# **Partially Non-discretionary Measures for Green Transportation Corridors Performance Index: A DEA Approach**



### **Isotilia Costa Melo, Paulo Nocera Alves Junior, Thiago Guilherme Péra, Daisy Aparecida Do Nascimento Rebelatto, and José Vicente Caixeta-Filho**

**Abstract** Freight transportation is vital to a nation's long-term development and its performance needs to be carefully evaluated to ensure the efficiency of haulage infrastructure decisions. Frequently, real-world physical barriers pose transportation constraints that are impossible to be completely overpassed or ignored. Previous studies on benchmarking Green Transport Corridors (GTCs) through routes efficiency have not considered the possibility of partially non-discretionary (pND) measures (only a certain percentage of the measure is controllable). The present paper creates a long-distance cargo haulage performance index that will be deemed as Logistic Composite Index (LCI) integrating pND measures using a Data Envelopment Analysis (DEA) methodology. Since infrastructure aspects can be assumed to be a Variable Returns to Scale (VRS), huge investments may be necessary for the possibility of just partially reducing the length of a route in a certain percentage by private and public investment strategies. This characteristic was incorporated, for the first time, with pND measures in a Double-Frontier of a

I. Costa Melo (⊠)

Faculty of Engineering and Science (FES), Universidad Adolfo Ibáñez (UAI), Viña del Mar, Chile

P. Nocera Alves Junior Luiz de Queiroz College of Agriculture (ESALQ), University of São Paulo (USP), Piracicaba, SP, Brazil

Universidad Católica del Norte (UCN), Escuela de Ingeniería de Coquimbo (EIC), Larrondo, Coquimbo, Chil e-mail: [epaulo.alves@ce.ucn.cl](mailto:epaulo.alves@ce.ucn.cl)

T. G. Péra · J. Vicente Caixeta-Filho

Luiz de Queiroz College of Agriculture (ESALQ), University of São Paulo (USP), Piracicaba, SP, Brazil

e-mail: [thiago.pera@usp.br;](mailto:thiago.pera@usp.br) [jose.caixeta@usp.br](mailto:jose.caixeta@usp.br)

D. Aparecida Do Nascimento Rebelatto São Carlos Engineering School (EESC), University of São Paulo (USP), São Carlos, SP, Brazil e-mail: [daisy@sc.usp.br](mailto:daisy@sc.usp.br)

São Carlos Engineering School (EESC), University of São Paulo (USP), São Carlos, SP, Brazil

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Slack-Based Measure (SBM), and under VRS assumptions (pND-DF-SBM-VRS). Therefore, the present chapter integrates a novelty in DEA literature with practical implications for public investments. The method is applied to the context of soybean transportation, one of the relevant Brazilian exporting products, during the harvest of 2018/2019, from the main mid-sized producing regions to the key exporting ports. The proposed approach and findings provide insights into the public and private long-term investment strategies and infrastructure policies, especially in Brazil and developing countries.

**Keywords** Data envelopment analysis (DEA) · Partially non-discretionary slack-based measure (pND-SBM) · Construction of composite index · Freight transportation · Brazilian soybean

### **1 Introduction**

In 2007, the European Commission's Freight Transport Logistics Action Plan introduced the concept of Green Transport Corridors (GTC) for freight transportation between major hubs as integrated multimodal used to reduce environmental impact via road, rail, waterways, and intelligent technologies (European Commission, [2007\)](#page-21-0).

Green Transport Corridors (GTCs) promote environmental-friendly freight transportation through the efficient management of investments, operations, integration of transportation routes, and transportation modes (land, water, and air) (Panagakos, [2015\)](#page-22-0). For achieving and managing the maximum efficiency, it is necessary to measure the GTCs performance, through Logistics Performance Index (LPI), especially considering economically relevant hubs and long-distance routes (Panagakos, [2015\)](#page-22-0). It is important to note that the LPI from the World Bank [\(2018\)](#page-22-1) was developed using Principal Component Analysis (PCA). Also, the LPI is applied at a country-level, without considering regional specifications or in-country transportation routes. Hence, the LPI from the World Bank [\(2018\)](#page-22-1) differs from the LPIs developed using Data Envelopment Analysis (DEA) to evaluate routes and corridors. Though, in practical terms, the proposal of a wide accepted LPIdevelopment methodology faced different obstacles, depending on if the LPI is supposed to be applied at a country level or at a regional level (Alves Junior et al., [2021;](#page-20-0) Melo et al., [2018,](#page-21-1) [2020;](#page-22-2) Rentizelas et al., [2019\)](#page-22-3).

Specifically, previously proposed LPIs which rely on DEA models to assess and compare regions have faced the common challenge of factoring "route length" into their models. Firstly, some papers (Alves Junior et al., [2021;](#page-20-0) Rentizelas et al., [2019\)](#page-22-3) excluded the transported length of the model, considering only the indirect variables (dependent on the length) such as transportation costs, fuel consumption, emissions, etc. This approach has the back draw of ignoring one of the most affecting logistic characteristics.

For example, a DEA Slack-Based Measure (SBM) model, with variable returns to scale (VRS), was applied for choosing alternatives in the international biomass supply chain (Rentizelas et al., [2019\)](#page-22-3). Three variables were considered: costs and energy input (as inputs) and emissions (as undesirable output). It can be stated that the number of chosen variables (three) is very limited to incorporate the complexity of the system. Alves Junior et al. [\(2021\)](#page-20-0) proposed a single multi-criteria Logistics Composite Index (LCI) for GTCs. The authors applied it to Brazilian agricultural bulk transport export corridors, considering the existing and planned infrastructure in the harvest year of 2018/2019. They used seven variables (classified as desired and undesired inputs as well as desired and undesired outputs) but did not use the length in the DEA model.

Secondly, on the other hand, it is also possible to consider the length as a totally non-discretionary (tND) measure, assuming, i.e., decision- and policymakers cannot change the length of the route, independently of their amount of investments or efforts. This was proposed by Melo et al. [\(2018\)](#page-21-1) for investigating 102 soybean haulage routes in Brazil and the USA. The authors considered nine variables, classified them into inputs, outputs, undesirable outputs, and length as a tND measure.

Thirdly, it is also possible to consider the length as a DEA input, i.e., a measure, which the minimization is aimed (Cook et al., [2014\)](#page-20-1). The classification of the length as an input implies the assumption that the transported length is fully under the control of decision- and policy-makers, depending exclusively on interests and effort focus.

We argue that, in real-world applications, decision- and policy-makers can change the transported length. Though they are usually limited by external constraints at a certain level. Hence, we investigate the possibility of integrating the length to the model as a partially Non-Discretionary (pND) measure, i.e., a measure that can be reduced until a certain percentage.

Along these lines, we aim to propose a long-distance cargo haulage performance index (LPI) methodology, integrating pND measures. For the first time in an LPI application, the pND characteristic was incorporated in a Double-Frontier of a Slack-Based Measure (SBM) under Variable Return to Scale (VRS) assumptions. The application is in 12 GTCs (encompassing 254 routes), considering the soybean transportation in Brazil, during the harvest of 2018/2019.

Hence, the LCI proposed here, incorporating pND measures and applying a Double-Frontier Data Envelopment Analysis (DEA), Slack-Based Measure (SBM) under Variable Return to Scale (VRS) assumption (pND-DF-SBM-VRS) to evaluate GTCs and their multimodal routes is a novelty, resulting in innovative methodology with practical implications for public investments.

Subsequently, the results of the proposed methodology were compared to the results considering the length as a tND measure and as an input. The pND efficiency results were similar to the efficiency results considering the length as an input. Though the pND assumption proved to be useful for constructing efficiencyimprovement goals. Goals constructed based on the input assumption can be physically unachievable (such as proposing 18% of the length reduction for reaching efficiency, passing through a natural reserve area).

The long-distance cargo haulage performance index integrating pND measures may be used to guide future investments in infrastructure. And the methodology can be a useful tool in different contexts of application (such as other countries and other transported cargos).

### **2 Literature Review**

Based on the multi-attribute utility theory (MAUT) and the decision theory, Dyckhoff and Souren [\(2020\)](#page-21-2) proposed the multi-criteria production theory (MCPT) for applying methods to multi-criteria decision making (MCDM) problems—such as Data Envelopment Analysis (DEA) for decision-making in production systems. Many previous authors tried to formulate special DEA-MCDM models (Belton & Vickers, [1993;](#page-20-2) Doyle & Green, [1993;](#page-20-3) Joro et al., [1998\)](#page-21-3) with some specific characteristics from Multi-Objective Linear Programming (MOLP). However, in general, DEA is a method to measure the efficiency of DMUs (Charnes et al., [1978\)](#page-20-4), but its concept also relies on decision theory, even though this aspect has been ignored by part of the DEA literature, as well as it relies on the production theory (Charnes et al., [1985\)](#page-20-5).

For example, Li and Reeves [\(1999\)](#page-21-4) presented a Multiple Criteria DEA which can be used to improve discrimination power. Sarkis [\(1997\)](#page-22-4) and Dvorakova and Klicnarova [\(2017\)](#page-21-5) also applied DEA as an MCDM tool. Besides, it is argued that assigning arbitrary weights lead to the subjectivity problems in some MCDM approaches, as this limitation can be seen in AHP, TOPSIS, VIKOR, etc. (Hu et al., [2017;](#page-21-6) Noryani et al., [2018;](#page-22-5) Shen et al., [2018\)](#page-22-6) because it requires subjective assessments of the decision-maker to prioritize performance attributes (Alinezhad et al., [2011\)](#page-20-6). According to Jahedi and Méndez [\(2014\)](#page-21-7), although subjectivity can be useful in some situation, for example, mainly when objective data is difficult to obtain, subjectivity suffer from systematic biases, it can be uncorrelated or negatively correlated to the objective data or it can be difficult to interpret. DEA is less subjective, because it does not rely on the decision-makers' preference, so it is more suitable in the present context (Greco et al., [2018\)](#page-21-8).

Among DEA models, Dyckhoff and Souren [\(2020\)](#page-21-2) highlighted the adequacy and relevance of non-oriented additive DEA models for MCDM, especially, the slackbased measure (SBM), created by Tone [\(2001\)](#page-22-7). These models take all slacks into account for efficiency measurement. Consequently, they directly identify strongly efficient solutions without the additional calculations necessary in radial models. In addition, as it is often hard to justify an orientation of a DEA model, the absent orientation of SBM represents yet another advantage.

One of the seminal assumptions of DEA is the homogeneity among DMUs. The acceptable limits of heterogeneity remain under discussion. Li et al. [\(2016\)](#page-21-9) proposed the adoption of a non-homogeneous DEA model for solving non-homogeneity problems. Among DEA pitfalls, Cook et al. [\(2014\)](#page-20-1) pointed out the misjudgment of efficiency when inputs and outputs simultaneously deal with ratio and raw data.

However, under certain circumstances, the authorsstated that i the dealing with different types of data in the same DEA model is acceptable. The present paper did not assume the restriction of data type as a condition for this index construction.

The discrimination power in DEA is affected by the ratio between the number of DMUs and variables. Banker et al. [\(1989\)](#page-20-7) stated that the DMUs may be, at least, three times more than variables. Notwithstanding, it is not an imperative rule, just accepted by convenience (Cook et al., [2014\)](#page-20-1). It was assumed as a desirable target here.

Besides outputs and inputs, DEA also may have variables classified as undesirable outputs, e.g., pollutions. An interested reader about this variable type may consult (Hua & Bian, [2007;](#page-21-10) Liu et al., [2010;](#page-21-11) Seiford & Zhu, [2002\)](#page-22-8). Among the possible treatments, this paper chose to insert inverted emissions as inputs (for minimization), based on the judgment of specialists.

There are also variables (inputs and outputs) classified as partially nondiscretionary (pND). Melo et al. [\(2018\)](#page-21-1) incorporated the concepts of non-discretion of Saen [\(2005\)](#page-22-9) to the SBM, assuming no control of the variable (i.e., totally nondiscretionary, tND). This paper goes a step further, incorporating a pND (e.g., assuming up to 5% of control of the variable) under VRS in a Double-Frontier-SBM applied to the context of Green Transport Corridors. This incorporation came from the assumption of the possibility of reducing the length of the route in a certain percentage by public investment strategies. The value of 5% was assumed because the percentage of yearly changes in the road (from 2001 to 2017) was up to 4.21% (DNIT, [2020\)](#page-20-8).

### **3 Methods**

The current investigation involved: (*1*) defining DMUs (routes from GTCs) and collecting data, (*2*) analyzing available variables and classifying them into DEA measures, (*3*) applying the pND-SBM model, and, finally, (*4*) applying the tiebreaking tool.

### *3.1 DMUs Definition and Data Collection*

We considered a total of 245 DMUs (routes from 12 GTCs) during the harvest year of 2018/2019. Since Alves Junior et al. [\(2021\)](#page-20-0) have already studied several routes and Green Transport Corridors in Brazil, we are using the same databases described in their paper, so the results of the present chapter can be compared to the literature. We considered only the currently existing infrastructure and not the planned projects with estimated values. The DMUs originated from producing mid-sized regions in all Brazilian macro-sized regions (IBGE, [2019\)](#page-21-12) and are destined for the 12 main exporting ports. Figure [1](#page-5-0) shows the ports and multimodal infrastructure and transport network in the main soybean export corridors (Ministry of Infrastructure, [2021\)](#page-22-10).



<span id="page-5-0"></span>**Fig. 1** Ports and multimodal infrastructure and transport network in the main soybean export corridors. Source: Ministry of Infrastructure [\(2021\)](#page-22-10)

### *3.2 Variable Classification and Analysis*

In DEA literature, the most usual variable classification is formulated considering desirable outputs (O) (measures to be maximized), and desirable inputs (I) (mea-sures to be minimized) (Cook et al., [2014\)](#page-20-1). Though, in real-life problems, there are also undesirable outputs (UO) (to be minimized) and undesirable inputs (UI) (to be maximized) (Liu et al., [2015\)](#page-21-13). Finally, some variables can be classified as a partially non-discretionary (pND) measure, i.e., including a quasi-fixed factor that is almost not under control (Saen, [2005\)](#page-22-9). The criterion for choosing a variable was the systematic judgment of specialists about the relevance of a variable for the model (Golany & Roll, [1989\)](#page-21-14), considering the whole scenario, previous papers (Alves Junior et al., [2021;](#page-20-0) Melo et al., [2018\)](#page-21-1), and the objective of the index. Table [1](#page-6-0) shows the classification and the descriptive statistics of the eight used variables.

As can be noted in Table [1,](#page-6-0) EXPORTS (O) is the only measure that presents a greater standard deviation of the observed values than the mean. This is caused by the difference between productivity capacity in Brazil that lead to using the same ports for exporting (for example, the following ports: Santos, Santarém, Paranaguá, and Rio Grande). Similarly, PAVED (UI) is the measure with the smallest standard deviation in comparison to the mean. The unique pND measure (LENGTH) presents a standard deviation related to the mean of 68.42%, similarly what happens to the

Variable	Class.	Obs.	Mean	Std. Dev.	Min.	Max.
EXPORT $(10^3 \text{ tonne})$	$\Omega$	245	435.846	982.697	0.053	9577.108
<b>DEPTH</b> (meters)	UI	245	15.669	7.141	8.000	45,000
STORAGE $(10^3 \text{ tonnes})$	UI	245	1033.745	718.880	3.200	2296.945
PAVED $(100 \text{ km/km}^2)$	UI	245	1.162	0.699	0.218	3.517
LENGTH(km)	pND	245	655.666	448.628	53.215	2250.136
COST (\$/tonne)		245	102.854	39.667	38.311	236.730
$CO2$ (kg/tonnes)	UO	245	19.733	10.925	1.964	58.935
ACCIDENTS (per 100 km)	UO	245	33.120	26.865	0.533	68.800

<span id="page-6-0"></span>**Table 1** Classification and descriptive statistics of selected variables

STORAGE (69.54%). But the fact that LENGTH is established as a pND measure may restrict more the impact of the dispersion of the observed values on the final DEA rank results. In other words, the dispersion of STORAGE is expected to have more impact on results. Further in the *Findings*.

Similarly to Alves Junior et al. [\(2021\)](#page-20-0), because we are using the same databases (available through the same GitHub link informed in their paper, please see the data for DEA application to evaluate Brazilian GTCs at GITHUB [\(2021\)](#page-21-15)), EXPORTS is the amount of exported soybeans and corn by each port  $(10^3 \text{ tons})$  and is classified as an Output (O). DEPTH is the highest draft depth of each port (meters), STORAGE is the grain storage capacity in the catchment area  $(10^3 \text{ ton})$ , and PAVED is the paved road density  $(10^2 \text{ km of road/km}^2)$  of the area). DEPTH, STORAGE, and PAVED are classified as Undesirable Inputs (UI). COST is the weighted average freight cost of the flows arriving in each export port (BRL/ton) and is classified as an Input (I).  $CO<sub>2</sub>$  is the weighted average  $CO<sub>2</sub>$  emission (kg of  $CO<sub>2</sub>/ton$ ) and ACCIDENT is the number of accidents per kilometer estimated in the transportation corridor (accidents/km). CO2 and ACCIDENT are classified as undesirable outputs (UO).

LENGTH is the length of the route from the origin to the final destination (km). Here we propose to classify LENGTH as a non-discretionary measure (pND). In most cases, we assumed that it is not physically possible to meaningly shorten the transportation distance, by moving the position of the most productive areas, the position of the main infrastructure poles and destinations (ports), planting in similar areas with shorter length of the routes or investing in the construction of a straighter route.

# *3.3 Slack-Based Measure Model with Partially Non-discretionary Measures (pND–SMB)*

The equating of the SBM model (Tone, [2001\)](#page-22-7) with incorporated non-discretionary measures (Saen, [2005\)](#page-22-9) follows the objective function in Eq. [\(1\)](#page-7-0), and it is constrained by Eqs.  $(2)$ – $(4)$ , and  $(7)$  (SBM constraints), and Eqs.  $(5)$  and  $(6)$  (non-discretionary

constraints) (Saen, [2005\)](#page-22-9):

<span id="page-7-0"></span>Minimize 
$$
\tau = t - {1 \choose 1} \sum_{i=1}^{m} S_i^{-} / x_{i0}
$$
 (1)

<span id="page-7-1"></span>Subject to:

$$
t + \left(\frac{1}{s}\right) \sum_{r=1}^{s} \frac{S_r^+}{y_{r0}} = 1
$$
 (2)

$$
\sum_{k=1}^{z} \Lambda_k x_{ik} + S_i^- - tx_{i0} = 0 \quad i = 1, 2, ..., m
$$
 (3)

<span id="page-7-4"></span><span id="page-7-2"></span>
$$
\sum_{k=1}^{z} \Lambda_k y_{rk} - S_r^+ - ty_{r0} = 0 \quad r = 1, 2, ..., s
$$
 (4)

$$
S_i^- \le \beta_i x_{i0} \quad i = 1, 2, ..., m \tag{5}
$$

$$
S_r^+ \leq \gamma_r y_{r0} \quad r = 1, 2, \dots, s \tag{6}
$$

$$
A_k \ge 0, S_i^- \ge 0, S_r^+ \ge 0 \text{ and } t > 0 \tag{7}
$$

<span id="page-7-5"></span><span id="page-7-3"></span>where  $\tau$  is the efficiency, *t* is the model linearization variable,  $S_i^-$  is the slack of the *i*th input,  $S_r^+$  is the slack of the *r*th output,  $\Lambda_k$  is the contribution of the kth DMU to the analyzed DMU,  $x_{i0}$  is the *i*th input of the DMU under analysis,  $y_{r0}$  is the *r*th output of the DMU under analysis,  $x_{ik}$  is the *i*th input of the kth DMU,  $y_{rk}$  is the *r*th output of the kth DMU, *m* is the number of inputs, *s* is the number of outputs, *z* is the number of DMUs, and  $\beta_i$  and  $\gamma_r$  are constants of discretion, respectively, for inputs and outputs (when assuming a value equal to 0, they represent a tND measure and infinite or excluding the constraint represents a totally discretionary input, i.e., a standard SBM model).

As explained in the *Literature Review*, it was assumed that the length of the route could be 5% controllable due to slight changes on the routes. For example, even in a microregion, there are differences in the length of the route depending on how distant from the center of the origin it is or it can be changed by public investments in transportation infrastructure and land use (DNIT, [2020\)](#page-20-8). In other words, we assumed  $β<sub>i</sub> = 0.05$  in Eq. [\(5\)](#page-7-4).

According to Cook et al. [\(2014\)](#page-20-1), mixing raw data with ratios is permissible in DEA, but the Variable Return to Scale (VRS) assumption is preferable, mainly if the ratio data is in percentages because considering Constant Return to Scale (CRS) assumption not always maintain the projection between 0% and 100%. As

the present application requires the VRS assumption, it was necessary to add a constraint, according to Eq. [\(8\)](#page-8-0).

<span id="page-8-0"></span>
$$
\sum_{k=1}^{z} \Lambda_k = t \tag{8}
$$

The optimum solution  $(\tau^*, t^*, \Lambda_k^*, S_i^{-*, S_k^{+*}})$  is described by the conditions in Eq. [\(9\)](#page-8-1):

<span id="page-8-1"></span>
$$
\tau_{optimal} = \tau^*, \lambda_k^* = \frac{\Lambda_k^*}{t^*}, s_i^{-*} = \frac{S_i^{-*}}{t^*}, s_r^{+*} = \frac{S_r^{+*}}{t^*}
$$
(9)

In this model, a DMU will be considered efficient when  $\tau^* = 1$ . Where  $\lambda_k^*$ ,  $S_i^{-*}$ , and  $S_i^{+*}$  are the original optimal variables (before linearizing) solutions. In the model, we treated UO as a negative factor and UI as a positive factor. In other words, UO is mathematically treated as the opposite of an output, i.e., as an input, so, in a post-efficiency analysis, the goal is to decrease the UO. Similarly, UI is mathematically treated as the opposite of an input, i.e., as an output, so, in a postefficiency analysis, the goal is to increase the UI. This approach was already adopted and discussed by previous papers (Alves Junior et al., [2021;](#page-20-0) Melo et al., [2018\)](#page-21-1).

### *3.4 Tiebreaking Method: Double-Frontier Logistic Composite Index (LCI)*

The tiebreaking method of the composite index (Leta et al., [2005\)](#page-21-16), also named as Double-Frontier method, was applied, according to Eq. [\(10\)](#page-8-2). It represents an arithmetic average between standard and inverted efficiencies standardized by the maximum composite index of the analyzed population.

<span id="page-8-2"></span>
$$
LCI = \left[ E_k^{\text{standard}} + \left( 1 - E_k^{\text{inverted}} \right) \right] / 2 \Bigg/ \max \left\{ \left[ E_k^{\text{standard}} + \left( 1 - E_k^{\text{inverted}} \right) \right] / 2 \right\} \right\} \, k = 1, 2, \dots, z \tag{10}
$$

where  $E_k^{standard}$  is the standard efficiency resulted from the application of the DEA model for the kth DMU,  $E_k^{inverted}$  is the inverted efficiency of the kth DMU, i.e., the resulted efficiency when inputs are inserted in the SBM model as outputs and vice versa.

### **4 Findings**

Table [6](#page-14-0) in the *Appendix* presents the resulting LCI when considering LENGTH as pND, for each DMU, as well as the Rank position based on the LCI. For demonstrating and discussing the proposed approach, Table [6](#page-14-0) also presents LCI and Rank results, when considering LENGTH as a Controllable measure (input) as well as considering LENGTH as a totally non-discretionary (tND) measure.

Observing the results (Table [6,](#page-14-0) in Appendix) and the data descriptive statistics (Table [1\)](#page-6-0), it is possible to see that the five routes with the best performance in all configurations were not those with great EXPORTS. The routes with greatest STORAGE were related to Belém (PA), Itacoatiara (AM), Santarém (PA), Santos (SP), and São Luis (MA). As can be seen in Table [6,](#page-14-0) when LENGTH is treated as a controllable measure, the most efficient routes are those with the shortest length. When LENGTH is treated as tND, there is a relative performance improvement of those routes with other desired measures (for example, those with the greatest STORAGE). Finally, when LENGTH is treated as pND, there is a balance between routes with short LENGTH and other desired measures.

*For a faster and easier visualization,* Table [2](#page-9-0) presents the same results of Table [6,](#page-14-0) but aggregated by GTC, through the arithmetic average of the results of the DMUs in the same GTC.

It is possible to observe in Table [2](#page-9-0) that the main differences in the aggregate results regarding the models with pND, tND, and controllable measures are between the GTC from Santarém (PA) and Itacoatiara (AM). Santarém (PA) is in the second and Itacoatiara (AM) is in the fourth position in controllable and pND ranks, while Santarém (PA) is in the fourth and Itacoatiara (AM) is in the second position in the

	Average LCI			Rank		
<b>GTCs</b>	Control	tND	pND	Control	tND	pND
Rio Grande (RS)	0.714	0.728	0.704	1	1	1
Santarém (PA)	0.630	0.485	0.601	$\overline{c}$	4	$\overline{c}$
Paranaguá (PR)	0.548	0.495	0.515	3	3	3
Itacoatiara (AM)	0.403	0.517	0.41	$\overline{4}$	2	$\overline{4}$
São Luís (MA)	0.384	0.324	0.332	6	5	5
São Francisco do Sul (SC)	0.397	0.273	0.301	5	6	6
Vitória (ES)	0.261	0.27	0.274	8	7	7
Santos (SP)	0.285	0.188	0.225	7	8	8
Belém (PA)	0.218	0.124	0.156	9	9	9
Ilhéus $(BA)$	0.121	0.094	0.122	10	10	10
Salvador (BA)	0.022	0.028	0.025	11	11	11
Fortaleza (CE)	0.001	0.001	0.001	12	12	12

<span id="page-9-0"></span>**Table 2** Aggregated GTC's LCI results considering LENGTH as a Controllable (control) measure (input), a totally non-discretionary (tND) measure, and a partially non-discretionary (pND) measure, followed by their respective rank positions

tND rank. Despite a measure being not controllable, it happens, because the tND neutralize a measure in terms of source of inefficiency, and the average length from Santarém (PA) is 1360.98 km while the ones from Itacoatiara (AM) is 1880.92 km, so that huge difference in distance is totally ignored in a model with tND, but the model with pND allows it being almost no controllable and be a source of inefficiency yet.

On the other hand, São Luís (MA) was the fifth GTC under controllable assumptions and São Francisco do Sul (SC) was the sixth. Under both tND and pND assumptions, they inverted positions. In other words, São Luís (MA) is sixth and São Francisco do Sul (SC) fifth in both assumptions. The rank change from controllable (discritionary) assumption to a partially Non-Discretionary (pND) and a totally Non-Discretionary (tND) assumptions. This is explained by the fact that São Luís (MA) corridor presents desired observed values for other target measures (e.g., DEPTH of the port, multimodal infrastructure, and very low ACCIDENTS). Once São Luís (MA) and São Francisco do Sul (SC) present similar LENGHT of roads, but São Luís (MA) has more multimodal infrastructure, when it is constrained, its better-observed values in these three aspects improve its relative position. However, the aggregation through arithmetic average presents limitations. One of them is the dependency on the number of routes in a GTC. Although the aggregated values are useful for fast visualization and understanding, it is recommended to investigate routes' (DMUs') results (Table [6\)](#page-14-0) for taking decisions and making policies. Also, other types of aggregations and models can be explored, as the network ones.

Even though with these results, someone could argue about the lack of big differences between the models with controllable and pND measures, but a deep investigation in the percentage of variation to achieve the goals to be in the efficient frontier, computed as a post-efficiency analysis and shown in Table [3.](#page-10-0)

As it can be seen in Table [3,](#page-10-0) the model with the LEGTH as a controllable measure shows changes (reductions) up to 18.74% in the length of the routes in a GTC. Considering long-distance haulage, it could be enough to move to another state, so

<b>GTCs</b>	LENGTH (control)	LENGTH (tND)	LENGTH (pND)
Paranaguá (PR)	$-18.74%$	$0.00\%$	$-3.21\%$
São Francisco do Sul (SC)	$-10.38%$	$0.00\%$	$-0.81%$
Vitória (ES)	$-8.14%$	$0.00\%$	$-1.73%$
Santos (SP)	$-7.56\%$	$0.00\%$	$-1.84%$
Rio Grande (RS)	$-6.19\%$	$0.00\%$	$-2.16%$
Salvador (BA)	$-3.13%$	$0.00\%$	$-1.70%$
São Luís (MA)	$-2.56\%$	$0.00\%$	$-2.21%$
Ilhéus $(BA)$	$-2.49%$	$0.00\%$	$-1.13%$
Belém (PA)	$-2.35%$	$0.00\%$	$-1.98%$
Itacoatiara (AM)	$-1.28%$	$0.00\%$	$-0.63%$
Santarém (PA)	$-0.50%$	$0.00\%$	$-0.50%$
Fortaleza (CE)	$0.00\%$	$0.00\%$	$0.00\%$

<span id="page-10-0"></span>**Table 3** GTCs' % of variation to achieve the goal to be in the frontier

sometimes it is not a viable outcome to be implementable in practice. While the model with the LENGTH as a pND measure shows similar final average ranks for the GTCs, but with changes in (reductions) up to 18.74% in the length of the routes in a GTC. It is a more viable outcome. And about the model with the LENGTH as a tND measure, it does not even allow changes in it, what sometimes it is not in accordance with the practice (e.g., when the farmer is far away from the center of an origin region).

#### **5 Discussion**

As stated in the *Findings*, the five routes with the best performance in all configurations were not those with great EXPORTS. Such as Alves Junior et al. [\(2021\)](#page-20-0), the model configuration proposed here dealt well in avoiding bias due to productive inequalities. This represents one step further in methodological evolution, one it was not achieved by Melo et al. [\(2018,](#page-21-1) [2020\)](#page-22-2), which presented, among the admitted limitations of the results, the greater producers also as part of the most efficient routes. The current paper, as well as Alves Junior et al. [\(2021\)](#page-20-0), is focused on the destination (origin) instead of the origin (production).

The aggregated results in Table [2](#page-9-0) shows the GTC of Rio Grande in the top position independently of the LENGTH treatment. Also, Paranaguá maintained the third position in the three treatments. Besides, the worst performers Belém, Ilhéus, Salvador, and Fortaleza did not change rank positions. These relative positions agree with the previous literature, which demonstrated that, in general, routes and corridors in the Southern of Brazil are more efficient than those from Northern and North-eastern (Alves Junior et al., [2021;](#page-20-0) Garcia et al., [2019;](#page-21-17) Branco et al., [2020;](#page-20-9) Melo et al., [2018,](#page-21-1) [2019;](#page-21-18) Rentizelas et al., [2019\)](#page-22-3).

It is possible to observe in Table [2](#page-9-0) that the last four corridors (average of routes) are from the North and Northeast regions from Brazil. With this in mind and comparing with Alves Junior et al. [\(2021\)](#page-20-0), Branco et al. [\(2020\)](#page-20-9), and the Brazilian Planning and Logistics Company [\(2021\)](#page-22-11), it is possible to suggest public policies to improve the performance of the corridors from the North and Northeast regions. For example, investing in new railways. Also, investing in new waterways, multimodal routes, and GTCs enable connecting these regions to other productive areas. In this regard, the synergy of integration supports the mitigation of  $CO<sub>2</sub>$  emissions. It is possible to highlight the prioritization of four railways: *Ferrograo* (connecting the Center-West productive region to the PA state, and providing alternative access to the Port of Santarém (PA) using the Tapajós waterway). *Ferrovia Norte-Sul* (connecting the North and Northeast regions to the Southeast one). *Ferrovia de Integração Oeste-Leste* [connecting the west of BA state to the Port of Ilhéus (BA)]. *Ferrovia Nova Transnordestina* (connecting the North to the Northeast region).

Another point to discuss is related to the aggregated values that are useful for fast visualization and understanding, it is recommended to investigate routes' (DMUs') results (Table [6\)](#page-14-0). These detailed results permit the decision-makers to understand where in the GTC (and how) is required to guide more efforts to improve local and aggregated performance.

For example, the GTC of Itacoatiara has 12 routes (DMUs 18–29) (Table [4\)](#page-12-0). One of them is the fourth best ranked, considering the 245 DMUs under analysis (DMU24). Though other DMUs are among the worst-ranked (18, 20, 23, 26, and 27). Efforts directed to improve the efficiency of the worst-ranked routes will result in a GTC better performance as well as promote regional development.

In parallel, the GTC of Santarém has eight routes (DMUs 88–95) (Table [5\)](#page-12-1). Following the proposed methodology, efforts should be guided to worst-ranked DMUs. The aggregation through the arithmetic average may have benefited the GTCs with fewer routes. In practical terms, it may be not possible to build more routes due to natural barriers such as mountains and forests. In this case, the DMUs' results point which existing route should be the focus of efforts. For example, in the case of Santarem (in Amazon Forest), they are DMUs 88, 93, and 92. Though, in cases where it is possible to build more routes, planned routes can also be incorporated into the analysis and their expected performance can be investigated.

		<b>LCI</b>			Rank		
DMU	Destination	Control	tND	pND	Control	tND	pND
24	Itacoatiara (AM)	0.937	0.949	0.947	6	7	4
25	Itacoatiara (AM)	0.654	0.868	0.662	37	13	32
21	Itacoatiara (AM)	0.610	0.618	0.617	44	39	39
19	Itacoatiara (AM)	0.515	0.522	0.521	69	60	63
22	Itacoatiara (AM)	0.515	0.522	0.521	70	61	64
29	Itacoatiara (AM)	0.423	0.522	0.457	100	64	80
28	Itacoatiara (AM)	0.451	0.522	0.456	90	63	81
27	Itacoatiara (AM)	0.323	0.348	0.326	142	104	113
20	Itacoatiara (AM)	0.290	0.696	0.293	153	30	122
18	Itacoatiara (AM)	0.110	0.111	0.111	209	164	197
26	Itacoatiara (AM)	0.007	0.522	0.007	226	68	226
23	Itacoatiara (AM)	0.007	0.011	0.007	225	206	227

<span id="page-12-0"></span>**Table 4** DMUs' results of the GTC of Itacoatiara (MA)

<span id="page-12-1"></span>**Table 5** DMUs' results of the GTC of Santarém (PA)

		LCI			Rank		
DMU	Destination	Control	tND	pND	Control	tND	pND
89	Santarém (PA)	0.888	0.522	0.886	11	57	8
91	Santarém (PA)	0.851	0.522	0.837	15	58	13
90	Santarém (PA)	0.817	0.776	0.808	19	23	17
95	Santarém (PA)	0.741	0.726	0.738	24	25	22
94	Santarém (PA)	0.731	0.716	0.725	27	27	23
92	Santarém (PA)	0.522	0.228	0.348	67	130	106
93	Santarém (PA)	0.285	0.282	0.286	157	120	127
88	Santarém (PA)	0.208	0.107	0.178	181	168	150

### **6 Conclusions**

We presented a methodology for building a long-distance cargo-haulage performance index, named Logistic Composite Index (LCI). In this context, the LCI brings the novelty of incorporating partially Non-Discretionary (pND) measures in Double-Frontier Data Envelopment Analysis (DEA), Slack-Based Measure (SBM) under Variable Return to Scale (VRS) assumption to study Green Transport Corridors and its routes.

For deepening the discussion about the impact of the partial non-discretionarily treatment, we also ran the model considering two other possibilities: (*1*) route transport distances as controllable measures (inputs), i.e., assuming decision-makers and policy-makers have the possibility of shortening the physical transport distance between producers and exporting ports, without any external constraints (this was the most adopted assumption in previous studies); (*2*) route transport distances as totally non-discretionary (tND), i.e., assuming decision-makers and policy-makers have no possibility of shortening the physical transport distance between producers and exporting ports. They are completely limited by external constraints.

The three results were aligned to the previous literature, pointing routes and corridors in Southern Brazil more efficient than those in the Northern and Northeastern. But treating the length of the route as a partially Non-Discretionary (pND) measure proved to be more accurate, mainly when calculating the percentages of variation to achieve the goals to be in the frontier. Once the top-ranked DMUs under the pND assumption also presented better-ranked positions under controllable assumptions. They these DMUs presented worse-ranked positions under the tND-distance assumption. Also, both assumptions (tND and controllable) are not achievable in real life for the studied context.

For creating a Green Transport Corridor's (GTC) index and avoiding the lower number of GTCs, we considered the routes as DMUs, computed the LCIs, and aggregated the routes' LCIs of each GTC, through an arithmetic average. Although the GTC values are useful for fast visualization and understanding, DMU's results should be considered when planning efforts for improving GTC's efficiency as well as promoting regional development.

For future investigations, in terms of application, we recommend studies focused on the logistic operators, such as related to the availability of return freight. We also recommend the use of big data and real-time logistic data, when they are available. This application can improve the model developed through the incorporation of other techniques, such as hierarchical network models and deep learning. For example, we recommend the development of a model where the discretionary level of measure could be customized for each DMU. This way, the same model could assume (for the same measure) a higher discretionary level for those DMUs where the measure is less externally constrained. Once the data is available, the discretionary level of each DMU could be calculated through deep learning and other techniques. Similarly, it is also possible to improve the aggregation method from routes to corridors, such as proposing (dynamic) network-DEA and hierarchical-DEA models. Finally, we suggest for future studies to investigate the impact of the dispersion of the data (standard-deviation) on the efficiency results, and other aggregation methods or Network models applied to evaluate the GTC<sub>s</sub>.

## **Appendix**

<span id="page-14-0"></span>**Table 6** DMU's (Route's) LCI results considering DISTANCE as a Controllable measure (input), a totally non-discretionary (tND) measure, and a partially non-discretionary (pND) measure, followed by their respective rank positions

		<b>LCI</b>			Rank			
DMU	Destination	Control	tND	pND	Control	tND	pND	
79	Rio Grande (RS)	0.99	$\mathbf{1}$	1	2	$\mathbf{1}$	1	
82	Rio Grande (RS)	0.99	$\mathbf{1}$	$\mathbf{1}$	3	2	$\overline{2}$	
83	Rio Grande (RS)	0.983	0.991	0.991	4	5	3	
24	Itacoatiara (AM)	0.937	0.949	0.947	6	$\overline{7}$	$\overline{4}$	
237	São Luís (MA)	0.933	0.945	0.943	$7\phantom{.0}$	8	5	
231	São Luís (MA)	0.908	0.92	0.918	10	9	6	
64	Rio Grande (RS)	1	0.899	0.906	1	12	7	
89	Santarém (PA)	0.888	0.522	0.886	11	57	8	
70	Rio Grande (RS)	0.923	0.841	0.843	9	15	9	
235	São Luís (MA)	0.832	0.843	0.842	16	14	10	
75	Rio Grande (RS)	0.673	0.906	0.838	35	10	11	
175	Santos (SP)	0.829	0.84	0.838	17	16	12	
91	Santarém (PA)	0.851	0.522	0.837	15	58	13	
176	Santos (SP)	0.823	0.834	0.832	18	17	14	
192	São Francisco do Sul (SC)	0.873	0.777	0.813	13	22	15	
55	Paranaguá (PR)	0.803	0.812	0.811	21	19	16	
90	Santarém (PA)	0.817	0.776	0.808	19	23	17	
61	Rio Grande (RS)	0.877	0.777	0.792	12	21	18	
183	Santos (SP)	0.778	0.788	0.786	22	20	19	
73	Rio Grande (RS)	0.587	0.905	0.779	47	11	20	
76	Rio Grande (RS)	0.809	0.745	0.75	20	24	21	
95	Santarém (PA)	0.741	0.726	0.738	24	25	22	
94	Santarém (PA)	0.731	0.716	0.725	27	27	23	
54	Paranaguá (PR)	0.704	0.997	0.723	31	$\overline{4}$	24	
238	São Luís (MA)	0.694	0.722	0.701	33	26	25	
62	Rio Grande (RS)	0.749	0.694	0.697	23	31	26	
189	Santos (SP)	0.695	0.702	0.694	32	29	27	
78	Rio Grande (RS)	0.735	0.691	0.694	25	32	28	
67	Rio Grande (RS)	0.549	0.826	0.682	56	18	29	
74	Rio Grande (RS)	0.718	0.645	0.667	29	35	30	

		LCI			Rank		
DMU	Destination	Control	tND	pND	Control	tND	pND
66	Rio Grande (RS)	0.725	0.28	0.667	28	121	31
25	Itacoatiara (AM)	0.654	0.868	0.662	37	13	32
205	São Francisco do Sul (SC)	0.872	0.652	0.662	14	34	33
49	Paranaguá (PR)	0.732	0.643	0.644	26	36	34
68	Rio Grande (RS)	0.649	0.685	0.634	39	33	35
57	Paranaguá (PR)	0.628	0.642	0.634	43	37	36
77	Rio Grande (RS)	0.554	0.711	0.632	54	28	37
33	Paranaguá (PR)	0.704	0.303	0.624	30	113	38
21	Itacoatiara (AM)	0.61	0.618	0.617	44	39	39
63	Rio Grande (RS)	0.685	0.601	0.61	34	42	40
169	Santos (SP)	0.567	0.618	0.606	50	40	41
52	Paranaguá (PR)	0.591	0.6	0.595	46	43	42
180	Santos (SP)	0.566	0.639	0.592	52	38	43
45	Paranaguá (PR)	0.654	0.582	0.585	38	44	44
214	São Luís (MA)	0.959	0.522	0.584	5	55	45
51	Paranaguá (PR)	0.552	0.61	0.582	55	41	46
36	Paranaguá (PR)	0.628	0.569	0.572	42	46	47
172	Santos (SP)	0.476	0.576	0.56	81	45	48
50	Paranaguá (PR)	0.54	0.566	0.558	58	47	49
39	Paranaguá (PR)	0.647	0.541	0.548	40	54	50
60	Paranaguá (PR)	0.543	0.56	0.546	57	48	51
41	Paranaguá (PR)	0.538	0.554	0.545	60	50	52
186	Santos (SP)	0.476	0.56	0.543	82	49	53
200	São Francisco do Sul (SC)	0.927	0.522	0.541	8	56	54
32	Paranaguá (PR)	0.531	0.549	0.54	62	52	55
174	Santos (SP)	0.489	0.552	0.534	75	51	56
69	Rio Grande (RS)	0.61	0.519	0.533	45	69	57
80	Rio Grande (RS)	0.525	0.99	0.531	65	6	58
46	Paranaguá (PR)	0.661	0.513	0.531	36	70	59
81	Rio Grande (RS)	0.522	1	0.528	66	3	60
171	Santos (SP)	0.479	0.548	0.526	78	53	61
9	Belém (PA)	0.515	0.522	0.521	68	59	62
19	Itacoatiara (AM)	0.515	0.522	0.521	69	60	63
22	Itacoatiara (AM)	0.515	0.522	0.521	70	61	64
166	Santos (SP)	0.515	0.522	0.521	71	62	65
71	Rio Grande (RS)	0.563	0.513	0.516	53	71	66
43	Paranaguá (PR)	0.566	0.509	0.514	51	72	67
42	Paranaguá (PR)	0.539	0.471	0.502	59	77	68
56	Paranaguá (PR)	0.443	0.502	0.487	93	73	69
53	Paranaguá (PR)	0.483	0.492	0.487	77	74	70
31	Paranaguá (PR)	0.526	0.46	0.478	64	83	71

**Table 6** (continued)





		LCI			Rank		
<b>DMU</b>	Destination	Control	tND	pND	Control	tND	pND
27	Itacoatiara (AM)	0.323	0.348	0.326	142	104	113
227	São Luís (MA)	0.441	0.239	0.326	95	127	114
222	São Luís (MA)	0.333	0.182	0.326	138	137	115
244	Vitória (ES)	0.319	0.325	0.323	143	109	116
218	São Luís (MA)	0.324	0.297	0.322	141	116	117
$\overline{2}$	Belém (PA)	0.584	0.19	0.32	48	136	118
223	São Luís (MA)	0.479	0.043	0.319	79	190	119
191	São Francisco do Sul (SC)	0.357	0.297	0.317	124	115	120
$\mathbf{1}$	Belém (PA)	0.338	0.301	0.311	134	114	121
20	Itacoatiara (AM)	0.29	0.696	0.293	153	30	122
126	Santos (SP)	0.407	0.288	0.293	107	118	123
14	Ilhéus (BA)	0.284	0.284	0.292	159	119	124
230	São Luís (MA)	0.287	0.313	0.29	156	112	125
229	São Luís (MA)	0.376	0.254	0.288	117	124	126
93	Santarém (PA)	0.285	0.282	0.286	157	120	127
181	Santos (SP)	0.233	0.165	0.284	172	142	128
112	Santos (SP)	0.414	0.247	0.273	101	126	129
217	São Luís (MA)	0.414	0.263	0.27	103	123	130
226	São Luís (MA)	0.303	0.073	0.27	148	180	131
190	Santos (SP)	0.265	0.29	0.268	163	117	132
243	Vitória (ES)	0.263	0.266	0.266	164	122	133
156	Santos (SP)	0.441	0.122	0.263	94	163	134
111	Santos (SP)	0.298	0.251	0.259	149	125	135
151	Santos (SP)	0.333	0.233	0.245	137	129	136
139	Santos (SP)	0.308	0.227	0.24	147	131	137
173	Santos (SP)	0.235	0.239	0.237	171	128	138
125	Santos (SP)	0.425	0.094	0.236	99	173	139
124	Santos (SP)	0.352	0.213	0.234	128	132	140
37	Paranaguá (PR)	0.38	$\mathbf{0}$	0.219	114	236	141
202	São Francisco do Sul (SC)	0.338	0.076	0.218	135	179	142
159	Santos (SP)	0.414	0.195	0.208	102	134	143
5	Belém (PA)	0.413	0.063	0.206	104	183	144
160	Santos (SP)	0.296	0.182	0.205	151	138	145
141	Santos (SP)	0.245	0.06	0.202	168	185	146
7	Belém (PA)	0.189	0.207	0.194	187	133	147
148	Santos (SP)	0.222	0.179	0.191	177	139	148
96	Santos (SP)	0.261	0.132	0.183	166	159	149
88	Santarém (PA)	0.208	0.107	0.178	181	168	150
199	São Francisco do Sul (SC)	0.387	0.166	0.177	113	141	151
105	Santos (SP)	0.207	0.162	0.177	182	143	152
127	Santos (SP)	0.241	0.033	0.175	170	195	153

**Table 6** (continued)





		LCI			Rank			
<b>DMU</b>	Destination	Control	tND	pND	Control	tND	pND	
110	Santos (SP)	0.13	0.107	0.132	206	169	189	
132	Santos (SP)	0.189	0.088	0.129	188	174	190	
122	Santos (SP)	0.14	0.085	0.129	202	176	191	
138	Santos (SP)	0.167	0.002	0.129	193	222	192	
232	São Luís (MA)	0.126	0.139	0.128	207	154	193	
118	Santos (SP)	0.358	0.107	0.125	122	167	194	
198	São Francisco do Sul (SC)	0.118	0.006	0.117	208	213	195	
161	Santos (SP)	0.144	0.001	0.117	201	229	196	
18	Itacoatiara (AM)	0.11	0.111	0.111	209	164	197	
142	Santos (SP)	0.318	0.003	0.109	145	217	198	
204	São Francisco do Sul (SC)	0.172	0.095	0.108	191	172	199	
158	Santos (SP)	0.353	0.079	0.108	127	178	200	
225	São Luís (MA)	0.478	0.066	0.103	80	182	201	
108	Santos (SP)	0.367	0.006	0.099	119	212	202	
87	Salvador (BA)	0.085	0.109	0.097	212	165	203	
109	Santos (SP)	0.207	0.002	0.094	183	221	204	
145	Santos (SP)	0.091	0.001	0.092	210	230	205	
11	Belém (PA)	0.229	0.004	0.087	173	216	206	
197	São Francisco do Sul (SC)	0.085	0.086	0.086	213	175	207	
239	Vitória (ES)	0.085	0.001	0.086	214	231	208	
140	Santos (SP)	0.144	0.001	0.084	200	228	209	
184	Santos (SP)	0.065	0.108	0.066	215	166	210	
236	São Luís (MA)	0.06	0.522	0.063	216	66	211	
187	Santos (SP)	0.051	0.063	0.052	217	184	212	
106	Santos (SP)	0.39	0.01	0.048	110	207	213	
234	São Luís (MA)	0.041	0.522	0.044	219	67	214	
177	Santos (SP)	0.044	0.06	0.044	218	186	215	
$\overline{4}$	Belém (PA)	0.089	0.026	0.042	211	197	216	
178	Santos (SP)	0.03	0.05	0.031	220	188	217	
123	Santos (SP)	0.344	0.002	0.028	131	220	218	
233	São Luís (MA)	0.025	0.037	0.026	221	192	219	
10	Belém (PA)	0.021	0.037	0.024	222	193	220	
147	Santos (SP)	0.227	0.001	0.022	175	227	221	
201	São Francisco do Sul (SC)	0.284	$\theta$	0.015	160	237	222	
13	Ilhéus (BA)	0.012	0.014	0.013	223	204	223	
3	Belém (PA)	0.01	0.01	0.01	224	210	224	
135	Santos (SP)	0.007	0.008	0.008	227	211	225	
26	Itacoatiara (AM)	0.007	0.522	0.007	226	68	226	
23	Itacoatiara (AM)	0.007	0.011	0.007	225	206	227	
8	Belém (PA)	0.006	0.006	0.006	228	214	228	
98	Santos (SP)	0.006	0.006	0.006	229	215	229	

**Table 6** (continued)

		LCI			Rank		
DMU	Destination	Control	tND	pND	Control	tND	pND
86	Salvador (BA)	0.002	0.002	0.002	230	223	230
210	São Francisco do Sul (SC)	0.002	0.002	0.002	231	224	231
212	São Luís (MA)	0.002	0.002	0.002	232	225	232
228	São Luís (MA)	0.002	0.002	0.002	233	226	233
6	Belém (PA)	0.001	0.001	0.001	234	232	234
12	Fortaleza (CE)	0.001	0.001	0.001	235	233	235
114	Santos (SP)	0.001	0.001	0.001	236	234	236
220	São Luís (MA)	0.001	0.001	0.001	237	235	237
15	Ilhéus (BA)	$\Omega$	$\Omega$	$\Omega$	238	238	238
84	Salvador (BA)	$\Omega$	$\mathbf{0}$	$\theta$	239	239	239
85	Salvador (BA)	$\Omega$	$\mathbf{0}$	$\Omega$	240	240	240
116	Santos (SP)	$\Omega$	$\Omega$	$\Omega$	241	241	241
130	Santos (SP)	$\Omega$	$\Omega$	$\theta$	242	242	242
152	Santos (SP)	$\Omega$	$\Omega$	$\theta$	243	243	243
203	São Francisco do Sul (SC)	$\Omega$	$\Omega$	$\theta$	244	244	244
240	Vitória (ES)	$\Omega$	$\overline{0}$	$\theta$	245	245	245

**Table 6** (continued)

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