Chapter 9 Healthier and Sustainable Food Systems: Integrating Underutilised Crops in a 'Theory of Change Approach'

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Abstract Increasingly, consumers are paying attention to healthier food diets, "healthy" food attributes (such as "freshness", "naturalness" and "nutritional value"), and the overall sustainability of production and processing methods. Other signifcant trends include a growing demand for regional and locally produced/supplied and less processed food. To meet these demands, food production and processing need to evolve to preserve the raw material and natural food properties while ensuring such sustenance is healthy, tasty, and sustainable. In parallel, it is necessary to understand the infuence of consumers' practices in maintaining the benefcial food attributes from purchasing to consumption. The whole supply chain must be resilient, fair, diverse, transparent, and economically balanced to make different food systems sustainable. This chapter focuses on the role of dynamic value chains using biodiverse, underutilised crops to

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improve food system resilience and deliver foods with good nutritional and health properties while ensuring low environmental impacts, and resilient ecosystem functions.

Keywords Nutrition · Sustainability · Underutilised crops · Value chains

1 Introduction

There are about 50,000 edible plants on the Earth; however, current food systems are concentrated on only three: wheat, maize, and rice (Khoury et al., [2014](#page-40-0)). These species provide more than 50% of the plant-based calories consumed by the world's population and occupy 40% of the world's arable land. The lack of agricultural

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diversity has severe consequences on biodiversity and global environmental sustainability, namely soil degradation and higher global emissions (FAO, [2010a\)](#page-37-0). Therefore, it is vital to stimulate the cultivation of less common species, known by "underutilised crops" (UCs) and enhance awareness of, plus improve where necessary, their nutritional and environmental proprieties. Here we describe how this can be achieved via integrating UCs in value chains while realising their benefts using a "system function approach".

Agri-food systems comprise actors and activities involved in the production, processing, distribution, consumption, and disposal of food products (FAO, [2021](#page-38-0)). By 2050, food demand is projected to increase by 60% relative to 2005. However, this projection is highly sensitive to, among other things, consumption patterns (diets), distribution, and levels of food waste (FAO, [2018b;](#page-37-1) Hunter et al., [2017\)](#page-39-0). By 2067 the population is expected to reach 10.4 billion, with Africa and Asia accounting for 81% of this growth (Britt et al., [2018](#page-35-0)). Meanwhile, there is increasing pressure on agriculture and the broader land sector to deliver food, feed, fbre, fuel, bio-based materials, and ecosystem services – including nature-based solutions to climate change (Huppmann et al., [2018\)](#page-39-1). Rapid cuts in greenhouse gas (GHG) emissions, alongside adaptation to a changing climate, are essential to maintain food system viability, let alone sustainability. Food systems account for 21–37% of global anthropogenic GHG emissions (Masson-Delmotte et al., [2019](#page-41-0)). On current trajectories, these emissions alone (excluding other industry, transport, and building sources) could exceed Paris Agreement targets for climate stabilisation (Clark et al., [2020\)](#page-36-0). Similarly, food systems are key drivers of "Planetary Boundaries" exceedances across land use, biodiversity loss, and nutrient cycling (Steffen et al., [2015\)](#page-46-0). Livestock production dominates many of these impacts (Foley et al., [2011](#page-38-1); Rogelj et al., [2018](#page-45-0); Vermeulen et al., [2012;](#page-47-0) Eshel et al., [2014;](#page-37-2) FAO, [2018a;](#page-37-3) Steinfeld et al., [2006\)](#page-46-1). Up to now, food system intensifcation has been highly successful at delivering more output per unit of land (Burney et al., [2010](#page-35-1)). This has helped reducing GHG emission intensity per unit of output and sustain increasing levels of consumption to the point where a larger share of global population is obese rather than under-nourished (Benton & Bailey, [2019\)](#page-35-2). To meet increasing food demands, focus during since the 60's was on intensifcation of agricultural systems, characterized by low crop diversity and large use of chemical inputs. Together with the implementation of low-input agronomic practices, crop diversifcation is highlighted today as a key issue for future sustainable development of agroecosystems valorising natural and cultivated biodiversity for agricultural purposes (Stagnari et al., [2017\)](#page-46-2), resulting in greater ecosystem services and resilience (Springmann et al., [2018\)](#page-46-3). Going beyond the recent focus on effciency to deliver more food, fbre, and fuel at a dramatically lower aggregate environmental cost and with resilience is a massive challenge – necessitating transformative change beyond the incremental improvement of business-as-usual (Fanzo et al., [2021](#page-37-4)). The effective transformation will require integration of demand-side measures (e.g., reduced consumption of livestock products) alongside reconfguration of value chains. This, in turn, will deliver both food and value-added more fairly, changing primary production to provide food and a

plethora of ecosystem services, while preserving large areas of land for naturebased solutions (Fanzo et al., [2021](#page-37-4); IPCC, [2019;](#page-39-2) Willett et al., [2019](#page-48-0)).

Sustainable diets can be defned as diets with a low environmental impact that contribute to food and nutrition security, and health in the present and future generations. They are "*protective and respectful of biodiversity and ecosystems, culturally acceptable, accessible, economically fair and affordable; nutritionally adequate, safe and healthy; while optimising natural and human resources"* (FAO, [2010a\)](#page-37-0). Consumers are increasingly aware of the impact of their choices and behaviours on environmental sustainability. Accordingly, they are looking for demonstrations of sustainability commitment from food industries along the entire product life cycle. These include the interest in the origin of ingredients, higher demand for locally sourced products, and clean labels. For instance, food trends for 2022 are in line with those observed in the last years, looking ahead to an increase in the demand for plant-based and alternative proteins, better ingredients, and functional foods and beverages (Mintel, [2021\)](#page-42-0).

This chapter explores the prospects of better integration of UCs in value chains and presents how their multiple benefts can be realised in a systems function approach. We show the current status of underutilised crops from cultivation to various uses and benefts. Then we turn to challenges in the value chain from farm to fork. Finally, we introduce policies that could improve investment in underutilised crops.

2 The Role of Underutilised Crops

2.1 What Are Underutilised Crops?

Staple crops currently dominate agricultural production and global food supplies. Diets around the globe are becoming more standardised, relying on very few crops or crop varieties– together with high intakes of sugar and oil. With staple crops' technological and policy investments, these new dietary habits have led to the neglect of about 7000 plant species documented as human food (FAO, [2010a\)](#page-37-0). These neglected, underutilised, minor, or orphan crops are locally adapted to challenging environments, domesticated by local communities, and require lower inputs than staple crops, but are characterised by having low agricultural production and no perceived economic importance in advanced economies (Ebert, [2014\)](#page-37-5). There are many possible defnitions for a UC, and taking into account the FAO's work on this topic, these can be defned as '*a neglected, but valuable species, landrace, variety, or cultivar that has limited current use in a given geographic, social, and economic context and that holds great promise to diversify agricultural systems, create resilient agroecosystems, diversify diets, and create economically viable dynamic value chains (for feed, food, and non-food uses*)'.

UCs are therefore considered key to sustainable food security in the future, as scientists have been discussing their role in climate change mitigation and potential for exploitation for years (Padulosi et al., [2011\)](#page-43-0). Given their more rustic nature, they contribute to agroecological resilience through system diversifcation and are an important component of the culture and diets of specifc regions of the world (Massawe et al., [2016](#page-41-1)). However, they are underexploited and under-conserved resources, with minimal research and investment in their development (FAO, [2010b\)](#page-37-6). For example, UC seed conservation is mainly made by gene banks, complemented by family or local seed networks (Padulosi et al., [2011\)](#page-43-0). Current factors hindering the broader incorporation of UCs in food systems have been reviewed. They include their lower productivity and yield potential, lack of trait improvement and processing technology, limited market availability, lower cooking quality, and lack of knowledge both at the cultivation and at the consumption level (Bekkering & Tian, [2019;](#page-35-3) Hunter et al., [2019;](#page-39-3) Saini et al., [2021\)](#page-45-1).

General examples of UCs include millets, roots and tubers, pulse crops, fruits and vegetables, and tree nuts (FAO, [2010b\)](#page-37-6). These are traditionally grown for food, fbre, fodder, oil, or medicinal value (Ebert, [2014](#page-37-5)). Although these potential uses are acknowledged, further exploitation is yet to be developed due to these crops' semidomesticated and neglected nature (Murthy & Bapat, [2020\)](#page-42-1).

Millets, such as pearl millet (*Pennisetum glaucum*), foxtail millet (*Setaria italica*), barnyard millet (*Echinochloa* spp.), little millet (*Panicum miliar*), kodo millet (*Paspalum scrobiculatum*), fnger millet (*Eleusine coracana*), are small-grained C4 cereals with a rich nutritional profle and many of them resilient to drought and high temperatures (Saini et al., [2021\)](#page-45-1).

There are also many C3 underutilized cereals emmer (*Triticum dicoccum*), einkorn (*T. monococcum*), spelt (*T. spelta*), or rye (*Secale cereale*). Other UCs such as amaranth (*Amaranthus* spp.), buckwheat (*Fagopyrum esculentum*), chia (*Salvia hispanica*), or quinoa (*Chenopodium quinoa*), are considered pseudocereals because despite having seeds resembling the cereal grains, do not belong to Poaceae. The other large family containing many UCs is the Fabaceae, including many species of interest for forage or pasture, but also many others whose seeds are valued for food and feed. These species are known as grain legumes or pulses, and include good examples of warm-season pulses like winged bean (*Psophocarpus tetragonolobus*), horse gram (*Macrotyloma uniforum*), lima bean (*Phaseolus lunatus*), hyacinth bean (*Lablab purpureus*), cowpea (*Vigna unguiculata*), mung bean (*Vigna radiata*) or barbara groundnut (*Vigna subterranea*), and of cool-season ones like faba bean (*Vicia faba*), grass pea (*Lathyrus sativus*) or lupin (*Lupinus albus*), among many others (Rubiales et al., [2021](#page-45-2)).

Underutilised roots and tubers are represented mainly by taro (*Colocasia esculenta*), yam (*Dioscorea* sp.), ulluco (*Ullucus tuberosus*), yautia (*Xanthosoma sagittifolium*), arrowroot (*Maranta arundinaceae*), and giant swamp taro (*Cyrtosperma paeonifolius*), and sweet potato (*Ipomoea batatas*) (FAO, [2010b](#page-37-6); Li et al., [2020b\)](#page-41-2). These crops are essential food on small-holder farms in marginal rural areas where they can be the primary source of nutrients during periods of food scarcity (Siddique et al., [2021](#page-46-4)).

Fruits and vegetables, such as wild melon (*Citrullus lanatus*), wild mustard (*Sinapis arvensis*), jackfruit (*Artocarpus heterophyllus*), mangosteen (*Garcinia mangostana*), African eggplant (*Solanum aethiopicum*), or grape (*Vitis* spp.) (FAO, [2010b;](#page-37-6) Massawe et al., [2016](#page-41-1)) are essential foods to support small-scale farmers and serve as the primary source of nourishment in underdeveloped countries (Siddique et al., [2021](#page-46-4)). Hence, these underutilised horticultural crops are vital in supporting nutritional security and avoiding malnutrition and hidden hunger issues with respect to the lack of specifc vitamins and micronutrients (Nandal & Bhardwaj, [2014\)](#page-42-2). Unfortunately, most tree nuts are also considered underutilised, as little or no research has been dedicated to their development. Some examples include cashew nut (*Anacardium occidentale*), Brazil nut (*Bertholletia excelsa*), chestnut (*Castanea sativa*), acorns (*Quercus nuts*), and tiger nut (*Cyperus esculentus*) (Asare et al., [2020;](#page-34-0) Murthy & Bapat, [2020](#page-42-1)). Their importance has been put forward in the latest diet recommendations due to their high contents in bioactive compounds and their biological activity (Willett et al., [2019\)](#page-48-0). The harvested area and production levels of some examples of UCs mentioned above are listed in Table [9.1,](#page-6-0) although is still challenging to trace UCs production numbers on a worldwide scale. However, for the crops where numbers are available, increasing UCs agricultural production needs further investment in technology and research. This could be focused on their benefcial impacts and resilience in semi-arid and arid areas and their adaptation to different climatic scenarios. Additionally, when looking at the countries with higher levels of UCs production (Table [9.1](#page-6-0)), food systems beneft from UCs incorporation and adaptation.

2.2 Preservation of Biodiversity

Biodiversity and ecosystems are the very foundations of human existence and contribute to human well-being in three fundamental ways: through the production of goods (food, fbres, water, air, medicines, and recreational spaces); provision of services (cultural, religious, aesthetic, and spiritual); and the processes that balance and regulate the above (pollination, prevention of soil erosion, microclimate control and nutrient cycling and transfer) (Buiatti et al., [2010\)](#page-35-4). Cultural and natural biodiversity that include thousands of UCs are the basis of agrobiodiversity, which is preserved, alike nutrition and health, by traditional farming practices and cultural identities. These practices also make long-term sustainable use of natural resources and the environment, increasing productivity and ensuring food security and sovereignty (Buiatti et al., [2010](#page-35-4)). Unfortunately, however, biodiversity and agrobiodiversity are in a state of decline worldwide, and with it, the inclusion of UCs in local agri-food systems. The key factors contributing to the loss of biodiversity include unsustainable farming, fshing, and forest practices which lead, among other things, to natural resource consumption, habitat loss and fragmentation, soil deterioration, water and atmospheric pollution, and genetic pollution (MEA, [2005\)](#page-42-3). Moreover, global climate change threatens biodiversity by altering habitats and modifying the

		World harvested	World production	Main country and
Underutilised crop	Type	area (ha)	(tonnes)	production (tonnes)
Millets	Cereals	32,117,837	30,463,642	India: 12,490,000
Taro (Colocasia esculenta)	Roots and tubers	1,809,485	12,838,664	Nigeria: 3,205,317
Yams (Dioscorea sp.)	Roots and tubers	8,831,037	74,827,234	Nigeria: 50,052,977
Yautia (Xanthosoma sagittifolium)	Roots and tubers	32,020	398,290	Cuba: 101,618
Sweet potato (Ipomoea batatas)	Roots and tubers	7,400,472	89,487,835	China: 48,949,495
Faba bean (Vicia faba)	Legumes	2,671,497	5,669,185	China: 1,723,598
Cowpea (Vigna unguiculata)	Legumes	15,056,435	8,901,644	Nigeria: 3,647,115
Barbara groundnut (Vigna subterranea)	Legumes	354,619	230,619	Burkina Faso: 57,428
Grapes (Vitis spp.)	Fruits	6,950,930	78,034,332	China: 14,769,088
Mangoes, mangosteens, guavas	Fruits	5,522,933	54,831,104	India: 24,748,000
Mustard seed	Vegetables	619,495	540,454	Nepal: 214,055
Brazil nut (Bertholletia excelsa)	Tree nuts	11,561	69,658	Brazil: 33,118
Chestnut (Castanea sativa)	Tree nuts	582,545	2,321,780	China: 1,743,354
Cashew nut (Anacardium occidentale)	Tree nuts	7,101,967	4,180,990	Côte d'Ivoire: 848,700
Rice	Staple crop	164, 192, 164	756,743,722	China: 211,860,000
Wheat	Staple crop	219,006,893	760,925,831	China: 134,250,000
Maize	Staple crop	201,983,645	1,162,352,997	USA: 360,251,560

Table 9.1 World harvested area, production, and main country and production levels of millets and some examples of roots and tubers, pulse crops, fruits, vegetables, and tree nuts in 2020, and of the staple crops rice, wheat, and maize (FAOSTAT, [2022](#page-38-2))

equilibria of crucial species. At the same time, the narrow spectrum of products traded from agriculture, forestry, and fsheries make ecosystems increasingly vulnerable (FAO, [2019a](#page-38-3)).

When considering genetic resources for food and agriculture (GRFA), we refer to crop diversity created by man (FAO, [1999\)](#page-37-7). It underpins agriculture's productivity, resilience, and adaptive capacity and is an integral part of people's cultural identity (IAAKSTD, [2009](#page-39-4)). Given that they supply most of the food for human consumption, they are fundamental for creating sustainable agriculture and food safety. Yet we are losing them at an alarming rate. Since agriculture began to develop about 15,000 years ago, it is estimated that around 10,000 species have been used for human food. Currently, no more than 120 cultivated species provide 90% of the human food supplied by plants. Only four plant species (potatoes, rice, maize, and wheat) and three animal species (cattle, pigs, and chickens) provide more than 50% of all human food. The degree of biodiversity in agroecosystems depends on: (i) variety of vegetation inside and around the agroecosystem; (ii) length of different crops; (iii) intensity of management; and (iv) degree of isolation from wild vegetation (Altieri, [1999](#page-34-1)).

The best way to conserve traditionally cultivated plants, including UCs, and raised animals, is to utilise them. Two distinctive conservation methods of UCs can be identifed as *in-situ* (and on farms) and *ex-situ* practices. The frst is carried out in conditions that allow a natural and continuous evolution and co-adaptation through cultivation or breeding. In contrast, the second entails protecting endangered species and genetic resources (plant varieties and animal breeds) outside their natural habitat, for example, by preserving seeds in a germplasm bank. All conservation measures should be planned and implemented on a scale determined by ecological and social criteria, focusing on densely populated areas, and protected natural areas. It is interesting to note that the leading cause of the loss of Genetic Resources for Food and Agriculture (GRFA) would appear to be underutilisation as opposed to overexploitation. Given the high interdependency of countries on GRFA, international cooperation in this area is not an option but a must. This cooperation has led to intergovernmental negotiations and the adoption of the legally binding International Treaty for Plant Genetic Resources for Food and Agriculture (ITPGRFA). The objectives of the ITPGRFA (FAO, [2001\)](#page-37-8) are the conservation and sustainable use of all plant genetic resources for food and agriculture and the fair and equitable sharing of the benefts arising out of their use, in harmony with the Convention on Biological Diversity, for sustainable agriculture and food security.

Wider cultivation of UCs would help to deliver on the pledge to diversify agricultural systems, create resilient agroecosystems, diversify diets, and develop economically viable dynamic value chains for feed, food, and non-food uses (Fig. [9.1](#page-8-0)) (Bavec et al., [2017](#page-35-5); Gregory et al., [2019\)](#page-38-4). Therefore, characterising their nutritional and health attributes is essential to promote their wider adoption amongst populations.

2.3 Health and Nutritional Benefts of UCs

Recent data suggests that ending world hunger and malnutrition in all its forms is becoming increasingly more challenging, particularly exacerbated by the COVID-19 pandemic (Lowe, [2021\)](#page-41-3). Indeed, the latest international reports highlight concerns regarding the world's ability to meet the sustainable development agenda by 2030, including the Zero Hunger target (FAO, [2021\)](#page-38-0). Around 118 million more people were facing hunger in 2020 compared to 2019, representing 768 million undernourished people worldwide (FAO, [2021\)](#page-38-0). Limited access to a healthy, balanced, diverse, and nutritious diet, particularly in low- and middle-income countries, contributes to this scenario (Li et al., [2020a](#page-41-4), [b](#page-41-2)). In 2020, nearly 1 in 3 people, around 2.37 billion people in the world, did not have access to adequate food, 40% of which or almost

Fig. 9.1 The role of underutilised crops (UCs) in the preservation of biodiversity. (Modifed from Gregory et al., [2019\)](#page-38-4)

928 million, faced severe levels of food insecurity (FAO, [2021](#page-38-0)). Simultaneously, overweight and obesity rates continuously spread worldwide as technological developments push societies towards more sedentary lifestyles with easier access to highly caloric but nutritionally poor and highly processed foods and beverages (Popkin et al., [2020](#page-44-0)). Hence, it is estimated that multiple micronutrient defciencies, frequently underlying a "hidden hunger" phenomenon, affect more than 2 billion people globally (von Grebmer et al., [2014](#page-47-1)). For instance, of the 7 billion world's population, more than 1.6 billion and more than 200 million people suffer from iron deficiency and vitamin A insufficiency, respectively (Li et al., [2020a](#page-41-4), [b](#page-41-2)). Such nutrient defcits can not only impair human health but may ultimately hamper socioeconomic development (Ibeanu et al., [2020\)](#page-39-5).

Although a shortage in food supply to adequately feed the growing world's population has become a critical reality, it is advocated that current food systems should shift from the quantity-oriented mindset to a more quality-focused approach. This will allow exploration of new and more sustainable means to nourish populations (Hunter et al., [2019\)](#page-39-3). The investment in UCs seems required to restore sustainable agriculture practices and address the global food challenges (Li & Siddique, [2020\)](#page-41-5). These crops represent a local, affordable, sustainable, and culturally acceptable way to improve diversity in food supply systems and, therefore, access to nutrient-dense foods. Yet, many countries fail to recognise their rightful value (Hunter et al., [2019\)](#page-39-3). Among various socio-economic and political reasons, the over-reliance on more proftable high-yielding monocultures has caused the marginalisation of minor crops, including primarily wild or semi-domesticated crops (Li et al., [2020a,](#page-41-4) [b\)](#page-41-2). However, data suggests that these crops often provide greater levels of essential nutrients in comparison to current major staple crops, including vitamin C, vitamin A, iron, calcium, and fbre (Hunter et al., [2019](#page-39-3)), which aligns with the most frequent limiting dietary micronutrients (Lowe, [2021\)](#page-41-3). For example, an indigenous Brazilian fruit, camu-camu (*Myrciaria dubia*)*,* has been found to contain 40 times more vitamin C than the typical orange (*Citrus sinensis*) (Hunter et al., [2019](#page-39-3)). Like pearl millet (*P. glaucum*), traditional crops in Pakistan and Nepal possess higher amounts of iron, zinc, ribofavin, and folic acid than rice, maize, and wheat (Adhikari et al., [2017\)](#page-34-2). These three staple crops provide more than 50% of the world's plant-derived calories (Dulloo et al., [2016\)](#page-37-9). Noteworthy, many of these crops are native in the poorest world's regions characterised by nutrient-defcient and health-impaired individuals (FAO, [2019a\)](#page-38-3). In Kenya, locally grown leafy greens, such as amaranth (*A. dubius*), were introduced into school meals in an attempt to mitigate undernutrition since they have been shown to possess almost 3.5 times more vitamin A (betacarotene equivalent) and 6 times more iron than the ordinary cabbage (*Brassica oleracea*) (Hunter et al., [2019](#page-39-3)). Inter and intra-species differences regarding the nutritional composition of UCs have been reported across the literature and justify the need for further research regarding the health potential of these foods (Hunter et al., [2019](#page-39-3)). Also, challenges are still present when considering the processing associated with including UCs in food product development.

2.4 Integration of UCs in Food production and Processing

It is vital to provide populations with diverse and nurturing foods to keep them healthy without damaging the environment (Willett et al., [2019](#page-48-0)). As the global population keeps growing, it presses for the intensifcation of the current food system, causing environmental impact to increase beyond sustainable levels (Poore & Nemecek, [2018\)](#page-44-1). The highest impact of food production comes from raw materials sourcing (Poore & Nemecek, [2018;](#page-44-1) Willett et al., [2019](#page-48-0)). Therefore, many environmental problems can be easily traced back to this point: from deforestation to the desertifcation of arable land to lixiviation, loss in biodiversity and others (Mentis, [2020;](#page-42-4) Zhao et al., [2015\)](#page-48-1).

Farming has a considerable environmental impact that could be lowered. Intensifcation leads to higher yields per given area and higher resource consumption (fuel, irrigation, fertilisers, and pesticides). Sustainable farming can help lower the impact from these inputs (Commission on Sustainable Agriculture and Climate Change, [2012](#page-36-1)) and growing quality plant proteins tackles many different sustainability points (e.g., agrobiodiversity and potentially avoiding high-impact animalprotein production). As mentioned above, presently, only a few crops are responsible for almost 50% of global food intake (FAO, [2018b\)](#page-37-1). This becomes a problem when the repetitive growth of the same cultures reduces soil biodiversity and depletes nutrients beyond natural replenishment rates (Zhao et al., [2015\)](#page-48-1). This then leads to an increasing need for synthetic fertilisers that cause additional damage to the environment, as explained previously. Increasing the consumption of different proteins is often suggested to diversify diets. Multiple studies show these alternative proteins

and developed products have a lower impact (Smetana et al., [2015](#page-46-5), [2021;](#page-46-6) Tello et al., [2021\)](#page-47-2). Increasing the production and consumption of UCs can also decrease the environmental strain of the repetitive growth of crops.

Crop replacement is not easy since their cultivation is adapted to the different areas where they are grown. One possibility that can help with this is the revalorization of crops adapted to those areas, but that lost competitiveness. These crops, however, may need to be improved to increase their competitiveness and lead to agricultural diversifcation and reduction of risks (e.g., pest attacks that can destroy entire cultures; Popp et al., [2013](#page-44-2)). Another possibility could be the introduction on new UCs, their adaptation needing testing and probably further improvement. Still, the use of these crops can lead to novel product development, and this, in return, revalorize the crops.

The development of these novel products should consider consumer trends with more fresh-like attributes and long shelf-life (Palou et al., [2020](#page-43-1)). Due to this, implementation of novel technologies such as pulsed electric felds, high-pressure processing, and high-pressure homogenisation or ultrasound, can provide an interesting starting point. All these technologies can provide potential solutions to the pressing challenge of sustainability (Matthews et al., [2019](#page-42-5)). The plant protein industry shows many advantages and strengths over the animal protein industry, as shown by Petrusán et al. ([2016\)](#page-44-3). These advantages of plant proteins could underpin the success of integrating UC-derived products through marketing and certifcation strategies that support their broader commercialisation. As a result of the increasing demand of local vegetable protein food, both in traditional uses and in novel processed food business (Cusworth et al., [2021\)](#page-36-2) legume cultivation is speedily recovering.

2.5 Consumers, Cultural Barriers, and Leverages

Most of the research to increase the consumption of UCs has been from the supply side (Cheng et al., [2017;](#page-36-3) Dawson et al., [2009](#page-36-4); Mayes et al., [2012\)](#page-42-6). These have focused on highlighting their nutritional and environmental properties to justify the additional effort in improving the characteristics of those crops (e.g., yields, agronomic properties, environmental impact). Understanding consumer knowledge, acceptance, and preferences for UCs are essential in enhancing their consumption levels to increase micronutrient intake.

A barrier to higher adoption rates of UCs as a staple food is the rise of convenience foods and modern consumption patterns. In particular, consumers in developing countries are increasingly abandoning the traditional diets that these crops are part of and are replacing them with western diets (Cordain et al., [2005](#page-36-5)). Likewise, in industrialized countries, many recipes and products have fallen into oblivion in the last century, partly due to the change in direction to a society where meat is the dominant food (Holm & Møhl, [2000](#page-39-6)). For example, in Germany, the consumption of legumes decreased from 20.7 kg in 1850 to 3.0 kg per capita and year in 2017 (BLE, [n.d.](#page-35-6); Teuteberg, [2006\)](#page-47-3). In this context, many legumes varieties became extinct or have been forgotten, e.g., lentils from Swabian Alb (Reif et al., [2021\)](#page-45-3). Moreover, consuming such traditional UCs often requires know-how, i.e., how to prepare and cook them (sometimes depending on the stage of maturity), and perhaps even knowing which cultivar (variety) is more desirable for a particular use. These knowledge gaps often render UCs non-competitive against well-known and globally consumed staples such as rice, wheat, maize, soybean, and potatoes.

There are several approaches to increase the consumption of underutilised crops. One way is to convey knowledge about such food products and their preparation. Activities like the Bavarian specialty database [\(https://www.spezialitaetenland](https://www.spezialitaetenland-bayern.de/spezialitaeten)[bayern.de/spezialitaeten](https://www.spezialitaetenland-bayern.de/spezialitaeten)) or the Slow Food 'Ark of Taste' ([https://www.fondazio](https://www.fondazioneslowfood.com/it/arca-del-gusto-slow-food/)[neslowfood.com/it/arca-del-gusto-slow-food\)](https://www.fondazioneslowfood.com/it/arca-del-gusto-slow-food/) try to preserve and to promote the knowledge and to create consumer awareness. Through such measures, consumer preferences for traditional specialties (Profeta et al., [2007](#page-44-4)) and authentic foods (Wirsig et al., [n.d.](#page-48-2)) can be addressed and triggered. Furthermore, consumers are becoming increasingly conscious of their food basket's health and nutritional profle (Profeta, [2019](#page-44-5)). The tendency is to avoid chemicals and synthetic foods and preference for nutrition through foods that bring "natural" attributes. In this context, many UCs have advantages compared to staple foods, as outlined in the chapters before. In this situation, marketing communication to the fnal consumer should highlight UCs' special health and environmental characteristics.

Looking at new ways of incorporating UCs into consumers' diets requires creativity. UCs could easily ft into a modern lifestyle by adding value and creating ready-made convenience products. Finished convenience products do not need consumers to prepare or cook the corresponding UC. Thus, by finding novel and innovative methods to organise, sell and consume UCs, consumers can discover more diverse ways to enjoy this nutritional and culturally relevant food source. Also, due to the dominant role of taste in consumers' purchase decisions, there is the need to bring UCs closer to consumers' preferences. In this way, the value chain for UCs will get sustainable economic, environmental, food security, and nutritional benefts.

2.6 The Role of Markets, Labelling, and Certifcation

Many UCs are locally popular crops, are nutritionally superior, they generate income, are resistant to drought, they conserve natural resources, are tasty and delicious, are necessary for climate adaptation, and often have long culinary traditions. Still, they continue to be marginalised by research and undervalued by development (Eyzaguirre et al., [1999\)](#page-37-10). Most importantly, market factors are responsible for rendering these crops underutilised; consequently, UCs become unable to meet the global market requirements, industrialised agri-techniques, and uniformity standards. Similarly, the policy is also often divisive, even "food discriminatory", and this explains why UCs are undervalued and underinvested (Chishakwe, [2008\)](#page-36-6). Furthermore, increasing their value for more comprehensive production and commerce depends on research-intensive activities. These crops are mostly not suitable for cultivation or cannot meet uniformity standards, often due to genetic erosion. UCs are niche-specifc, versatile, and differ substantially from mainstream crop value chains. Breeding programs, seed multiplication, collective actions of value chain actors are indispensable for market development (Stamp et al., [2012\)](#page-46-7). Increasing the consumption of UCs requires not only systemic demand-markets development, but more expansive capacity building in the value chain. Any attempt at commercialising UCs requires demand expansion, increased supply, marketing channels effciency, and a supply control mechanism (Gruere et al., [2008\)](#page-39-7). Scarano et al. [\(2021](#page-45-4)) identifed several research-intensive factors that could raise awareness of and fully realise the benefts of UCs. These include research on the genetic traits linked to the climate adaptation; characterisation of main nutrient classes and their biosynthesis pathways; quantifcation and characterisation of the main antimetabolic factors/antinutrients; and understanding biological activities in the prevention of human diseases. Finally, any research on UCs needs to beneft from the full participation in exploration and action learning of value chain actors in a participatory setting (Vernooy, [2021](#page-47-4)). In sum, value addition would be a high potential for UCs in a diverse and sustainable food system only if more signifcant investment in research and development becomes more available.

Consumers are increasingly interested in local, traditional, or sustainably produced fruits, vegetables, or arable crops. This provides an excellent premise to label such products to make consumers aware of unique product qualities, taste, shape, and colour (Wirsig et al., [2011\)](#page-48-3). However, there is no label for UCs in the food market. Nonetheless, at least in the European Union, there are different food quality labels as, e.g., PDO (Protected Designation of Origin), PGI (Protected Geographical Indication), and TSG (Traditional Specialties Guaranteed), or Protected Mountain Products. These allow covering aspects of crop diversity or seed origin (Benner et al., [2008](#page-35-7); Profeta et al., [2006\)](#page-44-6). According to this scheme, many underutilised food products, e.g., Bamberger Hörnla or Alho da Graciosa (Berbereia, [2015\)](#page-35-8), are protected and proft from marketing campaigns promoting the EU quality system. A recent case study from Germany shows the positive effects of this official labelling scheme for such products (Chilla et al., [2020\)](#page-36-7). Since the EU regulation even allows applications for PDO, PGI, and TSG from third countries, there is a legal labelling framework that nearly all countries can use. Despite this, the existing regulation was not specially developed for underutilised groups. Such a long and complicated application process is too great a task for small-producer groups. In the next revision of the EU regulation, the unique requirements and needs of producers of UCs should be considered to improve their access to the existing scheme.

2.7 The Non-food Uses for Underutilised Crops

Crops are most likely underutilised when their potential is unknown, or their availability is not suffcient to establish an economically feasible utilisation. This is particularly challenging in rural areas where long distances need to be bridged to harvest, treat, and utilise biomass. Nevertheless, there are economic opportunities, and most UCs possess a high potential to serve as a source of food and non-food products. A combination of both uses may foster the cultivation of UCs. As with UCs considered for food use, the prospect of UCs for non-food use can be assessed based on the biochemical components such as lipid, carbohydrate, and protein contents. Depending on the composition, tailor-made harvesting and utilisation approaches can be developed, allowing the implementation of a biorefnery and the generation of products and services even in rural areas.

An example of a successful new crop is late-harvested grass, usually cut in autumn at natural conservation areas. While fresh grass has been considered as feedstock in green biorefneries or as feed, late-harvested grass utilisation is still at the early stage. The biochemical composition is the reason for the different utilisation intensities of fresh and late-harvested grasses. On a dry matter basis (w/w), grass can contain 20–30% cellulose, 15–25% hemicellulose, 3–10% lignin, 6–25% protein, $1-2.5\%$ fat, $1-2.5\%$ starch, and $5-20\%$ ash (Grass, 2004). Fresh grass is rich in proteins and is easier to digest. Contrarily, matured grass contains less metabolisable energy, for instance, due to a reduced degradable protein content (Bovolenta et al., [2008;](#page-35-9) Waramit et al., [2012;](#page-48-4) Boob et al., [2019;](#page-35-10) Koidou et al., [2019](#page-40-1)) and reduced nutrient contents such as P, N, and K (Bokdam & Wallis de Vries, [1992;](#page-35-11) Mládek et al., [2011](#page-42-7); Schlegel et al., [2016;](#page-46-8) Boob et al., [2019;](#page-35-10) Koidou et al., [2019\)](#page-40-1).

Even though the protein content is comparably low, protein extraction can be worthwhile. About 30–60% (w/w) of the original protein can be recovered by mechanical pressing or alkaline extraction (Bals et al., [2012;](#page-34-3) Hermansen et al., [2017\)](#page-39-8). The highest value arises from the fbres present in the grass. After mechanical pressing, up to 95% (w/w) of the fibres remain in the press cake (O'Keeffe et al., [2011\)](#page-43-2) and can be used as a feedstock in pulp and paper production (Finell, [2003\)](#page-38-6), for biocomposites (Biowert, [2021\)](#page-35-12), or building materials (King et al., [2013\)](#page-40-2).

Although late-harvested grass has been investigated as a substrate for combustion (Tonn et al., [2010](#page-47-5); Lewandowski et al., [2003](#page-41-6)), pyrolysis (Wilson et al., [2013;](#page-48-5) Mos et al., [2013\)](#page-42-8) or as lignocellulosic feedstock in fermentation (Dien et al., [2018;](#page-36-8) Jungers et al., [2013\)](#page-40-3) a biorefnery that operates purely on late-harvested grass is currently not working. As mentioned above, the challenges are the availability of biomass as late-harvested grass appears once, maximum twice per year. However, the availability of biomass and the services that the biomass delivers during its cultivation stage should be considered. Late-harvested grass is vital to conserving biodiversity and storing carbon in the soil. Thus, the use of late-harvested grass can be an example where ecosystem services are preserved, and the potential of the biomass is simultaneously utilised.

2.8 Environmental Benefts of UCs

Modern crop varieties deliver reliable and high yields, but the widespread adoption of monocultures in intensive agriculture often leads to environmental depletion and higher chemical inputs. Most of the cereal crops that dominate global production, such as wheat, rice, and maize, require an increased water supply and have low adaptive resilience to water shortage, raising concerns about their suitability to under the forecasted scenarios of more frequent and severe droughts (Mueller et al., [2012\)](#page-42-9). Pesticides and herbicides target harmful organisms that can harm or compete with crops. Still, they can also reach animals and plants beyond the seemingly restricted area of their application. For example, several pesticides are harmful to bees and other insects, limiting their ability to pollinate crops and other plants (Uhl & Brühl, [2019](#page-47-6)). Phytosanitary products also impair soil microorganisms involved in carbon and nitrogen cycling, contributing to climate change. Highly disturbed soils with low microbial biodiversity quickly lose carbon to waterways and the atmosphere, propelling the accumulation of greenhouse gases in the atmosphere (Lazcano et al., [2021](#page-41-7)). This will ultimately lead to warming temperatures and extreme weather events, further impairing plant and soil communities and favouring the adaptation of invasive species that disrupt native ecosystems (Diffenbaugh et al., [2008](#page-36-9)). Intensive agriculture is also largely reliant on nitrogen fertilisers, which can run off into waterways, decrease the available oxygen in the water and cause eutrophication of both fresh and saltwater ecosystems, making them uninhabitable for aquatic organisms (Huang et al., [2017\)](#page-39-9).

In the forthcoming decades, food systems are estimated to have an increasing environmental impact by intensifying global ecological pressures and destabilising key ecosystem processes, fostering climate change (Springmann et al., [2018](#page-46-3)). On the other hand, climate change will also pose challenges to ecosystems worldwide, as plants will have to endure in drier, saltier soils (Onyekachi et al., [2019\)](#page-43-3). UCs are typically native to the environments in which they are grown, thus requiring fewer external and economic inputs than conventional crops. They can show adaptation to dryland cropping systems, high water use efficiency, and short growing seasons while delivering similar yields to major cereal crops (Karunaratne et al., [2015\)](#page-41-8). They can also prosper in harsh environments and poor soils by fxing carbon from the atmosphere and nitrogen in the ground, offering opportunities for nutrient use effciency and lowering global GHG emissions (Mabhaudhi et al., [2019\)](#page-41-9). Developing powerful sustainable and bio-based agronomic strategies for crop nutrition, irrigation, soil fertility, and stress tolerance could allow a signifcant reduction in the use of chemical fertilisers and water for agriculture (Karkanis et al., [2018](#page-40-4); Karavidas et al., [2022](#page-40-5)). They can also improve environmental resilience and quality of crops (Rivero et al., [2022](#page-45-5); Dubey et al., [2020\)](#page-37-11). Moreover, integrating neglected landraces, ecotypes, and varieties with increased nutrient use efficiency, water use efficiency, and stress tolerance into such farming systems could help in this direction (Dwivedi et al., [2016](#page-37-12); Rivero et al., [2022\)](#page-45-5). Specifcally, UCs can contribute to environmental resilience and in mitigating climate change by the following means:

- (a) Delivering tolerance to drought, salt, and toxic metals stress, as is the case of several cultivars of tomato, chickpea, barley, rice, wheat, and sunfower that possess specifc genes involved in abiotic-stress tolerance (Mammadov et al., [2018](#page-41-10) and references therein; Kumar Rai et al., [2021\)](#page-44-7);
- (b) Improving water use effciency, as they can grow as a dryland crop without supplemental irrigation (e.g., millets), as well as by improving water quality (e.g., winged bean); (Kamel et al., [2018](#page-40-6) and references therein);
- (c) Fostering biodiversity and benefcial wild animals, thus promoting resilience against pests and diseases as part of integrated pest management, as detailed above (Villegas-Fernández et al., [2011](#page-47-7); Sardana et al., [2017](#page-45-6); Mammadov et al., [2018](#page-41-10) and references therein);
- (d) Decreasing the need for inputs and supporting natural carbon and nitrogen cycles, particularly concerning legumes that promote the accumulation of nitrogen in the soil while capturing carbon from the atmosphere (Mabhaudhi et al., [2019](#page-41-9) and references therein);
- (e) Reducing the high environmental impact of large-scale food and feed production and consumption worldwide by creating shorter value chains and decreasing transportation burdens (Weinberger & Swai, [2006;](#page-48-6) Will, [2008;](#page-48-7) Imathiu, [2021\)](#page-39-10).

The exploitation of UCs as part of a holistic transformation of food systems plays a pivotal role in environmental sustainability (Haddad et al., [2016](#page-39-11)). Table [9.2](#page-16-0) showcases the environmental and ecosystem services provided by distinct UCs that can lever the security of the global food supply while ensuring the sustainable use of environmental resources. Figure [9.2](#page-20-0) illustrates the multiple benefts of UCs that go beyond the farm level.

2.9 Genetics and Breeding of UCs

Being minor crops, there has been a lag in the overall genetic improvement of UCs due to limited investment compared to major crops. Applicable breeding methods are the same that could be used for any crop, from classical selection, to genomic assisted-breeding, being the availability of resources and the targets what makes the difference. Breeding more adapted and productive cultivars, thus meeting producer and consumer needs, enables a wider adoption in the value chain. However, when the surfaces are limited, the return of the breeding activity is not sufficient to support strong breeding programs. The agroecological transition requires not only greater UCs cultivation but also different cultivation approches, such as intercropping, organic, etc., each one requiring specifc breeding strategies. Greater adaptation to low input conditions will be a leading priority in UC breeding, particularly

	Environmental stress resilience and	
Crop	ecosystem services	References
Asparagus	Resilience to alkaline and saline soils,	Shannon and
(Asparagus officinalis)	including in dry regions.	Grieve (1999)
Bambara groundnut (Vigna subterranean)	Higher pod yield than groundnut under limited water supply, possessing All three drought tolerance mechanisms- Avoidance, escape, and tolerance.	Linnemann and Azam-Ali (1993) Collinson et al. (1996) Collinson et al. (1997)
Barley landraces (Hordeum vulgare, Hordeum maritimum)	Adaptation to high temperatures, drought, and salinity stress through the temporal accumulation of specific metabolites (e.g., proline).	Lakew et al. (2011) Ferchichi et al. (2018)
Christ's thorn jujube (Ziziphus spina-christi)	Leaves can serve as forage to animals under open grazing conditions. Root architecture supports sand dunes and other unstable soils. Heat and drought tolerance and suitability for growing in areas with little annual rainfall. Moderate tolerance to salinity and has been suggested for revegetation of moderately degraded saline lands-	Rao et al. (2014) and references therein
Citron watermelon (Citrullus lanatus var. citroides)	Through the accelerated transition from vegetative growth to reproductive growth, drought tolerance and avoidance.	Mandizvo et al. (2021) and references therein
Common bean (Phaseolus vulgaris)	Resilience to elevated atmospheric CO ₂ . Ability to accumulate nitrogen in the soil, improve soil quality, and require fewer fertiliser inputs.	Soares et al. (2019) Wilker et al. (2019)
Cotton landraces (Gossypium somalense, G. barbadense, G. hirsutum, G. darwinii, G. longicalyx)	Tolerance to insects, nematodes, and diseases (e.g., Pseudatomoscelis seriatus, Rotylenchulus reniformis, bacterial blight, leaf curl virus). Resilience to drought, salinity, and heat.	Mammadov et al. (2018)

Table 9.2 Benefits of UCs in environmental stress resilience and supporting ecosystem services

(continued)

(continued)

Table 9.2 (continued)

Table 9.2 (continued)

(continued)

Table 9.2 (continued)

for organic systems. The global change and increasing instability of the climate pose additional challenges to breeders, emphasizing a need for greater nutrient use effcieny and greater tolerance to major abiotic stresses (Rubiales et al., [2021\)](#page-45-2). The

Fig. 9.2 Illustration of the diversity of benefits delivered by underutilised crops (UCs), from the farm to the fnal consumer

need to improve pest and disease resistance will be increasingly critical with the mandatory decrease in pesticide uses and with the expected effects of climatic change on the geographic distribution and frequency of epidemic outbreaks (Skendžić et al., [2021\)](#page-46-14). Also, consumer preferences are affecting breeding priorities in terms of quality, that used to focus mainly on improving protein yield and reducing "undesirable" compounds contents, currently demanding increasing attention to important sensory or processing traits (Vaz Patto et al., [2015](#page-47-11); Mecha et al., [2021](#page-42-14)).

Breeding relies on genetic diversity, and, for this, collection and conservation of genetic resourses is crucial. The breeding of elite cultivars of any crop tends to focus on selected germplams, progressively reducing the genetic diversity in the given species used in agriculture. This would be easily remedied by pre-breeding, with infusion of genetic diversity coming from landraces, ecotypes, or wild relative. However, UCs breeders have to cope with ever-increasing quantitative target traits with modest budgets, being often forced to focus on short-term breeding goals, preventing the needed exploitation of valuable germplasm that would require lengthy pre-breeding (Dwivedi et al., [2016;](#page-37-12) Rubiales et al., [2015](#page-45-9)). There are already excellent global collections in which wild and cultivated (e.g., landraces, old varieties) accessions of most crop species, including most UCs, are effectively stored, multiplied, and shared (EURISCO, [2022;](#page-37-15) GENESYS, [2022](#page-38-12)). However, a real limitation for effective use in breeding is the insuffcient characterization (phenotypic and genotypic data) of these stored accessions.

Despite the modest investment made on UCs, signifcant advances were made in biotechnology and genomics over the last two decades, with funded initiatives and web resources available (Gregory et al., [2019](#page-38-4); Jamnadass et al., [2020;](#page-40-11) Rubiales et al., [2021](#page-45-2)). This offers great opportunities to adapt to UCs advanced tools already used form major crops, such as whole-genome and transcriptome sequencing,

genomic selection, genome editing and speed breeding (Kamenya et al., [2021](#page-40-12)). In fact, the list of UCs with their genomes sequenced is rapidly growing (see tables in Kamenya et al., [2021;](#page-40-12) Rubiales et al., [2021\)](#page-45-2), and any case, with the dropping of sequencing costs, most of UCs will likely have their genomes sequenced in the next decade. Still, when no whole-genome secuence is yet available, comparative genomics could be exploited alongside other tools enabling single nucleotide polymorphisms (SNP) calling. For instance, Diversity Arrays Technology (DArT) has been successfully used for genetic characterization and mapping in many UCs. More recently DArT-sequencing (DArT-seq) or other restriction-associated DNA sequencing (RADseq) genotyping methods, including genotyping-by-sequencing, are being used for rapid marker discovery in many UCs (as reviewed by Kamenya et al., [2021;](#page-40-12) Rubiales et al., [2021\)](#page-45-2). Mapping studies in biparental populations and genome-wide association studies (GWAS) are being used to identify markers that explain trait variation in a chosen population. Also, monogenic traits can be exploited by marker assisted selection. However, most agronomically important traits are polygenic, thus genomic selection could help to incorporate small-effect loci into prediction equations. Genomic selection has potential for UC breeding, enhancing selection effciency once prediction equations are available (Annicchiarico et al., [2020](#page-34-7)). To develop these prediction equations not only Next Generation Sequencing (NGS) genotyping data are needed, but, most importantly, good phenotypic data. Field phenotyping remains a bottleneck for crop genetic improvement. Therefore, affordable low-cost phenotyping tools are needed to decrease the cost of feld evaluations (Araus et al., [2018](#page-34-8)).

2.10 Agronomic Challenges of UC Cultivation

Growing UCs sometimes comes with agronomic challenges. As for any crop, UCs' demand for nutrients is not constant during the growth period, as nutrient availability is affected by environmental factors such as soil type and climate (Havlin, [2020\)](#page-39-15). Therefore, to better utilise UCs, the supply and demand of fertiliser can be synchronised by fne-tuning its application to the needs of such crops, and thus the input be signifcantly reduced without compromising yield (Shah & Wu, [2019](#page-46-15); Gatsios et al., [2021a](#page-38-13), [b](#page-38-14)). The loss of nutrients from the soil can also be appreciably reduced by the use of new intelligent fertilisers, such as nano-fertilisers, slow-release fertilisers, fertilisers enriched with nitrifcation inhibitors, compost, and microbial biostimulants such as arbuscular mycorrhiza fungi (AMF) and plant growth-promoting rhizobacteria (PGPR) (Mejias et al., [2021;](#page-42-15) Rouphael & Colla, [2020a,](#page-45-10) [b](#page-45-11); Ghafoor et al., [2021;](#page-38-15) Alonso-Ayuso et al., [2016;](#page-34-9) Cristofano et al., [2021](#page-36-14); Sabatino et al., [2020\)](#page-45-12). Applying such integrated nutrient management (INM) strategies in UC cultivation could enhance nutrient use efficiency (Shah & Wu, 2019 .). Similarly, grafting onto nitrogen-effcient rootstocks can also lead to reduced nitrogen application (Liang et al., [2021\)](#page-41-15). Some UCs could also be used as rootstocks, the wild relatives of culti-vated crops (Razi et al., [2021\)](#page-44-12). Introducing these crops to innovative farming practices spanning from the agroecological (integrated, organic, conservation) to high controlled technology (soilless culture, vertical farming) could improve their performance. Importantly, it could also lead to a measurable increase in farm income (Savvas & Gruda, [2018;](#page-45-13) Gatsios et al., [2021a](#page-38-13), [b](#page-38-14); van Delden et al., [2021](#page-47-12)).

Organic crop production is facing the challenge of the yield gap due to nitrogen shortage availability at critical growth stages (Ponisio et al., [2015](#page-44-13); Birkhofer et al., [2016\)](#page-35-17). Identifying elite and UC genotypes suitable for low-input farming systems may also reduce the yield gap (Ntatsi et al., [2018a](#page-43-12), [b;](#page-43-13) Anastasi et al., [2019;](#page-34-10) Ronga et al., [2021\)](#page-45-14). Taking also into consideration that organic farming relies on the inclusion of legumes as green manure, or in the rotation, due to the contribution of significant quantities of atmospheric nitrogen (N_2) (Gatsios et al., [2019,](#page-38-16) [2021a](#page-38-13), [c\)](#page-38-17), the need to use legumes with high biological nitrogen fxation (BNF) ability are imperative for enhancing nitrogen inputs to the soil, thereby improving crop yield (Ntatsi et al., [2018a,](#page-43-12) [b\)](#page-43-13).

In addition, due to climate change, choosing the appropriate tillage system is extremely important. The adoption of conservation tillage systems (e.g., reduced tillage or no-tillage) can make a signifcant contribution to the reduction of greenhouse gas emissions due to a decrease in fuel consumption and lowered soil mineralisation rates (Stošić et al., [2021](#page-47-13)). Also, several studies show that conservation tillage systems improve soil properties (e.g., soil organic matter and water storage) and increases crop yields (Li et al., [2020a](#page-41-4), [b;](#page-41-2) Dong et al., [2021](#page-36-15)). Thus, for all the reasons mentioned above, it is essential to evaluate the effects of tillage systems on the growth and yield of UCs.

Another important limiting factor in UC cultivation is their competition with weeds and the lack of registered herbicides integrated into weed management programs. Thus, weed control is mainly based on hand hoeing and mechanical equipment. As in other "minor crops," these species should be planted in rows at distances to allow natural weed control (Karkanis et al., [2022\)](#page-40-13). An appropriate design of the crop rotation system can also make a signifcant contribution to weed management (Kanatas, [2020](#page-40-14); Shahzad et al., [2021\)](#page-46-16). Ideally, this should be done using a 'Theory of Change' approach where the system's long-term and robust (stable) functional capacities determine the degree to which a system is resilient. All these obstacles and opportunities pave the way for developing new agri-food systems, including UCs. There is a need to implement a 'Theory of Change' approach where food system actors are included in the process of problem identifcation and solving, using true multi-actor approaches. The views and knowledge from breeders, farmers, chefs, consumers, food retailers, scientists, food/non-food industry and civil society in general need to be integrated to strengthen the evidence base of UCs multiple dimensions of value. This 'Theory of Change' approach for UCs will help also to identify the governance and policy frameworks needed for effective implementation of UCs in food and non-food value chains and ensure that agrobiodiversity is used sustainably.

3 Integrating UCs Using a Theory of Change Approach

Resilience can be defned as the maintenance of system functions in the face of stress from biotic or abiotic perturbations, whether gradual or sudden. The functional capacities of an ecological system are determined by interactions between biotic and abiotic components and the infuence of specifc pedoclimate, biogeography, land-use or -management approaches, socio-economic- and -technical aspects on the resulting ecosystem processes. Systems comprising a balance of functions tend to be more stable due to internal regulation of specifc essential processes, or "system-function indicators", such as primary production, nutrient-, carbon- and water cycling, etc. These system-function indicators should be selected and monitored at the relevant spatial scale, such as feld, farm, catchment, or bioregion. These system function indicators can also serve as a measure of system resilience where acceptable upper- and lower-thresholds can be defned.

3.1 Defning Better Farming System Functions with UCs

What constitutes a well-functioning and resilient farming system depends upon the ecological interactions at feld- farm- and catchment-scales. Better farming operations can be defned as those which maintain a balance of all the essential farmed habitat functions such that they maintain stable levels over time, in response to shocks, and with minimal inputs from outside the system – since external inputs present dependencies, and therefore a risk.

- (a) **System functional indicators** can be divided into biotic, abiotic, and socioeconomic categories. Biotic indicators include crop productivity and yield qualities, non-crop vegetational diversity, and the diversity and functional composition of trophic groups of microbes and invertebrates. These are organisms responsible for ecological processes needed to maintain system functions of soil and water quality, nutrient cycling, primary productivity, pollination, and the trophic and competitive interactions driving population regulation. Abiotic indicators relate to soil physical structure and environmental pollution (greenhouse gas emissions, leaching, and erosion). Socioeconomic indicators include cost-beneft analysis at the farm business scale and social aspects (employment, countryside access, etc.) beyond the farm gate, depending on the system boundaries (Hawes et al., [2009,](#page-39-16) [2016\)](#page-39-17)
- (b) **Stability** is defned here as a fuctuation within the upper- and lower limits or thresholds, which will vary depending on the environment and desired system states. Resilience is then determined by the system's capacity (farm) to keep within these thresholds over time and is the speed at which the system returns to a stable state following a disturbance. Resilience is strongly infuenced by diversity and by a system feature called "functional redundancy" or "compensating complementarity". The similarity in functional role between species

allows those functions to be maintained in the face of species extinctions (Ehrlich & Ehrlich, [1981\)](#page-37-16), i.e., where numerous species possess a specifc ecosystem function, the loss of one or few can be compensated by the others present in the system. In this way, system function is not compromised by such loss(es). Sufficient diversity accommodates functional redundancy and is an insurance measure for protection against shocks, as may occur due to management or climate (Yachi & Loreau, [1999](#page-48-12)).

(c) **Minimal inputs** should be the defning feature of well-functioning production systems that are semi "closed" (Hadavi & Ghazijahani, [2018](#page-39-18)), i.e., reduce reliance on external inputs by enhancing resource use effciency and introducing nature-based solutions, minimising pollution and diversity loss, and so maintain stable functioning. However, fully closed systems are not entirely possible at the feld-farm scale since harvested material must be removed for consumption. Therefore, offtake or loss from the system must be replaced to maintain stable states. Consequently, maintaining productivity (offtake) demands renewable and sustainably (and preferably locally) sourced inputs. Suppose the offtake is consumed locally and sourced from the same region. In that case, the system could be considered "closed" within a more comprehensive spatial boundary (i.e., bioregion) than the literal confnes of the farm-scale management unit. Furthermore, reducing reliance on external inputs requires that resource use efficiency is optimised. This can be accomplished through agronomy (e.g., precision fertiliser placement in time and space precise targeting of crop protection chemicals through forecasting and mapping technology), plant diversity (e.g., niche complementarity giving rise to complete utilisation of inputs and selection of varieties to optimise resource capture and pest and disease resistance), soil biophysical function (e.g., microbial and invertebrate communities for nutrient turnover and optimal rooting for uptake efficiency), and non-crop biodiversity (e.g., alternative resources to support pollinator and natural enemy populations). Finally, interventions such as minimum tillage, cover cropping, riparian buffers, feld margins, and fertiliser injection can be used to help "close the loop" by minimising inputs losses through erosion, leaching, and GHG emissions.

3.2 Implementing the System-Functions Approach

In the contexts defned above, management of production systems for the needs, or "health" of the environment, society, and economics requires optimisation across system functions. There will be inevitable trade-offs, at least in the short term, e.g., productivity/proft versus diversity/ecological functions, until the long-term benefts of more sustainable approaches can be achieved. As such, decisions need to be agreed on what system functions (health states) are desired or/and are to be prioritised. It is then necessary that: key indicators are identifed for the desired functions; that upper- and lower-thresholds of acceptability in these functions are determined;

Fig. 9.3 A schematic fow-diagram illustrating the main steps involved in implementing the Ecosystem Function Approach. The approach is socioecological and demands the involvement of cooperative communities of stakeholders from across the value chain at appropriate spatial scales – from "system baselining" to "scaling-up and –out" of the approach. The pivotal importance of the interactive and cooperative socio-ecological approach is highlighted by the facilitative communities and capacities necessary to underpin the success of the process

and that they can be practically applied at different scales (e.g., feld-farmcatchment-region-national). Finally, questions are raised at each step, and decisions need to be made for successful design and implementation, as illustrated in the conceptual model (Fig. [9.3](#page-25-0)).

There are various national and global environmental impact accounting tools, especially concerning GHG emissions. However, such inventories present data at national levels. Moreover, they do not dissect the detail of landscape structures and land-uses at levels related with confdence to ecosystem functions. So, the Ecosystem Function Approach has not yet been achieved for conventional farming systems, let alone those using less common agronomic strategies or underutilised crops.

Even with an agreed indicator set and using accredited, open, and transparent monitoring- and accounting- strategies, we still face the challenge of how monitoring approaches can be effectively taken up? Successful implementation of the system function approach requires an objective assessment of impact through accurate baselining and subsequent monitoring of the effect of any change in management intervention. Monitoring needs to be sensitive enough to detect trends over time. Land managers can ensure (and prove) that their interventions result in a move in the right direction towards the set target. Traditionally, agri-environment schemes have been incentivised through payments based on implementing a specifed management intervention (length of hedgerow planted, area of cover crop sown, etc.). Still, these schemes suffer from a lack of evidence for any subsequent ecosystem function beneft. They frequently fall short of their original goal (biodiversity gain, species conservation, etc.) (Hawes et al., [2016](#page-39-17)). An alternative in the form of outcomes-based monitoring allows a proper assessment of impact, the opportunity for iterative development of improved management, and incentive payment is based on the extent to which the goals have been met. However, this approach requires indicator monitoring protocols that are quick, inexpensive, and easy to carry out by the land managers themselves while providing suffciently accurate data that can detect trends in the right (or wrong) direction. Some examples are currently being piloted by the James Hutton Institute and NatureScot with farmers across Scotland and Ireland, focusing on biodiversity conservation for specifc habitats and species. There is a need to test the quality and objectivity of data collected and then extend these specifc protocols to more generally applicable assessments of farmland system functioning.

Research and innovation to realise validated approaches of system function accounting are rare and generally restricted to feld-farm scales, which are also experimental sites, rather than in commercial farm settings. Across Europe, there are only a minimal number of long-term experimental platforms, mainly in arable stages, and livestock and perennial orchard systems, offering well-developed frameworks of indicators to the main system elements. However, such long-term farmmonitoring platforms appear absent for even major cropped systems and critical commercial species, such as tomatoes (Quesada et al., [2019;](#page-44-14) Tran et al., [2021\)](#page-47-14). Also, there are no known life cycle analysis (LCA) studies of such platforms' exfarmgate impacts (or functions). Additionally, it may be that a typical synthesis of system function indicators could be achieved even from the existing platforms, however limited. It may be possible to identify that sub-set of system-function indicators that are relatively easy for farmers to carry out themselves. Automated, high throughput technological solutions for monitoring system function – such as satellite imagery, other remote methods, and molecular diagnostics – also have the potential to bolster farmer-led data collection. Nonetheless, these will require a signifcant increase in research and development support before ground-truth testing and subsequent roll out.

3.3 Novel AI Methods for Integrating UCs in Sustainable Food production Systems

The transition to sustainable agriculture with UCs requires simultaneously considering the questions "How much food needs to be produced?" and "How will this food be produced?". So far, the agri-food sector has failed to address this challenge comprehensively and successfully. A promising approach to this challenge is the introduction of sustainable agriculture (Piñeiro et al., [2020](#page-44-15); Rocchi et al., [2020\)](#page-45-15). It is becoming increasingly clear that the transition to sustainable agriculture is impossible without using modern information technologies and artifcial intelligence (AI) methods. With their help, the discovery of synergistic links between environmental conditions, biodiversity, and food production has been dramatically accelerated, enabling the adoption of sustainable agriculture. Cropland is no longer considered a basic input for food production, but a complex dynamic agri-ecosystem managed based on cognitive approaches. This means constantly monitoring its condition and maintaining a stable balance between "how much" and "how" by fexible management decisions. Artifcial intelligence has become a new tool with which agriculture successfully introduces new principles and criteria for sustainable food production (Liakos et al., [2018](#page-41-16)).

Artifcial intelligence is the computer science of complex dynamic systems that help extract information from large amounts of data, research already carried out, and experts' experience and knowledge (e.g., agronomists, pedologists, entomologists) (Russel & Norvig, [2021](#page-45-16)). The information gathered in this way is integrated into knowledge structures that help us understand, predict, and manage complex dynamic systems such as sustainable food production. This type of research approach allows us to acquire new knowledge very quickly and design scenarios for an effcient transition to sustainable multifunctional agriculture. Artifcial intelligence, therefore, plays a critical role in the development of modern decision support systems for sustainable food production (Zhai et al., [2020](#page-48-13)).

One such system that illustrates the use of artifcial intelligence for assessment of the sustainability of agri-food chains, including legumes as the target UC is the PATHFINDER ([http://pathfnder.ijs.si/\)](http://pathfinder.ijs.si/) Decision Support System (DSS) (Fig. [9.4\)](#page-27-0). The system assesses the sustainability and its pillars (environmental, economic, social) of both the individual links and the chain as a whole. If the user wants to improve sustainability, the DSS fnds and suggests changes to enhance sustainability or its unique sustainability pillars of the whole agri-food chain. With the help of artifcial intelligence methods, a system like this can be further developed and upgraded to consider dynamic agri-food chains that would introduce, promote and strengthen the role of UCs in the agri-food chain.

Artifcial intelligence is a very effective new tool to build advanced decision support systems that enable qualitative and quantitative breakthroughs in agriculture.

Fig. 9.4 Landing page of the PATHFINDER web-based DSS ([http://pathfnder.ijs.si/](http://pathfinder.ijs.si/))

EVIDENCE EMPIRICAL	Community + Possibly improved food security and autonomy of farming systems and their territories d?, e?, f?, g?, h?, j, l?, 07. p7. t + Possibly more local jobs and economic benefits for the development and dynamism of the place b ?, d ?, e ?, f ?, g ?, i , j ?, p ?	Farmers + Potential decrease in production risks and costs (diversified and more stable systems and resistance of UC to stress conditions) ^{o2} , p2, i, f2, g2, h2, j+/-2, f2, m2, n ² Potentially increased income $+$ Security i+i-, d?, f?, g?, j, n?, r, t + Potentially less input needed in the farming system a, c, B, g ?, h?, i, j?, k2, n, m+, n?, r, t + Possible increase of farmers' well-being i.g.t + Possible increase or no change in yields compare to mainstream a, b, r, s (under stress conditions), t. f?, I+/-? Potential increase in production risks and costs at start (unknown about workforce, consumers, management) ^{i, 17} Potentially decreased income security i+j. Possible decrease of farmers' well-being at start ⁱ	Community actors directly involved in VC Potential increased ÷. economic benefits for VC actors (less costs, value-added, higher quality, more equity) b?. Potential opportunity to ÷. use local knowledges and resources for new VC a. i+/- Potential difficulty to find necessary knowledges, structures, infrastructures, for implementing new VC including processing, storage, selling d?, i+/-, j?, 1?,	Value chain + Possible strengthened partnerships, shorter chain, involvement, share of information i, t + Potential opportunity to connect with consumers it/s, 1? + Possible consumers' preferences for LIC 62, e2, i+/- + Potentially reduced actors' dependency form retailers (shorter food chain) + Possible reduced perceived risk of VC actors ⁱ + Potential ability to capture niche market opportunities and flexibility in answering needs i, n? Potential need of more partnerships ۰ $H-2, 12, 62$ Potential investments needed ⁱ Possible difficulty to reach, connect with, raise awareness of consumers and secure marketing channels d?, f?, i+/-, j?, n? Unknown or limited consumers' interest for UC 9?, i+/-, j?, n?, o? Possible increased perceived risk of ٠ actors at the start ⁱ Potentially complicated management ٠
ANECKDOTAL	+ Potentially enhanced cultural identity e?, f?, g?, k?, u? + Possible increase of the community sustainability due to less pressure on the local natural resources j?, u?	Potentially difficult access to UC plant material d?, h? Possible decrease in yields compare to mainstreams ^{1+/-?}		

Fig. 9.5 Challenges (+) and opportunities (−) of UCs found in the literature: aspects of underutilized crops with empirical evidence described in the paper itself are above the dashed line, while aspects without empirical evidence are below. References referring to potential effects of UC in a hypothetical manner are marked with (?). ^a Guida et al., [2017;](#page-39-19) ^b Siracusa et al., [2013;](#page-46-17) ^c Galmes et al. [2011;](#page-38-18) ^d Padulosi et al., [2002](#page-43-14); ^e Padulosi et al. [2013;](#page-43-15) ^f FAO, [2010b](#page-37-6); ^g Padulosi et al., [1999](#page-43-16); ^h Altieri & Merrick, 1987 ; $\dot{}$ (Baker & Russell, 2017 ; $\dot{}$ Baldermann et al., 2016 ; $\rm k$ Burgess, 1994 ; $\rm l$ Camacho-Henriquez et al., [2016;](#page-36-16) ^m Karunaratne et al., [2015](#page-40-15); ⁿ Mabhaudhi et al., [2016](#page-41-17); ^o Murevanhema & Jideani, [2013](#page-42-16); ^p Nandal and Bhardwaj, [2014;](#page-42-2) ^q Nganga, [2014](#page-43-17); ^r Traoré et al., [2020;](#page-47-15) ^s Van Oosterom et al., [2002;](#page-47-16) ^t Vijayalakshmi et al., [2010](#page-47-17); ^u Will, [2008](#page-48-7)

With its help, we can make responsible decisions about measures to achieve the Sustainable Development Goals in general and sustainable food production in particular.

3.4 Social and Economic Considerations

In this section, the various opportunities and challenges of UCs are discussed from a socio-economic perspective. Figure [9.5](#page-28-0) presents an overview of fndings from the literature at the farm level, the potential impacts for the local community, and value chain aspects. We conceptualise farmers as both community and value chain actors; the value chain is embedded within the community but goes beyond (e.g., remote consumers). The community includes both actors directly involved in the value chain and indirectly impacted members.

The literature used is composed of scientifc articles with experiments (Guida et al., [2017](#page-39-19); Siracusa et al., [2013](#page-46-17); Galmes et al., [2011](#page-38-18); Karunaratne et al., [2015;](#page-40-15) Nandal & Bhardwaj, [2014](#page-42-2); Van Oosterom et al., [2002\)](#page-47-16), review articles (Mabhaudhi et al., [2016](#page-41-17); Murevanhema & Jideani, [2013;](#page-42-16) Nandal & Bhardwaj, [2014\)](#page-42-2), case studies (Baker & Russell, [2017](#page-34-12)), book chapters (Padulosi et al., [2002;](#page-43-14) Camacho-Henriquez et al., [2016\)](#page-36-16) and reports (FAO, [2010b](#page-37-6)). The cases studies reported are from all continents (e.g., potato landrace in Peru (Camacho-Henriquez et al., [2016\)](#page-36-16), pearl millet or sorghum in Burkina Faso (Camacho-Henriquez et al., [2016](#page-36-16)), tomato landraces in Italy (Guida et al., [2017;](#page-39-19) Siracusa et al., [2013](#page-46-17)), wheat landraces in the United States (Baker & Russell, [2017\)](#page-34-12), and fnger millet in India (Vijayalakshmi et al., [2010](#page-47-17)), etc.). Yet, a lot of unknowns remain regarding the European context. The studies compare situations before and after UC introduction initiatives (e.g., Vijayalakshmi et al., [2010](#page-47-17)) or reach the characteristics of UC towards their mainstream equivalents through quantitative analysis, for example, in terms of yields (e.g., Traoré et al., [2020](#page-47-15); Van Oosterom et al., [2002](#page-47-16)). Studies also discuss the advantages and issues of using UCs (e.g., Baldermann et al., [2016;](#page-34-13) Burgess, [1994](#page-35-18)) or present detailed case studies of UCs (e.g., (Baker & Russell, [2017](#page-34-12); Camacho-Henriquez et al., [2016](#page-36-16); Nandal & Bhardwaj, [2014](#page-42-2)).

Aspects that are the most recurrent in the literature are the low level of external inputs needed in the farming systems due to UCs good adaptation to their local context (Guida et al., [2017](#page-39-19); Galmes et al., [2011;](#page-38-18) FAO, [2010b](#page-37-6); Padulosi et al., [1999;](#page-43-16) Altieri & Merrick, [1987](#page-34-11); (Baker & Russell, [2017](#page-34-12); Baldermann et al., [2016](#page-34-13); Burgess et al., 1994; Camacho-Henriquez et al., [2016](#page-36-16); Karunaratne et al., [2015;](#page-40-15) Mabhaudhi et al., [2016](#page-41-17); Traoré et al., [2020](#page-47-15); Vijayalakshmi et al., [2010\)](#page-47-17). There are, however, diffculties in implementing and managing local value chains that can be competitive with mainstream crops, such as the need to access plant materials (Padulosi et al., [2002](#page-43-14); Altieri & Merrick, [1987\)](#page-34-11), to connect with other VC actors (e.g., consumers) (Padulosi et al., [2002;](#page-43-14) FAO, [2010b](#page-37-6); Baker & Russell, [2017;](#page-34-12) Baldermann et al., [2016;](#page-34-13) Mabhaudhi et al., [2016](#page-41-17)), to create partnerships (Camacho-Henriquez et al., [2016;](#page-36-16) Murevanhema et al., 2013), and to fnd necessary structures, infrastructures, funds, knowledge, etc. (Padulosi et al., [2002](#page-43-14); Baker & Russell, [2017;](#page-34-12) Baldermann et al., [2016\)](#page-34-13).

3.4.1 Scotland as a Socio-economic Case Study

The Scottish socioeconomic paradigm and its impact on the Scottish arable system are particular, with barley occupying around two-thirds of cultivated arable land annually. Moreover, this barley is apportioned 2:1 for animal feed and malting markets, respectively (Scottish Government, [2021\)](#page-46-18). In particular, the high demand for Scottish whisky, a heritage product, facilitates economic security mainly via a global trade which accounts for 75% of the total value of Scottish drink *and* food exports (21% of all of the UK), and high tax revenue for the government which is are currently estimated at £5.5bn in Gross Value Added (GVA) (Scottish Whisky Association, [2021\)](#page-46-19), and this is doubled when beer and other spirits are taken into consideration. Nevertheless: *how can crop systems be diversifed using UCs while maintaining the commercial success of the whisky sector?*

An exemplary Scottish farm [\(www.arbikie.com](http://www.arbikie.com)) took the approach of developing a short value chain which they termed their 'feld to bottle' approach, and which encompasses fve key elements (attractive location, traditional ethos, master craftsmen, small scale, and very close proximity of crop-production and distillingelements), and the offer of products whose provenance and environmental credentials are fully traceable. Arbikie developed rye as a forgotten Scottish crop to diversify their cropped system and reintroduce Scottish Whisky made from rye after a 150 year absence. Arbikie's approach is now allied to the use of intercropping, the use of heritage barley types, and adoption of under-cultivated crops, including pea (*Pisum sativum*), used to produce the world's frst climate-positive gin and vodka (Lienhardt et al., [2019a,](#page-41-18) [b\)](#page-41-19) known as the Nàdar Collection (Arbikie Distillery, [2021\)](#page-34-14). Other smaller production units have advertised their products along with similar principles, advertising their products based on their whole value chain (*e.g.*, Nc'nean Distillery, [2021](#page-42-17)).

A defning feature here is that sustainability matters have emerged as the language of modern marketing. Additionally, the increasing awareness among consumers of "greenwashing" (Chen & Chang, [2013](#page-36-17)) has meant that the importance of any sustainability-related marketing claim should be evidenced. This requires open access to and transparent data about the claims made and value chain operations and processes (Beulens et al., [2005\)](#page-35-19). Additionally, independent agencies should validate evidence using methods and procedures that are also approved, accredited, or certifed.

Given the complex nature of environmental sustainability and resilience assessments and the need to adopt the Ecosystem Function Approach (described in this chapter – Sect. [3.3\)](#page-26-0), producers and processors forming business partnerships with specialists, including research and technology organisations, are becoming commonplace. It is these research organisations that develop and offer state-of-the-art methodologies, which can be exploited to evidence new unique selling points. These are critical in a competitive marketplace, and in one where consumers have become very well informed. One solution is to account for ecosystem service functions alongside environmental impact assessments using LCA – since evidence of reduced impact does not necessarily inform on improved ecosystem functions (Koellner et al., [2013](#page-40-16)).

4 Programmes, Policies, and Research to Promote the Inclusion of UCs in Agro Food Systems

Public and private policies can directly infuence the adoption of UCs (Table [9.3\)](#page-31-0). Several International Fund for Agricultural Development (IFAD)-funded projects of Bioversity International confrmed UCs' livelihood benefts to poor people in numerous countries, including Bolivia, Peru, Guatemala, Mali, Nepal, and India

Consumption policy: increase demand	Production policy: increase supply		
Consumption taxes on ultra-processed staple	Define UCs in agricultural policies		
food	Fund UC-oriented agricultural research and		
Tax exemptions on healthy and sustainable	development		
foods from UCs	Make available UCs germplasm for breeding		
Local food procurement to purchase UC-foods	and multiplication		
in public institutions	Inventory and situ conservation of UCs		
Promotions and marketing campaigns to	Preservation of knowledge on their medicinal,		
increase demand for UC-foods	cosmetic, nutritional, cultural values		
Food literacy programs to increase consumers'	Reduce subsidies for non-UCs		
taste and health motivation for UCs	Capacity building of independent extension		
Informative and educational program on UCs	service programs for UCs		
Promotions in schools and retail food stores	Provide long-term, low cost-financing for UCs		
for UCs	Tax incentives to UC-farmers		
Introduce UCs into the curricula	Foster farmer-to-farmer knowledge exchange		
Labels for UCs	and technology transfer		
Create UCs farmers markets	Increase incentives (cross-compliance)		
Create technology hubs to foster innovation	programs) for UC-farmers		
and facilitate the adoption UCs	Mainstream UCs in agricultural marketing		
	policies		

Table 9.3 Policies and areas of state interventions to support UCs/recommendations for policymakers

Based on: Chishakwe [\(2008](#page-36-6)), Bioversity International, and IFAD [\(2021](#page-35-20))

(Padulosi et al., [2013](#page-43-15)). Their Holistic Value Chain Approach created participatory interventions at different value chain stages to overcome barriers. Public food procurement (e.g., school, hospital food programs) could shape diets by offering healthy and nutritious food for students purchased from local producers. Agrobiodiversity conservation programs that link UC farmer groups with public food procurement proved effective. For example, the Bioversity International program ('Linking agrobiodiversity value chains, climate adaptation, and nutrition', and 'Empowering the poor to manage risk') targeted the promotion of UCs in African countries funded by the IFAD and the European Commission. Finally, thousands of followers can reach a broader public via food champions and infuencers. For example, when Crops for the Future launched the Forgotten Foods Network, they partnered with Prince Charles of Wales.

In Europe, perhaps the most common means by which UC could be supported is *via* the Common Agricultural Policy (CAP). The CAP has several functions, including increasing productivity while stabilising markets by avoiding the over-production of dominant crops and crop products, protecting income for farmers, ensuring food availability, and the affordability of food for consumers (EC, [2021](#page-37-17)). Ensuring suffcient levels of crop diversity is not the main aim of the CAP. Nevertheless, it is possible that the production of specifc UCs could be encouraged *via* direct fnancial support under either CAP Pillar 1- or Pillar 2-payments. While provided by the EU, this money is administered by national or federal governments. While Pillar 1 payments relate to the area of land owned, payment is made on the basis that additional 'cross compliant' criteria are met. Such cross-compliance criteria can include attaining specifc standards, often referred to as "Greening," as these encompass

protection measures for the environment and biodiversity – through this ambition also aims to ensure production levels are maintained and even increased (Erjavec et al., [2015\)](#page-37-18). Among interventions intended to aid the environment, there is: maintaining permanent grassland; maintaining a not (necessarily) cropped "ecological focus area" (EFA), of at least 7% of the total farm area; and crop diversifcation, which is defned as cultivating more than 2 crops when the area which can be cropped is >10 ha, or 3 crops if >30 ha. So, a farmer need not cultivate many crop species to qualify for payment, and the current diversifcation standard (3 crop minimum), highlights that holistic crop rotations are largely an ideal. There is, in fact, a "crop sequence" whose composition is determined by the demand of dominant markets and less by the protection of either crop from disease or the production environment from degradation. There is no substantial accommodation of high crop diversity or UCs. Though favourable markets exist, neither is there a restriction to using underutilised species under the 2 or 3 crop-minimum rules. Also, it is possible that where a crop also fulfls environmental or biodiversity protection goals, a crop can qualify payment as an EFA too. This service has been (controversially) acknowledged for grain legumes like fava beans. No synthetic fertiliser has been applied and based on its ability to provide a resource to pollinating- and benefcial insects. However, a different reality is that while the upscaling of the cultivation and consumption of legumes is required, these are common crops which domestic EU market has elected to import. While grain legumes are under-cultivated (in Europe), they qualify as UC species.

The fact is that there are no specifc means by which government schemes support crop diversifcation *via* the use of UCs and that the use of UCs is mainly realised in short-value chains (Will, [2008](#page-48-7)). These, as mentioned before, are often cultivated by small-holder farmers, and utilised by relatively small processing units operated by artisans. As such, UCs are a bastion for maintaining and developing regional food cultures and ensuring food security among the neediest in many parts of the less-industrialised world (Massawe et al., [2016](#page-41-1)). In Europe, the farmers who most commonly grow underutilised crops own a land area that is too small (<5 ha) for the production unit to qualify for income protection via the CAP. Nevertheless, several Non-Governmental Organisations and community-led groups support underutilised crops, including Crops for the Future, La Via Campesina (the International Peasants' Movement), and the Permaculture Association, as well as community seed banks (Let's Liberate Diversity) and Slow Food. The EU agricultural policy did not identify and defne UCs per se but considers them context dependent. Therefore, UCs are not the target of any CAP policies specifcally. UCs can still be embedded into the current CAP, but the current governance system marginalises them. Only recently, the Farm-to-Fork Strategy and the Biodiversity Strategy, as part of the EU Green Deal Roadmap, started to focus on the sustainability of cropping systems, which creates room for valuing UCs.

Therefore, the commercial success of UCs is often achieved by the entrepreneurship of individuals who recognise the potential of underutilised crops in strong existing markets for products already accepted by consumers. The markets of

UC-based products also usually involve highlighting historic food cultures, forgotten heritage, and any additional attractive environmental, nutritional, and organoleptic attributes.

5 Concluding Remarks: The Critical Importance of a 'Theory of Change' Approach for the Promotion of UCs

As showcased above, UCs provide multiple nutritional, health, environmental, social, and economic benefts that go well beyond the farm level and contribute to agri-food system resilience. However, a 'Theory of Change' Approach is needed for UCs to be successfully included in sustainable agri-food systems. This approach recognises the need for an unprecedented degree of multi-actor strategies, whole-ofsociety engagement, and transformative actions. It is being developed and implemented, e.g., in the European H2020 project RADIANT (Realising Dynamic Value Chains for Underutilised Crops). It recognises the need to: (i) support multilateral learning among farmers, breeders, chefs, food retailers, scientists, representatives of food/non-food industry, and civil society; (ii) strengthen the evidence base in multiple dimensions of UC value (agronomic, environmental, economic and resilience), that also go beyond farm level (nutritional, techno-functional, health) and devise tools that integrate and showcase the potential benefts for adoption into new marketing schemes; (iii) identify the governance and policy frameworks needed for effective implementation of UCs in food and non-food value chains; and (iv) ensure that agrobiodiversity is used sustainably to meet people's needs and that agrobiodiversity promoting actions are supported by enabling conditions (educational, fnancial, technological, and capacity) that effectively get UCs to farmers felds and consumers' tables.

Implementation of the 'Theory of Change' Approach needs to be allied to equally facilitative socio-ecological frameworks or communities to ensure that farmers, other land managers, and citisens more generally co-develop and co-deliver interventions. These social networks and partnerships will need to seek agreed system function targets in a manner that avoids polarisation, adopting a fexible and nonprescriptive approach to land management – based on the rate or degree of change from the baseline, rather than absolute values. This will also help ensure that datagathers and -users are receptive to the approaches and accept downstream data management, – analyses, and syntheses. Whatever procedures are undertaken, the transition from the dominant paradigm of conventional, high-input, intensive farming to more integrated, regenerative approaches supported by healthy ecosystem function and UC inclusion exposes farmers to risk. Direct and indirect costs are likely to be incurred before longer-term efficiency gains and system function improvements materialise. Although fnancial remuneration for undertaking the transition is required, it can be expected that such an incentive would not be necessary for the long term.

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