Chapter 11 Modelling of the Personalized Skull Prosthesis Based on Artificial Intelligence

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11.1 Introduction

The recent evolutions in the Artificial Intelligence (AI) field have introduced innovative approaches in the many medicals processes. Nowadays, the same pillars of industry 4.0 revolution, as the Internet of Things (IoT) or IoHT to "health things" in the medical area), Cloud Computing and Cyber-Physical Systems (CPS) have made some relevant contributions to solve the problems in medicine with a focus on processes optimization. Inside these innovative concepts, the data based on medical images analysis are essential to support different processes.

From images, those processes that require 3D reconstruction depend on a wide set of data, and from the engineering's viewpoint, require a high computational processing level.

Medical images from computed tomography (CT) or magnetic resonance imaging (MRI) are frequently used to model the existing bones structures, improve visualization and clinical interpretation, and used in the surgical preparation of implants, construction of molds, and virtual models for prosthetics pieces.

Virtual bone modeling is a challenging process due to the complexity of the geometry and because sometimes we do not have enough information to build a model.

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Fig. 11.1 The problem interpretation for skull modeling

In this context, the skull prosthesis modeling has the same challenge, mainly for non-symmetric fractures, because mirroring techniques are ineffective, for example, as in Fig. [11.1a](#page-1-0) (VISUALDATA [2021\)](#page-19-0). The conceptual basis for a personalized reconstruction is to look for the image parameters from knowing data. In this way, as in Fig. [11.1b](#page-1-0), a virtual model can rebuild a complete skull, and an estimative of a prosthesis piece can be calculated to fill the gaps in the bone. The region of interest (ROI) addressed in this research is the calvaria region.

Following the concept presented in Fig. [11.1,](#page-1-0) some techniques were experimented by the PPGEPS (Industrial and Systems Engineering Program) team during last years based on optimization techniques and AI tools and made some different approaches to virtual model creation as (i) Adjusted Ellipses and Super-Ellipses concept, (ii) PSO (Particle Swarm Optimization), (iii) Splines and Bezier Curves, (iv) Data Mining, (v) Content-Based Retrieval, (vi) Neural Networks, (vii) CNNs (Convolutional Neural Networks) and Deep learning, and (viii) Semantic Segmentation; all of them aligned with virtual design systems integration. The unfolding from these initial experiments using more advanced approaches as Variational AutoEncoder (VAE) has been analyzed here. Thus, the objective is to show the advances in prosthesis modeling by investigating the most recent research studies and propose a suitable solution for the anatomic prosthesis.

11.2 The State of the Art for the Skull Modelling

Several approaches have been used over the years to address the task of skull reconstruction. We reviewed these approaches by searching for articles related to skull reconstruction in PubMed, Science Direct, and Google Academic as in the method of (Reche et al. [2020\)](#page-19-1). We considered all articles, with no restriction towards the publication year. After our article selection step, we selected 34 articles that are

summarized and analyzed over this section. All selected articles are summarized in Table [11.1;](#page-3-0) we provide information regarding the dataset, the approach, the dataset size, and the obtained results. Some of the articles evaluated their results visually, others with knowing metrics, for instance, applying the Dice Similarity Coefficient (DSC) and Hausdorff distance (HD).

Among the methods for skull modeling, there are approaches based on mirroring. Mirroring uses the reflection of the healthy half of the skull onto the defective one, using the patient's data as a template for the implant (Marzola et al. [2019\)](#page-19-2). Unilateral defects can be solved with mirroring, either with minimal manual effort or automatically (Mainprize et al. [2020\)](#page-19-3).

Preliminary studies from Lee et al. [\(2002\)](#page-18-0) and Hieu et al. [\(2003\)](#page-18-1) from the 2000s. Both used mirroring and an additional manual adjustment step. The approaches from Gall et al. [\(2016\)](#page-18-2) and Egger et al. [\(2017\)](#page-18-3) also used mirroring and manual adjustment. The adjustment was based on Laplacian smoothing followed by a Delaunay triangulation. The mirroring provided an initial design, while the smoothing and triangulation provided an aesthetic-looking and well-fitting outcome (Egger et al. [2017\)](#page-18-3). The approach from Rudek et al. [\(2015b\)](#page-19-4) also applied symmetric mirroring. Further, Chen et al. [\(2017\)](#page-18-4) developed a mirroring, contour clipping, and surface fitting method. Marzola et al. [\(2019\)](#page-19-2) developed a mirroring and surface interpolation method for unilateral and quasi-unilateral defects.

However, just mirroring may not be enough for an implant, as human heads are generally too asymmetric (Gall et al. [2016\)](#page-18-2). Further, mirroring can only be applied to unilateral defects, not to defects that cross the symmetry plane (Shi and Chen [2020;](#page-19-5) Marzola et al. [2019\)](#page-19-2).

An alternative is using slice-based techniques, where 2D images (generally CT images) are used to fit curves to the bone contours (Marzola et al. [2019\)](#page-19-2). The information from the healthy part is usually used as a boundary to the curve optimization algorithms and functions.

Among the techniques, Rudek et al. [\(2018\)](#page-19-6), Rudek et al. [\(2016\)](#page-19-7), and Rudek et al. [\(2015a\)](#page-19-8) generated control points for each CT slice with Cubic Bezier Curves and the ABC algorithm to optimize the curve. Afterward, they compared control points of the defective slices with healthy slices, selecting the healthy slice with the smallest error to fulfill the missing part. Mohamed et al. [\(2015\)](#page-19-9) also used Bezier Curves; they combined C1 Rational Bezier Curves with Harmony Search (HS) to complete the missing piece on every slice with a defect.

The approaches of Lin et al. [\(2017\)](#page-18-5) and Chang and Cheng [\(2018\)](#page-18-6) used methods based on active contour models. Lin et al. [\(2017\)](#page-18-5) developed a self-adjusting method based on active contour models, optimizing the shape for every slice and then combining the results for each slice. While Chang and Cheng [\(2018\)](#page-18-6) developed a self-adjusting method based on active contour models, using the Adaptive Balloon Force Active Surface Model.

Further, Rudek et al. [\(2013\)](#page-19-10) and Lin et al. [\(2016\)](#page-18-7) used methods based on Superellipses. Rudek et al. [\(2013\)](#page-19-10) adjusted Superellipses to the missing part. The Superllipses parameters were optimized by Particle Swarm Optimization (PSO). Lin et al. [\(2016\)](#page-18-7)

Article	Dataset	Approach	Dataset	Results
Ellis and Aizenberg (2020)	CQ500 Dataset	Data $augmentation +$ Unet	210 complete skulls (630 files)	Mean DSC: Test case (100) 0.944, Test case (10) 0.932, Overall (110) 0.942; Mean HD: Test case (100) 3.564, Test case (10) 3.934, Overall (110) 3.598
Kodym et al. (2020a)	CQ500 Dataset	$CNN + 2$ Unet	210 complete skulls (630 files)	Mean DSC: Test case (100) 0.920, Test case (10) 0.910, Overall (110) 0.919; Mean HD: Test case (100) 4.137, Test case (10) 4.707, Overall (110) 4.189
Mainprize et al. (2020)	CQ500 Dataset	Data $augmentation +$ U -net $+$ post-processing	210 complete skulls (630 files)	Mean DSC: Test case (100) 0.907, Test case (10) 0.87, Overall (110) 0.904; Mean HD: Test case (100) 4.18, Test case (10) 4.76, Overall (110) 4.23
Bayat et al. (2020)	CQ500 Dataset	2 CNN (2D CNN $+3D CNN$	210 complete skulls (630 files)	Mean DSC: Test case (100) 0.8957; Mean HD: Test case (100) 4.6019
Wang et al. (2020)	CQ500 Dataset	RDU-Net	210 complete skulls (630 files)	Mean DSC: Test case (100) 0.8910, Test case (10) 0.4729, Overall (110) 0.8530; Mean HD: Test case (100) 6.9091, Test case (10) 21.0492, Overall (110) 8.1946

Table 11.1 Articles regarding the reconstruction of skulls

(continued)

Article	Dataset	Approach	Results Dataset	
Jin, Li, and Egger (2020)	CQ500 Dataset	$V-net + image$ partitioning	210 complete skulls (630 files)	Mean DSC: Test case (100) 0.8887; Mean HD: Test case (100) 5.5339
Eder, Li and Egger (2020)	CQ500 Dataset	$2 U-Net +$ post-processing	210 complete skulls (630 files)	Mean DSC: Test case (100) 0.889; Mean HD: Test case (100) 5.534
Kodym et al. (2020b)	CQ500 Dataset	2 U-net	189 complete skulls (945 defective skulls)	Syntectic defects average surface error: 0.56 mm: real defects average surface errors: 0.69 mm
Lin et al. (2017)	\equiv	Active contour models	-	Only visual evaluation
Chang and Cheng (2018)	\overline{a}	Active contour models	$\overline{}$	Only visual evaluation
Chang et al. (2021)	DICOM images from the Department of Neurosurgery	CNN	Seventy-three complete skulls sets (7,154) augmented sets)	$\qquad \qquad -$
Lin et al. (2016)	-	Superellipse	-	Fitness of 0.26 (0.06) for the outer border and $0.25(0.05)$ for the inner border
Hsu and Tseng (2001)	\equiv	orthogonal NN	\equiv	
Rudek et al. (2018)		Cubic Bezier Curves	One skull (7 artificially created defective slices)	Maximum error of 1.7087 mm in comparison to the real skull
Hsu and Tseng (2000)	$\overline{}$	orthogonal NN	One defective skull	\equiv
Li et al. (2020)	CQ500 Dataset	$\overline{2}$ encoder-decoder $network +$ bounding box	210 complete N1: DSC 0.8097, skulls (630 files) HD (mm) 5.4404, RE (%) 0.20; N2: DSC 0.8555, HD (mm) 5.1825, RE $(\%)$ 0.15;	

Table 11.1 (continued)

(continued)

Article	Dataset	Approach	Dataset	Results
Matzkin et al. (2020a)	Division of Anesthesia of the University of Cambridge	U-net	98 CT images	Superior results with U-Net and direct estimation of the bone flap
Rudek et al. (2013)	-	Superellipse	$\overline{}$	Only visual evaluation
Rudek et al. (2016)	$\overline{}$	Cubic Bezier Curves	One skull (15 artificially created defective slices)	Maximum error of 1.7087 mm in comparison to the real skull
Da Rocha et al. (2020)	CQ500 Dataset	Cubic Bezier $Curves + NN$	90 skulls (the dataset contains 491 skulls)	An error of 2.184% of the volume
Rudek et al. (2015a)	$\overline{}$	Cubic Bezier Curves	One skull (25 artificially created defective slices)	Only visual evaluation
Rudek et al. (2015b)	$\overline{}$	Mirroring	One skull	Only visual evaluation
Marzola et al. (2019)		Mirroring $+$ surface interpolation		The tests cases proved the effectiveness of the method
Morais, Egger and Alves (2019)	1200 Subjects Release (S1200)	Volumetric Convolutional Denoising Autoencoder	113 MRI scans	Average reconstruction errors lower than 4%
Chen et al. (2017)		Mirroring $+$ contour clipping $+$ surface fitting		Intra-rater reability of 87.07 $+ - 1.6\%$ and inter-rater reability of 87.73 $+ -1.4%$
Gall et al. (2016)	$\overline{}$	Mirroring $+$ manual fitting	$\overline{}$	The tool can enable surgeons to generate implants in several minutes
Lee et al. (2002)	$\overline{}$	Mirroring $+$ manual fitting	$\overline{}$	The custom implant reduced the operation time
Hieu et al. (2003)	$\overline{}$	Mirroring $+$ manual fitting	$\overline{}$	Reduced design time and required design skills

Table 11.1 (continued)

(continued)

Article	Dataset	Approach	Dataset	Results
Mohamed et al. (2015)	-	C1 Rational Bezier Curves	2 CT images	According to the author, the method might be effective in real clinical practice
Egger et al. (2017)		Mirroring $+$ manual fitting		The software was successful in planning and reconstructing
Fuessinger et al. (2018)	-	$SSM + GM$		Precise and straightforward tool to reconstruction, showing higher precision in comparison to mirroring

Table 11.1 (continued)

developed a self-adjusting method based on Superellipse combined with Differential Evolution for the Superellipse parameter optimization.

The downside of Curve optimization methods is that they work only at slice-level and only consider information about that specific slice for which the curve is being optimized. Hence, the lack of information about the missing area (all slices that have a defect) could affect the reconstruction (Marzola et al. [2019\)](#page-19-2). Further, methods trained over several images have a better chance of "learning" the characteristics of missing parts in general and better designing more realistic implants.

Over time deep learning models have become an alternative to traditional machine algorithms traditionally used in medical imaging (Singh et al. [2020\)](#page-19-14). Convolution neural networks (CNNs) are widely used in medical imaging, considering 2D or 3D images. Additionally, classification problems involving 3D images, such as CT images, can always be downgraded to 2D-level. The drawbacks of developing deep learning for 3D images are the limited available data to the algorithms and computational cost (Singh et al. [2020\)](#page-19-14). However, data augmentation techniques and more powerful GPUs mitigate these issues (Singh et al. [2020\)](#page-19-14).

Over the literature, we verified a predominance of publications involving CNNbased models in the latest years, especially in 2020 and 2021. Most of the publications were related to the AutoImplant 2020 shared task,^{[1](#page-6-0)} with 210 complete skulls from the CQ500 dataset² with their corresponding defective skulls and the implants (Li and Egger [2020a,](#page-18-19) [b\)](#page-18-20).

The approaches used for the AutoImplant 2020 challenge are detailed below. Pimentel et al. [\(2020\)](#page-19-15) adjusted a 3D statistical shape model (SSM) to locate and

¹ [\(https://autoimplant.grand-challenge.org/\)](https://autoimplant.grand-challenge.org/).

² [\(http://headctstudy.qure.ai/dataset\)](http://headctstudy.qure.ai/dataset).

correct the defect, followed by a 2D generative adversarial network (GAN) to make corrections and better fit the design. Shi and Chen [\(2020\)](#page-19-5) decomposed into slices for each axis (2D level), applying three 2D CNNs (one for each axis) and then combining the results from all axes. Further, Bayat et al. [\(2020\)](#page-18-10) approached was based on CNNs, with a 3D encoder-decoder to complete the downsampled defected followed by a 2D upsampler to upsample the shape. Downsampling turned the approach more feasible for commonly available GPUs. Li et al. [\(2020\)](#page-18-17) developed a baseline approach for the AutoImplant 2020 shared task. The approach was based on an encoderdecoder network that predicted a downsampled coarse implant; afterward, the data is upsampled, a bounding box is applied to locate the defected region on the highresolution volume, and the data passes by another encoder/decoder network that generated an implant from the bounded region.

Several of the papers used models based on U-net (Ronneberger et al. [2015\)](#page-19-16). As highlighted by (Ronneberger et al. [2015\)](#page-19-16), the U-net model has the advantage of being trained end-to-end from very few images, achieving excellent results while the training is fast.

The papers that used models based on U-net are detailed further below. Ellis and Aizenberg [\(2020\)](#page-18-8) augmented the dataset with data transformations that added different shapes and orientations (9903 additional images—significant augmentation) and used a U-Net model with residual connections. Kodym et al. [\(2020a\)](#page-18-9)'s approach was based on skull alignment with landmark detection with 3D CNN and two 3D U-net models for the reconstruction. They also applied shape prosprocessing steps. Mainprize et al. [\(2020\)](#page-19-3) predicted the skull with a U-Net model and data augmentation, subtracted from the original skull to obtain the prosthesis, and added further post-processing. The data augmentation occurred by adding cubic and spherical defects. Eder et al. [\(2020\)](#page-18-12)'s framework used 2 U-Net models, the first one was used to reconstruct the skull with low-resolution data, and the other was used to up-sample the low-resolution data. Also, they applied some post-processing filters to provide specific corrections. Kodym et al. [\(2020b\)](#page-18-13) predicted the implant with two U-net models, testing discriminative and generative models. Additionally, provided tests with synthetic data.

Further, Matzkin et al. [\(2020b\)](#page-19-17) adapted the model from Matzkin et al. [\(2020a\)](#page-19-11) to consider flaps similar to the ones for the challenge dataset, tested two methods: (1) using a 3D DE-UNet model and (2) DE-UnET model with data augmentation from additional input from shape priors. Wang et al. [\(2020\)](#page-20-0) predicted the complete skull with a Residual Dense U-net (RDU-Net) model with the encoder of the Variational Auto-encoder (VAE) model being added as the U-net regulation term, later subtracted the defective skull to delimiter the implant. Jin et al. [\(2020\)](#page-18-11) approach was based on a V-net model, an adaptation of the U-Net model proposed by Milletari et al. [\(2016\)](#page-19-18). To address the problem of high-resolution input images to neural networks tested two methods: resizing the images and partitioning the images.

Among the papers related to the AutoImplant 2020 shared task, we highlight the approach of Ellis and Aizenberg (2020) , in which the augmentation of training data with registration directly improved the classifier results. Further, for Matzkin et al. [\(2020b\)](#page-19-17), data augmentation was helpful for out-of-distribution cases, in which the defects did not follow the distribution from the training data, where the network tended to fail. Thus, data augmentation can provide additional data for the network's training with the cost of computational power. Generally, deep learning models tend to achieve superior performance by providing additional data.

Outside of the AutoImplant 2020 shared task dataset, some papers used neural networks. Hsu and Tseng [\(2000\)](#page-18-16) and Hsu and Tseng [\(2001\)](#page-18-15) are preliminary studies that addressed that used models based on 3D orthogonal neural networks. Further, Rocha et al. [\(2020\)](#page-19-12) used a Generative Adversarial Network (GAN) model to predict the missing part of each slice. Additionally, the Morais et al. [\(2019\)](#page-19-13) approach was based on the Volumetric Convolutional Denoising Autoencoder model that Sharma et al. [\(2016\)](#page-19-19) proposed with some adaptions. Further, they used MRI images, not CT images. Fuessinger et al. [\(2018\)](#page-18-18)'s approach used a statistical shape model (SSM) based on 131 CT scans combined with geometric morphometrics (GM) methods, comparing the results with the mirroring technique (only for unilateral defects).

Further, (Chang et al. [2021\)](#page-18-14) used a CNN-based deep learning network with 12 layers and data augmentation to complete the defect, subtracting the completed skull from the incomplete skull to obtain the implant. In the approach proposed by Matzkin et al. [\(2020a\)](#page-19-11), the images passed by registration, resampling, and thresholding being fed into a U-Net that directly predicted the implant. Tested several architectures and reconstruction strategies (direct estimation and reconstruct and subtract), achieving superior results with U-Net and direct estimation of the implant.

Hence, in general, we verified that most of the preliminary studies addressed the reconstruction task with mirroring or slice-based techniques. With the advances in deep learning models, especially CNNs and their variants (U-net), deep learningbased models became the primary approach. Further, techniques that relieve the computational cost of the training, such as the usage of downsampling combined with upsampling techniques and data augmentation techniques that provide additional images to the training step, are relevant to the research theme.

Among the techniques that were used to address skull reconstruction, VAE models have addressed the task well. Hence, in the next section, we detail some of our experiments of skull reconstruction with a VAE-based model. The following section provides details about our proposed method with its results. Additionally, we provide details about standard evaluation metrics and several images to give the viewer a better understanding of the whole process.

11.3 Background

11.3.1 The VAE Neural Network

The Variational AutoEncoder (VAE), as presented in Fig. [11.2,](#page-9-0) is a neural network that encodes and decodes data. In the encoding part, the data is compressed into a regularized latent space. In the decoding part, the data is decompressed from this

Fig. 11.2 The VAE architecture

space. The regularization of the latent space is made by minimizing the Kullback and Leibler Divergence (KL divergence), this makes the VAE a generative model.

Figure [11.2](#page-9-0) represents the VAE architecture where *x* represents the input data, *h* represents the coded data, σ represents the measure of the standard deviation of *h*, μ represents the measure of the mean of *h*, *z* represents the sampled data of the probability distribution $\mu + p(0, 1)^* \sigma^2$ and \hat{y} represents the classification of decoding data.

11.3.2 Evaluation Criteria

The VAE neural network (Patterson and Gibson [2017\)](#page-19-20) is a generative model that encodes the input into a latent space close to the uniform distribution using KL loss and decodes the latent space into a classification problem measured using reconstruction loss, additional methods like dice similarity coefficient and Hausdorff distance are used to compare results between proposed method and results in (Li and Egger [2020a,](#page-18-19) [b\)](#page-18-20), assuming y is the removed part of skull and \tilde{y} is 1 where $\hat{y} > 0.5$ and 0 otherwise. The measuring methods are described below.

11.3.2.1 The Dice Similarity Coefficient

As presented by Taha and Habury [\(2015\)](#page-19-21), the Dice Similarity Coefficient (DSC) measures the difference between an y and an \tilde{y} . Both images are required to have the same size and pixels values should be 0 or 1. The formula uses the sum of pixels and the intersection between both images, according to Eq. [11.1.](#page-9-1)

$$
DSC = \frac{2 * sum(y * \tilde{y})}{sum(y) + sum(\tilde{y})}
$$
(11.1)

11.3.2.2 The Hausdorff Distance

According to Taha and Habury [\(2015\)](#page-19-21), the Hausdorff Distance (HD) is a way of measuring the difference between two images based on the maximum distance between a pixel in y and a pixel in \tilde{y} , according to Eq. [11.2.](#page-10-0)

$$
HD = \max_{a \in y} \min_{b \in \tilde{y}} \|a - b\| \tag{11.2}
$$

11.3.2.3 The Kullback and Leibler Divergence

From Bonaccorso [\(2018\)](#page-18-21), the Kullback and Leibler divergence (KL divergence) is used to compare two distributions, where the KL loss is the comparison between a $p(\mu, \sigma)$ distribution and a normal distribution $p(0,1)$, with μ the measured mean and σ the measured standard deviation, according to Eq. [11.3.](#page-10-1)

$$
KLloss = \sum_{i}^{N} \frac{\mu_i^2 + e^{\sigma_i^2} - 1 - \sigma_i^2}{2}
$$
 (11.3)

11.3.2.4 The Reconstruction Loss

As presented in Bonaccorso [\(2018\)](#page-18-21), the Reconstruction loss measures a classification using a reference binary image and a classification image with values between 0 and 1, according to Eq. [11.4.](#page-10-2)

$$
Reconstruction loss = -\sum_{i}^{N} y_i * ln(\hat{y}_i) + (1 - y_i) * ln(1 - \hat{y}_i)
$$
 (11.4)

11.4 Proposed Method

The method uses a Variational AutoEncoder (VAE) neural network (Patterson and Gibson [2017\)](#page-19-20) to generate each layer of the prosthesis. The method was separated into four main steps: (i) image selection, (ii) image segmentation, (iii) neural network training, and (iv) reconstruction, as in Fig. [11.3.](#page-11-0)

Fig. 11.3 The step sequence of the proposed method

11.4.1 Step 1—Image Selection

The creation of the training, validation and test sets separated some files of skulls without fracture identification. The fracture identification was performed by three specialists and noted in an auxiliary dataset as in Table [11.2.](#page-11-1) The skulls without any indication of fracture were selected. The images size is 512×512 pixels, with voxel dimensions from $0.441 \times 0.441 \times 0.625$ mm to $0.488 \times 0.488 \times 0.625$ mm. Also, each exam having from 233 to 256 image slices of the upper skull region (calvaria region). The selection resulted in 123 skulls, where 80% of them were used for training, 10% for validation, and 10% for the test.

Table [11.3](#page-11-2) represents the voxel height statistics considering those images without fracture, with a dimension of 512×512 pixels and a voxel depth of 0.625 mm.

	Mean	Std	Min	25%	50%	75%	Max
Value	<i>---</i> ---	.0245		\mathcal{L} ر رے	ገናበ ZJU	256	$\overline{1}$ د41′

Table 11.4 Number of layers

Table [11.4](#page-12-0) shows the statistics of the number of layers after voxel selection between 25 and 75%.

The region of interest was selected as 40% of the upper layers, as presented in Fig. [11.4.](#page-12-1) We can simulate a frontal defect area in this region by removing the data from a one-by-one 2D CT slicing.

11.4.2 Step 2—Image Segmentation

Bone tissue was identified using the Hounsfield scale in the image sets. The value of 500HU was found based on segmentation tests and histogram comparison. After, for each skull, a volume was created with the CT slices overlapping operation. Finally, identifying connected pixels and selection of the largest connected group, removing unwanted objects presented in Fig. [11.5.](#page-13-0)

11.4.3 Step 3—Neural Network Training

The training set and the validation set of CT images were used to train and validate the neural network. The total number of skull images was augmented by changes in position and size to increase the number of data samples. From each skull file, the image is transformed by: a vertical inversion with a 50% change, rotated between -45° and 45° , scaled between 90 and 110%, and finally translocated between -16 and

Fig. 11.5 CT image sample and its respective Hounsfield scale histogram

16 pixels. After the transformation, up to 25% of the image is removed and used as the neural network's output. Further, the image complement is used as the input of the neural network. Figure [11.6](#page-13-1) represents an example of each type of transformation.

The VAE neural network architecture was used with input and output of 512 \times 512×1 , six layers of convolution and deconvolution with 2 layer filters, latent space with two layers of 4096 and samples among the latent space. The ReLu was used as the activation function in the convolution and deconvolution layers. The Linear activation function was applied in the latent space layers, and the Sigmoid activation function was used in the output of the neural network. Figure [11.7](#page-14-0) represents the

Fig. 11.6 Transformation examples performed for each skull from the dataset

Fig. 11.7 The pipeline for generation of a virtual prosthesis layer

generation of a prosthesis layer, going through the stages of convolution, parameter estimation, sampling, and deconvolution.

The sum of Reconstruction Loss with KL Loss was used as the loss function. The Reconstruction Loss was calculated between \hat{y} and y. The KL Loss was calculated between μ and σ . The DSC and HD metrics were calculated between y and \tilde{y} , these metrics were used only for visualization and did not interfere with learning. The ADAM optimizer (Bonaccorso [2018\)](#page-18-21) was used for training. Figure [11.8](#page-15-0) represent the metrics in respective scale.

11.4.4 Step 4—Reconstruction

The test set without transformation and with removed skull parts was used to create the three-dimensional models, where the interference between x and \tilde{y} was removed. The marching cubes (Lorensen and Cline [1987\)](#page-18-22) method was used to obtain the vertices and faces of the triangles obtained on the surface of the prosthesis (superimposition of layers), using the voxel dimensions as a reference. The Laplacian smoothing (Vollmer et al. [1999\)](#page-20-1) method was used to reduce the sharp surface differences. Figure [11.9](#page-15-1) represents the reconstruction process.

11.5 Application Example

The dataset provided by the Center for Advanced Research in Imaging, Neuro-sciences, and Genomics (CARING) (VISUALDATA [2021\)](#page-19-0) was used in the simulations. It contains 491 skulls with and without fractures, and each skull is composed of different data collections where the number of files and the dimensions of the voxel (Height, Width, Depth) may vary. The absolute path, study name, fracture identification, image size, image position, and voxel dimensions (HxWxD) were mapped

Fig. 11.8 The metrics of reconstructed piece evaluation

Fig. 11.9 The reconstruction process slice by slice superimposed and surface enhancement

to each file. A machine with AMD Ryzen 5 3600X Hexa-core processor, NVIDIA Graphics Card, was used for neural network training and 3D mesh generation. An RTX 2060 super 8 GB VRAM, 32 GB RAM, and Windows 11 operating system. Python programming language was used for software development.

Figure [11.10](#page-16-0) shows the synthetic cut artificially created in (a) by removing 25% of the skull and the generated prosthesis in (b). The colors scale in (b) represents the distance between the prosthesis and the original removed part of the skull. See Fig. [11.11](#page-16-1) about color scale and respective metrics.

-
- (a) external view (synthetic). (b) adjusted piece from VAE.

Fig. 11.11 Sample of Model of the generated prosthesis

Figure [11.10b](#page-16-0) shows differences in the prosthesis boundary connected with the original removed piece, with measures differences around 2 mm as indicated by the green representation. Table [11.5](#page-17-0) represents the metrics obtained from removed part of the skull (piece cut as in Fig. [11.10a](#page-16-0)). The $(+)$ and $(-)$ signals represent if the difference is on outer or inner surface respectively by comparing with the real bone.

The other view of the generated prosthesis is presented in Fig. [11.11.](#page-16-1) From colored scale, in green the distances are between –2 and 2 mm; in red, the distances are greater than 2 mm; and in blue, the distances are smaller than -2 mm.

11.6 Conclusion

The research presented the advances to skull prosthesis modeling based on a literature review and respective content analysis. A set of 35 papers were selected to demonstrate the techniques addressed in recent years. The CNN-based techniques are proved as the best way to find missing information on CT/MRI images with efficiency validated by the metrics Mean DSC and Mean HD.

We observed that the virtual skull repairing based on Variational Auto-encoder (VAE) model presented in literature looks like promisor and we investigated its application for own method. As presented in example, the best result for our method was 0.792 to Mean DSC and 5.587 to Mean HD.

The performance achieved by the proposed method according to the metrics: Mean HD and Mean DSC, present important improvement by comparison of the previous explored methods if compared with PSO, Superellipse, ABC and other previously studied by the authors. However, the metrics indicates that we do not get similar results as found in literature.

The next step is improving HD and DSC by testing addicting 3D CNN, more filters in convolution/deconvolution or more neurons in latent space.

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