



# Efficient Lattice-Based Inner-Product Functional Encryption

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**Abstract.** In the recent years, many research lines on Functional Encryption (FE) have been suggested and studied regarding the functionality, security, or efficiency. Nevertheless, an open problem on a basic functionality, the single-input inner-product (IPFE), remains: can IPFE be instantiated based on the Ring Learning With Errors (RLWE) assumption?

The RLWE assumption provides quantum-resistance security while in comparison with LWE assumption gives significant performance and compactness gains. In this paper we present the first RLWE-based IPFE scheme. We carefully choose strategies in the security proofs to optimize the size of parameters. More precisely, we develop two new results on ideal lattices. The first result is a variant of Ring-LWE, that we call multi-hint extended Ring-LWE, where some hints on the secret and the noise are given. We present a reduction from RLWE problem to this variant. The second tool is a special form of Leftover Hash Lemma (LHL) over rings, known as Ring-LHL.

To demonstrate the efficiency of our scheme we provide an optimized implementation of RLWE-based IPFE scheme and show its performance on a practical use case.

We further present new compilers that, combined with some existing ones, can transfer a single-input FE to its (identity-based, decentralized) multi-client variant with linear size of the ciphertext (w.r.t the number of clients).

**Keywords:** Functional Encryption · Inner-Product · Lattice-Based Cryptography · Learning with Errors over Ring · Multi-Client Functional Encryption

## 1 Introduction

**Functional Encryption (FE)** [11, 29] is an extended form of traditional public-key encryption, which can overcome the all-or-nothing access, inherent to the public-key encryption. It allows an authorized user holding a functional-key  $\text{sk}_f$  to get a function of the message as  $f(m)$ , by applying  $\text{sk}_f$  to the encryption of the message  $m$ . The functionality provided by this primitive can be useful in practical scenarios such as cloud computing and computation over encrypted data without interactions. The FE schemes supporting general computation circuits either are secure only against a bounded numbers of collusions [19, 20], or rely on strong primitives [16]. More importantly, they all suffer from severe inefficiency.

For these reasons a research area emerged with the goal of designing FE with limited but still wide classes of functionalities that are efficient enough to be implemented and used in practice. Particularly, FE for Inner-Product (IP) functionality [4, 7], is one of the most popular special cases of FE.

**Inner-Product FE (IPFE)** [4, 7] is a special case of FE supporting the inner-product functionality. In an IPFE scheme the message is a vector  $\mathbf{x} \in \mathcal{M}^n$  encrypted as  $\text{ct}_x$  and the decryption-key  $\text{sk}_y$  is associated with a  $n$ -dimensional vector  $\mathbf{y}$ . The decryption (of  $\text{ct}_x$  using  $\text{sk}_y$ ) gets  $\langle \mathbf{x}, \mathbf{y} \rangle$ , i.e. the inner-product.

IPFE is a well studied problem which is already instantiated based on different assumptions such as the *Decisional Diffie-Helman* (DDH), *Decisional Composite Remainder* (DCR), and *Learning With Errors* (LWE) [4, 7] assumption. Despite of all the progress in this field, it has still remained an open problem to present an efficient IPFE based on quantum-secure assumptions. The only quantum-secure assumption that an IPFE has been realized on, is LWE assumption [4, 7] with the resulting public key IPFE construction being computationally demanding.

**Security of FE.** Indistinguishability (IND) [11] is the standard security notion for FE. Informally, it says that an adversary given a ciphertext  $\text{ct}_{m^b}$ , for  $b \xleftarrow{R} \{0, 1\}$ , cannot distinguish between challenges  $m^0$  and  $m^1$ , even if it has access to decryption-keys  $\text{sk}_{f_1}, \dots, \text{sk}_{f_k}$ , for  $k = \text{poly}(\kappa)$ , conditioned on  $f_i(m^0) = f_i(m^1)$ .

One can further consider two kinds of IND-security: selective and adaptive. In selective-IND (sel-IND), the adversary is restricted to submit its challenges  $m^0$  and  $m^1$  at the very beginning of the game and before seeing the public-key, while in adaptive-IND there is no such restriction.

**Multi-Client FE (MCFE)** is a stronger form of FE where the data comes from different sources and therefore each client should be able to encrypt its data individually and without thrusting other clients [14]. This means that the security definition of multi-client FE considers corruptions of users as well. Multi-client is usually defined w.r.t to a label set, which brings more flexibility, in the sense that ciphertexts can be combined if and only if they are encrypted under the same label. This is necessary for many applications since otherwise an adversary could mix ciphertexts that were not intended so. In fact, in many applications data may have already been defined w.r.t a label, such as a timestamp (e.g. monthly data) or others.

**Decentralized MCFE (DMCFE)** avoids the need for a trusted authority who has access to all the secret keys in the system in order to generate the functional keys [3, 14]. Particularly, in DMCFE, the clients take the role of authority together, without trusting each other.

**Lattice-Based IPFE.** Informally, a lattice  $\mathcal{L}$  is a discrete subset of  $\mathbb{R}^n$  which can be generated by (integer) linear combinations of several vectors, known as the basis. In this setting, the nice variety of computationally-hard problems against quantum adversaries make it interesting for the cryptography purpose [8].

The problem of Learning With Errors (LWE) [32] discusses solving a system of noisy equations and is known to be as hard as standard hard lattice-problems in the worst case. This problem is usually used as a bridge between cryptosystems and standard hard lattice-problems. Agrawal et al. [7] proposed an IPFE relying on hardness of LWE problem. Unfortunately, due to the large-dimension matrices in the LWE problem (leading to the large keys and slow operations), the resulting construction is not truly practical. The scheme of [4] suffers from similar issues while it is only selectively-secure. In [35], authors tried to improve the standard deviation of error term (by using re-randomization technique of [22] instead of using multi-hint extended LWE assumption), but the size of the public key still grows quadratically w.r.t the length of the message and the LWE-parameter  $n$ .

**RLWE.** The Ring-LWE (RLWE) problem, introduced by Lyubashevsky et al. [26], is the problem of distinguishing between two distributions in a special ring of polynomials  $R_q$ :

$$(a, as + e) \quad \text{and} \quad (a, u)$$

with  $a, u \stackrel{R}{\leftarrow} R_q$ , the secret  $s \leftarrow \chi$ , and noise  $e \leftarrow \chi$ , where  $\chi$  is a special distribution over the ring, and all the samples share the same secret  $s$ . It was introduced as a more efficient and compact version of LWE problem, which can be defined in a similar way, but simply over  $\mathbb{Z}_q$  (i.e.,  $a, s \in \mathbb{Z}_q^n, e, u \in \mathbb{Z}_q$ ) rather than  $R_q$ .

Note that the hardness of RLWE depends on the choice of ring  $R_q$  and distribution  $\chi$ . In [26] it was shown that RLWE, with properly chosen parameters, is as hard as standard hard lattice problems.

Due to its compact form, relying on RLWE usually leads to practical encryption systems with smaller keys. Thanks to the Fast Fourier Transform, multiplication in rings can be further accelerated. Moreover, the ring structure allows to encrypt multiple messages in parallel allowing SIMD type of calculations on encrypted data. These properties make RLWE one of the most interesting and competitive assumptions to develop a post-quantum cryptosystem based on [13, 34].

## Challenges and Contributions

Although RLWE can provide significant efficiency gains, reducing the security of an encryption systems to RLWE assumption is usually more complicated and

tricky, compared with the ones based on LWE. The main obstacles here are: either the lack of common cryptographic-tools compatible with the ring structure, or the lack of variants of RLWE (which are as hard as RLWE) compatible with certain encryption systems. In comparison, LWE is a better understood problem with several variants, and thanks to its matrix-based structure in  $\mathbb{Z}_q$ , it can be more easily combined with other tools and assumptions during security proofs.

**Primary Task:** In this work, we study the IPFE cryptosystem and the required tools for the security reduction from IPFE to RLWE.

**Secondary Task:** We optimize, implement and further extend the scheme to make it applicable to real-world use cases. This includes extending the IPFE scheme to its MCFE and DMCFE versions through new general compilers, implementing it in a highly optimized way, and demonstrating its benefits (including SIMD processing) on a machine learning task.

The first IPFE scheme based on quantum-secure assumption was developed in [4]. This scheme is based on the LWE assumption and proved to be selectively secure. In [7], authors presented an adaptively secure IPFE scheme relying on the same assumption. To extend the security to the adaptive case, they used a variant of LWE assumption, named multi-hint extended-LWE (mhe-LWE) in which some hints on the noise terms are considered. The mhe-LWE says that samples are still indistinguishable from uniform, even given these hints. They proved a reduction from mhe-LWE to LWE problem, for a proper choice of parameters. This variant of LWE is then used directly in the security proof of their IPFE scheme, where hints help to simulate the queries. In the first step, by mhe-LWE, they manage to insert a uniformly random vector in the ciphertext. But as this randomness is multiplied by another vector, in the second step, they still need to apply the Leftover Hash Lemma (LHL) to get a uniform term in the ciphertext.

In this work we follow a somewhat similar approach, while due to the algebraic structure of RLWE and the mentioned obstacles, the details need to be crafted carefully. We build our required tools step by step, namely we extend the similar variants of mhe-LWE and LHL over rings (called mhe-RLWE and Ring-LHL respectively). Our mhe-RLWE assumption not only supports the hints over the error but also over the secret. This property gives special flexibility in the security proof to still improve the size of the parameters. We then construct two IPFE schemes based on RLWE assumption: an adaptively secure whose security proof employs mhe-RLWE and Ring-LHL, and a more efficient but just selectively secure scheme relying only on mhe-RLWE. Thanks to the extra property of our mhe-RLWE, we can remove the need for the Ring-LHL in the security proof of our selective IPFE. Our security proof for the adaptive IPFE avoids the complex entropy discussion appeared in the previous works [4, 7, 35] and consequently improves the size of the public key.

**Contribution 1.** We present a ring version of mhe-LWE that we call mhe-RLWE. The mhe-RLWE problem is to distinguish two RLWE samples, given

additional information on the secret and noise term through some hints of a special form. More precisely:

- The task of mhe-RLWE is to distinguish between the distributions

$$(a, ar + f, (e_i, s_i, e_i r + g_i, s_i f + h_i)_{i \in [\ell]}) \text{ and } (a, u, (e_i, s_i, e_i r + g_i, s_i f + h_i)_{i \in [\ell]}).$$

where  $a, u$  are uniformly sampled from  $\mathbb{R}_q$ , polynomials  $r, f, g_i, h_i$  are sampled from Gaussian distributions, and  $s_i, e_i$  with  $\|s_i\|_\infty, \|e_i\|_\infty \leq C$  are arbitrary polynomials with bounded coefficients.

In comparison with mhe-LWE, where hints are scalar products  $\langle s_i, f \rangle$  with (high dimensional) vectors  $s_i$  sampled from a specific distribution  $\tau$ , in mhe-RLWE hints are ring products of the form  $s_i f + h_i$  with  $s_i$  arbitrary bounded elements of  $\mathbb{R}_q$  and additional noise  $h_i$  is introduced. An important observation is that our mhe-RLWE not only includes hints over the noise but also over the secret, which makes it of independent interest and flexible to be used in more complex cryptosystems. Moreover, the reduction from mhe-LWE to LWE requires  $m = \Omega(n \log n)$  samples, which directly affects the performance and the size of the keys in IPFE scheme, while no such requirement is needed in mhe-RLWE.

Intuitively, to prove the reduction from mhe-RLWE to RLWE, the main idea is that for a given RLWE sample  $(a, b = ar + f)$  one can sample additional randomnesses  $r', f', g'_i, h'_i$  from specific distributions, so that  $(a, b' = b + ar' + f', (e_i, s_i, e_i r' + g'_i, s_i f' + h'_i))$  has the right distribution to be submitted to the mhe-RLWE solver.

To show that the distribution obtained in this way is statistically close to the the one in the real game, we generalize a lemma expressing that the sum of two particular discrete Gaussian distributions (one on  $\mathbb{Z}^n$  and the other one on a sub-lattice) is (close to) Gaussian. Intuitively, we define these distributions based on values  $e_i$ , jointly sample polynomials  $r', g'_i$  and use the mentioned lemma to show that hints  $e_i r' + g'_i$  and simulated secret  $r + r'$  have the right distribution (similarly for the hints over the error). The reduction is not trivial by itself as one needs to build the correct lattice allowing to apply the mentioned lemma.

The second required tool (to develop our RLWE-based IPFE scheme) is a ring version of LHL (Ring-LHL). Informally, in Ring-LHL the main goal is to show that the distribution  $\sum_{i=1}^k a_i t_i \in \mathbb{R}_q$  is close to uniform when  $\mathbf{a} = (a_1, \dots, a_k)$  is fixed with  $a_i$  uniformly sampled from the ring and  $\mathbf{t} = (t_1, \dots, t_k)$  is sampled from a distribution with high min-entropy over the ring. In [34], authors presented a special case of Ring-LHL where  $\mathbf{t}$  is sampled from a Gaussian distribution and no extra information is available.

For our RLWE-based IPFE, Ring-LHL is needed to show that  $\sum_{i=1}^k a_i t_i$  is close to uniform even in the presence of additional information leaking on  $\mathbf{t}$  through the public-key. While the result from [34] enjoys small entropy demands on values  $t_i$  and small value  $k$ , it can not handle the information leakage. On the other hand, the result from [23] is theoretically sufficient and can handle the

leakage, however, it suffers from large parameters, specially the size of  $k$  (length of vector  $\mathbf{a}$ ) is of order of the security parameter. There are still similar versions of Ring-LHL (such as [27]) but due to the need for clear and efficient choice of parameters, we propose a special version of Ring-LHL which manages to handle the information leaking from the public-key and still enjoys small parameters. In fact, we generalize the Ring-LHL version of [34] from  $(\mathbf{a}, \langle \mathbf{a}, \mathbf{t} \rangle)$  to the matrix-coefficient  $(\mathbf{A}, \mathbf{A}\mathbf{t})$ , which is enough for our aim in the security proof of IPFE.

**Contribution 2.** Apart from relying on LWE, both schemes [4] and [7] require LHL to insert a uniform term in the ciphertext. We present two IPFE constructions based on RLWE, our first IPFE scheme is selectively-secure with smaller parameters, while our second scheme is adaptively-secure. The compactness of RLWE brings two benefits to our schemes: it not only improves the efficiency of encryption in general, but also allows for parallel encryptions while the computational-complexity does not grow by the number of encryptions. Technically, this means a single decryption returns a matrix-multiplication, rather than an inner-product value.

For each of our schemes we follow a somehow different proof technique. Particularly, in our first construction, for the sake of a higher efficiency, we avoid the use of Ring-LHL in the security proof. More precisely, in our selectively-secure IPFE (sel-IPFE) scheme, at the first step, we use mhe-RLWE which leads to the appearance of a term  $u \cdot s_i$  in the ciphertext associated with the  $i$ -th slot, where  $u \in \mathbb{R}_q$  is uniform and  $s_i \in \mathbb{R}$  is the secret-key sampled from Gaussian distribution. Then in the second step, we change the structure of the secret-key in an indistinguishable way, which is only possible in the selective setting. This new structure allows us to remove the secret  $s_i$  from the functional-key, while it is still present in the public-key  $\mathbf{pk}_i = as_i + e_i$ . Having the noise term in the public-key and an extra noise in the ciphertext allow us to see  $s_i$  as the secret for two samples of RLWE in the public-key and in the ciphertext. Thus we rely on two samples of RLWE rather than relying on Ring-LHL.

For our adaptively secure IPFE, the first step is similar to the one in sel-IPFE while here  $\mathbf{u}$  and  $\mathbf{s}_i$  belong to  $\mathbb{R}_q^m$  (vector-of-polynomials). Then we step back to the selective-game and change the structure of  $\mathbf{s}_i$  to get rid of it in the functional-key. Interestingly, we have the freedom to come back to the adaptive-game via a mechanism similar to the Complexity Leveraging (CL) and without losing any factor of the security. The prominent observation here is that after stepping back to the selective-security, all of our upcoming games (in the sequence of the games) are statistically-indistinguishable, thanks to the use of Ring-LHL rather than RLWE assumption (unlike how we proceeded in our sel-IPFE). This means all these games can be upgraded to their adaptive versions by the correct setting of the parameters in the statistical arguments. The approach is similar to the one in [35] based on LWE assumption. But we manage to avoid a rather complicated entropy discussion, needed for their version of leftover hash lemma, since it results in a big parameter  $m$  reflected in the size of the public key. Instead, we indistinguishably change the generation of the secret key and remove it from the functional key.

This simplifies the proof, since we can use our simple extension of Ring-LHL for  $\mathbf{A} = \begin{pmatrix} \mathbf{a} \\ \mathbf{u} \end{pmatrix}$  to replace  $\mathbf{a}\mathbf{s}_i$  and  $\mathbf{u}\mathbf{s}_i$  with uniform values, respectively, in the public-key and in the ciphertext. In Ring-LHL with  $\mathbf{A} \in \mathbb{R}_q^{k \times m}$ , the only condition on  $m$  is that  $m \geq k + 1$ , where in our case  $k = 2$ . Thus, we can consider  $m = 3$ , which means that in comparison with our sel-IPFE the size of the key increases only by a constant size. The use of Ring-LHL demands the variance of secrets to be greater than the one in the selective case, but still giving a reasonable efficiency.

In Fig. 1 we present a general comparison of our scheme with related works.

**Contribution 3.** We provide an efficient implementation to substantiate our claims of efficiency. Our scheme needs large polynomials where each coefficient can span multiple machine words. Further, the number of polynomial multiplications required in our inner-product functional scheme increases linearly with the length of the vectors. To overcome this, we provide a residue number system based implementation using Chinese remainder theorem and number theoretic transform based multiplication. We further show how the construction of the functional encryption scheme can be exploited to speed-up the multiplication. To reduce the risk of side-channel attacks we avoid all secret dependent branching and use a state-of-the-art constant-time discrete Gaussian sampler to generate error and secret polynomials. Finally, we show using a real-world use case that our work can be helpful for providing practical solutions for privacy-preserving machine learning applications.

	$ \text{mpk} $	$ \text{msk} $	$ \text{ct} $	$ \text{sk}_f $
ALS16 [7]	$O(n^2 \log^2 q + \ell n \log q)$	$O(\ell n \log^2 q)$	$O(n \log q^2 + \ell \log q)$	$O(n \log^2 q)$
ABDP15 [4]	$O((n + \ell)n \log^2 q)$	$O(\ell n \log q)$	$O((n + \ell) \log q)$	$O(n \log q)$
RLWE-FE	$O(\ell n \log q)$	$O(\ell n \log q)$	$O(\ell n \log q)$	$O(n \log q)$
	Setup	Encryption	KeyGen	Decryption
ALS16 [7]	$O(\ell n^2 \log q)$	$O(n^2 \log q + \ell n)$	$O(\ell n \log q)$	$O(n \log q + \ell)$
ABDP15 [4]	$O(\ell n^2 \log q)$	$O((\ell + n)n \log q)$	$O(\ell n)$	$O(\ell + n)$
RLWE-FE	$O(\ell n \log n)$	$O(\ell n \log n)$	$O(\ell n)$	$O(\ell n + n \log n)$

**Fig. 1.** Complexity comparison with related works. Upper and bottom part of the table respectively present the space and time complexity where the operations are in  $\mathbb{Z}_q$ . Value  $\ell$  is the length of the message-vector,  $n$  and  $q$  are LWE or RLWE parameters. Since in our adaptively-secure FE scheme  $m = 3$ , all the above complexity arguments are the same for both of our schemes. However, other parameters, such as the choice of standard deviations, are different.

**Contribution 4.** In order to bring our IPFE scheme closer to the practical use, we extend our scheme to a (D)MCFE scheme without significantly increasing its complexity. In [6], the authors presented a general compiler to transfer a

single-input IPFE to a multi-input IPFE<sup>1</sup>. Later in [3] it was argued that the resulting scheme is also secure against corruptions (removing the trust among the users), while still it does not support labels. In practice labels are needed to prevent undesired mixing of ciphertexts. Hence in this paper, we additionally develop a compiler which can transfer a multi-input FE supporting corruptions (but not labels) to a multi-client scheme (supporting labels). Similar outcome can be achieved with a compiler from [2], but with the ciphertext-size growing quadratically w.r.t the number of clients. On the other hand, one can build a MCFE based on RLWE in a non black-box way, similar to the MCFE scheme in [5] which is based on LWE problem. More precisely, the construction is based on LWE with rounding, which, intuitively, needs a bigger modulus  $q$ . Our compiler would not change the size of the modulus or the ciphertext.

The main idea of the construction in [6] is that, to encrypt a message  $\mathbf{x}_i$  one indeed encrypts the message  $\mathbf{x}_i + \mathbf{u}_i$  by the single-input IPFE scheme, where  $\mathbf{u}_i$  is the secret key of user  $i$ . The functional key  $\mathbf{sk}_f$  has two main parts one to apply the decryption of IPFE and the other one to remove terms involved with  $\mathbf{u}_i$ . Our compiler extends this idea to the labeled multi-client setting (in RO model) by adding another secret key  $H(\mathbf{u}'_i, \gamma)$  such that the client  $i$  now encrypts  $\mathbf{x}_i + \mathbf{u}_i + H(\mathbf{u}'_i, \gamma)$ . This leads to what is known as identity-based MCFE where each functional key is associated with a label (or identity)  $\gamma$  as  $\mathbf{sk}_{f,\gamma}$ . Let  $\ell$  be the number of clients,  $L$  be the number of issued labels and  $m$  be the number of different vectors  $\mathbf{y}$  for which the functional key is issued. Then our scheme results in a joint ciphertext of size  $\ell L$  and a functional key of size  $mL$ , while the general compiler of [2] generates ciphertexts of size  $\ell^2 L$  and functional key of size  $m$ . This means for the applications which  $\ell$  is big, our scheme obtains a much better efficiency. This can include the applications such as aggregation and analyse of data from thousands of clients (health centers, data servers, etc.) during one year such that the data is processed daily (i.e.,  $n = 10000$  and  $L = 365$ ). Moreover, the fact that the functional key depends on  $\gamma$  can be seen as a kind of fine-grained access control, that can be use even data encrypted in parallel in one ciphertext.

In [3], authors present a general compiler to transfer a MCFE to a decentralized MCFE scheme, when the underlying scheme satisfies a special form of the functional key. More in details, at the setup phase the vector  $\mathbf{0}$  is shared among the clients such that  $\mathbf{v}_i$  is the secret key of the client  $i$  and  $\sum \mathbf{v}_i = \mathbf{0}$ . Then the functional key  $\mathbf{sk}_f$ , which has a inner-product form  $\mathbf{sk}_f = \sum_i \langle \mathbf{u}_i, \mathbf{y}_i \rangle$ , is decentralized via generating  $\langle \mathbf{u}_i, \mathbf{y}_i \rangle + \langle \mathbf{v}_i, \mathbf{y} \rangle$  by the  $i$ -th client. For our MCFE scheme, since the secret key  $H(\mathbf{u}'_i, \gamma)$  depends on  $\gamma$  we can not directly apply their compiler over our scheme. To go around this problem we present a generalized distributed sum (GDSum) protocol which allows us to generate functions  $H_i(\gamma)$  (depending on a label  $\gamma$ ) such that  $\sum H_i(\gamma) = 0$ . We use GDSum as a building block to extend the compiler of [3] to an identity-based DMCFE. Finally, we show that our RLWE-based IPFE scheme has all the required

<sup>1</sup> Multi-input FE can be seen as a weaker version of MCFE where it may not support labels or corruptions.



properties to be used in our compilers, and so be extended to a identity-based MCFE or DMCFE scheme based on RLWE assumption.

## 2 Preliminaries

### 2.1 Notations

In this paper we shall denote with  $\mathbb{R}$  a polynomial ring  $\mathbb{R} = \mathbb{Z}[x]/\Phi$  where  $\Phi$  is an irreducible polynomial. For the sake of simplicity (and implementation)  $\Phi$  will be equal to  $x^n + 1$ , where  $n$  is a power of 2. We shall use a standard notation  $\mathbb{R}_q$  to denote  $\mathbb{R}/q\mathbb{R} = \mathbb{Z}_q[x]/\Phi$ . The modulus  $q$  is chosen such that polynomial  $\Phi$  of degree  $n$  factors into  $n$  distinct linear polynomials over  $\mathbb{Z}_q$ , i.e.  $\Phi = \prod_i \phi_i$ , where each  $\phi_i$  is linear. Therefore, by Chinese Remainder Theorem (CRT), the ring  $\mathbb{R}_q$  factors into  $n$  ideals and can be written as  $\mathbb{R}_q \cong \prod_i \mathbb{R}_q/\phi_i$ . Since each  $\mathbb{R}_q/\phi_i$  is isomorphic to  $\mathbb{Z}_q$ , this gives an isomorphism between  $\mathbb{R}_q$  and  $\mathbb{Z}_q^n$ . The latter is specifically useful in the Ring-LHL argument, and consequently for our adaptively secure IPFE scheme. Moreover, if  $\Phi$  factors as explained, then the multiplication of elements in  $\mathbb{R}_q$  can be implemented particularly efficient in time  $O(n \log n)$  using so called Fast Fourier Transform, which is important for a practical performance.

For  $a \in \mathbb{R}$  (or  $a \in \mathbb{R}_q$ ) a polynomial of degree less than  $n$ , we shall denote  $\mathbf{a} \in \mathbb{Z}^n$  (or  $\mathbf{a} \in \mathbb{Z}_q^n$ ) the vector of the coefficients of  $a$ , and vice versa. When the coefficients of  $a$  are sampled from some distribution  $\chi$  we write  $a \leftarrow \chi$ . In this paper,  $[\ell]$  stands for the set  $\{1, \dots, \ell\}$  and  $\|\mathbf{v}\|_\infty$  and  $\|\mathbf{v}\|$  stand for the infinity and Euclidean norm, respectively. We write  $x \stackrel{\mathcal{R}}{\leftarrow} X$  to show that the element  $x$  is sampled uniformly at random from the set  $X$ . The security parameter is denoted by  $\kappa$  (which is independent from parameters for RLWE problem).

### 2.2 Discrete Gaussian Distribution

In this section we give a definition of the discrete Gaussian distribution and present some results regarding it that will be used latter in the paper.

**Definition 1.** *A discrete Gaussian distribution  $D_{\Lambda, \sqrt{\Sigma}, \mathbf{c}}$ , for  $\mathbf{c} \in \mathbb{R}^n$ ,  $\Sigma$  a positive semi-definite matrix in  $\mathbb{R}^{n \times n}$ , and  $\Lambda \subset \mathbb{Z}^n$  a lattice, is a distribution with values in  $\Lambda$  and probabilities*

$$\Pr(X = \mathbf{x}) \propto \exp\left(-\frac{1}{2}(\mathbf{x} - \mathbf{e})^T \Sigma^+(\mathbf{x} - \mathbf{e})\right).$$

Note that  $\Sigma^+$  denotes the pseudoinverse of a matrix. If  $\Lambda = \mathbb{Z}^n$  we shall write just  $D_{\sqrt{\Sigma}, \mathbf{c}}$ . Furthermore, if  $\mathbf{c} = 0$ , then we shall write just  $D_{\sqrt{\Sigma}}$ , and if  $\sqrt{\Sigma} = \sigma I_n$  for  $\sigma \in \mathbb{R}^+$  and  $I_n$  an identity matrix, we write  $D_\sigma$ .

We define  $\rho_B(\mathbf{x}) = \exp(-\mathbf{x}^T (BB^T)^{-1} \mathbf{x})$ . It follows directly from the definition that for any invertible matrix  $\beta$  it holds  $\rho_{\sqrt{\Sigma}}(\beta^{-1} \mathbf{x}) = \rho_{\beta \sqrt{\Sigma}}(\mathbf{x})$ . For a lattice  $\Lambda$  we shall write  $\rho_B(\Lambda) = \sum_{\mathbf{x} \in \Lambda} \rho_B(\mathbf{x})$ .

We have the following useful fact showing that values from a discrete Gaussian distribution can be bounded.

**Lemma 1** ([24]). *For any  $k > 0$ ,  $\Pr_{x \leftarrow D_\sigma} [|x| > \sqrt{k}\sigma] \leq 2e^{-k/2}$ . (one dimension Gaussian)*

For any lattice  $\mathcal{L}$  and positive real  $\epsilon > 0$ , the *smoothing parameter*  $\eta_\epsilon(\mathcal{L})$  is the smallest real  $s > 0$  such that  $\rho_{s^{-1}I}(\widehat{\mathcal{L}} \setminus \{0\}) \leq \epsilon$  where  $\widehat{\mathcal{L}} := \{\mathbf{w} : \langle \mathbf{w}, \mathcal{L} \rangle \subset \mathbb{Z}\}$  is the dual of  $\mathcal{L}$ .

**Lemma 2** ([4, 18]). *Let  $\Sigma$  be a positive semi-definite matrix. For every  $\mathbf{c} \in \mathbb{R}^n$  in the span of  $\Sigma$  it holds  $\rho_{\sqrt{\Sigma}}(\mathbf{c} + \mathbb{Z}^n) = \rho_{\sqrt{\Sigma}}(\mathbb{Z}^n)\mu_{\mathbf{c}}$ , for some  $\mu_{\mathbf{c}} \in [\frac{1-\epsilon}{1+\epsilon}, 1]$ , as long as  $\sqrt{\Sigma} \geq \eta_\epsilon(\mathbb{Z}^n)$ .*

Discrete Gaussian distribution has many nice properties, for example: its samples can be easily bounded, and sampling from it is computationally feasible. It is well known that the sum of continuous independent Gaussian distributions is also Gaussian. The following lemma discusses that the sum of *discrete* Gaussian variables is (close to) Gaussian under certain conditions over Gaussian parameters. A special case of this lemma was proved and used in [4].

**Lemma 3.** *Let  $L(B) \subseteq \mathbb{Z}^n$  be a sub-lattice with dimension  $k$  whose basis is given by the columns of  $(n \times k)$ -matrix  $B$ . Let  $\Sigma \in \mathbb{R}^{n \times n}$  be a positive definite matrix and define  $\Sigma' = \sigma'^2 B B^T$ . Then sampling  $\mathbf{e}$  from a discrete Gaussian distribution  $D_{\sqrt{(\Sigma + \Sigma')}}$  is indistinguishable from sampling  $\mathbf{e} = \mathbf{e}_1 + \mathbf{e}_2$ , where  $\mathbf{e}_1$  is sampled from  $D_{\sqrt{\Sigma}}$  and  $\mathbf{e}_2 \in L(B)$  is independently sampled from  $D_{\sqrt{\Sigma'}}$ , as long as the eigenvalues of  $\Gamma_{\Sigma, \Sigma'} := \sqrt{\sigma'^2 I_k - \sigma'^4 B^T (\Sigma + \Sigma')^{-1} B}$  are greater than the smoothing parameter  $\eta_\epsilon(\mathbb{Z}^k)$ .*

*Proof.* Define

$$\Sigma'' = \begin{bmatrix} \Sigma & 0 \\ 0 & \sigma'^2 I_k \end{bmatrix}, \beta = [I_n \ B], \beta' = \begin{bmatrix} I_n & B \\ X^T & I_k + X^T B \end{bmatrix}, X = -\sigma'^2 (\Sigma + \Sigma')^{-1} B$$

Defining  $\Sigma''' = (\beta' \sqrt{\Sigma''})(\beta' \sqrt{\Sigma''})^T$  we have by a simple calculation

$$\Sigma''' = \begin{bmatrix} \Sigma + \Sigma' & 0 \\ 0 & \sigma'^2 I_k - \sigma'^4 B^T (\Sigma + \Sigma')^{-1} B \end{bmatrix}.$$

Let  $\mathbf{e}_1$  be sampled from  $D_{\sqrt{\Sigma}}$  and  $\mathbf{e}_2$  be sampled from  $D_{\sigma' I_k}$ . Let  $\mathbf{e} = \mathbf{e}_1 + B\mathbf{e}_2$ . Notice that sampling  $\mathbf{e}_3 \in L(B)$  from  $D_{\sqrt{\Sigma'}}$  is by definition equivalent to sampling  $B\mathbf{e}_2$  where  $\mathbf{e}_2$  is sampled from  $D_{\sigma' I_k}$ . Let  $\mathbf{e}' = \begin{bmatrix} \mathbf{e}_1 \\ \mathbf{e}_2 \end{bmatrix}$ , and notice that  $\mathbf{e}'$  is sampled from  $D_{\sqrt{\Sigma'''}}$ . Now

$$\begin{aligned}
 \Pr(\mathbf{e} = \mathbf{z}) &= \Pr(\beta \mathbf{e}' = \mathbf{z}) \\
 &= \sum_{\mathbf{s} \in \mathbb{Z}^k} \Pr(\beta' \mathbf{e}' = \begin{bmatrix} \mathbf{z} \\ X^T \mathbf{z} + \mathbf{s} \end{bmatrix}) = \sum_{\mathbf{s} \in \mathbb{Z}^k} \Pr(\mathbf{e}' = \beta'^{-1} \begin{bmatrix} \mathbf{z} \\ X^T \mathbf{z} + \mathbf{s} \end{bmatrix}) \\
 &\propto \sum_{\mathbf{s} \in \mathbb{Z}^k} \rho_{\sqrt{\Sigma'}}(\beta'^{-1} \begin{bmatrix} \mathbf{z} \\ X^T \mathbf{z} + \mathbf{s} \end{bmatrix}) \propto \sum_{\mathbf{s} \in \mathbb{Z}^k} \rho_{\beta' \sqrt{\Sigma'}}(\begin{bmatrix} \mathbf{z} \\ X^T \mathbf{z} + \mathbf{s} \end{bmatrix}) \\
 &\propto \sum_{\mathbf{s} \in \mathbb{Z}^k} \rho_{\sqrt{\Sigma + \Sigma'}}(\mathbf{z}) \rho_{\sqrt{\sigma'^2 I_k - \sigma'^4 B^T (\Sigma + \Sigma')^{-1} B}}(X^T \mathbf{z} + \mathbf{s}) \\
 &\propto \rho_{\sqrt{\Sigma + \Sigma'}}(\mathbf{z}) \rho_{\sqrt{\sigma'^2 I_k - \sigma'^4 B^T (\Sigma + \Sigma')^{-1} B}}(X^T \mathbf{z} + \mathbb{Z}^k) \\
 &\propto \rho_{\sqrt{\Sigma + \Sigma'}}(\mathbf{z}) \rho_{\sqrt{\sigma'^2 I_k - \sigma'^4 B^T (\Sigma + \Sigma')^{-1} B}}(\mathbb{Z}^k) \mu_{\mathbf{z}} \quad \text{by Lemma 2} \\
 &\propto \rho_{\sqrt{\Sigma + \Sigma'}}(\mathbf{z}) \mu_{\mathbf{z}}, \text{ for } \mu_{\mathbf{z}} \in \left[ \frac{1 - \epsilon}{1 + \epsilon}, 1 \right]
 \end{aligned}$$

where Lemma 2 can be applied as long as the eigenvalues of matrix  $\Gamma_{\Sigma, \Sigma'} > \eta_\epsilon(\mathbb{Z}^k)$ , where  $\Gamma_{\Sigma, \Sigma'} := \sqrt{\sigma'^2 I_k - \sigma'^4 B^T (\Sigma + \Sigma')^{-1} B}$ .  $\square$

We shall be using Lemma 3 in the following cases. We will have  $\Sigma = \sigma^2 I_n - \sigma'^2 B B^T$ ,  $\Sigma' = \sigma'^2 B B^T$  so that  $\Sigma + \Sigma' = \sigma^2 I_n$ . Then

$$\sqrt{\sigma'^2 I_k - \sigma'^4 B^T (\Sigma + \Sigma')^{-1} B} = \sigma' \sqrt{I_k - \frac{\sigma'^2}{\sigma^2} B B^T}$$

which is  $> \eta_\epsilon(\mathbb{Z}^k)$  for example if  $\sigma^2 = 2 \|\sigma'^2 B B^T\|$  and  $\sigma' > 2\eta_\epsilon(\mathbb{Z}^k)$ , but more specific bounds can be derived as well.

### 2.3 RLWE Problem

In the seminal work [26], the authors introduced RLWE problem and study its hardness. In the following we define RLWE problem, while one can consult [26] for the choice of the parameters in the reduction from SIVP, a standard hard lattice-problem, to RLWE.

**Definition 2 ((Decisional) RLWE<sup>2</sup>).** *The Ring Learning With Errors problem, w.r.t the ring  $\mathbb{R}_q$  and the distribution  $D_\sigma$ , is to distinguish between two following distributions with the secret  $s \leftarrow D_\sigma$  fixed for all the samples,*

$$D = \{(a, as + e) : a \xleftarrow{\mathbb{R}} \mathbb{R}_q, e \leftarrow D_\sigma\}, \quad D' = \{(a, u) : a, u \xleftarrow{\mathbb{R}} \mathbb{R}_q\}$$

### 2.4 Functional Encryption

This section discusses the syntax of a FE scheme and its security notion.

**Definition 3 (Functional Encryption scheme).** *A FE scheme parameterized by  $\rho = (X, Y, Z, f)$  for functionality  $f : X \times Y \rightarrow Z$ , is defined by four following algorithms.*

<sup>2</sup> Here we have considered a special form of RLWE which would be used in this paper.

- $(\text{mpk}, \text{msk}) \leftarrow \text{Setup}(1^\kappa)$ : where **Setup** receives security parameter  $\kappa$ , and returns a pair of master public and secret key. The public-key implicitly defines the functionality-parameter  $\rho$ .
- $\text{ct} \leftarrow \text{Enc}(\text{mpk}, \mathbf{x})$ : where **Enc** receives the master public-key  $\text{mpk}$  and a message  $\mathbf{x} \in X$ , and it returns a ciphertext  $\text{ct}$ .
- $\text{sk}_y \leftarrow \text{KeyGen}(\text{msk}, \mathbf{y})$ : where **KeyGen** receives the master secret-key  $\text{msk}$  and function  $\mathbf{y} \in Y$ , then it returns a functional-key  $\text{sk}_y$ .
- $Y := \text{Dec}(\text{ct}, \text{sk})$ : it receives a ciphertext  $\text{ct}$  and a functional-key  $\text{sk}$ , and returns  $\perp$  or a value in the range of  $f$ .

**Correctness.** For a correct execution of the above encryption system,  $\text{Dec}(\text{ct}, \text{sk}_F)$  would return  $f_y(\mathbf{x})$  with overwhelming probability, where  $\text{ct} \leftarrow \text{Enc}(\text{mpk}, \mathbf{x})$  and  $\text{sk}_y \leftarrow \text{KeyGen}(\text{msk}, \mathbf{y})$ . For the inner-product functionality we have  $f_y(\mathbf{x}) = \langle \mathbf{x}, \mathbf{y} \rangle = \sum_{i \in [l]} x_i y_i$ , where  $\mathbf{x} \in \mathcal{M}_1^\ell, \mathbf{y} \in \mathcal{M}_2^\ell$  for some  $\mathcal{M}_1^\ell, \mathcal{M}_2^\ell$  message and function space.

**Security Notion.** Following the standard security notion for FE [4, 11], the game  $\text{IND}_{\mathcal{A}}^b(1^\kappa)$  between the adversary  $\mathcal{A}$  and challenger is defined as follows, where  $b \stackrel{R}{\leftarrow} \{0, 1\}$ .

- *Initialize*: The challenger runs  $(\text{msk}, \text{mpk}) \leftarrow \text{Setup}(1^\kappa)$  and send  $\text{mpk}$  to  $\mathcal{A}$ .
- *Query*: The adversary adaptively submits queries  $\mathbf{y}$  and receives the response  $\text{sk}_y = \text{KeyGen}(\text{msk}, \mathbf{y})$  from the challenger.
- *Challenge*: The adversary submits messages  $\mathbf{x}^0, \mathbf{x}^1$ , the challenger runs  $\text{ct} \leftarrow \text{Enc}(\text{mpk}, \mathbf{x}^b)$  and returns it to  $\mathcal{A}$ . The challenge should satisfy the constraint  $f_y(\mathbf{x}^0) = f_y(\mathbf{x}^1)$  for all the previously issued queries  $\mathbf{y}$ .
- *Query*: The adversary adaptively submits queries  $\mathbf{y}$  and receives the response  $\text{sk}_y = \text{KeyGen}(\text{msk}, \mathbf{y})$ , where the queries  $\mathbf{y}$  should satisfy the constraint  $f_y(\mathbf{x}^0) = f_y(\mathbf{x}^1)$ .
- *Finalize*: The adversary outputs a bit  $b'$  as its guess for the bit  $b$ .

We say a FE scheme is (adaptively) indistinguishable-secure (IND-secure), if for any *PPT* adversary  $\mathcal{A}$  there is a negligible function  $\text{negl}$  such that,

$$\text{Adv}_{\mathcal{A}}^{\text{FE}}(\text{IND}_{\mathcal{A}}^b) = |\Pr[\text{IND}_{\mathcal{A}}^1(1^\kappa) = 1] - \Pr[\text{IND}_{\mathcal{A}}^0(1^\kappa) = 1]| \leq \text{negl}(\kappa)$$

Moreover, we say that a FE scheme is selectively secure, if the adversary submits its challenges  $(\mathbf{x}^0, \mathbf{x}^1)$  at the very beginning of the game before seeing the public-key.

## 2.5 Multi-Client FE

In a MCFE scheme data comes from different clients and each client encrypts its data individually. Here we present the standard syntax of MCFE scheme and then clarify its identity-based version.

**Definition 4 (Multi-Client Functional Encryption).** Let  $f$  be a functionality (indexed by  $\rho$ ), and  $\text{Labels} = \{0, 1\}^*$  or  $\{\perp\}$  be a set of labels. A multi-client functional encryption scheme (MCFE) for the functionality  $f$  and the label set  $\text{Labels}$  is a tuple of four algorithms  $\text{MCFE} = (\text{Setup}, \text{KeyGen}, \text{Enc}, \text{Dec})$ :

$\text{Setup}(1^\kappa, 1^\ell, 1^k)$ : Takes as input a security parameter  $\kappa$ , the number of clients  $\ell$ , vectors dimension  $k$  and generates public parameters  $\text{pp}$ . The public parameters implicitly define the functionality-index  $\rho$ . It outputs  $\ell$  secret-keys  $\{\text{ek}_i\}_{i \in [\ell]}$ , the master secret-key  $\text{msk} = \{\text{ek}_i\}_{i \in [\ell]}$  and  $\text{pp}$  (all other algorithms take public parameters  $\text{pp}$ ).

$\text{KeyGen}(\text{msk}, \mathbf{y})$ : Takes the master secret-key  $\text{msk}$  and a function  $\mathbf{y}$ , and outputs a functional-key  $\text{sk}_y$ .

$\text{Enc}(\text{ek}_i, \mathbf{x}_i, \gamma)$ : it receives the secret key  $\text{ek}_i$  and a label  $\gamma \in \text{Labels}$  and the message  $\mathbf{x}_i \in \mathcal{M}^k$  to encrypt, it outputs the ciphertext  $\text{ct}_{i,\gamma}$ .

$\text{Dec}(\text{sk}_y, \text{ct}_{1,\gamma}, \dots, \text{ct}_{\ell,\gamma})$ : Takes as input a functional-key  $\text{sk}_y$  and  $\ell$  ciphertexts  $\text{ct}_{i,\gamma}$  under the same label  $\gamma$  and outputs  $\perp$  or a value in range  $f$ .

A MCFE scheme is correct, if for all  $\kappa, \ell, k \in \mathbb{N}$ , functionality  $f$ ,  $\gamma \in \text{Labels}$ , messages  $\mathbf{x}_i$ , when  $(\text{pp}, \{\text{ek}_i\}_{i \in \ell}, \text{msk}) \leftarrow \text{Setup}(1^\kappa, 1^\ell, 1^k)$ ,  $\text{sk}_y \leftarrow \text{KeyGen}(\text{msk}, \mathbf{y})$ , and  $\text{ct}_{i,\gamma} \leftarrow \text{Enc}(\text{ek}_i, \mathbf{x}_i, \gamma)$  we have

$$\Pr[\text{Dec}(\text{sk}_y, \{\text{ct}_{i,\gamma}\}_{i \in [\ell]}) = f_y(\mathbf{x}_1, \dots, \mathbf{x}_\ell)] = 1.$$

If the algorithm  $\text{KeyGen}$  receives the label  $\gamma$  as input, we call the scheme an *identity-based MCFE scheme*, where the functional key can be applied only over the ciphertexts which share the same identity used in the functional key. Indeed, here the identity is the label.<sup>3</sup>

The security notion allows encryption queries on each individual slot  $i$  and the adversary can corrupt chosen clients, while the privacy of uncorrupted clients is still preserved.

### 3 New Results on Ideal Lattices

In this section we present our new results on lattices which are used in the security proof of our IPFE constructions and might be of independent interest.

#### 3.1 Multi-hint Extended RLWE Problem

We define a variant of the RLWE problem where additional information about the secrets and the noise is given through some hints. These hints are of the form  $e_i r + g_i$  and  $s_i f + h_i$ , where  $e_i, s_i \in \mathbb{R}$  are arbitrary, but with bounded norm  $\|\mathbf{s}_i\|_\infty, \|\mathbf{e}_i\|_\infty \leq C$  for some  $C > 0$ , and  $g_i, h_i$  are sampled from the same distribution as  $r$  and  $f$ . We give a formal definition below.

**Definition 5 (multi-hint extended RLWE (mhe-RLWE)).** Let  $s_i, e_i \in \mathbb{R}$  be arbitrary such that  $\|\mathbf{s}_i\|_\infty, \|\mathbf{e}_i\|_\infty \leq C$  for some  $C > 0$ , and fixed by the adversary in advance. Assume that  $a, u \in \mathbb{R}_q$  are uniformly sampled, and  $r, f, g_i, h_i \in \mathbb{R}_q$  sampled from  $D_{\delta I_n}$  for  $i \in [l]$ , all by the challenger. The multi-hint extended RLWE problem is to distinguish the tuples

$$(a, ar + f, (e_i, s_i, e_i r + g_i, s_i f + h_i)_{i \in [l]}) \text{ and } (a, u, (e_i, s_i, e_i r + g_i, s_i f + h_i)_{i \in [l]}).$$

<sup>3</sup> The syntax of MIFE without label is defined similarly removing the labels from the syntax of MCFE.

We prove that, for properly chosen parameters, mhe-RLWE problem is at least as hard as the standard RLWE problem. Note that its hardness depends on the choice of  $R_q$  (implicitly on  $n$  and  $q$ ), bound  $C$  and Gaussian parameter  $\delta$ . Values  $s_i, e_i$  can be chosen arbitrary and if  $s_i = e_i = 0$  for all  $i \in [l]$ , then the problem corresponds to the standard RLWE problem.

**Theorem 1.** *Let  $R_q, \sigma$  be such that the RLWE problem in  $R_q$  is hard, assuming the secret and errors are sampled from  $D_{\sigma I_n}$ . Then mhe-RLWE problem with bound  $C$  and Gaussian parameter  $\delta$  is hard, when  $\sigma \sqrt{1 - \frac{1}{\delta^2}(\sigma n C \sqrt{l+2})^2} > \eta_\epsilon(\mathbb{Z}^{n+nl})$ .*

*Proof.* We start by analyzing the distributions of the variables in the definition. Let  $\Delta$  be a  $(n + nl) \times (n + nl)$  diagonal matrix with values  $\delta^2$  on the diagonal, i.e.  $\Delta = \delta^2 I_{n+ln}$ . Sampling  $r, g_i$  from  $D_{\delta I_n}$  is by definition indistinguishable from sampling a vector  $(\mathbf{r}, \mathbf{g}_1, \dots, \mathbf{g}_l)$  from  $D_{\sqrt{\Delta}}$ .

Each multiplication  $T_{e_i}(x) = e_i x \in \mathbb{R}$  for  $e_i, x \in \mathbb{R}$  (as a linear function from  $\mathbb{R}$  to  $\mathbb{R}$ ) can be represented as a matrix multiplication  $E_i \mathbf{x}$  (and thus a liner function from  $\mathbb{Z}^n$  to  $\mathbb{Z}^n$ ) for some matrix  $E_i$  of dimension  $n \times n$ , independent of  $x$ . Let  $\bar{A}$  be a subspace of  $\mathbb{R}^{n+nl}$  defined on all the vectors  $\mathbf{v} = (\mathbf{r}, -E_1 \mathbf{r}, \dots, -E_l \mathbf{r})$  for arbitrary  $\mathbf{r} \in \mathbb{R}$ . Then  $A = \mathbb{Z}^{n+nl} \cap \bar{A}$  is precisely the sub-lattice of all vectors  $(\mathbf{r}, \mathbf{g}_1, \dots, \mathbf{g}_l)$  for which the hints  $e_i r + g_i = 0$ .

Then elements of  $A$  can be written as  $L\mathbf{r}$  for  $\mathbf{r} \in \mathbb{R}$ , where  $L$  is a matrix of dimension  $(n + nl) \times n$  as follows:

$$L = \begin{bmatrix} I \\ -E_1 \\ -E_2 \\ \vdots \\ -E_l \end{bmatrix}$$

When  $r$  is sampled from a Gaussian distribution  $D_{\sigma I_n}$ , the distribution of vector  $L\mathbf{r}$  is  $D_{A, \sqrt{B}}$ , where the positive semi-definite matrix associated with  $A$  is defined as  $B = \sigma^2 L L^T$ .

Now we define matrix  $A = \Delta - B$ , that will be later used as a Gaussian parameter. We claim that matrix  $A$  is positive semi-definite, assuming the bounds from the theorem hold.

We use the following result to prove  $A$  is positive semi-definite for a proper choice of parameters. Recall that a matrix is  $X = [x_{ij}]$  is diagonally dominated if  $|x_{ii}| \geq \sum_{j \neq i} |x_{ij}|$  for any  $i$ . By a classical result from linear algebra, if a symmetric matrix  $X$  with real components is diagonally dominated, then  $A$  is positive semi-definite. Since  $A$  is symmetric with real components, it is enough to prove that  $A$  is diagonally dominated and the claim follows. Note that by the condition  $\|e_i\|_\infty \leq C$  we have  $\|E_i E_j\|_\infty \leq n C^2$ , meaning that each component of  $E_i E_j$  is bounded by  $n C^2$ . By the definition of  $A = \Delta - B$ , we have  $|A_{ii}| \geq \delta^2 - \sigma^2 n C^2$  and  $\sum_{j \neq i} |A_{ij}| \leq \sigma^2 (l-1) n^2 C^2 + \sigma^2 (n-1) n C^2 + \sigma^2 n C \leq \sigma^2 n^2 C^2 (l+1)$ . Thus if  $\delta \geq \sigma n C \sqrt{l+2}$  the matrix  $A$  is a diagonally dominated matrix. The assumption  $\sigma \sqrt{1 - \frac{1}{\delta^2}(\sigma n C \sqrt{l+2})^2} > \eta_\epsilon(\mathbb{Z}^{n+nl})$  implies the latter.

A similar analysis can be made for vectors  $(\mathbf{f}, \mathbf{h}_1, \dots, \mathbf{h}_l)$  that are also chosen from a Gaussian distribution with matrix parameter  $\Delta$ . We would get positive semi-definite matrices  $A'$  and  $B'$  such that  $A' = \Delta - B'$  and elements sampled from  $B'$  are in the sub-lattice of vectors of the form  $(\mathbf{f}, -S_1\mathbf{f}, \dots, -S_l\mathbf{f})$  with probability as if  $\mathbf{f}$  was sampled from  $D_{\sigma I_n}$ , where  $S_i$  is a matrix representation of  $s_i$ .

Now, we are ready to reduce the security of mhe-RLWE to the security of the RLWE problem. Let  $\mathcal{A}$  and  $\mathcal{B}$  be the adversary respectively to the problem mhe-RLWE and RLWE. Assume the adversary  $\mathcal{B}$  is given a RLWE sample  $(a, b)$ , where  $b$  is either uniformly sampled or calculated as  $b = ar + f$ , where  $r, f$  are sampled from  $D_{\sigma I_n}$ . We show how the adversary  $\mathcal{B}$  uses the adversary  $\mathcal{A}$  to win its game.

The adversary  $\mathcal{A}$  chooses arbitrary  $e_i, s_i$  such that  $\|e_i\|_\infty, \|s_i\|_\infty \leq C, i \in [l]$  and gives them to  $\mathcal{B}$ . Based on  $e_i, s_i$ , the adversary  $\mathcal{B}$  samples  $(\mathbf{r}', \mathbf{g}'_1, \dots, \mathbf{g}'_l)$  from  $D_{\sqrt{A}}$  and  $(\mathbf{f}', \mathbf{h}'_1, \dots, \mathbf{h}'_l)$  from  $D_{\sqrt{A'}}$  (as described above). Then it calculates  $b' = b + ar' + f'$  as the sample and  $e_i r' + g'_i$ , and  $s_i f' + h'_i$  as hints, for  $i \in [l]$  and sends them to  $\mathcal{A}$ . When  $\mathcal{A}$  outputs a bit  $\beta$  as its guess,  $\mathcal{B}$  outputs the same bit  $\beta$ .

If  $b$  was chosen uniformly at random, the distribution of  $b'$  is uniformly random. In the other case,  $b' = a(r + r') + (f + f')$ . To finish the proof we need to confirm that the distributions of  $b'$  and the hints are indistinguishable from the ones defined for mhe-RLWE.

Define  $r^* = r + r', f^* = f + f', g_i = -e_i r + g'_i$ , and  $h_i = -s_i f + h'_i$ . Note that this values are needed only to argue about the distributions of secrets and hints and are not known to  $\mathcal{B}$ , since  $r$  and  $f$  were chosen by the RLWE challenger. More precisely, if  $b$  in RLWE challenge was chosen uniformly at random, one can think of  $r$  and  $f$  as arbitrary sampled from  $D_{\delta I_n}$ . Since  $r$  is sampled from  $D_{\sigma I_n}$ , the distribution of vector  $(r, -e_1 r, \dots, -e_l r)$  is as if it was sampled from  $D_{\sqrt{B}}$ . On the other hand, the vector  $(r', g'_1, \dots, g'_l)$  is sampled from  $D_{\sqrt{A}}$ . Since  $A$  and  $B$  are positive semi-definite and  $A + B = \Delta$ , Lemma 3 implies that the distribution of  $(r + r', g_1, \dots, g_l)$  is indistinguishable from being sampled from  $D_\Delta$ , which is the same as the distribution we have in the assumption. In fact, Lemma 3 can be applied since  $\Gamma_{A,B} = \sigma \sqrt{I_{n+nl} - \frac{\sigma^2}{\delta^2} LL^T} \geq \sigma \sqrt{1 - \frac{1}{\delta^2} (\sigma n C \sqrt{l+2})^2} > \eta_\epsilon(\mathbb{Z}^{n+nl})$ , by assumption.

A similar arguments show that  $(f + f', h_1, \dots, h_l)$  are also indistinguishable from being sampled from  $D_\Delta$ .

Since  $b' = a(r + r') + (f + f') = ar^* + f^*$  this shows that  $b'$  has the right distribution. On the other hand,

$$\begin{aligned} e_i r^* + g_i &= e_i(r + r') - e_i r + g'_i = e_i r' + g'_i \\ s_i f^* + h_i &= s_i(f + f') - s_i f + h'_i = s_i f' + h'_i. \end{aligned}$$

Thus also the hints have the right distribution, and even though  $g_i$  and  $h_i$  are defined w.r.t.  $r$  and  $f$ , the hints are independent of  $r$  and  $f$ . This finishes the proof.  $\square$

### 3.2 Leftover Hash Lemma in Rings

Let  $\mathbf{A} \in \mathbb{R}_q^{k \times m}$  be a  $k \times m$  matrix with elements from  $\mathbb{R}_q$ . The goal of this section is to show that, with properly chosen parameters, the distribution of values  $\mathbf{A}\mathbf{t} \in \mathbb{R}_q^k$ , where  $\mathbf{t} \in \mathbb{R}_q^m$  comes from a discrete Gaussian distribution, is close to uniform. This will be an important building block in designing an adaptively secure IPFE scheme in Sect. 5, but might as well be of an independent interest. Our result generalizes the result in [34], from  $k = 1$  to an arbitrary  $k$ . We follow closely the ideas as well as notation used in [34].

**Theorem 2.** *Let  $n$  be a power of 2 such that  $\Phi = x^n + 1$  splits into  $n$  linear factors modulo a prime  $q$ . Let  $k \geq 1, m \geq 1 + k, \epsilon > 0, \delta \in (0, 1/2)$  and  $\mathbf{t} \in \mathbb{R}_q^m$  sampled from  $D_{\mathbb{Z}^{mn}, \sigma}$  with  $\sigma \geq \sqrt{n \ln(2mn(1 + 1/\delta))} / \pi q^{\frac{k}{m} + \frac{\epsilon}{k}}$ . Then except for at most a fraction of  $2^n q^{-\epsilon n} \left( \frac{q^{mk}}{(q^m - 1)(q^m - q) \dots (q^m - q^{k-1})} \right)^n$  of all  $\mathbf{A} \in (\mathbb{R}_q^{k \times m})^*$  the distance to the uniformity of  $\mathbf{A}\mathbf{t} = (\sum_{i=1}^m a_{1,i}t_i, \dots, \sum_{i=1}^m a_{k,i}t_i)$  is  $\leq 2\delta$ . This implies,*

$$\Delta[\mathbf{A}, \mathbf{A}\mathbf{t}; U((\mathbb{R}_q^{k \times m})^*, \mathbb{R}_q^k)] \leq 2\delta + 2^n q^{-\epsilon n} \left( \frac{q^{mk}}{(q^m - 1)(q^m - q) \dots (q^m - q^{k-1})} \right)^n$$

## 4 Selectively-Secure IPFE Based on RLWE

Our IPFE construction is inspired by the LWE-based IPFE schemes from [4, 7], but here we rely on the RLWE assumption to improve the efficiency. Our construction allows to encrypt  $\ell$ -dimensional non-negative vectors, where infinity norms of the message  $\mathbf{x}$  and the function  $\mathbf{y}$  are bounded by  $B_x$  and  $B_y$ , respectively. We let  $K$  be greater than the maximal value of the resulting inner product i.e.,  $K > \ell B_x B_y$ . We first describe the construction and postpone the parameters-setting, required for the correctness and the security, to Sect. 4.2.

### Construction:

- **Setup:** We sample uniformly at random  $a \in \mathbb{R}_q$  and elements  $\{s_i \in \mathbb{R} \mid i \in [\ell]\}, \{e_i \in \mathbb{R} \mid i \in [\ell]\}$  from  $D_{\sigma_1}$ . Then  $\text{msk} = \{s_i \mid i \in [\ell]\}$  is the master secret-keys and the public-key is  $\text{mpk} = (a, \{\text{pk}_i \mid i \in [\ell]\})$ , where  $\text{pk}_i = as_i + e_i \in \mathbb{R}_q$ .
- **Encryption:** To encrypt a vector  $\mathbf{x} = (x_1, \dots, x_\ell) \in \mathbb{Z}^\ell$  with  $\|\mathbf{x}\|_\infty \leq B_x$  we sample polynomials  $r$  and  $f_0 \in \mathbb{R}_q$  from  $D_{\sigma_2}$ , and polynomials  $\{f_i \in \mathbb{R}_q \mid i \in [\ell]\}$  independently from  $D_{\sigma_3}$ . We fix  $1_{\mathbb{R}}$  to be the identity element of  $\mathbb{R}_q$  (or it can be a polynomial of degree  $n - 1$  with all coefficients equal 1 in  $\mathbb{Z}_q$ ) and calculate:  $\text{ct}_0 = ar + f_0 \in \mathbb{R}_q, \text{ct}_i = \text{pk}_i r + f_i + \lfloor q/K \rfloor x_i 1_{\mathbb{R}} \in \mathbb{R}_q$ . Then  $(\text{ct}_0, \{\text{ct}_i\}_{i \in [\ell]})$  is the encryption of  $\mathbf{x}$ .
- **KeyGen:** To generate a decryption key associated with  $\mathbf{y} = (y_1, \dots, y_\ell) \in \mathbb{Z}^\ell$  such that  $\|y\|_\infty < B_y$ , we calculate  $\text{sk}_y = \sum_{i=1}^\ell y_i s_i \in \mathbb{R}$ .
- **Decryption:** To decrypt  $(\text{ct}_0, \{\text{ct}_i\}_{i \in [\ell]})$  using  $\text{sk}_y$  and  $\mathbf{y}$  we calculate  $d = (\sum_{i=1}^\ell y_i \text{ct}_i) - \text{ct}_0 \text{sk}_y \pmod{\mathbb{R}_q}$ . Then  $d$  should be close to  $\lfloor q/K \rfloor \langle \mathbf{x}, \mathbf{y} \rangle 1_{\mathbb{R}}$  (a bit perturbed coefficients) and we can extract  $\langle \mathbf{x}, \mathbf{y} \rangle$ .



**Correctness.** We can write  $d$  as follows, by replacing ciphertexts and the functional key.

$$d = \sum_i (y_i e_i r + y_i f_i + f_0 y_i s_i) + \lfloor q/K \rfloor x_i y_i 1_{\mathbb{R}} = \text{noise} + \lfloor q/K \rfloor \langle x, y \rangle 1_{\mathbb{R}}$$

For the correctness we need  $\|\text{noise}\|_{\infty} < \lfloor q/2K \rfloor$ . By Lemma 1, for the security parameter  $\kappa$ , with overwhelming probability we have,  $\|e_i\|_{\infty}, \|s_i\|_{\infty} \leq \sqrt{\kappa}\sigma_1$ , also  $\|r\|_{\infty}, \|f_0\|_{\infty} \leq \sqrt{\kappa}\sigma_2$  and  $\|f_i\|_{\infty} \leq \sqrt{\kappa}\sigma_3$ . Thus,

$$\left\| \sum_i y_i (e_i r + f_i + f_0 s_i) \right\|_{\infty} < \ell(2n\kappa\sigma_1\sigma_2 + \sqrt{\kappa}\sigma_3)B_y$$

Meaning that for the correctness we need  $\ell(2n\kappa\sigma_1\sigma_2 + \sqrt{\kappa}\sigma_3)B_y < \lfloor q/2K \rfloor$ .

Game	Description	justification
$\mathbf{G}_0$	$s_i \stackrel{R}{\leftarrow} D_{\sigma_1} \quad e_i \stackrel{R}{\leftarrow} D_{\sigma_1}$ $\text{pk}_i = as_i + e_i \quad \text{ct}_0 = ar + f_0$ $\text{sk} = \sum_i y_i s_i \quad \text{ct}_i = \text{pk}_i r + f_i + \lfloor q/K \rfloor x_i^b 1_{\mathbb{R}}$	Real Game
$\mathbf{G}_1$	$s_i \stackrel{R}{\leftarrow} D_{\sigma_1} \quad e_i \stackrel{R}{\leftarrow} D_{\sigma_1}$ $\text{pk}_i = as_i + e_i \quad \text{ct}_0 = ar + f_0$ $\text{sk} = \sum_i y_i s_i \quad \text{ct}_i = \text{ct}_0 s_i - f_0 s_i + e_i r + f_i + \lfloor q/K \rfloor x_i^b 1_{\mathbb{R}}$	Identical
$\mathbf{G}_2$	$\text{pk}_i = as_i + e_i \quad \boxed{\text{ct}_0 = u + ar + f_0}$ $\text{sk} = \sum_i y_i s_i \quad \text{ct}_i = \text{ct}_0 s_i - f_0 s_i + e_i r + f_i + \lfloor q/K \rfloor x_i^b 1_{\mathbb{R}}$	mhe-RLWE
$\mathbf{G}_3$	$\text{pk}_i = as_i + e_i \quad \text{ct}_0 = u + ar + f_0$ $\text{sk} = \sum_i y_i s_i \quad \text{ct}_i = \text{pk}_i r + us_i + f_i + \lfloor q/K \rfloor x_i^b 1_{\mathbb{R}}$	Identical
$\mathbf{G}_4$	$\boxed{s_i = s^* \alpha_i + s'_i} \quad \boxed{f_i = f^* \alpha_i + f'_i} \quad \boxed{e_i = e^* \alpha_i + e'_i}, \quad \alpha_i = (x_i^1 - x_i^0)$ $\text{pk}_i = \text{pk}_i = as_i + e_i \quad \text{ct}_0 = u + ar + f_0$ $\text{sk} = \sum_i y_i s_i \quad \text{ct}_i = \text{pk}_i r + us_i + f_i + \lfloor q/K \rfloor x_i^b 1_{\mathbb{R}}$	Stati. argu.
$\mathbf{G}_5$	$\text{pk}_i = (as^* + e^*)\alpha_i + as'_i + e'_i \quad \text{ct}_0 = u + ar + f_0$ $\text{sk} = \sum_i y_i s'_i \quad \text{ct}_i = (as^* + e^*)r + (us^* + f^*)\alpha_i + (as'_i + e'_i)r + us'_i + f'_i + \lfloor q/K \rfloor x_i^b 1_{\mathbb{R}}$	Identical.
$\mathbf{G}_6$	$\text{pk}_i = \boxed{u'} \alpha_i + as'_i + e'_i \quad \text{ct}_0 = u + ar + f_0$ $\text{sk} = \sum_i y_i s'_i \quad \text{ct}_i = \boxed{u'} r + \boxed{u''} \alpha_i + (as'_i + e'_i)r + us'_i + f'_i + \lfloor q/K \rfloor x_i^b 1_{\mathbb{R}}$	RLWE independent of $b$

**Fig. 2.** Overview of games for selectively-secure IPFE.

## 4.1 Security Proof

The following theorem proves the selective security of our construction. For the proof, we first rewrite  $\text{ct}_i$  based on  $\text{ct}_0$  simply by replacing  $\text{pk}_i$  with its value  $as_i + e_i$ . This leads to the appearance of the term  $\text{ct}_0 s_i$  in the ciphertext, alongside some leakages on  $r$  and  $f_0$ . We try to formulate these leakages as the hints in the mhe-RLWE assumption, which from there by applying mhe-RLWE, we manage to replace  $\text{ct}_0 s_i$  with  $us_i$  for a uniform polynomial  $u$ . Note that  $s_i$  is appearing in the public-key, ciphertext and also the functional-key. To remove

this term in the public-key and the ciphertext, one can see  $s_i$  as the secret for RLWE samples (with  $a, u$  as the coefficients) together with the noise terms present in the public-key and the ciphertext. Thus intuitively, all we need is to remove  $s_i$  from the functional-key (mainly because there is no error term in the functional-key, we cannot see  $s_i$  as the secret for RLWE samples here). For this, we (indistinguishably) change the structure of  $s_i$  to  $s^*(x_i^1 - x_i^0) + s'_i$  allowing to remove  $s^*$  from the functional-key (thanks to the constraint  $\langle \mathbf{y}, \mathbf{x}^1 - \mathbf{x}^0 \rangle = 0$ ) and looking at  $s^*$  as the secret for two samples of RLWE appearing in the ciphertext and in the public-key. This means a uniform term appears in the ciphertext which hides the bit  $b$ .

**Theorem 3.** *The IPFE scheme from Sect. 4 is sel-IND secure, for a proper choice of parameters (see Sect. 4.2). More precisely,*

$$\text{Adv}_{\mathcal{A}}^{\text{FE}}(\text{sel-IND}_A^b) \leq \text{Adv}_{\mathcal{B}}^{\text{mheRLWE}}(\kappa) + \text{Adv}_{\mathcal{B}'}^{\text{RLWE}} + \text{negl}(\kappa).$$

where  $\text{negl}$  comes from a statistical arguments.

*Proof.* We define the following sequence of the games which are also summarized in Fig. 2. The first game is the real game associated with bit  $b$ , while the last game is independent of bit  $b$ . We will show that each two adjacent games are indistinguishable. Then since the last game is independent of  $b$ , the advantage of the adversary in the real game is negligible. The formal descriptions of games is given as follows.

$\mathbf{G}_0$ : is the real game associated with the bit  $b \stackrel{R}{\leftarrow} \{0, 1\}$ .

$\mathbf{G}_1$ : is the same as game  $\mathbf{G}_0$  when  $\text{ct}_i$  is computed using  $\text{ct}_0$  (by replacing  $\text{pk}_i$  with  $as_i + e_i$ ). Namely,  $\text{ct}_i = \text{ct}_0 s_i - f_0 s_i + e_i r + f_i + \lfloor q/K \rfloor x_i^b 1_R$ . Clearly,  $\text{Adv}_{\mathcal{A}, \mathbf{G}_0}^{\text{FE}}(\kappa) = \text{Adv}_{\mathcal{A}, \mathbf{G}_1}^{\text{FE}}(\kappa)$

$\mathbf{G}_2$ : is similar to the game  $\mathbf{G}_1$  except that  $\text{ct}_0 = ar + f_0$  is replaced with  $\text{ct}_0 = u + ar + f_0$  for a uniformly sampled  $u \in R_q$ .

Here we rely on the mhe-RLWE assumption. The hints of the mhe-RLWE problem are leaked through values  $\text{ct}_i$  where we replace  $f_i$  with  $g_i - h_i$  where  $h_i$  and  $g_i$  are sampled from the same distribution  $D_{\delta I_n}$ . This is possible if in Lemma 3 the positive definite matrices  $\Sigma = \Sigma' = \delta I_n$  satisfy the condition  $\Gamma_{\Sigma, \Sigma'} \geq \eta_\epsilon(\mathbb{Z}^n)$  for  $\epsilon = 2^{-k}$ . Meaning that we should set  $\sigma_3 = \sqrt{2}\delta$  where  $\delta$  is such that the mhe-RLWE assumption holds and also satisfies  $\Gamma_{\delta I_n, \delta I_n} \geq \eta_\epsilon(\mathbb{Z}^n)$ . So, by these conditions,

$$|\text{Adv}_{\mathcal{A}, \mathbf{G}_2}^{\text{FE}}(\kappa) - \text{Adv}_{\mathcal{A}, \mathbf{G}_1}^{\text{FE}}(\kappa)| \leq \text{Adv}_{\mathcal{B}}^{\text{mheRLWE}}(\kappa) + 2\epsilon.$$

$\mathbf{G}_3$ : is the same as game  $\mathbf{G}_2$  when  $\text{ct}_i$  is computed using  $\text{pk}_i$  (instead of  $\text{ct}_0$ ). Namely,  $\text{ct}_i = \text{pk}_i r + us_i + f_i + \lfloor q/K \rfloor x_i^b 1_R$ ,  $\text{ct}_0 = u + ar + f_0$ .

Obviously,  $\text{Adv}_{\mathcal{A}, \mathbf{G}_3}^{\text{FE}}(\kappa) = \text{Adv}_{\mathcal{A}, \mathbf{G}_2}^{\text{FE}}(\kappa)$

To proceed to the next game, we first define the matrices  $\mathbf{S}$ ,  $\mathbf{E}$  and  $\mathbf{F}$ . Recall that the master secret-key is a vector of polynomials  $(s_1, \dots, s_\ell)$  where

each polynomial is in  $\mathbb{R}_q$ . This means one can represent the master secret-key via a matrix  $\mathbf{S}$  of dimension  $\ell \times n$ , where the  $i$ -th row is the vector-representation of polynomial  $s_i$  i.e.,  $\mathbf{S} = \begin{pmatrix} [s_1] \\ \vdots \\ [s_\ell] \end{pmatrix}$ . We shall call  $\bar{s}_j$  the  $j$ -th column of matrix

$\mathbf{S}$ . Similarly matrices  $\mathbf{E}$  and  $\mathbf{F}$  are defined corresponding to the noise vectors  $(e_1, \dots, e_\ell)$  and  $(f_1, \dots, f_\ell)$ . Consequently,  $\bar{e}_j$  and  $\bar{f}_j$  can be defined as the  $j$ -th columns of  $\mathbf{E}$  and  $\mathbf{F}$  (res.). Now we define the next game as follows.

**G<sub>4</sub>**: is similar to the game **G<sub>3</sub>**, except that,  $\bar{s}_j = (s_{1j}, \dots, s_{\ell j})$ ,  $\bar{e}_j = (e_{1j}, \dots, e_{\ell j})$  (note that  $s_{ij}$  is the  $j$ -th coordinate of polynomial  $s_i$  when  $s_i$  is seen as a vector) and  $\bar{f}_j = (f_{1j}, \dots, f_{\ell j})$  for  $s_{ij}, e_{ij} \leftarrow D_{\sigma_1}$  and  $f_{ij} \leftarrow D_{\sigma_3}$ , are respectively replaced with  $s_j^* \alpha + \bar{s}'_j$ ,  $e_j^* \alpha + \bar{e}'_j$  and  $f_j^* \alpha + \bar{f}'_j$  where  $\alpha = \mathbf{x}^1 - \mathbf{x}^0$ , such that scalars  $s_j^*, e_j^*, f_j^*$  are sampled as  $s_j^*, e_j^* \leftarrow D_{\sigma'}$ ,  $f_j^* \leftarrow D_{\sigma''}$  and vectors  $\bar{s}'_j, \bar{e}'_j, \bar{f}'_j$  are sampled as  $\bar{s}'_j, \bar{e}'_j \leftarrow D_\Sigma$ , and  $\bar{f}'_j \leftarrow D_{\Sigma'}$  where  $\Sigma = \sigma_1^2 I_\ell - \sigma'^2 \alpha^T \alpha$ ,  $\Sigma' = \sigma_3^2 I_\ell - \sigma''^2 \alpha^T \alpha$  and  $\sigma', \sigma''$  are positive values.

To show that this game is indistinguishable from its previous game, we apply Lemma 3. Note that since  $\|\alpha\|_\infty \leq 2B_x$ , if  $\sigma_1 > \sqrt{\ell} 2B_x \sigma'$  and  $\sigma_3 > \sqrt{\ell} 2B_x \sigma''$ , then matrices  $\Sigma$  and  $\Sigma'$  are positive definite which is the only requirement in Lemma 3. Thus we have,

$$|\text{Adv}_{\mathcal{A}, \mathbf{G}_4}^{\text{FE}}(\kappa) - \text{Adv}_{\mathcal{A}, \mathbf{G}_3}^{\text{FE}}(\kappa)| \leq 2n(2\epsilon + \epsilon')$$

where  $\epsilon, \epsilon' = 2^{-\kappa}/n$  come from applying Lemma 3 respectively for  $\bar{s}_j, \bar{e}_j$  and  $\bar{f}_j$  with parameters  $\sigma_1, \sigma_3, \sigma', \sigma''$  satisfying  $\Gamma_{\Sigma, \sigma'^2 \alpha^T \alpha} \geq \eta_\epsilon(\mathbb{Z}^n)$  and  $\Gamma_{\Sigma', \sigma''^2 \alpha^T \alpha} \geq \eta_\epsilon(\mathbb{Z}^n)$  for  $j = 1, \dots, n$ .

Now note that with the mentioned changes in the game **G<sub>4</sub>**, one can rewrite  $s_i$  (i.e.,  $i$ -th row of  $\mathbf{S}$ ) as  $s_i = \mathbf{s}^* \alpha_i + s'_i$  where  $\mathbf{s}^* = (s_1^*, \dots, s_n^*)$ ,  $s'_i = (s'_{i1}, \dots, s'_{in})$  and  $s'_{ij}$  is the  $i$ -th component of vector  $\bar{s}'_j$ . Similarly we have,  $e_i = \mathbf{e}^* \alpha_i + e'_i$  and  $f_i = \mathbf{f}^* \alpha_i + f'_i$ . In the next game, we will use the polynomial representation of the above vectors.

**G<sub>5</sub>**: is the same as game **G<sub>4</sub>** where in  $\text{pk}_i, \text{ct}_i$  and  $\text{sk}_y$ , we have replaced  $s_i, e_i$  and  $f_i$  with their new values from game **G<sub>4</sub>**. Thus,

$$\text{pk}_i = (as^* + e^*)\alpha_i + as'_i + e'_i, \quad \text{sk}_y = \sum_i y_i s'_i$$

$$\text{ct}_i = (as^* + e^*)r + (us^* + f^*)\alpha_i + (as'_i + e'_i)r + us'_i + f'_i + \lfloor q/K \rfloor x_i^b 1_{\mathbb{R}}$$

Since the adversary can query only for  $\mathbf{y}$ , with  $\langle \mathbf{y}, \alpha \rangle = 0$ , the key  $\text{sk}_y$  can be rewritten without the term  $\mathbf{s}^*$ . We have,  $\text{Adv}_{\mathcal{A}, \mathbf{G}_5}^{\text{FE}}(\kappa) = \text{Adv}_{\mathcal{A}, \mathbf{G}_4}^{\text{FE}}(\kappa)$

**G<sub>6</sub>**: is similar to the game **G<sub>5</sub>** except that, in  $\text{pk}_i$  and  $\text{ct}_i$  values  $as^* + e^*$  and  $us^* + f^*$  are respectively replaced with uniform polynomials  $u'$  and  $u''$ . Thus,

$$\text{pk}_i = u' \alpha_i + as'_i + e'_i, \quad \text{sk}_y = \sum_i y_i s'_i$$

$$\text{ct}_i = u' r + u'' \alpha_i + (as'_i + e'_i)r + us'_i + f'_i + \lfloor q/K \rfloor x_i^b 1_{\mathbb{R}}$$

We claim that relying on RLWE assumption  $\mathbf{G}_6$  is indistinguishable from  $\mathbf{G}_5$ . Let  $\mathcal{B}$  be the attacker to the RLWE problem with two samples  $(a, b)$  and  $(u, b')$ , it can simply simulate game  $\mathbf{G}_6$  when it has received uniform samples  $b = u'$  and  $b' = u''$ , and it simulates game  $\mathbf{G}_5$  when it has received samples with RLWE structures  $b = as^* + e^*$  and  $b = us^* + f^*$ . This is due to the fact that  $s^*, e^*$  and  $f^*$  have not appeared anywhere else (individually) and the adversary  $\mathcal{B}$  can simulate all other required variables by herself simply by sampling from proper distributions. Therefore,

$$|\text{Adv}_{\mathcal{A}, \mathbf{G}_6}^{\text{FE}}(\kappa) - \text{Adv}_{\mathcal{A}, \mathbf{G}_5}^{\text{FE}}(\kappa)| \leq \text{Adv}_{\mathcal{B}}^{\text{RLWE}}(\kappa)$$

Note that here  $f^*$  and  $e^*$  need to be from the same distribution i.e.,  $\sigma'' = \sigma'$ .

**Adversary-advantage in Game  $\mathbf{G}_6$ .** Now we show that in game  $\mathbf{G}_6$  the advantage of the adversary is zero. This complete the proof. Note that,

$$\begin{aligned} u''\alpha_i + \lfloor q/K \rfloor x_i^b \mathbf{1}_R &= u''(x_i^1 - x_i^0) + \lfloor q/K \rfloor x_i^b \mathbf{1}_R \\ &= \lfloor q/K \rfloor (\lfloor q/K \rfloor^{-1} u''(x_i^1 - x_i^0) + x_i^0 \mathbf{1}_R + b(x_i^1 - x_i^0) \mathbf{1}_R) \\ &= \lfloor q/K \rfloor ((\lfloor q/K \rfloor^{-1} u'' + b \mathbf{1}_R)(x_i^1 - x_i^0) + x_i^0 \mathbf{1}_R) \\ &= \lfloor q/K \rfloor (\hat{u}(x_i^1 - x_i^0) + x_i^0 \mathbf{1}_R), \end{aligned}$$

where  $\lfloor q/K \rfloor^{-1}$  is the inverse of  $\lfloor q/K \rfloor$  in  $\mathbb{Z}_q$  and  $\hat{u}$  is uniformly sampled from  $R_q$ . The last equality (which is due to the uniformity of  $u''$ ) shows that in the game  $\mathbf{G}_6$ , the values  $\text{ct} = (\text{ct}_0, \text{ct}_i)_i$  do not depend on the bit  $b$  and consequently the advantage of the adversary in this game is 0.

*Remark 1.* Note that if one wants to encrypt a matrix  $\mathbf{X}$  rather than a vector  $\mathbf{x}$ , a trivial solution is to run the encryption separately for each row of the matrix. This means that the encryption of a matrix with  $m$  rows needs  $O(mT)$ -computations, where  $O(T)$  is the computational-complexity of one encryption-run. An interesting property of our scheme is that one can use the provided compactness in the encryption to encrypt a matrix  $\mathbf{X}$  only by  $O(T)$  computational-complexity. For this we just need to define vector  $\mathbf{1}_R^k$  for  $k \in [n]$  as the polynomial of degree  $k-1$  in  $R_q$  with all the coefficients zero except  $(k-1)$ th coefficient equals 1. Then  $\text{ct}_i$  would be as  $\text{ct}_i = \mathbf{pk}_i r + f_i + \lfloor q/K \rfloor \sum_{k \in [n]} x_i^k \mathbf{1}_R^k$ , where  $\mathbf{x}^k = (x_i^k)_i$  is the  $k$ th row of  $\mathbf{X}$  and  $\mathbf{X}$  has  $\ell$  columns and maximum  $n$  rows. The security proof is still working with some small editions: we define  $\alpha^k = \mathbf{x}_k^1 - \mathbf{x}_k^0$  associated with  $k$ th row of  $\mathbf{X}$ . Then in  $\mathbf{G}_4$ , we define the new structure of matrices  $\mathbf{S}, \mathbf{E}, \mathbf{F}$  w.r.t all the vectors  $\alpha^k$ . More precisely,  $j$ th column of  $\mathbf{S}$  would be replaced with  $\sum_{k \in [n]} s_{j,k}^* \alpha^k + \bar{s}'_{j,k}$  where  $s_{j,k}^*, \bar{s}'_{j,k}$  are sampled independently for each index  $k$ .

## 4.2 Parameters Setting for Selectively-Secure IPFE

Here we overview the requirement for the parameters for our selectively-secure IPFE scheme, where  $\kappa$  and  $n$  are two separate security parameters (theoretically, one can consider them equal, but we aimed for the efficient implementation).

**Correctness.** Needs  $\ell(2n\kappa\sigma_1\sigma_2 + \sqrt{\kappa}\sigma_3)B_y < \lfloor q/2K \rfloor$  and  $q > K > \ell B_x B_y$ .

**Transition from  $\mathbf{G}_1$  to  $\mathbf{G}_2$ .** Needs  $\sigma_3 = \sqrt{2}\sigma_2$ ,  $\Gamma_{\sigma_2 I_n, \sigma_2 I_n} \geq \eta_\epsilon(\mathbb{Z}^n)$  with  $\epsilon = 2^{-\kappa}$  (where matrix  $\Gamma$  is defined in Lemma 3) and also all the parameter setting from mhe-RLWE assumption i.e.,  $\sigma\sqrt{1 - \frac{1}{\sigma_2^2}(\sigma n C \sqrt{\ell + 2})^2} > \eta_\epsilon(\mathbb{Z}^{n+n\ell})$  where  $\|s_i\|_\infty, \|e_i\|_\infty \leq C$  and  $\sigma$  is the parameter for the hardness of RLWE. By Lemma 1, one can set  $C = \sqrt{\kappa}\sigma_1$ .

**Transition from  $\mathbf{G}_3$  to  $\mathbf{G}_4$ .** Needs  $\sigma_1 > \sqrt{\ell}2B_x\sigma'$  and  $\sigma_3 \geq \sqrt{\ell}2B_x\sigma''$  for non-negatives  $\sigma'$  and  $\sigma''$  where  $\sigma_1, \sigma_3, \sigma', \sigma''$  satisfy  $\Gamma_{\Sigma_j, \sigma'^2 \alpha^r \alpha} \geq \eta_\epsilon(\mathbb{Z}^n)$  and  $\Gamma_{\Sigma_j, \sigma''^2 \alpha^r \alpha} \geq \eta_\epsilon(\mathbb{Z}^n)$  with  $\epsilon, \epsilon' = 2^{-\kappa}/n$ .

**Transition from  $\mathbf{G}_5$  to  $\mathbf{G}_6$ .** Needs the parameter for the hardness of RLWE where the secret and error are from the distribution  $D_{\sigma' I_n}$  and  $\sigma' = \sigma''$ .

**Hardness of RLWE.** As we saw we need the parameters  $q, R, \sigma$  and  $\sigma'$  to satisfy the conditions for the hardness of RLWE. We use the bounds from [26] (Theorem 3.6 of [26]), thus set  $R = \mathbb{Z}[x]/(x^n+1)$ ,  $n$  is a power of 2,  $q = 1 \pmod{2n}$  and  $\sigma = \alpha q(n/\log n)^{1/4}$  and  $\sigma' = \alpha' q(2n/\log(2n))^{1/4}$  where  $\alpha \leq \sqrt{\log n/n}$ ,  $\alpha' \leq \sqrt{\log n/n}$  and  $\sqrt{\alpha q} \geq \omega(\log n)$ ,  $\sqrt{\alpha' q} \geq \omega(\log n)$ .

## 5 Adaptively Secure IPFE Based on RLWE

Here we modify the construction to lift the security to the adaptive case. The main difference from our selectively-secure construction is that here each secret key  $s_i$  and the public parameter  $a$  are vectors-of-polynomials rather than two single polynomials. Again the non-negative messages  $\mathbf{x}$  and functions  $\mathbf{y}$  are bounded by  $B_x$  and  $B_y$ , respectively, and let  $K$  be greater than the maximum value of the inner-product i.e.,  $K > \ell B_x B_y$ .

### Construction:

- **Setup:** Let  $R, R_q$  be as before. For each  $i \in [\ell]$  sample  $\mathbf{s}_i = (s_{i1}, \dots, s_{im}) \in R^m$  where each  $s_{ij} \in R$  is sampled from  $D_{\sigma_1 I_n}$ . Sample  $\mathbf{a} = (a_1, \dots, a_m) \in R_q^m$  uniformly at random. Check if at least one  $a_i$  is invertible in  $R_q$ ; if not, refuse  $\mathbf{a}$  and sample it again<sup>4</sup>. Finally,  $\text{msk} = \{\mathbf{s}_i \mid i \in [\ell]\}$  is the secret-key and the public-key is  $\text{mpk} = (\mathbf{a}, \{\text{pk}_i \mid i \in [\ell]\})$ , where  $\text{pk}_i = \langle \mathbf{a}, \mathbf{s}_i \rangle = \sum_j a_j s_{ij}$ .
- **Encrypt:** To encrypt a vector  $\mathbf{x} = (x_1, \dots, x_\ell) \in \mathbb{Z}^\ell$  with  $\|\mathbf{x}\|_\infty \leq B_x$  sample  $r \in R_q$  from  $D_{\sigma_2 I_n}$  and  $\mathbf{f}_0 = (f_{01}, \dots, f_{0m}) \in R_q^m$  from  $D_{\sigma_2 I_{nm}}$ , and  $\{f_i \in R_q \mid i \in [\ell]\}$  each from  $D_{\sigma_3 I_n}$ . Then

$$\mathbf{ct}_0 = \mathbf{a}r + \mathbf{f}_0 = (a_1 r + f_{01}, \dots, a_m r + f_{0m}), \quad \mathbf{ct}_i = \text{pk}_i r + f_i + \lfloor q/K \rfloor x_i \mathbf{1}_R.$$

Check if at least one element of  $\mathbf{ct}_0$  is invertible in  $R_q$  and that  $\mathbf{ct}_0$  is not a multiple of  $\mathbf{a}$  (over  $R_q$ ); if this is not the case, resample  $r, \mathbf{f}_0$  and recompute  $\mathbf{ct}_0, \mathbf{ct}_i$  until the latter holds. The ciphertext is  $(\mathbf{ct}_0, \{\mathbf{ct}_i\}_{i \in [\ell]})$ .

<sup>4</sup> This step would be done efficiently, since the probability that  $a_i$  is invertible, is non-negligible.

- **KeyGen:** To generate the decryption key associated with  $\mathbf{y} = (y_1, \dots, y_\ell) \in \mathbb{Z}^\ell$  where  $\|\mathbf{y}\|_\infty < B_y$ , we calculate

$$\mathbf{sk}_y = \sum_{i=1}^{\ell} y_i \mathbf{s}_i = \left( \sum_{i=1}^{\ell} y_i s_{i1}, \dots, \sum_{i=1}^{\ell} y_i s_{im} \right) \in \mathbb{R}^m$$

- **Decryption:** To decrypt the ciphertext  $(\mathbf{ct}_0, \{\mathbf{ct}_i\}_{i \in [\ell]})$  by the decryption key  $\mathbf{sk}_y$ , compute:  $d = (\sum_{i=1}^{\ell} y_i \mathbf{ct}_i) - \langle \mathbf{ct}_0, \mathbf{sk}_y \rangle$ . Then  $d$  should be close to  $\lfloor q/K \rfloor \langle \mathbf{x}, \mathbf{y} \rangle_{1_R}$  (a bit perturbed coefficients) and we can extract  $\langle \mathbf{x}, \mathbf{y} \rangle$ .

**Correctness.** Similar to the correctness proof in our sel-IPFE, one can verify that we need  $\|\sum_i (y_i f_i - y_i \langle \mathbf{f}_0, \mathbf{s}_i \rangle)\|_\infty < \lfloor q/2K \rfloor$  or equivalently,  $\ell B_y (\sqrt{\kappa} \sigma_3 + mn\kappa\sigma_1\sigma_2) < \lfloor q/2K \rfloor$ .

We claim that this modified version of our IPFE scheme is adaptively-secure. For the proof we use an extended version of mhe-RLWE assumption associated with polynomially-many samples (rather-than a single sample). We also use Theorem 2 which provides us with the required variant of Ring-LHL.

The first steps of the proof are similar to the security proof of our sel-IPFE, namely, we follow a similar sequence of the games from  $\mathbf{G}_0$  to  $\mathbf{G}_4$ . But in the next games instead of using two samples of RLWE, we use Ring-LHL. The reason for this is that the indistinguishability of proceeding games relies only on statistical arguments and so one can upgrade the security to the adaptive version by a technique similar to the complexity leveraging (CL) even for a large value  $(B_x)^\ell$  (while applying CL on the computational arguments needs polynomial-size  $(B_x)^\ell$ ).

**Theorem 4.** *Our modified IPFE scheme is adaptively-secure, for proper choice of parameters.*

Similarly as in the selective case, also the adaptively secure scheme can simply be extended to allow encrypting vectors in parallel.

## 6 Multi-client IPFE

In this section we present all the needed results to lift our scheme to a multi-client setting. In particular, we present a compiler built upon the compiler of multi-input IPFE (MIFE) scheme of [6], supporting corruptions [3], to transfer a IPFE to its identity-based MCFE version. First, we recall the compiler of [6]. here FE is a single-input IPFE scheme.

**Compiler of [6] (MIFE-Compiler): From Single-Input to Multi-Input IPFE.**

- $\text{Setup}(1^\kappa, 1^\ell, 1^k)$ : it chooses  $\mathbf{u}_i \xleftarrow{R} \mathbb{Z}_q^k$  and runs  $(\text{mpk}'_i, \text{msk}'_i) \leftarrow \text{FE.Setup}(1^\kappa, 1^k)$  for each  $i \in [\ell]$ . It outputs  $\text{msk}_i = (\text{msk}'_i, \mathbf{u}_i)$  as the secret key of user  $i$ ,  $\text{msk} = (\text{msk}_i)_i$  as the master key and  $\text{pp} = (\text{mpk}'_i)_i$ .

- $\text{KeyGen}(\text{msk}, \mathbf{y})$ : for  $\mathbf{y} = (\mathbf{y}_1, \dots, \mathbf{y}_\ell)$  where  $\mathbf{y}_i \in \mathbb{Z}_q^k$ , it runs  $\text{sk}_{i,y} \leftarrow \text{FE.KeyGen}(\text{msk}'_i, \mathbf{y}_i)$  for  $i \in [\ell]$  and sets  $\text{sk}'_y = \sum_i \mathbf{u}_i \mathbf{y}_i$ . Then it outputs  $\text{sk}_y = ((\text{sk}_{i,y})_i, \text{sk}'_y)$ .
- $\text{Enc}(\text{msk}_i, \mathbf{x}_i)$ : for  $\mathbf{x}_i \in \mathbb{Z}_q^k$ , it runs  $\text{ct}_i \leftarrow \text{FE.Enc}(\text{msk}'_i, \mathbf{x}_i + \mathbf{u}_i)$  and outputs  $\text{ct}_i$ .
- $\text{Dec}((\text{ct}_i)_i, \text{sk}_y)$ : it runs  $D_i \leftarrow \text{FE.Dec}_1(\text{ct}_i, \text{sk}_{i,y})$  for  $i \in [\ell]$ . Then it outputs  $\text{FE.Dec}_2(\sum_i D_i + \mathcal{E}(-\text{sk}'_y, 0))$ .

The compiler can be used on any IPFE scheme with the following properties:

*Property 1 (2-step decryption with a linear encoding).* The decryption algorithm of IPFE is a 2-step decryption (i.e.,  $\text{Dec}(\text{ct}, \text{sk}) = \text{Dec}_2(\text{Dec}_1(\text{ct}, \text{sk}))$ , where  $\text{Dec}_1(\text{ct}, \text{sk}) = \mathcal{E}(\langle x, y \rangle, \text{noise})$ ). That is, the first step outputs an encoding of inner-product and in the second step it extracts the inner-product from the mentioned encoding. Additionally, the encoding also has a linear property.<sup>5</sup>

*Property 2 (linear encryption).* Let  $\text{Enc}$  be the encryption algorithm of IPFE scheme. Then there exists a deterministic algorithm  $\text{Add}$ , such that the two following distributions of  $\text{Enc}(\text{msk}, \mathbf{x}_1 + \mathbf{x}_2)$  and  $\text{Add}(\text{Enc}(\text{msk}, \mathbf{x}_1), \mathbf{x}_2)$  are identical. Informally, given the message  $\mathbf{x}_2$  and the encryption of  $\mathbf{x}_1$ , one can compute the encryption of  $\mathbf{x}_1 + \mathbf{x}_2$ :

In our RLWE-based IPFE scheme,  $\text{Dec}_1$  outputs the inner-product added by a noise term, then  $\text{Dec}_2$  removes the noise. Encoding is defined as adding the noise which is linear. It is easy to see that the encryption is linear.

We now present our compiler to build an identity-based MCFE (from MIFE allowing corruptions). In the following construction  $H : (U, \text{Labels}) \rightarrow \mathbb{Z}_q^k$  is a hash function (later modeled as a random oracle).

### Our Compiler (MCFE-Compiler): From Multi-Input to identity-based Multi-Client IPFE.

- $\text{Setup}(1^\kappa, 1^\ell, 1^k)$ : it chooses  $\mathbf{u}'_i \xleftarrow{R} U$  and runs  $(\text{mpk}'_i, \text{msk}'_i)_{i \in [\ell]} \leftarrow \text{MIFE.Setup}(1^\kappa, 1^\ell, 1^k)$ . It outputs  $\text{msk}_i = (\text{msk}'_i, \mathbf{u}'_i)$  as the secret key of user  $i$ ,  $\text{msk} = (\text{msk}_i)_i$  as the master key and  $\text{pp} = (\text{mpk}'_i)_i$ .
- $\text{KeyGen}(\text{msk}, \mathbf{y}, \gamma)$ : it runs  $\text{sk}_y = ((\text{sk}_{i,y})_i, \text{sk}'_y) \leftarrow \text{MIFE.KeyGen}(\text{msk}, \mathbf{y})$  and sets  $\text{sk}''_{y,\gamma} = \text{sk}'_y + \sum_i H(\mathbf{u}'_i, \gamma) \mathbf{y}_i$ . Then it outputs  $\text{sk}_{y,\gamma} = ((\text{sk}_{i,y})_i, \text{sk}''_{y,\gamma})$ .
- $\text{Enc}(\text{msk}_i, \mathbf{x}_i, \gamma)$ : it runs  $\text{ct}_{i,\gamma} \leftarrow \text{MIFE.Enc}(\text{msk}_i, \mathbf{x}_i + H(\mathbf{u}'_i, \gamma))$  and outputs  $\text{ct}_{i,\gamma}$ .
- $\text{Dec}((\text{ct}_{i,\gamma})_i, \text{sk}_{y,\gamma})$ : it runs  $D_\gamma \leftarrow \text{MIFE.Dec}((\text{ct}_{i,\gamma})_i, \{(\text{sk}_{i,y})_i, \text{sk}''_{y,\gamma}\})$  and outputs  $D_\gamma$ .

In the security proof of the above compiler, we use Property 2, used also for the compiler of [6].

<sup>5</sup> For the sake of simplicity, here we gave an informal description of this property. An interested reader can see [6] for the formal one. The formal description guarantees the correctness of the MIFE scheme w.r.t the general IPFE, and is not used in the proof of security.

**Theorem 5.** *In the above compiler (from MIFE with corruptions to identity-based MCFE), if MIFE is secure, then our construction is a secure MCFE against static corruptions.*<sup>6</sup>

The proof proceeds through a sequence of games defined w.r.t to the labels issued by the adversary. For a fixed label  $\gamma$  we change the messages  $\mathbf{x}_{i,\gamma}^0$  encrypted under the label  $\gamma$  to  $\mathbf{x}_{i,\gamma}^1$  for all  $i$ . To ensure that such changes are indistinguishable, we rely on the security of MIFE. For encryption queries w.r.t  $\gamma$  the simulator answers by relaying them to the MIFE-challenger, and it programs the random oracle queries as  $H(\mathbf{u}'_i, \gamma') = \mathbf{r}_{i,\gamma'} - \mathbf{u}_i$ , for  $\gamma' \neq \gamma$ , while  $\mathbf{r}_{i,\gamma'}$  is randomly chosen. This allows to remove the term  $\mathbf{u}_i$  from the encryption, which is the only unknown part to the simulator, and simulate the queries correctly.

Finally, we argue that our RLWE based scheme can be used in the above compilers.

**Proposition 1.** *The MIFE-compiler and the MCFE-compiler applied on our IPFE schemes in Sect. 4 or Sect. 5 result in a secure and correct MCFE scheme.*

We further can extend our identity-based MCFE scheme to its decentralized version, where we use the compiler of [3], but we modify the compiler and the security proof for the case that the secret key is involved with the label as well (which is the case in our scheme). One can see that our IPFE scheme has the required properties to be used in this compiler as well.

**Batching in (D)MCFE:** As stated in Remark 1, our RLWE scheme supports encrypting multiple messages in parallel. This property is preserved with (D)MCFE compilers described in this section. To be precise, each encrypted row needs to be masked (as described above) separately. Furthermore, identity-based (D)MCFE allows us to derive functional keys depending on a chosen label. If one encrypts multiple rows in parallel with different labels, a functional key will decrypt only the ones with the matching label. This allows fine-grained control on a batch of messages.

## 7 Practical Instantiation

In this section, we demonstrate the efficiency and practicality of our scheme with concrete instantiations. We provide different parameter sets with different levels of security and strategies for a very efficient implementation. Finally, we apply our scheme for a privacy preserving machine learning application of identifying digits from encrypted images. The implementation is publicly available at <https://github.com/fentec-project/IPFE-RLWE>.

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<sup>6</sup> Note that we are specifically using MIFE scheme of [6] and it is not any possible MIFE scheme in RO model.



## 7.1 Implementation

Similar to other RLWE based schemes, the two major components of our scheme are polynomial multiplication and noise sampling. However, from the computational point of view the most challenging task here is to efficiently implement multiple polynomial multiplications and multiple sampling of secret and error polynomials which grow linearly with  $\ell$ . Here, we describe our approach for efficient implementation of these components, all running in constant-time.

**Discrete Gaussian Sampling:** Our scheme uses discrete Gaussian distribution to sample error and secret vectors. A non-constant-time sampler leaks sensitive information about these secret vectors that can break the cryptosystem. There are three choices for constant time sampling i) linear-searching of CDT (Cumulative Distribution Table) table [12], ii) bit-sliced sampler [21], and iii) constant-time binary sampling [37]. The first two methods are very efficient for smaller ( $< 10$ ) standard deviations but do not scale very well for larger standard deviations. Moreover, they need different tables or minimized Boolean expressions for different samplers. One can use convolutions to first sample from smaller distributions and then combine them to generate a sample from a distribution with larger standard deviation [30]. However, this method is less efficient compared to the constant-time binary sampling described by Zhao et al. [37]. In this method, to generate a sample from  $D_\sigma$ , first a sample from a base distribution  $x \stackrel{\mathcal{R}}{\leftarrow} D_{\sigma_0}^+$  is generated. Next, an integer  $k$  is fixed such that  $\sigma = k\sigma_0$  and an integer  $y$  is sampled uniformly from  $[0, \dots, k - 1]$ . Finally, a rejection sampling on  $z = kx + y$  with the acceptance probability  $p = \exp\left(\frac{-y(y + 2kx)}{2\sigma^2}\right)$  is performed. It can be easily shown that the samples generated in this way are *statistically close* to discrete Gaussian distribution with Gaussian parameter  $\sigma$ . To generate a sample from  $D_\sigma$  a randomly generated sign bit is applied on  $z$ . The rejection sampling is performed using a Bernoulli sampler. If the base sampling algorithm  $D_{\sigma_0}^+$  and the Bernoulli sampler are constant-time this method runs in constant-time. In our implementation to generate samples from  $\sigma_1 = k_1\sigma_0$ ,  $\sigma_2 = k_2\sigma_0$ , and  $\sigma_3 = k_3\sigma_0$ , we use the constant-time Bernoulli sampler proposed by Zhao et al. [37] for different values of  $k$  and  $\sigma$ . The uniform sampler has also been updated for different values of  $k$ . Finally, a linear-search based CDT sampling algorithm has been used for the constant-time base sampler. Using the bit-sliced algorithm to instantiate the base sampler might improve the efficiency to some extent but we leave this as future work.

**CRT Representation:** Due to the correctness and security constraints of our scheme, the modulus  $q$  required in all variants of our scheme is quite large ( $\geq 64$  bits). Similar to homomorphic encryption implementations [33] we adapted the residual number system based polynomial arithmetic using Chinese remainder theorem to avoid the naive and relatively slow multi-precision arithmetic. We choose a chain of moduli  $q_0, q_1, \dots, q_{n_p-1}$  such that  $q = q_0 \cdot q_1 \cdots q_{n_p-1}$ . All

the inputs, outputs, and intermediate values are stored as elements in rings  $\mathbb{R}_{q_i}$  instead of  $\mathbb{R}_q$ . As all the  $q_i$  are less than 32 bits long this replaces the expensive multi-precision polynomial arithmetic with simple and efficient single-precision arithmetic. We only need to revert to  $\mathbb{R}_q$  while extracting the value  $d$  at the end of decryption operation. We use Garner’s algorithm and GNU multi-precision library to accomplish this.

**Polynomial Arithmetic:** We use Number theoretic transform (NTT) based polynomial multiplication in our scheme since it is an in-place algorithm and runs in  $O(n \log n)$  time complexity where  $n$  is the length of the polynomial. Specifically, we used the NTT with *negative wrapped convolution* [25] which produces the result of the multiplication reduced by  $1 + x^n$  without any extra memory.

For a power-of-two  $n$  and prime modulus  $q_i$ , such that  $q_i \equiv 1 \pmod{2n}$ , the multiplication of two polynomials  $a, b \in \mathbb{R}_{q_i}$  can be calculated as  $NTT^{-1}(NTT(a) \circ NTT(b))$  where NTT and  $NTT^{-1}$  are forward and inverse NTT transformations respectively and  $\circ$  denotes the component-wise multiplication of two vectors. Computationally, the forward and the inverse NTT transformation are the prevalent components of the whole  $O(n \log n)$  time multiplication. We observe that one of the multiplicands, i.e.  $a$  in **Setup** and  $r$  in **Encrypt** stays same for all the  $\ell + 1$  multiplications, Hence we precompute and store  $NTT(a)$  and  $NTT(r)$ . This saves  $\ell$  NTT transformations in each case. Also, the public polynomial  $a$  is random in  $\mathbb{R}_{q_i}$ . As NTT transformation of a random vector is also random, we can assume the  $a$  is already in the NTT domain.

NTT or  $NTT^{-1}$  transformation algorithms require applying bit-reversal permutations before or after each transformation. As our polynomials are quite large and the number of multiplications is linear in  $\ell$ , this requirement induces a significant overhead. To overcome this problem we followed the same strategy as Pöppelman et al. [31]. We used the *decimation-in-time* NTT based on Cooley-Tukey [15] butterfly which requires input in normal ordering but produces output in bit-reversed ordering. For the inverse transformation we switch to *decimation-in-frequency* NTT based on Gentleman-Sande [17] butterfly, which accepts the input in bit-reversed ordering and produces the output in normal ordering. Hence, applying these transformations in conjunction eliminates the need for bit-reversal step.

**Other:** There are two common strategies to generate pseudo-random numbers in cryptographic implementations: using extended output function like Keccak [10] or using block ciphers in counter mode. Since our target platform is equipped with AES-NI (Advanced Encryption Standard New Instructions), we decided to use AES in CTR mode for fast generation of cryptographically secure pseudo-random numbers. Further, we have chosen our NTT friendly primes  $q_i, i \in [0, n_p - 1]$  of the form  $2^i - 2^j + 1$ . Due to their special structure it is possible to perform fast modular reduction similar to Mersenne primes with these primes.

## 7.2 Parameters and Performance

We propose three sets of parameters in Table. 1 depending with different values of  $\ell$ ,  $B_x$ , and  $B_y$ . Here we have considered the selectively secure scheme described in Sect. 4.2. We calculate the concrete security of our scheme based on the underlying hardness of a RLWE instance. That is, we deduce our functional encryption with parameters  $(n, q, \sigma_1, \sigma_2, \sigma_3, \ell, B_x, B_y)$  scheme offers  $\mathcal{S}$  bits of security if the the underlying RLWE instance with  $(n, q, \sigma)$  offers  $\mathcal{S}$  bits of security. Here, the parameters  $(n, q, \sigma_1, \sigma_2, \sigma_3, \ell, B_x, B_y)$  and  $(n, q, \sigma)$  are related to satisfy the security constraints delineated in Sect. 4.2.

**Performance:** Table. 1 also lists the performance of different operations of our scheme. We benchmarked on a single core of an Intel i9-9880H processor running at maximum 4.8GHz frequency. The code has been compiled using GCC-9.3 with optimization flags `-O3 -fomit-frame-pointer -march=native` on Ubuntu 18.04.

## 7.3 Machine Learning on Encrypted Data and Other Use Cases

To demonstrate the efficiency of our scheme, we use it in a real world application of FE. We perform a task of classification with a simple machine learning model, but on encrypted data using our IPFE. In particular, we evaluate logistic regression on MNIST dataset, recognizing handwritten digits in images. This task involves computing 10 linear functions on a 785-dimensional vectors, where the complexity of computation is bounded with  $B_x = 4$  and  $B_y = 16$ .

**Table 1.** Parameters and performance of the RLWE based FE scheme. The security has been calculated using the LWE estimator tool [9].

Security level	PQ Security	FE Bounds	Gaussian Parameters	Ring Parameters	CRT moduli	Time (ms)
<b>Low</b>	76.3	$B_x : 2$	$\sigma_1 : 33$	$n : 2048$	$q_1 : 2^{14} - 2^{12} + 1$	<b>Setup:</b> 26
		$B_y : 2$	$\sigma_2 : 59473921$		$q_2 : 2^{23} - 2^{17} + 1$	<b>Enc:</b> 16
		$\ell : 64$	$\sigma_3 : 118947840$	$[\log q] : 66$	$q_3 : 2^{29} - 2^{18} + 1$	<b>KG:</b> 0.27 <b>Dec:</b> 1
<b>Medium</b>	119.2	$B_x : 4$	$\sigma_1 : 225.14$	$n : 4096$	$q_1 : 2^{24} - 2^{14} + 1$	<b>Setup:</b> 589
		$B_y : 16$	$\sigma_2 : 258376412.19$		$q_2 : 2^{31} - 2^{17} + 1$	<b>Enc:</b> 381
		$\ell : 785$	$\sigma_3 : 516752822.39$	$[\log q] : 86$	$q_3 : 2^{31} - 2^{24} + 1$	<b>KG:</b> 22 <b>Dec:</b> 17
<b>High</b>	246.2	$B_x : 32$	$\sigma_1 : 2049$	$n : 8192$	$q_1 : 2^{17} - 2^{14} + 1$	<b>Setup:</b> 1743
		$B_y : 32$	$\sigma_2 : 5371330561$		$q_2 : 2^{20} - 2^{14} + 1$	<b>Enc:</b> 1388
		$\ell : 1024$	$\sigma_3 : 10742661120$	$[\log q] : 101$	$q_3 : 2^{32} - 2^{20} + 1$ $q_4 : 2^{32} - 2^{30} + 1$	<b>KG:</b> 70 <b>Dec:</b> 45

Parameters in Table. 1 for medium level of security (129 bit of PQ Security) were chosen to fit this use-case. Hence it takes approx. 381 ms to encrypt an image (vector representation) of this size and only 170 ms to evaluate the model, i.e. we need to perform 10 decryptions to properly classify an image. In fact, as explained in Remark 1, one can encrypt with one encryption-run multiple images simultaneously, in our case up to 4096 images. Evaluating the model would classify all the images at once, without a major change in the complexity.

**Other:** We would like to additionally highlight possible practical scenarios where our scheme excels over other known schemes. On one hand, single-input public key RLWE based IPFE is particularly useful when multiple data from the same source is processed with FE, due to its batching property. This could be, for example, streams of data (e.g. a video, see [1] where a single-input public key scheme was used) processed in some fixed intervals, or learning a ML model [36] where IPFE can be used on an encrypted dataset, usually evaluating the same function on batches. On the other hand, the data itself might be structured as a matrix. In [28], a DMCFE scheme was proposed for a privacy preserving location tracking. Users in a decentralized way, for each possible location, encrypt 0 or 1 indicating their presence. Using IPFE, averages (heatmaps) can be computed, where RLWE batching can be used to cover, say, 4096 locations with one ciphertext, outperforming known FE schemes.

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