Chapter 2 Synovial Structure and Physiology in Health and Disease

Carla R. Scanzello

Introduction

The synovium is a connective tissue that lines the cavity of articular joints. It lies just beneath the fbrous joint capsule, and extends to the bone-cartilage interface without encroaching on articular cartilage (Fig. [2.1a](#page-1-0))**.** The tissue of the synovium is generally separated into two regions: a superfcial lining layer (intima) which is one to three cell layers thick and faces the joint space, and a sublining layer (subintima) which contains blood vessels, lymphatics, and nerves (Fig. [2.1b\)](#page-1-0)**,** and a variable amount of adipose tissue [\[3](#page-10-0)]. The subintima eventually transitions to the denser, more fbrous joint capsule. The appearance of the subintimal layer can vary even within the same joint; three basic patterns have been identifed: areolar, fbrous, and fatty [[4\]](#page-10-1). Areolar synovium is characterized by loose subintimal connective tissue and is highly vascular; fbrous synovium is denser and poorly vascularized, and fatty synovium has a higher proportion of adipocytes in the sublining.

One of the unique features of the synovium is that the lining and sublining layers are not separated by an organized basement membrane as in other barrier tissues throughout the body (i.e., epithelial linings such as pleura). Although many of the molecular components of basement membranes are still found in the synovial extracellular matrix (including perlecan, fbronectin, and laminin) [[5\]](#page-10-2), it is more loosely organized. This lack of a structured, basement membrane makes the lining semipermeable to many molecular species, allowing for fltration of plasma components which comprise a portion of the synovial fuid that bathes the joint space. Still, the

C. R. Scanzello (\boxtimes)

Division of Rheumatology, University of Pennsylvania Perelman School of Medicine, Philadelphia, PA, USA

Michael J. Crescenz VA Medical Center, Philadelphia, PA, USA e-mail: [cscanz@pennmedicine.upenn.edu;](mailto:cscanz@pennmedicine.upenn.edu) carla.scanzello@va.gov

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B. F. Mandell (ed.), *Synovial Fluid Analysis and The Evaluation of Patients With Arthritis*, [https://doi.org/10.1007/978-3-030-99612-3_2](https://doi.org/10.1007/978-3-030-99612-3_2#DOI)

Fig. 2.1 (**a**) A normal human interphalangeal joint, in sagittal section, as an example of a synovial joint. The location of the synovium, facing the joint space and attaching to the inner surface of the joint capsule and the outer surface of the bone up to the bone/cartilage junction, is shown. (Reused with permission from Sokoloff and Bland [\[1\]](#page-10-4). Copyright 1975 the Williams & Wilkins Co, Baltimore.) (**b**) Photomicrograph of a thin section of normal human synovial tissue (H&E, 10X). The thin (1–2 cell layer) synovial lining layer is at the top of the section, which lines the loose connective tissue of the sublining layer below it. Varying degrees of adipose (present in the lower part of the frame) can be seen within the sublining layer. (Reused with permission from Gravallese, et al. [\[2\]](#page-10-5)*.* Copyright 2021 Elsevier, Philadelphia)

lining and sublining layers have distinct functions [[4\]](#page-10-1). Cells of the intima (synoviocytes) are responsible for production of synovial fuid that provides nutrition for the articular cartilage and lubricates the articular surfaces. In addition, intimal cells protect the joint from infammatory damage by providing a barrier that prevents cellular extravasation, and by clearing debris. The vasculature and lymphatics of the subintima allow trafficking of substances into and out of the joint space [\[3](#page-10-0)]. These functions are critical for the maintenance of joint health throughout our lifespan. This chapter will provide an overview of the structure and function of synovial membrane and its cellular components, and review pathologic changes to the synovium seen in common rheumatologic diseases that compromise its normal function.

Synovial Intima

Synoviocytes that comprise the intimal layer are of two main types: type A synoviocytes which are macrophages of hematopoietic origin, and type B synoviocytes which are fibroblasts of mesenchymal origin (Fig. [2.2a](#page-2-0)). Early electron microscopy studies of the synovium [[8\]](#page-10-3) led to the identifcation of these cell types and demonstrated that type A synoviocytes contain vacuoles, a prominent Golgi apparatus, and flopodia, but they have little rough endoplasmic reticulum. In contrast, type B

Fig. 2.2 (**a**) Transmission electron microscopy of monkey synovial lining cells. **"**A" indicates a Type A synoviocyte (macrophage) on the lining surface with many processes, vacuoles, and dense bodies. **"**B" indicates a deeper type B synoviocyte (fbroblast) which has more rough endoplasmic reticulum. "C" indicates collagen bundles in the matrix. A small superfcial vessel occupies the bottom of the Fig. 9000X. (Reused with permission from Schumacher [[6](#page-10-8)]. Copyright 1975 Association for Clinical Scientists.) (**b**) Origin and phenotypic characteristics of synovial lining macrophages. Resident CX_3CR1^+ lining macrophages and RELM α^+ sublining macrophages are replenished throughout adulthood by a resident interstitial macrophage that resides in the sublining. (Reused with permission from Culemann, et al. [[7](#page-10-9)]. Copyright 2019 Elsevier, Philadelphia)

synoviocytes contain fewer vacuoles and abundant rough endoplasmic reticulum, suggesting an important function in protein synthesis. Type A cells express typical macrophage markers, including nonspecifc esterase and CD68, while type B cells express high levels of uridine diphosphoglucose dehydrogenase (UDPGD, an enzyme involved in hyaluronic acid synthesis) and CD55. In the normal, healthy state, type B (fbroblast-like) cells predominate while type A cells make up about 10–20% of the lining cells.

Type B Synoviocytes

The developmental origin of the synovium, and specifcally fbroblast-like synoviocytes, remained a mystery until recently, when several groups demonstrated that synovial tissue develops early during embryogenesis from the same mesenchymal precursor cells that give rise to other joint tissues including cartilage, bone, meniscus, and ligaments [[9–](#page-10-6)[11\]](#page-10-7). These cells, identifed by expression of growth differentiation factor-5 (GDF-5) condense to form a lining layer. This is facilitated by expression of cadherin-11, a key adhesion molecule that mediates adherens junction formation and regulates the formation of the synovial lining during development [\[12](#page-11-0), [13\]](#page-11-1). As mentioned above, these cells are rich in endoplasmic reticulum suggesting a synthetic function, and they produce synovial extracellular matrix components including collagen type 1, fbronectin, and proteoglycans. In addition, type B lining cells express UDPGD, hyaluronate synthetase 1 (HAS1), and proteoglycan 4 (PRG4) which encodes lubricin. They are the main producers of synovial fuid lubricin and hyaluronic acid which together provide boundary lubrication of cartilage [\[14](#page-11-2)] and shock absorption [[15\]](#page-11-3), allowing friction-free and pain-free motion of the joint during activity. Lubricin also prevents pathologic deposition of protein onto the surface of articular cartilage.

In addition to these important synthetic functions, type B synoviocytes have phagocytic capacity and the ability to present antigens, and thus may act as "immune sentinels" poised to activate immune responses when needed. They express decayaccelerating factor (CD55) [[16\]](#page-11-4) which inactivates intermediates of the complement cascade, suggesting an immunoregulatory function. However, under infammatory conditions, these cells can produce large amounts of metalloproteinases, infammatory cytokines, and molecules involved in activation of osteoclasts (i.e., RANKL), contributing to pathology throughout the joint. Heterogeneity in synovial fbroblast cadherin-11 expression can contribute to the invasiveness of the synovium at the pannus-cartilage interface in rheumatoid arthritis [\[12](#page-11-0), [17](#page-11-5)]. Croft et al. [\[18](#page-11-6)] showed that CD90− synovial lining fbroblasts expressed FAPα (fbroblast activation protein- α), and through adoptive transfer demonstrated that these cells mediated bone and cartilage destruction in a murine model of infammatory arthritis. Epigenetic changes to synovial fbroblasts have been implicated in modulating a change from an immunoregulatory role in health, to an active pathogenic role in chronic arthritis.

Type A Synoviocytes

Synovial intimal macrophages express high levels of the fractalkine receptor $CX₃CR1$ [\[19](#page-11-7)], and scavenger receptors such as CD163 and MERTK [[20\]](#page-11-8) which contribute to phagocytic capacity. This feature allows them to participate in clearing debris and dying cells from the joint space. Although the synovial lining is not a true epithelial barrier, synovial lining macrophages have unique features which allow them to maintain a barrier between the joint space and the sublining capillaries. Specifcally, they attach to neighboring cells through desmosomes and tight junctions [\[19](#page-11-7)], allowing the lining to maintain the joint space as an immune-privileged site in the healthy state by limiting cellular movement into the joint. In joint disease, this barrier function can become compromised, allowing an infux of infammatory leukocytes into the joint space and synovial fuid that compromises the integrity of other joint tissues.

Synovial Subintima

Lining vs. Sublining Macrophages

Both the lining and sublining regions contain resident populations of macrophages that are critical to maintaining joint health. The op/op osteopetrotic mouse, which is defcient in macrophages because of an absence of macrophage colony-stimulating factor, also lacks synovial lining macrophages [\[21](#page-11-9)], suggesting that type A cells share a common lineage with other tissue macrophages. For many years it was assumed that both lining and sublining macrophages were derived from monocyte precursors from the bone marrow and were replenished from the circulation throughout adulthood. But several new fndings have advanced our understanding of synovial macrophage origins and function. In 2019, two reports demonstrated that $CX₃CR1⁺$ embryonic macrophages (ESMs) begin to populate the developing synovium in the mouse early, between day E12.5 and E15 [[19,](#page-11-7) [22\]](#page-11-10). In contrast, bone-marrow-derived synovial macrophages (BMSMs), characterized by expression of CD11b and Ly6c, are not observed until later, after day 19. Most synovial resident macrophages in the adult mouse appear to be of embryonic origin and express the cytokines IL-4 and IL-10 consistent with an immune regulatory role, while BMSMs preferentially express M1 pro-inflammatory type cytokines such as IL-1β and TNF α [[22\]](#page-11-10). Resident macrophages of the lining (type A synoviocytes) are continuously replenished throughout adulthood from a pool of proliferating MHCII+ sublining resident macrophages, and not from circulating bone-marrow-derived monocytes. The same MHCII⁺ sublining precursors that give rise to the lining macrophages (type A synoviocytes) also give rise to a population of CX3CR1− resident sublining macrophages (Fig. [2.2b](#page-2-0)). These resident macrophages express resistinlike molecule- α (RELM α) and CD163, associated with alternatively activated (M2) macrophages, and they may be important in limiting pathologic synovial infammation. The function of synovial macrophages is infuenced both by their origin (embryonic vs. bone-marrow/circulation) and their spatial location within the synovium (lining vs. sublining). The specifc mechanisms that regulate the differentiation and function of macrophage populations in human disease need further investigation, to determine if specifc subgroups can be targeted for therapy.

Subintimal Stromal Cells

The sublining stroma is a highly variable loose connective tissue characterized by a loose collagenous matrix, and populated by fbroblasts which produce the matrix. Mizoguchi et al. [\[17](#page-11-5)] identifed three distinct populations of human synovial fbroblasts, using cell-surface markers and transcriptomics. In addition to the CD90−CD34− lining fbroblasts previously described, they found a population of sublining CD90+CD34− cells that surround blood vessels and capillaries. This population highly expressed RANKL, and promoted osteoclastogenesis in vitro suggesting a role in synovial bone erosion. A third CD34+ fbroblast subset was found to be distributed in the lining and sublining, and in contrast to CD34− cells, secreted IL-6, CXCL12, and CCL2 in response to TNF α . Thus, this population may promote cellular recruitment driving synovitis. These distinct populations have relevance to OA as well as infammatory arthritis, as OA lining fbroblasts (CD90−CD34−) correlated with synovial macrophage content, and sublining (CD90⁺CD34⁺) fibroblasts correlated with synovial T-cell content [\[23](#page-11-11)]. Clearly, there is phenotypic heterogeneity of synovial fbroblasts, and single-cell sequencing showed that there was a continuum of fbroblast phenotypes between the synovial sublining and lining [[24\]](#page-11-12) suggesting a plasticity in phenotype that needs further elucidation.

Consistent with the common origin of synovium and other joint tissues [\[9](#page-10-6), [11\]](#page-10-7), the synovial stroma is a rich source of mesenchymal stem cells (MSCs) which can give rise to different cell lineages, including chondrocytes, osteoblasts, and adipocytes. Synovial-derived MSCs have a high chondrogenic potential compared to other tissue sources of MSCs [\[10](#page-10-10)], consistent with older reports of the importance of synovium in cartilage repair [[25\]](#page-11-13). Bone-marrow (BM) and adipose-derived MSCs have been shown to have potent immunosuppressive effects in vitro on T-lymphocyte responses, and augment T regulatory cell development [[26\]](#page-11-14). The effects on T cells may be due to both cytokine production [[27\]](#page-11-15) and mechanisms requiring cell-contact [\[28](#page-11-16)], but more work is needed evaluating mechanisms specifcally in synovialderived MSCs. MSCs in synovium increase in arthritis [\[29](#page-11-17), [30\]](#page-11-18), and animal models of joint tissue injury, due to both infltration from BM sources and local proliferation of resident populations [[31\]](#page-11-19). CD271+ MSCs expanded in arthritis may become pathogenic and lose their immunosuppressive functions [\[29](#page-11-17), [32\]](#page-12-0). Whether the immunomodulatory function of synovial MSCs can be harnessed for cell-based arthritis therapeutics is under investigation by several groups (reviewed in [[33\]](#page-12-1)).

Subintimal Vasculature

The synovial subintimal contains a rich network of vessels and lymphatics which allows for movement of molecules, nutrients, and metabolites into and out of the joint to maintain the health of the avascular articular cartilage. The vasculature of the synovial sublining is most dense closest to the lining layer, and contains highly fenestrated capillaries and venules [\[8](#page-10-3), [34](#page-12-2)]. In healthy joints, low molecular weight substances diffuse across their concentration gradients. Plasma components and nutrients diffuse through fenestrated capillaries and into the synovial fuid, while low-molecular-weight metabolites produced within the joint are taken up by sublining venules and cleared. Larger molecular weight substances (such as Hyaluronic acid, a key component of synovial fuid) are generally retained in the joint space or cleared much more slowly by the synovial lymphatics [\[35](#page-12-3)]. In addition, synovial lymphatics are an important conduit to clear cells from the synovial tissue and fuid that accumulate during chronic infammation.

Synovial Infammation in Disease

Synovial Tissue Pathology

As discussed above, tissue macrophages are the most numerous resident leukocytes of the synovium. A small population of resident mast cells are also present in the normal synovium [\[36](#page-12-4)] as well as scattered perivascular T lymphocytes [[3\]](#page-10-0), but B lymphocytes are rare to nonexistent [[37\]](#page-12-5). The pattern of infammatory cell content in the sublining region can change drastically in rheumatic diseases, with infltration by both myeloid and lymphoid cells. Moreover, synovial lining hyperplasia occurs, with increased cell layers, formation of synovial villi, and deposition of fbrin on the lining surface [\[38](#page-12-6)]. The sublining vascular density can be either increased or decreased, and the subintima can become fbrotic, particularly in chronic synovitis. Although there is signifcant overlap in the features of synovial membrane pathol-ogy between common rheumatic diseases [\[39](#page-12-7)], there are also some features more typical of certain diseases; these are summarized in Table [2.1](#page-6-0).

Disease	Leukocyte infiltrate	Intimal hyperplasia	Subintimal vascularity	Other typical features
Rheumatoid Arthritis [39-41]	Predominantly lymphocytes (30–50%, $T > B$ cells), macrophages $(20-40\%)$; neutrophils $< 5\%$	$\uparrow \uparrow \uparrow$ $(≥5$ cells thick, but often with $6 - 8$	$\uparrow \uparrow$	Large lymphocytic aggregates (w/ germinal centers) in up to $30-50\%$ of patients; synovial pannus that erodes bone
Osteoarthritis $[40,$ 42]	Predominantly macrophages $(40 - 80\%)$; T-lymphocytes $(10-30\%)$; B-lymphocytes/plasma cells $<$ 10%	\uparrow $(\leq 4$ cells)	↑	Large lymphocytic aggregates are seen but rare
Gout [39, 43, 44]	Slightly higher proportions of neutrophils compared with RA	$\uparrow \uparrow$ $(≥5$ cells)		MSU crystals can be seen in synovial tophi and on surface
Spondyloarthritis [41, 45, 46]	Similar to RA, with Greater proportions of neutrophils and mast cells	$\uparrow \uparrow$ $(≥5$ cells)	111	Large lymphocytic aggregates can be seen occasionally
Septic Arthritis (acute bacterial) [39, 40]	More neutrophils than other diseases $(10-20\%);$ macrophages $(30-40\%);$ lymphocytes $(20-40\%$, T > B	$\uparrow\uparrow$ $(≥5$ cells)		

Table 2.1 Features of synovial pathology in different forms of arthritis

Fig. 2.3 Synovial pathology in (**a**) rheumatoid arthritis and (**b**) osteoarthritis. Varying degrees of synovial lining hyperplasia (black pointers), perivascular lymphocytic infltrates (white arrows) are shown in both tissues, with increased vascularity exhibited in (**a**). Hematoxylin and eosin stain, 10X. (Images courtesy of E. DiCarlo, MD, Professor of Clinical Pathology, Weill Cornell Medical College and Attending Pathologist, Hospital for Special Surgery)

A number of histopathologic grading systems have been developed to characterize pathologic features of the synovium observed in rheumatic diseases. The most commonly applied histopathology score is that developed by Krenn and colleagues [\[38](#page-12-6), [47\]](#page-12-15), which can discriminate highly inflammatory (i.e., rheumatoid arthritis, Fig. [2.3a](#page-7-0)) synovium from less infammatory (i.e. osteoarthritis, Fig. [2.3b\)](#page-7-0) synovial pathology. However, there is considerable overlap, and even low-grade synovitis in OA has clinical relevance as it is associated with severity of symptoms and progression of disease (reviewed in [[48\]](#page-12-16)). Routine histopathology has been used to describe distinct "pathotypes" of rheumatoid arthritis synovitis (lympho-myeloid, diffuse myeloid, and pauci-immune), and this classifcation has shown some promise for predicting responses to available treatments [\[49](#page-12-17)]. However, as discussed in the preceding sections, advanced techniques such as single-cell sequencing are revealing distinct patterns of synovial cellular infltration and activation that may have important implications for prognosis, and predicting responses to therapy. These studies will likely impact clinical trial design for RA treatments in the near future [[50\]](#page-12-18), but currently synovial biopsy and pathologic evaluation has limited utility in the clinical setting.

Mechanisms of Cell Homing in Synovitis

The factors that instigate synovitis vary in different rheumatic diseases (i.e., crystals in gouty arthritis, tissue injury in osteoarthritis), and are still not entirely clear in all contexts. What is becoming clear is that the recruitment and retention of infammatory cells into the synovium is driven by chemokines and adhesion molecules, many of which are produced by activated synovial macrophages, fbroblasts, and endothelial cells (Fig. [2.4](#page-8-0)). This has been best studied in rheumatoid arthritis. CCL2 (also

Fig. 2.4 Schematic of the development of synovial infammation, and its impact on other joint tissues in rheumatic diseases. Molecular mediators in bold type are targets of common biologic therapies used to treat different types of arthritis. (Figure created with [BioRender.com](http://biorender.com). Image of lymphocytic aggregate courtesy of E. DiCarlo, MD, Professor of Clinical Pathology, Weill Cornell Medical College and Attending Pathologist, Hospital for Special Surgery)

known as Monocyte Chemotactic Protein-1, or MCP-1) is an important chemokine that attracts peripheral blood monocytes into the synovium, as well as CD4+ T lymphocytes [[51,](#page-13-0) [52](#page-13-1)]. CCL5 (Regulated upon Activation, Normal T Cell Expressed and Secreted, or RANTES), and CXCL12 (Stromal Cell Derived Factor-1, or SDF-1) may also drive T-cell recruitment [[53–](#page-13-2)[55\]](#page-13-3), and may promote angiogenesis and bone destruction [\[56](#page-13-4)]. CCL3 and CCL4 recruit and retain the Th1 T-lymphocyte subset, while CCL20 may support recruitment of Th17 cells. The chemokines CXCL13 and CCL21 drive recruitment and retention of B-lymphocytes and plasma cells, and play an important role in the formation of lymphoid aggregate structures that are observed in up to 20% of patients with RA [\[57](#page-13-5)]. Endothelial cells and stromal cells within infamed synovium express adhesion molecules, including integrins and selectins, which help recruit and retain leukocytes in the tissue [\[58](#page-13-6)[–60](#page-13-7)]. Neutrophils make up a large proportion of cells in RA synovial fuid but are not necessarily retained in the tissue. Macrophages and fbroblasts produce neutrophil chemotactic factors such as IL-8 and ENA-78, which are found in high quantities in RA SF [[52\]](#page-13-1).

Once chronic synovitis is established, the resident and infltrating cells produce a wide variety of cytokines, growth factors, and enzymes that contribute to joint damage. Many of these have become well-known targets of arthritis therapy (Fig. [2.4\)](#page-8-0). Infltrating synovial monocytes and macrophages produce cytokines such as IL-1β, TNF α , and IL-6, which activate synovial fibroblasts, endothelial cells, and lymphocytes to perpetuate synovitis. Successful treatments targeting these "monokines" (cytokines produced primarily by monocytes and macrophages) are in common use for rheumatoid arthritis, spondyloarthritis and gout [[61–](#page-13-8)[63\]](#page-13-9). Synovial myeloid cells produce IL-12 and 23, which support the differentiation and survival of Th1 and Th17 cell types, respectively. Blockade of IL-12/23 [\[64](#page-13-10)], as well as IL-17 [[65\]](#page-13-11), has proven successful in treating psoriatic arthritis and spondyloarthritis. In addition, targeting both T lymphocytes (via CTLA4-Ig) and B lymphocytes (via anti-CD20) directly has proven useful in the treatment of infammatory arthritis. TGF-β, a growth factor produced by synovial macrophages, is linked to osteoblast activation and osteophyte formation in models of osteoarthritis [\[66](#page-13-12)]. It is not yet clear whether pharmacologic targeting of this molecule for therapy will be possible. However, infammatory matrix metalloproteinases produced by synovial macrophages and fbroblasts, including ADAMTS-5, MMP13, and Cathepsin K, are responsible for cartilage matrix damage in OA. Agents selectively targeting ADAMTS5 [\[67](#page-13-13)] and Cathepsin K [[68\]](#page-14-0) are under development for treatment of OA.

Pathologic Changes to Synovial Vasculature and Lymphatics

Both vascularization of the subintima, as well as clearance of substances from the joint space, can be altered in arthritic diseases. Synovial vascularization can be increased in synovium from patients with OA, but is more marked in RA [[34\]](#page-12-2). Increased synovial doppler signal by ultrasound, a surrogate marker of increased blood fow, is more common in active RA where it is a predictor of disease activity [\[69](#page-14-1)]. In RA synovium though, new capillary growth does not meet the needs of the increasing mass of infamed synovium, contributing to tissue hypoxia and acidifcation [\[52](#page-13-1)]. Despite increased synovial lymphatic vessels [\[70](#page-14-2)], lymphatic clearance was shown to be decreased in both OA and RA [[71\]](#page-14-3). An interesting recent report showed that synovial lymphatic function decreases during development of OA in a post-traumatic mouse model, and may be a target for treatment [\[72](#page-14-4)]. Whether interventions designed to modulate synovial vascularity or lymphatic function will translate to effective treatments for human disease characterized by synovial infammation, remains to be seen. But research efforts to understand how to target synovial vasculature for drug delivery to treat synovitis and its consequences are being explored [[73\]](#page-14-5).

Summary and Conclusions

The synovial membrane plays several critical roles in the maintenance of joint homeostasis. It provides a permeable barrier between the vasculature and the joint space to allow nutrient and metabolite trafficking, which is central to maintaining the health of the avascular cartilage. Synovial intimal fbroblasts produce key molecular substances (lubricin and hyaluronic acid, among others) that contribute to the functional properties of synovial fuid. These substances maintain adequate shock absorption and lubrication of the joint required for smooth, friction-free articulation during movement. Synovial lining macrophages have the unique ability to

form a barrier between the sublining vasculature and the joint space that prevents cellular egress into the joint space. Available evidence suggests that both lining and sublining resident macrophages in health are skewed toward phenotypes that might serve to limit pathologic infammation in the synovial tissue. The sublining also contains fbroblasts and mesenchymal stem cells that may contribute to maintaining a non-infammatory environment. However, in many rheumatic diseases, other leukocytes are recruited to the synovium under the infuence of various chemotactic factors, which creates pathologic synovitis that can compromise the function of the synovium. The infammatory mediators produced by infltrating cells can infuence the function of resident cells, and promote arthritic joint damage. There is a lot of overlap in histopathologic features of synovitis across the spectrum of arthritides. Still there are some features that are more common in certain types of arthritis (Table [2.1](#page-6-0)). In some diseases synovitis may be a primary cause of joint damage, while in others it may be a consequence of joint damage. Regardless, many of the cellular and molecular products of synovial infammation have become targets for therapy in various arthritic conditions, while others are under investigation. Modern techniques such as single-cell RNA sequencing are revealing the complexity of cell phenotypes that contribute to the function of the synovium in health and disease, and are providing better insights into the clinical heterogeneity of patients with arthritis and response to arthritis therapies.

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- 2 Synovial Structure and Physiology in Health and Disease
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