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# The Lower Danube River

Hydro-Environmental Issues  
and Sustainability

 Springer

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Abdelazim Negm · Liliana Zaharia ·  
Gabriela Ioana-Toroimac  
Editors

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and Sustainability

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# Preface

The Danube River is one of the largest European watercourses, namely the second in terms of length and basin area. It crosses the continent from west to east over a length of almost 3,000 km, from the Black Forest (Schwarzwald) Mts. (in Germany), to the Black Sea, serving as important natural corridor for socio-economic and biogeographic connections. The Danube River flows through 11 countries and many larger or smaller cities (including 4 country capitals) and villages have developed along its course. They are closely dependent on the water resources and related services provided by the river. At the same time, they are exposed to the hydrological hazards, such as the water excess (i.e., floods) and scarcity (i.e., low-waters). Furthermore, the Danube River and the adjacent floodplain host a rich and valuable biodiversity that are dependent on the quantitative and qualitative characteristics of the Danube River.

The Danube River Basin (DRB) covers about 10% of Europe and exhibits a great diversity of natural, socio-economic and political conditions. It overlaps the territories of 19 countries, being considered the most international basin in the world. Over the last century, the Danube River and its catchment were increasingly affected by human pressures (e.g., water and land uses, engineering works, water pollution etc.). They have led to more or less severe alterations of the quantitative and qualitative features of waters, and changes in morphology of the river channel and related floodplain. These pressures also impaired the functioning of the aquatic and floodplain ecosystems.

Due to the great importance of the Danube River for society and the environment, knowing its characteristics is of high scientific and practical interest for the Danubian countries. Therefore, the Danube River and its catchment have been the subject of numerous scientific publications, both at the scale of the whole river or basin, and focusing on specific issues at smaller spatial scales. An overview of the main publications on the hydrological features in the Danube River Basin is presented in Chapter “[Flow Variability of the Lower Danube River: An Up-to-Date Overview](#)” in this book. In recent years, two comprehensive books were published by Springer publishing House, dedicated to the Danube River Basin. The first one is titled “Hydrological Processes of the Danube River Basin. Perspectives from the Danubian Countries” and was edited by Mitja Brilly in 2010. It address issues related

mainly to the hydrological features within the Danube River Basin. The second one, titled “The Danube River Basin”, was edited by Igor Liska in 2015. It provides information on the qualitative features (chemical, biological and hydromorphological) of waters in the Danube River Basin.

This book addresses a complex topic, different from the two publications mentioned above: it focuses on the hydro-environmental issues of the Lower Danube River (LDR). The limits of this last sector of the Danube River are debatable, as shown in the Chapter “[Flow Variability of the Lower Danube River: An Up-to-Date Overview](#)”. In this volume, the Lower Danube River was considered from its entrance in Romania (at Baziaş) to the Danube delta (not included in this study), over a length of about 1,000 km (see Figure 1 in Chapter “[Flow Variability of the Lower Danube River: An Up-to-Date Overview](#)”). In this sector, the Danube River is the natural border between Romania (on the one hand) and Serbia, Bulgaria, Republic of Moldova and Ukraine (on the other hand).

The information on the characteristics of the aquatic environment in the downstream sector of the Danube River is relatively sparse, so the editors and the authors decided to collaborate to produce this book focused on the Lower Danube River. It provides current findings and new knowledge about this area to the global research community and all those interested.

The book gives an overview on some of the major issues faced by the lower sector of the Danube River that was severely impacted by human pressures, especially since the second part of the last century. The two large dams on the Lower Danube River (Iron Gates I and II) and the damming of its major tributaries in this sector altered the flow regime and diminished significantly the sediment load. The narrowing forced by anthropogenic levees as well as the decrease in sediment load led to changes in riverbed and fluvial islets morphology and morphometry. These adjustments translated by alterations of aquatic habitats and biocenoses. The Lower Danube is the most polluted sector of the river, because it collects pollutants emitted by both upstream countries and those bordering the lower Danube watercourse. According to the Water Framework Directive rules, this sector was categorized as *at risk* due to pollution with nutrients and hazardous substances along its entire length on the territory of riparian countries.

In addition to the anthropogenic impacts on the Lower Danube River, there are those induced by the climate change (e.g., modifications in flow regime and in magnitude and frequency of extreme phenomena such as floods and hydrological droughts).

In this complex context, the management of the Danube River Basin (including its lower part) aims to ensure a balance between anthropogenic pressures and natural processes, in order to mitigate their negative impacts on society and environment. This remains a constant challenge and the scientific researches can provide the information support for river and basin management plans at different spatial scales.

This volume brings together contributions of authors from countries sharing the Lower Danube River and related basin. It contains 19 chapters addressing topics related to hydro-environmental issues in this geographic area, as previously outlined.

They were grouped in four parts in sequence, as follows: (1) Hydrological and Hydromorphological Processes, including four chapters; (2) Physico-Chemical Features and Quality of the Hydro-Environment, including three chapters; (3) Climate and Water Related Hazards, including six chapters and (4) Sustainable Management and Governance of the Hydro-Environment, including six chapters. In the following, we will indicate why each of the 19 chapters is presented in this book by focusing on its unique achievements.

The chapter “[Flow Variability of the Lower Danube River: An Up-to-Date Overview](#)” highlights the spatio-temporal variations of the average, maximum and minimum annual and monthly discharges of the LDR, at several gauging stations located on the Romanian bank of the river. The studied sector extends between the stations Baziaş and Ceatal Izmail (named Ceatal Chilia in Romania), over a length of about 1,000 km. The analyzed periods range from 44 years (1976–2019) to more than 170 years (1840–2012). In addition to the rigorous analysis of the Danube’s flow variability at different time-scales, the chapter also includes an overview of the present state of hydrological knowledge and water management in the Danube River Basin, with a focus on its lower sector. The chapter contains 77 references and 23 figures.

The chapter “[Dynamics of Islands and Danube River Channel Along Vedeia-Călăraşi Sector \(1856–2019\): Hydrogeomorphological Approach](#)” is devoted to analyze the Vedeia-Călăraşi reach (about 135 km long) of the LDR along the border between Romania and Bulgaria. The authors are trying to answer the question “what has been the dynamics of the islands in both countries in the last century and a half, since the end of the Little Ice Age, taking into account the numerous engineering works that the Danube had suffered?”. The new information based on the conducted investigations updates and complements the previous ones on the recent hydrogeomorphology of the LDR. The chapters contains 59 references and 12 figures.

The chapter “[Hydro-sedimentary Modeling and Fluvial Morphological Processes Along the Lower Danube River \(Giurgiu-Oltenița-Călăraşi Reach\)](#)” reports the morphological changes of the LDR for a better understanding of the hydromorphological behaviour of the Danube fluvial system along the Giurgiu—Călăraşi reach in Romania (corresponding to Ruse—Silistra reach in Bulgaria). The chapter contains analysis of the temporal and spatial morphological changes of the river channel. Water and sediment data for a period of 8 years (between 2008 and 2015) were used for the numerical model, setting up a 1D sediment transport model and calibrate it. Also, the chapter compares results with field observations and provides understanding of the complex physical processes of the hydrodynamics of sediment transport and analysis of the morphological changes (aggradation/degradation) and sediment loads in space and time, over the study period. The chapter contains 89 references and 32 figures.

The chapter “[Hydro-Environmental Specifics of the Lower Danube Bulgarian Tributaries](#)” gathers the existing knowledge with new investigated features of the Bulgarian Danube tributaries and provides useful scientific information for decision and policy makers. Three important issues have been addressed in this chapter,



(i) basic description of the Bulgarian Danube plain, considering the main geographical features (topography, climate, hydrology, etc.); (ii) legislative and management brief review, with regard to the implementation of Bulgarian and European directives (e.g. Water Framework Directive, Floods Directive), and related documents; and (iii) hydro-environmental specification concerning the Bulgarian tributaries of the LDR, their qualitative state and extreme events. The chapter contains 33 references and 16 figures.

The chapter “[Water Temperature Variability in the Lower Danube River](#)” presents a time series analysis of the water temperature recorded between 2001 and 2016. Additionally, a one-year ahead forecasting has been provided at three monitoring sections located on the Romanian side of the LDR i.e., Pristol (RO2), Chiciu (RO4) and Reni (RO5), based on data from Trans-National Monitoring Network (TNMN) of the Danube River database. The chapter contains 64 references and 7 figures.

The chapter “[Variability of Nutrient Concentrations Along the Lower Danube River](#)” provides information on the temporal and spatial variation of several nutrient concentrations ( $\text{NH}_4\text{-N}$ ,  $\text{NO}_2\text{-N}$ ,  $\text{NO}_3\text{-N}$ ,  $\text{PO}_4\text{-P}$  and total phosphorous) between 1996 and 2017, based on data recorded at five monitoring stations located along the Lower Danube River (between km 1,071 and km 132), belonging to the Trans-National Monitoring Network (TNMN), namely: Baziaș, Pristol, Oltenița, Chiciu and Reni. The dependence of the selected nutrient contents on some hydrological and physio-chemical parameters of water (e.g. discharge, temperature, dissolved oxygen concentration) was also investigated. The chapter contains 61 references and 9 figures.

The chapter “[Human Impacts on Water Resources in the Lower Danube River Basin in Serbia](#)” presents the water resource uses including water supply, hydropower use, navigation, tourism, recreation and fishing, as well as water quality, pollution and protection of water resources. The authors assess the water quality in general and for different purposes. They used various indices and compared them to show which ones provide the added value to the water quality topic. The chapter contains 170 references and 10 figures.

The chapter “[Using Köppen Climate Classification Like Diagnostic Tool to Quantify Climate Variation in Lower Danube Valley for the Period 1961–2017](#)” provides an analysis of the Annual Climate Types (ACT) that identifies the long-term climate variability in Lower Danube Valley. The author uses temperature and precipitation monthly data for the period 1961–2017 (57 years) from 10 meteorological stations located in the Bulgarian part of Danube valley. The chapter contains 34 references and 6 figures.

The chapter “[Observed Changes in the Temperature and Precipitation Regime Along the Lower Danube River](#)” analyzes the monthly average temperatures and the monthly precipitation for five weather stations that are representative for the geographical location along the LDR: Drobeta Turnu Severin, Calafat, Zimnicea, Călărași and Galați. The authors highlight the changes occurred in the air temperature and precipitation regime along the LDR in Romania, for the period 1961–2019, based on both the meteorological data from surface measurements and MODIS satellite images. The chapter contains 37 references and 13 figures.

The chapter “[A SPEI-Based Approach to Drought Hazard, Vulnerability and Risk Analysis in the Lower Danube River Region](#)” investigates the climatic characteristics of drought for different Standardized Precipitation-Evapotranspiration Index (SPEI) timescales (a) to determine the intensity of drought hazard, (b) to estimate the degree of vulnerability to drought and (c) to determine the drought risk “hotspots” within the study region. Therefore, the authors have been analyzed the drought hazard and vulnerability to droughts in the LDR region to provide information about drought characteristics over the 1981–2019 period in the counties (Romania) and administrative districts (Bulgaria) located northward and southward along the LDR. The chapter contains 124 references and 4 figures.

The chapter “[Synoptic Conditions Associated with Floods and Highest Discharges on Lower Danube River \(1980–2010\)](#)” focuses on a thirty-year interval, between 1980 and 2010, when three major flood events occurred on the Lower Danube River, reaching their peak on: January 21, 1998, March 15, 2006 and June 21, 2010. Additionally, the authors clarify the large-scale atmospheric circulation conditions at continental scale. They bring new information on local and regional atmospheric circulation patterns preceding the major flood events and highest discharges pushing forward the knowledge on the regional weather patterns over the analyzed region. This aspect is very important for improving the linkages between weather and hydrological forecast. The chapter contains 35 references and 17 Figures.

The chapter “[Assessment of Soil Erosion and Torrential Flood Susceptibility: Case Study—Timok River Basin, Serbia](#)” investigates the contribution of the Timok River in total suspended sediment discharge of the Danube River, and assesses the susceptibility to soil erosion and torrential floods in the Timok River Basin, by using Erosion Potential Method (EPM) and Flash Flood Potential Index (FFPI), estimated in GIS environment. The used approaches represent a great potential for gross erosion prediction and sediment transport assessment at the river basin or regional scale. Additionally, the authors assessed the potential damage in urban areas, agricultural land and traffic communications (roads and railways) and the degree of torrential flood susceptibility in various watercourses in the Timok River Basin. The outcomes have significant interest for practical issues such as integrated water management projects, sustainable and land-use planning, spatial planning, forest ecosystems and environmental protection, sediment management, etc. The chapter contains 48 references and 6 figures.

The chapter “[Hydrological Extremes Anomalies and Trends in Lower Danube Basin: Case Study—Romanian Drainage Area Between Siret and Prut Rivers](#)” analyzes the extreme hydrological tendencies, registered between 1955 and 2018, in eastern Romania. Two data subsets were extracted, of 32 years in length, each: 1955–1986 and 1987–2018. Therefore, the analysis of extreme hydrological anomalies represents a continuation of research undergone in this field, within a region of the Lower Danube basin, in the context of ever-increasing effects of the extreme manifestations of regional climate change. Investigating extreme hydrological anomalies can aid to better understand the impact of climate change on rivers flow at regional scale. The chapter contains 41 references and 10 figures.

The chapter “[A Transdisciplinary Approach Using Danube River Multi-connectivity in Wetland Management](#)” covers social and economic implications, considering the relationship between the natural heritage resources of the geographical sub-units and the existence of the socio-economic system. The discussions include the principles and Lower Danube region examples to serve as a useful guide to ecological restoration. They serve as part of a new effort that goes beyond the current concept of natural resources conservation toward a deeper concept of restoring of “environment life”—an ecologically viable state where ecosystems are self-sustaining and improve the functioning and quality of services over time. Extensive knowledge of the risks and threats arising from the disruption of continuity and connectivity in the Danube area presents multiple opportunities to counter them. This requires an inter- and trans-disciplinary approach, as well as effective communication and cooperation between specific stakeholders in this field. The chapter contains 51 references and 13 figures.

The chapter “[Anthropogenic Changes and Biodiversity Protection and Conservation Along the Lower Danube River Valley](#)” presents an overview of the anthropogenic activities within Lower Danube River Valley, in particular Romanian part, since the end of the nineteenth century, which caused significant changes in terms of water flow, flooding regime, sediments load, morphology and biodiversity. The high degree of artificialization of the LDR coincided with the communist regime, although the damming of rivers, channelization, draining of the large floodplains has been widely practiced in many other countries. Therefore, the authors presented a review of human interventions from the last century that lead to alteration, degradation and irreversible losses of habitats along the LDR valley, restoration projects of former floodplain areas and biodiversity protection and conservation actions carried out over the area in the last decades. Additionally, the change of the political regime in all countries that overlap the LDR Basin gave the opportunity to both governments and non-governmental organizations to act for restoring of the degraded and damaged ecosystems and habitats in the LDR, as well as for the conservation of the biodiversity within. The chapter makes a review of all types of protected areas that were designed in the last three decades and nowadays they compose a very complex network. The chapter contains 129 references and 13 figures.

The chapter “[Land Management Practices Favoring Environmental Conservation in the Danube Lower Valley \(Romania\)](#)” demonstrates how the abandonment of the irrigation systems can generate wetlands supporting wildlife conservation within the Danube Lower Valley. For the case study in Romania, the authors established the following objectives: (i) identify the spatial distribution of protected areas (SCI and SPA) within the Danube Lower Valley, created in landscapes with abandoned irrigation systems, based on GIS techniques; (ii) model the dynamic of artificial and natural land cover classes within the Danube Lower Valley through landscape ecology metrics and (iii) explore the implications of abandoned irrigation systems on biodiversity, based on cross-referencing the available scientific biogeographical literature. The chapter contains 39 references and 7 figures.

The chapter “[The Danube River: Between Conservation and Human Pressures in the Iron Gates Natural Park](#)” analyzes the integration of competing social and economic objectives with the conservation process of the Iron Gates Natural Park (IGNP), with a special focus to the Danube River. The authors proposed for this a three-staged analysis: (i) assessing the current social and economic situation of the communities living within IGNP; (ii) classifying the conservation objectives and measures presented in the Management Plan of the IGNP, and (iii) identifying public perceptions on the conservation status of the area. The authors focused on describing in this chapter an integrated perspective, including both socio-economic and conservation realities specific to the IGNP, as prior studies have analyzed just one of these perspectives. The chapter contains 58 references and 10 figures.

The chapter “[Citizen Science for the Danube River—Knowledge Transfer, Challenges and Perspectives](#)” examines the activities with citizen involvement in the region and the best practices that can be implemented for environmental monitoring. The educational, social and economic barriers in citizen science projects success and impact are discussed. This chapter also explores the dynamics between the involved parties (scientists, citizens, water managers and policy-makers). The potential tools that can be used to optimize public participation programs were identified. The chapters contains 93 references and 4 figures.

The chapter “[Stakeholders’ Interests and Participation in the Sustainable Use of the Lakes Along the Danube Floodplain. A Romanian Sector as Case Study](#)” proposes a comprehensive framework to establish and implement stakeholder’s interests and participation in sustainable use of the Danube Floodplain lakes (Romania), from stakeholder identification to their level of involvement and provides new and original information on this topic, derived from own research. In this sense, assessing stakeholders’ involvement can contribute to the sustainable use of lakes by paying attention to the increasing involvement of public institutions with decision making power in the sustainable use of floodplain lakes. The chapter contains 72 references and 10 figures.

The editors want to express their special thanks to all who contributed to make this high-quality volume a real source of knowledge by presenting the latest findings in the Hydro-Environment aspects of the Lower Danube River. We would like to thank all the authors for their invaluable contributions. Without their patience and effort in writing and revising the different versions to satisfy the high-quality standards of Springer, it would not have been possible to produce this book and make it a reality. Much appreciation and great thanks are also owed to the reviewers of the chapters and the editors of the Earth and Environmental Sciences series at Springer, for the constructive comments, advice and the critical reviews. Acknowledgments are extended to include all members of the Springer team who have worked long and hard to produce this volume.

The volume editors would be happy to receive any comments to improve future editions. Comments, feedback, suggestions for improvement or new chapters for next editions are most welcome and should be sent directly to the volume editors.

Zagazig, Egypt  
Bucharest, Romania  
Bucharest, Romania  
January 2022

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# **Hydrological and Hydromorphological Processes**

# Flow Variability of the Lower Danube River: An Up-to-Date Overview



Liliana Zaharia, Gabriela Ioana-Toroimac, Gabriela-Adina Moroşanu, Elena Țuchiu, Gabriela Osaci-Costache, and Abdelazim Negm

**Abstract** This chapter provides an up-to-date overview of the flow variability of the Lower Danube River, on a length of about 1,000 km, from the entrance in Romania (at Baziaş) to the beginning of the delta (at Ceatal Izmail or Ceatal Chilia, in Romania). It highlights the spatio-temporal variation of the average, maximum and minimum annual and monthly discharges of the Danube River, at several gauging stations located on the Romanian bank of the river. The analyzed periods range from 44 years (1976–2019) to more than 170 years (1840–2012). Between Baziaş and Ceatal Izmail, the multiannual discharge of the Danube River increased by almost 1,000 m<sup>3</sup>/s (from 5,551 to 6,516 m<sup>3</sup>/s, during the period 1840–2012), as a result of tributaries' contribution from the riparian countries (Romania, Serbia, Bulgaria, Republic of Moldova, Ukraine). The flow regime of the Lower Danube River shows the highest discharges in spring and early summer (April–June, with the peak in April) and the lowest discharges in late summer–autumn (August–November, with minimum

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in September). During the period 1931–2019, the highest maximum discharges occurred during the historical flood in 2006. They reached 15,800 m<sup>3</sup>/s at Baziaș and 15,900 m<sup>3</sup>/s at Ceatal Izmail, but at some intermediate gauging sections the discharges exceeded 16,000 (e.g. 16,300 m<sup>3</sup>/s, at Giurgiu, 16,200 m<sup>3</sup>/s, at Oltenița). During the same period, the minimum discharges decreased up to 1,040 m<sup>3</sup>/s at Baziaș (in 1949) and 1,790 m<sup>3</sup>/s at Ceatal Izmail (in 1947). The two large dams and reservoirs built on the Lower Danube River within the hydroelectric and navigation systems Iron Gates I and II, did not significantly impair the water flow of the Danube River, but mostly the sediment flux.

**Keywords** Discharge · Spatio-temporal variability · Flood · Danube River · Romania

## List of Acronyms and Symbols

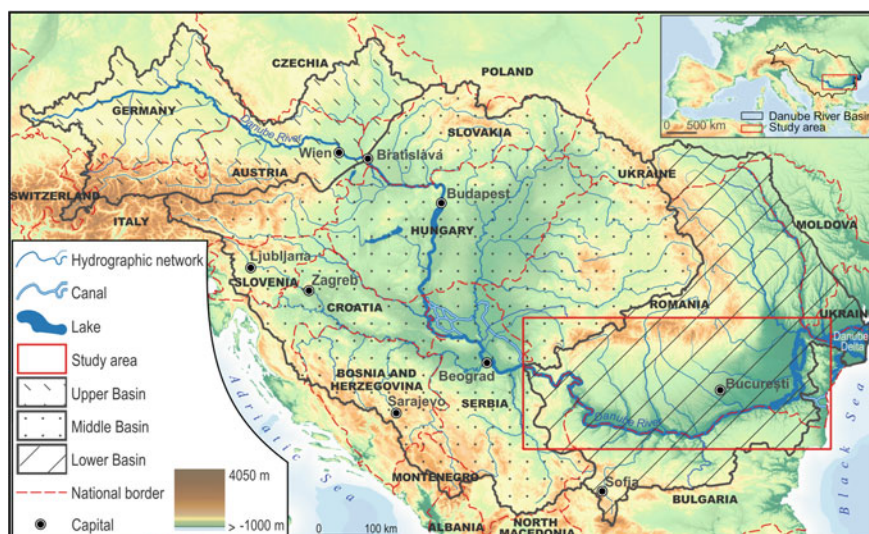
DRB	Danube River Basin
DRBMP	Danube River Basin Management Plan
DRPC	Danube River Protection Convention
EC	European Commission
EEC	European Economic Community
EU	European Union
FRMD	Flood Risk Management Directive
FRMP	Flood Risk Management Plan
HENS	Hydroelectric and Navigation System
h.s.	Hydrometric station
ICDPR	International Commission for the Protection of the Danube River
IHP/UNESCO	UNESCO International Hydrological Program
LDR	Lower Danube River
NARW	National Administration “Romanian Waters”
NIHWM	National Institute of Hydrology and Water Management
WFD	Water Framework Directive

## 1 Introduction

Since ancient times, the large rivers have been the main water source for the various needs of the riparian settlements, supporting their socio-economic development. Furthermore, they fostered the connections between human communities along the rivers and between geographical regions. This is also the case of the Danube River, a major European fluvial and polarization axis [1], which crosses the continent from west to east over a length of 2,857 km [2], from the Black Forest Mts. (Schwarzwald),

in Germany, to the Black Sea, in Romania and Ukraine. The Danube River Basin (DRB) extends over an area of 801,463 km<sup>2</sup> [2], i.e. about 10% of Europe's territory (in [3], the Danube's length is 2,826 km and the catchment area is 817,000 km<sup>2</sup>). The Danube catchment overlaps the territories of 19 countries and hosts approx. 80.5 million people, being considered the most international basin in the world [2]. The largest share of the total area and the number of inhabitants belongs to Romania (about 30% and 22% respectively). Due to its large size, the basin exhibits a wide variety of natural, socio-economic and political conditions [4]. It has a rich ecological variety and holds the highest freshwater biodiversity in Europe [2, 5]. The Danube River serves as important West–East corridor for species migration, connecting different biogeographic zones [6].

Three main sectors of the watercourse (and of the basin) can be distinguished along the Danube River: the Upper, the Middle and the Lower Danube (Fig. 1). They differ substantially in their features and are separated by two gorges on the Danube River: the Davin Gate (between the Upper and Middle sector) and the Iron Gates (between the Middle and Lower sector). The Upper Danube has a length of about 620 km from the source to its confluence with the Morava River, at Bratislava [7]. The related basin has a predominantly mountainous relief, reaching 4,052 m a.s.l. in the south, in the Alps [7]. The Middle Danube drains the large depression of the Pannonian plain over a length of about 930 km, but the related basin overlaps, at its extremities, mountainous areas (i.e. the Alps, the Dinarides, the Carpathians) [3, 7]. Along the middle sector, Danube River receives three major tributaries (Drava, Tisza, and Sava rivers) that substantially increase its flow. The Lower Danube extends from



**Fig. 1** The Danube River Basin and the location of the study area (the red frame marks the limits of the Lower Danube River sector, as considered in this chapter and the book). The digital terrain model was extracted from [9]

the Iron Gates to the Danube Delta and the Black Sea. Its exact limits are debatable, as discussed in Sect. 3.1. According to [7], the length of the Lower Danube is about 863 km, without the delta, that is considered a separate river section. The Lower Danube crosses the Romanian–Bulgarian lowlands, bordered by the Carpathians Mts. (in the north) and the Balkan (Stara Planina) Mts. (in the south). The Danube Delta covers a total area of about 6,750 km<sup>2</sup> in Romania and Ukraine [8].

The Danube River crosses 11 countries and 4 capitals (Wien, Bratislava, Budapest and Belgrade) serving as a major waterway that connects Central Europe and South-east Europe. Along the Danube River, several large cities and hundreds of small towns and villages are located. Their existence and development are closely dependent on the Danube River, which is used for various purposes (domestic and industrial water, irrigation, hydropower generation and navigation).

The DRB is one of the most human impacted large river catchments in the world [10]. The anthropogenic pressures altered the hydro-sedimentary flows and channel morphodynamics, as well as the water quality and ecological status [7]. In addition to the human pressures, the hydrological features of the Danube River are impacted by climate change-related processes [7, 11]. In this complex context, the investigation of the hydrological features of the Danube River is of high interest both scientifically and, especially, practically, to provide up-to-date information useful for adequate water and basin management.

Because of their great importance for society and the environment, the Danube River and its catchment were intensively studied, both at the scale of the whole river or basin, and focusing on specific issues and sectors/areas. General information on the wide-basin environmental features is found in several monographic works such as [12–15].

In this chapter, we focused on the hydrological features of the Lower Danube River (LDR), less studied from a hydrological point of view than the upstream sectors. The chapter provides an up-to-date overview of the Danube's long-term flow variability over periods ranging from 44 years (1976–2019) to 173 years (1840–2012). It highlights the temporal and spatial variation of the average, maximum and minimum discharges from the entrance of the Danube River in Romania (at Baziaş) to the beginning of its delta (over a length of about 1,000 km), based on data from several gauging stations located on the Romanian side of the river.

## **2 Overview of the Present State of Hydrological Knowledge and Water Management in the Danube River Basin**

It can be considered that the study of Danube River's hydrology, based on systematic measurements, began in the first part of the nineteenth century. The international nature of the river has required the scientific transboundary cooperation, in the field of hydrology, between the countries sharing the Danube catchment. This cooperation began in 1961 and continues to this day. Since 1975, it has been held in the framework

of the International Hydrological Program (IHP) within UNESCO's Division of Water Sciences and it has included two major types of activities: organizing scientific conferences and developing thematic projects and monographs on the DRB [16, 17].

The scientific outputs of the cooperation between the Danube basin countries were materialized in several works (reports and books) on the hydrological features of the Danube River and its catchment. The most relevant are the monographic works (as quoted in [17]): "Die Donau und Ihr Einzugsgebiet – Eine hydrologische Monographic" (1986, in German; München), "Donau i ego basseyn – Gidrologicheskaya Monografiya" (1989, in Russian; Leningrad), and "Danube: hydrology of the river" (1988, in English, Russian, German and French; Bratislava).

The Hydrological Monograph of the Danube River and its catchment, published in German in 1986 [18], represents a major integrated approach on the Danube River and its tributaries, issued from the cooperation between the Danube countries [17]. It includes three chapters [3, 16]; (1) physical, geographical and water management characteristics of the river basin; (2) characteristics of the flow regime of the Danube River and its major tributaries (1931–1970) and (3) hydrological balance for the period 1931–1970.

In the following years, the Danube monograph was completed with other studies and publications (follow-up volumes), resulting from the cooperation between the Danube countries within the framework of the UNESCO International Hydrological Program (IHP/UNESCO). These scientific works provide additional and updated information on hydrological features in the Danube River basin (e.g. flow and sediment regime, thermal and ice conditions, water balance, flood regime etc.).

A recent comprehensive publication on the hydrological characteristics of the Danube basin, including results of the collaboration between Danube countries within the framework of IHP/UNESCO, is represented by the book "Hydrological Processes of the Danube River Basin – Perspectives from the Danubian Countries", edited by Mitja Brilly and published by Springer in 2010 [19]. In 2015, Springer published the book "The Danube River Basin" (edited by Liska), focused on the chemical, biological and hydromorphological features/characteristic of the Danube River [20].

The most recent follow-up volume (IX) of the Hydrological Monograph of the Danube Basin was published in 2019 and is dedicated to the flood regime of rivers [21]. Additional details on the hydrological cooperation within the DRB and the scientific products can be found in [16, 17].

The overall legal framework for cooperation and transboundary water management in the DRB is the Danube River Protection Convention (DRPC), signed on June 29, 1994 in Sofia (Bulgaria), which came into force in 1998. Its main objective is to ensure a sustainable and equitable water management within the DRB [22]. Responsible for implementing the DRPC is the International Commission for the Protection of the Danube River (ICPDR), a transnational body established in 1998. It serves as a coordinating platform addressing multiple issues within the Danube River Basin/District [23]. ICPDR also coordinates the implementation within the DRB of all transboundary aspects of the EU Water Framework Directive (WFD) (2000/60/EC) and of the EU Flood Risk Management Directive (FRMD) (2007/60/EC). To meet the objectives set out by the WFD, the ICPDR

elaborated the “Danube River Basin Management Plan”—DRBMP (and its updates) for three cycles: 1st DRBMP (2009–2015), the 2nd DRBMP (2015–2021) and the 3rd DRBMP (2021–2027). These plans include wealth of information on the DRB features, focusing on: the significant pressures impacting the water bodies; the assessment of the ecological status/potential and chemical status of the surface waterbodies; the quantitative and qualitative status of groundwaters; water management issues and measures required to be undertaken by the Danube countries to achieve the WFD objectives [2, 24]. The plans are accompanied by numerous maps and annexes containing a large amount of information on the Danube River and its catchment. In order to mitigate the flood risk in the DRB and to meet the requirements of the EU FRMD, in 2015, ICPDR developed the “Flood Risk Management Plan” (FRMP) for the Danube River Basin district. It highlights the main objectives and issues related to flood risk management at the basin-wide spatial scale. Following a 6-year cycle, FRMP has been updated in 2021. More detailed information and measures related to flood protection, prevention and mitigation are provided by the flood risk management plans developed at national level [25]. A valuable publication on flood risk along the Danube River is the “Danube Atlas - Flood Hazard and Risk Maps” (2012), the key product of the Danube Flood Risk Project. This Atlas is part of the ICPDR Action Program for Sustainable Flood Protection in the DRB and a contribution to the implementation of the EU Danube Strategy [26]. The maps in the atlas (printed in a scale of 1:100,000) show the areas exposed to flood hazard for three flood scenarios (with 30, 100 and 100 years return period) and the potential damage/flood risk [27].

Besides the major reference works on the entire Danube River and its basin mentioned above, the scientific literature abounds in publications providing vast amounts of information on hydrological topics related to the Danube River and its catchment, at various (smaller or larger) spatial scales. However, hydrological information regarding the Lower Danube River is relatively scarce and generally outdated. Therefore, in this chapter, we focus the investigation on this downstream sector of the Danube River, an area with a very high economic and ecological importance, providing an updated overview on the Danube’s flow variability.

### 3 Study Area

In the first part, this section provides information on the general features of the study area. Further, an overview of the relevant previous hydrological studies on the LDR and data on the analyzed gauging stations are presented.

### 3.1 General Features

According to [8] the Lower Danube River and its related catchment extend downstream of the Iron Gates gorge, to the Danube's mouth in the Black Sea. Before reaching the sea, the Danube develops a large delta, between three main branches (Figs. 1 and 2). However, the limits of the Lower Danube sector are debatable. Thereby, in some papers, most of them based on data derived from ICPDR (e.g. [3, 7, 15, 28]), the western limit of the Lower Danube sector is the Iron Gates I dam, while in other papers, this limit is considered at the entry of the Danube River in Romania, at Baziaş (e.g. [1, 29, 30]). The Danube Delta is not always included in the lower sector of the Danube River, thus in some publications it is seen as a distinct region, due to its special environmental and hydrological features (e.g. [7, 15, 28, 29, 31]).

The study area considered in this chapter overlaps the Lower Danube River sector, with a length of almost 1,000 km, between the hydrometric stations of Baziaş (located at 1072 km away from the Danube's mouth) and Ceatal Izmail (or Ceatal Chilia, in Romania), located at 79,6 km from the Danube's mouth, just before the Danube Delta entrance (Fig. 2). Along the studied sector, the Danube River forms the Romania's natural border with the neighbouring countries: Serbia (in the south-west), Bulgaria (in the south), Ukraine and the Republic of Moldova (in the south-east) (Figs. 1 and 2).

Except for the western part, where the Danube River crosses the Carpathians through a spectacular gorge, the LDR drains lowland areas and is bordered by a floodplain with variable width (wider on the left side, in Romania, where it reaches up to 30 km). Starting with the XXth century, the Danube floodplain in Romania was subject of engineering works (embankment, drainage etc.), extensive after 1960.



**Fig. 2** The study area and the location of the analyzed hydrometric stations (BI—*Balta Ialomitei*; BB—*Balta Brăilei*)



They aimed at agricultural and industrial development, supporting fluvial transport and preventing flood events. As a result of embankment and drainage works, important areas within the Danube floodplain were transformed into agricultural land, poplar plantations and fish ponds [15]. Consequently, about 80% of the Danube's natural/original floodplains [32], including many wetlands and lakes together with the related ecosystems, have disappeared. The transformation of the Lower Danube floodplain was considered the most devastating anthropogenic alteration of a fluvial wetland in post-war Europe [33]. In recent decades, in the new context of sustainable development and of the requirements set by European (EU) legislation/directives (mainly *EU Water Framework Directive*—2000/60/EC, *EU Flood Risk Management Directive*—2007/60/EC, and *Habitats Directive*—92/43/EEC), there is a new, ecological perspective on the management of the Lower Danube floodplain, aiming at the restoration, as far as possible, of its state, by removing of engineering structures and reconnecting the former wetlands with the river channel [33]. To this end, several programs and projects were initiated, promoting wetland protection and restoration activities, such as: the *Lower Danube Green Corridor* (based on the joint declaration of the governments of Bulgaria, the Republic of Moldova, Romania, and Ukraine, signed in 2000) [34], the *Ecological and economic resizing program in the Romanian sector of the Danube floodplain* (approved by the Romanian Government in 2006) [35] and the *Danube Floodplain* project [36].

The area studied in this chapter includes four sectors along the LDR, with particular environmental and hydrological features. They are briefly presented below, from downstream to upstream.

- (1) From Baziaş to Drobeta-Turnu Severin, the Danube River forms a gorge with a length of 144 km, known as the Iron Gates (*Porțile de Fier*, in Romanian, or *Đerdap* in Serbian), crossing the Carpathians, with steep slopes and spectacular landscapes as in the *Cazane* (in Romanian) area. The tributaries are short, with relatively small catchments and low water flow. The most important is Cerna River (in Romania), with an average multiannual discharge ( $Q_{av}$ ) of about  $24 \text{ m}^3/\text{s}$  [37]. To improve the navigation conditions and exploit the important hydropower potential of the river in this mountainous area, between 1964 and 1971, at the eastern extremity of the gorge (at km 943), a dam was built with the largest reservoir and hydro-power plant along the entire Danube River. Its initial capacity of 2100 MW was later increased to 2532 MW [38, 39]. The complex arrangement, known (in Romania) as the *Iron Gate I Hydroelectric and Navigation System* (HENS) was constructed between 1964 and 1971 and became fully operational in 1972 [38, 40, 41]. Romania and Serbia jointly manage the system.
- (2) The sector between Drobeta-Turnu Severin and Călărași cities (of about 570 km in length) is characterized by an asymmetrical terraced valley, more developed on the Romanian side. The Danube River flows from West to East, between the Romanian plain (in the north) and the pre-Balkan plateau (in the south, in Bulgaria). A specific feature of this sector of the Danube River is the presence of numerous islets and fords, favoured by the low slope of the riverbed and of the

water line [42]. Another particular feature is the presence of a well-developed floodplain on the left (Romanian) side, with variable width (2–15 km) [29]. Before the extensive engineering works carried out in the second half of the twentieth century (embankments and draining), the floodplain included numerous lakes [1, 33].

Downstream of the Iron Gates I dam, a second Hydroelectric and Navigation System, named (in Romania) Iron Gates II, was built on the Danube River. It is also jointly managed by Romania and Serbia. This system includes two dams located on the branches of the river that surround the *Ostrovul Mare* island: a dam is on the main branch of the Danube River (at km 862.8) and the second one, on the secondary branch (named Gogoşu), at km 875 [39]. The construction works started in 1977 and the system became fully operational in 1986 [38, 39]. The total capacity of the power stations increased from 486 MW (the initial capacity), to about 600 MW (after upgrading the turbines) [38, 39, 41].

Between Drobeta-Turnu Severin and Călăraşi, the Danube River receives several tributaries, among which the most important are: Jiu, Olt and Argeş rivers (in Romania), Timok (at the Bulgarian-Serbian border) and Iskar and Yantra rivers (in Bulgaria), with multiannual discharges ranging from 31 m<sup>3</sup>/s (Timok River) to 174 m<sup>3</sup>/s (Olt River) (Table 1).

- (3) The sector between Călăraşi and Brăila cities (about 200 km in length) has as particular feature the branching of the Danube River into several arms and

**Table 1** Main tributaries of the Lower Danube River and their specific features

River	Side	Length (km)	Catchment area (km <sup>2</sup> )	Average discharge (m <sup>3</sup> /s)
Cerna	Left	87 <sup>a</sup>	1,360 <sup>a</sup>	23.9 <sup>a</sup>
Timok	Right	180	4,630	31
Lom	Right			
Jiu	Left	339	10,080	86
Ogosta	Right	147 <sup>b</sup>	3,157 <sup>b</sup>	18 <sup>b</sup>
Iskar	Right	368	8,684	54
Vit	Left	189 <sup>c</sup>	3,252 <sup>c</sup>	14.6 <sup>c</sup>
Olt	Right	615	24,050	174
Osam	Left	314 <sup>c</sup>	2824 <sup>c</sup>	13.1 <sup>c</sup>
Vedea	Right	224 <sup>d</sup>	5,430 <sup>d</sup>	13.8 <sup>e</sup>
Yantra	Left	285	7,879	47
Argeş	Right	350	12,550	71
Ialomîţa	Right	417	10,350	45
Siret	Right	559	47,610	240
Prut	Right	950	27,540	110

*Data Sources* [8], excepting superscripts a–d, taken from other sources, as follows:

<sup>a</sup>[37]; <sup>b</sup>[43]; <sup>c</sup>[44]; <sup>d</sup>[45]; <sup>e</sup>[46]

the presence of two large islands (named *bălți*, in Romanian), a reason why this stretch is still called the “*bălți*” sector. The two large islands are: *Balta Ialomiței* (to the south, with a length of about 100 km, maximum width of 15 km and area of 880 km<sup>2</sup>) and *Balta Brăilei* (to the north, with a length of 60 km, maximum width of 20 km and area of 960 km<sup>2</sup> [29] (Fig. 2). These islands were mostly embanked and drained to use the land for agriculture.

Unlike the previous sectors where the Danube River has a general flow direction from west to east forming the border between Romania (on the left side) and Serbia and Bulgaria (on the right side), in this sector the river flows from south to north, entirely within the Romanian territory. Between Călărași and Brăila cities, the Danube River has very few tributaries, the most important being Ialomița River, with  $Q_{av} = 45 \text{ m}^3/\text{s}$  [8] (Table 1).

- (4) The last sector extends from Brăila city to the division of the Danube River into two arms at Ceatal Izmail (or Ceatal Chilia, in Romania) within Pătlageanca village, right before the entrance in the Danube Delta. In this sector, the river has a single channel. Between the cities of Brăila and Galați the river flows to the north, and downstream Galați, the flow direction changes by 90°, the river heading towards east. At the end of the nineteenth century, the river channel in this sector was subjected of important engineering works to facilitate the navigation of heavy ships (maritime) between the Black Sea and the harbor of Brăila. Within this sector (almost 90 km long) also called Maritime Danube [1, 47], the river collects from the left side the waters of two of its largest tributaries in the lower course, Siret ( $Q_{av} = 240 \text{ m}^3/\text{s}$ ) and Prut ( $Q_{av} = 110 \text{ m}^3/\text{s}$ ) rivers [8] (Table 1).

Along the LDR, the climate is of temperate-continental type, but with different influences induced by the regional atmospheric circulation: Mediterranean and oceanic influences in the west (determined by Atlantic and Mediterranean cyclones), while in the eastern part there are Pontic (induced by the vicinity of the Black Sea and the cyclones formed in its area) and arid influences (generated by the Euro-Asian anticyclones). The large aquatic surfaces of the Danube River and related floodplain determine local peculiarities and the existence along the watercourse, of a specific “Danubian” topoclimate [29]. The morphology and orientation of the valley influence the direction and speed of the air mass circulation. More detailed information on the climate along the LDR are provided by the Chapters “Using Köppen Climate Classification Like Diagnostic Tool to Quantify Climate Variation in Lower Danube Valley for the Period 1961–2017” and “Observed Changes in the Temperature and Precipitation Regime Along the Lower Danube River” of this book.

The favorable living conditions along the LDR and the natural resources offered by the river and its floodplain favoured the intense population of the adjacent area and the development of numerous settlements on both banks of the Danube River. Some of these are regional centers and county residences with over 50,000 inhabitants (e.g. Drobeta-Turnu Severin, Giurgiu, Călărași (in Romania) and even over 100,000 inhabitants (e.g. Brăila and Galați, in Romania, and Ruse in Bulgaria). Danube River is a key natural resource for the existence and development of not only riverside

settlements, but also of a larger area, due to the multipurpose uses of the river: water supply (for agriculture/irrigation, industry, domestic consumption), fishing, hydropower generation, fluvial navigation, tourism and recreation. The use of the watercourse for fluvial transport favoured the connexions between the countries from the different sectors of the Danube. All these uses are closely dependent on variations in river's level and flow. In extreme cases (e.g. floods and low waters), the flow fluctuations can cause serious damage to society. Furthermore, the LDR and the adjacent floodplain host a rich and valuable biodiversity, equally dependent on the fluctuation of Danube's water [15].

### ***3.2 Overview of the Hydrological Knowledge on the Lower Danube River***

Because of the crucial economic and environmental importance of the LDR, the riparian countries were concerned with conducting hydrological studies providing information on the flow variability, essential for water and related risks management. In Romania, comprehensive information on the hydrological features of the LDR are provided by two monographic publications (both in Romanian): *Dunărea între Baziaș și Ceatal Izmail. Monografie hidrologică (The Danube between Baziaș and Ceatal Izmail. Hydrological monograph)* [48] and *Geografia Văii Dunării Românești (Geography of the Romanian Danube Valley)* [49]. Likewise, more or less synthetic information on the hydrological characteristics (including flow variability) of the LDR are included in dedicated chapters in several books such as [29, 50–53].

In addition to the volumes (such as those mentioned above) including hydrological information on the LDR, there are numerous papers published in scientific journals and conference proceedings, which address topics related to the flow variability in the Lower Danube River, of which we mention: [1, 28, 54–68]. An analysis of the variation of the average, maximum and minimum flows at several stations along the LDR, in the period 1931–2016 is performed in [69].

Information on the hydrological features of the Lower Danube River is also found in the publications carried out at the wide-basin scale, as already mentioned in the previous Sect. 2.

This chapter completes the existent information on the Danube's flow in its lower sector, providing an updated overview on the long-term variability of the average, maximum and minimum discharges at several gauging stations in this sector.

### ***3.3 Data on the Analyzed Hydrometric Stations***

The analysis carried out in this chapter is mainly based on processing flow data series recorded at hydrometric/gauging stations (h.s.) located along the LDR, on the

left (Romanian) side, belonging to the Romanian hydrometrical network. As already mentioned, the studied sector extends between the hydrometric stations of Baziaş, in the western extremity, at the entrance of the Danube River in Romania (at km 1,072 away from its mouth) and Ceatal Izmail in the eastern extremity of the studied sector (at 80 km from the Danube's mouth). In Romania and in some publications (e.g. [58, 59, 67, 69]), Ceatal Izmail is named Ceatal Chilia. Between the two extreme stations, we also considered some intermediate hydrometric stations located in key positions, namely upstream of the main tributaries of the Danube River, as follows (Fig. 2): Gruia h.s., located downstream the HENS Iron Gates II, controlling the western part of the studied sector; Bechet h.s., situated downstream of the Jiu River mouth (the most important tributary in the western half of the Lower Danube River); Turnu Măgurele h.s., situated at 82 km away from Bechet, downstream of the confluences with the tributaries Olt (in Romania), and Osam in Bulgaria; Olteniţa h.s., located downstream of the Argeş River mouth; Brăila and Grindu hydrometric stations, situated before and respectively after the embouchure of the Siret River, the largest tributary of the Lower Danube River (in terms of discharge and area of its catchment). The last h.s. (Ceatal Izmail), is located downstream of the Prut River mouth (the third largest tributary of the Lower Danube River) and just before the beginning of the delta. Data on the gauging stations are presented in Table 2, and their location is shown in Fig. 2.

The processed data include values of the average, maximum and minimum discharges (annual and monthly) covering different time-periods:

- 1840–2012 for the average multiannual discharges at all selected stations; the data were extracted from [28];
- 1931–2016 for the maximum and minimum multiannual discharges at Baziaş, Gruia, Giurgiu, Olteniţa, Brăila and Ceatal Izmail; the data were extracted from [69];
- 1931–2019 for the annual average, maximum and minimum discharges at Baziaş, Olteniţa and Ceatal Izmail;

**Table 2** Data on the analyzed hydrometric stations

Hydrometric station	Altitude (m.a.s.l.)	Distance from the Danube R. mouth (km)	River basin area (km <sup>2</sup> )
Baziaş	64	1,072.4	570,896
Gruia	29	851	580,100
Bechet	22	679	603,586
Turnu Măgurele	19	597	654,000
Olteniţa	16	429.7	684,803
Brăila	1	169.4	726,000
Grindu	0.8	139.6	775,500
Ceatal Izmail	0.6	79.6	776,883

Source NARW database

- 1976–2019 for the monthly average, maximum and minimum discharges at Baziaş, Olteniţa and Ceatal Izmail.

For the last two periods, the data come from the National Administration Romanian Waters (NARW) database, which includes the National Institute of Hydrology and Water Management (NIHWM) and Water Basin Administrations (WBAs) databases.

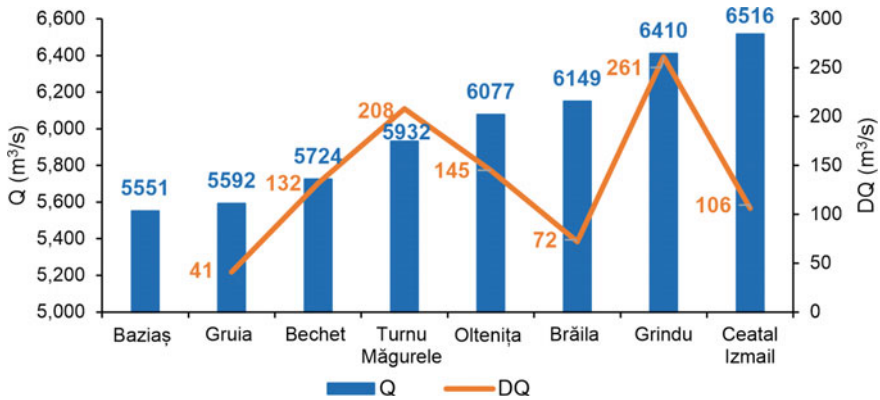
Based on the streamflow data, classical statistical parameters (e.g. minimum, average, maximum, coefficient of variation) were computed for different time-scales and their spatio-temporal variability was analyzed. The linear trends in the variation of streamflow were investigated by using the statistical non-parametric test of Mann-Kendall coupled with the non-parametric Sen's method for the magnitude of the trend. The level of significance ( $\alpha$ ) of the identified trend was considered at 0.001, 0.01, 0.05 and 0.1 [70]. To identify possible influences of the Iron Gates I and II hydroelectric systems on the Danube's flow, comparisons of flow parameters between the periods pre- and post-commissioning of the systems were performed.

## 4 Spatial Variation of the Multiannual Flow

In this section we investigated the long-term variation of the average, maximum and minimum discharges along the LDR.

To highlight the features of the Danube's **average flow** variation, we analyzed the multiannual discharges recorded at gauging stations located at the beginning and end of the studied sector (Baziaş and respectively Ceatal Izmail), as well as data from several intermediate stations: Gruia, Bechet, Turnu Măgurele, Olteniţa, Brăila and Grindu. The analyzed period covers more than 170 years (1840–2012). The data were extracted from [28] and were indirectly estimated, by extending the length of the data series on daily levels and discharges measured at gauging stations located on the Lower Danube River (as shown in [57]). Even if these estimated data are not officially validated, due to the very long period, in the case of the multiannual average flow, the errors (resulted by comparing the estimated and recorded discharges) are reduced. Consequently, we considered that the results reflect well the real situation at the selected stations.

As expected, the average multiannual flow of the Danube increases from upstream to downstream, as the basin area and the input of tributaries grow. Thus, there is an increase between the two extreme stations of almost 1,000 m<sup>3</sup>/s (namely 934 m<sup>3</sup>/s), from 5,551 m<sup>3</sup>/s at Baziaş, to 6,516 m<sup>3</sup>/s, at Ceatal Izmail (Fig. 3). The most important difference between successive stations occurs between Brăila and Grindu hydrometric stations (about 260 m<sup>3</sup>/s) due to the significant contribution of the Siret River. An important flow increase is also noticed between Bechet and Turnu Măgurele gauging stations (about 210 m<sup>3</sup>/s). In this sector the Danube River receives its second largest tributary in the lower course (Olt River, on the left side) and a few smaller tributaries on the right side (e.g. Iskar, Vit, Osam). Upstream Gruia h.s., although

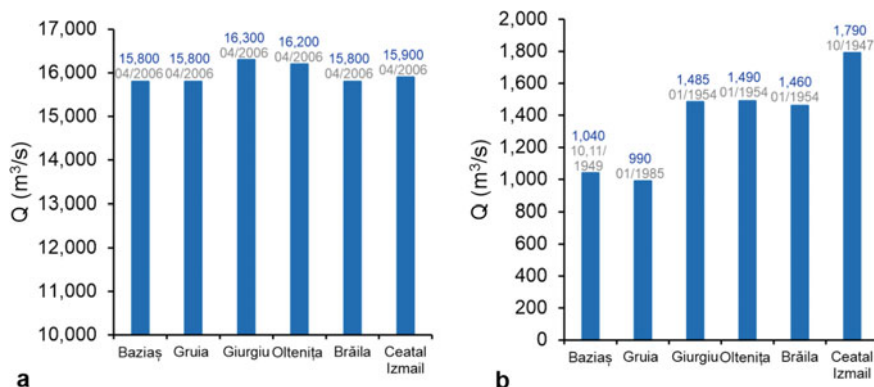


**Fig. 3** Variation of the average multiannual discharges (Q) at hydrometric stations located on the Lower Danube River and of the differences (DQ) between successive stations (1840–2012)

the distance to Baziaș is over 200 km (about 1/5 of the length of the studied sector), the increase in flow is small (only 41 m<sup>3</sup>/s), because of the relatively few tributaries, with low discharges.

In the case of extreme flows (maximum and minimum), we analyzed a shorter period (1931–2016), based on the data recorded at gauging stations (validated within the national hydrometric network), extracted from [69]. We no longer considered the period 1840–2012 because we found some significant differences between the recorded maximum and minimum discharges and those estimated by extension in [28]. The analyzed stations are: Baziaș, Gruia, Giurgiu, Oltenița, Brăila and Ceatal Izmail.

During the considered period, the **maximum multiannual discharges** were recorded at all analyzed stations during the historical flood in the spring of 2006 (Fig. 4a), when the Danube River reached 15,800 m<sup>3</sup>/s at Baziaș, being the highest discharge recorded in the entire flow monitoring period at this station (since 1838). As result of the tributaries' inputs, the discharge increased further at Giurgiu, where it reached a maximum of 16,300 m<sup>3</sup>/s, but below this station, because of flood-peak attenuation due to Danube's overflow, the maximum discharge decreased at Oltenița h.s. to 16,200 m<sup>3</sup>/s, and at Brăila, to 15,800 m<sup>3</sup>/s. Due to the high volume of water brought by the tributaries Siret and Prut, the flow increased downstream, reaching 15,900 m<sup>3</sup>/s at Ceatal Izmail (Fig. 4a). It should be noted, therefore, that the maximum flows do not exhibit a progressive increase from upstream to downstream, as would be expected, since they are influenced by the morphology of the river channel and floodplain, as well as by the presence of the levees along the river and their management. Thus, in the low-lying and non-embanked sectors, the river overflows into the floodplain, leading to the flood-peak attenuation, as it happened during the historical flood of 2006, downstream of Giurgiu h.s. Flood attenuation because of the river overflowing generally occurs at flows of over 13,000 m<sup>3</sup>/s [58].



**Fig. 4** Variation of the maximum (a) and minimum (b) multiannual discharges ( $Q$ ) at hydrometric stations located on the Lower Danube River and data (month and year) of their occurrence (1931–2016)

If other sources and periods are considered, the values of the maximum multiannual discharges may differ. Thus, the data estimated in [28] for the period 1840–2012, indicated, in the case of stations from the eastern end of the sector, values higher than those recorded, namely: 17,525 m<sup>3</sup>/s at Brăila, 21,347 m<sup>3</sup>/s at Grindu and 21,867 m<sup>3</sup>/s at Ceatal Izmail. At the last two stations the maximums were noted in July 1897, and at the others, in April 2006. In other sources quoted in [58], at Ceatal Izmail, different maximum discharge values were found. Thus, the highest flow seems that occurred in July 1897, but with quite different values: 35,000, 19,347, 19,233, 17,700 m<sup>3</sup>/s. A very high discharge (28,300 m<sup>3</sup>/s) is also mentioned in 1891 [58]. However, the values estimated for the period before 1930 have not been validated, and are only informative.

The **minimum multiannual discharges** generally show an increase from upstream to downstream, from approx. 1,000 m<sup>3</sup>/s at stations located in the western part of the Lower Danube River, to almost 1,800 m<sup>3</sup>/s at Ceatal Izmail (Fig. 4b). The lowest minimum discharge was noticed at Gruia h.s., in January 1985. Because this station is located only a few km downstream of the HENS Iron Gates II (fully put into operation in 1986), it is highly likely that this minimum value was caused by water retention behind the two dams, during the system construction. At half of the analyzed stations, the minimum multiannual discharges occurred in 1954, which indicates a very dry year in the lower Danube River basin. At Bazias, where the Danube's flow reflects the climatic conditions in the middle and upper basins, the year with the lowest flow was 1949. At Ceatal Izmail, the lowest discharge was recorded in October 1947. During the year 1947 the lower Danube basin (especially its eastern part) experienced a severe drought.

According to the data estimated by extending the series of daily flows in [28], the lowest annual minimum flows in the lower course of the Danube River reached: 1,018 m<sup>3</sup>/s in 1901 at Bazias, 1,015 m<sup>3</sup>/s in 1858 at Gruia, 1,075 m<sup>3</sup>/s in 1858 at Giurgiu, 1,051 m<sup>3</sup>/s in 1920 at Oltenița, 1,112 m<sup>3</sup>/s in 1908 at Brăila and 1,553 m<sup>3</sup>/s



in 1863 at Ceatal Izmail. These data obtained indirectly indicate lower discharges than those measured in the period 1931–2016. We again specify that due to errors that may affect data before 1931, they should be considered informative.

## 5 Variability of the Average Flow

The average flow synthetically expresses, through its specific parameters, the water resources of a river for a given period. Its variability along the river is dependent on all the natural and anthropogenic factors controlling the runoff, that act differently over space and time. In the absence of anthropogenic interventions, the climatic factor is determinant for the temporal flow variation.

In this section of the chapter, we investigated the inter- and intra-annual variation of the average flow, at three gauging stations located in relevant positions on the LDR: Baziaş (at the beginning of the studied sector), Olteniţa (controlling about half of the Danube Lower Basin) and Ceatal Izmail, located at the entrance in the Danube delta. The analyzed period is 1931–2019 for the annual discharges, while for the monthly discharges, the period is 1976–2019. At Ceatal Izmail we also considered the period 1931–2019 to identify the impact of the Iron Gates I and II Hydroelectric and Navigation Systems on the monthly discharges.

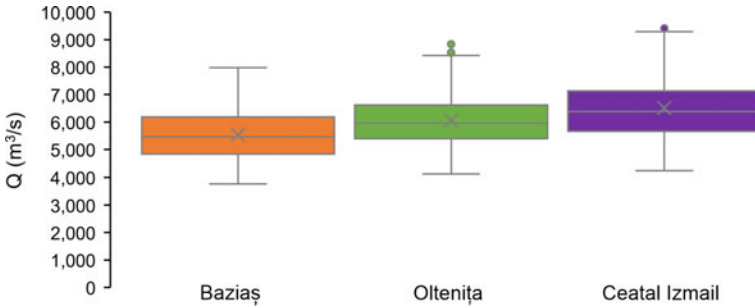
### 5.1 Interannual Flow

The interannual variability of the average streamflow mainly reflects the climatic conditions of the years and the anthropogenic quantitative pressures on water through its various uses and related engineering works (e.g. dams, intakes). In Table 3 the basic statistic parameters of the average annual discharges data-series at the selected gauging stations are summarized, and in Figs. 5 and 6, their variation during the period 1931–2019 is shown.

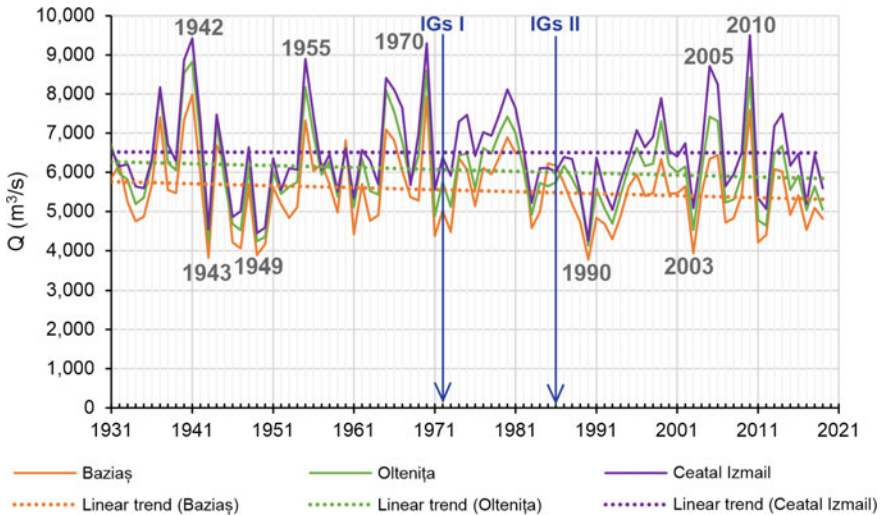
A normal increase can be noticed for the considered statistical parameters, from upstream to downstream. The coefficient of interannual variation ( $C_v$ ) is relatively

**Table 3** Basic statistical parameters of the average annual discharges at hydrometric stations on the Lower Danube River (1931–2019)

Parameter/station	Baziaş		Olteniţa		Ceatal Izmail	
	Value	Year	Value	Year	Value	Year
Average	5,536	–	6,056	–	6,509	–
Maximum	7,980	1941	8,830	1941	9,498	2010
Minimum	3,770	1990	4,130	1990	4,252	1990
$C_v$	0.17	–	0.17	–	0.18	–



**Fig. 5** Box-plots of the average annual discharges of the Danube River at Baziaș, Oltenița and Ceatal Izmail hydrometric stations (1931–2019) (X sign is for the average value)



**Fig. 6** Variation of the average annual discharges of the Danube River at Baziaș, Oltenița and Ceatal Izmail hydrometric stations (1931–2019). IGs = Iron Gates

constant ( $C_v = 0.17-0.18$ ). The highest values of the average annual flows occurred in 1941 at Baziaș and Oltenița, and in 2010 at Ceatal Izmail, in the context of large magnitude floods in those years. Very high values of the average annual flows were also reached in 1955, 1970, and 2005 (Fig. 6), with significant floods occurring on the Lower Danube River basin tributaries. The lowest average annual discharges were noticed in 1990 at all analyzed stations, but very low values were also recorded in 1943, 1947, 1949, 2003 and 2011 (Fig. 6).

Figure 6 indicates slight linear downward trends at Baziaș and Oltenița stations during the analyzed period, while at Ceatal Izmail h.s. the data series is quasi-stationary. According to the Mann-Kendall test, the linear trends are statistically non-significant. The Sen’s slope is low, of 40–50  $m^3/s$  per decade at Baziaș and

Oltenița (negative) and only about  $6 \text{ m}^3/\text{s}$  at Ceatal Izmail (positive). Our results concerning long-term trends are similar to those found in previous studies performed at stations on the LDR (e.g. [21, 54, 59, 68, 71]). However, there are some differences that can be mainly attributed to the different lengths of the analyzed periods and to the employed method of trend analysis (graphical or based on statistical tests).

The comparative analysis of the average annual flows in the period prior to the entry into operation of the Iron Gates II HENS (i.e., 1931–1986) and in the subsequent interval (1987–2019), indicated a relatively small decrease of the average runoff, with only 5% at Oltenița and 2% at Ceatal Izmail. These low rates suggest that the impact of the HENS functioning on the annual average runoff is not significant.

To identify changes in the average flow of the LDR due to anthropogenic impact, in [62] three periods were separated and analyzed between 1931 and 2010: 1931–1964 (with reduced changes in the natural regime, so quasi-natural flow regime), 1965–1984 (with important changes in the river channel and floodplain—transition flow regime), and 1985–2010 (with reduced modifications—current flow regime). The results showed slight linear downward trend in the first two periods and upward trend in the third period. The increase found in the last period was also attributed to the more intense climatic variability during this period. At all analyzed stations, the highest average runoff was recorded during the transition regime (1965–1984) [62].

In [59] and [69], based on the analysis of the decadal average flows at hydrometric stations along the LDR in the periods 1931–2010 and 1931–2016 respectively, it was shown that the highest values were recorded in the decade 1961–1970 (excepting for the Baziaș station) as a result of floods that occurred in the LDB in 1970. High average decennial discharge was also found in the period 2001–2010, because of the large-scale floods of 2005, 2006 and 2010. Decades with low average flows were 1941–1950 and 1981–1990, as a result of severe droughts during these intervals (e.g. 1946–1947, 1990).

The analysis performed in [21], on the long term 30-year discharges (from the nineteenth century to the first decade of the 21th century) at Reni h.s. (located about 30 km upstream of Ceatal Izmail) showed a decrease of about  $100 \text{ m}^3/\text{s}$  in the period 1976–2005 compared to the period 1961–1990. The analysis of the long term 10-year discharge, indicated a significant decrease (of about  $700 \text{ m}^3/\text{s}$ ) in the decade 1981–1990, compared to 1971–1980, followed by a gradual increase in the following decades, so that between 2001 and 2010, the average flow was similar to those of 1971–1980 decade.

If the influence of the impoundments and engineering works along the LDR on the liquid flow is not very obvious, this influence is significant in the case of sediment flux. Thus, the downward trend of suspended sediment flow is evident at all stations downstream of the Iron Gates I and II dams: at Ceatal Izmail, the suspended sediment load decreased drastically, by approx.  $2/3$ , from almost  $1700 \text{ kg/s}$  (the multiannual average from 1931 to 1964), to an average of almost  $600 \text{ kg/s}$  between 1985 and 2010 [62].

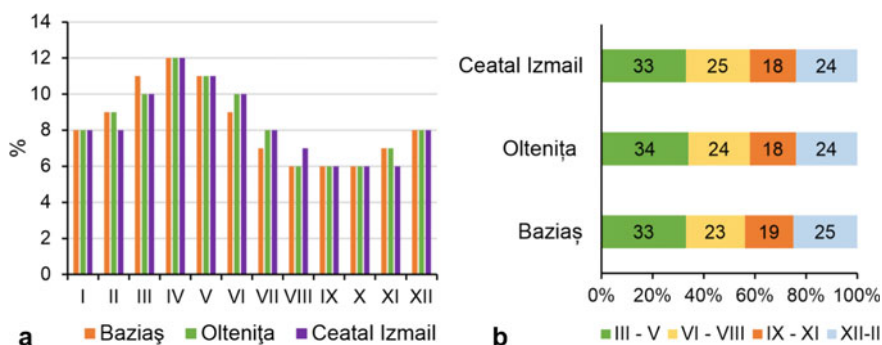
## 5.2 Intra-annual Flow

During a year, the Danube's discharge is uneven due to the temporal fluctuation of climatic and anthropogenic factors. Thus, the streamflow is closely dependent especially on the variation of main climatic factors (precipitation, air temperature, evaporation and evapotranspiration, snow depth, atmospheric circulation) at basin-wide and regional scales, but the anthropogenic activities (especially water uses) can alter the natural flow regime. Relevant information on the flow regime of the Danube River and its main tributaries can be found in several previous studies such as [21, 47, 63, 72]. This chapter highlights Danube's annual flow regime at Baziaş, Olteniţa and Ceatal Izmail hydrometric stations between 1976 and 2019. To identify the impact of the HENSs Iron Gates I and II on the monthly discharges' variation, at Ceatal Izmail h.s. we analyzed a longer time period, between 1931 and 2019.

At the entrance in the lower sector (at Baziaş), the Danube River's flow regime reflects the action of flow control factors (mainly, the climatic conditions) from the upper and middle sectors of the basin. Downstream of the Iron Gates gorge, a determinant role in the variation of the Danube's flow during the year have the climate in the lower basin and the flow regime of main tributaries in this sector. To these, the major human pressures on the Danube River (e.g. the water uses and the HENSs Iron Gates I and II) are added.

Because of the location of the Danube River basin in the temperate climate region, the general pattern of the intra-annual flow reflects the features of this climate with four seasons. At the same time, the topographic characteristics of the basin also play an important role in defining the flow regime, more precisely the presence of mountainous areas with altitudes exceeding 2000 m a.s.l. favouring the snow accumulation during the cold season. At altitudes over 3000 m a.s.l. there are also glaciers. To these are added the climatic influences generated by the proximity of wide aquatic environments (e.g. Mediterranean and Black seas).

As shown in Fig. 7a, the most important water volumes (10–12% of the average annual volume) are carried by the Danube during spring months (March–May, with

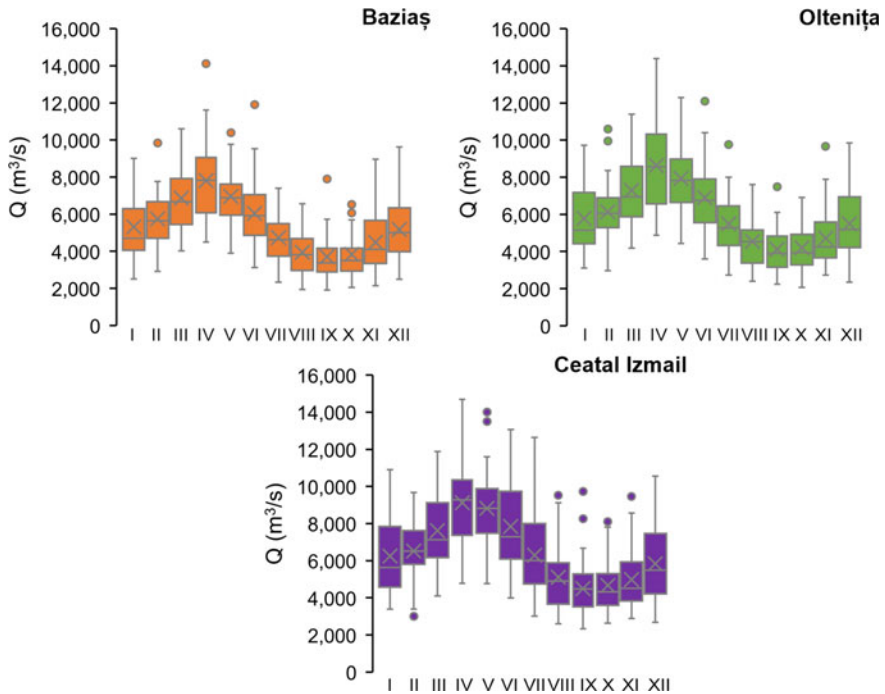


**Fig. 7** Monthly (a) and seasonal (b) distribution of the average flow along the Lower Danube River, at Baziaş, Olteniţa and Ceatal Izmail (in % from the average annual volumes, 1976–2019)

maximum in April) and in June, due to the rich rainfall in these months, often associated with the snow melting. The spring is the season with the highest flow, of about 1/3 (33%) of the average annual volume of the Danube River (Fig. 7b). The lowest average volumes (6–7%) are noticed in August–November, autumn being the driest season from a hydrological point of view (18–19% of the average annual volume of water of the Danube River) (Fig. 7b). The monthly and seasonal distribution of the average flow is almost similar at all analyzed stations along the Lower Danube River, with no noticeable spatial differences.

Based on the monthly and seasonal distribution of the average volumes of water during the year, the Lower Danube flow regime can be described as continental nival-pluvial, with a snow melting supply from March to June [21]. Because of the large size of the watershed, the flow regime is relatively stable along the Lower Danube River and the main tributaries in this last sector (mainly those from the left-side, draining the Carpathian Mountains), do not change the regime defined at Baziaș h.s. by all the runoff control factors in the upstream basin [21, 47].

In Fig. 8 the variations of the monthly average discharges of the Danube River at the selected stations are shown, based on the specific statistical parameters of box-plots (during the period 1976–2019). These reflect the specific flow regime, as



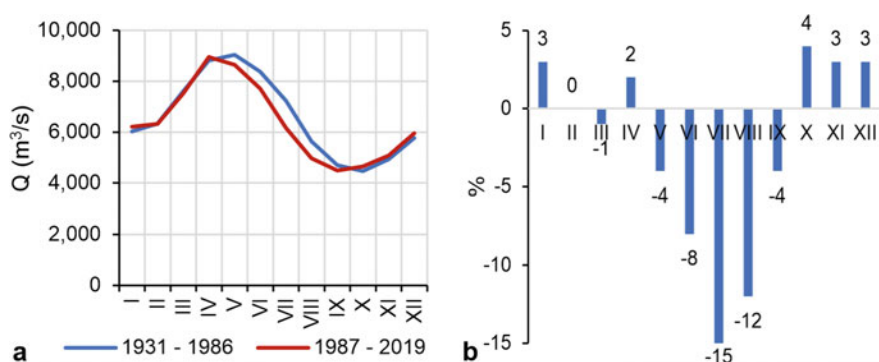
**Fig. 8** Box-plots of the average monthly discharges of the Danube River at Baziaș, Oltenița and Ceatal Izmail hydrometric stations (1976–2019) (X sign is for the average value)

mentioned above, with high waters in spring and early summer and low waters in late summer and autumn. In general, there is a greater variation of the monthly discharges at Ceatal Izmail h.s. This could be explained by the particular regime of the last two major tributaries (Siret and Prut rivers) draining the eastern extremity of the Danube basin, with a drier continental climate.

As shown above, the construction of the two hydroelectric and navigation systems (Iron Gates I and II) and their operation did not significantly impact the average annual flow. In the case of monthly discharges, according to [73], at Orșova h.s. (located few km upstream the Iron Gates I dam), changes in the period 1970–2005, compared to 1921–1960 were noticed, due to the functioning of the Iron Gates I HENS and to its flow regularizing role. These changes consist of higher discharges during the months with low waters (September–October and December–February) and lower discharges during the periods with high waters (e.g. May–August). Significant differences between the natural and controlled regimes were noticed during the flood events [73].

The comparative analysis of the multiannual monthly averages of the Danube River's flow at Ceatal Izmail in the period before (1931–1986) and after (1987–2019) the put into full operation of the HENS Iron Gates II, indicated a decrease of the monthly discharge by  $-4 \dots -15\%$  in the warm period (May–September) and slight increases, of up to  $2-4\%$  in January, April and October–November (Fig. 9). These modifications in the annual flow regime could be caused by the operation of the two large hydroelectric systems, but the changes in the intra-annual variability of climatic parameters could be also responsible for this [62].

The comparison of the flow regime in the period 1981–2005 with that of the period 1956–1980, based on Pardé-coefficients at stations along the Danube River, indicated for its lower sector (at Ceatal Izmail) a decrease of the Pardé variability flow coefficient (by  $-20\%$ ), showing a loss in monthly flow variability [21]. It was also found that the maximum flow occurrence in spring was reduced from two months



**Fig. 9** Variation of the average monthly discharges of the Danube River at Ceatal Izmail before (1931–1986) and after (1987–2019) the commissioning of the hydroelectric and navigation system Iron Gates II (a) and the rate of changes in the second period compared to the first period (b)

to one month [21]. Both climate change and anthropogenic influences could be responsible for these changes.

## 6 Maximum Flow Variability

The main factor responsible for the maximum flow in the LDR is the climate at basin-wide and regional scale. The rich rainfall in the spring months, coupled with the snow melting (the snow can persist in the high mountain areas until early summer), leads to spring–summer floods and high waters during this period, as shown in Sect. 5.2.

Several previous studies have addressed the topic of the maximum flow and floods on the Danube River as a whole or only in its lower sector. Among the most recent and relevant we mention: [58, 60, 63, 65, 67, 69, 74]. A comprehensive analysis of the maximum flow and floods within the Danube River Basin basin was carried out in [21]. As mentioned in Sect. 2, a valuable publication on flood risk along the Danube River is the “Danube Atlas - Flood Hazard and Risk Maps” (2012) [27]. Several studies on the topic of floods are the outputs of the projects carried out through the cooperation of Danubian countries within the framework of IHP/UNESCO, such as: [21, 72, 74, 75], as quoted in [68].

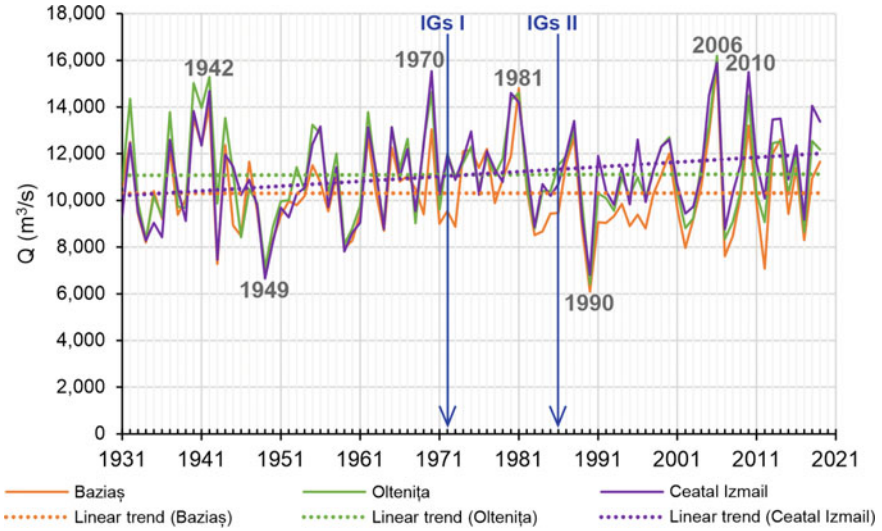
In this chapter, we investigated the variation of the maximum flow of the LDR based on the analysis of the annual and monthly maximum discharges at Baziaş, Oltenița and Ceatal Izmail during the period 1931–2019 for the annual values, and between 1976 and 2019, for monthly discharges.

### 6.1 Maximum Annual Discharges

As mentioned in Sect. 4, the highest maximum discharges in the period 1931–2019 were registered at all analyzed stations during the historical flood in the spring of 2006 (Figs. 4a and 10) and Table 4. At Baziaş h.s. the flood-peak reached 15,800 m<sup>3</sup>/s (the highest since the beginning of hydrological measurements in Romania, in 1838). At Oltenița h.s., the maximum discharge during the flood in 2006 reached 16,200 m<sup>3</sup>/s, while at Ceatal Izmail the flood-peak was lower (15,900 m<sup>3</sup>/s), due to the Danube’s overflow and flood attenuation.

The variation of the basic statistical parameters of the time-series of maximum discharges between 1931 and 2019 at Baziaş, Oltenița and Ceatal Izmail gauging stations is shown by the box-plots in Fig. 11. The variation coefficient of the annual maximum discharges is constant and relatively low ( $C_v = 0.18$ ) (Table 4).

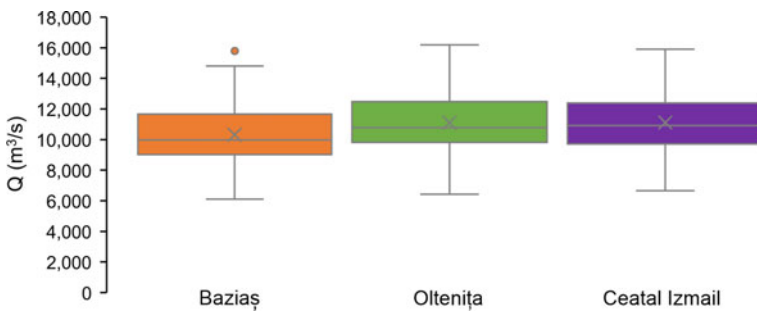
The magnitude of the floods, as indicated by the ratio between the maximum annual discharge ( $Q_{maxa}$ ) and the multiannual average discharge ( $Q_{avma}$ ), differed along the LDR. Thus, in 2006 this ratio reached the maximum values, of 2.9 at Baziaş, 2.7 at Oltenița and 2.4 at Ceatal Izmail. High ratios (more than 2.3) were



**Fig. 10** Variation of the maximum annual discharges at hydrometric stations along the Lower Danube River (1931–2019) and their linear trends

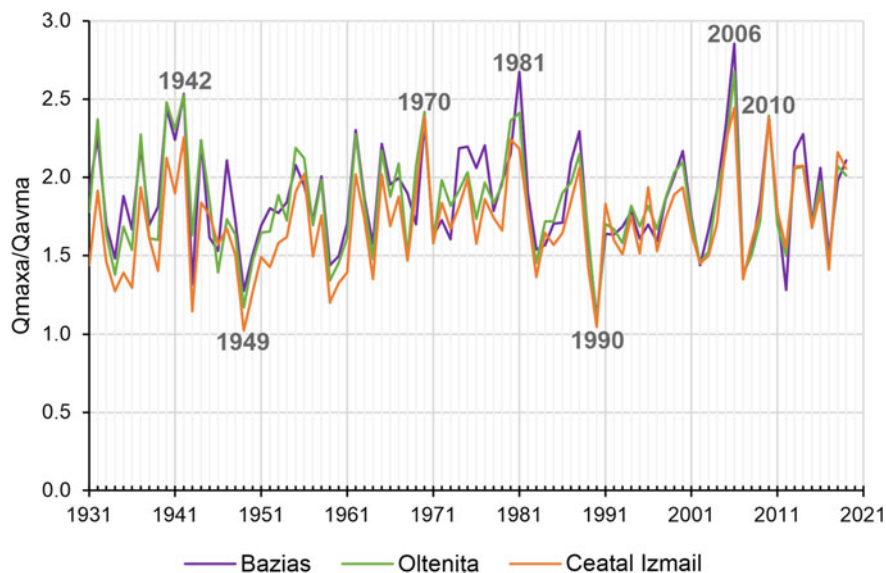
**Table 4** Basic statistical parameters of the maximum annual discharges at gauging stations on the Lower Danube River (1931–2019)

Parameter/Station	Baziaș		Oltenița		Ceatal Izmail	
	Value	Year	Value	Year	Value	Year
Med	10,308		11,096		11,105	
Max	15,800	2006	16,200	2006	15,900	2006
Min	6,100	1990	6,420	1990	6,660	1949
Cv	0.18		0.18		0.18	



**Fig. 11** Box-plots of the maximum annual discharges of the Danube River at Baziaș, Oltenița and Ceatal Izmail hydrometric stations (1931–2019) (X sign is for the average value)





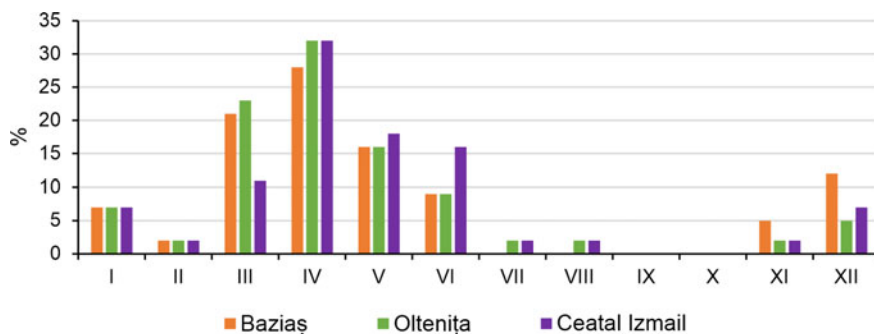
**Fig. 12** Variation of the ratio between the maximum annual discharges ( $Q_{maxa}$ ) and the multi-annual average discharge ( $Q_{avma}$ ) at hydrometric stations along the Lower Danube River (1931–2019)

also noticed during the floods occurred in 1942, 1970, 1981 and 2010. In 1949 and 1990, the maximum annual discharges were low, similar to the average multiannual discharge, the value of the  $Q_{maxa}/Q_{avma}$  ratio being almost 1 (Fig. 12).

For the period prior to 1931, scientific literature indicates maximum annual discharges (based generally on indirect estimations) at hydrometric stations along the LDR, of more than  $20,000 \text{ m}^3/\text{s}$ , as mentioned in Sect. 4.

Between 1931 and 2019 at Baziaș and Oltenița, no significant linear trends were detected in the variation of the maximum annual discharges. At Ceatal Izmail, an upward trend at  $\alpha = 0.05$  level of significance was found, with an average Sen's slope of about  $200 \text{ m}^3/\text{s}$  per decade. In a previous study [21], during the period 1931–2005, the upward trend found at Ceatal Izmail was not statistically significant. The major floods occurred after 2005 (in 2006, 2010) led to a significant increase in the maximum annual flows. According to [21], at Orșova (located in Iron Gates gorge area, about 120 km downstream of Baziaș h.s., and a few kilometres upstream the Iron Gates I dam) and Reni (located close to Ceatal Izmail h.s.), in the decade 2001–2020, the average of the maximum annual discharges of the Danube River was higher than in previous decades, namely 1981–1990 and 1991–2000.

The comparison of the average of the maximum annual discharges between 1931 and 1986 (before the commissioning of the Iron Gates II HENS) with the average from the period 1987–2019 indicated, at the Oltenița h.s., a small decrease of approx.  $200 \text{ m}^3/\text{s}$  (almost 2%) in the second period, while at Ceatal Izmail h.s. an increase of about  $630 \text{ m}^3/\text{s}$  (nearly 6%) was found. As a result, a slight reduction of the flood



**Fig. 13** The monthly distribution of the annual flood-peaks at hydrometric stations along the Lower Danube River (1931–2019, in % from the total number of years)

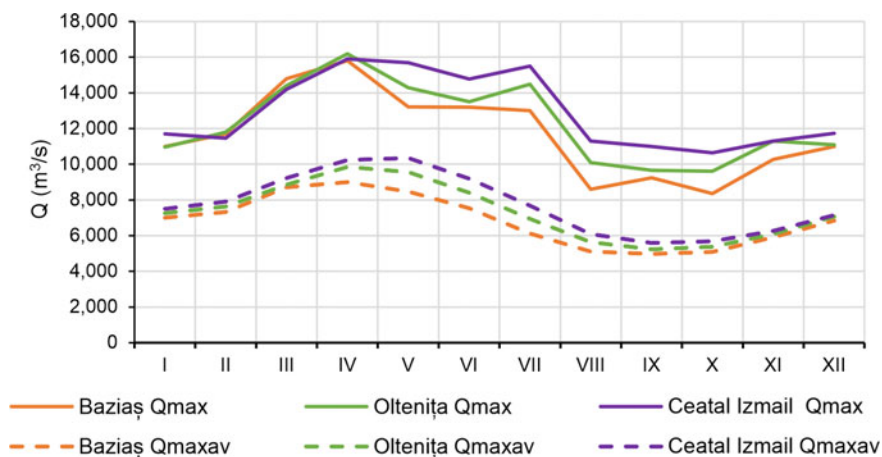
peaks could be deduced at Oltenița, which is no longer found at Ceatal Izmail, where maximum flow is strongly influenced by the input of the last two large tributaries (Siret and Prut rivers).

Most frequently, the peaks of the annual floods occurred in April (28–32% of the analyzed years), March (up to 23%) and May (16–18%), while in September–October no floods occurred (Fig. 13). However, there are some notable spatial differences between the selected stations. Thus, at Baziaș h.s., the share of floods in December and November is almost double compared to the other two stations, which can be explained by the influence of the Mediterranean climate on the runoff in this section. At Ceatal Izmail there is a much lower frequency (about half) of annual floods in March compared to Baziaș and Oltenița and much higher (almost double) in June. This situation could be related to the climatic influence induced by the proximity of the Black Sea (where retrograde cyclones are active in summer) and of the Carpathians (which cause a delay in the water supply from snow melting in late spring and early summer).

## 6.2 Maximum Monthly Discharges

The variation of the maximum monthly discharges of the LDR and of their multi-annual averages at the analyzed stations (between 1976 and 2019), illustrates, once again, the occurrence of the highest floods between April and July (Fig. 14). This is due to the abundant precipitations during these months in conjunction with the snow and glaciers melting (in the upper basin of the Danube, overlapping the Alps).

During the mentioned period, maximum monthly discharges at all analyzed stations along the LDR were recorded in January 2010, March 1981, April 2006, July 2010, October 2014 and November 1998 (Table 5). In the other months, spatial differences were noticed, probably caused by the contribution of tributaries in the lower sector or by the phenomenon of overflow and attenuation of flood-peaks between



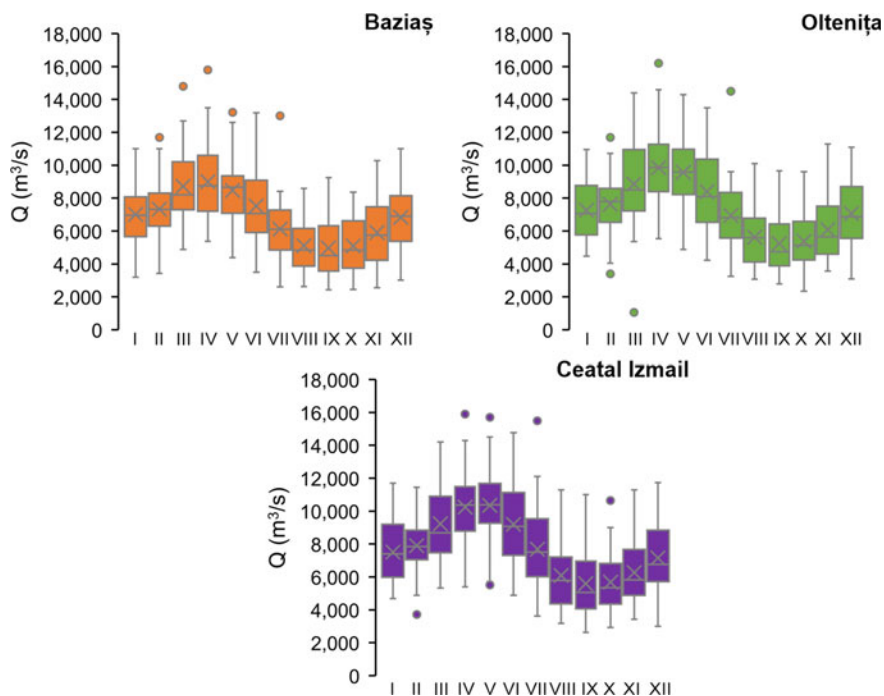
**Fig. 14** Variation of maximum monthly discharges ( $Q_{max}$ ) and of their averages ( $Q_{maxav}$ ) at hydrometric stations along the Lower Danube River (1976–2019)

**Table 5** Maximum monthly discharges and the years of their occurrence at hydrometric stations along the Lower Danube River (1976–2019)

Month / Station	Baziaș		Oltenița		Ceatal Izmail	
	Q ( $m^3/s$ )	Year	Q ( $m^3/s$ )	Year	Q ( $m^3/s$ )	Year
I	11,000	2010	10,960	2010	11,700	2010
II	11,700	1977	11,800	1979	11,460	1977
III	14,800	1981	14,400	1981	14,200	1981
IV	<b>15,800</b>	<b>2006</b>	<b>16,200</b>	<b>2006</b>	<b>15,900</b>	<b>2006</b>
V	13,210	2006	14,300	1980	15,700	2006
VI	13,200	2010	13,500	1980	14,770	2010
VII	13,000	2010	14,490	2010	15,500	2010
VIII	8,600	1991	10,100	1997	11,300	2005
IX	9,250	2014	9,660	2014	11,000	2005
X	8,360	2014	9,610	2014	10,640	2014
XI	10,280	1998	11,300	1998	11,300	1998
XII	11,000	1981	11,100	1981	11,740	2010

Red font—highest discharge. Colorful background—year that repeats. White background—year without repetition

stations. For example, in May, at Oltenița h.s., the maximum discharge was not registered during the historical flood of 2006, as it was the case at the other two stations, but it occurred in 1980. A similar situation was noticed in June, when at Oltenița the maximum discharge was reached in 1980, while at Baziaș and Ceatal Izmail it was



**Fig. 15** Box-plots of the maximum monthly discharges of the Lower Danube River at Baziaș, Oltenița and Ceatal Izmail hydrometric stations (1976–2019) (X for the average value)

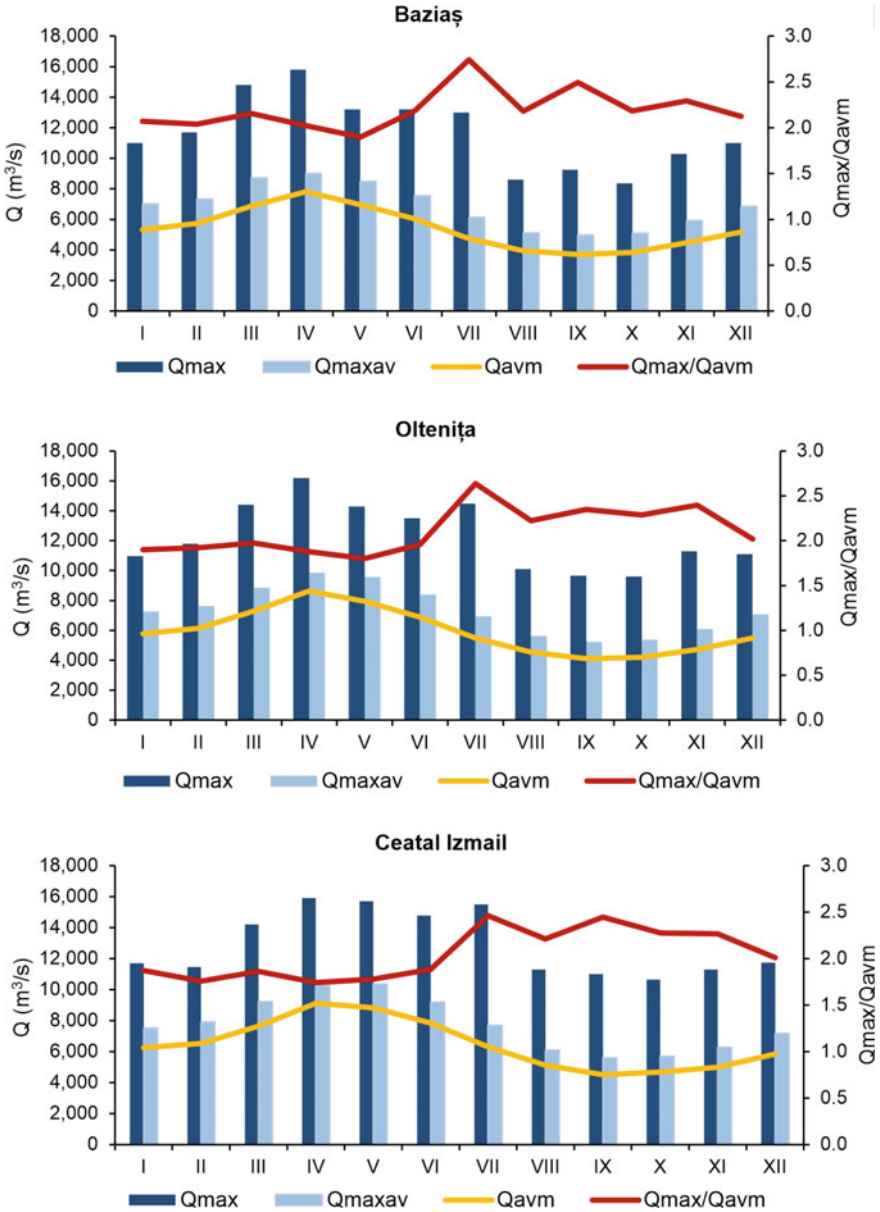
recorded in 2010. In August the maximum discharges occurred in different years at the three selected stations (Table 5).

The variation of the basic statistical parameters of the maximum monthly discharges time-series between 1976 and 2019 at Baziaș, Oltenița and Ceatal Izmail gauging stations is shown in the form of box-plots in Fig. 15.

In order to highlight the magnitude of the maximum monthly discharges, we determined the ratio between them and the average monthly discharges, during the period 1976–2019. The values of these ratios are high (over 2.2, up to 2.5–2.7) in July–November (Fig. 16), when low waters characterize the Danube's flow regime.

## 7 Minimum Flow Variability

The low minimum flow is associated with the time periods with little or no rainfall within the Danube River Basin. As showed in Sect. 5.2, in the annual flow regime of the LDR, the low flow, and consequently the minimum discharges, are specific for the late summer-early autumn period, namely during the months of August–November (6–7% from the average annual volume).



**Fig. 16** Variation of the maximum monthly discharges ( $Q_{max}$ ), of their average values ( $Q_{maxav}$ ) and of the ratio between the maximum monthly discharges ( $Q_{max}$ ) and the average monthly discharges ( $Q_{avm}$ ) along the Lower Danube River, at Baziaș, Oltenița and Ceatal Izmail (1976–2019)

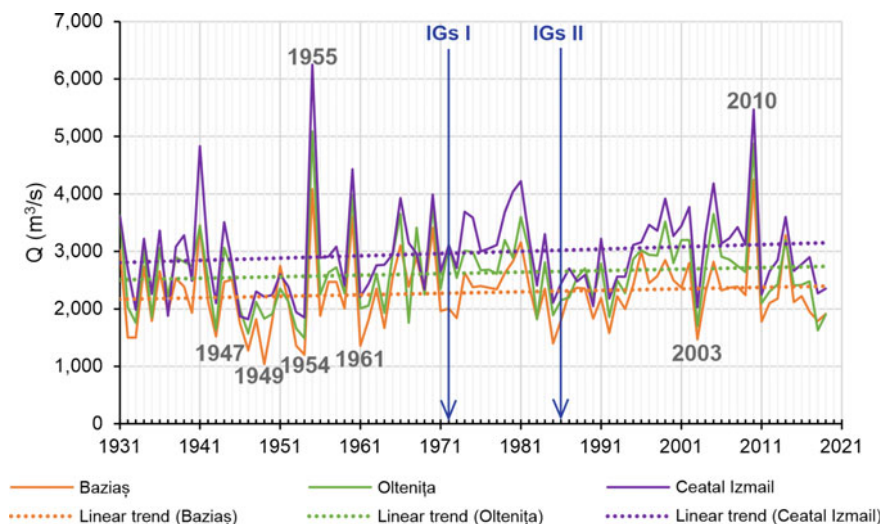
In the last decade, relevant information on the minimum flow of the Lower Danube River from different time-periods is found in several publications such as: [58, 60, 63–65, 67, 69]. In this chapter, we presented up-to-dated data and results on the variations of minimum flow along the LDR, at Baziaș, Oltenița and Ceatal Izmail gauging stations, based on the analysis of the annual and monthly minimum discharges during the period 1931–2019 for annual values and 1976–2019, for the monthly ones.

## 7.1 Minimum Annual Discharges

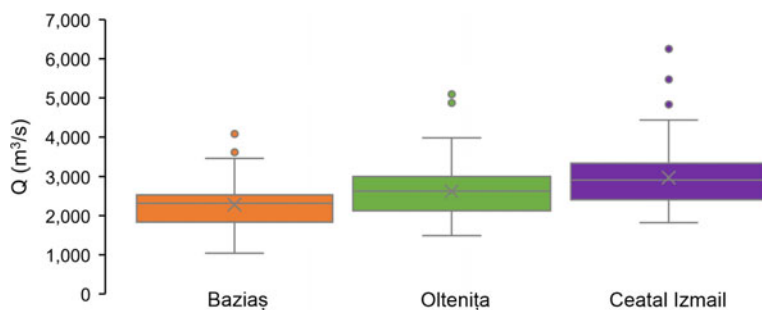
In Sect. 4 we showed that the multiannual minimum discharges recorded at gauging stations located along the LDR (during the period 1931–2016), decreased to approx. 1,000 m<sup>3</sup>/s at the western part of the studied sector, and almost 1,800 m<sup>3</sup>/s at Ceatal Izmail (Fig. 4b). Very low discharges were recorded in dry years at basin-wide scale and also in the lower sector, such as 1947, 1949, 1954, 1961 and 2003 (Fig. 17). Before 1931, dry years were noticed in 1858, 1863, 1901, 1908 and 1920, when very low minimum flows were estimated in [28] (see Sect. 4).

The variation of the basic statistical parameters of the time-series of minimum annual discharges between 1931 and 2019 at Baziaș, Oltenița and Ceatal Izmail hydrometric stations is illustrated by the box-plots in Fig. 18. The increase of these parameters from upstream to downstream is obvious, as also shown in the Table 6.

The interannual variation of the minimum annual discharges is relatively small ( $C_v = 0.26$ ) and similar at all three study gauging stations. During the period between



**Fig. 17** Variation of the minimum annual discharges at hydrometric stations along the Lower Danube River (1931–2019) and their linear trends



**Fig. 18** Box-plots of the minimum annual discharges of the Danube River at Baziaș, Oltenița and Ceatal Izmail hydrometric stations (1931–2019) (X for the average value)

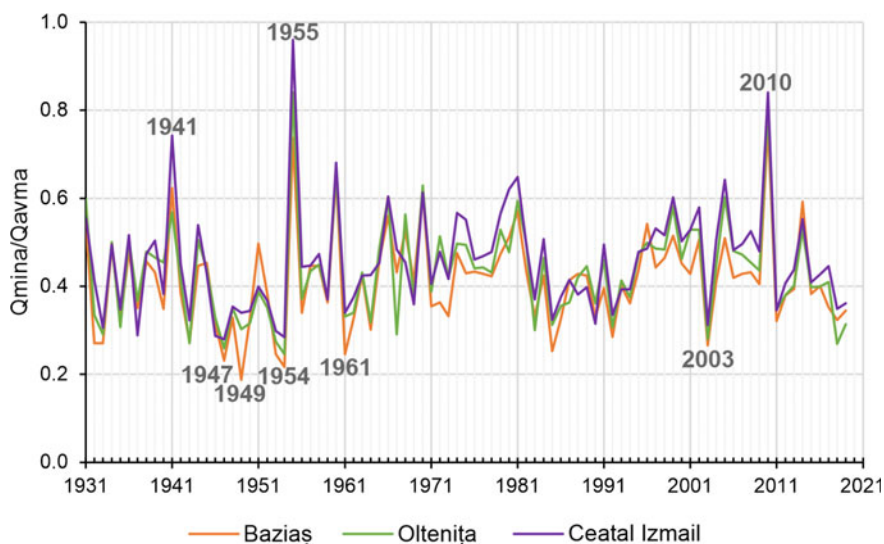
**Table 6** Basic statistical parameters of the minimum annual discharges at hydrometric stations on the Lower Danube River (1931–2019)

Parameter/station	Baziaș		Oltenița		Ceatal Izmail	
	Value	Year	Value	Year	Value	Year
Med	2,282		2,625		2,978	
Max	4,250	2010	5,090	1955	6,250	1955
Min	1,040	1949	1,490	1954	1,820	1947
Cv	0.26		0.26		0.26	

1931 and 2019 the lowest minimum annual discharges occurred in 1947, 1949, 1954 and 1961. In these years and several other years (e.g., 1985, 2003), the ratio between the minimum annual discharges and the multiannual average discharges at the analyzed hydrometric stations ranged between 0.2 and 0.3 (Fig. 19).

During the period 1931–2019 at Baziaș and Oltenița stations, slight upward linear trends in the variation of the minimum annual discharges can be observed (Fig. 17), but they are not statistically significant. At Ceatal Izmail h.s., the Mann-Kendall test showed an upward trend at  $\alpha = 0.1$  level of significance, with an average Sen's slope of about  $55 \text{ m}^3/\text{s}$  per decade. In the period 1961–2010, in [64] linear upward trends were found at most gauging stations along the LDR, with more pronounced slopes downstream of Oltenița h.s., but the statistical significance of these trends was not established. In [58] a trend of slight increase of minimum annual discharges of the Danube River was noticed at Baziaș and Ceatal Izmail between 1931 and 2010, but the trend was established only based on the analysis of the graphic. Similar results, also based on the analysis of the graphical linear trend, but for a longer period (1931–2016) are mentioned in more recent studies [67, 69].

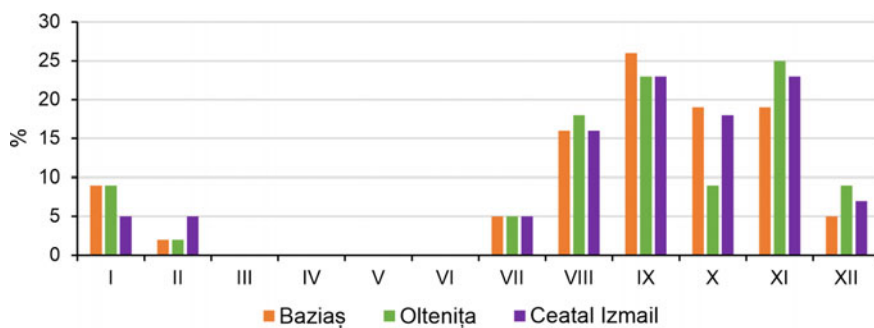
Comparing the average maximum annual flows from 1931 to 1986 (before the commissioning of the HENS Iron Gates II) with those from the period 1987–2019, we found, both in the case of Oltenița and Ceatal Izmail stations, a very slight increase, of approx. 3–4% ( $80\text{--}90 \text{ m}^3/\text{s}$ ) in the second period. These results show that the functioning of the hydroelectric system does not significantly impact the



**Fig. 19** Variation of the ratio between the minimum annual discharge ( $Q_{\min}$ ) and the average multiannual discharge ( $Q_{\text{av}}$ ) at hydrometric stations along the Lower Danube River (1931–2019)

minimum annual flow of the LDR. In addition, the impact of climate changes could be responsible for the flow alteration.

The analysis of the monthly distribution of the minimum annual discharges during the period 1931–2019 indicates that these values most frequently occurred in the late summer-autumn period, more precisely from August to November, with the maximum occurrence (23–26% of the total number of analyzed years) found in September and November (Fig. 20). This is due to the low precipitations in this period of the year. Frequencies of up to 9% were also found in December and January, in the climatic context characterized by water freezing phenomena and predominantly solid



**Fig. 20** The monthly distribution of the minimum annual discharges at hydrometric stations along the Lower Danube River (between 1931 and 2019, in % from the total number of the years)



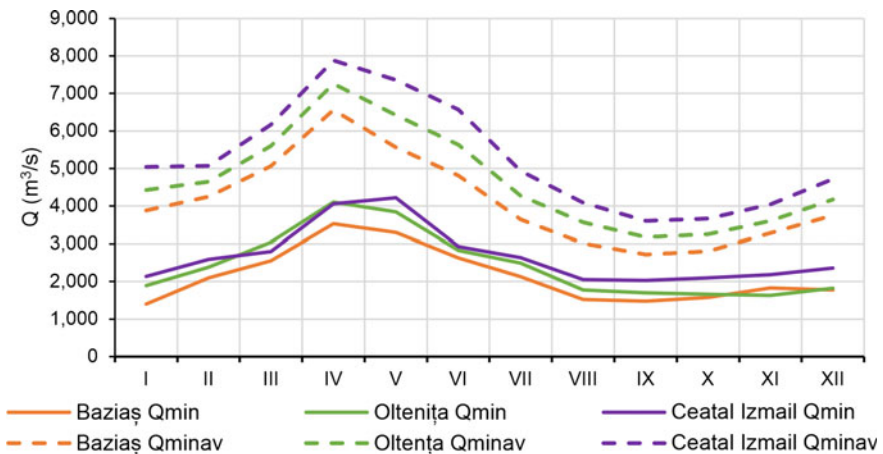
precipitation. Between March and June, characterized by high waters, no minimum annual discharges occurred during the studied period. A similar monthly distribution was found in [63] at Ceatal Izmail between 1921 and 2010. At Baziaş, over a shorter period (1991–2008), no minimum annual discharges were recorded between December and May [63].

## 7.2 Minimum Monthly Discharges

The minimum monthly discharges were analyzed based on instantaneous and averaged values recorded at Baziaş, Oltenița and Ceatal Izmail hydrometric stations, during the period 1976–2019. Both types of values have exhibited a variation consistent with that of the monthly average flow, namely, high discharges in spring and early summer, while in late summer, autumn and winter the lowest values were recorded (Fig. 21).

During the analysed period, in some months were registered minimum values at all stations along the LDR: January 1985, May 2007, June 1993, July and September 2003 (Table 7). Overall, in the LDR sector, the period between July and September 2003 stands out with the highest frequency of occurrence of minimum monthly flows. In months such as March, October, November, there is a heterogeneity regarding the years when minimum flows occurred along the Danube River, which can be explained by the stronger influence of regional climatic conditions and of the tributaries during these periods.

The variation of the basic statistical parameters of the time-series of minimum monthly discharges at the three analyzed stations, in the period 1976–2019, is shown



**Fig. 21** Variation of minimum monthly discharges ( $Q_{min}$ ) and of their averages ( $Q_{minav}$ ) at hydrometric stations along the Lower Danube River (1976–2019)

**Table 7** Minimum monthly discharges and the years of their occurrence at hydrometric stations along the Lower Danube River (1976–2019)

Month / Station	Bazias		Oltenița		Ceatal Izmail	
	Q (m <sup>3</sup> /s)	Year	Q (m <sup>3</sup> /s)	Year	Q (m <sup>3</sup> /s)	Year
I	<b>1,400</b>	1985	1,890	1985	2,140	1985
II	2,100	2012	2,380	2012	2,590	1989
III	2,550	1993	3,040	1993	2,800	1989
IV	3,530	1991	4,100	1991	4,060	1990
V	3,300	2007	3,840	2007	4,220	2007
VI	2,630	1993	2,830	1993	2,930	1993
VII	2,132	2003	2,490	2003	2,640	2003
VIII	1,520	2003	1,780	2003	2,050	1990
IX	1,474	2003	1,700	2003	<b>2,030</b>	2003
X	1,580	1992	1,660	2018	2,100	2003
XI	1,830	1953	<b>1,630</b>	2018	2,180	1985
XII	1,780	2011	1,820	1983	2,360	2011

Blue font—lowest discharge. Colorful background—year that repeats.

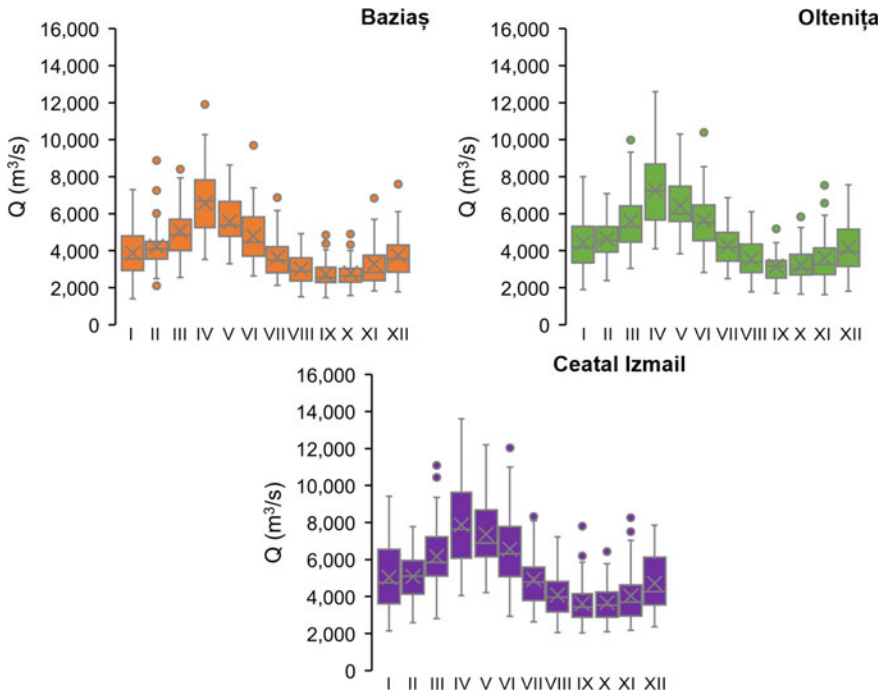
White background—year without repetition

in the form of box-plots in Fig. 22. They generally indicate larger dispersions in spring values (especially in April) and lower dispersions in fall (September–October).

In Fig. 23, the variation of the minimum monthly discharges and their averaged values are shown, compared to the average monthly discharges recorded between 1976 and 2019 at the three selected stations. In order to highlight the severity of the low flow, we calculated the ratio between the minimum monthly discharges ( $Q_{min}$ ) and the average monthly discharges ( $Q_{avm}$ ) during the period 1976–2019 at the three stations. The lowest values of the ratios (0.3–0.4) can be noticed in the winter and autumn months (Fig. 23).

## 8 Conclusion and Recommendation

This chapter provides an up-to-date overview of the spatial and temporal variability of the Lower Danube River flow, over a length of almost 1000 km, from the entry in Romania (at Bazias) to the Danube Delta (at Ceatal Izmail, named Ceatal Chilia in Romania). The analyzes are based on the processing of the discharge time-series from several gauging stations located on the Romanian side of the Danube River (belonging to the national hydrometric network). The results highlight the temporal and spatial variations of the average, maximum and minimum discharges (annual

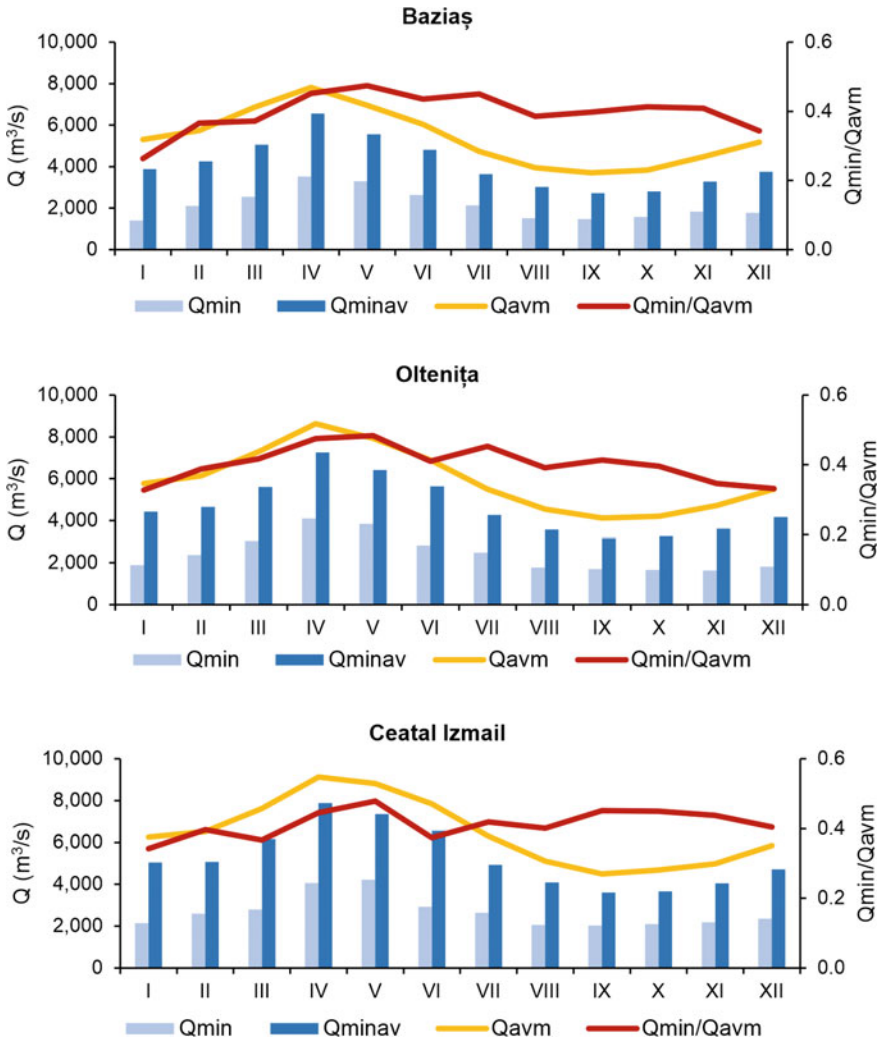


**Fig. 22** Box plots of the minimum monthly discharges of the Danube River at Baziș, Oltenița and Ceatal Izmail hydrometric stations (1976–2019) (X sign is for the average value)

and monthly) for different time-periods, ranging from 44 years (1976–2019), to more than 170 years (1840–2012).

Along its lower sector, the Danube River average flow increases by about 1,000  $\text{m}^3/\text{s}$ , from 5,551  $\text{m}^3/\text{s}$ , at Baziș to 6,516  $\text{m}^3/\text{s}$ , at Ceatal Izmail (during the period 1840–2012). This is due to the contribution of tributaries draining the riparian countries (Romania, Serbia, Bulgaria, Republic of Moldova Ukraine), of which the most important (in term of discharges and catchment area) are the rivers Siret, Olt, Prut and Jiu. Between 1931 and 2019, the maximum discharges of the Danube River reached the highest values during the historical flood of spring 2006. They ranged between 15,800  $\text{m}^3/\text{s}$  at Baziș and 16,300  $\text{m}^3/\text{s}$  at Giurgiu. At Ceatal Izmail, the flood-peak was lower than at the upstream stations, because of the flow attenuation by flooding the large floodplain. During the very dry years of the period 1931–2019, the Danube minimum discharges decreased below 2,000  $\text{m}^3/\text{s}$ , namely up to 1,040  $\text{m}^3/\text{s}$  at Baziș (in 1949) and 1,790  $\text{m}^3/\text{s}$  at Ceatal Izmail (in 1947).

The intra-annual flow, as shown by the variations of the monthly discharges, is mainly determined by the climate variability within the Danube basin and anthropogenic water uses (the most important in the lower sector are the hydroelectric and navigation systems Iron Gates I and II). In the specific climatic conditions of the Danube basin (especially in the middle and lower sector), the flow regime of the



**Fig. 23** Variation of the minimum monthly discharges ( $Q_{min}$ ), of their average values ( $Q_{minav}$ ) and of the ratio between the minimum monthly discharges ( $Q_{min}$ ) and the average monthly discharges ( $Q_{avm}$ ) along the Lower Danube River, at Baziaş, Oltenița and Ceatal Izmail (1976–2019)

LDR at the analyzed gauging stations exhibits high waters (10–12% from the total annual average water volume, in each month) in spring and early summer (March–June, with the maximum in April). The low waters are specific for the late summer–autumn period (August–November) with monthly rates of 6–7% of the total annual average water volume, and minimum values (6%) in September–October. Therefore, the Danube River has the richest flow during the spring (33% from the total annual

average water volume), while it decreases up to 18–19% in autumn. In summer and winter, the flow rates are quite similar (23–25%). The hydroelectric and navigation systems Iron Gates I and II do not appear to significantly influence the Danube River annual flow, but mostly the sediment flux. Some possible influences could be noticed in the intra-annual flow variation. Thus, the multiannual averages monthly discharges of the Danube River at Ceatal Izmail, in the period prior to commissioning the Iron Gates I and II hydropower systems, compared to those in the period after the systems became functional (i.e., 1931–1986 versus 1987–2019), showed in the second period average discharges lower by  $-5 \dots -15\%$  in May–September and higher, up to 2–3%, in January, April and October–November. These alterations may also be caused by climate change, but it is difficult to assess separately the role of each anthropogenic and climatic factor.

In general, between 1931 and 2019, no significant linear trends in the annual flow variation (average, maximum and minimum) were identified at the analyzed gauging stations. However, at Ceatal Izmail h.s., an upward trend (at  $\alpha = 0.05$  level of significance) was found in maximum annual discharges variation. A similar positive trend was also found at Ceatal Izmail h.s. in minimum annual discharges variation, at  $\alpha = 0.1$  level of significance.

Due to the major societal and environmental importance of the Danube's flow variability, the studies on the hydrological features are of high interest both scientifically and practically. Such studies provide valuable information for the management of water resources and hydrological hazards. In the context of the environmental changes (especially climatic) affecting the Danube River Basin, rigorous investigations are recommended to detect their impacts on flow variation, at different spatial and temporal scales. Therefore, the analysis of updated long-time data series of recorded discharges allows the detection of the current trends in the flow variability, while the projections based on different climate change scenarios allow the assessment of the flow variability in the future. Information on observed and future possible changes in flow variability is essential for designing and implementing adaptation strategies in the water field. Because in the DRB, the climate changes are considered a major threat that is likely to cause significant impacts on water resources [24], the ICPDR adopted the Strategy on Adaptation to Climate Change in December 2012 (updated and revised in 2018). It provides an outline of the climate change scenarios for the DRB and the expected water-related major impacts, as well as the guiding principles on adaptation and integration into ICPDR activities [76, 77]. Because in the Middle and Lower Danube River basins the information on climate change impacts is relatively sparse, it is recommended to fill in these knowledge gaps through approaches covering all relevant hydrological parameters [76]. In this context, we intend to further investigate the Lower Danube River's flow variability to detect possible changes on a finer time scale (e.g. monthly) and in the frequency of extreme phenomena.

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# Dynamics of Islands and Danube River Channel Along Vedeia-Călărași Sector (1856–2019): Hydrogeomorphological Approach



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**Abstract** The objective of this chapter is to analyze the Vedeia-Călărași reach (about 135 km long) of the Lower Danube River, along the border between Romania and Bulgaria, from a hydrogeomorphological perspective. The research question is: what has been the dynamics of the islands in the last century and a half, since the end of the Little Ice Age, after the numerous engineering works that the Danube River has suffered? For this purpose, we used a variety of historical cartographic documents and recent satellite imagery that were georeferenced and overlapped. Further, the contours of the islands were digitized. We found that the Lower Danube River preserved its islands on approximately one third of the total area of its channel both in 1856 and 2019. The total area of the islands covered by vegetation has increased by almost 29.4% (11.1 km<sup>2</sup>), while the area devoid of vegetation, including the sandbars, has decreased by 51% (5.1 km<sup>2</sup>) in comparison with the situation in 1856. This finding indicates either a less important morphogenic character of present-day floods or the

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fact that floods have been less frequent than in 1856 and before. A more detailed analysis of a 10 km-long river reach (Albina-Paraschiva-Slaviavin-Garvan islands) showed that between 1856 and 1953 the Danube River channel and its islands suffered numerous alterations (i.e. the islands either joined together or merged with the left bank and consequently underwent variations in area). Later on, between 1953 and 2019, the hydrosystem became relatively stable. By surveying the Ciocănești-Devnya islands, we found that their areas remained relatively stable (covered by vegetation) pre- versus post- historic flood of April 2006. In perspective, the use of more indicators and recent satellite imagery at good temporal and spatial resolution could help us to better understand the evolution of the islands situated along the Lower Danube River.

**Keywords** Fluvial islands · Diachronic analysis · Historical maps · Satellite imagery · Floods

## 1 Introduction

The concept of hydrogeomorphology has been defined in the early 1970s [1]. It was later used especially by the French school of geomorphology to name a methodology for delimiting the flood plain [2–5]. The concept of hydrogeomorphology refers to the relation between the water loaded or not with sediment and the fluvial forms and processes, exacerbated during floods [6]. To point out the transfer of surface water and materials through landscapes, the concept of hydrogeomorphic connectivity emerged. It is successfully applied in the field of catchment hydrology and fluvial geomorphology, but also in other fields, such as social sciences [7].

Fluvial islands are emerged landforms of river channels which generally result from sediment accumulation and are continuously adjusted by the water flow through fluvial processes of erosion/accumulation; they may be covered by vegetation and may persist in the same position for a long time [6]. A fluvial island is defined as a land mass within a river channel that is separated from the floodplain by water on all sides, exhibits some stability [8], and remains exposed during bankfull flow (whereas a bar may be submerged) [9]. Islands are the result of the interplay between flows, sediments and woody vegetation [10]. Vegetation is generally a good indicator of stability [11]. Fluvial islands are important in both hydrologic and biotic capacities, and can therefore be indicators of the general health and energy of the hydrosystem [12]. Islands area may vary depending on the water level especially during floods as it was previously demonstrated [13, 14].

The large rivers of Europe lost their islands over the period of major human interference [10]. The Rhone in France, the Rhine in Germany, the Waal in Netherlands, the Danube in Austria, and so on, are examples of European rivers characterized before 1900 by multiple channel reaches with wooded islands [10]. Along the Danube River in Austria, during the last two centuries, besides other adjustments, the (gravel or sand) bars and vegetated islands decreased by 94 and 97%, respectively [15].

According to the same authors, embankment and channelization altered these rivers during the eighteenth and nineteenth centuries; additionally, lock-and-dam systems were introduced to improve the navigation along the large rivers. These changes of anthropic origin are set against the background of the Little Ice Age that corresponds to baseline period of cartographic and documentary records [10].

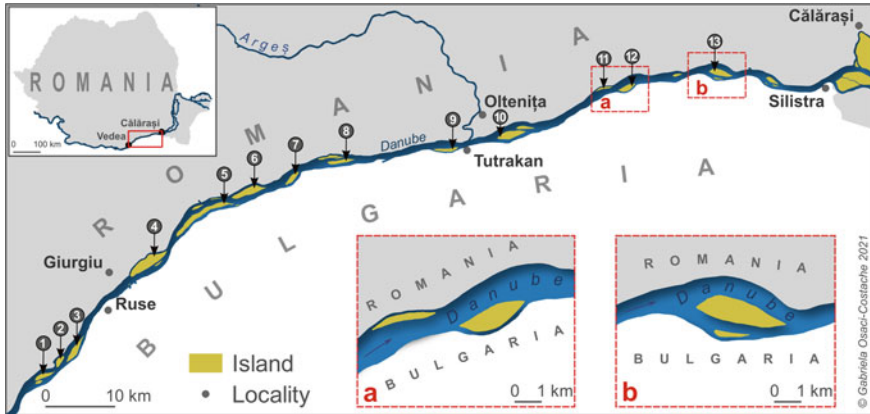
The Lower Danube River also suffered changes due to the large-scale embankments, mostly accomplished at the middle of the twentieth century, as well as to the construction of the dams Iron Gates I (1964–1972) and Iron Gates II (1977–1984) [16]. Additionally, the floodplain and the islands were afforested with Euro-American poplar trees [17]. A synthesis of the historical human pressures on the Lower Danube River and its floodplain is presented by Strat et al. (Chapter “[Anthropogenic Changes and Biodiversity Protection and Conservation Along the Lower Danube River Valley](#)” in this book). Concerning the dynamics of the islands, Constantinescu et al. [18] established that their number, density and area generally decreased during the last century. Other authors [19–24] showed that the major islands reacted differently (increased or decreased) to the changes of the last century and a half. Marin and Armaş [25] and later Marin [26] argued that the islands generally became more elongated and migrated downstream. Yet, the occurrence of fluvial islands, their morphometry, and their response to human impact vary considerably along the Danube, as the river crosses various physiographic and geomorphologic units. Therefore, the study of various reaches of the Lower Danube River can contribute to the better understanding of the river’s behavior. Goda [27] concluded that systematic measurements should be carried out on selected island groups of the Lower Danube and their related fords in order to improve the knowledge about these special processes of river morphology.

The objective of this chapter is to analyze the Vedeia-Călăraşi reach (about 135 km long) of the Lower Danube River, along the border between Romania and Bulgaria (Fig. 1), where the islands belong to one of the two countries. More precisely, we aimed to answer to the question: what has been the dynamics of the islands in the last century and a half, since the end of the Little Ice Age, taking into account the numerous engineering works that the Danube River has suffered? The results of our investigation provide new information that updates and complements the previous ones on the hydrogeomorphology of the Lower Danube River during the last century and a half.

To simplify things, in this study we use the term “island” both for the fluvial islands covered by vegetation and for the barren sandbars. The vegetation criterion [28–31] is mentioned on every occasion when it is important to understand thoroughly the river processes.

## 2 Study Area

The reach studied in this chapter extends over 135 km in length (12.5% of Lower Danube’s length), between the Vedeia commune and Călăraşi city located both on the Romanian side of the Danube River (Fig. 1).



**Fig. 1** Location of the study area—Vedea-Călărași reach along the Lower Danube River: **a** case study Albina-Paraschiva-Slaviavin-Garvan islands; **b** case study Ciocânești-Devnya islands (the number and names of the islands are listed in Table 3)

On this section the average multiannual discharge of the Danube River is around 6,000 – 6,100 m<sup>3</sup>/s, but the extreme values of the streamflow varied widely between approximately 1,010 m<sup>3</sup>/s (at Zimnicea located about 50 km upstream this section) and 17,303 m<sup>3</sup>/s, at Oltenița and Chiciu-Călărași (Table 1) [32]. The highest discharges were reached during the historical flood of April 2006, while the lowest flows rates were recorded in January 1858 (at Zimnicea, Giurgiu and Oltenița) and in December 2020 at Chiciu-Călărași [32]. The hydrological regime in the study area is characterized by high waters in April–May and low waters in September–October [33]. The multiannual average of suspended sediment load increase between the extremities of the study reach from 1,102 kg/s (at Zimnicea) to 1,379 kg/s (at Chiciu-Călărași), mainly as result of the tributaries inputs, of which the most important are Argeș River (in Romania), and Yantra River (in Bulgaria), which annually

**Table 1** Multiannual values of liquid and solid flow of the Danube River in the studied reach (1840–2012)

Gauging station	Q <sub>av</sub> (m <sup>3</sup> /s)	Q <sub>max/year</sub> (m <sup>3</sup> /s)	Q <sub>min/year</sub> (m <sup>3</sup> /s)	R <sub>av</sub> (kg/s)	R <sub>max</sub> (kg/s)	R <sub>min</sub> (kg/s)	R <sub>gav</sub> (kg/s)
Zimnicea	5,991	16,919/2006	1,010/1858	1,102	2,631	150	11.7
Giurgiu	6,011	17,000/2006	1,030/1858	1,211	2,989	141	11.9
Oltenița	6,077	17,303/2006	1,060/1858	1,291	2,962	170	11.9
Chiciu-Călărași	6,107	17,303/2006	1,041/1920	1,379	3,624	172	11.0

Q<sub>av</sub> = multiannual average discharge; Q<sub>max</sub> = multiannual maximum discharge; Q<sub>min</sub> = multiannual minimum discharge; R<sub>av</sub> = multiannual average of suspended sediment load; R<sub>max</sub> = multiannual maximum of suspended sediment load; R<sub>min</sub> = multiannual minimum of suspended sediment load; R<sub>gav</sub> = multiannual average bedload (dislodged coarse sediment). Source of data: [32]

bring to the Danube average amounts of suspended sediments of respectively 56 and 34 kg/s [34]. As shown in Table 1 the suspended sediment load of the Danube River varied from less than 150 kg/s to more than 3,600 kg/s.

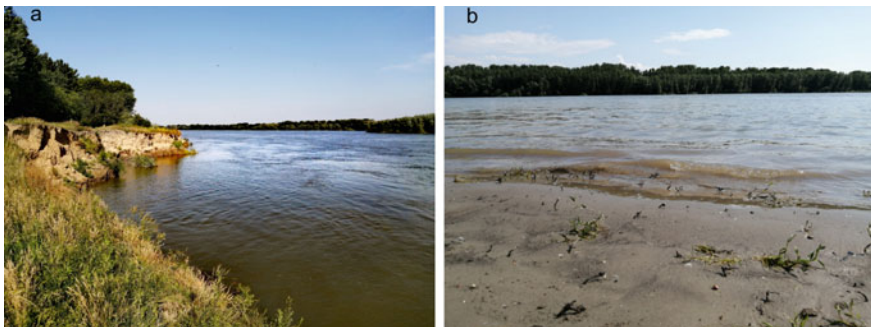
The Danube sediment is carried almost exclusively in suspension. The bedload is very low, of about 11–12 kg/s as multiannual average [32]. After its channel was dammed and embanked, the Lower Danube River experienced a lower flow [35] and a dramatic decline of sediment load [21]. Thus, the average suspended sediment load at Giurgiu gauging station decreased from 1,450 kg/s during the period 1931–1964 to around 400 kg/s during 1985–2010 [36].

The hydrological features of the Lower Danube River are explained in detail by Zaharia et al. (Chapter “[Flow Variability of the Lower Danube River: An Up-to-Date Overview](#)” in this book).

Along the Lower Danube River, the Vedeia-Călărași reach appears as a valley with particularly active geomorphologic processes with a floodplain locally controlled by alluvial fans at the junctions with the tributaries [18]. Generally, between the Romanian Plain (in the North of the Danube River) and the Pre-Balcanic plateau (in the South), the reach has asymmetric features. The left (Romanian) bank is approximately 11–20 m high and has a low gradient, while the right (Bulgarian) bank is higher (up to 118 m), generally having a steeper gradient.

The river has several reaches separated by islands having a low local relief [37]. The sinuosity is also mirrored by the asymmetric cross-section of the channel [20]. Along the studied reach, the river channel may be more than 2 km wide. Despite reforestation, the banks with low geological resistance are prone to lateral erosion [18]. Bank erosion (Fig. 2a) or bank collapse in some cases probably represent important sources of sediment for island formation. Likewise, local materials contribute to the sediment load [34, 38]. As a consequence, the river channel suffers intense aggradation and new fords (Fig. 2b), sandbars, islands and secondary branches are continuously created [34].

Present-day islands are made up mostly of sandy deposits. They have an absolute altitude of 10–20 m and a relative altitude of 4–5 m, with rather steep banks. The



**Fig. 2** Lower Danube banks (**a**—erosion, **b**—accumulation) and forested islands along the Romanian side between Giurgiu and Oltenița

fieldwork allowed us to observe that the banks were affected by erosion. According to Vladev et al. [39] and Grecu [6], only a small part of the largest islands experienced recent accumulation. The intensity and location of these processes are probably influenced by dredging and navigation. Most of the islands have an axial location, longitudinal on the flow direction, and depend on the thalweg's dynamics. Some other islands have a lateral position, elongated and parallel to the bank. Further analysis is necessary to establish the genesis of the islands (i.e. vertical accretion, lateral accretion, avulsion).

According to the models of Schumm and Meyer [40] and Castro and Thorne [41], due to sinuosity, significant amounts of suspended load, lack of geological resistance, and low slope, we expect to find a relatively low-energy river with a stable bed in the case of the Lower Danube River in opposition with the Upper Danube features. Moreover, Habersack et al. [38] confirmed less channel adjustments along the Lower Danube in comparison with its Upper and Middle reaches.

The islands are covered by forest (Fig. 2) and they represent protected areas within Natura 2000 network for habitats and species. Yet, in the last decades, invasive plant species have rapidly settled on the islands [17].

The floodplain is larger on the Romanian bank, where it reaches 7 km upstream of Oltenița city. The study reach was partially embanked in 1906–1908 [42], and it became totally embanked during 1950–1965 [43], which explains the poor connection with the floodplain. The floodplain is bordered by fluvial terraces, whose number is lower when compared to the upstream sector. The T3–T1 terrace systems are preserved on the left side of the river, where their sequence is complete [44]. The lowest terrace is continuous, which is a proof of the unitary formation of the Danube at the level of the lowest terrace, a situation that has influenced the genesis and age of the islands.

### 3 Historical Evolution of the Fluvial Islands

As stated in the Introduction, this chapter focuses on the dynamics of the Danube islands between Vedeia and Călărași localities during the last century and a half. The study relies on the available large-scale cartographic documents and satellite imagery that cover the period 1856–2019: *Fligely Map* (1856), topographic maps (1898—*Planul director de tragere*, 1953, 1979, 1987), and Google satellite images (2004–2021) (Table 2). Firstly, we shall provide an overview of the islands for the entire Vedeia–Călărași reach since the middle of the nineteenth century. Then, we shall focus on a case study, in order to detail the evolution of the islands during the studied period.

The cartographic documents were georeferenced in QGIS 3.16 software based on ground control points (GCP) by using the Helmert transformation. A supplementary local transformation (i.e. Thin Plate Spline-TPS) was necessary for the period 1856–1898 as indicated by previous studies [45–48]. We employed the coordinate systems Pulkovo 1942(58)/Stereo70, and EPSG 3844. On some maps, on the Bulgarian bank,

**Table 2** Cartographic sources used in this chapter

Document	Scale/resolution	Land surveys	Data source
The Second Military Survey (“ <i>Fligely Map</i> ”)	1: 28,800	1856	<a href="https://maps.arcanum.com/">https://maps.arcanum.com/</a>
Topographic plan in the Lambert-Cholesky projection (“ <i>Planul director de tragere</i> ”)	1: 20,000	1898	<a href="http://igrek.amzp.pl/map/index.php?cat=ROMLCH020K">http://igrek.amzp.pl/map/index.php?cat=ROMLCH020K</a>
Topographic map in the Bonne projection	1:50,000	1900	<a href="http://igrek.amzp.pl/map/list.php?cat=ROMBONNE050K">http://igrek.amzp.pl/map/list.php?cat=ROMBONNE050K</a>
Topographic map in the Gauss-Krüger projection	1: 25,000	1953	Agency for Geospatial Information of Defense “General de divizie Constantin Barozzi”
Topographic map in the Gauss-Krüger projection	1: 25,000	1979	University of Bucharest, Faculty of Geography
Topographic map in the Gauss-Krüger projection (“The Soviet Map”)	1: 50,000	1987	<a href="http://web.uni-plovdiv.bg/vedrin/index_en.html">http://web.uni-plovdiv.bg/vedrin/index_en.html</a>
Google satellite images	5 m	2004, 2012, 2014, 2016, 2018–2021	Aerial images © DigitalGlobe, © Google Earth, © CNES/Airbus; data images: April 6, 2004, October 31, 2012, April 26, 2014, December 7, 2016, October 23, 2018, October 14, 2019, June 29, 2020, July 12, 2021

it was difficult to find numerous GCPs. The mean errors due to georeferencing (estimated based on the global Helmert transformation) were relatively low (51.00 m on the *Fligely Map* of 1856; 17.79 m on the *Planul director de tragere* of 1898; 14.42 m on the map of 1900, scale 1:50,000; 5.09 m on the topographic map of 1953).

The Google satellite scenes for the period 2004–2021 were employed directly in Google Earth Pro to digitize the Romanian Danube bank position. The other analyses were conducted in QGIS 3.16 by importing .kml files from Google Earth Pro. The satellite images of 2019 were used in QGIS 3.16 in the same way we did with the historical maps, except for georeferencing that was not necessary (uploaded by QuickMapServices plugin).

A number of elements were manually digitized (islands, emerged bars, channel). Finally, toponymy and vegetation elements were extracted, conversion was made



[49] and the morphometric analysis was conducted. We calculated the area covered by water and islands, as well as of parts with or without vegetation. We measured the width of the Danube River channel on cross section profiles at every 20 m. We also estimated the length of Danube channel separating islands and then computed the braiding index as ratio between the length of the main branch and the sum of lengths of all branches.

### 3.1 General Overview of the Investigated Reach

In order to give a general overview of the island dynamics in the study reach, the analysis covers the entire time interval 1856–2019. More precisely, we compared the Second Military Survey (*Fligely Map*) with Google satellite imagery. The Google satellite images along the study section date back to 2018–2021 and cover various phases of the hydrological regime of the Danube River, which diminishes the accuracy of the interpretation. To simplify things, we name only the year 2019 instead of the entire period 2018–2021. When the islands with vegetation are concerned (mostly forest nowadays), the variation of their areas between high- and low-waters is insignificant. On the contrary, the only large bars devoid of vegetation correspond to the images taken during the low-water phase of the hydrological regime (October 23, 2018 and October 14, 2019), which is why their areas might be overestimated in our analysis.

The *Fligely Map* of 1856 shows a change in the Danube River style when compared to present-day conditions. In addition to the main channel, the river formed numerous smaller channels in the floodplain. At present, the Danube floodplain along this reach has become dry land, due to a number of human interventions, such as embankments. Our study is focused only on the islands lying along the main channel of the Danube River.

We counted 51 islands in 1856 and 49 islands in 2019, but the number can vary depending on the phase of the hydrological regime, especially in the case of barren sandbars. In 1856, the total area of the islands amounted to 47.7 km<sup>2</sup> of which 37.7 km<sup>2</sup> (79%) covered by vegetation and 10 km<sup>2</sup> (21%) devoid of vegetation (Table 3). In 2019, the total area of the islands was about 53.7 km<sup>2</sup> of which 48.8 km<sup>2</sup> (90.9%) covered by vegetation and 4.9 km<sup>2</sup> (9.1%) without vegetation (Table 3). Therefore, the total area increased by 6 km<sup>2</sup> (12.5%), while the area covered by vegetation increased by 11.1 km<sup>2</sup> (29.4%). As far as the barren sandbars are concerned, their area decreased by 5.1 km<sup>2</sup> (51%). In 1856, the area of the islands accounted for 32.5% of the entire river channel, while in 2019 it represented 27.6%.

The area variations differ from island to island. Table 3 shows the largest islands of the Vedeia-Călărași reach. Most of them experienced an increase of the area. For instance, the largest increase was recorded by the Mokan Island, from 1.4 km<sup>2</sup> in 1856 to 6.5 km<sup>2</sup> in 2019. Aleko Island increased from 2.4 to 5.3 km<sup>2</sup> during the investigated period, Kosui from 0.5 to 3.1 km<sup>2</sup>, and Ciocănești from 0.3 to 2.4 km<sup>2</sup>. Islands such as Beker recorded a decrease from 3.3 to 1.5 km<sup>2</sup> during the study period. We conclude

**Table 3** Dynamics of the island areas and their land cover between 1856 and 2019

Number in Fig. 1	Year for the name of island <sup>a</sup>	Island	1856		2019	
			Area (km <sup>2</sup> )	Land use (%)	Area (km <sup>2</sup> )	Land use (%)
1	1856	Dinului	1.8	g—34.5 s—65.5	2.5	f—86.5 s—13.5
2	1856	Kamedin	2.5	g—48.1 s—51.9	1.0	f—95.8 s—4.2
3	1856	Gole	2.0	g—0.1 s—99.9	2.1	f—100
	1979	Liuleak				
4	1856	Mokan	1.4	f—79 s—21	6.5	f—100
	1979	Mocanu				
5	1856	Mik	2.4	f—87.5 s—12.5	5.3	f—100
	1979	Aleko				
6	1856	Lunga	3.0	f—90.1 s—9.9	2.9	f—100
	1979	Lung				
7	1979	Saceanlak	0.2	f—100	1.2	f—100
8	1856	Beker	3.3	f—88.3 s—11.7	1.5	f—100
	1979	Goliam Braşlen				
9	1979	Radeşti	–	–	1.2	f—100
10	1979	Kosui	0.5	f—100	3.1	f—100
11	1979	Spanţov (rest of Albina)	–	–	0.4	f—100
12	1856	Garvan	1.1	f—100	1.3	f—100
	1979	Cibalaka Gargalaka				
13	1979	Ciocăneşti	0.3	f—100	2.4	f—100
Total (including other smaller islands)	–	–	37.7	f, g	48.8	f, g
			10.0	S	4.9	S
			47.7	All	53.7	All

f = forest, g = grassland, s = bare sediment

<sup>a</sup>According to documents in Table 2

that the most significant increases occurred especially around the oldest islands, which is probably a pattern of island evolution along the Vedea-Călăraşi reach.

At the same time, the land use suffered a number of changes. Bare sediment areas were larger in 1856 than in 2019 on several islands, as shown in Table 3. In 1856, some of them were covered by grasslands (e.g. Dinului, Kamedin, Gole). At present, the forest has become dominant on all the investigated islands.

Based on these facts, we can draw the conclusion that the Lower Danube River was a less dynamic hydrosystem in 2019 than in 1856. At the end of the Little Ice Age, in the nineteenth century, the higher than usual winter temperatures and the spring precipitations probably caused the high incidence of floods [50]. Floods twice the mean annual discharge occurred on the Lower Danube in June 1839, May 1845, and May 1853 [43]. The flood of 1845 is the historic flood at Călărăși, on the Danube [32]. This finding indicates either a less important morphogenic character of present-day floods or the occurrence of less frequent floods when compared to the situation of 1856. This may also suggest that sediment load was higher a century ago. Yet, this evolution of the islands is not entirely natural—afforestation and other engineering works [51] may complicate the understanding of the driving factors in this situation.

Additionally these quantitative results may be influenced by the scale/resolution of the used documents. We certainly detected more emerged areas in 2019 than in 1856. For further studies, it is advisable to set a threshold of the minimum area that can be seen on the *Fligely Map* to be also used on Google satellite imagery.

### 3.2 Case Study of Albina-Paraschiva-Slaviavin-Garvan Islands

To better understand the dynamics of the island areas and find other patterns of evolution, we focused on the Danube reach between the communes of Chiselet (Romania) and Popina (Bulgaria). The reach (about 10 km long) was delimited based on the maximum extension of the islands (or their „print” in the case of the islands that disappeared or changed their position and morphometric features, as shown in [47]). Upstream, the limit passes west of Spanțov Island according to its form in 1953, while downstream it is situated east of Paraschiva Island according to its form in 1898 (including the surrounding channel, in order to compute the braiding index).

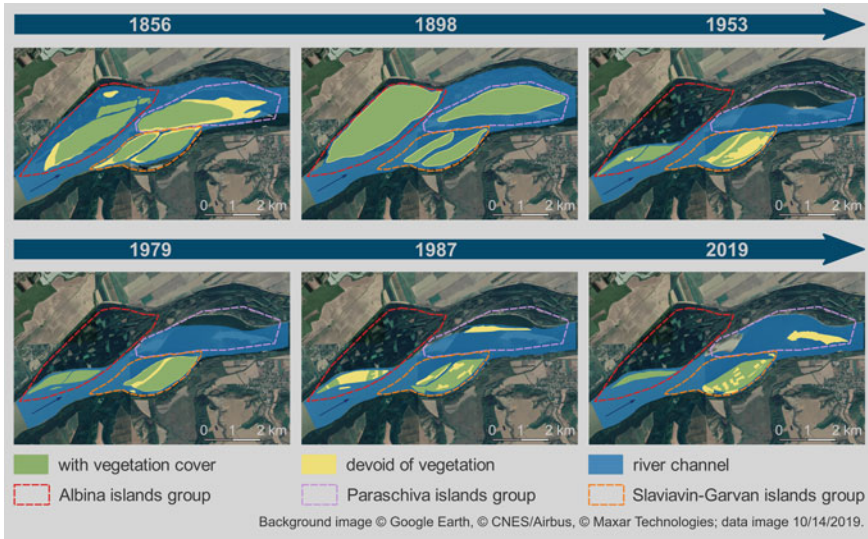
Along this reach, the left bank has lower altitudes (13–15.6 m) than the right bank (generally 15–20 m, but sometimes even up to 118.7 m). The entire left bank is protected by a levee, while the right one is embanked, but only where it is very low.

In 1856, there were four big islands (Albina, Paraschiva, Cibukluk and Garvana) and five small ones (located in the north-eastern part of Albina Island). Based on their diachronic dynamics, the islands can be grouped in three clusters: the Albina islands group (Albina and other small islands located in its surroundings), the Paraschiva islands group and the Slaviavin-Garvan islands group (Slaviavin, Garvan and other small islands) (Table 4 and Fig. 3). These islands mostly disappeared and only small parts of them can still be seen. The Danube River also created small sandbars. No island was formed by avulsion.

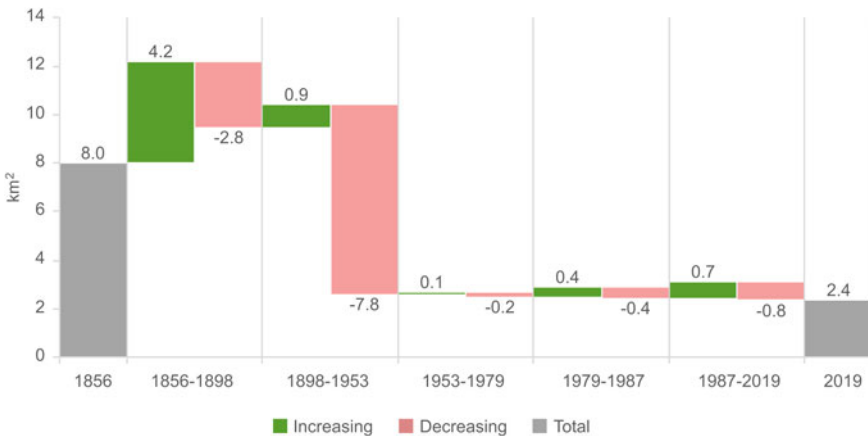
The total area of the islands decreased from 8 km<sup>2</sup> in 1856 to 2.4 km<sup>2</sup> in 2019, that is by 70.3% in 164 years (Fig. 4). All the three groups of islands lost a part of their area (Fig. 5). Albina and Paraschiva islands disappeared, but Garvan continued to exist. The decrease concerned both the vegetated area and the bars. Yet the proportion

**Table 4** Spatial dynamics of Albina-Paraschiva-Slaviavin-Garvan islands (the toponyms were extracted from the cartographic documents listed in Table 2)

Group	Component islands of groups						1987	2019
	1856	1898	1953	1979	1987	2019		
Albina islands group	Albina	Albina	Disappeared	Disappeared	Disappeared	Disappeared	Disappeared	Disappeared
	Five small islands merging with Albina in 1898	-	No name—west (rest of Albina)	No name—west (rest of Albina)	No name—est (rest of Albina)	Spanțov (rest of Albina)	Spanțov (rest of Albina)	Spanțov (rest of Albina)
			No name—est (rest of Albina)	No name—est (rest of Albina)				
-	-	-	-	Small bank, no name	Small bank, no name, south of Spanțov	Small bank, no name, south of Spanțov	disappeared	
Paraschiva islands group	Paraskiva	Paraschiva	Disappeared	Disappeared	Disappeared	Sand bar	Sand bar	
Slaviavin-Garvan islands group	Ciubluk	Ciubcluc	Slaveavin	Cibaklaka-Gargalaka	Slaviavin	Slaviavin	Garvan	
	Garvana	Gargălăc	Garvan		Garvan			
	-	-	-	Small bar upstream of Garvan				
-	-	Small bar upstream of Ciubcluc	Disappeared	Disappeared	Disappeared	Disappeared	Disappeared	
-	-	-	-	-	-	-	Small bar upstream of Garvan	
Total number of islands	9	5	3	5	5	5	4	



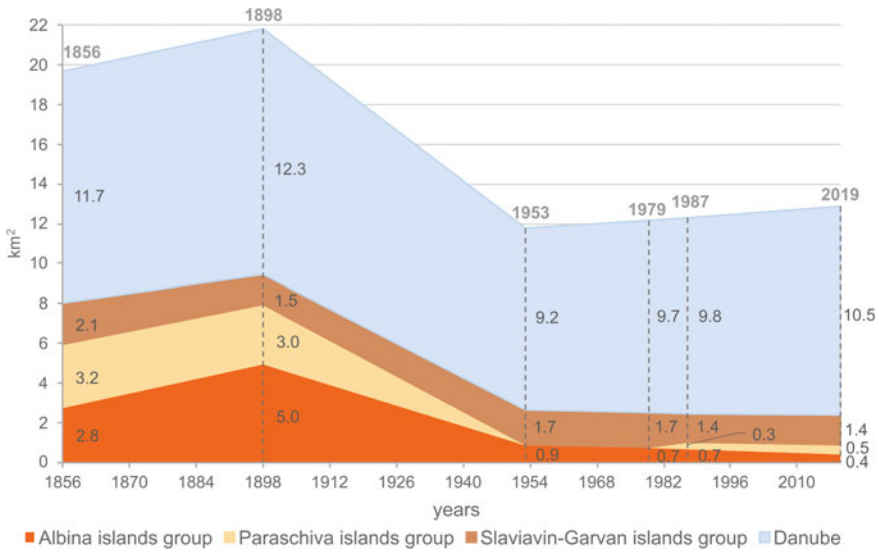
**Fig. 3** Albina-Paraschiva-Slaviavin-Garvan case study—river channel and island dynamics (1856–2019)



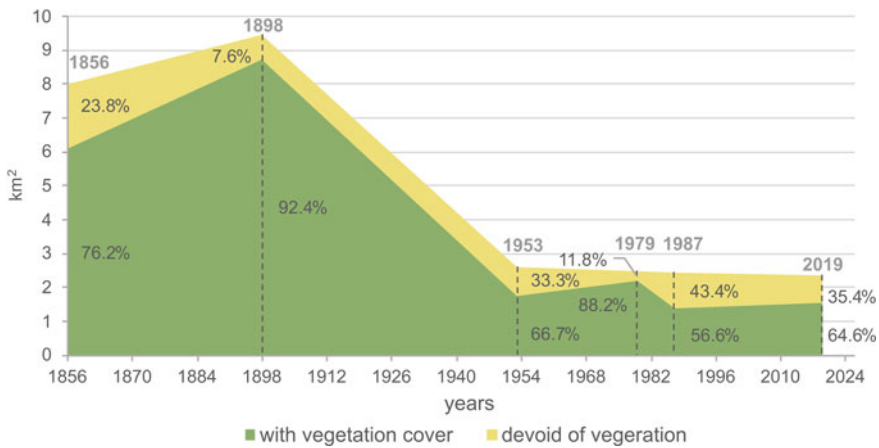
**Fig. 4** Albina-Paraschiva-Slaviavin-Garvan case study—variations of the total area of the islands (1856–2019)

of vegetation versus bars generally remained the same (Fig. 6). Figures 4, 5 and 6 show the dynamics of the island area per period.

Between 1856 and 1898, the total area of the islands increased by 18.4%, from 8 to 9.5 km<sup>2</sup>. The highest increase concerned the Albina Island, which extended towards the left bank and included the neighboring small islands, thus growing from



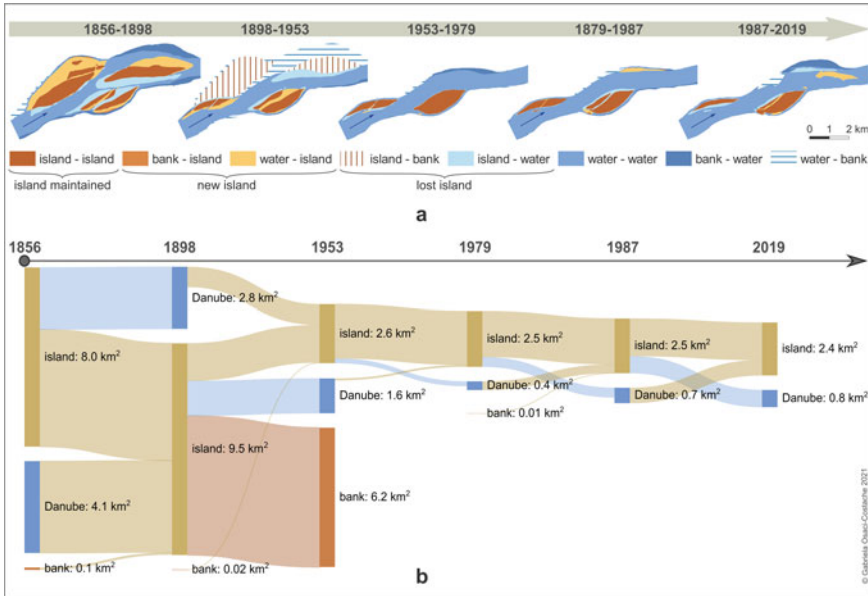
**Fig. 5** Albina-Paraschiva-Slaviavin-Garvan case study—variations of islands area per group of islands (1856–2019)



**Fig. 6** Albina-Paraschiva-Slaviavin-Garvan case study—variations of the land cover of the islands: vegetation versus bare sediment (1856–2019)

2.2 km<sup>2</sup> in 1856 to almost 5 km<sup>2</sup> in 1898. During this time interval, the islands grew in size to the detriment of the water (Fig. 7).

During the next 55 years (1898–1953), the total area of the islands decreased by 72.4% (or 1.3% per year), reaching 2.6 km<sup>2</sup> in 1953. The decrease was mostly due to the merging of the Albina and Paraschiva islands group with the left bank. This kind



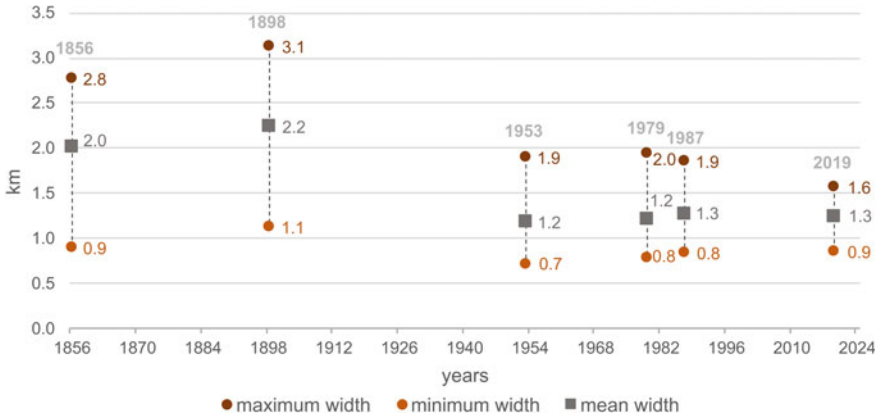
**Fig. 7** Albina-Paraschiva-Slaviavin-Garvan case study— islands dynamics by conversion per phase (binary approach): **a** schemes of conversions (1856–2019); **b** areas in km<sup>2</sup>

of evolution may represent another pattern of island dynamics along the investigated reach of the Danube River.

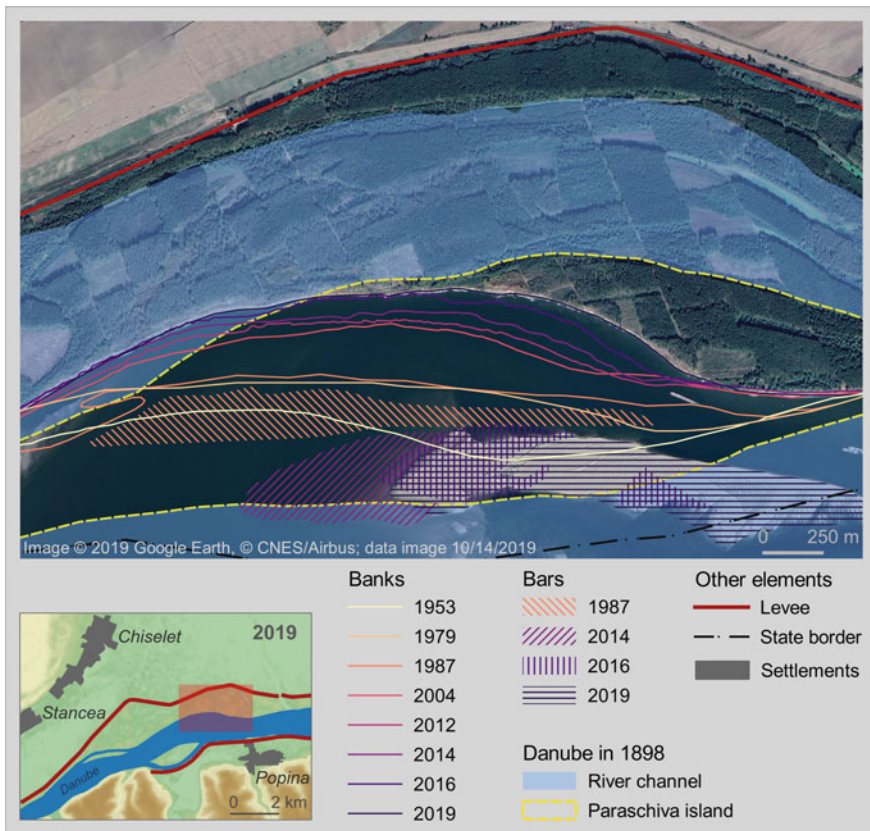
The decrease continued at a low rate between 1953 and 1979 (from 2.6 to 2.4 km<sup>2</sup>), then kept steady until 1987 and decreased further until 2019 (down to 2.3 km<sup>2</sup>). Since the mid of the twentieth century, all conversions (water-islands, islands-water, water-bank, bank-water) were less important than during the previous one hundred years.

The width of the Danube River recorded a similar dynamics (Fig. 8): an increase between 1856 and 1898 (on average from 2 to 2.2 km), followed by a decrease (on average from 1.2 to 1.3 km) due mostly to the merging of the islands with the left bank, a process that continued until 2019. As far as the area is concerned (Fig. 5), the period 1856–1898 was dominated by erosion, accumulation was active between 1898 and 1953, while between 1953 and 2019 the erosion intensified again. A demonstrative example is shown in Fig. 9. The left bank of the Danube is affected by erosion and it retreated about 0.7 km between 1953 and 2019; the eroded part corresponds to the ancient Paraschiva Island.

The braiding index decreased from 3.2 in 1856 to 2.2 in 2019 (Fig. 9). The decrease was quasi-continuous with the exception of a small increase in 1987. This evolution generally corresponds to the number of islands which were in existence during the study period: 9 in 1856 and 4 in 2019.

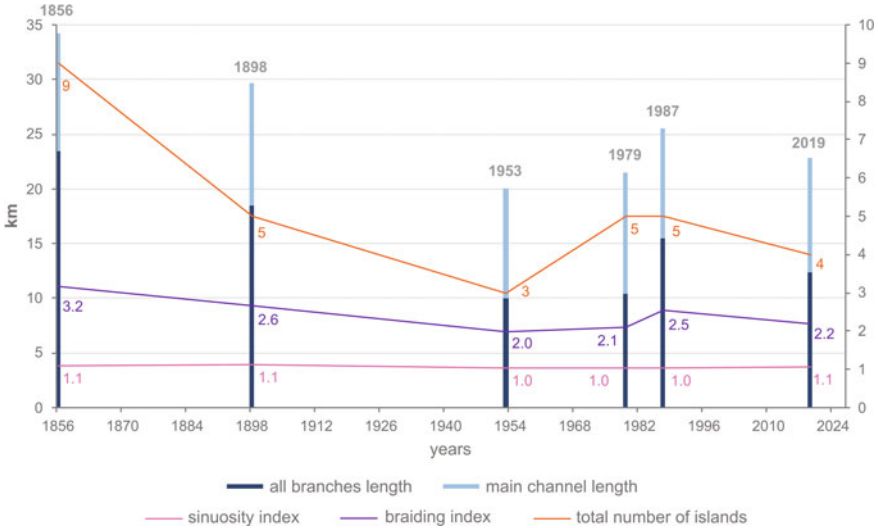


**Fig. 8** Albina-Paraschiva-Slaviavin-Garvan case study—variations of the Danube main channel width (1856–2019) based on cross section profiles every 20 m



**Fig. 9** Left bank erosion corresponding to the ancient Paraschiva Island (1953–2019)





**Fig. 10** Albina-Paraschiva-Slaviavin-Garvan case study—variations of the Danube River braiding index (1856–2019)

At this time scale, it is difficult to retrace the driving forces responsible for this evolution of the Danube River channel and its islands, yet a few hypotheses can be proposed:

- 1856–1898. The number of islands decreased, their area expanded while the channel width shrank. The islands probably merged due to the available sediment load from bank erosion. This could be due to the heavy floods of 1895 and 1897 [30], but also to the significant floods of March 1871, April and July 1876, January–February and May 1879, and April 1888 [43]. The flood of July 1897 was the historic flood downstream, recorded just before the river enters the delta [32].
- 1898–1953. Numerous alterations occurred in the first part of the twentieth century. The islands merged with the bank, which indicates that the small channel in between was clogged by sediment. These examples of channel adjustments can also be related to the floods of 1930, 1940, and 1942 [30, 52]. The severe contraction of the hydrosystem in the first half of the twentieth century was also detected on other tributaries of the Danube in Romania [53].
- 1953–2019. The hydrosystem became relatively stable. Changes occurred, but they seemed to be less important than those of the previous century. Despite the high floods of 1955, 1970, 1981, 1988, 1998, 2005, 2006, and 2010 [30, 52], their morphogenic character diminished with the decrease of sediment transport due mostly to the construction of the Iron Gates I and II dams and the disrupted connectivity with the floodplain [18, 30, 31, 54]. The effect of the Iron Gates dams can be seen in Fig. 6, at the time when bare sediment had a low percentage in the total area of the islands. Meanwhile, the river channel probably incised [37].

Other analyses and interpretations of the Danube River channel dynamics and driving factors along the Vedea-Călărași reach were conducted by Gogoșe-Nistoran et al. (Chapter “[Dynamics of Islands and Danube River Channel Along Vedea-Călărași Sector \(1856–2019\): Hydrogeomorphological Approach](#)” in this book).

## 4 Recent Dynamics of the Islands in Relation with Hydrological Variability

To better understand the driving forces behind the channel dynamics of the Danube, we analyzed the area of the islands against the hydrological variability occurring during the period 1995–2010.

In order to examine the hydrological variability of the Danube River, we used the mean daily discharges at Zimnicea station, which were downloaded from the Global Runoff Data Center.

### 4.1 The Features of the Islands Under Average Hydrological Conditions

To analyze the dynamics of the river channel, we digitized the islands with and without vegetation based on satellite imagery. We used Synthetic Aperture Radar (SAR) images (ERS1/2 and ENVISAT satellites) for the Vedea-Oltenița reach (source European Space Agency) and Landsat 5TM for Oltenița-Călărași reach (source Earth Observing System). We selected scenes from summer-early autumn of 1995 versus 2010, which corresponded to discharges around or above the mean multiannual value (Table 5).

**Table 5** Satellite scenes used in sub-section 4.1.

Reach	Document	Resolution (m)	Land surveys (mm/dd/yyyy)	Data source	Danube discharge at Zimnicea (m <sup>3</sup> /s) <sup>a</sup>
Vedea-Oltenița	SAR	12.5	July 6, 1995	European Space Agency	8,580
			July 22, 2010		6,290
Oltenița-Călărași	Landsat 5 TM	30	September 13, 1995	Earth Observing System	6,380
			August 21, 2010		6,550

<sup>a</sup>Data source Global Runoff Data Center

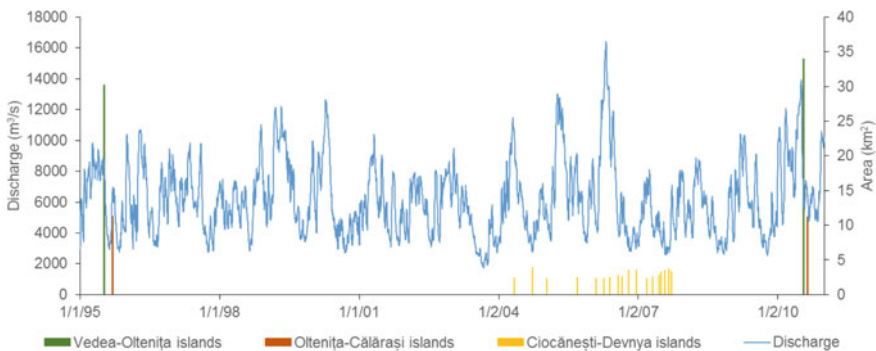
The methodology of using SAR imagery for analyzing the Danube islands is explained by Hachemi et al. [55–57]. These ascending images cover an area of 100 km (range)  $\times$  102.5 km (azimuth). The acquisition is nocturnal, from an azimuth direction upwards. The scene is illuminated to the right, in lateral view with an incidence angle of 23°, in the “C” wavelength band (5.65 cm), and a vertical polarization (VV). Hachemi et al. [55] produced: calibrated, filtered, geo-referenced, and ortho-rectified SAR amplitude images of the Vedea-Oltenița reach with a resolution of 12.5 m. Then, colored compositions were created in order to detect changes and to facilitate interpretation. Finally, the islands were extracted and digitized. The digitization was semi-automatic and then controlled by hand, pixel by pixel.

With Landsat imagery (spatial resolution 30 m, temporal resolution 15 days), the analysis consisted in manually delimiting the islands from water and digitizing the islands contour with or without vegetation. The newly created vectors were exported in QGIS 3.6.1, where their projection was transformed from WGS84 to Stereo 70.

We extracted the number and area of islands on all the analyzed documents. Then, we compared the two time series of islands area by the non-parametric test of Mann–Whitney to detect statistical differences at  $p < 0.05$ .

Compared to the mean multiannual discharge of approximately 6,000 m<sup>3</sup>/s at Zimnicea (Table 1), we found high discharges in April 2006, July 2010, and April 2005 (Fig. 11). In 2006 and 2010, the Lower Danube experienced historic floods, while in 2005, major floods occurred on its tributaries [58]. In terms of mean daily value, the historical discharge reached at Zimnicea 16,400 m<sup>3</sup>/s on 23–24 April 2006 (Fig. 11). However, 56% of all the mean daily values were below the mean annual flow rate of the studied period.

The largest 18 islands were all present during the studied period (1995–2010), while the number of small islands increased (from 9 in 1995 to 16 in 2010). The total area of the islands recorded a slight increase of 9% between 1995 (41.5 km<sup>2</sup> of which 3.8 km<sup>2</sup> small islands) and 2010 (45.2 km<sup>2</sup> of which 5.5 km<sup>2</sup> small islands). This process is more obvious for the Vedea-Oltenița reach (Fig. 11). Downstream,



**Fig. 11** Variations of the islands area in relation with hydrological variability (1995–2010, Danube daily mean discharge at Zimnicea)

between Oltenița and Călărași, the islands either slightly increased or decreased. Overall, according to the Mann-Whitney test, at  $p < 0.05$ , there is no statistical change between the islands area in 1995 and 2010.

The slight increase of all the islands along the Vedea-Oltenița reach could be related to the Danube River discharge at the moment of the satellite passage over the study area. The higher flow rate and probably water level in 1995 could explain the less emerged area of the islands, while lower discharge corresponds to a larger emerged area. The small bars probably formed and grew through the sediment supply provided by the Danube floods of April 2006, July 2010, but also of 2005 on its tributaries.

Overall, we conclude that the major floods of the Danube did not dramatically transformed the islands. The Danube islands along the Vedea-Călărași reach appeared to be in a relative equilibrium in terms of erosion and deposition processes in 2010 versus 1995, except for migrating downstream [55].

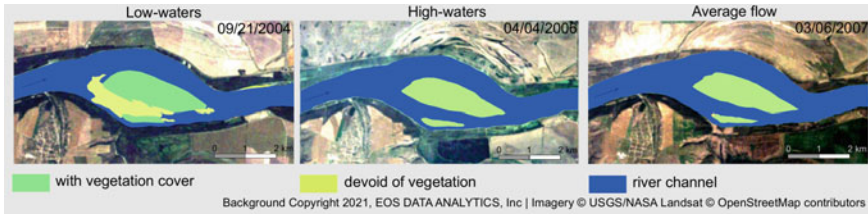
## **4.2 Case Study of Ciocănești-Devnya Islands Pre-versus Post-floods**

In order to better understand the relationship between Danube islands dynamics and hydrological variability, we choose to focus on Ciocănești-Devnya islands during the interval pre- and post-2006 flood. We chose to work on Ciocănești Island because it was classified as being a natural island by [51]. At low-waters, it is connected with its neighbor—Devnya Island—and therefore we analyzed the two islands together.

In spring 2006, the Danube River recorded the highest discharges since 1840, reaching in the study sector 16,900–17,300 m<sup>3</sup>/s (Table 1). As a result of the large floods occurred in 2006 but also in 2005 on Danube tributaries, the mean annual suspended sediment load reached more than 18 million t in 2006 and about 15 million t in 2005 at Zimnicea, higher than the multiannual average of about 13 million t/year for the period 1986–2014 [59].

In order to better understand the impact of the historic flood of 2006 on these islands, we selected all available Landsat 5TM scenes (source Earth Observing System) for the time interval 2004–2007 (overall 18 scenes). We delimited the contour of islands according to the methodology explained above.

The maximum area of the two islands was detected on 21 September 2004 when it reached 3.9 km<sup>2</sup> at a river discharge of 2,870 m<sup>3</sup>/s (i.e. low-waters) (Figs. 11 and 12). The minimum area occurred during the flood peak—2.3 km<sup>2</sup> at 12,600 m<sup>3</sup>/s on 4 April 2006 (i.e. high-waters). The area of the two islands was also 2.3 km<sup>2</sup> at mean value of the discharge—6,230 m<sup>3</sup>/s on 6 March 2007. The bars devoid of vegetation can be seen only at low-waters while the area covered by forest is apparent during all phases of the hydrological regime. We noticed a kind of cyclic evolution of the islands area between high-waters and low-waters. Yet, the lowest area was detected



**Fig. 12** Spatial dynamics of Ciocănești-Devnya islands in relation with hydrological variability

before the historic floods of 2006, therefore we can not draw any conclusions about its role in the formation of the islands.

This small difference between areas at mean and high discharges may be due to the canopy of the forest. Actually, it is the canopy that we see from satellite. Another pattern of island dynamics along the investigated reach could be the relative stability of the emerged areas, despite the floods occurring in the region.

## 5 Conclusion

The aim of this chapter was to investigate the dynamics of the Lower Danube islands and channel by focusing on the Vedeia-Călărași sector. We used various cartographic documents dating since the year 1856, as well as recent satellite imagery (2019) to explain the islands and channel dynamics over the last century and a half.

The area of the islands in 2019 represents almost one third of the total area of the channel similar to the situation in 1856. This finding suggests a relative equilibrium despite numerous transformations in the river basin which may be due to the low-energy river type of the Lower Danube River section [40]. As the Lower Danube channel pattern depends mostly on suspended sediment load, islands are likely to continue to form through bank erosion. The Albina-Paraschiva-Slaviavin-Garvan islands were subjected to a detailed analysis, by taking into account several cartographic documents, in order to identify their spatial and temporal dynamics during the period 1856–2019. We also investigated the recent dynamics of the islands in relation with hydrological variability through the case study of the Ciocănești-Devnya islands whose dynamics was analyzed during the period 2004–2007, including the historical floods occurred on Lower Danube River (in 2006) and its tributaries (in 2005).

Overall, three patterns of historical evolution were found: (i) the expansion of the older islands mostly through lateral accretion, as in the case of those listed in Table 3; (ii) the merging of the islands with the bank (and also with each other) as

in the case of Albina and Paraschiva islands; (iii) the increase of the islands area in the last decades, which was detected through the methods used in this study (overall analysis of the study area since 1856, case study of Albina-Paraschiva-Slaviavin-Garvan islands since 1856, interpretation of SAR imagery 1995–2010). Other three patterns characterize the present-day evolution of islands: (i) the increase of the islands by deposition upstream, as in the case of Ciocănești-Devnya islands; (ii) the persistence of vegetated islands under flood conditions, as in the case of Ciocănești-Devnya islands; (iii) important variations of small bars (some of them are forming while others are disappearing).

The number, density and morphometry/dimensions of islands depend on their genesis, sediment supply, water level, biopedoclimatic constraints and other local factors. We also noticed that under a quasi-natural regime (1856–1953), both the Danube River channel and its islands suffered numerous alterations. Later on, under a modified hydrological regime (1953–2019), the hydrosystem became relatively stable and the islands were colonized by vegetation.

## 6 Recommendation

To improve and complete the findings of this chapter, we have a few recommendations for further studies on the hydrogeomorphological dynamics of the Lower Danube River.

- Search for maps or even drawings in archives in order to better understand the trajectories of the Danube River channel and its islands more than a century ago.
- Use other indicators to characterize the Danube River channel and its islands, therefore better comprehend their dynamics.
- Use recent satellite imagery at good resolution for greater accuracy of river processes.
- Focus on the age of the forest in order to understand the extension of certain landforms, which is similar to the recommendation of Chelu et al. [24].
- Extend the analysis to other reaches of the Lower Danube River.
- Survey the effects of climate change on fluvial islands in the context of the increasing air temperatures along the Lower Danube Valley (according to Constantin et al.—Chapter “[Observed Changes in the temperature and Precipitation Regime Along the Lower Danube River](#)” in this book).

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# Hydro-sedimentary Modeling and Fluvial Morphological Processes Along the Lower Danube River (Giurgiu-Oltenița-Călărași Reach)



Daniela-Elena Gogoășe-Nistoran, Andreea Florentina Marin, Iuliana Armaș, Cristina Sorana Ionescu, Georgiana-Mihaela Tudor, and Andrei Cozma

**Abstract** The study aims to provide an advancement in understanding the complex hydro-sedimentary behaviour and morphological processes of the Danube fluvial system. A 120 km-in length reach is chosen for the study along the Romanian-Bulgarian border, subject to intense anthropogenic influences and multiple, interconnected controlling factors. The reach stretches between the Romanian towns Giurgiu and Călărași (corresponding to Bulgarian towns of Ruse and Silistra). To this end, hydro-sedimentary modeling and diachronic analysis of planform dynamics of the channel width on the maps, aerial photos and satellite images are used. To analyse the induced changes under both hydrodynamic and sedimentary regimes, a coupled numerical model was set up. Topobathymetric GIS data are used to build a digital elevation model (DEM) of the area and extract a 1D model's geometry in HEC-RAS (USACE) software. Observed flow/stage hydrographs and sediment discharge series at the gauging stations are used to calibrate the model. Sediment transport rate, annual sediment budget, spatial and temporal variation of thalweg elevation in

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each cross-section of the model geometry are obtained from numerical simulations and, together with the information obtained from diachronic analysis and navigation maps, are used to reveal the spatio-temporal morphological dynamics of the Danube River in the studied area.

**Keywords** Control factors · Hydraulic model · Sediment transport model · Diachronic analysis · Erosion-deposition · Fluvial morphology

## 1 Introduction

Danube River is one of Europe's major fluvial system which has long been affected by various natural factors (tectonic, geological, climate, hydrological) and human activities. As a response to the complex interaction of all these governing conditions, the river channel's hydro-morphological characteristics suffered multiple changes. In the last decades there has been an increasing scientific interest to follow the hydrological and sediment transport trends, together with the morphological alterations of the Danube River, both internationally (e.g. [1–5]) and also, nationally (e.g. [6–11]).

Similarly to other large European rivers such as the Rhine, Volga, Arno, Loire, Po [12–14], the Lower Danube, is characterized by a decreasing sediment transport rate during the last decades. The Danube River Basin's main human impacts are flood control, hydropower generation, and navigation. River engineering works such as embankment dykes and dams interrupt the longitudinal and lateral connectivity and prevent the sediment supply and transport from the upstream tributaries and reaches to the downstream areas [15]. Observed morphological changes are complex, depending on location and hydrological regime: from lateral erosion and vertical incision reaches (downstream the reservoirs, in the narrow areas) [16] to deposition ones (in the reservoirs, on sand bars, banks, islets), creating problems to fluvial navigation during low flow periods.

According to Panin and Jipa [17] the natural mean multi-annual suspended sediment load at the Danube Delta apex (Ceatal Izmail) has decreased by more than 50% after 1960.

The degree of difficulty and time-consuming activities for measuring sediment transport rates and particle grading are well-known [15]. Therefore, properly calibrated numerical models help to approximate missing data over the simulation period, this way offering a better understanding of the coupled hydrodynamic-morphologic phenomena. Numerical models for sediment transport (1D to 3D) have evolved during the last decades. In order to realistically reproduce the real phenomena they have to be properly calibrated. Even though lack in spatial complexity, 1D numerical models are still successfully used for long river reaches and long period of times (years, decades), provided they are based on detailed topographic and bathymetric

data. Their advantages are: simple computations and calibration, ease of parametrisation, few necessary hydrologic data for calibration and validation, shorter computation time. However, they cannot capture variations of hydrodynamic and morphological alterations across the river channel. Such a software is HEC-RAS (Hydrologic Engineering Centre, River Analysis System, version 5.07).

The chapter's overall objective is to compare the results obtained from a hydro-sedimentary model with the observed and reported morphological changes for a better understanding of the hydromorphological behaviour of the Danube fluvial system along the Giurgiu-Călărași reach in Romania (corresponding to Ruse-Silistra in Bulgaria). To this end, several specific objectives have been defined:

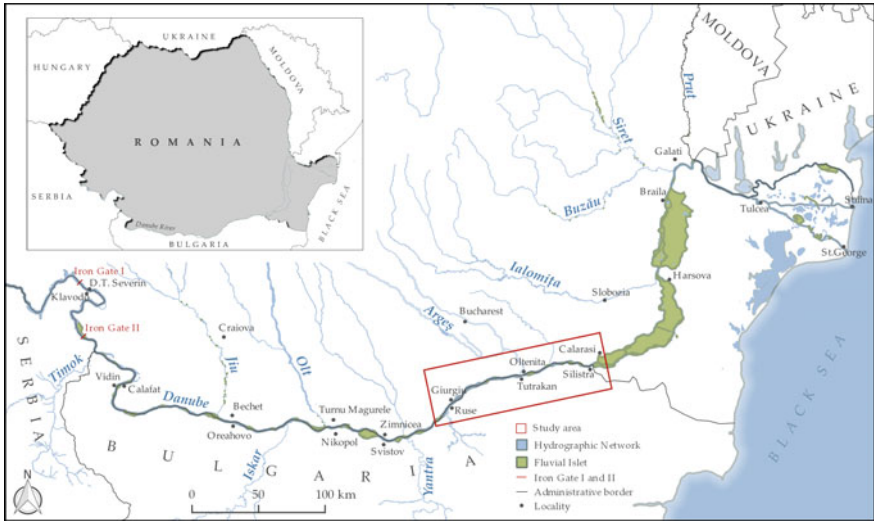
1. To analyse the temporal and spatial morphological changes of the river channel.
2. To process water and sediment data during the analysis period of 8 years, between 2008 and 2015, necessary for the numerical model.
3. To set up a 1D sediment transport model and calibrate it.
4. To compare results with field observations and understand the complex physical processes of the hydrodynamics of sediment transport.
5. To analyse morphological changes (aggradation/degradation) and sediment loads in space and time, over the study period.

## 2 Site Description

The Danube River is one of the most important rivers in Europe, being the second longest after Volga River. Its source is in the Black Forest (Germany) and flows towards the southeast for 2870 km to the Black Sea [10]. Based on its bed slope, the river course can be divided into three sub-regions: Upper Danube—from its headwaters to Bratislava City (Slovakia), Middle Danube—from Bratislava City (Slovakia) to the Iron Gate dam (Romania) and Lower Danube—from Drobeta-Turnu Severin, rkm 931 (chainage along Danube is referenced at Sulina river mouth into the Black Sea) to Ceatal Izmail (rkm 80.5).

Along the low energy, multi-thread anabranching Lower Danube stretch [18], two major Hydro Power Plants (HPP) were built in 1971 (Iron Gate I at rkm 943) and 1985 (Iron Gate II at rkm 863) downstream the Iron Gates Gorges of Carpathian Mountains. Downstream the HPPs, the Lower Danube flows across a large plain, where the river channel is wider, less deep, with multiple vegetated islets (mainly composed of sand and silt), before dividing into branches and flowing into a swampy delta.

The study reach has about 120 km in length, and is situated at the Romanian-Bulgarian border, between the towns of Giurgiu and Călărași-Romania (Ruse and Silistra in Bulgaria) (Fig. 1). The valley has an asymmetric morphologic development, with a low and wide, terraced, left Southern part of the Lower Danube Plain, and a higher and terraced (Bulgarian), right side [19, 20]. Terraces were cut due to the uplift in the Bulgarian sector of the Moesian platform delineated by two NW-SE-trending faults passing throughout Giurgiu-Kubrat-Vetrino fault [21]. The



**Fig. 1** The study reach along Danube River: Giurgiu-Călărăși reach

anabranching index estimated for 10 km long sub-reaches over the study reach is between 1.5 and 2 [18].

The principal characteristics of the main tributaries of the study reach on lower Danube are presented in Table 1. The gravel-bed tributaries from the right bank, Timok, Jantra and Iskar, raise the natural coarsening in the Lower Danube’s sand-bed channel, in areas downstream their mouths (local effect).

**Table 1** Principal characteristics of main tributaries along the study reach on Lower Danube [22, 23]

Crt. No	Danube tributary	Distance of Danube confluence to Black Sea mouth at Sulina	Side of confluence	Mean multi-annual discharge at confluence	No. of HPP (>1 MW)/reservoirs	Catch'ment area	Total length
		rkm	–	m <sup>3</sup> /s	Number	km <sup>2</sup>	km
1	Timok	846	Right	31	1/1	4,630	180
2	Jiu	694	Left	86	5/61	10,080	339
3	Iskar	636	Right	54	10/10	8,684	368
4	Olt	604	Left	174	23/25	24,050	615
5	Iantra	537	Right	47	–	7,879	285
6	Argeș	428	Left	71.2	17/11 (1966–1997)	12,550	350

HPP—Hydro Power Plants

Giurgiu-Călărăși is a typical lowland, river reach, where processes of erosion and deposition are alternating. It has numerous vegetated islets and channel bars, which divide the river channel into multiple active branches, characteristic to the anabranching (anastomosing) fluvial systems, with a prevalence of suspended solid load [18, 24].

The average depth along the study reach is about 7 m for the mean multi-annual flow,  $Q_m$ , varying between 5.1 and 9.4 m from  $Q = 4,000 \text{ m}^3/\text{s}$  to  $Q = 14,000 \text{ m}^3/\text{s}$ , respectively). The average bottom slope value is about 0.05 ‰ and the river channel width varies between 500 and 2500 m for the mean multi-annual discharge. Due to the area's high risk of flooding embankments were built—mostly along the lower, Romanian bank to protect agricultural areas [10]. However, at high flows (with their peak having a recurrence interval greater than 50–100 years), the enclosed floodplain areas (mainly agricultural) are flooded.

Argeș River is the only important tributary within the study reach. It drains the Carpathians' curvature region, with the highest suspended sediment yield in Romania [12, 25]. Along its lower, anastomosed section, it flows through erodible alluvial deposits. The river's flow and sediment regimes were significantly influenced by the 38 reservoirs built mainly for hydropower and flood protection purposes [12, 18, 26]. First dam from the confluence with Danube is at 85 km distance (Mihăilești).

The Romanian gauging stations (GS) along the Giurgiu-Călărăși reach, are shown in Fig. 2: Giurgiu GS (rkm 493.05), Oltenița GS (rkm 430, which is 2 km downstream of the confluence with Argeș River), Chiciu-Călărăși (rkm 379), very close to the corresponding Bulgarian one—GS Chiciu-Silistra (rkm 375). Last two are located just upstream the nodal bifurcation where Danube River splits in two: the old Danube and Borcea branch. Călărăși GS (rkm 365) is located on the Borcea branch and



**Fig. 2** Romanian gauging stations used for the analysis along the Giurgiu-Călărăși reach

Izvoarele GS (rkm 348.6) is located on the old Danube. In the following analyses two subreaches were considered for the Giurgiu-Călărași reach: the upstream one, from Giurgiu to Oltenița and the downstream one, from Oltenița to Călărași.

### 3 Fluvial Morphology Factors Along the Danube Study Reach

Sediment regime of a river stretch is influenced by processes and actions at the level of the whole basin, as a result, being dependent mainly on land use pattern, hydraulic structures, river training works and water management strategies.

The fluvial morphology along the study reach is influenced by natural and anthropogenic factors, having synergic effects. The analysis of these factors was divided for the current study into 3 time periods: (I) 1920–1970, (II) 1971–1990 and (III) 1991–2015. Bondar [27] showed a series of changes in terms of flow and a drop in sediment discharge over the lower Danube during the aforementioned study periods, as a consequence of both natural and anthropogenic factors.

#### 3.1 Natural Factors

The natural factors influencing Danube River morphology are: climate (mainly rainfall and temperature), flow variability, geology, lithology, vegetation etc. [28].

At the local level of the study reach, the annual rainfall slightly exceeds 500 mm [29], whereas water temperature varies between 0 and 29 °C.

Both natural and the anthropogenic factors influence the hydrological regime of the Danube River. The variability of the water level leads to a seasonal morphodynamics of the riverbed landforms. Thus, when the water level of the Danube decreases, numerous alluvial landforms emerge and the surface of the islets increases temporarily. While, in the opposite situation, the water level is high, part of their surface becomes submerged. The Danube flow recorded at the gauging stations located in the studied area is the result of the combination of factors that influence the flow from the upstream sector.

The mean multi-annual water discharge decreased by 3% at the three gauging stations along the study reach: Giurgiu, Oltenița and Chiciu-Călărași, from 1931–1970 to 1985–2005 [27]. As for the impact of climate change on extreme events, as for other European fluvial systems, they are expected to continue to amplify the droughts, followed by intensified floods [30].

In terms of lithology, deposits in the lower Danube are usually loesses, formed by weathering processes of mainly flysch and local rocks and transported by water and/or wind from upstream sources (main river and its tributary system), or even Black Sea beaches. Generally, the deposits include: typical loess (quartz coarse silt, very



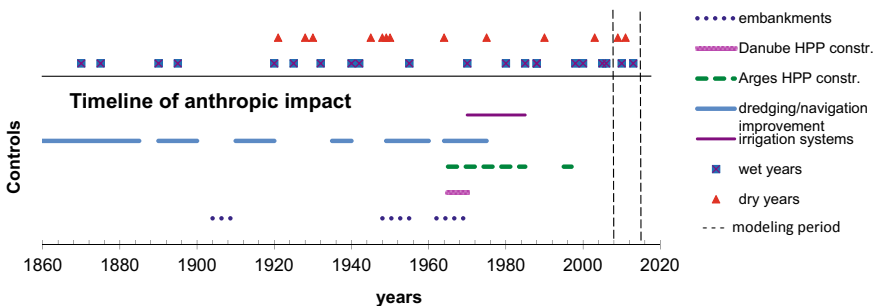
fine sand), sandy loess (fine and medium sand) and clay-loess (clay-size particles) [31, 32].

Vegetation plays an important role in defining the hydro-geomorphological character of a river, ensuring a series of climatic, hydrological and ecological functions [33–36], as well as in increasing bank stability. In the studied Danube reach, the riparian vegetation is composed predominantly of poplar forests (*Populus nigra* and *Populus alba*) and willows (*Salix alba*). In some places *Fraxinus Pennsylvanica* and *Ulmus laevis* appear [37].

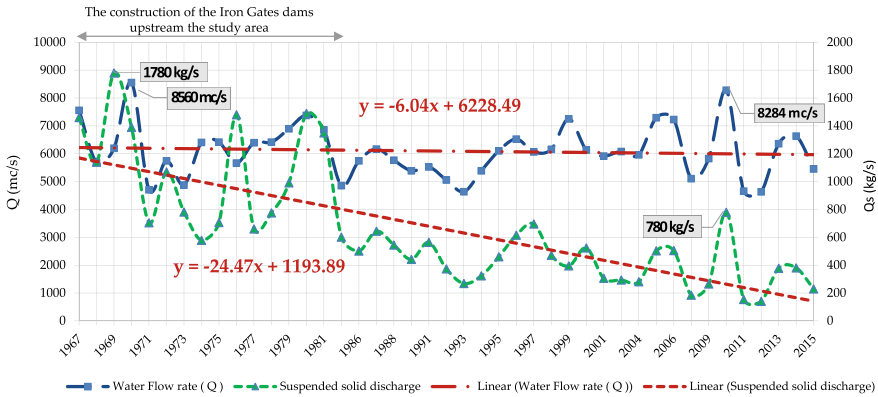
### 3.2 Anthropogenic Factors

The anthropogenic interventions to the Danube waterways’ natural course introduced lateral and longitudinal disruptions to the fluvial system continuity, changing the hydrological regime, morphology of the riverbed and the floodplain ecosystem. The hydropower developments on Danube and its tributaries along all its Upper, Middle and Lower sections (on the Lower Danube being the Iron Gates 1—rkm 943/944, put into operation in 1971 and the Iron Gates 2—rkm 863, put into operation in 1985); and the hydropower cascade on Olt and Argeş, flood embankments, dredging works for navigation, sediment mining and shipyards maintenance, water management measures, intakes for irrigation systems and vegetation cutting (Fig. 3), all had a major impact on flow variability and downstream sediment transport over the analysed reach [9, 10, 12].

Measurements of inflow and outflow sediment discharge from Iron Gates reservoirs, performed between 1974 and 2015 showed the reservoirs retain about 80% of the entering loads [40]: an average of 16.2 Mt/year enter the reservoirs from upstream and 2.9 Mt/year are discharged downstream [40]. In Fig. 4 a decrease of the mean multi-annual suspended sediment rate may be seen at Giurgiu GS, especially in the first three years after the entry into operation of the two Iron Gates hydropower plants and hydropower works on tributaries. In the two linear fitting equations,  $x$  is



**Fig. 3** Timeline of anthropic impact along Danube study reach and modeling period (HPP = hydropower plant) [9, 10, 38, 39]

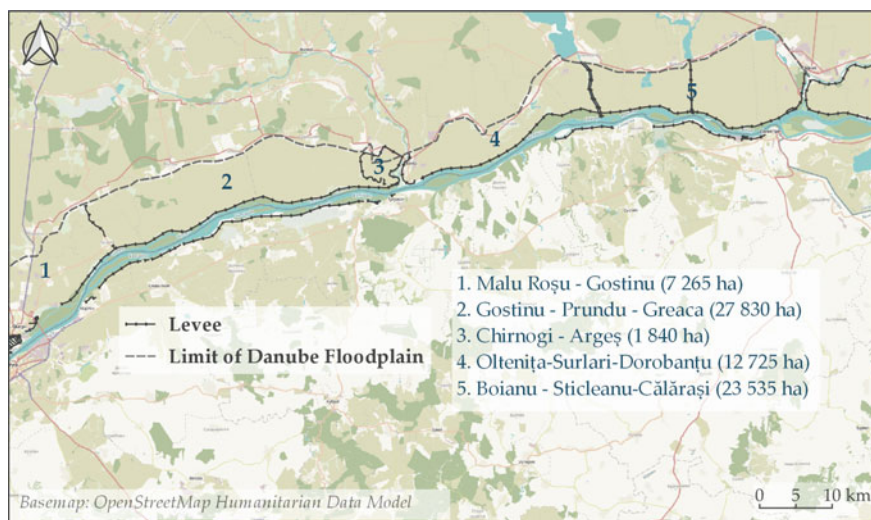


**Fig. 4** Time evolution of water discharge ( $Q$ ) and suspended solid discharge ( $Q_{ss}$ ) at Giurgiu GS between 1967 and 2015

the year numbering in which the initial element of the sequence is 0 corresponding to first year, 1967. Most of the Argeș reservoirs are in cascade, and already silted in high percentages of their capacity (over 70%) [12]. Some authors [10, 12, 41] estimate an average of 67% reduction in sediment load after the commissioning of Argeș hydropower dams. However, these structural works have a major importance in flood attenuation and protection of important inhabited areas.

Prior to the building of *flood embankments* along the banks of the study reach, the Danube floodplain (containing ponds and marshes) was subjected to periodic flooding, which brought into the flooded areas new fertile material, good for agriculture [10]. After long debates between engineers, agronomists and biologists on choosing the best solution, an extensive embankment and drainage works along lower Danube started. More than 700 km of flood embankments were built between 1948 and 1985 along lower Danube [27]. Currently, 73% in length of the Iron Gates-Călărași reach is embanked [10, 42]. In the Danube floodplain between Giurgiu and Călărași, five agricultural enclosures (precincts) were created [43, 44] (Fig. 5) by longitudinal and transverse embankments Table 2. Some embankment dykes (levees), designed using a higher probability of flow exceedance, were built before 1940. Since the enclosures were still flooded, they had to be heightened afterwards based on a higher design flow. Therefore, in Table 2 the design flow and probability of exceedance are given for both cases.

By embanking a river, the floods are constrained to flow within the confinement at increased water levels and velocity. By confining the flow within the embankments, the stream consumes its high energy during floods through longitudinal profile incision and changes in planform morphology. This can lower the water table leading to groundwater depletion, thereby exacerbating droughty conditions [46] and leading to secondary soil salinization and ecological problems [47]. After the embankments, the drainage works of the Danube Floodplain followed, consisting of networks of channels and pumping stations [37]. The embankment systems are very costly to maintain



**Fig. 5** Embankment dykes (levees) and enclosed areas between Giurgiu and Călărași [45]

and risky during prolonged flood periods. For example, in April–May 2006, several flood dykes breached, such as: Ghidici-Rast-Bistreț (rkm 719–745), Bechet-Dăbuleni (rkm 667–679) and Oltenița-Surlari-Dorobanțu (rkm 401–429) in the study area [39]. The last embankment dyke failed after long period of high-water stages, and severely flooded inhabited areas, farm animals, isolated buildings and large agricultural areas.

The riverbed dredging works carried out on the Danube are closely related to two activities: (i) maintaining the optimal depths for navigation and (ii) sand and gravel mining (rkm 494.5–400, rkm 520–522.5 and rkm 510.5–508) [48]. As reported by [18], between rkm 862—and rkm 300, about 2.5 mm<sup>3</sup> alluvial material was dredged between 1920 and 1970. In the period 1971 and 1990, were dredged about 24.34 mm<sup>3</sup> and 17.37 mm<sup>3</sup> in the period 1991–2017. Mean multi-annual volume of dredged sediments along all lower Danube, between 1961 and 2005 is 2.5 mm<sup>3</sup>/year [49]. Despite dredging works have an impact on river morphology, sediment dredged volumes over the study period could not be considered in the model because there are multiple companies performing such works with no integrated public database [50].

Another negative effect on morphology along the study reach was given by riparian and island vegetation cutting. Vegetation has an important stabilising role against erosion of the channel islets and riverbanks during floods.

All of these impacts led to: (i) reduced suspended sediment discharge, which dropped more than 4 times (from 1630 to 1890 kg/s in the period 1840–1900 to 434–454 kg/s in the period 1985–2006) along the study reach [17, 27, 51]; (ii) unnatural variations in quantity and grain size of bed sediments; (iii) planform and vertical morphological changes of the river channel; (iv) a decreased number of morphological units (islets and bars) and their total emerged surface [37].

**Table 2** Flood embankments built in the study reach [42]

Crt. No	Name of embanked enclosure	Length (km)	Average height (m)	Enclosed area (ha)	Year of putting into operation	Design flow	Flow exceedance probability
1	Malu Roșu-Gostinu	18.8	5	7,265	1928/1965	- /15,770	- /2%
2	Gostinu-Greaca-Argeș	21.5	5	27,830	1966	16,500	1%
3	Greaca-Chirnogi-Argeș	19.5	5	1,840	1966	16,800	1%
4	Olenița-Dorobanțu (Manastirea, Spanțov)	31.15	4.5–5		1928/1964	12,450/16,900	- /1%
5	Tatina/Surlari (Spanțov)/Dorobantu (Mostiște)	3.35/2.25/8	5.5/4.5/5.8	12,750	1964/1964/1976	-	1%/1%/3%
6	Boianu Sticleanu Călărași	34.2	4	23,535	1971	-	10%

## 4 Data Processing

### 4.1 Data Processing for the Hydro-sedimentary Model

Sediment transport modelling requires multiple data, whose processing and management are very time consuming. Moreover, missing data has to be approximated by correlation functions. All data series needed for simulations and model calibration/validation, and many of the plots were prepared using a visual utility engine for data storage and processing. This utility, namely HEC-DSS Vue [52], is compatible with the hydraulic and sediment transport software, HEC-RAS [53] (version 5.07).

#### 4.1.1 Hydrologic Data

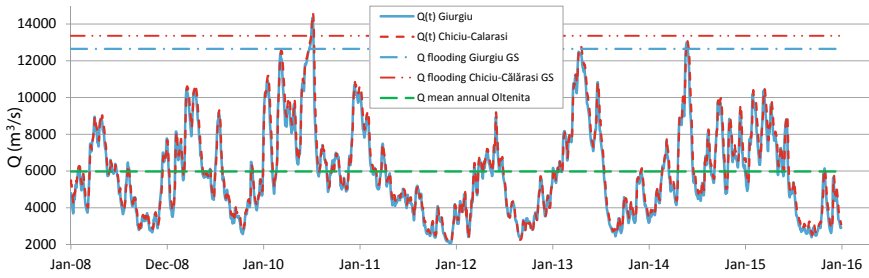
Hydrologic data used for the modelling was acquired at the gauging stations (GS) from Giurgiu, Oltenița, Chiciu-Călărași and Călărași. The last two being very close, they share stage measurements and even sediment particle properties. Mean multi-annual discharges and historical maxima and minima for Danube over the 1913–2010 period are summarized in Table 3 [9, 18, 42, 54]. For Argeș River, the mean multi-annual discharge was obtained by summing up the corresponding values of all its tributaries upstream the confluence with Danube: Arges (over 1950–2006), Dâmbovița (1988–2006), Colentina (2006–2012) and Sabar (1958–2004) [55, 56].

Data consist in daily mean discharges (Fig. 6), water surface elevations (Fig. 7) from the period 2008–2015 [57]. All ground and water surface elevations in the paper are measured in m and referenced to Black Sea Sulina datum (m BS). Low-flow navigable water levels (LNWL) required to determine the navigation floodway in Fig. 7 are defined by the Danube Commission and the Administration of the Lower Danube (in Galati, Romania), as the minimum water level for navigation (corresponding to a discharge,  $Q_{\min}$ , with an exceedance probability of 94%, over a period of more than 40 years) [50]. Floods usually occur in May–June (and have variable duration, sometimes extending over several months), whereas low waters periods usually occur in September (Fig. 6). Last historic flood over Danube occurred in 2006 and lasted for five months (February–June).

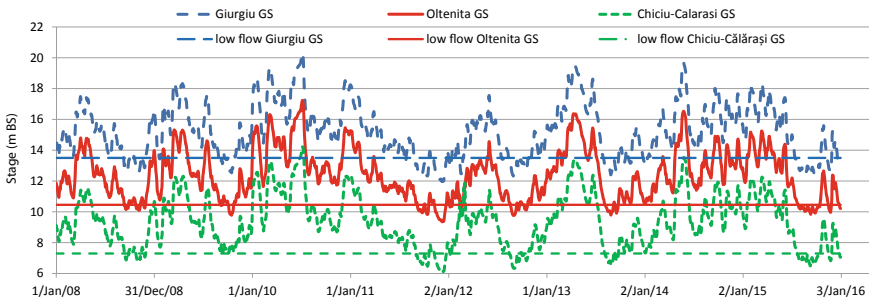
Considering the mean multi-annual discharge of the Argeș River represents only 1.16% of the corresponding Danube discharge at Oltenița GS and also taking into account the tributary natural discharge variability is highly reduced by the upstream hydropower development, in the numerical simulations the lateral inflow was considered to be constant and equal to the mean-multi-annual value of 71.2 m<sup>3</sup>/s from Table 3.

**Table 3** Main flow parameters at the gauging stations [9, 18, 42, 54]

Crt. No	Gauging station name	Distance to Black Sea mouth (Sulina)	Q <sub>mean</sub> multi-annual	Q <sub>max</sub> (1913–2010)	Date	Q <sub>min</sub> (1913–2010)	Date
		rkm	m <sup>3</sup> /s	m <sup>3</sup> /s	–	m <sup>3</sup> /s	–
1	Giurgiu	493.1	6,044	16,300	16.04.2006	1,485	01.1954
2	Argeș tributary confluence	431.8	71.2	2,580	22.06.1979	10	–
3	Oltenița	429.8	6,115	16,200	05.1897	1,490	01.1954
4	Chicicui-Călărași	379.58/375	6,133	16,200	16.04.2006	1,530	1947
5	Călărași/on Borcea branch, at 5 km from split	96.4 (Borcea branch)	946	3,203	2.05.1845	132	6.10.1958
6	Izvoarele/on old Danube	348.6	5,148	15,751	16.04.2006	1,858	6.10.1858



**Fig. 6** Measured discharge values at the three GSs along the studied reach in the period 2008–2015. Dashed lines represent flooding flows and dotted lines the mean multi-annual flows [57]



**Fig. 7** Observed daily water surface elevations at the three GSs along the studied reach during the period 2008–2015. Dotted lines represent the low-flow stages [57, 58]

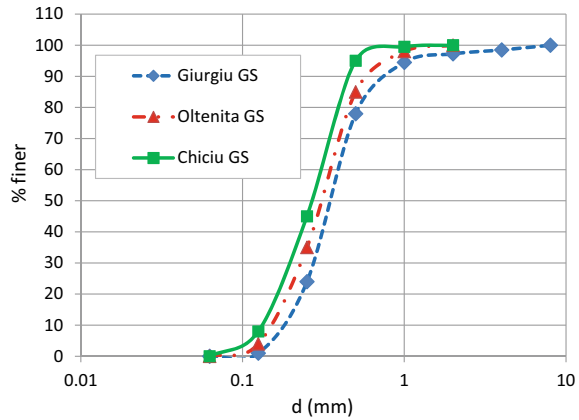
### 4.1.2 Sediment Data

It is notorious that sediment data are usually rare, being difficult to be measured [59, 60]. This is why modellers are often required to make assumptions and assess these assumptions’ sensitivity and uncertainty throughout the modelling process [61].

The *nature* of sediment particles transported by the river is mainly silica, with a relative density of 1.65 kg/dm<sup>3</sup>. The *main grain class* of Danube bed-material is sand with a median diameter  $d_{50}$  from 0.1 to 2 mm. However, smaller and larger classes are also encountered from clays and silts (with mean diameter  $d_{50} < 0.032$  mm) to coarse gravels (up to 16 mm) [40, 50, 51]. Sediments with diameters over 0.08 mm are considered by Bondar [27] the most important grain class for the geomorphologic processes affecting the riverbed.

From the point of view of *transport mechanisms*, smaller particles (clay, silt, fine and medium sand) are transported exclusively by suspension (*wash load* and *suspended load*) under the influence of current turbulence, depending on the water discharge. The coarser fractions are carried at higher flows as *bed load* by dragging, rolling, saltation or partially lifting *in suspension* when the water current velocity and corresponding shear stress exceed their critical (entrainment) limits [51, 62]. At Chiciu-Călarăși GS, for example, the highest fraction (75%) of total sediments are

**Fig. 8** Riverbed sediment grading curves at the gauging stations from the study reach used in the sediment transport model



clay and silt transported as wash load and about 25% is sand, transported either in suspension (21%) or as bed load (4%) [49].

Daily mean sediment suspended discharge ( $Q_{ss}$ ) values are calculated at the GS depending on discharge and turbidity, and therefore include errors from measuring other variables (such as stage, as well as velocity and suspended sediment discharge periodically sampled in multiple verticals of a cross-section). Bed material is sampled from a surface layer of the riverbed with a specially developed equipment (by the National Administration “Apele Române”/National Institute of Hydrology and Water Management) [38].

Mean riverbed sediment grading curves used in the model at the three GSs are shown in Fig. 8. Characteristic diameters were calculated with the grain size distribution statistics calculator of Parker [63] and resulting  $d_{50}$  are: 0.023 mm for Giurgiu GS, 0.025 mm for Oltenița GS and 0.024 mm for Chiciu-Călărași GS. These grading curves were interpolated along the study reach to account for *spatial distribution* in the simplified modeling scenario.

In terms of channel *depth stratification*, the only available information is from Dumitrescu [64], who analysed at Bechet GS (rkm 689) sediment grading curves of core samples taken from riverbed, for 5 slices, each of 1 m depth. The authors did not find important differences in sediment grading of the riverbed for the top 4 m, but found an increase of the mean diameter for the deepest slice (4–5 m depth), where  $d_{50} = 0.6$  mm. This erodible depth limit of the riverbed was considered the same for modelling the study reach. No data on sorting, nor on armouring or depth of an active layer was available.

Fluvial morphological alteration associated with sediment transport are usually related to a lack or to a surplus of *bed load discharge* ( $Q_b$ ) and/or to anthropogenic impact upon the natural processes [15]. However, this component of the *total sediment transport rate* ( $Q_t$ ) is notoriously difficult to be measured. Over the study period no series values were available. Rákóczi [65] estimated bed load share from the total sediment transport represent about 1% along low-land sections of Danube, whereas Bondar and Iordache [51] and Batuca and Buta [66] state a 2–5% share of suspended



**Table 4** Mean multi-annual load sediment discharge values at the gauging stations (2008–2014/average obs. values) [18, 27, 40, 49, 56, 68]

Crt. No	Gauging station	Distance to mouth (Sulina)	Suspended load 2008–2014/average obs. values	Bed load	Total load
		km	Mt/year	Mt/year	Mt/year
1	Giurgiu	493.1	10.5/13.7	/0.21	10.68
2	Argeş	431.8	0.26/0.9	/0.2	1.1
3	Olteniţa	429.8	11.2/14.3	/0.22	11.42
4	Chiciu-Călăraşi	379.58	10.1/14.3	0.2	10.3

discharge. Measurements performed within the Danube sediment project [18, 40, 67] show an average of 2% of bed load share with respect to suspended sediment load, over the study reach. A 2% value will be considered to estimate bed load for the computations in present paper. According to Bondar and Iordache [51] and Batuca and Buta [66], mean diameters of the bedload particles are one order of magnitude coarser than the suspended sediment particles.

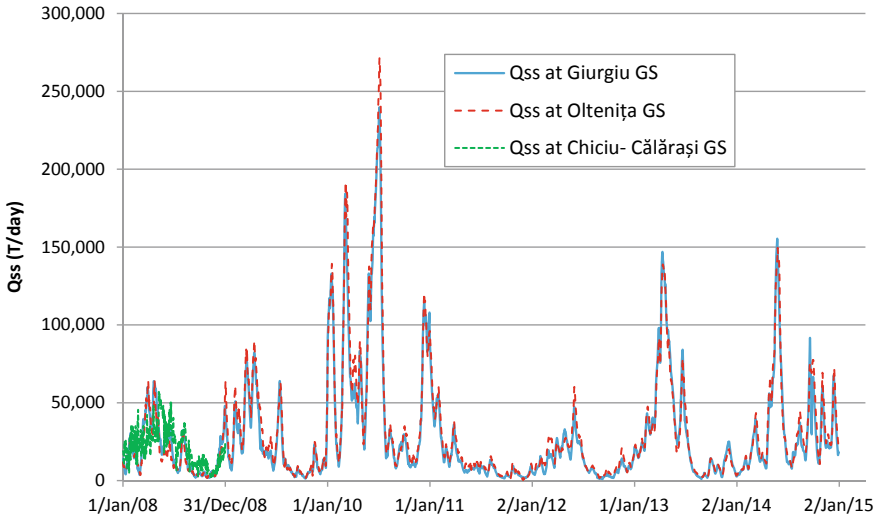
There is a great variability in the literature in terms of sediment mean multi-annual discharge/load at the GSs in the studied reach, as the values change with the averaging period. In Table 4 are shown both the available year-averaged suspended load and approximated bed load for the simulation period (2008–2014), and the corresponding observed mean multi-annual loads over larger periods of time, averaged between different sources [18, 27, 49, 56, 68].

*Suspended sediment transport rates* (in tonnes/day) observed at Giurgiu and Olteniţa GS for the period 2008–2015 are shown in Fig. 9. Values at Chiciu-Călăraşi GS for the year 2008 were reconstructed by adding the observed values at Călăraşi GS (on the Borcea branch) to the similar ones at Izvoarele GS, on the old branch of Danube.

Suspended sediment rating curves at Giurgiu and Olteniţa GSs (obtained from daily records) from the study period were fitted with power law functions in Fig. 10. Results show a good fit, with values of the  $R^2$  coefficient of determination over 0.93 for both locations.

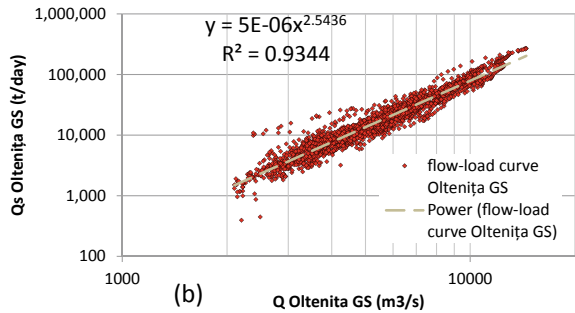
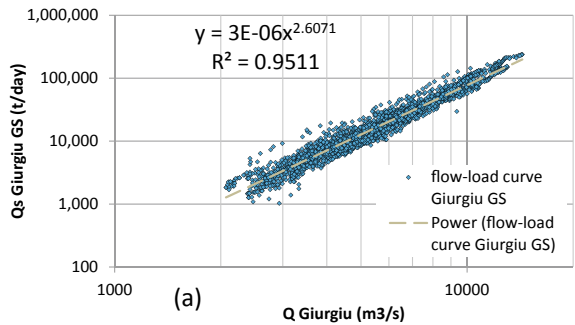
However, a smaller fitting power-law exponent of the sediment rating curve, with a lower accuracy was obtained for the only available data of 2008 at Chiciu-Călăraşi (Fig. 11) and Izvoarele GSs. It seems that sediment deposition processes taking place along the old Danube branch decrease the  $Q_{ss}$  value at Izvoarele GS [49]. Based on minimum and maximum values of liquid and suspended solid discharges from Tables 3 and 4, a 1.85 exponent power-law sediment rating curve was found to approximate the negligible inflow of Argeş River to Danube River. After the cascade of hydropower dams was built on this Danube tributary, the sediment discharge transported by Argeş River into the Danube dropped significantly [25].

The high exponent values of the discharge in the sediment rating curves proves the largest concentration of suspended sediments during floods. Nachtnebel [69] states

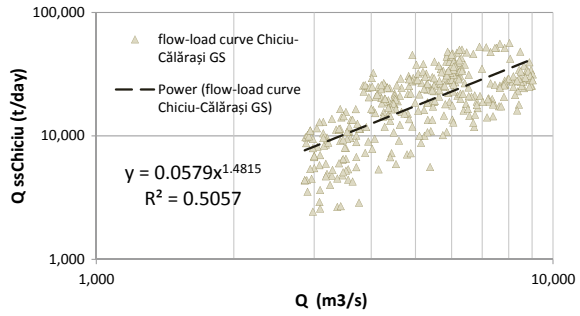


**Fig. 9** Daily suspended sediment transport rates at Giurgiu, Oltenița and Chiciu-Călărași GSs, from 2008 to 2015

**Fig. 10** Flow—suspended sediment transport rating curves from the 2008–2015 period at Giurgiu GS (a) and Oltenița GS (b)



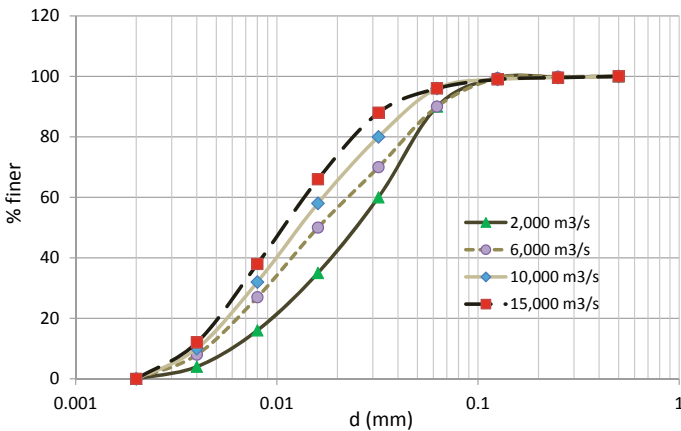
**Fig. 11** Flow—suspended sediment transport rating curves from 2008 period at Chiciu-Călărași GS



that 70–80% of the annual suspended load occurs within 10% of the time (90% of the load being transported at higher discharge than the mean multi-annual flow) and a single flood event can yield more than in a regular year.

To reproduce the particles gradation variability with discharge, 4 sediment gradation curves have been interpolated from 2012 measurements at Oltenița GSs (Fig. 12) over the whole possible flow range. These will be used in the model at the upstream boundary condition, in addition to the sediment rating curve [64].

*Water temperature* is necessary in the sediment transport model to compute the fall velocity of particles at the limit of suspension flow. The difference between the observed daily mean water temperatures at the GSs is negligible (see 2008 values in Fig. 13), therefore, in the simulation was used the most complete available data set from Chiciu-Călărași GS over the 2008–2015 period [57] (Fig. 13). Fitted with a linear curve, they show an overall increasing trend during the 8 years study period by about two degrees.



**Fig. 12** Suspended sediment gradation curves at different discharge values

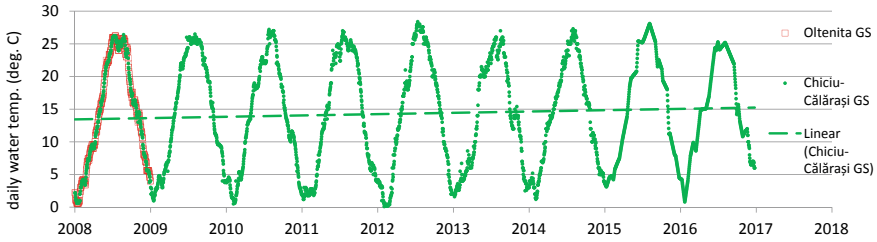


Fig. 13 Water temperature of Danube River over the study period

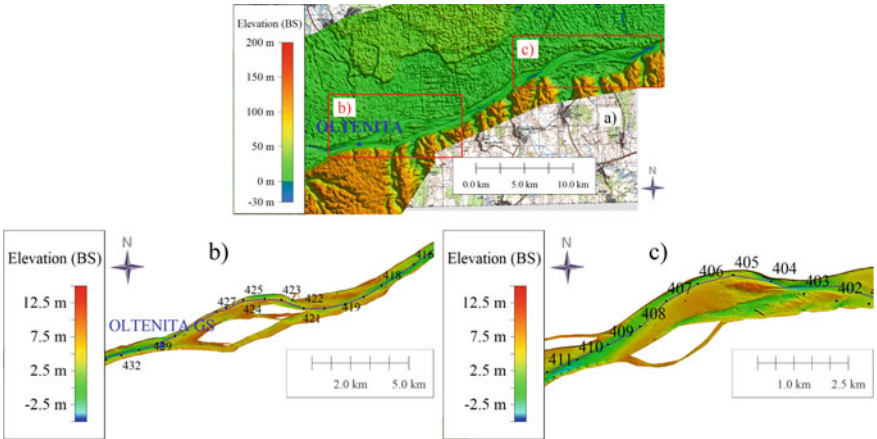


Fig. 14 a Detail of the topo-bathymetric DEM over Oltenita-Călărași subreach; b, c details of the channel bathymetry in the two selected rectangle areas from (a)

### 4.1.3 Topobathymetric Data

The topographic data was obtained from a 25 m resolution DTM over the study reach. Channel bathymetry [45, 70, 71] used throughout the model was surveyed between 3 and 11 May 2008 with a single beam echo sound system (Fig. 14). Surveyed points have a 1 cm vertical accuracy  $\pm 10\%$  of depth and are spaced at a transverse maximum distance of 10 m and a longitudinal one of 100 m. The emerging areas corresponding to islets were clipped from the topographic layer and merged with the bathymetric data to obtain a unique digital elevation model (DEM).

## 4.2 Data Processing for the Morphology Analysis

The planform changes of the main Danube channel in the studied area were observed through diachronic analysis of the (i) historical maps called “Planurile Directoare

de Tragere” (scale 1:20 000), which dates from 1889 to 1916 and are distributed by the Romanian community geo-spatial.org, (ii) Romania Topographical Map (scale 1:25 000) from 1974 to 1980, available for the teachers and researchers from the University of Bucharest, through the WMS service of the OpenGIS project, and (iii) satellite imagery of the Landsat 8 from 2013, downloaded from USGS EarthExplorer website. The authors are aware of the various discharge conditions depicted at the time of mapping or satellite image capture. The cartographic documents were chosen from the following considerations: the better accuracy of these maps compared with other historical cartographic materials and free access to all these materials. The satellite images were searched for the early summer months (June or July) of the years 2008–2015, the interval for which the hydro-sedimentary model was made. The image from 2013 was chosen for the reasons related to the availability of the products and the cloud cover.

## 5 Methods

### 5.1 *Sediment Transport Numerical Modelling*

Over more than the last century the scientific community has devoted extensive effort to predict the sediment transport rate by different equations. However, given the complexity of the two-phase, sediment-water flow field with variable distribution range of particles in terms of size and shape, no particular empirical formula has been universally accepted in the field for prediction of solid transport rate [72]. The challenge of sediment transport modelling is further complicated by the influence of model parameters on the computed sediment discharge.

Even though 2D and 3D sediment transport models are currently used, 1D models are still the best choice for long period of simulation times (over a decade or more) and long reaches (provided good accuracy topobathymetric data are available), when observed data for calibration are limited at the gauging stations. They have the advantage of smaller computation times, less computation resources and easier model setup. Taking into account that spatial sediment data are often rare (usually they are measured only at the gauging stations), building a more complex (2D, 3D) model with approximate data does not add value to the study. However, the simple 1D models have their drawbacks in terms of approximations and limitations; for example they are not able to capture hydro-morphological phenomena across the flow direction, in bifurcations, confluences, or around islands. Therefore, for such detail areas, where both horizontal components of the velocity vectors are comparable, a higher complexity model should be used, with boundary conditions resulted from the 1D model. One of the 1D/2D computational software is HEC-RAS (version 5.07), which has a good graphic interface in GIS environment with an experienced community of users.

### 5.1.1 1D Hydro-sedimentary Mathematical Model

HEC-RAS is a coupled hydraulic and sediment equations model that solves the Saint-Venant equations (for water, considered a Newtonian liquid at solid volumetric concentrations less than 5–10%), together with the Exner sediment continuity equation, the system being solved over a control volume:

$$\begin{cases} \frac{\partial h}{\partial t} + \frac{\partial Vh}{\partial x} = 0 \\ \frac{\partial(Vh)}{\partial t} + \frac{\partial(V^2h)}{\partial x} = -\frac{1}{2}gh\frac{\partial h}{\partial x} - gh\frac{\partial \eta}{\partial x} - C_f V^2 \\ (1 - \lambda_p)\frac{\partial \eta}{\partial t} = -\frac{\partial q_t}{\partial x} + D_s - E_s \quad q_t = q_t(V) \end{cases} \quad (1)$$

in which  $h$  is the hydraulic depth (in m),  $x$ —the coordinate along flow direction,  $V$  is the mean velocity (in m/s),  $\lambda_p$  is the active bed sediment porosity (nondimensional, necessary to translate mass change of water and sediment mixture into volume change),  $\eta$  is—the bed elevation,  $Q_t$ —the volume transport rate of total sediment (suspended and bedload, i.e. by rolling, dragging or saltation)  $q_t$ —the volume transport rate of total sediment per unit stream width =  $Q_t/B$  (in m<sup>2</sup>/s),  $D_s$  is—the deposition rate (volume rate per unit time per unit bed area stored from the water column on the bed, in m/s),  $E_s$  is—the erosion rate (volume rate per unit time per unit bed area removed from the bed by suspension into the water column, in m/s),  $g$  is—the gravity and  $C_f$  is—the bed friction coefficient. The Exner equation translates the difference between inflowing load ( $Q_{t-in}$ ) and outflowing load ( $Q_{t-out}$ ) from the control volume associated with each cross-section into bed change, eroding (in case of sediment deficit) or depositing (in case of sediment surplus).

While the hydrodynamic routing is performed from the downstream boundary of the modelled reach to the upstream boundary (backwater simulations), the sediment routing is computed with the flow (upstream to downstream). The non-linear equations are solved through the finite difference method. Computation time steps should be low enough so that bed changes should not produce computation instabilities.

To Eqs. (1), other mathematical formulations are added to the system, to turn the hydrodynamics into sediment transport capacity of the river. This depends on the excess stream energy over a critical value (in terms of shear stress or stream power), for which the flow begins to selectively move bed particles, depending on their diameter, shape and sorting. The modeler has to choose: (i) the most appropriate transport mathematical formula for the river hydro-sedimentary specific conditions [73]; (ii) the bed vertical sorting or armouring method and (iii) the equation expressing the fall velocity of particles. Since all these functions are empirical and obtained under certain conditions, the model has to be calibrated in order to reproduce the sediment flow as realistically as possible.

Different empirical transport functions are defined in the literature for the non-cohesive particles, used as simplifying assumptions for the study reach. In HEC-RAS library [74], one may choose from the Ackers and White, Engelund and Hansen, Laursen-Copeland, Meyer, Peter and Muller, Toffaleti, Yang models (Table 5). The choice of Laursen-Copeland equation [75, 76] was made based on river sediment

**Table 5** Range of main input values for sediment transport functions

		Ackers and White (1973)	Engelund and Hansen (1976)	Laursen-Copeland (1958, 1989)	Meyer, Peter and Muller-Toffaleti (1948, 2003, 2007)	Toffaleti (1969)	Yang (1973, 1984)
1	Laboratory flume/field	Flume	Flume	Flume and field	Flume	Flume	Field
2	Particles diameter (mm)/ $d_{50}$	Uniform non-cohesive sands and fine gravels (0.04–7)/N.A	Sand with substantial suspended load; N.A./ $d_{50} \in 0.19 \div 0.93$	Sand and gravel 0.08–0.7 (field)/0.011 $\div$ 29 (flume), variable	Sand and mostly gravel 0.4 $\div$ 29/N.A	Sand, 0.062–4/ $d_{50} \in 0.095 \div 0.76$	Sand 0.062 $\div$ 1.7 and gravel 2.5 $\div$ 7
3	Dependent variable	$Q_{st}$	$Q_{st}$	$Q_{st}$	$Q_{db}$	$Q_{sb}, Q_{ss}$	$Q_{st}$
4	Flow characteristics	$V, h, u^*, d$	$V, h, S, d$	$V, h, u^*, S, d, \omega$	$V, u^*_B, d, h, S$	$V, d, S$	$V, u^*, d, S, \omega$

Where:  $u^*$  = shear velocity (m/s),  $V$  = mean velocity (m/s),  $h$  = hydraulic depth (m),  $S$  = energy slope (-),  $d$  = characteristic/median diameter (m),  $\omega$  = fall velocity (m/s),  $Q_{st}$  = total sediment load (mass) transport rate (kg/s),  $Q_{sb}$  = bedload sediment transport rate (kg/s),  $Q_{ss}$  = suspended load sediment transport rate (kg/s)

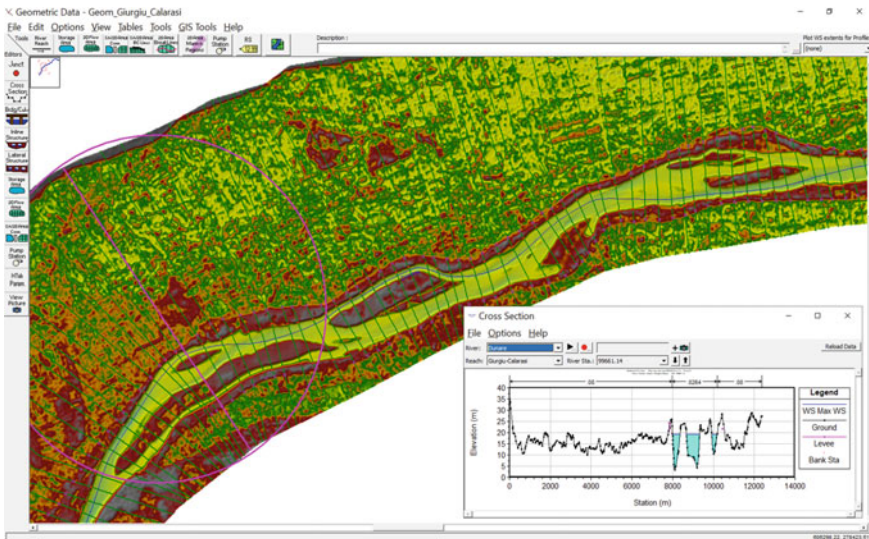
characteristics and previous modelling results obtained along the study reach [29, 72, 77] This function computes the total sediment discharge based on mean velocity, flow depth, energy slope, sediment gradation, shear and fall velocities.

The other two functions relate to physical processes limiting the sediment continuity equation. To compute critical fall velocity, the Rubey function [78] was chosen from Toffaleti, Van Rijn, Report 12 and Dietrich models [74], based on grain size distribution and bed characteristics. The last mathematical formulation accounting for sorting, mixing and armouring mechanisms from the mobile bed surface layer was chosen to be Thomas (from the Copeland and active layer’s formulations) [74].

### 5.1.2 Model Geometry

347 cross-sections were extracted from the DEM in Ras Mapper (the GIS environment under the 2D version of HEC-RAS 5.07), at a mean distance of about 350 m, following the crossing bathymetric trajectories (in order to reduce the interpolation errors). An example of the 1D geometry mesh of the model is shown in Fig. 15.

The red points in Fig. 15 representing main channel bank limits (where roughness coefficient changes from the main channel values to the floodplain ones), were initially placed from orthophotos and satellite images and then adjusted in each cross-section, according to bank inflection points and, after initial simulations, according to discharge values [79].



**Fig. 15** Extracting the 1D model geometry in HEC-RAS by cutting the DEM with cross-sections (detail over the study reach)



**Table 6** Boundary and initial conditions for the hydraulic and sediment transport model

Model	Condition type	Location	Used/necessary data
Hydraulic model	Boundary conditions	Upstream cross-section (Giurgiu GS)	Flow hydrograph (daily records)
		Danube confluence with Argeş River	Tributary inflow
		Downstream cross-section (Chiciu-Călăraşi GS)	Stage hydrograph (daily records)
	Initial condition		Water surface profile computed for initial value of the discharge
	Calibration coefficient	All cross-sections	Manning roughness coefficients for the channel and floodplain
Sediment transport model	Boundary conditions	Upstream cross-section (Giurgiu GS)	Inflow sediment rating curve ( $Q_{st} = f(Q)$ ) Sediment load-gradation curves
		Danube confluence with Argeş River	Lateral sediment rating curve ( $Q_{st} = f(Q)$ ) Sediment load-gradation ranges
	Initial conditions and transport parameters	All cross-sections	Bed sediment grading curves Maximum erodible depth/width Water temperature (daily records) Transport function—Laursen-Copeland Sorting method—Exner 5 Fall velocity method—Rubey

### 5.1.3 Boundary and Initial Conditions

The boundary and initial conditions used in the hydraulic and sediment transport model are summarized in Table 6.

## 5.2 GIS Analysis of Cartographic and Imagery Sources

Historical maps and satellite imagery were used to extract a series of geospatial data, necessary for the diachronic analysis and various thematic maps. To improve the

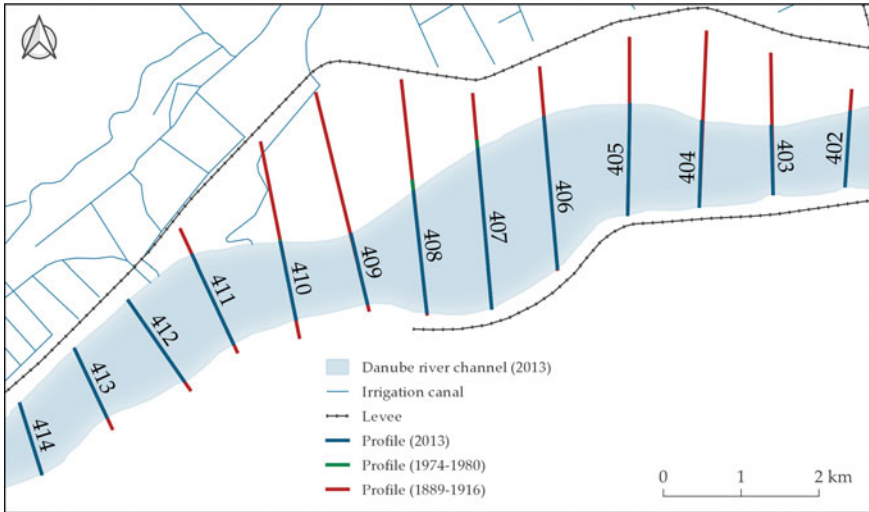


Fig. 16 Cross-section profiles for estimating river-channel width

spatial resolution of the satellite imagery, from 30 to 15 m, a pan-sharpening was performed, using the panchromatic band.

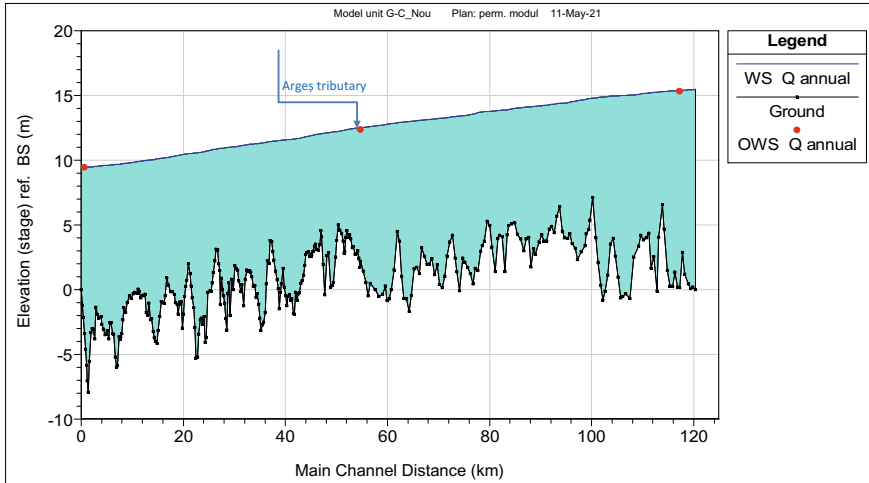
All geospatial data were processed, digitised and analysed in the open-source application QGIS. To analyse spatial-temporal planar changes of the riverbed relevant morphometric parameters were calculated, such as: the channel width and the number of fluvial islets and their emerging area. The width of the Danube River main channel in the study area was estimated using a GIS analysis of the polygons created for the riverbed from the historical maps and satellite images, aforementioned in 4.2. The widths were measured using a set of cross-sectional profiles drawn every river kilometre. An example of how the Danube channel widths were calculated is shown in Fig. 16.

The area and number of the geomorphological landforms of the river channel (islets with vegetation) were calculated from the cartographic and satellite image materials using the function *\$area* from QGIS software.

## 6 Results

### 6.1 Model Calibration

A sediment transport model relying on hydraulic parameters (flow, depths, velocities, shear stresses etc.) has to start with a well calibrated hydraulic model, which should accurately reproduce the flow over the whole range. Initial estimates of the global Manning roughness coefficients of the main channel and floodplain along the two



**Fig. 17** Water surface profile for the mean annual flow (distance is measured from downstream Chiciu-Călărași GS); WS = water surface, OWS = observed water surface

sub-reaches were obtained under steady flow regime simulations for the multi-annual discharges, by calibrating the model at Oltenița and Chiciu-Călărași on observed water stages (Fig. 17).

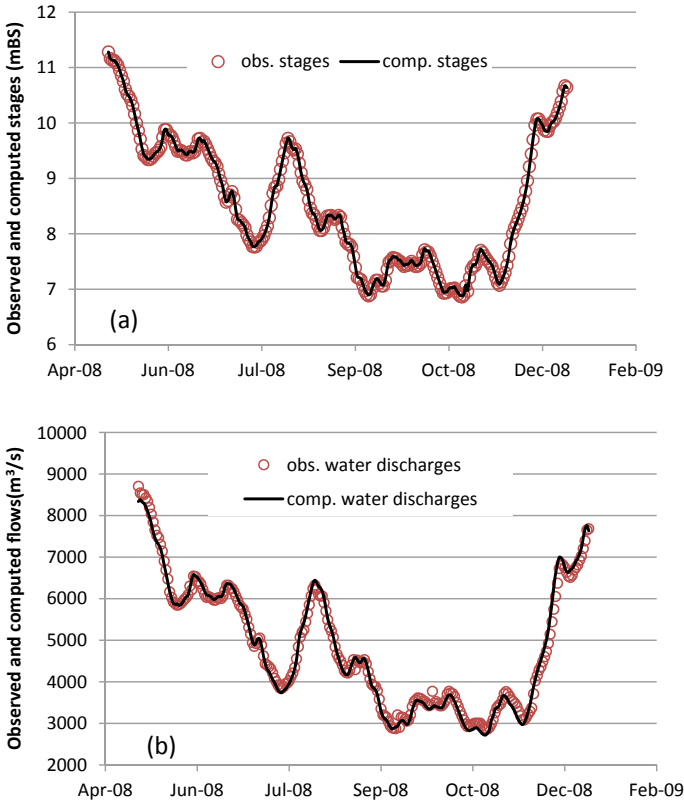
Hydraulic model calibration under unsteady flow conditions was performed by using a flow-varying adaptive roughness factors procedure of [80] for the year 2008, and validated on various hydrologic events over the entire study period 2009–2015. The model accuracy was assessed on deterministic metrics such as the Mean error (ME) and Root Mean Square Error (RMSE) in the form of following objective functions [80]

$$ME = \frac{1}{n} \sum_{i=1}^n (z_{i\text{comp}} - z_{i\text{obs}}). \tag{2}$$

$$RMSE = f = \sqrt{\frac{1}{n} \sum_{i=1}^n (z_{i\text{comp}} - z_{i\text{obs}})^2}. \tag{3}$$

In Eqs. (2) and (3)  $n$  = the number of data,  $z_{i\text{comp}}$  = computed and  $z_{i\text{obs}}$  = observed water levels both at the same GS and at the same time.

Calibration was performed by adjusting the flow-roughness factor until these functions were minimised at Oltenița and Giurgiu GS. Global roughness values vary roughly between 0.027 and 0.028 for Giurgiu-Oltenița reach and 0.029–0.03 for the Oltenița-Călărași reach within the main channel and from 0.078 to 0.086 for the areas in the floodplain. In Fig. 18 are shown some model calibration performances in terms of computed vs observed stages and discharges from 2008, whereas in Fig. 19 are

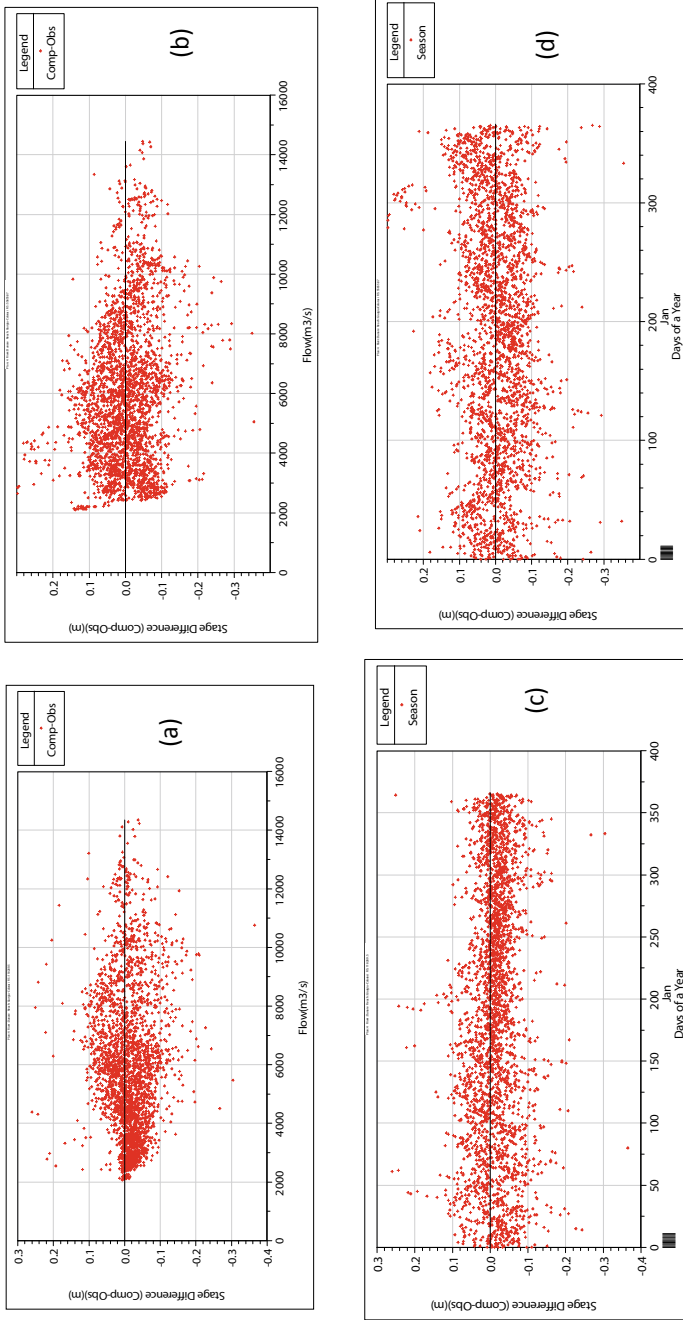


**Fig. 18** Calibration results: (a) computed and measured stages at Giurgiu GS. Simulation results: (b) computed and measured flows at Chiciu-Călărași GS

shown the model validation performances in terms of difference between computed and observed stages with discharge and time at Giurgiu GS and Oltenița GS.

Most absolute stage errors lie between  $\pm 0.2$  m, which means very good relative errors in terms of depth (of under 2–5%). Therefore, the hydraulic model can be considered to adequately reproduce real flows along the study reach over the whole range of possible discharges.

No second bathymetry was available during the study period, therefore the model calibration was performed by comparing computed with observed sediment discharge values at Oltenița and Chiciu-Călărași GSs. Since sediment transport is strongly non-linear and most of the load and bed changes are concentrated in relatively brief periods of high flows, models require small computational time steps. This is why a sensitivity analysis was performed at decreasing values the computational time step (from 1 h to 5 min). The highest value was retained for further simulations, from which, no differences in bed elevation were noticed by further decreasing it. Best results obtained with the Laursen-Copeland function are shown in Figs. 20 and 21



**Fig. 19** Validation results: stage difference (computed—observed) with discharge (a) and (b) and with time (c) and (d) at Giurgiu GS and at Oltenița GS

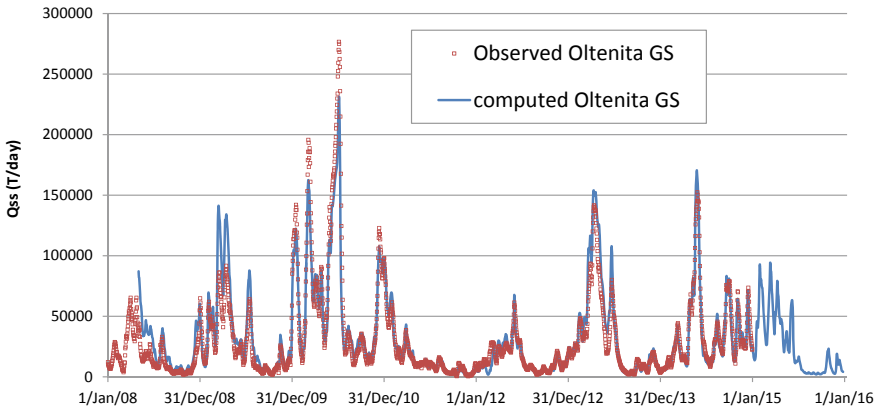


Fig. 20 Comparison between observed and computed total sediment discharge at Oltenița GS

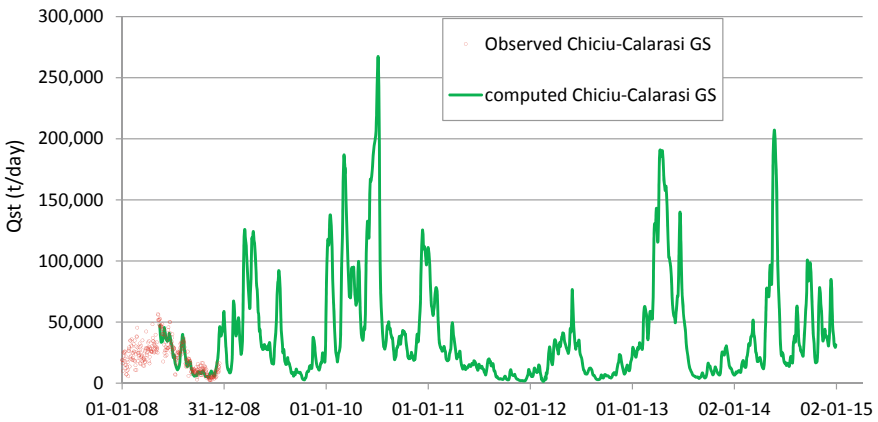


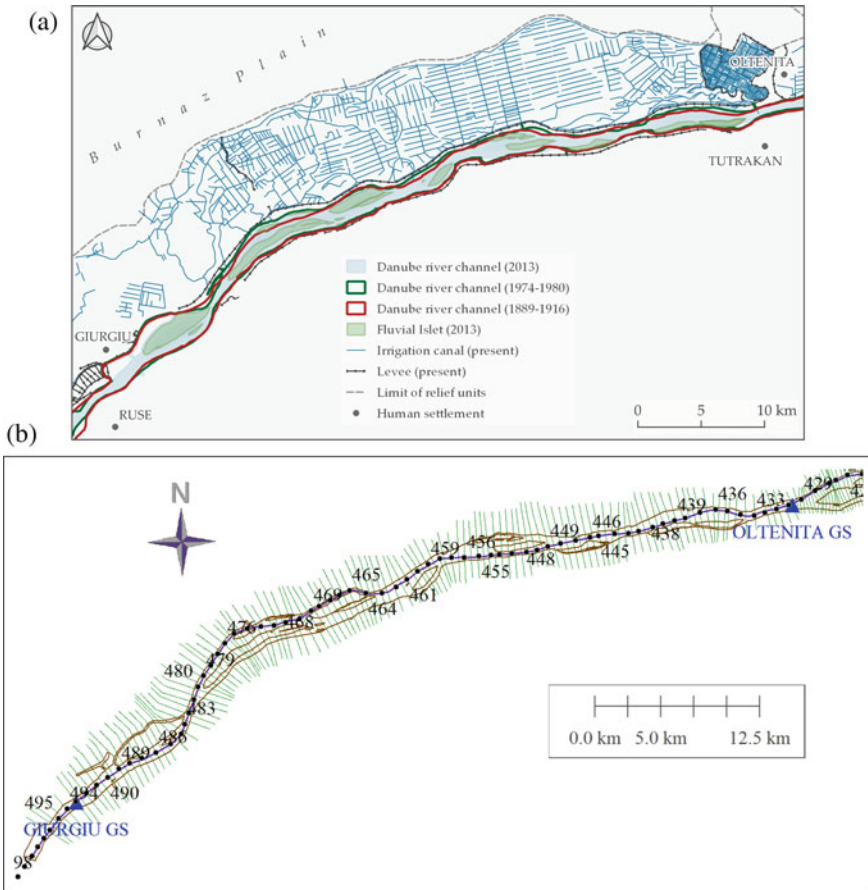
Fig. 21 Observed versus computed total sediment discharges at Chiciu-Călărași GS

at Oltenița and Chiciu-Călărași GS, respectively. For the downstream GS observed solid discharge values were available only for the first year.

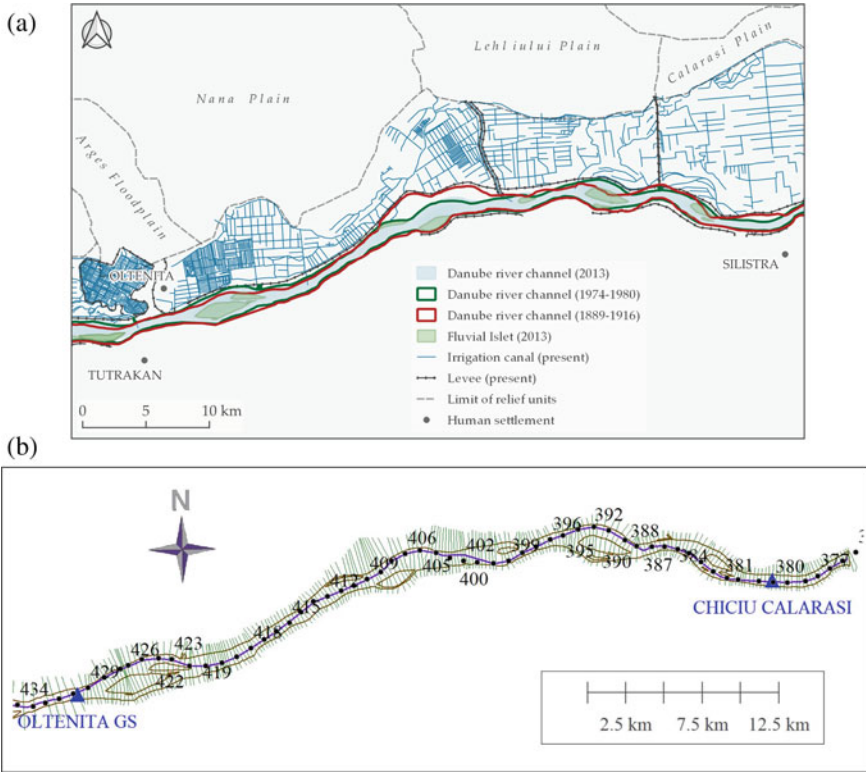
Given the lack of data on bedload discharge and the simplifying assumptions on tributary inflow, only a qualitative comparison between observed and computed sediment discharges could be performed for the year 2008 at Chiciu-Călărași GSs and for the years 2008–2014 at Oltenița GS. One may observe the highest differences are obtained during flood. This is expected in case of a strongly non-linear model, for which sediment discharge is a power function of water flow.

### 6.2 Planform Morphological Changes

The results of channel width measurements performed on maps and images (Fig. 22) show the study reach is part of the same evolution trend as other rivers in Europe, by narrowing or widening to maintain planform stability [45, 81, 82]. One can identify reaches where the river channel widens, due to erosion in the concave bank, such as near Gostinu village (rkm 471–480) (Fig. 23). Also, one may observe reaches where the river narrows down, such as the one near Giurgiu town (Fig. 22), due to structural works built since the XVIIIth century [44], and near Stancea-Chiselet-Manastirea village (Fig. 23) (rkm 403–414), due to the Mostiștea River alluvial fan. Fluvial processes were altered because of structural works that have been built in this area, especially between 1963 and 1978.



**Figure 22** a Planform changes of the Danube River channel between Giurgiu-Oltenița. b Chainage in rkm and islets



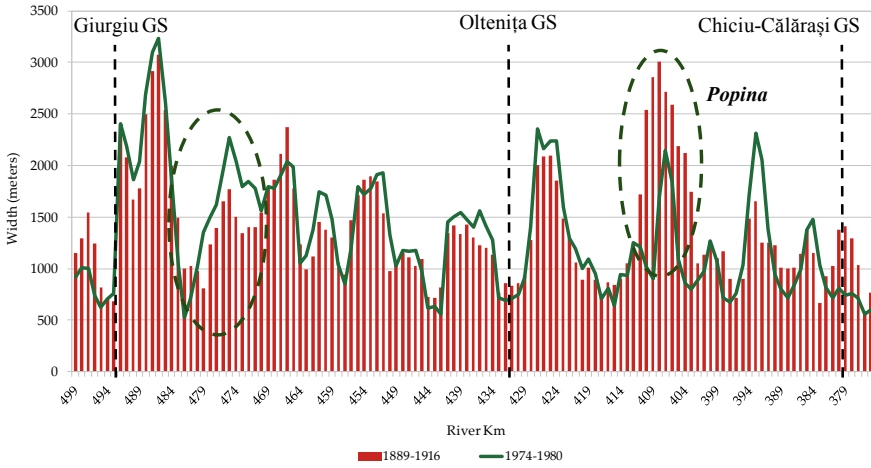
**Figure 23** a Planform changes of the Danube River channel between Oltenița and Călărași. b Chainage in rkm and islets

Posner-Nenciu and Armas [44] have identified the following design mistakes: (i) the wrong location choice of the first flood embankment of 1928; (ii) during the crest raising works of 1963, the embankment did not follow the convex and concave meanders of the channel banks, which favoured erosion in the convex area; (iii) the distance to which an embankment should be built from the river bank was shortened by about 100 m; (iv) in order to build the embankment, riparian vegetation has been cut [37].

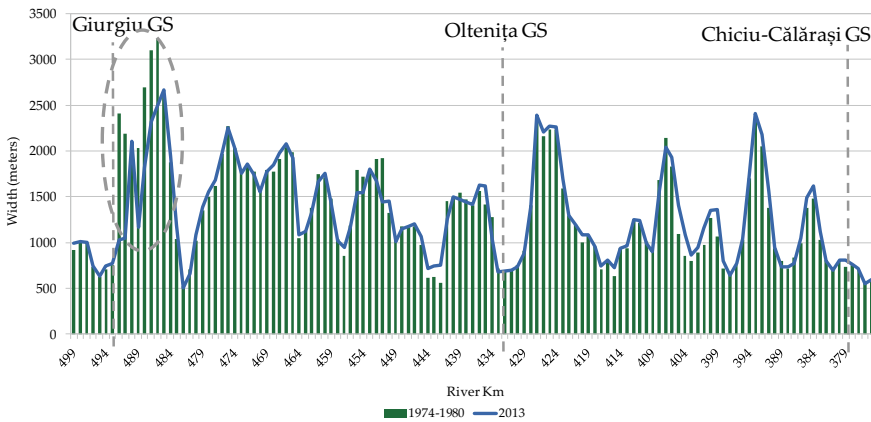
Channel width is one of the important morphological features of a stream that can be related to lateral migration of the fluvial banks or to the presence/absence of islets. In Figs. 24 and 25 is shown the measured river channel width displaying the alternating widening and narrowing sub-reaches, from rkm 499 down to rkm 375, during different time periods.

In Fig. 24 one may observe from the river channel width measurements performed on maps and satellite images, that between 1889–1916 and 1974–1980 periods there are sub-reaches where the Danube channel narrowed down to 1.8 km





**Fig. 24** Changes in the width of the active channel on historical maps called “Planurile Directoare de Tragere” (1889–1916) and Romania Topographical Map (1974–1980)

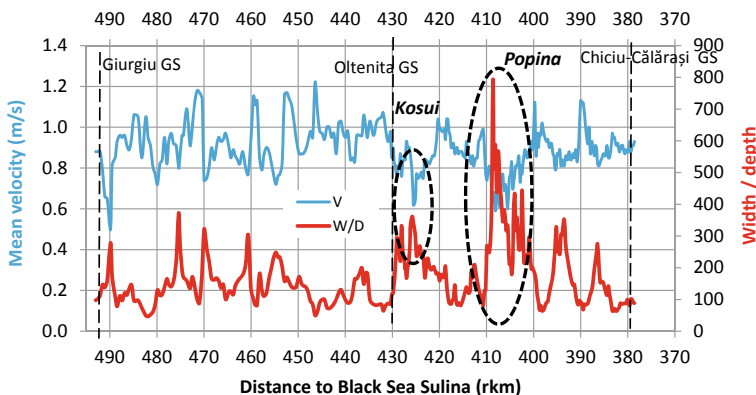


**Fig. 25** Changes in the width of the active channel on Romania Topographical Map (1974–1980) and Landsat 8 Image (2013)

(for example rkm 409) and reaches where the Danube channel widened by over 0.5 km (for example rkm 475–473 or rkm 393–392).

Figure 25 shows a comparison between measured widths from the 1974–1980 period and 2017 year. Significant changes can be observed between rkm 492 and rkm 483 downstream Giurgiu port), where the river channel narrows down to 1 km (for example rkm 487).

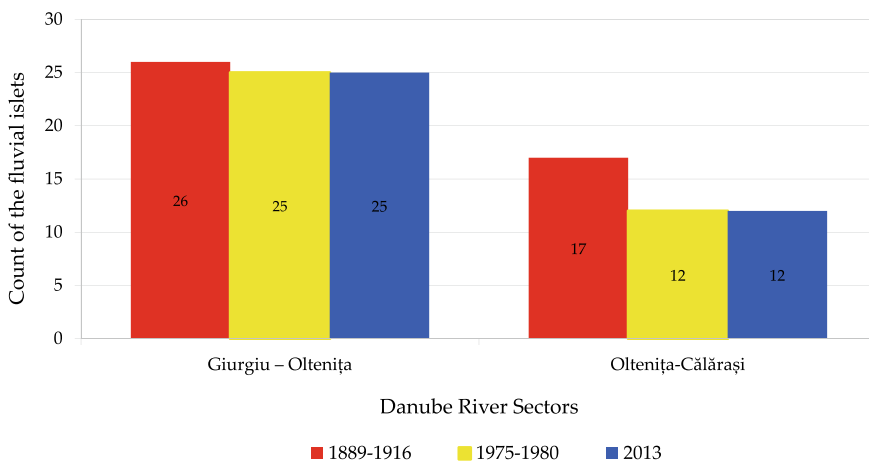
These morphological changes should be analysed in a hydraulic context. Therefore, with this purpose, the computed ratio width/depth has been represented in Fig. 26 as a function of velocity for the mean multi-annual discharge. One may observe from



**Fig. 26** Computed velocities and width/depth ratio for the mean multi-annual flow. Along the study reach (highlighted navigation critical spots and observed morphological alterations)

Figs. 24, 25 and 26 the aforementioned critical sub-reaches with a deposition trend correspond to areas where channel width has high width/depth ratios and where the velocities are very low and vice-versa.

Over the last century the number of fluvial islets from Giurgiu and Călărași decreased, varying from 43 in 1889–1916 to 37 in 1974–1980 and 2013. However, one may notice from Fig. 27 that the upstream Giurgiu-Oltenița reach has a higher fluvial islet density than the downstream Oltenița-Călărași one. The total area of these landforms varied in time from 34.09 km<sup>2</sup> (1889–1916) to 35.46 km<sup>2</sup> (for the interval 1974–1980—after the commissioning of the dams) and to 34.91 km<sup>2</sup> for year 2013. An important role in islets morphodynamics is given by flood frequency



**Fig. 27** Time evolution of the number of islets along Giurgiu-Oltenița and Oltenița-Chiciu Călărași

and intensity [11], as the morphological units act as sediment sources and location of deposition during these periods, particularly along sediment deficit river reaches, such as the study one.

### 6.3 Vertical Morphological Changes

In Fig. 28 one may see that the mean riverbed slope calculated from the bed elevation profile (thalweg line) is lower along the upstream reach than along the downstream reach. This difference in stream gradient is expected to lead to increased hydro-morphological alteration and corresponding erosion-deposition dynamics along the downstream reach. A thalweg elevation raise of more than 5 m can be observed downstream the confluence with Argeş River. This is a well-known navigation bottleneck and shallow area, next to Kosui islet, where processes of aggradation are produced mainly due to the tributary sediment inflow, entailing downstream enlargement of the river channel (see Figs. 24 and 25). Another critical spot for navigation is between rkm 401 and 408 (next to Popina settlement/Bulgaria), emphasized in Figs. 24, 26 and 28, where a submerged sand bar needs periodic dredging. Both critical areas have been documented and investigated through the Fast Danube European project [83]. Overall degradation of up to few meters was documented by the DanubeSediment project along Giurgiu-Călăraşi study reach between 1962 and 2017 [50], with local aggradation reaches, mostly downstream the Argeş confluence.

The downward movements in the Tutrakan depression enforced the confluence location of Argeş with the Danube (Fig. 29). The large sediment loads transported by the tributary in the past have built a submerged alluvial fan, which increased the bed slope along the Olteniţa-Călăraşi reach. Intense erosions down to bare rock (4 m in height) upstream Argeş confluence were recorded as a fluvial response to the cascade of dams, embankments and complex channel works from the late '80 s and also to the intensive sediment mining along the downstream stretch after 1990. All these led to dramatical alteration of the Argeş River morphological equilibrium [84] with possible consequences in the Argeş-Danube morphodynamical feedback response.

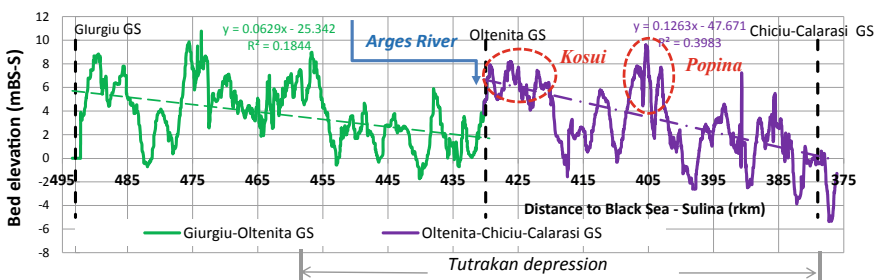


Fig. 28 Thalweg line and bed slope over the Giurgiu-Călăraşi reach

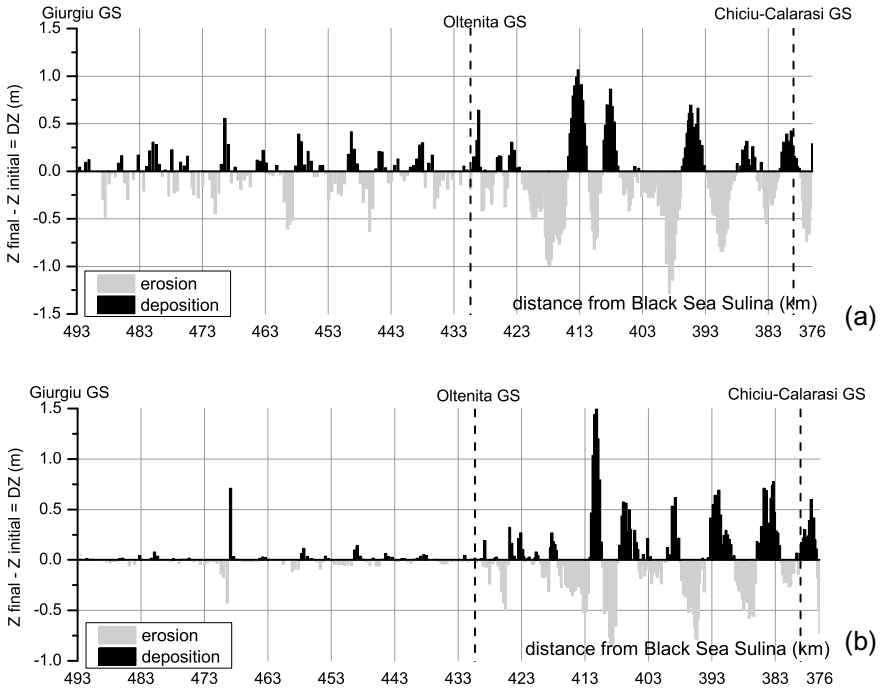


**Fig. 29** Simplified tectonic map of the Lower Danube study area (adapted after [85]). IMF—Intramoesian Fault (Tinosu-Fierbinți-Călărași), COF—Capidava-Ovidiu Fault, BDF—Balchik-Dulovo Fault, KVF—Kubrat-Vetrino Fault, LF—Lit Fault, OF—Osam Fault

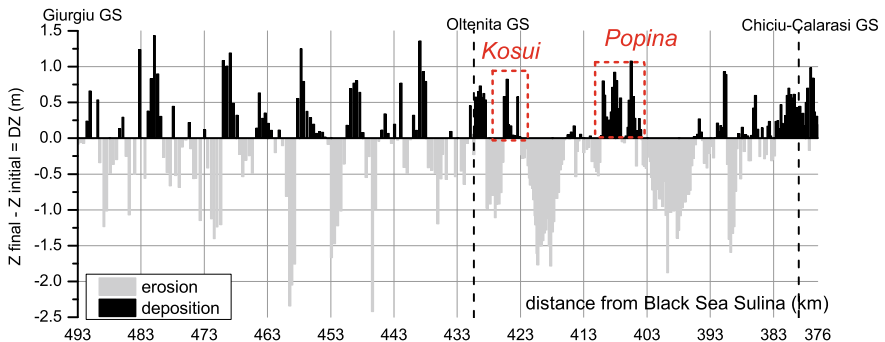
On the other hand, the tectonic uplift near Chiciu-Călărași (at rkm 370) most probably triggered Danube River to split and create the left Borcea branch, thus reducing the bed slope and velocity and further decreasing the total width downstream rkm 370 (from about 1000 to 650 m along the Old Danube and to 170 m along the Borcea branch). These led to intensified aggradation processes along the Old Danube (right branch) [18]. Because of that, the reach downstream of rkm 370 is very critical for fluvial navigation.

In Fig. 30 computed annual sediment erosion deposition patterns are shown for two typical years: one wet (2010) and one dry (2011). One may see these processes are much more intense over the downstream reach and locally reversed between the dry and wet years, this mechanism being part of the equilibrium morphological adaptation of the fluvial system [86–88]. The observed pattern is a very small time-segment of a slow aggradational cycle, due to boundary conditions (e.g., sea level rise). Therefore, in a cumulative plot like the one from Fig. 31, over a period of many years (2008–2015 for the computation case) results depend very much on the predominance of dry/wet years. Therefore, a long-time scale of analysis is needed to assess the impact of climate change on river morphology.

Cumulatively, in space and over the 8-year study period, the upstream reach proves to be much more stable morphologically on a timescale of the order of decade, whereas the downstream reach has a slight degradational trend. This trend has also been notified by other studies [40]. Batuca and Buta [66] reported for the two-decade period between 1980 to 2000 an overall bed degradation of 0.65 m at rkm 504 (in cross-section over 2.5 m erosion of the left bank and a 2 m deposition on the right bank), a local erosion more than 2 m at rkm 476 and an overall aggradation of 0.5–0.75 m at Oltenița GS. For this Arges-Danube confluence region near Oltenița GS a 2D model should be set up, since the riverbed displays a large deposition area of 5 m in height on the left bank and a 2–3 m erosion in depth on the right bank.

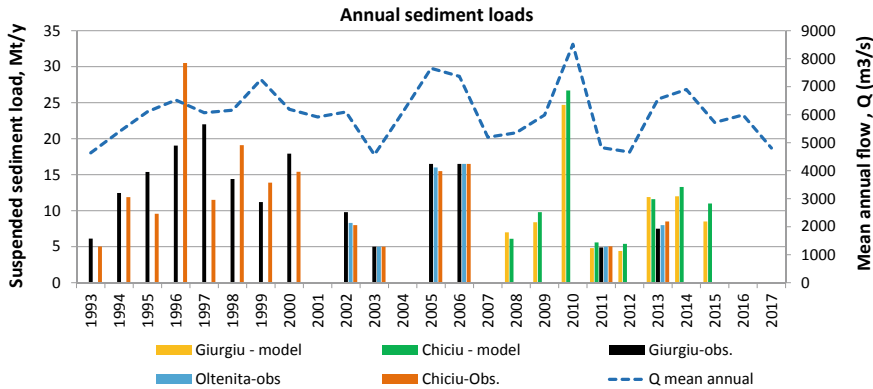


**Fig. 30** Annual erosion–deposition over the study reach for a a) wet year (2010) and b) a dry year (2011)



**Fig. 31** Cumulative vertical changes along Oltenița Călărăși reach observed during the study period (2008–2015)

Intensive local erosions have also been observed at rkm 389–391 between 2008 and 2017, when another bathymetry was performed [40]. Navigable maps and reports on improving navigation conditions along Danube [70, 89] show corresponding fairway bottlenecks or shallow areas (critical spots with low water depths) at the following locations: rkm 472–476 (near Gostinu islet), rkm 460–463 (near Mishka islet), rkm



**Fig. 32** Sediment budgets at the gauging stations. Comparison between observed and computed annual sediment loads (budgets)

455–458 between Giurgiu and Oltenita GSs and rkm 425–428 (downstream Oltenita, near Kosuy ilsets), rkm 420–422, rkm 410–412 (near islet Albina) rkm 404–407, rkm 401–399 and rkm 382–385 between Oltenita and Chiciu-Călărași GSs.

However, given the chaotic nature of the sediment transport processes and of the simplifying assumptions of the 1D numerical model, erosion/deposition plots in Figs. 30 and 31 should be interpreted with caution. This is mainly because degradation/aggradation processes do not occur in fact uniformly over the wetted perimeter of a cross section.

### 6.4 Sediment Budgets

A comparison between observed [18] and computed annual suspended sediment loads at gauging stations along the Giurgiu-Călărași reach is shown in Fig. 32. Unfortunately, observed data were available for only two years (2011 and 2013) during the study period. However, the mean multi-annual suspended sediment load over the study period (2008–2015) and corresponding value from another period of observations (1993–2006) are of the same order of magnitude: at Giurgiu GS 10.2 and 12.8 Mt/year respectively, while at Chiciu-Călărași GS 11.2 and 12.5 Mt/year, respectively.

## 7 Conclusion

As most of the European large rivers, the long-term sediment balance over the Lower Danube has strongly been disturbed mainly due to the combined impacts of flood protection measures, dredging works and hydropower dams. Consequently, there has been a reported solid discharge decrease of nearly 1/4 of the values prior to 1900 [17, 27, 51].

By combining a longer time scale morphological analysis with a detailed spatial investigation over a shorter time from a sediment transport model, present study offers an advancement in understanding river morphological processes over the Giurgiu-Călărași reach under hydrodynamical context, considering also the natural and anthropogenic drivers.

The hydro-sedimentary model presented in the paper proves how complex and difficult a task is to predict sediment discharges in natural rivers, even when all the necessary data are available and the complexity of the model is the lowest (1D). This type of modelling requires careful data management, knowledge of the algorithms, skilful model application and calibration and contentious interpretation of results.

Spatial and temporal variation of hydrodynamic parameters and bed elevation in each cross-section of the 1D model geometry, together with annual cumulative sediment loads were obtained from numerical simulations. The results highlight the areas with dominant erosion / deposition processes due to the solid transport occurring during floods.

Results show the upstream Giurgiu-Oltenița sub-reach is more sediment-balanced than the downstream Oltenița-Călărași one, the entire reach having a slight overall deficit in sediments over the modeling period 2008–2015. Areas with intense local erosion confirm the general incising trend of a disrupted continuity fluvial system exposed to human disturbances. Riverbed degradation also lowered the groundwater table and led to changes in floodplain ecology reported by other studies.

Critical areas of local aggradation have also been observed from both the diachronic image analyses and from the hydro-sedimentary model results. The most important one is downstream the confluence with Argeș River, where the tributary has created over the time a submerged alluvial fan. Before the construction of the cascade of hydropower dams, Argeș brought into the fluvial system large quantities of sediments. This contributed to an increased slope along the downstream Oltenița-Călărași sub-reach and to increased channel morphodynamic behaviour. Most of the deposition areas found into the study are also reported by the online navigation maps as shallow waters or bottlenecks causing navigation problems, especially during low-flow periods. The diachronic image analysis proved additional long-term morphological planform alterations, such as: channel width modifications, islets migration and changes of their emerged area.

The interdisciplinary study is a step forward in searching for different points of view on the hydrodynamics of sediment transport and morphological response of the Danube fluvial system. An improvement of the sediment model could be obtained if the computed morphology is to be compared with a subsequent bathymetry (such

as the one from 2017). However, one should not forget about the limitations of a simplified, one-dimensional model.

## 8 Recommendation

The following recommendations could contribute to a better understanding of the complex hydro-sedimentary behaviour of river systems subjected to multiple anthropogenic stressors:

- to design a national database, integrating activities such as mining of riverbed aggregates for construction works or local governments' needs, and dredging for navigation purposes. This database should use information provided in environmental and water management consents and/or permits. Such a database should be publicly available to the scientific community.
- to set-up a GIS database for locating the aforementioned activities and to monitor them over time.
- to develop 2D and 3D models, that could more accurately simulate and track hydro-morphological processes of rivers. These models should be based on the improved data sources, that provide information on anthropogenic influences and their interconnected controlling factors.

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# Hydro-Environmental Specifics of the Lower Danube Bulgarian Tributaries



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**Abstract** Significant part of the Danube River function relates to the crucial connections with its tributaries. This is linked to the water resources, organic and inorganic substances, and biological elements provision. Such interaction is absolutely natural and impact on the current state of the Danube River. Thus, of great importance is to have good knowledge and understanding about the connected processes. For this purpose, many factors such as climate, physico-geographical, land and hydrological specifics, anthropogenic features, etc. must be considered. But of especial importance is to observe also additional aspects as extreme or rare events, such as droughts or floods occurrences, which in many cases impact harmfully on the rivers ecosystems state and society. Thus many additional measures were proposed to mitigate these effects. Connected initiatives cover both legislative and practical aspects, to guarantee best results obtaining. For this purpose constantly are developed various scientific investigations and practical solutions, management approaches, programmes and strategies.

The present chapter is focused on the specific issues in the area of the Bulgarian tributaries of the Lower Danube River. The following topics have been addressed:

- basic description of the Bulgarian Danube plain, considering the main geographical features (topography, climate, hydrology, etc.);
- legislative and management brief review, with regard to the implementation of Bulgarian and European directives (e.g. Water Framework Directive, Floods Directive), and related documents;
- hydro-environmental specification concerning the Bulgarian Tributaries of the Lower Danube River, their qualitative state and extreme events.

For their better clarification, in the chapter briefly are presented some of the most applicable methods that are used worldwide, in the European Union and Bulgarian practice. Described aspects are examined with regard to the needs and functions of the ecosystem. Presented issues are illustrated by the results of original assessments and practical examples from both real flood and drought investigations.

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## 1 Introduction

The Bulgarian part of Lower Danube covers 5.90% of the Danubian basin. In the Danube River inflow seven main and several small Bulgarian rivers. They contribute to the Danube quantitative and qualitative status. For this reason, it is important to know their general specifics, and how they are changed in time. This is connected to constant monitoring and investigation of main hydrological and environmental issues, to the analysis of current state and trends, to obtaining of reliable understanding on their behavior. Because of their importance is well to consider also the local specifics of climate, topography, land cover, urbanization, anthropogenic features, etc.

In this context, the present chapter aims to characterize the Bulgarian Danube plain and the main rivers draining this area, in order to highlight their general features and the main challenges related to their behavior. For the purpose there briefly are outlined the main hydrological specifics, with focus on the extreme events like floods and droughts. As a result of such hydrological hazards, significant effects on the environment and ecosystems often occur. But additional impacts are also observed. For example, floods have both negative and positive impact, depending on the extent of overflow. Observed impacts are connected to the inundation of large areas with loss of crop production and farms damages, disruptions of river banks, infrastructure, buildings and settlements, and/or social stress. Droughts are also part of the hydrological cycle. They occur after prolonged precipitation deficit and lead to serious water stress or shortages of water with effects on the agricultural and farms production, industry, and households.

To mitigate such events different measures and actions were designed and implemented in practice. They cover both management and legislative solutions. To clarify such implementation, in this chapter briefly are considered main horizontal and vertical actions that are developed. The analysis covers Bulgarian regulation and legislative acts. They transposed the approved European legislative measures, as Bulgaria is a European Union member.

Based on such regulation, different practical solutions are also implemented. They are briefly presented, by characterizing the most applicable approaches in the country. They are connected also to provision and maintenance of good hydro-physical quality of the river systems. Such aspects are analyzed for the Bulgarian Danube tributaries.

All of presented issues are provided based on the ecosystem approach. They correspond to the purposes and instruments connected to the Danube River Protection Convention and existing legal and research documents. In many cases existing knowledge is insufficient [1–10, etc.] This study gather the existing knowledge with new investigated features of the Bulgarian Danube tributaries and provide useful scientific information for decision and policy makers.

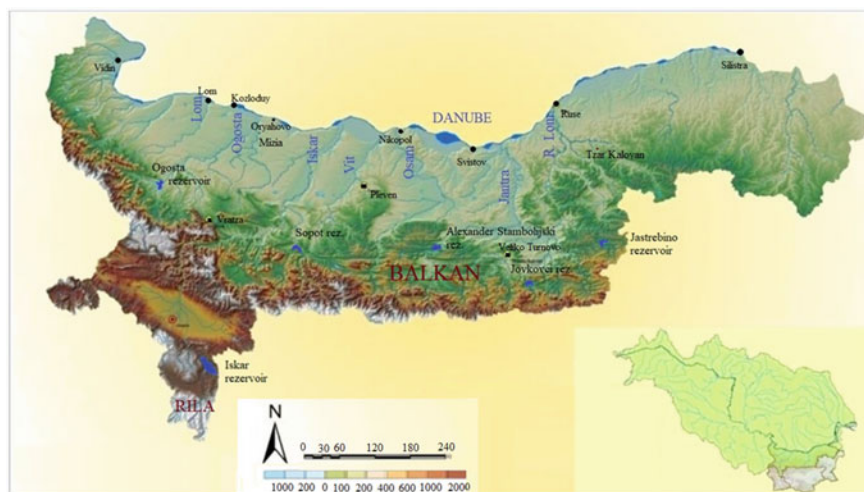
## 2 Bugarian Danube Plain: General Features

The Danube River is a northern borderline of Republic of Bulgaria with Romania (Fig. 1). In the western Bulgarian part it starts from border between Bulgaria and Serbia. It flows 470 km to the east, before reaching Dobrudja Region. On the North is situated Romania and on the South is the Danube plain that extends to the Balkan Mountains. Numerous islands are situated along the Danube River.

The Danubian floodplain is part of the Danubian Plain, developed on both sides of the Danube River (in Bulgaria and Romania), part of the morphostructure of the Moesian plate. The relief is characterized by lowlands and plateau forms, asymmetric and canyon-like river valleys, dry valleys, landslides, and karst forms. The hilly and plateau-like character of the relief is divided by the valleys of the rivers crossing it. The diverse relief allows division of the Bulgarian plain into three sectors.

The western sector starts from the border between Bulgaria and Serbia, and extends to the Vit River. It is the lowest one, with an altitude of about 130 m amsl. To the west of the Lom River, the valleys have a canyon-like character, and to the east, they are asymmetrical. The slope of this part of the Danube valley is in a north-easterly direction. In this direction are oriented the valleys of the larger tributaries of the Danube River as Lom, Ogosta, Iskar, Vit, etc. Following the slope, the rivers shifted their beds to the east, eroding the right slopes of their valleys. As a result, asymmetrical valleys resulted—with steep right and sloping left banks. The river valleys divide the loess plateaus.

The middle sector extends between the rivers Vit and Jantra. It is transitional part with mean altitude of about 138 m a.s.l. In its western part is located the asymmetrical valley of the Vit River. To the east are the wide valleys of Osam and Jantra Rivers. The



**Fig. 1** Bulgarian Danube basin



relief there is hilly. Along the Danube River, there are the most extensive alluvial lowlands. In this part are observed areas with active landslides along the Danube bank.

The eastern sector is characterized by a typical plateau-hilly relief and a higher altitude. Rusenski Lom River is the last main Bulgarian tributary of the Danube. In the eastern part of this sector, there are five more rivers, but their water periodically reach the Danube River. Karst landforms of the relief are common for this part of the Danube Plain. Active landslides are also observed on the Danube bank there. The mean altitude of the Danube plain is 178 m amsl.

## ***2.1 Climate of the Danubian Plain***

Despite the small territory (111,000 km<sup>2</sup>) Bulgaria has a variable and complex climate that is influenced by the strongly contrasted Continental and Mediterranean climates, as well as by the topography and land coverage specifics. Mountains in most cases act as barriers of the air masses, creating a sharp contrast in weather over a relatively short period. Such effect is caused by the Balkan Mountains. It hampers the Mediterranean influences and as a result the continental climate predominates in the Danube plain. The flat relief that is open to the north favors the continental air masses easily to flow into the territory of the Danube plain. As a result during springs, summers and autumns moist air masses easily occur, as winters fall under the Eastern European anticyclone, that brings cold Arctic air mass and abundant snowfalls [11, 12].

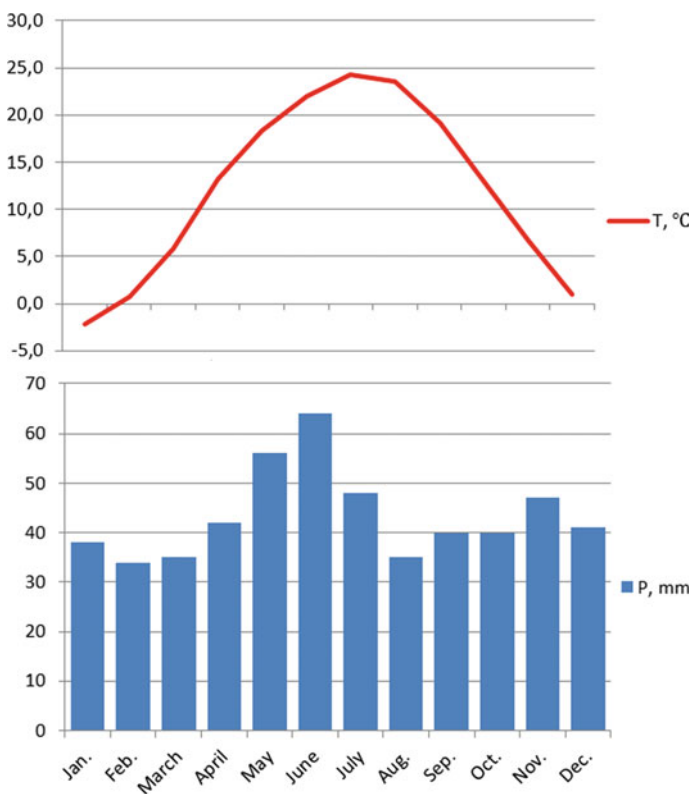
In the Danube Plain summers are typically warm and winters very cold [13] with January the coldest month while July is the warmest. The average annual temperature is 11.4 °C. Details about the average air monthly temperatures for a weather station representative for the Danube plain, located in Oryahovo village, is presented in Fig. 2.

Precipitations are almost irregularly distributed over the year and the territory. The average annual precipitation in the Danube Plain is 520 mm. The maximum is registered in June and the minimum—in February. The variation of the average monthly precipitation measured at Oryahovo weather station are presented on Fig. 2.

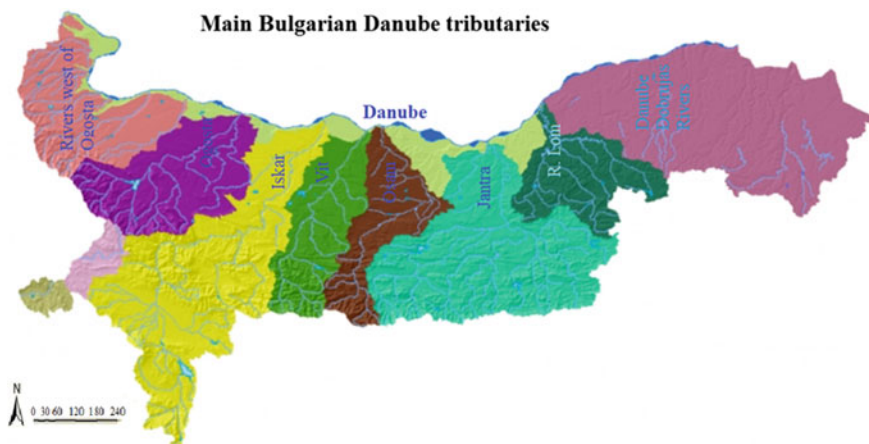
## ***2.2 Hydrography and Hydrology***

The Bulgarian catchment of the Danube is 47,413 km<sup>2</sup> [1]. There are six main Bulgarian tributaries of the Danube—Ogosta, Iskar, Vit, Osam, Jantra, and Rusenski Lom, and smaller rivers, west from Ogosta, and in the eastern part of the sector, in Dobruja. The main watersheds within the Bulgarian Danube basin are presented in Fig. 3.

As a result of the topography, the mentioned rivers are relatively short—with a maximum lengths of about 370 km. Their catchments are relatively small, with



**Fig. 2** Average air monthly temperatures (T) and average monthly precipitation (P) in Oryahovo weather station, 1931–1980, data source [13]



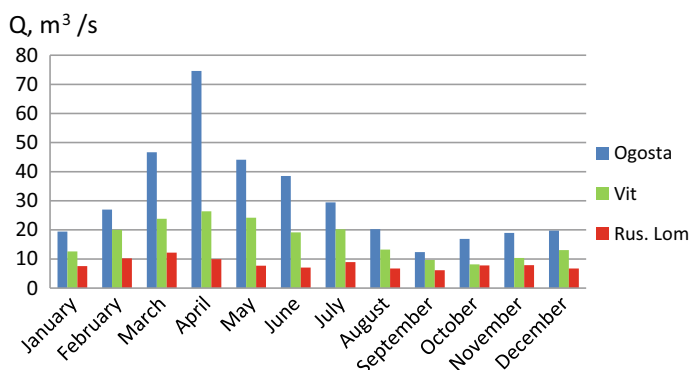
**Fig. 3** Bulgarian Danube basin and the main Bulgarian watersheds [1]

**Table 1** The main Bulgarian Danube tributaries (based on [1])

Name	Length (km)	Source	Catchment area (km <sup>2</sup> )
Lom	92.5	Midzur peak, Balkan Mountain	1140
Ogosta	141.1	Vraza glava peak, Balkan Mountain	3157.1
Iskar	368	The confluence of Cherni and Beli Iskar rivers, Rila Mountain	8646
Vit	189	The confluence of Cherni and Beli Vit rivers, Balkan Mountain	3225
Osam	314	The confluence of Beli and Cherni Osam rivers, Balkan Mountain	2824
Jantra	285.5	Atovo padalo peak, Balkan Mountain	7862
Rusenski Lom	50 (197 km with the main tributaries)	The confluence of Cherni Lom and Beli Lom rivers	2947

areas of about 3,000 km<sup>2</sup>, excepting the Iskar and Jantra catchments extended on about 8000–9000 km<sup>2</sup> (Table 1). The sources of some of the rivers are smaller watercourses that after confluence formed the main rivers. Most of them accumulate their discharges from the northern slopes of the Balkan Mountains. Close to the Danube River, the topography is flat, covered by arable land, permanent grassland, or permanent crops. A particular case is the Iskar River that is the only river that sources south of the Balkans—from the Rila Mountain. In Table 1 are presented the main characteristics of mentioned rivers, based on the information published in the River Basin Management Plan [1]. They are showed also on Fig. 1.

The flow regime of the Bulgarian Danube Tributaries is closely connected to many factors like local climate and topography, land cover, soil specifics, and existing engineering works. Climatic factors are of especial importance. Major role play the precipitations (in liquid and solid form), and most of the rivers have rainfall-snow supply. The richest precipitation in the area is registered in the highest altitudes of the Balkan Mountains—in the west and the central part of the mountains (over 1000–1200 mm yearly). As a result, the runoff is high in this area. Northerly of the Balkan, due to the decrease in altitude, the annual precipitation diminishes up to 650–700 mm, and consequently the runoff also decrease. Precipitations and snowmelt are determinative for the river maximums flow. As a result are observed high waters in spring and low waters in summer and autumn. Typically maximums discharges are registered in March–April, as a result of the snowmelt. Low waters are observed from June–July to October–November, and the minimum discharges often occur in August–October. There are some rivers that flow in karstic basins (Vit River and Danube Dobrujas Rivers). Practically the Danube Dobrujas Rivers reach the Danube



**Fig. 4** Hydrograph of the rivers Ogosta, Vit and Russenski (Rus.) Lom (2001–2010)

by their underground flow. Hydrographs for the period 2001–2010 for the rivers Ogosta, Vit and Russenski Lom are presented in Fig. 4.

The quantitative status of the surface water is determined by regular monitoring of the rivers runoff in the Danube plain. In 2018 the annual volume of the Bulgarian Danube basin was  $9.752 \times 10^6 \text{ m}^3$  [11]. This volume is changed in time. It is closely connected to the rivers flow regime and extreme events occurrences.

### 2.3 Biodiversity of the Danubian Plain

Biodiversity in the area is very rich. Several important natural protected areas are located the Danubian plain.

The most famous is the Srebarna Natural Reserve, which includes the freshwater lake Srebarna and its surroundings, located on the Via Pontica—a bird migration route between Europe and Africa. It is a home of 139 plant species, 39 mammal, 21 reptile and amphibian and 10 fish species. Almost 179 bird species nest on its territory [14]. Of importance is also the Persina Natural Park, situated along the Bulgarian valley of the Danube River, including also the Persin island. They are engaged in conservation and restoration of the Danube wetlands and biodiversity protection. There are many small islands planned also for conservation both inside and outside the parks borders.

Of interest is also the Rusenski Lom Nature Park, located on the tributary Rusenski Lom. It protects a scenic canyon that is a habitat for many cliff breeding birds and bats. It is in charge with the protected site Kalimok-Brushlen marches. It is a home of about 242 birds, more of them rare and endangered species. Within the protected area can be found about 14 species of reptiles, also several amphibians [15]. The Natura 2000 sites located within the Bulgarian Danube floodplain are showed in Fig. 5.



**Fig. 5** Natura 2000 sites in Bulgarian Danube floodplain, covering Bird Directive Sites (SPA) and Habitat Directive sites (based on [16])

## 2.4 Socio-Economic Aspects and Water Uses

The Danube plain cover territories situated in the districts Vidin, Montana, Vratsa, Pleven, Veliko Tarnovo, Ruse, Silistra, Lovech, Gabrovo, Shumen, and Sofia province. Main population centers are the towns Ruse, Vidin, Svistov, Silistra, Nikopol, Kozloduy, Lom, etc., with main centers Pleven, Vratza, Lovech, Gabrovo, Shumen and Veliko Turnovo. There is no high rate of urbanization. In the past many of the lakes and swamps situated along the Danube River were dried up. They were converted into agricultural land. In the middle of XX century the whole territory was planned for agricultural use. In order to increase the agricultural yield in the past were designed huge branches of irrigation systems. To provide water for different socio-economical needs in the territory many reservoirs were constructed on the Danube tributaries—generally for irrigation purposes. Reservoirs are situated mainly in the mountain areas. Nowadays within the Danube plain operate following irrigation systems branches: Vidin, Mizia, Middle Danube and Lower Danube. They manage, operate and ensure the integrated use of water. Today the agricultural works are smaller than in the period of XX century, but are still very active. Significant water transfer between irrigation branches and river watersheds is not longer active. Details about main Danube plain territory reservoirs are presented in Table 2 and illustrated on Fig. 1.

**Table 2** List of the main reservoirs on the Bulgarian Danube tributaries [1]

Rezervoir	Municipality	Volume, in m <sup>3</sup>
Iskar	Sofia City	673,000,000
Ogosta	Montana	506,000,000
Alexander Stambolijski	Gabrovo, Veliko Turnovo	205,500,000
Jovkovci	Veliko Turnovo	92,179,000
Jastrebinov	Turgoviste	63,000,000
Sopot	Lovech	61,800,000

Existing dams within the Danube plain serve for water regulation. But downstream the dams, the streamflow is not much affected by this regulation works. As a result, in some cases floods and inundations occurred in the floodplain, result of significant precipitations. To protect and mitigate the vulnerable areas from this hazards, some of rivers were corrected, or embanked.

### 3 Legislation and Management Issues

Leading principle in Bulgaria regarding the environment is the accepted ecosystem approach concerning management and legislation. As a member state of the EU, Bulgaria transpose in the legislation the EU policies. The main legislative documents are:

- Water Framework Directive (WFD 2000), Directive 60/2000/EC [17];
- Directive 2007/60/EC (Floods Directive, 2007) [18];
- Directive 92/43/ECC (The Habitats Directive, 1992) [19];
- Convention on Biological Diversity (CBD, 1992) [20];
- Common implementation strategy for the WFD, River Basin Management in a changing climate (CIS TR-2009–040, 2009) [21], etc.

They are implemented in practice along with the National legislation. The following legislative documents are also in force:

- Environment protection Law (2014) [22];
- Water Law (2015) [23];
- Regulation No 1/11.04.2011 for water monitoring [24];
- Regulation No H-4 from 14.09.2012 for surface water characterization [25];
- Regulation of terms and conditions for technical and safe exploitation of dams and related facilities, and their technical state control (2016) [26];
- Biodiversity Law (2017) [27];
- Protected Areas Law (2013) [28];
- National strategies and documents (National programmes in the field of protection and sustainable development of waters, such us: Marine Strategy; National Strategy for Management and Development of the Water Sector; etc.).

The main institutions in charge with the environmental issues at National Level are the Ministry of Environment and Water (MOEW) and connected to it four river Basin Directorates, the Executive Environment Agency—Bulgaria (ExEA-Bulgaria), administration with the Minister of Environment and Water, and sixteen Regional Inspectorates of Environment and Water. The river basin Directorates are separated on a river basin principle. The Danubian plain is under the authority of the Danube River Basin Directorate, having its headquarters in Pleven city. Its main activities are related to:

- development and implementation of the Plans for River Basin Management [1] and Flood Risk Management Plan [2] and their update;
- provision of sufficient quantity and quality of water for the needs of the population, economy, and ecosystems;
- control of water resources and discharges of wastewater and development of monitoring systems;
- mitigation of adverse climate impacts, associated with floods, droughts, and water scarcity;
- inland and transboundary waters protection and sustainable management.

Their work is connected also to coordination with the existing strategies and plans for the International Basin of the Danube River, national concepts, strategies, plans and programs, regional strategies, plans and programs, municipalities plans and programs (as the Municipalities Development Plans, Municipalities Programmes for Waste activities management, etc.), plans for protected areas management, and water protected zones management.

## **4 Hydro-Environmental Issues of the Bulgarian Tributaries of the Lower Danube**

Considering the holistic approach for the river systems study of importance is to gather together all factors that are determinative for the rivers environmental function. For this purpose of importance is to observe the qualitative and quantitative status of the rivers, but also to consider the occurring of extreme events. The knowledge about such phenomena is crucial as in many cases they lead to serious modifications in the rivers state and harmful effects on the surroundings. This requires broad and general understanding of such occurrences, centering on their main characteristics and study approaches.

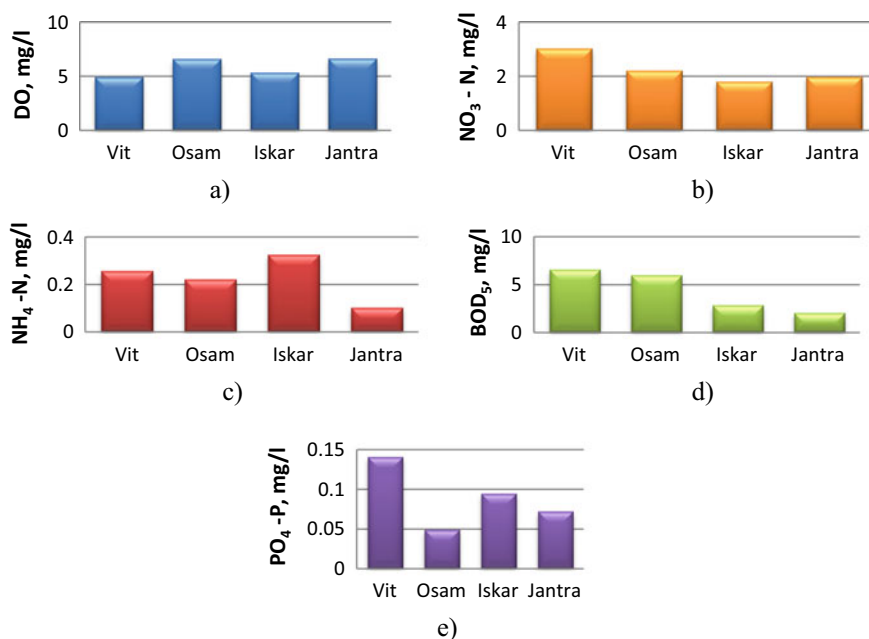
### ***4.1 Qualitative State of the Rivers Water Bodies***

The hydro-environmental specifics of the Danube plain are detected by the proper monitoring of main hydro-environmental elements. In Bulgaria, the responsibility of this activity on the national level belongs to the Executive Environmental Agency (ExEA-Bulgaria). It is part of the administration with the Ministry of Environment and Water. It is dealing with the management, coordination, and information flow as regards the control and environmental protection and regularly monitors the environmental components and factors on the whole country. Regarding waters, regularly physico-chemical and hydro-biological monitoring is provided. Emission control and hydromorphological monitoring are also provided. Results are publically available and periodically published in Water status reports.

To determine the physico-chemical status of surface waters by regular monitoring the following indicators are measured: dissolved oxygen, biochemical oxygen demand, ammonium and nitrate nitrogen, phosphorous. Detected physico-chemical quality elements show that most of the results determine high and good quality. In Fig. 6 are presented the average annual concentrations of the indicators mentioned above, for main Bulgarian Danube tributaries, in 2019, based on ExEA-Bulgaria data. The results show that the measured elements for the rivers Vit, Osam, Iskar and Jantra vary from high, to good, and moderate status [8].

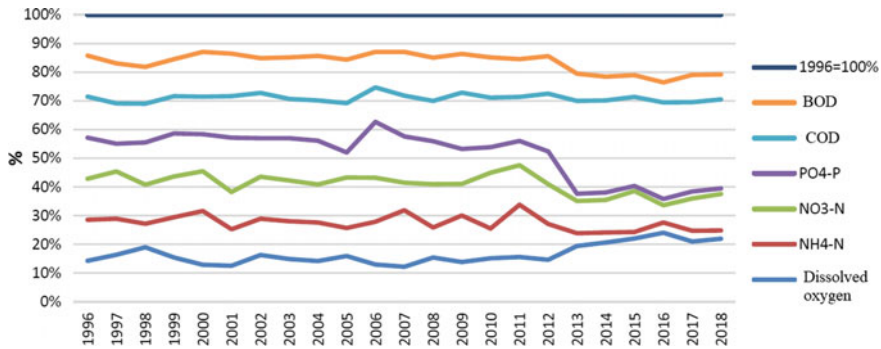
In the Bulgarian Danube River Basin for the period 1996–2020, the concentrations of DO,  $\text{NH}_4\text{-N}$ ,  $\text{NO}_3\text{-N}$ ,  $\text{BOD}_5$ , and  $\text{PO}_4\text{-P}$  decreased comparing with the previous years. Recently results of the monitoring showed a long-term upward trend in the surface water quality [8]. Figure 7 represents the variability of the annual quality parameters, estimated with regard to 1996, adopted as a base.

Some of results are used in the preparation of the Danube River Basin Management Plan [1]. There are accounted all the provided investigations considering the ecological status of the water bodies, and the indicators impact. As a result, the ecological quality status of the water bodies was determined, as illustrated in Fig. 8. In the period 1999–2020 progressive improvement of the subsurface chemical waters quality was also observed.

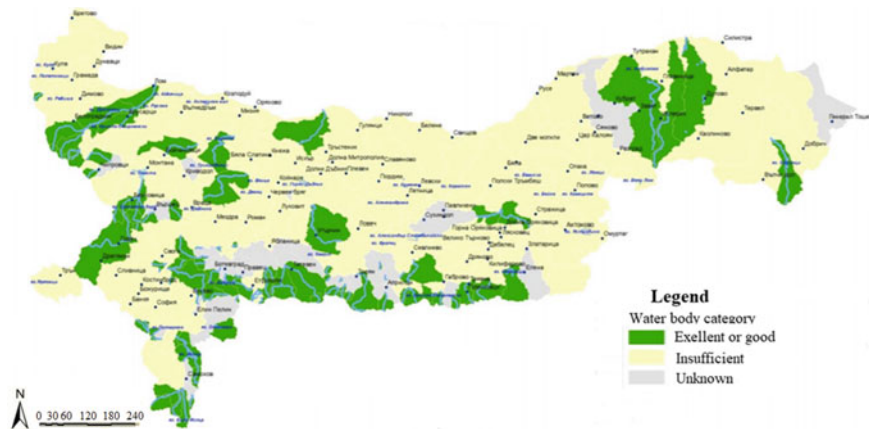


**Fig. 6** Average annual concentrations of (a) dissolved oxygen (DO), (b) nitrate nitrogen ( $\text{NO}_3\text{-N}$ ), (c) ammonium nitrogen ( $\text{NH}_4\text{-N}$ ), (d) biochemical oxygen demand ( $\text{BOD}_5$ ), and (e) phosphorous ( $\text{PO}_4\text{-P}$ ) in 2019 for main Bulgarian Danubian tributaries (based on data from ExEA-Bulgaria, 2020)





**Fig. 7** Variability in concentration of main indicators of the chemical status of surface water in Bulgarian Danube River Basin, estimated with regard to 1996, adopted as a base [1]



**Fig. 8** Surface ecological water bodies status in the Bulgarian Danube River Basin [1]

The hydro-biological state of rivers is determined by direct monitoring in selected monitoring points in the summer-autumn season. Evaluation is presented in five classes—high, good, moderate, poor, and bad status. For 2018 the Benthic Invertebrate status of rivers in the monitoring points of the Bulgarian Danube River Basin in percentage was as follow: 18%—in high status, 11%—in good status, 11%—in moderate status, 19%—in poor status, and 41%—in bad status [8]. Samples were taken by one-off samplings.

## **4.2 *Extreme and Risk Events***

As a part of the hydrological cycle, in the river systems behavior are observed also unusual events, classified as extremes or risk events. Such events are floods and droughts. In many cases, they can be accompanied to harmful consequences on nature, ecosystems, human activities, artificial structures, economy, social life, etc. Their occurrence is a natural phenomenon but can be also a result of human activities, or of both natural and human factors. Thus, in the process of their investigation it is important to properly consider all related factors, as well long term effects connected to the climate influences like climate change, in order to assess the associated risks. For this purpose are accepted two main approaches—investigations on past events and development of models for future scenarios. In Bulgaria such approaches are performed generally for flood events. Droughts are less analyzed—generally concerning climate factors and meteorological drought. Connected to this topic, below are briefly presented main issues related to the Bulgarian Danube tributaries. They are based on the existing legal documents and investigations in the area presenting also the potential for future studies.

### **4.2.1 Flood Events**

Floods are processes characterized by temporary covering by water of land not normally covered by water, excluding sewerage systems [17]. Generally occur in coastal and inland territories. Coastal areas are exposed to coastal floods and storm surge, thus inland areas are exposed to river floods, inland flooding and flash floods.

River floods occur in rivers and stream channels. They happen slowly or suddenly as a result of excessive rainfall, thunderstorm or snowmelt. The water level rises and overflows the riverbanks covering with discharges connected floodplain. Inland floodings happen over several days of steady rainfall, after a short and intense period of rainfall, or after significant snowmelt. They are observed also when water ways get blocked by debris, ice or dams. Often are referred to urban floodings. Flash floods mostly happen as a result of extremely intensive rainfall over a short period of time, but can occur as technogenic damage—in case of dams or levee break. They are characterized also by violently torrents that happen with little or no warning. Often rip through river beds, urban streets, canyons. As a result of all presented floods connected territories such as floodplain and riparian areas, urbanized areas, and agricultural land are highly endangered. For this reason in many cases they are accepted as hazardous or risk events.

In the Bulgarian Danube plain in the last decades, several serious floods occurred. One of the most damaging of them hit Mizia town and surroundings in August 2014. It was caused by Skat River, a tributary of Ogosta River (Fig. 9).

This region is vulnerable to floods and flooding because of the climate and flat terrain specifics. In recent years many such events occurred:



**Fig. 9** The Skat River and the settlements affected by floods in August 2014

- on 15.04.2003: flood outside the Mizia town, caused by significant precipitations and snowmelt; consequences: flooded agricultural land;
- in August 2005: flood outside of Mizia town generated by important precipitation; consequences: flooded agricultural land, damaged pedestrian footpaths, flooded pumping station, water supply and sewage OOD Vratsa, damaged roof of an Early Childhood Centre “Zdravetz”;
- on 16.05.2010: flood in the town area, caused by significant precipitation and snowmelt;
- 16.06.2010: flood in the town area, caused by significant precipitation and snowmelt; consequences: destroyed asphalt road;
- on 1–2 August 2014: extreme flood caused by significant long lasting precipitation, with serious consequences.

After July 22, 2014 the Northern Bulgaria territory was under transiting low pressure area. Almost daily it was raining in the Vratsa region, till July 31. In the first two days of August heavy rainfall was registered in the area. The Agency Hail Suppression presented that between 16 o'clock on July 31 and 9 o'clock on August 1, significant precipitation was recorded with a center situated west from the Bjala Slatina town, with maximums in the villages Malorad and Devene. As a result, the whole Skat river catchment was saturated and a significant surface runoff supplied the Skat river and caused floods and floodings in provinces Vratsa, Borovan, Bjala Slatina, and Mizia. The most affected were the Mizia town and Krushovitsa village, located on the river downstream (Fig. 9).

As a result of the flood many properties in Mizia and the surrounded areas were underwater—agricultural land and farmyards, streets, gardens, cellars, etc. (Fig. 10) The river levee was broken in two places. More than 1200 houses were affected, more than 700 houses needed renovation, 103 buildings and other structures collapsed. The water supply and sewage, electricity, and transport infrastructure were damaged. Crop and animal production was lost. More than 800 people were evacuated, and two persons were found dead [29].

Another serious flood affected the Tzar Kaloyan town on August 6, 2007. As a result of significant precipitations and the flood occurred on Hlebarovska River the town was underwater (Fig. 11). Part of the nearest situated micro reservoir Ezerche



**Fig. 10** Mizia town flooded in August, 2014 (Author Chilikova-Lubomirova)



**Fig. 11** Tzar Kaloyan town after the flood 2007 (Author Chilikova-Lubomirova)



**Fig. 12** Areas with significant potential flood risk in Bulgarian Danube River Basin [2]

was broken. A flood wave hit the town and consequently 15 houses were destroyed, other properties were underwater and seven victims occurred [29].

On a national scale measures for floods prevention and risk mitigation are connected generally with the preparation and implementation of the Flood Risk Management Plan—Danubian Basin [2], and with related documents in the context of the Preliminary evaluation of the flood risk and flood hazard maps. In this context, were determined and published main flood hazard areas with significant potential flood risk for the main Danube tributaries based on significant floods happened in the past with potential to happen again (Fig. 12).

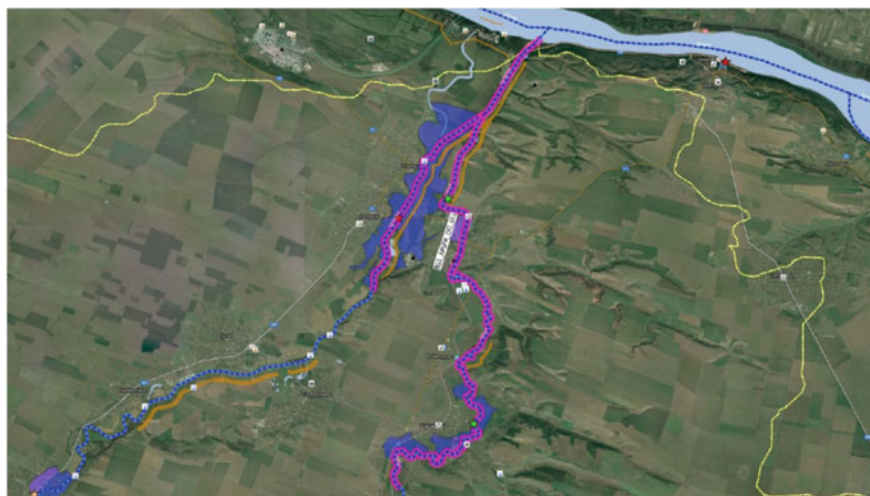
Flood Risk Management Plans present also models for the areas vulnerable to floods with probability of occurrence 1% (Fig. 13).

Additionally, the municipalities designed various measures for mitigation and prevention purposes connected to human health protection, increased protection of the environment, higher protection of the critical infrastructure and business, for enhancing the preparedness and reactions of the population face to floods, etc.

#### 4.2.2 Hydrological Droughts

Drought is a natural phenomenon, result of significant precipitation deficiency. Its occurrences are irregularly distributed in time and space. Practically this is a multi-aspect event, associated with all components of the water cycle, characterized by meteorological, soil moisture, and hydrological impacts. In practice it is estimated with regard to past events or future scenarios.

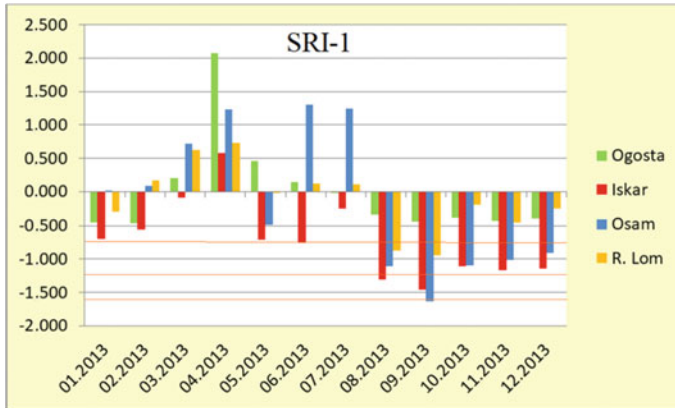
Most often it is associated to the forecasts connected to the expected future climate. For this purpose, usually are developed climate projections for main parameters as temperature, precipitations and extreme events. Such projections were developed also



**Fig. 13** Modeled Mizia flood territories, for floods with probability of occurrence of 1% [2]

for Bulgaria using the new Representative Concentration Pathways (RCPs) scenarios of the Intergovernmental Panel on Climate Change (IPCC). They were performed for RCP 8.5 (pessimistic scenario) and RCP 4.5 (intermediate scenario) compared to a reference period (1976–2005). For the Danube plain, the results show rise of mean temperature for summer months by 1.7 °C and decreasing of precipitation by 12% (RCPs 4.5 for the horizon 2021–2050) and rise of temperature by 2.1 °C and decreasing of precipitation by 10.6% (RCPs 8.5 for horizon 2021–2050). For the winter months the climate projections show: rise by 0.7 °C of mean temperature and rise of precipitation with 15.5% (RCPs 4.5 for horizon 2021–2050), and rise of temperature by 0.8 °C and rise of precipitation with 2.5% (RCPs 8.5 for horizon 2021–2050) [1]. There is no available information about such systematic investigations on streamflow, but following are presented results of scientific investigation.

For description of the ultimate low hydrological state is accepted the term “hydrological drought”. It is applied to less than normal amounts of water represented by low water levels in streams, reservoirs, lakes, etc. Practically surface water drought is accepted as a natural water deficiency over-sufficiency long period in relation to the average value, resulting from precipitation. In this context, for its characterization it was accepted a similar index to those used for to the atmospheric drought investigation, namely the Standardized Runoff Index—SRI [30, 31]. As mentioned above, it is similar to the Standardized Precipitation Index—SPI [32, 33] and has common theoretical base. Evaluations are conducted after selection of a representative time scale for the investigated drought. With regard to this scale, the abnormal water state is evaluated transforming the streamflow data into a standardized evaluation. Usually drought is evaluated on monthly (SRI 1), seasonal (SRI 3) or yearly (SRI 12) base. For the evaluation, the following scale proposed by the Expert Group on Water Scarcity and Drought, EC [7, 29] was used:



**Fig. 14** Monthly SRI values for the rivers Ogosta, Iskar, Osam and Rusenski (R.) Lom in 2013

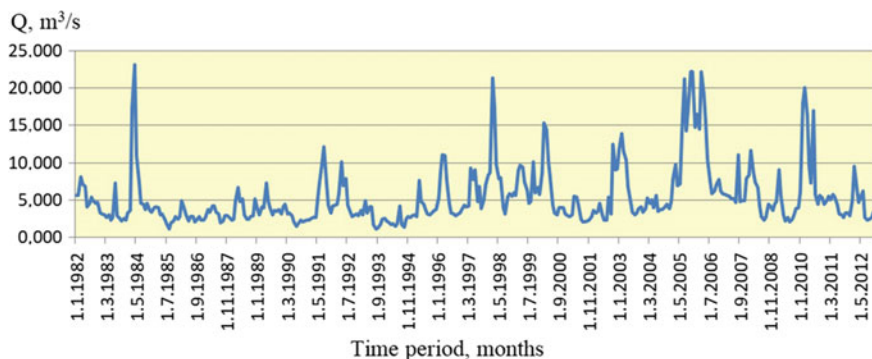
- 0.84  $\geq$  *SRI*  $\geq$  –1.28 (a) corresponds to moderate drought;
- 1.28  $\geq$  *SRI*  $\geq$  –1.65 (b) corresponds to severe drought;
- SRI* < –1.65 (c) corresponds to extreme drought.

On this base drought evaluation was performed by computing the SRI 1 values in 2013, at monthly scale for some main tributaries of the Danube River, by using the discharges data recorded at the monitoring stations located in the lower part of the selected watercourses: Ogosta River—HMS 16850, Iskar River—HMS 18850, Osam River—HMS 2200, and Rusenski Lom River—HMS 31830. The results are presented in Fig. 14 and show that during the year 2013, severe and moderate hydrological droughts occurred.

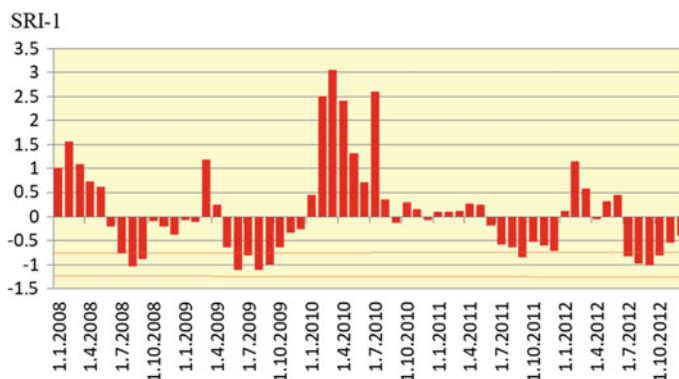
Severe drought was observed in the case of the Iskar River during the months of August and September, and in the case of the Osam River, during September. Moderate drought was observed in the period October–December for the rivers Iskar and Osam, as well as August for the Osam River, and August and September for Russenski Lom River. But drought was not detected for Ogosta River. This shows once again that the drought phenomenon is irregularly distributed in time and space, and it is closely connected to the local conditions. Even neighborhood situated rivers as Ogosta and Iskar represent different behavior with regard to the connected topography and climate conditions.

To clarify the drought process some additional investigations focused on the Rusenski Lom River were also performed. They aimed to investigate the river's behavior in time. For this purpose the monthly mean discharge at the station HMS 31830 for the period 1982–2012 was used. The hydrograph (Fig. 15) show high variability of the average monthly discharges.

Hydrological drought investigations were also performed on Rusenski Lom River by the implementation of the Standardized Runoff Index SRI-1. During the period 1982–2012 there were observed cases with moderate, severe, and extreme droughts. The most affected by drought periods were 1993–1994, 1990–1991, and 1985–1986.



**Fig. 15** Hydrograph of the average monthly discharges of Rusenski Lom River (HMS 31830) for the period 1982–2012



**Fig. 16** Monthly SRI values for the Rusenski Lom River (HMS 31830) (2008–2012)

Droughts were observed also in 2008–2009, 2011, and 2012 (Fig. 16). The most frequent drought periods are during the summer. Extreme droughts occur during August and September, and severe drought can be observed often in June, July, September, and October.

## 5 Conclusion and Recommendation

The Lower Danube state is influenced significantly by the tributaries and their behaviors. To clarify the problem, in the chapter briefly are presented main Bulgarian tributaries of the Lower Danube River and their major specifics. The analyzed rivers are: Ogosta, Iskar, Vit, Osam, Jantra, and Rusenski Lom. Their watersheds are located mainly in the Danubian plain, extended in the northern Balkan mountains, under the



influence of the continental climate. As a result, in springs, summers, and autumns typically are observed moist air masses, and the Eastern European anticyclone typically occurs in winters bringing arctic air mass and abundant snowfalls. Summers are typically warm and winters very cold.

Due to the topography, the rivers in the Danubian plain are generally shorts, creating relatively dense hydrographical network. Most of the rivers are with rainfall-snow supply. As a result, the flow regime has high waters during spring and low waters during summer. Some of the analyzed rivers are strongly influenced by anthropogenic works (some of them are corrected or modified with levees and dams).

The Danube River and the adjacent floodplain are home to many species (plants, mammals, reptiles, amphibians, and fishes). The biodiversity is very rich, thus in the area several important natural protected areas were designed.

The hydro-environmental features of the Danube plain are determined by proper monitoring of the main hydro-environmental elements. Regarding waters, regularly is provided physico-chemical, hydro-biological, and hydromorphological monitoring. Emission control is also provided. Main chemical indicators that are controlled are dissolved oxygen (DO), biochemical oxygen demand (BOD<sub>5</sub>), ammonium (NH<sub>4</sub>-N) and nitrate (NO<sub>3</sub>-N) nitrogen, phosphorous (PO<sub>4</sub>-P). Their measured values show that the rivers water bodies have high and good quality. For the period 1996–2020 the concentrations of DO, NH<sub>4</sub>-N, NO<sub>3</sub>-N, BOD<sub>5</sub>, and PO<sub>4</sub>-P had lower values compare with the previous years. Surface water quality results show a long-term upward trend.

The Danube tributaries hydro-environmental specifics are closely related to the river flow regime and extreme events occurrence (floods and droughts), which are irregularly distributed in space and time. Recently there were observed large floods with severe damages (affected agricultural land, households, infrastructure, as well as economical, and life loses). Observed flood occurrences are not connected to the typical high flow conditions. In the studied area drought events were also observed with a grade from extreme to moderate.

To mitigate the harmful hydro-climatic consequences, different measures were designed. They are connected both to the adopted legislation and management practices. As a European Community Member State, Bulgaria accepts and strictly implements the EC legislation. In this regard, main tools designed and applied in practice are the River Basin Management Plans (RBMPs) and Flood Risk Management Plans (FRMPs) and connected documents. They account both the local specifics and national regulations. All adopted measures are adapted to the existing ecosystems, and for this purpose, the ecosystem approach is widely applied in the designed measures.

Presented material gives valuable information on the current Bulgarian Danube tributaries state. It properly complements the ongoing monitoring with river basin management measures. But long-term measures require good understanding of the on-going processes, and investigations on flood risk are timely and appropriate. Regarding the flood risk management, the extension of preventive measures is recommended. This means, among others, to consider the early warning systems implementation, to develop all connected research and investigations, to create a decision support system (DSS), etc. This will ensure better reaction helping to harmful

effects mitigation, protection of the population and its goods, as well as the ecosystems preserving. Floods reduction measures also need to be improved. This means to observe the options for water reservoirs usage for floods retention, and also to implement additional nature based retention measures when needed. This will help the ecosystems protection and their natural state ensuring.

Development of scenarios for future climate and its hydrological impacts is another useful measure for negative weather behavior mitigation. They can be considered as a first step for future hydrological state detection. To be effective, they need a systematical analysis and river basin specifics accounting. For this purpose, of importance is to have a good knowledge about the past events behavior. On this basis, some potentially vulnerable territories in the future can be detected.

This study presented also information on the past hydrological droughts occurred on some Bulgarian Danube tributaries. They are scientifically grounded and can be used in future for the Danube basin hydrological drought analysis. To be effective there is a need of more detailed drought study that can be in the center of the future Drought Risk Management Plans Development.

The present chapter provides valuable information on the main Bulgarian Danube tributaries and management practices that can be useful for decision and policy makers in the area for future more effective river basin and hydrological risks management.

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# **Physico-Chemical Features and Quality of the Hydro-Environment**

# Water Temperature Variability in the Lower Danube River



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**Abstract** The chapter presents the analysis of the water temperature variability in the Lower Danube River. Temperature of water is one of the most important quality indicators for river ecosystems, which controls many physical and biogeochemical processes within the water body. All the aquatic species have the specific water temperature ranges for growth and development, thus, significant variations of water temperature may cause harmful consequences to the aquatic ecosystems. Surface waters present high variations of temperature depending on spatio-temporal variability and environmental conditions. Gradual rising of the surface waters temperature has a favorable influence on the water properties because this facilitates the natural water purification. An important influencing factor is the discharge of heated wastewaters directly in the streams, which can cause the reduction of dissolved

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oxygen content. In this regard, we present a time series statistical analysis of the water temperature recorded between 2001 and 2016 in three monitoring sections located on the Romanian side of the Lower Danube i.e., Pristol (RO2), Chiciu (RO4), and Reni (RO5) using monitoring data from the Transnational Monitoring Network of the Danube River (TNMN) database. Despite some differences between the monitoring sections determined by the local hydrological, climatic, and topographical conditions, a relative constancy of the water temperature was observed on the entire analyzed period. However, the obtained trendlines show that the water temperature increased from 2001 to 2016, this pattern being more evident in the southernmost control section (Chiciu-RO4). The SARIMA model provided a comprehensive description of the spatiotemporal variations of the water temperature but more complex approaches for improving water monitoring and modeling in the Lower Danube are required to integrate them in process-based analysis.

**Keywords** Environmental monitoring · Water temperature · Lower Danube River · Time series analysis · Seasonal trend

## 1 Introduction

Water temperature is a key indicator for river ecosystems, which controls many physical and biogeochemical processes within the water body, such as the reaeration processes [1, 2], decomposition of organic matter [3], nitrification dynamics [4] etc. All the aquatic species (e.g., zooplankton, phytoplankton, fish, and insects) have the specific water temperature ranges for growth and development, thus, significant variations of water temperature may cause harmful consequences to the water ecosystems [5]. Biological activity and growth of aquatic organisms are clearly influenced by the temperature [6]. The number of individuals is affected when the temperatures reach too far below or above this optimal range particularly on longer periods.

Water temperature is an important control of water density, oxygen solubility (physical characteristics), nutrient mineralization (biochemical), organism growth and biological behavior of fluvial hydrosystems [4, 7, 8]. Higher water temperatures usually increase the rate of chemical and metabolic reactions. The aquatic life in a stream generally suffers because of warm stream water, which holds less dissolved oxygen compared to cool water that may affect various species living in that stream. At higher temperatures, some compounds may become more toxic to existing aquatic life. Persistently warmer temperatures favor the release of excess nutrients into the water [9]. Aquatic plants and algae undergo modified rates of photosynthesis according to the temperature variations. The rate of photosynthesis increases with the rising of temperature in the presence of an optimal quantity of nutrients. The upper limit range for fish species is from 38 °C and from 50 °C for aquatic insects to 73 °C for blue-green algae [10]. The temperatures should not exceed 32 °C in warm water streams, and 20 °C in cold streams, respectively. Excessive heat in summer is

favoring fish death due to low oxygen levels in water that are occurring concomitantly with elevated temperatures [11].

Moreover, the thermic condition is one important supporting physical parameter of the biological quality elements defined for evaluation ecological status of surface water bodies. In the Romanian ecological status classification system, thresholds are established in relation to thermic polluted water discharges (less than 21.5 °C in salmonid waters and less than 28 °C in cyprinid waters downstream to discharge point) [12].

Several key factors such as air temperature fluctuations, solar radiation characteristics, meteorological episodes and particular stream and watershed conditions determine the variations of a stream temperature. There are some intrinsic conditions of the stream namely origin, morphology, velocity, land cover/land use, type of vegetation, and the coverage of impervious surfaces [13]. It is obvious that a shaded tight shoreline will diminish the solar radiation impact on the water heating, while a denuded shoreline combined with a wide shallow riverbed will undergo the opposite [14].

Overall, the temperature of a stream is strongly dependent on the watershed's climate. Usually, the temperature is colder in the upper part of the stream near its origin and warmer near its outlet. This natural trend may be disturbed in a particular section due to other sources of water from snow melting or precipitations, effluents discharged in the main stream, river discharge, and shading vegetation (riparian patches on shorelines). Indeed, riparian vegetation is able to reduce the contribution of solar radiation by shadowing effect regulating the diurnal temperature fluctuations of streams' water [15, 16]. Previous studies pointed out that the removal of neighboring riparian buffers could clearly lead to an increase in streams' temperature [17]. The composition and structure of the vegetation surrounding the water body establish the intensity of the temperature lowering effect [18]. In two wetlands of small river basins located in the south of Romania, the air temperature at ground level was lower under the canopy than outside of it showing differences of 3.2 °C in the upstream region and of 5.1 °C in the downstream section. Somehow, the dense herbaceous closed canopy was more efficient in lowering ground level temperatures than the trees scattered canopy [19]. Similar reports originate from Switzerland where a decrease of 1.2 °C under the canopy has been observed at ground-level [20], and from New Zealand where the average water temperature at clear-cut locations was 3.2 °C higher than in areas where the stream was shaded by the pine forests [21].

The shadowing effect of riparian vegetation is essential as high water temperatures affect the integrity of the aquatic ecosystem putting at risk the existing biota [22]. An increase of 2 °C in atmospheric temperature translates in water temperature rising with 1.3 °C in barren location and of only 0.8 °C in areas with riparian vegetation [23].

The vegetation from riverbanks can reduce the stream temperatures up to 10 °C depending on the climatic zone [24]. It can also diminish the daily and seasonal variations in the temperature during the summer periods of low flows especially for unshaded streams [10]. Many species of fish and invertebrates encumber stressful conditions between these periods of warm water in unshaded small streams. The

shading effect of riparian buffers is less important in wider rivers, in which water temperature is more frequently dependent on the runoff characteristics, the exposure to solar radiation and the heat of groundwater entering the river from aquifers [16]. Usually, higher temperatures occur from the removal of the trees belonging to the riparian zone. A potential rehabilitation can be obtained when structured replanting is performed. Nonetheless, the runoff from various surfaces can contribute to the increasing of the stream temperature [11].

Because phreatic aquifers are under the direct influence of atmospheric precipitations, the groundwater level will reflect their dynamics with a certain delay depending on the precipitations amount falling on the surface of the hydrogeological basin of the aquifer, as well as the thickness of the unsaturated area [25]. Subfluvial aquifers ensure the natural discharge of rivers together with the saturated area on the slopes during the periods without precipitations. The situation changes during periods of high flows when the water level in the river is higher than the water level in the aquifer and the exchanges take place in the opposite direction, feeding the unsaturated zone of the major riverbed. In arid areas, if the groundwater level is very low, rivers can lose water completely when the riverbed is made of sand and gravel [26]. Industrial uncontrolled discharges, insufficient treatment of wastewaters, local modifications of hydrology due to dams or channels, and changes in land cover/land use in the watershed are other exogenous factors that modify consistently the water temperature of streams. It was found that water temperature rises more quickly compared to air temperature in agricultural areas in the absence of major dams, and more slowly in areas with forests and dams [10]. The occurrence of deviations in the thermal regime is related to the discharge of wastewaters and/or effluents combined to solar irradiance and groundwater inputs [11]. In [27] it was pointed out that hypolimnetic release dams can lower stream water temperature by releasing colder water from the bottom of a reservoir, or increase it by releasing warmer water from the epilimnion on both local and regional scales. Aquatic organisms have evolved to cope with such regular fluctuations through the regulation of their metabolism and adaptation of reproductive cycles.

Finally, it can be stated that water temperature is one of key characteristics of the water streams because it regulates most of the processes related to the water quality from controlling physical properties such as water viscosity and infiltration rates, physical reactions, chemical equilibria and reaction rates to biological characteristics like habitat suitability and growth rate. The water temperature has an impact on human activities like recreation, aquaculture, and navigation patterns [28]. Withal, temperature is a variable in water systems modeling. Both air and water temperatures are useful for the description of advective processes (e.g. evaporation, evapotranspiration) in water quality models (e.g. SWAT model) because the water cycle involves the exchange of energy leading to temperature changes [29].

Some technical solutions for cooling the water could be the temporarily water storage in off-stream reservoirs before release back to the river [30]. Furthermore, multiple off-take structures exist, which can take cool water from deep or from its warmer surface in a reservoir. The impact of cool water released from high dams can be diminished by such structures.



The study of Earth's water balance and energy processes and the heat transfers occurring at the surface waters–atmosphere–biosphere interface require the detailed assessment of ground surface temperature [30].

In this context, we present a time series analysis of the water temperature recorded between 2001 and 2016 and one-year ahead forecasting at three monitoring sections located on the Romanian side of the Lower Danube River i.e., Pristol (RO2), Chiciu (RO4), and Reni (RO5), based on data from Transnational Monitoring Network of the Danube River (TNMN) database. The studied region has a significant aquatic biodiversity and a historic cultural heritage.

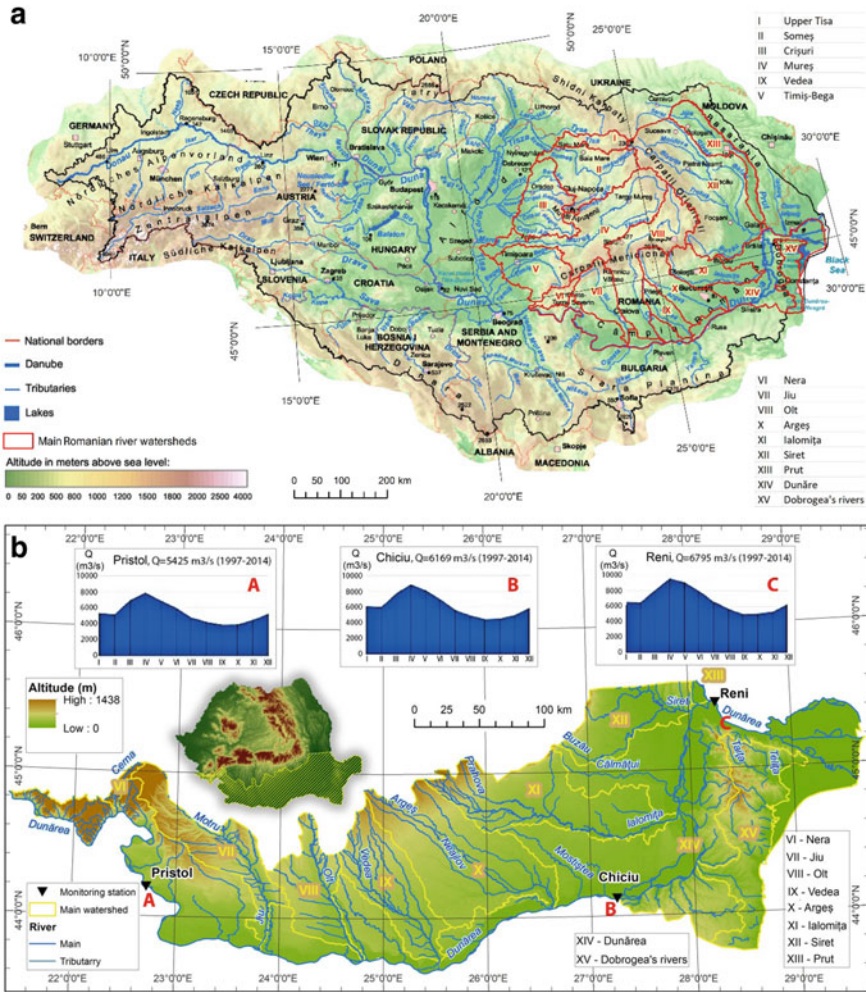
## 2 The Study Area: General Features

Together with the Danube Delta, the Lower Danube River, which flows beyond 1000 km through several countries including Romania, is an important biodiversity region in the world sheltering numerous rare and endangered habitats and species. The freshwater ecosystems of the Lower Danube River provide needful environmental services and numerous opportunities for the sustainable development of local communities [31].

The study area is located between Gura Văii and Pătlăgeanca (Fig. 1), with a total length of 931 km. It represents the lower sector of the Danube River, which can be finely divided into two major sub-sectors: Gura Văii-Călărași and Călărași - Pătlăgeanca. From a morphological point of view, the two sectors are characterized by the asymmetry of the banks and reduced slopes (0.02–0.07%), the Bulgarian bank being steep and with 200 m higher compared to the Romanian one [32, 33].

The general altitude of the floodplain decreases from upstream to downstream from 43–45 m upstream to 2–3 m upstream of the entrance to the Danube Delta and the width of the floodplain varies from 2–3 km to 24 km in Balta Brăilei, respectively 30 km near the Călmățui channel. In the Gura Văii-Călărași sector, the low slopes cause a decrease in the meandering of the minor riverbed alternating with the appearance of islands (“*ostroave*”) [34, 35]. It has widths of 0.5–1.5 km, water depths of 4–10 m and water speed <2 m/s. The Călărași - Pătlăgeanca sector is defined by very low slopes (0.02–0.04%), which determines a decrease of the water velocity (0.8–0.6 m/s) depending on the discharge and the unraveling in two main channels that close the Ialomița and Brăila wetlands.

Because of its geographical position in the south (between parallels of 44° and 45° N lat.), a long duration of sunlight (2250–2500 h of sunshine) occurs with increased values of global solar radiation (>207 W/cm<sup>2</sup>), which determines the appearance of a specific “*Danubian*” topoclimate [36]. The influence of the large water mass attenuates the thermal contrasts in winter / summer, in the hot season the average monthly temperatures reaching 23 °C, while in the cold season the values are negative between –1 and –2.8 °C. Based on the precipitation variations in the Lower Danube Basin recorded at ten weather stations, determine variations of the tributary rivers



**Fig. 1** The study area: (a) the Danube River basin and the main Romanian watersheds (processed after ICPDR relief and topography map—[https://www.icpdr.org/main/sites/default/files/Map02\\_Relief\\_and\\_Topography.pdf](https://www.icpdr.org/main/sites/default/files/Map02_Relief_and_Topography.pdf)); (b) The Lower Danube (Dunărea) River between Gura Văii and Pătlăgeanca and its main tributaries in Romanian side (monitoring sections considered in this study: A—Pristol; B—Chiciu; C—Reni)

discharge and differences of discharge between the Orșova upstream station and the Ceatal downstream station [36].

Therefore, the longitudinal arrangement of the Danube watercourse in most parts of the analyzed sector combined with the large volume of water, make the Danube River a “climate barrier” that moderates the excesses of climatic influences generated by the general circulation. This is because the general circulation is influenced in

the west by Atlantic and Mediterranean cyclones, while the Eurasian anticyclones determine an accentuated aridity in the east [37, 38].

In the analyzed sector, the hydrological regime of the Danube River is influenced by the inflow of tributaries, determining an increase of the discharge from 5425 m<sup>3</sup>/s (at Gruia) to 6795 m<sup>3</sup>/s at Isaccea (Fig. 1b) [39, 40]. The Romanian bank has the most important contribution to the increase of discharges with its rivers' influxes. Based on the size of the discharge, the main tributaries are Siret (225 m<sup>3</sup>/s), Olt (180 m<sup>3</sup>/s), Jiu (93 m<sup>3</sup>/s), Arges (70 m<sup>3</sup>/s), and Prut (65 m<sup>3</sup>/s), while from the Bulgarian bank it receives the following tributaries: Iskar (57 m<sup>3</sup>/s), Timok (39 m<sup>3</sup>/s), Iantra (40 m<sup>3</sup>/s), Ogosta (18 m<sup>3</sup>/s), and Vit (13 m<sup>3</sup>/s) [41, 42].

### 3 Methodology

Time series analysis (TSA) is an important tool of statistics providing suitable indicators for time-dependent data including the possibility of time series forecasting [43]. Some of the commonly used techniques are random moving averages, random walks, trend models, seasonal exponential smoothing, Boltzmann composite lattice, and autoregressive parametric models and its variants [43]. The moving average, exponential smoothing, and *Auto-Regressive Integrated Moving Average* (ARIMA) are linear models that are used in the forecasting of water parameters. Considering that the time series is stationary, the predictions of values are linear functions of past observations. Box and Jenkins (1976) [44] formulated the ARIMA model based on the autoregressive and moving average parameters using three types of parameters: the autoregressive parameter, the moving average parameters and the differentiation passes. A parametric model relating the most recent data value to the previous data values and previous noise gives the best forecast for future data. The major ARIMA model weakness relies upon the assumption that the examined time series is linear and stationary with no structural changes [43, 44].

#### 3.1 Description of the SARIMA Model

SARIMA is an extension to ARIMA, which supports the direct modeling of the seasonal component of the univariate data series. ARMA and ARIMA features are briefly described below to better understand the particularities of SARIMA.

ARMA (*Auto—Regressive—Moving-Average*) models are a combination of autoregressive models (AR) with moving average models (MA). These were introduced by Box and Jenkins (1976). The ARMA model of order  $(p, q)$  is given by the following equation [44]:

$$X_t = \alpha_1 X_{t-1} + \dots + \alpha_p X_{t-p} + e_t + \beta_1 e_{t-1} + \dots + \beta_q e_{t-q}$$

where  $\{\alpha_i\}$  are AR parameters,  $\{\beta_j\}$  are MA parameters,  $p$  is the order of the AR process,  $q$  is the order of the MA process,  $X_t$  are the terms of the time series, and  $e_t$  are the terms that represent the error. The  $e_t$  error terms are assumed to be white noise i.e. a succession of independent and identically distributed random variables, with zero mean. The abovementioned relation can be written as:

$$\left(1 - \sum_{i=1}^p \alpha_i L^i\right) X_t = \left(1 - \sum_{j=1}^q \beta_j L^j\right) e_t$$

where  $L^i$  is the delay operator  $L^i X_t = X_{t-i}$ .

The calibration of an ARMA model requires that the time series is stationary, which indicates that there are no systematic changes in average and variance. One of the advantages of ARMA is that it involves fewer parameters than MA or AR taken alone [45]. ARMA ( $p, q$ ) processes are also known as Box-Jenkins non-seasonal stationary processes [46].

ARMA models are very useful for processing stationary time series, but most environmental processes, including water temperature dynamics, vary from season to season, and these seasonal fluctuations can cause problems in its use. It is necessary to eliminate the non-stationary variation sources in order to be able to use ARMA type models [47]. A relatively simple method is to subtract seasonal and annual averages from each corresponding month to avoid seasonal and trend influences. ARMA model was used in the forecasting of the monthly inflow in a dam reservoir [48].

A more complex method was developed to capture the seasonal fluctuations and order to overcome the shortcomings of ARMA ( $p, q$ ). This is the ARIMA (*Auto-Regressive Integrated Moving Average*) model [49].

An *non-seasonal* ARIMA model is actually a classic “ARIMA ( $p, d, q$ )” model, where:

- $p$  is the number of autoregressive terms,
- $d$  is the number of non-seasonal differences,
- $q$  is the number of delayed prediction errors in the prediction equation.

It is important to identify the differentiation order needed to make the time series stationary to identify the most appropriate configuration of ARIMA model for a specific time series, after which it is determined whether AR and MA terms are required to correct the autocorrelation that remains in the differentiated series [48].

The *Seasonal ARIMA*—SARIMA is based on an ARIMA model, to which additional seasonal terms are added. The SARIMA model is formally represented as follows [49]:

$$\text{ARIMA}(p, d, q) \times (P, D, Q)$$

where:

- $P$  is the number of seasonal autoregressive terms (**Seasonal Auto Regressive**),
- $D$  is the number of seasonal differences,
- $Q$  is the number of seasonal moving averages (**Seasonal Moving Average**).

The equation has the following form:

$$\Phi_P(L^s)\varphi(L)\nabla_s^D\nabla^d X_t = \Theta_Q(L^s)\varphi(L)e_t$$

where  $\{X_t\}$  denotes the nonstationary time series,  $\{e_t\}$  the Gaussian white noise,  $s$  the time series interval, polynomials  $\varphi(L)$  and  $\theta(L)$  of orders  $p$  and  $q$  are the ordinary autoregressive and moving average components,  $\Phi_P(L^s)$  and  $\Theta_Q(L^s)$  the seasonal autoregressive and moving average components with  $P$  and  $Q$  orders,  $\nabla_d$  and  $\nabla_s^D$  represent the ordinary and seasonal difference components, while  $L$  indicates the backshift operator. [47].

In Box and Jenkins' (1976) [44] approach to time series modeling, there are three steps:

- *Identification*. In this step, it must be determined whether the model is stationary or not. A transformation can be applied to the model to remove the influence of the trend. At this stage, too large fluctuations can be smoothed by using logarithmic values instead of real values.
- *Estimation*. At this stage, the SARIMA model is calibrated using empirical autocorrelation functions and partial autocorrelation functions. The importance of the parameters is checked.
- *Verification*. The last step is the analysis of the residues.

In this chapter, we used the abovementioned steps to evaluate the forecasting capabilities of SARIMA for one-year ahead forecasting (12 values) using long time series of averaged monthly measurements (2001–2016) and the forecasted series was compared to the real values recorded in 2017. Regarding the utilization of SARIMA for river water parameters forecasting, there are several works in literature that report its forecasting performances [e.g. 50–54]. However, the utilization of SARIMA for temperature forecasting of water streams, especially for large rivers such as the Danube River, is seldom approached in reported research.

### 3.2 Description of the Datasets

The data monitored on Danube River in the selected control section have been collected within the *Transnational Monitoring Network of the Danube River* (TNMN) under the auspices of the International Commission for the Protection of the Danube River (ICPDR). Since 2000, TNMN evaluates the status of the water bodies based on the Water Framework Directive 2000/60/EC. It is a long-term goal that envisages the recovering of the water quality by reducing the pollutant loads in the

Danube River, as well as in its main tributaries. The data are recorded by the laboratories belonging to the National Administration “Romanian Waters” (NARW), which send the data to the Hydrological Institute from Bratislava (Slovakia). The quality control is ensured through laboratory-specific procedures and inter-comparison trials within the ICPDR scheme [29].

Within the TNMN monitoring program, the relevant hydrological and physico-chemical parameters are recorded. Water temperature is measured usually with bimonthly frequency (Hg—Thermometer analytical method) according to the applicable water quality standards.

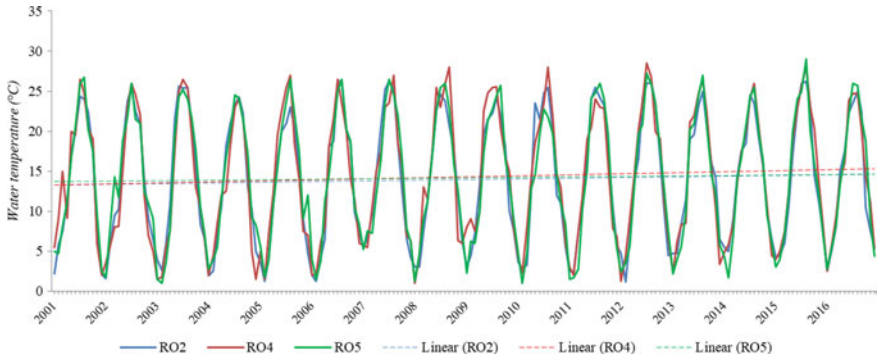
The data on water temperature of the Danube River used in this study were recorded in three monitoring sections, on the Romanian side of the Danube River, considered relevant for the study area: Pristol (RO2), located at 44.21418 N, 22.67613 E, km 834; Chiciu (RO4), located at 44.12757 N; 27.26771 E, km 375 and Reni (RO5), located at 45.46324 N; 28.23190 E, km 132. Data was normalized for SARIMA model by computing the average of the monthly values or keeping the single value (if one value was reported in the TNMN database) to obtain just one representative value for seasonal setup and forecasting of a monthly output. Consequently, the time series contained 192 values in each monitoring point for data processing. Three time series for each monitoring section ( $n = 192$ ) from January 2001 to December 2016 were used in the Time Series Analysis (TSA) procedure. STATISTICA software [55] was applied to analyze the recorded time series data, in order to detect and evaluate temporal patterns in water temperature. Least Significant Differences (LSD) test was used to perform the multiple comparisons for identifying the statistically significant differences between the water temperature time series. The Kruskal–Wallis test was considered to check the statistical differences between the medians of different time series. The data from all the columns was first combined and ranked from smallest to largest. The average rank was then computed for the data in each column [56].

## 4 Water Temperature Variability

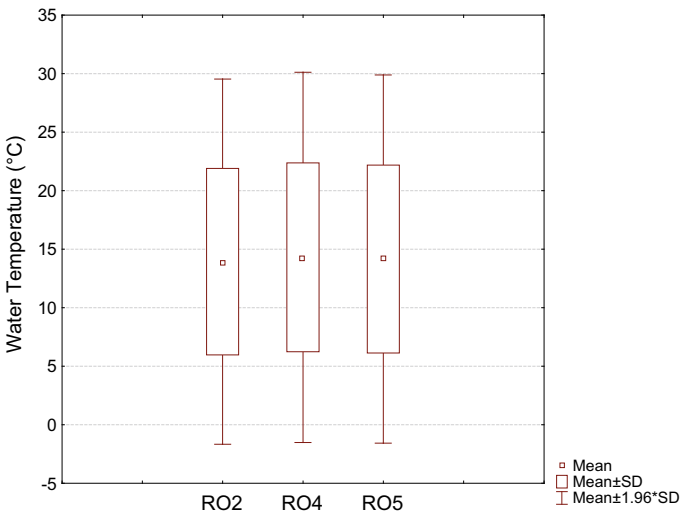
### 4.1 Variation of Danube’s Temperatures Between 2001 and 2016

Temperature variability may provide a sound basis for characterizing the surface streams. Figure 2 presents the variation of the raw data series (2001–2016) and the corresponding trendlines of monthly averages for all three sections, RO2, RO4 and RO5. A higher amplitude of water temperature is visible for the RO4 section compared to the other points.

A comparison of the main statistics of the time series recorded between 2001 and 2016 in the monitoring sections of the Danube River (Romanian bank) is showed in Fig. 3.



**Fig. 2** Water temperature recorded between 2001 and 2016 in the monitoring sections on the Lower Danube River (monthly averages) and the corresponding linear trendlines. *Data source* TNMN



**Fig. 3** Descriptive statistics of the time series of water temperature (°C) recorded between 2001 and 2016 in the monitoring sections on the Lower Danube River (Romanian bank)

As expected, the multiannual average from the RO2 section (13.9 °C) is lower than the one from the other two sections (14.3 and 14.2 °C). In the upper part of the lower Danube (RO2—km 834), the multiannual average temperature was with 0.4 and 0.3 °C lower compared to the subsequent control sections located at km 375 and km 132, respectively closer to the river mouth. There were no statistically significant differences between any pair of means at the 95.0% confidence level (LSD – DL5% = ± 1.6 °C). The Kruskal–Wallis test showed that there is not a statistically significant difference amongst the medians at the 95.0% confidence level ( $p = 0.87$ ).

**Table 1** Central tendency, dispersion and distribution parameters for the time series of monthly averages of water temperature (°C) recorded between 2001 and 2016 in the control sections on the Lower Danube River ( $n = 192$ )

Danube River section	RO2	RO4	RO5
Arithmetic average	13.9	14.3	14.2
Geometric average	11.0	11.4	11.1
Median	13.7	14.0	14.2
Minimum	1.2	1.0	1.0
Maximum	26.3	28.5	29.0
Amplitude	25.1	27.5	28.0
Std. Dev.	8.0	8.1	8.0
Confidence SD -95%	7.2	7.3	7.3
Confidence SD +95%	8.8	9.0	8.9
Coef. of Var. (%)	57.1	56.4	56.6
Skewness	0.0	0.1	0.0
Kurtosis	-1.4	-1.4	-1.3

Table 1 shows the central tendency, dispersion, and distribution statistical parameters for the time series of monthly averages of water temperature (2001–2016). The maximum value (29 °C) and the highest amplitude (28.0 °C) were found in RO5. The lowest maximum value (26.3 °C) was recorded in RO2, which showed an amplitude of 25.1 °C, with 2.9 °C lower than the one from RO5.

The coefficients of variation for 2001–2016 time series were 57.1% (RO2), 56.4% (RO4) and 56.6% (RO5). Skewness and kurtosis describe the data distribution for both shape and symmetry. The indicators revealed a normal distribution for all the time series.

Tables 2, 3, and 4 present the annual statistical indicators for the entire period (from January 2001 to December 2016) in each monitoring section. When looking at the synthetic indicators obtained from the dataset, more fluctuations were present between years and between control sections. The highest averages for water temperature were recorded in RO2 with 14.7 °C in 2007, 16.1 °C in 2009 for RO4 and 14.9 °C in 2012 and 2015 in RO5. The smallest values occurred in 2012 for RO2 (1.2 °C), and 1 °C in 2008 (RO4), in 2003 and 2010 (RO5). The absolute maximum monthly average was 29 °C reached in RO5 in 2015, 28.5 °C in RO4 in 2012, and 26.3 °C in RO2 in 2006 and 2007.

However, the multiple comparison procedure applied to determine which averages are significantly different from which others showed that there were no statistically significant differences between any pair of averages at the 95.0% confidence level for each monitoring section (Difference limits for statistical significance: LSD – DL5% =  $\pm 6.6$  °C for RO2;  $\pm 6.7$  °C for RO4 and RO5).

Consequently, despite some differences between the monitoring sections determined by the local hydrological, climatic, and topographical conditions, a relative constancy of the water temperature was observed in the entire analyzed period. However, the trendlines presented in Fig. 2 show that the water temperature increased



**Table 2** Annual statistical parameters of the water temperature (°C) (2001–2016) for RO2 monitoring section (Pristol, km 834—Romanian bank);  $n = 12$ 

Year	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Arithmetic average	13.4	13.9	14.4	13.3	12.4	13.2	14.7	14.1	14.4	13.8	14.2	14.4	14.4	14.1	14.4	13.7
Geometric average	10.2	11.2	11.3	10.2	9.3	9.3	12.3	11.4	12.0	10.5	10.9	10.7	12.4	12.4	12.0	11.1
Median	14.2	12.0	13.3	11.8	13.1	14.0	13.7	14.6	15.6	12.1	13.6	15.0	15.1	15.1	12.8	12.8
Minimum	2.1	1.6	2.6	1.9	1.3	1.3	4.3	3.0	3.0	2.8	2.4	1.2	4.7	5.0	4.3	2.5
Maximum	24.4	25.4	25.6	24.3	23.0	26.3	26.3	24.7	24.2	25.5	25.5	26.1	25.0	24.5	26.2	25.0
Std. Dev.	8.4	8.0	9.0	8.2	7.9	9.1	8.3	8.0	7.7	8.9	8.7	9.1	7.2	6.8	8.4	8.2
Coef. Var.	62.5	57.2	62.5	61.9	63.1	68.7	56.8	56.7	53.2	64.4	61.7	62.9	50.1	48.4	58.3	59.5
Skewness	0.0	0.1	0.1	0.0	-0.1	0.1	0.2	-0.1	-0.2	0.1	0.0	0.0	-0.1	0.1	0.2	0.1
Kurtosis	-1.7	-1.4	-1.9	-1.6	-1.7	-1.5	-1.6	-1.6	-1.7	-1.8	-1.6	-1.6	-1.5	-1.3	-1.6	-1.7

**Table 3** Annual statistical indicators of the water temperature (°C) (2001–2016) for RO4 section (Chiciu, km 375—Romanian bank)— $n = 12$ 

	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Arithmetic average	14.7	13.3	13.4	12.3	14.0	13.3	14.6	15.0	16.1	14.6	13.7	15.4	14.3	14.3	15.8	14.3
Geometric average	11.9	10.8	9.5	9.0	10.9	10.0	12.6	11.1	14.4	11.8	10.7	11.8	11.1	12.2	13.3	11.8
Median	17.0	10.1	11.8	12.3	13.3	12.8	15.4	15.3	15.9	14.4	13.8	16.5	13.0	14.8	16.2	14.1
Minimum	2.0	3.5	1.5	1.5	1.5	2.0	5.5	1.0	7.5	2.3	2.0	1.3	2.3	4.5	4.0	2.5
Maximum	26.5	26.0	26.5	24.0	27.0	26.5	27.0	28.0	25.5	28.0	24.0	28.5	26.5	26.0	28.2	24.7
Std. Dev.	8.1	8.4	9.2	8.1	8.5	8.5	7.6	9.3	7.4	8.3	8.1	9.0	8.7	7.5	8.5	7.8
Coef. Var.	55.2	63.4	68.9	66.1	61.1	64.3	51.9	62.1	46.1	56.5	59.6	58.3	61.3	52.5	53.5	54.6
Skewness	-0.1	0.5	0.2	0.1	0.2	0.1	0.2	0.0	0.1	0.0	-0.1	-0.1	0.0	0.1	0.0	0.0
Kurtosis	-1.4	-1.6	-1.5	-1.4	-1.4	-1.5	-1.3	-1.5	-1.9	-1.1	-1.7	-1.3	-1.7	-1.3	-1.6	-1.3

**Table 4** Annual statistical indicators of the water temperature (°C) (2001–2016) for RO5 section (Reni, km 132—Romanian bank)— $n = 12$

Years	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Arithmetic average	14.1	14.8	13.7	13.8	14.1	13.7	14.6	14.7	14.6	13.0	13.7	14.9	13.9	13.8	14.9	14.5
Geometric average	11.3	12.4	9.1	11.2	11.1	10.4	12.6	11.5	12.0	10.1	9.2	11.7	10.9	10.9	12.1	11.7
Median	14.0	13.6	14.1	14.1	13.8	12.9	14.3	14.2	15.0	13.6	12.7	15.2	13.4	15.3	14.0	15.2
Minimum	2.5	1.8	1.0	2.8	1.8	1.5	5.3	1.3	2.3	1.0	1.5	2.4	2.2	1.7	3.0	2.8
Maximum	26.8	26.0	25.3	24.5	26.5	26.5	26.5	26.0	25.8	22.8	26.0	27.3	27.0	25.5	29.0	26.0
Std. Dev	8.4	7.1	9.4	7.9	8.2	8.5	8.0	8.3	7.9	7.2	9.7	8.8	8.6	8.0	8.7	8.5
Coef. Var	59.3	48.2	68.6	57.2	58.0	61.8	54.4	56.7	54.3	55.6	70.9	59.1	61.5	57.9	58.5	58.3
Skewness	0.2	-0.2	-0.1	0.0	0.0	0.1	0.3	0.0	0.0	-0.2	0.0	-0.1	0.1	-0.1	0.1	0.0
Kurtosis	-1.3	-0.7	-1.8	-1.6	-1.3	-1.3	-1.7	-1.2	-1.4	-1.2	-1.8	-1.5	-1.5	-1.4	-1.3	-1.6

from 2001 to 2016, this pattern being more evident in the southernmost control section (Chiciu—RO4).

## 4.2 Application of SARIMA Model to Forecast Water Temperature

Latest studies have warned that water temperatures have increased in the major European rivers by 1–3 °C in the last 100 years [57]. Based on the long-term projections, it is believed that the increase in air temperature will clearly influence the river and lake surface water temperatures [58]. An increase of the average river water temperatures by 1.6–2.1 °C is expected for all major European rivers including the Danube River during 2071–2100 compared to the 1971–2000 period [57]. Furthermore, the stress to river fauna and flora will increase because the number of days with water temperatures above 25 °C will expand from 2–15 days (2001–2010 reference period) to 32–75 days (2071–2100) [59].

Furthermore, the expected impact of climate change is the increasing of water temperature, following the future increase in air temperature. In the Danube River Basin, depending on local or regional context, an increase of 1–2 °C of water temperature is estimated during summer. With higher temperatures, the surface water bodies' ecological status is expected to be worse and more frequent. In addition, intense algal blooms may appear [60].

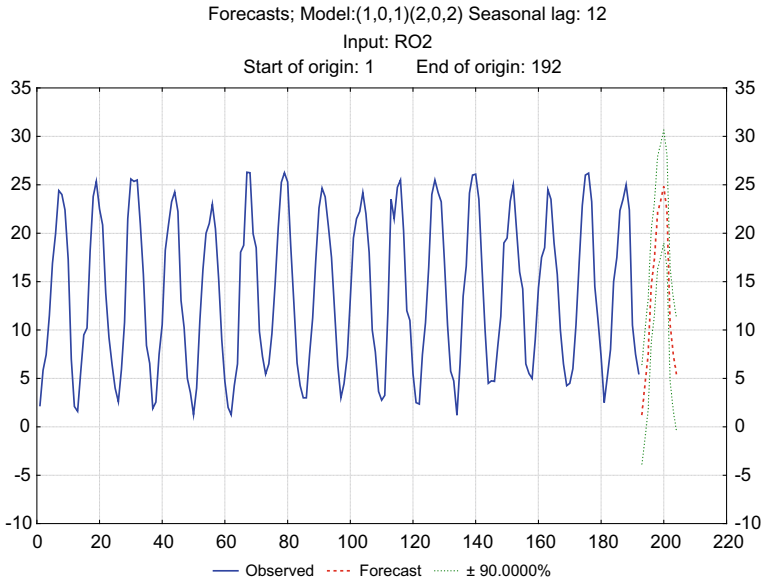
To assess such potential scenarios and the associated impact, it is important to test and apply forecasting tools for different time windows. For this reason, various configurations of SARIMA model have been tested to find the most suitable results in terms of forecasting using the time series from RO2, RO4, and RO5 ( $n = 192$ ).

Plots of the original data portray an overview of how the time series are commonly performing and whether seasonal differencing is needed. The suitability of the SARIMA model was evaluated based on the degree of accuracy required from the forecast, the amount of time and resources available, the amount and type of available data, and how far ahead is required to forecast.

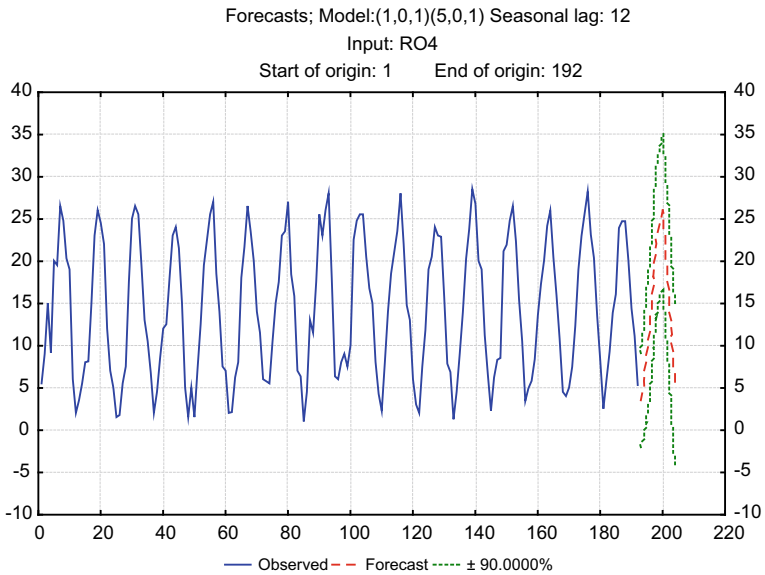
Figure 4 presents the best fitting model i.e. SARIMA (1,0,1)(2,0,2) for one year ahead forecasting for the time series recorded in RO2 section. The model performs quite well when compared to the real observations from 2017 especially in the first 8 months of the year (Fig. 7).

SARIMA (1,0,1)(5,0,1) was considered the most suitable configuration for the time series recorded in RO4 and RO5 (Figs. 5 and 6). When comparing with the real observations, the model tended to underestimate the water temperature in the first part of the year especially in RO 4 section (Fig. 7).

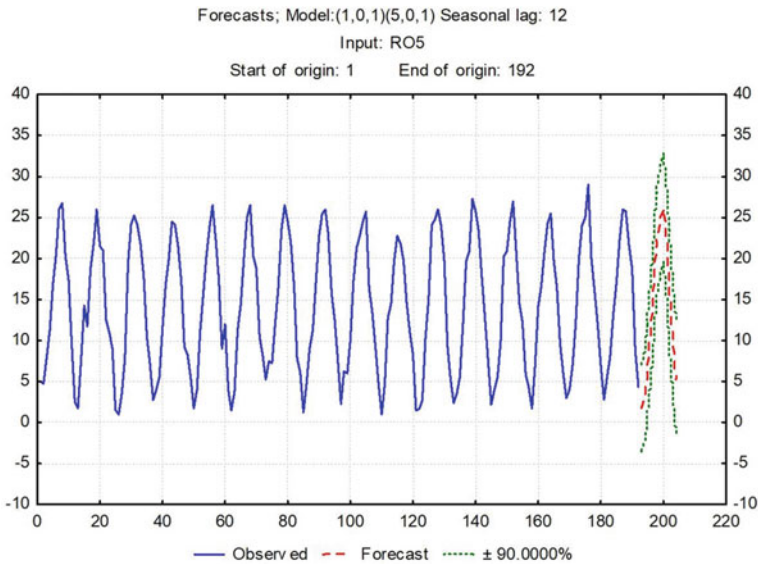
Following the procedures presented in [45], the best fitted model was selected based on how the forecasts used past data to determine the variation of the forecast errors (smallest root mean squared error) and to calculate limits within which a future value of the series will stand with a given probability.



**Fig. 4** One year ahead forecasting for the time series recorded in RO2 (2001–2016) using SARIMA (1,0,1)(2,0,2) (x-axis: month; y-axis: water temperature, in °C)



**Fig. 5** One year ahead forecasting for the time series recorded in RO4 (2001–2016) using SARIMA (1,0,1)(5,0,1) (x-axis: month; y-axis: water temperature, in °C)



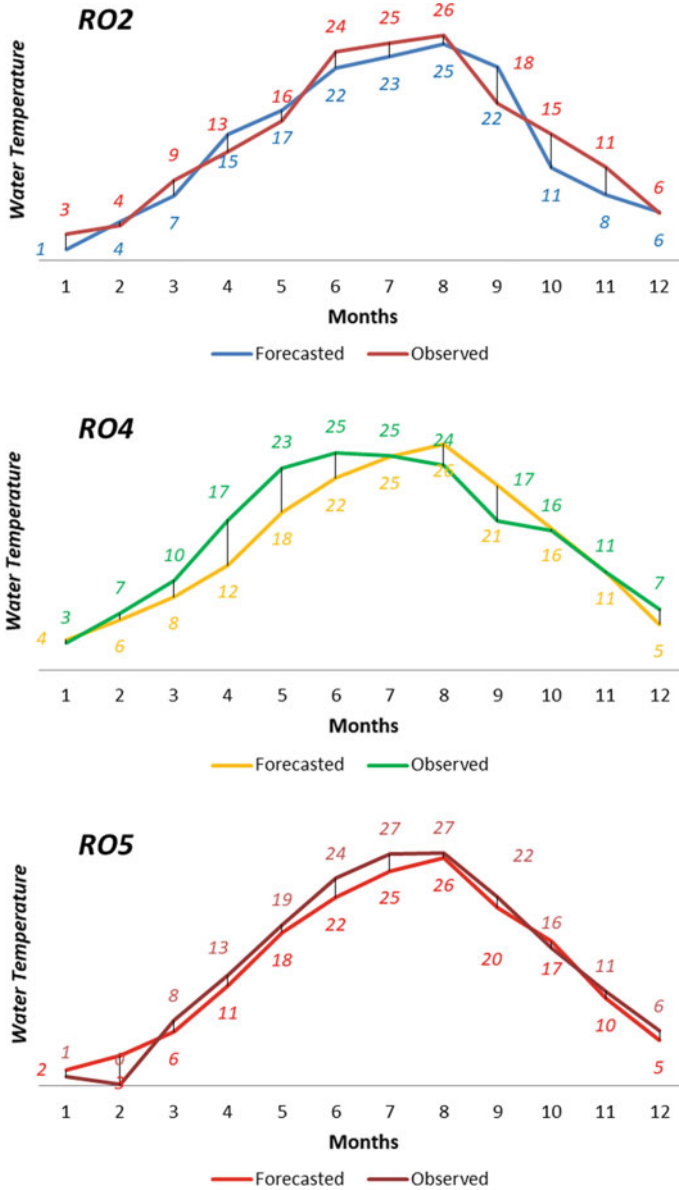
**Fig. 6** One year ahead forecasting for the time series recorded in RO5 (2001–2016) using SARIMA (1,0,1)(5,0,1) (x-axis: month; y-axis: water temperature, in °C)

Overall, finding a suitable configuration of SARIMA guarantees a proper forecasting of water temperature and the statistical model can provide satisfactory results based on solely past observations of temperature. A multivariate model or hybrid neural network involving other exogenous factors could increase the accuracy of the forecasting for non-stationary and/or incomplete time series.

## 5 Conclusion and Recommendation

This study provides information on the water temperature variability in the Lower Danube River from 2001 to 2016 using observations from three monitoring sections (Pristol, Chciu and Reni) on the Romanian bank. The general trend shows a slight increase in water temperature during the 15 years period, which is in agreement with previous reports [57].

SARIMA model can summarize the forecasting results and present them in such a way that a specialist can more easily understand the patterns within the data describing time series that exhibit non-stationary evolutions across and within seasons. Without such tools, it is difficult to evaluate the trends of various indicators such as water temperature. One challenge is to capture all relevant seasonality trends and repeating patterns for proper forecasting i.e. the longer the forecast, the harder it might prove for SARIMA model to predict accurately. It is required that the seasonal time series are stationary and have no missing data. The disadvantage



**Fig. 7** Plots of observed vs. forecasted values of water temperature (°C) using the modeled time series and the real values recorded in 2017 in each control section selected on the Lower Danube River

of the SARIMA model is that it can only extract linear relationships within the time series data.

Most of the scenarios regarding global warming indicate increases in average stream water temperatures. These predicted increases are corroborated with significant daily and seasonal variations. An increase of water temperature has a wide-ranging impact on stream ecology and can be considered an important environmental issue linked to global warming [61]. Therefore, finding proper modeling tools able to forecast the water temperature of large rivers is important and should be a priority.

The present study has some limitations because the available dataset relied on a limited set of observations (2–3 measurements/month) due to the monitoring plan and logistics. A potential improvement of this aspect could be the data fusion with satellite observations and derived data of water temperature. A potential source of data that will be used in our future approaches is the Climate Data Store from Copernicus [62] to overcome the usability gap.

Based on the TSA and the evaluation of SARIMA model, a comprehensive description of the spatiotemporal variations of the water temperature was achieved but more complex approaches for improving water monitoring and modeling in the Lower Danube River are required to integrate them in process-based analysis. This will support the assessment of the interaction between different factors that influence the thermal dynamics including the anthropogenic activities and the effect of temperature variability on ecological processes from biochemistry to primary production, food web interactions, and community assemblages [63].

The implementing of an Early Warning System (EWS) [64] coupled with a process-based model on the Lower Danube hydrological basin would significantly improve the surveillance of the ecological status and a better linking between water temperature dynamics and river ecological functions.

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# Variability of Nutrient Concentrations Along the Lower Danube River



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**Abstract** This chapter presents information on the temporal and spatial variation of several nutrient concentrations ( $\text{NH}_4\text{-N}$ ,  $\text{NO}_2\text{-N}$ ,  $\text{NO}_3\text{-N}$ ,  $\text{PO}_4\text{-P}$  and total phosphorous) over the course of 22 years (1996–2017), based on concentrations recorded at five monitoring stations located along the Lower Danube River (between km 1,071 and km 132), belonging to the TransNational Monitoring Network (TNMN), namely: Baziaș, Pristol, Oltenița, Chiciu and Reni. The dependence of the selected nutrient contents on some hydrological and physico-chemical parameters of water (e.g. discharge, temperature, dissolved oxygen concentration) was also investigated.

The results show that, among the analyzed nutrients, the highest multiannual average concentrations are found for  $\text{NO}_3\text{-N}$  (1.579 mg/l) and  $\text{NH}_4\text{-N}$  (0.238 mg/l) at Chiciu monitoring station, with generally increasing values from upstream toward downstream, while  $\text{NO}_2\text{-N}$  concentration is low (less than 0.05 mg/l) and relatively constant along the Lower Danube River. Phosphorus species have multiannual

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average concentrations ranging from less than 0.15 mg/l, up to less than 0.05 mg/l in the lower part of the studied sector. The mean annual concentrations of nutrients have experienced a general downward linear trend during the period 1996–2017, as a result of a combination of factors. An important role in nutrient load decline was played by the measures to reduce pollution, implemented within the European Union and Danube River Basin. A direct/positive dependence (statistically significant) of the nutrient content on Danube discharge was generally found, and an inverse dependence on water temperature.

Although significant decreases in nutrient concentration were noticed, further implementation of measures for reducing nutrient emissions in the Danube River Basin is still required.

**Keywords** Nutrients · Trends · Spatio-temporal variability · Lower Danube River

## List of Acronyms

DRB	Danube River Basin
DRBMP	Danube River Basin Management Plan
DRPC	Danube River Protection Convention
DWQM	Danube Water Quality Model
EC	European Commission
EU	European Union
ICDPR	International Commission for the Protection of the Danube River
JDS	Joint Danube Survey
LDR	Lower Danube River
MONERIS	MOdelling Nutrient Emissions into RIver Systems
NARW	National Administration “Romanian Waters”
RBMP	River Basin Management Plan
SWAT	Soil and Water Assessment Tool
TNMN	TransNational Monitoring Network
WFD	Water Framework Directive
WQI	Water Quality Indices

## 1 Introduction

Nutrients (mainly nitrogen and phosphorus) are essential elements for living organisms, but in high concentrations they can alter the quality of the surface and ground water bodies, impairing aquatic ecosystems, human health and socio-economic activities [1–3]. Nutrient enrichment of surface waters can lead to their eutrophication

with negative effects on aquatic biodiversity and the functioning of the hydro-environments, thus disturbing the ecosystem services they provide. Likewise, high nutrient loads in waters can cause serious diseases to humans, affecting both adults and children (even infants) and animals [4]. Pollution of waters with nutrients limits or even hinders their use for different socio-economical needs (e.g. drinking water supply, fisheries, recreation etc.) [5].

In recent decades, the contamination of waters with nutrients has become a worldwide issue [6]. They are closely monitored and considered key elements for water quality management due to their major role in eutrophication [7]. Water quality is especially altered in large and intensely populated watersheds, with well-developed and diverse socio-economic activities, as is the case of the Danube River Basin (DRB). Eutrophication of large rivers, due to the increase in nutrient concentration, is one of the major issues in water quality facing the European countries [8] and one of the main challenges in water management. According to the Water Framework Directive—WFD (2000/60/EC), the European Union (EU) member states must achieve the “good” status of the water bodies by 2027, at the latest, by implementing measures and actions to reduce pollutant emissions. Nutrients are a key category of parameters listed by WFD used in water status assessment, belonging to the group of physico-chemical elements that support the biological components. Nutrients are considered substances that contribute to eutrophication [9].

The tool for applying the provisions of WFD within EU Member States, with references to nutrients, is the River Basin Management Plan, prepared at the river basin district scale and covering a six year cycle. At the scale of the Danube River Basin, the International Commission for the Protection of the Danube River (ICPDR) developed the first “Danube River Basin Management Plan” (1st DRBMP) in 2009, which was updated in 2015 and 2021 (2nd DRBMP and 3rd DRBMP, respectively). The plan includes detailed information on the anthropogenic pressures impacting the water bodies within the Danube Basin, on the ecological and chemical status of the water bodies, as well as on measures and actions required to be undertaken by the Danube countries in order to achieve the environmental objectives [5, 10]. With regard to the nutrient pollution issue, the ICPDR’s basin-wide vision, as defined in the 2nd DRBMP, is “the achieving of a balanced management of nutrient emissions from point and diffuse sources in the Danube River Basin so that the Danube and Black Sea waters will not be threatened or impacted by eutrophication” [5].

In the above-mentioned context, this chapter aims to provide new and up-to-date information on the variation in nutrient concentrations and their dependency on key hydrological and physico-chemical parameters, in the Lower Danube River. This information contributes to the enhancement of the knowledge on this issue of major interest for water quality protection and management in the study area. The chapter focuses on the analyses of the spatial and temporal variability of measured concentrations of five forms of nutrients along the Lower Danube River over a period of 22 years (1996–2017), based on data processing from 5 monitoring stations which are part of the TransNational Monitoring Network (TNMN).

The analyzed species of nutrients are: ammonium-nitrogen ( $\text{NH}_4\text{-N}$ ), nitrite-nitrogen ( $\text{NO}_2\text{-N}$ ), nitrate-nitrogen ( $\text{NO}_3\text{-N}$ ), orthophosphate-phosphorus ( $\text{PO}_4\text{-P}$ )

and total phosphorous (TP). For the selected monitoring stations, the dependence between the nutrient contents on the one hand, and corresponding daily discharges, water temperature and dissolved oxygen on the other hand, was also investigated. These findings contribute to a better understanding of the role played by streamflow and water physico-chemical parameters in the variation of the nutrient concentrations.

## 2 Overview on the Nutrients Pollution Issue in the Danube River Basin

Pollution by nutrients has been recognized as one of the four significant water management issues and among the main pressures affecting surface water status within the DRB [5]. About 65% of the Danube River length was categorized as being *at risk* due to nutrient pollution [11]. The nutrient load in the Danube River peaked around the late 1980s, causing severe eutrophication that impaired the Danube Delta and the adjacent Black Sea coastal area [12–14]. Since then, a decline in emissions and nutrient concentrations has been noticed. It can be the result of the measures implemented in the DRB, to protect freshwater and marine ecosystems [15], as well as of the reduction of the polluting industrial and agricultural activities, in the former communist countries from the Danube basin (e.g. Romania). By signing the Danube River Protection Convention (DRPC) in 1994 (entering into force in 1998), the contracting parties agreed to contribute to the reduction of the pollutants loads entering the Black Sea from sources in the Danube River Basin [16]. According to the Memorandum of Understanding adopted by the International Commission for the Protection of the Black Sea (ICPBS) and the ICPDR in 2001, the Danube countries agreed to take measures to decrease the nutrient emissions. Hence, as the Black Sea ecosystems return to a status similar to that of the 1960s [17].

The nutrients entering the Danube River come both from natural and anthropogenic sources. The most important, quantitatively, are the anthropogenic ones, including point sources (e.g. municipal, industrial and agricultural wastewater emissions which are untreated or partially treated), and diffuse sources spread throughout the catchment area (mainly originating from agriculture, due to the use of fertilizers) [5, 7, 18, 19]. The basin-wide nutrient emissions entering the surface water bodies in the period 2009–2012 in the DRB were estimated in [5] at 605,000 tons per year total nitrogen (TN) and 38,500 tons per year total phosphorous (TP). The greatest contribution to the total emissions come from diffuse pathways: by 84% for TN and 67% for TP. The highest rates of nutrients emissions are attributable to agriculture (42% for TN and 28% for TP) and urban water management (25% for TN and 51% for TP) [5].

In terms of pollution, the most affected is the lower stretch of the Danube River, which collects pollutants, including nutrients, emitted by both upstream countries and those bordering the lower Danube watercourse. Therefore, the Lower Danube River was categorized as *at risk* due to pollution with nutrients and hazardous

substances along its entire length on the territory of riparian countries (Serbia, Bulgaria and Romania), according to the WFD provisions [11].

Due to the major importance of the Danube River in Europe, in recent decades, many scientific studies and projects were dedicated to its water quality. In terms of nutrients (considered individually or together with other water quality parameters) the researches were conducted both at the scale of the whole Danube River/basin and at regional level, in different stretches of the river or sectors of its catchment. Among the recent publications providing information on nutrients at large scale (entire Danube River or basin-wide), we mention: [13, 17, 20, 21, etc.].

Detailed information on nutrients in waters (sources, loads, pathways, variability etc.), as well as on Danube's water status are provided by the Danube River District Management Plan (adopted in 2009) and its updates (adopted in 2015 and 2021). Large volume of data on water quality parameters (including nutrients) of the Danube River and its major tributaries were collected and analyzed during the Joint Danube Surveys (JDS), four research expeditions organized and conducted by the ICPDR in 2001, 2007, 2013, and 2019.

In order to enhance the knowledge on nutrient emissions, their pathways and in-stream loads within the DRB, several modeling tools were developed and implemented, such as the Danube Water Quality Model (DWQM) which quantifies Danube's in-stream loads of nitrogen and phosphorous and the MONERIS Model (MOdelling Nutrient Emissions into River Systems), for assessing the nutrient emissions within the basin [5, 22]. Such models were used for the assessment of nutrient emissions in studies (e.g. [23]), research projects (e.g. daNUbs—DAnube NUtrients Black Sea) as well as within the DRBMP [24] and its updates. In [25], water and nutrient fluxes in the DRB were simulated with SWAT model and the results were validated based on the observed data. The SWAT model could be an usefull tool for providing support to the implementation of the European Environmental Directives in the water quality field [25].

In recent years, many studies were dedicated to the nutrients in the Lower Danube River in different monitoring stations along the river (e.g. in Bulgaria and Romania), both individually and together with other chemical and biological parameters: in [8] the analysis focused on spatio-temporal analysis of nutrient pollution in the Lower Danube River, in Călărași-Brăila sector (375–175 river km), during one year (September 2012–September 2013); in [12] the long-term (during 55 years) variability of nutrient contents (dissolved inorganic species of nitrogen and PO<sub>4</sub>-P loads) and other parameters in the Lower Danube River was analyzed (a Bulgarian-Romanian stretch, between 376 and 554 river km); in [14] the distribution of the main macrozoobenthic groups along the Bulgarian stretch of the Danube River (in 16 sampling sections between 382 and 844 river km) was investigated in relation to the nutrient load, during the period of low water in August–September 2013; the nutrient content (NH<sub>4</sub>-N, NO<sub>2</sub>-N, NO<sub>3</sub>-N, PO<sub>4</sub>P-and TP) in the Lower Danube River between 347 and 182 river km was studied in [19] in the period January 2013—December 2014, in order to assess the ecological status of the river, in terms of nutrients; in [26], the distribution and variability of chlorophyll-*a* were analyzed in relation to nutrient concentrations (total nitrogen and total phosphorus), based on



samples taken from the Danube River between the kilometers 347 and 182, during September 2012–August 2014. Complex and detailed analyzes of the nutrient content variation (considered separately or together with other water quality parameters) in the Lower Danube River (between Baziaş and Isaccea) were made in [7, 27, 28]. In [7], the analysis was focused only on the nutrient loads, at for 6 monitoring stations (located between 132 and 1,071 river km), belonging to the TNMN for a period of 10 years (2006–2015). In [27], the research concerned the nutrient species (nitrates and orthophosphates) together with other general indicators and oxygenation conditions used for physico-chemical quality assessment, during the period 1996–2015, for the same stations analyzed in [7]. In [28], the variation of several physico-chemical parameters of water quality was investigated (including nitrates, ammonium, nitrites and orthophosphates) at Reni (132 river km) correlated with the Danube flow measured at Isaccea gauging station (100.2 river km), during the period 1996–2014, with a focus on 2003 (with low waters) and 2006 (with high waters). Based on data provided by the ICPDR, the nutrient contents and their trends were analyzed in [29] at Reni monitoring station. In [30], information on the multiannual average (1996–2015) concentrations of several nutrients ( $\text{NH}_4\text{-N}$ ,  $\text{NO}_3\text{-N}$  and total phosphorus) is presented for 8 monitoring stations along the Lower Danube River (Romanian side), between the kilometers 0 (Sf. Gheorghe) and 1,071 (Baziaş). A detailed analysis of the spatial and temporal variation of seven forms of nutrients at the monitoring stations located along the Lower Danube River, is performed in [31].

In [32], 14 physical–chemical parameters (including  $\text{NH}_4\text{-N}$ ,  $\text{NO}_2\text{-N}$ ,  $\text{NO}_3\text{-N}$ , total nitrogen,  $\text{PO}_4\text{-P}$  and total phosphorus) were analyzed, based on data from TNMN, at 9 monitoring stations along the Lower Danube River, between Baziaş (at 1,071 river km) and the confluence with the Black Sea, through the three arms of the Danube River (Chilia, Sulina and Sf. Gheorghe), by using different statistical methods, during the period 1996–2017. This period is similar with the one used in our study, but the approach is quite different. In [33] the seasonality and correlations between Water Quality Parameters (including nutrient species) were investigated at Chiciu station (375 river km), based on water samples collected during January 2010–December 2012.

In several papers on the Lower Danube River, the nutrients were integrated into different water quality indices and/or multivariate statistical analysis, such as [34–37], based on data from monitoring stations located on the Danube River in Serbia and [38–47], using data from stations located in Romania. An overview on the Danube River water quality and its trends over the past decades are provided in a review paper based on the open access Web of Science database (see [48]).

The present study completes and updates the existing information and assessments on nutrients concentrations and their variability in the lower Danube River through a complex analysis for over 20 years, ending by 2017.

### 3 Study Area and Location of the Monitoring Stations

The investigations carried out in this chapter are focused on the Lower Danube River (LDR), with a length of about 940 km. The studied sector extends between 1,071 river km (at Baziaș, located at the entry of the Danube River in Romania) and 132 river km (at Reni, situated at 50 km upstream of the delta entrance; the river length is considered from its mouth). Along this sector, the Danube River is bordered by Romania, Republic of Moldova and Ukraine, on the left side, and by Serbia and Bulgaria, on the right side (Fig. 1).

The average multiannual discharge of the Danube River is about 5,350 m<sup>3</sup>/s at Baziaș and it increases to 6,450 m<sup>3</sup>/s before the delta entrance [49]. In the upper part of the sector there are two large dams and adjacent reservoirs, impacting the Danube's hydro-sedimentary fluxes and channel dynamics: Iron Gates I and Iron Gates II (reservoir known as *Ostrovl Mare*). These dams and the adjacent reservoirs are parts of important hydropower and navigation systems managed in collaboration between Romania and Serbia.

Along the LDR (without the delta), the Romanian water authorities have been delineated 4 water bodies (WB): the two reservoirs, Iron Gates I—(WB 1) and Iron Gates II—(WB 2) and two river water bodies: Iron Gates II—Chiciu stretch (WB 3) and Chiciu—Isaccea stretch (WB 4) [50], including two large islands (named *bălți*, in Romanian), namely, *Balta Ialomiței* and *Balta Brăilei* (Fig. 1).

This study is based on water quality data from 5 monitoring stations, located along the Lower Danube River, on the Romanian (left) bank, namely: Baziaș, Pristol, Oltenița, Chiciu and Reni (Fig. 1 and Table 1). According to the TNMN, the full names of the stations Pristol, Oltenița and Chiciu are: Pristol/Novo Selo, upstream



**Fig. 1** The study area and the location of the analyzed monitoring stations (BI—*Balta Ialomiței*; BB—*Balta Brăilei*)

**Table 1** Data on the analyzed water quality monitoring stations (according to [52])

Monitoring station	Water body	Altitude (m.a.s.l.)	Station code <sup>a</sup>	Distance from the Danube R. mouth (km)	River basin area (km <sup>2</sup> )	Monitoring frequency for nutrients
Baziaș	WB 1	70	RO 1	1,071	570,896	Monthly
Pristol	WB 3	31	RO 2	834	580,100	Twice a month
Oltenița	WB 3	16	RO 3	432	676,150	Monthly
Chiciu	WB 4	13	RO 4	375	698,600	Twice a month
Reni	WB 4	4	RO 5	132	805,700	Twice a month

<sup>a</sup>According to TNMN

Argeș (Oltenița) and Chiciu/Siliștră, respectively. In this study we used the simplified station names, as mentioned in Table 1. The monitoring profiles include samples collected on the left and right banks and in the middle of the river.

Baziaș station is located at the entrance of the Danube River in Romania (at km 1,071 away from its mouth). Pristol station is situated about 90 km downstream of the Iron Gates I dam and 10 km downstream of the Iron Gates II (Ostrovul Mare) dam. Oltenița monitoring station is located 400 km downstream of Pristol, about 100 m upstream of the mouth of the Argeș River, a Romanian tributary of the Danube affected by intense pollution. Due to the small distance between the monitoring station and the Argeș River mouth, the pollutants' concentrations (including nutrients) at Oltenița can be influenced by a local effect of backwater. Between Pristol and Oltenița stations, the Danube River receives several tributaries from both the Romanian (e.g. Jiu, Olt, Vedea) and the Bulgarian (e.g. Lom, Ogosta, Iskar, Vit, Osam, Yantra) sides. The larger tributaries are in Romania and they are important pollutant contributors for the Danube River [32].

The next selected station, Chiciu, is relatively close to Oltenița (only 57 km downstream), but in this sector the quality of the Danube River is impaired by the high loads of pollutants discharged by Argeș River, whose watershed overlaps an intensely socio-economically developed area, in which Bucharest, the capital of Romania, is situated. This river collects insufficiently treated municipal sewerage waters from Bucharest [47]. As a result, Argeș River brings large amounts of pollutants to the Danube, including nutrients. The last station selected in our study is Reni, located at 132 km from the Danube's mouth and about 50 km upstream from the beginning of the delta. Between the stations of Chiciu and Reni, the Danube River receives three important tributaries: Ialomița, Siret and Prut. The last two are large, cross-border rivers, draining territories in Romania, Ukraine and the Republic of Moldova. These rivers bring to the Danube important discharges (240 m<sup>3</sup>/s and respectively

110 m<sup>3</sup>/s multiannual average discharges, according to [51]) and significant nutrient loads [47].

## 4 Data and Methods

As mentioned above, the analysis in this chapter is based on processing data recorded in five monitoring stations located along the LDR, belonging to Romania, namely: Baziaş, Pristol, Olteniţa, Chiciu and Reni (Fig. 1). Data on these stations are presented in Table 1. The monitoring profile at each station includes 3 sample collection sites: left bank, right bank and middle.

The selected stations are part of the Danube TransNational Monitoring Network (TNMN) of the ICPDR. This network was launched in 1996 to provide information on water quality and pollutant loads in the major rivers within the Danube watershed. The legal base for establishing such a monitoring network is the Convention for the protection and sustainable use of the Danube River. Since 2000, once the Water Framework Directive came into force, the purpose of the TNMN has been extended to evaluate the ecological and chemical status of water bodies [11].

The laboratories belonging to water management, environmental and research institutions from the Danube River Basin countries participate in the process of the sampling and analysing the relevant water parameters and pollutants. In Romania, the laboratories of the National Administration Romanian Waters from Craiova (Jiu Water Basin Administration) and Constanţa (Dobrogea—Litoral Water Basin Administration) are involved in the Danube River monitoring program and contribute to the Danube water quality database. The quality of the TNMN data is guaranteed both by internal specific procedures of the laboratories and through their participation in the inter-comparison schema of the ICPDR [7].

The main data processed in this chapter are the concentrations (in mg/l) of five forms of nutrients (dissolved and total): ammonium-nitrogen (NH<sub>4</sub>-N), nitrite-nitrogen (NO<sub>2</sub>-N), nitrate-nitrogen (NO<sub>3</sub>-N), orthophosphate-phosphorus (PO<sub>4</sub>-P) and total phosphorous (TP). The frequency of the samples is monthly (at Baziaş and Olteniţa) and bi-monthly (at Pristol, Chiciu and Reni) (Table 1). In addition to the nutrient concentrations, our study also considered data on corresponding daily discharge (in m<sup>3</sup>/s), water temperature (in °C) and dissolved oxygen content (in mg/l), recorded on the same days when the nutrient sampling took place (accessed from the TNMN and the Danube River Basin Water Quality Database [52]). The general analysis period extends from 1996 to 2017 (excepting the year 2016 for the TP at Baziaş, Pristol, Olteniţa and Chiciu).

Based on concentrations of nutrients recorded in different sites of the monitoring profile (left bank, right bank and middle), statistical classical parameters (minimum, average, maximum) were computed for different time periods (multiannual, annual, monthly) and their spatio-temporal variability was analysed. The trends in the variation of average monthly and annual concentrations of nutrients were investigated

by using the Mann–Kendall statistical non-parametric test. The level of significance ( $\alpha$ ) of the identified trend was considered at 0.001, 0.01, 0.05 and 0.1 [53].

To identify the dependence of nutrient load on streamflow, we correlated during the analyzed period, the nutrient concentrations recorded in the middle of the monitoring profile, with the discharges recorded in the same days with the nutrient sampling, at the nearest hydrometric stations (Bazias, Gruia, Oltenița, Chiciu-Călărași and Issaccea), located 2–32 km away from the water quality monitoring stations (Fig. 1). In addition, the relationships between the daily nutrient concentrations (in the middle profile of the monitoring station) on the one hand, and water temperatures and dissolved oxygen concentrations on the other hand, in the selected quality monitoring stations were investigated. The intensity and statistical significance of the dependence between the considered variables were tested by using the Spearman correlation coefficient, a non-parametric measure of monotonic correlation (linear or not) between two variables [54]. This coefficient is usually adopted for datasets having non-normal distribution (with high skewness), because it is not sensitive to outliers [13, 33]. This is the case with our data series, most of them being highly positively skewed, with skewness higher than 2. The high skewness found for the analyzed datasets is consistent with [55]. In the quoted paper, it is showed that the water quality data are commonly skewed and considered that nonparametric procedures are more efficient than parametric ones in cases where the skewness is high and the data series is large. Positive values of the Spearman coefficient show a direct correlation between the associated variables (both move in the same direction), while negative coefficients indicate an opposite situation (inverse correlation). The Spearman correlation coefficient was considered statistically significant if p-value (probability that test is significant) is lower than 0.05 ( $p < 0.05$ ).

## 5 Results

The results highlight two main issues: (i) the temporal and spatial variation (at different time scales) of the nutrient concentrations at the five selected stations along the Lower Danube River and (ii) the relationships between the nutrient concentrations on the one side and hydrological and physico-chemical characteristics of the Danube River, on the other side. The results will be interpreted in the section dedicated to discussions.

### 5.1 *Multiannual Nutrient Concentrations and Their Spatial Variation*

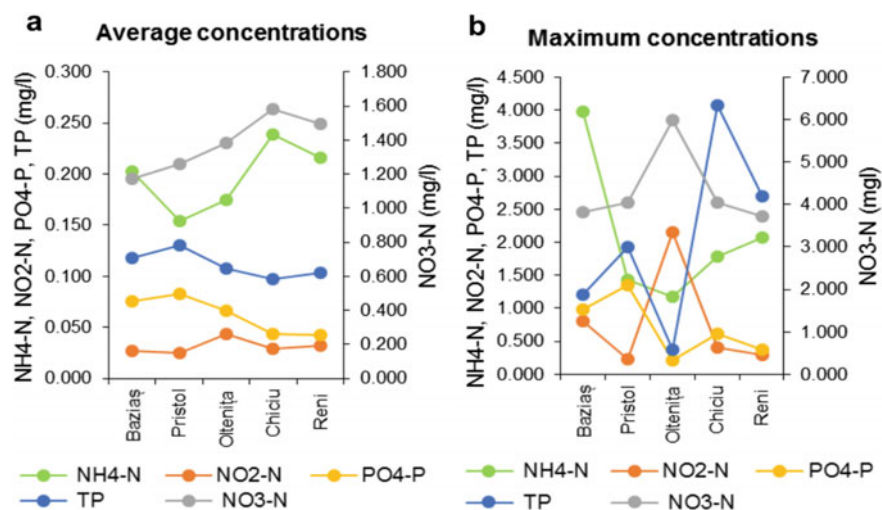
Based on the values of the multiannual concentrations (average, maximum and minimum) of the selected nutrients recorded at the five studied monitoring stations

between 1996 and 2017, we investigated their spatial variation along the lower sector of the Danube River.

The highest average concentrations were found for  $\text{NO}_3\text{-N}$  (1.579 mg/l) and  $\text{NH}_4\text{-N}$  (0.238 mg/l) at Chiciu station (Fig. 2a and Table 2). Generally, the nitrogen species present an increase of their concentrations from upstream to downstream. The lowest average concentrations were found for  $\text{NO}_2\text{-N}$  (less than 0.05 mg/l), with a spatial variation relatively stable along the Danube River. The highest value (0.043 mg/l) identified at Oltenița station is debatable, because in October–December 2011 very high concentrations of  $\text{NO}_2\text{-N}$  were recorded, but it is difficult to explain them, as we shall see in Sect. 5.2. If we do not consider the values recorded in October–December 2011, the multiannual average concentrations of  $\text{NO}_2\text{-N}$  at Oltenița is 0.023 mg/l.

Unlike nitrogen, the annual average concentrations of the phosphorus species decrease from upstream to downstream, with the highest value noticed at Pristol (0.083 mg l for  $\text{PO}_4\text{-P}$  and 0.13 mg/l for total phosphorous) (Fig. 2a). The spatial variation of the basic statistical parameters (mean, maximum and minimum) of the series of annual average concentrations of nutrients recorded at the selected stations during the studied period (1996–2017) is shown in Fig. 3. At Oltenița station, for  $\text{NO}_2\text{-N}$  we considered both the case with the extremely high values measured in 2011, and without these data.

The maximum multiannual absolute values of nutrient contents have experienced a great variability along the LDR (Fig. 2b). The highest concentrations were recorded for  $\text{NO}_3\text{-N}$  (6.00 mg/l) at Oltenița (on April 4, 2000), for TP (4.07 mg/l) at Chiciu (on November 25, 2004) and for  $\text{NH}_4\text{-N}$  (3.986 mg/l) at Baziaș (on April 19, 2005) (Table 2).



**Fig. 2** Average (a) and maximum (b) multiannual nutrient concentrations at the selected monitoring stations along the Lower Danube River (1996–2017; data unavailable for TP in 2016 at Baziaș, Pristol, Oltenița, Chiciu)

**Table 2** Descriptive main statistics of the nutrient concentrations (mg/l) recorded at the studied monitoring stations along the Lower Danube River (1996–2017)

Parameter	Statistics	Baziaş	Pristol	Olteniţa	Chiciu	Reni
NH <sub>4</sub> -N	Average	0.203	0.154	0.175	0.238	0.216
	Maximum	3.986	1.440	1.183	1.780	2.070
	Minimum	0.000	0.000	0.000	0.000	0.000
NO <sub>3</sub> -N	Average	1.174	1.258	1.384	1.579	1.493
	Maximum	3.810	4.036	6.000	4.041	3.720
	Minimum	0.075	0.059	0.000	0.000	0.000
NO <sub>2</sub> -N	Average	0.027	0.025	0.043* (0.023**)	0.029	0.032
	Maximum	0.813	0.225	2.152* (0.151**)	0.412	0.300
	Minimum	0.000	0.000	0.000	0.000	0.000
PO <sub>4</sub> -P	Average	0.076	0.083	0.066	0.043	0.042
	Maximum	0.980	1.360	0.210	0.611	0.368
	Minimum	0.000	0.000	0.000	0.000	0.000
TP***	Average	0.118	0.130	0.108	0.097	0.103
	Maximum	1.200	1.930	0.380	4.070	2.700
	Minimum	0.000	0.010	0.011	0.006	0.000

\*With data of 2011; \*\*Without data of 2011; \*\*\*Data unavailable in 2016 at Baziaş, Pristol, Olteniţa and Chiciu

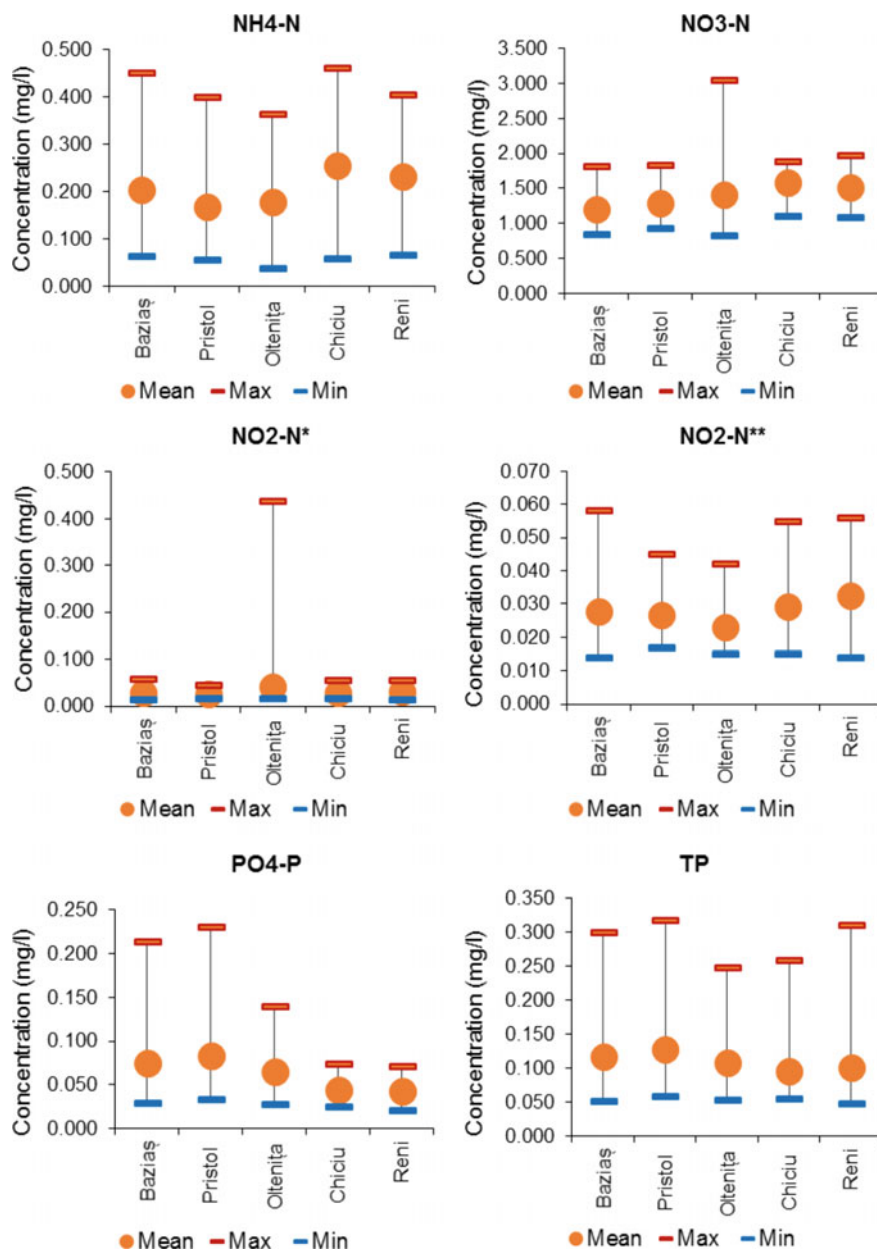
Regarding the minimum multiannual absolute values, in the database are recorded null (zero) values for each nutrient species in most of the monitoring stations, except for NO<sub>3</sub>-N at Baziaş and Pristol, and TP at Pristol, Olteniţa and Chiciu (Table 2).

## 5.2 *Interannual Variation of Nutrient Average Concentrations*

The analysis of the nutrient concentrations variation (annual averages between 1996 and 2017) along the LDR highlights some aspects common to all the selected stations and some particularities. Below we present the situations for each selected nutrient form.

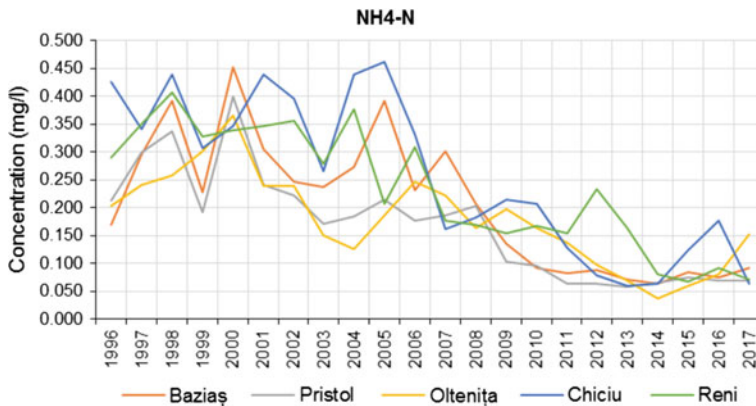
### **Ammonium-nitrogen (NH<sub>4</sub>-N)**

As a common feature in the variation of the average annual concentrations of NH<sub>4</sub>-N, an obvious decline is found after the year 2005, at all analyzed stations (Fig. 4). High peaks are noticeable at all stations in 1998 and at almost all of them in 2004–2006. In the upper part of the analyzed sector of the Danube River (at Baziaş, Pristol and Olteniţa), we identified the highest peak in 2000. At Olteniţa and the downstream stations (Chiciu and Reni) significant ammonium concentrations were recorded in



**Fig. 3** Variability of annual average concentrations of nutrients at the selected monitoring stations along the Lower Danube River (1996–2017). Data unavailable for TP in 2016 at Bazias, Pristol, Oltenița and Chiciu. \*With data of 2011. \*\*Without data of 2011





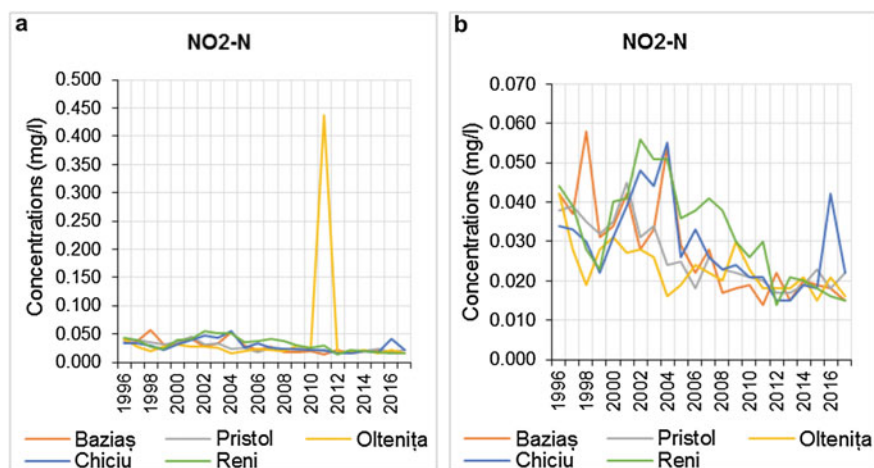
**Fig. 4** Annual average concentrations of ammonium-nitrogen (NH<sub>4</sub>-N) at the selected monitoring stations along the Lower Danube River (1996–2017)

2001–2002. After 2007, the most important peaks were noticed at the stations located in the lower sector of the study area (Chiciu and Reni), in 2009–2010 and 2016 at Chiciu, and in 2012 at Reni. The variability of the annual concentrations of ammonium in Danube River at Reni is generally different compared to the upstream stations, probably because of the significant input of water and the flow regime of the two last major tributaries of the Danube River, Siret and Prut.

Throughout the analyzed period, the highest average annual concentrations of NH<sub>4</sub>-N were recorded in most years at Chiciu, with maximum values of over 0.450 mg/l (Fig. 4), and the lowest, generally at Oltenița and Pristol.

### Nitrite nitrogen (NO<sub>2</sub>-N)

In the analysis of the variation of nitrites, two situations were considered that were imposed by the identification of very high values of concentrations at Oltenița during October–December 2011 (over 1.5–2 mg/l). These led to an annual average of 0.437 mg/l, much higher than that of the other stations (Fig. 5a). The verifications carried out, based on the analysis of the concentrations at Chiciu station (located less than 50 km downstream of Oltenița), and on the concentrations of the Danube tributaries in the vicinity of the Oltenița station (Argeș in Romania and Yantra and Losenski Lom, in Bulgaria), did not show peculiar concentrations that could explain the very high values from Oltenița. Accordingly, in the analysis of the variation of the average annual concentrations at Oltenița, we considered both the situation with the year 2011 and without it (Fig. 5b). In the latter case, the highest values can be found at Chiciu and Oltenița, illustrating the slight increase from upstream to downstream. Regarding the peaks, we noticed the heterogeneity of the values, as it was impossible to identify periods or years with high peaks at all stations. However, the period 2001–2004 should be noted, with high values of concentrations, especially at the stations in the lower sector (Reni and Chiciu). Baziaș station stands out in the



**Fig. 5** Annual average concentrations of nitrite nitrogen (NO<sub>2</sub>-N) at the selected monitoring stations along the Lower Danube River (1996–2017). (a) Considering the records in October–December 2011 at Oltenița; (b) Without the records in October–December 2011 at Oltenița

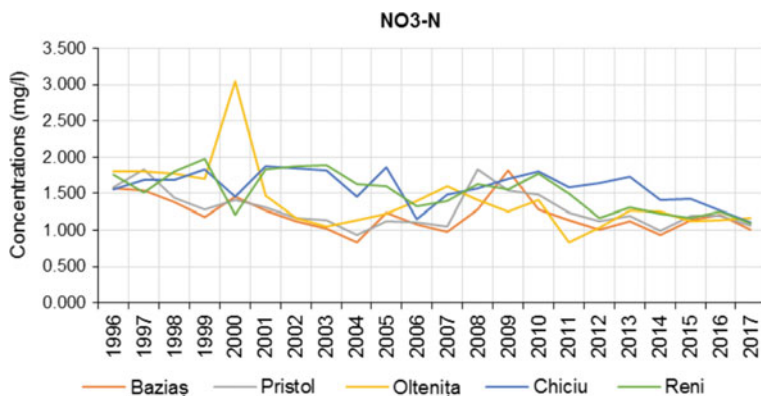
year 1998, when no high values were found at the other stations, which could be caused by a local NO<sub>2</sub>-N input.

### Nitrate nitrogen (NO<sub>3</sub>-N)

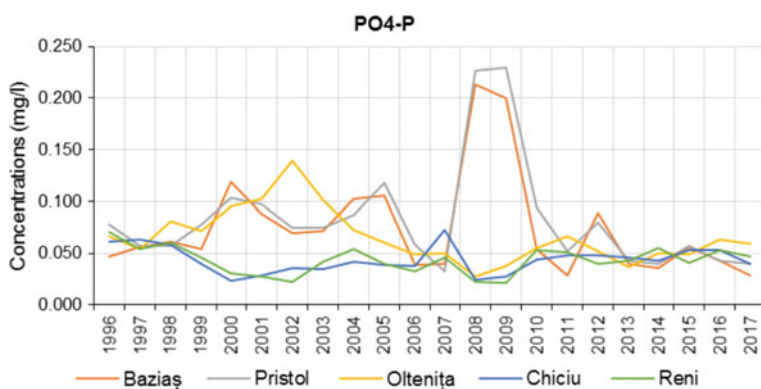
The analysis of the variation of the annual average concentrations of NO<sub>3</sub>-N along the LDR indicates their relative uniformity and stationarity in the studied period (Fig. 6). Contents generally higher than at the other stations were noticeable at Chiciu and Reni, which illustrates, also in the case of this nutrient, the slight increase from upstream to downstream. It is noted the year 2000 at Oltenița, with very high average concentration, that could be explained by the NO<sub>3</sub>-N contribution of the Argeș River, in the context of the lowflow of the Danube River. No significant decline in NO<sub>3</sub>-N concentrations was observed after 2005, as found in the case of the other nitrogen forms analyzed above.

### Orthophosphate-phosphorus (PO<sub>4</sub>-P)

Unlike the nitrogen forms, whose average annual concentrations generally increase from upstream to downstream (the highest values being identified in Chiciu and Reni), in the case of PO<sub>4</sub>-P, the lowest values were recorded, during most years, at the downstream stations. Regarding the highest average annual concentrations, they were found in the upstream sector of the lower Danube (at Baziaș, Pristol and Oltenița) (Fig. 7). The spatio-temporal variation of the PO<sub>4</sub>-P content showed significant peaks in 2008–2009 at stations from the upper part of the analyzed sector (Baziaș and Pristol) and in 2002 at Oltenița. Over the period 1996–2017, there is no obvious trend in the variation of the average annual concentrations of PO<sub>4</sub>-P, but since 2010 there is a certain uniformity, with similar values at all stations, of around 0.05 mg/l (Fig. 7).



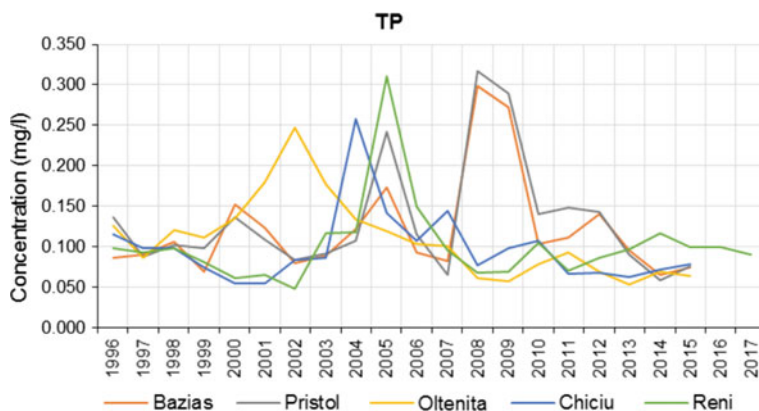
**Fig. 6** Annual average concentrations of nitrate nitrogen ( $\text{NO}_3\text{-N}$ ) at the selected monitoring stations along the Lower Danube River (1996–2017)



**Fig. 7** Annual average concentrations of orthophosphate-phosphorus ( $\text{PO}_4\text{-P}$ ) at the selected monitoring stations along the Lower Danube River (1996–2017)

### Total phosphorus (TP)

The average annual TP concentrations present, over the analyzed period, a very heterogeneous variation, with sometimes quite large differences from one year to another. There are some high peaks in the 2008–2009 (over 0.25 mg/l) at the upstream stations (Baziaș and Pristol) and 2005, with high concentrations at all stations, but especially at the downstream ones (Chiciu and Reni), while in the middle sector (at Oltenița) the highest peak was in 2002 (Fig. 8). After 2010, a reduction of the average annual concentrations of TP and their relative homogenization was noticed, with a variability similar to that of the period before 2000.



**Fig. 8** Annual average concentrations of total phosphorus (TP) at the studied monitoring stations along the Lower Danube River (1996–2017). Data unavailable in 2016 at Baziaș, Pristol, Oltenița and Chiciu

### 5.3 Trends in Average Annual Concentrations of Nutrients

In order to identify possible trends in the variation of the average annual concentrations of nutrients between 1996 and 2017, the non-parametric Mann–Kendall test was applied. It indicated significant decreasing trends (at 0.001–0.05 level of significance), for most of the stations and parameters analyzed, especially for nitrogen compounds (Table 3). For phosphorus forms, the trends were also found to be downward, but mostly statistically insignificant, except for Oltenița station. The only situations in which upward trends have been identified are at Chiciu for PO<sub>4</sub>-P and at Reni, for TP, but these were also statistically insignificant (Table 3).

**Table 3** Linear trends in average annual concentrations of nutrients in the Lower Danube River and their statistical significance, as identified by using the Mann–Kendall test (1996–2017)

Monitoring station	NH <sub>4</sub> -N	NO <sub>2</sub> -N	NO <sub>3</sub> -N	PO <sub>4</sub> -P	TP
Baziaș	↓ (0.001)	↓ (0.001)	↓ (0.05)	↓	↓
Pristol	↓ (0.001)	↓ (0.001)	↓ (0.05)	↓	↓
Oltenița	↓ (0.001)	↓ (0.01)* ↓ (0.01)**	↓ (0.01)	↓ (0.05)	↓ (0.01)
Chiciu	↓ (0.001)	↓ (0.01)	↓ (0.1)	↑	↓
Reni	↓ (0.001)	↓ (0.001)	↓ (0.001)	↓	↑

\*With data of 2011; \*\*Without data of 2011

Note The values in parentheses show the level of significance ( $\alpha$ ) of the linear trend. ↓—Downward trend; ↑—Upward trend. Data unavailable in 2016 for TP at Baziaș, Pristol, Oltenița and Chiciu

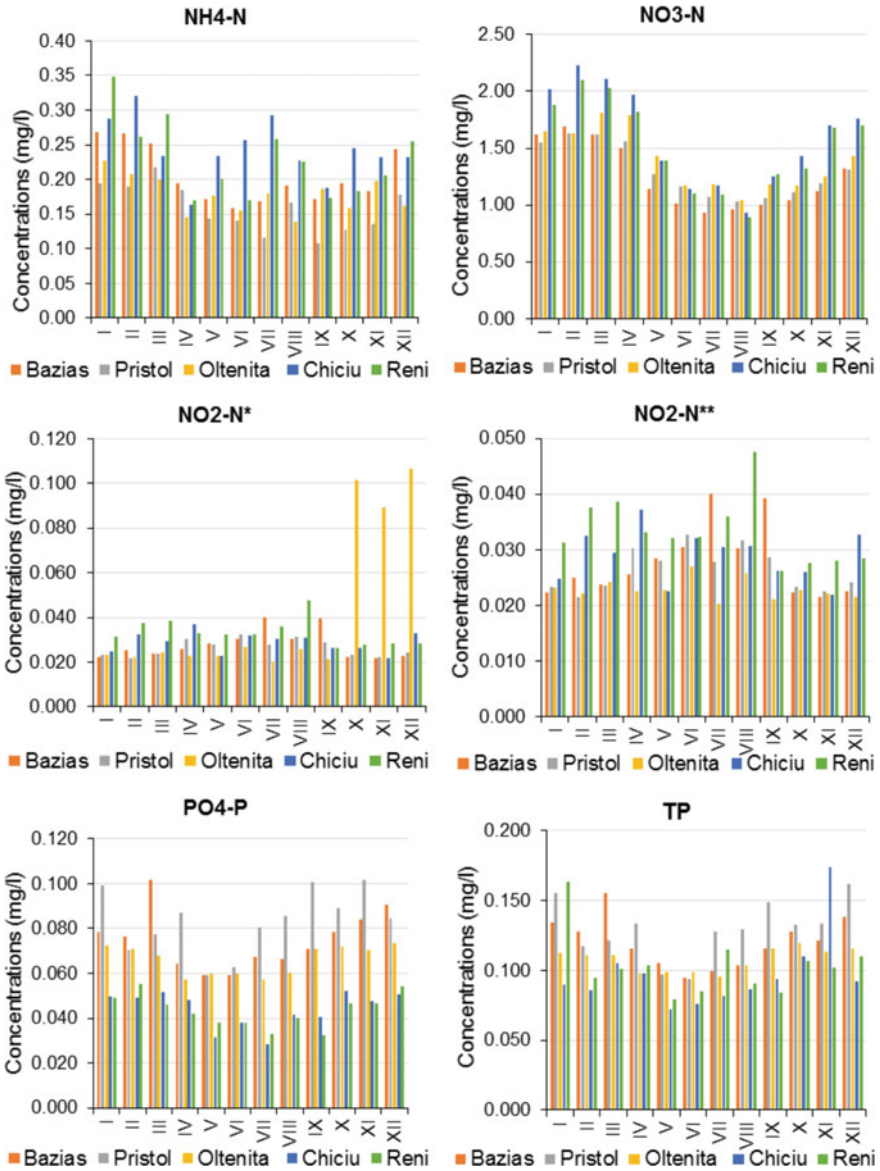
#### **5.4 Monthly Variation of Average Nutrient Loads**

During the year, nutrient concentrations are variable, depending on several natural (e.g. river flow regime, water temperature) and anthropogenic (nutrient inputs from different sources) factors. The analysis of the average monthly concentrations of the nutrients (determined by averaging all the measurements from each month at the selected stations in the period 1996–2017), shows that, in general, the nutrient concentrations are high in the cold period of the year (between November–April). This could be explained by the richer precipitation and more intense soils washing. In the warm season (between May–October), concentrations are lower due to primary producers' nutrient consumption through the development of phytoplankton and algal growth. The nitrites-nitrogen ( $\text{NO}_2\text{-N}$ ), however, have an inverse variation, with high concentrations during the warm period (Fig. 9). The highest monthly average concentrations of nitrogen species are noticed in the downstream sector of the Lower Danube (at Chiciu and Reni), while in the case of phosphorus compounds, the upstream stations (Bazias and Pristol) have the highest monthly average concentrations (Fig. 9).

#### **5.5 Trends in Average Monthly Nutrient Concentrations**

By applying the nonparametric Mann Kendall statistical test, linear trends in the average monthly nutrient concentrations variation at selected monitoring stations on the Lower Danube River were identified. The test results are presented in Table 5 (in Annex) for each considered nutrient and indicate the following aspects:

- $\text{NH}_4\text{-N}$  concentrations exhibit statistically significant decreasing trends in all months of the year and in all monitoring stations;
- significant downward trends are also noticed in the case of  $\text{NO}_2\text{-N}$  for all/almost all months, especially in the upper half of the studied sector (at Bazias and Pristol) and at the last downstream station (at Reni); at Oltenița and Chiciu the decreasing trends are statistically significant only in a few months (generally during July–December);
- statistically significant decreasing trends are also noticeable in most cases for the  $\text{NO}_3\text{-N}$  concentrations, but during a smaller number of months, except for the Reni station, where the Mann–Kendall test indicated significant decreases in 10 months of the year;
- in the case of phosphorus species, the identified linear trends are, in their great majority, decreasing, but they are statistically insignificant, except for the Oltenița station, where they are significant, especially for total phosphorus; in the downstream stations (Chiciu and Reni) we also identified some upward trends in phosphorus concentrations (especially in the cold months of the year), but without statistical significance.



**Fig. 9** Monthly average concentrations of nutrients at selected monitoring stations along the Lower Danube River (1996–2017). Data non available for TP in 2016 at Bazias, Pristol, Oltenița and Chiciu.

\*With data of October–December 2011; \*\*Without data of October–December 2011

## 5.6 *Correlations Between Nutrient Concentrations and Characteristics of the Danube Water*

Variation of nutrient concentrations in the Danube River is the result, on the one hand, of the inputs from natural and anthropogenic sources, and on the other hand, of certain hydrological and physico-chemical characteristics of the watercourse which action as control variables. The connection between these and water quality parameters are relatively less studied. There are several studies on this topic in large European river catchments, including the DRB [13, 33, 56], that we will talk about in discussions section.

In this study, we investigated the links between the selected forms of nutrients on the one hand, and hydrological and physico-chemical parameters of water on the other, by using the Spearman correlation coefficient. More precisely, we correlated the daily nutrient concentrations recorded at the selected stations (in the middle point of the monitoring station) with the daily discharges, water temperatures and dissolved oxygen concentrations recorded in the same days with the nutrients records at the quality monitoring station and at the closest hydrometric station: Baziaș, Gruia, Oltenița, Chiciu-Călărași and respectively Isaccea (see the section Data and Methods). The Spearman correlation coefficient was considered statistically significant if the p-value is lower than 0.05.

The correlations' results are shown in Table 4 and the conclusions are summarized below.

### **Correlations between nutrients and daily discharges:**

- most of the Spearman coefficients are positive, showing a direct dependence between the variables (larger discharges lead to higher nutrient concentrations);
- statistically significant correlations were identified at all stations, between the streamflow and  $\text{NO}_3\text{-N}$  concentrations, while for other nutrients (excepting  $\text{NH}_4\text{-N}$ ), significant correlations are mostly found at the last two stations (Chiciu and Reni).

### **Correlations between nutrients and water temperature:**

- generally negative correlations, statistically significant were detected for most of stations and parameters (Table 4). This can be explained by the fact that high temperatures lead to lower nutrient concentrations, by favoring the development of phytoplankton/algal growth with high nutrient consumption, while in periods of low temperatures, nutrient consumption is low, in the absence of a developed phytoplankton;
- in the case of  $\text{NO}_2\text{-N}$ , significant correlations were found only at Pristol (positive) and Chiciu (negative), while for  $\text{NO}_3\text{-N}$ , significant negative relationships were identified at all monitoring stations.

### **Correlations between nutrients and dissolved oxygen concentrations:**

- both positive and negative relationships were found, but most of them were positive (Table 4);

**Table 4** Correlations between nutrient concentrations and characteristics of the Danube's water (discharges, temperatures and dissolved oxygen concentrations)

Relation	Bazias-Bazias		Pristol-Gruia		Oltenița-Oltenița		Chichiu-Chichiu-Călărăși		Reni-Isaccea	
	Spearman rho	p-value	Spearman rho	p-value	Spearman rho	p-value	Spearman rho	p-value	Spearman rho	p-value
<i>Discharge</i>										
NH <sub>4</sub> -N	-0.006	0.911	0.23	0	0.106	0.262	0.088	0.081	0.061	0.195
NO <sub>2</sub> -N	0.026	0.646	0.044	0.373	0.049	0.605	0.2	0	0.137	0.004
NO <sub>3</sub> -N	0.305	0	0.303	0	0.261	0.005	0.355	0	0.266	0
PO <sub>4</sub> -P	-0.025	0.66	-0.019	0.701	-0.055	0.561	0.141	0.005	0.039	0.415
TP	-0.022	0.699	-0.017	0.732	0.023	0.81	0.171	0.001	0.147	0.002
<i>Water temperature</i>										
NH <sub>4</sub> -N	-0.218	0	-0.331	0	-0.074	0.264	-0.095	0.048	-0.07	0.147
NO <sub>2</sub> -N	0.09	0.093	0.201	0	-0.019	0.779	-0.212	0	-0.061	0.203
NO <sub>3</sub> -N	-0.528	0	-0.425	0	-0.369	0	-0.687	0	-0.651	0
PO <sub>4</sub> -P	-0.155	0.004	0.003	0.954	-0.143	0.031	-0.264	0	-0.251	0
TP	-0.209	0	-0.052	0.283	-0.103	0.121	-0.162	0.001	-0.201	0
<i>Dissolved oxygen concentration</i>										
NH <sub>4</sub> -N	0.082	0.155	0.166	0.002	0.049	0.465	-0.117	0.017	-0.035	0.469
NO <sub>2</sub> -N	-0.164	0.004	-0.301	0	-0.027	0.682	0.036	0.464	-0.033	0.504
NO <sub>3</sub> -N	0.507	0	0.426	0	0.26	0	0.475	0	0.489	0
PO <sub>4</sub> -P	0.046	0.43	-0.076	0.166	0.073	0.276	0.154	0.001	0.236	0
TP	0.126	0.029	0.034	0.539	0.058	0.384	0.025	0.605	0.231	0

Statistical significant at  $p < 0.05$  in grey

- statistically significant correlations were detected for almost half of cases (13 correlations of the total 25; see the Table 4), of which 10 are positive;
- significant indirectly (negative) correlations were identified at Bazias, for NO<sub>2</sub>-N and at Chichiu, for NH<sub>4</sub>-N;
- the link between NO<sub>3</sub>-N and dissolved oxygen exhibits a significant positive correlation coefficient at all stations;
- PO<sub>4</sub>-P and TP are statistically positively correlated with the content of dissolved oxygen at the stations located on the downstream sector of the Lower Danube, Chichiu and Reni (only for TP);

These results show that in the LDR aquatic system, the higher dissolved oxygen concentrations leads to higher concentrations of nutrient oxidized forms (NO<sub>3</sub>-N and PO<sub>4</sub>-P), these being also stable and bioavailable nutrient species.

## 6 Discussions

In this chapter, we investigated the temporal and spatial variation of the concentrations of several species of nutrients at five monitoring stations located along the Lower Danube River, over a distance of about 940 km, between Bazias and Reni.



Next, we will summarize and discuss the results with a focus on three main issues: (i) general features of the multiannual nutrient concentrations and their spatial variation; (ii) temporal dynamics and trends in nutrient loads, and (iii) dependence of nutrient concentrations on hydrological and physico-chemical characteristics of the Danube River.

### ***6.1 General Features of Multiannual Nutrient Concentrations and Their Spatial Variation***

Our results have shown that, among the analyzed nutrients, the highest multiannual average concentrations have been found for  $\text{NO}_3\text{-N}$  (1.579 mg/l) and  $\text{NH}_4\text{-N}$  (0.238 mg/l) at Chiciu station. The nutrient concentrations generally increase upstream toward downstream, which could be explained by the increase in Danube's flow, corroborated with the contribution of pollution sources, and mainly of some highly polluted tributaries, such as Argeş and Ialomiţa (in Romania), and Timok (in Bulgaria). As showed in [32], the pollution levels in Ialomiţa and Argeş rivers are much higher than in the Danube. The low quality of Argeş River is mainly altered by ammonium and phosphorus, because of the pollution with insufficiently treated municipal wastewater, originating from the Bucharest wastewater treatment plant. This polluted water is discharged into Damboviţa River (the main tributary of Argeş River), downstream Bucharest city [57, 58]. During the period between 1996 and 2015, Argeş River contributed to the nitrate load of the Danube River with a multiannual average  $\text{NO}_3\text{-N}$  concentration of 2.04 mg/l [27].

The highest concentrations of  $\text{NH}_4\text{-N}$  and  $\text{NO}_3\text{-N}$  were identified at the penultimate analyzed station (Chiciu) at not at the last one downstream (Reni). This could be explained through the polluted inflow of the Argeş River approximately 50 km upstream Chiciu station.

The  $\text{NO}_2\text{-N}$  content is low (less than 0.05 mg/l) and relatively constant along LDR.

In the case of phosphorus species, their contents are quite low (less than 0.15 mg/l) and at the downstream station they are slightly reduced (at about 0.100 mg/l for TP and less than 0.05 mg/l for  $\text{PO}_4\text{-P}$ ). The decrease becomes noticeable downstream of Pristol, and is more obvious in the case of  $\text{PO}_4\text{-P}$  (Fig. 2a). This could be explained by the sedimentation process for TP, as well as by the algal bloom (especially for  $\text{PO}_4\text{-P}$ ) in the lower part of the studied sector of the Danube River. Given that the Pristol monitoring station is located downstream from the Iron Gates I and Iron Gates II dams, it is possible that the sedimentation in reservoir of phosphorus (adsorbed in suspended solids) and the eutrophication negatively influence the concentrations of  $\text{PO}_4\text{-P}$  and TP [7]. A study which investigated the nutrient and sediment retention capacity of the Iron Gate I Reservoir, based on weekly measurements (during

9 months in 2001, from February to October) of nitrogen and phosphorus species and of total suspended solids (TSS) showed that the reservoir does not play a major role in the retention of TN and TP, but only 1% for TN and 12% for TP of the incoming load were retained during the analyzed period [59]. The quoted study considers that the nutrient storage in large reservoirs cannot be taken for granted, and the reduction of nutrient concentrations in the LDR is caused by the cumulative effect of a large number of dams along the Danube and its tributaries.

## ***6.2 Temporal Variation and Trends in Nutrient Content of the Lower Danube River***

The temporal variation of nutrient concentration is determined, on the one hand, by natural factors, and on the other hand, by anthropogenic ones. Among the natural factors, the determinants are the hydro-climatic ones that induce variations of liquid and sediment flow and the physico-chemical properties of water (e.g. temperature, dissolved oxygen concentration, suspended sediments, etc.).

The nutrient content dependence on hydro-climatic conditions can be noticed in the years and extreme periods in terms of rainfall and runoff. Thus, considering the average annual concentrations of nutrients, high values were found in years with rich rainfall in the Danube basin and significant floods on the Danube River and its direct tributaries, such as 2005, 2006 and 2010. In the opposite direction, in the years 2011–2012, characterized by poor rainfall and low discharges of the Danube River, nutrient concentrations were also reduced [7]. It was observed that discharge is a major factor controlling the temporal variation of nutrient content [44]. However, the variation of the streamflow can only partially explain that of the nutrient content, since the latter can be significantly influenced by anthropogenic pressures, more precisely, the input of pollutants.

Over the whole period analyzed (1996–2017) we detected general linear decreasing trends in the variation of the average annual nutrient concentrations. They were statistically significant at all stations analyzed for nitrogen species, while in the case of phosphorus, a statistically significant decrease was identified only at Oltenița. Obvious decreases in nutrient concentrations were noticed at all stations, for most nutrients, after 2005. The exception is  $\text{NO}_3\text{-N}$ , for which the average annual concentration shows slight variations throughout the analyzed period.

For the stations in the upstream part of the analyzed sector (Baziaș and Pristol), in the case of phosphorus species, in the context of the period with their low concentrations, the years 2008 and 2009 stand out, with very high values, which could be due to some local sources of pollution (from Romania, Serbia or Bulgaria).

Over the course of a year, the nutrient content is variable, being influenced by hydro-climatic regime, water properties (e.g. temperature, dissolved oxygen content, suspended sediments), as well as anthropogenic pollution inputs.

Our analysis generally identified higher concentrations of nutrients in the cold period of the year (November–April) and lower ones in the warm season (May–October), due to lower and respectively higher consumption by phytoplankton. An exception is  $\text{NO}_2\text{-N}$ , which experiences inverse variations, with higher concentrations in the warmer months, most probably due to the reduction of nitrates to nitrites in less oxygenated conditions.

This variation reflects the inverse relationship between nutrient concentration and water temperature and the direct dependence on dissolved oxygen content, which is higher during the cold period of the year.

For the low nutrient content values in the summer-early autumn period, the low flow specific to the Danube and the high consumption of nutrients by the primary producers (well-developed phytoplankton during this period) may be responsible.

The trends we have identified are, in general, similar with those found in some previous studies. For instance, at Reni it was found a decreased content of  $\text{NH}_4\text{-N}$  between 1990 and 2012 and oscillating N and P transports since the 2000s [29]. The spatio-temporal variation of Water Quality Indices (WQI) in the Lower Danube River and its major tributaries in Romania (Jiu, Olt, Argeş, Ialomiţa, Siret, Prut), during the same period with our study (1996–2017), was performed in [47], based on annual means of 10 parameters, including ammonium nitrogen-ammonium ( $\text{NH}_4\text{-N}$ ), nitrogen-nitrates ( $\text{NO}_3\text{-N}$ ) and total phosphorus (TP). The cited study showed that the parameters with the highest contribution to WQI are ammonium and total phosphorus and the trend analysis indicated that water quality has improved significantly at the stations located along the Danube River (including those analyzed in our study) between 1996 and 2017. The tributaries Argeş, Ialomiţa, Siret and Prut are more polluted than the Danube River. The most altered are Argeş and Ialomiţa rivers whose water quality is significantly lower than the quality of the Danube River.

In the case of tributaries, water quality has not significantly improved, Argeş and Ialomiţa rivers still being sources of nutrients for the Danube River. However, due to the relatively low flow of these tributaries compared to the Danube River, their impact on the quality of the Danube water is not very high and it decreases downstream [47, 60].

The Joint Danube Survey 3, organized by ICPDR in 2013, also highlighted that, with respect to nutrients, Danube water quality has improved compared to previous surveys made in 2001 and 2007: in the Lower Danube River, the total nitrogen concentrations have decreased significantly, while total phosphorus had a slight decrease [60].

The analysis of pollution by nutrients along the Danube River (including its lower stretch) over the period 2001–2009, showed a general decreasing trend for all nutrient forms (except for few locations) [13].

For the period 2006–2015, in [7] it was also found a downward trend in the concentrations of the nutrient forms analyzed in our study, except for the case of  $\text{PO}_4\text{-P}$ , which experienced a slight increase. Based on the review of relevant papers on the Danube water quality, included in the Web of Science database, the reduction in nutrient loads was noticed since 1990, due to political, economic and water quality management changes in European countries [48].

The general decreasing trends identified in our study for annual average values, mainly for nitrogen species in all/most monitoring stations analyzed, reflect monthly trends. As shown in Sect. 5.5, statistically significant decreases were generally identified for average ammonium and nitrite concentrations in all/almost all months of the year. In the case of  $\text{PO}_4\text{-P}$  and TP, the linear trends identified are mostly decreasing, but statistically insignificant, except for the Oltenița station, where they are significant, especially for TP.

The decline of nutrient contents in the Danube's water during the last years can be explained by a reduction of pollution in the DRB following the implementation of a series of measures and actions aimed at protecting water quality and reducing polluting emissions, such as: the enhancing municipal and industrial wastewater treatment, reduction of nutrient emissions in agriculture throughout the Danube watershed, application of advanced technologies, environmentally friendly in the industry and agricultural practices, the use of phosphates free detergents etc. [5, 60]. Such measures have been applied in the specific legislative context of the European Union, in accordance with the regulations and goals set by the WFD and other EU Directives, of which the most relevant are: the urban wastewater treatment Directive (91/271/EEC), the Directive concerning the protection of waters against pollution caused by nitrates from agricultural sources (91/676/EEC), the Directive on integrated pollution, prevention and control (96/61/EC), the Directive on industrial emissions (2010/75/UE). As a result of the measures implemented at basin-wide scale, the 2nd DRBM (2015) reported that nutrient emissions were significantly lower in comparison to those of the 1st DRBM Plan (in 2009): total N emissions declined by 12%, and total P emissions decreased by 34% [5].

In Romania, after the fall of communism, in December 1989, there was a severe decline in industrial and agricultural activities which was reflected in the reduction of emissions of pollutants (including nutrients) generated by these two major economic areas and, therefore, in the better quality of waters. With its entry into the EU (in 2007), Romania has aligned itself with the policies of the EU, including in terms of water quality protection and aquatic ecosystem conservation. Furthermore, as a country located in the DRB, Romania must implement the measures established at the DRB level, such as those provided in the Danube River Basin District Management Plan and its updates. A major objective of this plan is to reduce pollution in general and, in particular, nutrient pollution.

### **6.3 Dependence of Nutrient Concentrations on Hydrological and Physico-Chemical Characteristics of the Danube River**

As previously mentioned, the variation of nutrients in the Danube water is influenced by several natural and anthropogenic driver factors. It is difficult to accurately quantify the role of each factor, but some estimates of the intensity of the links between nutrient concentration and different water or basin characteristics can be made. As shown in Sect. 5.6., there are several studies on this topic in large European river catchments, including the Danube basin, e.g. [13, 36, 56].

The influence of driver factors varies spatially. In [56], the relationships between several water quality parameters was investigated (including total nitrogen, orthophosphates and total phosphorus) and four main natural and anthropogenic drivers (air temperature, streamflow, agriculture and population) in three large European river basins (i.e., Adige, Ebro, Sava) during the period between 1990 and 2015. The study highlighted the complex relationships between the considered drivers and the analyzed water quality parameters, with spatial differences of the identified relationships.

In the present chapter, we investigated, by using the Spearman rank correlation, the links between the daily values of the selected nutrients, on the one hand and those of the daily flow, water temperature and dissolved oxygen concentrations, on the other hand. The results generally indicated a direct dependence between nutrient concentrations and streamflow, as in many cases the correlations were found to be statistically significant (especially for  $\text{NO}_3\text{-N}$ ). Predominantly positive and statistically significant correlations (for almost half of cases) were also identified between nutrient contents and dissolved oxygen concentrations (the  $\text{NO}_3\text{-N}$  content and dissolved oxygen has a significant positive correlation coefficient at all stations).

Water temperature is generally inversely related to nutrient concentration. Thus, high temperatures favor the development of phytoplankton/algal with high nutrient consumption, which reduces their concentrations, while in the cold period of the year, against a background of low phytoplankton development/activity, the nutrient content is higher. The higher dissolved oxygen content determines the presence of oxidized nutrient forms in higher concentrations (nitrates and orthophosphates).

Several previous studies have also approached the relationship between nutrients and hydrological/physico-chemical characteristics of the Danube's waters (including in its lower sector). Thus, in [13], using the Spearman correlation coefficient, the authors investigated the dependence between forms of nutrient concentrations and discharges for 22 monitoring stations located along the Danube River, of which 8 in the lower sector, based on TNMN data from 2001 to 2009. Our results are largely similar to those in the cited study, indicating, in general, the direct dependence

between flow and most nutrients. However, some differences were also found. The most notable is in the case of  $\text{NO}_2\text{-N}$ : in [13] negative (but statistically insignificant) correlations were obtained at 3 of the 5 stations also analyzed by us (Bazias, Oltenița and Chiciu), while our results indicated only positive correlations between discharge and  $\text{NO}_2\text{-N}$  load, of which two are statistically significant (at Chiciu and Reni). Some differences were also found in terms of the statistical significance of the correlations. The differences between the results can be attributed to different periods of analysis and to the factors that influenced/determined (sometimes locally) the nutrient contents in those periods.

## 7 Conclusion

In this study we investigated the spatial and temporal variation of nutrient concentrations, listed by WFD as key physico-chemical indicators in water ecological status assessment. The analysis focused on the Lower Danube River, categorized as being *at risk* due to nutrient pollution [11].

We investigated the variation of several species of nitrogen ( $\text{NH}_4\text{-N}$ ,  $\text{NO}_2\text{-N}$ ,  $\text{NO}_3\text{-N}$ ) and phosphorus ( $\text{PO}_4\text{-P}$  and TP), at five monitoring stations located along the Lower Danube River, on a length of about 940 km between (Bazias, 1071 river km) and (Reni, 132 river km), based on data collected over 20 years (1996–2017) within the framework of the TNMN. In order to understand the role of different drivers of the nutrient variation, we also investigated the dependence of the selected nutrient contents on some hydrological and physico-chemical parameters of water.

Due to the large size of the Danube River and its basin, there is a significant diversity of natural and anthropogenic drivers both at the level of the catchment and the watercourse. Therefore, the spatial and temporal variation of nutrients in the Danube's waters is highly complex and does not always respect predictable patterns and laws.

Upon entering the lower sector (at Bazias) the concentration of nutrients in the Danube is determined by emissions from upstream countries. Downstream, nutrients are added from diffuse and point sources of pollution along the LDR, as well as from tributaries in this sector, which can cause significant fluctuations in Danube's nutrient contents over time.

For nitrogen species (except for  $\text{NO}_2\text{-N}$ ), an increase in average multiannual concentrations from upstream to downstream has generally been identified. This could be explained by the increase in Danube flow and the input of pollutants brought by major tributaries of the Danube, such as Argeș, Ialomița, Siret and Prut (in Romania), and Russenski Lom, Iskar and Yantra (in Bulgaria), as well as due to local pollution sources.

Among the nutrients analyzed, the highest multiannual average concentrations have been found for  $\text{NH}_4\text{-N}$  and  $\text{NO}_3\text{-N}$ , while  $\text{NO}_2\text{-N}$  content is low and relatively constant along the Lower Danube River. The phosphorus forms also exhibited low concentrations, with a very slight decrease downstream.

In the variation of the annual average concentrations, general linear trends of statistically significant decrease for nitrogen species were identified in most of the analyzed monitoring stations, which reflect the trends at the monthly level. In the case of  $\text{PO}_4\text{-P}$  and TP, the identified linear trends are mostly decreasing, but statistically insignificant.

The decline of nutrient contents in the Danube water in recent years (especially evident after 2005) can be the result of the combination of several factors and measures for water quality protection, adopted and implemented in the European Union and within the Danube River Basin, such as: improvement of municipal/industrial wastewater treatment, reduction of fertilizers in agriculture, retention of nutrients in reservoirs, economic decline of former communist countries in the DRB etc. [61].

The study also investigated the links between nutrient variation and some characteristics of the Danube River, such as flow, water temperature and dissolved oxygen concentration. The results indicated the complex relationships between nutrient loads and considered factors, with spatial differences of the detected relationships due to the influence of local factors.

A direct link between nutrient content and streamflow was generally noticed, reflected by statistically significant correlations (especially for  $\text{NO}_3\text{-N}$ ). Predominantly positive and statistically significant correlations (for almost half of cases) were also identified between nutrient load and dissolved oxygen. Regarding water temperature, in most cases, an inverse relationship with nutrient concentration has been found.

## 8 Recommendation

Although a substantial reduction of nutrient concentrations in the Lower Danube River has been noticed, further efforts are still needed to decrease the nutrient contents, in order to meet the water quality objectives set by the WFD and the DRPC, namely to achieve the good status of water bodies. Furthermore, the ICPDR's basin-wide vision is that the Danube and Black Sea should no longer be impaired by eutrophication.

Therefore, further implementation of measures aimed at reducing nutrient inputs into surface waters and groundwater in the DRB is necessary. Because diffuse pathways are dominant in the total nutrient emissions (84% for TN and 67% for TP, according to [5]), measures addressing land management are crucial. Particular attention should be paid to the reduction of the emissions of nutrients generated by agriculture. Of high importance are also the measures aiming at reducing nutrient point source emissions, particularly improving the urban and industrial wastewater quality, through the use of appropriate nutrient removal technology.

Since, compared to the Danube River, in the case of its main tributaries in the lower sector water quality has not significantly improved, it is imperative to act to reduce pollution of these water courses. This is especially necessary for Argeş River, highly polluted, because of the collection of partially treated wastewater originating from the Bucharest wastewater treatment plant.

Monitoring the water quality parameters of the Danube River and its tributaries must be continued rigorously, as knowledge of their variation in time and space is crucial for assessing the water quality and for adopting protection measures against pollution. These measures should be in line with the qualitative characteristics of the different sectors of the Danube River. The analysis of the temporal dynamics of the pollutant concentrations provides to the authorities responsible with water quality management valuable information regarding the efficiency of the pollution reduction measures [31].

Scientific studies on nutrient content in aquatic systems, based on data from available databases and measurement campaigns conducted by researchers, are of great interest to provide and improve the scientific basis for integrated river basin management. Due to the variability of the water quality parameters and their determinants, addressing this topic remains a permanent challenge in the Danube River Basin.

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## Annex

See Table 5.



**Table 5** Trends in average monthly nutrient concentrations in Lower Danube River at studied monitoring stations (1996–2017)

Month	Baziaş		Pristol		Oltenita		Chicui		Reni	
	Trend	Sign.	Trend	Sign.	Trend	Sign.	Trend	Sign.	Trend	Sign.
<i>NH4-N</i>										
I	↓	**	↓	*	↓	*	↓	**	↓	**
II	↓	**	↓	**	↓	*	↓	***	↓	**
III	↓	**	↓	***	↓	*	↓	***	↓	**
IV	↓	***	↓	***	↓	***	↓	*	↓	**
V	↓	***	↓	***	↓	***	↓	***	↓	***
VI	↓	***	↓	***	↓	**	↓		↓	*
VII	↓	***	↓	**	↓	***	↓	***	↓	**
VIII	↓	**	↓	***	↓	***	↓	*	↓	
IX	↓	*	↓	***	↓	***	↓	***	↓	+
X	↓	**	↓	***	↓	**	↓	*	↓	**
XI	↓	*	↓	***	↓	**	↓	***	↓	***
XII	↓	***	↓	***	↓	***	↓	**	↓	**
<i>NO2-N</i>										
I	↓	+	↓		↑		↓		↓	+
II	↓	**	↓	*	↓		↓		↓	*
III	↓	***	↓	*	↑		↓		↓	
IV	↓	**	↓	***	↓		↓	*	↓	**
V	↓	*	↓		↓		↑		↓	+
VI	↓	*	↓	**	↓				↓	*
VII	↓	*	↓	**	↓	**	↓		↓	*
VIII	↓	*	↓	**	↓	***	↓	**	↓	**
IX	↓	***	↓		↓		↓		↓	**
X <sup>a</sup>	↓	***	↓	**	↓	*	↓	**	↓	**
XI <sup>a</sup>	↓	*	↓	***	↓	(+)	↓		↓	
XII <sup>a</sup>	↓	*	↓	**	↓		↓	*	↓	+
<i>NO3-N</i>										
I	–		↓		↑		↓		↓	*
II	↓		↑		↓		↓	*	↓	**
III	↓	+	↓	*	↓		↓		↓	
IV	↓	**	↓	**	↓		↓	*	↓	*
V	↓		↓	*	↓		↓		↓	**
VI	↓		↓		↑		↑		↓	
VII	↓	**	↓		↓	**	↓		↓	+
VIII	↓		↓		↓		↓		↓	+
IX	↓		↓		↓	+	↓	*	↓	***
X	↓		↑		↓	*	↓		↓	*
XI	↓		↓		↓	**	↓	*	↓	***

(continued)

**Table 5** (continued)

XII	↓		↓	*	↓		↓	**	↓	**
<i>PO<sub>4</sub>-P</i>										
I	↓		↓		↓		↑		↑	
II	↓		↓	+	↓		↑		↑	
III	↓		↓		↓	+	↑		↓	
IV	↓	*	↓		↓	*	↑		↓	
V	↓		↓	*	↓		↑		↓	
VI	↓		↓		↓	*	↓		↓	
VII	↓		↓		↓	***	↓		↓	
VIII	↓		↓		↓	*	↓	*	↓	
IX	↓		–		↓		↓		↑	
X	↑		↓		↓		↑		↑	
XI	↓		↓	+	↓		↑		↑	
XII	↓	*	↓		↓	+	↑		↑	
<i>TP</i>										
I	↓		↓		↓	+	↓		↑	
II	↓		↓		↓		↑		↑	
III	↓		↓		↓	*	↓		↑	
IV	↓		↓		↓	**	↓		↓	
V	↓		↓		↓	*	↓		↓	
VI	↓		↓		↓	**	↓		↓	
VII	↓		↓		↓	***	↓		↑	*
VIII	↓		↓		↓	**	↓	*	↓	
IX	↑		–		↓	+	–		↑	
X	↑		↑		↓		↓	+	↑	
XI	↓		↓		↓	+	↓		↓	
XII	↓		↓		↓	**	↓		↑	

↓ Downward trend; ↑ Upward trend; – No trend (stationarity). Level of significance ( $\alpha$ ) of the linear trend: \*\*\* = 0.001; \*\* = 0.01; \* = 0.05; + = 0.1; empty cell = level of significance less than 0.1 (no statistical significant trend). Data non available in 2016 for P total at Baziaş, Pristol, Olteniţa and Chiciu

<sup>a</sup> At Olteniţa for NO<sub>2</sub>-N the trends are similar for October and Decembre whether or not we consider the records in 2011, but in November it is a downward trend at  $\alpha = 0.1$  level of significance (marked in brakets) if data from 2011 are not considered

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# Human Impacts on Water Resources in the Lower Danube River Basin in Serbia



Dejana Jakovljević, Ana Milanović Pešić , and Dragana Miljanović

**Abstract** Due to favourable living conditions, the Lower Danube River Basin in Serbia has constantly been populated since prehistory, which has caused different impacts on the environment. This chapter aims to address human impacts on water resources in this area. These impacts involve the use of aquatic resources for water supply, hydropower, navigation, fishing, tourism and recreation. The multiple purposes of the Đerdap Hydropower and Navigation System (also known as Iron Gate) are also presented. Human activities cause changes in the hydrochemistry and living conditions for aquatic organisms in the Danube River and its tributaries. Water quality and pollution was assessed using the water quality indices, including the Serbian Water Quality Index (SWQI), Canadian Water Quality Index (CWQI), Agri-food Water Quality Index (AFWQI), and the Water Pollution Index (WPI). Measurements are performed on three hydrological stations: Tekija, Brza Palanka and Radujevac. Results show that water quality depends on parameters used in different indices. However, general conclusion is that the lowest water quality is recorded at Radujevac, which is the farthest downstream. Anthropogenic pollution sources include Copper Mine in Majdanpek, industrial zone in Mosna, the production of phosphoric and mineral fertilizers in Elixir Prahovo, untreated wastewater and landfills, emissions from road traffic and navigation, pesticides and other chemicals from agriculture. Besides significant multifunctional role of the Đerdap Hydropower and Navigation System, the construction of Đerdap reservoir had negative impact on migratory fish species. The chapter also addressed issues on protection and restoration of water resources. In this context, protective measures and international projects jointly implemented by Serbia and Romania are presented and the role of the Đerdap National Park for the conservation of water resources was emphasized.

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## 1 Introduction

Water resources and aquatic ecosystems are affected by population increase, economic development and urbanization. All these stressors affect the integrity of aquatic ecosystems and sustainable use of water resources. Land use changes, such as increasing urbanization and deforestation, decrease groundwater recharge and increase flood hazards. Agricultural practices, such as irrigation, strongly impact the availability of freshwater for humans and ecosystems [1]. Agricultural land use degrades water resources by increased diffuse inputs of sediments, pesticides and nutrients [2]. Effluents from wastewater treatment plants, untreated sewage and industry severely affect water quality [3]. Increased urban land use can change the amount and variety of pollutant runoff, increase water temperature, leading to the loss of riparian vegetation and degradation of aquatic habitats [2]. Rivers are among the most affected ecosystems [4]. Anthropogenic influences have considerable effects on large river systems, resulting in multiple and severe hydromorphological alterations changes in sediment and nutrient flux [5, 6]. Rivers are threatened by pollution, water abstraction, river damming and channelization [4]. River channelization measures alter the fluvial morphology in the most direct form. When a meandering river is transformed into a straight channel, the whole ecosystem in the main river arm and the alluvial reaches beside it is affected by the hydraulic effects of channelization. The construction of dams is a local severe intervention with remote upstream and downstream impacts on the river system. Dams capture sediment moving down the river, causing severe downstream consequences, such as the erosion of fine sediment and degradation of habitats for aquatic species [7, 8]. Transforming rivers to reservoirs has far-reaching impacts, including production of organic matter, biodiversity, and changes in function and services provided by aquatic ecosystems [9]. Dammed reservoirs serve as a sink for contaminants [10]. The hydrological alteration, including river regulation, impoundments, and channelization, causing floodplains to be disconnection from the main river, may also significantly impact nutrient cycling [11]. Rivers are also used for water supply, fisheries [12] and hydropower [1]. Hydropower generation causes a major pressure on river ecosystems. Through damming, water abstractions, hydropeaking, hydropower plants affect aquatic habitats by altering discharge regimes and fragmenting river channels [1, 13]. Hydropeaking (discontinuous release of turbinized water due to the peaks of energy demand) causes artificial discharge fluctuations downstream of reservoirs with a harmful impact on aquatic ecology, e.g. the relocation of organisms to a potentially less suitable habitats, as well as physiological, mechanical, or predatory stress [14]. Human activities can negatively impact fish habitats; the same applies to commercial or recreational fisheries, which can use stocks in an unsustainable

way [15]. Please check and confirm the author names and initials are correct. Also, kindly confirm the details in the metadata are correct. We confirmed.

Significant pressures on the Danube River include organic pollution and pollution with nutrients, and hazardous substances, as well as hydromorphological alterations, and other issues, such as changes of quality and quantity of sediments, and appearance of alien invasive species. Organic and nutrient pollution is generated from urban wastewater, industry and agricultural sources. Hydromorphological alteration involves interruptions of river continuity and morphological alterations, wetland or floodplain disconnection and hydrological alterations [16]. The alterations of Danube's morphology involve engineering approaches to create a single straightened channel accompanied with changing the depth or width of the river. In order to improve inland navigation, flood protection and hydropower generation, the Danube River has been narrowed, channelized, disconnected from floodplains and morphologically degraded [5]. The impact of these works has been boosted by the effects of land use changes, such as agricultural intensification and forestry development. Engineering works also cause river bed erosion and lateral erosion (e.g. downstream of Đerdap I and II) [6]. The construction of hydropower impoundments changes river systems by disrupting the connection between the river and backwater, changing the shoreline, and stabilizing previously dynamic water levels [17]. Impoundments are marked by deposition and excessive sedimentation and remobilization of fine sediments during severe floods [6]. This ecological situation is reflected in the alteration of riverine habitats, leading to the decline of the biodiversity of species and affect certain faunal associations, especially fish assemblages [17], such as sturgeon [5].

The main issue addressed in the chapter is the human impact on water resources in Lower Danube River Basin. The chapter aims to present water resource uses including water supply, hydropower use, navigation, tourism and recreation and fishing, as well as water quality, pollution and protection of water resources. In order to assess water quality (general and for different purposes), various indices were used and compared, which provide the added value of this study.

## 2 Study Area

Out of the total length of the Danube River (2,857 km), 588 km (20.58%) are located in Serbia. Its basin area covers 801,463 km<sup>2</sup>, of which 81,506 km<sup>2</sup> (10.17%) belong to Serbia [18]. The Danube River enters Serbia near the settlement of Batina (km 1,433, at a height of 81 m above sea level), and exits at the mouth of the Timok River (km 845.5, at a height of 28 m above sea level). It is the border river with Croatia (138 km, km) and Romania (230 km) [19]. In terms of natural features, the watercourse of the Danube River in Serbia can be divided into the Pannonian, Đerdap and Western Pontic sectors. The lengths of these sectors are determined based on the Navigation Chart of the Danube River in the Republic of Serbia (km 1433.1–km 845.5) [20]. In the Pannonian sector, which stretches from the Hungarian–Serbian border (km 1,433) to the settlement of Golubac (km 1,042), reaching the length of about 391 km, the



Danube River is a plain water course. The Đerdap sector encompasses the course of the Danube River through the Đerdap Gorge (also known as Iron Gate) from Golubac (km 1,042), to the settlement of Sip (km, 939) and it is 103 km long [20]. In this part, the Danube River used to be a mountain river. Now, the river velocities are significantly reduced. Downstream from Sip (km 939) to the confluence of the Timok River into the Danube River (km 845), on the Serbian–Bulgarian border, there is a 94 km long Western Pontic sector. In this part, the Danube River has the characteristics of a plain river. This chapter deals with the Lower Danube River in Serbia, which covers the Đerdap and Western Pontic sectors, and has a total length of 197 km (Fig. 1). In administrative terms, this area in Serbia covers 3,018 km<sup>2</sup> in the territories of four municipalities (Golubac, Majdanpek, Kladovo and Negotin) and has a population of 84,708 inhabitants [21].

According to the 1948 Danube Convention, the Đerdap sector of the Danube River is 117 km long: from the settlement of Vinci (upstream from Golubac) to the settlement of Kostol (downstream from Kladovo). However, based on geomorphological criteria, the Danube River enters the Đerdap Gorge near the Golubac fortress (km 1,042) and exits near the settlement of Sip (km, 939) [22]. In this 103 km long sector, the river flows through a composite valley, including four gorges (Golubac, Gospodin Vir, Kazan and the Sip) and three alternating valleys (Ljupkovska Valley, Donjomilanovačka Valley and Oršavska Valley). Before the construction of the Đerdap I Hydropower and Navigation System, the Danube River was a mountain river with large falls in this sector, 180–2,200 m wide, with a river flow speed of 18 km/h [23]. With vortex erosion between rocks, it cut giant pot holes, causing



Fig. 1 Map of study area

significant difficulties in navigation. In one of them, near the rock of Pjatra Lunga (Long Stone) in the gorge of Gospođin Vir, the greatest depth of the Danube River and the greatest river depth in Europe (82 m) was measured. After the construction of the Đerdap reservoir, the water velocity was reduced to 1.08 km/h, and many rocks in the riverbed were submerged [23]. The largest bays of the Danube River in the Đerdap sector are located along the Romanian coast, at the mouth of the river Cerna near Orșova (4.5 km long and up to 2.1 km wide) and on the Serbian coast at the mouth of the Porečka River (4.25 km long, up to 0.62 km wide) [22]. They were formed after the construction of the Đerdap reservoir in places where water submerged the lower parts of river valleys.

The Đerdap reservoir, formed by partitioning the Danube River in the Đerdap Gorge is the largest lake in Serbia. It was constructed at km, 943 in 1964–1972. The dam has two side parts and a central space with 14 overflow fields, which drain excess water from the reservoir. It is 61 m wide and 1,278 m long [24]. Hydropower Plant Đerdap I (HPP Đerdap I) is located on it. The formation of the reservoir improved conditions for upstream and downstream navigation because the waters submerged underwater rocks. When the water level on the Danube River changes, its shoreline shifts, and all morphometric indicators change. At high water levels, Đerdap reservoir covers an area of 253 km<sup>2</sup> (163 km<sup>2</sup> on the Serbian side and 90 km<sup>2</sup> on the Romanian side) [25] and the maximum volume of the reservoir reaches  $2.8 \times 10^9$  m<sup>3</sup> of water [26]. The width is different in its parts: it is the smallest in the gorge Mali Kazan (about 180 m) and the largest in the Donjomilanovačka Valley (about 2,200 m). There are also different data regarding the maximum depth, ranging from 82 to 92 m. The maximum water transparency is 3–4 m. The largest island in the lake is Moldova, located 10–35 m above the lake surface opposite Golubac and it belongs to Romania [22]. The submergence of the coastal zone caused changes in the territorial distribution of settlements. The reservoir completely or partially submerged the settlements of Donji Milanovac, Mosna, Malo Golubinje, Veliko Golubinje, Tekija, Sip, Dobra, Brnjica, Golubac and Usje. The population and more valuable buildings from these settlements were relocated. Cultural and historical monuments (Lepenski Vir, Trajan's Way, the fortress on the island of Ada Kale) were submerged, while Trajan's Plaque was raised above the level of the lake [22].

Downstream from the Đerdap Gorge at km 862.8 of the Danube River it was built a second dam and reservoir in 1977–1985. The second dam was built 80 km downstream from the first one for additional power production and more flexibility of the joint operation of the two power plants [27].

The major tributaries of the Lower Danube River in Serbia include: Brnjica River (25.6 km), Porečka River (19.1 km), Boljetinska River (16 km) and Dobranjska River (12.8 km) [22] in Đerdap sector, and Timok River (202 km), Jesenička River (40 km), Zamna River (35 km), Slatinska River (23 km) [23] and Podvrška River (20.4 km) [22] in the Pontic sector.

Based on data from the nearest hydrological station where discharge is measured, Veliko Gradište (located upstream of the Đerdap sector), the average annual discharge of the Danube River in this sector is 5,460 m<sup>3</sup>/s. The highest waters occur in April (7,793 m<sup>3</sup>/s), and the lowest in October (3,637 m<sup>3</sup>/s) [22]. It is estimated that the mean

multiannual discharge of the Danube at the exit from Serbia is approximately 5,500 m<sup>3</sup>/s [28]. Based on the above-mentioned data, it can be concluded that the Danube River is abundant in water in April–May, and the poorest in water in September–October. The Danube’s tributaries in this area, and especially the Timok River, have the highest discharges in March and April, and the lowest in August and September.

In Serbia, the Đerdap Gorge, together with the Danube River and Đerdap reservoir, was granted the status of a national park in 1974. The Serbian part of the Đerdap National Park stretches along the right bank of the Danube River over the territory of three municipalities (Golubac, Majdanpek and Kladovo), covering an area of 637.68 km<sup>2</sup> [29] and it is the largest national park in Serbia. It is referred to as the “river national park” [30], keeping in mind that a significant part (7.8% of the total area of the NP) is the Danube River [22]. The Đerdap Gorge is the most striking natural phenomenon in the National Park. It is the longest incising composite gorge in Europe [22, 29, 31, 32].

The Đerdap National Park is internationally recognized as an Important Plant Area (IPA): 57 highly complex and diverse forest communities and 1080 plant species have been identified in the national park. The Park was also declared an internationally Important Bird Area (IBA), since about 255 species of birds are present, as well as a Prime Butterfly Area (PBA), thanks to the presence of 104 species of daily butterflies [22]. Finally, the Đerdap area was declared in 2020 the eleventh and largest area in Serbia that has been included in the list of Wetlands of International Importance (Ramsar sites). The Đerdap Ramsar area covers a total area of 665.25 km<sup>2</sup>. It includes the Đerdap National Park and the Internationally Important Bird Area Mala Vrbica, which is outside the boundaries of the National Park. It has gained the status of an Internationally Important Area thanks to the presence of habitats of wetland birds [33–35]. The Đerdap National Park is also a member of the Danube River Network of Protected Areas [36].

The Đerdap Geopark is the first area in Serbia to be inscribed on the UNESCO Global Geoparks Network in 2020. It covers an area of 1,330 km<sup>2</sup> and includes the territory of the Đerdap National Park and Danube hinterland (parts of the Kučaj and Miroč mountain massifs) by 692 km<sup>2</sup>. It includes four municipalities: Golubac, Majdanpek, Kladovo and Negotin [32].

## 3 Water Management Framework in Serbia

### 3.1 Legal Framework

Establishing a national water legislation framework compliant to the requirements of the European water legislation (known as the *Acquis*) is one of the priorities in the European Union accession process.

The water sector in Serbia is regulated by numerous laws, of which the main one is the Water Law [37]. Its implementation is supported by relevant bylaws related

to water (Regulations and Rulebooks), such as: the Regulation on emission limit values of pollutants in water and deadlines for their achievement [38]; Rulebook on parameters of the ecological and chemical status of surface waters, and parameters of the chemical and quantitative status of ground waters [39]; Regulation on limit values of pollutants in surface and ground waters and sediments and deadlines for their achievement [40]; Regulation on limit values of priority and priority hazardous substances that pollute the surface waters and deadlines for their achievement [41]; Rulebook on the method and conditions for measuring the quantity and testing the quality of wastewater and the content of the report on the performed measurements [42]; Decision on determining the boundaries of water areas [43]; Rulebook on defining the methodology for designing the vulnerability map and flood risk map [44], and etc. (more information about all bylaws is available on the internet portal of the Water Directorate [45]).

The transposition of the *Acquis* (European legislation) into national legislation is presented in the National Programme for the Adoption of the *Acquis*—Third Revision [46]. Apart from the mentioned document, the transposition of the EU environmental legislation (environmental *Acquis*) into national legislation and the required institutional framework to implement that legislation, as well as the estimated total cost of environmental approximation, are presented in the National Environmental Approximation Strategy for the Republic of Serbia [NEAS] [47]. In the Water Sector Approximation Strategy [48], the framework for approximation of EU water legislation, regulations that are relevant for the Republic of Serbia, are defined in an accompanying document to the NEAS. The aforementioned National Programme for the Adoption of the *Acquis*—Third Revision [46] presents the current situation regarding the transposition and implementation of the EU environmental legislation (Section 3.27), including water management directives (Subsection 3.27.4). The directives that have not been fully transposed into the national legislation (Water Law and accompanying bylaws) have been singled out. As highlighted in the National Environmental Approximation Strategy for the Republic of Serbia [47], based on the experience from previous EU enlargement processes, the transitional period involves only Heavy Investment Directives, which require significant financial resources (about € 4.1 billion), such as the Urban Waste Water Treatment Directive and the Nitrates Directive.

According to [49] difficulties related to EU Urban Waste Water Treatment Directive implementation in Serbia are associated with poor sewage systems and unsatisfactory wastewater treatment (the lack of wastewater treatment or inadequate procedures), which is reflected in the quality of wastewater from households and industry. It is loaded with organic matter and nutrients, as well as with hazardous substances. As the authors conclude, untreated wastewaters are one of the most important threats to surface water in Serbia. Namely, in 2017, about 62.2% of urban waste-water was collected by public sewage systems, 13.9% of which was collected by public systems with treatment (1.3% of the population is connected to primary treatment, 9.2% to secondary treatment, and only 3.4% to the most advanced, tertiary treatment), and 48.3% to the systems without purification [50]. Given the level of sanitation of

urban settlements, it is evident that Serbia is lagging significantly behind European countries, as indicated by the data presented in [49].

In Serbia, 50 wastewater treatment plants were built in settlements with more than 2,000 inhabitants and 32 plants are active. Only a few wastewater treatment plants operate according to their design criteria [24]. According to the 2011 Census, settlements with up to 2,000 inhabitants account for 90.5% of the total number of settlements, with about 25% of the total population, while the population of settlements with more than 2,000 inhabitants (9.5% of the total number of settlements) accounts for about 75% of the total population [51]. The national settlements network is dominated by the capital city, owning 16.23% of the total population and 27.45% of the population of urban settlements [52]. These data reveal the spatial distribution of the population, i.e. an unbalanced population development and regional inequality [51–54].

Results of analysis of nitrate concentrations trends in Serbian watercourses for two decades (1998–2007 and 2008–2017) according to [55], show the importance of Serbia's obligations (related to reduce water pollution caused by nitrates from agricultural sources) in the implementation of the provisions of the Nitrates Directive in the EU accession process.

Environmental legislation, primarily in relation to water protection from pollution, is of particular importance for achieving the good status of water. The following laws are particularly important: Law on Environmental Protection [56], Law on Environmental Impact Assessment [57], Law on Strategic Environmental Impact Assessment [58] and Law on the Integrated Prevention and Control of Environmental Pollution [59]. The aforementioned National Programme for the Adoption of the *Acquis*—Third Revision [46] outlines further steps in the process of harmonizing with the EU's environmental legislation (Section 3.27), sections Horizontal legislation (Subsection 3.27.2) and Industrial pollution (Subsection 3.27.6), where the implementation of Directive 2010/75/EU of the European Parliament and the Council of 24 November 2010 on industrial emissions (integrated pollution prevention and control) is of special importance in terms of water protection (to reduce pollution from industrial facilities). It has been partially transposed into the Law on Integrated Prevention and Control of Environmental Pollution [59] and bylaws.

Spatial development policy is closely related to environmental protection policy [60] and, accordingly, to water management policy. As already mentioned, the Law on Planning and construction [61], which determines the conditions and the land use mode towards preventing conflicts of the physical space, is also important for water protection, as well as for the protection of organisms living in aquatic ecosystems, and accommodation of water infrastructure in physical space. In addition, land use planning is an important instrument for reducing flood risk. In contrast, according to [62], an uncontrolled urban development in Serbia increases the vulnerability of urban areas to natural disasters.

There are other laws which regulate particular aspects of water policy (i.e. water quality protection and the protection of natural/hydrological heritage, water use, protection against the harmful effects of water, monitoring, etc.). These are the Law on Nature Conservation [63], Law on Protection and Sustainable Use of Fish Resources

[64], Law on Public Health [65], Law on Navigation and Ports on Inland Waterways [66], Energy Law [67], Law on Waste Management [68], Law on Meteorological and Hydrological Activities [69], Law on Communal Activities [70], Law on Local Government [71], Law on Disaster Risk Reduction and Emergency Situations Management [72], and another laws.

### ***3.2 Institutional Framework***

The institutional framework for the water sector, consisting of institutions and national, regional and local government bodies, and public water-related companies, ensures successful transposition and implementation of water-related EU directives, i.e. the implementation of adopted laws. The competence of relevant institutions is defined by the Water Law [37].

The Ministry of Agriculture, Forestry and Water Management, in accordance with the Law on Ministries [73], is responsible for the water sector, together with the Water Directorate (an administrative unit in the Ministry). The Serbian Environmental Protection Agency (SEPA), responsible for air and water quality monitoring, reporting on the status of the environment, and other activities in the domain of environmental protection according to the Law on Environmental Protection [56] and Law on Ministries [73], is part of the Ministry of Environmental Protection.

Reporting on water quality in Serbia is defined by national legislation. In accordance with the 2011 Law on Ministries [74], the implementation of the annual surface and groundwater quality monitoring programme has been transferred from the competence of the Republic Hydrometeorological Service (RHMS) of Serbia to the competence of the Serbian Environmental Protection Agency (SEPA) under the Ministry of Environment Mining and Spatial Planning. The Agency publishes water quality data. Annual water quality reports have been published since 1965 [75]. According to the authors, in 2012, the SEPA started the implementation of a surface and groundwater status monitoring programme in accordance with several bylaws harmonized with the Water Framework Directive. Quantitative monitoring including data collection about water levels, discharges and temperatures of surface waters, as well as water tables and temperatures of groundwater is performed by the RHMS of Serbia.

Two monitoring programmes (one for the Đerdap I reservoir in 1978, and the other for the Đerdap II reservoir, in 1985) were established to ensure the monitoring of the environmental impacts of the Đerdap Hydropower and Navigation System on the Danube River and effects of the protection measures (Mladenović and Radosavljević, 2013, cited in [13]).

In addition to the Ministry of Agriculture, Forestry and Water Management and the Ministry of Environmental Protection, other ministries are also involved in the activities in the water sector, in accordance with the Law on Ministries [73], e.g. the Ministry of Health, the Ministry of Construction, Transport and Infrastructure, and

the Ploput Waterways Directorate (responsible for the maintenance and development of inland waterways in the Republic of Serbia with international and interstate navigation regimes—the Danube, Sava and Tisa Rivers), the Ministry of Mining and Energy—Energy Agency, Ministry of Interior—Sector for Emergency Situations, etc.

At the local level, local self-government units, such as secretariats (for the territory of the City of Belgrade), or directorates, departments and other units in other local self-governments (cities and municipalities) are responsible for activities in the water sector [24].

Three public water management companies (WMC) are responsible for water management activities on the territory of Serbia: Srbijavode WMC, Vode Vojvodine WMC and Beogradvode WMC [24]. Public Water Management Company Srbijavode has three water management centres [76], of which Sava–Danube center (for the Sava and Danube water areas outside the territory of the Autonomous Province of Vojvodina), includes Low Danube Basin in Serbia. The Jaroslav Černi Institute for the Development of Water Resources is a leading research organization in Serbia in the water sector [77].

### **3.3 Planning Framework**

The Water Law [37] stipulates the development of the Water Management Strategy for the territory of the Republic of Serbia, a planning document which indicates the long-term directions of water management in this country. As highlighted in the Water Management Strategy for the territory of the Republic of Serbia until 2034 [24], the adoption of this planning document ensures continuity in the long-term planning of the water sector. Until the adoption of the Strategy, issues related to water management were regulated by the document Water Management Plan of the territory of the Republic of Serbia [78]. The current Water Management Strategy defines the long-term directions of water regulation and water use, water protection from pollution and waterway regulation, as well as protection against the harmful effects of water, in accordance with the EU water legislation.

The Spatial Plan of the Republic of Serbia from 2021 to 2035 [79] as the basic document of spatial planning and development in this country, defines, inter alia: the concept of the long-term water infrastructure development so as to ensure the rational use, regulation and protection of waters; the concept of protection and improvement of environmental status based on the conservation of major compartments of the environment (e.g. air, water and soil); and the development concepts for other thematic fields that regulate some of the issues related to water, as well as development concepts for other sectors. According to [61], regional plans as well as plans of local government units (municipalities) also deal with water infrastructure development.

The National Strategy for Sustainable Use of Natural Resources and Goods [80] stands out as a strategic document relevant for the water sector. The goal of the Strategy is to improve the economic development through an efficient use of

natural resources, which involves less intensive use, while reducing the environmental impact. In the context of water protection refers, it implies an economic growth that does not put pressure on water resources (reduced water use) and does not cause water pollution.

The importance of strategic development direction's for individual segments in the water sector, from water use to water protection, is also highlighted in other national documents/sectoral strategies (for example: development strategies on industry, tourism, agriculture, waste management, water transport, etc.). Namely, the intertwining of public policies reflects the functional interdependencies within the natural system, as well as those between social systems (institutions) and the fields of public policies—sectoral integration [60]. Thus, for example, providing a sustainable solution to the issue of waste management through the construction of modern infrastructure would prevent the contamination of surface and groundwater from landfills as a serious form of pollution in Serbia. It is necessary to emphasize the role of education as one of the most important strategies to increase awareness of environmental problems [e.g. 81, 82], i.e. on water pollution, as well as the importance of education in protection against natural disasters, i.e. for natural disaster preparedness [e.g. 83, 84].

## 4 Human Use of Water Resources

The importance of the Danube River as a water resource for various uses has been recognized since ancient days. This is evidenced by numerous archaeological sites at various locations; several of them can be found in the Đerdap Gorge. The most famous is the archeological site Lepenski Vir (9500 BC), which shows that humans inhabited this area in an early age due to favorable living conditions [22]. In the past, the Danube River was mostly used for navigation and fishing. Danube River in the Đerdap Gorge was a natural spawning ground for sea fish in fresh waters: beluga sturgeon, trout, sturgeon, sterlet. The river species caught by fishermen include catfish, perch, carp, bream, barbel, chub etc. Caviar was obtained from the caught sea fish, which had a significant share in the fish catch, especially after World War I. With the construction of the HPPs Đerdap I and Đerdap II, fish migration routes were cut off, due to which river fish species now prevail in the fish catch in the Danube River.

Nowadays, the Danube River as a water resource is used for different purposes: water supply of settlements, hydropower production, industry, agriculture, tourism and recreation.

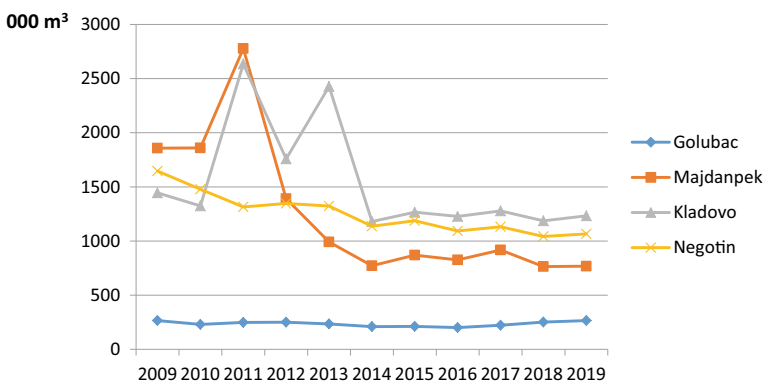
### 4.1 Water Supply

In Serbia, the water supply of the population ranges between acceptable and good [28] and this situation is also reflected in the municipalities of the Lower Danube Basin. In the municipal centres of the Lower Danube River, water supply is organized



from regional or local water supply systems. The inhabitants of rural areas receive drinking water from public water supply systems operated by municipalities, local water supply systems built and maintained by the communities, or from their own wells.

In the territory of the four municipalities in the Lower Danube River discussed in this chapter, groundwater from local sources (often accumulated in karst massifs) is prevalently used for water supply. Alluvial aquifers, the Danube River and reservoirs are also used. According to the data of the Statistical Office of the Republic of Serbia for the 2009–2019 period [85] the average amount of extracted water in the municipalities of the Lower Danube River in Serbia was  $8.37 \times 10^6 \text{ m}^3/\text{year}$ , out of which  $4.27 \times 10^6 \text{ m}^3/\text{year}$  was delivered for drinking water supply, which is about 51% of the total extracted water. Based on data from 2019, it may be calculated that 25,674 households are connected to various water supply systems, accounting for about 81.46% of the total households. This is slightly lower than Serbia's average, showing that 86.71% of the total households are connected to drinking water supply systems. Based on the amount of delivered drinking water and the number of households connected to water supply systems in the analyzed period, the average specific water consumption in the Lower Danube River Basin in Serbia was calculated to be 170.1 l/inhabitant/day. This value is above Serbia's average, which was 148 l/inhabitant/day in the previous period [28]. However, the consumption is lower than the average consumption in European countries, which is 200–300 l/inhabitant/day [86]. For a more detailed analysis of water supply in individual municipalities, values were calculated based on the data provided by the Statistical Office of the Republic of Serbia for 2009–2019 [85]. The amount of water consumed as drinking water in the municipalities of the Lower Danube River in Serbia is shown in Fig. 2. A significant decrease in the supplied drinking water can be observed in the municipalities of Kladovo and Majdanpek after 2011. According to [85], the number of households connected to water supply systems in Kladovo



**Fig. 2** Supplied drinking water by municipalities in the Lower Danube River Basin in Serbia (Source of data [85])

Municipality was around 10,500 in the period 2009–2011, while since 2012 there are about 7,100 households. The decrease in the number of households by about 3,400 contributed to lower consumption of drinking water. In Majdanpek Municipality, no trend was noticed in the change of number of households in the analyzed period. Therefore a clear reason for the decrease in drinking water consumption can not be stated. One of the reasons could be the decrease in the number of inhabitants in this municipality. However, the latest data in the number are from the 2011 Census, so now it is difficult to determine the exact number of inhabitants.

In the Golubac Municipality, the groundwater springs is used for water supply. There is a central water supply system for the municipal centre at Golubac and five suburban settlements along the Danube River. Other 18 settlements are supplied from rural water supply systems relying on local water intakes and springs [87]. According to the data provided by [85], it was calculated that the average amount of extracted water was  $0.84 \times 10^6 \text{ m}^3/\text{year}$ . Out of that amount,  $0.23 \times 10^6 \text{ m}^3/\text{year}$  on average was delivered as drinking water (about 27.4%). Based on data for 2019, it is calculated that 70.7% of households in this municipality are connected to various water supply systems. The specific water consumption in this municipality was 107.03 l/inhabitant/day in the analyzed period.

The Majdanpek Municipality is supplied with water from reservoirs on the rivers Veliki Pek and Mali Pek, Danube River and local springs in the mountainous area. It is noteworthy that the second-largest settlement in this municipality, Donji Milanovac, is supplied from a drinking water treatment plant with a capacity of about 40 l/s. This plant uses the Danube water as raw water [88]. In 2009–2019 period, the average amount of extracted water in the Majdanpek Municipality was  $2.9 \times 10^6 \text{ m}^3/\text{year}$ , out of which  $1.25 \times 10^6 \text{ m}^3/\text{year}$  was delivered as drinking water (about 43.1%). Based on data for 2019, it is calculated that 82.8% of households in this municipality are connected to water supply systems. Specific water consumption was 221.22 l/inhabitant/day in the 2009–2019 period.

In the Kladovo Municipality, 18 settlements have water supply systems, two of them have combined water supply, while three settlements do not have water supply systems [22, 89]. The supply with drinking water to the municipal center of Kladovo and two surrounding settlements is based on groundwater. The average amount of extracted water for 2009–2019 in the Kladovo Municipality was  $2.51 \times 10^6 \text{ m}^3/\text{year}$ , out of which  $1.54 \times 10^6 \text{ m}^3/\text{year}$  was delivered as drinking water (about 61.3%) of the total extracted water. Based on data for 2019, it is calculated that 92% of households in this municipality are connected to water supply systems. Also, it has been calculated that in the Kladovo Municipality, the specific water consumption was 222.77 l/inhabitant/day in the analyzed period. A higher water consumption and the fact that the water supply system in Kladovo does not meet current needs are a consequence of the high loss in the network, which amounts to 40% [90].

The population of the Negotin Municipality is supplied with drinking water from local sources through groundwater exploitation, as well as from the Timok Regional Water Supply System, Bor–Zaječar Subsystem [91]. This subsystem for water supply consists of two reservoirs in Timok River Basin. In Negotin Municipality, 27 settlements are supplied with drinking water from different water supply systems, while

12 settlements do not have water supply systems as their construction is merely planned [92]. In the 2009–2019 period, the average amount of extracted water in the Negotin Municipality was  $2.12 \times 10^6$  m<sup>3</sup>/year, out of which  $1.25 \times 10^6$  m<sup>3</sup>/year was delivered for as drinking water (about 59%). According to the data for 2019, it is calculated that 76.9% of households in this municipality are connected to the water supply systems. The specific water consumption in the Negotin Municipality amounted to 120.3 l/inhabitant/day in 2009–2019 period. The main problem is the frequent lack of water during summer.

Generally, in Serbia, problems related to technological and economic development, as well as underdeveloped awareness on water protection reflect in impaired water quality in water supply systems [93]. Inadequate water quality is more present in small settlements, and this also applies to the municipalities of Lower Danube River. Another problem in Serbia are illegal wells, mostly in rural and suburban areas, built for individual household water supply [93]. This problem is observed in rural settlements of the Lower Danube River Basin as well.

## 4.2 *Hydropower Use*

In Serbia, the Danube River has a great hydropower potential, which is estimated at 10,000 GWh/year in total, while the specific potential amounts to 38.46 GWh/km per year [91]. Particularly favourable conditions for the hydropower potential use can be found in the Lower Danube River, in the area of the Đerdap Gorge. Thanks to its morphological characteristics and the flow greater than 5,000 m<sup>3</sup>/s, attention has so far been mostly focused on exploiting hydropower potential in this sector. The former Socialist Federal Republic (SFR) of Yugoslavia and the Socialist Republic (SR) of Romania jointly undertook to construct a dam and a reservoir in the Đerdap sector of the Danube River in the 1960s. The Đerdap Hydropower and Navigation System is the largest hydro-technical structure on the Danube River in Serbia and Europe. It consists of two flow hydropower plants HPP Đerdap I and HPP Đerdap II.

The HPP Đerdap I (Iron Gate I; Fig. 3) is the largest hydropower plant in Serbia and the largest hydro-engineering facility on the Danube River. It is located at km 943 km of the Danube River, 10 km upstream from Kladovo. In mid-1964, preparation works for its construction were undertaken and the first hydro generating units were put into operation in 1971. According to the Agreement on Construction and Exploitation between the SFR of Yugoslavia and the SR of Romania, the HPP Đerdap I was designed and built so that each side has one power plant, one ship lock and seven spillways [26]. The two power plants are connected so that power generators on the Serbian side can deliver electric power to the network on the Romanian side, and vice versa, if such a need arises. The total length of the structure is 1,278 m, whereas its height is 34.8 m [24]. The two-level ship lock is 310 m long and 34 m high [27]. Its depth at the threshold is 5.5 m; it has an under-keel clearance of 13.5 m and is suitable for river and sea ships with a carrying capacity of 5,000 t. On the Serbian side, there



**Fig. 3** Hydropower Plant Đerdap I (Photo taken from the archaeological site of Diana. *Source* Geographical Institute “Jovan Cvijić” SASA)

are six hydropower generating units: two with a capacity of 171 MW and four revitalizing hydro units of 190 MW each [26]. The revitalization of the hydropower generating units and adaptation of the ship lock began in 2009, and was completed in 2021. The nominal active power of the power plant is now 1,102 MW and the total controlled flow amounts to 5,040 m<sup>3</sup>/s. The average electricity production is about 5,500 GWh/year [94].

The HPP Đerdap II (Iron Gate II) is located 80 km downstream from the HPP Đerdap I, at a distance of 862.8 km from the mouth of the Danube River, at the profile Kusjak–Ostrovl Mare. This is another power plant on the Danube River that was jointly constructed by the SFR of Yugoslavia and the SR of Romania. It was completed in 1985 as a multipurpose hydro-engineering facility, just like the HPP Đerdap I. HPP Đerdap II consists of the main power plant, two additional power plants, two ship locks, two overflow dams and two power distribution facilities. The dam is located on the main course of the Danube River and it is 1,009 m long and 330 m wide [27]. The hydropower generating units were put into operation between 1985 and 2001. On the Serbian side, there are ten hydropower generating units with a total installed capacity of 270 MW (10 × 27 MW). The installed flow rate amounts to 4,200 m<sup>3</sup>/s and the average electricity production is around 1,500 GWh/year [26]. The adaptation of the ship lock is also underway, and the overhaul of all 10 hydro units is planned to be completed over the next 10 years. This will increase the installed production capacity of the HPP Đerdap II by 50 MW. Before revitalization the average electricity production in the HPPs Đerdap I and II was

about 6,989 GWh. After the revitalization of hydropower generating units in the HPP Đerdap I, the annual electricity production amounted to 7,072 GWh (18% of electricity production in Serbia) [2694]. The remaining hydropower potential of the Danube River in Serbia can be used only in accordance with the criteria related to the multipurpose use of water and environmental protection, taking into account the international character of the river.

The formation of Đerdap reservoir has caused some geographical changes (in the microclimate, vegetation, reduction of water velocities, content of chemical compounds in the water, groundwater regime, river regime, ice regime, water quality etc.). After the formation of this reservoir, the hydrological regime of the Danube River in the slow zone (up to Veliko Gradište at high waters) has been significantly changed, while some river mouths and settlements were submerged [90, 95, 96]. In the slow zone, water velocities are reduced and depths are increased depending on the natural regime. As a result of these changes, the sediment transport power is significantly reduced, due to which sediments are deposited in the reservoir [97]. Deposited sediments reduce the useful volume of the reservoir, which affects flood protection, electricity production and water quality. After the construction of HPP Đerdap I, the ice regime on the Danube River changed. Under the natural regime, due to hydraulic and morphological conditions, the Đerdap sector was in danger of congestion (ice jam). Later, this critical part moved upstream, to the slow zone, between Veliko Gradište and Novi Sad.

### **4.3 Navigation**

The Danube River, as the important European waterway E80 (also known as the Danube Corridor, Cprridor VII), about 196 km long, increases the accessibility of this area. The Danube Corridor is a link between West, Central and East Europe. It allows navigation of the largest river cruisers, and it is also recognized as one of the nine multimodal TransEuropean transport corridors (TEN-T) networks. The Danube River enables the spatial and functional integration of this area into a transnational context (Danube Strategy); however, this great potential for development is still insufficiently exploited in terms of navigation and tourism. After the construction of the Đerdap Hydropower and Navigation system, conditions for navigation have been improved by building appropriate ship locks. However, the intensity of the national river traffic is still low. In this sector, the Danube River belongs to the waterways of the highest category (class VII), i.e. it serves as a waterway for cargo ships reaching up to 285 m in length, ranging between 33 m and 34.2 m in width, having a deep draft from 2.5 m to 4.5 m [22]. River-sea ships with a carrying capacity of 5,000 t can sail, provided that the preconditions for navigation of these vessels are met downstream from HPP Đerdap II. At the dam of HPP Đerdap I, the minimum depth at the threshold is 5 m, which enables the simultaneous transfer of a convoy composed of tugs (pushers) and nine pushers with a total carrying capacity of 14,500–27,000 t, or two ships with a carrying capacity of 5,000 t [19]. These conditions are met by

**Table 1** Cargo handling and passenger transport on the Danube River in Serbia

Year	Cargo handling (t)	Passenger transport	
		Number of port calls	Embarked/disembarked passengers
2015	5,006,191.80	903	114,932
2016	9,936,455.21	955	119,125
2017	11,071,071.84	996	131,780
2018	12,324,912.06	1,150	157,901
2019	14,168,142.05	1,542	208,797

Source of data [98]

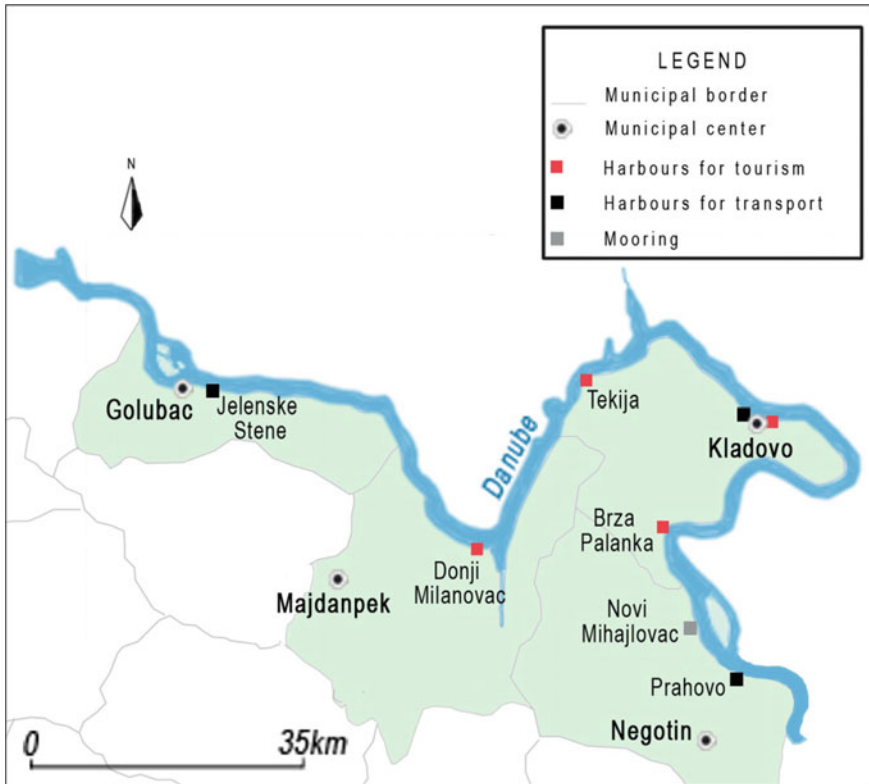
building ship locks, due to which navigation is now determined by the ice regime. Information about cargo handling and passenger transport by river cruises on the Danube River, towards the Black Sea are shown in Table 1. Based on the data, it can be concluded that there was a constant significant increase in cargo handling and passenger transport over the five-year period. Compared to 2015, cargo handling was almost three times higher, the number of port calls increased by almost 70%, and the number of passengers was by about 80% greater.

The accompanying facilities at the Danube waterway in this area include harbours for tourism (Tekija, Donji Milanovac, Kladovo and Brza Palanka) and transportation purposes: Jelenske Stene, shipyard in Kladovo and Prahovo and moorings in Novi Mihajlovac (Fig. 4). Also, some unregulated parts of the riverside are used for boat anchorage.

Comparative analyses of large river navigation systems in Europe (Danube, Volga, Rhine and Elbe) have shown that the Danube River is potentially the most cost-effective traffic route and, at the same time, a traffic route with a great potential to increase traffic intensity [90]. In 2019, the Chamber of Commerce and Industry of Serbia, in cooperation with the Port Governance Agency, adopted a strategic document defining a network of marinas on international, interstate and national waterways in the Lower Danube Basin. The Port Governance Agency [98] prepared the studies on the port area of marinas in Kladovo and Golubac, which are the basis for the further development of these sites towards making marinas an unavoidable and equally significant segment of waterway passenger transport.

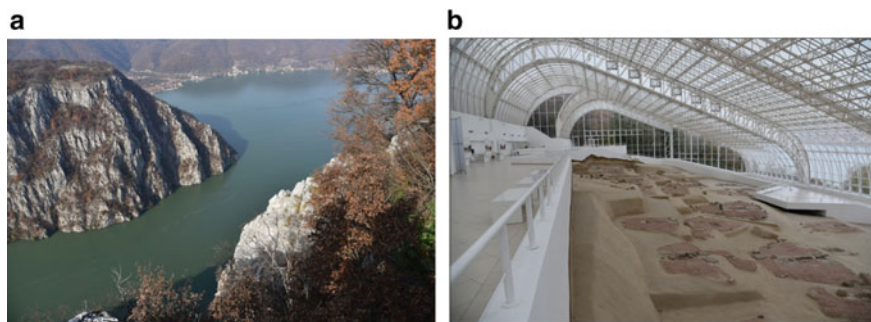
#### 4.4 Tourism and Recreation

Tourism development in this area begins in the period when the HPP Đerdap I was built (1964–1970). At that time, ports were modernized and the infrastructure for workers' accommodation was built. A major influence on tourism development between Serbia and Romania was the construction of a road across the dam and the establishment of a border crossing [22]. Tourist values are numerous and could be classified into two main categories: natural assets and cultural heritage. Natural



**Fig. 4** Map of harbours in the Lower Danube River in Serbia

wealth includes: geological profiles (the geological column in Pesača, the geological profile in the canyon of Boljetinska Reka, and the geological profile Greben [99]); geomorphological features (e.g. Đerdap Gorge, in Fig. 5a, the most visited part of the Danube valley; the cave Velika Pećina in Duboka; the canyon of the Vratna River with its three natural stone bridges—Vratnanske prerasti; the canyon of the river Zamna; the natural stone bridge Šuplja Stena); the geomorphological–hydrological natural monument Beli Izvorac (tufa deposits with waterfalls) [22, 100, 101]; hydrological features (the Danube River with the Đerdap reservoir and tributaries, as well as waterfalls) [22, 96, 102]; biogeographical elements (forest ecosystems, endemic and relict species) and protected areas (Đerdap National Park, as well as other protected natural assets, such as the confluence of the Timok River into the Danube) [103]. Cultural heritage includes archaeological sites, religious and cultural monuments, artistic, ethnographic and festive values. The most important cultural heritage includes the archaeological sites (e.g. Lepenski Vir, in Fig. 5b; Trajan’s Bridge; Trajan’s Plaque etc.). There are also fortresses at Golubački Grad and Kladovo (Fetislam), which are the most important medieval fortress in this sector of the Danube valley [99, 101, 104–106]. These natural and anthropogenic values



**Fig. 5** a Đerdap Gorge b Museum of Lepenski Vir (Source Geographical Institute “Jovan Cvijić” SASA)

enable the development of different forms of tourism. The most pronounced are recreational and cultural tourism. The diversity of flora and fauna is favourable for the development of hunting and fishery tourism. Various water activities (such as swimming, water sports, sailing) as forms of river and nautical tourism are also present. Ecotourism is part of different programmes, such as bird-watching, hiking trails to viewpoints. Anthropogenic values have contributed to the development of city tourism (especially congress tourism), while festive tourism is developed both in rural and urban settlements. Over the past years, some households have specialized in rural tourism, based on authentic ethnographic motifs. Touristic activities are managed by touristic organizations in Golubac, Majdanpek (with the branch in Donji Milanovac), Kladovo and Negotin. In a regional context, the largest part of tourist traffic takes place in the municipalities of Majdanpek and Kladovo, which are the most developed in terms of tourism in the Lower Danube River area in Serbia, while tourist traffic is the least intensive in the municipalities of Negotin and Golubac [90].

Among the areas that have a potential for tourism development in the Lower Danube region in Serbia, the Đerdap National Park (NP) is especially distinguished. Keeping in mind that admission is free and that most tourists stay there for a day, it is difficult to determine the intensity of the tourist traffic. The data on the tourist traffic in the Đerdap NP for the 2010–2017 period presented in [99] have shown that local tourists have the greatest share in the total tourist traffic, while the number of international tourists is significantly lower (10.4–19.6% in total). Compared to the total tourist traffic in Serbia, the share of tourists visiting this NP is insignificant (1.89–3.09%). Tourist traffic measured by the number of overnight stays amounts to only 1.1–2.9 nights, suggesting that tourism potential in this area is insufficiently exploited. Similar conclusions about the insufficiently used potential of the Danube River for the geotourism development are made by [107]. Projects such as the *Fortresses on the Danube* and *Awake the Danube* have laid a good foundation for the formation of a unique nautical tourist product on the rivers, combining cultural and nature tours [98].



On the other hand, it is important to highlight that tourism development has also caused some pressures and negative consequences on water resources. Water quality was also affected by wastewater from the Golubac Municipality including hotels, restaurants, accommodation and other catering facilities. Due to the lack of a wastewater treatment plant, wastewater directly discharges into the Danube River [102].

## 4.5 Fishing

Fishing is practised in the following locations: from Golubac to Brnjica, Dobra, Donji Milanovac, Tekija, Karataš, and from Brza Palanka to the confluence of the Timok River into the Danube River [90]. According to [22], there are about 40 species of fish (seven under strict protection and 21 protected species) in the Đerdap sector of the Danube River. These include: sturgeon, pike, Prussian carp, carp, barbel, brook trout, mallard, chub, European bitterling, nase, carp bream, catfish, perch, etc. Fishing destinations in this area are favourable for the growth of perch, carp and catfish [90]. Two types of fishing are presented: sport (recreational) and commercial fishing. Commercial fishing is forbidden 500 m upstream of the Porečka River mouth, and 500 m downstream of the HPP Đerdap I, while recreational fishing is forbidden near the HPP Đerdap I [22].

As a consequence of the closure of the Đerdap dams, endangered medium-distance migratory fish, such as sterlet (*Acipenser ruthenus*) and huchen, Danube salmon (*Hucho hucho*) and large-distance migratory species, such as starred sturgeon (*Acipenser stellatus*) and beluga or great sturgeon (*Huso huso*) became extinct in the Upper Danube River [108]. Along with these fish species belonging to sturgeon, many other native species, such as common carp (*Cyprinus carpio*), common barbell (*Barbus barbus*), zander (*Stizostedion lucioperca*) and wels catfish (*Silurus glanis*), have been declining after the construction of the dam [109]. Apart from the negative impacts that the Đerdap dams have on river flow regulation, these fish species are impacted by unsustainable and illegal fishery and pollution [97, 110]. The anthropogenic habitat modification made this area suitable for invasive species [111].

## 5 Water Quality

Water quality plays a crucial role in all aspects of human life and activities and it is highly relevant for ecosystem sustainability.

## 5.1 Pollution Sources and Pollutants

In the studied area, water quality is affected by the HPPs Đerdap I and Đerdap II, an industrial zone in Mosna (electrical industry, wood industry and metal haberdashery industry), a copper mine in Majdanpek (Fig. 6), belonging to Copper Mining and Smelting Complex Bor (RTB), and Elixir Prahovo (Industry of Chemical Products) as well as wastewater from settlements, agriculture, road traffic, navigation and minor local sources of pollutants. The major pollution sources from mining and industry are shown in Table 2.

Pollution is generated mainly by the activities of these companies. The main activity of the RTB Bor is opencast mining and quarrying. Furthermore, the facility Sumporna produces basic organic chemicals, such as acids, including chromic acid, hydrofluoric acid, phosphoric acid, nitric acid, hydrochloric acid, sulphuric acid, sulphurous acid and oleum, on an industrial scale. The activities of Elixir Prahovo are focused on the production of phosphorus, nitrogen or potassium-based fertilizers on an industrial scale [112]. According to the investigations about environmental impact assessment of Elixir Prahovo from 2008 to 2013, wastewater from the factory's drain network (total wastewater from the factory grounds—wastewater collector) had a direct impact on the quality of Danube River [113].

Along with these companies, there are other potential anthropogenic pollution sources but the data about their emission into water are not available. These companies include: the Pig Farm Ramski Rit in Veliko Gradište and the Pig Farm Mustapić



**Fig. 6** Copper mine Majdanpek (Source Geographical Institute “Jovan Cvijić” SASA)

**Table 2** Mining and industry pollution in the Lower Danube River Basin in Serbia

Company	Facility	Pollutant
RTB	Copper mine Majdanpek open pit	Cu and compounds Pb and compounds
RTB	Copper mine Bor, open pit Cerovo	Zn and compounds
RTB	Copper mine Bor, open pit Veliki Krivelj	Zn and compounds Ni and compounds Cu and compounds Cd and compounds Pb and compounds
RTB	Copper mine Bor, open pit Jama	Cd and compounds Cu and compounds Ni and compounds Zn and compounds Pb and compounds
RTB	Sumporna	Cu and compounds
Elixir Prahovo	Elixir Prahovo	Fluorides Cd and compounds Total Phosphorus Zn and compounds

Source of data [112]

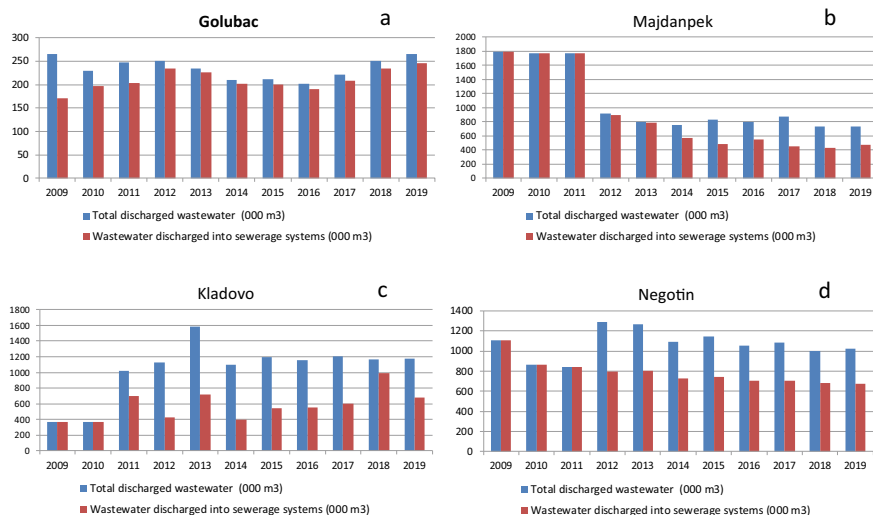
in Kučevo, which have facilities for the intensive poultry and pig growing [112]. Also, a potential source of pollution is the shipyard in Kladovo/Rhein–Donau Yard shipyard (in bankruptcy), the main activities of which include building new ships; repairs, overhauls and conversions of existing vessels; as well as the production of equipment and facilities for shipping and offshore industries. It is located on the Danube bank near Kladovo. In addition, it is noteworthy that the large industrial zone of Drobeta–Turnu Severin on the Romanian side towards Kladovo contributes to the pollution of the Danube.

Along the Lower Danube River in Serbia, collection and disposal of municipal wastewater through the sewage network is only partially organized. The greatest part of the infrastructure construction, which includes sewerage networks, took place in the Kladovo Municipality. The coverage of the municipal center of Kladovo with the sewerage network is about 80%, while the remaining 20% is solved by septic tanks [89]. In addition to the center, a complete sewerage network was built in five other settlements in this municipality. The municipal center of Golubac is covered by the sewerage network. However, these facilities are not available in other settlements within the municipality. In the town of Negotin, the drainage of municipal wastewater through the sewerage network is ensured only in one part of the town, whereas in other parts wastewater collection is managed through individual water-permeable septic tanks. The sewerage network is partially built in the municipal center of Majdanpek and in two smaller settlements. A fecal collector has not been built and fecal water is drained into Mali Pek River through a sedimentation tank. The settlement Boljetin, which is located in a strictly protected zone in the Đerdap National Park, has a big

problem with sewage wastewater because over 90% of households do not have a septic tank and wastewater flows directly into the Boljetinska River, a tributary of the Danube River [90].

Wastewater treatment plants in the Lower Danube River area in Serbia do not exist or are not operational. In Golubac and Majdanpek, no facilities have been built; construction has begun in Kladovo, while in Negotin, the existing plant is not operational. The wastewater treatment plant in Kladovo was designed in the 1980s, when the construction of the main facilities was undertaken, but it has not been put into operation yet. Currently, fecal sewage from the settlement flows directly into the Danube River through the emergency outlet in the incomplete facility. In Brza Palanka, there is a sewage network which brings wastewater to the fecal pumping station, which pumps it into the treatment plant. Through the overflow system, the deposited water is discharged into the Grobljanski Potok, which flows into the Danube River [89]. A wastewater treatment plant with a capacity of 5,000 m<sup>3</sup> was built in Negotin. However it should be completely renovated and upgraded, as it is currently not operational. The construction of a sewerage network and a wastewater factory in Prahovo is planned, but currently there are no financial resources for its maintenance and operation. After rough treatment, wastewater is discharged into the melioration canal, into the Timok River, and the Danube River [92].

The amount of total discharged wastewater and the wastewater discharged into sewerage systems between 2009 and 2019 by municipalities located along the Lower Danube River in Serbia are presented in Fig. 7a, b, c, d. It is noteworthy that the total discharged wastewater is the sum of the wastewater discharged into wastewater collection systems and the estimated amount of wastewater discharged



**Fig. 7** Total discharged wastewater and the wastewater discharged into sewerage systems by municipalities located along the Lower Danube River in Serbia (*Source of data [85]*)

into other recipients. Figures 7a–d show that since 2013 more detailed estimates of wastewater discharged into other recipients are made. A significant decrease in the amount of discharged wastewater is observed only in the Majdanpek Municipality. Since 2013, in the municipalities of Majdanpek and Negotin, more than half of the discharged wastewater is conducted through wastewater drainage systems, while the worst situation is in Golubac, where this percentage varied significantly and usually amounted to about 40%, indicating the lack of a sewerage network.

Besides communal wastewater, it is important to highlight that the water quality of the Danube River is significantly affected by the Timok River, which is its largest tributary in the Lower Danube area in Serbia. Namely, the Timok River is from time to time severely polluted (III or IV water quality class) and it is endangered by organic and inorganic pollution [114]. This is a consequence of the untreated communal wastewater and the wastewater from the Bor mining industrial complex [114, 115]. Also, its tributary the Borska River is an out-of-class watercourse in terms of quality, due to wastewater from the mining process and wastewater from metallurgical and chemical processes in the industrial plants in Bor, which is directly discharged into this river [116, 117]. In addition, the water quality of the Danube tributaries in the Đerdap sector (Boljetinska, Brnjička, Porečka rivers) is especially endangered by nutrients, organic and inorganic pollution (due to the discharge of untreated municipal wastewater and drainage water from agriculture) and heavy metals (due to the breach of the dam at the flotation landfill Valja Fundata near Majdanpek). Some of the local wells are endangered by illegal landfills (in Sip), sewage discharges (in Kladovo, Tekija) and poor sanitation of the settlements [118]. All these facts have a significant influence on the water quality of the Lower Danube River in this area and downstream, in Romania and Bulgaria.

Combustion products from road traffic and navigation also impair the Danube's water quality. Illegal and industrial landfills belonging to the HPP Đerdap I and industrial zone in Mosna directly contaminate water resources. Furthermore, untreated municipal and industrial wastewater [10, 118] as well as drainage water from agriculture are released into watercourses [22]. Agriculture, especially in the Municipality of Golubac, leads to eutrophication and contamination of water with heavy metals, nitrogen and phosphorus due to agrochemical use [118].

## ***5.2 Assessment of the Water Quality***

The assessment of water quality is an important task and prerequisite for the protection and sustainable use of water resources. In order to examine water quality, various mathematical and statistical methods based on the use of water quality indices have been applied in many studies. The application of different water quality indices helps in the assessment of water quality and the ecological status of water resources, as well as in the identification of possible factors/sources that affect water bodies.

**Table 3** SWQI categories

SWQI	Descriptor
90–100	Excellent
84–89	Very Good
83–72	Good
39–71	Bad
0–38	Very Bad

Source [148]

### 5.2.1 Data and Methodology

Data on water quality were obtained from the *Yearbooks III Water Quality* [119] published by the RHMS of Serbia and the SEPA for the 2009–2018 period, collected at the Tekija, Brza Palanka and Radujevac hydrological stations. The parameters were measured once monthly using relevant standard methods applied by the RHMS of Serbia. Frequency of recorded values was  $N = 12$  per year.

Data were processed using the following types of the Water Quality Index (WQI): Serbian Water Quality Index (SWQI), Canadian Water Quality Index (CWQI), Agri-food Water Quality Index (AFWQI), and the Water Pollution Index (WPI). SWQI was calculated monthly. Based on monthly SWQI values, calculations of averaged yearly SWQI values were performed. Averaged yearly SWQI values were used for calculation of averaged SWQI value for ten-year (2009–2018) period. CWQI, AFWQI and WPI values were calculated yearly. Calculation of averaged ten-year period CWQI and AFWQI values were performed based on yearly values of these indices.

The Water Quality Index (WQI) methodology was developed by the Scottish Development Department in 1976, and it is often used for water quality assessment [120–126]. Types of the WQI, applied in this study were also used for water quality assessment in previous investigations: Serbian Water Quality Index (SWQI) in [127–133]; Canadian Water Quality Index (CWQI) in [126, 127, 129, 134–136]; Agri-food Water Quality Index (AFWQI) in [129, 137] and Water Pollution Index (WPI) in [114, 116, 138–147].

The mentioned WQI types rely on a different set of water quality parameters and they are used to calculate and compare obtained results in order to provide a better insight into the water quality status in study area.

#### Serbian Water Quality Index (SWQI)

Serbian Water Quality Index (SWQI) is an officially accepted methodology for water quality assessment in Serbia, developed by SEPA. The SWQI value is dimensionless—a single number, ranging from 0 to 100 (best quality) within the five categories, presented in Table 3.

The SWQI methodology uses ten quality parameters: oxygen saturation, biochemical oxygen demand (BOD), ammonium, pH, total nitrogen oxides, orthophosphate, suspended solids, temperature, conductivity and the most probable number of coliform bacteria (E.Coli/MPN). Each of these parameters has the value  $q_i$  and the weight unit  $w_i$  [148, 149]. The SWQI is calculated as a sum of the values of each parameter:

$$SWQI = \sum q_i \times w_i \quad (1)$$

This methodology is simple for application and can be used as indicator of urban sustainability. SWQI presents information about spatial distribution of surface water quality downstream of municipal wastewater discharge [150]. The main limitation is the small number of parameters and the possibility to calculate the index when some parameters are missing and even when only one parameter is available. SWQI is adequate for the evaluation of organic pollution, but it does not provide information about inorganic pollution, because parameters of heavy metal concentrations are not included [127].

### Canadian Water Quality Index (CWQI)

The Canadian Water Quality Index (CWQI) was developed by the Canadian Council of Ministers of the Environment, based on the British Columbia Ministry of Environment formulation, in 1995 [151]. The CWQI is calculated based on the parameters presented in the Annex 1. Most of these parameters have their objectives (limit values) defined. This methodology makes possible to calculate the index even if some parameters are missing.

The Canadian Water Quality Index 1.0 Calculator (EXCEL application) is used to perform calculations using this methodology [151]. CWQI is based on three factors of water quality that relate to water quality objectives:

Scope ( $F_1$ ):—the percentage of water quality variables that do not meet the objectives in at least one sample (“failed variables”).

$$F_1 = \left( \frac{\text{Number of failed variables}}{\text{Total Number of variables}} \right) \times 100 \quad (2)$$

Frequency ( $F_2$ ):—the percentage of individual tests that do not meet the objectives (“failed tests”).

$$F_2 = \left( \frac{\text{Number of failed tests}}{\text{Total number of tests}} \right) \times 100 \quad (3)$$

Amplitude ( $F_3$ ): The number of failed test that do not meet the objectives.  $F_3$  is calculated in three steps [151], as follows:

1. The number of times by the value of the variable is greater than (or less than, when the objective is a minimum) the objective is termed as “excursion”. When the test value must not exceed the objective:

$$\text{excursion}_i = \left( \frac{\text{Failed Test Value}_i}{\text{Objective}_i} \right) - 1 \tag{4}$$

For the cases in which the test value must not be less than the objective:

$$\text{excursion}_i = \left( \frac{\text{Objective}_j}{\text{Failed Test Value}_i} \right) - 1 \tag{5}$$

2. The collective amount is calculated by summing the excursions of individual tests from their objectives and dividing by the total number of tests. This ratio is referred to as the normalized sum of excursions, or *nse*.

$$nse = \frac{\sum_{i=1}^n \text{excursion}_i}{\neq \text{ of tests}} \tag{6}$$

3.  $F_3$  ranges between 0 and 100 and is calculated as follows:

$$F_3 = \left( \frac{nse}{0.01 nse + 0.01} \right) \tag{7}$$

When all factors are obtained, CWQI is calculated by summing up the three factors. In this model, the index changes are in direct proportion to changes in all three factors:

$$CWQI = 100 - \frac{\sqrt{F_1^2 + F_2^2 + F_3^2}}{1.732} \tag{8}$$

For each CWQI range a descriptive quality indicator has been defined [151], with the following ranges: excellent (95–100), good (80–94), fair (65–79), marginal (45–64) and poor (0–44):

- Excellent—there is no threat to the water quality; conditions are very close to natural or pristine level;
- Good—there is a minor threat or impairment; conditions rarely depart from natural or desirable levels;
- Fair—water quality is usually protected but occasionally threatened; conditions sometimes depart from natural or desirable levels;
- Marginal—water quality is frequently threatened; conditions often depart from natural or desirable levels;
- Poor—water quality is almost always threatened; conditions usually depart from natural or desirable levels [151].



### Agri-food Water Quality Index (AFWQI)

Agri-food Water Quality Index (AFWQI) has also been developed by the Canadian Council of Ministers of the Environment, based on the British Columbia Ministry of Environment formulation, in 1995 [152]. The AFWQI methodology is based on the parameters, which upper limits are presented in Annex 2.

For each AFWQI range, a descriptive quality indicator has been defined ranging from poor (0–44), marginal (45–64), fair (65–79), good (80–89), very good (90–94), excellent (95–100). The AFWQI index provides information on the suitability of water for agricultural purposes, such as irrigation and livestock watering, and it is important for the assessment of pesticide pollution. The program used for AFWQI calculation is Agri-food Water Quality Index 1.0 Calculator. Calculation is performed in the same way as for the Canadian Water Quality Index [153]. In both cases, it is possible to calculate the indices even if some parameters are missing. Both indices share the same limitation: it is impossible to calculate the index in a single measurement (it is necessary to perform at least four measurements).

### Water Pollution Index (WPI)

The Water Pollution Index (WPI) is an arithmetical method for integrating parameters to assess the chemical and ecological status of inland waters [154, 155]. Its advantage is the possibility to combine different parameters (physical, chemical, biological); also, there is no limitation as to the number or types of the used parameters. Therefore, the WPI is widely applied as an indicator in the evaluation of the water quality status in different water bodies, which allows for a simple and objective interpretation of results. It allows a simple and objective interpretation of results.

According to [154] the WPI is the sum of the ratios of the measured annual average value of parameters ( $C_i$ ) and the prescribed maximum values for water quality class I (SFQS) for each parameter, divided by the number of used parameters ( $n$ ):

$$WPI = \sum_{i=1}^n \frac{C_i}{SFQS} \times \frac{1}{n} \quad (9)$$

The calculated WPI values for watercourses can be classified into six different classes (Table 4).

The WPI involves a comparative analysis between the average annual concentration values of the observed parameters and the limit concentration values determined in the ecological classification for class I according to the national legislation. The standard threshold values for all parameters of the ecological status are defined for each country. In Serbia, they are established at the national level by several abovementioned regulations [39–41].

To calculate the WPI in this study, data relating to 18 physical, chemical and biological parameters, collected between 2009 and 2018 were used. Based on [39],

**Table 4** Water quality classification according to WPI

WPI	Class	Characteristics
≤ 0.3	I	Very pure
0.31–1.0	II	Pure
1.01–2.0	III	Moderately polluted
2.01–4.0	IV	Polluted
4.01–6.0	V	Impure
>6.01	VI	Heavily impure

Source [154]

rivers in Serbia are divided into six types. The Danube, which is the subject of this study, belongs to Type 1; threshold values of the some parameters analyzed for this river type are shown in Annex 3.

The threshold values for other analyzed parameters in this study are presented in Annexes 4 and 5. For these parameters there are unique threshold values for classes, i.e. the rivers are not divided by different types.

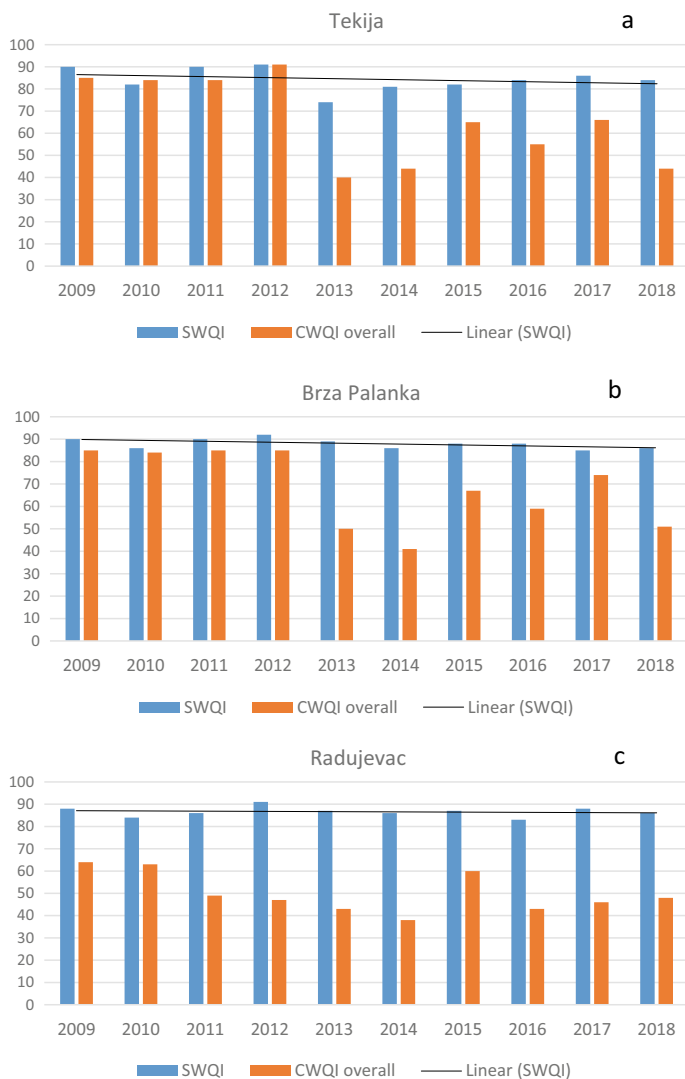
A combination of indices was used in order to mitigate the limitations of individual indices and to obtain as precise results as possible. This approach provides more information about water quality and could be applied in various purposes.

## 5.2.2 Results and Discussion

Judging by the monthly parameters values for a ten-year period (2009–2018), averaged SWQI values were very good at all stations (84 at Tekija, 87 at Radujevac and 88 at Brza Palanka). However, an analysis of SWQI values for individual years reveals oscillations at all stations ranging from good to excellent (83–91 at Radujevac, 85–92 at Brza Palanka, and 74–91 at Tekija). The highest SWQI values at all stations were recorded during the same year (2012), while the lowest SWQI values for individual stations were recorded during different years (2013 at Tekija, 2016 at Radujevac and 2017 at Brza Palanka). Exceptions were noticed for the Tekija station during summer, when bad SWQI values were calculated (69 in August 2010 and 70 in June 2014). High water temperatures caused a decline in oxygen saturation in both cases. Furthermore, increased concentrations of suspended solids in June 2014 and an increased number of coliform bacteria in August 2010 also contributed to the decline of SWQI values.

The main sources of suspended solids are flotation tailings, metallurgical and heating plants [22]. The Đerdap reservoir is also an important source of suspended solids, as well as organic contaminants [3].

During the same period, at same stations, the CWQI values for overall water quality were significantly lower than SWQI values (Fig. 8a, b, c), ranging from marginal (50 at Radujevac) to fair (66 at Tekija and 68 at Brza Palanka). Oscillations were also greater for individual years, compared to SWQI, and they ranged from



**Fig. 8** SWQI and CWQI at Tekija (a), Brza Palanka (b) and Radujevac (c) stations on the Lower Danube River in Serbia

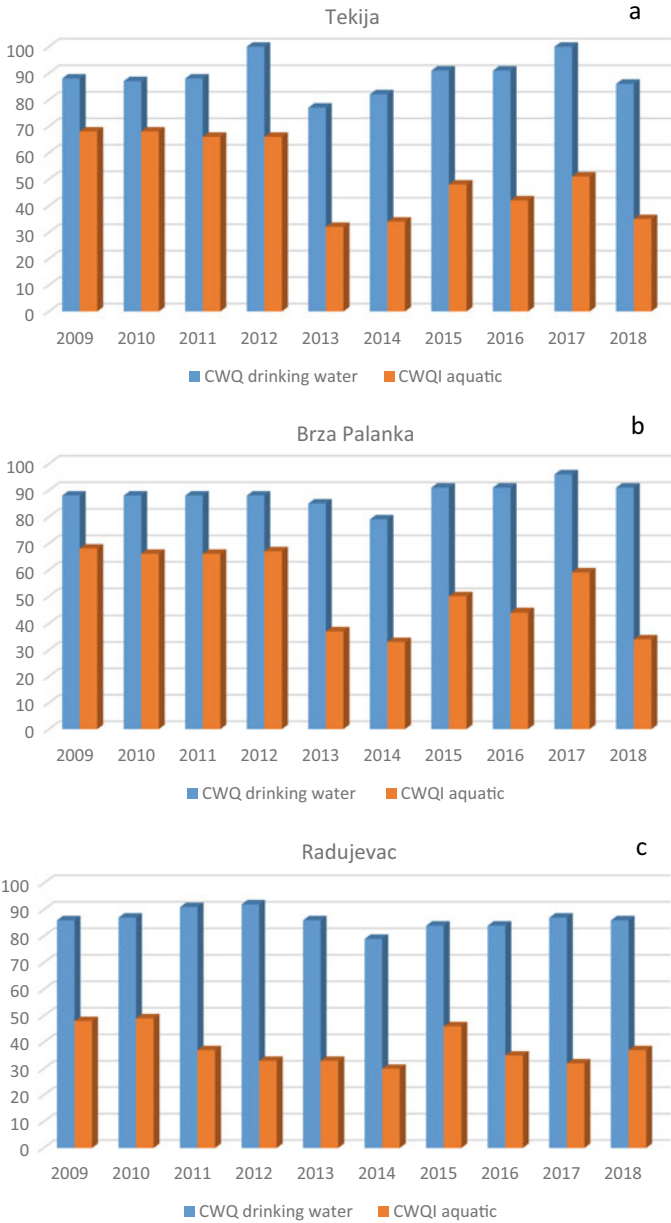
poor to good (41–85 at Brza Palanka and 40–91 at Tekija) and from poor to marginal (38–64 at Radujevac). The highest CWQI value was recorded at Tekija 2012, while the lowest value for the same station was recorded in 2013, which was in the line with SWQI. The highest CWQI value at Brza Palanka was recorded in 2012, as well as in 2009 and 2011, while the highest CWQI value at Radujevac was recorded in 2009. The lowest CWQI values at Radujevac and Brza Palanka were recorded in 2014.

The differences between the two indices could be explained by increased metal concentrations, which are calculated by CWQI. Between 2009 and 2012, good CWQI value was due to the missing parameters of metal concentration for the stations at Brza Palanka and Tekija. For both stations, the variable with the most failed tests was dissolved oxygen. The variables with the highest *nse* were turbidity (2010 for both stations and 2009 for Brza Palanka), and dissolved oxygen (2011 and 2012 for both stations, and 2009 for Tekija). The increased metal concentration had the greatest impact on the CWQI decline from 2013 to 2018 for Brza Palanka and Tekija, and throughout the whole study period (2009–2018) for Radujevac. In 2013–2018, the variable with the highest *nse* was aluminium for the Brza Palanka and Tekija stations, and for Radujevac, in 2011–2018. The variables with the most failed tests were mainly aluminium and copper for the Tekija (2013–2018) and Radujevac stations (2009–2018), and, in some cases, chromium. The variables with the most failed tests were dissolved oxygen, for Brza Palanka, and aluminium and copper in three cases.

Increased copper concentrations, which caused the decline of water quality, were mainly a result of the flotation process at the copper mine in Majdanpek [156] during which copper was released; it further reached water via soil and air. It also generated from untreated wastewater of the copper mine in Bor [117]. Organic pollution was caused by untreated wastewater, illegal landfills and inadequate sanitation in settlements [118].

The CWQI values for drinking water were good (Fig. 9) throughout the ten-year period for all stations (86 at Radujevac, 88 at Brza Palanka and 89 at Tekija). Some oscillations were recorded during individual years and they ranged from fair to excellent (79–96 at Brza Palanka and 72–100 at Tekija) and from fair to good (72–92 at Radujevac). The years with the highest CWQI for drinking water were 2012 (at Tekija and Radujevac) and 2017 (at Tekija and Brza Palanka). The lowest values were recorded in 2014 (at Brza Palanka and Radujevac) and 2013 (at Tekija). The variables that affected the CWQI for drinking water the most were iron and turbidity. Iron was the variable with the most failed tests and with the highest *nse* for all years with two exceptions: during 2010, turbidity was the variable with the most failed tests and with the highest *nse*, while manganese had the highest *nse* in 2017. In 2009 and 2010, the variable with the greatest number of failed tests and the highest *nse* was turbidity, while the pH was the variable with the most failed tests and the highest *nse* in 2011 for Brza Palanka and Tekija, as well as for Brza Palanka in 2012. Turbidity was the variable with the most failed tests and the highest *nse* in 2016 and 2017 for Brza Palanka and with the most failed tests in 2016 for Tekija and 2018 for Brza Palanka. In 2013, turbidity and iron had the most failed tests for Brza Palanka. In all other years, iron was the variable with the most failed tests for Brza Palanka and Tekija, with two exceptions: 2012 and 2017, when there were no failed tests for Tekija.

The copper mine in Majdanpek and the industrial zone in Mosna also produce other heavy metals, such as iron and chromium, as well as suspended solids, which cause increased turbidity [22]. Iron also is generated from open pits Veliki Krivelj and Jama of copper mine in Bor [157]. Besides these pollution sources, the Đerdap reservoir acts as a sink for fine sediments and pollutants [158].

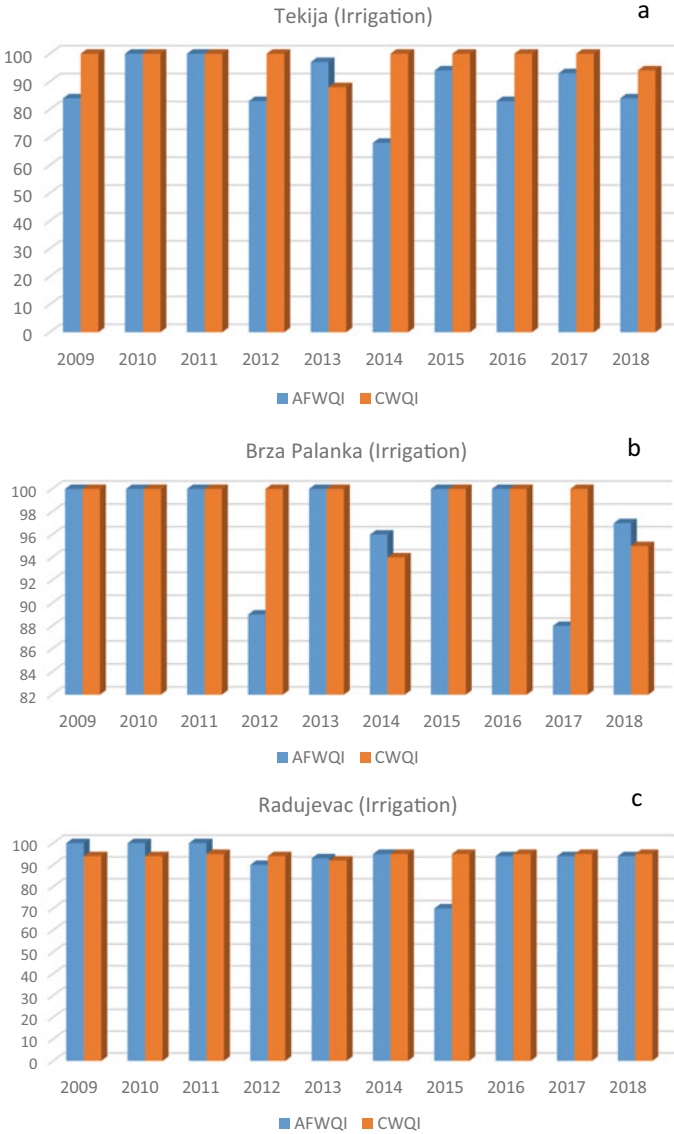


**Fig. 9** CWQI for drinking water and aquatic life at Tekija (a), Brza Palanka (b) and Radujevac (c) stations on the Lower Danube River in Serbia

Over the ten-year period, the CWQI values for aquatic life (Fig. 9a, b, c) were marginal (51 at Tekija and 52 at Brza Palanka) and poor (38 at Radujevac). Oscillations during individual years ranged from poor to fair (33–68 at Brza Palanka and 32–68 at Tekija), and from poor to marginal (30–49 at Radujevac). The years with the highest CWQI for aquatic life were 2009 (at the Brza Palanka and Tekija) and 2010 (at Tekija and Radujevac), while the years with the lowest CWQI were the same as those when the CWQI the overall water quality was the lowest. The variables with the most failed tests and the those with the highest *nse* were in line with the CWQI for the overall water quality (for all stations and all years) with the following exceptions: dissolved oxygen was the variable with the highest *nse* in 2009 and 2010, at Brza Palanka, and 2010, at Tekija, whereas turbidity was the variable with the highest *nse* for the overall water quality.

Over the ten-year period, the CWQI for irrigation (Fig. 10 a, b, c) showed high values: good (94 at Radujevac) and excellent (98 at Tekija and 99 at Brza Palanka). Minor oscillations were observed in individual years, ranging from good to excellent (94–100 at Brza Palanka, 88–100 at Tekija, and 92–95 at Radujevac). Lower values were recorded in 2013 (Tekija and Radujevac) and 2014 (Brza Palanka). Chromium caused a slight decline in the CWQI for irrigation in all cases when CWQI had a value lower than 100. The only exception was Radujevac in 2017, when manganese was the variable with the most failed tests and the highest value of *nse*. In all other years, chromium had the most failed test and the highest *nse* for Radujevac, Brza Palanka (2014 and 2018), and Tekija (2013 and 2018). The CWQI for livestock was excellent (100) at all stations and in all years. CWQI did not reach the maximum value only in Radujevac in 2010 due to a slightly increased arsenic value in one measurement, causing a minor decline in CWQI (95). Increased chromium concentrations could be explained by the presence of chromic acid, which is the product of the facility Sumporna within the Copper Mining and Smelting Complex Bor (RTB) [112].

A comparison of the CWQI for irrigation and the AFWQI for irrigation reveals some similarities, but also some differences (Fig. 10a, b, c). Over the ten-year period, AFWQI values were mainly in line with CWQI for irrigation and were very good (89 at Tekija and 93 at Radujevac) and excellent (97 at Brza Palanka). Oscillations during individual years for Brza Palanka were not high and they ranged from good to excellent (88–100). However, significant oscillations were recorded for Tekija and Radujevac and they ranged from fair to excellent (68–100 at Tekija and 70–100 at Radujevac). The highest AFWQI value was recorded in 2010 and 2011 for all stations, as well as in 2009 for Brza Palanka and Radujevac, and in 2013, 2015 and 2016 for Brza Palanka. The lowest AFWQI values were recorded in 2014 at Tekija, 2015 at Radujevac, and in 2017 at Brza Palanka. Lower AFWQI values were mainly caused by the increased number of Coliforms total and Coliforms fecal. These variables had the most failed tests and the highest *nse*. Exceptions are registered in two single cases at Tekija: in 2009, linuron exceeded the limit in one measurement, and in 2013, cadmium was also above the limit in one measurement. Apart from these cases, manganese and Coliform total were the variables with the most failed tests in 2017 for Radujevac. Linuron is a herbicide that can also be found in the sediment of



**Fig. 10** AFWQI and CWQI for irrigation at Tekija, Brza Palanka and Radujevac stations on the Lower Danube River in Serbia

the Đerdap reservoir. The primary source of this environmental pollutant is its use in agriculture [3].

The AFWQI for livestock was in line with the CWQI for livestock and it usually had the maximum value (100). In 2012 at Tekija and Radujevac, as well as in 2011 and 2014 at Radujevac, increased concentrations of phenols (in one measurement

each year) caused a slight decline and AFWQI did not reach the maximum value: it was 96 at Tekija and 97 at Radujevac. Slightly increased arsenic concentration in one measurement in 2010 at Radujevac caused a slight decline in the AFWQI value (97), which was also in the line with the CWQI in the same case.

Some WPI analyses for the Serbian part of the Danube River have been presented in several previous studies. Based on data from ten hydrological stations the WPI was calculated for the entire Serbian part of the Danube River for 2014 [145]. The WPI was calculated for the Hydrosystem Danube–Tisa–Danube based on data from nine hydrological stations between 2004 and 2009 [138] and for the Đerdap sector of the Danube for a ten-year period (2007–2016) based on data from three hydrological stations [102]. As far as the water quality and pollution of the Danube's tributaries in Serbia are concerned, the WPI index was calculated for the Timok River at four hydrological stations [114], and for its tributary Borska Reka at one hydrological station [116] for two periods: 1993–1996 and 2006–2009.

In order to assess the ecological status of water quality of the Lower Danube River in Serbia in the present study, WPI was calculated based on the yearly parameters values from the aforementioned three hydrological stations. The results of the study conducted in 2009–2018 are presented in Table 5 and they were used to determine water pollution levels in this area. The results indicate that class III (moderately polluted water) was the most frequent in the Lower Danube River for a ten-year period. These results are similar to the literature data, which indicates moderate pollution of the Danube River in Serbia [145, 159]. Based on the analysis of WPI according to the locations of hydrological stations, the worst quality is at the Tekija, which is located in the Đerdap National Park. It is important to highlight that pollution of the Lower Danube River (especially in the Đerdap region) is different from the pollution of other Danube River sectors because of the relief, a small number of tributaries and small discharge. However, the Danube River receives pollutants from the upper sector of the river basin, where large industrial centres are located [160].

At the Tekija hydrological station, the WPI values ranged from 1.02 to 2.30, corresponding to class III (moderately polluted water) and class IV (polluted water). In addition to the aforementioned facts, one of the reasons for this situation was the insufficiently developed and poorly regulated utility infrastructure in the Đerdap National Park [102]. None of the accommodation and catering capacities of within the Đerdap National Park had their own wastewater treatment systems and they discharged wastewater into the city sewage. Also, as already pointed out, the municipalities have not fully resolved the issue of wastewater disposal.

At the Brza Palanka hydrological station, located downstream from the Đerdap I dam, the WPI values were in the 0.72–1.80 range, i.e. from class II (pure water) to class III (moderately polluted water). It is noticeable that class IV was not recorded in this period. One of the reasons for this could be the dam, which became an artificial barrier depositing sediment, accumulating pollutants and creating significant environmental problems [160]. The Đerdap dams and reservoirs significantly influence the sediment transport in two ways: on the one hand, they are a trap for suspended sediments [5, 27], and on the other, they are an important nutrient sink and deposition area for hazardous toxic matters causing pollution [161]. During the 1972–1994



**Table 5** WPI values and water classes at Tekija, Brza Palanka and Radujevac stations on the Lower Danube River in Serbia

	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
<i>Tekija</i>										
WPI	1.96	2.21	1.52	1.26	1.25	2.30	1.18	1.51	1.02	1.63
Class	III	IV	III	III	III	IV	III	III	III	III
Characteristics	Mod. polluted	Polluted	Mod. polluted	Mod. polluted	Mod. polluted	Polluted	Mod. polluted	Mod. polluted	Mod. polluted	Mod. polluted
<i>Brza Palanka</i>										
WPI	1.51	1.56	0.77	0.72	0.91	1.03	1.29	0.83	1.80	0.95
Class	III	III	II	II	II	III	III	II	III	II
Characteristics	Mod. polluted	Mod. Polluted	Pure	Pure	Pure	Mod. polluted	Mod. polluted	Pure	Mod. polluted	Pure
<i>Radujevac</i>										
WPI	1.33	1.25	1.11	1.05	2.90	1.00	1.17	1.06	0.97	1.09
Class	III	III	III	III	IV	II	III	III	II	III
Characteristics	Mod. polluted	Mod. polluted	Mod. polluted	Mod. polluted	Polluted	Pure	Mod. polluted	Mod. polluted	Pure	Mod. polluted

period, about 325 million tonnes of sediments (10% of the entire reservoir) were retained by the Đerdap dams, leading to a strong decline in suspended sediment transport along the Lower Danube River [162]. However, the process of the sediment deposition does not exhibit constant features: it takes place within the river channel only at low discharges, while at high discharges of the Danube River (when the hydraulic regime is close to natural), fluvial erosion takes place and removes previously-deposited sediment from the riverbed [27].

At the Radujevac hydrological station, located close to the place where the Danube River exits Serbia, the WPI values ranged from 0.97 to 2.90, i.e. from class II (pure water) to class IV (polluted water). It is noteworthy that at this station, the WPI values most frequently indicated class III (moderately polluted water), while class IV was recorded once and class II twice in a ten-year period. A higher degree of pollution was recorded at Radujevac than at Brza Palanka, as an upstream station. This is partly a consequence of the production in Elixir Prahovo (former IHP Prahovo), a factory for the production of phosphoric acid and mineral fertilizers.

The analysis of the WPI values over years for the same stations (Table 5) did not reveal any distinct trend on the Lower Danube River. Higher pollution levels were recorded at Tekija in 2010 and 2014, and at Radujevac, only in 2013. It is important to emphasize that at Brza Palanka, a lower degree of water pollution was noticed than at the other two stations. Also, a trend of water quality improvement was recorded in 2011–2013, when, based on the WPI value, water belonged to class II (clean water). Kindly check and confirm Table 10 citation is provided. But relevant table is missing. Kindly provide relevant table or delete this citation We have corrected it. It is Table 5.

Taking into consideration the parameters used to calculate the WPI at all three hydrological stations, it can be concluded that out of the 18 analyzed parameters, six (pH, Mn, Ni, Cu, Hg and  $\text{SO}_4^{2-}$ ) always belonged to class I. The values of four analyzed parameters (suspended solids, S, COD, Cd) mainly belonged to class I, with minor occasional deviations. In the 2009–2018 period, two analyzed parameters (oxygen saturation and BOD) belonged to class I and class II by half of the period, and two analyzed parameters (Fe and coliform bacteria) were classified as class I to class III. The other four analyzed parameters (dissolved oxygen,  $\text{NO}_2^{2-}$ ,  $\text{NH}_4\text{-N}$ ,  $\text{PO}_4^{3-}$ ) deviated more or less from the permissible values for class I, thereby affecting the WPI values. Therefore, it can be concluded that the elements that served as the indicators of organic pollution had a significant impact on water pollution. Our results are reflective of other results reported in the literature. Calculation of nutrient loads (dissolved inorganic nitrogen—DIN), orthophosphates ( $\text{PO}_4^{3-}$ ) and total phosphorus (TP) for Serbian part of the Danube River and its main tributaries in 2001–2010 and comparison to stations in other Danube countries showed that values mainly overlap with the general increasing trend in the downstream direction [163]. Concentrations of  $\text{PO}_4^{3-}$  and TP were higher at Radujevac compared with the upstream stations and belonged to the III class in terms of values. According to the WPI results for the Danube River in 2014, concluded that  $\text{PO}_4^{3-}$  and TP, the indicators of organic pollution, were the major nutrients that influenced the primary production in aquatic ecosystems of the Danube River [145]. Also, a chemical water quality assessment of the Danube River in the sector between the area 2 km downstream from HPP

Đerdap I to Drobeta–Turnu Severin showed that the most important variables that affected water quality were  $\text{NH}_4\text{-N}$ , TP, temperature and total suspended solids [164], indicating organic pollution. It is noteworthy that  $\text{NO}_2^{2-}$ ,  $\text{NH}_4\text{-N}$  and  $\text{PO}_4^{3-}$  have an important role in the formation of nutrition loads in rivers, causing eutrophication, oxygen depletion and water quality deterioration.

Other studies found anthropogenic sources of pollution in the area of the Đerdap reservoir [3, 10, 165]. Hagemann et al. [10] found organic pollution in the sediments. Matić Bujagić et al. [3] reported the presence of four classes of emerging pollutants in the sediment core (pharmaceuticals, pesticides, steroids and perfluorinated compounds). Pollution indices revealed that the concentration of minor elements (inorganic pollutants) in sediments had anthropogenic sources in some periods [165].

Calmuc et al. [126] also found the existence of pollution sources from agricultural and industrial activities. Their results also show differences between WPI, CCME WQI and WQI. According to WPI, water quality was ranked as class II (pure quality), according to CCME WQI, 98% of the sampling stations were ranked as class II, while according to WQI, 53% of the sampling stations were ranked as class III and 47% as class II. Based on this study, WQI should be applied in the evaluation of potentially toxic pollutants, CCME WQI in areas with permanent sources of pollution, while WPI should be used in the general characterisation of watercourses. It was emphasized that the most suitable index for water quality assessment is WQI because it takes into account various types of pollution sources [126].

## 6 Protective Measures by International Projects

The main aim of international projects in this area is to establish fish migration routes. Sturgeon fish species are considered endangered and nearly extinct. The International Commission for the Protection of the Danube River (ICPDR) has adopted the Sturgeon Strategy with the aim of ensuring the recovery and survival of sturgeon in the Danube River Basin. Three critically endangered species of the sturgeon include beluga or great sturgeon (*Huso huso*), Danube or Russian sturgeon (*Acipenser gueldenstaedti*), and starred sturgeon (*Acipenser stellatus*). Both short-distance migration populations (spawning downstream of Đerdap Gorge) and long-distance migrating populations (spawning upstream the Đerdap Gorge) are blocked in the spawning migration by HPPs Đerdap I and II. The priority is to take measures to save the genetic fingerprint of sturgeon in the Danube River Basin, specifically those sturgeon species that are nearly extinct and the few individuals born upstream of Đerdap Gorge. When they return to Đerdap Gorge, they are trapped because their migration upstream is blocked. The ICPDR Sturgeon Strategy seeks to involve stakeholders in the discussion and facilitate dialogue with the operators of Đerdap hydropower and navigation industry and infrastructure sector players in order to solve the issues related to interruptions/barriers and other hydromorphological alterations [166].

One of the projects is *Restoring fish migration routes in the Danube River Basin*, based on the grant agreement between the ICPDR and the Commission's Directorate-General for Regional and Urban Policy (DG REGIO). It aims to preserve fish stocks at the Romanian-Serbian border. This action is an important part of the central objective of the EU Strategy for the Danube Region: saving the Danube sturgeon from extinction. The Danube sturgeon (*Acipenser gueldenstaedti*) is endangered due to the construction of the Đerdap dams: the disruption of the river continuity constitutes an obstacle for migratory fish, including the sturgeon species, Danube salmon (*Hucho hucho*) and European eel (*Anguilla anguilla*).

According to the EU Strategy for the Danube Region, the EU Water Framework Directive, the EU Habitats Directive and the Bern Convention, stakeholders and international experts provided the framework for the development of specific conservation measures. The first phase, from 2011 to 2016, facilitated dialogue between the ICPDR, stakeholders and EU Commission—represented by DG REGIO and The Directorate-General for Environment (DG ENV). The second phase is the feasibility study. The third and fourth phases regard technical design (2021–2023) and implementation (2024 and onwards). In line with the feasibility study, the ICPDR will coordinate and implement activities jointly with the Danube Delta National Institute for Research and Development (DDNI) from Romania and the Jaroslav Černi Institute for Water Resources Development (JCI) from Serbia [167].

A similar project is an initiative *We Pass*, which aims to facilitate fish migration in the Danube River Basin with the focus on preservation and reestablishment of the migration routes of endangered fish species in the Danube River and its tributaries, specifically at the Đerdap Gorge. This initiative is set up by the ICPDR, JCI, DDNI, CDM Smith OAK consultants, and the Norwegian Institute for Nature Research (NINA). One of the projects in the scope of this initiative is *Study on environmental and ecological thematic in the framework of macro-regional strategies (MRS) and policy coordination with the Directorate-General for Neighbourhood and Enlargement Negotiations (DG NEAR) and DG ENV: Support for the implementation of the Feasibility Study analyzing options for characteristic Danube fish migration at Đerdap I & II*. The Serbian side has proposed the construction of a third, smaller hydropower plant (Đerdap III) with the assurance of no adverse impacts on the ecosystem area. The specific objective of this project is to restore and preserve water and soil quality, and biodiversity in the Danube Region. Addressing the blockage of a fish migration route around the Đerdap dams is the central concern in preserving biodiversity in the entire Danube, before and beyond the Serbian-Romanian border area. In order to achieve these objectives, the following tasks are proposed: project management, analysis of the current situation and data gathering, monitoring fish behavior at Đerdap Gorge, communication activities, data quality assurance and quality checks, 3D basis model [168].

The Đerdap National Park in Serbia and the Iron Gate Nature Park in Romania were the subject of the transnational cooperation project BioREGIO Carpathians (2011–2013). The objectives of the project were conservation, restoration and valorization of the Carpathian ecological continuum in order to enable wild animals to live in coexistence with modern society. The projects applied a multi-disciplinary approach (physical, legal and socio-economic) to identify the most influential

barriers regarding connectivity throughout the Carpathians. A part of this project was the case study *The Lynx in the Pilot Area Đerdap National Park (Serbia)/Iron Gate Nature Park (Romania)*. The aim of the study was to find the Least Coast Paths (LCP) for Lynx in this area and potential barriers. The final recommendation which related to this case study concentrated on animal–vehicle collision (highlighting the absence of mitigation structures and the driving behaviour—special focus on the road 25-1 in Đerdap National Park). This study could help in identifying the most important corridors for both wildlife movements and the human–wildlife coexistence [169]. Crossborder cooperation of the Đerdap National Park is also realized through IPA crossborder programmes between Serbia, Romania and Bulgaria and it is related to the formation of the European Green Belt (i.e. the Lower Danube Green Corridor along the borders with Romania), the European Road of Culture (Danube Road), the Euroregion “Danube 21”, etc. [118].

## 7 Conclusion and Recommendation

Water resources around the world are overexploited due to population growth and an increased demand for water. Large rivers, such as the Danube River, are especially under pressure, because of multipurpose use. This chapter deals with the human impact and pressures on the Lower Danube River Basin in Serbia, which is presented through an overview of the water resources use in this area. The water resources of the Lower Danube River are used for hydropower production, navigation, water supply of settlements, agriculture, industry, fishing, tourism and recreation. Đerdap Hydropower and Navigation System has especially significant role for multipurpose Danube’s water use, with very favourable conditions for hydropower production and navigation. However, these potentials are not completely valorized. Groundwater from local sources (often accumulated in karst massifs) is prevalingly used for water supply. Tourist values are numerous including natural assets and cultural heritage. All these uses affect water quality and aquatic ecosystems. Fishing is also impacted by Đerdap dams. In this study, assessment of water quality in Lower Danube River in Serbia was made at three stations (Tekija, Brza Palanka and Radujevac), based on the following indices: Serbian Water Quality Index (SWQI), Canadian Water Quality Index (CWQI), Agri-food Water Quality Index, and Water Pollution Index (WPI). Depending on the parameters used, the results show some oscillations and variations. However, general conclusion is that water quality at the Radujevac station is somewhat lower because the station is the farthest downstream. The low values of the CWQI for aquatic life suggest that living organisms are especially endangered. The major anthropogenic pollution sources are the Copper Mine in Majdanpek, the industrial zone in Mosna, the production of phosphoric acid and mineral fertilizers in Elixir Prahovo, untreated wastewater and landfills, emissions from road traffic and navigation, pesticides and other chemicals from agriculture. Along with pollution, aquatic ecosystems are also affected by hydromorphological alterations, such as the construction of the Đerdap reservoir, which had a negative impact on migratory fish

species. Several international projects seek to address the decreased fish population by establishing fish migration routes.

Considering the above-mentioned water supply of municipalities in the Lower Danube Region in Serbia, the recommendations would be: the reconstruction of the existed water distribution network (to reduce losses in the water supply network); revitalization and protection of reservoirs; the reconstruction of the plants for drinking water production; establish group water supply systems in the rural settlements of this municipality (especially in the hinterland of the Danube River); the protection of the water sources in order to prevent water pollution from mines; the supply of industrial facilities with technological water provided from local watercourses with mandatory recirculation of technological water.

In the context of water protection, these recommendations among others, include the following: construction of wastewater treatment plants, both for wastewaters from households in municipal centers and in industrial plants for industrial wastewater; increasing the level of coverage of the population by sewage systems; control of the use of mineral and organic fertilizers and pesticides in order to reduce the pollution from agriculture; introduction of good agricultural practice; reduce water pollution by sediments and associated pollutants from agricultural land (phosphorus, nitrogen, pesticides, herbicide, etc.) through soil erosion control; improving municipal waste management through the construction of sanitary landfills and the closure of uncontrolled (illegal) dumping sites; implementation of the Guiding principles on sustainable hydropower development in the Danube River Basin [170], which, among other things, includes a technical upgrade of existing hydropower plants, strategic planning of new hydropower development, as well as ecological restoration measures to ensure sustainable use of hydropower; the improvement of navigation conditions; construction and maintenance of marinas, harbours and anchorages [22]; the reactivation of a national river fleet; increasing awareness among the population on environmental problems through environmental education and by organizing various events, such as the Danube Day in order to raise awareness of the social impact on water pollution.

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## **Annex 1: CWQI Parameters with Limit Values**

Variables	Units	Overall		Drinking		Aquatic		Recreation		Irrigation		Livestock	
		Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper
Color	TCU		15		15								15
Turbidity (Turb)	NTU		1		1								
Dissolved Oxygen (DO)	mg/l	9.5				9.5							
pH		6.5	8.5	6.5	8.5	6.5	9	5	9				
Calcium (Ca)	mg/l		1000										1000
Sodium (Na)	mg/l		200		200								
Sulphate (SO <sub>4</sub> <sup>2-</sup> )	mg/l		500		500								1000
Chloride (Cl <sup>-</sup> )	mg/l		110		250						110		
Fluoride (F <sup>-</sup> )	mg/l		1		1.5		1.2				1		1
Nitrate, Nitrite (NO <sub>3</sub> <sup>-</sup> NO <sub>2</sub> <sup>-</sup> )	mg/l		100										100
Aluminium (Al)	mg/l		0.005				0.005				5		5
Arsenic (As)	mg/l		0.005		0.025		0.005				0.1		0.025
Barium (Ba)	mg/l		1		1								
Cadmium (Cd)	mg/l		0.005		0.005						0.0051		0.08
Cromium (Cr)	mg/l		0.001		0.05		0.001				0.0049		0.05
Copper (Cu)	mg/l		0.002		1		0.002				0.2		0.5
Iron (Fe)	mg/l		0.3		0.3		0.3				5		
Mercury (Hg)	µg/l		0.003		1		0.1						0.003
Manganese (Mn)	mg/l		0.05		0.05						0.2		
Molybdenum (Mo)	mg/l		0.073				0.073						
Nickel (Ni)	mg/l		0.025				0.025				0.2		1

(continued)

(continued)

Variables	Units	Overall		Drinking		Aquatic		Recreation		Irrigation		Livestock	
		Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper	Lower	Upper
Lead (Pb)	mg/l		0.001		0.01								
Zinc (Zn)	mg/l		0.03		5								

Source Adapted from [151]



## Annex 2: AFWQI Parameter Limits

Parameter	Unit	Irrigation	Livestock
Aldicarb	µg/l	54.9	11
Aluminum (Al)	µg/l	5,000	5,000
Arsenic (As)	µg/l	100	25
Atrazine	µg/l	10	5
Beryllium (Be)	µg/l	100	100
Boron (B)	µg/l	6,000	5,000
Bromacil	µg/l	0.2	1,100
Bromoxynil	µg/l	0.33	11
Cadmium (Cd)	µg/l	5.1	80
Calcium (Ca)	µg/l		1,000,000
Captan	µg/l		13
Carbaryl	µg/l		1,100
Carbofuran	µg/l		45
Chloride (Cl <sup>-</sup> )	µg/l	700,000	
1,2-Dichloroethane	µg/l		5
1,1,2-Trichloroethene (TCE)	µg/l		50
Chlorothalonil	µg/l	5.8	170
Chlorpyrifos	µg/l		24
Trivalent Chromium (Cr(III))	µg/l	4.9	50
Hexavalent Chromium (Cr(VI))	µg/l	8	50
Cobalt (Co)	µg/l	50	1,000
Coliforms, fecal (E. Coli)	in 100 ml	100	
Coliforms Total	in 100 ml	1,000	
Copper (Cu)	µg/l	1,000	5,000
Cyanazine	µg/l	0.5	10
Deltamethrin	µg/l		2.5
Dibromochloromethane	µg/l		100
Dicamba	µg/l	0.006	122
Dichlorobromomethane	µg/l		100
Dichloromethane	µg/l		50
Diclofop-methyl	µg/l	0.18	9
Diisopropanolamine (DIPA)	µg/l	2,000	
Dimethoate	µg/l		3
Dinoseb	µg/l	16	150
Ethylbenzene	µg/l		2.4

(continued)

(continued)

Parameter	Unit	Irrigation	Livestock
Fluoride (F <sup>-</sup> )	µg/l	1,000	2,000
Glyphosate	µg/l		280
Hexachlorobenzene	µg/l		0.52
Iron (Fe)	µg/l	5000	
Lindane	µg/l		4
Linuron	µg/l	0.071	
Lithium (Li)	µg/l	2,500	
Manganese (Mn)	µg/l	200	
4-chloro-2-methyl-phenoxy (MCPA)	µg/l	0.025	25
Mercury (Hg)	µg/l		3
Metolachlor	µg/l	28	50
Metribuzin	µg/l	0.5	80
Molybdenum (Mo)	µg/l	50	500
Nickel (Ni)	µg/l	200	1,000
Nitrate + Nitrite (NO <sub>3</sub> <sup>-</sup> + NO <sub>2</sub> <sup>-</sup> )	µg/l		100,000
Nitrite (NO <sub>2</sub> <sup>-</sup> )	µg/l		10,000
Phenols (C <sub>6</sub> H <sub>6</sub> O)	µg/l		2
Phenoxy herbicides	µg/l		100
Picloram	µg/l		190
Selenium (Se)	µg/l	50	50
Simazine	µg/l	0.5	10
Sulfolane	µg/l	500	
Sulphate	µg/l		1,000,000
Tebuthiuron	µg/l	0.27	130
Tetrachloromethane	µg/l		5
Toluene	µg/l		24
Total Dissolved Solids (TDS)	µg/l	3,500,000	3,000,000
Triallate	µg/l		230
Tribromomethane	µg/l		100
Tributyltin	µg/l		250
Trichloromethane	µg/l		100
Tricyclohexiltyn	µg/l		250
Trifluralin	µg/l		45
Triphenyltin	µg/l		820
Uranium (U)	µg/l	10	200
Vanadium (V)	µg/l	100	100
Zinc (Zn)	µg/l	5,000	50,000

Source: Adapted from [152]

### Annex 3: Threshold Values for the Parameters of the Ecological Status for Rivers Belonging to Type 1 in Serbia (for the Danube River)

Parameter	Unit	Prescribed maximum values between ecological status classes			
		I–II	II–III	III–IV	IV–V
Type 1					
<i>Chemical and physico-chemical parameters of ecological status assessment</i>					
pH value (pH)		6.5–8.5	6.5–8.5	6.5–8.5	<6.5 or >8.5
Dissolved oxygen (DO)	mg/l	8.5 <sup>2</sup>	7.0	5.0	4.0
Biochemical oxygen demand (BOD)	mg/l	2	5	8	20
Ammonium (NH <sub>4</sub> -N)	mg/l	0.1	0,3	0,8	1.0
Orthophosphate (PO <sub>4</sub> <sup>3-</sup> )	mg/l	0.02	0.1	0.2	0,5
<i>Biological parameters of ecological status assessment</i>					
Saprobic index (S)		2.10	2.65	2.90	3.20
<i>Microbiological parameters of ecological status assessment</i>					
Coliform bacteria (CB)	nb/100 ml	500	10,000	100,000	1,000,000

Source [39]

### Annex 4: Limit Values of Pollutants in Surface Waters in Serbia

Parameter	Unit	Prescribed maximum values between classes				
		I	II	III	IV	V
Suspended solids (SS)	mg/l	25	25	–	–	–
Oxygen saturation (OS)	%	90–110	90–110	90–110	90–110	90–110
Chemical oxygen demand (COD)	mg O <sub>2</sub> /l	5	10	20	50	>50
Nitrite (NO <sub>2</sub> <sup>2-</sup> )	mg N/l	0.01	0.03	0.12	0.3	>0.3
Sulfate (SO <sub>4</sub> <sup>2-</sup> )	mg/l	50	100	200	300	>300
<i>Metals</i>						
Iron (Fe)	μg/l	200	500	1000	2000	>2000
Manganese(Mn)	μg/l	50	100	300	1000	>1000

(continued)

(continued)

Parameter	Unit	Prescribed maximum values between classes				
		I	II	III	IV	V
Copper (Cu)	µg/l	5 ( <i>T</i> = 10) 22 ( <i>T</i> = 50) 40 ( <i>T</i> = 100) 112 ( <i>T</i> = 300)	5 ( <i>T</i> = 10) 22 ( <i>T</i> = 50) 40 ( <i>T</i> = 100) 112 ( <i>T</i> = 300)	500	1000	>1000

Source [40]

## Annex 5: Limit Values for Priority and Priority Hazard Substances in Surface Waters in Serbia

Priority hazard substance	Average annual concentration (AAC) (µg/l)	Maximum allowed concentration (MAC) (µg/l)
Mercury (Hg)	–	0.07
Cadmium (Cd)	<0,08 (Class I) 0,08 (Class II) 0,09 (Class III) 0,15 (Class IV) 0,25 (Class V)	<0,45 (Class I) 0,45 (Class II) 0,6 (Class III) 0,9 (Class IV) 1,5 (Class V)
Nickel (Ni)	4	34

Source [41]

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# **Climate and Water Related Hazards**

# Using Köppen Climate Classification Like Diagnostic Tool to Quantify Climate Variation in Lower Danube Valley for the Period 1961–2017



Hristo Popov

**Abstract** This chapter present climate condition in Lower Danube Valley in North Bulgaria. It used average monthly and average annual data on air temperature and precipitation from 10 meteorological stations located evenly on the study territory. In order to reveal the dynamics of climate change, are data calculated 30-year, 20-year, 10-year moving averages, which determined the affiliation to climate types according to the Köppen classification. The climatic types for each individual year are also calculated. The results reveals of dry steppe climate (BSk) in the eastern and central parts of the study area for some of the years. The presence of Mediterranean influence has been registered, which is defined by the Csa and Csb indices. At the same time, the Mediterranean influence is not revealed when use the 30- and 20- years moving average. When use 10- year moving average the presence of Mediterranean influence is established in only 2 stations for the period in second half of 80's and first half of 90's of the twentieth century. According to the 10-year moving averages in the Knezha region, we register a continental climate with a cold winter (Dfa) for the period of the 60s and early 70s. According to Annual Climate Type (ACT) study area is under Potential Aridity Condition (PAC) for half of study period.

**Keywords** Annual Climate Types (ACT) · Potential Aridity Condition (PAC) · Moving averages · Climate changes · Köppen classification · Lower Danube Valley

## 1 Introduction

Classification of climates has been the subject of numerous studies since the end of nineteenth century. Scientists usually have been using two types of classifications: statistical classifications [1–4] and genetic classifications [5–7]. Classifications of Köppen [1, 2], De Martonne [3] and Viers [4] are generally based on average data of temperature and precipitation for periods over 30 years and define thresholds corresponding to large biogeographic and bioclimatic domains; for this reason, they

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are also often referred to as physiognomic. The second group—classifications of Alissow [5], Pédelaborde [6] and Flohn [7] defines climates by the succession of the usual types of weather, that is to say the dynamic characteristics of the climate resulting from the combinations between the different meteorological parameters. The first group is criticized for a too reductive view of the climate, the average being, by definition “the value that never occurs”, and the second group for a somewhat abstract or complex presentation of the climate as so many instantaneous states of the atmosphere in a given place [8–11].

Among the classifications of climates, the one of W.P. Köppen is the most famous and one of the most used in the world. It was developed in 1900 from the global vegetation maps available at the time, the author seeking to match climatic data with the boundaries of major plant domains.

It is often regarded as the first attempt at a quantitative climatic classification of climate together with of Thornthwaite [12] and Trewartha [13]. A simple combination of monthly average temperature and precipitation data is quickly applicable and generalizable. Hantel [14], Essenwanger [15] and Peel [16] have proposed adaptations of the thresholds and limits proposed by W. Köppen and, more recently, many studies have taken up this way of approaching the climate to illustrate its current and future evolution. Kottek et al. [17] made a updated World Map of the Köppen-Geiger climate classification. Rubel et al. [18] observed and projected climate shifts for the period 1901–2100. Beck et al. [19] present current and future Köppen-Geiger climate classification maps at 1-km resolution. Allam et al. [20] and Gallardo et al. [21] used Köppen—Trewartha classification in different scenarios of regional climate models. Lohmann et al. [22] used Köppen climate classification as a diagnostic tool for represent results from general circulation models. Taking up the thresholds and limits proposed by Köppen and his successors, several authors have also tried to correct the static aspect of this classification by applying it not to climatic 30 years averages, but for decadal averages or for each year. Chen and Chen [23] used Köppen classification to compare spatially stability of main climate types on three times scales, interannual, interdecadal and 30 years, for the period 1901–2010. The expression “Annual Climate Type” (ACT) has thus been used to define the climatic atmosphere of a given year [24]. The classification of W. Köppen has thus already been applied by Planchon and Rosier [25] to define these ACT in the Argentine Northwest, Quénol et al. [26] and Eveno et al. [27] in France and Dubreuil et al. in Brazil [11].

In Bulgaria there are many studies about precipitation, air temperatures, aridity and hydro-meteorological drought [28–31] which object is Danube Valley. Chenkova and Nikolova [28] observed air temperature and precipitation variability in North-eastern Bulgaria. Vlăduț et al. [29] research aridity in southern Romania and northern Bulgaria and thermal continentality in same areas [30]. Few authors used Köppen classification in their study. Kirov [32] used Köppen classification together with other classifications to describe the climate in Bulgaria. Topliyski [33] used Köppen classification calculated monthly averages for the period 1931–1970. Popov [34]

studies climate changes in Struma and Mesta valleys using Köppen climate types and calculate 30 years moving averages for the period 1931–2012.

The aim of the research is to analyze the ACT, which composite longterm climate variability in Lower Danube Valley.

## 2 Data and Methods

This research uses temperature and precipitation monthly data for the period 1961–2017 (57 years) from 10 meteorological stations located in the Bulgarian part of Danube valley (Table 1).

Five stations are located on the river floodplain—Vidin, Lom, Oryahovo, Ruse and Silistra. Another 5 stations are in the catchment area—Vratza, Kneja, Pleven, Razgrad and Shumen. There are 4 stations with data gaps, but there are data for period of at least 43 years (shortest period of observation is in Kneja 1961–2003). Silistra is the other station with temperature data gaps. There are missing temperature data for the period 1987–1993 and missing precipitation data after 2013.

Monthly data are used to calculate 30 years, 20 years and 10 years moving average of the temperature and precipitation. Annual Climate Type (ACT) using Köppen climate classification is used to calculate frequency of every climate type.

Using the results we define Potential Aridity Condition (PAC) index to describe climate conditions in study area.

The Köppen climate classification consists of five major groups. In study area are present only 3 of them. There are a number of sub-types under each major group, as listed in Table 2. While all the major groups except B are determined by temperature only. All the sub-types in current chapter are decided based on the combined criteria relating to seasonal temperature and precipitation. Therefore, the

**Table 1** Coordinates and period of observation of meteorological stations used in study

Station	Latitude N (°)	Longitude E (°)	Altitude (m)	Period of observation
Vidin	43.59	22.51	35	1961–2017
Lom	43.49	23.14	35	1961–2013
Vratza	42.12	23.32	358	1961–2017
Oryahovo	43.44	23.58	124	1961–2017
Kneja	43.30	24.05	120	1961–2003
Pleven	43.20	24.35	163	1961–2017
Razgrad	43.31	26.14	206	1961–2017
Ruse	43.84	25.95	44	1961–2017
Shumen	43.16	26.56	216	1961–2010
Silistra	44.11	27.27	16	1961–2013 for precipitation 1961–1986 & 1994–2013 temperature

**Table 2** Köppen climate classification scheme symbols description table. 1st—main climate type, 2nd—Subtype for B (arid) climate or precipitation regime type for C (temperate) climate and D (continental) climate, 3rd—subtype based on the temperature regime

1st	2nd	3rd	Type
B (Arid)	S (Steppe)	h (Hot)	BSk—Cold steppe climate
		k (Cold)	
C (Temperate)	w (Dry winter)		Cwa—Dry-winter humid subtropical climate
	f (No dry season)		Csa—Mediterranean with hot summer
	s (Dry summer)		Cfa—Subtropical humid
		a (Hot summer)	Cwb—Dry-winter subtropical highland climate
		b (Warm summer)	Csb—Mediterranean with warm summer
		c (Cold summer)	Cfb—Temperate Oceanic climate
D (Continental)	w (Dry winter)		Dwa—Hot summer continental climates
	f (No dry season)		Dsa—Hot and dry summer continental climates
	s (Dry summer)		Dfa—Hot-summer humid continental climate
		a (Hot summer)	Dwb—Warm summer continental or hemiboreal climates
		b (Warm summer)	Dsb—Warm and dry summer continental climates
		c (Cold summer)	Dfb—Warm humid continental climates

classification scheme as a whole represents different climate regimes of various temperature and precipitation combinations.

The dry climate B is determined by the annual mean precipitation and temperature, as well as the annual cycle of precipitation. Different sub-types distinguish between arid (desert) and semi-arid areas and further seasonal difference in precipitation conditions. The mild temperate C represents the climate with the lowest monthly mean temperature between  $-3^{\circ}\text{C}$  and  $+18^{\circ}\text{C}$ , while the different seasonal precipitations give rise to the four sub-types. The snow climate D has the lowest monthly mean temperature equal or lower than  $-3^{\circ}\text{C}$ , where as the sub types are decided based on the seasonal precipitation. Finally the polar climate E has the highest monthly mean temperature equal or lower than  $+10^{\circ}\text{C}$ , and the two sub-types further divide the major group into two temperature conditions. The last major type is typical for subpolar and polar latitude and high mountains which are not part of study area.

### 3 Results and Discussion

When are used 30-years moving average, the study area obviously could be separated by two subareas. Territories in the river's floodplain from Vidin to Silistra absolutely (100%) belong to the "Cfa" climate type. Central part of the Danube valley, which is between the Osam River and the Skat River, is described as a humid subtropical climate.

The second subarea its more variable according to the Köppen climate classification. It includes territories which are not so close to the river. In the western part the humid subtropical climate (Cfa) still have great influence (67.9%). But a temperate oceanic climate type (32.1%), which is typical for Western Europe, have been found here. The eastern part of the Danube valley, which includes Ludogorie and Shumen plateaus, mainly belongs to the temperate oceanic climate type (Cfb) (90–96%). The tendency to increase summer temperatures leads to a slow shift of the climate type to a subtropical humid (Cfa) for the last 20–30 years (Tables 2 and 3).

Using 20-years moving average the data are showing some changes. The area which fully (100%) belongs to a humid subtropical climate (Cfa) decreased its territory in the western part of the valley (around the Skat River—Kneja station) and increased its territory in the eastern part (Cfa 8–29%). The area around Ludogorie and Shumen plateaus is more influenced by subtropical humid climate (Cfa).

Some of the areas, which according to the 30-years moving average are entirely in the subtropical humid climate zone, are transiting (due to rising summer temperatures) from Temperate Oceanic Cfb to the hot summer variant of Cfa (subtropical humid). The eastern part of the Danube valley could also be noticed warming and transition to the subtropical humid climate. For the region at the foot of the Shumen Plateau this transition is established after 1983, and at the foot of the Ludogorie Plateau after 1996. On the border with the Fore-Balkan zone, in the western part of the valley, the transition from temperate oceanic to subtropical humid climate shifted from 1970 to 1975 (Tables 2 and 4).

We can get even more detailed picture when analyzing the data from the 10-years moving averages (Tables 2 and 5). The Danube lowlands from Oryahovo/Lom to Silistra remain for the whole period in the zone of the subtropical humid climates (Cfa).

In the western part of the Danube lowlands (Vidin region) we register periods with temperate oceanic (Cfb) (1967–1979) and mediterranean climate (Csa) (1984–1993).

In the border area with the Fore-Balkans in the western part of the Danube plain, we register a transition from Cfb to Cfa after 1979. The Knezha region has calculated a transition from Temperate continental Dfa to Temperate oceanic Cfb, and after 1979 to Subtropical humid Cfa climate. The territories at the foot of the Ludogorie plateau went to a Subtropical humid climate Cfa after 1998, and at the foot of the Shumen plateau this change is registered after 1983 (Table 5).

The classification of the data for ACT gives us the greatest detail. For each station the number of climatic subtypes varies between 7 and 12. For the Danube lowlands

**Table 3** 30-years moving average of Köppen Climatic index in Lower Danube Valley for the period 1961–2017

	Vidin	Oryahovo	Lom	Ruse	Silistra	Vratza	Kneza	Pleven	Razgrad	Shumen
1961–1990	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1962–1991	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1963–1992	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1964–1993	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1965–1994	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1966–1995	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1967–1996	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1968–1997	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1969–1998	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1970–1999	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1971–2000	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1972–2001	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1973–2002	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1974–2003	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1975–2004	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfb
1976–2005	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfb
1977–2006	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfb
1978–2007	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfb
1979–2008	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfb
1980–2009	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1981–2010	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1982–2011	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	No data
1983–2012	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	No data
1984–2013	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	No data
1985–2014	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfb	No data
1986–2015	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfb	No data
1987–2016	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data
1988–2017	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfb	No data

the variety of climatic subtypes is less—between 7 and 10. For the plain areas far from the river the number of subtypes for ACT is between 10 and 12.

The presence of dry years with type “B” is more typical for the territories of the Danube lowlands and decreases out of the river floodplain. The largest number of dry years is in the eastern part. For the foothills of the Shumen Plateau there are no dry years according to the climate indices of Köppen (Figs. 1 and 2).

For the Danube lowlands, the distribution of ACT is dominated by Cfa & Csa. As for the Kozloduy lowland Csa is dominant. For the whole study area, the Mediterranean climate Csa remains between 12.3 and 38.5% of the study period. The climate of the cold steppes ACT is present everywhere except at the foot of the Shumen plateau (Figs. 3 and 4).

The analysis of the main types of climate reveals the presence of cold “D” climates for the whole territory. For the Danube lowlands the percentage of years with cold winters varies between 8 and 24%, and for the plain part far from the river is between 16 and 47%. Cold or snow climates “D” is present mainly by two sub-types—Hot-summer humid continental climate (Dfa) and Hot and dry summer continental climates (Dsa). If compare subtypes climate continental climate with dry and hot summer (Dsa) prevail (16% of ACT) in Knezha region.

**Table 4** 20-years moving average of Köppen Climatic index in Lower Danube Valley for the period 1961–2017

	Vidin	Oryahovo	Lom	Ruse	Silistra	Vratza	Kneza	Pleven	Razgrad	Shumen
1961–1980	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1962–1981	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1963–1982	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1964–1983	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1965–1984	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1966–1985	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1967–1986	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1968–1987	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1969–1988	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1970–1989	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1971–1990	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1972–1991	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1973–1992	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1974–1993	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa	Cfa	Cfb	Cfb
1975–1994	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1976–1995	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1977–1996	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1978–1997	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1979–1998	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1980–1999	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1981–2000	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1982–2001	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1983–2002	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa
1984–2003	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa
1985–2004	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1986–2005	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1987–2006	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1988–2007	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1989–2008	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1990–2009	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1991–2010	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1992–2011	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	No data
1993–2012	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	No data
1994–2013	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	No data
1995–2014	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfb	No data
1996–2015	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data
1997–2016	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data
1998–2017	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data

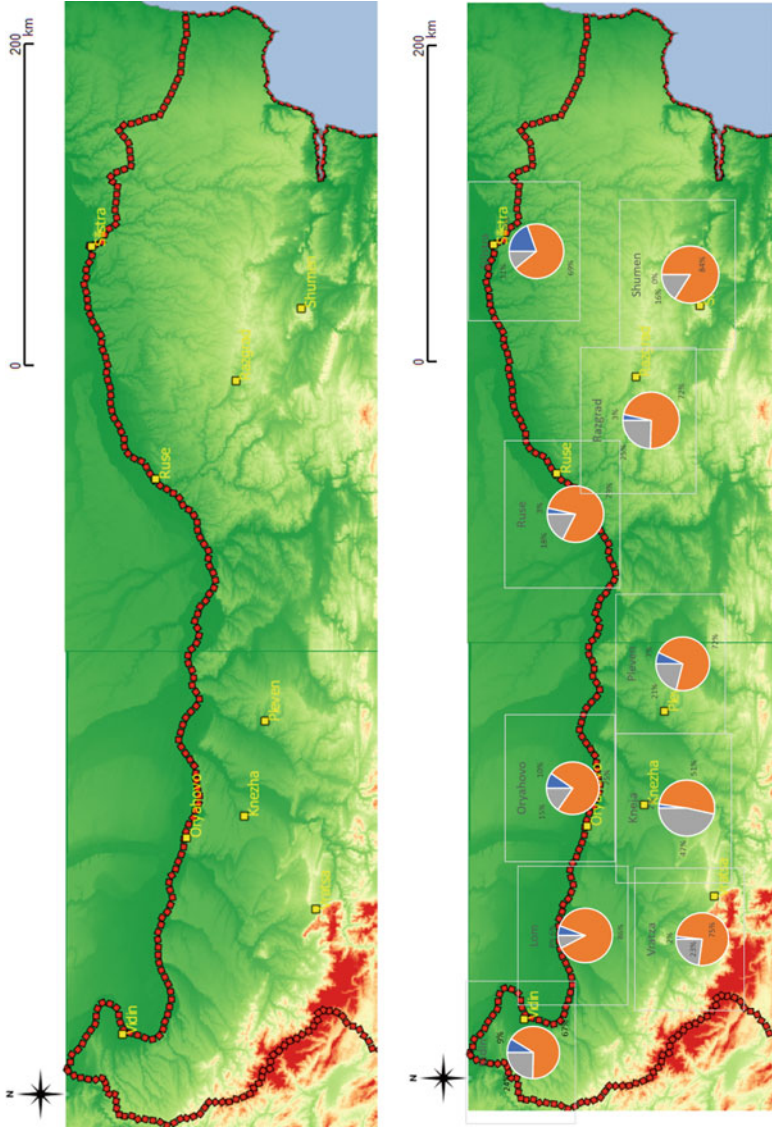
Second letter in index of Köppen climate classification is define by precipitation regime. In study areas between 40 and 51% of the years are without dry season. In west part of Danube lowlands and plains prevail humid years “F” ACT without dry season. In Danube lowlands humid years or without dry season are between 41 and 48% of the period. In Kozloduy lowland (between Oryahovo and Lom) and foothills of Shumen plateau prevails years with dry summer (48%). Eastern than Kozloduy lowland area till Ruse lowland dry summer years become equal with humid years (43,9%). Equal number of dry summer years (“s” 40%) and humid years (“F” 40%) observed in Knezha region. East from Ruse lowland years become dryer—only 1/3 of years were with dry summer, but 20% of years belong to dry steppe (Bsk) ACT. With averaged data not registered, but using ACT we register years with dry winter “w”. Between of 4 and 18% of years in south part of Lower Danube valley are with

**Table 5** 10-years moving average of Köppen Climatic index in Lower Danube Valley for the period 1961–2017

	Vidin	Oryahovo	Lom	Ruse	Silistra	Vratza	Kneza	Pleven	Razgrad	Shumen
1961–1970	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Dfa	Cfa	Cfb	Cfb
1962–1971	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Dfa	Cfa	Cfb	Cfb
1963–1972	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Dfa	Cfa	Cfb	Cfb
1964–1973	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Dfa	Cfa	Cfb	Cfb
1965–1974	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Dfa	Cfa	Cfb	Cfb
1966–1975	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1967–1976	Cfb	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1968–1977	Cfb	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1969–1978	Cfb	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1970–1979	Cfb	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1971–1980	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1972–1981	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1973–1982	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1974–1983	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1975–1984	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1976–1985	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1977–1986	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1978–1987	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb	Cfa	Cfb	Cfb
1979–1988	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1980–1989	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1981–1990	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1982–1991	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1983–1992	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1984–1993	Csa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1985–1994	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Csa	Cfb	Cfb
1986–1995	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1987–1996	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1988–1997	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1989–1998	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1990–1999	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1991–2000	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfb
1992–2001	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa
1993–2002	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa
1994–2003	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	Cfb	Cfa
1995–2004	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1996–2005	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1997–2006	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfb	Cfa
1998–2007	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfa	Cfa
1999–2008	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfa	Cfa
2000–2009	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfa	Cfa
2001–2010	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfa	Cfa
2002–2011	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data
2003–2012	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data
2004–2013	Cfa	Cfa	Cfa	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data
2005–2014	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data
2006–2015	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data
2007–2016	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data
2008–2017	Cfa	No data	No data	Cfa	Cfa	Cfa	No data	Cfa	Cfa	No data

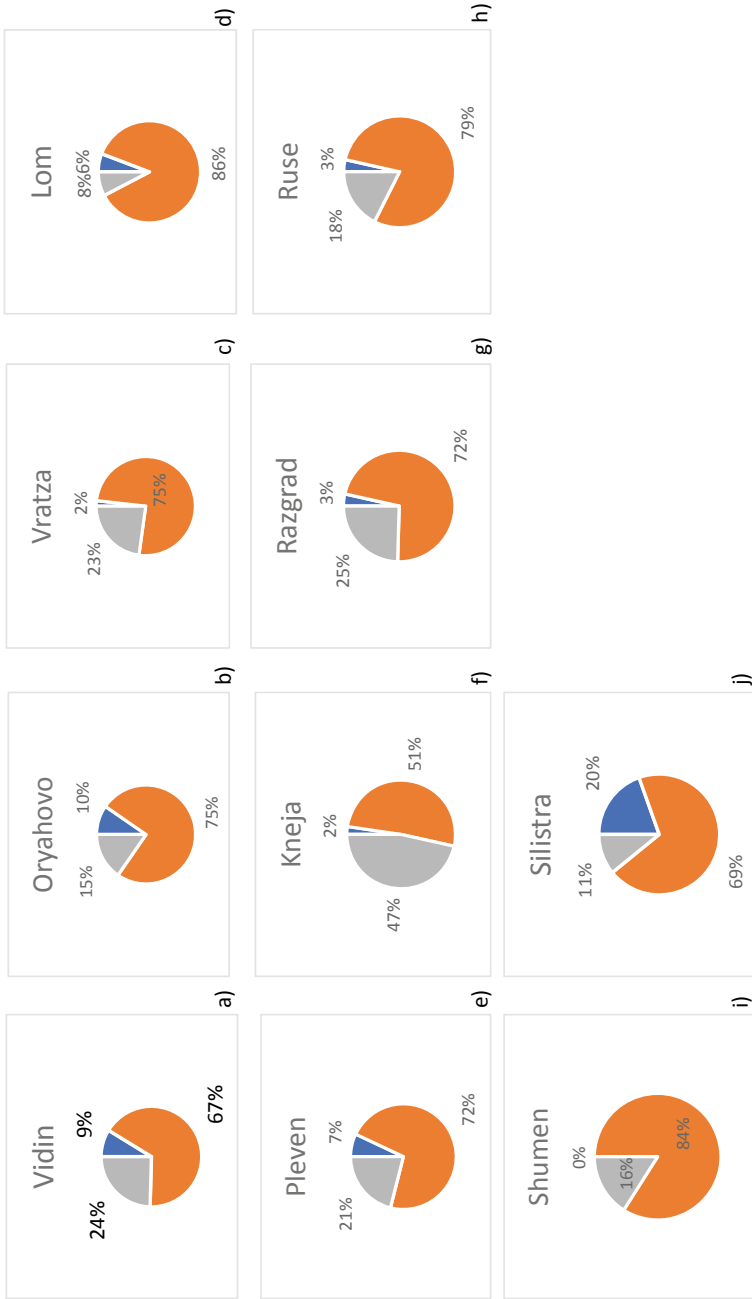
dry winter. Most common years, between 12 and 18%, with dry winter registered in west part of plains of study area. In Danube lowlands and plateaus in eastern part of plains years with dry winter are between 4 and 9%.

If we summarise years with ACT of dry periods (“s” + “w”) with dry years “Bsk”, or exclude humid years with second “f” ACT, we will receive a number (or %) of years

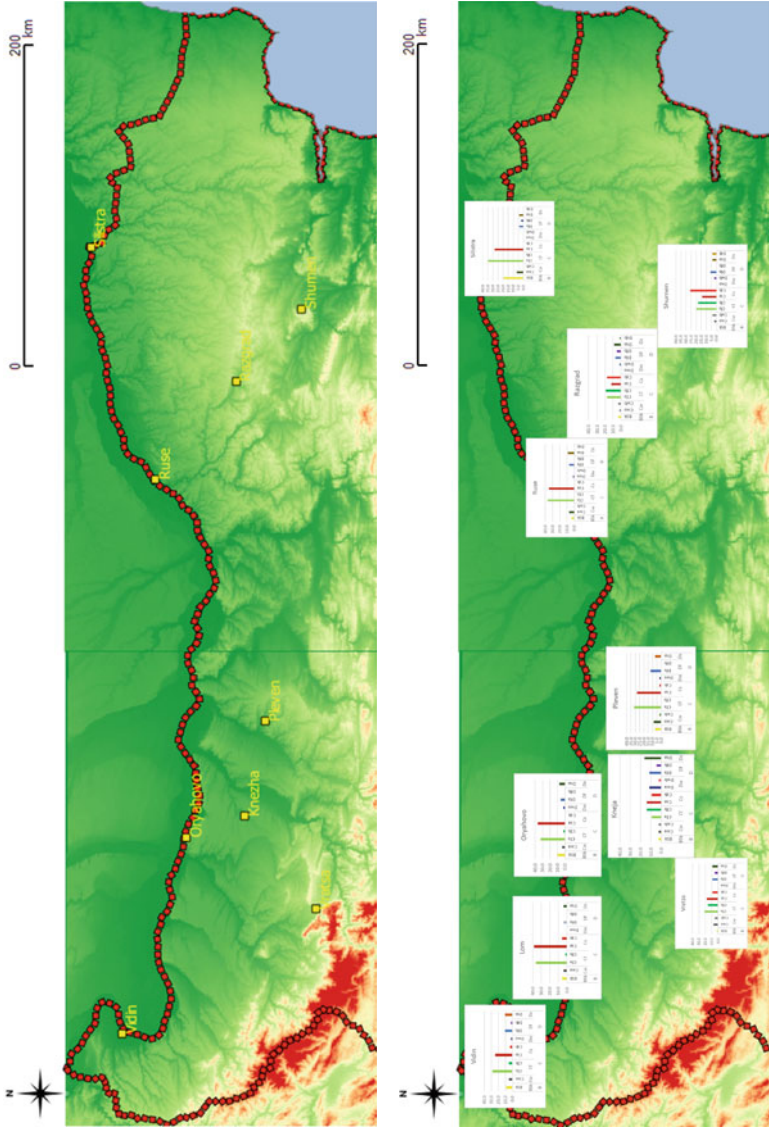


**Fig. 1** Spatial distribution of main Annual Climate Type (ACT) in Lower Danube valley (North Bulgaria) (zoom of charts in Fig. 2)

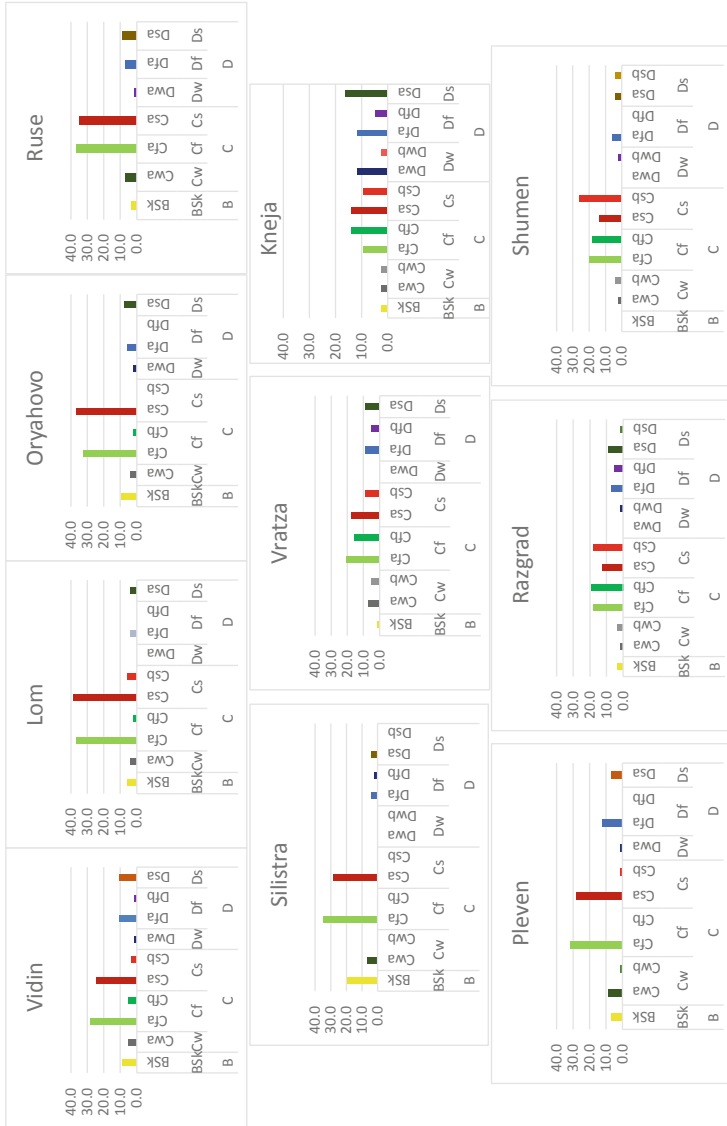




**Fig. 2** Distribution of main climate types by Köppen classification (B [dry climate]—blue, C [temperate climate]—orange, D [continental climate]—gray) using Annual Climate Type (ACT) in Lower Danube Valley (North Bulgaria) for the period 1961–2017



**Fig. 3** Spatial distribution of detailed Annual Climate Type (ACT) in Lower Danube Valley (North Bulgaria) (zoom of charts in Fig. 4)



**Fig. 4** Quantitative distribution of detailed Annual Climate Type (ACT) in Lower Danube Valley (North Bulgaria). Ox = climate types and Oy = %

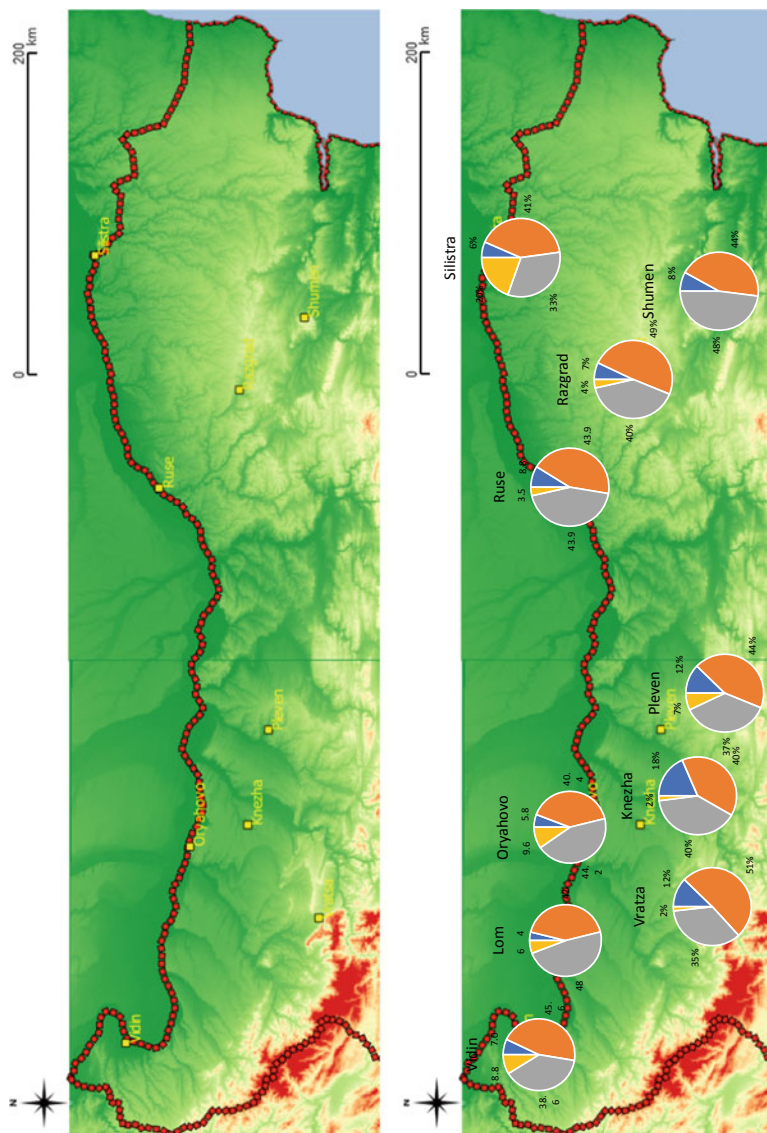
with Potential Aridity Condition (PAC). In south part of Lower Danube valley years with PAC is between 49 and 60%. In west part of study area and Ludogorie plateau PAC is around 50% (between 49% for Vratza and 51.4% for Vidin, Razgrad 51%). For other part of Danube lowlands inner plains and foothills of Shumen plateau years with PAC are between 56 and 60% (Figs. 5 and 6).

## 4 Conclusion and Recommendation

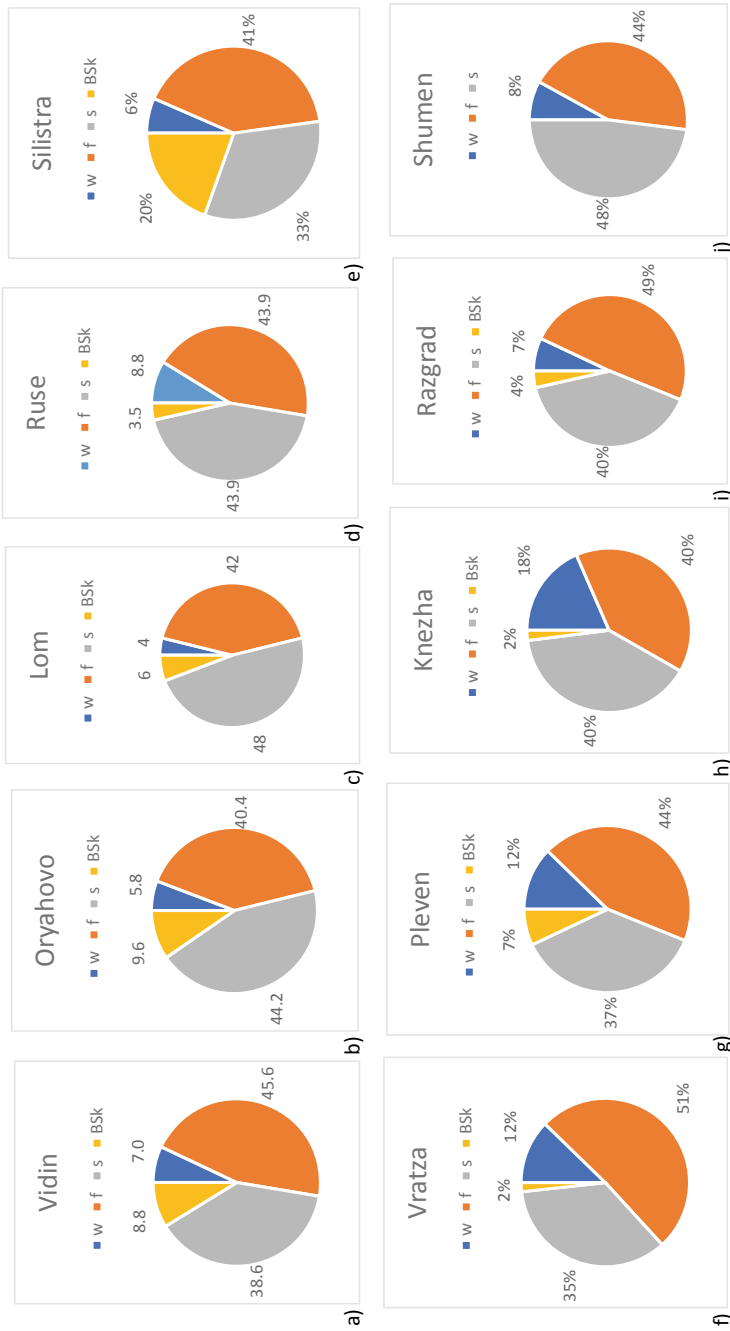
The lowlands of the Danube plain are in the area with Subtropical Wet Climate (Cfa) for most of the time of the selected period. A detailed study, using 10-years moving averages and for ACT, shows that this is an area in which combinations of individual climatic factors lead to a wide variety of climate types according to the Köppen climate classification. Due to this greater detail, the significant Mediterranean influence (Csa + Csb) is registered, which is masked when the monthly data are averaged. This Subtropical Mediterranean type (Csa + Csb) is dominant for the floodplain of the Danube in the Kozloduy lowland. In combination with the temperate continental steppe climate (BSk) they form about 50% of the time in ACT. This may explain the natural steppe landscape in these areas. In the east and in the west along the Danube valley, the Mediterranean influence is not dominant and covers between 25 and 35% of the years in the studied period.

Averaged data masked years with dry winter “w” but using ACT we registered it. Between of 4 and 18% of years in south part of Lower Danube valley are with dry winter. Between 12 and 18% of years with dry winter registered in west part of plains of study area. In Danube lowlands and plateaus in eastern part of plains years with dry winter are between 4 and 9%.

Using summarise years with ACT of dry periods we can calculate a number (or %) of years with Potential Aridity Condition (PAC). In west part of study area and Ludogorie plateau PAC is around 50%. For territory east from Vidin lowland of Danube lowlands, plains and foothills of Shumen plateau years with PAC are between 56 and 60%.



**Fig. 5** Spatial distribution of Köppen climate classification sub-types (%) define by precipitation regime (Bsk (dry climate)—yellow, f (humid or without dry season)—orange, s (dry summer)—gray, w (dry winter)—blue) using Annual Climate Type (ACT) in Lower Danube valley (North Bulgaria) for the period 1961–2017



**Fig. 6** Distribution of Köppen climate classification sub-types (%) define by precipitation regime (BSk [dry climate]—yellow, f [humid or without dry season]—orange, s [dry summer]—gray, w [dry winter]—blue) using Annual Climate Type (ACT) in Lower Danube valley (North Bulgaria) for the period 1961–2017. (a–e station in Danube lowlands; f–j plains outside Danube lowland and near plateaus)

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# Observed Changes in the Temperature and Precipitation Regime Along the Lower Danube River



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Anișoara Irimescu, Elena Grigore, Raul Gabriel Ilea,  
and Gabriela Dîrloman**

**Abstract** Knowing the space–time variability of the temperature and precipitation provides the ability to objectively assess its effects on the water resources. The Lower Danube River represents a significant part of the surface water resources of Romania and it is of crucial importance for the socio-economic development of the riparian localities. In this chapter, we will analyze the variability and changes occurred in the time series of the average air temperatures and precipitation along the Lower Danube River, for the period 1961–2013. The meteorological data are extracted

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from the Romanian daily gridded climatic dataset (ROCADA) and the archive of the National Meteorological Administration. These data come from five weather stations which are representative for the Lower Danube River. Also, based on MODIS satellite images, the land surface temperature parameter (LST) was extracted. Most of the Lower Danube River is characterized by an annual average temperature of 11°C and by annual precipitation between 484.9 and 647.4 mm. Both the average annual air temperature and the amounts of the annual precipitation decrease from the West to the East, as a consequence of the increase in the same direction of the degree of the continental influences and the weakening of the thermic convection above the water. There were observed tendencies to increase the air temperature at all the weather stations both at the annual, as well as in spring, summer, June, July and August, being in line with those at the continental level. The trends of precipitation amounts are not as significant as those of air temperature, so the precipitation regime in the study area can be considered as stationary.

**Keywords** Temperature · Precipitation · Changes and Trends · Lower Danube River · Romania · Contents

## 1 Introduction

The current global warming has led to a dynamics of the hydrological cycle, so that the effects of the climate change on the water resources are reflected in: the water supply, the water quality, the water requirements and in the extreme events (floods and droughts) [1].

The climate change of the last decades in the hydro-climatic system in Romania has been highlighted in numerous studies [2–5]. These changes are manifested especially by the appearance of the drought phenomenon [6–9]. There has been reported a significant warming in most regions of Romania, at an annual average level of about 0.5°C, since 1901 to present, and an intense inter-decade variability in terms of precipitation, with successions of dry and rainy periods [10, 11].

In recent years, more attention has been paid to identify and analyze the climate change based on the satellite imagery. Products obtained by remote sensing methods such as land surface temperature (LST) aid to understand the local climate characteristics and trends [12]. The annual and seasonal LST product highlight the variability and climate change manifests itself mainly through high-temperature and drought [13]. The space–time variation of LST provides useful information regarding the impact of temperature on the agricultural production. Also, it helps disaster monitoring and early warning and ecological protection [14]. Moreover, LST datasets are important elements for improving global hydrological and climate prediction models [15]. The remote sensing improves the limitations of the meteorological observations [16]. Therefore, various organizations need to pay attention to these satellite products [17].

The South-Eastern Danube region is expected to become much warmer than in the last decades (higher than 1°C [18]) and with less precipitation (possibly variations in the order of 70 mm/year [19]). According to The International Commission for the Protection of the Danube River (ICPDR) Strategy on Adaptation to Climate Change [20], in the Danube River Basin “*climate change is likely to cause significant impacts on water resources and can develop into a significant threat*”.

Knowing the space–time variability of the temperature and precipitation system provides the ability to objectively assess the effects on the water resources. The high temperatures can affect the quality of the river water (the decreased dissolved oxygen, the eutrophication etc.) and the less precipitation can cause problems in ensuring the safe flow.

The main purpose of this chapter is to investigate the changes occurred in the air and surface land temperature, as well as in the precipitation regime along the Lower Danube River in Romania, for the period 1961–2019, based on both the meteorological data from surface measurements and those based on the MODIS satellite images.

## 2 Data and Methods

This study is based on the processing of the monthly average temperatures and the monthly precipitation for five weather stations (WS) representative for geographical location along the Lower Danube River: Drobeta (Dr.) Turnu (Tr.) Severin, Calafat, Zimnicea, Călărași and Galați (Fig. 1).

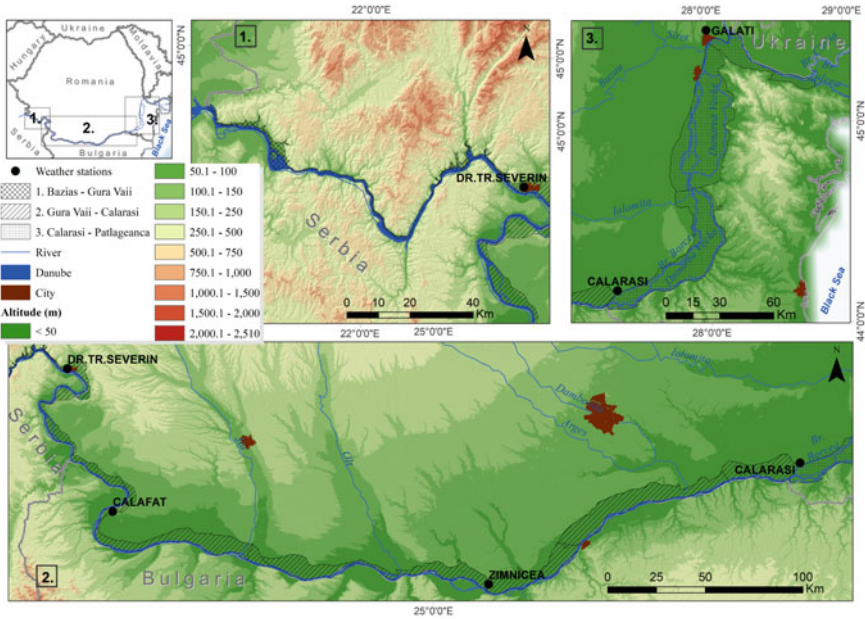
The meteorological data used are extracted from ROCADA: a gridded daily climatic dataset for the interval 1961–2013 [21, 22] which belongs to the National Meteorological Administration (NMA). Also, there were used meteorological data records from the five weather stations, covering the interval 2014–2019, the data being extracted from the archive of the NMA.

The remote sensing products used in this study have been MOD11A1.006 [23] and MYD11A1.006 Daily Global – 1 km for Land Surface Temperature (LST) [24] assessment along the Lower Danube River, for the period 2000–2019. The LST average for each day has been calculated combining the four images recorded by the MODIS sensor, installed on the Terra and Aqua satellites. Then, the Kelvin degrees have been converted to Celsius degrees and the monthly average LST has been calculated. The data set for January 2000 is missing from the analysis due to the lack of data collection.

A summary of the climatological and remote sensing data is presented in Table 1.

Also, in the analysis of the climatic variability of the study area, there have been calculated the standardized anomalies for the months of January and July, considered typically months from the climatic point of view, and at the year level.

Standardized anomalies for air temperature, precipitation and LST have been calculated as it follows (1):



**Fig. 1** Location of the whole study area in Romania (top left) and of the three analyzed sectors: (1) Bazaș-Gura Văii or the Danube Gorge; (2) Gura Văii-Călărăși and (3) Călărăși-Pătlașanca

**Table 1** Summary of the data used in this chapter

Product Name	Type/Bands	Time period	Resolution	Units	Dataset Provider
ROCADA	Average air temperature	1961-2013	11 km	°C	ROCADA [22]
	Precipitation			mm	
Meteorological data	Average air temperature	2014-2019	Weather Stations	°C	National Meteorological Administration [25]
	Precipitation			mm	
MOD11A1.006	LST_Day_1km	2000-02-01 to 2019-12-31	1 km	Kelvin	NASA LP DAAC at the USGS EROS Center [23]
	LST_Night_1km				
MYD11A1.006	LST_Day_1km	2002-07-04 to 2019-12-31	1 km	Kelvin	NASA LP DAAC at the USGS EROS Center [24]
	LST_Night_1km				

$$x_{anomaly} = \frac{x - \mu}{\sigma} \tag{1}$$

where:

- $x_{anomaly}$  – is the standardized anomaly;
- $x$  – is the value for the month;
- $\mu$  – is the average value over the 1961–2019 for the meteorological data and 2000–2019 time period for LST;

$\sigma$  – is the standard deviation value over the 1961–2019 for the meteorological data and 2000–2019 time period for LST.

The analysis of the changes in the average regime of temperature and precipitation as well as of the LST parameter is completed by the calculation of the linear trends and their statistical significance at annual, monthly and seasonal time scale, by using the Mann-Kendal statistic test and Sen's slope, by means of the MAKESENS – application [26].

### **3 Study Area: General Geographical Features and Climatic Peculiarities**

This section presents, in the first part, a brief physical-geographical characterization of the study area, and in the second part, we investigate and highlight the specific features of the air and land surface temperatures, as well as of precipitation regime, based on meteorological data and satellite images, for the period 1961–2019.

#### ***3.1 Geographical Location and Geomorphological Aspects***

The Lower Danube River extends downstream from Baziaş (settlement located at the entry of the river in Romania) to its mouth in the Black Sea and is also called the Pontic sector of the Danube River [27]. This lower course has a length of 1075 km, which represents 37% of the river's total length. The valley in the lower course of the river are usually asymmetrical, the right side being about 200 m higher than the left side [28]. The geomorphological characteristics of the valley, the floodplain and riverbed have imposed its division into four sectors: the Iron Gates Gorge, Gura Văii-Călăraşi, Călăraşi-Pătlăgeanca and the Danube Delta [28].

In this chapter, there will be analyzed the specific climatic particularities and identified the changes in the thermic and pluviometric regime for the first three sectors of the lower course of the Danube River (Fig. 1).

*The Iron Gates Gorge sector* is the longest and most spectacular gorge in the entire course of the river that extends between Baziaş and Gura Văii. It is characterized by a succession of narrow and wide sectors and by the construction of the Iron Gates I reservoir, part of the hydro-power and navigation system with the same name [27].

*The Gura Văii-Călăraşi sector* is characterized by a floodplain without a continuous development with a maximum width of 10–15 km, on which there were, in the past, numerous lakes, ponds and parallel watercourses, while in the river channel, there are numerous islands [28].

*The Călăraşi-Pătlăgeanca sector* is highlighted by the largest width of the floodplain in the country, with an average of 15–20 km, reaching a maximum of 25–30 km.

Also, the river branches into two main arms that include two large islands called “bălți” (puddles) [27, 28].

In this chapter, we considered the Romanian side of the Lower Danube River, including the river channel, the riparian floodplain, as well as the immediate edge of the shore or slope and those areas that are under the varied and variable influence of the river, where the alignment of the localities dependent on the Danube is found (Fig. 1).

## 3.2 Climatic Peculiarities

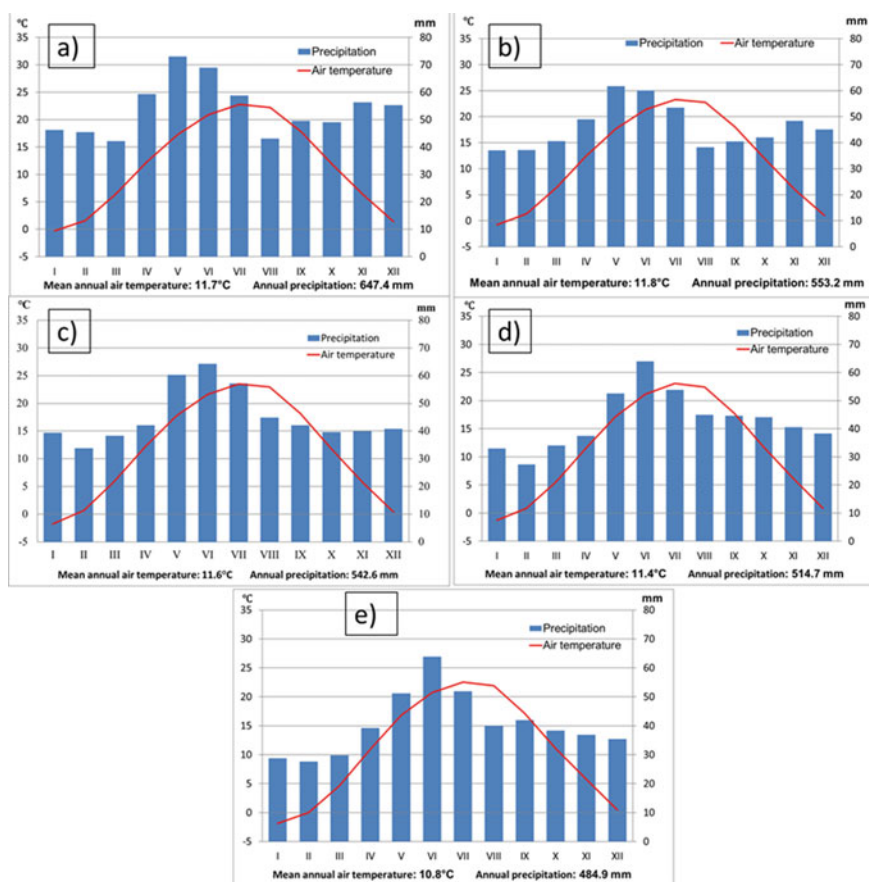
Due to its geographical location, the lower course of the Danube River is characterized by a temperate continental climate with Mediterranean influences in the Western half of the course and aridity in the Eastern half. These climatic influences together with the influence of the large water surfaces materialize in some specific features of the main meteorological parameters, which individualize the Danube corridor compared to the surrounding areas. In order to identify and highlight the features of the temperature and precipitation regime along the Lower Danube River, the monthly averages values at the five representative weather stations (Fig. 1) were analyzed.

### 3.2.1 Air Temperature and Precipitation

Along the Lower Danube River, there can be observed some differences in terms of the climatic regime of the analyzed parameters. Thus, both the average annual air temperature and the annual amount of precipitation decrease from the West to the East by 0.9°C in the case of temperature (11.7°C at Dr. Tr. Severin, and 10.8°C at Galați), respectively 162.5 mm in the case of precipitation (647.4 mm at Dr. Tr. Severin, and 484.9 mm at Galați) for the period 1961–2019 (Fig. 2).

This decrease from the West to the East along the Lower Danube is explained by the transition from the Mediterranean influences, specific to the areas of Dr. Tr. Severin, Calafat and Zimnicea weather stations towards those of aridity, specific to Călărași and Galați areas.

The monthly air temperature regime outlines the classic evolution regime for most weather stations in Romania, in which the lowest value of the monthly average is recorded in January, and the highest value is reached in July. The highest value of January is registered at Dr. Tr. Severin WS, of -0.3°C, and the lowest value is registered at Galați WS of -1.9°C. This thermic difference between the two weather stations is the direct result of the climatic influences, as mentioned above. Between the two weather stations, the monthly average temperature for January oscillates between -0.7°C at Calafat, -1.8°C at Zimnicea and -1.3°C at Călărași. The higher value from Călărași weather station, compared to the one from Zimnicea, is the result of the influence of the water moderator role which is felt more strongly at Călărași WS as a result of the increase of the river water surface near the station. July, the



**Fig. 2** The annual regime of the monthly average air temperature and monthly average precipitation at the weather stations along the Lower Danube River for the period 1961–2019: (a) Dr. Tr. Severin; (b) Calafat; (c) Zimnicea; (d) Călărași; (e) Galați

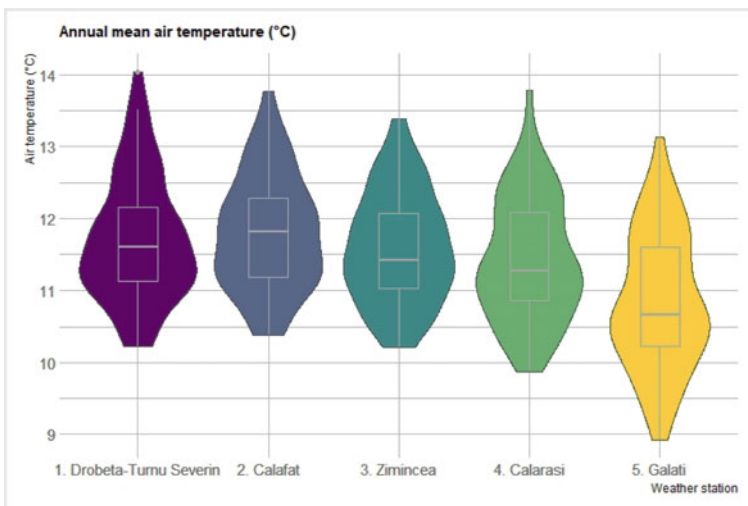
warmest month of the year, is characterized by air temperatures lower than  $23.0^{\circ}\text{C}$  only at the extremities, at the Dr. Tr. Severin, of  $22.8^{\circ}\text{C}$ , and at Galați, of  $22.5^{\circ}\text{C}$ , while the values for the other stations exceed  $23.0^{\circ}\text{C}$  ( $23.3^{\circ}\text{C}$  at Calafat,  $23.5^{\circ}\text{C}$  at Zimnicea and  $23.0^{\circ}\text{C}$  at Călărași) (Fig. 2). Temperatures higher than  $23.0^{\circ}\text{C}$  are the highest monthly average values of July in the country, due to the latitude, Zimnicea being the most Southern locality in the country, and to the influence of the tropical hot air advections.

The annual precipitation regime is characterized by a complex distribution along the Lower Danube valley as a result of the higher share, in their genesis, of the atmospheric circulation. Dr. Tr. Severin is characterized by monthly precipitation amounts between 42.2 mm in March and 73.1 mm in May, for the period 1961–2019. At Calafat, the minimum monthly amount of precipitation is recorded in January of

37.1 mm, and the highest average monthly value is more than 61.7 mm to mention the month. For the other weather stations, the lowest average amount of precipitation per month is recorded in February, being 33.8 mm at Zimnicea, 27.3 mm at Călărași and 27.7 mm at Galați (Fig. 2). The highest monthly amount of precipitation for the weather stations Zimnicea, Călărași and Galați is registered in June being: 64.3 mm, 63.9 mm and 63.8 mm respectively (Fig. 2). There is a significant decrease in precipitation from the West to the East, due to the increase in continentalism. The highest monthly amount is recorded in June, sometimes in May, often in the form of showers, due to the development of thermic convection [29]. Also, the maximum monthly values of the precipitation quantities are the result of the frequent penetration of the humid air masses, of oceanic origin, on the Romanian territory [28].

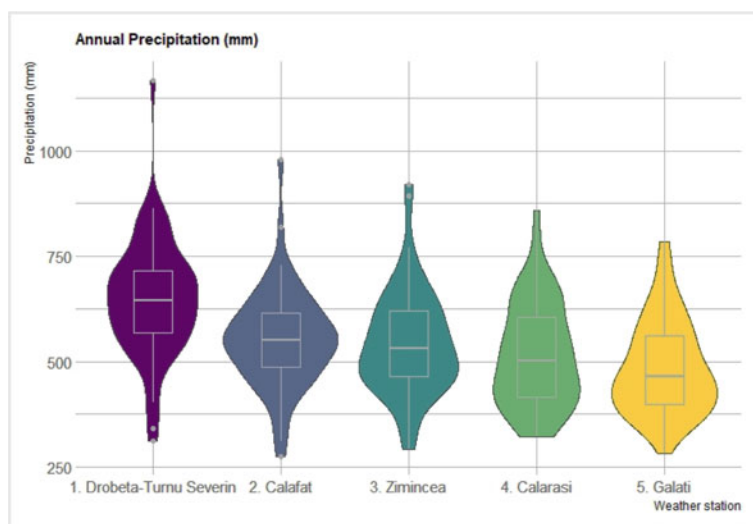
Differences between the weather stations along the Lower Danube can also be seen in terms of Quantile values. The third quantile ( $Q_3$ ) shows a value about  $12.3^{\circ}\text{C}$  for the first weather stations from West (Dr. Tr. Severin, Calafat) and it gradually decreases to the east, reaching at Galați WS about  $11.5^{\circ}\text{C}$  (Fig. 3). Also, the median and the first quantile ( $Q_1$ ) have values much lower at Galați WS compared to other stations. Differences between the average annual temperatures registered at the five stations can be observed also in terms of the shape of the boxplots. The distribution of the values registered at the Galați WS, mainly as a result of the extreme values, gives it a longer shape compared to the other stations (Fig. 3).

In terms of annual precipitation, the values of the third quantile ( $Q_3$ ) as well as of median and the first quantile ( $Q_1$ ), decrease from West to East. Therefore, the lowest values can be observed at Galați WS (Fig. 4).



**Fig. 3** The annual average air temperature boxplots at the weather stations along the Lower Danube River for the period 1961–2019





**Fig. 4** The annual precipitation boxplots at the weather stations along the Lower Danube River for the period 1961–2019

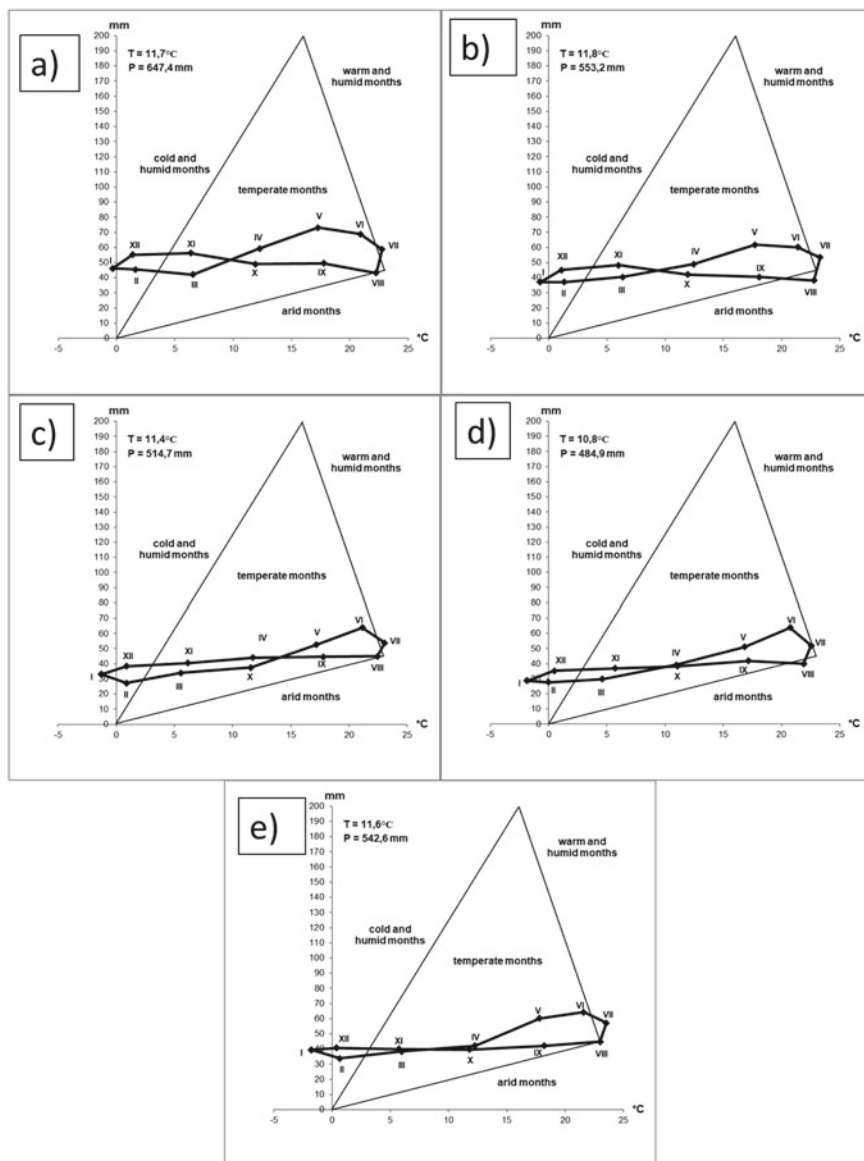
### 3.2.2 Péguy Climograms

The Péguy climograms (Fig. 5) show the correlations between the monthly average values of temperature and precipitation, thus, highlighting the monthly precipitation-temperature characteristics in the study area, from the five weather stations analyzed, for the period 1961–2019. The shape of the polygons obtained, the longer it is, the more it indicates the presence of a climate with higher thermic and precipitation contrasts. December, January and February (winter) are cold and humid at all weather stations, due to the influences of continental cold air and to the water surfaces (Fig. 5). August is an arid month for the Calafat and Călărași weather stations and at the limit for the other weather stations (Fig. 5).

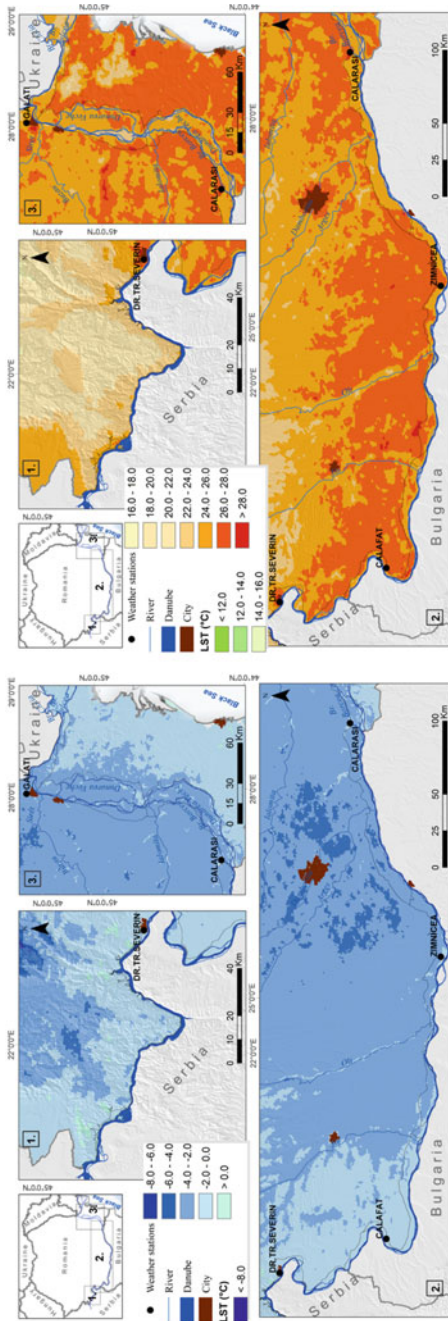
### 3.2.3 Land Surface Temperature (LST)

The complexity of the active structure (terrestrial) surface along the Lower Danube Valley is also highlighted by the space–time analysis of the climatic parameter, the land surface temperature (LST) from satellite images.

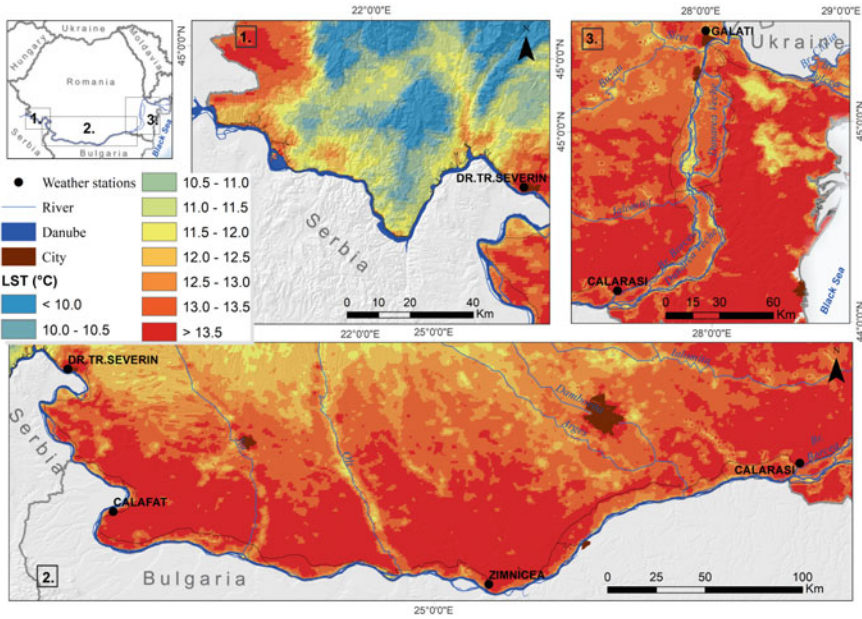
The values of this parameter decrease in January along the Lower Danube Valley from the West to the East. Thus, in the Western sector, Baziaș-Gura Văii and the first half of the Gura Văii-Călărași sector, the temperature of the active surface has values between  $-2^{\circ}\text{C}$  and  $0^{\circ}\text{C}$ , and in the second half of the Gura Văii-Călărași sector and the Călărași-Pătlăgeanca sector, the temperature is between  $-4^{\circ}\text{C}$  and  $-2^{\circ}\text{C}$  (Fig. 6a). In July, the temperature at the land surface is distributed relatively evenly along the



**Fig. 5** The Péguy climograms at the weather stations along the Lower Danube River for the period 1961–2019: (a) Dr. Tr. Severin; (b) Calafat; (c) Zimnicea; (d) Călărași; (e) Galați. T—the annual mean air temperature ( $^{\circ}\text{C}$ ); P—the annual precipitation amount (mm)



**Fig. 6** The spatial distribution of LST values for a) January and b) July along the Lower Danube River, for the period 2000–2019



**Fig. 7** The spatial distribution of the annual average values of LST along the Lower Danube River, for the period 2000–2019

Lower Danube Valley, with values between 24°C and 26°C, exceeding 26°C in some areas, and even 28°C, in the vicinity of Calafat (Fig. 6b).

The annual average land surface temperature (LST) along the Lower Danube Valley is between 13°C and 13.5°C, sometimes exceeding 13.5°C, especially in Gura Văii-Călărași sector (Fig. 7), where there are registered the highest values in the South of the country.

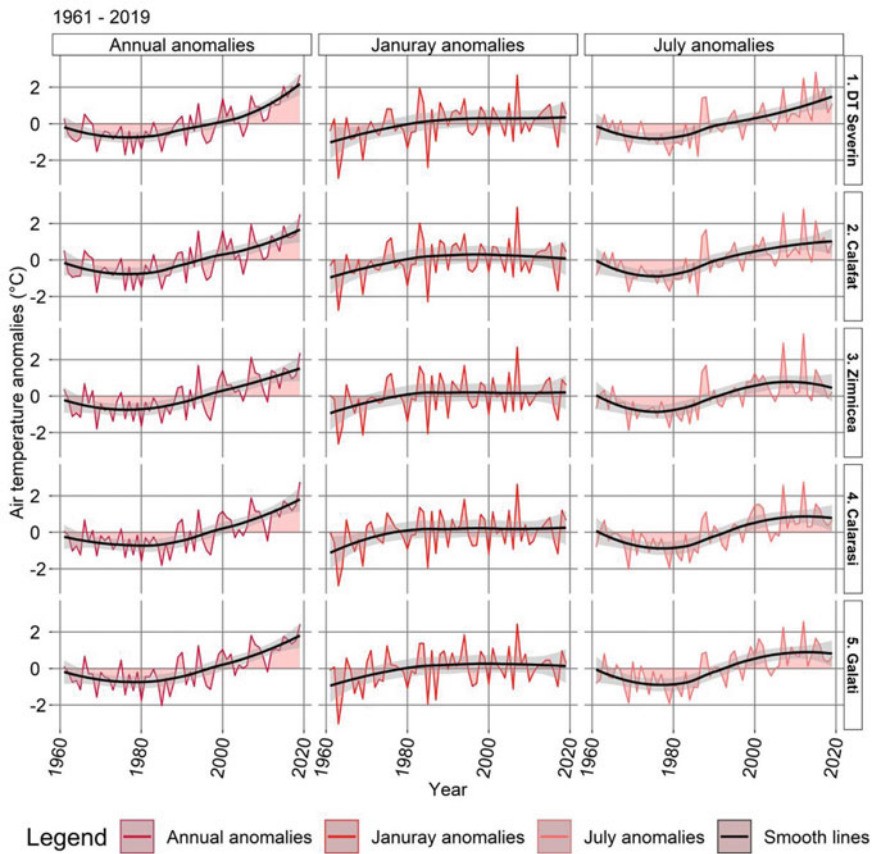
### 4 Thermal and Pluviometric Anomalies and Decennial Variability

The climate variability is generated by the different nature of the climate system components that interact with each other [10]. And, the responses of these components to internal and/or external disturbances have very different time intervals [30]. In this chapter sequence, there will be identified and analyzed the anomalies observed in the variability of air temperature (annual averages and monthly averages in January and July) and precipitation (annual amounts and monthly averages in January and July) as well as the decennial variation of the two climatic parameters along the Lower Danube Valley, during the period 1961–2019, in order to detect changes in their variability.

### 4.1 Annual and Monthly (January and July) Anomalies

The analysis of the anomalies of the main climatic elements is very important, being a tool for the climate diagnosis [31]. When the temperature anomaly is positive, it indicates that the observed temperature was higher than the reference value, thus, the weather being warmer. On the contrary, when the anomaly is negative, it indicates that the observed temperature was lower than the reference value, thus, making the weather colder.

Over the last 20 years, the frequency of positive annual thermic anomalies has increased at all the weather stations along the Lower Danube Valley (Fig. 8). Starting with the year 2000, the positive annual anomalies were registered in 17–19 years,



**Fig. 8** The annual and monthly (January and July) air temperature anomalies (°C) at the weather stations along the Lower Danube River for the period 1961–2019: (1) Dr. Tr. Severin; (2) Calafat; (3) Zimnicea; (4) Călărași; (5) Galați

while before this year; the positive annual anomalies were registered in only 9–12 years. Therefore, the number of years with high temperatures is significantly higher in the last two decades compared to the previous decades of the period 1961–2019.

In January, for the analyzed period, the positive anomalies are not as frequent as in the case of July, along the Lower Danube Valley, these alternating with the negative ones (Fig. 8). In July, there was an evolution of anomalies similar to those calculated annually, the positive anomalies being more frequent in the last two decades of the analyzed period, compared to the decades before 2000 (Fig. 8).

The precipitation anomalies highlight no change in their frequency in the last twenty years, unlike the temperature anomalies for the analyzed period. The positive anomalies alternate with the negative ones, both annually and in the two months (January and July), respectively (Fig. 9).

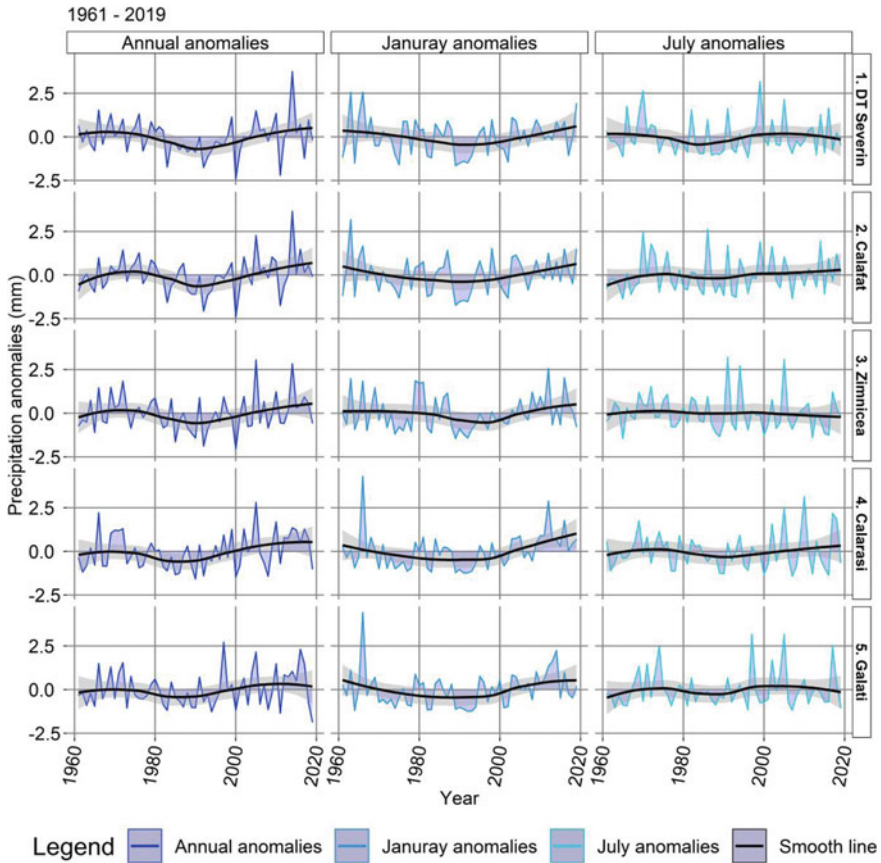
The land surface temperature anomaly (LST), calculated for LST average within the three sectors of the Lower Danube, has highlighted an increase in positive values in the last ten years (Fig. 10). Except for the year 2000, when the annual LST anomaly exceeded 2°C, the year 2019 registered the highest value of the LST anomaly in the three sectors of the Lower Danube (Fig. 11). The values from the last two sectors (Gura Văii-Călărași and Călărași-Pătlăgeanca) were higher than in the first sector (Baziaș-Gura Văii) (Fig. 11). 2019 was the warmest year, in Romania, for the period 1961–2019, according to the National Meteorological Administration [32].

At the level of monthly values, it is found that positive values have been frequently recorded in the recent years, especially in the autumn and winter months (Fig. 10).

For January and July, the biggest positive anomalies of LST were registered in 2007. The year 2007, according to the National Meteorological Administration is the fourth warmest year in Romania, for the period 1961–2019 [32]. The influence of water on the land temperature variations can be observed on the products obtained from satellite images, as a result of the role of thermic regulator that the aquatic surface has as a thermic regulator. Thus, in January 2007, the highest values of the anomalies were recorded near the Danube, in the form of a narrow band (Fig. 12a), while in July 2007, lower values of the anomaly were recorded in the wetlands, such as the Bistreț Lake area and the Danube floodplain in the Corabia area (Fig. 12b). However, in the Călărași-Pătlăgeanca sector, this effect of water is not so obvious on the satellite images.

#### ***4.2 The Thermo-Pluviometric Variation from one Decade to Another***

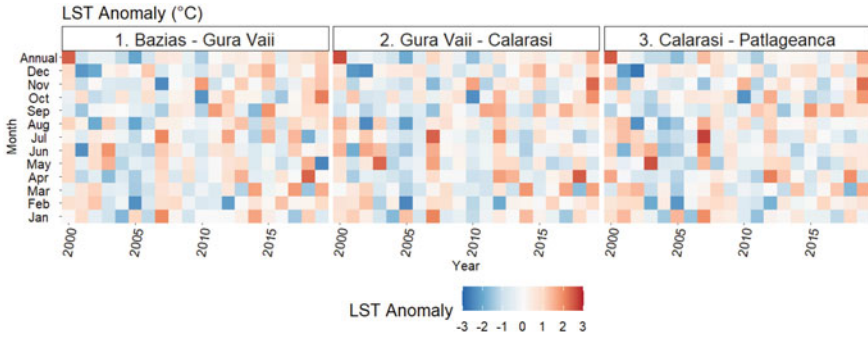
The changes in the air temperature and precipitation variation can also be identified by the analysis of the decennial averages. These averages for successive ten-years reflect well the long-term variability from one decade to another [33]. The decennial



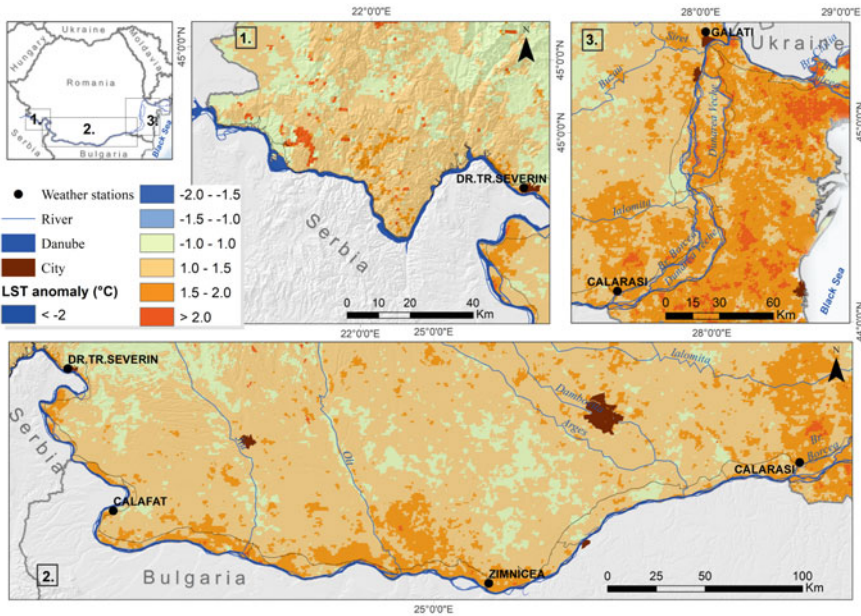
**Fig. 9** The annual and monthly (January and July) precipitation anomalies (mm) at the weather stations along the Lower Danube River for the period 1961–2019: (1) Dr. Tr. Severin; (2) Calafat; (3) Zimnicea; (4) Călărași; (5) Galați

averages were calculated both from the annual values and from the values of January and July during the period 1961–2019.

The Table 2 shows an increase of the decennial average of the air temperature towards the end of the analyzed period at all the weather stations located along the Lower Danube Valley. The warmest decade is the last one, considered by the World Meteorological Organization as the warmest decade on record [34]. The coldest decade of the analyzed period is the decade 1971–1980, for all the weather stations (Table 2). Starting with this decade, in the other decades, there were progressive increases of the ten-years average temperature values. The average thermic value in the last decade is higher by 1.4°C at Dr. Tr. Severin, 1.0°C at Calafat, 0.9°C at Zimnicea, 1.1°C at Călărași and 1.3°C at Galați compared to the multiannual average 1961–2019 (Table 2).



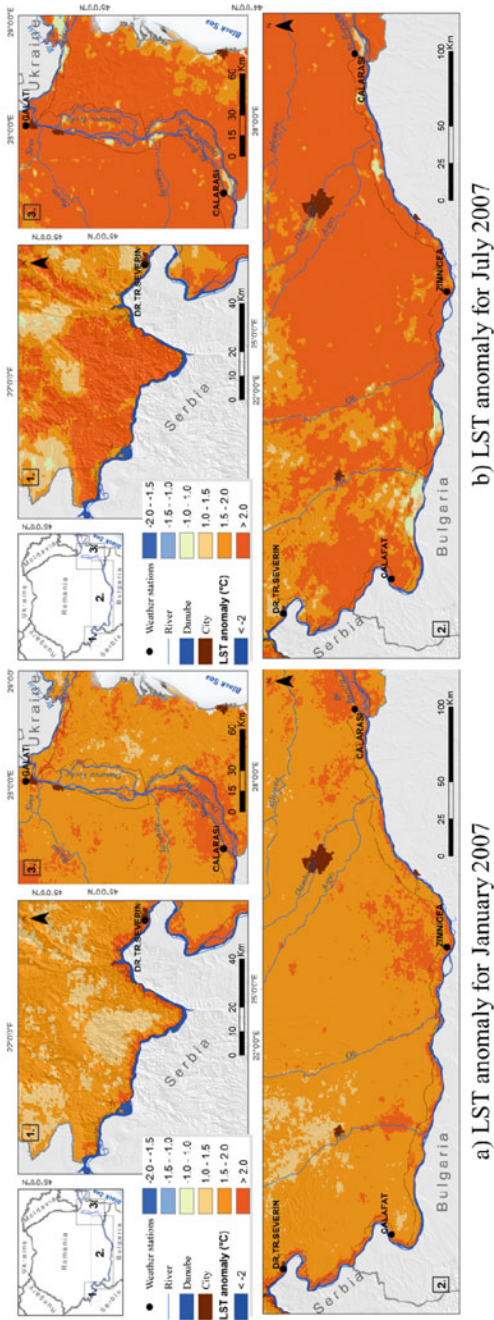
**Fig. 10** The annual and monthly LST anomalies (°C) along the Lower Danube River, for the period 2000–2019: (1) Baziaș-Gura Văii sector; (2) Gura Văii-Călărași sector; (3) Călărași-Pătlașanca sector



**Fig. 11** The annual LST anomalies (°C) along the Lower Danube River, for 2019: (1) Baziaș-Gura Văii sector; (2) Gura Văii-Călărași sector; (3) Călărași-Pătlașanca sector

In January, there was a tendency to increase of the average decadal values from the first decade to the end of the analyzed period (Table 2). In the last decade, a slight decrease was found compared to the previous decade, at Calafat, Zimnicea and Călărași weather stations, of 0.1°C, and 0.6°C at Galați, being the biggest difference between the two decades from the end of the analyzed period (Table 2).





**Fig. 12** The monthly LST anomalies (°C) in a) January and b) July along the Lower Danube River, for 2007: (1) Bazaiaș-Gura Văii sector; (2) Gura Văii-Călărăși sector; (3) Călărăși-Pătlașanca sector

**Table 2** The average decadal variation of air temperature at the weather stations (WS) along the Lower Danube River, for the period 1961–2019

Decade	WS	1960s	1970s	1980s	1990s	2000s	2010s	1961-2019
	Decade	Dr. Tr. Severin	11.3	11.0	11.4	11.6	12.1	13.1
Calafat		11.4	11.1	11.5	11.8	12.2	12.8	11.8
Zimnicea		11.2	11.0	11.3	11.6	12.1	12.5	11.6
Călărași		11.1	10.8	11.0	11.3	12.0	12.5	11.4
Galați		10.4	10.2	10.4	10.7	11.4	12.1	10.8
January	Dr. Tr. Severin	-2.3	-0.7	-0.1	0.3	0.3	0.5	-0.3
	Calafat	-2.8	-1.1	-0.2	0.0	-0.1	-0.2	-0.7
	Zimnicea	-3.8	-1.9	-1.4	-1.2	-1.2	-1.3	-1.8
	Călărași	-3.3	-1.2	-1.0	-0.8	-0.5	-0.8	-1.3
	Galați	-3.7	-2.1	-1.5	-1.3	-0.9	-1.5	-1.9
July	Dr. Tr. Severin	22.0	21.4	22.3	22.8	23.5	24.7	22.8
	Calafat	22.6	22.0	22.9	23.6	24.2	24.7	23.3
	Zimnicea	23.0	22.3	23.1	23.8	24.5	24.5	23.5
	Călărași	22.5	21.9	22.3	23.3	24.0	24.4	23.0
	Galați	21.9	21.2	21.7	22.9	23.6	24.0	22.5

**Table 3** The average decadal variation of the precipitation amounts at the weather stations (WS) along the Lower Danube River, for the period 1961–2019

Decade	WS	1960s	1970s	1980s	1990s	2000s	2010s	1961-2019
	Decade	Dr. Tr. Severin	681.8	686.5	577.4	563.8	691.9	686.8
Calafat		528.6	608.1	498.7	484.0	605.1	599.3	553.2
Zimnicea		545.7	585.6	465.9	493.9	577.6	591.9	542.6
Călărași		513.3	520.2	427.8	495.0	556.9	581.9	514.7
Galați		477.5	501.0	420.3	478.8	513.9	521.5	484.9
January	Dr. Tr. Severin	56.1	45.7	39.2	33.6	49.5	54.3	46.3
	Calafat	43.8	33.2	31.2	30.4	38.6	46.4	37.1
	Zimnicea	45.3	36.9	37.8	23.6	43.9	50.4	39.4
	Călărași	39.2	21.5	26.8	20.9	36.7	55.3	33.0
	Galați	38.5	19.8	21.6	20.6	30.6	43.2	28.8
July	Dr. Tr. Severin	72.2	51.9	40.1	69.4	56.4	63.1	58.8
	Calafat	42.9	59.7	43.5	57.1	54.5	64.2	53.5
	Zimnicea	58.3	63.1	48.2	64.8	59.5	47.9	57.1
	Călărași	54.7	57.5	42.7	46.0	68.8	53.7	53.9
	Galați	40.9	65.8	36.2	52.8	66.9	48.7	51.9

In July, the characteristics of the evolution of the average decadal values of the air temperature calculated from the annual values are maintained. The decade 1971–1980 recorded the lowest ten-years average values of July at all the weather stations in the Lower Danube Valley, and in the last decade (2011–2019), the highest values, over 24°C (Table 2). As a result, there are also progressive increases in the average decennial values of the air temperature for the period 1961–2019.

The variation of the average decadal values of the precipitation amounts is characterized by non-uniformity, with increases and decreases from one decade to another, but without significant changes (Table 3). In January, the ten-years average precipitation registered the lowest values in the decade 1991–2000, at all the weather stations

along the Lower Danube Valley. In the last two decades of the analyzed period, the decadal average values of January are in an increasing trend, being higher than the multiannual average of the period 1961–2019 (Table 3). In July, the ten-year variation shows no significant changes. These changes are less spatially consistent compared to air temperature (Table 3).

The changes detected in the thermo-pluviometric parameters in the last decades have also been captured by the MODIS satellite images, based on the LST products, for the period 2000–2019. Thus, the annual average of the decade 2011–2019 is 1–2°C higher compared to the decade 2001–2010 on almost the entire length of the Lower Danube Valley. The biggest differences can be seen in the Calafat-Călărași sector (Fig. 13top).

The average of January in the decade 2011–2019 registered a decrease in the Eastern sector of the valley (Călărași-Pătlăgeanca) and in some areas of the sector Calafat-Călărași compared to the average of the decade 2001–2010 (Fig. 13 middle). The same situation can be observed in July, the average values in the second decade are slightly lower than in the first decade (Fig. 13 bottom).

## 5 Trends of the Thermal and Pluviometric Regime

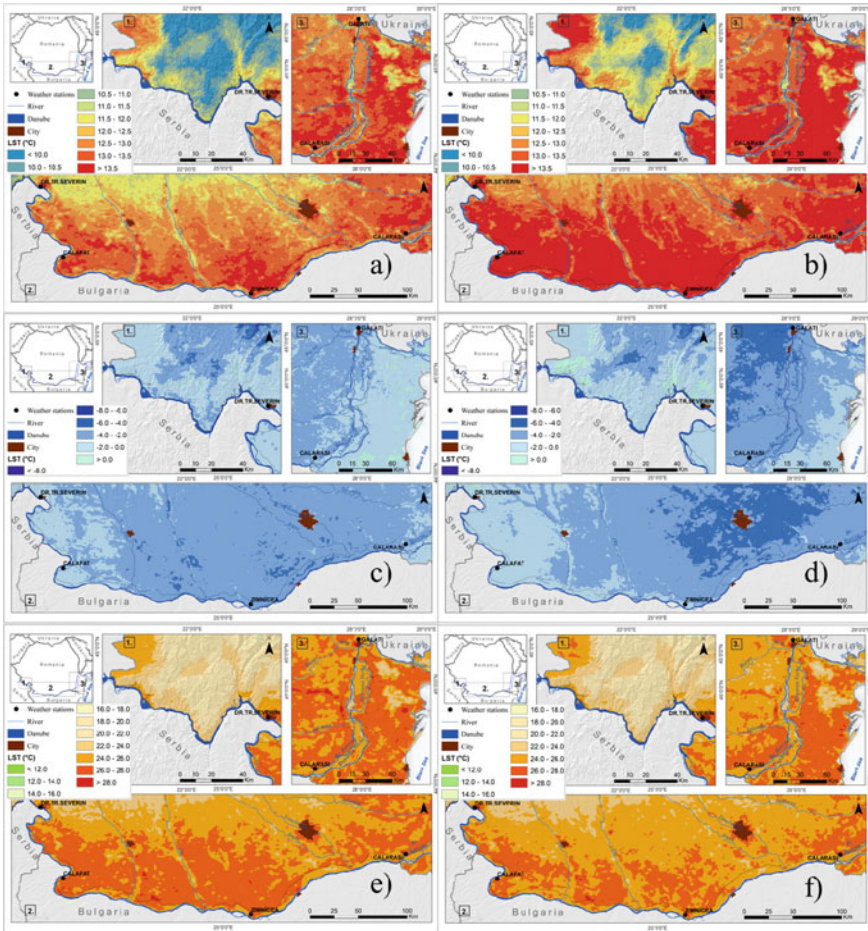
The purpose of this part is to identify the general trends, in the time series of air temperature, atmospheric precipitation and land surface temperature (LST) along the Lower Danube Valley. This identification will be based on the non-parametric Mann–Kendall test [35, 36], which shows the statistical significance and the risk of error of the linear trend in the analyzed data series, contrary to the null hypothesis, namely not to have the trend [37]. The level of significance represents the probability of rejecting the null hypothesis when it is true. A significance level ( $\alpha$ ) is acceptable the closer it is to the value 0 [10]. In the application of the Mann–Kendall test, four significances levels were considered:  $\alpha = 0.001$ ,  $\alpha = 0.01$ ,  $\alpha = 0.05$  and  $\alpha = 0.1$  [26].

Considering the air temperature, according to Table 4, a statistically significant increase at a significance level  $\alpha = 0.001$  was found, for all weather stations along the Lower Danube Valley, both in June, July and August, as well as at the annual, spring and summer level, for the period 1961–2019. These rising air temperature trends are in line with those at the continental level [37].

The trends of precipitation amounts compared to those of air temperature are much less clear and not significant. Thus, the amounts of precipitation falling in the study area can be considered generally as stationary in time (Table 5).

The non-parametric Mann–Kendall test indicates few statistically significant upward trend for  $\alpha = 0.01$  in October at Zimnicea and Galați weather stations (Table 5). Also, the positive trend was registered for the autumn season, but statistically significant for  $\alpha = 0.05$  at Zimnicea WS and  $\alpha = 0.1$  at Călărași WS (Table 5).

Decreasing trends, statistically significant for  $\alpha = 0.05$  at Zimnicea WS and  $\alpha = 0.1$  at Galați WS were identified in August (Table 5). Also, it was found that the



**Fig. 13** The average decadal variation of LST at the year level (top), January (middle) and July (bottom) along the Lower Danube River, for the decades 2001–2010 (a, c and e) and 2011–2019 (b, d and f): (1) Baziaș-Gura Văii sector, (2) Gura Văii-Călărași sector; (3) Călărași-Pătlăgeanca sector

decreasing tendencies of the monthly average precipitation amounts predominate, but without being statistically significant according to the Mann–Kendall test (Table 5).

In the last 20 years, a tendency of temperature increase was detected at the land surface temperature (LST) in all the three sectors of the Lower Danube Valley. However, this increase was statistically significant for  $\alpha = 0.05$  in September and in the autumn season, according to the Mann–Kendall test (Table 6). A statistically significant increase was registered for April in Baziaș-Gura Văii and Gura Văii-Călărași sectors. At annual level, the statistically significant positive trend was registered only for the Baziaș-Gura Văii sector (Table 6).

**Table 4** The trends of the average monthly, annual and seasonal air temperature (°C) according to the non-parametric Mann–Kendall test for weather stations along the Lower Danube River (1961–2019)

No years	Time series	Dr. Tr. Severin		Calafat		Zimnicea		Călărași		Galați	
		Z	SS	Z	SS	Z	SS	Z	SS	Z	SS
59	I	2.35	*	1.63		1.57		1.75	+	1.65	+
59	II	1.83	+	1.40		1.67	+	1.88	+	2.21	*
59	III	2.45	*	2.58	**	2.58	**	2.59	**	2.75	**
59	IV	2.41	*	1.77	+	1.32		1.56		1.90	+
59	V	1.94	+	1.67	+	1.70	+	2.38	*	2.84	**
59	VI	4.26	***	4.17	***	3.87	***	4.29	***	4.09	***
59	VII	5.05	***	4.70	***	4.22	***	4.36	***	4.53	***
59	VIII	4.62	***	4.17	***	4.50	***	4.45	***	5.05	***
59	IX	1.22		0.99		1.98	*	2.69	**	2.45	*
59	X	1.48		0.51		1.05		1.35		1.70	+
59	XI	0.50		0.20		0.49		0.63		0.47	
59	XII	1.86	+	1.49		1.22		1.07		1.39	
59	Annual	5.21	***	4.94	***	4.81	***	4.72	***	4.97	***
59	Spring	3.90	***	3.41	***	2.98	**	3.41	***	4.22	***
59	Summer	5.39	***	5.28	***	5.17	***	5.65	***	5.87	***
59	Autumn	1.61		0.85		1.52		2.12	*	2.46	*
58	Winter	2.41	*	1.89	+	1.64		1.97	*	2.11	*

\*\*\*  $\alpha = 0.001$  level of statistical significance; \*\*  $\alpha = 0.01$  level of statistical significance; \*  $\alpha = 0.05$  level of statistical significance; +  $\alpha = 0.1$  level of statistical significance; Z—Test Z; SS—Statistical Significance

Downward trends in the LST climate parameter were found in May and July in all the sectors of the Lower Danube Valley, but these were not statistically significant, according to the Mann–Kendall test (Table 6).

## 6 Conclusion and Recommendation

The influence of the river waters, the complexity of the terrestrial surface and the diversity of the climatic influences materialize in some climatic particularities specific to the Lower Danube Valley, which individualizes it within the country.

In the present study, the termo-pluviometric features and changes observed in the variation of air and land surface temperatures and of precipitation amounts for the period 1961–2019 were highlighted. Following this analysis, it was found that both the average annual air temperature and the annual amount of precipitation decrease from the West to the East along the Lower Danube Valley. Although the Danube River is a permanent source of air humidity, it was found, based on the Péguy climograms and boxplots, the presence of a climate with high thermic and precipitation contrasts

**Table 5** The trends of average monthly, annual and seasonal precipitation amounts (mm) according to the Mann–Kendall non-parametric test for the weather stations along the Lower Danube River (1961–2019)

No years	Time series	Dr. Tr. Severin		Calafat		Zimnicea		Călărași		Galați	
		Z	SS	Z	SS	Z	SS	Z	SS	Z	SS
59	I	0.42		0.76		1.06		2.39	*	1.60	
59	II	0.08		−0.34		−0.78		−0.69		−0.73	
59	III	−0.37		0.27		1.09		1.22		1.07	
59	IV	0.47		−1.12		−0.48		1.05		0.16	
59	V	−0.20		0.19		0.69		0.03		−0.86	
59	VI	−0.35		−0.05		0.48		0.33		0.01	
59	VII	−0.09		1.22		−1.14		−0.60		0.12	
59	VIII	−0.10		0.98		−2.31	*	−0.67		−1.83	+
59	IX	0.68		0.94		1.24		1.15		0.58	
59	X	0.90		1.63		2.66	**	1.49		2.58	**
59	XI	−0.08		−0.03		0.10		0.89		0.37	
59	XII	−0.89		−0.80		−0.26		0.64		0.48	
59	Annual	−0.07		1.09		0.46		1.62		0.69	
59	Spring	−0.77		−0.25		0.44		0.75		0.27	
59	Summer	−0.35		0.75		−1.14		−0.26		−0.92	
59	Autumn	0.93		1.31		2.05	*	1.67	+	1.60	
58	Winter	−0.44		−0.38		0.06		1.14		1.23	

\*\*\*  $\alpha = 0.001$  level of statistical significance; \*\*  $\alpha = 0.01$  level of statistical significance; \*  $\alpha = 0.05$  level of statistical significance; +  $\alpha = 0.1$  level of statistical significance; Z—Test Z; SS—Statistical Significance

that intensifies in the West–East direction, as a result of the transition from specific Mediterranean influences at the Baziaș-Gura Văii sector and a significant part of the Gura Văii-Călărași sector to those of aridity that characterize the rest of the Gura Văii-Călărași sector (the area of the Călărași weather station) and the Călărași-Pătlașanca sector (in the eastern part of the Danube valley). Considering the average annual land surface temperature (LST), the Gura Văii-Călărași sector registers the highest values in the South of the country, and implicitly in the study area.

The ten-years average variation in the air temperature showed progressive increases towards the end of the analyzed period, the last decade being the warmest, similar to the global level. This progressive increase was also recorded by the land surface temperature. In terms of precipitation amounts, the variation of the decadal average values indicates increases and decreases from a decade to another, but without significant changes. The general trends in the variability of the temperature and precipitation, identified with the non-parametric Mann–Kendall test, indicates for the air and land surface temperatures much clearer changes than those of the precipitation amounts and consistent with those of the continental and global level [37]. For the analyzed period, it was found for all weather stations in the study area, a statistically significant increase at the level of  $\alpha = 0.001$  of the air temperature for

**Table 6** The trends of the average monthly, annual and seasonal land surface temperature (LST) ( $^{\circ}\text{C}$ ) according to the Mann–Kendall non-parametric test along the Lower Danube River (2000–2019)

No years	Time series	Baziaş-Gura Văii		Gura Văii-Călăraşi		Călăraşi-Pătlăgeanca	
		Z	SS	Z	SS	Z	SS
19	I	0.3		0.1		-0.7	
20	II	0.9		0.0		0.0	
20	III	0.6		0.2		0.1	
20	IV	2.0	*	1.7	+	0.4	
20	V	-0.8		-0.6		-0.2	
20	VI	0.2		0.0		0.2	
20	VII	-0.7		-1.5		-0.6	
20	VIII	0.9		0.0		0.0	
20	IX	2.0	*	2.4	*	2.6	*
20	X	0.6		0.8		0.4	
20	XI	1.2		1.1		1.2	
20	XII	1.4		1.5		1.5	
20	Annual	2.0	*	1.5		0.7	
20	Spring	1.1		0.5		-0.2	
20	Summer	0.6		-0.5		-0.9	
20	Autumn	2.2	*	2.3	*	2.1	*
19	Winter	1.2		0.8		0.6	

\*\*\*  $\alpha = 0.001$  level of statistical significance; \*\*  $\alpha = 0.01$  level of statistical significance; \*  $\alpha = 0.05$  level of statistical significance; +  $\alpha = 0.1$  level of statistical significance; Z—Test Z; SS—Statistical Significance

June, July, August, spring, summer and at the annual level. The trend of land surface temperature was registered at the statistical level of  $\alpha = 0.05$  for all the sectors of the Lower Danube Valley, in September and autumn. The trends of the average monthly precipitation are predominantly decreasing, but not statistically significant.

The analysis of the climate variability and the identification of changes in the variability of the main climate parameters are of great scientific and practical interest. They are valuable tools for assessing the impact of climate changes on both the environment and society. These analyzes, at different spatial scales, can be the basis of strategic management decisions to reduce and combat the impact of the current global warming. Also, these decisions can be taken in connection with the specifics of the local communities and the seventeen Sustainable Development Goals (SDGs) of the 2030 Agenda for Sustainable Development. The integration of climate change measures into national policies for the development of specific adaptation methods should be based on scientific studies. In order to achieve such national strategies depending on the predominant political, historical, cultural and ecological circumstances, a better collaboration between academic institutions (researchers) and stakeholders is necessary.

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# A SPEI-Based Approach to Drought Hazard, Vulnerability and Risk Analysis in the Lower Danube River Region



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**Abstract** Natural hazards, including droughts, are processes and phenomena that can trigger a negative impact on the environment, society and various economic sectors. The present chapter aims to identify spatial peculiarities of drought characteristics (frequency, duration, affected area) and to analyse drought hazard, vulnerability and risk in the Lower Danube region. The study area includes administrative regions from Romania (counties) and Bulgaria (districts) located along the Danube River, which is the common administrative border between the two countries. The northward and southward Danube territories are part of the most important agricultural areas of both countries, where natural landscapes have been significantly transformed by anthropogenic activities which contributed to the removal of the natural vegetation and its replacement with cultivated plants and urban areas. Drought characteristics and associated hazards were analysed using the Standardized Precipitation-Evapotranspiration Index (SPEI-3, 6, 12) for the period 1981–2019. Population density and land cover/land use data were taken into account in the drought vulnerability assessment. Drought hazard and vulnerability were considered in the drought risk evaluation which allowed the identification of the regional drought “hotspots”. Results show a very high level of drought risk associated to short-term drought (SPEI-3) in the central and eastern parts of the study region. In the case of long-term drought (SPEI-12), a reduction in areas showing a very high drought risk level is observed. The administrative regions located in the western part of the study area have very low and low levels of drought risk.

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**Keywords** Drought duration · Hazard · Vulnerability · Risk · Romania · Bulgaria

## 1 Introduction

Drought is a complex hydroclimatic hazard associated to water deficit that can last for several days, months or even years. It has a low onset and can cause a wide variety of short-term to long-term impacts in many sectors such as agriculture and livestock farming [1], ecosystems [2, 3], energy production and price [4], which can persist after the event end [5]. Drought can occur in any region or climate, and can produce impacts at multiple spatial scales ranging from local to regional, national or even continental [6].

Due to its complex nature and wide range of possible impacts, in many sectors drought has always been given constant attention throughout the scientific community, in an effort to understand its meaning (definitions), triggered effects, but also to develop methodologies for quantifying its characteristics (frequency, onset, end, duration and severity), tracing its periodicity, and estimating impacts over given historical observational periods. Drought can be defined in several different ways depending on the purpose, criteria for identification of dry events and foreseen impacts, e.g. a long-term decrease in water availability at a given time in a given area [e.g. 7, 8]; a permanent and extensive reduction in area below the average natural water availability, which may affect all elements of the water cycle [e.g. 9]. Drought differs from aridity, low flow, water scarcity and desertification [10]. Both drought and aridity phenomena depict dryness-prone environments, but the two concepts are clearly delimited [11]. While aridity is a feature of average climate within environments with long-term state of dryness, drought refers to abnormal temporal deficiencies in moisture in a given environment and is a cause of climate variability (short time scale) [12]. On the other hand, water scarcity (or desertification) is perceived as a temporary (or long-term) water imbalance with anthropogenic causes, due to an unsustainable use of water resources influenced by water managers [13]. Today's understanding of drought is oriented towards its multi-dimensional nature, resulting in different types of manifestations grouped into meteorological, hydrological, and pedological characteristics defined by the physical aspects of the propagating hazard (e.g. meteorological or soil moisture drought), but also by the potentially impacted sector (e.g. agricultural drought, socio-economic drought) [12, 14].

Climate change was found to influence the characteristics and distribution of seasonal drought events throughout Europe, with an increase in frequency and severity especially in Southern Europe (summer and autumn), and a decrease in Northern Europe (winter, spring) [15, 16]. The increases observed in air temperature and the fluctuations in the amount and distribution patterns of seasonal rainfalls produced visible changes in the hydrological cycle, affecting water storage, ground-water recharge, soil moisture and water resource availability for the needs of society, the environment and business activities [17, 18]. In recent years, various regions across Europe were affected by 'dry' hazards, which emerged through the joint

action of prolonged periods with below normal precipitation and record-breaking summer temperatures [19–21]. These hazards occurred in cascade, as drought events coupled with heat waves and wildfires, and resulted in substantial negative impacts. Relevant examples include the most recent drought events of the twenty-first century (e.g. droughts of summers 2003, 2010, 2012, 2015, 2018), already comprehensively documented, which affected vast territories and determined yield losses and productivity decreases for certain crops [22–26], soil dryness and land degradation processes [27–29], increased demand for cropping irrigations [30, 31], widespread wildfires [32–34] and numerous heat-related deaths [35–37]. The dimension of the economic impact of drought across Europe can be impressive. Previous estimations have shown that the events recorded over the 1976–2006 period determined an average economic impact of about 100 billion €, twice as much over the 1991–2006 period, and an exceptional cost of 8.7 billion € in 2003 alone [e.g. 38–40]. The MunichRe’s NatCat-SERVICE1 database indicates annual losses associated to drought events of around 1.3 €billion over the last 35 years [41]. In other estimations, the average annual economic consequences of droughts recorded between 1990 and 2010 in Europe have drastically risen to 6.2 €billion per year [42]. The impacts of dry hazards proved to be cumulative and show an apparent intensification trend amid climate change, in relation to an increasing occurrence probability due to projected future warming [43–46].

While drought development in time and space depends on multiple complex factors (e.g. atmospheric processes, surface energy budget, land use), its propagation is mainly climate-dependent [47, 48]. Additionally, anthropogenic drivers (e.g. intensive water use, deficient water management) can further exacerbate the pre-existing dry conditions and increase social vulnerability [e.g. 49–51]. The complexity of drought (characteristics, causes, impacts) is well captured in a vast body of literature available worldwide, which grew significantly over recent decades in relation to the implications of global warming on precipitation and drought. However, these effects may vary substantially by region [52], in connection to the enhanced moisture holding capacity of the atmosphere resulting in precipitation increase in some regions, to the offset role of evapotranspiration especially in dry regions, and to the balance between atmospheric radiative cooling and the latent heating of the atmosphere [53, 54].

Numerous studies already provide good evidence on the link between climate change and drought. At global scale, long-term drought tendency is still being debated in literature [55, 56]. In Europe, while some regions, such as the Mediterranean, act as emergent “hot spots”, where both frequency and severity of drought show a visible increase since the 1950s [57–60], others, such as Eastern Europe, show no clear tendencies of drought evolution [58]. Conversely, Northern Europe exhibits clear wetting patterns and less severe dry episodes [61–63].

Drought trends in the catchment of one of Europe’s most important water ways (the Danube River) are inconclusive, showing both decreases and increases [59], in relation to the oscillatory behavior of precipitation extremes and changes in the persistence of atmospheric circulation patterns over the North Atlantic [64]. Nevertheless, in recent decades, the extreme rainfall deficits recorded in 2003, 2007, 2012 and 2015 produced a wide variety of impacts throughout large areas across the

Danube Catchment such as low flows, disruptions in water-borne transportation, reduced hydropower production, crop failures, and decreased vegetation activity [65–70]. Based on an extensive analysis of impacts produced by the historical droughts recorded between 1981–2016 in Danube Catchment countries, [71] the Romanian-Bulgarian Danube Floodplain area was classified as a region of high drought impact, in relation to agricultural and forestry activities, although only a slight increase in the number of severe droughts has been observed. Many studies focused on the characteristics, causes and impacts of meteorological and hydrological droughts both in Romania and Bulgaria. These countries are prone to drought occurrence, but they are only assigned a medium level of water scarcity hazard (20% chance for drought to occur in the coming 10 years) [72].

In Bulgaria, many drought studies focused on various methodological approaches for drought research [73, 74], drought climatology and drought types [75–80], and drought impact [81]. In recent years, drought risk was the main topic in several studies conducted at regional scale [e.g. 82, 83]. Observational data indicate that drought frequency at national scale has increased over the past two decades [74, 82, 84]. A significant water deficit has also been observed in a large part of Bulgaria for many years. This phenomenon is related to the shortening of the snow cover period, as well as to prolonged periods without rainfall and high temperatures in the summer period, which caused rapid evaporation of water from plants and soil. The intensification of agriculture and the unification of habitats, construction of drainage systems, as well as dense, impermeable development of urbanized areas, resulted in the acceleration of water circulation in river catchments, contributing to an increase in the frequency of droughts countrywide. As a result of these activities, the natural retention capacity in river catchments was reduced. In addition, global warming also causes an increase in temperature in Bulgaria, especially in the cold half-year [85, 86]. This, in turn, entails an increase in field evaporation in winter and spring, and a decrease in infiltration of groundwater alimentation in the cool half-year. As a result, the water resources available in the warm half-year are lower, which causes problems in water supply to various users. Across half of Bulgaria's territory, water deficits appear periodically and, most often and to the greatest extent, affect the Danube plain [87]. In this region, the risks associated with the lack of access to water of adequate quantity and quality are currently at a peak, and, taking into account the observed direction of climate change, this condition may worsen even more [88, 89]. Thus, an active drought risk management policy is necessary in Bulgaria to ensure the safety of water resources in the face of current climate threats and further expected changes.

In Romania, drought is acknowledged as a specific country risk [90]. Numerous studies documented various aspects related to drought and climate aridity, focusing on different past observational periods and different spatial scales such as: climatology, variability and trends in relation to climate change [e.g. 91–95], analysis methodologies [e.g. 96–100], multidecadal variability in connection to large-scale circulation patterns [e.g. 101], variability of Danube river flow in the lower basin [e.g. 102] or climate change effects on water deficit and associated impacts in agriculture [e.g. 103–105]. Other recent studies also aimed to evaluate the socio-economic effects of drought and associated vulnerability in the southern lowlands of Romania, prone to

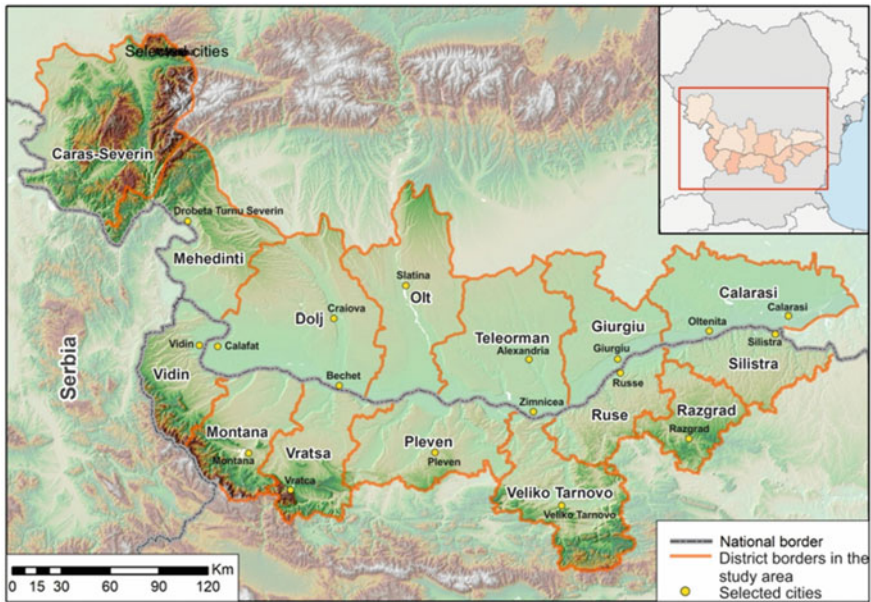
drought occurrence, including some sectors of the Romanian Danube Valley [e.g. 103, 106–108]. In most previous research studies, the Lower Danube Floodplain area was so far given limited attention in spite of its great exposure to this phenomenon amid the current climate, but also from the perspective of the changing climate projected over the next decades, when an increasing frequency of both drought and low flows is expected throughout the entire Danube River Basin [109].

The present study aims to analyse the drought hazard and vulnerability to droughts in the Lower Danube River region and to provide information about drought characteristics over the 1981–2019 period in the counties (Romania) and administrative districts (Bulgaria) located northward and southward along the Danube River, using a Standardized Precipitation Evapotranspiration Index (SPEI) -based approach. The research objectives of this study are the following: (1) to investigate the climatic characteristics of drought for different SPEI timescales; (2) to determine the level of drought hazard associated to different SPEI timescales at NUTS3 level; (3) to estimate the level of vulnerability to drought and 4) to determine the drought risk “hotspots” by drought risk within the study region.

## 2 Study Region

The Romanian-Bulgarian Danube Floodplain region is located in the Lower Danube Basin, along the common administrative border of the two countries, covering parts of the Romanian Plain (the Lower Danube Plain) and the Pre-Balcanic Tableland. The study region stretches between 45.7–45.6° N latitude and 21.3–28.0° E longitude, and covers a 2 to 2278 m elevation range. The Danube crosses the region along 748 km and plays the role of a vital waterway for both wildlife and local communities. Over the past decades, the region was subject to major environmental and landscape transformations including the conversion of wetlands to agriculture, removal of riparian forests, building of flood defence infrastructure (e.g. river dykes) and river pollution. Most of these transformations triggered subsequent impacts on the flooding regimes and eutrophication, which ultimately affected the ability of these delicate riparian forest ecosystems to regenerate [110]. The region is part of the Lower Danube Green Corridor (established in 2000) along which actions to protect and restore wetland biodiversity and to reconnect the river to its natural flooding areas are foreseen. Said actions aim to reduce the risk of major flooding in populated areas and to provide benefits to local economies (e.g. through fisheries, tourism) and to ecosystems along the river [111].

The region has a typical continental climate with pronounced aridity especially in summer [112, 113], frequent frosty winters and little snow, and is sensitive to climate change and associated hydro-meteorological extremes such as drought, floods and heat waves, which encompass a broad range of impacts on agriculture, irrigation, forestry, biodiversity and ecosystems, water related energy production and navigation. The southern and eastern parts of the Danube River Basin (overlapping the study region) show the largest exposure to drought compared to other sections of



**Fig. 1** The study region and location of selected cities

the catchment [114], especially in the lowland areas with elevation below 200–300 m. According to the International Commission for the Protection of the Danube River (ICPDR), the most important drought events which affected agriculture and the hydrological regime in the Lower Danube River Basin were recorded in 1992/1993, 1996, 2003 and 2015.

In this study, drought hazard, vulnerability and risk were aggregated in relation to the administrative units corresponding to NUTS3 (counties in Romania and administrative districts in Bulgaria). The selected counties and districts from the Romanian-Bulgarian Danube Floodplain region are shown in Fig. 1. The climatic characteristics of the drought phenomenon were discussed for a number of 18 cities located on both sides of the Danube River, in drought-prone areas.

### 3 Data and Methods

#### 3.1 Data Sets

This study is based on monthly air temperature and precipitation data recorded over the 1981–2019 period, extracted from ERA5-Land global land-surface database available from the Climate Change Service (C3) of the EU Copernicus Programme (<https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis->

[era5-land-monthly-means?tab=overview](#)). This database was recently released (2019) and made publicly available in the Climate Data Store of C3S with a horizontal resolution of 11 km.

The assessment of vulnerability to drought was made at NUTS 3 level, aggregating population data extracted from the latest available censuses in Romania and in Bulgaria (2011), as well as land cover/land use data sourced from CORINE Land Cover (CLC2018) records available through the Land Monitoring Service (LMS) of the COPERNICUS Programme.

### 3.2 Methods

The results are based on the computation of the Standardized Precipitation-Evapotranspiration Index (SPEI) with the R SPEI library (<https://cran.r-project.org/web/packages/SPEI/index.html>). This library gridded data on air temperature and precipitation were used to compute the reference evapotranspiration estimated based on the Thornthwaite method. SPEI was selected for the drought analysis in this study due to the advantages it provides, i.e. it considers air temperature effects (PET) on drought severity and its sensitivity to global warming [115]. This study focuses on the moderate (MD, SPEI between  $-1.00$  and  $-1.49$ ), severe (SD,  $-1.50 < \text{SPEI} > -1.99$ ) and extreme (ED,  $\text{SPEI} < -2.00$ ) drought events, and considers that a drought event begins in the first month when SPEI values become negative (or go below the corresponding threshold for each drought type) and end in the last month, when SPEI exceeds 0 (or the corresponding threshold for each drought type). The threshold for identifying dry events is 0 instead of  $-1$ , to allow the inclusion of dry events of low duration [116]. The three timescales used for SPEI computation were retained for analysis in relation to their relevance to meteorological drought (SPEI 3 months), hydrological drought (SPEI 12 months) and agriculture (SPEI from 3 to 6 months) [58].

The gridded series of SPEI were used in the diagnostic analysis of regional drought characteristics (frequency, duration and coverage of affected areas) at seasonal and annual time scales. The SPEI was calculated at each grid cell, which were further averaged at the county level. Hereafter, the occurrence of drought events is given by the number of consecutive months with negative SPEI values. The gridded SPEI series were used to analyze drought characteristics such as the *frequency* (the number of months with negative SPEI), *duration* (the number of consecutive months with negative SPEI) and *affected area* (pixels with negative SPEI values, expressed in % relative to the total area of NUTS3 territory).

Trends and their statistical significance in drought SPEI-based characteristics (frequency, duration and affected area) were evaluated using the non-parametric Mann–Kendall test, relative to the significance level of at least 10% ( $p$ -value  $< 0.1$ ).

*Drought Hazard Index* (DHI) quantifies the probability of occurrence of negative SPEI grid points corresponding to each timescale (3, 6 and 12 months) annually,



which was averaged for the entire study period and further classified into five classes of drought hazard, using the quantile method, from very low to very high.

*Drought vulnerability.* The impact magnitude of a drought depends on the vulnerability of the exposed assets and sectors (yield, people, high-water consumption industries, natural ecosystems). Factors determining the vulnerability to drought change in space and time, which leads to various consequences of this phenomenon. Droughts result from the complex interaction of biophysical (e.g. meteorological) and human (social and economic) factors. In this study, the selection of indicators for quantifying drought vulnerability was conducted from the perspective of two main aspects: i) a significant part of the study region is covered by agricultural crops or natural vegetation, ii) and agriculture is considered the most vulnerable sector to adverse meteorological and climatic phenomena, including drought in both countries.

Population data refer to population density (expressed as number of inhabitants/km<sup>2</sup>), as a relevant component of element at risks, reflecting the size of population affected by drought. Land cover data used in the quantification of drought vulnerability refer to the share (%) of four land use/land cover (LULC) classes in the total area of the selected NUTS3 regions, which are relevant to agricultural activities across the region (share of arable lands/permanent crops, pastures, forests and shrubs and herbaceous associations). Based on the expert knowledge and peculiarities of the territory these classes were grouped, weighted and assigned to five drought vulnerability classes, ranging from very low to very high, as for DHI (Table 1).

The weights assigned to the selected CLC2018 types followed the Analytic Hierarchy Process according to other previous approaches [e.g. 117, 118]. In Table 1 the values in the row show the degree of vulnerability to drought of a given type of land use/land cover compared to the types in the column. For example, arable land is 3 times more vulnerable to drought than forests. Based on the points obtained for each LULC type, its percentage of the total number of points is calculated. According to AHP, the most vulnerable type of LULC to drought is the group of Arable land, Permanent crops, Heterogeneous agricultural areas and Inland wetlands, and the least vulnerable is the group of Scrub and/or herbaceous vegetation associations.

The relative share of the area of each LULC class for each administrative region was multiplied by the assigned weights and then summed up. Thus, a LULC-based composite indicator of vulnerability to drought of each administrative district was obtained.

In order to compare the values given in different scales and units, and to aggregate them and calculate the drought vulnerability index (DVI), the population density data and integrated LULC indicator data for districts was normalized using the following formula:

$$X = \frac{X_i - X_{min}}{X_{max} - X_{min}},$$

where  $X$  is the normalized value of the indicator;  $X_i$  is the value of the given indicator (population density or land use/land cover indicator) for district “i”,  $X_{max}$  is the

**Table 1** Drought vulnerability (DV) indicators and weights

LULC types	Arable land; Permanent crops; Complex cultivation patterns; Inland wetland	Pastures; Land principally occupied by agriculture, with significant areas of natural vegetation	Forests	Scrub and/or herbaceous vegetation associations	Total	Weight %
Arable land; Permanent crops; Complex cultivation patterns; Inland wetland	1.00	2.00	3.00	4.00	10.0	45
Pastures; Land principally occupied by agriculture, with significant areas of natural vegetation	0.50	1.00	2.00	3.00	6.5	29
Forests	0.33	0.50	1.00	2.00	3.8	17
Scrub and/or herbaceous vegetation associations	0.25	0.33	0.50	1.00	2.1	9
Total					22.4	100

maximum value of indicator  $X_i$ , and  $X_{min}$  is the minimum value of indicator  $X_i$  according to [107].

The *Drought Vulnerability Index (DVI)* was calculated as the mean value of normalized population density (PD) data and the integrated land use/land cover indicator (LULC) using the following formula:

$$DVI = \frac{PD + LULC}{2}$$

The values of DHI and DVI are grouped into five classes according to 1-st – 5-th quantiles (1-st very low – 5-th very high), and regional distribution maps for drought hazard and vulnerability were developed. Several publications [119–121] consider drought hazard and vulnerability as components of drought risk assessment.

*Drought risk* was calculated through the multiplication of drought hazard and drought vulnerability for each timescale of SPEI (3, 6 and 12 months). In the present study, the assessment of drought risk was conducted at the level of administrative districts, to identify critical drought-prone areas (hereafter also referred to as “hotspots”) in the study area.

## 4 Results and Discussions

### 4.1 Drought Characteristics

#### 4.1.1 Drought Frequency

Drought is a recurrent hydro-metrological extreme event across the region in relation to the precipitation deficits recorded throughout the 1981–2019 period. The total number of drought events decreases with the size of drought timescale, ranging from 36 events (12 months) to 761 events (6 months), and to 1056 events (3 months). Annually, the southern part of the region (e.g. Russe, Razgrad, Silistra) exhibits a higher number of drought events lasting in general 3 to 6 months, relevant to meteorological drought and agriculture (Table 2). Moderate droughts are best represented across the study region, with maximum frequencies of more than 50 events/year (3 months) at Giurgiu and more than 20 events/year (6 months) in locations such as Pleven, Veliko Tarnovo, Silistra, Craiova, Slatina, and Calarasi.

As expected, the lowest annual frequency is specific to drought events over 12 months (Montana 12, of moderate type). Severe droughts (SD) reach maximum frequencies of over 30–40 events/year for both SPEI3 and SPEI6 only at Russe, Zimnicea, Razgrad, Oltenita and Alexandria.

Seasonally, there are notable differences in the number of drought events as a function of the considered drought timescale. In the southern part of the region, spring and autumn, as well as spring and summer are the seasons showing the highest number of drought events, accumulated over 3- and 6-month periods, respectively (with a total number of events of over 141–156 and 149–209 events/period, respectively), whereas drought events associated to the 12-month timescale appear to be more frequent in summer and fall, with total number of events of over 153–160/per period (Table 3). In the region's northern areas, the seasonal drought frequency is slightly lower, with spring, summer and fall having the highest frequency of drought events on the 3-month timescale (192–208 events/period), spring and summer for drought events on the 6-month timescale (194–355 events/period), and summer and fall for drought events over 12 months (174–182 events/period).

Similarly to the annual scale, the number of moderate drought (MD) events is best represented in both northern and southern parts of the Lower Danube region, especially in spring and summer (3 and 6 months) or summer and fall (12 months). The number of severe drought (SD) events per season over 1981–2019 does not exceed 200 in none of the seasons, showing the highest values in spring, summer and fall for 3 and 12 months, and winter and fall for 6 months. Although rarer, with a total number of extreme drought events per period varying from 104 (3 months) to 249 (6 months), extreme drought (ED) events occurred most frequently in summer (3 and 6 months) and winter (12 months).

Throughout the Lower Danube study region, the highest (total) number of drought events per period was found in spring for the 3-month timescale (Bechet 26), spring and summer for the 6-month timescale (Slatina 24 and Giurgiu 24, respectively) and

**Table 2** Annual drought frequency in the Romanian-Bulgarian Lower Danube region for different SPEI timescales (SPEI3, SPEI6 and SPEI12 months) over the 1981–2019 period (data was derived from the gridded dataset)

Danube floodplain areas	3 months			6 months			12 months					
	MD	SD	ED	Total frequency	MD	SD	ED	Total frequency	MD	SD	ED	Total frequency
Vidin	28	24	0	52	12	12	0	24	0	0	0	0
Montana	27	16	12	55	1	12	12	25	12	0	0	12
Vratca	12	19	0	31	0	13	12	25	0	0	0	0
Pleven	19	12	12	43	25	12	12	49	0	0	0	0
Veliko Tarnovo	41	28	0	69	24	25	0	49	0	0	0	0
Russe	43	48	0	91	13	36	12	61	0	0	0	0
Razgrad	31	36	0	67	13	36	12	61	0	0	0	0
Silistra	39	36	0	75	27	24	12	63	0	0	0	0
Drobeta Turnu Severin	24	0	0	24	12	0	0	12	0	0	0	0
Calafat	28	24	0	52	12	12	0	24	0	0	0	0
Bechet	19	24	0	43	1	24	0	25	0	0	0	0
Zimnicea	31	36	0	67	1	48	0	49	0	0	0	0
Craiova	40	0	0	40	24	0	0	24	0	0	0	0
Slatina	31	0	0	31	24	12	0	36	0	0	0	0
Alexandria	31	36	0	67	13	48	0	61	0	0	0	0
Giurgiu	55	36	0	91	13	24	12	49	0	0	0	0
Oltenita	43	36	0	79	13	36	12	61	0	0	0	0
Calarasi	43	36	0	79	27	24	12	63	0	0	0	0
<b>North (RO)</b>	<b>240</b>	<b>219</b>	<b>24</b>	<b>483</b>	<b>115</b>	<b>70</b>	<b>72</b>	<b>357</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>South (BG)</b>	<b>345</b>	<b>228</b>	<b>0</b>	<b>573</b>	<b>140</b>	<b>228</b>	<b>36</b>	<b>404</b>	<b>12</b>	<b>0</b>	<b>0</b>	<b>12</b>

**Table 3** Seasonal drought frequency in the Romanian-Bulgarian Lower Danube region for different SPEI timescales (3, 6 and 12 months) over the 1981–2019 period

Danube floodplain areas	3-months				6-months				12-months			
	DJF	MAM	JJA	SON	DJF	MAM	JJA	SON	DJF	MAM	JJA	SON
Vidin	13	24	19	24	16	21	11	16	14	10	15	16
Montana	16	25	18	24	16	19	12	15	16	15	24	21
Vratca	17	20	15	18	17	21	20	14	15	11	17	18
Pleven	12	18	17	19	13	22	20	16	17	9	24	20
Veliko Tarnovo	13	15	20	18	12	15	17	16	13	11	22	21
Russe	14	20	15	19	14	19	17	17	13	16	21	19
Razgrad	11	18	17	19	13	16	20	17	21	13	21	21
Silistra	13	16	20	19	17	15	17	19	21	17	16	17
Drobeta Turnu Severin	14	20	19	20	22	21	20	17	17	14	17	23
Calafat	13	24	19	24	16	21	20	16	14	10	15	16
Bechet	14	26	19	20	15	22	16	17	15	16	20	21
Zimnicea	11	20	19	18	15	18	21	17	15	16	23	20
Craiova	16	23	24	19	15	20	16	17	17	18	19	18
Slatina	13	22	19	17	16	24	12	17	16	18	17	15
Alexandria	10	19	18	18	14	19	21	15	14	19	17	16
Giurgiu	13	19	18	19	14	16	24	17	16	18	16	17
Oltenita	14	18	20	18	16	18	18	18	19	18	14	18
Calarasi	13	17	19	19	15	15	20	21	18	17	16	18
<b>North (RO)</b>	<b>131</b>	<b>208</b>	<b>194</b>	<b>192</b>	<b>158</b>	<b>194</b>	<b>188</b>	<b>172</b>	<b>161</b>	<b>164</b>	<b>174</b>	<b>182</b>
<b>South (BG)</b>	<b>109</b>	<b>156</b>	<b>141</b>	<b>160</b>	<b>118</b>	<b>148</b>	<b>134</b>	<b>130</b>	<b>130</b>	<b>102</b>	<b>160</b>	<b>153</b>

summer and fall for 12 months (Montana and Pleven 24, and Drobeta Turnu Severin 23, respectively).

In general, irrespective of drought timescales, the number of drought events per season did not exceed 3. Peak frequencies of drought events across the region were recorded generally during the mid- and late-1990s, and the early to mid-2000s.

#### 4.1.2 Drought Duration

The total duration of drought events (expressed for all drought events of negative SPEI or for different drought categories, for the whole study period) lasting up to

3 months are the most frequent across the region, with a share of about 35% in the total number of events over the 1981–2010 period (Table 4). At local scale (selected cities inside and outside the Danube Floodplain), the total annual drought duration accumulated over the entire study period decreases with the increase of the timescale and does not show any notable differences between the southern and northern parts of the Lower Danube region. The total drought duration varies from 71 to 85 months for the 3-month timescale (e.g. Montana 85; Vidin, Bechet, Calafat 81), 67 to 81 months for 6 months (e.g. Calarasi, Drobeta Turnu Severin 81; Silistra 80), and between 58 and 82 months for the 12-month timescale (e.g. Montana 82, Craiova 75).

The maximum duration of drought spells is generally below 4 months for the 3-month timescale, 5 months for the 6-month timescale, and 7 months for the 12-month scale. The drought spells lasted the most, up to 6 consecutive months, for the 3- and 6-month timescales (e.g. Craiova over December 2001–May 2002 interval/severe drought; Pleven over July–December 2008/moderate drought; Alexandria, Giurgiu and Oltenita over Apr–Sep.2007/extreme drought). One of the most significant drought events associated with the most persistent SPEI 12-month episode in the 1981–2019 period (SD type) was identified at Calarasi and lasted from Nov.2008 to Jan.2010 (15 consecutive months). Other highly persistent drought events of 7–8 consecutive months, which were classified as severe or extreme droughts based on SPEI, showing relevance for the hydrological regime (associated to the 12-month timescale) were recorded in June 1990–January 1991 at Russe and Zimnicea, October 2000–April 2001 at Vidin and Calafat, and June 2009–January 2010 at Silistra. Although less persistent, with a maximum duration of only 3 consecutive months (3-month SPEI timescale), the drought event of July–October 2003 is referenced in literature as an extreme hydrological drought event in the Danube River Basin [122]).

This drought event contributed to the lowest water levels of the Danube River over the past 160 years and triggered major economic losses, e.g. the shutdown of Unit 1 of the Cernavoda nuclear power plant, which caused a 10% loss in the station's electricity output [123]; significant disruptions of inland navigation causing losses of 2.5–3.0 mil. \$ [124]. In general, the occurrence of lengthy drought spells (3- and 6-months) was prevalent during the summer-fall months (about 23% of the long-lasting events), followed by those starting in spring and ending in summer (about 11%) posing a great threat to field crops and their yields, especially to rain-fed crops such as maize, which cover large agricultural areas in both southern and northern parts of the study region. The occurrence of long-lasting drought spells is linked to the significant precipitation deficits recorded during the early 1990s (1992–1993), in 2000–2003, 2007–2008, 2011–2012 and 2019, generally overlapping periods of intensified warming (e.g. 2000, 2007, 2012, 2019) that affected the entire study region.

Noticeably, severe and extreme droughts (SD and ED, respectively) on the 3- and 6-month timescales, tend to occur more frequently especially in the northern part of the region, within the Danube Floodplain, but also in its surrounding plain areas (e.g. Drobeta Turnu Severin, Craiova, Slatina, Giurgiu, Calarasi), compared to the southern part, where such types of drought events have been recorded more sparsely (e.g. Vidin, Russe, Silistra).

**Table 4** Duration of drought events in the Romanian-Bulgarian Lower Danube region for different SPEI timescales (3, 6 and 12 months) over the 1981–2019 period. Drought types are: moderate (MD), severe (SD) and extreme (ED)

	3 months			6 months			12 months		
	Max (months)	Date	Total duration (months)	Max (months)	Date	Total duration (months)	Max (months)	Date	Total duration (months)
Vidin	5	December 2001–April 2002	81	4	February–May 1992 May–August 2008 May–August 2007 September–December 2011 July–October 2000	68	7	October 2000–April 2001	58
Montana	4	November 1992–February 1993	85	5	June–October 2000	69	8	September 2000–April 2001	82
Vratca	3	December 1992–February 1993	74	5	June–October 2000	74	8	September 2000–April 2001	68
Pleven	3	Aug.–Oct. 1993 July–October 2007, October–December 2019	73	6	July–December 2008	75	6	November 2000–April 2001	72
Veliko Tarnovo	3	December 1992–February 1993	74	4	April–July 1985	70	6	April–September 2009	71
Russe	3	March–May 1983	76	6	April–September 2007	72	8	June 1990–January 1991	71
Razgrad	4	November 1992–February 1993	71	4	July–October 2012	70	7	Apr–October 1985	74
Silistra	3	March–May 1994 February–April 2007, August–October 2008, July–October 2009 October–December 2019	77	5	March–July 1990	80	7	July 2009–January 2010	71

(continued)

**Table 4** (continued)

	3 months			6 months			12 months			
	Max (months)	Date	Total duration (months)	Max (months)	Date	Total duration (months)	Max (months)	Date	Total duration (months)	
Drobeta Turnu Severin	4	February–May 2002	72	5	December 1998–April 1999	MD	5	Dec.2011–Apr.2012	SD	70
Calafat	5	September 1992–Jan.1993 December 2001–April 2002	81	4	July–October 2000	ED	7	October 2000–April 2001	ED	58
Bechet	4	October 2001–January 2002	81	4	April–July 2007	ED	6	July–December 2019	MD	77
Zimnicea	5	January–May 2007	75	4	April–July 2007	ED	8	Jun.1990–January 1991	MD	77
Cratova	6	December 2001–May 2002	82	5	Jun.-Oct.2000	SD	8	August 2012–March 2013	SD	75
Slatina	4	February–May 1994	73	4	June–September 2003 September–December.2012	SD ED	6	July–December 2012	SD	67
Alexandria	4	February–May 2007	71	6	April–September 2007	ED	4	August–November 2012	SD	67
Giurgiu	3	June–August 2003 April–June 2007	77	6	April–September 2007	ED	5	September 1990–January 1991	MD	69
Oltenita	4	September–December 2019	78	6	April–September 2007	ED	8	April 2009–November2009	SD	68
Calarasi	3	June–August 2003 March–May 1994	78	5	July–November 2009	SD	15	November 2008–January 2010	SD	69



The *area affected by drought* allowed the identification of the most critically drought-prone areas throughout the region. Examining the average coverage of dry SPEI at regional scale (NUTS3), we found a higher level of similarity between the northern and southern parts of the study region. On average, drought affected in general 30 to 50% of the territory of each county or district located along the Danube, on all SPEI timescales (Table 5).

The frequency of months with total drought coverage (negative SPEI in all grid points of selected counties and districts of the region) generally falls between 30 and 42% in all SPEI timescales. The maximum number of consecutive months with total drought coverage at NUTS3 level was found to increase proportionally with the SPEI timescale as follows:

- 3-month timescale: up to 11–13 consecutive months during the drought events recorded from March 2000 to March 2001 (e.g. Vidin, Montana, Mehedinti, Dolj, Razgrad, Vratca, Olt), August 2001 to June 2002 (e.g. Vidin, Girugiu, Vratca), November 2006 to September 2007 (e.g. Dolj, Silistra, Calarasi, Russe, Teleorman, Giurgiu, Vratca, Razgrad) and Marsh 2008 to January 2009 (e.g. Silistra, Calarasi, Giurgiu);

**Table 5** Drought affected areas (% of the NUTS3 regions) in the Romanian-Bulgarian Lower Danube region for different SPEI timescales (3, 6 and 12 months) over the 1981–2019 period

Counties/districts	3 months		6 months		12 months	
	Average (%)	Total area affected cases (%)	Average (%)	Total area affected cases (%)	Average (%)	Total area affected cases (%)
Vidin	38.9	38.9	48.4	36.5	47.9	35.0
Montana	48.5	37.4	48.7	34.4	46.4	30.8
Razgrad	49.1	41.5	50.9	40.6	50.6	38.0
Silistra	50.3	42.3	48.8	40.4	50.9	40.8
Pleven	50.2	36.5	50.6	34.0	48.0	30.6
Veliko Tarnovo	50.3	33.8	51.1	33.1	49.5	33.3
Vratsa	49.9	37.2	49.0	33.8	45.8	29.1
Russe	49.0	37.4	51.5	37.0	49.9	36.3
Caras Severin	50.2	30.8	49.8	33.1	48.1	30.8
Mehedinti	48.2	35.9	49.1	36.1	47.5	32.3
Dolj	49.7	34.6	48.7	35.5	46.4	33.5
Olt	49.6	32.9	50.2	32.7	48.3	34.8
Teleorman	49.6	36.3	50.6	34.0	49.1	35.9
Giurgiu	49.8	39.5	50.9	37.6	50.2	39.7
Calarasi	48.6	38.9	48.4	37.0	49.7	39.3
<b>North (RO)</b>	<b>49.4</b>	<b>35.6</b>	<b>49.7</b>	<b>35.1</b>	<b>48.5</b>	<b>35.2</b>
<b>South (BG)</b>	<b>48.3</b>	<b>38.1</b>	<b>49.9</b>	<b>36.2</b>	<b>48.6</b>	<b>34.2</b>

- 6-month timescale: up to 16–21 consecutive months during the drought events recorded from December 1992–March 1994 (Montana, Vratca), May 2008 to January 2010 (Silistra, Calarasi, Giurgiu) and August 2018 to December 2019 (Silistra and Calarasi);
- 12-month timescale: 22–27 consecutive months e.g. from June 1992 to July 1995 (Vidin, Montana, Mehedinti, Dolj, Olt) and exceptionally up to a peak of 42 consecutive months during the drought interval November 2006–April 2010 (Silistra and Calarasi).

#### 4.1.3 Observed Changes in Drought Climatic Characteristics Over the 1981–2019 Period

The changes observed in air temperature and precipitation are well reflected in the changing characteristics of drought throughout the region.

Drought events tend to become more frequent (especially moderate and severe types) at the 3-month timescale, especially in the northern part of the study region. In terms of mean regional values, the observed increase in drought frequency is about 0.87 events decade<sup>-1</sup> ( $p$ -value < 0.05). This positive trend is suggestive for a growing exposure to meteorological droughts.

The duration of droughts across the region is on a visible upward trend on all selected SPEI timescales, although not statistically significant, as follows:

- increase both in the northern (0.54 months decade<sup>-1</sup>) and especially in the southern (0.65 months decade<sup>-1</sup>) parts of the region (3-month timescale);
- increase in both parts of the region, higher in the north (0.83 months decade<sup>-1</sup>) than in the south (0.40 months decade<sup>-1</sup>) (6-month timescale);
- increase only in the southern part of the region (0.54 months decade<sup>-1</sup>) and no trend in the northern part.

The area affected by drought shows the most important changes over the 1981–2019 period. The region exhibits significant positive trends in both parts of the region, growing in magnitude with the SPEI timescale. Estimated trend slopes in the share of drought-affected area in relation to the total Bulgarian NUTS3 territory increase from 3.8% decade<sup>-1</sup> (3 months) to 4.8% decade<sup>-1</sup> (6 months), and to 6.4% decade<sup>-1</sup> (12 months). In comparison, the share of drought affected area in relation to the total Romanian NUTS3 territory increases from 1.9% decade<sup>-1</sup> (3 months) to 3.5% decade<sup>-1</sup> (6 months) and to 7.3% decade<sup>-1</sup> (12 months). Noticeably, the observed trends are statistically significant only for SPEI12 in both parts of the region ( $p$ -value < 0.1).

## 4.2 Aggregated Drought Hazard Index

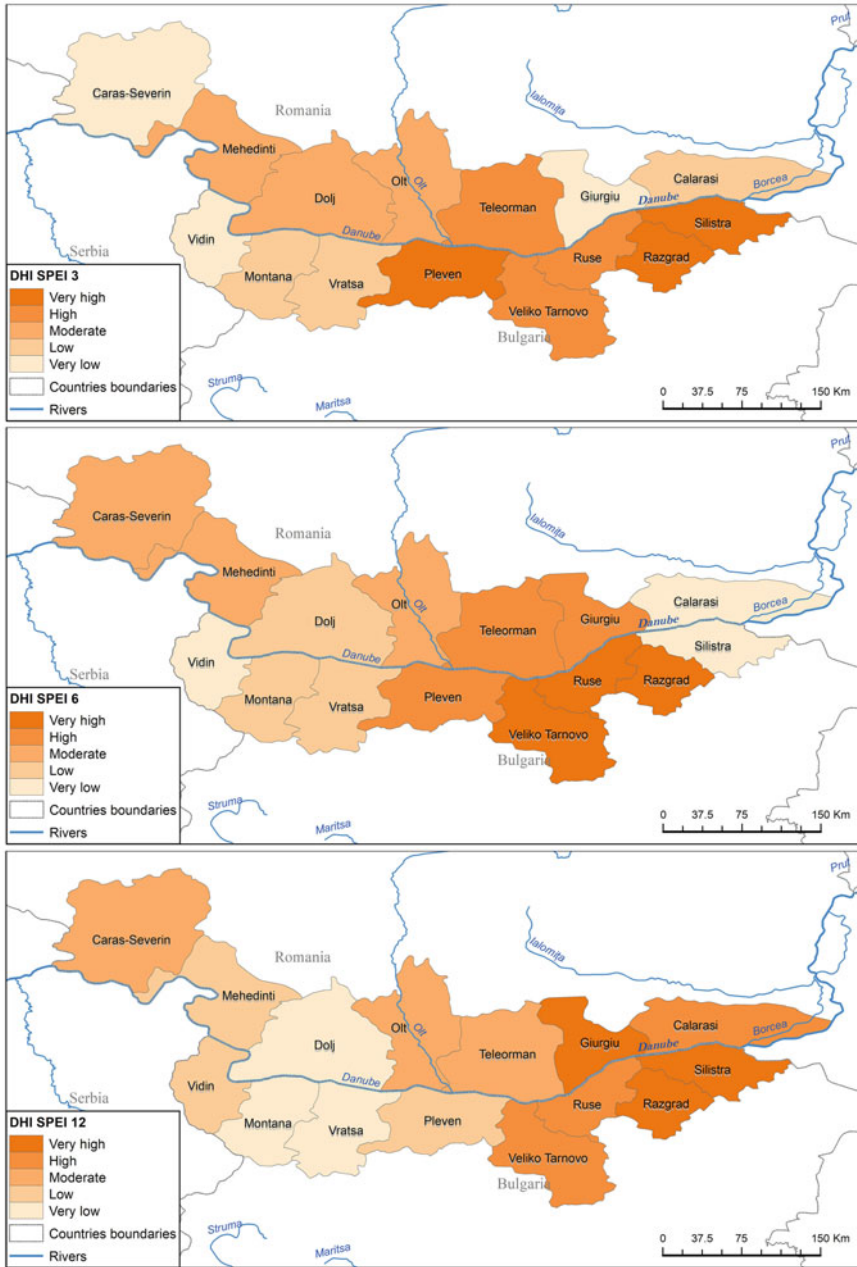
The Drought Hazard Index (DHI) was generated through the aggregation within the boundaries of NUTS3 units (counties or districts) of gridded SPEI data (11 km spatial resolution) on 3-, 6- and 12-month timescales over the 1981–2019 period. DHI is directly related to the cumulative probability of drought occurrence (negative SPEI) in each administrative unit located in the northern and southern parts of the selected Lower Danube region. DHI distribution maps show a greater sensitivity to drought in the eastern half of the study region on all SPEI timescales, including both Romanian and Bulgarian administrative units (Fig. 2).

Overall, drought hazard stays at the highest levels in the eastern half of the Lower Danube region, both northward and southward, on all SPEI timescales. Drought hazard associated to the 3-month timescale is particularly high in five administrative districts located southward such as Plevna, Razgrad and Silistra, which were assigned a “very high” drought hazard level, and Veliko Tarnovo, and Russe (“high”). Comparatively, in the northward Danube sector, there is only one county where the drought hazard level is “high” (Teleorman). In the rest of the investigated territory, most regions have a “low” or “very low” level of drought hazard (Calarasi, Montana, Vratca, Caras Severin, Vidin, Giurgiu), and only a few are assigned a “moderate” level of hazard (Mehedinti, Dolj, Olt).

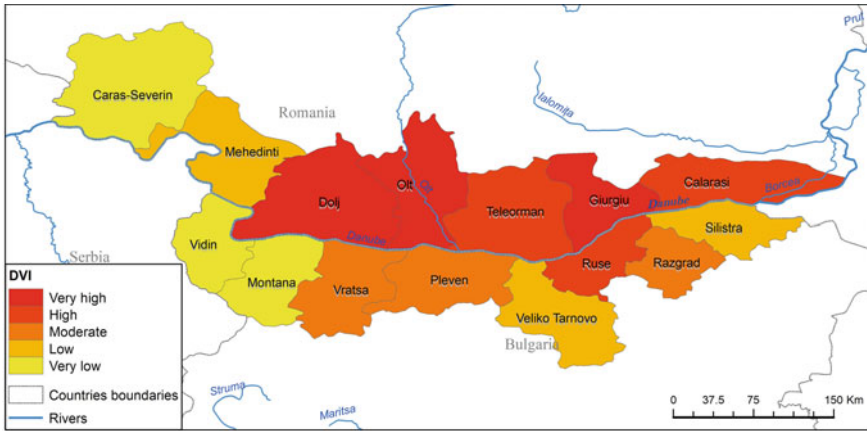
The “very high” and “high” drought hazard levels are assigned to seven regions (Veliko Tarnovo, Russe, Plevna and Razgrad in the south, and Teleorman and Giurgiu in the north) for the 6-month timescale and six regions (Veliko Tarnovo, Russe, Razgrad and Silistra in the south, and Calarasi and Giurgiu in the north) for the 12-month timescale. For the longest SPEI timescale (12 months), with relevance for the Danube’s hydrological regime, drought hazard remains “moderate” at Plevna, Vidin and Mehedinti, and “low” or “very low” at Dolj, Montana and Vratsa.

## 4.3 Drought Vulnerability

The analysis of the territorial distribution of DVI shows that in 24.2% of the study area the vulnerability to drought is “very high”, whereas about 22% has a “low” level of vulnerability. The central and eastern districts northward Danube show a “very high” or a “high” level of vulnerability (Fig. 3). The “very high” vulnerability to drought in these districts is a result of the high share (between 73 and 74%) of agricultural land, including arable land (non-irrigated areas and rice fields), permanent crops (vineyards and fruit trees) and complex arable land, which have an integrated LULC indicator corresponding to a high level of vulnerability (Table 6). These areas also include the majority of wetlands (inland marshes) along the Danube, which are particularly dependent on water availability and drought occurrences. This part of the Lower Danube region has a high population density which determines “high” and “very high” vulnerability levels.



**Fig. 2** Aggregated drought hazard index (DHI) in counties (RO) and administrative districts (BG) based on 3-, 6- and 12-month SPEI timescales (1981–2019), in the Lower Danube River region



**Fig. 3** Drought vulnerability in the Lower Danube River region based on DVI for administrative units (counties - RO and districts - BG)

**Table 6** Drought vulnerability classes according to the selected indicators

NUTS 3	Country	LULC indicator	Vulnerability classes	PD indicator	Vulnerability classes
Caras-Severin	Ro	0.01	VL	0.08	VL
Mehedinti	Ro	0.38	VL	0.38	M
Vidin	Bg	0.48	L	0.01	VL
Montana	Bg	0.45	L	0.13	VL
Dolj	Ro	0.81	H	1.00	VH
Vratsa	Bg	0.58	L	0.27	L
Olt	Ro	0.83	H	0.73	H
Pleven	Bg	0.81	H	0.38	M
Veliko Tarnovo	Bg	0.44	VL	0.37	M
Teleorman	Ro	0.94	VH	0.54	H
Giurgiu	Ro	0.81	H	0.73	H
Ruse	Bg	0.65	M	0.77	VH
Razgrad	Bg	0.67	M	0.30	L
Silistra	Bg	0.62	M	0.16	L
Calarasi	Ro	1.00	VH	0.48	H

A “very high” level of vulnerability according to the population density is also observed in Ruse district, although here the most vulnerable agricultural areas have a small share of the entire district territory compared to the three abovementioned districts. This determines the inclusion of Ruse district in the fourth vulnerability class (“high”). The “high” vulnerability to drought is also observed in the easternmost

administrative district (Calarasi), as well as in Teleorman. In these administrative units, the share of territories highly vulnerable to drought is very high (85 and 80% of the territory, respectively). Consequently, the vulnerability level based on LULC is very high (Table 6).

A “low” vulnerability level was found in three districts located in the western part of the Lower Danube region, which cover about 22% of the entire investigated region (Fig. 3). This level of drought vulnerability is a result of the low vulnerability associated to LULC and of the very low vulnerability determined by population density (Table 6). In other NUTS3 regions, arable land decreases and the area occupied by forests increases (e.g. in Caras-Severin, about 59% of the territory is covered by forests).

The concentration of areas with higher vulnerability to drought in the northward Danube sector reflects the impact of the underlying surface and of the relief. In the northern part of the study region, arable land prevails, whereas in the southern part, with a hilly relief, the share of less drought vulnerable territories increases in relation to more extensive areas covered by forests and natural vegetation.

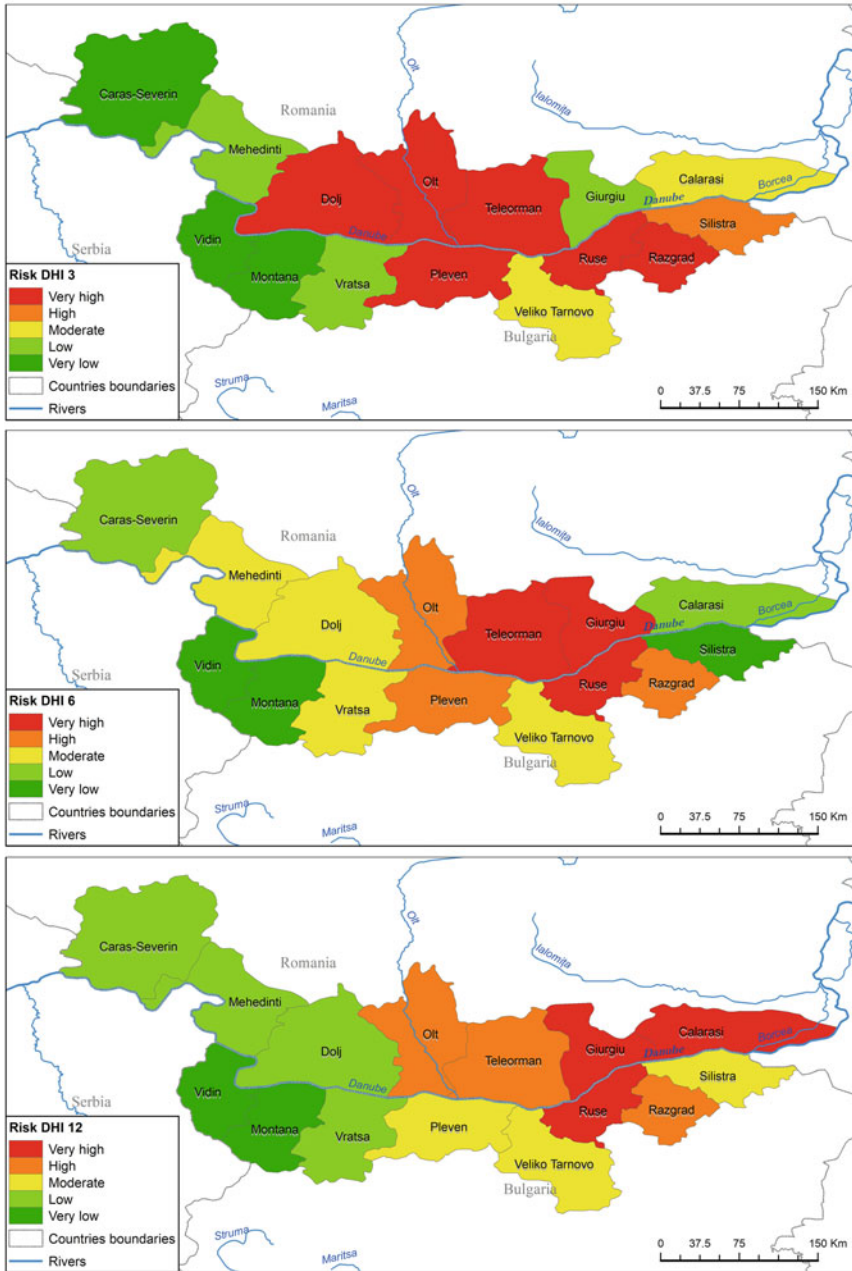
#### **4.4 Drought Risk**

Drought risk assessment and mapping are two of the most important elements of any action plans or strategies for the mitigation of drought effects. In this paper, the drought risk index (DRI) is developed based on drought hazard (DHI) and drought vulnerability (DVI). Based on the assessment of DHI and DVI, drought risk maps are generated for each SPEI timescale (3, 6 and 12 months) (Fig. 4). Each administrative district is categorized into five groups similarly to DHI and DVI (i.e. very low, low, moderate, high and very high).

On the SPEI3 timescale, the districts showing the highest levels of drought risk are concentrated in the middle part of the investigated region. These districts are Dolj, Olt, Teleorman, Plevan, Razgrad and Ruse, which account for about 42% of the total investigated Lower Danube region. Only one administrative district was assigned a “high” level of drought risk (Silistra). Two administrative regions have a “moderate” risk level (Veliko Tarnovo and Calarasi), whereas the rest of the investigated region has “low” or “very low” drought risk.

Similarly to SPEI3, drought risk on the SPEI6 timescale shows that the “high” and “very high” drought risk regions are generally located in the central part of the investigated region. These administrative regions are Teleorman, Girgiu, Ruse, Olt, Plevan and Razgrad. They represent 36.6% of the total region. Regions with a “moderate” drought risk level are more numerous when compared to SPEI3 (Mehedinti, Dolj, Vratsa and Veliko Tarnovo). The districts that were assigned “low” and “very low” levels of drought risk are Caras Severin, Vidin, Montana, Calarasi and Silistra.

Drought risk associated to SPEI12 correlates with the DHI (SPEI12) and the DVI (SPEI12) distribution maps, which show that the “high” and “very high” drought risk is found in the administrative regions located in the northern and eastern half



**Fig. 4** Drought risk in the Lower Danube River region for administrative units (counties - RO and districts - BG) based on drought hazard maps on 3-, 6- and 12-month SPEI timescales (1981–2019) and drought vulnerability map

of the investigated region (Olt, Teleorman, Girugiu, Calarasi, Ruse and Razgrad), accounting for about 37% of its territory.

The “moderate” drought risk spreads across the southern part of the Lower Danube region in the districts of Plevna, Veliko Tarnovo and Silistra. The sectors with “low” and “very low” levels of drought risk are generally concentrated in the western part of the region (Caras Severin, Mehedinti, Dolj, Vidin, Montana and Vratsa). This correlates with the results of the drought hazard and drought vulnerability assessment for this part of the study region.

Overall, on all timescales, DRI values show a particularly high risk of drought in Olt and Teleorman (Romania), as well as in Ruse and Razgrad (Bulgaria). This situation emerges from the greater frequency of droughts coupled with the high population and high agricultural activity in this regions.

## 5 Conclusion

This paper analysed drought hazard, drought vulnerability and drought risk over the 1981–2019 period, using a SPEI-based approach, for the cities and counties/administrative regions located northward and southward Danube in its lower basin.

Drought in the Lower Danube region occurs all throughout the year (especially during the summer-fall and spring–summer months) and produces a wide range of effects on the environment as well as in various water-dependent economic sectors (e.g. agriculture, navigation, hydro- and nuclear electricity production), as shown by some of the most recent events recorded in the 1990s, 2000–2003, 2007–2008, 2011–2012 and 2019. Drought has been found to affect the region 30 to 50% on all SPEI timescales (Table 5). The frequency of months with total drought coverage generally falls between 30 and 42% on all SPEI timescales. Drought increased in frequency and duration throughout the region over the 1981–2019 period, although trends are mostly not statistically significant. Furthermore, the area affected by drought also shows positive trends across the region.

The DHI hazard distribution reveals a greater sensitivity to drought in the eastern half of the study region on all SPEI timescales. Drought hazard decreases with the SPEI timescale. Razgrad, Russe, Veliko Tarnovo, Teleorman, Giurgiu and Calarasi are in general the counties/administrative regions showing the highest level of drought hazard (“high” or “very high”).

Due to the larger area of arable land and the higher population density in the northern part of the study area (on the territory of Romania), vulnerability to drought is higher compared to most southern administrative units (on Bulgarian territory). “High” and “very high” vulnerability based on LULC indicator of the eastern districts determines high values of the integrated drought vulnerability (DVI), while “low” and “very low” vulnerability is related to low population density of western districts, which determines the overall low vulnerability to drought of the western part of the study area.



The drought risk distribution generally correlates with the distribution of drought hazard and drought vulnerability in the investigated area, showing a “high” level of drought risk in eastern and northern-central administrative units.

## 6 Recommendation

The results from the present study can be used by the researchers working on issues related to the natural, economic and social dimensions of drought. Beneficiaries of this research would also be decision-makers in formulating and choosing policies and measures for adaptation to climate change as well as in risk management and land use planning. The accurately identifying drought hazard and vulnerability at a regional scale can lead to the development and implementation of a wide range of measures and programs to reduce the negative impact of future droughts. Future work will be directed to expanding the scope of research and assessment of socio-economic dimension of drought.

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# Synoptic Conditions Associated with Floods and Highest Discharges on Lower Danube River (1980–2010)



Lucian Sfică, Andreea-Diana Damian, Adrian Grozavu, Andrei-Ion Niță, and Marius-Victor Bîrsan

**Abstract** In this chapter, we explore in detail the synoptic conditions associated to the floods occurred on the Lower Danube River (from the entrance of the river in Romania through the Iron Gates gorge to the Danube Delta), as well as to the highest discharges recorded at Ceatal Izmail hydrometric station, before the entrance of the river into the deltaic region. The floods along this sector represent a response to the atmospheric circulation conditions over the entire Danube River basin, and therefore they can picture the synoptic conditions leading to high amounts of precipitation over the central and south-eastern part of Europe. The analysis investigated three flood events recorded along the Romanian side of the Danube River during the period 1980–2010, which generally corresponds to the current climate conditions.

In order to understand the triggering role of the atmospheric conditions for the floods occurrence, we have analyzed each flood in association with the phases of the most important teleconnections manifesting at continental scale—the Arctic Oscillation (AO) and North Atlantic Oscillation (NAO)—but also with regional atmospheric circulation conditions assessed using Gross Wetter Typen (GWT) method derived from COST733 catalogue.

The diachronic analysis takes in consideration the atmospheric circulation from the occurrence day of the flood peak back to three months prior to the hydrological event. Generally, the events are preceded by more positive phases of NAO and more negative values for the AO index especially within the three months' period before the hydrological event. These conditions indicate on the long term the role of anti-cyclonic blocking conditions at continental level inducing a prolonged interval with atmospheric instability over the Danube catchment area, while on the short-term, zonal conditions can lead to cyclonic activity enhancing the increase of the river discharge. The results are reinforced by the GWT analysis which brings other valuable information depending on the season. In this way, we can see that during winter and early spring the south-westerly circulation can lead to warm advection and the

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rapid melting of the snowpack especially in the mountain area, while in summer the atmospheric circulation types inducing large scale convection represent the main trigger for the hydrological events.

The chapter presents detailed information structured on the following subsections: (1) overview of major flood events and historical discharges in the Lower Danube River; (2) weather associated with hydrological events for 1980–2010; (3) the methods used to assess the atmospheric circulation (teleconnection and GWTs) and (4) the classification of atmospheric circulation leading to major floods and highest discharges.

**Keywords** Floods · Historical Discharges · Atmospheric Circulation · Lower Danube River · Romania

## 1 Introduction

Floods represent, by far, the most important hydro-climatic risk phenomenon that generates most casualties and significant material damage over the temperate zone [1]. There are three main factors that may cause this kind of events at global scale: weather factors (such as excess rainfall, snow and ice melting), partially weather factors (river runoff, tides and marine storms), and other factors like earthquakes, landslides and damaged dams [2]. It is therefore obvious that the weather conditions play the most important role in floods occurrence and the better we understand the weather conditions associated to these events, the better we are able to mitigate their effects and minimize their impacts.

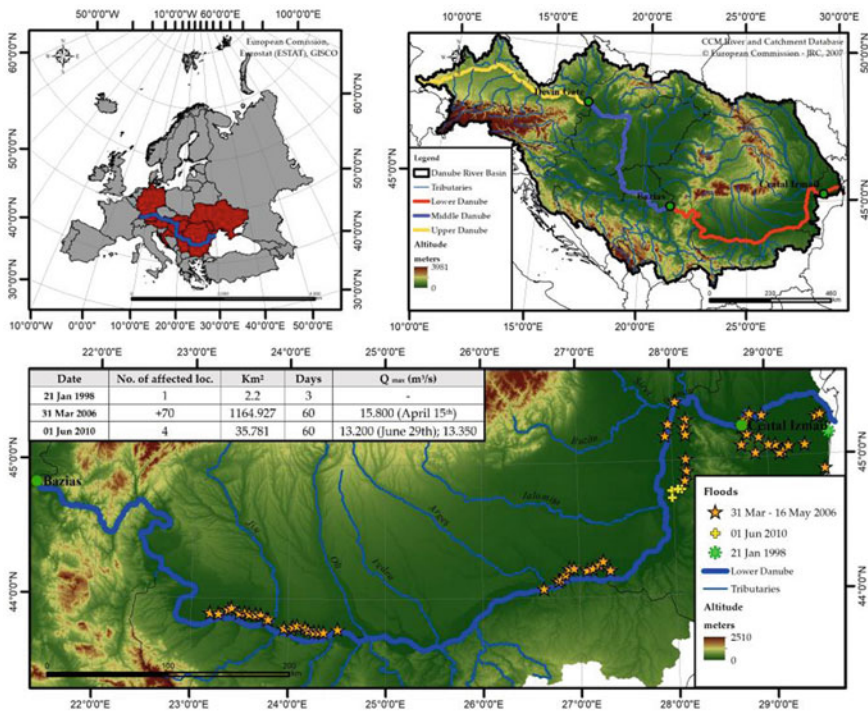
It was assessed that floods have affected more than two billion people worldwide between 1998 and 2017 and the most vulnerable ones were those living on the riversides, in old houses and buildings, and especially the citizens of states poorly prepared for this type of hazard. Another important fact is that 80 ÷ 90% of the natural disasters on Earth were caused by floods, followed by drought, heat waves and severe storms and all of these kind of events continue to increase in frequency and intensity because of the various weather extremes manifesting more frequently as an effect of climate changes [1].

In many European countries extreme events (excess rainfall, floods) are frequent, but it is considered that they tend to develop more on the eastern part of the continent, comprising the Lower Danube Basin, which is considered a hotspot for floods [3].

In the Danube Basin, the topic of floods is of very high importance due to the high density of human population and the numerous cities along its main course leading to severe impact of flood events on local or regional economy. The Danube is the second longest river in Europe, after the Volga, measuring 2860 km from its sources (from the Black Forest Mountains of Germany) to its mouth (at the Black Sea) [4]. It crosses the European continent from west to east through nine countries (Germany, Austria, Slovakia, Hungary, Serbia, Bulgaria, Romania, the Republic of Moldova and

Ukraine) receiving numerous tributaries through which drains a catchment area of 805,300km<sup>2</sup> between 8 and 30° east longitude and between 42 and 50° north latitude (Fig. 1).

Morpho-hydrographic differentiations require the division of the Danube course into three distinct sectors (Fig. 1): (1) the Upper Danube, with a length of 1060 km, from the springs to the Devin Gate (confluence with Morava, in Slovakia), has an average slope of 0.6–0.9 m/km, and most of the tributaries it collects have springs on the northern flank of the Alps; (2) the Middle Danube, also called the Pannonian sector, stretches between Devin Gate and Baziaş (entrance to Romania), has a length of 725 km, an average slope of less than 0.1 m/km and collects the most important tributaries throughout this basin (Drava, Sava and Tisa) which also define the type of water regime for the lower sector; 3) the Lower Danube, also called the Pontic sector, stretches over a distance of 1075 km from Baziaş to the Black Sea, has a very low riverbed slope (on average between 0.04 and 0.07 m/km), most tributaries which it collects having their sources in the Romanian Carpathians and Balkan Mountains [4].



**Fig. 1** Study area and the flooded areas during the main flood events from 1980–2014 (Data source [16, 17])

The Lower Danube sector, has been affected over time by various important flood episodes, which were responsible for many deaths and extraordinary material loss worth over €25 million [5].

The aim of this study is to carry out a comprehensive research over the general weather conditions associated to the major flood events and the highest discharges in the Lower Danube River, with details on the synoptic conditions that preceded them. To achieve this goal a large amount of data was analysed such as climatic teleconnections—e.g. the Arctic Oscillation (AO) and the North-Atlantic Oscillation (NAO)—reanalysis data (e.g. NCEP/NCAR, ERA-Interim) and a classification of atmospheric circulation types—Gross Wetter Typen (GWT)—was derived from COST 733 software, in order to depict at a daily level, the atmospheric circulation conditions at continental scale.

There are many research studies dealing with the synoptic context of the major flood events and with extreme discharge values. The main approach in this regard was based in assessing the correlation between the climatic teleconnections, such as the Arctic and the North-Atlantic Oscillations, and many others, on one side, and the precipitation and streamflow variability on other side, as well as was in studying how these elements could help to predict future meteorological and hydrological events [6, 7].

Regional and country-wide studies show that the Romanian territory is affected by the action of these two indices through the fluctuation in discharge levels of the major rivers on the continent. NAO is more intense in this part of Europe [8], between the variability of this index and the multi-annual variation of the pluviometrical and hydrological regimes, as well as the flood events on the Danube's Lower Sector, being a very strong connection [5, 9–12]. Besides clarifying these large-scale atmospheric circulation conditions at continental scale, we bring new information on local and regional atmospheric circulation patterns preceding the major flood events and highest discharges pushing forward the knowledge on the regional weather patterns over the analysed region. This aspect is very important for improving the linkages between weather and hydrological forecast.

## **2 Overview of Major Flood Events and Highest Discharges in Lower Danube River (1980–2010)**

Two of the most considerable flood events ever recorded in the Lower Danube sector were those from April 2006 and June–July 2010.

The first one reached a historical discharge of 15,800 m<sup>3</sup>/s at Baziaş hydrometric station and the overall induced damage was exceptional on the entire course of the river. The total flooded area was about 88,900 ha, starting with Ghidici–Rast–Bistreţ sector and ending with Ostrov—Pecineaga sector, and two areas were flooded on purpose to reduce the water level on the Feteşti—Cernavodă sector [13, 14]. The 2006 flood event was due to the continental hydro-meteorological context from the

previous months, especially February and March [13], when the snow melting from the Upper and Middle sectors of the Danube River superimposed with the rainfall intensity from April had a large impact on the flow of the Lower sector in this last month. The discharge values were very high at Baziaş gauging station and they exceeded the defence levels. This event was one of the greatest in period with recorded data and had a probability of occurrence of 1% [15]. The high discharges had some major consequences. There were approximately 17,600 ha of flooded terrain and four precincts in distress as a side effect of the collapse and rupture of the dam, and more than that, for the security of other localities and to diminish the flood wave, it was extremely necessary to inundate other 51.765 ha of land. The total damage associated with the 2006 flood was estimated at 0.3 billion EUR [3], which means that there were over 3000 houses and 16,000 household annexes damaged, 15,000 evacuated residents, 8.4 km/597 km of national/local roads flooded, and 255 footbridges broken-down. A total number of 12 counties in Romania were afflicted by the floods [16].

Even though the flood event from 2010 had lower discharge than in 2006, it surpassed more important flood levels in the downstream sector of Călăraşi, but this was an effect of the high inflows of the Danube's large tributaries, such as Siret and Prut. The 2010 event consisted of two flood waves, the first one being recorded in mid-June ( $13.200 \text{ m}^3/\text{s}$ ) and the second one in the beginning of July ( $13.350 \text{ m}^3/\text{s}$ ).

The third major flood event occurred between 1980 and 2010 was in January 1998. It affected mainly the Danube delta, representing a typical hydro-meteorological winter event.

As for the highest discharge values recorded between 1980 and 2010, we selected the monthly maximum discharges recorded at Ceatal Izmail hydrometric station, according to the database of the National Institute of Hydrology and Water Management (NIHWM) from Bucharest. As we can observe in Table 1, the highest values were registered in April 2006, when, as discussed, one of the most important flood episode occurred in Romania in last century. After that, the next in line are those corresponding to the 2010 flood event, occurred in July. However, we should underline that according to the database of the National Institute of Hydrology and Water Management (NIHWM) from Bucharest, the highest of all discharge values was recorded at the Călăraşi Chiciu station ( $16,200 \text{ m}^3/\text{s}$ ), in April 2006.

According to the "Romanian Waters" National Administration (RWNA) [17], from 1965 to 2012, at Baziaş gauging station, there were some extremely high discharges on the Lower Danube River as follows: 20 years that had maximum flow between  $10,000$  and  $13,000 \text{ m}^3/\text{s}$ , 3 years with maximum values between  $13,000$  and  $15,000 \text{ m}^3/\text{s}$ , and 1 year that had over  $15,000 \text{ m}^3/\text{s}$ .

A characteristic of these high discharges is that the majority of them happened in April and in other spring–summer months, April and May being the months with the most frequent occurrence of flood peaks in the Lower Danube River. In most years, at the end of the spring season, rainfall is much more intense and along with the snow melting it can cause serious issues. Also, not only the spring rainfall can be dangerous, but the beginning of the summer can create significant damage too, through its frequent high amounts of precipitation [13, 16].

**Table 1** The highest discharges recorded at Ceatal Izmail gauging station (1980–2010) and the weather conditions prior to their occurrence over the Danube river basin

Date	Monthly $Q_{\max}$ ( $\text{m}^3/\text{s}$ )	Weather background	Key-region within the Danube Basin
06 June 1987	12000	Large scale convection	South Part of Pannonian Basin
21 April 1988	13400	Snow melting and high amount of precipitation	High amount of snow in Carpathians and Alps during March
27 November 1998	11300	High amount of precipitation during autumn	Pannonian Basin in September and Bulgaria in November
08 May 1999	12300	High amount of precipitation in early spring with high soil moisture during winter	Pannonian Basin and Balkan Mountains
21 April 2000	12600	Snow melting in March and high amount of precipitation	Pannonian Basin and Northern Carpathians
29 April 2004	11100	High amount of precipitation after rapid snow melting in March	Dinaric Mountains and Southern Pannonian Basin
02 May 2005	14500	High amount of precipitation during April	Eastern Carpathians
25 April 2006	15900	Snow melting and high amount of precipitation	Eastern Alps and Pannonian Basin
21 April 2009	11550	Snow melting	High amount of snow in late March over the Alps, Dinaric and Balkan Mountains
06 July 2010	15500	Large scale convection	Northern Carpathians

Source Data [16, 19]

### 3 Data and Methods

#### 3.1 Floods and Highest Discharges Data

The current analysis is firstly focused on a thirty-year interval, between 1980 and 2010, during which three major flood events occurred on the Lower Danube River, reaching their peak on: January 21, 1998, March 15, 2006 and June 21, 2010 (according to the public data from the RWNA's website [17]).

Based on the maps showing the affected areas in the flood plain of the river and the Danube Delta in 2006, created by the Remote Sensing and GIS Laboratory of the National Meteorological Administration in Romania [18], and the floods database from the RWNA, we managed to locate every flooded area from these three events and the results are shown in Fig. 1.

Besides these three events we have also used the maximum monthly discharges recorded at Ceatal Izmail gauging station. We have chosen only this station due to its location as the last station before the entering of the Danube River into its deltaic region. According to the RWNA [17] the highest discharges are considered all the values  $>10,000 \text{ m}^3/\text{s}$  which is almost twice the multiannual mean of the Danube at this station. Between 1980 and 2010 a number of ten events of this kind were recorded (Table 1). The meteorological/synoptic context associated to these events have been described by using the archived products of Global Forecast System from wetter3.de

[19] and other products derived using tools of NCEP/NCAR database [20]. Based on these data, we have been able to assess the weather background of these events (Table 1), and to indicate the key-regions of the events, referring to those regions where the high amount of precipitation/snow melting or both have been recorded.

The flood events occurred in 2006 and 2010 were also considered in the analysis of the highest discharges, starting from the day of the flood peak and not the first day of the flood events. The flood event from January 1998 was not considered in the analysis of the highest discharges, because it was generated an ice jam phenomenon due to a prolonged episode of positive air temperature anomalies over the Danube River Basin following cold winter conditions.

### ***3.2 Climatic and Teleconnection Data***

In order to describe the weather associated with the three flood events and the highest discharges between 1980 and 2010, we used the reanalysis data sets from NCEP/NCAR [20]. As well, a series of cartographic products have been made using the E-OBS dataserries [21] using R software and ArcGis packages. We assumed that previous weather conditions over the Danube Basin River represented a trigger for the flood event. For this purpose, composite maps for key weather elements for floods occurrence have been selected (precipitation amount, air temperature anomalies at ground level and in altitude or sea level pressure and geopotential height) depending on the period of the year. The maps were produced for representative time intervals before the floods's occurrence and manifestation in the Lower Danube Basin.

The next step was to analyze the two climatic indices—the AO and NAO. The daily database was downloaded from the Climate Prediction Center's website [22]. We calculated the average values according to five intervals (3, 10, 14, 30 and 90 days) for the three days during which flood events reached their peaks to see which time period had the most significant contribution before the flood episodes occurred.

We applied the same methodology, as used for the days with flood events, for the days which recorded the highest discharges from Ceatal Izmail, where the Danube Delta starts to form. The database from this hydrometric station was obtained from the NIHWM, Bucharest.

### ***3.3 Atmospheric Circulation Classification***

In order to understand more precisely the synoptic patterns that lead to the largest floods and highest discharges occurred on the Lower Danube River, in this study we have used a classification of atmospheric circulations derived from the COST733 software [23]. We have considered that Gross Wetter Typen (GWT) classification, which is an objective method based on threshold criteria, fits well with the task being

known as a classification that explains best the precipitation amount over a specific region [24, 25].

The daily mean-sea-level-pressure at 12 UTC (MSLP), data from ERA-Interim reanalysis [26], was used as input data for this classification. The main idea for this classification is to characterize the circulation patterns in terms of zonality, meridionality, and also vorticity of the large scale mean sea level pressure field [25].

In this manner, an objective classification of the daily synoptic pattern was conceived for the continental region covering the entire Danube Basin River. Figure 2 displays the 18 atmospheric circulation types derived from this approach. They include two main circulation types considered for Center Low (type 17), and Center High (type 18) over the study domain and also a couple of cyclonic circulation types (from T1 to T8) and anticyclonic circulation types (from T9 to T16). The classification was carried for the period 1979–2016, from which only 1980–2010 data were selected.

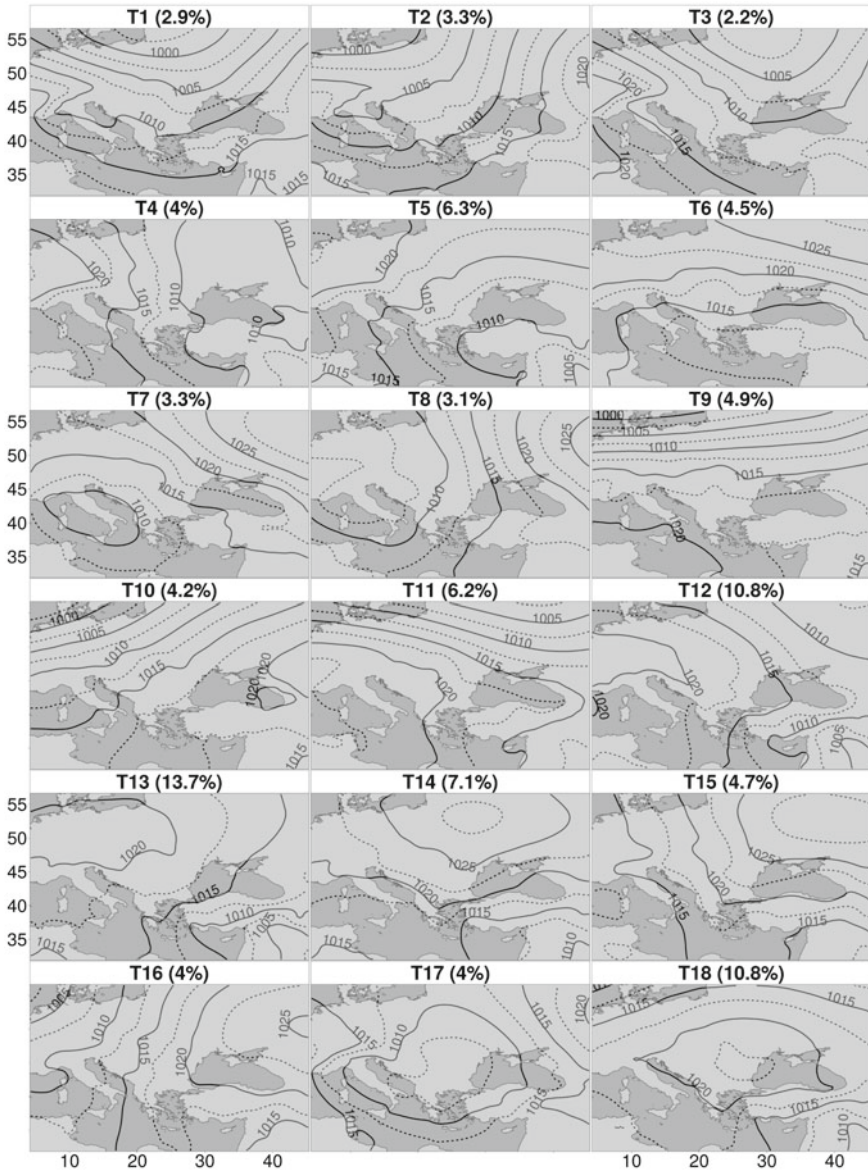
Generally, we must underline that the anticyclonic conditions are prevalent over the Danube River Basin at annual level with all the corresponding types (9–16 and 18), summing up 65.7% of the days along the year. It is known that these atmospheric conditions inhibit the precipitation occurrence. In the meantime, we can observe that some cyclonic circulation types are also connected with anticyclonic conditions centered on the north of the Danube River Basin (type 6), or east and north-east of the continent (types 7 and 8) that indicate the blocking of cyclonic conditions over the Danube Basin. They sum up 12% annually and can induce high amounts of precipitation in the central and eastern part of Europe. Pure cyclonic conditions instead are assessed at 4.2% annually (circulation type 17).

## 4 Results

### *4.1 Weather Conditions Associated to Major Hydrological Events in the Lower Danube*

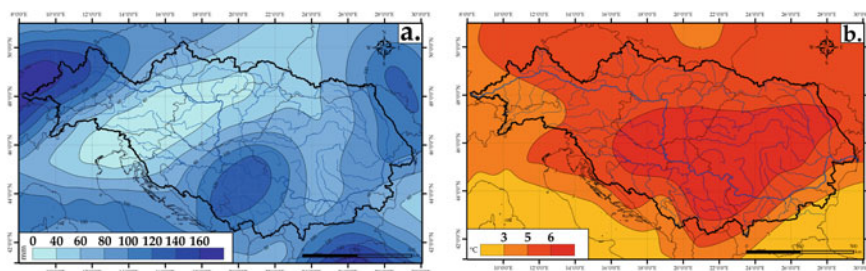
The three events of major floods in the Lower Danube River from 1980 to 2010 have been caused by very high amounts of precipitation during the warm season (June 2010), rapid melting of snowpack during winter (January 1998) and high amounts of precipitation occurred synchronously with the intensive melting of snowpack in the mountainous region (April 2006). Besides these events, the highest discharges of the Danube River at Ceatal Izmail can occur during all seasons, but they are specific for the spring, with April recording 5 of the 10 analyzed highest discharges.

Further, we give some factual details of the weather associated to these major flood events in order to better understand the meteorological background of their occurrence.



**Fig. 2** Types (T) of atmospheric circulation according to GWT classification focused on Lower Danube river using based on Sea Level Pressure (hPa); cyclonic (T1-8) and anticyclonic (T9-16) subtypes are separated based on the cardinal position of the pressure centers towards the center of the domain (N-north, NE-north-east, E-east, SE-south-east, S-south, SW-south-west, W-west, NW-north-west) next to cyclonic (T17) and anticyclonic (T18) types when these pressure centers are located over the territory of Romania (*Data source [26]*)





**Fig. 3** Cumulated precipitation amount between the 1st of December 1997 and the 20th of January 1998 (a) and air temperature anomaly between the 25th of December 1997 and the 20th of January 1998 (b) (Data source [20])

#### 4.1.1 Winter Flood Event of January 1998

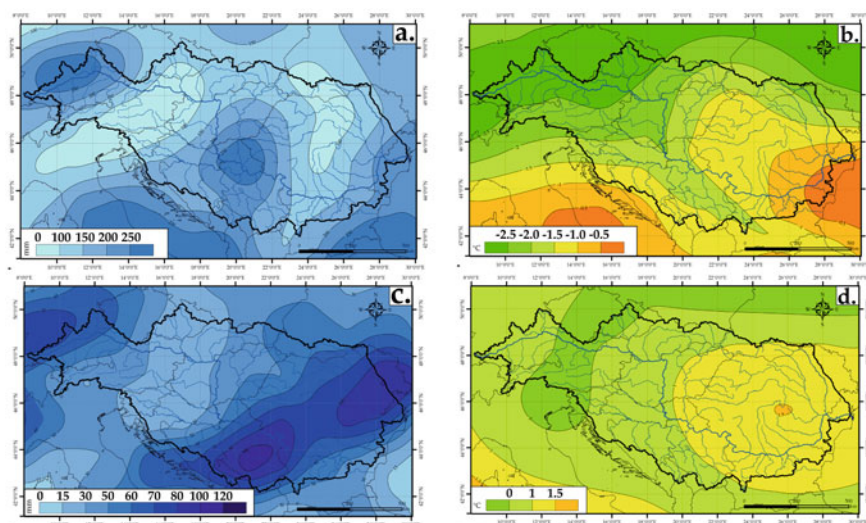
Generally, winter floods are rare and the leading factor is represented by the rapid melting of the snowpack and the ice jam formation. This was the case for the January 1998 event. The first part of 1997–1998 winter was characterized by high amounts of precipitation recorded especially over the Balkan Mountains, the Pannonian Basin and all of the Lower Danube Basin (Fig. 3a). Consequently, the snowpack was consistent in the middle of December over the southern part of Pannonian Basin.

On this meteorological background, the end of December 1997 and especially the first half of January 1998, positive air temperature anomalies amplified over the Danube Basin due to an intensive tropical air mass advection over the southern part of the European continent (Fig. 3b). This advection determined the rapid melting of the snow cover on large regions. The combination of these two factors represented the main cause for the flood event manifested in the Danube Delta mainly as an ice jam flooding.

It should be emphasized that very high discharges induced mainly by the snow melting restricted to the mountain area can also occur during spring over the Lower Danube Basin, as it was the case for April 2009 (11,550 m<sup>3</sup>/s recorded at Ceatal Izmail).

#### 4.1.2 Spring Highest Discharges

*April 2006.* The amount of precipitation in the Danube Basin River, cumulated throughout the entire 2005–2006 winter, was slightly above the normal, varying from less than 100 mm in East Carpathian to > 250 mm in the middle of the Pannonian Basin (Fig. 4a). Even if not impressive, this amount of precipitation was associated with strong negative anomalies in the field of air temperature above all the European continent (Fig. 4b). Therefore, most of the precipitation was cumulated as a consistent snowpack in the mountain area from the Alps to the Carpathians.

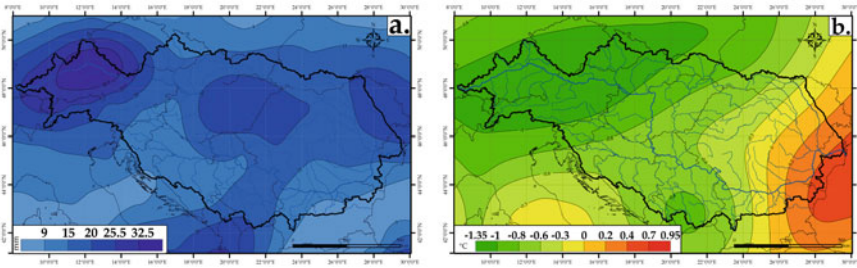


**Fig. 4** Cumulated precipitation amount (a) and air temperature anomaly (b) between the 1st of December 2005 and the 15th of March 2006; cumulated precipitation amount between the 16th of March and the 15th of April 2006 (c) and air temperature anomaly at 850 hPa geopotential height between the 15th and the 31st of March 2006 (d) over the Danube River Basin (*Data source* [20])

In these conditions, the second half of March brought a sudden warming over the entire Danube River Basin leading to a rapid and generalized melting of the snow cover. Moreover, the warming was felt especially in altitude, in the middle mountain ranges leading to the increase in river discharges. Additionally, to the snow melting, the interval from the 15th of March to the 15th of April the South and East Carpathians, next to the Pannonian Basin, recorded high precipitation amounts. These factors, combined, represented the cause of the 2006 flood event which can be considered as a typical spring flood event.

In this regard, a key element for this kind of early and middle spring floods or historical discharges is given by a consistent snowpack during March, especially in the mountain area over the entire Danube River Basin. Actually, analyzing all the months of March before the April hydrological events we can observe that their composite maps indicate a positive anomaly in the field of atmospheric precipitation for the entire Danube Basin (Fig. 5a), presenting a maximum over its upper Basin. In the same time, those months were below normal in term of temperature anomaly, contributing to the accumulation of snow in the mountain areas (Fig. 5b).

The same weather pattern as that of April 2006 was associated to other very high discharges recorded at Ceatal Izmail such as April 1988, April 2000, April 2004 and April 2009 (Table 1).

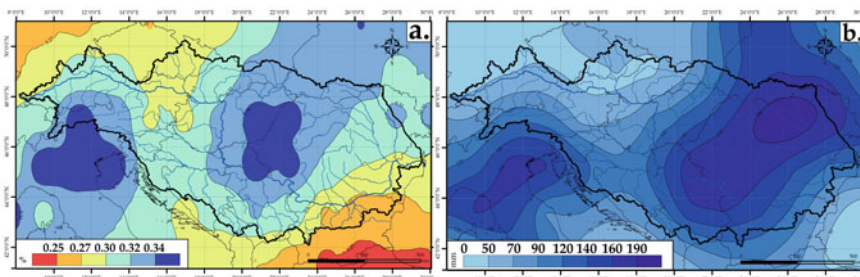


**Fig. 5** Mean precipitation amount anomaly (a) and air temperature anomaly (b) during the month of March prior to April hydrological events from 1988, 2000, 2004, 2006 and 2009 within the Danube Basin (*Data source [20]*)

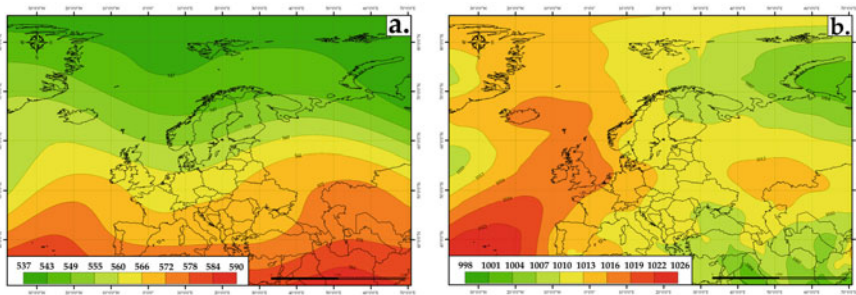
### 4.1.3 Summer Flood Events and High Discharges

*June 2010.* May 2010 was extremely rich in precipitation all over the European continent and especially over its central-eastern part. The monthly amount of precipitation reached more than 150 mm over the Northern Carpathians in Slovakia and over all the Pannonian Basin. Consequently, the soil moisture was very high at the end of this month over these regions (Fig. 6a), so that the precipitation recorded in June over the same region lead to very high discharges of the tributaries, such as Prut and Siret rivers. In this period these rivers recorded impressive discharges such as the historical maximum of 2850 m<sup>3</sup>/s reached by Siret River [27], a value representing more than 10 times the mean annual discharge of this river.

The high amounts of precipitation recorded during the warm season are determined mainly by the atmospheric instability which can induce convection on large spatial scale. Additionally, orographic convection on the outer slopes of the mountains can enhance very high amounts of precipitation. This was the case of the Eastern Carpathians during the 2010 summer event, recording a maximum over the area of Beskids Mountains, over the Upper Siret and Prut Basins.



**Fig. 6** The soil moisture anomaly during May 2010 (a) and precipitation amount during June 2010 (b) over the Danube River Basin (*Data source [20]*)



**Fig. 7** The 500 hPa geopotential height for May–June 2010 (a) and mean sea level pressure for June 2010 (b) at continental scale (*Data source* [20])

In this situation a very important role is played by the atmospheric circulation in the upper layers of the troposphere, the instability being triggered mostly by cut-off lows or long-wave troughs [28], which led to a negative anomaly in the field of 500 hPa geopotential height over the central part of the continent. This is shown by the composite map of 500 hPa geopotential height, which displays a long wave trough structure, centered over Western Europe with the Danube Basin subject to a south-westerly flow (Fig. 7a). These upper structures—imposing intensive convergence at the surface—lead progressively to the decrease of the atmospheric pressure at sea level (Fig. 7b). Through this process, May–June 2010 was characterized by a very extended low-pressure area over the central and eastern part of the continent. In the same time, a ridge extended over the North Atlantic indicate the manifestation of an anticyclonic blocking at continental scale [29]. Moreover, this is a dynamic pattern related clearly with the negative phase of the so-called East Atlantic–West Russia teleconnection index manifesting over the Euro-Atlantic domain [30].

Thus, it is clear that the 2010 event is typical from a synoptic point of view for warm season floods and also for the historical discharges on the Lower Danube River. In fact, other very high discharges occurred at Ceatal Izmail in quasi-similar weather conditions at continental scale, such as June 1987 (12,000 m<sup>3</sup>/s).

#### 4.1.4 Autumn High Discharge of November 1998

Besides the summer and spring floods presented above, a very original case of high discharge on the Lower Danube is represented by November 1998 (11,300 m<sup>3</sup>/s, recorded at Ceatal Izmail). Even if the summer of 1998 was mostly dry over the entire Danube River Basin, the early autumn brought an impressive amount of precipitation in September on the Pannonian Basin and in November over the Balkan Mountains and Lower Danube plain.

Firstly, in September the precipitation amounts were determined by a very high and unusual atmospheric instability caused by long wave troughs developed over Central Europe, propagating towards the east of the continent. During November

instead, the Mediterranean Sea was subject for intense cyclogenesis that caused a couple of Mediterranean cyclones to develop and to move across the northern part of the Balkan Peninsula, leading to the accumulation of high amounts of precipitation, especially over the Balkan Mountains and the low lands of northern Bulgaria and southern Romania. Moreover, the 1998–1999 winter season was characterized by a continuation of the very active cyclogenesis over Mediterranean Sea and this manifestation during March–April 1999 lead to another high discharge in May 1999.

Generally, the Mediterranean cyclones induce high amounts of precipitation, especially on the Balkan Peninsula on the southern flank of the Danube Basin and the month of November is a typical one for their development and manifestation in the Lower Danube [31]. In this regard we underline that the Danube Basin receives important precipitation amounts from two cyclogenesis regions: the Gulf of Genoa and the Aegean Sea [32] that are active during the cold season from October to April. While the cyclones from the first region can affect the entire Danube Basin, but especially the Alps and Dinaric mountains, those from the second region can bring important precipitation amounts mainly over the Balkan Mountains and the southern part of the Danube Basin.

#### ***4.2 Large Scale Circulation Patterns Associated to Major Flood Events and High Discharges in the Lower Danube Basin (1980–2010)***

Generally, it is well known that positive anomalies in the field of atmospheric precipitation over different regions within the Danube River Basin are associated with blocking conditions prevailing over large parts of the Basin [33].

For this reason, it is not surprising that for the flood events analyzed here (Table 2), the NAO was mostly in its negative phase especially during the last two flood events. It is to be noticed that the negative AO and NAO conditions prevailed not only during the event, but also over the 90 days before the occurrence, especially for AO. This aspect underlines that the flood events represent a cumulated effect of long persistency of some synoptic patterns over the continent, such as blocking activity.

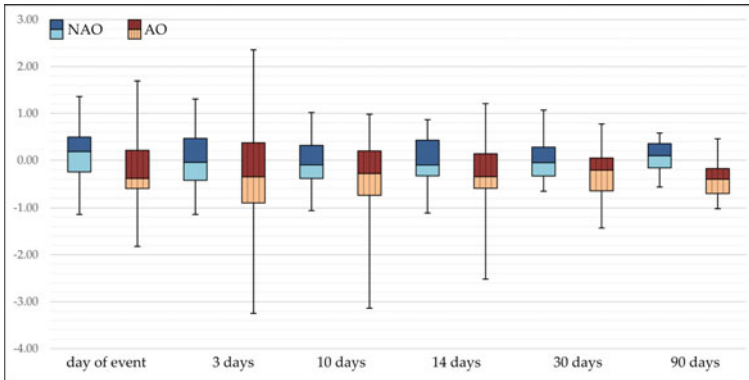
Positive phases of NAO characterized the previous period only for January 1998 flood event, and this is normal since the positive phase of NAO is associated with positive anomaly in the field of air temperature over the continent [34] and that lead to intensive snow melting, as presented earlier.

The same general aspects can be observed on the results from the analysis performed on all the historical discharges events (Fig. 8). We can see even more clearly in this case that AO tends toward negative phases, while NAO is found more closely to neutral conditions.

These blocking conditions can lead especially to the disruption of the cold polar vortex in middle and high troposphere that determines the isolation of cut-off lows

**Table 2** Mean values of AO and NAO indices during the 3, 10, 14, 30 and 90 days' intervals before the major flood events on the Lower Danube River at Ceatal Izmail (1980–2010)

Date	NAO / AO				
	3 days	10 days	14 days	30 days	90 days
21.01.1998	0.39/-2.42	0.31/-2.80	0.26/-2.94	0.27/-0.94	-0.34/-0.75
15.03.2006	-0.09/-1.37	-0.10/0.11	-0.39/-0.55	-0.24/0.07	0.13/0.61
01.06.2010	-0.45/-0.66	-0.81/-0.96	-0.59/-0.88	-0.59/-0.93	-0.46/-0.50



**Fig. 8** The distribution of the mean values of the AO and NAO indices during the 3, 10, 14, 30 and 90 days' intervals before the high discharges at Ceatal Izmail (1980–2010) (*Data source* [22])

over central, southern or south-eastern Europe which are capable to induce high atmospheric instability over diverse regions [28] from the Danube Basin.

Actually, these cut-off lows can determine high amounts of precipitation all over the Danube Basin, and depending on their central position, they can induce high amounts of precipitation especially on the slopes of some mountain ranges under the effect of the orographic convection, as it was the case for June 2010 for the eastern flank of Eastern Carpathians [27].

Also, some types of atmospheric blocking can induce Mediterranean cyclogenesis. Depending on their tracks, the Mediterranean cyclones can determine high amounts of precipitation especially on the mountain range, from the southern side of the Danube River Basin (Alps, Dinaric, Balkan Mountains). For instance, the so-called Vb cyclones are known to produce intensive precipitation especially over the Alps, the upper Danube Basin or the northern parts of the Dinaric Mountains [35], while the so-called IIb cyclones are causing similar precipitation over the northern part of the Balkan Peninsula.

The blocking conditions associated to the negative phases of AO and NAO are known to be related to specific types of atmospheric circulation on continental and regional scale. Due to this reason, in order to bring a clearer image on the mechanism of weather pattern associated with/induced by the flood events and historical discharges we will further explore these aspects using the results of GWT objective classification of atmospheric circulation.

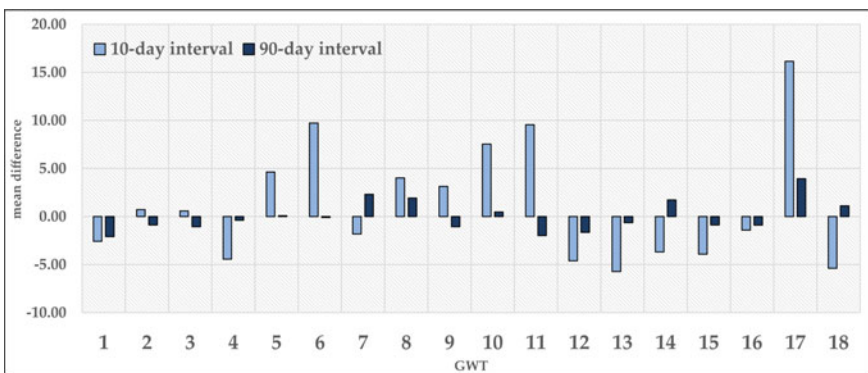
### 4.3 GWT Atmospheric Circulation Types Associated to Major Flood Events on Lower Danube River

In the 10-day interval (Fig. 9) before the analyzed hydrological events, the most frequent types of GWT atmospheric circulation were the T6 and the T17 (Fig. 2). The T6 circulation type is representative for the situation in which the Mediterranean cyclones affect the southern part of the Danube Basin, while the T17 is characteristic for a low-pressure system centered over the Lower Danube Basin. Moreover, the two circulation types can represent either the phases of the Mediterranean cyclones evolution over the Balkan Peninsula or the sea level pressure response of the cut-off low conditions prevailing over the south-eastern part of Europe.

As well, it can be observed that some south-westerly circulations over southern Europe (T10 and T11) are overrepresented for the 10 days' interval. These atmospheric circulations determine an important amount of precipitation over the Dinaric Mountains causing high discharges on Sava and Drava rivers, which are, as already mentioned, two of the main tributaries of the Danube in its middle sector. As well, these kind of south-westerly flows are associated with massive warm air advections over the same region leading to rapid snow melting in Balkan Peninsula mountain.

Also, it is not surprising that north-westerly or anticyclonic conditions expressed by T12 and T16 are not common in the 10-day interval before the flood event, being clearly underrepresented before the events comparing with their long time frequency during the same period.

The 90-day interval before the flood event (Fig. 9) was also characterized by cyclonic activity above normal, the T17 being the most overrepresented among all circulation types. The T7-8 circulation types are also overrepresented indicating the



**Fig. 9** The frequency of the 18 types of atmospheric circulation (GWT) during the 10-day and 90-day interval before the three flood events from January 1998, April 2006 and July 2010 over Danube River basin compared with the long-term frequency in the analyzed period (positive/negative values indicate overrepresentation/underrepresentation of circulation types)



same manifestation of Mediterranean cyclones over southern Europe. The anticyclone related types didn't occur as much as the other ones, but that doesn't mean they were inexistent. We observed a slight increase in frequency of the T18, which characterizes the opposed situation of the T17, in this case an anticyclone being located over the Lower Danube. The slight overrepresentation of these anticyclonic circulation types, as shown by T18 or T14 as well, indicate in fact the role of the blocking activity over the continent in the period before the flood event on the Lower Danube. In brief, the flood event can occur after sudden shifts of weather pattern from anticyclonic to cyclonic circulation types reigning over the Danube Basin.

#### ***4.4 GWT Atmospheric Circulation Types Associated to the Highest Discharges on Lower Danube River***

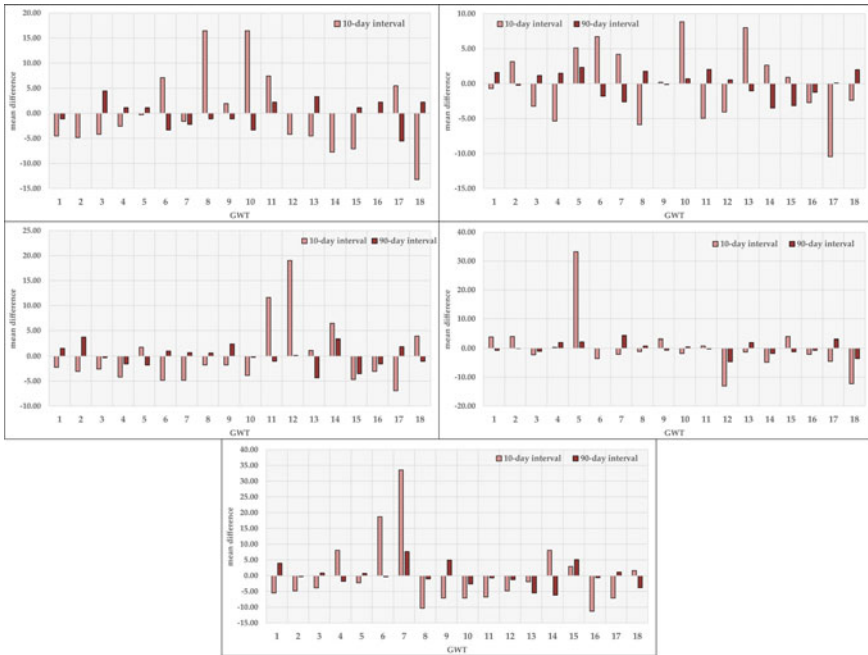
Analyzing the 10 cases of the highest discharges recorded between 1980 and 2010 at Ceatal Izmail, and additionally the ice jam flooding of January 1998, we observed simply that the meteorological mechanism of flooding differs substantially between seasons.

Therefore, we have identified some features of the meteorological background which are presented in Table 1:

- during *winter* events high discharges are associated with the warm air advection over large parts of the continent, inducing the generalized melting of the snow cover;
- during the *early and middle part of the spring* occur the most highest discharges, mainly as a combination between snow melting following a positively abnormal snowpack during March and a couple of epsiodes of heavy precipitation determined especially by the action of the Mediterranean cyclones;
- *the late spring events* are mainly caused by long wave trough propagation towards the eastern part of the continent inducing atmospheric instability over central and south-eastern Europe, but also the Mediterranean cyclones can be involved;
- *the summer events* are determined exclusively by large scale convection over central Europe induced directly especially by cut-off lows isolated over the Danube Basin;
- *the late autumn events* cumulate the contribution of high soil moisture in some years and high amount of precipitation produced especially by the Mediterranean cyclones.

The GWT analysis allows us to understand in some details the weather mechanism that support these hydrological events (Fig. 10).

- (a) For the *winter events*, the snow melting—which is the key-element of a hydrological event in this period of the year—was determined by a mixture of circulation types (Fig. 10a), such as T8 and T10, causing a very strong southerly advection over the entire Danube Basin. In this regard, we can observe that the

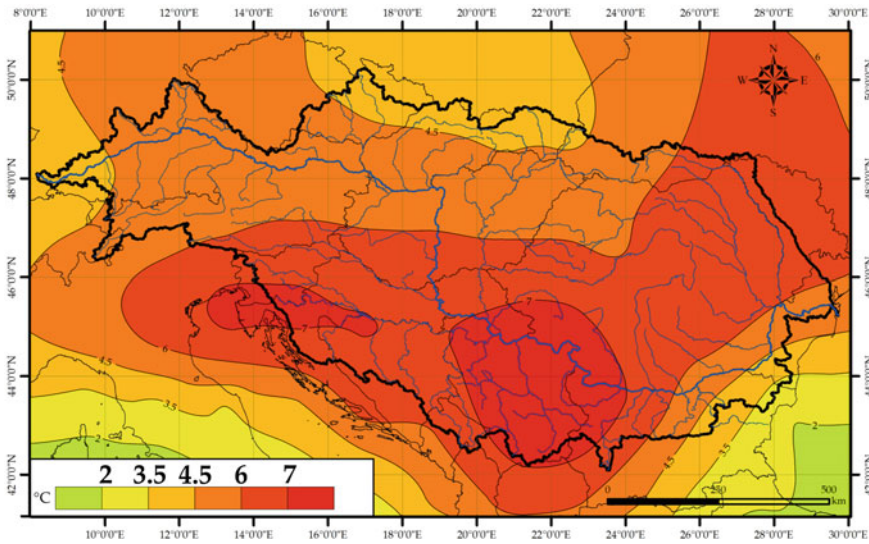


**Fig. 10** The frequency of the 18 types of atmospheric circulation during the 10-day and 90-day intervals before the recording of the highest 10 discharges registered at from Ceatal Izmail hydrologic station (1980–2010) compared with the long-term frequency in the analyzed period (positive/negative values indicate overrepresentation/underrepresentation of circulation types) for winter (a), first half of spring (b), second half of spring (c), summer (d) and autumn (e)

region with the highest positive anomaly of air temperature (Fig. 11) is located over the region with the highest amount of precipitation during December and January (Fig. 3a), leading to a rapid snow melting in that area.

In the same time the synoptic conditions in the 90 days before the event is not showing any abnormal conditions, thus the event is mostly related to rapid snow melting in the 10 days before the event.

- (b) For *April* we can identify two groups of atmospheric circulations that are overrepresented during the 10 days before the hydrological event. Firstly, we observed a high frequency of atmospheric circulations types 6 and 7 that display the main sea level pressure features of the manifestation of the Mediterranean cyclones. Secondly, circulation types 13, 14 and 15 are more frequent as usual in these intervals and indicate mostly anticyclonic conditions that favor the persistency of warm air masses advected from previous southerly advections over the Danube Basin. While the first group of atmospheric circulations cause high amounts of precipitation, the second group determines warm air advection and have the capacity to induce rapid snow melting especially over the mountain area of the southern limits of Danube Basin.



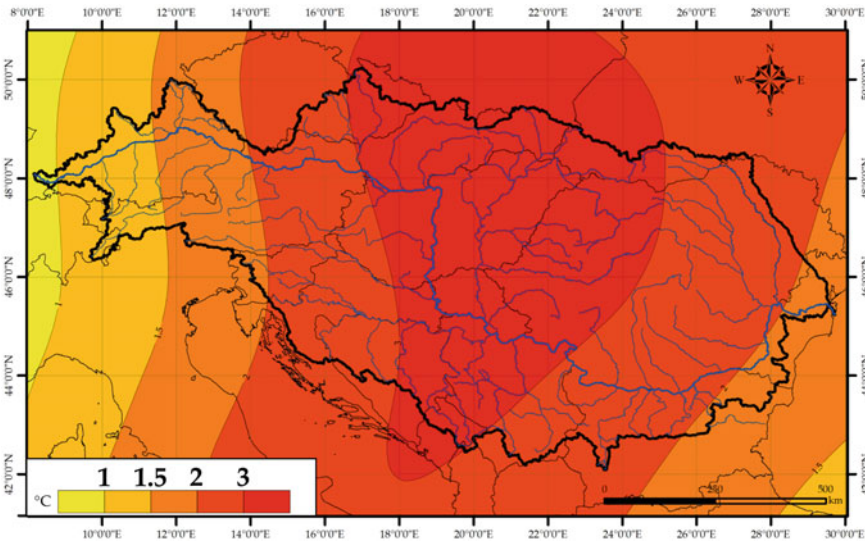
**Fig. 11** Mean air temperature anomalies for 14–16 and 18–19 January 1998, days with various southerly circulation types (8, 10) over the Danube Basin; Data source: [20]

As discussed before, the rapid warming due to warm air advectations in the first half of April, following a month of March which is rich in snow and colder than usual, represents the key element for the spring hydrological events. Therefore, rapid snow melting occurs especially in the mountain area of the Danube Basin being caused by a combination of southerly circulation types (2, 7 and 10) that are overrepresented in the 10-day interval before the April events (Fig. 12).

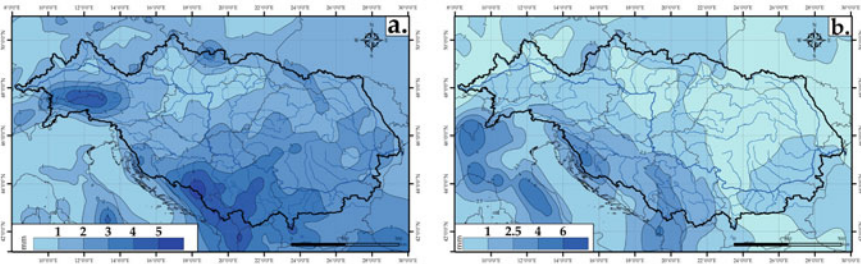
For the 90 days before the event we spot a high frequency of the circulation type 5 (common for Mediterranean cyclones) or 8 (low pressure over central Europe) that are capable of bringing important quantities of precipitation over large regions inside the Danube Basin. The high frequency of these weather patterns increases especially the snow amount in the mountains during the winter months, but also during March (Fig. 13).

(iii) *Late spring events* are characterized during the 10 days before the occurrence by the overrepresentation of T5 related mostly to Mediterranean cyclones and the high frequency of T12 showing the presence of a ridge structure over central Europe. Within the days with circulation type 5 the highest precipitation amount was recorded in the northern part of the Pannonian Basin and north-west Romania (Fig. 14a). However, the amounts were rather small and do not represent the key element of the event.

From a meteorological perspective, the key feature of these events, is given by the high frequency of circulation types 1 and 2 during the 90 days before the event. These conditions are related to long wave trough and low pressure area over the central part of Europe that determine high amounts of precipitation



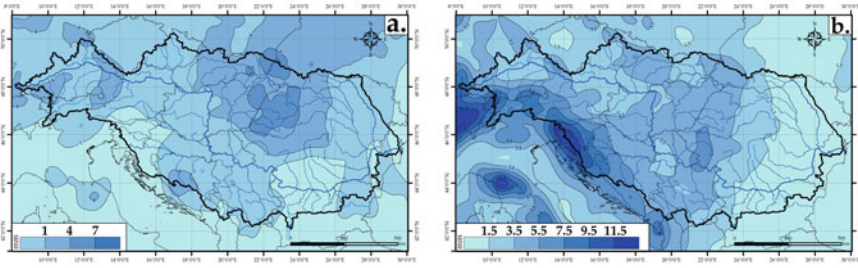
**Fig. 12** Mean air temperature anomalies at 850 hPa associated with 10 days with southerly circulation types 2, 7 and 10 during the 10 days before the April hydrological events in Danube River Basin (Data source [20])



**Fig. 13** Daily precipitation amount for the 32 days with circulation type 5 recorded during the 90 days before the spring hydrological events (a) and daily precipitation amount for the 10 days with circulation type 6 and 7 recorded during the 10 days before the April hydrological events between 1980 and 2010 in Danube River Basin (Data source [20])

especially over the Dinaric Mountains and the upper part of the Danube Basin (Fig. 14b). A large part of these quantities were recorded as snow during the late winter.

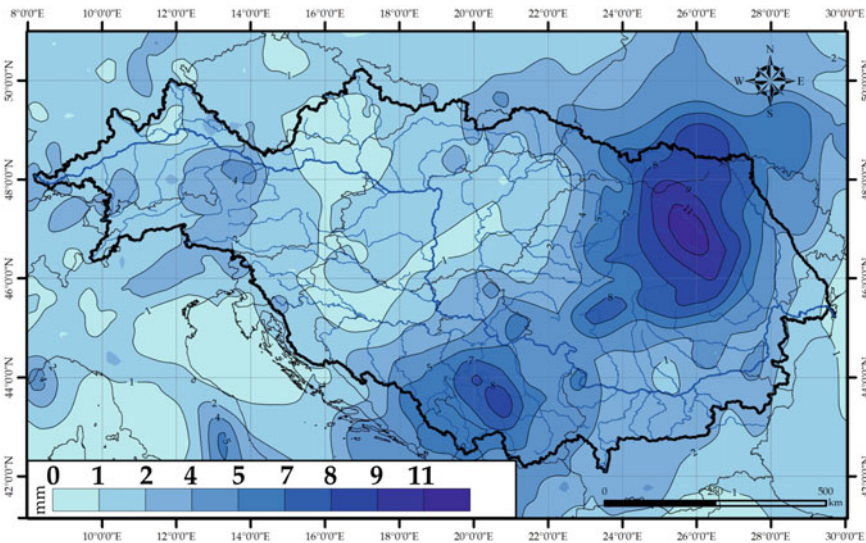
- iv During the *summer event*, the 10 days before the hydrological event seem to play the most important role, being characterized by the persistency of the 5<sup>th</sup> type of GWT atmospheric circulations (Fig. 10d). This type of weather pattern indicates a low-pressure area centered over the Eastern Mediterranean and southern Anatolia and high-pressure conditions over central and western Europe. This is



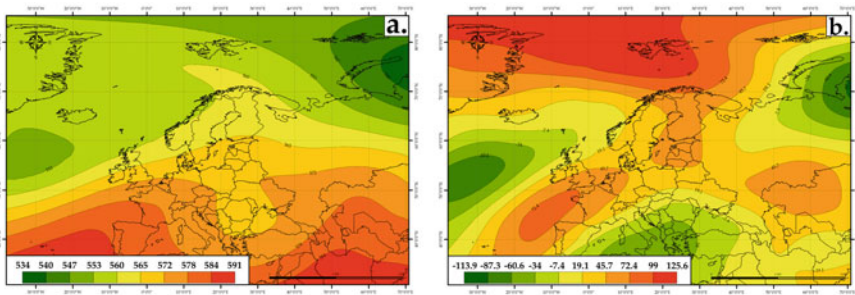
**Fig. 14** Daily precipitation amount for the 2 days with circulation type 5 recorded during the 10 days before the event (a) and the precipitation amount for the 17 days with circulation type 1 and 2 for 90 days (b) before the late spring hydrological events between 1980 and 2010 in Danube River Basin (Data source [20])

commonly the result of the persistency of cut-off low structures over the Danube Basin leading to high amounts of precipitation over the Carpathian Mountains by the mechanism previously explained for the 2010 event (Fig. 15).

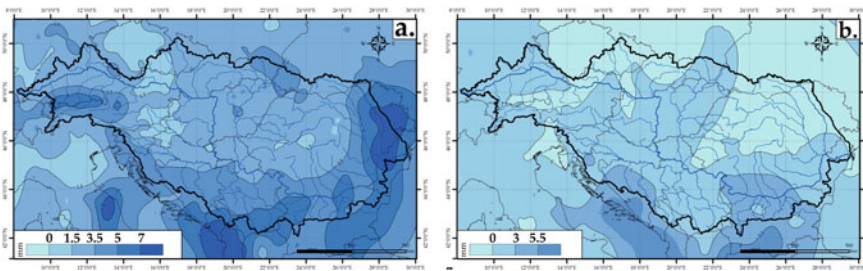
This is easily seen on the composite maps at continental scale that show a long wave trough with its axis prolonged from the Baltic Sea towards the eastern part of the Mediterranean Sea, and a cut-off low structure located in the region of Romania. This long wave trough induces an extensive negative anomaly of the geopotential height over the Mediterranean Sea (Fig. 16a), where it contributes



**Fig. 15** Daily precipitation amount for the 9 days with circulation type 5 recorded during the 10 days before the summer hydrological events from June 2006 and June-July 2010 in Danube River Basin (Data source [20])



**Fig. 16** The geopotential height at 500 hPa (a) and the anomaly of geopotential height at 500 hPa for the days with the circulation type 5 within the 10 days before the event (b) between 1980 and 2010 at European scale (Data source [20])



**Fig. 17** Daily precipitation amount for the 13 days with circulation type 6 and 7 recorded during the 90 days before the event (a) and the precipitation amount for the 4 days with circulation type 7 for 10 days before the autumn hydrological event (b) between 1980 and 2010 in Danube River Basin (Data source [20])

to the development of a vast depression including also the region of Romania (Fig. 16b).

- (e) For *autumn*, the event from November 1998 underlines the role of the long term weather conditions for the occurrence of the historical discharge.

During the 90 days before the event (Fig. 10 e) T6 and T7 were persistent and determined extensive high amounts of precipitation over the Danube Basin (Fig. 17 a, b). As discussed for spring, these circulation types indicate the action of Mediterranean cyclones.

## 5 Conclusion

It is obvious that the excessive precipitation amount represents the main cause of the major flood events occurred in the Danube Basin between 1980–2000. Therefore,

if we can depict precisely the evolution of the synoptic conditions on a large scale, and observe all connections between flood occurrence and weather patterns, we can increase the chances to properly manage and mitigate this kind of events.

The present study aimed to do a thorough research of the synoptic conditions preceding the major floods events and highest discharges on the Lower Danube River from 1980 to 2010, by analyzing two climatic teleconnections (the AO and NAO) considered to be a key-element for the climate variability in the Northern Hemisphere, together with an objective classification of atmospheric circulation types (GWT).

There is a plethora of studies at continental and national level that prove the significant correlation between the increase of streamflow and floods occurrence in the southern part of Europe, and the negative phase of the two indices. Our analysis showed that also the highest discharges and flood events on the Lower Danube River occurred mostly during the negative phases of the AO and NAO. This underlines the role of anticyclonic blocking conditions reigning at continental scale for the high amounts of precipitation recorded over the Danube Basin, which generate large floods.

This large-scale image was detailed through the analysis of the atmospheric circulation types. In this way we observed that, in general, the highest discharges and the major flood events occurred mainly in the days characterized by the cyclonic activity types, and in the 10 and 90-day intervals these situations also happened with a higher frequency. Deep troughs persisting over the central and eastern Europe are able as well to determine high amount of precipitation over the Upper Danube Basin and an intensive south-westerly flow over the southern part of the Danube Basin leading to high amounts of precipitation from the Dinaric and Balkan Mountains.

It is somehow surprising that anticyclonic conditions persistent over the Danube Basin are present before the hydrological events, meaning that some high discharges and flood events were preceded by dry periods after which the transition from extremely dry to extremely wet weather was very rapid.

Generally, the most common events specific for the month of April are triggered by a combination of snow melting and high amounts of precipitation and we have shown both the weather patterns leading to intensive warm air advection, but also to high amounts of precipitation. The most impressive hydrological events instead are those occurring during the summer and are determined by intense cut-off low conditions prevailing over the Danube Basin.

## 6 Recommendation

In brief, identifying precisely the GWT circulation types associated with the occurrence of extreme hydrological events enhances the overall capacity to predict such events in advance, being able to sustain a long-term hydrological forecast on the Lower Danube. Further studies could apply the same analysis to other sectors along the Danube for an integrated hydrological forecast system at catchment level.

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**Conflict of Interest** Authors declare no conflict of interest.

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# Assessment of Soil Erosion and Torrential Flood Susceptibility: Case Study—Timok River Basin, Serbia



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Natalija Momirović, Marko Langović, Tomislav Stefanović, Milan Radović,  
and Radislav Tošić

**Abstract** The territory of Serbia is vulnerable to natural hazards. The most frequent and destructive hazard regarding huge material damage, loss of human lives, and environmental problems are the torrential floods. The main objective of this study is susceptibility assessment to soil erosion and torrential floods in the Timok River Basin using Erosion Potential Method (EPM) and Flash Flood Potential Index (FFPI). The erosive processes in the Timok River Basin belong to the medium erosion ( $Z_{av} = 0.42$ ), which represents the third category of devastation. More than half of the basin is in the category of very weak and weak erosion, but also the category of medium erosion is geospatial dominant (45.71%). The Timok River Basin is endangered by soil erosion, with 812 registered torrents, and it stands out in comparison with other rivers of Eastern Serbia. In the inventory of torrential flood events, which was made for the territory of Serbia for the period 1915–2013, 40 torrential floods and 21 casualties were recorded within the Timok River Basin. According to the number of casualties, the Timok River Basin is ranked on second place in the Serbian territory.

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Analysis of the FFPI values revealed that the class of very high susceptibility to torrential floods is registered on 2.24%, and a class of high susceptibility on 43.05% of the total basin area. This shows that 45.29% of the Timok River Basin is highly susceptible to torrential floods which indicates that this data should be seriously taken into consideration. The medium susceptibility class occupies 49.47% while 5.24% of the total river basin area belong to the low class. Therefore, only 5% of the basin are not significantly threatened by torrential floods. It was determined that the average annual rate of sediment transport in the Timok River Basin is about 833,682.83 t yr<sup>-1</sup>. In addition to the damage caused to agricultural land and infrastructure, erosion sediments and torrential floods contribute to significant siltation of the Danube River.

**Keywords** Soil erosion · Torrential floods · Erosion Potential Method (EPM) · Flash Flood Potential Index (FFPI) · Susceptibility · Timok River Basin · Serbia

## 1 Introduction

The territory of Serbia is vulnerable to various types of natural hazards. Floods and torrential floods are considered the most frequent and the most significant natural hazards within the territory of Serbia [1, 2]. Based on the available information obtained from various reliable sources in Serbia, it was registered 848 flood events and over 133 casualties in the period 1915–2013 [3].

Torrential floods occur in the hilly-mountainous regions in Serbia, endangered by intensive soil erosion processes, which are favored by the forest degradation and destruction. In such areas, special hydrological conditions are established, which change runoff regime, and are characterized by large flood waves and long periods of drought after that. Forest ecosystems are a powerful agent in reducing flood peak frequency, soil erosion and sediment transport intensity, in a certain drainage basin [4, 5]. The annual sediment yield for the Republic of Serbia amounts to 45,607,559.00 t yr<sup>-1</sup> which is four times more than the value of geological erosion [6].

According to the sediment management concept, the interdependence between erosion and sedimentation phenomena is important and needs to be investigated. Most of the sediment produced within the territory of Serbia, entrained along with the river network, reaches the main watercourses that flow into two large rivers—Sava and Danube. Sediment transport in rivers is related to the erosion processes within the corresponding river basins. The sediment transport rate, in a given river, can be expressed in terms of unit-area sediment yield. Serbia's river sediment budget was approximated based on existing sediment database, taking into account the erosion map and hydrological characteristics of significant watercourses. Downstream from Belgrade, after the confluence of the Velika Morava River, the Danube's yearly average suspended sediment load is of 18.5 million tons, most of which remains within the Iron Gates I reservoir [7]. It was estimated that this reservoir retains up to 77% of the suspended sediment volume supplied by the Danube River [8]. Sediment transport over the dam is directly dependent on the water flow, and it varied from 0.95

(in the dry year 1990) to 6.62 million tons per year (in 2006) [9]. The annual average amount of suspended sediment supplied by the Danube River in its delta decreased by more than 50% after the Iron Gate I dam construction [10, 11]. Unfortunately, apart from short term sporadic measurements of suspended sediment load on individual tributaries in the Timok River Basin, there is a lack of sediment transport monitoring.

The Timok River Basin is endangered by soil erosion, with 812 registered torrents [12] and it stands out in comparison with other rivers in Eastern Serbia. Considering the number of registered torrential floods, the Timok River Basin is in sixth place in Serbia, with 40 recorded events and more than 21 casualties. Regarding to the number of registered casualties, the Timok River Basin is on the second place, right after the Južna Morava River Basin. Previous research indicated that the frequency of torrential floods occurrence within a period 1915–2013 has a significant increment: the number of registered events is more than doubled comparing the latest period (1991–2010) with the first one (1929–1960) [13–15]. The most catastrophic torrential flood in the Timok River Basin occurred in May 1915 and caused the loss of 21 human lives. This was one of the most catastrophic floods in the twentieth century in Serbia, which affected the river basins of Beli, Crni and Veliki Timok. The emphasized phenomenon of torrential floods in the Timok River Basin is explained by intensive erosion processes and high density of torrents. In addition to the damage caused to agricultural land and infrastructure, erosion sediments and torrential floods contribute to significant siltation of the Danube River.

In this context, the present chapter aims to investigate the contribution of the Timok River in total suspended sediment discharge of the Danube River, and to assess the susceptibility to soil erosion and torrential floods in the Timok River Basin, by using Erosion Potential Method (EPM) and Flash Flood Potential Index (FFPI), estimated in GIS environment. The approaches for using Erosion Potential Model (EPM) [16] in combination with GIS techniques represent a great potential for gross erosion prediction and sediment transport calculations at the river basin scale or regional scale.

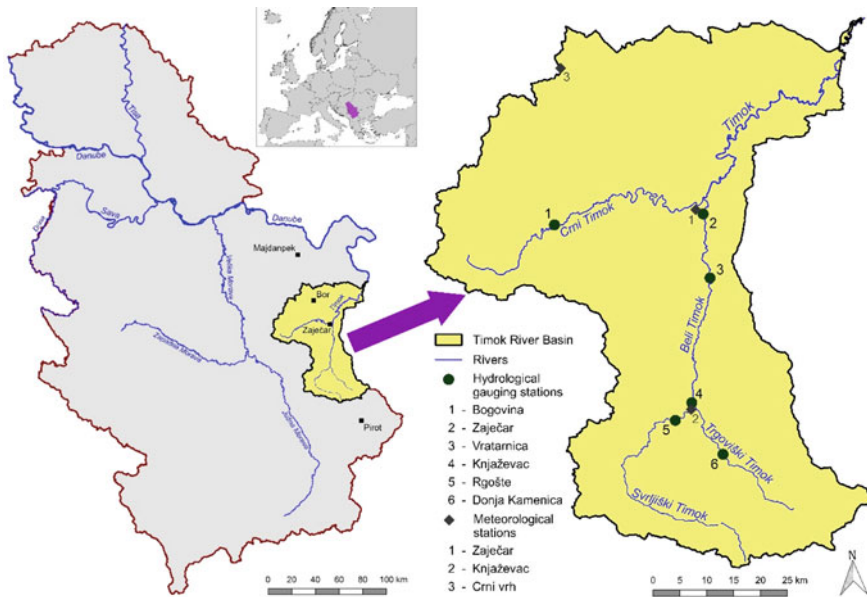
In Serbian territory, several papers indicate that soil erosion is the major problem associated with land use and climate changes [6, 17–19], but measured data on the sediment transport in torrential watercourses are insufficient (except in some scientific-research studies). The Republic Hydrometeorological Service of Serbia (RHMSS) performs measurements of suspended sediment concentration and sediment transport only on large alluvial watercourses. In the Timok River Basin the intensity of soil erosion and torrential flood susceptibility have not been explored much. In this context, knowing the contribution of the Timok River in total suspended sediment discharge to the Danube River, we identified the areas endangered by accelerated erosion and torrential floods, as well as the areas with different degrees of susceptibility to the occurrence of these natural hazards.

Beside the agricultural land, in the Timok River Basin, the road network is considerably endangered by torrents since dense network of torrents intersects with roads on many locations. To prevent the potential damage in urban areas, agricultural land and traffic communications (roads and railways), the degree of torrential flood susceptibility in various watercourses in the Timok River Basin was assessed. By

implementing appropriate measures to mitigate erosion, the amount of sediment that will reach the Danube River will be reduced. The data presented in this paper has significant interest for practical issues such as integrated water management projects, sustainable and land-use planning, spatial planning, forest ecosystems and environmental protection, sediment management, etc.

## 2 Study Area

The Timok River Basin is located in the eastern part of Serbia (Fig. 1) and covers 5.13% of the country's area. The Timok River is classified as a middle-sized river in the territory of Serbia according to its length (218.2 km) and the basin area (4,529.51 km<sup>2</sup>). In longitude, the watershed extends between 21°39'06,8'' E and, 22°40'32,3'' E, and in latitude, between 43°17'54,5'' N and, 44°12'57,9'' N. The highest point in the basin is located on the Stara Planina Mountain, at 2,077 m a.s.l., while the lowest altitude is found at the confluence of rivers Veliki Timok and Danube (the lowest point in the Republic of Serbia), at 28 m a.s.l. (Table 1). The height difference between these two extreme elevation points is 2,049 m.



**Fig. 1** Location of the Timok River Basin in Serbia

**Table 1** The basic morphometric parameters of the Timok River Basin

Parameter	Code	Unit	Timok River
Area	A	km <sup>2</sup>	4,529.5
Perimeter	P	km	480.5
Highest point	Hp	m.a.s.l	2,077.0
Confluence point	Cp	m.a.s.l	28.0
Mean altitude	Am	m.a.s.l	487.6
Length of the main river	L	km	218.2
Mean channel slope	Sm	%	0.94
Average drainage basin differences	D	km	0.46
Drainage density	Rd	km km <sup>-2</sup>	0.61

## 2.1 Geological and Geomorphological Characteristics

The Timok River Basin is built of the most diverse metamorphic, igneous and sedimentary rocks. This area had a complex and long geological evolution that can be traced from the Paleozoic, through the Mesozoic and Cenozoic to the formations that were shaped in the recent past. In this long period, there was an irregular change of depositional and terrestrial environments, which resulted in a very diverse geological structure and lithology.

The analysis of hypsometric characteristics of the Timok River Basin (based on DEM 100 m resolution) shows that only 8.94% (404.84 km<sup>2</sup>) of its territory is located at the altitude of less than 200 m a.s.l., while altitudes from 200 to 500 m a.s.l. cover 49.39% (2,237.15 km<sup>2</sup>) of the total basin area. Altitudes up to 500 m a.s.l. cover 58.33% of the Timok River Basin (2,642 km<sup>2</sup>), altitudes between 500 and 1,000 m a.s.l. accounts for 38.35% (1,737.23 km<sup>2</sup>), those between 1,000 and 2,000 m a.s.l. occupy 3.32% (150.24 km<sup>2</sup>) and altitudes over 2,000 m a.s.l. cover only 0.001% of the Timok River Basin (0.04 km<sup>2</sup>). Based on the presented data, it was calculated that the average elevation of the Timok River Basin is 487.6 m a.s.l.

The hypsometric map (Fig. 2) shows that the altitudes lower than 500 m a.s.l. (respectively hilly relief) are the most dominant in the Timok River Basin. This is followed by low-mountainous relief and middle-mountainous relief from 1,000 to 2,000 m a.s.l. These three ranges account 96.58% of the basin's territory. Presence of altitudes higher than 500 m a.s.l., in the Timok River Basin, receiving the largest amounts of precipitation, is especially important for the intensity of surface runoff and the occurrence of torrential floods.

By analyzing the slope gradient map of the Timok River Basin (Fig. 2), it was determined that the values up to 10° are spread over 49.12% of the total territory, while slope gradients of 10–20° cover 30% of the basin area. Areas characterized by slope gradients greater than 20°, cover 20.82% of the Timok River Basin. These slopes are very important for the formation of torrential flood waves and increased intensity of soil erosion. The average slope angle of the study area is 12.3°.

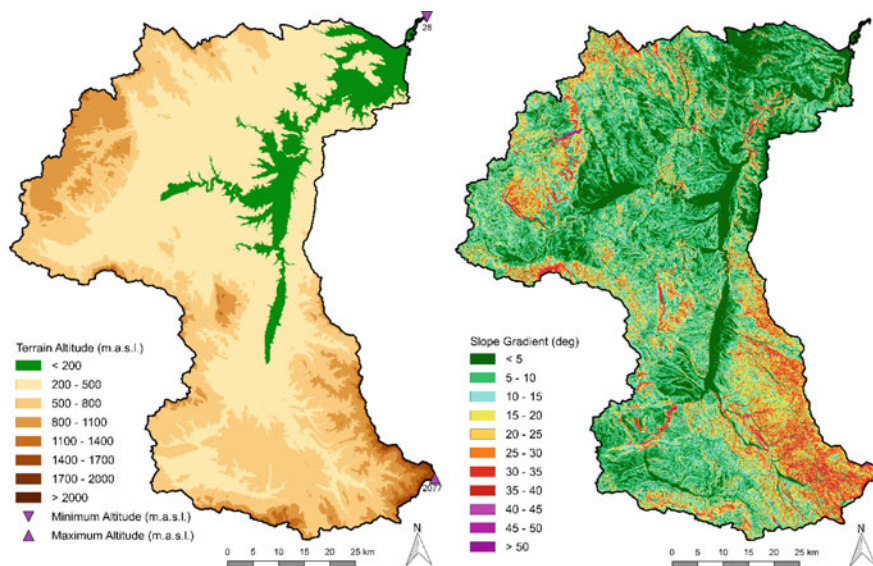


Fig. 2 Hypsometric (at left) and slope gradient map (at right) of the Timok River Basin

## 2.2 Climatological Characteristics

Climatological characteristics are one of the most important factors controlling the intensity and type of erosion and torrential processes. Three meteorological stations are located in the Timok River Basin: Zaječar, Knjaževac, and Crni vrh (Fig. 1). Based on the available data, for the period (1968–2017), the most significant meteorological parameters for the development of erosion processes, the air temperature and rainfall, were analyzed.

In the Timok River Basin, during the analyzed period, the highest average annual air temperature was recorded at the Zaječar meteorological station (10.9 °C), while the lowest was registered at the Crni vrh (6.5 °C). The coldest month at all stations is January, while July is the warmest month at stations on lower altitudes (Zaječar and Knjaževac), while the warmest month at the Crni vrh station is August (Table 2). The annual temperature amplitude ranges from 20.3 °C (Crni vrh) to 22.5 °C (Zaječar). The average annual air temperature for the whole Timok River Basin is 9.1 °C. At the beginning of the April, a sudden increase of air temperature in the mountainous parts of the Timok River Basin is observed. This increase intensifies the snow melting process, which further leads to the increase of river discharges and to the occurrence of torrential floods.

The amount, frequency, and type of precipitation have a major impact on river discharge, flood occurrence, intensity of the erosion processes. Based on the data from the meteorological stations Zaječar, Knjaževac, and Crni vrh, the pluviometric regime for the period 1968–2017 was analyzed. The annual amount of precipitation



**Table 2** Average monthly and annual temperatures (°C) in the Timok River Basin (1968–2017) [20]

Meteorological stations	Altitude (m)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	Year
Zaječar	144	-0.6	1.3	5.8	11.4	16.5	20.1	21.9	21.2	16.5	10.5	5.1	0.8	10.9
Knjaževac	250	-0.6	1.4	5.7	11.1	16.1	19.6	21.3	20.7	16	10.3	5.2	1.05	10.7
Crni vrh	1,027	-3.7	-2.7	0.9	6.1	11.0	14.2	16.5	16.6	12.2	7.3	1.7	-2.3	6.5

**Table 3** Average monthly and annual precipitation (mm) in the Timok River Basin (1968–2017) [20]

Meteorological stations	Altitude (m)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	Year
Zaječar	144	42	41	42	49	66	66	56	46	44	52	54	51	583
Knjaževac	250	40	42	45	51	65	63	59	43	46	50	51	50	605
Crni vrh	1,027	44	43	50	69	92	108	81	62	71	61	57	54	792

is higher with the increase of the altitude. Thus, the highest amounts of annual precipitation were recorded at the Crni vrh (792 mm), while the lowest at the Zaječar station (583 mm) (Table 3). The highest amount of monthly precipitation is registered in June in the northern part of the Timok River Basin (Zaječar and Crni vrh), and in May in the southern part (Knjaževac).

In the Timok River Basin, the annual precipitation varies from 600 to 800 mm. The area of lower precipitation values (below 600 mm) mainly corresponds to the lower part of the Timok River Basin and its tributaries. The average annual precipitation for the whole watershed in the period 1968–2017 is 673 mm.

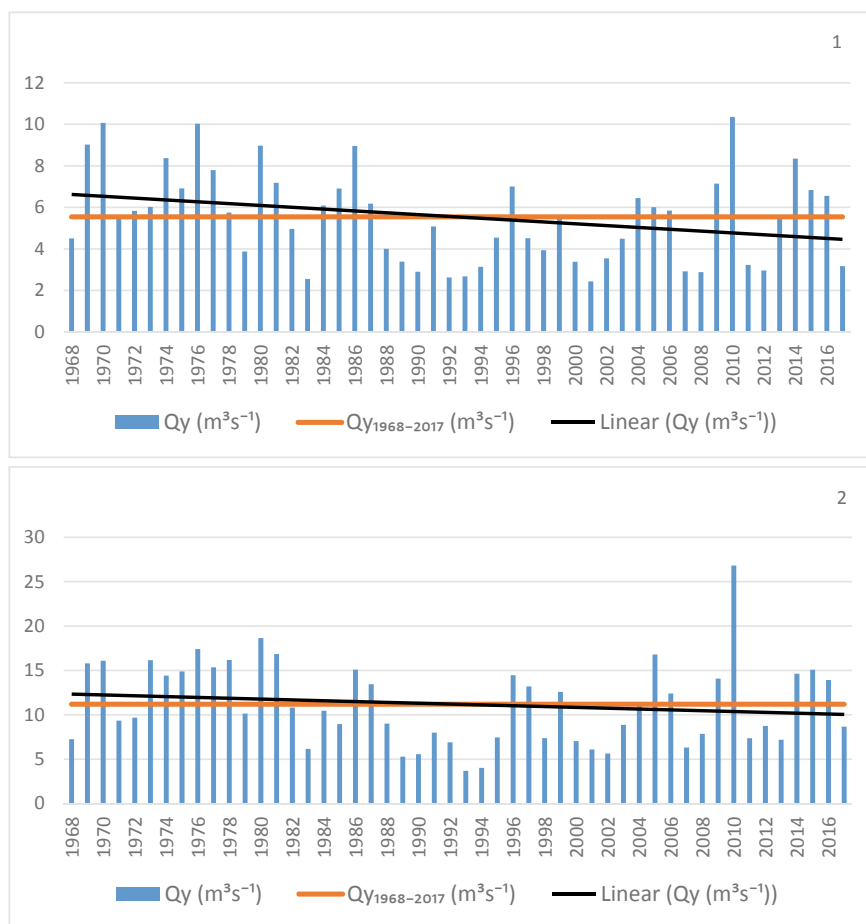
### 2.3 Hydrological Features

Timok River is also known as the Veliki Timok River, due to its origin from the Beli Timok (right side tributary) and the Crni Timok (left side tributary) that confluence near the city of Zaječar (Fig. 1). The total length of the Timok River (with components Beli Timok and Trgoviški Timok) is 218.2 km, while its basin covers an area of 4,529 km<sup>2</sup>. The last 15.5 km of its course, before the confluence into the Danube River, the Timok River represents a border river between Serbia and Bulgaria [21]. In the Timok River Basin, there are 10 active hydrological gauging stations, where measurements of the most important hydrological parameters are performed. However, only six stations (Fig. 1) continuously register river discharges in the period of 50 years (1968–2017). The basic characteristics of these stations are presented in the Table 4.

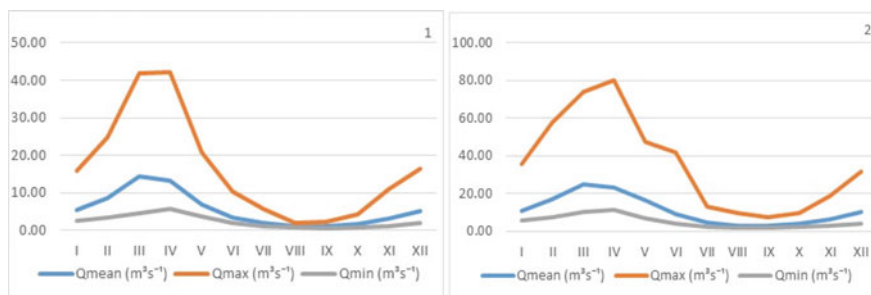
The Mann–Kendall test was used to identify the existence of a trend in the variability of the mean annual discharges. The test results indicated that annual discharges have a dominant decreasing trend in the period 1968–2017, which is in accordance with the majority of rivers in the Republic of Serbia [22, 23]. Out of 6 investigated hydrological gauging stations in the Timok River Basin, an increasing trend of mean annual discharges was not recorded at any station, while a decreasing trend was observed in all six hydrological profiles, mainly without a statistical significance. A moderate statistically significant decreasing trend was registered at the Donja Kamenica station (Trgoviški Timok River) with an average decrease rate of 0.029 m<sup>3</sup>s<sup>-1</sup> year<sup>-1</sup>.

**Table 4** The basic data for hydrological gauging stations in the Timok River Basin [20]

	Hydrological gauging stations	Rivers	Altitude (m)	Basin area (km <sup>2</sup> )	Annual mean discharge (m <sup>3</sup> s <sup>-1</sup> ) for period 1968–2017
1	Bogovina	Crni Timok	221.57	467	5.5
2	Zaječar	Beli Timok	124.41	2,150	11.1
3	Vratarnica		149.76	1,771	9.43
4	Knjaževac		208.71	1,242	7.65
5	Rgošte		Svrljišk Timok	225.96	618
6	D. Kamenica	Trgoviški Timok	270.17	360	3.13



**Fig. 3** Hydrographs of mean annual discharges ( $Q_y$  in  $m^3s^{-1}$ ) and their linear trends for station Bogovina on Crni Timok (1), and Zaječar on Beli Timok (2)



**Fig. 4** Hydrographs of mean, maximum, and minimum monthly discharges for two selected stations Bogovina on Crni Timok (1), and Zaječar on Beli Timok (2) in the period 1968–2017

In Fig. 3 variations of mean annual discharge values for the two main stations on the Crni Timok (at Bogovona) and Beli Timok (at Zaječar), in the period 1968–2017, were shown. The highest values of mean annual discharge, at the station Bogovona (Crni Timok River), were reached in 2010 ( $10.36 \text{ m}^3\text{s}^{-1}$ ), 1970 ( $10.1 \text{ m}^3\text{s}^{-1}$ ), and 1976 ( $10 \text{ m}^3\text{s}^{-1}$ ) (two times higher than average for the entire observed period,  $5.5 \text{ m}^3\text{s}^{-1}$ ). The lowest annual discharges were registered in 2001 ( $2.44 \text{ m}^3\text{s}^{-1}$  which is about 55% less than average). Similar data were measured at the Zaječar station with highest mean annual discharges in 2010 ( $27.5 \text{ m}^3\text{s}^{-1}$  which is two times higher comparing to the average of  $11.1 \text{ m}^3\text{s}^{-1}$ ), while the lowest discharge values were measured in 1994 (only  $2.65 \text{ m}^3\text{s}^{-1}$  or about three times less than average).

The monthly and seasonal runoff distribution over a year is rather uneven. The amplitude of the discharge shows that the maximum average monthly discharge is around 7 times higher than the minimum average monthly discharge (stations Bogovona and Zaječar), indicating an uneven flow regime (Fig. 4). The overland flow and groundwater are the lowest in summer and autumn—in August, September, and October (as a consequence of summer—autumn droughts) while in spring months (April and March) have the highest values (as a consequence of the snow melting in the highest parts of the basin and significant precipitations in spring). Therefore, all watercourses in the Timok River Basin belong to the rainy-snowy water regime. In some parts of the basin, the relationship between surface and underground runoff is different. It has been established that with the increase of altitude underground runoff increases at the expense of surface runoff.

One of the most specific year in the Timok River Basin, in terms of high wateriness and occurrence of floods, was 2010, when the maximum daily discharge for the entire researched period, was recorded, on February 21 at Zaječar station ( $297 \text{ m}^3\text{s}^{-1}$ ). It occurred as a consequence of heavy few-hour precipitation and snow melting process, especially in the subbasin of the Beli Timok River. The city of Zaječar was the most affected by the 2010 flood, when more than 600 households were endangered by this natural disaster. The minimum daily discharge, at the same station, was recorded on November 10, 1975 (only  $0.15 \text{ m}^3\text{s}^{-1}$ ). This fact shows that the discharge of the Timok River is unbalanced and that the river has the torrential flow.

## **2.4 Land Use**

The analysis of the land cover (based on Corine Land Cover—CLC database in 2012) shows that out of the total number of classes that characterize the land cover in Serbia, 21 CLC classes are presented in the Timok River Basin. The CLC class 311 (deciduous forests) dominates, covering 39.36% of the total area, followed by class 242 (complex of agricultural areas) with 18.82%, class 243 (agricultural areas with a significant share of natural vegetation) with 15.17% and class 324 (woody-shrub vegetation) with 13.95% of the total area of the Timok River Basin. Agricultural areas (CLC classes 242, 243 and 211) cover slightly less than 40% of the total basin, which is a significant area from the aspect of erosion protection.

The forested and agricultural areas cover almost the same percentage of the Timok River Basin (about 40%). Bearing in mind that a large part of the basin is covered by coppice (forests) with a low density, it results that there are significant predispositions in the basin for the development of intensive water erosion processes.

## **2.5 Socio-Economical Features**

The total population in the Timok River Basin is 205,444 (according to the 2011 Census), and the average population density is around 35 inhabitants/km<sup>2</sup>. Demographic resources in this area are weakened by the negative population increase rate and migration process. Population redistribution is characterized to a lesser extent by migrations towards regional city centers—Bor, Majdanpek, Zaječar, Pirot, and to a much greater extent by migration to the other more developed centers in the Republic of Serbia, as well as to the more developed countries in Europe. As a consequence, a large number of smaller settlements is facing demographic extinction.

The economic development of this area is based primarily on the existence of a great diversity of natural conditions and resources. Favorable climatological and hydrographical conditions, geological characteristics and fertile land have contributed to the development of agriculture. Mineral resources, copper and gold ores, and deposits of gravel, sand, fire brick and other building stones have been exploited for centuries in the Timok River Basin.

One of the greatest development potential of the settlements in the Timok River Basin represent their advantageous location in the terms of transport infrastructure, especially road and railway network.

## **3 Methods**

Research of the erosion intensity and susceptibility to torrential floods in the Timok River Basin include the following activities: the study of physical characteristics of

the river basin, analysis of vegetation cover and creation of land use map; analysis of the soil erosion intensity and creation of soil erosion map with Erosion Potential Model (EPM); calculation of average annual gross erosion and sediment transport with Erosion Potential Model (EPM); assessment of susceptibility of basins to torrential floods by using the Flash Flood Potential Index (FFPI).

In Serbia, as well as in the other countries in the Balkan region [24–27] the estimation of soil erosion potential is generally achieved with the Erosion Potential Model (EPM) [16]. The analytical equation for calculation of the annual volume of detached soil (gross erosion) due to water erosion [16] is:

$$W_{\text{year}} = T \cdot H_{\text{year}} \cdot \pi \sqrt{Z^3} \cdot F \quad (1)$$

where:  $W_{\text{year}}$  is average annual gross erosion ( $\text{m}^3\text{yr}^{-1}$ ),  $T$  is temperature coefficient in the form:  $T = (0.1 \cdot t + 0.1)^{0.5}$ , where  $t$  is the mean annual air temperature ( $^{\circ}\text{C}$ ),  $H_{\text{year}}$  is the average yearly precipitation (mm),  $F$  is the river basin area ( $\text{km}^2$ ), and  $Z$  is the soil erosion coefficient, which can be estimated using corresponding tables or can be calculated with the following equation [16]:

$$Z = Y \cdot X \left( \phi + \sqrt{I} \right) \quad (2)$$

in which,  $Y$  is the soil erodibility coefficient,  $X$  is soil protection coefficient,  $\phi$  is erosion and stream network developed coefficient and  $I$  is average slope steepness of the basins, in degree.

The quantitative values of the erosion coefficient ( $Z$ ) have been used to separate erosion intensity to classes or categories. The calculation of  $Z$  enables observations of changes in the soil erosion intensity since the formula includes the parameters which have been changed by the performed erosion control work.

After the total annual gross erosion rates are calculated, it is necessary to determine the sediment delivery ratio ( $R_u$ ) for actual sediment transport calculation. Gavrilovic [16] has suggested the following equation for assessing of the sediment delivery ratio:

$$R_u = \frac{\sqrt{OD}}{0.2(L + 10)} \quad (3)$$

where,  $O$  is the perimeter of the basin (km),  $D$  is the average drainage basin difference (km) and  $L$  is the length of the watershed (km).

Finally, the average annual sediment transport ( $G$ , in  $\text{m}^3\text{yr}^{-1}$ ) was calculated as [16]:

$$G_{\text{year}} = W_{\text{year}} R_u \quad (4)$$

Total sediment transport includes suspended sediment and bedload. The percentage of bedload is determined by the equation [16]:

$$\tau = \frac{Z(Y_v - 1)}{\pi Y_s} \tag{5}$$

where,  $\tau$  is the percentage of bedload sediment transport in the torrent basin,  $Z$  is the erosion coefficient,  $Y_v$  is the volumetric weight of bedload sediments ( $t\ m^{-3}$ ),  $Y_s$  is the volumetric weight of suspended sediments ( $t\ m^{-3}$ ).

Using EPM model in combination with GIS techniques represent a great potential for gross erosion prediction and sediment transport assessment at the river basin or regional scale [17–19]. In this study, GIS was successfully integrated with EPM model aiming to determine surfaces with the same quantitative erosion category, but also to define the soil erosion coefficient ( $Z$ ) for each erosion polygon.

Susceptibility to the occurrence of torrential flood was estimated by using the Flash Flood Potential Index (FFPI) [28]. This method is based on the fact that there is an unbreakable bond between this hazard and certain physical-geographical characteristics of the territory on which it occurs. The value of the proposed index is in the spatial representation of the areas with a flash flood risk, therefore, giving the possibility to prevent the negative effects [29–37]. Calculation of FFPI is performed according to the formula [28]:

$$FFPI = \frac{a_1 M + a_2 S + a_3 L + a_4 V}{\sum_{n=1}^n a_n} \tag{6}$$

where:  $M$  = slope index;  $S$  = soil type index;  $L$  = land use index;  $V$  = vegetation density index;  $a_n$  = sum of weightings. Index values are within the range 1 to 10 (from least to most susceptible). In this case all weightings had value of 1, which means that the next formula is used:

$$FFPI = \frac{M + S + L + V}{4} \tag{7}$$

The slope index is calculated according to the following formula:

$$M = 10^{n/30} \tag{8}$$

where  $n$  = slope in %. If  $n$  is greater or equal to 30%, then  $M$  value is 10.

Considering the fact that there are no soil structure and texture data available for investigated river basin, to calculate soil type index, values from 1 to 10 were given to certain soil types, based on their characteristics which are significant for the development of torrential floods. Land use index is calculated on the basis of CORINE Land Cover 2012 database, where values from 1 to 10 were given to certain types of land cover, depending on the characteristics important for torrential processes. Vegetation density index is obtained by analysis of multispectral images from the LANDSAT 8 satellite, TOA-radiance corrected, and calculating BSI (Bare Soil Index). Due to the fact that the vegetation density index is ranging from 1 to 10, the correlation with BSI values is performed, and the resulting formula is [36]:

$$V = 6.597 \ln(\text{BSI} + 1) + 7.118 \quad (9)$$

After FFPI calculation, the classification of results on the four classes of susceptibility to torrential floods was carried out (very high, high, medium and low susceptibility). Based on the spatial distribution of FFPI values in the basin, all watercourses are classified in 4 classes, representing the potential for flash floods occurrence under appropriate conditions.

## 4 Results

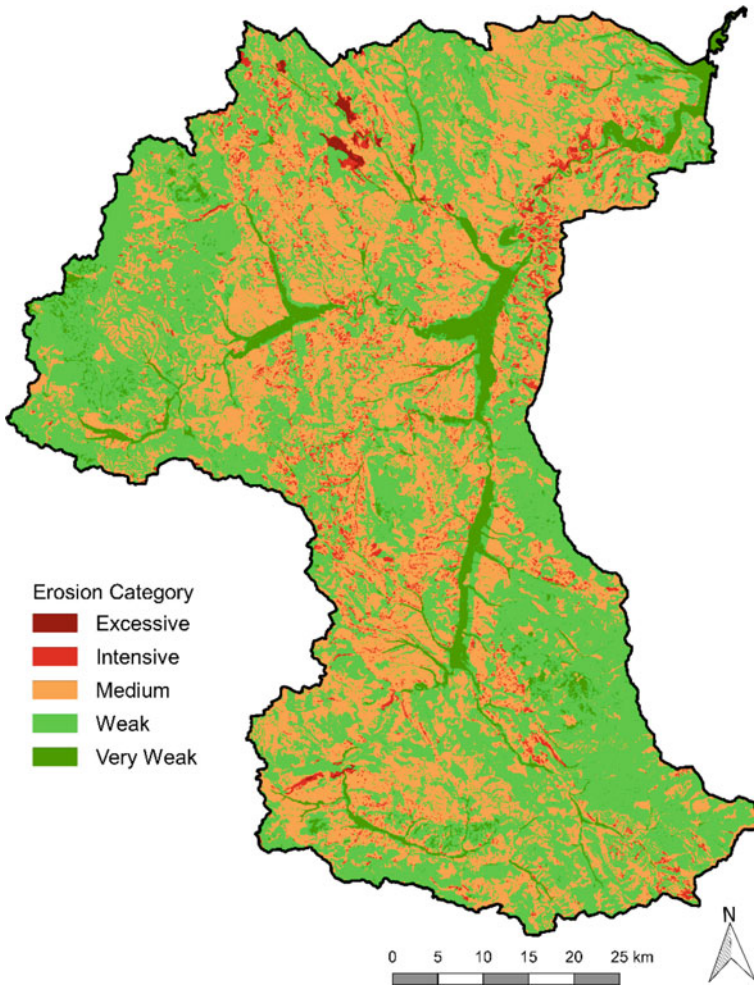
### 4.1 Soil Erosion Intensity

Torrential floods are known to be related to the intensity and spatial distribution of erosion processes in the Timok River Basin. It is of major importance to point out the recent state of soil erosion intensity, because it represents a significant factor of sediment production and transport through torrents, and also a condition for floods occurrence, backfilling culverts and damage to traffic infrastructure.

The Timok River Basin is exposed to strong erosion and therefore stands out compared to other rivers in eastern Serbia. It is possible to distinguish different erosional forms caused by the action of water erosion: uneroded soil, surface erosion, rill erosion, as well as gully erosion. These processes are spread over the whole surface of the Timok River Basin, but their intensity is different depending on the dominance of the controlling factors.

The map of soil erosion intensity (Fig. 5) indicates the distribution of erosion processes, i.e., the spatial vulnerability and degradation of the investigated area by erosion. In the evolution of the soil erosion process, the laminar transfer of fine material (loose silt particles, gravel and fines) occurs first and lasts as long as the water has a low velocity. With the increase of kinetic energy, linear forms of denudation are formed, the intensity and removal of the soil is intensified and accelerated. By reaching watercourses as the basic elements of the lower erosive base, the eroded material modifies the mechanical and accumulative fluvial process, by forming various forms of fluvial relief. This is especially pronounced in the alluvial plains of active meandering rivers, which are characterized as one of the most dynamic and sensitive elements of the fluvial landscape. As a result of sediment transport and accumulation on the convex side of river courses, new forms of fluvial relief (e.g. river bars) are formed. Changes in soil erosion intensity in the upper parts of river basins have a direct impact on accumulative fluvial form modifications in the downstream sectors [38]. Due to the different size of the area that covers and the diversity of relief forms in the Timok River basin, the process of soil erosion can justifiably be included as the dominant geomorphological processes in the investigated area.





**Fig. 5** Map of soil erosion intensity in the Timok River Basin

The prevalence of excessive (I) and intensive (II) erosion categories is small, and the most common areas are affected by the processes of medium (III), weak (IV) and very weak (V) categories of erosion (Table 5). According to the data of erosion coefficient and categories of erosion, these processes in the Timok River Basin belong to medium erosion ( $Z_{av} = 0.42$ ), i.e., to the III category. More than half of the basin area is in the category of very weak (V) and weak (IV) erosion, but the category of medium erosion is also geospatially very represented (45.71%). The presence of the category of medium erosion is related to the parts of the basin with a more pronounced vertical fragmentation of the relief, with a significant slope gradient, without a quality forest cover. This arrangement of the category of medium erosion

**Table 5** Erosion categories in the Timok River Basin

Erosion category	Area [km <sup>2</sup> ]	Percent of the total area [%]
Excessive erosion (I)	11.70	0.26
Intensive erosion (II)	146.78	3.24
Medium erosion (III)	2,070.60	45.71
Weak erosion (IV)	2,011.55	44.41
Very weak erosion (V)	288.89	6.38
Total	4,529.51	100.00

gives opportunities for generation, i.e., production of sediments that will enhance the torrent characteristics of the existing watercourses.

The most endangered part of the investigated area is the subbasin of the Trgoviški Timok River (525 km<sup>2</sup>, which drains the western slopes of the Stara Planina Mt.) and all its numerous tributaries. In the mentioned basin 216 torrents are registered, which are characterized by high relief energy. Unappropriated use (devastation) of forests caused intensive water processes erosion. This subbasin is followed by other parts of the Beli Timok River Basin (without the Trgoviški Timok River Basin) with 151 registered torrents [12].

Based on the erosion map of the Timok River Basin (Fig. 5), it can be noticed that the distribution of the erosion process intensity is related the lithological units in the analyzed basin. Volcanolastics, ultramafics, flysch, and Neogene sediments dominate in areas with medium, strong and excessive erosion. Lands that are prone to erosion appear on the mentioned lithological units. Forests were intensively deforested in the past, while today most forests are not adequate, from the point of view of erosion control and management.

## 4.2 Sediment Transport Assessment

Apart from short term sporadic measurements of suspended sediment load on individual tributaries in the Timok River Basin, there is a lack of sediment transport monitoring. To determine the production and sediment transport in the investigated basin, the method of erosion potential was used [16, 39].

The Republic Hydrometeorological Service of Serbia has been measuring the transport of suspended sediment load on hydrological station Zaječar (Beli Timok River), in the period 1958–2002. These data were processed by the Institute of Water Management “Jaroslav Černi” and as a result, the average annual sediment transport of 326,000.0 t yr<sup>-1</sup> was obtained. The area of the Beli Timok River basin is around 50% of the total area of the Timok River Basin [40].

By using the method of erosion potential, for the entire Timok River Basin, the following results were obtained:

- the average annual gross erosion,  $W_{\text{year}} = 3,206,472.41 \text{ t yr}^{-1}$ ;
- the sediment delivery ratio,  $R_u = 0.26$ ;
- the average annual sediment transport,  $G_{\text{yr}} = 833,682.83 \text{ t yr}^{-1}$ .

In the value of total annual sediment transport, bedload sediments are represented with  $173,101.17 \text{ t yr}^{-1}$  (20.76%) and suspended sediments with  $660,581.66 \text{ t yr}^{-1}$  (79.24%). It is noticed that the sediment delivery ratio in the basin is relatively small, which means that large amounts of produced, eroded sediments are retained in different parts of the basin. The main reasons for this are certainly the elongation of the basin and the low mean slope of the river channel  $S_m = 0.94\%$ . It can be assumed that every year an average of  $833,682.83 \text{ t yr}^{-1}$  of total sediment will reach the Danube River.

The analysis and comparison of the results of the suspended sediment transport for the entire Timok River Basin and the measured suspended sediment discharge for the Beli Timok River Basin is done in order to check the reliability of the assessment method. The measured suspended sediment transport for the Beli Timok River Basin is  $326,000.00 \text{ t yr}^{-1}$ . If this sum is multiplied by two, since the area of the Beli Timok River Basin is about 2 times smaller than the area of the Veliki Timok River Basin, we will get the total of  $652,000.00 \text{ t yr}^{-1}$ , which is a very close amount of suspended sediment transport calculated by EPM method for the whole Timok River Basin ( $660,581.66 \text{ t yr}^{-1}$ ). It can be concluded that the estimated amount of total and suspended sediment transport for the Timok River Basin is realistic, but it can't be concluded that the calculation method and measured data are always exact.

### 4.3 Torrential Floods Susceptibility

Torrential floods, as the most common cause of floodings in the studied basin, occur as a consequence of intense precipitation or sudden melting of the snow cover. The main characteristic of the torrential floods is rapid flow with high concentrations of sediments, short duration and great damage. As a result of high erosion within the basin, sediments are displaced from the area of the watershed to the hydrographic network and further transported in river channels to the Danube River.

Based on the *Flash Flood Potential Index* (FFPI), we assessed and mapped the susceptibility of the Timok River Basin to the occurrence of torrential floods (Fig. 6).

According to the classification of the obtained FFPI values, we found that the class of very high susceptibility is represented on  $101.36 \text{ km}^2$  (i.e., on 2.24% of the Timok River Basin), and the class of high susceptibility on  $1,950.05 \text{ km}^2$  (43.05% of its total area). This shows that 45.29% of the Timok River Basin is very susceptible to torrential floods and this data should be taken seriously. The class of medium susceptibility occupies 49.47%, and the class of low susceptibility 5.24% of the total basin area. Thus, only 5% of the basin is not significantly threatened by torrential floods. Bearing in mind the occurrence of increasingly common rainfalls in recent decades in the form of heavy rains, natural characteristics of the Timok River Basin

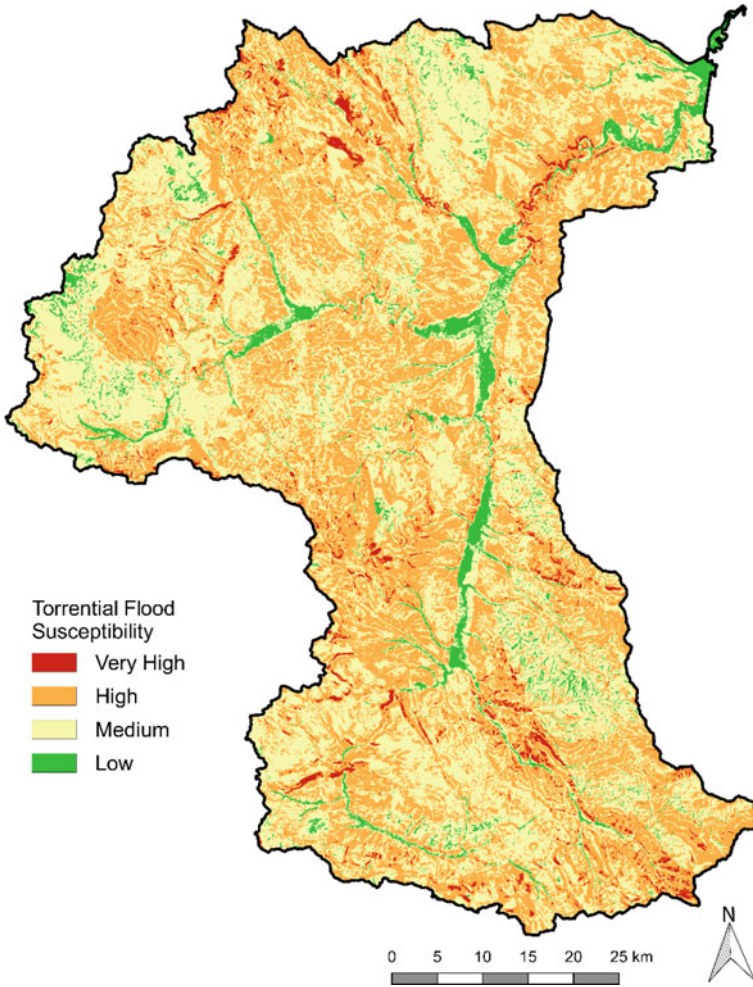


Fig. 6 Torrential flood susceptibility map of the Timok River Basin

and analysis of the FFPI index, it can be concluded that there is a real threat in the Timok River Basin by the torrential floods.

## 5 Discussion

The analysis of physical conditions in the Timok River Basin has unequivocally shown that this area, due to its geomorphological and hydrological characteristics, but also to the land use, is prone to the occurrence of torrential floods. The Timok

River Basin is a good example of acquiring almost all conditions for the occurrence of frequent and large floods on the biggest watercourses—Timok, Beli Timok, Crni Timok, Svrliški Timok and Trgoviški Timok. Except the floods on the Timok River, perhaps an even bigger problem presents the floods on numerous tributaries, because they all have characteristics of typical torrents. On these smaller watercourses defense against torrential floods is significantly different and more difficult than the flood defense in the case of larger watercourses.

Compared to the Kolubara River Basin in Serbia, which is characterized by similar morphometric and hydrological characteristics, and known for catastrophic floods in 2010 and 2014 [41, 42], the results show that the Timok River Basin is more significantly threatened by erosion and torrential floods. According to the erosion coefficient and intensity erosion category, the Kolubara River Basin belongs to the category of weak erosion ( $Z_{av} = 0.35$ ), i.e. the fourth category of intensity/destruction, while the Timok River Basin belongs to the medium erosion ( $Z_{av} = 0.42$ ), i.e., the third category. Also, 25% of the Kolubara River Basin is very susceptible to torrential floods [43], while 45.29% of the Timok River Basin is very susceptible and this data should be taken seriously. It is necessary to emphasize that within the territory of the Timok River Basin there is a network of 924.7 km of roads of first and second category which are threatened by torrential floods. This is confirmed by the fact that there are 539 registered intersections between roads and torrents which are characterized as a potential torrential flood risk locations, of which 431 (80%) are determined as very endangered intersection locations [44]. As concerns the Kolubara River Basin there are 523 intersections between roads and torrents, with potential risk locations of which 58% are identified as very endangered [43].

Compared to the results of similar research, the Timok River Basin is determined as one of the most threatened by torrential floods. In Ukrina River Basin (Bosnia and Herzegovina), 40.86% of its area belong to the high and very high susceptibility category [36], in Bâsca River Basin (Romania) 29% [45], in Jošanica River Basin (Serbia) 21% [30], in the Sărățel River Basin (Romania) about 20% [32], etc.

The soil erosion leads to permanent landscape degradation. The eroded sediments cause turbidity of water (mechanical pollution) which generate ecological problems in aquatic ecosystems with harmful consequences for humans as well. Together with the sediments from the slopes, various substances (nutrients, mineral and organic fertilizers, pesticides, etc.) get into rivers (and later into lakes and reservoirs), causing chemical water pollution and the alteration of the water quality index [46–48]. Such water is not safe for water supply and often cannot be used for industrial purposes, nor for irrigation.

All these problems occur in the Danube River, as a collector of the Timok River. The huge amounts of sediment that reach the Danube River cause the silting of the riverbed which can influence the navigation. The amount of suspended load can cause environmental problems and endanger the survival of river flora and fauna in the downstream sector. On the other hand, torrential floods endanger settlements, transport infrastructure, industrial facilities, agricultural land, and the progress of society as a whole.

In order to diminish the torrential flood occurrence and mitigate their negative consequences, the only successful manner is the prevention. This consists of constant control of soil erosion processes in a river basin applying an integrated system for erosion and torrential floods monitoring. The integrated system consists of optimum land use, appropriate erosion control works (biological, bio-technical, and technical), and erosion control measures. Having in mind on-going climate changes, the increase of extreme precipitation, intensifying of erosion and torrential processes, and as consequences, increasing of torrential floods frequency can be expected [43].

In order to diminish the torrential erosion, also it is necessary to apply appropriate administrative measures such as: prohibition of deforestation, as well as of destruction of meadows and pastures, cultivation on areas with a slope greater than 7%, etc.

## 6 Conclusion

The results showed that 49.21% of the Timok River Basin is endangered by strong categories of erosion. This is in line with the values of the FFPI index which indicated that 45.29% of the Timok River Basin is very susceptible to torrential floods and this data should be taken seriously. The class of medium susceptibility to torrential floods occupies 49.47%, and the class of low susceptibility, 5.24% of the total basin area. It can be concluded that there is an actual endangerment from torrential floods in the Timok River Basin, and only 5% of its area is not significantly threatened by torrential floods. In Serbia, the measured sediment transport data in torrential watercourses is insufficient (except in some scientific-research studies). As a result of the conducted analyzes and calculations, we can estimate that an average sediment amount of 833,682.83 t yr<sup>-1</sup> reach the Danube River. The findings and conclusions of this research would provide base for future water resources management and design of flood mitigation measures in context of changing environment in the Timok River Basin.

Finally, an integrated Timok River Basin management plan, with the proper selection of land use and organization of erosion control works, would bring a balanced runoff regime of the river. Furthermore, the flood peaks and flood occurrence would be diminished, as well their destructive effects. Such activities would lead to the enhancement of the basin's hydrological behavior and, consequently to the mitigation of the risks induced by the torrential floods and soil erosion within the Timok River Basin.

## 7 Recommendation (Future Tasks)

Although the torrential floods are natural induced disaster, the human activities also significantly contribute to their occurrence and intensity. The following measures of soil erosion and torrential flood control and risk mitigation seem appropriate:

1. The implementation of a *Decision Support System* (DSS) for the optimal coordination of torrential flood prevention or mitigation activities.
2. Designing web-platforms for professional data users with an interactive access to hydro-meteorological and hazard information.
3. The creation of a new soil erosion map of Serbia based on the Erosion Potential method.
4. Continuous erosion and torrent control measures in watersheds.
5. The preparation of Plans of Identifying Erosion Regions for each municipality in Serbia.
6. The preparation of Plans of Torrential Flood Control.
7. The preparation of an inventory of torrents for each watershed in Serbia.
8. The documentation of performed erosion and torrential flood control works.
9. Real-time monitoring of rainfall and river discharge (automatic station) for the improvement of forecast and early warning systems.

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# Hydrological Extremes Anomalies and Trends in Lower Danube Basin: Case Study—Romanian Drainage Area Between Siret and Prut Rivers



Ionuț Minea , Marina Iosub , Andrei Enea , Daniel Boicu, and Oana-Elena Chelariu 

**Abstract** Hydrological extremes, as a manifestation of the natural flow regime, have negative impacts on the environment through the effects they produce. Floods cause significant fatalities and economic losses and leave their long-term psychological imprint on local communities. Hydrological droughts also represent complex hydrological phenomena, that have direct negative effects on agricultural productivity. In this study, analysis of the hydrological extremes' including anomalies and trends was carried out across 25,000 km<sup>2</sup> in the eastern part of Romania, between the last two major tributary of the Danube River, namely Siret and Prut. This study investigates the anomalies and trends associated to extreme hydrological events, for both high and low flow events, using data from hydrometric stations in the Siret-Prut area, by applying quantile perturbation method (QPM) and innovative trend analysis (ITA) method, respectively. Also, a magnitude corresponding to each type of hydrological extremes, associated with ITA, was calculated. Data from 11 hydrometric stations was processed, spanning over 64 years of recordings (between 1955 and 2018). Results reveal more trends than expected, related to random occurrence for most of the measures of extreme flow characteristics. Annual and spring maximum flows show a decreasing trend in flow magnitude (for 90% of the hydrometric stations analyzed) with magnitudes ranging between  $-0.089 \text{ m}^3/\text{s}$  and  $-3,070 \text{ m}^3/\text{s}$  on annual level. Low flow magnitudes exhibit both increasing trends (in winter, for 55% of hydrometric stations), and decreasing trends during the spring season (for 64% of hydrometric stations). The results reveal that important investments in the associated infrastructure are required to reduce the impact of hydrological extremes, for both high and low flows.

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**Keywords** Hydrological extremes · Floods · Hydrological droughts · Anomalies · Trends · Magnitudes · Lower Danube Basin

## 1 Introduction

Climatic change can generate significant alterations of hydrological dynamics, both at a local and regional level, emphasizing a general tendency of amplification of extreme hydrological events [1]. Due to this, it is of high importance to identify the anomalies and trends of extreme hydrological events, such as droughts and floods. In addition, this type of analysis aids in increasing the protection level of inhabited areas. This can be achieved at different scales, from the lowest level, associated with a hydrographical basin, up to the scale of a geographical region, and also different environments, from a mountainous region to regional climate areas.

Extreme flow values of rivers, and the corresponding floods and droughts, induce severe hydrological phenomena. These are caused mainly by regional meteorological anomalies, and modified by natural processes, occurring at a drainage basin level, and also by human intervention. Considering this, the effects of global climate change, along with local fluctuations, can generate an unequally distributed response in the manifestation of hydrological extreme events. Therefore, identifying anomalies and tendencies for maximum and minimum flows is highly important [2].

Hydrological research on identifying anomalies and tendencies for hydro-climatic parameters have been mainly driven by the analysis of extreme values of atmospheric precipitation [3], as well as maximum flow rate of rivers [4]. Several results are associated with the impact of climate change upon atmospheric precipitation in climatic conditions specific to the warm [5] and temperate [6] regions. Others have addressed identifying the response of river runoff, to climatic change [7, 8], and also identifying the causes that induce the temporal oscillations of river flows [9].

Determining the tendencies in the aforementioned studies has been based on data sets of medium lengths of seasonal and annual extremes of climatic and hydrologic parameters, by using statistical methods, such as Mann–Kendall test, Sen’s slope and linear regression. Identification of anomalies for extreme climatic and hydrologic parameters has been performed by applying the quantile perturbation method [10, 11]. More novel statistical approaches involve identifying the graphical tendencies of climatic and hydrologic parameters, in relation to previous events (average and extreme), by using the Innovative Trend Analysis (ITA) method [12]. This method can be easily applied for extreme meteorological and hydrological events [13], due to the fact that it does not rely on the restrictive statistical assumption [14].

In relation to the current study area, located in the Lower Danube River basin, in Romania, between Siret and Prut rivers, several studies estimating the tendencies of the different hydro-climatic and hydro-geological parameters have been accomplished, based on the Mann–Kendall test and Sen’s slope linear regression [15, 16]. The entire region has been analyzed from a climate change perspective, and their

effects on the flows of rivers [17], of atmospheric precipitations and extreme temperatures [18, 19], as well as the spatial and temporal evolution of the climatic water balance [20], and the tendencies of the groundwater level [21]. Recent scientific approaches addressed the analysis of water resource vulnerability in the region to the impact of climatic change and anthropogenic activities [22], and also identified the anomalies and tendencies of maximum flows for a number of rivers in the region [23].

Therefore, the analysis of extreme hydrological anomalies represents a continuation of research undergone in this field, within a region of the Lower Danube basin, in the context of ever-increasing effects of the extreme manifestations of regional climate change. Investigating extreme hydrological anomalies can aid in a better understanding of key factors which influence regional climatic processes, with direct effects upon the local, hydrological ones. Furthermore, it can act as a starting ground in creating an efficient water resource management system, in different scenarios of climatic trends.

## 2 Study Area

The Lower Danube basin encompasses a series of areas that overlap the north-western region of the Black Sea. Each area is clearly separated from a geographic point of view, by the tributary network of the Danube River. The study area chosen for identifying the extreme hydrological anomalies extends between Siret and Prut rivers, overlapping the Moldavian Plateau (Fig. 1). These are the last two major tributaries flowing on the left-hand side of the Danube, right before the entry in the deltaic region. They cover a surface of over 20,000 km<sup>2</sup>, which amounts for 2.5% of the Danube River basin. The hydrographic network includes 392 rivers, with a cumulated length of 7696 km, and an average drainage density of 0.38 km/km<sup>2</sup> [24]. The temperate continental climate that characterizes this area, has multi-annual average temperatures varying between 7 °C and 8 °C in the northern region and between 9 °C and 10 °C in the southern part [25]. Consequently, this reveals a nonuniform spatial and temporal distribution of the total amount of precipitation, which has a direct impact on the river flows. Despite the fact that the annual amount of precipitations exceeds 650 mm in the northern area and decreases below 480 mm in the southern part, the seasonal variations indicate the fact that the precipitations occurring during the summer season, are 2–3 times more abundant, compared to the ones registered during the winter season [26].

The flow of inland rivers with lengths of less than 50 km registers significantly low values, with flow rates lower than 1 m<sup>3</sup>/s [27]. The hydrological regime manifests through maximum flow rates during the spring and minimum values during the end of the summer season/beginning of autumn [28]. The maximum flow in the spring season is generated by abundant precipitation and snow melt. However, during



**Fig. 1** Location of the study area in Romania and in the Danube River basin

an entire year, the hydrological regime suffers from a series of anomalies. These are generated by the effects of regional climate conditions (high flow values in the summer, or prolonged hydrological droughts in the autumn) [29, 30].

### 3 Data Base and Methodology

In order to analyze anomalies and extreme hydrological tendencies, 5 sets of data corresponding to maximum and minimum seasonal and annual flow rates were used. The data has been obtained from 11 hydrometric stations. These were chosen, according to the geographical conditions from the upstream drainage basins, where large scale hydrotechnical constructions (such as large man-made reservoirs, embankments, river rerouting, irrigation systems etc.) have not been conducted (Fig. 2). All hydrometric stations are under the management of Prut-Bârlad Water Basin Administration. The time span, for which data was available ranges between 1955 and 2018. Furthermore, all datasets are complete and do not have any data gaps across the entire period.

There were situations where data related to the natural flow of rivers could not be used. Considering the anthropic influences, the maximum and minimum seasonal and annual natural flow rates have been reconstructed. They were based on the minimum and maximum monthly and annual flow rates, registered at the hydrometric stations, by using the following formula:

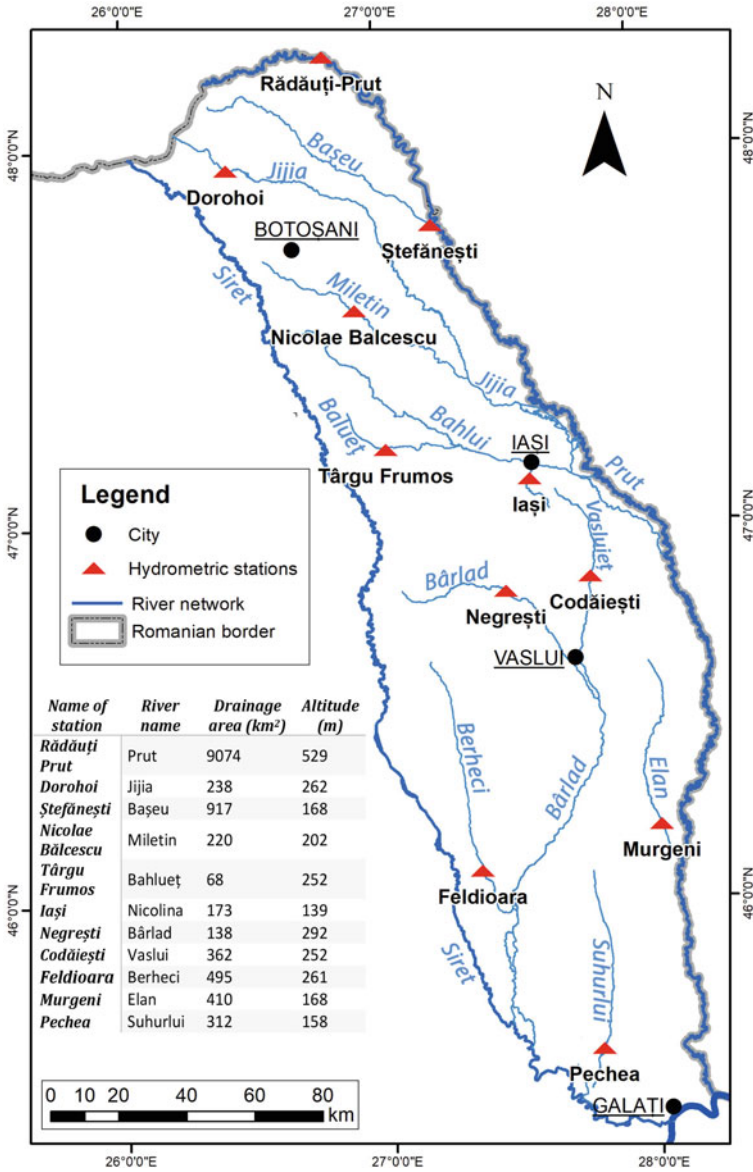


Fig. 2 Location of the hydrometric stations in the study area

$$Q_{nat} = Q_m + \sum Q_c - \sum Q_r$$

where:

$Q_{nat}$ —natural flow rate ( $m^3/s$ );

$Q_m$ —measured flow rate ( $m^3/s$ );

$\sum Q_c$ —the sum of flow rates, used for several purposes, upstream of the hydrometric station;

$\sum Q_r$ —the sum of reconstructed flow rates, used for several purposes, upstream of the hydrometric station (including the addition of flow rates, originated from rerouted courses from neighboring hydrographic basins, or water sourced from infiltration). This formula is applied in cases where, upstream of the hydrometric station there are only significant water consuming use-cases.

In the case of association with several lakes, the aforementioned formula also includes the sum of accumulation/flow rates in/from the accumulation ponds located upstream of the hydrometric station, or for flow rates originated from precipitation, snow melt, or lost through evaporation:

$$Q_{nat} = Q_m + \sum Q_c - \sum Q_r + \sum W_a/W_d + \sum Q_p - \sum Q_E + \sum Q_{GH}$$

where:

$Q_{nat}$ —natural flow rate at a given hydrometric station ( $m^3/s$ );

$Q_m$ —measured flow rate, measured at the hydrometric station ( $m^3/s$ );

$\sum W_a/W_d$ —sum of accumulation/discharge flow rates in/from the accumulation ponds located upstream of the hydrometric station;

$\sum Q_p$ —sum of flow rates originating from atmospheric precipitation, registered on the surface of accumulation ponds, located upstream of the hydrometric station;

$\sum Q_E$ —sum of flow rates derived from evaporation occurring in the reservoirs located upstream of the hydrometric station;

$\sum Q_{GH}$ —sum of flow rates originating from ice formed on the surface of the reservoirs located upstream of the hydrometric station.

In order to identify the anomalies, the quantile perturbation method (QPM) was applied. This method emphasizes the changes occurring at a quantile level, for different time periods, in correspondence to the entire period of analyzed data. The method was applied for maximum and minimum monthly values, registered at the aforementioned hydrometric stations. Therefore, the highest three values of minimum and maximum flow rates for each season and also on an annual basis, have been taken into account. These values were considered as the reference threshold for identifying anomalies. An important step in the statistical analysis stage was the selection of a number of shorter time periods, from the entire time span. This is relevant for emphasizing anomalies. Considering that the entire data set spans for over 64 years, the length of the smaller time periods analyzed was chosen to span over 10 years, for comparison purposes. This was considered justified and appropriate,



due to previous studies, performed on the same type of hydrological parameters [31]. Furthermore, the anomalies are not generated by the occurrence of a random value, or a suite of random values. This was taken into account due to the fact that they could induce significant modifications on the entire data base [32]. Furthermore, the fact that the statistical analysis for the anomalies is based on shorter time intervals required applying the following principles: (i) the time interval between consecutive anomalies that have been identified (both minimum and maximum) is longer than the length of the shorter adjacent time period analyzed; (ii) the presence of larger or more reduced anomalies, compared to a given threshold must be validated; (iii) if, in the range of the same analyzed time period, for more neighboring hydrometric stations, the same minimum and maximum variation of anomalies is detected, they can be considered correct, from a statistical point of view.

In order to identify the tendencies, the Innovative trend analysis (ITA) graphical method was used. This method can be applied on different extreme values of hydro-climatic parameters, and is suitable for data sets that do not depend on restrictive statistical assumptions, during the analysis, such as the common parametric and nonparametric trend tests [33]. Applying this method involves overcoming 2 stages:

1. During the first stage, the entire data set is split into two equal subsets, sorted in an ascending manner;
2. During the second stage, the two subsets are graphically represented into a bidimensional system, of cartesian type, in the form of scatter points. These points are compared to a median line. After the graphical representation, there are points which are placed above the median line, they emphasize the increasing trends, while the points located below the median line reveal the decreasing trends. If the points concentrate along the median line, they do not indicate tendencies. Corresponding to this method, Şen [34] suggests a formula used to calculate the slope of the tendency:

$$S = \frac{2(\bar{y}_2 - \bar{y}_1)}{n}$$

where:  $s$  is slope of trend,  $\bar{y}_1$ ,  $\bar{y}_2$  are the averages of the first and second series, and  $n$  is the total number of the data. Further applications of the ITA method have allowed Wu and Qian [3] to develop an index, for estimating the intensity of the tendency:

$$PI = \frac{1}{n} \sum_{i=1}^n PL_i = \frac{1}{n} \sum_{i=1}^n \frac{10(y_i - x_i)}{\bar{x}}$$

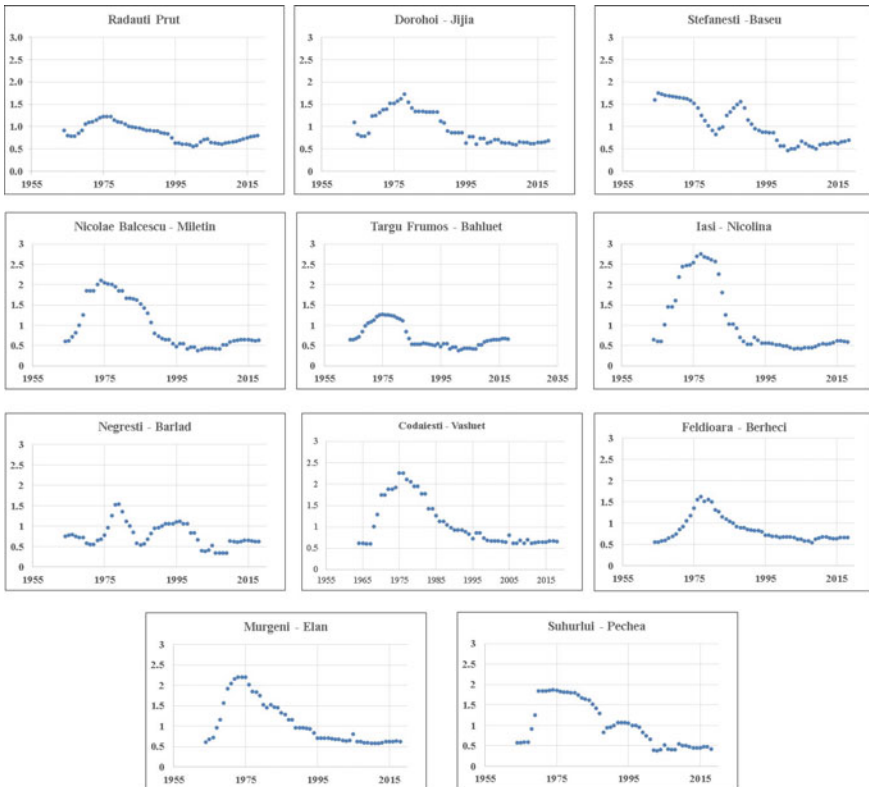
where: PI expresses the intensity of the tendency,  $x_i$  is the  $i_{th}$  value of first ordered sub-series and  $y_{i_1}$  is the  $i_{th}$  value of the second ordered sub-series and  $\bar{x}$  is the average of  $x_i$ .

In this study to analyze the extreme hydrological tendencies, registered between 1955 and 2018, two data subsets were extracted, of 32 years in length, each: 1955–1986 and 1987–2018.

### 4 Extreme Hydrological Anomalies

The dynamic of the extreme hydrological anomalies, based on the annual data series, manages to reveal several decline or growth periods, which can be correlated to the periods characterized by the abundance or lack of atmospheric precipitation. Therefore, regarding the annual maximum flow rates, a constant growth period can be observed, related to all hydrometric stations, reaching maximum values in the mid-1970s (Fig. 3).

This period of positive anomalies regarding annual maximum flow rates, is associated with an interval of pluviometric maximum. The values of the anomaly factor range between 1.2 (at Rădăuți Prut hydrometric station), and 2.7 (at Nicolina hydrometric station). This characterizes the extent of the entire country, between 1965 and 1975 [25]. Therefore, at all 11 stations that were analyzed during this period, maximum values of the anomaly factor were recorded, for maximum annual flow rates. On one side, these values are lower at Rădăuți Prut hydrometric station, due



**Fig. 3** Anomaly factor variation for annual maximum flow rates for the analyzed hydrometric station

to the large surface area of the upstream drainage basin. On the other side, there are higher values at the hydrometric stations with significantly smaller drainage basins (Iași, Codăiești, Murgeni, Pechea). After this time period, for 8 out of the total 11 analyzed stations, a constant decrease in the values of the anomaly factor could be observed for maximum flow rates, which is more pronounced at the hydrographic stations located in the central area (Nicolae Bălcescu, Iași, Codăiești), and less at the following stations: Rădăuți Prut, Dorohoi, Târgu Frumos and Feldioara. Regarding the central-northern part of the study area, a significant tendency of precipitation decreasing can be observed in the 1970–1995 period [29]. Furthermore, the constant tendency in the decrease of values, after the middle of the 1970s, can also be correlated with a series of changes that occurred in the catchment that have generated significant reductions of the maximum flow rates (reservoirs, water supply system, irrigation etc.).

For three out of the 11 hydrometric stations analyzed (Ștefănești, Negrești, Pechea), a period of significant increases of the positive anomaly factor could still be observed between 1985 and 1997, which were generated by climatic oscillations, which are specific to the temperate continental climate area, located in the lower Danube basin. During the 2005–2015 period, the anomaly factor tendencies for maximum annual flow rates reveal a slight increase (for 8, out of the 11 stations), based on a modest increase in the precipitation quantity, especially in the warm season [15].

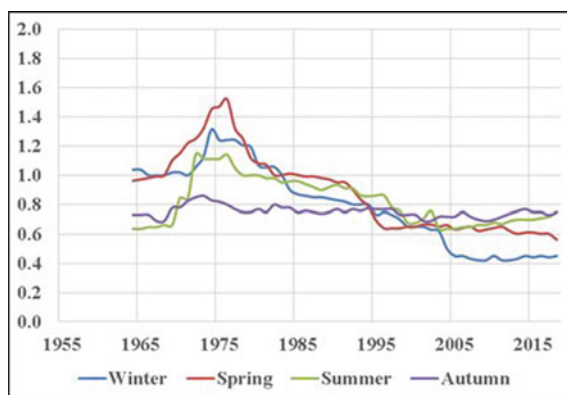
The largest value of the positive anomaly factor in the case of maximum annual flow rates, has been registered at Iași hydrometric station (2.75), in 1977, while the lowest value is 0.35, at Negrești station, between 2006–2009. This value has emerged, as a result of the occurrence of a period with severe hydrological drought [35].

According to the seasonal data series dynamics of the anomalies, they reveal the same oscillations as the annual ones, for 8 of the total analyzed hydrometric stations. The seasonal values of the anomaly factor are significantly higher than the annual ones, reaching 4.94, at Nicolae Bălcescu station, during the summer of 1977, and 4.84 at Codăiești station, in the summer of 1978. The lowest seasonal values of the anomaly factor (0.15) have been calculated for Nicolae Bălcescu and Codăiești, in the winter of 1995.

Generally speaking, during the winter season, the anomalies of the maximum flow rates have revealed lower values, before 1975 (Fig. 4). Following this particular year, there is a time interval with significantly higher values. After this period, the 1990 and 2018 period would register extremely low values of the anomaly factor for the maximum flow rates, at the following hydrometric stations: Nicolae Bălcescu, Târgu Frumos, Iași, Codăiești, Murgeni, and Pechea.

During the spring season, when the river regime in this region is highly dependent on snowmelt phenomenon which is frequently associated with significant events of rainfall, an increase of the values for the anomaly factor could be observed, for all hydrometric stations, during the 1970–1980 decade. For the stations located in the northern part of the study area (Rădăuți Prut, Dorohoi, and Ștefănești), this time interval of positive anomalies extends up to the beginning of the 1990s. During the last decades, there is a slight tendency for decrease of the anomaly factor corresponding

**Fig. 4** Seasonal variation of the maximum flow rate anomaly factor



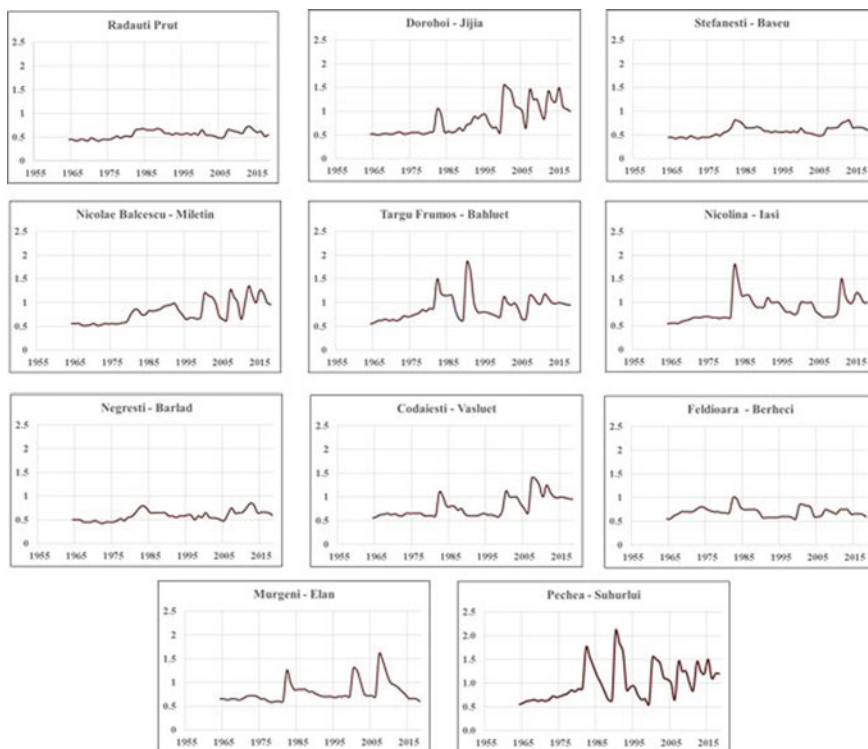
to maximum flow rate values for all the stations in the region, valid for the spring season.

During the summer season, for 8, out of the total 11 stations, there are also tendencies for increases in the anomaly factor values, in the 1970–1980 decade. In addition, at all of the 8 stations, maximum positive values for the anomaly factor are registered (and they vary from 2.29, at Dorohoi hydrometric station in 1975, to 4.94, at Nicolae Bălcescu in 1977). A second growth period for the anomaly factor for the maximum flow rates of Dorohoi and Iași, is identified between 1985 and 1990. In the last two decades, for 9 out of the 11 stations that were analyzed, a general decrease tendency of the maximum flow rates anomaly factor is visible, with a slight increase tendency in the last 5 years.

For the autumn season, a similar model of evolution for the maximum flow rate anomaly factor can be observed, as was the case of the winter season, with decreased values before 1975, followed by slightly higher values. However, there is no evidence of a generalized tendency for growth or decrease during the last few decades.

As far as the minimum annual flow rates are concerned, the analysis of the variation of the anomaly factor reveals a general characteristic, specific for the entire study area. This means that there is no visible specific decrease or increase period of the anomaly factor, for the entire region (Fig. 5). Several general conclusions can be written down from the aforementioned, which cannot be explained through correlation with the dynamic of the climatic elements, or geographic features of the hydrographic basins, inside which the analyzed hydrometric stations are located.

Therefore, at 3 out of the total 11 hydrometric stations (Rădăuți Prut, Ștefănești and Negrești), there is a visible, relatively constant evolution of the anomalies, associated with minimum annual flow rates, with no significant oscillations. As far as the other 8 stations are concerned, between 1964–1980, there are no significant oscillations of the anomaly factor, for minimum annual flow rates. Following 1980, significant variations start to appear, with an obvious tendency of increase in the values of the anomaly factor for the minimum annual flow rates at Dorohoi, Nicolae Bălcescu, Târgu Frumos, Iași, Codăiești, Murgeni and Pechea hydrometric stations.



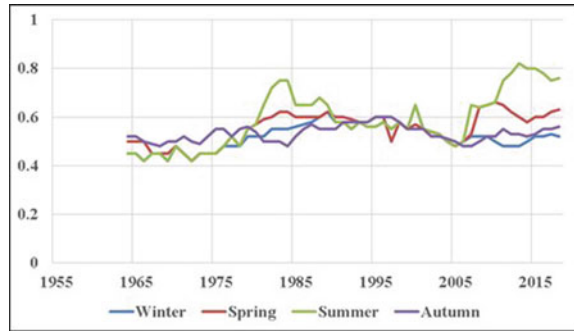
**Fig. 5** Variation of minimum annual flow rates anomalies factor for analyzed hydrometric stations

This increasing tendency is generated by the succession of atmospheric drought periods, which have affected the entire region, starting from 1982, and continuing with the 1990–1992, 1996–1998, 2000, 2007, 2012 and 2015 [36].

It is obvious that the years characterized by droughts, are also reflected in the variations of the anomaly factor for the minimum annual flow rates, which reveal the largest values at Târgu Frumos (1.85, in 1990), and Iași (1.78, in 1982). The lowest values of the anomaly factor for the minimum annual flow rates were recorded between 1969–1972, at Rădăuți Prut, Ștefănești and Negrești hydrometric stations (0.42).

Furthermore, from a seasonal perspective, a significant tendency for increase or decrease of the anomaly factor for minimum annual flow rates could not be observed, for the winter and autumn seasons (Fig. 6). Only in the spring season, the significant variations of this factor can be observed, at 8 of the 11 analyzed hydrometric stations, with a slight increase tendency in the last decades. As was the case of the maximum annual flow rates, as far as the minimum annual flow rates are concerned, the anomaly factor values are significantly higher, reaching 5.06 at Târgu Frumos station, in the summer of 1990, or 5.01 at Iași hydrometric station, in the summer of 1982.

**Fig. 6** The seasonal variation of the anomaly factor for the minimum flow rates



## 5 Hydrological Extremes Trends

The detailed analysis of the maximum and minimum flow rates, by using the ITM analysis, reveals a series of specific conclusions.

In the case of maximum annual flow rates, it is graphically emphasized that at 8 of the total of 11 analyzed stations, there is a decrease tendency of the maximum flow rate values in the last decades (Fig. 7). The calculus for the tendency slope reveals that this situation is applicable for 10 hydrometric stations. Therefore, the only exception is Rădăuți Prut station, where both by graphical methods, and means of slope calculations, there is an obvious increase tendency (the slope has a value of  $5.328 \text{ m}^3/\text{s}$ ) (Table 1). This station is located in the vicinity of the Carpathian mountainous region, which is the main source of input for Prut river, and reflects the wet climate conditions, that are more specific to Baltic influences. The other stations reflect the conditions specific to a hydrological regime corresponding to the temperate-continental climate. This regime characterizes the lower sector of the Danube drainage basin, with a significant input of rainfall during the warm season. This is caused by the dynamic of the air masses, above the Black Sea area, which can generate extreme floods [37], but also has a very oscillating behavior.

The slope of the tendency is decreasing, for the maximum annual flow rates, and varies between  $-1.576 \text{ m}^3/\text{s}$ , at Negrești station, and  $-0.009 \text{ m}^3/\text{s}$ , at Pechea station, with higher values, at the stations located in the central area (Negrești), and north-eastern area (Ștefănești).

From a seasonal perspective, there is a slight tendency for increased values, as far as maximum flow rates are concerned. This is especially valid during the last decades, in the winter season, at Rădăuți Prut, Nicolae Bălcescu and Codăiești hydrometric stations (but with slopes of low values ( $0.144 \text{ m}^3/\text{s}$ ,  $0.320 \text{ m}^3/\text{s}$ , and  $0.230 \text{ m}^3/\text{s}$  respectively)). There is also a decrease tendency for values in the southern part of the region (Feldioara, Murgeni and Pechea) (Fig. 8).

The most significant decreases can be observed during the spring and summer seasons, when all the stations in the central and southern parts of the region register slopes between  $-0.913 \text{ m}^3/\text{s}$  at Negrești (for the spring season), and  $-0.643 \text{ m}^3/\text{s}$  at

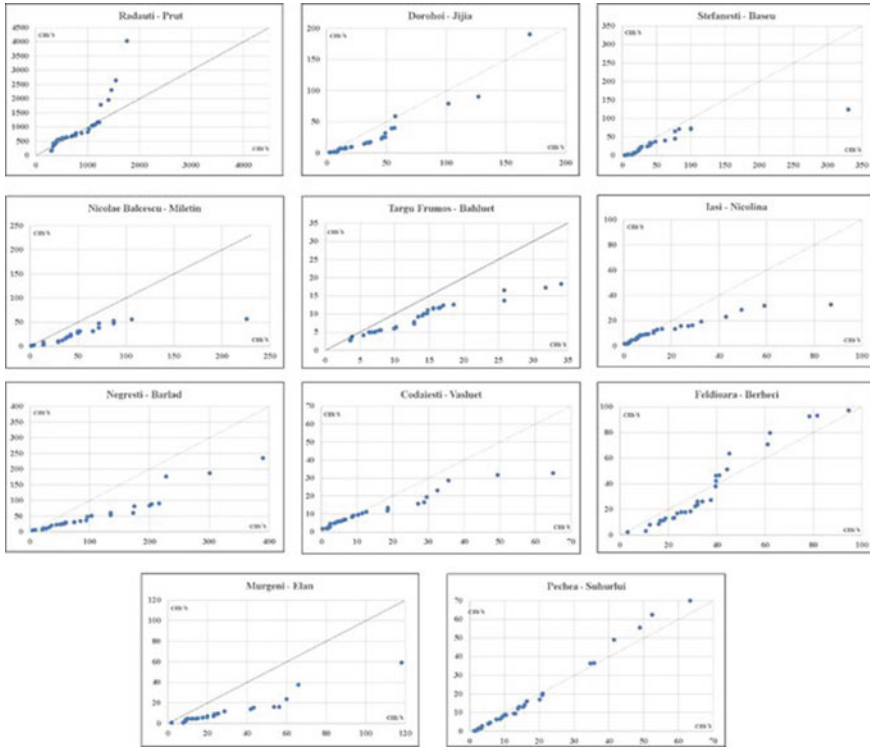


Fig. 7 Trends of annual maximum flows for analyzed hydrometric stations

Nicolae Bălcescu (for the summer season). The only station that registers a tendency increase in the maximum flow rates, for all seasons is Rădăuți Prut (Table 1).

The intensity of these increases and decreases, which is reflected through the PI index, reveals that the tendency increase of the maximum flow rates, on a yearly level, are visible only at Rădăuți Prut station (+1.074 m<sup>3</sup>/s of the PI index value) (Table 2). From a seasonal perspective, the increase in maximum flow rates corresponds to PI index values of +6.445 m<sup>3</sup>/s at Codăești hydrometric station in spring, of +1.310 m<sup>3</sup>/s at Nicolae Bălcescu, in winter, and +2.722 m<sup>3</sup>/s at Negrești, during the autumn (Table 2). The PI values for the decrease tendencies range from -3.535 m<sup>3</sup>/s at Murgeni, during the winter season, -3.016 m<sup>3</sup>/s at Nicolae Bălcescu, in spring, and -1.403 m<sup>3</sup>/s, at Murgeni, in autumn.

The tendencies for the minimum annual flow rates are constant in the last decades, at all hydrometric stations, except for Rădăuți Prut. Here, a slight tendency in increase of the values for the minimum annual flow rate values can be observed (Fig. 9), while the tendency slope reaches a value of +0.063 m<sup>3</sup>/s.

On a seasonal level, the tendencies of the minimum flow rates register a decrease, which is insignificant from a statistical point of view, at Negrești and Murgeni, during the winter and spring seasons. Therefore, except for Rădăuți Prut station, the

**Table 1** Slope for seasonal and annual maximum and minimum rivers flow in the study area

		<b>Maximum flow slope (m<sup>3</sup>/s)</b>				
	<b>Hydrometric stations</b>	<b>Winter</b>	<b>Spring</b>	<b>Summer</b>	<b>Autumn</b>	<b>Annual</b>
1	Radauti Prut	0.144	0.018	2.139	3.348	5.328
2	Dorohoi	-0.085	-0.376	-0.088	-0.016	-0.332
3	Stefanesti	0.001	-0.315	-0.346	0.036	-0.545
4	Nicolae Balcescu	0.032	-0.712	-0.643	-0.068	-0.799
5	Targu Frumos	-0.058	-0.139	-0.094	-0.001	-0.147
6	Iasi	-0.006	-0.065	-0.118	-0.011	-0.165
7	Negresti	-0.200	-0.913	-0.986	0.153	-1.576
8	Codaiesti	0.023	0.025	-0.074	-0.019	-0.106
9	Feldioara	-0.175	-0.219	-0.068	-0.024	-0.054
10	Murgeni	-0.187	-0.312	-0.318	-0.026	-0.484
11	Pechea	-0.063	-0.077	-0.081	-0.003	-0.009
		<b>Minimum flow slope (m<sup>3</sup>/s)</b>				
	<b>Hydrometric stations</b>	<b>Winter</b>	<b>Spring</b>	<b>Summer</b>	<b>Autumn</b>	<b>Annual</b>
1	Radauti Prut	0.079	0.120	-0.055	0.015	0.063
2	Dorohoi	0.000	-0.001	0.000	0.000	0.000
3	Stefanesti	0.001	0.000	0.001	0.001	0.001
4	Nicolae Balcescu	0.000	-0.001	0.000	0.000	0.000
5	Targu Frumos	0.001	0.000	0.001	0.002	0.001
6	Iasi	0.002	0.001	0.001	0.001	0.001
7	Negresti	-0.001	-0.003	-0.001	0.000	0.000
8	Codaiesti	0.001	0.001	0.001	0.001	0.001
9	Feldioara	0.001	-0.001	0.000	-0.001	0.000
10	Murgeni	-0.001	-0.001	0.000	0.000	0.000
11	Pechea	0.000	0.000	0.000	0.000	0.000

increases and decreases of minimum seasonal flow rates are insignificant, from a statistical perspective (Fig. 10).

However, while analyzing the values of the PI index, there is an increase of the minimum annual flow rates, between +4.819 m<sup>3</sup>/s, at Iași, and +0.356 m<sup>3</sup>/s at Negrești. From a seasonal perspective, the PI index reveals a growth intensity of the minimum flow rates, which reaches the highest values at Iași (+5.430 m<sup>3</sup>/s) during winter season, and at Targu Frumos (+6.649 m<sup>3</sup>/s) in autumn. Significant negative values of the PI index are registered at Murgeni (-2.161 m<sup>3</sup>/s, in the winter season), and at Nicolae Bălcescu hydrometric station (-1.036 m<sup>3</sup>/s in the summer season) (Table 2).



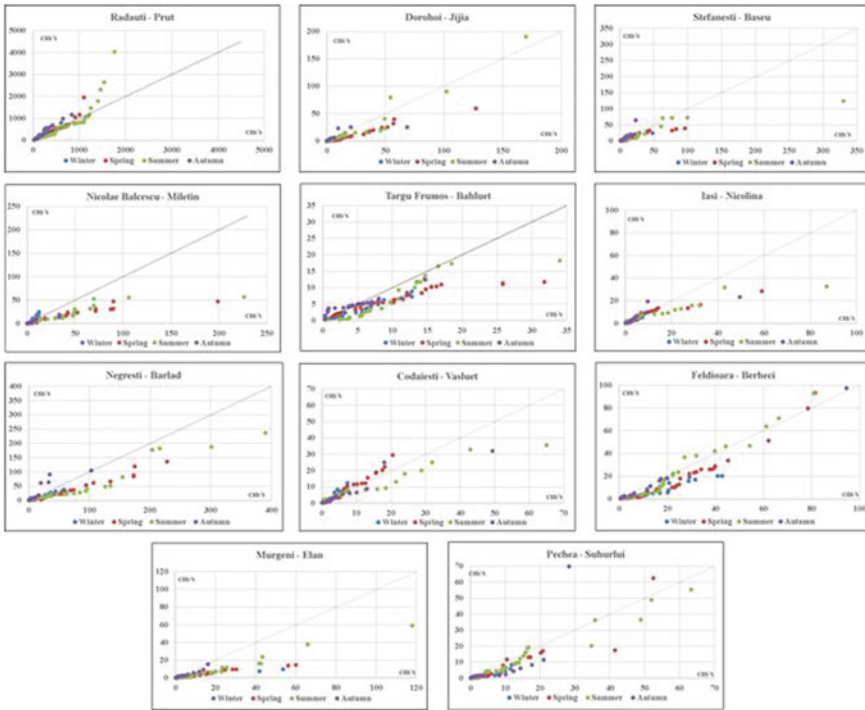


Fig. 8 Trends in seasonal maximum flow for the analyzed hydrometric stations

## 6 Discussions

The area between Siret and Prut rivers In Romania is known in the European Union, as one of the least developed, from an economical stand point, and was affected by the frequent series of floods and flash flood events, during the last decades (1996, 2005, 2008 and 2010), or by the more intense episodes of hydrological drought (2000, 2007 and 2012).

Based on the aforementioned, there is also the ever-increasing impact of current climate change, to be mentioned. The changes in climatic regime, in Romania, fit in the global context, with several regional particularities, regarding the air temperature, and also precipitation regime [19].

By means of a series of natural mechanisms, there is an obvious aridization tendency, both gradually, and cumulatively, which can be observed through the increase of the occurrence frequency of extreme air temperature and precipitation values. In addition to this, there is also the tendency of concentration of precipitation in shorter time intervals, with a direct effect on the increase of maximum flow, and the occurrence of more frequent floods [38]. Previous studies have revealed that more than half of the rivers that drain the eastern part of Romania have an increase tendency of the annual average and seasonal runoff [15].

**Table 2** PI values for seasonal and annual maximum and minimum river flow

		<b>PI Maximum flow (m<sup>3</sup>/s)</b>					
	<b>Hydrometric stations</b>	<b>Winter</b>	<b>Spring</b>	<b>Summer</b>	<b>Autumn</b>	<b>Annual</b>	
1	Radauti Prut	0.153	0.006	0.458	2.660	1.074	
2	Dorohoi	-2.761	-2.649	-0.689	-0.631	-1.529	
3	Stefanesti	0.002	-2.235	-2.033	0.941	-1.976	
4	Nicolae Balcescu	1.310	-3.016	-2.661	-1.972	-2.605	
5	Targu Frumos	-1.807	-2.041	-1.795	-0.023	-1.706	
6	Iasi	-0.451	-1.270	-1.797	-0.436	-1.637	
7	Negresti	-2.021	-2.478	-2.196	2.722	-2.495	
8	Codaiesti	1.793	6.445	-1.203	-0.665	-1.219	
9	Feldioara	-2.677	-1.400	-0.473	-0.463	-0.251	
10	Murgeni	-3.535	-3.584	-2.869	-1.403	-3.070	
11	Pechea	-2.911	-1.465	-0.917	-0.144	-0.089	
		<b>PI Minimum flow (m<sup>3</sup>/s)</b>					
	<b>Hydrometric stations</b>	<b>Winter</b>	<b>Spring</b>	<b>Summer</b>	<b>Autumn</b>	<b>Annual</b>	
1	Radauti Prut	0.960	0.609	-0.271	0.095	0.786	
2	Dorohoi	-0.013	-0.582	-0.614	-0.367	-1.108	
3	Stefanesti	1.314	-0.032	1.725	1.939	2.462	
4	Nicolae Balcescu	-0.308	-1.726	-1.036	1.306	1.848	
5	Targu Frumos	3.446	-0.207	1.423	6.649	4.631	
6	Iasi	5.430	1.559	1.329	2.811	4.819	
7	Negresti	-1.158	-1.369	-0.752	-0.457	0.356	
8	Codaiesti	3.069	2.069	1.396	2.643	3.718	
9	Feldioara	0.671	-0.722	-0.169	-0.707	0.479	
10	Murgeni	-2.161	-1.001	0.467	-0.877	-0.557	
11	Pechea	-0.175	0.008	-0.448	-0.070	0.636	

During the last decades, according to the official reports, there have been periods of severe hydrological and meteorological phenomena (floods, flash floods, droughts), mainly during the summer season, from June, to August. At the same time, across the rivers which drain the Siret-Prut region, a hydrological regime which has been predominantly characterized by a constant deficit, starting from 2011, up to 2016, with a severe registered deficit, during 2015. Their effects have been seen in the decreased agricultural production caused by the reduction of irrigation or the decrease in the amount of drinking water for water supply.

This has prompted the Romanian government to approach several quantitative and qualitative aspects concerning the effects of climate change, in order to emphasize the synergy between the watershed management plans, and the management plans which address the flood risk. This measure consisted in updating the sections of the National Management Plan, which address the international sector of the Danube

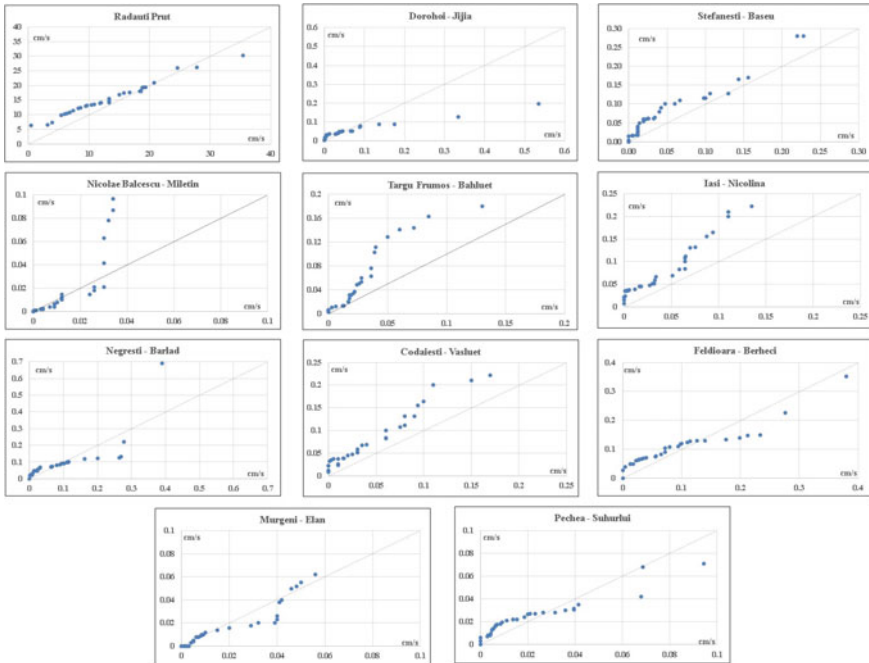


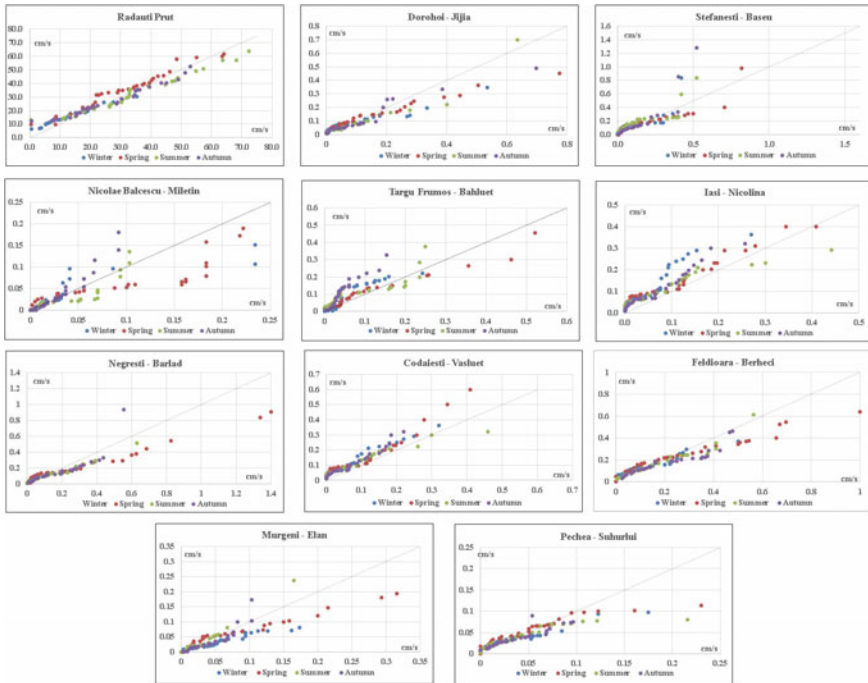
Fig. 9 Trends of annual minimum flow for the analyzed hydrometric stations

River, located in Romania, during 2016–2021, which was performed by the Romanian National Water Administration (2018) [24]. These measures are applied, in conjunction with the analysis and evaluation effects of climatic change on the water resources, which are regulated through the Water Framework Directive 2000/60/EC [39], and continued in the Water Framework Directive 2007/60/EC [40], and also in the IPCC [41].

## 7 Conclusion

The assessment of the anomalies and extreme hydrological tendencies has emphasized that the previous studies addressing this area have only roughly estimated a series of anomalies and tendencies, for different hydrological and climatic parameters. However, they did not take into account the changes that have occurred over extended periods of time.

This chapter analyzes the detection of anomalies and tendencies which are associated to extreme hydrological events, both for high flow rate values, and for minimum flow rates events, by applying the quantile perturbation method (QPM) and the innovative trend application method (ITA), with a corresponding PI index for evaluating



**Fig. 10** Trends of seasonal maximum flow for the analyzed hydrometric stations

the tendency intensity. Based on the obtained results, several relevant conclusions can be summarized. Firstly, the anomalies of the maximum annual and seasonal flow rates are significantly more pronounced than those of the minimum annual and seasonal flow rates. Secondly, the most significant positive anomalies, regarding the maximum annual flow rates occurred during the 1968–1975 period, which has been an important interval from a pluviometric perspective, for the entire country. After this period, there is a constant decrease in the values of the anomaly factor for maximum flow rates, which is more pronounced at the hydrometrical stations located in the central-southern region, and more attenuated, for the hydrometrical stations located in the northern part.

Regarding the overall tendencies, it has been emphasized that the maximum annual flow rates, as well as the ones in spring reveal a decreasing tendency (for 90% of the analyzed stations), with intensities varying from  $-0.089 \text{ m}^3/\text{s}$  and  $-3.070 \text{ m}^3/\text{s}$ . The values of the minimum monthly and seasonal flow rates show increasing tendencies (in the winter, for 55% of the hydrometrical stations), as well as decreasing tendencies, during the spring season (for 64% of the hydrometrical stations in the region).

The current results of the assessment of the anomalies and tendencies of the minimum and maximum flow rates, stand as a starting ground for developing efficient

management plans for water resources. These would be applicable in the Lower Danube basin, in the context of different scenarios of climatic evolution.

## 8 Recommendation

By developing scenarios regarding the proper usage of water resources, in the context of climatic change, especially for the economic sectors which have been affected the most by the precipitation deficit (mainly agriculture), and by recommending several measures for adapting to the climatic change, the governing institutions can mitigate the effects of the developing extreme tendencies that have been addressed in this analysis. Furthermore, further studies are required to evaluate the water resource, inside each drainage basin, and provide estimations by 2050, while also considering the social impact of climate change. The results presented in this study can serve as a starting point for developing national and regional strategies, that are required in order to properly provide efficient management of water resources in the lower basin of the Danube River.

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# **Sustainable Management and Governance of the Hydro-Environment**



# A Transdisciplinary Approach Using Danube River Multi-connectivity in Wetland Management



Iulian Nichersu , Iuliana Nichersu, Adrian Constantinescu, and Alexandru Nichersu

**Abstract** Policies and management plans in the Danube River basin were first developed and applied at its entire scale in the second part of the twentieth century and were exclusively based on the principles of neoclassical economy. These principles were translated in a high number of economic and social objectives, some of which that have been identified as driving forces for the wetlands of the Lower Danube System behind structural and functional changes. The transformations to which the Lower Danube System has been subjected and the absence of multi-connectivity in planning were marked by an insufficiency of support tools at decision-making level. Wetlands play a dual role in terms of planning, acting both as risk-inhibitor and services enabler when inclusive multi-dimensional planning is performed. Given the relevant spatial and temporal scale of the current context, capitalizing on scientific knowledge, experiences and information of local communities in future policymaking is crucial. The productivity and stability of ecosystems depend directly on their ability to ensure energy transfer both intra-, but especially inter-system. The lateral and longitudinal analysis of ecosystems in the Lower Danube System, regarded as both dynamic and nonlinear systems, as well as production units, is based on a conceptual framework aimed at their multi-connectivity. This ensures long-term processes whose variability and diversity are essential for the stability and productivity of these units. The study includes social and economic implications, considering the relationship between the natural heritage resources of the geographical sub-units and the existence of the socio-economic system.

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## 1 Introduction

Watercourses on the surface of the earth are each unique. Their dimensions differ from each other in terms of lengths, depths, water flow, widths of the riverbed, floodplains extent, by sediments or alluvium quantities and types, vegetation and plant associations in their basin, by the nature of the riverbed and river mouth, and the geological and climatological conditions. Even the same river can be subject to large fluctuations during a year (in terms of depths, flows, widths of riverbed, etc.).

During rainy periods or during the sudden melting of snow in temperate climates, their waters can become large, fast and murky (full of sediments). In many places, riparian floodplains are not able to store the sudden increase in water quantities, flowing over and covering large areas with water. Today, in part due to the globalization of mass media, we often hear of extreme meteorological events that involve the destruction of crops, flooding of settlements situated in floodplains, or even breaking of dikes and levees and the destruction of transport infrastructure. Water related disasters especially in the paradigm of climate change increase the vulnerability of anthropic systems and require adaptation and mitigation.

As is stated in [1] the benefits of ecosystem services are purification of both air and water, crop pollination, nutrient cycle, waste decomposition and soil generation and renewal. In addition to this easily attributable direct contributions, ecosystems moderate environmental and climatic conditions. These invisible yet paramount contributions stabilize the climate, reduce the risk of extreme weather events, mitigate droughts and floods, and protect soils from erosion. These impacts and services manifests themselves locally, regionally and globally, and are visible through conflicts between stakeholders at these different levels.

Prof. Einstein H. A. states that change in wetlands is a topic that should not be dealt with lightly, as its impact can be wide and in-depth. He is quoted on the topic in [2] as saying: *“If we change a river, we usually do some good somewhere and “good” in quotation marks. That means we achieve some kind of a result that we are aiming at but sometimes forget that the same change, which we are introducing, may have widespread influences somewhere else. I think if, out of today’s emphasis of the environment, anything results for us it is that it emphasizes the fact that we must look at a river or a drainage basin or whatever we are talking about as a big unit with many facets. We should not concentrate only on a little piece of that river unless we have some good reason to decide that we can do that.”*

Continuity and/or connectivity of ecosystems as variables of external manifestation play a significant role on the services that beneficiaries receive. Quantifying the associated “intrinsic value” of these services is best performed from a multi-dimensional perspective of causality, functionality, hierarchy and system development process. For this process to be performed the theory of scale dependence, for

the relationship between the model and the multiplication process, along with the metapopulation theory, presented in [3], are used. This explains the complexity of self-organization in ecosystems and uses the principle of aggregation and decentralization of management to the most appropriate value.

To be able to proceed with this manuscript the following taxonomy was defined. Continuity is fulfilled when an uninterrupted connection exists, while connectivity refers to the degree of connection or condition thereof, of elements of the same or similar type, including both structural and functional components. The presentation of the two definitions helps define a set of principles used in the specifics of the approach presented in this paper that looks at the relationship within the system or between systems. This helps define connectivity as a norm with both strategic and operational value. In addition, it can be deduced that the value of continuity and connectivity in the ecosystem context, is that such an approach is axiomatic. Accepting and promoting continuity as a principle highlights the self-confirming character of the respective dimension. The role and importance of connectivity in achieving ecosystems continuity is ensured by the very nature of the theory and practice of the flow of matter and energy.

It must be said that the multitude of spatial, physical and thematic meanings behind connectivity, combined with the complexity of the Lower Danube System have, during the evolution of the theory and practice presented in this manuscript, helped configuring various fundamental concepts in ecological restoration. The main disciplines involved are regional geography and landscape ecology and include principles that constitute methodological landmarks for the presented method. One of the central ideas concerning connectivity is that it acts as a support axis in ecological restoration.

Connectivity must persist both in the system and in the processes of external manifestation, both at the level of operational functioning and at the relational level. At the level of designing system development directions in conservation and environmental protection policies, the role of connectivity is indisputable. Respecting this principle favors the shaping of a clear vision on the perspective of sustainable and social development by means of ecosystem and adaptive management. This also determines the quantities of various resources and means required as a means to an end. The presence of connectivity generates interaction relationships between life and the environment.

As exhibited in [4] and again in [5], the theme of continuity and connectivity in landscape ecology as a science of studying and influencing the spatial model of landscapes and its ecological consequences, has become, at an accelerated and exponential pace, a pressing concern both in theory and in applications. It has now been established both as a field of study as well as a new ecological paradigm.

In essence, connectivity is a field of study in landscape ecology [6], which is both inter- and trans-disciplinary that focuses on the spatial modeling of landscape elements and its relationships with ecological processes at different scales in space and time. At the same time, it keeps a strong relationship with System Theory and Geography. No matter what aspect of the field one focuses on, be they biophysical,

socio-economic or both, the connectivity paradigm helps the functioning of ecosystems and brings phenomena into perspective by integrating model, process, scale and hierarchy. Concerning ecological restoration, connectivity is a key issue as well as a relevant research topic.

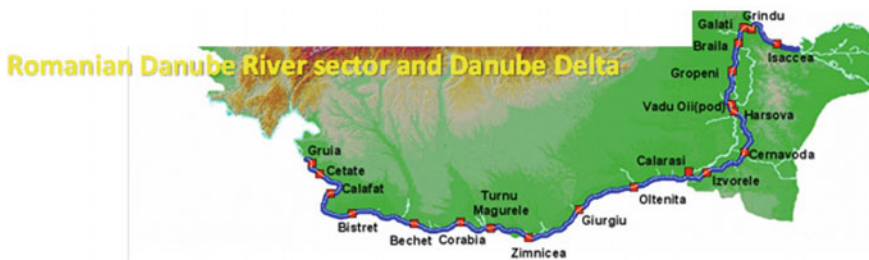
In [2] the six fundamental concepts of eco-geographic analysis were defined. They are imperative when dealing with modeling of river basins and large rivers. These notions are:

- the watercourse is only one part of the system;
- the system is dynamic;
- the system is functioning complexly;
- there are geomorphological thresholds and when they are exceeded an abrupt change may result;
- time scale (retrospective versus perspective);
- spatial scale (hierarchy, holarchy).

The Lower Danube (Fig. 1)—in layman words, or the Pontic Danube System—in scientific literature [7], is somewhat a paradoxical entity. Although it crosses different relief units in terms of age and tectonic mobility (starting with the Carpathian orogeny unit and ending with the craton unit), the local peculiarities of the floodplain evolution have caused interruptions or changes in the transverse profile. This happens without reaching the total change of the arrangement of the component elements.

The Danube bends and wetlands have changing dimensional and quantitative relationships between them [9]. This materialize in their varied distribution, from one place to another, and depend on both general factors that hatch them (frequency, intensity and duration of overflows) and the other features of the local factors. The general and particular characteristics of the floodplain relief are a direct result of the floods' peaks and intensity, considering frequency, magnitude and duration.

The Lower Danube System is the most dynamic hydrogeographical unit in Romania [10], in terms of systemic methodology. The interactions occur between natural components, flows of matter and energy (hydrological and climatic), on the one hand and human intervention (with an ever higher intensity in recent decades), on the other hand. Today, about 80% of the Romanian sector of the Danube floodplain is embanked and transformed by desiccation and drainage processes [9]. From a



**Fig. 1** Relief units and hydrological monitoring points in the Lower Danube System [8]

geographical point of view, the Lower Danube System is a complex system. Spatial-temporal geographical evolution stages in its history are dependent on correlative interference. Its current configuration is conclusively reflected by this.

The Lower Danube section stretches over 885 river kilometers from the point where the Danube breaks through the Carpathian Mountains and passes through the Walachian Lowlands. Its major tributaries in that section include the Siret and the Prut rivers. The last section is represented by the arms of the Danube Delta [11].

The Lower Danube Wetland System [12], which is also referred to as the Lower Danube Valley [13], Danube River Corridor [9], Pontic Danube Valley [14] or Pontic Danube System [15] appears as a lateral water collection axis between the two large adjacent areas to the north and south that have a slight slope. All major tributaries that it cuts transversely or slightly diagonally determine the maintenance of a low transport power and the deposition at the outflow, in the Danube riverbed of fine alluvial materials.

As shown in [16], a river system model is dependent on the following global variables: weather, relief, geology (lithology, structure), climate, vegetation, hydrology, and morphology of the drainage network. Morphology is what gives it the dynamic character. Concurrently, given that the river system is unitary, the change of a component can result in complex changes of the entire system, composed from hydrographic areas, river corridors and watercourses, complex ecosystems changes that manifest themselves at different spatial scales [17].

Recent examples of biodiversity loss caused by climate and land use change, over-exploitation of natural resources, the introduction and spread of invasive alien species, have a significant socio-economic impact. At the same time, the upward trends in frequency/magnitude of the natural hazards and a more intense anthropogenic impact caused a degradation of worldwide ecosystem services. This is especially true in a region like the Lower Danube. Despite the positive effects of historical events such as the fall of the Iron Curtain in Europe (that slowed the pace and even stopped large hydroelectric and agricultural projects in the region), actions to halt biodiversity loss are needed. This strategic requirement to strengthen and expand adequate protection measures, using a modern legislative framework, needs to be synchronized with the theoretical basis. This integrated cooperation will provide for best practices and training in the dynamics and the ecological effects of spatial heterogeneity as well as the relationship between the landscape model and ecological and socio-economic processes at different scales in space and time.

The principles and Lower Danube region examples discussed in the next sections serve as a useful guide to ecological restoration. They serve as part of a new effort that goes beyond the current concept of natural resources conservation towards a deeper concept of restoring of “environment life”—an ecologically viable state where ecosystems are self-sustaining and improve the functioning and quality of services over time. Extensive knowledge of the risks and threats arising from the disruption of continuity and connectivity in the Danube area present multiple opportunities to counter them. This requires an inter- and trans-disciplinary approach, as well as effective communication and cooperation between specific stakeholders in this field.

## 2 Theoretical Aspects: The Multi-Dimensional Character of River Connectivity

In recent decades, spatial planning has become a major concern for scientific research, but also more inclusive towards all stakeholders, be they central or local authorities, companies or the general public. Space—as a support for human activities, but also natural capital—represents the objective and universal form of the existence of matter, which has the aspect of a continuous whole and express the order of coexistence of the real world [18]. Within space, the movement of matter takes place in time. In the human context of existence, space is defined by a double meaning: first, a condition for biological survival—a finite resource that explains its role in human history, and second a psychological necessity, space being perceived as a prime condition for individual freedoms, or a release from constraints and risks. Further, humans instinctive spatial abilities of spatial awareness, give it value in well-defined hierarchies and holarchy. From an anthropocentric perspective, the analysis of space is performed according to each personal “cultural” background—experience based and relationship based. This, in turn, allows people to organize space so that it corresponds to their requirements and social relations.

Additionally, the spatial dimension is vital for the support of the Socio-Economical System (SES), as space provides humans with appearance, mobility and experience. The hierarchy of spatial structures, through relational criteria is established in physical geography. Space creates the quality of the geographical landscape and provides SES with the elements of anthropogenic topography, integrated in the geographical space, which are in a direct relation.

Landscape mosaics, as defined in [18], are described by the landscape components of the patches, corridors, and the surrounding matrix. Further, patches, corridors and matrix directly influence spatial modeling and flows in a landscape while spatial scale also greatly affects landscape structure, heterogeneity and connectivity [19, 20]. This definition indicates a direct correlation between the organization of the space system and the inter- and trans-functional relations. For example, Fahrig and Merriam in [15] found that the frequency and persistence of local extinctions of *Peromyscus leucopus* (white-footed mouse) populations depend on the degree to which individual patches are isolated from each other. Models that make predictions about the dynamics of populations living in patches should take into account the spatial arrangement of these patches and should do so on the same time scale as local extinctions.

### 2.1 River Systems Description and Definitions

A river system is described in [16] as a model characterized by at least the following global variables: time, relief, geology (lithology, structure), climate, vegetation, hydrology, morphology of the drainage network, slope morphology.

In addition, the most significant initiating influences of changes at the level of a riverbed are, according to [21], natural or artificial changes in the: volume or flow of water passing through the river; volume or flow of solid or sediment characteristics available to the river; properties of the riverbed boundaries that affect its hydraulics and its vulnerability to erosion.

Landscapes, river basins, river corridors and watercourses are ecosystems encountered at different spatial and temporal scales. The structure of the area on which the variables and processes are manifested also requires a thorough description. The Federal Interagency Stream Restoration Working Group (FISRWG) defines the river corridor as an “ecosystem generally consisting of three major elements: the riverbed itself, the floodplain and the upper transition border to the river terraces, which works dynamically and valuable crossings of the landscape” [17].

FISRWG also classifies processes in river systems in 2001 [22], and defines the issues that need to be addressed through a systemic analysis. The classification and the corresponding research questions include five topics: hydrologic and hydraulic processes; geomorphic processes; chemical processes; biological processes; stream corridor functions and dynamic equilibrium.

According to [23], ecological studies deepen both horizontally and vertically perspectives of the notion of biome, the concept is now applied to ecosystem complexes with increasing territorial expansion. The essential criterion becomes more and more, the degree of complexity and not the dimensional attribute. In fact, it is the position or level from which we analyze a system: it can only be a component of another system, hierarchically superior or on the contrary, it is a complex of other subsystems, considered at a time and a certain level of organization, as elementary. Equally important is the direct inclusion of the three-dimensionality and therefore of the possible framework for the organization and hierarchy of the components of a geographical area.

In order to operationalize the analysis of this geographical space in the context of connectivity, the whole Lower Danube River can be considered a complex spatial system, biotic and abiotic, with a spatial organization that responds to specific functional laws. This system is an open system, characterized by a dynamic balance, in which there are permanent exchanges with the outside (inputs/outputs). Ludwig von Bertalanffy based the theory of open systems on this methodological basis. According to Bertalanffy's conception, first published in 1932 in *Teoretische Biologie* [24], open systems have constant exchanges. These transactions are of multiple types, for example energy or matter with the outside. Based on the theory of open systems, Ludwig von Bertalanffy developed the general theory of systems, in which the main problem is to discover the laws that explain behavior, operations and development of systems by understanding their complex interconnected components and transfers.

## 2.2 River Connectivity

Already discussed and defined in the first section, the spatial connectivity of a watercourse takes into account lateral, longitudinal and vertical connectivity. A river system can also be described longitudinally as composed of three parts [25]. First is the upper part of the system, the river or drainage basin—this part of the system functions as a sediment supplier. The middle sector of the system, where the watercourse itself is a part of the system that functions as a sediment transfer zone. Lastly, the third section, is the lower part of the system, with a delta, estuary, swamp, natural or artificial lake. The last part of the system also functions as a sediment deposition.

The three river sections described by Perks are usually not ideally separated, as under river current conditions, sediments can be accumulated, eroded and transported to lower sections. In addition, the three areas are linked by feedback loops. The focus of inter- and trans-disciplinary studies and analyzes lies with these loops. The three areas are organically connected and constitute a functional unitary system.

As shown in [15], the concept of fractals and scale of the system can be found in all of the three river areas in the geo-hydro-morphological system. In the previously mentioned publication, fractals proved ideal to determine change processes with historical river data and enhance the approach proposed in this manuscript.

To explain the relationships in between the three areas we state the following: if an average daily rainfall change occurs in the upper part (zone 1) of the river basin, this causes an increase in the rate of soil erosion with consequences in increasing the amount of sediment production of the basin. In the middle part (zone 2) sediment transfer will develop. This means that the area will consequently undergo a change in its state variables, so that the river will be able to transfer increased inputs with minimal energy expenditure. Similarly, the lower part (zone 3) will also be adjusted, as the characteristic shapes of sediment accumulations build up. As it can be seen, any change in a component of the ecosystem triggers, due to spatial connectivity, changes on the other two components and implicitly on the functionality of the system.

For a coherent understanding of the Lower Danube System, due to the spatial-temporal dynamics of the complexity of inter and trans system interactions, it is necessary to use an approach that works in a framework of integrative theoretical model of analysis, which allows changes, transformations, trends and identification of adjustments with in-depth understanding of the ecosystem.

Connectivity as an analysis model is based on the River Continuum Concept (RCC) first published in the Canadian Journal of Fisheries and Aquatic Sciences 40 years ago [26]. This concept integrates the most important theoretical foundations, such as the theory of habitat types [27], and the theory of entropy first described in [28]. At the same time, it revolutionized the research approach in streamflow [29] and postulated the theory so as to include mechanistic relationships between environmental parameters, energy inputs and biota composition. This allowed the field of streamflow to change from a descriptive to a predictive one. Another reason for its role in revolutionizing river ecology is that it marked a first interdisciplinary



approach that encompassed several aspects of river systems, ranging from geomorphology, biology and biogeochemistry. As a result, over the past four decades, the RCC has become a key conceptual framework among river ecologists for testing basic and applied assumptions about species distribution and community structure, as well as matter and energy flow in river habitats, which have furthered new ideas in the field.

Using the RCC consists first in the evaluation of the subsystems within the Lower Danube complex system. Riverbeds and floodplains are constantly adjusting their areas due to environmental and anthropogenic factors. These factors are: water and sediments provided by the river basin upstream of the Lower Danube, climate and degradation of functional soil characteristics, pollution and land cover/land use change. In addition, another variable is the riverbed's response itself. This is unique to changes in the hydrological and sediment characteristics of water that can occur at different times and locations, requiring varying levels of matter and energy input.

**Longitudinal connectivity.** In a natural system, longitudinal connectivity implies that a watercourse (Danube River in this case) should respect the continuity principle within the variables described by Schumm and Lichty in [16, 30]. This occurs throughout the span of the river, from spring to discharge and is based on the RCC concept, which refers precisely to the balance of the physical gradient from source to discharge, chemical systems and biological communities, habitat continuity, inflow of organic materials and energy dissipation.

Longitudinal continuity is often affected by natural and artificial causes. The main human related ones are dams built for different purposes (water storage, energy production, etc.). Natural causes rarely occur and are usually accidental—storms, floods that carry huge amounts of matter, snow, dams created by beavers, etc.

**Lateral connectivity.** As defined in [31], lateral connectivity refers to the periodic flooding of the floodplain and the resulting exchange of water, sediment, organic matter, nutrients, and organisms. Lateral connectivity becomes especially important in the case of large rivers with wide floodplains. In order to discuss lateral connectivity research questions are required at the beginning of any ecological restoration project. These seek answers as a way of furthering the concept, for example:

*Is the watercourse in contact with its floodplain (during floods, etc.)?*

In a natural state, the river is connected to the floodplain, especially during floods. The river invades its floodplain with water, new sediments and a whole range of influences. The dried lakes become active and are filled with fresh water while further territories are covered with sediments.

*Is there a connection between the aquatic and the terrestrial environment?*

In most cases, there is a connection between aquatic and terrestrial environments, by the simple fact that they are next to each other. This respects the first law of geography: “*Everything is related to everything else, but near things are more related than distant things*” [32]. As an example, water flowing through the capillarity of the soil provides a certain degree of humidity that influences the presence of specific vegetation and animals.

*Is there a healthy waterfront area?*

The riparian zone is the interface between the terrestrial environment and a river and in certain periods of time it becomes another type of water body. The ecosystems in this area are functional insofar as the structure and functions allow the maintenance of biodiversity, biotic integrity and ecological processes over time. Lateral connectivity is a premise of a healthy riparian biome.

**Vertical connectivity.** Vertical connectivity has a dual representation, both inside and outside the river system. Inside it stands from topographic evolutions of uplift and erosion of the riverbed, while externally by the connection between the atmosphere and groundwater.

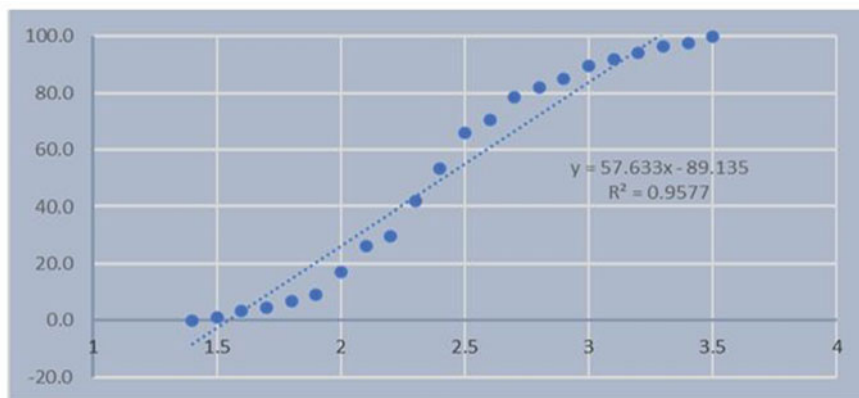
The first connection type is visible in the course of the river in its floodplain and is in part due to the longitudinal connectivity. With the second case, the ability of water to circulate through soil, river, and air as liquid, vapor, or ice is important in storing and refreshing water. This exchange is usually seen as unidirectional—precipitation falling on the ground and then slowly being absorbed from the surface into the soil or percolating through the soil back into the river. An equally important transfer of water takes place in the opposite direction, i.e. from the river to the aquifer and from here by capillarity to the soil surface, where it can reach the atmosphere.

In this way, groundwater can contribute to river supply at certain times of the year and in certain places on the same river. Rivers can gain or lose water, in and around the surrounding aquifer, depending on their relative growth. Lowering the groundwater level by groundwater withdrawals can change this dynamic exchange in unforeseen ways [17].

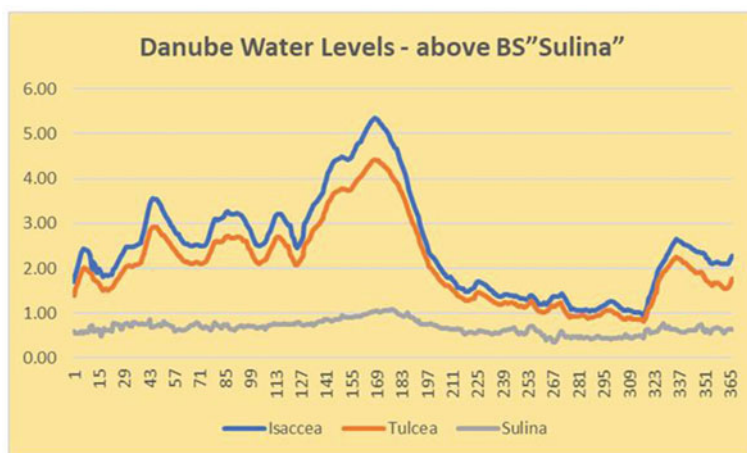
**Temporal connectivity.** The river system also has temporal connectivity. This is of continuous physical, chemical and biological interactions over time, according to a predictable model [33]. This connectivity model is of paramount significance for the functioning of ecosystems. Over time, liquid and solid flows change, thus forming meanders. Further, canals and branches break off from the main channel. The channels change their trajectory and often intertwine ensuring balance in energy dissipation.

Danube levels and flows increase and decrease according to seasonal patterns, depending on precipitation and snowmelt (Figs. 2 and 3). The Lower Danube has a highwater hydrology in spring, the flows that decrease in summer, and become extremely low in autumn and in winter when we witness a first wave of flood lower in value. The water basin has adapted to these normal fluctuations and many organisms have evolved to depend on them.

**Thermal connectivity.** FISRWG showed in 2001 [22] that water temperature is a crucial factor in the health and development of water ecosystems. This is all the more important in the Lower Danube Complex System. First, dissolved oxygen decreases with increasing water temperature, and therefore the stress imposed by the process of decomposition of organic matter. Second, temperatures govern many of the physiological and biochemical processes, metabolism and reproduction rates, vital in stock stability. Third, many aquatic species can only tolerate a limited range



**Fig. 2** Annual average frequencies of Danube water levels in Tulcea, 1932–2020



**Fig. 3** Danube water levels in three key navigational sections of the maritime sector—Sulina, Tulcea and Isaccea

of temperatures and shifting maximum and minimum temperatures in system can have profound effects on species composition. Finally, temperatures affect many abiotic chemical processes, such as the rate of re-aeration, the absorption of organic matter by plants, the dissolution of chemicals present in sediment particles. High temperature can lead to increased stress due to toxic compounds, for which the dissolution fraction is higher than the bioactive fraction.

Water temperature in the river systems depends on the water temperature upstream, but also by the processes inside the water flow and the influential water temperature. The effects of water temperature are also addressed in lateral and vertical connectivity. The most important factor of water temperature connectivity in a river

is the balance between water reaching through surface and groundwater ways. Water flowing over the land surface to a stream has the ability to gain heat through contact with sun-heated surfaces. In contrast, groundwater is usually colder in summer (compared to the freely flowing water in the river) and tends to reflect the average annual temperature in the river basin. The flow of water through shallow groundwater can be between the average annual temperature and the ambient temperatures during leaks.

Surface water runoff temperatures are strongly affected by impermeable surfaces in a river basin. For example, paved surfaces, such as many of the rivers channeled into a river basin, can heat the surface runoff and significantly increase the temperature of the streams supplied by the runoff.

The process of evapotranspiration is very important in the formation of advection clouds. The creation of embankments in the Lower Danube Floodplain has severely affected this process and altered the thermal balance. This is amplified by the conditions of climate change.

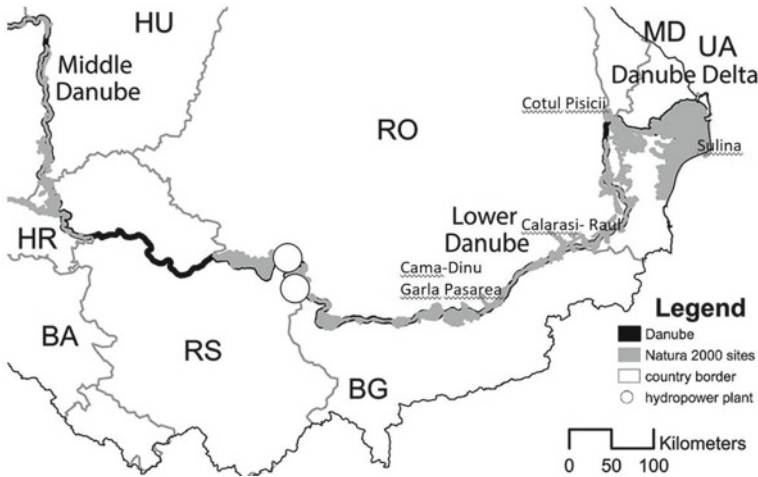
In addition, water is subjected to thermal charge by the direct effects of sunlight on water bodies. Therefore, land cover can increase or decrease basic flows, influencing the temperature at different levels. This is very important, both in the development of habitats but especially for anadromous fish species. Maintaining or restoring normal temperature by ensuring optical connectivity should be a concern of spatial planners.

### **3 Lower Danube System**

#### **3.1 Generalities**

To proceed, a definition of the study area is required. The territory of the Lower Danube complex system is presented in Fig. 4. It is best described as the space that the river occupies in its final 931 km, between the Portile de Fier hydropower plants and the Black Sea. The river stands north from the Balkan space and separates it from Romania's core, as well as Moldavia's South and Ukraine's SSW region of Ismail. In the chosen perimeter—the natural capital has a productive capacity that is determined through its functional components. This is done so that regional planning avoids impacts such as degradation and destructuring under anthropogenic impact and to favor the sustainable use of its support capacity. Guaranteeing sustainable socio-economic development in the Lower Danube complex system area requires foreknowledge of ecological sustainability, ecosystem integrity, environmental support capacity, regional, and local ecological ecosystems balancing.

The biological diversity, functionality and naturalness of the ecosystems in the Lower Danube complex system is a result of geological and topographical evolution over time. With a more limited but severe impact, is the succession of different “civilizations” that have often upset the balance of the components created by the natural evolution the initial environments. The desire to understand the current “crisis



**Fig. 4** Map of the study area including middle, and lower sections of the Danube; Natura 2000 sites; and hydropower plants that separate the two sections. Adapted/modified work from source [34]

of nature” also appears in the Danube floodplain context, and it is usually provided with different explanations for each geographical area with their distinct delimited natural units. The Lower Danube complex system, through its geographical position and evolution in historical time has been a space with diversity of landscapes that concentrates multiple categories of ecosystems. These can be classified per type, heterogeneity, spatial and temporal dynamics or stages of anthropization.

Space and habitat management, especially at the level of the Lower Danube Complex System, require the identification of methods and means of protection, conservation and social-economical management of ecosystems and landscapes [35]. The recent evolution of the landscapes under anthropogenic pressure (exploitation of natural resources, intensification of land use conversion to the detriment of natural ecosystems, profound transformation of grassland or aquatic ecosystems) leads to obvious decreases in productivity, but also to disruption of their functionality and productivity.

As is well known, the productivity and stability of ecosystems depend directly on the viability of their functioning, on providing physical support for the use of natural resources and on providing services to the system [36]. Ecosystems analysis uses dynamic, nonlinear systems to depict them as units of production undergoing long-term processes with variability and diversity. This modeling is essential for the proper planning of future stability and productivity of the System.

### 3.2 *Heterogeneity, Nonlinearity and Contingency of the Complex Space System of the Lower Danube*

Transversally, according to its characteristics and especially according to their local and regional differentiation, the Lower Danube is divided, according to [37], into three large sectors: the Iron Gates gorge, the terrace valley and the maritime Danube valley, each with specific characteristics. A different classification found in [7] was performed on the longitudinal axis, and sees the area divided in 3 large units:

- the minor riverbed, floodplain—with three characteristic strips:
  - the high levee strip (shore levee),
  - the transition strip (as part of the floodplain with average height) between the longitudinal levee (along the river) and
  - the lower part of the floodplain, which is the third strip,
- the depressions, lake basins and terraces (often in this part are formed, right at the base of the terraces),
- the channels that cross the floodplain longitudinally for 50 km or more (e.g. Pasărea, Comasca or Somova Channels).

Usually, the lowest part of the floodplain is at their edge, just below the slope of the terrace or of the field. In some places, however, between the strip of depressions and the steep that delimits the floodplain, a higher strip (fourth) appears. This has the shape of a ridge with a very slight slope and a very smooth surface, which was formed due not to an increased intake of alluvium but to sedimentation processes. They start at the base of the terrace in the form of small organic overgrowth, small landslides and diluvia-colluvial accumulations, sorted and leveled by the lacustrine action during the floods. The dimensions of these strips vary from a few tens of meters to a few hundred meters. Their development is dependent on the local topography, such as peculiarities of the steepness that delimits the floodplain (height, fragmentation, slope processes), lake shoreline abrasion characteristics, sorting and leveling of crumbled materials and those brought in another way.

The Lower Danube floodplain appears uniform as it approaches its outflow, due to the continuous decrease of the relative altitudes from upstream to downstream and in relation to the amplitude of the floods. In addition, the continuous decrease of the levees' altitude is reflected by the gradual decrease of the level differences between the positive and negative forms within the floodplain. While the highest unevenness registered in the floodplain sector between Drobeta Turnu-Severin and the Jiu River mouth reaches 8–12 m, between Turnu-Măgurele and Giurgiu they are reduced to 5–6 m, and within Balta Borcei and Brăilei they do not exceed 2–3 m. These values are provided as results of the research project REELD [38]. If in the positive forms there is an inverse relationship between altitude and surface extension, in the negative forms (depressions, ponds), although it is less obvious, we can speak of a direct relationship. This is because in parallel with their surface increase, there is also a slight increase in depth.

The overall characteristics of the Lower Danube floodplain come from the general characteristic of the alluvial bed construction process (by direct alluvium and clogging). The different aspects and dimensions of the main forms (strips) are due to the variation of the general alluvial process intensity and clogging, as a direct reflection of the intensity of the overflow.

### ***3.3 Regional and Local Characteristics of the Lower Danube Floodplain***

In the general aspect of the different parts of the Lower Danube complex system, certain regional and local influences are noticed, which give specific characteristics to it, particularizing it as territorial individuality.

The floodplain and terraces of the Lower Danube River have been and are subject to continuous changes due to the destructive and constructive action of the river and the contribution of the main tributaries, as well as slope processes, suffocation, subsidence and wind processes and human influence too.

The complexity of the geological substrate, its mobility and the particularities of the geological evolution of the region, explain the very complicated course of the Danube with numerous changes of direction, large loops and meanders. The process of general uplift of the Holocene riverbed, through a massive accumulation of alluvium create favorable conditions for the appearance of meanders and riverbed braiding.

Transport, erosion and accumulation take place simultaneously [39], but on the entire Danube riverbed, the processes of transport and accumulation are dominant, through the continuous tendency of formation and uplift of the alluvial bed, due to the rise of the Black Sea level in Holocene. The intensity of river processes varies greatly during a year, depending on the hydrological regime, from the lowest levels, when the processes take place only within the river channel, to the maximum levels, when the processes extend over a larger area, including the floodplain.

Erosion is especially pronounced in the concavity of meanders, at upstream and in one of the sides of most of the islets, but it is observed in the sectors of rectilinear course. Erosion acts through swirls that cause shoreline changes, followed by bank landslides.

The usual forms of accumulation in the river channel are the islets, and the accumulations within the secondary arms are very frequent, with a tendency to anastomose and clog them, processes accentuated with the reduction of the slope.

The continuous tendency of raising the alluvium bed implies the development of general processes of alluvium and clogging, first of all of the low parts of the floodplain. The alluvium in the floodplain has an intermittent seasonal character, because the possibility of its manifestation appears only when reaching a certain level of the Danube waters, when between the river and the lake depressions inside the floodplain, a direct connection is established. Alluvium occurs either through

channels, or small channels (at moderate elevations), or directly by crossing the shore levee at very high and exceptional water levels. The direct alluvium, over the shore levee, at the highest water levels is made in the surface, due to the reduction of the water speed when passing from the minor riverbed to the major one, in which there are many obstacles. This process directly results in the widening of the levees and the development of the transition floodplain strip to the detriment of the depression surfaces.

Another form of alluvium appears because of ruptures in the banks with accidental and short-term occurrences only at exceptional increases (in winter when Danube river frozen completely), but causes rapid local changes in the morphology of the floodplain. Stopping the ice causes a rapid rise in level and a sudden outflow of water to the depressions in the floodplain. Channeling primarily on existing channels and canals, with unusual speed, the waters break the levee in these places to open an increasingly wide path for drainage. Depending on the intensity and duration of the ice, as well as the structure of the levee shore, huge amounts of alluvium are introduced that cover with variable thicknesses the extended surfaces, sometimes of several kilometers. Although the frequency of this phenomenon is low, the contribution to the evolution of the floodplain is considerable due to the rapid change of the ratio between the high and the depressions within the floodplain. A single such alluvium is sufficient for clogging and disappearance of large areas of depression [7].

The action of the wind is manifested by the scattering of sands left to overflow by the Danube River and some of its tributaries, which it deposits in the form of dunes. The deflation process produces continuous changes of the dunes until they begin to set by covering with vegetation and solification. There are frequent cases of reactivation of the dunes by destroying the vegetal carpet and upsetting the thin horizon of incipient solification, caused by grazing, animal circulation, cultivation, deforestation.

### ***3.4 Danube Floodplain Biome***

Grafted on a relatively uniform hypsometry (except for the depth of the Danube arms), the Danube floodplain has a great diversity and abundance of plant and animal species, chained in a complex of aquatic and terrestrial biocenoses. Perhaps that is why the tendency to define the Danube floodplain as a biome has accentuated, thus emphasizing the biocenotic component of this territory. The definition of the biome in the Dictionary of the Romanian Language [40], considers it as an “ecological complex that is formed in relation to a certain environment”. However, starting from the definition of the ecosystem, first made by Tansley in 1935 [41], as a mixed system consisting of a biotope occupied by a certain biocenoses. Odum, already in 1953 [42], furthers this concept and considers the biome an association of ecosystems with a complex functional aspect, corresponding to macroclimatic areas (e.g. tundra, steppe, savannah, tropical forests, etc.). In the same sense, starting with Odum’s



conception, Pierre quoted by [43], considers the biome as a superior biogeographic unit, on a zonal scale, forming a relatively homogeneous mass of plants and animals in accordance with the climate (e.g. tropical forests, tundra, etc.). In 1970, Whittaker quoted by [44] used the notion of a biome or major ecosystem for aquatic habitats (coastal, abyssal, etc.). In hindsight to these definitions, it can be stated that the floodplain of the Danube River is a typical example of a biome, a complex of aquatic ecosystems, both wetland and terrestrial.

### 4 Major Works and Impacts in the Lower Danube Complex System

The Danube River, together with its floodplain, is a very complex system of ecosystems, which provides habitat for a particularly rich flora and fauna and support for socio-economic activities. The map presented in Fig. 5 classifies the engineering works performed in the area during the last 150 years.

As Cristescu shows [45], the modification of a component of the system, exercised naturally or artificially, spontaneously triggers, according to the law of connection of objects in nature, the whole mechanism of modification of the other components. This is especially true in the context of changes in the Lower Danube and its floodplain.

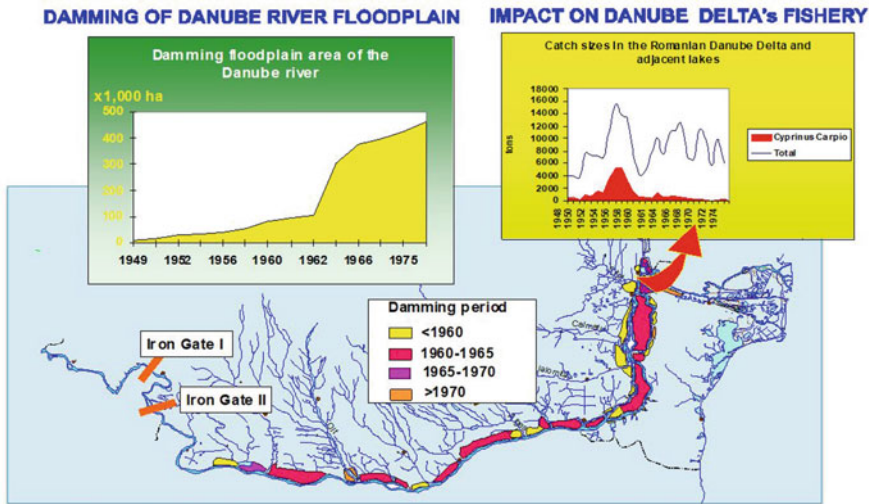


Fig. 5 Anthropogenic intervention (damming) in the Danube floodplain and impacts on the Danube Delta's fishery [48]

## 4.1 *First Engineering Works*

The first embankment works within the floodplain of the Danube were carried out during 1895 in the area of Mahmudia, on the Saint George branch, part of the Danube Delta, by Eng. Langeveldt (from the Netherlands) and Eng. Dithmer (from Denmark) [37]. This works failed because of the difficulties met in the desiccation of lands, reed clearing, and in the agricultural cropping. The work was abandoned after 250.000 Romanian lei (47.672 US \$ in 1904 or 1,4 million US \$ in 2021) have been spent. Historical records [46], mentioned by Saligni in 1904, show that the engineer Dithmer achieved success in embanking 1,700 ha in the Chirnoși Unit. During the next years (1905–1906) the works extended at the Mânăstirea Unit on 334 ha and at the Luciu-Giurgeni on an area of 3150 ha.

Large yields obtained on the arranged lands, lead to the issuance of a law in 1906, which authorized the Ministry of Agriculture and Lands to embank its domains in the floodplain of Danube. Because of the application of this law, between 1906 and 1908 an area of 1708 ha in the unit Spânțov (near Oltenița) was embanked. In 1910, 7100 ha were embanked, which, generally, were not dimensioned enough. Due to this fact, the inner desiccation was only achieved in the Unit Spânțov.

The exceptional yields obtained on this lands, facilitated the promulgation the “Law of improvement of the area liable to floods of the Danube” that would stipulate the almost complete embankment of the Danube floodplain with insubmersible and continuous dykes. The same law included the creation of the Special Service for Land Improvements, coordinated by the Eng. Anghel Saligny within the Ministry of Agriculture and Lands [46]. The objectives of this Service were to design safe and insubmersible levees in the floodplains, and to intensively cultivate the lands obtained by embankment. The First World War interrupted the embankment works in the Danube Floodplain, until 1925 when work was resumed at the Spânțov and Mânăstirea Units.

## 4.2 *The Alternative Antipa Plan*

Starting with the beginning of the twentieth century, Acad. Grigore Antipa promoted the naturalistic conception of extensive, predominantly fish-like arrangement [47]—which supports the interrupted embanking of the Danube Floodplain. Only on lands with higher elevations did he support embanking, restricting the embanked surface to only 130,000 ha. Also, the proposed levees were to be submersible, so that on average once every 10 years, the protected lands could be flooded and their use designed for complex fishing and forestry. There are certain arguments in favor of this thesis, which are still actual today:

- the inability of the unsinkable levees to ensure a certain defense of the lands and the inevitable catastrophes that would occur—exemplified by the ruptures of the levees observed over time;

- the alteration of the hydrological balance of the river which, without the floodplain, would raise its level to floods to such an extent that the ports, human settlements would be flooded, the dykes would be overtaken, and the river channel would lose its stability;
- the rapid decrease of soil fertility due to the cessation of the supply of fertilizers that the periodic floods ensured;
- current decreased fish production, due not only to the removal of permanent ponds, but also to the reducing of the flooded area, which is an area of natural filling of fish.

In opposition with the original technical concept of Saligny's department, which uses flood lands from the Danube floodplain for agriculture, the Board of State Fisheries, coordinated by the famous biologist Grigore Antipa [47], promoted the naturalist planning conception of extensive fisheries—which set partial embankments in the Danube floodplain. This was only to be implemented on lands with higher altitudes, using submersible proposed levees. The height of the terrain and the corresponding levees would ensure that every 10 years lands would be flooded. In addition, this would also disallow any other use outside of fisheries and forestry.

After the protests raised by the Board of State Fisheries, a new institution was set up, the Administration of Fisheries and Improvement of Floodplain Area (PARID). This institution also focused on land improvement. The new team included some amendments to the original plans, such as embankments in the Danube Delta, Balta Brăilei, Brateş Lake, and other low areas, that were left only to fishery uses. Within these areas, the method allowed Acad. Grigore Antipa to gain recognition for his ideas regarding the use of submersible levees [47]. One major concession was given to Saligny and his department. This was the increase of the embanked area used for agricultural purposes to 200,000 ha. Also, the levees' levels were raised from 8–8.5 hydrograds to 8.5–9. Large shallow lakes and spawning areas were excepted from embankments.

In 1932, the scientist Grigore Antipa published the General Plan of improvement of the Lower Danube in the bulletin of the Division of Sciences of the Romanian Academy, where he exposed the conception of submersible embankment with dykes of maximum 8.5 hydrograds for the level of running waters on about 130,000 ha.

### ***4.3 Hydrotechnical Interventions***

During the last century, the floodplain of the Lower Danube was mostly embanked—almost 84%, namely 430,000 ha from a total of 513,900 ha [35]. Consequently, the ecosystems of this wetland were altered and mostly abolished. If the river dynamics can no longer create new ecosystems, the embanked sectors of the floodplain tend towards terrestrialization, understood as a phenomenon of changing the wetland ecosystem into a terrestrial ecosystem under anthropogenic impact.

In this sense, the following general aspects can be appreciated:

- the general characteristics of the floodplain relief are a direct result of the magnitude frequency and duration of floods and floodings;
- the almost complete embankment of the Danube floodplain with nonsubmersible levees has affected both the hydrogeomorphological system and the local and regional topoclimates, a phenomenon accentuated in the conditions of global climate change;
- as a result of the elimination of the floodplain, the nutrient retention capacity has been exceeded and since the 1970s, the Danube waters are affected by a strong eutrophication, which led to the reduction or loss of submerged macrophytes, change of specific algal spectrum and proliferation of competitive species, in conditions of excess nutrients (e.g. green–blue algae) [35]. These phenomena affect the trophic cycles, leading to a decrease in biological diversity through the disappearance of some species, many of which have high landscape and even economic value. Also, the hydromorphological processes with implications in the dimensioning of the river channel on which the drainage depends in good conditions, were affected, observing displacements of the Danube River currents;
- another action whose effects were not taken into account was the construction of dams and the formation of reservoirs necessary for power generation [34]. Their appearance led to the modification of the flood regime and to the decrease of the amount of alluvium transported by the Danube River, due to the decantation of the waters, having as effect major changes in the dynamics of the Romanian coast at the Black Sea. Another effect of the dams is the interruption of migration routes for the reproduction of sturgeon species with high economic value;
- the transformation of Danube floodplain ecosystems into terrestrial ecosystems has reduced their functions (ecological, economic, recreational, aesthetic and educational) to a single one—economic.

Today, approximately 430,000 ha of the Danube Floodplain area, in Romania (513,900 ha) are embanked (on a length of approx. 800 km) being arranged with drainage works and drainage premises (418,000 ha). In all cases, canals were constructed to intercept the runoff from the slopes, canals with pumping stations to evacuate the flood flows of the valleys with direct flow into the premises, as well as some ponds/lakes, such as Bistreț, Suhaia, Călărași, Bugeac, Oltina. The Danube, Vederoasa, Jijila, were sized for fish farming.

The effects of this action appeared much later [35], and were manifested by:

- eutrophication of the waters of the Danube Delta and partly of the northwest of the Black Sea, due to the elimination of the filtering effect of the floodplain and therefore the increase of nutrients, coming from intensive agriculture and untreated discharges of riparian cities, transported by Danube waters;
- the change of the specific fishing spectrum and the dramatic decrease of the fish populations with high economic value (especially of the sturgeons and even carp stocks) due to the disappearance of the areas with shallow water, propitious to the laying of caviar-eggs and the feeding of the young-fishes.

The natural areas were limited to only 83,900 ha distributed at the mouths of the tributaries of the Danube and within Insula Mică a Brăilei.

#### **4.4 Major Works Prior to 1989. Construction of the Iron Gates (Portile De Fier) I and Iron Gates II Dams**

Prior to the change of regimes in Romania in 1989, which coincided with significant geopolitical change around the world, the major engineering works related to the Danube River followed communist ideology that impacted scientific perspectives and major decision making. The evolution of wildlife diversity in the lower Danube floodplain suffered extensively from two major technical interventions.

These hydrotechnical interventions resulted in a superior migration route of anadromous fish. The most affected were the sturgeon species, but also the Danube mackerel. Literature records [35], show that, in the past, these species migrated annually to the middle sector of the Danube, in the Pannonian Basin. Today the sturgeons cannot cross the Iron Gates I dam.

The construction of the Iron Gates I and Iron Gates II hydropower dams also had an effect on the taxonomic structures of the biocenoses upstream of the respective dams. The increase of the water depth, the transformation of the river sector into lakes, all favored the installation of stagnant species, which slowly eliminated the rheophilic forms. The most obvious changes occurred in the qualitative structure of plankton and ichthyofauna, which evolved into typical lake associations.

The temporal dimension of Lower Danube System embankment, emphasizes the correlation between economic/technological development and the intensity of the impact on the physical environment of terrestrial ecosystems. As it can be seen in Fig. 5, anthropogenic degradation of aquatic ecosystems is a ubiquitous reality with major implications.

The spatial dimension of the works performed in the Danube floodplain impacts the manipulation of the physical-geographical environment by affecting/inhibiting the natural processes—fluvial, wind and micro-climate or hydrological processes. Proper functioning of ecosystems leads to self-regulatory processes that maintain sustainable flows of matter and energy, which through anthropogenic changes in the floodplain cause degradation processes/morphological, chemical, hydrological or even biological changes, all creating pressure on the structure and functions of ecosystems.

The human impact on ecosystems is the central theme of numerous studies on the degree of anthropogenic degradation [35, 49]. Numerous evaluations and monitoring indicators have been developed to diagnose the state of ecosystems (naturalness index, productivity, land segregation, hierarchy of spatial distribution of ecological equipotential areas). Most of them indicate shifts in the land use as major contributor to changes in landscapes, ecosystems and the environment. Urban areas and related infrastructure are the fastest growing, with productive agricultural land coming in

second. The recent evolution of the landscapes of the Lower Danube Floodplain under anthropogenic pressure (intensive exploitation of natural resources, intensification of built land—agricultural, fisheries to the detriment of natural ecosystems, profound transformation of riparian ecosystems) leads to obvious decrease in productivity, but and to disrupt their functionality. Space and habitat management, especially at the level of the Lower Danube floodplain requires the identification of ways and means of restoration, conservation, protection and social management of ecosystems and landscapes. Changes in land use have qualitatively changed the environment of some initially equipotential units, and topographically artificialized surfaces have influenced river hydraulics and increased risk of floods. The transformation of the Danube floodplain ecosystems into terrestrial ecosystems has reduced their functions (ecological, economic, recreational, aesthetic and educational) to a single one—economic.

## **5 Lessons and Perspectives Related to Connectivity in the Complex System of the Lower Danube**

The projects developed in the complex system of the Lower Danube worked around the inter-governmental agreement of the Green Corridor of the Lower Danube established in 2000 by the governments of Bulgaria, Moldova, Romania, and Ukraine. This agreement was based on the theory of connectivity and aimed at creating a network of interconnected protected areas that could maintain and conserve biodiversity in the spatial context. This framework facilitated the projects in this paragraph.

The project results presented in the next sections were obtained by the implementation of projects within the Danube Delta National Institute for Research and Development.

### ***5.1 The Green Corridor of the Lower Danube—Romanian Sector***

A first project, developed in 2000—Elaboration of the Documentation for the “Green Corridor of the Lower Danube - Romanian Sector”—noted the importance of the role of the aquatic environment, and focused on the study of watercourse ecosystems. The objectives were to restore and conserve the biological diversity specific to the Lower Danube floodplain area. The project also promoted benefits for the population in the area by increasing its occupancy and developing traditional activities such as fishing and processing of fish products, reeds, wicker, wood, etc., increasing the tourist interest of the area and developing the necessary infrastructure. Figure 6 presents the proposed actions of the project in the Romanian sector.

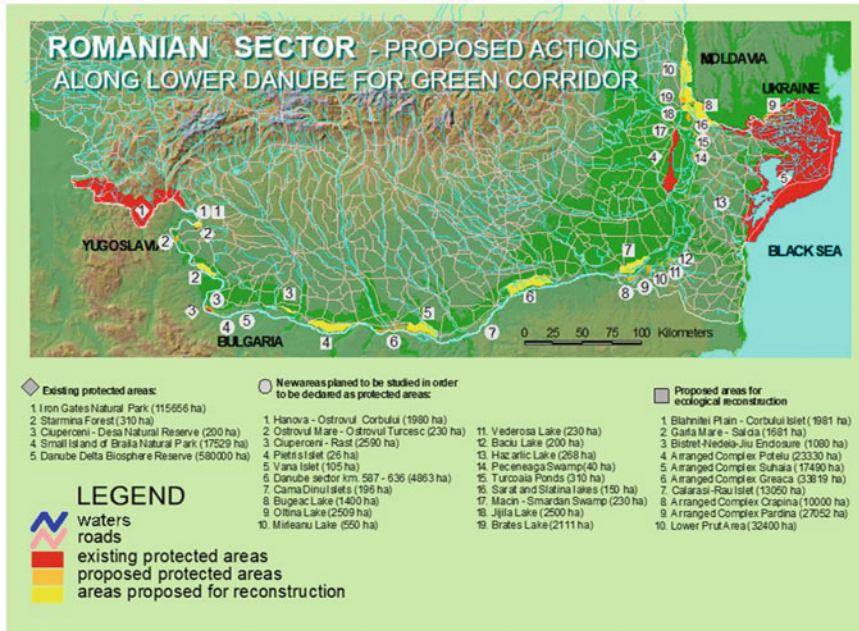


Fig. 6 Green Corridor of The Lower Danube—Romanian Sector [50]

The general objective of the above-mentioned project was to develop a regional ecological network in the Danube floodplain, integrated into the National Network of protected areas, by applying the concept of “coherence of spatial structures” throughout Danube Floodplain. Strictly protected areas (core areas), ecological corridors, buffer zones and ecological reconstruction areas were considered essential for the Green Corridor. The design of this complete ecological network included each of these components, on different levels of protection, adapted to local needs in order to implement integrated management plans.

A successful rehabilitation program in these regions should not be limited to technical, legal or economic measures, without conducting social/cultural anthropology studies, as it brings changes in fundamental cultural values and conditions, changes that protect the integrity of the ecosystem and the quality of human life.

The concept of harmonizing the requirements of environmental protection with the objectives of social development presupposes human awareness of the ecosystemic relations between biotic and abiotic resources. This harmonization requires the involvement of users/beneficiaries in the management of their own ecosystem—this cannot be achieved without knowing the historical conditions and social relations.

## 5.2 Ecological Reconstruction of Călărași-Răul Islet

The project “Ecological Reconstruction of Călărași-Răul Islet” was developed within the World Bank International Program “Pollution Control in Agriculture”. It focused on the initial ecological status and documentation for the development of a hydraulic model for ecological reconstruction of the pilot area of ecological reconstruction—Călărași-Răul Islet (Fig. 7). The area had been previously evaluated in the Lower Danube Green Corridor Documentation, as an area with potential for rehabilitation in the Lower Danube floodplain—the Romanian sector, precisely due to its ecological importance, nutrient retention and recycling capacity, and the role of the islet in conserving the biodiversity.

The objectives of the project “Ecological reconstruction of the Călărași-Răul Islet”, were structured in three parts:

- preparation of the proposal for the ecological restoration of approximately 3000 hectares of degraded land, consisting mostly of the former rice field;
- elaboration of a detailed project from the point of view of the activities and costs regarding the ecological restoration of the pilot area;
- preparation of a program of activities and costs for four years regarding the biological and hydrological monitoring of the area to be restored, mentioning also the essential indicators for monitoring and evaluation.

Unfortunately, this pilot project was only implemented in a part of the Călărași-Răul Islet—Reis Land Field of 3000 ha, which also made necessary the redesign of

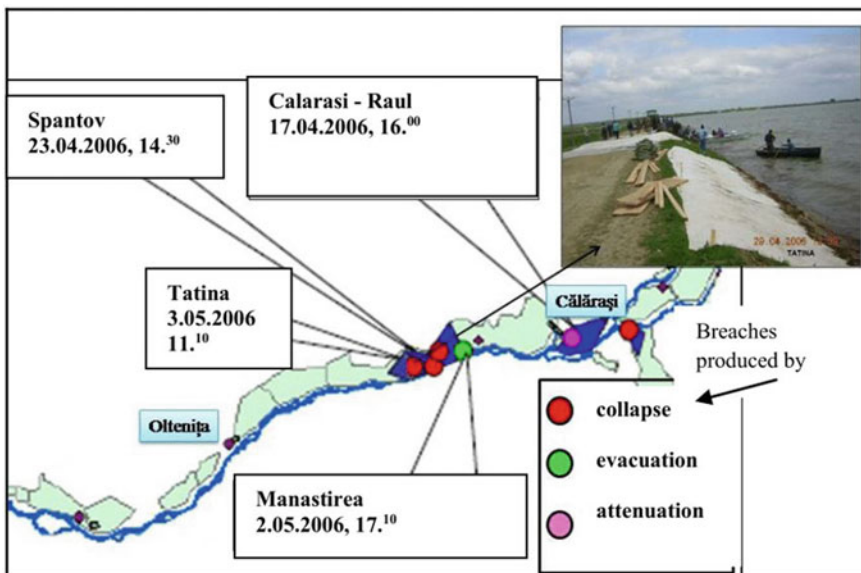


Fig. 7 Călărași-Răul Islet location [51]



the levees for the defense of the Agricultural Development in the entire islet. This led to raising costs, found to be unacceptable by the World Bank and the cancellation of future implementations. It is a conclusive example in which local connectivity is dependent on trans-systemic connections. The correct assessment of the impact of hydrotechnical works performed on watercourses requires a global and long-term ecosystemic approach, which involves the correlation of physical and geographical changes with their ecological consequences.

### ***5.3 Protection of Wetlands of the Danube river—A Pilot Project for Cama Dinu Islets Area***

The pilot project for Cama Dinu islets area (see Fig. 6), financed by the Phare CBC program, focused on ecological protection and ecological restoration options for the Romanian-Bulgarian section of the Lower Danube floodplain and the implementation of the EU Directives: Water and Birds and Habitats Framework Directives in the two countries. It used the Cama Dinu islets area as a pilot study.

The few areas of the Lower Danube floodplain that have no hydrotechnical works on them are considered areas with high biodiversity, resulting precisely from the interaction between the river and its floodplain. This includes the islets, which denotes the characteristics of connectivity. Also, the complexity of the relationship between different environmental parameters creates conditions for high biodiversity. The governing factor for the floodplain is to balance these parameters as the ecosystems are subject to permanent and cyclical changes in water levels, ranging from floods to extreme droughts.

This project laid the foundations for the implementation of the Natura 2000 Directive in the Complex System of the Lower Danube, but also created the premises for the study of connectivity between tributaries and river.

### ***5.4 Restoration of the Water Course from the Danube Floodplain—Gârla Pasărea (Bird Channel)***

The experience in the Cama Dinu islets was useful in developing the Feasibility Study entitled “Restoration of the water course from the Danube Floodplain—Gârla Pasărea (Bird Channel)—by reconnecting it between the Vedea River and the Danube” [19], that was elaborated in 2005 by a team of experts from Danube Delta National Institute for Research and Development Tulcea, Romania. The process of reconnecting the Vedea River—Pasărea River to the Danube, by ensuring historical lateral connectivity, raises the issue of protection of localities against floods for maximum water levels in the Danube, as well as the restoration of the hydraulic scheme of the entire unit. This solution can be considered only if there is a proposal to rehabilitate the

entire Unit, located south of Gârla Pasărea (Pietroșani-Arsache Agricultural Precinct, in fact the former Arsache Meadow in the Danube floodplain) and also if the dykes that protect the localities will be resized (those localities in the north of Gârla Pasărea). This channel of over 40 km ensures a drain with a slope of 3 cm per km.

Hydraulic modeling and the Digital Terrain Model are the essential components in connectivity analysis. Based on these models, reconnection scenarios can be created—in the case of Gârla Pasărea, 5 scenarios were created with various flow rates at reconnection.

Reconnection to the Danube of the Gârla Pasărea (with or without water storage by side discharges) raises special problems both related to flood protection of localities for maximum values of the Danube level, and due to hydrotechnical schemes—Pumping stations, irrigation canals, dykes for compartmentalization of agricultural precincts, etc. An uninterrupted scenario can be considered only if this variant proposes a restoration of the entire unit and especially of the area located south of Gârla Pasărea (old Danube floodplain) and a resizing of the protection dykes of the localities located north of this one.

### ***5.5 More Room for the River in the Cat's Bend (Cotul Pisicii), Romania***

The project “More room for the river in the Cat's Bend (Cotul Pisicii), Romania” [18, 20], was developed within the Water Partnership Program of the Dutch Government in the context of the European Directives—Water Framework and Flood Risk Management. It provided supporting adaptive ecosystem management in floodable area management in the Lower Danube Valley. It sought to meet environmental issues in the formulation and implementation of these directives. The project developed integrated spatial planning sketches for the region of Cat's Bend (Fig. 8) in an interactive and participatory process with national, regional and local stakeholders, using the “Sketch Match” method developed by the Dutch Government Service for Land and Water Management (DLG).

Because the Danube River was “channelized” and closed laterally, through dykes/levees, there is no space left for polders that can help reduce the peak flow during periods of melting snow or torrential rains and for the functioning and sustainable services of ecosystems along the river. The Cat's Bend sees the Danube meet with two major tributaries, the Prut and the Siret rivers. Due to climate change and widespread deforestation and clearing of wetland vegetation, these peak flows occur more frequently, and also carry a larger volume of water in a shorter time. This happened during the 2005, 2006 and especially 2010 floods (Fig. 9), when much of the Hârșova region, located upstream of the bend, was affected by floods and even required evacuations.

The spatial planning solutions developed within the project are not limited only to this region of the project, but also target potential upstream and downstream



**Fig. 8** Cat’s Bend area targeted by the project—cartographic support Topo-military map 1985

effects at the qualitative level. Recurrent floods in recent years impose a new and different flood management policy. In the short term, anti-flood measures must be taken, paying attention to different land use functions: for example, flood protection near residential areas, nature, agriculture, maritime transport and other economic activities, such as tourism.

Traditional measures, such as the raising and strengthening of dykes relatively expensive, offer little chance of being effective. Water bodies need to be managed differently, in a way that gives back more space to watercourses and nature, through adaptive and ecosystem management to fight floods in the Danube Delta region. It must also pay sufficient attention to environmental and socio-economic issues and the mutual correlation between these functions and interests.

The initial objective of the project was to develop scenarios for flood protection in the Cat’s Bend area. During the project, however, a multi-disciplinary approach was preferred, including all aspects such as regional socio-economic development and the improvement of the micro-climate (given the drought and high temperatures manifested during the summer).

An important result of this project is precisely the relevance of these components of connectivity for the identification of water management solutions, being perhaps even more relevant, compared to the initial objective, only for flood protection. This conclusion was the result of applying the interactive method of “Sketch Match”, the representatives of various stakeholders analyzing together the current problems and developing possible solutions.



**Fig. 9** Impact of floods in 2010 in the Cat Bend region

The “Sketch Match” method is a working method developed by DLG (Rural Area Department of the Ministry of Agriculture, Netherlands) which works on the principle of working in a creative environment but under the pressure of conflicts of interest. In an interval from one day (minimum) to maximum three days, a series of participants who showed interest during the interview campaign, conducted during the preparation of the Sketch Match session (for example: citizens, policy makers, farmers) meet to analyze, define and find common solutions in the spatial planning process. The strong point of this method is group work.

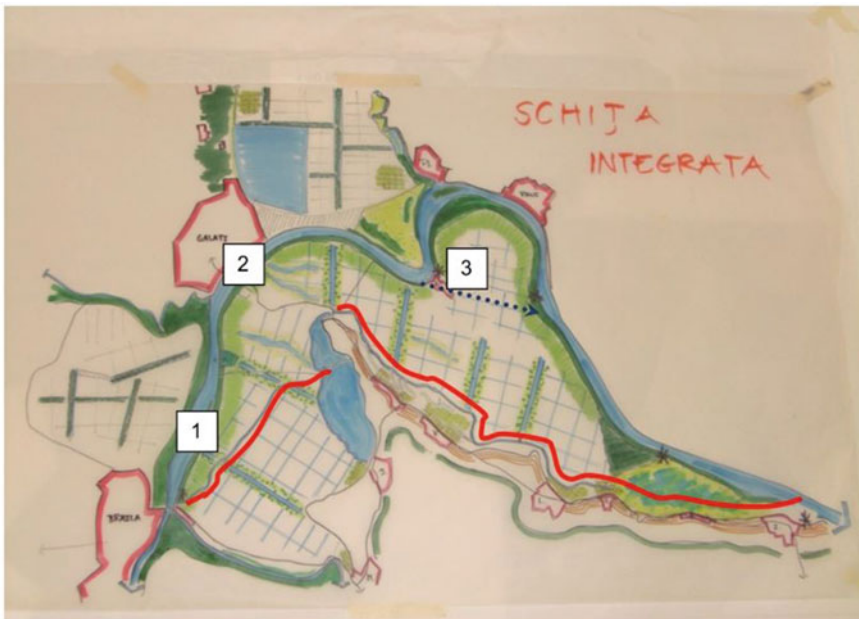
The Sketch Match session took place in June 2009, with 45 persons which represent different regional and national stakeholders, to develop solutions to water management issues and to stimulate regional economic development, culminating in the integration of concepts into an integrated outline that was presented to the Ministry of Environment.

Thus, 3 solutions were integrated:

- reactivation of the former Channel (Gârla) Ciulineț (with number 1 in the next figure) by reconnecting it on the existing segments—channel which existed on the edge of the Danube terrace and took over a flow from the river, during the floods. This concept is based on the principle of connecting a network of old arms

and former floodplain territories (exterior delta) of the Danube, through a new connection channel with west–east orientation along the Macin Mountains. This new waterway may even encourage economic development and the microclimate in the villages at the base of the Macin Mountains, connecting them with the river and reactivating former lakes—Jijila and Crapina. In case of large volumes of water on the Danube, it will serve as a spillway contributing to lower water levels.

- drainage-irrigation system—this idea is based on the current irrigation system and drainage channels; aims to improve this system and connect it with the old network of dead channels (japşe) and arms. The infrastructure and plantings will be made following a parallel line with these canals and contribute to an improvement of the ecological and visual quality of the landscape. This concept particularly strengthens the potential for agricultural production and improves the microclimate.
- building a discharge canal (with number 3 in the next figure), south of Grindu. These measures contribute to flood protection, lowering the Danube water level. It is also possible to combine these measures with the system of drainage and irrigation canals (2) or even the Ciulineţul Channel (1), seen in Fig. 10.



**Fig. 10** Integrated solutions proposed in the Cat’s bend

## ***5.6 The Ecological and Economic Resizing Program in the Romanian Danube Floodplain***

After the floods that occurred in the Danube Floodplain in 2006, the Ecological and Economic Resizing Program in the Romanian Sector of the Danube Floodplain was approved by Governmental Decision (GD) no. 1208/6 sept.2006. It represents the strategy for sustainable development and the reconsideration of the lines of defense against floods of the localities from the Danube floodplain. This strategy is based on the evaluation of the various flood suitability scenarios and on the public option. It created the synergy between the Lower Danube Green Corridor and flood risk management. The ecological and economic resizing program of the floodplain and Danube Delta precincts was designed and launched to assist the Romanian Government in the long-term strategic planning process. This was to achieve the objectives of the Water Framework Directive, as well as in the effective implementation of prevention, protection and mitigation tasks of flood effects, stipulated by the National Flood Risk Management Strategy.

The program, established as a decision tool, is structured on three levels—identification, evaluation and suitability—as follows:

- reconsideration of the lines of defense of the localities against floods;
- evaluation of the suitability of economic activities in the embankment precincts in order to resize them as mixed premises (agricultural/polders and for water storage);
- renaturation of some embankment precincts in order to create wetlands of conservative interest (Natura 2000—SCI and SPA areas).

Any human approach in terms of practice is a form of manifestation of the relationship between general group and individual interests that characterizes the level of knowledge and understanding of the surrounding reality, the system of values assumed at different spatial–temporal scales. The transformations to which the Lower Danube Wetlands System has been subjected, given the spatial scale of manifestation are relevant to the context stated above, marked by the absence of a real participatory democracy, which capitalizes on decision-making power, scientific knowledge, experiences and information of local communities.

From a scientific perspective it is necessary to assume a realistic assessment of the limits of economic systems based on the competitive market. This is required because, pure capitalist market forces do not reflect the impact of ecosystem services, but rather show only the loss of such services, when it is too late to intervene. Also, the role of natural capital as a vital factor of production is missing in market calculations. This indicates to the fact that private profit as a performance indicator must be abandoned, in order to make way for a multicriteria approach, in a holistic, integrative context.

Economic and ecological criteria must be complemented by socio-political criteria. We emphasize, in this context, that through the multifunctional arrangement

of the Lower Danube floodplain are partially or totally replaced advantages appropriate to the individual time scale (generated by agro-systems) with advantages appropriate to the social time scale (generated by wetlands); therefore, the socio-political criteria can significantly correct the result of the analysis of the economic-ecological efficiency.

The Ecological and Economic Resizing Program in the Romanian Danube Floodplain sector approved by GD 1208/2006 is not only intended for protection against floods but has several other purposes, namely:

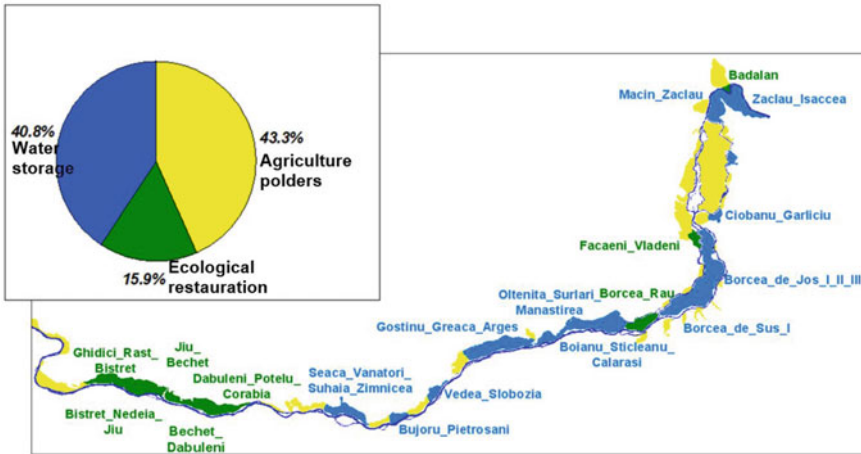
- sustainable development and introduction of integrated adaptive management;
- preserving the traditional forms of economic activity that do not contribute to the production of ecological imbalances;
- informing and educating the population regarding the value of the Lower Danube Complex System landscape and the need to conserve and protect the plant and animal species, of the respective landscapes; conservation of ecosystems and balanced use of renewable natural resources.

The objectives of the Program would be achieved by applying the concept of connectivity, respectively coherence of spatial structures—physical-geographical units, at the level of the entire Danube floodplain taking into account the following principles:

- protection and restoration of all key ecosystems and all-important species that make up the landscape and biological diversity of the Lower Danube floodplain, Romanian sector;
- promoting the principle of sustainable development in the Lower Danube floodplain through an integrated and adaptive management applied by professionals in ecology and economic activities, like agriculture, forestry and fishing;
- conservation of biological diversity and ecological reconstruction of damaged systems in the Lower Danube floodplain;
- highlighting the heritage of cultural and historical values in the Lower Danube Valley through the development of ecological tourism;
- compliance with the provisions of international conventions and programs on environmental protection;
- consolidation of the logistics of public education for the conservation of nature and landscape values in the Lower Danube Valley;
- cooperation of all European Danube nations.

The hydrological scenarios made with the hydraulic model of the Danube River considered the functions of the areas in the floodplain (Fig. 11) and focused on quantifying the reduction of the Danube level to maximum levels in the following 3 cases:

- flooding of agricultural embankment precincts in natural regime (there are no levees, the floodplain of the Danube is being restored);
- water storage in agricultural embankment precincts (water stocks);



**Fig. 11** Functions of agricultural/fishing enclosures considered for hydrological scenarios [38]

- mixed solution by storing water in some embankment precincts and flooding others in natural regime (renaturation).

The restoration of only 15.9% of the embankment surface of the Danube floodplain would mark a significant stage in the implementation strategy of the Program. Also, by promoting the concept of mixed enclosures on the 40.8%, polders with economic activity, agricultural/fishing and in at the same time, embankment precincts for water storage in case of floods, the implementation of the Flood Risk and Water Framework Directives in the Danube Floodplain are ensured.

### ***5.7 Danube Floodrisk Project—Stakeholder Oriented Flood Risk Assessment for the Danube Floodplain***

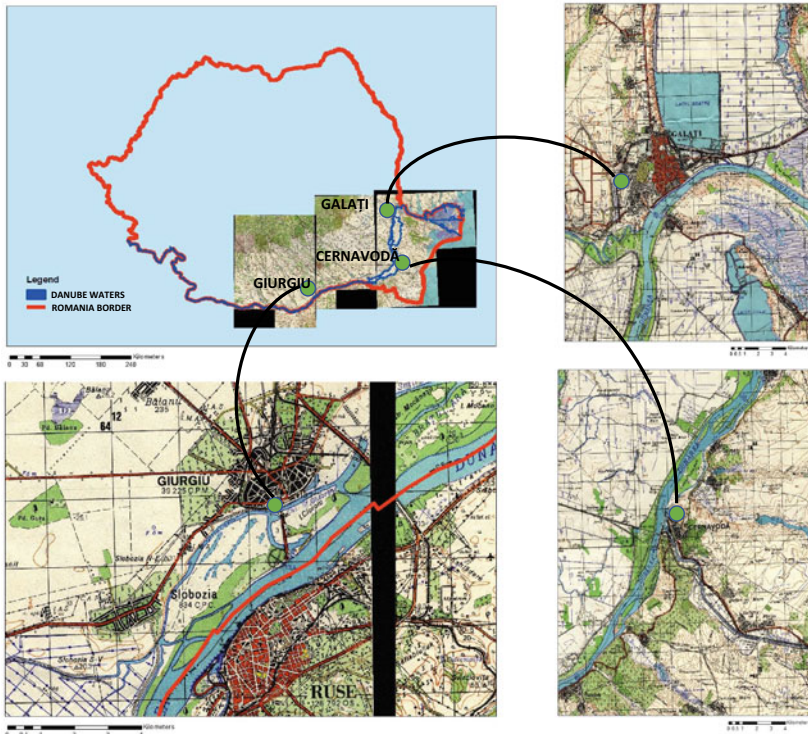
Another project aimed at extending the entire course of the Lower Danube River, the concept of synergy between the Green Corridor, Flood Risk Management and stakeholder involvement was the Danube Floodrisk project—Stakeholder oriented flood risk assessment for the Danube floodplain.

The overall objective of the Danube Floodrisk project was to develop and produce high-quality, stakeholder-oriented hazard and flood risk maps for the entire Danube River System to provide adequate information on spatial planning risks and economic demands. Risk information is the basis for sustainable development in regions along the Danube River. The key objective will only be achieved through intense transnational cooperation and stakeholder integration. The aim is to link scientific progress in harmonizing approaches and data with the practically targeted involvement of

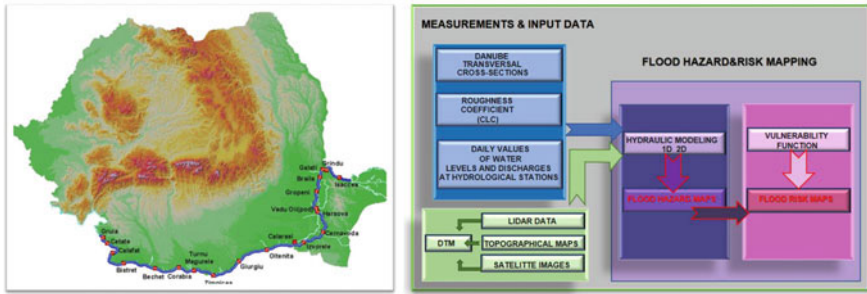


stakeholders and the end user. Vertical and horizontal cooperation are the two pillars of the project. The objectives of the project covered a number of aspects:

- develop a common method of flood risk mapping and harmonize data sources;
- production and provision of risk maps and risk information;
- integrate relevant stakeholders and users at different levels into the definition and implementation processes;
- involvement of various economic aspects in spatial planning such as land cover/use in the river basin, agriculture, tourism, energy supply or health services;
- relating flood risk mapping as a basis for spatial planning;
- development of model procedures for Flood Risk Management in the Danube countries and implementation of three pilot studies targeting the cities of Giurgiu, Cernavodă and Galați (see Fig. 12);
- feedback based on experiences of cooperation between partners on the implementation of EU directives, e.g. WFD, Flood Directive, using the platform of the ICPDR Expert Group on Flood Protection.



**Fig. 12** Historical topographic maps used in the Danube Floodrisk project



**Fig. 13** Romanian Sector of the Danube between Gruia (km 851) and Isaccea (km 50)—left and the workflow structure and databases for the hydraulic model and hazard maps—right

In the project, the 1D\_2D hydraulic model on the Romanian sector of the Danube between Gruia (km 851) and Isaccea (km 101) was performed. It required the spatial processing of several data layers in GIS format whose structure is presented in Fig. 13.

## 6 Conclusion and Recommendation

As environmental protection and adaptation expand their role in combating climate change and the energy transition required to tackle it, it is appropriate to think of multi-connectivity as a physical and biological way to meet the functioning of ecosystems and other current needs.

The Lower Danube forms a complex hydrogeomorphological and ecological system and presents a series of specific elements that influence the multi-connectivity and implicitly the functioning of ecosystems. Some of these elements are: hydrological cyclicality, determined by seasonal dependence, instability due to climatic and hydrological variations and land use conflict in the Lower Danube Corridor. However, these elements change regularly, and so does our understanding on how they are reflected in the system.

Due to human intervention, the Danube and its wetlands are completely different today from what they were 120 years ago, prior to the first hydrotechnical works performed. These transformations, to which the Complex System of the Lower Danube has been subjected, given the spatial scale of manifestation are relevant for the context presented above. The study area suffers from imbalances that human intervention has caused. In this situation, the multi-connectivity models the impact of proposed changes and helps with comprehensive planning. The proposed measures ensure a good circulation of energy and matter. This inter- and trans-connection can be a precise descriptor for all aspects related to environmental protection and quality.

The connectivity of the Danube system was affected at all levels: spatial, temporal, thermal/optical, ecological. The analysis performed in the presented studies aimed to identify future developments, trends, but also gaps in mentality, facing stakeholders

in the Lower Danube area, their perception of the main coordinates of their activity in relation to ecosystems, precisely to form a basis for formulating proposals and solutions.

The combination of technical aspects aimed at creating optimal ecological conditions for sustainable development, and included economic aspects presenting the resources of natural capital with maximum economic efficiency that can be done within the Danube system, taking into account multiconnectivity.

The forecasting within the Danube system of the optimal ecological scenarios requires the substantiation of each component element of the entire system, approach at different scales—local and overall, based on rigorous calculations. This requires the use of modeling of multiconnectivity factors: spatial, temporal, thermal / optical and ecological, and the determination of scenarios, based on criteria related to multiconnectivity.

For a coherent interpretation and understanding, in terms of the continuity of spatio-temporal and hydro-climatic dynamics and complex of interactions, biological and anthropogenic transformations need to be approached in a transdisciplinary integration framework. This allows the assessment of trends and the identification of mitigators in the improvement of intra-and inter-system connectivity. This multi-dimensional audit consists of the following axis of connectivity: lateral, longitudinal, vertical, temporal thermal and socio-ecological.

The main recommendations in the use of multiconnectivity as study approach in the Danube System analysis can be summarized as:

- two structural concepts must be taken into account: multi-connectivity, as a form of supporting continuity and resilience as a form of system development;
- the general parameters of morpho-hydrographic and climatic dynamics register significant changes and will have major effects both at global level and within the complex system of the Lower Danube;
- paradigm shifts are required in order to meet requirements such as circular economy, increased quality of life, physical and biological reintegration into nature;
- the intensity of interruptions in the systems connections differs between units but also within them; their effect is global, as barriers, such as hydrotechnical dams (Iron Gates I and II) have effects both upstream and downstream;
- using participatory democracy in decision-making processes that capitalize on scientific knowledge, experiences and information of local communities;
- the main directions of action and change will create adaptive sustainable and inclusive management by using new technologies such as Artificial Neural Network modeling.

The presented research projects and engineering works provide us with more scientific and factual evidence on the limited capacity of natural capital to provide goods and services (energy and raw material crises, global warming and pollution). What is still required is political critical mass in decision-making. This can be triggered, as the past has shown, by further catastrophic floods in the plains or by external

factors, such as climate change and international obligations that the Romanian government will take on due to EU and/or UN agreements.

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**Author Contributions** All authors contributed equally to this work.

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# Anthropogenic Changes and Biodiversity Protection and Conservation Along the Lower Danube River Valley



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**Abstract** The rivers and their floodplains are integrated systems. The biodiversity of the Lower Danube River (LDR), in terms of species and habitats, is strongly linked with its hydro-geomorphic-diversity and the natural regions it passes. Human activities, directly and indirectly, are the primary cause which has induced changes in hydrologic regime, longitudinal and lateral connectivity, floodplain geomorphology and function, biodiversity of the river waters and riparian zone. During the twentieth century, particularly after World War II, the LDR has undergone alteration of physical habitat, significant landscape changes, and ecological loss as a result of hydropower damming works and their associated water reservoirs, floodplain embankment, wetlands drainage, chemical pollution, eutrophication, and invasion of exotic species. The extensive embankments and drainage work along LDR in Romania converted about 80% of the annual flooded zone of the floodplain area primarily into agricultural region, obviating its essential connection with the river. Few areas, including reed marshes, meadows, floodplain forests, large shallow lakes, fluvial islands, and the braided section of the river named “the Small Island of Brăila”, have been preserved in natural regime in order to preserve valuable samples of biodiversity, hydro-morpho dynamic processes, and particular fluvial landforms. Most of them are ecotonal areas that have an increased and extremely dynamic biodiversity. This increased turnover of species is exacerbated by anthropogenic factors, which sometimes they can negatively influence certain species of fauna, such as sturgeons, modifying their habitats for reproduction, feeding and resting. After the 1990s, due to the change of the political system in Romania and following integrated programs of the Danube Riparian States, some areas of the engineered floodplain are subject to ecological restoration and integrated management in order to provide convenient

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ways of reconciliation between nature and human society for a sustainable development. The currently Ramsar and Natura 2000 sites network designed along the LDR provides the national and international legal framework of protection and conservation of wildlife and its habitats. The objectives of this chapter are to present a review of: (1) human interventions from the last century that lead to alteration, degradation, and irreversible losses of habitats along the LDR valley, (2) restoration projects of former floodplain areas, and (3) biodiversity protection and conservation actions carried out over the area in the last decades.

**Keywords** Lowe Danube floodplain · Anthropogenic changes · Biodiversity conservation · Protected areas · River restoration

## 1 Introduction

The Danube River on his way, from its headwater sources to the Black Sea, crosses the Europe along the 2857 km being a link between Central and South-Eastern Europe, the river that defines and integrates Europe [1]. The drainage basin of Danube River covers an area of 801,463 km<sup>2</sup>, which encompasses approximately 10% of mainland Europe, with specific and valuable eco-systems. With 19 countries within its watershed which have different cultural, political, and environmental legacies [1] and being natural border for eight of these, the Danube River is the most international river basin in the world [2]. The Danube is the most important fluvial system within European Union in terms of length, watershed (11 EU Member States are Danubian countries), water and sediment discharge [2], and also it is the largest tributary into the Black Sea. It connects five biogeographical regions, as can be seen on the map of the biogeographical regions of Europe [3]. Around 83 million people inhabit in Danube River Basin [1], which represents over 10% of total Europe population.

Geographically, and not only, the Danube River Basin is divided into three main sections and it's the delta (Fig. 1). From its source, in the Black Forest Mountains, until its confluence with the Morava River, the place named Devin Gate or Porta Hungarica, is the Upper Danube River section. From Devin Gate, near Bratislava, to the downstream of the Iron Gates Gorge extends the Middle Danube. The Lower Danube is formed by the Romanian-Bulgarian lowlands, followed by the large Romanian floodplains that extend from downstream of Călărași-Silistra localities (border between Romania and Bulgaria) until Ceatal Pătlăgeanca, where the Danube delta begins.

Along its last 1075 km, before to meet the Black Sea, the Danube River passes and borders the south and southeast Romanian territory, starting from Baziaș. Therefore, this course section of the river with a catchment area of 218,387 km<sup>2</sup> [4], which mainly overlaps with Romanian territory, is named the "Romanian" Danube.

Between downstream of Iron Gates Gorge (Figs. 2 and 3), the point where the Lower Danube River sector begins, and Ceatal Pătlăgeanca, the first bifurcation of the Danube River, which is the place that marks the apex of its delta, the river is





**Fig. 1** The Danube River Basin and the Lower Danube Valley section between Gruia and Pătlăgeanca (Map courtesy Florian Bodescu)

accompanied by a morphological floodplain that progressively becomes wider [5], and which, prior to the major human interventions produced in the second half of twentieth century, it was very complex and differs from hydro-geo-morphological and ecological point of view, which included numerous shallow lakes rich in fish and other forms of aquatic wildlife, riparian forests, meadows and unflooded terrains used locally for agriculture [6, 7].

The first attempt to establish an international association for Danube research was in 1935, with the famous Romanian zoologist and hydrobiologist Grigore Antipa, the Austrian ichthyologist Adolf Cerny, and the Hungarian Danube researchers Rezső Maucha and Emil Unger as initiators [8, 9]. Their great project was abandoned because of the economic and geopolitical circumstances of those times, but it was not forgotten. Two decades later, in 1956, at the 13th International Congress of Limnology held in Helsinki, taking into account the economic importance and internationality of the Danube River, it was proposed an international program of limnological research of the river with participation of all riveran countries. Also, in same year 1956, it was established the International Association for Danube Research (IAD). Based on the field surveys of the Danube River from its source until it meets the Black Sea, carried out by IAD in 1960 and 1961, it was published in 1967 the first limnological monograph of the whole Danube River [10]. The study, which was performed by a multinational team, was imperative in scientific terms, because it was



**Fig. 2** The Danube River in the Iron Gates Gorge section. In the background can be seen one of the narrowest points of the Danube Gorge that is named *clisură* by locals (Photo: Daniela Strat)



**Fig. 3** The Lower Danube River with its floodplain and delta. Along the floodplain section with the maximum width, named *Bălților zone* or islands section, from Silistra to Giurgeni-Vadu Oii, is the first island, the area named *Balta Ialomiței*. Between Giurgeni-Vadu Oii and Brăila is the *Balta Brăilei*. It consists of the Big Island of Brăila, which was turned into polder with extended agricultural lands and several small rural communities, and the Small Island of Brăila that has remained under free flood regime. Nowadays, the Small Island of Brăila is a protected area with national and international designation: natural park, Natura 2000 site, and Ramsar site, Wetland of International Importance. The map was redrawn after [18, 19]

the only opportunity for scientists to collect data and capture the Lower Danube in his natural state, before being transformed and modified by embankment works, draining and damming that were carried out in the following decades [8]. Similar, the Romanian Academy published a limnological monograph of the the *Romanian Danube* [11], which is comparable to that made by IAD in terms of its comprehensiveness and scientific details, and also a geographical monograph [5]. All these monographs are extremely important from scientific point of view because they had captured the natural state of the Lower Danube Valley. In addition, must be mentioned the first integrated study of the floodplain, meaning mainly the strip of land adjoining the river channel that annually is alternately the subject of a flood phase and dry phase, named “Regiunea inundabilă a Dunării și mijloacele de a o pune în valoare” (The floodable plain of the Danube River and the ways to capitalize on it), based on about 20 years of researches performed by Antipa on the Lower Danube, aiming mainly to find the best ways for the economic use of each hidrogeomorphic units of the Danube floodplain [6].

Despite of many and diverse anthropogenic interventions carried out for over a century and which have caused floodplain degradation, changes in river flow and sediment load, habitat degradation, loss of habitats and decline of biodiversity, along the Lower Danube Valley still exist areas with high degree of naturality, some of them being nearly undisturbed, and highly ecologically sections, such as fluvial islands, natural banks, and floodplain remnants [12–14].

Comparing to other rivers from other parts of Europe and North America, whose floodplains are used extensively for agricultural purpose or have become highly urbanized, and therefore they have become entirely functionally extinct [4], the floodplain of the Lower Danube has a great potential to conserve and preserve many habitats and to restore and reconstruct ecologically significant areas. All these “islands of naturality” reminds us what it was irreversibly lost and why it is urgently needed to preserve and conserve them.

After decades of isolation behind of the Iron Curtain during the Cold War, it was possible that the Lower Danube Valley to be part of integrated European and trans-boundary projects on economic, social and environment issues. Taking into account, since 2007, the most part of the Lower Danube Valley overlaps on the territories of two member states of European Union, it became part of the EU Strategy for the Danube Region, following adoption of the EU 2020 Biodiversity Strategy [15]. The Natura 2000 sites established along the Lower Danube Valley, together with the various other categories of protected areas (national parks, biosphere reserves and other national, regional and international protected areas), from both UE and non-UE nations, forms an ecological network that defines a hotspot of Biodiversity for Europe, and fulfill the aim of the Pan-European Biological and Landscape Diversity Strategy for establishing corridors to link core conservation areas and to permit exchange of species between sites [16].

The aim of this chapter was to present an overview concerning the anthropogenic activities within Lower Danube River Valley, in particular in Romanian section, since the end of the nineteenth century which caused significant changes in terms of water flow, flooding regime sediments load, morphology, and biodiversity. The high degree

of artificialization of the Lower Danube River coincided with the communist regime, although the damming of rivers, channelization, draining of the large floodplains were has been widely practiced in many other countries.

The change of the political regime in all riverine countries that overlap on the Lower Danube River Basin gave the opportunity to both governments and non-governmental organizations to act for restoring of the degraded and damaged ecosystems and habitats in the Lower Danube River as well as for the conservation of the biodiversity within. The chapter summarizes these actions and make a review concerning all types of protected areas that were designed in the last three decades and nowadays they compose a very complex network. For this reason, the paper gives valuable information to all who are interested in nature conservation or their work is related with it.

## 2 The Study Area

The first topographic map that reveals the floodplain extension of the Lower Danube River and some of its hydro-geo-morphological units is the Lesser and Greater Wallachia Map from 1790 [17]. Indubitably, the first detailed topographic map which accurately shows The Lower Danube River Valley is Wallachia Map at a scale of 1: 57,600 as a result of Austrian military survey during the Crimean War. The Austrian Map, with its Romanian version named Szatmári Map, depicts accurately the hydro-geo-morphological diversity of the Danube River channel and its floodplain, and often is mentioned as a milestone of its natural state in comparative studies on evolution both under natural and anthropogenic factors.

On the Romanian territory, geographically, the Lower Danube Valley is divided in subsections, according to hydrological complexity of the river channel and geomorphological complexity of its floodplain [5, 6, 18, 19]. Both, the river and floodplain make a big ecological corridor.

The floodplain, as a geomorphological unit, is asymmetrically developed, being prevalent on the left side of the river and progressively it becomes wider from west to east (Fig. 3). Overall, the main area of the Lower Danube floodplain (92%) belongs to Romanian territory, covering an area of 540,000 ha [18]. Its diversity in terms of features, micro-topography, ecosystems, and bio-hydro-geo-morphological processes was precisely and comprehensively described for the first time at the beginning of twentieth century by Antipa [6] who frequently used the Romanian word *baltă* with two meanings, referring both to the floodable area of the Danube River floodplain and the large shallow lakes extant in it, and later by [5, 11, 18–20].

Downstream of Drobeta-Turnu Severin, the floodplain breadth ranges from 4 km, at Ciuperceni, to over 10 km the confluence of the Danube with the Jiu River, respectively [5, 18]. This breadth of the floodplain remains almost constant until Zimnicea and this former natural floodplain section contained many lakes and large shallow lakes which in Romanian are named *bălți*. Then, on a length of 60 km, between Zimnicea and Giurgiu, the valley is narrowing, the floodplain reaching only 2 km

wide near to Giurgiu. After this narrow section, the valley gradually widens again, until Călărași, where reaches the maximum width—13 km [5, 18]. Also, this floodplain section had several large lakes and shallow lakes, of which have been partially preserved lake Suhaia.

Along the Danube River channel between Drobeta Turnu Severin and Călărași, the quite common features of the riverine landscape are fluvial islands of various size, named in Romanian *ostrove*. They are the equivalent of the *aits*, the fluvial islands that were described and named on the River Thames [21]. Being the creation of fluvial processes, these islands are formed by the deposit of fluvial sediments in the water, which accumulate over time until they emerge and are stabilized by vegetation. Apart from the islands formed as a result of the natural processes in the active river channel, there are islands that have formed as a result of the river regulation, dredging, and the hydrological alterations that followed the different engineering works made to provide the optimal navigation conditions [22].

The number of fluvial islands is quite numerous. Based on the recent islands inventory provided by the Danube River Network of Protected Areas [22], along the Danube River section between Rkm 845 and Rkm 80, there are 179 fluvial islands, which cover a significant area. Thus, on the river section that is border between Romania and Bulgaria, at the beginning of the twenty-first century, the estimated area of the fluvial islands belonging to Romanian was about 11,063 ha, and islands on the Bulgarian Danube side, covered an area of 10,713 ha [23].

Some of these islands of the Danube River, the oldest and largest ones, have been transformed in agricultural lands and forest plantations, but many others, such as Albina, Cianu Nou, Ciocănești, Fermecatu, Halalambie, Păciuiu lui Soare, Șoimu, Trămșani, Turcescu from Romania, which represent around 51% of the area of all islands area [23] are covered by natural vegetation according to their age and stages of ecological succession. For this reason, these islands are not only biodiversity hotspots and wildlife refuges requiring integrate conservation actions, but also, based on the presence of a certain plant species on an island, it can be determined the flow condition of the river in the area. Also, fluvial islands are sensitive indicators which could be used in assessment of the ecological state of the river [24] and least but not last “because islands and rivers are so fundamentally linked, any river restoration strategies must incorporate islands as well” [25, p. 815].

However, from all 147 islands classified as completely natural, representing true river wilderness, that were inventoried along the entire Danube River [22], 68 islands are along the Lower Danube River and 36 in the Danube delta. Many of these islands were designed protected areas and all of them are elements of the green infrastructure in the Danube River basin, and because they are among the most important habitats for intact river dynamics and the home of characteristic species, the cross-sectoral Danube WILDIsland corridor programme has been established to protect them [22, 26].

From Chiciu/Silistra, where Danube changes its watercourse from west–east to northward, until downstream of the Brăila city, the Danube is an anabranching river, with main stem side arms and river islands (Fig. 3). In this section, before damming and regulating works, the former floodplain of Danube has reached the maximum

width, over 22 km [5, 18]. This large section of the Danube Valley was named in the past the *Bălților zone*, a vernacular name which was used to describe along the Danube Valley an extended area predominantly covered by shallow lakes, reed marshes, dead waters, oxbows, and river bars, and that is largely inundated during flooding events and then, since second half of twentieth century, after it was drained and largely transformed into agricultural lands, the area is known as the islands zone. In fact, the whole area is a large internal delta fluvial system that has evolved between the Romanian Plain and the Dobrogea Plateau, similar to the area developed downstream to the Devin Gate as well as with those that formed by Chilia arm within Danube Delta.

However, the *Bălților zone* or islands zone, geomorphologically, consists of two large islands resulted as avulsion processes. They are scroll dominated floodplain areas formed by the meandering of branches, with shallow lakes, puddles, oxbows, meandering branch channels, wetlands areas occurred as a result of hindered drainage, and several other smaller islands that are fluvial islands developed from bars.

The first big island of the *Bălților zone*, named *Balta Ialomitei*, is delineated by two main anabranches, Borcea Arm, on the west side, and Ostrov or Dunărea Veche (Old Danube), on the east side (Fig. 3). The two arms rejoin for a short distance downstream of Hârșova town, at Giurgeni-Vadu Oii. From Dunărea Veche branch diverts the navigable Danube-Black Sea canal, a shortcut of 64.4 km to the Black Sea that crosses the south of Dobrogea Plateau from Cernavodă to Constanța harbour.

Then, after approximately four kilometers, the main stem of the river splits again, into three branches—Cremenea or New Danube, at west, the Vâlciu arm, in the middle, and the Măcin/Old Danube, on the right side. These arms rejoin at Smârdan, a small village downstream of Brăila. Each major branch of Danube has developed anabranching behavior on longer or shorter channel sections. Between the Vâlciu and Old Danube arms there is the second large island, named *Balta Brăilei* in the past (Fig. 3) and currently *Insula Mare a Brăilei* (the Big Island of Brăila), after it was entirely drained and transformed into agricultural land. On the western side, between the Vâlciu arm and main Danube branch Cremenea, it has been formed the Small Island of Brăila (*Insula Mică a Brăilei* or *Balta Mică a Brăilei*). It is remnant of the former large natural floodplain from this section of the river, seasonally flooded, with lotic side arms and dead arms, channels and oxbow lakes, stagnant water bodies (Fig. 4), and reed marshes.

Downstream of Brăila until Pătlăgeanca (Rkm 90), the Danube River is flowing through a single deep channel, accompanied by a wide floodplain, more or less symmetrically developed on the both sides. Its course has also a large valley, with riverine forests, shallow lakes and extensive reed marshes. Because of that, this section is named *Balta Isaccea*. In this section, typical for the left side of valley are the two *limans*—Cahul and Ialpuș—which are particular lakes, developed along the lower courses of its tributaries that are not able to flow into Danube River because their mouths have been closed by fluvial sediment bars. Overall, on the left side of the Lower Danube, on the Romanian territory, between Gruia (Rkm 851), downstream of Iron Gates II dam, and Isaccea (Rkm 108), the geomorphological floodplain covers an area of 530,500 ha [27].



**Fig. 4** The Small Island of Brăila: a swampy area with willows (left) and a very shallow stagnant water (right), called *japșă* by locals, following spring floods, with typical vegetation composed by *Cicuta virosa*, *Nymphoides peltata*, *Carex* sp., and *Schoenoplectus lacustris* (Photo: Iuliana Florentina Gheorghe)

On the right side of the Danube River, in Serbia and Bulgaria, its floodplain consists in a narrow and discontinuous strip. The entire morphological floodplain of the Lower Danube from the four riverine countries (Bulgaria, Romania, Moldova, and Ukraine) covers an area of 803,300 ha, of which the remaining active floodplain, including the main channel with fluvial islands, represents 214,300 ha [28].

The current active floodplain of the lower Danube River is limited to the areas between the river banks and flood protection dikes behind which are the polders. It is a narrow strip with flood channels, small lakes and oxbows, sand banks and marshes.

However, at the European level, based on a combination of abiotic factors—ecoregion, mean water slope, substratum composition, geomorphology, and water temperature—ten Danube section types were identified along the river. Of these, to Lower Danube River it corresponds two section types: Western Pontic Danube (Rkm 943 Drobeta-Turnu Severin—Rkm 375.5 Chiciu/Silistra) and Eastern Vallachian Danube (Rkm 375.5 Chiciu/Silistra—Rkm 100 Isaccea) [29].

### 3 Biodiversity of the Lower Danube Valley

Because of the Lower Danube River Valley has a considerable west-southeast extension, its life communities and vegetation composition are not only defined by the ecologically determining factors such as the hydrological and morphological dynamics, but also by the continentally gradients of the climate [30]. This becomes obvious from the comparison of the floodplain vegetation occurring along the varying sections of the Danube River, consists of many pontic-pannonian and continental but also submediterranean, thermophilic species. The vegetation along the river in the remnant active floodplain areas, and in particular in many fluvial islands, is in natural succession. Its zonation spans from pioneer vegetation to alluvial woodland.

The morphological structure of the valley provides a mosaic of biotopes for animals and plants.

From the first map and comprehensive description of the vegetation, we find out that along the Lower Danube floodplain there were forests with softwood forest species (willows and poplars), lakes, reeds, levees, floating reed carpets “plaur” and forest enclaves composed of ash meadow with the rare liana *Periploca graeca* [31].

The majority of the natural forested areas from Romanian Danube floodplain, including the restricted areas of hardwood forests, was deforested and replaced with agricultural lands during 1960–1980 period. Aerial images (Google Earth) show that a belt of riverine forests is still stretch along the orographic left bank of Danube on the strip of land between the river bank and dam, although most of these forests are hybrid poplar plantations, which is a common practice in other floodplains from European countries as well, especially since these plantations can be subsidized through European Union funds [32]. Poplar plantations are extended on many fluvial islands, too. However, large areas of remarkable remnant areas of old-growth riverine forests, rich in woody species and lianas, are preserved in the Lower Danube Valley on several fluvial islands and downstream from Călărași, in particular in the area of the Balta Mică a Brăilei Natural Park.

Under natural conditions, the three species distribution is according to their adaptive performances to periodically floods, grain size of substratum, excess of moisture in soil. The flood level is expressed in hydro grades, one hydro grades, corresponds to the tenth part of the difference between the lowest and highest water level. For the Lower Danube floodplain, the shrub vegetation develops above hydro-grade 3, white willow settlements have been recorded between 3 and 6 hydro grades, swampy alder areas occur between 7–8 hydro grades, and between 9–10 hydro grades it is a mixed shrub vegetation composed of oak, elm, and poplar [33]. Then, between 6 and 9 hydro grades there are willow coppices, which occur in more elevated areas, and pure ash woods as well as settlements of alder [30].

Along the river banks and on the shore islands, the forests are dominated by willow species, the white willow (*Salix alba*) being the most common species (Figs. 4 and 5). Depending on the altitude and the level of the flood, besides the white willow, the stands are formed poplar (*Populus nigra*, *Populus alba*), elm (*Ulmus laevis*), and ash meadow (*Fraxinus angustifolia*). The herbaceous layer consists of species that are tolerate fluctuating water levels such as great yellowcress (*Rorippa amphibia*), water pepper (*Polygonum hydropiper*), and fen ragwort (*Senecio paludosus*). In the very high areas, unexposed to flooding and with deep water ground table, there are hardwood floodplain forests composed of stands of common oak (*Quercus robur*), Balkan oak (*Quercus pedunculiflora*), elm (*Ulmus carpifolia*), hairy ash (*Fraxinus pallisae*), and scattered individuals of cherry plum (*Prunus cerasifera*), forest apple (*Malus sylvestris*), and European wild pear (*Pyrus pyraster*).

Because the embankment works avoid overflows into floodplain during spring floods, the fluvial islands are more exposed to flooding in terms of area surface and duration, changing the soil proprieties and water ground table, thus largely diminishing suitable habitats for oaks. Therefore, on islands with unmanaged natural vegetation and no direct anthropogenic interference there is a serious decline of oak,





**Fig. 5** Willow forest with trees covered by woody climbing plants species: Wild grapevine, common hop, and old man's beard (Photo: Daniela Strat)

without new established stands. Also, oak stands existent before the embankments have degenerated and remained only older, less sensitive specimens.

The layer of shrubs consists of blackberry (*Rubus caesius*), which in some area is dominant and can reaches one meter in height. There is also gray willow (*Salix cinerea*), osier (*S. purpurea*), almond willow (*S. triandra*), bloody dogwood (*Cornus sanguinea*), and more rarely oneseed hawthorn (*Crataegus monogyna*), black elderly (*Sambucus nigra*), and dogrose (*Rosa canina*). Unfortunately, the bare surfaces and not they are colonized by false indigo-bush (*Amorpha fruticosa*). In fact, this highly competitive species that has an exceptional success of invasiveness forms usually mono-dominant communities by replacing native species, as in case of *Salix triandra*, and altering the structure of native plant communities.

Among the woody climbing plant that hangs from trees (Fig. 5) are common the wild grapevine (*Vitis sylvestris*), ivy (*Hedera helix*), common hop (*Humulus lupulus*), old man's beard (*Clematis vitalba*), and the silk vine (*Periploca graeca*), which is a rare plant species in Romania, with scattered occurrence in Dobrogea and Lower Danube Valley.

The diversity of grass layer is according to substrate type and soil water balance. The mesophytic species *Glecoma hederacea*, *Tanacetum vulgare*, *Althaea officinalis*, *Asparagus officinalis*, *Artemisia vulgaris*, *Arctium lappa*, *Calystegia sepium*, *Solanum dulcamara*, *Sonchus asper*, *Inula British*, *Rumex pulcher*, *Potentilla reptans*,

*Taraxacum officinale*, *Torilis japonica* colonize dried terrains, and the plant species *Lycopus europaeus*, *L. exaltatus*, *Stachys palustris*, *Galium palustre*, *Lysimachia nummularia*, *Polygonum mite*, *P. hydropiper*, *Lythrum Salicaria*, *Euphorbia palustris*, *Oenanthe aquatica*, *Scutellaria galericulata*, *Iris pseudacorus*, *Sium latifolium* occur in areas with excessive moisture and temporary stagnant waters.

Apart of typical floodplain forests and poplar plantation there are the black locust (*Robinia pseudoacacia*) plantations from Desa-Ciuperceni region. The black locust was used, since 1852, for afforestation of the mobile sand dunes and protection of agricultural lands against mobile sands [34].

The shallow lakes and ponds host a great diversity of macrophytes (Fig. 6), typical for European temperate lowlands (*Nymphaea alba*, *Nuphar lutea*, *Trapa natans*, *Nymphoides peltata*, *Sagittaria sagittifolia* *Stratiotes aloides*, *Butomus umbellatus*, *Sparganium emersum* *Potamogeton* sp., *Typha angustifolia*, *Typha latifolia*, *Schoenoplectus lacustris*, *Cyperus* sp., *Carex* sp.), including the Global endangered carnivorous plant species *Aldrovanda vesiculosa* [35], and the European protected aquatic fern water-clover (*Marsilea quadrifolia*) (Fig. 7).

The reed (*Phragmites australis*) forms dense and extensive stands and floating fen due to its propagation either by rhizome or from seeds. This helophyte acts as a climax plant species because it has adaptive strategies that inhibit the growth and survive of



**Fig. 6** A pond dominated by water soldiers (*Stratiotes aloides*), water lily (*Nymphaea alba*), and water caltrop (*Trapa natans*). Most of the litoral zone of the pond is covered by reed stands and floating fen, bordered by a forest composed by willow, poplar, and alder (Photo: Daniela Strat)



**Fig. 7** *Marsilea quadrifolia*. Once widespread in wetlands of all big rivers from Europe, this aquatic fern with its four leaf clover configuration also became a rare and threatened plant species along the Lower Danube Valley during the second half of the 20th century because of its habitat loss and destruction as a result of anthropogenic impacts (Photo: Daniela Strat)

other species that compose wetland vegetation. Along the secondary tributaries and narrow channels there is a vegetation belt that consists of parallel strips of rush and reed, the latter being a successional species which replaces *Typha angustifolia* that has previously colonized deep waters [36]. Their associated species are *Butomus umbellatus*, *Carex sp.*, *Schonoplectus littoralis*, *Cicuta virosa*, *Calystegia sepium*, *Solanum dulcamara*, *Iris pseudacorus*, *Sparganium erectum*, *Epilobium hirsutum*, *Lythrum salicaria*, *Alisma plantago aquatica*, *Symphytum officinale*. However, the reed is an autogenic ecosystem engineer species in floodplain because it alters irreversibly the environment through its own physical structures and its living and dead tissues, is a real habitat-modifying organism [37] and also plays a key role as biogeo-morphologic agent in evolution of shallow waters and back waters through its particular bioconstructions (the *plaur*) formed in shallow lakes [38]. The highest degree of naturalness is reached on the several fluvial islands, which ranges from the first herbaceous colonizers to white willow and black poplar forests [39–41].

Fauna consists in an impressive number of both terrestrial and aquatic species, some of them being predominantly restricted to the Danube River, as in case of the snail *Theodoxus danubialis*, which is a Pontic fauna element. Also, the ecosystems from Lower Danube River provides habitats for many other species protected

under Habitats Directive such as: the large copper butterfly (*Lycaena dispar*), fish (*Gobio albipinnatus*, *Gobio kessleri*-*Romanogobio kessleri*, *Rhodeus sericeus amarus*), amphibians and reptiles (*Bombina bombina*, *Triturus dobrogicus*, *Emys orbicularis*), and mammals (*Spermophilus citellus*, *Lutra lutra*).

Among the fish species, anadromous fish stand out: 4 sturgeon species (*Acipenser gueldenstaedtii*, *A. nudiiventris*, *A. stellatus*, and *Huso huso*), the Pontic shad (*Alosa immaculata*), and the Black Sea shad (*A. tanaica*). Sturgeons are key element of life within the river basin, and an important part of the natural heritage of the entire Danube region. The over-fishing, the numerous dams and hydropower dams are the main cause of the dramatic decline of these migratory fish population in the past decades, which means that they are currently being assessed as critically endangered species at the regional [42] and international level [43]. More than that, the only viable populations of wild sturgeons in the European Union remained in the Lower Danube. For two native sturgeon species, which are vulnerable and globally critically endangered according to IUCN Red List [44, 45] there are manually restocking actions. It is about the sterlet (*Acipenser ruthenus*), in Hungary, and the Russian sturgeon (*Acipenser gueldenstaedtii*), in Romania [46].

The Danube is a major flyway for many bird species and the existing wetlands along the Lower Danube Valley and the Danube Delta represents resting spots of migratory birds. Among the bird species that are listed in Annex 1 of the Birds Directive for which special protection areas have been designed are notable *Ardea purpurea*, *Botaurus stellaris*, *Cygnus cygnus*, *Ciconia ciconia*, *Ciconia nigra*, *Egretta alba*, *Nycticorax nycticorax*, *Himantopus himantopus*, *Recurvirostra avosetta*, *Pelecanus crispus*, and *Platalea leucorodia*.

A recent study [47] along the Lower Danube Valley, including fluvial islands (the *ostroave*), revealed the occurrence of 46 mammal species. Among them are: *Erinaceus concolor*, *Talpa europaea*, *Sorex araneus*, *Rhinolophus hipposideros*, *Myotis sp.*, *Plecotus auritus*, *Vespertilio murinus*, *Nyctalus noctula*, *Pipistrellus pipistrellus*, *Miniopterus schreibersii*, *Lepus europaeus*, *Sciurus vulgaris*, *Spermophilus citellus*, *Muscardinus avellanarius*, *Arvicola terrestris*, *Microtus arvalis*, *Ondatra zibethicus*, *Mus musculus*, *Apodemus agrarius*, *Apodemus sylvaticus*, *Micromys minutus*, *Spalax leucodon*, *Myocastor coypus*, *Vulpes vulpes*, *Meles meles*, *Mustela nivalis*, *M. putorius*, *Felis silvestris*, *Sus scrofa*, and *Capreolus capreolus*. Their role in the local ecological equilibrium justifies their consideration as bioindicators of the habitat quality.

The golden jackal (*Canis aureus*) is a special case. It has become widespread along the Lower Danube Valley in last decades. This canid species, which had typically been distributed in the Balkan region until the end of nineteenth century, has crossed the Danube River [48, 49] and extends its areal range to north and western Europe in the second half of twentieth century [50, 51]. The massive colonization of Danube floodplain with golden jackal has started in 1990s [52], which may be associated with change in lands use because of socio-economic and political transformations occurred in Romania. It was reported that this extremely adaptive species is human-dependent in environmental preferences, with agricultural lands and mixed landscape between open areas and scrublands as suitable habitats [51] and correlated with the

absence of the wolf [53]. Consequently, the golden jackal spread along the whole Danube corridor and its population has significantly increased in last decades [54]. According to recent data [53], the numerical density evolved from 0 individuals/10 km<sup>2</sup>, in the Danube valley section between Iron Gates II and the Danube—Jiu River confluence, and 2–6 individuals/10 km<sup>2</sup> downstream of this section, in 2007, to 8–22 individuals/10 km<sup>2</sup> in 2018, with the highest densities in the south-west Danube valley section and Danube Delta.

## 4 Anthropogenic Changes in the Lower Danube Valley

### 4.1 *An Historical Overview of the Human Impacts Along the Lower Danube Valley*

After the first mention and geographical description of the *Istros*, the antique name of the Danube River, which belong to the Greek poet Hesiod (around 700 BC) and the Greek historian Herodotus (around 450 BC), probably, the first “scientific” field study of the Danube River Valley was performed during the European Scythian campaign of Darius I, when the river was bridged just before its deltaic area [55]. Six centuries later, the architect and military engineer Apollodorus of Damascus chose to build, downstream of the Iron Gates, the 1 km wide wooden famous bridge over Danube in 105 A.D, at the order of the Roman Emperor Trajan.

By the middle of ninetieth century, the Lower Danube Valley evolved under natural regime. Then, after the European Commission of the Danube was created in 1856, which was a post-Crimean war entity that had authority over the three mouths of the river and was responsible for facilitating navigation in the mouth and delta of the Danube, the direct anthropogenic alteration of the Lower Danube River has started and transformed it into “internationalized river” [7] due to both geopolitical context and economic reasons.

The channelization for navigation of the Sulina arm, the middle distributary of the Danube River in its delta, was the first large-scale work with significant morphological and hydrological effects. All engineering works that were designed by the English river and harbors engineer C.A. Hartley, “the father of Danube” [56], gave the opportunity to accurate and extensively scientific surveys of its arms and mouths, but also the river course section upstream of the delta was surveyed. Apart of the detailed mapping, there were performed researches to understand the behavior of this river concerning its water and sediment discharges, the cyclicity of flooding events and how they work.

Towards the end of nineteenth century and the beginning of twentieth century, the scientific investigations were extended on the Danube floodplain in terms of hydrology, geomorphology, biology and ecology, as the necessary basis for substantiating the plans for the capitalization and economic exploitation of its valuable resources, based on the multi-functionality of river-floodplain system [6, 7]. Based

on the analysis of recoded water levels along lower Danube between 1895 and 1908, and field observations, Antipa [6] explained the importance of lateral exchange of water, nutrients and organisms between the river channel and its floodplain, and how flood events followed by low water levels control the river-floodplain system, flooding being the rule factor that support the high level of productivity.

It is necessary to point out that the Romanian polymath Grigore Antipa (1867–1945), a brilliant zoologist and hydrobiologist, as well as a pioneer of promoting the ecological economics principles, had pleaded with perseverance for an ecological approach of the use the Lower Danube floodplain, because “the river and its floodplain make a whole, a multi-functionality system, and not separate units” [7, p. 39]. His ideas anticipated the flood pulse concept that was described later by Junk et al. (1989) as a complementary concept of the river continuum concept [57], both applied to large river systems.

Antipa proposed a multipurpose exploitation of the floodplain—for fishing, agriculture, and forestry, according to its hydro-geo-morphological units and the yearly flooding regime of Danube River. It is about the rotating polder system, with minor changes to fluvial ecosystems, which in modern times corresponds to sustainable development. During dry years floodplain could be used as pasture, and in wet years, with big floods, it becomes an extensive natural fishery [6, 7]. Therefore, the sustainable technical solution proposed was the interrupted embankment of the river and submersible dams, which during the high floods to allow that all those defended areas to be flooded and thus their usage to be transmuted from agriculture in fishing, insisting how important is to maintain the connectivity of the river with its floodplain because this acts as a safety valve during high waters [7]. Antipa’s ideas were advocated by engineer Vidrașcu, the one who mapped the Lower Danube and delta according to degree of inundability [58].

In opposition to these naturalistic principles, which, from the contemporary paradigms perspective, could describe Antipa’s project as being designed in balance with the nature, the government agreed and ratified by law in 1910 the embankment works project with high unsubmersible dams and drainage system, designed by the engineer Anghel Saligny (1854–1925), based on his previous experience during building of the bridge over Danube River and Ialomița Island. The plan was to drain the floodplain for agricultural development. Because of financial reasons, the project was abandoned.

Major anthropic transformations along the Lower Danube took place between 1960 and 1989. After the World War II, in the context of the communist political regime which was installed in Romania, the idea of embankment of the Danube floodplain was taken up by the government and implemented. In reality, there was nothing original in this “grandiose” project as it was presented by the propaganda of the Romanian communist government, a tangible evidence that the New Man in the fight with the nature is victorious, is able to subdue it. The communist government just followed a paradigm that was already implemented in Western Europe and North America by years [59, 60].

More or less, the agricultural model and the technical solutions designed by engineer Saligny in 1910 were implemented and not the ecologically orientated ones

proposed by Grigore Antipa. Therefore, up to the end of 1989, along to the left Danube River bank it was build a levee of 1158 km to prevent the cyclical annual floods over the floodplain, and the shallow lakes, ponds, and reed marshes were drained [61]. The main goal, driven by the communist government, was the transformation of the large Danube River floodplain into one of the highest productive agricultural area in Romania. If in the early 1950s more than 90% of the Lower Danube floodplain was an integrated complex of natural and seminatural ecosystems, four decades later the ratio was reversed: 430,000 ha, meaning 84% of whole area, were transformed in enclosures and used as agricultural land, mainly arable land [61]. Only 10% of it remained unpaired by agricultural encroachment, flood protection and regulation engineering works [27].

Consequently, fundamental changes in river flow and rivel channel morphology as well as in floodplain morphology and its hydrologic regime and lateral connectivity were made, valuable lentic and lotic ecosystems had disappeared [62–65] as in case of the “Balta Brăilei”, where its area of 72,000 ha was dammed in 1964 and converted at a rate of 96% in agricultural land [61]. In the entire *Bălților zone*, compared to 1880, when the area occupied by lakes and other stagnant waters was 595 km<sup>2</sup>, in 2005 it decreased by almost 85% [66].

Under natural conditions, the floodplain and lentic body waters exchanged water, nutrients and fauna with the river via natural channel network, the yearly floods maintained high biological productivity and biodiversity of the entire floodplain. Conversion of flooding areas for farming and others and cut-off of them from the river by dykes has led to decrease the storage capacity of Danube discharge and exacerbation of the floods peak as it happened during the 2005 and 2006 floods [67].

The flow regime of the Lower Danube has been dramatically modified after the two hydropower reservoirs were built—Iron Gates I and Iron Gates II—and a complex system of impoundments was created along its main tributaries—Olt, Argeș, Siret, and Prut rivers. Changes in water flow are accompanied by dramatical alteration of sediment load, all reservoirs being traps for sediments and nutrients. Thus, the sediment load decreased from about 40 million tons/year at the beginning of last century to 7.3 million tons/year today [1]. It was estimated that the Iron Gates reservoirs I and II reduce by more than 50% suspended solids in the Lower Danube [68, 69]. Therefore, the lateral erosion of the river channel has intensified, and river bed incision has increased [66].

Although some of the remaining patches of the nearly natural floodplain have been designed as protected areas, which are samples of the naturalness of fluvial processes and biodiversity hot spots, their integrity is threatened by hydrological disconnection because of the engineering works that have been performed to provide flood control navigation and hydropower generation [70].

The extensive embankment works along the Lower Danube River were preceded by extensive interdisciplinary studies [5, 18] which summarized the state of the Lower Danube River that was prevalent until the middle of the twentieth century. Now, these studies serve as hydro-morphological and hydrobiological reference conditions for the subsequent researches and assessments of human impacts, including

work projects for ecological reconstruction of some areas which are suitable for a sustainable management.

#### ***4.2 The Stages of the Anthropogenic Transformation of the Natural Danube Floodplain into Agricultural Land***

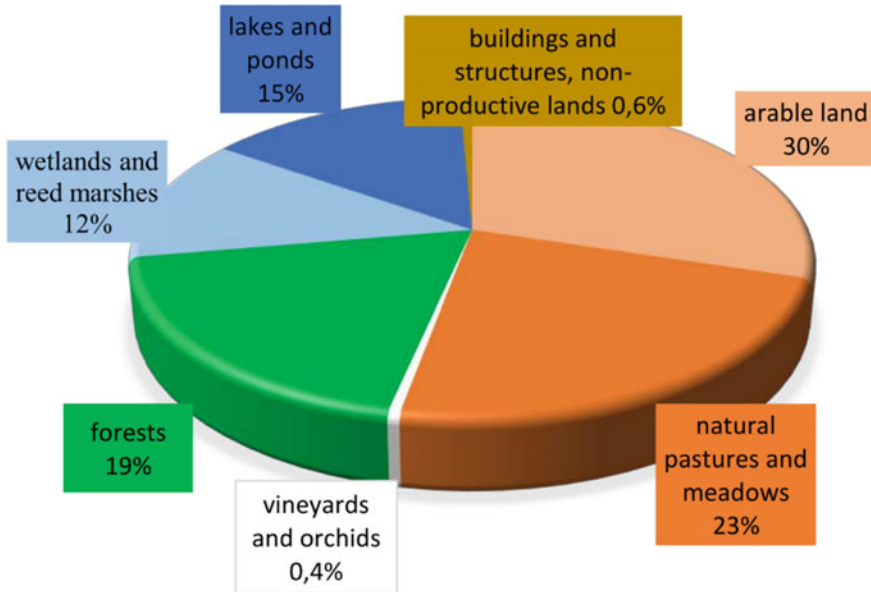
The main impacts on the Lower Danube Valley were caused by the embankment works that converted the floodplain into predominantly agricultural region. But if in Bulgaria, the floodplain already was embanked to a large extent (about 88,000 ha) previous to the World War II [27], in Romania the strongly anthropization process on extended areas took place in the second half of the twentieth century.

The embankment works started at the beginning of the twentieth century as an experiment. Initially, it was a surface area of 3172 ha, downstream of Oltenița town, and 3150 ha at Luciu Giurgeni, on the west side of the Bălților section [27]. Then, the area embanked at Spanțov (1780 ha) between 1906–1908 had become the field work of the first agricultural research station on embanked floodplains.

The technical approach of the built embankments, which was implemented by the Administration of State Fisheries, was based on the natural flood pulse promoted by Antipa [6], being mainly focused toward developing fisheries. By 1947, about 55,000 ha of natural floodplain had become a dammed area, partially protected from annual floods because the technical approach was that of submersible dams, and dominantly used as agricultural land [27]. Following delineation of the floodable region (530,500 ha) of the Lower Danube Valley, the section between Gruia (Rkm 851) and Isaccea (Rkm 100), its land use categories it was assessed in 1962 (Fig. 8).

Based on these assessments, the project plan for damming and draining of the largest part of the floodable region in the following decades was drawn up [27]. Accordingly, the intensive embankment works were performed during 1963–1971 period, when an area of 289,000 ha of floodplain was disconnected from the river. In the next two decades, other enclosures were constructed with a total surface of 41,800 ha, so that in 1990 the total embanked surface of the Lower Danube floodplain in Romania reached 430,800 ha, with 55% located on the left bank, 12% on the right bank, and 33% on the two big islands from the Bălților section, Island Ialomița and the Big Island of Brăila [27]. The remained active floodplain covered 111,796 ha, the largest area being maintained in the Bălților section, about 65% [71]. Consequently, the lands use within Lower Danube floodplain has changed significantly compared to the period before 1962. A study based on the assessment of the functionality of the floodplain in order to identify equipotential areas for flood-free future and sustainable development of the region, shows that in 2008, 73% of the Lower Danube floodplain was agricultural land and 17% were lands with forests and semi-natural areas [72].





**Fig. 8** Percentage of land use in the Lower Danube floodplain before 1962 in Romania. Data compiled from [27]

## 5 Lower Danube River Restoration

### 5.1 *The Worldwide and European Context of the Lower Danube River Restoration*

The restoration of rivers and wetlands is a global issue [4, 73–75]. In time it was demonstrated that in many cases the river regulations have not been a real success, both economically and ecologically, which led to a new paradigm toward the end of twentieth century: the development of “ecological” rivers [76, 77]. This means that where possible, ecosystems should be returned to their natural or original condition [76]. The main idea is that the regularized rivers could be restored by moving the embankments and turning them back into a meandering course although the restauration actions are far from simple engineering works [78].

According to Westra [79], the ecological restoration should be directed especially on restoring the abilities of ecosystems in order to continue their constant change and development unconstrained by past or present human interruptions. Therefore, restoring river ecology or restoring damaged river ecosystems to a state that is structurally and functionally comparable to the state they were in before the disturbance [80, 81] requires an interdisciplinary approach in line with the latest scientific advances that should promote healthy functioning of the river system. In

this respect, a typical restoration project implies planning and design activities associated with the preconstruction phase, the construction phase, and the long term-post construction phase [82]. However, to be sustainable, a river restoration project needs to effectively recreate the functional characteristics of the river taking into account the flood-driven temporal variability [83], dynamic geomorphic characteristics [84], and dynamic fluvial processes which control habitat succession and sustain a high biodiversity both in river and its floodplain. Apart from disconnected floodplain, the river restoration projects take into account the land use change within river basin, the existence of dams and impoundments, and any artificial inter-/intra-basin transfer that profoundly alter natural flow regimes [85]. The first river restoration projects started in Europe in the early 1990s as a reaction of rising awareness of the permanent loss of the integrity of natural rivers and their floodplains [28]. For this purpose, the European Centre for River Restoration was established in 1995 [86]. The international conference “River Restoration 2000 - Practical Approaches” focused on practical approaches in river restoration throughout Europe within the framework of the implementation of the European Water Framework Directive [87].

The interest in restoring rivers and their related ecosystems has increased and tends to become an element of national and international policy strategy after 2000. In European Union, the conserving and restoring river and floodplain systems' flood capacity, biodiversity, and ecological status of adjacent water bodies represents a priority of the environmental and water policy as is stipulated by EU Water Framework Directive [88] and EU Flood Risk Directive [89]. The Habitats Directive [90], Birds Directive [91], and EU Biodiversity Strategy to 2020 [15], together with the Ramsar Convention on Wetlands [92] supports the conservation and restoration of floodplains. Therefore, well-planned, -designed and -managed projects of restoring river and wetlands systems can mitigate the negative consequences of changing land uses and of climate extremes, such as recurrent floods and droughts, and can also help to sustain all types of biodiversity [74].

A data analysis for 1989–2016 period, shows that in Europe were finalized 119 river restoration projects in 19 countries, the majority (51%) being designed and implemented by regional authorities or by other entities working on a regional scale, and then followed by national-scale entities (19%) and other consortia (18%), in the rest of the cases [73]. Among the successful projects, should be mentioned the restoration of two abandoned agricultural polders (3680 ha) from Danube Delta that were pilot project areas for ecological restoration, which was an important goal within the first management master plan of the Danube Delta Biosphere Reserve after it was designed in 1991 [93].

## 5.2 *Applied River Restoration and Ecological Reconstruction Along the Lower Danube Valley*

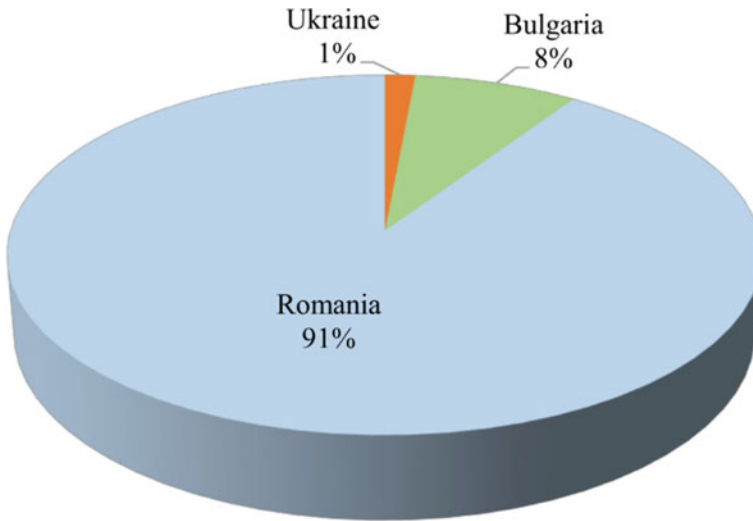
Toward the end of twentieth century, the Danube River was assessed as one of the strongly affected rivers in Europe in terms of fragmentation by dams in the main channel and in its tributaries and of flow regulation [94]. Also, the Danube River was assessed as one of the most threatened ten major rivers in the world; the heaviest impacts are expected to be caused by the navigation infrastructure [95], following the European Union's plan to develop the Trans-European Networks for Transport (TEN-T) "Corridor VII" along the Danube [96]. In these circumstances, the necessity of Danube River restoration came naturally. The first restoration projects in Lower Danube River floodplain took place towards the end of the twentieth century [97].

In 2000, the Bulgaria, Romania, Moldova, and Ukraine, have banded together in an ambitious project in order to protect and restore one of Europe's most important wetland ecosystems—the Lower Danube River [98]. Therefore, these nations have committed to the Lower Danube Green Corridor (LDGC) project, at that time this trans-boundary nature conservation project being one of the Europe's largest international wetland preservation effort [99].

Later, for Romania and Bulgaria, which in the meantime have joined the European Union, the LDGC was the perfect framework for the implementation of the relevant EU legislation, in particular the Water Framework Directive, the Flood Directive, the Habitat Directive, the Birds Directive and the Renewable Resources Directive.

The bases for the LDGC agreement were the first assessment of the potential for restoration of the Lower Danube Floodplain [100]. Taking into consideration that based on an extended scientific assessment of biodiversity on behalf of World Wildlife Fund, the Lower Danube River and its delta was identified "as one of the world's most important ecoregions with a representative selection of the world's most outstanding and distinctive biological resources" [101–103] the creation of the LDGC was acknowledged by the Ramsar Convention as a "gift to the Earth" [104].

The designed green corridor, which stretch from downstream of Iron Gates Gorge, in the west, to the Black Sea, in the east, covers a total area of 18,344 km<sup>2</sup>, from which the Lower Danube River and its floodplain, both in Romania and Bulgaria, covers 9,080 km<sup>2</sup> [105]. Within the LDGC, the conservation initiative aimed to protect an area of 11,574 km<sup>2</sup> from which 7,731.66 km<sup>2</sup> represents the already existing protected areas at the time of the establishment of the LDGC, including the Danube Delta Biosphere Reserve. In addition, 1,606.26 km<sup>2</sup> were allocated for new protected areas and other 2,236.08 km<sup>2</sup> include areas proposed to be restored to natural floodplain [105]. Later, to the existing network of protected areas were added new categories, such as Natura 2000 sites and Ramsar sites. However, in the first decade following the LDGC agreement, 11,740 ha of former wetlands were restored in four specific sites [28, 106]: two in Bulgaria (Ryahovo Ost and Belene Island), one in Romania (Călărași-Răul Island East), and one in Ukraine (Kugurluiskiy). Also, 1.4 million ha have been brought under some form of protection [105], and the



**Fig. 9** Percentage of area planned for restoration in each Danube riverine country within Lower Danube Green Corridor. Total area planned for restoration: 497,950 ha. Data compiled from [28]

planned restored area increased as a result of the following official proposal of the Danube River Basin Management Plan [107].

The assessing of the restoration potential of Lower Danube floodplain, have revealed that potential areas for restoration cover 503,790 ha [13]. Thus, it was proposed that 15.9% of former flooded area to be restored, including two areas from the Danube Delta [72]. Therefore, a total area of approximately a half million ha (Fig. 9) within the Danube floodplain, mostly covering the agricultural polders from Romania, divided in 79 proposed and planed restoration sites, was planned for restoration [28].

A WWF report assessed that due to the rather “young” disconnection, compared with the upper and middle section of Danube, and the rather good hydro-morphological conditions, except the sediment budget altered by the Iron Gates dams that increases incision and instability of banks, as well as the hydrological regime, the restoration potential within Lower Danube Valley is still high, and there is no strong floodplain aggradation so far [28]. Typical projects implemented are side-channel reconnections, channel widening, bank revetment removal, and enlargement of floodplains by reconnecting former floodplains. In the second decade of this century several river restoration projects were implemented or are undergoing.

An eloquent example is the floodplain restoration in the Dunăreni-Bistreț area, as part of the Danube Floodplain Project that aimed to improve the transnational water management and flood risk prevention while maximizing benefits for biodiversity conservation [108]. Then, in December 2020 it was launched a reconstruction ecological project of an area of Danube floodplain on the Romanian territory, in the southeast part, at Gârla Mare-Vrata section, Mehedinți county [109]. By reconnecting

the shallow lakes from former floodplain with the river, during the big floods, it is estimated that the area will retain over 5 mil m<sup>3</sup> water, and the habitats for spawning fish will be restored. Another restored site was Balta Geraiului, located at the Olt-Danube confluence, as a result of the cross-border project Green Borders, led by WWF, which created habitats for the two key waterbird species: ferruginous duck and pygmy cormorant [110].

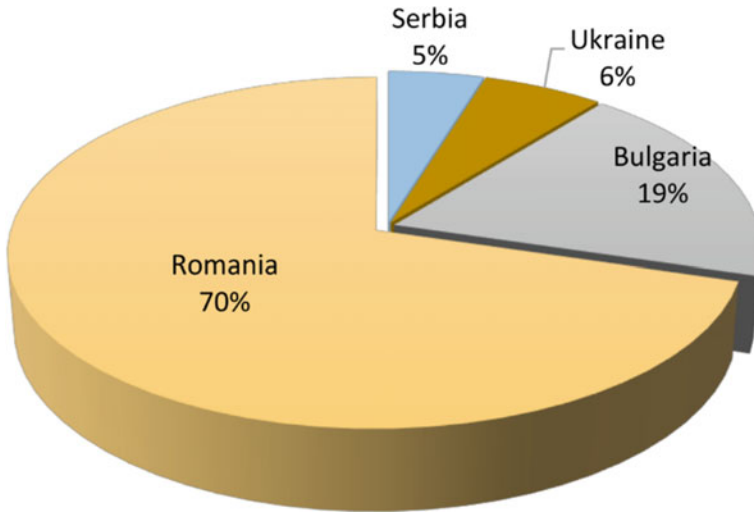
However, the removal of dams and transformation of the entire embanked area of former morphological floodplain into a floodable area is difficult to achieve, controversial and debatable, considering the contemporary socio-economic circumstances, but also the hydrological changes produced along the entire Danube River. During April 2006, when along the Lower Danube were recorded historical flows and water levels, the largest in the 100 years [111], a quarter of the enclosed and drained area from former Lower Danube floodplain was inundated. Because of the huge pressure, dikes failed and seven enclosures, totaling about 88,000 ha, were naturally flooded. In addition, another two floodplain basins (15,607 ha) in the Bălților section, were flooded in a controlled manner through dike destruction.

Concerning to fish fauna, in the framework of the Bern Convention on the Conservation of European Wildlife and Natural Habitats [112], in 2005 a Sturgeon Action Plan was adopted in the Danube River basin in order to secure viable populations of all Danube sturgeon species by sustainable management and by restoration of their habitats and migratory movements, through national action and international action [113]. In this respect, the MEASURES program, run by International Commission for the Protection of the Danube River and WWF Romania, aims to create ecological corridors on the entire Danube and its tributaries by identifying key habitats and initiating protection measures for sturgeons and other native migratory fish species, which migrate along the river as an essential part of their reproductive life-cycles [114].

Then, in 2018, a Pan-European Action Plan for Sturgeons was signed, for a comprehensive and integrated approach of conservation of eight endangered sturgeon species, of which four occur in Danube River, during the 2019–2029, both by in situ and ex situ measures [115]. However, the cross-border conservation is essential, and transnational cooperation is the tool which may be used to restore and preserve the connectivity of habitats along the Danube River.

## 6 Biodiversity Conservation

The protected areas network consists in areas of national, European and Global importance. It was built gradually, starting with the sixth decade of the twentieth century. Apart from national legislation, the EU directive and conventions, the international legislation and initiatives that are relevant to sites conservation and their associated species along the lower Danube River consists in: Biodiversity Convention, Ramsar Convention, Bonn Convention, World Heritage Convention, UNESCO's Man and Biosphere (MAB) Programme.



**Fig. 10** Percentage of area of designed Important Bird and Biodiversity Areas from Lower Danube River Valley in each riverine country. Total area of the IBAs: 492,391 ha. Data compiled from [119]

Both, the Lower Danube Valley and Danube Delta are important for breeding, passage and wintering for large number of waterbirds and non-water birds. Therefore, following particular criteria [116–118] it has been designed 44 Important Bird and Biodiversity Areas (IBAs), which are places of greatest significance for conservation of world's birds [119]. The majority of IBAs are in Bulgaria (16) and Romania (25), two in Ukraine and one in Serbia. They cover an area of 492,391 ha (Fig. 10), which provide suitable habitats for over 160 birds species, including for nine wetland-dependent threatened species: black-winged pratincole (*Glareola nordmanni*), corn-crake (*Crex crex*), Dalmatian pelican (*Pelecanus crispus*), ferruginous duck (*Aythya nyroca*), lesser white-fronted goose (*Anser erythropus*), pygmy cormorant (*Phalacrocorax pygmeus*), red-breasted goose (*Branta ruficollis*), white-headed duck (*Oxyura leucocephala*).

The key breeding species include the great white pelican (*Pelecanus onocrotalus*), Dalmatian pelican, glossy ibis (*Plegadis falcinellus*), black-crowned night heron (*Nycticorax nycticorax*), squacco heron (*Ardeola ralloides*), purple heron (*Ardea purpurea*), ferruginous duck [117]. Some of IBAs are extended beyond the geomorphological limit of the Danube Valley and include the confluence areas of the Danube River with its tributaries and parts of their floodplains (Fig. 11).

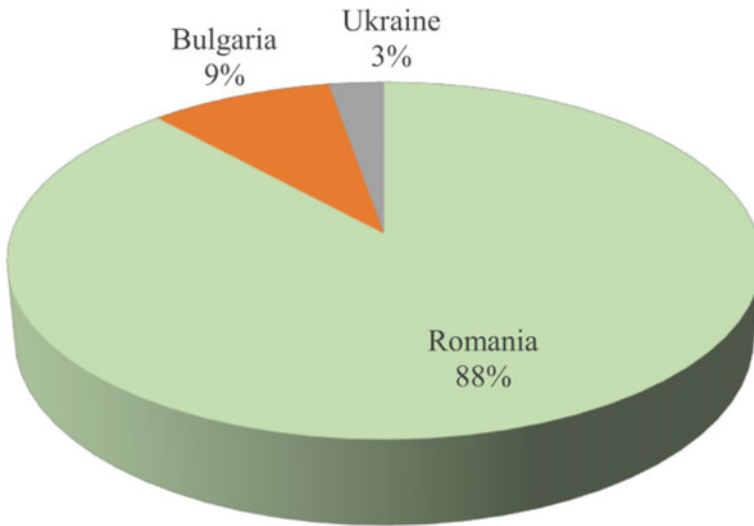
On the Dobrogea Plateau side of the Danube River, but also in Bulgaria and Ukraine, the designed IBAs include the fluvial lakes that have formed as a result of natural damming of mouth of small rivers that flow to Danube by a bar of fluvial sediments, named *liman*, a term which is used also for the fluvial lakes. Most of the IBAs served as basis for designation of the Special Protection Areas (SPAs) as part of the future Natura 2000 network in Romania and Bulgaria.



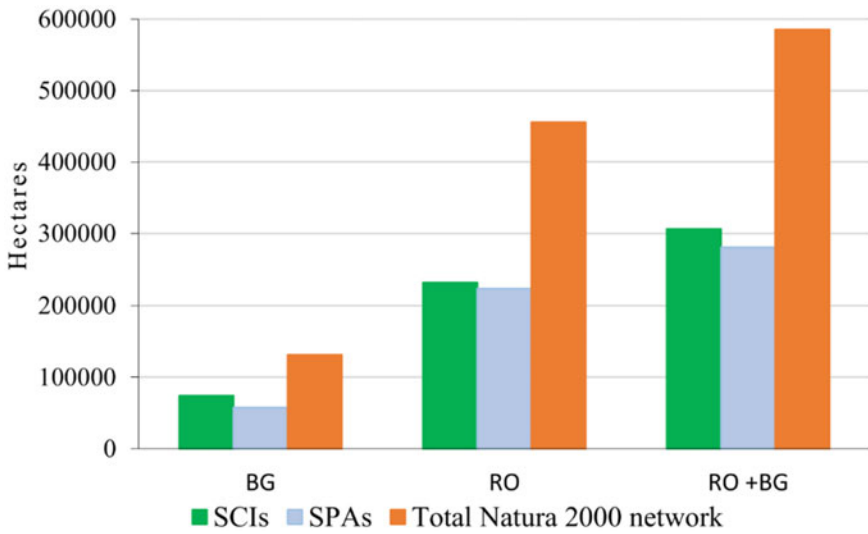
**Fig. 11** The Jiu River at the confluence with Danube River, in November 2019. The Jiu-Danube confluence is designed Special Protection Area (ROSPA0023), according to Habitat Directive, and Ramsar site since 2013 (Photo: Simona Mihăilescu)

Ramsar sites or the Wetlands of International Importance or Ramsar sites, designed under “Convention on Wetlands of International Importance Especially as Waterfowl Habitat” [92] covers an area of 248,398 ha, of which almost 90% is in Romania (Fig. 12). The first designed Ramsar site in the Lower Danube Valley dates back to 1975 and was Srébarna Lake, in Bulgaria, with an area of 1464 ha. It was followed in 1995 by the two new designed sites in Ukraine—the Kartal and Kugurlui lakes. Currently, the Ramsar network along the Lower Danube Valley consists of 17 sites. All Ramsar sites that are located on the Romanian side of the Danube valley were established in the second decade of the twentieth century [120] and overlap with Natura 2000 sites, which are Special Protected Areas for rare and vulnerable birds and for regularly migratory species.

As a member states of the European Union, both Bulgaria and Romania designated special protected areas under two main directives that regulate nature conservation in EU. The Sites of Community Importance (SCIs), designed under Habitats Directive [90] and Special Protected Areas (SPAs), designed under Birds Directive [91] compose the Natura 2000 sites network. In this way each member state is obliged to guarantee favorable conservation status of the species and habitats for the protection of which those sites have been designated. The Natura 2000 network along the Lower Danube Valley comprises 64 sites [121], both SCIs and SPAs. All sites, 20 in Romania and 44 in Bulgaria, cover a total area of nearly 585,701 ha (Fig. 13). For the majority of them, their borders are extended beyond of the morphological floodplain.



**Fig. 12** Percentage of area of designed Ramsar sites in Lower Danube River Valley in each riverine country. Total area of the Ramsar sites: 248,398 ha. Data compiled from [120]



**Fig. 13** Area of Natura 2000 sites in the Lower Danube River Valley. Data compiled from Natura 2000 standard data forms available at [122]



The area of SCIs is 306,010.6 ha, while the SPAs totalize 280,691.2 ha, but most of them are overlapped with each other. The designed SCIs support 22 habitat types of those listed on the Annex I of Habitats Directive, some of them being priority habitats (Table 1).

**Table 1** Habitat types that have been identified along the Lower Danube Valley according to Annex I of Habitats Directive

Nr Crt	Habitat code	Habitat name
1	2160	Dunes with <i>Hippophae rhamnoides</i>
2	2190	Humid dune-slacks
3	2340 <sup>a</sup>	Pannonic inland dunnes
4	3130	Oligotrophic to mesotrophic standing waters with vegetation of the <i>Littorelletea uniflorae</i> and/or of the <i>Isoëto-Nanojuncetea</i>
5	3140	Hard oligo-mesotrophic waters with benthic vegetation of <i>Chara</i> sp.
6	3150	Natural eutrophic lakes with Magnopotamion or Hydrocharion-type vegetation
7	3260	Watercourses of plain to mountain levels with <i>Ranunculion fluitantis</i> and <i>Calitricho-Batrachion</i> vegetation
8	3270	Rivers with muddy banks with <i>Chenopodion rubri</i> p.p. and <i>Bidention</i> p.p. vegetation
9	40A0 <sup>a</sup>	Subcontinental peri-Pannonic scrub ( <i>Syringo-Cotinion</i> )
10	40C0 <sup>a</sup>	Ponto-Sarmatic deciduous thickets
11	62C0 <sup>a</sup>	Ponto-Sarmatic steppes
12	6120 <sup>a</sup>	Xeric sand calcareous grasslands (in association with non-coastal dune complexes)
13	6210	Smi-natural dry grasslands and scrubland facies on calcareous substrates ( <i>Festuco-Brometalia</i> )
14	6430	Hydrophilous tall herb fringe communities of plains and of the montane to alpine levels
15	6440	Alluvial meadows of river valleys of the <i>Cnidion dubii</i>
16	6510	Lowland hay meadows ( <i>Alopecurus pratensis</i> , <i>Sanguisorba officinalis</i> )
17	91AA <sup>a</sup>	Eastern white oak woods
18	91F0	Riparian mixed forests of <i>Quercus robur</i> , <i>Ulmus laevis</i> and <i>Ulmus minor</i> , <i>Fraxinus excelsior</i> or <i>Fraxinus angustifolia</i> , along the great rivers ( <i>Ulmion minoris</i> )
19	91I0 <sup>a</sup>	Euro-Siberian steppic woods with <i>Quercus</i> spp.
20	91M0	Pannonian-Balkanic turkey oak–sessile oak forests
21	92A0	<i>Salix alba</i> and <i>Populus alba</i> galleries
22	92D0	Southern riparian galleries and thickets ( <i>Nerio-Tamaricetea</i> and <i>Securion tinctoriae</i> )

Data compiled after [40, 42] and Natura 2000 standard data forms available at [122]

<sup>a</sup>European priority habitats according to Habitat Directive 92/43/EEC [90]

However, the total coverage of protected areas is over 70% for the active floodplain, including the Small Island of Brăila. There is only one biosphere reserve along the Lower Danube Valley. It is the Srébarna Biosphere Reserve, since 1977, in Bulgaria. As well as the Danube Delta, the Srébarna Lake is a World Heritage Site.

## **7 The Main Threats of the Abiotic and Biotic Environment**

### ***7.1 Navigation and Hydraulic Works***

All countries that are crossed by the Danube use this river for inland navigation. In Romania, being the only waterway, this river plays an important role in the national transport system, especially, taking into account that the inland navigation has a share of more than 20% of all cargo in 2011 [123]. The water flow of the Lower Danube River shows seasonal variation, with the highest values in spring (April–May) and minimum amount in September. In the last two decades compared to the multiannual average, the water flow decreases in the main Lower Danube branch during the summer and autumn, which result in low water levels and low fairway depths, making navigation difficult in certain critical sections. For example, from the Iron Gates II (Rkm 863) until Călărași-Silistra point (Rkm 575), there are 38 sections with significant navigational constraints [39]. Yearly, the number of days with low waters, and thus low fairways, induced by climate changes along the several sectors of the Danube, downstream of Rkm 863, ranges from 7 to 70 [123].

There are many studies and projects concerning the navigation on the Danube, including some related to identification and mitigation the navigation difficulties on the Romanian-Bulgarian common sector [124]. In order to ensure a minimum navigable depth in the navigation channel of the critical sections (bottlenecks, low fairways) during the low-water period of summer/autumn, when the Danube water flow decreases substantially, five type hydraulic works were chosen as technical solution to be carried out during 2012–2022: bank protection, groins, chevrons, bottom sills, and dredging [39, 124]. The critical sections that are subject of hydraulic works overlap with the majority of the designed Natura 2000 sites along the Lower Danube River Valley, on both the Romanian as well as the Bulgarian side. However, of all 66 Natura 2000 sites designed along the Lower Danube Valley, within the borders of the 29 sites, of which 18 sites in Bulgaria and other 11 sites in Romania, were planned hydraulic works.

### ***7.2 Invasive Alien Species***

Invasive alien species is one of the major threats to native biodiversity in the Danube River basin. Its vulnerability to invasive species has increased since it was constructed

the Rhine-Main-Danube canal. Thus, the Danube River is the Southern migration corridor of Ponto-Caspian species in Europe [125] and part of the European inland invasion network, with its mouths as “invasion gateways” [126]. The biological contamination of the Danube River is a serious issue given that after the three research expeditions performed in the first decade of twenty-first century along the river it has been identified six species of neophytes, 19 alien macroinvertebrates, and 15 non-native fish species [127].

There are reported two invasive aquatic macrophytes in the Lower Danube, *Vallisneria spiralis* and Canadian waterweed (*Elodea canadensis*), but in the last years western waterweed (*Elodea nuttallii*) has progressively invaded the Danube Corridor from west to east, replacing Canadian waterweed [127]. Monospecific stands of common cocklebur (*Xanthium strumarium*) and indigo bush (*Amorpha fruticosa*) cover large areas along the river banks, both in Romania and Bulgaria [128], but these noxious species invaded meadows, shore of fluvial islands, and man-made habitats, also. On the Bulgarian side of the Danube valley it is mentioned that *Amorpha fruticosa* has been already broadly distributed in tree communities dominated by *Salix alba*, *Populus alba*, *P. nigra*, *Quercus robur*, and *Ulmus effuses* since the middle of twentieth century [128]. Nowadays, in Romania, the Danube valley, including delta, is the most affected area by *Amorpha fruticosa* [129].

Apart of the *Amorpha fruticosa*, the riparian forests, which correspond to habitats 92A0 *Salix alba* and *Populus alba* galleries and 91F0 Riparian mixed forests of *Quercus robur*, *Ulmus laevis* and *Ulmus minor*, *Fraxinus excelsior* or *Fraxinus angustifolia* along the great rivers, listed on Habitat Directive 92/43/EEC, are invaded by poplar hybrids from poplar plantations as well as individuals of tree of heaven (*Ailanthus altissima*), box elder (*Acer negundo*), white ash (*Fraxinus americana*), green ash (*Fraxinus pennsylvanica*), and black locust (*Robinia pseudoacacia*) which were also planted in the past because of their ecological versatility or melliferous flowers, as in case of the black locust. The devil’s beggarticks (*Bidens frondosa*), daisy fleabane (*Erigeron annuus*), rib-seeded sandmat (*Chamaesyce glyptosperma*), duckweed (*Portulaca oleracea*), the annual vines star cucumber (*Sicyos angulatus*), and bur cucumber (*Echinocystis lobate*) are present also within Danube corridor, both in natural and man-made habitats.

Within terrestrial ecosystems, the alien and invasive species penetrate mainly from old forest plantations located in vicinity of them. Riparian forests are invaded by poplar hybrids as well as individuals of *Amorpha fruticosa*, *Ailanthus altissima*, *Acer negundo*, *Fraxinus americana*, *Fraxinus pennsylvanica*, which were also planted in the past because of their ecological versatility, but now they have become noxious invaders, as in the case of *Amorpha fruticosa* and *Ailanthus altissima*.

Concerning the Neozoa species added to the original Danubian biota, the invasive aquatic macroinvertebrates that have been recorded in the Lower Danube River section are: the flat worm *Dugesia tigrina*, the aquatic bivalve mollusks *Corbicula fluminalis*, *Corbicula fluminea* (Asian mussel), and *Sinanodonta woodiana* (Chinese Pond Mussel), the tubificid worm *Branchiura sowerbyi*, and the spiny cheek crayfish *Orconectes limosus*. Nonindigenous fish recoded are *Ameiurus punctatus*, *Hypophthalmichthys molitrix*, *Hypophthalmichthys nobilis*, *Lepomis gibbosus*, *Perccottus*

*glenii*, *Polyodon spathula*, and *Pseudorasbora parva*. It is expected that the number of Neozoa arrived from the Western European region, especially from the Rhine River, to increase in future [29]. Overall, in the Danube River, the benthic fauna assemblages are dominantly composed by nonindigenous, invasive or cosmopolitan elements that had been stabilized/naturalized for a long time [127].

## 8 Conclusion

Converting the Lower Danube floodplain with its high diverse ecosystem units into predominantly agricultural regions is the most devastating and abrupt anthropogenic transformation of the Lower Danube Valley although dramatic irreversible changes were made along the Iron Gates Gorge after construction of the two dams, the Iron Gates I, in 1972 and the Iron Gates II, in 1984. In addition, the navigation, channel regularization and dredging have amplified and modified the dynamics of the area.

The remnant active floodplains areas, naturally, change in relation to the amount of precipitation coming from the entire Danube River basin and in relation to the Danube River discharge variation. Pedoclimatic conditions and hydro-geomorphological factors have a major influence on the dynamics of solid and liquid flows in strong correlation with sedimentation and erosion processes. Particular refuges and hotspots of biodiversity are fluvial islands.

Starting with 1990, a moment that coincides with the change of political regime in all riverine countries of the Lower Danube River, many actions were initiated for the protection and conservation of the wetlands. In order to minimize the effects of human activity and to conserve and preserve the special components of biodiversity, national, regional and, international measures were undertaken. As a result, nowadays, a network of various national and international protected areas and nature reserves overlaps the Lower Danube Valley.

Human activities, such as navigation, shore regularization, dredging, dams, hydropower plants have amplified and modified the dynamics of the area. In order to minimize the effects of human activity and to conserve the special components of biodiversity, in the Lower Danube Valley were declared a number of 64 Natura 2000 sites, both in Romania and Bulgaria, with a total area of 585,701 ha.

The Natura 2000 sites network materialises the environmental policy of European Union, in order to ensure the long-term survival of Europe's most valuable and threatened species and habitats. The management of these protected areas having as main tool the management plan, together with the adequate evaluation study, tried to find a balance between the economic development of the area and the conservation of biodiversity.

These instruments are decisive for the financing of projects aiming at improving the navigation conditions on the Danube River, electricity production and the exploitation of resources. Also, significant areas from former active floodplain are planned to be restored and integrated in sustainable development projects.

The revitalization of agriculture in a conventional system will update the problem of diffuse pollution with nutrients and pesticides of surface waters, implicitly the Danube.

Despite all current actions, there is still a strong anthropogenic influence which, together with long-term climate change, will lead to major changes in the Lower Danube Valley. Converting the floodplain wetlands and lakes into predominantly agricultural regions is the most devastating and abrupt anthropogenic transformation of the Lower Danube Valley during the twentieth century.

## 9 Recommendation

The Lower Danube Valley is a very dynamic area. The terrestrial, semi-aquatic, and aquatic surfaces of the Lower Danube Valley naturally change in relation to the amount of precipitation coming from the entire Danube basin. Also, this sector of the Danube River represents an important biodiversity reservoir conserved based on the designation of several types of protected areas.

The management of all categories protected areas having as main tool the management plan together with the adequate evaluation study tried to find a balance between the development of the area and the conservation of biodiversity.

These instruments constrain the financing of projects for the improvement of the navigation conditions on the Danube, electricity production and the exploitation of resources.

Although there are concerns about harmonizing economic activities and conserving biodiversity, economic interests take precedence. That is why we recommend finding a balance between economic interests and biodiversity conservation by involving all the factors—authorities, stakeholders.

An important threat to biodiversity is the presence of invasive species; in most of the site management plans there are provided control measures. The appearance of invasive species is a dynamic process, so we recommend periodic inventory, monitoring and finding appropriate control measures. Periodic inventory, monitoring and control are recommended to be introduced in the process of reviewing and updating management plans.

In the last decade, the decrease in the use of fertilizers in agriculture has reduced the level of diffuse pollution. The revitalization of agriculture in a conventional system and development of industry will update the problem of diffuse pollution with nutrients, pesticides, and other pollutants both ground and surface waters, implicitly the Danube River. Consequently, we recommend the improvement of the legislation on the use of chemical fertilizers and the control on the treatment of industrial and domestic wastewater.

The development of new programs and implementation of already existing natural restoration projects will have benefic effects both in conserving biodiversity and mitigating the negative effects of the unpredictable devastating floods.

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# Land Management Practices Favoring Environmental Conservation in the Danube Lower Valley (Romania)



Mioara Clius, Mihai Mustătea, Viorel Chendeş, and Mihai-Răzvan Niţă

**Abstract** Deficient land management is common in rural landscapes of central and Eastern Europe, especially in the post-socialist period, and it represents a major source of economic losses. On the counterpart, increased areas of abandoned land favor the expansion of wetlands, suitable wildlife habitats, as in the case of the Danube Lower Valley in Romania. In our study, we hypothesize that weak land management can be a potential favoring factor for the renaturation of artificial landscapes, and future wildlife conservation. We aim to demonstrate how the abandonment of the irrigation systems can generate wetlands supporting wildlife conservation within the Danube Lower Valley. For the case study in Romania, we: (i) identified the spatial distribution of protected areas (SCI and SPA) within the Danube Lower Valley created in landscapes with abandoned irrigation systems; (ii) modeled the dynamic of artificial and natural land cover classes within the selected protected areas; (iii) explored the implications of abandoned irrigation systems on biodiversity and identified the factors that could lead to a potential return of wildlife habitats. Results indicate that after 2007, the Danube Lower Valley is dominated by the presence of SCI and SPA located in landscapes with abandoned irrigation systems. We have identified three main stages in terms of human influence: semi-natural state (1864–1950), intense modifications (1950–1990) and return to wilderness (after 1990). The main factors that could lead to a potential return of wildlife habitats are the presence of extreme hydrological or meteorological conditions, namely floods or climate change which could favor the extend of wetlands, abandonment of intensive agricultural practices

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proper to generate the expansion of spontaneous secondary vegetation, and lack of general human activity. Our findings are valuable in identifying other areas with a similar pattern of human influence which are suitable candidates for future conservation and in the durable spatial planning of rural areas from the Danube Lower Valley.

**Keywords** Land management · Irrigation systems · Wilderness · Danube Lower Valley

## 1 Introduction

The analysis of land management practices, reflected in the land use and land cover of a certain area is a very good indicator for the human pressure on the environment, but in the same time a very challenging task when the subject of transformation has a synergic character [1]. Land transformations are processes which are often differently defined, measured, studied or compared across regions or across land use types and human activities [2]. The challenges of finding reliable data, selecting the adequate method of research or analysis, can all influence the results and the conclusions on the drivers and influencing factors of land management practices.

Literature and administrative trends are shifting away from traditional sectoral analysis of management practice for a single land use or similar land-uses, in favor of a strategic spatial planning, a participative collaboration tool for achieving sustainability targets by promoting coherent land management practices [3]. Such approaches have a high degree of novelty, but in historical times land management practices were determined mainly by net economic gain and promotion of a type of human use of natural resources, with examples such as creation of agricultural surfaces replacing wetlands, use of Danube water for irrigation systems or the development of hydrotechnical structures (such as Iron Gates reservoir dam) for using the hydropower and navigation potential of the river [4].

Traditional land management practices have a higher importance in areas where a mixture of landscapes and natural features are already existent. This is the case of the Danube River and its valley, which has traditionally been a space connecting cultures and creating networks between land, river, wetlands and the sea across a significant part of Europe [5]. The Romanian section, represented by the Lower Danube Valley is an interesting case, as it represents an area where the natural dynamic of the river has created a rich diversity of floodplain ecosystems. A large proportion of these wetland ecosystems have been historically lost (estimated 70% over the past two centuries), but are now re-emerging following conservation and restoration efforts overlapping the abandonment of traditional land management practices [6].

Land management transformations imposed from the state power but also expression of the internal resilience of the area faced with accelerated dynamic [7]. The morphological and hydrodynamic vulnerability of the Lower Danube Valley determined challenges in the establishment and management of conservation efforts [8].

Sometimes, the best conservation values are found presently in areas which were intensively transformed and abandoned, the process creating hotspots of biodiversity, especially for waterfowl.

The main factors that could lead to a potential return of wildlife habitats in areas with abandoned irrigation systems are the presence of extreme hydrological or meteorological conditions, namely floods or climate change which could favor the extend of wetlands, lack of intensive agricultural practices proper to generate the expansion of spontaneous secondary vegetation, and lack of general human activity which allows the increasing populations of mainly opportunistic and generalist wild life species.

At the European level wetlands are recognized as providing essential ecosystem services such as food production, water, controlling flooding and nutrients or providing spiritual values and opportunities for recreation [9]. At the same time, they are environmentally sensitive areas in which the slightest disturbances can affect the balance. Therefore, the approach is to connect them with the protected areas network, creating the instruments and mechanism of delivering effective protection to conservation values.

The current distribution of wetlands in the Lower Danube Valley is just a remnant of the initial wetlands systems, but the remaining ones are of extremely high conservation values [6]. Numerous programs and initiatives have been promoted for conservation in the Lower Danube Valley, starting with initial efforts such as the Environmental Program for the Protection of the Danube River Basin or the Danube River Convention from Sofia [10].

Currently, the Lower Danube Valley benefits from the attention of the European Union which initiated the Strategy of Danube Region [11], with the objectives of managing water resources and preventing flood risk, sustainable use of natural and cultural resources, but also the restoration and management of wetlands acting as ecological corridors for biodiversity conservation and delivery of ecosystem services. A series of projects (especially from the LIFE European program) were implemented between 2000 and 2010 in the Lower Danube Valley, focusing on habitat restoration and management, species conservation and ecological reconstruction.

Conservation through sites from the European protected areas network Natura 2000 represents the latest trend in the area, but while on paper they provide the needed protection level, in reality, they face numerous challenges in Romania in dealing with the uncontrolled exploitation of natural resources [12] or the reduced administrative capacity of institutions with competences in nature conservation [13] or lack of participation from other actors (such as non-governmental organizations or general public) [14].

There is a growing body of evidence that the Danube Lower Valley has both a social, environmental and economic importance for both local population or at regional and national level [15]. There is therefore a need of such studies which will explore the implications of abandoned irrigation systems on biodiversity and identify the factors that could lead to a potential return of wildlife habitats, based on cross-referencing the available scientific biogeographical literature, on field analysis or spatial analysis of data.

Precarious land management is common in rural landscapes of central and Eastern Europe, especially in the post socialist period, and it represents a major source of economic losses. The increasing areas of abandoned land favors the expansion of secondary land cover classes, such as wetlands, which could represent suitable habitats for numerous wildlife species, as in the case of the Danube Lower Valley, in southern Romania. In our study, we hypothesize that weak land management can be a potential favoring factor for the returning to the wilderness of artificial landscapes which once were natural areas with rich biodiversity, and by consequence, are suitable candidates for wildlife conservation in the future.

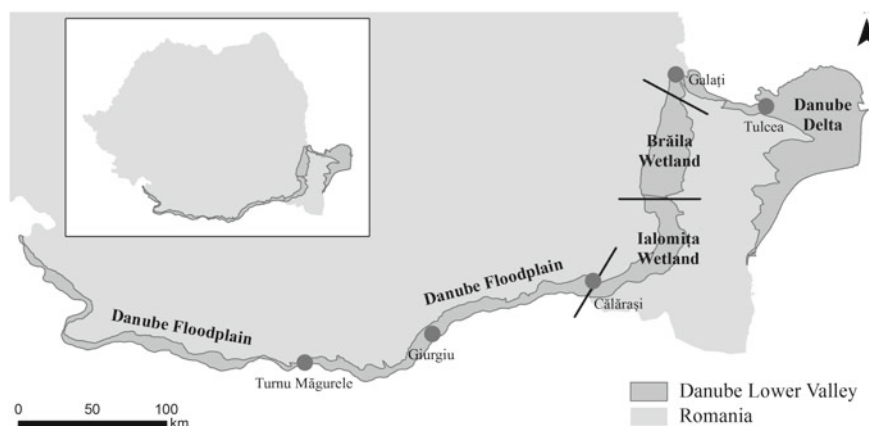
We aim to demonstrate how the abandonment of the irrigation systems can generate wetlands supporting wildlife conservation within the Danube Lower Valley. For the case study in Romania, we established the following *objectives*: (i) identify the spatial distribution of protected areas (SCI and SPA) within the Danube Lower Valley created in landscapes with abandoned irrigation systems, based on GIS techniques; (ii) model the dynamic of artificial and natural land cover classes within the Danube Lower Valley through landscape ecology metrics and (iii) explore the implications of abandoned irrigation systems on biodiversity, based on cross-referencing the available scientific biogeographical literature.

The originality of our study and its novelty compared to previous papers with similar issues is justified by: (a) a topical issue represented by the study of the expansion of wetlands and their potential to favor the establishment of protected areas for the conservation of aquatic habitats; (b) an original spatial and temporal landscape analysis based on a wide range of land cover data, such as historical military maps and satellite imagery; and (c) a set of useful recommendations, intended to promote the sustainable development of rural areas in the Lower Danube Valley, through the scientific and tourist capitalization of wetlands.

## 2 Drivers of Land Management Practices in the Danube Lower Valley

The Danube Lower Valley is located in the southern part of Romania and covers an area of 1,080,745 ha (Fig. 1) [16]. The valley is bordered by the Romanian Plain and Moldavian Plateau in the north, the Bulgarian Plateau in the south, the South-western Carpathian Mountains in the west, and the Dobrogea Plateau and the Black Sea in the east. Romania holds approximately 38% of the total length of the Danube (1075 km) and is geographically divided into several major subdivisions, such as the Danube Delta and the wetlands of Brăila and Ialomița (in Romanian, Balta Brăilei and Balta Ialomiței) in the east, respectively the Danube floodplain in the central and western areas [16]. Our analysis was focused on the Danube floodplain, and in addition, on the delta and neighboring lagoons, whereas the Danube terraces were not taken into account in the study.





**Fig. 1** Location of the Danube Delta, neighboring wetlands and floodplain in Romania. *Data source* Geografia României (2005) [16]

The Danube Lower Valley represents an important geographical region of Romania. Situated in the southern and eastern parts of the country, it ensures navigation with the center of Europe and also water resources for irrigation or other uses (especially for the eastern part of the Romanian plain and Dobrogea which have humidity deficit during summer). Historically, the area was gradually populated due to the high productivity of soils and fish resources. The natural landscape in the area was represented by extended wetlands, lakes or channels, economic and technological development determined changes, as the area becomes of interest for the Romanian agriculture. At the beginning of the twentieth century the economic value was limited to fishery and use of meadows for livestock, but following extensive works of embankments and damming much land was reclaimed from the wetlands and transformed in agricultural surfaces.

Beginning with the nineteenth century, extensive studies were realized for the creation of irrigation systems based on the Danube water, firstly through a water intake realized in the area of Drobeta-Turnu Severin and a channel flowing to east and providing water for parts of the Romanian plain [17]. This project failed for economic reasons, and recommendations of naturalists such as George Vâlsan (1915) and Grigore Antipa (1921) were directed to a moderate use of Danube waters and a reduced intervention. The increase of fisheries from the floodplain lakes, snagging of channels to ensure regulated overflow of the Danube, vineyard or pastoral use of areas, and the abandonment of embankment levees projects were among the recommendations made by the naturalists [17].

After 1950 the engineering approach of the area prevailed, and it suffered continuous and significant transformations, with the sole purpose of increasing the economic (and especially agricultural) potential of the area. The construction of the Iron Gates I and II reservoir dams had a major impact on the floodplain functioning. The construction of the dams also had a major impact on the environment, for example

the breeding routes of several sturgeon species were permanently interrupted. In addition, the water level upstream of the dam has risen and many settlements have been relocated [18]. The political change of 1989, fragmentation of agricultural lands, increase of electricity prices for irrigation systems determined the abandonment of large surfaces in the Danube Lower Valley. Then, during the flood in spring 2006, the Danube River recorded very high discharges (15,800 m<sup>3</sup>/s) which put pressure on embankments, gradually destroying them (28% of the Danube floodplain was affected) [18].

Regardless of the destruction of agricultural fields, roads and civil construction, the flood of 2006 represented a starting point for the ecological reconstruction of the Danube on large surfaces from the western (Rast, Bistreț, Bechet) or eastern (Mânăstirea, Oltina, Ostrov) sectors. The next year, following the accession of Romania to the European Union, in the Danube Lower Valley were established Natura 2000 sites with the role of maintaining an equilibrium between the exploitation of the area and nature conservation.

According to the geomorphological features of the valley, floodplain and river bed, the Danube Lower Valley in Romania can be characterized by four main sectors: (i) Porțile de Fier sector (Baziaș–Gura Văii) in which the Danube crosses the Carpathians and forms impressive gorges; (ii) Gura Văii–Călărași sector, with variable width of the floodplain, of 5–6 km until Calafat, followed by a larger section (10–15 km) developed especially in Romania and with numerous landforms and holms; (iii) Călărași–Pătlașanca sector, with a very large floodplain in the first part (over 20 km), where the Danube splits into large branches and (iv) Danube Delta sector in which the deltaic plain of the Danube is sustained by three main branches [16].

In terms of climate, the Lower Danube Valley has slightly differentiated characteristics from west to east, induced by the climatic influences (oceanic, Mediterranean or Pontic). Multiannual average annual temperatures are 11 °C, the duration of sun between 2250 and 2500 h, and annual precipitations decrease from west (700 mm) to east (445 mm) [19].

The valley spreads over three biogeographical regions: continental in the western and central part, steppe in the east, and pontic on a narrow portion located alongside the Black Sea coast [20]. The areas host the largest wetlands from Romania, protected within the Danube Delta Biosphere Reserve (starting from 1998) and the Wetland of Brăila National Park (established in 2001). Besides, there are numerous smaller marshland areas, many of them conserved under sites of community interest (SCI) and special areas of protection (SPA), being established in 2007 and 2011.

The most significant wetland in terms of biodiversity conservation from the study area is the Danube Delta, which shelters the largest populations of pelicans (*Pelecanus onocrotalus*, *Pelecanus crispus*) and white-tailed vultures (*Haliaeetus albicilla*) of Europe. Other rare and protected water birds are the black stork (*Ciconia nigra*) and the winter swan (*Cygnus cygnus*). Some of the rarest water mammals from Europe, such as the otter (*Lutra lutra*) and beaver (*Castor fiber*) are also protected in the Danube valley. These wetlands shelter habitats for various freshwater reptiles and amphibians, representative being the water turtle (*Emys orbicularis*) and the red-belly frog (*Bombina bombina*) [21].

In the natural regime, the floodplain was covered in intrazonal vegetation with forests, pastures and aquatic vegetation. Following the embankment and draining works, alongside the deforestation of forests with soft-wood (over 20,000 ha in counties Giurgiu, Călărași, Ialomița, Brăila), they were areas forested which introduced alien species, such as the American poplar (*Populus canadensis*), abandoned in the last decades due to the reduced stability to flooding. Even after all the transformations, numerous surfaces of natural pastures remained, especially on the lower surfaces, with humidity excess and on sandy soils. The proximity of lakes and channels still holds large surfaces of hygrophite and hydrophile vegetation (*Phragmites communis*, *Typha angustifolia*, *Typha latifolia*) [16].

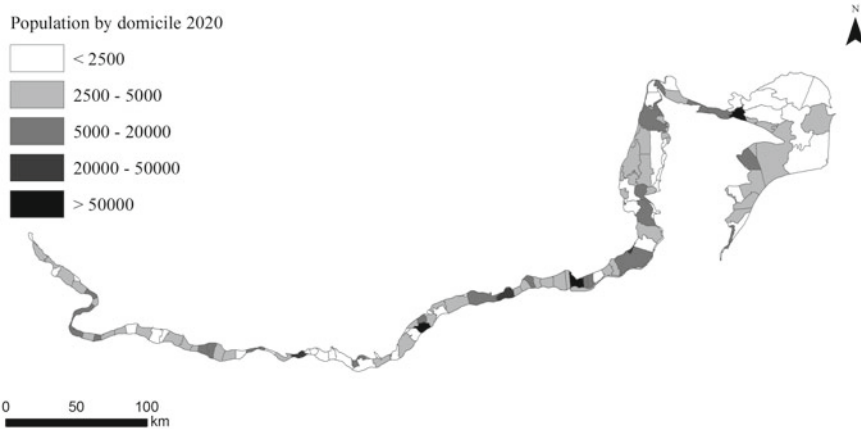
Despite its significance for biodiversity conservation, especially in the eastern section, due to the Danube Delta, the Danube Lower Valley is home to a diverse range of human activities. The historical pressure over the natural environment due to the development of mass agriculture led to the loss and degradation of wetlands. Nowadays, in the central and western section, the valley encompasses some of Romania's most productive arable lands, mainly in the wetlands of Brăila and Ialomița, where modern technologies are used in order to develop high productivity crops of corn, wheat and alfalfa. The rice crops which are located at the mouth of the Ialomița River, near the city of Țândărei, form the most extended artificially permanent irrigated land from Romania. Also, the Danube Delta is the country's most important area for the production of reed and rush. Besides agriculture, another large-scale economic activity is represented by fishing, the Danube being the most important habitat in the European Union for sturgeons, valued for production of roe.

The Danube holds numerous small islands, many of them planted with poplar and willow forests and used for wood production [21]. The Danube Delta is one of the most important touristic regions of Romania due to the presence of wild areas, gastronomy and local culture.

In the Danube Lower valley, there are located a few major industrial centers, namely the largest steel plant from Romania, in the proximity of Galați city, and the only nuclear power plant in the country, at the outskirts of Cernavodă city [22]. If until the seventeenth century the area included only small rural settlements, the number increased gradually and occupations diversified (agriculture, crafting of reed, vineyards), although the living environment was still rather unattractive. The number of inhabitants per territorial administrative unit varies between less than 2,500 in the Danube Delta and Island of Brăila and over 50,000 in the cities of Tulcea, Galați, Călărași Giurgiu and Turnu Măgurele (Fig. 2) [23].

Since it is characterized by the presence of vast irrigation systems for agricultural cultures, high biodiversity wetlands and a dense concentration of protected areas, the Danube Lower Valley is a suitable candidate for analyzing the potential implications of land management practices on wild areas conservation. The changes into land management practices were directed to the reduction of humidity excess, and on the other hand for the protection of newly transformed agricultural lands to the high-water levels of the Danube, especially in the periods of April–June.

Before 1950, the land transformations in the floodplain of the Danube covered only a few thousand hectares and were represented mainly by earth embankments



**Fig. 2** Number of inhabitants per territorial administrative unit in the Danube Lower Valley. *Data source* Tempo Online (2020) [23]

and regularization of watercourses. After 1950 land changes intensified, by the year 1960 no less that 60,000 ha were reclaimed for agriculture, between 1960 and 1965 another 227,000 ha and between the year 1965 and the year 1975 another 300,000 ha. In 1981 the total surface transformed in the area was over 860,000 ha represented by over 1100 km of embankments, irrigation channels and pumping stations [16].

Another major intervention was represented by the use of Danube water for irrigation of agricultural fields from the south and eastern parts of the Romanian plain. After 1989 agricultural lands were restituted in nature [24], generating a fragmentation of agricultural surfaces. This, together with economic challenges, abandonment and degradation of irrigation equipment, high energy costs and the increased frequency of drought periods represented premises for the abandonment of lands. In 2010 a mere 85,000 ha of agricultural lands remained irrigated (especially between Călărași and Pătlașgeanca).

### 3 Evaluating Land-Use Changes in the Danube Lower Valley

We modeled the spatial and temporal dynamics of land cover classes within the Danube Lower Valley, and identified the areas where wetlands have been replaced or have expanded, by using a landscape change analysis.

The methodological approach started with extracting spatial data regarding: (1) the wetland areas located within the Danube Lower Valley between 1864 and until 1950 through the *Charta României Meridionale* from 1864 [25] and the *Military Survey Maps* from 1915–1959 [26], (2) the distribution of irrigation systems and wetlands between 1950 and 1990, based on the *Topographical Military Map of Romania* from

1975 [27]. The three datasets were superimposed in order to identify the wetlands extend between 1864 and 1950, the areas where wetlands were restrained due to the development of irrigation systems, and remaining patches of large intact wetlands between 1950 and 1990.

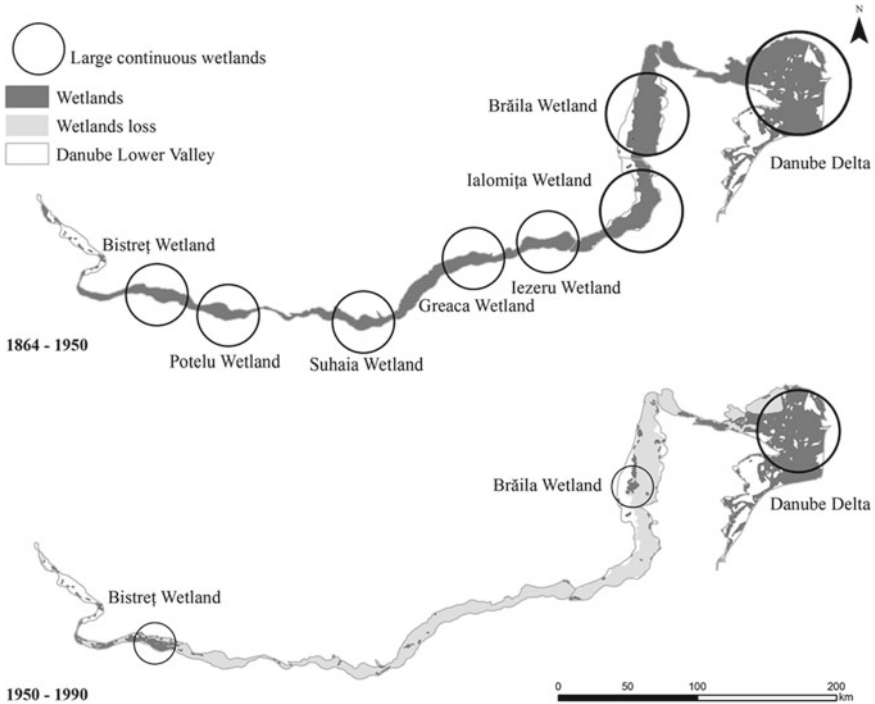
For analyzing the landscape spatial and temporal changes from the Danube Lower Valley in the post-socialist period (after 1990), we have applied the Markov chains sequence model [28]. The Markov model is assessed in our study for identifying land cover transitions between two different time sequences. The model is a proper approach for our assessment since it can be used for quantifying landscape spatial changes on discrete data, as in case of the land cover classes' types. Also, this is a common method applied in a wide pallet of natural sciences, namely geography, biology and ecology.

The data used for applying the Markov model was Corine Land Cover—years 1990, 2000, 2006, 2012 and 2018 [29]. Based on the act that the model quantifies land changes from different time sequences, we have selected four time periods for our assessment: 1990–2000, 2000–2006, 2006–2012, and 2012–2018. The land cover classes within the Danube Lower Valley were reclassified into five major categories, according to the Corine Land Cover classification system: artificial surfaces, agricultural areas, forest and semi-natural areas, wetlands and water bodies. The Corine Land Cover data has potential mistakes concerning the identification of land cover classes, which are corrected from one year to another and influenced by the quality of the available satellite imagery, and these aspects could hamper the efficiency of our models [29].

A matrix was developed, which included all the potential conversions between the five categories of land cover classes for all of the four-time periods targeted. For all of the conversions registered, their areas expressed in hectares were quantified. Lastly, we have computed the total areas registered by the reclassified land cover classes according to each year, and compared them, in order to highlight their overall dynamics.

Our results reveal that, between 1864 and 1950, the wetlands covered an area of roughly 762,424 ha (70.5% of the entire Danube Lower Valley). The largest were the Danube Delta (315,520 ha), followed by the wetlands of Brăila (105,729 ha), Ialomița (87,485 ha), Iezeru Călărași (32,383 ha), Greaca (72,678 ha), Suhaia (37,561 ha), Potelu (28,879) and Bistreț (57,920 ha). Between 1950 and 1990, the areas covered by wetlands were restrained to just 243,344 ha (representing only 22.5% of the Danube Lower Valley), mainly due to the expansion of irrigation systems. Only three large continuous wetlands remained in 1990, such as the Danube Delta (210,676 ha, representing just 40.8% from the initial area until 1950), the Brăila Wetland (3756 ha—3.5% of the previous extend), and the Bistreț Wetland (5520 ha—totaling only 9.5% of the initial area) (Fig. 3).

After 1990, wetlands were characterized by expansion, yet only between 1990 and 2006 (from 243,536 ha to 257,675 ha). Afterward, a constant decrease was registered, from 249,664 ha in 2012 to 240,280 ha in 2018. From 2000 to 2006, wetlands have replaced especially semi-natural areas (13,623 ha) and water bodies (9751 ha).



**Fig. 3** Distribution of wetlands in the Danube Lower Valley between 1864–1950 and 1950–1990. *Data source* Charta României Meridionale (1864) [25]; Military Survey Maps (1915–1959) [26]; Topographical Military Map of Romania (1975) [27]

Another major expansion took place between 2006 and 2012, when 7205 ha of agricultural land converted into wetlands.

There were no expansions registered between 2012 and 2018. Between 1990 and 2018, the dominant land cover class, represented by agricultural areas, registered a continuous expansion (from 488,018 ha in 1990 to 511,897 ha in 2018). Arable land has replaced considerable areas of wetland primarily in 2000–2006 (7150 ha), 2012–2018 (9035 ha) and 2006–2012 (12,428 ha) (Table 1).

The areas covered by water bodies dropped from 178,047 ha in 1990 to 171,126 ha in 2006. In 2012, water bodies increased to 171,830 ha, and slightly decreased after to 171,757 ha in 2018. Water bodies have also replaced wetlands, yet on much smaller areas, namely 5589 ha between 2006 and 2012, followed by 4434 ha between 1990 and 2000.

Following a similar pattern, forests and semi-natural areas reduced from 144,082 ha in 1990 to 127,768 ha in 2006. A minor increase followed the next years, by reaching 131,623 ha in 2012, respectively 131,276 ha in 2018. Small surfaces of wetlands were converted into other semi-natural areas in 2000–2006 (4573 ha) and 2006–2012 (3705 ha). Artificial areas constantly decreased between 2000 and 2018 (from 27,217 ha to 25,524 ha). Between 2000 and 2006, they have registered their

**Table 1** Land-cover conversions between 1990 and 2018

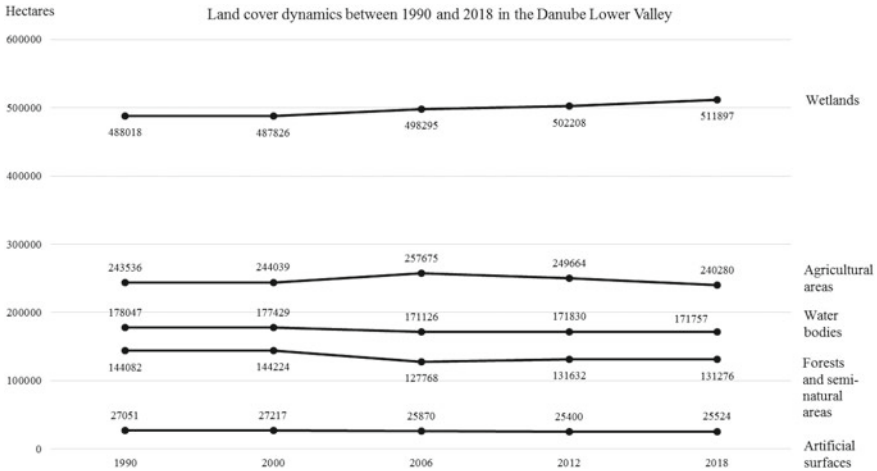
Land cover classes transitions	Area (hectares)			
	1990–2000	2000–2006	2006–2012	2012–2018
<i>Unchanged artificial</i>	26,908	24,012	24,709	25,400
Agricultural to artificial	251	1333	556	124
Forests to artificial	10	216	39	0
Wetlands to artificial	20	167	73	0
Water to artificial	28	142	23	0
<i>Unchanged agricultural</i>	487,134	478,461	486,494	502,058
Artificial to agricultural	77	2053	938	0
Forests to agricultural	237	10,299	1250	278
Wetlands to agricultural	113	7150	12,428	9035
Water to agricultural	264	332	1098	526
<i>Unchanged forests</i>	143,399	118,176	123,135	131,250
Artificial to forests	12	539	19	0
Agricultural to forests	350	3381	3713	26
Wetlands to forests	113	4573	3705	0
Water to forests	350	1099	1060	0
<i>Unchanged wetlands</i>	242,856	229,874	235,880	240,280
Artificial to wetlands	14	256	151	0
Agricultural to wetlands	199	4171	7205	0
Forests to wetlands	123	13,623	2852	0
Water to wetlands	847	9751	3576	0
<i>Unchanged water</i>	176,558	166,105	165,369	171,304
Artificial to water	40	357	53	0
Agricultural to water	84	479	327	0
Forests to water	313	1910	492	104
Wetlands to water	4434	2275	5589	349

Data source Environmental European Agency (1990, 2000, 2006, 2012, 2018) [29]

peak expansion over wetlands, by replacing 167 ha. Secondly, between 2006 and 2012, 73 ha of wetland were lost to artificial areas (Fig. 4).

Wetlands have expanded to the detriment of other semi-natural areas, water bodies and artificial areas, especially in the eastern section of the study area, within the Danube Delta and Brăila Wetland (both 1990–2000, 2000–2006 and 2006–2012). In opposition, expansions over agricultural areas were usually located in the western, in the proximity of the Bistreț Wetland (1990–2000 and 2000–2006) and central section, east of Suhaia Wetland (2000–2006 and 2006–2012) (Fig. 5).

A discussion of our results indicates the presence of three stages of human influence over Danube Lower Valley landscape: (1) semi-natural state (1864–1950),



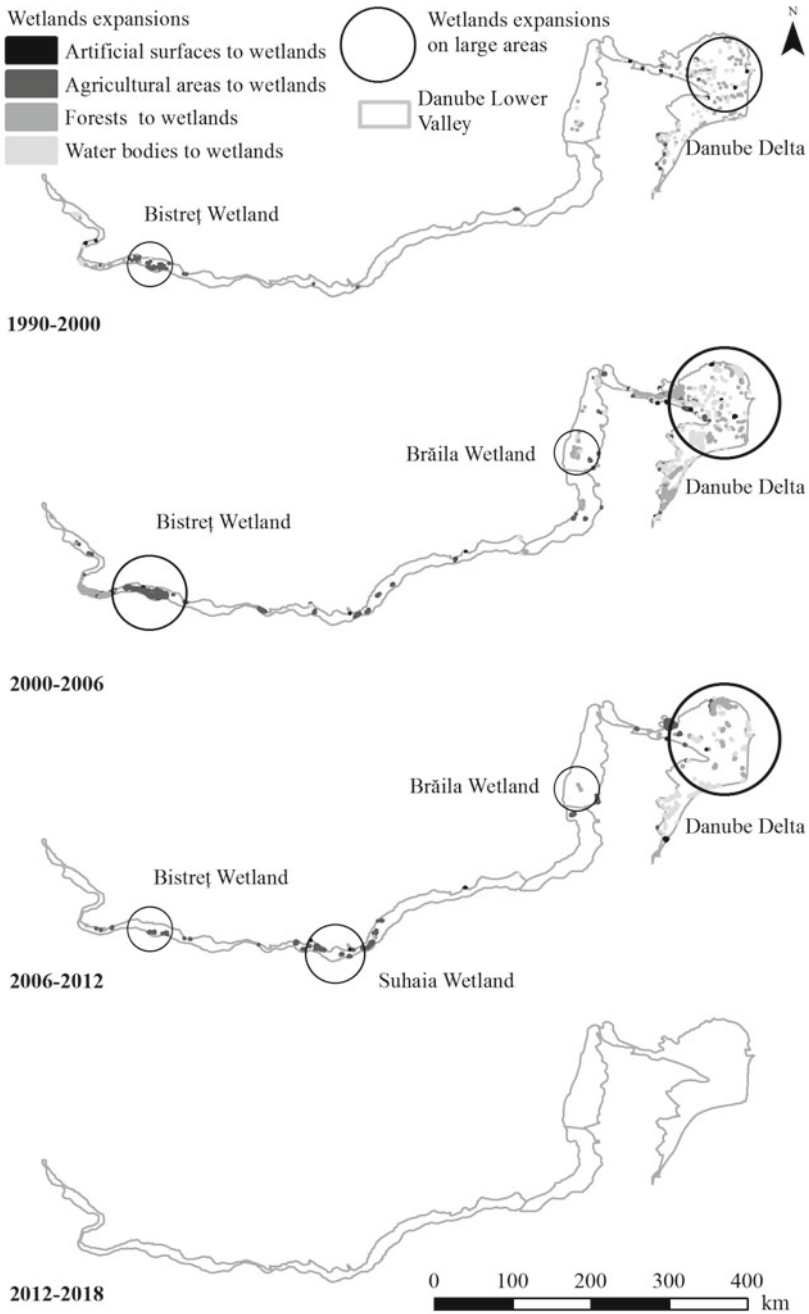
**Fig. 4** Dynamics of the major land cover classes from the Danube Lower Valley across four time periods: 1990–2000, 2000–2006, 2006–2012, and 2012–2018. *Data source* Environmental European Agency (1990, 2000, 2006, 2012, 2018) [29]

(2) intense modifications (1950–1990) and (3) return to wilderness (after 1990). Until 1950, large intact wetlands, lakes and flooded forests dominated the landscape, forming a continuous wild land. These swamps were highly inaccessible and were considered insecure by people, since they sheltered bands of outlaws and packs of large carnivores, such as wolves [30]. Furthermore, the swamps were avoided since they were perceived as an unhealthy land, haunted by outbreaks of malaria. At that time, the valley was an aquatic area, where water stagnated for a long time and hampered economic exploitation. Yet, despite their apparently inaccessible character, various types of human activities with low impact on the environment were performed in the wetlands, such as hunting, fishing, honey collecting and even cattle grazing on peripheral grasslands [30].

In 1904, the first activities that generated major changes in the natural environment took place in the Danube floodplain in order to transform it into agricultural land, and the first levees were constructed near the town of Oltenița by several Dutch construction companies such as Schram, Dithmer and Langeweld. Previous, the embankment attempts were not successful due to the lack of hydrological data and the low adaptability of the constructions to the floodplain conditions [31].

The levees in the Danube floodplain were used to remove the lands from the influence of the river waters. Following these embankment works, the problem of formulating unitary norms for their design was raised, so that in 1910 the law for the development of lands in the Danube floodplain appeared, based on the engineering conception of Anghel Saligny. Following the conservative controversies initiated by Grigore Antipa [17] between 1912 and 1921, the embankment works were completely interrupted. Yet, the construction of levees restarted after the end of the First World War [31].





**Fig. 5** Areas with wetlands expansion over other land cover classes between 1990 and 2018. *Data source* Environmental European Agency (1990, 2000, 2006, 2012, 2018) [29]

After 1950, in the communist period, the swamps were drained and embanked in order to be replaced by arable land. In the context of agricultural land expansion and agricultural development, hydro-amelioration works in the Danube floodplain continue and intensify since 1963 with drainage works, followed by irrigation in areas with moisture deficit, producing irreversible changes to environmental factors [31]. Overall, the works for agricultural exploitation of the lands were made in the following stages: (a) overall drainage which involved the construction of main canals for the collection and evacuation of excess water; (b) retail drainage and completion of the network of main canals with secondary drainage channels to intensify the collection and discharge of excess water; (c) irrigation arrangements, consisting of underground pipes and open canals, and (d) introduction of pipe drainage, associated with works of land leveling.

Drainage actions began after embankment. They consisted mainly in the execution of drainage channels that led to maintaining the groundwater level at reasonable levels [32]. Socialist decision-makers thought exclusively of engineering and did not consult hydrologists, biologists and ichthyologists. Therefore, Romania did not sign the Ramsar Convention (in 1975) dedicated on protecting wetlands, until after the revolution, on the year 1991. Until then, the Danube Delta Biosphere Reserve, established in the year 1979, was the only natural protected area with international nomination [33]. Overall, the socialist period was characterized by the highest degree of artificiality and maintenance of pressures on the natural ecosystems in the Danube floodplain.

After 1990, a massive wave of social and economic changes affected the agricultural activity in the valley. The irrigation systems were gradually abandoned. The first stage of mass conservation from the Danube valley took place in 1991, through the establishment of RAMSAR sites: Danube Delta, Small Wetland of Brăila, Bistreț, Călărași Lake, Suhaia, Blahnița, Borcea Arm, Calafat-Ciupereni-Danube, Canarals of Hârșova, Bugeac-Iortmac Danube Islands, Jiu-Danube Confluence and Old Danube-Măcin Arm. Also, in 1991, the Danube Delta Natural World Heritage Site was established [33]. In addition, the Danube Lower Valley witnessed a wave of massive floods in 2006, which lead to the destruction of levees and flooding of arable land [18]. The floods represented the starting point for the expansion of wetlands.

Therefore, in 2007, after joining the European Union, Romania developed a network of environmentally/ecological protected areas, in order to develop a favorable conservation status for some of the rarest habitats and wildlife species from Europe. The Natura 2000 network encompassed Sites of Community Interest—SCI (established through the Habitats directive 92/43 of 1992 on the protection of Habitats, Fauna and Flora) and Special Protection Areas—SPA (established through the Birds Directive 79/409 of 1979 regarding the Conservation of Wild Birds). The Natura 2000 network was extended in 2011, respectively 2016. The new wetlands were included in protected areas, and wetland conservation and recovery reached its peak [21]. Although they benefit from the advantages of the community protection regime, the protected areas raise serious doubts concerning the efficiency of their administration, since many of them lack a proper management plan or internal zoning system [34].

## 4 Implications of Land Management for Conservation in the Danube Lower Valley

We also aimed to analyze if there is a spatial and temporal connection between the distributions of abandoned irrigation systems, wetlands expansion and protected areas (SCIs and SPAs) location within the Danube Lower Valley, after 1990, through the use of spatial super-imposing GIS techniques.

The first methodological step was to identify the categories of protected areas developed in the study area in the post-socialist period (after 1990) through the use of spatial data consisting in the location of SCIs and SPAs, from 2007 and 2011 [35]. Secondly, we have used the spatial linear data consisting in the location of irrigation systems from 1975 and converted it into polygons, with a buffer width of 10 m. Then, through the use of spatial function Erase from ArcGIS [36] we have performed a spatial intersection of SCIs/ SPAs with the irrigation systems, in order to identify the protected areas developed in 2007 and 2011, on irrigation systems that were abandoned after 1990.

The SCIs, SPAs and irrigation systems were superimposed over the spatial location of the areas where wetlands have expanded between 2000 and 2006, for identifying if there is a spatial connection between abandoned irrigation systems after 1990, wetlands expansion between 2000 and 2007, and protected areas (from 2007 and 2011) location within the Danube Lower Valley. We have selected the 2000–2006 time period because: (1) it precedes the years when the SCIs and SPAs have been created (2007 and 2011), and (2) it is characterized by the most significant expansions of wetlands. Lastly, for every type of wetland expansion identified between 2000 and 2006 (over agricultural areas, artificial surfaces, forests and semi-natural areas, and water bodies), we have computed the areas located within protected land, SCIs, SPAs, respectively SCIs and SPAs with abandoned irrigation systems.

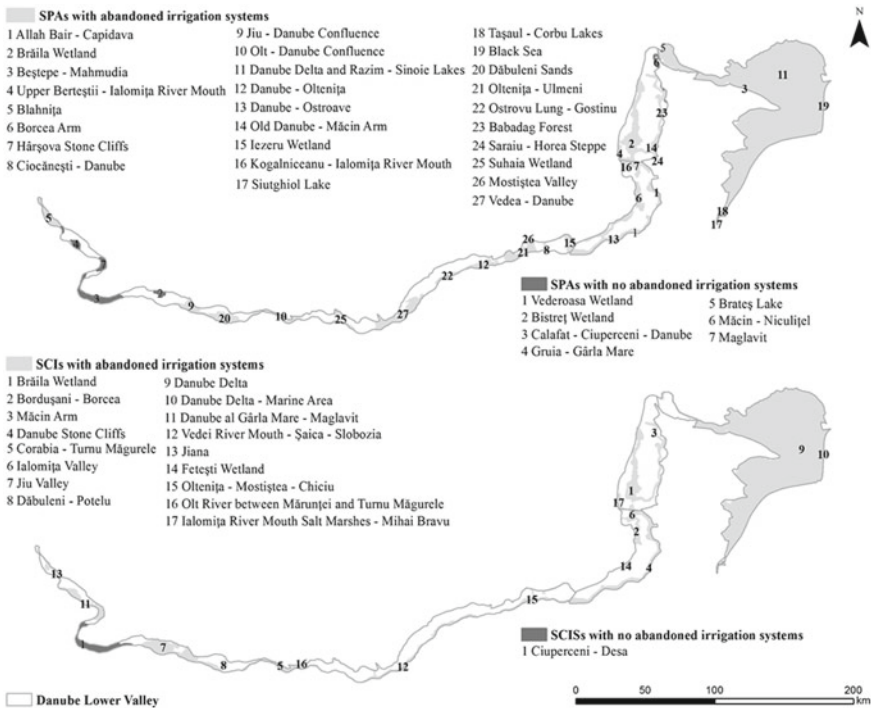
Our results indicate the presence of only one SCI (Ciuperceeni-Desa) in the Danube Lower Valley located in an area without abandoned irrigation systems. The site lies in the western extend of the valley, over an area of 16,731 ha. In opposition, we identified 17 SCIs with irrigation systems, totaling an area of 526,749 ha (48.7% of the entire Danube Lower Valley), the largest being: Danube Delta (445,318 ha), Jiu Valley (26,660 ha), Danube Stone Cliffs (24,098 ha), Brăila Wetland (20,650 ha), Măcin Arm (10,023 ha). The largest and most numerous sites are primarily concentrated in the eastern section of the valley (in the Danube Delta, and on the sections bordering the areas occupied once by the wetlands of Brăila and Ialomița).

Only 6 SPAs do not have abandoned irrigation systems. These SPAs cover an area of only 26,858 ha (2.5% of the Danube Lower Valley), and lie especially in the western part of the valley. The largest is Calafat-Ciupercei-Desa, covering 15,047 ha. Conversely, 27 SPAs are characterized by the presence of irrigation systems, and total 641,498 ha (59% of the valley). The largest are: Danube Delta and Razim-Sinoie (468,741 ha), Brăila Wetland (25,787 ha), Vedea-Danube (19,272 ha), Old Danube-Măcin Arm (15,139 ha), Danube-Ostroave (14,359 ha), Borcea Arm (12,913 ha), Oltenița-Ulmeni (12,180 ha), Sands of Dăbuleni (10,980 ha). As in case of the SCIs,

these are distributed mainly in the proximity of the Danube Delta and adjacent river sections (Fig. 6).

Approximately 99.8% of the regions where forests and other semi-natural areas have been converted into wetlands are protected. Similarly, 98.4% of the areas where wetlands have replaced water bodies are concentrated in SCIs or SPAs. Furthermore, 78.8% of the areas where wetlands have replaced agricultural areas are included in protected land, whereas in case of conversions from artificial surfaces to wetlands, only 67.5% of them lie on protected areas. The results suggest that the expansions of wetlands over other semi-natural land cover classes (such as forest and water bodies) are primarily located in SCIs and SPAs (over 90% from the total converted areas, in both cases).

The conversions of artificial and agricultural areas into wetlands are also concentrated in protected areas, yet to a much lower extend (less than 80% in all of the two cases). By analyzing the overall areas where wetlands expanded at their peak period (2000–2006), and their distribution over protected land and abandoned irrigation systems, the results reveal that, 95.9% of them are located on protected areas (89% in SCIs, 90.7% in SPAs). Similarly, the largest percentages are concentrated on



**Fig. 6** Distribution of SCIs and SPAs with abandoned irrigation systems in the Danube Lower Valley after 1990. *Data source* Ministerul Mediului (2015) [35]

**Table 2** Areas where wetlands expanded at their peak period (2000–2006), and the distribution over protected land and over areas with abandoned irrigation systems

Wetland expansion at their peak (2000–2006)	Area (hectares)					
	Overall Danube Lower Plain	Overall protected land	SCIs	SPAs	SCIs with abandoned irrigation systems	SPAs with abandoned irrigation systems
Artificial to wetlands	256	173	166	173	164	171
Agricultural to wetlands	4171	3290	2645	1872	2440	1633
Forests to wetlands	13,623	13,600	13,327	13,588	11,834	12,085
Water to wetlands	9751	9599	8625	9602	8624	8670
Overall wetland expansion	27,801	26,662	24,763	25,235	23,062	22,559

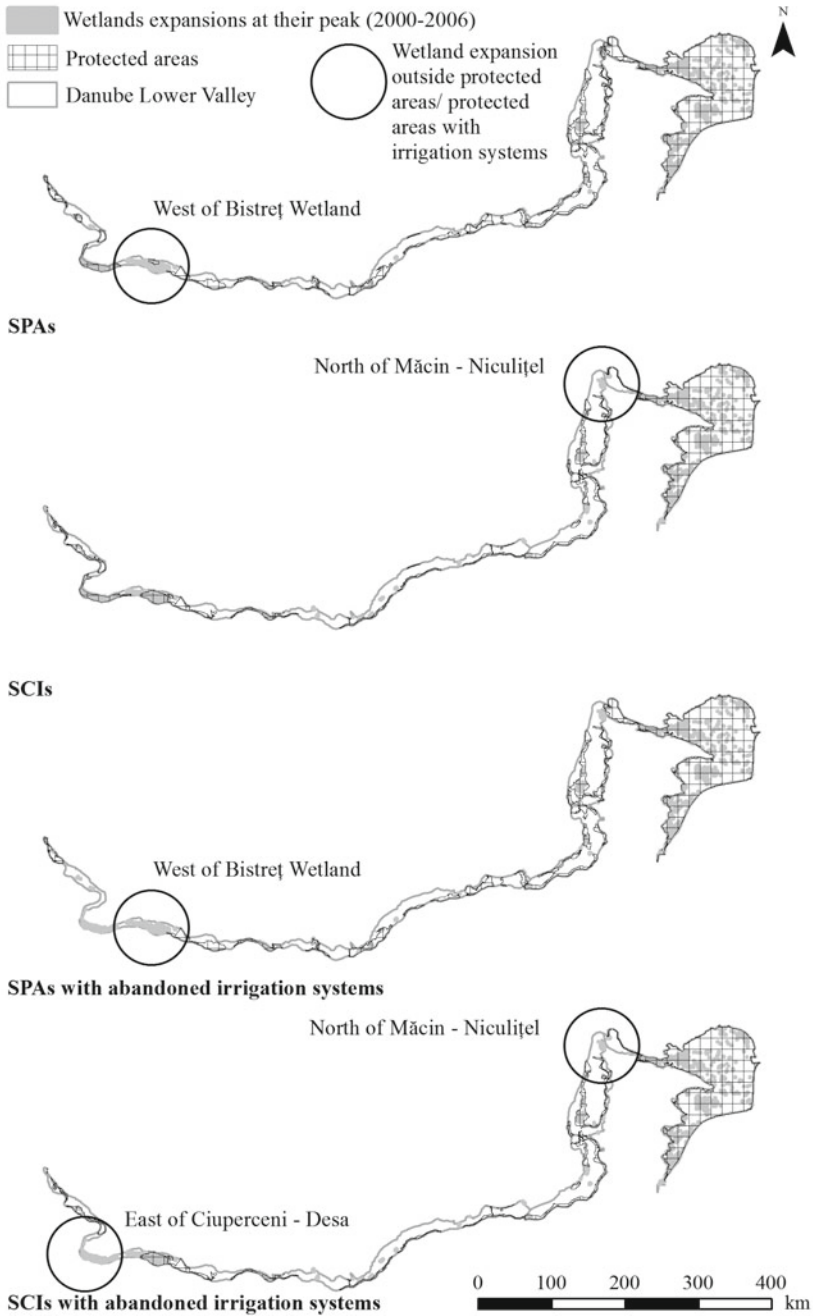
*Data source* Environmental European Agency (1990, 2000, 2006, 2012, 2018) [29]; Ministerul Mediului (2015) [35]

protected areas with abandoned irrigation systems (82.9% in SCIs, 81.1% in SPAs) (Table 2).

By superimposing, the areas where wetlands expanded at their peak period (2000–2006), the location of SCIs and SPAs, and the distribution of abandoned irrigation systems, the maps indicate that the three elements are spatially connected. The wetlands expansions are usually included in SPAs/ SPAs with abandoned irrigation systems, the only exceptions being a large area west of Bistreț Wetland where wetlands have replaced arable land. Similarly, the SCIs/ SCIs with abandoned irrigation systems also include the majority of new wetlands. Yet, there are several small areas where new wetlands are located outside protected land, such as east of Ciuperceni-Desa where forest and other semi-natural areas were converted into wetlands, and north of Măcin Niculițel where water bodies were converted into wetlands (Fig. 7).

Discussion of our results reveals the presence of a clear spatial connection between the distributions of abandoned irrigation systems, wetlands expansion and protected areas (SCIs and SPAs). The results indicate that weak land management could be a potential triggering factor for the process of returning to wilderness, and therefore, efficient in promoting wildlife conservation. Changes in land use from wetlands to agricultural land, development of irrigation systems and river embankment have been the main factors with a negative influence on biodiversity in the Danube floodplain, mainly due to the reduction and fragmentation of habitats available to different species, in the socialist period [37].

On the other hand, there are several factors that have favored the re-wilding of once natural ecosystems, such as the manifestation of extreme hydrological events,



**Fig. 7** The distribution of the areas where wetlands expanded at their peak period (2000–2006), the location of protected areas (SCIs and SPAs) and abandoned irrigation systems. *Data source* Environmental European Agency (1990, 2000, 2006, 2012, 2018) [29]; Ministerul Mediului (2015) [35]

namely floods, which have led to the extent of wetlands. The explanation for these changes consists in the fact that the natural hydrological regime in the Danube basin has been strongly modified by numerous hydrotechnical works (levees, drainage and irrigation systems), of which many also have a role of protection against floods. The river sectors in which the runoff regime is undisturbed by anthropogenic influence are restricted and are located on small tributaries. Despite these aspects, weak land management practices after 1990, such as the abandonment of irrigation systems have led to a decrease of human pressure over the landscapes ecological functions. Furthermore, within the Danube River, after 1990, floods occurred, being favored by a very high degree of embankment, long duration of high river levels, large surface of the river basin and reduced slope. The impact on the flow exerted by the levees is significant in the case of high waters, when the flood peak increases considerably compared to the flow that occurs in normal times. In 2006, high levels of the Danube fell into breaches in levees and flooded many areas of the floodplain. The waters remained captive for a long time. Prolonged stagnation of water in the depressed areas behind the levees later led to the development of wetlands [38].

Besides their importance from an economic point of view, being used for water supply and fishing, wetlands have an important role in regulating runoff and in conserving biodiversity, being habitats for a large number of species, especially water birds. Therefore, aquatic surfaces are constituted in important spaces where biological diversity can be conserved—*ecological supermarkets* [39]. The adaptation of wetland habitats to the threats generated by anthropogenic pressures involved taking complex measures in order to include them into a national system of protected areas, such as SCIs and SPAs. These measures aimed at creating protected areas as large as possible, establishing buffer outdoor areas to better filter out anthropogenic external influences, identifying ecological corridors to connect protected areas and ensuring sustainable land management to help maintain biodiversity [37].

## 5 Conclusion and Recommendation

The main factors that could lead to a potential return of wildlife habitats in areas with abandoned irrigation systems are the presence of extreme hydrological or meteorological conditions, namely floods or climate change which could favor the extend of wetlands, abandonment of intensive agricultural practices proper to generate the expansion of spontaneous secondary vegetation, and lack of general human activity.

Our findings could help valuing the protected areas natural potential, by increasing biodiversity management, wildlife conservation and connected touristic attractiveness.

In order to ensure the continuity of renaturalized ecosystems, we recommend limiting agricultural activities, especially intensive practices. Agricultural activities are also allowed in Natura 2000 sites, and in the event of a revival of irrigation systems (unlikely soon) most of the protected areas will not undergo major changes.

Also, for the sustainable development of the Danube Lower Valley, we suggest planning protected areas so that they have a spatial structure as compact as possible, respectively to seek their expansion in order to increase connectivity, because some sites are extremely isolated. In addition, we consider useful to stop the expansion of transport infrastructure in order to decrease landscape fragmentation, respectively to encourage residential expansion in compact structures, in order to maintain the areas occupied by agricultural land and open spaces at their present expansion.

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# The Danube River: Between Conservation and Human Pressures in the Iron Gates Natural Park



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**Abstract** Designing natural protected areas is an effective approach for pausing biodiversity decline and habitat losses. Along its course, the Danube River has crafted unvaluable natural sanctuaries, most of them being targeted by nature conservation measures. At the same time, the Danube River has always created development opportunities for human settlement emerged along the river. The Iron Gates Natural Park (IGNP) is one of the largest natural protected areas along the Danube River and its objectives are not focussed only on biodiversity, but also at promoting sustainable development in the area. Despite being one of richest biodiversity hotspots in Europe, the human communities sheltered here struggle with every-day living, the region being socio-economic unrested. In this context, the fundamental objective of conservation strategies is to harmonize the human needs and requirements with the nature conservation focus. We have analysed the updated version of the Management Plan of IGNP, as our main focus was to identify how the socio-economic and environmental aspects are integrated for providing a sustainable development of the region while keeping its conservation status. We have also desired to assess whether the natural assets provided by the presence of the Danube River are used for the socio-economic progress by local communities. Furthermore, a perception analysis was conducted in the region, assessing the popularity of the conservation policies among local dwellers. The data were gathered from a survey undertook in 2020. Our results have indicated that imposed conservation policies can trigger discontent among the impoverished local communities. This current chapter offers a relevant image on how conservation relates with human pressure in the Iron Gates Natural Park. Our results may be considered relevant for identifying and promoting best or

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better practices related with natural conservation along the Danube and other riparian protected areas.

**Keywords** Danube · Biodiversity conservation · Socio-economic indicators · Conservation policies · Romania

## 1 Introduction

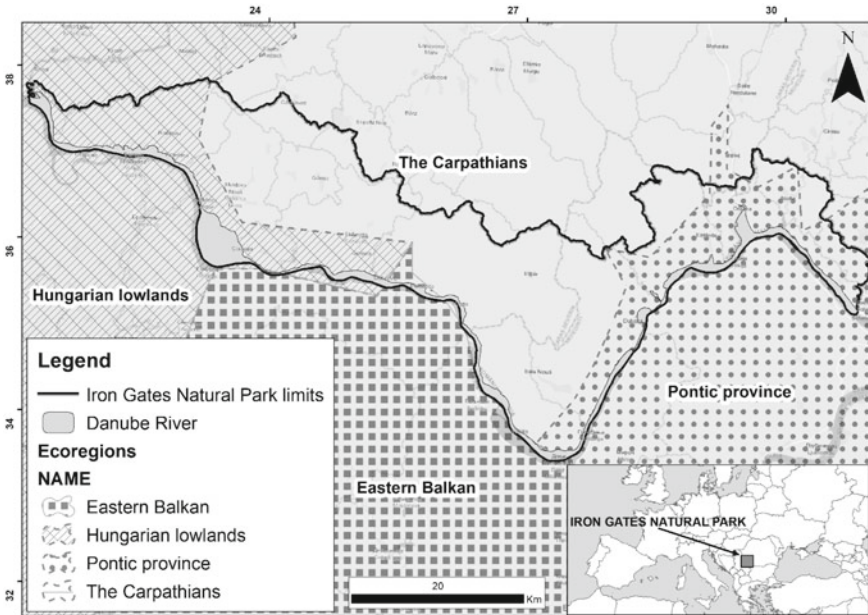
The Danube River is the environmental component which provided a multitude of services since ancient period to a significant number of European inhabitants. Whether it had a defensive or border role, providing food and a fertile meadow or it was used for transportation or leisure activities, the river fed the central and eastern Europeans' demands throughout the history. Therefore, the role of the Danube within the European society is undeniably of high importance [1].

Besides the societal impact, the river is a natural and efficient sanctuary for biodiversity as it carved a variety of landscapes through complex landforms. However, the historical evolution of Europe from social, cultural and economic perspectives, and the diversification of human needs had also implied changes within the natural balance of the Danube River, expressed through changes regarding the waterflow [2], riverbanks, landscapes [3] and biological diversity [4].

As the pressure on the ecosystems of the Danube River has become more complex and more aggressive, national and local governing bodies have established natural protected areas along the river in order to maintain the permanent flux of ecosystem services and preserve the riparian environments as wild as possible. This was the case for the region in which the Danube River separates the Carpathians from the Balkans (Fig. 1). This is one of the most spectacular landforms the river passes, situated at the junction between two of Danube's sectors—the Middle Basin and the Lower Basin [1]. The name "Iron Gates" relates to the gorge dug by the Danube River here as it passes through the Southern Carpathians [5], and it has been also attributed to the protected areas situated both on the northern (Romania) and southern (Serbia) banks of the river [6].

The region has passed throughout the history under several administrations and ways of governance. The time frames in which the most salient changes at a landscape level have emerged occurred during the Austrian-Hungarian administration, the Communist regime of Romania (1947–1989) and the contemporary period [3, 7–9]. One of the most evident change suffered by the river's meadows is undoubtedly the construction of the Iron Gates Hydropower and Navigation System, an ambitious project agreed between the Socialist Republic of Romania and the Socialist Federal Republic of Yugoslavia which started in 1964 and finished in 1972, consisting of a 60 m high and about 1 km long dam, being one of the largest hydro constructions in Europe [10].

The construction of the dam substantially altered the hydrological regime [2], with the reservoir behind it, expanding towards Belgrade's outskirts. The reservoir

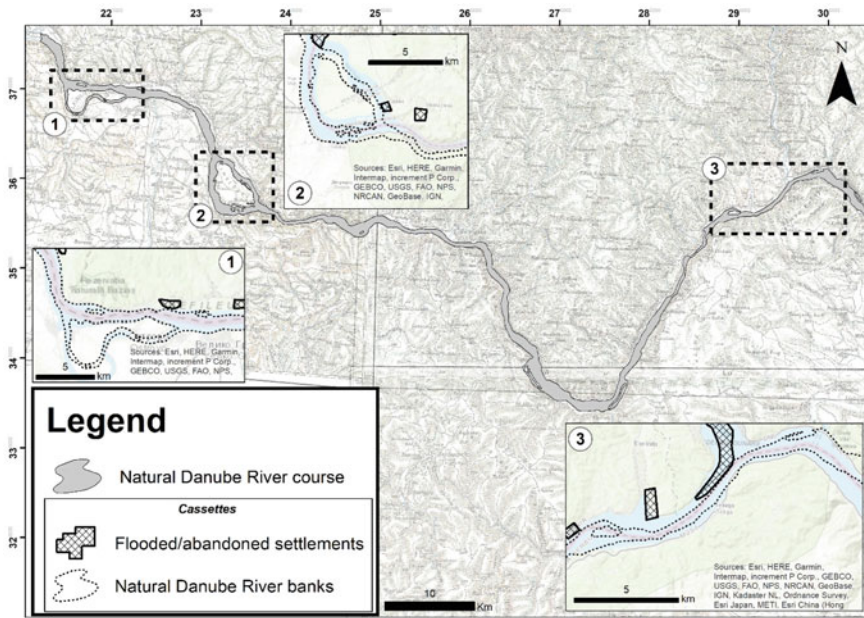


**Fig. 1** Location of Iron Gates Natural Park within the European continent and the Ecoregions (according to European Environmental Agency)

has flooded the natural floodplain area and wetlands adjacent to the Danube River and some of the old human settlement along the way (Fig. 2) and extensive surfaces of arable land and pastures with a total flooded surface of about 100 km<sup>2</sup> [7].

Besides the construction of the Iron Gates hydropower plant, during the Romanian communist rule, several industrial activities took place in the region, ore extraction (copper and coal mainly) being the main focus [11]. However, despite these activities taking place, the area was restrictive for outsiders due to the controlled circulation of Romanians abroad and due to the numerous illegal attempts of border crossings through this region until 1990. Thus, despite the touristic potential of the region, highlighted by Patroescu and Vintila [12] in the late 90's, it was not until the late 2000's that the region has become popular for tourists and touristic infrastructures have emerged in the area.

The development of tourism relatively coincides with the conservation status of the region enforced in 2000. The Iron Gates Natural Park (IGNP) covers a surface of approximately 1156 km<sup>2</sup> and it includes territories from Caras-Severin and Mehedinți Counties [13]. Considering Romania's recent political and economic history and the negative perceptions of conservation efforts, the efficiency of IGNP management is still rather contentious [14]. The conservation status of the region was superimposed on the local structures in a classical central control process, with weak public participation [15], fact that still creates turmoil in the region. Is it notable that in



**Fig. 2** The natural flow of the Danube until 1964 (main map) in contrast with the current morphology (cassettes). *Source of main base map* The Military mapping survey of Austria-Hungary (1:200,000) drawn between 1889–1915. <http://lazarus.elte.hu/>

Romania most of the protected areas are still established and managed by central authorities [16].

Some challenges regarding the conservation aims and human demands within IGNP come from external factors. One example consists of the cross-border cooperation with Djerdap National Park from Serbia, the open borders enhancing the contact between humans and prior isolated natural sanctuaries [17]. Besides that, the role of external bodies promoting conservation measures, such as the EU Commission through the Natura 2000 network or the International Commission for the Protection of the Danube River, implementing the Water Framework Directive [1] are adding more complexity on the issue of keeping a sustainable balance between human activities and natural conservation. Nevertheless, legislation and management directions imposed from Bucharest are also increasing the confusion and uneasiness among local communities, policy makers and stakeholders [18].

There are also internal factors in the IGNP, fostering the debate between conservation and human pressure, such as the level of ecological education and awareness among the wide public [19], the competition for resources and the collaboration related-processes favouring adaptive co-management [20] or the depopulation, ageing and social challenges characterizing human settlements in the area [3].

The social configuration of the area is widening the gap between the conservation aims and public acceptance. As Grodzinska-Jurczak and Cent [21] highlighted, the

experience of the countries which were the first to implement European Union's directives on nature conservation show that they cannot be effectively applied without widespread public participation. Since deprived communities are considering the conservation status of their lands more as a drawback rather than an opportunity, conservation policies and their implementation will not be fully effective.

The main aim of this chapter is to analyse the integration of competing social and economic objectives with the conservation process of the Iron Gates Natural Park, giving a special focus to the Danube River. We proposed for this a three-staged analysis focusing on: (i) assessing the current social and economic situation of the communities living within IGNP; (ii) classifying the conservation objectives and measures presented in the Management Plan of the IGNP and (iii) identifying public perceptions regarding the conservation status of the area. We focused on describing in our study an integrated perspective, including both socio-economic and conservation realities specific to the Iron Gates Natural Park, as prior studies have analysed just one of these perspectives. This approach may provide an effective feedback for practitioners and policy makers concerned in enhancing the potential provided by the Danube River for harmonious development of local communities in relation with natural features.

## 2 Description of the Iron Gates Natural Park

The study area, characterized by wetlands, unique geological features and a sub-Mediterranean climate, represented a vast field of research due to the existing biodiversity, but also due to the environmental issues generated by the usage of natural resources and conflicts in governance [22, 23]. The status of the region has been officially established by Law no. 5 of 2000 regarding the plan for organizing the national territory, Sect. 3—protected areas [24, 25]. The protected area's official limits and total area are described by the Government Decision no. 230/2003 [26].

### 2.1 Overview of the Natural Features

Included in the “*natural park*” category [27], IGNP favours the sustainable use of resources and traditional activities, a large variety of the conservation objectives resulting from the historical interaction between the rich biodiversity and various forms of social and economic exploitation. The geodiversity of the region contributed in time to an increased variety of habitats, ecosystems, and landscapes.

The lithology of the region is dominated by crystallin and sedimentary rocks, with seldom magmatic intrusions of different ages. The limestones found here (e.g. Ciucaru Mare and Ciucaru Mic mountainous massifs) had a significant contribution in shaping the landscape, with numerous exokarst and endokarst complexes along with providing suitable habitats for calciphile and thermophilic species. The Iron Gates is

characterized by a complexity of lithological and paleontological sites, considered to be unique along the Danube [28].

Most of the Danube's tributaries within the analysed area have their sources in the mountainous regions and are characterized by torrential flows. After the construction of the Iron Gates dam the confluence of the tributaries with the Danube River were flooded, forming small gulfs with deltaic patterns [3].

The biological diversity of the region has brought the attention of naturalists who in the late 19th and the early twentieth century signalled a new plant taxa - *Stipa danubialis* [29, 30], 17 new plant taxa unknown to be existing within Romanian territory at the time (e.g. *Minuartia hamata*, *Minuartia verna ssp. collina*, *Iris pallida* etc.) [31] and 25 rare taxa (e.g. *Dianthus pinifolius*, *Paeonia daurica*, *Verbena supina* etc.) vegetating exclusively in this region [32].

The biogeographical origin of species revealed by Mataca [32] highlights the presence of Eurasian (32%), Mediterranean (12%), European (12%), Pontic (10%) and Balkan (5%) features. The existence of some thermophilic communities was highlighted since 1957 [33]. Among the flagship species of fauna is the Hermann's tortoise (*Testudo hermanni* Boetgeri), an endangered species with a population rehabilitated in the area through a LIFE Nature project (LIFE Nature RO 7171) implemented by the University of Bucharest, which also analysed the distribution area of other species of reptiles [34, 35].

## 2.2 Conservation Policies—Timeline and Current Management

The biological richness of the Iron Gates region determined scientists and political figures in trying to establish here a protected area since the early twentieth century. In 1918 professor Victor Stanciu from Cluj-Napoca University made amends to the Agrarian Law of the time in which he aimed that all areas having special biological features, including parts of the Iron Gates region, to be expropriated and dedicated entirely for science [28]. However, this proposal failed to be enforced. In 1924 the geobotanist Alexandru Borza mentions the botanical reservations in the Danube's gorges, while later, in 1936 he urges the need for a special protection status towards the plant species *Prangos carinata* at the sunny slopes from Gura Vaii, area which is today included in IGNU.

The natural park includes other three Natura 2000 sites (ROSPA0026, ROSPA0080 and ROSCI0206) and a Ramsar site focusing on wetland conservation. In addition, the Iron Gates Natural Park includes 18 protected areas of national interest (Table 1), but also with different categories in the International Union for the Conservation of Nature classification. The conservation importance of the region is therefore sustained by the high number of protected areas overlapping in the Iron Gates. The internal zonation of the Iron Gates Natural Park included most of the above protected areas in zone with integral protection, limiting, at least on paper,

**Table 1** List of natural reservations of national interest within Iron Gates Natural Park [28]

Name	Type	IUCN Cat.	Area (ha)	Habitats	Important species
Nera-Danube wetland	mixt	IV	10.0	Oligotrophic waters, eutrophic lakes, hydrofoil vegetation	<i>Iris pseudacorus</i> ; <i>Butomus umbellatus</i> ; <i>Populus alba</i> ; <i>Salix alba</i> ; <i>Egretta garzetta</i> ; <i>Egretta alba</i> ; <i>Alcedo atthis</i> ; <i>Canis lupus</i> ; <i>Lutra lutra</i> ; <i>Emys orbicularis</i>
Baziaș	mixt	IV	170.9	Regional and local importance habitats	<i>Quercus cerris</i> , <i>Q. pubescens</i> ; <i>Fraxinus ornus</i> ; <i>Tilia tomentosa</i> ; <i>Paeonia officinalis</i> .
Calinovat holm	birds	IV	24.0	Aquatic and wetlands	<i>Egretta alba</i> ; <i>Egretta garzetta</i> ; <i>Gavia stellata</i> ; <i>Emys orbicularis</i> ; <i>Bombina bombina</i> ; <i>Cottus gobio</i> ; <i>Aspius aspius</i> etc.
Martin steep from Divici valey	mixt	IV	5.0	Xerophile pastures, loess deposits	<i>Paeonia officinalis</i> ; <i>Gladiolus imbricatus</i> ; <i>Riparia riparia</i> ; <i>Hirundo rupestris</i> etc.
Divici-Pojejena	birds	IV	498.0	Terrestrial and aquatic	<i>Ciconia nigra</i> ; <i>Milvus migrans</i> ; <i>Phalacrocorax pygmeus</i> ; <i>Alcedo atthis</i> ; <i>Hyla arborea</i> ; <i>Zingel zingel</i> ; <i>Cobitis elongata</i> ;
Valea Mare	botanic	IV	1179.0	Potholes, Caves, Sinkholes, Aquatic habitats	<i>Fagus sylvatica</i> ; <i>Carpinus orientalis</i> ; <i>Tilia tomentosa</i> ; <i>Genista ovata</i> ; <i>Orchis pallens</i> ; <i>Rhinolophus blasii</i> ; <i>Hirundo rupestris</i> ; <i>Vipera ammodytes</i> ; <i>Testudo hermanni boettgeri</i>
Water cave from Polevii Valey	mixt	IV	3.2	Reef limestones	<i>Species of bats</i>
Moldova Veche holm	birds	IV	1627.0	Aquatic, Sandy wetlands	<i>Salix alba</i> ; <i>Populus alba</i> ; <i>Iris pseudacorus</i> ; <i>Elodea canadensis</i> ; <i>Cygnus cygnus</i> ; <i>Buteo rufinus</i> ; <i>Emys orbicularis</i>
Fossil site Svinița	paleontological	III	95.0	Limestone, Marl	<i>Amoniți</i> , <i>brachiopode jurasice</i> etc.
Cazanele Mari and Cazanele Mici	mixt	IV	215.1	Limestone steeps, Potholes, Sinkholes	<i>Fagus sylvatica</i> ; <i>Carpinus orientalis</i> ; <i>Taxus baccata</i> ; <i>Tulipa hungarica</i> ; <i>Stipa aristella</i> ; <i>Syringa vulgaris</i> ; <i>Vipera ammodytes</i> ;
Fossil site Bahna	paleontological	III	10.0	Limestone, Marls	<i>Fossil fauna of molluscs, foraminifera, coral or gastropods</i>
Duhovna hill	forestry	IV	50.0	Regional and local importance habitats	<i>Quercus petraea</i> ;
Gura Văii-Vărciorova	mixt	IV	305.0	Terrestrial habits, Xerophile	<i>Quercus frainetto</i> ; <i>Quercus dalechampii</i> ; <i>Crataegus nigra</i> ; <i>Cotinus coggygria</i> ; <i>Verbascum varciorovae</i> ; <i>Testudo hermanni boettgeri</i> ;
Fața Virului	botanic	IV	6.0	Lithophile	<i>Quercus virgiliana</i> ; <i>Rubus severinensis</i> ; <i>Celtis australis</i> ;
Cracul Crucii	botanic	IV	2.0	Lithophile	<i>Minuartia capillacea</i> ; <i>Cachrys ferulacea</i> ; <i>Dianthus varciorovenssis</i> ;
Vărănic	mixt	IV	350.0	Xerophile, Limestone	<i>Carpinus orientalis</i> ; <i>Fraxinus ornus</i> ; <i>Syringa vulgaris</i> ; <i>Vipera ammodytes</i> ; <i>Testudo hermanni boettgeri</i>
Ogalnicului valey	botanic	IV	150.0	Xerophile	<i>Stipa danubialis</i> ; <i>Pulsatilla grandis</i> ; <i>Paeonia daurica</i> ; <i>Testudo hermanni boettgeri</i>
Cracul Găioara	botanic	IV	5.0	Xerophile	<i>Ephedra distachya</i> ; <i>Ceterach officinarum</i> ; <i>Centaurea atropurpurea</i> ; <i>Stipa danubialis</i>



human pressures to other type of areas (buffer or sustainable development areas) [36].

Recently there was an attempt that the Iron Gates Natural Park to be declared a UNESCO Biosphere Reservation. But while the legal and administrative documentation of this demarche was completed and included in Man and Biosphere meeting agenda that took place in Lima in 2016, the attempt failed.

### **3 Social and Economic Context within Iron Gates Natural Park**

The Iron Gates Region is rich in natural resources, especially mining resources such as coal or copper, but it also provides breath-taking landscapes, attracting thousands of visitors every year. Both Romania and the Republic of Serbia have declared the Danube River banks and their surroundings as natural protected areas. Before being declared natural protected areas, there were intense mining activities in the region on both sides of the Danube—large copper extraction facilities at Moldova Nouă (RO) and Majdanpek (SRB) along with smaller coal mines or limestone quarries scattered on both banks.

It is a well-known phenomenon that human settlements located inside or in the proximity of protected areas are usually less developed and with reduced opportunities and access to infrastructure [37]. During the deindustrialization period and the abandonment of the mining activities (since 1990), the IGNP inherited a community of ex-miners, the region being characterized by high unemployment rates and social imbalances. For a long period, conservation efforts and socio-economic development have been considered incompatible due to a limited understanding of the relation that might exist between them [38].

The analysed region was populated since early ages as both banks of the Danube River are rich in archaeological sites, dating since the Mesolithic period [39]. On the Romanian side there are 10 archaeological sites and various undiscovered sites that archaeologists are still looking for (Fig. 3). These sites are the proof that this section of the Danube helped human community to flourish since the dawn of history. However, none of these historical sites from IGNP are exploited from a touristic perspective, being neglected by authorities, and hidden from visitors. This type of attitude diminishes the opportunity for connected activities to be developed in the region.

Using input data provided by the National Statistics Institute we could shape an overall situation of the socio-economic and demographic aspects describing the conditions of the communities located within IGNP. Then, using GIS techniques we mapped the results to be more comprehensive and to better highlight how the presence of the Danube River is exploited or underexploited by these communities. If we look at the demographic evolution within the area, it is obvious that the communities are aging, based on the last 13 years data (Fig. 4). We desired to capture the

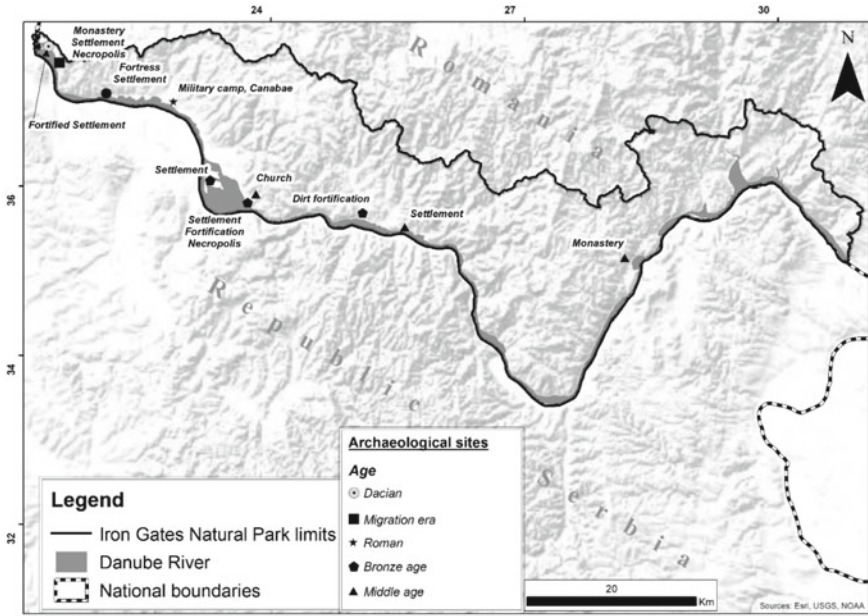


Fig. 3 Dispersion of archaeological sites discovered in the analysed region. Data source National Archaeological Repertoire – <http://ran.cimec.ro/>

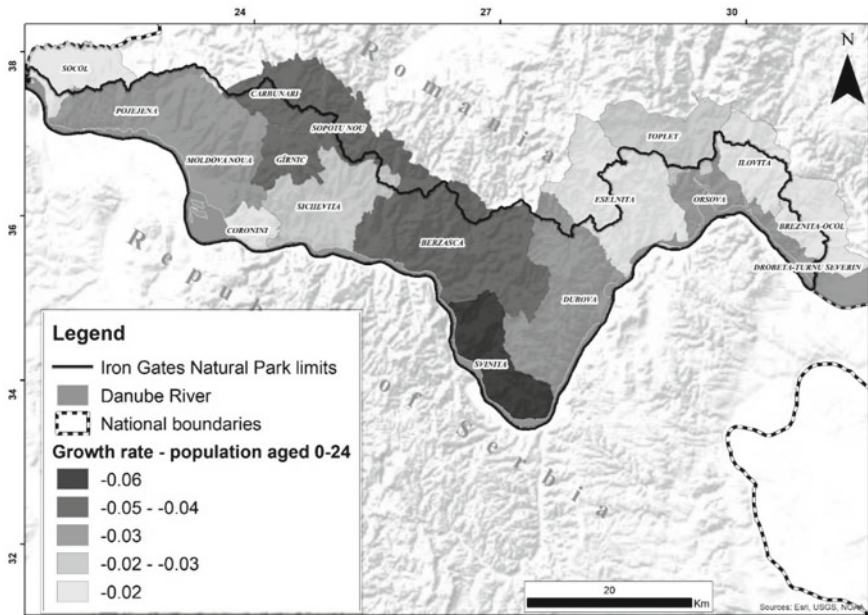
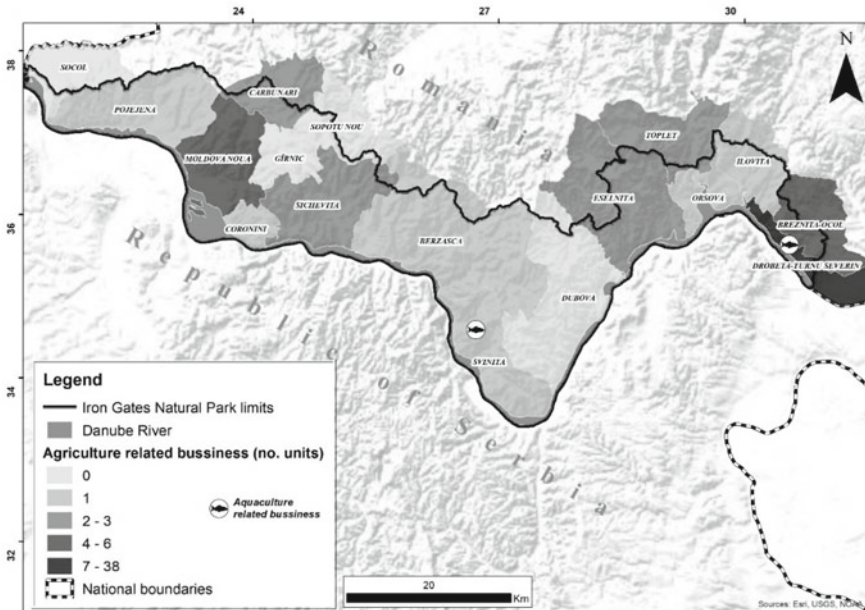


Fig. 4 Population growth rates on age clustered (Statistical data source from National Statistical Institute—time frame 2007–2019) [41]

demographic evolution in the region since 2007 when Romania officially became part of the European Union. It is a documented fact that from 2007, massive waves of Romanians left for Western European countries as they were seeking better wages and better living conditions [40]. The population growth rates based on age clusters shows how the trends regarding the youth and active population are negative.

This situation may be considered a ticking clock from a social perspective as in mid and long term the rural and small urban areas from the region could become deserted. Bijak, Kupiszewska [42] argue that without a combination policy aimed at increasing fertility and maintaining labour force within the aging communities, it will be almost impossible to face the socioeconomic challenges laying ahead. On the other hand, León-Ledesma and Piracha [43] argue that remittances sent by immigrants to their departed communities have a positive impact on productivity and employment, both directly and indirectly through its effect on investment. Thus, we cannot clearly establish whether the demographic trends within the Iron Gates communities is encouraging or not.

As the Danube River is the main feature of the IGNP, we assessed whether activities that could be endorsed by the presence of the river are represented here. Foremost, we looked at the aquaculture related businesses in the area and observed that, according to the National Commerce Register, in 2017 only two such businesses were officially registered in the area, while other agricultural related businesses were also poorly represented (Fig. 5). As this is a mountainous area, there are no proper



**Fig. 5** Agricultural related business in the region with emphasis of aquaculture and fishing activities (referenced year: 2017). *Source* National Register of Commerce ([www.onrc.ro](http://www.onrc.ro))

soils for intensive agriculture. Most of the fertile lands were placed in the Danube floodplain which was covered with water after the construction of the Iron Gates dam.

According to ERSOTAT database, the amount of products derived from fishing and aquaculture increased in the last 10 years in Romania along with the incomes generated by this type of activity. An interesting aspect though is that the overall price per ton has decreased in the same period, which may explain the increasing production from the last five years.

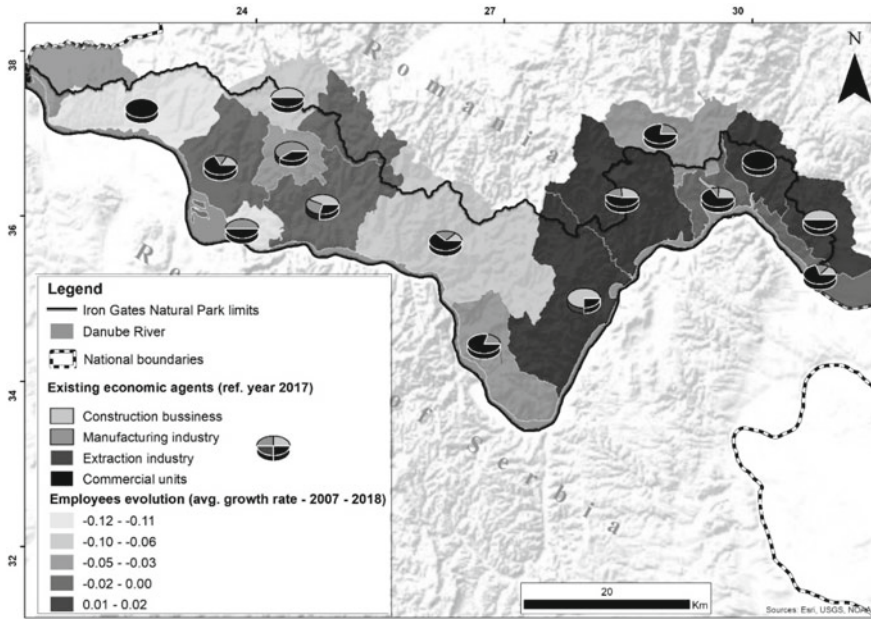
The aquaculture production statistics from EUROSTAT and the National Statistics Institute recorded in 2010, shows that the production registered in the Iron Gates Natural Park, was around 45% at national level. During the next years, when the national production has increased, the percentage dropped, and while the area is under natural conservation legislation, the production could not be increased. Adding that the prices have also fallen, fishing and aquaculture businesses were no longer considered profitable, and these practices remained more as family traditions.

As we have mentioned before, during the communist period copper and coal extraction industries were the main job providing activities in the Iron Gates region, thus we could say that the region's economic profile was mainly industrial. However, since the shift towards a market economy in 1990, the mining industry has decayed, leaving behind several brownfields and huge tailing dumps. The former industrial activities induced important landscape changes in the region, affecting the ecosystems but at the same time provided numerous jobs which have also attracted outsiders to settle here.

The mining activity from Moldova Nouă harmed terrestrial habitats while the generated industrial waste has contaminated the Danube's waters as well as the atmosphere [44] causing transborder tensions with the neighbouring country, Serbia [45]. The gabbro extraction from Iuți triggered geomorphological processes, while marble exploitation from Văranic fragmented the habitats, especially Herman's tortoise habitat. These activities left a perceptive heritage within the IGNP, scattered throughout the region, raising challenges for conservationist or local policy makers.

According to the official data provided by the National Commerce Register, in 2017 most of the businesses taking place in the IGNP were related to the commercial and construction sectors and in few communities, businesses related to manufacturing industry were still taking place, while the extraction industry is poorly represented as a business sector, only in Drobeta Turnu Severin and Moldova Nouă. These businesses unfolded in the context of a negative growth rate of employees for most communities (Fig. 6). Thus, besides the demographic aging, the local human settlements are facing high unemployment rates, meaning that even the remaining active population is having a hard time finding a job in the region.

As the Danube is a navigable river, we assessed whether there are any businesses related to water transportation activities. The results showed that the main urban areas (Drobeta-Turnu Severin, Orșova, and Moldova Nouă) and three other rural settlement are home of such businesses. However, the non-water transportation businesses are better represented within the region, projecting the impression that the presence of

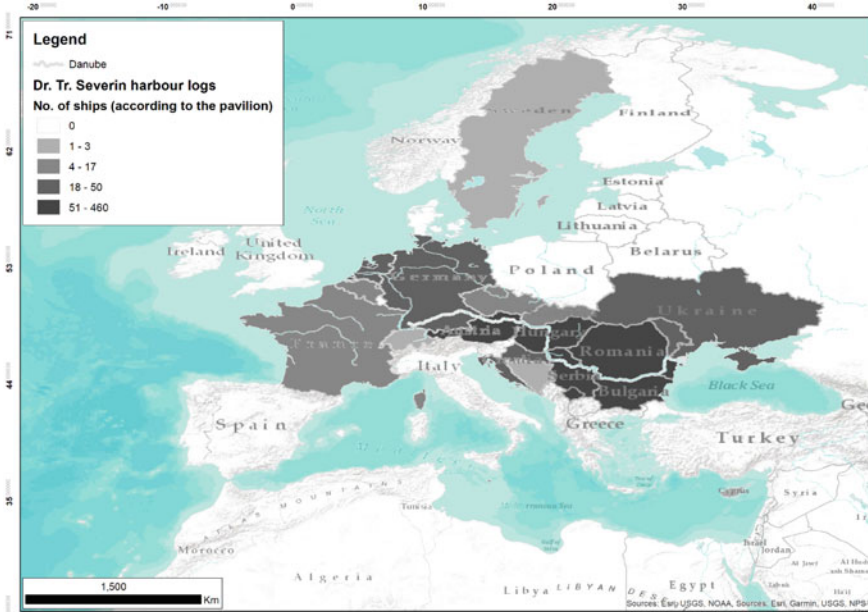


**Fig. 6** Situation of the economic agents and employee’s evolution. *Source of the employment data* National Institute of Statistics—2007–2019; *economic data:* National Register of Commerce—2017

the Danube in the proximity is again underappreciated. The statistics provided by the National Institute of Statistics regarding the charging and discharging of goods through internal water ports have shown that since 2008 a slight increase in both has been recorded at national level.

Since the Danube River is the only internal navigable waterway of Romania, these goods were charged and discharged in the harbours placed along the river. This increase of goods transportation could represent an opportunity for the Iron Gates communities to further develop. The official statistics made available by the Iron Gates Dam water locks service indicated that 15,422 boats passed through the Romanian locks in 2010 and 16,097 in 2011.

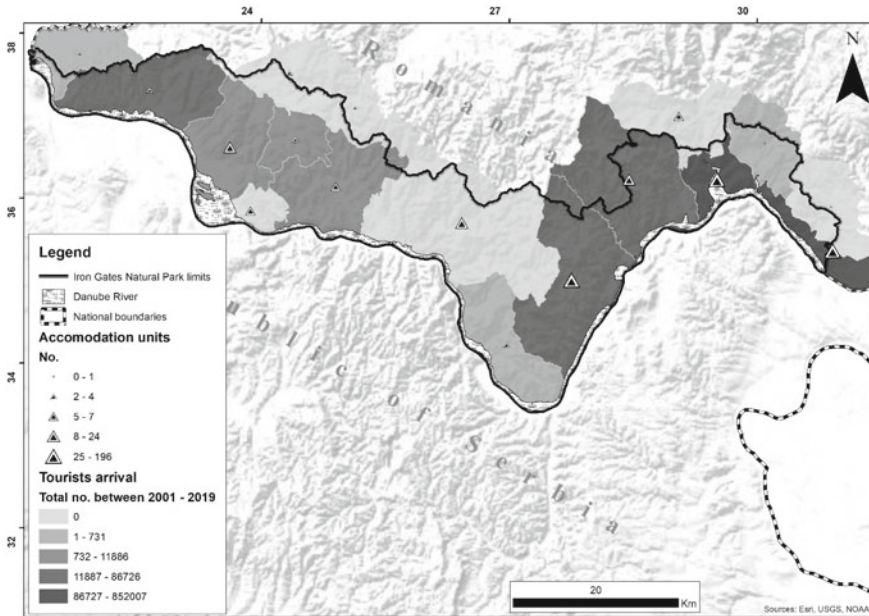
The 2011 harbour logs from Drobeta-Turnu Severin, the largest harbour in the Iron Gates region, indicate that ships from 20 countries, excluding Romania, have passed through the Iron Gates region (Fig. 7). The fact that the Danube is a vector for international trading is the major asset of this region. Even so, the communities from Iron Gates region cannot benefit from this context as no major route passes through this area and on-road heavy transportation is hardly supported by the existing roads. At the same time, no major economic activities producing enough goods to be exported through the nearby ports are taking place in the area. Thus, from this point of view, the presence of the Danube as a mean of international trading is hardly to make a positive difference for local communities.



**Fig. 7** The traffic intensity in the Iron Gates region based on the harbour logs from Drobeta–Turnu—Severin port—reference year 2011. *Source* Dr. Tr. Severin Harbour logs

There is however a business sector that seems to be better represented in the analysed area and that is the hospitality industry. The local communities having direct access to the Danube River have recorded a high number of tourist arrivals between 2001 and 2019 and they also recorded an increase of accommodation units according to the official economic statistics from 2017 provided by the National Institute of Statistics (Fig. 8). However, a field assessment made in 2013 aiming in counting the actual number of accommodation units in the region revealed a much higher number than the ones recorded in the official books, meaning that some of these units are practicing fraud through tax evasion. Unfortunately, tax evasion practices keep money from returning in local economies and goes hand in hand with black labour and in such a context the healthy socio-economic development of the Iron Gates communities is stagnating or even jeopardized.

The touristic activities in the Iron Gates Natural Park are not subject to common strategies or planning, thus we cannot talk about the practice of mass tourism. Also, the arriving tourist are focusing more on fishing activities, spending most of their time at their accommodations, neglecting the other natural landmarks which are placed within the mountainous region and further away from the Danube’s water. The lack of international touristic ports in the region are preventing the influx of foreign tourists, thus this is a shortcoming when it comes to economic development. Local policy makers and stakeholders must focus in creating opportunities for the development of coherent touristic practices, thus focusing on developing the public



**Fig. 8** Situation of touristic activities in the region. *Tourist arrival data source* National Institute of Statistics—2001–2019; Hospitality industry; National Register of Commerce—2017

and private services as well as the transportation infrastructure if they try to switch their economic profile towards profitable sustainable tourism as private investment are cashing out the profits in different areas than the ones they invested [46].

Since the official establishment of IGNP, the expansion of the built-up areas has started, rising the pressure over the riparian ecosystem as most of the new building were constructed adjacent to the Danube River or even in the watercourse as the shores were filled with soil and rocks to create proper grounds for constructions. This building practices led to an increase of the eutrophication process in the Danube's waters [47, 48] as few settlements have a centralized sewage system. Nevertheless, this type of pressure is jeopardizing the wetlands conservations goals as the nutrient pollution affects the population of amphibians [49, 50].

#### 4 Conservation Measures in The Iron Gates Natural Park

Within this section, we focused our attention on the content included in two official documents based on which the Iron Gates Natural Park is managed: Management Plan and Park Regulation. We identified and analysed the general specific objectives, classifying them into three main categories according to their relationship with the

Danube River: (1) *directly addressing the Danube*, (2) *indirect relation with the Danube* and (3) *without any relation with the Danube*.

We have also realized a cross-check analysis for three additional classes regarding the measures in the documents, delineating: (i) *measures for conservation*, (ii) *measures for social aspects* and (iii) *measures for economy*. In the first stage we analysed the Integrated management plan of the Iron Gates Natural Park [28], as the official document establishing the general framework for activities in the park. The plan established 6 general objectives and 31 specific objectives (Table 2).

The management plan includes 133 measures and activities associated with the general and specific objectives presented above. A high number of these measures are considered active conservation measures for habitats and species within the protected area, while others address the conservation of cultural and natural patrimony, traditions and social-economic development of local communities, tourism, management etc. Only 4 measures are related directly to the Danube River (3%) and 18 measures are indirectly related to the Danube River (13.5%). The reduced number of measures directly involving the Danube can also be explained by the general character of most measures, requiring in-depth analysis of the description for identifying the relevant ones. Such measures include mapping and monitoring Danube habitats or monitoring the physical and chemical parameters of the Danube's water, regulating human activities with environmental impact on fish and amphibians.

From the measures found in the management plan, 28 targeted conservation measures, 79 are focused towards social, administrative and management activities, while only 26 are economic measures. The high number of measures in the social and administrative category can be explained by the typology of the protected area favouring the protection of cultural landscapes in relation to the social-economic development of local communities.

Analysing the provisions of the Regulation of the Iron Gates Natural Park [51] we identified and evaluated regulations enforced on human activities from the protected area. A series of activities along the Danube River are clearly nominated, such as the restrictions imposed on fisheries according to the zonation of the protected area. Since fishing is a traditional activity, it is encouraged in certain areas but only if it is practiced using traditional methods and techniques.

There are also regulations for species of wildlife flora and fauna status, especially focused on the bird species and their nesting areas within the wetlands situated along the Danube River. Complementary, the Regulation established a series of measures regarding other forms of human exploitation of natural resources (such as forestry, use of pastures, constructions, research, tourism) in all cases imposing traditional uses and promoting a reduced impact on the environment (including the Danube River). We have observed that the Regulation lacks measures of strengthening the cooperation between the administration and public authorities, general public, economic agents, NGO or other stakeholders, which would have increased the conservation potential in the region [52].

A special look should be given to human activities classified as threats to conservation activities in the Iron Gates Natural Park. Previous studies, such as the one conducted by Cucu, Niculae [13] have identified activities representing a very high



**Table 2** General and specific objectives in the Iron Gates Natural Park

No.	General objectives	Specific objectives
1.	Conservation of natural patrimony	a) Improving the knowledge of species and habitats of community interest by realizing inventories, mapping, and evaluation studies b) Monitoring biodiversity in the area c) Conservation of integral protection areas in the natural park d) Maintaining and promoting a favourable conservation status for species and habitats of conservation interest e) Regulating activities and projects which can have a negative impact upon the protected area and elements of conservation interest
2.	Sustainable use of natural and cultural resources	a) Maintaining traditional uses of lands and natural resources b) Maintaining and promoting traditions and local activities
3.	Development of sustainable tourism	a) Development of sustainable tourism b) Development and rehabilitation of visiting infrastructures c) Diversification of touristic services d) Management of visitors and impact evaluation of tourism
4.	Increasing the awareness and education of interested parties	a) Increasing the information level of the public for the values of the natural protected area and the activity of administration b) Increasing the awareness of the public for the need for conservation c) Education of public for the values of protected area and an adequate environmental behaviour
5.	Efficient management of natural protected areas for achieving the targets	a) Ensuring financial, material, and human resources for an efficient management of natural protected area b) Involving the Scientific Council in the decision-making process c) Taking management decision based on consultations with local communities and other stakeholders d) Involving local communities and other stakeholders in the management process e) Involving volunteers in the management process f) Ensuring financial resources for the efficient management process g) Supplementing own financial resources for the implementation of objectives h) Elaborating strategic and planning documents for the implementation process i) Monitoring the implementation of the management plan and evaluating the efficiency of implemented measures j) Ensuring an adaptative management of the natural protected area k) Revision of the management plan l) Strengthening the institutional capacity of the park administration m) Improving the performance of park administration
6.	Improving the image of the Park Administration	a) Improving the communication level of the administration b) Increasing the acceptance of the protected area and management measures c) Increasing the promotion of the natural protected area d) Improving the image of the Park Administration and RNP-Romsilva (Romanian National Forestry Authority)

threat potential to conservation (human intrusion and disturbances, industrial activities, pollution), activities with high threat potential (agriculture, aquaculture, the use of biological resources, invasive species), medium threat potential (residential and commercial development, transport and services corridors, changes to natural

systems) and activities with a low threat potential (geological events, climate changes or severe weather).

In addition to the institutions that are directly involved in the management of a protected area, the public represents an important actor in disseminating and understanding conservation measures, as well as for trying to minimize anthropogenic pressures. On the other hand, the assessment of the level of knowledge and perception of the local communities regarding the benefits of the existence of a protected area is of a special significance in the correct application of the conservation measures. From this perspective, there are various studies assessing public perception on water bodies and their benefits [53], but in our analysis we focused more on the conservation activities than the Danube River itself. Hence, in 2020, we carried out a sociological survey for a project financed through structural funds that envisages concrete conservation measures of biodiversity and landscape in Iron Gates Natural Park. We applied questionnaires both online and, in the field, and obtained 1040 answers. The survey aim was to determine the level of awareness of local communities and visitors on the need to protect the habitats of species in the area of the Iron Gates Natural Park (Fig. 9), and in this chapter, we further illustrate the public perception regarding the role of a protected area from the perspective of respondents.

The respondents of our survey were mainly inhabitants of the communities from the Iron Gates Natural Park, as follows: Orșova (31.34%), Eșelnița and Șvinița (16%) or Drobeta–Turnu Severin (6.25%). Even citizens of the country’s capital, Bucharest were included in our survey, either as tourist in the area or tourist that have prior visited the area answering the on-line version of the questionnaire (5%). We have also received answers from people residing outside the Iron Gates Natural Park (3%). The survey was applied to 617 men and 423 women, aged as follows: 14–18 years (5%), 19–35 years (12%), 36–45 years (24%), 46–65 years (40%), over 65 years (19%). Regarding their level of education, it is noted that over 36% of respondents

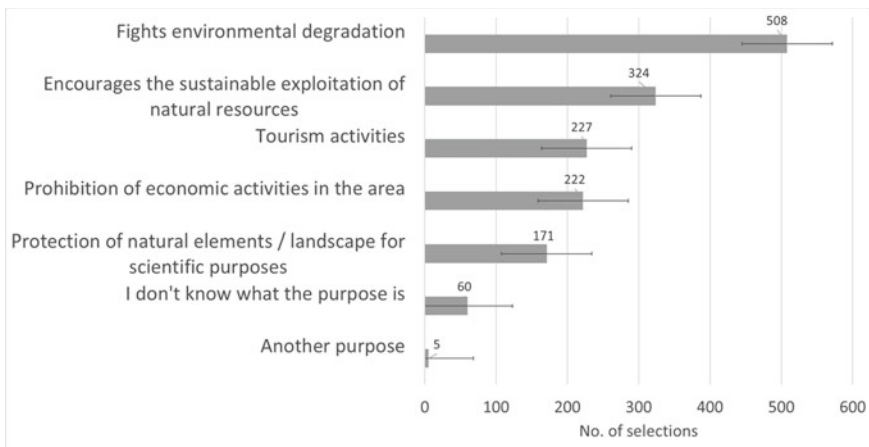


Fig. 9 The role of a protected area as perceived by the respondents

completed university or postgraduate studies (380 people) and over 35% high school studies, respectively almost 14% vocational studies.

The professional profile of the respondents covers a wide variety of occupations, which includes the following: students, teachers, administrators or commercial workers, employees involved in the hospitality industry, engineers, civil servants, accountants and economists, cashiers, mechanics, foresters, fishermen, police officers, entrepreneurs, self-employed or other categories of workers, as well as unemployed or retired people. This high diversity of institutions and occupations is well suited for the purpose of the study, as the management of protected areas requires the involvement of a high number of stakeholders while non-participative protected area management can often lead to conflicts [14].

The assessment regarding the knowledge level of the term “*natural protected natural area*” revealed that a majority of over 91% answered affirmatively. The main roles of a protected area perceived by the interviewees were the following: *protection of natural elements and landscape to combat environmental degradation* (approximately 49%), *encouraging sustainable exploitation of natural resources* (31%) followed by *tourism activities* (22%) and the *prohibition of economic activities in the wetlands area* (21%) (Fig. 9).

Some of the most well-known areas from Iron Gates Natural Park by the public, and which are also perceived as needing protection due to their high value are: Cazanele Mari and Cazanele Mici (81.44%), Danube River (49.42%), Moldova Nouă Holm (45%), the historical and cultural landscapes (32.56%), Calinovat Holm (27.11%), Almăjului-Locvei Mountains (26.15%), the Danube’s wetlands (22.11%) and meadows (18.46%).

The main human activities in the area of the Iron Gates Natural Park indicated by the respondents to have a significant impact on biodiversity degradation are: the uncontrolled waste, grasslands burning, the wood and subsoil exploration, the construction of pensions and hotels, hunting, the road traffic and respectively, the Danube traffic. Other activities such as: agricultural activities, small boat rides, cruise ships, tourism, cultural artistic festivals and fairs or sport fishing are perceived as adding some pressure on the natural features but not as much as the ones mentioned before. The results of our survey are drawing attention to the need to further promote protected areas in general, and conservation measures for wetland habitats and specific protected biodiversity species in particular.

## 5 Conclusion

From the above analysis one can clearly observe that the establishment of the protected area changed the conditions in the Iron Gates region. In consistence with other parts where protected areas appeared, it sets up new regulations and restrictions in the region [54]. This can be perceived as both beneficial due to increased tourism and money from conservation activities but also negative due to restrictions imposed on economic agents [14].

Throughout this chapter, we aimed in providing a full scan of the socio-economic situation of the communities within the Iron Gates Natural Park and to determine if the Danube River, or in this case the Iron Gates reservoir can be considered an asset, an inconvenience or a forbidden fruit to be used for the future development of these communities. Given the geographic and historic context of the analysed area we considered that the vicinity of the Danube River could enhance the emergence of local businesses related to water transportation, resources' exploitation, leisure activities or tourism, while the natural park would act like a shock absorber of the potential challenges the ecosystems could be facing (Fig. 10).

The wide variety of problems in the region, from the reduced funding of conservation activities characterizing most Romanian protected areas [55], reduced capacity of the administration of protected areas [56], the decline of local population and loss of natural and cultural resources [5] or the poor collaboration between local stakeholders and public authorities [20], all raise serious challenges to the conservation of the area. The situation of the region as an EU-border with Serbia could also reduce the potential of collaboration, while they are not obliged to abide EU legislation [1]. Also, the lack of financial support from the Government to land owners within natural protected area adds more fuel on the conflict between conservationists and local communities [57, 58].

Through this study we showed that despite the potential provided by the Danube River in endorsing wealth and prosperity to its nearby human communities, the socio-economic situation and policy making at local and national level have not raised towards achieving this potential. As we desired through our study objectives,

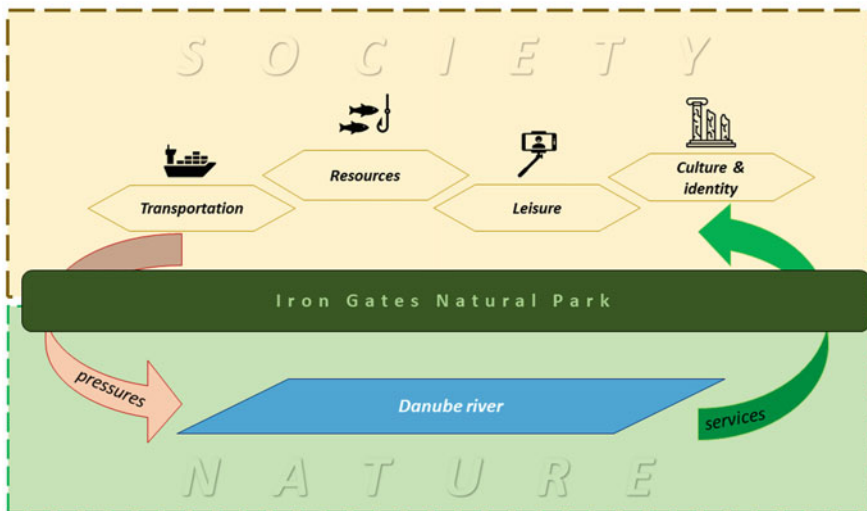


Fig. 10 The relation between the Danube and human activities in the context of nature conservation

we highlighted that it is quite challenging to keep the balance between natural conservation and economic development in a way that provides a win–win scenario for the local communities and conservationists along the Danube River.

The concluding remarks cannot really state that in the case of the Iron Gates region, the poor communities have remained poor, if not even became poorer due to the natural conservation policies enforced here but it is quite clear that the legal status of the area as well as the presence of the Danube River is highly underused and underappreciated by local policy makers. Even if the administrative documents ruling the natural protected area are tackling the socio-economic issues, aiming in endorsing, and boosting the local economies, the applicability of those goals is tough without the support of local policy makers and without a clear support, both financially and logistically from the central authorities.

## 6 Recommendation

Future concerns of the relevant stakeholders and policy makers for the Iron Gates regions should aim in providing more transparent means of communication towards the locals as the local public is having hazy thoughts about the conservation status of the region in regard with the permissions and restrictions. Our results have highlighted that the local inhabitants are emphasizing the restrictions induced by conservation status as important aspects of the precarious socio-economic situation in the area. Thus, Iron Gates Natural Park Administration should put more effort in spotlighting the opportunities raised by the conservation status, providing the proper support and information which may help local entrepreneurs to shift from a business-as-usual approach, which is confronted with various restrictions, to a more sustainable economic approach.

Nevertheless, the complexity of the legal status the Iron Gates Natural Park is troublesome, causing confusion among local communities. The Iron Gates Natural Park is overlapped by three Natura 2000 sites and several other natural reservations, each one of them being defined by particular legal frameworks. Thus, the Romanian central authorities should settle this legislative glitch and project a more coherent framework. Also, regional or national strategies could be oriented on using the presence of Danube River as an asset for socio-economic development within the Iron Gates area. Therefore, plans or programs focused on investments regarding transport infrastructures (both on land and by river) should be considered. This could boost tourism in the region, especially international tourism.

Finally, a more efficient strategy of providing financial compensations for landowners having properties within the integral protection zones or sustainable management zones should be enforced. Otherwise, the level of dissatisfaction among local communities towards the conservation status of the region will continue rising, making the management activities more demanding and the protected species and habitats more vulnerable to degradation.

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# Citizen Science for the Danube River—Knowledge Transfer, Challenges and Perspectives



Elfrida M. Cârstea, Cristina L. Popa, and Simona I. Donțu

**Abstract** Human changes on the Danube River have transformed it into a heavily engineered river, severely threatening its ecological status. The status and management strategies vary significantly between regions of the Danube River Basin. Citizen science approach can be the bridge in harmonizing water management practices across the Danube Basin and in recording large water quality datasets. This chapter reviews citizen science actions in the Lower Danube Basin and the available tools for citizens in this region. The study has shown that the activities with public involvement in this region have been supported by non-governmental organizations (NGOs) or independent citizen science platforms. Citizen science activities in this region are scarce and infrequent, and most of them without supervision from professional researchers or involvement from local authorities. Limited access to funds, lack of trust between participating groups and the restricted power of communities to voice concerns have been found as factors influencing citizen science activities. The scientists may be the missing link between policy makers, water managers and citizens, while providing the optimal tools and knowledge to all sectors. Professional scientists can collaborate with NGOs and build upon their extensive expertise and success in engaging with citizens and authorities.

**Keywords** Citizen science · Public involvement · River restoration · Pollution · Lower Danube

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## 1 Introduction

Before science became a paid profession, scientific research was generally undertaken by citizens, outside their main profession [1, 2]. Many countries have a long history of citizens doing science. For example, in Japan the timing of cherry blossom has been recorded for 1,200 years and phenology data for other plants and animal species have been registered for centuries [3]. In the United Kingdom, in 1789, Robert Marsham, a landowner, published observations over decades of plants and animals on his estate. In the USA, in 1851, Henry David Thoreau began his observations of animal life cycles, and 20 years later, the US National Weather Service started making forecasts using both professional and amateur generated data [4]. During the past 150 years, the role of non-professionals in science has been marginalized, which limited their activity around naturalist clubs. However, in recent years, scientists recognized the value of citizens in undertaking studies at scales that cannot be achieved by professionals alone [1]. Thus, citizens science activities have been increasingly included in environmental programs relating to biodiversity [5], freshwater quality [6–8], marine science [9, 10], conservation [11, 12], land-cover/land-use [13], climate adaptation [14], air pollution [15, 16], ecosystem services [17, 18] or flood control [19]. Nevertheless, limited citizen science programs have focused on the environmental quality of international rivers, such as the Danube River, even though they are an important resource for water supply, biodiversity, agriculture, industry, fishing, navigation, tourism and power generation [20].

The Danube River Basin has suffered significant changes in the chemical, physical and biological status due to point and diffuse pollution and to changes in land use and catchment water cycle [21]. Major sources of pollution have been identified as untreated wastewater, agricultural emissions and industrial releases. Eutrophication is evident at several sites along the Danube River, but it is more pronounced in the Lower basin, especially in the tributaries [21]. Monitoring the Danube River quality is critical because its ecological status is linked to human wellbeing and sustainable development. Organizational and legal frameworks, such as the International Commission for the Protection of the Danube River (ICPDR), and the European Union Water Framework and Flood Directives encourage and support public participation in decision making, but little is known about the level of public awareness and education regarding the Danube River Basin challenges. Effective water quality management of the Danube River relies on long-term, high quality data, at both regional and international scales. Nevertheless, databases and monitoring initiatives undertaken by professional researchers or environmental agencies are often incomplete [22]. Citizens can fill this information void and get involved in actions such as collecting water quality data, observing the environmental diversity or providing a perspective over the socio-economic pulse of the area. Citizen scientists may greatly contribute with large volumes of temporal and spatial data. They can analyse data, identify patterns and can provide support in detecting environmental changes or in monitoring the effectiveness of environmental management practices [12, 17, 23]. Despite these advantages, citizens have had minor involvement in environmental

monitoring and management within the Danube River Basin, and the potential of this valuable resource has been largely ignored in the Lower Danube Basin. Moreover, according to Njue et al. [18], no citizen science projects on water quality and hydrology monitoring have been undertaken in East Europe. This leaves a large research gap that must be exploited in order to increase public education, create long-term, high frequency databases and allow citizens to voice their concerns and engage in decision making. In addition, there are no systematic reviews of citizen science programs on water quality in the Lower Danube River region.

This chapter examines the activities with citizen involvement in the region and the best practices that can be implemented for environmental monitoring. The educational, social and economic barriers in citizen science projects success and impact are discussed. This chapter also explores the dynamics between the involved parties (scientists, citizens, water managers and policy-makers). We also identify the potential tools that can be used to optimize public participation programs.

## 2 Citizen Science—Theory and Classifications

Citizen science is defined as “the engagement of non-professionals in scientific investigations – asking questions, collecting data, or interpreting results” [1]. Several terms occur in literature depending on the meaning of citizen science at individual and institutional levels: public participation in research projects, participatory science, participatory action research, crowd-sourcing data, mobile crowd sensing, crowd-based activities, volunteer-based monitoring, community science, community research, community-based monitoring, community participation, citizen surveillance, civic scientists, citizen observatories, etc.

The core aim of these programs is to “bring science to citizens and citizens to science” [24]. Citizen science programs lead to the democratization of the environment and science in general, by sharing information and expertise between professional researchers and the public. Thus, these programs increase scientific literacy, public involvement in local issues and social capital [22]. The connection and interaction between citizens and policy makers may help them explore their different world views and perceptions [25]. Improved citizen education, open access to scientific data and methods, and collaboration between citizens and policy makers also help communities to better understand environmental concepts, report pollution and push for greater environmental justice [26].

Citizen science actions may be initiated by professional scientists in collaboration with non-professionals, or by non-professionals alone. The citizen science programs may extract data from both structured and unstructured programs. Structured programs include specific monitoring and reporting tools used on defined parameters, locations and times, while unstructured programs involve information collected from social media, supplied unwillingly by participants [27]. The level of citizen participation varies depending on the nature of the project, and may be classified as, contractual, contributory, collaborative, co-created and autonomous

[1, 18, 24]. The contributory model is the most common form of participation [18]. Programs involving citizens can be further classified depending on the level of public participation, based on the typology provided by Pretty [28] (Table 1). The first three categories can be seen as types of non-participation and are the least likely to produce long lasting positive impact [28]. However, in the Lower Danube Basin, participation by consultation is the highest level of citizen involvement reached by most environmental research projects and agencies. According to Teodosiu et al. [29], there is institutional support to advance beyond this level and a general willingness to improve participation, but barriers such as lack of public education in water issues, limited public representation and poor communication between parties block citizen engagement.

### **3 Citizen Involvement in Environmental Programs on the Danube River**

In general, the improvement of ecosystem quality through citizen science campaigns has mostly been inferred by protective actions, such as litter clean-up, or by monitoring studies (in-situ measurements and sample collection) [17, 22]. Citizen science actions on the Danube River Basin make no exception to this rule; however, they are scarce and largely infrequent (Table 2). Most of the actions were undertaken in the Upper Danube Basin and the least in the Middle and Lower parts. The programs from the Lower Danube Basin focused only on clean-up activities (Table 2). Two programs included environmental data from the Lower Danube Basin, as part of the worldwide network of ecosystems (Freshwater Watch and EarthEcho, Table 2).

#### **3.1 Short-Term Programs**

##### **3.1.1 Litter Clean-Up**

Two major litter clean-up initiatives have been launched in the Lower Danube River (Table 2). The campaigns “Let’s do it, Danube” and “Clean Danube” were developed by non-governmental organizations (NGOs) and funded through private companies’ donations. “Let’s do it, Danube!” campaign was part of “Let’s do it, Romania!” program and aimed to provide ecological education in schools and to involve volunteers in cleaning-up the river banks [31]. In 2014, over 9,000 schoolchildren and parents participated in the “Let’s do it, Danube” campaign, reaching over 20,000 volunteers by 2015. During the COVID-19 pandemic, the NGO has encouraged citizens to report illegal waste dumping using a smartphone application, TrashOut.

“Clean Danube” program aimed to educate and involve volunteers to clean the Danube River of plastics [32], from the rural areas in Mehedinti county, Romania. In

**Table 1** Forms of public participation to science (adapted from [1, 18, 24, 28])

Form of participation	Information distribution	Level of involvement	Decision making	Feedback	Nature of the CS* project
Manipulative participation	Partial (people's representative)	None	No	No	-
Passive participation	Partial (information belongs only to external professionals; decisions are communicated)	Low	No	No	
Participation by consultation	Yes	Low-average (people are consulted; external agents define both problems and solutions)	No	No	-
Participation for material benefits	Yes	Average (people participate by providing resources such as labour, in return for material incentives)	Partial (people often have no stake in deciding about the processes and in extending activities when incentives end)	N/A	Contractual
Functional participation	Yes	Average-high (people participate by forming groups to meet predetermined objectives related to the project)	Partial (often major decisions have already been made by external agents)	Yes	Contributory

(continued)

**Table 1** (continued)

Form of participation	Information distribution	Level of involvement	Decision making	Feedback	Nature of the CS* project
Interactive participation	Yes	High (people participate in joint analysis, which leads to action plans and the formation of new local institutions or the strengthening of existing ones)	Yes (these groups take control over local decisions, and so people have a stake in maintaining structures or practices)	Yes	Collaborative Co-created
Self-mobilization	Yes (independent initiation)	High	Yes (actions can be supported by governments and NGOs; may challenge existing distributions of wealth and power)	Yes	Co-created Autonomous

\* CS—citizen science

**Table 2** Programs involving citizens in the Danube Basin (the programs that include the Lower Danube Basin are marked with italics)

Program	Aim	Country	Duration	Sampling points	Parameters	Training	Reference
Plastic Pirates	Monitoring	Germany	9 months	Multiple rivers, including Danube	River description, flow, litter on the banks and floating, microplastics	Booklet with instructions	[30]
<i>Let's do it, Danube!</i>	Clean-up	Romania	Ongoing from 2014	Danube	Litter quantity	Educational videos	[31]
<i>Clean Danube</i>	Clean-up	Romania	Ongoing from 2019	Danube	Litter quantity	Workshops, educational videos	[32]
<i>Freshwater Watch</i>	Monitoring	Worldwide	Ongoing	Major rivers across Europe including the Danube	Surroundings, vegetation, water uses, aquatic life, water flow and level estimation, nitrate, phosphate, turbidity, colour	Training booklet, sampling kit	[33]
<i>EarthEcho</i>	Monitoring	Worldwide	Ongoing since 2007	Multiple streams including the Danube River basin	pH, turbidity, oxygen	Booklet with instructions	[34]

(continued)

Table 2 (continued)

Program	Aim	Country	Duration	Sampling points	Parameters	Training	Reference
HydroCrowd	Spatial monitoring	Germany	1 day	280 streams, including the Danube basin	Sample collection GPS coordinates, stream width, colour, turbidity, precipitation prior to sampling, air temperature	Instruction sheet, training lectures	[35]
Drinkable Rivers	Monitoring	Hungary	-	Multiple rivers including the Danube	pH, temperature, chloride-, nitrate-, nitrite- and phosphate content, E. coli, electric conductivity and clarity		[36]
Scent	Spatial and temporal monitoring	Romania	2 years	The Danube Delta	Land-cover/land-use, images, water level, flow, soil moisture, air temperature	Training sessions, toolbox	[37]



addition, the organizers developed a pollution map where photographs were uploaded by citizens to report plastic pollution on the Danube banks. According to the platform, plastic pollution was reported in at least 15 locations, over a 50 km stretch. However, the “Clean Danube” program has been launched at the beginning of 2020 and has yet to report its impact considering the COVID-19 lockdown period.

So far, professional scientists have initiated no citizen science programs to study litter types and concentrations along the Lower Danube River banks. Research organizations could join the programs initiated by NGOs on litter clean-up and engage a group of volunteers, during the campaign, in collecting data. Building on the extensive experience of NGOs and their success in reaching citizens, researchers can obtain yearly observations on litter types, concentration and distribution, land use, river banks conditions etc. Researchers can also build on the knowledge of past public participation programs. In a citizen science project in Germany, Kiessling et al. [30] found that the Danube catchment had some of the most polluted riverbanks from the surveyed rivers. In most cases, they found a link between population density and the quantity of litter. On the Lower Danube River, researchers could target areas near cities with population over 25,000 inhabitants, which would allow access to a larger pool of volunteers and a comparison between cities regarding litter disposal on the river banks.

### 3.1.2 Data and Sample Collection

No citizen science programs were developed specifically for the Lower Danube River. The campaigns undertaken by citizens in the Lower Danube Basin were supported by independent platforms, as part of a worldwide network of aquatic ecosystems (Table 2). However, the involvement of regional professional scientists in such actions is not clear.

Independent citizen science platforms, such as the FreshWater Watch or EarthEco, provide significant support as a repository of data. They also supply ready-made measurement kits and instructions. FreshWater Watch [33] database contains over 25,500 records on water quality, including nitrate, phosphate, turbidity and visual observations. Despite its impact, this citizen science platform contains only one project on water quality measurements on the Danube River. The measurements were made by two volunteers kayaking the continent from London to the Black Sea, in 2018 [38]. The volunteers recorded observations in 35 locations along the Danube River, almost every 100 km. In the Lower part of the Danube River, the volunteers measured the water quality in seven locations until Giurgiu, during September 2018. They recorded that the river was surrounded by forest, it had evidence of aquatic life (fish, plants and birds, with no particular species being mentioned), the water level was low, nitrate values between 0.2–1 mg/L, phosphate values between 0.02–0.05 mg/L, turbidity below the threshold value (14 NTU), water colour green. They also found floating algae at the entrance of the Danube River in Romania, and foam on the water surface near Vidin. The volunteers also included photographs to support

their observations. The platform provided automatic feedback on the measurements, with rough interpretation of the data and the ecological status of the river.

The EarthEcho Foundation [34] aims to recruit and engage citizens in protecting water resources. They provide basic and student testing kits for measuring pH, turbidity and oxygen and display the color-coded data on a map. Since 2007, nearly 1,700,000 participants provided data on over 79,000 water bodies. The EarthEcho platform shows that measurements were also made in the Lower Danube River Basin. However, the measurements are scarce and infrequent between years, not only in the lower part of the basin but over its full extent. The monitoring program may grow over the years with sufficient promotion through schools and local authorities.

The only citizen science project developed and coordinated by professional scientists was undertaken in the Danube Delta, the Scent Project [37]. Within this project, five campaigns were organized, between August 2018 and May 2019, to collect environmental data in flood prone regions, in the Danube Delta (Table 2). A number of 193 volunteers recorded over 18,000 data (for example, water level, surface flow velocity, soil moisture, air temperature) and submitted images of land cover/land use via smartphone applications (Scent Explore and Scent Measure). The success of the initiation was due to the organizing research structures dedicated to the study of the Danube Delta. However, no organization committed to develop citizen science activities in the Lower Danube Basin. Partnerships between research organizations could be developed to involve citizens in monitoring further regions of the Danube Basin. The citizens can use the same Scent smartphone applications or they can be provided with Freshwater Watch and EarthEcho kits to measure environmental parameters. In particular, monitoring campaigns can be included into school projects or assigned to undergraduate students in order to raise awareness and education in their local communities.

### **3.2 Long-Term Programs**

Only one long-term citizen science program was undertaken in the Lower Danube Basin. Participants counted water birds at several sites along major rivers, including the Danube River [39]. However, within a period of over 30 years (1967–2009), most of the Danube River sites were visited for less than 5 years, and the data are inconsistent between years. Poor temporal resolution is a significant challenge in long-term programs [40], but it can be overcome if national environmental agencies and local organizations provide consistent resources through-out the program. World-wide, long-term monitoring programs (>15 years), involving citizen scientists proved to be successful [41, 42]. However, these programs were supported and mandated by national and regional governments and thus the citizens had a clear purpose of their contribution towards decision making. A common effort from countries within the Lower Danube Basin could provide substantial funding for a long-term citizen science program, where each can maintain several monitoring stations across the basin and employ researchers to supervise local citizen science activities.

In addition, long-term monitoring programs may be developed with the help of local schools, as shown by Abbott et al. [42]. However, such programs require long-term commitment of the organizing institution and lasting collaboration with local organizations, such as schools or authorities. National and local official entities may be attracted to collaborate, later, in already established citizen science programs, which would reduce logistic costs and maintain the participants' motivation. These programs can expand over time to include more parameters that require training and additional equipment [41].

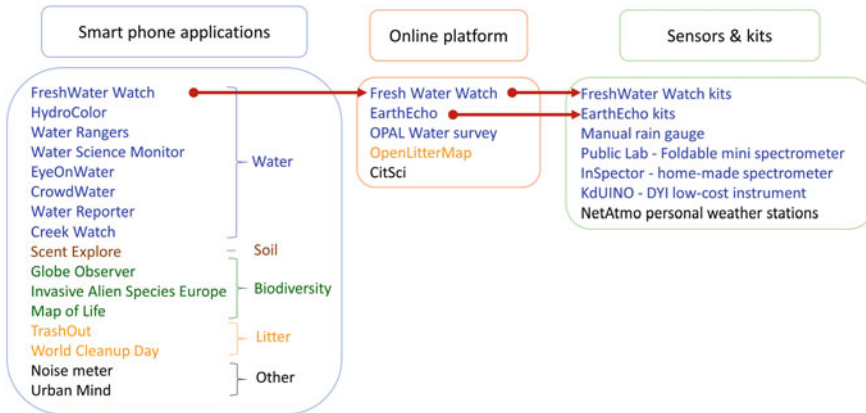
### ***3.3 Proposed Tools for Optimizing Program Implementation***

The rise of technology in the past decades has greatly enhanced the development of citizen science programs. Online media (smartphone applications, web platforms, social media) represent an effective means of engaging with volunteers, supervising activities, communicating results, and providing feedback and support [43]. Good communication leads to increased public understanding, participant objectivity, accountability, awareness and ownership of scientific projects and to stronger social ties. In addition, the right tools may attract particular groups, for example the interest of school children in citizen science activities may increase when smartphone applications are used [43]. Citizen science programs can be easily advertised and disseminated through social media, reaching more volunteers than ever before [44–47].

Online media are optimal for the citizens living in the Lower Danube Basin. Around 10 million persons, in Romania, use at least one social media platform [48], and most of them access social media from a smartphone [49]. The advantage of these approaches for advertising and collecting data is that they do not require any funds and help gather large pools of participants.

In addition to the above-mentioned approaches, smartphone applications can be used for data collection. These applications allow immediate access to data, provide a daily routine and can significantly increase the pool of participants. They can also facilitate feedback in real-time and connectivity to social media [50]. Figure 1 includes a list of smartphone applications (most of them are free) and tools for environmental monitoring, which can also be implemented in the Lower Danube Basin. However, these applications require basic knowledge of the English language, which may be a barrier for some volunteers. In addition, senior citizens may require more training in submitting data through smartphone applications or online platforms [51]. Despite potential language barriers, some smartphone applications (such as, TrashOut or iNaturalist) were frequently used by citizens from the Lower Danube Basin to report data.

FreshWater Watch and EarthEcho developed their own testing kits and host online platforms, where data can be submitted and visualised for free (Fig. 1). These ready-made testing kits could be the starting point for citizen science programs from the



**Fig. 1** Applications and tools suitable for implementation in the Lower Danube Basin

Lower Danube Basin, and they would not only simplify the protocol, but also facilitate comparisons with other databases. Past studies proved successful in implementing these testing kits [52–54]. Custom measurement kits could also be developed depending on the requirements of the study, which may reduce the costs of ready-made kits and the measurement time.

Sophisticated analyses are beyond the scope of a citizen science program. Starting with simple and easy parameters may be a good strategy to encourage citizens and increase their confidence in contributing to science, instead of starting with parameters that require complex training, which may overwhelm the volunteers. A simple strategy may also help volunteers to gradually connect with nature. Hence, the programs must be built over time and carefully strategized based on social and cultural prerequisites. The most common parameters measured by citizen scientists are nitrate, phosphate, water clarity and turbidity [36, 41, 55–57]. Also, citizens can be trained to make observations on shore vegetation and litter [55, 58]. In addition, citizens can survey river geomorphic features and dynamics (for ex. river bank profiles, features, terrestrial and aquatic vegetation, invasive species etc.) [59]. Apart from measuring parameters, citizens may report and monitor small scale pollution spills (oil, paint, wax deposits) [10]. In particular cases, citizens can collect samples and send them to professional researchers for laboratory measurements [44].

Low cost or DIY sensors can also be used by citizens to collect data (Fig. 1). These instruments can be constructed or installed even by school children, further developing their scientific skills [60, 61]. Apart from water quality monitoring, citizen scientists can provide data on hydrology. Authorities and researchers from the Lower Danube Basin may employ the support of locals to install manual rain gauges for precipitation records [51]. Also, researchers can install water level gauges in locations with easy access, such as public bridges, and also signboards with instructions, inviting visitors to send data [62]. The locals can also provide data on water level of small water bodies, while fishermen and boat tourist guides can measure water level

on the Danube River. A virtual staff gauge, as a feature of the CrowdWater app [63] could be given to trained volunteers to record water level.

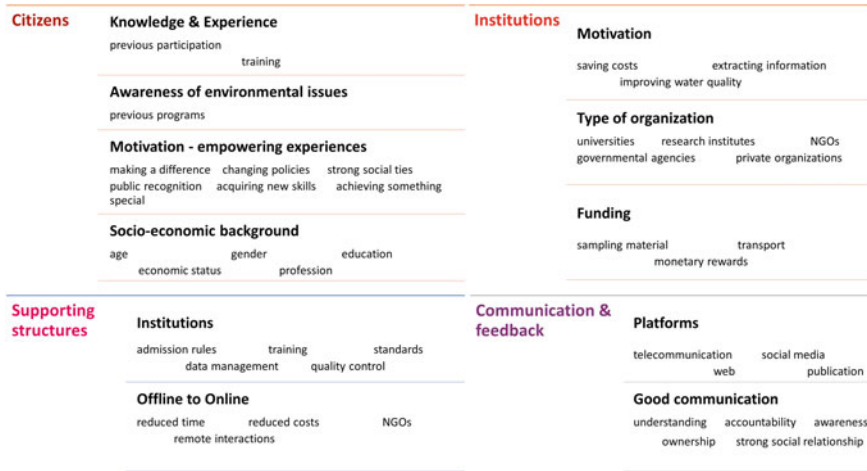
## 4 Factors Influencing Citizen Science Programs

Attempting to understand the underlying causes of the low number of citizen science programs in the Lower Danube Basin is intricate and requires an analysis of multiple factors. Firstly, the decisive factors influencing successful citizen science programs must be defined to offer a head start to future environmental programs. Secondly, it is important to discuss the means of increasing citizens' motivation to participate in science projects. Thirdly, policy support, and the educational and economic factors in the Lower Danube region may explain some of the issues regarding program implementation. Finally, the sometimes-problematic relationship between citizens, professional scientists, managers and policy-makers may act as a barrier in developing such programs.

### 4.1 Key Elements of Program Success

An important aspect that must be highlighted when analysing the projects based on citizen input in the Lower Danube Basin is the common practice of taking into consideration only the successful results to the detriment of unsuccessful projects. Citizen science groups may not publish failed approaches [25], although, even failed measurements can have an overall contribution, because it familiarizes citizens with science concepts, raises awareness and facilitates discussion with experts and policy makers [24]. To ensure success, a citizen science program must have a clear definition of goal and a clear sampling protocol with short sampling duration. The project should involve policy makers, an interdisciplinary team, and communication and data experts. It should also use digital tools for recording and submitting data, ensure quality control, acknowledge volunteer help and have realistic expectations [64].

Drawing on the design principles for citizen science projects outlined by San Llorente Capdevila et al. [65], two sets of success factors have been identified. The first set belongs to citizens, while the second set corresponds to institutions (Fig. 2). According to these principles, participants with previous experience and training are of great value to a project, not only because they are more likely to be highly committed to the mission and goal, and require less additional training, but they can also help new volunteers to gain knowledge and confidence in performing the tasks. However, citizens from the Lower Danube Basin have no previous experience or training. Knowing how to tap into citizen motivation will further guarantee project success. A survey can be launched prior to a program, to understand what would motivate citizens from the Lower Danube Basin to participate in citizen science activities. Generally, the public may enrol in environmental projects for various



**Fig. 2** Key elements of success and relational aspects in citizen science programs [17, 22, 24, 64, 65]

reasons including, the intrinsic desire to make a difference, the need to change policies or to create strong social networks, gaining public recognition, acquire a new skill or achieve something special [65]. “People tend to care for what they know and use” [66]. Based on this principle, citizen science programs with a high and clear social impact may have greater success in attracting participants compared to ones with abstract focus. Participation in the clean-up activities, from the Lower Danube basin programs was potentially driven by the same intrinsic motive (see Sect. 3). Volunteers were clearly aware of the environmental problems in the area and wanted to restore and preserve its beauty. The enthusiasm and experience of citizens that participated to these programs may be harnessed for future citizen science activities. Compensation may also increase citizen motivation. Forms of compensation include social acknowledgement and payment [67, 68]. FreshWater Watch, for example, acknowledged online the volunteers contributing with data from the Lower Danube Basin. Money rewards were also proposed as a solution to increase the number of participants [47]. Rewards, monetary or non-monetary require additional funds, which may not be available for the authorities and research institutions from the Lower Danube region. However, Freihardt [47] argued that monetary incentives may be necessary in low income, developing regions to obtain sufficient participation.

Institutions may be motivated to support a successful citizen science project for cutting costs with data collection, while obtaining large volumes of data (Fig. 2). Although savings can be made with a citizen science project, a consistent and adequate supply of resources is still needed to ensure long-term achievement and impact. Allocation of funds is determined by the type of institution that initiates and runs the citizen science program. However, issues may arise with supplying sufficient funds for citizen science projects in the Lower Danube Basin due to educational, economic and political pressures, as will be discussed in Sects. 4.2 and 4.3 (Fig. 2).

In addition to the factors outlined above, supporting structures and protocols of communication and feedback have been found as relational aspects to a successful citizen science project (Fig. 2). Supporting structures are important for the quantity and quality of data. The level of training, the type of observations requested from citizens, the quality control and management of data are critical to the success of the project. Another challenge relates to the limited involvement of participants due to the lack of incentives, excessive technical content, scarce sharing or repetitiveness of tasks [43]. Also, the data supplied by citizens may be fragmented and inaccurate if no data quality protocols are set. Moreover, if the process is not carefully monitored, the volunteer's biases or lack of objectivity may interfere with the information [17, 22]. Over time, this may lead to a lack of trust between involved parties [22]. Some of these challenges may be overcome if data collection shifts from offline to online using the tools described in Sect. 3.3, which further reduces costs, allows remote interaction and publication of data.

## 4.2 *Educational and Economic Levels*

A complex analysis of research, educational and economic circumstances in the Lower Danube Basin is beyond the scope of this study. However, some aspects must be mentioned as it has been shown that the level of participation in citizen science projects is highly influenced by the educational and economic status of the citizens. According to Sauermann et al. [69], citizen science projects, generally, tended to attract participants with a high educational level and with above average income. This means that citizen science activities are unlikely to reach marginalized communities, limiting their access to environmental education and science in general, and further widening the level of social exclusion.

There are high differences between regions along the Danube River in terms of economy and the spending levels on education, research and innovation. Although poverty has declined in the Lower Danube Basin in the past decades, Romania and Bulgaria still remain among the poorest in Europe [70]. For example, in 2017, almost 36% of the Romanian population were at risk of poverty or social exclusion [71]. In addition, Romania has the second highest urban–rural income gap in the EU, due to insufficient access to funding, ageing population and lack of technology and skills [71]. Furthermore, higher unemployment rates were reported in the second half of the Lower Danube River compared to first section, reflecting the low economic situation of the region. Lower unemployment rates were found in Bulgaria compared to Romania [72]. Considering the economic situation in the Lower Danube Basin, monetary rewards may be considered as an option to stimulate public participation in science programs.

Moreover, research and education sectors have been underfunded for decades in Romania, in comparison to other countries. In 2017, Romania invested in education 2.8% of GDP, which was the lowest rate in EU. As a result, in terms of higher education attainment, Romania is significantly below the EU average [73]. In 2012,

Romania had the lowest percentage of citizens with high education degree (15.4%) from the countries of the Danube River Basin, being significantly exceeded by Bulgaria with a rate of 24.0% [72]. Also, the percentage of early leavers from education exceeded the EU average, while the percentage of underachievers in science was double compared to the EU average. Moreover, the participation of adults in learning was below 1%, in 2018, ten times lower than the EU average [73]. Involvement of citizens in research projects would help them learn new skills and create strong social networks. It would also familiarize citizens with science and environmental concepts, and would increase confidence in their abilities, ultimately leading to improved participation to education and greater sustainable development in the Lower Danube Basin.

In the research & innovation sectors, Romania invested, in 2017, the least amount of funds (0.5% of GDP), from the European countries, almost 6 times less than Austria or Germany [74, 75]. This leads to limited funds that can be allocated to citizen science programs. Developing countries have other priorities in allocating funds, for example on building capacities or on increasing the level of innovation, until they have enough funds to invest in novel research [76]. Romania also suffers from a severe shortage of researchers in the R&D sector, with ten times less researchers per million people compared to Denmark, the highest-ranking country, and with three times less than Bulgaria [75]. Consequently, there are less researchers available for complex monitoring activities or for accessing remote locations within the Lower Danube Basin. Citizens can, temporarily at least, fill this void by collecting data and samples from distinct regions. In addition, the environment of political and cultural intolerance towards research represents a barrier in advancing science [76], and this can change only if successful citizen science programs are undertaken in the Lower Danube Basin. Insufficient funding towards education and science reflects not only on how citizens are formed, but also in the number of qualified professional researchers. In an analysis of the educational system in the Danube River Basin, Irvine et al. [77] found a lack of coherence between skill development strategy and environmental, economic, social and sustainable development policies. Thus, current educational programs do not provide the necessary pool of water professionals with both technical and stakeholders relational skills (including citizens) to ensure smooth transition of the Danube River Basin to sustainability [77].

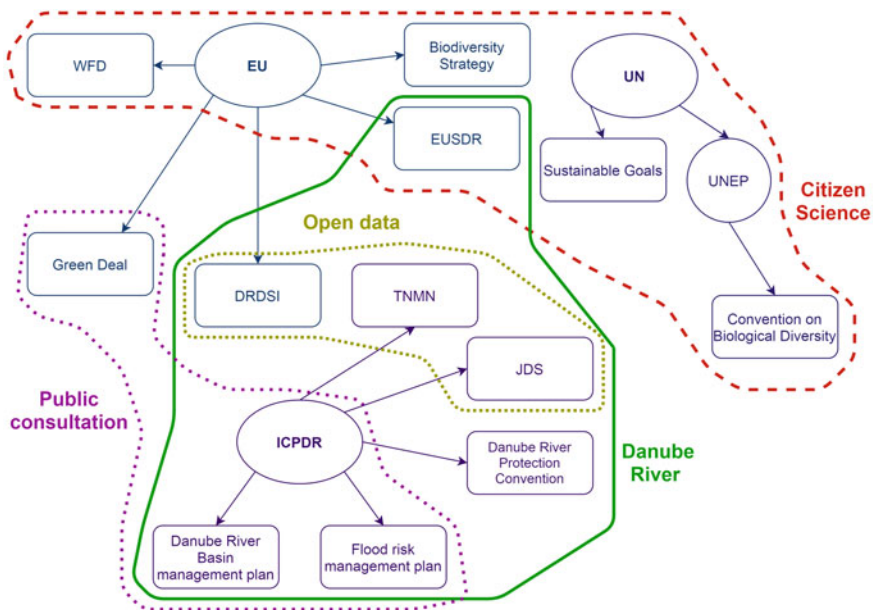
The level of digital economy in the Lower Danube Basin is also important for the development of citizen science programs. Limited access to technology may prevent public participation [69] and low-income areas generally have limited access to internet or smartphones [47]. In particular, Romanian rural areas have lower internet coverage compared to urban areas [75]. Although the internet coverage is fragmented in the Lower Danube Basin, there are regions with full coverage, which can be exploited using the latest reporting tools (Fig. 1). In areas with poor internet coverage, printed leaflets and questionnaires may be distributed, through community structures, to counteract these issues [47]. Also, in areas with poor phone reception, applications should be developed to allow participants to store the data offline until reception improves [50].



### 4.3 Policy Support

A three-fold path of policy support has been identified for implementing citizen science and public involvement in Danube River monitoring and conservation (Fig. 3). The first set of policies addresses ecosystem health in general. The second set of policies refers strictly to the Danube River monitoring and protection, whilst the third set of policies allows public consultation before being launched. The organizations that developed and implemented these policies are: the United Nations (UN), the European Union (EU) and the International Commission for the Protection of the Danube River (ICPDR). They have recognized the strength of citizen science programs as crucial components in reaching UN Sustainability Goals, in achieving good ecological status of water bodies and in preserving and increasing ecosystem biodiversity.

Citizen science could support the UN Sustainable Development Goal 6 (Clean Water and Sanitation), indicator 6.3.2 (proportion of bodies of water with good ambient water quality) [54, 78]. In addition, the Convention on Biological Diversity, under the UN Environment Program (UNEP), aimed to “raise public awareness of the values of biodiversity and the steps they can take to conserve and use it sustainably”



**Fig. 3** Current policies regarding ecosystem health, monitoring and conservation, and their field of impact. UN—United Nations; UNEP—UN Environment Program; EU—European Union; WFD—Water Framework Directive; EUSDR—EU Strategy for the Danube Region; DRDSI—Danube Reference Data and Services Infrastructure; ICPDR—International Commission for the Protection of the Danube River; TNMN—Transnational Monitoring Network; JDS—Joint Danube Survey

(Target 1). However, UNEP could play a more active role in supporting countries to develop such programs, which may help in raising awareness and building databases [78].

The EU mentioned that, in the Water Framework Directive (WFD), public participation was “a key component for ensuring the WFD’s success”, and that the EU Biodiversity Strategy for 2020 encouraged “the active involvement of civil society at all levels of implementation” and recognized “the value of citizen science initiatives for gathering high-quality data while mobilizing citizens to get involved in biodiversity conservation activities” [79]. The EU developed the Danube Reference Data and Services Infrastructure (DRDSI) project in order to offer policy makers a repository of high-quality data from professional research studies [85]. Within a period of three years, DRDSI project identified over 10,000 datasets, including from Romania. However, the platform has been archived in 2019. A common platform, even informal, to collect data from the Danube Basin would provide citizens and researchers a tool for data storage and open access to information. Long-term data support could be provided by strong organizations, such as the EU Strategy for the Danube Region (EUSDR) and the ICPDR that are directly involved in preserving and improving the Danube River quality.

In 2010, the EU established the EUSDR with the roles of addressing common challenges within this macro-region, aligning funding, providing improved coordination and of finding new ideas [80, 81]. Within the Priority Area 10, of the EUSDR, citizen science projects contribute to Action 7 (To strengthen the involvement of civil society and local actors in the Danube Region), Target 7.1 (Supporting the empowerment of young people for participation in the development of the Danube Region through strategic guidance and the implementation of macro-regional networks) and Target 7.2 (Building capacities on participatory governance and involvement of civil society and local actors in cooperation with the Danube Local Actors Platform, the Danube Civil Society Forum, and/or further experts and stakeholders). Recently, in 2020, the EUSDR initiated the process of embedding priority areas into EU programs for 2021–2027. This step not only emphasizes the importance of involving and educating citizens in Danube River environmental issues, but also facilitates access to funding [81].

The ICPDR was established over 25 years ago in order to improve the state of Danube River Basin water bodies. It builds upon decades of agreements between countries, starting with the Declaration of the Danube Countries to Cooperate on Questions Concerning the Water Management of the Danube in 1985, also known as the Bucharest Declaration. Later, the Danube River Basin countries agreed to implement a shared water quality monitoring system, to address cross-border pollution, and to protect and preserve habitats [82]. Hence, in 1996, ICPDR launched the Transnational Monitoring Network (TNMN) with over 100 stations across the Danube and its main tributaries [83]. Also, ICPDR organizes regular water quality monitoring campaigns along the Danube River, within the WFD and under the Joint Danube Survey program. The reports and data of the surveys are publicly available [83, 84]. The ICPDR have organized public consultation sessions, over the last decade and a half, with civil society and stakeholders regarding the development of strategies for

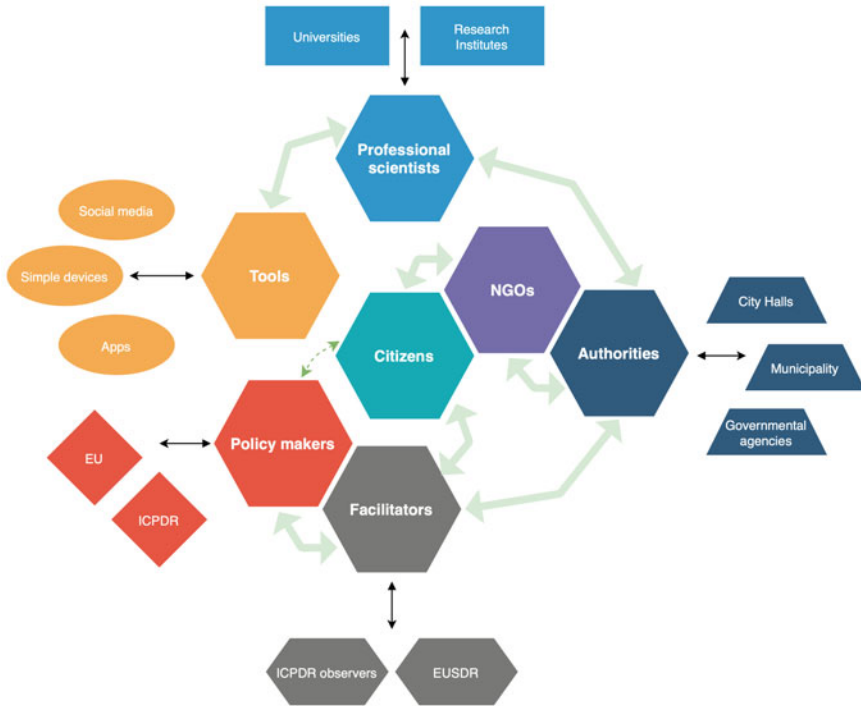
water and environmental management. The most important work programs are the Danube River Basin and the Flood Risk Management Plans. Also, public consultations for policies within the EU Green Deal framework are underway. Nevertheless, it is not clear if the ICPDR consultation announcements reach the citizens from the Lower Danube River region. To ensure a larger dissemination of their messages and roles towards the civil society and stakeholders, the ICPDR has established a group of Observers from across the Danube Basin, but with limited responsibility and decision power. Thus, mechanisms have been developed in order to help citizens to voice their concerns. However, there is no local group to establish a connection between citizens and policy makers.

The response of the policy makers may be slow, despite public pressure or expectations, depending on the cultural and political landscapes [85]. The EU has reinforced the need to continue funding for citizen science projects, in the Horizon Europe program [86]. With the support of EUSDR and ICPDR, the EU could direct research funds to citizen science actions, either coordinated by professional researchers or by autonomous citizen scientists.

#### ***4.4 Relationship Between Authorities, Citizens and Researchers in the Lower Danube Basin***

The analysis of the programs and activities, with public participation, that were undertaken in the Lower Danube Basin (see Sect. 3) shows the fragmented relationship between the involved parties (Fig. 4). So far, only NGOs maintained a long-term relationship with citizens and were the link between citizens, authorities and water managers. However, no direct relationship was observed between citizens and authorities, meaning that there is no evident support in heeding citizens' concerns, solving environmental issues or in developing autonomous research programs. As discussed in Sect. 4.2, European policy-makers have established means of communicating with citizens through Observers (Fig. 4), but they have limited power of decision. In addition, the policy-makers launched multiple public consultation sessions when drafting regulations. Despite these efforts, it is unclear if the messages of the policy-makers and citizens reach one another, especially in the Lower Danube Basin (see Sect. 4.3).

A critical communication gap was observed between professional scientists and citizens (Fig. 4). The decrease in consistent and predictable research funding in Romania [87] may explain the lack of involvement of professional scientists. In addition, the academic environment, in general, may not favour citizen science programs. Researchers involved in such projects may not be able to have a high publication productivity due to limited time, as they will be mostly engaging with educating citizen scientists [69]. However, professional scientists could bring the tools and expertise much needed in communities and may act as a bridge between the involved parties. They may also help citizens to identify environmental problems and encourage them to report these issues and claim their right into decision-making.



**Fig. 4** Relationships between groups participating in clean-up and monitoring activities, in the Lower Danube Basin

The fragmented relationship between involved parties, citizens, policy-makers, local authorities and scientists explains the limited public participation in science projects. A survey made by Vann-Sander et al. [88] on 200 respondents, including the above-mentioned groups, regarding citizen science projects, highlighted the fragmented relationship between them, not only relating to dialogue but also to how citizen science projects are perceived and how science is disseminated. Vann-Sander et al. [88] found a level of distrust of participants over science and scientists, potentially due to the lack of community involvement and communication between parties. Participants stated that scientists are elitists, snobs and theocratic and that “society doesn’t trust science”. The participants also believed that science alone cannot influence decision makers. It was also the perception that policy makers and managers are interested in the citizen science only if it satisfies their goals [88]. Moreover, in some cases, formal research institutions showed a reserved attitude towards citizen scientists or even refused to collaborate invoking the uselessness of measurements or the lack of seriousness on citizens’ side [24]. There was also distrust from the citizens scientists towards the involvement of the municipality [24].

A similar lack of trust between parties was found by Teodosiu et al. [29], who analysed how public participation functions in Romania. In most water management

planning, the public involvement was passive and when the public actively engaged in the planning process, the authorities were reluctant to use the results. The authorities often have a top-down approach in decision making and consider that the public lacks the needed expertise. In addition, the public is sceptic to put forward their ideas when it sees how difficult it is to integrate them into formal decision making.

The political context of the past decades, which shaped the culture, society and economy, in the Lower Danube Basin, may have widened the communication gap between these groups. Communism led to extreme political inequalities, top-down approach, one-sided decisions, and excluded choices and alternatives. Suggestions, proposals, ideas and initiatives were discouraged as they could challenge the authority of the state [89]. All these severely eroded individual freedom, responsibility and influence of citizens on political development. Moreover, after the 1990s, the lack of relevant stakeholders' experience and distrust in civil societies and participatory processes limited the stakeholders' engagement in such actions [90]. Furthermore, considering the lack or slow economic and educational development in some regions of the Lower Danube Basin (see Sect. 4.2), some citizens are unlikely to report or solve their issues. According to Ottinger [26], policy makers are more likely to dismiss the environmental issues alerted by marginalized communities. The Lower Danube River region has one of the highest numbers of marginalized rural and urban communities [75]. Whilst governments mostly ignore environmental issues or down-play problems, despite public concerns, the citizens may empower themselves to monitor the environment in order to highlight the issues [91]. Community-driven data collection or open data platforms may provide marginalized communities with the needed quantitative information to increase their credibility when meeting policy makers [26]. In addition, these platforms may act as "social boundary objects" for citizens to voice their concerns and bond them to a common cause, further strengthening their position in relation to decision makers [91].

Trust and effective collaboration are especially needed in water management. Water resources are mostly taken for granted and do not become a political priority until they are severely reduced [92]. In addition, local users of water resources often do not have access to information on water planning and do not have the right to participate in decision-making processes that affect these waters [93]. There is a clear need to involve the society to solve water problems, especially considering the growing disconnection between the water environment and citizens [92]. Participation of the public and stakeholders in river basin management is increasingly promoted because it is expected to improve resource management and enable participants to engage freely and equally in management (support democratic processes) [93].

## 5 Recommendation

Despite the global success in involving citizens in science, either through government funded projects or independent initiations, the approach has not flourished in

the Lower Danube Basin. Several opportunities arise by building on past citizen science projects and by adapting the available tools to this region. The following recommendations can be made to develop successful citizen science programs in the Lower Danube Basin:

- The first step can be taken by professional scientists to involve citizens in short temporal monitoring studies as part of their environmental research projects. Only a handful of participants with high motivation is needed to sustain a project. Prior to starting the project, a survey can be undertaken on the citizens from the Lower Danube Basin to better understand what would motivate and secure commitment during research activities.
- Later, professional scientists can join NGOs in their large-scale public participation activities, allowing them access to a large pool of volunteers and to NGOs' extensive organizational expertise.
- Researchers may use available tools specifically developed for citizen scientists, such as smartphone applications, online platforms, sensors or testing kits (Fig. 1). They can also use social media for gathering volunteers, collecting data or communicating results. Citizens can assess and measure water colour and clarity, nitrate, phosphate, pH, turbidity, water body geomorphic features and dynamics, pollution spills, water level or precipitation.
- The EU, the ICPDR and governments can step in to provide consistent and continuous financial support to large citizen science programs in the Danube Basin. In particular, a partnership between governments from the Lower Danube Basin may be effective in ensuring continuous development of citizen scientists observatories across the basin and in maintaining good ecological status. Funding can be gradually increased based on results and level of involvement, with the final goal of supporting long-term programs. However, access to funding and commitment of research institutions must be harmonized across the entire Danube Basin to ensure consistent quality and quantity of citizen generated data.
- Once communication between citizens, scientists and policy-makers is established, the authorities must be involved in the programs to guarantee that actions are implemented at local and regional levels. Policy-makers may intervene to establish an effective contact between involved parties. In addition, NGOs may help professional researchers to develop successful communication routes with the authorities, considering the NGOs' extensive expertise in engaging authorities in environmental activities.
- Also, dedicated citizens may organize themselves into an autonomous citizen science institution, which is initiated and run by them [24]. While academics are motivated to develop citizen science projects by their passion and desire to transmit science to a broader audience [69], citizens may be attracted by the core of research questions. Thus, they may have more power of decision in terms of study sites, group interaction, reporting, access to funding and communication with authorities and policy makers.

## 6 Conclusion

This review shows that the involvement of citizens in environmental projects, concerning the Lower Danube Basin is scarce and infrequent. The main reasons were identified as the fragmented relationship between scientists, authorities and citizens, lack of access to resources, limited funding for science and education, the urban–rural development gap and social exclusion. There are no quick solutions to improve the situation. However, gradual steps can be taken to move the wheel of growth through citizen science, as shown in Sect. 5. Water quality monitoring of the Lower Danube River by citizen scientists cannot replace traditional monitoring strategies made by professional scientists, such as the Trans National Monitoring Network or the Joint Danube Survey [21], but if a citizen science program is initiated and executed under close professional supervision, in terms of accuracy, quality control and spatial and temporal resolution, the resulting data may complement traditional records.

Citizen science represents a means of obtaining high frequency data with large geographical coverage, at scales that cannot be achieved by professionals alone. Nevertheless, citizen science also represents education, communication, shared experiences and social inclusion. This approach has the power to change the social, economic and environmental scenery in the Lower Danube Basin. Citizens will have a proactive attitude towards protecting the Danube River and the environment, in general, which will provide them with a sense of inclusion in Europe.

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# Stakeholders' Interests and Participation in the Sustainable Use of the Lakes Along the Danube Floodplain. A Romanian Sector as Case Study



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**Abstract** Lakes and wetlands within large river floodplains represent some of the most endangered ecosystems at global scale. In this framework, raising awareness and promoting involvement of stakeholders can substantially contribute to the protection and sustainable capitalization of the lakes along the Lower Danube Floodplain.

The present chapter aims to analyse the stakeholders' interests and participation in the sustainable use of the lakes in the Lower Danube Floodplain, the Drobeta-Turnu Severin-Bechet sector, by using an online survey conducted from August to October 2020. The questionnaire received responses from 47 Romanian stakeholders.

The different approaches used during the survey aim at better understanding the degree of knowledge and participation in the sustainable capitalization of the lakes and could help in making future decisions. The analysis of the answers shows the following main aspects: 34% of the respondents consider themselves highly informed about the state and management of lakes in the Danube Floodplain, while the most widespread manner of gaining knowledge is represented by personal theoretical documentation (44.7%); there is a significant agreement on the negative dynamics of the floodplain lakes over the last two decades, with 78.7% of the respondents agreeing or fully agreeing on this issue; 57.4% of the involved stakeholders consider the declining state of the lakes as a consequence of human activities, while 31.9% of them attribute it to a mosaic of natural and anthropogenic triggering factors.

The answers given in connection with the issues addressed provide both relevance to the case study and the potential for generalization for floodplains of large rivers.

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In this sense, assessing stakeholders' involvement can contribute to the sustainable use of lakes by paying attention to the increasing involvement of public institutions with decision making power in the sustainable use of floodplain lakes.

**Keywords** Lakes · Management · Stakeholder · Online Survey · Danube Floodplain · Romania

## 1 Introduction

River floodplains are multifarious, rampant ecosystems, providing a complex mosaic of freshwater habitats that are characterized by their spatial–temporal heterogeneity and a certain degree of hydrological connectivity with the main channel [1]. Over time, floodplain ecosystems have been heavily modified by anthropogenic activity due to river regulation measures and techniques, which, on the one hand aimed to interrupt the periodicity of flooding disturbance, but also to capitalize the agricultural potential of lands.

A tremendous reduction of floodplain area has occurred in the last 100 years and this loss continues because of pressures such as land use change, river regulation and dam construction [2]. The Danube River Basin makes no exception as the overall extent of its floodplain has been reduced, with the highest losses occurring in the upper and lower sectors of the Danube.

Stakeholders' involvement in environmental decision-making has become an increasingly important aspect [3]. Thus, the European Water Framework Directive [4] imposes public consultation during the development of watershed management plans.

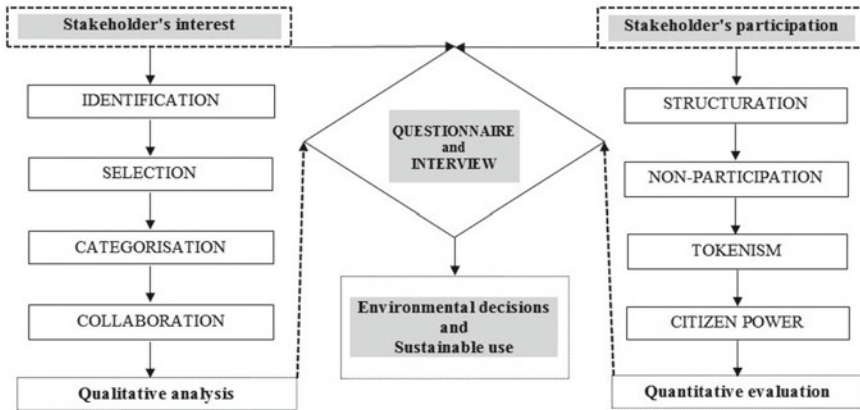
In this context, this chapter proposes a comprehensive framework to establish and implement stakeholder's interests and participation in sustainable use of the Danube Floodplain lakes (Romania), from stakeholder identification to their level of involvement, and provides new and original information on this topic, derived from own research.

## 2 Concepts and Approaches for Stakeholder Analysis

### 2.1 Stakeholders' Identification and Structuration

Understanding the perceptions and the needs of diverse stakeholders is crucial for efficient conservation policy and has become a requirement for improving environmental management around the world [5].

To implement a study on stakeholder analysis, these important actors must be identified and categorized, in order to understand the power relations between them and their specific interest and participation (Fig. 1). Regarding stakeholder identification,



**Fig. 1** Conceptual framework for stakeholder's analysis and evaluation in environmental management, adapted from [13–16]

Selman [6] distinguishes between stakeholders who have an economic interest and those motivated by principles or values. Grimble and Wellard [7] define stakeholder analysis as “holistic approach or procedure for gaining an understanding of a system and assessing the impact of changes to that system, by means of identifying the key actors or stakeholders and assessing their respective interests in the system”. Recent studies [8] define the same terms as “identification of individuals, groups or organizations that have a specific interest and are likely to affect or be affected by proposed interventions”. Besides all this, what constitutes a “stakeholder” or “stakeholders” is the key for stakeholder analysis, as it pre-determines who might and might not be identified as being important enough to be included in the analysis [9].

Numerous definitions have been published on what can be classed as a stakeholder, which is why a clear explanation of the term is essential. Therefore, a variety of methods for identifying stakeholders are available in the literature. One of the best-known methods of identifying stakeholders starts with brainstorming and has been presented and used by King et al. [10], as well as by Stanghellini and Collentine [11]. The *snowball* technique is based on a list of stakeholders that is submitted to one of the identified stakeholders, soliciting opinion and adding further stakeholders. Moreover, techniques such as interviews or analyses of reports and minutes increase the probability of an in-depth understanding of the process [12].

Once the stakeholders' relative importance and influence have been assessed, they can be mapped in an importance-influence matrix, also called the “interest-power matrix” [17, 18]. Van Asselt et al. [19] also drew stakeholder maps through which links, connections and relationship can be visualized. The way in which the stakeholders are organized and how decisions are made will influence the ways in which relationships between environment and community can be manipulated. As underlined by the literature review [20], the identification of the key stakeholders

and of certain characteristics (such as where they come from and what is their level of involvement) represents an important step.

## ***2.2 Stakeholders' Involvement and Participation***

Over time, there have been controversies between two terms used in the field literature, namely: public participation and stakeholders. Public participation is defined, following the World Bank [21] as “a process through which stakeholders influence and share control over development initiatives and the decision and resources which affect them”. On the other hand, Grimble and Wellard [7] defined stakeholders as “any group of people organised, who share a common interest or stake in a particular issue or system”. Junk [22] showed that public consultations can provide institutional access to less resourceful stakeholders, e.g. NGOs, thus ensuring the stronger participation of specific local or sectorial interests.

Recent studies address stakeholder importance and their influence as a key aspect: Luyet et al. [16] propose a comprehensive framework to implement stakeholder participation in environmental projects, from stakeholder identification to evaluation. Regarding the participation, the main contribution related to stakeholder structuring and degree of involvement was detailed into three main groups: non-participation (manipulation and therapy), tokenism (informing, consultation, placation) and citizen power (partnership, delegated power and citizen control) [13] (Fig. 1).

Stakeholders' interests can be deduced and analyzed by interviews and questionnaires [23]. Therefore, in order to determine stakeholder's level of involvement, several people, such as representatives, stakeholders or experts, should be asked to complete a questionnaire or be interviewed. The questionnaire as a method of analysis has proven to be a useful tool for stakeholder views. For example, Van Dam and Junginger [24] use a stakeholder questionnaire to analyse how European stakeholders perceive various topics related to the development and implementation of sustainability criteria for biomass and bioenergy.

The “participatory modelling” concept presented by Jonsson and Alkan-Olsson [25] is aiming at serving as a platform for facilitating stakeholder participation in environmental planning at the local/regional level. The participatory environmental modelling process is based on three categories: factors influencing the willingness of stakeholders to invest time and resource in participation in the process, factors influencing the tendency of stakeholders to accept ‘expert’ results as legitimate descriptions of local ecosystems, and factors defining the ‘room of action’ for stakeholders to implement remedies suggested by model results. The type and intensity of relationships between stakeholder groups is often related to the degree of their influence and power [21, 26].

Multi-stakeholder processes represent forms of cross-sector collaboration [27]. Participation of all stakeholders is crucial for successful sustainable management of natural resources and a multi-stakeholder approach enables stakeholders to share roles and responsibilities (Fig. 1). Luyet et al. [16] use a framework with five degrees



of stakeholder's participation in environmental projects: information, consultation, collaboration, co-decision and empowerment.

Regarding the decision makers, Vroom [28] proposes a systematic method to determine a specific level of involvement for each stakeholder; this model has sequentially seven questions regarding: decision significance, importance of commitment, leader's expertise, likelihood of commitment, group support for objectives, group expertise and team competence.

### ***2.3 Stakeholders and Water Management***

Successful management of environmental quality via regulation by state and local governments depends on citizen and stakeholder support [29].

In water management studies, stakeholders can be defined as the people who either (i) will be potentially affected by the management, (ii) will be involved by one way or another in the implementation of management activities, or (iii) who are likely to support or oppose the research or development project or the policy at stake [15]. Stakeholder interests and participation in the sustainable use of lakes and wetlands has been the subject for numerous recent studies conducted in different regions of the world:

- the case of the Lake Fundudzi catchment in Limpopo Province, South Africa [30] involved two different processes: a stakeholder analysis involving collection of qualitative data from stakeholders and participants (phase one); development of case studies of participation in communal wetland management using a case story approach, which also drew on qualitative data (phase two);
- the ecological-economic analysis of wetlands provides, after Turner et al. [31], two aspects: scientific integration for management and policy. Sustainable wetland management from floodplains requires an understanding of stakeholders' perceptions of the ecosystem and its management;
- Bosma et al. [32] conducted a study on how do individuals and groups perceive wetland functioning. The case study the Rushebeya-Kanyabaha wetland in Uganda uses fuzzy cognitive mapping in order to assess opposing interests between different stakeholders;
- Smrekar et al. [27] propose a methodology to engage relevant stakeholders in wetland governance in Ljubljansko Barje Nature Park (Slovenia) i.e. all people, groups and institutions, willing to embark on the process of improving the governance of the park;
- Christopoulou and Tsachalidis [33] conducted a survey of local residents' attitude concerning the conservation policies for protected areas (wetlands) in Greece. In this regard, questionnaires were distributed in 32 communities neighbouring four Ramsar wetlands in Northern Greece regarding the ways of management and exploitation of the wetlands and their sociological features;

- Cohen-Shacham et al. [34] conducted a stakeholder analysis based on semi-structured interviews. Their study showed that applying the ecosystem services concept facilitates to point towards sustainable solutions for conflicting stakeholder interests.

It is generally recognized that not all stakeholders have the same influence (Table 1). Mayers [35] classifies stakeholders according to power in two categories: stakeholders who may be directly impacted positively or negatively by the

**Table 1** Main stakeholder types and their role in floodplain lakes management

Stakeholders: types and examples [27, 41, 42]	General role in lake management	Involvement levels [43, 44]			
<p><b>Policy</b></p> <p>Public bodies with decisional functions, administrative competences, territorial jurisdiction over the lakes or over the floodplain area municipalities, local or regional authorities, government institutions.</p>	<p>Decision-makers, managers, providers of financial resources initiate and actively participate in the <i>development, authorization and implementation</i> of measures, rules, plans, projects, programmes concerning lake and floodplain management, and associated use and protection of ecosystem services at the local, regional and national scale.</p> <p>They are <i>key-players</i> with high influence/power in lake management and could benefit from the <i>coordination</i> of the multi-stakeholder participatory process.</p>	<p><i>Initiating and co-ordinating</i></p>	<p><i>Co-knowing</i> (stakeholders are regarded as a source of knowledge)</p>	<p><i>Co-thinking</i> (stakeholders who do not play an active role in floodplain lake management, are informed about its state and progress)</p>	<p><i>Co-operating</i> (stakeholders actually participate and actively contribute to lake management development and implementation)</p>
<p><b>Science and education</b></p> <p>Experts and professionals from universities or local schools, from research or development institutions, museums.</p>	<p>Multi-stakeholder involvement requires that the scientific community <i>generate scientifically valid approaches, methods, and tools</i> for lake management (inter- and transdisciplinary knowledge that is socially, economically, and environmentally applicable), <i>elaborate</i> and <i>refine</i> existing understandings of knowledge and the methods of its production and transfer [41], and help <i>disseminating</i> knowledge.</p> <p>Their involvement and consultation are required.</p>				
<p><b>Business</b></p> <p>Individual or collective private entities with economic profile, representing the main activities of the economic sector present on or near the floodplain lakes.</p>	<p>As user of floodplain resources, industry is usually a significant influencer of their state, with low official involvement in decision making. In a knowledge-based and participatory society, industry involvement in lake management enables a better assessment of specific needs and ecological footprint, <i>innovation in the field of water resources use</i>, could contribute to a <i>higher acceptance of the appropriate regulatory context</i> and, finally, to a diversified and sustainable economic growth.</p>				
<p><b>Society</b></p> <p>Associations, representing the interests of citizens, civil society organizations, NGOs, individuals from the local communities, occasional users of floodplain resources.</p>	<p>Public involvement is <i>necessary</i> (it can help solving major challenges concerning floodplain lakes), <i>practical</i> (it helps gaining field data and understanding social needs related to the floodplain, enables more welcome management directions and legitimizes them), and <i>ethically just</i> (the taxpayer can gain greater access to and influence over the management process and its results) [41].</p> <p>These stakeholders are influenced by the lake management framework, but do not have enough power over decisions.</p>				

process; stakeholders who may be indirectly affected by the outcomes of a proposed intervention.

Different stakeholders derive different benefits. Therefore, it is critical to explicitly integrate these multiple perspectives in policy-making [36].

Clausen et al. [9] define three categories of stakeholders, depending on the benefits and the services offered: primary stakeholders (industry and consumers); secondary stakeholders (NGOs, researchers and local authorities); tertiary stakeholders (governmental bodies, national authorities and international authorities). Besides this classification, it is generally acknowledged that the community involvement and participation in the management of natural resources is a condition of their sustainable use [15]. Moreover, it is relevant to link the scientific and technical knowledge of experts with the viewpoints expressed by local actors [37, 38].

Janse van Rensberg [39] also remarked that analysis of stakeholders' participation involves analysis of complex social behaviour or action. The degree of stakeholder's involvement is a critical point in stakeholder participation because it influences all the processes. The primary stakeholders have high importance but varying degrees of influence, whilst the major European institutions have varying degrees of importance and high influence [40].

### **3 Status Changes of the Lakes in the Study Area and Protection Strategies**

#### ***3.1 Study Area: The Danube Floodplain, the Drobeta-Turnu Severin—Bechet Sector***

Floodplains provide a multitude of ecosystem services, particularly including the aquatic surfaces and the landscape induced by them [2]. The Romanian Danube Floodplain covers a surface of about 530.5 thousand hectares between Gruia (downstream Iron Gates II) – kilometre 851 and Isaccea – kilometre 108 [45]. Within major river floodplains, lakes and wetlands are very important for the communities who live around them; thus, the values that people attribute to lakes and the effects of their management strategies are critical. Along the Lower Danube Floodplain, a tremendous lake reduction of floodplain lakes has occurred in the last 50 years and this loss continues due to pressures such as land use change, river regulation, and dam construction [46].

The study area corresponds to the Lower Danube Floodplain located between Drobeta-Turnu Severin and Bechet urban settlements, and is bounded by administrative criteria (within the South Oltenia Region), spanning a total of 29 territorial-administrative units (LAU level 2, according to Eurostat). Taking into account the external points of riparian LAU 2 in this sector, the river floodplain extension covers a length of about 260 kms, from fluvial kilometre 937 to fluvial kilometre 677 and covers an area of about 83,000 hectares [47]. In the sector under study, the Danube

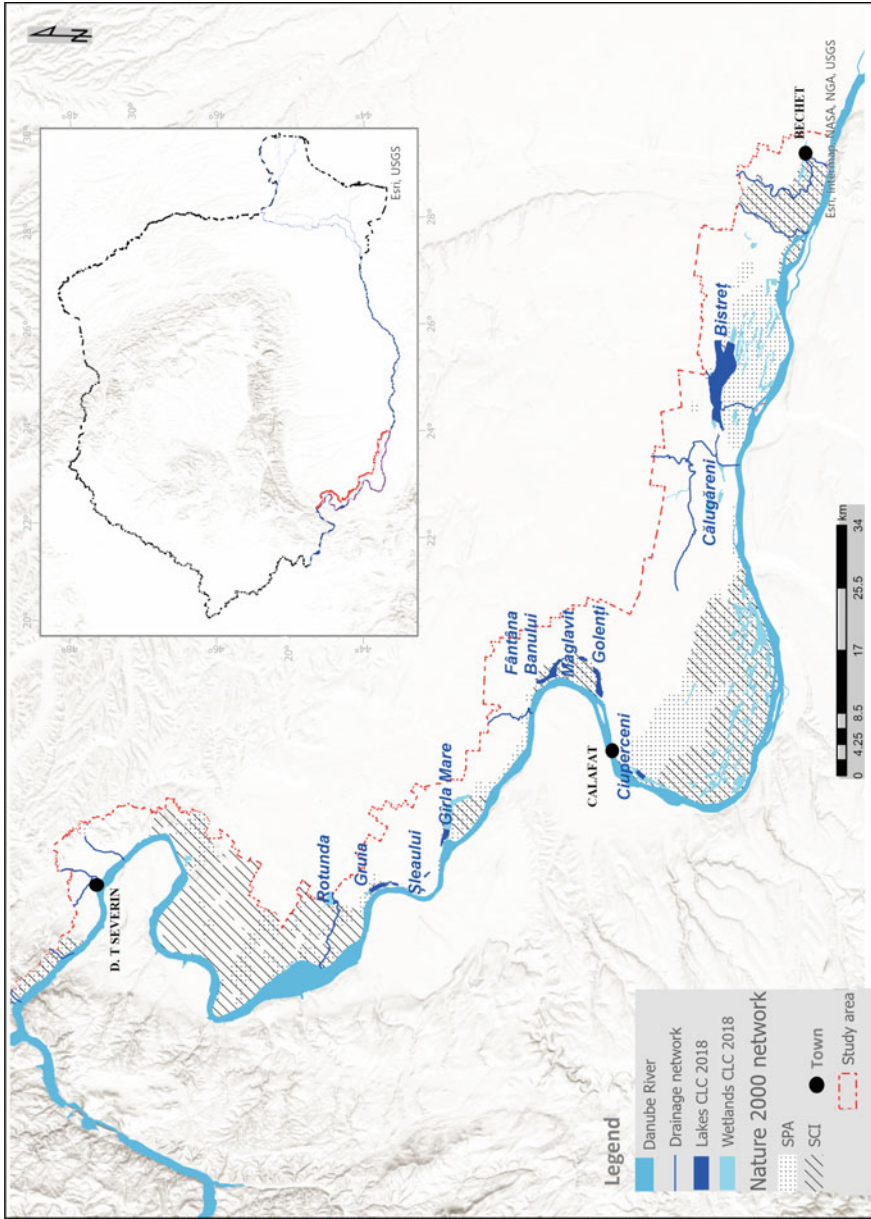
Floodplain displays great transversal variability, both in terms of width and anthropogenic changes undergone by the unit in a quasi-natural state. Thus, in the sector located upstream of Calafat town, when it is observable, the floodplain reaches only neglectable widths and it extends more significantly near former clogged branches of the Danube, where lakes and ponds locally appear. The maximum width of the floodplain characterizes the sector downstream of Calafat, where this unit exceeds 10 km near the Bistreț Lake. As a result of hydrotechnical works on the Danube and on its adjacent space, the area under the former direct hydrodynamic influence of the river, the connections between watercourse and its floodplain in quasi-natural state, as well as the micromorphology of the wide floodplain in the sector located downstream of Calafat were significantly modified through longitudinal and partitioning dams and through a locally dense network of canals (there is a succession of dammed precincts with such characteristics eastwards of Ghidici settlement). The deeply rural character of the study area is obvious, as it is dominated by rural local administrative units (26), to which there are to be added three urban settlements: Drobeta-Turnu Severin, Calafat and Bechet, the latter lacking municipal status. Few settlements are located in the floodplain proper, while a chain of villages of average and large demographic size (as classified in the Romanian literature) border the northern floodplain – terrace contact [47].

The predominant morphological character of the sector under study is the presence of the floodplain and the Danube terraces, supplemented by the specific aeolian relief [48]. Roughly 60% of the study area corresponds to the floodplain of the Danube and of its main tributaries (the Topolnița, the Blahnița, the Drincea, the Desnățui, the Jiu, and the Jieț), while the rest belongs to the terrace plains [49].

Distinct taxonomic groups of the lakes in the Danube floodplain are mainly imposed by the water supply specificity, namely their area increasing with depth during the high-level waters of the river. More precisely, there can be identified the following categories of lakes in the sector under scrutiny [47, 48]:

- lakes whose existence is determined by permanent connection with the main river, either under the effect of high waters (floods or overflows) or by secondary arms, ravines, old abandoned arms, or by underground flow when the waters of the main river have high levels (Ciuperceni lake and Bistreț lake);
- lakes that appeared on site of former meanders or abandoned branches of the Danube (e.g. Gârla Mare, Maglavit and Golenți lakes) (Fig. 2).

The relief of the study area is the result of the action exerted by three categories of factors: the fluvial processes that generate and modify the floodplain unit, the aeolian processes that have important poignancy within this sector and the human factor, whose influence has been very significant during the last decades [50].



**Fig. 2** Study area: location, lakes and protected areas. source of the processed data: [51, 52]

### 3.2 *Protection and Conservation of Aquatic Ecosystems*

Wetlands' conversion into arable lands was meant to generate economic development through the practice of an intensive agriculture, but their most important ecological functions are nowadays being rediscovered as they represent habitats for numerous endangered or vulnerable species as well as hydrologic polders, and areas that could mitigate floods, with the latter role becoming ever more critical in the present context of climate change. Hence, as a consequence of more and more frequent meteorological and hydrological phenomena, the tendency nowadays is to emphasize the protective benefits of natural areas such as the Danube Floodplain, giving a particular importance to stakeholders' opinions and supporting the development of projects that acknowledge natural areas' fundamental ecological functions [53].

Being considered genuine biodiversity hotspots, both permanent and temporary aquatic habitats within river floodplains stand out and have great importance for species richness in riverine landscapes as their diversity is often higher than in the fringing landscape [1].

Most of the analysed territory is SCI and/or SPA under Natura 2000 and comprises a mosaic of aquatic biotopes, which explains a high biodiversity including species and habitats of great importance [54]:

5 Sites of Community Importance:

- Maglavit, ROSPA0074 (Fig. 3);
- Calafat-Ciuperceni-Dunăre, ROSPA0013;
- Bistreț, ROSPA0010 (Fig. 4);
- Confluența Jiu—Dunăre, ROSPA0023;
- Coridorul Jiului, ROSCI0045) and

4 Special Protection Areas for birds:



**Fig. 3** Golenți lake (Photo by Mititelu, May 2017)



**Fig. 4** Bistreț lake (Photo by Licurici, June 2018)



**Fig. 5** Gruia lake (Photo by Licurici, July 2009)

- Blahnița, ROSPA0011;
- Dunărea la Gârla Mare—Maglavit, ROSCI0299 (Fig. 5);
- Gruia—Gârla Mare, ROSPA0046;
- Ciuperceni—Desa, ROSCI0039) (see Fig. 2).

There are some differences in the values attributed to wetlands by the local population, as compared to those of the scientific community [55]. In spite of the potential to establish a synergetic relationship between community and preservation, within

the protected areas there are often conflicts between local economic interests and biodiversity conservation [56, 57].

### ***3.3 Overall Context of Hydrological and Land Use Changes. Floodplain Restoration***

Although there is a wide appreciation of the ecosystem services provided by floodplains and their vital role in riverine landscapes, there have been dramatic losses of floodplain habitat due to land reclamation and channel engineering, resulting in a functional degradation of these systems worldwide [2]. The reduction and degradation of floodplain systems has diminished their capacity for water retention, thus enhancing flood risks, while other key floodplain functions and services, such as groundwater replenishment, nutrient storage and water purification have also declined in effectiveness [58]. These problems are particularly important for the Danube Floodplain.

In Romania, following the major social, economic and political shift that all South-Eastern countries have undergone after 1990, there has also been a significant change in the perspective of ecological management of its fragile ecosystems, such as those in the Danube Floodplain.

During the communist period, the Danube Floodplain sector comprised between Drobeta-Turnu Severin and Bechet was fundamentally impacted by the hydro-ameliorative extensive works of drainage and damming, especially between 1960–1980. Since the main motivation was an economic one, the management and decisional role exclusively pertained at that time to the central public administration, without the population having a consultative or decision-making role of any kind, except for the specialists working in the institutions involved in scientific research targeting this particular area. While the protection of lakes and wetlands belonging to the Danube Floodplain has become a priority in the context of necessary compliance with the European standards, the decisional aspect has been significantly improved in recent decades, but a consensus between the existing stakeholders still fails to emerge [53].

Hence, the increasing demands for extending settlements and agricultural lands have resulted in large-scale river regulation measures for flood protection. According to ICPDR [59], about 39% or 1,111 river km of the entire Danube are impounded by a total of 78 dams. As a result, more than 68% of the active floodplains of the Danube River, which are in frequent exchange with the main river channel, have already been lost, yet an important part of this active floodplain surfaces resides within the Lower Danube, in Romania. Ecological restoration of the floodplain area and economic interests of the local population has to come to terms.

According to international research studies [2], the potential area for floodplain restoration based on land use and hydro-morphological characteristics amounts to 8102 sq km for the whole Danube River, of which estimated 75% have a high



restoration potential. The problem is represented by the fact that floodplain restoration is profoundly limited by stakeholders' needs, education level and awareness, acceptance and resource availability.

Since the Romanian South-Western sector of the Danube Floodplain has traditionally been known for its sandy soils and droughty climate, while being a subject area for intense human interventions, several research studies suggested that the aquatic areas of the lakes, main watercourses and wetlands within the Danube Floodplain have suffered one of the most unfavourable dynamics during the last decades. Thus, several anthropogenic changes associated with land use dynamics negatively impacted and supplementary contributed to aridization and ecological destabilization of the Danube floodplain: changes in property type and excessive fragmentation of agricultural land, predominance of subsistence individual farms, poor development of mechanization and fertilization, abandonment or destruction of the irrigation systems, deforestation, a significant increase in built-up and agricultural areas such as pastures and natural hay-field areas extended to the detriment of arable land, orchards or currently abandoned vineyards considered due to poor maintenance and low productivity [60, 61]. Moreover, further negative consequences are nowadays induced by the impact of frequent extreme climatic phenomena. Several recent research studies monitoring the hydro-morphological parameters of the floodplain lakes suggested that overall ecological conditions of the lakes are negatively impacted during recent years so appropriate measures should contribute to restoring the natural hydrological balance in the area [62–64]). In this respect, both aggressive anthropic activities that substantially changed the regime of the Danube Floodplain (the Danube dams—Iron Gates I and II, interior channels within the floodplain) and the negative consequences of more and more visible climatic changes, with a local component of rather frequent periods of prolonged drought, all contributed to the critical situation in matters of hydrological stability and ecological sustainability [65–67].

Nevertheless, restoration is often faced with conflicting socio-economic demands regarding the use of both the river channel and its floodplains. Settlements, agriculture, forestry, hydropower generation, navigation, the economic development of the catchment, but also nature protection are among the interests that commonly oppose floodplains being allowed to regain their natural flooding dynamics [68, 69]. As a consequence, project managers have to deal with various stakeholders and institutions of different legal status, originating in different sectors and, thus, often with differing and sometimes conflicting priorities. Tackling these problems may not only delay, but more often restrict or even prevent large-scale restoration measures in floodplains. However, traditional river engineering measures for providing adequate fairway parameters, such as dredging, diking or damming, are incompatible with the conservation or restoration of ecologically sensitive river stretches and floodplains [2].

Unfortunately, contemporary socio-demographical risks such as population ageing, structural changes in the workforce, the migration of the active population, as well as cultural and economic disruptions create a dominant impression in the studied sector and further contribute to delaying sustainable development of the

Danube Floodplain. As stated in the following, given the current ecological status of the ecosystem, direct involvement of stakeholders is still low due to lack of information and insufficient understanding of long-term benefits that could derive as a result of aquatic ecosystems sustainable development.

Given this context, freshwater management should no longer be just about responding to the adverse consequences and implications of quasi-present climate changes, but more about identifying solutions, opportunities and mechanisms to mitigate source problems and at the same time, to contribute to the well-being, safety and long-term resilience and development of riparian communities.

Due to the biological diversity of the lacustrine protected area, and to the spatial-temporal evolution of aquatic surfaces within the Romanian Danube Floodplain, we considered necessary a stakeholder analysis at local and regional level in order to establish their involvement and environmental sustainability.

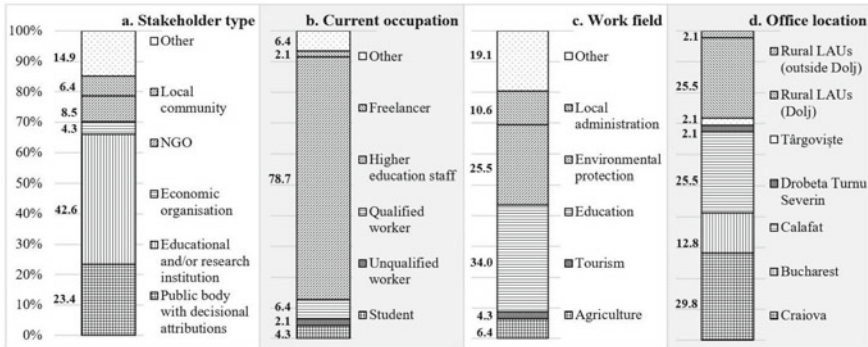
## **4 A Survey on Stakeholders' Interests and Participation in the Sustainable Use of the Lower Danube Floodplain Lakes, in Drobeta-Turnu Severin—Bechet Sector**

### ***4.1 Profile of Selected Stakeholders***

The identification of the most significant stakeholder types for the present study started from a broad documentation concerning the persons, groups and institutions with particular experience and interests in the Danube Floodplain and particularly in the floodplain lakes; these stakeholders could influence the interventions within the area or are directly influenced by such implemented decisions [8]. The stakeholder identification and analysis represent a useful approach to assess the stakes of interested participants in a system in more detail [7, 27].

For the present study, the sample of respondents is represented by 47 persons who fall into four major classes of stakeholders with various motivations, interests, perspectives and opportunities to influence the sustainable use of the lakes in the Danube Floodplain. Starting from the *quadruple helix* approach [41, 42, 70], the interviewees were included in the following areas of interest and influence: public institutions with decision/administrative functions (23.4% of the total respondents), education and/or research institutions (42.6%), organizations with economic profile (4.3%) and civil society (14.9%) (Fig. 6). A distinct category (14.9%) groups occasional users of floodplain resources and representatives of other institutions with tangential interests in the study area, as well as institutions that issue or disseminate important information for the sustainable capitalization of lakes within the study area (Oltenia Regional Meteorological Center, Oltenia Museum in Craiova, etc.).

The first category includes representatives of the institutions that hold competence and territorial jurisdiction within the study area, being characterized as directly interested stakeholders, with significant decision-making power (authorities of the



**Fig. 6** The main characteristics of stakeholders' categorization. *Note* Due to rounding, some totals are slightly different from 100%

local administrative units/LAU2 located along the Danube, the National Agency of Natural Protected Areas, the National Administration *Romanian Waters* - ABA Jiu). The second category encompasses knowledge providers, being dominated by professionals from schools and universities, research centres and institutes that conduct their activities near the study area or have carried out projects concerning the floodplain (local schools, the Universities of Craiova, Bucharest, and Târgoviște, the Geography Institute of the Romanian Academy). Although it has no decision-making power, this category plays a very important part in developing and supplying information and models for sustainable development and, thus, it should be regularly involved and consulted. The last two categories include representatives of economic bodies with agricultural and fishery profile, as well as members of local communities and representatives of NGOs with activities in the study area. Through the activities they conduct, these categories can significantly influence the ecological state of the lakes within the Danube Floodplain, which means that raising their level of information, motivation and involvement in decision-making can have beneficial influences for sustainable development.

Because in addition to the institutional framework, the level of information and individual motivation for involvement in the sustainable development of the area can be significantly influenced by some socio-demographic characteristics, the sample structure included respondents from 19 to 70 years of age; although diverse, the sample is dominated by the 40–50 years contingent, which accounts for 42.6% of the total and is represented by active people, with experience in their fields of activity. Most of the selected persons have completed their university or postgraduate education (53.2% and, respectively, 36.2%), which is also reflected in their occupational profile dominated by higher education staff (78.7%) and skilled workers (6.4%). A drawback related to the sample on which the survey was conducted concerns the relatively low participation of respondents from local authorities and members of

local communities (which are direct beneficiaries in the process of sustainable capitalization of lakes), as compared to other stakeholders (the academic or research community, for example).

## **4.2 General Framework of the Survey**

### **4.2.1 Issues Addressed by the Questionnaire**

In spite of the existing debate regarding the relative usefulness of data-gathering through interviews [71], some qualitative information cannot be gathered in any other way [34]. The subjective perspective gained during the interviews proved very important here to better understand the factors and considerations underlying the management of the study area.

The present study is based on a survey that involved the most important types of stakeholders with various interests in the Danube Floodplain, the Drobeta-Turnu Severin - Bechet sector, respectively. The survey was conducted by using a standardized questionnaire to test the degree of knowledge and participation in the sustainable capitalization of lakes within the case study area. The questionnaire was applied online, between August and October 2020, to a number of 47 respondents.

The questionnaire included a series of 25 questions, 18 of which specifically dealt with the above-mentioned issue, representing the core of the survey, while 7 questions aimed at better understanding the profile of the stakeholders who participated in the study. The core questions were designed to initially assess the general level of knowledge concerning the current state of the lakes in the study area, the main possibilities for information and the most important features of the relationships and possible collaborations between different actors interested in using these lakes. The second section of the questionnaire concerns the institutional or personal experience in activities that included the lakes in the area, as well as the perceived effects of these activities. The last section of the questionnaire involves certain qualitative assessments on lake dynamics, capitalization and management, requesting information on the most important obstacles, as well as opportunities perceived by respondents in relation to the sustainable use of the lakes within the analysed Danube Floodplain sector.

### **4.2.2 Types of Questions**

Four main approaches were used for the design of the questions situated at the core of the survey, so that, on the one hand, the answers could clearly highlight the opinions and values of the respondents, and, on the other hand, the method would correlate with a low rejection rate. Besides the questions characterized by closed answer (nine grids with a single allowed answer and a grid with multiple possible options, respectively), there are also three questions with free answer requirement regarding particular

examples of information concerning sustainably capitalized lakes or water bodies degraded as a result of unsustainable practices, as well as regarding the type and degree of personal involvement. Given the diversity of stakeholders and of their interests, which are sometimes contradictory, during the last part of the questionnaire the subjects were asked to assess the most important issues that prevent the sustainable capitalization of lakes in the case study area.

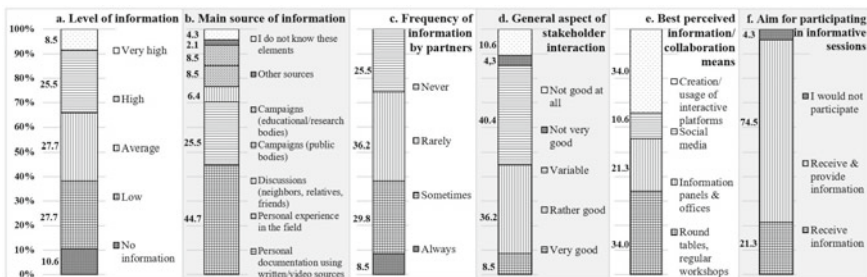
In order to obtain multiple evaluations from the respondents, alongside with the optimization of the questionnaire, two scale questions and three evaluation matrix questions were included.

### 4.3 Analysis of the Results

#### 4.3.1 Information Level and Knowledge Opportunities for Stakeholders

Only a third of the respondents (namely 34% of the total) consider themselves highly informed about the state and management of lakes in the Danube Floodplain, Drobeta-Turnu Severin - Bechet sector, the dominant share being held by people not at all informed or with little knowledge about the matter (38.4%). From the viewpoint of the respondents involved in the survey, the most significant manners of information are represented by personal theoretical documentation, using written and/or video sources (44.7%), or by direct knowledge acquisition within the study area (25.5%) (Fig. 7).

In this framework, the irregular character of the collaboration between the stakeholders interested in the sustainable capitalization of the lakes within the studied area is outlined, given the fact that even knowledge dissemination between different institutional and/or social partners rarely takes place or does not take place at all (the two categories mentioned accounting for 61.7% of the total responses). However, there is interest in the use of participatory information formulas at stakeholder level, with



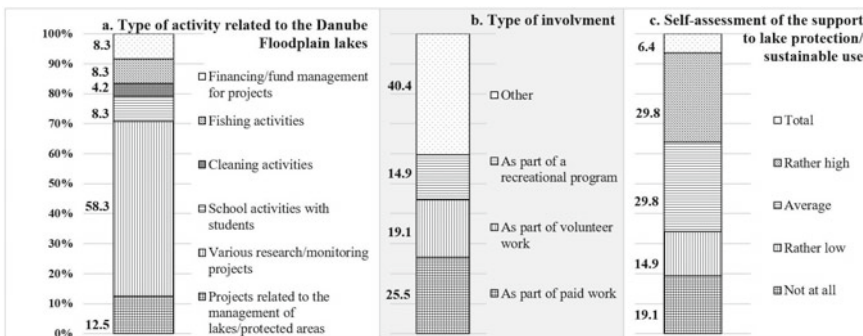
**Fig. 7** Aspects regarding stakeholders' knowledge on the current state and sustainable management of lakes in the Danube Floodplain. *Note* Due to rounding, some totals are slightly different from 100%

the survey highlighting both the importance of traditional means, such as regular workshops/round tables (34% of the responses), and the use of less conventional means, such as specialized interactive platforms (34%). 74.5% of the respondents stated that they would participate in briefings and debates on the current state and management of the lakes within the Danube Floodplain, being motivated both to receive and to contribute information, while only 21.3% of them would like only to be informed, and 4.3% would not participate.

### 4.3.2 Stakeholders' Participation

In addition to a sound scientific background, the process of making the most appropriate integrated decisions regarding the sustainable management of the lakes in the Danube Floodplain—part of a complex natural and anthropogenic mosaic—requires the experience and knowledge of stakeholders directly involved in local activities. About half of the participants in the survey (i.e. 51.1%) share this characteristic, the manifold types of activities in which they have been involved emphasizing, on the one hand, the diversity of actors with interests in the area, and, on the other hand, the complexity of the relationships between factors that must be considered for the sustainable management of the area in general and of the floodplain lakes in particular.

The activities directly related to the management of lakes and protected areas within the study area account for 12.5% of the responses offered by the involved stakeholders (Fig. 8), to which is added a significant volume of interdisciplinary research, often accompanied by monitoring activities (58.3%). The manifold areas of expertise characteristic to stakeholders that might be involved in decision-making on this area is underlined by the types of studies they have conducted, weather specialized (addressing hydrological analyses and impact assessments of hydrotechnical constructions, research—monitoring of floodplain fauna, especially of the avifauna for which the lakes play an important part, studies on the meteorological-climatic



**Fig. 8** Stakeholders' participation in activities involving lakes in the Danube Floodplain. *Note* Due to rounding, some totals are slightly different from 100%

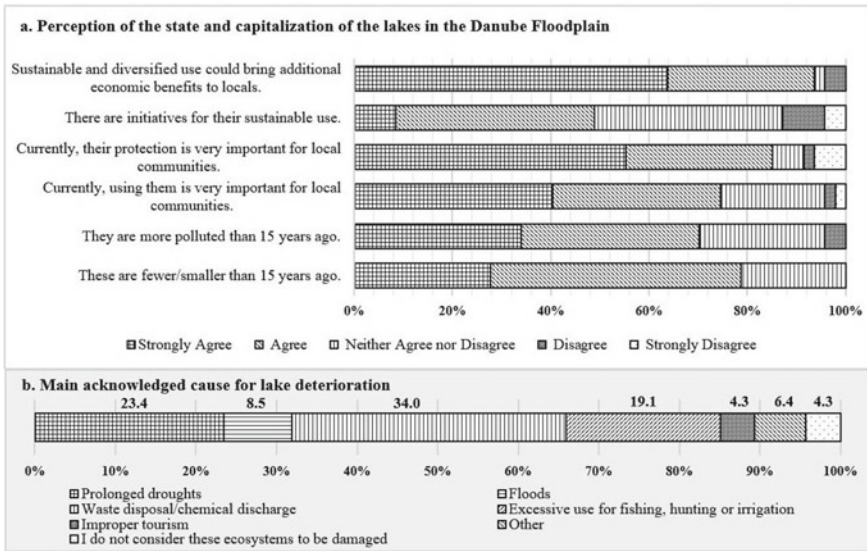
parameters, etc.), but especially the integrative ones, which aimed at evaluations of the ecosystem services, studies on the possibilities for wetland restoration and biodiversity conservation within the Danube Floodplain, or on the mitigation of the effects induced by natural and anthropogenic hazards in an area with significant natural potential, but marked by socio-economic vulnerabilities. Stakeholders' interest in this area is highlighted both by the typological diversity of activities conducted in the area and by the voluntary involvement of respondents (34% of them stated that they included the lakes in the Danube Floodplain in their volunteer or recreational programs) (Fig. 8).

Although smaller individual projects can have positive effects on the state of the lakes within the study area (36.2% of respondents consider that they have fully or largely managed to support their protection and sustainable capitalization), there is also perceived a rather high failure rate associated with these activities (19.1% of the answers pointing to a total lack of support towards lake protection). Capitalizing on the expertise supplied by research and education stakeholders, as well as considering the interests of civil society stakeholders in the decision-making process and implemented projects, along with monitoring the effects of these decisions could help reduce the failure rate. This outcome could be attained as public participation affords stakeholders the opportunity to influence decisions that affect their lives and it could explain one of the reasons why, when soundly implemented as a process and not as a single event, it becomes dependable and increasingly important in environmental decision-making [3, 27].

### **4.3.3 Stakeholders' Perspectives on the Sustainable Capitalization of Lakes**

In order to highlight stakeholders' perception on the dynamics and current state of the lakes in the studied area of the Danube Floodplain, as well as on the main factors influencing the possibilities of sustainable capitalization, both evaluation scales and questions with open answer were used.

The analysis of the answers provided during survey highlights the significant agreement on the negative dynamics of the floodplain lakes over the last two decades, both in terms of extension (78.7% of the respondents agreeing or fully agreeing on this issue) and from the viewpoint of an increased pollution level (70.2% of the respondents) (Fig. 9). Under these conditions, the main acknowledged causes for lake deterioration are primarily anthropogenic (57.4% of the interviewed stakeholders considering the declining state of the lakes as a consequence of illegal waste disposal and/or chemical spills, of the excessive use of local resources and of the inappropriate tourism practices) or complex (a combination of natural and anthropogenic factors is highlighted by 31.9% of respondents as triggers of prolonged droughts and floods that negatively affect the state of these lake ecosystems). Moreover, lake degradation is perceived as an important endangerment factor for certain wildlife species and attracts significant losses in ecosystem services.



**Fig. 9** Aspects of stakeholders' perception related to past and present state of the Danube Floodplain lakes

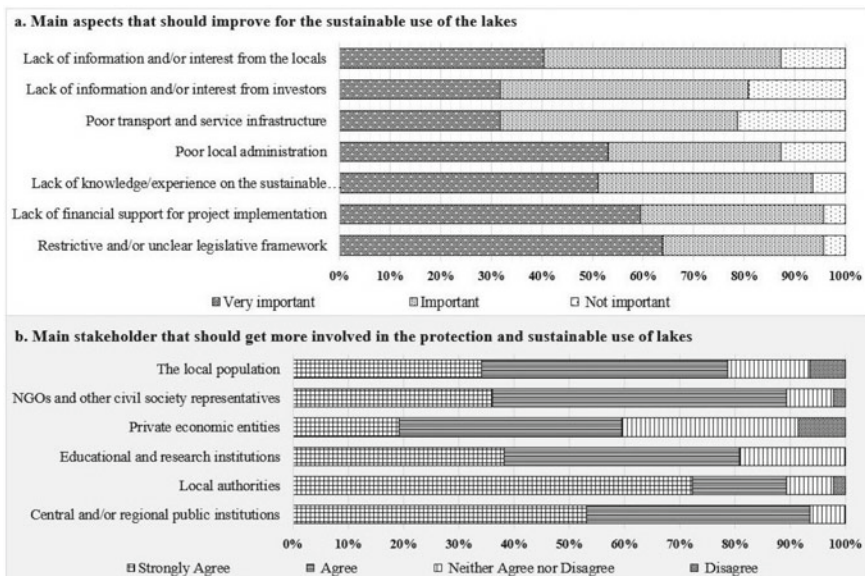
A significant part of the respondents perceives lake degradation as a complex result of the increasing anthropogenic pressure on the floodplain environment, but also of a poor management in the absence of a coherent, integrative, properly financeable strategy and of adequate informing of stakeholders with local interests. Although there is an almost general agreement that the sustainable and diversified capitalization of the floodplain lakes could bring additional economic benefits to local communities (93.6% of responses), less than half of the respondents agree that there are current initiatives to trigger this outcome (Fig. 9). The examples provided by respondents on sustainably capitalized or, on the contrary, degraded lakes suggest that the mere inclusion of these water bodies in the European Ecological Network Natura 2000—although recognized—is not enough, requiring, among other things, managerial flexibility in the selection of developed and implemented measures, depending on the specific local conditions of each lake and supported by sound knowledge (which can be achieved based on the expertise and information supplied by different stakeholders).

The diversity of opinions on the future directions for sustainable capitalization of the lakes—and, in a broader sense, of the Danube Floodplain—highlights the importance of informing and co-involving stakeholders with different capabilities and interests in the area so that the decisions made for the restoration or conservation of the natural capital could balance those concerning the development and diversification of economic capitalization for the benefit of local communities. In this framework, the main direction acknowledged by 40.4% of the respondents regards the rehabilitation activities, the adequate restoration of connectivity between river



and floodplain, as it would have multiple environmental and socio-economic benefits (recovery of specific floodplain habitats, restoration of aquifers, flood risk mitigation, etc.). An important direction for the sustainable development of the region concerns the capitalization of the tourist potential of the floodplain lakes (14.9% of the respondents). On the other hand, the fact that an identical part of the answers suggests the necessity of conservative measures put in place for the aquatic biodiversity, as well as the recognition of improper tourism as a contributor to lake deterioration and stress on the aquatic bird species within the area underline that stakeholders need to be bought at the same discussion table in order to obtain a coherent and realistic management perspective. As the use of the natural capital belonging the floodplain is conducted by different stakeholders, its protection should also be multidirectional, a context in which disseminating knowledge and raising awareness and interest in the local communities play a significant part, as recognized by 29.8% of respondents.

The main problematic issues highlighted as priorities for a sustainable management of floodplain lakes require the involvement of stakeholders with different profiles and fields of activity, as their solving is not possible unidirectionally. Thus, although about 90% of respondents agree or strongly agree that there should be a greater involvement of public institutions (weather local, regional, or central ones), with decision-making power, in the sustainable capitalization of lakes (Fig. 10), this type of stakeholder does not always have the necessary resources or expertise for developing the best strategies. Local and regional decision-makers could also play an important part as coordinators of multi-stakeholder activities aimed at clarifying



**Fig. 10** Stakeholders' perspectives on the future state of the Danube Floodplain lakes—protection and sustainable capitalization

the legislative framework, outlining a solid, up-to-date database on local natural and human resources, as well as flows between them, defining a coherent strategy, with concrete financing possibilities, taking over, developing and communicating the most suitable examples of good practice for the local communities in a form adapted to their particular conditions, etc.

The analysis of the answers obtained by applying the present questionnaire confirms the diversity of stakeholder profiles with interests in the researched Danube Floodplain, of their perspectives on the area, and the fact that, in this framework, the development of final decisions only by the authorities is not beneficial. The study suggests that there should be a frequent multi-stakeholder participatory communication, which is as important as obtaining information [27, 72]. In this manner, decisions will have wider support, while reiterated participatory communication during their implementation will require feedback from final beneficiaries (representatives of the civil society, for example) and managerial flexibility.

#### ***4.4 Discussion and Recommendation***

The analysis of stakeholders' interests and participation in the sustainable use of the lakes along the Danube Floodplain has a restrictive part, namely the online research was conducted in the identification process. Through the use of the survey and document analysis the number of internal stakeholders interviewed was limited. For example, difficulties were encountered in the willingness of local authorities to respond to the questionnaire. In this sense, certain limitations of the research were induced by the fact that the local authorities or other local stakeholders are not used to communicate electronically; as such, in multiple cases, either no email address is publicly available or the completion of the questionnaire was delayed. In the case of the economic sector and the regional authorities, there were difficulties in communication and completion of the questionnaire because of the conflict of interests regarding the custody of protected areas and implicitly their management.

The opportunity to further interview stakeholders after or during the completion of the questionnaire and to add a face-to-face component of the survey was hindered by the medical context of 2020. In the future, interviews should be conducted with a wider range of stakeholders, including those at different institutional levels, from the local municipality to regional decision-makers.

Nevertheless, the answers provided by the participants in the present survey confirm the authors' judgement regarding the negative dynamics of the lakes over the last two decades, both in terms of the extent and increase of pollution levels. The main cause recognized for the degradation of lakes within the Danube Floodplain, Drobeta-Turnu Severin—Bechet sector, is represented by the increase of anthropogenic presence, to which is added the faulty management in the context of Natura 2000 European Ecological Network and of a lacking coherent strategy. The answers to the last section of the survey, namely the information provided by stakeholders on their relationships and on the most important obstacles and opportunities perceived

in the sustainable capitalization of lakes within the study sector of the Danube Floodplain, surprised and raised some questions. Thus, most respondents agree or strongly agree that there should be greater involvement of public institutions in the sustainable use of lakes.

Starting from these responses provided by stakeholders, it is recommended to organize on-site discussions with the active participation of public authorities, administrators of protected areas and landowners or persons/groups that conduct activities impacting the lakes. Moreover, an online platform can offer the possibility to all interested stakeholders to express their opinions supported by arguments. The development and use of such a platform are all the more important as it often happens that protected area administrators or locals in the analysed areas know more about the real situation than specialists from universities, museums, institutes of research, etc.

## 5 Conclusion

The choice of the approached issue started from the premise that stakeholder input and involvement may facilitate the introduction and implementation of European sustainability standards within the management plans of the lacustrine protected areas located in the Danube Floodplain. Stakeholders' classification and selection (public institutions with decision/administrative functions, education and/or research institutions, organizations with economic profile and civil society, as well as other institutions with tangential interests in the study area) was an important first step in establishing the degree of involvement and the role in the sustainable management of lakes within the Danube Floodplain, the Drobeta-Turnu Severin - Bechet sector. The qualitative analysis and the quantitative evaluation of the stakeholders were corroborated according to the field literature through the standardized questionnaire, which aimed at testing the degree of awareness and involvement in the sustainable use of the lakes in the case study area.

The relatively low participation of the respondents from local authorities and of local community members, as compared to other stakeholders, is suggestive because the results of the analysis show that the two types of stakeholders should play more active and significant parts in participatory communication and decision-making. The actions must be scientifically substantiated but, in the framework of possible gaps between theory and practice, it is necessary to involve direct beneficiaries, who can provide permanent feedback on local particularities.

The questions clarified aspects regarding the initial assessment of the general knowledge concerning the current lake status within the study area, the institutional or personal experience, and the qualitative assessments on lake dynamics, capitalization and management. The results confirmed the diversity of profiles associated with stakeholders within the Danube Floodplain, their perspectives on the sector under study, as well as the fact that, in this framework, the development of final decisions only by the authorities is not beneficial. Overall, the research ascertains the need for participatory multi-stakeholder communication for the sustainable use of the Lower

Danube Floodplain lakes. Thus, in order to continue the participatory communication during the implementation of future decisions, it is proposed to encourage and ensure feedback provision from the final beneficiaries (for example, from the representatives of civil society).

Finally, the study underlines the need to provide the local and regional public institutions with decision-making power with a clearer legislative framework corresponding to an adaptive management, in addition to material resources and expertise in decision-making.

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