to right. First row: *Centropomus paralellus* | *Centropomus undecimalis* | *Cetengraulis edentulus* | *Chaetodipterus faber* | *Chilomycterus spinosus*. Second row: *Chloroscombrus crysurus* | *Citharichthys arenaceus* | *Citharichthys spilopterus* | *Colomesus psittacus* | *Conodon nobilis*. Third row: *Ctenogobius boleosoma* | *Ctenogobius smaragdus* | *Cynoscion acoupa* | *Cynoscion leiarchus* | *Cynoscion microlepidotus*. The bars correspond to a scale of 10 cm. *Exception to scale indicated in the plate



Plate 11.3 Fish species, from left to right. First row: *Dactylopterus volitans* | *Diapterus auratus* | *Diapterus rhombeus* | *Diplectrum radiale* | *Elops smithi*. Second row: *Eriopus crossotus* | *Eucinostomus argenteus* | *Eucinostomus gula* | *Eucinostomus melanopterus* | *Eugerres brasiliensis*. Third row: *Genidens barbatus* | *Genidens genidens* | *Genyatremus cavifrons* | *Geophagus brasiliensis* | *Gobionellus oceanicus*. The bars correspond to a scale of 10 cm

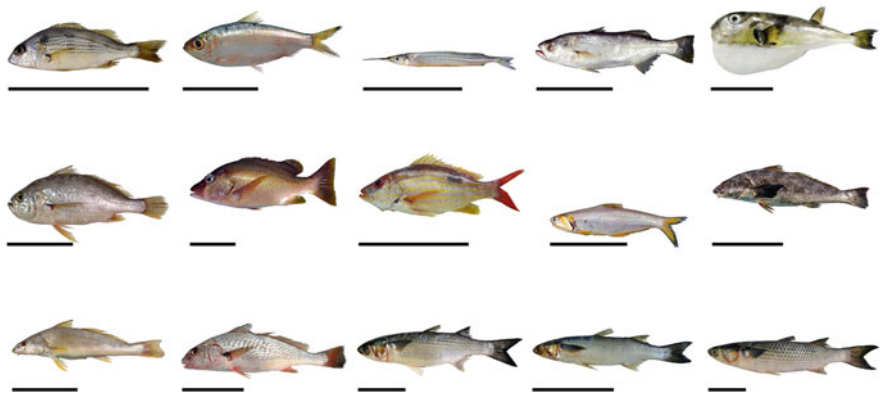


Plate 11.4 Fish species, from left to right. First row: *Haemulopsis corvinaeformis* | *Harengula clupeola* | *Hiporamphus unifasciatus* | *Isopistus parvipinnis* | *Lagocephalus laevigatus*. Second row: *Larimus breviceps* | *Lutjanus jocu* | *Lutjanus synagris* | *Lycengraulis grossidens* | *Menticirrhus martinicensis*. Third row: *Menticirrhus gracilis* | *Micropogonias furnieri* | *Mugil curema* | *Mugil incilis* | *Mugil liza*. The bars correspond to a scale of 10 cm

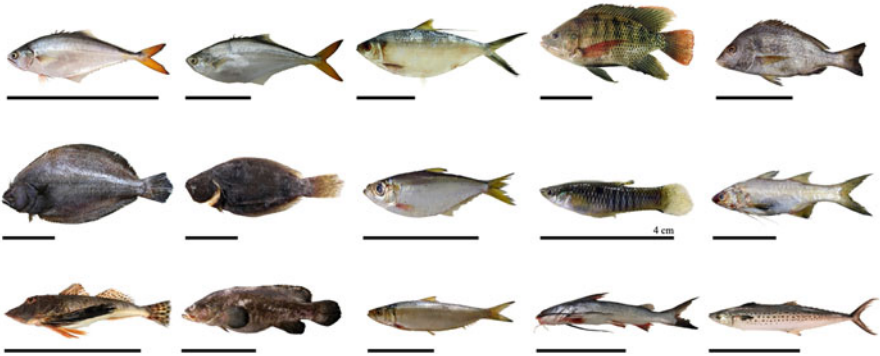


Plate 11.5 Fish species, from left to right. First row: *Oligoplites palometa* | *Oligoplites saliens* | *Opisthonema oglinum* | *Oreochromis niloticus* | *Orthopristis scapularis*. Second row: *Paralichthys brasiliensis* | *Paralichthys orbignyanus* | *Pellona harroweri* | *Poecilia vivipara** | *Polydactylus virginicus*. Third row: *Prionotus punctatus* | *Rypticus randalli* | *Sardinella brasiliensis* | *Sciades herzbergii* | *Scomberomorus brasiliensis*. The bars correspond to a scale of 10 cm. *Exception to scale indicated in the plate



Plate 11.6 Fish species, from left to right. First row: *Selene setapinnis* | *Selene vomer* | *Sparisoma axillare* | *Sphoeroides greeleyi* | *Sphoeroides testudineus*. Second row: *Stellifer rastrifer* | *Stellifer stellifer* | *Strongylura marina* | *Strongylura timucu* | *Symphurus tessellatus*. Third row: *Synodus bondi* | *Trachinotus carolinus* | *Trachinotus falcatus* | *Trichiurus lepturus* | *Trinectes paulistanus*. The bars correspond to a scale of 10 cm. *Exceptions to scale indicated in the plate

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Chapter 12

Mangrove and Salt Marsh Migratory and Resident Birds



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12.1 Importance of Mangroves and Salt Marshes to Birds

Mangrove ecosystems are highly productive, representing important nutrient sources for both terrestrial and aquatic food webs and serving as breeding and resting ground for many animals, including birds (Luther and Greenberg 2009). Bird diversity in mangroves is mostly related to habitat heterogeneity, especially due to plant species richness, the density of the understory, and food resource distribution (Nagelkerken et al. 2008; Mohd-Azlan et al. 2015). The aerial roots of mangroves provide substrates on which many species live, including algae, tunicates, sponges, and bivalves. Many infaunal and epifaunal species, together with prawns, crabs, and fish, dwell on the soft substrate and may be predated by birds (Nagelkerken et al. 2008). In Brazil, mangrove-resident bird species such as the scarlet ibis (*Eudocimus ruber*) and the yellow-crowned night heron (*Nyctanassa violacea*) feed mainly on fiddler crabs in mangroves (Olmos and Silva e Silva 2001), while the tricolored egret (*Egretta tricolor*) feeds on small fish (*Poecilia* spp.) (Martinez 2010).

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The mangrove trees and canopy provide important habitats for bird species to rest, roost, and nest. All three abovementioned species and others, such as herons and passerines, nest in mangrove trees (Olmos et al. 2001; Mancini et al. 2018), highlighting the importance of this ecosystem as breeding sites. Some mangrove sites such as the Maranhão State and Santos-Cubatão area, São Paulo State, shelter several thousands of bird nests every year (Martinez and Rodrigues 1999; Silva e Silva 2007) (see Chap. 3, Maps 3 and 14, respectively).

On the Brazilian northern coast, roughly one thousand semipalmated plovers (*Charadrius semipalmatus*) were recorded perched on the red mangrove prop roots during high tide (Rodrigues 2007). Therefore, mangroves also provide high-tide refuge for birds feeding in nearby areas (Rodrigues 2007; Valente et al. 2011). Every year hundreds of thousands of migratory birds such as plovers, sandpipers, terns, and other species fly to Brazilian mangroves after the breeding season. They come mainly from North America, such as the gray plover (*Pluvialis squatarola*) and whimbrel (*Numenius hudsonicus*), both migrating from northern Canada and the Arctic (Sick 1997).

Mangroves are also important as a stopover or wintering grounds because birds need to restore their energy by feeding and resting in these areas to continue their migration. In northern Brazil, the Amazon River and its mangroves harbor about 50% of the North American population of migratory gray plovers, 70% of the population of ruddy turnstones (*Arenaria interpres*), around 50% of the population of willets (*Tringa semipalmata*), and 43% of the population of whimbrels (Morrison and Ross 1989). Also, in the Brazilian state of Sergipe, in the city of Aracaju, about 100,000 individuals of 18 different shorebird species yearly aggregate in mangrove areas (Barbieri 2007) (see Chap. 3, Map 10). Furthermore, there are records of site fidelity of the semipalmated sandpiper (*Calidris pusilla*) in three mangrove wintering areas in the Brazilian Amazon (Rodrigues et al. 2007) and semipalmated plover on the northern coast of São Paulo State (Olmos and Silva e Silva 2001). Thus, mangrove areas have an important role in supporting the maintenance of these species' migratory routes. Strips of mangroves provide habitat for diverse faunal species to rest, find shelter, and feed, especially in regions deprived of inland vegetation (Linneweber and Lacerda 2002).

Wading and aquatic birds often nest and rear their young in large colonies in mangroves, taking advantage of the relative inaccessibility of the forest canopy to terrestrial predators. Mangrove areas are also used by many bird species as roosting sites, especially for heron species such as the snowy egret (*Egretta thula*), little blue heron (*E. caerulea*), black-crowned night heron, and great egret (*Ardea alba*) (Olmos and Silva e Silva 2001; Mestre et al. 2007; Mancini et al. 2018). This means that mangroves are key to aggregations of several bird species for feeding, roosting, as dormitory sites, and breeding purposes.

At the same time, birds are also a key component of this ecosystem, due to their ecological role in the dynamics of mangroves (Acevedo and Aide 2008; Mohd-Azlan et al. 2015). They oxygenate the soil while feeding and fertilize these sites by releasing nutrients into the water column through their feces and food waste, distributing nutrients within the mangrove food chain (Onuf et al. 1977; Navedo

et al. 2015). Moreover, they control prey populations and weed seeds, regulate competition through grazing, and consume invertebrate pests (e.g., golden apple snails or zebra mussels) while facilitating the colonization by less competitive plants and invertebrates (Nagelkerken et al. 2008; Green and Elmerberg 2013). They also host exclusive parasites and disperse seeds and invertebrates, linking plants and organisms in remote marshes (Nagelkerken et al. 2008; Green and Elmerberg 2013).

Salt marsh ecosystems are particularly important for birds due to their high primary productivity, acting as prime feeding sites and offering roosting and nesting opportunities for a series of resident and migratory species and occasional visitors from adjacent habitats (Hughes 2004; Greenberg et al. 2014). The total number of bird species in southern Brazilian salt marshes is considered relatively high. At the Saco da Mangueira, in the Patos Lagoon estuary, Rio Grande do Sul State, 89 species were recorded in salt marshes, including mud- and sandflats and open water at the edges of marshes (Dias and Maurício 1998) (see Chap. 3, Map 17). At the mouth of the same estuary, three salt marshes harbored 66, 85, and 87 species (Dias et al. 2017).

Variations in species richness between individual salt marshes in that region are largely driven by their distance to the ocean, with the more species-poor sites being found near the mouth of the estuary, which reflects the progressive influence of abiotic stress upon the avifauna (Dias et al. 2017). Within salt marshes, zonation and environmental gradients with adjacent freshwater marshes and grasslands play an important role in shaping avian diversity (Greenberg et al. 2014). In South Brazil, the more densely vegetated and less-flooded high marsh (at or above the mean high-tide line) harbors mostly passerines and rails (and some shorebirds when overgrazed by livestock or recently burned). The low marsh (below the mean high-tide line) and associated mud- and sandflats and open-water habitats are used by ducks, swans, grebes, flamingos, cormorants, egrets, herons, coots, gulls, terns, and shorebirds (Resende and Leeuwenberg 1987; Dias and Maurício 1998; Bencke et al. 2003; Dias et al. 2011, 2017).

Despite the relatively high number of species found in salt marshes, few of them use these wetlands as breeding sites, namely, small passerines, ducks, raptors, shorebirds, and rails (Bencke et al. 2003; Maurício et al. 2013; Greenberg et al. 2014). Likewise, there are no salt marsh-specialist species in South America, despite the high richness in the continent (Greenberg et al. 2014). One of the possible explanations refers to the low contrast between South American salt marshes and the adjacent open-vegetation environments, which minimizes isolation and speciation (Isacch et al. 2014).

The main uses of southern Brazilian salt marshes and associated estuarine habitats by birds are feeding and roosting (Greenberg et al. 2014; Dias et al. 2017). Salt marshes and adjacent waters support large populations of fishes and invertebrates that constitute major food sources for carnivorous birds, such as grebes, cormorants, egrets, herons, shorebirds, gulls, and terns. The seagrass *Ruppia maritima* Linnaeus and other aquatic plants growing in shallow waters along the margins of marshes are consumed by herbivorous ducks, swans, and coots, and the seeds of some salt marsh plants are eaten by a few granivorous species (Isacch et al. 2014; Greenberg et al. 2014; Dias et al. 2017). Salt marshes also constitute a habitat for terrestrial

invertebrates, which in turn are prey for carnivorous passerines. Larger carnivorous birds, such as falcons and harriers, hunt birds and other small animals in the marshes, and scavengers such as caracaras and vultures patrol the vegetation and the water margins searching for dead fish, crabs, and shellfish.

Large concentrations of birds have been recorded in Brazilian salt marshes. For example, at least 800 individuals of buff-breasted sandpipers (*Calidris subruficollis*), 688 white-rumped sandpipers (*Calidris fuscicollis*), and 545 American golden plovers (*Pluvialis dominica*), all Nearctic migrants, were recorded in high densities feeding in overgrazed salt marshes and adjacent grasslands at Ilha da Torotama, in the Patos Lagoon estuary (RS) (Lanctot et al. 2002; Bencke et al. 2006; Dias et al. 2011). In transition areas with freshwater wetlands at the same locality, flocks of approximately 400 individuals of lesser yellowlegs (*Tringa flavipes*), 100 white-rumped sandpipers, and 200 pectoral sandpipers (*Calidris melanotos*) have been registered (Dias et al. 2011). Thousands of black-necked swans (*Cygnus melancoryphus*) gather in shallow bays surrounded by salt marshes at Patos Lagoon estuary during dry summers and autumns, a substantial part of the population inhabiting the country (Bencke et al. 2006). Nearly 500 barn swallows (*Hirundo rustica*) were seen foraging over salt- and neighboring freshwater marshes of the Ilha da Torotama (Dias et al. 2011). Salt marshes at the mouth of the Patos Lagoon estuary also harbor important concentrations of birds, especially gulls and terns, e.g., >5000 common terns (*Sterna hirundo*) (Dias et al. 2011, 2017).

The salt marshes at the Peixe Lagoon (RS) are also important areas for birds. Large numbers of buff-breasted sandpipers and American golden plovers use overgrazed marshes and adjacent grasslands, and thousands of Hudsonian godwits (*Limosa haemastica*), red knots (*Calidris canutus*), sanderlings (*Calidris alba*), and common terns feed and especially roost in mud- and sandflats on the margin of the marshes. Hundreds of black-necked swans and Chilean flamingos (*Phoenicopterus chilensis*) use the lagoon waters to feed (Bencke et al. 2006).

Bird communities inhabiting Brazilian mangroves and salt marshes have never been comprehensively reviewed. Here we present a compilation of published data together with our unpublished field observations to characterize this particular avifauna, its diversity patterns, how they are adapted to live in these ecosystems, the main threats, conservation strategies, and knowledge gaps.

12.2 Bird Adaptations to Live in Mangroves and Salt Marshes

Mangroves and salt marshes are unique and dynamic ecosystems marked by high- and low-tide levels. All exclusive and typical mangrove animal species are subject to dynamic, often extreme, environmental conditions, and birds are the terrestrial group with the most adaptations related to their mobility and feeding habits (Hutchings and Saenger 1987).

Mangrove bird assemblages comprise both terrestrial and aquatic species, which explore resources in diverse ways. Hence, all mangrove microhabitats, i.e., arboreal strata, mudflats, sandflats, and salt flats, are used for foraging by a wide range of bird species with different morphologies and behaviors. For instance, gleaning, bark-foraging, and flycatching insectivores (e.g., Tyrannidae species) are adapted to feed on prey items that piscivorous, carnivorous, or species that probe directly in mudflats are not able to explore (e.g., Scolopacidae species). In countries like Malaysia and Australia, bird assemblages exhibit zonation of prey exploration in mangrove trees. In such cases, different branching patterns and structures of the foliage seem to differ enough so that birds could specialize to different mangrove trees (Noske 1995, 1996; Luther and Greenberg 2009). However, in Brazil, no studies have been conducted on feeding adaptations or vertical zonation patterns in mangroves.

In general, mangrove-exclusive bird species feed primarily on insects (~50%), followed by a smaller proportion that feeds on crabs, nectar, and fish (Lefebvre and Poulin 1997; Luther and Greenberg 2009). Roughly 20% of the bird species restricted to mangroves have larger bills than related subspecies or sister taxa inhabiting inland habitats (Grenier and Greenberg 2005; Luther and Greenberg 2009). There is a consistent pantropical pattern of longer and deeper bills in passerine birds restricted to mangroves and salt marshes (Grenier and Greenberg 2005; Greenberg and Olsen 2010; Luther and Greenberg 2011; Greenberg et al. 2012). Longer and slender bills are correlated with a wider foraging-niche breadth and are advantageous for probing in small cracks and crevices, where many prey items can be found. Long bills are also likely useful for probing in mud and among mangrove roots where other prey may be abundant (Luther and Greenberg 2011). Bill size is also related to temperature, as birds living in habitats with higher temperatures tend to have larger bills than birds living in cooler climates and inland terrestrial habitats (Greenberg et al. 2012; Luther and Greenberg 2014). Bills might play an important thermoregulatory role, as reported for tidal marsh sparrows from hot and exposed dune/salt marsh environments. The bill expels excess body heat in these unbuffered, freshwater-limited environments and potentially may reduce water loss (Greenberg et al. 2012).

In salt marshes, some morphological characteristics facilitate bird activities (e.g., foraging, evading predators, and intraspecific communication). The most conspicuous is the morphology of the bill and legs of shorebirds using the marsh vegetation or adjacent mud- and sandflats. Rails have long legs and toes and slender bodies to move through the dense herbaceous vegetation, using colorful frontal shields for visual communication in the dark environment (Sick 2001). Some passerines adapted to live in grasslands also occur in the tall, dense vegetation of salt marshes and have strong legs, long and curved claws, and long tails used for balance. Most are carnivorous and use long and thin bills to hunt in the vegetation, some also hop or walk on the mud, and a few are granivorous and use thick bills to crush seeds of salt marsh plants. In coastal salt marshes, North American sparrow populations have comparatively longer and thinner bills than their inland counterparts, which could be an adaptation that increases the consumption of marine invertebrates at the decrease in seed availability (Greenberg et al. 2012). In the bay-capped wren-spinetail

(*Spartonicoica maluroides*), a species strongly associated with South American salt marshes, there are differences in bill shape and plumage coloration between coastal and inland marsh populations. These differences may be explained by the selective pressures related to the adaptation of the beak shape to explore prey in salt marshes, whereas the change in plumage pigmentation (melanism) may improve camouflage and assist in predator evasion (Cardoni et al. 2013). Overall, in tidal marshes of North America, birds often show a high degree of local morphological differentiation (Chan et al. 2006; Greenberg et al. 2006), but this topic has not yet been investigated in Brazilian salt marshes.

12.3 Bird Diversity Across Mangroves and Salt Marshes

The species richness of birds in Brazilian mangroves and salt marshes has never been properly investigated and the available information is scattered throughout the literature. The data presented herein were obtained through the compilation of 81 published studies on the matter, encompassing 56 peer-reviewed articles, 16 books, and nine book chapters. Data available in online platforms, e.g., Wikiaves 2021, Xeno-Canto, e-Bird, and Taxeus, was not included for not specifying habitat type in their records. For the same reason, we have not included records of museum specimens, as the habitat type in which birds were collected was frequently omitted, particularly in the older ones. We have also omitted a large part of avian studies conducted in Brazilian mangroves and salt marshes for, again, not mentioning explicitly the habitat type of the records. Hence, in this chapter, we included only studies that clearly stated the presence of a species, or the number of species, in specific areas of mangroves and salt marshes, either in the results section itself or in a species list. Although some of these studies may have considered species from nearby habitats as being of mangroves and salt marshes, a fact that is hard to evaluate, the information presented in this chapter is the most objective compilation of the core avifauna of mangroves and salt marshes in Brazil based on available, published studies (see appendix for the list of the considered references).

The species (Table 12.1) were classified according to their conservation status at global (IUCN 2022) and national levels (MMA 2016, 2022) Nomenclature follows the Brazilian Ornithological Records Committee (Pacheco et al. 2021). Birds were classified into three categories, as follows:

Exclusive species (EXC): Resident species that occur exclusively in mangrove or salt marsh areas

Regular species (Re): Species that use mangrove and salt marsh habitats regularly, either throughout the year or on a seasonal basis (in case they are migratory), but that are also recorded in other habitat types, such as terrestrial forests, grasslands, freshwater wetlands, and marine environments, including beaches

Occasional species (O): Species occasionally recorded in mangrove and salt marsh habitats

Table 12.1 List of resident and migratory bird species recorded in mangroves and salt marshes along the Brazilian coast

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
Rheiformes					
Rheidae (1)					
<i>Rhea americana</i>	Greater rhea	Ema		O	
Tinamiformes					
Tinamidae (1)					
<i>Nothura maculosa</i>	Spotted nothura	Codorna-amarela		O	
Anseriformes					
Anhimidae (1)					
<i>Chauna torquata</i>	Southern screamer	Tachã		O	
Anatidae (16)					
<i>Dendrocygna bicolor</i>	Fulvous whistling-duck	Marreca-caneleira	Re	O	
<i>Dendrocygna viduata</i>	White-faced whistling duck	Irerê	Re	O	
<i>Dendrocygna autumnalis</i>	Black-bellied whistling duck	Marreca-cabocla	Re		
<i>Cygnus melancoryphus</i>	Black-necked swan	Cisne-de-pescoço-preto		Re	
<i>Coscoroba coscoroba</i>	Coscoroba swan	Capororoca		Re	
<i>Cairina moschata</i>	Muscovy duck	Pato-do-mato	Re		
<i>Amazonetta brasiliensis</i>	Brazilian teal	Marreca-ananáf	O	Re	
<i>Spatula versicolor</i>	Silver teal	Marreca-cricri	O	Re	
<i>Spatula platalea</i>	Red shoveler	Marreca-colhereira		Re	
<i>Spatula discors</i>	Blue-winged teal	Marreca-de-asa-azul	O		PNW
<i>Anas bahamensis</i>	White-cheeked pintail	Marreca-toicinho	Re		
<i>Anas georgica</i>	Yellow-billed pintail	Marreca-parda	O	Re	
<i>Anas flavirostris</i>	Yellow-billed teal	Marreca-pardinha		Re	
<i>Netta erythrophthalma</i>	Southern pochard	Paturi-preta	O		
<i>Netta peposaca</i>	Rosy-billed pochard	Marrecão	O		
<i>Oxyura vittata</i>	Lake duck	Marreca-rabo-de-espinho	O		SACT
Phoenicopteriformes					
Phoenicopteridae (3)					
<i>Phoenicopus chilensis</i>	Chilean flamingo	Flamingo-chileno		Re	SACT
<i>Phoenicopus ruber</i>	American flamingo	Flamingo	O		NNTT
<i>Phoenicoparrus andinus (VU^b)</i>	Andean flamingo	Flamingo-dos-andes		Re	SACT
Podicipediformes					
Podicipedidae (4)					

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Rollandia rolland</i>	White-tufted grebe	Mergulhão-de-orelha-branca		Re	
<i>Tachybaptus dominicus</i>	Least grebe	Mergulhão-pequeno	O		
<i>Podilymbus podiceps</i>	Pied-billed grebe	Mergulhão-caçador	O	Re	
<i>Podiceps major</i>	Great grebe	Mergulhão-grande	O	Re	
Columbiformes					
Columbidae (10)					
<i>Columba livia</i>	Rock pigeon	Pombo-doméstico	O		
<i>Patagioenas picazuro</i>	Picazuro pigeon	Pomba-asa-branca	O		
<i>Patagioenas cayennensis</i>	Pale-vented pigeon	Pomba-galega	O		
<i>Leptotila verreauxi</i>	White-tipped dove	Juriti-pupu	O		
<i>Leptotila rufaxilla</i>	Gray-fronted dove	Juriti-de-testa-branca	O		
<i>Columbina passerina</i>	Common ground-dove	Rolinha-cinzena	Re		
<i>Columbina minuta</i>	Plain-breasted ground-dove	Rolinha-de-asa-canela	O		
<i>Columbina talpacoti</i>	Ruddy ground-dove	Rolinha-roxa	Re		
<i>Columbina squammata</i>	Scaled dove	Rolinha-fogo-apagou	O		
<i>Columbina picui</i>	Picui ground-dove	Rolinha-picuí	O		
Cuculiformes					
Cuculidae (7)					
<i>Guira guira</i>	Guira cuckoo	Anu-branco	Re		
<i>Crotophaga major</i>	Greater ani	Anu-coroca	O		
<i>Crotophaga ani</i>	Smooth-billed ani	Anu-preto	Re	O	
<i>Tapera naevia</i>	Striped cuckoo	Saci	O		
<i>Piaya cayana</i>	Squirrel cuckoo	Alma-de-gato	O		
<i>Coccyzus melacoryphus</i>	Dark-billed cuckoo	Papa-lagarta-acanelado	O		
<i>Coccyzus minor</i>	Mangrove cuckoo	Papa-lagarta-do-mangue	EXC		
Nyctibiiformes					
Nyctibiidae (1)					
<i>Nyctibius griseus</i>	Common potoo	Urutau	O		
Caprimulgiformes					
Caprimulgidae (6)					
<i>Antrostomus rufus</i>	Rufous nightjar	João-corta-pau	O		
<i>Lurocalis semitorquatus</i>	Short-tailed nighthawk	Tuju	O		
<i>Nyctidromus albicollis</i>	Common pauraque	Bacurau	O		
<i>Hydropsalis torquata</i>	Scissor-tailed nightjar	Bacurau-tesoura	O		

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Podager nacunda</i>	Nacunda nighthawk	Coruçã	O	Re	
<i>Chordeiles acutipennis</i>	Lesser nighthawk	Bacurau-de-asa-fina	O		
Apodiformes					
Apodidae (5)					
<i>Streptoprocne zonaris</i>	White-collared swift	Taperuçu-de-coleira-branca	O		
<i>Chaetura cinereiventris</i>	Gray-rumped swift	Andorinhão-de-sobre-cinzent	O		
<i>Chaetura meridionalis</i>	Sick's swift	Andorinhão-do-temporal	Re		
<i>Chaetura brachyura</i>	Short-tailed swift	Andorinhão-de-rabo-curto	O		
<i>Tachornis squamata</i>	Fork-tailed palm-swift	Andorinhão-do-buriti	O		
Trochilidae (11)					
<i>Ramphodon naevius</i>	Saw-billed hermit	Beija-flor-rajado	O		
<i>Colibri serrirostris</i>	White-vented violetear	Beija-flor-de-orelha-violeta	O		
<i>Polytmus guainumbi</i>	White-tailed goldenthrroat	Beija-flor-de-bico-curvo	O		
<i>Chrysolampis mosquitus</i>	Ruby-topaz hummingbird	Beija-flor-vermelho	O		
<i>Thalurania glaucopis</i>	Violet-capped woodnymph	Beija-flor-de-fronte-violeta	O		
<i>Eupetomena macroura</i>	Swallow-tailed hummingbird	Beija-flor-tesoura	O		
<i>Aphantochroa cirrochloris</i>	Sombre hummingbird	Beija-flor-cinza	O		
<i>Chrysuronia versicolor</i>	Versicolored emerald	Beija-flor-de-banda-branca	O		
<i>Chrysuronia leucogaster</i>	Plain-bellied emerald	Beija-flor-de-barriga-branca	Re		
<i>Leucochloris albicollis</i>	White-throated hummingbird	Beija-flor-de-papo-branco	O		
<i>Chionomesa fimbriata</i>	Glittering-throated emerald	Beija-flor-de-garganta-verde	O		
Opisthocomiformes					
Opisthocomidae (1)					
<i>Opisthocomus hoazin</i>	Hoatzin	Cigana	O		
Gruiformes					
Aramidae (1)					
<i>Aramus guarauna</i>	Limpkin	Carão	Re	Re	
Rallidae (22)					
<i>Rallus longirostris</i>	Mangrove rail	Saracura-matraca	EXC		

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Porphyrio martinica</i>	Purple gallinule	Frango-d'água-azul	O		
<i>Rufirallus viridis</i>	Russet-crowned crane	Sanã-castanha	O		
<i>Laterallus flaviventer</i>	Yellow-breasted crane	Sanã-amarela	O		
<i>Laterallus melanophaius</i>	Rufous-sided crane	Sanã-parda	O	Re	
<i>Laterallus exilis</i>	Gray-breasted crane	Sanã-do-capim	O		
<i>Laterallus spilopterus</i> (EN ^a /VU ^b)	Dot-winged crane	Sanã-cinza		EXC	
<i>Laterallus leucopyrrhus</i>	Red-and-white crane	Sanã-vermelha	O		
<i>Mustelirallus albicollis</i>	Ash-throated crane	Sanã-carijó	O		
<i>Neocrex erythrops</i>	Paint-billed crane	Turu-turu	O		
<i>Pardirallus maculatus</i>	Spotted rail	Saracura-carijó		Re	
<i>Pardirallus sanguinolentus</i>	Plumbeous rail	Saracura-do-banhado		Re	
<i>Amaurolimnas concolor</i>	Uniform crane	Saracura-lisa	O		
<i>Aramides ypecaha</i>	Giant wood rail	Saracuruçu		Re	
<i>Aramides mangle</i>	Little wood rail	Saracura-do-mangue	Re		
<i>Aramides cajaneus</i>	Gray-necked wood rail	Saracura-três-potes	Re	O	
<i>Aramides saracura</i>	Slaty-breasted wood rail	Saracura-do-mato	O		
<i>Porphyriops melanops</i>	Spot-flanked gallinule	Galinha-d'água-carijó	O	O	
<i>Gallinula galeata</i>	Common gallinule	Galinha-d'água	Re	Re	
<i>Fulica rufifrons</i>	Red-fronted coot	Carqueja-de-escudo-vermelho		O	
<i>Fulica armillata</i>	Red-gartered coot	Carqueja-de-bico-manchado	O	Re	
<i>Fulica leucoptera</i>	White-winged coot	Carqueja-de-bico-amarelo		Re	
Charadriiformes					
Charadriidae (8)					
<i>Pluvialis dominica</i>	American golden-plover	Batuiruçu	O	Re	PNW
<i>Pluvialis squatarola</i>	Black-bellied plover	Batuiruçu-de-axila-preta	Re	Re	PNW
<i>Vanellus chilensis</i>	Southern lapwing	Quero-quero	O	Re	
<i>Charadrius modestus</i>	Rufous-chested dotterel	Batuíra-de-peito-tijolo	Re	Re	SACT
<i>Charadrius semipalmatus</i>	Semipalmated plover	Batuíra-de-bando	Re	Re	PNW

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Charadrius wilsonia</i> (VU ^a)	Wilson's plover	Batuíra-bicuda	Re		
<i>Charadrius collaris</i>	Collared plover	Batuíra-de-coleira	Re	Re	
<i>Charadrius falklandicus</i>	Two-banded plover	Batuíra-de-coleira-dupla		Re	
Haematopodidae (1)					
<i>Haematopus palliatus</i>	American oystercatcher	Piru-piru	O	Re	
Recurvirostridae (2)					
<i>Himantopus mexicanus</i>	Black-necked stilt	Pemilongo-de-costas-negras	O		
<i>Himantopus melanurus</i>	White-backed stilt	Pemilongo-de-costas-brancas	Re	Re	
Scolopacidae (20)					
<i>Numenius hudsonicus</i> (VU ^a)	American whimbrel	Maçarico-de-bico-torto	Re	Re	PNW
<i>Limosa haemastica</i>	Hudsonian godwit	Maçarico-de-bico-virado	O	Re	PNW
<i>Limosa fedoa</i>	Marbled godwit	Maçarico-marmóreo	O		OW
<i>Arenaria interpres</i>	Ruddy turnstone	Vira-pedras	Re	Re	PNW
<i>Calidris canutus</i> (VU ^a)	Red knot	Maçarico-de-papo-vermelho	Re	Re	PNW
<i>Calidris himantopus</i>	Stilt sandpiper	Maçarico-pemilongo	O	Re	PNW
<i>Calidris alba</i>	Sanderling	Maçarico-branco	Re	Re	PNW
<i>Calidris minutilla</i>	Least sandpiper	Maçariquinho	Re		PNW
<i>Calidris fuscicollis</i>	White-rumped sandpiper	Maçarico-de-sobre-branco	Re	Re	PNW
<i>Calidris subruficollis</i> (VU ^a)	Buff-breasted sandpiper	Maçarico-acanelado	O	Re	PNW
<i>Calidris melanotos</i>	Pectoral sandpiper	Maçarico-de-colete	O	Re	PNW
<i>Calidris pusilla</i> (EN ^a)	Semipalmated sandpiper	Maçarico-rasteirinho	Re	Re	PNW
<i>Limnodromus griseus</i> (EN ^a)	Short-billed dowitcher	Maçarico-de-costas-brancas	Re	O	PNW
<i>Gallinago paraguaiae</i>	South American snipe	Narceja	O	Re	
<i>Phalaropus tricolor</i>	Wilson's phalarope	Pisa-n'água	O	Re	PNW
<i>Actitis macularius</i>	Spotted sandpiper	Maçarico-pintado	Re	O	PNW
<i>Tringa solitaria</i>	Solitary sandpiper	Maçarico-solitário	Re	O	PNW
<i>Tringa melanoleuca</i>	Greater yellowlegs	Maçarico-grande-de-perna-amarela	Re	Re	PNW
<i>Tringa semipalmata</i>	Willet	Maçarico-de-asa-branca	Re	Re	PNW
<i>Tringa flavipes</i>	Lesser yellowlegs	Maçarico-de-perna-amarela	Re	Re	PNW

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
Jacaniidae (1)					
<i>Jacana jacana</i>	Wattled jacana	Jaçanã	Re	O	
Rostratulidae (1)					
<i>Nycticryphes semicollaris</i>	South American painted-snipe	Narceja-de-bico-torto		Re	
Stercorariidae (1)					
<i>Stercorarius parasiticus</i>	Parasitic jaeger	Mandrião-parasítico		Re	
Laridae (17)					
<i>Chroicocephalus maculipennis</i>	Brown-hooded gull	Gaivota-maria-velha	O	Re	
<i>Chroicocephalus cirrocephalus</i>	Gray-hooded gull	Gaivota-de-cabeça-cinza	O	Re	
<i>Leucophaeus atricilla</i>	Laughing gull	Gaivota-alegre	O		PNW
<i>Larus atlanticus</i>	Olog's gull	Gaivota-de-rabo-preto		Re	SACT
<i>Larus dominicanus</i>	Kelp gull	Gaivotão	Re	Re	
<i>Rynchops niger</i>	Black skimmer	Talha-mar	Re	Re	
<i>Sternula antillarum</i>	Least tern	Trinta-réis-miúdo	O	Re	PNW
<i>Sternula superciliaris</i>	Yellow-billed tern	Trinta-réis-pequeno	O	Re	
<i>Phaetusa simplex</i>	Large-billed tern	Trinta-réis-grande	O	Re	
<i>Gelochelidon nilotica</i>	Gull-billed tern	Trinta-réis-de-bico-preto	O	Re	
<i>Chlidonias niger</i>	Black tern	Trinta-réis-negro		O	PNW
<i>Sterna hirundo</i>	Common tern	Trinta-réis-boreal	Re	Re	PNW
<i>Sterna dougallii</i> (VU ^a)	Roseate tern	Trinta-réis-róseo	O		PNW
<i>Sterna hirundinacea</i> (VU ^a)	South American tern	<i>Trinta-réis-de-bico-vermelho</i>	Re	Re	
<i>Sterna trudeaui</i>	Snowy-crowned tern	Trinta-réis-de-coroa-branca	O	Re	
<i>Thalasseus acutiflavus</i> (VU ^a)	Cabot's tern	Trinta-réis-de-bando	Re	Re	
<i>Thalasseus maximus</i> (VU ^a)	Royal tern	Trinta-réis-real	Re	Re	
Eurypygiiformes					
Eurypygiidae (1)					
<i>Eurypyga helias</i>	Sunbittern	Pavãozinho-do-pará	O		
Ciconiiformes					
Ciconiidae (3)					
<i>Ciconia maguari</i>	Maguari stork	Maguari	O	Re	
<i>Jabiru mycteria</i>	Jabiru	Tuiuiú	O		
<i>Mycteria americana</i>	Wood stork	Cabeça-seca	O	Re	
Suliformes					
Fregatidae (1)					

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Fregata magnificens</i>	Magnificent frigatebird	Fragata	O	O	
Anhingidae (1)					
<i>Anhinga anhinga</i>	Anhinga	Biguatinga	O		
Phalacrocoracidae (1)					
<i>Nannopterum brasilianum</i>	Neotropic cormorant	Biguá	Re	Re	
Pelecaniformes					
Pelecanidae (1)					
<i>Pelecanus occidentalis</i>	Brown pelican	Pelicano	O		NNTT
Ardeidae (17)					
<i>Tigrisoma lineatum</i>	Rufescent tiger-heron	Socó-boi	Re		
<i>Cochlearius cochlearius</i>	Boat-billed heron	Arapapá	Re		
<i>Botaurus pinnatus</i>	Pinnated bittern	Socó-boi-baio	O	Re	
<i>Ixobrychus exilis</i>	Least bittern	Socoí-vermelho	O		
<i>Ixobrychus involucris</i>	Stripe-backed bittern	Socó-amarelo		O	
<i>Nycticorax nycticorax</i>	Black-crowned night-heron	Socó-dorminhoco	Re	Re	
<i>Nyctanassa violacea</i>	Yellow-crowned night-heron	Savacu-de-coroa	Re	Re	
<i>Butorides striata</i>	Striated heron	Socozinho	Re	Re	
<i>Ardeola ralloides</i>	Squacco heron	Garça-caranguejeira	Re		OW
<i>Bubulcus ibis</i>	Cattle egret	Garça-vaqueira	Re	Re	
<i>Ardea cocoi</i>	Cocoi heron	Garça-moura	Re	Re	
<i>Ardea alba</i>	Great egret	Garça-branca-grande	Re	Re	
<i>Syrigma sibilatrix</i>	Whistling heron	Maria-faceira	O	Re	
<i>Egretta tricolor</i>	Tricolored heron	Garça-tricolor	EXC		
<i>Egretta gularis</i>	Western reef heron	Garça-negra	O		OW
<i>Egretta thula</i>	Snowy egret	Garça-branca-pequena	Re	Re	
<i>Egretta caerulea</i>	Little blue heron	Garça-azul	Re	Re	
Threskiornithidae (7)					
<i>Eudocimus ruber</i>	Scarlet ibis	Guará	EXC		
<i>Plegadis chihi</i>	White-faced ibis	Caraúna	O	Re	
<i>Mesembrinibis cayennensis</i>	Green ibis	Coró-coró	O		
<i>Phimosus infuscatus</i>	Bare-faced ibis	Tapicuru	O	Re	
<i>Theristicus caerulescens</i>	Plumbeous ibis	Curicaca-real		O	
<i>Theristicus caudatus</i>	Buff-necked ibis	Curicaca	O		
<i>Platalea ajaja</i>	Roseate spoonbill	Colhereiro	Re	Re	

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
Cathartiformes					
Cathartidae (3)					
<i>Coragyps atratus</i>	Black vulture	Urubu-preto	Re	O	
<i>Cathartes aura</i>	Turkey vulture	Urubu-de-cabeça-vermelha	Re	O	
<i>Cathartes burrovianus</i>	Lesser yellow-headed vulture	Urubu-de-cabeça-amarela	Re	O	
Accipitriformes					
Pandionidae (1)					
<i>Pandion haliaetus</i>	Osprey	Águia-pescadora	Re	Re	PNW
Accipitridae (21)					
<i>Elanus leucurus</i>	White-tailed kite	Gavião-peneira	O		
<i>Chondrohierax uncinatus</i>	Hook-billed kite	Caracoleiro	O		
<i>Leptodon cayanensis</i>	Gray-headed kite	Gavião-gato	O		
<i>Busarellus nigricollis</i>	Black-collared hawk	Gavião-belo	O		
<i>Rostrhamus sociabilis</i>	Snail kite	Gavião-caramujeiro	Re	Re	
<i>Ictinia plumbea</i>	Plumbeous kite	Sovi	O		
<i>Circus cinereus (VU^a)</i>	Cinereous harrier	Gavião-cinza		Re	
<i>Circus buffoni</i>	Long-winged harrier	Gavião-do-banhado	O	Re	
<i>Accipiter bicolor</i>	Bicolored hawk	Gavião-bombachinha-grande	O		
<i>Geranospiza caerulescens</i>	Crane hawk	Gavião-pernilongo	O		
<i>Buteogallus schistaceus</i>	Slate-colored hawk	Gavião-azul	O		
<i>Buteogallus aequinoctialis</i>	Rufous crab hawk	Gavião-caranguejeiro	EXC		
<i>Heterospizias meridionalis</i>	Savanna hawk	Gavião-caboclo	O	O	
<i>Amadonastur lacernulatus (VU^{a,b})</i>	White-necked hawk	Gavião-pombo-pequeno	O		
<i>Urubitinga urubitinga</i>	Great black hawk	Gavião-preto	Re		
<i>Rupornis magnirostris</i>	Roadside hawk	Gavião-carijó	Re		
<i>Parabuteo unicinctus</i>	Harris' hawk	Gavião-asa-de-telha	Re		
<i>Geranoaetus albicaudatus</i>	White-tailed hawk	Gavião-de-rabo-branco	O		
<i>Leucopternis melanops</i>	Black-faced hawk	Gavião-de-cara-preta	O		
<i>Buteo nitidus</i>	Gray-lined hawk	Gavião-pedrês	O		
<i>Buteo brachyurus</i>	Short-tailed hawk	Gavião-de-cauda-curta	O		
Strigiformes					
Tytonidae (1)					
<i>Tyto furcata</i>	American barn owl	Suindara	O		

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
Strigidae (6)					
<i>Megascops choliba</i>	Tropical screech-owl	Corujinha-do-mato	O		
<i>Glaucidium brasilianum</i>	Ferruginous pygmy owl	Caburé	O		
<i>Athene cunicularia</i>	Burrowing owl	Coruja-buraqueira	O		
<i>Asio clamator</i>	Striped owl	Coruja-orelhuda	O		
<i>Asio stygius</i>	Stygian owl	Mocho-diabo	O		
<i>Asio flammeus</i>	Short-eared owl	Mocho-dos-banhados		O	
Coraciiformes					
Alcedinidae (5)					
<i>Megaceryle torquata</i>	Ringed kingfisher	Martim-pescador-grande	Re	Re	
<i>Chloroceryle amazona</i>	Amazon kingfisher	Martim-pescador-verde	Re	Re	
<i>Chloroceryle aenea</i>	American pygmy kingfisher	Martim-pescador-miúdo	Re		
<i>Chloroceryle americana</i>	Green kingfisher	Martim-pescador-pequeno	Re	Re	
<i>Chloroceryle inda</i>	Green-and-rufous kingfisher	Martim-pescador-da-mata	O		
Galbuliformes					
Galbulidae (1)					
<i>Galbula galbula</i>	Green-tailed jacamar	Ariramba-de-cauda-verde	O		
Bucconidae (5)					
<i>Monasa nigrifrons</i>	Black-fronted nunbird	Chora-chuva-preto	O		
<i>Notharchus tectus</i>	Pied puffbird	Macuru-pintado	O		
<i>Notharchus hyperrhynchus</i>	White-necked puffbird	Macuru-de-testa-branca	O		
<i>Notharchus macrorhynchus</i>	Guianan puffbird	Macuru-de-pescoço-branco	O		
<i>Nystalus maculatus</i>	Spot-backed puffbird	Rapazinho-dos-velhos	O		
Piciformes					
Ramphastidae (2)					
<i>Ramphastos toco</i>	Toco toucan	Tucanuçu	O		
<i>Ramphastos tucanus</i> (VU ^b)	White-throated toucan	Tucano-de-papo-branco	O		
Picidae (16)					
<i>Picumnus exilis</i>	Bahia piculet	Picapauzinho-de-pintas-amarelas	O		
<i>Picumnus spilogaster</i> (VU ^b)	White-bellied piculet	Picapauzinho-de-pescoço-branco	O		

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Picumnus pygmaeus</i>	Spotted piculet	Picapauzinho-pintado	O		
<i>Picumnus cirratus</i>	White-barred piculet	Picapauzinho-barrado	O		
<i>Picumnus temminckii</i>	Ochre-collared piculet	Picapauzinho-de-coleira	O		
<i>Melanerpes candidus</i>	White woodpecker	Pica-pau-branco	O		
<i>Veniliornis passerinus</i>	Little woodpecker	Pica-pau-pequeno	O		
<i>Veniliornis spilogaster</i>	White-spotted woodpecker	Pica-pau--verde-carijó	O		
<i>Campephilus melanoleucos</i>	Crimson-crested woodpecker	Pica-pau-de-topete-vermelho	O		
<i>Dryocopus lineatus</i>	Lineated woodpecker	Pica-pau-de-banda-branca	O		
<i>Celeus elegans</i>	Chestnut woodpecker	Pica-pau-chocolate	O		
<i>Celeus flavescens</i>	Blond-crested woodpecker	Pica-pau-de-cabeça-amarela	O		
<i>Piculus flavigula</i>	Yellow-throated woodpecker	Pica-pau-bufador	O		
<i>Colaptes punctigula</i>	Spot-breasted woodpecker	Pica-pau-de-peito-pontilhado	O		
<i>Colaptes melanochloros</i>	Green-barred woodpecker	Pica-pau-verde-barrado	O		
<i>Colaptes campestris</i>	Campo flicker	Pica-pau-do-campo	O		
Falconiformes					
Falconidae (9)					
<i>Herpetotheres cachinnans</i>	Laughing falcon	Acauã	O		
<i>Micrastur ruficollis</i>	Barred forest-falcon	Falcão-caburé	O		
<i>Micrastur semitorquatus</i>	Collared forest-falcon	Falcão-relógio	O		
<i>Caracara plancus</i>	Southern caracara	Carará	Re	Re	
<i>Milvago chimachima</i>	Yellow-headed caracara	Carrapateiro	Re	O	
<i>Milvago chimango</i>	Chimango caracara	Chimango	Re	Re	
<i>Falco sparverius</i>	American kestrel	Quiriquiri	O	O	
<i>Falco femoralis</i>	Aplomado falcon	Falcão-de-coleira	O	O	
<i>Falco peregrinus</i>	Peregrine falcon	Falcão-peregrino	O	Re	PNW
Psittaciformes					
Psittacidae (10)					
<i>Brotogeris tirica</i>	Plain parakeet	Periquito-rico	O		
<i>Pionus maximiliani</i>	Scaly headed parrot	Maitaca-verde	O		
<i>Amazona farinosa</i>	Mealy parrot	Papagaio-moleiro	O		
<i>Amazona brasiliensis</i>	Red-tailed parrot	Papagaio-de-cara-roxa	Re		
<i>Amazona amazonica</i>	Orange-winged parrot	Curica	Re		

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Forpus xanthopterygius</i>	Blue-winged parrotlet	Tuim	O		
<i>Pyrrhura frontalis</i>	Maroon-bellied parakeet	Tiriba-de-testa-vermelha	O		
<i>Eupsittula aurea</i>	Peach-fronted parakeet	Periquito-rei	O		
<i>Thectocercus acuticaudatus</i>	Blue-crowned parakeet	Aratinga-de-testa-azul	O		
<i>Psittacara leucophthalmus</i>	White-eyed parakeet	Periquitão	O		
Passeriformes					
Thamnophilidae (4)					
<i>Formicivora grisea</i>	White-fringed antwren	Papa-formiga-pardo	O		
<i>Thamnophilus nigrocinereus</i>	Blackish-gray antshrike	Choca-preta-e-cinza	O		
<i>Thamnophilus caerulescens</i>	Variable antshrike	Choca-da-mata	O		
<i>Sceleria naevia</i>	Silvered antbird	Papa-formiga-do-igarapé	O		
Scleruridae (1)					
<i>Geositta cucularia</i>	Common miner	Curriqueiro		O	
Dendrocolaptidae (2)					
<i>Xiphorhynchus guttatus</i>	Buff-throated woodcreeper	Arapaçu-de-garganta-amarela	O		
<i>Dendroplex picus</i>	Straight-billed woodcreeper	Arapaçu-de-bico-branco	Re		
Xenopidae (1)					
<i>Xenops minutus</i>	Plain xenops	Bico-virado-miúdo	O		
Furnariidae (13)					
<i>Furnarius figulus</i>	Wing-banded hornero	Casaca-de-couro-da-lama	O		
<i>Furnarius rufus</i>	Rufous hornero	João-de-barro	O	O	
<i>Phleocryptes melanops</i>	Wren-like rushbird	Bate-bico		Re	
<i>Limnornis curvirostris</i>	Curve-billed reedhaunter	João-da-palha		O	
<i>Cinclodes fuscus</i>	Buff-winged cinclodes	Pedreiro-dos-andes		Re	SACT
<i>Phacellodomus striaticollis</i>	Freckle-breasted thornbird	Tio-tio		O	
<i>Phacellodomus ferrugineigula</i>	Orange-breasted thornbird	João-botina-do-brejo	O		
<i>Asthenes hudsoni</i> (VU ^a)	Hudson's canastero	João-platino		Re	

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Limnocites sulphuriferus</i>	Sulfur-throated spinetail	Arredio-de-papo-manchado		Re	
<i>Spartonoica maluroides</i>	Bay-capped wren-spinetail	Boininha		Re	
<i>Certhiaxis cinnamomeus</i>	Yellow-chinned spinetail	Curutié	Re	O	
<i>Synallaxis ruficapilla</i>	Rufous-capped spinetail	Pichororé	O		
<i>Synallaxis spixi</i>	Spix's spinetail	João-teneném	O	O	
Pipridae (2)					
<i>Illicura militaris</i>	Pin-tailed manakin	Tangarazinho	O		
<i>Chiroxiphia pareola</i>	Blue-backed manakin	Tangará-príncipe	O		
Tityridae (3)					
<i>Tityra inquisitor</i>	Black-crowned tityra	Anambé-branco-de-bochecha-parda	O		
<i>Pachyrhamphus rufus</i>	Cinereous becard	Caneleiro-cinzentos	O		
<i>Pachyrhamphus polychopterus</i>	White-winged becard	Caneleiro-preto	O		
Tachuridae (1)					
<i>Tachuris rubrigastra</i>	Many-colored rush tyrant	Papa-piri		Re	
Rhynchocyclidae (7)					
<i>Tolmomyias flaviventris</i>	Yellow-breasted flycatcher	Bico-chato-amarelo	O		
<i>Todirostrum maculatum</i>	Spotted tody-flycatcher	Ferreirinho-estriado	O		
<i>Todirostrum poliocephalum</i>	Gray-headed tody-flycatcher	Teque-teque	O		
<i>Todirostrum cinereum</i>	Common tody-flycatcher	Ferreirinho-relógio	O		
<i>Todirostrum chrysocrotaphum</i>	Yellow-browed tody-flycatcher	Ferreirinho-de-sobrancelha	O		
<i>Hemitriccus striaticollis</i>	Stripe-necked tody-tyrant	Sebinho-rajado-amarelo	O		
<i>Hemitriccus nidipendulus</i>	Hangnest tody-tyrant	Tachuri-campainha	O		
Tyrannidae (37)					
<i>Camptostoma obsoletum</i>	Southern beardless-tyrannulet	Risadinha	Re		
<i>Elaenia flavogaster</i>	Yellow-bellied elaenia	Guaracava-de-barriga-amarela	O		
<i>Elaenia mesoleuca</i>	Olivaceous elaenia	Tuque	O		
<i>Elaenia cristata</i>	Plain-crested elaenia	Guaracava-de-topete-uniforme	O		

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Phaeomyias murina</i>	Mouse-colored tyrannulet	Bagageiro	O		
<i>Phyllomyias fasciatus</i>	Planalto tyrannulet	Piolhinho	O		
<i>Pseudocolopteryx sclateri</i>	Crested doradito	Tricolino		Re	
<i>Pseudocolopteryx flaviventris</i>	Warbling doradito	Amarelinho-do-junco		Re	
<i>Serpophaga nigricans</i>	Sooty tyrannulet	João-pobre	O	Re	
<i>Serpophaga subcristata</i>	White-crested tyrannulet	Alegrinho	O		
<i>Attila rufus</i>	Gray-hooded attila	Capitão-de-saíra	O		
<i>Legatus leucophaius</i>	Piratic flycatcher	Bem-te-vi-pirata	O		
<i>Myiarchus swainsoni</i>	Swainson's flycatcher	Irré	O		
<i>Myiarchus ferox</i>	Short-crested flycatcher	Maria-cavaleira	Re		
<i>Myiarchus tyrannulus</i>	Brown-crested flycatcher	Maria-cavaleira-de-rabo-enferujado	O		
<i>Pitangus sulphuratus</i>	Great kiskadee	Bem-te-vi	Re	Re	
<i>Philohydor lictor</i>	Lesser kiskadee	Bentevizinho-do-brejo	O		
<i>Machetornis rixosa</i>	Cattle tyrant	Suiriri-cavaleiro	O	Re	
<i>Myiodynastes maculatus</i>	Streaked flycatcher	Bem-te-vi-rajado	O		
<i>Megarynchus pitangua</i>	Boat-billed flycatcher	Neinei	O		
<i>Myiozetetes cayanensis</i>	Rusty-margined flycatcher	Bentevizinho-de-asa-ferrugínea	O		
<i>Myiozetetes similis</i>	Social flycatcher	Bentevizinho-de-penacho-vermelho	Re		
<i>Tyrannus melancholicus</i>	Tropical kingbird	Suiriri	O	O	
<i>Tyrannus savana</i>	Fork-tailed flycatcher	Tesourinha	O	Re	
<i>Tyrannus dominicensis</i>	Gray kingbird	Suiriri-cinza	O		NNTT
<i>Sublegatus modestus</i>	Southern scrub-flycatcher	Guaracava-modesta	O		
<i>Arundinicola leucocephala</i>	White-headed marsh tyrant	Freirinha	O	O	
<i>Fluvicola pica</i>	Pied water tyrant	Lavadeira-do-norte	O		
<i>Fluvicola albiventer</i>	Black-backed water tyrant	Lavadeira-de-cara-branca	O		
<i>Fluvicola nengeta</i>	Masked water tyrant	Lavadeira-mascarada	Re		
<i>Pyrocephalus rubinus</i>	Vermilion flycatcher	Príncipe	O		
<i>Heteroxolmis dominicanus</i> (VU ^{a, b})	Black-and-white monjita	Noivinha-de-rabo-preto		O	

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Myiophobus fasciatus</i>	Bran-colored flycatcher	Filipe	O		
<i>Contopus cinereus</i>	Tropical pewee	Papa-moscas-cinzento	O		
<i>Satrapa icterophrys</i>	Yellow-browed tyrant	Suiriri-pequeno	O	Re	
<i>Lessonia rufa</i>	Austral negrito	Colegial	O	Re	SACT
<i>Hymenops perspicillatus</i>	Spectacled tyrant	Viuvinha-de-óculos	O	Re	
Vireonidae (4)					
<i>Cyclarhis gujanensis</i>	Rufous-browed peppershrike	Pitiguari	O		
<i>Hylophilus pectoralis</i>	Ashy-headed greenlet	Vite-vite-de-cabeça-cinza	O		
<i>Vireo chivi</i>	Chivi vireo	Juruviara	O		
<i>Vireo altiloquus</i>	Black-whiskered vireo	Juruviara-barbuda	O		NNTT
Corvidae (3)					
<i>Cyanocorax caeruleus</i>	Azure jay	Gralha-azul	Re		
<i>Cyanocorax cristatellus</i>	Curl-crested jay	Gralha-do-campo	O		
<i>Cyanocorax cyanopogon</i>	White-naped jay	Gralha-cancã	O		
Hirundinidae (10)					
<i>Pygochelidon cyanoleuca</i>	Blue-and-white swallow	Andorinha-pequena-de-casa	Re	Re	
<i>Alopochelidon fucata</i>	Tawny-headed swallow	Andorinha-morena		Re	
<i>Stelgidopteryx ruficollis</i>	Southern rough-winged swallow	Andorinha-serradora	Re		
<i>Progne tapera</i>	Brown-chested martin	Andorinha-do-campo	O	Re	
<i>Progne chalybea</i>	Gray-breasted martin	Andorinha-grande	O	O	
<i>Tachycineta albiventer</i>	White-winged swallow	Andorinha-do-rio	O		
<i>Tachycineta leucorrhoa</i>	White-rumped swallow	Andorinha-de-sobre-branco	O	Re	
<i>Tachycineta leucopyga</i>	Chilean swallow	Andorinha-chilena		Re	SACT
<i>Riparia riparia</i>	Bank swallow	Andorinha-do-barranco		Re	PNW
<i>Hirundo rustica</i>	Barn swallow	Andorinha-de-bando	O	Re	PNW
Troglodytidae (4)					
<i>Troglodytes musculus</i>	Southern house wren	Corruíra	Re	Re	

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Cistothorus platensis</i>	Sedge wren	Corruíra-do-campo		Re	
<i>Cantorchilus leucotis</i>	Buff-breasted wren	Garrinção-de-barriga-vermelha	O		
<i>Cantorchilus longirostris</i>	Long-billed wren	Garrinção-de-bico-grande	Re		
Poliopitilidae (1)					
<i>Poliopitila plumbea</i>	Tropical gnatcatcher	Balança-rabo-de-chapéu-preto	O		
Donacobiidae (1)					
<i>Donacobius atricapilla</i>	Black-capped donacobius	Japacanim	O		
Turdidae (4)					
<i>Turdus flavipes</i>	Yellow-legged thrush	Sabiá-una	O		
<i>Turdus leucomelas</i>	Pale-breasted thrush	Sabiá-barranco	O		
<i>Turdus rufiventris</i>	Rufous-bellied thrush	Sabiá-laranjeira	O		
<i>Turdus amaurochalinus</i>	Creamy-bellied thrush	Sabiá-poca	O		
Mimidae (1)					
<i>Mimus saturninus</i>	Chalk-browed mockingbird	Sabiá-do-campo	O		
Estrildidae (1)					
<i>Estrilda astrild</i>	Common waxbill	Bico-de-lacre	O		
Passeridae (1)					
<i>Passer domesticus</i>	House sparrow	Pardal	O		
Motacillidae (4)					
<i>Anthus lutescens</i>	Yellowish pipit	Caminheiro-zumbidor	O	Re	
<i>Anthus furcatus</i>	Short-billed pipit	Caminheiro-de-unha-curta		O	
<i>Anthus correndera</i>	Correndera pipit	Saminheiro-de-espora		Re	
<i>Anthus hellmayri</i>	Hellmayr's pipit	Caminheiro-de-barriga-acanelada		O	
Fringillidae (4)					
<i>Spinus magellanicus</i>	Hooded siskin	Pintassilgo	O		
<i>Euphonia chlorotica</i>	Purple-throated euphonia	Fim-fim	O		
<i>Euphonia violacea</i>	Violaceous euphonia	Gaturamo-verdadeiro	O		
<i>Euphonia pectoralis</i>	Chestnut-bellied euphonia	Ferro-velho	O		
Passerellidae (2)					
<i>Ammodramus humeralis</i>	Grassland sparrow	Tico-tico-do-campo	O	Re	

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Zonotrichia capensis</i>	Rufous-collared sparrow	Tico-tico	O	Re	
Icteridae (12)					
<i>Leistes superciliaris</i>	White-browed meadowlark	Polícia-inglesa-do-sul	O	Re	
<i>Cacicus solitarius</i>	Solitary black cacique	Iraúna-de-bico-branco	O		
<i>Cacicus chrysopterus</i>	Golden-winged cacique	Tecelão	O		
<i>Cacicus cela</i>	Yellow-rumped cacique	Xexéu	O		
<i>Cacicus haemorrhous</i>	Red-rumped cacique	Guaxe	O		
<i>Icterus pyrrhopterus</i>	Variable oriole	Encontro	O		
<i>Molothrus bonariensis</i>	Shiny cowbird	Chupim	O	Re	
<i>Agelasticus thilius</i>	Yellow-winged blackbird	Sargento	O	Re	
<i>Agelasticus cyanopus</i>	Unicolored blackbird	Carretão-do-oeste	O		
<i>Chrysomus ruficapillus</i>	Chestnut-capped blackbird	Garibaldi	O	Re	
<i>Pseudoleistes guirahuro</i>	Yellow-rumped marshbird	Chopim-do-brejo	O		
<i>Pseudoleistes virescens</i>	Brown-and-yellow marshbird	Dragão		Re	
Parulidae (5)					
<i>Geothlypis aequinoctialis</i>	Masked yellowthroat	Pia-cobra	Re	Re	
<i>Setophaga pitayumi</i>	Tropical parula	Mariquita	O		
<i>Setophaga petechia</i>	Yellow warbler	Mariquita-amarela	O		NNTT
<i>Myiothlypis rivularis</i>	Neotropical river warbler	Pula-pula-ribeirinho	O		
<i>Basileuterus culicivorus</i>	Golden-crowned warbler	Pula-pula	O		
Thraupidae (33)					
<i>Embernagra platensis</i>	Great pampa-finch	Sabiá-do-banhado		Re	
<i>Hemithraupis ruficapilla</i>	Rufous-headed tanager	Saíra-ferrugem	O		
<i>Tersina viridis</i>	Swallow tanager	Saí-andorinha	O		
<i>Dacnis cayana</i>	Blue dacnis	Saí-azul	O		
<i>Saltator maximus</i>	Buff-throated saltator	Tempera-viola	O		
<i>Coereba flaveola</i>	Bananaquit	Cambacica	Re		
<i>Volatinia jacarina</i>	Blue-black grassquit	Tiziu	O		
<i>Loriotus cristatus</i>	Flame-crested tanager	Tiê-galo	O		

(continued)

Table 12.1 (continued)

Taxon	Common name (EN)	Common name (PT)	M	S	Mi
<i>Tachyphonus rufus</i>	White-lined tanager	Pipira-preta	O		
<i>Tachyphonus coronatus</i>	Ruby-crowned tanager	Tiê-preto	O		
<i>Ramphocelus bresilia</i>	Brazilian tanager	Tiê-sangue	Re		
<i>Ramphocelus carbo</i>	Silver-beaked tanager	Pipira-vermelha	O		
<i>Sporophila frontalis</i> (VU ^{a, b})	Buffy-fronted seedeater	Pixoxó	O		
<i>Sporophila collaris</i>	Rusty-collared seedeater	Coleiro-do-brejo	O	O	
<i>Sporophila caeruleascens</i>	Double-collared seedeater	Coleirinho	O		
<i>Sporophila albogularis</i>	White-throated seedeater	Golinho	O		
<i>Sporophila leucoptera</i>	White-bellied seedeater	Chorão	O		
<i>Sporophila angolensis</i>	Chestnut-bellied seed-finch	Curio	O		
<i>Thlypopsis sordida</i>	Orange-headed tanager	Saf-canário	O		
<i>Donacospiza albifrons</i>	Long-tailed reed finch	Tico-tico-do-banhado	O	O	
<i>Conirostrum bicolor</i>	Bicolored conebill	Figuinha-do-mangue	EXC		
<i>Sicalis flaveola</i>	Saffron finch	Canário-da-terra	O		
<i>Sicalis luteola</i>	Grassland yellow-finch	Tipio	O	Re	
<i>Haplospiza unicolor</i>	Uniform finch	Cigarra-bambu	O		
<i>Paroaria dominicana</i>	Red-cowled cardinal	Cardeal-do-nordeste	O		
<i>Paroaria gularis</i>	Red-capped cardinal	Cardeal-da-amazônia	O		
<i>Thraupis episcopus</i>	Blue-gray tanager	Sanhaço-da-amazônia	O		
<i>Thraupis sayaca</i>	Sayaca tanager	Sanhaço-cinzentos	Re		
<i>Thraupis cyanoptera</i>	Azure-shouldered tanager	Sanhaço-de-encontro-azul	O		
<i>Thraupis palmarum</i>	Palm tanager	Sanhaço-do-coqueiro	O		
<i>Stilpnia peruviana</i> (VU ^b)	Black-backed tanager	Saíra-sapucaia	O		
<i>Stilpnia cayana</i>	Burnished-buff tanager	Saíra-amarela	O		
<i>Tangara cyanocephala</i>	Red-necked tanager	Saíra-militar	O		

Number in brackets refer to the number of species in each taxonomic family

M mangrove, *SM* salt marsh, *Mi* migratory, *EXC* exclusive, *Re* regular, *O* occasional, *PNW* Pan New World migrants, *SACT* South American cool, temperate migrants, *NNTT* Nearctic-Neotropical temperate-tropical, *OW* Old World migrants, *VU* vulnerable, *EN* endangered, *CR* critically endangered

^aAccording to MMA (2016, 2022)

According to IUCN (2022)

Since mangroves and salt marshes are important areas for migratory birds, we highlighted migratory species using these ecosystems in Brazil. We defined migratory species following Somveille et al. (2018): “(...) those whose breeding and non-breeding distributions do not completely overlap.” Migratory species were identified using references listed in the appendix and Somenzari et al. (2018). Only fully migratory species along the entire Brazilian coast were included, i.e., species breeding in south Brazil and that winter in the northern part of the country were not included in the list. Migratory birds were then attributed into the migration systems defined by Joseph (1997):

Pan New World migrants (PNW): Species that winter and summer between the geographical extremes of the South and North American continents

Nearctic-Neotropical temperate-tropical migrants (NNTT): Species breeding in temperate North America and migrating to the warm humid tropics

South American cool, temperate migrants (SACT): Species breeding in southernmost South America and that migrate towards the midlatitudes of the continent

Species breeding in the Old World (Africa and Eurasia) were indicated separately.

12.3.1 Mangrove Birds

In Brazilian mangroves there are 368 bird species distributed in 24 orders and 62 families, representing 19% of the species occurring in the country. The most representative families are Tyrannidae (34 out of 144 species in Brazil), Thraupidae (32/156), Rallidae (16/35), Accipitridae (20/47), Scolopacidae (20/36), Ardeidae (16/24), Picidae (16/57), Anatidae (12/26), Icteridae (11/42), Trochilidae (11/89), Psittacidae (10/87), and Falconidae (9/20). From this list, there are 117 species in common with birds recorded in salt marshes. Also, 87 species (23%) are regularly recorded in mangroves, in addition to the five exclusive species, representing 5% of the birds in Brazil. The most representative families of the regularly recorded species were Scolopacidae (13), Ardeidae (11), Anatidae (5), Accipitridae (4), Alcedinidae (4), Rallidae (4), and Charadriidae (4) (see Table 12.1).

12.3.1.1 Exclusive Species

Five species are included in this category: tricolored heron, scarlet ibis, rufous crab hawk (*Buteogallus aequinoctialis*), mangrove rail (*Rallus longirostris*), and mangrove cuckoo (*Coccyzus minor*). Another species, bicolor conebill (*Conirostrum bicolor*), is exclusive to mangroves in most of its range but also inhabits the *várzea* forests of the Amazon basin (Cohn-Haft et al. 2007). In mangroves, this species is frequently recorded and more common than the other exclusive ones. The tricolored egret and mangrove cuckoo show restricted geographical ranges in relation to the



Fig. 12.1 Mangrove-exclusive or nearly exclusive birds: tricolored heron (*Egretta tricolor*) (a), scarlet ibis (*Eudocimus ruber*) (b), rufous crab hawk (*Buteogallus aequinoctialis*) (c), bicolored conebill (*Conirostrum bicolor*) (d), mangrove rail (*Rallus longirostris*) (e), and mangrove cuckoo (*Coccyzus minor*) (f). Salt marsh-exclusive bird: dot-winged crake (*Laterallus spilopterus*) (g). The black-crowned night heron (*Nyctanassa violacea*) (h) and little wood-rail (*Aramides mangle*) (i) occur in both ecosystems in Brazil (Photos a, Robson Czaban; b, Daniel Mello; c, Guto Balieiro; d, Daniel Mello; e, Daniel Mello; f, Alexander Lees; g, Rafael Antunes Dias; h, Patricia Luciano Mancini; i, Robson Czaban)

distribution of mangroves along the Brazilian littoral, occurring only in the north and northeast regions, whereas the remaining species present broader distributions. The scarlet ibis has large populations in the north and northeastern regions, with a population in the southeast region currently expanding both northwards and southwards from Cubatão, São Paulo State. Rufous crab hawk presents local occurrence, with reduced populations; mangrove rail is frequent in the south and southeastern regions of the country, rarer in the northeast, and absent in the north (Vieira 2015) (Fig. 12.1).

12.3.1.2 Regular Species

As many as 87 species are included in this category. The yellow-crowned night heron and the little wood-rail (*Aramides mangle*) are largely restricted to mangroves. The former species is not considered exclusive to mangroves because there is a population in a salt marsh area in south Brazil (Gianuca et al. 2011). The little wood-rail is a typical mangrove species, although the populations from Northeast Brazil

perform partial landward migrations during the rainy season, a movement still poorly known (Marcondes et al. 2014). A large part of the regular species is associated with aquatic habitats (families Anatidae, Ardeidae, Alcedinidae, among others), and only five passerines are associated with those environments, namely, the yellow-chinned spintail (*Certhiaxis cinnamomeus*), short-crested flycatcher (*Myiarchus ferox*), masked water-tyrant (*Fluvicola nengeta*), southern rough-winged swallow (*Stelgidopteryx ruficollis*), and masked yellowthroat (*Geothlypis aequinoctialis*). Other species such as the black vulture (*Coragyps atratus*), ruddy ground-dove (*Columbina talpacoti*), and great kiskadee (*Pitangus sulphuratus*) are associated with a broad range of habitats, including open environments and urban areas. The azure jay (*Cyanocorax caeruleus*), long-billed wren (*Cantorchilus longirostris*), and Brazilian tanager (*Ramphocelus bresilia*) are frequently recorded in mangroves due to the direct connection between the latter and the coastal lowland forests and *restinga* forests where they dwell. Among sandy beach species, the migratory common tern and the resident collared plover (*Charadrius collaris*) are frequently recorded in mangroves, using sedimentary banks (muddy or preferably sandy) for resting. Some seabird species such as the South American tern (*Sterna hirundinacea*), Cabot's tern (*Thalasseus acuflavidus*), and royal tern (*Thalasseus maximus*) display local occurrences in mangroves, mainly in regions where there are sandbanks between or within mangroves.

12.3.1.3 Occasional Species

There are 275 species included in this category, represented by a wide range of families. The high species richness in this category may be explained by the broad latitudinal extension of mangroves along the Brazilian coast, enabling the occurrence of species from a broad range of adjacent habitat types, even sporadically. In northern Brazil, the least tern (*Sternula antillarum*), yellow-billed tern (*Sternula superciliaris*), large-billed tern (*Phaetusa simplex*), gull-billed tern (*Gelochelidon nilotica*), and roseate tern (*Sterna dougallii*) show local occurrences in mangroves, mainly in regions where there are sandbanks between or within mangrove areas. The toco toucan (*Ramphastos toco*) and curl-crested jay (*Cyanocorax cristatellus*) have expanded their ranges eastwards in the last decades, especially in São Paulo State, and have been locally recorded in mangrove areas (Silva e Silva and Olmos 2007; Mancini et al. 2018). Brown pelican (*Pelecanus occidentalis*) and western reef-heron (*Egretta gularis*) are vagrant (Somenzari et al. 2018). The remaining species present local and sporadic occurrences.

12.3.1.4 Migrant Species

In mangroves, 40 migrant species were recorded (including 27 in common with salt marshes), mainly of the families Scolopacidae (19), Charadriidae (4), and Laridae (4). There are 29 Pan New World migrants, 17 of which are frequently recorded in mangrove areas and 12 that use this habitat occasionally. Among the most frequent

species are the shorebirds *Tringa* spp. and *Calidris* spp. and the osprey *Pandion haliaetus*. Six species are Nearctic-Neotropical temperate-tropical migrants such as the American flamingo (*Phoenicopterus ruber*), brown pelican, and great blue heron. The lake duck (*Oxyura vittata*), rufous-chested dotterel (*Charadrius modestus*), and austral negrito (*Lessonia rufa*) are South American cool, temperate migrants. Lastly, the squacco heron (*Ardeola ralloides*), western reef-heron, and marbled godwit (*Limosa fedoa*) are migrants from the Old World (Table 12.1).

12.3.1.5 Knowledge Gaps in Species Composition

The knowledge about mangrove birds in Brazil presents some important gaps regarding species composition. There are at least three species that occur in mangroves in adjacent French Guiana, namely, rufous-necked wood rail (*Aramides axillaris*), arrowhead piculet (*Picumnus minutissimus*), and northern scrub-flycatcher (*Sublegatus arenarum*) that may potentially occur in Brazil (Restall et al. 2006; Sigrist 2006; Robbins 2018; Taylor 2018; Winkler et al. 2018). Furthermore, there are species known to use mangroves in neighboring countries but that never have been reported for these environments in Brazil, e.g., red-legged honeycreeper (*Cyanerpes cyaneus*), carib grackle (*Quiscalus lugubris*), and Amazonian tyrannulet (*Inezia subflava*) (Ridgely and Tudor 1994; Restall et al. 2006). New taxonomic proposals, which often elevate some populations to the species level, may contribute to increasing avian species richness in Brazilian mangroves as well. In addition, some species have more than one subspecies with known or potential occurrence on the Brazilian coast and that may attain specific status after future studies, e.g., Wilson's plover (*Charadrius wilsonia*) and mangrove rail, the former even presenting two subspecies in North and Northeast Brazil (Grantsau and Lima 2008; Vieira 2015).

12.3.1.6 Conservation

Among the 368 species recorded in mangroves, 15 (4.1%) are currently considered endangered to some extent. Seven are globally threatened (IUCN 2022), nine are included in the Brazilian list of threatened taxa (MMA 2016, 2022) and two, Dot-winged Crake (*Laterallus spilopterus*) and buffy-fronted seedeater (*Sporophila frontalis*), are in both lists. Regarding the 87 species classified as regular mangrove users, seven are included in the Brazilian Red List: Wilson's plover, red knot, South American tern and Cabot tern are vulnerable; royal tern and semipalmated sandpiper and short-billed dowitcher (*Limnodromus griseus*) are endangered (MMA 2016, 2022).

Habitat loss is the main cause for all population declines (Morrison and Ross 1989; Mohr et al. 2008; Campos 2010; Sipinski et al. 2014; Schunck and Rodrigues 2018). The red-tailed parrot (*Amazona brasiliensis*) has a stable population in its main area of occurrence in the state of Paraná, but its restricted range (from the

southern coast of São Paulo State to the northern coast of Santa Catarina State) makes it especially vulnerable to habitat loss (Sipinski et al. 2014). The same occurs with royal tern, whose breeding areas in Brazil are all located in São Paulo State (Mohr et al. 2008; Campos 2010). For the Pan New World migrant red knot, there was a population decline of 55% in Northeast Brazil in the last three decades (Morrison and Ross 1989; Schunck and Rodrigues 2018). This decline happened because of the fall in food availability at Delaware Bay, in the United States of America, together with habitat loss along migration routes (Baker et al. 2004; Morrison et al. 2004). Similarly, short-billed dowitcher has presented a population decline of roughly 86% in the last decades in North Brazil (Morrison and Ross 1989; Schunck and Almeida *in prep.*), due to habitat loss both in the wintering grounds and along the migration routes (Rodrigues and Carvalho 2011; Valente et al. 2011). The population of semipalmated sandpiper declined 79% in northern Brazil since the 1980s (Valente et al. 2011; Morrison et al. 2012; Schunck et al. *in prep.*). Wilson's plover presents a resident subspecies in Brazil; nevertheless, some other populations migrate to Brazil, such as *Charadrius w. cinnamominus* (Wiersma et al. 2018), and in northern and northeastern Brazilian coast, these populations have been declining in the last decades (Rodrigues 2007; Oliveira *in prep.*), probably due to disturbance in their breeding grounds.

12.3.2 Salt Marsh Birds

In Brazilian salt marshes 163 bird species (18 orders and 39 families) were reported in the literature, representing 8% of the country's species list. The most representative families are Scolopacidae (18 out of 28 species in Brazil), Laridae (15/29), Tyrannidae (12/144), Rallidae (11/35), Ardeidae (11/24), Furnariidae (10/106), Anatidae (9/26), and Charadriidae (7/11) (see Table 12.1). From this list, 117 species also occur in mangroves. A total of 123 species occurs regularly in salt marshes, representing 75% of Brazilian salt marsh birds and 6% of the Brazilian avifauna. The most representative families of the regularly recorded species were Scolopacidae (18), Ardeidae (10), Sternidae (9), Rallidae (8), Anatidae (7), and Charadriidae (7).

12.3.2.1 Exclusive Species

The dot-winged crane (*Laterallus spilopterus*) is the only species exclusively recorded in salt marshes in Brazil (Bencke et al. 2003). This small, globally threatened bird inhabits mainly salt marshes covered by dense stands of denseflower cordgrass (*Spartina densiflora* Brongn). The Peixe and Patos lagoons (RS) are the only localities where this bird has been recorded in the country (Bencke et al. 2003). The species is found almost exclusively in halophytic vegetation throughout its range in southern South America, both in coastal and inland wetlands, and is one of the few birds strongly associated with salt marshes in the continent (Greenberg et al. 2014) (Fig. 12.1).

12.3.2.2 Regular Species

A total of 123 bird species are included in this category, 43 in common with regular mangrove species such as yellow-crowned night heron, roseate spoonbill (*Platalea ajaja*), and lesser yellowlegs. Nearly 65% of the regular species in this category belong to typical waterbird families (e.g., Scolopacidae, Anatidae, Ardeidae, among others). Among the remaining regular species, some are exclusive of wetland habitats, despite being members of families of predominantly terrestrial species, such as the furnariids wren-like rushbird (*Phleocryptes melanops*) and sulfur-throated spinetail (*Limnocites sulphuriferus*), the tyrannids of the genus *Pseudocolopteryx*, and the icterids yellow-winged blackbird (*Agelasticus thilius*) and chestnut-capped blackbird (*Chrysomus ruficapillus*). Several grassland birds, aerial-feeding species, and habitat generalists complete the list. Most waterbirds of regular occurrence in salt marshes also use freshwater wetlands or beaches and lagoons in southern Brazil (Belton 1994). However, some of them are more abundant or frequent in salt marshes and associated habitats and probably rely more on this kind of wetland than other wetland types, namely, the Chilean flamingo, Andean flamingo (*Phoenicoparrus andinus*), yellow-crowned night-heron, little blue heron, semipalmated plover, Hudsonian godwit, willet, semipalmated sandpiper, Olrog's gull (*Larus atlanticus*), and bay-capped wren-spinetail (Barbieri 2008). The latter is also strongly associated with salt marsh habitats in Argentina and Uruguay and may be considered a salt marsh specialist after additional investigations (Greenberg et al. 2014). Despite being recorded in a broad range of grassland habitats, sometimes in expressive numbers, the buff-breasted sandpiper and grass wren (*Cistothorus platensis*) are particularly abundant in Brazilian salt marshes, where both attain high densities (Bencke et al. 2003).

12.3.2.3 Occasional Species

This category includes 39 species represented by a range of families. Included in this list are birds common in adjacent freshwater wetlands and grasslands and that occasionally venture into estuarine habitats, such as the white-faced whistling-duck (*Dendrocygna viduata*), spot-flanked gallinule (*Porphyriops melanops*), spotted nothura (*Nothura maculosa*), and Hellmayr's pipit (*Anthus hellmayri*), as well as species reliant on shrubs and trees to fulfill part of or their entire life cycles, e.g., rufous hornero (*Furnarius rufus*), Spix's spinetail (*Synallaxis spixi*), and tropical kingbird (*Tyrannus melancholicus*). These species are only found in salt marshes with the presence of woody vegetation, which is naturally rare. Species that are uncommon in south Brazil are also included among the occasional users of salt marshes, such as the magnificent frigatebird (*Fregata magnificens*), short-billed dowitcher, and black tern (*Chlidonias niger*) (Belton 1994).

12.3.2.4 Migrant Species

A total of 34 migrant species are reported in Brazilian salt marshes, mainly Scolopacidae (16) and Laridae (4), all of which also occur in mangroves. There are 27 Pan New World migrant species, of which 85% are regularly recorded in salt marshes. Among the most frequent species are the shorebirds *Tringa* spp. and *Calidris* spp. Seven species are South American cool, temperate migrants, all making frequent use of salt marshes (Table 12.1).

12.3.2.5 Conservation

Among the 163 species recorded in salt marshes, 13 are of conservation concern. One is globally threatened (IUCN 2022), ten are included in the Brazilian list of threatened taxa (MMA 2016, 2022), and two species, the dot-winged crane and the black-and-white monjita (*Heteroxolmis dominicanus*), are in both lists (MMA 2016, 2022; IUCN 2022). Of the three globally threatened species, only the Andean flamingo and dot-winged crane are salt marsh regulars. The former is mostly an Andean breeder found in Brazil in shallow waters and mudbanks adjacent to and, more rarely, within salt marshes in a few localities of Santa Catarina State and at Peixe Lagoon (Bencke et al. 2006; Ghizoni-Jr and Piacentini 2010). The species occurs in small and varying numbers throughout the year in Brazil, being more numerous in the winter, and may largely be composed of immature and nonbreeding individuals (Bencke et al. 2006; Ghizoni-Jr and Piacentini 2010). Disturbance by humans, coastal development, and hunting are the main threats to this flamingo in Brazil (Ghizoni-Jr and Piacentini 2010). Individuals at Peixe Lagoon are protected within the Lagoa do Peixe National Park, but since this protected area is not fully implemented, they are often disturbed by shrimp harvesters and tourists.

In Brazil, the dot-winged crane occurs only in salt marshes of the Peixe Lagoon, which are located entirely within the national park, plus a handful of individual salt marshes at the estuary of Patos Lagoon (Bencke et al. 2003; Dias et al. 2017). This resident species inhabits dense vegetation and is largely threatened by overgrazing and burning of salt marshes and, to a lesser extent, by coastal development (Bencke et al. 2003). Despite occurring in two protected areas (Parque Nacional da Lagoa do Peixe and Área de Proteção Ambiental da Lagoa Verde) (see Chap. 3, Map 17), overgrazing and burning are still major threats due to the low regulation enforcement in both areas (Bencke et al. 2003, 2006; Dias et al. 2017). Two of the regionally threatened species considered regular salt marsh users, semipalmated sandpiper and red knot, are found in large numbers in Brazilian salt marshes only at the mouth of the Peixe Lagoon. In this locality, semipalmated sandpiper occurs throughout the austral warm season, whereas red knot peaks in the late austral summer and early austral autumn (Resende and Leeuwenberg 1987; Bencke et al. 2006). Both species may be impacted by disturbance by shrimp harvesters, fishermen, and tourists, which are frequent at the mouth of the lagoon (Bencke et al. 2006).

Royal tern also occurs in large numbers in salt marshes at the mouths of the Patos and Peixe lagoons, especially in winter, where they use sandbanks for roosting and may also suffer disturbance from fishermen and tourists. The two most important areas used by the buff-breasted sandpiper in Brazil, Lagoa do Peixe National Park and Torotama Island, are used throughout the austral spring and summer (Resende and Leeuwenberg 1987; Bencke et al. 2003, 2006). This sandpiper is restricted to areas of stunted vegetation where overgrazing by domestic livestock maintains the grass low. Suitable habitat on Torotama Island is relatively stable, but with the full implementation of Lagoa do Peixe National Park and the removal of livestock from the protected area, this species is expected to lose a substantial area of habitat unless specific habitat management practices are put in place (Bencke et al. 2003, 2006).

Cinereus harrier (*Circus cinereus*) is regionally threatened by the loss of nesting habitat in freshwater wetlands (Bencke et al. 2003). This bird hunts over a variety of natural and man-made open vegetation habitats, especially grasslands and freshwater wetlands, and is comparatively rarer in salt marshes (Bencke et al. 2003). Likewise, populations of Hudson's canastero (*Asthenes hudsoni*) inhabiting salt marshes are comparatively less threatened than those using adjacent sandy grasslands, which are prone to exotic pine tree invasion and urban and wind energy development (Serafini 2013).

12.4 Threats to Birds in Mangroves and Salt Marshes

Brazilian mangroves have steadily decreased in the area (Magris and Barreto 2010; Schaeffer Novelli et al. 2016), which represents a major threat to its avifauna. On the other hand, salt marsh destruction has been less severe, remaining almost unchanged since 1947 in south Brazil (Marangoni and Costa 2009a, b). Although the role of habitat loss and fragmentation upon avian diversity in Brazilian mangroves has not been properly explored, studies in other countries have demonstrated that area reduction can lead to population declines and local extinctions, predominantly of insectivorous species (Alongi 2009; Buelow and Sheaves 2015; Lawson et al. 2017).

Studies evaluating the effects of mangrove fragmentation on birds have shown contradictory results, either emphasizing (Alongi 2009) or deemphasizing (Chacin et al. 2015) the role of isolation in reducing diversity. In salt marshes, bird diversity is positively associated with patch size and proximity to other patches, with large wetlands possibly serving as population sources for some species, while small, isolated marshes act as population sinks (Shriver et al. 2004; Powell 2006). The landscape context in which mangrove and salt marsh fragments are inserted may also influence species richness and composition since adjacent patches of unsuitable habitat usually correlate with less diversity (Lefebvre and Poulin 1997; Shriver et al. 2004).

Mangrove and salt marsh remnants in Brazil are subject to habitat modification from a series of anthropogenic activities. Avian diversity in mangroves is positively associated with habitat heterogeneity, especially of vegetation features and foraging

habitats (Mohd-Azlan et al. 2015; Mancini et al. 2018). Although there are no studies evaluating how habitat modification influences mangrove birds in the country, it is likely that human-induced habitat homogenization, especially through logging, garbage disposal, and canalization (Olmos and Silva e Silva 2003; Valente et al. 2011; Schaeffer Novelli et al. 2016), is negatively affecting bird diversity.

Fishing and harvesting of aquatic resources are widespread along the Brazilian coastline. Direct effects include the damage to mangrove roots and propagules, plastic and oil pollution, and erosion from the wake of boats, while indirect effects are linked to the removal of keystone species known to influence forest structure and nutrient cycling (Schories et al. 2003; Nascimento et al. 2017). Harvesting may impact fish-, shrimp- and crab-eating birds or even the entire bird community indirectly via habitat modification (Nagelkerken et al. 2008; Mohd-Azlan et al. 2015). Trophic cascade effects are also known to affect salt marsh ecosystems. In North America, overfishing of predator species increased herbivory by crabs and led to marsh die-offs (Altieri et al. 2012). Crabs are also important primary consumers in Brazilian salt marshes (Alberti et al. 2007) and may indirectly influence the diversity of bird communities by altering vegetation structure, as observed in nearby Argentina (Cardoni et al. 2007). Nevertheless, how the harvesting of aquatic resources influences avian diversity in Brazilian estuaries remains to be properly evaluated.

The increasing use of estuarine habitats for leisure may also impact birds due to direct disturbance promoted by people and their pets. People walking or running, accompanied by unleashed dogs, driving all-terrain vehicles and boats disrupt avian behavior, especially feeding, resting, and breeding (Smit and Visser 1993; Borgmann 2011; Scarton 2018). This may cause alterations on how birds use key estuarine habitats, affecting food intake, resting/non-resting budgets, and breeding performance – all of which are expected to have negative consequences at the population level due to energetic and reproductive costs (Smit and Visser 1993; Borgmann 2011; Scarton 2018). In Brazil, such forms of disturbance are more likely to affect plovers, sandpipers, and terns (most of which are migratory) roosting in sandbars and mudflats, especially near cities, ports, and touristic destinations (Olmos and Silva e Silva 2003; Valente et al. 2011; Dias et al. 2013).

Invasive alien species, such as water buffalos in mangroves (Valente et al. 2011) and feral pigs and wild boars in salt marshes (Quintela et al. 2010) may additionally impact bird communities. Browsing by livestock alters the morphological structure of mangroves (Hoppe-Speer and Adams 2015) and may lead to habitat simplification and associated loss of avian diversity. Pigs uproot salt marsh vegetation, eliminating habitat for rails and salt marsh passerines reliant on dense, tall vegetation. Pigs may also prey on bird eggs and younglings, directly affecting the population of breeding birds such as rails and ducks.

Southern Brazilian salt marshes are also affected by poorly managed extensive livestock ranching, which impacts wetlands by decreasing aboveground plant material, altering biodiversity, and modifying ecosystem functioning (FNMA et al. 2009; Marangoni and Costa 2009a). Similar effects have been recorded in salt marshes subject to fire, which are frequently used to manage pastures in livestock ranching areas (Marangoni and Costa 2009a). In Argentinean salt marshes, overgrazing and

burning impact birds by reducing the abundance of tall-vegetation specialists, including species of conservation concern as dot-winged crane and bay-capped wren-spinetail, while favoring a few short-vegetation species but without major influence on abundance and species richness (Isacch et al. 2004; Isacch and Cardoni 2011). However, tallgrass birds can maintain populations under low-intensity grazing and burning, which demonstrates that livestock ranching and avian conservation are compatible under proper management (Cardoni et al. 2012).

Pollution from various sources (e.g., sewage and urban wastewater, industrial and agricultural effluents, garbage and solid waste, airborne pollutants) is also a common threat to Brazilian estuarine ecosystems, particularly to mangroves and salt marshes inserted within or near urban and industrial areas (Olmos and Silva e Silva 2003; Marangoni and Costa 2009a; Magris and Barreto 2010; Valente et al. 2011; Schaeffer Novelli et al. 2016). Birds are vulnerable to a range of pollutants that can result in mortality or sublethal behavioral, reproductive, and physiological effects depending on the intrinsic toxicity of the pollutant and exposure (Burger and Gochfeld 2001). The effects of pollutants depend on whether the exposure is acute or chronic, and in aquatic birds, this usually occurs through the ingestion of food and water (Burger and Gochfeld 2001, 2004). Oil spills recorded in Brazilian mangroves (Olmos and Silva e Silva 2003; Valente et al. 2011) may have impacted birds by disrupting their thermal balance and via toxicological effects following ingestion (Jenssen 1994; Burger and Gochfeld 2001). Plastic pollution is widespread (Olmos and Silva e Silva 2003; Valente et al. 2011) and tends to increase in coastal environments, threatening birds through entanglement and ingestion of plastic litter (Derraik 2002). Chemicals from sewage and urban wastewater, as well as industrial and agricultural effluents, usually affect individuals and populations through chronic effects linked to neurotoxicity and endocrine disruption (Burger and Gochfeld 2001, 2004; Köhler and Triebskorn 2013). Bioaccumulation of pollutants is a major concern, especially because many birds in mangroves and salt marshes occupy high trophic levels (Burger and Gochfeld 2001, 2004). On top of that, chemical pollution from sewage discharges may also modify the physiognomy of salt marsh vegetation, indirectly altering the composition and abundance of bird assemblages (Cardoni et al. 2011).

The greatest potential threat to mangroves and salt marshes is climate change, which will impact estuarine habitats via alterations in temperature and rainfall regimes, increasing extreme weather events, storms, and high tides, higher oceanic carbon dioxide concentration, and sea-level rise (Schaeffer Novelli et al. 2016). The survival of bird populations under climate change will depend on how they adapt to climate change and track their preferred climate via dispersal (Sekercioglu et al. 2012). Migratory species are expected to be particularly susceptible because higher temperatures may influence the timing of migration, as well as the availability of food resources in breeding and nonbreeding areas (Wrona et al. 2006; Sekercioglu et al. 2012). Since many mangroves and salt marsh areas in Brazil are key staging or wintering sites for migratory sea- and shorebirds (Valente et al. 2011; Dias et al. 2013), the fate of these areas under climate change will have important implications for avian conservation on a global scale.

If higher temperatures indeed promote an increase in mangrove cover along the Brazilian coast, such habitat expansion could benefit mangrove birds. However, the predicted increase is expected to be accompanied by changes in vegetation physiognomy and diversity (Schaeffer Novelli et al. 2016), potentially altering the diversity of avian communities. Loss of mangrove area among other effects of climate change, on the other hand, would reduce avian diversity overall, implying important population declines (or even extinction) of typical mangrove species (e.g., rufous crab hawk, little wood-rail), as well as forest birds that use these habitats as a refuge because of the loss of other upland adjacent forest types (Nagelkerken et al. 2008; Luther and Greenberg 2009).

The extensive salt marshes in south Brazil are expected to shrink in area due to erosion by rising sea level and invasion by southward expanding mangroves (Schaeffer Novelli et al. 2016). This may lead to the regional extinction of exclusive species (e.g., dot-winged crane), as well as important population losses of birds that are particularly abundant in these marshes (e.g., bay-capped wren-spinetail, grass wren) (Bencke et al. 2003). Changes in bird community structure may already be taking place in southern Brazilian salt marshes, even though mangrove trees have not yet colonized these formations. For example, the recent expansion and breeding of mangrove birds (e.g., yellow-crowned night heron and little blue heron) in salt marshes of south Brazil have been linked to the local increase in air temperature (Gianuca et al. 2011, 2012). Such expansion suggests that mangrove bird species may move ahead of the vegetation shift by using other forest types to breed and roost.

12.5 Conservation Challenges and Initiatives

Mangroves represent a rare forest type found in intertidal coastal zones largely restricted to tropical and subtropical regions (Sandilyan and Katherisan 2012). This complex ecosystem has been facing increasing threats due to human activities in the last decades, and it is estimated that roughly 35% of the global cover of mangroves was lost between 1980 and 2000 (Valiela et al. 2001; ICMBio 2015). Such reduction may be responsible for increasing the risk of extinction of at least 40% of the animal species that are restricted to mangroves (Polidoro et al. 2010), and ca. 14 bird species that are threatened to some extent. Nevertheless, detailed studies regarding the effects of mangrove destruction on the avifauna are still insufficient, especially in the Neotropics.

Brazil is the second country in mangrove extension, encompassing nearly 10% of this ecosystem in the world (Hamilton and Casey 2016). Roughly 80% of the Brazilian mangroves are in legally protected areas in the three levels of governance (national, state, and municipal) (Ferreira and Lacerda 2016). Taking national protected areas into consideration, ca. 79% are designed to promote sustainable use, most under the categories of environmental protected areas (APA) and extractive reserves (RESEX) (Magris and Barreto 2010). However, continuous threats are

known to impact Brazilian mangroves, such as the deterioration of water quality in freshwater effluents and coastal habitats, deforestation, use of salt flats for salt extraction, and shrimp farming, among others. Of all threats, aquaculture represents the highest one (Alongi 2002; Magris and Barreto 2010). Recent estimates indicate that roughly 500 km² of Brazilian mangroves were destroyed in the last 30 years (FAO 2007; Romanach et al. 2018), even though the Brazilian Forest Code defines these ecosystems as Areas of Permanent Preservation (APP) and imposes restrictions to their use and occupation. Thus, it is extremely important not only to create protected areas and elaborate management plans but also to strengthen law enforcement (for more information, see Chap. 16).

Globally, salt marsh areas have declined between 25% and 50% in cover (Duarte et al. 2008; Crooks et al. 2011). In Brazil, a large portion of salt marshes is considered either Areas of Permanent Preservation (APP) or of restricted use according to the Brazilian Forest Code (Dias et al. 2017). Although a few individual marshes in southern Brazil have been partially or entirely lost to urban and industrial development and shrimp farms up to the 1990s, law enforcement has maintained salt marsh area stable up to the present (Marangoni and Costa 2009a, b). Two protected areas conserve salt marshes in Brazil: the Lagoa Verde Environmental Protection Area (510 ha under municipal responsibility) and the Lagoa do Peixe National Park (34,000 ha under national responsibility) (FNMA et al. 1999; Bencke et al. 2006; Dias et al. 2017). The former has been poorly implemented and allows “sustainable development,” meaning that it is managed not only for biodiversity conservation but also for human sustainable activities (Dias et al. 2017). Although included in a more restrictive category, the Lagoa do Peixe National Park is also not fully implemented and still has many private areas used for livestock ranching within its boundaries (Bencke et al. 2006). Particularly troublesome is the dilemma of the removal of livestock from the park since it provides habitat for some bird species reliant on shortgrass habitats while eliminating tallgrass salt marshes on which other birds depend (Bencke et al. 2006). Shrimp harvesters and fishermen still carry on with their activities in the park, and unregulated tourism is common (Bencke et al. 2006). Fully implementing these protected areas and including formal measures to ensure adequate habitat management and the protection of threatened species in management plans would benefit salt marsh birds (Bencke et al. 2006; Dias et al. 2017).

Large, virtually pristine salt marshes exist at Pequena Lagoon and Torotama Island and the establishment of protected areas could ensure their conservation (Dias et al. 2017). Law enforcement and environmental education campaigns would also benefit avian conservation, especially if the restrictions imposed by the Forest Code were reinforced (Dias et al. 2017). Moreover, awareness towards conservation should be stimulated through environment-friendly activities (e.g., birdwatching, organic farming, properly managed extensive livestock ranching), all of which have the potential to generate income for local communities if properly implemented (Dias et al. 2017).

Recently, the Chico Mendes Institute for Biodiversity Conservation (ICMBio), a branch of the Brazilian Ministry of the Environment, has promoted working groups to elaborate conservation plans for specific bird groups, such as mangrove birds and

Table 12.2 Summary of knowledge gaps in ecological and anthropogenic impact studies in Brazilian mangrove and salt marsh birds**Ecological studies**

1. Lack of bird inventories and studies on avian habitat use
2. Lack of reviews on the information available in museum specimens and citizen science online platforms for a better understanding of the occurrence status and species conservation
3. Lack of studies on the ecology and natural history of exclusive and regular species for which the reduction in habitat quality or extent may be an important pressure
4. Limited knowledge on habitat and niche differentiation within these ecosystems
5. Lack of studies on avian morphological differentiation in Brazilian mangroves and salt marshes
6. Lack of studies on avian feeding adaptations or zonation patterns
7. Publication of relevant unpublished data carried out in mangroves and salt marshes (reducing the use of grey literature)

Anthropogenic impact studies

1. Long-term quantitative bird population studies, especially of sensitive taxa (e.g., threatened, exclusive, or migrant species)
2. Long-term monitoring and assessment of the effects of landscape changes on avian diversity
3. Evaluation of the impacts of water pollutants on birds
4. Evaluation of the impacts of aquatic resource harvesting on birds
5. Evaluation of the impacts of habitat modification (logging, garbage disposal, canalization, etc.) on birds
6. Evaluation of the impacts of mangrove and salt marsh destruction on birds
7. Evaluation of the impacts of climate change on birds and their habitats
8. Establishment of local conservation education programs and citizen science initiatives

migrant shorebirds. The National Plan for the Conservation of Migrant Shorebirds (ICMBio 2013) and the National Plan for Threatened Mangrove Species of Socio-economic Importance (ICMBio 2015) have as their main goals the proposal of effective conservation policies and the establishment of mechanisms that ensure the protection of the species under their scope and minimize the loss of their habitat.

12.6 Knowledge Gaps

Knowledge gaps are summarized in Table 12.2. Among the 69 consulted pieces of literature on Brazilian mangrove birds, 74% were scientific papers, 17% books, and 9% book chapters. A substantial part of these studies does not present detailed information on species specifically recorded in mangroves. Field studies represent the largest amount of information in the literature (93%), while the other 7% are large compilations (books), which are also based on field studies, museum specimens, and authors' observations (Ridgely and Tudor 1989, 1994; Stotz et al. 1996; Sick 1997; Willis and Oniki 2003; Sigrist 2006; Grantsau 2010). There is also important information on mangrove birds in dissertations and congress abstracts (gray literature). Virtually nothing is known about birds using salt marshes in Brazil other than what features in studies carried out in the Peixe and Patos lagoons (RS). The avifauna of salt marsh fringes in mangrove areas, for example, is unknown.

12.6.1 *Field Studies*

The published information on mangrove birds was collected in 16 Brazilian states that contain these habitats, with the number of studies varying according to the extension of habitat in each state. One of the most well-studied mangrove areas in Brazil is Manguê Seco in Bahia State, northeast Brazil, but the published studies do not mention the precise habitat where the bird species were recorded.

The largest part of the available studies presents qualitative data over quantitative or natural history data. Among the quantitative data, most studies focus on Nearctic migrants of the families Charadriidae, Laridae, Scolopacidae, and Sternidae, as well as Accipitridae, Anatidae, Ardeidae, Falconidae, Psittacidae, and Threskiornithidae (e.g., Olmos and Silva e Silva 2003; Galetti et al. 2006; Almeida and Rodrigues 2015; Souza and Rodrigues 2015). Natural history accounts are available only for a few species, such as the scarlet ibis, yellow-crowned night heron, Wilson's plover, orange-winged parrot (*Amazona amazonica*), and red-tailed parrot (e.g., Cunha et al. 2000; Gianuca 2007; Grose et al. 2013). The more incipient studies are those aimed at investigating the effects of human activities, e.g., water pollution, illegal mangrove occupation, and oil spills on coastal birds, including mangrove species (e.g., Olmos and Silva e Silva 2003; Rodrigues 2007; Valente et al. 2011), albeit not quantitatively evaluating those impacts (e.g., Barbieri 2001; Hvenegaard and Barbieri 2010).

Long-term bird population studies are still lacking in Brazilian mangroves and salt marshes. Such studies are crucial for a better understanding of population trends on both local and national scales. Quantitative studies that detect annual rates of changes in the average number of individuals in different areas, especially of sensitive taxa (e.g., threatened, exclusive, or migrant species), may reveal population declines that would go unnoticed with purely qualitative, presence/absence-based studies (see Lloyd and Doyle 2011). Likewise, natural history studies focused on elucidating avian ecology may also help to understand the proximal causes of eventual declines. This may be especially important for habitat-specialist species, for which the diminishing quality or extent of habitat surely is an important pressure.

Virtually nothing is known about the potential impacts of pollutants in urban and industrial wastewater on Brazilian mangrove and salt marsh bird communities. Long-term, wide-range monitoring initiatives as well as short-term, local studies targeting the effects of water quality on mangrove and salt marsh bird species are highly recommended. Likewise, environmental impact assessments (EIA), a national requirement ensuring that decision-makers understand the environmental impacts of their projects and plans, must be conducted more rigorously and taking into account their effects on mangrove and salt marsh birds. Unfortunately, human interference and its consequences to coastal ecosystems are still underestimated, and thorough population studies in mangroves and salt marshes, correlated with physical and other biological parameters, are critical to determine avian population trends and whether eventual declines are in course.

12.7 Final Remarks

Besides the significant advances in the knowledge of mangrove and salt marsh birds and the gaps mentioned above, additional procedures may improve our understanding of this ecological system. Ornithological studies conducted in mangrove and salt marsh areas (e.g., inventories, monitoring programs, specimen collection) must explicitly include a reference of the habitat where each species was recorded. This is valid for photographic, sound, or video records deposited in databases as well. In the case of published works, this information must be included either in the results section, in species accounts, or as details in species lists (see, e.g., Olmos and Silva e Silva 2003; Lees et al. 2014). Relevant information present in the grey literature should be formally published to better understand the occurrence and status of poorly known species in mangroves, such as red-and-white spinetail (*Certhiaxis mustelinus*), pileated finch (*Coryphospingus pileatus*), crested oropendola (*Psarocolius decumanus*), comb duck (*Sarkidiornis sylvicola*), and yellow-bellied seedeater (*Sporophila nigricollis*), among others.

Field studies in Brazilian mangroves are urgent along the whole coast, including quantitative data, which are crucial for short- and long-term populational monitoring initiatives. Detailed studies of the human impacts on mangrove birds are also fundamental from a conservation perspective. In addition to that, reviews of the information available in museum specimens and citizen science online platforms (e.g., Wikiaves, e-Bird, Xeno-Canto, TÁxeus) are also important for a better understanding of the occurrence and conservation status of mangrove and salt marsh birds.

In some mangrove regions, bird assemblages exhibit zonation, with species even specializing on different mangrove tree species (Noske 1995, 1996; Luther and Greenberg 2009). Since habitat loss may have a differential effect upon distinct mangrove zones, some bird species may be more threatened than others by human activities within or nearby these ecosystems (Magris and Barreto 2010; Polidoro et al. 2010). Therefore, future studies should focus on detecting and documenting fine-scale habitat requirements of exclusive and regular species to better understand niche partitioning and the possible existence of avian zonation in Brazilian mangroves. This could shed light on how different species respond to different human activities and their potential negative effects. Another goal is the implementation of local conservation education programs and citizen science initiatives, with public engagement and the involvement of local communities, which are fundamental to set best practices for the conservation of mangrove and salt marsh birds.

Finally, the creation of new protected areas encompassing mangroves (ICMBio 2018) and salt marshes would be important to conserve birds and other organisms in Brazil. However, even the categorization of mangrove habitats as Areas of Permanent Protection (APP) has been constantly threatened by the current government. In 2020, the National Environment Council (CONAMA) approved the removal of these regulations, which would allow developers to clear large areas of natural habitats for tourism or real state enterprises. Fortunately, the Federal Supreme Court revoked the removal of these regulations following protests from researchers and environmentalists. Mangrove restoration projects are limited to less than

25 isolated attempts, rarely exceeding half a hectare and with high plant mortality rates (Menghini et al. 2018). Furthermore, data on restoration and monitoring remain unpublished or reduced to planting techniques (Rovai et al. 2012). Salt marsh restoration projects are also incipient and limited to small areas (Costa 2011).

Appendix

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Chapter 13

Flagship Species: Manatees as Tools for Mangrove Conservation in Northeast Brazil



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13.1 Introduction

Conservation actions, such as creating a new protected area or reintroducing an endangered species, are the expression of people's desire to preserve the elements of the natural world that they value (Ladle et al. 2011). The continued success of conservation, therefore, depends to a greater or lesser degree on public support (Kareiva and Marvier 2012). Such support is motivated by a range of factors, including the desire to preserve/exploit the economic value of nature, moral concerns about the treatment of sentient animals, or appreciation of nature's aesthetics (reviewed in Newman et al. 2017). Clearly, not all species or landscapes can

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mobilize similar levels of public support or affection, leading conservationists to foreground certain characteristics of biodiversity depending on the conservation outcomes they want to achieve.

Species that are particularly well suited to mobilize public support, raise awareness, and stimulate conservation actions are often referred to as “flagship species” (Heywood 1995; Verissimo et al. 2011). These species often share traits (e.g., large size, charisma, distinct physical appearance), though their choice as focal points for conservation initiatives ultimately depends on the specific conservation objectives (Verissimo et al. 2011). This principle was formalized by Barua et al. (2011) who identified a suite of ecological and cultural traits of flagship species associated with seven different types of conservation action (Table 13.1).

It becomes clear from Table 13.1 that a single flagship species may possess traits that predispose it to be used in several types of conservation strategies. Here, we argue that this is the case of the Antillean manatee (*Trichechus manatus manatus*) in Brazil, a large and charismatic aquatic mammal whose continued existence critically depends on the conservation of the highly threatened mangrove habitats that it uses. In the following sections, we will present a case for the Antillean manatee as a flagship species for mangrove conservation in Brazil, highlighting its symbolic value and the multiple conservation actions that it can support.

13.2 The West Indian Manatee (*Trichechus manatus*): Biogeography, Ecology, and Cultural Value

The West Indian manatee (*Trichechus manatus* Linnaeus 1785) is an aquatic mammal that inhabits tropical and subtropical areas of the Western Atlantic. It occurs in bays, lagoons, and estuaries (Folkens and Reeves 2002), ranging from Rhode Island, in the USA, to Alagoas in Northeastern Brazil (Albuquerque and Marcovaldi 1982) (see Chap. 3, Maps 1–9). Two subspecies of the West Indian manatee are currently recognized: the Florida manatee (*Trichechus manatus latirostris*) and the Antillean manatee (*Trichechus manatus manatus*) (Committee on Taxonomy 2016). The distribution of the latter stretches from the east coast of Mexico and Central America to the northern and northeastern coasts of South America and the Caribbean Sea (Lefebvre et al. 1989).

The distribution of Antillean manatees on the Brazilian coast is highly fragmented, with a particularly large gap between the subpopulation in the north of Alagoas State and south of Pernambuco State and the one in the west of Ceará and Maranhão states (Albuquerque and Marcovaldi 1982; Borobia and Lodi 1992; Luna et al. 2008) (Fig. 13.1). At one time manatees were relatively abundant along the Brazilian coast (Fig. 13.1), ranging as far south as Espírito Santo State (Whitehead 1977). However, a small population size and long periods of isolation have caused low genetic diversity among extant populations (García-Rodríguez et al. 1998; Vianna et al. 2006; Luna et al. 2012).

West Indian manatees are habitat generalists, occurring in lakes, rivers, estuaries, and shallow coastal waters where they feed on a wide variety of submerged, floating

Table 13.1 Criteria for selecting flagship species according to their intended uses

Criteria	Conservation awareness	Fund raising	Promoting ecotourism	Community-based conservation	Promotion of research	Species/habitat protection	Influencing policy
Geographic location	●		●	●		●	
Conservation status	●	●			●	●	●
Umbrella effect					●	●	
Represents other species	●					●	●
Recognizable	●	●					
Easily observed	●	●					
Physical appearance/ special traits	●	●	●				
Positive cultural significance		●		●			●
Traditional knowledge				●			
Economic value				●			
Scientific value					●		
Charisma	●		●				
Existing usage	●						●

Adapted from Barua et al. (2011)

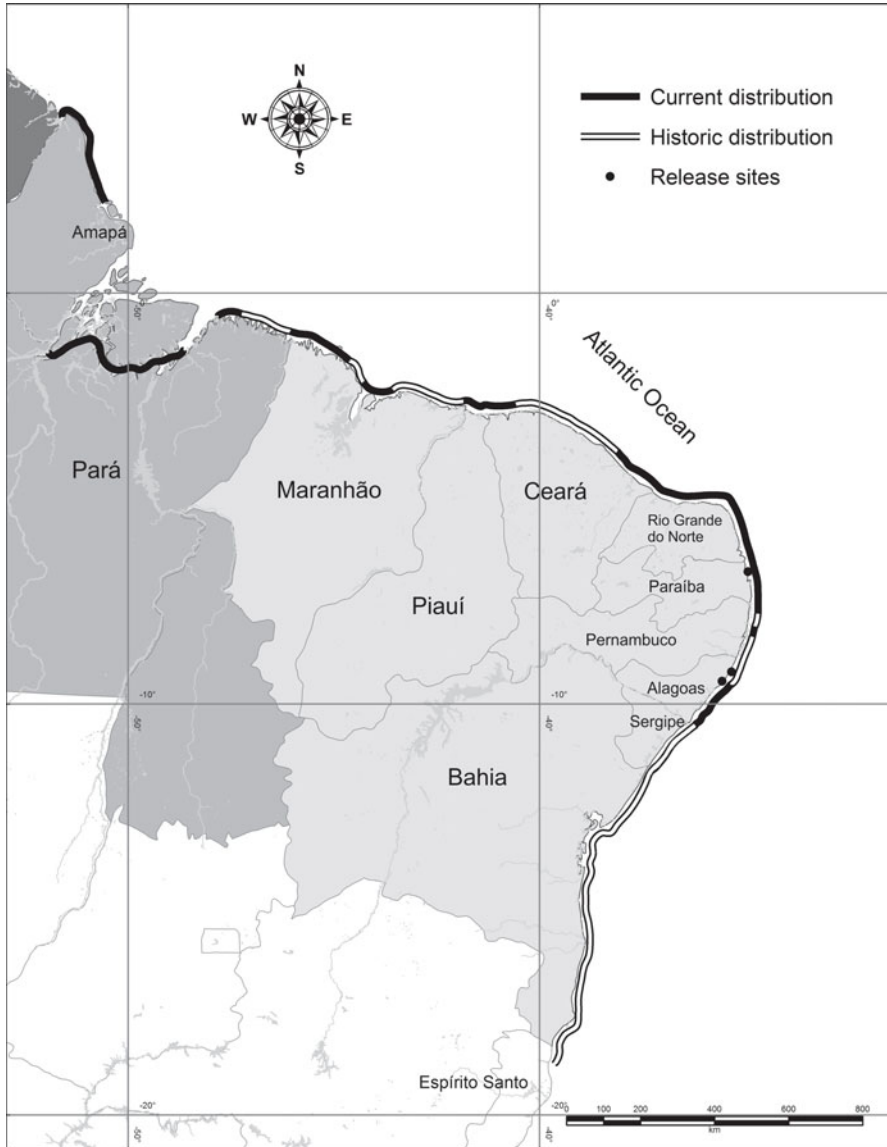


Fig. 13.1 Historical and current distribution of *T. manatus manatus* in Brazil. (Adapted from Normande et al. 2015). See Chap. 3, Maps: 1 Amapá, 2 Pará, 3 Maranhão, 4 Piauí, 5 Ceará, 6 Rio Grande do Norte, 7 Paraíba, 8 Pernambuco, 9 Alagoas, 10 Sergipe, 11 Bahia, and 12 Espírito Santo

and emergent vegetation (Fig. 13.2), including mangrove leaves (Spiegelberger and Ganslosser 2005) and roots (Normande, pers. obs.). Being mainly herbivorous, they need to spend between 6 and 8 h per day foraging (Marsh et al. 2011; Allen et al. 2017). A study conducted in Rio Grande do Norte, Paraíba, and Alagoas states (Borges et al. 2008) identified 17 species of macroalgae consumed by manatees,



Fig. 13.2 Manatees in a natural environment on the Tatuamunha River feeding on mangrove leaves, Porto de Pedras, Alagoas State, Northeast Brazil. (Photo: Clemente Coelho Jr) (see Chap. 3, Map 9)

including red algae, two species of marine phanerogams (i.e., *Halodule wrightii* and *Halophila* sp.), as well as cnidarians. Similarly, on the northern and northeast coasts of Brazil, manatees have been observed to consume the plants *Montrichardia arborescens*, *Spartina brasiliensis*, *Eichornia crassipes*, *Eleocharis* spp., *Crenea maritima*, *Cyperus* spp., and *Blutaparon portulacoides*, and the leaves of mangrove species *Avicennia* spp., *Laguncularia racemosa*, and *Rhizophora mangle* (Borges et al. 2008; Lins et al. 2014). There are even reports of manatees consuming fish, illustrating the opportunistic nature of the diet of this species (Sousa et al. 2013; Meirelles and Carvalho 2016).

As might be expected with such a generalist feeder, feeding preference appears to be very variable in time and space and is related to availability, nutritional value, and palatability of different types of vegetation (Meirelles et al. 2018). Even when mangrove vegetation does not make up a substantial proportion of their diet, manatees still frequently enter mangrove-lined estuaries and bays in search for food and freshwater (Normande et al. 2015). This is confirmed by stable isotope analysis of manatees from the north and northeast of Brazil which indicated that individuals predominantly graze in estuarine and freshwater environments (Ciotti et al. 2014). More generally, there is a large overlap in the historical distribution of mangroves and manatees in Brazil, with the former dominating coastal habitats as far south as Santa Catarina State and the latter being limited to the southern coast of Alagoas State nowadays (Fig. 13.1).

Environmental factors such as water temperature, depth, hydrological cycle, and proximity of freshwater sources can influence sirenian distributions within and between habitats (Irvine 1983; Reid et al. 1991; Oliveira-Gómez and Mellink 2005; Sheppard et al. 2006; Castelblanco-Martínez et al. 2009). In Florida, seasonal

fluctuations in water temperature play an important role in determining habitat use of manatees, since they use warm water sites during winter (Whitehead 1977; Irvine 1983; Reid et al. 1991). However, in tropical and subtropical areas such as northeast Brazil, water temperature shows far less variability and is therefore unlikely to strongly influence manatee habitat use (Deutsch et al. 2003).

Despite more stable water temperatures in the tropics, seasonal migrations have been observed in Antillean manatees in Mexico (Colmenero-Rolón and Hoz-Zavala 1986), Honduras (Rathbun et al. 1983), and Trinidad (Reynolds III and Odell 1991). Reeves et al. (1988) also observed seasonal migrations in African manatees (*Trichechus senegalensis*), and Best (1983) and Arraut et al. (2017) recorded the same behavior in Amazonian manatees (*Trichechus inunguis*). In the latter cases, the populations inhabit freshwater systems far from the coast, and seasonal migration was associated with fluctuations in food availability and habitat accessibility caused by seasonal fluctuations in water level (Deutsch et al. 2003).

Coastal populations of Antillean manatees may show smaller-scale variation in habitat use. For example, Normande et al. (2015) observed more intensive use of estuaries than marine environments using radiotelemetry data from 21 reintroduced manatees in northeast Brazil. This pattern may be related to the increased concentration of freshwater sources in estuaries, though it may also be related to the presence of soft-release (acclimatization) enclosures that the manatees may associate with being fed as released manatees tend to spend some time using the area around the acclimatization facilities (Fig. 13.2). In Alagoas, Pernambuco, and Paraíba states, freshwater sources available to the manatees are mainly concentrated in rivers. This is somewhat different from Ceará and Rio Grande do Norte states, where freshwater springs in the sea floor are more abundant. Consequently, the manatees in the southernmost subpopulation are more dependent on estuaries and mangroves and more frequently observed in these ecosystems.

Mangrove forests provide an abundance of water sources for manatees, from natural springs to runoff from leaves and roots. They are also very effective at protecting the coastline from erosion and trapping sediment (Almeida et al. 2008). This, in turn, prevents the estuaries from the worst effects of sedimentation and ensures a sufficient water depth for manatees to access a large proportion of the habitat. It is also important to note that despite the high abundance of manatee food sources on the shallow inshore reefs (which act as excellent substrates for algae fixation), many of these areas are only accessible during high tides. This limited access may partly explain the relatively low frequency of observation of utilization of reefs in northeast Brazil (Normande et al. 2015). Again, this contrasts with Rio Grande do Norte State, where Paludo and Langguth (2002) noted that manatees predominantly use reefs that are densely colonized by algae.

Mangrove ecosystems may also act as important nursery habitats for manatees. In northeast Brazil, manatee calves have been observed in the estuary of the Maracápe River in Pernambuco (Lima et al. 2005) and the Timonha-Ubatuba complex on the border between the states of Ceará and Piauí (Magnus Machado Severo, pers. comm.) (see Chap. 3, Map 4). The coasts of the neighboring states of Rio Grande do Norte and Ceará are characterized by high rates of neonate stranding (Balensiefer et al. 2017). This is generally attributed to the degraded state of the local estuaries,

with heavily silted rivers restricting the access of pregnant females into the estuaries and forcing them to give birth in the open sea (Meirelles 2008).

13.3 Manatee Conservation: Threats and Actions

The West Indian manatee is classified as vulnerable by IUCN (2019). In Brazil, the situation is more alarming, and the species is considered endangered by the federal government (Luna et al. 2018). The entire Brazilian population has been estimated at only 500 individuals based on questionnaires with fishermen and coastal residents (Lima 1999; Luna 2001). IUCN (2012) suggests that this number may be as low as 200, although they did not provide details on the methods used to estimate population size. It should be noted, however, that despite their large size, manatees are very difficult to survey (as are most marine mammals). Indirect population estimates based on extrapolation of genetic data (Luna et al. 2012) suggest that the Brazilian population of *T. manatus* could have as high as 1,000 individuals.

The most recent direct population estimate of Brazilian manatees was made by aerial surveys and covered more than 1500 km of coast, from the border between the states of Alagoas and Sergipe to the border between the states of Piauí and Maranhão (Alves et al. 2015). This study estimated an average of 1104 individuals along the surveyed coast, although the data is likely to cause an underestimation due to the low detectability of manatees in locations with turbid waters such as estuaries and within mangroves. The highest density of individuals was observed in the estuary complex of Timonha-Ubatuba and Cardoso-Camurupim Rivers, formed by a group of islands with well-preserved estuaries and bays, with five animals found within the estuarine complex (Alves et al. 2015). This result confirms the enormous importance of protected areas for the Brazilian manatee population, especially those that are large enough to protect one or more estuarine complexes in their totality such as the Delta do Parnaíba Environmental Protected Area (Maranhão, Piauí, and Ceará States) and the Costa dos Corais Environmental Protected Area (Pernambuco and Alagoas States) (see Chap. 3, Maps 4 and 8, respectively).

Although there is a lack of baseline data, there are good reasons to believe that the Brazilian manatee population was orders of magnitude larger in the precolonial period. Such large, docile animals were easy targets for predatory hunting and there was a ready market for manatee meat, skin, and oil during the early colonization of the country (ICMBio 2011). Manatee hunting is now almost nonexistent on the northeast coast, although it is still practiced in the north of Brazil where it may account for as much as 86% of recorded mortalities (Luna 2001). Other threats to manatees include accidental death by getting entangled in fishing gear (Parente et al. 2004; Meirelles 2008) or by collisions with motorized boats (Borges et al. 2007).

Habitat loss is also a major threat to extant populations. Loss of mangroves and degradation of estuaries may be especially important since these provide manatees with clean water to drink and calm conditions to feed and reproduce. Mangroves are particularly vulnerable to the deleterious effects of the growth of socioeconomic activities and the disorderly expansion of urban centers, which impose severe changes

on the quality of estuarine waters, bays, lagoons, and coastal lagoons (see Chap. 16). The recent approval of the New Forest Code, Federal Law no. 12,651/2012, may put further pressure on Brazil's mangroves, since the new law allows the use of 35% of mangroves in the northeast for shrimp farming (Rovai et al. 2012; Schaeffer-Novelli et al. 2012). The loss of mangroves is also associated with increased silting and pollution, further reducing the quality of the habitat for manatees (Lima et al. 2011).

Habitat degradation due to the loss of mangroves is currently considered the main threat to the conservation of Antillean manatees in Brazil (Campos et al. 2003). The impact of this degradation can be seen in an increased frequency of stranding of dependent pups. Such strandings are probably caused by females being excluded from traditional nursing grounds within estuaries and giving birth in suboptimal habitats in the open sea. This increases the probability of separation between mother and calf and ultimately leads to the stranding of neonates and juveniles (Lima 1999). As many as 83% of manatee deaths in Ceará State were classified as dependent offspring, with death through entanglement in fishing gear representing only 12.5% of deaths (Meirelles 2008).

In a global context, sirenian conservation initiatives are primarily focused on the creation and implementation of protected areas and on introducing measures to reduce illegal hunting, such as environmental education and inspection activities. The expansion of scientific knowledge on distribution, habitat use, and population parameters is also a priority in national conservation action plans (ICMBio 2011, 2018). A recent expansion of small purpose-built rehabilitation centers for dependent pups (e.g., in Puerto Rico and Belize) is being recorded, with the purpose of rehabilitation and release of rescued individuals. Specialized rehabilitation centers have also been constructed in Brazil, supported by on-call rescue teams that bring in stranded orphaned cubs for treatment and rehabilitation. The federal conservation agency (Instituto Chico Mendes de Conservação da Biodiversidade [ICMBio]) works in partnership with these organizations to release rehabilitated animals into ecologically appropriate locations that are closest to the stranding sites.

In 1980, the Brazilian federal government created the *Peixe-boi* ("Manatee") Project to carry out research and actions that would reduce the threat of extinction to Antillean manatees in the country. Among the many actions carried out over 42 years of the project, two are particularly worthy of note: (i) rescue and rehabilitation of stranded dependent pups, followed by release (and monitoring) in a natural environment, and (ii) extensive environmental education initiatives aimed at reducing intentional hunting (Luna and Passavante 2010).

13.3.1 The Brazilian Antillean Manatee Reintroduction Program

The manatee reintroduction program was initiated in 1994 to connect isolated populations, minimizing inbreeding depression and loss of diversity through genetic

Table 13.2 Criteria used to choose release sites for the Brazilian Antillean Manatee Reintroduction Program

Criterion	Description
Food availability	Seagrass; macroalgae; mangroves
Availability of freshwater	Preserved and accessible rivers and estuaries; sources of fresh water
Logistic support	Unit for the Conservation of aquatic mammals (CMA, in Portuguese); partners
Human occupation	Low motorboat traffic; distance from urban areas
Presence of manatees	Native animals; introduced animals
Protected area	Protected area created; preserved habitat for species
Origin	Puppies stranded region; genetics

Adapted from Lima et al. (2007)

drift and recolonizing parts of the historical distribution of the subspecies (Lima et al. 2007). Release sites were selected at the beginning of the program using criteria based on the availability of food and fresh water, the existence of protected areas, release logistics, and level of human occupation (Table 13.2). From 1994 to 2019, 46 rescued and rehabilitated individuals have been released at three different sites (Fig. 13.1): Paripueira and Porto de Pedras (Fig. 13.3) in Alagoas State and Rio Tinto in Paraíba State, with a success rate of approximately 76% (Normande et al. 2015) (see Chap. 3, Maps 7 and 9).

A total of six reintroduced females gave birth to 13 pups, with one individual “Lua” giving birth to five pups (three alive and two dead) (Attademo et al. 2022). These pups were born and raised in a natural environment assisting in restocking populations. The offspring of reintroduced females were all born in Alagoas, in the estuaries of the Manguaba, Tatuamunha, São Miguel, and Santo Antônio rivers. These sites were used by females for both parturition and parental care (ICMBio, unpublished data) (see Chap. 3, Map 9).

Among the three release sites, only Porto de Pedras did not contain an extant free-living population of manatees; this area is considered a historical occurrence site and is located between two isolated populations (Lima 1999). In this way, the releases at Porto de Pedras (Figs. 13.1 and 13.3) are considered reintroductions, while the releases at Paripueira and Tinto rivers (Fig. 13.1) are examples of reinforcement (sensu IUCN 1998) of native manatee populations.

Before release, rehabilitated manatees received radio transmitters with VHF and satellite technology to monitor their post-release movements. This monitoring aims at assessing the adaptation of individuals to the environment and enabling veterinary interventions in case of debilitated individuals and collecting information on movement and habitat use by released animals. Such information increases scientific knowledge about this subspecies and its ecological relationships, as well as contributes to the evaluation of the effectiveness of the management program for conservation (Lima et al. 2007).



Fig. 13.3 Aerial view of the semi-captivity assembled in the mangrove of Tatuamunha River, Alagoas State. The manatees kept in natural environment are being prepared for reintroduction after a period of adaptation. A small boat called *jangada* used for manatee watching. (Photo: Caio Salles/Projeto Verde Mar)

13.3.2 Community Conservation and Ecotourism

Ecotourism based on manatee watching on the Tatuamunha River near the release site at Porto de Pedras began to develop in the late 1990s. It has been a very successful strategy, promoting conservation and providing opportunities for social inclusion and income generation for local communities (Normande et al. 2015). The first trips were organized informally with local fishermen, who would act as guides and take tourists on their rafts (“jangadas”) to view manatees in sheltered areas of the inshore reef and the mangrove-lined estuary. These guides were native to the municipalities of Porto de Pedras and São Miguel dos Milagres and typically had very basic formal education. With the initiation of the release program (see above), manatees became even easier to locate and there was a growing realization that organized manatee watching represented a substantial source of supplementary income for local communities.

The first trips visited a range of habitats where the manatees had a higher chance to be found and were completely unregulated, with tourists frequently swimming around and feeding and touching the manatees. This led to increasing levels of

habituation to human presence, a potentially negative process that can lead to harmful behaviors such as approaching motorized boats and making individuals more vulnerable to hunting. With the development of the release program and the associated increase in opportunities for manatee viewing, it became apparent that there was an urgent need to develop an educational, participative process to encourage best practices among the ecotourism providers. This was achieved through extensive dialogue with the local community at every step in the process, with the goal of ensuring that the manatee watching was environmentally and economically sustainable, causing the least possible disturbance to the released and wild individuals. It is important to note that not all members of the local community were in favor of the release program, with some fishermen complaining that the increased population of manatees was damaging their nets.

The first steps towards the formalization of manatee watching in Porto de Pedras began in 2006, when technicians of the “Peixe-Boi” Project, under the responsibility of the Brazilian government, began to train the local guides and to formulate a set of normative practices. From 2007 to 2009 there were three training courses for manatee watching guides. Initially, there were 16 participants in the training program, though this number expanded to a fixed number of 20 accredited guides, and other supporting staff, currently operating in the area. Between 2009 and 2010 a formal set of rules and procedures, logistics, training, accreditation, and division of responsibility was established after extensive negotiations between guides, municipal government, and ICMBio, the latter responsible for project management. In 2013, the standards were revised and adapted for publication in the Coral Coast Environmental Protection Area Management Plan, where the release site is inserted.

An important aspect of the development of manatee ecotourism in Porto de Pedras was the creation of the Association of Manatee Watching Tourism Guides in 2009. This organization is responsible for representing guides, marketing, and conducting daily tours (see Fig. 13.4). The association currently provides up to 10 departures and a maximum of 70 people a day all year round. Significantly, it provides substantial livelihood benefits, being the main source of income for more than 50 local families, in addition to developing educational projects, joint community biodiversity monitoring, and assisting ICMBio and other partners in the management and conservation of the manatees, mangroves, and reefs.

13.4 Final Remarks

Based on the criteria suggested by Barua et al. (2011) (Table 13.1), manatees are an ideal flagship species for mangrove conservation in northeast Brazil. First, they are strongly associated with mangrove ecosystems, spending a high proportion of their time in or around estuaries (Normande et al. 2015). Second, manatees can act as an umbrella species, whose protection serves to protect many co-occurring species (Roberge and Angelstam 2004). In northeast Brazil, conserving the mangroves is essential for providing nursing and feeding areas for manatees. Moreover, manatee



Fig. 13.4 *Jangada* during a manatee watching boat trip in Tatuamunha river, Porto de Pedras, Alagoas, Brazil. (Photo: Rafael Munhoz)

conservation also provides a strong justification for conserving the local reefs. Third, the manatee is widely perceived as an endangered species, proving a strong justification for prioritizing the protection of its ecosystem. Fourth, given this species is recognizable, is easily observed, and has unique morphological and behavioral traits, the interactions with manatees produce memorable experiences. Fifth, manatees in northeast Brazil typically have positive cultural associations and, due to their frequent interactions with local fishermen, there is considerable local ecological knowledge within coastal communities. Finally, they have a relevant scientific value, especially in the fields of ecology and animal behavior.

In conclusion, the presence of a large, charismatic marine mammal within the mangrove habitats of northeast Brazil provides a unique opportunity for raising conservation awareness, promoting ecotourism and scientific research, mobilizing conservation funding, and engaging local populations in the conservation of mangroves.

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Part IV
Conservation Strategies

Chapter 14

The Eco-history of Brazilian Mangroves



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14.1 Precolonial Era

About 5000 years ago, in the second half of the Holocene, Brazil's coastline was in a process of stabilization. Through time, rivers and estuaries have reshaped themselves and the same happened to mangroves. Currently, the sea level and, indeed the coastline, is well above the point where it was in the Pleistocene (11,700 years ago) and below the point where it was in the Holocene Climate Optimum (9000–5000 years ago). Nowadays, there is clear evidence that the sea level is rising due to glacier melting and ocean volume expansion, processes that are accelerated by human activities (IPCC 2014). Mangroves, as resilient ecosystems, follow the coastal reconfiguration in tropical and subtropical zones.

At the beginning of the Holocene (11,500 years ago), many Paleolithic societies, archaic societies that lived from collecting, fishing, and hunting, responded to the challenges of natural climate change with the domestication of plants (agriculture) and livestock (pasture), which required profound changes in social structure. Some of those societies evolved to the Neolithic and became sedentary. States and city boundaries as well as their specific administrative conditions did not exist at the time.

In the third millennium Before the Common Era (BCE),¹ some Neolithic societies became more complex, originating structured societies, or civilizations, based on the social division of labor and distinction between city and countryside. In these

¹All years and centuries in this chapter will be expressed according to the Common Era (CE) or Before the Common Era (BCE) standard.

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societies, the production system generated surpluses, leading to the development of a merchant's class. Trade routes reached an extensive range over the globe but did not change the primitive production system. Therefore, in the fifteenth century, there were innumerable Paleolithic and Neolithic societies on almost every continent. From East to West, the main civilizations around the globe were the Sino-Japanese, Hinduist, Islamic, Orthodox Christianist, Roman Christianist (Western), Andean, and Mexican (Toynbee 1986). From all of them, only Western civilizations faced a unique phenomenon throughout the history of humanity: Commercial activity began to influence the current feudal system and created a new market society based on the production of goods. This means that productive structures progressively began to produce goods for profit.

Until then, the Paleolithic, Neolithic, and civilized societies had a balanced relationship with nature. In fact, there were environmental crises produced by human activities, nevertheless always reversible and on a local scale (Toynbee 1978). In order to obtain growing profits, Western civilizations needed to expand their territories to look for raw materials (i.e., commodities) and to search new consumer markets. The first manifestation of these necessities was the Christian Crusades between the eleventh and thirteenth centuries CE, which had an under-cover aim to control maritime commerce in the west, then under the Muslim domain. This attempt failed, and Europeans returned to their geographical limits. The second attempt took place in the fifteenth century, with the Western expansion through the Atlantic Ocean. At that time, mangroves were already known by Antiquity authors, such as Eratosthenes, Megasthenes, Ptolemy, Nearchus, Aristobulus, and Pliny (Schneider 2011). As Portuguese navigators began to explore the West coast of Africa, they have also been in touch with this ecosystem. Ahmad Ibn-Mājid, an Arab navigator who helped Vasco da Gama reach India in 1598, described mangroves as a very dangerous area for navigation (Cliff 2011).

In the ancient Eastern World, there were narratives about amazing marine forests. Greeks already knew mangrove areas from three regions: The Red Sea (known by the ancient Greeks as “Arabic Golf”), the Arabian Sea (commonly known to Graeco-Romans as “Erythraean Sea”), and the Persian Gulf (Schneider 2011). Alexander the Great's party described mangroves in the Red Sea in the travel report to India (328–325 BCE).

14.2 Colonial Era

The Portuguese expedition of Pedro Álvares Cabral to the West Indies, in 1500 CE, reached the Brazilian coastline somewhere in Bahia State (see Chap. 3, Map 11). The caravels anchored, probably, in the mouth of rivers Coroa Vermelha and Mutari. Today, in this same region, a few remnants of the larger mangroves described at that time still exist. Back then, three documents were produced by members of this expedition, introducing Brazil to the Western world. The longest and most detailed one is the letter written by Pero Vaz de Caminha to the king of Portugal. The

experienced pilots already knew mangroves from the African coastline. However, Caminha, who was not a navigator, probably did not know mangroves and briefly mentioned the existence of this ecosystem in Brazil. He wrote about seamen looking for shellfish and only finding “thick and short shrimps.” There is a strong indication that these shrimps were in fact crabs, very common in mangroves (Pereira 1999).

Human groups from lands other than Europe preceded the Europeans in America’s occupation. Whatever the hypothesis to explain the arrival of the first human group to the American continent, the occupation process was slow, adjusting to ecosystem limits, at a different pace when compared to the European’s dominance during colonization. On one side, natives have adapted their lives to the ecosystem’s capacity, while Europeans adapted ecosystems to their interests. Humans have always used mangroves for food collecting and shelter, a long time before Europeans arrived in America (Schaeffer Novelli and Cintrón-Molero 1999). A specialist concluded that intertropical estuaries, where mangroves are found, are rich in mollusks, crustaceans, and fishes, allowing a self-sufficient way of life concerning terrestrial environments (Yesner 1980). That way, a typical mangrove culture was born, configuring this ecosystem as a reliable source for human livelihood (Figue 1993). Evidence from precolonial civilizations is scarce, mainly represented as shell middens (“sambaquis” in Brazilian Portuguese).

Comparisons with the remaining archaic people today and some documentation led us to conclude that the *sambaqui*-building societies had a sacred and ritualized view of nature. Despite this, studies on this subject are still lacking, either because the documentation does not allow conclusions beyond a certain period or because the scientific community is more interested in the tangible aspects of culture (Wiener 1876; Lhering 1903; Hurt and Blasi 1960; Salles Cunha 1965; Duarte 1968; Kneip 1974; Beltrão 1995; Gaspar 2000).

The Western spirit had desacralized the world as globalization expanded. All ecosystems gradually became sources and stocks of commodities in a global economy and, sometimes, areas for disposing waste. In the sixteenth century, this “westernization” of the New World was still crawling, still free from the gears of the global economy. Brazilian mangroves’ first description is authored by the Jesuit Priest José de Anchieta in a letter written in 1560 (Anchieta 1989). Still, in the same century, Pero de Magalhães, another Portuguese, wrote about mangroves, probably referring to the Captaincy of Ilhéus, Bahia State, and the Captaincy of São Vicente, São Paulo State (Gandavo 2008). Magalhães’ book was written between 1558 and 1572. Yet another Portuguese, Gabriel Soares de Sousa, described the mangrove vegetation and how native people called them “sereíba,” pointing it out as good wood for fuel and house and mill construction, besides feeding crabs with their leaves. He mentioned another tree, the “canapaúba,” whose branches twine to the tide level, allowing oyster cultivation. Certainly, he was referring to “siriba,” “siribeira,” or “siriúba” (i.e., the black mangrove genus *Avicennia*) and the red mangrove genus *Rhizophora* (Sousa 1938). In his words, mangroves started to show economic value to the Portuguese.

In the same sixteenth century, there were testimonies from the French André Thévet, who described trees standing between the river’s mouth and the sea, laden

with oysters that fed the Amerindians (Thévet 1944). There was also the Priest Fernão Cardim, who described trees that give excellent firewood and tannin, commonly used in tanning leather. In mangroves, wrote Cardim, live mosquitos, crabs, oysters, black rats, and parrots. The most common crab, according to Cardim, was the swamp ghost crab *Ucides cordatus*, which served as food for natives and slaves. He also described the blue land crab *Cardisoma guanhumi* as a big crab capable of cutting off a human leg. During thunderstorms, these crabs would leave their burrows and become so threatening that it was necessary to shoot them with fire guns. He made a pertinent remark that the blue land crab lived in the mangrove terrestrial margins, while “aratu” (*Aratus pisonii*) lived on trees. The almost 12 species of crabs counted by Cardim were used as food by natives (Cardim 1925). In the last decade of the sixteenth century, the English corsair Anthony Knivet, who was a prisoner of Salvador Correia de Sá in Rio de Janeiro, described that he caught crabs from deep holes in the mud by the seashore. At that time, Guanabara Bay was covered in lush mangroves (Knivet 2007).

The historian Sérgio Buarque de Holanda underpinned that Europeans made use of indigenous technology to handle the unknown ecosystems (Holanda 2014). This remark is very likely valid for mangroves as well. Poor white men and African slaves learned with natives how to collect food in the mangroves. Overall, Europeans described mangroves as strange environments, with no comparison to anything existing in Europe, equaling them to swamps. Just at the end of that century, some reports showed the economic value of mangroves as a source of goods and benefits.

In the seventeenth century, European knowledge about Brazilian nature and native people advanced greatly. In 1614, the French missionary Claude D’Abeville, who served Equinoctial France, a colony established in Maranhão State (see Chap. 3, Map 3), found the mangroves already known by French navigators who went to the Antarctic French in the previous century. In his reports, he cited the unique plants of this ecosystem and called them *apparituriers*, from the Tupi language term *aparahiwa* (“curved tree”). This chronicler presented a detailed description showing the tight relationship between this ecosystem and crustaceans, highlighting *uçá*, *aratu*, and other crabs (Abeville 1975).

Franciscan friar Vicente do Salvador, born in Bahia State, wrote about the history of Brazil in 1627 and described some mollusks and crustaceans living in mangroves (Salvador 1918). But it is only in the Dutch domain in Northeast Brazil, between 1630 and 1654, under the government of John Maurice of Nassau (1637–1644), that we find a more detailed representation of mangroves. Nassau came to Brazil followed by artists and naturalists. Until then, mangroves were exclusively described through words. There is a strong indication that the first pictured representation of the Brazilian mangrove is a red mangrove (*Rhizophora mangle*) painted by Frans Post, in 1638, reproduced by Caspar Barlaeus in his book called *The Dutch Domain* (Fig. 14.1) (Lago 2006).

George Marcgrave, one of the most reputable scientists in Nassau’s court, made a brief description of mangroves in his book *Brazil’s Natural History* (Marcgrave 1942). Europeans surely had the opportunity to see natives consuming mangrove goods. Another naturalist in the court, Guilherme Piso, described plants that were



Fig. 14.1 First depiction of Brazilian mangroves is a red mangrove (*Rhizophora mangle*) painted by Frans Post, in 1638

useful to men in his book from 1648. For example, the salt expelled by the leaves of “siribeira” (*Avicennia* spp.) could be used to salt a soup with two or three leaves. The bark of “cereibuna” (probably *Laguncularia racemosa*) was used by fishermen to weave ropes. The red mangrove reportedly produced propagules that were eaten by crabs, which is illustrated in his book, and its roots were used as medicine for treating poisoning by the spines of the toadfish *Thalassophryne nattereri* (Piso 1957).

Yet in the seventeenth century, a conflict about local mangroves was triggered in Rio de Janeiro between city residents and the Jesuits (Viera Fazenda 1921; Vivaldo Coaracy 1961). The Jesuits seized all mangrove areas, banishing their use by the citizens. The municipal council appealed to the King of Portugal in 1677, under the claim that mangrove wood was widely used for construction, fuelwood, and coal to supply sugar mills at Guanabara Bay (see Chap. 3, Map 13). It was also used to supply wood for ships on their journeys. They adduced that it has never had any restriction, from the state or the church to the use of mangrove trees, and thus, the city has grown with energy and raw materials taken in this ecosystem. No reference has been made about fauna extraction practiced by the common people. The Portuguese crown gave cause to the city residents, arguing that lands washed by tides belonged to the royal government. Then, we can conclude that the seventeenth century consolidated a trend of social dispute for the use of mangrove resources that started in the late sixteenth century.

Mangroves started to be explored not only by indigenous people, poor people, and slaves in an extractive economy but were also used in more government-structured activities that generated social conflicts. This trend accentuated even more in the following centuries. In 1711, Father André João Antonil published *Brazil's Culture and Opulence*, a valuable document about the Brazilian economy and colonial society. Living in Bahia State, he demonstrated the importance of mangroves to the rich and the poor, attesting that the appropriation of this ecosystem started to generate social conflicts. For the rich, mangroves were an essential source of firewood to sugar mills and potteries. For the poor, mangroves mainly provided food that depended on the maintenance of healthy natural habitats. This set up a conflict of interest between rich and poor. Antonil was probably the first author in Brazil to comment that mangrove suppression caused the death or vanishing of animals (Antonil 1976).

Throughout the eighteenth century, the state of Bahia witnessed conflicts of different interests over the mangroves. The government occasionally took the side of mill owners and tanneries and at times the side of fishermen and collectors, as reported in vast official correspondence. At that time, the Portuguese Colonial Empire ranged from Brazil to East Timor, including many sites in Africa, India (Goa, Diu, and Damão), and China (Macao). Malacca, present-day Jakarta (Indonesia), had already been lost to the Dutch.

The most prominent figure of the eighteenth century was, undoubtedly, Sebastião José de Carvalho e Melo, the Marquis of Pombal. He was the State Secretary of the Portuguese Crown during the reign of King Joseph I of Portugal between 1750 and 1777. His project was to unify and strengthen the Portuguese Colonial Empire through several reforms. Among them, he had slavery abolished in the metropolis, although keeping it in the colonies; he granted to all colonies' inhabitants the condition of subjects, including indigenous people, and handled with a strong grip the rebellions in the colonies (Almeida 2011). Mangroves were present in all colonies of the Portuguese Colonial Empire, and the marquis' project could also be understood as indirectly establishing a political unit upon that ecosystem.

In Brazil, an important document about this matter is the Royal License of 1760, which stated that only red mangroves with no bark could be cut down (see Chap. 16). This determination benefited tanneries (Soffiati 2006), as a good example of a public policy that confirmed the market trend on mangrove exploitation. There is a lack of information about whether this policy was also applied to other Portuguese colonies.

The nineteenth century politically began with the opening of ports to friendly nations in 1808, ordered by the Regent Prince John VI of Portugal. This act attended a British demand during the British isolation in the Continental Blockade imposed by Napoleon I of France. This was also the first step towards Brazilian independence, as the Portuguese Colonial Empire's seat was transferred to Rio de Janeiro. The end of the port's monopoly facilitated the entry of naturalists in Brazil. One of them was Maximilian zu Wied-Neuwied, a German noble and naturalist, who promoted a scientific expedition through the Brazilian coastline from Rio de Janeiro to Salvador between 1815 and 1817. In his travel journal, mangroves are barely described, suggesting that this ecosystem was not favorably seen by Europeans,

always excited to know the majestic Amazonian and Atlantic forests. There are strong indications that the repulse to mangrove forests has a European point of view in its roots, as they were compared to Europe's swamps. Nevertheless, Maximilian described Bahia State's coastline as filled with mangroves, from the genera *Conocarpus* (not a true mangrove per se) and *Avicennia*, highlighting that the barks were coveted by the tanning industry that required a large contingent of slaves. This activity suggested a considerable capital investment and high profitability. Like every naturalist of his time, Maximilian classified plants and animals by Carl Linnaeus' binary nomenclature. In that way, species were not seen as single elements but grouped in parentage associations. The author also reported that mangroves from south Bahia State sustained owners of sugar mills and that the fishermen were opposed to mangrove tree extraction for firewood, as they depended on what the standing forest provided them with. This fact was also known by the Portuguese Regent Prince, then in Rio de Janeiro (Wied-Neuwied 1989).

In 1817, Manuel Aires de Casal published the book *Corografia Brasílica* ("Brazilian Chorography" or "Historical-Geographical Relation of the Kingdom of Brazil"), reporting mangroves in a colonial chronicler style but lacking in detail (Aires de Casal 1817). A year before, the English trader John Luccock took note of mangroves when touring Guanabara Bay, Rio de Janeiro State. Luccock reinforced the European trend to consider mangroves as a putrid, malodorous, and infected environment (Luccock 1975). A couple of years later, the French naturalist Augustin de Saint-Hilaire described the Rio de Janeiro coastline and commented on an increase of fishing in mangrove areas; however, the relationship between animal productivity and the presence of mangroves was not clearly established (Saint-Hilaire 1974).

14.3 Postcolonial Era

After the independence from Portugal in 1822, the Brazilian Empire experienced two reigns until the proclamation of the Brazilian Republic in 1889. In the first reign (1822–1831), two German naturalists made a great impact on the European audience about findings in the New World; they were Johann Baptist Ritter von Spix and Carl Friedrich Philipp von Martius. The latter wrote *Flora Brasiliensis*, where he dedicated a whole page to the mangroves of Ubatuba (Fig. 14.2), on the northern coast of São Paulo State (see Chap. 3, Map 14). He has described them as an admirable maritime forest of viviparous trees. Martius also highlighted the unpleasant odors that exude from the pasty and putrid mud. The author distinguished mangrove functioning as we understand nowadays but neglected its importance to surrounding communities; only the economic value for construction, tanning, and medicine was expressed in his work (von Martius 1833).

Another important aspect of this period was the promulgation of the law of November 15, 1831, appointing the marine land (coastal territory) as public

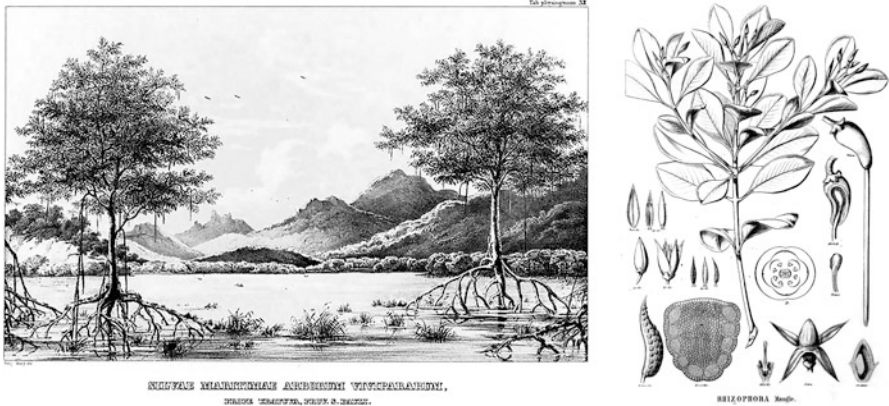


Fig. 14.2 Left: Depiction of the landscape in Ubatuba, São Paulo State, and the mangroves in the foreground. Right: Vegetative structures of *Rhizophora mangle* (from the same author). Both images were extracted from *Flora Brasiliensis* (von Martius 1833)

propriety, encompassing 33 m landward from the line of the average high tide in that year, including mangroves. This law is still in force today.

In the second reign, yet another two Germans who visited Brazil deserve mention. The first is the physician Robert Christian Avé-Lallemant, who traveled from south to north of Brazil. In his book *Journey through Northern Brazil in 1859*, mangroves were mentioned only a few times; in Camamu Bay, Bahia State, the explorer sighted some stretches of the coast completely flat and covered by thriving mangroves. He contributed to the dissemination in Europe of the idea that the tropical environment induces an indolent life. To him, the coastal inhabitants and residents of the mangrove's surroundings were stimulated to laziness because of the easy way to obtain food (Avé-Lallement 1961).

The second German to be mentioned is the naturalist Karl Hermann Konrad Burmeister, who, passing through the Guanabara Bay in 1850, in direction to the mountainous region of Rio de Janeiro and Minas Gerais States, mentioned the existence of mangroves and their crustacean decapod inhabitants (Burmeister 1980).

The Brazilians Francisco Freire Alemão (1961), Pedro Soares Caldeira (1884), and Emílio Joaquim da Silva Maia (1835) had an important contribution to the relevance of mangroves. Maia linked the integrity of mangroves and public health. Caldeira, a journalist, was the most incisive of them all when pointing out the relevance of mangrove ecosystems. He was a self-taught writer who exposed with vehemence the mangroves uprooting in Guanabara Bay, attributing to mangroves the pandemics and the endemic focus of yellow fever. While the Imperial Government looked after the nearby Tijuca Forest, Caldeira advocated for the protection of mangroves because of their importance to the fisherfolk.

Overall, the nineteenth-century naturalists failed in grasping the importance of mangroves. Meanwhile, extensive areas had served the economic pressure, providing timber, tannins, ground for crab and shellfish collection, and fishing. The trend to the destruction of this ecosystem continues throughout the centuries.

14.4 Republic of Brazil to Actual Times

In Republican times (1889 onwards), the voices in defense of mangroves and their sustainable use became more frequent, although not yet listened to by lawmakers and governmental organs. Hermann Lüderwaldt brought to light a robust study on mangroves in Santos, São Paulo State, in 1919. This is likely the first book that considered Brazilian mangroves in a systemic view, going beyond the observations made by Martius a century earlier. Lüderwaldt also noticed the animal exploitation by natives (Lüderwaldt 1919).

In a phytosociology course held by the Brazilian National Museum in Rio de Janeiro, in 1932, the botanist Alberto José de Sampaio did not consider mangroves as a Brazilian ecosystem because of their pantropical distribution (Sampaio 1945). Nevertheless, the scientist Frederico W. Freise addressed the issue in the I (Brazilian) National Congress on Fisheries when the author alerted the scientific community about the importance of mangroves to the fisheries. Freise's understanding of mangrove ecosystems was very similar to the present day's (Freise 1934). However, two prominent scientists from that time, João Moreira da Rocha and Melo Leitão, were very skeptical towards his thesis. The first considered the relation between mangroves and fisheries as merely an abstractedness not worth deeper investigations, while the latter suggested that the cutting of red mangroves for paper production should be subsidized. On the other hand, Freise stressed that mangroves are valuable reservoirs of sea life and stock of tannin for the industry. He proposed that the government could allow logging for industrial usage, maintaining a buffer zone of 40 meters from the riverbanks. So, the conflict between business owners and subsistence used by communities became present also in Academia.

Gerlach (1958) wrote *Die Mangroveregion tropischer Küsten als Lebensraum* with the main goal of describing nematodes. Nevertheless, the author ends up describing a systemic view on the mangrove ecosystems of Cananéia, on the southern coast of São Paulo State. This publication can be considered a milestone in the perception of mangroves as ecosystems.

In the 1970s, mangroves began to be recognized as an ecosystem worldwide. The pioneering work carried out by scientists like Watson, Dansereau, Egler, and Chapman inspired a group of international and Brazilian scientists to dedicate their efforts to investigating this specific tropical environment. In the late 1960s, the notable Lugo, Snaedaker, McNae, Tomlinson, and Walsh, among others, have exceptionally enriched the knowledge about mangrove ecosystems. Gilberto Cintrón has associated himself with Brazilian and foreign scientists, being a link between them and promoting diverse scientific production. Among Brazilians, Lamberti was the first to publish a consistent work on mangroves. In the 1970s, many scientists took an interest in mangroves, highlighting Yara Schaeffer-Novelli. Since then, a vast contribution has been made by several scientists all over the country. Marta Vanucci has also given important contributions in her descriptions of these ecosystems (Vanucci 1999).

Regarding the researcher's political view, most of the studies are dedicated to the description of structure, function, and disturbances (natural and human-induced) in mangroves. A systematic reading of Brazilian papers shows that only one researcher proposed the complete suppression of mangroves, if necessary, but the majority defends their conservation, leaving room for artisanal fisheries, sustainable fauna exploitation, ecotourism, and even apiculture (in the north). There is also strong consensus regarding silviculture, aquaculture, and agriculture, rarely considering ecotourism, apiculture, and traditional exploitation.

Only recently the voice of popular societal classes, living or not near mangroves, started being taken into consideration. Mangroves have been known as an unsanitary environment since colonial times, but this vision has started to change since the 1970s when traditional communities who had their livelihoods intimately related to mangroves started to gain voice and notoriety. These people have an ill-registered history. There are shreds of evidence that poor communities have lived near mangroves since the sixteenth century, practicing extractive economy and incorporating indigenous knowledge on sustainable fishing and catching. Also, the 1970s witnessed crescent attention to ecosystem biodiversity, and this included mangrove ecosystems.

In the 1980s, mangroves and communities living on them were finally "discovered" by the scientific community. Since then, many works have been published, NGOs focusing on those coastal ecosystems have been created, and meetings were held in many cities all over the country. Table 14.1 summarizes the views on mangroves from all periods mentioned above, from precolonial to actuality.

However, traditional communities, collectors, and fishermen decedents from indigenous populations, "caboclos,"² "quilombolas," and women from all over the Brazilian coastline are threatened by the global trade economy. Pushed by the global economy, such mangrove-dependent communities have swapped traditional methods of collecting and fishing for other predatory methods. Many of them found alternative jobs outside this ecosystem-community relationship because of market pressures. There are even some situations in which the subsistence job is related to mangrove suppression, such as in shrimp farms. In urban perimeters, these poor workers, attracted by the promise of good jobs and easy money, migrate to suburbs and sometimes do not even get the promised job. It is common for these migrants to end up in the marginalized spectrum of society, pushed to being homeless or involved in diverse illicit activities (Soffiati 2016).

The more appropriate solution to mangrove protection and the continuity of traditional communities who live on them may be the establishment of new Extractive Reserves, a mechanism figuring in Brazilian Environmental Law, considering that this kind of legally protected reserve is exclusively created and managed by the own community who has evolved intimately connected to the ecosystem's functioning (see Chap. 16).

²*Caboclo* is one of the names given to the mixture of native Brazilians with white Europeans. *Quilombola* is the name given to people who still preserve strong traces of African cultures and live in communities called "quilombo."

Table 14.1 Synthesis of the descriptions about mangrove ecosystems that have influenced the current view on the ecosystem in Brazil, highlighting the period of each description, the nationality of the authors, the location where the description has been developed, and the main view on the mangrove ecosystem regarding each period

Authors	Location	View on mangroves
IV century BCE – Alexander the great travels to India		
Graeco-Roman	Red Sea Arabian Sea Persian Gulf	Mystic forest
XV century CE – First European navigators in Africa		
Portuguese	West Africa	Obstacle to navigation Spread of diseases
XVI century CE – Europeans arrive in the New World (the Americas)		
Portuguese Dutch French	Brazilian coast (BA, RJ, MA)	Obstacle to navigation Food source (fish and others) Source of timber Firewood (mills)
XVII century – Exploitation of New World's natural resources		
Portuguese French Dutch	Brazilian coast (BA, SP, MA)	Culinary Source of tannins Source of timber Social conflicts (church vs commoners) Spread of diseases First depiction
XVIII century CE – Naturalists' descriptions and first regulations		
Portuguese Dutch	Brazilian coast (BA, RJ, SP)	Economic relevance Social conflicts (millers vs commoners) Law: Mangrove bark
XIX century CE – Naturalists' descriptions and new trends of exploitation		
Portuguese Dutch French German	Brazilian coast (BA, SP, MA, RJ)	Published: <i>Flora Brasiliensis</i> (Ubatuba) Law: Marine terrains are public Mangrove suppression in RJ: Social conflicts and public health
XX century CE – Science		
Scientific community	Worldwide	Mangrove relevance as ecosystem Published: <i>Manguesas de Santos</i> Dignity of “mud people” despite impoverishment Globalization and growing threats

BA Bahia, RJ Rio de Janeiro, MA Maranhão, SP São Paulo.

14.5 Final Remarks

Throughout Brazilian history, since European colonization, the relationship between human societies and mangroves has been marked by conflicts. On the one hand, we have seafood collectors and fishermen who depend directly on the ecosystem goods and services in their natural condition. On the other hand, many economic interests are related to tanneries, agriculture, aquaculture, and urbanization that use mangrove

territories to reproduce market interests through exploration and predatory methods. To summarize the Brazilian mangrove protection actions, it was only in 1884 when Pedro Soares Caldeira assumed an explicit defense of this ecosystem. And more recently, in the 1970s, scientists became more interested in understanding the complexity of the mangrove ecological process and its relationship with human activities. The production of scientific knowledge leads to a change in the popular sense to value mangroves as a biologically and culturally rich ecosystem. However, it still is a threatened ecosystem in Brazil and is surrounded by different social and economic interests. Mangrove protected areas should be a priority to governments, and societies should consider better the consequences of economic growth and its externalities (impacts) on the ecosystem to determine how it is going to be written in the future chapters of mangrove ecological history and the biological and social legacy to the next generations.

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Chapter 15

Sociocultural Valuation of Mangroves: Subsidies for Public Policies Towards the Conservation of Brazilian Coastal Wetlands



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15.1 Introduction

Mangroves are rich, diverse, and complex ecosystems at the interface between terrestrial, estuarine, and marine systems in coastal zones present in the tropical and subtropical regions of 123 countries (Barbier et al. 1997; Spalding et al. 2010). These ecosystems provide at least US\$ 1.6 billion each year in ecosystem services, supporting coastal livelihoods of communities with raw materials and food, coastal protection, soil erosion control, water purification, maintenance of fisheries, carbon sequestration, and recreation, education, and research possibilities (Costanza et al. 1997; Barbier et al. 2011). Some worldwide assessments have considered mangroves as a subset of other coastal ecosystems in the economic evaluations of ecosystem services (ES). However, the contribution of mangrove ecosystems to the aggregate economic value is often hard to disentangle. The possible pitfall in

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such large-scale studies is that there is considerable overlap with several other ecosystem types, possibly leading to double counting. For instance, mangroves are either combined with tidal marshes (wetlands) in Costanza et al. (2014) or divided into “tropical forests,” “coastal systems,” and “coastal wetlands” in de Groot et al. (2012).

In South America, mangroves have been exploited by society for thousands of years, but it has been in the last 400 years when a systematic transformation of these wetlands has taken place (López-Angarita et al. 2016). The mangroves that we now see are far different from the original ones, being in general younger and less structured and biodiverse (Valiela et al. 2001). In fact, this is a long story of interaction, marked by profound transformation over the last few centuries due to the shift from pre-Columbian to colonial management. Since 1990, despite the increasingly positive attitude towards mangroves and their inclusion in protected areas and conservation policies, mangrove cover has continued to decline due to expanding human activities (e.g., agriculture, aquaculture, and coastal development), even in the presence of laws prohibiting their removal (López-Angarita et al. 2016). Remnant mangroves are severely threatened, with up to 40% of the mangrove plant species being susceptible to extinction in some regions (Polidoro et al. 2010). This loss and degradation may seriously undermine the ability of mangroves to provide valuable ES for present and future generations (Feller et al. 2010). Alongi (2002) predicted that in the 25 years following his study, shrimp aquaculture, together with overfishing and other intensive practices, would be the greatest threats to mangrove conservation. Duke et al. (2007) reinforced this point of view, setting out a very bleak prospect for one of the world’s greatest providers of biodiversity and ecosystem services at local and global levels. Stemming this loss is urgent and requires better management of intact and damaged mangrove ecosystems, including restoration efforts. It also calls for systematic assessments of current “stocks” and “flows” of ES to ensure the sustainable use of these resources (Bateman et al. 2013). Since mangroves have not received their due share of conservation, these ecosystems have been greatly reduced and fragmented over the last decades due to excessive exploitation and “human development” (Giri et al. 2011).

Despite the cultural, ecological, and economic importance of mangroves and legislation designed to protect this frontier, land-to-sea transitional ecosystems worldwide, these forests are in serious decline. Over the last 20 years, mangroves have suffered degradation and an annual loss of between 0.16% and 0.39% due to rapid coastal development (Hamilton and Casey 2016). Extensive loss has left degraded and highly fragmented mangroves in many parts of the world (Giri et al. 2011; Hamilton and Casey 2016). These fragments may have limited potential to deliver services in the future (Barbier et al. 2011; Lee et al. 2014).

Brazil is one of the countries that has been severely affected by shrimp farming, which represents the greatest threat to the country’s mangrove conservation (Queiroz et al. 2013a). During the last 40 years, industrial shrimp farming in Brazil has experienced intense growth. The first shrimp culture experiments were carried out at the beginning of the 1970s, but they have failed due to technical problems and a lack of appropriate knowledge. At the end of the 1990s, the activity expanded

rapidly, and shrimp farming became a relevant export industry, due to government assistance, public-bank financing, university technical collaboration, and legislative permissiveness. The vertiginous growth of the industry has been accompanied by a profound transformation in natural resources, causing the degradation of mangroves. Behind the numbers and high shrimp-production rates for export lies a context of conversion of extensive coastal areas (fragile and fundamental environmental systems like wetlands and mangroves) into production zones (shrimp farms), generating social, economic, and environmental impacts (Barbier and Strand 1998; Rönnbäck 1999; Polidoro et al. 2010). In the present chapter, we will present a brief description of how the ecosystem services have been evaluated under the economy's lenses, what is the relevance of cultural and non-tangible ecosystem services, and how they have been managed in Brazil. The chapter also discusses the noncompatibility of the industrial exploitation of mangroves (especially by the shrimp farm industry) with the maintenance of the local economy and biodiversity.

15.2 Ecosystem Services: The Sociocultural Approach

Over the past few decades, increasing efforts addressed the topic of the link between ecosystems and human well-being. Gradually, humans began to perceive (and forcefully face) that changes imposed on nature by their activities provoke effects, direct or indirect, on all components of well-being. From these perceptions, the concept of ecosystem services (ES) arose, aiming at bringing to light the relationships between people and nature embedded in daily life and to mobilize environmental conservation and management. ES have been defined as the direct and indirect contributions of ecosystems to human well-being: in synthesis, ES are benefits people obtained from nature, directly enjoyed, consumed, or used (Paoli et al. 2017). From this original definition, the concept has been later applied and interpreted in multiple and often contested ways and raised several significant questions of scientific and ethical nature (Jax et al. 2013).

Ecosystem services are essential for human well-being, but the links between ecosystem services and human well-being are complex, diverse, context-dependent, and complicated by the need to consider different spatial and temporal scales to assess them properly (Paoli et al. 2017). Human society has and will always be faced with the decision of how to manage ecosystems for sustainability. This is also true for the mangrove ecosystem that has often been converted to alternate use, based solely on economic consideration by policymakers (James et al. 2013). One main reason for mangrove deforestation is that wetlands throughout the world are still considered to have little or no value, or even sometimes to have a negative value (Mitsch and Gosselink 1993; López-Angarita et al. 2016). Probably the main problem in this sense is a lack of appreciation of the multiple functions of the ecosystem and associated services (James et al. 2013; Arias-González et al. 2017). Several services have been estimated for mangroves (see Table 15.1), being those related with direct economic benefits or with biogeochemical cycles – these counting

Table 15.1 Ecosystem services provided by mangroves identified in the literature review and by Cumbe community informants

Services	Characterization
Regulation/production of gases	Regulation of atmospheric chemical composition: SO ₂ levels, CO ₂ /O ₂ balance.
Climate regulation	Global temperature, precipitation, and biological processes that mediate local and global climatic phenomena (greenhouse effect).
Water supply	Water storage and retention (aquifer and reservoir dynamics).
Coastal protection against extremes	Buffering of ecosystem responses associated with environmental fluctuations (protection against storms, control of fine sediment production, and controlled environmental variability by vegetation structure).
Hydrological regulation	Regulation of hydrological flows integrated with watersheds (water for agricultural and industrial activities; transportation of people, food, etc.).
Erosion control and sediment retention	Soil conservation within the ecosystem (prevention of slides and other processes of material removal).
Soil formation	Soil formation process (weathering of rocks and accumulation of organic material).
Nutrient cycling	Storage, internal recycling, processing, and acquisition of nutrients (fixation of N, P, and other elements of the nutrient cycle).
Material and energy dissipation	Recuperation, removal, and control of excess nutrients and organic compounds (control of contaminants).
Pollination	Movement of gametes for population reproduction.
Biological control	Regulation of trophic dynamic of populations.
Biodiversity regulation	Biological interactions between organisms and with abiotic components of ecosystems.
Refuge	Habitat for resident and migratory populations (stopover, nursery, and feeding areas for migratory birds).
Food production	Part of gross primary production transformed into food (fish, mollusks, crustaceans, and subsistence of activities).
Primary production	Part of gross primary production transformed into raw materials (lumber, fuel, and forage).
Genetic resources	Production of materials and biological products for medicine, scientific materials, acquisition of genes resistant to pests, and ornamental species.
Recreation/tourism	Carrying out leisure activities (fishing, boat cruises meals with family and friends, games, etc.) and opportunities for various tourist activities.
Aesthetics	Mangroves as part of the coastal scenery.
Inspiration for culture and art	Mangroves are the motive and inspiration for artistic creations.
Spiritual	Many fisherfolk and indigenous communities recognize mangroves as sacred.
Maintenance of traditional ecological knowledge	In mangroves, traditional activities are carried out, which are important for the maintenance of autochthonic and ancestral knowledge.

(continued)

Table 15.1 (continued)

Services	Characterization
Science and environmental education	Important spaces for the development of scientific research and environmental education actions.
Creation and maintenance of social relationships	In mangroves, interpersonal relations are built and/or strengthened with people from the same community, neighboring communities, and visitors.
Personal satisfaction	The relation with mangroves generates sentiments of personal satisfaction for the communities, such as strength to live, richness (not from a monetary point of view), pride, and liberty.
Mental and physical relaxation	Using mangroves for resting, reflection, and/or physical activities for mental well-being and relaxation, functioning as therapy.

The results were obtained in the Cumbe community, with community participation in the definition of ecological services and compared with the scientific literature (Queiroz et al. 2017)

Modified from Queiroz et al. (2017)

Sources: Schaeffer-Novelli (1989), Barbier et al. (1997), Costanza et al. (1997), De Groot et al. (2002), MEA (2005a, b), McLeod and Salm (2006), Rivera and Cortés (2007), Kumar (2010), De Groot et al. (2010), Meireles and Campos (2010), and Fransan-Sanchez (2019)

with much more accurate calculations in literature (Saenger 1999; Walters et al. 2008; Hussain and Badola 2010; Warren-Rhodes et al. 2011).

Mangroves, however, also provide cultural ecosystem services that are defined by MEA (2005a) as “non-material benefits that people obtain from ecosystems through spiritual enrichment, cognitive development, reflection, recreation, and aesthetic experiences.” This approach defines cultural ES as the interactions between environmental spaces (i.e., physical settings such as coasts, woodlands, allotments) and the cultural or recreational practices that take place within them. This places cultural ES in a geographic or site-specific context. In this framework, cultural benefits (in terms of experiences), identities, and capabilities are seen to arise from the mutually reinforcing relationships between the environment and the cultural practices (Fish et al. 2016). Thus, most of these services operate outside the market system and are integrally linked to the way of life, traditions, and other community-specific values (NRC 2004). Even though the cultural dimensions of well-being are multifaceted and complex (Russell et al. 2013), many studies highlight the importance of considering the cultural benefits of the environment to human well-being in environmental decision-making (e.g., Satz et al. 2013; Fish and Church 2014). This approach presents some of the most compelling reasons for ecosystem conservation; these benefits are considered a fundamental component of all current ES frameworks (Chan et al. 2011). Neglecting cultural services provided by ecosystems excludes considerations that often matter to vulnerable and otherwise underrepresented communities (Satz et al. 2013; Queiroz et al. 2017). It is thus of fundamental importance to understand how people perceive mangroves and to use this vision as another essential element in making such social-ecological systems sustainable in the long-term perspective (Kittinger et al. 2012; Gould et al. 2014; Queiroz et al. 2017).

Despite the abovementioned, cultural ES remains poorly understood as they are commonly subjective and have multifaceted and complex dimensions (Russell et al. 2013). Much of the coastal wetland valuation literature is focused on economic value, the social and cultural values not being directly ascribable to the ecological or the economic domain (Chiesura and De Groot 2003). The complexity of the perception of landscape and well-being by the community should be considered in the ecosystem service quantification, even if the quantitative tools used are new (Queiroz et al. 2017). A rigorous application of methods to quantify noneconomic values of mangroves is still lacking (James et al. 2013; Thiagarajah et al. 2015; Hsieh et al. 2015). Besides, decision-making processes should not neglect the experience of local communities (Raheem et al. 2012; Peres et al. 2016). In this sense, the concept of cultural ES offers a powerful way of conveying that natural systems underpin a range of benefits for the people (Fish and Church 2014). This approach presents some of the most compelling reasons for ecosystem conservation being considered a fundamental component of all current ES frameworks (Chan et al. 2011). However, there is no doubt that this social value of coastal wetlands is seldom captured by policy- and decision-making actors (Turner et al. 2000).

15.3 Calculating the Importance of Local Economy: The Fisheries Example

Another problem to be faced towards mangrove sustainability is the understanding of the real impact of local economies in mangrove ecosystems and the surrounding areas. How important are, for example, artisanal fisheries in the local context? Can we reliably calculate it? Small-scale fishing (SSF), significant in mangrove areas, is a highly productive sector accounting for more than 50% of the world's annual fish catch (FAO 2017). Concerning local communities, SSF plays a dynamic and diversified economic role, is typically respectful of local natural resources, and seeks sustainable habitat exploitation. They incorporate the values and traditions of the areas where they happen, favoring cohesive social processes that contribute to global cultural enrichment (FAO 2017). Although their importance has been demonstrated, artisanal fisheries are disappearing in many places (Tesfamichael et al. 2014). This is especially evident in coastal areas near large urban areas, where ca. 50% of the human population lives (Small and Nicholls 2003). Strategies for managing and recognizing the importance of artisanal fisheries are still very weak, once information is scarce or even nonexistent (Salas et al. 2007).

The local economies of traditional communities obey their logic but are nevertheless relevant to the capitalist societies in which they operate. Many authors stress that traditional communities are important agents in nature conservation (e.g., Saenger 1999; Rönnbäck et al. 2007), and so it is with mangroves and traditional communities. This reflects another important aspect of traditional cultures, which is an approach to natural resource management that is marked by respect for the

system's natural cycles and exploitation that considers the capacity of animal and plant species for recuperation (Hussain and Badola 2010). Monocultures such as shrimp aquaculture have led to relegation of the traditional economy to a second and third level compared to the alleged progress associated with intensive shrimp farming (López-Angarita et al. 2016). In Brazil, thousands of families survive on artisanal fishing, but little is known about how and how much they contribute to the economic sustainability of local populations (Diele et al. 2005; Aburto-Oropeza et al. 2008; Walters et al. 2008; Hussain and Badola 2010). Data on artisanal fisheries are sparse, incomplete, and biased, and their position within a country's economic and social framework being very difficult to ascertain (Hussain and Badola 2010; Hellebrandt et al. 2014). That is the main reason for the invisibility of this economic sector.

Brazil is now facing a complicated fisheries scenario, in which biodiversity and renewable resources are threatened by the lack of appropriate management policies (Amaral and Jablonski 2005; Pinheiro et al. 2015). Some efforts to recognize the economic and social role of artisanal fishing have been made, including the creation of the Fisheries and Aquaculture Especial Secretariat (SEAP) in 2003. SEAP's main objective was establishing sustainable measures for exploring marine and fluvial renewable resources. Despite the measures adopted since 2000, efforts have not been regular, and the work has not yielded an ordered and effective body of data, especially for artisanal fisheries (Dias-Neto and Dias 2015). Data on Brazil's artisanal fisheries show that the sector is responsible for ca. 65% of the country's seafood production, employing 957,000 people (99.2% of the officially registered fishermen in the country) (IBAMA 2007; MPA 2012). Precise and reliable (comparable) data are, however, almost nonexistent, and understanding the current importance of this sector requires semiquantitative tools.

In fact, there are very few studies based on direct monitoring using economic and social tools, but there is a consensus that they are urgently needed to understand the potential loss of tangible and nontangible ES (Saenger 1999; Queiroz et al. 2017). Coastal communities are economically dependent on artisanal fishing (Kuhl and Sheridan 2009; Hussain and Badola 2010), but it is important to highlight that fishermen's know-how and practices are essential in any attempt to preserve the ecosystem because they are the people who best understand the seasonal cycles of renewable resources and the system's carrying capacity (Yates and Schoeman 2014). However, most of the time their voice is disregarded in top-down management and strategies (Saenger 1999). Mangroves are fundamental to the way of life of traditional communities (Diegues and Arruda 2001; Kuhl and Sheridan 2009; Hussain and Badola 2010; Queiroz et al. 2017), but the economy generated by local people working on the place (e.g., artisanal fisheries) is almost invisible in the official statistics (Queiroz et al. 2020). Artisanal fishing in Latin America is mostly maintained by the efforts of fishermen rather than through the support of official bodies (Acosta 1996). This is a low-investment economic sector that generates a variety of activities while producing food for local and regional markets. One of the few studies that include an in-depth economic evaluation of artisanal fisheries (and other services) is the one by Hussain and Badola (2010). The authors calculated that

in areas surrounded by mangroves, income may be as high as US\$ 44 per work hour. In areas where mangroves are not present, the rate drops to US\$ 3 per hour. Nonetheless, care needs to be taken when considering these numbers, as each community is different, and the renewable resources may differ widely.

15.4 Exploitation of Mangroves for Aquaculture and Other Monocultures

Human society has and will always be faced with the decision of how to manage ecosystems for sustainability. This is also true for the mangrove ecosystem that has often been converted to human use, based solely on economic consideration by policymakers (James et al. 2013). People tend to forget that mangrove ecosystem conservation deserves special attention because of the number of people living within 10 km of significant mangrove areas, estimated to be 120 million by 2015 globally (UNEP 2014). The bulk of this population resides in developing countries in Latin America, Asia, and West and East Africa and is significantly dependent on mangrove resources for daily sustenance and livelihood.

Approximately 26% of mangrove forests worldwide are degraded due to overexploitation for fuelwood and timber production (Valiela et al. 2001). On the other hand, 38% of degraded mangrove areas are attributed to the conversion to industrial shrimp aquaculture (Ellison 2008), which makes this industry one of the most important causes for mangrove degradation and suppression (FAO 2010). In Brazil, the shrimp industry is considered the greatest threat to mangrove conservation (Queiroz et al. 2013a).

The shrimp industry argued that its expansion in tropical and subtropical areas would increase the supply of food, decrease the pressure on fish stocks, increase foreign exchange earnings, and provide food for countries in need, developing the policy idea of the “Blue Revolution” (Costa-Pierce 2002). Shrimp aquaculture has emerged as a major cause of the destruction of mangroves, coastal landscapes, and the transformation of livelihoods in areas where there has been intensive development (Barbier and Strand 1998; Rönnbäck 1999; Alongi 2002; Shanahan et al. 2003; Polidoro et al. 2010; Queiroz et al. 2013b; Queiroz 2014). The results on mangrove and adjacent ecosystems seem to be linked to poverty, food insecurity, displacement of communities, and pollution of drinking water, as well as poor conditions and impacts on the health of workers in the shrimp industry itself (Bailey 1988; Beveridge et al. 1994; Flaherty and Karnjanakesorn 1995; Dewalt et al. 1996; Stonich et al. 1997; Stanley 1998; Kautsky et al. 2000). This industry favors the destruction of habitats formerly used for artisanal fishery by reducing the possibility to perform extractive subsistence activities, endangering food security, and transforming the dynamics of life in traditional communities (EMBRAPA 2004; IBAMA 2005; Meireles et al. 2007; Meireles and Queiroz 2010; Warren-Rhodes et al. 2011; Montserrat 2011; Montserrat et al. 2011; Queiroz 2014).

Traditional cultures develop a small-scale commodity mode of production, opposite from the capitalist mode of shrimp production. For example, in the case of industrial aquaculture, the workforce but also nature itself becomes a commodity, transforming the broad perception of the mangrove habitat. These two societies (industrial and artisanal) have different rationales, presenting a set of social goals, which are consciously and differently developed to achieve a very distant set of objectives. One mode of production is oriented for monetary profit (aquaculture), where traditional collective solidarity disappears and therefore natural resources are degraded. The other (artisanal fisheries/collection) still belongs to a society whose goal is the maintenance of that collective solidarity and not the accumulation of assets and income. In this way of life, the natural resources on which they depend upon are preserved (Godelier 1984). Therefore, between these two types of society, there is a fundamental difference in the conception and representation of nature and its resources.

In Brazil, the average productivity of farmed shrimp reached 6084 kg/ha/year in 2003 (ABCC 2004; Rocha et al. 2004). Dote Sá (2010) established the average productivity of 12,194 kg/ha/year for shrimp farming developed in the environment of Jaguaribe River (Ceará State) which is higher than that of any Brazilian state, including Ceará itself (7676 kg/ha/year) (ABCC 2004; Rocha et al. 2004) (see Chap. 3, Map 5). If shrimp are sold at 2.34 EUR/kg, the economic profit generated from the commercialization of shrimp would be about 28,533 EUR/ha/year. When comparing this value with the economic gains generated by mangroves per hectare at 7120 EUR/ha/year, it is possible to conclude that in the very short term, the shrimp industry seems very appealing. However, part of the mangrove is deeply affected by this type of activity (see below), and the distribution of benefits is much lower among the community. Profits generated per hectare of shrimp farm are difficult to match by the economic values that a hectare of mangrove can provide, being apparently superior. It is widely recognized that shrimp aquaculture generates medium-term environmental damage of high importance because they must physically occupy and displace part of the natural resources of an area. This process of occupation and installation produces a range of biochemical changes in the ground, causing soil waterproofing and making them unusable (Alongi 2002; Shanahan et al. 2003; IBAMA 2005; Rivera-Ferre 2009; Polidoro et al. 2010; Queiroz et al. 2013a).

Many reasons make shrimp overexploitation and mangrove conservation noncompatible. For example, it is well known that mangroves are breeding grounds for many commercially important fish species (Robertson and Duke 1990). Wild shrimp spend a considerable amount of their life cycle within estuaries. The estuarine habitat provides nutrient-rich waters, and the mangrove rhizosphere provides shelter from predators. Any disturbance to this ecosystem by mangrove conversion results in a smaller fish population and lower incomes for fisherfolks and the health of the ecosystem (Spaninks and Van Beukering 1997). Several studies estimated that nearly 80% of fish catches in tropical coastal areas are directly or indirectly related to mangrove health (Costanza et al. 1997; Field et al. 1998; Sathirathai 2003; Ellison 2008; Polidoro et al. 2010). If we consider mangrove ES that gives economic benefit, it can be argued that their economic value would be estimated at ca. 10,000 EUR/ha/

year (Costanza et al. 1997). The lack of ownership and clear land-use policies, as well as the underestimation of other services nonvisible with conventional tools, has made mangroves vulnerable to an economy based on short-term economic growth. This is a common fact not only in this case but in many others where the opinion of traditional communities is not taken into consideration (Yates and Schoeman 2014).

Predatory practices, especially those related to achieving high productivity per hectare, have been widely adopted by aquaculture ventures and have generated social and environmental impacts with disastrous results, widely studied in Brazil (IBAMA 2005; EMBRAPA 2004; Queiroz et al. 2013a; Queiroz 2014; Lacerda et al. 2021). The search for high productivity in this context is revealing the true essence of the shrimp industry. While shrimp farms achieve temporary high productivity, they generate impacts such as the inevitable release of exotic species – in Brazil shrimp farming is based on exotic species (Lacerda et al. 2021) – competition with native species, and release of untreated effluents into water bodies, thus causing the decline of local species' stocks and making artisanal fishing unfeasible, thus causing the impoverishment of communities and jeopardizing their livelihoods.

In this context, the shrimp industry, behind the high productivity figures reported from its marketing, hides an unsustainable practice revealed when examined from the socio-ecological point of view. The development of this activity involves outsourcing high costs to society and the environment – disease, pollution, and poverty – while a minority appropriates the profits obtained, thus establishing a serious ecological and environmental conflict and reproducing environmental injustice (Martínez-Alier 2007; Acselrad et al. 2009; Meireles and Queiroz 2010). Furthermore, they lie about their own productivity when numbers demonstrate a decrease in terms of productivity and benefits. Queiroz et al. (2013a) showed that the official numbers presented by the shrimp aquaculture in the state of Ceará were virtually impossible, because the productivity repeated in different years the same number, rounded to the higher during several years. The opacity of the data given by this industry makes its practice not only difficult but also questionable.

Another point to consider is that this type of activity is favoring exclusively small societal groups at the expense of the impoverishment of traditional communities, reflecting a model characterized by the concentration of power and the appropriation of spaces and natural resources – that is the basis of environmental injustice. Traditional communities are led to territorial exclusion and insecurity caused by the impossibility of continuing traditional practices (Shanahan et al. 2003; C-CONDEM 2007; Montserrat 2011; Montserrat et al. 2011).

15.5 The Coast of Ceará State as Case Study

The shrimp aquaculture industry in NE Brazilian mangroves (e.g., Ceará State) developed upon *apicuns* (i.e., salt flats), drastically reducing fluvial and coastal mangrove forests (Table 15.2 and Figs. 15.1 and 15.2). Data shows that the shrimp industry expansion is the main driver for mangrove regression in this region



Fig. 15.1 Estuaries of mangrove occurrence in the state of Ceará listed in Table 15.2. (Source: research database)

(Fig. 15.3). Thiers et al. (2017) found a discrepancy between official data and the data acquired through diverse methods and algorithms used to analyze the system's transformation. In fact, such changes deeply affected many traditional communities socially and economically, such as the Quilombo do Cumbe (Aracati, CE), increasing land ownership conflicts (Leroy and Meireles 2013). The quality of life of shrimp aquaculture workers is another worrisome aspect of this industry, including poor work conditions. In some cases, human rights violations have taken place (Meireles and Queiroz 2010; Queiroz 2014) (Fig. 15.3).

The Quilombo do Cumbe (Fig. 15.2) community has been practicing their own traditional management of mangroves on which their livelihoods depend; this makes this community an interesting case study. The research by Queiroz (2014) and Queiroz et al. (2017) identified and characterized the value of mangrove ES based on both the existent literature and the community perception and analyzed how these ES are embedded into the community's livelihood.

The abovementioned community has 621 inhabitants, whose livelihoods directly depend on mangroves. Their main activities are fishing, gathering shellfish (gleaning) and collecting crabs, developing a natural resources management system through a close relationship to natural cycles, and bordering a somewhat complicity

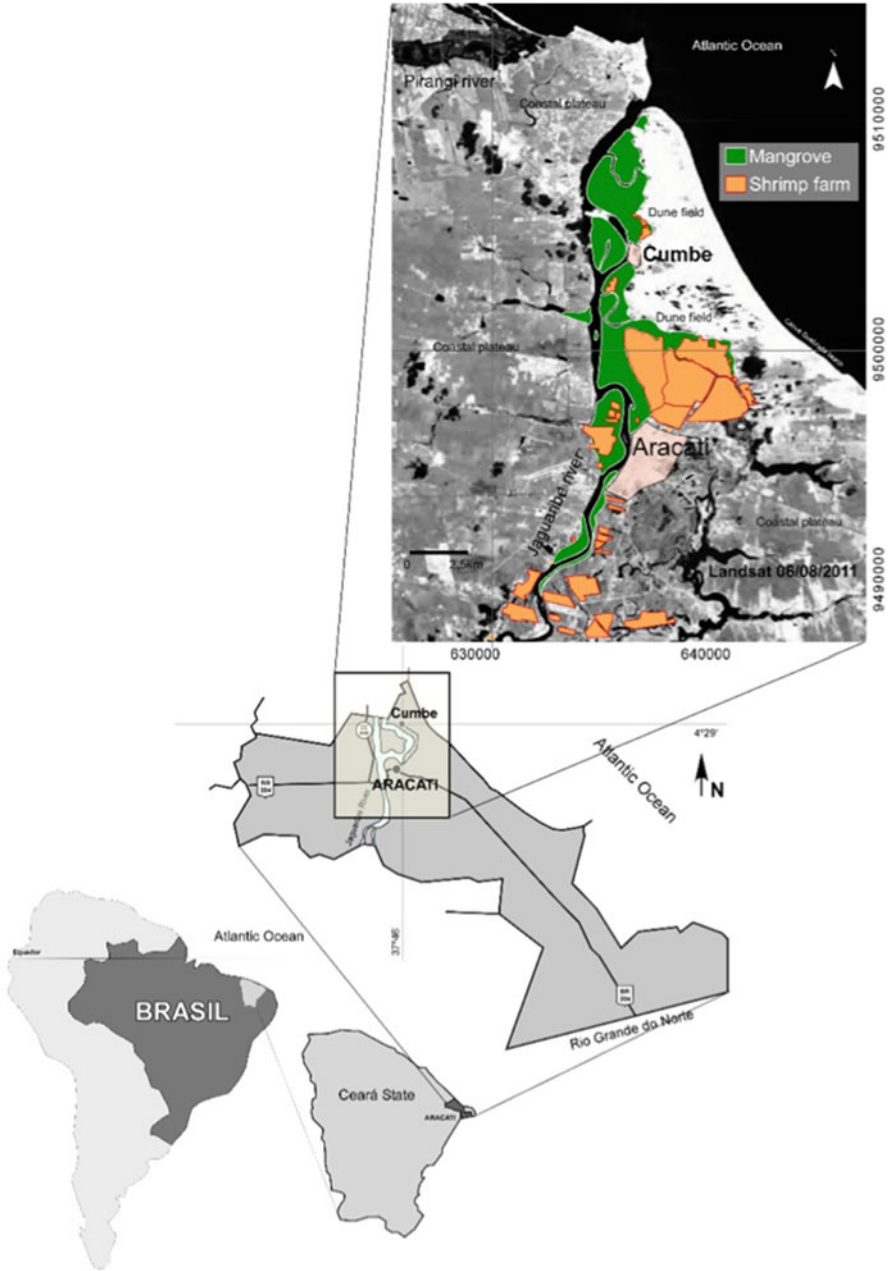


Fig. 15.2 Location of the Quilombo do Cumbe, Ceará State, Brazil. Green, mangrove forest; orange, shrimp aquaculture ponds

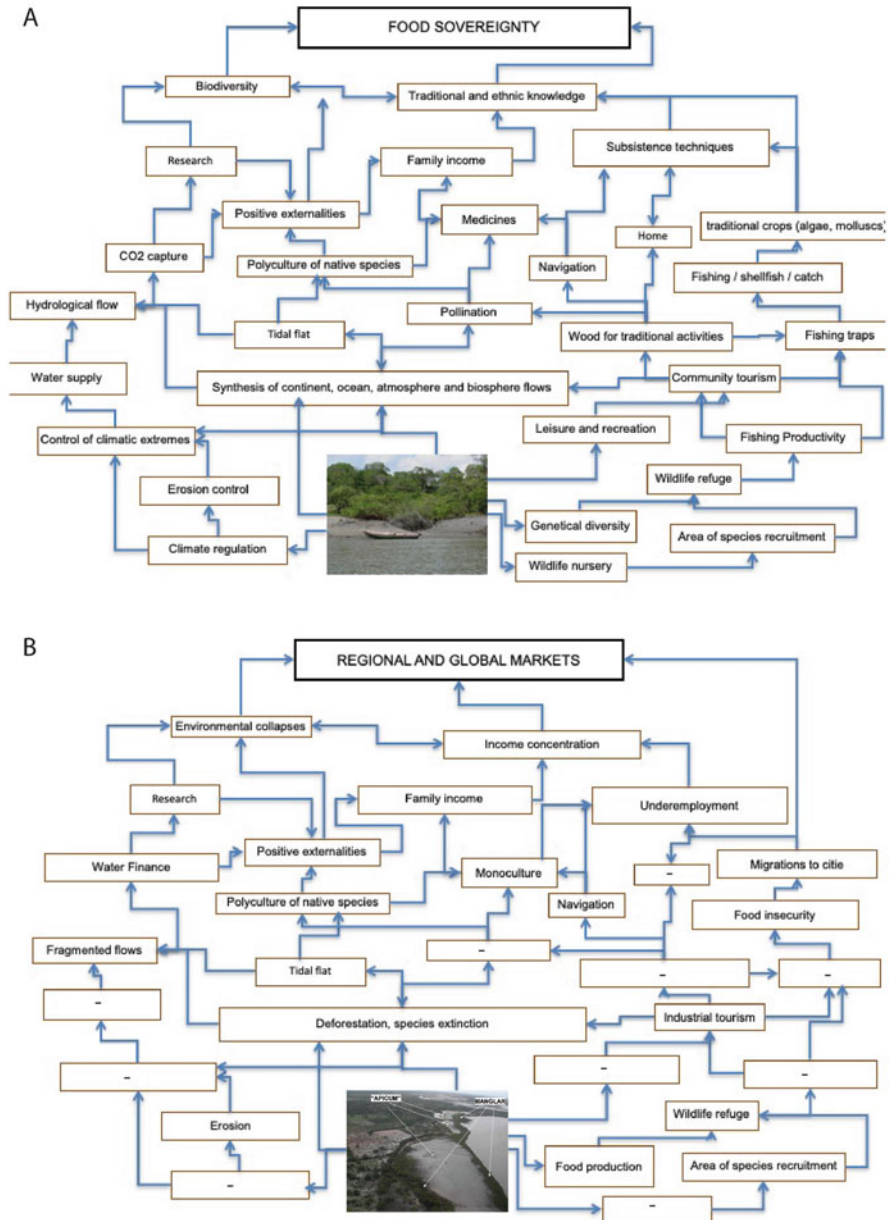


Fig. 15.3 Main mangrove ecosystem services and functions related with food sovereignty (a); threats to mangroves converted for shrimp farming (with extinct or fragmented components) (b). (Source: Queiroz et al. 2013a)

with nature. These “quilombolas”¹ maintain strong economic and symbolic ties with land and sea through continuous observation and accumulated knowledge. The Jaguaribe River basin, in which Quilombo do Cumbe is located, is the largest river basin in Ceará with an area of 72,645 km². A large portion of all local shrimp farms (44.2%) directly affected the mangroves; 63.6% of the farms caused serious damage to the riparian forest of endemic carnaúba palms (*Copernicia prunifera*) (Queiroz et al. 2013b).

It was thus possible to describe a set of ecosystem services altered by mangrove degradation (Fig. 15.2). Queiroz et al. (2017) demonstrated that societal relationships were altered and that the community dynamics were broken after the ingress of the shrimp farming industry. Interestingly, this study identified the joy of locals being closely linked to the proximity to the forest. For the *quilombolas*, mangroves were considered spaces for meditation and reflection: “The mangrove is the best place to hear the noise of the wind. It is a place for my thoughts. The truth is that at times I get stressed in the city, but I never get that way when I am in the mangrove.” The testimony is in line with studies on coastal environments evidencing that people living closer to the coast self-report higher levels of health and personal fulfillment (e.g., Wheeler et al. 2012). For the studied community, the mangrove constituted a critical aspect of their worldview and their sense of belonging. A fisherman stated: “Mangroves mean everything to me, they are life. I feel privileged to be part of it, to live close to it, to open my window and see this landscape makes me feel well and happy because it is from where I draw sustenance for myself and my family.” This perception of mangroves held by the fishermen of the Quilombo do Cumbe links ecosystem functions, services, and well-being, highlighting the prominent role of cultural services (James et al. 2013; Bell et al. 2015; Hsieh et al. 2015; Thiagarajah et al. 2015).

Another important point to highlight is the loss of food sovereignty caused by shrimp farming. It has been demonstrated in this area that the earnings of people living from fisheries or clam harvesting are potentially higher than those of people working on shrimp ponds (Queiroz 2014). The search for excessive productivity in a short-elapsing time causes systemic collapse and inhibits other future uses of this system (Alongi 2002). The shrimp aquaculture industry has often been presented as “one of the most lucrative economical activities” in Brazil, but the truth is that no more than 5% of the benefits return to the local people (Queiroz 2014). The decline and the consequences of the business failure, with the inevitable abandonment of the shrimp ponds, came without reaching the expected earnings for the investors. The main problem was that the coastal and fluvial communities lost the mangrove ecosystem welfare, their economic inputs, and part of their ways of living. The

¹Up to a hundred years later from the signing of the Áurea Law (Lei Áurea) that freed the enslaved in Brazil, *quilombos* were considered places with large concentrations of enslaved African or Afro-Brazilians who rebelled against and escape from the colonial regime. With the Federal Constitution of 1988, the term *quilombo* had its concept expanded so that today it is considered any area occupied by communities that remain from the former *quilombos*. Source: <http://www.palmares.gov.br/?p=19099> (accessed on June 2021).

food sovereign has been deeply affected by this boom-and-bust industry (Acsehrad et al. 2009). Overall, the NE of Brazil has been considered an emblematic case study of an exponential monoculture that is bound to fail in its structure, but not without spoiling a rich, complex coastal landscape.

15.6 Final Remarks

This chapter intended to show the relevance of social and cultural valuation of mangrove ES in management and decision-making and the importance of considering local users' perceptions in conservation policies. Primarily the case study in Quilombo do Cumbe contributes to the advancement in the theoretical framework and methodological approach of sociocultural valuation of the ecosystem services. The study captured the importance of locally identified cultural services that are context-specific, in such a community that sees beyond monetary value. In order to accomplish that, further research should employ valuation surveys and participatory methods such as focus groups and participant observation to gather information and actively involve target communities – whose design should be informed by both international and local studies. Furthermore, such studies have implications for mangrove conservation. The fishers of the Quilombo do Cumbe community maintain strong symbolic ties with land and sea through continuous observation and interpretation of natural cycles for the sake of the sustainable management of mangroves. Such understanding and close relationship with mangroves lead, intentionally or unintentionally, to their environmental protection, as it has been shown in other areas (Walters 2004). Nevertheless, fishers' comprehension and perceptions of mangroves have not been considered in past and current government management policies in the coastal area. Instead, shrimp aquaculture has been prioritized over artisanal mangrove exploitation, which led to rapid degradation of mangrove habitat and resources (Queiroz et al. 2013b). It is necessary, as highlighted in other coastal wetlands, to include social value in policy- and decision-making (James et al. 2013). Such an approach responds to the United Nations Sustainable Development Goals of improving human well-being and promoting the conservation of marine ecosystems (United Nations 2015). We, therefore, suggest that mangrove conservation and management should embrace such complexity by considering community perceptions of ecosystems and well-being as an indispensable criterion for confronting the key challenges in conservation.

The chapter aimed at demonstrating that economic goods derived from direct extraction of natural resources can be as important as other types of exploitation such as shrimp aquaculture (Rönnbäck 1999). A rigorous application of methods to capture noneconomic values is still lacking and decision-making processes should not neglect the experience of autochthonous populations (Raheem et al. 2012).

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Chapter 16

Mangrove and Salt Marsh Protected Areas in Brazil



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16.1 Introduction

After centuries of disrespect for mangrove and salt marsh ecosystems, there has been an increased recognition in recent decades of their importance and the need for their conservation. Current initiatives concerning environmental education, rehabilitation of degraded areas, and creation of protected areas (PAs), among others, can be readily accessed online using keywords such as “mangrove swamp” or “salt marsh” – leading to many sites containing information about the ecological, social, economic, and cultural roles of those ecosystems. That readily available knowledge of their value has not, however, been accompanied by a decrease in threats to their functioning, preservation, and sustainable use – at least not in Brazil.

Threats to mangroves and salt marshes in Brazil are considered by many authors as not widespread, but locally intensive (Spalding et al. 2010). To a certain extent, the containment of the further spread of environmental threats in Brazil has been attributed to the existence of relatively strong environmental laws that regard mangroves and salt marshes as areas to be preserved. Nonetheless, this chapter will show that the existing environmental legislation is currently being modified to suit goals of economic growth – this only reinforces the importance of creating new, representative, well-connected, and properly managed PAs (see SCBD 2010) so mangroves and salt marshes can be adequately protected.

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16.2 Threats to Mangroves and Salt Marshes

The need for PAs is closely related to actual and potential threats to local ecosystems. Brazilian mangroves and salt marshes suffer from anthropic pressure, and the administrators of those wetland PAs consistently report ongoing threats to their integrity (Delgado-Mendez 1989; Schmidt 2012a). The most recurring and generalized threat to wetlands is pollution originating in residential areas. Pollution by solid waste, mostly plastics, is not limited to urban wetlands. Even rural zones and isolated traditional populations face this type of pollution that is aggravated by the overall deficiency in garbage collection and treatment. Pollution by garbage remains difficult to control within PAs, as plastics may come from elsewhere floating on tidal currents or rivers that form the estuaries. The arriving plastics are easily trapped by mangrove forests and salt marshes (Marangoni and Costa 2009; Viehman et al. 2011; van Bijsterveldt et al. 2021). Marsh plants may be disturbed by shading effects of debris (Viehman et al. 2011), mangrove seedlings may be compromised by dislodgement and entanglement (Gorman and Turra 2016), and mangrove trees may be stressed by the covering of aerial roots or even die by suffocation by plastic (van Bijsterveldt et al. 2021). The deterioration of plastic in fragments makes this problem even more complex and a high abundance of microplastics has been recorded in Brazilian wetlands (Silva and de Sousa 2021; Zamprogno et al. 2021). In Brazilian estuaries, many cases of ingestion of microplastics by zooplankton, crabs, fish, and sea turtles have been reported and injuries caused to organisms and trophic transfer are a matter of concern (e.g., Santana et al. 2017; Dantas et al. 2020; Macieira et al. 2021).

In addition to the arrival of floating garbage, unprotected mangroves and salt marshes areas are often subject to unregulated garbage dumps at their upper zones. At these zones, plants and animals are directly affected not only by a thick layer of solid waste but also by the resulting leachate, including acidic water with high concentrations of chlorides and nutrients that contribute to the eutrophication together with sewage (Araújo and Maciel 1979; Seeliger and Costa 1988; Marangoni and Costa 2009).

Runoff and untreated sewage from urban or rural areas reach the mangroves often daily, and the high concentrations of organic material in those effluents reduce dissolved oxygen contents to lethal levels for many animals. Araújo et al. (2021) assessed the recent contamination levels by domestic effluents in mangrove sediments along the Brazilian coast. Mangroves from Natal, Maceió, and Rio de Janeiro metropolitan areas showed higher fecal contamination, due to the intense contribution of untreated domestic effluents. Mangrove trees may die when their lenticels are obstructed by particulate material carried with sewage waters (Maciel 1991). Both sewage and leachate can also contain heavy metals, causing contamination and bioaccumulation in mangroves and salt marshes (Seeliger and Costa 1988; Machado et al. 2002; Pinheiro et al. 2012; Vasconcelos et al. 2021; Costa et al. 2022; Tognella et al. 2022). This is particularly dangerous for humans as many animals such as crabs

and fish are exploited for food. Likewise, untreated sewage waters may carry hepatitis and cholera, which can contaminate humans directly or through the ingestion of filtering shellfish among other seafood (Maciel 1991; Costa 2009; Denadai et al. 2015). Litter can also cause serious problems in terms of human public health. In Brazil, cases of yellow fever, dengue, and chikungunya may increase and spread due to the proliferation of mosquito larvae in the freshwater that accumulates on solid waste (Löwy 2017).

The diseases associated with degraded wetlands have traditionally been cited by public administrators as a means to justify the “urbanization” of irregular human occupations on mangroves and salt marshes. That occupation generally begins with the removal of vegetation and the establishment of precarious stilt houses on the bare mud and ends with the full conversion of the wetlands into urban areas. Human occupation adjacent to mangroves inevitably initiates a series of collateral effects, including the development of dense road networks and illegal wood extraction. Although mangrove deforestation is currently low, it remains a recurrent threat even within many PAs in Brazil (Schmidt 2012a). Many traditional populations do not have access to electricity or natural gas, so mangrove timber is widely used for cooking, as well as for building houses, boats, and material for artisanal fishing (Paludo and Klonowski 1999; Spalding et al. 2010).

In addition to the widespread problems mentioned above, each region of Brazil deals with specific environmental impacts of economic activities in coastal areas. According to PA managers (Schmidt 2012a), mangroves in the highly industrialized Southeast Brazil face intense threats linked to oil pollution and industrial effluents. The north of the country is particularly more affected by vegetation clearing by fire for agriculture. Impacts from tourism are more common in the south and northeast regions (Schmidt 2012a). Northeastern mangroves are the most affected by salt extraction and shrimp aquaculture. Both activities have directly affected mangroves by converting their upper zones (e.g., hypersaline tidal flats) into salt pans or shrimp ponds (Schaeffer-Novelli et al. 2016). The latter also indirectly impact mangroves through pollution inputs from effluents and associated loss of ecosystem services, including reductions in primary productivity, carbon storage, resilience to other environmental stressors, the efficiency of the estuarine filter, and biodiversity (Lacerda et al. 2021).

All those kinds of impacts threaten the natural resources and biodiversity of mangroves and salt marshes in Brazil. Since Brazilian environmental legislation is increasingly permissive for such impacts, the alternative strategy is to invest in a well-connected and effective system of protected areas. This is in line with the Post-2020 Global Biodiversity Framework, which proposes in the “Aichi Target 11” an expansion of protected areas to 30% of the earth’s surface by 2030 (see SCBD 2018).

16.3 A Historical Review of Protected Areas in Brazil

Efforts for the conservation of mangroves in Brazil dates to colonial times, aiming to maintain the harvesting of firewood, house and boat construction, and extraction of tannic acids for tanning leather and fishing nets (Maciel 2001, see Chap. 14). The uncontrolled cutting of mangrove trees, together with the occupation of the borders of the ecosystem for housing, led to the imposition of the first regulations governing their use to be established by the Portuguese crown. In 1743, the Portuguese crown prohibited the cutting of red mangroves (*Rhizophora* spp.) for burning and, in 1760, prohibited cutting any trees of that species whose bark had not been previously used to produce tannin – a product exported from Brazil to Portugal and then sold to other countries. The economic motivation for protecting natural areas in Brazil at that time was purely motivated by extractivism.

An ecological motivation for the legal preservation of mangrove swamps (but not salt marshes) only emerged in the first Brazilian Forest Code (Brasil 1934). That set of laws did not specifically cite mangrove ecosystems but called out for permanent preservation of *protective forests* (i.e., avoiding erosion) – a category in which mangrove forests were included. The 1934 code also specified the category of *remnant forests*, which were those with biological and aesthetic attributes that justified their protection. That policy resulted in the creation of the first federal PA in the country, in 1937 – the Itatiaia National Park (Rio de Janeiro State) – and the first PA including a fragment of mangrove forest – the Monte Pascoal National and Historical Park, in 1961 (Bahia State) (Figs. 16.1 and 16.2, see Chap. 3, Maps 13 and 11, respectively).

The second forest code was created in 1965 (Brasil 1965) and designated certain vegetation types as having permanent preservation status, including mangrove ecosystems. However, it is worth mentioning that the language used left loopholes for alternative interpretations. Salt marshes were likewise protected under the category of vegetation bordering bodies of water, all subject to permanent protection.

The creation of the first PAs in Brazil followed the experiences of the United States of America and the recommendations of the World Commission of PAs of the International Union for Conservation of Nature (IUCN), involving specific legal instruments for each newly established management category. The first Brazilian initiatives to systematize PAs only began in the 1970s (Pádua 2011; Pureza et al. 2015) and the first peak of no-take PAs, including some mangrove areas, occurred during the early 1980s (Figs. 16.1 and 16.2), coinciding with the weakening of the military dictatorship. The new democratic republic started in 1985 when the number of PAs linked to sustainable use of natural resources started to increase. However, most of them did not comprise mangrove and salt marshes. The first PA with a focus on salt marshes – the Lagoa do Peixe National Park (Rio Grande do Sul State) – was created in 1986 (Figs. 16.1 and 16.2, see Chap. 3, Map 17).

In 1989 changes in the governmental agencies culminated in the creation of the Brazilian Institute of Environment and Renewable Natural Resources (IBAMA). The IBAMA then undertook a revision of existing conservation policies and

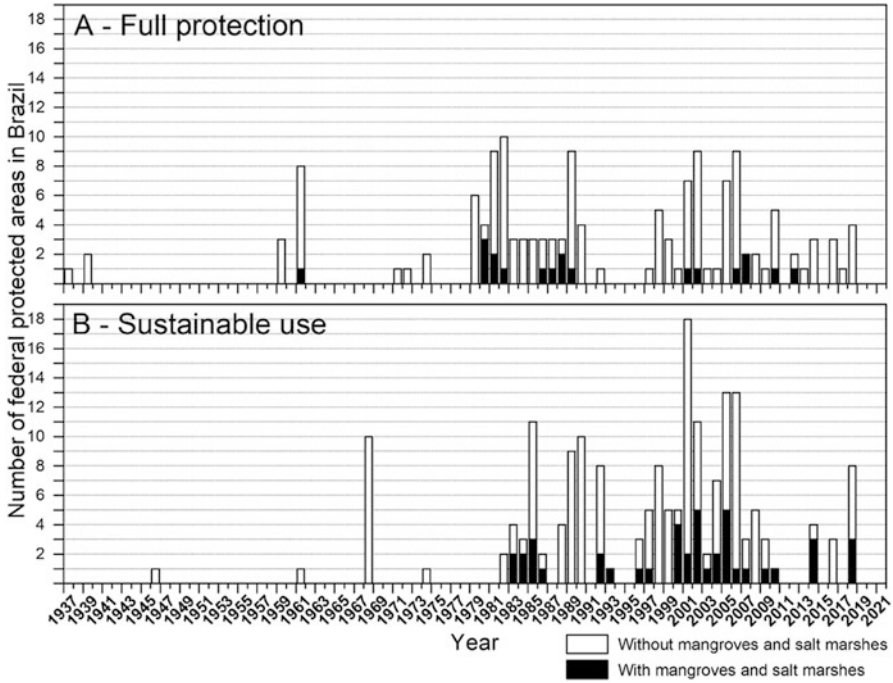


Fig. 16.1 Noncumulative amount of federal protected areas of the no-take (or full protection) (a) and sustainable use (b) categories, with and without mangroves and salt marshes, created over the years in Brazil. (Source: Ministry of Environment of Brazil, Department of Protected Areas)

consolidated them into a proposed law that was presented to congress in 1992 (Pádua 2011; Pureza et al. 2015). The middle of the 1990s is marked by a sharp reduction in the creation of PAs and by heated discussions concerning the different categories of PAs. On one side, environmentalists defended the idea of PAs without any human presence, while, on the other hand, social scientists and social activists defended the presence of humans, especially traditional populations, in those areas (Pádua 2011). After numerous debates and public hearings, the national system of PAs came into law in 2000 (Brasil 2000).

16.4 *Sistema Nacional de Unidades de Conservação da Natureza* (National System of Protected Areas, SNUC)

A relatively large number of categories of PAs were created in order to satisfy many different interests. These categories are divided into two large groups: no-take and sustainable use (Brasil 2000, 2002a). The no-take areas (aka full protection) group

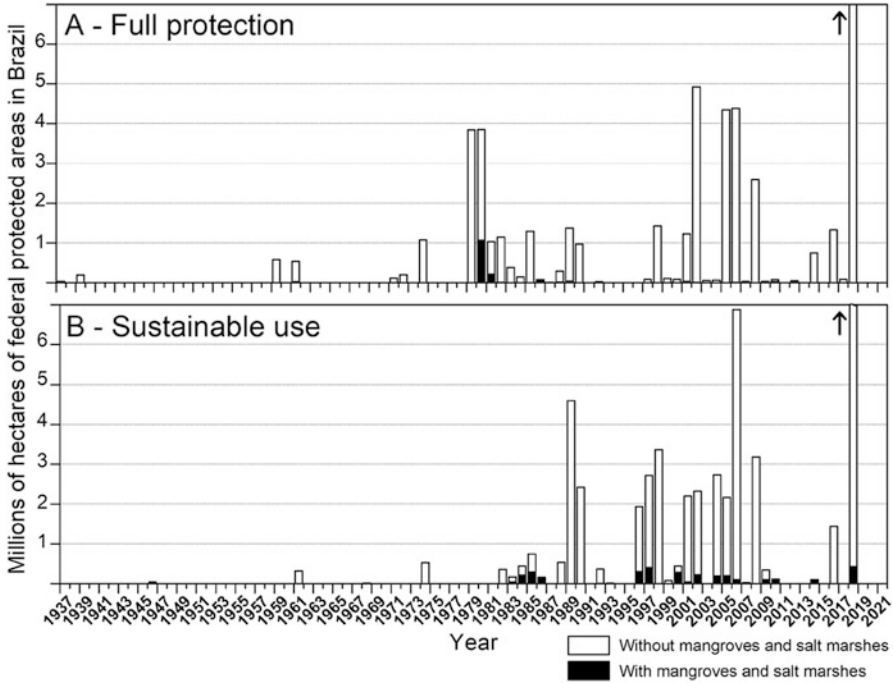


Fig. 16.2 Noncumulative coverage by federal-protected areas of the no-take (or full protection) (a) and sustainable use (b) categories, with and without mangroves and salt marshes, created over the years in Brazil. Source: Ministry of Environment of Brazil, Department of Protected Areas. The arrows indicate the large protected areas created in 2018. The areas covered by mangroves and salt marshes are smaller than those indicated by the bars, since many protected areas have only fragments of these ecosystems

includes five PA categories (Table 16.1) greatly influenced by the IUCN. The same did not hold for the sustainable use areas' categories (Table 16.2).

No-take or full protection areas aim at the integral conservation or preservation of biodiversity. They constitute areas in which no human settlements are allowed, in which only research or ecotourism activities can take place.

The categories of *Biological Reserve* and *Ecological Station* are analogous to the IUCN's category of Strict Nature Reserve (Ia) (see Dudley 2008). The two categories differ slightly concerning research permits, with Ecological Station accepting a certain degree of intervention or modification to that end, while a Biological Reserve would only allow research projects that require very limited environmental alterations. Public visitation to both is only permitted (exceptionally) for educational purposes. *National Parks*, on the other hand, are open to tourism (visitation and recreation). This type of protected area is similar to IUCN's Category II, with the condition that no private properties are allowed within its limits. Human settlements are allowed in two No-take protected area categories, preferably under temporary conditions. The first is *Wildlife Refuge*, designed to maintain conditions that

Table 16.1 No-take protection areas according to the Sistema Nacional de Unidades de Conservação da Natureza (National System of Protected Areas, SNUC) (Brasil 2000)

No-take areas (EN)	Áreas de Preservação Permanente (PT)	Goals and characteristics
Ecological Station	Estação Ecológica (EE)	Aims at full nature conservation and research development. Must be representative of Brazilian ecosystems. At least 90% of its area must be of integral protection of biota.
Biological Reserve	Reserva Biológica (REBIO)	Aims at integral biota conservation and adjacent attributes. Does not allow for human intervention except for occasional necessary ecosystem management.
National Park	Parque Nacional (PN)	Aims at the conservation of ecosystems of extreme ecological relevance or scenic beauty. Research, recreational, ecotourism, and education activities are allowed. There are subcategories: State Park ("Parque Estadual," PE, managed by the states) and Municipal Park ("Parque Municipal," PM, managed by the municipal authorities).
Natural Monument	Monumento Natural (MN)	Aims at protecting unique natural elements that may be singular, rare, or representative in aesthetics, cultural, or ecological aspects. They may occur within private property and allow for visitation under specific controlled settings.
Wildlife Refuge	Refúgio de Vida Silvestre (REVIS)	Aims at protecting natural spaces essential for the existence or reproduction of local or migratory species.

guarantee the existence or reproduction of certain resident or migratory animal species. These refuges can be situated in either public or private areas and may vary greatly in terms of size and aim. Consequently, some larger Wildlife Refuges are equivalent to IUCN's Wilderness Areas (Ib), while the smaller areas correspond to IUCN's Habitat/Species Management Areas (IV). The other Brazilian no-take protected area that allows human settlements is the *Natural Monument*, which was designed to preserve unique natural sites or landscapes of great natural beauty, equivalent to IUCN's Category III (see Dudley 2008).

The sustainable use group comprises seven distinct categories, four of which can be considered subdivisions of IUCN's Category VI (Protected Area with Sustainable Use of Natural Resources). *Sustainable Development Reserves* and *Extractive Reserves* are categories that consider traditional extractivist populations, although the areas used by them are of state's ownership. The differences between the two categories are quite subtle, with the former having a more conservationist nature and the latter assuming a more societal-oriented posture. *National Forests* and *Fauna Reserves* focus on research towards innovative management techniques for flora and fauna, respectively. *Areas of Relevant Ecological Interest* are more focused on conservation than sustainable use, although still classified within the sustainable use type of protected area. This category covers smaller areas with little or no human occupation and showing exceptional natural characteristics or the presence of rare species. As such, that type of area would better fit in the IUCN's Category IV. PAs of this category can be created on private or public lands. The same is true for

Table 16.2 Sustainable use areas according to the Sistema Nacional de Unidades de Conservação da Natureza (National System of Protected Areas, SNUC) (Brasil 2000)

Sustainable use areas (EN)	Áreas de Uso Sustentável (PT)	Goals and characteristics
Environmental Protection Area	Área de Proteção Ambiental (APA)	Aims at biological conservation while managing and ensuring human occupation and/or utilization of natural resources in a sustainable way. They correspond to extensive areas that present biotic, abiotic, aesthetic, or cultural attributes essential to the human populations that inhabited within them.
Area of Relevant Ecological Interest	Área de Relevante Interesse Ecológico (ARIE)	Aims at the protection of small areas up to 5000 ha of extension. They must present outstanding natural characteristics or show the occurrence of rare biota.
National Forest	Floresta Nacional (Flona)	Aims at promoting multiple sustainable uses of forest resources, besides research also on methods of better forest resources. There are subcategories: State Forest (“Floresta Estadual,” managed by the states) and Municipal Forest (“Floresta Municipal,” managed by the municipal authorities).
Extractive Reserve	Reserva Extrativista (RESEX)	Aims at protecting means of life and culture of local populations within a sustainable framework. It is managed by local communities among other civilian stakeholders and by determined state representatives.
Fauna Reserve	Reserva de Fauna	Aims at protecting native and endemic species, resident or migratory, enabling research and technical studies aiming at their sustainable use.
Sustainable Development Reserve	Reserva de Desenvolvimento Sustentável (RDS)	Aims at the conservation of territories inhabited by traditional communities whose subsistence depends on sustainable methods for the use of natural resources. Those areas are of public domain.
Private Natural Heritage Reserve	Reserva Particular do Patrimônio Natural (RPPN)	Aims at general conservation of biodiversity in a certain private land, including activities of tourism, research, education, and recreation. These private lands are irreversibly destined to this goal. It is the most abundant category of protected area in Brazil.

Environmental Protection Areas, which comprise extensive areas of important ecological, aesthetic, or cultural relevance – nevertheless under considerable human occupation or use. They were designed with the goal of regulating the processes of human occupation and guarantee the sustainable use of its natural resources, equivalently IUCN’s Category V (Protected Landscape/Seascape). Finally, the last category is *Private Natural Heritage Reserve*, in which private lands are designated to conserve biological diversity; the only activities permitted

within their limits are research and tourism (recreational and educational). The same setting can be found at IUCN’s Category VI (PA with Sustainable Use of Natural Resources), although they differ by not necessarily demonstrating associated traditional or cultural values and are generally relatively small (see Dudley 2008).

Except for Private Natural Heritage Reserves (which is not the focus of this chapter), the federal protected areas are currently managed by the Instituto Chico Mendes de Conservação da Biodiversidade (ICMBio), a governmental board dismembered from IBAMA in 2007. With that dismemberment, IBAMA became dedicated exclusively to environmental licensing and monitoring, while ICMBio assumed full responsibility for the conservation of threatened species and the management of protected areas. Brazilian states and municipalities follow the abovementioned categories and are allowed to create additional ones. Besides, the system gets more and more complex when governmental and nongovernmental institutions at all levels get involved in the management.

There are currently 334 federal protected areas that are managed by ICMBio, covering a total of 171,294,897 ha (ICMBio 2021). From those, 62 (4,683,773 ha) include mangrove or salt marsh ecosystems (Schmidt and Gomes 2016; Ministério do Meio Ambiente 2021). Moreover, 31% of them are under no-take areas, while 69% are in sustainable use. National Parks predominate (42%) in the no-take category, followed by Ecological Stations and Biological Reserves (both with 21%) and Wildlife Refuges (16%). In the sustainable use group, there are prominently more Extractive Reserves (60%), followed by Environmental Protection Areas (30%) (Fig. 16.3) (see ICMBio 2018).

Brazilian PAs harbor more mangroves than salt marshes. Although marsh plants may occur associated with mangroves in lower mudflats and the landward boundaries (Schmidt et al. 2013), representative salt marshes that are independent of mangroves occur in only four PAs, all in South Brazil.

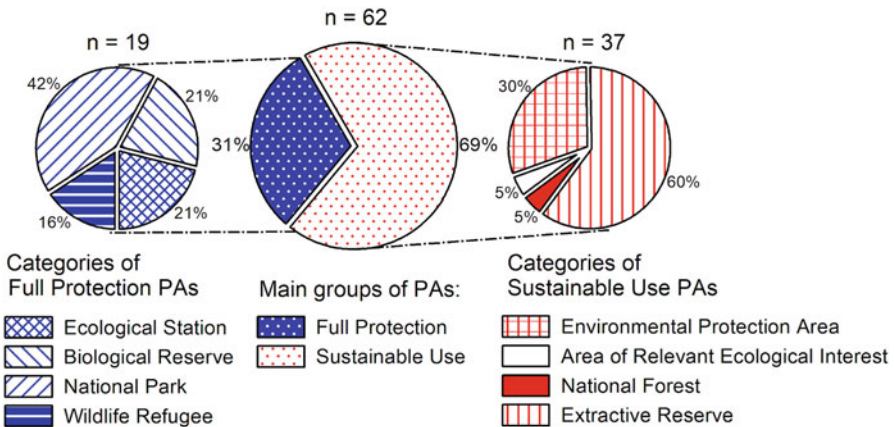


Fig. 16.3 Distribution of Brazilian federal protected areas (PAs) in the groups of no-use (or full protection) and sustainable use (center) and in their categories (left and right, respectively). (Modified from Schmidt and Gomes (2016) and updated until 2021)

Although the number of PAs is an indicator of conservation effort, it is important to consider that the specific coverage of salt marshes and mangroves varies greatly between them. For example, the oceanic island of Fernando de Noronha has only a 0.89-ha mangrove (Barcellos et al. 2011), equivalent to 0.05% of the protected area of its National Park (see Chap. 3, Map 18). At the other extreme, the Piratuba Lake Biological Reserve, at Amapá State, covers 107,454 hectares of mangroves, which is equivalent to 27.38% of its protected area (Leão et al. 2018a) (see Chap. 3, Map 1). Based on ICMBio data, the average area of mangroves protected by a single federal protected area is $9213 \pm 18,417$ ha. Projecting this average on the whole number of federal PAs results in an approximate value of 561,988 ha of protected mangroves, which is equivalent to 46% of the total coverage of this ecosystem in Brazil (1,398,966 ha according to CENIMA 2014 apud. Leão et al. 2018b).

Compared to mangroves, salt marshes are even less protected. A study carried out in 2009, encompassing federal and state reserves, estimated that at the time only 0.6% of the 12,149 hectares of Brazilian salt marshes were protected (MMA 2010). After this study, no federal PA with a focus on salt marshes has been created, so the scenario is unlikely to have changed much.

Salt marshes' effective protection is restricted to the southern region: one PA with 36,716 ha in Rio Grande do Sul State and three PAs covering 160,055 ha in Santa Catarina State. The south region also includes nine mangrove PAs (541,711 ha), similar to the southeast region, which also presents nine PAs totaling 535,360 ha. Both the area and the number of protected mangroves increase towards lower latitudes. The northeast region (08°03'S), with the longest coastline in Brazil, shelters around 50% of the country's mangrove area and presents 28 PAs covering 2,094,404 ha. Fifty percent of this northeastern protected area is in Maranhão State (02°31'S), whose mangroves achieve great extent because of the local macrotidal regime. Large tidal ranges also contribute to the vast mangrove forests of the northern region, comprising 30% of the coverage of this ecosystem in Brazil. Northern mangroves are protected by 15 PAs (1,475,582 ha) distributed through two states (Amapá and Pará) (Dale and Cunha-Lignon 2021; MMA 2021).

In addition to the mentioned categories of PAs, the Brazilian system also includes the concept of *Biosphere Reserve* – an integrated management model recognized by UNESCO. The Atlantic Forest Biosphere Reserve (established in 1992) comprises important portions of the Atlantic Forest biome, including threatened forest remnants and associated ecosystems such as mangroves and salt marshes (Corrêa 1995).

Both mangrove and salt marsh ecosystems can also be protected through instruments created by the *Convention on Wetlands* (Ramsar 1975), to which Brazil has been a signatory since 1996. The country has currently 27 Ramsar sites, totaling 26,794,455 ha; 12 of these sites include mangroves or salt marshes. The first Ramsar site (recognized in 1993) encompassing important salt marsh areas was the Lagoa do Peixe National Park. The latest Ramsar site created was the Amazon Estuary and its mangroves, in 2018. This site with 3,850,253 ha includes the world's largest fluvial-maritime archipelago (Marajó archipelago) (Ramsar 2021) (see Chap. 3, Map 2). It is composed of 23 PAs, constituting the world's largest continuous portion of

Table 16.3 List of the 13 Ramsar sites along the Brazilian coast

Ramsar sites	State	Year	Link
Cape Orange National Park	AP	2013	https://rsis Ramsar.org/ris/2190
Amazon Estuary and its Mangroves	APICE	2018	https://rsis Ramsar.org/ris/2337
Reentrâncias Maranhenses Environmental Protection Area	MA	1993	https://rsis Ramsar.org/ris/640
Baixada Maranhense Environmental Protection Area	MA	2000	https://rsis Ramsar.org/ris/1020
Parcel de Manuel Luiz State Marine Park	MA	2000	https://rsis Ramsar.org/ris/1021
Atol das Rocas Biological Reserve ^a	RN	2015	https://rsis Ramsar.org/ris/2259
Fernando de Noronha Marine National Park	PE	2018	https://rsis Ramsar.org/ris/2333
Abrolhos Marine National Park	BA	2010	https://rsis Ramsar.org/ris/1902
Cananéia-Iguape-Peruíbe Environmental Protection Area	SP	2017	https://rsis Ramsar.org/ris/2310
Guaraqueçaba Ecological Station	PR	2017	https://rsis Ramsar.org/ris/2305
Guaratuba State Environmental Protection Area	PR	2017	https://rsis Ramsar.org/ris/2317
Peixe Lagoon National Park	RS	1993	https://rsis Ramsar.org/ris/603
Taim Ecological Station	RS	2017	https://rsis Ramsar.org/ris/2298

Brazilian states: Amapá (AP), Amapá-Pará-Maranhão-Piauí-Ceará (AP-CE), Ceará (CE), Rio Grande do Norte (RN), Pernambuco (PE), Bahia (BA), São Paulo (SP), Paraná (PR), and Rio Grande do Sul (RS). See Chap. 3, Maps 1–18

^aNo salt marshes or mangroves present

mangroves under legal protection, extending over 700 km of the coastline, and protecting nearly 70% of the country's ecosystem (Dale and Cunha-Lignon 2021). Table 16.3 shows a list of the 13 Ramsar sites located in the Brazilian coast.

16.5 Controversies

Pressure on the Brazilian government to consolidate and amplify its system of PAs has been supported by its adhesion to the Convention on Biological Diversity, which advocates a “particular attention to No-take areas” (Brasil 2002b). Nonetheless, after the ratification of the National System of PAs in 2000, there was a notorious allocation of public resources towards the creation of sustainable use PAs (often containing mangroves and salt marshes) (Figs. 16.1 and 16.2). These PAs are less costly to create as they require fewer expropriation costs, but their effectiveness in nature conservation will strongly depend on the efficiency of management (discussed in the next section) and on the number of resource users. Populations located within sustainable use PAs are usually larger than those within no-take PAs which makes environmental conservation in the former more challenging due to greater exploitation of resources (Dourojeanni and Pádua 2013). However, a high

potential for sustainability has been reported for artisanal fisheries in Extractive Reserves with a large supply of natural resources due to the vast coverage of mangroves, disproportionately larger than the area occupied by human populations (e.g., Diele et al. 2005). On the other hand, highly urbanized areas are relatively often found in other sustainable use PAs, mainly from the category Environmental Protection Areas (Fig. 16.3). Although these PAs might be useful tools for integrated coastal management (e.g., Ferreira et al. 2006), they have largely proven to be superfluous in terms of biodiversity conservation (Delgado-Mendez 2003; Dourojeanni and Pádua 2013). The low effectiveness of many sustainable use areas does not necessarily mean that they are dispensable, as it can be said that any PA is better than none. Nonetheless, the expansion of sustainable use PAs from 2000 to 2009 had an undesirable consequence – masking the lack of full protection PAs (Delgado-Mendez 2003; Dourojeanni and Pádua 2013).

From 2010 to 2017, the no-take group once again surpassed the sustainable use group in the number of reserves created (15×8) and in the coverage area (2,267,846 ha \times 1,653,318 ha). But this trend was not followed by the establishment of reserves with mangroves and salt marshes which, in this period, resulted in four new sustainable use PAs (173,485 ha) against two new full protection PAs (51,928 ha). The strategy of creating large sustainable use PAs with massive mangrove cover was adopted by the government again in 2018, but even so the overall protection of this ecosystem in this period was much lower than in the last decade.

The Aichi Target of 10% of marine protected areas before 2020 was only achieved through the creation of two Environmental Protection Areas and two Natural Monuments, all without mangroves or salt marshes (Figs. 16.1 and 16.2). These large PAs (90,278,190 ha in total) were created around oceanic islands with few or no inhabitants, making Brazil jump from 1.5% to 25% of protected coastal and marine areas, but leaving unprotected many other more priority sites for conservation (Giglio et al. 2018).

In terrestrial and inland water areas, Brazil also reached the Aichi Target (in this case, 17% of protected areas until 2020), but the strategy was different. Since 2013, Brazilian authorities managed to mask the stagnation of the SNUC over *Indigenous Lands*, *Legal Reserves* (i.e., a certain percentage of mandatory preservation within private rural properties), and *Permanent Preservation Areas* in the area calculation within the scope of the Strategic Plan for Biodiversity (SCBD 2010). Although Indigenous Lands are important to safeguarding the culture and continuity of native peoples, they largely lack adequate management plans for biodiversity conservation (Dourojeanni and Pádua 2013). Additionally, the sustainable long-term use of their resources is questionable due to the demographic growth of indigenous populations and changes in their societal dynamics (Terborgh and Peres 2002). Legal Reserves and Permanent Preservation Areas have a long history of degradation and questionable use, in addition to often being small and scattered, hindering their management and offering low connectivity to fauna and flora (Pacheco et al. 2018).

The achievement of the Aichi Target in 2018 together with the entry of a new government even less committed to environmental issues in 2019 resulted in the

complete halt in the creation of federal PAs, with or without mangroves and salt marshes, at least until 2021. Regarding mangroves, the lack of PAs could be supplied by their status as “Permanent Preservation Area” (Brasil 1965), but the 2012 Brazilian Forest Code allowed the conversion of salt flats, i.e., upper-tidal hypersaline sections of the ecosystem, to be converted into shrimp farms and salt extraction ponds despite vehement opposition by environmentalists (Metzger et al. 2010; Schaeffer-Novelli et al. 2012).

With a weak forest code, the permanent preservation status of mangroves was still reinforced by Resolution 303/2002 of the National Council for the Environment (CONAMA), the main advisory body of the Ministry of the Environment. However, in 2019, a government decree reduced from 23 to 4 the number of chairs for NGOs, universities, and traditional peoples in this council. The CONAMA, with government supremacy, revoked Resolution 303/2002 in 2020, leaving mangroves even more unprotected and vulnerable to be exploited by the minority interested in shrimp farming and salt exploitation. Finally, the resolution was subject of litigation before the Federal Supreme Court and had its effect reversed.

16.6 On the Support to Protected Areas in Brazil

Promises of the Brazilian government to expand and improve PAs have not been followed by a proportional increase in investments in infrastructure, personal and necessary resources for conservation. As an example, the total investment in environmental conservation and preservation represented only 25% of the overall funds disbursed by the Ministry of the Environment, reaching a low of 9% in 2017 (MTCGU 2018). That lack of investment is not only due to the priority given to other governmental agendas, but also a reflection of the poor distribution of resources within the structure of the Ministry of the Environment itself. Approximately 80% of the investment on the ICMBio is linked to its headquarters in Brasília, which comprised four directories, 41 coordinators, and 230 other civil servants (MTCGU 2018; ICMBio 2021). The PAs spread throughout the country had an average of three environmental analyst staff each (ICMBio 2021), which corresponded to an overwhelming average of approximately 81,000 ha of PAs per agent. The lack of agents makes it difficult to fulfill the basic requirements of the PAs: Currently, 24% of them do not have a formalized council and 60% still do not have a management plan.

The administration of extensive PAs is made even more difficult by the lack of adequate infrastructure and equipment (Onaga and Drumond 2007). A good example is the lack of motorized boats in extensive protected wetlands. Boats are available in about half of the federal mangrove PAs (Schmidt 2012b). The lack of appropriately trained human resources adds to the equation, making nearly impossible the essential activities of surveillance, control, and environmental monitoring. Concerning the latter activity, ICMBio has been investing in the elaboration of a mangrove biodiversity monitoring program in federal PAs since 2007 (Schmidt

2012b; Schmidt and Gomes 2016), but it has failed to implement it yet. Monitoring is crucial to collect data on the current situation of mangroves within different categories of PAs, reinforcing their importance and understanding how they are managed (Dale and Cunha-Lignon 2021).

Due to the lack of investments by the government, much of the administration of Brazilian PAs depends on NGOs, private companies, and international agencies. Despite the increasing regulatory mechanisms that make such an alternative investment difficult, these funds remain useful to maintaining the vital functions of many PAs, such as the continuity of their regular board meetings.

Other functions, such as scientific research and monitoring, are largely dependent on NGOs and, principally, universities. Those partnerships need to be improved and adjusted, as the research undertaken is usually linked to dissertations, theses, or funded projects with an average duration of up to 2–4 years. It is necessary to ensure the long-term continuity of those research projects to effectively aid environmental monitoring and adequately contribute to the management and operability of the PAs (Cunha-Lignon et al. 2015; Schmidt and Gomes 2016).

PAs can also be benefited from closer ties to universities through graduate courses that promote the development of human resources for conservation and resource management. Environmental analysts working in the PAs are currently recruited through predominantly objective exams for public employment that demand only a college degree – but without specific requirements for training in environmental administration. As such, people from many different educational backgrounds end up hired before any training on environmental management. In the meanwhile, these managers face many additional difficulties (Onaga and Drumond 2007), mostly because new positions normally become available in PAs in isolated regions of the country amid social conflicts. This results in high withdrawal rates and transfer requests. Worse than that are the cases in which coordinators, directors, and other authorities within ICMBio are indicated as a political strategy to back up governmental agendas for the environment. In recent years, authorities from the Ministry of the Environment have pressured, persecuted, and even dismissed good managers of PAs engaged in environmental conservation and defense of traditional populations.

All in all, the great challenge for the PAs is to achieve autonomy through (i) appropriate recruitment strategies and (ii) government investments compatible with their needs. This will enable sustainable management so that partnerships and other investments will no longer be indispensable, but rather a plus for improving the performance of the PAs.

16.7 Final Remarks

The process of abandonment and distortion of the national system of PAs has both ideological and political roots. Ideologically, there is a conflict between two fallacies; on one hand, there are those who defend the presence of people in PAs and who postulate that no-take is an illusion perpetrated by the “modern myth of untouched

nature” and that areas containing traditional populations can be more efficiently conserved than those supposedly untouched areas (e.g., Diegues 2001; Stevens 2014). On the other hand, many environmentalists consider the belief that traditional populations living in PAs contribute to their conservation is an illusion perpetrated by the myth of the “ecologically noble savage” and that deliberate conservation by native peoples either does not occur or is a side effect of factors such as low population density and simple exploitation technologies (e.g., Redford 1991; Hames 2007; Dourojeanni and Pádua 2013). That polarization molded the Brazilian multicategory system of PAs and a bias towards the insertion of humans into protected ecosystems appears to have dominated in the last decades (Delgado-Mendez 2007, 2008; Almeida and Jardim 2018; Costa and Seabra 2020; Pereira et al. 2021). The trend is corroborated by the increasing cases of changes in categories of existing PAs, from more restrictive to more permissive, which is alarming in determined ecosystems (Bernard et al. 2014).

While that ideological debate continues, the political explanation for the stagnation of the national system of PAs takes on an obscure note: many politicians seem to consider some PA categories as impairments to economic growth. There has been an apparent political motivation for weakening the protection status of mangrove ecosystems in the new 2012 Forest Code, as many Brazilian politicians take much interest in shrimp farming and salt exploitation. By no longer having total protection under the forest code and an effective National Council for the Environment, hopes for the continued conservation of mangroves are now deposited in PAs. However, new areas are not being created at a satisfactory rate, and those that already exist lack fundamental investments and infrastructure. Worse than that is the fact that all those problems are masked by a presumed adherence to the goals of international agreements.

All abovementioned problems have been counterbalanced, however, by social activism and the growing recognition of the importance of wetlands to human well-being. There is a hope that our society can put aside ideological differences and work together to generate real changes in the Brazilian political system, thus improving measures for effective environmental protection and conservation in the country.

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Chapter 17

Contribution of Environmental Education to Mangrove Conservation



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17.1 Education and Challenges for the Conservation of Mangroves

A worldwide study estimated that more than 120 million people would be living within less than 10 km of tropical coastal areas in 2015 (UNEP 2014). The same authors reported that the destruction rate of mangrove forests is three to five times higher than the estimated for other forest types. In Brazil, 13% of the mangrove cover was lost between 2000 and 2017 (MapBiomass 2018), mainly due to land conversion (i.e., port infrastructure, aquaculture, shrimp farming, and urban growth), pollution, and overexploitation of natural resources.

Recently, Rivera-Monroy et al. (2017) indicated that although countries with large mangrove areas allegedly acknowledged the social and economic value of this ecosystem, loss and degradation of mangroves and remaining adjacent coastal ecosystems continue unabated. In addition, the authors believe that the low percentage (7%) of mangrove settings classified as protected areas worldwide is inconsistent.

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Because ecosystem functioning and services depend on conservation policies, the Brazilian government has opted for the creation of protected areas that allow for sustainable use by traditional populations (see Chap. 16). However, the criteria for sustainable use remain an issue. In Brazil, more than 36,000 ha of mangroves have been converted into shrimp farms (96.3% in landscape/seascape protected area – IUCN’s Category V) (Freitas et al. 2018).

Since 1965, Brazilian mangroves are declared by law as Environmental Protection Areas (APAs). This means that the classification of a mangrove ecosystem as a protected area is merely a reiteration of the conservation status already provided by law. As much as 87% of all country’s mangroves are encompassed within 6% of the 2071 protected areas, including the largest continuous mangrove area on the planet, which is located along the northern-northeastern coast, at the stretch of the states of Pará and Maranhão (Leão et al. 2018). Moreover, the creation of protected areas is only one of the current possible conservation strategies in the country (see Chap. 16). Official data in the Brazilian Mangroves Atlas (ICMBio 2018) indicate that there is 1,398,966.1 ha of mangroves along the Brazilian coast (Freitas et al. 2018).

Approximately 25% of the Brazilian population live in densely populated cities on the coast, which highlights the importance of mangrove functional diversity and its enormous socioecological importance. Mangrove’s connectivity to adjacent ecosystems supports biodiversity and ecosystem services, in both land and sea. Currently, mangroves have become an ideal open classroom for learning about global ecological processes of adaptation, resilience, and biogeochemical cycles within the context of local features, presenting tangible examples between theory and practice. Carvalho (2004) suggests the adoption of environmental education programs in which human populations are recognized as part of the web of social, natural, and cultural connectivity, resulting from historical processes and whose role in problem identification, resolution, and mitigation of environmental problems must be active and based on knowledge.

An analysis of 37 reports by the United Nations (UN), World Bank (BIRD), and Intergovernmental Panel on Climate Change (IPCC) alongside Agenda 21 demonstrated that education has repeatedly been awarded high priority within the development agenda, and it is strongly correlated with all the 2015 UN’s sustainable development goals (SDGs), except for SDG14 – Life below water (Vladimirova and Le Blanc 2016). This shows a relevant gap but also an opportunity to strengthen the role of ocean literacy (UNESCO 2017). All SDGs require reflection on sustainability, economic development, and resilience, including critical imaginative and innovative thinking about sustainable development in general and within each SDG.

It is well known that coastal ecosystems such as mangroves sustain complex interactions between marine and terrestrial environments, thereby supporting high biodiversity and the complex life cycle and connections of the marine food chain (coastal and oceanic). These interactions are still poorly understood, and the limited knowledge about biodiversity, ecosystem services, and the social importance of mangroves requires further research and environmental education programs that consider the importance of ocean literacy in a rapidly changing world (Ghilardi-Lopes et al. 2019a). Unfortunately, environmental education (EE) in

Brazil has been practically restricted to terrestrial environments (Berchez et al. 2016) but initiatives of coastal and marine environmental education are recently emerging (Ghilardi-Lopes and Berchez 2019).

Since the Rio de Janeiro Earth Summit (or Eco-92), the terms “sustainable development,” “sustainable consumption,” and “biodiversity” have implicated the need of promoting changes in the EE approach worldwide. Some countries have focused their efforts on social questions through social ecology (Ferreira 2002), focusing on biodiversity conservation to ensure sustainable development and allow sustainable consumption in areas with extreme poverty and famine issues. This reflects a partial understanding of what sustainability involves since it entails the use of resources in such a manner that regenerative capacity is not depleted.

The National Biodiversity Policy (Política Nacional de Biodiversidade) endorsed EE to restore respect and ethical awareness for biodiversity (Brasil 2002). Specific guidelines have been established to train educators on biodiversity, thereby reaffirming the importance of formal education on the topic.

A pioneering survey performed in 307 schools from different regions in Brazil (Loureiro et al. 2007) showed that biodiversity is one of the five most discussed themes in EE projects, including the umbrella themes of water (33.6%), waste and recycling (21.5%), pollution and basic sanitation (9.8%), health and nutrition (5.5%), and social and biological diversity (5.5%), among others (24.1%). This study also highlighted the importance of idealistic teachers acting as leaders, qualified teachers with higher education, and continuous training of teachers for effective EE in the school environment. This sample, although limited, is instructive. The complexity of Brazilian EE is reflected by the scope and diversity of institutions once Brazil is a country of continental proportions, divided into 27 administrative states (federal units) and 5570 municipalities, and the current school census detected more than 184,000 basic education schools (48.6 million students) and 2047 higher education institutions (8 million undergraduate students) (INEP/MEC 2018).

17.2 Different Views on Environmental Education in Brazil

The efforts of nongovernmental organizations (NGOs) and environmental activists led the discussion of environmental issues onto national and international agendas before the 1990s. In Brazil, EE was institutionalized and defined by the National Environmental Education Policy Act (NEEP; Federal Law No. 9795/1999) as “processes through which individuals and the community build social values, knowledge, and abilities, attitudes and competencies focused on environmental conservation, as an asset (human capital) of common use by the nation, essential to a healthy quality of life and sustainability.” The institutionalization of the NEEP was an important legal landmark in Brazil, although it was only shaped at the turn of the century. Nevertheless, Layrargues (2002) considered the NEEP lacks important issues for its better functioning. In order to improve it, Layrargues and Lima (2014) incorporated the concept of *Campo Social* (field theory) into EE. They argued that

Table 17.1 The three pedagogical macrotrends of environmental education in Brazil, according to Layrargues and Lima (2014)

Conservationist
Linked to ecological principles and expressed by behavioral currents and value affection towards nature and sensory-perception activities outdoors.
Values a “green agenda” (biodiversity, protected areas, biomes, ecotourism, and agroecological experiences).
Adopted an ecological bias disregarding the social, political, economic, and cultural dimensions.
Limited potential for social transformation because it does not question the ruling social structure and only proposes sector reforms.
Pragmatic
Linked to free-market environmentalism resulting from a neoliberal hegemony. The market logic prevails over other social spheres, and this trend appropriates the “sustainable consumption” concept and is strongly influenced by education for sustainable development – ESD.
Values a “brown agenda” (solid waste, energy- and water-saving, carbon market, ecological footprint, certifications, and clean development mechanisms).
Considers a mechanism of adjustment to state reductions, thereby correcting “imperfections” of the production system based on consumerism, programmed obsolescence, and disposability.
Identifies results focused on a sustainable future but is limited by political realism, economic viability, and status quo maintenance, thereby decreasing the political confrontation of the environmental crisis.
Absence of contextual reflections, which would allow for the articulation of the causes and consequences of environmental problems.
Critical
It has a strong sociological and political bias with an emphasis on a critical revision of the grounds that allowed human domination and capital accumulation mechanisms; it attempts to identify political confrontations associated with inequality, social transformation, and socioenvironmental injustice.
Values the “citizen agenda” (democracy, participation, environmental justice, and conflict mediation).
Exposes contradictions in developmental and societal models.
Close to the complexity theory framework since reductionist solutions do not address environmental problems.

EE presented itself as a unified and homogenized concept to a wide unspecialized audience, thereby decreasing its diversity and the pedagogical, political, ethical, and epistemological characteristics that define its concepts and practices. On the other hand, environmental educators acknowledge the importance of the multiple natures of EE as well as of the numerous political-pedagogical trends that constitute it.

Layrargues and Lima (2014) identified three political-pedagogical macrotrends of EE in Brazil: conservationist, pragmatic, and critical (Table 17.1). In general, the conservationist and pragmatic macrotrends represent two evolutionary moments of the same lineage, whereas the critical macrotrend arises as an alternative. These three macrotrends should function as analytical models around which a plurality of pedagogical concepts in Brazilian EE is recognized. Despite being considered distinguished views on education, the three share many similarities.

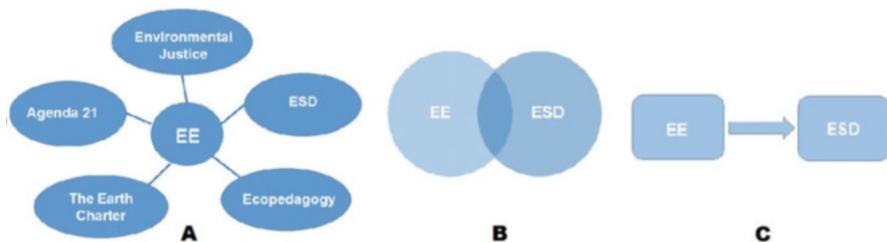


Fig. 17.1 Results of the survey conducted by Hesselink et al. (2000). (a) Education for Sustainable Development (ESD) is a subfield of Environmental Education (EE); (b) dialogue between EE and ESD; and (c) ESD represents an evolution of EE. (Adapted from Meira and Sato 2005)

The Rio+10 Summit, which took place in Johannesburg in 2002, highlighted the controversy associated with the goals and foundations of EE and Education for Sustainable Development (ESD). At that time, the study by Hesselink et al. (2000) for the IUCN served as a basis for understanding the worldwide perception of EE. A total of 50 participants from 25 countries answered an online questionnaire. The survey drew upon three different panoramas and showed a slight predominance towards a vision of ESD as an evolutionary stage or a new generation of EE (which had always been naturalist, apolitical, and lacking scientific rigor) (Fig. 17.1).

A work by UNESCO (2004) strongly differed from the analysis by Hesselink et al. (2000), highlighting that ESD should not be equated to EE. UNESCO also indicated that ESD covers EE and shows holistic and interdisciplinary characteristics, guided by values, critical thinking, and problem-solving, and is locally relevant and values participatory decision-making processes.

This specific position by UNESCO seems contradictory when compared to other documents produced by this same organization, previously defending EE as a transversal (non-disciplinary) approach, guided by the huge complexity and scale of the human relationship with the environment. This estrangement increased in Latin America and the Caribbean when González-Gaudio (2004) interviewed 101 specialists from 17 countries and observed that 53% of them considered the transition from EE to ESD inconvenient for the following reasons: (1) Regionally, EE has social and economic elements that promote ESD but without the required institutional and political support, and (2) EE represents the loss of a symbolic capital constructed regionally with difficulty and with great transformative potential, that is, what we call prestige or honor that allows us to identify the social agents.

Despite the international debate, the Brazilian plurality of pedagogical concepts promoted an improved understanding of the origins and drivers that affected different EE trends. Formal EE was consolidated upon the establishment of the National Curriculum Guidelines for Environmental Education (NCGEE) by the National Education Council through Resolution No. 02/2012, which is a benchmark document of EE within the context of formal education.

Numerous Brazilian governmental campaigns (some emerging from social pressure) focused on different themes. Perhaps the most important element is the

National Program for Environmental Education (Programa Nacional de Educação Ambiental – PRONEA), which is structured in three components: (1) training of managers and educators, (2) development of educational actions, and (3) development of tools and methodologies. Environmental education mainstreaming and structuring culminated in public policies aiming at other complementary themes.

17.3 Mangroves and Environmental Education

Studies on mangroves as a theme within EE in Brazilian schools showed a great diversity of practical approaches (Ghilardi-Lopes et al. 2019b), frequently based on naturalistic observations, describing short-term experiences. Overall, schools focus on the identification of fauna and flora, as well as a superficial identification of environmental impacts on mangroves within the local context. Considering the pedagogical-epistemological dimension, a significant number of studies adopted a “before/after” methodological approach to evaluate the success or conceptual gains through the adopted interventions.

Most of the numerous perception studies aiming at understanding students’ cognitive degree before exposure to contents were mediated by questionnaires, photographs, or drawings. Theoretical confusion appears to be generated by the different understandings of terms like perception, conception, and social representation. In general terms, these notions come from the field of social psychology and depend on the input associated with different contexts of human experience (Bronfenbrenner 2011) and pro-environmental beliefs and behaviors (Corral-Verdugo and Pinheiro 1999; Pol and Castrechin 2013), which were essentially absent or undervalued in the hereby considered studies. Most of these studies were published after 2005, when there was already a consolidated movement of national and regional environmental education meetings on mangroves (*Encontro Nacional de Educação Ambiental em Áreas de Manguezal* [ENEAM] and *Encontro Regional de Educação Ambiental em Áreas de Manguezal* [EREAM]), aiming to discuss mangroves in the context of EE (Table 17.2). These meetings introduced educational strategies typical of educommunication and were open to participation by community leaders and mangrove stakeholders. That led to the proposal of a set of educational practices aimed at improving expression abilities within the education community to allow the creation of better tools and environments for the process and the community.

The gap between events observed after 2008 was filled by meetings at the state level, especially in Maranhão and Alagoas. In 2004, at São Francisco do Sul, Santa Catarina State, the organizing committee drew closer to the Redmanglar International network at a moment of ideological unrest and need for rescaling goals due to the growing pressure from the shrimp farming industry to build new facilities close to or in mangrove areas. This highlighted the need for a more militant and critical EE focused on mangroves.

Table 17.2 Dates and locations of the national and regional environmental education meetings on mangroves areas (Encontros Nacionais e Regionais de Educação Ambiental em Áreas de Manguezal: ENEAAM/EREAAM, respectively) in Brazil

Events	City, State	Year
I ENEAAM	Maragogijpe, BA	1993
II ENEAAM	Rio de Janeiro, RJ	1994
III ENEAAM	Cabedelo, PB	1995
IV ENEAAM	Serra, ES	1996
I EREAAM (Southeast)	São João da Barra, RJ	1997
I EREAAM (Northeast)	Ilhéus, BA	1997
V ENEAAM	Bragança, PA	1998
II EREAAM (Northeast)	Recife, PE	1999
VI ENEAAM	Parnaíba, PI	2000
II EREAAM (Northeast)	Maragogijpe, BA	2001
III EREAAM (Northeast)	São Luís, MA	2003
VII ENEAAM	São Francisco do Sul, SC	2004
IV EREAAM (Northeast)	Recife, PE	2005
V EREAAM (Northeast)	Itaparica, BA	2007
VI EREAAM (Northeast)	Penedo, AL	2008

Brazilian states: *BA* Bahia, *RJ* Rio de Janeiro, *PB* Paraíba, *ES* Espírito Santo, *PA* Pará, *PE* Pernambuco, *PI* Piauí, *MA* Maranhão, *SC* Santa Catarina, *AL* Alagoas

Despite the first meeting occurring as early as 1993 (Table 17.2), it was not until 2007 that the Brazilian Association for Environmental Education in Mangrove Areas (EDUMANGUE) was created (Soffiati 2015). The association stressed the engagement in EE by academia, NGOs, diverse coastal stakeholders, and governmental institutions. The association's goals are as follows:

- To promote information exchange between parties, public institutions, organized society, and traditional communities.
- To support public and private institutions in implementing policies focused on the socioenvironmental and cultural sustainability of mangrove ecosystems in Brazil.
- To promote EE initiatives, scientific research, and training of cadres interested in the sustainability of mangroves.
- To propose and/or launch public and civil actions associated with related themes.

The meetings were promoted by researchers, NGOs, and environmentalists because of the need to overcome the perceived dichotomy between the so-called scientific and traditional knowledge gap concerning mangrove ecosystems. Hence, the inclusion and participation of traditional communities' representatives as lecturers became a core principle, which was previously considered to be incompatible within academic events. Therefore, ENEAAMs were expected to encourage the finding of new trends with the adoption of a reflective process combined with a strategy of teaching, research, and outreach in our universities. Unfortunately, EDUMANGUE lost its cohesion just after being created as a formal association (2007), probably due to a lack of effective articulation. Pedrini (2006) stated that

these events acted as successful instruments of knowledge exchange but had little recorded significance in academic publications. As it is well known, academia does not yet reward publications aiming at EE practices or guidelines despite the efforts of some groups and authors.

17.4 The *Maravilhosos Manguezais do Brasil* Initiative

The Brazilian NGO Instituto BiomaBrazil and the Ministry of Environment have organized and implemented a Brazilian version of the NGO Mangrove Action Project's (MAP) education guide *Marvelous Mangroves* in the year 2008 (Almeida et al. 2008). The initiative has been named *Maravilhosos Manguezais do Brasil* (Marvelous Mangroves of Brazil), and it has been adopted as a tool for training teachers in mangrove-rich areas to improve knowledge on the ecosystem. This teaching guide has been distributed to educators during training courses that have theoretical and practical components.

A second stage of the course consisted of developing activities within the school context with monthly follow-ups and monitoring, eliminating the incidental character common in EE training projects. Each course consists of 100 hours of work associated with the teaching guide. Table 17.3 exposes quantitative data of this experience in Brazil.

The MAP has been developing this experience as an international experiment in more than 10 countries. In Brazil, actions included defining the target audience (basic education teachers) and monitoring in schools after the training course. Teachers were invited to participate in an organized teacher network,¹ which currently has already 520 members.

17.5 Final Remarks

Although Brazilian mangroves are protected by law, this has not guaranteed their conservation or the protection of their ecosystem services. Environmental education projects are as important as the creation of new protected areas. Currently, the lack of adequate attention to SDG14 makes educational programs focused on mangroves even more important for preventing and monitoring losses of marine biodiversity or detection and documentation of unsustainable patterns of consumption and production.

In Brazil, official EE documents adopt a critical and citizen-focused agenda, which helps to break away from a purely naturalist vision of biodiversity and incorporates political and cultural elements into the concept. For 15 years, EE in

¹<https://www.facebook.com/groups/151858038349284/>

Table 17.3 Quantitative data from the Brazilian experience applying the teaching guide *Maravilhosos Manguezais do Brasil* in public schools

Location	Schools	Educators
Itapissuma (PE)	15	51
São José da Coroa Grande (PE)	10	40
Tamandaré (PE)	12	42
Japaratinga (AL)	11	40
Jequiá da Praia (AL)	10	21
Porto de Pedras (AL)	11	88
São Miguel dos Milagres (AL)	6	37
Maragojipe (BA)	14	31
Cariacica (ES)	26	91
Fundão (ES)	8	68
Cananéia (SP)	9	32
Total	132	541

Source: Instituto BiomaBrasil. States: *PE* Pernambuco, *AL* Alagoas, *BA* Bahia, *ES* Espírito Santo, *SP* São Paulo

mangrove areas has brought academic and traditional knowledge together based on rich experience with the so-called educommunication. Recent technical progress in EE has focused on perception studies, which are usually mediated by talks and process evaluations and centered on a cause-effect relationship (before and after), while guided visits to mangroves are still rare due to logistic difficulties by the school systems.

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Chapter 18

The Mangrove-Salt Marsh Complex: A Dynamic Landscape Approach



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18.1 Introduction

Although coastal wetlands share many attributes with inland types, they are exposed to a far more energetic environment where large amounts of energy are dissipated in constructive as well as destructive ways. Persistence in this environment requires adaptations at the species level to deal with coastal dynamism. At the population level, diaspore seeds and propagules with multiple dispersal characteristics provide rapid propagation and exploitation of substrates. This species richness translates into a gene pool at the landscape level and macroscales as a regional biogeographic pool. Along these lines of thought, it is appropriate to highlight that coastal wetlands are subject to disturbances and changes that span several spatiotemporal scales. Fast changes occur at the local level (hours, days). Slow change (10^3 years) is triggered by sea-level eustatic change, isostasy, and tectonic uplift or submergence. The third type of change involves catastrophic, rapid episodes such as high-magnitude floods and storms.

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Depending on the scope of the disturbance, different levels of organization contribute to inducing regeneration of the landscape. At the local scale, community-level properties can cope with small frequent disturbances. Intermediate-level disturbances are addressed by landscape organizations such as self-organized changes in the configurations of mosaics. The most extreme disturbances, such as climate and sea-level changes, are addressed by dispersal from within or adjacent coastal environmental settings (CESs) that act as refuges and propagule source areas (Rovai et al. 2018; Twilley et al. 2018) (see also Chap. 3).

Wetlands have played a conspicuous role in the planet's history. Tropical peat and organic matter accumulations formed the large coal deposits of the carboniferous period. Wetlands were also the cradle of the earliest civilization. Marshes include swamps, bogs, and saltwater herbaceous intertidal formations in lagoons and estuaries where they often co-occur with mangroves. Although salt marshes occur along many of the world's shorelines particularly in middle to high latitudes, their current occurrence and extent are incomplete (Mcowen et al. 2017). They occupy the interface between terrestrial and marine habitats, forming ecotones between salt- and freshwater ecosystems.

In the 1960s, Teal's (1962) landmark paper on the energy flow in a marsh ecosystem helped to build broad generalizations about the structure and function of estuaries that recognized marshes as outwelling ecosystems. That paper expanded the perspective beyond the level of individual plant formation to the whole ecosystem and the broader landscape, having a major role in coastal and shelf productivity since outwelling from estuaries fuels phytoplankton productivity offshore (Twilley 1988). The transformative power of the salt marsh paradigm rapidly led to a generalized awakening about the importance of coastal wetlands in general. This recognition provided an early clue that wetland processes were best judged at the landscape geocological level, the scale of the coastal environmental setting (CES) (Rovai et al. 2018; Twilley et al. 2018), and not at the individual plant community level. The recent recognition of wetlands as carbon sinks and their role in atmospheric CO₂ regulation has further spotlighted their importance.

The notable lack of information about salt marshes in Latin America was noticed by Costa and Davy (1992) and Junk et al. (2013). This is true as well of the salt marshes in Asia and Central and South America (Mcowen et al. 2017). Adam (2002) points out a clear difference in salt marsh diversity between different climatic zones, considering their distribution as arctic, boreal, temperate (Europe, Western North America, Japan, Australasia, and South Africa), dry coasts, West-Atlantic, and tropical. The latter two in which Brazil can be included: the West-Atlantic dominated by the smooth cordgrass *Spartina alterniflora* Loisel. (syn. *Sporobolus alterniflorus* (Loisel.) P.M. Peterson & Saarela, while the tropical distribution dominated by the seashore dropseed *Sporobolus virginicus* (L.) Kunth and the seashore paspalum *Paspalum vaginatum* Sw. They co-occur with the saltwort *Batis maritima* L. and the shoreline purslane *Sesuvium portulacastrum* (L.) L., among others.

18.2 Assemblages, Complex Systems, or a *Continuum* of Coastal Marsh Ecosystems

The role of salt marshes has increasingly been recognized as ecosystemic, as an assemblage of interacting biological and physical processes. The emerging paradigm has taken into consideration particulate and dissolved organic matter (POM and DOM, respectively) dynamics and bacterial-microbial loops (see Chap. 7) that introduce organic carbon into the trophic web. These findings were later extended to mangrove ecosystems by Heald (1969), Odum (1970), and Odum and Heald (1975).

Teal (1962) had observed that most of the marsh *Spartina* spp. was not grazed upon in situ but decayed and became fragmented and then exported as detritus into the estuary. Later, Odum and de la Cruz (1967) and Mesquita (1983) observed that the detrital particles were promptly covered by bacteria forming bacterial/detrital aggregates, which then became incorporated into the estuarine food web. This became a dominant paradigm until Haines and Montague (1979) expanded the confirmatory evidence showing that the estuarine food web was far more broadly based and more complex than had been derived from previous gross oversimplifications. Using stable carbon isotopic ratio analysis, Haines and Montague (1979) and later researchers demonstrated that (1) algal-derived organic matter from microscopic blue-green algae and diatoms and edaphic and planktonic algae are a significant element of estuarine food webs (Sullivan and Moncreiff 1990); (2) vascular plant detritus may temporarily accumulate in situ where it is consumed by snails, its consumers, and associated trophic cascade; and (3) estuarine food webs, therefore, are far more complex, involving multiple sources of detritus and trophic pathways (Haines and Montague 1979; Domingos and Lana 2017).

There are serially concatenated as well as parallel pathways (networks) that can switch routings depending on prevailing conditions as during ecological succession or variations in environmental forcing functions. This is consistent with the view of marshes and mangroves as constituents of a larger highly interwoven, interactive estuarine system (Rovai et al. 2018). It highlights the need to recognize higher-level indirect as well as local, direct relationships. Advances in the understanding of complex systems' dynamics have been constrained by the dominance of a limited perspective based on parts and local scale rather than on whole entities.

A hological approach, on the other hand, recognizes higher-level functions that generate sustainability and long-term persistence (Ulanowicz 1997). Thus, the parts considered shaping an autocatalytic estuarine configuration that is not merely reactive to change but creates its own domain of influence and function. That approach is complementary to a traditional reductionist one, implying that ecological understanding requires a multiscale perspective for framing causal processes.

18.2.1 Mangrove and Salt Marshes as a *Continuum*

West (1956) and others have recognized mangrove and salt marshes as a *continuum* vegetation unit that included mangroves and freshwater tidal swamps and mixed mangrove-*Acrostichum* spp. belt or patches that would correspond to a mangrove-salt marsh association. Schimper (1888) recognized a brackish vegetation formation adjacent to mangroves occupied by “semi-mangroves,” in Asia, bordering the freshwater community inland. Generally, this zonation has been interpreted through the lens of a freshwater-saltwater succession. However, Clarke and Hannon (1969) recognized and described this formation as an integrated community rather than a transient seral community. Similarly, Walter (1977) considered this assemblage as a discrete community (ecosystem).

We expand in this line of thinking to suggest that the functional unit and its associated phenomena are “captured” by the geomorphological setting: a natural surface enclosing a mosaic (Rovai et al. 2018). The setting as a framework serves as a tangible discrete region that delimits aspects and process characteristics of the behavior of multiple interacting ecosystems. It also serves to integrate conceptual levels of observation and scale-independent entities (ecosystems and landscapes) into a scale-defined geographic unit. Furthermore, this perspective allows changing scales by adjusting the resolution (scope and grain), starting from a structurally defined entity (see Allen and Hoekstra 1990, 1992).

Here, we highlight that salt marshes are integral constituents of a coastal wetland geocomorphological *continuum* where fresh- and saltwater marshes and mangrove forests self-assemble into landscape-level process-functional entities. Until now these areas have been considered aggregates of separate communities, whereas here we argue that a wetland *continuum* perspective is more realistic and helpful for understanding, management, and policymaking. See Chap. 3, Maps 1–17 show the location of mangroves, salt marshes, and salt flats in all Brazilian coastal states.

18.3 The Highly Dynamic Coastal Marshes

Coastal salt marshes have been defined in their most general sense as environments high in the intertidal zone where an often-muddy substrate supports halophyte plants (Allen and Pye 1992). They develop on the open coast, sheltered behind sandy barriers, and are also found in deltaic or non-deltaic estuaries where they occupy landforms between mean sea level and higher high water. The duration and frequency of tides influence the distribution although the configuration may be significantly modified by local site factors such as geomorphology and sediment inputs and transport. Site factors become particularly critical where salt marshes develop near ecological thresholds.

Salt marshes are highly dynamic formations vulnerable to erosion but capable of rapidly colonizing new sediments. Zonation is linked to flooding and salinity. At the

higher intertidal elevations of depositional landform freshwater runoff, seepage and percolation reduce the salinity favoring glycophytes and freshwater marsh species, which become dominant (West 1977). The more halophytic species thrive at the lower marsh, where tidal mixing increases salinity and provides greater fluxes of nutrients and substrate aeration.

18.4 Salt Marshes in Brazil

In the context of Brazil, Junk et al. (2013) indicate that whereas there is considerable scientific information about the Brazilian mangroves (Brazil Blue Initiative 2018), the same is not true for other types of wetlands, particularly the ca. 121 km² coastal “marismas” (Portuguese for salt marshes) (Brazil Blue Initiative 2018) despite their presence along most of its coast. Only recently the importance of some of these marshes has been recognized by ornithologists as habitats for endangered bird species (Favretto 2018).

Much of the northern Brazilian coastal plains are dominated by the Amazon delta, which is occupied by assemblages of mangroves, marshes, and swamps that are prominent elements of the northern coastal landscape. In some environments, salt marshes form extensive monospecific meadows of *Spartina* spp. or can be associated with mangroves as in most of the tropical/subtropical Brazilian coastal landscape (Dansereau 1947; Lamberti 1969; Adaime 1978; Panitz 1992; Charlier-Sarubo et al. 2015; Schaeffer-Novelli et al. 2016). The most common genera throughout the country are *Spartina*, *Salicornia*, *Juncus*, *Paspalum*, *Cyperus*, *Batis*, *Sesuvium*, and *Bacopa* (Delaney 1962; Marangoni and Costa 2009).

In general, marshes are less prominent along the Brazilian coast, but they are locally important where freshwater seepage is significant and thrive behind mangrove fringes, or sandy barriers. The higher levels of these marshes occur where precipitation exceeds potential evapotranspiration ($P > PET$), which are subject to freshwater inputs from land drainage seeps and springs and salinities up to 20.

Tidal flushing creates topographic *catenas* within a tidal gradient. Technically these *catenas* are known as *haloseres* (or halophytic *catenas*). Some halophytes are facultative, but others persist only under the condition of low rainfall ($P < 1300$ mm year⁻¹) and high salinity where the high marsh becomes a distinctive morphoclimatic feature where salt accumulates, forming crusts and bare or succulent-covered areas (Guilcher 1979).

18.4.1 The *Apicum* Feature

Salt marshes are often integrated with mangroves where they develop best in the upper intertidal zone occupying spaces within a salinity range of 0–30. In arid or

semiarid environments, mangroves merge into “apicuns,” “salgados,” or “areais” (Portuguese terms for salt pans, salt flats, or sabkhas).

The *apicum* (singular for *apicuns*) is dynamically coupled to the lower marsh and mangrove by haloserice successions, tidal flows, and animal migrations. The *apicum* is a feature of the mangrove ecosystem (just above the mean level of spring tides), landscapes typical of northeast Brazil and a dynamic feature of the upper intertidal zone between $\sim 5^\circ$ S and 15° S where the amplitude of spring tides is higher than 2.6 meters. Here, interstitial salinities reach values higher than 100 (Marius et al. 1987; Nascimento 1999; Meireles et al. 2007). These areas expand during periods of drought and contract depending on precipitation and long-term sea-level cycles (e.g., metonic, lunar cycle of 18.6 years). Mangroves are part of this dynamic halofacies dominating along creeks or as scrubby growth of the most tolerant species (*Avicennia* spp. and *Laguncularia racemosa*), which may be present as stunted individuals. This environment is dominated by pantropical salt-tolerant species such as *Sporobolus virginicus* and *Sesuvium portulacastrum* (Schaeffer-Novelli 2002).

The “bare” appearance of salt flats is deceiving. *Apicum* substrates are known to host bacteria, filamentous blue-green algae, microinvertebrate communities, scavengers, and predators such as fiddler crabs and birds (residents and long-distance migrants). During moist periods, algal mats may form, which break up and are dispersed by runoff during periods of heavy rain. Thus, these apparent bare flats export dissolved organic carbon (DOC) and dissolved organic matter (DOM) (Hogarth 2015).

The perception of *apicum* as a bare feature is also a result of short-term observations that ignore interannual or decadal oscillations in sea level and climate. The latter is not as static as previously thought, and several types of oscillations are intrinsic to the El Niño Southern Oscillation (ENSO) climate system and shifts in the Intertropical Convergence Zone (ITCZ) that can force adjustments in the configuration of the high marsh, which see-saws (fluctuates) in extent according to the strengths and periodicity of the local variability in climate (e.g., rain cycles associated with atmospheric oscillations; Kayano and Andreoli 2004). These sea level and atmospheric oscillations interact in either a constructive manner or destructively when they are out of phase causing expansion or contraction of “bare” areas along multidecadal scales (15–35 years).

18.5 The Gap for Salt Marshes: Discontinuity

A notable feature of the distribution of mangroves and salt marshes along the coast of Brazil is the absence of mangroves below $28^\circ 30'$ S and surprisingly, although not reported, the absence of marshes beyond this point (Table 18.1, see Chap. 3, Maps 16 and 17). This absence is a discontinuity because they reappear at $31^\circ 48'$ S (Isacch et al. 2006; Marangoni and Costa 2009) and extend to the southernmost estuarine portion of Patos Lagoon near $32^\circ 07'$ S and again reappear at the La Plata Estuary

Table 18.1 Austral limits for mangroves in Atlantic South America, where *PR* Paraná, *SC* Santa Catarina, *RS* Rio Grande do Sul (see Chap. 3, Maps 15, 16, and 17)

Latitudes	Sites	States	Sources
28° 56' S	Araranguá River	SC	Chapman (1976)
27° S	Florianópolis	SC	Tomlinson (1986)
29° S	Araranguá	SC	Walter (1977)
28° 30' S	Ponta Grossa River, Laguna	SC	Cintrón-Molero and Schaeffer-Novelli (1981)
28° 30' S	Ponta Grossa River, Laguna	SC	Schaeffer-Novelli et al. (1990a)
33° S	Mangueira Lagoon	RS	Hogarth (1999)
27° 56' S	Guarda do Embaú, Ibituba	SC	Spalding et al. (2010)
28° 28' S	Ponta Grossa River, Laguna	SC	Soares et al. (2012)
25° 54' S	Guaratuba Bay	PR	Scott (2014)
28° 28' S (?)	Laguna	SC	Saintilan et al. (2014)
28° 30' S	Laguna	SC	Ximenes et al. (2018)

(35° S, in Uruguay) from where they extend into austral latitudes (>40° S). Although some researchers consider the Patos Lagoon as an estuarine system (Lessa et al. 2018), tidal influence only reaches 60 km from the Rio Grande municipality (southern Patos Lagoon system) inlet and the estuarine portion of the lagoon is about 900 km² restricted to the southernmost part of the system (Odebrecht et al. 2010, 2017), limited to 10% of the system. Salt marshes that occur between 31° 48' S and 32° 07' S are indicators of saline estuarine environments (Marangoni and Costa 2009).

Some 70 km south of Laguna is the Araranguá River outlet (28° 56' S), which is blocked by longshore transport of sediment (Siegle and Asp 2007) for periods longer than 6 months (Gabaglia 1916). In Araranguá, mangroves are absent (Chapman 1976; Soares et al. 2012; Ximenes et al. 2018); however, some brackish and freshwater species are present as *Hibiscus* spp. and *Dalbergia* spp. (Schaeffer-Novelli and Adaime 1979 pers. observation). At Tramandaí River (29° 56' S), sediment core-sample records for palynological analyses reported the presence of both fresh- and saltwater marshes, e.g., *Salicornia gaudichaudiana*, *Acrostichum danaeifolium*, *Typha angustifolia*, and *Scirpus gigantesus* (Lorscheitter and Dillenburg 1998). The study registered episodes of salt marsh dominance associated with marine intrusions but no mangrove presence in the last 5000 years.

This physiographic limit has raised much interest in the scientific community because mangroves in other parts of the world reach more austral latitudes. Furthermore, the south of Laguna extends one of the world's largest lagoon systems (Patos Lagoon) and the climate type is similar to the climate in the latitudes elsewhere. For example, mangroves are still found in New Zealand in such latitudes and, where their expansion creates such great concern, national programs have been developed to remove them (ARC-Auckland Regional Council 2007).

As mentioned above, Brazil's mangrove forests or luxurious salt marshes are missing from most of Patos Lagoon, which extends from latitudes 30° S to 31° 48' S, considered the world's largest choked lagoon (Kjerfve 1986). Most of its water is predominantly fresh to oligohaline, with fluvial and wind influences as main hydrologic drivers. The lagoon has a surface area of 10,360 km² (Odebrecht et al. 2010). $P > PET$ in most of its watershed, resulting in freshwater predominance, which causes a head of surface-level considerably above sea level (Kjerfve 1986). This type of lagoon is typical of high-energy microtidal coasts, which becomes choked by the extremely high littoral transport and high wave regime. Littoral sand transport chokes barrier openings and seals off the lagoon from the ocean except at its southern end. The connection to the Atlantic is maintained by jetties built early in the twentieth century to stabilize the inlet (Odebrecht et al. 2010).

Different hypotheses have been developed to explain this prominent discontinuity in mangroves, whereas the gap in salt marsh has been overlooked. Soares et al. (2012) suggest that mangrove dispersal is blocked by the longshore current described by Siegle and Asp (2007), suggested by the northward coastal orientation of the shoreline between Laguna (28° 30' S) and the Araranguá River inlet (28° 56' S).

Conventionally, latitudinal zonality (the use of latitude as proxy for temperature) has been used to explain the mangrove confinement to Laguna. Vegetation studies have emphasized the concept of climatic zonality. Many geomorphic, oceanographic, and meteorological factors have been suggested as causal agents for this notable absence; seawater and air temperature and the nearshore currents that flow sometimes in directions that would prevent dispersal are among those considered, as well as periodic frost events (Cintrón-Molero and Schaeffer-Novelli 1981; Schaeffer-Novelli et al. 1990b; Soares et al. 2012). What is notable in this ecological story is that not only mangroves are missing; salt marshes are missing as well except at the southern end in the Patos Estuary (Odebrecht et al. 2010; Fontoura et al. 2016; Odebrecht et al. 2017). Surprisingly, this discontinuity has remained poorly reported by researchers perhaps because the distinction between salt marshes and freshwater marshes needs a keenly trained eye and more than casual superficial observations. At ~32° 10' S, *Spartina alterniflora* forms monospecific meadows where salinity is about 10 (Cunha et al. 2005).

18.6 The Freshwater Barrier to Mangrove and Salt Marsh Colonization

Immediately below the Serra Geral Mountain Range, orographically enhanced rain events (>1400 mm) contribute to the freshening of the narrow coastal strip (Siegle and Asp 2007) (see Chap. 3, Maps 16 and 17). This physiographic blockage is enhanced by freshwater inputs from high precipitation (>1200 mm), seepage, and over-land surface drainage. Here we suggest that the mangrove limit and the salt

marsh gap that occurs in south Brazil is the result of a bottleneck created by a combination of physiographic factors and the regional-scale climatic feature of the South American continent. a feature that has just been recognized in the last decade (Carvalho and Cavalcanti 2016), the South American Monsoon System (SAMS), and its associated feature, the South American Convergence Zone (SACZ). These features, which are of continental and regional scales, produce abundant summer convective precipitation over land influencing soil moisture, river flows, large-scale flooding, and wetland hydroperiods (Cintrón-Molero and Schaeffer-Novelli 2019) (see Chap. 3).

SAMS is part of an integrated global climate system that includes other well-known and familiar monsoons: Asian, Australian, African/Indian, and North American. SAMS is South America's monsoon system, but it is somewhat different from others and perhaps for that reason has not been as widely recognized by nonspecialists. However, monsoonal characteristics have been recognized by Azevedo (1964) who suggested that the Amazon rainforest acted as an inland "sea" that feeds the Chaco Monsoon; Sioli (1984) suggested the presence of a large-scale branch of an aerial hydrological cycle as the agent bringing moisture to the La Plata Basin.

SAMS and SACZ are manifestations of an aerial "lake" and river that brings huge amounts of moisture from the Amazon Basin to Southeast Brazil and the La Plata Basin. This southernmost coastal segment receives drainage from that basin as well as abundant convective precipitation from the SACZ, which extends southwestward along the northeastern boundary of the basin during the summer months (Silva and Kousky 2012). Annual mean rainfall decreases from north to south and from east to west. A low-level jet runs along the Pampas syncline between 28° S and 34° S (Bridges 1990). This corridor is characterized by the deep convective activity of various sizes and intensities (Fig. 18.1).

In general, from 20° S to 25° S latitudes, summer rainfall is a distinctive signature of the mature SAMS and the SACZ keeping the choked Patos Lagoon system almost fresh with minimal saltwater intrusion except near its southern end (Odebrecht et al. 2010).

It is widely recognized that mangroves are poor competitors under nonsaline conditions where they are replaced by glycophytes or brackish assemblages. According to Walter (1973), mangroves are azonal vegetation confined to saltwater tidal regions being absent even in tropical and subtropical regions where freshwater flows prevail over saline intrusions, such as the mouths of the Orinoco and the Amazon rivers (Huek 1978), and elsewhere such as coastal lakes. Salinity plays a key role in the growth and distribution of mangroves. Saltwater is an ecological requirement because although they are capable of growth in freshwater, saltwater prevents competition by non-halophytes. Despite their capacity to grow in freshwater for limited (time) periods, they are outcompeted by non-halophytes over longer (time) periods in nature. The presence of salt is a common feature of all mangroves although salinity may be only a seasonal or intermittent characteristic (Lugo et al. 1989).

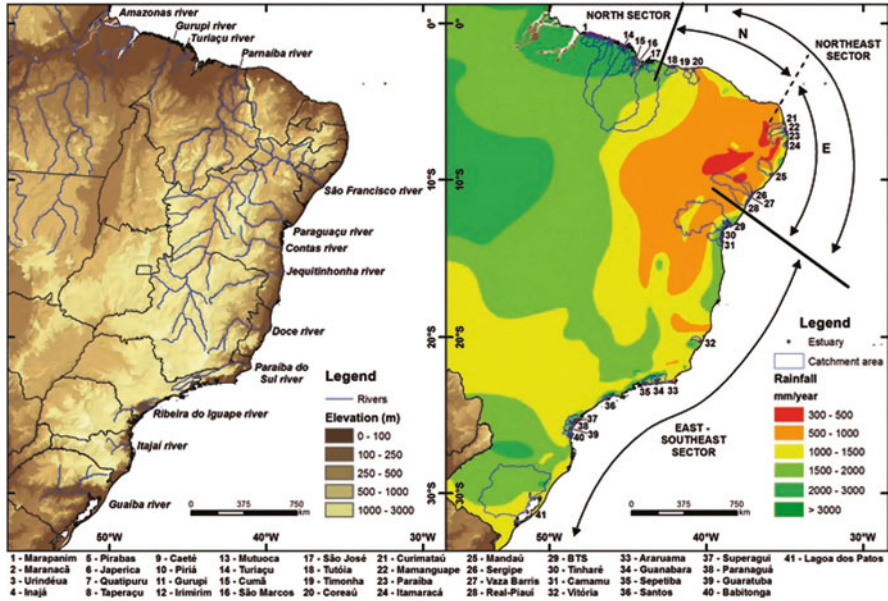


Fig. 18.1 Elevation map and the location of the Brazilian rivers with mean discharge higher than 100 m³/s (left). Distribution of the mean annual rainfall in the continent, location of the main estuaries (>40 km²), and their respective catchment areas (right). (Source: Lessa et al. 2018)

18.7 What to Expect from Climate Change?

Climate change has been an issue of increasing concern in the literature related to salt marshes and mangroves along the Brazilian coast (Schaeffer-Novelli et al. 2002; Soares et al. 2012; Schaeffer-Novelli et al. 2016; Ward et al. 2016; Servino et al. 2018; França et al. 2019).

Historically, the southern limit of Brazilian mangroves has been attributed to mangrove-salt marsh low-temperature limitations (Cintrón-Molero and Schaeffer-Novelli 1981; Schaeffer-Novelli et al. 1990b; Soares et al. 2012; Saintilan et al. 2014; Ximenes et al. 2018). The north-south orientation of Brazil’s coast under the climatic change in temperature should favor the southward expansion of mangroves and encroachment on salt marshes. It is expected that these temperature changes will be manifested differently in separate coastal settings; for example, in northeast Brazil, episodic droughts would result in an expansion of *apicums*.

Most climate projections predict enhanced seasonal amplitudes, early onset and late demise, and duration of SAMS (Soares and Marengo 2008), one of the drivers responsible for the bottleneck physiographic-climate-freshwater barrier mangrove’s blockage below 28° 30’ S. This is consistent with the IPCC’s Couple Module Intercomparison Project 5 – CMIP5 (Jones and Carvalho 2013). Nevertheless, in southeast Brazil, higher temperatures are expected to increase the frequency and

intensity of storms and atmospheric moisture in this coastal setting (about 30° S to the south), where enhanced ITCZ and ENSO activity would increase rainfall and freshwater inputs would also alter salinity triggering changes in the configuration of mangrove-marsh ecotones and blocking mangrove and salt marsh expansion southward.

18.8 Marshes and Mangrove Forests Under a Wholistic Perspective: From Ecosystems to Landscape

Although we tend for convenience to see nature as an aggregate of separate entities (ecosystems), these do not act as autonomous parts; they interact and function as constituencies that are intrinsically interwoven into a complex trophic thicket that cannot be disentangled, a functional whole. This extreme level of connectivity is facilitated by overlapping environmental tolerances, active transport processes, species movements, and strong mixing energies due to ecosystem interactions (ecosystems are open systems). In fact, functional connectivity can be stronger than structural proximity once a complex tidal channel network (tidal creeks) provides for the widespread distribution of diaspores and nutrients throughout the area.

The relevance of higher-level organization to sustainability and endurance is that local systems may appear to be at a steady state, but as open systems, they are not in equilibrium. All ecosystems exist in a state of constant flux. Yet ecosystems persist; in fact, local variability builds long-term robustness. Robustness is generated by a hierarchically organized network of interactions that build resilience at different scales (Walker et al. 2005). Robustness is the combination of local resilience (engineering resilience), ecological resilience, mutualism, redundancy, and degeneracy (functional redundancy). These attributes together build landscape-level fitness and evolvability (Voigt et al. 2005).

The integrated system is a dialectical ensemble of interacting levels where the lower levels are closely linked to environmental change and act as testing and selecting grounds, while the higher levels provide a genetic pool that includes the biogeographic scale. The different levels act as an autocatalytic cycle that is self-enhancing. Thus, this autocatalytic configuration is not simply reactive to environmental change; it creates its own domain of influence (Ulanowicz 1997).

Ulanowicz introduced the notion of ascendance and “overhead” to describe the growth and development of ecological organizations. Using this perspective, we can infer that the local levels are characterized by ascendant attributes for growth and expansion, whereas the higher levels act as a reservoir of genetic information available for regenerative work. This corresponds to the overhead of the system. These attributes act in combination although at different spatiotemporal scales. This dialectical perspective helps to clarify how Clements (1916) and Gleason’s (1939) considerations of community processes are complementary. Clements focused on the highest levels, whereas Gleason focused on the dynamics of local levels positing

that at any instant the local community was a product of random opportunistic establishment of species available for invasion and self-assembly. There is no climax since the environment is always changing. The landscape at any one moment is “perfect” (*sensu* Phillips 2007).

18.9 Final Remarks

1. Salt marshes and associated systems (freshwater marshes and mangrove forests) form an integrated complex. A temporal and spatial *continuum* where interconnectivity and interrelationships restrain partitioning into isolated units.
2. The most appropriate perspective to understand marsh-mangrove dynamics is the landscape perspective comprised of the physiographic setting or CES (Thom 1984; Rovai et al. 2018; Twilley et al. 2018) (see Chap. 3).
3. At the extreme latitudinal range of distribution, local site factors can play highly influential roles in modifying environmental constraints buffering environmental stressors.
4. Freshwater-dominant coastal environments (such as Patos Lagoon) inevitably exclude mangrove plants acting as a biogeographic barrier to dispersal.
5. The north-south orientation of the southeast Brazil coast would favor southward expansion of marshes and mangroves in a warmer climate scenario, but warming is expected to increase atmospheric moisture in this part of Brazil, which will enhance ITCZ and ENSO activity that would increase freshwater inputs and enhance the blockage at the physiographic-freshwater barrier south of about 30° S.
6. These systems are nonequilibrium and constantly adjusting to internal and external drivers; variability is multiscale and reflects oscillations in external forcing functions as well as internal self-organization.
7. Dynamic systems cannot be “segmented” or placed in “straitjackets”; they must remain open in order to adjust and reconfigure in response to the environmental orientors as an integrated whole consistent with Walter’s (1973) hydrohaloseric *continuum* and more recently by Phillips’ (2007) recognition of landscapes as “perfect landscapes” (also see Chap. 3).
8. Salt marsh and mangrove forests’ spatial distribution and extents are important for calculating/estimating potential carbon storage and management of these areas in an integrated manner, not as separate units.

Coastal wetland ecology has underestimated the intertwining of connections within the system and to the higher-level landscape (Braswell 2015); what we suggest is an integration of observations and ideas of West (1956), Clarke and Hannon (1969), Walter (1968), and Thom (1984) who provided the notion of a geomorphic framework that entrains processes and function in a geographic discrete area.

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Chapter 19

Coastal Zone Adaptive Management in the Context of Complex Adaptive Systems



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Primum non nocere “*First do no harm*” (*Hippocrates of Kos, c. 460-377 BC*)

19.1 Introduction

Historically coastal zones have been important convergence zones of natural and social-ecological processes (see Chap. 14) and generators of history, tradition, and place value. Despite the emerging focus of resource management for the conservation and sustained delivery of ecological services, mangrove-salt marsh complex systems (or settings) are being lost at alarming rates due to urban, industrial, port, and aquaculture expansion (see Chap. 15). In part, those losses are triggered by economic growth perspectives that ignore or fail to consider the emerging notions of complex adaptive system (CAS) (*sensu* Levin 1995) dynamics in the coastal zone, and the pervasive interconnectivity that integrates coastal ecosystems into increasingly larger and more complex macroecosystems – from individual sites to coastal settings.

The destruction of coastal units that span whole landscapes has epistemic roots related to ignorance, as well as to the fundamental incoherence between worldviews based on the equilibrium theory (e.g., economy) and nonequilibrium dynamics

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(ecology). Most decision-makers are not aware of this incompatibility and its consequences, resulting in a persistent fragilization of coastal systems at a time of rapid global environmental change when prudence and anticipatory principles were supposed to be the foundations of sustainability. Decision-makers are often deceived by the apparent profuse natural resilience and are not aware that this attribute is not permanent, but variable and eminently contextual. Effective resource management depends on how we perceive the way nature is organized and functions since there must be harmony and congruence between perception, understanding, and policies. Therefore, skillful management requires competence to interpret CAS behavior accurately. Without it, it is impossible to frame problems and design policies that are harmonious with natural processes.

The traditional managerial approach of authoritative top-down command-control is alien to the way CASs function and that explains to a large extent the degree and scope of coastal systems' deterioration. During times of accelerated change, policymaking must be highly flexible and command-control strategies become increasingly detrimental. Even when management policies have been well intended, the outcomes often have been harmful, or the unintended consequences intolerable. For example, the widespread use of breakwaters and defensive structures has resulted in accelerated coastal erosion and unplanned shoreline reconfiguration in many cases (Carter 1988).

Nature is too complex to be controlled. Control, as a foundation for management, is at best an illusory and not wise approach. Ecosystem collapse is more probable than sustainability, and this is a good reason for adopting a precautionary approach toward nature. Working against nature is a pyrrhic endeavor and a waste of human and social effort and resources. On the other hand, working with nature promotes win-win outcomes.

19.2 Coastal Ecosystem Features

Settings are regions of coincident physical and ecological limits; within the boundaries of settings, unique functional complexes become organized singularly since the complex is the result of a non-repeatable combination of agents, controls, and forcing functions to produce a highly improbable outcome considering interactions and contingency along its developmental trajectory. Key features of mangrove-salt marsh settings (including freshwater marshes) are their sensitivity and vulnerability to climate and sea-level changes (Schaeffer-Novelli et al. 2002), as well as multiple other non-climate contextual factors such as direct and indirect impacts of urban development in their periphery. Mangrove and salt marshes form large-scaled CASs (mangrove-salt marsh settings) and therefore a broad spectrum of responses can be expected in reaction to changes in environmental drivers (see Chap. 18). Because these systems are hierarchical, responses are also hierarchical, spanning a broad spatiotemporal domain with a diversity of site-level responses. The pervasive interconnectivity and interactivity indicate that these systems must be managed in an

integrated fashion (Integrated Coastal Zone Management – ICZM), and not in a partial, fragmentary way (Ramsar Convention Secretariat 2010).

Complexity nevertheless makes specific predictions about managerial interventions or effects of environmental change impossible, particularly when long-term (strategic) policymaking is being attempted. Adaptive management (Holling 1978) is currently considered the most accepted way to deal with uncertainty. However, here we suggest that adaptive management, as currently implemented, has unrecognized limitations in that it contains implicit assumptions related to its application in steady-state systems in near equilibrium and the context of small-scale and spatial and temporal scales.

Holling's (1978) notion of adaptive systems has evolved since it was posited but the more recent perspective has yet to be widely understood or adopted by environmental managers, much less translated into regulatory processes (Folke 2006; Karkkainen 2006). The conventional view must be updated since it by itself may not provide adequate buffers and appropriate responses to deal with hierarchical organization and cross-scale dynamics, neither with the high level of uncertainty that results from the combination of complex multiscale dynamics of CASs such as mangroves and salt marshes complexes as well as the diversity of habitats and fickle climate variability.

An updated management methodology or approach must be based on the following:

- Delaying and mitigation of effects (buffering)
- Restoration (recovery facilitation)
- Containment of impacts
- Increasing resilience (eliminating or reducing non-climatic stressors)
- Sustained foresight (attention to subtle change)

The abovementioned items entail anticipatory approaches based on awareness and early detection of undesirable effects. It involves a combined preemptive and regenerative approach that includes passive and active restoration (*sensu* Murray and Marmorek 2004). Policy responses to climate change must be based on a real-time methodology where predictions become less important than foresight, alertness, resilience, and versatility in terms of appropriate highly contextual responses to environmental change. Well-suited and timely responses are required because climate impacts cascade through a landscape and become manifested at different times and forms as modified by site factors (Schaeffer-Novelli et al. 2005a).

Unfortunately, despite increasing recognition that a system perspective is required for skillful resource management, many decision-makers continue to use obsolete thinking modalities that are excessively reductionistic and mechanistic. Many are not aware that coastal resources should be managed based on multiscale considerations. A single spatiotemporal frame cannot provide a satisfactory framework for studying and understanding mangrove-salt marsh settings.

Holling's (1978) adaptive management notions have been steadily refined and the Panarchy theory (Gunderson and Holling 2002) provides an updated framework and can be used to apply a dynamic approach to problem framing that mimics the

stratified nature of reality; reality must be understood considering the particulars and the whole simultaneously, taking in consideration the parts and the whole. This approach is grounded in holism and reductionism as complementary narratives for understanding whole system functions. In considering this “dynamic framing” (multiscalar management of coastal resources), we highlight that for convenience the coast can be divided into an infinite number of segments depending on the required grain and scope needed to frame a given problem (see Chap. 3).

The dynamic framing approach we suggest is multiscalar and grounded in Salthé’s (1985) triadic approach, except we stress the importance of considering the focal level itself for an explanation of behavior. It is normally assumed that events in any hierarchical level are contingent upon lower-level mechanics and constrained by higher-level processes. The levels above and below are necessary to explore mechanisms and constraints that define behavior. The goal is to anticipate impacts while building propensities for increasing resilience as well as for harmonizing social and ecological systems. The goal is to promote self-organized management that requires the least manipulation by aligning management with natural processes. Coastal phenomena take place at various levels of detail, and the choice of a requisite level of detail (the level encompassing relevant processes) is a key criterion for mapping and assessing responses to environmental change and to frame management efforts. The selected minimum level of resolution must be able to “capture” the whole phenomenon.

The most strategic managerial option to deal with irreducible uncertainty and its potential consequences is prudence and caution as warning and preparedness provide important tactical advantages for containing and reducing impacts. Such a heuristic approach involves (1) minimizing the probability of errors in the interpretation of events, since misinterpreted evidence and poor judgments will become translated into dysfunctional decisions or policies, (2) delaying or hedging all decisions that involve an irrevocable commitment of resources, and (3) applying management measures to protect resilience and robustness at the setting scale (Janssen and Anderies 2007).

Here, system growth and diversity become manifested in “exploration” and constancy or persistence (Ulanowicz 1997). The outcome is evidenced in self-organization and ultimate persistence within dynamically changing geomorphic configurations. Biological and geomorphic forces work complementarily with the external energy regime and template constraints. Exploration leads to habitat exploitation of rapidly changing habitats, supported by the genomic aggregate of the system – its information resource or overhead. Overhead (as diversity and functional redundancy) is costly but required; it is complementary with the system ascendancy (Ulanowicz 1997). That is, resources allocated to growth must be shared with what might appear to be redundant trophic structures that represent potential “strength-in-reserve” adaptability and evolvability.

Ecosystems are the prototypical example of Levin’s (1990) CASs. This represents a new paradigm in the way we view ecosystems. Thus, mangrove-salt marsh ecosystems may be best viewed, studied, interpreted, and managed as CAS (Jørgensen 2012). Thus, these systems have been considered as “perfect” due to

their uniqueness and fitness to local situations (Phillips 2007). Phillips' contention is that each landscape is indeed unique. Those systems are characterized by six properties: (1) a large number of components and dispersed nonlinear interactions, (2) hierarchical organization, (3) continuous adaptation, (4) perpetual novelty, (5) far-from-equilibrium dynamics, and (6) radical openness.

Openness and contingency are major sources of novelty, which makes a prediction and control very difficult if not impossible (Jørgensen 2012). It also makes these systems irreversible. Once altered they do not return to an earlier state but, if allowed and the context has not been significantly altered, they can regenerate. Since prediction and control are hallmarks of contemporary management, it is evident that CASs require new strategies for coping with irreducible uncertainty. Two principal attributes of complex adaptive systems are emergence and self-organization. Considering these coastal features as CAS is not merely giving them a new name; it has fundamental implications in how we view, study, interpret, and manage these systems.

19.3 Coastal Zone Landscape Management and Ecosystem Properties

In the presence of one or a few of the predicted climate changes and their consequences (sea-level rise, temperature rise, higher frequency, and recurrence of extreme events), it is possible that the characteristics of a system could change gradually or that the ecosystem could remain unchanged due to compensatory response, in terms of biomass and nutrient stores. Walker et al. (2004) indicated that ecological systems have three properties: resilience, adaptability, and transformability. The latter is defined as the ability to create a new system when various conditions (e.g., ecological and social-environmental drivers) deviate from the "normal" range of the system. It is known that complex systems hide latent damage and degrade "gracefully" only to undergo a sudden shift of state when a threshold is reached, or when a disturbance pushes the system beyond its residual resilience (Schaeffer-Novelli et al. 2005b; Alongi 2008).

Holling (1986) distinguished two types of resilience, engineering and ecological. Engineering resilience is defined as the speed of recovery of a system following shock. Changes in the speed of recovery can be used to measure this type of "homeostatic" resilience. In nonlinear systems subject to large disturbances, shifts can occur to an alternative state. Such complex systems are multi-stable. Ecological resilience recognizes that multiple states or regimes exist and therefore it is defined as the magnitude of disturbance that can be absorbed before a transition between states takes place (the size of the system's stability domain) (*sensu* Holling 1973). Because system shifts can result in different developmental trajectories, this type of resilience is homeorhetic rather than homeostatic. Holling (1973) has been the foundation from which the resilience perspective of social-ecological systems

developed. Adaptability and transformability are the factors responsible for the persistence of systems such as mangrove and salt marshes, which have dominated the intertidal zone of the Brazilian coastal plains since the Post-Glacial Marine Transgression (Duke 1995).

What we see today represents a rare moment in the Quaternary in that we are in a high stand period. For the entire Quaternary the average position of the shoreline was located about 30 m below present, and more recently the entire coast of Brazil has experienced a slow 2–5-m sea-level fall in the last five to six thousand years (Dominguez 2009). Thus, these ecosystems are sensitive to changes in the external environment but have an extraordinary capacity for self-reconfiguration, either responding through adaptations to new conditions or exploring and exploiting new spaces that become available or promptly succumbing (Jimenez et al. 1985; Blasco et al. 1996; Schaeffer-Novelli et al. 2002; Menghini et al. 2011).

Mangroves' and salt marshes' potential for rapid accommodation to new conditions is the result of the typical set of plant species that share peculiar characteristics (Tomlinson 1986), which include:

- Broad tolerance to environmental factors
- Rapid growth
- Rapid maturation
- Continuous production of flowers and seeds/seedlings
- The release and dispersal of large numbers of diaspores
- Transport mechanisms that promote dispersal of reproductive structures over short and long distances by abiotic agents (e.g., currents and tides)

In the case of salt marshes, vegetative propagation should be added to this list of features (Dawes 1998). In general, these adaptations reflect the dynamism of the habitats these species occupy. Similarly, management must be aligned with the complexity and dynamics of the system being managed.

19.4 Managing Adaptive Systems

We consider that the key to sustainable and successful management is the consonance between natural processes and the boundaries of management units, taking ecosystem resistance and long-term resilience (i.e., allogenic resilience) from the whole context into consideration. The current destruction of tidal plains and wetlands represents an essentially irreversible process that eliminates natural regenerative assets and their future possibilities to accommodate sea-level rise, compromising the quality of adjacent marine systems and the delivery of ecosystem services to society.

A particular area of a mangrove forest or salt marsh cannot be evaluated independent of numerous factors that vary notably from site to site and the local social-ecological system perspective. This might or might not incorporate notions of adaptation and alignment with the system's self-organization as critical for the

ability to persist as an integrated social-ecological system (Gunderson and Holling 2002). The broader setting-scale perspective provides the most appropriate framework for guiding and organizing the application of ecological knowledge within a social-ecological system.

Knowledge is always partial, provisional, and contextual and can be forgotten. Paradigms are provisional fallible frameworks that require constant refreshment. Abstract knowledge by itself does not lead to action. Knowledge must be transformed into awareness. The empowerment of local communities through the contextualization of scientific knowledge is an area of research under rapid evolution with important implications for sustainable development (see Chap. 17). The resilience approach provides a practical forum for the considerations of such socially relevant topics as vulnerability, ecological economics, and sustainability science and for generating interdisciplinary integrative science (Folke et al. 2002). This represents a major departure from the older adaptive management methodology, which was based on technocratic top-down action and lacked what is now considered essential local participation and creative stewardship.

The coastal zone should be considered as a priority space for anticipatory actions aimed at mitigation and preemptive adaptation in response to climate changes, regardless of whether these areas are natural or anthropogenic, according to Nicolodi and Peterman (2010). The emphasis on preemptive measures reflects the fact that the “back-loop” of the adaptive cycle is faster compared to the slow dynamics of system organization, growth, and assembly. Generally, systems can collapse faster than they can organize themselves. Order is built slowly and requires work; collapse can occur precipitously. The medium- and long-term monitoring of the dynamics of mangrove and salt marsh ecosystem forests should be considered as a priority for the timely identification of signs of imminent failure, in time to avoid sudden collapses triggered by cumulative brittleness and small disturbances on resilience-deficient systems (Kauffman and Donato 2012; Ellison 2012, 2015).

Another point that should be highlighted is the importance of monitoring animal groups with functional significance as “early warning” indicators of system performance deterioration. These proxies provide information associated with “health” and “vigor” of ecosystem functions under stress and increase the understanding about the type and scope of structural and functional changes and their influence on the composition of the associate fauna, including keystone species such as crabs, particularly the swamp ghost crab (*Ucides cordatus*) (Skilleter and Warren 2000; Alfaro 2010; Pinheiro and Almeida 2015; Schaeffer-Novelli et al. 2016). Such assessments should be performed along latitudinal gradients seeking generalizations that reduce “noise” due to site factors.

Although many attributes qualify mangrove and salt marsh as good indicators of changes in the relative sea level (Schaeffer-Novelli et al. 2002; Soares 2009), several site-specific characteristics must be considered in the prediction or interpretation of responses to expected changes, such as local tectonics, meteorological variability, local relative sea-level history, and impacts of human activities in hydrology, sediment supply, and changes in energy signatures (Thom 1982; Schaeffer-Novelli et al. 1990, 2002, 2005a; Jelgersma et al. 2002; Hadlich and Ucha 2009).

When mangroves and salt marshes respond to pulsing events (e.g., erosion, deposition, frost, droughts, floods, strong frontal systems), responses may reflect interaction with environmental legacies that had been unrecognized due to their latency accelerating the crossing of unknown thresholds (Schaeffer-Novelli et al. 2005b). Subtle responses may be missed if they are hidden within increased environmental noise. Climate change may be manifested as increased system instability.

Mangroves and salt marsh ecosystems may adapt and survive the climate and significant change and sea-level variations, but such adaptation does not depend on the individual properties of species but also on the elimination of non-climatic stressors that operate at local and regional scales (Schaeffer-Novelli et al. 1990, 2002, 2005a). No single scenario can adequately describe or anticipate the various possibilities and expectations regarding climate change and coastal dynamics. This entails that ample hedging and safety factors must be incorporated into policies, which must be structured to cope with increased variability and risk of failure. “Fail-safe” (able to return to a safe condition in the event of a failure) measures are required to protect regenerative potential embedded in exceptional landscapes/ecosystems. The propensity of failure increases when ignoring complexity and relying on simplistic mechanical-like approaches.

19.5 Primum Non Nocere

The CAS management approach addresses long-term protection of vital self-organizing processes while providing short-term rewards by safeguarding the sustained performance of services generated by climate-vulnerable coastal systems to society. This “fail-safe” approach nurtures win-win outcomes across the short and long terms and can be implemented at low cost if the real cost of business as usual is considered in terms of the loss of ecosystem services such as production, support, regulation, and cultural values (MEA 2005).

The management of CAS must be firmly grounded in sustainable stewardship that takes into consideration the capacity for self-organization and information storage capacity of the system. However, no matter how much these systems and their functions are studied, “unknown unknowns” (UUs; consequences that are impossible to predict or plan for) cannot be eliminated, and in any case, these systems do not behave as simple predictable mechanical entities. UUs can be features of fact-tail event distribution (unknown risks). For the contemporary resource manager and decision-maker, the first rule of management is *primum non nocere* (“first do no harm”); this is the oath taken by every medical doctor; it needs to be adopted by all decision-makers in the environmental arena. Just as important is that environmental decisions cannot be taken without consultation with knowledgeable stakeholder participation. Ecology and culture are intrinsically entangled at the social level; this is particularly true in coastal zones where social and geocological processes interact to shape unique social-geocological systems.

19.6 Final Remarks

Management systems are tied to the prevailing mindset or the way we “picture” reality. The outcome that may have been considered can turn out to be more adverse than the worst-case scenario. The recognition of complexity requires a revision of our mechanistic interpretation of nature. Management of natural systems is linked to the way nature is structured and the way we perceive nature is a function of our own subjective interpretation. This postmodern approach to management recognizes the complexity of reality, and because of this emergent awareness, it is necessary to revise the prevailing mindset and the ways we manage nature.

Mindsets, worldview institutions, and technologies are useful, but they become increasingly outdated and dysfunctional over time. They can become “competence traps” that can challenge long-term vitality becoming obstacles to ecosystem renewal or refreshment. Policy frameworks must be upgradable, not permanent features. Management is the regulation of change to ensure a desirable future. However, change currently is more destructive and irreversible than in the past. More than ever, management must be based on foresight, anticipation, and conservation of higher-level resilience. Latent self-organization is embedded in the landscape.

To the extent that a system can self-organize, it should allow ecosystems to operate far from equilibrium and maintain integrity within a “window of vitality.” The purpose of virtuous management as CAS is to widen this “window” by preemptively building resilience. Change is a constant of nature, and management systems must be as dynamic as the context they intend to manage. The management of CAS must be grounded in sustainable stewardship that takes into consideration the following three broad principles:

1. Sustainable stewardship involves reserving for future generations the same options that are available now, keeping in mind that future challenges will be more complex than those faced today. Climate change and the impacts of increasing coastal squeeze will make systems more vulnerable putting a premium on the preservation of landscape-level, resilience, and robustness the drivers of regenerative capacity.
2. Sustainable stewardship is grounded in creative citizenship, the generation of a higher-level narrative through a dialectical process involving stakeholders where the ecological and social domains are fused into a narrative that is constructive of resilience and robustness. In such a dialectical process, a higher-level narrative emerges which is coherent with sustainable use and the creation of a social-ecological system where ecology and social processes act cooperatively rather than antagonistically. This is a product of citizen-based problem-solving.
3. Creation of an adaptive framework requires the capacity to educate and anticipate, have appropriate infrastructure for swift implementation (laws, regulations, etc.), and monitor and act in real time to contain and restore unexpected undesirable impacts to maintain the system in a state of high resilience and self-organizing capacity. Education and engagement of a motivated and concerned

public are recognized to be essential for building resilience solutions, and such a pool of people is the source of relevant creative and locally relevant and adapted solutions. Education creates and plays the critical role in screening and selection required for successful adaptation and maintenance of system fitness. Complexity entails novelty and change, which is incessant learning, relearning, adaptation, and evolutionary development. Change is open-ended and natural. Arresting change is unnatural.

We have explored mangrove forests and salt marsh behavior within a framework of CAS' principles that hopefully can lead to a better understanding despite difficulties in the prediction in their behavior and detailed understanding (Schaeffer-Novelli et al. 2016) of features, attributes, and functions that are still poorly recognized but that influence mangrove-salt marsh management and their future integrity. Coastal managers must think strategically (in a broad temporal and spatial perspective) but must be able to act tactically. Finally, dealing with CAS requires the capacity to unlearn the outdated and reframe problems in the context of complexity. Postmodern management is a matter of robust design, designing a sustainable future.

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