**Fungal Biology**

N. Amaresan A. Sankaranarayanan Mitesh Kumar Dwivedi Irina S. Druzhinina  *Editors*

Advances in *Trichoderma* Biology for Agricultural Applications



# **Fungal Biology**

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Fungal biology has an integral role to play in the development of the biotechnology and biomedical sectors. It has become a subject of increasing importance as new fungi and their associated biomolecules are identifed. The interaction between fungi and their environment is central to many natural processes that occur in the biosphere. The hosts and habitats of these eukaryotic microorganisms are very diverse; fungi are present in every ecosystem on Earth. The fungal kingdom is equally diverse, consisting of seven different known phyla. Yet detailed knowledge is limited to relatively few species. The relationship between fungi and humans has been characterized by the juxtaposed viewpoints of fungi as infectious agents of much dread and their exploitation as highly versatile systems for a range of economically important biotechnological applications. Understanding the biology of different fungi in diverse ecosystems as well as their interactions with living and non-living is essential to underpin effective and innovative technological developments. This series will provide a detailed compendium of methods and information used to investigate different aspects of mycology, including fungal biology and biochemistry, genetics, phylogenetics, genomics, proteomics, molecular enzymology, and biotechnological applications in a manner that refects the many recent developments of relevance to researchers and scientists investigating the Kingdom Fungi. Rapid screening techniques based on screening specifc regions in the DNA of fungi have been used in species comparison and identifcation, and are now being extended across fungal phyla. The majorities of fungi are multicellular eukaryotic systems and therefore may be excellent model systems by which to answer fundamental biological questions. A greater understanding of the cell biology of these versatile eukaryotes will underpin efforts to engineer certain fungal species to provide novel cell factories for production of proteins for pharmaceutical applications. Renewed interest in all aspects of the biology and biotechnology of fungi may also enable the development of "one pot" microbial cell factories to meet consumer energy needs in the 21st century. To realize this potential and to truly understand the diversity and biology of these eukaryotes, continued development of scientifc tools and techniques is essential. As a professional reference, this series will be very helpful to all people who work with fungi and should be useful both to academic institutions and research teams, as well as to teachers, and graduate and postgraduate students with its information on the continuous developments in fungal biology with the publication of each volume.

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# Advances in *Trichoderma* Biology for Agricultural Applications



*Editors* N. Amaresan C.G. Bhakta Institute of Biotechnology Uka Tarsadia University Surat, Gujarat, India

Mitesh Kumar Dwivedi C.G. Bhakta Institute of Biotechnology Uka Tarsadia University Surat, Gujarat, India

A. Sankaranarayanan School of Life Sciences, Sri Sathya Sai University for Human Excellence Kalaburagi, Karnataka, India

Irina S. Druzhinina Nanjing Agricultural University Nanjing, China

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### **Foreword**



 At the beginning of my mycological career, I fell in love with *Trichoderma* because of its looks. The colorful stromata and usually ornamented ascospores, and the complex branching patterns and green conidia of the asexual states, were eye-catching. Now, after more than 20 years of working with this genus, I have come to know it for more than its appearance, and I still love it. The book *Advances in Trichoderma Biology for Agricultural Applications* presents an overview of all those other amazing aspects of *Trichoderma* that cannot be seen by the naked eye: from its complex taxonomy and species identifcation to its known applications

in biological control, growth promotion, and stress alleviation. The book also includes chapters on mechanisms involved in plant protection and the genomic and metabolomic tools used to understand them. In addition, even though the book's title relates to the agricultural applications, it also contains chapters on industrial uses, such as biosynthesis of nanoparticles, bioremediation, medicine, and wine and beer production. However, as it is mentioned in the preface, *Trichoderma* also has an "evil" side that we, *Trichoderma*-lovers, sometimes want to evade. For example, potent mycotoxin producers, agents causing disease in immunocompromised patients, or mycoparasites of commercial edible mushrooms.

I believe there are many facets of *Trichoderma* biology that need further studies, and hopefully this book will provide motivation to delve deeper into them. For example, some interrogations may be: How many species of *Trichoderma* are there and how ubiquitous are they in natural ecosystems? Does host-specifcity of mycoparasitic species exist, which would then have implications in the efficacy of the biofungicides? Can we introduce additional microorganisms in a biofungicide/biostimulant consortium that will not be outcompeted by *Trichoderma*? What are the roles, in the plant, of truly endophytic species? Will species used in bioremediation convert pesticides into more toxic by-products? These, and many more questions, will keep us busy for many more years to come.

Fix Chies.

**Priscila Chaverri** Visiting Scientist – ORISE Fellow U.S. Department of Agriculture, Agricultural Research Service Mycology and Nematology Genetic Diversity and Biology Laboratory Beltsville, MD, USA

Associate Professor School of Biology, Natural Products Research Centre (CIPRONA) University of Costa Rica San Pedro, San José, Costa Rica

Adjunct Associate Professor Department of Plant Science and Landscape Architecture University of Maryland College Park, MD, USA

### **Preface**

Some names shed light on the biology and ecology of organisms. For example, the Chinese name of the common flamentous green mold, *Trichoderma* (meaning a hairy thin skin in Latin), is mùméi (木霉) or, literally, the wood mold. Indeed, recent ecological surveys confrm that deadwood colonized by other fungi is the most frequent habitat for *Trichoderma*. However, decoding the higher taxonomic names related to these fungi appears even more meaningful. *Trichoderma* is the currently accepted generic name for fungi that were previously known as *Hypocrea* (sexual stage, teleomorph, and holomorph) and "imperfect" *Trichoderma* (asexual stage, anamorph). Even though the name *Hypocrea* is now outdated, the genus is still considered as the type for the family Hypocreaceae, which, in turn, is the type family for the order Hypocreales. But what is the meaning of this taxonomic name that is so tightly linked to *Trichoderma*? Interestingly, the root of these Latin names comes from the ancient Greek *ῠ̔πόκρῐσῐς* (hupókrisis) or hypocrisis, which can be roughly translated as something hypocritical or insincere. Apparently, this linguistic nuance is not random.

The contemporary scientifc results presented in this book show that the hypocrealean flamentous fungal genus *Trichoderma* (Ascomycota) has at least two faces, similar to Janus, the ancient Roman god of duality. Indeed, the genus contains numerous environmentally opportunistic species that have a multitude of benefcial properties and therefore can be used in industry and agriculture; this characteristic is *Trichoderma'*s "God" face. However, some of these fungi are so powerful that their domestication and rigorous use in industry and farming may become risky. Avoiding the other, "evil," face of *Trichoderma* requires cautious and science-based applications. Thus, recent fndings on *Trichoderma* genomics explain the hypocritical features of its biology that were predicted by the frst taxonomists who proposed the name *Hypocrea*.

It is not surprising that we mainly know *Trichoderma* spp. for their "God" face: besides the industrial cellulase producer *T. reesei*, several dozen *Trichoderma* species are used as unspecifc plant-benefcial *bio*effectors with outstandingly high effciency and a broad spectrum of applications in agriculture. In these cases, the innate mycoparasitism of *Trichoderma* is very useful in the development of *bio*fungicides for environmentally friendly crop protection known as *bio*control. Furthermore, being unable to attack plants and having low phytotoxicity, *Trichoderma* spp. are effcient stimulants of plant growth and immunity. This property is rigorously exploited in the development of modern *bio*fertilizers. The environmentally opportunistic species of *Trichoderma* are also highly resistant to chemical fungicides, which makes them suitable *bio*effectors for product applications together with chemical pesticides and integrated pest management.

However, the success of *Trichoderma*-plant interactions should not allow us overlooking its "evil" face: many *Trichoderma* spp. are causative agents of devastating green mold diseases on mushroom farms (*e.g.*, champignons, oyster mushrooms, and shiitake) and cause destruction to mushroom crops and severe worldwide economic damage. Furthermore, the same *Trichoderma* spp. that impact crops (plants and mushrooms) are also known as opportunistic pathogens of mainly, but not exclusively, immunocompromised humans and are capable of causing severe, frequently life-threatening mycoses.

In this book, we paid attention to the dual nature of *Trichoderma*. We frst focused on the benefcial facets of *Trichoderma* and reviewed not only the classical aspects of its biology and applications in agriculture and industry but also outlined several innovative developments based on these outstanding microorganisms. Nevertheless, we found it necessary to also highlight the hazardous features of the fungus. Therefore, we also invited the specialists addressing the potentially harmful sides of *Trichoderma* biology, hoping that their knowledge will help our readers to develop new generation *bio*products that will be safe for the total environment and valuable for people.

Reading this book should kindle further discussions among researchers working in fungal biotechnology, microbiology, agriculture, environmental science, forestry, and other allied subjects and thus lead to a broader scope of *Trichoderma*-based products and technologies. The knowledge shared in this book should also provide a warning on the potential risks associated with *Trichoderma*. The editors are highly obliged to each author or a team of the authors who found time to write a comprehensive chapter on their expertise in *Trichoderma* science. We also hope that the slight unavoidable overlaps in the contents of independent chapters will help the students and the young *Trichoderma* scientists to retrieve the topics that attract most of research attention and therefore will progress into the future.

Surat, Gujarat, India N. Amaresan Kalaburagi, Karnataka, India **A. Sankaranarayanan** Surat, Gujarat, India Mitesh Kumar Dwivedi Nanjing, China Irina S. Druzhinina August 2021

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#### **Part I Diversity of** *Trichoderma*







and Günseli Bayram Akçapınar

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## **About the Editors**

**N. Amaresan** is an assistant professor at C.G. Bhakta Institute of Biotechnology, Uka Tarsadia University, Gujarat. He has over 15 years of experience in teaching and research in various allied felds of microbiology, mainly plant-microbe interactions, bioremediation, and plant pathology. He has been awarded young scientist awards by the Association of Microbiologists of India and National Academy of Biological Sciences. He also been awarded visiting scientist fellowship from the National Academy of India to learn advanced techniques. He has published more than 100 research articles, book chapters, and books of national and international repute. He also deposited over 550 16S rDNA, 28S rDNA, and ITS rDNA sequences in the Genbank (NCBI, EMBL & DDBJ) and also preserved over 150 microbial germplasm in various culture collection centers of India. Dr. Amaresan has successfully completed research projects by national funding agencies such as SERB-DST, GUJCOST, UTU, & GEMI and guided students for their doctoral and master's degrees.

**A. Sankaranarayanan** is Associate Professor of Life Sciences, Sri Sathya Sai University for Human Excellence, Kalaburagi, Karnataka, India, since June 2021. His current research focus is on fermented food products. He has published 30 book chapters and 60 research articles in international and national journals of repute, guided 5 PhD and 16 MPhil scholars, and operated 5 minor funded projects in microbiology. From 2002 to 2015, he worked as an assistant professor and head of the Department of Microbiology at K.S.R. College of Arts & Science, Tiruchengode, Tamil Nadu, and from August 2015 to May 2021, he was associated with Uka Tarsadia University, Surat, Gujarat, India. Dr. Sankaranarayanan has awarded the Indian Academy of Sciences (IASc), the National Academy of Sciences (NAS), and the National Academy of Sciences (TNAS) sponsored summer research fellowship for young teachers consecutively for 3 years, and his name is included as a mentor in DST-Mentors/Resource persons for summer/winter camps and other INSPIRE initiatives, Department of Science & Technology, Govt. of India, New Delhi. He is a grant reviewer with the British Society of Antimicrobial Chemotherapy (BSAC), UK.

**Mitesh Kumar Dwivedi** is Assistant Professor of Microbiology at C. G. Bhakta Institute of Biotechnology, Uka Tarsadia University. He has published 51 research papers in reputed journals, written 14 book chapters, and is editor of 6 books. He has h-index of 21 with 1521 citations for his research papers. He has more than 13 years of experience in research and teaching in various allied felds of microbiology and life sciences including host-microbe interaction, probiotics, herbal medicine, and immunobiology. He has been serving as an editorial board member and reviewer of many international journals. He has been honored with international as well as national awards for excellent research performance [Best Researcher Award (2020), INSA Visiting Scientist Award (2019), DST-SERB Early Career Research Award (2018), Young Scientist Awards (2011, 2013, 2018)]. He secured all-India rank "32" in CSIR-NET national examination (2011; Life Sciences). He has successfully completed research projects from national funding agencies such as SERB-DST, GUJCOST, UTU, and Neosciences & Research Solutions Pvt. Ltd. and guided students for their doctoral and master's degrees.

**Irina S. Druzhinina** is Professor of Microbiology in the College of Resources and Environmental Sciences at Nanjing Agricultural University (China); head of the International Committee on *Trichoderma* Taxonomy; member of the International Committee of Taxonomy of Fungi, IUMS; and an editor of the *Applied and Environmental Microbiology* (AEM) journal (ASM). Before moving to China in 2019, for many years, Irina ran the group Microbiology and Applied Genomics at TU Wien (Vienna, Austria), where she studied fungal DNA barcoding and molecular evolution, genomics, and ecophysiology of *Trichoderma* and other hypocrealean fungi. The newly established Fungal Genomics Laboratory (FungiG) in Nanjing continues the research on the genus *Trichoderma*, focusing on its ecological genomics and ftness. In particular, the group is interested in the development of a *Trichoderma*-based model for systems biology investigation of flamentous fungi. Furthermore, the group studies the function and production of fungal surface-active proteins (hydrophobins, cerato-platanins) and their role in the fungal lifestyle. The applied research of Irina and her colleagues targets the improvement of the biological degradation of synthetic polymers and the development of biological products for plant protection and growth promotion.

# **Part I Diversity of** *Trichoderma*

# <span id="page-15-0"></span>**The Current State of** *Trichoderma* **Taxonomy and Species Identifcation**



**Feng Cai, Kai Dou, Ping Wang, Komal Chenthamara, Jie Chen, and Irina S. Druzhinina**

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#### **1 Introduction**

Molds from the genus *Trichoderma* (*Hypocreales*, *Ascomycota*) are among of the most common fungi; they are easy to isolate and handle in a pure culture (Migheli et al. [2009](#page-46-0); Zachow et al. [2009](#page-47-0); Chen et al. [2021](#page-44-0)). Consequently, the taxonomy of

Fungal Genomics Laboratory (FungiG), Nanjing Agricultural University, Nanjing, China

Institute of Chemical, Environmental, and Bioscience Engineering (ICEBE), TU Wien, Vienna, Austria e-mail: [caif8@mail.sysu.edu.cn](mailto:caif8@mail.sysu.edu.cn)

K. Dou  $\cdot$  J. Chen  $(\boxtimes)$ School of Agriculture and Biology, Shanghai Jiaotong University, Shanghai, China e-mail: [jiechen59@sjtu.edu.cn](mailto:jiechen59@sjtu.edu.cn)

P. Wang Ausliva International, Suzhou, China

K. Chenthamara Institute of Chemical, Environmental, and Bioscience Engineering (ICEBE), TU Wien, Vienna, Austria

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F. Cai  $(\boxtimes) \cdot$  I. S. Druzhinina

School of Ecology, Sun Yat-sen University, Shenzhen, China

*Trichoderma* started with the beginning of the modern fungal taxonomy in the eighteenth century (Persoon [1794\)](#page-46-0). Similar to other fungi, it was in the descriptive stage for two centuries and before entering a period of turbulence caused by molecular methods (Bissett [1984](#page-43-0); Bissett [1991a,](#page-43-0) [b,](#page-43-0) [c](#page-43-0); Kuhls et al. [1997](#page-45-0); Kindermann et al. [1998;](#page-45-0) Kullnig et al. [2000](#page-45-0)). Ideally, taxonomy should refect the nature of the organism and help its investigation. The biology of *Trichoderma* offers a convenient example to illustrate this relationship. Many *Trichoderma* strains have properties of environmental opportunism meaning that they are capable of fast colonization of a great variety of natural and artifcial substrates, are highly competitive in microbial communities, are resistant to xenobiotics including chemical fungicides, and are potent producers of various metabolites such as enzymes, secondary metabolites, or surface-active proteins (Druzhinina et al. [2011;](#page-44-0) Sun et al. [2019;](#page-46-0) Gao et al. [2020;](#page-44-0) Druzhinina and Kubicek [2017;](#page-44-0) Pang et al. [2020\)](#page-46-0). Some *Trichoderma* species can survive in soil and colonize rhizosphere possessing almost no harm to plants but stimulating their growth and development (Druzhinina et al. [2011](#page-44-0); Harman et al. [2004;](#page-45-0) Marra et al. [2019;](#page-46-0) Rivera-Méndez et al. [2020](#page-46-0)). Being mycoparasitic, a growing number of *Trichoderma* species are proposed as biofungicides for plant protection in agriculture (Ding et al. [2020](#page-44-0); Wu et al. [2018](#page-47-0)). However, the same property also makes *Trichoderma* species causative agents of the green mold disease on mushroom farms (Komoń-Zelazowska et al. [2007](#page-45-0); Kredics et al. [2010\)](#page-45-0) (see Kredics et al. in this book). Finally, some *Trichoderma* strains also have clinical signifcance as causative agents of nosocomial mycoses in immunocompromised humans (Chouaki et al. [2002](#page-44-0); Myoken et al. [2002;](#page-46-0) Kredics et al. [2003](#page-45-0)). These versatile, largely benefcial, but also harmful properties of *Trichoderma* make the taxonomy of this genus a high priority task because the correct identifcation of a species can predict its properties and thus facilitate applications. The taxonomy of *Trichoderma* has been intensively studied over the last two decades resulting in a hundred-fold increase in the species number from a few "species aggregates" of Rifai [\(1969](#page-46-0)) to several hundred molecularly defned species enumerated in several recent reviews (Druzhinina et al. [2006;](#page-44-0) Atanasova et al. [2013](#page-43-0); Bissett et al. [2015;](#page-43-0) Cai and Druzhinina [2021\)](#page-44-0). Thus, today *Trichoderma* comprises the genus of very common fungi with most species that have been characterized using modern molecular techniques.

The large number of species in *Trichoderma* appears to be reasonable: Whole genomic investigations of this genus and other hypocrealean fungi have estimated the origin of the genus at the edge of Cretaceous-Paleogene mass extinction event 66–67 million years ago (Kubicek et al. [2019](#page-45-0)). The most recent phylogenomic tree (Kubicek et al. [2019\)](#page-45-0) indicates that the formation of the major infrageneric clades such as Sections *Trichoderma* and *Longibrachiatum* recognized by John Bissett in the 1990s or the *Harzianum* Clade (Bissett [1984;](#page-43-0) Chaverri et al. [2003\)](#page-44-0) was formed somewhat 20–25 million years ago, while some closely related species such as *T. reesei* and *T. parareesei* shared a common ancestor 4–8 million years ago. This vast evolutionary time and the relatively high evolutionary rates (compared to, e.g., vertebrates) offer the genus *Trichoderma* tremendous possibilities for the adaptation to the environmental conditions and speciation. However, similar to other fungi, many evolutionary different strains of *Trichoderma* still share remarkable

morphological and ecophysiological similarities. It appears that many traits suitable and accessible for direct examination by taxonomists are homoplasious and appeared due to convergent evolution. Thus, the most diffcult task of modern taxonomy of *Trichoderma* is to retrieve the traits that would allow one to distinguish a great number of species.

The general fungal taxonomy is regulated by the Code, i.e., CN International Code of Nomenclature for algae, fungi, and plants (Turland et al. [2018\)](#page-47-0), that now contains an advanced section for fungi in Chapter F, San Juan Chapter F (May et al. [2019\)](#page-46-0). Even though the Code strictly regulates nomenclatural acts, it assumes a heterogeneity of approaches to defne species (Turland et al. [2018\)](#page-47-0). This can be explained by the complexity of lineage-dependent evolutionary processes (Steenkamp et al. [2018;](#page-46-0) Inderbitzin et al. [2020](#page-45-0)) or numerous pragmatic criteria used by the taxonomists for the classifcation of particular fungal groups. Lücking et al. [\(2020](#page-46-0)) found that the best practice depends on the group in question and the required level of precision. Some fungi can be grouped based on phenotype characteristics; however, most fungi, especially asexual forms such as *Trichoderma*, require timeconsuming and labor-intensive methods that include culturing, DNA barcoding, and phylogenetic analysis as well as discipline- or taxon-specifc approaches such as physiological profling (Lücking et al. [2020](#page-46-0)). Therefore, it is common for species concepts determined by the taxonomy providers to vary even within one genus. However, taxonomy users expect that the identifcation of species should be precise and accurate. For *Trichoderma*, this collision of possibly vague species delimitation and the need for the exact species identifcation was recently addressed in Cai and Druzhinina [\(2021](#page-44-0)). This topic requires a thoughtful discussion that will also be presented in this chapter and continued elsewhere.

The biology of *Trichoderma* offers a number of exclusive opportunities to the taxonomists. Fungi from this genus are ubiquitous and relatively simple to recognize and collect in natural and human-made habitats. They are easy to isolate directly from specimens and from a broad range of substrates based on the characteristic genus-specifc features. Most strains have fast growth in vitro on all common laboratory media and do not require demanding cultivation conditions such as temperature, illumination, or humidity. Importantly, and as it will be described in most chapters of this book, many *Trichoderma* spp. have highly valuable properties for industry and agriculture. Respectively, *Trichoderma* has attracted the attention of classical mycologists and people focusing on applied microbiology and developmental applications. Therefore, all collections of microorganisms have numerous *Trichoderma* isolates. Public depositories of gene sequences contain thousands of *Trichoderma* DNA barcodes, and the number of the whole genome sequences has grown exponentially. However, the identifcation of *Trichoderma* is also considered to be extremely diffcult. Fungal taxonomists including experts working with this genus for many years now frequently fail to determine the species (Cai and Druzhinina [2021](#page-44-0)).

In this chapter, we investigate the theoretical background of these collisions in *Trichoderma* research aiming for a concise review of the taxonomic state of the genus. We present a brief synopsis of *Trichoderma* taxonomy through January 2021,

<span id="page-18-0"></span>list all *Trichoderma* species names, and explain the latest identifcation protocol for *Trichoderma* species.

#### **2 The Numerical State of** *Trichoderma* **Taxonomy and Species Identifcation**

After the implementation of the "One fungus – One name" concept of fungal nomenclature (Taylor [2011\)](#page-46-0)—and based on the voting organized by the International Commission on *Trichoderma* Taxonomy (ICTT) (formerly [www.isth.info](http://www.isth.info), now [www.trichoderma.info](http://www.trichoderma.info)) of the International Commission on the Taxonomy of Fungi (ICTF, [www.fungaltaxonomy.org\)](http://www.fungaltaxonomy.org)—*Trichoderma* was selected as a single generic name that should be used for all stages such as holo-, ana-, and teleomorphs. Consequently, the taxonomy of the genus *Trichoderma* was updated to include the species names previously attributed to teleomorphs from such genera as *Hypocrea*, *Sarawakus*, and *Protocrea* (Jaklitsch [2009a](#page-45-0); Jaklitsch et al. [2014\)](#page-45-0). The formal transfer of a few species of *Hypocrea* to *Trichoderma* is still pending (Cai and Druzhinina [2021\)](#page-44-0); nevertheless, these species are valid names of the genus (Table [1](#page-19-0)).

As of January 2021, the genus *Trichoderma* contains 468 species epithets, among which 379 names are currently in use, while 89 names (19%) are synonyms of different categories (abandoned names, orthographic variants, synonyms) (Cai and Druzhinina [2021\)](#page-44-0) updated with materials from Gu et al. ([2020\)](#page-44-0). Forty names were introduced before the twentieth century. Of these, only five are currently in use including such important species as *T. viride* and *T. atroviride*. Sixty species were introduced in the twentieth century based on their morphology, (sometimes) ecophysiological properties, and biogeography (Rifai [1969;](#page-46-0) Bissett [1984,](#page-43-0) [1991a,](#page-43-0) [b](#page-43-0), [1992\)](#page-43-0). The end of the century coincided with the introduction of molecular methods in *Trichoderma* taxonomy and the proposal of the genealogical concordance phylogenetic species recognition concept (GCPSR) as the most powerful approach to distinguish fungal taxa (Taylor et al. [2000](#page-47-0); Lücking et al. [2020](#page-46-0)). These changes resulted in a rapid increase in the number of taxa adding the majority of modern *Trichoderma* species names (364, 78%) delineated in the first two decades of the twenty-frst century. Consequently, only 14 (4%) currently valid *Trichoderma* species have not been characterized by molecular markers (Cai and Druzhinina [2021\)](#page-44-0), while 365 species (96%) have been DNA barcoded. This makes the genus *Trichoderma* a suitable model for DNA barcoding and molecular evolutionary studies in fungi.

The largest database of *Trichoderma* names is available in MycoBank [\(http://](http://www.mycobank.org/) [www.mycobank.org/](http://www.mycobank.org/)) followed by Index Fungorum ([http://www.indexfungorum.](http://www.indexfungorum.org) [org](http://www.indexfungorum.org)). Most species names are recorded in both taxonomic depositories, but MycoBank still has 14 and Index Fungorum has 8 unique records. Therefore, none of the offcial depositories of fungal taxonomy has the full list of *Trichoderma* species names (Fig. [1](#page-34-0)). To date, the most complete list of *Trichoderma* species can be found in Table [1](#page-19-0) (sorted alphabetically for convenience). Alternatively, the newly

<span id="page-19-0"></span>**Table 1** The alphabetic list of all species names deposited for *Trichoderma* in Index Fungorum ([http://www.indexfungorum.org/\)](http://www.indexfungorum.org/), MycoBank [\(https://www.mycobank.org/\)](https://www.mycobank.org/), NCBI Taxonomy Browser [\(https://www.ncbi.nlm.nih.gov/Taxonomy/Browser/wwwtax.cgi\)](https://www.ncbi.nlm.nih.gov/Taxonomy/Browser/wwwtax.cgi), and scientifc literature as of February 2021

Species name	Author(s)	Year	Reference strain
Trichoderma acremonioides	Zhang & Zhuang	2018	HMAS 279611
Trichoderma adaptatum	Chen & Zhuang	2017	<b>HMAS 248800</b>
Trichoderma aeroaquaticum	Yamag., Tsurumi, Chuaseehar. & Nakagiri	2012	<b>NBRC 108034</b>
Trichoderma aerugineum	Jaklitsch	2009	CBS 120541
Trichoderma aeruginosum	Link	1816	not in use
Trichoderma aestuarinum	Gonçalves & Alves	2019	MUM H-19.05
Trichoderma aethiopicum	Mulaw, Kubicek & Samuels	2012	CBS 130628
Trichoderma afarasin	Chaverri & Rocha	2015	CBS 130755
Trichoderma afroharzianum	Chaverri, Rocha, Degenkolb & Druzhin.	2015	CBS 124620
Trichoderma aggregatum	Chen & Zhuang	2017	<b>HMAS 248863</b>
Trichoderma aggressivum	Samuels & Gams	2002	DAOM 222156
Trichoderma albocorneum	(Doi) Jaklitsch & Voglmayr	2014	G.J.S. 97-28
Trichoderma albofulvopsis	Qin & Zhuang	2016	HMAS 273760
Trichoderma albofulvum	(Berk. & Broome) Jaklitsch & Voglmayr	2014	CBS 114787
Trichoderma albolutescens	Jaklitsch	2011	CBS 119286
Trichoderma alboviride	Chen & Zhuang	2017	<b>HMAS 247224</b>
Trichoderma album	Preuss	1851	not in use
<b>Trichoderma</b> alcalifuscescens	(Overton) Jaklitsch & Voglmayr	2014	CBS 122303
Trichoderma alni	Jaklitsch	2008	CBS 120633
Trichoderma alpinum	Chen & Zhuang	2017	<b>HMAS 248821</b>
Trichoderma alutaceum	Jaklitsch	2011	CBS 120535
Trichoderma amazonicum	Chaverri & Gazis	2011	CBS 126898
Trichoderma americanum	(Canham) Jaklitsch & Voglmayr	2014	CBS 976.69
Hypocrea ampulliformis	Doi & Yamat.	1989	<b>JCM 11982</b>
Trichoderma andinense	(Samuels & Petrini) Samuels, Jaklitsch & Voglmayr	2014	CBS 345.97
Trichoderma angustum	Qin & Zhuang	2017	<b>HMAS 273784</b>





Species name	Author(s)	Year	Reference strain
Trichoderma chlorosporum	Chaverri & Samuels	2003	CBS 114231
Trichoderma christiani	Jaklitsch & Voglmayr		2015 CBS 132572
Trichoderma christianii	Jaklitsch & Voglmayr		$2015$ not in use
Trichoderma	Chaverri & Samuels	2003	CBS 114577
chromospermum			
Trichoderma cinnabarinum	Wallr.	1833	not in use
Trichoderma cinnamomeum	Chaverri & Samuels	2003	G.J.S. 97-237
Trichoderma citrinella	(Ellis) Zhuang & Zeng	2017	
Trichoderma citrinoviride	<b>Bissett</b>		1984 CBS 258.85
Trichoderma citrinum	(Pers.) Jaklitsch, Gams & Voglmayr	2014	CBS 894.85
Trichoderma collae	(Schwein.) Sacc.		$1886$ not in use
Trichoderma compactum	Yu & Zhang	2007	CBS 121218
Trichoderma composticola	Samuels & Jaklitsch		2013 CBS 133497
Trichoderma concentricum	Chen & Zhuang		2017 HMAS 248833
Trichoderma confertum	Chen & Zhuang	2017	<b>HMAS 248896</b>
Trichoderma confluens	Qin & Zhuang		2016 HMAS 244993
Hypocrea coprosmae	Dingley		1952 PDD 10453
Trichoderma cordobense	Speg.		1926   not in use
Trichoderma corfecianum	Sacc.		$1911$ not in use
Trichoderma corneum	(Pat.) Jaklitsch & Voglmayr		2014 CBS 100541
Trichoderma cornu-damae	(Pat.) Zhu & Zhuang		$2014$ G.J.S. 06-03
Trichoderma corrugatum	(Doi, Liu & Tamura) Liu, Zhu &		$2014$ not in use
	Zhuang		
Trichoderma costaricense	(Chaverri & Samuels) Chaverri,		2014 P.C. 21
	Jaklitsch & Voglmayr		
Trichoderma crassum	<b>Bissett</b>	1992	CBS 336.93
Trichoderma cremeoides	Jaklitsch & Voglmayr		2015 S112
Trichoderma cremeum	Chaverri & Samuels		2003 CBS 111146
Trichoderma croceum	<b>Bissett</b>		1992 not in use
Trichoderma crystalligenum	Qin & Zhuang		$2017$ not in use
Trichoderma crystalligenum	Jaklitsch		2006 CBS 118980
Trichoderma cuenisporum	Chaverri & Samuels		$2003$ not in use
Trichoderma cuneisporum	Chaverri & Samuels		$2003$ not in use
Trichoderma	Li & Chen	2018	not in use
cyanodichotomus			
Trichoderma dacrymycellum	Jaklitsch		2009   WU 29042a
Trichoderma danicum	(Jaklitsch) Jaklitsch & Voglmayr	2014	CBS 121273
Trichoderma decipiens	(Jaklitsch, Põldmaa & Samuels) Jaklitsch & Voglmayr	2014	G.J.S. 97-207

**Table 1** (continued)





























\* *T. brevipes* was transferred from *Cordyceps* (Hypocreales) to *Trichoderma* (Bissett et al. [2015\)](#page-43-0). No DNA barcoding information is available for this species.

\*\* The name of *Trichoderma viride* is presented diferently in the three databases, namely the NCBI Taxonomy Browser contains *T. viride* Pers. 1832, while MycoBank and Index Fungorum refer to *T. viride* Pers. 1794.

re-established website of the ICTT [\(www.trichoderma.info\)](http://www.trichoderma.info) contains the other copy of the complete list of species and is designed to be regularly updated. The interactive, updated, and searchable version of the complete list of *Trichoderma* species is available as a supplementary tool in the species identifcation protocol

<span id="page-34-0"></span>

**Fig. 1** The numerical representation of *Trichoderma* taxonomy. The left Venn diagram shows the number of *Trichoderma* species deposited in the major depositories of fungal taxonomy such as Index Fungorum ([http://www.indexfungorum.org/\)](http://www.indexfungorum.org/), MycoBank ([https://www.mycobank.org/\)](https://www.mycobank.org/), and NCBI Taxonomy Browser [\(https://www.ncbi.nlm.nih.gov/Taxonomy/Browser/wwwtax.cgi\)](https://www.ncbi.nlm.nih.gov/Taxonomy/Browser/wwwtax.cgi). The right Venn diagram shows the numbers of species that have one or several of the three DNA barcode sequences required for the molecular identifcation of *Trichoderma*. The bar plot illustrates the alarming situation related to identifability of *Trichoderma* species. Numbers near the bars show the numbers of species (based on the estimates updated from Cai and Druzhinina [2021](#page-44-0), [www.trichokey.com](http://www.trichokey.com) and [www.trichoderma.info](http://www.trichoderma.info))

[\(www.trichokey.com](http://www.trichokey.com)) (Cai and Druzhinina [2021](#page-44-0)). However, as the number of species grows rapidly (Cai and Druzhinina [2021\)](#page-44-0), it has been suggested to screen the most recent taxonomic literature and compare it to the data on recent website updates.

The introduction of molecular methods in *Trichoderma* taxonomy not only resulted in the rapid growth of the species number but it also ended the morphological identifcation of *Trichoderma* (Kullnig-Gradinger et al. [2002;](#page-45-0) Druzhinina and Kubicek [2005;](#page-44-0) Druzhinina et al. [2005](#page-44-0)). Regardless of the experience and training of the taxonomist, the analysis of many morphological features cannot lead to unambiguous diagnosis of *Trichoderma* taxa even at the level of clades or sections. Thus, identifcation can only be achieved via analysis of DNA barcodes.

<span id="page-35-0"></span>Even though 96% of *Trichoderma* species are characterized molecularly and the sequences are preserved in public databases, the Taxonomy Browser of NCBI [\(https://www.ncbi.nlm.nih.gov/taxonomy](https://www.ncbi.nlm.nih.gov/taxonomy)) contains only 340 species names (89% from all and 93% from molecularly characterized) meaning that sequence records for at least several dozen described species were not updated; however, these are still deposited as taxonomically undefned records (i.e., *Trichoderma* sp. strain ID). Consequently, these species will not appear in the results of the sequence similarity search using NCBI BLAST. The vouchered sequences can be retrieved based on sequence accession numbers provided in the publications.

Due to the high number of cryptic and closely related species, the accurate molecular identifcation of *Trichoderma* species requires analysis of at least three DNA barcodes (Cai and Druzhinina [2021](#page-44-0)) (see below). Considering the updated records for early 2021, the largest number of species have been DNA barcoded for *tef1* (86%) followed by *rpb2* (82%) and ITS (78%); only 270 (71%) have all 3 DNA barcodes (Fig. [1\)](#page-34-0). Other commonly provided DNA barcodes (*chi18-5*=*ech42*, *cal1*, *act*, *acl1*, 18S rRNA=SSU, and 28S rRNA=LSU) are sequenced for less than onehalf of the species; therefore, they currently have limited or no suitability for molecular identifcation regardless of their properties.

We notice that the number of species suitable for accurate species identifcation based on molecular markers is even lower than the estimate provided above (71%, Fig. [1](#page-34-0)). Our analysis showed that the identifcation of at least 50 recently described species is compromised by either incomplete reference sequences or sequences indistinguishable from the sister species (Cai and Druzhinina [2021\)](#page-44-0). Thus, we counted only 224 (60%) of *Trichoderma* species that can be potentially identifed based on available DNA barcodes (ITS, *tef1*, and *rpb2*). Still, this number appears to be an overestimate because the individual analysis of species frequently reveals further taxonomic collisions and leads to ambiguous results.

Thus, we conclude that while the taxonomy of *Trichoderma* attracted considerable attention over the last two decades, the taxonomic situation in the genus is alarming and requires urgent improvements (Fig. [1](#page-34-0)). The reasons for this unfortunate state of *Trichoderma* taxonomy and possible measures that can be taken for its improvement will be discussed below.

#### **3 Three Stages of** *Trichoderma* **DNA Barcoding**

The development of DNA barcoding of *Trichoderma* went through three pronounced stages: First, the species could be identifed based on the combination of diagnostic oligonucleotide sequences in specifc areas of ITS sequences of the rRNA gene cluster when the total diversity of the genus did not exceed 100 taxa (Druzhinina et al. [2005\)](#page-44-0). This method was implemented in the web-based tool *TrichO*KEY and was supported by the public database of the reference sequences. At least for a decade, the *TrichO*KEY tool was appreciated by users of *Trichoderma* taxonomy because of its simplicity. For most species recognized at that time, a
pasting of an ITS sequence in the web form provided an unambiguous and fnal identifcation result that did not require further analyses (reviewed at Druzhinina et al. ([2006\)](#page-44-0)). The identifcation could be performed by people having no experience in fungal taxonomy or molecular phylogeny. However, there were already several pairs of species that shared the same phylotypes of ITS and therefore were not distinguishable. Upon subsequent introduction of more and more new species, insuffcient variability of ITS was demonstrated for many infrageneric groups especially for the clades within Section *Trichoderma* and Section *Longibrachiatum* as well as the *Harzianum* Clade*.* Therefore, ITS started to lose its reputation as the diagnostic marker for *Trichoderma* species (Druzhinina et al. [2012;](#page-44-0) Atanasova et al. [2010\)](#page-43-0).

A new effort was focused on a search for the so-called "secondary" DNA barcode loci that would aid in unambiguous species identifcation. At that stage, the suitability of various loci was tested based either on the random use of recently cloned and characterized genes (e.g.,  $ech42 = ch18-5$ ) or more commonly following the practices used for the large DNA barcoding initiatives such as the Fungal Tree of Life project (Lutzoni et al. [2004](#page-46-0)). Thus, *rpb2* (Liu et al. [1999\)](#page-46-0), *cal1* (Carbone and Kohn [1999](#page-44-0)), *act* (Carbone and Kohn [1999\)](#page-44-0), 18S rRNA=SSU (White et al. [1990\)](#page-47-0), and 28S rRNA=LSU were sequenced for a broad range of species, but only *tef1* locus received broad support by the community (Cai and Druzhinina [2021\)](#page-44-0). Therefore, the second phase of *Trichoderma* DNA barcoding was associated with the use of the large intron of *tef1* gene (Kopchinskiy et al. [2005](#page-45-0)) for sequence similarity search. The sequences of *tef1* were sufficiently polymorphic and allowed species identifcation with quite high precision versus the curated database of vouchered sequences using such tools as *Tricho*BLAST or (with more caution) NCBI BLAST. At that stage, we estimated that intraspecifc variability of *tef1* large (4th) intron could be as high as 4–5% meaning there was a 95% similarity threshold for most of the species in BLAST.

Rahimi et al. [\(2021](#page-46-0)) recently offered a way to identify *T. reesei* strains by searching for the long (400 bp) sequence of *tef1* fragment that they postulated to be diagnostic for this species. However, no such hallmarks were reported for other *Trichoderma* spp. This "*tef1*" stage ended with the so-called species boom that occurred in *Trichoderma* in 2014–2015 when more than 100 new species were added mainly due to the taxonomic studies in Europe and China (reviewed in Cai and Druzhinina [2021](#page-44-0)). Dou et al. ([2020\)](#page-44-0) were the frst group to realize that the single secondary barcode—the partial *tef1* sequence—was no longer sensitive enough for the identifcation of *Trichoderma* species. For this purpose, they programmed MIST (The Multiloci Identifcation System for *Trichoderma* [\(http://mmit.china](http://mmit.china-cctc.org/)[cctc.org/](http://mmit.china-cctc.org/))) that relied on the gradual application of sequence similarity search for the three loci: ITS, *tef1*, and *rpb2*. This started the third stage of *Trichoderma* DNA barcoding. This program offered a reasonable replacement to *TrichO*KEY that was consequently shut down (Cai and Druzhinina [2021](#page-44-0)). The strength of MIST was the most complete database of the reference sequences for *Trichoderma* and included the tree DNA barcoding loci for many type strains; it also contained numerous unverifed records and thus could not result in highly accurate or precise

identifcation. Interestingly, the two secondary DNA barcodes (the partial sequences of *tef1* and *rpb2*) have unequal levels of polymorphism. Therefore, no single value of the similarity threshold could be used for either markers. To overcome this issue, we recently collected all DNA barcoding records for all contemporary valid *Trichoderma* species and proposed the species identifcation protocol (Cai and Druzhinina [2021](#page-44-0)). There, we reviewed the interspecifc polymorphism of ITS, *tef1*, and *rpb2* sequences of closely related *Trichoderma* species to fnd the most reasonable sequence similarity values for each of the three DNA barcoding loci. This allowed us to formulate the sequence similarity standard:

$$
Trichoderma \ \left[ \text{ITS}_{76} \right] \sim \text{sp} \exists! \ \left( rpb2_{99} \cong \text{tef} 1_{97} \right).
$$

Here, "Trichoderma" means the genus *Trichoderma*, "sp" means a species, "~" indicates an agreement between ITS and other loci, " $\cong$ " refers to the concordance between "*rpb2*" and "*tef1*," and "∃!" indicates the uniqueness of the condition (only one species can be identifed). Subscripts show that the similarity per locus is suffcient for identifcation based on the assumptions of the protocol. This standard was then implemented in the molecular identifcation protocol (Cai and Druzhinina [2021\)](#page-44-0) that required a manual analysis of every set of sequences per individual strain. Still, due to the high number or poorly characterized reference taxa, this protocol would also result in some ambiguous identifcations. Moreover, the application of the identifcation procedure requires training in sequence analysis and can be diffcult for inexperienced people. However, no "easy" solution appears to be feasible at this phase of *Trichoderma* taxonomy.

The current (third) stage of DNA barcoding of *Trichoderma* is based on the three DNA loci that are considered to be the most reliable. Still the identifcation process remains complex. Even though Cai and Druzhinina [\(2021](#page-44-0)) argue that all three loci are required for the accurate and precise species identifcation, ITS can only be used to identify *Trichoderma* at the generic level. Most species recognition comes from the diagnostic fragments of *tef1* and *rpb2* gene sequences. The choice of these loci is not determined by their particular suitability for the purpose but rather by their availability in public databases for most species (Fig. [1\)](#page-34-0).

The advantage of *tef1* is the high polymorphism of its large (4th) intron sequence that is 250–300 base pairs long. We determined that individual strains within most of the contemporary species share >97% similarity of this fragment meaning that the polymorphism can reach up to 3% or 20–25 single mutations. This "identifcation window" is small versus that during the second stage of DNA barcoding, but it still offers a reasonable resolution and may potentially lead to unambiguous identifcation of strains having *tef1* phylotypes highly similar to that of the type strain for a given species. However, the disadvantage of *tef1* is also linked to its high polymorphism because it prevents combining strains from different infrageneric clades on a single alignment (Jaklitsch [2009a](#page-45-0), [2011](#page-45-0)). Consequently, many *Trichoderma* taxonomy providers keep sequencing *tef1* for newly described species but have largely abandoned the polymorphic fragment and shifted toward the 3′ end of the gene to the highly conserved fragment of the last (6th) exon (Jaklitsch [2009b](#page-45-0), [2011\)](#page-45-0). Consequently, the taxonomic value of this version of the *tef1* DNA barcode locus is neglectable. This shift coincided with the "species boom" and resulted in the description of the large number of species that cannot be distinguished based on existing DNA barcodes (Cai and Druzhinina [2021\)](#page-44-0).

The properties of *rpb2* are the reverse versus *tef1*: The DNA barcoding fragment of this gene covers an area of relatively highly conserved exon sequence. Contrary to *tef1*, these sequences are easily aligned genus-wide and therefore are suitable for the construction of whole genus phylograms (Atanasova et al. [2013;](#page-43-0) Cai and Druzhinina [2021\)](#page-44-0). Consequently, the polymorphism of *rpb2* is essentially lower than *tef1*, and such well-defned pairs of sister species such as *T. asperellum* and *T. asperelloides, T. reesei* and *T. parareesei*, and *T. harzianum* and *T. afroharzianum* differ by only 1% or a few single mutations of *rpb2* (usually less than eight). Unfortunately, we have detected numerous recently described species that share identical or highly similar (>99%) sequences of *rpb2* (Cai and Druzhinina [2021\)](#page-44-0). The consideration of above-described limitations of *tef1* and *rpb2* DNA barcodes is the main but not the only source of identifcation complexity.

The other issue causing the identifcation ambiguity is related to the cases of unconcordant similarities of the three DNA barcoding loci. For example, Cai and Druzhinina [\(2021](#page-44-0)) pointed to the ambiguous taxonomic position of their model whole genome sequenced strain NJAU 4742 (Zhang et al. [2016](#page-47-0), [2019;](#page-47-0) Pang et al. [2020;](#page-46-0) Cai et al. [2020;](#page-44-0) Gao et al. [2020](#page-44-0); Druzhinina et al. [2018](#page-44-0); Kubicek et al. [2019;](#page-45-0) Jiang et al. [2019;](#page-45-0) Zhao et al. [2021\)](#page-47-0). This strain has the *tef1* DNA barcode identical to the type strain of *T. guizhouense*. Therefore, it was attributed to this species at the second stage of DNA barcoding of *Trichoderma*. However, the *rpb2* sequence of this strain is less than 95% similar to that of the type strain of *T. guizhouense* and has most affnity to *T. pyramidale* (97.8%, which is still below the identifcation threshold). Interestingly, we came across several other strains with the same haplotype of *tef1* and *rpb2* as NJAU 4742. These data suggest the existence of a putative new species (*T. shenii* nom. prov., Cai and Druzhinina [2021\)](#page-44-0). This and numerous other cases of incongruent similarities point to the need for phylogenetic analyses of *tef1* and *rpb2* alignments along with the consideration of the similarities. In turn, these data explain why any attempts at automated identifcation of sequences such as *TrichO*KEY and MIST do not appear feasible.

#### **4 Notes on the Identifcation of** *Trichoderma* **Species**

The protocol for molecular identifcation of a single *Trichoderma* strain is detailed in Cai and Druzhinina [\(2021](#page-44-0)). That work also contains several dozen practical examples that provide an overview of various situations related to the implementation of this protocol. In this chapter, we do not repeat the description of the protocol but rather comment on it and highlight a few aspects that appear critical for its understanding and correct use (Fig. [2\)](#page-39-0).

<span id="page-39-0"></span>

**Fig. 2** The summary of the current molecular identifcation protocol for *Trichoderma* species (Cai and Druzhinina [2021\)](#page-44-0)

First, it is important to bear in mind that neither the choice of DNA barcode markers nor the sequence similarity threshold values were selected based on their properties or particular suitability for the species recognition in *Trichoderma*. The decision to use these loci was merely pragmatic because these were the only three DNA barcoding markers that were available in public databases for the majority of species (Fig. [1](#page-34-0)). Accordingly, the similarity values were picked such that they could distinguish most of the contemporary species (Cai and Druzhinina [2021\)](#page-44-0). We admit that the whole genome sequences for *Trichoderma* (Druzhinina et al. [2018;](#page-44-0) Kubicek et al. [2019](#page-45-0)) could be used for the detection of essentially more powerful DNA barcoding loci in a hypothetical situation of a taxonomic revision of the entire genus. However, it is important to understand that no such revision appears to be envisioned in the near future for nonscientifc reasons. The comparison of closely related *Trichoderma* strains is impeded by the strain exchange barriers between countries.

For instance, at least 100 *Trichoderma* species have been recently described in China, and this number will likely keep growing (Cai and Druzhinina [2021\)](#page-44-0). Due to the quarantine rules, sending strains across the borders between some specifc countries for examination in other laboratories appears to be diffcult. Thus, at this stage of DNA barcoding of *Trichoderma*, the selection of diagnostic loci and criteria for the identifcation were determined by the availability and other practical considerations.

Second, the protocol largely relies on the sequence similarity values, and its successful implementation requires precisely defned sequence fragments per each locus. Consequently, preparation of the protocol by trimming the sequences is an essential step that must not be omitted (Fig. [2](#page-39-0)). Every DNA barcoding locus can be PCR amplifed using a variety of primer pairs (Jaklitsch et al. [2005](#page-45-0); Carbone and Kohn [1999;](#page-44-0) Liu et al. [1999\)](#page-46-0) resulting in fragments of different lengths. Therefore, the base pairs fanking the diagnostic regions must be removed either manually following the instructions in Cai and Druzhinina ([2021\)](#page-44-0) or using online support such as [www.trichokey.com](http://www.trichokey.com) (Fig. [2\)](#page-39-0).

Third, sequencing ITS is compulsory for the identifcation of *Trichoderma* species and the analysis of infrageneric diversity. Unfortunately, to date, the database of vouchered ITS sequences is smaller compared to *tef1* and *rpb2* (Fig. [1\)](#page-34-0) because sequencing of ITS was abandoned by some providers of *Trichoderma* taxonomy after this locus lost its power in distinguishing many pairs or groups of closely related species. However, ITS still has an exceptional value in fungal taxonomy (Schoch et al. [2012\)](#page-46-0). Even in *Trichoderma*, many species have unique phylotypes of ITS and can therefore contribute to the identifcation precision. More critically, ITS is highly diagnostic at the generic border of *Trichoderma* where the limited polymorphism of the protein-coding genes appears to be less informative (Cai and Druzhinina [2021\)](#page-44-0). It is also necessary to determine ITS sequences for all new fungal taxa because it is the main locus used for fungal metagenomic studies and has a vast database of environmental records (reviewed in Lücking et al. ([2020\)](#page-46-0)).

Fourth, it is important to specify that the protocol allows one to identify some species through the analysis of sequence similarity values with no need to run phylogenies. For example, it might be common when a certain strain has the trimmed ITS and *rpb2* phylotypes identical to that of *T. asperelloides* CBS 125938 (type) and the trimmed *tef1* phylotype having one or two SNPs different from that of the above strain. In this case, the application of the *Trichoderma*  $[ITS_{76}] \sim sp\exists! (rpb2_{99} \cong tef1_{97})$ standard is unambiguous and leads to the molecular identifcation of the query strain as *T. asperelloides*. Many other cases require phylogenetic analysis. This is in particular necessary when *tef1* and *rpb2* are not concordant or the reference DNA barcoding material is incomplete. The quality of phylogenetic analysis is also strongly infuenced by the taxonomic completeness of the reference materials. The dataset suitable for phylogeny should have no gaps, i.e., it should include all species reported for this infrageneric group. The protocol of Cai and Druzhinina [\(2021](#page-44-0)) offers a list of *Trichoderma* species and reference strains sorted based on their phylogenetic relation (PhyloOrder in Table 2 there and on [www.trichokey.com\)](http://www.trichokey.com). This

should assist people searching for a taxonomically complete set of sequences required for their analysis.

The ffth note on the implementation of the molecular identifcation protocol for *Trichoderma* species refers to the validation and verifcation steps (Fig. [2\)](#page-39-0). These steps were not considered important at the frst and second stages of *Trichoderma* DNA barcoding but now appear critical.

In Cai and Druzhinina [\(2021](#page-44-0)), validation refers to the quality control step in the reference materials for DNA barcoding. The most common issue leading to ambiguous identifcations is the deposition of the reference *tef1* sequences that contain only a portion of the last large intron (Jaklitsch [2009a\)](#page-45-0) that is diagnostic for *Trichoderma* DNA barcoding. One or another end of this sequence is the mission (more frequently the 5′ end of the intron sequence). The taxonomically relevant map and the structure of the *tef1* gene were provided in Rahimi et al. [\(2021](#page-46-0)). As mentioned above, many taxonomists sequence the 3′ end of the *tef1* gene spanning over the last large exon that can be aligned for across the genus, but it has limited or no suitability for DNA barcoding. This refers to numerous new species introduced from Europe and China in prior and over the recent "species boom" in 2009–2015. The missing diagnostic *tef1* DNA barcodes should be provided on the frst instance because with the current high number of taxa, even a single incomplete reference sequence per species will result in ambiguous identifcation.

This situation is less frequently noticed for *rpb2* sequences. However, *rpb2* can sometimes contain sequences of poor quality that are also not suitable for references. For the cases when the DNA barcoding sequences for the reference strains are either incomplete or of poor quality, the protocol of Cai and Druzhinina [\(2021](#page-44-0)) suggests using the *T*. cf. [species name] construct. The users of taxonomy (researchers that perform the identifcation) are advised to seek or request the completion of reference materials from their respective taxonomy providers. Alternatively (and as it was practiced at early stages of *Trichoderma* DNA barcoding), the reference strains can be obtained from the respective strain collections and sequenced.

The validation step can also fail when several species share the same phylotype of one or several DNA barcodes. Unfortunately, this is also a common situation in *Trichoderma* taxonomy (Cai and Druzhinina [2021](#page-44-0)). For example, *T. afarasin* and *T. endophyticum* share a highly similar *tef1* phylotype (>99% similarity); *T. yunnanense* and *T. kunmingense* share highly similar phylotypes of *rpb2* with each other and with *T. asperellum* (>99%). In this case, the ambiguity of the final identification can be recorded as *T*. aff. *asperellum* if the query strain was isolated from Europe (for instance). If sampling was performed in the Chinese province Yunnan, then the strains can be identifed as *T*. aff. *yunnanense* or *T*. aff. *kunmingense*, depending on other properties.

After the results of molecular identifcation become validated through the quality control of reference materials, the next important step is the biological verifcation of the identifcation result. Biological verifcation requires critical evaluation of such criteria as morphology, ecophysiology, biogeography, habitat, and occurrence. At this stage, the consideration of micromorphological features appears to be reasonable. For example, the three sister species *T. pleuroti*, *T. amazonicum*, and *T. pleuroticola* have numerous common and sharply different morphological and ecophysiological features verifying their distinct taxonomic statuses. Cai and Druzhinina [\(2021](#page-44-0)) provide a detailed explanation of the verifcation stage of their protocol.

Finally, the "new species hypothesis" can be an unambiguous, accurate, and precise result of molecular identifcation. This case ultimately requires validation of reference materials, phylogenetic analysis, and biological verifcation. In this chapter, we avoid discussing the criteria applicable for the delineation of species in *Trichoderma* as Cai and Druzhinina [\(2021](#page-44-0)) had presented a comprehensive discussion of this topic. However, we would like to stress that the correct implementation of the genealogical concordance phylogenetic species recognition concept (Taylor et al. [2000\)](#page-47-0) requires the analysis of single gene topologies. The common use of the single tree based on a combined multilocus alignment is insufficient for the new species proposal.

#### **5 Conclusions**

The identifcation of *Trichoderma* species is an intricate and laborious task that requires a background in mycology, molecular biological skills, training in molecular evolution, and in-depth knowledge of taxonomic literature (Cai and Druzhinina [2021\)](#page-44-0). The contemporary diversity of *Trichoderma* spp. cannot be identifed by automated sequence similarity searches (such as NCBI BLAST or MIST BLAST) or oligonucleotide DNA barcodes. All molecular identifcation results require in silico validation and biological verifcation. Similarly, *Trichoderma* spp*.* cannot be identifed by phylogenetic analysis without considering the sequence similarity values relative to the complete set of closely related species. The complexity of the identifcation process points to the need for close interactions between *Trichoderma* taxonomy experts.

In this chapter, we used *Trichoderma* to address the modern taxonomic collision that can also occur in many other genera of common and well-investigated fungi. The taxonomy of these fungi was visited and revisited many times and seemingly progressed with the introduction of new species. The delineation of the cryptic species is considered to be a useful practice because it increases the accuracy and precision of property prediction. However, many of newly recognized species appear to be diffcult to identify. Ultimately, the failure to identify species leads to ambiguity but, more dangerously, to the description of more new species that further complicate the identifcation. This loop has been already reported before and noticed that every single fungal species has been named 2.5 times on average (Hawksworth and Lucking [2017](#page-45-0)). The good taxonomic practice should include the verifcation of species identifability. Even though this process appears to be implemented as a reverse operation to the species recognition, it is frequently obscured by the application of vague species criteria. In an unfortunate case, a species can be recognized based on a comparison with a taxonomically incomplete set of references or based on species

<span id="page-43-0"></span>criteria that do not correspond to the state of the art in this genus. Even now, the Code will allow the application of the morphological species concept or a description of a *Trichoderma* species based on the morphological characters and the analysis of any single locus, i.e., ITS.

In this chapter, we tried to emphasize that such cases will result in a valid species name, but this species will not be possible to identify because most sister species were delineated based on advanced molecular species criteria such as GCPSR or even an integrated polyphasic approach. The example above is an exaggeration, but the taxonomic reality of *Trichoderma* is highly ambiguous. We assume that this turbulent state was caused by the recent introduction of highly powerful molecular techniques in fungal taxonomy, and the situation will get its rational solution. However, we set a further warning related to the introduction of the whole genus genomic data in *Trichoderma* taxonomy. The whole genome sequences have a still unexplored inter- and intraspecifc polymorphism and thus offer essentially more options for taxonomic splitting: Species within the genus may share only 75% similarity genome-wide (Kubicek et al. [2019](#page-45-0)) and genomes of the two strains of the same clonal species *T. harzianum* have up to 1000 unique genes each. Therefore, the discussion of the unifed species concept suitable for such fungi as *Trichoderma* is an urgent task for *Trichoderma* researchers and fungal taxonomists.

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# **Part II** *Trichoderma* **and Plant-Pathogenic Fungi**

# **Functional Genetics of** *Trichoderma* **Mycoparasitism**



#### **Kai Dou, Guan Pang, Feng Cai, Komal Chenthamara, Jian Zhang, Hongyi Liu, Irina S. Druzhinina, and Jie Chen**

#### **Contents**



K. Dou  $\cdot$  H. Liu  $\cdot$  J. Chen  $(\boxtimes)$ 

School of Agriculture and Biology, Shanghai Jiaotong University, Shanghai, China e-mail: [jiechen59@sjtu.edu.cn](mailto:jiechen59@sjtu.edu.cn)

G. Pang · F. Cai Key Laboratory of Plant Immunity, Nanjing Agricultural University, Nanjing, China

Fungal Genomics Laboratory (FungiG), Nanjing Agricultural University, Nanjing, China

K. Chenthamara Institute of Chemical, Environmental, and Bioscience Engineering (ICEBE), TU Wien, Vienna, Austria

#### J. Zhang

Key Laboratory of Plant Immunity, Nanjing Agricultural University, Nanjing, China

I. S. Druzhinina

Key Laboratory of Plant Immunity, Nanjing Agricultural University, Nanjing, China

Fungal Genomics Laboratory (FungiG), Nanjing Agricultural University, Nanjing, China

Institute of Chemical, Environmental, and Bioscience Engineering (ICEBE), TU Wien, Vienna, Austria

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#### <span id="page-50-0"></span>**1 Current Trends in** *Trichoderma* **Mycoparasitism Research**

Sustainable and green agriculture requires the development of ecologically friendly bioeffectors that can act either instead of chemical pesticides and fertilizers or can be applied along with them in an integrated manner (Yadav et al. [2020\)](#page-93-0). Over the last decade, the governments of many countries and political unions have issued regulations restricting the anthropogenic load on the environment from extensive agriculture (Huang and Yang [2017;](#page-89-0) Gołaś et al. [2020](#page-89-0); Nguyen et al. [2020;](#page-91-0) Priyadarshini and Abhilash [2020](#page-91-0)). Thus, the environment-friendly products of biological control of pests, in which indigenous plant-benefcial microorganisms or communities of such microbes act as bioeffectors (suppressors of plant pathogens), are currently in high demand on the market worldwide (Fraceto et al. [2018](#page-88-0); Ruiu [2018\)](#page-92-0). Among bioeffectors used against fungal diseases, species of the mycoparasitic and cellulolytic fungi from the genus *Trichoderma* (*Hypocreales*, *Ascomycota*) are by far the most effcient, convenient, and, therefore, most commonly used (De Rezende et al. [2020;](#page-87-0) Ding et al. [2020b](#page-87-0)). In China, at least four types of *Trichoderma*based formulations have been developed, including wettable powder, granules, water-dispersible granules, and seed dressing agents (Chen et al. [2014](#page-87-0)). Among those formulations, six kinds of wettable powder and one kind of water-dispersible granule were registered as biofungicides to protect tomato (*Solanum lycopersicum*), ornamental lily (*Lilium* spp.), and cucumber (*Cucumis sativus*) against seedling damping-off (*Pythium* spp.), root rot (*Pythium* spp., *Fusarium* spp.), gray mold (*Botrytis cinerea*), and downy mildew (*Pseudoperonospora cubensis*) (Chen et al. [2016\)](#page-87-0). Besides China, *Trichoderma*-based biofungicides are widely used in numerous other countries with developed agriculture, for example, Belgium, Germany, Spain, the United States, Brazil, and India (Woo et al. [2014;](#page-93-0) Fraceto et al. [2018\)](#page-88-0).

Biofungicides are based on interfungal interactions that are most frequently adverse. As heterotrophic organisms, fungi usually compete for nutrients with other fungi in their environment or just take resources directly from them through parasitism or predation. This means that there are usually "fungal wars" during the interfungal interactions (Hiscox et al. [2018](#page-89-0)). The fungal war could happen in the manner of mutual inhibition and space and nutrient competition (Hiscox et al. [2018](#page-89-0); Ujor et al. [2018](#page-93-0)) or result in induced necroses due to the attacks of facultative mycoparasites (Jeffries and Young [1994\)](#page-89-0). With the profound ability to detoxify toxins secreted by hostile fungi and the secretion of fungal cell wall-lysing extracellular enzymes or antifungal secondary metabolites, *Trichoderma* spp. usually have the upper hand in fungal wars and are considered to be prominent and versatile fghters (Komoń-Zelazowska et al. [2007;](#page-89-0) Druzhinina et al. [2011\)](#page-88-0).

The discovery of the suitability of *Trichoderma* mycoparasitism for plant protection happened only a few decades ago. Although this research area is young, it is attracting increasing attention because of the rapidly growing availability of "Big Data" for *Trichoderma* and other fungi. It is not surprising, therefore, that, until now, only a few *Trichoderma* species have been tested for their biocontrol potential and used for commercial applications. However, even these studies reveal that the ability of *Trichoderma* species to efficiently suppress various phytopathogenic fungi should be investigated in the ecological and evolutionary concept. For example, the mycoparasitic lifestyle is also reported for the members of the order *Hypocreales* like *Escovopsis weberi* (De Man et al. [2016\)](#page-87-0), many *Hypomyces* spp. (Zeng and Zhuang [2019;](#page-93-0) Lakkireddy and Khonsuntia [2020](#page-90-0); Yu et al. [2020\)](#page-93-0), and *Tolypocladium* spp., but only species from the genus *Clonostachys* have also been proposed for plant protection (Da Silva et al. [2021](#page-87-0)). *C. rosea* has been used to control plant diseases, including *Fusarium graminearum* (Hue et al. [2009](#page-89-0)) and *Sclerotinia sclerotiorum* (*Helotiales*, *Ascomycota*) (Rodríguez et al. [2011](#page-92-0)). *C. chloroleuca* also exhibits potential for biocontrol of various plant pathogens, including *S. sclerotiorum* (Sun et al. [2018](#page-92-0)).

Studies show that *Trichoderma* and *Clonostachys* species employ versatile strategies to suppress other fungi and may rely on one or several "weapons," such as secretion of hydrolytic enzymes, secondary metabolites, or both, and meanwhile being tolerant to toxic metabolites produced by other fungi. For instance, it has recently been shown that *T. guizhouense* [*T*. sp. NJAU 4742 sensu in Cai and Druzhinina [\(2021](#page-86-0))] can use protease (Zhang et al. [2016\)](#page-93-0) and reactive oxygen species (Zhang et al. [2019\)](#page-93-0) for the antagonism of *F. odoratissimum* (formerly known as FOC4) and protect itself with antioxidant azaphilones (Pang et al. [2020\)](#page-91-0) and a shortchain dehydrogenase (Zhu et al.  $2021$ ). The interaction between these two fungi is complex and depends on multiple factors, such as abiotic factors (illumination, availability of the nutrients) and developmental stage. It is remarkable as it indeed resembles a war. When the two fungi contact each other on the surface of the Petri dish, *F. odoratissimum* produces abundant water-soluble toxins that arrest the entire protein synthesis by *T. guizhouense*, as seen in the transcriptomic profle (Zhang et al. [2019\)](#page-93-0). The early images of the contact zone indicate antibiosis and a "dead-lock" reaction when the growth of both fungi stops (Fig. [1\)](#page-52-0). However, with time, *T. guizhouense* develops aerial hyphae capable of overgrowing the *F. odoratissimum* aerial hyphae. It has been shown that the direct interaction between the aerial hyphae of both fungi is aided by the formation of special guttation drops that contain proteolytic enzymes, are enriched in hydrogen peroxide, and contain numerous other metabolites (Zhang et al. [2019\)](#page-93-0). Although it was not experimentally verifed, the transcriptomic results suggest that the surface-active small secreted cysteine-rich proteins hydrophobins contribute to the stability of such "hunting bags" by assembling in the water-air interface and forming a water-proof membrane (Fig. [1](#page-52-0)). This interaction allows *T. guizhouense* to overgrow *F. odoratissimum* and form abundant conidiation on its aerial mycelium. As *T. guizhouense* is unable to touch the medium beneath *F. odoratissimum* due to toxins, it is assumed that the nutrients required to support conidiation are taken from the aerial hyphae of the host. The transcriptional profling of genes involved in this interaction with *F. odoratissimum* suggests their involvement in the parasitism of several other fungi, such as *Alternaria alternata* (*Pleosporales*, *Ascomycota*), *Botrytis cinerea* (*Helotiales*, *Ascomycota*), and others (Zhang et al. [2016, 2019](#page-93-0); Pang et al. [2020\)](#page-91-0). However, a certain level of host specifcity was also documented because none of the mechanisms mentioned above were exploited by this strain in interactions with *Rhizoctonia solani* (Fig. [1](#page-52-0)) (Zhang et al.

<span id="page-52-0"></span>

**Fig. 1** A unique mycoparasitic strategy of *Trichoderma* sp. NJAU 4742 (formerly known as *T. guizhouense* NJAU 4742) on toxin-producing strain of *Fusarium odoratissimum* (formerly known as FOC4)

At the initial interaction stage, *F. odoratissimum* produces water-soluble toxic metabolites that arrest protein synthesis of *T.* sp. NJAU 4742 (**a**). The resulting antibiosis zone is clearly seen in the case of the mycoparasitism-impaired mutant of this *Trichoderma*. The wild-type strain, however, is able to overgrow and parasitize aerial hyphae of *F. odoratissimum* by producing "hunting bags" (**b**) – the guttation drops putatively coated by hydrophobins and containing ROS and hydrolytic enzymes required for mycoparasitism (Zhang et al. [2019](#page-93-0)). (Images taken after 13 days of incubation on PDA at 25 °C in darkness)

[2016,](#page-93-0) [2019](#page-93-0)). Similar to *Trichoderma*, fungal cell wall-degrading enzymes (Chatterton and Punja [2009](#page-87-0)) and peptaibiotic metabolite production (Rodríguez et al. [2011\)](#page-92-0) are involved in the antagonism of *Clonostachys* (*Hypocreales*, *Ascomycota*) against species of *Fusarium* and *Sclerotinia*. ATP-binding cassette

transporters are essential for the xenobiotic tolerance of *Clonostachys* (Dubey et al. [2014\)](#page-88-0).

The rigorous way to verify the functional genetics involved in the mycoparasitism of *Trichoderma* spp. and *Clonostachys* spp. is by comparing the phenotypes of wild-type strains, gene disruption mutants, and reverse complementation mutants. If a gene is related to mycoparasitism, the mycoparasitism ability of gene disruption mutants should be reduced compared with wild-type strains. For example, the *abcG5* disruption mutants of *C. rosea* exhibited reduced antagonism toward *F. graminearum* (Dubey et al. [2014](#page-88-0)), indicating that *abcG5* plays a role in the antagonism process. The gene reverse complementation mutants, which showed a similar phenotype with the wild-type strains, were used to illustrate that the phenotypes of gene disruption mutants are not a false negative. For instance, while the *CrSsd1* mutants of *C. rosea* showed a weakened mycoparasitism rate against *S. sclerotiorum*, the complementary mutants recovered the lost ability and were similar to the wild-type strains in mycoparasitism (Lv et al. [2020\)](#page-90-0). Although not essential, the overexpression and fuorescent labeling methods can also help explore the role of functional genetics (Pang et al. [2020\)](#page-91-0). Overexpressing of protease in *T. virens* showed increased protection of cotton seedlings against *R. solani* (Pozo et al. [2004](#page-91-0)). Red fuorescent protein-labeled *T. guizhouense* was used to investigate the interactions with *F. odoratissimum* (Zhang et al. [2019\)](#page-93-0).

To date, the functional genetic studies of *Trichoderma* mycoparasitism have always been determined by the applications, i.e., based on plant pathogenic fungi (Guzmán-Guzmán et al. [2017](#page-89-0); Rubio et al. [2017;](#page-92-0) Estrada-Rivera et al. [2020\)](#page-88-0). However, the interactions between *Trichoderma* and these fungi in nature were not documented. In fact, the most large-scoped ecological surveys of *Trichoderma* diversity performed by W. Jaklitsch in Europe (e.g., Jaklitsch et al. [2008;](#page-89-0) Jaklitsch [2009, 2011](#page-89-0); Jaklitsch and Voglmayr [2012,](#page-89-0) [2015\)](#page-89-0) demonstrate that the most frequent natural hosts of *Trichoderma* are saprotrophic *Basidiomycota*, such as *Fomes fomentarius*, *Steccherinum ochraceum*, and *Fomitopsis pinicola*, or some plant-benefcial members of this group (Jaklitsch [2009](#page-89-0), [2011](#page-89-0)).

However, the hidden interactions with plant-associated *Ascomycota* have been revealed in the recent study of *Trichoderma* genomic architecture and the evolution of *Trichoderma* cellulolytic ability. Multiple cases of the lateral gene transfers (LGTs) of genes encoding the plant cell wall-degrading enzymes to *Trichoderma* from various flamentous *Ascomycota* have been described (Druzhinina et al. [2018\)](#page-88-0), suggesting abundant and close interactions with the fungi in the evolutionary history of the genus. Thus, the ancestor of genus *Trichoderma* obtained numerous hemicellulases from hemicellulase-enriched species of the black yeast *Aureobasidium* spp. (*Dothideomycetes*). Also, some putatively mycorrhizal fungi, such as *Oidiodendron maius* (*Leotiomycetes*), donated cellulases, hemicellulases, and pectinases, while many plant pathogenic and endophytic fungi served as donors of cellulases and hemicellulases. Interestingly, multiple cases of DNA exchanges were also recorded for nutritionally versatile *Eurotiomycetes*, such as *Aspergillus* and *Penicillium* (Druzhinina et al. [2018\)](#page-88-0). Consequently, the evolutionary and ecological studies revealed the broad range of hosts for *Trichoderma* mycoparasitism.

<span id="page-54-0"></span>We would like to stress that although phytopathogenic fungi were usually studied as hosts for mycoparasitism research in vitro, functional genetic studies should address the ecology of the fungus and its natural partners. The improved understanding of molecular pathways will open a way to develop the next generation of bioeffectors for plant protection.

Functional genetic studies of *Trichoderma* mycoparasitism require the availability of the molecular toolkit for effcient genetic transformation. Due to the wide application in industrial cellulase production, *T. reesei* has been the focus of gene engineers for several decades (Toyama et al. [2002](#page-93-0); Druzhinina and Kubicek [2017;](#page-88-0) Cai et al. [2021\)](#page-86-0). The initial assumption that this species is saprotrophic and, therefore, unsuitable for the mycoparasitic studies [see, e.g., Kubicek et al. ([2011\)](#page-90-0)] was not confrmed. The recent studies demonstrated the high mycoparasitic potential of this species (Druzhinina et al. [2010](#page-88-0), [2018](#page-88-0); Atanasova et al. [2013\)](#page-86-0). This opens many new possibilities for mycoparasitic studies (Druzhinina and Kubicek [2017;](#page-88-0) Druzhinina et al. [2016](#page-88-0)). Currently, different kinds of genetic transformation methods have been successfully conducted in *T. reesei*, including polyethylene glycol (PEG)-mediated transformation, *Agrobacterium*-mediated transformation, biolistic transformation, and electroporation (Cai et al. [2021;](#page-86-0) Martzy and Mach-Aigner [2021\)](#page-90-0). Genetic modifcations in the genomic or transcriptional level based on homologous recombination, transcription activator-like effector nucleases (TALENs), CRISPR/Cas9, and RNA interference systems have also been adopted in the genetic engineering of *T. reesei* (Chen et al. [2021](#page-87-0); Martzy and Mach-Aigner [2021\)](#page-90-0). Within the same genus, the successful protocol of genetic engineering for *T. reesei* could be expanded to other species of *Trichoderma*.

## **2 Ecological Terms and Defnitions Describing**  *Trichoderma* **Mycoparasitism**

The development of next-generation biocontrol products requires the standardization of the scientifc terms and defnitions used to describe the relationship between bioeffectors and phytopathogenic fungi. For instance, such terms as mycoparasitism, mycotrophy, and hyperparasitism need to be explicitly defned. Moreover, as fungi were initially being attributed to plants, many mycological terms originate from botany. However, as fungi form a distinct monophyletic group that shares the last common ancestor with animals at least 1 billion years ago (Lücking et al. [2009;](#page-90-0) Bengtson et al. [2017\)](#page-86-0), the unique mycological terminology used for the description of the ecological model of interactions and feeding types of fungi, including *Trichoderma*, needs to be refned and used correctly. It will help to avoid confusion by mixing terms used for the description of botanical and zoological interactions. Therefore, we propose the scheme of Druzhinina et al. [\(2018](#page-88-0)) that was based on Getz (Getz [2012](#page-88-0)). It offers the distinction between feeding on live or dead biomass and feeding on animals, plants, or fungi (Table [1\)](#page-55-0) (see also [www.fungig.org\)](http://www.fungig.org). For

		Term indicating						
<b>State</b>	Resource	nutrition type	Comments	References for Trichoderma				
Live	Parasite							
Live	<i>Insects</i> sensu lato	Entomoparasite	Here a colloquial meaning of insects is used: insect may apply to any small arthropod similar to an insect including spiders, centipedes, millipedes, etc.	Moths, aphids (Evidente et al. 2009), bed bugs (Ab Majid et al. 2015), corn borer (Li et al. 2013)				
Live	Fungi	Mycoparasite	May also include necrotrophic parasites of fungi	Broad spectrum (Druzhinina et al. 2011, Carsolio et al. 1994, El-Katatny et al. 2000, Rocha-Ramirez et al. 2002, Mukherjee et al. 2014, Chenthamara and Druzhinina 2016, Karlsson et al. 2017)				
Live	Plants	Phytoparasite	The term may also include plant pathogenic organisms, croppers, and also endophytes as symptomless parasites of plants. Note: In this article, we do not use the meaning of this term sensu Merriam Webster Medical Dictionary (https://www. merriam-webster.com/ medical/phytoparasite [Oct. 2017]: "a parasitic plant")	Mainly endophyte (Bae et al. 2009, Bailey et al. 2009, Bae et al. 2011, Rosmana et al. 2015, Rosmana et al. 2016, Chen et al. 2016), rarely plant pathogen (Li Destri Nicosia et al. 2015)				
Live	Plants and/or fungi and/ $\overline{or}$ animals	Parasite	Feeding on live biomass of any type, biotrophy	Immunocompromised and immunocompetent humans (Gautheret et al. 1995, Furukawa et al. 1998), nematodes (Sharon et al. 2001, De Souza Maia Filho et al. 2017, Zhang et al. 2017)				
Dead		Greek: $phagos =$ eat						
Dead	<i>Insects</i> sensu lato	Sarcophage, necrophage	May include necrotrophic parasitism	Moths (Ghosh and Pal 2015), aphids (Pacheco et al. 2017), bed bugs (Zahran et al. 2017), corn borer (Li et al. 2012)				
Dead	Fungi	Mycophage	Also includes necrotrophic mycoparasites	Druzhinina et al. (2011)				

<span id="page-55-0"></span>**Table 1** Terms describing feeding types of flamentous *Ascomycota* fungi

(continued)

		Term indicating		
<b>State</b>	Resource	nutrition type	Comments	References for Trichoderma
Dead	Plants	Phytophage, saprophytophage	In this article, mainly organisms feeding on non-wooden biomass were studied; xylophagous fungi capable to degrade lignin were not considered	Dead wood and herbaceous biomass (Druzhinina et al. 2011, Cianchetta et al. 2012, Bischof et al. 2016, Keshavarz and Khalesi 2016)
Dead	Plants and/or fungi and/ or animals	Polyphage	Saprotrophic nutrition	Druzhinina et al. (2011)
Live/ dead	Fungi	Mycotrophy	All kinds of feeding on fungi and fungal biomass	
Live/ dead	Animal. fungi, and plants	Nutritional versatility, generalism	If at least two types of resources may be equally well consumed	

**Table 1** (continued)

the precise description of the ecology of different *Trichoderma* species, it is essential to distinguish between generalists (capable of feeding on any of the listed resources) and specialists (feeding on a particular food source). Because the terms indicating feeding types of gatherers are based on the Latin "vorus" (to swallow), the use of such zoological terms as "carnivorous," "fungivorous," and "herbivorous" seems to be inappropriate for *Trichoderma* or other sessile fungi. However, we should note that the terms mentioned above are frequently applied in fungal biology (Schmidt et al. [2007;](#page-92-0) Sung et al. [2008](#page-92-0); Liu et al. [2016;](#page-90-0) Yang et al. [2012](#page-93-0)).

The term parasite refers to any organism that feeds on live biomass of any type. Mechanisms of interactions, interactions types, and benefts and losses for individual partners are not considered here. Consequently, frequently used terms referring to pathogenicity (entomopathogen, plant pathogen, etc.) are not informative for the description of *Trichoderma* interactions with other fungi unless the disease caused by this is being referred to (like in the case of mushroom farms where *Trichoderma* spp. cause the green mold disease). Feeding on dead biomass is described using terms based on the Greek word "phagos" (to eat), while the terms based on the Greek word "trophe" (food, nourishment) are used to describe the act of feeding on live or dead biomass. We also note that the term "environmental opportunist," which has been recently attributed to some *Trichoderma* species (Druzhinina et al. [2011\)](#page-88-0), does not specifcally mean nutritional versatility. It also includes the ability to grow rapidly and resist environmental stresses. Consequently, the term "generalist" is used for nutritional versatility on dead or live biomass, while "parasite" and "polyphags" describe each of the latter two types of biomasses, respectively.

Mycoparasitism is a specifed defnition of a parasitic relationship between two organisms, parasite and host, with a taxonomic limitation to the fungal kingdom. Depending on whether the hosts are dead or alive, the mycoparasitism could be <span id="page-57-0"></span>further divided into two types, necrotrophic mycoparasitism and biotrophic mycoparasitism (Chenthamara and Druzhinina [2016](#page-87-0)). The necrotrophic mycoparasitism occurs when the parasite fungus kills the host fungus and takes nutrients from the dead host, while the biotrophic mycoparasitism occurs when the host fungus benefts by taking nutrients from the living host fungus and brought harmful effects to the host. The necrotrophic mycoparasitism could also be included in the term "mycophage" as one form, while this term highlights the dead state of fungi as the nutrition resource and is irrelevant to the mycoparasitic relationship. Mycoparasitism is different from the term mycotrophy (being synonymous with mycophagy), which describes a broader nutrient relationship – the use of fungi for food. Therefore, for mycotrophy, there must be a fungus as the nutrient resource, while the other organism (could be any kind of creatures such as fungi, bacteria, plants, vertebrates, and invertebrates) and nutrient relationship (could be any kind of saprotrophic and biotrophic) are not limited.

The term hyperparasitism is frequently confused with mycoparasitism (Akrami et al. [2011;](#page-86-0) Mendoza et al. [2015](#page-91-0); Chenthamara and Druzhinina [2016\)](#page-87-0). The hyperparasitic relationship is defned as "parasitism on a parasite" with no taxonomic limitation, and at least three organisms should be involved in – one organism is the primary host, and one organism acts as a parasite of the primary host and, in the meanwhile, serves as a host for the third organism which parasitizes on it and is therefore named as hyperparasite (Chenthamara and Druzhinina [2016](#page-87-0)). The hyperparasitic relationship is more complicated than the mycoparasitism as more organisms are involved and usually studied among plant, phytopathogen, and biocontrol agents for agriculture practices (Inayati et al. [2020](#page-89-0)).

This chapter aims to sum up what is known about the genetic mechanisms employed for the fungal wars (mycoparasitism) between *Trichoderma* and other hypocrealean fungi and their mostly plant pathogenic hosts.

### **3 Taxonomic Diversity of Fungi Used in Functional Genetic Studies of Mycoparasitism**

Currently, there are at least 382 valid species names in the genus *Trichoderma* (see Chap. [1\)](#page-15-0). However, only eight species were studied for their mycoparasitism against hosts based on functional genetics (Fig. [2](#page-58-0)). Among them, the most studied species are *T. virens* and *T. atroviride*, and in most cases, strain *T. virens* Gv29-8 and *T. atroviride* IMI 3206040 or P1 were used to reveal the mycoparasitism mechanisms adopted by *Trichoderma*. *T. virens* and *T. atroviride* also exhibit the broadest scope of hosts, ranging from plant pathogenic fungi and fungi-like Oomycota to saprotrophic fungi and even insects. *T. harzianum* sensu lato (including *T*. sp. NJAU 4742 = *T. guizhouense* NJAU 4742) were proved to be mycoparasites of plant pathogenic fungi and fungi-like Oomycota, showing the comparable host scope with industrial species *T. reesei*, but could parasite more individual hosts. Thus, these

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**Fig. 2** The diversity of fungi studied in functional genetic research of *Trichoderma* mycoparasitism

The parasitism relationships of hosts and parasites that have been studied based on functional genetics were shown by lines with the same color of the parasites. The middle column represents the parasites, while the two side columns represent the hosts

three species make the golden standard for the genetic research of *Trichoderma* mycoparasitism.

Regarding the host of *Trichoderma* spp. and *Clonostachys* spp., plant pathogenic fungi are attracting the interest of researchers. *Botrytis cinerea*, *S. sclerotiorum*, and *R. solani* [teleomorph, *Thanatephorus cucumeris* (*Cantharellales*, *Basidiomycota*)] are the most studied hosts and were studied being parasitized by at least six species of *Trichoderma* and *Clonostachys* (Fig. 2). The host status of fungi-like Oomycota and saprotrophic fungi are only confrmed by one parasite except for *P. ultimum* that could be parasitized by *T. virens* and *T. harzianum*.

<span id="page-59-0"></span>Considering that the mycoparasitism is specifed and one *Trichoderma* species can use different mechanisms against different hosts (Zhang et al. [2016,](#page-93-0) [2019;](#page-93-0) Pang et al. [2020](#page-91-0)), further studies related to *Trichoderma* mycoparasitism require standardization and inclusion of ecologically justifed hosts to refect biological meanings.

#### **4** *Trichoderma* **Genes Involved in the Attack on Other Fungi**

To launch a fungal war, the mycoparasitic hypocrealean fungi should be well-armed. The arsenal of molecular tools used by *Trichoderma* spp. and *Clonostachys* spp. against other fungi comprises three main categories (Table [2\)](#page-60-0). These are the fungal cell wall-degrading enzymes (FCWDEs), antifungal secondary metabolites, and accessory proteins. Additionally, the genes involved in sensing and signal transduction are critical for the successful combat of some fungi (Mukherjee et al. [2003;](#page-91-0) Yang [2017;](#page-93-0) Moreno-Ruiz et al. [2021](#page-91-0)). The success of *Trichoderma* spp. and *Clonostachys* spp. in the interactions with their hosts (i.e., the conditions leading to the improved ftness of *Trichoderma*) is believed to be either a single tool activity or a combined action of all those weapons.

The genes involved in mycoparasitism of the most studied *Trichoderma* species and verifed by genetic modifcation mutants are shown in Fig. [3.](#page-71-0) These genes are classifed into four groups: cell wall-degrading and remodeling, regulatory genes, secondary metabolites, and signal transduction. In *T. virens*, the regulatory genes were most studied, while in *T. atroviride*, the studied genes were distributed more evenly into the four groups.

In total, 13 individual hosts were used for the gene function analysis of *Trichoderma* mycoparasitism. Most of the studied genes were targeted on soilborne basidiomycete *R. solani*. Besides the broad scope of plant hosts, including potato (*Solanum tuberosum*) (Tsror [2010\)](#page-93-0), rice (*Oryza* spp.), and maize (*Zea mays*) (González-Vera et al. [2010\)](#page-89-0), the popularity of *R. solani* in *Trichoderma* mycoparasitism studies may be due to the clear observation of *R. solani* as host of *Trichoderma* spp. at an early period (Elad et al. [1983,](#page-88-0) [1987\)](#page-88-0) and the fact that the mycelium of *R. solani* is easily distinguished from that of *Trichoderma* spp. based on the pigmentation and diameter size.

Genera *Fusarium* and *Trichoderma* are both located at the order of *Hypocreales* and share the same ancestor approximately 200 million years ago (Kubicek et al. [2019\)](#page-90-0). Initially, *Fusarium* spp. were not considered a proper host for *Trichoderma* due to their ability to produce toxins (Vogelgsang et al. [2008\)](#page-93-0). As described above, many studies show that the direct confrontation with some *Fusarium* spp. results in a deadlock reaction (Zhang et al. [2016,](#page-93-0) [2019\)](#page-93-0), but the diversity studies revealed that some species could also combat this toxic fungus (Zhang et al. [2019](#page-93-0)). As cases of LGTs from *Fusarium* to *Trichoderma* have also been documented (Druzhinina et al. [2018\)](#page-88-0) and because not all species of *Trichoderma* can attack them, these fungi offer a reasonable model for the functional genetic research on mycoparasitism.



<span id="page-60-0"></span>50





Table 2 (continued) **Table 2** (continued)





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**Table 2** (continued)





Table 2 (continued) **Table 2** (continued)





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Functional Genetics of *Trichoderma* Mycoparasitism



Table 2 (continued) **Table 2** (continued)

<span id="page-71-0"></span>

**Fig. 3** Genes studied for their involvement in the mycoparasitism of *T. virens* and *T. atroviride* The genes employed by *T. virens* and *T. atroviride* for the mycoparasitism of hosts were classifed into four groups and exhibited based on different colors. The genes and targeted hosts were linked by lines with the same color as the corresponding group. The middle column represents the host, while the two side columns represent the related genes
# *4.1 The Fungal Cell Wall-Degrading Enzymes*

The cell wall is the frontline for any interfungal interactions (Bowman and Free [2006\)](#page-86-0). Since the main components of the fungal cell wall are chitin, chitosan, other glucans, and proteins, the corresponding hydrolytic enzymes are chitinases, glucanases, and proteases, all massively secreted by *Trichoderma* and *Clonostachys* (Druzhinina et al. [2011;](#page-88-0) Sun et al. [2017\)](#page-92-0). Numerous studies have pointed to their key role in destroying the host cell wall (Table [2](#page-60-0)) (Djonović et al. [2006;](#page-88-0) Deng et al. [2007;](#page-87-0) Zhang et al. [2016](#page-93-0)).

The chitinase genes of *Trichoderma* belong to glycoside hydrolase (GH) family 18 with that is expanded in the gene number in the genomes of *Trichoderma* species (Seidl-Seiboth et al. [2014;](#page-92-0) Kubicek et al. [2019\)](#page-90-0). As chitinases are involved in various fungal life processes, including cell wall remodeling and mycophagy, the general strategy for discovering chitinases responsible for fungal wars in *Trichoderma* spp. and *Clonostachys* spp. is analyzing the induced expression of chitinase genes during the confrontation with host fungus followed by the functional genetic verifcation using the wild-type and mutant strains. Endochitinases are classifed into subgroup B of GH18 (Seidl-Seiboth et al. [2014\)](#page-92-0) and are important for mycophagy because they can degrade chitin randomly and release more polymer ends for further digestion by exochitinases (Seidl-Seiboth et al. [2014\)](#page-92-0). The antifungal function of an endochitinase-encoding gene *ech42* (=*chi18–5*) has been proved in at least three different *Trichoderma* species. For *T. harzianum* and *T. virens*, the *ech42* disruption strains exhibited the reduced ability of mycoparasitism against *R. solani* (Baek et al. [1999;](#page-86-0) Carsolio et al. [1999\)](#page-86-0). The *ech42* overexpression mutants of *T. harzianum* and *T. atroviride* could decrease chitin density of *R. solani* cell wall or inhibit germination of stramenopile *P*. *digitatum* spores, respectively (Carsolio et al. [1999](#page-86-0); Deng et al. [2007\)](#page-87-0), via an unclear mechanism. Besides *Trichoderma*, overexpression of an endochitinase-encoding gene *chi67–1* in *C. rosea* could increase its parasitic rates against *S. sclerotiorum* (Sun et al. [2017\)](#page-92-0). However, the search for strictly mycoparasitism-specifc chitinases did not give positive results.

Compared with chitinase, the functional study of glucanase in *Trichoderma* mycoparasitism is not carried out extensively (Table [2\)](#page-60-0). It is reported that β-1,6 glucanase TvBGN3 is responsible for the feeding of *T. virens* on *P. ultimum* (Djonović et al. [2006](#page-88-0)). Researches on other kinds of *Trichoderma*-derived α-1,3 glucanases or β-1,3-glucanases mainly focus on their enzymatic characteristics or applicability for the development of biocontrol products (Ait-Lahsen et al. [2001;](#page-86-0) Bara et al. [2003](#page-86-0)).

Proteases are also efficient weapons for *Trichoderma* to gain advantages in mycoparasitism on a broad range of host fungi (Elad and Kapat [1999;](#page-88-0) Szekeres et al. [2004\)](#page-92-0). The genomic studies reveal that *Trichoderma* shares a common ancestor with hypocrealean parasites on insects, such as species of *Metarhizium*, *Cordyceps*, and others (Kubicek et al. [2019\)](#page-90-0). The inventory of proteolytic genes revealed that most *Trichoderma* species maintained a nearly complete set of these enzymes, likely due to their critical role in fungal wars (Druzhinina et al. [2010;](#page-88-0)

Kubicek et al. [2019\)](#page-90-0). It is supposed that the secreted proteases of *Trichoderma* could digest the host-derived compounds, and oligopeptides released from the host trigger further mycoparasitic responses of *Trichoderma* (Druzhinina et al. [2011](#page-88-0)). A metalloprotease NMP1 was proven essential for the success of mycoparasitism of *T. guizhouense* against various host fungi (Fig. [3\)](#page-71-0). The *nmp1*-defcient mutant showed reduced ability for mycoparasitism, while the combination uses of *nmp1* defcient mutant and purifed NMP1 could partially recover the antagonistic strength of the wild-type strain (Zhang et al. [2016\)](#page-93-0). Thus, the function of metalloprotease that was frst discovered by the group of Enrique Monte for characteristic analysis (Suarez et al. [2004](#page-92-0)) was confrmed to be related to mycoparasitism based on a complete functional genetic study by Zhang et al. [\(2016](#page-93-0)).

### **4.1.1 Antifungal Bioactive Compounds**

*Trichoderma* spp. secrete a variety of secondary metabolites, including polyketides, non-ribosomal peptides, and terpenoids, which is consistent with the abundance of secondary metabolite synthesis gene clusters within their genomes (Kubicek et al. [2019\)](#page-90-0). It is now believed that most secondary metabolites are secreted by *Trichoderma* spp. to facilitate the necrotrophic mycoparasitism against host fungi (Kubicek et al. [2011\)](#page-90-0). For example, *pks4* is a polyketide synthase-encoding gene involved in producing green pigment in *T. reesei*. The *pks4* disruption mutant could not produce green pigmentation in their conidia and showed reduced ability against *R. solani*, *S. sclerotiorum*, and *Alternaria alternata* (Atanasova et al. [2013\)](#page-86-0). Gliotoxin is a member of epipolythiodioxopiperazines and was discovered in *T. virens* with strong antimicrobial activity. Gene cluster *gliP* within the genome of *T. virens* was verifed to be responsible for gliotoxin biosynthesis. The *gliP* disruption mutant of *T. virens* exhibits abolition of gliotoxin production, reduced colony growth, dispersed and less dense mycelium and branched hyphae, and increased sensitivity to oxidative stress  $(H_2O_2, 10 \text{ mM})$  and is ineffective in interactions with non-fungal hosts, such as *P. ultimum*, and fungal host such as *S. sclerotiorum* (Vargas et al. [2014\)](#page-93-0). Trichothecenes are sesquiterpenoid mycotoxins and were studied in *T. brevicompactum* and *T. arundinaceum* (Proctor et al. [2018\)](#page-91-0). They were synthesized in two different types, named trichodermin and harzianum A. Disruption of *tri4* and *tri5* genes in *T. arundinaceum* resulted in mutants with no production of harzianum A and reduced antifungal activity against *B. cinerea* and *R. solani* (Malmierca et al. [2012,](#page-90-0) [2013](#page-90-0)). Overexpression of *tri5* in *T. brevicompactum* could increase the production of trichodermin and the antibiotic activity against a large panel of yeasts (Tijerino et al. [2011](#page-92-0)). Not only in *Trichoderma*, but secondary metabolites also function in the mycoparasitism of *C. rosea* against host fungi. The disruption of polyketide synthase-encoding genes *pks22* and *pks29* in *C. rosea* could result in the reduced antifungal ability against *B. cinerea* and *F. graminearum* (Fatema et al. [2018](#page-88-0)). In rare studied cases, the secondary metabolite biosynthesis gene is negatively related to the antifungal ability. Disruption of a non-ribosomal peptide synthetase-encoding gene *nps1* in *C. rosea* could increase the growth and

conidiation rate of the mutant and reduce the growth rate of *B. cinerea* at the third day postinoculation when confronted with the mutant compared with the wild-type strain (Iqbal et al. [2019\)](#page-89-0).

Different species of *Trichoderma* produce numerous other antifungal metabolites such as peptaibols that are pending the comprehensive functional genetic investigation. Purifed metabolites secreted by *T. harzianum* sensu lato could inhibit *Gaeumannomyces graminis* (*Magnaporthales*), *R. solani*, and *P. ultimum* at low doses (T22azaphilone and harzianopyridone) or with high concentrations (T39butenolide and harzianolide) (Vinale et al. [2006, 2009](#page-93-0)). The function of azaphilone in *Trichoderma* mycoparasitism was further verifed based on gene deletion mutants by Pang et al. ([2020\)](#page-91-0) that revealed their putative role in defense against the oxidative stress (vide infra).

# *4.2 Genetics for* **Trichoderma** *Self-Defense*

The success of mycoparasitism requires a strong parasitic fungus defense system. The molecular basis that has been revealed in the defense system of *Trichoderma* includes small secreted cysteine-rich proteins (SSCPs), secondary metabolites, and cell wall remodeling enzymes (Table [3\)](#page-75-0).

Studies have shown that the cerato-platanin EPL1 (=SM1) in *T. harzianum* putatively plays a role in self-recognition during the mycoparasitic attacks. When the *epl1* disruption *T. harzianum* mutant was confronted with the wild-type strains, an interaction line separating the two strains and debris from the hypha degradation was observed. This self-hostile phenomenon could be eliminated by reintroducing *epl1* to the disruption mutant, indicating the role of EPL1 to protect *T. harzianum* by avoiding accidental injury by itself (Gomes et al. [2015](#page-89-0)).

Production of reactive oxygen species (ROS) is a conserved mechanism in eukaryotes and was speculated to be adopted by *Trichoderma* and host fungi as a strategy for mycoparasitic attack and defense, respectively (Zhang et al. [2019;](#page-93-0) Kappel et al. [2020](#page-89-0); Pang et al. [2020\)](#page-91-0). Although the aerial hyphae of *T. guizhouense* could produce ROS for mycoparasitic attacking against *F. odoratissimum* (Pang et al. [2020](#page-91-0)), excessive production of ROS is also harmful to *T. guizhouense*. As a defense response, azaphilones, a structurally diverse class of fungal polyketides with the characterization of pigment and antioxidant property, was biosynthesized in *T. guizhouense* to eliminate the hydrogen peroxide (Pang et al. [2020\)](#page-91-0). Functional analysis based on gene disruption mutants verifed that a transcriptional activator (*aza5*), O-acetyltransferase (*zaz10*), and two PKS-encoding genes (*aza1* and *aza2*), within a gene cluster, are responsible for the biosynthesis of azaphilones (Pang et al. [2020\)](#page-91-0). Thus, the gene cluster and azaphilones are considered as a defense mechanism in *Trichoderma*. However, whether this defense mechanism is also effective against the immune response of host fungus remains unknown.



<span id="page-75-0"></span>Functional Genetics of *Trichoderma* Mycoparasitism

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Table 3  $(continued)$ **Table 3** (continued)

It is reported that cell wall remodeling is necessary for the mycoparasitic activity of *T. atroviride* against *S. sclerotiorum*, *B. cinerea*, and *R. solani*. The genes involved in the cell wall remodeling of *T. atroviride* were identifed as chitin synthases, chitin deacetylases, and chitinolytic enzymes. It is supposed that during the mycoparasitism of *T. atroviride* against phytopathogen fungi, two high responder genes, *chs8* encoding chitin synthases and *cda1* encoding chitin deacetylases, could strength the cell wall of *T. atroviride* by chitin synthesis and deacetylation, thus enhancing the defense to hostile chitinases or immune responses of the host (Kappel et al. [2020\)](#page-89-0). Therefore, cell wall remodeling acts as a defense system for the success of mycoparasitism of *Trichoderma*.

# **5 The Supporting Role of Regulatory Genes**

The supporting system in *Trichoderma* functions by transducing signals or switching the arsenal and defense systems on or off (Table [4\)](#page-78-0). Genes such as *nor1* and *noxR* encode regulators of NADPH oxidases in *T. guizhouense* and *T. atroviride*, respectively (Hernández-Oñate et al. [2012;](#page-89-0) Zhang et al. [2019\)](#page-93-0). The *nor1* knockout mutant of *T. guizhouense* exhibited a reduced ability to produce H<sub>2</sub>O<sub>2</sub> and overgrow *F. oxysporum* (Zhang et al. [2019](#page-93-0)).

Methyltransferase LAE1 is involved in multiple biological processes in *Trichoderma* (Seiboth et al. [2012\)](#page-92-0). In *T. atroviride*, LAE1 contributes to sporulation, defense, and attack ability during mycoparasitism against phytopathogenic fungi (Aghcheh et al. [2013](#page-86-0)). The *lae1* knockout mutant of *T. atroviride* (Δ*lae1*) showed less sensitivity to light and injury in the sporulation process with a decrease of 50% conidiation, while the conidiation ability was increased in the overexpression mutant. During the mycoparasitic process, Δ*lae1* exhibits decreased defense ability to oxidative stress, and offensive related genes (proteases, GH16 ß-glucanases, and polyketide synthases) could not be induced as the wild-type strains. Therefore, LAE1 is considered the global regulatory protein in *T. atroviride* for mycoparasitism (Aghcheh et al. [2013\)](#page-86-0).

The mitogen-activated protein kinases (MAPKs) play important roles in intracellular signal transduction pathways by phosphorylation (Schaeffer and Weber [1999\)](#page-92-0). The MAPKs could be further classifed into three pathways according to the regulated processes (Moreno-Ruiz et al. [2021](#page-91-0)). The frst pathway is mainly responsible for mycoparasitism activities in *Trichoderma*. The gene [homolog genes with unifed name *tmk1* (=*tmkA*, *tvk1*, *task1*) in Table [4\]](#page-78-0) disruption mutants in the frst MAPK pathway led to the reduced ability of *T. virens* IMI 304061 (Mukherjee et al. [2003\)](#page-91-0), *T. atroviride* P1 (Reithner et al. [2007\)](#page-91-0), and *T. asperellum* T4 (Yang [2017\)](#page-93-0) to parasitize hosts. In contrast, the *tmk1* disruption mutant of *T. virens* Gv29–8 showed improved mycoparasitism against *R. solani* (Mendoza-Mendoza et al. [2003\)](#page-91-0). The contrast observation was supposed to be the interaction specifcity between *Trichoderma* strains and hosts and may also be due to the nutrition conditions during the mycoparasitism (Mendoza-Mendoza et al. [2003\)](#page-91-0). The second MAPK

<span id="page-78-0"></span>

Table 4 Regulatory genes of Trichoderma spp. and Clonostachys spp. **Table 4** Regulatory genes of *Trichoderma* spp. and *Clonostachys* spp.



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**Table 4** (continued)



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Table 4 (continued) **Table 4** (continued)

pathway was considered to be related to cell wall integrity. The defects in cell wall integrity of *tmk2* disruption mutant of *T. virens* were presented by autolysis of the mycelia and increased sensitivity to cell wall-degrading enzymes (Kumar et al. [2010\)](#page-90-0). The third MAPK pathway was involved in stress tolerance. In *T. harzianum*, the *tmk3* null mutant exhibited reduced tolerance to osmotic and oxidative stress (Delgado-Jarana et al. [2006](#page-87-0)).

The regulatory gene *xyr1* was verifed to be a negative regulator for the antagonistic behavior of *T. atroviride* IMI206040. The *xyr1* deletion mutant showed enhanced competition with *Phytophthora capsici* (Peronosporales, Oomycota), *B. cinereal*, and *R. solani*, which may be due to overexpression of the protease gene *prb1* (Reithner et al. [2014](#page-91-0)).

# **6 Outlook**

Mycoparasitism is one of the strategies used in fungal wars, and the outcome depends on the comparative advantage of arsenal and defense systems of the parasite and host fungi. However, few battle details (i.e., physiological responses) were revealed from the mycoparasitism studies of *Trichoderma* spp. and *Clonostachys* spp. against other fungi, including plant pathogens. Since battle strategies likely differ depending on the mycoparasitic *Trichoderma* spp. and *Clonostachys* spp. and host fungal species, the battle details might be more spectacular than expected. The physiological responses of *Trichoderma* spp. and *Clonostachys* spp. and host fungi in mycoparasitism could provide more information and help us improve the application strategy for biocontrol.

Moreover, it is difficult to evaluate whether the condition established for observation of mycoparasitism is biased to *Trichoderma* spp. and *Clonostachys* spp. In that case, the biocontrol application of *Trichoderma* spp. and *Clonostachys* spp. into various environmental conditions may put *Trichoderma* spp. and *Clonostachys* spp. in danger and decrease the effciency of biocontrol products based on these species. Therefore, lab experiments should be combined with *Trichoderma* spp. and *Clonostachys* spp. diversity studies to provide distribution data and describe the environmental preferences of *Trichoderma* spp. and *Clonostachys* spp. or strains. This combined approach will help form a multidimensional view of the biocontrol practices.

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# *Trichoderma* **Secondary Metabolites Involved in Microbial Inhibition**



**Yael González, Sergio de los Santos-Villalobos, and Ernestina Castro-Longoria**

# **Contents**



# **1 Introduction**

Primary metabolic pathways (e.g., glycolysis, amino acid or nucleotide synthesis) are fundamental for all species of life, where coordination between anabolism and catabolism is the principle that sustains cellular functions. Besides these fundamental pathways, there are secondary specialized pathways – particular to

Y. González  $\cdot$  E. Castro-Longoria ( $\boxtimes$ )

Departamento de Microbiología, Centro de Investigación Científca y de Educación Superior de Ensenada (CICESE), Ensenada, Baja California, Mexico e-mail: [ecastro@cicese.mx](mailto:ecastro@cicese.mx)

S. de los Santos-Villalobos

Laboratorio de Biotecnología del Recurso Microbiano, Instituto Tecnológico de Sonora (ITSON), Ciudad Obregón, Sonora, Mexico

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taxonomically restricted groups of organisms – not essential for growth, development, or reproduction; but they likely confer an adaptive advantage to the producer organism (O'Connor [2015\)](#page-119-0). The outcome molecules or factors of these secondary pathways are the compounds referred to as secondary metabolites (SMs) (Jenke-Kodama et al. [2008](#page-117-0)). As shown previously (Cary [2004\)](#page-115-0), fungi are recognized as excellent producers of SMs that help them to adapt and survive in their natural environment. The enormous structural diversity and variation displayed by fungal SMs refects a wide range of biological activities (Demain and Fang [2000\)](#page-116-0) highly valuable for medicine (Weber [2010](#page-120-0); Zhong and Xiao [2009](#page-121-0)), biotechnology (Arora et al. [2004](#page-115-0)), and agriculture (Contreras-Cornejo et al. [2018;](#page-116-0) Guzmán-Guzmán et al. [2018\)](#page-117-0).

*Trichoderma* (phylum, *Ascomycota*; class, *Sordariomycetes*; order, *Hypocreales*; family, *Hypocreaceae*) is a genus of necrotrophic, mycoparasitic unspecialized fungi. *Trichoderma* members are proficient to establish beneficial associations with plants through the rhizosphere (Contreras-Cornejo et al. [2018;](#page-116-0) Guzmán-Guzmán et al. [2018](#page-117-0)). In addition, *Trichoderma* species are capable of antagonizing and parasitizing other fungi for its nutrition (Karlsson et al. [2017](#page-117-0)). Once the contact with a potential prey is made, *Trichoderma* coils its hyphae around the mycelium of the host, forming hook-like structures at the points of contact. The host range of *Trichoderma* is usually wide; they kill its fungal prey by invasion of the host hyphae, the secretion of damaging SMs, and the incorporation of the released nutrients (Karlsson et al. [2017;](#page-117-0) Lorito et al. [1996b\)](#page-118-0). These traits render this group of fungi as biocontrol agents with great value for crop protection from infectious diseases (Benítez et al. [2004](#page-115-0); Howell [2003](#page-117-0); Vinale [2014](#page-120-0)). The protective effects of *Trichoderma* against phytopathogens have been observed and well documented; some examples are next shown. The membrane-channeling antibiotics, the peptaibols (trichorzianines), and cell wall-degrading enzymes from *T. harzianum* act synergistically for inhibiting spore germination and hyphal extension in the phytopathogen fungus *Botrytis cinerea* (Schirmböck et al. [1994](#page-119-0)). *T. asperellum* T8a produces enzymes with lytic activity against the mycelium of *Colletotrichum gloeosporioides*, the causal agent of the anthracnose in mango (*Mangifera indica*) (de los Santos-Villalobos et al. [2012](#page-116-0), [2013\)](#page-116-0). Volatile and non-volatile SMs secreted by *T. harzianum*, *T. virens*, and *T. viride* reduce signifcantly the hyphal growth of *Fusarium moniliforme* var. *subglutinans*; this phytopathogen is the causal agent of the malformation disease also in mango (Dennis and Webster [1971a](#page-116-0), [b;](#page-116-0) Kumar et al. [2012\)](#page-118-0). The molecules T22azaphilone and harzianopyridone isolated from *T. afroharzianum* T22 (formerly *T. harzianum*) (Chaverri et al. [2015](#page-116-0)) and T39, respectively, completely inhibited the growth of fungal phytopathogens *Leptosphaeria maculans*, *Phytophthora cinnamomi*, and *B. cinerea* (Vinale et al. [2009\)](#page-120-0). This work is a critical review focused on SMs produced by *Trichoderma*, due to their complex action mode. Thus, this understanding can lead not only to discover new molecules but it opens the possibility to design sustainable strategies for crop and postharvested protection or even biomedical applications (Keswani et al. [2014\)](#page-117-0).

## <span id="page-96-0"></span>**2 Different Classes of SMs Produced by** *Trichoderma*

### *2.1 Non-ribosomal Peptides*

The non-ribosomal peptides (NRPs) are short amino acid chains from 3 to 21 residues long, with a molecular mass of 500 to 2100 Da., they may contain proteinogenic and non-proteinogenic amino acids, and their molecular structure can be linear or cyclic (Zeilinger et al. [2016\)](#page-121-0). Peptaibiotics and peptaibols exhibit antifungal, antibacterial, and anticancer properties, arising from their membrane insertion and pore-forming abilities due to their amphipathic nature and linear structure (Daniel and Rodrigues Filho [2007;](#page-116-0) Hermosa et al. [2014](#page-117-0)). These molecules are assembled by the consecutive condensation of amino acid residues, which is achieved by the multidomain, multimodular enzymes named non-ribosomal peptide synthetases (NRPSs) (Daniel and Rodrigues Filho [2007;](#page-116-0) Finking and Marahiel [2004;](#page-116-0) Keller et al. [2005;](#page-117-0) Walsh [2008](#page-120-0)). The NRPSs are formed by several modules, and each module is composed by specifc domains; during the process of synthesis, the amino acid residues are translocated from one domain to the other. The frst step in the synthesis reaction corresponds to the adenylation (A) domain, which recognizes and activates the amino acid residue in the form of an aminoacyl-AMP. Once in the active state, the amino acid is transferred from the A domain to the pantothenylation/peptidyl carrier (P) domain. In this step, the amino acid is covalently bonded, by a thioester, to a 4′-phosphopantetheine cofactor, which is attached to a conserved serine in the P domain. Afterward, the condensation/peptide bond formation (C) domain catalyzes the formation of a peptide bond between amino acid residues linked onto adjacent modules. Finally, the complete peptide is released from the synthetase by the action of a thioesterase (TE) domain (Fig. [1](#page-97-0)) (Finking and Marahiel [2004](#page-116-0); Keller et al. [2005](#page-117-0), p. 20; Strieker et al. [2010\)](#page-120-0). The analysis of three genome-sequenced *Trichoderma* species revealed high numbers of genes involved in NRPSs, 28 for *T. virens*, 16 for *T. atroviride*, and 10 for *T. reesei* (Kubicek et al. [2011\)](#page-117-0). This kind of genome analysis is supported by databases like AntiSMASH [\(https://fungismash.secondarymetabolites.org/#!/start\)](https://fungismash.secondarymetabolites.org/#!/start), which analyzes the fungal genome, in search for biosynthesis of genes coding for SM synthases like NRPSs, polyketide synthetases (PKSs), and terpenes (Blin et al. [2019](#page-115-0)). The major groups of NRPs from *Trichoderma* are peptaibols and peptaibiotics, followed by epidithiodioxopiperazines (ETPs) and siderophores (Zeilinger et al. [2016\)](#page-121-0).

### **2.1.1 Peptaibols and Peptaibiotics**

This is the most abundant group of NRPs produced by many fungi, including *Trichoderma* (Krause et al. [2006](#page-117-0)). These molecules present an alkyl-N-terminal residue and a C-terminal hydroxyl-amino acid residue (1,2-amino alcohol like phenylalaninol, valinol, leucinol, isoleucinol, or tryptophanol) and contain nonproteinogenic amino acids like α-aminoisobutyric acid (Aib), isovaline (Iva), or the

<span id="page-97-0"></span>

**Fig. 1** Isopenicillin N synthesis from the non-ribosomal tripeptide δ-(l-α-aminoadipyl) l-cysteinyl-d-valine (ACV). The ACV synthetase, from *Penicillium notatum*, is a trimodular non-ribosomal peptide synthetase (NRPS) responsible for the frst step in penicillin and cephalosporin biosynthesis. In the NRPS structure are shown the adenylation (A) domain, the pantothenylation/ peptidyl carrier (P) domain, and the condensation/peptide bond formation (C) domain. The tripeptide is cyclized by the isopenicillin N synthase to form the β-lactam ring. (Modifed from Keller et al. [\(2005](#page-117-0)) and complemented with information from Rabe et al. [\(2018](#page-119-0)))

lipoamino acid 2-amino-6-hydroxy-4-methyl-8-oxodecanoic acid (AHMO). In the case of peptaibols, the N-terminal is acetylated, and in the case of peptaibiotics, the N-terminal is acylated by a short fatty acid chain; the acyl chain ranges from 8 to 15 carbon atoms (Daniel and Rodrigues Filho [2007](#page-116-0); Neuhof et al. [2007](#page-118-0); Toniolo et al. [2001;](#page-120-0) Vinale et al. [2014\)](#page-120-0). Peptaibiotics and peptaibols can be classifed upon their length and chemical differences; they are grouped into subfamilies 1, 4, 5, and 9 (Hermosa et al. [2014\)](#page-117-0). Subfamily 1 includes peptaibols with 18, 19, and 20 residues long, the N-terminal is acetylated, and the C-terminal has an amino alcohol. Subfamily 4 has 11- or 14-residue-long peptaibols; they are also acetylated at the N-terminal and present the amino alcohol at the C-terminal. Subfamily 5 is the group of peptaibiotics or lipopeptaibols; these molecules present 7, 10, or 11 residues; the N-terminal is acylated by octanoic, decanoic, or (Z)-dec-4-enoic acid; and the C-terminal keeps the amino alcohol residue (Degenkolb et al. [2006](#page-116-0)). Finally,

subfamily 9 encloses the lipoaminopeptides; they are 10 residues long, have an acyl chain at the N-terminal (MDA, 2-methyldecanoic acid), and present a lipoamino acid in the second position of the peptide chain; the C-terminal is occupied by a reduced form of the dipeptide Ala-Sar-OH (where Sar is sarcosine or N-methylglycine), AMAE (2-[(2′-aminopropyl)-methylamino]-ethanol), or trichodiaminol (Tdol) (Neuhof et al. [2007;](#page-118-0) Toniolo et al. [2001](#page-120-0)). This classifcation and several examples of peptaibols and peptaibiotics are summarized in Table [1,](#page-99-0) and the general structure of these molecules is shown in Fig. [2](#page-102-0).

It has been observed that β-glucan synthase activity, on isolated plasma membranes of *B. cinerea*, was inhibited in vitro by the peptaibols trichorzianin TA IIA and TB IIa (subfamily 1, 19 residues) produced by *T. harzianum*; these molecules can prevent the association of β-glucan synthase with the plasma membrane; this causes disruption in the cell wall formation (Lorito et al. [1996a](#page-118-0)). These results suggest that peptaibols act synergistically with the cell wall-degrading enzymes from *Trichoderma* to interfere with the growth of fungal pathogens (Schirmböck et al. [1994\)](#page-119-0).

The peptaibol alamethicin (subfamily 1), produced by *T. viride*, is a 20-aminoacid-long peptide that forms voltage-dependent channels across membranes of artificial liposomes, which became permeable to  $Ca^2$ ,  $Mn^{2+}$ , and  $Ni^{2+}$ . The leak of these ionic species, by the membrane-permeabilizing activity of alamethicin, leads to the loss of osmotic balance and cell death. Alamethicin is effective against fungi and Gram-positive bacteria but seems ineffective against the Gram-negative ones (Bortolus et al. [2013](#page-115-0); Duclohier and Wróblewski [2001;](#page-116-0) Fonteriz et al. [1991\)](#page-116-0).

The harzianin HCI (subfamily 4), extracted from *T. harzianum*, has antagonistic activity against the bacterium *Staphylococcus aureus* and the fungus *Sclerotium rolfsii*. The alteration in the growth of both pathogens is related to the membrane depolarization due to the pore-forming properties of this peptaibol (Rebuffat et al. [1995\)](#page-119-0). The lipopeptaibols trichogin GA IV and trikoningin (subfamily 5), both purifed from *T. longibrachiatum*, present antibacterial activity against *S. aureus* at concentration from 1.5 μg/pit to 3 μg/pit and from 6.2 μg/pit to 11 μg/pit, respectively; however, both molecules are inactive against *Escherichia coli* (Toniolo et al. [2001\)](#page-120-0). Trichopolyn VI (subfamily 9), isolated from *T. brevicompactum*, specifcally disrupt the function of the ADP/ATP carrier (AAC) protein from the insects *Tribolium castaneum* and *Acyrthosiphon pisum.* The AAC protein transports the ATP from the mitochondrial matrix to the intermembrane spaces through the mitochondrial inner membrane; thus, ATP can be utilized in the cytosol (Ruprecht et al. [2019\)](#page-119-0). AAC from both insect models were heterologously expressed in a ∆*aac Saccharomyces cerevisiae* (Suga et al. [2015\)](#page-120-0). Trichopolyns have shown immunosuppressive properties with a mode of action different from that of cyclosporin A. On the other hand, the  $IC_{50}$  (nM) for proliferation of lymphocytes under allogeneic mixed lymphocyte reaction in mice were trichopolyn  $I = 5.2$ , trichopolyn  $II = 10.7$ , and cyclosporin A = 7.5 (Toniolo et al. [2001](#page-120-0)).

Subfamily	Sequence	Organism	References
Subfamily 1 Peptaibols with 18 to 20 residues in length.	Trichorzin HA (18 residues). Ac-Aib-Gly-Ala-Aib-- Aib-Gln-Aib-Val-Aib- Gly-Leu-Aib-Pro-Leu- Aib-Aib-Gln-Leu-OH	T. harzianum, T. virens	Hermosa et al. $(2014)$ , Whitmore $(2004)$ , and Wiest et al., (2002)
	Tricholongin BI (19 residues). Ac-Aib-Gly-Phe-Aib-- Aib-Gln-Aib-Aib-Aib- Ser-Leu-Aib-Pro-Val- Aib-Aib-Gln-Gln-Leu- <b>OH</b>	$T_{\cdot}$ longibrachiatum, T. strigosum	Hermosa et al. $(2014)$ and Whitmore (2004)
	<b>Trichorzianin TA IIA</b> $(19$ residues) Ac-Aib-Ala-Ala-Aib-- Aib-Gln-Aib-Aib-Aib- Ser-Leu-Aib-Pro-Leu- Aib-Ile-Gln-Gln-Trp- <b>OH</b>	T. harzianum	Lorito et al. (1996a)
	<b>Trichorzianin TB IIa</b> $(19$ residues) Ac-Aib-Ala-Ala-Aib-- Aib-Gln-Aib-Aib-Aib- Ser-Leu-Aib-Pro-Leu- Aib-Ile-Gln-Glu-Trp- OН	T. harzianum	Lorito et al. (1996a)
	<b>Alamethicin</b> (20) residues) Ac-Aib-Pro-Aib-Ala-- Aib-Aib-Gln-Aib-Val- Aib-Gly-Leu-Aib-Pro- Val-Aib-Aib-Glu-Gln- Phe-OH	T. viride, T. hamatum, T. brevicompactum	Bortolus et al. $(2013)$ and Hermosa et al. (2014)

<span id="page-99-0"></span>**Table 1** Representative examples from each peptaibol subfamly

(continued)





(continued)

Subfamily	Sequence	Organism	References
Subfamily 9	<b>Trichopolyn I</b> (10)	T. polysporum	Hermosa et al.
Lipoaminopeptides have an acyl	residues)		$(2014)$ , Suga
chain at the N-terminal, a	MDA-Pro-AHMOD-		et al. $(2015)$ ,
lipoamino acid (AHMOD) near	Ala-Aib-Aib-Ile-Ala-		and Toniolo
the N-terminal and a reduced	Aib-Aib-AMAE		et al. $(2001)$
form of the dipeptide Ala-Sar-OH	<b>Trichopolyn VI</b> (10)	T.	Suga et al.
$(AMAE)$ .	residues)	brevicompactum	(2015)
	MDA-Pro-AMOD-		
	Ala-Aib-Aib-Ile-Ala-		
	Aib-Aib-AMAE		

**Table 1** (continued)

Sequences are given in standard three-letter code;  $Ac = Ac$ etyl-,  $A$ ib =  $\alpha$ -Aminobutyric acid, Iva = Isovaline, Fat = octanoic, decanoic or *cis*-dec-4-enoic acid, OH = C-terminal amino alcohol,  $MDA = 2$ -methyldecanoic acid,  $AHMOD = 2$ -amino-6-hydroxy-4-methyl-8-oxo-decanoic acid, AMOD = 2-amino-4-methyl-8-oxodeca-6-enoic acid, AMAE = 2-[(2′-aminopropyl) methylamino]-ethanol. Information was taken from Hermosa et al. [\(2014](#page-117-0)), complemented with information from (Krause et al. [2006;](#page-117-0) Neuhof et al. [2007;](#page-118-0) Suga et al. [2015;](#page-120-0) Toniolo et al. [2001\)](#page-120-0) and from the Peptaibol Database [\(http://peptaibol.cryst.bbk.ac.uk/home.shtml](http://peptaibol.cryst.bbk.ac.uk/home.shtml)) (Whitmore [2004\)](#page-120-0)

### **2.1.2 Epipolythiodioxopiperazines**

Epipolythiodioxopiperazine (ETP) molecules are characterized by a diketopiperazine ring (DKP) derived from a cyclic dipeptide (Fig. [3,](#page-103-0) panel B); although the frst isolated and best characterized ETP is the gliotoxin (Fig. [3](#page-103-0), panel C) from *T. virens* (formerly *Gliocladium fmbriatum*), at least other 13 known compounds of this group have been isolated from several fungi of the genera *Leptosphaeria*, *Chaetomium*, or *Hyalodendron*, among others (Gardiner et al. [2005](#page-117-0); Zeilinger et al. [2016\)](#page-121-0). These are highly reactive fungal SMs whose toxicity is due to the presence of an internal disulfde bridge, which can bind to susceptible thiol groups in protein residues, inactivating the whole protein. A second toxicity mechanism is the generation of reactive oxygen species by the redox cycling between the reduced (dithiol) and oxidized (disulfde) forms of the DKP (Gardiner et al. [2005](#page-117-0)). *Trichoderma virens* gliotoxin is synthesized by 8 genes of the *gli* cluster, where 6 genes of the *gli* cluster were found in *T. reesei* genome and 12 genes of the same cluster were found in *Aspergillus fumigatus* (Fig. [3,](#page-103-0) panel A) (Mukherjee et al. [2012b](#page-118-0); Zeilinger et al. [2016\)](#page-121-0).

The mechanism of action of gliotoxin over fungal pathogens has not been studied in depth. Gliotoxin was described as an antibiotic causing cytoplasmic leakage in *Rhizoctonia solani* hyphae (Lewis et al. [1991](#page-118-0)) and inhibiting the germination of sporangia and mycelial growth of *Pythium ultimum* (Roberts [1990\)](#page-119-0). Synergistic interaction between gliotoxin and an endochitinase from *T. virens* inhibited spore germination of *B. cinerea* (Lorito et al. [1994\)](#page-118-0). The biosynthesis of gliotoxin (Fig. [4](#page-104-0)) starts with the formation of the DKP scaffold by the NRPS GliP. This multimodular enzyme catalyzes the condensation of phenylalanine and serine to the Phe-Ser dipeptide, followed by an intramolecular cyclization; deletion of the *gliP* gene results in abrogation of gliotoxin biosynthesis. The next step is the di-hydroxylation

<span id="page-102-0"></span>

**Fig. 2** Peptaibols' and peptaibiotics' general structure. Sequences are given in standard threeletter code;  $Ac = acetyl-$ ,  $Aib = \alpha$ -aminobutyric acid, Iva = isovaline, OH=C-terminal amino alcohol, MDA = 2-methyldecanoic acid, AHMO = 2-amino-6-hydroxy-4-methyl-8-oxo-decanoic acid, AMAE = 2-[(2′-aminopropyl)-methylamino]-ethanol. (Information taken from Hermosa et al. [\(2014](#page-117-0)))

of the carbon atoms of the DKP scaffold by the cytochrome P450 monooxygenases GliC and GliF. Next, the introduction of sulfur in the gliotoxin precursor is mediated by the glutathione-S-transferase GliG. This reaction couples glutathione to the DKP backbone. The glutamic acid is removed from the glutathione by the γ-glutamate cyclotransferase GliK, leaving a Gly-Cys dipeptide; afterward, the glycine residue is eliminated by the specialized dipeptidase GliJ. Once the glycine residue has been removed, the C-S bond of the remaining cysteine and the DKP scaffold is cleaved by the pyridoxal 5′-phosphate-dependent C-S bond lyse GliI. As mentioned previously, the toxic effect of gliotoxin is due to the internal disulfde bridge; the formation of this motif is catalyzed by the thioredoxin reductase, GliT. Finally, after a series of not described oxidation reactions, the N-methyltransferase GliN or the O-methyltransferase GliM renders the active form of the gliotoxin. The secretion of gliotoxin to the extracellular media depends on the transporter GliA (Wang

<span id="page-103-0"></span>

**Fig. 3** Biosynthetic cluster and fundamental structures in gliotoxin molecule. (**a**) Gliotoxin biosynthesis cluster in *T. virens* (Tv), *T. reesei* (Tr), and *A. fumigatus* (Af); genes highlighted in bold in *A. fumigatus gli* cluster are missing or not yet identifed in *T. virens* and *T. reesei.* The GliP coding gene is pointed in gray in every cluster; Glip is the non-ribosomal peptide synthetase responsible for the formation of the diketopiperazine ring by phenylalanine and serine condensation. (**b**) Core structure of the diketopiperazine ring with the internal disulfde bridge. R1/R2/R3/ R4 = any atom or group. (**c**) Gliotoxin molecular structure. (Modifed from Gardiner et al. ([2005\)](#page-117-0) and Mukherjee et al. ([2012b\)](#page-118-0))

et al. [2014\)](#page-120-0). The genes coding for the GliA, GliZ, GliJ, and GliT proteins are not present in the *gli* cluster of *T. virens* or *T. reesei* (Fig. 3, panel A) (Fox and Howlett [2008;](#page-116-0) Gardiner et al. [2005](#page-117-0); Scharf et al. [2016\)](#page-119-0).

#### **2.1.3 Siderophores**

Siderophores are low-molecular-weight SMs with  $Fe<sup>3+</sup>$  chelating activity. These molecules are involved in extracellular iron acquisition and intracellular protection from oxidative stress (Mukherjee et al. [2012b](#page-118-0); Zeilinger et al. [2016\)](#page-121-0). Fungal siderophores belong to the hydroxamate group that includes the fusarinines, coprogens, ferrichromes, and rhodotorulic acid. Siderophores of the hydroxamate group are built by Nδ-acyl-Nδ-hydroxyornithine structural units, produced by the acylation of the non-proteinogenic amino acid hydroxy-L-ornithine (derived by hydroxylation of L-ornithine). The acylation can be done with acetyl or more complex groups such as anhydromevalonyl (Renshaw et al.  $2002$ ). To increase affinity for  $Fe<sup>3+</sup>$ , most fungal siderophores include three of these building units linked by ester or peptide bonds to form hexadentate structures coordinating the metal ion. Cyclization of the siderophore, as observed in ferrichromes and some fusarinines, improves the

<span id="page-104-0"></span>

**Fig. 4** Gliotoxin biosynthesis pathway. In *A. fumigatus* and *T. virens*, gliotoxin biosynthesis starts with phenylalanine and serine. The coding genes for GliT and GliJ (in bold) are missing from *T. virens gli* cluster. Pointed squares address for glutathione addition. Methylation of the disulfde bonds in gliotoxin by the specialized S-methyltransferase TmtA eliminates the toxic effects of the molecule. (Modifed from Scharf et al. ([2016\)](#page-119-0) and complemented with information from Fox and Howlett ([2008\)](#page-116-0) and Gardiner et al. [\(2005](#page-117-0)))

chemical stability (Fig. [5\)](#page-105-0) (Haas [2014](#page-117-0); Zeilinger et al. [2016\)](#page-121-0)*.* Nine strains of the genus *Trichoderma*, including *T. harzianum*, *T. hamatum*, *T. viride*, *T. koningii*, *T. longibrachiatum*, and *T. pseudokoningii*, produce fusarinine B, fusarinine C (fusigen), coprogen, coprogen B, and ferricrocin (ferrichrome type) (Anke et al. [1991;](#page-115-0) Lehner et al. [2013;](#page-118-0) Renshaw et al. [2002;](#page-119-0) Vinale et al. [2014](#page-120-0)).

It has been documented that harzianic acid, extracted from *T. harzianum*, presents iron chelating activity (Mo et al. [2014](#page-118-0); Vinale et al. [2013\)](#page-120-0). This molecule is derived from the tetramic acid (pyrrolidine-2,4-dione) (Fig. [6](#page-106-0)), which arises from the assembly of an amino acid and an activated acyl entity. This biosynthesis is performed by mixed polyketide synthase and non-ribosomal peptide synthetase pathways (Mo et al. [2014](#page-118-0); Schobert [2007\)](#page-119-0). In the case of harzianic acid, the amino



Νδ-acyl-Nδ-hydroxyornithine

<span id="page-105-0"></span>

**Fig. 5** Molecular structures of the siderophores present in *Trichoderma* species. Siderophores are made from Nδ-acyl-Nδ-hydroxyornithine structural units. Fusarinines can be monomers, linear dimers or trimers, or cyclic trimers. In the coprogen molecules, dimers of the Nδ-acyl-Nδ

<span id="page-106-0"></span>

**Fig. 6** Harzianic acid molecular structure. Harzianic acid is formed by the condensation of 4-hydroxy-4-iso-propylglutamic acid and an acyl chain; this union assembles the tetramic acid ring (pyrrolidine-2,4-dione), shown in the subfgure panel. (Modifed from Healy et al. [\(2015](#page-117-0)) and Mo et al. [\(2014](#page-118-0)))

acid unit in the tetramic acid core is a modifed 4,4-disubstituted form of glutamic acid, the 4-hydroxy-4-*iso*-propylglutamic acid (Healy et al. [2015\)](#page-117-0).

According to studies with a *T. virens* mutant strain, the elimination of the nonribosomal peptide synthetase gene *tex10* (involved in intracellular siderophore ferricrocin biosynthesis) causes enhanced growth rate, reduced conidiation, and hypersensitivity to oxidative stress as compared to the wild-type strain. In addition, this mutant showed reduced levels of gliotoxin and dimethyl gliotoxin but enhanced ability to colonize maize seedling roots. The mutant strain was also impaired in the activation of the induced systemic resistance (ISR) in maize against the foliar pathogen *Cochliobolus heterostrophus* (Mukherjee et al. [2018](#page-118-0)).

# *2.2 Polyketides*

Polyketides are the most abundant fungal SMs described so far. The bestcharacterized polyketides include the yellow *Aspergillus nidulans* spore pigment intermediate naphthopyrone (WA), the carcinogen afatoxin, and the commercially important cholesterol-lowering compound lovastatin; fungal polyketides are synthesized by type I polyketide synthases (PKSs), a group of multidomain proteins related to eukaryotic fatty acid synthases (Keller et al. [2005](#page-117-0)). In the PKS synthesis reaction, short-chain carboxylic acids, usually acetyl-coenzyme A (acetyl-CoA), propionyl-CoA, or methylmalonyl-CoA, are the building blocks condensed to form

**Fig. 5** (continued) hydroxyornithine units are joined head to head forming a diketopiperazine ring (highlighted in red pointed lines). Finally, the ferrichrome molecules are cyclic hexapeptides consisting of three Nδ-acyl-Nδ-hydroxyornithines and three additional amino acids; two of these residues can be alanine, serine, or glycine, and the third residue is always a glycine. Peptide bonds, ester bonds, and Fe3+ are shown in red pointed lines, green pointed lines, and gray letters, respectively. (Modifed from Haas [\(2014\)](#page-117-0) and complemented with information from Renshaw et al. ([2002](#page-119-0)))

carbon chains of different lengths. For the polyketide chain, the full reduction of the β-carbon is optional and mandatory for fatty acids (Hermosa et al. [2014](#page-117-0)).

The essential components for a functional fungal PKS, that can be observed in the naphthopyrone synthase from *A. nidulans*, are the ketoacyl-CoA synthase (KS), acyltransferase (AT), acyl carrier protein (ACP), and Claisen-type cyclization (CYC) domains (Fig. 7, superior panel); together, these four minimal domains conform an elongation module (Cheng et al. [2009](#page-116-0); Fujii et al. [2001](#page-116-0)). Fungal PKSs are considered as "iterative PKSs" because they can carry out repeated biosynthetic reaction rounds with one single elongation module – this is how a molecule as complex as a hexaketide is constructed. In the frst step, the carboxylic acid from the



**Fig. 7** Domain organization of prototypical polyketide synthase and polyketide biosynthesis reactions. Superior panel: Protein architecture of naphthopyrone synthase from *A. nidulans* (WA), with the reactive amino acids at the catalytic sites: Claisen-type cyclization domain (CYC). Inferior panel: Polyketide biosynthesis path. Polyketide chain is synthesized by the basic PKS domains: the ketoacyl-CoA synthase (KS), the acyltransferase (AT), and the acyl carrier protein (ACP); this reaction produces an unreduced polymer. After chain elongation, the nascent polyketide chain can be the target of modifying enzymes: the ketoreductase (KR), the dehydratase (DH), and the enoyl reductase (ER). This image represents the function of each basic domain in the PKS and the functions of the modifying enzymes. (Modifed from Fujii et al. [\(2001](#page-116-0)) and Gokhale et al. ([2007\)](#page-117-0))
acyl-CoA is loaded into the KS domain; this frst acyl chain is the starter unit. In the next step, a second carboxylic acid from an acyl-CoA is loaded into the ACP domain by the AT domain; this second acyl chain is the extender unit. In the third step, the KS domain catalyzes the chain elongation involving a decarboxylative condensation reaction. The new elongated chain can be loaded again in the KS domain for a next round of elongation by the addition of extender units. Notice that the elongated chain can be subjected to modifcations by accessory domains like ketoreductase (KR), dehydratase (DH), and enoyl reductase (ER). Finally, the acyl chain is cyclized and released from the PKS by the C-terminal region of the enzyme; this domain is responsible for a Claisen-type cyclization (CYC) reaction that renders the formation of aromatic polyketides (Fig. [7,](#page-107-0) inferior panel). How the number of cycles of condensation is regulated to stop the elongation is not understood for this or for any other fungal type I PKS enzyme. The wide diversity of fungal polyketide results from several factors: the number of iteration cycles, the number of reduction reactions, the type of extender unit, and, in the case of aromatic polyketides, the cyclizations of the nascent polyketide chain. Further variety is achieved by the many different post-polyketide synthesis modifcations (Fujii et al. [2001](#page-116-0); Gokhale et al. [2007;](#page-117-0) Keller et al. [2005;](#page-117-0) Khosla [2009;](#page-117-0) Khosla et al. [2014\)](#page-117-0). Genome sequence analysis in *T. virens*, *T. atroviride*, and *T. reesei* reveals the presence of 18, 18, and 11 PKS-coding genes, respectively (Kubicek et al. [2011\)](#page-117-0).

The anthraquinones pachybasin, chrysophanol, and emodin, isolated from *T. viride*, are polyketides mainly related to pigmentation, but it was also observed that these compounds decrease the linear growth rate of the fungal model *Fomes annosus*, which possesses both monoamine oxidase and tyrosine kinase inhibiting activities. They act as antimicrobial, antineoplastic, and cathartic agents and exhibit a remarkable bacteriostatic effect on Gram-positive bacteria, especially toward *S. aureus*. Trichodermaol, another anthraquinone from *T. viride*, exhibits antibacterial activity against *B. subtilis* and *S. aureus* at 50 μg/ml (Fig. 8) (Reino et al. [2008\)](#page-119-0).

The compounds koninginins and koningiopisins isolated from *T. koningii* and *T. koningiopsis* are polyketides with antibiotic activity, e.g., koninginin D affected the growth of the plant pathogens *Gaeumannomyces graminis*, *Rhizoctonia solani*, *Phytophthora cinnamomi*, *Pythium middletonii*, *Fusarium oxysporum*, and *Bipolaris sorokiniana* (Reino et al. [2008](#page-119-0)). Koningiopisin C showed antimicrobial activities



**Fig. 8** Aromatic polyketide structure. Anthraquinones isolated from *T. virens*. (Modifed from Reino et al. ([2008\)](#page-119-0))



**Fig. 9** Domain architecture and biosynthesis product of TenS. The protein TenS from *B. bassiana* is an example of a fungal iterative hybrid PKS-NRPS. The tetramic ring in pretenellin A is formed by the condensation of L-tyrosine (shown in orange) and a polyketide chain. Domains of the PKS module are shown in gray as KS (ketosynthase), AT (acyltransferase), DH (dehydratase), CmeT (C-methyltransferase), ΨKR (a structural domain of the ketoreductase), ER (enoylreductase), KR (ketoreductase), and ACP (acyl carrier protein); the domains of the NRPS module are shown in orange as C (condensation), A (adenylation), and T (thiolation, also known as PCP (peptidyl carrier protein)). The additional DKC (Dieckmann cyclase) domain, required to release the natural product from the enzyme, is shown in green. (Modifed from Fisch ([2013\)](#page-116-0))

against *S. aureus*, *F. oxysporum*, *A. panax*, *F. solani*, and *Plectosphaerella cucumerina* with MICs at 64, 32, 64, 32, and 16  $\mu$ g/ml, respectively (Liu et al. [2016\)](#page-118-0). A study using a *pks4* mutant strain from *T. reesei*, shown that this PKS is not only responsible for the green conidial pigmentation, due to the loss of Pks4 resulted in pigmentation alteration of teleomorph structures, a decrease of the conidial cell wall stability and the antagonistic abilities of *T. reesei* against other fungi, possibly by the reduction in the formation of inhibitory SMs (Atanasova et al. [2013a](#page-115-0)).

Further domain analysis in *T. virens*, *T. atroviride*, and *T. reesei* showed the presence of PKS-NRPS hybrid enzymes in the genomes (Mukherjee et al. [2012b\)](#page-118-0). The PKS-NRPS hybrid enzymes are proteins that consist of a PKS module fused alongside with a NRPS module. The function of these enzymes is the synthesis of a different kind of polyketides incorporating an amino acid residue. The *Beauveria bassiana* protein TenS condenses the pigment precursor pretenellin A from L-tyrosine and a polyketide chain by forming a tetramic acid ring (Fisch [2013](#page-116-0)) (Fig. 9). The PKS-NRPS Tex13 from *T. virens* participates in induction of the defense-related gene *pal1* of the maize plant; the *T. virens* mutant strain for *tex13* fails to upregulate the *pal1* gene expression in the plant (Mukherjee et al. [2012a\)](#page-118-0).

#### *2.3 Terpenes*

Fungal terpenes are molecules composed of several C5 isoprene units as building blocks. These units are the isopentenyl diphosphate and its isomer, the dimethylallyl diphosphate. These compounds can be linear or cyclic and saturated or unsaturated and also are the target of several modifcations; some examples of this group of molecules are the carotenoids, gibberellins, indole-diterpenes, and trichothecenes

(Keller et al. [2005](#page-117-0)). Isopentenyl diphosphate (IPP) and dimethylallyl diphosphate (DMAPP) are precursors derived from the acetyl-CoA through the mevalonate pathway; the frst enzyme in the mevalonate biosynthesis pathway is the hydroxymethylglutaryl-coenzyme A reductase (HMGR) (Cardoza et al. [2007\)](#page-115-0). In the frst step of terpene biosynthesis, a molecule of IPP is transformed to DMAPP by an isomerase; this DMAPP molecule is the starter unit. In the second step, DMAPP is fused to a second molecule of IPP by an isoprenyl diphosphate synthase (IDS); this second IPP molecule is the extender unit. The successive head-to-tail 1′-4 addition of IPP units forms a set of linear polyprenyl diphosphates, which are intermediates of many primary and secondary metabolism molecules. These linear polyprenyl diphosphates, the geranyl diphosphate (GPP, C10), farnesyl diphosphate (FPP, C15), and geranylgeranyl diphosphate (GGPP, C20) (Fig. [10](#page-111-0)), function as a branching point from which the monoterpenes (from GPP), sesquiterpenes (from FPP), and diterpenes (from GGPP) diverge in structure through the action of the terpenoid cyclases and other modifcation enzymes; longer chains (C30 triterpenes and C40 tetraterpenes) are formed by a 1′-1 head-to-head condensation of two FPP units or two GGPP units (Keller et al. [2005](#page-117-0); Leeper and Vederas [2000;](#page-118-0) Schmidt-Dannert [2015\)](#page-119-0).

The diterpenes koninginols A and B, obtained from *T. koningiopsis* A729, exhibited a signifcant antibacterial activity against *B. subtilis* with MIC values of 10 and 2 μg/mL, respectively (Chen et al. [2019](#page-116-0)). In *T. harzianum* and *T. erinaceum*, the diterpene harziandione has been described; although its function is not clear, it has been addressed that harziandione has no cytotoxic activity against various cancer cell lines (Ghisalberti et al. [1992](#page-117-0); Xie et al. [2013\)](#page-121-0).

Trichothecenes constitute a group of sesquiterpenes with mycotoxin activity, which is present in several members of the order *Hypocreales*. Trichothecenes have the ability to inhibit protein synthesis (Bennett and Klich [2003](#page-115-0)) and/or to induce apoptosis in eukaryotic cells and also can act as immunosuppressors, neurotoxins, and phytotoxic agents. In *T. arundinaceum* and *T. brevicompactum*, a trichothecene biosynthetic gene (TRI) cluster has been identifed. This cluster encodes transport, regulatory, and synthesis enzymes required for the formation of the mycotoxins trichodermin and harzianum A; the former displays antifungal and phytotoxic activity, while the last presents growth inhibition of phytopathogenic fungi (Cardoza et al. [2011](#page-115-0); Malmierca et al. [2012;](#page-118-0) Tijerino et al. [2011](#page-120-0)). Lignoren, a sesquiterpene from *T. lignorum*, shows moderate antimicrobial activities; during a diffusion assay, 100 μg per agar well (9 mm diameter) caused 25 mm diameter of inhibition zone of *B. subtilis* ATCC 6633, 22 mm of *Mycobacterium smegmatis* SG 987, and 16 mm of *P. aeruginosa* K 599/WT, and the same amount of lignoren resulted in 18 mm and 13 mm diameter of inhibition zone of the yeasts *Sporobolomyces salmonicolor* SBUG 549 and *Rhodotorula rubra* IMET 25030, respectively. No activity was found against *C. albicans*, *Penicillium notatum* JP36, and *Fusarium culmorum* JP15 (Berg et al. [2004](#page-115-0)). Another example of a sesquiterpene with antifungal activity is the daucane sesquiterpene, 3,4-dihydroxycarotane, isolated from *T. virens* and *T. viride*. This metabolite belongs to a rare group of compounds, which, to a large extent, are

<span id="page-111-0"></span>

**Fig. 10** Common terpene biosynthesis path. In this metabolic route, the isopentenyl diphosphate (IPP) and the dimethylallyl diphosphate (DMAPP), precursors derived from the mevalonate pathway led by the enzyme hydroxymethylglutaryl-coenzyme A reductase (HMGR), are the building blocks for the synthesis of several linear polyprenyl diphosphates. Geranyl diphosphate (GPP, C10), farnesyl diphosphate (FPP, C15), and geranylgeranyl diphosphate (GGPP, C20) are the key intermediates for monoterpene, sesquiterpene, and diterpene biosynthesis, respectively. Underlined molecules belong to primary metabolism. (Modifed from Keller et al. ([2005\)](#page-117-0) and complemented with information from Cardoza et al. [\(2007](#page-115-0)), Schmidt-Dannert ([2015\)](#page-119-0), and Tudzynski et al. ([1999\)](#page-120-0))

characteristics of the Umbelliferae family of plants (Cardoza et al. [2005;](#page-115-0) Reino et al. [2008](#page-119-0)). The triterpenes (C30) ergokinins A and B, from *T. longibrachiatum*, are steroid antibiotics with evident antifungal activity against the genus *Candida* and *Aspergillus.* Especially the ergokonin A, a sulfate carboxysteroid antibiotic, induced alterations in the hyphal morphology of *A. fumigatus* and has been found to inhibit the (1,3)-β-D-glucan synthase (Cardoza et al. [2005](#page-115-0); Vicente et al. [2001\)](#page-120-0). Chemical structures of the terpenes described here are shown in Fig. [11.](#page-112-0)

<span id="page-112-0"></span>

**Fig. 11** Molecular structure of representative terpenes from genus *Trichoderma*; these compounds show antimicrobial activity. (Modifed from Hermosa et al. [\(2014](#page-117-0)) and complemented with information from Chen et al. ([2019\)](#page-116-0))

#### *2.4 Pyrones*

Pyrones belong to a chemically diverse group of low-molecular-weight metabolites classifed as volatile organic compounds (VOC); this is due to their high vapor pressure at room temperature and low water solubility; this compound is responsible for the coconut aroma associated with *Trichoderma* strains (Reino et al. [2008;](#page-119-0) Sivasithamparam and Ghisalberti [1998](#page-120-0); Zeilinger et al. [2016\)](#page-121-0). The 6-pentyl-2Hpyran-2-one also known as 6-pentyl-α-pyrone (6-PP) (Fig. [12](#page-113-0)) is one of the frst VOC isolated from the *Trichoderma* genus, specifcally *T. viride*, but it can be also found in other species. In *T. harzianum*, the 6-PP and its closely related analogue 6-pentenyl-2H-pyran-2-one (Fig. [12,](#page-113-0) right side) can inhibit, in a signifcant way, the growth of *R. solani* (Claydon et al. [1987;](#page-116-0) Dennis and Webster [1971b\)](#page-116-0). In other work with *T. koningii*, the 6-PP inhibited the growth of *Gaeumannomyces graminis*, *R. solani*, *Phytophthora cinnamomi*, *Pythium middletonii*, *F. oxysporum*, and *Bipolaris sorokiniana*. The possible mechanism of action includes the disruption of the plasma membrane and the cell wall; also 6-PP is involved in mitochondrial deterioration explained by respiratory inhibition (Ismaiel and Ali [2017](#page-117-0); Simon et al. [1988\)](#page-119-0). Cytosporone S (Fig. [12](#page-113-0), right side) was isolated from a fermentation broth of *Trichoderma* sp. FKI-6626. Its chemical structure was determined primarily by

<span id="page-113-0"></span>

**Fig. 12** Hypothetical pathway for 6-pentyl-2H-pyran-2-one (6-PP) biosynthesis. (**a**) This path is based on the expression profle of a lipoxygenase gene (ID 33350) from *T. atroviride*, observed when this strain is interacting directly with the phytopathogen *R. solani*. (**b**) Molecular structure of the analogue 6-pentenyl-2H-pyran-2-one isolated from *T. harzianum* and the cytosporone S isolated from *Trichoderma* sp. FKI-6626. (Modifed from Serrano-Carreon et al. ([1993\)](#page-119-0) and Ishii et al. [\(2013](#page-117-0)); complemented with information from Claydon et al. [\(1987](#page-116-0)))

NMR spectroscopy and mass spectrometry; this compound showed antimicrobial activity against several Gram-positive and Gram-negative bacteria and fungi (Ishii et al. [2013\)](#page-117-0).

The biosynthesis pathway for 6-PP is not clear; some authors consider pyrones are derived from fatty acid metabolism, directly from linoleic acid as precursor. In this hypothetical pathway, the frst step would be the oxidation of linoleic acid to a 13-hydroperoxy-diene form by a lipoxygenase reaction. Next, this compound is subjected to several rounds of β-oxidation and isomerization to form the intermediate 5-hydroxy-2,4-decenoic acid; the fnal esterifcation results in 6-PP (Serrano-Carreon et al. [1993\)](#page-119-0) (Fig. 12, left side). Although the exact enzymes and the respective coding genes for 6-PP biosynthesis in *Trichoderma* remain unclear, the hypothesis of the fatty acid pathway is supported by a comparative transcriptomic approach, where the expression of a lipoxygenase gene (ID 33350) from *T.* 

*atroviride* is upregulated when this strain is interacting directly with *R. solani* (Atanasova et al. [2013b](#page-115-0); Kubicek et al. [2011\)](#page-117-0).

On the other side, the hypothesis of a 6-PP derived from mevalonate and the terpene pathway as a monoterpene (C10) is supported by the function of the G protein α subunit Tga1 in *T. atroviride*. In the Δ*tga1* mutant strain, the production of 6-PP and of metabolites with sesquiterpene structure was signifcantly reduced. Also *tga1* gene deletion resulted in a complete loss of mycoparasitic overgrowth and lysis of *R. solani*, *B. cinerea*, and *Sclerotinia sclerotiorum* during direct confrontation, although infection structure formation was unaffected. At the same time, other low-molecular-weight antifungal metabolites were also overproduced in this mutant strain (Reithner et al. [2005](#page-119-0)). The transcription factor THCTF1 of *T. harzianum* is related to 6-PP biosynthesis; the *Thctf1* null mutant did not produce two secondary metabolites derived from 6-PP and shows loss of pigmentation and a reduced antimicrobial capacity (Hermosa et al. [2014;](#page-117-0) Rubio et al. [2009\)](#page-119-0).

#### **3 Conclusions and Perspectives**

For more than 30 years, hundreds of SMs produced by benefcial fungi have been isolated and characterized. Here we focused on those compounds produced by the *Trichoderma* genus, which have been involved in the interactions with phytopathogenic agents, showing a positive outcome for agriculture and even medicine (Lee et al. [2005\)](#page-118-0). The isolation and characterization of these molecules is the frst step in the way to achieve the full potential of these biocontrol agents. It has been documented the application of harzianic acid and 6-pentyl-α-pyrone, isolated from *T. harzianum* M10 or *T. atroviride* P1, directly over *Vitis vinifera* leaves by foliar spray or drenching in feld experiments, with remarkable outcomes. The results of the assay showed that the purifed molecules were able to reduce signifcantly the impact of the powdery mildew disease caused by *Uncinula necator*; the effects of the isolated SMs were comparable with those obtained by using the living *Trichoderma* strains (Pascale et al. [2017](#page-119-0)). In a similar way, 6-pentyl-α-pyrone used as treatment was successful in signifcantly reducing the incidence of kiwifruit storage rots by *B. cinerea*; this in both inoculated and naturally infected fruit (Poole et al. [1998](#page-119-0)). These practical examples show the usefulness SMs have. The complete understanding of these molecules requires detailed information of the biosynthesis pathways with precursors and their synthetic enzymes, the corresponding coding genes, and the regulation mechanisms. However, a lot of work is still needed to reach a complete understanding of the SMs and their functions, and it is clear that this understanding can lead not only to discover new molecules but it opens the possibility to the design of these very same molecules in a different way to chemical synthesis. The PKSs and NRPSs are modular proteins, and the principles of their operations are not fully understood. The manipulation of these principles can lead to the biological synthesis of new polyketides and peptaibols not produced in natural conditions.

<span id="page-115-0"></span>Synthesis of biologically active molecules by fungi is the result of natural selection, growth conditions, precursor's availability, or the nature of the interaction with plant or other fungi; all of these variables lead to a process with almost infnite possibilities. The development of these fndings represents an opportunity to overcome the world's antibiotic and pesticide crisis and the beginning of new therapeutic procedures.

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# **Genes Involved in the Secondary Metabolism of** *Trichoderma* **and the Biochemistry of These Compounds**



**Sabrina Sarrocco, Isabel Vicente, Alessia Staropoli, and Francesco Vinale**

#### **Contents**



S. Sarrocco (⊠) · I. Vicente

#### A. Staropoli

Department of Agricultural Sciences, University of Naples Federico II, Portici, Italy

F. Vinale  $(\boxtimes)$ 

Institute for Sustainable Plant Protection, National Research Council, Portici, Italy

Department of Veterinary Medicine and Animal Production, University of Naples Federico II, Naples, Italy

e-mail: [frvinale@unina.it](mailto:frvinale@unina.it)

Department of Agriculture, Food and Environment, University of Pisa, Pisa, Italy e-mail: [sabrina.sarrocco@unipi.it](mailto:sabrina.sarrocco@unipi.it)

Institute for Sustainable Plant Protection, National Research Council, Portici, Italy

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# <span id="page-123-0"></span>**1 Role of Secondary Metabolites in** *Trichoderma* **spp. Ecophysiology: An Introduction**

The wide adaptability of fungi to survive in different environmental conditions is generally recognized as a consequence of the huge and versatile repertoire of secondary metabolites (SMs) they can produce. Even if not indispensable for their survival, SMs give an important contribution to fungal lifestyles being actively involved in the interactions established with the environment as well as with other organisms (Singh and Chandra [2019;](#page-143-0) Sarrocco [2016\)](#page-142-0). These compounds, whose production is highly susceptible to the environment, can help to guarantee the adaptation to local changes by triggering reproduction, conidia differentiation, or production of virulence factors (Mahmood et al. [2010](#page-141-0)) as well as the defense of the territory. Fungi evolved the ability to release SMs with antimicrobial activities that allow the impairment or inhibition of competitors'/predators' growth and development, fnalized to substrate colonization or niche maintenance (Künzler [2018](#page-140-0)). In addition to antimicrobial compounds, fungi can produce mycotoxins that can also, but not only, play a crucial role in fungus-microbe and fungus-plant/animal interactions, by acting as pathogenicity or virulence factors (Susca et al. [2017\)](#page-143-0). However, some fungal SMs are able to enhance plant growth  $-$  by mimicking phytohormones – or to elicit plant defense responses (Pusztahelyi et al. [2015](#page-142-0); Alfky and Weisskopf [2021](#page-138-0)).

Among all genera included in the Fifth Kingdom, those belonging to *Trichoderma* genus (*Hypocreaceae* family) are characterized by a high versatility to be adapted to different ecological conditions. Frequently isolated in soil or on decaying wood as well as in many other substrates, isolates belonging to this genus demonstrated a high opportunistic potential and a huge adaptability to changing environmental conditions in addition to a wide range of lifestyles (Druzhinina et al. [2011\)](#page-139-0), with mycoparasitism considered as the ancestral one (Kubicek et al. [2011\)](#page-140-0). Mycoparasitic attitude is supported by the ability to produce and release hydrolytic enzymes (such as chitinases, glucanases, and proteases) that digest fungal (and oomycete) cell walls (Howell [2003](#page-140-0)). From an enzymatic point of view, *Trichoderma* spp. are also able to release an arsenal of cellulose- and xylan-degrading enzymes that enabled species such as *T. reesei* to be considered of high industrial value (Druzhinina et al. [2011\)](#page-139-0). This plethora of enzymes, together with antimicrobial compounds *Trichoderma* can produce, improves the competitive ability for nutrients and space these fungi evolved (Sarrocco et al. [2009](#page-143-0); Saravanakumar et al. [2016](#page-142-0); Sarrocco et al., [2021;](#page-143-0) Jona Lasinio et al. [2021\)](#page-140-0). However, in addition to the interaction with other fungi (Zeilinger et al. [2016;](#page-144-0) Zapparata et al. [2021](#page-144-0)), *Trichoderma* spp. can establish a positive intimate relationship with plants resulting in growth promotion and/or induction of resistance to abiotic and biotic stresses (Harman et al. [2004;](#page-140-0) Sarrocco et al., 2017; Rai et al. [2019](#page-142-0)). Thanks to all these positive features, *Trichoderma* spp. are one of the most popular genera of fungi commercially marketed as biopesticides, biofertilizers, and soil amendments (Keswani et al. [2014;](#page-140-0) Sarrocco and Vannacci [2018](#page-142-0); Sarrocco et al. [2019a](#page-143-0), [b](#page-143-0)).

<span id="page-124-0"></span>This array of lifestyles is likely supported by the diversity of their SM inventory that consists in more than 800 molecules, including volatile and non-volatile compounds (Shenouda and Cox [2021](#page-143-0)). In the last years, the central role played by *Trichoderma* SMs in the interaction with plants, insects, nematodes, and microorganisms has been extensively studied (Contreras-Cornejo et al. [2018;](#page-139-0) Patil et al. [2016;](#page-142-0) Salwan et al. [2019](#page-142-0); Rai et al. [2019](#page-142-0); Li et al. [2019](#page-141-0); Khan et al. [2020\)](#page-140-0). From an antimicrobial point of view, *Trichoderma* SMs confer the ability to reduce or suppress the growth of a huge amount of plant pathogens, such as those belonging to *Botrytis*, *Fusarium*, *Phytium*, *Rhizoctonia*, *Phytophthora*, *Colletotrichum*, and *Sclerotinia* genera. Metabolomic studies also demonstrated the enormous contribution of SMs on the establishment of benefcial *Trichoderma*-plant interactions (Vinale et al. [2012;](#page-144-0) Contreras-Cornejo et al. [2016;](#page-139-0) Ramírez-Valdespino et al. [2019\)](#page-142-0). Compounds such as indoleacetic acid (IAA) can help plant growth and improve adaptation to saline stress (Contreras-Cornejo et al. [2009](#page-139-0); Waqas et al. [2012\)](#page-144-0); harzianolide, 6-pentyl-pyrone (6-PP), harzianic acid, and aspinolides can elicit plant defense responses (Vinale et al. [2008](#page-144-0); Malmierca et al. [2015;](#page-141-0) Manganiello et al. [2018\)](#page-141-0); siderophores facilitate iron sequestration by plants and by *Trichoderma* itself (Kubicek et al. [2011](#page-140-0)). Of the great interest is the fact that some *Trichoderma* SMs can show more than one activity as in the case of harzianic acid that is classifed both as a siderophore and also as an antifungal compound (Vinale et al. [2013](#page-144-0)).

In the following sections of this chapter, the reader will be driven into the exploration of the role of SMs in the ecophysiology of *Trichoderma*, focusing on both genes and gene regulation as well as on the biochemistry of the most important compounds produced by these fungi.

# **2 Genes Involved in** *Trichoderma* **Secondary Metabolite Biosynthesis and Major Regulators**

One hypothesis about the biological sense of SM origin could be they originated as the result of the recycling of primary metabolism residues, a process where they underwent enzymatic modifcations conferring them new bioactive properties. This resulted in advantageous skills for fungal communication and defense that evolution has been shaping for over 500 million years (Brakhage [2013](#page-138-0)). Indeed, the high diversity of *Trichoderma* SMs is originated from molecules derived from few primary metabolic pathways (Zeilinger et al. [2016](#page-144-0)).

Enzymes responsible for building SMs can be divided into core enzymes and tailoring enzymes. Core enzymes transform simple precursors in structurally different backbone molecules that are further remodeled by tailoring enzymes (i.e., p450 monooxygenases, hydrolases, etc.), conferring different bioactive properties and generating a wide variety of SMs (Keller [2019](#page-140-0)). *Trichoderma* produces SMs in a strain-dependent manner (Yu and Keller [2005](#page-144-0)), and there are evidences that the intra- and interspecifc variability observed on the core gene inventory size is the

<span id="page-125-0"></span>result of the phylogenetic distribution of the species, the niches occupied by each strain, and their lifestyle divergences (Vicente [2020\)](#page-144-0).

Typically, genes involved in the biosynthesis of a given SM are arranged in cluster (Keller et al. [2005](#page-140-0)), where specifc effux transporters can also be present (Rokas et al. [2018\)](#page-142-0). Advantages of clustering genes include co-inheritance, co-transcriptional regulation, or coordinated management of post-transcriptional processes (Chavali and Rhee [2017](#page-139-0)). Depending on the species, from 42 to 59% of the SM core genes is included in clusters in *Trichoderma* (Vicente [2020\)](#page-144-0). Thus, approximately half of the core enzymes is involved in specifc SM pathways, as they require co-expression with tailoring enzymes. Those not clustered can potentially cooperate as donors of SM precursors in different pathways. This brings out the complexity of the SM arsenal and refects an enormous plasticity of the SM genetic machinery of *Trichoderma* spp.

*Trichoderma* produces a rich variety of SMs. Genes involved in the biosynthesis of non-ribosomal peptides, polyketides, and terpenes are the most represented SM synthase genes in the genomes of this genus (Kubicek et al. [2011;](#page-140-0) Vicente [2020\)](#page-144-0). The following sections describe the structure of the main SM synthases and their respective encoding genes and associated gene clusters that have been identifed in *Trichoderma*, as well as the regulation of secondary metabolism in such fungi.

## *2.1 Non-ribosomal Peptide Biosynthetic Genes*

Non-ribosomal peptides (NRP), mostly derived from condensation of both proteinogenic and non-proteinogenic amino acids, are synthesized by the large multimodular enzymes NRP synthases (NRPSs). In NRPS, each module catalyzes the addition of a single amino acid (Marahiel [2009\)](#page-141-0) and contains the adenylation (A), the pantothenylation/peptidyl carrier (P), and the condensation/peptide bond formation (C) as core domains, along with several specialized thioesterase C-terminal domains responsible for chain termination (Te). Additional modifying domains such as epimerization (E) and methyltransferase (M), among others, can be present as well (Bushley and Turgeon [2010](#page-139-0)) (Fig. [1](#page-126-0)). NRPS products can be cyclic or linear showing different lengths, depending on the NRPS structure and on their eventual tailoring modifcations, being peptaibols, siderophores, and epidithiodioxopiperazines (ETP) the most relevant NRP produced by *Trichoderma*.

Peptaibols are membrane-active compounds characterized by the presence of an α-aminoisobutyric acid residue. They are able to form voltage-dependent ion channels in lipidic membranes, modifying the membrane permeability and inducing cell death (Molle et al. [1987\)](#page-141-0). Although their length can vary from 4 to 21 amino acids, 11-residue peptaibols are the most common and broadly distributed in *Trichoderma* spp. (Degenkolb et al. [2012](#page-139-0)). However, only 7-, 14-, and 18–20-module peptaibol synthases have been identifed in the genomes of *T. virens*, *T. atroviride*, and *T. reesei* (Wiest et al. [2002](#page-144-0); Komon-Zelazowska et al. [2007](#page-140-0); Mukherjee et al. [2011;](#page-141-0) Kubicek et al. [2011;](#page-140-0) Degenkolb et al. [2012\)](#page-139-0). Disruption of a 14-module peptaibol synthase

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**Fig. 1** Non-ribosomal peptide synthase domain structure and gliotoxin biosynthetic cluster polymorphs in *Trichoderma*. **(a)** Example of non-ribosomal peptide synthase domain structure: the minimal structure contains the adenylation (A), pantothenylation/peptidyl carrier (P), condensation (C), and thioesterase (Te) domains. Additional but not essential domains, such as epimerization (E), methyltransferase (M), oxygenation (O), and cyclization (Cy) domains, among others, can also be present. **(b)** Gliotoxin biosynthetic cluster polymorphs found in *Trichoderma* genomes: type I organization contains genes encoding two-module NRPS (P), O-methyltransferase (M), two cytochrome P450 monooxygenases (C and F), glutathione-S-transferase (G), C-S bond lyase (I), γ-glutamate cyclotransferase (K), and N-methyltransferase (N), which are distributed in four loci; type II organization consists of a single-locus cluster lacking on M but containing a dipeptidaseencoding gene (J). Genes in black color represent orthologs of the *Aspergillus fumigatus* GliP genes and adjacent conserved hypothetical proteins that have been predicted in *Trichoderma* genomes: major facilitator superfamily transporter  $(A)$ , ATP-binding cassette  $(A^*)$ , gliotoxin oxidase (T), conserved hypothetical protein (H), and F putative ortholog (F\*) (Adapted from Bulgari et al. 2020)

(*tex2*) in these three species suppressed the biosynthesis of both 14- and 11-residue peptaibols (Mukherjee et al. [2011](#page-141-0); Degenkolb et al. [2012](#page-139-0)). In silico analysis of the active-site residues and conserved domains revealed the structural diversity of peptaibol synthases, and module skipping was then proposed as the mechanism by which a single NRPS enzyme can lead to different peptaibolic products (Mukherjee et al. [2011](#page-141-0); Degenkolb et al. [2012\)](#page-139-0). Mining of the clusters associated with 14- and 20-module peptaibol synthases in the genomes of several *Trichoderma* spp. belonging to the Longibrachiatum clade revealed the presence of genes encoding a prolinespecifc permease, suggesting a possible role of these genes in peptaibol secretion (Marik et al. [2019](#page-141-0)).

ETP are characterized by the presence of a diketopiperazine ring, and their toxicity relies in the presence of a disulfde bridge that can inactivate proteins by binding thiol groups and by generating reactive oxygen species (Gardiner [2005\)](#page-140-0). The gliotoxin biosynthetic cluster and its variants constitute the most studied gene clusters associated with ETP biosynthesis in *Trichoderma* (Fig. 1). In *T. virens*, the cluster <span id="page-127-0"></span>contains seven genes associated with a core NRPS (*gliP*), whose encoded proteins were identifed as glutathione-S-transferase, dipeptidase, N-methyltransferase, two cytochrome P450 monooxygenases, O-methyltransferase, C-S bond lyase, and γ-glutamate cyclotransferase, all of them induced during the interaction with *Rhizoctonia solani* (Mukherjee et al. [2012\)](#page-142-0). The non-gliotoxin producer *T. reesei* has a truncated GliP cluster missing on the O-methyltransferase-encoding gene, and their genes are not expressed, suggesting a lack of functionality due to gene loss (Mukherjee et al. [2012\)](#page-142-0). Assessment of the GliP cluster polymorphism on ten *Trichoderma* genomes has recently revealed two types of organization, in which genes are either distributed across four different loci or in a single locus (Bulgari et al. 2020). The frst type is only present in *T. virens* and is associated with gliotoxin production, whereas the second is more conserved and is commonly found in the non-gliotoxin producer *Trichoderma* species (Bulgari et al. 2020).

In fungal siderophore biosynthesis, NRPS binds N5-acyl-N5-hydroxy-L- ornithine through covalent bonds to linear or cyclic oligomers that are further modifed generating a variety of siderophores (Renshaw et al. [2002;](#page-142-0) Lehner et al. [2012\)](#page-140-0). Only two NRPSs have been linked to siderophore biosynthesis in the mycoparasites *T. virens* and *T. atroviride* and in the saprotroph *T. reesei* (Mukherjee et al. [2012](#page-142-0), [2013\)](#page-142-0). The three species share the ferricrocin biosynthetic cluster (Mukherjee et al. [2012\)](#page-142-0), and a NPS6-type NRPS is involved in the biosynthesis of most of the siderophores secreted by *T. virens* (Mukherjee et al. [2013\)](#page-142-0). An additional, but not yet functionally characterized, putative gene cluster for siderophore biosynthesis is also present in the genomes of *T. virens* and *T. reesei* (Mukherjee et al. [2012](#page-142-0)).

#### *2.2 Polyketide Biosynthetic Genes*

Similar to NRP, polyketides are synthesized by large multi-modular proteins called polyketide synthases (PKS), which condense acyl-coA thioesters in carbon skeletons varying in both chain length and reduction level. Domains of ketoacyl synthase (KS), acyltransferase (AT), and acyl carrier protein (ACP) result indispensable for polyketide biosynthesis, but additional domains such as dehydratase (DH), ketoreductase (KR), enoylreductase (ER), and thioesterase (Te) can be either present or absent in fungal PKS (Keller et al. [2005;](#page-140-0) Schümann and Hertweck [2006\)](#page-143-0) (Fig. [2](#page-128-0)).

Different from what was observed in other *Trichoderma* SM core genes, the number of clustered PKS genes is signifcantly higher (48–92%, depending on the species) (Vicente [2020\)](#page-144-0). A phylogenomic analysis of PKS genes revealed that most of them occur as orthologs in the genomes of *T. virens*, *T. atroviride*, and *T. reesei*; thus, the heterogenicity of polyketides likely relies on the diversity of tailoring enzymes clustered with PKS (Baker et al. [2012](#page-138-0)). Most *Trichoderma* PKS genes are frequently clustered with genes encoding cytochrome P450 monooxygenases, epimerases, and short-chain dehydrogenases/reductases (Schmoll et al. [2016\)](#page-143-0), but multicopper oxidases can be also found accompanying PKS genes (Baker et al. [2012\)](#page-138-0). The need to compensate the scarce variability of PKS could explain a greater

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**Fig. 2** Polyketide synthase domain structure and putative biosynthetic clusters associated with conidia pigmentation in *Trichoderma*. **(a)** Example of polyketide synthase domain structure: the minimal structure contains the acyltransferase (AT), acyl carrier protein (ACP), and ketoacyl synthase (KS) domains. Additional but not essential domains, such as dehydratase (DH), ketoreductase (KR), enoylreductase (ER), and thioesterase (Te) domains, can also be present. **(b)** Putative conidial pigment biosynthetic clusters found in *Trichoderma* genomes, containing genes encoding polyketide synthase (PKS), multicopper oxidase (MO), hypothetical protein (HP), cystathionine b synthase (CS), oxidoreductase (OR), major facilitator superfamily transporter (T), S-adenosylmethyltransferase (ST), salicylic acid synthase (SS), decarboxylase (DC), acetyltransferase (AT), transcription factor (TF), RTA1-like protein (R), and cytochrome P450 monooxygenase (O) (Adapted from Zeilinger et al. [2016](#page-144-0))

tendency of clustering them with a variety of accessory enzymes, leading to many genomic combinations and expanding the catalogue of *Trichoderma* polyketides (Vicente [2020](#page-144-0)).

Some *Trichoderma* PKS genes have been linked to pigment biosynthesis, since they are included in clusters containing the tailoring genes required for aurofusarin, bikaverin, and DHN melanin biosynthesis of *Fusarium* spp. and *Aspergillus* spp., respectively (Baker et al. [2012\)](#page-138-0) (Fig. 2). Deletion of *pks4* in *T. reesei* confrmed this gene is responsible for the green pigmentation of conidia and also its involvement in supporting conidial cell wall stability and the antagonistic activity of *T. reesei* exerted against other fungi (Baker et al. [2012\)](#page-138-0). Although not functionally characterized, other 20 PKS clusters have been predicted in the genomes of *T. virens*, *T. atroviride*, and *T. reesei*, some of them including their own regulatory proteins (Bansal and Mukherjee [2016](#page-138-0)). No PKS genes have been yet linked to the biosynthesis of 6-pentyl-α-pyrone (6-PP), one of the most ecologically relevant polyketide derivatives of *Trichoderma*. However, it has been proposed that 6-PP derives from linoleic acid and that its biosynthesis involves the action of a lipoxygenase enzyme (Serrano-Carreon et al. [1992](#page-143-0)). There are evidences that the lipoxygenase gene ID 33350 of *T. atroviride* could be responsible for 6-PP biosynthesis, since this gene is induced during the interaction of this species with *Rhizoctonia solani* and it is absent in the genomes of the non-6-PP producers *T. virens* and *T. reesei* (Kubicek et al. [2011;](#page-140-0) Atanasova et al. [2013](#page-138-0)).

<span id="page-129-0"></span>Modules of PKS and NRPS constitute functionally independent units that can be exchangeable, leading to the emergence of hybrid PKS-NRPS enzymes during evolution. PKS-NRPS hybrids consist of a PKS module fused to a C-terminal NRPS module and synthetize amidated polyketide chains that undergo further downstream modifcations (Zhu et al. [2021\)](#page-144-0). Hybrid PKS-NRPS genes are very common in *Trichoderma* genomes, especially in species of Harzianum clade (Vicente [2020\)](#page-144-0), and there are evidences that some species have an expansion of these hybrids as a result of recent gene duplications (Kubicek et al. [2011\)](#page-140-0). Recently, heterologous expression of a silent PKS-NRPS gene cluster (*thn*) from *T. harzianum* in *Aspergillus nidulans* enabled the identifcation of six new tretonate products (Zhu et al. [2021\)](#page-144-0).

#### *2.3 Terpenoid Biosynthetic Genes*

Terpenoids are synthesized from isopentenyl pyrophosphate and its isomer dimethylallyl pyrophosphate by terpene synthase (TS) enzymes. TSs bind polyprenyl pyrophosphates to their active center via  $Mg^{2+}$ , triggering either substrate cyclization (by terpene cyclases), substrate condensation with another polyprenyl pyrophosphate (by prenyltransferases (PT)), or substrate transference to a non-isoprenoid-derived molecule (by aromatic PT) (Fig. [3](#page-130-0)). TSs are usually classifed based on the mechanism triggering the formation of new carbon-carbon bonds (Class I, Class II, or ABBA), which derives from differences in protein sequence and structure, but they are also classifed based on their substrate specifcity, which rely on the length of the prenyl pyrophosphate they accept (mono-, sesqui-, di-, tri-, tetra-TS, ecc) (Pérez-Gil et al. [2019\)](#page-142-0). Homology of TS is structural rather than at sequence level, and mutations on the active-site residues confer enormous plasticity to these enzymes (Shaw et al. [2015\)](#page-143-0). Indeed, most TS can be considered as "promiscuous" enzymes able to generate terpenoid blends containing up to 52 different products (Christianson [2008](#page-139-0)).

*Trichoderma* spp. are reported to produce all types of terpenoids (Pachauri et al. [2019\)](#page-142-0), including volatile compounds. The TS gene inventory of *Trichoderma* outnumbers that found in other fungi considered as rich SM producers such as *Aspergillus* spp. (De Vries et al. [2017](#page-139-0); Kubicek et al. [2019\)](#page-140-0), demonstrating that terpenoid biosynthesis signifcantly contributes to the SM complexity in *Trichoderma*. The TS family size across *Trichoderma* spp. is very homogeneous, ranging from 15 to 23 TS per each genome analyzed (Vicente et al. [2020](#page-144-0)). A survey on 387 proteins from 21 *Trichoderma* genomes revealed 15 functional groups of TS and enabled the identifcation of clade-specifc TS (mostly sesquiTS and diTS) (Vicente et al. [2020\)](#page-144-0). Thus, despite their similar terpenoid biosynthetic potential, *Trichoderma* spp. have evolved different terpenoid chemotypes according to their evolutive history and adaptation to different environmental challenges (Vicente et al. [2020\)](#page-144-0).

The frst SM-related biosynthetic cluster was identifed in *T. virens* (Mukherjee et al. [2006](#page-141-0)), and disruption of its TS gene (*vir4*) showed it is required for the

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**Fig. 3** Terpene synthase domain structure and *tri5*-associated loci in *Trichoderma*. (**a)** Terpene synthase domain structure: monofunctional enzymes containing a cyclase (TC), prenyltransferase (PT), or aromatic prenyltransferase (A-PT) domains and an example of their biosynthetic products trichodiene (**1**), squalene (**2**), and neomacrophorin (**3**); bifunctional enzyme containing Class I (TCI) and Class II (TCII) cyclase domains biosynthesizing products such as harziandione (**4**). **(b)** Trichodiene synthase (TRI5) orthologs and associated clusters found in *Trichoderma* genomes: loci found on trichothecene producer species contain genes encoding trichodiene synthase (TRI5), Zn<sub>2</sub>His<sub>2</sub> transcription factor (TRI6), C2-C11-C13 monooxygenase (TRI4), C15 acetyltransferase (TRI3), transcription factor (TRI10), C4 hydroxylase (TRI22), major facilitator superfamily transporter (TRI12), hypothetical protein (TRI14), polyketide synthase (TRI17), and acyl−/acetyltransferase (TRI18); loci found associated with TRI5 in non-trichothecene producer species contain genes putatively encoding  $Zn_2C_6$  transcription factor (A), oxygenase (B and D), a-b hydrolase (B), carbonic anhydrase (F), and major facilitator superfamily transporter (E) (Adapted from Proctor et al. [2018](#page-142-0) and Vicente et al. [2020](#page-144-0))

biosynthesis of mono- and sesquiterpenes (Crutcher et al. [2013\)](#page-139-0). In *T. reesei*, overexpression of a farnesyl pyrophosphate synthase (*erg-20*) affected the activities of enzymes of the dolichol and sterol biosynthetic pathways, modifying the ergosterol levels (Pilsyk et al. 2013). More recently, in *T. viride*, a combination of genome mining, heterologous expression, and metabolomic approaches enabled the detection of a novel sesquiTS synthase and its associated products (Sun et al. [2019\)](#page-143-0).

Nevertheless, functional characterization of TS genes in *Trichoderma* has been mainly focused on the trichodiene synthase (TRI5)-encoding gene, which catalyzes the frst committed step in the biosynthesis of trichothecenes trichodermin and harzianum A (HA), and its associated genes belonging to the TRI cluster (Cardoza et al. [2011](#page-139-0); Proctor et al. [2018](#page-142-0)). The example of the TRI cluster illustrates how metabolic pathways governed by the same genes can diversify, resulting in the biosynthesis of structurally similar products with different bioactive properties. Trichodermin produced by *T. brevicompactum* has an acetyl group at carbon 4 (C-4) and exerts toxic effects on plants, while HA produced by *T. arundinaceum* has an octa-2,4,6-trienedioic acid at C-4 and lacks phytotoxic effects, providing plant protection against *Botrytis cinerea* (Tijerino et al. [2011](#page-143-0); Malmierca et al. [2012,](#page-141-0) [2013\)](#page-141-0).

<span id="page-131-0"></span>The *tri5* gene is also present in some non-trichothecene producer species of *Trichoderma* (Gallo et al. [2005](#page-140-0); Tijerino et al. [2011;](#page-143-0) Vicente et al. [2020\)](#page-144-0) (Fig. [3](#page-130-0)). In *T. gamsii*, *tri5* expression is strongly upregulated during the interaction with wheat roots, and it is associated with some other genes encoding tailoring enzymes in which putative functions differ from those of the TRI enzymes found in trichothecene producer species (Vicente et al. [2020\)](#page-144-0). This suggests that *tri5* could participate in different metabolic pathways in *Trichoderma* beyond trichothecene biosynthesis (Vicente et al. [2020](#page-144-0)). Another particularity of the TRI cluster is that its transcriptional regulation is governed by two transcription factors (*tri6* and *tri10*) that interact to induce *tri* gene expression (Lindo et al. [2018,](#page-141-0) [2019\)](#page-141-0).

#### *2.4 Regulation of Genes Involved in SM Biosynthesis*

Biosynthesis of fungal SMs is a fne-tuned regulated process, mostly infuenced by nutrient availability, temperature, pH, light, redox balance, developmental transitions, and interaction with other organisms (Calvo et al. [2002;](#page-139-0) Macheleidt et al. [2016;](#page-141-0) Keller [2019](#page-140-0)).

Approximately half of fungal SM gene clusters is governed by global transcription factors (Macheleidt et al. [2016\)](#page-141-0), but several *Trichoderma* SM clusters encode their own specifc regulatory proteins as well (Zeilinger et al. [2016](#page-144-0)).

The global regulator PacC responds to environmental pH changes, and its regulating role in SM biosynthesis and iron transport has been demonstrated in deletion mutants of *T. virens* (Mukherjee et al. [2012](#page-142-0); Trushina et al. [2013](#page-143-0)). Ras GTPases constitute another example of proteins regulating primary cellular processes with important roles in secondary metabolism, as shown for TBRG-1, a negative regulator of SM biosynthesis in *T. virens* (Dautt-Castro et al. [2019](#page-139-0)).

The velvet complex is a heterotrimeric global regulator, including the methyltransferase LaeA and the two velvet proteins VeA and VelB that synchronize sexual development and SM biosynthesis in response to light (Zeilinger et al. [2016](#page-144-0)). The LaeA ortholog of *T. reesei* (*lae1*) is involved in the regulation of SM gene clusters, and it is essential for the expression of lignocellulose-degrading enzymes (Seiboth et al. [2012](#page-143-0); Karimi-Aghcheh et al. [2013\)](#page-140-0). Deletion of *lae1* in *T. atroviride* reduced the expression of PKS genes and the production of water-soluble metabolites and VOCs, suppressing the mycoparasitic activity of the fungus (Aghcheh et al. [2013\)](#page-138-0). Similar effects were observed in *T. longibrachiatum* Δ*lae1* strains that were affected in growth, conidiation, and peptaibol production, since expression of two peptaibol synthase genes *tlx1* and *tlx2* was significantly reduced in the mutant (Shi et al. [2020\)](#page-143-0). In *T. afroharzianum*, *lae1* overexpression led to the isolation of two structurally new polyketides with antifungal effects (Ding et al. [2020](#page-139-0)). Lae1 protein seems to regulate also 6-PP production (Aghcheh et al. [2013](#page-138-0)), which is in turn associated with the Thctf1 transcription factor: *T. afroharzianum* deletion mutants of this gene are unable to produce 6-PP derivatives and present alterations in their antimicrobial activity (Rubio et al. [2009\)](#page-142-0). Recently, involvement of lipoxygenase LOX1 in 6-PP

<span id="page-132-0"></span>biosynthesis was confrmed by gene deletion, showing this gene is also required for the biosynthesis of several SMs including oxylipins and volatile compounds (Speckbacher et al. [2020](#page-143-0)). In the same way, the *veA* gene ortholog *vel1* has been studied through gene deletion, being involved in regulating several SM clusters and mating partner sensing in *T. virens* and *T. reesei*, respectively (Mukherjee and Kenerley [2010;](#page-141-0) Bazafkan et al. [2015](#page-138-0)).

Global regulation of *Trichoderma* SM biosynthesis also relies in G-protein-/ cAMP-mediated signaling (Reithner et al. [2005](#page-142-0); Zeilinger et al. [2005](#page-144-0); Omann and Zeilinger [2010\)](#page-142-0). Deletion of genes encoding the adenylyl cyclase-inhibiting  $G\alpha$ subunit (*tga1*) and the adenylyl cyclase-stimulating  $G\alpha$  subunit (*tga3*) altered 6-PP and peptaibol production in *T. atroviride* (Reithner et al. [2005;](#page-142-0) Zeilinger et al. [2005;](#page-144-0) Komon-Zelazowska et al. [2007](#page-140-0)). Similarly, the *T. atroviride* adenylate cyclaseencoding gene *tac1* and cAMP-dependent signaling have proven a key role in regulating SM biosynthesis, growth, germination, and mycoparasitism in this species (Mukherjee et al. [2007](#page-141-0)). cAMP is known to activate protein kinase A (PKA), in which catalytic subunit (PKA1) activity negatively regulates the expression of *lae1* in *T. reesei* (Mukherjee et al. [2007](#page-141-0)). Another kinase (*usk1*) has positive effects on *vel1* transcript levels and is involved in the regulation of several SM clusters in *T. reesei* (Beier et al. [2020\)](#page-138-0). Mitogen-activated protein kinase (MAPK)-encoding gene *tmk1* deletion mutants of *T. atroviride* have enhanced peptaibol and 6-PP biosynthesis (Reithner et al. [2007\)](#page-142-0), but deletion of its homolog in *T. virens* (*tmkA*/*tvk1*) showed no effects in SM production (Mendoza-Mendoza et al. [2003;](#page-141-0) Mukherjee et al. [2003\)](#page-141-0). The complexity of the SM biosynthesis regulating network mediated by G-protein, cAMP, and MAPK has been recently described in *T. reesei* (Hinterdobler et al. [2020](#page-140-0)). The major carbon catabolite repressor CRE1 regulates a G-proteincoupled receptor (*gpr8*) in a light-dependent manner, triggering a signaling cascade involving MAPK and cAMP that activates YPR2 and SOR7 transcription factors, affecting the expression of several genes and gene clusters involved in SM biosynthesis (Hinterdobler et al. [2020](#page-140-0)).

#### **3 Biochemistry of** *Trichoderma* **Secondary Metabolites**

SMs are a heterogeneous group of natural compounds, belonging to diverse chemical classes and characterized by low molecular weight. SMs are mostly synthesized by microorganisms and plants and are typically genera, species, or strain specifc. Secondary metabolism is associated with the shift from biomass production to metabolite biosynthesis in order to provide a beneft for the producer. SM biosynthesis starts from few primary metabolites (i.e., amino acids, acetyl-CoA) that follow specialized pathways and conduct to different natural compounds (Vinale and Sivasithamparam [2020](#page-144-0)).

Several strains of the genus *Trichoderma* are well-known producers of a plethora of secondary metabolites (SMs) with biological activity; these natural products are considered one of the elements that contributes to the positive effects exerted in <span id="page-133-0"></span>agriculture. Such molecules may be involved in antibiosis and act synergistically with other compounds to promote plant growth and to induce systemic resistance (Vinale et al. [2008\)](#page-144-0).

*Trichoderma* metabolites have been widely described over the years and classifed according to their structure or the producing species. Here, SMs will be grouped through biological activity and applications in agriculture.

## *3.1 Antibiotics (Against Phytopathogens)*

*Trichoderma* is used as a biocontrol agent worldwide due its antimicrobial activity against a broad spectrum of both bacterial and fungal phytopathogens. One of the reasons for this great efficiency is the chemical diversity of the secondary metabolites produced by *Trichoderma* strains (Keswani et al. [2014](#page-140-0)).

Ghisalberti and Sivasithamparam (1991) classifed metabolites with antimicrobial activity into three groups: volatile compounds, non-volatile compounds, and peptaibols.

The chemical structures could be the key to understand the mechanism of action of those metabolites. For example, low molecular weight and volatile compounds can have a relatively long-distance range of infuence on the microbial community. On the other hand, peptaibols and non-volatile molecules can achieve a shortdistance effect acting close to the producing hyphae (Vinale et al. [2008](#page-144-0)).

Lorito et al. [\(1996](#page-141-0)) demonstrated that peptaibols produced by *T. harzianum* inhibit  $\beta$ -glucan synthase activity in the host fungus in order to prevent the assembling of the pathogen cell wall, while the endogenous β-glucanases perform their disruptive action.

The action of trichokonins VI, VII, and VIII (from *Trichoderma koningii*) against a broad range of pathogens, such as *Staphylococcus aureus*, *Bacillus subtilis*, *Streptococcus faecalis*, *Clavibacter* spp*.*, *Fusarium oxysporum* f. sp*. phaseoli*, *F. oxysporum* f. sp*. niveum*, *F. oxysporum* f. sp*. vasinfectum*, *Botrytis cinerea*, *Rhizoctonia solani*, *Curvularia lunata*, *Bipolaris sorokiniana*, and *Colletotrichum lagenarium*, has been reported by Xiao-Yan et al. ([2006\)](#page-144-0) with agar disk diffusion assays.

Gliotoxin (Fig.  $4 - 1$  $4 - 1$ ) and gliovirin (Fig.  $4 - 2$  $4 - 2$ ) belong to the class of diketopiperazine and were isolated from *Trichoderma virens*; according to the molecule produced, strains of *T. virens* are divided into a "Q" group of strains able to produce gliotoxin and a "P" group of strains that produce gliovirin instead (Howell et al. [1996\)](#page-140-0). Gliotoxin has a broad spectrum of antibiotic activity also against the human pathogenic fungus *Aspergillus fumigatus* (Scharf et al. [2016](#page-143-0)). Gliovirin is a specifc oomycete inhibitor and has a positive effect in biocontrolling *Pythium ultimum* damping-off of cotton (Howell [1998](#page-140-0)).

6-Pentyl-α-pyrone (6-PP) (Fig. [4](#page-134-0) – **3**) is a favoring agent responsible for coconut aroma and belongs to the chemical group of pyrones, low molecular weight and volatile molecules. This compound is active against *R. solani* and *F. oxysporum* f.

<span id="page-134-0"></span>

**Fig. 4** Chemical structures of secondary metabolites produced by *Trichoderma* spp. with biological activity against plant pathogens. Gliotoxin (**1**); gliovirin (**2**); 6-pentyl-α-pyrone (6-PP) (**3**); massoilactone (**4**); δ-decanolactone (**5**); viridepyronone (**6**); koninginins A and B (**7–8**); koninginin D (**9**); viridin (**10**); harzianopyridone (**11**); harzianolide (**12**); dehydro-harzianolide (**13**); T39butenolide (**14**); harziphilone (**15**); feephilone (**16**); T22azaphilone (**17**); cremenolide (**18**); cerinolactone (**19**); harzianic acid (**20**)

sp. *lycopersici*, reducing the growth of 31.7% and 69.6%, respectively (Scarselletti and Faull [1994\)](#page-143-0).

Two hydrogenated derivatives of 6-PP, massoilactone (Fig. 4 – **4**) and δ-decanolactone (Fig. 4 – **5**), are active against *B. cinerea*, *Phytophthora* spp., *Aspergillus niger*, and *Candida albicans* (Kishimoto et al. [2005\)](#page-140-0). Another analogue <span id="page-135-0"></span>of 6-PP, viridepyronone (Fig. [4](#page-134-0) – **6**), showed antagonistic activity against *Sclerotium rolfsii* (Evidente et al. [2003](#page-139-0)).

Complex pyrones such as koninginins A and B (Fig. [4](#page-134-0) – **7–8**) show antifungal activity against *Gaeumannomyces graminis* var. *tritici* (Ghisalberti and Rowland [1993\)](#page-140-0), while koninginin D (Fig. [4](#page-134-0) – **9**) inhibited the growth of *Bipolaris sorokiniana*, *Pythium middleonii*, *F. oxysporum*, *Phytophthora cinnamomi*, and *R. solani* (Dunlop et al. [1989](#page-139-0)). Similarly, viridin (Fig. [4](#page-134-0) – **10**), a broad-spectrum antifungal compound, prevents spore germination of *Stachybotrys atra*, *A. niger*, *Penicillium expansum*, *Colletotrichum lini*, *Fusarium caeruleum*, and *Botrytis allii* (Brian and McGowan [1945\)](#page-138-0). Harzianopyridone (Fig.  $4 - 11$  $4 - 11$ ) belongs to the class of pyridines and contains a pyridine ring system with a 2,3-dimethoxy-4-pyridinol pattern. Application of the racemic form shows a strong antifungal activity against *R. solani*, *B. cinerea* (Dickinson et al. [1989](#page-139-0)), *P. ultimum*, and *G. graminis* var. *tritici* (Vinale et al. [2006\)](#page-144-0).

Antifungal butenolides such as harzianolide (Fig. [4](#page-134-0) – **12**), its dehydro-derivative (Fig. [4](#page-134-0) – **13**), and T39butenolide (Fig. [4](#page-134-0) – **14**) are produced by specifc strains of *T. harzianum* and are all active against *G. graminis* var. *tritici* (Almassi et al. [1991\)](#page-138-0). Additionally, harzianolide and T39butenolide showed in vitro growth inhibition of *R. solani* and *P. ultimum*.

Harziphilone (Fig.  $4 - 15$  $4 - 15$ ), fleephilone (Fig.  $4 - 16$ ), and T22azaphilone (Fig.  $4 -$ **17**) belong to the class of azaphilones and are all produced by *Trichoderma harzianum*. They are signifcantly active against *G. graminis* var. *tritici*, *R. solani*, and *P. ultimum* (Vinale et al. [2006\)](#page-144-0).

The ten-member lactone cremenolide (Fig.  $4 - 18$ ) showed antifungal activities against *R. solani*, *B. cinerea*, and *F. oxysporum* (Vinale et al. 2016). Furthermore, Vinale et al. ([2011\)](#page-144-0) showed 100%, 41%, and 28% inhibition of *P. ultimum*, *R. solani*, and *B. cinerea*, respectively, at 100 μg/plug concentration of cerinolactone (Fig. [4](#page-134-0) – **19**), another molecule belonging to lactone class.

Harzianic acid (Fig. [4](#page-134-0) – **20**), a tetramic acid produced by *T. harzianum* strain M10, showed complete inhibition of *Pythium irregulare* and *Sclerotinia sclerotiorum* at 10 μg, while at 100 μg, it completely arrested the growth of *R. solani* (Vinale et al. [2009\)](#page-144-0).

#### *3.2 Plant Growth Regulators*

In addition to direct activity against phytopathogens, some *Trichoderma* spp. produce compounds that can cause substantial changes in the metabolism of the host plant. One of the consequences is related to an enhanced production of plant biomass or growth promotion of roots. Several strains can stimulate plant development by activating an auxin-dependent mechanism and/or by producing indole-3-acetic acid (IAA) or auxin analogues (Vinale et al. [2012\)](#page-144-0).

<span id="page-136-0"></span>

Koninginins A–C, E, and G from *Trichoderma koningii* and 6-pentyl-α-pyrone (**3**) signifcantly inhibit the growth of etiolated wheat coleoptiles when used at high concentration, 10−<sup>3</sup> M (Parker et al. [1997\)](#page-142-0).

Harzianic acid (Fig. [4](#page-134-0) – **20**) from *T. harzianum* is a nitrogen heterocyclic compound with growth promotion activity in a concentration-dependent manner; specifcally, in canola seedlings, inhibition up to 45 and 33% in stem length is caused after application of harzianic acid at a concentration of 100 and 10 μg/seed respectively, while at a concentration of 100, 10, and 1 ng/seed, stem length increases by 42, 44, and 52%, respectively, compared to control (Vinale et al. [2009](#page-144-0)).

Treatments with harzianolide (Fig. [4](#page-134-0) – **12**) from *T. harzianum* at a concentration of 1 mg/l have positive effect on the growth in *Brassica napus* and *Solanum lycopersicum* seedlings (Vinale et al. [2008](#page-144-0)).

The dual culture of *T. harzianum* and calli of *Catharathus roseus* produces a compound derived of tetramic acid named trichosetin (Fig.  $5 - 21$ ) that affects the root and shoot growth of several plant species such as *Oryza sativa*, *Vigna radiata*, *Medicago sativa*, *Capsicum frutescens*, and *Lycopersicum esculentum* (Marfori et al. [2003\)](#page-141-0).

Cyclonerodiol (Fig. 5 – **22**), a simple sesquiterpene isolated from *T. koningii* and *T. harzianum*, exhibits growth regulatory effects at low concentration (Cutler et al. [1991\)](#page-139-0).

Cerinolactone (Fig. [4](#page-134-0) – **19**) has been isolated from culture fltrates of *Trichoderma cerinum*, together with other known butenolides, and altered the growth of tomato seedlings 3 days after treatment (Vinale et al. [2012\)](#page-144-0).

#### *3.3 Siderophores*

Living organisms require nutrients for their development and reproduction, and the competition for organic/inorganic compounds is extremely important during the interaction with microorganisms and plants.

Iron is a transition metal that can exist in two oxidation states, ferrous ( $Fe<sup>2+</sup>$ ) and ferric  $(Fe^{3+})$ , with essential nutrient properties. Although iron is one of the most abundant elements on earth, its bioavailability is low in aerobic environments at neutral pH and in presence of oxygen, mostly because Fe3+ reacts with oxygen to form insoluble ferric hydroxides. Thus, several organisms have developed regulated strategies for the control of iron uptake, utilization, and storage. One of these <span id="page-137-0"></span>strategies involves the production of metabolites, named siderophores, able to bind Fe3+. These compounds are released into the extra-hyphal space to solubilize, bind, and take up iron. This behavior is benefcial for plants because the complex Fe-siderophore can be easily taken up by plants to provide iron, and the production of microbial siderophores can also suppress the growth of pathogens by depriving iron.

Coprogen, coprogen B, and fusarinine C are siderophores produced by *Trichoderma atroviride*, *Trichoderma gamsii*, *Trichoderma asperellum*, *Trichoderma hamatum*, *Trichoderma virens*, and *Trichoderma harzianum* belonging to the group of hydroxamate siderophores that share the structural unit N5-acyl-N5-hydroxyornithine.

Harzianic acid (Fig.  $4 - 20$  $4 - 20$ ), named in previous paragraphs for its outstanding characteristic, is also able to chelate  $Fe<sup>3+</sup>$  due to its structure derived from tetramic acid (Zeilinger et al. [2016](#page-144-0); Vinale et al. [2012\)](#page-144-0).

#### *3.4 Plant Defense Response Inducers*

Fungi of the genus *Trichoderma*, similar to other plants' benefcial microorganisms, are able to release elicitor-like substances that induce a systemic or localized resistance response during the interaction with plants (Harman et al. [2004](#page-140-0)). These substances can be grouped into three classes: proteins with enzymatic activity; avirulence-like gene products able to induce defense reactions in plants; and low molecular weight compounds released from either fungal or plant cell walls by the activity of *Trichoderma* enzymes.

For example, 6-PP not only has an antifungal and plant growth-promoting activity but is also able to induce systemic resistance. It has been shown that tomato plants treated with 6-PP produce more γ-aminobutyric acid and acetylcholine that help plants to fight against pathogens (Mazzei et al. [2016\)](#page-141-0). Garnica-Vergara et al. [\(1991](#page-140-0)) also demonstrated that 6-PP interferes with the pathway of auxins and ethylene in plants and induces the formation of lateral shoots, by modulating the expression of genes encoding for auxin transporters.

Peptaibols are another important class of plant defense elicitor. In particular, the 20-residue alamethicin F30 induces jasmonic acid- and salicylic acid-mediated resistance in lima bean (Engelberth et al. [2000](#page-139-0)). The 11-, 14-, and 18-mer peptaibols produced by *Trichoderma virens* are able to induce the production of salicylic acid and camalexin in *Arabidopsis thaliana* (Velázquez-Robledo et al. [2011](#page-144-0)).

Finally, the *Trichoderma arundinaceum* polyketide, aspinolide C (Fig. [6](#page-138-0) – **23**), induced in plant the expression of genes involved in the signaling pathway mediated by salicylic acid. On the contrary, the aspinolide B (Fig. [6](#page-138-0) – **24**) (an aspinolide C derivative) moderately repressed salicylic acid-related genes, while the infuence on the expression of jasmonic acid-related genes was not homogeneous for both the two polyketides (Malmierca et al. [2015\)](#page-141-0).

<span id="page-138-0"></span>Fig. 6 Chemical structures of elicitor-like metabolites produced by *Trichoderma* spp. Aspinolide C (**23**); aspinolide B (**24**)



#### **4 Conclusions and Future Perspectives**

Despite many studies have been herewith performed on the secondary metabolism of *Trichoderma*, its products, and their role in the ecophysiology of this important group of fungi, many are the information still lacking to complete this framework. Actually, the availability of the so-called NGS as well as the new "omics" techniques represents important tools to support investigations on complex network of plant-microbe (including *Trichoderma*) interactions. Particularly, metabolomics can be of help to improve knowledge about *Trichoderma* SMs and their role in modulating the benefcial activities against plant pathogens in favor of the plant host.

Improving the knowledge of *Trichoderma* spp. and their SMs is fundamental to improve formulations based on living fungi and/or their bioactive compounds representing the main ingredients of new biopesticides.

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# **New Insights on the Duality of** *Trichoderma* **as a Phytopathogen Killer and a Plant Protector Based on an Integrated Multi-omics Perspective**



**Mitzuko Dautt-Castro, Saúl Jijón-Moreno, Nicolás Gómez-Hernández, María del Carmen González-López, Eyra Judith Hernández-Hernández, Maria Montserrat Rosendo-Vargas, Oscar G. Rebolledo-Prudencio, and Sergio Casas-Flores**

#### **Contents**



Mitzuko Dautt-Castro, Saúl Jijón-Moreno and Sergio Casas-Flores contributed equally with all other contributors.

M. Dautt-Castro ( $\boxtimes$ ) · S. Jijón-Moreno ( $\boxtimes$ ) · N. Gómez-Hernández ·

M. del Carmen González-López · E. J. Hernández-Hernández · M. M. Rosendo-Vargas · O. G. Rebolledo-Prudencio  $\cdot$  S. Casas-Flores ( $\boxtimes$ )

División de Biología Molecular, IPICyT, San Luis Potosí, Mexico e-mail: [mitzuko.dautt@ipicyt.edu.mx](mailto:mitzuko.dautt@ipicyt.edu.mx); [saul.jijon@ipicyt.edu.mx;](mailto:saul.jijon@ipicyt.edu.mx) [scasas@ipicyt.edu.mx](mailto:scasas@ipicyt.edu.mx)

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### **1 Introduction**

The rapidly growing world population is increasing demands on farm producers, which requires efficient green strategies for pest control and diseases in crops and to reduce the impact of abiotic stress to which plants are constantly exposed (Gouda et al. [2018;](#page-188-0) Khan et al. [2019\)](#page-190-0). The damages provoked by the causal agents of plant diseases are attributed mainly to poor agricultural practices, particularly monocultures and the excessive use of pesticides and chemical fertilizers. Together, these promote the occurrence of resistant and more virulent plant pathogenic microorganisms, which severely affect crop yields and indigenous wild species. Furthermore, the use of chemical pesticides and fertilizers is harmful for human health, wildlife, water resources, and the environment (Khan et al. [2019](#page-190-0); Bhattacharyya et al. [2020\)](#page-185-0). These negative effects have led to search for alternative eco-friendly and costeffective solutions that not only improve crop yields but also ensure agricultural sustainability (Adnan et al. [2019](#page-184-0); Bramlett et al. [2019;](#page-185-0) Bhattacharyya et al. [2020](#page-185-0)).

*Trichoderma*-based biostimulants have acquired signifcant interest among farmers and in the research community because these fungal species provide several positive effects to plants directly or indirectly. Among the benefcial effects, *Trichoderma* species impact positively on plant development, promote plant growth, improve seed germination, increase nutrient uptake, and trigger resistance against biotic and abiotic stresses, which together will increase crop yields. All of these mechanisms broaden the scope of potential applications of *Trichoderma* spp.-based formulations for agriculture (Woo et al. [2014\)](#page-197-0).

During the last two decades, the development of "omics," including genomics, transcriptomics, proteomics, and metabolomics, has meant great progress for biological sciences, since they allow identifying, characterizing, and quantifying molecules such as DNA, RNA, proteins, and metabolites, respectively, which are involved in the structure, function, and dynamics of the cell, tissue, or organism (Vailati-Riboni et al. [2017](#page-195-0)). For the *Trichoderma* spp. research community, these tools have had a signifcant bearing, helping to understand the mechanisms of action used by these fungi and expanding the knowledge, either as biocontrol agents or as benefcial microorganisms to plants.

Among such mechanisms, mycophagy plays a pivotal role. This mechanism includes various events, such as the recognition of the prey, the attachment and coiling around the host hyphae, and killing them to fnally feed on its host. These events occur by a combination of a wide variety of molecules secreted by the mycoparasite (Olmedo-Monfl and Casas-Flores [2014](#page-192-0); Rebolledo-Prudencio et al. [2020\)](#page-193-0). Transcriptomic and proteomic studies, using DNA microarrays and RNA sequencing (RNA-seq) as well as 2DE-LC-MS/MS and 2DE-MALDI-TOF-MS, respectively, in some species of *Trichoderma* including *T. atroviride*, *T. virens*, and *T. harzianum* have revealed that cell wall-degrading enzymes (CWDEs) recognized as carbohydrate-active enzymes (CAZymes), especially chitinases, glucanases, and proteases, are highly expressed in the presence of cell walls of plant pathogenic fungi, such as *Verticillium dahliae*, *Rhizoctonia solani*, and *Botrytis cinerea*, highlighting their importance during mycophagy (Grinyer et al. [2005;](#page-188-0) Monteiro et al. [2010;](#page-192-0) Morán-Diez et al. [2019](#page-192-0); Halifu et al. [2020\)](#page-189-0).

*Trichoderma* spp. are highly efficient competitors in the rhizosphere for uptaking photosynthates secreted by the plant roots (Ahmad and Baker [1987](#page-185-0)). *Trichoderma* spp. utilize root exudates as their main nutrient sources and have the capability of mobilizing and feeding on nutrients not readily available in the soil like copper, phosphorus, iron, manganese, and sodium (Harman et al. [2004](#page-189-0)), turning them more effcient and competitive than many other soil microbes. Genomic analyses have shown that *Trichoderma* spp. rely on their genomes' pH-responsive genes, such as the transcription factor PACC, that allow them to adapt to changes in pH, giving these fungi advantages over their competitors (Moreno-Mateos et al. [2007\)](#page-192-0). In this regard, a microarray analysis of *T. virens* wt (wild-type) and a Δ*pacc* strain growing in medium at pH 8 or pH 4 shows that 650 genes are differentially regulated in response to this cue. Accordingly, Δ*pacc* mutant was impaired in their capability to grow on *R. solani* and *Sclerotium rolfsii*, whereas the constitutively active PACC<sup>c</sup> strain overgrows *R. solani* at the same extent as the wt (Trushina et al. [2013\)](#page-195-0).

Several *Trichoderma* species can establish a long-lasting and robust relationship with plants, colonizing their roots intercellularly forming appressorium-like structures, although it has been reported that they can also colonize intracellularly in some plant species (Chacón et al. [2007;](#page-186-0) Salas-Marina et al. [2011;](#page-194-0) Nogueira-Lopez et al. [2018\)](#page-192-0). The success of these fungal species to colonize the plant roots depends on the suppression of the immune response and the evasion of antimicrobial compounds or proteins that are secreted by the plant in response to the colonizer (Salas-Marina et al. [2011;](#page-194-0) Estrada-Rivera et al. [2019](#page-187-0); Hermosa et al. [2012\)](#page-189-0). CWDEs also play pivotal roles during this process. For instance, the characterization of *T. virens* secretome during its interaction with *Zea mays* seedlings shows that glycosyl hydrolases are secreted into the plant apoplast putatively for the hydrolysis of the plant cell wall (Lamdan et al. [2015](#page-190-0); Nogueira-Lopez et al. [2018](#page-192-0)). In agreement with this, the transcriptomic response of *T. virens* to the presence of *Arabidopsis thaliana* seedlings shows a fne-tuning regulation of CWDEs to avoid excessive damage to the plant tissue, especially at the early stages of the interaction (Estrada-Rivera et al. [2020\)](#page-187-0).

Furthermore, *Trichoderma koningiopsis*, *T. atroviride*, and *T. harzianum* T6776 (recently renamed as *T. afroharzianum* by Cai and Druzhinina [2021](#page-186-0)) can enhance plant growth by different mechanisms, including phosphate solubilization and nutrient uptake (Tandon et al. [2020\)](#page-195-0), production of phytohormones (Salas-Marina et al. [2011](#page-194-0)), and increasing the photosynthetic rate (Fiorini et al. [2016;](#page-188-0) Rebolledo-Prudencio et al. [2020](#page-193-0)). In this sense, the transcriptomic analysis of *Solanum lycopersicum* roots in interaction with *T. afroharzianum* (formerly *T. harzianum*; Cai and Druzhinina [2021](#page-186-0)) indicates that the fungus induces the expression of genes related to nutrient transport and provokes the downregulation of the transcriptional regulator SIMYB93, which negatively regulates lateral root development (De Palma et al. [2019\)](#page-186-0).

*Trichoderma* spp. are also capable of bestowing protection to plants against biotic and abiotic stresses. Experimental data suggest that the main signal transduction pathways triggered in plants by *T. atroviride* are related to jasmonate/ethylene (JA/ET) and salicylic acid (SA) (Salas-Marina et al. [2011;](#page-194-0) Villalobos-Escobedo et al. [2020](#page-196-0)). RNA-seq data of *A. thaliana* during its interaction with *T. atroviride* IMI 206040 reveals the induction of genes encoding proteins of the JA pathway such as *PAD3*, *JAZ1*, *JAZ6*, and *LOX1* (Villalobos-Escobedo et al. [2020\)](#page-196-0). Furthermore, a proteomic analysis shows that a pathogenesis-related (PR)-like protein and an acyl-CoA-binding protein (ACBP) are upregulated in roots of *Phaseolus vulgaris* challenged with *T. harzianum* (Pereira et al. [2014\)](#page-193-0). Particularly, PR proteins are key components of the plant systemic acquired resistance (SAR) and are induced by plant pathogenic organisms as well as by some species of *Trichoderma* (Salas-Marina et al. [2011;](#page-194-0) Ali et al. [2018;](#page-185-0) Estrada-Rivera et al. [2020](#page-187-0)).

Moreover, *Trichoderma* spp. also confer protection to plants by indirect mechanisms through the production of a plethora of secondary metabolites (SMs) of high and low molecular weight, which are crucial for the antagonism of plant pathogenic microorganisms highlighting nonribosomal peptides (NRPs), polyketides, terpenoids, and siderophores, among others. According to a *Trichoderma* genome analysis, SM-related genes are distributed in clusters (Mukherjee et al. [2012](#page-192-0), [2013](#page-192-0)), and, thus, the number of clusters and associated genes correlates with the production of SMs and the mycoparasitic capability of each *Trichoderma* strain (Kubicek et al. [2011\)](#page-190-0). Furthermore, volatile organic compounds (VOCs) are SMs that have been used to control plant pathogenic microorganisms and for plant growth stimulation. Metabolomic analyses support the production of different kinds and amounts of VOCs by *Trichoderma* species alone or in contact with other organisms as *Arabidopsis* and *Oryza sativa* plants, as well as plant pathogenic microorganisms including *Sclerotium rolfsii* Sacc., *Macrophomina phaseolina*, and *Fusarium* and the ectomycorrhizal fungus *Laccaria bicolor*. Nowadays, more than 700 VOCs have been described for *Trichoderma* spp. Exposure of plants to *Trichoderma* VOCs induced increased expression of defense-related genes, such as the plant defensin 1.2 (*PDF1.2*) and the pathogenesis-related 1 (*PR-1a*) genes, as well as the accumulation of defense-related compounds like  $H_2O_2$ , anthocyanins, and camalexin and an increase in trichomes (Kottb et al. [2015\)](#page-190-0).

To improve their association with plants, *Trichoderma* spp. synthesize an arsenal of protein that can act as elicitors and effector-like proteins. A bioinformatics analysis of the predicted proteomes for *T. virens*, *T. atroviride*, and *Trichoderma reesei* identifed 233 effector-like proteins. This study includes members of the LysM <span id="page-149-0"></span>repeats, serine proteases, hydrophobins, thioredoxins, CFEM domain, and ceratoplatanin families. Besides, 16 genes encoding effector-like proteins from *T. virens* and *T. atroviride* were upregulated during their interaction with *Arabidopsis* (Guzmán-Guzmán et al. [2017\)](#page-189-0).

In this review, we will describe, from an omics perspective, the molecular mechanisms that confer *Trichoderma* spp. their capability to act as mycophagous as well as to establish a mutualistic relationship with plants.

#### **2 The Mycoparasitic Lifestyle of** *Trichoderma* **spp.**

Members of the *Trichoderma* genus (*Ascomycota*, *Hypocreales*) have been widely recognized for their ability of feeding on a wide variety of substrates, including other fungi, animals, and decaying wood. In this regard, evolutionary analyses have shown that this genus derived from an ancestor with low cellulolytic capacity; however, apparently a lateral transfer of genes has provided *Trichoderma* spp. with enzymes and proteins to degrade the cell wall of both plants and other microorganisms, conferring them symbiotic capabilities such as mutualism and mycoparasitism (Druzhinina et al. [2018\)](#page-187-0). Thus, the ability to antagonize plant pathogenic fungi and oomycetes is one of the main mechanisms used by *Trichoderma* spp. when used as biocontrol agents. The different phases during the mycoparasitic process of *Trichoderma* have been well described. The frst event, which implies the recognition of the host or prey, takes place even without contact between the mycophagous and its host, through the recognition of secreted molecules by both, the mycophagous and the prey (Fig. [1\)](#page-151-0). In this sense, *Trichoderma* spp. secrete proteases that degrade the host proteins, producing low-molecular-weight byproducts that are sensed by the mycophagous, triggering a signaling cascade, where G-proteins and MAP kinases (MAPK) play important roles. These molecular responses induce the expression of genes encoding CWDEs and secondary metabolism-related proteins (Vinale et al. [2008a](#page-196-0), [b;](#page-196-0) Druzhinina et al. [2011](#page-187-0)). For instance, during the phase of host sensing (physically non-interacting), *T. atroviride* secretes a huge amount of peptaibols of 11 and 18 amino acids in length that migrate toward the fungal prey *R. solani* (Holzlechner et al. [2016\)](#page-189-0).

Once the mycophagous reaches its host, some morphological changes are observed, being the attachment and coiling around the hyphal prey the most evident (Fig. [1](#page-151-0)). During this process, lectins from the fungal prey and proteins containing cellulose-binding modules play important roles. In counterpart, the prey produces reactive oxygen species (ROS) and SMs as a defense mechanism (Inbar and Chet [1996;](#page-189-0) Druzhinina et al. [2011\)](#page-187-0). Granulation is a morphological change induced by *T. harzianum* and *T. viride* T12 in the entomopathogenic fungi *Beauveria bassiana* and *Metarhizium anisopliae*. Furthermore, *T. viride* T12 causes vacuolation and distortion of these entomopathogens (Banerjee et al. [2016](#page-185-0)). Dual confrontation of *Trichoderma cerinum* Gur1 with *Fusarium oxysporum* leads to changes in hyphal morphology and the presence of large vacuoles in the cytoplasm (Khare et al. [2018\)](#page-190-0).

<span id="page-150-0"></span>In agreement with this, abnormalities of fungal mycelia of the plant pathogens *Corynespora cassiicola* and *Curvularia aeria* are also observed when treated with crude extracts of *Lactuca sativa* previously inoculated with a spore suspension of *Trichoderma asperellum* T1 (Baiyee et al. [2019](#page-185-0)).

The last event occurring during *Trichoderma* spp. mycoparasitism on their hosts is killing their prey, resulting from the action of lytic enzymes and a plethora of SMs secreted by the mycophagous. Interestingly, not only the direct contact but also the VOCs produced by *Trichoderma* spp. strains are able to degrade the prey's cell walls inhibiting their growth (Al-Naemi et al. [2016\)](#page-185-0) (Fig. [1](#page-151-0)).

# *2.1 Lytic Enzymes of* **Trichoderma** *spp. Are Essential for Mycoparasitism*

As key players of the carbon cycle, fungi arise as skilled degraders of plant biomass and have evolved into an effcient machinery for the degradation of the plant cell wall (Glass et al. [2013\)](#page-188-0). In fungi, the cell wall is a physical barrier that protects the cell against environmental fuctuation or host infection. The fungal cell wall is involved in adhesion to surfaces and is composed of a branched  $\beta$ -1,3-glucan crosslinked to chitin (Latgé [2010](#page-190-0)). For the mycoparasitic activity, *Trichoderma* spp. need to activate the synthesis of lytic enzymes, which degrade the fungal cell wall during mycophagy. For this purpose, the mycophagous must release enzymes with chitinase, protease, glucanase, and N-acetylglucosaminidase activities to the medium.

**Fig 1** (continiued) monic acid (JA) and ethylene (ET). Activation of phytohormone signaling pathways can be extended systematically at distal sites of the plant enabling an enhanced resistance to plant pathogenic microorganisms (upper panel). *Trichoderma* spp. colonize and penetrate the plant root, growing into the epidermis between the intercellular spaces. To penetrate the root epidermis, *Trichoderma* secrete CWDEs that hydrolyze components of the plant cell wall. In response, the plant cell secretes protease inhibitors into the apoplast, which probably inactivate some CWDEs secreted by the fungus to avoid an excessive cell wall damage. Once the fungus has been successfully established in the plant root, several events take place for the establishment of a benefcial relationship with the host plant. In this regard, some *Trichoderma* spp. can avoid the inhibition of cell elongation and root growth (a deleterious effect that occurs in plants because of high ethylene levels) through the production of the enzyme 1-aminocyclopropane-1-carboxylate deaminase (ACCD), which hydrolyzes the 1-aminocyclopropane-1-carboxylic acid (ACC), the precursor of ethylene, to produce α-ketobutyrate and ammonia. Some *Trichoderma* strains produce and secrete phytohormones, including indole-3-acetic acid (IAA), which play key roles in plant growth and development. In addition, during interaction with the plant, *Trichoderma* secrete phytases and acid phosphatases that solubilize organic phosphate sources (e.g., phytic acid) releasing inorganic phosphorus  $(P_i)$ , which can be taken up by the plant and the fungus for their metabolism. Additionally, VOCs (e.g., 6-pentyl-2H-pyran-2-one) emitted by *Trichoderma* contribute to plant growth promotion. All these mechanisms result in an increased number of plant roots and leaves, root branching, and total chlorophyll content. SAM, S-adenosyl-L-methionine. Question marks (?) indicate aspects that remain to be clarifed

<span id="page-151-0"></span>

**Fig. 1** Main mechanisms involved in *Trichoderma* spp. as biocontrol agents and plant mutualistic fungi. *Trichoderma* spp. confer a variety of benefts to their host plants, including stimulation of growth (right side panel) and control of soilborne plant pathogenic microorganisms by acting as mycophagous (left side panel). The frst event of the mycoparasitic process consists in the recognition of the prey fungus, which takes place even without contact between the mycophagous and its host, through the recognition of secreted molecules by both, the mycophagous and the prey. *Trichoderma* spp. secrete proteases that degrade extracellular host-derived proteins, producing low-molecular-weight byproducts that may be sensed by the mycophagous, triggering an intracellular signaling cascade that leads to the expression of genes encoding cell wall-degrading enzymes (CWDEs), as well as genes related to the biosynthesis of volatile organic compounds (VOCs) and secondary metabolites (SMs). CWDEs are secreted to the extracellular milieu and hydrolyze components of the host cell wall, whereas VOCs migrate toward the prey fungus and contribute to the degradation of the host cell wall. Once *Trichoderma* reach their host, attachment and coiling around the hyphae occurs. Effector-like proteins secreted by *Trichoderma* during interaction with the prey fungus may play a role in the hyphal coiling through a yet unknown mechanism. As an additional strategy, *Trichoderma* spp. produce H<sub>2</sub>O<sub>2</sub> to inhibit the growth of their fungal prey. In counterpart, the host fungus produces reactive oxygen species (ROS), SMs, and proteases as a defense mechanism. Probably, *Trichoderma* spp. overcome the effects of ROS by secreting ROSscavenging enzymes (e.g., peroxidases)*.* In addition, *Trichoderma* spp. secrete LysM domain proteins that protect their hyphae from degradation by host chitinases through binding to chitin of the cell wall. Additionally, *Trichoderma* secrete the enzyme L-amino acid oxidase (LAAO), which probably contributes to the lysis of the prey fungus by binding to cell wall proteins of the host fungus, which leads to the oxidation of the target proteins and produces an increase in the concentration of  $H_2O_2$  and the subsequent apoptosis of the prey fungus. Thus, the last event occurring during *Trichoderma* spp. mycoparasitism on their hosts is killing of the prey. On the other hand, elicitors derived from *Trichoderma* spp., including effector-like proteins and VOCs, trigger the activation of the systemic acquired resistance (SAR) that results in an increased level of salicylic acid (SA) and the induced systemic resistance (ISR) which is related to the accumulation of jas

Some investigations have pointed out the role of hydrolytic enzymes in the mycoparasitic process, as well as in the recognition of potential preys, which has been demonstrated employing dual confrontation cultures of the mycoparasite against plant pathogenic fungi such as *R. solani* and *B. cinerea* (Reithner et al. [2011\)](#page-193-0). Furthermore, the analysis of *T. harzianum* CECT 2413 grown in medium supplemented with *B. cinerea* cell wall or chitin revealed an upregulation of CWDErelated genes (Suárez et al. [2007\)](#page-195-0).

One of the frst approaches looking for lytic enzymes involved in mycoparasitism was the analysis of *T. harzianum* secretome confronted against *R. solan*i, where seven CWDEs such as β-1,3-glucanase, chitinase, cellulase, protease, and xylanase were identifed. Interestingly, inactivated mycelium of *B. cinerea* enhances the activities of *T. harzianum* CWDEs, such as chitinases, cellulases, xylanases, β-1,3 glucanases, β-1,6-glucanases, and proteases, suggesting an important role of these enzymes during mycophagy (Tseng et al. [2008](#page-195-0)). To gain insights about the role of CWDEs in mycoparasitism, Sharma et al. ([2018\)](#page-194-0) characterized a β-endoglucanase of *Trichoderma saturniporum* induced by inactivated mycelium of *Fusarium oxysporum*. This protein of 347 amino acids is active at pH 5 and 60 °C. The purifed β-endoglucanase inhibits the growth of *F. oxysporum*, indicating a role of this protein in growth inhibition of plant pathogenic microorganisms (Sharma et al. [2018\)](#page-194-0). Some works have focused on the search for *Trichoderma* spp. strains with enhanced mycoparasitic activity for their potential use in agriculture. In this sense, Geraldine et al. [\(2013](#page-188-0)) showed that two isolates of *T. asperellum* effectively reduce the density of apothecia and the severity of the disease caused by the fungal phytopathogen *Sclerotinia sclerotiorum* under feld conditions. They analyzed the CWDEs in different isolates, fnding that the N-β-acetylglucosaminidase and β-1,3-glucanase activities are central components of the *Trichoderma* isolates that show activity against *S. sclerotiorum.* This analysis suggests that CWDEs can be used as markers to select new biocontrol strains for agricultural use (Geraldine et al. [2013](#page-188-0)). A different study of *T. harzianum* confrmed that the cell wall of *Fusarium solani* induces the expression of *bgn*, *chit*, and *endo* genes, which code for a β-endoglucanase, a chitinase 33, and an endochitinase 42, respectively. These genes are highly expressed mainly after contact, which is in agreement with an enhanced cell wall degradation (Vieira et al. [2013](#page-196-0)). Interestingly, the proteins β-endoglucanase, chitinase 33, and endochitinase 42 have a central role in the mycoparasitism on the plant pathogens *R. solani*, *F. oxysporum*, *S. sclerotiorum*, and *S. rolfsii* (Sharma et al. [2011;](#page-194-0) Troian et al., [2014\)](#page-195-0)*.* Moreover, *T. viride* (NBAII Tv 23) shows higher chitinase and protease activities when grown in synthetic medium supplemented with *S. rolfsii* cell walls (Parmar et al. [2015\)](#page-193-0).

On the other hand, the mutant strains *tvmh-9* of *T. virens*, *nas-k1m25* of *Trichoderma koningii* (NAS-K1), and *rp698* of *T. reesei*, which were generated using the mutagen ethyl methanesulfonate, cobalt-60, and UV light, respectively, overproduce chitinase, N-acetylglucosaminidase, and cellulose, respectively. These mutants are more effective in inhibiting the plant pathogenic fungi *Macrophomina phaseolina* and *Scytalidium thermophilum* (Goharzad et al. [2020;](#page-188-0) Silva et al. [2020;](#page-194-0) Vyawahare et al. [2019\)](#page-196-0), highlighting the relevance of CWDEs during mycophagy.

### <span id="page-153-0"></span>*2.2 Mycophagy-Related Genes in* **Trichoderma** *spp.*

Besides genes coding for CWDEs in *Trichoderma* spp., there are several genes and their products associated with mycophagy. For instance, in *T. atroviride*, GPR1, a seven-transmembrane protein of the cAMP receptor-like family, participates in mycoparasitism-related processes, since *gpr1*-silenced transformants are unable to attach to the host hyphae. Furthermore, *grp1*-silenced strains are affected in their antagonistic activity because they are unable to parasitize *R. solani*, *S. sclerotiorum*, and *B. cinerea.* These phenotypes correlate with the fact that *grp1*-silenced transformants could not induce the mycoparasitism-related genes *nag1* and *ech42* (chitinases) and *prb1* (protease) in the presence of *R. solani*, contrary to wt, where a signifcant induction of these genes is observed upon contact with the host. Interestingly, the addition of cAMP to the confrontation plates restored the Δ*grp1* silenced strain attachment and coiling around *R. solani* but not the growth over the host (Omann et al. [2012\)](#page-193-0). Moreover, mutants of *sfp2*, a member of the Sur7 superfamily, whose upregulation under mycoparasitic conditions is dependent on GPR1, show signifcantly reduced mycoparasitic activity, whereas their overexpression causes enhanced overgrowth and killing of the prey (Atanasova et al. [2018\)](#page-185-0). Also, in *T. atroviride* TGF-1, the orthologous gene of the histone acetyltransferase Gcn5p from *Saccharomyces cerevisiae* is involved in the capacity of the fungus to grow over *R. solani*, but not in coiling. Apparently, this protein regulates negatively mycoparasitism-related genes such as *ech-42* and *prb-1* in the absence of the prey, probably through its histone acetyltransferase activity or by acetylation of the promoters of negative regulators, which, in consequence, repress indirectly these genes and whose transcript is absent in  $\Delta t$ gf-1. Moreover, in confrontation assays against *R. solani*, *ech-42* and *prb-1* are downregulated in Δ*tgf-1*, indicating that TGF-1 is required for transcription of these genes to respond to the presence of the host (Gómez-Rodríguez et al. [2018\)](#page-188-0). In *T. virens*, mutants in *pgy1* and *ecm33*, which code a proline-glycine-tyrosine-rich protein (PGYRP) and a GPI-anchored cell wall protein, respectively, failed to grow over their hosts *S. rolfsii* and *R. solani*, indicating that their products are involved in the antagonistic process (Bansal et al. [2019](#page-185-0)).

Furthermore, proteins classifed as *Trichoderma* spp. effector-like proteins are also involved in mycoparasitism (Fig. [1\)](#page-151-0). For instance, overexpression of *hydii1*, a gene encoding a class II hydrophobin, improved the growth of *T. virens* over *R. solani* AG2, demonstrating its role during its antagonistic activity against this plant pathogenic fungus (Guzmán-Guzmán et al. [2017\)](#page-189-0). Additionally, a member of the cerato-platanin family, EPL1 of *T. harzianum*, which is an elicitor of plant disease resistance, is involved in hyphal coiling during mycoparasitism since mutants in *epl1* are unable to coil around *S. sclerotiorum.* Consistently, an opposite expression pattern of mycoparasitism-related genes between the wt and Δ*epl1* is observed, mainly after hyphal contact between *T. atroviride* and its host (Gomes et al. [2015\)](#page-188-0)*.* Also, *tal6*, a LysM effector of *T. atroviride*, increases its expression levels during and after contact with *R. solani* AG2 and AG5 strains. Accordingly, *tal6*-OE1.1 strain overgrows better its host compared to the wt and Δ*tal6*–*4.2* strains, which <span id="page-154-0"></span>indicates that this putative effector plays a role in the mycoparasitic capability of *T. atroviride* (Romero-Contreras et al. [2019](#page-193-0)). Recently, TBRG-1, the founding member of a new subfamily of big Ras GTPase of *T. virens*, was reported as a negative regulator of mycoparasitism. Δ*tbrg-1* mutants show enhanced antagonistic effects against *R. solani*, *F. oxysporum*, and *S. rolfsii*. Furthermore, the expression of the mycoparasitism-related genes *sp1* (protease) and *cht1* (chitinase) was upregulated in the Δ*tbrg-1* strain compared to the wt in the presence or in the absence of its hosts, supporting the role of TBRG-1 during the mycoparasitic process (Dautt-Castro et al. [2020](#page-186-0), [2021](#page-186-0)).

### **3 Competition for Nutrients Between** *Trichoderma* **and Microorganisms in the Rhizosphere**

As previously described, *Trichoderma* spp. have the capability of antagonizing other microorganisms under different conditions, in several niches, and by different mechanisms. Another important mechanism of *Trichoderma* spp. in the rhizosphere is the competition for space and nutrients as well as for plant root exudates (Ahmad and Baker [1987](#page-185-0)). Plant root exudates, which are composed mainly of carbohydrates, amino acids, lipids, organic acids, vitamins, and minerals, can be infuenced by the surrounding microbiota, including other *Trichoderma* species, and vice versa (Bais et al. [2006\)](#page-185-0). For example, *T. atroviride* grown in medium amended with root exudates of *S. lycopersicum* improves the colony growth and the formation of aerial mycelium, suggesting that root exudates are a nutrient source for the fungus. Intriguingly, *T. atroviride* modifed the proportions of carbohydrates in *S. lycopersicum* root exudates, and sucrose was secreted only by roots colonized by the fungus (Macías-Rodríguez et al. [2018](#page-191-0)). These behaviors are relevant for biocontrol because the plant can improve the benefcial fungi growth allowing them to compete more effciently for space and nutrients with the inhabitant microbiota in the rhizosphere. In this regard, zoospores of the phytopathogenic oomycete *Phytophthora cinnamomi* are not detected when co-cultured with *T. atroviride*. Contrastingly, conidia of *T. atroviride* increase when this co-culture is carried out in a medium with *S. lycopersicum* root exudates compared to the treatment without *P. cinnamomi* (Macías-Rodríguez et al. [2018](#page-191-0)). Moreover, under biotic and abiotic stresses, the root exudates act as a chemoattractant for *T. afroharzianum*, and promote its growth, but not for their host *F. oxysporum*, strengthening the mutualistic relationship (Lombardi et al. [2018\)](#page-191-0).

The high success rate of competition of *Trichoderma* spp. over other microbes is largely due to its capability to mobilize and uptake soil nutrients like copper (Cu), phosphorus (P), iron (Fe), manganese (Mn), and sodium (Na) (Harman et al. [2004\)](#page-189-0). In this regard, Fe uptake is essential for the viability of most flamentous fungi. Because iron is normally present in the soil as an insoluble form, most fungi, including *Trichoderma* spp., can produce Fe<sup>3+</sup>-chelating complexes named siderophores,

which are SMs of low molecular weight. This mechanism facilitates the conversion of Fe to a soluble form, helping plants to uptake it and consequently depriving plant pathogenic microorganisms from Fe suppressing their growth (Sood et al. [2020\)](#page-194-0). *T. virens* genome contains three genes that putatively code for enzymes involved in the synthesis of siderophores, *tex10*, *tex20* (*sidd*), and *tex21* (*nps6*), which are induced under iron depletion conditions (Mukherjee et al. [2012,](#page-192-0) [2018](#page-192-0)). Regarding the regulation of siderophore synthesis in *T. reesei*, YPR2, a transcription factor implicated in the regulation of the SOR cluster, is involved in the biosynthesis of the SMs responsible for the yellow pigment with antimicrobial activities, called sorbicillinoids, and regulates siderophore production in a light-specifc way (Derntl et al. [2016;](#page-187-0) Hitzenhammer et al. [2019\)](#page-189-0). In this sense, a regulation of the whole cluster of siderophore synthesis-related genes and a coregulation of NRPS (nonribosomal peptide synthetase), one of the two major pathways of the biosynthesis of such SMs, were observed in a transcriptomic analysis of Δ*ypr2* (Hitzenhammer et al. [2019\)](#page-189-0). For instance, *ftr1b*, a gene that codes for an iron permease, is downregulated in Δ*ypr2* in darkness and upregulated under light conditions, showing a similar regulation to that of the siderophore cluster. Also, the genes *sidd* (*nrps* homologue), *sidf* (transacylase), *sidj* (siderophore biosynthesis lipase/esterase), *sitt* (ABC multidrug transporter), *sidf* (hydroxyornithine transacylase), *sidh* (enoyl-CoA hydratase/isomerase family protein), and *mirb* (siderophore iron transporter) were coregulated in this mutant genetic background. Since *T. reesei* is a non-root colonizer fungus but a sibling of *T. vires* and *T. atroviride*, two root colonizer species, suggests that this kind of regulation could be occurring in other species of *Trichoderma* that are ben-

A key factor for nutrient availability in the rhizosphere is the soil pH; hence, alkalinization or acidifcation represents the strongest known predictor of microbial community composition and abundance in soils. Soil acidity affects the availability of some elements like P, calcium (Ca), and molybdenum (Mo) and determines the toxicity of Fe, Al, and Mn (Muthukumar et al. [2014\)](#page-192-0). In this sense, the *Trichoderma* genomes contain consensus sequences that code for the pH-responsive transcription factor PACC, which allowed them to adapt to a wide range of pH and to compete effciently with pathogens (Benítez et al. [2004](#page-185-0)). In *T. harzianum*, PAC1 regulates antagonism-related genes like *chit42* (chitinase), *papa* (protease), *gtt1* (glucose permease), and *qid74* (cell wall protein). Furthermore, PAC1 appears to be a positive regulator of parasitism, because *pac1* null mutants are unable to grow over their hosts *B. cinerea*, *R. solani*, *Rhizoctonia meloni*, *Phytophthora citrophthora*, and *Fusarium fujikuroi*, compared to wt. However, Δ*pac1* strains produce metabolites that inhibit more effciently the growth of *R. solani* and *B. cinerea*, suggesting that the lack of *pac1* improves the production of some SMs responsible for the growth inhibition of these plant pathogenic microorganisms, but, on the other hand, its absence impairs its ability to parasitize them (Moreno-Mateos et al. [2007\)](#page-192-0). Similarly, in *T. virens*, Δ*pacc1* and Δ*pacc2* strains show a lesser growth at alkaline pH and slower growth over *R. solani* compared to wt. Moreover, in confrontation with *S. rolfsii*, Δ*pacc1* and Δ*pacc2* are not able to cover the sclerotia produced by the fungal host, compared to the wt strain.

efcial to plants.

<span id="page-156-0"></span>All these data along with the fact that *Trichoderma* spp. have faster growth cycles than most of the plant pathogenic microorganisms and a more profcient capability to both mobilize and utilize nutrients make them more effcient and competitive than many other soil microbes.

# **4 Analysis of** *Trichoderma* **spp. Genomes Unveils Their Potential as Biocontrol Agents**

Genomics has emerged as a tool for getting massive information to ensemble the organism genomes, which has revolutionized many research areas, including that related with *Trichoderma* spp. Until July 2020, according to Cai and Druzhinina [\(2021](#page-186-0)), a total of 42 whole genomes of different *Trichoderma* strains had been deposited in public databases.

The frst *Trichoderma* genome sequenced was that of *T. reesei* (Martinez et al. [2008\)](#page-191-0), thus establishing the beginning of the genomic era for *Trichoderma*. As mentioned before, *T. reesei* is not a root colonizer but is important in the industry because of its excellent capability to degrade polysaccharides. Sequencing of *T. reesei* genome has allowed to unveil many important characteristics of the *Trichoderma* genus. For instance, comparative genomic analysis with other *Trichoderma* species revealed that the secondary metabolism-related genes are distributed in clusters, many of which were acquired by horizontal transfer (Martinez et al. [2008;](#page-191-0) Mukherjee et al. [2012](#page-192-0), [2013](#page-192-0)). The *Trichoderma* genus is characterized by being a great producer of SMs, like NRPs, polyketides, terpenoids, and siderophores, among other compounds, that play important roles in its antagonistic activity against many plant pathogenic microorganisms with different lifestyles, as well as in the benefcial effects this genus confers to plants (Zeilinger et al. [2016](#page-197-0)). Consistently, these characteristics are present in the genomes of the different *Trichoderma* species, where a correlation exists between the number of clusters and the genes associated with the production of SMs and their mycoparasitic capability. In this regard, *T. virens* and *T. atroviride* genomes, two mycoparasitic species, contain more polyketide synthases (PKSs) and NRPS than *T. reesei*. Interestingly, 1273 genes are shared between *T. virens* and *T. atroviride* but not with *T. reesei*, some of which could be associated with SM production, thus putatively giving them a better mycoparasitic capability (Kubicek et al. [2011](#page-190-0)). In agreement with this, those genes that encode for CAZymes are arranged in clusters between regions of synteny and other *Sordariomycetes* (a class of fungi of the *Pezizomycotina* subdivision of the ascomycete division) (Martinez et al. [2008\)](#page-191-0). Particularly, the fungal CWDEs, chitinases and glucanases, which are essential for the mycoparasitic activity, are increased in *T. virens* and *T. atroviride* genomes. In this sense, the family of glycoside hydrolases (GHs) in the genome of *T. reesei* contains 193 GH-encoding genes, whereas *T. virens* and *T. atroviride* contain 259 and 258, respectively (Schmoll et al. [2016;](#page-194-0) Kubicek et al. [2011\)](#page-190-0). Similarly, the analysis of CAZymes in *T. harzianum* derived from genome

information revealed that its genome contains a total of 430 CAZymes, including 259 GHs, being the GH18 the most represented (Baroncelli et al. [2015;](#page-185-0) Ferreira Filho et al. [2017](#page-187-0)).

After sequencing the genomes of *T. reesei*, *T. virens*, and *T. atroviride*, which have been the most studied, several other species of *Trichoderma* were also sequenced. For instance, the genome of *Trichoderma* cf. *atrobrunneum* was sequenced by Fanelli et al. ([2018\)](#page-187-0), where one of the main goals was to study the genes implicated in biocontrol. In this regard, the Pfam domain analysis of the families of genes associated with antagonistic activities showed that the GHs were the biggest with 247 members, only behind *T. virens*, *T. harzianum* B97, and *T. afroharzianum* T6776 strains, according to comparison with other 20 species of *Trichoderma*. Regarding the secondary metabolism, the genome of *T.* cf. *atrobrunneum* contains 18 putative PKS, 8 NRPS, 5 PKS-NRPS, and 5 terpenoid synthase (TS) genes (Fanelli et al. [2018\)](#page-187-0). Proteases are another group of proteins secreted by *Trichoderma* that play important roles in mycoparasitism, causing the release of small molecules from the prey, such as peptides, which are then recognized by the mycoparasite*.* Also, these proteins can hydrolyze other types of proteins whose byproducts serve as nutritional sources for *Trichoderma* (Druzhinina et al. [2011](#page-187-0)). In *T.* cf. *atrobrunneum*, a predicted proteinase showed high percentages of identity (91–93%) with proteases from *T. atroviride*, *T. harzianum*, *T. virens*, *T. viride*, and *T. reesei* (Fanelli et al. [2018](#page-187-0))*.* Through a multi-omics approach, Wu et al. [\(2017](#page-197-0)) described that *T. asperellum* GDFS1009 genome possesses 16 chitinase-, 8 protease-, and 11 glucanase-encoding genes. This fungus also contains 16 clusters of PKSs and 16 NRPSs. Besides biocontrol-related proteins, *Trichoderma* also secrete elicitors to induce immune resistance in plants against plant pathogenic microorganisms (Nawrocka and Małolepsza [2013\)](#page-192-0). In this regard, *T. asperellum* GDFS1009 has 12 potential elicitor-encoding genes, including 2 endopolygalacturonases (endo-PGs), 2 EPl, 2 hydrophobins, 1 PG, 1 swollenin, and 4 xylanases (Wu et al. [2017](#page-197-0)).

Recently, Kubicek et al. [\(2019](#page-190-0)) performed a comparative genomic analysis of 12 species of *Trichoderma*. Their phylogenetic analysis showed that the most common species of *Trichoderma* are distributed in different groups named clade *Harzianum/ Virens* (HV: *T. harzianum*, *T. guizhouense* (*T. sp. NJAU4742)*, *T. afroharzianum*, *T. virens*), the section of *Longibrachiatum* (SL: *T. reesei*, *T. longibrachiatum*, *T.* cf. *citrinoviride*, *T. parareesei*), and the section of *Trichoderma* (ST: *T. atroviride*, *T. gamsii*, *T. asperellum*, *T. hamatum*). They also show that *Trichoderma* evolved 66.5 (±15) million years ago (mya) next to the Cretaceous-Paleogene (K-Pg) extinction event, where a massive extinction of plants and animals occurred. Furthermore, SL and ST sections and HV clade apparently arose 21–25 mya, where the section ST is the oldest, whereas SL and HV evolved later and have a common ancestor. Furthermore, the origin of *Trichoderma* is characterized by a signifcant gene expansion (Kubicek et al. [2019](#page-190-0)). The genome sizes and the number of predicted genes of the 12 species ranged from 31 to 41 Mb and from 9292 to 14,095 predicted genes, where the section of SL is the smallest in both categories. In agreement with other genomes of *Trichoderma* spp., the core genome of these 12 species showed enrichment in genes encoding glycoside hydrolases (GHs). GHs represent more than 50%

of the CAZymes, where those acting on chitin and β-glucan comprised the most abundant (Kubicek et al. [2019](#page-190-0)). Regarding secondary metabolism, the authors found 10 to 25 PKSs, 12 to 34 NRPSs, and 6 to 14 TSs in the core genome, being the clade of *Harzianum/Virens* the one with the greatest amount of these proteins (Kubicek et al. [2019](#page-190-0)). With respect to proteases, the screening of the 12 *Trichoderma* genomes showed the presence of A1 aspartyl proteases, G1 eqolisins, C13 legumaintype cysteine proteases, 8 metalloprotease families, and 6 families of serine proteases (Kubicek et al. [2019\)](#page-190-0).

All the information obtained by genome sequencing has increased the general knowledge about the *Trichoderma* genus but has also allowed to study specifc genes, thus predicting the functions of many proteins that were previously unknown. Furthermore, the generation of *Trichoderma* mutant strains and their subsequent genome sequencing have helped to characterize strains with enhanced capabilities for biocontrol and to understand the mechanisms in those strains with negative phenotypes. In this regard, the importance of *T. reesei* in the industry has led it to be the target of mutagenesis programs in the search for strains that produce more cellulase activity. For instance, the original isolate  $QM6a<sup>T</sup>$  has been mutated by UV light, irradiation by linear particle accelerators, and/or *N*-methyl-*N*′-nitro-*N*nitrosoguanidine (NTG), which has resulted in strains with high production of cellulase activity such as NG14, Rut-C30, QM9123, and QM9414, as well as strains with cellulase-negative phenotypes like QM9136, QM9978, and QM9979 (Le Crom et al. [2009](#page-190-0); Vitikainen et al. [2010](#page-196-0); Lichius et al. [2015;](#page-190-0) Ivanova et al. [2017\)](#page-189-0). The strains NG-14 and Rut-C30 have a high number of mutations like single nucleotide variants (SNVs), deletions, and insertions, which, consequently, affect 18 genes in NG14 and 25 more in Rut-C30 putatively involved in RNA metabolism, protein secretion, and vacuolar targeting and transcription factors (Le Crom et al. [2009\)](#page-190-0). Contrastingly, strain QM9136 has a low number of mutagenesis events, which allowed the authors to identify a truncation of 140 amino acids in the C-terminal of the transcription factor XYR1. This mutation caused no cellulase- or xylanase-related gene expression in QM9136 and Δ*xyr1* strain, whereas complementation of QM9136 with the wild-type *xyr1* allele recovered completely the production of cellulases, confrming the role of XYR1 in this process (Lichius et al. [2015\)](#page-190-0). Similarly, Ivanova et al. [\(2017](#page-189-0)) identifed the transcription factor VIB1 as a key regulator of cellulases by analyzing the QM9978 strain. The authors found a translocation between chromosomes V and VII upstream of *vib1*, which suppressed its expression. Interestingly, deletion of *vib1* in QM9414 and Rut-C30 reduced the expression of cellulase, and the complementation and overexpression of *vib1* in QM9978 restored cellulase expression. On the other hand, in the *T. virens*, the mutant M7 strain generated by gamma ray resulted impaired in morphogenesis, secondary metabolism, and mycoparasitism. For instance, M7 does not overgrow or coil on plant pathogen *R. solani* and *Pythium aphanidermatum* hyphae*.* M7 does not produce important VOCs related to *Trichoderma* antagonism such as viridin, viridol, and heptelidic acid; it only produces 13 of the 73 VOCs present in the wt strain. The authors related these phenotypes with the mutations in M7, which include the <span id="page-159-0"></span>deletion of PKS6 and Tex9 clusters related to SM, 8 transcription factors including 2 SM-related, 5 genes for carbohydrate metabolism, 2 genes associated with cell signaling, and 11 oxidoreductases (Pachauri et al. [2020\)](#page-193-0).

All this above discussed genomic-derived knowledge can potentially help to improve the use of *Trichoderma* as a biological control in a more effective way.

### **5 Transcriptomic Analysis Unveils Genes Associated with Biocontrol in** *Trichoderma* **spp.**

Nowadays, gene expression analysis is one of the most used tools in biological sciences. Getting these data in a massive way has undoubtedly represented a great advancement in many areas of knowledge. Some of the approaches that established the beginnings in this feld were the expressed sequence tag (EST), which consists of small cDNA sequences to identify gene transcripts; suppression subtractive hybridization (SSH), which allows the amplifcation of differentially expressed cDNAs between control and activated transcriptome; and DNA arrays (macro and micro), which are used to quantify the gene expression using membranes or chips that contain a collection of DNA sequences arrayed in a matrix. This technology also uses fuorescence and image analysis to obtain the data. Macroarrays contain a collection of ESTs, whereas microarrays contain large datasets or complete genomes, being latter the most used during the last two decades among all techniques to analyze massively gene expression (Lorito et al. [2010](#page-191-0)).

Lorito and coworkers published in 2010 a review where they discuss the most important advances in this feld described up to that moment. All these works allowed identifying which genes are expressed in *Trichoderma* under different conditions, such as biocontrol (interaction with plant pathogens), nutritional stress, plant root colonization, and light response, among others. Some of the most represented genes under biocontrol conditions were the cell wall protein QID3, oxidoreductases, HEX1, hydrophobin, cyclophilins, and a subtilisin-like serine protease, among others, whereas for plant interaction conditions, genes involved in lipid metabolism, degradation, and synthesis of the cell wall, redox metabolism, and energy-related processes, among others, were upregulated (Lorito et al. [2010](#page-191-0)). After the works revised by Lorito and coworkers, many other investigations using these approaches have been published with very interesting results, which will be discussed here.

The differences at the genome level of *Trichoderma* species with different lifestyles, previously discussed, are also refected in their transcriptome. In this regard, an analysis of microarray data under mycoparasitic conditions of *T. reesei*, *T. atroviride*, and *T. virens* with the plant pathogen *R. solani* showed that all *Trichoderma* species present different responses. *T. atroviride* exhibits differential expression patterns of several genes coding for proteases, oligopeptide transporters, C-type lectins, small-secreted cysteine-rich proteins (SSCPs), PTH11 receptors, and β-glucanases of the GH16 family. *T. virens* shows increased levels mainly of gliotoxin biosynthesis-related genes and heat shock protein-encoding genes, whereas *T. reesei* showed an upregulation of genes that code for cellulolytic and hemicellulolytic CAZymes, ribosomal proteins, several transporter proteins, and one PKS. These transcriptional responses in the three species were mainly observed before hyphal contact with the host. Additionally, among differentially expressed genes (DEGs), only 9 orthologous genes increased their expression in the 3 species, whereas 29 upregulated genes were shared by the 2 mycoparasitic species, most of them with unknown functions (Atanasova et al. [2013\)](#page-185-0). The transcriptional profles of *Trichoderma* under mycoparasitic conditions have been extensively studied. Besides the differences at transcriptional levels among *Trichoderma* species, specifc responses are also displayed depending on the host's lifestyles. For instance, Morán-Diez et al. [\(2019](#page-192-0)) designed a microarray of 385,000 probes to identify mycoparasitism-related genes of *T. atroviride* T11 during its interaction with *V. dahliae*, fnding that the highest transcriptional activation occurs during the growth of the mycoparasite over its host. Among the 143 DEGs, 128 were upregulated, being the CAZymes the most enriched, especially those encoding for hydrolytic enzymes such as glucanases and peptidases. Also, SM-associated genes encoding for oxidoreductases and monooxygenases were upregulated. Moreover, the upregulation of *cpa1*, which codes for a protein that belongs to the M14 family of metallocarboxypeptidases, has an important role during mycoparasitism against *V*. *dahliae*, since strains overexpressing such gene show signifcantly higher inhibitory effect against its host (Table [1](#page-161-0)) (Morán-Diez et al. [2019](#page-192-0)). As an additional example, *npm1* from *T.* sp. NJAU4742, which encodes a metallopeptidase, is induced in the presence of phytopathogenic fungi, including *Alternaria alternata* and *F. oxysporum*. Although the purifed NMP1 protein does not inhibit the growth of other fungi, an insertional mutagenesis approach indicates that this protein has a major role in mycotrophic interactions and defense against other fungi (Zhang et al. [2019\)](#page-197-0). Using the SSH technique, Rabinal and Bhat ([2020\)](#page-193-0) found that, in the presence of *S. rolfsii*, *T. koningii* induces the expression of genes related to cell wall hydrolysis such as *β*-1,4-D-glucan cellobiohydrolases, chitinases, glycosyltransferases, serine endopeptidases, and xylanase-2, among others (Rabinal and Bhat [2020\)](#page-193-0). Also, an EST analysis carried out with mRNA from *T. harzianum* (ALL42) grown in medium containing cell walls of *F. solani* shows that from a total of 1450 unigenes identifed, a putative QID74 cell wall protein-encoding gene is the most represented, followed by CFEM domain-containing protein, a Woronin body major protein HEXA, and an exochitinase-encoding gene, all of them are potentially related to mycoparasitism (Table [1](#page-161-0)) (Trushina et al. [2013](#page-195-0)).

As described above, *Trichoderma* fungi are good rhizosphere-competent microorganisms, and pH plays a key role in this skill. In agreement with this, *Trichoderma* spp. contain in their genomes pH-responsive genes that allow them to adapt to pH changes, such as the transcription factor PACC (Benítez et al. [2004](#page-185-0); Moreno-Mateos et al. [2007\)](#page-192-0). To identify genes dependent on PACC and pH, a DNA microarray using cDNA from *T. virens* wt and Δ*pacc* strains, grown under pH 8 or pH 4, showed that 650 genes are differentially regulated in response to pH. In the mutant strain Δ*pacc*, a set of genes related to carbohydrates and inorganic ion transport and metabolism



<span id="page-161-0"></span>

(continued)



**Table 1** (continued)

Table 1 (continued)

<span id="page-163-0"></span>are enriched compared to wt. Genes related with SM biosynthesis, transport, and catabolism and with nucleotide transport and metabolism were downregulated. Accordingly, Δ*pacc* mutants were affected in their ability to compete against *R. solani* and *S. rolfsii*, whereas constitutively active pacc<sup>c</sup> strain overgrows *R. solani* to the same extent as *T. virens* wt (Trushina et al. [2013\)](#page-195-0). The authors suggest that this information could be used to genetically manipulate *T. virens* to enhance its capabilities for biocontrol. Indeed, one of the most important implications of this type of study is that they allow the selection of specifc genes that are induced or repressed under the conditions of interest in a more specifc way. The subsequent characterization of these genes and their products could have a signifcant impact on science and fnally in the feld, as just exemplifed with PACC. Another clear example was recently published, aimed at studying genes related to conidiation; SSH libraries were constructed using RNA from *T. virens* wt and M7 strain, which the latter is not able to conidiate. The authors identifed 12 unigenes apparently related to conidiation, of which 2 were notably downregulated in the mutant growing on potato dextrose agar. One of these genes codes for a novel PGYRP (proline-glycinetyrosine-rich protein) named PGY1 and the other for a GPI-anchored cell wall protein named ECM33. Mutant strains Δ*pgy1* and Δ*ecm33* showed slow growth and reduced conidiation. Furthermore, these mutants are not able to grow over *S. rolfsii*, and their mycelium-free culture fltrates do not cause inhibition of *Pythium aphanidermatum*, contrary to the wt. The latter is related with low production of the antimicrobial viridin by the mutant strains. The fact that PGY1 and ECM33 are related with conidiation and biocontrol represents an excellent opportunity to select them as possible targets to improve genetically *Trichoderma* strains and then use them commercially (Table [1\)](#page-161-0) (Bansal et al. [2019](#page-185-0)).

Although the methods discussed here have represented very good approaches for understanding the transcriptome of any living organism, they also have limitations because they require a previous knowledge of the genome. SSH technique, for example, could present high background in cross-hybridization; some are expensive and not quantitative as ESTs, among others. However, in the last years, the new technology of RNA sequencing (RNA-seq) has emerged, which has become the method of choice and will be discussed below.

#### **6 Transcriptomics of** *Trichoderma* **by RNA-seq Approaches**

Toward 2008, the frst works using the RNA-seq method were published for *S. cerevisiae*, *S. pombe*, *A. thaliana*, *Mus musculus*, and *Homo sapiens* cells. This technology, known as next-generation sequencing (NGS), allows for the identifcation of the complete set of transcripts in a cell at the moment of a specifc condition, as well as to quantify them. Also, small RNAs, splicing isoforms, and gene fusion transcripts can be sequenced as well. Furthermore, de novo annotation is used to fnd novel transcripts from unannotated genes (Wang et al. [2009;](#page-196-0) Martin and Wang [2011\)](#page-191-0).

In the *Trichoderma* research feld, this new approach has allowed to broaden the knowledge of and to understand better the mechanisms used by some species of this genus that are useful for biological control, as well as to know the mechanisms they use to establish a benefcial relationship with plants, which will be addressed later.

Wu and coworkers reported the characterization of *T. asperellum* GDFS1009 strain, using different tools to draw a more complete picture of its capabilities for biocontrol. In in vitro dual culture assays of *T. asperellum* GDFS1009 against the plant pathogen *F*. *oxysporum* f. sp. *cucumerinum* Owen, growth was inhibited up to 80.82%, whereas in greenhouse conditions, this inhibition rate reached up to 86.34%. The inhibition caused by mycelium-free culture fltrates of *T. asperellum* GDFS1009 against *F*. *oxysporum* f. sp. *cucumerinum* Owen was 67.59% and 100% for *F*. *graminearum*. The transcriptome of *T. asperellum* GDFS1009 grown on potato dextrose medium and collected at 24 and 48 h shows that one glucanase-, one protease-, and one chitinase-encoding genes are highly induced, as well as three elicitor-encoding genes including two hydrophobins and EPL1. These results as well as the fungus-host interaction assays indicate that during the interaction of *T. asperellum* GDFS1009 with its host, the transcriptome is more dynamic and induces numerous genes related with its capabilities as biocontrol agent (Wu et al. [2017\)](#page-197-0). On the other hand, the transcriptome of the well-characterized fungus *T. virens* ZT05 was determined under antagonistic conditions with *R. solani*. Several genes related to its mycoparasitic and antagonistic capabilities are induced, including eight genes associated with host recognition and signal transduction (two extracellular proteases, one protease, one belonging to oligopeptide transporters, and four G-protein-coupled receptors). Genes related to hyperparasitic genes, including six chitinases, six glucanases, and one proteasome, were also induced. With respect to antibiotic and stress resistance genes, 30 were identifed, including 9 reductases, 2 tetracycline resistance genes, 8 heat shock response genes, 2 multidrug resistance transporters, 8 ABC effux transporters, and 1 oxidative stress response gene, most of them were highly induced. RNA-seq data are consistent with the growth inhibition of *R. solani* by *T. virens*, which can coil and penetrate the phytopathogen mycelium*.* Also, the volatile and nonvolatile metabolites of *T. virens* inhibit the growth of the prey (Table [1\)](#page-161-0) (Halifu et al. [2020](#page-189-0)). All these data point out that under antagonistic conditions, *Trichoderma* is capable to turn on most of its vast repertoire of genes necessary to be successful against its prey.

As mentioned above, SMs have a primordial role during the interaction of *Trichoderma* spp. with their preys. 6-PP is one of the main VOCs produced by many fungi of this genus, which possesses antifungal activity and is the compound responsible for the coconut odor in the producer strains (Vinale et al. [2008a](#page-196-0)). To learn more about the molecular mechanisms involved in 6-PP activity, Jin et al. [\(2020](#page-190-0)) analyzed the transcriptome of *Cylindrocarpon destructans* (one of the most devastating diseases of *Panax notoginseng*) exposed to 6-PP, the main metabolite secreted by *T. atroviride* T2, similar to that reported by other authors (Estrada-Rivera et al. [2019\)](#page-187-0). Among the DEGs, 83 were enriched in 16 KEGG pathways, highlighting those involved in amino acid metabolism, such as valine, leucine, lysine, and isoleucine degradation, arginine and proline metabolism, and beta-alanine metabolism,

indicating that 6-PP signifcantly affected these processes. Interestingly, a coexpression network analysis revealed that *echs1* (enoyl coenzyme A hydratase, short-chain 1, mitochondria) is the hub gene correlated with 6-PP stress. RNA-seq and RT-qPCR analyses also show that *echs1* is downregulated in the presence of 6-PP. Intriguingly, studies in hepatocellular carcinoma have shown that autophagy is present by silencing *echs1* (Xu et al. [2015](#page-197-0)), a phenotype that was observed in hyphae of *C*. *destructans* treated with 6-PP. Therefore, the mechanism of action of 6-PP produced by *T. atroviride* T2 could include the downregulation of *echs1* to induce autophagy in *C*. *destructans*.

Many SMs produced by *Trichoderma* have been reported; however, there is a group named ribosomally synthesized and post-translationally modifed peptides (RiPPs) scarcely studied in fungi. RiPPs have been well studied in bacteria, including 20 different classes of compounds, whereas in fungi, only 6 have been described. Like many other SMs, RiPPs are organized in biosynthetic gene clusters and have potential bioactive properties (Arnison et al. [2013;](#page-185-0) Luo and Dong [2019\)](#page-191-0). Using computational tools, Vignolle et al. [\(2020](#page-196-0)) predicted 6, 110, 222, and 92 putative RiPPs for *T. reesei*, *Trichoderma citrinoviride*, *T. harzianum*, and *T. brevicompactum*, respectively. Characterization of the cluster 55 of RiPPs in *T. reesei* shows homology with *T. citrinoviride* cluster 75. Also, cluster 55 of *T. reesei* possesses 22 predicted genes and 2 possible pseudogenes, which potentially code a putative major facilitator superfamily (MFS, gene D), a sulfatase (gene F), a putative hydrolase (gene L), an acid phosphatase (gene N), a cytochrome P450 (gene P), and a peptidase (gene S). The RNA-seq data and their alignment with the *T. reesei* genome were used to eliminate the RiPP false-positive precursors. Consequently, only those putative precursor peptide genes that aligned to RNA-seq data are considered as true positives. Transcriptome expression analyses show that the putative RiPP precursor peptide from cluster 55 is transcribed at low levels, revealing that it is present in the genome of *T. reesei* (Vignolle et al. [2020\)](#page-196-0). Although further analyses of RiPPs in biocontrol are necessary, this work opened a new opportunity in the feld.

RNA-seq approach has been used also to study indirectly some aspects related to biocontrol that could help when applying *Trichoderma* spp. in the feld. For instance, the transcriptome of *T. harzianum* Tr-92 under chlamydospore-producing condition has been analyzed to know the molecular mechanisms implicated in this process that, in the future, could help to propose new and better commercial formulations (Yuan et al. [2019b\)](#page-197-0). Until now, most *Trichoderma*-based biopesticides are made using conidia; however, they usually have short shelf life (Swaminathan et al. [2016;](#page-195-0) Li et al. [2016](#page-190-0)). However, besides conidia, *Trichoderma* spp. grow as mycelia and produce chlamydospores, a thick-walled spore produced vegetatively by mycelia that is more resistant to adverse conditions. Yuan et al.  $(2019b)$  $(2019b)$  showed that chlamydospore-based formulations present higher biocontrol capability against *B. cinerea* compared to the conidia-based formulation. Transcriptome analysis shows that genes that code for a glutathione-S-transferase, an oxidoreductase, a glycosyltransferase, and a peroxidase are downregulated in *T. harzianum* Tr-92 under the chlamydospore-producing condition and that a protein kinase, a chitinase, and an intracellular serine protease-encoding gene were upregulated (Table [1](#page-161-0)).

<span id="page-166-0"></span>On the other hand, the association between different organisms in the microbiomes could enhance their capabilities during biocontrol. In this regard, co-cultures of *T. viride* with *Azotobacter chroococcum* (Az), a gram-negative benefcial bacterium that fxes atmospheric nitrogen, enhance bioflm formation and aggregation of the microbial partners, which represent interesting characteristics of multi species bioinoculants for its use in agriculture (Triveni et al. [2013;](#page-195-0) Velmourougane et al. [2017\)](#page-196-0). The transcriptomic analysis of *T. viride-A. chroococcum* bioflm allows identifying bioflm biosynthesis-related genes such as *alg8*, *sipw*, *pssa*, *fadd*, *purb*, *phob*, and *glgp*, which code for an alpha-1,3-glucosyltransferase, a signal peptidase, a CDP-diacylglycerol-serine O-phosphatidyltransferase, a long-chain acyl-CoA synthetase, an adenylosuccinate lyase, an alkaline phosphatase, and a glycogen phosphorylase, respectively. Interestingly, the highest induced gene codes for an RNA-dependent RNA polymerase (RdRP) (Table [1\)](#page-161-0). RdRPs use a single-strand RNA to generate double-strand RNAs, which then are processed by Dicer to generate sRNAs, thus having a very important role in RNA silencing (Willmann et al. [2011\)](#page-196-0). This result indicates that gene regulation mediated by sRNAs has a pivotal role during bioflm formation between *T. virens* and *A. chroococcum* (Velmourougane et al. [2019\)](#page-196-0), as observed for other microorganisms (Mika and Hengge [2013;](#page-192-0) Chambers and Sauer [2013](#page-186-0)).

It is noticeable that after the arrival of NGS technologies, science has advanced faster. Moreover, NGS not only has allowed having a bigger picture about what is occurring in an organism under specifc conditions but, also, they allow selecting those genes that could have a relevant participation under such conditions, leading to more accurate hypotheses.

# **7 Proteomics for the Discovery of Proteins Potentially Involved in Mycoparasitism**

Proteomic technologies enable the identifcation of proteins' associated peptides in a sample through the use of mass spectrometry (MS) instrumentation and the analysis of a whole set of proteins that are differentially expressed under different biological conditions between comparative samples (Aslam et al. [2017\)](#page-185-0). The determination of differential expression of a protein can be done by counting its peptides that are detected by mass spectrometers and comparing them between two conditions. Additionally, data generated from expression proteomics experiments can be used as the basis of hypothesis-driven research followed by functional studies of selected proteins (Lippolis et al. [2019](#page-190-0)). In the past few decades, protein separation and identifcation have been achieved mainly through two-dimensional gel electrophoresis (2DE) coupled to matrix-assisted laser desorption/ionization timeof-fight mass spectrometry (MALDI-TOF MS) or liquid chromatography-tandem mass spectrometry (LC-MS/MS). In the 2DE technique, proteins are resolved into individual protein spots based on their isoelectric point and molecular weight, and then they can be excised from the gels, proteolytically digested, and identifed by MS (Lee et al. [2020](#page-190-0)). During the last decades, these methods have been used by several authors to gain insight into the knowledge of mechanisms involved in the biocontrol of plant pathogenic fungi by *Trichoderma* strains (Table [2](#page-168-0)). For example, Suárez et al. ([2005\)](#page-195-0) used 2DE-MALDI-TOF-MS to analyze the extracellular proteome of *T. harzianum* CECT 2413 in the presence of fungal cell walls. In particular, an aspartic protease was found to be signifcantly accumulated in a medium supplemented with *B. cinerea* or *R. solani* cell walls relative to medium supplemented with chitin or glucose as carbon source (Suárez et al. [2005\)](#page-195-0). Aspartic proteases of *Trichoderma* have been found to be involved in mycoparasitism on plant pathogenic fungi by hydrolyzing host cell wall proteins (Deng et al. [2018](#page-186-0); Yang et al. [2013\)](#page-197-0). 2DE-MALDI-TOF-MS method was also used by Grinyer et al. [\(2005](#page-188-0)) to identify *T. harzianum* P1 proteins secreted in response to *R. solani* cell walls and compared with proteins secreted in a medium containing glucose as carbon source. Among the proteins that are upregulated in response to *R. solani* cell walls, known fungal CWDEs including an endochitinase and a *N*-acetyl-β-D-glucosaminidase, as well as three proteases and a superoxide dismutase, were identifed (Grinyer et al. [2005\)](#page-188-0). Additionally, 2DE-MALDI-TOF-MS method was used by Monteiro et al. [\(2010](#page-192-0)) to analyze the secretome of *T. harzianum* ALL42 after it was grown in liquid medium supplemented with purifed cell walls of the plant pathogenic fungi *R. solani* or *Fusarium* sp. Analysis of the gel spots by MS identifed a set of *T. harzianum* CWDEs secreted in response to each type of cell wall of the host, including an  $\alpha$ -1,3-glucanase, a carboxypeptidase 2, and a glucosidase I induced by the presence of *R. solani* cell walls, as well as an endochitinase and a carboxypeptidase induced when *T. harzianum* was grown in the presence of *Fusarium* sp. cell walls (Monteiro et al. [2010](#page-192-0)). Extracellular α-1,3-glucanases of *Trichoderma* strains hydrolyze intrachain glycosidic linkages of α-1,3-glucan, a major cell wall polysaccharide in flamentous fungi, releasing β-glucose residues in a progressive manner (Grün et al. [2006;](#page-189-0) Soler et al. [2001](#page-194-0)), whereas endochitinases are known to hydrolyze glycosidic bonds in chitin, the major component of fungal cell walls (Du et al. [2020\)](#page-187-0). In fact, the biological control of diverse fungal plant pathogens by some *Trichoderma* species has been directly related to their extracellular chitinase activity (Aoki et al. [2020;](#page-185-0) Loc et al. [2020](#page-191-0)). Carboxypeptidases are enzymes that remove C-terminal amino acid residues from peptides or proteins (Drzymała and Bielawski [2009\)](#page-187-0). The role of *Trichoderma* carboxypeptidases in the biological control of plant pathogenic organisms was demonstrated by Morán-Diez et al. [\(2019](#page-192-0)). Using an overexpression approach, authors found that the carboxypeptidase CPA1 of *T. atroviride* T11 plays a role in the antifungal activity of this pathogen against *V. dahliae* (Morán-Diez et al. [2019](#page-192-0)).

Yang et al. [\(2009](#page-197-0)) used 2DE-LC-MS/MS to identify *T. harzianum* ETS 323 proteins that were secreted in response to deactivated *B. cinerea* mycelium. Among the identifed proteins, an L-amino acid oxidase (LAAO) is accumulated in the medium containing deactivated *B. cinerea* mycelium as the sole carbon source (Yang et al. [2009\)](#page-197-0). In a previous study by the same group, Tseng et al. [\(2008](#page-195-0)) also used 2DE-LC-MS/MS to identify *T. harzianum* ETS 323 proteins that were secreted in media containing either glucose or glucose plus deactivated *R. solani* hyphae as

Biocontrol	Experimental	Method and		
agent	design	technology	Main findings	References
T. harzianum <b>CECT</b> 2413	Grown in medium supplemented with either glucose, chitin, or <i>B. cinerea</i> or $R$ . solani cell walls as carbon sources	2DE-MALDI- <b>TOF-MS</b>	Identification of an extracellular aspartic protease of T. britannicum that was induced in medium supplemented with B. cinerea or R. solani cell walls relative to medium supplemented with chitin or glucose	Suárez et al. (2005)
T. harzianum P1	Grown in medium containing either glucose or cell walls of R. solani as carbon sources	2DE-MALDI- TOF-MS	Identification of an extracellular endochitinase and an $N$ -acetyl- $\beta$ -D- glucosaminidase as well as three proteases and a superoxide dismutase of T. harzianum P1 that were induced in medium supplemented with cell walls of R. solani relative to the glucose-supplemented medium	Grinyer et al. (2005)
$T_{\cdot}$ harzianum <b>ETS 323</b>	Grown in medium containing either glucose or a mixture of glucose and deactivated R. solani hyphae as carbon sources	2DE-LC-MS/ MS	Identification of an L-amino acid oxidase of T. harzianum ETS 323 that was found to be enriched only in medium containing glucose plus deactivated R. solani hyphae	Tseng et al. (2008)
T. harzianum <b>ETS 323</b>	Grown in medium containing either glucose or a mixture of glucose and deactivated B. cinerea mycelia as carbon sources	2DE-LC-MS/ MS	Identification of one extracellular L-amino acid oxidase (LAAO) and two endochitinases of T. harzianum ETS 323 that were induced only in medium containing glucose plus deactivated B. cinerea mycelia	Yang et al. (2009)
T. harzianum ALL42	Grown in medium containing either glucose or cell walls of R. solani or cell wall of Fusarium sp. as carbon sources	2DE-MALDI- <b>TOF-MS</b>	Identification of a set of T. harzianum ALL42 secreted proteins, including an $\alpha$ -1,3-glucanase, a carboxypeptidase 2, and a glucosidase I that were induced by the presence of R. solani cell walls and an endochitinase and a carboxypeptidase that were induced when T. <i>harzianum</i> was grown in the presence of <i>Fusarium</i> sp. cell walls relative to the glucose- supplemented medium	Monteiro et al. (2010)

<span id="page-168-0"></span>**Table 2** Overview of studies on *Trichoderma* spp. that used a proteomic approach to unravel proteins related to biocontrol

(continued)

Biocontrol	Experimental	Method and		
agent	design	technology	Main findings	References
T.	Grown in medium	2DE-LC-MS/	Identification of 59 $T$ .	de Lima
atroviride	containing either	<b>MS</b>	<i>atroviride</i> T17 extracellular	et al.
T <sub>17</sub>	glucose or		proteins that were differentially	(2016)
	Guignardia		expressed in medium	
	citricarpa GC3		containing G. citricarpa GC3	
	inactivated		inactivated mycelium compared	
	mycelium		with medium containing	
			glucose	

**Table 2** (continued)

carbon sources. Among the identifed proteins, a LAAO enzyme was found to be enriched only in media containing glucose plus deactivated *R. solani* hyphae compared to glucose only (Tseng et al. [2008\)](#page-195-0). LAAO are enzymes that catalyze the oxidative deamination of L-amino acid substrates and, thereby, produce hydrogen peroxide, ammonia, and the corresponding α-keto acid (Butzke et al. [2005\)](#page-186-0). A hypothetical mechanism of the antagonistic effect of *T. harzianum* ETS 323 against *R. solani* mediated by LAAO was proposed in a study from Yang et al. ([2011\)](#page-197-0). The authors suggest that monomeric *T. harzianum* LAAO (Th-LAAO) may bind to hyphal lysis (apoptosis)-related cell wall proteins of *R. solani*, causing dysfunction of these proteins by altering their structures. Furthermore, Th-LAAO may induce oxidation of target proteins and produce an increase in the concentration of  $H_2O_2$ , which cause the apoptosis of *R. solani* (Yang et al. [2011\)](#page-197-0) (Fig. [1\)](#page-151-0). In fact, recent evidence indicates that  $H_2O_2$  production may be a strategy of *Trichoderma* to inhibit the growth of their fungal prey (Fig. [1\)](#page-151-0). In this regard, Zhang et al. ([2019\)](#page-197-0) found that, during interaction with its host the fungus *Fusarium oxysporum* f. sp. *cubense* 4 (renamed as *F*. *odoratissimum* by Maryani et al. ([2019\)](#page-191-0)), *T. guizhouense* produces an excessive amount of  $H_2O_2$  that is stored in microscopic guttation droplets hanging on the contacting hyphae. Additionally, authors found that a strain of *T. guizhouense* with a deletion in the NADPH oxidase gene (Δ*nox1*) and a strain with a deletion in the NADPH oxidase regulator gene (Δ*nor1*), which are affected in the production of  $H_2O_2$ , lost their ability to efficiently grow over *F. odoratissimum*, indicating that  $H_2O_2$  produced by *T. guizhouense* is required for its combative interaction with *Fusarium* (Zhang et al. [2019\)](#page-190-0). On the other hand, a study from de Lima et al. [\(2016](#page-190-0)), integrating 2DE and LC-MS/MS method, led to the identifcation of a set of extracellular proteins that may have a role in the mycoparasitic activity of *T. atroviride* T17 against the plant pathogenic fungus *Guignardia citricarpa* GC3. Authors identifed a total of 59 *T. atroviride* T17 proteins that were differentially expressed in a medium containing *G. citricarpa* GC3 inactivated mycelium compared with a medium containing glucose as carbon source. Among these, a set of glycoside hydrolases, two carboxylic ester hydrolases, an acid phosphatase, and a putative N,O-diacetyl muramidase were induced in response to the inactivated mycelium of the host fungus (de Lima et al. [2016\)](#page-190-0).

# <span id="page-170-0"></span>**8 Metabolomics as a Tool for the Discovery of SMs in** *Trichoderma* **Species**

Fungi are a rich source of biological active compounds because they produce large amounts of SMs with biological activity. SMs comprise a very valuable group of chemical compounds that have a wide spectrum of application including drugs (immunosuppressants, antitumor agents, and antibiotics), biofuels (squalene and oleoresin), food additives (essential oils, carotenoids, and favonoids), and agrochemicals (insecticides, pesticides, and antifeedants). Fungi of the *Trichoderma* genus are not the exception because they are also considered a rich source of novel SMs of agricultural, industrial, and medical interest.

Metabolomics has been defned as the in-depth quantitative and qualitative analysis of all small molecules (molecular weight < 3 kDa) in biological systems, being either a sample of cell, body fuids, tissues, or an entire organism (Fiehn [2001\)](#page-188-0). The metabolome is the fnal step in the omics, at the biochemical and molecular levels; hence, it is most closely related to the phenotype of the organism, providing a better comprehension of its biological function (Van der Werf et al. [2005](#page-196-0)).

The development of new instrumentation, analytical technologies, and specialized software has allowed for the identifcation and description of metabolomes (Scalbert et al. [2009](#page-194-0)). MS has been one of the most used platforms in metabolomic studies, because of its fexibility in experimental design, its high sensitivity, and its capability to quantify low-abundance metabolites, and its high accuracy (Dettmer et al. [2007](#page-187-0)). MS is an approach used to determine the mass-to-charge ratio of ions, whose results are shown as mass spectrum, that is, a plot intensity as a function of the mass-to-charge ratio. Such spectra are used to fgure out the isotopic form of a sample, the masses of particles and molecules, and to determine the structure or chemical identity of chemical compounds. The MS approach is applied in several research areas to heterogeneous or defned samples. MS is commonly and successfully applied in microbiological samples together with liquid chromatography (LC-MS), gas chromatography (GC-MS), and capillarity electrophoresis (CE-MS). LC-MS is a highly sensitive approach that allows examining at the same time large amounts of metabolites demanding a small sample volume for analysis. GC-MS is fawless for the identifcation and quantifcation of small molecular metabolites (< 650 Da), using chemical derivatization to volatilize these compounds for GC. EC-MS is the result of hyphenating a separation approach based on the movement of ions under electrophoretic and/or electro-osmotic forces produced by the application of an electric feld with a mass spectrometer. This approach is suited for polar and ionic compounds in complex polar matrices, augmenting the metabolite hedge of LC-MS and GC-MS.

Metabolomics is used often to identify and quantify SM of orphan SM gene cluster's products unveiled by genome mining (Challis [2008](#page-186-0); Scherlach and Hertweck [2009;](#page-194-0) Fischbach and Voigt [2010](#page-188-0); Medema et al. [2011\)](#page-191-0), as well as of desired products of a genetically modifed strain. Comparative metabolomics is really promising in the discovering of new SM by comparing the metabolomic <span id="page-171-0"></span>profle of a wild-type strain and its derivative mutants. This also works when comparing the same strain subjected to different growth conditions; by applying these approaches, unknown SM can be identifed simply by estimating differentially abundant masses from the different samples.

For instance, the analysis of the histone deacetylase *hda-2* mutant of *T. atroviride* metabolome revealed that it regulates multiple responses in the model *A. thaliana* plant, including the stimulation of growth by some VOCs of the fungus (Estrada-Rivera et al. [2019](#page-187-0)). This work reveals that *hda-2* has a dual role in the regulation of secondary metabolism-related genes. In a different work, LC/ESI-MS methods were developed and validated using different standards of peptaibols (11-, 14-, and 20-amino acid residues) to quantify them in extracts of 13 different marine *Trichoderma* strains according to their chain length. Based on the optimal culture time for higher concentration of such peptaibols, three strains seemed to be good candidates as potential new biological control agents (Van Bohemen et al. [2016](#page-195-0)).

# **9 Exploring the Mutualistic Relationship Between**  *Trichoderma* **spp. and Plants in the "Omics" Era**

#### *9.1 Plant Root Colonization*

The initial processes in root colonization include the recognition between *Trichoderma* and the plant and the attachment of the fungus, which is essential to succeed in the mutualistic relationship. Most *Trichoderma* spp. colonize and penetrate the plant roots, growing into the epidermis, the frst few cortical cell layers, intercellularly and then limited mostly to the apoplast, whereas vessels remain intact or minimally altered (Salas-Marina et al. [2011](#page-194-0); Vargas et al. [2009](#page-196-0)). However, *T. virens* is able to surpass the intercellular spaces and is able to grow inside cells of *Z. mays* roots (Nogueira-Lopez et al. [2018](#page-192-0)).

Attachment of *Trichoderma* hyphae to the plant roots requires the action of extracellular hydrophobins (Mendoza-mendoza et al. [2017\)](#page-192-0) (Fig. [1](#page-151-0)). Fungal hydrophobins are small proteins localized on the surfaces of aerial hyphae and spores and function by decreasing water surface tension and helping in the attachment of fungal hyphae to surfaces (Cai et al. [2020;](#page-186-0) Wu et al. [2017\)](#page-197-0). The role of *Trichoderma* hydrophobins in plant root colonization has been reported in some studies. For instance, overexpression of the gene that encodes the hydrophobin *hydii1* in *T. virens* increases the capability of the fungus to colonize *A. thaliana* roots, whereas its deletion reduces it (Guzmán-Guzmán et al. [2017](#page-189-0)). Viterbo and Chet [\(2006](#page-196-0)) found that the deletion of the *hyd1* gene that encodes for the hydrophobin from *T. asperellum* reduces the capability of the fungus to colonize *Cucumis sativus* roots (Viterbo and Chet [2006\)](#page-196-0). Interestingly, treatment of *Lotus japonicus* cells with the purifed hydrophobin HYTLO1 from *T. longibrachiatum* revealed that the protein localizes at the plant cell surface, where it forms a protein flm covering the plant cell wall

(Moscatiello et al. [2018](#page-192-0)). In this regard, it has been proposed that *Trichoderma* hydrophobins might protect the growing hyphae of the fungus from locally synthesized plant defense compounds during early stages of plant interaction allowing these benefcial fungi to colonize the plant root (Viterbo and Chet [2006](#page-196-0)).

In addition to hydrophobins, the colonization of plant roots by *Trichoderma* requires the action of extracellular expansin-like proteins, swollenins (Cosgrove [2017\)](#page-186-0). The role of *Trichoderma* swollenins in plant root colonization was demonstrated by Brotman et al. [\(2008](#page-186-0)). Authors found that silencing of the gene that encodes a swollenin in *T. asperellum* by RNA interference reduces *C. sativus* root colonization, whereas its overexpression increases it remarkably. They speculate that swollenins could facilitate the access of extracellular cellulolytic enzymes of *T. asperellum* to less accessible areas of the plant cell wall, leading to its disruption and the subsequent root colonization (Brotman et al. [2008\)](#page-186-0). Additionally, Meng et al. ([2019\)](#page-192-0) found that the purifed expansin-like protein SWO from *Trichoderma* sp. NJAU4742 modifes the morphology and root architecture of *C. sativus* seedlings. Furthermore, the authors observed a high number of NJAU4742 spores attached to the plant root surface pretreated with SWO, suggesting that this protein aids the fungus to colonize the plant root (Meng et al. [2019](#page-192-0)).

During the initial processes of interaction with the plant root, *Trichoderma* secretes an array of hydrolytic enzymes, with a potential role in the degradation of plant cell wall polysaccharides, including cellulases, xyloglucan-specifc endoβ-1,4-glucanases, and endo-1,4-β-xylanases, among other CWDEs (Gonzalezet al., under review) (Fig. [1](#page-151-0)). All these enzymes are glycoside hydrolases (EC 3.2.1) that break down glycosidic bonds in polysaccharides and have been classifed as carbohydrate-active enzymes (CAZy) in the Carbohydrate Enzyme Database (CAZy; [http://www.cazy.org\)](http://www.cazy.org) (Lombard et al. [2014](#page-191-0)). Some of these CWDEs have been studied in plant pathogenic fungi. For instance, disruption of the endo-beta-1,4 xylanase gene *xyn11A* in *B. cinerea* causes pronounced negative effect on virulence, reducing the average damage in *S. lycopersicum* leaves by more than 70% (Brito et al. [2006\)](#page-185-0), whereas the endo-1,4-glucanases XEG12A and XEG5A from *A. oryzae* contribute to the degradation of xyloglucan polysaccharides (Matsuzawa et al. [2020\)](#page-191-0).

In addition to glycoside hydrolases, during their interaction with plants, *Trichoderma* secrete acetylxylan esterases and cutinases, which may play a role during colonization of plant root (Gonzales López et al., under review). Acetylxylan esterases and cutinases are carboxylic ester hydrolases (EC 3.1.1) involved in the breakdown of the plant cell wall components, xylan and cutin, respectively. Acetylxylan esterase from *T. reesei* is able to deacetylate both mono- and doubleacetylated xylan residues (Hakulinen et al. [2000\)](#page-189-0). A cutinase gene from *T. harzianum* T34 was characterized by Rubio et al. [\(2008](#page-193-0)). The authors overexpressed the *cut1* gene from *T. harzianum* T34 in *Pichia pastoris*, observing a high level of esterase activity when the recombinant strain of *P. pastoris* was cultured in a medium containing *p*-nitrophenyl acetate as substrate, revealing the cutinase nature of CUT1. Furthermore, *cut1* mRNA was highly induced when *T. harzianum* T34 was grown in the presence of the cutin monomer, 16-hydroxyhexadecanoic acid. The authors suggested that *Trichoderma* cutinases could facilitate the access of other

<span id="page-173-0"></span>CWDEs to cell wall polymers during the plant cell wall degradation process (Rubio et al. [2008\)](#page-193-0).

# *9.2 Insights from Proteomic Studies on the Plant Root Colonization by* **Trichoderma** *spp.*

With the advances in instrumentation, in the last few years, new methods have been used to characterize the extracellular proteome of *Trichoderma* strains during their interaction with plants, including the gel-free LC-MS/MS-based profling method, which allows for a global comparison of a whole set of proteins between two conditions. For example, Nogueira-Lopez et al. ([2018\)](#page-192-0) used a gel-free shotgun proteomic approach to characterize the secretome of *T. virens* during its interaction with *Z. mays* roots. A set of glycosyl hydrolases was particularly secreted into the plant apoplast, which are probably involved in the plant cell wall hydrolysis (Nogueira-Lopez et al. [2018](#page-192-0)). In a different study, using a gel-free LC-MS/MS approach, Lamdan et al. [\(2015](#page-190-0)) identifed 32 *T. virens* secreted proteins that were enriched during co-culture with *Z. mays* seedlings in a hydroponic culture system as compared with the fungus grown alone. Among these, ten glycoside hydrolases with putative roles in the plant cell wall degradation were found in higher abundance when the fungus was grown in the plant's presence (Lamdan et al. [2015\)](#page-190-0). Additionally, in a recent study from our laboratory, using a gel-free LC-MS/MS approach led to the identifcation of extracellular proteins of *T. atroviride* IMI 206040 and *A. thaliana* during their interaction, most of which were predicted to have a putative enzymatic function. A set of putative CWDEs was identifed in the secretome of *T. atroviride*, which increased in abundance when the fungus was grown in the presence of *A. thaliana* seedlings in a semi-hydroponic system (González-López et al. [2021](#page-188-0)). Based on these fndings, it has been proposed that, to interact with the plant, *T. virens* and *T. atroviride* secrete CWDEs that disrupt the plant cell wall facilitating the penetration of these fungi to the internal tissues (Lamdan et al. [2015](#page-190-0); Nogueira-Lopez et al. [2018;](#page-192-0) González-López et al. [2021](#page-188-0)).

### *9.3 Using "Omics" to Understand How Plants Respond to the Colonization by* **Trichoderma** *spp.*

During the initial steps of interaction with *Trichoderma*, plants secrete proteins related to defense probably to avoid an excessive damage to the roots caused by extracellular CWDEs. This hypothesis is reinforced by transcriptomic and proteomic studies that have analyzed the whole set of genes or proteins, respectively, that were differentially upregulated or downregulated during the interaction of *Trichoderma* strains with plants. In this regard, Estrada-Rivera et al. [\(2020](#page-187-0)) assessed the transcriptomic response of *T. virens* in the presence of *Arabidopsis* plants by

<span id="page-174-0"></span>RNA-seq. The main response of the fungus to the presence of the plant was the repression of genes that encode CWDEs mainly at early time points of interaction (48 and 72 h). Authors suggest that *T. virens* downregulates the expression of CWDE-encoding genes at the early step of plant root colonization to avoid the excessive damage to the plant tissue (Estrada-Rivera et al. [2020](#page-187-0)). Additionally, proteomic analysis of *T. virens* secretome revealed that a set of CWDEs, which have been predicted to be specifc to fungal cell wall degradation, decrease in abundance when the fungus was grown in the presence of *Z. mays* plants (Lamdan et al. [2015\)](#page-190-0). It is tempting to speculate that the downregulation of *Trichoderma* CWDEs is provoked, at least in part, due to the action of defense proteins that are secreted by the host plant during the early stages of interaction, as a response to the presence of *Trichoderma* (Fig. [1\)](#page-151-0). This idea is reinforced by the fact that, during interaction with *T. atroviride*, *A. thaliana* secretes protease inhibitors with known role in plant defense response, such as the unusual serine protease inhibitor UPI and the Kunitz trypsin inhibitor KTI1 (González-López et al. [2021](#page-188-0)). Additionally, a serine-type endopeptidase inhibitor and the proteinase inhibitor Pis7 were found to be secreted by *Z. mays* plants in response to the presence of *T. virens*. These proteins are probably secreted by the plant to target proteins secreted by *T. virens* in the apoplast (Nogueira-Lopez et al. [2018](#page-192-0)).

### **10 Induction of Plant Growth by** *Trichoderma* **spp.**

Many *Trichoderma* strains have been identifed as being able to stimulate plant growth. For instance, *Phaseolus vulgaris* plants inoculated with *Trichoderma* strains isolated from *P. vulgaris* soil in the feld show higher hypocotyl diameter, dry weight of aerial parts, and a more developed root system (Mayo-Prieto et al. [2020\)](#page-191-0). Barley plants inoculated with *Trichoderma* strains isolated from barley crop areas showed increased aerial and radicular dry weight and chlorophyll content compared with non-inoculated plants (Moya et al. [2020](#page-192-0)). Additionally, a greater stem diameter and soluble sugar and protein content were observed in *S. lycopersicum* seedlings treated with *T. asperellum* TaspHu1, a strain isolated from *Juglans mandshurica* rhizo-sphere (Yu et al. [2021](#page-197-0)).

Several mechanisms by which *Trichoderma* may promote plant growth have been proposed, including phosphate solubilization, production of VOCs and phytohormones, as well as production of 1-aminocyclopropane-1-carboxylate (ACC) deaminase (Viterbo et al. [2010;](#page-196-0) Estrada-Rivera et al. [2019\)](#page-187-0) (Fig. [1](#page-151-0)). Phosphate solubilization has been related to the extracellular phytase and acid phosphatase activities in several *Trichoderma* strains (Saravanakumar et al. [2013](#page-194-0); Tandon et al. [2020\)](#page-195-0). Phytases are phosphatases that hydrolyze phytic acid (the primary form of organic phosphate in many soils) preferentially into inositol and inorganic phosphorus (Pi) that plants and microorganisms can take up for their metabolism (Corrêa and de Araújo [2020\)](#page-186-0). Acid phosphatases have been purifed from *Trichoderma* strains, including *T. asperellum* Q1 (Zhao et al. 2017) and *T. harzianum* ALL42 (Souza et al. [2016](#page-194-0)). Acid phosphatases hydrolyze phosphomonoester and amide substrates,

<span id="page-175-0"></span>thereby transforming organic phosphate into a soluble inorganic form (Schenk et al. [2013\)](#page-194-0). *Trichoderma* sp. TSK8, a phosphate-solubilizing strain isolated from the rhizosphere of mangrove (*Avicennia marina*), enhances total mangrove seedlings' biomass when inoculated in the plant roots in the presence of soluble super phosphate  $(KH_2PO_4)$  as a phosphate source (Saravanakumar et al. [2013](#page-194-0)).

Furthermore, the ability of *Trichoderma* to promote plant growth has been attributed to the capability of this genus to produce VOCs (Fig. [1](#page-151-0)). The exposure to VOCs emitted by *T. asperellum* T1 increases numbers of *L. sativa* roots and leaves, plant biomass, and total chlorophyll content (Wonglom et al. [2020](#page-196-0)), and the VOC 6-PP enhances root branching of *A. thaliana* (Estrada-Rivera et al. [2019\)](#page-187-0). Additionally, VOCs emitted by *T. azevedoi* CEN1241 promote plant growth and increase the content of carotenoids and chlorophyll in *L. sativa* plants (Silva et al. [2021\)](#page-194-0).

Gravel et al. [\(2007](#page-188-0)) proposed that the synthesis of IAA through tryptophandependent pathways by *T. atroviride* affects the growth of *S. lycopersicum* seedlings, and the regulation in the concentration of IAA in the rhizosphere promotes the fruit yield and stem growth of *S. lycopersicum* plants (Gravel et al. [2007](#page-188-0)). Moreover, *T. atroviride* and *T. virens* produce indole-3-acetic acid-related compounds, which are involved in plant growth promotion (Gravel et al. [2007;](#page-188-0) Salas-Marina et al. [2011\)](#page-194-0) (Fig. [1\)](#page-151-0). *Trichoderma* sp. DEMTkZ3A0, a strain isolated from a healthy rye rhizosphere, produces indoleacetic acid and gibberellic acid in liquid medium and colonizes the rhizoplane of *Triticum aestivum* seedlings and causes an increase of the stem weight of *T. aestivum* seedlings (Jaroszuk-ściseł et al. [2019](#page-189-0)).

On the other hand, it has been observed that the inoculation of plants with different strains of *Trichoderma* could result in reduced ethylene (ET) production, due to the activity of the ACC deaminase, which degrades its precursor 1-aminocycloprop ane-1-carboxylic acid (ACC) to produce α-ketobutyrate and ammonia (Todorovic and Glick [2008](#page-195-0)) (Fig. [1](#page-151-0)). High levels of ethylene in plants stimulate auxin biosynthesis, which is then distributed toward the elongation zone of the root tip, where it causes inhibition of cell elongation and overall root growth (Růžička et al. [2007\)](#page-194-0). The role of *Trichoderma* ACC deaminase in plant growth promotion was demonstrated in a study by Viterbo et al. [\(2010](#page-196-0)). Authors found that silencing the ACC gene *acds* in *T. asperellum* T203 by RNAi results in a decreased ability of the mutant strain to promote root elongation of canola (*Brassica napus*) seedlings (Viterbo et al. [2010\)](#page-196-0).

# *10.1 Genes and Proteins Related to Plant Growth Stimulated by* **Trichoderma** *spp.*

Recent transcriptomic- and proteomic-based studies revealed the induction of genes and proteins of the host plant as a response to the colonization by *Trichoderma* spp. For example, De Palma et al. [\(2019](#page-186-0)) characterized the transcriptomes expressed in <span id="page-176-0"></span>*S. lycopersicum* roots during a course of interaction with *T. afroharzianum* and compared them with non-inoculated control plants using the RNA-seq method. A total of 1243 *S. lycopersicum* transcripts were found to be differentially expressed across experimental time points of the interaction with *T. afroharzianum*. Singularly, the fungus triggered the expression of a set of genes encoding proteins involved in transport of nutrients and the downregulation of a gene encoding the transcriptional regulator SIMYB93 (De Palma et al. [2019\)](#page-186-0). In *Arabidopsis*, MYB93 is a negative regulator of lateral root development, and its expression is induced by auxin in the basal meristem of the primary root (Gibbs et al. [2014](#page-188-0)). Membrane transport proteins play crucial roles in the uptake of nutrients and water from the soil, supporting diverse biological processes in plants including photosynthesis and plant growth (Zelazny and Vert 2014). Induction of transporter genes in the host plants by *T. afroharzianum* indicates a strong effect of these fungi on plant nutrition process that is part of its growth-promoting ability (De Palma et al. [2019](#page-186-0)). Coppola et al. [\(2019](#page-186-0)) studied the transcriptomic changes in *S. lycopersicum* plants induced by *T. afroharzianum* colonization. They observed a wide transcriptomic reprograming in the plant induced by *T. afroharzianum* associated with several biological processes. Among them, genes associated with the "photosynthesis-related mechanism" process were found to be induced, particularly genes related to photosynthesis and chlorophyll biosynthesis (Coppola et al. [2019\)](#page-186-0). Also, the transcript that encodes for the small subunit of the key  $CO<sub>2</sub>$  fixation enzyme ribulose-1,5-bisphosphate carboxylase (rubisco) and the oxygen-evolving enhancer protein 3–1 was increased in leaves of *Z. mays* colonized by *T. virens*, consequently improving the photosynthetic rate. This process is dependent on *T. virens* invertase, a glycoside hydrolase involved in sucrose degradation (Vargas et al. [2009](#page-196-0)). Interestingly, proteomic analysis of the *A. thaliana* secretome root inoculated with *T. atroviride* revealed that, in response to the fungus, the plant secretes enzymes related to photorespiration, including rubisco and the glutamate:glyoxylate aminotransferase 1 (GGAT1), which is involved in the detoxification of glyoxylate (González-López et al. [2021\)](#page-188-0). However, why these proteins would be secreted by *Arabidopsis* during interaction with *T atroviride* remains unclear.

### **11 Priming for Plant Defense Induced by** *Trichoderma* **spp.**

Many strains of *Trichoderma* are well-known for their ability to protect host plants from plant pathogenic microorganisms by triggering the expression of genes related to the plant defense response both locally and systemically (Fig. [1](#page-151-0)). Induction of defense-related genes by *Trichoderma* species is related with a physiological phenomenon known as priming. Priming consists in the preactivation of molecular mechanisms of plant defense, which can be extended systematically at distal sites of the plant, enabling a faster and robust plant response to subsequent attacks by plant pathogenic microorganisms (Gupta and Bar [2020\)](#page-189-0). In this regard, Aamir et al. [\(2019](#page-184-0)) found that *S. lycopersicum* plants primed with *Trichoderma erinaceous* show an enhanced accumulation of defense-related *WRKY* transcripts in roots and leaves and a higher number of lignifed cell layers related to the reinforcement of plant cell wall (Aamir et al. [2019\)](#page-184-0). In *S. lycopersicum* plants, *T. atroviride* induces both SAR- and induced systemic resistance (ISR)-related genes, as well as systemic protection against some plant pathogenic microorganisms including *A. solani*, *B. cinerea*, and *P. syringae* (Salas-Marina et al. [2015\)](#page-194-0). SAR and ISR are two forms of induced resistance in plants that are activated in response to plant pathogenic microorganisms and benefcial microbes, respectively (Pieterse et al. [2014\)](#page-193-0). Activation of SAR results in local and systemic increased levels of the hormone SA and the subsequent activation of genes encoding pathogenesis-related (PR) proteins (Pieterse et al. [2014\)](#page-193-0). ISR is activated without the accumulation of SA but requires JA and ET signaling pathways and is related to the expression of defense-related genes, including *PDF1.2* (Van Oosten et al. [2008\)](#page-195-0). Activation of genes related to phytohormone signaling by *Trichoderma* strains has been reported in several studies. For instance, inoculation of *T. longibrachiatum* H9 to *C. sativus* roots triggers the expression of JA-, ET-, and SA-related genes (Yuan et al. [2019a\)](#page-197-0), whereas inoculation of *T. virens* to *A. thaliana* plants induces the expression of the SA- and JA/

ET-responsive genes *PR-1a* and *PDF1.2*, respectively (Estrada-Rivera et al. [2020\)](#page-187-0), and *T. afroharzianum* triggers the expression of the JA−/ET-responsive marker *PR3* in roots of sugar beet plants (Schmidt et al. [2020](#page-194-0)). Additionally, *T. harzianum* T-78 primes SA- and JA-dependent defenses in roots of *S. lycopersicum* plants, which limits root invasion by the nematode *Meloidogyne incognita* (Martínez-Medina et al. [2017a](#page-191-0)).

In the last years, microarray and RNA-seq technologies have been used in diverse studies to investigate the whole set of genes of the host plants that are differentially expressed during their interaction with a number of *Trichoderma* species, including genes related to the defense against an increasing number of plant pathogenic microorganisms (Sharma et al. [2017](#page-194-0)). Rubio et al. [\(2019](#page-185-0)) used a microarray approach to investigate the early global transcriptomic changes in *Triticum aestivum* seedlings' roots induced by *T. harzianum* T34. Authors found that, among the genes that were differentially modulated, several genes related to plant defense were upregulated in plant roots at early stages of the interaction with *T. harzianum*, including two genes encoding ethylene response factors (ERFs) and a gene encoding a xylanase inhibitor (Rubio et al. [2019\)](#page-185-0). ERFs represent one of the largest families of transcription factors in plants that regulate molecular response to plant pathogens' attack and to abiotic stresses (Müller and Munné-Bosch [2015\)](#page-192-0). In *A. thaliana*, ERF8 has a positive role in the resistance against *P. syringae* and induces cell death through its transcriptional repression activity (Cao et al. [2018\)](#page-186-0). Plant xylanase inhibitors inhibit the activity of microbial xylanases, which are glycoside hydrolases that break down the plant cell wall polysaccharide xylan and have been related to plant defense against plant pathogenic microorganisms, including *B. cinerea* (Tundo et al. [2020](#page-195-0)) and *Magnaporthe oryzae* (Sun et al. [2018](#page-195-0)). In another study, RNA-seq was used to assess global gene expression profle of *A. thaliana* during the establishment of the interaction with the benefcial fungus *T. atroviride* IMI 206040. This approach revealed an increased expression level of genes encoding proteins of the JA pathway such as *PAD3*, *JAZ1*, *JAZ6*, and *LOX1* compared with non-inoculated plants. Interestingly, the authors found that all these genes are upregulated at a higher level when the plant is grown in the presence of a mutant strain of *T. atroviride* afected in its regulatory subunit of NADPH oxidase (Δ*noxr*) compared with plants inoculated with the wt strain, indicating that NOXR mediates the host defense response in *A. thaliana* (Villalobos-Escobedo et al. [2020\)](#page-196-0). In phytopathogenic fungi, NADPH oxidases are required for pathogenicity through the production of ROS (Egan et al. [2007](#page-187-0)). In *T. atroviride*, NOXR plays a role in the response of the fungus to stress conditions, including osmotic, oxidative, membrane, and cell wall stresses, and in the antagonistic activity of *T. atroviride* against *R. solani* and *S. sclerotiorum* (van Zijll de Jong et al. [2019](#page-196-0)).

In addition to transcriptomic analysis, some studies have used proteomic approaches to analyze the expression of plant proteins induced by *Trichoderma* with potential roles in the plant response to plant pathogenic microorganisms. Pereira et al. [\(2014](#page-193-0)) used 2DE-MALDI-TOF-MS to evaluate the ability of *T. harzianum* ALL42 to promote a defense response of *Phaseolus vulgaris* plants in the presence or in the absence of *R. solani* and *Fusarium solani*. These authors constructed proteomic maps using roots and leaves of plants challenged with *T. harzianum* in the presence or absence of a plant pathogen. MS analysis of 2DE-derived spots led to the identifcation of a PR-like protein and an acyl-CoA-binding protein (ACBP), which were found to be upregulated in the root maps of *P. vulgaris* challenged with *T. harzianum* in comparison with unchallenged plant roots. Furthermore, *T. harzianum* induced the expression of a cinnamoyl-CoA reductase (CCR) in leaves of *P. vulgaris* plants. When plants were double-challenged with *T. harzianum* and *R. solani*, a higher expression level of a histone acetyltransferase complex component and a NAC1 domain protein was observed in *P. vulgaris* roots in comparison with that obtained for plants in the presence of *R. solani* alone. These findings may suggest that *T. harzianum* potentiates *P. vulgaris* response to plant pathogenic microorganisms through the induction of defense-related proteins (Pereira et al. [2014\)](#page-193-0). The role of ACBPs in the defense response of plants has been reported in some studies. In *A. thaliana*, the acyl-CoA-binding proteins ACBP3, ACBP4, and ABCP6 are required for cuticle development, as well as for the defense against bacterial and fungal plant pathogens (Xia et al. [2012](#page-197-0)). Transgenic *A. thaliana* lines overexpressing the acyl-CoA-binding protein 5 (ACBP5) from *O. sativa* show enhanced resistance against *P. syringae* and fungal necrotrophs (De Oliveira et al. [2011;](#page-186-0) Panthapulakkal Narayanan et al. [2019](#page-193-0)). NAC1 domain proteins belong to the NAC family of transcription factors and play critical roles in plant immune response against plant pathogenic microorganisms by acting as positive or negative regulators of immunity-related genes (Yuan et al. [2019c](#page-197-0)).

# <span id="page-179-0"></span>**12** *Trichoderma* **Proteins that Act as Elicitors and Effector-like Proteins**

To colonize and establish an association with plants, microorganisms such as bacteria, fungi, and oomycetes must deliver a suite of molecules known as effectors. These molecules include proteins, SMs, and nucleic acids (i.e., small RNAs) (Collemare et al. [2019;](#page-186-0) Liu et al. [2019;](#page-190-0) Rebolledo-Prudencio et al. [2020,](#page-193-0) [2021\)](#page-193-0). Effectors are molecules that manipulate the host cell physiology to suppress its immunity (Hadar et al. [2020](#page-189-0); Snelders et al. [2018\)](#page-194-0). Effectors can be attached to the fungal cell wall forming a barrier that protects the fungal hyphae from degradation by plant hydrolytic enzymes, while others can act in the plant apoplast acting at the extracellular host-microbe interface. Some effectors can even translocate to distinct subcellular locations within the host cell where they target proteins that participate in diverse processes such as transcription, signaling, cellular trafficking, metabolism, and protein regulation, among other processes, which generally entail the suppression of host immunity (He et al. [2020;](#page-189-0) Liu et al. [2019](#page-190-0); Rocafort et al. [2020\)](#page-193-0). Compared with fungal plant pathogens, relatively few of these proteins have been functionally characterized in beneficial fungi. Because the structural characteristics of benefcial fungal effectors resemble those of pathogenic fungi, like the presence of known effector motifs, the small size, and the presence of several cysteine residues, these are termed here as effector-like proteins.

With the advent of omics and the creation of new bioinformatics tools, important advances have been made to identify potential effector-like proteins in benefcial fungi (Guzmán-Guzmán et al. [2017;](#page-189-0) Sperschneider et al. [2018](#page-195-0)), including the prediction of their subcellular localization and action into the host cell (Sperschneider et al. [2017\)](#page-195-0). Genome sequence analyses of 3 *Trichoderma* species revealed a total of 233 effector-like proteins grouped into 18 families. In particular, the *lysm1* gene (encoding a LysM repeat family protein) from *T. virens* and the *trx2* gene from *T. atroviride* (encoding a thioredoxin family protein), among others, were found to be upregulated in the presence of *A. thaliana* (Guzmán-Guzmán et al. [2017](#page-189-0)).

Lysin motifs (LysM) are carbohydrate-binding modules that are present in diverse organisms including bacteria, plants, and mammals. They bind to N-acetylglucosamine (GlcNAc)-containing carbohydrates, such as chitin and peptidoglycan (Akcapinar et al. [2015](#page-185-0); Liu et al. [2019](#page-190-0)). Experimental evidence of the participation of LysM proteins from *T. atroviride* during its association with plants has been reported recently. Tal6 is an effector protein produced by *T. atroviride* that binds to chitin oligomers localized on the fungal cell wall, conferring a protective barrier against plant chitinases and enhancing its mycoparasitic activity. Plants inoculated with *T. atroviride* Tal6-overexpressing strain showed better root and stem growth compared to the plants grown in the presence of *tal6* mutant or the wt strain (Romero-Contreras et al. [2019\)](#page-193-0). Furthermore, the upregulation of *Trichoderma* spp. genes encoding LysM proteins, as well as their identifcation in secretomes during interaction with their host plant, supports the hypothesis that *Trichoderma* spp. also employ LysM proteins as effectors to enhance their interaction with plants
(Fig. [1](#page-151-0)) (Guzmán-Guzmán et al. [2017](#page-189-0); González-López et al. [2021\)](#page-188-0). All these fndings suggest that the strategies used by benefcial fungi to interact with host plants are very similar to those used by fungal plant pathogens (Romero-Contreras et al. [2019\)](#page-193-0).

The cerato-platanin family (CPF) proteins are fungal small-secreted cysteinerich proteins (SSCPs) that act as effectors or elicitors of defense responses (Gao et al. [2020](#page-188-0); Yang et al. [2017](#page-197-0)). A particular feature of all CPF proteins is the presence of four cysteine residues involved in the formation of two disulfde bonds (Luti et al. [2017\)](#page-191-0). Experimental data suggest that CPF proteins play important roles during *Trichoderma*-plant interaction working as pathogen-associated molecular patterns (PAMPs). Depending on the species, *Trichoderma* spp. encode several CPF proteins (i.e., three in *T. atroviride* IMI 206040 and three in *T. virens* Gv29–8) that have been identifed by broad-scale genome and secretome analysis (Cai et al. [2020;](#page-186-0) Gao et al. [2020;](#page-188-0) Kubicek et al. [2019](#page-190-0)). In this regard, a genome analysis for CPF proteinencoding genes in *Trichoderma* spp. shows that *epl1*, *epl2*, and *epl3* are conserved in 37 *Trichoderma* genomes. Particularly, *epl1* from *Trichoderma* sp. NJAU4742 is expressed during its interaction with *S. lycopersicum* seedlings. Its corresponding mutant strain increases its capability to colonize the plant roots compared to the wild-type strain (Gao et al. [2020\)](#page-188-0). CPF proteins have been studied with considerable details in *Trichoderma* spp. For instance, SM1 from *T. virens*, EPL1 from *T. atroviride*, and EPL1 from *T. harzianum* induce the upregulation of defenserelated genes in the plant, like *PAL* (Phe ammonia-lyase), *LOX1* (lipoxygenase), *GLU1* (β-1,3-glucanase), and  $\alpha$ -*DOX1* ( $\alpha$ -dioxygenase) genes (Djonović et al. [2007;](#page-187-0) Gomes et al. [2015;](#page-188-0) Salas-Marina et al. [2015\)](#page-194-0).

## *12.1 Identifcation of Effector-Like Proteins in Trichoderma spp. by Using "Omics" Approaches*

Considerable progress has been made in the study of *Trichoderma*-plant interaction with the use of transcriptomic and proteomic technologies. For example, using a gel-free shotgun proteomic approach, Lamdan et al. ([2015\)](#page-190-0) identifed 280 proteins that are secreted by *T. virens* during its interaction with *Z. mays* seedlings. Of these proteins, 32 were upregulated by the presence of plant roots. In addition, 34 proteins were downregulated, including 13 SSCPs, which probably act as negative effectorlike proteins (Lamdan et al. [2015](#page-190-0)). In a different study of *T. virens* Gv29–8 apoplastic secretome, during its interaction with *Z. mays* plants under hydroponic conditions, 43 proteins secreted into the apoplast were identifed, which included effector-like proteins, hydrolytic enzymes, and proteins that participate in secondary metabolism, among others (Nogueira-Lopez et al. [2018\)](#page-192-0). More recently, using a gel-free shotgun proteomic approach, 77 proteins were identifed to be upregulated in *T. virens* in response to banana (*Musa* spp.) roots, including glycoside hydrolases and SSCPs (Muthukathan et al. [2020](#page-192-0)). In addition, global transcriptomic analyses of *T. virens* revealed that genes encoding effector-like proteins were upregulated

during interaction with *Z. mays* and *A. thaliana* roots (Estrada-Rivera et al. [2020;](#page-187-0) Malinich et al. [2019](#page-191-0)). In our group, a considerable number of predicted effector-like proteins have been identifed in the secretome of *T. atroviride* during its interaction with *A. thaliana* seedlings grown in a semi-hydroponic system. These proteins belong to N-acetyltransferase (NAT), cerato-platanin, chaperonin, fungal hydrophobin, LysM domain, redoxin, thioredoxin, endoribonuclease L-PSP, exonuclease, and single-strand binding protein families, among others (González-López et al. [2021\)](#page-188-0).

## **13 Secondary Metabolites of** *Trichoderma* **spp. that Act as Elicitors**

When interacting with plants, *Trichoderma* spp. produce a wide variety of SMs including NRPs, pyrones, and terpenoids (Pascale et al. [2017](#page-193-0); Wu et al. [2017\)](#page-197-0). Application of *T. atroviride* or its SMs induces signifcant promotion of plant growth (Estrada-Rivera et al. [2019](#page-187-0); Contreras-Cornejo et al. [2020](#page-186-0)). In addition to plant growth promotion, SMs could also act as elicitors/effectors stimulating or modulating the immune response in plants that result in resistance against the attack by plant pathogenic microorganisms.

In this regard, the 6-PP is a favoring agent that has been reported to have plant growth-promoting properties (Estrada-Rivera et al. [2019;](#page-187-0) Pascale et al. [2017\)](#page-193-0). For example, treatment of *Vitis vinifera* plants with both *T. afroharzianum* strain and 6-PP improved crop yield. It increased the total amount of polyphenols and antioxidant activity in grapes, which indicated that 6-PP produces also positive effects similar to the application of live fungus (Pascale et al. [2017\)](#page-193-0). In addition, it has been reported that 6-PP has the potential to elicit plant resistance against plant pathogenic microorganisms (Farh and Jeon [2020](#page-187-0); Hamrouni et al. [2019](#page-189-0)). The ability of 6-PP produced by *T. atroviride* (strain P1), *T. afroharzianum*, and *T. harzianum* (T39 and A6 strains) to act as an elicitor *in planta* was investigated by Vinale et al. [\(2008a\)](#page-196-0). In their study, an overexpression of genes encoding pathogenesis-related (PR) proteins was detected in *S. lycopersicum* seedlings treated with 6-PP. Moreover, disease symptoms caused by *B. cinerea* are signifcantly reduced in 6-PP-treated plants (Vinale et al. [2008a](#page-196-0), [b](#page-196-0))*.* Interestingly, the exposure of *A. thaliana* roots to 6-PP modulates the expression of genes encoding PIN family of auxin transporters, including *PIN1*, *PIN2*, *PIN3*, and *PIN7*, indicating that root responses to 6-PP involve components of auxin transport and signaling (Garnica-Vergara et al. [2016\)](#page-188-0). Upregulation of phytohormone-related genes, including *PIN3* and *PIN7*, was also observed in *Arabidopsis* plants inoculated with a 6-PP-overproducing strain of *T. atroviride* with a deletion of the histone deacetylase-2 (*hda-2*) gene (Estrada-Rivera et al. [2019](#page-187-0)). In another study, Dini et al. [\(2020](#page-187-0)) reported that olive trees (*Olea europaea*) exposed to 6-PP increased the concentration of polyphenols in both leaves and oil (Dini et al. [2020\)](#page-187-0).

Besides 6-PP, *Trichoderma* also secrete other SMs including peptaibols. Peptaibols are short peptides of 5–21 residues that are biosynthesized by NRPSs. These membrane-active compounds form linear helical structures, some of which form ion channels, creating holes in the lipid bilayer membranes making them leaky and inducing cell death (Marik et al. [2019\)](#page-191-0). It has been found that the peptaibol alamethicin (ALA) produced by *T. viride* induces systemic resistance in plants. Engelberth et al. ([2001\)](#page-187-0) found that ALA from this fungus triggers the production of VOCs in lima bean (*Phaseolus lunatus*). Particularly, two homoterpenes were induced by ALA, 4,11-dimethylnona-1,3,7-triene and 4,8,12-trimethyltrideca-1,3,7,11-tetraene, as well as methyl salicylate and JA (Engelberth et al. [2001\)](#page-187-0).

Because peptaibols induce cell lysis when aggregated in the cell membranes, it is necessary that plant cells protect themselves from fungal peptaibols to establish a successful relationship with *Trichoderma* strains. In this regard, Dotson et al. [\(2018](#page-187-0)) found that *Arabidopsis* root apical meristem and epidermis were permeabilized by alamethicin from *T. arundinaceum*, but not if pretreated with cellulase. Authors hypothesized that plant roots contain cells sensitive to peptaibols that detect cellulases from *Trichoderma* strains, which in turn induce protection against alamethicin (Dotson et al. [2018\)](#page-187-0).

Another SM produced by *Trichoderma* spp. is harzianolide, which has been investigated for its effect on plant growth promotion and induction of ISR in plants (Vinale and Sivasithamparam [2020](#page-196-0)). Cai et al. ([2020\)](#page-186-0) found that purifed harzianolide increases the expression of genes involved in the SA and JA/ET signaling pathways and promotes *S. lycopersicum* seedlings' growth. These results indicate that harzianolide also plays a role in both plant growth regulation and plant defense responses (Fu et al. [2013\)](#page-188-0). All these fndings suggest that some SMs produced by *Trichoderma* may play important roles as signals to modulate plant morphogenesis and immunity. In the future, molecular, physiological, and biochemical studies of SMs will allow for a better understanding of the mechanisms by which plants sense these fungal metabolites to establish a symbiotic association with *Trichoderma*.

## *13.1 The Role of VOCs in Plant Immunity During*  **Trichoderma***-Plant Interaction: A Metabolomic Approach*

A plethora of VOCs has been identifed in *Trichoderma*, showing a great variability in structure and function. It has been shown that some VOCs produced by *Trichoderma* spp. have a dual role promoting plant growth and playing critical roles in mycoparasitism as well. GC-MS analysis of 20 *Trichoderma* strains identifed as much as 141 unique VOCs per strain (Lee et al. [2016\)](#page-190-0). Moreover, during the *T. longibrachiatum*-*O. sativa* interaction, the fungus produces up to 138 different VOCs. These compounds inhibit the growth of the plant pathogens *S. rolfsii* and *M.* 

*phaseolina* (Sridharan et al. [2020\)](#page-195-0). Even when *Trichoderma* are not in contact with another organism, they can produce VOCs. Using proton transfer reaction time-offight MS and GC-MS, VOC production of *T. harzianum*, *T. hamatum*, *T. reesei*, and *T. velutinum* was quantifed in vitro*.* These *Trichoderma* species produced monoterpenes, sesquiterpenes, alcohols, acyclic alkenes, alkanes, aldehydes, ketones, acids, benzenoids, and esters (Guo et al. [2020\)](#page-189-0). To date, a complete picture for the VOC production and synthesis regulation is not available; however, progress has been made in this feld in the last years. In this regard, *T. atroviride* can produce a great variety of VOCs both in free life and when associated with plants. Using GC-MS, the volatile compounds produced by *T. atroviride* wt strain and a mutant in the histone deacetylase (Δ*hda*-2) were identifed when grown on PDA media. A total of 28 VOCs were identifed, and the compounds were assigned to alcohols; ketones; mono-, di-, and sesquiterpenes; alkanes; and pyrones. The wt strain produced the ketones 2-heptanone and 3-octanone as the most abundant compounds, whereas for the mutant strain, it was 6-PP. Four VOCs were exclusive for the wt strain, including 3-octanone, 2-heptanol, 3-octanol, and 1-octen-3-ol, whereas 17 volatiles were unique for the Δ*hda*-2, including 6 new terpenes, 2-octanone, 3 unknown ketones, γ-terpinene, α-zingiberene, β-sesquiphellandrene, 2 unknown alcohols, 1 unknown alkane, and 1 unknown phenol. Compounds such as 2-heptanone, 2-pentylfuran, 2-nonanone, unknown terpene, 2-undecanone, 6-PP, and β-curcumene were common to both the wild-type and Δ*hda-2* strains (Estrada-Rivera et al. [2019\)](#page-187-0). Furthermore, VOCs can regulate diverse processes, such as iron uptake and triggering ISR in plants. The transcription factor MYB72 from *A. thaliana* is one of the main regulators of ISR in plants. In addition, MYB72 regulates iron uptake when plants are in limiting conditions of this metal. *Arabidopsis* roots were exposed to the volatile compounds of *T. asperellum* or *T. harzianum* in a split-plate system, showing that the VOCs produced by these strains can induce the expression of *MYB72*, thereby regulating the induction of the ISR, as well as the regulation of the iron

It is expected that under interaction with its prey or another related fungus, *Trichoderma* might synthesize different sets and quantities of VOCs. Under this condition, an important number of SMs might be exchanged among fungi. In soil, *Trichoderma* species can share their niche with fungi of the same genus; however, the molecular mechanisms of the interaction remain unclear. During the co-cultures of *T. harzianum*, *T. hamatum*, and *T. velutinum* with the ectomycorrhizal fungus *L. bicolor*, each *Trichoderma* species shows different VOC profles, without shared compounds among the three strains. The most abundant compounds detected were the oxygenated monoterpene "tetrahydrocarvone" and the monoterpene "γ-terpinene" for *T. harzianum* and *T. hamatum*, respectively. *T. velutinum* emitted the lowest number of VOCs without detecting a majority compound. On the other hand, *T. harzianum* is the strongest and most diverse VOC producer followed by *T. hamatum*. These results suggest that organisms from the same genera can interact differently with other fungal mutualistic species of plants (Guo et al. [2019](#page-189-0)).

uptake in the plant (Martínez-Medina et al. [2017b](#page-191-0)).

#### **14 Concluding Remarks**

Over the past few decades, omics technologies, including genomics, transcriptomics, proteomics, and metabolomics, have been particularly useful for the identifcation of genes, proteins, and SMs of *Trichoderma* strains that would potentially be involved in mycoparasitism, as well as in the interaction with their host plant. Genome sequencing of *Trichoderma* species has led to the enrichment of transcriptomic and proteomic databases, which have been used to identify many genes and proteins of *Trichoderma* spp. involved in the recognition, attachment, and degradation of structural components of the prey fungus, as well as proteins related to plant root colonization and biological processes associated with plant growth promotion. RNA-seq is becoming the most utilized method to analyze the whole set of *Trichoderma* spp. genes that are differentially expressed under different biological conditions, particularly in response to a determined host fungus, or during interaction with the host plant. Using this method, many studies have identifed a large amount of genes related to the biosynthesis of SMs and VOCs and genes that encode regulatory proteins, including hydrophobins, glycoside hydrolases, and effectorlike proteins. Many of these genes may play important roles in the mycoparasitic activity of *Trichoderma* strains against plant pathogenic microorganisms and in plant growth promotion or activation of plant defense responses against diverse plant pathogenic microorganisms by acting as elicitors. During the last few decades, 2DE and gel-free shotgun methods coupled to MALDI-TOF-MS or LC-MS/MS technologies have been used mainly to characterize the extracellular proteome of *Trichoderma* strains during growth in presence of components of their host fungus (i.e., cell walls) or during interaction with plants, revealing a number of extracellular proteins related mainly with the hydrolysis of components of both plant and fungal cell walls, as well as proteins involved in plant growth promotion (i.e., phytases and acid phosphatases that solubilize organic phosphate sources from soil). In the future, these sophisticated technologies can be used to unveil the whole set of intracellular proteins that are differentially expressed during *Trichoderma*-plantpathogen interaction and, then, select relevant proteins to elucidate their biological role through functional studies. The latter studies will allow us to better understand how these benefcial fungi confer benefts to their host plant and how they control diverse soilborne plant pathogenic microorganisms.

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# **Part III Biology and Genetics of** *Trichoderma* **Interactions with Plants**

## **The Role of Secondary Metabolites in Rhizosphere Competence of** *Trichoderma*



**Hexon Angel Contreras-Cornejo, Lourdes Macías-Rodríguez, and John Larsen**

#### **Contents**



H. A. Contreras-Cornejo  $(\boxtimes)$ 

L. Macías-Rodríguez

Instituto de Investigaciones Químico-Biológicas, Universidad Michoacana de San Nicolás de Hidalgo, Morelia, Michoacán, Mexico

J. Larsen

Instituto de Investigaciones en Ecosistemas y Sustentabilidad, Universidad Nacional Autónoma de México, Morelia, Michoacán, Mexico

Instituto de Investigaciones en Ecosistemas y Sustentabilidad, Universidad Nacional Autónoma de México, Morelia, Michoacán, Mexico

Instituto de Investigaciones Químico-Biológicas, Universidad Michoacana de San Nicolás de Hidalgo, Morelia, Michoacán, Mexico e-mail: [hcontreras@cieco.unam.mx](mailto:hcontreras@cieco.unam.mx)

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## <span id="page-200-0"></span>**1 Introduction**

*Trichoderma* species<sup>1</sup> are soilborne fungi that have a prolific growth in soils rich in organic matter although some strains have been isolated from aquatic ecosystems (Shi et al. [2020a;](#page-229-0) Haouhach et al. [2020](#page-225-0)). Around 1920, these fungi were recognized as microorganisms for biological control of plant pathogens (Harman [2006;](#page-225-0) Zeilinger et al. [2016](#page-231-0)). To date, a broad number of species have been molecularly typifed. Most frequently isolated fungal species are *Trichoderma atroviride*, *Trichoderma virens*, and *Trichoderma harzianum* (Macías-Rodríguez et al. [2020\)](#page-226-0). In addition to the mentioned fungal strains, also *T. viride*, *T. parareesei*, *T. longibrachiatum*, *T. gamsii*, *T. citrinoviride*, and *T. asperellum* are among the species frequently investigated due to their importance in medicine, biotechnology, and agriculture (Schuster and Schmoll [2010](#page-229-0); Mukherjee et al. [2013;](#page-227-0) Crutcher et al. [2013\)](#page-223-0). *Trichoderma* spp. while growing in their natural niche produce several secondary metabolites that include nitrogen and sulfur-containing metabolites that naturally possess different chemical structures. Important efforts have been made to understand the effects of *Trichoderma* in the rhizosphere when interacting with other plants, microorganisms, and arthropods (Martínez-Medina et al. [2017a](#page-227-0), [b;](#page-227-0) Contreras-Cornejo et al. [2019\)](#page-223-0).

Under natural or in vitro conditions *Trichoderma* interact with plants via the emission of volatile organic compounds (VOCs) or establish physical contact with the root that can result in the formation of close associations either endophytic or in the rhizosphere. Depending of the mode of interaction, the fungus may induce biochemical changes in its host and induce the accumulation of key metabolites (i.e., phytoalexins and phytohormones), which are a potent weapon for plant immunity which result effective against multiple pathogens and arthropod pests (Manganiello et al. [2018](#page-226-0); Villalobos-Escobedo et al. [2020](#page-230-0)). Furthermore, *Trichoderma* cause profound plant phenotypic changes and can alter endogenous signaling events that lead to the modulation of gene expression and biochemical events (De Palma et al. [2019\)](#page-223-0). Chemical studies with plants as *Arabidopsis thaliana*, tomato, and maize revealed that *Trichoderma* spp. can modulate the levels of phytohormones, carbohydrates, amino acids, and green leaf and other plant volatiles (Battaglia et al. [2013](#page-221-0); Macías-Rodríguez et al. [2020\)](#page-226-0).

One of the most outstanding activities of *Trichoderma* in the rhizosphere is the biocontrol of plant pathogens. This is a very complex phenomenon that involves the physical contact of *Trichoderma* with its fungal prey (mycoparasitism) and the activity of hydrolytic enzymes. The biocontrol of *Trichoderma* involves also the production of antibiotic compounds (antibiosis). Due to the multiple benefcial effects of *Trichoderma* on plants of economic importance, different fungal strains have been used in formulations as bioinoculants to be applied in soils of culturable lands and improve the plant yield. This book chapter describes the main fndings about the performance of *Trichoderma* spp. on the rhizosphere and their effects on other organisms of ecological relevance.

#### <span id="page-201-0"></span>**2 Natural Habitats of** *Trichoderma*

These fungi can be found in the environment as sexual telemorphic stage and as asexual or anamorphic stage (Druzhinina et al. [2011\)](#page-223-0). These ascomycete fungi are present in both terrestrial and aquatic ecosystems (Gal-Hemed et al. [2011](#page-224-0); Carreras-Villaseñor et al. [2012](#page-222-0); Guo et al. [2020\)](#page-225-0). For example, *T. asperellum* cf44-2 is a marine strain isolated as endophyte from the algae *Sargassum* sp. and other 29 strains isolated from Mediterranean *Psammocinia* sp. sponges (Song et al. [2018\)](#page-229-0). Some strains have been isolated from tree bark as the case of *T. atroviride* that was associated with *Acacia* sp. and *T. harzianum* CICR-E isolated from *Eucalyptus* sp. (Mukherjee et al. [2014\)](#page-227-0). To date, at least 300 species of *Trichoderma* are recognized (Bissett et al. [2015](#page-221-0); Marik et al. [2019](#page-226-0)). Although *Trichoderma* spp. are cosmopolitan fungi, some species such as *T. parareesei*, *T. reesei*, *T. pseudokoningii*, or *T. novae-zelandiae* are geographically restricted (Druzhinina et al. [2012\)](#page-224-0).

*Trichoderma* spp. are fungi highly active in the rhizosphere, where they fulfll multiple functions. Figure 1 shows the kind of interactions that these fungi establish in the environment. A predominant feature of *Trichoderma* is fast growth on the substrate that is colonized and the high production of conidia (Dautt-Castro et al. [2020;](#page-223-0) Mota et al. [2019](#page-227-0)). A number of strains have been isolated from soils rich in organic matter where they thrive as saprotrophs, but they can have a biotrophic lifestyle (Zachow et al. [2016\)](#page-231-0). In fact, the presence of *Trichoderma* in the rhizosphere is considered as an indicator of soil health (Vandenkoornhuyse et al. [2002;](#page-230-0) Van der Heijden et al. [2008;](#page-230-0) Meincke et al. [2009;](#page-227-0) Harman et al. [2012](#page-225-0); Lange [2014\)](#page-226-0). The estimated population density of *Trichoderma* in tropical soils is approximately 10<sup>1</sup> to 10<sup>3</sup> viable propagules per gram (Harman et al. [2004](#page-225-0)).



**Fig. 1** Schematic representation of *Trichoderma* as a rhizosphere microorganism and the kind of organisms with which it cointeracts

<span id="page-202-0"></span>Belowground, these fungi are highly interactive with plant roots as reported by Zachow et al. ([2016\)](#page-231-0) who studied the *Trichoderma* existing communities among maize (*Zea mays*) a cosmopolite plant and *Aeonium* sp., *Diospyros* sp., *Hebe* sp., and *Rhododendron* sp., endemic plants in the Northwest Africa to New Zealand via the European Alps and Madagascar. Among the tested plants, no clear quantitative differences in *Trichoderma* communities were found. In contrast, qualitative differences were identifed between *Trichoderma* communities harbored in endemic plants, where fungal strains were very specifc and different, especially in the samples tested in Madagascar (i.e., *H. andinensis,* <sup>1</sup> *H. lixii/T. harzianum*, *H. virens/T. virens*, *T. hamatum*, *T. konilangbra*, *T. longibrachiatum*, and *T. spirale*) and New Zealand (i.e., *H. hunua*, *H. pachybasioides*/*T. polysporum*, *H. stellate*, *T. fertile*, *T. paucisporum*, *T. petersenii*, *T. stromaticum*). However, maize plants formed associations with  $\sim 66\%$  of the identified fungal strains. Interestingly, it was also identifed a fungal core where *T. koningii* and *T. koningiopsis* were predominant in the analyzed plants.

*Trichoderma* spp. can also grow under adverse environmental conditions caused by different pollutants including toxic agrochemicals (Blaya et al. [2013;](#page-221-0) Tripathi et al. [2013\)](#page-230-0). Certain fungal strains can resist the negative effects caused by heavy metals as Cd and Cu or establish associations with plants grown in either acidic or alkaline soils, while other species remain in soils with high concentrations of Co and Ni (Lo et al. [1996](#page-226-0); Rosatto et al. [2019](#page-228-0)). *Trichoderma* species have been also been reported as opportunistic human pathogens. For example, *T. longibrachiatum* caused pericarditis in a farmer that received a lung transplant (Recio et al. [2019](#page-228-0)).

#### **3 The Metabolome of** *Trichoderma*

*Trichoderma* spp. are prolific producers of secondary metabolites of high and low molecular weight (Reino et al. [2008;](#page-228-0) Leylaie and Zafari [2018\)](#page-226-0). Fungal metabolites comprise volatiles and non-volatiles, which naturally have different structure and physicochemical properties (Rajani et al. [2021](#page-228-0)). The identifed *Trichoderma* compounds are alkaloids, terpenes, peptides, polyketides, organic acids, and sidero-phores (Reino et al. [2008;](#page-228-0) Mukherjee et al. [2012a](#page-227-0), [b;](#page-227-0) Crutcher et al. [2013;](#page-223-0) Macías-Rodríguez et al. [2020\)](#page-226-0). Naturally, among fungal strains, there are differences in the production of metabolites whose biosynthesis is directly related with the proteins encoded in the genome. Interestingly, signifcant differences at genomic and proteomic levels among fungal species as indicated in parenthesis have been reported for *T. reesei* QM6a (32.68 Mb, 9, 114), *T. atroviride* IMI 206040 (36.40 Mb, 11, 815), and *T. virens* Gv29-8 (40.52 Mb, 12, 389), respectively (Kubicek et al. [2011;](#page-225-0) Mendoza-Mendoza et al. [2018](#page-227-0)).

<sup>&</sup>lt;sup>1</sup>\* Species identities are cited as initially published, and the current taxonomic status of each species requires verifcation. Note that the generic name "*Hypocrea*" is not in use.

It has been estimated that *Trichoderma* spp. secrete ~100 organic compounds that may be involved in key functions in the rhizosphere during their interactions with other organisms (Sharma et al. [2017](#page-229-0)). Through analytical techniques, at least 479 fungal volatiles have been identifed (Siddiquee [2014\)](#page-229-0). The profles of volatiles released by *Trichoderma* differ between species and strains (Guo et al. [2019\)](#page-225-0). Some fungal species produce rich blends in  $C_{10}$  and  $C_{15}$  terpene derived from the isoprenoid pathway, which produce a number of compounds of a structurally diverse family with different stereochemistry, whereas other fungal strains release  $C_8$  compounds as 1-octen-3-ol, 3-octanone, and 3-octanol, which are considered as the end compounds of the metabolism of fatty acids, sharing acetyl-CoA as precursor (Contreras-Cornejo et al. [2019\)](#page-223-0).

Among the compounds produced by these rhizosphere fungi trichoviridin, a cyclopentyl isocyanide metabolite was found to be produced by *T. koningii* and *T. viride* (Yamano et al. [1970](#page-231-0); Tamura et al. [1975](#page-230-0); Nobuhara et al. [1976\)](#page-227-0). In *T. virens* Gv29.8 4-phosphopantetheinyl transferase that participates in the biosynthesis of 11, 14, and 18 mer peptaibols has been reported (Velázquez-Robledo et al. [2011\)](#page-230-0). Some peptaibols produced by *Trichoderma* are constituted by 7–20 amino acids and characteristically possess an acylated N-terminal group and C-terminal amino alcohol and contain 2-amino-isobutyric acid (Aib) (Reino et al. [2008](#page-228-0); Mukherjee et al. [2011\)](#page-227-0). *T. longibrachiatum* SMF2 also produces several peptaibols with a range of biological activities, which increases the value of such strain and its compounds; interestingly an otholog of the putative methyltransferase LAE1 is a modulator for the production of secondary metabolites that includes fungal peptaibols (Shi et al. [2020b\)](#page-229-0).

Trichodermamides A and B, which are two modifed dipeptides produced by *T. virens*, should be further characterized (Reino et al. [2008\)](#page-228-0). In *T. virens* Gv29.8, the gene  $TvCyt2$  that encodes a homologous protein of the  $p450$  monooxygenase has been identifed. Interestingly, *TvCyt2* was downregulated at the early stages of the plant-fungus interaction. Chemical analyses revealed that TvCyt2 participates in the production of the metabolites pyrazine [1,2-a] indole-1, 4-diene, 2,3-dihydro-2 methyl-3-methylene,  $\alpha$ -cadinol, tau-muurolol, and viridiflorol, which are elicitors of plant defense (Ramírez-Valdespino et al. [2018](#page-228-0)). In *T. viride* a steroidal metabolite named viridiol that displayed phytotoxic activity has been identifed (Reino et al. [2008\)](#page-228-0).

6-Pentyl-2*H*-pyran-2-one (6-PP) is a volatile metabolite produced by *T. atroviride* IMI 206040, *T. viride*, and *T. harzianum* (Contreras-Cornejo et al. [2018a,](#page-222-0) [b\)](#page-223-0). 6-PP is a compound derived from linoleic acid though a mechanism that requires the oxidation of such fatty acid to 13-hydroperoxide-diene and the subsequent production of the intermediary 5-hydroxy-2,4-decenoic acid by β-oxidation and isomerization, and a fnal step of esterifcation, results in the formation of 6-PP (Serrano-Carreon et al. [1993](#page-229-0); Zeilinger et al. [2016\)](#page-231-0). In the environment, such compound has multiple biological functions.

In the extracts of *T. asperellum* cf44-2, seven new fungal molecules were elucidated including three bisabolane-derived compounds, three cyclonerane sesquiterpene-related compounds, and an unreported harzine diterpene (Song et al.

<span id="page-204-0"></span>[2018\)](#page-229-0). More recently, eight highly oxygenated polyketides such as koninginin were reported from *T. koningiopsis* QA-3 (Shi et al. [2020c\)](#page-229-0). In the case of *T. atroviride* D16, the production of a heteropolysaccharide whose molecular weight is 36.13 kDa and is constituted by galactose, glucose, and mannose was detected (Wu et al. [2019\)](#page-231-0).

Both fumaric and gluconic acids are also organic compounds produced by *Trichoderma*, and when released in the environment, they can decrease soil pH and might increase the solubilization of phosphate and mineral cations like Fe, Mg, and Mn (Contreras-Cornejo et al. [2019;](#page-223-0) Vinale et al. [2008;](#page-230-0) Reino et al. [2008;](#page-228-0) Vinale et al. [2014](#page-230-0)). In addition, among the substances produced by *Trichoderma* spp., hydrolytic enzymes are present; they have several natural functions (i.e., biocontrol of plant pathogenic microorganisms and plant root colonization). Wortmannolone another compound produced by *T. virens* is a metabolite with potential importance in medicine due to its inhibition of phosphatidylinositol 3-kinase, which could be employed against human neoplasms (Dodge et al. [1995](#page-223-0); Reino et al. [2008](#page-228-0)). Figure [2](#page-205-0) shows the molecular structures of *Trichoderma*-derived metabolites.

#### **4 Properties of** *Trichoderma* **Used for Biocontrol**

As a soil habitant, *Trichoderma* interacts with other microorganisms exerting positive, neutral (without apparent effect), or negative effects (microbial growth inhibition). Mechanisms of biocontrol based on *Trichoderma* involve antibiosis, mycoparasitism (ability to parasitize other fungi), and competition for space and nutrients (Contreras-Cornejo et al. [2016;](#page-222-0) Macías-Rodríguez et al. [2020](#page-226-0)). Since starvation causes possible soil microbial death, competition for nutrients is considered a biocontrol mechanism established by *Trichoderma* against other microorganisms (Benítez et al. [2004](#page-221-0)). Indeed, it is known that *Trichoderma* compete for space and plant-derived nutrients (Harman et al. [2004\)](#page-225-0). Plant roots exude a number or organic compounds that include amino acids, organic acids, lipids, and carbohydrates (Lombardi et al. [2018;](#page-226-0) Macías-Rodríguez et al. [2018\)](#page-226-0). *T. britannicum* CECT 2413 (previously known as *T. harzianum)* possess a gene named *Gtt1* that encodes a highaffnity glucose transporter, which is expressed under low concentrations of glucose, which may occur during competition with other soil microorganisms (Delgado-Jarana et al. [2003](#page-223-0); Benítez et al. [2004](#page-221-0)). Another important fnding is the characterization of an intracellular invertase in *T. virens* (TvInv), which drives the hydrolysis of sucrose suggesting that plant-released sucrose is a nutritional source to the fungus (Vargas et al. [2009](#page-230-0)).

Siderophores are another group of compounds produced by soil microorganisms including *Trichoderma* spp. that chelate iron and, in that way, deprive Fe for other microorganisms such as plant pathogens and hence inhibit their growth (Contreras-Cornejo et al. [2019](#page-223-0)). Importantly, rhizosphere-competent microorganisms can take up sequestered iron charged in the siderophore via ferric-chelate transporters (Benítez et al. [2004;](#page-221-0) Vinale et al. [2014](#page-230-0)). Coprogen, ferricrocin, fusigen, and fusarine A are siderophores produced by *T. harzianum*, *T. reesei*, *T. asperellum*, *T. gamsii*,

<span id="page-205-0"></span>

**Fig. 2** Metabolites produced by *Trichoderma* spp.

<span id="page-206-0"></span>*T. atroviride*, *T. hamatum*, *T. virens*, and *T. polysporum* (Lehner et al. [2013\)](#page-226-0). Antagonistic activity of *Trichoderma* has been observed on *Botrytis*, *Fusarium*, *Rhizoctonia*, *Pytium*, *Phytophthora*, and *Sclerotinia* (Ji et al. [2019;](#page-225-0) Mota et al. [2019\)](#page-227-0).

#### *4.1 Antibiosis*

It is well-known that *Trichoderma* spp. produce several secondary metabolites that inhibit the growth of plant pathogens (Brain and McGowan [1945](#page-221-0); Vinale et al. [2008;](#page-230-0) Graczyk et al. [2020](#page-225-0)). Fugal metabolites with antibiotic activity include peptaibols, non-ribosomal peptides, steroids, diketopiperazines, isonitriles, polyketides, sesquiterpenes, and alkyl pyrones (Sivasithamparam and Ghisalberti [1998](#page-229-0); Wiest et al. [2002;](#page-231-0) Contreras-Cornejo et al. [2019\)](#page-223-0). T22azaphilone is an antibiotic produced by *T. afroharzianum*; such metabolite has an oxygenated bicyclic core and can inhibit the growth of *Rhizoctonia solani*, *Pytium ultimum*, and *Gaeumannomyces graminis* (Vinale et al. [2006\)](#page-230-0).

6-PP is a volatile pyrone produced by *T. atroviride* IMI 206040, *T. harzianum* 38, which has antimicrobial activity against the fungus *Cylindrocarpon destructans*. The mechanism of action of 6-PP targets the metabolic processes of the pathogen because the pyrone alters the homeostasis of amino acids leading to autophagy to the cells under 6-PP treatment (Jin et al. [2020](#page-225-0)). Koningic acid is a sesquiterpenoid produced by three different fungal strains isolated from soil: *Chaetomium globosum*, *Gliocladium virens* (renamed *Trichoderma virens*), and *T. viride*. Trichosetin is other fungal metabolite that displayed antibacterial activity against *Staphylococcus aureus* and *Bacillus subtilis* (Marfori et al. [2003\)](#page-226-0).

*T. virens* produces peptaibols (11-, 14-, and 18- mer) that have inhibitory activity against the plant pathogens *Alternaria solani*, *Phytophthora capsici*, *Fusarium oxysporum*, *Sclerotium rolfsii*, *S. cepivorum* and *R. solani*, and *Fusarium* spp. (Velázquez-Robledo et al. [2011\)](#page-230-0). Gliotoxin is a small non-ribosomal peptide that is also considered as a diketopiperazine produced by *T. virens*, which showed immunesuppressive properties and has antiviral, antibacterial, and fungistatic activities (Mukherjee et al. [2013;](#page-227-0) Contreras-Cornejo et al. [2016\)](#page-222-0). The cyclonerodiol-derived compound, lignoren, is a metabolite from a strain identifed as *T. lignorum* (the taxonomic name is not in use) that has moderate antibacterial activity against *Pseudomonas aeruginosa* and *Bacillus subtilis*, but did not show any effect against fungi including *Penicillium notatum*, *Fusarium culmorum*, or *Candida albicans* (Berg et al. [2004\)](#page-221-0). Antifungal activity has also been reported for the hydroxyllactone produced by *T. cerinum* against *Botrytis cinerea*, *P. ultimum*, and *R. solani* (Vinale et al. [2011\)](#page-230-0).

A more recently report showed that VOCs released by *Trichoderma* spp. can inhibit the growth of the plant pathogenic fungi *F. oxysporum*-CFO, *S. rolfsii*-CSR, and *Sclerotinia sclerotiorum*-TSS (Rajani et al. [2021](#page-228-0)). Chemical analyses by gaschromatography mass spectrometry revealed that *T. longibrachiatum* strain 2 produced a volatile blend constituted by alcohols, aldehydes, ethers, esters, hydrocarbon,

<span id="page-207-0"></span>ketones, and terpenes. Importantly, during the antagonism exerted by *Trichoderma* against *F. oxysporum*-CFO or *Macrophina phaseolina*-CMP via volatile emission, several compounds with antibiotic and cytotoxic activity were detected (Rajani et al. [2021\)](#page-228-0).

## *4.2 Mycoparasitism*

At least 75 mycoparasitic species of *Trichoderma* has been identifed with the ability to restrict the proliferation of plant pathogenic fungi such as *R. solani*, *S. sclerotiorum*, *B. cinerea*, and *Alternaria alternata* and the oomycetes *Phytophthora* spp. and *Pythium* spp. (Harman et al. [2004](#page-225-0); Druzhinina et al. [2011\)](#page-223-0). Table 1 shows some specifc features used for biocontrol based on these fungi. *Trichoderma* activates this mechanism when perceiving the fungal pathogen and grows tropically toward





a Species identities are cited as initially published, and the current taxonomic status of each species requires verifcation

<span id="page-208-0"></span>its prey. Then, hyphae of the biocontrol agent are coiled in a process mediated by lectin that strongly stick to the pathogen and via the combined action of the hydrolytic enzymes chitinases and glucanases holes in the hypha of pathogen occur. Furthermore, *Trichoderma* can degrade pectinases and other enzymes that are used by plant pathogenic microorganisms to colonize the tissues (Contreras-Cornejo et al. [2016\)](#page-222-0).

Mycoparasitism is a complex process that also involves the production of antibiotic compounds, which in a fne-tuned mechanism results in killing the pathogen (Harman et al. [2004\)](#page-225-0). Atpenins are compounds that participate specifcally inhibiting the mitochondrial metabolism of the prey (Miyadera et al. [2003](#page-227-0)). Gliotoxin is an antibiotic compound biosynthesized by Q strains of *T. viren*s though a gene cluster with the enzymatic core NRP GliP regulated by LAEA/VEA (Mukherjee et al. [2013\)](#page-227-0). Importantly, the members of this cluster are modulated during mycoparasitism. The saprotrophic fungus *T. reesei* has part of that cluster, but it was not regulated in confrontation with other fungi and did not induce gliotoxin production (Mukherjee et al. [2012a,](#page-227-0) [2013;](#page-227-0) Atanasova et al. [2013](#page-221-0)). During mycoparasitism fungal compounds that are involved in the biocontrol of the pathogen are secreted. Bivertinolone has antibiotic properties and inhibits the biosynthesis of  $\beta$ -(1, 6)-glucan. Pachybasin is a metabolite that augment the number of coils of *Trichoderma* on its prey (Macías-Rodríguez et al. [2020\)](#page-226-0).

#### **5** *Trichoderma***-Arthropod Interactions**

Due to the presence of saprotrophic fungi and arthropods in the soil, both kinds of organisms can interact in different terrestrial ecosystems. Depending of the lifestyle of rhizospheric fungi, some of them can beneft or cause damage to their hosts (Foo et al. [2017](#page-224-0)). Natural interactions among *Penicillium citrinum* and *T. harzianum* with the bioluminescent frefy *Pteroptyx bearni* have been detected (Foo et al. [2017\)](#page-224-0). It has also been reported that soil fungi augment the decomposition of organic matter in the rhizosphere by stimulating the activity of wood-feeding termites. It was observed that wood consumption of the termite *Coptotermes formosanus* was increased when *T. viride* was inoculated to the soil (Xiong et al. [2018](#page-231-0)). A more recent fnding shows that *Trichoderma* species protect termites against the entomopathogenic fungus *Metarhizium anisopliae* (Metschn) Sorokin by inducing pathogen-avoiding behaviors of the termites (Wen et al. [2020\)](#page-230-0). Also biocontrol of the bird cherry-oat aphid *Rhopalosiphum padi* with *T. citrinoviride* ITEM 4484 has been reported revealing a key role for long-chain and linear and unbranched alcohols (C<sub>15</sub>-C<sub>17</sub>) produced by ITEM 4484 altering the feeding preference in *R. padi* (Ganassi et al. [2016](#page-224-0)). To date, interactions of ecological relevance of *Trichoderma* with arthropods are not well documented nor the metabolites that govern such interactions. *Trichoderma*-associations of economic importance that occur in agroecosystems have a great interest currently.

#### <span id="page-209-0"></span>**6** *Trichoderma***-Plant Interactions**

*Trichoderma* spp. are considered as plant benefcial fungi due to their plant growthpromoting effects on plants (Villalobos-Escobedo et al. [2020\)](#page-230-0). Figure 3 shows the typical benefcial effects of *Trichoderma* induced in vitro. Interactions of these fungi with plants occur even before the physical contact between the mycelia and the roots through the exchange of low molecular weight compounds from both organisms (Ramírez-Valdespino et al. [2019\)](#page-228-0). Some of the fungal compounds serve as signals for plants to coordinate their growth or trigger defense responses (Estrada-Rivera et al. [2019](#page-224-0)). In counterpart, plants release some compounds toward the rhizosphere that serve to attract the fungus in proximity with roots and promote the root colonization. For example, during the interaction of *T. atroviride* IMI 206040 with tomato plants (*Lycopersicon esculentum* L. cv. Río Grande), the fungus slightly modulated the root exudation of carbohydrates. Chemical analyses revealed that a fraction of the tomato root exudates is composed by arabinose, xylose, glucose,



**Fig. 3** In vitro interaction system to investigate the effects of *Trichoderma* on plants. Images show *Arabidopsis thaliana* ecotype Columbia-0 interacting with *T. atroviride* IMI 206040 during 5 days. Notice that the fungus increases the plant growth as refected by the increased growth of the shoot and the formation of lateral roots

<span id="page-210-0"></span>myo-inositol, fructose, and sucrose (a disaccharide constituted by glucose and fructose). Particularly, sucrose was not detected in the pre-colonization stage of the interaction. In contrast, such carbohydrate was exuded at a concentration of 0.29 ± 0.04 μg per sample when *T. atroviride* IMI 206040 colonized the tomato root system (Macías-Rodríguez et al. [2018\)](#page-226-0). The uptake of sucrose by *Trichoderma* when interacting with plants seems possible since an intracellular invertase that can drive the hydrolysis of sucrose in *T. virens* Gv29.8 was identifed (Vargas et al. [2009\)](#page-230-0). On the other hand, many of the observed plant benefcial effects of *Trichoderma* involve modulations of plant hormones (Macías-Rodríguez et al. [2020\)](#page-226-0).

*Trichoderma* colonizes the root system of a broad number of host plants (monoand dicotyledonous), and such event induces profound changes in plant metabolism modifying the content of amino acids, soluble carbohydrates, phytohormones, and photosynthetic rate and altering the leaf transpiration and water content (Yedidia et al. [2003](#page-231-0); Bae et al. [2009](#page-221-0); Brotman et al. [2012;](#page-221-0) Contreras-Cornejo et al. [2020\)](#page-223-0). Thus, during root colonization important events for plant ftness, as activation of plant immunity, growth promotion, and induction of abiotic stress, take place (Shoresh and Harman [2008](#page-229-0); Contreras-Cornejo et al. [2014a;](#page-222-0) Fiorini et al. [2016\)](#page-224-0). Plant root colonization involves the participation of fungal hydrophobins that are required in the host recognition and adhesion (Viterbo and Chet [2006](#page-230-0)). *T. harzianum* contain the gene *qid74*, which encodes a cysteine-rich cell wall, and it is required in adherence to the tomato root system (Samolski et al. [2012](#page-229-0)). *Trichoderma* requires the participation of plant cell wall degrading enzymes during the root colonization. The endopolygalacturonase ThPG1 from *T. harzianum* is included in this process (Morán-Diez et al. [2009](#page-227-0)). Swollenin is a fungal protein that contains a cellulose-binding module and disrupts the structure of the crystalline cellulose of the cell walls and likely as expansins facilitate cell wall expansion in roots (Brotman et al. [2008](#page-221-0)). It has been reported that *Trichoderma* releases plant defense elicitors in the root cell apoplast including proteins related with scavenging of reactive oxygen species and cell wall hydrolysis (Nogueira-Lopez et al. [2018](#page-228-0)). Interestingly, it was shown that salicylic acid (SA) is a key modulator of the *T. harzianum* T-38 root colonization in *A. thaliana* because in the mutant *sid2* that accumulates lower amounts of SA, the fungal strain increased the root colonization compared with the wild-type ecotype Columbia-0 (Martínez-Medina et al. [2017a\)](#page-227-0).

#### *6.1 Plant Growth Promotion*

It is well-known that *Trichoderma* spp. can promote plant growth (Yu et al. [2021\)](#page-231-0). This effect is strain-dependent on the host plant. Table [2](#page-211-0) shows the fungal benefcial effects in the inoculated plants. Enhanced plant growth has been attributed to the activity of fungal compounds of low molecular weight that impact on key endogenous signaling programs (Garnica-Vergara et al. [2016](#page-224-0)). Since *Trichoderma* spp. naturally associate with plants roots here the main part of the plant perceives the fungal signals. Bioactive *Trichoderma* metabolites can induce profound

Strain	Plant	Effect	Reference
<i>T. virens</i> Gv 29.8 T. atroviride <b>IMI 206040</b>	A. thaliana	Shoot growth promotion and root branching	Contreras- Cornejo et al. (2009, 2014a, $\mathbf{b}, \mathbf{c}$
T. Harzianum	Melon (Cucumis melo cv. Giotto)	Shoot biomass accumulation	Martínez- Medina et al. (2011)
T. asperellum TaspHu1	Tomato (Solanum <i>lycopersicum</i> )	Greater height, stem diameter, soluble protein content and soluble sugar content.	Yu et al. (2021)
T. harzianum T-soybean	Cucumber (Cucumis sativus)	Promotion of leaf size, steam diameter base, plant height, root length, root number, and increases in the chlorophyll content when plants were cultured under salt stress	Zhang et al. (2019)
T. asperellum T42	Rice (Oryza sativa) var. CO-51	Increased shoot and root dry weights	Singh et al. (2020)
T. atroviride <b>IMI 206040</b>	Tomato (Lycopersicon <i>esculentum</i> cv. Río Grande)	Promotion of both hypocotyl and primary root lengths	Macías- Rodríguez et al. (2018)
$T_{\cdot}$ harzianum 38	Maize (Zea mays)	Increased the shoot nitrogen content of plants cultured in field conditions	Contreras- Cornejo et al. (2020)

<span id="page-211-0"></span>Table 2 Beneficial effects of *Trichoderma* spp. on plants<sup>a</sup>

a Species identities are cited as initially published, and the current taxonomic status of each species requires verifcation

changes in the plant phenotype as shoot development, root branching, and cell differentiation for the formation of root hairs (Contreras-Cornejo et al. [2009;](#page-222-0) Ming et al. [2013;](#page-227-0) Wu et al. [2019\)](#page-231-0). The association of *T. afroharzianum* Rifai strain 22 (T22) with the roots of maize plants induced changes at proteomic level in the shoots (Shoresh and Harman [2008](#page-229-0)). In cucumber, *T. asperellum* T34 modulated different classes of proteins related with protein synthesis and folding; secondary metabolism, defense, and stress responses; metabolism; and energy (Segarra et al. [2007](#page-229-0)). Modifcations in root architecture have been related with improved acquisition of soil nutrients. *T. harzianum* T-203, frst characterized as *T. asperellum*, and after renamed as *T. asperelloides* increased 30% and 90% the concentrations of Fe and P, respectively. Plant growth promotion induced by T-203 was also related with elevations of the concentrations of Cu, Fe, Mn, Na, P, and Zn in roots. In addition, the fungal inoculation resulted in increased shoot content of Mn, P, and Zn with 70, 30, and 25%, respectively (Yedidia et al. [2001\)](#page-231-0). Similarly, *T. afrohar* $zianum$  T22 can solubilize several soil nutrients like rock phosphate,  $Zn^0$ ,  $Mn^{4+}$ ,  $Fe<sup>3+</sup>$ , and  $Cu<sup>2+</sup>$ , which could be limited for plants (Altomare et al. [1999](#page-221-0)). Chemical analyses revealed that T22 biosynthesizes metabolites that can reduce the elements Cu (II) and Fe (III) as determined by the formation of the complexes

 $Cu(I)-Na<sub>2</sub>-2,9-dimethyl-4,7-diphenyl-1,10-phenanthrolinedi sulfonic acid and$ Fe(II)-Na<sub>2</sub>−2,9-,bathophenanthrolinedisulfonic acid (Altomare et al. [1999\)](#page-221-0). Recently, it was reported that *T. afroharzianum* T22 produces tricholignan, a polyketide that is a redox-active ortho-hydroquinone that reduce Fe (III), and its production improves plant growth under iron defciency conditions (Chen et al. [2019\)](#page-222-0).

The inoculation of the model plant *A. thaliana* with *T. virens* Gv29.8 induced the accumulation of  $\sim 50\%$  more foliar biomass and increased  $\sim 3.5$ -fold the formation of lateral roots compared to the control treatment without fungal inoculation. Plant growth promotion activated by the fungus was a consequence of the auxin-signaling activation as evidenced by the increased expression of the auxin-responsive marker *DR5::GUS* and by the reduced or null response of the mutants *aux1-7*, *eir1-1* (affected in the auxin transport at the infux and effux carriers, respectively), and *axr1-3* (affected in auxin response) to the promoting effects induced by *T. virens* Gv29.8. Also chemical analyses with gas chromatography mass spectrometry of the exuded fungal metabolites in the acidic fraction revealed the presence of an indolic metabolite at 10.81 min to a concentration of  $\sim$ 13.48  $\pm$  0.97 µg·l<sup>-1</sup> that corresponded to indole-3-acetic acid (IAA). In the neutral fraction of the *T. virens* Gv29.8 exudates, both indole-3-acetaldehyde (IAAld) at 8.83 min to a concentration of  $\sim$ 59.4  $\pm$  4.47  $\mu$ g·l<sup>-1</sup> and indole-3-ethanol (IEt) at 9.97 min with a proportion of  $\sim$ 72.33  $\pm$  1.41 µg·l<sup>-1</sup> were detected. More interestingly, all the three indolic compounds increased their concentrations when L-tryptophan was added to the culture medium of the fungal strain (Contreras-Cornejo et al. [2009](#page-222-0)). Furthermore, pharmacological bioassays with the pure compounds IAA, IAAld, and IEt at concentrations in the range of nano- to micromolar showed that such indolic molecules can activate plant growth promotion as revealed by the induction of lateral roots and shoot biomass accumulation in a dose-dependent manner (Contreras-Cornejo et al. [2009\)](#page-222-0).

*T. atroviride* IMI 206040 modulated the growth of tomato dependent of the stage of the interaction. Fungal inoculation enhanced hypocotyl length and primary root growth without physical contact. On the contrary, when *T. atroviride* IMI 206040 colonized the root system, the root branching was induced as evidenced by the number of lateral roots formed (Macías-Rodríguez et al. [2018\)](#page-226-0). In *A. thaliana*, this same fungal strain promoted lateral root formation and root hair induction through a fnetuned hormonal cross talk signaling mechanism that requires both auxin and ethylene and the activity of the mitogen activated protein kinase 6 (MPK6). The bioactive metabolites released by the fungus were perceived quickly in the plant; for example, plants exposed to 1 μM of indole-3-acetic acid during 15 min increased the MPK6 activity. In the interaction *T. atroviride* IMI 206040 and *A. thaliana* MPK6 seems to be a negative modulator of the primary root growth, lateral root formation, and root hair induction. Furthermore, genetic evidence revealed that root branching in *A. thaliana* modulated by the fungus involved the ethylene elements ETR1 and EIN2 (Contreras-Cornejo et al. [2015](#page-222-0)).

Plant growth promotion enhanced by rhizosphere microorganisms via ET signaling has been well documented. Several plant benefcial microorganisms produce ACC deaminase (ACCD) an enzyme involved in the production of ET by cleaving

<span id="page-213-0"></span>ACC (Todorovic and Glick [2008](#page-230-0)). In *T. asperellum* T203 a gene that encodes an ACCD was identifed, which resulted to be involved in the elongation of roots of rape plants (Viterbo et al. [2010](#page-230-0)). ET production in the range of nanograms was detected in *T. atroviride* IMI 206040. Importantly, ET levels increased dramatically when the fungus was grown in the presence of the amino acid L-methionine and *A. thaliana* plants inoculated with such fungal strains developed a phenotype related with the effects induced by ET (Contreras-Cornejo et al. [2015](#page-222-0)).

#### *6.2 Induction of Plant Abiotic Stress Resistance*

Since *Trichoderma* spp. can survive under unfavorable growth conditions as saline environments or in the presence of heavy metals, these fungi have been tested for bioremediation purposes (Contreras-Cornejo et al. [2014b](#page-222-0); Li et al. [2019\)](#page-226-0). In the model plant, *A. thaliana* that *Trichoderma* spp. induced salt stress tolerance through the elimination of Na+ via root exudates and increases the content of key metabolites that included L-proline, which is an osmolite that acts into cells suffering water deficit; ascorbic acid a molecule that has multiple functions and particularly in this condition is a potent antioxidant compound that detoxifes cells of reactive species generated after the oxidative burst caused by salinity. Clearly, *Trichoderma* spp. alleviated the plant stress caused by salinity as evidenced by the normalized levels of ABA accumulated in *A. thaliana* (Contreras-Cornejo et al. [2014b\)](#page-222-0). Similarly, the T-soybean isolate *T. harzianum* confers salt stress resistance by modulating the activity of GR (glutathione reductase), CAT (catalase), SOD (superoxide dismutase), APX (ascorbate peroxidase), POD, phenylalanine ammonia-lyase, and polyphenol oxidase and by increasing the production and accumulation of sugars, proline, ascorbic acid, and chlorophyll. In soybean, this same strain regulated the ratios of glutathione (GSH) to oxidized glutathione (GSSG) and AsA to dehydroascorbate (DHA) and the expression of *CsAPX* that form part of the AsA-GSH cycle. In addition, *T. harzianum* increased the levels of K+ but reduced the levels of Na<sup>+</sup> (Zhang et al. [2019\)](#page-231-0).

During the *Trichoderma*-plant interactions, it has been observed that fungal inoculation modulated the stomata closure and hence water loss from these structures. It is known that stomatal aperture is controlled by undulating concentrations of ABA. In *A. thaliana*, two mutants *abi1* and *abi2* impaired in their response to ABA were isolated. The *abi1* and *abi2* loci encode semi-dominant mutations in two different 2C protein phosphatases like enzymes (Allen et al. [1999\)](#page-221-0). Interestingly, *abi1-1* and *abi2-1* do not close their stomata in response to fungal inoculation, which strongly suggested that *Trichoderma* spp. produce ABA. Chemical analyses through gas chromatography-mass spectrometry revealed that the presence of ABA in the fungal exuded metabolites. Interestingly, the microbial-derived extracts induced the expression of *abi4::GUS*, a transgenic marker that possesses the promoter of *ABI4*, a transcription factor of the *AP2* (*APETALA 2*) family (Finkelstein et al. [1998](#page-224-0)). ABI4 participates in seed development, sugar signaling, and salt responses (Arroyo et al. [2003\)](#page-221-0).

Under salt stress, *Trichoderma* spp. induced root branching by providing auxin sensitivity as evidenced by the increased expression of the IAA-responsive marker *DR5::GUS*. Undoubtedly, that effect caused by fungal inoculation favors plants to improve water and nutrient uptake (Contreras-Cornejo et al. [2014b\)](#page-222-0). Salt stress tolerance induced by *Trichoderma* has also been associated with the ACCD activity. This important fnding was obtained from experiments where seeds of cucumber and *A. thaliana* were germinated under both normal and saline conditions induced by watering the seeds with solutions of NaCl at concentrations from 75 to 125 mM. In that work, in normal conditions, the strain wild type of *T. asperelloides* T203 and their ACCD silenced mutants (ΔACC#2/3) impacted in a similar manner of the germination of both model plants. Importantly, under salt conditions, higher germination rate was observed in the treatments with the wild type than those seeds treated with the mutants. This indicates that *Trichoderma* triggers specifc molecular mechanisms via ET that provides salt stress tolerance (Brotman et al. [2013\)](#page-222-0).

The effect of *Trichoderma* on plants grown under osmotic stress has been tested. In vitro experiments showed that −0.2 and −0.3 MPa affected severely the germination rate of tomato seeds. Whereas, under lower water potential, *T. afroharzianum* T22 broke seed dormancy and induced a homogeneous germination rate compared with the control treatment (Mastouri et al. [2010\)](#page-227-0). In cacao plants, water deficit altered both the plant metabolism and expression of various genes like *TcSOT* (sorbitol transporter) and *TcTPP* (trehalose-6-phosophate phosphatase). However, negative effects caused by drought on cacao plants that included changes in net photosynthesis and stomatal conductance were delayed by *Trichoderma hamatum* isolate DIS 219b, which suggested that plants treated with this fungus suffered less than those uninoculated (Bae et al. [2009\)](#page-221-0).

#### **6.2.1** *Trichoderma***-Mediated Bioremediation**

Waste waters spills, fertilizers, oils, and chemical pesticides contaminate soils (Cristaldi et al. [2020](#page-223-0)). Pesticides have as target of action damaging bacteria, fungi, arthropods, and weeds (Chen et al. [2020\)](#page-222-0). It was calculated that pesticides prevent ~80% of crop yield loss (Oerke [2005](#page-228-0)). Indiscriminate applications of pesticides result in their accumulation in the environment and adverse effects on non-target organisms (Pimentel [1995](#page-228-0); Maltby et al. [2009\)](#page-226-0). Since *Trichoderma* are resistant to various pesticides and in some cases participate in the degradation of such compounds, they can be applied as bioinoculants to agroecosystems (Contreras-Cornejo et al. [2016](#page-222-0)). More recently, it was showed that *T. asperellum* TM detoxifes tomato roots of the organophosphate pesticide phoxim through three stages of the xenobiotic metabolism that comprise i. conversion, ii. conjugation (i.e., GSH-phoxim), and iii. sequestration (Chen et al. [2020\)](#page-222-0). In this same work, TM increased the expression of *ABC2* (a member of the secondary transport system), *CYP724B2* (a <span id="page-215-0"></span>member of the P450 superfamily), *GPX* (*glutathione peroxidase*), and *GR* (Chen et al. [2020\)](#page-222-0).

Similarly, it was reported that *Trichoderma* spp. have the ability to biodegrade the organic compounds alachlor and metolachlor both chloroacetanilide herbicides. That report showed that after 7 days, the assayed fungal strains transformed from 80% to 99% of the doses tested of alachlor and from 40% to 79% in the case of metolachlor. The biotransformation of the chlorinated compounds was a consequence of the reactions of dechlorination and hydroxylation. The conversion of metolachlor resulted at least in four by-products. In addition, it was found that after 7 days of the inoculation of rapeseed seedlings with *T. koningii* IM 0956, *T. citrinoviride* IM 6325, *T. harzianum* KKP 534, *T. viride* KKP 792, and *T. virens* DSM 1963 and also treated with both chloroacetanilide herbicides, the fungal inoculation promoted the seedling growth (Nykiel-Szymańska et al. [2020](#page-228-0)).

Furthermore, the exposition of *Trichoderma* spp. to alachlor and metolachlor induced fungal oxidative stress as evidenced by the accumulation of the superoxide anion  $[O_2$ <sup>---</sup>]. The resistance of the fungal strains to chloroacetanilides seems to be related with changes in the content of phosphatidic acid, phosphatidylcholines, and phosphatidylethanolamine (Nykiel-Szymańska et al. [2019](#page-228-0)). This feature of *Trichoderma* spp. to degradate toxic compounds underlines their importance as bioinoculants in agroecosystems.

The presence of heavy metals in water and soils is a frequent threat to ecosystem and human health. However, some fungal strains are resistant to pollutants and hence show strong potential in bioremediation. A clear example is the strain *Trichoderma* sp. MG that is tolerant to heavy metals, which can also tolerate high concentrations of As (500 mg/l) and Pb (650 mg/l). The strain MG induced soil bioremediation to both metals by precipitating those elements with calcite and ureoloytic activity (Govarthanan et al. [2019](#page-224-0)). Precipitation of metallic compounds with  $CaCO<sub>3</sub>$  or calcites is a well-known activity in algae, bacteria, fungi, and Protista (Gadd [2010](#page-224-0); Qian et al. [2015](#page-228-0)). Another strain is *Trichoderma lixii* CR700, an electroplating wastewater isolate, which can tolerate 2000 mg/l of As, 1200 mg/l of Cu, 1000 mg/l of Cr, 1500 mg/l of Ni, 1200 mg/l of Zn, and 100 mg/l of Pb and Cd (Kumar and Dwivedi [2019](#page-226-0)). *T. lixii* CR700 has an extraordinary ability to detoxify  $Cr_{(VI)}$  via reduction and a mechanism of remotion in the fungus itself. Such processes resulted benefcial for *Cicer arietium* and *Vigna radiata* when seedlings were watered with a solution of 100 mg/l  $Cr_{(VI)}$  since the fungus reduced the negative effects on the plant growth caused by  $Cr_{(VI)}$  (Kumar and Dwivedi [2019](#page-226-0)).

## *6.3 Activation of Plant Immunity*

Fungal-induced plant defense confers protection against a broad spectrum of aggressors that include nematodes, bacteria, and fungi, which belowground attack roots, but is also effective against foliar herbivores and virus (Martínez-Medina et al. [2017b;](#page-227-0) Contreras-Cornejo et al. [2016, 2018a,](#page-222-0) [b](#page-223-0), [2020](#page-223-0)). *Trichoderma* spp. can trigger
plant defense responses after the perception of fungal molecules released in the rhizosphere and atmosphere and during the root colonization (Velázquez-Robledo et al. [2011](#page-230-0); Contreras-Cornejo et al. [2014c\)](#page-222-0). Plants sense microorganisms by perceiving microorganism-associated molecular patterns (MAMPs), which for pathogens are named pathogen-associated molecular patters (PAMPs) that include chitin, ergosterol, fagellin, glycoproteins, and lipopolysaccharides, which are recognized by plant resistance proteins (Jones and Dangl [2006;](#page-225-0) Chisholm et al. [2006](#page-222-0); Göhre and Robatzek [2008;](#page-224-0) Gimenez-Ibanez et al. [2009;](#page-224-0) Nürnberger and Kemmerling [2009\)](#page-228-0).

The frst identifed MAMP derived from *Trichoderma* was an ET-inducing xylanase (Xyn2/Eix), which triggered plant defense responses in tomato and tobacco (Sharon et al. [1993](#page-229-0)). Later, in other *Trichoderma* strains, several effectors of plant defense such as alamethicin, cellulases, endopolygalacturonase ThPG1, 18 mer peptaibols, swollenin TasSwo and Sm1, and a small peptide of the cerato-platanin family were identifed (Sharon et al. [1993;](#page-229-0) Engelberth et al. [2001](#page-224-0); Martinez et al. [2001](#page-226-0); Djonovic et al. [2006](#page-223-0); Viterbo et al. [2007](#page-230-0); Vargas et al. [2008;](#page-230-0) Brotman et al. [2008](#page-221-0); Morán-Diez et al. [2009](#page-227-0); Hermosa et al. [2012\)](#page-225-0). Sm1 exogenously applied on cotton (*Gossypium hirsutum*) roots increased the expression of several genes related with plant defense such as *GhLOX1* (*Lipoxygenase 1*, an element for the biosynthesis of JA), *CHT* (chitinase), *GLU* (β-1,3-glucanase), and *POD6* (peroxidase). In addition, Sm1 applied at a concentration of 0.5 nmol on the cotyledons of cotton-induced plant resistance against the attack of *Colletotrichum* sp. (Djonovic et al. [2006\)](#page-223-0). *T. atroviride* also produces a Sm1 homologous protein called Epl1, which in the presence of maize roots is released as dimer, but, in its monomeric form, Epl1 triggers defense responses against *Colletotrichum graminicola* (Vargas et al. [2008](#page-230-0)). In *T. formosa*, a proteinaceous elicitor that is a 12 kDa peptide and is homologous of Epl11 has also been identifed (Cheng et al. [2018\)](#page-222-0).

Mitogen-activated protein kinases (MAPKs) transfer cell information into the plant perceived by sensors and depending of the perceived cue generates cellular responses (Koornneef and Pieterse [2008](#page-225-0)). Root inoculation of cucumber plants with *T. asperellum* activated a protein kinase homologous with the MPK3 of *A. thaliana* that is necessary to confer resistance against the plant pathogen bacterium *Pseudomonas syringae* pv. *lachrymans* (Shoresh et al. [2006](#page-229-0)). Similarly, *T. atroviride* IMI 206040 increased the activity of MPK6 in *A. thaliana* during the pre- and root colonization*,* which was related with the modulation of some phenotypic responses mediated by ET (Contreras-Cornejo et al. [2015](#page-222-0)). Plant defense elicited by rhizosphere microorganisms is the result of fne-tuned endogenous signaling events where early messengers participate and involve changes of intracellular  $Ca^{2}$ , production of  $H_2O_2$  and NO, and accumulation of phytohormones SA and JA/ET and the subsequent modulation of their responsive genes (Kong et al. [2020\)](#page-225-0).

Inoculation of melon (*Cucumis melo* cv. Giotto) plants with *T. harzianum* resulted in accumulation of SA, JA, and ACC (1-aminocyclopropane-1-carboxylic acid, ET donor) in the shoots (Martínez-Medina et al. [2011\)](#page-226-0). The colonization of *A. thaliana* roots by *T. asperellum* T203 increased the expression of the transcription factors *WRKY18*, *WRKY33*, *WRKY40*, and *WRKY60* and *WRKY33* that activate JA-dependent responses. Particularly, *WRKY18*, *WRKY33*, and *WRKY60* are induced by pathogens

and encode proteins that play a key role in the JA-signaling mechanism (Brotman et al. [2013](#page-222-0)). *T. asperellum* TaspHu1 increased the expression of the hormonal-responsive genes *JAR1* (*jasmonic acid resistance*), *MYC2* (transcription factor *MYC2*), *NPR1* (*Non-expressor of pathogenesis-related*), and *PR1* (*Pathogenesis related 1* that encodes a plant defensin with antimicrobial activity) and provided resistance against *A. alternata* (Yu et al. [2021\)](#page-231-0). Similarly, in cucumber plants, *T. asperellum* T203 regulated the expression of a set of defense-related genes such as *PAL1* (*Phenylalanine ammonia lyase 1*, a component for SA biosynthesis); *LOX1*; and *CTR1* (*Constitutive triple response 1*) and *ETR1* (*Ethylene receptor 1*) both elements of the ethylene (ET) signaling pathway (Shoresh et al. [2005\)](#page-229-0).

More recently, it was shown that plant defense against the mycotoxigenic fungal pathogen *Fusarium verticillioides* is specifc strain-dependent because *T. gamsii* (IMO5) modulated the expression of the genes *ZmLOX10* (a lipoxygenase involved in green leaf volatile production), *ZmAOS* (*allene oxide synthase* required for jasmonate synthesis), and *ZmHPL* (*hydroperoxide lyase* involved in green leaf volatile production) typical genes of the induced systemic resistance (ISR) a process modulated by JA and ET. In contrast, *T. gamsii* B21 enhanced the transcript levels of *ZmPR1* in the maize leaves (that encodes a plant defensin with antimicrobial activity) and *ZmPR5* (*pathogenesis-related 5*, with antifungal activity); both genes modulated during the systemic acquired resistance (SAR) an immune response modulated by SA (Galletti et al. [2020](#page-224-0)).

Inoculation of *A. thaliana* with an inoculum of 1x106 spores of *T. virens* Gv29.8 and *T. atroviride* IMI 206040 increased the content of foliar JA and induced changes in the expression of the reporter gene *LOX2::GUS*, whose promoter belongs to the LIPOXYGENASE 2. Similarly, 8 days after *A. thaliana* root inoculation, the levels of free SA in leaves increased and consequently also induced the expression of the reporter gene *PR-1a::GUS*. SA also fulflls an important role in modulating the *T. harzianum* root colonization in *A. thaliana* (Alonso-Ramírez et al. [2014](#page-221-0)). More interestingly, *T. harzianum* T39 failed to induce systemic resistance in *A. thaliana* mutants affected in the signaling pathways mediated by JA and ET, which suggested that such canonical plant hormones are modulated by the benefcial fungus to confer protection; particularly, the mutants *ein2*, *eto2*, *eto3*, and *npr1-5* of *A. thaliana* infected with *B. cinerea* and co-inoculated with *T. harzianum* were severely affected by the necrotrophic fungus, which confrmed that JA and ET signaling pathways are activated by *Trichoderma* (Korolev et al. [2008](#page-225-0)).

Phytoalexins are low molecular weight compounds induced in plants as part of defensive mechanisms serving as antimicrobial compounds to restrict the proliferation of plant pathogens (Blechert et al. [1995\)](#page-221-0). Simultaneously, during the interaction of *A. thaliana* roots with *Trichoderma* spp. and compared with uninoculated plants, camalexin an indole-derived phytoalexin increased at least ~9-fold. This compound is involved in the resistance against the infection caused by the necrotrophic fungus *B. cinerea* (Contreras-Cornejo et al. [2011\)](#page-222-0). It has also been found that *T. atroviride* induced changes in the expression of the gene *PAD3 (Phytoalexin defcient 3)*, which encodes the last enzyme for the biosynthesis of camalexin in *A. thaliana* (Salas-Marina et al. [2011\)](#page-228-0).

The conferred plant protection induced by *Trichoderma* against pathogens also occurs via fungal volatiles. This effect was observed when *A. thaliana* plants were exposed to the VOCs emitted by *T. virens* Gv29.8 and infected with spores of *B. cinerea*. Airborne VOCs released by this fungus considerably reduced the percentage of both symptomatic and dead plants. In contrast, *A. thaliana* leaves without exposition of VOCs from *T. virens* Gv29.8 were severely damaged as evidenced by the presence of the fungal infection in tissues. The perception of fungal VOCs caused changes in the expression of *LOX2::GUS*, a gene activated by JA and a oxidative burst evidenced by the accumulation of  $H_2O_2$ . Undoubtedly, the modulation of *A. thaliana* immunity after the perception of *T. virens* Gv29.8 also reinforced the resistance to the *B. cinerea* infection. Chemical analyses revealed that *T. virens* Gv29.8 released a rich blend of VOCs constituted principally by terpenes that included the monoterpenes β-myrcene and linalool and the sesquiterpenes β-caryophyllene, δ-cadinene, and copaene. Furthermore, *B. cinerea* exposed to Gv29.8 VOCs showed reduced growth when compared with its respective control (Contreras-Cornejo et al. [2014c\)](#page-222-0). It has also been detected that cellulysin produced by *T. viride* promoted the emission of several VOCs including 4,8-dimethylnonal,3,7-triene, linalool, β-ocimene, (3*Z*)-hexenyl acetate, indole, and *cis*-jasmone of the octadecanoid pathway (Piel et al. [1997](#page-228-0)).

## **7** *Trichoderma* **and Its Role in Multitrophic Interactions**

Due to the broad distribution of *Trichoderma* in the environment and their interactions with plants and arthropods, recent fndings have demonstrated that these fungi participate in multiple interactions at higher trophic levels (Macías-Rodríguez et al. [2020\)](#page-226-0). Several strains of *Trichoderma* confer protection against the attack of arthropods belonging to the Thysanoptera and Hemiptera (Battaglia et al. [2013;](#page-221-0) Muvea et al. [2014](#page-227-0); Coppola et al. [2019a, b](#page-223-0)). It was observed that root colonization of onion (*Allium cepa* cv. 'Red Creole') by *Trichoderma* conferred protection against the attack of *Thrips tabaci* as evidenced by the lower number of feeding punctures caused by the herbivore (Muvea et al. [2014\)](#page-227-0). *Trichoderma harzianum* activated indirect defense responses that resulted in the attraction of *Aphidius ervi* the parasitoid of the aphid *Macrosiphum euphorbiae* (Coppola et al. [2017\)](#page-223-0). Importantly, *T. longibrachiatum* modulated the emission of VOCs released by tomato plants affecting the performance of the aphid *Macrolophus pygmaeus* and its natural enemy *A. ervi* (Battaglia et al. [2013](#page-221-0)). Tomato plants inoculated with *Trichoderma* and infested with aphids displayed important transcriptomic changes that included 484 transcripts that were upregulated and 850 downregulated. During the aphidplant interaction, the expression of the genes *OSM* (*Osmotin*), *SST* (*sesquiterpene synthase*), *SAM* (*S-Adenosyl-L-methionine salicylic acid carboxyl methyltransferase*), and *GDS* (*Germacrene-d-synthase*) were upregulated (Coppola et al. [2017\)](#page-223-0). Interestingly, *T. afroharzianum* T22 decreased the survival of aphids feeding on tomato plants and upregulated the expression of the genes *Photosystem I reaction* canter subunit VI, *photosystem II reaction center W*, and *Photosystem II subunit S* involved in photosynthesis and the 30S, 40S, and 50S ribosomal proteins involved in biosynthesis (Coppola et al. [2019b](#page-223-0)). On the contrary, aphids also induced downregulation of the genes *LOXA* and *LOXC* in the lipoxygenase pathway; ethylene response factor A.1 and ethylene-responsive transcription factor; and the JA- responsive genes *Threonine deaminase*, *Serine carboxypeptidase*, *Protease inhibitor I*, and *Pin-II type proteinase inhibitor 69* (Coppola et al. [2019b](#page-223-0)).

Plants are also attacked by a broad number of chewing insects. *Spodoptera frugiperda* commonly identifed as the fall armyworm is a severe pest that damage maize shoots. Root association of maize plants with *T. atroviride* IMI 206040 conferred protection against the attack of *S. frugiperda* resulting in reduced the foliar herbivory (Contreras-Cornejo et al. [2018a\)](#page-222-0). *T. atroviride* IMI 206040 in association with maize roots triggered the emission of (1*S*)-α-pinene, β-myrcene, *p*-cymene, γ-terpineol, β-caryophyllene, and α-humulene and the accumulation of JA in shoots. The fungal blend of VOCs released from the soil and the volatile oxylipins 1-octen-3-ol and 6-PP played a key role as antifeedant metabolites because such compounds reduced the attack of *S. frugiperda* on maize leaves when assayed at a concentration of 60 μg (Contreras-Cornejo et al. [2018b](#page-223-0)).

Under natural conditions, *S. frugiperda* is endoparasitized by female wasps of *Campoletis sonorensis*. It was detected that maize roots colonized by *T. atroviride* IMI 206040 and infested with *S. frugiperda* increased the parasitism rate of the herbivore when compared with plants that were not colonized by *T. atroviride* IMI 206040 (54.16  $\pm$  9.00% vs. 29.16  $\pm$  7.33%, respectively). Importantly, 6-PP released by *T. atroviride* IMI 206040 served as specifc signaling molecule to attract to *C. sonorensis* toward leaves that were feeding on maize leaves, resulting in biocontrol of the chewing herbivore by modulating the behavior of *C. sonorensis*. Such information revealed that *Trichoderma* could be a key modulator of the maize interactions with economic importance (Contreras-Cornejo et al. [2018b](#page-223-0)).

A recent work performed by Contreras-Cornejo et al. [\(2020](#page-223-0)) under feld conditions revealed that inoculation of *T. harzianum* 38 on the maize root system caused modulations in the abundance of native arthropods associated with shoots. Maize plants harbored at least 13 orders, 23 families of arthropods. Naturally, some of these arthropods are pests and other benefcial such as natural pest enemies. Pest arthropods included members of piercing-sucking insects belonging to the families Aphididae and Cicadidae, but also included individuals of the families Acrididae, Curculionidae, Chrysomelidae, and Noctuidae with feeding guild habits as chewers. The agricultural importance of *T. harzianum* 38 inoculation on maize roots resulted in the conferred protection of maize leaves against the attack of piercing-sucking insects. Such protection conferred by the fungus was related with increases in the content of (*Z*)-3-hexen-1-ol, a volatile metabolite that attracts natural enemies of the herbivores. More importantly, *T. harzianum* increased the presence of predators belonging to the families Forfculidae and Nitidulidae, which can modulate the proliferation of pest arthropods. In addition, it was reported that under adequate conditions *Trichoderma* sp. produces chitinases that attack the insect cuticle and negatively affect the peritrophic matrix of silkworms (Berini et al. [2016\)](#page-221-0).

Belowground, *Trichoderma* can also confer protection against parasitic nematodes. For example, the inoculation of *T. harzianum* T78 on roots of tomato (*Solanum lycopersicon* cv. 'Moneymaker') was effective against the attack of *Meloidogyne incognita*. Depending on the nematode progress infection, the fungus primed plant defense responses through the activity of the canonical plant hormones. *T. harzianum* primed SA-modulated defenses regulating the expression of the genes *PR-1a* and *PR-P6* that encode for components that contribute to restricting the root pathogens. *T. harzianum* T78 also stimulates the JA-dependent defense responses modulating the expression of the genes *PI-II* (*proteinase inhibitor II*) and *MC* (*multicystatin*), which counteracts the repression of such signaling pathway induced by the nematode, and this undulation among phytohormones negatively impacts on the galling fecundity of *M. incognita* (Martínez-Medina et al. [2017b](#page-227-0)). Likewise, *T. citrinoviride* Snef1910 conferred protection against *M. incognita* in tomato roots, displaying high virulence against second-stage juveniles (J2s) of the nematode. Derived metabolites from Snef1910 diminished the number of egg masses, J2s, and root galls (Fan et al. [2020](#page-224-0)).

## **8 Conclusions**

*Trichoderma* spp., with plant beneficial traits promoting crop health and nutrition, are natural inhabitants in most agroecosystems, where they are involved in multiple phytobiome interactions at all trophic levels with other microorganisms, arthropods, and plants. Secondary matabolites from *Trichoderma* spp. are key in these interactions as "signaling molecules." Antimicrobial substances from *Trichoderma* spp. such as T22azaphilone inhibit a broad spectrum of plant pathogens, and also volatile (i.e., terpenes, ET, and 6-PP) and no volatile (auxins, ABA, harzianic acid) metabolites can alter plant growth and development, ftness, and productivity. The association of *Trichoderma* with roots induces the accumulation of the phytohormones SA and JA, which in turn modulate the expression of their responsive genes. *Trichoderma* also induces changes in the primary and secondary plant metabolism that might alter the interactions with shoot and root associated arthropods. Recent research has been focused in discovering the biological activity of the fungal metabolites in the rhizosphere, yet a broad number of secondary metabolites released by *Trichoderma* remain to be studied. Also future research should focus on the integration of applied and basic mechanistic research, for the successful application of *Trichoderma* in agroecosystems.

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# **Elicitor Proteins from** *Trichoderma* **for Biocontrol Products**



**Kandasamy Saravanakumar, Anbazhagan Sathiyaseelan, Arokia Vijaya Anand Mariadoss, and Myeong-Hyeon Wang**

#### **Contents**



# **1 Introduction**

Plants are the primary producers of food for human consumption, but their productivity is mainly affected by plant disease caused by an array of pathogens (bacteria, fungi, insects, vertebrate herbivores, and viruses). The available chemical fungicides in the market are dangerous to the human food chain and environments. Therefore, fungi from the genus *Trichoderma* (Ascomycota, Hypocreales) are considered as an alternative to chemical products for protection of plants from the various abiotic and biotic stress and improve the annual yield. *Trichoderma* spp. are a multitalented, which inhabited in the various ecological niches, and utilized in the various applications including agriculture, food, and medicine (Lorito et al. [2010\)](#page-245-0).

K. Saravanakumar · A. Sathiyaseelan · A. V. A. Mariadoss · M.-H. Wang ( $\boxtimes$ ) Department of Bio-Health Convergences, Kangwon National University, Chuncheon, Gangwon Do, South Korea e-mail: [mhwang@kangwon.ac.kr](mailto:mhwang@kangwon.ac.kr)

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*Trichoderma*-based biocontrol agents received a remarkable impact in agriculture plant diseases management for increasing the crop productivity. *Trichoderma* spp. mediated activities occurred through mycoparasitism, antibiosis, competition, and host-microbe interactions. *Trichoderma* spp. directly provide the beneficial effects to the plants by enhancing the growth and inducing the defense system against various abiotic and biotic stress (Hermosa et al. [2012;](#page-244-0) Shoresh et al. [2010\)](#page-247-0). Moreover, the *Trichoderma* strains also directly protect the plants through mycoparasitism of plant pathogens (Benítez et al. [2004](#page-243-0)). Among the various mechanisms, plants immunity is triggered by *Trichoderma*-host plants and pathogen interactions via microbial elicitors and/or plant receptors (Harman et al. [2012](#page-244-0); Contreras-Cornejo et al. [2011\)](#page-244-0). These interactions activate the plant immunity through altering the biochemical and physiological properties of plants toward various biotic and abiotic stressors (Contreras-Cornejo et al. [2011;](#page-244-0) Baker et al. [2012;](#page-243-0) Mathys et al. [2012;](#page-245-0) López-Mondéjar et al. [2011](#page-245-0)). Although the *Trichoderma* spp. colonization of the plant could increase the signalizing pathway related to the plant immunity, the colonization is restricted by salicylic acid (SA) signaling transduction (Alonso-Ramírez et al. [2014\)](#page-243-0). Therefore, *Trichoderma* colonization in plant root cortex induces plant immunity. For the instance, the researchers have developed an SA knockout mutant (*sid2*) *Arabidopsis thaliana* to scientifcally evidence how SA involved in the *T. harzianum* colonization in plant root. The result reveals that the colonization of *Trichoderma* in the *sid2* mutant was higher than the wild-type *Arabidopsis thaliana*. Although there are known or unknown plants molecules are restricts the colonization of the *Trichoderma* spp. in the plant vascular tissue, the support of SA is important for the colonization of the fungi (*Trichoderma* spp.) (Alonso-Ramírez et al. [2014\)](#page-243-0).

The association between the beneficial microbes and plants led the more effective improvement in plant growth, nutrient uptake, and tolerance toward abiotic and biotic stressors (Schirawski and Perlin [2018\)](#page-246-0). These interactions are established through manipulation of the SA/jasmonic acid (JA) pathways (Berens et al. [2017\)](#page-243-0). Also, these plant/microbe interactions were modulated through the plant hormones such as ethylene (Et), auxins, cytokinins (CKs), gibberellins (GAs), and abscisic acid (ABA)(Zamioudis and Pieterse [2012](#page-247-0)). It is noteworthy that the plant hormones are not only involved in establishing the plant benefcial interactions but also trigger the plant growth, developments, and reproduction and deal with abiotic and biotic stress (Guzmán-Guzmán et al. [2019](#page-244-0)). Among the various benefcial microbial groups, the *Trichoderma* spp. play an important role in the health of ecosystem. *Trichoderma* is a native microflora inhabited in agriculture soils, colonize in the plant roots and aerial parts, and even grow as an endophyte. *Trichoderma* spp. are one of the major bio-pesticides because ~60% of registered commercial biopesticides contain any one of *Trichoderma* spp. (Mukherjee et al. [2013\)](#page-245-0). Overall, it is evidenced that the *Trichodermal* plant interactions mutually beneficial for plant growth and immunity developments. Perhaps the *Trichoderma*-plant-pathogens interactions occurred through elicitor from *Trichoderma* and receptor plants. According to current research, result confrms that *Trichoderma* spp. are known to produce the volatile compounds, secondary metabolites, small RNA, and proteins

<span id="page-234-0"></span>which acted as effector/elicitor to moderate the interaction and promote the plant growth and immunity (Ramírez-Valdespino et al. [2019](#page-246-0)). However, there is no work summarizing the elicitor like proteins from the *Trichoderma* response to plantmicrobe interactions. Therefore, this book chapter aimed to summarize the earlier presented research on *Trichoderma* elicitors proteins and molecular mechanism for plants beneficial effects.

#### **2** *Trichoderma***-Plant Interactions**

*Trichoderma* spp. are spore forming fast-growing fungi, a cell factory for production of enzymes (cellulases, glucanases, chitinases, and cell wall degrading enzymes (CWDEs)), secondary metabolites including antibiotics. Moreover, it impacts in agriculture and environments as biodegrader for hydrocarbons, polysaccharides, xenobiotic pesticides, and chlorophenolic compounds used in agriculture (Harman and Kubicek [1998](#page-244-0); Harman et al. [2004\)](#page-244-0). *Trichoderma* spp. are opportunistic avirulent symbionts which colonize in plants' root providing the benefcial effects. *Trichoderma* inoculation moderates the plant growth, through modifying the soil properties including microbiome, pH, and micro and macro nutrient (Tandon et al. [2020\)](#page-247-0). For example, *T. harzianum* (CCTCC-RW0024) inoculation prevents the *Fusarium* stalk rot disease in maize through establishing root colonization and augmenting the plant growth-promoting bacteria (Saravanakumar et al. [2017\)](#page-246-0). Similarly, another study evidenced that the soil or seed application of the *Trichoderma* spp. has trigger the mangroves' growth through enhancing the soil phosphate solubilization (Saravanakumar et al. [2013,](#page-246-0) [2018a\)](#page-246-0). Also, *Trichoderma* has reportedly promote the biomass of the wheat (*Triticum aestivum* L.) under the salt stress through increasing the water uptake and photosynthesis efficacy (Olijira et al. [2020\)](#page-246-0). Similarly, several studies have revealed that the inoculation of the *Trichoderma* strains acted bio-stimulants and bio-fertilizer to promote the plants under growth and immunity under the stress (Sun et al. [2021](#page-247-0); Mukherjee et al. [2012](#page-245-0); Elkelish et al. [2020;](#page-244-0) Sousa et al. [2020](#page-247-0)).

*Trichoderma* improves the root development and branching which accelerate the nutrient uptake by the plants (Mukherjee et al. [2013](#page-245-0)). The communication between the plants and *Trichoderma* is achieved via chemical signaling transfer activated by their various factors/elicitors, which alter the proteomic, transcriptomic, and metabolomics of plants (Contreras-Cornejo et al. [2009;](#page-244-0) Bonfante and Genre [2010\)](#page-243-0). *Trichoderma* spp. owned as potential agent through production of effector/elicitor molecules such as volatile compounds, secondary metabolites, small RNA, and proteins promote the plant growth and immunity (Ramírez-Valdespino et al. [2019\)](#page-246-0). *Trichoderma* promotes the plants growth and immunity through accelerating the signaling transfer through SA/JA pathways. However, the elicitor protein from the *Trichoderma* spp. is a key factor for the plant/fungi or organism's interactions medicated plant beneficial effect. According to the recently research, a total of 100 potential effector/elicitor proteins were documented from *Trichoderma* genome

<span id="page-235-0"></span>(Mendoza-Mendoza et al. [2018;](#page-245-0) Nogueira-Lopez et al. [2018](#page-246-0); Guzmán-Guzmán et al. [2017](#page-244-0)). In the early interaction, the JA/SA-related genes are downregulated in *A. thaliana* for favoring root colonization of *Trichoderma*. After the complete colonization, the *Trichoderma* activates plant defense-related gene expressions both systematically and locally. This indicated that *Trichoderma* root colonization is not threat to the host plants (Morán-Diez et al. [2012\)](#page-245-0). The interaction of *Trichoderma* spp. with host plants provides several benefcial effects such as stress tolerance, root modifcation, soil quality improvement, solubilizing nutrients and increasing the nutrient uptake, inhibit the pathogenic organism colonization by mycoparasitism or antibiosis (Fig. [1\)](#page-236-0). In the initial step, *Trichoderma* colonize in the root and penetrate through anchoring proteins such as cysteine-rich proteins (hydrophobin) (Viterbo and Chet [2006\)](#page-247-0). *Trichoderma* enables the hormonal balancing in plant, which results in plant resistance and defense against pathogens, increasing the nutrient absorption (blue circles) (Guzmán-Guzmán et al. [2019](#page-244-0)).

#### **3** *Trichoderma* **Elicitor Proteins**

The term of "*Trichoderma* elicitor" was proposed that the molecules might involve in triggering plant immunity by altering the physiology and causing structural changes. The plant-microbe's interactions can cause the negative or positive effect to the plants. The molecule-derived pathogenic organism can establish the plantpathogenic organism interactions which cause the plant infection or trigger the plant defense in a nonspecifc fashion (Alba et al. [2011\)](#page-243-0). Overall, these moleculeassociated plant-pathogenic organism mechanisms are defned as pathogenassociated molecular patterns (PAMPs), and in case of the nonpathogenic microbes, it is called as microorganism-associated molecule patterns (MAMPs) (Jones and Dangl [2006\)](#page-245-0). Recently, these molecular patterns are referred as PAMPs/MAMPs due to the wide distribution of the elicitors and their properties. Moreover, in some cases, the difference between the PAMPs and MAMPs is not well described because it is completely related to the microbial genera or whether it is pathogenic organism or nonpathogenic benefcial microbes. However, the classifcation of the PAMPs and MAMPs involved in the plant-microbe interactions remains open. However, the *Trichoderma* spp. are known to trigger the plant immunity through the MAMPs as it nonpathogenic microbes. The microbes triggered plant immunity classifed as induced systemic resistance (ISR), which mediated through ethylene (ET) and jasmonic acid (JA)(Loon et al. [2006](#page-245-0)). Also, the systemic acquired resistance (SAR) mediated by salicylic acid, results in the expression of pathogenesis-related genes (Bari and Jones [2009](#page-243-0)). It is reported that the small molecular weight proteins from the *Trichoderma* spp. is known to induce the plant immunity as elicitor (Yu et al. [2018\)](#page-247-0). The *Trichoderma* spp., secreting small molecular weight proteins, are containing the four or more cysteine residues named as *Trichoderma* generated small secreted cysteine-rich proteins (SSCPs) and divided into four groups: (i) elicitorlike proteins, (ii) hydrophobins and hydrophobin-like proteins, (iii) SSCP with no

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**Fig. 1** The cellulase (THPH1 and THPH2) from the *T. harzianum* interacted with the autophagocytosis associated protein (ZmATG3) and germin-like protein (ZmGLP) respectively which trigger the plant immunity against pathogenic organism through upregulation of genes related to JA and ET signaling pathway. (Adapted from [39] Attribution 4.0 International (CC BY 4.0))

attribution to functional category, and (iv) MAP kinase repressed secreted protein 1 (MRSP1) (Kubicek et al. [2011;](#page-245-0) Druzhinina et al. [2012](#page-244-0)). The eliciting plant response protein (Epl1) also known as Sm1 from the *T. virens* Gv 29-8 induced the plant immunity locally and systematically in the cotton seedlings (Djonović et al. [2006\)](#page-244-0). Similarly, the EPL1-Tas (Eliciting plant response protein) identifed from the *T. asperellum* elicit the plant defense response against *Alternaria alternata (*Yu et al. [2018](#page-247-0)*)*. The list of elicitor proteins identifed from the *Trichoderma* spp., which trigger the plant immune response, is summarized in Table [1](#page-237-0). Some of research reports



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(continued)



<span id="page-240-0"></span>evidenced the elicitor proteins and their receptor, but most of the work deals with only the elicitor. For example, a work reported the elicitor and receptor from the maize (Fig. [1\)](#page-236-0). This work reports that the cellulases like Thph1 and Thph2 from *T. harzianum* regulate the plant immune system through upregulating JA- and ET-related gene expression (Wang et al. [2013](#page-247-0)), followed by the same group identifying the receptor responsible for the interaction with elicitor Thph1 and Thph2 as autophagocytosis associated protein (ZmATG3) and germin-like protein (ZmGLP), respectively, by Y2H assay (Saravanakumar et al. [2018b](#page-246-0)).

# **4** *Trichoderma* **Proteins Altering the Physiology and Causing Structural Changes in Plants**

The root colonization of the *Trichoderma* in plants cause some structural changes such as callose deposition, tylose formation, and cell wall thickening in cork layers or xylem vessels (Bolton [2009\)](#page-243-0). The plants known to show the ROS production increased regulation of resistance-related proteins, plant molecules, and secondary metabolites (phytoalexins) due to the plant-microbe interactions. Moreover, these expressing are considered as marker or indicator for the activation of the plant defense reaction toward plant disease management, which is evidenced through the various experimental models (Harman et al. [2012;](#page-244-0) Salas-Marina et al. [2011](#page-246-0)), in which one of the work demonstrated that *Trichoderma* colonization in the *Arabidopsis* roots induced the systemic disease resistance through activation of the molecules corresponding to the jasmonic acid/ethylene and salicylic acid pathways (Salas-Marina et al. [2011](#page-246-0)). Moreover, the appropriate recognition between the microbe-derived elicitors and plant receptors can activate the ion channels and plasma membrane potential, which enable the transient influx of  $Ca2^+$  and  $H^+$  and exfux of K+ and Cl− (Luo et al. [2010\)](#page-245-0). *Trichoderma* colonization also alters the phytohormone secretion, which has the important role in the plant immunity and metabolism. Among the ROS, the hydrogen peroxide received the more attention because that triggers the cell wall expansion and lignifcation (Bolton [2009;](#page-243-0) Ahmad et al.  $2008$ ). For example, the *T. harzianum* colonization triggers the H<sub>2</sub>O<sub>2</sub> in the cucumber and tomato plants (Nawrocka et al. [2012](#page-246-0)). Moreover, it is noteworthy that the ROS is accompanied due to the process of cell wall damage its occurred through suppression of OH' (hydroxyl radicals) by enzymes such as peroxidase or catalases (Nawrocka et al. [2012](#page-246-0)). The earlier reports revealed that the *Trichoderma* interactions with plants activate or regulate the several secondary metabolites involved in the various plant secondary metabolic signaling pathways such as ethylene (ET), jasmonic acid (JA), and salicylic acid (SA)(Harman et al. [2012;](#page-244-0) Contreras-Cornejo et al. [2011\)](#page-244-0). These studies indicated that *Trichoderma* induce the plant defense response through activation of various metabolic signals and gene/protein expression in the plants against various abiotic and biotic stress.

# <span id="page-241-0"></span>**5** *Trichoderma* **Elicitor Proteins Trigger the Plant Immunity**

Although the molecular studies are report the *Trichoderma* colonization is benefcially activates the plant defense against various stresses. The specifc nature of the resistance activation in the plants is unclear. *Trichoderma* inoculation induces the plant hormone-dependent metabolic molecules characteristic in systemic acquired resistance (SAR) and induced systemic resistance (ISR) (Hermosa et al. [2012\)](#page-244-0). *Trichoderma* induced plant immunity classifed into ISR and SAR, which is not different with the phenotypic of plants (Contreras-Cornejo et al. [2011](#page-244-0)). The SAR accompanied through exposure of the host plants with hemibiotrophic and biotrophic as well as nonpathogenic microbes (Salas-Marina et al. [2011](#page-246-0)). This reaction would have occurred through endogenous accumulation of SA (salicylic acid) by hypersensitive reaction, phytotoxicity, or necrotic in hormonal and camalexindependent mechanisms in the *Arabidopsis thaliana* (Contreras-Cornejo et al. [2011\)](#page-244-0). The ISR is triggered by JA and ET pathway though interacting of the nonpathogenic microbes, root associated rhizosphere microbes such as plant growth-promoting rhizobacteria (PGPR) and insects (Salas-Marina et al. [2011](#page-246-0)). The reports claimed that the *Trichoderma* also elicit the plant immunity through ISR mechanism by activation of ET o JA associated signal molecules similar to PGPR (Hermosa et al. [2012\)](#page-244-0). The possible mechanism of the *Trichoderma* elicitor regulated plant immunity is presented in Fig. [2](#page-242-0). *Trichoderma* activate the plant receptor protein kinase (TIPK) cascade through *Trichoderma* exposure. Followed by trigger the defeance genes such as MPKKK/MEKK, MPKKs which trigger the phosphorylate MPK. The induction of MKP (mitogenic kinase pathway) trigger the plant defense-related genes (Schuster and Schmoll [2010](#page-246-0)). *Trichoderma* interaction activates plant defense mechanism comprising the secretion of the plant molecules against the pathogenic organisms, which includes the cationic peptides widely reported from the all type of plants (Cui et al. [2018\)](#page-244-0). These kinds of antimicrobial molecules synthesized from the plants are proved to be an effective inhibitor for plant pathogens (Seo et al. [2014;](#page-246-0) Nawrocka and Małolepsza [2013](#page-245-0)). For instance, the *Trichoderma*-induced plant resistance contains the compounds of SAR or ISR expected at molecular level. Further the expression of the transcription factor from the same group of the family may affect through the response of the ET, JA, and SA signaling pathways (Contreras-Cornejo et al. [2011](#page-244-0)). The accumulation of the compounds such as enzymes, terpenoids, phenol, and PR proteins changed in relation to the encoding gene expression of JA/ET when exposed to the *Trichoderma (*Mathys et al. [2012](#page-245-0)*)*. Also *Trichoderma* induce the plant defense system through modulation of the other molecules such as abscisic acid, sucrose, gibberellins, cytokinins, auxins, and peptide hormones by JA, ET, and SA signaling pathway (Vargas et al. [2009](#page-247-0)). These reports indicated that the plant defense system is not activated only through a specifc molecule or pathway; it occurred through synergic changes in the plant cellular, structural, and biochemical parameters (Contreras-Cornejo et al. [2011\)](#page-244-0).

<span id="page-242-0"></span>

**Fig. 2** Possible reactions at the biochemical and molecular level in plant cells related to defense response and development of resistance induced by *Trichoderma*. Membrane depolarization, pH changes, ROS accumulation and signaling pathways are activated in response to *Trichoderma* elicitors-plant receptors interaction and lead to defense gene expression. In plants, enzyme activity increase, as well as accumulation of phenol compounds and phytoalexins are more often observed. MAMPs-microbe associated molecular patterns, MPKKK, MEKK, MPKK,MEK, MPK-mitogenactivated protein kinases of MPK cascade, TIPK-*Trichoderma*-induced protein kinase PRR-pattern recognition receptor, WRKY-transcription co-activators, SA-salicylic acid, Me-SA-salicilic acid metyl, SA-AA-link form of salicilic acid, ET-ethylene, JA-jasmonate acid, Me-JA-jasmonate acid methyl, JA-AA-link form of jasmonate acid, ABA-abscisic acid, PRs-pathogenic organism related proteins, PAL-phenylalanine ammonia lyase, CHS-chalcone synthase, l-Phe-l-phenylalanine, t-CA-trans-cinnamic acid, IC-isochorismate, NPRo/m-NPR protein oligomer/monomer, MYB/ MYC-co-activators of *Trichodrema* induced resistance, OX oxylipins, TGA-transcription factor, LA-linolenic acid, AO-allene oxide, OPDA-12-oksoftodien acid, OPC- 3-oxo-2-(2′-pentenyl) cyclopentane-1-octanoic, PO- phospholipase, LO – lipoxygenase, C – carbohydrates, CHS – chalcone synthase, FL – favonoids and derivatives, GA3P – glyceraldehyde-3-phosphate, MVA – mevalonic acid, IPP – isoprenoids, IPS – isoprenoid synthase, ACC – 1-aminocyclopropane- 1-carboxylic amid, SAM – S-adenosyl methionine, ACCS – synthase, ACCO – oxidase. (Reprinted from [73] Biological control, 67 (2), 2013, 149–156. Diversity in plant systemic resistance induced by *Trichoderma*, Biological Control with permission from Elsevier. Copyright (2013), Elsevier. License number: 5043941510594)

## <span id="page-243-0"></span>**6 Conclusion**

In summary, this book chapter consolidates the work reported on the elicitor-like proteins from *Trichoderma* and their role in plant immunity activation. There are several studies that have been claimed that *Trichoderma* benefcially interacts with the plants and activates the plant immunity in either ISR or SAR mode. *Trichoderma* not only induces the plant immunity that also increases the soil quality and soil microbiome benefcial for the plant growth and developments. Although there are a number of the molecular studies reported on the *Trichoderma*-host interactions, a handful of the studies described the elicitor and their corresponding receptors in plants. *Trichoderma* spp. acted multitalented player in the agriculture crop cultivation. Overall, this book chapter concluded that still more studies are required to understand the elicitors and reporters from both end such as *Trichoderma* and plant or plant pathogenic organisms.

**Confict of Interest** The authors declare that they have no confict of interest.

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# **Genus** *Trichoderma***: Its Role in Induced Systemic Resistance of Plants Against Phytopathogens**



**Kishor Chand Kumhar, Azariah Babu, John Peter Arulmarianathan, Abhay Kumar Pandey, and Bhabesh Deka**

#### **Contents**



# **1 Introduction**

Food is one of the basic requirements of human existence by providing proper nourishment and energy to carry out various physiological and biological activities which are necessary for survival and adequate development (Shewry and Hey [2015\)](#page-260-0). The major part of human food is being derived from the agricultural sector (Pretty et al. [2010\)](#page-259-0). Cereals, pulses, vegetables, spices and condiments, oilseed, fruits, etc.

K. C. Kumhar  $(\boxtimes)$ 

Department of Plant Pathology, College of Agriculture, CCS Haryana Agricultural University, Hisar, Haryana, India

A. Babu · A. K. Pandey · B. Deka

Tea Research Association, North Bengal Regional Research and Development Centre, Nagrakata, Jalpaiguri, West Bengal, India

J. P. Arulmarianathan Varsha Bioscience and Technology India Pvt Ltd, Hyderabad, Telangana, India

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are primary components of human food. The success of crop production depends on several factors such as weather conditions, agricultural practices, attack of weeds, insect pests and diseases, plant protection measures, and so on. Plant diseases are considered an important constraint in deciding the quantity as well as the quality of crop production to a greater extent. According to an estimate, plant diseases cause crop loss worth rupees 60,000 crores per annum (Tripathi et al. [2020](#page-260-0)).

The phytopathogens are broadly categorized, based on their nature of survival, as soil-dwelling, found in association with seed and airborne. They can effectively be controlled through adopting different management strategies at an appropriate time. Seed-borne disease can effectively and economically be controlled through seed treatment; similarly, soil- and airborne species can be controlled through soil treatment and foliar application, respectively, with suitable plant protection measures. The phytopathogens such as fungi, bacteria, viruses, etc. are the important biotic challenges for the health of plants. Since long back, these could be managed through the gamut of practices including chemical fungicides at top priority owing to immediate and long-lasting effect. The excess and frequent usage of chemical fungicides are risky in terms of soil health deterioration, unhealthy environment, imbalanced ecosystem, etc. (Wightwick et al. [2010\)](#page-261-0).

However, nature has already provided an inbuilt mechanism for plants to resist or tolerate unfavorable biotic challenges or stresses. In the current scenario, agriculturists may be growers; researchers, as well as consumers, are diverting their choices toward non-chemical means of disease management to maintain environmental health in a very good status and having chemical-free food items. The "plant disease resistance" phenomenon is of immense importance owing to its natural existence and safety aspects.

Certain microorganisms are capable of initiating resistance in plants to take care of phytopathogenic microorganisms. The importance of biological control agents (BCAs) in managing a wide range of phytopathogens through induced resistance is well recognized, which may be local or systemic (Carreras-Villaseñor et al. [2012;](#page-256-0) Hermosa et al. [2012\)](#page-258-0). The induced resistance could be one of the long-lasting and effective plant protection strategies. When the plants come in contact with microorganisms, the plant receptors recognize the microbial elicitors and activate the numerous signaling pathways. Interaction of both plants and microbes results in different changes in plant physiology and its biochemical reactions which protect the plants from the attack of the pathogen (Contreras-Cornejo et al. [2011\)](#page-257-0). Rhizosphere microorganisms induce resistance in plants to suppress the disease (Keswani et al. [2013](#page-258-0)).

Genus *Trichoderma* has been established as the superstar among the beneficial microorganisms of agricultural importance owing to its multiple modes of actions. It is estimated that bioagents contribute 2.5% of the total pesticide market in India worth rupees 690 crores (Tripathi et al. [2020](#page-260-0)). This chapter is focused on this antagonist, considering its various aspects of defense mechanisms.

#### <span id="page-250-0"></span>**2 Consequences of Disease Management Through ISR**

*Trichoderma* **species:** They are opportunistic, avirulent plant symbionts, as well as an antagonist for other fungi. Certain strains establish vigorous and long-lasting colonization of root surfaces and penetrate the epidermis. They release a variety of compounds to induce localized or systemic resistance responses and cause considerable changes to the plant proteome and metabolism. A root colonization phenomenon of *Trichoderma* spp. also enhances root growth and development, crop productivity, resistance to abiotic stresses, and the uptake and utilization of nutrients. Soilborne disease-causing microorganisms mostly attack the seeds or seedlings of various crops. Species of *Trichoderma* can be applied for seed treatment, biopriming, and furrow treatment to reduce crop losses.

Owing to the manifold increase in its demand, several *Trichoderma*-based products have been commercialized in India and other countries. *Trichoderma* products enhance biocontrol potency through the manipulation of the rhizosphere and phylloplane environment. Its integrated application provides synergistic effects to other alternative methods that alone do not provide adequate protection (Sanjeev [2013](#page-260-0))**.**

Genus *Trichoderma* has come into sight as a multiple featured beneficial fungal candidate. Its peculiar characters include antagonism, competition for nutrients, and induction of systemic resistance, plant growth promotion, and improvement of abiotic stresses. It can perform better than fungicides for the control of soilborne fungal diseases and can sustain longer for a longer period. Besides, it reduces health risks, costs, and environmental damage due to the overburden of fungicide usages. Its formulated products can be used in many ways, viz., seed treatment and direct application to the soil before planting and along with organic fertilizers (Ha [2010\)](#page-257-0). Among the promising biological control agents (BCAs), which provide a protective umbrella to crops against several phytopathogens, the genus *Trichoderma* is considered the most popular one. It is efficient in controlling a gamut of phytopathogens (Kumhar et al. [2015](#page-258-0), [2020;](#page-258-0) Kumhar and Babu [2019](#page-258-0), [2020;](#page-258-0) Harman [2006;](#page-258-0) Kumar et al. [2017](#page-258-0)) in various ways. Out of about hundreds of species, *T. viride*, *T. harzianum*, *T. asperellum*, *T. atroviride*, *T. hamatum*, etc. are on the list of potential candidates.

Among multifarious actions of this genus as an ideal disease manager, it also helps in the development of resistance (Meller Harel et al. [2014](#page-259-0); Alizadeh et al. [2013\)](#page-256-0). Induced systemic resistance (ISR) develops after infection of phytopathogens; certain chemicals or non-pathogenic microorganisms can also initiate it. Induction of defense response through *Trichoderma* spp. in different plants against various fungal and bacterial phytopathogens was enlisted by researchers (Bisen et al. [2016](#page-256-0)). When *Trichoderma* spp. are ineffcient in controlling the pathogens directly, under such situations, the induced resistance is the most viable tactic that strongly protects the plants from airborne phytopathogens. A setup of various defense pathways in host plants activated owing to the participation of *Trichoderma* spp*.* Such defense has been extensively established in the lab as well as feld conditions against a wide variety of soilborne phytopathogens (Singh [2014](#page-260-0); Harman et al. [2012\)](#page-258-0).

## <span id="page-251-0"></span>**3 Sequential Events in ISR**

At the time of disease development, plants undergo a series of activities or processes to make up for the incurred losses. This process includes regulation of specifc genes; change in the level of reactive oxygen species (ROS) requires in plant defense pathway activation of the specifc transcription factor, defense regulation genes, increased transport of macromolecules, enzymes, and phytohormones (Bari and Jones [2009;](#page-256-0) Vitti et al. [2013](#page-260-0)). Phytohormones such as jasmonic acid, salicylic acid, abscisic acid, ethylene, auxins, cytokinins, and gibberellic acid are dominant signal in regulating the local as well as systemic defense in the plant system. The pathogeninduced systemic acquired resistance is governed by the salicylic acid signaling pathway (Métraux [2013](#page-259-0); Conrath [2006\)](#page-257-0). The induced systemic resistance (ISR) due to benefcial microbes is regulated by jasmonic acid signaling (Hermosa et al. [2013;](#page-258-0) Manganiello et al. [2018\)](#page-258-0).

Comprehensive attention toward the research related to the signifcance of fungal BCAs in ISR was paid during the past decade, and it was proved that plant growth promotional fungi (PGPF) are efficient to elicit ISR in plants. Evaluation of antagonistic fungi for this aspect showed positive responses in the plant (Keswani et al. [2013;](#page-258-0) Singh [2014](#page-260-0)). The role of *Trichoderma* on this aspect was frst reported in *Vitis vinifera* (Calderón et al. [1993](#page-256-0)); subsequently, this phenomenon was noted in the case of *T. longibrachiatum* which induced resistance against *P. parasitica* in *Nicotiana tabacum* owing to the induced resistance of the tobacco plants exhibiting increased pathogenesis-related genes (Chang et al. [1997\)](#page-256-0).

Being a successful colonizer of plant roots, *Trichoderma* spp. can induce resistance in an array of monocot and dicot plants. Its root colonization is a must to stimulate the defense response. Soil inoculation of *T. harzianum* T-39 triggered a defense response in *Phaseolus vulgaris* to protect the crop from some fungal pathogens (Bigirimana et al. [1997\)](#page-256-0). Tomato plants exhibited local and systemic resistance against early blight pathogen when *T. harzianum* was applied (Howell [2003\)](#page-258-0). Soil and foliar application of *T. harzianum*T-39 could effciently reduce disease incidence owing to induced ISR against gray mold fungus (De Meyer et al. [1998\)](#page-257-0). *T. harzianum* strain T-203 could enhance the defense system in cucumber (Yedidia et al. [2000\)](#page-261-0). Similarly, cottonseed treated with such antagonist controlled soilborne fungus (Howell et al. [2000\)](#page-258-0).

# **4 Communication Between** *Trichoderma* **and Host Plant While ISR**

The interconnected hormone signaling pathways govern the growth and immunity aspects of plants (Pieterse et al. [2009\)](#page-259-0) to maintain their proper health. In this process, JA/ET, SA, abscisic acid pathways, and other signaling cascades are of great importance. In plants and *Trichoderma* interaction, the ACC deaminase (ACCD)
action suppresses the level of 1-aminocyc1opropane-1-carboxylic acid (ACC) which is essential for the biosynthesis of ET. ET is helpful in the signaling of gibberellins which enhance plant growth and degrading the DELLA proteins. Straightway, the gibberellin is responsible for the initiation of jasmonic and salicylic acid-oriented resistance. Biosynthesis of indole acetic acid (IAA) and ethylene (ET) can take place by each other in the plant system (Stepanova et al. [2007](#page-260-0)).

## **5 Root Colonization by** *Trichoderma* **spp.**

The secretion of the plant roots acts as a bridge between *Trichoderma* and plant roots (Bais et al. [2006](#page-256-0)). Polysaccharides secreted by roots assist the *Trichoderma* to grow well; however, sucrose secreted in such exudates is a major performer (Contreras-Cornejo et al. [2009;](#page-257-0) Vargas et al. [2009\)](#page-260-0). Numerous proteins like *TasHydl* protein, cell wall protein, *SwolleninTasSwo* protein, and endopolygalacturonase *thpg1* are useful for this antagonist to colonize the roots successfully (Viterbo and Chet [2006](#page-260-0); Samolski et al. [2012;](#page-260-0) Brotman et al. [2008;](#page-256-0) Eugenia et al. [2009\)](#page-257-0). The successful colonization of the roots leads to certain alterations in plant system (Zhang et al. [2013](#page-261-0); Mukherjee et al. [2012](#page-259-0)).

#### **6 Plant Defense Elicitors Secreted by** *Trichoderma* **spp.**

In the process of systemic resistance, the plant receptors recognize the specifc components from the cell surface of microorganisms. The microbial cell surface may be pathogen-associated molecular patterns (PAMPs) in the case of phytopathogens or other microorganisms; it may be microbe-associated molecular patterns (MAMPs) (Schwessinger and Zipfel [2008](#page-260-0)). The secondary metabolites, i.e., antibiotics and some other compounds produced by *Trichoderma* spp., activate a systemic resistance reaction in plants (Lorito et al. [2010](#page-258-0)). A nice description of *Trichoderma*originated elicitors involved in the plant defense activity toward the phytopathogens was made by some workers (Bisen et al. [2016](#page-256-0)).

Among numerous recognized fungal BCAs, merely *Trichoderma* spp. are actively involved in systemic resistance activity through MAMPs (Vinale et al. [2008\)](#page-260-0). Ethylene-inducing xylanase (Xyn2/Eix) is the frst identifed MAMP responsible for bringing out defense reactions in plants (Rotblat et al. [2002](#page-259-0)). Cellulases produced by *Trichoderma* spp. activate the ethylene and salicylic acid pathways for the initiation of defense response in plants (Martinez et al. [2001](#page-259-0)). Certain *Trichoderma-*originated proteins, viz., *swollenin* TasSWO, endopolygalacturonase *th*PG1, and EPL1/SM1, also play a vital role in plant defense responses (Brotman et al. [2008](#page-256-0); Eugenia et al. [2009](#page-257-0); Djonović et al. [2006](#page-257-0); Seidl et al. [2006](#page-260-0)). *Trichoderma*based secondary metabolites at low doses act as MAMPs, helping in resistance initiation. Harzianolide, 6-pentyl-o-pyrone, harzianopyridone alamethicin, enzyme hybrid, and peptaibol reported initiating systemic resistance in tomato, pea, and canola, bean, and maize (Engelberth et al. [2001;](#page-257-0) Viterbo et al. [2007;](#page-260-0) Luo et al. [2010;](#page-258-0) Druzhinina et al. [2011](#page-257-0); Mukherjee et al. [2012](#page-259-0)).

*Trichoderma*, a ubiquitous antagonist, has occupied an apparent position among the benefcial microbes because of its manifold features in taking care of plant health in terms of vegetative growth promotion, management of phytopathogens, enhancing resistance development in plants against phytopathogens, etc. It produces pathogenesis-related proteins (PRPs), phytoalexins, terpenoids, as well as enzymes such as phenylalanine ammonia-lyase, peroxidase, polyphenol oxidase, and lipoxygenase which determine the resistance in the plants against pathogens (Chakraborty et al. [2020\)](#page-256-0).

# **7 Defense Signaling Pathways Activated During ISR**

*T. harzianum* has been reported to encourage systemic resistance in tomato, lettuce, pepper, bean, tobacco, and cucumber seedlings (De Meyer et al. [1998](#page-257-0); Yedidia et al. [1999\)](#page-261-0). The induced response is a time as well as a concentration-dependent phenomenon. Root colonization causes different sequential changes in a very systematic way. Plants react with *Trichoderma* while invading following the signaling to activate the synthesis of salicylic acid, jasmonic acid, and ethylene to ultimately induce the resistance responses in the entire plant against the phytopathogens. At higher concentrations, *T. asperellum* triggers an SA-mediated SAR response in the plants (Segarra et al. [2007](#page-260-0); Contreras-Cornejo et al. [2011;](#page-257-0) Salas-Marina et al. [2011;](#page-259-0) Yoshioka et al. [2012\)](#page-261-0).

Application of *T. asperellum* strain T-34 and *T. harzianum* showed a SAR response to a quick increase in peroxidase, activity, and level of jasmonic as well as salicylic acid in cucumber and potato plant to resist against the plant disease-causing microbes (Segarra et al. [2007](#page-260-0); Gallou et al. [2009\)](#page-257-0). Biomass suspension and culture fltrate of *T. asperellum* SKT-1 could play an active role in such resistance against the bacterial disease of tomato following several alterations in the plant system (Yoshioka et al. [2012](#page-261-0)). The role of *T. atroviride* in resistance development was proven against the root pathogen of *Arabidopsis* by earlier researchers (Salas-Marina et al. [2011](#page-259-0)). *T. asperellum* SKT-1 induced systemic resistance against cucumber mosaic virus in *Arabidopsis thaliana* (Elsharkawy et al. [2013\)](#page-257-0). This antagonist is capable of resistance initiation for the control of *B. cinerea* through different modifcations in the plant system (Tucci et al. [2011;](#page-260-0) Mathys et al. [2012\)](#page-259-0). *T. asperellum* application laid enhancement of phytoalexin buildup, which helped in the induction of systemic resistance toward bacterial pathogen in cucumber (Yedidia et al. [2003](#page-261-0)). Inoculation of *T. asperellum* and *T. harzianum* in cucumber, potato, and grapevine caused genetic changes in support of resistance development (Shoresh et al. [2005;](#page-260-0) Gallou et al. [2009;](#page-257-0) Perazzolli et al. [2008](#page-259-0), [2011](#page-259-0)).

### **8 Application of Genus** *Trichoderma*

As a plant protection strategy, it can be used for seed and soil treatment, foliar spray, enrichment of vermicompost, and/or farmyard manure.

# *8.1 Soil Application and ISR*

*T. harzianum*-treated tomato induced salicylic acid signaling pathway and ethylene biosynthesis against root-knot nematode (Leonetti et al. [2017\)](#page-258-0). Tomato seed treatment with *T. virens* plus its soil application expressed defense-related enzymes such as peroxidase (PO), polyphenol oxidase (PPO), and phenylalanine ammonia-lyase (PAL) playing a role in the induction of systemic resistance against wilt-causing fungus, *Fusarium oxysporum* f. sp. *lycopersicae*. The enzymatic activity increased after a week and reached the maximum level after 2 weeks (Christopher et al. [2010\)](#page-257-0). Treatment of oil palm seedlings with *T. virens* for the control of basal stem rot (BSR) encouraged the activities of plant defense-related enzymes (peroxidase, polyphenol oxidase, superoxide dismutase, and phenylalanine lyase) in the leaves (Paudzai et al. [2019](#page-259-0)).

Wheat seed treatment with *T. harzianum* for spot blotch pathogen, *Bipolaris sorokiniana* management in combination with the application of methyl jasmonate enhanced indole acetic acid production in the rhizosphere the activities of defenserelated enzymes, viz., catalase, ascorbate peroxidase, ascorbate oxidized, phenylalanine ammonia-lyase, cinnamic acid, and peroxidase (Singh et al. [2019\)](#page-260-0). Maize seed treatment with *T. harzianum* strain-T22 for the management of damping-off caused by *Pythium ultimum* resulted in the accumulation of defense-associated protein such as endochitinase, pathogenesis-related protein, GTP-binding protein, iso-flavone reductase, and other proteins (Chen et al. [2005\)](#page-256-0).

Chickpea, *Cicer arietinum*, seed treatment with *T. viride* followed by its foliar sprays induced systemic resistance against *C. campestris* infestation through the increased production of defense enzymes (Kannan et al. [2014\)](#page-258-0). Incorporation of *T. harzianum* OTPB3 cell suspension into pots containing tomato seed had signifcantly increased the level of indole-3-acetic acid (IAA) and gibberellic acid (GA3) in roots of treated seedlings. The antagonist enhanced the levels of defense-related enzymes, i.e., peroxidase, polyphenol oxidase, and superoxide dismutase (Chowdappa et al. [2013](#page-257-0)).

# *8.2 Foliar Spray and ISR*

Application of live and dead biomass of *T. harzianum* T-39 on the roots and leaves of cucumber plants, respectively, induced the local and systemically induced resistance for the control of powdery mildew caused by *Pseudoperonospora cubensis*

and *Sphaerotheca fusca* under greenhouse conditions (Elad [2000](#page-257-0)). *T. harzianum* T-39 induced resistance in grapevine against downy mildew, and it was not affected by exposure to heat or drought (Roatti et al. [2013\)](#page-259-0).

Five species of *Trichoderma*, viz., *T. harzianum*, *T. asperellum*, *T. viride*, *T. virens*, and *T. aureoviride*, are the main candidates playing a crucial role in managing plant diseases via resistance development (Chakraborty et al. [2020](#page-256-0)). Soil treatment as well as the foliar application of *T. harzianum* (10<sup>7</sup> cells/ml) induced systemic resistance in cucumber plants (cv. Beit-Alpha) against the cucumber mosaic virus (Helmy and Maklad [2002](#page-258-0)). Soil application of *T. harzianum* produced pathogenesis-related proteins, i.e., chitinases, and hence induced systemic resistance in tomato plants (Ene et al. [2013\)](#page-257-0).

*T. harzianum*, an isolate of the saffower rhizosphere, was tested for effectiveness in controlling the root-rot of saffower caused by *M. phaseolina*. The seed was treated with talc formulation at different concentrations. *T. harzianum* (10 g/kg) was found effective in controlling disease under laboratory, greenhouse, and feld conditions as good as carbendazim. This antagonist could lead the higher activity of peroxidase, phenylalanine ammonia-lyase, chitinase, polyphenol oxidase, and β-1,3-glucanase which ultimately induced systemic resistance and physiological changes for plant defense mechanisms (Govindappa et al. [2010\)](#page-257-0). Tomato plants grown in *T. harzianum*-treated soil had expressed genes for priming of salicylic acid and ethylene in plant leaves and such plants expressed induced resistance toward *B. cinerea* (Elada [2018\)](#page-257-0).

Cucumber seed treatment with liquid *T. harzianum* formulation developed the systemic acquired resistance in cucumber plant cucumber mosaic virus Cucumovirus (CMV)(El-Dougdoug et al. [2013\)](#page-257-0). Seed treatment at different concentrations of talc formulation of *T. harzianum*, an isolate of cotton rhizosphere soil, is found effective in controlling bacterial blight of cotton caused by *Xanthomonas campestris* pv. *malvacearum* (Xcm) (Raghavendra et al. [2013\)](#page-259-0).

Grapevine exhibited a resistance response against *B. cinerea*, a gray mold pathogen (Calderón et al. [1993](#page-256-0)) while the application of *T. viride*. The resistance was due to the exclusive role of peroxidase in the elicitor-mediated formation of ROPs. In this context, elicitor treatment produced an increase in the level of extracellular peroxidases and the appearance of a new basic peroxidase isoenzyme, B3, which was correlated with the formation of resveratrol oxidation products (ROPs) (Calderón et al. [1994](#page-256-0)).

*T. harzianum* T-39 controls the foliar pathogens, *B. cinerea*, *Pseudoperonospora cubensis*, *Sclerotinia sclerotiorum*, and *Sphaerotheca fusca* in cucumber under commercial greenhouse conditions through local and systemic induced resistance. Cells of the benefcial microorganisms applied to the roots and dead cells applied to the leaves of cucumber plants induced the control of powdery mildew (Elad [2000\)](#page-257-0). *T. harzianum* isolates T-30 and T-78 expressed genes encoding for NAGases (*exc1* and *exc2*), chitinases (*chit42* and *chit33*), proteases (*prb1*), and β-glucanases (*bgn13*.1) which showed the greatest mycoparasitic potential against *F. oxysporum* (López-Mondéjar et al. [2011\)](#page-258-0).

<span id="page-256-0"></span>*T. harzianum*, *T. asperellum*, *T. koningiopsis*, *T. longibrachiatum*, and *T. aureoviride* promoted plant growth; reduced disease incidence of *Sclerotium rolfsii*, *Sclerotinia sclerotiorum*, and *Colletotrichum capsici*; and increased tolerance against biotic in chickpea plants (Saxena et al. [2015](#page-260-0)). *T. harzianum*-P1 mutant exhibited differential control potency against *Pythium ultimum* and *R. solani* indicating different mechanisms of interaction with various fungal phytopathogen (Woo et al. [1999](#page-261-0)). Application of *T. harzianum* with *Glomus mosseae* could increase the production of catalase and peroxidase enzymes which induced the resistance in *Cucumis sativus* plants, and ultimately these bioagents protected the crop from the attack of *A. alternata*, an opportunistic wilt pathogen (Matrood et al. [2020](#page-259-0)).

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# **Role of** *Trichoderma* **in Plant Growth Promotion**



**Sivagami Subramaniam, Nur Ain Izzati Mohd Zainudin, Asma Aris, and Zainap Ab Easa Hasan**

# **Contents**



# **1 Introduction**

*Trichoderma* sp. is a widespread soilborne ascomycete that bears green spores commonly classifed as avirulent symbionts for plants, but is well-known for its antagonistic and mycoparasitism mechanisms toward fungal diseases (Nakkeeran et al.

S. Subramaniam · Nur Ain Izzati Mohd Zainudin ( $\boxtimes$ ) · A. Aris

Department of Biology, Faculty of Science, Universiti Putra Malaysia, Serdang, Selangor, Malaysia

e-mail: [ainizzati@upm.edu.my](mailto:ainizzati@upm.edu.my)

Z. Ab Easa Hasan Department of Biology, Faculty of Science, Universiti Putra Malaysia, Serdang, Selangor, Malaysia

Department of Botany, Faculty of Science, Omar Al Mukhtar University, Al Bayda, Libya

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[2018\)](#page-283-0). Ninety percent of the *Trichoderma* species application has been experimentally carried out from various strains (Kredics et al. [2018\)](#page-282-0). Lately, the search to develop *Trichoderma* as a promising chemical-free fertilizer is overwhelming due to its capability to boost plant growth. Additionally, *Trichoderma* sp. is an omnipresent colonizer of cellulose substances and can usually be found where decomposing plants are available and in the vicinity of the plant roots, causing the induction of systemic resistance in plants against plant pathogenic organisms (Nawrocka and Małolepsza [2013\)](#page-283-0). During the infection and in application of *Trichoderma* species for disease management, the responses that occur include the systemic acquired resistance (SAR) induced by plant pathogenic organisms and the induced systemic resistance (ISR) mediated by *Trichoderma*. Generally, when there is a plant pathogen invasion, the plant gives precedence to its defense mechanisms despite its growth that causes stunt (Jiang et al. [2017\)](#page-282-0). Therefore, the *Trichoderma* assists the plants in their defense mechanism toward diseases through systemic resistance induction primarily to enhance their growth.

*Trichoderma* is claimed to function antagonistically toward plant pathogenic organisms via various mechanisms including competition, enzyme secretion, hyphal interactions, and mycoparasitism (Bastakoti et al. [2017](#page-280-0)). Besides, *Trichoderma* is also potent in diminishing pathogenic activity of these pathogens by priming for an improved defense mechanism of the host plants (Hermosa et al. [2012;](#page-281-0) Kottb et al. [2015\)](#page-282-0). Literally, an induced resistance indicates a state of a plant where it becomes infrequently infected compared to normal healthy plants that are non-induced (Loon [2007\)](#page-283-0). Unlike systemic acquired resistance (SAR) that is salicylic acid-dependent, ISR relies on the pathways coordinated by jasmonic acid and ethylene ratio (Elsharkawy et al. [2013](#page-281-0)). Furthermore, *T. harzianum* has depicted systematic inhibition in tomato plants infected with *Cucumber mosaic virus* (CMV). After 3 months of observation since the virus has been inoculated in the host plant, the jasmonic acid and ethylene ratio was witnessed as the highest in the leaves of the plant inoculated with CMV after a week of *T. harzianum* inoculation, comparatively with the infected plant with no treatment, the non-infected plant with *Trichoderma* alone, and the healthy plant (Vitti et al. [2016](#page-284-0)). Similarly, Yuan et al. ([2019\)](#page-285-0) also proclaimed that *T. longibrachiatum* exhibited increased activation of both systemic resistance pathways via phytohormones secretion such as jasmonic acid, ethylene ratio, and salicylic acid (SAR) to suppress *Botrytis cinerea* in cucumber. Due to the activated plant mechanisms in the presence of arbuscular mycorrhizal, the defense mechanisms were plant-mediated. Usually, the mechanism of ISR results in an improved defensing capacity of a plant (Loon [2007\)](#page-283-0). Therefore, upon the presence of the plant pathogen, the host manifests this boosted protective inclination, thus resulting in a reduced disease development rate, lessened disease incidence, and severity.

In contradiction with the resistance gene in highly specific plants, ISR is a nonspecifc mechanism that effciently exhibits its antagonism toward a vast number of plant pathogenic organisms comprising insects and nematodes (Miyashita and Takahashi [2015;](#page-283-0) Guo and Ge [2017](#page-281-0)). Although a wide range of pathogens is inhibited, the expression might defer depending on the nature of the plant pathogen with the plant and inducing inoculum. After induction, this ISR resistance of plant

<span id="page-264-0"></span>protects the plant and persists for a more prolonged period or at least a few months stably (Nawrocka and Małolepsza [2013\)](#page-283-0).

On the other hand, SAR is another defense regulatory pathway like ISR but dependent on a different growth-regulating hormone of plants named salicylic acid (SA). Generally, this SA-mediated mechanism grants enduring protection against a vast array of plant pathogenic microbes (Durrant and Dong [2004\)](#page-280-0). In recent research conducted by Yang et al. ([2013\)](#page-285-0), hydrogen peroxide ( $H_2O_2$ ) conferred to be the second messenger of SAR. In agreement with that, Tewari and Paek ([2011\)](#page-284-0) reported that the accumulation of  $H_2O_2$  in the adventitious root of *Panax ginseng* after 40 days of treatment was due to the SA-triggered pathway. Similarly, when there is an increment of toxicity in the concentration of lead ion, the leaves of the SA pretreated rice seedlings had a greater level of  $H_2O_2$ . The production of  $H_2O_2$  has simultaneously depicted an elevation with the increased toxicity produced by the SA mechanism (Jing et al. [2007\)](#page-282-0). However, there are some contradictory observations inscribed from other researchers for the chronology of  $H_2O_2$  presence in the SA-infuenced pathway. León et al. ([1995\)](#page-282-0) and Chamnongpol et al. [\(1998](#page-280-0)) advocated that H<sub>2</sub>O<sub>2</sub> initiates the biosynthesis of SA in *Nicotiana tabacum* leading to an enhanced plant tolerance against abiotic stresses. At all events, the production of SA and  $H_2O_2$  are interrelated in the systemically acquired defensive pathway in a plant to intensify its immune system. When an observation of  $H_2O_2$  is proclaimed to be due to the presence of *Trichoderma*, that could be inferred from the SAR of the plants against the plant pathogens (Yang et al. [2013\)](#page-285-0).

# **2** *Trichoderma* **spp. Reduce Disease Severity and Promotes Plant Growth**

*Trichoderma* is well-known for its ability to alter various plant physiological processes such as stomatal conductance, transpiration rate, net photosynthesis rate, carbon dioxide regulation, water, and nutrient uptake (Doni et al. [2014a\)](#page-280-0), which can promote plant growth (Table [1\)](#page-265-0). This genus enhances the nutrient uptake that is subsequently increasing the physiological activities within treated plants. *Trichoderma* facilitates nutrient availability in the plant through solubilization and chelation of minerals engaged with plant metabolism. This leads to the enhancement of physiological activities (Harman et al. [2004\)](#page-281-0). It also enhances plant growth such as shoot and root length, water content, number of leaves and fowers, chlorophyll content, and plants' photosynthetic effciencies (Table [1](#page-265-0)).

*Trichoderma* could reduce the disease severity of several plant diseases such as *Fusarium* wilt disease in banana (Sharifah, 2018) and cucumber (Asma, [2019](#page-280-0)) (Fig. [1\)](#page-271-0). An investigation has been conducted using *T. harzianum* against dampingoff caused by *Phytophthora melonis* in cucumber, which depicted an accelerated exudation of polyphenol oxidase and peroxidase enzymes (Table [1](#page-265-0)).

The presence of peroxidase enzyme that is responsible for the disintegration of  $H<sub>2</sub>O<sub>2</sub>$  justified the secretion of the second messenger of the SA-mediated SAR

<span id="page-265-0"></span>

Table 1 Role of Trichoderma in plant growth promotion in infected plants **Table 1** Role of *Trichoderma* in plant growth promotion in infected plants



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**Table 1** (continued)



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**Table 1** (continued)



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**Fig. 1** *Trichoderma* reduces disease severity of several plant diseases such as Fusarium wilt disease in banana and cucumber. Cucumber plants after 30 days post inoculation, inoculated plants treated with *T. asperellum* (**a**), plant infected with *F. solani* (**b**), Infected banana plant (**c**) and treated banana plant with *Trichoderma.* (**a**–**b** are reproduced from Asma, [2019](#page-280-0); **c**–**d** are reproduced from Sharifah, 2018 with permission)

pathway. Decisively, the plants treated with *Trichoderma* exhibited a lesser percentage (40%) contrasted with the control of 100% disease severity and an increased length and weight of the root with lower necrosis (Sabbagh et al. [2017\)](#page-283-0). Similar results were regarded when several *Trichoderma* isolates (*T. asperellum, T. harzianum,* and *T. virens*) were evaluated for *Pythium aphanidermatum,* the damping-off causal agent of tomato (Elshahawy and El-Mohamedy [2019\)](#page-281-0), by suppressing the reactive oxygen species production. Indeed, under the biotic and abiotic stresses, plants inoculated with *Trichoderma* sp. are to display a remarkable rise in antioxidants like polyphenols along with the SAR (Sabbagh et al. [2017](#page-283-0); Herrera-Tellez et al. [2019;](#page-281-0) Sood et al. [2020](#page-284-0)).

# **3** *Trichoderma* **spp. Enhance Photosynthetic Performance of Plants**

Photosynthesis is an essential process of green plants for their survival in which the light (photo-) is synthesized into chemical energy. This process resides in the chloroplast of the plant where the light or photon is captured by the thylakoid membrane of grana and yields a reducing agent named nicotinamide adenine dinucleotide phosphate (NADPH). This process involves the photoreduction of water molecules into  $H^+$  ions and oxygen gas  $(O_2)$  as well as photophosphorylation, which converts adenosine diphosphate (ADP) to adenosine triphosphate (ATP), an energy-storing molecule. The continuation of this process occurs independently of light named Calvin cycle that is catalyzed by ribulose-1,5 biphosphate carboxylase (Rubisco) for carbon fxation to generate carbon dioxide and glucose (Harman et al. [2019\)](#page-281-0). Magnesium (Mg) is one of the essential chlorophyll constituents that involves in the gene regulation, and enzymatic activity associated with photosynthesis and *Trichoderma* spp. can enhance the Mg uptake of the plants (Sood et al. [2020\)](#page-284-0). When a plant absorbs a complete package of nutrients required, photosynthesis is reported to escalate the growth of the plant by one-third of its performance percentage, thus boosting the plant growth (Kirschbaum [2011](#page-282-0)). Additionally, similar outcomes have been recorded by Doni et al. ([2014a](#page-280-0)) when *Trichoderma* signifcantly increased the photosynthetic rate by threefold of the rice seedlings compared to the seedling applied with common nitrogen-phosphorus-potassium (N:P:K) fertilizer.

Stewart and Hill ([2014\)](#page-284-0) described that in a research conducted by Inbar et al. [\(1994](#page-282-0)), plant dry weight, leaf area, plant health, and the chlorophyll content of pepper and cucumber seedlings inoculated with *T. harzianum* were increased than those of uninoculated seedlings in a greenhouse. These potentials of *Trichoderma* were also noticed when the plants acquired suffcient nutrients for their growth and no variation in the content of nitrogen and phosphorus levels of the plants (Harman and Bjorkman [1998](#page-281-0)). The role of *Trichoderma* is signifcant although a plant is in its optimal conditions. Similarly, in a Romaine lettuce tested with *Trichoderma* under optimal condition without N:P:K with the absence of any plant pathogenic organisms, an increased greenness, size, and several leaves that ensure the ability of arbuscular mycorrhiza to enhance the plant's photosynthetic capacity was observed (Rouphael et al. [2020](#page-283-0)).

As mentioned earlier, the volatile organic compounds secreted through secondary metabolism can also improve the plant's defensive mechanism in abiotic and biotic strains as well as promote plant growth via the escalation of photosynthesis. According to that, an investigation with *the Arabidopsis thaliana* model system was performed where the seeds were grown in a shared atmosphere with a non-direct physical contact with *T. viride.* Starting the third week of exposure, a signifcant increase in the total chlorophyll concentration comparatively with the controls was noted. In the fourth week of observation, there was about 58% increment in the chlorophyll content and 45% increase in the fresh weight of the plant (aboveground). The volatile organic compounds of *T. viride* have been evaluated through thermal desorption-gas chromatography-mass spectrometry (TD-GC-MS) and discerned that a vast number of them were present including isobutyl alcohol, 3-methylbutanal, and isopentyl alcohol (Hung et al. [2013](#page-282-0)).

A similar kind of experiment with non-direct physical contact with *Trichoderma* toward *A. thaliana* has also been conducted by Lee et al. ([2015\)](#page-282-0). In the experiment, the age of the seedlings was taken into account to evaluate the chlorophyll content. When 7-day-old seedlings were exposed with 5-day-old *T. atroviride*, there was a drastic increase observed in the chlorophyll content compared to control after 2 weeks of treatment. The volatile organic compounds that resulted from this analysis included alcohols, aldehydes, alkenes, aromatics, and ketones. Generally, the

<span id="page-273-0"></span>volatile organic compounds are secreted by a variety of *Trichoderma* species comprising *T. viride*, *T. pseudokoningii*, *T. longibrachiatum*, *T. harzianum*, *T. asperellum*, and *T. aggressivum* that have been declared to elevate the total chlorophyll content of *A. thaliana* (Hesham et al. [2020](#page-282-0)). Even with a non-direct physical contact of *T. atroviride*, *T. citrinoviride*, *T. harzianum*, *T. koningii*, *T. longibrachiatum*, *T. viride*, and *T. viridescence*, they have depicted an increase in chlorophyll content followed by fresh shoot and root weights (Jalali et al. [2017](#page-282-0)). The photosynthetic rate and stomatal conductance of infectious plants can be enhanced as much as 45%. Besides, the chlorophyll content as well as relative greenness of infected plants can be increased by *Trichoderma* treatment (Shukla et al. [2012](#page-284-0)).

#### **4** *Trichoderma* **spp. Improve Stomatal Conductance**

Stomatal conductance is the potential of stomata to permit the gaseous exchange of carbon dioxide  $(CO_2)$  and transpiration (Clavijo-herrera et al. [2018](#page-280-0)). Generally, the conductance of stomata is positively correlated with the photosynthetic rate. Since most of the *Trichoderma* spp. are potent to increase the photosynthetic rate, they regulate the conduction of stomata. If a plant is detected to face stress in some scenarios like drying soil or drought, the capacity of leaves to hold water would decrease leading to the closing of stomata. Some journals have reported that even when the water level in the leaves is not interrupted, the stomatal conductance and leaf growth rate are still able to decline due to the drying soil (Passioura [2002\)](#page-283-0).

It has been confrmed that *Trichoderma* undeniably regulates photosynthesis as well as gaseous exchange and stomatal conductance (Sood et al. [2020\)](#page-284-0). Additionally, Sood et al. [\(2020](#page-284-0)) reported on the capability of *Trichoderma* in enhancing the photosynthetic rate and stomatal conductance by threefold compared to the plants fertilized with general chemical fertilizer. Sood et al. [\(2020](#page-284-0)) also highlighted that the regulation and conduction of stomata during the investigation with *T. atroviride* and *T. virens* are induced by abscisic acid (ABA), a phytohormone that regulates the growth of a plant by performing various physiological processes through the stomatal aperture (Chen et al. [2019](#page-280-0)). When the maize and rice seedlings are subjected to *T. harzianum* treatment under various salinity conditions by sodium chloride (NaCl), the stomatal conductance and water content computed are better than the seedlings without the fungal inoculation (Yasmeen and Siddiqui [2017\)](#page-285-0). Besides, the increased concentration of carbon dioxide can alter the conduction of stomata, especially during water stress conditions. During a high gaseous exchange, the concentration of  $CO<sub>2</sub>$  absorbed is recorded to be higher and can concurrently boost photosynthesis and stomata aperture (Kirschbaum [2011](#page-282-0)). This assertion is also supported by Aguera and Haba  $(2018)$  $(2018)$  in their study where the escalated  $CO<sub>2</sub>$  promoted photosynthetic activity by its involvement in the carbon fxation that enhanced the activity of Rubisco and reduced photorespiration. Citric acid that is yielded via mineral solubilization of *T. harzianum* is also capable of enhancing the conduction of stomata by promoting gaseous exchange and photosynthesis in lead-exposed bean plants <span id="page-274-0"></span>(Mallhi et al. [2019](#page-283-0)). It is also discerned that when there is declination in the photosynthesis rate due to phytopathogen presence, the stomatal conductance decreased. Nonetheless, the reverse mechanism where the stomatal induction affects the photosynthetic rate has been also noticed. During the disease development of CMV, the photosynthetic activity is diminished due to the stomatal limitations. Also, a nonstomatal inhibition by the CMV has been reported in 3-month-old cucumber without the inoculation of *Trichoderma* (Vitti et al. [2016\)](#page-284-0).

Resulting from the increased photosynthesis rate and stomatal conductance, the root mass and canopy mass of the rice plant grown through the system of rice intensifcation (SRI) method with inoculation of *T. asperellum* were signifcantly higher. This enhancement was probably contributed by the chlorophyll concentration and stomatal density (Harman [2019\)](#page-281-0). As the *Trichoderma* sp. has been noted to be a potent mineral solubilizer resulting in nitrogen oxide responsible for functionality and regulation of stomata, the *Trichoderma* indirectly plays a vital role in the stomatal conduction (Gupta et al. [2014](#page-281-0)). Additionally, Nusaibah and Musa ([2019\)](#page-283-0) also denoted the potential of *Trichoderma* in delaying the effects of droughts including emission of green fuorescence, stomatal conductance, and photosynthesis rate in an ameliorated water status. Furthermore, in a study aimed to utilize the sugarcane and corn bagasse as a *Trichoderma* carrier, a greater value of stomatal conductance was reported from the plant with *Trichoderma* inoculant than the plant fertilized with NPK fertilizer. The correlation of the stomatal conductance with photosynthesis is explained further with the content of water and  $CO<sub>2</sub>$  where these elements are required to pass through the stomata openings to enter the chloroplast in the stroma (Doni et al. [2014b\)](#page-280-0).

# **5** *Trichoderma* **spp. Enhance Nutrient Uptake**

*Trichoderma*, as a plant growth regulator, solubilizes minerals via acidifcation (organic acids), chelation (siderophores), redox (ferric reductase), and hydrolysis (phytase) (Hesham et al. [2020\)](#page-282-0). These properties are supported by Li et al. [\(2015](#page-283-0)) where the *T. harzianum* solubilized the iron (III) oxide, metallic zinc, phytate, and copper (II) oxide and led to the production of organic acids like tartaric acid, succinic acid, lactic acid, and citric acid that enhances plant growth (Mallhi et al. [2019\)](#page-283-0).

In addition, ten isolates of *Trichoderma* have been subjected to solubilize the phosphorus from calcium phosphate found in the rhizosphere of *Avicennia marina*, and all the isolates have depicted positive solubilization corresponding to the phytase activity. The more the extracellular phytase activity, the higher the phosphorus solubilizing potential of the *Trichoderma* isolates (Saravanakumar et al. [2013\)](#page-284-0). Since the *Trichoderma* can boost plant resistance through ISR and SAR as well as secreting secondary metabolites, the proteome and transcriptome of the plants are modifed for enhancing nutrient uptake (Harman [2005](#page-281-0)). For example, in a study demonstrating sugarcane that was infected with red rot, the *T. harzianum* boosted the nutrient in the soil via solubilization with an average of 42.96% for all the <span id="page-275-0"></span>available soil nutrients comprising nitrogen, carbon, potassium, phosphorus, copper, manganese, zinc, and iron (Singh et al. [2010](#page-284-0)). Li et al. ([2018\)](#page-283-0) also supported this assertion as the *T. asperellum* enhanced the nutrient uptake of the *Fusarium* wilt diseased tomato plants by improving the systemic resistance of the plant, making the nutrients available by mineral solubilization and increasing the surface area for a promoted root growth. Besides, *T. harzianum* is potent in increasing the performance of mineral solubilization of micronutrients, iron, manganese, and magnesium by decreasing the soil pH level, thus sustaining the soil fertility with adequate nutrients (Fiorentino et al. [2018\)](#page-281-0). The reduced pH level causes an enhanced the solubility of insoluble compounds and availability of micronutrients for the accessibility of the plants (Azarmi et al. [2011\)](#page-280-0).

It has been also emphasized that the efficiency of nutrient uptake can be boosted by increasing the root surface area, suggesting the ability of the *Trichoderma* in promoting the growth of the lateral roots of a plant (Lamont [1982\)](#page-282-0). In a research conducted by Contreras-Cornejo et al. [\(2014](#page-280-0)), the *T. virens* and *T. atroviride* remarkably enriched the root hair and lateral root growth via indole-3-acetic acid biosynthesis in ideal-conditioned and stressed plants. In agreement to that, Hesham et al. [\(2020](#page-282-0)) also mentioned the signifcant growth of the lateral root of the tomato seedlings by *T. viride.* In general, the intensifed mineral solubilization, carbohydrate metabolism, and photosynthetic activity, as well as the rooting depth, lead to an improved root growth for a plant to withstand drought conditions (Nusaibah and Musa [2019\)](#page-283-0).

#### **6** *Trichoderma* **spp. Delay Senescence**

Plant senescence or ageing is a process that begins with chlorophyll degradation and revelation of carotenoids like xanthophylls and anthocyanin causing the leaves to transform their color from green to yellow and red (Keskitalo et al. [2005](#page-282-0)). Generally, senescence in plants can be induced by stress and age. One of the causal agents of senescence is the low content of nitrogen that alters the gene expression, aspects of photosynthesis, protein content and production, as well as nitrogen and sugar metabolism (Agüera and Haba [2018](#page-279-0)). El-Katatny [\(2010](#page-281-0)) described that *T. harzianum* assists the nitrogen-fxation by promoting the growth of *A. brasilense*, a soilborne bacterium commonly found in the rhizosphere. Decreased photosynthetic activity and chlorophyll content also urge senescence in plants. As discussed earlier, since the *Trichoderma* sp. can boost the chlorophyll content, it also increases the lifespan of the leaves and plants. Besides, *Trichoderma* naturally possesses high melatonin levels that are claimed to delay the senescence of a plant (Arnao and Hernandez-Ruiz [2017\)](#page-280-0). Recent reports suggested that *Trichoderma* spp. have extensive potential in degrading the cellulose, thus releasing a notable amount of nitrogen in the rhizosphere of the rice plant. Again, this escalated content of nitrogen possesses a positive correlation for prolonged photosynthetic activity, enhanced root growth, as well as delayed senescence (Doni et al. [2014a](#page-280-0)).

<span id="page-276-0"></span>Although the nitrogen fxation is evident by *Trichoderma* sp., a short-term nitrogen oxide has also been detected from the interaction between *T. asperelloids* and *A. thaliana* (Gupta et al. [2014\)](#page-281-0)*.* Anyhow, more articles are supporting the nitrogen fxation of *Trichoderma* beneftting plants in various aspects. For example, Singh et al. [\(2019](#page-284-0)) recorded the role of *T. asperellum* as one of the synthesizers of nitrogen oxide resulted from the interrelation with the root of *Nicotiana tobaccum.* Lately, the nitrogen oxide known as a gaseous reactive oxygen species has been recognized for its crucial role in plant physiological processes including photosynthesis, root organogenesis, plant pathogen defense, seed germination, hypocotyl growth, foral regulation, and fnally senescence.

In a study conducted to identify the role of *Trichoderma* in drought tolerance of rice plants, a low number of scorched leaves were observed in response to the *T. harzianum* colonized plant. The delayed senescence in rice plants is positively correlated with its drought tolerance. When the transformation of *psag12-ipt* gene holds the leaf senescence of rice, the leaf treatment of *T. harzianum* focuses on promoting the total leaf photosynthetic pigments. Meanwhile, the lesser number of scorching leaves is assumed to be due to the prominent drought tolerance induction by *T. harzianum* (Pandey et al. [2016](#page-283-0))*.*

#### **7** *Trichoderma* **spp. Mediate Drought Tolerance**

Drought in plants can be defined as water deficit in plants, which is one of the important stresses faced by plants. In general, there are more than 80% of nonwoody plant biomass occupied by water content, and if there is a reduction in the content, the plants will be pushed to stress. Usually, water stress in plants is caused by low rainfall, variance in temperature, salinity, and high light intensity. On the other hand, the event where there is suffcient water supply and the plants possess a reduced potential to uptake water is called pseudo-drought. Injuries and phytopathogens are accountable for this scenario (Salehi-Lisar and Bakhshayeshan-Agdam [2016](#page-283-0)). Usually, water scarcity leads to leaf size reduction, stem elongation suspension, decreased root colonization, increased water functionality disruption, and interference of abundance biochemical and physiological responses, thus seizing the plant growth (Farooq et al. [2009\)](#page-281-0).

This phenomenon in plants can be fxed by escalating their tolerance against drought through an increased water-retaining capacity. For instance, a gene named aquaglyceroporin from *T. harzianum* isolated and overexpressed in *N. tabacum* plants subjected to the high salinity of NaCl has been observed to improve the effciency of water use and drought tolerance. It has also been deduced that the upregulation of *aqgp* gene enhances the stomatal conductance, transpiration rate, effcient photosynthesis, turgor recovery, cellular water status, and transportation of  $CO<sub>2</sub>$ supported by water under water stress (Vieira et al. [2017\)](#page-284-0). At the same time, the colonization of *Trichoderma* with the root of rice seedlings under drought conditions delayed the wilting of the plants due to the boosted leaf greenness, stomatal

<span id="page-277-0"></span>conductance, and photosynthesis rate. Also, it has been emphasized that *Trichoderma* is potent to maximize the drought tolerance of plants at 40% even after 9 days of drought stress. The drought condition in rice plants led to a boosted production of stress metabolites and declination in the membrane stability index (MSI). However, the colonization of the root of the plants by *T. harzianum* causes a reduction in the malondialdehyde,  $H_2O_2$ , and proline contents as well as a promotion in the phenolic compounds and MSI (Shukla et al. [2012](#page-284-0)). Similar observations have been noted with the seed biopriming of wheat, *Triticum aestivum*, where the *T. harzianum* regulated the osmotic pressure and ameliorates the root vigor as well as enhanced the physiological defense mechanism of plants against oxidative stress through increased scavenging and L-PAL level (Shukla et al. [2014](#page-284-0)). In addition to that, *T. harzianum* has been also discerned to cause similar effects on the drought-stressed rice plants with further modulation of *dhn/aqu* transcript level, lipid peroxidation yield, superoxide dismutase, and the growth properties of stressed plants (Pandey et al. [2016](#page-283-0)). Not only with the perennial grasses (rice and wheat) but a homogenous effect by *T. harzianum*, *T. virens*, *T. atroviride*, *T. parareesei*, and *T asperellum* has also been observed in the shrubs (*Brassica napus, A. thaliana*) and herbaceous plants (*Solanum lycopersicum, Zea mays*) (Contreras-cornejo et al. [2014;](#page-280-0) Guler et al. [2016](#page-281-0); Alwhibi et al. [2017](#page-280-0); Hidangmayum and Dwivedi [2018;](#page-282-0) Poveda [2020;](#page-283-0) Estévez-Geffriaud et al. [2020\)](#page-281-0).

#### **8** *Trichoderma* **spp. Induce Secretion of Phytohormones**

Phytohormones are also known as plant hormones, which are a group of little quantities of growth-regulators, encompassing auxins, abscisic acid (ABA), ethylene, gibberellic acid (GA), and cytokinin (Wani et al. [2016\)](#page-284-0). A substantial number of studies exhibited that *Trichoderma* is proficient in enhancing plant growth by inducing growth-promoting hormone secretion (Chepsergon et al. [2014\)](#page-280-0).

Indole-3-acetic acid (IAA) is the most abundant and fundamental auxin natively found with multifunctions in the plants. It usually produces the majority of auxin effects in the entire plant and is declared as the most effective natural auxin. In general, auxin functions in the coordination, growth, and development of various tissues, cytoplasmic streaming, growth and proliferation of cells, adventitious and lateral root initiation, as well as the emergence of shoot and phototropism (Chepsergon et al. [2014\)](#page-280-0). On this basis, the *Trichoderma s*trains comprising *T. brevicompactum*, *T. gamsii*, and *T. harzianum* were evaluated for their capacity to secrete the IAA by solubilizing the phosphate. A signifcant amount of orthophosphate ions ranging from 215.80 to 288.18 mg/ml and IAA production of about 13.38 to 21.24 mg/ml were detected evidencing these strains as good mineral solubilizers and growth hormone producers. Those *Fusarium* wilt diseased tomato plants inoculated with *T. harzianum* did not only inhibit the growth of *Fusarium oxysporum* by 10 to 30% but also enhanced the shoot length (40.99–139.62%), leaf area (22.55–42.16%), chlorophyll content, and dry and fresh weight of roots and shoots.

Therefore, it can be inferred that the plant growth is positively correlated with the IAA content and the IAA production is to soluble phosphorus content (Bader et al. [2020\)](#page-280-0). In another experiment on cucumber with *T. harzianum*, the IAA was boosted together with the chlorophyll content and biomass of the plant in greenhouse and hydroponics. Thus, *T. harzianum* is not solely dependent on the soil but also acts as endophytic fungi colonizing the root directly with hydroponic plants. Even after a month of inoculation in the soil, the population of fungus was noted to be stable together with the IAA production. Thus, it is concluded that the total producing content of IAA is dependent on the colonized population along with time (Zhang et al. [2013\)](#page-285-0). Similarly, the *T. viride* isolated from a mangrove exhibited an enormous production of IAA (115 μg mL<sup>-1</sup>) with L-tryptophan (0.5%) and few secondary metabolites in laboratory conditions. Meanwhile, the seeds of *Vigna radiata*, *Vigna mungo,* and *Sesamum indicum* immersed in the IAA supernatant recorded induced germination and increased growth percentage (Kumar et al. [2017\)](#page-282-0).

In Colombia, from the total sampled 101 isolates of *Trichoderma*, only 60 of them were able to produce IAA. However, these compounds were not positively correlated with the growth enhancement on the bean seedlings. Additionally, only seven strains out of nine signifcantly increased the growth of the seedlings, and not all of them produced the growth-promoting metabolites. Therefore, the results depicted that the growth enhancement of *Trichoderma* does not singly rely on the growth-promoting compounds but the rhizosphere competence. The strains were also taken into consideration as these characteristics are strain-specifc and not the fxed characteristic for each species (Hoyos-carvajal et al. [2009\)](#page-282-0).

On the other hand, ethylene is also one of the phytohormones that accelerates the senescence, abscission, and ripening and improves the resistance by regulating SA and jasmonic acid pathways (Sood et al.  $2020$ ). One of the cyclic  $\alpha$ -amino acids named 1-aminocyclopropane-1-carboxylic acid (ACC) responsible for the biosynthesis of ethylene also involves in the growth mechanism of the plant. During the experiment of wheat with *T. longibrachiatum* at variant salinity levels, the fungal strain has shown an elevated tolerance at higher concentrations (10 mg ml<sup>-1</sup>) of NaCl. At boosted stress conditions, the IAA and ACC-deaminase (26% at 10 mg ml−<sup>1</sup> , 31% at 20 mg ml−<sup>1</sup> ) concentration were enhanced substantially. The ACC-deaminase is an enzyme that catalyzes sequestering and cleaving of ACC produced by plants, thus reducing the ethylene level. Because the high concentration of ethylene would escalate the senescence and abscission, it would cause the aging of the plant (Glick [2005](#page-281-0)). Therefore, the promoted concentration of ACC declined the ACC oxidase (12%), ACC synthase (13%) activity, and the content of ACC (22%) and ethylene (12%). The boosted concentrations of ACC-deaminase and IAA probably serve as a vital cue to ease the unfavorable effect of salinity stress on growth. These hormonal changes caused an increased wheat growth with high salinity tolerance (Zhang et al. [2019](#page-285-0)). Nevertheless, a contradictory assertion has been shown and explained by Martínez-Medina et al. ([2011\)](#page-283-0). With the inoculation of *T. harzianum* in melon plants, they possess an increment of IAA, ABA, ACC, and zeatin contents with elevated shoot growth. These researchers also inferred that the increased ethylene modifes the root and shoot growth via the auxin biosynthesis

<span id="page-279-0"></span>stimulation. They also indicated a strong correlation between ACC and IAA levels to boost the shoot growth as well as the parallelism of these two compound concentrations.

Ultimately, gibberellic acid is a plant growth regulator that majorly functions to stimulate leaf and stem elongation and cell division. GA promotes growth in plants by degrading the growth-inhibiting DELLA proteins (aspartic acid-glutamic acidleucine-leucine-alanine) and reducing the ethylene level (Vera-sirera et al. [2016\)](#page-284-0). Sofo et al. [\(2011](#page-284-0)) experimented on the phytohormone level by *T. harzianum* in the cherry rootstock. After 7 days of mycorrhizal fungi in the inducing medium in in vitro conditions, the shoot  $(61\%)$  and root  $(76\%)$  have extensively grown, therefore increasing the plant growth hormones in the shoot (IAA: 49%; GA: 71%) and root (IAA: 40%; GA: 143%). The higher shoot growth cues the acceleration of leaf production and plant hardening by stem lignifcation. However, no difference in ABA levels was noticed. The signifcantly increased secretion of GA by *T. harzianum* suppresses the *R. solani*, encourages plant growth, and heightens the yield production in potatoes. Hence, GA can also be used in plant pathogenic organism controls (Al-askar et al. [2016\)](#page-280-0).

#### **9 Conclusion**

There are various *Trichoderma* spp. that can promote plant growth comprising a variety of herbaceous and woody plants, shrubs, and herbs even grasses. Sometimes, their interaction is strain-specifc as their mechanism and secondary metabolites secretion could vary along with strains. In general, *Trichoderma* can extensively increase the growth of plants by promoting lateral root production, chlorophyll content, disease resistance, drought tolerance, stomatal conductance, and increased biomass. The validating study is abundant to broaden the multifunctional *Trichoderma* researches. It is also highlighted that promoting plant growth physical association is non-mandatory. Even in the presence of non-physical contact or without soil, *Trichoderma* can enhance plant growth. Not only in agriculture but the role of *Trichoderma* in commercial purposes is also overwhelming.

**Declaration of Interest** The authors declare no declarations of interest.

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# **Metabolomic Approaches to Study**  *Trichoderma***-Plant Interactions**



**Nishtha Mishra, Priyanka Chauhan, Pratibha Verma, S. P. Singh, and Aradhana Mishra**

# **Contents**



# **1 Introduction**

The process of industrialization has forced signifcant and essential enhanced agricultural production worldwide for the availability of food to the growing population during the past decades. The increased demand for agricultural and its allied activities has led to serious environmental as well as social problems. The various methods have been opted for minimizing the global concern of environmentally

S. P. Singh

N. Mishra · P. Chauhan · P. Verma · A. Mishra  $(\boxtimes)$ 

Division of Microbial Technology, CSIR-National Botanical Research Institute, Lucknow, India

Academy of Scientifc and Industrial Research (AcSIR), Ghaziabad, India

Acharya Narendra Deva University of Agriculture and Technology, Ayodhya, India

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sustainable agriculture production. In this context, one of the potential uses of plant growth-promoting microbes (PGPM) has come forward with the least negative impact on the environment. These beneficial microbes alter various plant metabolic pathways by direct and indirect mechanisms such as phytohormone production, enhanced nutrient uptake, and changes in gene expression (Dutta and Thakur [2017;](#page-303-0) Singh and Gaur [2017](#page-306-0)).

Secondary metabolites are a group of natural compounds, heterogeneous in nature which helps for the survivability and basic functions of an organism. The functions comprise competition, symbiosis, metal transport, differentiation, etc., (Demain and Fang [2000](#page-303-0)). SMs comprised antibiotics which are natural products, produced by various microbes in the process of sporulation and development. *Trichoderma* count frst in the segment of fungi as a biocontrol agent globally (Whipps and Lumsden [2001](#page-307-0)) as well as known for the production of secondary metabolites (Ghisalberti and Sivasithamparam [1991](#page-303-0)).

Secondary metabolism is the process of biomass production to complete metabolite biosynthesis which provides competitive benefts to the producers (Ruiz et al. [2010\)](#page-306-0). Secondary metabolites secreted by microbes are the products of primary metabolites in specialized pathways which often can be grouped in the genome and can be affected by some of the global regulators (Herbert [1989](#page-304-0)). Genes of fungi are associated with the biosynthetic process of SMs, which are not transcribed in undergoing process during in vitro conditions. It can be triggered by imitating a complex of the microbial communities (Vinale et al. [2017](#page-307-0)).

*Trichoderma* is one of the most prevalent culturable fungi ubiquitously present in approximately all types of soil characterized by opportunistic avirulent plant symbiosis. The various strains of *Trichoderma* have been widely reported for plant growth promotion via direct and indirect mechanisms. The various mechanisms proposed for explanation of plant growth promotion include control of minor pathogens, enhanced nutrient uptake, increment in carbohydrate metabolism, photosynthesis, and phytohormone production (Stewart et al. 2014). *Trichoderma* has been proved for a strong infuence on the production of indole acetic acid (IAA), gibberellic acid, and ethylene. There are various previous studies for understanding the underlying mechanisms of *Trichoderma* and its interaction with plants with the different strains such as *T. harzianum* strains -T22,<sup>1</sup> T39, and A6 and strain of *T. atroviride* P1 in several edible crops like *Pisum sativum* (pea), *Lycopersicum esculentum* (tomato), and *Brassica napus* (canola). The secondary metabolites showed pivotal role in the suppression of plant pathogens by exhibiting the antagonistic activity (Vinale et al. [2008](#page-307-0)**).** Metabolome of an organism can be well defned as the set of low molecular weight compounds which can be considered as phenotypic indication of organism. Nowadays, for understanding the complete biological events, metabolomics study has signifcant importance.

<sup>1</sup>Species identities are cited as initially published, and the current taxonomic status of each species requires verifcation.
# **2 The Interactive Strategy of** *Trichoderma* **and Plants During Biotic and Abiotic Stress**

*Trichoderma* spp. possess several modes of actions in plants during stress condition which are as follows:

- (a) Control of phytopathogen by the production of antibiotics in combination with extracellular cell wall degrading enzymes
- (b) Struggle in nutrients uptake (i.e. iron and other micronutrients such as nitrogen or carbon sources)
- (c) Competitions for colonization
- (d) Signalling for the development of plant resistance (Harman et al. [2004](#page-304-0); Vinale et al. [2008](#page-307-0); Whipps and Lumsden [2001\)](#page-307-0)

Several studies have investigated mechanism of different plant-pathogen interactions. These approaches are provided to changes in plant's metabolism during interaction with pathogens and identify specifc metabolites secreted by plants which help to enhance plant's immunity. The secondary metabolites secreted by the *Trichoderma* spp. have been proven in agriculture for all the beneficial effects exerted (Vinale et al. [2008\)](#page-307-0) acting as antibiotics as well as in synergistic form with other compounds. The several strains of genus *Trichoderma* exhibit the property of controlling various phytopathogens such as *Rhizoctonia solani*, *Alternaria alternata*, *Fusarium* spp., and *Pythium* spp. (Lorito et al. [2010\)](#page-304-0). These groups of microbes are demonstrated as bio-fertilizer and exhibit positive effect on crops as well as provide disease resistance towards both biotic and abiotic stresses which has been shown in Fig. [1.](#page-289-0)

#### *2.1 Secondary Metabolites in Diverse Species of* **Trichoderma**

These group of fungi are productive in biosynthesis of secondary metabolites such as volatile and non-volatile terpenes; NRPs, pyrones, peptaibols siderophores, and nitrogen containing compounds as well as 373 different molecules are also identifed, in which specifc activity of these molecules has still to be identifed (Reino et al. [2008;](#page-306-0) Mukherjee et al. [2012a, b](#page-305-0), Crutcher et al. [2013](#page-302-0)). These are also observed as intermediates of chemical exchange among inhabitants of soil in numerous ecological niches with the minimal use like micrograms per litre for facilitating symbiosis with microorganisms, insects, pests, and higher animals.

Plethora, a metabolite secreted by *Trichoderma* into their close proximity, requires minimum nutritional needs, which generally can be utilized for many agricultural, industrial, and medical benefts. The diverse metabolites of *Trichoderma* and its interaction with several plants have been studies in many previous studies presented in Table [1](#page-290-0).

<span id="page-289-0"></span>

**Fig. 1** Role of *Trichoderma* in biotic and abiotic stress amelioration

## **3 Role of Secondary Metabolites in Plants**

## *3.1 Plant Growth Regulators*

*Trichoderma* metabolites act as signalling compounds and infuence the plant growth and productivity (Benítez et al. [2004](#page-302-0)). The purifed metabolites of microbe showed similar results on the host and pathogen similarly as the living microbes (Vinale et al. [2008](#page-307-0)). Various secondary metabolites as well as their biological effects have been studied and shown in Table [2](#page-293-0). The abundant diversity of chemical secondary metabolites secreted by *Trichoderma* spp. acts with different natures of growth promotion as well as inhibition (Vinale et al. [2008](#page-307-0); Luo et al. [2010\)](#page-304-0). The metabolite production showed its activity in concentration-dependent manner in the crops. The inhibitory effect of trichocaranes A and B has been found active up to 40% at 10−<sup>4</sup> M concentration, whereas trichocaranes C showed its activity up to 86% at 10−<sup>3</sup> M concentration (Macías et al. [2000\)](#page-304-0).

The isolation, identifcation, and biological activity of secondary metabolites produced by *T. koningii* and *T. harzianium* (6-pentyl-α-pyrone) have been studied. The wheat coleoptile assay was carried out to study the concentration-dependent

	Trichoderma			
S. No.	species	Metabolites	Interaction with plants	Reference
1.	<b>Trichoderma</b> viride	Gliotoxins	Showed biocontrol activity against some plant pathogenic fungi	Vey et al. (2001)
2.	Trichoderma koningii	Peptaibols, trichokonins VI and VIII	Showed broad-spectrum antimicrobial activity against a range of important plant pathogens, such as R. solani, Fusarium oxysporum, Verticillium dahliae, and Botrytis cinerea	Yang et al. (2016)
3.	Trichoderma harzianum	Peptaibols trichorzianine A1	Inhibit the spore germination, as well as hyphal elongation, of plant pathogenic fungi	Goulard et al. (1995), Lee et al. (1999)
4.	T. koningii and T. harzianum	Pyrone 6-PP	Reduces growth of F. $oxysporum$ and $R$ . solani	Claydon et al. $(1987)$ , Simon et al. (1988)
5.	T. longibrachiatum	5-Hydroxyvertinolide	Antagonistic to the fungus Mycena citricolor, cause American leaf spot disease of coffee	Andrade et al. (1992)
6.	T. viride	Vridepyronone	Showed 90% growth inhibition of S. rolfsii at a minimum inhibitory concentration (MIC) of $196$ mg/ml	Evidente et al. (2003)
7.	T. harzianum	Harzianopyridone	Activity against Phytophthora cinnamomi, B. cinerea, and Leptosphaeria maculans and 90% of the growth of R. solani, F. oxysporum, and S. rolfsii	Sivasithamparam and Ghisalberti (1998), Ahluwalia et al. (2015)
8.	T. koningii	Koninginins A and B	Exhibit activity against G. graminis var. tritici	Cutler et al. $(1989,$ 1991)
9.	T. harzianum and T. koningii	Stigmasterol	Showed antifungal activities against R. solani, S. rolfsii, M. phaseolina, and F. oxysporum	Ahluwalia et al. $(2015)$ , Ahluwalia et al. (2014)

<span id="page-290-0"></span>**Table 1** *Trichoderma* metabolites and interaction with plants during biotic and abiotic stress

(continued)

S. No.	Trichoderma species	Metabolites	Interaction with plants	Reference
10.	T. harzianum	Anthraquinones	Exhibit antifungal active against R. solani, S. rolfsii, M. phaseolina, and <i>F. oxysporum</i>	Ahluwalia et al. (2015)
11.	T. cremeum	Lactone cremenolide	Promotion of tomato seedling growth and showed antifungal activities against R. solani, B. cinerea, and F. oxysporum	Vinale et al. $(2016)$
12.	T. arundinaceum	Aspinolide C	Antibiotic effect against B. cinerea and Fusarium sporotrichioides, also played an important role in the induction of plant resistance against phytopathogenic fungi	Malmierca et al. (2015)
13.	T. cerinum	Cerinolactone	Inhibit growth of Rosellinia necatrix	Vinale et al. (2012a, b)
14.	T. brevicompactum	Trichothecenes	Inhibitory activity on $R$ . solani, B. cinerea, and Colletotrichum lindemuthianum	Shentu et al. (2014)
15.	T. harzianum and T. viride	Diterpene harziandione	Antifungal activity against S. rolfsii	Mannina et al. (1997)
16.	<b>Trichoderma</b> koningiopsis	Koninginin D	Exhibit antifungal activity against several phytopathogens, such as F. oxysporum, Bipolaris sorokiniana, P. cinnamomi, and Pythium middletonii	Dunlop et al. (1989)
17.	T. harzianum	Harzianic acid	Plant growth promoter and antimicrobial agents against different plant pathogenic fungi, such as Pythium irregulare, Sclerotinia sclerotiorum, and R. solani	Vinale et al. (2012a, b)
18.	T. atroviride	Trichodermester A	Antifungal agents against Phaeosphaerella theae	Tang et al. (2020)

**Table 1** (continued)

(continued)

	<b>Trichoderma</b>			
S. No.	species	Metabolites	Interaction with plants	Reference
19.	$T_{\cdot}$ pseudokoningii	Trichokonin VI	Showed antifungal activity by inducing extensive apoptotic programmed cell	Su et al. (2012)
20.	$T_{\cdot}$ brevicompactum	Trichodermin	Fungitoxic metabolite against Candida spp.	Shentu et al. $(2014)$ , Tijerino et al. (2011)
21.	<i>T. virens</i>	Abscisic acid (ABA)	Regulates stomatal aperture in A. thaliana	Contreras-Cornejo et al. (2015b)
22.	T. viride	Alamethicin	Induces plant defence in lima bean and pathogen resistance	Kenerley (2012b)
23.	T. virens	$\beta$ -Myrcene	Regulates the expression of abiotic and biotic stress-related genes related	Crutcher et al. (2013)
24.	T. brevicompactum	Trichodermin	Phytotoxic effect	Malmierca et al. (2015)
25.	<b>Trichoderma</b> spp.	Chitinases	Hydrolytic enzymes of the fungal cell wall	Gruber and Seidl-Seiboth $(2012)$ , Tzelepis et al. (2015)
26.	Trichoderma spp.	Coprogen B	Solubilizes iron unavailable to the plant	Vinale et al. (2012a, b)
27.	$T_{\cdot}$ brevicompactum	Trichodermin	Phytotoxic effect	Malmierca et al. (2015)
28.	T. harzianum	Pachybasin	Increases the number of coils of the biocontrol agent against R. solani	Lin et al. (2012)
29.	T. virens	$\beta$ -Caryophyllene	Attracts nematodes that prey on insect larvae	Lopez-Bucio (2014)
30.	<i>T. atroviride</i> and T. virens	Indole-3- carboxaldehyde	Induces adventitious root formation in A. thaliana	Contreras-Cornejo et al. (2011)

**Table 1** (continued)

activity of metabolites in which activity of phytotoxicity detected at 10−<sup>3</sup> M but not at 10−<sup>4</sup> M. Cerinolactone one of the SMs has been characterized from fltrate of *T. cerinum* and found in induction of tomato seedlings growth after 3 days of treatment (Vinale et al. [2012,](#page-307-0)). The living strains of *Trichoderma* effect the growth, yield, and nutrient uptake of soybean in combination with harzianic acid, 6-pentylα-pyrone (Marra et al. [2020\)](#page-305-0). SMs also enhanced fatty acid production such as oleic, linolenic, 11-eicosenoic, and stearic acid in harvested seeds.

According to Kim et al. [\(2006](#page-304-0)) ectotrophic fungus is known which produced plant growth-promoting metabolite (5-hydroxy-1-(3-methyl-3-buten-1-ynyl)-7 oxabicyclo [4.1.0]-hept-3-en-2-one) in liquid cultures of the fungus.

S.no.	Metabolites	Microbe	<b>Biological effect</b>	Reference
1.	L-Glutamic acid, L-aspartic acid, L-phenylalanine, L-lysine, L-methionine, L-threonine, and L-tryptophan	Corynebacterium glutamicum	Additive to animal feed	Sun et al. (2015)
2.	Phenol, flavonoids, and tannins	Glomus spp.	The concentration of phenols, flavonoids, and total tannins was favoured by mycorrhizal inoculation even at the highest levels of P	Pedone-Bonfim et al. (2013)
3.	Artemisin	Rhizophagus <i>intraradices</i>	Increases isoprenoids by induction of the MEP pathway	Mandal et al. (2015)
4.	Bacoside	<b>Bacillus</b> megaterium, Rhizophagus <i>intraradices</i>	Significantly enhance fresh biomass, essential oil content, nutrient uptake, and reduced root-knot infestation. Augmented of the phenolic, flavonoid, free radical scavenging activity, and total antioxidant	Gupta and Pandey (2015)
5.	Linolene, 1,8-cineole, linalool, carvone	Claroideoglomus etunicatum, Claroideoglomus lalmellosum	Allow plant growth in low fertility soils, reduce fertilizer inputs, and increase aromatic plant production of essential oils	Karagiannidis et al. (2011)
6.	Calendoflavosid, isorhamnetin, malonyl, and glucoside	Claroideoglomus etunicatum, Claroideoglomus claroideum, Rhizophagus <i>intraradices</i>	Increased the biomass of marjoram (1.5-fold), the number of marigold flowers $(1.2\text{-fold})$ , and the yield of rosmarinic acid and lithospermic acid isomers of marjoram $(1.5\text{-fold})$ and lemon $\text{balm}$ (1.2-fold)	Engel et al. (2016)

<span id="page-293-0"></span>Table 2 Beneficial-microbe metabolome -bacteria, fungus, rhizospheric microbes, AMF

(continued)



## **Table 2** (continued)

(continued)

S.no.	Metabolites	Microbe	Biological effect	Reference
18.	<b>HCN</b>	Pseudomonas fluorescens	Alternaria alternata OTA36; Alternaria brassicola OCA1; Alternaria brassiceae OCA3; Collectotrichum gleosporidose OGC1 revealed broad-spectrum anti-fungal activity	Ramyasmruthi et al. (2012)
19.	Taxol	Taxomyces andreanae	Chemotherapeutic agent	Strobel et al. (1993)
20.	Rohitukine	Fusarium sp.	Anticancer drug	Mohana Kumara et al. $(2012)$
21.	Hypericin	Chaetomium	Antiviral drug	Kusari et al. (2008)

**Table 2** (continued)

Antifungal activities along with plant growth promotion of harzianolide have been studied against different phytopathogens (Vinale et al. [2008\)](#page-307-0).

## *3.2 Antimicrobial Agents*

Non-pathogenic microorganisms have been involved in inducing disease resistance against several pathogens in crops. PGPR helps in protection of aboveground plant part from various phytopathogens through colonization of roots in crops as well as root exudation process (Doornbos et al. [2012\)](#page-303-0). SMs produced by *Trichoderma* have antagonistic activity against pathogenic fungus. In the previous years, organic chemists were only interested in SMs and were concerned primarily with the isolation, identifcation, as well as biosynthesis of these metabolites rather than with aspects of fungal metabolism and ecological interactions. There are various types of antifungal SMs such as epipolythiodioxopiperazines, peptaibols, pyrones, butenolides, pyridones, azaphilones, koninginins, steroids, anthraquinones, lactones, and trichothecenes produced by different strains of *Trichoderma* and showed antagonistic effect against pathogens such as *S. rolfsii*, *P. irregulare*, *S. sclerotiorum*, and *R. solani*. PGPF has been proved in eliciting the induction of systemic response (ISR) in plants. *Trichoderma* spp. (Harman et al. [2004](#page-304-0); Vinale et al. [2008;](#page-307-0) Keswani et al. [2013;](#page-304-0) Singh et al. [2019\)](#page-306-0), along with other *Penicillium* sp. GP 16-2 (Hossain et al. [2008\)](#page-304-0), have been studied in several crops for plant growth promotion.

## *3.3 Root Colonization*

Plant's root contains complex morphological structure with various physiological response. The initiation of lateral roots involves an auxin-dependent signalling cascade which activates pericycle cells followed by primordium development and regulated by IAA (Péret et al. [2009](#page-305-0)). *T. virens* has been reported for promoting shoot growth and lateral root development in *A. thaliana* (Contreras-Cornejo et al. [2009\)](#page-302-0). The isolation of *Trichoderma* has been done from nearly all climatic zones, from various root ecosystems, and its growth in rhizosphere is enabled by secretion of polysaccharides in roots vicinity. Gravel et al. [\(2007](#page-303-0)), Contreras-Cornejo et al. [\(2009](#page-302-0)), and Vargas et al. ([2009\)](#page-306-0) investigated the importance of sucrose in the process of root colonization through *Trichoderma* spp. The signalling between *Trichoderma* and plant's root was found to be dependent on root-derived exudates (Bais et al. [2006](#page-302-0)). The newer advanced techniques are fourishing for exploring the mechanisms of direct interaction between host's root and *Trichoderma*. Shoresh et al. ([2010\)](#page-306-0) found an alteration in the systemic response in proteome, transcriptome, and MAMP relationships in leaves after *Trichoderma* colonization in the roots. *Trichoderma* invasion in plants leads to activation of rapid ion fuxes, oxidative burst (ROS formation), and callose deposition which is followed by polyphenol biosynthesis (Shoresh et al. [2010\)](#page-306-0).

In this context, direct interaction of *Trichoderma* spp. has also utilized a wide range of proteins such as HYD1 protein from *T. asperellum* (Viterbo and Chet [2006](#page-307-0)) in assisting root colonization. Some proteins such as Swollenin SWO protein and the endopolygalacturonase PG1 have been reported for facilitating the root penetration from *T. harzianium* (Brotman et al. [2008;](#page-302-0) Morán-Diez et al. [2009](#page-305-0)).

#### *3.4 ISR Response*

ISR response in plants starts from microbial cell surface known as pathogen or microbe-associated molecular patterns (PAMPs or MAMPs) with the recognition of specifc components (Schwessinger and Zipfel [2008\)](#page-306-0). PAMP-triggered immunity (PTI) refers to the activation of defence response in the host through interaction between PAMP and corresponding plant receptor (Jones and Dangl [2006\)](#page-304-0). As similar to PAMPs, numerous varied MAMPs from many microbes have been connected with ISR (Bakker et al. [2020;](#page-302-0)). ISR starts with the production of several reactive oxygen species (ROS) such as nitric oxide, ethylene (ET), and biosynthesis of antimicrobial substances and later involves the accumulation of callose.

*T. harzianum* has been reported for the promotion of chlorophyll biosynthesis individually as well as inoculation in drought-stressed plants. According to Azarmi et al. ([2011\)](#page-302-0), *T. harzianium* improves the photosynthetic capacities due to enhanced production of phytohormones (Resende et al. [2014](#page-306-0)), along with the biosynthesis of photosynthetic pigments in tomato.

*Trichoderma* spp. are involved in activation of systemic defence response of plants in response to peptaibol secondary metabolites (Szekeres et al. [2005;](#page-306-0) Viterbo et al. [2007;](#page-307-0) Luo et al. [2010;](#page-304-0) Druzhinina et al. [2011\)](#page-303-0). Peptaibols are linear peptide composed of non-protein genic amino acids (i.e. isovaline and α-amino isobutyric acid), in which N-terminal group is acetylated and C-terminus group contains an amino alcohol (i.e. phenylalaninol, valinol, leucinol, isoleucinol, or tryptophanol).

The enzyme mixture was recognized in maize recently as PKS/NRPS which was performing the important role in defence-related responses (Mukherjee et al. [2012a](#page-305-0), [b\)](#page-305-0). Sm1/Ep11 elicitor has well studied from *Trichoderma* spp. for activation of ISR response (Djonovic [2006](#page-303-0)), and deletion of this gene caused inappropriate ISR response in maize (Djonović et al. [2007\)](#page-303-0).

# **4 Biosynthesis and Regulation of Secondary Metabolites in** *Trichoderma*

The biosynthetic pathway of fungal secondary metabolites follows unique and intricate biochemical pathways. These are the products of primary metabolism derived from only few precursors such as acetyl-CoA, mevalonate, and amino acids which build up their building block backbones (Demain and Fang [2000](#page-303-0); Keller et al. [2005\)](#page-304-0).

The secondary metabolite derived through *Trichoderma* spp. includes nonribosomal peptides such as peptaibiotics, siderophores, and diketopiperazines-like gliotoxin, gliovirin, polyketides, terpenes, pyrones, and isocyane metabolites. The production of these substances depends on species as well as on the strain of the fungus, so biosynthesis of whole range is hard to be performed by the single fungus under laboratory conditions. The production of metabolites needs specifc prompt stimuli for its biosynthesis during laboratory conditions.

The majority of genes involved in the process are part of large biosynthetic gene clusters comprising core enzymes such as non-ribosomal peptide synthetases (NRPSs), polyketide synthases (PKSs), or terpene synthases/cyclases, accessory enzymes (like cytochrome P450s, oxidoreductases, methyl transferases), etc. and, in some cases, genes for transporters and transcription factors (Mukherjee et al. [2012a](#page-305-0), [b](#page-305-0); Bansal and Mukherjee [2016](#page-302-0); Mukherjee et al. [2013](#page-305-0)).

The secondary metabolism process in fungal species involves a tightly regulated cellular process infuenced by the environmental conditions and regulatory factors which helps in understanding regulation of SMs. The complex proteins, pH signalling, and other microorganisms infuence the expression of secondary metabolismrelated genes in *Trichoderma* spp. as similar to other fungal spp. (Atanasova et al. [2013;](#page-302-0) Bazafkan et al. 2015; Fekete et al. 2014; Karimi-Aghcheh et al. [2013;](#page-304-0) Malmierca et al. [2015](#page-304-0); Mukherjee and Kenerley [2010\)](#page-305-0). Mukherjee et al. ([2012a](#page-305-0)) studied the role of *T. virens* PacC orthologue which directs the biosynthesis of secondary metabolite and iron transport. The expression of genes encoding the NRPS Tex15, a neighbouring cytochrome P450, as well as siderophore-related biogenesis

enzymes and transporters were altered in ΔpacC mutants in which various biosynthetic pathway could not be activated.

#### **5 Strategies for Metabolite Production in** *Trichoderma*

*Trichoderma* have various strategies to produce metabolites for plant diseases resistance. The rhizosphere shows extensive network of communication occurring between plants and their associated microbes through the exchange and insight communication of signals.

For the past decades, several approaches are used for the detection of SMs, and accurate analysis of several sensitive compounds is also established. During the past few years, several studies have demonstrated the analysis of secondary metabolites in plant-fungus interaction. These approaches help to identify the specifc metabolites which play an important role to enhance the plant's immunity. For example, LC-MS analyse glucosinolate metabolite which was shown to mediate broad-spectrum antifungal and antibacterial defence. GC-MS analysis reveals the metabolic profle of nodulated alfalfa plants, which indicated different stages of nodule organogenesis, which is conducted by global physiological adaptation. Moreover, spectrophotometric and simple chromatographic methods are also used for analysis of secondary metabolites (Lisec et al. [2006](#page-304-0)). Metabolites that are detected and quantifed by mass spectrophotometry are divided into three main subgroups based on physicochemical and molecular mass: (i) polar low molecular mass metabolites (primary metabolites), detected by gas chromatography GC-MS; (ii) polar high molecular mass metabolites (secondary metabolites), detected by liquid chromatography (LC-MS); and (iii) nonpolar (lipid) metabolites, detected by LC-MS or GC-MS analysis (Brotman [2013\)](#page-302-0). There is not any direct relationship between metabolites and secondary metabolite synthesis genes. Secondary metabolites are produced as the result of many genes and their enzymes (Smedsgaard and Nielsen [2005\)](#page-306-0). The sequencing of fungal genomes revealed that gene groups associated with SMs exceed the number of SMs from a certain fungus and several gene clusters from the estimated ones remain silent (Khan et al. [2020](#page-304-0)). There are different molecular approaches involved in the regulation of these silent genes. There are two approaches known in metabolomics for the identifcation of molecular weight of SMs produced by organisms which consist of untargeted as well as targeted. Targeted approaches are the method to identify known compounds, while untargeted approaches are techniques for searching all known and unknown compounds. Several chromatographic techniques, such as gas and liquid chromatography as well as mass spectrometry, are useful for the analysis of metabolites in a complex sample. These techniques are helpful for the detection of a large number of metabolites. LC-MS allows for the detection of mid to nonpolar metabolites, and GC-MS is used for the study of both volatile and polar small substances (Kluger [2015](#page-304-0)). Peptaibiotics, which is extracted from fungal culture, detected by LC-MS, whereby the specifc amino acid, Aib, for



**Fig. 2** Methods of analysis and production of secondary metabolites by *Trichoderma*

peptaibiotics can be indicated by mass differences of D m/z 85. The matrixassisted laser desorption/ionization time-of-fight mass spectrometry (MALDI-TOF-MS) helps to discover the new bioactive SMs in fungus and provides an advanced approach that is much faster than the other traditional bioactive screening techniques. This technique was used for the detection of peptaibol production profles from 28 different *Trichoderma* species (Neuhof et al. [2007\)](#page-305-0). VOCs are volatile SMs that can be determined by GC-MS without chemical derivatization from liquid culture extracts (Kluger [2015\)](#page-304-0). For example, extraction with organic solvents has been applied for the investigation of VOC production in *T. harzianum* and *T. viride*<sup>2</sup> cultures (Claydon et al. [1987](#page-302-0)). Imaging mass spectrometry (IMS) is an advanced technique that allows the direct analysis of living fungi for SMs. IMS produces images depicting the spatial distribution of natural products. There are many methods for production and analysis of metabolites which are shown in Fig. 2. MALDI-IMS has been used for the analysis of metabolites in living bacterial communities. Recently, MALDI-IMS was used to visualize the SMs in the mycoparasitic interaction of *R. solani* and *T. atroviride*. There is a minute or even no sample preparation required which makes the MALDI techniques well suited to the analysis of co-cultivations **(**Fang and Dorrestein [2014](#page-303-0)**)**.

<sup>&</sup>lt;sup>2</sup> Species identities are cited as initially published, and the current taxonomic status of each species requires verifcation.

### *5.1 Mechanism of SMs Produced by* **Trichoderma**

Numerous SMs are produced by *Trichoderma* spp., such as harzianolides, peptaibols, and certain volatile compounds which have antifungal activity along with plant growth promotion which helps to increase plant immunity against biotic and abiotic stresses.6-pp (6-pentyl- $\alpha$ -pyrone or 6PP) one of the metabolites secreted by *Trichoderma* spp. reduces the mycelial growth of *F. oxysporum*, *B. cinerea*, and *R. solani*, for promotion of plant growth, and induces systemic resistance, which also acts as an auxin-like compound (Khan et al. [2020\)](#page-304-0). Tomato plants, after the treatment with 6-PP produced *γ*-aminobutyric acid and acetylcholine, which helps in developing resistance against pathogens. The antifungal activities of peptaibols are due to their capacity to form ion channels in membranes and inhibit the enzymes responsible for the synthesis of cell walls.

Various studies have been conducted to investigate the mechanism of *Trichoderma* SMs are bioactive compounds against several pathogens. Besides that, SMs also have application as aroma compounds in the food industry. 6-Pentyl-α-pyrone (6PP) and harzianic acid (HA), a metabolite of *Trichoderma*, were used for the treatments on olive trees. According to Vinale ([2009\)](#page-307-0), 6PP was isolated from the liquid culture of *T. atroviride* strain P1, whereas HA was extracted from the liquid culture of *T. harzianum* strain M10. Metabolite solutions were prepared by diluting the compound with distilled water up to the fnal concentration used for the treatments. For both HA and 6PP, 0.01% ethyl acetate was added to facilitate resuspension and was successively evaporated under cabinet fow (Marra et al. [2020\)](#page-305-0). *T. reesei* is known for production of benefcial SMs, which facilitate in production of metabolites with antibiotic activity including polyketides, pyrones, terpenes, and polypeptides.

# **6** *Trichoderma* **Metabolomics Approaches in the Improvement of Agriculture**

There are several SMs secreted by *Trichoderma* strains which are useful in the agriculture sector. Agricultural production is directly affected by soil fertility and their properties, which are dependent on metabolites, biomass, and soil microorganisms (Lehman et al. [2015\)](#page-304-0). As part of metabolome, microorganism metabolites play an important role in maintenance of ecosystem resistance to biotic and abiotic stress. Agricultural modifcation by the use of PGP microbes is used to enhance soil fertility and wellbeing, which also provides soil disease suppression effects on plant pathogens. In this context, *Trichoderma* spp. have achieved a special status by producing large amounts of extracellular enzymes for mineralization of organic nutrients, used by plants as nutritional materials (Lorito et al. [2010](#page-304-0); Contreras-Cornejo et al. [2009](#page-302-0)). The abundance of *Trichoderma* spp. in soil under different climatic condition has the ability to degrade organic substance in soil and competitive saprophytic and metabolic versatility (Mbarki et al. [2017\)](#page-305-0). Yadav et al. ([2009\)](#page-307-0) reported that *T. viride* have great potential to restore soil fertility and promote sugarcane

growth. Furthermore, Barakat [\(2008](#page-302-0)) reported that the *T. harzianum* Jn14 was added to organically amended soil for the suppression of *R. solani* causative agent of damping off disease. Additionally, Trichokonin a secondary metabolite has showed activity in inducing defence responses against tobacco mosaic virus (TMV) in *Nicotiana tabacum*. 100 nM concentration of trichokonin through foliar treatment leads to 54% lesion inhibition, 57% reduction in average lesion diameter, and 30% reduction in average lesion area in systemic tissue (Luo et al. [2010](#page-304-0)). *Trichoderma* spp. enhance the rate of decomposition process and act as a good natural decomposition agent. *Trichoderma* are also known for increasing the rate of palm oil effuent and empty fruit bunches decomposition rate from 4–6 months to 21–45 days (Amira et al. [2011\)](#page-302-0).

Besides that, the volatile and non-volatile compounds of *Trichoderma* spp. also use for enhancing the favour of the food products. According to Claydon et al. [\(1987](#page-302-0)) *Trichoderma* have been reported for the production of 6-pentyl-2H-pyran-2-one a volatile compound possesing coconut aroma in the viable cultures which subsequently can be used as a coconut favouring agent in bakery products. Therefore, *Trichoderma* spp. could be one of the sustainable opportunities in current agricultural technologies.

#### **7 Conclusion and Future Perspective**

*Trichoderma* spp. are one of the rhizospheric inhabitants well known for its various plant growth properties. Omics studies such as transcriptomics, proteomics, and metabolomics provide new insights for better understanding of the biological events occurring inside the organisms. Due to diverse secondary metabolites in microbes, it's very important and interesting to know its various biosynthetic pathways as well as mode of interaction among various plant species during biotic and abiotic stress conditions. The multifaceted role of *Trichoderma* for plant growth and development forces researchers to study the metabolic profling of several plant species with different accessions. Metabolite profling can help in investigation of the complex genome organization as well as its downstream activation and signalling. The secondary metabolites secreted by microbes act as communication signal and defence molecules as well as in the variety of applications. The complete knowledge of fungal species with its associated secondary metabolites will lead to development of potent biological agents as well as new strains which helps in the ample production of pharmaceutically and biotechnologically compatible secondary metabolites.

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# **Management of Salinity Stress by the Application of** *Trichoderma*



**Nagamani Adusumilli and Sarojini Chakravarthy Kolli**

#### **Contents**



# **1 Introduction**

Salinization affects about 30% of the irrigated land of the world, increasing this area approximately 1–2% per year due to salt-affected land surfaces (FAO [2004\)](#page-321-0). Recently it has been estimated that approximately 1125 million hectares of land are salt-affected, of which approximately 76 million hectares have been salinized by human-induced activities (Sanower [2019](#page-324-0)). The main reason for degradation of soil is salinization which is converting the fertile lands into unsuitable agricultural lands (FAO [2004](#page-321-0)). Globally, 20% and 33% of total cultivated and irrigated agricultural

N. Adusumilli  $(\boxtimes)$ 

Department of Botany, UCS Saifabad, Osmania University, Hyderabad, Telangana State, India

S. C. Kolli Government Degree College, Hyderabad, Telangana State, India

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lands, respectively, are stricken by high salinity (Shrivastava and Kumar [2015](#page-324-0)). As a result, 0.8 to 3.6% soils became saline globally, and now about 1125 million hectares of land under the threat of salinity, and 1.5 million hectares of lands are becoming useless for agricultural production (Hossain [2019](#page-322-0)). By 2050, 50% of arable land may face the problems of salinity (Wang et al. [2003](#page-325-0)). The accumulation of salt in soil adversely effects the plant anatomy and physiology resulting in both positive and negative impacts (Abuqamar et al. [2009](#page-320-0); Zelm et al. [2020\)](#page-325-0). The root system is frst and foremost organ of the plant that faces the stress due to high salt accumulation in the soil. The effect of this abiotic stress causes immediate as well as longterm changes in the plant (Hernández [2019](#page-321-0); Isayenkov and Maathuis [2019](#page-322-0); Lamers et al. [2020](#page-322-0)). This stress immediately induces osmotic stress resulting in reduced water availability consequentially hampering the growth of the plant. Later, its continuing effect induces ion toxicity resulting in nutrient imbalance in the cytosol (Munns [2005\)](#page-323-0). Hence, salinity is one of the crucial problems among many abiotic stresses that affects the productivity of plants globally (Ma et al. [2020\)](#page-322-0). The plants under stress conditions generally limit the growth and productivity that reduces the yield of the crop up to 90 percent (Mushtaq et al. [2020](#page-323-0)). The high salinity affects whole plant health, and considerable changes are noted morphologically, biochemically, metabolically, and physiologically and expression of plant gene properties (Zhao et al. [2020](#page-325-0)).

The plants like halophytes sustain themselves by adopting strategies when exposed to higher salinity levels (i.e., excesses of Na<sup>+</sup>, Cl<sup>−</sup>, SO<sub>4</sub><sup>2−</sup>). Generally, most crop species are salt sensitive (called glycophytes), so it is a strategy in the recent years to breed for resistance or to induce tolerance against salt stress to increase crop yield produced on salinized agricultural lands. To mitigate the salinity stress in plants, breeding for increased tolerance through gene transfer is tried, but this appears to be unsuccessful in genetically engineered transgenic plants (Katori et al. [2010;](#page-322-0) Munns et al. [2000\)](#page-323-0). Another approach to increase/enhance the tolerance to salinity problem is by employing plant growth-promoting microbes (PGPM) like mycorrhizae and bacterial organisms (Hidangmayum and Dwivedi [2018;](#page-321-0) Hontzeas et al. [2006;](#page-321-0) Guo et al. [2018](#page-321-0); Gupta et al. [2020;](#page-321-0) Manaf and Zayed [2015;](#page-322-0) Mastouri et al. [2010,](#page-322-0) Shrivastava and Kumar [2015](#page-324-0); Talaat and Shawky [2011](#page-324-0)). Among PGPM microbes, avirulent symbiotic endophytic common soil fungus *Trichoderma* proven very effective in inducing tolerance in plants against the high salinity effects (Brotman et al. [2013](#page-320-0); Chet [2016;](#page-321-0) Contreras-Cornejo et al. [2014](#page-321-0); Kumar et al. [2020;](#page-322-0) López-Bucio et al. [2015;](#page-322-0) Mishra et al. [2020](#page-322-0)). *Trichoderma* is having successful history in combating plant diseases through multitude of mechanisms (Alfky and Weisskopf [2021](#page-320-0); Ferreira and Musumeci [2021;](#page-321-0) Harman et al. [2004;](#page-321-0) Hermosa et al. [2012;](#page-321-0) Nagamani and Sarojini [2012;](#page-323-0) Sood et al. [2020](#page-324-0)).

The association of *Trichoderma* with plants causes changes in the vicinity of rhizosphere which directly effects the plant functions. The well-known character of this fungus is extensively documented as plant growth enhancer, disease resistance inducer, and rhizosphere colonizer, increasing nutrient uptake in plants (Akter et al. [2019;](#page-320-0) Schuster and Schmoll [2010](#page-324-0)) through direct and indirect interactions. *Trichoderma* releases varied number of enzymes and metabolites that facilitate the

<span id="page-310-0"></span>plant to gain tolerance or resistance against biotic and/or abiotic stresses (Vinale et al. [2014](#page-324-0); Ramírez-Valdespino et al. [2019](#page-323-0); Sarojini and Nagamani [2020;](#page-324-0) Waghunde et al. [2016](#page-325-0)). The studies focused on the role of *Trichoderma* to ameliorate plant tolerance against the salinity stress revealed several insights explaining the mechanisms responsible to combat this abiotic stress and those insights are reviewed in this article.

# **2** *Trichoderma* **Species Used in Management of Salinity Stress in Plants**

Earlier fndings on *Trichoderma* research are remarkable in managing health, productivity, and diseases of plants. The recent investigations using *Trichoderma* are concentrated toward the management of salinity stress on plants as it has emerged as critical abiotic constraint threatening the yield of crops and hampering the modern agricultural development (Zhao et al. [2020\)](#page-325-0).

*Trichoderma* taxonomy web site (https://trichokey.com/index.php/*Trichoderma*taxonomy-2020) shows a total of 464 identifed species of which only 375 species are with valid names as on July 2020 (Cai and Druzhinina [2021\)](#page-320-0). Among these, few species received attention to manage salinity stress. The most widely employed or used species against salinity stress is *T. harzianum* (Table [1\)](#page-311-0). Other species used for this purpose belongs to *T. afroharzianum*, *T. aggressivum* f. sp. *europaeum*, *T. asperellum*, *T. asperelloides*, *T. atroviride*, *T. citrinoviride*, *T. hamatum T. longibrachiatum*, *T. parareesei*, *T. saturnisporum T. virens*, *T. viride*, and *T. yunnanense*. A variety of crops were tested to overcome soil salt stress using abovementioned species of *Trichoderma* (Table [1](#page-311-0)). The strains able to control plant diseases are employed against tolerance to salinity problems (Anwer et al. [2020;](#page-320-0) Guo et al. [2018;](#page-321-0) Poosapati et al. [2014](#page-323-0); Regragui and Lahlou [2005;](#page-323-0) Rubio et al. [2014](#page-323-0); Zhang et al. [2016\)](#page-325-0). The endophytic *Trichoderma* strains enhanced plant growth are also able to induce resistance toward salt, osmotic stresses, and other abiotic factors (Zaidi et al. [2013](#page-325-0)). The

# **3 In Vitro Studies of the Salinity Stress Mitigation Using** *Trichoderma* **spp.**

Among a dozen of *Trichoderma* strains tested, the *T. asperellum* Tvb1 was most tolerant to saline and high pH when grown on high salt and pH medium (Anwer et al. [2020](#page-320-0)) in comparison to wild strain (WT). This strain was isolated from hot springs; all others are from arable soils. The growth of salinity-tolerant *T*. *asperellum* mutant constructed with *Agrobacterium tumefaciens*-mediated transformation system was faster when subjected to different NaCl concentrations, and the growth showed no variation but spore producing ability was inhibited under NaCl stress.

S.			
no	In vitro/in vivo studies	Trichoderma species	Reference
1.	Maize (Zea- mays L.) var. NT6621 and rice (Oryza sativa L.) var. Kernel	T. harzianum (Th-6)	Yasmeen and Siddiqui (2017)
2.	Arabidopsis thaliana	T. asperelloides T203	Brotman et al. (2013)
		T. virens	Contreras-Cornejo
		T. atroviride	et al. (2014)
3.	Bean (Phaseolus vulgaris L.	T. atroviride, T. harzianum sensu lato species complex, T. longibrachiatum - T. orientale species complex	Gal-Hemed et al. (2011)
		T. asperelloides	
4.	Black pepper (Piper nigrum L.	T. harzianum	Sri Vithya et al. (2018)
5.	Black pine (Pinus	T. harzianum	Min et al. (2014)
	thunbergia)	T. hamatum	
6.	Broad bean (cv. Vicia faba L.	T. viride	Abdel Kareem et al. (2016)
7.	Chilli (Capsicum frutescens L.)	Trichoderma spp.	Muthukumar et al. (2011)
8.	Cucumber (Cucumis sativus L.	T. harzianum	Zhang et al. (2018)
9.	Egg plant	T. harzianum	Velmurugan et al. (2015)
10.	Faba beans (Vicia faba L.)	T. harzianum	El-Baki and Mostafa (2014)
11.	Groundnut	Trichoderma spp.	Taufiq and Yusnawan (2020)
12.	Indian mustard (Brassica juncea <sub>L</sub> )	T. harzianum	Ahmad et al. (2015)
13.	In vitro studies	T. asperellum	Anwer et al. (2020)
		T. asperellum T59 mutants	Guo et al. (2018)
		T. asperellum, T. hamatum, and T. longibrachiatum	Poosapati et al. (2014)
		T. aggressivum f. sp. europaeum, T. saturnisporum, and T. longibrachiatum	Sánchez- Montesinos et al. (2019)
		T. harzianum	Mohamed and Haggag (2006)
14.	Maize (Zea mays L.)	T. citrinoviride (T11C)	Yeşilyurt et al. (2018)

<span id="page-311-0"></span>**Table 1** *Trichoderma* species used in the management of salinity stress

(continued)

S.			
no	In vitro/in vivo studies	Trichoderma species	Reference
15.	Maize (Zea mays L.), rice (Oryza sativa L.)	T. harzianum	Yasmeen and Siddiqui (2017)
16.	Ochradenus baccatus (Del.)	T. hamatum	Hashem et al. (2014)
17.	Pea (Pisum sativum L.)	T. asperellum (T42)	Singh and Dwivedi (2018)
18.	Rapeseed Brassica napus cv. Jura	T. parareesei	Poveda (2020)
19.	Rice (Oryza sativa L.)	Trichoderma spp.	Rawat et al. (2016)
20.	Soyabean (Glycine max(L.) Merr.)	T. harzianum	Khomari and Davari (2017)
21.	Sweet pea (Lathyrus odoratus L.)	T. asperellum (T42)	Singh and Dwivedi (2018)
22.	Tomato (Solanum lycopersicum L.)	Trichoderma spp.	Kashyap et al. (2020)
		T. afroharzianum T-22	Mastouri et al. (2010)
		T. parareesei, T. reesei	Rubio et al. (2014)
		T. harzianum mutants Th50M6 and Th50M11	Mohamed and Haggag (2006)
23.	Transgenic tobacco lines (Nicotiana tabacum L.)	T. harzianum	Dana et al. (2006), Sun et al. (2020)
24.	Wheat (Triticum aestivum	T. yunnanense	Oljira et al. 2020
	L.)	T. afroharzianum	
		T. reesei	Ikram et al. $(2019)$
		T. longibrachiatum T6	Zhang et al. (2016, 2019)
25.	Wheat (Triticum aestivum L.) in-vitro studies	T. aureoviride and T. harzianum	Ripa et al. (2019)
26.	Populus davidiana X P. alba var. pyramidalis Louche	T. asperellum mutant T59	Guo et al. (2018)
27.	Melon (Cucumis melo var. Piñonet)	T. aggressivum f. sp. europaeum, T. saturnisporum, and T. longibrachiatum	Sánchez- Montesinos et al. (2019)
28.	Vineyard (Vitis venifera L.)	T. harzianum T78	Mbarki et al. (2016)

**Table 1** (continued)

The NaCl tolerance of the T59 strain was higher than that of the WT strain (Guo et al. [2018](#page-321-0)). *T. asperellum*, TaDOR673 strain, is thermotolerant and salt tolerant and effectively controls the collar rot disease in groundnut (Poosapati et al. [2014](#page-323-0)), while some other strains in their study showed more salt tolerant but less thermotolerant and poor in disease control. The screening studies on *T. aggressivum* f. sp. *europaeum*, *T. saturnisporum*, and *T. longibrachiatum* revealed the salinity tolerance on high concentration of NaCl containing medium, and *Cucumis melo* var. *Piñonet*

<span id="page-313-0"></span>(melon variety Piel de sapo) seedling disease control is highest with *T. longibrachiatum* (Sánchez-Montesinos et al. [2019\)](#page-324-0). The mutants of *T. harzianum* generated by irradiation were tolerant to high NaCl concentration in growth medium and showed proper linear growth; some altered morphology; high production of gliotoxin, gliovirin, trichodermin, and phenols; extracellular hydrolytic enzymes; and proline accumulation in cells in comparison to wild type (Mohamed and Haggag [2006\)](#page-323-0). The growth inhibition of *Verticillium dahliae* with *T. harzianum* decreased in presence of high salt concentration, but still the inhibition was signifcant where the antagonistic action of metabolites decreased with an increase in salt concentration (Regragui and Lahlou [2005\)](#page-323-0). It is interesting to note that the capability of marine isolates to tolerate increasing osmotic pressure (halotolerance) is a strain- or cladespecifc novelty rather than a characteristic of a species (Gal-Hemed et al. [2011\)](#page-321-0). The mutants with overexpressed genes are proved to be effcient to overcome the salinity stress. These studies indicate that all *Trichoderma* strains are not salinity tolerant, and strains showing tolerance to other abiotic factors mostly sustain salt concentration in the substrate simultaneously able to control plant pathogens in vitro as well as in vivo.

## **4** *Trichoderma* **Formulations and Application Methods for Managing Salinity Stress in Crops**

*Trichoderma* inoculum is prepared and applied to plants by following different procedures. It is applied as dry powders, spore suspensions sometimes mixed with basic materials for binding.

*Trichoderma* agar discs from actively growing culture are inoculated on rice (*Oryza sativa*) grains with sterilized distilled water. After suffcient incubation, the *Oryza sativa* grains dried and grained to fne powder then mixed with talcum powder (mesh 350 with 95% whiteness) and 1% carboxy methyl cellulose (CMC). This powdered formulation is coated on sterilized broad bean (cv. *Vicia faba* L.) (Abdel Kareem et al. [2016](#page-320-0)). Oljira et al. [\(2020](#page-323-0)) also used powered formulations but followed different method. *Trichoderma* was grown on boiled wheat (*Triticum aestivum* L.) grain for 3 weeks, and the conidial masses were separated by centrifugation. The pelleted conidial form was collected, later dried for 3 days under aerated and aseptic conditions. The dried mass was powdered and used for seed coating of wheat (*T. aestivum*) seeds for the study. Ahmad et al. ([2015\)](#page-320-0) used lyophilized culture powder for inoculations on seeds. The mycelium of *Trichoderma* grown on potato dextrose broth was collected and lyophilized under vacuum to get powder. Later the culture powder was mixed with talc and carboxy-methyl cellulose. The final concentration of the carrier material per gram was  $2 \times 10^9$  cfu, and it was applied to the pot at the rate of 10gkg<sup>-1</sup> soil before sowing.

Others used spore suspension directly to the soil or seeds as biopriming. The pregerminated *Cucumis melo* var. *Piñonet* (melon) seeds sowed in pots were

inoculated with 5 mL spore suspension of "*Trichoderma* sp." in each pot at 50 X 106 propagules/plant (Sánchez-Montesinos et al. [2019](#page-324-0)). *Solanum lycopersicum* (tomato) seeds were soaked in the *Trichoderma* suspension at a rate of 10<sup>5</sup> spores / mL (Mohamed and Haggag [2006\)](#page-323-0). *Zea mays* L. cv. *samada* 07 seeds were bioprimed with "*Trichoderma* sp." conidial suspension at the rate of  $1 \times 107$  spores /mL (Yeşi̇lyurt et al. [2018\)](#page-325-0) where *Trichoderma* inoculum was mixed with 2% carboxymethyl cellulose and 1% Tween 20. *Lathyrus odoratus* (Pea) seeds were directly soaked in *Trichoderma* spore suspension for the pot experiments (Singh and Dwivedi [2018\)](#page-324-0). Poosapati et al. [\(2014](#page-323-0)) also used spore suspension of *Trichoderma* to soak tomato seeds for feld experiments. The maize (*Zea mays* L. var. NT6621) and rice (*Oryza sativa* L var. *Kernel*) seeds were treated with *T. harzianum* conidia (colony forming unit 67.3 10−<sup>3</sup> ) mixed with 2% gum arabic as sticker (Yasmeen and Siddiqui [2017\)](#page-325-0). The roots of tomato seedlings were submerged in a suspension of  $2 \times 10^6$  conidia / ml before transplanting into plastic containers with sterile sand-peat (1:1) (Martínez et al. [2015\)](#page-322-0). The conidial suspension of *T. virens* (10<sup>6</sup> spores/ mL) prepared from 7-day-old culture on potato dextrose agar (PDA) was used as a seed coating by dipping the soyabean seeds into the spore suspension prior to the planting (Yusnawan et al. [2019\)](#page-325-0). Mastouri et al. ([2010\)](#page-322-0) used encapsulated conidia for these studies. *Trichoderma* conidia were coated onto cellulose and encapsulated with tapioca dextran, and conidial suspension in sterile type I water was prepared for treating tomato seeds. Their studies used the conidial suspension at the rate of 20 μl g–1 to deposit 2 × 10<sup>7</sup> cfu g<sup>–1</sup> of seed. *T. asperellum* spore suspension (1 × 10<sup>9</sup>) spores/L) was prepared and 200 mL of the suspension diluted to each liter of soil to get appropriate concentration of  $1 \times 10^3$  (A1);  $1 \times 10^6$  (A2); and  $1 \times 10^9$  (A3) spores/L (FU et al. [2017](#page-321-0)). *Trichoderma* inoculum was added to the *Cucumis sativus* (cucumber) and *Arabidopsis* root system in hydroponic solution, at the rate of 105 germinated spores mL<sup>-1</sup>, and a final concentration of 10<sup>6</sup>spores/g soil was mixed with the soil for pot experiments (Brotman et al. [2012](#page-320-0)). The spores were collected from the agar plates previously grown with *Trichoderma* by washing with sterile distilled water and adjusted to a concentration of  $10<sup>6</sup>$  mL<sup>-1</sup> to use as seed coating of *Arabidopsis thaliana*, chilli, cucumber, *Cucurbita pepo*, *Nicotiana benthamiana*, tomato, *Lotus japonicum*, and *Cynara cardunculus* under growth chamber studies (Ruocco et al. [2015](#page-323-0)). *Trichoderma* spores in a 5 μL aliquot of water were inoculated 5 cm below the growing root tips of *Arabidopsis thaliana* in the Petri pates and resealed after inoculation (Nieto-Jacobo et al. [2017\)](#page-323-0).

*Trichoderma* inoculum collected from previously grown on agar plates was used as starter inoculum for solid-state fermentation on sterilized *Oryza sativa* (rice). Later the spores were collected and adjusted to  $1 \times 10^7$  spores mL<sup>-1</sup> and then used to treat lettuce seedlings by using a root dip method for greenhouse trials (Fiorentino et al. [2018\)](#page-321-0). Three different dosages of *T. viride*, a commercial product used (2.0, 4.0 and 6.0 g.kg<sup>-1</sup> seed) as seed dresser, were applied (Leo Daniel et al. [2011\)](#page-322-0).

While studying the *Arabidopsis thaliana* seedlings under salt stress, the *Trichoderma* fungal spore densities of 10<sup>6</sup> spores were inoculated on 0.2x MS medium with 100 mM NaCl or without salt by placing the spores at 5 cm in the opposite ends of agar plates containing 4-day-old germinated *Arabidopsis* seedlings

<span id="page-315-0"></span>(Contreras-Cornejo et al. [2014](#page-321-0)). "*Trichoderma* sp." was added to the soil as a wheat (*Triticum aestivum*) bran-peat mixture (Gal-Hemed et al. [2011](#page-321-0)). After pre-soaking of seeds in water for 12 h, the soybean seeds were presoaked in water for 12 h then bioprimed with *T. harzianum* for 10 g/kg of seeds (Khomari and Davari [2017](#page-322-0)). After germination of *Trichoderma*-coated seeds, some inoculum was again applied below the soil surface by syringe to ensure infection (Soliman et al. [2020](#page-324-0)). Rice (*Oryza sativa*) straw was used to prepare biostraw with *Trichoderma* inoculum isolated from saline soils and used to treat cowpea seeds (Hamed et al. [2015\)](#page-321-0). Sterilized tomato seeds were coated with an aqueous suspension containing *Trichoderma* spores  $2 \times 10^8$  mL<sup>-1</sup> (1 ml of spore suspension per 30 seeds) and then air-dried overnight in an open Petri dish under a laminar fow hood and sown in pot soil (Rubio et al. [2014](#page-323-0)). Guo et al. [\(2018](#page-321-0)) used *Trichoderma* spore suspension to treat *Populus davidiana × P. alba* var. *pyramidalis* (PdPap poplar) seedlings at the concentration of 10<sup>6</sup> spores/mL. Vineyard composts were supplemented with the high salt-tolerant *T. harzianum* T78 to rehabilitate the saline soils (Mbarki et al. [2016\)](#page-322-0).

The seed coating method using *Trichoderma* is a popular method in agriculture also in experimental trials. This may be owing to convenience, environmental safety, accuracy, and cost-effectiveness (Ma et al. [2020](#page-322-0)).

## *4.1 Effect of* **Trichoderma** *on Management of Salinity Stress in Plants*

Many investigations extensively recorded the soil salinity effects on plants (Bhattarai et al. [2020;](#page-320-0) Cheeseman [1988;](#page-320-0) Hernández [2019](#page-321-0); Isayenkov and Maathuis [2019;](#page-322-0) Ma et al. [2020](#page-322-0); Mushtaq et al. [2020;](#page-323-0) Volkmar et al. [1998;](#page-325-0) Zelm et al. [2020](#page-325-0); Zhao et al. [2020\)](#page-325-0). The higher salt concentration (salinity) in the soil causes ionic and osmotic stress on plants. This triggers morphological, biochemical, and physiological responses in plants leading to less crop productivity. High salt content in soil causes decrease in soil water potential (osmotic phase). A rapid increase of salt in the cell walls or cytoplasm occurs when the vacuoles can no longer sequester incoming salts (ionic phase). Afterward salt concentration builds up in older leaves which hastens their death. Because of this injury, supply of carbohydrates and/or growth hormones to the meristematic regions of the plant reduces those results in growth inhibition of plant (Mazher et al. [2007\)](#page-322-0). In reality, the excessive infow of salts under salinized conditions manipulates the production of specifc metabolites of the plant which limits the photosynthetic rate that is visible phenotypically as reduced growth (Shannon et al. [1994\)](#page-324-0).

The interaction of *Trichoderma* with plants under salinity conditions ameliorated these effects (Brotman et al. [2013](#page-320-0)). The microbes colonizing roots in the rhizosphere usually have anatomical as well as physiological interaction with plants. The rhizosphere imparts very important niche that accommodates the rhizosphere microbes by providing both space and nutrients for their growth and activity. This

facility is well adopted by the species of *Trichoderma* which is an outstanding feature of this genus that acquired title "rhizosphere competent fungus." This character is an important aspect which provides tolerance/resistance to plants against innumerable stresses including salinity stress (Brotman et al. [2013](#page-320-0)). The rhizosphere colonization enhanced the salt-tolerant metabolites in the *Arabidopsis thaliana* roots inoculated with *Trichoderma asperelloides* T203. It is assumed that colonization of roots with *Trichoderma* triggers a signaling cascade that activates a variety of defense mechanisms in plants to overcome stresses (Shoresh et al. [2010](#page-324-0)).

The management of salinity stress on cereals and non-cereals by means of different *Trichoderma* species was assessed by various workers (Table [1\)](#page-311-0). Two broad bean (cv. *Vicia faba* L.) varieties treated with *T. viride* enhanced shoot growth when grown with or without 250 mM NaCl + *Trichoderma* (Mahmood et al. [2019](#page-322-0)). While managing salt stress in Indian mustard, *T. harzianum* increased biomass along with increased oil content (Ahmad et al. [2015\)](#page-320-0). The same effect is found in salinity stressed tomato plants with *T. parareesei* treatment (Poveda [2020\)](#page-323-0). *Arabidopsis* and cucumber (*Cucumis sativus* L.) plants treated with *T. asperelloides* T203, prior to imposition of salt stress, showed signifcant improvement in seed germination (Brotman et al. [2013](#page-320-0)) and yield of rapeseed after treating with *T. parareesei* (+T6) and *T. parareesei* transformation control (+Tp-TC) (Poveda [2020](#page-323-0)). *Arabidopsis* plant growth was promoted under normal as well as saline conditions with the treatment of *T. virens* (Tv29.8) and *T. atroviride* IMI 206040 (Contreras-Cornejo et al. [2014\)](#page-321-0). The salt stress of the plants causes reduction in photosynthetic pigments due to decrease in enzymatic activity (Ahmad et al. [2015](#page-320-0)) which was ameliorated with the treatment of *Trichoderma* species in *Zea ma*ys (maize) and *Oryza sativa* (rice) (Yasmeen and Siddiqui [2018](#page-325-0)) and rapeseed (Poveda [2020](#page-323-0)).

Studying effect of *T. harzianum* T78 on saline soils growing vineyard did not show any promising result but when it amended with compost in saline soil improved the soil microbiological quality (Mbarki et al. [2016\)](#page-322-0). Moreover, the colony-forming units did not decrease even thou the salt concentration is increased which was negative in natural soil where T78 was inoculated without compost. This study indicates the supplementation of organic matter which has increased the activity of T78 to overcome the salinity effect.

The glycophytes accumulate excessive production of ROS causing progressive oxidative damage and ultimately cell death (Gupta and Huang [2014;](#page-321-0) Zelm et al. [2020\)](#page-325-0). Plants under stress conditions respond and develop some complex regulatory mechanisms to protect themselves against the ill effects caused by any kind of stresses. The salt-tolerant plants also develop several physiological and biochemical modifcations by compartmentalization of excessive salts and activation of antioxidants (Schachtman and Munns [1992\)](#page-324-0) and also synthesize many types of compounds like soluble proteins and sugars to stabilize the cellular structure, to maintain cell turgor, and to regulate osmoticum (Bartels and Sunkar [2005](#page-320-0)). High levels of ROS formation due to stress response cause damage of the biomolecules in plants. The low or reasonable amounts of ROS act as second messengers that help the plants in signaling at cellular level. This aids in modifying, scavenging, or reducing the ROS chemicals by the formation of enzymatic or non-enzymatic antioxidants in the plant

(Bhattarai et al. [2020](#page-320-0)). The enzymatic antioxidants comprise superoxide dismutase (SOD), catalase (CAT), guaiacol peroxidase (GPX), enzymes of ascorbate glutathione (AsA-GSH) cycle such as ascorbate peroxidase (APX), monodehydroascorbate reductase (MDHAR), dehydroascorbate reductase (DHAR), and glutathione reductase (GR) (Noctor and Foyer [1998\)](#page-323-0). The nonenzymatic antioxidants present inside the cell are the Ascorbate (AsA), reduced glutathione (GSH), carotenoids, tocopherols, and phenolics. Maintenance of these antioxidants' ability benefts the plant to scavenge or detoxify the harmful ROS that is correlated to enhanced levels of tolerance in plants against the stresses (Bahmani et al. [2015](#page-320-0)). Hence, to identify the tolerance of plants against the salt stress, the formation of ROS and antioxidant formation is analyzed by measuring them with several techniques. These kinds of modifcations were noticed when *Trichoderma* was applied to plants under salt stress conditions. *Trichoderma yunnanense*, *T. afroharzianum*, and *Bacillus licheniformis* improved net photosynthesis rate; water use efficiency and; root and shoot biomass production in *Triticum aestivum* (Oljira et al. [2020](#page-323-0)). Enhanced IAA production and ACC-deaminase activity with NaCl stress in *T. longibrachiatum* regulated the plant genes' expression of IAA, and ethylene synthesis in *T. aestivum* seedling roots subjected to salt stress reduced the toxicity of ions in the *T. aestivum* plant cells. The causes for the improvement of growth of *T. aestivum* (wheat) plants treated with *T. longibrachiatum* under salt stress are 1. reduction in the production of ethylene; 2. an increase in IAA; 3. reduced accumulation of Na+ ions; 4. improvement in K+ uptake; 5. K+/Na+ ratio; and 6. transcriptional level of Na+/H+ antiporter gene expression in both roots and shoots (Zhang et al. [2019](#page-325-0)). An antioxidative defense system enhancement and scavenging of excessive ROS produced by plants under salt stress was achieved in *T. aestivum* (wheat) seedlings treated with *T. longibrachiatum* T6 (Zhang et al. [2016\)](#page-325-0). *T. harzianum* improved the uptake of benefcial elements, stimulated compatible solute accumulation, and elevated the level of antioxidant enzymes in *Brassica juncea* L. under salt stress (Ahmad et al. [2015\)](#page-320-0). After treating the rapeseed plants with *T. harzianum* (+T34), the oxidative stress was lowered signifcantly under salt stress conditions while without the treatment the oxidative stress in the plants increased signifcantly. The application of *T. parareseesei* (+T6) and *T. parareesei* transformation control (+Tp-Tc) was beneficial in lowering the oxidative stress in rapeseed plants (Poveda [2020\)](#page-323-0). The exogenous foliar application of Salicylic acid (SA) alone and along with *Trichoderma* was reported to result in the detoxification of ROS  $(H_2O_2 \text{ and } O^-)$ , lowering of lipid peroxidation, enrichment of osmo-protectants like proline coupled with stimulation of antioxidative enzymes activity (superoxide dismutase and ascorbate peroxidase) in pea (*Pisum sativum* L.) plants (Singh and Dwivedi [2018](#page-324-0)). A similar kind of observation is reported in *Ochradenus baccatus* (Del.) when treated with *T. hamatum* (Bonord.) Bainier (Abeer Hashem et al. [2014](#page-320-0)). The growth of the plant, lateral roots, and root hair induction in *Arabidopsis* was noticed when inoculated with *Trichoderma* spp. under saline conditions (Contreras-Cornejo et al. [2014\)](#page-321-0). This enhancement is associated with increased levels of abscissic acid, L-proline, and ascorbic acid and increased elimination of Na+ through root exudates. It is also attributed that it is due to increased IAA levels and osmoprotectiveness of the plant.

The studies on managing salinity stress on cereals – *Triticum aestivum*, Zea *mays*, and *Oryza sativa* – has shown remarkable fndings. The maize seedlings treated with *T. asperellum* were larger than untreated plants under salinity conditions. The increased concentration of *T. asperellum* spores in the suspension used to treat the maize plants improved its plant height, root thickness, root length, root weight and water content in the cells also (Fu et al. [2017\)](#page-321-0). The *Triticum aestivum* (wheat) plants developed tolerance against salinity which was evident from the experimental results showing a higher water use effciency (WUF), lower intercellular CO<sub>2</sub>, stomatal conductance, and transpiration in *Triticum aestivum* plants grown after treating seeds with *Trichoderma* under salinity stress (Oljira et al. [2020\)](#page-323-0). The inoculated *Trichoderma* induced these photosynthetic parameters in *Triticum aestivum* plants where the effects were visible clearly in growth and biomass. This enhancement is greater with fungi rather than with bacteria (Oljira et al. [2020\)](#page-323-0). The siderophores producing *T. asperellum* Q1 strain has a real potential to enhance the growth of other crops like cucumber by inducing physiological protection under saline stress and its siderophores have the potentiality of alleviating negative effect of salinity and iron defciency (Qi and Zhao [2013\)](#page-323-0).

Rawat et al. [\(2011](#page-323-0)) showed that salinity-tolerant isolates of *T. harzianum* have effciency to reduce the severity of the effects due to salinity by strengthening the plant stand. The treated plants showed lower accumulation of MDA (malondialdehyde) content, whereas proline content and phenolics were higher under both nonsaline and saline conditions. The treated plants showed lower accumulation of MDA content, whereas proline content and phenolics were higher under both non-saline and saline conditions.

The *Trichoderma* strains helping plants to overcome salinity effects also able to control plant pathogens. This was observed when three isolates of *Trichoderma* (*T. longibrachiatum, T. aggressivum* f. sp. *europaeum* and *T. saturnisporum*) regardless of their origin reduced the salinity stress resulting in larger melon plants and an increase in percentage of dry weight more than 80% for *T. longibrachiatum* or an increase in root dry weight close to 50% (Sánchez-Montesinos et al. [2019\)](#page-324-0). *T. hamatum* treatment increased seed germination of *Ochradenus baccatus* grown in NaCl salt stress (Hashem et al. [2014](#page-321-0)). With the application of *Trichoderma* under salt stress conditions decreased MDA content and  $H_2O_2$  in all tested broad bean genotypes (Abdel Kareem et al. [2016](#page-320-0)). The *Trichoderma* interaction with plant were more distinct in enhancing overall growth, decreasing the transportation of Na+ to shoot from root. This gives tolerance to plants to sustain the salinity effect by stabilizing the cytoplasm from the toxic effect of Na+ ions accumulation and accelerating the defense related antioxidant enzyme activities in the broad bean genotypes.

The studies on transgenic plants helpful in increasing tolerance to salt stress revealed their role in management of salinity in plants. The *APX*-gene (derived from *Pisum sativum* L.) in transgenic tomato plants (*Lycopersicon esculentum* L.) tolerated the salt injury (Chen et al. [2010](#page-320-0)). The gene tApx overexpressed either in tobacco or in *Arabidopsis* tolerated oxidative stress (Ueda et al. [2017](#page-324-0)). Other genes like *MDHAR* gene in tobacco (Zhang [2015](#page-325-0)) and *AtDHAR1* gene in potato plants (Song et al. [2012](#page-324-0)) enhanced tolerance to salt stress. Even though certain identifed <span id="page-319-0"></span>genes in transgenic plants overexpressed to improve tolerance against oxidative stress (due to generation of ROS), one component of antioxidative defense system may not change the other pathways (Cheeseman [1988;](#page-320-0) Mian et al. [2011\)](#page-322-0). Rubio et al. [\(2014](#page-323-0)) observed a maximum upregulation of the salt tolerance *SOS1* gene after 24 h of treatment with "*Trichoderma* sp." (T6) in tomato (*Lycopersicon esculentum* L.) plants. The downregulation or activation of certain genes in *L. esculentum* plants is induced by the interaction of *T. parareesei* in their studies. Their studies indicated that plants responded to the interaction of T6 which activated the responsive element binding protein 2 (AREB2*)* and responsive element binding protein 2 (*SOS1*) genes in *Lycopersicon esculentum* L. plants which are associated with responses of ABA and salt tolerance, respectively. But ascorbate peroxidase (APX1) enzyme

was not responded signifcantly which acts as negative regulator for ABA biosynthesis in early stages of plant growth period. When *EIN2* is not regulated in *Lycopersicon esculentum* L. plants, *AREB2* is activated as ABA synthesis is not hampered due to downregulation of EIN2 during salt stress condition in *L. esculentum* (tomato) plants. During salt stress condition in *L. esculentum* plants, ABA synthesis is unaffected because of downregulation of *EIN2*.

Viterbo and co-workers ([2010\)](#page-325-0) demonstrated that *Arabidopsis* and cucumber plants treated with *Trichoderma* prior to salt stress showed signifcant improvement in seed germination through expression of several gene related to osmoprotection. The defense-related gene expression during the time course of 0–6 days was reported after applying T6 in *Lycopersicon esculentum* plants to sustain the stress caused by biotic and abiotic factors. The genes related to jasmonic acid (JA)/ethylene (ET)-related *LOX1* and *EIN2* showed maximum upregulation after 24 h while salt tolerance *SOS1* gene of salicylic acid (SA) after 48 h of treated with T6. All these are known to enhance the tolerance to overcome salt stress in plants. Even expression levels of *AREB2* and *SOS1* genes in tomato seedlings were improved in presence of T6 which are related to ABA responses and salt tolerance, respectively.

#### **5 Conclusions**

The salt stress on plants is constantly increasing globally. The adaptations of crop plants against this stress are not evolving as fast as increase in soil salinity. Hence, alternative methodologies are being developed to maintain the soil/plant health and productivity of plants to meet the increasing population demand. These technologies implementation is always aimed at ecologically balanced systems. Thus, using microbial inoculants received importance particularly the wonder fungal organism *Trichoderma*. Several studies indicated the role of *Trichoderma* in managing the salt stress in plants. However, the application of effcient species on diversity of crops has not reached the feld level. The inoculations of *Trichoderma* in plants enhanced the overexpression of certain genes in transgenic plants, but gene effect on multiple components for complete health of the plant is always recommended because a single component of gene may not change other genes expressions or pathways

<span id="page-320-0"></span>useful for management of overall effects caused by salinity stress. The utilization of *Trichoderma*'s ability to ameliorate the soil salinity problem will certainly help to achieve the objective of management of adverse impacts of salt stress on plants and improve plant/crop productivity and production.

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# **Tolerance to and Alleviation of Abiotic Stresses in Plants Mediated by** *Trichoderma* **spp.**



**Lorena Brito Pimentel Rodrigues dos Santos, Naiane Oliveira-Santos, Jacqueline Viana Fernandes, Juan Carlos Jaimes-Martinez, Jorge Teodoro De Souza [,](https://orcid.org/0000-0002-2970-4525) Valter Cruz-Magalhães, and Leandro Lopes Loguerci[o](https://orcid.org/0000-0002-2326-2797)**

#### **Contents**



L. B. P. R. dos Santos · N. Oliveira-Santos · J. V. Fernandes · J. C. Jaimes-Martinez · L. L. Loguercio  $(\boxtimes)$ 

Department of Biological Sciences (DCB), State University of Santa Cruz (UESC), Ilhéus, BA, Brazil e-mail: [leandro@uesc.br](mailto:leandro@uesc.br)

J. T. De Souza (⊠) · V. Cruz-Magalhães Department of Phytopathology (DFP), Federal University of Lavras (UFLA), Lavras, MG, Brazil e-mail: [jorge.souza@ufa.br](mailto:jorge.souza@ufla.br)

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## <span id="page-327-0"></span>**1 Introduction**

Climate change, as an ongoing scenario, is already markedly interfering with agricultural productivity and food security in the world, with a gloomy scenario in the near future (Zari [2014;](#page-364-0) Daryanto et al. [2016;](#page-359-0) Naumann et al. [2018](#page-362-0)). According to a FAO report (FAO [2007](#page-360-0)), less than 5% of the entire land area of the planet has not yet been altered by human activity. Food production issues associated with abiotic stresses in plants will remain in evidence in the near future, partially driven by (i) the consequences of COVID-19's huge impact in global economy, (ii) the necessity of reducing carbon footprint on Earth (Stern [2016](#page-363-0)), and (iii) the loss of biodiversity that will affect ecosystems and economy in an interdependent manner (Dasgupta [2008;](#page-359-0) Trisos et al. [2020](#page-364-0); Rousseau and Deschacht [2020](#page-363-0)).

Throughout evolution, plants have accumulated mechanisms of response to various environmental factors that cause stress, allowing their adaptation to a variety of environments (Devi et al. 2017). These responses involve individually or jointly regulated signaling pathways, involving molecules such as ions, metabolites, cofactors, phytohormones, reactive oxygen species (ROS), and mitogen-activated phosphorylation cascades (MAP kinases activities) for induction of adaptive responses (Lata et al. [2018](#page-361-0)). In addition, plants make symbiotic/mutualistic interactions with rhizospheric and phyllospheric microorganisms that often result in an integrated relationship in which the roles of endophytes help induce abiotic stress tolerance for the holobiont (Lewis [1985;](#page-361-0) Marasco et al. [2012;](#page-362-0) Hardoim et al. [2015](#page-360-0)). To cope with harmful effects of abiotic stresses, microorganisms can directly synthesize antistress protective compounds (e.g., amino acids, glycine betaines, polyamines, amides, etc.) or act indirectly, through interfering with plant gene expression and synthesis of enzymes, hormones, and signaling proteins/molecules that activate the plant's stress-response system soon after exposure (Schulz et al. [2002](#page-363-0); Chakraborty et al. [2015](#page-359-0)). In addition, microbes can promote growth, which aids in the prevention of losses in plant vitality (Harman and Uphoff [2019\)](#page-360-0).

The fungal genus *Trichoderma* stands out in the context of microbial-induced benefcial effects to plants, as it is the basis for a variety of commercially available biopesticides, biofungicides, biofertilizers, and soil conditioners (Harman et al. [2004;](#page-360-0) Vinale et al. [2008](#page-364-0); López-Bucio et al. [2015](#page-362-0)). This is possible because this genus has several species with multiple abilities, such as antagonism to a diversity of plant pathogens, enhancement of plant defense mechanisms, and improvement of plant growth and development (Loguercio et al. [2009a;](#page-361-0) El\_Komy et al. [2015](#page-360-0); Jalali et al. 2017; Ghorbanpour et al. 2018; Lombardi et al. [2018](#page-362-0)). Another interesting characteristic that has been described for *Trichoderma* species is related to their contribution to the relief of abiotic stresses in plants (e.g., Xiang et al. [2012](#page-364-0); Calvo et al. [2014](#page-359-0)). Species of this fungus display a genetic arsenal that allow the production of an array of metabolites with antifungal and antibiotic activity, as well as with bioactivities of potential pharmaceutical use (Duran et al. [2010](#page-359-0)). Some of these metabolites can also help plant hosts to cope with harmful effects of abiotic stresses (Meena et al. [2017\)](#page-362-0). In this context, the multifunctional properties of *Trichoderma*

<span id="page-328-0"></span>are highly advantageous for the development of environmentally sustainable strategies for agriculture (Harman [2011a](#page-360-0), [b](#page-360-0); Glare et al. [2012](#page-360-0); Berg et al. [2013;](#page-359-0) Chakraborty et al. [2015;](#page-359-0) Chojnacka [2015](#page-359-0); Kumar and Verma [2018](#page-361-0); Lata et al. [2018\)](#page-361-0).

In this chapter, we adopted a systematic/integrative evaluation of the literature to describe the use of *Trichoderma* spp. in the alleviation of the negative effects of abiotic stresses in plants. The methods were based on qualitative and quantitative assessments of the scientifc literature according to the methods described below. The main topics analyzed were (i) temporal and geographic aspects of the selected studies, (ii) species of *Trichoderma* and the plants/crops involved, (iii) types of abiotic stresses, (iv) mechanisms that *Trichoderma* use to minimize the negative effects of abiotic stresses in plants, and (v) plant genes possibly involved in interactive mechanisms with *Trichoderma* that ameliorate the stresses. Application potentialities and future research directions on this issue were also addressed.

#### **2 Overview of the Methods and Procedures**

The elaboration of the questions and the research protocol (Table [1](#page-329-0)) for the systematic part of the review research in this chapter were based on fve components of the method described by Kitchenham ([2004\)](#page-361-0): (i) *population*, plant species that suffer the effects of abiotic stress; (ii) *intervention*, *Trichoderma* species/isolates applications; (iii) *comparison*, stress-bearing plants with vs without effects from interactions with *Trichoderma* species; (iv) *hypothesis*, *Trichoderma* spp., on average, which reduce the negative effects caused by abiotic stresses on plants; and (v) *type of study*, scientifc articles containing primary studies. Quantitative and qualitative data collection during the research was based, therefore, on the question of what is the magnitude of the *Trichoderma* species' potential to ameliorate the negative effects caused by abiotic stresses on plants. The overall sequence of systematic steps of this chapter is described briefy as follows: the literature research was carried out using two keywords, "*Trichoderma*" and "abiotic stress," with the aid of the "Publish or Perish" version 6.2 program (P&P) (Harzing [2007](#page-361-0)) for the publications until February 2018; the Google Scholar was chosen as the main database used through the P&P program because it provides access to studies from virtually all databases and publishers available on the web. For the period of 2018–2020, the same two keywords were searched directly in the Web of Science, Scopus, and PubMed databases; this procedure aimed to provide a more representative sampling of the literature, with emphasis on the more recent research covered in the three mostly accessed databases. The initial search by P&P was performed using the two keywords above located anywhere in the full text of the publications. Since the P&P's criterion for ranking the retrievable studies is their number of citations, which we considered as an important parameter for quality and representativeness of our sampling (Harzing [2007\)](#page-361-0), the research was limited to the frst 1000 studies in English retrievable by P&P. The frst approach to all these retrieved studies was based on the detailed reading of the corresponding titles and abstracts to retain only

<b>General information</b>				
Description	The genus <i>Trichoderma</i> is widely known to have isolates that are used as biocontrol agents of plant diseases and promoters of plant growth. In addition, other studies suggest that isolates of this genus may also provide plant tolerance to a variety of abiotic stresses. This study aims to describe the current situation of knowledge about Trichoderma species/isolates that showed this improvement effect in plants submitted to different types of abiotic stress			
Objectives	Check which <i>Trichoderma</i> species can increase the plant's tolerance to stressful abiotic factors			
	Use data collection to investigate information on plant/crop amplitude researched on stress relief			
	To analyze the types of abiotic stresses, the species of the genus Trichoderma can decrease in plants			
	Evaluate the methodologies used in the studies regarding the mechanisms of action that are observed in the <i>plant-Trichoderma</i> interaction to reduce the effects caused in the plant due to the abiotic stress suffered			
	Gather information on the alteration of the expression of plant genes involved in the mechanisms of action against the negative effects of abiotic stress in the presence of species of the genus Trichoderma			
<b>Aspects of research</b>				
Question	What is the magnitude of the potential of <i>Trichoderma</i> fungal species to control or decrease the negative effects caused by abiotic stresses on plants?			
Population	Plant species that suffer from abiotic stress and interact with Trichoderma spp.			
Intervention	Decreased effects of abiotic stress on plant interaction with Trichoderma species			
Comparison	Measurable effects of plants with stress and no interaction with Trichoderma vs plants with stress and interaction with Trichoderma species/isolates			
Hypothesis	Trichoderma spp. decreases the negative effects caused by abiotic stresses on plants			
<b>Expected result</b>	The systematic analysis of the related literature will allow to verify the hypothesis formulated in relation to the mitigation of stresses caused by abiotic factors in plants as a result of its interaction with Trichoderma spp.			
Type of studies	Primary studies in the form of scientific articles			
<b>Identification of studies</b>				
Keywords	"Trichoderma," "abiotic stress"			
Search string	"Trichoderma" and "abiotic stress"			
Font selection	Peer-reviewed editors/journals and editorial boards			
criteria for search	Available on the Internet			
List of search	PubMed			
sources	Scopus			
	Web of Science			
	Google academic (Publish or Perish)			

<span id="page-329-0"></span>**Table 1** Research protocol for the systematic review of web-based scientifc literature

(continued)

*Google Scholar*-based "Publish or Perish" v. 6.2 (Harzing [2007\)](#page-361-0) until 2018

strategy	(Research in 07/Feb2018)				
	Research in PubMed, Scopus and web of science databases for 2018-2020 (research in 08/Sep/2020)				
Selection and evaluation of studies					
Inclusion and exclusion criteria for studies	Inclusion:				
	Written in English				
	Primary studies/articles (including special editions)				
	Articles focused on abiotic stress				
	Exclusion:				
	Not aligned with the object of study				
	Simple or expanded abstract, review, chapter/book, dissertation, and thesis				
	Article that has no plant experiments				
	Article focusing on biocontrol and/or other biotic stressors (e.g., phytopathogens)				
	Failure or inconsistency between methodology and results/conclusions				
Strategy for the	Detailed reading of:				
initial selection of	Title				
studies	Summary				
	Keywords				
Strategy for the final selection of studies	Detailed reading of the full text of the article				
	Presence of all inclusion criteria				
	Absence of all exclusion criteria				
Evaluation of the quality of the study	Research online:				
	"Publish or Perish" quality criteria (based on the number of citations per year)				
	Selected studies:				
	Inclusion and exclusion criteria				
	Subjective judgment of agreement between hypotheses, experimental procedures, results, and conclusions				

**Table 1** (continued)

Online search

(continued)

those specifcally dealing with the central theme of this research. From this procedure, 134 papers were selected (Fig. [1\)](#page-332-0), including 71 primary studies, 30 reviews, 28 book chapters, 3 theses/dissertations, and 2 open letters. To confrm the quality and consistency of these studies, the criterion of displaying a recognized peer review system and editorial board was observed. Based on this experience, for the studies retrieved directly from the three databases indicated above for the years 2018–2020, the focus was directly on the "title" and "abstract" sections to select studies specifcally related to our investigation. With this procedure, 46 primary studies were initially retrieved, and, after the analysis of the abstract contents, 19 papers were retained and added to the local database under assessment (Fig. [1\)](#page-332-0).

Data synthesis and presentation of results					
Data extraction	Items to collect/evaluate:				
strategy	Objective (Abstract)				
	Conclusions (abstract)				
	Keywords;				
	Country where the study was done				
	<i>Trichoderma</i> species involved in stress mitigation				
	Trichoderma isolates				
	Type of stress				
	Plant/crop used for the experiments				
	Genes involved in the interaction plant-Trichoderma				
	Variables/effects measures: increase of biomass (plant size, fresh and dry				
	weight); higher gene expression (plant or fungus); physiological parameters etc.				
	Mean and standard deviation or error of measures of effect				
	New ideas raised in the evaluated study ( <i>Discussion Section</i> )				
Data	Tables, graphic, images, description in text				
summarization					
strategy					
Publishing	Scientific journal with scope of agricultural sciences, plant biology, applied				
strategy	microbiology, and biotechnology				

**Table 1** (continued)

In the next step, we applied a series of inclusion/exclusion criteria established in the protocol, so that those studies presented in the form of proceedings' abstracts, theses, dissertations, reviews, book chapters, and open letters were removed; only the 71 research articles containing primary studies remained. For the three databases direct search, two papers were removed, leaving us with 17 studies for the next steps (Fig. [1\)](#page-332-0). With all these initially selected studies, the reading of the full text was performed for data extraction and qualitative/quantitative assessments. During this process, three articles from the P&P search and five from the three databases direct searches were further removed based on lack of key information required for our research analyses (i.e., they did not meet inclusion criteria), leaving us with a final number of  $68 + 12$  articles (Fig. [1\)](#page-332-0), in a total of 80 primary studies articles that composed the literature database used for the systematic part of this chapter (Table [2](#page-333-0)). Further validation and integration of the systematized knowledge collected were achieved by assessing related publications, through regular (classical) database search, according to specifc aspects of interest suggested by the upto-date literature obtained in the systematic review.

<span id="page-332-0"></span>

**Fig. 1** Flow diagram of the search strategy, selection of studies, and data management procedure on the role of the genus *Trichoderma* in generating plant tolerance to a variety of abiotic stresses

# **3 When, Where, and How** *Trichoderma* **Has Been Tested for Abiotic Stress Alleviation?**

# *3.1 Language and Timing of the Science on* **Trichoderma***-Plant-Abiotic Stress Interactions*

Considering the most recurring words in the titles of the 1046 initial studies retrieved and in the 80 fnally selected papers, and after taking the searching keywords "*Trichoderma*" and "abiotic stress" away from the analyses, the words "plant(s)," "*harzianum*," and "growth" (Fig. [2\)](#page-338-0) were highlighted. After selection of the studies according to the established criteria (see review methods), the words related to the

<span id="page-333-0"></span>

Table 2 Final database of articles included in the systematic review **Table 2** Final database of articles included in the systematic review



(continued)





main types of stresses investigated became highlighted. Other frequent title words found for the 1046 studies were "tolerance," "resistance," "induced," "response," "stresses," "gene," and "expression," thus referring to the interaction between *Trichoderma* and plants (Fig. [2a\)](#page-338-0). When observing the wording of the 80 finally selected primary studies, the following groups of terms acquired more relevance: (i) "growth," as the main response variable for plant studies of this nature; (ii) "*harzianum*" and "*asperellum*," related to the most common *Trichoderma* species used in the studies; (iii) "rice" and "maize", indicating the most tested plant species; (iv) "drought," "salt," and "salinity," as well as "cadmium" and "arsenic' (representing metal-polluting elements), which refer to the most studied stresses; and (v) "seed(ling)" as the main part of the plant for inoculation/assessments (Fig. [2b\)](#page-338-0). The word "gene" is relatively recurrent in both word clouds. These results, at a frst glance, point to the trend that the research specifcally dealing with abiotic stresses is focusing on those two *Trichoderma* species, three crops, and three types of stress, with a preferable form of inoculation.

From a temporal standpoint, research on *Trichoderma*, beyond their use as biocontrol agents against phytopathogens, began to grow exponentially from 2006 onward (Fig. [2\)](#page-338-0), likely due to, at least in part, an increased consciousness of the negative consequences of global warming and climate changes for sustainable agriculture. It is worth to highlight the years of 2014, followed by 2017, in which more studies were published. The number of articles on *Trichoderma* in general began to increase in the 2000s, roughly coinciding with the raise in the number of commercially available bioproducts (Waghunde et al. [2016\)](#page-364-0). Since 2014, there are already more than 250 registered bioproducts in the world that are based on *Trichoderma* species, either individually or in combinations (Woo et al. [2014](#page-364-0)), which correspond to around 60% of the world's biofungicide market. *Trichoderma harzianum* comprises ~83% of these products (Topolovec-Pintarić [2019\)](#page-364-0) and also corresponds to one of the most recurrent words in the recovered studies (Fig. [2b\)](#page-338-0). Bioproducts represent a small share of the plant-protection market, mainly due to their slow activity and dependence on environmental factors, which has been seen as a constraint to their effectiveness in the feld (Singh et al. 2018); further issues related to diffculties and costs of registration add to this context (Topolovec-Pintarić [2019\)](#page-364-0). However, the reported increase in their utilization likely refects the current demand for healthier foods, free from chemical residues (Gomiero [2018\)](#page-360-0). The use of *Trichoderma* as biofertilizers to improve plant growth has facilitated registration, thereby increasing its availability in the market (Topolovec-Pintarić [2019\)](#page-364-0). It is noteworthy that the potential of offering bioproducts at lower costs for smallholders to deal with their production necessities can assist with food security globally (Harman [2011b\)](#page-360-0).

<span id="page-338-0"></span>

**Fig. 2** Temporal distribution of scientifc publications involving "*Trichoderma*" and "abiotic stress." The word clouds were assembled with the most repeated words in the titles of the 1046 of the total search (**a**) and with the 80 articles systematically selected (**b**) (the size of the words indicating the frequency of appearance). The time curves represent the number of publications selected; the dotted-line curve (Y-axis on the left) corresponds to the 1046 initial studies retrieved and the solid-line curve (Y-axis on the right) to the fnal database of 80 articles

# *3.2 Geographic Distribution of Studies with* **Trichoderma** *and Abiotic Stresses in Plants*

To assess whether there was any geographical tendency for studies of this nature, the articles systematically retrieved were distributed as indicated on the map (Fig. [3](#page-339-0)), and the type of experiment performed was also registered. The 80 selected studies were performed in 19 countries, where 67.5% were from Asia, followed by <span id="page-339-0"></span>countries from Europe and the Americas (15 and 11.25% respectively), and from Africa (6.25%). The signifcant number of studies in Asia was due to India's outstanding contribution (30% of total articles), followed by China (16.25%). This is consistent with the fact that India contributes to  $\sim 90\%$  of Asian market of *Trichoderma*-based products (Woo et al. [2014;](#page-364-0) Singh et al. 2018). From the selected publications, 74.1% of the studies were performed in greenhouses and growth chamber (90% and 10%, respectively), 17.3% in fully controlled environments (in vitro), and 8.6% under feld conditions (Fig. 3).

India and China have their economies composed by agriculture as an important component (Foley et al. [2011\)](#page-360-0), combined with a very strong and consistent industrial development allied to high population counts. These circumstances tend to be associated with issues such as environmental degradation and pollution (Ballescá [2016;](#page-358-0) Chopra [2016\)](#page-359-0), especially by heavy metals (Sodango et al. [2018](#page-363-0)). Furthermore, human population growth, urbanization, and climate changes are further challenges to be faced, in order to cope with food production in an environmentally sustainable way (Foley et al. [2011](#page-360-0); Du et al. [2018](#page-359-0)). All these issues must be dealt properly to assure global food security (Godfray et al. [2010;](#page-360-0) He et al. [2013](#page-361-0)). It has been proposed that investments in agronomic research and development toward sustainable strategies and products (e.g., *Trichoderma*-based bioproducts) can not only help solving those challenges but also stimulate agricultural productivity on a long-term scale (Heisey and Fuglie [2018\)](#page-361-0).



**Fig. 3** Geographic distribution and types of experiments for the systematically selected primary studies of our search

# <span id="page-340-0"></span>*3.3* **Trichoderma** *Species, Their Origin, Targeted Plants, and Inoculation Methods*

A total of 175 *Trichoderma* isolates were associated with abiotic stresses in the selected studies, with 78.9% distributed in 16 species and the remaining not identifed to the species level (Fig. [4a\)](#page-341-0). As indicated by the word clouds (Fig. [2b](#page-338-0)), *T. harzianum* and *T. asperellum* were the most abundant species, with 75 and 21 isolates, respectively, within the 138 isolates that were identifed up to the species level. The other species all together occurred in a frequency of 30.4%: *T. longibrachiatum* with eight; *T. atroviride* with six; *T. afroharzianum* and *T. britannicum* with five isolates each; *T. virens* with four; *T. parareesei* with three; *T. asperelloides*, *T. hamatum*, and *T. reesei* with two isolates each; and *T. aggressivum*, *T. koningiopsis*, *T. simmonsii*, *T. saturnisporum*, and *T. viride* with one representative each (Fig. [4a](#page-341-0)). The data obtained on the sources of these isolates indicated that most came from collections of the study-affliated or collaborating institutions (38.3%) or from rhizospheric soil (28.6%, Fig. [4a\)](#page-341-0). Considering only isolates from collections' material, 55.2% were *T. harzianum*. When collection isolates were not taken into account, 72.2% of the isolates were from soil. Isolates from contaminated environments (mining tailings and contaminated soil) were specifcally tested against stresses caused by heavy metals. Only in four studies, *Trichoderma* species (*T. harzianum*, *T. asperellum*, and *T. atroviride*) were used as formulated bioproducts, which were then tested for their effects on plant responses to abiotic stresses (Fig. [4a\)](#page-341-0). In general, data suggest that the observed roles of *Trichoderma* in abiotic stress relief come from research primarily aimed at complementing the current knowledge on activities, applications, and bioproducts' development of particular isolates, most of them already known as biocontrol agents (e.g., Woo et al. [2014](#page-364-0); Waghunde et al. [2016](#page-364-0); Anam et al. [2019\)](#page-358-0). Since only a small proportion of different *Trichoderma* species/isolates have been studied as mitigators of abiotic stresses (Fig. [4\)](#page-341-0), there is still much exploration to be done, given the large diversity found in this genus worldwide (De Souza et al. [2006;](#page-359-0) Loguercio et al. [2009a;](#page-361-0) Kubicek et al. [2011](#page-361-0); Feitosa et al. [2019\)](#page-360-0).

The most frequent plant species found in the selected studies were maize (*Zea mays*, 12.8%), rice (*Oryza sativa*, 11.6%), tomato (*Solanum lycopersicum*, 10.5%), *Arabidopsis thaliana* (9.3%), and wheat (*Triticum aestivum*, 8.1%) (Fig. [4b](#page-341-0); also see Fig. [2](#page-338-0)). Among the methods used to inoculate *Trichoderma*, seed biopriming alone (i.e., soaking seeds with suspensions of fungal spores to allow seed germination before planting) was the most used (Fig. [4b\)](#page-341-0). *Trichoderma* spore suspensions directly applied into the soil (liquid or powder) or on the roots (by spraying) corresponded to 44.2% of the studies. Other inoculation methods, including mycelium discs for volatile compounds experiments and in vitro techniques, as well as inoculation of fowers and leaf tissues comprised the remaining 17.5% (Fig. [4b\)](#page-341-0). These three predominant inoculation methods correspond to those usually planned for and used in large-scale crop applications, mainly for the most studied plant species (Fig. [4b\)](#page-341-0), which combine ease of product manipulation and delivery with lower costs (Parnell et al. [2016](#page-363-0); Rocha et al. [2019\)](#page-363-0).

<span id="page-341-0"></span>

**Fig. 4** Distribution of *Trichoderma* species and sources, inoculation methods, and host plants. (**a**) The sources of *Trichoderma* isolates for the reported studies are shown in the X-axis; the taxonomic defnition found for the experimental isolates within the 80 articles is appearing in the center of the graph. All isolates in which their species were not defned are collectively represented by "*Trichoderma* spp." (**b**) Plant species used in the experiments as targets for the applied stresses and inoculated with the *Trichoderma* isolates; distribution of the modes of inoculation appear in the center of the graph. The total number of studies considered (86) exceeded the 80 systematically selected articles, as in some of them, there was more than a single type of study/experiment being reported

*Trichoderma* is one of the most abundant and widespread fungal genus in the world and has characteristics that justify the amount and depth of studies on them (e.g., reviews by Harman et al. [2004](#page-360-0); Vinale et al. [2008;](#page-364-0) Schuster and Schmoll [2010;](#page-363-0) López-Bucio et al. [2015](#page-362-0)). *Trichoderma* spp. can adapt to a diversity of environments, not only due to their ability to sporulate in response to a complex and intertwined variety of environmental factors (Loguercio et al. [2009b;](#page-361-0) Steyaert et al. [2010a](#page-363-0), [b,](#page-363-0) [c\)](#page-363-0) but also due to a phylogenetic and genome-printed high opportunism (Druzhinina et al. [2011\)](#page-359-0) that allow the occupation of a broad array of niches and environmental gradients (Mukherjee et al. [2013;](#page-362-0) Egidi et al. [2019](#page-360-0); Jiao and Lu [2020\)](#page-361-0). The production of a variety of hydrolytic enzymes (e.g., reviewed by Schuster and Schmoll [2010;](#page-363-0) Mukherjee et al. [2013;](#page-362-0) Waghunde et al. [2016\)](#page-364-0), a great ability to control cell-wall synthesis and repair in themselves and in their hosts (Gruber and Seidl-Seiboth [2012](#page-360-0); Kappel et al. [2020](#page-361-0)), and some tolerance of certain isolates to higher temperatures (>32 °C) during growth (Chang et al. [1997\)](#page-359-0) certainly contribute to this wide niche occupancy (including a great variety of plant hosts). Some species have an endophytic lifestyle, colonizing plants by penetrating root cells and remaining throughout the plant life cycle (Harman et al. [2004](#page-360-0), [2019;](#page-360-0) Contreras-Cornejo et al. [2018\)](#page-359-0). *Trichoderma harzianum* is the most used species in bioproducts and in experiments to control plant pathogens and the one most commonly found in soil environments (Vinale et al. [2008;](#page-364-0) Mukherjee et al. [2013;](#page-362-0) Woo et al. [2014;](#page-364-0) Waghunde et al.  $2016$ ), which explains why it is the species most frequently found in this review (Fig. [4a](#page-341-0)). Since *T. harzianum* is a species complex, with multiple cryptic species, i.e., a complex group of morphologically indistinguishable species (Chaverri et al. [2015](#page-359-0)), this is likely another reason for its higher frequency in the systematically retrieved studies dealing with abiotic stress relief in plants. *Trichoderma* spp. are predominantly saprophytic fungi in soil, litter, organic matter, and rhizospheric ecosystem of all climatic zones, and their diverse metabolic capacity allows them to colonize soils of different habitats (Vinale et al. [2008;](#page-364-0) Druzhinina et al. [2011;](#page-359-0) Mukherjee et al. [2013\)](#page-362-0). It is such a strong competitive nature of these fungal species that provide rapid rhizospheric establishment, root colonization (including interaction with arbuscular mycorrhizal fungi; Mehta and Sirari [2019\)](#page-362-0), pathogenic microfora control, and plant-growth promotion (Hidangmayum et al. [2018\)](#page-361-0). These characteristics, therefore, allow to explain their frequent interaction with the surface of plant roots, so that strategies of isolation (or inoculation) of these isolates tend to be often related to forest or agricultural soils and seed coating/biopriming (Topolovec-Pintarić [2019;](#page-364-0) Rocha et al. [2019](#page-363-0)) (Fig. [4\)](#page-341-0).

The experimental plants used for the interactive experiments with *Trichoderma* tend to be mainly crop species that are mostly recognized as displaying short life cycles, small sizes, easy propagation, and considerable economic importance, being well-established model plants for a great variety of research in plant biology and agricultural sciences (Fig. [4b](#page-341-0)). Moreover, the high frequency of inoculation methods involving seeds and/or soil (~3/4) suggests a natural overlap between basic/ applied research and technological development of methods/products for agricultural applications. The biopriming of seeds with *Trichoderma* spp. has been used to improve seedling vigor, which can be triggered by the release and/or production of enzymes and phytohormones involved in seed viability and germination rates and speed (Kumar et al. [2014](#page-361-0); Babychan and Simon [2017](#page-358-0)), as well as in resistance against pathogens (Mastouri et al. [2010](#page-362-0); Singh et al. 2019, [2020\)](#page-363-0). With *Trichoderma* inoculation in roots/soil, additional features occur such as alteration of soil microfora and increase of nutrients availability, due to degradation of many complex <span id="page-343-0"></span>substrates. Currently, the use of changing microbial communities of cultivated soils and improvement of the performance and vigor have been widely used in agricultural production (Harman and Uphoff [2019\)](#page-360-0).

## **4 Types of Abiotic Stresses in Plants Alleviated by** *Trichoderma*

From the 80 fnal articles selected, 105 abiotic stresses were identifed, which were classifed into 13 groups (Fig. 5). The highest proportion of the studied stresses were saline stress (36.2%), agreeing with the word clouds (Fig. [2](#page-338-0)); within this fraction, 92.7% corresponded to the effects of the salts as a single factor, with the remaining three studies (7.3%) assessing this factor in combination with high temperature and osmotic and alkaline stresses (one study each) (Fig. 5). Drought stress was the second most represented (27.6%), with water deficit being analyzed in combination with heat stress in one study (Fig. 5). Stresses caused by heavy metals comprised 21% of the studies retrieved. These 22 studies included 7 chemical elements and were distributed as follows: arsenic (six), cadmium (five), lead (four), copper (three), zinc (two), and chromium and nickel (one each) (Fig. 5). Taken together, these three types of abiotic stress comprised 83.8% of the experiments involving *Trichoderma* isolates and plants.



**Fig. 5** Types of abiotic stresses in plants alleviated by *Trichoderma*. Twelve different types of abiotic stresses (single or in combination) were found in the selected studies (left-side graph). The number of studies reporting stresses caused by heavy metals were discriminated by each metal (right-side graph). Thermal stress was further divided (proportionally) into high and low temperatures

Under the circumstances of climate change, salinity and drought can be viewed as the most relevant types of abiotic stress that can affect crop production (Munns and Gilliham [2015](#page-362-0)); moreover, they are interconnected not only due to their direct relationship with water availability (Nuccio et al. [2018](#page-362-0)) but also through their effects in the osmotic balance and regulation in plant cells (Mastouri et al. [2010](#page-362-0); Ikram et al. [2019;](#page-361-0) Poveda 2020). From this standpoint, our analysis indicated that ~2/3 of the current science on *Trichoderma*-mediated abiotic stress relief deals with the physiological and/or biochemical responses of plants toward osmoregulation and water use efficiency (Munns and Gilliham [2015](#page-362-0); Ikram et al. [2019](#page-361-0); Khoshmanzar et al. 2020), which are major issues expected to affect plant survival, growth, and productivity in a climate change context (Daryanto et al. [2016;](#page-359-0) Naumann et al. [2018;](#page-362-0) Khoshmanzar et al. 2020).

By disrupting osmotic equilibrium, saline stress alters membrane stability, increases the toxicity of ions within the plant cells, and affects photosynthetic rates (Khomari and Davari 2017; Meena et al. [2017;](#page-362-0) Mona et al. 2017; Ikram et al. [2019\)](#page-361-0). Due to the lower availability of water created by a higher osmotic pressure (an effect similar to that caused by drought), the plants tend to respond physiologically to these stresses as if they were in a process of acclimation (Farooq et al. [2009](#page-360-0); Filippou et al. [2013](#page-360-0)). The stress induces changes in membrane function, which tends to disrupt the ionic phase, so that cell toxicity results from accumulation of ions, which causes oxidative stress and biochemical imbalances (Begum et al. [2019\)](#page-359-0); depending on their intensity, duration, and speed, these changes can lead to either acclimation or apoptosis (Filippou et al. [2013;](#page-360-0) Yang and Guo [2018](#page-364-0)). Furthermore, plants under drought conditions suffer from water supply limitations both by the root system and from the transpiration losses (Tardieu et al. [2018\)](#page-364-0), although a decrease in transpiration rates is a major plant response to this stress (Farooq et al. [2009\)](#page-360-0). The consequent decrease in water potential interferes with the photosynthetic process, by affecting the stomatal opening/conductance, much as a result of responsivehormones synthesis, as well as of changes in the chlorophyll and carotenoid contents (Mona et al. 2017; Begum et al. [2019](#page-359-0)). In terms of cellular processes, these water-deficit stresses affect cell division, cell-wall dynamics, primary and secondary metabolism, regulation of hormones and synthesis, and accumulation of reactive oxygen species (ROS) (Bray [2007;](#page-359-0) Takahashi et al. [2018](#page-364-0); Tardieu et al. [2018](#page-364-0); Zhang et al. 2019a, b). Reduction in size of leaves and seeds, root growth suppression, and fowering/fruiting delays are additional stressing effects at morphological and physiological levels (Mastouri et al. 2012; Osakabe et al. [2014\)](#page-363-0). Since all of these effects ultimately lead to decrease in plant growth and productivity, *Trichoderma* treatments appear as a relevant option (Mona et al. 2017; Ikram et al. [2019;](#page-361-0) Zhang et al. 2019a; Poveda 2020) for the development of salt- and drought-tolerance to cope with those additional types of stresses (Farooq et al. [2009;](#page-360-0) Filippou et al. [2013](#page-360-0)).

The next most recurrent stress in the studies was caused by heavy metals (Fig. [5\)](#page-343-0). *Trichoderma* spp. applications have shown to be promising alternatives for amelioration of this stress, either alone or combined with salinity. Interestingly, such <span id="page-345-0"></span>conditions allow improved phytoremediation activities for plants in metal-polluted soils (Anam et al. [2019;](#page-358-0) Li et al. [2019](#page-361-0)). In general, the presence of these metals in soil can affect plants in a variety of forms, such as reducing seed germination, chlorophyll contents, photosynthesis, and ATP synthesis; altering water balance, nutrient absorption by roots, mitochondrial and chloroplast activities, cell signaling, and enzymatic activities; and increasing membrane lipid peroxidation, levels of ROS, etc. (Ghori et al. [2019](#page-360-0); Arif et al. [2019](#page-358-0)). Usually, all these disturbances can lead to a net effect of decreasing and/or halting plant growth and to necrosis of parts or the whole plant (Groppa et al. [2007](#page-360-0)). Soil, water, air, and trophic chain pollution is mainly caused by anthropic actions of industrial (power and heat, metallurgy, steelmaking, leather, paper, textile, electroplating, electronics, petrochemistry, waste and landflls, etc.), agricultural (chemical fertilizers and pesticides, sewage irrigation), mining (coal, crude oil, iron, and other metals), and urban life (He et al. [2013;](#page-361-0) Hu et al. [2014](#page-361-0); Etesami [2018\)](#page-360-0). For instance, due to the large and strong industrial, urban, and rural development of the last decades in densely populated regions, India and China have shown one of the highest levels of soils, water, and air contamination by heavy metals in the world (Hu et al. [2014](#page-361-0); Paul [2017](#page-363-0); Mukherjee et al. [2020\)](#page-362-0), especially in rural areas, which have been generating much concern about food security and human health (He et al. [2013;](#page-361-0) Huang et al. [2018](#page-361-0); Yang et al. [2018\)](#page-364-0). Hence, these circumstances also help explaining the highest proportion of studies found for these two countries (Fig. [3](#page-339-0)).

# **5 Parameters Evaluated in the Studies of** *Trichoderma***-Plant-Abiotic Stresses**

The most assessed variables in studies with plant-*Trichoderma*-abiotic stress interactions can be classifed as indirect or direct responses: in the former group, the fnal phenotypic effects (i.e., plants growth and development) are evaluated, whereas in the latter, biochemical/cellular pathways and compounds related to physiological and photosynthetic processes are gauged (Table [3](#page-346-0)). To act on recovery and/or amelioration of the adverse effects that the abiotic stresses cause in plants, *Trichoderma* spp. interfere in the physiology, biochemistry, and morphology of the host through the diverse genetic and metabolic arsenal available in this fungal genus. The quantifcation of relief effects of abiotic stresses in plants by *Trichoderma* has been studied by an array of response variables, which, in some cases, can link to possible mechanisms of action. These parameters are related to physiological, morphological, physical, and (bio)chemical aspects, which could be classifed into four main categories by conceptual affnity (Table [3](#page-346-0); Fig. [6\)](#page-348-0).

		Δ $("trat" - "ctrl")b$						
	No.	Min	Max					
Response variables	articles	$(\%)$	$(\%)$	References <sup>c</sup>				
1. Growth/development (173) <sup>d</sup>								
Grain yield	5	$-12.6$	1160.0	Becquer et al. 2018; Tripathi et al. 2017				
Number leaves	6	$-39.0$	77.7	Azarmi et al. 2011				
Leaf area	7	$-96.8$	993.0	Azarmi et al. 2011; Singh and Dwivedi 2018				
Shoot fresh wght	15	$-75.2$	744.1	Azarmi et al. 2011				
Root fresh wght	17	$-73.8$	374.4	Azarmi et al. 2011; Abd El-Baki et al. 2014				
Germination	18	$-8.3$	516.3	Montero-Barrientos et al. 2010; Nzioki and Mutisya 2016				
Root dry weight	24	$-74.7$	4457.7	Mastouri et al. 2012; Abd El-Baki et al. 2014				
Shoot dry weight	25	$-87.7$	416.7	Azarmi et al. 2011; Hashem et al. 2014				
Shoot length	27	$-28.6$	199.6	Abd El-Baki et al. 2014; Shukla et al. 2014				
Root length	29	$-20.7$	290.9	Mishra et al. 2016; Vieira et al. 2017				
2. Physiology/photosynthesis (93)								
Transpiration	3	$-17.8$	82.2	Vieira et al. 2017				
Intercell $CO_2$ <sup>*</sup>	3	3.8	$-24.8$	Vieira et al. 2017				
Chl fluoridation	7	$-46.9$	132.9	Azarmi et al. 2011; Rawat et al. 2012				
Net photosynth.	7	$-15.9$	412.5	Vieira et al. 2017				
Stomat. conduct.	8	$-59.6$	243.6	Azarmi et al. 2011; Shukla et al. 2012				
Relate H <sub>2</sub> O content	13	$-1.1$	170.0	Vieira et al. 2017; Shukla et al. 2014				
Photosynthetic pigments								
Chlorophyll $\alpha$	12	$-48.0$	123.5	Singh and Dwivedi 2018; Badar et al. 2015				
Chlorophyll b	12	$-23.5$	428.0	Singh and Dwivedi 2018; Hashem et al. 2014				
Total chlorophyll	18	$-25.0$	525.0	Jalali et al. 2017				
Total carotenoid	10	$-39.4$	122.9	Singh and Dwivedi 2018; Elkelish et al. 2020				
3. Stress-related activities (117)								
Transloc. factor	2	$-23.8$	300.0	Vargas et al. 2017				
Lipid peroxid.*	3	$-6.9$	$-58.1$	Dixit et al. 2011; Nongmaithem and Bhattacharya 2017				
Electrolytic leak*	5	2.4	$-58.5$	Poveda 2020				
Membrane stability index	11	$-57.4$	101.6	Tripathi et al. 2013; Hashem et al. 2014				
Malondialdehyde $(mda)*$	20	$-99.9$	$-137.3$	Abd El-Baki and Mostafa 2014; Kumar et al. 2016				

<span id="page-346-0"></span>**Table 3** Parameters used to study mechanisms possibly involved in the alleviation of abiotic stresses in plants by *Trichoderma*<sup>a</sup>

(continued)



#### **Table 3** (continued)

a The four categories were defned according to a conceptual affnity among their response variables  $b$ Differences (in %; control = 100%) between the values obtained for each variable, considering the treatments with application of *Trichoderma* ("trat") in relation to the treatments with only the abiotic stress(es)("ctrl"). The "Min" and the "Max" columns correspond to the lowest and highest D values (differences between "trat" and "ctrl") found for a given parameter in the set of articles containing it (see "No. articles" column). "\*" indicates those variables that describe damaging stress effects to the plants; *italicized* "min" and "max" values in the table are those in which *negative* D values indicate *positive*/*favorable* effects of *Trichoderma* to the plant host in the amelioration of the stress

c The references in this column belong to the fnal selected database of articles used in the systematic review treated in this chapter (see Table [2](#page-333-0))

d The numbers within parentheses for each of the four main categories indicate the total number of *experiments* (i.e., *variables per article*) and correspond to the sum of values for the "No. articles" column within each category; this column, therefore, indicates the amount of articles systematically selected to compose our fnal database, in which a given response variable (indicated on the left) was found. Hence, a single article can be counted more than once, in case it has reported various response variables at the same time

[**note**: the underlined citations refer to studies also related to molecular analyses discussed for Fig. [6,](#page-348-0) Sect. [6](#page-351-0)]

<span id="page-348-0"></span>

**Fig. 6** Possible mechanisms involved in the interaction between *Trichoderma* and plants in response to abiotic stresses. The information retrieved on genetic products in this fgure refers to 13 articles in which studies of gene expression were found. Different colors represent four main groups of activities/functions identifed for the plant genes involved in stress mitigation: blue, transcription factors; pink, metabolic pathways; green, signal transduction; orange, structural proteins and protective compounds. The symbols located on the left inside the balloons represent gene expression modulation in relation to treatment *Trichoderma*-plant-stress vs plant-stress only: **△** green, upregulation of gene expression; ▼red, downregulation in gene expression; '=' expression level without signifcant difference; '\*' transgenic plants expressing *Trichoderma* genes

## *5.1 Infuence of* **Trichoderma** *on Plant Growth and Development*

Out of the 485 experiments found in the 80 systematically selected articles whose data was collected (Table [3](#page-346-0)), the most frequent group of variables were growth and development parameters (35.7%), mainly root and shoot biomasses (fresh and dry length and weight), which are measures of plant vitality as evidence of their recovery from stresses. Taking the results of *Trichoderma* application into account, the overall positive effects on plant growth and development could be observed, with increases in relation to control treatments varying from 77.7% improvement in the number of leaves to 4457.7% raise in root dry weight (Table [3](#page-346-0)).

Another relevant group of parameters evaluated in addressing *Trichoderma* effects on plant stresses was more specifcally related to plant physiology, mostly focusing on photosynthesis and represented 19.2% of the variables evaluated in this <span id="page-349-0"></span>study (group # 2, Table [3\)](#page-346-0). Photosynthetic efficiency reflects growth, development, and biomass production, and it was assessed in the studies on saline and drought stresses (56% and 25.8%, respectively).

All *Trichoderma* species are mycoparasites, having thus developed a diversifed and unusual biosynthetic machinery, including metabolites acting both on antagonism and survival (Druzhinina et al. [2011](#page-359-0); Kubicek et al. [2011](#page-361-0)). As a consequence of such a metabolic variety, members of the *Trichoderma* genus can reduce the concentration of toxic substances in the soil, solubilize phosphates and micronutrients, synthesize siderophores, increase nitrogen fxation, and produce plant hormones (Mukherjee et al. [2013](#page-362-0); Hidangmayum et al. [2018;](#page-361-0) Lombardi et al. [2018\)](#page-362-0). Rhizospheric and endophytic *Trichoderma* have been reported to help host plants to adapt to abiotic stress conditions and promote their growth also through biosynthetic pathways of plant hormones (Yan et al. [2019](#page-364-0)), as well as through a variety of secondary metabolites synthesized, which aid in the solubilization of mineral compounds that increase availability of nutrients and so nutritional uptake and root growth (Rajput et al. [2019\)](#page-363-0).

#### *5.2 Alleviation of Oxidative Stresses by* **Trichoderma**

The other two categories of variables addressed in experiments with *Trichoderma* were represented in our dataset as follows: 24.1% for variables related to enzyme activities and cellular functions directly affected by the stresses and 21% for levels and rates of compounds synthesized as responses to the stresses (Table [3\)](#page-346-0). Within the group of variables gauging activities directly related to stress responses (group # 3, Table [3\)](#page-346-0), almost the totality of the retrieved studies deals with either antioxidant activities (65%) or membrane/lipid effects (33.3%). Within the antioxidant enzyme activities related to oxidative stress response/regulation, superoxide dismutase (SOD), catalase (CAT), peroxidase (POD), ascorbate peroxidase (APX), and glutathione reductase (GR) and peroxidase (GPX) were the most prominent found (Table [3\)](#page-346-0). In terms of membrane-related studies, the most prevalent specifc activities were lipid peroxidation (with special interest in the use of malondialdehyde), which made up 51.2% of the analyses in this subgroup (Table [3](#page-346-0)). The composition and stability of the plasma membrane, which was used to test stress damage levels to plant cells, made up 28.2% of the studies, and the remaining four assessments dealt with electrolytic leak and translocation factors (two studies each).

The parameters relating to the content of certain substances synthesized by plants (Table [3,](#page-346-0) group # 4) were grouped as such because they are indicators of, or relate to stress states, or yet belong in metabolisms or processes that assist in physiological recovery from the action of abiotic stresses. As a result from the higher concentration of studies in saline/drought stresses (Fig. [5](#page-343-0)), the highest frequency of studies in this category  $#4$  (Table [3\)](#page-346-0) were related to proline levels  $(22.5\%)$ , which corresponded 62.5% of the studies with saline stress and 20.8% of the studies on drought tolerance (Fig. [5](#page-343-0)). Among the compounds identifed in the systematically retrieved studies, there was a focus on secondary metabolites related to stress responses, such as ROS (17.6%), phenolics (10.7%), and phytohormones (7.8%). Ions and heavy metal contents also appeared well represented (9.8 and 8.8%, respectively), as some of the research was focused on this type of abiotic stress (Fig. [5\)](#page-343-0).

As much as biotic factors (e.g., fungal diseases, herbivory, etc.), stressing factors of abiotic nature also cause the overproduction of reactive oxygen species (ROS) in plants, which lead to metabolic toxicity, damage to the membranes, inhibition of photosynthetic apparatus and steps, and changes in hormonal levels, among others (Selvakumar et al. [2012\)](#page-363-0). The major ROS species formed (superoxide, O2–, hydroxyl, OH–, and hydrogen peroxide,  $H_2O_2$ ) react chemically with virtually all metabolites of the plants, including proteins, lipids, and nucleic acids (Nath et al. [2013;](#page-362-0) Harman et al. [2019](#page-360-0)). As in low concentrations, ROS act as signaling molecules, with specifc signatures of their steady-state levels, depending on the type of cell of the plant (Choudhury et al. [2017](#page-359-0)). The regulation of ROS levels is very precise in plant cells, being related to a fne-tuned balance between their perception and detoxifcation, and the redox state of the cell, with a particular relevance for chloroplasts in this metabolism (Farooq et al. [2009;](#page-360-0) Meyer et al. [2020](#page-362-0)). In this context, antioxidant compounds and enzymes act coordinately on the fne modulation of these mechanisms (Mittler [2002](#page-362-0)). *Trichoderma* spp. have shown to also depend on ROS signaling for a variety of their own cellular processes and responses to environmental cues (Cruz-Magalhães et al. [2019\)](#page-359-0), thereby having a clear modulatory interference in plants, when interacting with them.

The majority of the studies on abiotic stresses involving plants and *Trichoderma* have shown to focus on drought/salinity (Fig. [5](#page-343-0)). Knowledge generated in this aspect indicates that major protection of plant cells against these stresses occurs by the promotion of osmolites' synthesis or accumulation, which increases both the water absorption and retention capacity of the cells and the activities of enzymatic and non-enzymatic antioxidants (Hameed et al. [2014;](#page-360-0) Waghunde et al. [2016;](#page-364-0) Pachauri et al. [2019\)](#page-363-0). A recurrent mechanism of action found in the studies with *Trichoderma* spp. was the production and accumulation of proline, an amino acid that acts as cellular osmoprotector (Harman et al. [2019\)](#page-360-0), mostly in three ways: (i) by protecting intracellular macromolecules against reactive oxygen species (ROS) attack, (ii) by serving as a source of carbon and nitrogen for the cell as a result of its oxidative metabolism, and (iii), as discussed above, by acting as a modulator of the osmotic balance of the cell (Christgen and Becker [2019](#page-359-0)). Some underlying mechanisms of exogenous phytohormones production by *Trichoderma*, such as similar forms of abscisic acid (ABA), can also protect the plant from oxidative damage (Bano et al. [2012;](#page-359-0) Khan et al. [2015\)](#page-361-0), as well as modulate other stress-response metabolisms. Members of the *Trichoderma* genus are outstanding producers of secondary metabolites with functions already known (Table [3](#page-346-0), Fig. [6](#page-348-0)), although many of such compounds are still unknown. There are more than 2000 natural products, such as peptaibols, non-ribosomal peptides, polypeptide, terpenes, and steroids produced by *Trichoderma* ssp., which play important roles in their interaction with plants (Mukherjee et al. [2012](#page-362-0)). As mentioned above, *Trichoderma* species throughout evolution have developed the ability to produce a large amount of extracellular <span id="page-351-0"></span>enzymes and secondary metabolites (Mukherjee et al. [2012](#page-362-0); Kubicek et al. [2019\)](#page-361-0), as well as very effective systems of resistance and repair of cellular and molecular damages (Duran et al. [2010](#page-359-0); Ghorbanpour et al. 2018), a capability that can extend the protection to their hosts (Harman et al. [2019\)](#page-360-0).

# **6 Plant Genes Infuenced by** *Trichoderma* **in Response to Abiotic Stresses**

#### *6.1 Outline of the Studies*

The analytical review of this chapter allowed us to provide a glance on the current status of research on genes and their products that can be related to the benefcial fungus-host interaction in response to abiotic stresses. Out of the systematically assembled database, about 26.3% of its articles were identifed as comprising studies of this nature, dealing with in vivo biochemical and molecular methods; all the data we found on gene expression patterns related to *Trichoderma*-plant-stress interaction were related to plant genes (Table [3;](#page-346-0) Fig. [6](#page-348-0)), and all of them were previously known to be involved in plant stress responses and in the transport of macro and micronutrients. The largest amounts of these studies were on drought (33.3%) and saline stresses (28.6%); of the remainder, 9.5% by heavy metals evaluated stress by high temperature, low temperature, nutritional defcit, and waterlogging with 4.8% each, and 9.5% gauged combined stresses (drought + high temperature and salinity + osmotic stress). The species of *Trichoderma* used in these molecular genetics' studies were *T. harzianum* (seven), *T. parareesei* (six) *T. britannicum* (three), *T. asperelloides*, and *T. longibrachiatum* (two each); *T. afroharzianum*, *T. asperellum*, *T. hamatum*, *T. virens*, and *T. reesei* (one each); and a study in which there was no identifcation at the species level. The plant species investigated in these studies were *Arabidopsis thaliana*, *Brassica napus*, *Solanum lycopersicum*, *Nicotiana tabacum*, *Cicer arietinum*, *Oryza sativa*, *Populus bolleana*, *Triticum aestivum*, *Theobroma cacao*, *Zea mays*, and *Vitis vinifera*. The studies on stressresponsive genetic expression reported the majority of the genes (77.2%) as being upregulated as the result of stress, both in the above- and belowground parts of the plants (Fig. [6](#page-348-0)). It is important to mention that 23.8% of these studies were performed with transgenic plants, in which overexpression of *Trichoderma*-derived transgenes (supposedly induced in the fungus as a response to some abiotic stress) were investigated on their effects in modulating plant gene expression in response to the abiotic stresses (e.g., Meena and Swapnil [2019](#page-362-0); Mota et al. [2019](#page-362-0)).

The studies selected concerning the molecular aspects of the stress alleviation mechanisms of plants by *Trichoderma* were sufficiently consistent with the physiological characteristics of the assessed plants under abiotic stress conditions (Table [3](#page-346-0)). Essentially, there were four major groups of activities identifed for the plant genes involved in stress mitigation: (i) transcription factors (TFs) directly <span id="page-352-0"></span>involved in stress-response gene expression modulation, (ii) genes responsive to metabolism and oxidative stresses, (iii) signal-transduction pathways, and (iv) synthesis of structural/protective proteins and compounds (Table [4;](#page-353-0) Fig. [6](#page-348-0)).

## *6.2 Transcription Factors*

With regard to the genes encoding TFs, studies related to their expression altered in response to the *Trichoderma*-plant-stress interaction showed a tendency of them to refer mostly to hormonal and pathogen-related signaling pathways and dehydrationresponsive genes; they were *nac1*/*nac6* (Ghorbanpour et al. 2018; Singh et al. 2019); *dreb* (dehydration-responsive element binding proteins, Brotman et al. 2013; Pandey et al. 2016; Rubio et al. 2017; Singh et al. 2020b); *zfp* and *p13* (zinc-fnger domain factors related to transcriptional repression, Bae et al. 2009); *erf* (ethyleneresponsive factor, Roatti et al. 2013; Elkelish et al. 2020; Poveda 2020); *npr1, are*, *areb2*, *arf* (TFs related to salicylic acid, ABA, and auxin signaling pathways, Rubio et al. 2017; Singh et al. 2019; Elkelish et al. 2020); *iaa13*, *myb15, myb51, wrky33* (TFs related to secondary metabolites synthesis, auxin, jasmonate/salicylate signaling pathways, Brotman et al. 2013); *iro2* (iron-regulated transcription factor, Singh et al. 2019). Interestingly, recent full-genome comparisons have shown the class of transcription factors genes as one of the most abundant in the core genome of *Trichoderma* (Kubicek et al. [2019](#page-361-0)).

#### *6.3 Plant Genes Responsive to Oxidative Stresses*

Another relevant biological function identifed for the studied plant genes was associated with pathways of direct response to stresses and to metabolic changes resulting from the stress effects (Fig. [6](#page-348-0)). The genes within this category included *p5cs* (encoding pyrrolin-5-carboxylate synthetase enzyme, which catalyzes a ratelimiting step reaction of proline synthesis, Ghorbanpour et al. 2018); methyltransferase and alcohol dehydrogenase (Brotman et al. 2013; Ma et al. 2020; Elkelish et al. 2020); *chit3* and *pr-2* (acid endochitinase and pathogenesis-related type 2 protein, i.e., beta-1,3-glucanase) (Roatti et al. 2013); *acc deaminase* and *oxidase* (Zhang et al. 2016a; Zhang et al. 2019a; Elkelish et al. 2020; Poveda 2020;); small subunit of Rubisco complex (catalyzes the limiting step of  $CO<sub>2</sub>$  fixation), cellulose synthase, lipoxygenase (oxylipin synthesis), phosphatase involved in the last step of trehalose synthesis, invertase involved sucrose hydrolysis, and nitrate/ferredoxinnitrite reductase (Bae et al. 2009; Roatti et al. 2013; Singh et al. 2019); and genes/ enzymes involved in ROS metabolism, such as *nadph* oxidase 1, dehydroascorbate reductases, *gst* (glutathione transferase), and all those genes encoding the antioxi-dant enzymes indicated in Table [3](#page-346-0) (Montero-Barrientos et al. 2010; Dixit et al.

Abbreviation	Gene function			
are	ABA-responsive element binding protein 2			
arf	Auxin response factor like			
dreb	Dehydration responsive element bindings protein			
erf	Ethylene-Responsive transcription factor			
iaa13	Auxin-responsive protein IAA13-like			
iro2	Protein iron-related transcription factor 2			
nac	NAC domain-containing protein			
nprl	Regulatory protein NPR1			
myb	MyB-Domain Protein			
wrky33	Member of the 'WRKY' family of transcription factors			
$zfp$ , $p13$	Protein with 'zinc finger' domain			
accd	1-AminoCyclopropane-1-Carboxylate deaminase			
acco/aco	1-aminocyclopropane-1-carboxylate oxidase 1			
acs	1-aminocyclopropane-1-carboxylic acid synthase			
adh	Alcohol Dehydrogenase			
aldh	Delta-1-pirrolina-5-carboxilato desidrogenase			
aoc	Cyclam se of allene oxide			
apx	Ascorbate peroxidase enzyme			
cat	Catalase enzyme			
cesa3	Putative protein with cellulose synthase activity			
chit <sub>3</sub>	Acid Endochitinase 3			
dhar	Enzyme Desidroascorbate Reductase			
gp x	Enzyme Glutathione Peroxidase			
gr	Enzyme Glutathione Reductase			
gst	Glutathione Transferase enzyme			
iaglu	Indole-3-acetate beta-glucosyltransferase			
lerboh1	NADPH oxidase 1			
$\iota$	Lipoxygenase enzyme			
mdhar	Enzyme Monodesidroascorbato Reductase			
nced3	9-cis-epoxycarotenoid dioxygenase			
ni	Putative alkaline/neutral lnvertase			
nia1	Nitrate reductase [NADH] 1-like			
nir I	Ferredoxin-nitrite reductase			
nr	Nitrate reductase			
$p5cs$ s	Delta 1-Pyrrolin-5-Carboxylato Synthetase			
pal	Phenylalanine ammonia-lyase activity			
pod	Peroxidase			
$pr-2$	Pathogenesis Related prot. no.2 (beta-1, 3-glucanase)			
rbcs	Small subunit of rubisco complex			
same	S-adenosyl-L-methionine-Dependent Methyltransferase			
sod	Enzyme Superoxide Dismutase			
tpp	Trehalose-6-phosphate phosphatase			
wards	Alanine aminotransferase			
cbl1	Calcineurin B-Like protein 1			

<span id="page-353-0"></span>**Table 4** Identifcation of plant genes from the systematically retrieved studies Different colors represent the categories indicated in Fig. [6.](#page-348-0) Blue, transcription factors; pink, metabolic pathways; green, signal transduction; orange, structural proteins and protective compounds

(continued)

<span id="page-354-0"></span>

Different colors represent the categories indicated in Figure 6. blue: transcription factors; pink: metabolic pathways; green: signal transduction; orange: structural proteins and protective compounds.

2011; Mastouri et al. 2012; Brotman et al. 2013; Rubio et al. 2017; Tripathi et al. 2017; Zhang et al. 2019a, b; Elkelish et al. 2020; Singh et al. [2020](#page-363-0)).

# *6.4 Signal Transduction Pathways*

The third group of genes identifed as having their expression altered as a function of abiotic stress effects was related to signaling proteins involved in stress-response physiology of plants (Table [4;](#page-353-0) Fig. [6\)](#page-348-0). This group comprises the following <span id="page-355-0"></span>genes/proteins: *sos1* (signal protein of the *salt overly sensitive* pathway, Montero-Barrientos et al. 2010; Rubio et al. 2017; Zhang et al. 2019a); *hk*, *rpk*, *mapk*3, and 4, *stk* (histidine, receptor protein, MAP, and serine/threonine kinases), *sen1* (senescence associated), *pp2c* (phosphatase protein 2C, possibly related to ABA pathway), and *sot* (sorbitol transporter) (Bae et al. 2009); *ein2* (ethylene-insensitive protein, central to this hormone signaling pathway, Rubio et al. 2017); *pyl4* (abscisic acid receptor – required for ABA-mediated responses, Poveda 2020); *cbl1* (*calcineurin B-like 1* protein, sensor of calcium levels, interacting/regulating a family of kinases located in endomembranes) and *ugt*74e2 (UDP-glycosyltransferase 74E2, related to signaling of drought stress and auxin homeostase, Brotman et al. 2013); *kel1* (encodes a protein with 5 repeated Kelch-like domains, characteristic of gene families involved in cell morphology and protein-protein interactions, Hermosa et al. 2011); and *tgw6* (Traffcking protein particle complex subunit, Zhang et al. 2019a).

# *6.5 Genes Involved in Transport and Protection Against Abiotic Stresses*

Finally, the last group of stress-responsive plant genes are those encoding proteins with either a directly protective activity to ameliorate the effects of the stressing agent or a transporting activity for molecules and substances used for this protection (Table [4](#page-353-0); Fig. [6\)](#page-348-0). In the former subgroup, we found *tas14* (dehydratorine of group 2 late embryogenesis abundant proteins, Ghorbanpour et al. 2018) and *dhn* (dehydrin, cellular protection against dehydration, also a LEA protein, Pandey et al. 2016; Singh et al. 2020b); *hsp70*, *-4*, -*19* -*90* (heat shock chaperones, Monteiro-Barrientos et al. 2010; Roatti et al. 2013); and *osm1* (osmotic stress-sensitive mutant, belonging in the superfamily of SNARE proteins involved in vesicle/membrane fusion, Roatti et al. 2013). In the second subgroup of genes/proteins with transporting activity, there were *Aqgp*, *aqu*, *tip*, *p31*, *pip1* (aquaporin-type transmembrane proteins, Bae et al. 2009; Pandey et al. 2016; Vieira et al. 2017; Elkelish et al. 2020; Singh et al. 2020b); *abc* and *pr-5* (ATP-binding transporters) and pathogenesis-related type 5, i.e., osmotin-like membrane located protein (Bae et al. 2009); *aap6* (amino acid permease 6, transmembrane transporters, Brotman et al. 2013); and *Ysl15*, *irt1*, *nrt*, *nramp*, *sut2*, *pht*/*pt*, *amt* (macro and micronutrient transporters, Singh et al. 2019).

The assessment of expression modulation of genes investigated in the *Trichoderma*-plant-abiotic stress interaction suggest an interplay of a variety of cellular and physiological mechanisms, many with a cross talk among signaling and metabolic pathways responsive to both biotic and abiotic stresses (Choudhury et al. [2017;](#page-359-0) Mendoza-Mendoza et al. [2018](#page-362-0); Meyer et al. [2020](#page-362-0)). Plants have to deal simultaneously with multiple environmental stress-related cues, thus displaying a complex integration of stimuli and defense signals. Prioritizing certain physiological <span id="page-356-0"></span>responses is a fne-tuned regulation resulting from plant-microbe interactions, whose understanding will be advantageous for crop improvements (Schenk et al. [2012\)](#page-363-0). Further studies supported by the multi-omics, high-throughput, and comparative genomics approaches can unravel structural and functional aspects of these complex regulatory networks with unprecedent detail (Zeilinger et al. [2016](#page-364-0); Meena et al. [2017;](#page-362-0) Kubicek et al. [2019;](#page-361-0) Arif et al. [2019](#page-358-0)), thereby providing additional opportunities for biotechnological development of *Trichoderma*-based bioproducts directed toward mitigation of plant stresses caused by abiotic factors (Waghunde et al. 2017; Szczałba et al. [2019](#page-363-0); Topolovec-Pintarić [2019\)](#page-364-0).

#### **7 Conclusions and Perspectives**

Environmental degradation imperils quality of life on Earth, and bioeconomy is a recent view that may properly handle the threatening circumstances. Bioeconomy has been developed on the basis of three visions – bio-ecology, bio-resources, and bio-technology (Bugge et al. [2016\)](#page-359-0). In this regard, a vast array of studies on *Trichoderma* spp. have been widely reported in the literature, mostly due to their effciency as biological control agents of plant pathogens, direct plant growth promotion, and the synthesis of a diverse of compounds with industrial applications (Vinale et al. [2008;](#page-364-0) Schuster and Schmoll [2010;](#page-363-0) Mukherjee et al. [2013](#page-362-0)). Nevertheless, from the beginning of this century, researchers have been pointing out that this fungal genus is even more multifaceted and so with an increasing potential for a wider diversity of applications akin to the bioeconomy view.

In this chapter, we systematically reviewed and discussed the use of *Trichoderma* to mitigate the negative effects of abiotic stresses on plants and discussed the consequences and potential applications of these fndings, including areas of knowledge with strengths and gaps in this theme. An up-to-date sampling of articles containing primary studies reported in journals relevant to the areas of biological control, mycology, bioprospection, biotechnology development, and bioproducts were gathered, with their data being collected and assessed in details in this chapter. With specifc tools, it was possible to prioritize the retrieval of more highly cited publications, which helped us to work with an amount of representative research of quality in this feld (Figs. [1](#page-332-0) and [2\)](#page-338-0). Our survey was able to retrieve interesting information on the current status of research with *Trichoderma*, their interaction with plants, and the mitigation of abiotic stresses (Figs. [3](#page-339-0), [4](#page-341-0), [5](#page-343-0) and [6\)](#page-348-0).

*Trichoderma* of various species are commonly used as biocontrol agents and/or growth promoters, making up about 3/5 of the biofungicide market in the world (Topolovec-Pintarić [2019](#page-364-0)); since a multifunctional characteristic can add value to bioproducts, *Trichoderma* isolates with additional phenotypes of abiotic stressrelief for plants (Zhang et al. 2016a; Anam et al. [2019;](#page-358-0) Szczałba et al. [2019](#page-363-0); Poveda 2020) can provide a very advantageous benefts/costs relationship for environmentally sustainable food production strategies (Harman [2011a\)](#page-360-0). In a region with various environmental degradation issues to solve (Chopra [2016\)](#page-359-0), India is an example

of a country taking robust steps in this direction, with a signifcant contribution not only on *Trichoderma* spp. science but also on their bioproducts' market (Woo et al. [2014\)](#page-364-0). Despite having more than 300 species already described in this genus (Kubicek et al. [2019\)](#page-361-0), and also a great fraction of functional isolates not yet characterized up to species level, more than 3/4 of the studies involving *Trichoderma*plant-abiotic stress interactions have appeared to be restricted to 16 main species, with a highlight for *T. harzianum* and *T. asperellum*. The two main sources of isolates for these studies (~1/3 each) are institutional collections and rhizospheric soils. Therefore, there is yet plenty of opportunity for bioprospection and basic studies, not only to unravel novel *Trichoderma* isolates/species bearing abiotic stress-relief effects on plants but also to further understand the underpinning mechanisms of this desirable phenotype.

A tendency was found for the studies to focus on model plants with agronomic/ economic relevance, most with short reproductive cycles. Moreover, the competitive ability of *Trichoderma* species that allows rapid rhizospheric establishment and roots colonization likely explains the preference for experimental inoculations based on soil application and seed coating and biopriming; the ease of later feld applications for bioproducts developed in this manner adds to this circumstance. With regard to the abiotic stresses studied in the context of plant-*Trichoderma* interactions, the focus has been essentially on those caused by excess of salt, drought, and heavy metals, which can be considered as coherent with the major environmental issues affecting the security and sustainability of food production worldwide. As a consequence, the parameters assessed are all directly and indirectly related to shoot and root weight and length, as well as major physiological processes, such as photosynthesis, general cellular redox state and oxidative-stress control/response, and protective compounds synthesis. Not unexpectedly, the molecular and genetic mechanisms studied in this regard have been strictly related with those response variables and could be conceptually classifed as transcription factors, metabolic/ oxidative stress and signaling pathways, and direct stress-protective molecules. Metanalytical approaches are currently underway to advance the dissection of current information on the *Trichoderma* effects in the improvement of plant growth and development under abiotic stresses. Taking all this information together, and assessing knowledge-integration studies and reviews, it became evident the astonishing complexity of regulatory mechanisms and networks already unveiled in the *Trichoderma*-plant interaction, as well as the universe yet to be researched in this feld.

We reviewed here the state-of-the-art of knowledge on the use of *Trichoderma* spp. in aiding plants to cope with a variety of stresses caused by climatic and edaphic abiotic factors; despite some trends and gaps observed in the pertinent investigated literature, the great potential of this fungal genus for developing alternative applications of biotechnological interest (agriculture, industry, environment, and health) is clear. Augmentation of salinity and pollution by an array of xenobiotics (Rosegrant et al. [2009;](#page-363-0) Munns and Gilliham [2015](#page-362-0)), as well as global warming effects such as high temperatures, alterations in rainfall cycles, and longer droughts (Godfray et al. [2010](#page-360-0); Foley et al. [2011](#page-360-0)), are relevant examples of these

<span id="page-358-0"></span>environmental impacts. More than 4/5 of global ecosystems functioning and processes that underpin support services for people are already affected by climate change (Ogar et al. [2020](#page-362-0)), with a signifcant role for abiotic factors. The current issues on food production and security will require robust and coordinated actions on scientifc and political arenas to bring forth environmentally sustainable solutions for the global economy, hugely impacted by the COVID-19's pandemic. Those solutions depend upon consistent reductions in both our carbon footprint on the planet (Stern [2016](#page-363-0)) and in the biodiversity losses, which interdependently affect ecosystems and economy (Dasgupta [2008;](#page-359-0) Trisos et al. [2020](#page-364-0)). The large spectrum of compounds and bioproducts that can be extracted from and formulated on the basis of species/isolates of the *Trichoderma* genus can certainly contribute to broaden the strategies and options for agricultural production with environmental sustainability and safety. Considering current unresolved issues related to the bioproduct registration system and the scope of its applicability, e.g. (Berg et al. [2013](#page-359-0); Chojnacka [2015](#page-359-0)), it may be considered advantageous for a biotechnological product to bear multiple simultaneous functions, a possibility that is clearly present in *Trichoderma* (Vinale et al. [2008](#page-364-0); Schuster and Schmoll [2010](#page-363-0); Mukherjee et al. [2013](#page-362-0); Hidangmayum et al. [2018](#page-361-0)). The various biological activities of *Trichoderma* with benefcial effects for their host plants have a high potential for adding economical and sustainability values to existing and yet to be developed bioproducts and derivatives.

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<sup>1</sup>Please, note that the references included in the systematic review are listed in Table [2](#page-333-0) only, regardless if they are cited in the text or not.

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# *Trichoderma* **Genes for Abiotic Stress Tolerance in Plants**



**Nurhidayu Al-Saari, Nur Sabrina Ahmad Azmi, and Nurul Hidayah Samsulrizal**

#### **Contents**



## **1 Introduction**

The food demand is expected to increase globally by 2050 due to the increase of the worldwide population from 7.7 billion to 9.7 billion in 2050. However, there are several limiting factors that affect crop yield production globally such as climate change, the occurrence of pests and diseases, limited soil availability, and many more. Agricultural production is heavily dependent on rainfall frequency, temperature, atmospheric carbon dioxide content, and other devastating incidents such as typhoons, drought, heavy metal contamination, fooding, and other extreme events. These abiotic stresses not only cause a great reduction in producing sustainable crop yields but also infuence the distribution and behavioral patterns of biotic stresses.

N. Al-Saari

N. S. A. Azmi (⊠) · N. H. Samsulrizal

Department of Plant Science, Kulliyyah of Science, International Islamic University Malaysia, Pahang, Malaysia e-mail: [sabrinaazmi@iium.edu.my](mailto:sabrinaazmi@iium.edu.my)

International Institute for Halal Research and Training, International Islamic University Malaysia, Kuala Lumpur, Malaysia

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<span id="page-366-0"></span>Therefore, to be able to supply enough food production, we need to come up with a sustainable and eco-friendly solution that can aid in plant performance under current environmental patterns.

Species from the genus *Trichoderma* are ubiquitous soil fungi that are recognized for their role as a biocontrol agent against plant pathogens. Besides that, *Trichoderma* is well known for their roles in improving plant growth and development. The accessibility of *Trichoderma* spp. genomes provides us with insights into the identifcation and characterization of useful *Trichoderma* genes in alleviating abiotic stress. Briefy, this chapter will describe the current knowledge of the *Trichoderma* gene's ability in mitigating abiotic stress in plants.

#### **2 Heat Shock Proteins**

Many biotic/abiotic stresses including extreme temperatures trigger changes in transcription and translation machinery of all organisms to activate the synthesis of protein groups called "heat shock proteins" (HSPs), "stress-induced proteins," or "stress proteins" (Lindquist and Craig [1988](#page-371-0)). HSPs that act as molecular chaperones are also crucial in protein folding homeostasis, preventing stress-induced aggregation of partially denatured proteins, and later assist them to restore their native three-dimensional conformations when conducive environments are reinstated (Parsell and Lindquist [1993;](#page-372-0) Sitia and Braakman [2003](#page-372-0); Huttner and Strasser [2012\)](#page-371-0). Due to their ability to aggregate upon heat-induced denaturation and overexpression, many chaperons are often called HSPs (Ansari and Mande [2018](#page-370-0)). HSPs are categorized based on their molecular weights, which vary from 10 to more than 100 kDa in molecular size and are found ubiquitously in different cellular compartments. There are currently fve main HSP families in animals and plants, namely, HSP100, HSP90, HSP70, HSP60, and small HSP (sHSPs) (Sarkar et al., [2009;](#page-372-0) Waters [2013\)](#page-372-0).

Previous research has shown that HSPs can impart thermotolerance in a variety of species (Sung and Guy [2003;](#page-372-0) Montero-Barrientos et al. [2007](#page-371-0)), and their synthesis has been extensively studied in yeasts (Sanchez and Lindquist [1990\)](#page-372-0), flamentous fungi (Stephanou and Demopoulos [1986;](#page-372-0) Rezaie et al. [2000](#page-372-0)), plants (Vierling [1991;](#page-372-0) Parsell and Lindquist [1993](#page-372-0); Li et al. [2009;](#page-371-0) Nekrasov et al. [2009;](#page-371-0) Liu and Howell [2010\)](#page-371-0), and animals (Sun and MacRae [2005](#page-372-0)). Even though HSPs have been studied for more than a decade, little is known about this protein class in *Trichoderma* species. At present, 63 *Trichoderma* genome sequences are available in public databases (<https://www.ncbi.nlm.nih.gov/genome/?term=trichoderma>) with only twelve genomes annotated (Kubicek et al. [2019\)](#page-371-0). Based on published literature and experimental fndings, the following section aims to provide up-to-date information on the mode of responses of intracellular HSPs of *Trichoderma* species to extracellular stresses. Furthermore, molecular characters and possible function of HSPs in the living body with specifc references to *Trichoderma*-plant interaction will be explored.

TrichoEST project (Rey et al. [2004](#page-372-0)), a functional genomics study conducted on eight *Trichoderma* spp., is still a good reference in understanding the HSPs genes in *Trichoderma*. The researchers discovered several ESTs with close identity to *hsp23* in *T. virens* T59 and *hsp70* in *T. harzianum* T34 (Rey et al. [2004](#page-372-0)). Separate subsequent studies reported the cloning, characterization, and expression of *hsp23* (Montero-Barrientos et al. [2007](#page-371-0)) and *hsp70* (Montero-Barrientos et al. [2008](#page-371-0)) in the biocontrol model strain of *T. harzianum* T34 upon thermal shock assays. Both HSP23 and HSP70 families have heavily conserved regions that can be used for their identifcation at a molecular level. The 214 amino acids of *hsp23* in *T. virens* T59 consists of 59 amino acids of an α-crystallin domain that are highly conserved in sHSPs. Similar sizes domains were also observed in sHSPs of other flamentous fungi and located along a highly conserved C-terminal extension preceded by a poorly conserved N-terminal region when they aligned together (Montero-Barrientos et al. [2007](#page-371-0)). The *hsp70* of *T. harzianum* T34 has a domain that contains a 44 kDa N-terminal ATP-binding region and a 25–30 kDa C-terminal substratebinding region that is described as signatures to the HSP70 family. The end of the C-terminal tail of T34-*hsp70* also includes the highly conserved EEVD terminal sequence, which is considered as a signature feature of cytosolic HSP70 proteins in all organisms (Montero-Barrientos et al. [2008\)](#page-371-0).

HSPs are reported to be induced by exposure of cells to thermal and other abiotic stress conditions. In their study, Montero-Barrientos et al. ([2007\)](#page-371-0) have observed an increased transcript level of the *hsp23* gene in response to thermal shock (4, 10 and 41 °C), oxidative conditions with exposure to 10% ethanol and 1.2 mM paraquat, and osmotic stress conditions with 10% NaCl in a growth medium. In contrast, the *hsp70*gene of *T. harzianum* T34 is not induced by low temperature (Montero-Barrientos et al. [2008\)](#page-371-0). An attempt to grow the fungus at six different temperatures discovered a rise in the transcript level of *hsp70* in response to heat shock at 37 °C and 41 °C, oxidative condition (3 mM hydrogen peroxide and  $10\%$ ) ethanol), and osmotic stress at 1 M mannitol (Montero-Barrientos et al. [2008\)](#page-371-0). The family of HSPs are generally acknowledged to play an important role in cross-tolerance to environmental perturbations (Dubeau et al. [1998;](#page-371-0) Todgham et al. [2005\)](#page-372-0). Following an overexpression technique in *T. harzianum* T34, many researches were performed to elucidate the possible action of both *hsp23* and *hsp70*. It is found that overexpression of *hsp23* and *hsp70* is not only capable to confer thermotolerance to *T. harzianum* T34 but also increases their tolerance to other abiotic stresses (Montero-Barrientos et al. [2007, 2008](#page-371-0)).

*Trichoderma* spp. as biostimulants is capable of promoting plant growth. Strawberry (*Fragaria x ananassa*) plants treated with three selected *Trichoderma* strains (*T. afroharzianum* T22 and TH1 and *T. virens* GV41) have been shown to successfully promote plant growth, improved fruit production and preferred anthocyanin, and other antioxidant accumulation in red ripened fruits (Lombardi et al. [2020\)](#page-371-0). Proteomic analysis of fruits harvested from the treated plants demonstrated that the microbial inoculants had a signifcant impact on the representation of proteins involved in responses toward stress or external stimuli and other physiological processes. Such proteins include the HSP70 isoforms, HSP91, HSP20, and various

<span id="page-368-0"></span>chaperons (Lombardi et al. [2020](#page-371-0)). In another study, *hsp70* genes of *T. harzianum* are genetically engineered to *Arabidopsis* for thermotolerance and increased resistance to salt, osmotic, and oxidative stresses (Montero-Barrientos et al. [2010\)](#page-371-0). Despite no alteration of *Arabidopsis* phenotype was observed, an in vivo assay confrms tolerance to heat and the presence of cross-talk between different stress-response pathways in the plant. Their fndings also indicated that the fungal HSP70 protein functions as a negative regulator of the heat shock factor (HSF) transcriptional activity. Thus, it prevents the synthesis of new HSPs and their accumulation in the transgenic plant causing thermotolerance. However, the upregulation of stress marker genes involved in salt and oxidative stress responses found in transgenic lines following heat stress suggested that proteins other than HSF could also involve in the regulation of these genes (Montero-Barrientos et al. [2010](#page-371-0)).

The roles of HSPs in fungal biology are variable, and the expression of these proteins can occur both in response to stress and during basal metabolism. Studies demonstrated that HSPs are involved in morphogenic change, adaptation to stress, and antifungal resistance to *Trichoderma* (Tereshina [2005;](#page-372-0) Lamoth et al. [2015;](#page-371-0) Mota et al. [2019](#page-371-0)). Advancement in sequencing technology, genetic, and proteomic research has led to the isolation and the study of HSPs from many organisms. However, information is still lacking for *Trichoderma* species. Considering the importance of this class of proteins for cellular homeostasis, more data mining of the available *Trichoderma* genome, and/or overexpression, studies will provide insights and a better understanding of their roles in *Trichoderma* growth and interaction with biotic/abiotic stresses.

#### **3 Glucosidase with Kelch-Repeat Domains**

*T. harzianum kel1* gene carries 338 amino acids and encodes for a putative Kelchrepeat domain protein. It shares similar homology to *Arabidopsis* epithiospecifer proteins (ESP) (Hermosa et al. [2011\)](#page-371-0) and can be found in many organisms, especially in eukaryotes. In *Arabidopsis*, ESP has been identifed to be involved in glucosinolate hydrolysis through the formation of nitriles and epithionitriles (Wittstock and Burow [2007](#page-372-0)). Thus, it is speculated that the *kel1* gene may also involve in glucosinolate hydrolysis. A study by Hermosa et al. ([2011\)](#page-371-0) found that deletion of the *kel1* gene mutants in *Trichoderma* showed a signifcantly lower glucosidase activity compared to the wild type of *T. harzianum*T34 strain. This suggests the involvement of *kel1* in the increased production of glucosidase activity in salt and osmotic conditions. On the other hand, they also found higher germination percentages with signifcantly lower abscisic acid (ABA) levels in the *kel1* overexpressing plants in osmotic and salt conditions. The lower levels of ABA may contribute to the plant's ability to germinate and develop cotyledons (Gonzalez-Guzman et al. [2002\)](#page-371-0). Therefore, these fndings suggest that *kel1* may be able to contribute toward the higher resistance toward salt and osmotic stress in plants.

#### <span id="page-369-0"></span>**4 Aquaglyceroporin Gene**

The main intrinsic protein (MIPs) is a membrane channel family found in mammals, plants, insects, fungi, and bacteria that is required for osmotic cell stabilization. Aquaporins (AQPs), glycerol facilitators (GlpFs), and aquaglyceroporins are the three main subgroups of MIPs (Froger et al. [2001](#page-371-0)). Aquaglyceroporins seem to be of special importance in the study of the molecular basis for both water and solutes in identifying whether mixed channels have a distinct molecular mechanism (Ben Amira et al. [2018;](#page-370-0) Bienert et al. [2008](#page-370-0); Maurel et al. [2009](#page-371-0), [2016](#page-371-0); Tanaka et al. [2008\)](#page-372-0). A gene encoding an aquaglyceroporin (*aqp*) in *T. harzianum* has been previously shown to be upregulated during biocontrol of the plant pathogen *Fusarium solani* (Vieira et al. [2013,](#page-372-0) [2017\)](#page-372-0).

*T. harzianum aqp* gene has been shown to play a role in controlling physiological functions and responses in periods of water stress (Ben Amira et al. [2018;](#page-370-0) Vieira et al. [2013](#page-372-0)). Overexpression of *T. harzianum aqp* gene in tobacco (*Nicotiana tabacum*) showed that it has a lot of promise to be developed as drought-resistant transgenic plants (Vieira et al. [2017,](#page-372-0) [2018\)](#page-372-0). Besides, overexpressed *aqp* in *Phaseolus vulgaris* (French bean) has demonstrated excellent growth-promoting properties. Plants that came through the interaction with the *T. harzianum aqp*-overexpressing transformant often had larger leaves and a higher dry weight by showing increased root and shoot volumes, as well as water quality and drought resistance (Brandão et al. [2019\)](#page-370-0). Therefore, genetic modifcation of aquaglyceroporin from the *Trichoderma* genome can improve plant performance for agricultural applications such as the development of stress-tolerant plants and application in plant molecular breeding.

#### **5 Glutathione S-Transferase Gene**

Glutathione S-transferase (GST) is a large enzyme superfamily that is known for their function in detoxifcation by eliminating membrane lipid peroxides through the glutathione conjugation, thus protecting the plants from an oxidative burst. Several studies have suggested the role of glutathione S-transferase in protecting the plants by alleviating several abiotic stresses such as heavy metal stress (Zhang et al. [2013\)](#page-372-0), radiation, and ultraviolet damage (Liu and Li [2002\)](#page-371-0). *T. virens GST* gene consists of 252 amino acids and it contains N-terminal thioredoxin-fold domain that plays a role in protecting the cells against oxidant and heavy metal ion toxicity (Dixit et al. [2011a](#page-370-0), [b\)](#page-370-0). Plant exposure to heavy metals such as cadmium (Cd) can lead to the accumulation of reactive oxygen species (ROS) that can inhibit DNA and protein synthesis. Therefore, excess ROS in plants needs to be scavenged to protect them from any damage induced by ROS. Tobacco (*Nicotiana tabacum*)-expressing *GST* showed better plant growth with enhanced tolerance toward Cd without increasing the Cd accumulation in the plants when exposed to different <span id="page-370-0"></span>concentrations of Cd (Dixit et al. 2011b). Thus, the development of the transgenic tobacco expressing *T. virens GST* not only can help plants to tolerate Cd but also can help in limiting Cd accumulation in plants when grown in Cd-contaminated soil.

Plants can metabolize xenobiotic pollutants such as polycyclic aromatic hydrocarbons. However, plants lack complete metabolic pathways compared to bacteria and fungi. Several fungi have been reported to be able to degrade polycyclic aromatic hydrocarbons (Luch [2005\)](#page-371-0) in which *T. virens* is one of them. A recent study showed that transgenic tobacco-expressing *T. virens GST* is able to degrade anthracene to naphthalene derivatives (Dixit et al. 2011a). This demonstrates a promising potential in the enhancement of the anthracene tolerance in plants. Therefore, the GST gene from *T. virens* showed a potential application in developing transgenic plants that have the capability to tolerate heavy metal and PAH stress.

#### **6 Conclusion**

The availability of *Trichoderma* spp. genomes has provided us with a great opportunity to identify and explore the functions of their genes in mitigating abiotic stresses. It has been proven that *Trichoderma* spp. consist of many useful genes that can help them to adapt to different and harsh environmental conditions. Therefore, current knowledge in genomic and molecular can help us in developing transgenic plants expressing *Trichoderma* genes, which can be a sustainable option in producing plants that are able to acclimatize in adverse conditions.

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# **Part IV Practical Aspects of** *Trichoderma* **Commercialization in Agriculture**

# **Development, Production, and Storage of** *Trichoderma* **Formulations for Agricultural Applications**



**Ravulapalli Durga Prasad, Kella S. V. Poorna Chandrika, Suseelendra Desai, Kothur Greeshma, and Sriramappa Vijaykumar**

#### **Contents**



S. Desai

<sup>\*</sup>Many of the *Trichoderma* species names reported from research work carried out before 2005 in the chapter are not characterized based on DNA barcode (Druzhinina et al. 2005, Fungal Genetics and Biology 42 (10), 813-828). Species reported as *T. viride* from Indian literature are mostly *T. asperellum* as per DNA barcoding (Sriram et al., 2013, Current Science 104 (10), 1332-1340).

R. D. Prasad (⊠) · K. S. V. Poorna Chandrika · K. Greeshma · S. Vijaykumar ICAR-Indian Institute of Oilseeds Research, Hyderabad, India

ICAR-Central Research Institute for Dryland Agriculture, Hyderabad, India

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### <span id="page-375-0"></span>**1 Production**

The major concern in commercial production of biocontrol systems is to obtain adequate growth and sporulation of the biocontrol agent. The biomass production of the antagonist is not easy owing to the specifc requirement of nutritional and environmental conditions for the growth of an organism. *Trichoderma* biomass must be produced in a cost-effective way, and it should be viable at each processing step, such as harvesting, drying, formulation, storage, and delivery (Ramanujam et al. [2010\)](#page-387-0). Much work has been done on the production of *Trichoderma* spp*.* by liquidand solid-state fermentation methods. Production of these antagonists can be done easily using cheaper substrates.

#### *1.1 Solid Fermentation*

In solid-state fermentation (SSF), microorganisms are grown on solid materials with optimum moisture content. In this type of fermentative process, the quantity of water should not exceed the saturation capacity of the solid bed in which the organism is being cultivated. Water is essential for the growth and sporulation of microorganisms. In SSF, water present is in thin layers and sometimes gets absorbed into the substrate (Kumar et al. [2014](#page-386-0)). Solid fermentation involves interactions of microbial biomass with the wetted solid substrate and the microorganism can grow on and within the substrate. Microbial biomass within the matrix consumes the substrate and secretes metabolites and enzymes (Padmasari [2005\)](#page-386-0). In general, no prior sophisticated formulation procedures are used for the product formed from solid- or semisolid-state fermentation. Based on the solid phase used, there are two types of SSF systems. The frst system involves cultivation on natural substrates such as agricultural by-products. The second system involves cultivation on an inert support impregnated with a liquid medium (Kumar et al. [2014](#page-386-0)). SSF has gained a lot of interest because of its product recovery and reduced energy requirements.

Several researchers have optimized growth requirements for the production of *Trichoderma* on agricultural by-products and wastes. Several media have been used including bran of cereal crops, different agro-waste materials, and industrial byproducts for the mass multiplication of *Trichoderma* species (Sangeetha et al. [1993;](#page-387-0) Zaidi and Singh [2004](#page-388-0); Singh and Joshi [2007](#page-387-0); Bhagat and Pan [2007](#page-384-0); Sangle et al. [2002;](#page-387-0) Saju et al. [2002;](#page-387-0) Tiwari et al. [2004;](#page-388-0) Gangadharan and Jeyarajan [1990](#page-385-0); Rini and Sulochana [2007;](#page-387-0) Gupta et al. [2016;](#page-385-0) Guzmán et al. [2014;](#page-385-0) Ahuja and Bhatt [2018;](#page-384-0) Naeimi et al. [2020](#page-386-0); De la Cruz-Quiroz et al. [2017](#page-384-0)). Among the by-products, wheat bran supported good growth of biomass and suitable for mass multiplication of *T.* cf. *harzianum* (Heins et al. [1978;](#page-385-0) Martin et al. [1984\)](#page-386-0). Similarly, the combination of wheat bran and sawdust was used for *Trichoderma* mass multiplication (Elad et al. [1980;](#page-385-0) Mukhopadhyay et al. [1986\)](#page-386-0). A mixture of wheat bran and maize bran was also found to be a good medium for *Trichoderma* (Kapoor and Kumar [2004\)](#page-385-0).

<span id="page-376-0"></span>Growth medium comprising of pulse bran with sawdust supported high biomass and spores of *Trichoderma* compared to wheat bran (Dubey and Patel [2002](#page-385-0)). Corn in a bag bioreactor (Lewis and Papavizas [1980](#page-386-0); De la Cruz-Quiroz et al. [2017\)](#page-384-0), barley grains (Abd-El Moity and Shatala [1981\)](#page-384-0), and sorghum (Padmanabhan and Alexander [1984;](#page-386-0) Upadhyay and Mukhopadhyay [1986](#page-388-0)) have also been used as substrate for *Trichoderma* production.

Among the agro-waste materials, sugarcane bagasse, corn cobs, rice straw, and groundnut shell were found to be good media. Dubey and Patel ([2002\)](#page-385-0) used wheat straw, groundnut shells, and mushroom bedstraw for mass multiplication of *Trichoderma*. *Trichoderma* or *Gliocladium* were grown on peat-bran substrate to yield between  $5 \times 10^7$  and  $3 \times 10^{10}$  colony-forming units (cfu) g<sup>-1</sup> substrate after 14 days of growth (Maplestone et al. [1991](#page-386-0)). Thangavelu et al. ([2004\)](#page-388-0) tested fve different organic substrates, viz., rice bran, rice chaffy grain, farm yard manure, banana pseudostem, and banana leaf, for mass multiplication of *T.* cf. *harzianum*. Singh and Singh [\(2007](#page-387-0)) found that tea waste served as the best substrate for mass multiplication of the bioagents and the mass-multiplied cultures could be stored for 3 months without much reduction in the population of the biocontrol agents. Clay saturated with 10% molasses was found to support maximum spore production of *Trichoderma* (Blackman and Kabana [1975](#page-384-0)).

#### *1.2 Liquid Fermentation*

The common diffculties faced in the SSF are high volume of substrate, risk of contamination, large space for processing, sterilization, inoculation, incubation, and storage. To overcome these issues, liquid-state fermentation (LSF) was developed through which large quantities of biomass can be produced within a few days under axenic conditions. Hence, the industry has widely adapted to this method of mass multiplication. The LSF term is applied for the processes in which water-soluble materials are used for the microbial growth. Water is essential for microbial growth. In liquid fermentation, water is present in thick layers and often gets absorbed by the substrates.

In many countries, deep tank fermentation using inexpensive media is being followed. Deep tank fermentation system was employed in liquid formulation which makes it as a more preferred approach for biomass production in Europe and North America (Churchill [1982](#page-384-0)). Inexpensive growth media such as molasses and brewer's yeast are used for production in liquid formulation (Papavizas et al. [1984;](#page-386-0) Sankar and Jeyarajan [1996](#page-387-0)). Similarly, liquid media, viz., molasses-soy powder and molasses and jaggery, were found to support good growth of *Trichoderma* (Prasad and Rangeshwaran [2000a](#page-387-0); Prasad et al. [2002a,](#page-387-0) [b\)](#page-387-0). Molasses-soy medium (MSM) standardized for mass production of *Trichoderma* by liquid fermentation yielded maximum biomass, viable propagules, and spores as compared to standard molassesyeast medium (MYM). The MSM medium could serve as a better alternative to MYM for the commercial production of *Trichoderma* species (Prasad and <span id="page-377-0"></span>Rangeshwaran [2000b](#page-387-0)). However, as the availability of molasses is limited to areas near to sugar factories, attempts were made to utilize jaggery as an alternative. Jaggery-soy medium gave more biomass and viable propagules compared to MYM but it was not superior to MSM (Prasad et al. [2002a,](#page-387-0) [b\)](#page-387-0). Potato dextrose broth, glucose nitrate broth, maltose peptone broth, sabouraud dextrose broth, and molassesyeast extract broth were tested for mass production of *T. viride* (*T.* cf. *viride*). Among them, molasses-yeast extract broth was found to be suitable for the maximum growth of this fungus (Khan et al. [2011](#page-385-0)).

Optimized fermentation conditions could result in maximum biomass of *Trichoderma* spp. in short-time by using appropriate medium in a fermenter with aeration, agitation, temperature, pH, and antifoam controls than in shake-fask cultures and such technology is industry-friendly for mass production of *Trichoderma* spp. Studies have revealed that maximum amount of biomass and viable propagules of *T. harzianum* (*T*. cf. *harzianum*) can be obtained within 96 h of fermentation in a fermenter with aeration, agitation, and temperature controls (Prasad et al. [1997](#page-387-0)).

#### *1.3 Biphasic Production of* **Trichoderma**

A biphasic production system with initial liquid-state fermentation for 4 days in any suitable medium followed by tray culturing of the biomass for 3–4 days is being followed at ICAR-Indian Institute of Oilseeds Research, Hyderabad, India, to obtain maximum conidial biomass. The biomass is then air-dried for different durations to impart desiccation tolerance to conidia (Indian Patent No patent No: 316651 dated 23.07.2019).

#### **2 Infuence of Cultural Conditions on** *Trichoderma* **Growth**

An important factor that can favor the processes of sporulation in *Trichoderma* is C/N ratio. Serna-Díaz et al. [\(2020](#page-387-0)) reported that barley straw with C/N ratios of 160:1 improved spore production of *T. viride* (*T.* cf. *viride*). A combination of sucrose at 30,000 ppm as carbon source and ammonium nitrate at 3000 ppm as nitrogen source signifcantly enhanced the mycelial growth and conidial production by *T. harzianum* in wheat straw, rice husk, and millet grains. However, the addition of carbon and nitrogen sources to sorghum grains and rice grains resulted in negative effect on sporulation of *T. harzianum* (Rajput et al. [2014](#page-387-0)). Sui Ming [\(2019](#page-388-0)) optimized solid fermentation medium that contains grass powder/wheat bran/rice bran at 3:2:1 ratio supplemented with glucose 1%, peptone 0.05%, and dipotassium hydrogen phosphate 0.01% for enhanced sporulation of *T. harzianum*. Jayaswal et al. [\(2003](#page-385-0)) studied the infuence of physiological and environmental factors on <span id="page-378-0"></span>antagonistic strain of *T. viride* RSR7. Both mycelial growth and sporulation of *T. viride* were observed when sucrose, peptone, and trehalose were supplemented to the medium as sole carbon sources. Both growth and sporulation were favored by ammoniacal forms of nitrogen compared to nitrite or nitrate forms.

The pH is a key parameter that impacts both growth and sporulation, while carbon concentration and C:N ratio strongly affected spore production time. At fxed pH, the C:N ratio had a limited infuence on spore yield, but was critical for spore shelf life. The highest spore longevity was found in a medium with a pH of 7.0 (Agosin et al. [1997\)](#page-384-0).

The moisture of the substrate is very important for the growth of the fungus. Optimal moisture content facilitates the development of mycelium and later, sporulation. However, the moisture requirements for optimum development differed with the choice of the microorganism (Serna-Díaz et al. [2020](#page-387-0)). Flodman and Noureddini [\(2013](#page-385-0)) cultivated *T. reesei* on spent maize grains from distilleries with an initial humidity of 50% and obtained  $7.5 \times 10^8$  spores/gdm after 136 h of cultivation on solid substrate with mechanical agitation. Moisture contents of  $68.41 \pm 0.08\%$  for wheat bran and  $34.33 \pm 0.91\%$  for rice were found to be optimum for the growth of *T. harzianum, T. viride, and T. koningii.* The increase of moisture to  $73.13 \pm 0.31\%$ decreased the quantity of spores produced signifcantly (Rosane et al. [2008\)](#page-387-0).

Besides moisture and water activity, solid-state fermentation is also affected by the solid composition and structure of the substrate and the type of the microbial strain being multiplied. The availability and accessibility of the nutrients in the solid matrix depend on the solid porosity and structure of the material which in turn is infuenced by the moisture and sterilization. Hydration of the solid media can be done by soaking in water for specifed time until optimal moisture level is attained. Soaking of hard grains overnight and later drying under shade by spreading as a thin layer for 2–3 h could help in maintaining optimum moisture required for growth of *Trichoderma*.

#### **3 Formulations of** *Trichoderma*

Several types of formulations of the biocontrol agent have been developed world over, and some of them have obtained regulatory approval for feld applications as per the specifc guidelines of the country concerned. Effcient species and strains of *Trichoderma* spp. can be used to develop different formulations as per local requirement and also to suit various delivery methods (Romão-Dumaresq et al. [2012\)](#page-387-0). Secondary metabolites, especially antibiotics and lytic enzymes produced by effcient strains of *Trichoderma*, have been marketed by industries in the form of formulations for agricultural applications (Woo et al. [2014;](#page-388-0) Błaszczyk et al. [2014\)](#page-384-0).

#### <span id="page-379-0"></span>*3.1 Liquid Formulations*

The deep tank fermentation system is being followed for liquid formulation in Europe and North America regions for more than four decades (Churchill [1982\)](#page-384-0). Other cheaper liquid components such as yeast, molasses, soy four broths, and agars are used for production as well as in formulation (Papavizas et al. [1984\)](#page-386-0). The advantage of using liquid growth media for production and formulations allows longer shelf life by providing nutrients, pH, temperature, etc. and also reduces contamination issues while handling (Whipps [1997\)](#page-388-0). Other ingredients such as mineral oils, vegetable oils, etc. are also used in formulations which will check the prolifc growth of *Trichoderma* resulting in longer shelf life and less hindrance with other environmental conditions (Herrera et al. [2020](#page-385-0); Navaneetha et al. [2015\)](#page-386-0).

#### *3.2 Solid Formulations*

In solid formulations, solid media like agricultural residues such as wheat and rice straw, sugarcane bagasse, groundnut shells, corn cobs, sawdust, and rice bran in combination or alone are used as food base or substrate for *Trichoderma* (Cumagun and Lapis [1993;](#page-384-0) Papavizas et al. [1984](#page-386-0); Nelson et al. [1988](#page-386-0)). Talc and different clays are used as bulking materials for solid formulations (Prasad et al. [2002a,](#page-387-0) [b\)](#page-387-0). To obtain stable product drying is an important step for prolonged shelf life of *Trichoderma* in both solid and liquid formulations (Jin et al. [1992](#page-385-0)). The solid formulations involve minimum cost but require larger space for production, processing, and storage. However, the transportation losses are minimal in these types of formulations as compared to liquid formulations.

#### *3.3 Encapsulation of* **Trichoderma**

Many researchers have attempted microencapsulation (Vemmer and Patel [2013](#page-388-0); Ma et al. [2015](#page-386-0); Rathore et al. [2013\)](#page-387-0) and nanoencapsulation (Guilger et al. [2017;](#page-385-0) Ahluwalia et al. [2014](#page-384-0)) techniques for *Trichoderma* entrapment in carriers like polymers, composites, nanocarriers, etc. These techniques of encapsulation or entrapment use a carrier around the active principle as a physical barrier which protects from external stress due to ultraviolet radiation, sunlight, oxidation, high temperatures, etc. (Sris et al. [2012](#page-388-0); McLoughlin [1994;](#page-386-0) Paulo and Santos [2017\)](#page-386-0). Due to protection from external stresses, the microbes can survive for longer duration with good metabolic activity even under ambient conditions. The encapsulation around microbes also functions as a physical barrier and helps in enhanced persistence in natural microenvironment and controlled or sustained release of active principles targeting insect-pest (Cassidy et al. [1996](#page-384-0)).

#### **3.3.1** *Microencapsulation of* **Trichoderma**

Physical (spray drying), chemical (polymerization), and physicochemical (coacervation and ionic gelation) methods have been reported for microencapsulation of *Trichoderma*. While spray drying is a cheaper method of microencapsulation, the high temperatures applied for microencapsulation could result in loss of viability of the *Trichoderma* propagules. Hence, this method could be helpful for the strains that produce heat-resistant spores. Polymerization process utilizes monomers, initiator, etc. As the monomers used for microencapsulation are mostly toxic and can inhibit the growth of *Trichoderma*, nowadays greener alternatives for such molecules are being searched. Ionic gelation for *Trichoderma* is being followed largely. Coacervation process can also be attempted to deliver *Trichoderma* formulations. Encapsulation of *Trichoderma* spores in novel lignin-based polyelectrolyte shells was attempted. The encapsulation was done through layer-by-layer technique resulting in self-stabilizing spore dispersion. The lignin shell can protect the *Trichoderma* spores from the external stresses by keeping the spores in resting state. These spores were applied by trunk injection method to manage grapevine diseases. After injection, the encapsulated spores will be released due to lignin-degrading enzymes secreted by the pathogen. This is popularly known as the "Trojan horse concept." The spores will germinate and attack the pathogen (Peil et al. [2020](#page-387-0)). An active principle, i.e., naringinase enzyme produced by one of the *Trichoderma* sp., was entrapped in chitosan nanocapsules and alginate nanocapsules. These carriers protect the naringinase enzyme from environmental stresses like temperature, pH, etc. Chitosan-PEG blend plasticized solutions were used for entrapment of *Trichoderma*, and these were applied around the seeds as seed coating. The films formed uniformly around the seed exhibited enhanced compatibility and protection during storage to *Trichoderma* spp. In this method, the rejuvenation of spores under favorable conditions was observed (Chandrika et al. [2019](#page-384-0); Prasad et al. [2020\)](#page-387-0). Encapsulation of *Trichoderma* conidia in different polysaccharide-based polymer matrices through different methods has resulted in increased shelf life of formulations (Muñoz-Celaya et al. [2012](#page-386-0); Jin and Custis [2011\)](#page-385-0).

#### **3.3.2 Nanoencapsulations of** *Trichoderma*

*Trichoderma* spores when used in conjunction with nanoparticles or nanosystems can show enhanced potential. Organic and inorganic nanoparticles or nanosystems of less than 100 nm particle size have been reported as potential sources for *Trichoderma* carriers for formulations (Kim et al. [2006](#page-386-0)). The large surface area of nanoparticles offers better holding and distribution of *Trichoderma* spores and improved diffusion in plants. The nanomaterials can be synthesized by different methods like chemical, physical, and biogenic ways. In biogenic synthesis, reduction of precursors to nanomaterials requires organic reducing molecules like proteins, amino acids, sugars, enzymes, etc. It is a cost-effective method and environmentally friendly in nature (Mohanpuria et al. [2008](#page-386-0)). These organic <span id="page-381-0"></span>reducing molecules are being produced by *Trichoderma* as metabolites. Those metabolites from *Trichoderma* help in the production of nanomaterials (Lloyd [2003;](#page-386-0) Siddiqi and Husen [2017](#page-387-0)). The remnants of *Trichoderma* spores and nanomaterials after conversion are being used in disease management in crops. Among different nanomaterials reported to be synthesized by *Trichoderma* species, silver nanoparticles are of notable importance due to its synergistic activity. The synergistic activity of silver nanoparticles is due to coating of nanoparticles with metabolites produced from *Trichoderma* and is an added advantage in stability and augmenting the biological control ability (Fraceto et al. [2018](#page-385-0); Rodrigues et al. [2013\)](#page-387-0). Few species of *Trichoderma* produced selenium nanoparticles of varied size and surface charge and exhibited good control of pearl millet downy mildew (Nandini et al. [2017\)](#page-386-0). Several studies with nanomaterials and *Trichoderma* combination for management of various crop stresses are being conducted at laboratory level. These products need to be tested for their stability, toxicity to the bioagents, and residual toxicity in the environment. They should also comply with the regulatory needs before feld-level exploitation.

#### **4 Agricultural Application of** *Trichoderma*

Delivery strategies reported earlier are application of live spores through foliar spraying, seed treatment, and soil application of talc-based formulations, wettable powders, suspension concentrates, etc. available in the market. Most commonly used formulations are wettable powders (WP) whereas the other formulations such as granules, liquid, and solids are relatively less adopted by the industry. The substrates such as a coco mat or peat moss, cereal grains such as rice, or broken corn that support the growth of a *Trichoderma* culture until sporulation are being used directly for soil application. The liquid formulations include emulsions and concentrated liquid suspensions. All liquid formulations and few solid formulations like WP and granules of *Trichoderma* are used for spray on foliar and aboveground plant parts, root dipping, soil drenching, seed treatment, and mixing with during irrigation water in the form of fertigation and in hydroponics at recommended doses of application. Other solid formulations like pellets and dry fowables are directly applied to the soil at time of seeding or transplanting. For management of few phytopathogens, frequent application of *Trichoderma* spp. is recommended. However, as frequent application of biocontrol agents is not only expensive but also labor intensive, a few inexpensive strategies were reported such as using honeybees for continuous dissemination of *Trichoderma* inoculum from hive to fowers for management of foral diseases in crops like strawberry and raspberry and *Botrytis* grey mold of apple and fre blight of pear (Kevan et al. [2003](#page-385-0); Maccagnani et al. [2006;](#page-386-0) Delaplane and Mayer [2000](#page-384-0); Kovach et al. [2000\)](#page-386-0). The success of this method of dissemination depends on attraction of the formulation by honeybees, efficiency of the formulation, and inoculum load that could be carried during fight of the bees. In grapevines, coconut, and some other palm trees, the application of *Trichoderma*

<span id="page-382-0"></span>using dowel impregnation for insertion into holes drilled into the tree trunk provided systemic protection against the pathogens for 4–5 years (Woo et al. [2014\)](#page-388-0). Similar novel delivery approaches that are economically competitive with enhanced efficacy are the need of the hour. Ditta  $(2012)$  $(2012)$  and Mishra et al.  $(2017)$  $(2017)$  suggested that the development of micro- and nanotechnological interventions to deliver and to enhance the activity of *Trichoderma* to be more environmentally friendly can be a highly promising area of research.

Most frequently *Trichoderma* grown on different bioproducts is directly applied indiscriminately to plants without proper knowledge of its persistence and mechanism of action. Although, these are popular practices of application of *Trichoderma* spp., there are certain drawbacks that can reduce its effectiveness including nonuniform application; less persistence due to sensitivity to unsuitable soil microenvironment like moisture, temperature, etc.; low viability; and varied performance under different agroclimatic conditions (Sris et al. [2012;](#page-388-0) Vemmer and Patel [2013\)](#page-388-0). Several adjuvants are being added to existing *Trichoderma* formulations to address those drawbacks. Further, due to improper screening under various laboratory- and feldlevel conditions, end users are facing problems such as reliability, reproducibility of results, and inadequate quality of formulations. To derive maximum benefts *Trichoderma* spp. under feld conditions, the neglected issues such as stability during storage and transport, prolonged shelf life, and persistence under soil microenvironment need to be addressed urgently. The formulations must be cost-effective and ease in application method (Parnell et al. [2016](#page-386-0)).

#### **5 Storage and Shelf Life**

The major challenges to biopesticide development and formulations include the shorter shelf life compared to conventional pesticides. Conidia of *Trichoderma* derived from solid-state fermentation are highly tolerant of abiotic stresses compared with propagules or biomass derived from liquid fermentation (Watanabe et al. [2006\)](#page-388-0). Liquid fermentation can facilitate abundant production of conidial biomass at a shorter period (Harman et al. [1991\)](#page-385-0). Hence, there is a need to improve the shelf life of *Trichoderma* formulations derived from liquid fermentation. Many factors like medium and inoculum type (Elzein et al. [2004\)](#page-385-0), method of drying, the addition of protectants (Friesen et al. [2006](#page-385-0)), and environmental conditions during storage (Connick et al. [1996\)](#page-384-0) affect the viability of the formulation.

Different interventions can be made during fermentation or at post-fermentation stages to extend the shelf life of formulations. Addition of chitin in the production medium or in the formulation of the bioagent *T. harzianum* enhanced the shelf life. Addition of colloidal chitin at 0.2% to liquid-based production medium and pure chitin in talc formulations of *T*. *harzianum* enhanced the shelf life by additional 2 months compared to normal shelf life of 4 to 5 months (Sriram et al. [2010\)](#page-387-0). The osmoticum of the production medium can be adjusted by the addition of polyethylene glycol or glycerol that can induce trehalose production and provide the <span id="page-383-0"></span>desiccation tolerance (Jin et al. [1991](#page-385-0), [1996](#page-385-0)). Since the biomass of a microbial biocontrol agent is dried after mixing with the carrier material to avoid possible contamination during shelf life, the conidial biomass has to be desiccation-tolerant, besides having high spore viability. Compared with polyethylene glycol (PEG), the addition of glycerol was found to be more benefcial since PEG addition resulted in reduced biomass though it provided desiccation tolerance. The use of glycerol as the osmoticant is particularly effective in initiating micro-cycle conidiation. Accumulation of trehalose that is responsible for stabilizing membranes of cells during desiccation in conidia of *T*. *harzianum* was correlated with desiccation tolerance (Jin et al. [1996](#page-385-0)). Addition of glycerol at 3 and 6% extended the shelf life (with viability of  $>2 \times 10^6$  CFU g<sup>-1</sup>) to 7 and 12 months, respectively, compared to 4–5 months of shelf life in formulations derived without the addition of glycerol. In bio-effcacy tests, even after storage for 12 months, formulations derived with the addition of glycerol at 3 or 6% in the production medium were effective (Sriram et al. [2011](#page-388-0)). Navaneetha et al. [\(2015](#page-386-0)) developed suspension concentrate (SC) formulation using conidial biomass of *Trichoderma* resulting in improved yield of biomass and desiccation-tolerant viable propagules at storage temperature of 4 °C. The colony-forming units (CFU) were found to be highest in all the SC formulations of *T. harzianum* Th4 SC and *T. asperellum* Tv5 SC for 18 months period. Storage at  $30 \pm 2$  °C of the SC formulation also retained shelf life of the product until 14 months, but thereafter population declined signifcantly. A novel biopolymer chitosan-based liquid formulation of *T. harzianum* (Th4d) developed (Prasad et al. [2020\)](#page-387-0) was able to maintain viable counts of log 10.0 and log 10.2 over a period of 6 months at storage temperatures of 30 °C and 4 °C, respectively. However, the antagonistic activity remained unaffected against three plant pathogens, viz., *Macrophomina phaseolina*, *Fusarium oxysporum* f. sp. *ricini*, and *Aspergillus niger* over a period of 6 months of storage.

#### **6 Conclusion**

*Trichoderma* is one of the most researched biocontrol agents for the management of biotic stresses in crops. Bio-inputs play a vital role on commercial agriculture as they not only reduce cost of cultivation and thus enhance proftability but also are considered eco-friendly. However, often the end users have diffculties in the feldlevel use of this technology. The major bottlenecks are standardized protocols for mass multiplication, formulation, application, and enhanced shelf life of the *Trichoderma* formulations. Research and development are in progress in developing industry-friendly robust protocols to resolve these issues. The demand for *Trichoderma* will be growing in the years to come as there will be more stringent regulations for the use of chemical molecules for biotic stress management. The novel technological inventions in other felds such as molecular biology, nanotechnology, and material sciences should be dovetailed to develop eco-friendly and user-friendly formulations of *Trichoderma*. Over and above, climate change and <span id="page-384-0"></span>climatic variability are also known to impact crop-pathogen-biocontrol agent interactions, and there is a need for thorough understanding of the future crop disease scenarios vis-a-vis biocontrol strategies. The genetic diversity among *Trichoderma* strains across different agro-ecologies is yet to be systematically characterized and cataloged to pick up the candidate strains for various crop production systems. Similarly, research is also required to critically understand the frequency of the application of *Trichoderma* within and over crop seasons, and such information will be very valuable for the farmers to budget their crop protection strategies.

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# **Regulatory Issues in Commercialization of** *Trichoderma***-Based Products in the USA**



**Gary E. Harman**

#### **Contents**



### **1 Federal Regulations**

The Federal Insecticide, Fungicide, and Rodenticide Act  $(FIFRA<sup>1</sup>)$  is defined as "with certain exceptions, a pesticide is any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest, or intended for use as a plant regulator, defoliant, or desiccant, or desiccant, or any nitrogen stabilizer." Any use outside this defnition does not require registration under FIFRA.

G. E. Harman  $(\boxtimes)$ 

Cornell University, Ithaca, NY, USA e-mail: [geh3@cornell.edu](mailto:geh3@cornell.edu)

<sup>1</sup> [https://www.epa.gov/enforcement/federal-insecticide-fungicide-and-rodenticide](https://www.epa.gov/enforcement/federal-insecticide-fungicide-and-rodenticide-act-fifra-and-federal-facilities)[act-ffra-and-federal-facilities](https://www.epa.gov/enforcement/federal-insecticide-fungicide-and-rodenticide-act-fifra-and-federal-facilities)

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<span id="page-390-0"></span>FIFRA enforcement is focused on the sale, distribution, and use (which can include disposal) of pesticides. Generally, before a pesticide may be sold or distributed in the USA, it must be registered (licensed) with the US Environmental Protection Agency (EPA, [www.epa.gov](http://www.epa.gov)). Before EPA may register a pesticide under FIFRA, the applicant must show, among other things, that using the pesticide according to specifcations will not generally cause unreasonable adverse effects on the environment. Taking into account the economic, social, and environmental costs and benefts of the use of any pesticide, FIFRA defnes the term unreasonable adverse effects on the environment to mean the following [\(www.epa.gov\)](http://www.epa.gov):

- "Any unreasonable risk to man or the environment, taking into account the economic, social, and environmental costs and benefts of the use of any pesticide"
- "Any human dietary risk from residues that result from use of a pesticide in or on any food inconsistent with the standard under section 408<sup>2</sup> of the Federal Food, Drug, and Cosmetic Act"

The version of FIFRA, enacted in 1947, required that persons registering pesticides distributed in interstate commerce with the US Department of Agriculture (USDA, [www.usda.gov\)](http://www.usda.gov) and established a rudimentary set of labeling provisions. Concerns regarding the toxic effects of pesticides and residues on applicators, nontarget species, the environment, and food prompted signifcant changes in the original FIFRA legislation. Some subsequent amendments occurred as a result of the current statute. In 1972, the Federal Environmental Pesticide Control Act amended FIFRA, as did the Pesticide Registration Improvement Act of 2004 (PRIA1).

The primary objective of FIFRA is to ensure that, when applied as instructed, pesticides will not generally cause unreasonable risk to human health or the environment.

#### **2 Types of Documents Required**

#### *2.1 Documentation Required*

- Manufacturing process
- Safety information
- Product identity
- Good laboratory compliance statement
- Analysis of samples
- Microbial contamination
- Certifcation of limits

Any of the documents may be confdential. This must be stated on the page or section where this is required. Sections used in a recent application for our

<sup>2</sup> <https://www.epa.gov/laws-regulations/summary-federal-food-drug-and-cosmetic-act>

<span id="page-391-0"></span>*Trichoderma* strains details and their preservation, information on growth media for production, scale-up procedures and harvest, and preparation of formulations. Many of the tests and data may require third-party tests to avoid confict of interest. In our applications, the product identity, contamination testing, and safety testing were done by outside laboratories. In addition, private laboratories and companies are required in preparation of dossiers to be submitted to EPA and in taxonomic identity studies.

Chemical pesticides are expressed by units of weight. This is largely meaningless for biological agents. Instead, the most useful measure is colony forming units/ gram (CFU/g). It is possible to produce different formulations that have tenfold differences in CFU/g, at the same weight. Such measurements need to be submitted to EPA, since they are not part of the usual regulatory framework.

#### *2.2 Manufacturer's Use Permit*

This dossier includes information on taxonomic data. Taxonomy in *Trichoderma* and most other organisms requires genetic sequences. Older systems, based on morphology, are no longer adequate. Others include the history of the strain, the life cycle of the organism, characteristics of the stain, pH, temperature optima, modes of action, and the pathogen or pest to be controlled.

#### *2.3 Safety Information*

Safety information for human health for microbial pesticides include (see Data Requirements for Pesticide Registration<sup>3</sup>):

- Acute oral toxicity
- Acute dermal toxicity
- Acute inhalation toxicity
- Primary eye irritation
- Primary dermal irritation
- Dermal sensitization

In addition, ecological and non-target effects may be required including:

- Avian inhalation testing
- Avian oral testing
- Estuarine and marine animal testing
- Freshwater aquatic invertebrate testing
- Freshwater fish testing

<sup>3</sup> <https://www.epa.gov/pesticide-registration/data-requirements-pesticide-registration#dh>

- Honey bee testing
- Non-target insect testing
- Non-target plant testing

Unless foliar application will be used, most of the tests in the list above are unnecessary, except for the last one (non-target plant testing) and harm to benefcial organisms testing. Before testing is initiated, it is useful to discuss protocols and testing procedures with EPA personnel. An in-person pre-submission conference is important. In my experience, EPA personnel are quite helpful, but any discussions are not binding and will depend on the interpretation of the regulations based on what is found in the application.

Regulation of *Trichoderma* stain is considered to be microbial pesticides. Regulations for this category can be found in Series No. 885 Microbial Pesticide Test Guidelines.<sup>4</sup> One required dossier is deposition of cultures in a nationally recognized type culture collection, such as American Type Culture Collection [\(www.](http://www.atcc.org) [atcc.org\)](http://www.atcc.org) or the USDA's Northern Regional Type Culture Collection ([https://nrrl.](https://nrrl.ncaur.usda.gov/) [ncaur.usda.gov/](https://nrrl.ncaur.usda.gov/)). Another required dossier is on storage stability. Typically, cultures are required to remain viable for one year, although different time periods may be proposed. Any special storage conditions, such as refrigeration, need to be provided.

Another permit that may be required is residue analysis in plants or other organisms. However, it is possible to apply for an exemption to residue analysis. *T. virens* strain G-41 received such an exemption, and this is provided in Federal Register portal (search <https://www.federalregister.gov/>for [*Trichoderma virens* 2012]).5 The summary is provided.

This regulation establishes an exemption from the requirement of a tolerance for residues of *Trichoderma* virens strain G-41 in or on all food commodities when applied as a fungicide and used in accordance with good agricultural practices. BioWork, Inc. submitted a petition to EPA under the Federal Food, Drug and Cosmetic Act (FFDCA) requesting an exemption from the requirement of a tolerance. This regulation eliminated the need to establish a maximum permissible level of *Trichoderma* virens strain G-41 under the FFDCA.

It may be useful to include other information in the application, such as resistance to abiotic and biotic stresses, crop yield enhancement, and photosynthetic improvement. Such claims are permitted and may serve as validation of a specifc product.

Most biological agents are not harmful. More than 50 different organisms are listed in Gwynn ([2014\)](#page-396-0), and all are at low levels of toxicity. Some *Trichoderma* strains are problematic, for example, *T. longibrachiatum* grows at human body temperature and is an opportunistic pathogen of immunocompromised people. *T. aggressivum* is a pathogen of mushrooms (Harman et al. [2010\)](#page-396-0).

A number of *Trichoderma* strains are registered. A partial list is provided in Table [1](#page-393-0).

<sup>4</sup> [https://www.epa.gov/test-guidelines-pesticides-and-toxic-substances/](https://www.epa.gov/test-guidelines-pesticides-and-toxic-substances/series-885-microbial-pesticide-test-guidelines) [series-885-microbial-pesticide-test-guidelines](https://www.epa.gov/test-guidelines-pesticides-and-toxic-substances/series-885-microbial-pesticide-test-guidelines)

<sup>5</sup> [https://www.federalregister.gov/documents/2012/02/01/2012-2216/](https://www.federalregister.gov/documents/2012/02/01/2012-2216/trichoderma%23:~:text=This%20regulation%20establishes%20an%20exemption%20from%20the%20requirement,and%20used%20in%20accordance%20with%20good%20agricultural%20practices) [trichoderma#:~:text=This%20regulation%20establishes%20an%20exemption%20from%20](https://www.federalregister.gov/documents/2012/02/01/2012-2216/trichoderma%23:~:text=This%20regulation%20establishes%20an%20exemption%20from%20the%20requirement,and%20used%20in%20accordance%20with%20good%20agricultural%20practices) [the%20requirement,and%20used%20in%20accordance%20with%20good%20agricultural%20](https://www.federalregister.gov/documents/2012/02/01/2012-2216/trichoderma%23:~:text=This%20regulation%20establishes%20an%20exemption%20from%20the%20requirement,and%20used%20in%20accordance%20with%20good%20agricultural%20practices) [practices](https://www.federalregister.gov/documents/2012/02/01/2012-2216/trichoderma%23:~:text=This%20regulation%20establishes%20an%20exemption%20from%20the%20requirement,and%20used%20in%20accordance%20with%20good%20agricultural%20practices)

<span id="page-393-0"></span>

**Table 1** List of *Trichoderma*-based products approved for pesticide use. A general reference is Gwynn (2014) **Table 1** List of *Trichoderma-*based products approved for pesticide use. A general reference is Gwynn ([2014](#page-396-0)) (continued) (continued)



**Table 1** (continued)

### <span id="page-395-0"></span>*2.4 Organic Certifcations*

In the USA, and elsewhere, organic certifcation is administrated by Organic Farming Associations in each of the 50 states, e.g.,<https://nofany.org/>. These associations use federal funding. There is also the non-proft organization the Organic Materials Research Institute (OMRI<https://www.omri.org/>).

Differences exist between the programs, but in general they are based on methods of manufacture and whether or not components occur naturally. No genetically altered materials can be used nor can any material be synthesized or manufactured. The websites above list procedures, applications, and lists of approved materials.

In some cases, one source of a material may be organically approved, while another is not. For example, sodium nitrate derived from bat guano (Chilean nitrate) is approved, with limitations, while the same compound that is manufactured is prohibited. The statement for material is as follows:

"This product contains highly soluble nitrogen and must be applied in a manner that does contribute to the contamination of crops, soil, or water. Its use must be part of an organic system plant that maintains or improves natural resources of the operation, including soil and water quality, and that complies with crop nutrient and soil fertility requirements."

#### *2.5 Inert Ingredients*

Registration packets to EPA require information on inert ingredients. The following is a quote from EPA Inert Ingredients Regulation:<sup>6</sup>

Most pesticide products contain substances in addition to the active ingredient(s) that are referred to as inert ingredients or sometimes as "other ingredients. An inert ingredient generally is any substance (or group of similar substances) other than an active ingredient that is intentionally included in a pesticide product. Examples of inert ingredients include emulsifers, solvents, carriers, aerosol propellants, fragrances and dyes.

Safety information is required. The EPA provides a list (25b) that lists materials approved for use as inert ingredients<sup>7</sup> in Inert Ingredients Eligible for FIFRA  $25(b)$ pesticide products (Revised November 2016).

Safety information for specifc compounds is provided at the website of the Centers for Disease Control and Prevention (CDC, <https://www.cdc.gov/>) and the National Institute for Occupational Safety and Health (NIOSH) Pocket Guide to Chemical Hazards at <https://www.cdc.gov/niosh/npg/>.

<sup>6</sup> <https://www.epa.gov/pesticide-registration/inert-ingredients-regulation>

<sup>7</sup> [https://www.epa.gov/minimum-risk-pesticides/inert-ingredients-approved-use-minimum](https://www.epa.gov/minimum-risk-pesticides/inert-ingredients-approved-use-minimum-risk-pesticide-products)[risk-pesticide-products](https://www.epa.gov/minimum-risk-pesticides/inert-ingredients-approved-use-minimum-risk-pesticide-products)
## **3 Conclusions**

Registrations are expensive and usually cost more than \$100,000, and those costs may double or triple depending on the complexity and requirements for registration. In the author's experience, at least a year is required. This is a very good reason to hire a consultant who is very familiar with regulatory requirements. This adds to expenses initially but minimizes total expenses and time required.

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# **Part V New Industrial Applications of** *Trichoderma*

# **Industrially Important Genes from** *Trichoderma*



**Şeyma Çolakoğlu Özkaya, Hüseyin Okan Soykam, and Günseli Bayram Akçapınar**

## **Contents**



Authors Şeyma Çolakoğlu Özkaya and Hüseyin Okan Soykam have equally contributed to this chapter.

Ş. Çolakoğlu Özkaya

Department of Medical Biology and Genetics, Institute of Health Sciences, Marmara University, Maltepe, Istanbul, Turkey

H. O. Soykam

Department of Biostatistics and Bioinformatics, Institute of Health Sciences, Acibadem University, Istanbul, Turkey

G. Bayram Akçapınar  $(\boxtimes)$ 

Department of Medical Biotechnology, Institute of Health Sciences, Acibadem University, Istanbul, Turkey e-mail: [gunseli.akcapinar@acibadem.edu.tr](mailto:gunseli.akcapinar@acibadem.edu.tr)

<sup>©</sup> The Author(s), under exclusive license to Springer Nature Switzerland AG 2022 397 N. Amaresan et al. (eds.), *Advances in* Trichoderma *Biology for Agricultural Applications*, Fungal Biology, [https://doi.org/10.1007/978-3-030-91650-3\\_16](https://doi.org/10.1007/978-3-030-91650-3_16#DOI)

# <span id="page-399-0"></span>**1** *Trichoderma* **as a Cosmopolitan Genus with Colossal Industrial Potential**

Being a cosmopolitan genus, *Trichoderma* spp. occupy diverse habitats, including but not limited to soil, decaying wood, and rhizosphere (Druzhinina and Kubicek [2013\)](#page-424-0). Diversity of these habitats not only refects their adaptability and opportunistic potential but also diversity of their genes and gene families to ft these ecological niches. Their rapid growth in soil allows for their easier isolation. *Trichoderma* genus currently hosts at least 460 species as listed in the International Commission on *Trichoderma* Taxonomy webpages (Druzhinina and Cai [2020](#page-423-0)) as of August 2020. Many species in this genus are important producers of cellulolytic enzymes (Payne et al. [2015;](#page-429-0) Bischof et al. [2016;](#page-422-0) Kubicek et al. [2019\)](#page-426-0). The most important industrial cellulase producer is *Trichoderma reesei*, which was isolated in Solomon Islands during the World War II (Mandels and Reese [1960](#page-427-0)). It was identifed as *Trichoderma viride* at frst and named as QM6a strain and then renamed as *Trichoderma reesei* due to its marked characteristics than *Trichoderma viride* (E.G. Simmons [1977](#page-424-0)). Although the industrial interest with various *Trichoderma* species started with cellulases and their immense potential for lignocellulosic biomass transformations (Bischof et al. [2016](#page-422-0)), it has rapidly spread to other carbohydrate active enzyme (CAZy) families (Lombard et al. [2014](#page-427-0)) and genes and gene families involved in secondary metabolite production (Mukherjee et al. [2012b;](#page-428-0) Atanasova et al. [2013b;](#page-421-0) Zeilinger et al. [2016\)](#page-433-0). Recently, gene families encoding for small secreted cysteine-rich proteins (SSCPs) have been standing out due to their surface-modifying activities (Nakari-Setälä et al. [1996](#page-428-0), [1997](#page-428-0); Linder et al. [2001;](#page-427-0) Przylucka et al. [2017a](#page-429-0)) and their involvement in a plethora of biotrophic interactions (Seidl et al. [2006;](#page-430-0) Atanasova et al. [2013a](#page-421-0); Guzmán-Guzmán et al. [2017;](#page-424-0) Przylucka et al. [2017b](#page-429-0); Cai et al. [2020\)](#page-422-0).

## **2 Available** *Trichoderma* **Genomes**

Since many *Trichoderma* species are utilized as biofertilizers and in biocontrol, a precise understanding of their biology and evolution and genes involved in their interactions is required. The frst *Trichoderma* (*Hypocreales*, *Ascomycota*) genome was published in 2008 (Martinez et al. [2008\)](#page-428-0). Since then, until 2021, a total of 24 genomes from this genus are published in the JGI database ([https://mycocosm.jgi.](https://mycocosm.jgi.doe.gov/Trichoderma/Trichoderma.info.html) [doe.gov/Trichoderma/Trichoderma.info.html](https://mycocosm.jgi.doe.gov/Trichoderma/Trichoderma.info.html), accession date: 21.01.2021). These belong to *Trichoderma arundinaceum* IBT 40837; *T. asperelloides* TR356; *T. asperellum* CBS 433.97; *T. atrobrunneum* ITEM 908; *T. atroviride* B10, F7, and P1; *T. atroviride* v2.0; *T. brevicompactum* IBT40841; *T. citrinoviride* TUCIM 6016; *T. gamsii* T6085; *T.* spp*.* NJAU 4742; *T. hamatum* GD12; *T. harzianum* CBS 226.95; *T.* spp*.* M10; *T. afroharzianum* T22; *T. harzianum* TR274; *T. longibrachiatum* ATCC 18648; *T. longibrachiatum* MK1; *T. parareesei* CBS 125925; *T.* spp.; *T. reesei* <span id="page-400-0"></span>QM6a; *T. reesei* RUT C-30; *and T. virens* Gv29–8 (Cai and Druzhinina [2021\)](#page-422-0)*.* A comparative genomic analysis of the frst three whole genomes of *Trichoderma* underlined the innate mycoparasitic lifestyle of these species; further comparison of the genomes of 12 common species of *Trichoderma* has further supported mycoparasitism as the ancestral lifestyle (Kubicek et al. [2011](#page-426-0)).

Comparative genomic analysis of these species would reveal novel genes and gene families that might be useful for industrial applications.

## **3 Industrially Important Genes and Gene Families from** *Trichoderma* **Species**

## *3.1 Carbohydrate Active Enzymes (CAZymes)*

*Trichoderma* species are well-known producers of diverse enzyme families with the ability to degrade and modify biopolymers, such as lignocellulose, as shown in Fig. [1](#page-401-0). Harzianum clade hosting *T. harzianum and T. guizhuense* is enriched in the number of CAZymes (Lombard et al. [2014](#page-427-0)) in parallel to the total gene number, which is a mere refection of their adaptability and biotrophic interactions.

#### (i) **Glycoside Hydrolases (GH)**

As lignocellulose, being the most abundant and outspread biopolymer on earth, *Trichoderma* species are enriched in glycoside hydrolase (GH) families, which have the ability to degrade biopolymers such as lignocellulose. A comparative genome analysis of *Trichoderma* species as shown in Fig. [1](#page-401-0) indicates that almost half of the CAZymes belong to GH family, ranging from ~48% to 60%. GH18 chitinases, GH3 beta-glycosidases, GH16 beta-1,3/1,4-glucanases, and GH5 family members are abundantly represented in these 24 *Trichoderma* genomes as also shown via comparative genomic analysis of 12 representative species by Kubicek et al. [\(2019](#page-426-0)). The average number of GH family genes is 237, whereas the average numbers of GH18, GH3, GH16, and GH5 family genes within the 24 genomes are 26, 15, 15, and 10, respectively. While some *Trichoderma* species such as the endophytic *T. brevicompactum* with biocontrol potential possesses 247 GH enzyme-encoding genes, 34 of which belong to GH18 family within their published genome (Proctor et al. [2018](#page-429-0)); *T. reesei* QM6a and RutC30 strains have a less number of GH family genes with respect to other species.

Cellulases are the most famous enzymes of *Trichoderma* spp. with industrial potential. They are cellulose-hydrolyzing enzymes and belong to the GH family according to CAZy classifcation of carbohydrate active enzymes (Lombard et al. [2014\)](#page-427-0). *T. reesei*, being the key industrial species for cellulase production, has been known to express a number of endoglucanases (EG), enzymes degrading the cellulose chain from the inside; cellobiohydrolases (CBH) or exoglucanases degrading the cellulose chain from the reducing and nonreducing ends; and, fnally,

<span id="page-401-0"></span>

**Fig. 1** Numbers and distribution of industrially important CAZy families in 24 *Trichoderma* genomes based on the genomic analysis data in Joint Genome Institute (JGI) [https://mycocosm.jgi.](https://mycocosm.jgi.doe.gov/Trichoderma/Trichoderma.info.html) [doe.gov/Trichoderma/Trichoderma.info.html](https://mycocosm.jgi.doe.gov/Trichoderma/Trichoderma.info.html), accession date: 21.01.2021). PL, polysaccharide lyase; GT, glycosyltransferase; GH, glycoside hydrolase; CE, carbohydrate esterase; CBM, carbohydrate binding module. Coloring indicates the number of genes in each family represented in the genomes, and fraction indicates the fraction of each family with respect to the total number of PL, GT, GH, CE, and CBMs within each species genome. [*Trichoderma arundinaceum* IBT 40837 (Triaru1), *Trichoderma asperelloides* TR356 (Trias1), *Trichoderma asperellum* CBS 433.97 (Triasp1), *Trichoderma atrobrunneum* ITEM 908 (Triat2), *Trichoderma atroviride* B10 (Triatr1), F7 (Triatro1) and P1 (Triatrob1), *Trichoderma atroviride* v2.0 (Triatrov1), *Trichoderma brevicompactum* IBT40841 (Tribre1), *Trichoderma citrinoviride* TUCIM 6016 (Trici4), *Trichoderma gamsii* T6085 (Trigam1), *Trichoderma* spp. NJAU 4742 (Trigui1), *Trichoderma hamatum* GD12 (Triham1), *Trichoderma harzianum* CBS 226.95 (Triha1), *Trichoderma* spp. M10 (TriharM10\_1), *Trichoderma afroharzianum* T22 (TriharT22\_1), *Trichoderma harzianum* TR274 (Trihar1), *Trichoderma longibrachiatum* ATCC 18648 (Trilo3), *Trichoderma longibrachiatum* MK1 (Trilon1), *Trichoderma parareesei* CBS 125925 (Tripar1), *Trichoderma* spp. TPhu1 (Triple1), *Trichoderma reesei* QM6a (Trire2), *Trichoderma reesei* RUT C-30 (TrireRUTC30\_1), *Trichoderma virens* Gv29–8 (TriviGv29\_8\_2) (Cai and Druzhinina [2021\)](#page-422-0)]

beta-glucosidases (BGL) with the capability to hydrolyze cellooligosacharides such as cellobiose into its glucose monomers. Although the genome mining of their genome indicates the presence of eight endoglucanases, two cellobiohydrolases, and up to six beta-glucosidase genes, an extensively studied *T. reesei* cellulase system is composed of at least fve EGs (EGI to EGV) and two CBHs (CBHI and CBHII) with two additional BGLs (BGLI and BGLII) (Häkkinen et al. [2012\)](#page-425-0). These enzymes act in synergy for the degradation of cellulosic polymers (Woodward [1991;](#page-433-0) Akcapinar et al. [2011\)](#page-421-0). In addition to these cellulases, *T. reesei* genome harbors hemicellulase enzymes mainly composed of four endoxylanases (XYNI to

XYN IV), one beta-xyloside (BXLI), a mannanase (MANI), an acetyl xylan esterase (AXEI), an  $\alpha$ -glucuronidase (GLRI), an  $\alpha$ -L-arabinofuranosidase (ABFI), three α-galactosidases (AGLI to AGLIII), and an acetyl esterase (AESI) (Häkkinen et al. [2012\)](#page-425-0). Characterized and putative cellulases and hemicellulases of *T. reesei* with the GH family groups are listed in Table [1](#page-403-0). Moreover, *T. reesei* genome is also enriched with chitinases from GH18 family. There are 19 putative and one characterized chitinase in the genome (Häkkinen et al. [2012\)](#page-425-0). Ike et al. [\(2006](#page-425-0)) identifed and characterized Chi46 chitinase from *T. reesei* PC-3-7 strain by heterologous expression in *E. coli*. The recombinant enzyme exhibited an exochitinase activity toward colloidal chitin and endochitinase activity toward chitosan 7B and N-acetylchitooligosaccharides. Chitinase-encoding genes, such as *chit33*, *chit37*, and *chi42* from *T. harzianum* and *chit36* and *chi42* from *T. asperellum*, were also among the characterized ones (de la Cruz et al. [1992;](#page-423-0) Viterbo et al. [2002](#page-432-0); Steyaert et al. [2004](#page-431-0); Boer et al. [2007\)](#page-422-0). An antifungal chitinase, CHIT46 from *T. harzianum* GIM3.442, heterologously expressed in *P. pastoris,* was reported for use in colloidal chitin conversion (Deng et al. [2019\)](#page-423-0). Some of the chitinases from GH18 were shown to exhibit antifungal activity and could be used as biotechnological agents for biocontrol against pathogenic fungi (Lienemann et al. [2009](#page-427-0); Wu et al. [2013\)](#page-433-0). All of the aforementioned enzymes and their orthologs in other *Trichoderma* species could be good candidates for industrial applications in said areas.

#### (ii) **Glycosyltransferases (GT)**

Glycosyltransferases are a family of enzymes with the ability of transferring glycosyl residues from a specifc donor to an acceptor (Lairson et al. [2008\)](#page-427-0). Therefore, they are involved in anabolism and catabolism of a variety of biological molecules, such as carbohydrates, antibiotics, and glycan-containing structures such as glycolipids, glycoproteins, and proteo- and peptidoglycans. They also play important roles in the biosynthesis and modeling of the fungal cell walls (Klutts et al. [2006\)](#page-426-0). GTs are the second largest family of CAZymes represented in the *Trichoderma* genomes, forming almost 20% of the CAZymes (Fig. [1\)](#page-401-0). *T. longibrachiatum* genomes contain a higher number of GTs than other species. *T. reesei* genome was shown to possess 103 GTs, which is very close to the average number observed among *Sordariomycetes* (Martinez et al. [2008\)](#page-428-0). A study of the genomic regions of the *T. harzianum* IOC-3844 strain based on assembled BAC sequences and RNA-Seq analysis revealed three GTs –  $\alpha$ -mannosyltransferase (GT71), GnT-III/β-1,4-N-acetylglucosaminyltransferase III (GT17), and a candidate  $\beta$ -xylosyltransferase (GT90) – involved in the biosynthesis of fungal cell wall. GT71 was also reported to be co-induced with a cellulase gene (Crucello et al. [2015\)](#page-423-0).

Although there are few studies on the characterization of glycosyltransferases that belong to *Trichoderma* spp., recent in silico comparative genomic studies underline their numbers and diversity. In a recent study (Nauom et al. [2019](#page-428-0)), a sixhairpin glycosidase-like glycosyltransferase enzyme has been identifed from the enriched secretome of *T. harzianum* after interacting with the cell walls of *Sclerotinia sclerotiorum* and *Fusarium oxysporum.* Currently, due to the limited research and literature, this family of enzymes is being underestimated. However, their further

Enzyme	CAZy family	References
EGI-CEL7B	GH7	Mitsuishi et al. (1990), Saloheimo et al. (1997)
EGII-CEL5A	GH5	Medve et al. (1998)
EGIII-CEL12A	GH12	Saloheimo et al. (1988)
EGIV-CEL61A	GH61	Saloheimo et al. (1997)
EGV-CEL45A	GH45	Saloheimo et al. (1994)
CEL5B <sup>a</sup>	GH <sub>5</sub>	Foreman et al. (2003)
CEL61B <sup>a</sup>	GH61	
CEL74A <sup>a</sup>	<b>GH74</b>	
CBHI-CEL7A	GH7	Shoemaker et al. (1983; Mitsuishi et al. (1990)
CBHII-CEL6A	GH <sub>6</sub>	Penttilä et al. (1988), Koivula et al. (1996)
<b>BGLI-CEL3A</b>	GH <sub>3</sub>	Fowler and Brown (1992)
<b>BGLII-CEL1A</b>	GH <sub>1</sub>	Takashima et al. (1999)
CELL1B <sup>a</sup>	GH <sub>1</sub>	Foreman et al. $(2003)$
CEL3B <sup>a</sup>	GH <sub>3</sub>	
CEL3C <sup>a</sup>		
CEL3D <sup>a</sup>		
CEL3E <sup>a</sup>		
CEL3G <sup>a</sup>		Zou et al. (2018)
XYNI	GH11	Biely et al. (1994), Törrönen and Rouvinen (1995)
XYNII	GH11	Törrönen and Rouvinen (1995), Jänis et al. (2001)
XYNIII	GH10	Ogasawara et al. (2006)
XYNIV	GH <sub>30</sub>	Tenkanen et al. (2013)
<b>XYNV</b> <sup>a</sup>	GH11	Metz et al. (2011)
BXLI	GH <sub>3</sub>	Drouet et al. (1994)
MANI	GH <sub>5</sub>	Stålbrand et al. (1995)
AXEI	CE5	Zhang et al. (2011a)
AXEII <sup>a</sup>	CE <sub>5</sub>	Foreman et al. (2003)
<b>GLRI</b>	GH <sub>67</sub>	Margolles-Clark et al. (1996a, b)
ABFI	GH54	Margolles-Clark et al. (1996c)
<b>ABFII</b> <sup>a</sup>	GH <sub>62</sub>	(Foreman et al. 2003)
<b>ABFIII<sup>a</sup></b>	GH54	Herpoël-Gimbert et al. (2008)
AGLI	GH <sub>27</sub>	Margolles-Clark et al. (1996b)
AGLII	GH36	
<b>AGLIII</b>	GH <sub>27</sub>	
AESI	<b>CE16</b>	Li et al. (2008), Puchart et al. (2016)
$AES^a$	CE <sub>16</sub>	Häkkinen et al. (2012)

<span id="page-403-0"></span>**Table 1** Cellulases and hemicellulases of *Trichoderma reesei* (Häkkinen et al. [2012](#page-425-0))

a Putative

characterization and analysis may allow for their effective application in biocontrol against pathogenic fungi.

#### (iii) **Polysaccharide Lyases (PL)**

Polysaccharide lyases are a family of enzymes that use a β-elimination mechanism to cleave polysaccharides rather than hydrolysis (Lombard et al. [2010\)](#page-427-0). Anionic polysaccharides are cleaved by lyase enzymes. Polyuronates, such as alginate, pectin, glucuronan, xanthan, and hyaluronan, are degraded by corresponding lyase enzymes. Polyuronates form important components of the cell wall and extracellular polysaccharides. This group of enzymes has an important function in microbial plant invasion or degradation. Catalytic action of these enzymes results in plant tissue maceration, cellular lysis, and cell wall modifcations, thereby increasing the accessibility of the plant biomass for other enzymes (Lombard et al. [2010;](#page-427-0) Atanasova et al. [2018](#page-421-0)). *Trichoderma* spp. harbors four to eight polysaccharide lyases. Within the CAZymes, PLs are the least represented enzymes. There are eight PLs in the *T. atroviride* genome (Fig. [1\)](#page-401-0).

There are a limited number of PLs of *Trichoderma* species that have been functionally characterized. Glucuronan lyase enzyme from *Trichoderma* sp*.* isolated from compost was previously shown to be induced by fermentation on cellouronate  $(\beta-(1\rightarrow 4)$ -polyglucuronate) as the sole carbon source (Delattre et al. [2006\)](#page-423-0). A similar PL from *T. reesei,* glucuronan lyase belongs to PL20 family and was heterologously expressed in the methylotrophic yeast *Pichia pastoris* (Konno et al. [2009a\)](#page-426-0). The enzyme represented a novel subclass of PLs and exhibited  $Ca^{2+}$ -dependent enzyme activity similar to other pectate lyases. The enzyme performed the endolytic depolymerization of the cellouronate substrate through β-elimination. Crystal structure of this enzyme was also resolved to 1.8 A°, representing the frst resolved PL structure from *Trichoderma* (Konno et al. [2009b\)](#page-426-0)*.* Other identifed *T. reesei* putative PLs include two PL7 alginate lyases, one PL8 chondroitin lyase, and a PL20 endo-β-1,4-glucuronan lyase (Häkkinen et al. [2012\)](#page-425-0).

#### (iv) **Carbohydrate Esterases (CE)**

Carbohydrate esterase families make up at most 4% of the CAZymes in published *Trichoderma* genomes (Fig. [1](#page-401-0)). As indicated in Fig. [1](#page-401-0), *T. asperelloides* TR356 and *T. harzianum* possess the highest number of CE genes (21) in their genomes, whereas *T. atroviride* P1 only has eight CEs. Häkkinen and coworkers performed re-annotation of the genes encoding for CAZymes of *T. reesei* by performing transcription in the presence of lignocellulosic substrates. Their analysis revealed a total of 22 carbohydrate esterases belonging to CE1, CE3, CE4, CE5, CE9, CE14, CE15, and CE16 families with S-formylglutathione hydrolase, esterase, acetyl xylan esterase, esterase/suberinase, chitin deacetylase, imidase, cutinase, acetyl xylan esterase, N-acetyl-glucosamine-6-phosphate deacetylase,

N-acetylglucosaminylphosphatidylinositol de-N-acetylase, glucuronoyl esterase, and acetyl esterase activities. Only two of the CEs were studied and characterized. These were AXEI (Zhang et al. [2011a](#page-433-0)) and AESI (Li et al. [2008](#page-427-0); Puchart et al. [2016\)](#page-430-0), which function synergistically during the degradation of lignocellulose with the GH family cellulases and other hemicellulases (Table [1\)](#page-403-0). *T. reesei* AXEI is also known to possess a carbohydrate-binding module. However, the crystal structure was determined at 1.9 A° resolution only for the catalytic domain (Hakulinen et al. [2000\)](#page-425-0). A more recent study by Ferreira Filho et al. ([2017\)](#page-424-0) revealed the presence of 22 CEs in the *T. harzianum* genome by RNA-Seq analysis. These families of carbohydrate esterases could fnd potential industrial use in the degradation and modifcation of recalcitrant materials.

### (v) **Carbohydrate-Binding Modules (CBM)**

Although CBMs are not enzymes by themselves, they are found linked to the catalytic domains of a diversity of CAZymes and function in substrate binding and aid catalytic domains during catalysis. CBMs of *Trichoderma* cellulases belonging to CBM1 family aid in binding of the enzyme to the cellulosic substrate (Reinikainen et al. [1992](#page-430-0)). CBM1 family are generally known to exhibit a preference for crystalline cellulose and increase the hydrolytic activity of their corresponding enzymes on less soluble cellulosic substrates (Bayer et al. [1998](#page-422-0); Seiboth et al. [2011\)](#page-430-0). The generally accepted paradigm for CBMs postulates that CBMs act by increasing the effective concentration of the enzyme on the polysaccharide substrate surface, thereby helping the catalytic domain to come close proximity with the substrate (Várnai et al. [2013\)](#page-432-0).

*T. atroviride* and *T. harzianum* genomes are enriched in CBMs as seen in Fig. [1.](#page-401-0) They have more than a hundred CBMs. *T. reesei* GHs possess 25 CBM-containing enzymes induced by lignocellulose that belong to CBM1, CBM18, CBM24, CBM42, and CBM43 families (Häkkinen et al. [2012](#page-425-0)). The predicted number of CBMs is around 55 in the *T. reesei* published genomes. In a recent study, 46 CBMs were identifed in *T. harzianum* via RNA-Seq analysis (Ferreira Filho et al. [2017\)](#page-424-0).

CBMs, due to their high affnity for cellulosic substrates, have a potential to be used as cost-effective and effcient affnity purifcation tags. For example, a bacterial CBM (CBM2a) was used effectively for this purpose in fusion to protein A from *Staphylococcus aureus* for purifcation on Avicel PH101 (Rodriguez et al. [2004\)](#page-430-0). The same CBM2a was also successfully used to purify well-known deglycosylation enzymes through the construction of CBM-EndoF1 and CBM-PNGaseF enzyme fusions (Kwan et al. [2005](#page-426-0)). Similarly, Sugimoto and coworkers constructed various fungal CBM1-red fuorescent protein (RFP) fusions and exhibited that CBM1-RFP fusion using CBM of CBHI (CEL7A) of *T. reesei* was expressed with high efficiency and fnally the recovery rate of the purifcation on cellulose column was more than 80% (Sugimoto et al. [2012\)](#page-431-0). Bayram Akcapinar and coworkers expressed codon-optimized and non-optimized EGI (CEL7B) from *T. reesei* in *P. pastoris* and exploited the presence of the natural CBM for purifcation on regenerated amorphous cellulose (Akcapinar et al. [2011\)](#page-421-0).

These studies show that various CBMs, based on their attachment to diverse substrates, could serve as cost-effective, effcient, and highly scalable industrial purifcation tags.

## <span id="page-406-0"></span>*3.2 Small Secreted Cysteine-Rich Protein Family*

Fungi have a diversity of small secreted cysteine-rich protein (SSCP)-encoding genes, characterized by distinct cysteine motifs and cysteine content in their genomes. This family of proteins is postulated to be involved in a series of processes that govern the communication between the fungi and its immediate environment and also with other organisms. Three prominent subfamilies standing out in this group are hydrophobins (HFBs) (PF06766), cysteine-rich secreted proteins (CSPs) (PF00188), and cerato-platanins (CPs) (PF07249). An analysis of the 24 *Trichoderma* genomes based on the PFAM codes of these protein families reveals that hydrophobins are present and enriched in most of the *Trichoderma* species (Fig. [2](#page-407-0)). *T. atroviride* strains have the highest number of HFBs. Kubicek et al. [\(2019](#page-426-0)) performed further analysis of 12 commonly found *Trichoderma* spp. genomes for the presence of small secreted cysteine-rich proteins and revealed that 27 to 125 different SSCPs are present in these genomes. Each of these *Trichoderma* species genomes harbored three cerato-platanins, seven to 12 class II HFBs, and two to three pseudo-class I HFBs. They have also indicated that the variation in the numbers and diversity of this family is species specifc.

Fungal hydrophobins are surface-active proteins belonging to small secreted cysteine-rich protein family. They are classifed into at least two main classes (class I and class II) based on the solubility of their aggregates, cysteine spacing patterns, and hydropathy profles (Nakari-Setälä et al. [1996](#page-428-0); Linder et al. [2001](#page-427-0); Przylucka et al. [2017a, b](#page-429-0)). Recent fndings suggested that there are hydrophobins which do not ft into either classes (Jensen et al. [2010](#page-425-0); Littlejohn et al. [2012\)](#page-427-0). They have eight conserved cysteine residues forming four disulfde bridges, thereby giving these proteins their character and unusual stability. They exhibit affnity toward both hydrophobic and hydrophilic surfaces since they have an amphiphilic structure and reverse the surface properties. Hydrophobins coat the fungal mycelium and fungal spores. These proteins are thought to play important functions during the fungal life and in a range of host-pathogen interactions (Whiteford and Spanu [2002;](#page-433-0) Bayry et al. [2012;](#page-422-0) Guzmán-Guzmán et al. [2017;](#page-424-0) Cai et al. [2020\)](#page-422-0). Class I hydrophobin, RodA of the human opportunistic pathogen *Aspergillus fumigatus*, is the main protein present on the fungal spores and acts as a Trojan horse by shielding the sugars on the spore surface from recognition by the human immune system, thereby aiding the pathogenesis (de Carrion et al. [2013\)](#page-423-0).

Exploiting these features, recent studies reported application of hydrophobins in a variety of areas, such as in the coating of biomedical implants (Devine et al. [2019\)](#page-423-0), in drug formulations (Haas Jimoh Akanbi et al. [2010\)](#page-424-0), as coating layers for fbroblast activation (Janssen et al. [2004\)](#page-425-0), and as coating layers for protein immobilization (Qin et al. [2007\)](#page-430-0). Anticancer activity of a class I hydrophobin, SC3 from *Schizophyllum commune*, was shown in sarcoma and melanoma mouse models. Fungal hydrophobin caused a signifcant decrease in the size and weight of the melanoma. A microscopic analysis of the tumors indicated a strong antitumor effect

<span id="page-407-0"></span>

**Fig. 2** Diversity and number of small secreted cysteine-rich protein (SSCP) families in 24 *Trichoderma* genomes from the genomic analysis data in Joint Genome Institute (JGI) ([https://](https://mycocosm.jgi.doe.gov/Trichoderma/Trichoderma.info.html) [mycocosm.jgi.doe.gov/Trichoderma/Trichoderma.info.html](https://mycocosm.jgi.doe.gov/Trichoderma/Trichoderma.info.html)), accession date: 21.01.2021). FHFB, fungal hydrophobins (PF06766); CSP, cysteine-rich secreted proteins (PF00188); CP, ceratoplatanins (PF07249). Coloring indicates the number of genes in each family represented in each genome. [*Trichoderma arundinaceum* IBT 40837 (Triaru1), *Trichoderma asperelloides* TR356 (Trias1), *Trichoderma asperellum* CBS 433.97 (Triasp1), *Trichoderma atrobrunneum* ITEM 908 (Triat2), *Trichoderma atroviride* B10 (Triatr1), F7 (Triatro1) and P1 (Triatrob1), *Trichoderma atroviride* v2.0 (Triatrov1), *Trichoderma brevicompactum* IBT40841 (Tribre1), *Trichoderma citrinoviride* TUCIM 6016 (Trici4), *Trichoderma gamsii* T6085 (Trigam1), *Trichoderma* spp. NJAU 4742 (Trigui1), *Trichoderma hamatum* GD12 (Triham1), *Trichoderma harzianum* CBS 226.95 (Triha1), *Trichoderma* spp. M10 (TriharM10\_1), *Trichoderma afroharzianum* T22 (TriharT22\_1), *Trichoderma harzianum* TR274 (Trihar1), *Trichoderma longibrachiatum* ATCC 18648 (Trilo3), *Trichoderma longibrachiatum* MK1 (Trilon1), *Trichoderma parareesei* CBS 125925 (Tripar1), *Trichoderma* spp. TPhu1 (Triple1), *Trichoderma reesei* QM6a (Trire2), *Trichoderma reesei* RUT C-30 (TrireRUTC30\_1), *Trichoderma virens* Gv29–8 (TriviGv29\_8\_2) (Cai and Druzhinina [2021\)](#page-422-0)]

on both tumors, possibly through an immunomodulation mechanism (Akanbi et al. [2013\)](#page-421-0).

A recent study reported the use of a fungal HFB (HGFI) for the modifcation of a fat-soluble drug, menaquinone-7 (Tang et al. [2021\)](#page-431-0). HFB-modifed menaquinone-7 was shown to signifcantly promote osteoblast differentiation. Osteoclast differentiation was shown to be inhibited. Zhao et al. [\(2016](#page-433-0)) improved the serum stability and in vivo half-life of glucagon-like peptide-1 (GLP-1) by using recombinant HGFI and its mutant produced in *P. pastoris* as drug carriers. The mutant hydrophobin was designed as a controlled pH drug-release system for GLP-1 as a drug candidate for type II diabetes. HFBI-coated niosomes were prepared as an alternative

<span id="page-408-0"></span>to PEG coating for the delivery of doxorubicin to cancer cell lines. Niosomes coated with HFB were shown to exhibit better size distribution, higher entrapment efficiency, more sustained release profle, enhanced biocompatibility, and improved anticancer effects in comparison to PEG coating (Barani et al. [2020\)](#page-422-0).

HFBs were found to enhance cutinase activity (Espino-Rammer et al. [2013\)](#page-424-0). To this end, recombinantly produced HFB4 and HFB7 from *T. virens* were successfully used to modify PET and glass surfaces (Przylucka et al. [2017a\)](#page-429-0). Moreover, a HFBcutinase fusion protein was constructed to improve the depolymerization and recycling of PET (Ribitsch et al. [2015\)](#page-430-0). One of the well-studied fungal hydrophobins of *T. reesei* HFBs was used as fusion tags for purifying recombinant proteins by aqueous two-phase separation (Linder et al. [2004\)](#page-427-0).

Cerato-platanins are important fungal effector proteins. They are believed to function in the fungal interactions. They are involved in interaction with plants by eliciting plant resistance reactions (Seidl et al. [2006](#page-430-0)). EPL1 from the industrious biocontrol fungi *T. harzianum* was shown to be involved in mycoparasitism against a phytopathogenic fungi, plant resistance induction, and self-cell wall protection (Gomes et al. [2015\)](#page-424-0).

Recently, a new family of small secreted cysteine-rich proteins, called hyphosphere (HFS) proteins, encoded by *hfs1, hfs2,* and *hfs3* genes and two novel hydrophobins encoded by *hfb11* and *hfb12* genes were identifed by genome mining of *T. guizhouense* (Zhao et al. [2021](#page-433-0)). Similar to other SSCPs, these larger-sized HFS proteins exhibited their unique pattern of eight single cysteine residues (C-CXXXC-C-C-C-C). Of these families, HFS1 and HFB12 proteins were heterologously produced in *Pichia pastoris* and shown to be surface active. Moreover, addition of the recombinantly produced HFS1 to the glass wool improved the attachment of the *T. guizhouense* strain. However, addition of HFS1 to the roots of *Solanum lycopersicum* (tomato) seedlings exhibited a reverse pattern, indicating a possible role in root colonization.

These unusual and remarkable abovementioned properties render the small secreted cysteine-rich protein family as an ideal candidate for a diversity of industrial, agricultural, and therapeutic applications.

## *3.3 Genes Involved in Bioactive Secondary Metabolite Synthesis*

Fungi are notorious producers of a large repertoire of secondary metabolites (SMs), which are also termed natural products, that are not directly required for growth yet have critical roles in signaling, development, and interaction with other organisms, and in some cases they are even vital for survival (Mukherjee et al. [2012b](#page-428-0); Brakhage [2013;](#page-422-0) Zeilinger et al. [2016\)](#page-433-0). Fungal SMs, nonpolar small molecules with low molecular mass, are important for humans due to their potential to be applied as novel and innovative therapeutic agents (Degenkolb et al. [2008\)](#page-423-0).

<span id="page-409-0"></span>As a result of their huge potential to produce an array of SMs, *Trichoderma* species are well-known as the most potent biocontrol agents in use today (Khan et al. [2020\)](#page-426-0). Not only SMs produced by *Trichoderma* have benefcial effects on crop plants, but they also have antagonistic effects against numerous bacteria, yeast, and fungi. *Trichoderma*-derived SMs are used as commercial biofungicides. In addition, some secondary metabolites demonstrate good therapeutic agent properties, and therefore, they are potential drug candidates (Reino et al. [2008;](#page-430-0) Khan et al. [2020](#page-426-0)).

The biosynthesis of fungal SMs is performed by unique biochemical pathways using the primary metabolite pool, such as acetyl-CoA, mevalonate, and amino acids (Keller [2019](#page-426-0)). Unlike the genes required for the synthesis of primary metabolites, the genes encoding the enzymes required for the synthesis of SMs are located in clusters that are not expressed ubiquitously under standard laboratory conditions (Brakhage and Schroeckh [2011](#page-422-0); Zeilinger et al. [2016\)](#page-433-0). These gene clusters consist of polyketide synthases (PKSs), non-ribosomal peptide synthases (NRPSs), terpene synthases (TCs), and PKS-NPRS that generate hybrid metabolites (Kubicek et al. [2011\)](#page-426-0). Numbers of core secondary metabolism-related genes in the *Trichoderma* genomes are presented in Fig. 3. (*Trichoderma* genome cluster information was taken from JGI and National Center for Biotechnology Information (NCBI) databases) (Date of access 21.01.2021).



**Fig. 3** Number and distribution of secondary metabolite encoding gene families over 24 *Trichoderma* genomes based on the genomic analysis data in Joint Genome Institute (JGI) ([https://](https://mycocosm.jgi.doe.gov/Trichoderma/Trichoderma.info.html) [mycocosm.jgi.doe.gov/Trichoderma/Trichoderma.info.html](https://mycocosm.jgi.doe.gov/Trichoderma/Trichoderma.info.html), accession date: 21.01.2021). *DMAT* tryptophan dimethylallyltransferase, *NRPS*, non-ribosomal peptide synthetase, *PKS* polyketide synthase, *TC* terpene cyclase. Coloring indicates the number of genes in each family represented in each genome

#### (i) **Polyketide Synthase**

Polyketides constitute a large group of important secondary metabolites that exhibit signifcant multiplicity with both their structures and functions (Risdian et al. [2019\)](#page-430-0). They exert a diverse range of bioactivities, such as antibacterial (e.g., rapamycin, tetracycline), antifungal (e.g., afatoxin B1, fusaric acid), anticancer (e.g., doxorubicin), and anticholesterol (e.g., lovastatin, compactin) (Zeilinger et al. [2016;](#page-433-0) Risdian et al. [2019\)](#page-430-0). These exceptional properties make them clinically important. Moreover, some organisms such as bacteria, fungi, plants, and insects use polyketides as protective compounds, while some insects use it for pheromonal communication (Pankewitz and Hilker [2008](#page-429-0); Khosla [2009;](#page-426-0) Mukherjee et al. [2012b\)](#page-428-0).

Biosynthesis of polyketides is a very complex process because they are synthesized from simple units, such as acetyl-CoA and malonyl-CoA, by multifunctional enzymes called polyketide synthases (PKSs). The process requires a multitude of enzymatic reactions performed by an acyl transferase (AT), a ketoacyl synthase (KS), and a phosphopantetheine attachment site domain (Keller et al. [2005;](#page-426-0) Mukherjee et al. [2012b\)](#page-428-0).

Although *Trichoderma* genomes are rich in PKS-encoding genes, there are limited genomic studies reported. When the frst genome sequence of the symbiotic fungus *T. reesei* was published, it was seen to contain two NRPS-PKS hybrid coding genes and 11 PKS genes. *T. atroviride* and *T. virens* each encode 18 PKS genes. Phylogenetic analysis of the PKS genes of *T. reesei, T. virens*, and *T. atroviride* revealed that nine of the *T. reesei* PKS-encoding genes are orthologous to *T. virens'* and most of the PKS genes are reported to belong to lovastatin/citrine or fumonisins (Martinez et al. [2008;](#page-428-0) Kubicek et al. [2011;](#page-426-0) Baker et al. [2012\)](#page-421-0). Phylogenetic analysis of PKS-encoding genes also revealed that these genes are responsible for *Trichoderma* yellow-green pigment. Most of the PKS-encoding genes found in *T. reesei* were also found in *T. virens* and *T. atroviride*, but approximately half of these genes are reported as recent additions to these two species from evolutionary perspective. It is suggested that these genes were passed on through recombination. The contribution of horizontal gene transfer (HGT) to the evolution of PKSencoding gene is still under debate. Although there is evidence of HGT of modular PKS genes among bacteria, there is little evidence for HGT with fungi PKS genes (Ridley et al. [2008](#page-430-0); Baker et al. [2012\)](#page-421-0).

Tajima's D test was used to understand whether the PKS-encoding genes are products of HGT or another evolutionary mechanism. It was reported that PKSencoding genes of *Trichoderma* evolve under purifying selection and divided into fve clades: (a) nonreducing PKS clades I and II, (b) nonreducing PKS clade III, (c) reducing PKS clade I and II (lovastatin type), (d) reducing PKS clade III (fumonisin type), and (e) a small clade that occupied a basal position to the reducing PKS clades (Tajima [1989;](#page-431-0) Baker et al. [2012](#page-421-0)).

A few fungal SMs are produced by PKS-NPRS/NPRS-PKS hybrid enzymes. Hybrid enzyme-derived chimeric metabolites, referred to as lipopeptides, are generally known to be bioactive (Fisch [2013](#page-424-0); Keller [2019](#page-426-0)). These hybrids, neglected by phylogenetic analysis studies, are thought to be generated by means of gene

duplications, gene loss, or HGT events (Theobald et al. [2019](#page-432-0)). The number and distribution of hybrid genes in the *Trichoderma* genomes are presented in Fig. [3](#page-409-0). According to the functional analyzed results of *T. virens* NPRS-PKS hybrid enzyme TEX13, it has been reported that TEX13 causes the induction of the *pal* gene that was involved in defense during *Trichoderma*-plant interactions (Mukherjee et al. [2012a](#page-428-0)).

#### (ii) **Non-ribosomal Peptide Synthase**

Non-ribosomal peptide synthases (NRPSs) are multimodal enzymes that synthesize non-ribosomal peptides, which are economically and ecologically important secondary metabolites of bacterial or fungal origin. Unlike peptides that are ribosomally synthesized and have posttranslational modifcation, NRPs contain modifed versions of both proteinogenic (e.g., methylated or hydroxylated amino acids and D-forms) and non-proteinogenic (e.g., isovaline) amino acids. Produced peptides could be either linear or cyclic. These metabolites also exhibit a wide range of biological properties, such as antimicrobial (e.g., penicillins), antifungal (e.g., echinocandin), anticancer (e.g., terrequinone), and immunosuppressive (e.g., cyclosporine A) (Keller et al. [2005](#page-426-0); Strieker et al. [2010\)](#page-431-0). The main groups of NRPs from *Trichoderma* spp. are peptaibiotics, epidithiodioxopiperazines (ETPs), and siderophores (Zeilinger et al. [2016](#page-433-0)).

#### **Peptaibiotics**

Peptaibiotics are mostly linear peptides composed of four to 21 residues and molecular weight ranging from 500 to 2100 Da. According to their chemical structures, they are divided into six subgroups: peptaibols, lipopeptaibols, lipoaminopeptides, cyclic peptaibiotics, other peptaibiotics, and all Aib-replaced peptides (Stoppacher et al. [2013;](#page-431-0) Zeilinger et al. [2016](#page-433-0)).

Peptaibols, major class of peptaibiotics, are linear peptides with five to 20 amino acid residues produced by peptaibol synthetases, which are characterized by the presence of high levels of nonstandard amino acids (hydroxylated C-terminus and the N-terminal acetylated amino acids) (Tamandegani et al. [2020](#page-431-0)). After the isolation of the frst peptaibols, suzukacillin and alamethicin, interest in these bioactive substances continues to increase and studies continue to develop (Ooka et al. [1966;](#page-429-0) Meyer and Reusser [1967;](#page-428-0) Zeilinger et al. [2016\)](#page-433-0). A number of sequences and structures continue to increase in the peptaibol database hosted by the School of Crystallography, Birkbeck College, University of London, UK [http://peptaibol.](http://peptaibol.cryst.bbk.ac.uk) [cryst.bbk.ac.uk](http://peptaibol.cryst.bbk.ac.uk) (Whitmore and Wallace [2004\)](#page-433-0). Although peptaibols isolated from *Acremonium, Tylopilus, Boletus, Bionectria, Paecilomyces, Emericellopsis, Cephalosporium, Stilbella, Gliocladium,* and *Tolypocladium* species have been reported, *Trichoderma* species are known as major producers of peptaibols (Stoppacher et al. [2013\)](#page-431-0). Peptaibols with antimicrobial activities against bacteria, fungi, and viruses have been reported and their mode of action is largely based on their physical, chemical, and biological features. For example, by creating voltagedependent ion channels in plasma membranes, they increase membrane

permeability and consequently cause cell death by cytoplasmic leakage (Mueller and Rudin [1968;](#page-428-0) Chugh and Wallace [2001](#page-423-0); Tamandegani et al. [2020](#page-431-0)).

NPRSs have modules that recognize, activate, and modify a single residue and add specifc monomers step by step to produce fnal peptide. There are many peptaibol synthetases (7-, 11-, 14-, 18-, 19-, 20-modules) in *Trichoderma* genomes (Mukherjee et al. [2011](#page-428-0); Degenkolb et al. [2012](#page-423-0)). The frst peptaibol from *Trichoderma* species was peptaibol synthetase gene *tex1*, identifed from *T. virens* GV29-8 in 2002 (Wiest et al. [2002;](#page-433-0) Tamandegani et al. [2020](#page-431-0)). Mutagenesis studies showed that this gene was responsible for the production of only 18 amino acid peptaibols because it eliminated all peptaibol isoforms. This gene contains a 62.8-kb continuous open reading frame (ORF) and encodes a mature protein of approximately 2.3 MDa (Wiest et al. [2002](#page-433-0)). Studies revealed that the predicted protein structure includes 18 peptide synthetase modules and multiple NRPS genes are responsible for peptaibol synthesis in *T. virens*. Further studies with *T. virens* exhibited that 14-modules of NPRS were required for the synthesis of shorter peptaibols (11- and 14-residues) (Viterbo et al. [2007](#page-432-0); Mukherjee et al. [2011](#page-428-0)). However, as a result of this study, it was postulated that the 14-module genes should skip three modules in order to be responsible for synthesizing both 11-residue and 14-residue peptaibols, whereas module skipping has not yet been demonstrated (Wenzel et al. [2005,](#page-432-0) [2006;](#page-433-0) Degenkolb et al. [2012\)](#page-423-0).

### **Epipolythiodioxopiperazines**

Epipolythiodioxopiperazines (ETPs) are a poorly studied class of secondary metabolite toxins characterized by the presence of an internal sulfur-bridged dioxopiperazine ring. The toxicity of ETPs arises from the disulfde bridges, inhibiting proteins via cross-linking from cysteine residues and generating reactive oxygen species (ROS), such as superoxide or hydrogen peroxide (Gardiner et al. [2005](#page-424-0); Patron et al. [2007\)](#page-429-0).

There are many ETPs, almost all of which are produced by ascomycetes. Gliotoxin, the best known ETP, gets its name from the fungus *Gliocladium fmbriatum*, from which it was originally identifed. Gliotoxin is also produced by *Aspergillus fumigatus*, *Aspergillus terreus, Aspergillus favus, Aspergillus niger, Penicillium terlikowskii,* and *T. virens* (Gardiner et al. [2005](#page-424-0); Patron et al. [2007\)](#page-429-0)*.* Gliotoxins display bioactivity against the human pathogenic fungus *Aspergillus fumigatus*. Gliotoxins produced by *T. virens* also play an important role in the biocontrol of plant pathogenic fungi (Howell [2006](#page-425-0); Scharf et al. [2016\)](#page-430-0). The strain of *T. virens* called the "Q strain" produces gliotoxin. The "P strains" of *T. virens* produce another ETP, gliovirin. Gliovirin produced by "P" strains acts as a protoplasm coagulation factor against oomycetes, such as *Pythium ultimum,* and is also reported to inhibit growth of *Phytophthora palmivora* and *Phytophthora megakarya* (Howell and Stipanovic [1983;](#page-425-0) Mukherjee et al. [2012b;](#page-428-0) Pakora et al. [2018](#page-429-0)). Since its discovery in 1982, 83 molecules of the gliovirin family have been reported (Howell and Stipanovic [1983;](#page-425-0) Zhu et al. [2020\)](#page-433-0).

Although it was discovered owing to its antibacterial/antifungal effect, its powerful effect on cell lines also attracted the attention of researchers*.* Experiments with mammalian cell lines have revealed that gliotoxin causes both apoptotic and necrotic cell death by causing mitochondrial membrane damage through the production of ROS (Vigushin et al. [2004\)](#page-432-0) . In another study, it has been shown that gliotoxin (>50 mM) causes necrosis through the redox-sensitive calcium channel in the plasma membrane of murine thymocytes (Hurne et al. [2002](#page-425-0)). Similar to gliotoxin, the effects of gliovirin in mammalian cells have been studied. In a study with mammalian cell lines, it was revealed that gliovirin inhibited expression of the proinfammatory cytokines tumor necrosis factor-α (TNF-α) and interleukin-2 (IL-2) with a number of signal transduction pathways, leading to nuclear factor kappa B (NF-kB) activation. So, gliovirin is a candidate anticancer drug (Rether et al. [2007\)](#page-430-0).

When *Aspergillus fumigatus'* entire genome was sequenced in 2005, the genes responsible for gliotoxin biosynthesis were also identifed (Gardiner and Howlett [2005;](#page-424-0) Nierman et al. [2005\)](#page-429-0). Like other secondary metabolites, the genes responsible for gliotoxin biosynthesis are found in clusters. This cluster includes 12 genes, such as core enzyme GliP (NRPS dioxopiperazine synthetase), helper biosynthetic enzymes, and zinc fnger transcription factor *gliZ* (Gardiner et al. [2005](#page-424-0); Scharf et al. [2016\)](#page-430-0). The gene cluster of *T. virens* consists of only eight genes, closely related to the gene cluster of *Aspergillus fumigatus*. By creating mutations in the *gliP* locus of *T. virens*, this cluster has been shown to be responsible for the production of gliotoxin. These mutants grow faster, and they are more sensitive to oxidative stress. It has also been reported that these mutants that did not produce gliotoxin have shown less activity against *Pythium ultimum* (Vargas et al. [2014](#page-432-0); Scharf et al. [2016\)](#page-430-0). Interestingly, *T. reesei* does not produce gliotoxin, although it harbors six genes of the GliP and the Gli cluster. The smaller cluster of the *T. reesei* missing other genes may explain why this species does not produce gliotoxin (Mukherjee et al. [2012b\)](#page-428-0). In addition to the GliP cluster, the *T. virens* genome also has the SirP gene cluster similar to the gene responsible for the biosynthesis of the phytotoxin sirodesmin PL in the phytopathogen *Leptosphaeria maculans*. Metabolites associated with this cluster remain unclear because they cannot be expressed under standard laboratory conditions (Patron et al. [2007\)](#page-429-0).

#### **Siderophores**

Iron is an essential element necessary for the survival of organisms. This element is critical for cellular enzyme activities (e.g., DNA replication enzymes). Microorganisms, including viruses, bacteria, and fungi, have different iron uptake/ use mechanisms to support their ability to uptake/use iron (Wallner et al. [2009;](#page-432-0) Chhabra et al. [2020](#page-423-0)). In fungi, the two most common mechanisms for iron uptake including (1) reductive iron assimilation (RIA) and (2) non-reductive (siderophoremediated) iron uptake have been described (Howard [2004](#page-425-0)). The RIA mechanism involves reduction of ferric iron to the ferrous form via ferrireductases. Most fungi use siderophore-mediated iron uptake mechanism that transports iron-bound siderophore complexes via transmembrane transporters (Philpott [2006](#page-429-0); Bairwa et al. [2017\)](#page-421-0). Siderophores are low-molecular-mass (Mr < 1500) ferric iron-chelating secondary metabolites. They are important in biotrophic interactions with plants and with other microbes (Renshaw et al. [2002](#page-430-0); Wallner et al. [2009\)](#page-432-0). Most of the fungal

siderophores are categorized in two groups: (1) hydroxamates (e.g., coprogens, ferrichromes, and fusarinines) and (2) polycarboxylates (Howard [1999;](#page-425-0) Renshaw et al. [2002\)](#page-430-0). Siderophores are synthesized by NRPS, and they consist of L-ornithine, a non-proteinogenic amino acid (Lehner et al. [2013](#page-427-0)).

*Trichoderma* spp., known as siderophore producers, are used in the biocontrol of plant pathogenic fungi (Benítez et al. [2004](#page-422-0)). Anke et al. used nine *Trichoderma*  strains and identifed siderophore coprogen, coprogen B, ferricrosin and fusgene type and coprogen, ferricrosin and palmitoylcoprogen from micelle (Anke et al. [1991\)](#page-421-0). Other studies have reported the presence of cis- and trans-fusarinine, dimerum acid, ferrichrome C, fusarinine B, fusigen (fusarinine C), and Nα-dimethylisoneocoprogen II in *Trichoderma* spp*.* (Lehner et al. [2013](#page-427-0))*. T. reesei, T. virens, and T. atrovirideTrichoderma atroviride (T. atroviride)* whole genome sequencing results revealed that all three *Trichoderma* spp. have a single ferricrosin synthesis gene belonging to the secondary metabolite gene cluster (Kubicek et al. [2011\)](#page-426-0). Studies suggest that this gene is indeed involved in ferricrosin synthesis and protection against oxidative stress in *T. virensTrichoderma virens (T. virens)* (Mukherjee et al. [2012b\)](#page-428-0)*. T. virens* and *T. reesei* orthologs (SidD and NPS6) have two putative gene clusters that contain an NRPS as the core member known to play a role in siderophore synthesis, while the *T. atroviride* has only the NPS6 orthologs (Kubicek et al. [2011](#page-426-0)). Importance of the siderophores in microbial competition and biocontrol may continue to be revealed as the number of genetic studies increases.

#### (iii) **Terpenoids**

Terpenoids are various volatile and nonvolatile secondary metabolites synthesized from the fve-carbon isopentyl units, isopentenyl diphosphate (IPP), and its isomer dimethylallyl diphosphates (DMAPP). Despite their remarkable variety, terpenoids are synthesized by terpene synthases (TC)/terpene cyclases (TS) from only a few precursor molecules (Christianson [2008;](#page-423-0) Stoppacher et al. [2010](#page-431-0)). These molecules are ecologically and economically important due to their interspecies and intraspecifc communication and defense properties.

*Trichoderma* spp. have a wide variety of terpenoids that play important roles in the physiology and interaction with other organisms, acting as chemical messengers, structural components of membranes, and inducers of plant defense responses (Bansal and Mukherjee [2016;](#page-422-0) Vicente et al. [2020](#page-432-0)). Numerous terpenoids have been identifed in *Trichoderma* spp., such as volatile terpenes, tetracyclic diterpene harziandione, and sesquiterpenes. Although there are a large number of terpenes isolated from *Trichoderma* species, extensive analyses of TC genes and biosynthetic pathways have not yet been reported. Only a few of the TC members have been functionally characterized. A gene cluster responsible for the biosynthesis of 24 volatile sesquiterpenes in *T. virens* was identifed using mutants. Volatile terpenes from *T. reesei, T. atroviride,* and *T. virens* were identifed in the same study (Mukherjee et al. [2006;](#page-428-0) Crutcher et al. [2013](#page-423-0); Bansal and Mukherjee [2016](#page-422-0)). The Vir cluster consisting of a terpene cyclase, four cytochrome P450s, a monooxygenase, and a major facilitator superfamily (MFS) transporter, which enables the production of unique terpenoids, has been reported to exist only in *T. virens,* not in *T. reesei* and *T. atroviride* (Mukherjee et al. [2006](#page-428-0); Crutcher et al. [2013](#page-423-0))*.* In another study using *T. britannicum hmgR*-silenced mutants, this gene encodes hydroxy-methylglutaryl-CoA reductase (HMGR), and a decrease in antifungal activity was reported against *Rhizoctonia solani* and *Fusarium oxysporum* (Cardoza et al. [2007](#page-422-0))*.* After a comparative analysis of TSs of 21 strains of *Trichoderma* spp*.* and using *T. gamsii* T6085 for expression studies, the researchers reported that they identifed 15 TS groups. Besides, they demonstrated the presence of clade-specifc enzymes (Vicente et al. [2020\)](#page-432-0). Although genome mining studies have the potential to analyze and reveal the entire TC/TS gene family in *Trichoderma* spp., current studies are mostly limited to certain species.

#### (iv) **6-Pentyl Pyrone**

6-Pentyl pyrone (6-PP), the "coconut aroma," compound produced by *Trichoderma* spp*.* has antifungal activity and acts as a plant growth regulator (Vinale et al. [2008](#page-432-0)). Classifed as volatile organic compounds (VOCs), 6-PPs include alcohols, ketones, alkanes, and alkenes (Korpi et al. [2009\)](#page-426-0). The synthesis of fungal VOCs is affected by ambient conditions, such as pH, temperature, and light, and is species specific (Wilkins et al. [2003](#page-433-0)).

While the enzymes and genes involved in the biosynthesis of 6-PP are wellknown in plants, in *Trichoderma* it is still uncertain. However, as a result of comparative genome analysis, a *lipoxygenase* gene (ID 33350) was identifed in *T. atroviride*, which is not found in *T. virens* and *T. reesei*. This gene has been reported to be upregulated during the interaction with *R. solani* (Kubicek et al. [2011;](#page-426-0) Atanasova et al. [2013a\)](#page-421-0). Rubio *et al*. disrupted a transcription factor, *ctf1* gene, by homologous recombination and showed that *ctf1* plays a role in the production of 6-PP and the antifungal activity of *T. harzianum* (Rubio et al. [2009\)](#page-430-0). VOCs produced by *T. asperelloides* PSU-P1 have antifungal activity, promote plant growth, and induce defensive responses in *Arabidopsis thaliana*. Researchers report that cell wall-degrading enzyme, chitinase (CHI), and β-1,3-glucanase (GLU) genes were upregulated in the *A. thaliana* (Phoka et al. [2020](#page-429-0)). Few studies have been reported on these interesting compounds. Further elucidation of the biosynthetic pathways and functional genomic analysis of this group would decipher their distinctive properties similar to other secondary metabolites and allow their practical application.

*Trichoderma* has an enormous potential to produce secondary metabolites, and although it has been known since the 1930s, secondary metabolite pathways, associated genes, and functional studies are still limited. In addition to whole genome sequencing studies, the use of new tools of mining the fungal secondary metabolome (SMURF, MIDDAS, FunGeneClusterS, SeMPI) and metabolomic studies will enable us to reach much more for this species (Keller [2019](#page-426-0)).

# <span id="page-416-0"></span>**4 Computational Approaches to Genome Mining and Industrial Gene Discovery**

Since the revolutionary breakthrough of genome sequencing (Sanger et al. [1977\)](#page-430-0), especially next-generation sequencing (NGS) (Schuster [2008](#page-430-0)), the cost per sequencing a genome has been in a drastically reducing trend over years (van Nimwegen et al. [2016\)](#page-432-0). This enabled researchers and similar R&D-focused entities to produce relatively large amount of data in a relatively smaller time frame with comparably low cost and effort as evident by NCBI Sequence Read Archive (SRA) (Leinonen et al. [2011\)](#page-427-0) database growth (Kodama et al. [2012](#page-426-0)). Due to the high-throughput nature of the sequencing process and worldwide high demand in sequencing (van Dijk et al. [2014\)](#page-432-0), the speed and progress at which manual annotating and biological association of such datum has signifcantly stalled behind the global rate of NGS data yield (Baker [2010](#page-421-0)). This inevitably led researchers to investigate computational approaches to assign biological context to such data by means of annotation, curation, and systemization. Several manually and automatically curated biological databases (Tateno et al. [2002](#page-431-0); Pruitt et al. [2007;](#page-429-0) Benson et al. [2013](#page-422-0); Siva [2008;](#page-431-0) Grigoriev et al. [2012;](#page-424-0) Howe et al. [2020](#page-425-0); Clough and Barrett [2016;](#page-423-0) Parkinson et al. [2007;](#page-429-0) Bateman et al. [2017](#page-422-0); Hunter et al. [2009](#page-425-0); Griffths-Jones et al. [2003;](#page-424-0) Winnenburg et al. [2006;](#page-433-0) Bateman et al. [2004](#page-422-0); Berman et al. [2000;](#page-422-0) Murzin et al. [1995;](#page-428-0) Orengo et al. [1997;](#page-429-0) Cuomo and Birren [2010](#page-423-0)) were created as outcomes of such attempts.

After initial sequencing of complete human genome, several offshoot projects, such as 1000 Genomes (Siva [2008\)](#page-431-0), have been launched in order to systematically utilize NGS and its benefts in several domains. Two particular examples of such projects in this context is the 1000 Fungal Genomes (Grigoriev et al. [2014](#page-424-0)) and the Fungal Genome Initiative (FGI) (Cuomo and Birren [2010\)](#page-423-0). The Fungal Genome Initiative has been initiated by Broad Institute (BROAD) and supported by National Genome Research Institute, the National Science Foundation, the National Institute of Allergy and Infectious Disease, and the US Department of Agriculture. FGI doesn't have any genome data that belongs to *Trichoderma* spp. to this date yet. 1000 Fungal Genomes project has been launched and conducted by JGI and its collaborators. Their results are published under MycoCosm portal ([https://genome.jgi.](https://genome.jgi.doe.gov/portal/) [doe.gov/portal/\)](https://genome.jgi.doe.gov/portal/), which is developed and maintained by JGI. Several fungal species and their sub-strains have been actively researched, and their genomes are sequenced and assembled in order to provide reference genome assemblies to be used for further studies. These efforts provided the sequence content basis on which further annotation and biological association studies could be conducted by researchers. There are currently genome assemblies and their respective gene annotations in MycoCosm for 24 *Trichoderma* strains as described in the previous sections.

Raw sequence information contained in genomes, however, does not constitute any useful knowledge in and of themselves, and therefore, these sequences have to be annotated to assign biologically meaningful information to them. This annotation effort, called as genome annotation, can be classifed into three levels:

- (a) Nucleotide-level annotation in which genetic features, such as gene, open reading frame (ORF), exon, coding region, noncoding region, etc., are labeled.
- (b) Protein-level annotation aims to assign function to the products of genome produced by aforementioned labeled genetic features, such as genes, ORFs, etc.
- (c) Process-level annotation's main goal is to put genes and their functions into biological context with respect to cellular and organismal physiology and environmental interactions (Stein [2001](#page-431-0)).

As the chief aim of nucleotide-level annotation is to discover genes hidden in genome by mining, we will focus on this annotation level throughout this section. That is, we will frst briefy survey how fungal genome annotation is performed and then discuss how genome annotation processes are used operatively to discover novel genes (nucleotide level), to assign functions to genes (protein level), and to provide biological context to these functions regarding industrial applications (process level).

Several institutes such as JGI and BROAD have put decades of effort into fnding genes and annotating proteins in fungal genomes. Out of their experience in doing so, Haridas et al. ([2018\)](#page-425-0) and Haas et al.[\(2011](#page-425-0)) published manuscripts that conceptualize and summarize whole genome annotation process and provide retrospective overviews into the feld. Haridas et al. [\(2018](#page-425-0)) offer a simpler summary and divide fungal genome annotation into three main steps. First is noncoding features in the genome identifed by identifying repeats, transposable elements (TE), transfer RNA (tRNA), small nucleolar RNA (snoRNA), ribosomal RNA (rRNA), and other noncoding RNA (ncRNA). Following this identifcation, gene prediction approaches are applied to determine gene structures and mine genes, aka gene modeling or gene discovery.

There are three main approaches to gene prediction: (i) ab initio gene prediction, which relies on statistical methods without leveraging any prior information; (ii) homology-based gene prediction, which maps genes from previously known organisms based on sequence similarity; and (iii) transcriptome-based gene prediction, which uses transcripts to predict genes via either mapping RNA-Seq reads to genome or mapping RNA-Seq assemblies to genome and build gene models from those aligned transcripts. In practice, a variety of gene predictors from these three categories are applied, and each gene predictor's gene model is regarded as a distinct line of evidence. It is best to inspect these gene models visually and select best models by combining multiple evidence models. A scoring fltering procedure, where every model is evaluated by transcriptome and homology support and the use of tools that select the best model for each locus, is suggested. This step may provide both nucleotide-level and protein-level annotations by modeling gene structures and annotating their proteins. In practice, this gene prediction step constitutes most of the annotation efforts. Lastly, functional annotation of proteins and noncoding genomic features are performed, mostly concurrently. This is performed by means of three general approaches: (i) characterization of protein sequence regions, such as domains, families, etc., (ii) similarity-based search against existing proteins with known functions, and (iii) mapping to functional annotation schemes

<span id="page-418-0"></span>predefned as gene and function classifcation schemes, such as gene ontologies and molecular pathways. Annotating to these classifcation schemes helps toward providing biological context into functional annotations and hence completing annotation to process level. As an exception, mitochondrial gene annotation should be theoretically performed by starting predicting tRNAs since mitochondrial genes transcribed polycistronically and cleaved by tRNAs. However, tools developed for mitochondrial annotation are still in infancy compared to other genomic features, and there still remains a probability of substantial errors due to the methodological insuffciencies and relatively small size of the mitochondrial genome, high levels of length variability of rRNAs, and errors occurring in circular assembly of the mitochondrial genome. On a fnal note, they provide concrete advices on how to check for correctness and evaluate for accuracy of the annotated genomes, and they provide detailed examples and usage of tools with accompanying codes under a procedural narrative. In their earlier work (Grigoriev et al. [2006\)](#page-424-0), Grigoriev and coworkers surveyed the fungal genome annotation feld, discussed achievements and challenges in the feld, described plethora of methods along with their advantages and disadvantages, expanded on fungal gene structure and its challenges in gene prediction, and elaborated on themes, such as pseudogene annotation, annotation with experimental data, validation of gene models, and manual curation. They additionally provided a conceptualized annotation pipeline as a workfow diagram.

## **5 Bioinformatics Resources and Tools**

Briefy mentioned in the beginning of this section, a myriad of databases emerged out as by-products of computational tool development studies and annotation efforts. Moreover, several fungal and *Trichoderma* specifc tools were developed, and resources have been compiled. These databases, resources, and tools are discussed in this section in relation to their application to fungal genomes and *Trichoderma* spp.

Sequence reads resulting from sequencing have to be assembled into comprehensive genomic units, such as contigs, scaffolds, and chromosomes. This is achieved by merging reads in between them in such consecutive order that their starts and ends overlap with each other in order to constitute cohesive units while considering sequencing errors. This so-called fragment assembly problem is aimed to be solved by assemblers that can be categorized as (a) de novo assemblers that assemble fragments from scratch without priory information and guidance and (b) referencebased assemblers that assemble fragments by using a reference assembly as a template. Since the performance comparison between various assemblers are out of scope of this section, Zhang et al. ([2011b\)](#page-433-0) can be referred for such NGS-focused comparison. In addition, a de novo assembly guide with a specifc focus on fungal genomes can be found in Haridas et al. ([2011\)](#page-425-0). Most widely used assemblers include Abyss (Simpson et al. [2009](#page-431-0)), SOAPdenovo2 (Luo et al. [2012](#page-427-0)), SPAdes (Bankevich et al. [2012\)](#page-422-0), and Velvet (Zerbino and Birney [2008\)](#page-433-0) for NGS. Canu (Koren et al.

[2017\)](#page-426-0) is a worthful and widely used tool to mention, developed to assemble Oxford Nanopore sequencing (ONS) long reads as opposed to short reads of NGS. ONS is a third-generation sequencing (TGS) that is rapidly being adopted, and there are studies refecting on its advantages over short-read sequencing technologies and challenges contained therein (Branton et al. [2009\)](#page-422-0). A survey on genome assembly and genome analyses for TGS can be found in Wee et al. [\(2019](#page-432-0)).

Since different approaches produce different assembly results for the same sequence sets, resulting assemblies must be put through a quality control process to decide which ones are better or to decide whether they are feasible or not. Booker et al. [\(2005](#page-422-0)) provide an iterative framework within which to produce high-quality assemblies and to identify errors and issues during the process which starts from sequencing, whereas Darling et al. ([2011\)](#page-423-0) defned a system to measure assembly quality under several scoring metrics, and O'Neil and Emrich [\(2013](#page-429-0)) investigated which metrics refect real assembly quality for de novo transcriptome assembly. There are also multiple genome assembly assessment and visualization tools and pipelines available to researchers. Some of the widely used and recently developed tools for evaluation include but not limited to QUAST (Gurevich et al. [2013\)](#page-424-0), REAPR (Hunt et al. [2013\)](#page-425-0), GenomeQC (Manchanda et al. [2020](#page-427-0)), SolexaQA (Cox et al. [2010\)](#page-423-0), dnAQET (Yavas et al. [2019](#page-433-0)), Referee (Thomas and Hahn [2019](#page-432-0)), and SQUAT (Yang et al. [2019\)](#page-433-0), which can be used for both pre- and post-assembly quality assessment. Aside from assessment under various quality scoring schemes, BUSCO (Simão et al. [2015](#page-431-0)) is able to assess both genome assembly and annotation completeness based on evaluation of gene content in the genome. Additionally, GMASS (Kwon et al. [2019\)](#page-427-0) is developed to measure similarity between genome assemblies in a structured way as there are multiple assemblies even for same strains or samples. Mapleson et al. ([2015\)](#page-427-0) developed RAMPART, a configurable workflow management system for de novo genome assemblies that guides users on parameters such as assembler selection. Icarus (Mikheenko et al. [2016](#page-428-0)) was developed to visualize assemblies; meanwhile, tools such as QUAST (Gurevich et al. [2013](#page-424-0)) and SQUAT (Yang et al. [2019](#page-433-0)) offer visualization capabilities in addition to assessment. Though these tools can be applied to any kind of organism, FGMP (Cissé and Stajich [2019\)](#page-423-0) has been recently developed particularly to assess fungal genome completeness, which claims to be an especially accurate tool for fungal genomes and could be also useful for non-model organisms without reference genomes.

Gene prediction performed on assembled genomes consists of several steps, and myriads of tools are used at each step, mostly concurrently as outlined previously. RepeatMasker (Tarailo-Graovac and Chen [2009\)](#page-431-0) is used to identify known repeat sequence elements, whereas RepeatScout (Price et al. [2005\)](#page-429-0) and RepeatModeler2 (Flynn et al. [2020\)](#page-424-0) are used to identify novel repeat sequence elements in genomes. tRNAscan-SE (Lowe and Eddy [1997](#page-427-0)) is used to predict tRNAs. snoSeeker (Yang et al. [2006](#page-433-0)) is used to identify snoRNAs. While there are specifc tools developed to predict specifc types of ncRNAs, Infernal (Nawrocki et al. [2009;](#page-429-0) Nawrocki and Eddy [2013](#page-428-0)) can be used as a general method to predict all ncRNAs, which have corresponding covariance models available in RFAM (Griffths-Jones et al. [2003](#page-424-0)) database.

Widely used *ab initio* gene prediction tools include but not limited to GeneMark. hmm (Lukashin and Borodovsky [1998\)](#page-427-0), FGENESH, Augustus (Stanke et al. [2004](#page-431-0), [2008\)](#page-431-0), SNAP (Korf [2004](#page-426-0)), TigrScan, and GlimmerHMM (Majoros et al. [2004](#page-427-0)).

Homology-based gene prediction tools utilize preexisting databases, such as UniRef90 (Bateman et al. [2017\)](#page-422-0), Uniprot/Swiss-Prot (Bateman et al. [2017](#page-422-0)), nonredundant database (nr) of RefSeq (Pruitt et al. [2007\)](#page-429-0), etc., to map sequences to and produce gene models based on homology. GeneWise (Birney et al. [2004\)](#page-422-0) is such a tool developed to predict gene structures by using other known homolog protein sequences.

In order to utilize transcriptomes to perform gene predictions, RNA-Seq reads can be mapped to genome to predict transcripts by using a standard transcript alignment suites such as Cuffinks (Trapnell et al. [2012\)](#page-432-0). Alternatively, PASA (Haas et al. [2003\)](#page-424-0) can be used to map RNA-Seq assemblies to the genome itself and build gene models from these alignments.

IGV (Thorvaldsdóttir et al. [2013](#page-432-0)) and similar visualization tools can be used to view predicted gene models in order to evaluate and verify them manually. After the optional visual inspection, EVidenceModeler (Haas et al. [2008\)](#page-425-0) can be utilized to select the best gene model for each locus. EUGENE (Schiex et al. [2001](#page-430-0)) and GeneComber (Shah et al. [2003\)](#page-431-0) can be used to combine different evidence sources and even outputs of different gene prediction software.

Functional annotation of predicted gene structures is performed with three general approaches. Direct characterization of protein domains and families can be performed running HMMER (Finn et al. [2011\)](#page-424-0) against PFAM (Bateman et al. [2004](#page-422-0)) to reveal pfam domains in the genome. SignalP (Almagro Armenteros et al. [2019](#page-421-0)) can be used to identify signal peptides, suggesting protein secretions in gene structures, and also to validate predicted gene models. TMMHMM (Krogh et al. [2001](#page-426-0)) can predict transmembrane proteins, whereas PSORT (NAKAI [1999\)](#page-428-0) can be used to predict cellular localization of predicted proteins. In addition to PFAM (Bateman et al. [2004\)](#page-422-0), InterProScan (Hunter et al. [2009\)](#page-425-0) offers a useful collection of functional and structural protein domains that can be used for functional annotation. In addition to specialized tools, as a second way, manual BLAST (Johnson et al. [2008](#page-426-0)) search against databases such as nr Ref-Seq and UniProt/Swiss-Prot or to any domain-specifc database is commonly used by researchers. To this end, TRICHOBLAST (Kopchinskiy et al. [2005](#page-426-0)) has been developed to aid *Trichoderma* spp. research by providing a rich database of *Trichoderma* spp.-related sequences. Lastly, gene classifcation systems, such as EuKaryotic Orthologous Groups (KOG) (Koonin et al. [2004](#page-426-0)), Gene Ontology (GO) (Ashburner et al. [2000](#page-421-0); The Gene Ontology Consortium [2021](#page-432-0)), and Kyoto Encyclopedia of Genes and Genomes (KEGG) (Kanehisa et al. [2006](#page-426-0)) etc., can be used either as an alternative way or to further enrich the annotation level. On a special remark, CAZymes Analysis Toolkit (CAT) (Park et al. [2010](#page-429-0)) can be used to search for and analyze carbohydrate-active enzymes using CAZymes database (Garron and Henrissat [2019](#page-424-0)).

Last but not least, particularly developed for fungal domain, FunGAP (Min et al. [2017\)](#page-428-0) offers a streamlined pipeline that utilizes BLAST (Johnson et al. [2008](#page-426-0)), Pfam (Bateman et al. [2004](#page-422-0)), and BUSCO (Simão et al. [2015](#page-431-0)) to perform gene prediction and annotation in fungal genomes.

## <span id="page-421-0"></span>**6 Conclusions**

With the available *Trichoderma* spp. genomes reaching around 24, comparative genomics allowed researchers to fnd and study genes and gene families that could be important for industrial applications in addition to genes involved in secondary metabolism and lignocellulose conversion. Genes and/or gene families encoding for other CAZymes in addition to lignocellulolytic ones, small secreted cysteine-rich protein families (mainly hydrophobins), and secondary metabolite clusters are gaining attention, owing to the diverse properties of the protein products for industrial, agricultural, or therapeutic applications. A thorough and deeper understanding and comparative analysis of the available and novel *Trichoderma* genomes, effcient application of existing computational tools, and development of specifc computational tools for fungal genome mining would reveal novel genes and/or gene families that could have industrial, agricultural, and therapeutic potential.

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# **Biosynthesis of Metal-Based Nanoparticles by** *Trichoderma* **and Its Potential Applications**



**Ernestina Castro-Longoria**

#### **Contents**



## **1 Introduction**

During the last decade, nanotechnology has become one of the most thrust areas of research. The synthesis of nanoparticles (NPs), which range from 1 to 100 nm, is of major importance due to the unique properties the materials display in the nanometric size, differing from the properties these materials have in bulk. The specifc properties of each nanomaterial will depend on its own particular characteristics,

E. Castro-Longoria  $(\boxtimes)$ 

Departamento de Microbiología, Centro de Investigación Científca y de Educación Superior de Ensenada (CICESE), Ensenada, Baja California, México e-mail: [ecastro@cicese.mx](mailto:ecastro@cicese.mx)

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such as size, shape, and composition, and, to some extent, their surrounding medium. Due to their unique properties, NPs can be used in a wide range of applications, for example, food and personal care products (Weir et al. [2012](#page-464-0); Musial et al. [2020\)](#page-462-0), antimicrobial agents (Sánchez-López et al. [2020](#page-463-0)), cancer therapy and diagnosis (Brigger et al. [2012\)](#page-460-0), sensor technology (Naama et al. [2015\)](#page-462-0), catalysis (Zibareva et al. [2019\)](#page-464-0), and biological optical imaging (Wu et al. [2019](#page-464-0)), among others. Hence, the development of clean, nontoxic, and environmentally friendly processes for the synthesis of nanomaterials is gaining importance. Among the bio-resources used for the biosynthesis of nanoparticles, fungi are considered excellent candidates due to their easy cultivation, fast growth rate, and the high amount of enzymes and secondary metabolites production, which replace chemicals used as reducing, capping, and stabilizing agents (Castro-Longoria [2016](#page-460-0)).

Since the production of NPs using fungi has been fully demonstrated, it is desirable to work with non-pathogenic species, especially if the resulting NPs are intended for medical applications (Castro-Longoria [2016\)](#page-460-0). Currently, some species like *T. harzianum*, *T. atroviride*, and *T. asperellum* are used as bio-remediation agents against other plant pathogenic fungi and to promote plant and root growth of economically important crops (Ghazanfar et al. [2018\)](#page-461-0). However, it is important to mention that an increasing number of fungal species may cause life-threatening infections in immunocompromised patients. In the case of *Trichoderma*, some species like *T. harzianum*, *T. koningii*, *T. longibrachiatum*, *T. pseudokoningii*, and *T. viride* have been identifed as etiologic agents of infections in immunocompromised hosts (Richter et al. [1999;](#page-463-0) Chouaki et al. [2002](#page-460-0); De Miguel et al. [2005\)](#page-460-0); also see Kredics et al., in this book (chapter "[Trichodermosis: Human Infections Caused](#page-604-0)  by *[Trichoderma](#page-604-0)* Species"). Therefore, any fungal species must always be managed with care and following all safety protocols.

Still, *Trichoderma* species are considered good candidates for nanoparticle production since they are easy to manage and can be easily cultivated in various agricultural and domestic wastes such as corn four, sorghum grain, wheat bran, farm yard manure, tea waste, wheat straw, rice bran, and vegetable waste (Srivastava et al. [2007](#page-464-0)). Therefore, this group of species represents a feasible alternative for the development of new nanomaterials with enhanced properties. In recent years, the number of reports using *Trichoderma* species for the production of metallic nanoparticles has increased, and results include promising potential applications. However, there is still much work to be done in order to clearly demonstrate the advantage of using *Trichoderma* as reducing agents and the effcacy of the produced nanomaterials. In this chapter, the work on the production of metal-based nanoparticles using *Trichoderma* species is resumed; also the suggested applications of the biosynthesized material are described.

# <span id="page-436-0"></span>**2 General Protocol for the Synthesis and Characterization of** *Trichoderma* **Nanoparticles**

Synthesis of nanoparticles using species of *Trichoderma* is achieved using cell-free fltrates or supernatants. For this, the fungus is cultivated in liquid medium, generally under agitation, although static cultures may be used (Omran et al. [2019\)](#page-463-0). After cultivation for 5–7 days, biomass is removed by fltration, and the fltrate is used as reducing agent. In the case of using the supernatant, the fungus is also cultivated in liquid medium, and then the biomass is obtained and washed with distilled water. Afterward, biomass is placed in deionized water for the release of metabolites by the fungus, usually for 2–3 days. Then, biomass is removed by fltration, and the resulting supernatant is ready to be used for biosynthesis. The supernatant or cellfree fltrate is then mixed with metallic salt solutions, usually prepared at 1–10 mM concentration. Some parameters are adjusted during the synthesis process such as amount of biomass used, temperature, pH, and time of incubation. By making these adjustments, differences in particle size are obtained, although the control over size is not fully achieved.

Generally, the frst indicative of NPs formation is the color change of the reaction, from colorless (or the color of the fltrates) to brown, for silver nanoparticles (Fig. 1a, inset). In the case of gold nanoparticles, the reaction turns pink or red (Fig. 1b, inset). A UV–Vis spectroscopy analysis is commonly carried out to identify the formation of nanoparticles, since these are known to exhibit a UV–Vis absorption maximum because of surface plasmon resonance. Silver nanoparticles exhibit absorption range at  $400-500$  nm (Fig. 1a), while gold nanoparticles in the range of 500–600 nm (Fig. 1b) (Saravanakumar et al. [2016](#page-463-0)). Transmission electron microscopy (TEM) analysis of the synthesized nanoparticles will provide the information about size range and shape. Other analyses such as Fourier transform infrared spectroscopy (FTIR) and energy dispersive X-ray (EDX) are frequently carried



**Fig. 1** UV–Vis spectrophotometry analysis of nanoparticles synthesized by *Trichoderma hamatum.* (**a**) Silver nanoparticles (AgNPs), (**b**) gold nanoparticles (AuNPs). Synthesis showed maximum absorbance at 450 nm for AgNPs and 540 nm for AuNPs. (Reproduced and modifed from Saravanakumar et al. [2016](#page-463-0) with permission from Elsevier)

<span id="page-437-0"></span>out to determine the nature of the biomolecules involved in the synthesis process and the elemental composition of a sample, respectively.

Spherical or quasi-spherical nanoparticles are mostly obtained; however, different shapes and sizes can also be formed; those are developed by evolution of morphology from pseudospherical nanoparticles to more complex structures, i.e., pseudospheres fuse to form nanoprisms and nanoplates (Mukherjee et al. [2012\)](#page-462-0). Isolation of nanoparticles by size from the reacting masses is possible by differential centrifugation (Mukherjee et al. [2012;](#page-462-0) Maliszewska [2013\)](#page-462-0).

Biosynthesized nanoparticles using *Trichoderma* are reported with no precipitation or aggregation to up to 3–6 months of storage at ambient temperature (Maliszewska [2013](#page-462-0); Ponmurugan [2016\)](#page-463-0). Therefore, it is assumed that biomolecules secreted by *Trichoderma* act as capping agents conferring them stability, i.e., preventing them from aggregation and preserving their characteristics such as composition, shape, and crystallinity. Stability is a very important characteristic since nanoparticles with good stability preserve their intrinsic properties. Therefore, using *Trichoderma* species could increase the shelf storage of biosynthesized nanoparticles. In fact, the presence of proteins as stabilizing agents of nanoparticles was demonstrated when using *T. koningiopsis* as a reducing agent (Salvadori et al. [2014\)](#page-463-0).

#### **3 Biosynthesis of** *Trichoderma* **Silver Nanoparticles**

The biosynthesis of silver nanoparticles (AgNPs) has received considerable attention since it is well documented by the potent antimicrobial activity they display. In this respect, *Trichoderma* has attracted the attention in nanotechnology, since it is considered a non-pathogenic fungus and is currently used as a biocontrol agent. Several species of *Trichoderma* have been successfully used for the production of AgNPs. In general, small range sizes are reported, with particles typically in the range of 1–60 nm. Spherical NPs are the most common shape obtained; however, other shapes have also been reported, such as hexagonal, triangular, cuboid, and rod-like (Table [1\)](#page-438-0).

In a study using *T. viride*, in which cell-free fltrate was put in contact with silver nitrate, the frst evidence of AgNPs formation was the color change of the reaction, from colorless to brown (Fig. [2a](#page-440-0)). The resulting solution was then analyzed for NPs characterization, and the UV–Vis spectroscopy analysis confrmed the presence of AgNPs with an absorption peak at 421 nm (Fig. [2b\)](#page-440-0), suggesting that small NPs were obtained. Transmission electron microscopy (TEM) analysis confrmed shape and size distribution; spherical and occasionally rod-like silver nanoparticles in the range of 5–40 nm in size were obtained (Fig. [2c](#page-440-0)) (Fayaz et al. [2009a](#page-461-0)).

Most studies use a solution of silver nitrate  $(AgNO<sub>3</sub>)$  at 1 mM for the biosynthesis of AgNPs; however, other concentrations are also used, obtaining differences in the curves of absorbance (Fig. [3a\)](#page-440-0). As mentioned in the previous section, by FTIR analysis, the nature of the biomolecules involved in the synthesis can be identifed.

				Source or
Fungi	Size (nm)	Shape <sup>a</sup>	Application	reference
T. asperellum	$13 - 18$	Quasispherical <sup>b</sup> Round	<b>NA</b>	Mukherjee et al. (2008)
	$8 - 60$	<b>NA</b> Round		Devi et al. (2013)
T. atroviride	14.01-21.02	Hexagonal	Larvicidal	Singh and Prakash (2015)
	$100 - 200$	Spherical	Antifungal	Ponmurugan (2016)
	$15 - 25$	Anisotropic	Antibacterial. antioxidant, cytotoxic	Saravanakumar and Wang $(2018)$
	$10 - 15$	Spherical	Antimicrobial	Abdel-Azeem et al. (2020)
T. hamatum	$1 - 150$	Spherical	Bioelectricity production	Saravanakumar et al. (2016)
T. harzianum	$30 - 50$	Spherical and rods	NA	Singh and Raja (2011)
	$8 - 60$	Round <b>NA</b>		Devi et al. (2013)
	4.66	Spherical	Anti-Fasciolasis	Gherbawy et al. (2013)
	51.1	Spherical	Antibacterial	Ahluwalia et al. (2014)
	$10 - 20$	NR	Larvicidal & pupicidal	Sundaravadivelan and Padmanabhan (2014)
	$19 - 63$	Sperical and ellipsoid	Seed germination	Shelar and Chavan $(2015)$
	$12.7 \pm 0.8$	Spherical	Antifungal	El-Moslamy et al. (2017)
	5.33-29.46	Round and oval	Antimicrobial	El-Waseif et al. (2017)
	$20 - 30$	Spherical	Antifungal	Guilger et al. (2017)
	$3 - 20$	Round	Antibacterial	Noshad et al. (2019)
	$5 - 18$	Spherical	Antifungal	Consolo et al. (2020)
	$5 - 50$	Variable	Antifungal	Kalia et al. (2020)
T. koningii	$8 - 24$	Spherical Antibacterial		Tripathi et al. (2013)
Т. longibrachiatum	$8 - 60$	Round	<b>NA</b>	Devi et al. (2013)

<span id="page-438-0"></span>**Table 1** *Trichoderma* species used to produce silver nanoparticles and their suggested applications

(continued)

				Source or
Fungi	Size (nm)	Shape <sup>a</sup>	Application	reference
	$5 - 25$	Spherical	Antifungal	Elamawi et al. (2018)
	$5 - 11$	Spherical, triangular, cuboid and hexagonal	Antibacterial	Omran et al. (2019)
T. pseudokoningii	$8 - 60$	Round	<b>NA</b>	Devi et al. (2013)
T. reesei	$5 - 50$	Variable	NA	Vahabi et al. (2011)
	$3-4, 15-17$	Spherical	Waste removal from water	Gemishev et al. (2019a)
	$1-4, 15-25$	Quasispherical <sup>b</sup>	<b>NA</b>	Gemishev et al. (2019 <sub>b</sub> )
$T_{\rm s}$ sp	Polydispersed	Round	Antibacterial	Ramos et al. (2020)
T. virens	$8 - 60$	Round	<b>NA</b>	Devi et al. (2013)
	$5 - 5$ 0	Sherical, oval	Antifungal	Tomah et al. (2020)
T. viride	$5 - 40$	Spherical, rodlike	Antibacterial	Fayaz et al. (2009a)
	$2 - 100$	Spherical, rodlike, nanoplates	<b>NA</b>	Fayaz et al. (2009b)
	$5 - 40$	Spherical, rodlike	Antibacterial	Fayaz et al. (2010a)
	$2 - 4$	Spherical	<b>NA</b>	Fayaz et al. (2010 <sub>b</sub> )
	28-59.17	<b>Bowl</b> like	Antibacterial	Chitra and Annadurai (2013)
	$1 - 50$	Globular	Antibacterial	Elgorban et al. (2016)
	$9 - 60$	Spherical and ellipsoidal	Antibacterial	Shelar $(2016)$
	$10 - 20$	Spherical	Antibacterial	Kumari et al. (2017a)
	$2-5, 50-100$	Spherical, pentagonal & hexagonal	Antibacterial	Kumari et al. (2017b)
	$10 - 20$	Spherical	Antifungal	Kumari et al. (2019)
	$0.1 - 10$	Spherical	Anticancer & immunomodulatory	Adebayo-Tayo et al. (2019)
	$100 - 250$	Spherical & irregular	Antifungal	Manikandaselvi et al. (2020)
T. viz.	$8 - 60$	Round	NA	Devi et al. (2013)
T. interfusant	$59.66 \pm 4.18$	Spherical	Antifungal	Hirpara and Gajera (2020)

**Table 1** (continued)

<sup>a</sup>Shape is named as originally reported, <sup>b</sup>not reported but visible in image, *NA* no suggested application

<span id="page-440-0"></span>

**Fig. 2** Biosynthesis of silver nanoparticles using *Trichoderma viride.* (**a**) Picture of fask containing the solution of cell fltrate with 10−<sup>3</sup> M of silver nitrate, before reaction (fask 1) and after 24 h of reaction (fask 2), (**b**) UV–Vis absorption spectra of silver nanoparticles after 24 h of reaction, (**c**) bright feld TEM micrograph of synthesized silver nanoparticles. (Reproduced and modifed from Fayaz et al. [2009a](#page-461-0), with permission from the American Chemical Society (ACS))



**Fig. 3** Characterization of silver nanoparticles biosynthesized using *Trichoderma atroviride.* (**a**) UV–Vis spectra, (**b**) FTIR spectrum, (**c**–**d**) TEM micrograph, (**e**) EDX analysis. (Reproduced from Saravanakumar and Wang [\(2018](#page-463-0)), with permission from Elsevier)

In the work by Saravanakumar and Wang ([2018\)](#page-463-0), FTIR spectrum shows alkaline, amine, proteins, and aromatic peptides at the bands of 1115.4 and 3450 cm<sup>-1</sup>, assigned to the metallic and O stretching vibrations of the metallic oxides, respectively (Fig. 3b). Although the resulting formation of small spherical nanoparticles is predominantly documented, some studies frequently report the formation of

anisotropic particles (Fig. [3c–d](#page-440-0)). EDX analysis is carried out to confrm the elemental composition of a sample, and the analysis of nanoparticles synthesized by *T. atroviride* displayed the elemental signal (Ag) at high percentage, indicating the affuent synthesis of AgNPs (Fig. [3e\)](#page-440-0) (Saravanakumar and Wang [2018](#page-463-0)).

In a study using *T. viride* (Chitra and Annadurai [2013\)](#page-460-0), the biosynthesized silver nanoparticles were air-dried and examined under a scanning electron microscope (SEM), and the formation of silver nanoparticles with bowl-like shapes was observed (Fig. 4a, b). However, under TEM analysis, nanoparticles revealed smaller sizes and were reported to have a range size of 28–59.17 nm; indeed, the synthesized nanoparticles were described as polydispersed (Chitra and Annadurai [2013\)](#page-460-0). Then, it becomes clear that in order to have certainty about size range, TEM analysis must be also carried out since smaller nanoparticles are not visualized under SEM.

The stability of nanoparticles is an important characteristic since it would increase their shelf storage without losing their properties. Stable nanoparticles are produced when using fungal extracts or fungal supernatants as reducing agents. In fact, by FTIR analysis, several studies detect proteins and other biomolecules around the AgNPs (Omran et al. [2019](#page-463-0)), which would act as reducing as well as stabilizing agents during synthesis. Not all studies report stability of nanoparticles, but nanoparticles obtained with *T. asperellum* were reported to be stable after storage for over 6 months without signifcant aggregation (Mukherjee et al. [2008\)](#page-462-0).

The optimization of AgNPs production using *T. harzianum* was investigated by El-Moslamy et al. ([2017\)](#page-460-0). The authors used the Taguchi experimental design and found that it was possible to develop a formulation using minimum raw materials to have the most signifcant effect on the dry mass weight of nano-Ag. This method was used for the frst time for mycosynthesis production of AgNPs, and it was concluded that the production increased three times compared to basal conditions.



**Fig. 4** SEM analysis of silver nanoparticles biosynthesized using *Trichoderma viride.* (**a**) Image showing the bowl shape of nanoparticles, (**b**) closer view. (Reproduced from Chitra and Annadurai [2013\)](#page-460-0)

#### <span id="page-442-0"></span>**4 Biosynthesis of** *Trichoderma* **Gold Nanoparticles**

Biosynthesis of gold nanoparticles (AuNPs) has been successful using cell-free fltrates and supernatants of *Trichoderma* species. Different size ranges and shapes are reported; size of particles range from 1 to 150 nm, and shapes include spherical, pseudospherical, nanotriangle, nanoprisms, triangular, cubical, hexagonal, rod-like, and fower-like (Table [2\)](#page-443-0). The synthesis protocol in most cases is adjusted depending on the aim of the study, but in general cell-free fltrate or supernatants are put in contact with tetrachloroauric acid  $(HAuCl<sub>4</sub>)$  where the change in color of the reaction is the frst evidence of AuNPs formation. Depending on the fungal species and the parameters of the reaction, the color may vary from light pink to dark red or violet (Saravanakumar et al. [2016](#page-463-0); Abdel-Kareem and Zohri [2018\)](#page-459-0). The supernatant of *T. hamatum* was used for the synthesis of AuNPs, and the color of the reaction mixture changed from light yellow to ruby-red (Fig. [1b\)](#page-436-0). UV–Vis spectroscopy analysis confrmed the formation of AuNPs with maximum absorption at 530–560 nm (Fig. [1b\)](#page-436-0). TEM analysis revealed cubical shape nanoparticles ranging from 5 to 150 nm with mean size of  $82 \pm 2.8$  nm (Saravanakumar et al. [\(2016](#page-463-0)).

As mentioned earlier, the synthesis reaction can be adjusted varying parameters such as pH, temperature, amount of biomass, concentration of metallic solution, time of incubation, etc. In a study, AuNPs were synthesized with a newly isolated *Trichoderma* strain (WL-Go), and the optimal conditions for AuNPs synthesis were as follows: HAuCl<sub>4</sub> 1.0 mmol  $l^{-1}$ , biomass 0.5 g, and pH 7–11. The maximum of UV–Vis spectra was at 550 nm (Fig. [5a](#page-445-0)) indicating formation of nanoparticles. TEM analysis revealed that the shape of AuNPs were mostly spheres, but triangles and hexagons were also present (Fig. [5b](#page-445-0)) (Qu et al. [2017\)](#page-463-0). AuNPs of diverse shapes such as spheres, pentagons, and hexagons of 5–30 nm in size were also obtained with optimized protocol using *T. hamatum* (Abdel-Kareem and Zohri [2018](#page-459-0)). Similar results were obtained using *T. koningii*, obtaining AuNPs ranging from polydisperse small spheres to large triangles and hexagons of 30–40 nm and thickness of 5–10 nm (Maliszewska et al. [2009\)](#page-462-0). However, using the same *T. koningii* species and a modified protocol, small spherical shaped AuNPs with average size of  $14 \pm 4$  nm were obtained. The author reported that it was possible to separate AuNPs by size using sucrose gradients; spheres from 10 to 14 nm were concentrated in the 30% fraction and spheres from 12 to 17 nm in the 40% fraction (Maliszewska [2013](#page-462-0)).

Gold nanoprisms and nanoplates of different shapes and sizes are developed by evolution of morphology from pseudospherical nanoparticles to more complex forms. This process was documented and studied in AuNPs synthesized with *T. asperellum*. TEM analyses revealed that several pseudospheres fuse to form nanoprisms and nanotriangles. It was concluded that the wide spectrum of morphologies was due to a slow rate of reduction of  $HAuCl<sub>4</sub>$  by the constituents of the cell-free fungal extract (Mukherjee et al. [2012](#page-462-0)).

As mentioned in the previous section, stability of nanoparticles is important in order to increase their shelf storage. However, not many studies report the time at

	Type of NP				Source or
Fungi	produced	Size (nm)	Shape <sup>a</sup>	Application	reference
T. asperellum	Au	15 30 100	Pseudospherical nanotriangles nanoprisms	<b>NA</b>	Mukherjee et al. (2012)
	Se	49.5, 61.3, 130.2	Irregular and spherical	Anti-mildew and zoosporicidal	Nandini et al. (2017)
	CuO	$10 - 190$	Spherical	Anticancer	Saravanakumar et al. (2019)
T. atroviride	Au	$50 - 75$ , $10 - 50$	Triangular nanopaltes and spherical	Antifungal	Ponmurugan (2016)
	<b>Se</b>	157.9, 168.4. 67.9	Irregular	Anti-mildew and zoosporicidal	Nandini et al. (2017)
	Se	$60.48 -$ 123.16	Spherical	Antifungal	Joshi et al. (2019)
	Cu	$5 - 25$	Irregular spherical	Antifungal	Natesan et al. (2020)
T. brevicompactum	Se	99.6, 109.2, 199.6	Irregular	Anti-mildew and zoosporicidal	Nandini et al. (2017)
T. citrinoviridae	TiO <sub>2</sub>	$10 - 400$	Triangular, pentagonal, spherical and rod	Antibacterial	Arya et al. (2020)
T. hamatum	Au	$5 - 150$	Cubical	Bioelectricity production	Saravanakumar et al. (2016)
	Au	$5 - 30$	Spherical, pentagonal and hexagonal	Antibacterial	Abdel-Kareem and Zohri (2018)
T. harzianum	CdS	$3 - 8$	Spherical	Photocatalysis	Bhadwal et al. (2014)
	Au	$26 - 34$	Spherical	Detection of $Hg^{2+}$	Tripathi et al. (2014)
	Au-Ag	$10 - 25$	Triangles, spheres, rods, squares, hexagonal	Catalytic	Tripathi et al. (2015)
	Se	60.8, 140.4, 103.5	Spherical	Anti-mildew and zoosporicidal	Nandini et al. (2017)
	Au	$26 - 34$	Spherical	Antibacterial, catalytic	Tripathi et al. (2018)

<span id="page-443-0"></span>**Table 2** *Trichoderma* species used to produce gold and other metal-based nanoparticles and their suggested applications

(continued)

	Type of NP				Source or
Fungi	produced	Size (nm)	Shape <sup>a</sup>	Application	reference
	Te	Variable	Variable	NA	Liang et al. (2019)
	<b>Se</b>	Variable	Variable	NA	Liang et al. (2019)
	Se	60	Irregular	Antifungal	Hu et al. (2019)
	$T-\beta-D-$ glu-ZnO	$30 - 186$	Spherical	Anticancer and antibacterial	Saravanakumar et al. (2020)
	CuO	$38 - 77$ width, 135-320 length	<b>Elongated</b> fibers	Antifungal	Consolo et al. (2020)
	ZnO	$27 - 40$ width, 134-200 length	Fan and bouquet structure	NA	Consolo et al. (2020)
	FeO	$20 - 60$	Variable	Antifungal	Kalia et al. (2020)
	ZnO	$10 - 40$	Variable	Antifungal	Kalia et al. (2020)
	ZnO	$12 - 35$	Variable	Antibacterial	Shobha et al. (2020)
	Au	15	Spherical	NA	do Nascimento et al. (2020)
T. koningii	Au	$30 - 40$	Spheres, triangles, hexagons	NA	Maliszewska et al. (2009)
	Au	$14\pm4$	Spherical	Anticancer	Maliszewska (2013)
T. koningiopsis	Cu	87.5	Spherical	NA	Salvadori et al. (2014)
Т. longibrachiatum	<b>Se</b>	87.5, 256.1, 158.4	Spherical & irregular	Anti-mildew and zoosporicidal	Nandini et al. (2017)
	Au	$102.93-$ 123.99	Flower like	<b>Biomedical</b>	Elegbede et al. (2020)
T. reesei	ZnO	$12 - 35$	Variable	Antibacterial	Shobha et al. (2020)
Trichoderma sp.	<b>Se</b>	$20 - 220$	Spherical and pseudo-spherical	NA	Diko et al. (2020a)
	Se	80-180	Spherical	NA	Diko et al. (2020a)
	PbSe	$10 - 30$	Cubic	Photocatalysis	Diko et al. (2020b)

**Table 2** (continued)

(continued)

Fungi	Type of NP produced	Size (nm)	Shape <sup>a</sup>	Application	Source or reference
T. virens	Se.	96.2, 158.8, 312.5	Spherical & irregular	Anti-mildew and zoosporicidal	Nandini et al. (2017)
T. viride	Au	$4 - 15$	Spherical	Antibacterial	Fayaz et al. (2011)
	TiO <sub>2</sub>	$60 - 86.67$	Spherical	Bio-pesticidal	Chinnaperumal et al. $(2018)$
T. sp	Au	NR.	Spheres, triangles, hexagons	Dye decoloration	Ou et al. (2017)
	Au	$1 - 24$	Spherical and pseudo-spherical	Degradation of pollutants	Ou et al. (2018)
	Au	$0 - 120$	Spherical	Biocatalisys & antibacterial	Mishra et al. (2014)

<span id="page-445-0"></span>**Table 2** (continued)

a Shape is named as originally reported, *NR* not reported, *NA* no suggested application



**Fig. 5** Gold nanoparticles synthesized using *Trichoderma* sp. strain WL-Go. (**a**) UV–Vis spectra of the dispersed AuNPs after 40 h incubation,  $HAuCl<sub>4</sub>$  solution, and control strain WL-Go,  $(b)$ TEM image of AuNPs synthesized by strain WL-Go. (Reproduced from Qu et al. [2017](#page-463-0), with permission from Elsevier)

which AuNPs remain stable. Some studies report that AuNPs synthesized by *Trichoderma* species remain stable for 3 months (Fayaz et al. [2011](#page-461-0); Tripathi et al. [2014;](#page-464-0) Ponmurugan [2016\)](#page-463-0) and up to 6 months of storage at ambient temperature (Maliszewska [2013\)](#page-462-0).

## <span id="page-446-0"></span>**5 Biosynthesis of Other** *Trichoderma* **Metal-Based Nanoparticles**

Up to the present time, most studies on the biosynthesis of metallic nanoparticles using *Trichoderma* species are on silver and gold nanoparticles (Tables [1](#page-438-0) and [2\)](#page-443-0). Nevertheless, recent studies have produced other *Trichoderma* metal-based nanoparticles such as Se, Cu, CdS, Te, PbSe, ZnO, and  $TiO<sub>2</sub>$ . Most of these reports are on the production of selenium nanoparticles (SeNPs), while studies on the biosynthesis of other meta-based nanoparticles are scarce (Table [2\)](#page-443-0).

The biosynthesis of SeNPs has been carried out using a solution of  $\text{Na}_2\text{SeO}_3$ (Nandini et al. [2017](#page-462-0); Joshi et al. [2019](#page-461-0); Hu et al. [2019](#page-461-0)) or  $SeO<sub>2</sub>$  (Diko et al. [2020a\)](#page-460-0). The frst evidence on the formation of nanoparticles by the reduction of selenite ions is visualized as a color change in the solution, from pale yellow to orange-red (Nandini et al. [2017;](#page-462-0) Joshi et al. [2019](#page-461-0); Hu et al. [2019;](#page-461-0) Diko et al. [2020a\)](#page-460-0). Interestingly, *Trichoderma harzianum* was able to grow on selenium and tellurium-containing media at concentrations of 1 mM. The fungal surface turned red in color in the case of selenium and black in the case of tellurium; scanning electron microscopy analysis confrmed the formation of SeNPs and tellurium nanoparticles (TeNPs) (Liang et al. [2019\)](#page-462-0). In a study by Diko et al. ([2020b\)](#page-460-0) using *Trichoderma* sp. (strain WL-Go), the formation of spherical SeNPs was reported (Fig. [6a–b](#page-447-0)). Remarkably, with an optimized synthesis protocol at pH 8 with 0.5 g biomass of strain WL-Go and (1:1) mM of  $SeO<sub>2</sub>$ :  $Pb(NO<sub>3</sub>)<sub>2</sub>$ , the formation of 10–30 nm cubic faced centered PbSeNPs was achieved (Fig. [6c–d](#page-447-0)). The authors stated that the form of the biomaterial was infuenced by the synergy between Se and Pb ions in the presence of secreted proteins by strain WL-Go.

Another interesting contribution is the synthesis of copper oxide nanoparticles (CuONPs), which were synthesized using cell-free extract of *T*. *asperellum*. Synthesis of CuONPs was evidenced through color change from light-yellow to dark-brown. Analysis by UV-Vis spectroscopy indicated the surface plasmon resonance peak between 285 and 295 nm. Ultra high-resolution scanning electron microscopy analysis revealed agglomerated spherical CuONPs (Fig. [7a](#page-448-0)). The presence of Cu and O was confrmed by EDS mapping (Fig. [7b, c](#page-448-0)) and by the EDS spectrum (Fig. [7d\)](#page-448-0). By feld emission transmission electron microscopy analysis, the spherical shape of synthesized CuONPs was confrmed (Fig. [7e, f\)](#page-448-0), which were in the size range of 10–190 nm (Saravanakumar et al. [2019\)](#page-463-0).

### **6 Antibacterial Activity of** *Trichoderma* **Nanoparticles**

Among the studies for the synthesis of nanoparticles using *Trichoderma*, there are reports in which nanoparticles have been tested for antibacterial capacity (Tables [1](#page-438-0) and [2](#page-443-0)). Silver nanoparticles (AgNPs) are the most frequent nanomaterial that is proposed as antibacterial agent; analyses in vitro have demonstrated the

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**Fig. 6** Selenium (SeNPs ) and lead selenide (PbSeNPs) nanoparticles synthesized using *Trichoderma* sp. (**a**) TEM micrograph of SeNPs, (**b**) corresponding size distribution analysis, inset shows spherical morphology, (**c**) TEM micrograph of PbSeNPs, (**d**) corresponding size distribution analysis, inset shows cubic morphology. (Reproduced from Diko et al. [2020b](#page-460-0), with permission from Elsevier)

antimicrobial capacity against harmful bacteria such as *Escherichia coli*, *Pseudomonas aeruginosa*, *Klebsiella pneumoniae*, *K. aeruginosa*, *Shigella fexneri*, *S. sonnei*, *Serratia marcescens*, *Salmonella typhimurium*, and *Staphylococcus aureus*. Furthermore, it has been proved that the use of cell fltrates of *Trichoderma* enhances the antimicrobial effcacy of the synthesized nanoparticles, since the fltrate possess antimicrobial metabolites (Kumari et al. [2017a](#page-462-0)). Also, another advantage in using *Trichoderma* species was detected when the antibacterial capacity of biosynthesized AgNPs using cell-free fltrate of *T. viride* were compared to citrate stabilized nanoparticles. Biosynthesized nanoparticles were internalized inside the bacterial cell more effciently than the ones chemically synthesized. Thus, it was concluded that biologically synthesized AgNPs coated with antimicrobial metabolites of *T. viride* were more potent in killing bacteria than chemical nanoparticles (Kumari et al. [2017a](#page-462-0)).

The well diffusion or disk diffusion methods are good assessments to preliminarily detect antibacterial activity of nanoparticles. The agar plate surface is inoculated

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**Fig. 7** Characterization of copper oxide nanoparticles (TA-CuONPs) synthesized by *Trichoderma asperellum*. (**a**) Ultra high-resolution scanning electron microscopy analysis (**b**) EDS mapping of Cu, (**c**) EDS mapping of O, (**d**) EDS spectrum of CU, and (**e**, **f**) feld emission transmission electron microscopy images of TA-CuONPs. (Reproduced from Saravanakumar et al. [2019](#page-463-0), with permission from Elsevier)

by spreading a volume of the bacterial inoculum over the entire agar surface. Then, wells or disks are loaded with biosynthesized nanoparticles, and after 24 h of incubation, inhibition of bacteria is detected. Antibacterial activity is evaluated by measuring the diameter of inhibition zone. After preliminary assays, the minimum inhibition concentration (MIC) and/or minimum bactericidal concentration (MBC) has to be determined, since the concentrations needed to inhibit bacterial growth or to kill bacteria are signifcantly different with respect to the concentrations used in the well or disk diffusion methods. In a study using AgNPs synthesized by *T.* 



**Fig. 8** In vitro antibacterial activity of AgNPs synthesized using *Trichoderma koningii*. Zone of inhibition against *Salmonella typhimurium* loaded with (**a**) 0 μg, (**b**) 2 μg, (**c**) 5 μg, and (**d**) 7 μg of biosynthesized AgNPs. (Reproduced from Tripathi et al. [2013](#page-464-0))

*koningii*, this was clearly shown, and it was found that the diameter of inhibition zone of *Salmonella typhimurium* increased with increasing concentration of AgNPs in a well diffusion assay using 2, 5, and 7 μg of AgNPs (Fig. 8). However, the necessary concentration for bacterial inhibition (MIC) and bacterial death (MBC) was 25 μg ml<sup>-1</sup> and 45 μg ml<sup>-1</sup>, respectively (Tripathi et al. [2013](#page-464-0)).

Antimicrobial activity of AgNPs against human pathogens is shape and size dependent (Osonga et al. [2020](#page-463-0)). This was demonstrated in a study using AgNPs of different shapes and sizes synthesized by *T. viride*. Maximum inhibition was found with spherical nanoparticles  $(2–5 \text{ nm})$  showing 40, 51, 43, 53.9, and 55.8% against *S. sonnei*, *E. coli*, *S. marcescens*, *S. aureus*, and *P. aeruginosa*, respectively, while pentagonal and hexagonal nanoparticles (50–100 nm) demonstrated lower inhibition, displaying 32, 41, 31, 42.84, and 42.80% as compared to control at the same

concentration of nanoparticles. Remarkably, when using NPs of similar size, pentaand hexagonal NPs showed 15–18% more antagonistic effects on tested pathogens in comparison with spherical NPs. Thus, it was concluded that shape and size played a major role in enhancing antimicrobial capacity of AgNPs, both singly and synergistically combined with antibiotics (Kumari et al. [2017b\)](#page-462-0).

It is well known that the concentrations of AgNPs needed for the inhibition of Gram-negative and Gram-positive bacteria are different; chemically synthesized AgNPs were tested against both types of bacteria, and lower concentrations were needed for the inhibition of Gram-negative bacteria (Shrivastava et al. [2007](#page-464-0)). This was also found when using AgNPs synthesized with *Trichoderma* spp. isolated from the *Bertholletia excelsa* (Brazil nut) seeds. The minimal inhibitory concentration (MIC) and the minimal bactericide concentration (MBC) of AgNPs needed to inhibit Gram-negative bacteria were lower and were attributed to the thin peptidoglycan layer (2–3 nm) between the cytoplasmic membrane and the outer membrane (Ramos et al. [2020](#page-463-0)).

Searching for antimicrobial alternatives, a few reports have evaluated gold nanoparticles (AuNPs) synthesized by *Trichoderma* species against pathogenic bacteria (Table [2](#page-443-0)). Similarly, as reported with AgNPs using the well diffusion method, the diameter of inhibition zone increases with increasing concentration of AuNPs. Biosynthesized AuNPs by *T. harzianum* were used against *E. coli*, and the diameter of inhibition zones were 3, 5, and 9 mm with 4, 6, and 12 μg concentrations of AuNPs, respectively. The minimum inhibitory concentration (MIC) of AuNPs was 20 μg/ml. Thus, it was concluded that AuNPs show effective antibacterial activity (Tripathi et al. [2018](#page-464-0)). Also, dose-dependent antimicrobial activity of biosynthesized AuNPs by *Trichoderma* sp. was recorded against *P. syringae*, *E. coli,* and *S. sonnei* (Mishra et al. [2014\)](#page-462-0).

In another study, vancomycin was bound to AuNPs synthesized using *T. viride* (VBGNPs). The VBGNPs exhibited notable antibacterial activity against *E. coli*, while vancomycin alone had no signifcant infuence on the bacterial growth. Analysis by TEM revealed that VBGNPs were accumulated in the outer membrane of *E. coli*, while some of them successfully penetrated into cells (Fayaz et al. [2011\)](#page-461-0).

Recently, other metallic nanoparticles synthesized by *Trichoderma* species have been evaluated as antibacterial agents; for instance, titanium nanoparticles (TiO2NPs) synthesized with the extract of *T. citrinoviride* showed antibacterial activity (100 μg/mL) against planktonic cells of extremely drug-resistant (XDR) *P. aeruginosa* clinical isolates (Arya et al. [2020](#page-459-0)). In a study carried out by Shobha et al. ([2020\)](#page-463-0), the authors used *T. harzianum* and *T. reesei* for the synthesis of ZnONPs and reported that nanoparticles were able to inhibit the growth of *Xanthomonas oryzae* pv. *oryzae* in in vitro assays, in a dose-dependent manner. Also, *T. harzianum* was used for the synthesis of zinc oxide nanoparticles conjugated with β-D-glucan from barley (T-β-D-glu-ZnO NPs), and their antibacterial capacity was investigated. The authors found excellent antibacterial activity of T-β-D-glu-ZnO NPs against *S. typhi*, MRSA, and *E. coli* which was evidenced by in vitro and in vivo antibacterial experiments (Saravanakumar et al. [2020](#page-463-0)).

### <span id="page-451-0"></span>**7 Antifungal Activity of** *Trichoderma* **Nanoparticles**

Silver nanoparticles are the most widely nanomaterial tested for antimicrobial capacity, mostly against bacteria, but an increasing number of reports have also analyzed the antifungal properties of nano-silver and other metal-based nanoparticles. Searching for ecofriendly methods, several *Trichoderma* species have been used for the production of nanoparticles, and their antifungal capacity has been evaluated (Tables [1](#page-438-0) and [2\)](#page-443-0). It has been reported that mycogenic AgNPs display antifungal activity with effciencies comparable to the positive controls, especially toward clotrimazole and nystatin (Abdel-Azeem et al. [2020](#page-459-0)).

Furthermore, AgNPs synthesized by *Trichoderma* species are reported to be more effective than chemically synthesized particles of comparable size. This was demonstrated by Kumari et al. [\(2019](#page-462-0)) who used AgNPs synthesized by *T. viride* and found enhanced antifungal activity of biosynthesized NPs against *Fusarium oxysporum* and *Alternaria brassicicola*, in comparison to chemically synthesized AgNPs (CSNP) of similar shape and size. Scanning electron microscopy (SEM) analysis also revealed that the architecture of *A. brassicicola* was partially damaged by CSNP (Fig. [9b](#page-452-0)) and completely disintegrated after the treatment with biosynthesized NPs (Fig. [9c, d](#page-452-0)). It was reported that biosynthesized AgNPs possess enhanced properties because of the cell-free extract of *T. viride*, which have multiple modes of actions including protein degradation, and complete disruption of cell by cellular lyses and disruption of osmotic balance and cell wall leakage. Then, it is impossible for the pathogen to recover after injury resulting in a complete inhibition (Kumari et al. [2019\)](#page-462-0). In a recent study, it was also demonstrated in in vitro assays the prominent antifungal capacity of AgNPs synthesized using *T. virens* against the soilborne pathogen *Sclerotinia sclerotiorum*. The biosynthesized AgNPs showed a high percentage inhibition against hyphal growth, sclerotial formation, and myceliogenic germination of sclerotia. SEM analysis revealed a direct interaction between nanoparticles and fungal cells, including AgNPs' contact, accumulation, lamellar fragment production, and micropore or fssure formation on fungal cell walls (Tomah et al. [2020](#page-464-0)).

Although AgNPs synthesized by *Trichoderma* species are effective against pathogenic fungi, not all pathogens respond equally. In a study, AgNPs synthesized using *T. longibrachiatum* were tested against nine fungal pathogens: *Alternaria alternata, Fusarium verticillioides, F. moniliforme, Aspergillus favus, A. heteromorphus, Penicillium glabrum, P. brevicompactum, Pyricularia grisea*, and *Helminthosporium oryzae*. The results obtained showed that AgNPs were most effective against *P. grisea, F. verticillioides, H. oryzae, F. moniliforme*, and *A. alternata* with inhibition percentages of 98.9, 96.4, 95.1, 93.6, and 93.0%, respectively (Elamawi et al. [2018](#page-460-0)).

Also, various reports have documented that some *Fusarium* species present no response or lower percentage of inhibition when exposed to NPs. This was evidenced when using AgNPs synthesized using two strains of *T. harzianum* (EMCC 540 and SYA.F4). By the agar well diffusion method, nanoparticles from both

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**Fig. 9** SEM micrograph of *Alternaria brassicicola*. (**a**) Healthy mycelia network without any treatment; (**b**) sample treated with chemically synthesized silver nanoparticles, showing partially damaged mycelia; (**c**, **d**) sample treated with silver nanoparticles biosynthesized using *T. viride*, showing completely damaged mycelia and spores. (Reproduced from Kumari et al. [2019](#page-462-0) with permission from Elsevier)

strains were screened against *Fusarium proliferatum*, *Fusarium* sp., *Botrytis cinerea*, *Rhizoctonia solani*, and *F. oxysporum*. Results showed that AgNPs produced using *T. harzianum* SYA.F4 were effective against most fungal pathogens; however, no response against *F. proliferatum* was detected (El-Moslamy et al. [2017\)](#page-460-0). Similarly, mycogenic AgNPs using the endophytic *T. atroviride* showed signifcant (*P* < 0.05) antifungal activity against *Candida albicans* and *Aspergillus* sp. However, *Fusarium oxysporum* f. sp. *lycopersici* showed a complete resistance toward both the mycogenic and chemical AgNPs (Abdel-Azeem et al. [2020\)](#page-459-0). In another study, AgNPs synthesized by *T. longibrachiatum* were effective against *P. grisea*, *F. verticillioides*, *H. oryzae*, *F. moniliforme*, and *A. alternata*. However, lower effciency was observed against *F. oxysporum* (Elamawi et al. [2018](#page-460-0)). Other *Fusarium* species appear to be more susceptible to AgNPs since a study reported AgNPs synthesized by *T. viride* as a suitable agent against *Fusarium moniliforme* (Manikandaselvi et al. [2020\)](#page-462-0). Kalia et al. [\(2020](#page-462-0)) also reported AgNPs and FeONPs synthesized by *T.* 

<span id="page-453-0"></span>*harzianum* as effective for the inhibition of *F. moniliforme*, in a concentrationdependent manner.

Not only nano-silver has proved to have antifungal properties, the effcacy of both silver and gold nanoparticles synthesized by *T. atroviride* were also successful against the tea pathogenic fungus *Phomopsis theae*. In vitro analyses revealed a considerable suppression on the growth of *P. theae* using both types of nanoparticles (Ponmurugan [2016\)](#page-463-0). Natesan et al. ([2020\)](#page-462-0) also used *T. atroviride* CuNPs to inhibit the tea pathogens *Poria hypolateritia* and *P. theae* which causes red root-rot and Phomopsis canker diseases. Selenium nanoparticles derived from *T. harzianum* (TSeNPs) were analyzed and compared with traditional SeNPs for antifungal properties. The synthesized TSeNPs showed antifungal properties against *F. verticillium* and *A. alternata* and also showed control functionalities against *Alternaria* toxins (83% of tenuazonic acid (TeA) and 79% of alternariol (AOH) reduction), fumonisin B1 (63% of FB1 reduction), and deoxynivalenol (76% of DON reduction), respectively. Also, it was reported that expression of synthetic genes (FUM1, PA, TRI5, and TRI6) and mycotoxins production were substantially decreased.

In a recent study, the synthesis of AgNPs, CuONPs, and ZnONPs was carried out with *T. harzianum*. The resulting NPs were used to conduct antifungal activity assays against *A. alternata*, *P. oryzae*, and *S. sclerotiorum*. AgNPs and CuONPs reduced signifcantly the mycelial growth of *A. alternata* and *P. oryzae* in a dosedependent manner. However, although treatment with ZnONPs displayed a tendency to reduce mycelial growth of the plant pathogens, no signifcant differences were found to conclude an antifungal capacity (Consolo et al. [2020](#page-460-0)). In a study by Joshi et al. ([2019\)](#page-461-0), the in vitro antifungal activity of SeNPs synthesized from *T. atroviride* against *Pyricularia grisea* was reported. It was also found that fungal inhibition increased with increased concentration of particles (Fig. [10\)](#page-454-0).

### **8 Application of** *Trichoderma* **Nanoparticles in Agriculture**

*Trichoderma* species are successfully used for the efficient control of fungal phytopathogens. However, short shelf life, low on-feld stability, and irregular performance in different agro-climatic regions are some problems associated with these commercial formulations (Fraceto et al. [2018\)](#page-461-0). Therefore, new strategies are urgently required for the efficient management of pathogens. Nanotechnology offers promising applications in the agricultural area. New technologies such as the microencapsulation of fungi and the biogenic synthesis of nanoparticles are strategies that could be used for disease control and thus contribute to sustainable agricultural practices (Guilger et al. [2017](#page-461-0); Fraceto et al. [2018;](#page-461-0) Manikandaselvi et al. [2020\)](#page-462-0).

In this regard, *Trichoderma* species are currently considered as promising agents for nanoparticles production, and the efficacy of the resultant nanomaterials are evaluated as antimicrobial agents for plant pathogens. For instance, as specifed above, in a study conducted by Ponmurugan ([2016\)](#page-463-0), AgNPs and AuNPs synthesized with *T. atroviride* were evaluated against the tea pathogenic fungus *P. theae*. In vitro

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**Fig. 10** In vitro antifungal activity of SeNPs synthesized using *Trichoderma atroviride.* Growth of *Pyricularia grisea* on PDA plates at different concentrations, (**a**) 0 ppm, (**b**) 50 ppm, (**c**) 100 ppm, and (**d**) 200 ppm of biosynthesized SeNPs. (Reproduced and modifed from Joshi et al. [2019\)](#page-461-0)

antifungal studies revealed a considerable suppression on the growth of *P. theae*. Field experiments were also conducted with soil application and wound dressing. A signifcant reduction in canker size was observed in plants treated with gold and silver nanoparticles. Also, improvement in leaf yield was noted in response to these treatments. The author concludes that metallic nanoparticles could improve the effcacy in management of stem disease in tea plantations.

Biosynthesized AgNPs were also suggested for agricultural purposes to increase the viability of seeds. Disease-free and healthy-looking seeds of sunfower (*Helianthus annuus*) and soybean (*Glycine max*) were obtained by per-soaked in AgNPs solution of *T. harzianum*. Also, the percentage of seed germination was enhanced and increased, with increased soaking time in the AgNPs solution (Shelar and Chavan [2015\)](#page-463-0). Guilger et al. ([2017\)](#page-461-0) also reported AgNPs by *T. harzianum* as a new alternative in agriculture for the white mold control. The fungus *Sclerotinia sclerotiorum* is the causal agent of the white mold disease, and although there are different ways of controlling this organism, none of these inhibit sclerotia, which are its resistance structures. By using the mycogenic AgNPs against *S. sclerotiorum*, it was possible to inhibit sclerotia germination and mycelial growth. Although the nanoparticles showed cytotoxicity and genotoxicity, the most toxic concentrations

were above those applied for white mold control. Also, the effects of AgNPs on soybean were investigated, and no effects were observed.

Synthesized AgNPs by *T. harzianum* were also evaluated against actinomycete *Clavibacter michiganensis* subsp. *michiganensis,* which is the causative pathogen of tomato canker disease. Antibiotic activity was detected at low concentrations of 1 mM, and increased inhibition zone was observed at 2.5 mM. The authors conclude that the biosynthesis process is an excellent candidate for industrial scale production of AgNPs and has the potential to control the bacterial pathogen of the tomato canker disease (Noshad et al. [2019\)](#page-462-0).

*Trichoderma*-mediated selenium nanoparticles (SeNPs) have also been evaluated as agents for plant disease control. Nandini et al. [\(2017](#page-462-0)) evaluated six species of *Trichoderma* for the synthesis of SeNPs: *T. asperellum, T. harzianum, T. atroviride, T. virens, T. longibrachiatum*, and *T. brevicompactum*. All obtained nanoparticles suppressed the growth, sporulation, and zoospore viability of *Sclerospora graminicola*, the causative agent of downy mildew (DM) disease in pearl millet (PM). Furthermore, under greenhouse conditions, the application of SeNPs together with *T. asperellum* enhanced the early plant growth and suppressed DM incidence as compared to their individual application. The authors conclude that the results obtained open a new possibility where *Trichoderma* formulations along with SeNPs can be successfully employed for plant disease management (Nandini et al. [2017](#page-462-0)).

The protective properties of selenium nanoparticles derived from *T. harzianum* (TSeNPs) were also assessed in in vitro assays in maize and pear. It was found that between the traditional SeNPs and TSeNPs-treated groups, TSeNPs had better protective effect in both maize and pear. Thus, the authors concluded that the biogenic nanoparticles are valuable functional materials with great potential for practical plant protection and food safety prevention (Hu et al. [2019](#page-461-0)).

Selenium nanoparticles (SeNPs) synthesized from *T. atroviride* were also tested against the plant pathogen *Colletotrichum capsici*, which causes anthracnose disease in chili, and against *Alternaria solani*, which causes early blight of tomato. Assays of plant pathogens inhibition were carried out on healthy chili and tomato leaves. It was found that SeNPs inhibited the infection of *C. capsici* on chili leaves (Fig. [11a\)](#page-456-0) and *A. solani* on tomato leaves (Fig. [11b](#page-456-0)). The authors concluded that SeNPs could be useful to manage plant diseases in an eco-friendly manner, due to their mycogenic synthesis and antifungal activity against different phytopathogens (Joshi et al. [2019](#page-461-0)).

Mycogenic nanoparticles using *Trichoderma* seems to be a feasible option to inhibit plant pathogens and thus using them for agricultural purposes. However, further research should be carried out in order to determine the effects of nanomaterials in the environment before using them for agricultural applications.

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**Fig. 11** In vitro antifungal leafet assay of SeNPs synthesized using *Trichoderma atroviride.* (**a**) Leafet assay of 1-month-old chili and (**b**) tomato leaves primed with various concentrations of mycogenic SeNPs (0, 10, 25, 50, and 100 ppm) and then artifcially inoculated with *Colletotrichum capsici* and *Alternaria solani*, respectively. (Reproduced and modifed from Joshi et al. [2019](#page-461-0))

## **9 Possible Biomedical Applications of** *Trichoderma* **Nanoparticles**

Currently, the main biomedical application that has been suggested for *Trichoderma* nanoparticles is their use as antimicrobial agents. As described in previous sections, nanoparticles synthesized by *Trichoderma* species display excellent antibacterial and antifungal capacity, thus representing a feasible option to treat infections caused by multi-resistant microbes. Although the application of these nanoparticles in the clinic is not yet a reality, there is also an increasing interest in searching eco-friendly nanomaterials for their use in other biomedical applications. Hence, some species of *Trichoderma* could be used for the biosynthesis of nanoparticles for their potential use in cancer therapy, bioimaging, biosensors, hyperthermia, photoablation therapy, and targeted drug delivery.

In fact, recent reports have suggested the potential use of *Trichoderma*-mediated nanoparticles for cancer treatment. Adebayo-Tayo et al. [\(2019](#page-459-0)) reported that SeNPs synthesized by *T. viride* exhibited cytotoxicity against Hep-2C cell line and RD cell line in a dose-dependent manner and had immune-stimulation potential by increasing the production of IgA and IgM. Thus, the authors concluded that the anticancer and immunomodulatory potential of the SeNPs synthesized by *T. viride* justifes its

<span id="page-457-0"></span>biomedical application and showcases the biotechnological relevance of the fungus (Adebayo-Tayo et al. [2019\)](#page-459-0).

Similarly, AgNPs synthesized using *T. atroviride* were reported to trigger the cancer cell death at a concentration-dependent manner. The study reported AgNPs with antioxidant and cytotoxicity activities; thus, the authors proposed further investigations for their biomedical applications (Saravanakumar and Wang [2018\)](#page-463-0). In another study, copper oxide nanoparticles (CuONPs) were synthesized using the extract of *T. asperellum*, and it was found that *Trichoderma*-mediated CuONPs possessed anticancer properties, since they induced photothermolysis of A549 cancer cells by reactive oxygen species generation, nucleus damage, mitochondrial membrane potential  $(\Delta \Psi m)$ , and regulatory protein expression (Saravanakumar et al. [2019\)](#page-463-0).

Also, Saravanakumar et al. [\(2020](#page-463-0)) reported the anticancer activity of β-D-glucanzinc oxide nanoparticles (β-D-glu-ZnO NPs). The authors frst synthesized ZnONPs using the fungal mycelia water extract derived from *T. harzianum* and then successfully conjugated ZnONPs with β-D-glucan (β-D-glu-ZnO NPs), and the conjugation was confrmed by PACE (polysaccharide analysis by carbohydrate gel electrophoresis) and FTIR. Nanoparticles exhibited a dose-dependent inhibitory effect to human pulmonary carcinoma A549 cells. These results indicated that ZnONPs and β-D-glu-ZnO NPs induced the cancer cell death through necrosis and apoptosis pathway, respectively.

Recently, AuNPs synthesized with fungal xylanases from *T. longibrachiatum* were reported to show excellent anticoagulant and thrombolytic activities on human blood. The authors suggested the biomedical application of these AuNPs in the potential management of blood coagulation disorders and thrombotic diseases (Elegbede et al. [2020\)](#page-460-0).

## **10 Other Potential Applications of** *Trichoderma* **Nanoparticles**

Most studies on *Trichoderma*-mediated nanoparticles have suggested the resulting nanomaterials as antimicrobial agents for the control of human and plants pathogens. However, other interesting applications including their use for the treatment of wastewaters, mosquito control, fruit preservation, and generation of bioelectricity, among others, have been reported. For instance, gold nanoparticles (AuNPs) and gold–silver (Au–Ag) alloy nanoparticles synthesized by biomass of *T. harzianum* were suggested as an effective candidate for catalytic degradation of toxic pollutants. AuNPs were analyzed for catalytic activity against methylene blue (MB) as a model pollutant in water. MB was degraded 39% in 30 min in the presence of AuNPs and sodium borohydrate, and the rate constant (*k*) was found to be  $0.2 \times 10^{-3}$ s<sup>-1</sup> (Tripathi et al. [2018](#page-464-0)). Alloy NPs were found to have enhanced catalytic activity toward the reduction of MB by NaBH4 in aqueous media, having a catalytic rate of  $0.88 \times 10^{-3}$  s<sup>-1</sup> (Tripathi et al. [2015\)](#page-464-0).

*T. viride* was used for the biosynthesis of AuNPs, and the resulting nanoparticles also served as an effcient biocatalyst, which reduced 4-nitrophenol to 4-aminophenol in the presence of NaBH4 (Mishra et al. [2014\)](#page-462-0). AuNPs synthesized using *Trichoderma* sp. (strain WL-Go) also exhibited efficient catalytic capability for degradation of aromatic pollutants, and it was found that AuNPs could effciently catalyze the decolorization of various azo dyes with effciency from 82.2% to 97.5% (Qu et al. [2017](#page-463-0); Qu et al. [2018](#page-463-0)). Using the same *Trichoderma* sp. WL-Go strain, lead selenide nanoparticles (PbSeNPs) were synthesized and used as catalyst for investigating the antioxidant activity using 2,2-diphenyl-1-picrylhydrazyl (DPPH) and photodegradation ability of rhodamine B dye. The results showed that the PbSeNPs could eliminate up to 88.60% of free radicals and could photodegrade 82% of rhodamine B in 30 min, thus suggesting that PbSeNPs can be used to effciently eliminate free radicals and for the treatment of persistent organic pollutants in wastewaters (Diko et al. [2020b](#page-460-0)). Silver nanoparticles synthesized using *T. reesei* were also suggested for the treatment of wastewaters since they were able to immobilize potassium amyl xanthate from model wastewater (Gemishev et al. [2019a](#page-461-0)).

*T. harzianum* was suggested for selenium and tellurium biorecovery since selenium oxide and tellurium oxide as well as the formation of elemental selenium and tellurium were found after growing *T. harzianum* with 1 mM selenite and tellurite. The authors found that the hyphal matrix provided nucleation sites for metalloid deposition with extracellular protein and extracellular polymeric substances localizing the resultant Se or Te nanoparticles (Liang et al. [2019](#page-462-0)).

Another application of nanoparticles synthesized by *Trichoderma* includes detection of mercury(II) ions. A simple, cost-effective, and selective method for the colorimetric detection of mercury(II) ions was reported using AuNPs by *T. harzianum*. The minimum concentration detected was 2.6 nM. The assay showed high specificity toward  $Hg^{2+}$  in a complex environment (Tripathi et al. [2014\)](#page-464-0).

Silver nanoparticles using *T. viride* were synthesized and incorporated into sodium alginate and tested for vegetable and fruit preservation. The results suggested that AgNPs incorporated into sodium alginate for coating vegetables and fruits are suitable for preservation. It was found that the use of this coating increased the shelf life of carrot and pear when compared to control with respect to weight loss and soluble protein content (Fayaz et al. [2009a\)](#page-461-0).

The mosquito *Aedes aegypti* is the vector for transmitting dengue, chikungunya, and yellow fever. Thus, AgNPs synthesized using *T. atroviride* were tested for the control of the insect. It was found that AgNPs showed significant efficacies against frst, second, third, and fourth instar larvae of *A. aegypti.* The synthesized AgNPs were reported as a new promising candidate for application in mosquito control (Sundaravadivelan and Padmanabhan [2014;](#page-464-0) Singh and Prakash [2015](#page-464-0)).

Finally, the generation of bioelectricity is another interesting application of silver and gold NPs synthesized by *T. hamatum*. Bioelectricity was generated by using sulfate-reducing bacteria and different concentrations (2–40 mg l<sup>-1</sup>) of AgNPs and AuNPs as a biocatalyst/microbicide and artifcial sewage water as a substrate in a

<span id="page-459-0"></span>microbial fuel chamber. The authors reported this novel methodology as an alternative to generate bioelectricity and, most importantly, as economically cheap, green, and eco-friendly technique (Saravanakumar et al. [2016](#page-463-0)).

#### **11 Summary and Conclusions**

The current use of *Trichoderma* species as biocontrol agents opens the possibility of using them in other applications such as the fabrication of nanomaterials. Thus, *Trichoderma* species represent a feasible option for the synthesis of metallic nanoparticles with a high potential to be successfully used in our daily lives. Although some species of this group of organisms have been identifed as etiologic agents of infections in immunocompromised hosts, the synthesis of nanomaterials is mostly carried out with fungal extracts or supernatants, without the use of any living material such as spores or fragmented mycelia. Therefore, their use in the biosynthesis protocol may not represent any danger if the fungus is always managed with care, following all safety protocols. At present, there is enough evidence that metallic nanoparticles mediated by *Trichoderma* have excellent antibacterial properties, and their possible use in the clinic seems promising. The same is true for their potential use in agricultural practices, since the nanoparticles of various materials such as silver, copper, and selenium possess antifungal properties, being an alternative for the control of pathogens affecting commercially important plants and crops. However, a deep analysis of the potential cytotoxic effects in human cells and their impact in the environment is still needed.

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# *Trichoderma* **Role in Anthropogenic Pollutions Mycoremediation: Pesticides and Heavy Metals**



**Jorge Poveda**

#### **Contents**



## **1 Anthropogenic Pollution and Soils**

When talking about soil, we refer to the most superficial layers of the earth's crust, which are capable of supporting plant growth, being the result of the action of environmental conditions on natural bodies and remains of living organisms, therefore representing a dynamic body made up of liquids, gases, mineral and organic solids, and living organisms. In its study, it must always be taken into account that it represents a very dynamic body formed by an abiotic component and a biotic one that is closely related to each other. This is very important in understanding its formation process and all those that take place continuously (nutrient cycle, decomposition of organic matter, degradation of pollutants, etc.) (Cachada et al. [2018\)](#page-490-0). In this sense,

J. Poveda  $(\boxtimes)$ 

Institute for Multidisciplinary Research in Applied Biology, Universidad Pública de Navarra, Pamplona, Spain

e-mail: [jorge.poveda@unavarra.es](mailto:jorge.poveda@unavarra.es)

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the soil not only represents the main substrate for food production but is also a natural habitat of biodiversity, a store of nutrients, and a regulator of the water cycle (Cachada et al. [2018](#page-490-0); Koul and Taak [2018\)](#page-492-0).

Anthropogenic activity can seriously affect the functions of soils, mainly through the agricultural and forestry sectors, construction, tourism, and industrial activities, increasing in conjunction with the increase in the world population. This leads to an increase in the amount and intensity of soil use, causing compaction, erosion, salinization, pollution, acidifcation, and loss of organic matter and biodiversity (Cachada et al. [2018](#page-490-0)).

With regard to soil contamination, it represents a serious problem in world expansion after the Industrial Revolution, due to the massive use of agrochemicals, the burning of fossil fuels, and the expansion of industrial activity. A soil is considered contaminated when it does not carry out its own processes or cannot be used for its estimated use due to the presence of contaminants (Cachada et al. [2018](#page-490-0)). The main sources of anthropogenic soil contamination include solid wastes (domestic waste, market wastes, hospital wastes, kitchen wastes, slaughterhouse wastes, industrial wastes, livestock and poultry wastes, ceramic wastes, glass, and metals), agrochemicals (pesticides, fertilizers, hormones, and animal manure), radioactive wastes, chemical wastes (hydrocarbons, solvents, and measured metals), and mining and smelting (heavy metals) (Shankar [2017](#page-494-0); Cachada et al. [2018;](#page-490-0) Koul and Taak [2018\)](#page-492-0).

Soil pollution not only causes serious environmental effects but is also against health. Contaminants present in the soil can affect human health directly when inhaled or in contact with the skin, but the most common is that they enter through ingestion, by contaminating food and water (such as aquifers). The effects that they can have on health are very diverse depending on each specifc pollutant; for example, exposure to heavy metals such as chromium, solvents, hydrocarbons, or pesticides can lead to the appearance of cancer, neuromuscular disorders, and/or congenital disorders. Similarly, soil pollutants affect ecosystems, reducing the reproductive capacity of organisms, their ability to feed themselves, and their growth and development, causing serious changes in their populations and communities. Therefore, soil pollution affects ecosystem functions, by modifying its living component (Shankar [2017](#page-494-0); Koul and Taak [2018](#page-492-0)).

The main economic sector affected by soil pollution is agriculture, seriously affecting both productivity and the quality of crops. The proportion of contaminated soil continues to increase throughout the world, estimating current losses in crop yields by about 15–25% as a consequence of soil and water contamination. Pollutants can prevent the absorption of nutrients by the roots of crops, by interacting with them, modifying the soil pH and electrical conductivity, and causing the loss of soil fertility. In addition, many of these pollutants can be absorbed by plants and stored in their tissues, contaminating food, such as heavy metals. On the other hand, soil pollution can signifcantly affect the water supply to plants, by increasing the salinity of soils and preventing its infltration/percolation (Saha et al. [2017](#page-494-0); Koul and Taak [2018;](#page-492-0) Elbana et al. [2019\)](#page-491-0). In this sense, it is important to highlight that

<span id="page-467-0"></span>agriculture is also an important source of soil and air pollution due to the use of agrochemicals, such as pesticides (Bauer et al. [2016\)](#page-490-0).

## *1.1 Pesticides*

Pesticides are chemical substances used on agricultural land and public and private areas in order to eliminate, avert, deter, control, and/or kill populations of biological agents that cause harm to human interest (Mahmood et al. [2016](#page-492-0); Ozkara et al. [2016\)](#page-493-0). Its use in the agricultural sector is above 5 billion pounds worldwide (Mahmood et al. [2016\)](#page-492-0), although it is constantly increasing, due to the need for higher food productivity to feed the growing world population (Ozkara et al. [2016\)](#page-493-0). At present, it is considered that 40% of agricultural production is lost as a result of pests, pathogens, and weeds, a percentage that would be higher without the use of pesticides, an important sign of their need today (Mahmood et al. [2016\)](#page-492-0). Therefore, since the nineteenth century, the use of chemical pesticides in pest control has caused a widespread release of these xenobiotics into the environment. Specifcally, more than 500 different pesticide formulations are currently in use, which affect not only their target organisms but many non-target organisms, including humans. In addition, many of these pesticides are hardly degraded and can persist up to 30 years in water or soils, such as organochlorine insecticides, which infuences their easy probability of entering the food chain (Ozkara et al. [2016](#page-493-0)).

In this sense, the risks derived from the use of chemical pesticides considerably exceed the benefts obtained, having drastic effects in aquatics and terrestrial ecosystems, affecting animal and plant biodiversity by acting on non-target species. Among the different groups, insecticides are the most harmful pesticides for the environment, followed by fungicides and herbicides, due to their toxicity (Mahmood et al. [2016\)](#page-492-0). The pesticides most frequently detected in soils are contaminated with organophosphorus pesticides (OPs), having been detected in more than 90% of soils in China. Organochlorine pesticides (OCs) include many products banned around the world, but due to their persistence, they are easily detected in soils today, as is the case with 2,2-bis(4-chlorophenyl)-1,1,1-trichloroethane pesticides (DDTs). On the other hand, the pesticides most implicated in poisoning problems on the planet are the anti-cholinesterase pesticides, which include both organophosphates and carbamates. Almost 70% of pesticides used in agriculture continuously contaminate soil and water through their residues. About 40% are herbicides, 30% insecticides, and the remainder include all pesticides used against plant pathogens (Sun et al. [2018\)](#page-495-0).

The uncontrolled use of pesticides causes serious damage to biodiversity, both directly and by accumulating in the food chains. The most common is to report a reduction in the amount and variety of weeds, shrubs, and insects in the ecosystem, but the populations of higher animals such as birds are also reduced. As regards human health, the World Health Organization has indicated that 3 million cases of
pesticide poisoning and more than 20 thousand deaths are registered annually. Its effects on health are highly variable and dependent on various factors, although they can immediately cause headaches, respiratory tract irritation, digestive problems, or signs on the skin such as rash and blisters, while its effects are chronically refected in damage to the immune system, neurological, cancer, or reproductive problems, among others (Mahmood et al. [2016](#page-492-0)).

# *1.2 Heavy Metals*

The term heavy metals includes all those elements with a density greater than 4 g cm−<sup>3</sup> , which includes both metals and metalloids (such as arsenic). Although some of them represent essential elements in many biological processes, in high concentrations they can be very harmful to the environment and health. This is because they are not degradable and easily accumulate in organisms. For this reason, in Europe, heavy metals are considered the main pollutants of soil and water (Vareda et al. [2019\)](#page-496-0). The origin of environmental pollution by heavy metals can be found in natural processes, such as erosion, weathering, or volcanoes, although its main source is human activity, which includes textile and paint industries, mining, smelting, wastewater, or use of agrochemicals (Mishra and Nautiyal [2009\)](#page-493-0).

The effect of heavy metals in the environment can be very serious, as a consequence of their persistence and ubiquity. Its toxicity affects all the components of the ecosystem, since they are accumulated in the tissues and pass easily to the different steps of the trophic chain. In soils and waters, high concentrations of heavy metals cause a decrease in soil microbial biomass, diversity, and activities (Abdu et al. [2017](#page-489-0)). As far as human health is concerned, exposure can occur through ingestion, inhalation, or contact with the skin, causing serious damage to the central nervous system and various vital organs or cancer (Varhdan et al. [2019\)](#page-496-0). As examples, excessive exposure to chromium (Cr) is related to cancer, to mercury (Hg) is related to immune and nervous diseases, to lead (Pb) is related to cardiovascular and neurological diseases, or to cadmium (Cd) is related to cancer or endocrine damage (Mishra and Nautiyal [2009\)](#page-493-0).

In agriculture, the entry of heavy metals is due to irrigation with wastewater, fertilization with livestock manure, and the use of agrochemicals (Rai et al. [2019\)](#page-494-0). The main route of entry of heavy metals into plant tissues is through the roots by absorption, being easily transported by the vascular bundles to the entire plant. Their toxic effect can be highly variable depending on the ability to tolerate their presence, but they generally inhibit germination, growth, and development, by deactivating different enzymes and causing stress responses, such as the accumulation of reactive oxygen species (ROS), which causes serious losses of productivity in crops (Rai et al. [2016;](#page-494-0) Bhardwaj et al. [2020](#page-490-0)).

# **2 Mycoremediation**

Bioremediation is defned as the use of different organisms, usually microorganisms or plants, to remove or neutralize the pollutants present in the environment. In the case of microorganisms, their main mechanism of action is based on the production and release of enzymes that interact with pollutants and degrade them completely or convert them into less harmful products (Dangi et al. [2019](#page-491-0)).

Mycoremediation is based on the use of fungi for the elimination of pollutants from the environment or, at least, their adverse effects (Gupta et al. [2017](#page-491-0)). Through the secretion of enzymes and other chemical compounds that modify the chemical bioavailability of heavy metals, organic chemicals, and radionuclides, fungi are able to degrade these pollutants. In this way, fungi metabolize and immobilize contaminants in the mycosphere or store them in their own cells (Singh et al. [2020](#page-495-0)). The main groups of enzymes produced by fungi and involved in the degradation of pollutants include the extracellular oxidoreductases (such as tyrosinases, laccases, manganese peroxidases, lignin peroxidases, etc.), involved in giving fungi the ability to grow on recalcitrant substrates; cell-bound enzymes (such as cytochrome P450s), involved in the formation of intracellular metabolites; and different transferase enzymes (such as nitroreductases, quinone reductases, etc.), involved in the conjugation of pollutants to form nontoxic compounds that are released into the environment (Singh et al. [2020\)](#page-495-0).

Fungi are capable of surviving in a wide diversity of different habitats, even in massively contaminated places, from where there are several groups that are isolated as possible bioremediation agents. Lignocellulosic materials are mainly biodegraded by white-rot fungi, which has been reported with the ability to bioremediate environments contaminated with endocrine disrupting chemicals, such as pesticides, as a consequence of the action of their ligninolytic enzymes on contaminants. In the bioremediation of heavy metals, marine fungi stand out, capable of inactivating their toxic ions with strategies similar to those used to tolerate the high salinity of their habitat of origin. Finally, the other large group of fungi used in bioremediation is encompassed by those that are isolated from those extreme environments where the pollutant is present, for example, wastewater from mining, known as extremophilic fungi (Deshmukh et al. [2016](#page-491-0); Singh et al. [2020\)](#page-495-0).

Fungal species capable of biodegrading almost all biodegradable pollutants have been described. As far as toxic recalcitrant compounds are concerned, we are talking about organic compounds that are very persistent in the environment and that have, in a remarkable way, carcinogenic capacity. These pollutants are mainly biodegraded by fungi such as *Curvularia*, *Aspergillus*, *Mucor*, or *Penicillium*, thanks to the high production of lipases, as in the case of hydrocarbons. Regarding heavy metals, fungi have the highest tolerance and bioremediation capacity against Cd, Cu, and Ni, and they are also capable of mycoremediating various pollutants that present them, such as dyes or pesticides. Regarding municipal solid wastes, their fermentation for the production of biogas and compost applicable as organic fertilizer in agriculture is being considered. In this sense, greater effciency is required in the process, thanks to the hydrolytic enzymatic machinery of different fungal species, which include cellulases, proteases, amylases, and lipases (Deshmukh et al. [2016;](#page-491-0) Singh et al. [2020\)](#page-495-0).

Although the ability of various unique species to biodegrade pollutants in soils and waters has been described, the process can be very slow, without completely eliminating pollutants from the site. Furthermore, fungal inoculants require an adaptation time to the contaminated environment to be able to develop and act effectively. In this sense, the accessibility and bioavailability of the contaminant can also significantly reduce the efficiency of the mycoremediation under field conditions process. Furthermore, the partial degradation of certain organic compounds, such as pesticides, can lead to the formation of new pollutants that are more toxic to the environment. For this reason, many authors highlight the importance of using bioremediation consortia formed by fungi and bacteria, greatly increasing their effectiveness and reducing all possible associated limitations. Also, there is even the possibility of transforming endogenous microorganisms in the contaminated environment with genes that allow them to biodegrade the pollutants (Gupta et al. [2017\)](#page-491-0).

# *2.1 Pesticides*

Bioremediation of pesticides in the environment can be carried out by both plants and microorganisms. The main site of pesticide phytoremediation is the rhizosphere, where there is also great microbial bioremediation activity. Therefore, plants are capable of directly degrading the pesticides present in the soil, as well as indirectly, by providing nutrients to their rhizospheric microbiota. In addition, plants can assimilate pesticides and store them in their tissues, degrade them internally through their own enzymatic machinery or that of their endophytic microbiota, and/or transform them into volatile forms that they release into the atmosphere (Eevers et al. [2017\)](#page-491-0).

Regarding the bioremediation of pesticides through the use of microorganisms, numerous investigations have been carried out in recent years, and even complete books have been dedicated, such as the one edited by Singh, in 2016. In this sense, they have described very diverse microbial communities capable of mineralizing, transforming, or degrading pesticides, being the bacteria the group with the largest number of species described so far. As bacterial examples, various species of the genus *Pseudomonas* are capable of degrading pesticides such as the herbicide 2,4-dichlorophenoxyacetic acid (2,4-D), organochlorine pesticide like endosulfan and lindane, or organophosphorus insecticide chlorpyrifos. In the group of cyanobacteria, different *Microcystis* and *Anabaena* have been described with the ability to biodegrade organophosphorus and organochlorine insecticides or the glyphosate herbicide. As regards fungi, for example, various species of white-rot fungi have been described with the ability to degrade various pesticides like atrazine, aldrin, diuron, DDT, chlordane, gamma-hexachlorocyclohexane (γ-HCH), dieldrin, lindane, heptachlor, metalaxyl, mirex, or terbuthylazine (Prabha et al. [2017;](#page-494-0) Parween et al. [2018\)](#page-493-0).

The mechanisms used by fungi to biodegrade pesticides present in soils and waters include their polar hydroxylation and demethylation, esterifcation, dehydrogenation, hydroxylation, and dioxygenation, for which it is essential to have a signifcant enzymatic capacity (Maqbool et al. [2016;](#page-493-0) Spina et al. [2018](#page-495-0)).

# *2.2 Heavy Metals*

Regarding heavy metals, without entering into metallic pollutants, such as hydrocarbons or dyes, there are also contaminated environments that can be bioremediated through the use of plants and/or microorganisms. Plants remove heavy metals from soils and waters after absorbing them by the roots through their phytoaccumulation in different tissues and organs, their phytodegradation through different enzymes and metabolic pathways, and their phytovolatilization through their transformation to volatile forms that they release into the atmosphere, thanks to its phytostabilization and thanks to its transformation into nontoxic forms by root exudates (Muthusaravanan et al. [2018\)](#page-493-0).

Today, heavy metal removal by microorganisms represents a series of advantages over other strategies, such as its simple-to-use, low cost, high adsorption capacity and large availability (Yin et al. [2019\)](#page-497-0). In the case of bacteria, they are capable of acting against the toxic effects of heavy metals in the environment by producing siderophores that chelate them, as different *Pseudomonas* species do with Pb, or by producing metal-binding proteins, called metallothioneins, by different species of *Bacillus* in the presence of Pb (Choudhary et al. [2017](#page-490-0)). As regards fungi, the main fungal species involved in the mycoremediation of heavy metals are included within the genera *Aspergillus*, *Trichoderma*, and *Penicillium*. For this, they use strategies based on extracellular sequestration by extracellular polymeric substances, such as chitosan, or on intracellular sequestration by storing them in vacuoles (Choudhary et al. [2017;](#page-490-0) Ul Hassan et al. [2017\)](#page-496-0).

# *2.3 Other Pollutants*

Many other pollutants related to pesticides and heavy metals can be present in the environment and are susceptible to being eliminated by the action of fungi, such as hydrocarbons, aromatic amines, or radioactive wastes.

Hydrocarbons are classifed as aliphatic or aromatic according to their chemical structure, both types being present in oil and natural gas, as sources of origin. These compounds are not only used as fuel but also represent the raw material for many substances in the chemical industry, such as dyes, solvents, varnishes, etc. Although resulting from biogenic and geological processes, petroleum hydrocarbons become severe pollutants when dispersed in the environment. Polycyclic aromatic hydrocarbons (PAHs) are benzene rings fused pollutants widely present in nature and with

carcinogenic activity, while aliphatic hydrocarbons are related to affectations of the nervous system. The way in which fungi degrade hydrocarbons intra- and extracellularly is through enzymes that oxidize them, to form water and nontoxic or less toxic residues (Conejo-Saucedo et al. [2019](#page-490-0); Daccò et al. [2020a](#page-491-0); Li et al. [2020\)](#page-492-0).

Aromatic amines (AAs), and their derivative compounds, are organic pollutants from very diverse industries specialized in the production of dyes, refned oils, cosmetics, agrochemicals, adhesives, medicine, etc. Due to their origin, they are widely present in the environment, posing a serious danger, as they are carcinogenic compounds. This group of chemicals also includes several groups of pesticides, due to their chemical structure. The main way by which fungi are able to eliminate them from the environment is based on their N-acetylation by enzymes N-acetyltransferases (de Lima et al. [2018\)](#page-491-0). However, yeasts capable of supporting their toxicity and reducing radioactivity have already been used, through the release of carboxylic acids and the formation of bioflms (Tkavc et al. [2018](#page-496-0)).

# **3** *Trichoderma* **and Bioremediation**

The genus *Trichoderma* includes a group of fungal species widely distributed throughout the world due to their rapid growth, their ability to use different substrates and to tolerate the presence of different contaminants (Sharma et al. [2019;](#page-495-0) Hu et al. [2020](#page-491-0)). Its main current economic interest is based on its use as a biocontrol agent in agriculture and as a producer of enzymes in different industries (Jangir et al. [2017\)](#page-492-0), although in recent years its relevance in other sectors has been increasing, as a promoter of plant growth and tolerance to abiotic stresses (Poveda et al. [2019a](#page-493-0); Poveda [2020](#page-493-0)), source of genes for use in biotechnology (Poveda et al. [2019b\)](#page-493-0), or mycoremediator.

In its interaction with the plant, *Trichoderma* behaves as a root endophyte, colonizing only the outermost layers of the root, due to a plant defense response mediated by salicylic acid, which prevents the fungus from reaching the vascular bundles and behaving like a systemic pathogen (Alonso-Ramírez et al. [2014](#page-489-0); Poveda et al. [2020a](#page-493-0)). In this way, *Trichoderma* is also capable of activating systemic plant defenses against the attack of pests and/or pathogens (Poveda et al. [2020b](#page-494-0)) and acts as a biofertilizer (Zhang et al. [2018a](#page-497-0)).

One of the characteristics that make *Trichoderma* a good alternative for its use in agriculture is its resistance to various fungicides, which allows its inclusion of integrated crop protection management programs. Some of the fungicides to which *Trichoderma* is resistant are azoxystrobin, metalaxyl, carbendazim, chlorothalonil, copper oxy chloride, mancozeb, boscalid, cyazofamid, myclobutanil, pentachloronitrobenzene, propamocarb, or trifoxystrobin, among others (Shashikumar et al. [2019;](#page-495-0) Widmer et al. [2019](#page-496-0)). Similarly, *Trichoderma* is able to tolerate the presence of many different contaminants, which, together with its ability to eliminate them or reduce their toxicity, make it an effective mycoremediator agent.

How generally *Trichoderma* strains are selected to be used for mycoremediation is based on isolation from contaminated environments, where it is certain that he is able to survive (Tripathi et al. [2013](#page-496-0)). This is because *Trichoderma* is capable of obtaining resources from a wide variety of different substrates, as well as surviving extreme conditions, which makes it a better alternative than many other microorganisms used in bioremediation (Solanki et al. [2019\)](#page-495-0).

Following, the different studies carried out in the mycoremediation of pesticides, heavy metals, and other pollutants by using different strains of *Trichoderma* (which have been compiled in Table [1](#page-474-0)) will be explained. This process of bioremediation by *Trichoderma* is carried out by different mechanisms, depending on the chemical nature of the specifc pollutant, including, mainly, biosorption/bioaccumulation, biovolatilization by enzymatic conversation, and phytobial remediation, or microbeassisted phytoremediation (Tripathi et al. [2013](#page-496-0)).

## **4** *Trichoderma* **and Pesticides**

First studies that determine the ability of *Trichoderma* to degrade different pesticides began in the 1990s (Katayama and Matsumura [1993](#page-492-0)), but it is in the last 20 years when the mechanisms involved and the wide diversity of pesticides on which it is capable of act.

Fungicides currently represent the only effective control strategy for various plant diseases. Its massive, repeated, and uncontrolled use leads to its excessive accumulation in soils, with very negative effects on the environment (Baćmaga et al. [2019](#page-490-0)). Both in vitro and in feld, the ability of *Trichoderma* to degrade this group of compounds by up to 85% in 5 days has been reported (Sharma et al. [2016;](#page-495-0) Podbielska et al. [2020\)](#page-493-0). This is a consequence of the action of the cytochrome P450 enzyme, implicated in the degradation of the fungicide climbazole (Manasf et al. [2020\)](#page-492-0).

With regard to insecticides, *T. atroviride* is capable of degrading in vitro up to 96% of compounds such as the organophosphate insecticide dichlorvos or the neonicotinoid insecticide imidacloprid (Tang et al. [2009;](#page-495-0) He et al. [2014](#page-491-0)). Dichlorvos (O, O-dimethyl-2,2-dichlorovinyl phosphate) is an insecticide that causes serious damage to aquatic ecosystems due to its water solubility, being efficiently degraded by the enzymes hygromycin B phosphotransferase and a paraoxonase-like enzyme of *T. atroviride* (Tang et al. [2009](#page-495-0); Sun et al. [2019](#page-495-0)). On the other hand, the capacity of *T. asperellum* to favor a degradation of up to 75% in 5 days of the organophosphate insecticide phoxim has been described, by favoring an increase in glutathione S-transferase, peroxidase, and polyphenol peroxidase activity in tomato roots (Chen et al. [2020\)](#page-490-0).

Herbicides are a group of pesticides widely used on all crops. Its presence in soils and waters causes serious environmental damages, mainly against the microorganisms present and when entering the food chain (Singh and Singh [2016\)](#page-495-0). Glyphosate has been the most widely used herbicide in the last 30 years, as a

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# *Trichoderma* Role in Anthropogenic Pollutions Mycoremediation: Pesticides…











*Trichoderma* Role in Anthropogenic Pollutions Mycoremediation: Pesticides…



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consequence of the development of specifcally resistant transgenic crops. Its toxicity is described as particularly harmful to animals as it occurs in the food chain, although its carcinogenic capacity has not been fully demonstrated (Xu et al. [2019\)](#page-496-0). In this sense, *T. viride* and *T. inhamatum* have been described with the ability to degrade glyphosate, both in vitro and in the feld, up to 70%, due to its use as a phosphorus resource and the action of urease enzymes (Arfarita et al. [2013](#page-490-0), [2016;](#page-490-0) Kunanbayev et al. [2019\)](#page-492-0). Alachlor herbicide has also been described as possibly carcinogenic, but it is certainly an endocrine-disrupting compound. Its total degradation in 7 days has been reported by various species of *Trichoderma* through its dechlorination and hydroxylation, intervening cytochrome P450 and laccase enzymes; as it happens with metolachlor, another chloroacetanilide herbicide (Nykiel-Szymańska et al. [2018,](#page-493-0) [2020\)](#page-493-0).

Finally, there is a group of pesticides that can be used against a wide variety of pathogens and pests, the so-called broad spectrum. Pentachlorophenol has been used as a general biocide for many different purposes, becoming very harmful to the environment and health, by forming an important reservoir source of dioxins and furans (Verbrugge et al. [2018\)](#page-496-0). *T. harzianum* has been reported as a potent mycoremediation agent for this pesticide through the methylation of phenolic compounds, degrading it to 100% in 7 days, in vitro and in soil (Rigot and Matsumura [2002;](#page-494-0) Vacondio et al. [2015\)](#page-496-0). Moreover, the bioremedial capacity of *Trichoderma* can be used to obtain compounds of interest in different industries. Through the dehalogenation of the broad spectrum pesticide 3-chloropropionic acid, *Trichoderma* is capable of forming propionic acid, an additive widely used in animal feed and in the manufacture of biodegradable polymers (Edbeib [2020\)](#page-491-0).

# **5** *Trichoderma* **and Heavy Metals**

As in the case of pesticides, the frst studies that demonstrated the ability of *Trichoderma* to eliminate heavy metals from the environment date from the 1990s (Krantz-Rülcker et al. [1996\)](#page-492-0). The main mechanism used by *Trichoderma* to heavy metals mycoremediation is its biosorption.

Cadmium (Cd) is a non-essential trace metal, very toxic for the environment and health. In humans, Cd can cause lung cancer in long-term exposure or kidney and bone damages in high exposure (Liu et al. [2017](#page-492-0)). Through the biosorption of Cd, *Trichoderma* species, such as *T. asperellum* or *T. harzianum*, are capable of reducing its presence in vitro by up to 90% in 21 days (Mohsenzadeh and Shahrokhi [2014;](#page-493-0) Hoseinzadeh et al. [2017](#page-491-0); Maurya et al. [2019\)](#page-493-0). As a consequence, *Trichoderma* is capable of increasing the tolerance in Cd-contaminated soils of crack willow (*Salix fragilis*) (Adams et al. [2007](#page-489-0)), spinach (Herliana et al. [2018](#page-491-0)), or *Arabidopsis thaliana* (Zhang et al. [2018b](#page-497-0)), also increasing Cd phytoaccumulation in oilseed rapes (*Brassica napus* and *B. juncea*) (Cao et al. [2008;](#page-490-0) Wang et al. [2009](#page-496-0)).

Lead (Pb) is a toxic metal from waste batteries and paint, mining and smelting activities, and combustion of fossil fuels. It is a very harmful element for health, since it is a powerful neurotoxic that can lead to death (Arnemo et al. [2016\)](#page-490-0). Several species of *Trichoderma* have been reported with the ability to reduce the amount of Pb in vitro above 95% in 21 days due to its biosorption (Siddiquee et al. [2013;](#page-495-0) Tansengco et al. [2018](#page-495-0); Maurya et al. [2019\)](#page-493-0), in which the functional groups of its polysaccharides, with a high affnity for metal ions, are involved (Sun et al. [2020\)](#page-495-0). Through this mechanism, it has been described how earthworms are capable of eliminating the Pb present in the soil, by having *T. brevicompactum* in their intestine (Zhang et al. [2020](#page-497-0)). Another mechanism reported in *Trichoderma* has been the formation of metal carbonates by different enzymatic activities (such as phosphatase, dehydrogenase, cellulase, urease, amylase, and invertase), removing 70% of Pb in contaminated soils (Govarthanan et al. [2018,](#page-491-0) [2019](#page-491-0)). In this way, *T. harzianum* and *T. asperellum* are capable of improving the tolerance of *S. fragilis*, *A. thaliana*, and *Suaeda salsa* in soils contaminated with Pb, reducing oxidative stress in the plant (Adams et al. [2007;](#page-489-0) Zhang et al. [2018b](#page-497-0); Li et al. [2019\)](#page-492-0).

Copper (Cu) is an essential element for plants as it is involved in numerous physiological processes. However, high levels of Cu are very harmful for plant growth, being also toxic for animals (Rehman et al. [2019\)](#page-494-0). In vitro, *Trichoderma* is able to remove the Cu present up to 85% in 120 h (Yazdani et al. [2009;](#page-496-0) Tansengco et al. [2018;](#page-495-0) Kumar and Dwivedi [2021](#page-492-0)), also observed in *T. brevicompactum* in intestinal earthworms (Zhang et al. [2020\)](#page-497-0), although in soil its capacity is reduced to 20% removal (Pehlivan et al. [2020](#page-493-0)). By means of biosorption of Cu mediums, the dead biomass of *T. koningiopsis* has been used in the production of Cu nanoparticles (Salvadori et al. [2014](#page-494-0)). Moreover, *Trichoderma* is capable of increasing plant tolerance in soils contaminated with high amounts of Cu and increasing its phytoaccumulation (Kacprzak et al. [2014;](#page-492-0) Vargas et al. [2017](#page-496-0)).

Chromium (Cr) is a very useful metal to many industries. In nature, it is found as Cr(III), without being harmful, but when oxidized to its Cr(VI) form due to anthropogenic activity, it presents high toxicity. The main damage to the environment and health of Cr(VI) is due to its corrosive nature, causing serious injuries when in contact with internal epithelia (ingestion or inhalation) or external (Coetzee et al. [2020\)](#page-490-0). In Cr(VI) bioremediation by *Trichoderma*, a reduction to Cr(III) is necessary followed by a biosorption (Ray and Sur [2016;](#page-494-0) Saranya et al. [2020](#page-494-0)). In this sense, *Trichoderma* is capable of eliminating almost 100% of Cr(IV) in vitro (Vankar and Bajpai [2008](#page-496-0); Shukla and Vankar [2014](#page-495-0)) and 30% in soil (Pehlivan et al. [2020](#page-493-0)).

Nickel (Ni) is a heavy metal considered an essential microelement for many plant physiological processes involved in its correct growth and development. However, excessive amounts of Ni in soils or waters cause serious toxicity symptoms in plants, such as chlorosis and growth inhibition, since their photosynthetic, respiratory, and water and nutrient transport activity are reduced. Ni environmental pollution is mainly a consequence of the metallurgical and electroplating industries. In animals, Ni easily accumulates in tissues, causing serious embryo-toxic, teratogenic, and carcinogenic damages (Shahzad et al. [2018](#page-494-0)). The ability of several *Trichoderma* species to bioaccumulate Ni by biosorption has been reported, reducing its presence in the soil by up to 78% (Hoseinzadeh et al. [2017;](#page-491-0) Tansengco et al. [2018\)](#page-495-0). Furthermore, in interaction with plants, *T. atroviride* and *T. asperellum*, as examples, are capable of increasing the tolerance and phytoaccumulation of *B. juncea* and cacao, respectively, in Ni-contaminated soils (Cao et al. [2008](#page-490-0); Rosmana et al. [2019\)](#page-494-0).

Zinc (Zn) is an essential element for many biological processes in all organisms, such as protein synthesis or cell division. Its main toxicity problem due to excessive pollution of the environment has been observed in aquatic ecosystems, where it can be very harmful to life (Andarani et al. [2020](#page-489-0)). Although *T. harzianum*, *T. atroviride*, and *T. virens* are capable of eliminating the compound by biosorption, their capacity is very low, with removal percentages of 50% in vitro (Yazdani et al. [2010;](#page-496-0) Siddiquee et al. [2013;](#page-495-0) Tansengco et al. [2018\)](#page-495-0) and 10% in soil (Pehlivan et al. [2020\)](#page-493-0).

Arsenic (As) is the most widely distributed metalloid on the planet. The contamination of aquifers by As is the main sequence of natural geochemical mechanisms, but there are also minor anthropogenic sources, such as agrochemicals. Being present in aquifers, it quickly enters the food chain, causing serious damage to the vascular, nervous, and skin systems, as well as cancer (Alka et al. [2020\)](#page-489-0). The removal of As by *Trichoderma* is performed through its reduction and methylation before its biosorption, transforming it into the nontoxic forms As(V) and As(III) (Su et al. [2011;](#page-495-0) Su et al. [2017\)](#page-495-0), thus such as the formation of metal carbonates by the action of urease enzymes (Govarthanan et al. [2019\)](#page-491-0). In this way, up to 70% of As is eliminated in vitro (Govarthanan et al. [2018](#page-491-0)) and percentages close to 10% in soil (Pehlivan et al. [2020](#page-493-0)). Due to this, the tolerance of water spinach (*Ipomoea aquatic*) and chickpea is increased in soils contaminated with As by *Trichoderma* application (Su et al. [2017](#page-495-0); Tripathi et al. [2017\)](#page-496-0).

# **6** *Trichoderma* **and Other Pollutants**

In relation to pesticides and heavy metals, *Trichoderma* has also been reported as an effcient mycoremediation agent against a great variety of pollutants of very varied origin, through mechanisms of action such as those already described.

The main group of hydrocarbons polluting the environment are the polycyclic aromatic hydrocarbons (PAHs), whose adverse effects have already been described. The ability of *Trichoderma* to eliminate the toxicity of PAHs in different soils has been widely reported, due to its use as a carbon resource (Daccò et al. [2020b\)](#page-491-0) by the action of various enzymes (dehydrogenase, catechol 1,2 dioxygenase, laccase, and peroxidase) (Yao et al. [2015](#page-496-0); Zafra et al. [2015](#page-497-0)). In this way, it has been possible to eliminate up to 75% of the phenanthrene (Cobas et al. [2013;](#page-490-0) Zafra et al. [2015](#page-497-0)), 80% of the pyrene (Zafra et al. [2015;](#page-497-0) Al Farraj et al. [2020](#page-489-0)) and benzo[a]pyrene (Yao et al. [2015;](#page-496-0) Zafra et al. [2015](#page-497-0)), or 50% of naphthalene (Miles et al. [2020](#page-493-0)). In the same way, *Trichoderma* is capable of eliminating diesel present as a pollutant in different soils. In vitro, *T. reesei* eliminates up to 95% of the diesel in 40 days (Nazifa et al. [2018\)](#page-493-0), while in soil the percentage is reduced to 70% by *T. harzianum* (Elshafe et al. [2020\)](#page-491-0). Diesel degradation occurs through dehydrogenase and phenoloxidase enzymes (Mishra and Nautiyal [2009](#page-493-0); Andreolli et al. [2016\)](#page-489-0).

The main source of contamination by dyes comes from the widely distributed worldwide coloring industry, specifcally from its wastewaters. The dyes present a great potential of damage to the environment, due to their mutagenic and carcinogenic capacity, and their direct damage to kidney, liver, brain, reproductive system, and central nervous system (Kaykhaii et al. [2018\)](#page-492-0). The main mechanism of action of *Trichoderma* in the mycoremediation of dyes is through its enzymatic degradation. In vitro, *T. asperellum* and *T. harzianum* are capable of degrading by the action of laccase enzymes up to 98% of methylene blue (Ranimol et al. [2018](#page-494-0)) and malachite green (Shanmugam et al. [2017b](#page-494-0); Ranimol et al. [2018\)](#page-494-0), 96% of Congo red (Ranimol et al. [2018\)](#page-494-0), or 60% of crystal violet (Shanmugam et al. [2017a;](#page-494-0) Ranimol et al. [2018](#page-494-0)). Although in the degradation of up to 88% of creson red in 30 days by *T. harzianum*, the activity of the enzymes manganese peroxidase, lignin peroxidase, and 1,2- and 2,3-dioxygenase has also been reported (Nor et al. [2015](#page-493-0)).

There are many other pollutants against which *Trichoderma*'s ability as a mycoremediation agent has been reported, which are listed in Table [1.](#page-474-0) Some of them include the degradation of detergents by invertase and protease enzymes from *T. harzianum* (Jakovljević et al. [2015](#page-492-0)), phenolic compounds or plastics by laccase enzymes (Balcázar-López et al. [2016;](#page-490-0) Lawrance et al. [2019\)](#page-492-0), cyanide by cyanide hydratase and rhodanese enzymes (Ezzi and Lynch [2002;](#page-491-0) Zhou et al. [2007\)](#page-497-0), and even 2,4,6-trinitrotoluene (TNT) (Alothman et al. [2020](#page-489-0)).

# **7 Conclusions**

Soils and waters around the world present, to a greater or lesser extent, some pollutant that is seriously harmful to the environment and health. Due to their presence in soils and waters and their toxicity, pesticides and heavy metals represent the main pollutants in the agricultural system. In this sense, bioremediation is an effective strategy for the elimination of these contaminants, highlighting the role played by fungal enzymes in mycoremediation, which allows the degradation and/or conjugation of these harmful elements.

The use of fungi in the bioremediation of pollutants in soils and waters presents a series of limitations and drawbacks. The process can be very slow and incomplete, since the fungi need a period of adaptation to the new environment once they are inoculated, and have access to the contaminant of interest. Moreover, the transformation of pollutants to more toxic forms by fungal action can occur. However, mycoremediation is an innovative, cost-effective, and ecologically benefcial technology in removing contaminants such as pesticides and heavy metals.

Due to their ability to survive in highly polluted extreme environments and the extensive enzymatic library they possess, there are numerous species of the genus *Trichoderma* capable of effectively bioremediating a wide range of different contaminants. Thanks to its enzymatic activity, *Trichoderma* is capable of degrading in percentages close to 100% such polluting pesticides as glyphosate or pentachlorophenol. In addition, its mycoremediation capacity can have derived benefts, as is <span id="page-489-0"></span>the case with the broad spectrum pesticide 3-chloropropionic acid, transformed by *Trichoderma* into propionic acid.

As far as heavy metals are concerned, in vitro it has been proven that *Trichoderma* is capable of effectively eliminating almost all of the contaminant by biosorption, although in its application on soils the elimination percentages are even reduced to one-tenth. Despite the wide variety of heavy metals that *Trichoderma* is capable of bioaccumulating, its low efficiency in natural environments represents a difficulty for its widespread use.

Furthermore, various species of *Trichoderma* have been described with the ability to remove many other pollutants from soils and waters, thanks to mechanisms similar to those used against pesticides and heavy metals. These include hydrocarbons, dyes, detergents, phenolic compounds, or cyanide. Therefore, *Trichoderma* is a powerful mycoremediation agent for the main current environmental pollutants, although even more studies are necessary on its application in natural environments, in order to obtain efficient elimination processes.

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# *Trichoderma* **and Its Products From Laboratory to Patient Bedside in Medical Science: An Emerging Aspect**



## **Swapan Kumar Ghosh**

#### **Contents**



# **1 Introduction**

*Trichoderma* Pers. Fr. genus belongs to the family *Hypocreaceae*, order *Hypocreales*, and phylum *Ascomycota*. Although we have acquired huge knowledge of this genus, the taxonomy of *Trichoderma* is still rather incomplete, and the distinction of species in the genus *Trichoderma* remains problematic. The taxonomy and identifcation of *Trichoderma* were originally introduced by Christiaan Hendri Persoon in

S. K. Ghosh  $(\boxtimes)$ 

Molecular Mycopathology Lab., Biocontrol and Cancer Research Unit, Ramakrishna Mission Vivekananda Centenary College (Autonomous), Kolkata, West Bengal, India

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1794 (Römer's Neues Mag. Bot. 1:92. 1794), but there have still been recent nomenclatural problems. As per Mukherjee et al. ([2013\)](#page-538-0), more than 200 well-defned species of *Trichoderma* exist, but phenotypic and phylogenetic analysis gave us information of about 260 species, which have been recognized and accepted (Qin and Zhuang [2016;](#page-539-0) Sun et al. [2016;](#page-541-0) Jaklitsch and Voglmayr [2015;](#page-536-0) Bissett et al. [2015\)](#page-532-0). More recently, more than 300 species of the genus *Trichoderma* have been described (Zhang and Zhuang [2018](#page-543-0); Bissett et al. [2015](#page-532-0)). According to Cai and Druzhinina [\(2021](#page-532-0)), validated spp. of *Trichoderma* are 375 species. The members of this genus produce bioactive compounds of clinical signifcance and enzymes with widespread industrial application (Mukherjee et al. [2013](#page-538-0)). Its role in ecology is well worth, that is, to decompose of plant and animal residues in the soil. Some *Trichoderma* species produce large amount of cellulase enzymes (Juhász et al. [2003\)](#page-536-0). Several species of this genus have excellent antagonistic properties against plant pathogenic fungi (Sivan and Chet [1986](#page-541-0); Naár and Kecskés [1995;](#page-538-0) Naseby et al. [2000](#page-538-0)). Therefore, they are frequently being applied in agrifelds for the biological control of several plant diseases (Papavizas [1985](#page-539-0); Ghosh et al. [2018](#page-534-0)). The discovery of gliotoxin in the early 1930s (Weindling [1934\)](#page-542-0) encouraged scientists to search bioactive compounds or secondary metabolites within *Trichoderma*, and over the years research revealed that more than 1000 compounds have been estimated to be produced by this genus (Hermosa et al. [2012\)](#page-535-0). Recently, a new species of *Trichoderma – T. hypoxylon –* has been reported to harbor enormous numbers of secondary metabolites (Sun et al. [2016\)](#page-541-0). *Trichoderma*-derived secondary metabolites comprise of non-ribosomal peptides (NRPs) such as antibiotic peptides, known as peptaibols (peptaibiotics), siderophores and diketopiperazines-like gliotoxin and gliovirin, polyketides, terpenes, pyrones, and isocyanate metabolites; enzymes; fatty acids; etc. (Daniel et al. [2007;](#page-533-0) Reino et al. [2008](#page-540-0); Zeilinger et al. [2016\)](#page-543-0). Several secondary metabolites produced by *Trichoderma* species have been reported to have enormous pharmaceutical values such as antibacterial (Cheng et al. [2011;](#page-533-0) De Zotti et al. [2009\)](#page-533-0), antiviral (Lu et al. [2002](#page-537-0)), antiprotozoal (Ciscotto et al. [2009](#page-533-0)), antifungal (Ande et al. [2008\)](#page-531-0), anticancer activities (Shi et al. [2010](#page-540-0); Liu et al. [2009](#page-537-0)), etc. So, it may be called as miniature of pharmaceutical factor.

Now due to fast growth of human population and climatic changes, humans are facing some fatal diseases like cancer, ailment of kidney, liver, diabetes, pandemic viral disease (COVID-19), etc. For the prevention and cure from them, scientists are tirelessly searching new natural compounds from different bio-sources, like plants, bacteria, fungi, animals, etc. As members of *Trichoderma* harbor hundreds of bioactives compounds, their proper detection, isolation, purifcation, and proper application in medical science are burning topics for research for human welfare. In this chapter, how much *Trichoderma* has drawn attention of scientists to apply them in medical science for managing acute or fatal diseases has been narrated.

# <span id="page-500-0"></span>**2 Some Important Compounds Originated from** *Trichoderma* **and Their Application in Medical Sciences**

The metabolites extracted and isolated from *Trichoderma* spp. include non-ribosomal peptides (NRPs) such as antibiotic peptides, known as peptaibols (peptaibiotics), siderophores and diketopiperazines-like gliotoxin and gliovirin, polyketides, terpenes, pyrones, and isocyanate metabolites, enzymes, fatty acids, etc. (Daniel et al. [2007;](#page-533-0) Reino et al*.* 2007; Zeilinger et al. [2016\)](#page-543-0).

# *2.1 Peptaibiotics or Peptaibols*

The discovery of peptide antibiotics (peptaibiotics) produced by fungi has attracted attention, because they are efficient weapon against pathogens. On the basis of chemical structures, peptaibiotics are generally categorized into different kinds of peptide such as the following: (a) peptaibols which bears at least an acylated N-terminus and an amide-bound amino alcohol at the C-terminus; (b) lipopeptaibols, where one or more fatty acid residues are incorporated in peptaibols; (c) lipoaminopeptides, also known as aminolipopeptides, which are Aib-containing peptides having one or more lipoaminoacid residues; (d) cyclic peptaibiotics, where the frst and the last residues are bound to form an arch-shaped structure; (e) other peptaibiotics, including Aib-containing peptides, which do not ft into any of the other categories described above; and (f) the so-called all-Aib-replaced, a mixture of short-sequence peptaibols, in which the single marker Aib has been replaced by one of the standard amino acids (Neumann et al. [2015\)](#page-538-0).

The name "peptaibol" is derived from *pep*tide, *Aib* (α-*a*mino *i*so*b*utyrate acid or α-methyl alanine), and amino alcoh*ol*, referring to these main features. Peptaibols are included in a class of compounds called peptaibiotics. They are defned as peptides derived from fungal secondary metabolism, consisting of approximately 4–21 amino acid residues. The 83% of all described peptaibiotics originated from *Trichoderma* (n = 738) or *Hypocrea* spp. (n = 92), the former name of *Trichoderma* teleomorph, now synonym. Among them, *T. viride, T. harzianum, T. virens, T. brevicompactum,* and *T. parceramosum* (name is not in use) are highly studied species (Stoppacher et al. [2013\)](#page-541-0). One of the main features of peptaibols is the presence of non-proteinogenic amino acids, such as α-aminoisobutyrate acid (Aib) or IVA (isovaleric acid or  $\alpha$ -ethyl alanine or ethylnorvaline (EtNor)), and many have a number of amino acids, either proline (Pro) or hydroxyproline (Hyp) (Stoppacher et al. [2013\)](#page-541-0). Aib residue facilitates for the formation of helical structures due to the steric constraints imposed by the second methyl group on the  $C\alpha$  atom, while the amino acids promote the formation of bends or kinks in these structures (Chugh and Wallace [2001](#page-533-0)). At the N-terminus, there are modifcations such as acyl or acetyl groups, and at the C-terminus there is the presence of an amino alcohol such

phenylalaninol (Phe-OH), prolinol (Pro-OH), or valinol (Val-OH) (Daniel et al. [2007\)](#page-533-0). They create voltage-dependent ion channels within the pathogen membrane (Milov et al. [2016](#page-538-0)). The frst compound of this group was alamethicin, which was isolated from *T. viride* (Brewer et al. [1987;](#page-532-0) Meyer and Reusser [1967\)](#page-537-0). The peptaibols can be divided into three groups on the basis of the chain lengths of the amino acid sequences: the long-sequence peptaibols with 18–20 amino acid residues, exemplifed by the alamethicin or the suzukacillins from *T. viride* (Katz et al. [1985](#page-536-0)) or trichokonins from *T. koningii* (Huang et al. [1995\)](#page-535-0) (20 amino acid residues) or trichorzianins *T. harzianum* (19 amino acid residues) or trichotoxins from *T. viride* (Brückner et al. [1985](#page-532-0)) (18 amino acid residues); the short-sequence peptaibols with 11–17 residues, exemplifed by the harzianins (Lucaciu et al. [1997\)](#page-537-0), the harzianins HA (14 residues) from *T. harzianum* (Rebuffat et al. [1995\)](#page-540-0), the trikoningins KB (11 residues) from *T. koningii* (Auvin-Guette et al*.* [1993\)](#page-531-0), and the trichorozins from *T. harzianum* (Iida et al*.* 1995); and the lipopeptaibols with 6 or 10 residues (Peggion et al. [2001](#page-539-0)) where the N-terminal amino acid is acylated by a short-chain fatty acid, e.g., trichogin A IV from *T. longibrachiatum* (Auvin-Guette et al. [1992\)](#page-531-0) (11 residues). The species and strains of the genus *Trichoderma* are capable of producing all three groups of peptides, as exemplifed by the trichoaureocins, isolated from *T. aureoviride*. Table [1](#page-502-0) shows some examples of the peptides and peptaibols with their amino acid residues isolated from *Trichoderma* species. Several peptaibols from *Trichoderma* have already been loaded on "The Comprehensive Peptaibiotics Database," including information such as amino acid sequences, molecular formulae, monoisotopic masses, and groupings for 1043 peptaibiotics (Stoppacher et al. [2013;](#page-541-0) <http://peptaibiotics-database.boku.ac.at>). A graphical representation of peptides in peptide database up to 2014 has been displayed in Fig. [1a](#page-503-0). The Comprehensive Peptaibiotics Database consists of 1297 peptaibiotic sequences. Up to June, 2014 (Neumann et al. [2015\)](#page-538-0), the lipopeptide antibiotics (linear or cyclic) isolated from different fungi since 2000 from different sources have been reviewed by Zhao et al. [\(2019a,](#page-543-0) [b\)](#page-543-0). Fungi-derived lipopeptide antibiotics can be classifed into four distinct categories: cyclic depsipeptides, peptaibiotics (e.g., peptaibols, lipoaminopeptides, and lipopeptaibols), non-depsipeptide cyclic lipopeptides (e.g., acetyl and anthranilic acid peptide derivatives, echinocandins, and aspochracins), and non-peptaibiotic linear lipopeptides (Zhao et al. [2019a, b](#page-543-0)). In cyclic depsipeptides, amide groups are replaced by corresponding lactone bonds due to the presence of a hydroxylated carboxylic acid or amino acid with a hydroxyl group in the core ring. Non-peptaibol peptaibiotics (lipoaminopeptides) like trichoderins A, B, and A1 and trichopolyn VI are isolated from *Trichoderma* sp. and *T. brevicompactum* (Zhao et al. [2019a,](#page-543-0) [b;](#page-543-0) Suga et al. [2015\)](#page-541-0). It is interesting to note the unique trichopolyn group from *T. polysporum* **(**Fig. [1b](#page-503-0)) as they have an *R*-2-methyldecanoyl group esterifying the N-terminal amino acid, a 2-amino-6-hydroxy-4-methyl-8-oxodecanoic acid residue at position 2, and the unusual C-terminal group (Fujita et al. [1981;](#page-534-0) Mihara et al. [1994\)](#page-537-0). Zhao et al. [\(2019a, b](#page-543-0)) also compiled information on non-lipopeptide peptide antibiotics including 2,5-diketopiperazines (DKPs), as well as typical peptides and their analogs, originated from different fungi and different sources since 2000 in another review paper.

Trichoderma sp.	Peptaibol (amino acid number)	Reference
T. viride	Alamethicin (20)	Brewer et al. (1987) and Meyer and <b>Reusser</b> (1967)
	Trichotoxin A40 (acidic) (18)	Bruckner et al. (1985)
	Trichotoxin A50 (Neutral) (18)	Jaworski and Brückner (1999)
	Suzukacillin A (20)	Katz et al. (1985) and Krause et al. (2006)
	Trichovirins II (14)	Jaworski et al. (1999)
	Trichodecenins I, II (lipopeptaibols) (7)	Fujita et al. (1994)
T. polysporum	Polysporins A-D (20)	New et al. (1996)
	Trichosporins B-V (20)	Iida et al. (1993)
T. reesei	Paracelsin (20)	Bruckner et al. (1984)
T. saturnisporum	Paracelsin E (20)	Ritieni et al. (1995)
	Saturnisporins SA II, SA IV (20)	Rebuffat et al. (1993)
T. koningii	Trichokonins V-VIII (20)	Huang et al. $(1995)$
	Trikoningins KA, KB (19)	Auvin-Guette et al. (1993)
	Gliodeliquescins (20)	Huang et al. (1995)
T. longibrachiatum	Longibrachins A-II-b (neutral), B-II, and B-III (acidic) $(20)$	Mohamed-Benkada et al. (2006)
	Tricholongins B I, B II (19)	Rebuffat et al. (1991)
	Longibrachins LGBII, LGBIII (20)	Leclerc et al. (1998, 2001)
	Trichogin A IV (lip peptaibols) (11)	Auvin-Guette et al. (1992)
T. orientale	Hyporientalin A (20)	Touati et al. (2018)
T. harzianum	Trichorzianines A, B El (19)	Rebuffat et al. (1989) and Bodo et al. (1985)
	Trichokindins I-VII (18)	Iida et al. (1994)
	Harzianins HA (14)	Rebuffat et al. (1995) and Lucaciu et al. (1997)
	Trichorozins I-IV (11)	Iida et al. 1995
	Trichorzins (18)	Hlimi et al. (1995)
	Trichotoxin A50 (neutral)	Suwan et al. 2000
T. atroviride	Atroviridins A-C (20)	Oh et al. 2000
	Neoatroviridins $A$ –D (18–20)	Oh et al. (2000)
	TA-17A-Ix to -IVx $(17)$	Carrouxa et al. (2013)
T. asperellum	Trichotoxin A-50 (18)	Chutrakul et al. (2008)
	Trichotoxin A-50 $E(18)$ Trichotoxin A-50 $F(18)$ Trichotoxin A-50 I $(18)$ Trichotoxin A-50 J (18)	Stoppacher et al. (2013) and Tamandegani et al. (2020)
	Asperelines $A-F(10)$	Ren et al. (2009)
	Asperelines G and Hc (10)	Chen et al. (2013)
T. aureoviride	Trichoaureocins	Bruckner et al. (2002)
Trichoderma sp.	Trichofumins A-B (11), C-D (13)	Berg et al. (2003)

<span id="page-502-0"></span>**Table 1** A list of some peptaibols isolated from different species of *Trichoderma*

<span id="page-503-0"></span>

**Fig.1** (**a**) Graphical presentation of the distribution of peptides including peptaibols in peptide database (Stoppacher et al. [2013\)](#page-541-0). (Figure drawn on the basis of Stoppacher et al. [2013](#page-541-0); Neumann et al. [2015](#page-538-0) (total peptibiotics, 1297)). (**b**) Structure of trichopolyns I and II

#### **Why Are Peptaibols Called as Non-ribosomal Peptides?**

This is because these compounds do not result from gene transcription and subsequent translation but are formed from multienzyme complex called non-ribosomal peptide synthetases (NRPSs).

#### **What Are the Steps of Synthesis?**

In summary, these multienzyme complexes are formed by a set of modules, where each module has catalytic domains responsible for the synthesis steps (Schwarzer et al. [2003](#page-540-0)). In general, there are three main steps, the 1st step begins in domain A (adenylation), where the biosynthetic process begins with amino acid entry, which is activated by adenylation. In the 2nd step, the activated amino acid is attached to a PCP protein cofactor (HS-4′PP) that acts as a carrier between the catalytic centers, and the 3rd or the fnal step, in domain C (condensation), peptide bond formation occurs (Mootz et al. [2002](#page-538-0); Schwarzer et al. [2003](#page-540-0)).

### **Molecular Mechanism of Action of Peptaibols**

The mechanism of biological activity of peptaibols lies on the fact that they form voltage-based ion channels in the membrane of pathogens due to amphipathic nature (Chugh and Wallace [2001;](#page-533-0) Iida et al. [1995\)](#page-535-0). Due to amphiphilic nature, peptaibols show "detergent-like" properties. It has been well-established that they perturb the permeability properties of phospholipid bilayers. The structural peculiarity of peptaibols confers an amphiphilic helix-favoring character that directs these peptides to assimilate in pathogen membrane and creates ion channels and permeabilizes the membrane (Iida et al. [1995](#page-535-0)) and consequently inducing cell death by cytoplasmic leakage (Chug et al. 2001). So, they are active against many dangerous pathogens, including cancer cells. The presence of rare amino acid α-aminoisobutyric acid (Aib) in their sequence confers  $\alpha$ -helix structure empowering its bioactivity as well as resistance to the host or pathogen proteases (Ramachander Turaga [2020\)](#page-539-0). The 20-residue alamethicin (Alm), which has been isolated from *Trichoderma viride*, has been intensively researched as a model molecule to study membrane channel behavior in defned lipid environments and also with regard to its antibiotic
<span id="page-504-0"></span>effect on different pathogenic microorganisms. This compound creates multi-conductance channels in a voltage-dependent manner on inserting into membranes by combining to create barrel-shaped pores. To understand the multi-conductance type of Alm channels, the "barrel stave" model has been proposed (Fox and Richards [1982;](#page-534-0) Mathew and Balaram [1983b;](#page-537-0) Boheim et al. [1983\)](#page-532-0) (Fig. 2), and here monomers of alamethicin form a helix bundle surrounding a central pore. Alamethicin forms mostly α-helical structure. Alamethicin molecules are  $34 \text{ Å}$  long and sufficient to span lipid bilayers. The side chains of Gln-7, Glu-18, and Gln-19 are all situated on the same face of the helix and are seemed to create part of the lumen of the channel. Proline situating at 14 position (Pro-14) plays the major role for the insertion of alamethicin into the membrane, because it creates a bend point between two helical segments (Fox and Richards [1982](#page-534-0)). As helices have overall dipole moments along the direction of their helical axes, it was confrmed that the N-terminal helix must enter frst into the membrane, leaving Pro-14 on the bilayer edge with the C-terminal helix lying along the membrane surface. Upon the application of a voltage, the C-terminus would reorient itself and insert fully into the membrane; then, a number of such helices would combine to form the channel (Chugh and Wallace [2001\)](#page-533-0) (Fig. 2a). Several molecular models have been proposed to explain for voltage-dependent alamethicin pore formation (Sansom [1991](#page-540-0); Latorre and Alvarez [1981\)](#page-536-0). The electric feld forces the rotation of the monomers from the membrane surface into its interior (Bauman and Mueller [1974;](#page-532-0) Schwarz et al. [1986;](#page-540-0) Rizzo et al. [1987\)](#page-540-0). On the other hand, the second group of scientists suggested molecular model that seems the aggregation of peptide monomers at the membrane surface (Hall [1975](#page-535-0); Boheim and Kolb [1978](#page-532-0)). The voltage pushes two or three monomers into the membrane simultaneously from a hexamer already preformed at the membrane solution interface (Boheim and Kolb [1978](#page-532-0)). The 3rd molecular model to explain the voltage-dependency of alamethicin pore formation is a fip-fop gating



**Fig. 2** Mechanism of channel formation in membrane by peptaibol. (**a**) "Barrel stave" model. (Drawn on the basis of information from Fox and Richards [1982;](#page-534-0) Chugh and Wallace [2001](#page-533-0); Mathew and Balaram [1983b](#page-537-0)) showing long peptaibols associate each other to form a channel in the membrane. (**b**) Voltage dependent, a fip-fop gating mechanism. (Drawn on the basis of information of Beheim et al. [1983\)](#page-532-0)

mechanism of single alamethicin molecules (Beheim et al. [1983\)](#page-532-0) (Fig. [2b](#page-504-0)). This molecular model seems the presence of aggregates of antiparallel dipolar molecules situated perpendicularly to the membrane plane and connecting the hydrophobic layer at zero voltage. The energetically preferred aggregate structure is changed from antiparallel to parallel molecule direction by membrane voltage application. The application of an electric feld forces one or more molecules into a parallel direction, leading to electrostatic repulsion and to the formation of water-flled pores. Each alamethicin channel is composed of between 6 and 12 monomers, but octamer is the most stable conducting forms (Fox and Richards I982). On the other hand, trichotoxin-A50E, an 18-residue peptaibol, induces a single-channel conductance (Duclohier et al. [2004](#page-534-0)). The peptide-membrane interactions are most likely dependent on membrane properties such as charge and lipid composition Therefore, unlike other antibiotics, the modes of all peptaibols are more or less similar to the arresting resistance created by the pathogen.

#### **2.1.1 Peptaibols as Antimicrobial Compounds**

In the golden era of antibiotics, non-ribosomal antibacterial peptides (NRAPs), such as penicillin, are very important as antibiotics in the clinical uses (Fleming [1929;](#page-534-0) Awan et al. [2017\)](#page-531-0). In addition, vancomycin and colistin (Corona and Cattaneo [2017](#page-533-0)) are the last option to apply against gram-positive and Gram-negative pathogens, respectively. NRAPs are produced by non-ribosomal peptide synthetases (NRPSs) (Strieker et al. 2010). The quick appearance and spread of antibacterial resistance is global problem in medical science (Kupferschmidt [2016\)](#page-536-0). Subsequently, the Centers for Disease Control and Prevention (CDCP) reported that greater than 2 million people are facing problems from antibiotic-resistant microbes and at least 23 000 people cannot survive from this problem per year in the USA alone (McKenna [2013\)](#page-537-0). It has been recognized that the occurrence and distribution of multidrugresistant (MDR) (plasmid-mediated resistance) Gram-negative bacteria (*Enterobacteriaceae*) to carbapenems (Walsh et al. [2011\)](#page-542-0) and colistin (Liu et al. [2016;](#page-537-0) Wang et al. [2017](#page-542-0)), is jeopardizing healthcare practices globally. A similar threatening has been coming from Gram-positive bacteria, like the dangerous methicillin-resistant *Staphylococcus aureus* (MRSA) (Chambers and DeLeo [2009\)](#page-533-0) and vancomycin-resistant enterococci (VRE) (Tacconelli and Cataldo [2008](#page-541-0)). In the true sense, effective antibiotic is not available for managing diseases caused by either Gram-positive or Gram-negative superbugs. Now it is very urgent to discover and introduce new antibiotics or alternative therapeutics for clinical treatments. The search for new antibiotic compounds from natural sources has increased interests in the last three decades. The production of membrane-active peptide antibiotics from different fungi has been recognized as intensive research. One of the frst investigations of natural compounds isolated from *Trichoderma viride* exhibited that a new antibiotic (designated U-21963) was very effective against many pathogenic bacteria and fungi (Pyke and Dietz [1966\)](#page-539-0). Moreover, several amphipathic peptides isolated from some *Trichoderma* spp. showed activity against human pathogenic

*Mollicutes* species. *Mollicutes* species were reported to be more or less sensitive to natural amphipathic peptides; it was dependent on both the species and the peptide. Peptaibols from *Trichoderma* have been reported to have potentiality to kill mycoplasma (Beven et al*.* [1998\)](#page-532-0) and *Staphylococcus aureus* (Rebuffat et al. [1995\)](#page-540-0). Both alamethicin and gramicidin S are very active against mycoplasmas (cell wall less mollicutes bacteria) (Nir-Paz et al. 2002). Trichorzins exhibited potent activities against *Mycoplasma* and *Spiroplasma* cells and also against *Staphylococcus aureus*. Different peptaibols have inhibitory effects on a wide range of bacteria: *Bacillus subtilis, B. cereus, Brucella bronchiseptica, Micrococcus luteus, Mycobacterium phlei, Staphylococcus aureus, Streptococcus faecalis, S. lactis,* and *S. thermophilus* (Szkeres et al. 2005). The synthesized and also hybrid peptides are nowadays screened for their antimicrobial specificity against pathogenic microorganisms.

A list of 35 novel peptaibols since 2000 from different fungi, including *Trichoderma*, has been presented (Zhao et al. [2019a](#page-543-0), [b\)](#page-543-0). *T. atroviride* yields atroviridins A–C and neoatroviridins A–D, which are 20- and 18-mer peptaibols, inhibit the growth of Gram-positive bacteria (Oh et al. [2000,](#page-538-0) [2002](#page-538-0)). Atroviridins exhibited membrane-perturbing property, and their functions are dependent on their structural features (e.g., Aib and Iva adopt a helical conformation), and its action is like the neutral peptaibol alamethicin (Oh et al. [2002](#page-538-0)). Longibrachins A-II-b produced from *T. longibrachiatum* have activities against Gram-positive bacteria and fungus like *Aspergillus fumigatus* (Mohamed-Benkada et al. [2016](#page-538-0)). Both longibrachins B-II and B-III were applied against a lot of mycoplasmas, namely, *Spiroplasma apis*, *S. citri, S. foricola, Acholeplasma laidlawii, Mycoplasma gallisepticum, M. mycoides,* etc., and both showed effectiveness to kill these pathogens (Leclerc et al. [2001\)](#page-536-0). In addition, scientists have noticed that longibrachins have the capacity to form membrane channels as they bear the Glu residue at the C-terminus of the peptide helix, which plays as an anchor at the cis-bilayer/water interface (Touati et al. 1999). Trichoderins A, A1, and B, and trichopolyn VI (non-peptaibol peptaibiotics) have a similar chemical structure characterized as protective 2-Me-decanoicacid FAs at the N-terminus, trichodiaminol at the C-terminus, and 2-amino-6-Hy-4-Me-8-oxodecanoic acid (AHMOD)/2-amino-4-Me-8-oxodeca-6- enoic acid in the side chain (Pruksakorn et al. [2010,](#page-539-0) [2011](#page-539-0); Suga et al. [2015](#page-541-0)). Pruksakorn et al. [\(2011](#page-539-0)) also reported that trichoderins have potent antimycobacterial activity against *Mycobacterium smegmatis*, *M. bovis*, and *M. tuberculosis* under both aerobic condition and dormancy-inducing hypoxic condition. Interesting to note that the presence of AHMOD moiety in the structure of trichoderins displayed better antimycobacterial activity. MICs of trichoderins A against those three mycobacteria were 0.1, 0.02, and 0.12 μg/mL, respectively. Currently, very few compounds are available for antifungal therapies against a diverse array of pathogenic fungi, some of which are also sensitive to antibiotic peptides. Trichopolyns A and B are produced by *Trichoderma polysporum*. The MICs of trichopolyns A and B for *Candida albicans*, *C. utilis*, *Cryptococcus neoformans*, *Aspergillus fumigatus*, *A. niger*, *Penicillium chrysogenum*, and *T. mentagrophytes* were from 0.78 to 6.25 μg/ml (Fujita et al. 1881, [1981](#page-534-0)). Trichofumins A, B, C, and D and new 11 and 13mer peptaibols were isolated from *Trichoderma* sp. HKI 0276 and characterized and all showed antifungal activity (Berg et al. [2003\)](#page-532-0). Recently, Touati et al. ([2018\)](#page-542-0) isolated hyporientalin A from a marine *Trichoderma orientale* and found that it was an anti-*Candida* peptaibol. So, hyporientalin A may be applied against candidiasis disease, which is resistant to other drugs.

#### **2.1.2 Peptaibols as Anticancer Compounds**

Although peptaibols exhibit antibiotic activities against bacteria and fungi, some studies recently showed that peptaibols have cytotoxicity toward some human cancer cell lines like lung epithelial and breast carcinoma cells. However, the mechanism behind peptaibol-induced cell death is still not clear. But there are few reports about the effects of peptaibols on human cancer cells (Wiest et al. [2002\)](#page-542-0). Peltola et al. ([2004\)](#page-539-0) reported that the peptaibols from *T. harzianum* suppressed the growth of A549 cells and disturbed the mitochondrial membrane potential. Generally, three trichokonins (TKs), like TK-VI, TK-VII, and TK-VIII, have been recorded from *Trichoderma* spp. Trichokonin VI (TK-VI), which is composed of 20 amino acids, was isolated and characterized from *Trichoderma pseudokoningii* (this species is not found in the molecular database website). As we know that hepatocellular carcinoma (HCC) is a very common cancer in the world and is becoming highly resistant to currently available chemotherapeutic agents, Shi et al. ([2010\)](#page-540-0) tested this compound against this cancer cell line and got a satisfactory result in a dose-dependent manner. They revealed that TK-VI triggered two types of cell death, like calcium-calpain-Bax-mediated apoptosis and calcium-Bak-mediated autophagy in HepG2 cells. The trilongins BI, BII, BIII, and BIV, which are peptaibols containing 20 amino acid residues, were isolated and identifed from *Trichoderma* sp. P8BDA1F1, an endophytic fungus from *Begonia venosa*. The setrilongins inhibited proteasome ChTL activity, with IC50 values of  $6.5 \pm 2.7$ ,  $4.7 \pm 1.8$ ,  $6.3 \pm 2.2$ , and  $2.7 \pm 0.5$  μM, respectively. It was the first report of trilongins BI-BIV with proteasome target (Grigoletto Diana et al. [2020\)](#page-535-0).

### *2.2 Fatty Acids*

Two intracellular fungal metabolites, such as 16-methylheptadecanoic acid methyl ester (HDA) and 9,12-octadecadienoic acid (ODA), were isolated and purifed from *Hypocrea lixii* TSK8 and *Hypocrea rufa* SKS2 (marine *Trichoderma*), respectively, and they were tested on oral cancer (KB) and skin carcinoma (A431) by using MTT assay. The inhibitory concentrations  $(IC_{50})$  against KB oral cancer cells were found to be 18.75  $\pm$  0.12 μg/mL for HDA and 75.50  $\pm$  0.42 μg/mL for ODA, whereas IC<sub>50</sub> values of HDA and ODA against A431 were recorded as  $37.5 \pm 0.42$  µg/mL and 72.89 ± 0.15 μg/mL, respectively. The effect of HDA-triggered apoptosis *via* ROSdependent inter-nucleosomal DNA fragmentation was confrmed by AGE analysis. Workers also recorded that HDA was a highly potent anticancer compound against the skin cancer of Swiss albino mice induced with skin cancer by 7,12-dimethylben z(a)anthracene (DMBA) and croton oil (CO) (Saravanakumar et al. [2015\)](#page-540-0). Metabolite TM2 (4H-1,3-dioxin-4-one-2,3,6-trimethyl) isolated from *T. atroviride* induced the cell death and cytotoxicity, as revealed by cell viability test and Western blot analysis. According to microscopic, fow cytometer, and Western blot study, TM2-treated cells displayed higher ROS, cell death, and apoptosis-related protein expression than the control. This study confrmed that TM2 was a potential therapeutic agent for antiprostate cancer (Saravanakumar et al. [2019](#page-540-0)). You et al. [\(2010](#page-543-0)) isolated trichoderone, a novel cytotoxic cyclopentenone and cholera-7, 22-diene-3b, 5a, 6b-triol, with fair activities from the marine fungus *Trichoderma* sp.

### *2.3 Siderophores*

Siderophores (Greek sidero meaning iron and phore meaning carrier) are lowmolecular-weight (<10 KDa) iron-cheating organic compound, produced by microorganisms, like bacteria and fungi, and by some plants under iron-defcient condition. Plant growth-promoting microbes (PGPM) and biocontrol agents (BCA) produce siderophore, which is one of the important factors for plant growth promotion (Ghosh and Panja [2020a\)](#page-534-0) and disease suppression (Ghosh and Panja [2020b;](#page-534-0) Ghosh et al. [2020\)](#page-534-0). Recently, siderophores from mammalian cells also have been reported (Devireddy et al. [2010](#page-533-0)).

According to Schalk and Guillon [\(2013](#page-540-0)), siderophore can be classifed as catecholate, hydroxamate, phenolate, carboxylate, and "mixed type" (those who have two or more functional groups) (Holden and Bachman [2015\)](#page-535-0). (Fig. 3).

The maximum siderophores are oxygen donor, generally hexadentate ligands which create octahedral complexes with iron (Neu [2000](#page-538-0)). In case of hexadentate ligands, it coordinates  $Fe^{3+}$  ions to form  $Fe^{3+}$ –siderophore complex (Dhungana et al. [2007\)](#page-533-0). Siderophores produced by fungi are both extracellular and intracellular, but bacterial siderophores are the only extracellular form (Raymond et al. [2003\)](#page-539-0). Generally, fungi produce hydroxamate and carboxylate type of siderophores.



**Fig. 3** Structures of siderophores with irons. (**a**) Hydroxamate, (**b**) catecholate, and (**c**) carboxylate

<span id="page-509-0"></span>*Trichoderma* species produce coprogens (hydroxamate) (Zähner et al. [1963\)](#page-543-0). *T. harzianum* has the capacity for the production of maximum hydroxamate and carboxylate type of siderophore, and it is better than other *Trichoderma* spp. like *T. viride, T. asperellum,* and *T. longibrachiatum* (Ghosh et al. [2017](#page-534-0), [2020\)](#page-534-0). Hussein and Joo ([2012\)](#page-535-0) recorded that *T. harzianum* produced 92.33% of siderophores. So, this species is a good source of commercial siderophore.

Microbial hydroxamate and carboxylate siderophores and their substituted derivatives are now being applied in medical sciences. *Trichoderma*-originated siderophores have not been widely used in medical purposes. So, we are introducing here a brief review of microbial siderophores on medical science to focus the need of siderophores in medical science. Some uses are as follows:

### **2.3.1 Specifc Drug Delivery as "Trojan Horse" Approach (Siderophore-Antibiotic Conjugates)**

The cellular uptake of antibiotics for drug-resistant pathogen is now the current problem. To solve this problem, scientists are applying siderophore as the delivery vehicle or "trojan horse" to deliver the antibiotics into pathogens by forming conjugate with the antibiotic (sideromycin) (Huang et al. [2013\)](#page-535-0). The siderophore– antibiotic conjugates are of three types – natural, synthetic, and hybrid. Till now, catecholate and hydroxamate types of siderophores are applied as delivery vehicles in *Staphylococcus aureus* but carboxylate-type siderophores, such as staphyloferrin A, is better because this type exhibits better iron-binding activity in acidic environments than the former two (Milner et al. [2013\)](#page-538-0). The classical example of this approach of drug delivery is to link ampicillin or amoxicillin with an artifcial tris-catecholate siderophore (enterobactin) (Fig. 4a) (Ji et al. [2012](#page-536-0); Mislin and



**Fig. 4** (**a**) An artifcial tris-catecolate siderophore with a tripodal backbone (green) and its conjugates with ampicillin or amoxicillin (blue). (Drawn on the basis of Ji et al. [2012\)](#page-536-0), (**b**) structure of coprogen. (Drawn as per Pocsi et al. [2008](#page-539-0))

Schalk [2014\)](#page-538-0) against drug-resistant *P. aeruginosa*. The tested drug conjugates exhibited signifcant in vitro activities against different strains of *P. aeruginosa* with MICs ranging from 0.05 to 0.39  $\mu$ M. (Ji et al. [2012](#page-536-0)). We can mention another two siderophore-conjugated β-lactam antibiotics, which are under human trials, and they are (i) S-649266, a catechol-substituted cephalosporin under trial phase III trial (Kohira et al. [2015\)](#page-536-0), and (ii) BAL30072, a siderophore monosulfactam under phase I trial (Page [2013](#page-538-0), [2019](#page-538-0)).

### **2.3.2 Treatment of Iron-Overload Diseases**

The transfusional iron-overload diseases are sickle cell disease, Cooley's anemia, myelodysplasia, aplastic anemia, and Diamond-Blackfan anemia, and they are global iron load disease, but the focal iron-overload diseases are hemorrhagic stroke, Parkinson's disease, reperfusion damage, and macular degeneration (Bergeron et al. [2014\)](#page-532-0). We know that the causes for iron-associated diseases are not same, but the mechanism of the iron-associated hamper is practically always more or less similar, i.e., generation of hydroxyl radicals by iron reaction with hydrogen peroxide which follows the Fenton reaction (Bergeron et al. [2014;](#page-532-0) Jomova and Valko [2011\)](#page-536-0) (Eq. 1). The hydroxyl radicals are very reactive species, damaging from cell membrane components to DNA. In normal case, the presence of biological reducing agents, like glutathione, ascorbate, superoxide anion, and others, converts  $Fe(III)$  to  $Fe(II)$ (Eqs. 2 and 3) to protect the cellular molecular components. But due to blood transfusion, excess iron aggravates the problems. To solve this problem, iron-chelating siderophore strategy is the best option to apply.

Fenton reaction:

$$
Fe (II) + H2O2 \rightarrow Fe (III) + HO+ + HO-
$$
 (1)

Reaction for the conversion (reduction of Fe(III) to Fe(II) (Bergeron et al. [2014](#page-532-0)):

(i) Via superoxide anion

$$
\text{Fe(III)} + \text{O}^- \rightarrow \text{Fe(II)} + \text{O}_2 \tag{2}
$$

(ii) Via ascorbate

$$
Fe (III) + ascorbate \rightarrow Fe (II) + semi-dehydroascorbate
$$
\n(3)

As siderophore has a high iron chelating property, its reasonable application is to treat iron-overload diseases. Desferrioxamine B (DFO), a hydroxamate-based siderophore, is found in the WHO list of medicine (19th WHO list of essential medicine). The two synthetic iron chelators, like deferiprone (DFP) and deferasirox (DFX), are more improved modern drugs for clinical use for this purpose. The very recently introduced iron chelator siderophore-based drug, deferitazole, has drawn much attention as it possesses even wider therapeutic uses than others (Bergeron et al. [2014\)](#page-532-0).

### **2.3.3 The Removal of Transuranic Elements**

As we know, siderophores are also chelator of other mineral like aluminum and vanadium. So aluminum overload problem due to dialysis encephalopathy is solved by applying siderophore-based drugs. Similarly for the removal of vanadium from our body, siderophore-based drug like desferal has been come in medical science (Nagoba and Vedpathak [2011\)](#page-538-0).

#### **2.3.4 Siderophore for Heart and Cardiovascular Diseases**

The most worth mentioned fungal (e.g., *Trichoderma* spp.) siderophores, which have an important use as medicine, specifcally for heart and cardiovascular diseases, are coprogen (Fig. [4b](#page-509-0)) and ferrichrome (both are hydroxamate-type siderophores) (De Serrano [2017](#page-533-0)). "Iron hypothesis" says that excess iron infuences heart and cardiovascular diseases (Sullivan [1981](#page-541-0), [2009](#page-541-0)). Scavenging or removal of excess iron is necessary to prevent these diseases. As siderophores are efficient to chelate iron, their application is frequently used. Recent reports described the potential use of these two siderophores produced by fungi as anti-atherosclerotic agents. The researchers based their fndings on the fact that iron accumulates in atherosclerotic lesions contributing to iron-dependent lipid oxidation. By utilizing siderophores as iron-chelating agents, the effects of lipid oxidation can be reduced, representing a potential improvement of the disease (Emri et al. [2013;](#page-534-0) Pocsi et al. [2008\)](#page-539-0).

#### **2.3.5 Siderophore in Cancer Therapy**

Scientists have evaluated that siderophores, like desferrioxamines, O-trensox, desferriexochelins, and tachpyridine, are active against few cancer cell lines (Nakouti et al. [2013\)](#page-538-0). For example, desferrioxamines have the capacity to decrease the growth of aggressive tumors in patients with neuroblastoma (NB) or leukemia (Buss et al. [2003;](#page-532-0) Lovejoy and Richardson [2003\)](#page-537-0). As we know that cancerous cells require higher iron for rapid cell division, iron uptake and storage rates are also higher in cancer cells (Vaughn et al. [1987\)](#page-542-0). So, iron chelators, like siderophores, are reason-able to use for cancer therapy (Wandersman and Delepelaire [2004\)](#page-542-0).

#### **2.3.6 Siderophores as Antimalarial Drugs**

*Plasmodium falciparum,* causal protozoa of malaria, are sensitive to some siderophores (e.g., desferrioxamine B, produced by *Streptomyces pilosus*). Therefore, they are applicable to treat malaria (Nagoba and Vedpathak [2011\)](#page-538-0).

### **2.3.7 Molecular Imaging Agents**

Positron emission tomography (PET), computed tomography (CT), magnetic resonance imaging (MRI), ultrasonography (USG), etc. are now modern molecular or radiological imaging techniques, which are widely used for diagnostic of disease or infection. The microbial siderophores are recently used as radiopharmaceutical imaging agents. For example, siderophores are used in imaging pulmonary aspergillosis (Petrik et al. [2017](#page-539-0); Doble et al. [2003\)](#page-533-0).

#### **2.3.8 Siderophore-Based Vaccine**

Siderophore-based vaccine production is now an emerging area. The siderophore antigen conjugates to form vaccines for immunization against the urinary tract pathogen with uropathogenic *E. coli* murine model (Mike et al. [2016](#page-538-0)), and similarly immunization strategy by siderophore to inhibit the growth of enteric pathogens has been recorded (Sassone-Corsi et al. [2016;](#page-540-0) Bergeron et al. [2009](#page-532-0)).

### *2.4 Polyketides*

### **2.4.1 Sorbicillinoids (Also Called Vertinoids)**

A very important polyketide group found in *Trichoderma* is hexaketide, and on the carboxylate terminus, cyclization has happened. Sorbicillinoids (also called vertinoids) belong to (Harned and Volp [2011](#page-535-0)) this group. The term "sorbicillinoid" indicates the family as a whole and generally refers to any compound that consists of the carbon skeleton of sorbicillin carbon structure. Harned and Volp ([2011\)](#page-535-0) compiled the structures of 62 sorbicillinoids. Later on, several new species of this family were isolated (Lan et al. [2012;](#page-536-0) Fahad et al. [2014](#page-534-0); Zhai et al. [2016](#page-543-0)). Bisorbicillinoids are hypothesized to be derived from sorbicillin (Fig. [5a\)](#page-513-0), which is a natural compound (Abe et al. [1998a\)](#page-531-0), or a closely related derivative such as sorbicillinol (Fig. [5b](#page-513-0)) (Abe et al. [2000a](#page-531-0)). Other vertinoids or bicillin derivatives, such as demethylsorbicillin (Fig. [5c\)](#page-513-0), oxosorbicillinol (Fig. [5d](#page-513-0)) (Abe et al. [2000b\)](#page-531-0), and epoxysorbicillinol, have also been obtained from several *Trichoderma* species. Some scientists have studied biosynthesis and chemical synthesis of sorbicillinoids (Harned and Volp [2011](#page-535-0); Abe et al. [2000b](#page-531-0), [2002;](#page-531-0) Sugaya et al. [2008\)](#page-541-0). It has been hypothesized that sorbicillinol/sorbicillin acts as a precursor of most sorbicillinoids, and here the important enzyme involved for biosynthesis is polyketide synthases (PKs) (Abe et al. [2000b\)](#page-531-0). Furthermore, the PKS gene cluster, having *SorbA, SorbB,* and *SorbC*, is involved in sorbicillin biosynthesis, and sorbicillinol plays like a key intermediate (Fahad et al. [2014\)](#page-534-0). Nearly about 90 sorbicillinoids have been recorded mainly in terrestrial fungi and marine fungi, including *Trichoderma* spp. (Meng et al. [2017\)](#page-537-0). Trichodimerol (Fig. [5e](#page-513-0)), which has been isolated from *T. longibrachiatum* (Andrade

<span id="page-513-0"></span>

**Fig. 5** Structures of polyketides: (**a**) sorbicillin, (**b**) sorbicillinol, (**c**) demethylsorbicillin, (**d**) oxosorbicillinol, (**e**) trichodimerol, (**f**) koninginin A, (**g**) koninginin B. (Figures drawn on the basis of Reino et al. 2007; Andrade et al. [1992\)](#page-531-0)

et al. [1992\)](#page-531-0), showed a good inhibitory activity against lipopolysaccharide-induced production of TNF-a (tumor necrosis factor a) in human monocytes, and so it raised a new hope for a potential treatment of septic shock (Mazzucco and Warr [1996\)](#page-537-0). Fungal metabolites, named koninginins (Fig. 5f, g), and *Trichoderma*, ketone C, were isolated from solid fermentation products of *Trichoderma koningii*. Eight fungal polyketides were extracted, isolated, purifed, and characterized from the *Trichoderma koningiopsis*, which is endophytic in *Panax notoginseng.* Out of them, four named koninginins N–Q were newly reported for the frst time by the workers (Liu et al. [2016](#page-537-0)). All were tested for their antimicrobial activity, nitric oxide inhibition, and anticoagulant activity. More recently, seven fungal polyketides, namely, *ent*-koninginin A, 1,6-di-*epi*-koninginin A, 15-hydroxy- koninginin A, 10-deacetylkoningiopisin D, koninginin T, koninginin L, and trichoketide A, were isolated and characterized from the culture extract of endophytic fungus *T. koningiopsis* QA-3. All compounds were applied separately against the human pathogen *E. coli*, and their MIC values ranged from 4 to 64 µg mL<sup>-1</sup> (Shi et al. [2017](#page-541-0)) (Table [2\)](#page-514-0).

According to the structural features, sorbicillinoids can be divided into four groups: monomeric sorbicillinoids, bisorbicillinoids, trisorbicillinoids, and hybrid sorbicillinoids.

Some polyketides were isolated from the different species of *Trichoderma*, and their anticancerous properties were evaluated by several scientists from time to time, and their fndings are summarized and presented in the Table [3](#page-514-0).

New polyketide derivatives, trichodermatides A–D, from the marine fungus *T. reesei* exhibited a strong cytotoxicity against A375-S2 human melanoma cell line (Sun et al. [2008](#page-541-0)).

Peptaibol	Sequences of amino acids			
	4 5 6 7 8 9 10 11 12 13 14 15 16 2, 3 -18 19 -20 17			
Alamethicin	Ac-Aib-Pro-Aib-Ala-Aib-Ala-Gln-Aib-Val-Aib-Gly-Leu-Aib-Pro-Val-Aib- Aib-Glu-Gln-phoel-OH			
Koningin	Ac-Aib-Gly-Ala-Aib-Ile-Gln-Aib-Aib-Aib-Ser-Leu-Aib-Pro-Val-Aib-Ile- Gln-Gln-Leuol			
Trichorzin	Ac-Aib-Gly-Ala-Aib-Aib-Gln-Aib-Val-Aib-Gly-Leu-Aib-Pro-Leu-Aib- Aib-Gln-Leu			
Harzianin	Ac-Aib-Asn-Leu-Aib-Pro-Ala-Ile-Aib-Pro-Iva-Leu-Aib-Pro-Leol			
Trichogin A	Oc-Aib-Gly-Leu-Aib-Gly-Gly-Leu-Aib-Gly-Ile-Leol			
Trichodecenins	2 3 4 5 6 7			
	Me(CH2)4CH=CH(CH2)3CO-Gly-Gly-Leu-Aib-Gly-Ile-leucinol (2,4 decenoyl-)			

<span id="page-514-0"></span>**Table 2** Some peptaibols with sequences of amino acids isolated from different species of *Trichoderma*

Abbreviations of uncommon amino acids or derivatives: *Aib* α-aminobutyric acid, *Iva* isovaline, *Ala* alanine, *Glu* glutamine, *Ser* serine, *Ile* isoleucine, *Pro* proline, *Leuol* leucinol, *Pheol* phenylalaninol, *Trpol* tryptophanol, *Val* valine, *Leu* leucine, *Ac* means acyl or acetyl groups, *Oc* stands for octanoyl

**Table 3** Some polyketides isolated from different species of *Trichoderma* and their anticancerous properties in different human cancer cell lines with reference

Polyketide			
A. Sorbicillinoid			
Sorbicillinoid	Class	Fungi (Ref)	Anticancer activity (Ref)
Sorbicillin	Monomeric sorbicillinoids	<b>Trichoderma</b> longibrachiatum (Andrade et al. 1992) Trichoderma sp. (Lan et al. 2012) Trichoderma sp. f-13 (Du et al. 2009) Trichoderma sp. PR-35 (Wu et al. 2011) Trichoderma sp. (Abe et al. 1998a, b) Trichothecium sp.	HL-60 (Leukemia) cell line $(IC50 = .12.7 \mu M)$ (Du et al. 2009) HeLa and HepG2 cells $(IC50s = 1.6$ and 27.2 µM, respectively (Ying et al. $2011$ ) HL-60, U937 and T47D cell lines $(IC50s = 6.55t)$ $28.55 \mu M$ . (Yao et al. 2015
6-Demethylsorbicillin	Monomeric sorbicillinoids	Trichoderma sp. f-13 (Du et al. 2009)	HL-60 cell line $(IC50 = 23.9 \mu M)$ (Du et al. 2009)
2 1 3 1-Dihydrosorbicillin	Monomeric sorbicillinoids	<i>Trichoderma</i> sp. (Lan et al. 2012) Trichoderma sp. f-13 (Du et al. 2009)	HeLa and HepG2 $(IC50s = 7.4$ and $44.4 \mu M$ respectively) (Ying et al. $2011$ ) Many human cancer cell lines $(IC50s = 9.19t)$ $21.93 \mu g/mL$ (Lan et al. 2012)

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(continued)

Polyketide			
$(2S)-2,3-Dihydro-7-$ hydroxy-6,8-dimethyl- $2-[E]-prop-1-eny]$ - chroman-4-one	Monomeric sorbicillinoids	Trichoderma sp. (Lan et al. 2012)	Human breast cancer cell line MCF-7 $(IC50 = 9.51 \mu g/mL)$ (Lan et al. 2012)
$(2S)$ -2,3-Dihydro-7- hydroxy-6-methyl-2- $[(E)$ -prop-1-enyl]- chroman-4-one	Monomeric sorbicillinoids	Trichoderma sp. (Lan et al. 2012)	Human breast cancer cell line MCF-7 $(IC50 = 7.82 \mu g/mL)$ (Lan et al. 2012)
$(E)$ -6- $(2,4$ -Dihydroxyl- 5-methylphenyl)-6-oxo- 2-hexenoic acid	Monomeric sorbicillinoids	Trichoderma sp. JH8 (Ma et al. 2011)	Human breast cancer cell line MCF-7 $(IC50 = 9.51 \mu g/mL)$ (Lan et al. 2012)
Trichodimerol	<b>Bisorbicillinoids</b>	Trichoderma longibrachiatum UAMH 4159 (Andrade et al. 1992) Trichoderma sp. (Shirota et al. 1997) Trichoderma sp. (Neumann et al. 2007) Trichoderma sp. f-13 (Du et al. 2009) Trichoderma sp. JH8 (Ma et al. 2011) Trichoderma sp. USF-2690. (Abe et al. 1998 <sub>b</sub> Trichothecium sp. (Yao et al. 2015)	HL-60 cell line $(IC50 = 7.8 \mu M)$ (Du et al. 2009) P388 and A549 cell lines $(IC50s = 0.33)$ and $4.7 \mu M$ , respectively) ([Liu et al. 2005) HL-60, U937 and T47D cell lines $(IC50s = 6.55t)$ $28.55 \mu M$ ) (Yao et al. 2015)
$B$ islongiquinolide = $bisorbibute nolide =$ trichotetronine	Bisorbicillinoids	Trichoderma citrinoviride ITEM 4484 (Evidente et al. 2009; Balde et al. <b>2010</b> ) Trichoderma longibrachiatum (Sperry et al. 1998) Trichoderma longibrachiatum UAMH 4159 (Andrade et al. 1992, 1997) Trichoderma viride (Abdel-Lateff et al. 2009) Trichoderma sp. (Shirota et al. 1997) Trichoderma sp. (Neumann et al. 2007) Trichoderma sp. f-13 (Du et al. 2009) Trichoderma sp. USF-2690 (Abe et al. 1998a, b)	U373, A549, SKMEL-28, OE21, Hs683, and B16F10 cell lines (IC50s of $4-22 \mu M$ ) (Balde et al. 2010)

**Table 3** (continued)

(continued)

Polyketide		
B. Anthraquinone		
Type	Fungi	Cancer cell line (Ref)
Chrysophanol	T. harzianum strain Th-R16 (Liu et al. 2007), T. polysporum (Donnelly and Sheridan 1986), T. aureoviride	A549 (non-small-cell lung), SK-OV-3 (ovary), SK-MEL-2 (melanoma), XF498 (central nervous system), and HCT-15 (colon) (IC50 values of 24.76, 7.28, 5.83, 30.0, and 30.0 µg/mL, respectively) (Lee et al. 2005); J5 human liver cancer cell line (IC50 = $120 \mu M$ ) (Lu et al. 2010); human renal cell carcinoma Caki-2 cell (IC50 = 20 $\mu$ M) (Choi et al 2016); SNU-C5 human; colon cancer cell $(IC50 = 120 \mu M)$ (Lee et al. 2011)
C. Polyketide derivative		
Trichodermatides A–D	<i>T. reesei</i> (Sun et al. 2008)	A375-S2 human melanoma cell line (Sun et al. 2008)
Trichodenones A, B, and C	T. harzianum OUPSN115 (Thakur et al. 2003)	Leukemia P388 cell line (Thakur et al. 2003)

**Table 3** (continued)

Trichodenones A, B, and C, extracted from the marine *T. harzianum* OUPSN115, showed a signifcant cytotoxicity against leukemia P388 cell line (Thakur et al. [2003\)](#page-542-0). The group of trichodimerols showed antiviral and anti-infammatory activities by inhibiting the prostaglandin H synthase 2 and tumor necrosis factor alpha (TFN−) in human peripheral blood monocytes (Nicolaou et al. [1999](#page-538-0)).

### **2.4.2 Anthraquinones**

Anthraquinones are a well-known polyketide group of metabolites of *Trichoderma* species. In 1967, a wild strain of *T. viride* isolated from soil produced pachybasin, chrysophanol, and emodin. Subsequently, *T. polysporum* when grown with *Fomes annosus*, also yielded these compounds (Donnelly and Sheridan [1986](#page-533-0)). In addition, chrysophanol was isolated from dry mycelium and culture fltrates of a *T. aureoviride* (De Stefano and Nicoletti [1999\)](#page-533-0).

Chrysophanol

It is one polyketide (a tricyclic aromatic quinone) and is a 1,8-dihydroxy-3-methyl derivative of the 9,10-anthracenedione ring. Chrysophanol  $(C_{15}H_{10}O_4$ , the molecular weight is  $254.2$  g/mol) (Fig.  $6a$ ) is an anthracene derivative with two ketone groups attached to the central benzene ring. It is also known as chrysophanic acid. It is golden yellow or brown powder. It is synthesized in fungi through the PMA

<span id="page-517-0"></span>

**Fig. 6** Structures of anthraquinones: (**a**) chrysophanol, (**b**) emodin, (**c**) trichodermaol. (Drawn on the basis of Chukwujekwu et al. 2006; Reino et al. 2007)

(polymalonate) pathway, but in plants, its synthesis operates through both the shikimate and PMA pathways (Leistner and Zenk [1969](#page-537-0)). Although it is found in many organisms, including plants, microbes, and insects, it is present in huge amount in *Trichoderma harzianum* strain Th-R16 (Liu et al. [2007](#page-537-0)). *Trichoderma polysporum* can produce it when it is grown along *Fomes annosus* (Donnelly and Sheridan [1986](#page-533-0)) in a competitive survival mode. Its multi-faced application (antioxidant, anti-ulcer, anti-infammatory, anticancer, neuroprotective, anti-aging, lung protective, and hepatoprotective properties) has been recorded in health science (Diaz-Muñoz et al. [2018\)](#page-533-0).

Chrysophanol exhibited anticancer property against many human cancer cell lines, SK-OV-3 (ovary), SK- MEL-2 (melanoma), XF498 (central nervous system), HCT-15 (colon) (Lee et al. [2005a](#page-536-0), [b\)](#page-537-0), MCF-7 and MDA-MB-231 (breast cancer), HL-60 and L1210 (human leukemia cells) (Kang et al. [2008;](#page-536-0) Ueno et al. [1995](#page-542-0)), J5 human liver cancer cells (Lu et al. [2010](#page-537-0)), Caki-2 (human renal), and A549 (human lung) (Choi [2016](#page-533-0)). Important human pathogenic fungi, like *Candida albicans*, *Cryptococcus neoformans*, *Trichophyton mentagrophytes*, and *Aspergillus fumigates*, are very sensitive to chrysophanol, and its MIC values are 50, 50, 25, and 50 μg/mL, respectively (Malik and Muller [2016;](#page-537-0) Agarwal et al. [2000\)](#page-531-0). Its antiviral activity in vitro assay has been recorded against several viruses, like poliovirus type 2, herpes simplex virus type 1, human rhinovirus type 2, etc. (Ramana et al. [2017\)](#page-539-0). JEV (Japanese encephalitis virus) was inhibited by 90% at 10 μg/mL of

chrysophanol. The plaque reduction and virucidal activity assays showed the IC50 values of it were 15.82 and 0.75 μg/mL, respectively. It affects the viral replication in the early stage, and it is hypothesized that the  $CH_3$  group attached to the C-3 position is the main factor for the antiviral activity. Furthermore, it can trigger a host innate immune response against JEV infection (Chang et al. [2014\)](#page-533-0). Several researchers have attempted to chemically synthesize chrysophanol. Two methods, using Friedel-Craft and Diels-Alder reactions, have been particularly studied; in both these reactions, a common intermediate derivative, 4-methyl-6-methoxy-2-pyrone, is synthesized. This derivative, when heated with 5-hydroxy-1,4-naphthoquinone and hydrolyzed after oxidation, was found to yield chrysophanol at a rate of 62% (Jung et al. [1982\)](#page-536-0). The structural study of chrysophanol suggested that the methyl group on the 3rd position and two hydroxyl groups on the 1st and 8th position of chrysophanol are responsible for its anticancer effects (Demirezer et al. [2016\)](#page-533-0). Other biological activities might be due to the same factor. Interested readers are suggested to follow the comprehensive review on the natural sources, biosynthetic pathways, and pharmacology of chrysophanol presented by Prateeksha et al. ([2019\)](#page-539-0).

#### Emodin

Emodin (Fig. [6b\)](#page-517-0) is also another anthraquinone polyketide, which inhibits the activity of both monoamine oxidase (Fujimoto et al. [1998\)](#page-534-0) and tyrosine kinase. This compound acts also as an antimicrobial, antineoplastic, and cathartic agent (Wu et al. [2006](#page-542-0); Huang et al. [2006;](#page-535-0) Ali et al. [2004\)](#page-531-0) and exhibits a remarkable bacteriostatic effect on Gram-positive bacteria, especially against *Bacillus subtilis* and *Staphylococcus aureus* (Chukwujekwu et al. [2006\)](#page-533-0).

#### **2.4.3 Trichodermaol**

Trichodermaol (Fig. [6c\)](#page-517-0) is an anthraquinone derivative isolated from the combined culture of a strain of *Trichoderma* species and *Fusarium oxysporum* or *F. solani* proving active at a concentration of 50 μg/ml against *Bacillus subtilis* and *Streptococcus aureus* (Adachi et al. [1983](#page-531-0)).

# *2.5 Terpenoids or Terpenes*

Terpenoids identifed from *Trichoderma* spp. include volatile terpenes, the tetracyclicditerpene harziandione, sesquiterpenes such as the trichothecenes trichodermin and harzianum A, and the triterpene viridin (Stoppacher et al. 2010). Terpenes, which are frequently found as secondary metabolites in various fungi including *Trichoderma*, are chemically varied structural types. It is generally agreed that they all come from mevalonic acid through the intermediates isopentenyl and dimethyl



**Fig. 7** Structures of compounds: (**a**) harziandione, (**b**) heptelidic acid, (**c**) daucane sesquiterpen. (Drawn on the basis of Reino et al. 2007)

allyl diphosphate, which together create geranyl diphosphate (a monoterpene). Gradually, further addition of isopentenyl diphosphate units creates farnesyl (sesquiterpene), geranylgeranyl diphosphate (diterpene), and sesquiterpene diphosphate (C25). The head-to-head addition of farnesyl diphosphate yields triterpene (C30, squalene) and tetraterpene (the C40). After that a myriad of cyclization occurs in each case. The diterpene harziandione (Fig. 7a) has been isolated from *T. harzianum*, and it has been reported to have an antifungal activity.

**Triterpene**, 3 beta-hydroxy-urs-12-en-28-oic acid, pentacyclic triterpenoid, which was isolated from *Trichoderma viride*, also endophytic in *Ziziphus mauritiana,* has a promising cytotoxicity effect against the HeLa cell line. IC50 value of the compound was 23.57μg/ml. Hence, the bioactive compounds of 3 beta-hydroxy urs-12-en-28-oic acid are a candidate agent for the treatment of cervical cancer (Sheeba et al. [2020\)](#page-540-0). The heptelidic acid (koningic acid) (Fig. 7b) is a sesquiterpene lactone produced by *Trichoderma virens* and *Trichoderma koningii* (Reino et al. [2008\)](#page-540-0), and it is an anticancerous compound. Interesting to note that *Trichoderma virens* has been categorized into P and Q strains on the basis of ability/inability to produce heptelidic acid. P-strains are able to produce heptelidic acid (Taylor et al. [2020\)](#page-542-0).

**Trichothecenes** are a well-studied class of sesquiterpene-based mycotoxins (Useno 1983). Six new trichodermarins (A–F), together with the known trichothecenes (trichodermin, trichodermol, trichoderminol), were isolated from the soilderived *Trichoderma brevicompactum* PSU-RSPG27. Compounds trichodermarin, trichodermin (Fig. [8a](#page-520-0)), trichodermol (Fig. [8b](#page-520-0)), and trichoderminol were tested for antifungal (*C. albicans, C. neoformans,* and *M. gypseum*), antimalarial (*P. falciparum*), and cytotoxic (KB and Vero cell lines) activities. The compound trichodermin displayed the most potent antifungal activity against *C. albicans, C. neoformans, and M. gypseum* with MIC values of 1, 4, and 2 μg/mL, respectively (Klaiklay et al. [2019\)](#page-536-0). In addition, a culture of *T. harzianum* was found in 1994 to produce harzianum A (Fig. [8c](#page-520-0)) (Corley et al. [1994](#page-533-0)). This compound showed cytotoxicity to HT1080 and HeLa cell lines with IC50 values of 0.65 and 5.07 μg /ml, respectively (Lee et al. [2005a](#page-536-0), [b](#page-537-0)). Furthermore, a new compound, tricho-acorenol (Fig. [8](#page-520-0)d), has been isolated from *T. koningii*.

<span id="page-520-0"></span>

**Fig. 8** Structures of some compounds: (**a**) trichodermin, (**b**) trichodermol, (**c**) harzianum A, (**d**) tricho-acorenol, (**e**) 6-pentyl-α-*pyrone* (6-PP). (Drawn on the basis of Rein et al. 2007)

### *2.6 Pyrones*

Out of the members of pyrones, 6-pentyl-α-*pyrone* (6-PP) (Fig. 8e), an unsaturated lactone with coconut-like aroma, is very important in medical sciences. It was frst isolated and characterized from *Trichoderma viride* and later on, it has been isolated from other many members of *Trichoderma* (El-Hasan et al. [2008](#page-534-0); Oda et al. [2009\)](#page-538-0). Several workers have stressed on *T. koningii* for the fermentative production of 6PP (Worasatit et al. [1994](#page-542-0)). The biosynthesis of 6PP and other lactones are not clear or confusable but Serrano-Carreon et al. [\(1993](#page-540-0)) proposed one hypothetical biosynthetic pathway of 6PP from linoleic acid. For lactone biosynthesis, a polypeptide pathway was also reported. One alternative biosynthetic pathway was glutamic acid metabolism. The chemical synthesis of 6PP is very cumbersome, and it requires a very high temperature  $(400 \degree C)$ .

A screening was conducted among 60 endophytic fungal strains for their ability to produce 6-pentyl-*α*-pyrone (6PP). Of these isolated strains, four strains of *Trichoderma koningii* Oudemans were positive for 6PP production. Twenty-two strains of pathogens were treated with 6PP by the agar well diffusion assay, but it was interestingly noted that 6PP has only positive inhibitory activity against *Staphylococcus aureus* (MIC: 100 μg/mL) (Ismaiel and Ali [2017](#page-535-0)). The authors recorded that under scanning electron microscope (SEM), treated bacterial cells were distorted and lysed with bleb-like structure in the outer surface of some cells. Burow's solution-treated *S. aureus* cells showed the same kind of morphological changes (Hyo et al. [2012](#page-535-0)). Additionally, several extensive cellular damages were

also observed in microbial cells, and the most frequent alteration noticed was the detachment of plasma membrane from the cell wall. Moreover, to demonstrate its mode of antifungal activity, *Aspergillus favus*, *Penicillium expansum*, and *Fusarium acuminatum* were treated with 6PP at sub-MICs and examined by scanning and transmission electron microscopy. Several morphological alterations were caused by 6PP, such as the induction of surface depression with loosing hyphal linearity of the treated fungi. The inhibitory activity of 6PP was further demonstrated on afatoxin B1 (AFB1) production by several strains of *Aspergillus favus* and *Aspergillus parasiticus* grown in liquid medium, and the results showed that 6PP had a good effcacy in the suppression of AFB1 by 34.28–54.63%. These fndings raised the hope of scientists to control the pathogenic organisms and their toxicity by this metabolite. Human pathogenic *Escherichia coli* was noted to be sensitive to 6PP at dilutions of 1:10 and 1:20, exhibiting an inhibition zone larger or equal to 12 mm in the disc diffusion assay (Cutler et al. [1986](#page-533-0)).

# *2.7 Enzymes as Anticancerous from* **Trichoderma**

#### **2.7.1 L-Lysine Oxidase**

L-lysine oxidase (LOX) is an extracellular copper-dependent enzyme catalyzing lysine-derived cross-links in extracellular matrix proteins. Scientists paid attention to it due to its potential applications in biotechnology and tumor therapy. We know that cancerous cells are highly dependent on growth factors including amino acids, L-lysine alpha-oxidase reduces L-lysine; thus, the tumor cells die because of their inability to synthesize this amino acid. The L-lysine alpha-oxidase was isolated and purifed from *Trichoderma harzianum* through ammonium sulfate precipitation, anion exchange, and gel fltration chromatography. The enzyme has two subunits (approximately 118 kDa and 58 kDa). L-lysine alpha-oxidase exhibited anticancer effect against the three carcinoma cell lines (Caco-2, HEp-2, and HepG2 cells). Treated cancer cell lines showed apoptotic effects, which were validated by cell and nuclear morphological changes, cell-cycle phase changes, and DNA fragmentation (El-Shanawany et al. [2018\)](#page-534-0). This enzyme was also isolated from *Trichoderma viride*, and 10 units of L-lysine oxidase inhibited 82.5% of ovarian cancer cell line by cytotoxicity assay (Kalra et al. [2016\)](#page-536-0). Kusakabe et al. ([2014\)](#page-536-0) observed the antitumor activity of this enzyme after isolating from *T. viride*.

### **2.7.2 L-Methioninase**

It has been isolated from *Trichoderma harzianum*. The purifed enzyme has a molecular mass of 48 kDa, and it inhibited the growth of human cell lines hepatocellular carcinoma (Hep-G2) and breast carcinoma (MCF-7) with  $IC_{50}$  values of 14.12 μg/ml and 20.07 μg/ml, respectively. The in vivo antitumor activity of l-methioninase was tested against DAL cell line-implanted Swiss albino mice. The enzyme effectively regressed the tumor volume and packed cell volume and decreased the viable cell count, and here the serum enzyme and lipid profle levels backed to normal levels in comparison to the control mice. These fndings support that l-methioninase from *Trichoderma* is very effective against cancer cell lines in vitro and in vivo conditions (Salim et al. [2020\)](#page-540-0).

### *2.8 Isocyano Metabolite*

The frst naturally occurring isocyano metabolite, xanthocillin, was reported from *Penicillium notatum* in 1956 (Scheuer [1992](#page-540-0)). The second microbial metabolite, dermadin (Fig. 9), was isolated 10 years later from *T. viride* (Pyke and Dietz [1966](#page-539-0)), and its antibiotic activity has been patented in 1971 (Coats et al. [1971\)](#page-533-0). The same compound was also isolated from *T. koningii* together with trichoviridina. Baldwin et al. [\(1985](#page-532-0)) have been able to show that dermadin can be synthesized from the amino acid tyrosine (Fig. 9).

### *2.9 Others*

### **2.9.1 A Non-peptide Compound (No Amide Group) as Anticancer**

A non-peptide compound (no amide group) isolated from *T. harzianum* showed a high cytotoxic activity against a lot of cancer cell lines (human HaCaT – keratinocytes; THP-1 macrophage-like human cell line; A431 – human epidermoid carcinoma line; JurKat – human T-cells; K562 – chronic myeloid leukemia cell line; HEK293T – human embryonic kidney cells). The active principle of the compound is not a protein or peptide but refers to molecules without amide bonds (Patent No RU2465314C1 [2012\)](#page-539-0).



**Fig. 9** Dermadin synthesis from L-tyrosine via intermediates. (Drawn on the basis of Sivasithamparam and Ghisalberti [2002\)](#page-541-0)

### **2.9.2 Trichoderone**

Trichoderone [(-)-(4R\*, 5S\*)-3-ethyl-4,5–dihydroxy-cyclopent-2-enone], a novel compound, frst time isolated from a marine *Trichoderma,* was tested for cytotoxic property against six cancer cell lines, like DU-145 (prostate cancer), A549, NCI-H460 (non-small-cell lung cancer), MCF-7 (breast cancer), HeLa-229 (cervical cancer), and MDA-MB-435 (breast cancer) and normal human lung fbroblast cell line HLF. Trichoderone showed moderate cytotoxicity toward the six cancer cell lines, but this compound has no effect on the normal cell line HLF at concentrations up to 7.02 mM. The selectivity index of this compound was greater than 100 and even more than that of cisplatin (You et al. [2010](#page-543-0)).

### **2.9.3 TM1 and TM2**

TM1 (two forms like 1, 3-dione-5, 5-dimethylcyclohexane and 2-enone-3hydroxy-5, 5-dimethylcylohex) and TM2 (4H-1,3-dioxin-4-one-2,3,6-trimethyl) were isolated and characterized from *T. atroviride*, but TM2 was very efficient for killing *Helicobacter pylori* and *Shigella* toxin-producing *Escherichia coli* (STEC) (Saravanakumar et al. [2019](#page-540-0)).

### **2.9.4 Trichodermamides A**

**Trichodermamides A** (Fig. [10a](#page-524-0)) and B (Fig. [10b](#page-524-0)), modified dipeptides, were isolated from the cultures of *T. virens* isolated from marine environments. Trichodermamides **A** displayed a signifcant in vitro cytotoxicity against HCT-116 human colon carcinoma with an IC50 of 0.32 μg/ml.

### **2.9.5 Viridins**

The steroidal antibiotics of the viridians show selective antifungal activity and specifc inhibitory action at specifc steps in the cell signaling process. These compounds carry an unusual furan ring fused between C-4 and C-6 of the steroid framework, some with an aromatic ring C. Viridin was identifed as an antifungal metabolite in the fungus *Gliocladium virens* (*Trichoderma virens*). This compound has been also detected in other *Trichoderma* species such as *T. koningii*, *T. viride*, and *T. virens* (Singh et al. [2005\)](#page-541-0). Derivatives of viridian (demethoxyviridin and demethoxyviridiol, wortmannolone (Fig. [10c](#page-524-0)), and virone (Fig. [10d](#page-524-0)) have been shown to be inhibitors of the phosphatidylinositol 3-kinase (Dodge et al. [1995\)](#page-533-0). Such compounds can be used to treat PI 3-kinase-dependent conditions, particularly neoplasms, in human.

<span id="page-524-0"></span>

**Fig. 10** Structures of compounds: (**a**) trichodermamides A, (**b**) trichodermamides B, (**c**) wortmannolone, (**d**) virone, (**e**) viridiofungins A–C, (**f**) harziphilone, and (**g**) feephilone. (Drawn on the basis of Reino et al. 2007)

### **2.9.6 Viridiofungins**

The structural element of citric acid is present in metabolites such as viridiofungins A–C (Fig. e), A1–4, B2, and Z2 obtained from the solid fermentation of *T. viride* (Mandala et al. [1997\)](#page-537-0). The viridiofungins are potent broad spectrum fungicidal compounds with MFC (minimum fungicidal concentration) of 1–20 μg/ml against the *Candida, Cryptococcus,* and *Aspergillus* species. Later, it has been found that these compounds act as inhibitors of the farnesyl transferase and the farnesylation of the oncogenic RAS protein, indicating their potential to treat cancer.

# **2.9.7 Azaphilones**

The azaphilones form a structurally diverse family of natural products containing a highly oxygenated bicyclic core and a chiral quaternary center. Two azaphilonetype compounds, harziphilone and feephilone (Fig. 10f, g), were isolated from *T. harzianum*, and it was found that they had inhibitory activity against the binding REV (regulation of virion expression) protein to RRE (REV-responsive element) (Qian-Cutrone et al. [1996](#page-539-0)) of HIV. Furthermore, feephilone demonstrated cytotoxicity at 38  $\mu$ M/ml against the murine tumor cell line M-109 (Qian-Cutrone et al. [1996\)](#page-539-0). Vinale et al. ([2006\)](#page-542-0) stressed on the identifcation of the major secondary metabolites, including T22 azaphilone produced by commercially used strains *T. afroharzianum* T22 (*T. harzianum* that time) and T39, their antifungal activity and involvement during the antagonistic interaction. Lebeau et al. [\(2017](#page-536-0)) used a new sustainable pigment extraction method (a six-stage pressurized liquid extraction protocol), for advanced mycelial pigment extraction, and they extracted a potential red pigment including *Monascus*-like azaphilone from four strains of fungi, including *Trichoderma atroviride*. The azaphilones (trigazaphilones) from *Trichoderma guizhouense* were recorded to have the antioxidant property (Pang et al. [2020\)](#page-539-0).

### **2.9.8 Culture Filtrate and Fractions**

The culture fltrate of *Trichoderma harzianum* exhibited anticancer activity against NCI-H292 lung cancer cells (Sinthujah et al. [2017](#page-541-0)). The culture supernatants of *T. harzianum* strain T9 and TS15 were applied against human pathogens and enterobacteria (seven Gram-positive bacteria, like *Bacillus subtilis* ATCC6633, *B. subtilis* TISTR008, *B. cereus* ATCC11778, *B. amyloliquefaciens* TISTR1045, *B. licheniformis* TISTR1010, *Staphylococcus aureus* ATCC1466, and *S. aureus* ATCC25923, and three Gram-negative bacteria, like *Salmonella typhi*, *Escherichia coli*, and *Vibrio cholera* isolate (clinical)), and it was found that culture supernatants were very effective to kill the human pathogens in vitro assay but *S. typhi* ATCC5784, which is a human-specifc pathogen causing systemic febrile illness typhoid fever, showed the highest sensivity to the culture filtrate (Phupiewkham et al. [2015](#page-539-0)).

# **3** *Trichoderma* **for Immunosuppressor Product or Drug**

The organ transplantation is now an important medical surgery. For its success, one immunosuppressant drug is very much necessary to prevent acute rejection in organ transplantation (Konstantinovas et al. [2017](#page-536-0)). One widely used immunosuppressant drug is cyclosporin A (synonym: cyclosporine A or CsA). Cyclosporine A (empirical formula:  $C_{62}H_{111} N_{111}O_{12}$  (Fig. [11a](#page-526-0)) is a cyclic non-ribosomal peptide of 11 amino acids. Primarily, it was manufactured by Sandoz and approved for use by the FDA in 1983 and is now manufactured and marked in various products in markets by different drug companies in medical science (Fig. [11b\)](#page-526-0). Furthermore, CsA has been applied against autoimmune diseases as an antifungal agent, an antirheumatic drug, an anti-asthmatic drug, a phosphoprotein phosphatase inhibitor, and an anticoronaviral agent. It is also marketed as the ophthalmic solution and applied to increase tear production in patients suffering from keratoconjunctivitis sicca. Moreover, cyclosporine has been allowed for the treatment of nephrotic syndrome due to glomerular diseases such as focal and segmental glomerulosclerosis or membranous glomerulonephritis [\(https://go.drugbank.com/](https://go.drugbank.com/) drugs /DB00091). *Trichoderma polysporum* and *T. harzianum,* including other few fungi (*Beauveria bassiana, Fusarium oxysporum, Cyli7ndrocarpon lucidum*), produce CsA (Rodríguez et al. [2006;](#page-540-0) Azam et al. [2012\)](#page-532-0). CsA interferes in cytokine signaling. Furthermore, immunosuppressive property is also recorded in gliotoxin (GT) and gliovirin (Konstantinovas et al [2017](#page-536-0); Rocio Garcia-Rubio and Laura Alcazar-Fuoli 2018). They are produced by *Trichoderma virens* and *T. harzianum*, respectively, and are sulfur-containing fungal secondary metabolite (SM) of

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**Fig. 11** (**a**) Structure of cyclosporine A. (Drawn on the basis of Thell et al. [2014,](#page-542-0) [https://go.drug](https://go.drugbank.com/drugs/DB00091)[bank.com/drugs/DB00091\)](https://go.drugbank.com/drugs/DB00091). (**b**) Capsule of cyclosporine in market

epidithiodioxopiperazine (ETP) class of peptide, characterized by an internal disulfde bridge. The immunosuppressive molecules may comprise chemotherapy agents for autoimmunity and hypersensitivity reactions (Thell et al. [2014](#page-542-0)).

The mechanism behind the immunomodulatory function of CsA has been revealed. It, after binding to the receptor cyclophilin-1 within cells, produces a complex, cyclosporine-cyclophilin. This complex subsequently inhibits the function of calcineurin. As we know that calcineurin dephosphorylates and activates the transcription factor NFAT to stimulate expression of IL2, the dephosphorylation of NFAT is stopped or hampered, and consequently, the IL2-dependent T cell proliferation is reduced ( Ge et al. [2012;](#page-534-0) Konstantinovas et al. [2017](#page-536-0)). Another important action of the mechanisms of CsA consists of inhibition of NO production by destabilization of the iNOS mRNA and interference with p38 and JNK signaling cascades. As its mode of action is multi-target pathway, the use of CAs is restricted, in particular during long-term treatment, as it shows some side effects, like hepatotoxicity, nephrotoxicity, neurotoxicity, and cytotoxicity ([https://go.drugbank.com/](https://go.drugbank.com/drugs/DB00091) [drugs/DB00091\)](https://go.drugbank.com/drugs/DB00091).

# **4** *Trichoderma* **as Anti-***Plasmodium* **or Antimalaria**

Malaria, a mosquito-borne infectious disease in humans and other animals, is caused by a parasitic protozoan genus *Plasmodium*. Previous research has established that most human deaths are caused by *P. falciparum*, but *P. vivax*, *P. ovale*, and *P. malariae* generally cause a milder form of malaria (Snounou et al. [1993](#page-541-0); WHO [2010–](#page-542-0)2014; EPAR [1995–](#page-534-0)2009). The research works on the effect of *Trichoderma* as an antiprotozoal activity is very limited. An alkaloid compound hirsutellone, isolated from *Trichoderma* sp., showed antimalarial activity on *Plasmodium falciparum* (Isaka et al. [2006](#page-535-0)). Very recently, Klaiklay et al. ([2019\)](#page-536-0) isolated 13 trichothecenes compounds from *Trichoderma brevicompactum*. Out of them, six compounds were new.

The structures of all compounds were confgured and tested against *Plasmodium falciparum* (K1 strain). Their fnding showed that the trichothecene compound (trichodermin) was best for inhibiting this human malaria parasite with an  $IC_{50}$ value of 0.1 μM, but other trichothecenes exhibited comparatively less activity with IC<sub>50</sub> values in the range of 7.1–9.6  $\mu$ M. Similarly in 1999, Takashima and Wataya [\(1999](#page-542-0)) reported antimalarial activity of trichodermol derivative of trichothecenes (anthraquinones). Heptelidic acid and its derivative compound have also been shown to have in vitro activity toward the human malaria parasite *Plasmodium falciparum* (Tanaka et al. [1998](#page-542-0)). Chrysophanol (polyketide) is isolated from *Trichoderma polysporum* and is considered as a moderate antiprotozoal agent against chloroquine-resistant (W2) and sensitive (D6) strains of *Plasmodium falciparum* (Abdissa et al. [2017](#page-531-0)).

The activity of crude ethanolic extract of the fungus *Trichoderma stromaticum* on the growth of *P. falciparum* NF54 in infected human red blood cells (ihRBCs) was tested, and its antimalarial and anti-infammatory activities in a mouse model of cerebral malaria were done (Cariaco et al. [2018\)](#page-532-0). In their experiment, ethanolic extract was applied on *Plasmodium*-infected human RBCs (pihRBCs) for parasitemia study, and simultaneously in vivo study C57BL/6 mice were injected with *P. berghei* ANKA, treated daily with the ethanolic extract of *T. stomaticum*. Their results showed that ethanolic extract displayed a dose-dependent activity to inhibit *P. falciparum* in ihRBCs. Treated PbA-infected mice became more survival and decrease parasitemia at the beginning of infection in compare to control sets. Their neurological signs were also less. Simultaneously, systemically decreased levels of lipids and IFN-γ, ICAM-1, VCAM-1, and CCR5 cerebral expression were noticed in treated mice. Their fnding surely encourages to fnd out the active compounds from the *Trichoderma* sp. as immune-modulatory and antimalarial drug development, which may improve the treatment of cerebral malaria (Cariaco et al. [2018\)](#page-532-0).

# **5** *Trichoderma* **in Malaria Vector Control**

It has been reported that 13 spp., out of 46 spp., of *Anopheles* are malarial vectors. Indigenous strains of *Trichoderma asperellum* were isolated by us in our laboratory (Podder and Ghosh [2019\)](#page-539-0) from soils of different districts of West Bengal and effcacy of larval killing by their crude ME (methanolic extract) and ME fractions and spores were evaluated against the larvae of *Anopheles* spp. The LD50 value of ME of this species was 0.073 mg/mL. Similarly, 12 methanolic fractions (MF1-MF12) were evaluated for activity against anopheline larvae. The MF8 exhibited the best larvicidal potentiality while MF1-MF4 had no activity. The LD50 value of MF8 of this species was 0.059 mg/mL while the LT50 value was 8.57 h. From this experiment, we noted that there was a proportional relationship among larval death, MF8 dose concentrations, and time. It was globally the frst report to screen *Trichoderma asperellum* as a potential killer of mosquito larvae (Podder and Ghosh [2019](#page-539-0)) (Fig. [12\)](#page-528-0).

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**Fig. 12** *Trichoderma asperellum* as anopheline larvicide. (**a**) Cultural (in plate) and (**b**) microscopical characteristics of *T. asperellum*. (**c**) Spores (lactophenol cotton blue stained) attached on outer surface and plugging spiracles of treated larvae. (**d**, **e**) Hyphal outgrowth from the inner side of infected larvae. (**f**) Non-treated larvae stained with alizarine. (**g**) Tissue damage of ME-treated larvae (red circular mark area stained with alizarine. (Figures from Podder and Ghosh [2019](#page-539-0))

# **6** *Trichoderma* **as Anti-***Leishmania*

The culture fltrate of *Trichoderma asperelloides* was extracted in ethanol solution, and the extract was fractionized. Both the extract and its fractions were applied on promastigotes and amastigotes of *Leishmania amazonensis*, a major causative agent of cutaneous leishmaniasis in the New World (Lopes et al. [2020\)](#page-537-0). The extract and fractions exhibited antileishmanial property on *L. amazonensis* parasites, and its pharmacological activity was associated with the low-molecular-weight fraction (LMWF) of ethanolic extract. Microscopical observation displayed that morphological alterations in the mitochondria and the fagellar pocket of promastigotes occurred. In addition, more lipid body and acidocalcisome formation, microtubule disorganization of the cytoplasm were noticed, and more vacuoles in the cytoplasm when amastigotes were present. Their fnding suggested *Trichoderma* fungi as a good resource for developing chemotherapeutic leishmanicidal agents (Lopes et al. [2020\)](#page-537-0). The trilongins BI, BII, BIII, and BIV, which are peptaibols containing 20 amino acid residues, were isolated and identifed from *Trichoderma* sp. P8BDA1F1. These compounds were tested ex vivo against the intracellular amastigotes of *Leishmania infantum* but showed no selectivity (Grigoletto Diana et al. [2020\)](#page-535-0). Moreover, curing of leishmaniasis applying peptaibols, like anti-amoebin (AAM) and suzukacillin A (SZA), has created a new window for synergistic use in medical treatment with zero risk (Ramachander Turaga [2020\)](#page-539-0).

# **7** *Trichoderma* **as Anti-***Trypanosoma*

Recently, Iwatsuki et al. [\(2010](#page-535-0)) have isolated two new peptaibiotics, trichosporin analogs, designated as trichosporins B-VIIa (Fig. [13](#page-529-0)) and B-VIIb, together with fve known trichosporins from the culture broth of *Trichoderma* sp. FKI-4452. All trichosporins showed antitrypanosomal activities against *Trypanosoma brucei*

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**Fig. 13** Antitrypanosomal peptaibiotics, trichosporins B-VIIa. (Drawn on the basis of Iwatsuki et al. [2010\)](#page-535-0)

*brucei* strain GUT. Among them, five showed the most potent activity, with an IC50 value of 0.16 mg /ml and the highest selectivity (cytotoxicity against MRC-5 cells/antitrypanosomal activity) of 60 times. Compounds trichosporins B-VIIa (Fig. 13) and B-VIIb showed moderate inhibitory activities, with IC50 values of 0.92 and 6.1 mg/ ml, respectively. This research team has previously reported that some peptaibiotics (leucinostatins and alamethicin I) exhibited antitrypanosomal activities (Ishiyama et al. [2009\)](#page-535-0). Trichosporins might have the inhibitory mechanism, due to their membrane-interacting properties.

# **8** *Trichoderma* **as Anticholesterol and Antiaging Drug Development**

R-mevalonolactone (derivative of harzialactones) (Fig. [14](#page-530-0)) was isolated and characterized from a strain of *T. harzianum* (Amagata et al. [1998](#page-531-0)). This compound has the potentiality to activate the metabolism of cholesterol in aged skin. Later on, Yamashita [\(2000](#page-542-0)) exhibited that this antiaging compound, R-mevalonolactone must be a promising component of cosmetic drug for aged humans. Furthermore, the compound compactin, which later was renamed as mevastatin (Endo et al. [1985\)](#page-534-0), also has been established as a good cholesterol-lowering agent. This compound hinders cholesterol biosynthesis (Goldstein et al. [1979\)](#page-535-0). Compactin (Fig. [14a](#page-530-0)) also has been isolated from different sources including *T. longibrachiatum* and *T. pseudokoningii*. It is also a statin group drug. The key structural feature of compactin is the chiral b-hydroxy-d-lactone moiety, which closely mimics mevalonic acid, a crucial intermediate in the biosynthesis of cholesterol (Stokker et al. [1985](#page-541-0)). Lovastatin

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**Fig. 14** Statin group of compounds. (**a**) Compactin. (**b**) Lovastatin. (**c**) Simvastatin (drawn as per the information of Reino et al. [2008\)](#page-540-0). (**d**) Atorvastatin tablet in the market (purchased)

(Monacolin K) 1 (Fig. 14b), which is produced from some species of fungi like *Monascus, Aspergillus*, and simvastatin (Fig. 14c), a synthetic derivative of monacolin K, are major anticholesterol drug (Jones [1990](#page-536-0)) available in market as tablet (Fig. 14d) or other forms. The mechanism of action of these drugs lies on the ability to inhibit the function of the key enzyme, HMG CoA reductase, and as a result, the formation of mevalonate from acetoacetyl CoA becomes limited in cholesterol biosynthesis, and consequently the pathway responsible for the production of farnesyl pyrophosphate and geranylgeranyl pyrophosphate is inhibited. As these two pyrophosphates are very essential for many signal transduction pathways that infuence a series of events directing to endothelial dysfunction, proliferation, apoptosis, infammation, and other events important for atherogenesis (Jakobisiak and Golab [2003\)](#page-536-0), their inhibition of the production indicates the effectiveness of the statin group drugs as anticholesterol biosynthesis.

# **9 Conclusions**

After foregoing discussion, we found that the *Trichoderma* genus of fungi having many species is an important source of bioactive compounds of chemically diverse groups. Many bioactive compounds have been isolated, characterized, and tested of their bio-effcacy against many human pathogens, including multidrug-resistant strains, by several scientists from time to time. Some exhibited excellent efficacy and deserve for clinical application, and few have already been placed as essential drugs in clinical practices. The worth-mentioned compound is cyclosporine A (CsA), which is an essential drug or antibiotics used during organ transplantation and also to manage autoimmune disease. Similarly, anticholesterol drugs statin group (lovastatin, mevalostatin, etc.) which are produced from some species of this genus, has acquired a place in essential drug list in medical science. In addition, Trichoderma-derived compounds are tested against several human cancer cell lines; some are very promising for further trials. At the same time, many species of *Trichoderma* are still untouched for deciphering their bioactive compounds, and it

<span id="page-531-0"></span>is our great opportunity to harness more bioactive compounds from these members for medical uses. Another important thing is that the case report of species of *Trichoderma* as an opportunistic human pathogen is increasing by time course. Therefore, the genus *Trichoderma* has been recognized as a very important aspect in medical science.

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# *Trichoderma* **Enzymes in the Wine and Beer Industry**



**Sukumar Debnath**

## **Contents**



# **1 Introduction**

The discovery of the anamorphic hyphomycetes *Trichoderma* (Syn: *Hypocrea*) dates back to [1794](#page-553-0) when the four species of the genus were described by Persoon in Germany. Teleomorph or sexual form of *Trichoderma*, i.e., *Hypocrea*, was discovered by Tulasne and Tulasne in [1865](#page-553-0). The frst taxonomic treatment and species diversity of *Trichoderma* was proposed based on colony growth rate and microscopic characteristics by Rifai in [1969](#page-553-0). The genus was subdivided into nine species aggregates, which were distinguished from each other primarily on the basis of conidiophore branching patterns, phialide, and conidium morphology. The nine species aggregates proposed were (1) *T. piluliferum* Webster and Rifai, (2) *T. polysporum* (link ex Pers.), (3) *T. hamatum* (Bon.) Bain, (4) *T. koningii* Oudemans, (5)

S. Debnath  $(\boxtimes)$ 

Mani Trust, Kalimpong, Relli Road, West Bengal, India

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<span id="page-545-0"></span>*T. aureoviride* Rifai, (6) *T. harzianum* Rifai, (7) *T. longibrachiatum* Rifai, (8) *T. pseudokoningii* Rifai, and (9) *T. viride* (Pers. Ex. Fr.).

Taxonomy of *Trichoderma* is highly complex due to close morphological similarity among species and occurrence of cryptic species (Druzhinina et al. [2011\)](#page-551-0). However, in the recent years, signifcant progress has been made in understanding *Trichoderma* species recognition, reidentifcation of already preserved species in microbial depository, ecology, and species diversity of *Trichoderma* (Cai and Druzhinina [2021](#page-551-0)). Molecular identifcation based on DNA bar codes (ITS, *tef1*, and *rpb2*) has been developed as a reliable protocol for the identifcation of *Trichoderma* species (Kubicek et al. [2003;](#page-552-0) Druzhinina et al. [2006](#page-551-0), [2011](#page-551-0); Samuels [1996](#page-553-0); Harman et al. [2004\)](#page-552-0). As of now, over 370 *Trichoderma* species have been described, and the majority of the descriptions have been based on the combined analyses of DNA bar code aided molecular and morphological data [\(www.trichoderma.info;](http://www.trichoderma.info) Bissett et al. [2015;](#page-551-0) Cai and Druzhinina [2021\)](#page-551-0).

### **2** *Trichoderma* **Enzymes**

A great diversity of secondary metabolites such as trichodermin, 6-pentyl-α-pyrone, koninginin, viridian, etc. (Sivasithampara and Ghisalberti [2002\)](#page-553-0) and enzymes such as cellulases, β-glucanase, pectinase, chitinase, protease, lipase, and amylase (Kunamneni et al. [2014;](#page-552-0) Bhale and Rajkonda [2012;](#page-551-0) Tenkanen et al. [1992\)](#page-553-0) are produced by various species of *Trichoderma*.

The groundbreaking discovery of *T. reesei* (Syn: *Hypocrea jecorina*) opened up several new avenues for research on *Trichoderma* cellulases (Bischof et al. [2016\)](#page-551-0). *T. reesei* was isolated from Solomon Island during World War II in 1946 and was found to be associated with the degradation of canvas-based army materials. *T. reesei* wild-type strain QM6a and its mutants, RUT-C30, were identifed to be a potent cellulose decomposer after the screening of 14,000 isolates of *Trichoderma* maintained at Quater Master Microbial Deposit Centre at Natick. RUT-C30, mutants of *T. reesei* QM6a, contains genes encoding proteins of industrial importance, and recent developments in fungal genomics have enhanced our understanding of the genetic aspects of *T. reesei* (Martinez et al. [2008;](#page-552-0) Gupta et al. [2016](#page-552-0); Mukherjee [2017;](#page-553-0) Druzhinina et al. [2011](#page-551-0)). Genes isolated from *T. reesei* are used to engineer yeast cells to produce the desired metabolites (Bischof et al. [2016\)](#page-551-0). Strains of *Trichoderma*, particularly *T. reesei* QM6a and its mutants, are good sources of extracellular enzymes (cellulases, xylanases, β-mannanase, α-L-arabinofuranosidase, α-galactosidase, pectin methyl esterases, acetylxylan esterases, and laccases) suitable for practical application in industrial sectors (Montenecourt and Eveleigh [1977](#page-552-0); Gautam and Narayan [2020\)](#page-552-0).

Many of the *Trichoderma* species are efficient producers of industrially important enzymes (Kunamneni et al. [2014](#page-552-0); Bhale and Rajkonda [2012;](#page-551-0) Tenkanen et al. [1992\)](#page-553-0). Genes responsible for the production of many enzymes have been studied, particularly with regard to *T. reesei* (Gautam and Narayan [2020\)](#page-552-0). *Trichoderma*

	<b>Trichoderma</b>	
Enzymes	spp.	References
Pectinases	T. reesei	Haltmeier et al. (1983)
Cellulases	T. reesei	Xiong et al. (2013), Ohmiya et al. (1997), Reinikainen (1994),
		Kunamneni et al. (2014), Kumar and Ray (2014) and
		Montenecourt and Eveleigh (1977)
Xylans	<i>T. reesei</i> RUT	Tenkanen et al. (1992)
	C <sub>30</sub>	
Chitinase	T. asperellum	Bech et al. (2014)
Lipases	T. reesei	Rajesh et al. (2010) and Kumar et al. (2014)
Protease	T. harzianum	Nirmal et al. $(2011)$
Amylase	T. harzianum	Bhale and Rajkonda (2012)
Ligninolytic	<b>Trichoderma</b>	Saili et al. $(2014)$
enzymes	spp.	

<span id="page-546-0"></span>**Table 1** Enzymes produced by *Trichoderma* species

enzymes (Table 1), namely, cellulase, hemicellulase (Wong and Saddler [1992\)](#page-554-0), β-glucanase, pectinase, chitinase, protease, lipase, and amylase, have been explored for possible application in food, wine, bakery, brewery, biofuel, and textile industries (Galant et al. [1998](#page-552-0)). Fast growth rate, ability to produce diverse secondary metabolites, colonization of a wide variety of substrates, rich species diversity, and amenability to gene cloning have made *Trichoderma* an excellent candidate for enzyme production (Paloheimo et al. [2016;](#page-553-0) Merino and Cherry [2007](#page-552-0)).

## **3** *Trichoderma* **Enzymes in the Wine Industry**

The exogenous use of enzymes has been identifed as one of the most cost-effective and attractive alternatives for enhancing the quality of the products in wine industries (Ottone et al. [2020](#page-553-0); Canal-Llaubères [2010;](#page-551-0) Villettaz and Dubourdieu [1991\)](#page-553-0). Traditionally, must fermentation has been performed by cultivating the natural yeasts present in grape skin, and the biotransformation has been facilitated by the enzymes produced by such yeasts (Pretorius [2000](#page-553-0)). This practice has long been abandoned owing to the advances in biotechnology and the better understanding of the source, isolation, and function of improved yeast strains for modern winemaking (Ottone et al. [2020](#page-553-0)). Nowadays, the use of exogenous enzymes in different stages of the process is a well-established practice in the large-scale production of wine (Rensburg and Pretorius [2000](#page-553-0); Canal-Llaubères [2010\)](#page-551-0).

There are four basic stages in winemaking: (1) pressing, maceration, and crushing, (2) fermentation, (3) clarifcation, and (4) stabilization, aging, and bottling (Table [2\)](#page-547-0).

Grapes (*Vitis vinifera*) are harvested either by hand or by using a mechanical harvester (Canal-Llaubères [2010](#page-551-0)). The fruits are sorted at the winery, and only the good quality, ripe grapes are selected (Table [2](#page-547-0)). The crushing duration of the grapes

Stage 1	Stage 2	Stage 3	Stage 4
Pressing and maceration	Fermentation	Clarification	Stabilization and aging
Enzymes	Enzymes	<i>Enzymes</i>	<i>Enzymes</i>
<i>Cellulases</i> <b>Hemicellulases</b> Pectinases Glucose oxidases	<i>Glucosidases</i> (aroma release)	Pectinases	Lysozymes Protease Urease

<span id="page-547-0"></span>**Table 2** Stages of winemaking and respective enzymes

for white wine production is relatively short, while the grapes for red wine production are left in contact with the skin to enable the release of anthocyanins, thereby imparting color, favor, and additional tannins during the fermentation process (Canal-Llaubères [2010\)](#page-551-0).

Fermentation is initiated by the yeast naturally present in the grapes and also by commercially available improved yeast strains within 6–12 h of addition. The grape surface has a rich diversity of microorganisms, including naturally occurring yeast fora and lactic acid bacteria that grow along with the introduced yeast. The population of extraneous microbes drastically declines during fermentation owing to the harsh processing conditions. Fermentation continues until the sugar is entirely converted to alcohol. Sweet wine is produced when the fermentation is stopped before all the sugars are converted to alcohol. The clarifcation stage begins after completion of the fermentation. Filtration is accomplished using inert materials that retain the coarse solids. The clarifed wine is then transferred to another vessel and is ready for bottling. Aging is carried out in bottles, stainless steel or ceramic tanks, large wooden ovals, or small barrels (Canal-Llaubères [2010\)](#page-551-0).

# **4 Role of Cellulase and Pectinase Enzymes in the Wine Industry**

*Trichoderma* spp. are known to produce hydrolytic enzymes such as chitinases, β-1,3- and β-1,6-glucanases, and proteases (β-1,3-glucans). Strains of *Trichoderma reesei* and its mutants are good sources of extracellular cellulases that are practically suitable for saccharifcation. The organisms secrete a complete cellulase complex containing endo- and exoglucanases as well as β-glucosidase (cellobiase), which act synergistically to totally degrade even the highly resistant crystalline cellulose to soluble sugars (Bischof et al. [2016](#page-551-0)). Generally, pectic enzymes are used in the clarifcation step to aid in the extraction process, maximize the juice yield, facilitate the fltration process, and intensify the favor and color (Canal-Llaubères [2010\)](#page-551-0). The addition of pectinase in winemaking reduces the viscosity and turbidity of the must. The turbidity is attributed to the electrostatic destabilization of the suspended, negatively charged pectin particles (Endo 1965).

Tannins and anthocyanins are the most important phenolic compounds involved in the production of red wine. Tannins add to the mouthfeel of the wine and also form pigmented polymers along with anthocyanins to provide the stable pigments that give red wine its long-term color stability. Tannins also exert mild antimicrobial activity. Grape anthocyanins are red pigments that are located mainly in the vacuoles (Barcelo et al. [1994\)](#page-551-0) as well as in special structures called anthocyanoplasts (Pecket and Small [1980](#page-553-0)). The physical appearance of red wine, described by its color, brightness, and turbidity, is an important qualitative attribute. The use of pectolytic enzymes reduces the turbidity of the wine and contributes to its quality (Hagan [1996](#page-552-0)). Pectinases, β-glucanases, xylanases, and proteases are used to augment the clarifcation and processing of wine. Glycosidase is employed for the release of aroma from the precursor compounds (Chakraborty et al. [2016\)](#page-551-0).

A combination of macerating enzymes such as cellulases and pectinases is added in different proportions during maceration and vinifcation (storage, aging) and/or before fltration to remove the pecto-cellulosic substances (Chakraborty et al. [2016\)](#page-551-0). They catalyze the degradation of structural polysaccharides, thereby lowering the viscosity. This results in the release of fermentation compounds (tannins) and glycoside precursors from the cell wall and fesh, leading to better color intensity, stability, and improved overall mouthfeel and aroma (Villettaz et al. [1984\)](#page-553-0).

Pectinases are able to degrade the cell wall components and release tannins and anthocyanins from the skin of red grapes. Color gain and improvement in sensory attributes, such as mouthfeel and aroma, after pectinase treatment are well documented (Main and Morris [2007](#page-552-0)).

Pectinases are added to the grapes in the pre-fermentation stage. The enzyme application improves the juice yield, which varies from  $4\%$  to  $6\%$  in red wine and from  $6\%$  to  $11\%$  in white wine, depending on the cultivars, maturity of the grapes, and extraction effciency. Pectinase-treated grapes showed reduction in sediment volume and were therefore easier to clarify than the non-treated grapes (Canal-Llaubères [2010\)](#page-551-0).

The wine becomes stable and attains the optimum level of aroma during the postfermentation stage. Nonvolatile aroma compounds are released from the precursor molecules in addition to the metabolic products arising from the autolysis of yeast cells, thereby adding to the overall aroma of the wine. Urease (EC 3.5.1.5) was developed and tested to hydrolyze urea and lower the undesirable carcinogenic ethyl carbamate to acceptable levels (Canal-Llaubères [2010](#page-551-0); Whitaker [1984\)](#page-553-0).

Enzymes such as  $\beta$ -glucosidase,  $\alpha$ -arabinosidase,  $\alpha$ -rhamnosidase, and β-apiosidase are used for enhancing the levels of compounds contributing to the aroma of the wine (α-terpinol, geraniol, nerol, linalool, and citronellol) (Cabaroglu et al. [2003](#page-551-0)). *Botrytis cinerea* causes gray rot of grapes and acts as a source of pectinase enzymes which is responsible for the breakdown of grape pectin to a certain extent. However, this fungus is associated with earthy, musty, mushroom-like smell identifed to be 2,4,6-trichloroanisole in wine bottle. It has been observed that β-glucanase from *T. harzianum* can be used successfully to process *Botrytis* infested in grapes in the wine (Dubourdieu et al. [1985\)](#page-552-0). Villettaz et al. [\(1984](#page-553-0)) have documented the role of β-glucanase in the clarifcation and fltration of wine.

# <span id="page-549-0"></span>**5 Regulatory Status**

The use of enzymes in the wine industry is regulated by the International Organisation of Vine and Wine (OIV) (Hüfner and Haßelbeck 2017) and European Union. Enzymes from many GRAS (Generally Recognized as Safe) microorganisms, such as *Aspergillus niger*, *T. reesei*, *T. harzianum* (Almasy [2016](#page-551-0)), *Bacillus subtilis*, *B. amyloliquefaciens*, and *B. licheniformis*, are being investigated to achieve high yield and improved quality. *T. reesei* is excluded from the list of pathogens by the EU. It is also classifed as one of the GRAS microbes by US Department of Public Health guidelines (Nevalainen et al. [1994](#page-553-0); Pariza and Johnson [2001](#page-553-0))*.*

## **6 Uses of Enzymes in the Beer Industry**

Beer is the third most popular beverage worldwide after water and tea. The global beer production amounted to 1.91 billion hectoliters in 2019. There are several steps in the brewing process, which include malting, mashing, lautering, boiling, fermentation, conditioning, fltering, and packaging. The brewing usually utilizes barley grains, although oats and wheat (*Triticum aestivum*) and sorghum (*Sorghum vulgare*) can also be used (Dennis et al. [2004\)](#page-551-0).

The barley grains are harvested and processed by heating, drying, and cracking to stimulate the activity of the endogenous hydrolytic enzymes. Malt is added to the mash and left to steep in hot water, producing a sticky, sugary liquid known as wort (Dennis et al. [2004](#page-551-0)). The wort is transferred to a copper vessel and hops (*Humulus lupulus*) is added for bitterness and aroma, and the liquid is boiled. It is cooled and transferred to a fermentation vessel, after which yeast is added. Maintaining an optimum fermentation temperature is very important as it determines the quality of the beer. After the completion of fermentation, the beer is packed in bottles or cans for further processing and may also require carbonation depending on the type of beer. A detailed account of the science of beer production has been given by Dennis et al. [\(2004](#page-551-0)).

# **7 Role of Cellulase and Amylase Enzymes in the Beer Industry**

Cellulases are typically added during the mashing step to reduce the viscosity of the wort and improve the separation of the wort from the spent grains (Chakraborty et al. [2016](#page-551-0)). The enzyme degrades the polymeric beta-glucans present in the endosperm cell wall of the grain into smaller, less-viscous molecules, thereby lessening the fltration time. The cellulase is typically denatured during the lautering (separating the mash into a clear liquid), mash fltration, or the pasteurization step after the

Enzymes	<b>Process</b>	<b>Functions</b>
$\alpha$ -Amylase	Malting, mashing	Starch hydrolysis; improve clarification
$\beta$ -Amylase	Mashing, malting	Improve starch hydrolysis, malting, saccharification, fermentation yield
$\beta$ -Glucanase	Malting, mashing, fermentation	Improve malting, lower viscosity, improve clarification
Fungal- $\beta$ -amylase	Fermentation	Increase fermentation yield
Protease	Malting, mashing, storage	Improve malting, fermentation, clarification, chilling, and storage quality
$\alpha$ -Acetolactate- decarboxylase	Fermentation	Reduce fermentation time
Amyloglucosidase	Mashing	Increase glucose level in wort

<span id="page-550-0"></span>**Table 3** Brewing enzymes and their functions

fermentation. Besides, α- and β-amylases are used for starch degradation during the mashing and malting stages, while β-glucanase improves malting, aids clarifcation, and lowers the viscosity (Table 3).

Barley contains 6–11% of non-starch polysaccharides, and gluten is an important fraction. High gluten content is undesirable for the production of good quality beer; hence, it needs to be reduced (Ullrich [2011](#page-553-0); Galante et al. [1998](#page-552-0)). Four different enzymes, i.e., α- and β-amylase, peptidase, and glucanase, are applied in different stages of beer production (Bamforth [1994](#page-551-0), [2009](#page-551-0)). *Trichoderma* endoglucanase II and cellobiohydrolase II of the *Trichoderma* cellulose system causes a reduction in wort viscosity (Scheffer and Bamforth [2005](#page-553-0)). Exogenous application of cellulase causes a 90% decrease in the β-glucan content and a 30% improvement in the fltration rate. Bamforth and Kannauchi [\(2001](#page-551-0)) observed that the mixed application of β-endoglucanase with endoxylanase increases the solubility of glucan. β-Glucanases used in the beer industry are obtained from *Penicillium emersonii*, *A. niger*, *B. subtilis*, or *T. reesei*.

## **8 Prospects of Genetic Improvement of the Beer Yeast**

The use of genetically modifed organisms (GMOs) has been permitted in the food and beverage industry globally. In 1990, the United Kingdom became the frst country to permit the use of GMO in food (Hammond [1995\)](#page-552-0). A new yeast species, *S. pastorianus*, which is a hybrid of *S. cerevisiae* and *S. eubayanus*, is being utilized to enhance beer production in the United Kingdom (Gorter de Vries et al. [2019\)](#page-552-0). Efforts are now being made to improve the strain and to genetically engineer and modify the ethanogenic yeast *S. cerevisiae* by incorporating the cellulose-degrading genes from *T. reesei* (Fujita et al. [2002](#page-552-0); Katahira et al. [2004](#page-552-0); Yamada et al. [2013;](#page-554-0) Nakatani et al. [2013;](#page-553-0) Matano et al. [2013](#page-552-0); Liu et al. [2015\)](#page-552-0). However, consumer acceptance of the beer manufactured using genetically modifed yeast will largely depend on an extensive and reliable risk assessment process.

# <span id="page-551-0"></span>**9 Conclusion**

Naturally occurring flamentous fungi belonging to the genus *Trichoderma*, including mutants of *T. reesei*, have been recognized as a rich source of genes for enzymes for practical application in agricultural, environmental, and industrial setup. In the wine and beer industry, enzymes help in the extraction, fltration, and clarifcation of the juice and in the release of aroma from the sugar-bound precursors. Furthermore, they inhibit the formation of carcinogenic ethyl carbamates, help in the removal of haze from proteins, and prevent the oxidative burning of wine. Future research on the preservation of naturally occurring or improved strains of *Trichoderma* as well as its genes encoding useful enzymes and their production process with GRAS approval and beneft sharing should be a priority.

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# **Part VI Risk Factors Related to** *Trichoderma* **Applications in Agriculture**

# *Trichoderma* **Green Mould Disease of Cultivated Mushrooms**



**László Kredics [,](https://orcid.org/0000-0002-8837-3973) Lóránt Hatvan[i](https://orcid.org/0000-0003-0953-3201) , Henrietta Allaga, Rita Büchner, Feng Cai, Csaba Vágvölgy[i](https://orcid.org/0000-0003-0009-7773) , Irina S. Druzhinin[a](https://orcid.org/0000-0003-2821-5268) , and Shahram Naeimi**

#### **Contents**



L. Kredics (⊠) · L. Hatvani · H. Allaga · R. Büchner · C. Vágvölgyi Department of Microbiology, Faculty of Science and Informatics, University of Szeged, Szeged, Hungary e-mail: [kredics@bio.u-szeged.hu](mailto:kredics@bio.u-szeged.hu)[; csaba@bio.u-szeged.hu](mailto:csaba@bio.u-szeged.hu)

F. Cai · I. S. Druzhinina Key Laboratory of Plant Immunity, Fungal Genomics Laboratory (FungiG), Nanjing Agricultural University, Nanjing, China e-mail: [irina.druzhinina@njau.edu.cn](mailto:irina.druzhinina@njau.edu.cn)

S. Naeimi

Department of Biological Control Research, Iranian Research Institute of Plant Protection, Agricultural Research, Education and Extension Organization (AREEO), Tehran, Iran

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# <span id="page-557-0"></span>**1 Introduction**

Mushrooms are ideal sources of vitamins, proteins, minerals and polysaccharides with low fat content (Khan et al. [1981](#page-596-0)) and are known to have a broad range of uses both as food and medicine (Alice and Kustudia [2004\)](#page-593-0). Due to their various nutritional and functional food properties, edible mushrooms form an important part of human diet. Commercial cultivation of edible mushrooms was frst introduced in France during the eighteenth century. *Lentinula*, *Pleurotus* and *Agaricus* are among the five most commonly cultivated mushroom genera in the twenty-first century along with *Auricularia* and *Flammulina* (Royse et al. [2017\)](#page-600-0). The global annual edible mushroom production is estimated to be around \$42 billion (Prescott et al. [2018\)](#page-599-0).

With a production of 4.43 million tons in 2013, white button mushroom (*Agaricus bisporus*) is the most commonly produced mushroom in Europe and North America, accounting for about 15% of worldwide total mushroom production (Royse et al. [2017\)](#page-600-0). It is produced on mushroom compost, which is a cultivation substratum usually containing a mix of wheat straw, gypsum and chicken manure. This mixture is processed through the composting procedure consisting of fermentation (Phase I), pasteurisation (Phase II) and conditioning and spawning with cereal grain inoculated with *A*. *bisporus* mycelium (Phase III). The compost generally reaches the farmers inoculated with *A*. *bisporus* (Phase III).

Shiitake (*Lentinula edodes*), usually grown on wheat straw or bed logs, is widely cultivated in East Asia as a food source and is dried and exported to several countries due to its special aroma and favour (Chen [2005;](#page-593-0) Luo [2004\)](#page-598-0). Shiitake also has valuable medicinal functions, including immunostimulant properties (Yamamoto et al. [1997](#page-603-0)). In China, it is one of the most important edible mushrooms (Wang et al. [2016\)](#page-603-0), while in Korea, its consumption has been increasing annually since 1999. There are now about 20 cultivars of shiitake.

The cultivation of oyster mushroom (*Pleurotus ostreatus*) has grown exponentially in recent years with China as the most important *Pleurotus*-growing country. In Europe, Italy, Hungary and Poland are the main producers of *P. ostreatus* (Błaszczyk et al. [2013](#page-593-0)). In addition to being grown for human consumption, it is also used for the bioconversion of agricultural and industrial lignocellulose (Ballero et al. [1990](#page-593-0); Puniya et al. [1996\)](#page-600-0) and is a source of enzymes and metabolites important for industry and medicine (Marzullo et al. [1995](#page-598-0); Gunde-Cimerman [1999;](#page-595-0) Gregori et al. [2007](#page-595-0)). Oyster mushrooms possess good nutritional value as well as anti-infammatory and immunomodulatory properties (Lavi et al. [2010\)](#page-597-0). They are rich in proteins, vitamins and minerals (Mattila et al. [2000](#page-598-0)). Oyster mushroom can be grown on a wide range of industrial wastes and agricultural by-products, including wheat straw, paddy straw, vegetable plant residues, maize stalks/cobs, bagasse, etc. (Hassan et al. [2011](#page-595-0)).

The production of the aforementioned mushrooms can be seriously affected by the so-called green mould diseases caused by certain members of the flamentous fungal genus *Trichoderma* (Hypocreales, Ascomycota). Some *Trichoderma* species are industrially signifcant, while others have long been known to antagonise

<span id="page-558-0"></span>various plant pathogenic fungi (Gupta et al. [2014](#page-595-0)). Among the most important background mechanisms of antagonism, the competition for nutrients and living space, antibiosis by the production of antibiotics, mycoparasitism aided by the activity of cell wall-degrading enzymes as well as the stimulation of plant germination, growth and defence responses need to be mentioned (Olmedo Monfl and Casas-Flores [2014\)](#page-599-0). During the recent decades, it has also become clear that members of the genus may be opportunistic human pathogens (see Chap. [22](#page-604-0)), while some species were identifed as pathogens of green mould diseases causing signifcant yield losses in the production of white button mushroom, oyster mushroom, shiitake and other cultivated mushrooms.

This chapter aims to provide a comprehensive overview about the epidemiology, biology and control options of mushroom green mould diseases caused by *Trichoderma* species.

# **2 Green Mould of White Button Mushroom (***Agaricus bisporus***)**

In the case of *A. bisporus*, it has long been known that compost infected with *Trichoderma* may result in yield reduction (Sinden and Hauser [1953\)](#page-601-0). Initially, the species *T. viride* and *T. koningii* were reported to be responsible for intermittent crop losses (Sinden and Hauser [1953\)](#page-601-0). Sinden [\(1971](#page-601-0)) characterised representatives of the genus *Trichoderma* as competitors of cultivated mushrooms indicating the poor quality of compost, which appear under acidic conditions or in the case of the presence of soluble sugar residues. The green mould disease of *A. bisporus* mould was considered an insignifcant problem until the 1980s, related to weak compost quality or inadequate hygienic conditions, that could be treated by improving the quality of the compost, ensuring adequate hygiene or applying chemical intervention (Geels et al. [1988\)](#page-595-0). This view changed fundamentally due to the green mould outbreaks in Ireland, Northern Ireland (1985–1986) and later in the British Isles (1990–1991), causing around £3–4 million losses (Doyle [1991](#page-594-0); Fletcher [1990;](#page-594-0) Morris et al. [1995a,](#page-598-0) [b;](#page-598-0) Seaby [1987,](#page-601-0) [1989,](#page-601-0) [1996a,](#page-601-0) [b,](#page-601-0) [1998\)](#page-601-0). In 1994, the problem also occurred in the Netherlands resulting in severe losses (Geels [1997](#page-595-0)). In the early 1990s, a similar disease has emerged in the United States and Canada (Alberta, British Columbia, Ontario and Pennsylvania) and caused more than \$30 million losses in *Agaricus* production (Castle et al. [1998](#page-593-0); Ospina-Giraldo et al. [1998](#page-599-0), [1999;](#page-599-0) Rinker [1993](#page-600-0), [1994;](#page-600-0) Romaine et al. [1996;](#page-600-0) Spillmann [2002](#page-602-0)). In France, green mould became a known problem in 1997 (Mamoun et al. [2000a,](#page-598-0) [b\)](#page-598-0), while in Spain, *Trichoderma* strains much more aggressive than the ones previously known were frst noticed in the winter of 1996–1997 (García-Morrás and Oliván [1997;](#page-595-0) Hermosa et al. [1999\)](#page-596-0). *Trichoderma* green mould of *A. bisporus* appeared later also in Central Europe, from Poland (Szczech et al. [2008](#page-602-0); Sobieralski et al. [2009a](#page-601-0), [b\)](#page-601-0) through Hungary (Hatvani et al. [2007](#page-595-0); Kredics et al. [2010](#page-597-0)) to Croatia (Hatvani et al. [2012\)](#page-595-0) and Serbia (Kosanović et al. [2013\)](#page-596-0), as well as in Turkey (Aydoğdu et al. [2020](#page-593-0)), Iran (Vahabi

<span id="page-559-0"></span>et al. [2009;](#page-603-0) Zargarzadeh et al. [2011\)](#page-603-0), Mexico (Romero-Arenas et al. [2009\)](#page-600-0) and Australia (Clift and Shamshad [2009](#page-594-0)). Due to the spread of the problem, intensive research programmes started to identify and study the green mould pathogens.

## *2.1 Epidemiology*

Although a series of *Trichoderma* species including *T. citrinoviride*, *T. crassum*, *T. hamatum*, *T. koningii*, *T. longibrachiatum* and *T. spirale* (Fig. 1) were previously described from *Agaricus* compost (Castle et al. [1998\)](#page-593-0), the aggressive colonisation leading to outbreaks and epidemics was initially attributed exclusively to strains of *T. harzianum* sensu *lato* (Doyle [1991](#page-594-0); Seaby [1987,](#page-601-0) [1989\)](#page-601-0). *T. harzianum* sensu *lato* isolates from compost in the British Isles were classifed into three biotypes, Th1, Th2 and Th3, differing in their growth rate, conidium formation and aggressivity to *A. bisporus* (Doyle [1991](#page-594-0); Seaby [1987](#page-601-0)). Inoculation experiments revealed that the



**Fig. 1** Taxonomic position of *Trichoderma* species associated with cultivated mushrooms. Mushroom symbols of different colours indicate different host mushrooms. The number of symbols of the same colour refects the signifcance of the particular mushroom (one symbol, detected in cultivation; two symbols, identifed as pathogenic mould; three symbols, reported to cause epidemic outbreaks). The Bayesian tree was inferred from the alignment of 808 nucleotides of the *rpb2* gene for 349 sequences retrieved from NCBI GenBank (Kai et al. [2020](#page-596-0)). Nodes supported with posterior probabilities above 0.94 are marked by black diamonds

development of green mould epidemics can be attributed to the most aggressive biotype Th2 (Fletcher [1990](#page-594-0); Seaby [1987,](#page-601-0) [1989;](#page-601-0) Staunton [1987\)](#page-602-0).

The biotypes are different also in terms of their colony morphology and micromorphological characteristics (phialides and conidiospores) (Seaby [1996a](#page-601-0)). The Th1 biotype usually occurs in raw compost ingredients but rarely occurs in pasteur-ised compost (Seaby [1987\)](#page-601-0). It has a high growth rate at 27  $\degree$ C (1 mm/h) and produces conidia within 2 days under illumination. The sporulating culture is spinach-like green in colour and has a malt-like smell (García-Morrás and Oliván [1997\)](#page-595-0). Biotype Th2 is rarely found in raw compost components; it occurs primarily in affected compost (Morris et al. [1995a, b](#page-598-0)). It shows rapid growth at 27  $^{\circ}$ C (1 mm/h) and forms a cotton-like layer of aerial mycelium. Conidiation begins after 4 days with the formation of concentric green bands. The Th3 biotype, like Th1, colonises raw compost components, but its conidia may occasionally also enter the pasteurised compost from the air (Seaby [1996a](#page-601-0)). Its growth rate is 0.5–1 mm/h, and the colony emits a coconut odour. The Th1-Th3 grouping was later supported by studies on 81 compost isolates of *Trichoderma* using molecular biological methods (restriction fragment length polymorphism (RFLP), random amplifed polymorphic DNA (RAPD), sequence analysis of the internal transcribed spacer (ITS) region). Representatives of the Th2 biotype proved to be genetically homogeneous (Muthumeenakshi et al. [1994](#page-598-0)), supporting the assumption that the green mould epidemic in the British Isles may have proceeded from a single source, probably in Northern Ireland (Morris et al. [1995a,](#page-598-0) [b\)](#page-598-0), due to a mutation resulting in the development of aggressive compost colonisation ability (Seaby [1987\)](#page-601-0). However, the British and Irish isolates can be distinguished from each other based on minor differences found in the mitochondrial DNA (Muthumeenakshi et al. [1994\)](#page-598-0). This genetic diversity is hypothesised to be the result of several additional mutations following the frst mutational event that enabled compost colonisation. The molecular techniques mentioned above were later also applied to *Trichoderma* isolates from mushroom farms of North America (Castle et al. [1998;](#page-593-0) Muthumeenakshi and Mills [1995;](#page-598-0) Muthumeenakshi et al. [1998;](#page-598-0) Qi et al. [1996](#page-600-0)). The aggressive Th2 biotype in the British Isles and the North American pathogen – named biotype Th4 – proved to be clearly distinguishable. Th4 strains appeared to be genetically uniform, suggesting that the Th4 biotype can also be derived from a single source. Based on sequence analysis of the ITS1 region, there was a 5 base pair difference between the Th2 and Th4 biotypes, and both showed a close phylogenetic relationship to the Th1 biotype of *T. harzianum* (Muthumeenakshi et al. [1998](#page-598-0)). The Th4 biotype has a growth rate of 0.8 mm/h, colonies with wavy edges forming aerial mycelium and conidiation occurring in bands (Seaby [1996a\)](#page-601-0). Based on the above results, *Agaricus* green mould infection is not caused by a single strain; aggressive biotypes appear to have developed from at least two independent sources (British Isles and North America), which also explains the differences among British/Irish and North American green mould isolates of *Trichoderma*.

As members of the Harzianum clade of the genus *Trichoderma* are often used as biocontrol agents against plant pathogenic fungi (Naeimi et al. [2019\)](#page-598-0), concerns have been raised that biocontrol strains may be able to cause green mould infections. <span id="page-561-0"></span>However, ITS sequence analysis and RAPD have shown that although the strains used in biological control and green mould-causing strains are close relatives, they can be clearly distinguished from each other (Hermosa et al. [2000;](#page-596-0) Ospina-Giraldo et al. [1999;](#page-599-0) Royse et al. [2001\)](#page-600-0). The Th2 and Th4 biotypes and biocontrol strains thus presumably derive from a common ancestor. All this is supported by the phylogenetic analysis based on a fragment of the β-tubulin gene (Romaine et al. [1999\)](#page-600-0), while the results of artifcial infection experiments showed that in contrast to Th4 isolates but similarly to representatives of the Th1 biotype, *T. harzianum* strains used for biocontrol are unable to attack *Agaricus bisporus* (Rinker et al. [1997a;](#page-600-0) Romaine et al. [2001\)](#page-600-0). Molecular differences between the Th1 and Th3 biotypes let Muthumeenakshi et al. [\(1994](#page-598-0)) to frst hypothesise that these biotypes may represent three separate species. Later molecular phylogenetic studies have shown that Th3 is in fact *T. atroviride* (Castle et al. [1998;](#page-593-0) Ospina-Giraldo et al. [1998\)](#page-599-0), while the Th1 biotype was identifed as *T. harzianum* sensu stricto (Gams and Meyer [1998\)](#page-594-0). These two species of *Trichoderma* occur most commonly in *Agaricus* production of Australia (Clift and Shamshad [2009](#page-594-0)). However, it has been shown in Croatia that members of the *T. harzianum* species complex (THSC) may also be able to cause green mould problems (Hatvani et al. [2012](#page-595-0)). A redescription of the two aggressive biotypes, Th2 and Th4 as *T. aggressivum* f. *europaeum* and *T. aggressivum* f. *aggressivum*, respectively (Fig. 2), was performed based on their morphological characteristics, as well as the phylogenetic analysis of their ITS1 region and a fragment of the translation elongation factor 1-alpha (*tef1*) gene (Samuels et al. [2002](#page-601-0)). The biotypes previously known as Th2 and Th4 are therefore referred to hereinafter as Ta2 and Ta4, respectively. Although *T. aggressivum* f. *europaeum* (Ta2) and *T. aggressivum* f. *aggressivum* (Ta4) show statistically signifcant micromorphological differences and diverse growth rates on synthetic low nutrient agar (SNA) at  $25 \text{ °C}$ , their

**Fig. 2** Adult of the sciarid mushroom fy *Lycoriella ingenua*, a potential vector of *Agaricus* green mould disease under Zeiss STEMI-305 stereomicroscope. (Photo: Rita Büchner)



differentiation based on purely morphological basis is practically impossible. Ta2 was described to be responsible for most green mould problems in Europe, while Ta4 to cause problems in Mexican, US and Canadian mushroom farms. *T. aggressivum* causes severe economic losses in *A. bisporus* cultivation (O'Brien et al. [2014\)](#page-599-0); the estimated worldwide losses in mushroom yield caused by this green mould species are in the tens of millions of dollars (Kredics et al. [2010\)](#page-597-0). Green mould losses in the United States were estimated to be 14 million USD in 2011 (Pecchia [2012](#page-599-0)). To date, Ta2 has been prevalent and caused serious yield losses between 60% and 100% in European *A*. *bisporus* production (O'Brien et al. [2017;](#page-599-0) Kredics et al. [2010](#page-597-0)). Although a 6-month survey in England from December 2007 involving 15 *Agaricus* farms could not identify the presence of Ta4 (Lane [2008](#page-597-0)), the North American biotype was later detected in Europe and caused substantial losses in Hungarian *Agaricus* production (Hatvani et al. [2017](#page-596-0)). Similar problems occurred also in Australia and Iran, where the North American biotype Ta4 also appeared and caused severe crop losses (Khan et al. [2008](#page-596-0); Zargarzadeh et al. [2011](#page-603-0)). More recently, Aydoğdu et al. [\(2020](#page-593-0)) isolated *T. aggressivum* f. *aggressivum* from an *Agaricus* farm in Turkey. Thus, the recent distribution of *T. aggressivum* f. *aggressivum* increases the genetic diversity of the species *T. aggressivum* worldwide, which will likely cause new, unexpected problems for mushroom growers.

*T. aggressivum* has not yet been isolated from the natural environment. To investigate whether the natural substratum of wild-grown *Agaricus* species and the surface of their fruiting bodies may be natural reservoirs of *T. aggressivum*, *Trichoderma* strains were isolated at three Hungarian locations (Kecskemét, Nagykőrös, Szeged) from the environment of wild-growing *Agaricus* species (Kredics et al. [2010\)](#page-597-0). A total of 65 *Trichoderma* strains isolated from the environment of *Agaricus* species were analysed by PCR techniques specifc for *T. aggressivum* and by analysing the sequences of the ITS region and a fragment of the *tef1* gene. Seven different species, *T. atroviride*, *T. hamatum*, *T. harzianum*, *T. koningii*, *T. koningiopsis*, *T. tomentosum* and *T. virens*, were identifed based on the sequences. The presence of *T. aggressivum* in samples from the wild mushroom environment has not been detected so far, meaning that there are still no data on the natural occurrence of this species (Kredics et al. [2010\)](#page-597-0).

*T. aggressivum* f. *europaeum* may initially have spread between composting plants in the British Isles, presumably through joint customers. Transport vehicles can carry large amounts of dust, conidia, mycelial debris, mites and mosquitoes, making them a risk factor for spreading between facilities. In addition, growers threw out or scattered thousands of bags infected with *Trichoderma* during the original epidemic, which may also have contributed to the initial spread of the infection (Seaby [1996b](#page-601-0)). Affected farms often face prolonged green mould infections, and infection of freshly spawned compost with green mould can only be avoided through strict hygienic interventions (Rinker et al. [1997b;](#page-600-0) Seaby [1987\)](#page-601-0).

Another important question is the infection route. According to the record of a grower, green mould infestation is 60% higher when compost is bagged in dry, windy weather, and compost from heat treatment tunnels most exposed to wind is the most contaminated, suggesting that airborne dust particles can contribute greatly to contamination (Seaby [1996b\)](#page-601-0). Besides mushroom compost, the casing material may be another inoculum source of *T. aggressivum* in *Agaricus* cultivation (Szczech et al. [2008;](#page-602-0) Aydoğdu et al. [2020](#page-593-0)).

*Trichoderma* species other than *T. aggressivum* are generally known to cause less and/or occasional damage in mushroom crops and supposed to be less adapted than *T. aggressivum* to grow in compost colonised by *A. bisporus*. In Iran, Vahabi et al. [\(2009](#page-603-0)) obtained 423 isolates of *Trichoderma* from compost, pili, spawn and casing soil from industrial mushroom production of *A. bisporus*. *T. atroviride*, *T. citrinoviride*, *T. ghanense*, *T. harzianum*, *T. longibrachiatum* and *T. virens* (Fig. [1](#page-559-0)) could be identifed using morphological characters and ITS sequence analysis, but no isolates of *T. aggressivum* were obtained in this study. *Trichoderma* species with lower ability to cause disease symptoms, including *T. harzianum* sensu *lato*, *T. virens*, *T. atroviride*, *T. koningii*, etc., have also been isolated from Serbian *Agaricus* mushroom beds (Kosanović et al. [2013](#page-596-0)). Kosanović et al. [\(2013](#page-596-0)) collected 20 *Trichoderma* isolates from *A. bisporus* farms in Serbia as well as Bosnia and Herzegovina. Twelve isolates were identifed (based on morphology and ITS sequence analyses) as members of *T. atroviride*, *T. koningii*, *T. virens*, *T. aggressivum* f. *europaeum* and the THSC. Hatvani et al. ([2012\)](#page-595-0) reported that at Croatian *Agaricus* farms, the green mould pathogens were exclusively from the THSC. This result is different from earlier reports, as previous studies from other countries identifed only *T. aggressivum* as the agent of *Agaricus* green mould and indicated a widening spectrum of *Agaricus* green mould pathogens, also suggesting a continuous evolution of green mould disease in cultivated mushrooms and underlining the importance of monitoring these infections. Furthermore, a recent 'white mould' outbreak at a Hungarian *Agaricus* farm revealed *T. decipiens* as the causal agent (Fig. [1](#page-559-0); Geösel and Hatvani, personal communications).

Sobieralski et al. ([2012a\)](#page-602-0) studied the effect of inoculation of the cultivation substratum with *T. harzianum*, *T. aggressivum* (Ta2), *T. atroviride* and *T. hamatum* isolates on the yield of *A. bisporus*. Although the greatest yield reduction was caused by an isolate of Ta2, other *Trichoderma* isolates also inhibited the mycelial growth of *A. bisporus*. Górski et al. [\(2014](#page-595-0)) investigated the effect of inoculation of cultivation substratum with different *Trichoderma* species including *T. aggressivum* f. *europaeum*, *T. atroviride*, *T. hamatum*, *T. harzianum*, *T. inhamatum*, *T. koningii* and *T. longibrachiatum* on the yield of four *A. bisporus* strains. Except for *T. atroviride*, all the examined *Trichoderma* isolates reduced the yield of the button mushroom strains.

Many factors contribute to green mould disease development in *Agaricus* farms, and no single factor acts alone in green mould epidemiology (Mazin et al. [2019\)](#page-598-0). Regarding the nature of the raw materials used for the mushroom growing substratum and composting procedures (Anderson et al. [2001\)](#page-593-0), composts with high carbohydrate but low nitrogen content proved to be suitable for green mould development (Sharma et al. [2007\)](#page-601-0). Compost features such as temperature, moisture, pH, conductivity, C/N ratio as well as macro- and micronutrients infuence the mycelium development of *A*. *bisporus* and green moulds. Higher temperature, humidity and the presence of organic matter in the growing house during the cultivation of *Agaricus* favour the development of *Trichoderma* spp. aggressive to mushrooms (Grogan [2005\)](#page-595-0). This is particularly important in the cultivation of *A. bitorquis*, a button mushroom species that prefers higher incubation temperature than *A. bisporus* (Guler et al. [2006;](#page-595-0) Hasselbach and Mutsers [1971](#page-595-0)), which, due to the higher thermal requirements of *T. aggressivum* f. *europaeum*, can pose a serious problem. Hatvani et al. ([2012\)](#page-595-0) stated that the temperature profles of pathogenic *Trichoderma* isolates from Croatian *Agaricus* (and *Pleurotus*) farms and their hosts overlapped, with optima between 25 and 30  $^{\circ}$ C; thus, no range was found that would allow optimal growth of the mushrooms without mould contamination. Kosanović et al. [\(2015](#page-596-0)) evaluated the effects of light and pH on the growth of *Trichoderma* isolates originating from farms in Serbia as well as Bosnia and Herzegovina and reported that the majority of isolates showed an optimal growth at pH 5.0, while the rest at pH 6.0, with a few isolates also growing well at pH 7. The weakest mycelial growth was detected in the range of pH 8.0–9.0. The growth of the isolates proved to be inhibited by light.

Seaby ([1996b\)](#page-601-0) conducted detailed epidemiological studies on green mould caused by the Ta2 biotype in the Republic of Ireland and Northern Ireland. In these areas, the so-called satellite system is used for mushroom cultivation, the essence of which is that compost production and cultivation are separated: composting plants supply many small satellite plants with bagged compost (Győrfi [2002\)](#page-595-0). The study included both the examination of samples taken both from compost and mushroom farms as well as the results of artifcial infection experiments. Ta2 strains could not be isolated from the wastewater of the farms, but almost all surfaces (packaging machines, growing unit foors, handrails, rungs, spawn dispensers, wooden pallets, trailers and tarpaulin road covers) proved to be positive for the Ta2 biotype. *Trichoderma* strains, most commonly the Ta2 biotype, were also recovered from samples taken from workers' clothing (jackets, picker's gloves, woollen jerseys) by electrostatic and vacuum methods. However, Ta2 strains could not be detected in the peak-heated, contaminated compost, suggesting that Ta2 does not survive heat treatment. The number of strains isolated from cotton bags was reduced to zero after 30 min of treatment with a tumbler dryer at 60 °C. The study also identifed possible biological vectors of green mould infection: groups of *Trichoderma* conidia were observed on the body surface of pepper mites by microscopic examination, and Ta2 strains were also isolated. Red pepper mites were found to be able to reproduce on all *Trichoderma* species present in compost, so their presence is not an indicator of a specifc green mould species (Clift and Shamshad [2009](#page-594-0)). Ta2 strains have also been isolated from sciarid mushroom fies, and when a mite-covered mouse cadaver came into contact with the spawn, green mould also appeared (Seaby [1996b\)](#page-601-0). Sciarid mushroom fies, especially *Lycoriella ingenua* (Fig. [2](#page-561-0)), are considered as vectors for *T. aggressivum* f. *aggressivum* confrmed by scanning electron microscopy and molecular analysis (Mazin et al. [2019\)](#page-598-0); therefore, they may be important parts of green mould disease epidemiology in *Agaricus* farms. The fies may acquire *Trichoderma* conidia upon landing on *T. aggressivum*-infested compost patches and then spread the conidia to other compost bed areas via further movement. Mazin et al. [\(2019](#page-598-0)) have demonstrated that the larvae of sciarid mushroom fies reared on

<span id="page-565-0"></span>spawned compost infested with *Trichoderma* green mould develop faster into adults than the larvae that reared on spawned compost uninfected with green mould.

Based on artifcial infection experiments, early inoculation (on day 7) resulted in intensive colonisation of the compost by the Ta2 biotype, whereas late inoculation (after 14 days) showed no signifcant colonisation (Seaby [1996b\)](#page-601-0). The use of experimentally infected CACing additive (CACing: the addition of a small amount of compost colonised by *Agaricus* mycelium to the casing material to accelerate spawn run) did not lead to the appearance of Ta2, although in some cases the green mouldinfested compost used as CACing additive resulted in Ta2 conidiation on the surface of the casing layer. Spawned compost bags became highly infected if they had been touched with hands artifcially contaminated with the Ta2 biotype. The use of metals essential for mushroom growth (Cu, Fe, Mn and Zn) did not reduce the colonisation of compost by the Ta2 biotype, but the use of some bacteria isolated from compost was able to inhibit it (Seaby [1996b\)](#page-601-0).

The spatial distribution of the Ta4 biotype was investigated by Royse et al. [\(1999](#page-600-0)) in North American mushroom farms. Based on the results, the appearance pattern of Ta4 is not random: green mould foci appeared in an aggregated pattern at the edges of the shelves, suggesting non-airborne infection. Based on Rinker's ([1996\)](#page-600-0) experiments in Canada, air carries only small amounts of Ta4 conidia. Thus, in the North American cultivation system, infections originate presumably from workers and contaminated equipment, so the most likely factors infuencing the appearance of green mould are activities involving movement along shelves (flling shelves, spawning, spreading the casing layer, nutrient supplementation, etc.) (Royse et al. [1999\)](#page-600-0).

O'Brien et al. [\(2017](#page-599-0)) studied the effect of artifcial green mould inoculation of fully colonised, bulk Phase III compost on mushroom yield. Phase III compost proved to be vulnerable to *T. aggressivum* infection when the fully colonised substratum was broken up and mixed during bulk handling operations, with a higher degree of mixing leading to signifcantly increased crop losses. The authors suggested that mixing results in the rupture of the fragile *A. bisporus* mycelial network, which enables the release of new nutrition sources and stimulatory metabolites for *T. aggressivum*, that are not accessible in intact, fully colonised substratum.

#### *2.2 Biology*

Pathogenic green moulds can colonise the compost used for the cultivation of *A. bisporus* but may even grow on the surface of developing fruiting bodies (Largeteau and Savoie [2010\)](#page-597-0). There is a lack of symptoms till 10–35 days after the apparently normal run of *Agaricus* spawn. The whitish mycelium of *Trichoderma* species cannot be distinguished from the mycelium of the mushroom during the phase of spawn run, so infection at this stage is difficult to detect (Largeteau-Mamoun et al. [2002\)](#page-597-0). Later, as the mycelium of *Trichoderma* begins to conidiate, large green spots are appearing on the surface (Fig. [3\)](#page-566-0) (Rinker [1996](#page-600-0); Seaby [1996a\)](#page-601-0).

<span id="page-566-0"></span>

**Fig. 3** *Agaricus* compost infected with *T. aggressivum* in Hungary. (Photo: Rita Büchner)

The main symptoms of green mould are the formation of green conidiospores on the casing layer or in the mushroom compost during the second and ffth week of the growing cycle, which gives the condition its common names, green mould disease or *Trichoderma* compost mould (Morris et al. [1995a,](#page-598-0) [b](#page-598-0); Seaby [1987;](#page-601-0) Fletcher and Gaze [2007](#page-594-0)). In areas colonised by *T. aggressivum*, the *Agaricus* fruiting body formation is retarded, while the fruiting bodies that do develop are generally of poor quality due to discolouration or damage (Largeteau and Savoie [2010\)](#page-597-0). Yield loss is proportional to the size of the infected area: mushrooms do not grow in the case of serious infections. Even if the fruiting bodies of the mushroom appear, the crop is not marketable, as there are often brown necrotic lesions and distortions on them (Seaby [1989](#page-601-0); Largeteau and Savoie [2010](#page-597-0)), and the fragrances of *Trichoderma* also attract red pepper mites (*Pygmephorus mesembrinae*), which consume the conidia of *Trichoderma* (Morris et al. [1995a](#page-598-0), [b](#page-598-0); Krupke et al. [2003\)](#page-597-0).

Spawning could be identifed as a critical point of time for green mould infection, as the carbohydrates readily available in the grains of spawn provide *T. aggressivum* a backup for substratum colonisation (Fletcher [1997;](#page-594-0) Seaby [1996a](#page-601-0), [b\)](#page-601-0). Compost entirely colonised by *A. bisporus* is considered to be more resistant to green mould infection due to the limited access to the substratum, and the infections after spawning tend to be limited to the edges of the bag or compost block (Fletcher [1997;](#page-594-0) Rinker and Alm [2000](#page-600-0)). Based on the results of Sharma et al. ([1999\)](#page-601-0), when inoculated into compost during spawning, Ta2 settles and spreads rapidly in all directions. On the other hand, *T. harzianum* (Th1) and *T. atroviride* (Th3) are colonising slowly and can only reach short distances from the inoculation point.

Although these biotypes also reduce the crop quality, yields are generally not signifcantly affected.

Mushroom growing conditions (presence of carbon and nitrogen sources, high relative humidity, high temperature, the lack of light during spawn run) provide ideal conditions for *Trichoderma* species, which can thus easily settle on compost. The rate and extent of *Trichoderma* colonisation in the compost is infuenced by its degree of fermentation and moisture level (Sharma et al. [1999](#page-601-0)). Thus, parameters that positively affect the development of *Agaricus* also favour *Trichoderma* colonisation. *T. aggressivum* f. *europaeum* isolates can cause very serious reduction of *A. bisporus* pinhead formation (Sobieralski et al. [2010a\)](#page-602-0). Sobieralski et al. [\(2010b](#page-602-0)) also determined the effect of Ta2 infection on the yield of wild *A. bisporus* strains derived from natural habitats in Poland, and yield losses up to 75% were reported. Studying the infuence of substratum inoculation with Ta2 on yielding of several *A. bitorquis* strains from natural sites of different regions of Poland revealed signifcant yield reductions of both the cultivated mushroom strain and strains obtained from the natural environment (Sobieralski et al. [2010c\)](#page-602-0).

Several compounds are involved in the interaction between *T. aggressivum* and *A. bisporus*, including volatile compounds, small non-volatile molecules and extracellular enzymes of *T. aggressivum*, which are inhibitory to *A. bisporus* (Mumpuni et al. [1998;](#page-598-0) Krupke et al. [2003;](#page-597-0) Guthrie and Castle [2006\)](#page-595-0), as well as *Agaricus* metabolites, e.g. fungistatic compounds produced to counteract the growth of moulds, or to resist to growth-limiting metabolites produced by *Trichoderma* strains (Foulongne-Oriol et al. [2011](#page-594-0)). The disease severity may be reduced if *Agaricus* is able to successfully colonise the compost before the development of *T. aggressivum*. At the contact point between *Agaricus* and *Trichoderma* during infection, *A. bisporus* strains with the ability to adapt or resist to the antifungal metabolites and lytic enzymes of *T. aggressivum* have the potential to be less affected than others (Foulongne-Oriol et al. [2011\)](#page-594-0). Under favourable conditions, *Trichoderma* moulds grow rapidly and compete more effectively for habitat and nutrients than mushrooms, henceforth producing toxic secondary metabolites, extracellular enzymes and volatile organic compounds (Mumpuni et al. [1998;](#page-598-0) Williams et al. [2003a](#page-603-0)), which can lead to drastic crop losses.

The *Agaricus* compost contains large amounts of cellulose and lignin, so this medium is strongly selective for basidiomycetes which can use lignin as a carbon source through the action of their extracellular enzymes like cellulases and laccases (Matcham and Wood [1992](#page-598-0)). The presence of *Agaricus* mycelium is required by compost-colonising *Trichoderma* biotypes for proliferation in the compost (Seaby [1987,](#page-601-0) [1996a](#page-601-0)): the Ta2 mycelium does not develop to a detectable extent without the presence of *A. bisporus* (Seaby [1996a](#page-601-0); Romaine et al. [1998](#page-600-0)). According to Rinker's [\(1996](#page-600-0)) research, however, the aggressive *Trichoderma* is able to colonise compost even in the absence of *A. bisporus* but forms conidia only on freshly inoculated compost. Further studies indicated that Ta2 is capable of colonising both *A. bisporus*inoculated and *A. bisporus*-free composts (Mamoun et al. [2000b;](#page-598-0) Morris et al. [1995a](#page-598-0), [b\)](#page-598-0). As an explanation for the different observations mentioned above, Mamoun et al. [\(2000b](#page-598-0)) suggested that the intense conidium formation of Ta2

requires the mycelium of the mushroom. Ta2 is able to colonise the compost even in the absence of mushrooms, but its thin hyphae cannot be detected without a microscope. The ability to form high mycelial mass and the delayed conidium formation may be key factors in the ability of Ta2 to colonise spawned compost and reduce crop yield and quality. According to Mumpuni et al. [\(1998](#page-598-0)), the Th1, Ta2 and Th3 biotypes produce volatile metabolites in vitro that inhibit *A. bisporus* growth. Th1 and Th3 showed higher levels of toxicity, in contrast to Ta2, from which the strongest toxicity was expected. Henceforth, Th1 and Th3 were inhibited much more strongly than Ta2 by mushroom compound(s); therefore, the appearance of these biotypes was generally limited to small compost areas free of mushrooms (Seaby [1987\)](#page-601-0). Based on the above, mutual inhibition between the Th1 and Th3 biotypes and *A. bisporus* can be assumed. The mushroom mycelium exhibited no defence mechanism against Ta2: unlike during contact between *L. edodes* or other leaf litterdegrading basidiomycetes and non-Ta2 *Trichoderma* spp. (Savoie and Mata [1999;](#page-601-0) Savoie et al. [2001\)](#page-601-0), there was no formation of stationary assemblage of brown aerial hyphae and higher laccase activity in the substratum; in contrast, the stimulatory effect of compound(s) produced by the mushroom on growth and conidia germination of Ta2 could be demonstrated, which was not seen in the case of other *Trichoderma* species (Mamoun et al. [2000b;](#page-598-0) Mumpuni et al. [1998](#page-598-0)). It is hypothesised that this stimulation and the relative tolerance of the mushroom to Ta2 toxins allow the two fungi to parallelly grow in compost. The simultaneous growth of Ta2 and *A. bisporus* can be observed before the mushroom mycelia stimulate the conidiation of Ta2. As conidiation begins, the growth of mushroom mycelium slows signifcantly, and the typical symptoms of green mould develop rapidly (Mamoun et al. [2000b\)](#page-598-0).

The metabolite 3,4-dihydro-8-hydroxy-3-methylisocoumarin, identifed by Krupke et al. ([2003\)](#page-597-0), was produced in vitro by *T. aggressivum* f. *aggressivum* strains, but not in the case of non-aggressive isolates. This compound inhibits *A. bisporus* growth and fruiting body formation during green mould development, thereby allowing Ta4 to spread and utilise the nutrients released from the compost components by the extracellular enzymes of the mushroom. Ta4 needs the extracellular enzymes of the mushroom to decompose the complex components of compost into simple, absorbable and utilisable carbon sources (Krupke et al. [2003](#page-597-0)).

Marik et al. ([2017\)](#page-598-0) studied the production of peptaibols – non-ribosomally synthesised bioactive secondary metabolites – by *T. aggressivum* f. *europaeum* using HPLC-MS-based methods and detected several hypomurocin-like compounds. Peptaibols proved to be potential growth inhibitors of mushroom mycelia in in vitro experiments, and the host was shown to have an infuence on the peptaibol profles of the green mould, suggesting that peptaibols may play a role in the antagonistic action between Ta2 and *A. bisporus*.

*Trichoderma* species can produce a range of extracellular hydrolytic enzymes that degrade different polymers, which can thus be used as nutrient sources. In the case of compost-inhabiting *Trichoderma* strains, extracellular β-1,3-glucanases have substrates in the cell wall of both *A. bisporus* and wheat straw (the main component of *Agaricus* compost), while chitinases and proteases may facilitate

saprotrophic growth on the rich fungal and bacterial compost microflora. For Ta2 and non-aggressive *Trichoderma* strains, 17 extracellular enzyme activities were examined, but no signifcant differences could be found (Largeteau-Mamoun et al. [2002;](#page-597-0) Savoie et al. [2001\)](#page-601-0). Based on the results of confrontation tests performed with 27 bacterial strains, it was also found in the above studies that Ta2 is much less affected by bacteria compared to non-aggressive strains. Based on these, it can be hypothesised that the better mushroom compost adaptability of Ta2 is due to the tolerance to the inhibitory effects of compost-inhabiting bacteria, rather than the ability to degrade the components of the compost. This allows Ta2 to colonise certain areas of compost before direct interaction with *A. bisporus* takes place. When the amount of nutrients in the compost is already limited, lysis of *A. bisporus* hyphae by Ta2 occurs (Mumpuni et al. [1998](#page-598-0)).

The saprotrophic and mycoparasitic behaviour of compost-dwelling *Trichoderma* isolates belonging to the Th1 (*T. harzianum*), Th3 (*T. atroviride*), Ta2 and Ta4 biotypes was studied by Williams et al. [\(2003a\)](#page-603-0) to elucidate the mechanism of aggressivity against *A. bisporus*. Mycoparasitic structures have been rarely observed, suggesting that antagonism of *T. aggressivum* against *A. bisporus* is not primarily based on mycoparasitism. For all *Trichoderma* groups studied, the production of polymer-degrading extracellular enzymes capable of degrading both the cell wall of mushrooms and wheat straw has been demonstrated. Some extracellular enzymes, e.g. trypsin-like protease and chimoelastase, were produced only by the Ta2 and Ta4 biotypes, so they could be associated with aggressivity. The results suggested the essentiality of polymer-degrading extracellular enzymes for both parasitic and saprotrophic growth. However, some isolates belonging to the Th3 biotype (*T. atroviride*) were able to colonise sterile compost to a similar extent as *T. aggressivum* and produced the same amount and quality of polymer-degrading extracellular enzymes, indicating that aggressivity cannot be explained by these factors alone. It was concluded that aggressivity depends on extensive saprotrophic colonisation ability and possibly also on closely related competition. Competition and colonisation may be prerequisites for antagonism against *A. bisporus*, of which mycoparasitism is just one factor among many (Williams et al. [2003a\)](#page-603-0).

Foulongne-Oriol et al. ([2011\)](#page-594-0) examined the genetic control of *A. bisporus* resistance to lytic enzymes and metabolites of *Trichoderma* by quantitative trait locus (QTL) analysis. Sequential cultures on media with or without the commercial product Lysing Enzyme® were set up in an in vitro experiment. The traits used for QTL detection were mycelial growth rate under control condition, tolerance level and adaptation capacity. Based on the results, the tolerance to lytic enzymes and metabolites of *Trichoderma* is tightly related to mycelial growth ability and is quantitatively inherited under oligogenic control, suggesting that the genetic factors involved in the ability to resist or adapt to metabolites and lytic enzymes of *Trichoderma* are linked to the ftness of the *A. bisporus* strains.

Abubaker et al. [\(2013](#page-593-0)) studied the structures of three *T. aggressivum* genes, *prb1* (proteinase), *ech42* (endochitinase) and a β-glucanase gene in order to fnd out the role of cell wall-degrading enzymes in growth inhibition of *A. bisporus*. Promoter elements in the *prb1* and *ech42* suggest that the transcription of these genes is regulated by stress as well as carbon and nitrogen levels. Both genes possess mycoparasitism-related elements indicating the potential roles of their protein products in competition. The promoter of the β-glucanase gene contains no mycoparasitism-linked elements, but CreA and AreA binding sites are present, suggesting catabolite regulation. Two *A. bisporus* varieties sensitive and resistant to green mould disease (off-white and brown strains, respectively) were co-cultivated with *T. aggressivum* to assess the possible roles of the genes in disease development and severity by transcript level measurements. Results showed that *prb1* and *ech42* were upregulated coordinately after 5 days, while the transcription of the β-glucanase gene was upregulated from day 0 in the presence of *Agaricus* strains. In co-cultures of *T. aggressivum* with the resistant *Agaricus* strain, the upregulation was much less pronounced than with the sensitive strain. The results suggest that the proteins encoded by the examined genes have roles in both nutrition and green mould severity.

O'Brien et al. [\(2014](#page-599-0)) performed in vitro proteomic analysis to study the response of *T. aggressivum* f. *europaeum* to compost and *A. bisporus*. A differential expression study performed on the intracellular fraction of Ta2 grown in media containing Phase III mushroom compost or *A. bisporus* in comparison with a control medium resulted in the functional identifcation of 31 proteins, with differential expression observed for seven of them. Three and two proteins were up- and downregulated in both treatments, respectively, while two showed qualitatively different regulation in the two treatments. Proteins directly related to the degradation of fungal cell wall could not be observed, which may be due to the secretion of such proteins into the extracellular space, resulting in their relatively low abundance in the intracellular protein fraction. The differentially produced intracellular proteins were functionally related to cytoskeletal structure, oxidative stress tolerance and cell longevity. The identifed proteins could be divided into structural, informational, metabolic and stress response functions. Differential production of these proteins may play a role in Ta2 growth in *Agaricus* compost and in its virulence towards *A. bisporus*. Most of the identifed proteins were metabolic that are involved in nutrient uptake and the energy production. Specifc proteins involved in pentose degradation may be relevant for degradation of carbohydrates liberated from fbrous mushroom compost components. An actin-binding and depolymerising protein was upregulated in response to both experimental treatments, suggesting that there may be a cytoskeletal structure alteration in Ta2 exposed to mushroom compost and *A. bisporus*.

A recent proteomic study performed on *A. bisporus* exposed to *T. aggressivum* (Kosanović et al. [2020\)](#page-596-0) revealed an increased abundance of proteins associated with oxidative stress response in the mushroom (zinc ion binding, peroxidase, carboxylic ester hydrolase, dipeptidase and cluster assembly as well as proteins with pyruvate kinase activity and hydrolase activity), while the relative abundance of proteins associated with growth (structural constituent of ribosome, translation, deadenylation-dependent decapping of nuclear-transcribed mRNA, small GTPasemediated signal transduction, deoxyribonucleotide catabolic process, GTP binding, glycine cleavage system P protein and proteasome subunit beta as well as proteins involved in the polysaccharide catabolic process, formation of extracellular region

<span id="page-571-0"></span>and lyase activity) decreased. The results of this study indicate that – although it is frequently considered as a saprophytic compost contaminant rather than a mushroom pathogen (Williams et al. [2003a](#page-603-0)) – *T. aggressivum* should be considered as a true mycopathogen due to its direct effect on *A. bisporus* development and the induction of its oxidative stress response.

With the release of the full genome sequences of the industrially important *T. reesei* (Martinez et al. [2008\)](#page-598-0) and the biocontrol agents *T. atroviride* and *T. virens* (Kubicek et al. [2011](#page-597-0)), *Trichoderma* research entered the genomic and transcriptomic era. Meanwhile, the full genome of *T. aggressivum* f. *europaeum* (Urbán et al. [2016a](#page-602-0), [b\)](#page-602-0) has also been sequenced, which, along with the available genome sequence of *A. bisporus* (Morin et al. [2012\)](#page-598-0), opened the way to proceed in understanding the molecular background of green mould disease development.

## *2.3 Diagnosis*

The more and more frequently occurring epidemics resulted in an emerging need for reliable methods that allow the early detection of *Agaricus* green mould. As both non-aggressive and aggressive forms of *Trichoderma* are able to grow in the same cultivation area (Morris et al. [1995a](#page-598-0)) and *Trichoderma* isolates are diffcult to distinguish on a morphological basis, it has become necessary to develop rapid, effcient, sensitive and inexpensive tools for the identifcation of aggressive *Trichoderma* biotypes. Such methods may also help reveal the pathway of *Trichoderma* to farms and the spreading mechanisms of already established green mould infections, identify the activities that may contribute to the development of the disease and evaluate the effectiveness of hygiene measures (Castle et al. [1998](#page-593-0)).

Williams et al. ([2003b\)](#page-603-0) developed a selective medium containing chloramphenicol, streptomycin, quintozene and propamocarb for the isolation of *Trichoderma* strains from *Agaricus* compost. The medium also provides an opportunity to compare aggressive and non-aggressive biotypes.

Based on a RAPD amplifed product of *T. aggressivum* f. *aggressivum* DNA, PCR primers (Th-F and Th-R) allowing the identifcation of the aggressive Ta2 and Ta4 biotypes were developed to assess the potential risk of *Trichoderma* strains intended to be used for the biological control of plant pathogenic fungi to mushroom production (Chen et al. [1999a\)](#page-593-0). The two primers target a 444 bp long DNA segment present in the genome of Ta4, but also generate the same product from the genome of Ta2 (*T. aggressivum* f. *europaeum*). This PCR assay based on *T. aggressivum*-specifc primers has also been shown to be useful in disease management programmes. The method has been used in conjunction with the RAPD-PCR technique in the United States to compare *Trichoderma* strains isolated before and during an outbreak of green mould (Chen et al. [1999b](#page-594-0)). The results suggest that the highly virulent genotype may have emerged recently, as the Ta4 biotype could not be identifed among the *Trichoderma* strains isolated before the outbreak. This method was also used to detect the presence of *T. aggressivum* in Hungarian and <span id="page-572-0"></span>Polish mushroom growing plants (Hatvani et al. [2007;](#page-595-0) Szczech et al. [2008\)](#page-602-0). The results of specifc PCR were also confrmed by mitochondrial DNA-RFLP technique and ITS sequence analysis in the work of Hatvani et al. ([2007\)](#page-595-0) to clearly demonstrate the appearance of *T. aggressivum* f. *europaeum* in Central Europe.

O'Brien et al. ([2017\)](#page-599-0) used a quantitative polymerase chain reaction (qPCR) method with fuorescence detection and primers targeting the *tef1* gene for the detection of *T. aggressivum*. The qPCR method gave consistent and less variable results and proved to be more sensitive than microbiological counting methods. On the other hand, unlike culture-dependent methods, PCR tools do not distinguish between non-viable and viable cells, which should be considered during the interpretation of the results.

Green mould-infected and uninfected *Agaricus* composts can be distinguished from each other also based on their volatile blend. In order to develop a sophisticated non-invasive detection tool of *T. aggressivum* in the process air of tunnels without the need to sample inside them during spawn run, Baars et al. [\(2011](#page-593-0)) tested the possibility to detect *T. aggressivum* based on the emitted volatiles. The authors sampled and analysed process air from both artifcially infected and non-infected compost cultures by gas chromatography coupled with mass spectrometry (GC-MS). Volatile blends produced during normal compost colonisation proved to be signifcantly different from those appeared during colonisation of compost infected with *T. aggressivum*, and *T. aggressivum*-specifc volatiles could be identifed. Specifc terpenoid volatiles that are present in the process air of *T. aggressivum*infected compost could not be identifed in the uninfected compost (Baars et al. [2011\)](#page-593-0).

Radványi et al. [\(2020](#page-600-0)) identifed medium-dependent and medium-independent biomarkers of Ta2 on different media. They detected emitted microbial volatile organic compounds (MVOCs) from the air by headspace solid-phase microextraction gas chromatography-mass spectrometry (HS SPME GC-MS) and examined the changes in their intensity values and linked them to the fungal growth phase. Such biomarkers have the potential to be used in quality control systems aiming to identify the presence of green mould disease in an early phase, thereby providing the producers more time to prevent yield losses.

### *2.4 Prevention and Control*

Green moulds form billions of conidia that can be easily distributed by contaminated equipment and substratum materials that have not been properly pasteurised, or by the clothing of mushroom-growing workers, as well as by insects. Therefore, the infection spreads rapidly, and the treatment of the disease is extremely diffcult (Anderson et al. [2001;](#page-593-0) Rinker and Alm [2000](#page-600-0)).

Pasteurisation of compost (Peil et al. [1996\)](#page-599-0) and wood used to build growing rooms (Catlin et al. [2004](#page-593-0)) resulted in minimal green mould infestation, high yields and the appearance of a larger fush number. Catlin et al. ([2004\)](#page-593-0) proposed a 6 h treatment at 60 °C for post-harvest pasteurisation. However, this method is not always effective: green mould has already been isolated from freshly pasteurised compost (Morris et al. [2000\)](#page-598-0), as pathogens are able to survive 60 °C for some time. Furthermore, sterilisation of mushroom substrata may favour *Trichoderma* growth due to the reduction of the natural microbiota in the substratum, which in turn increases the opportunity of colonisation by *Trichoderma* as a consequence of the reduced abundance of competitive microbiota (Velázquez-Cedeño et al. [2006;](#page-603-0) Colavolpe et al. [2014\)](#page-594-0). Controlling the pH of the casing material is also a possible method of green mould management (Rinker and Alm [2008](#page-600-0)). Attention should be paid also to the quality of the building surfaces used in mushroom cultivation. Green mould contamination is more likely to persist on rougher surfaces like concrete or wood than on smoother, glazed surfaces (Abosriwil and Clancy [2002\)](#page-593-0).

Green mould infections can be prevented by following strict hygiene procedures and treatments with common disinfectants (e.g. chlorine, ethanol, iodine, formaldehyde, phenol or quaternary ammonium compounds), some of which, however, are harmful to mushrooms and humans (Geels et al. [1988](#page-595-0)). In industrial-scale *Agaricus* cultivation, disinfectants are often used to supplement the general hygiene procedure (Lelley [1987](#page-597-0)). Such agents are also used to clean growing containers, shelves, machines, work surfaces, corridors, walls and foot dips (Fletcher et al. [1989](#page-594-0); Lelley and Straetman [1986\)](#page-597-0). Improper disinfection of growing equipment, the reduced attention to sanitation, the infux of contaminated air into spawning rooms and poor post-crop steam-off programmes can facilitate the entry of the pathogen. Sciarid mushroom fies need to be managed as well, as they are potential vectors of *T. aggressivum* (Mazin et al. [2019\)](#page-598-0). Failure to control the infection at an early stage can have serious fnancial consequences, as conidia develop in poorly cleaned locations and spread the disease to other areas of the farm (Grogan [2008](#page-595-0)).

When *T. aggressivum* infects a mushroom crop, it has long been a common practice to spread salt onto the green mould spots. If the crop is seriously affected, it is generally treated with steam, then the infected casing material is removed, and new casing is applied (Győrf [2002;](#page-595-0) Fletcher and Gaze [2007](#page-594-0)). However, chemical procedures proved to be the most effective means of treatment generally. Effective prevention of fungal mushroom pathogens can be achieved by the application of azoles, which inhibit the demethylation step within the biosynthesis of ergosterol, an essential compound responsible for lipoprotein membrane stability and function in many fungi, or by benzimidazole compounds (carbendazim, benomyl) binding to the fungal microtubules and stopping hyphal growth.

The use of several fungicides, including prochloraz, the combination of prochloraz and carbendazim, and thiabendazole, to control *Agaricus* compost colonisation by *Trichoderma* strains has been investigated (Abosriwil and Clancy [2003;](#page-593-0) Grogan and Jukes [2003](#page-595-0)). The application of fungicides to the spawn was found to be more economic and effcient in controlling the colonisation of green mould than the treatment of the compost (Rinker et al. [1997a,](#page-600-0) [b](#page-600-0); Abosriwil and Clancy [2003;](#page-593-0) Potočnik et al. [2015\)](#page-599-0). However, registered fungicides are applied to the casing soil at a later stage of *Agaricus* cultivation, when disease outbreaks are more likely. The concentration of thiabendazole in casing soil was found to remain high throughout the cropping period, while prochloraz-manganese and carbendazim levels dropped considerably by the end of the second fush (Grogan and Jukes [2003\)](#page-595-0). A high selectivity between green moulds and *A. bisporus* has been reported for prochloraz and benzimidazole fungicides; they proved to be highly toxic to pathogenic fungi without affecting the host mushrooms (Chrysayi-Tokousbalides et al. [2007](#page-594-0); Hatvani et al. [2012](#page-595-0); Potočnik et al. [2015\)](#page-599-0). At the same time, among the commercial demethylation inhibitors (DMIs) tested, tebuconazole was more inhibitory to mushrooms than to the *Trichoderma* isolates (Hatvani et al. [2012](#page-595-0)). Among fve commercial fungicides tested against the *Trichoderma* isolates originating from *Agaricus* farms in Serbia as well as Bosnia and Herzegovina, the highest susceptibility of the isolates was found to carbendazim and chlorothalonil, while they were less sensitive to iprodione, weakly resistant to thiophanate-methyl and resistant to trifoxystrobin (Kosanović et al. [2015\)](#page-596-0). Considering the toxicity of fungicides to *A. bisporus*, carbendazim showed the best, iprodione and chlorothalonil a moderate, while thiophanate-methyl the lowest selective toxicity. Luković et al. ([2021\)](#page-597-0) examined the susceptibility of Serbian isolates of *T. aggressivum* f. *europaeum* and THSC recovered from *Agaricus* compost to the commercial fungicides prochloraz and metrafenone. Based on the observed  $ED<sub>50</sub>$  (effective dose: fungicide concentrations inhibiting radial mycelial growth by 50%) values, both groups of isolates were found to be susceptible to the tested fungicides (*T. aggressivum*,  $ED_{50} = 0.04 - 1.34 \text{ µg m}L^{-1}$  for both substances; THSC,  $ED_{50} = 0.03 - 3.64$  and 0.04–3.64 μg mL−<sup>1</sup> for metrafenone and prochloraz, respectively).

The frequent application of fungicides in mushroom cultivation results in the evolution of resistance in green mould pathogens, which is a common phenomenon and a serious problem. Benzimidazole fungicides initially provided a suffcient level of disease control, but in the early 2000s, resistance of Ta4 to benomyl and thiophanate-methyl was detected at *Agaricus* farms in North America (Romaine et al. [2005](#page-600-0)). An imidazole compound, imazalil sulphate, has been proposed as a solution against benzimidazole-resistant strains (Romaine et al. [2008](#page-600-0)).

Fungicides can also inhibit the mycelial growth of the mushroom and change the microbiota of the casing, so a balance must be struck between the benefts of inhibiting *Trichoderma* strains and the potential harmful effects on the crop. Prochlorazmanganese, the most effective fungicide in mushroom disease control (Grogan [2008\)](#page-595-0), maintains a balance between the beneft of green mould control and the reduction in mushroom yield (Kosanović et al. [2015](#page-596-0)). It has also been found that certain fungicides (e.g. benomyl, carbendazim) are degraded by microorganisms (Fletcher et al. [1980;](#page-594-0) Yarden et al. [1990](#page-603-0)), thus reducing their effcacy against pathogens. Prochloraz has also been shown to be very susceptible to degradation by microorganisms present in the casing soil (Grogan et al. [2000;](#page-595-0) Papadopoulos [2006\)](#page-599-0). Biodegradation of pesticides is a desirable trait, so that toxic chemicals do not accumulate in the environment, but it may lead to reduced control of pathogens which are still sensitive to the fungicide. Thus, there must be a balance between the time frame within which a chemical is effective against its target pathogen and its ultimate breakdown to nontoxic components (Grogan [2008\)](#page-595-0).

In the expanding commercial cultivation of mushrooms, only a small number of fungicides have been proposed for compost, casing material and spawn treatment (Abosriwil and Clancy [2003\)](#page-593-0). Fungicides offcially recommended in *Agaricus* industry are prochloraz-manganese in Europe and worldwide, as well as thiabendazole, chlorothalonil and thiophanate-methyl in North America (Potočnik et al. [2018\)](#page-599-0). EU pesticide reviews resulted in the withdrawal of approval for many chemicals, mainly in the group of benzimidazoles due to their mutagenicity (Fletcher et al. [1989;](#page-594-0) Grogan [2008\)](#page-595-0). As the use of several chemicals is no longer permitted due to the concerns for their impact on the environment and human health, and there is a growing need to reduce pesticide use, growers must increasingly focus on prevention and the use of alternative, environmentally friendly control methods (Grogan [2008\)](#page-595-0).

A variety of natural compounds (plant extracts, essential oils and their components) and benefcial bacteria have been tested against *Trichoderma* isolates causing green mould in *Agaricus* cultivation. Among plant essential oils tested for antimicrobial activity against *Trichoderma* green mould, thyme (*Thymus vulgaris*) oil and its major component, thymol, as well as oregano (*Origanum vulgare*) oil and its major component, carvacrol, exhibited very strong activity against Ta2, Th1 and *T. atroviride* (Soković and van Griensven [2006\)](#page-602-0). On the other hand, it has to be considered that the application of these essential oils to compost may result in the inhibition of the bacterial microbiota, as *B. cereus* was found to be strongly inhibited by thymol and carvacrol (Gallucci et al. [2009\)](#page-594-0), while the carvacrol-rich essential oil of *Thymus pubescens* strongly inhibited *B. subtilis* (Rasooli and Mirmostafa [2002\)](#page-600-0). Đurović-Pejčev et al. ([2014\)](#page-594-0) evaluated the inhibitory and fungicidal activity of six essential oils to *Trichoderma aggressivum* f. *europaeum*, and the strongest activity was demonstrated by the oils of basil (*Ocimum basilicum*) and peppermint (*Mentha piperita*). Menthol, the major essential oil of peppermint, showed strong inhibitory activity against *Trichoderma* (Soković and van Griensven 2006); therefore, it was suggested as a potential biofungicide in mushroom compost. However, although another study also reported that thymol, (+)-menthol, (−)-menthol and ferulic acid inhibited the growth of green mould isolates in vitro at concentrations as low as 0.08 mg mL<sup>-1</sup> to 1.25 mg mL<sup>-1</sup> (Hatvani et al. [2012](#page-595-0)), they also blocked the growth of the host mushroom, suggesting that their use for green mould control may not be possible. Another opportunity might be the application of tea tree (*Melaleuca alternifolia*) oil to *Agaricus* casing layer, which was found to considerably inhibit *T. harzianum* (Kosanović et al. [2013\)](#page-596-0).

Biological control based on the use of microorganisms may be an alternative to the chemical treatment of *Agaricus* green mould disease caused by *Trichoderma*. Certain bacteria naturally occurring in the casing material (e.g. *Bacillus* species) are potent antagonists of aggressive *Trichoderma* strains and are therefore potentially useful in the treatment of green mould disease. Savoie et al. [\(2001](#page-601-0)) demonstrated the inhibitory effect of *Bacillus* species to *T. aggressivum* growth. Bhatt and Singh [\(2002](#page-593-0)) investigated the utility of antagonistic bacteria naturally occurring in the casing material against mushroom pathogenic organisms, including *Trichoderma*, both in vitro and on *Agaricus* beds. Among the bacteria, isolate BI III signifcantly


**Fig. 4** Spawned mushroom compost at the end of an experimental cultivation cycle in pots. (**a**) Compost artifcially infected with *Trichoderma aggressivum* f. *aggressivum* at the beginning of the cultivation cycle; (**b**) artifcially infected with *T. aggressivum* f. *aggressivum* but also treated with a bacterial suspension of *Bacillus velezensis* at the beginning of the cultivation cycle. (Photo: Rita Büchner)

inhibited green mould and increased yield. Győrfi and Geösel [\(2008](#page-595-0)) investigated the protective effect of certain antagonistic bacteria (*Bacillus* species) against *T. aggressivum* infections. Two strains were shown to be effective in controlling *Trichoderma* strains under cultivation conditions, and the bacteria have also increased the yields.

Representatives of the species *Bacillus velezensis* are especially promising for the biological control of green mould in *Agaricus* production (Fig. 4). A biocontrol strategy based on the application of the commercial product Serenade MAX® (Bayer CropScience) containing *B. velezensis* QST713 (formerly known as *B. subtilis* QST713) was introduced in France to prevent crop losses (Pandin et al. [2018a](#page-599-0), [b\)](#page-599-0), which now represents approximately 80% of the control measures in French *Agaricus* cultivation (Pandin et al. [2018a](#page-599-0)). Kosanović et al. ([2013\)](#page-596-0) evaluated the antagonistic activities of Serenade® WP (AgraQuest, Davis, Canada), also based on *B. velezensis* QST713 against green mould isolates of *Trichoderma* collected from Serbian *Agaricus* farms, and it was highly effective to all tested *Trichoderma* isolates in vitro and against aggressive *T. harzianum* isolates in a mushroom growing room. Similarly, Potočnik et al. [\(2018](#page-599-0)) applied the same biofungicide by coating *Agaricus* spawn and reported that *B. velezensis* QST713 effectively controlled green mould without inhibition of mycelial growth of *A. bisporus* and its efficacy was not signifcantly different from that of prochloraz-manganese. The complete genome sequence of this biocontrol strain has revealed that it harbours several antimicrobial clusters and an important arsenal enabling 3D bioflm formation (Pandin et al. [2018b\)](#page-599-0). The impact of *B. velezensis* QST713 on the natural microbiota of *Agaricus* compost both in the presence and absence of Ta2 was also evaluated, and it was found that while Ta2 profoundly increased the fungal community and bacterial populations in the compost, the biocontrol strain decreased *Pseudomonas* populations and did not infuence the naturally occurring fungal populations in the compost uninfected with green mould, while it strongly decreased the fungal population (mostly *T. aggressivum*) in Ta2-infected compost.

Potočnik et al. ([2019b\)](#page-599-0) evaluated the efficacy of a similar commercial biofungicide based on *B. subtilis* (Ekstrasol F SC) in comparison with Serenade® WP and prochloraz-manganese (Octave® WP) in a mushroom growing room. Although *B. subtilis* enhanced mushroom yield more than Serenade<sup>®</sup>, its bioefficacy was less than both prochloraz-manganese and *B. velezensis* QST713. Composting material represented a valuable source of antagonistic microorganisms with a potential for use in biological control of green mould in button mushroom production (Milijašević-Marčić et al. [2017](#page-598-0)). To fnd indigenous biocontrol agents against *T. aggressivum* f. *europaeum* and *T. harzianum*, Milijašević-Marčić et al. ([2017\)](#page-598-0) identifed a *B. subtilis* isolate to inhibit the growth of the pathogens in vitro. Also, the bacterial isolate signifcantly lowered the green mould incidence in mushroom growing rooms, while it did not affect the mycelial growth of *A. bisporus*. There was no statistically signifcant difference found between the indigenous *B. subtilis*, prochlorazmanganese and the commercial isolate *B. velezensis* QST713 in terms of mushroom yield.

Stanojević et al. ([2016\)](#page-602-0) isolated bacteria from straw and chicken manure, compost and casing soil used for growing *A. bisporus*. They screened 108 bacterial isolates for antagonistic activity against green mould pathogens, and 23 isolates – representing *Bacillus subtilis*, *B. amyloliquefaciens*, *B. licheniformis* and *B. pumilus* – inhibited the growth of *T. aggressivum* f. *europaeum*, *T. harzianum* and *T. koningii*, while 13 isolates inhibited the growth of *T. atroviride*. Ta2 proved to be the most sensitive. Two *B. amyloliquefaciens* strains inhibited the growth of all four pathogenic fungi, thus exhibiting a wider spectrum of activity than other *Bacillus* spp. strains. In a subsequent study (Stanojević et al. [2019\)](#page-602-0), the bioefficacy of the *Bacillus* strains was evaluated against Ta2 and *T. harzianum* in comparison with *B. velezensis* QST713 and a prochloraz-manganese-based fungicide. Strain B-241 of *B. amyloliquefaciens* had a performance in the suppression of both green mould and dry bubble disease like *B. velezensis* QST713 in all in vivo experiments, suggesting its potential applicability at a commercial scale. Another *B. amyloliquefaciens* strain, D747, is commercially available in Italy against *T. aggressivum* (Amylo-X®, Biogard, CBC (Europe) S.r.l., Nova Milanese, MB, Italy).

Šantrić et al. ([2018\)](#page-601-0) introduced *Streptomyces favovirens* as a promising biocontrol agent of *T. aggressivum* f. *europaeum* with a bioeffcacy similar to that of prochloraz-Mn (prochloraz-manganese complex) and no negative infuence on the mycelial growth of *A. bisporus* in compost, while having a positive effect on mushroom yield. The application of *S. favovirens* resulted in improved *Agaricus* production and better competitiveness of the mushroom with Ta2.

Kosanović et al. [\(2019](#page-596-0)) studied the interaction between *Pseudomonas putida* (which stimulates mushroom pinning), *Ps. tolaasii* (the brown blotch pathogen of *A. bisporus*) and *T. aggressivum*. *Ps. tolaasii* inhibited the growth of the green mould pathogen, increased conidiation and induced oxidative stress response and catabolic enzyme activation. On the other hand, *Ps. putida* stimulated the growth of *T. aggressivum* and increased the abundance of proteins associated with growth and development.

A reverse aspect of *T. aggressivum* f. *europaeum* and biological control was studied by Sánchez-Montesinos et al. ([2020\)](#page-601-0), who demonstrated the biostimulant capacity of Ta2 in tomato and pepper plants under commercial plant nursery and greenhouse conditions. Ta2 has been described as a growth promoter of melon seedlings under saline stress; in addition to its capacity to control *Pythium ultimum*, it was able to decrease the severity of the disease in seedlings (Sánchez-Montesinos et al. [2019](#page-601-0)). However, due to the substantial risk to mushroom growers, the agricultural use of any causal agent of green mould disease for biocontrol purposes is not recommended.

Another way to prevent economic damage caused by *Trichoderma* green mould in *Agaricus* production may be the cultivation of mushroom varieties resistant to aggressive *Trichoderma* strains. In the case of *T. aggressivum* infection, no defence reactions are observed in many *Agaricus* varieties (Mamoun et al. [2000b](#page-598-0); Mumpuni et al. [1998\)](#page-598-0). Savoie and Mata [\(2003](#page-601-0)) used extracellular metabolites from *T. harzianum* to increase the resistance of *A. bisporus* to *T. aggressivum* (induced resistance), but the mushroom was unable to adapt to these metabolites and showed a high degree of sensitivity. Anderson et al. ([2001\)](#page-593-0) compared the resistance of three *Agaricus* cultivars to the Ta4 *Trichoderma* biotype. White hybrid strains were extremely sensitive, off-white hybrid strains were moderately susceptible, while brown strains were found to be resistant to infection. Resistance of brown *Agaricus* strains has also been reported by Chen et al. [\(2003](#page-594-0)) and Sobieralski et al. [\(2009a\)](#page-601-0). Anderson et al. ([2001\)](#page-593-0) found that fungal cell wall-degrading enzymes might be involved in the defence of *A. bisporus*. In dual cultures with Ta4, a 96 kDa N-acetylglucosaminidase was found to be produced by the brown strains Sylvan SB65 and Amycel 2400 earlier and at higher specifc activity than by the off-white (Sylvan 130) and white (U1) strains, suggesting a role for this enzyme in the green mould resistance of commercial brown strains by its action on the cell walls of *T. aggressivum*. Three N-acetylglucosaminidases are also produced by Ta4, one of which may be an important indicator of antifungal activity, and they proved to be identically induced by both brown and white *A. bisporus* strains, suggesting that the resistance of brown strains is not due to a reduced induction of chitinase production in *T. aggressivum* (Guthrie and Castle [2006](#page-595-0)).

The grains present in the spawn may serve as a nutrient source for *Trichoderma*. Speer ([2010\)](#page-602-0) performed artifcial infection experiments with *T. aggressivum* f. *aggressivum* on compost inoculated with different types of spawn. While the use of spawn with reduced grain content did not reduce the rate of *Trichoderma* infection, compost inoculated with grain-free spawn and artifcially infected with *Trichoderma* did not show symptoms of green mould, suggesting that the use of grain-free spawn may prevent the development of *Trichoderma* green mould.

#### **3 Green Mould of Oyster Mushroom (***Pleurotus* **spp.)**

Many pests and pathogens are known in the cultivation of oyster mushrooms (e.g. *Pseudomonas* species, insects), but in recent years, the most signifcant crop losses have been attributed to green mould infections caused by *Trichoderma*. Oyster mushroom growers have detected green mould infections in, among others, North America (Sharma and Vijay [1996](#page-601-0)), South Korea (Park et al. [2004, 2005](#page-599-0)), Italy (Woo et al. [2004](#page-603-0)), Romania (Kredics et al. [2006](#page-596-0)), Hungary (Kredics et al. [2006;](#page-596-0) Hatvani et al. [2007](#page-595-0)), Spain (Gea [2009\)](#page-595-0), Poland (Siwulski et al. [2011\)](#page-601-0), Croatia (Hatvani et al. [2012\)](#page-595-0), Serbia and North Macedonia (Luković et al. [2021\)](#page-597-0), Iraq (Al-Rubaiey and Al-Juboory [2020](#page-593-0)), Egypt (Ayman Daba, personal communication) as well as Sri Lanka (Jayalal and Adikaram [2007\)](#page-596-0), which may indicate that *Pleurotus* green mould is becoming a global problem.

#### *3.1 Epidemiology*

Sharma and Vijay ([1996\)](#page-601-0) reported green mould caused by '*T. viride*' on oyster mushrooms in North America, but the identifcation of the pathogen was not verifed by molecular taxonomic methods. The frst epidemic causing signifcant crop losses was described in South Korea. Yu [\(2001](#page-603-0)) examined 110 samples from oyster mushroom cultivation and showed the presence of *T. viride* (13.6%), *T. harzianum* (8.2%) and *T. koningii* (5.5%) (Fig. [1\)](#page-559-0); however, the majority of the isolates (65.5%) belonged to an unidentifed *Trichoderma* species. Two *Hypocrea* species also occurred in cultivation, one of which (*Hypocrea* sp. 1) formed a brown and the other (*Hypocrea* sp. 2) a white fruiting body. *Hypocrea* sp. 1 also appeared in *Gliocladium*like, asexual form, while no asexual form was found in the case of *Hypocrea* sp. 2. *Trichoderma* isolates were found to be dominant over oyster mushroom in both potato dextrose agar medium (in vitro) and under growing conditions (in situ).

Park et al. ([2005\)](#page-599-0) divided 26 *Trichoderma* strains isolated from South Korean oyster mushroom farms into the following four groups based on their cultural and morphological characteristics: *Trichoderma* sp. K1 (K1), *Trichoderma* sp. K2 (K2), *T. harzianum* and *T. atroviride*. The most frequently isolated species was K2, followed by K1 and *T. atroviride*. Based on colony morphology, growth rate and the morphology of phialides and conidia, K1 and K2 proved to be different from *T. harzianum*, *T. atroviride* and each other. Phylogenetic analysis of the ITS region revealed that K1 and K2 were clearly distinguishable from *T. harzianum*, *T.* 

*atroviride* as well as *T. aggressivum* f. *aggressivum* and f. *europaeum*. It has been confrmed that the two groups differ from each other in a single A/C conversion: adenine is found in species K1 and cytosine in K2 in position 447 of the ITS2 region, while their sequences are identical in all other positions. According to the authors, species K1 and K2 could be distinguished from each other and *T. harzianum*, *T. atroviride*, Ta2 and Ta4 also by the phylogenetic analysis of the fourth intron of the *tef1* gene and motif 6 and 7 of the RNA polymerase II gene (*rpb2*) (Park et al. [2004,](#page-599-0) [2005\)](#page-599-0). The two new species corresponding to groups K1 and K2 were fnally described as *T. pleurotum* (currently accepted name: *T. pleuroti*) and *T. pleuroticola* (Fig. [1](#page-559-0)) (Park et al. [2006\)](#page-599-0), but the type strains of the new species were not deposited in publicly available culture collections, and most of the sequences deriving from the molecular characterisation of the species were not submitted to public sequence databases.

At the same time, severe green mould infection of oyster mushrooms in Italy led to a crisis in the sector (Woo et al. [2004\)](#page-603-0). Data from preliminary morphological and genetic characterisation suggested that the infectious agent belonged to the species *T. harzianum*, but it was later shown that representatives of the species *T. pleuroticola* and *T. pleuroti* caused the problem. Green mould infection also appeared in oyster mushroom cultivation in Hungary. Hatvani et al. [\(2007](#page-595-0)) isolated several *Trichoderma* strains from samples taken from oyster mushroom cultivation substratum infected with green mould. Sequence analysis of the ITS region revealed that the strains most aggressive to oyster mushroom were genetically closely related to *Trichoderma* sp. DAOM 175924, an isolate from a rotten poplar trunk found in Ontario, Canada (Kullnig-Gradinger et al. [2002](#page-597-0)). Isolates were found to be genetically heterogeneous based on their mitochondrial DNA-RFLP patterns, and the presence of a 2.2 kb mitochondrial plasmid was also detected in some strains (Hatvani et al. [2007\)](#page-595-0). The isolates could be separated into two groups corresponding with the species *T. pleuroti* and *T. pleuroticola*. Interestingly, while *T. pleuroticola* proved to be more common on Italian oyster mushroom farms, the vast majority of isolates from Hungary were classifed as *T. pleuroti*.

Subsequently, Komoń-Zelazowska et al. ([2007\)](#page-596-0) performed the detailed, comprehensive, scientifcally documented characterisation of the two new species based on several *T. pleuroti* isolates from Hungary, Italy and Romania as well as *T. pleuroticola* isolates from Canada, Iran, the Netherlands, Germany and New Zealand. Similar to *T. aggressivum*, both new species are classifed into the Harzianum clade of the genus *Trichoderma*. Morphological studies on strains of the new species have revealed that *T. pleuroticola* shows pachybasium-like morphology characteristic of the Harzianum clade, while *T. pleuroti* exhibits *Gliocladium*-like properties. Carbon source utilisation profles of the isolates examined by the BIOLOG phenotype microarray method revealed a clear difference between the two species: the growth of *T. pleuroti* was slower on most carbon sources compared to *T. pleuroticola* showing a similar growth to *T. aggressivum* (Komoń-Zelazowska et al. [2007](#page-596-0)). These results suggest that the evolution of *T. pleuroti* may have been associated with a loss of utilisation ability of certain carbon sources. Phylogenetic analysis of the ITS, *tef1* and *chi18-5* loci has confrmed that the causal agents of oyster mushroom green



**Fig. 5** In vitro confrontation between *Pleurotus ostreatus* and *Trichoderma pleuroti* on yeast extract, xylose medium. (**a**) *P. ostreatus*, (**b**) *P. ostreatus* + *T. pleuroti*. 5 mm mycelial disks from the actively growing edge of a *P. ostreatus* colony were inoculated onto the plates (**a**, **b**, left side), and after reaching a colony radius of approximately 1 cm, *T. pleuroti* was inoculated at a distance of 3 cm in the same way (**b**, right side). (Photo: Lóránt Hatvani)

mould, *T. pleuroticola* and *T. pleuroti*, are indeed two clearly distinct species. DNA barcodes for the identifcation of the two new species based on ITS1 and ITS2 sequences have also been identifed and incorporated into the *Trichoderma* identifcation programme previously developed by Druzhinina et al. ([2005\)](#page-594-0). In vitro antagonism tests revealed that the two oyster mushroom pathogenic *Trichoderma* species were able to efficiently overgrow *P. ostreatus* colonies (Fig. 5); furthermore, both species were able to antagonise *A. bisporus* to a similar extent as *T. aggressivum* (Komoń-Zelazowska et al. [2007\)](#page-596-0). However, no data are available on the possible damage caused by the two new species in *Agaricus* cultivation: oyster mushroom pathogens have not been detected in *Agaricus* compost even on farms where oyster mushrooms are grown in addition to *Agaricus*, and pathogens can be found only in the oyster mushroom substratum (Hatvani et al. [2007](#page-595-0)).

Among *Trichoderma* strains isolated from oyster mushroom cultivation substratum in Hungary, Croatia and Romania, Hatvani et al. ([2007,](#page-595-0) [2008,](#page-595-0) [2012\)](#page-595-0) identifed *T. pleuroti* as the most prevalent, while *T. pleuroticola*, *T. atroviride*, *T. asperellum* and *T. longibrachiatum* (Fig. [1](#page-559-0)) were also detected. Woo et al. [\(2009](#page-603-0)) identifed the majority of isolates pathogenic to *P. ostreatus* from Italian mushroom farms as *T. pleuroticola* and *T. harzianum* and less commonly as *T. pleuroti*. Innocenti and Montanari ([2014\)](#page-596-0) isolated *T. pleuroti* and *T. pleuroticola* from symptomatic areas of the cultivation substratum, while *T. harzianum* could be isolated only from areas without disease symptoms. Recently, Lee et al. [\(2020](#page-597-0)) isolated *T. pleuroticola* for the frst time from a substratum of *P. eryngii*.

Błaszczyk et al. ([2013\)](#page-593-0) identifed *T. pleuroti* and *T. pleuroticola* isolates obtained from Polish oyster mushroom farms based on morphological characteristics and by

using ITS and *tef1* sequences and found the latter to be more frequent. The predominance of *T. pleuroti* in both Hungarian and Polish oyster mushroom farms may be due to the similar, wheat straw-based production technology, which is different from the methods used in Italy, where *T. pleuroticola* is the major agent of *Pleurotus* green mould (Komon-Zelazowska et al. [2007;](#page-596-0) Błaszczyk et al. [2013\)](#page-593-0). Comparative ITS sequence analysis has shown that all Polish *T. pleuroti* isolates represent a single haplotype identical to that of Hungarian and Romanian *T. pleuroti* strains, while besides the type known from the Carpathian region, sequence analysis of the *tef1* locus also revealed a unique *tef1* allele from Poland (Błaszczyk et al. [2013\)](#page-593-0). Detailed analysis of ITS and *tef1* sequences of two Polish *T. pleuroticola* isolates showed their identity with an Italian strain. As the substratum is supposed to be the source of green mould infection in oyster mushroom cultivation (Komon-Zelazowska et al. [2007\)](#page-596-0), the composition of two *T. pleuroti* haplotypes in Poland most likely depends on the source of the wheat straw used for *Pleurotus* cultivation, which may also spread certain haplotypes between countries via trading (import-export) activities.

In the recent study of Luković et al. [\(2021](#page-597-0)), green mould-affected *P. ostreatus* samples were shown to harbour *T. pleuroticola* and *T. pleuroti* in North Macedonia. In addition to *T. pleuroticola*, members of the THSC were detected in Serbia (Luković et al. [2021](#page-597-0)), which was later specifed as *T. afroharzianum* (Allaga et al. [2021\)](#page-593-0).

Kredics et al. [\(2009](#page-597-0)) detected *T. pleuroticola*, *T. harzianum*, *T. atroviride*, *T. longibrachiatum* and *T. asperellum* – but not *T. pleuroti* – in the natural vicinity and on the fruiting bodies of wild-grown *P. ostreatus* in Hungary. The presence of *T. pleuroticola* in natural habitats suggests that these might be potential reservoirs of the pathogen and possible sources of contamination at mushroom farms.

While *T. pleuroti* has been isolated so far only from oyster mushroom cultivation, sets of data are available about the occurrence of *T. pleuroticola* in environmental samples (soil, tree) from different parts of the world, including soil samples from wheat felds in Hungary (Kredics et al. [2012\)](#page-597-0) and Austrian soils, suggesting that these two species may occupy different ecological and trophic niches in nature (Komoń-Zelazowska et al. [2007](#page-596-0)). Interestingly, *T. pleuroticola* is used in New Zealand for biological control of the root rot pathogens *Armillaria novae-zelandiae* and *A. limonea* in the protection of kiwi and pine (Dodd et al. [2000\)](#page-594-0). The 'HEND' strain used was initially identifed as '*T. harzianum*', and it turned out only later that it was in fact a representative of *T. pleuroticola*. Using such strains for plant protection purposes may have catastrophic consequences if oyster mushrooms are grown near the area of their application. Therefore, a comprehensive risk assessment is essential during the development of biological control methods based on *Trichoderma* strains, the basis of which should be the accurate, species-level identifcation of the biocontrol candidates by molecular methods.

Other species isolated from green mould-infected *Pleurotus* cultivation materials include *T. harzianum* and *T. atroviride* in Poland (Błaszczyk et al. [2013](#page-593-0)) and Hungary (Hatvani et al. [2007\)](#page-595-0). Additionally, Hatvani et al. [\(2007](#page-595-0)) also found individual isolates of *T. asperellum*, *T. ghanense* and *T. longibrachiatum* in Hungary. Al-Rubaiey and Al-Juboory [\(2020](#page-593-0)) reported *T. longibrachiatum* as the green mould pathogen of *P. eryngii* in Iraq. Sobieralski et al. [\(2010d](#page-602-0)) found that *T*. *aggressivum* f. *europaeum* isolates caused a signifcant crop reduction of *P. eryngii* in Poland without any signifcant impact on morphological features of the mushroom. *T*. *aggressivum* f. *aggressivum* was also found to occur on *P. ostreatus* at a Hungarian mushroom farm during a period of a Ta4 outbreak in the adjacent *A. bisporus* growing houses (Hatvani and Allaga, personal communication). Besides *T. harzianum* detected in oyster mushroom substratum in North Macedonia, other members of the Harzianum clade diagnosed in green mould-affected *P. ostreatus* cultivation are *T. guizhouense* (Serbia, Croatia), *T. atrobrunneum* (North Macedonia), *T. simmonsii* (North Macedonia, Serbia) and *T. afroharzianum* (North Macedonia, Serbia, Spain) (Fig. [1\)](#page-559-0) (Allaga et al. [2021\)](#page-593-0).

#### *3.2 Biology*

The appearance of *T. pleuroti* and *T. pleuroticola* in oyster mushroom cultivation cannot be related to a certain kind of substratum; the infection was detected from cultivation on rice straw, cotton, sawdust (Park et al. [2004;](#page-599-0) Yu [2001](#page-603-0)) and wheat straw (Hatvani et al. [2007\)](#page-595-0) as well. In its advanced state, oyster mushroom green mould infection can be easily identifed by the symptoms, i.e. thick green areas of conidiation on the surface of cultivation substratum, which is mostly exposed to *Trichoderma* infection during the spawn run phase. The pathogenic *Trichoderma* may also be able to grow on the surface of developing fruiting bodies, which can therefore often become distorted and severely spotted. In case of severe infections, fruiting body formation may not occur at all.

Both *T. pleuroti* and *T. pleuroticola* cause signifcant losses of *P. ostreatus* yields (Sobieralski et al. [2012b](#page-602-0), [c](#page-602-0)); however, *T. pleuroticola* results in greater yield drops up to 84%. The degree of yield decline depends on the pathogenic strain and the mushroom variety. Sobieralski et al. [\(2012d](#page-602-0)) determined the interactions between different *T. pleuroticola* and *T. pleuroti* isolates and six species of *Pleurotus* and found that *P. cornucopiae* was the most sensitive when interacted with both pathogenic species. Wild strains of *P. ostreatus* exhibit a relatively low yield drop compared to cultivated strains.

Woo et al. [\(2004](#page-603-0)) have observed that *Trichoderma* species are present in the initial phase of preparation of the substratum used to grow oyster mushrooms and then disappear upon pasteurisation but can be found again after spawning (inoculation with oyster mushroom), during the spawn run and the harvest cycles in the special shelf-growing system prevalent in South Korea. The peculiarity of this system is that the cultivation substratum is placed in bulk on the shelves after heat treatment and only spawned later, after the substratum has cooled down (Choi [2004\)](#page-594-0). The bulk cultivation substratum can come into contact with pathogens on a large surface after heat treatment; therefore, the risk of re-infection is higher than in the case of bagged or blocked cultivation substratum, which is widespread in Hungary and Poland.

Chen and Moy ([2004\)](#page-593-0) have stated that the parameters of oyster mushroom cultivation, such as the nitrogen and carbon source, high relative humidity, elevated temperatures, the fuctuation of these factors as well as the absence of light during spawn run, are ideal environmental conditions for moulds, which may easily lead to contamination. Moulds rapidly grow under preferred conditions and more effciently compete for nutrients and space than the oyster mushroom. Furthermore, they produce extracellular enzymes (e.g. glucanases), toxic secondary metabolites and volatile organic compounds, which may result in a drastic yield reduction or even the elimination of the entire crop.

The effect of osmotic and matrix potential on mycelial growth of three cultivated *Pleurotus* species (*P. forida*, *P. ostreatus*, *P. sajor-caju*) and two *Trichoderma* species (*T. atroviride* and *T. pleuroti*) was investigated by Lee et al. ([2000\)](#page-597-0). The growth optimum for both *Pleurotus* and *Trichoderma* strains ranged from −0.2 to −0.5 MPa, but the growth rate of *Trichoderma* strains was much higher than that of the *Pleurotus* strains. *Trichoderma* strains were also able to grow at the lowest water potential value tested, −4.0 MPa, which had already inhibited the growth of *Pleurotus* strains. The effect of moisture content of the cultivation substratum on the growth of oyster mushroom and *Trichoderma* was studied by Yu ([2001\)](#page-603-0). The optimum of *P. ostreatus* was between 60% and 70% moisture, while growth was inhibited above 80%. In contrast, the maximum mycelial growth of *Trichoderma* was observed at 80% moisture content. Too high moisture content has an adverse effect on the growth of the mycelium of oyster mushroom as it prevents the aeration of the substratum, but in turn favours the appearance of green mould and the growth of the pathogenic *Trichoderma* strains.

*Trichoderma* species were active against the mycelia of *P. ostreatus* by competing for space and nutrients, and neither hyphal interaction nor effect by volatile or non-volatile metabolites occurred (Innocenti et al. [2019](#page-596-0)). The results of studies on extracellular enzyme production (Kredics et al. [2008a](#page-597-0), [b](#page-597-0)) suggest that the two closely related oyster mushroom pathogenic species, *T. pleuroti* and *T. pleuroticola*, use different enzymatic strategies to adapt to oyster mushroom growing conditions. Isolation of mutants damaged in each enzyme system and comparison of their properties with wild-type oyster mushroom pathogenic strains (e.g. in in vitro antagonism experiments with oyster mushrooms or provoked infection experiments) may contribute to the identifcation of extracellular enzymes involved as virulence factors in green mould infection. Hatvani [\(2008](#page-595-0)) reported that *T. pleuroti* mutants defcient in their protease, chitinase and lipase enzyme systems have signifcantly reduced in vitro antagonistic ability against oyster mushrooms, suggesting that these enzyme systems may play an important role in the process of oyster mushroom infection by *Trichoderma*.

The production of peptaibols by *T. pleuroti* was studied by Marik et al. [\(2017](#page-598-0)) using HPLC-MS-based methods, and tripleurins – representing a new group of 18-residue peptaibols – were discovered. Tripleurins had an inhibitory effect on the mycelial growth of *P. ostreatus*, suggesting a possible role of these bioactive peptides in oyster mushroom green mould development. The gene sequence of the nonribosomal peptide synthetase responsible for the production of tripleurins was mined from the full genome sequence of *T. pleuroti* (Marik et al. [2017\)](#page-598-0), which has been made available to assist future studies on the biology of oyster mushroom green mould disease (Urbán et al. [2016a](#page-602-0), [b](#page-602-0)).

## *3.3 Diagnosis*

Due to the rapid spread of green mould infection in oyster mushroom farms worldwide, the development of effective diagnostic methods for the detection of the causal agents had become urgent. A polymerase chain reaction (PCR)-based technique for the rapid identifcation of *T. pleuroti* and *T. pleuroticola* has been developed by Kredics et al. ([2009\)](#page-597-0). Based on the sequences of the variable introns of the *tef1* gene, three primers were designed, two of which are specifc for both oyster mushroom pathogenic *Trichoderma* species, while the third one can bind only to the *tef1* gene of *T. pleuroti*. Accordingly, when used together in one reaction, the three primers amplify a single fragment from the genomic DNA of *T. pleuroticola* and two fragments from *T. pleuroti*. In addition to *T. pleuroti* and *T. pleuroticola*, 28 other *Trichoderma* species and several other fungi were tested with the multiplex PCR method outlined above, and no cross-reactivity was observed in any of the cases. Based on the results, *T. pleuroti* and *T. pleuroticola* can be clearly distinguished from each other and from other fungal species using this triple primer set. The method also allows the quick detection of the two oyster mushroom pathogenic *Trichoderma* species directly from substratum samples used for oyster mushroom cultivation without strain isolation and ITS sequence analysis. In this way, the method can help detect green mould infestation of oyster mushrooms at an early stage, paving the way for the application of appropriate control procedures. This PCR technique was also used to detect both species on the surface of insects present in the growing houses, suggesting their possible role as vectors of *Pleurotus* green mould (Hatvani et al. unpublished). The application of this method also revealed the presence of *T. pleuroticola* – but not that of *T. pleuroti* – in the natural substratum and on the fruiting body surface of wild-grown oyster mushrooms (Kredics et al. [2009\)](#page-597-0).

Lee et al. [\(2000](#page-597-0)) developed a rapid and accurate detection method that involves a single *Trichoderma*-specifc primer set designed based on the DNA sequence alignment of the ITS1 and ITS2 regions of 11 *Trichoderma* species occurring in mushroom cultivation substrata. The method can detect the *Trichoderma* mycelium both independently and in a mixture with *P. eryngii*, even at a very low amount during the early stage of spawn run.

# *3.4 Prevention and Control*

For prevention and control of oyster mushroom green mould disease, the infuence of temperature and pH was studied and optimised for oyster mushroom cultivation. Although the temperature optimum for oyster mushroom growth may vary between cultivated strains, approximately 25  $\degree$ C is required for spawn run, 13–15  $\degree$ C for induction of fruiting body development and 12–18 °C for fruiting (Choi [2004\)](#page-594-0). The cultivation substratum is the most susceptible to green mould infection during spawn run. Its temperature can then rise up to 30 °C due to the metabolic heat generated by the oyster mushroom mycelia, and the *Pleurotus* pathogenic *Trichoderma* strains show maximal mycelial growth exactly in the temperature range of 25–30 °C. Woo et al. ([2004\)](#page-603-0) found that *Trichoderma* was able to grow well over a wider temperature range than oyster mushroom  $(20-28 \degree C)$  and its growth rate was three times higher than that of *P. ostreatus* at 25 °C. Based on this study (Woo et al. [2004\)](#page-603-0), it is recommended to maintain a temperature between 15 and 18 °C for the post-spawn run phase to prevent the development and spread of green mould infection.

According to Woo et al. ([2004\)](#page-603-0), while the pH optimum for oyster mushroom growth is in the alkaline range (pH = 8–9), *Trichoderma* prefers acidic and neutral conditions ( $pH = 5-7$ ). According to the authors, adjusting the cultivation stock to a pH between 8 and 9 may slow the growth of *Trichoderma*, resulting in a reduction in the spread of infection. Chang and Miles ([2004\)](#page-593-0), on the other hand, characterised the vegetative mycelial growth of *P. ostreatus* with an optimum of pH 5.4–6.0. As the mycelium of the oyster mushroom grows, it acidifes the straw-based substratum, and the pH decrease (from 8–9 to 4.5–5) occurs in 5–6 days. Thus, a higher pH can only provide protection in the beginning, but later, with the growth of its mycelium, the oyster mushroom itself creates favourable circumstances for the pathogens.

Yu ([2001\)](#page-603-0) also studied the effect of pasteurisation on the development of oyster mushroom green mould infections as a function of sterilisation temperature, time and moisture content of the cultivation substratum. The results showed that the growth of *Trichoderma* mycelium could be completely inhibited with pasteurisation for 10 h or more at 60 °C at both 50% and 70% moisture content of the growing substratum, while teleomorphic stages could not even survive a heat treatment at 50 °C for up to 5 h. When determining the duration of pasteurisation, the thermal conductivity of the cultivation substratum, which depends on its type, volume and moisture content, must also be considered. The immersion of the substratum in hot water at 60 °C for 30 min or in alkalinised water for 36 h is a treatment capable of reducing the incidence of contamination with *Trichoderma* sp. during the spawning phase of oyster mushroom cultivation (Colavolpe et al. [2014](#page-594-0)).

Yu ([2001\)](#page-603-0) tested the effect of fungicides (prochloraz, thiabendazole, benomyl, propineb, chlorothalonil) on conidial germination and mycelial growth of oyster mushroom pathogenic *Trichoderma* isolates. Several strains of *Trichoderma* were shown to be resistant to benomyl and thiabendazole. Prochloraz was found to be the most effective fungicide in inhibiting the growth of green moulds, and no resistance of *Trichoderma* strains has appeared to this fungicide. Prochloraz, benomyl and propineb had an inhibitory effect on the germination of conidia in benomyl-sensitive strains, while chlorothalonil was also able to inhibit conidia germination in benomylresistant strains (Yu [2001\)](#page-603-0). Another seven fungicides (including captan) inhibited the mycelial growth of *P. ostreatus* better than that of *Trichoderma* species, meaning that these chemicals could not be used to control the infection. Fungicide treatment before sterilisation of the cultivation substratum can effectively prevent infection with green mould strains throughout the entire oyster mushroom cultivation process, but fungicide treatment after spawning can be risky from the aspect of food

safety. However, none of these fungicides are authorised for oyster mushroom cultivation within the European Union, so their use is not possible even before heat treatment. The inhibitory efficacy of prochloraz and thiabendazole on oyster mushroom beds contaminated with green mould was 78.5% and 70.9%, respectively, whereas benomyl treatment had no inhibitory effect on *Trichoderma* (Yu [2001\)](#page-603-0). Although at high concentrations prochloraz also had an inhibitory effect on the mycelial growth and fruiting body development of oyster mushroom cultivars, it was still considered to be the most effective fungicide against green mould infection in fungal beds. When cotton as a possible cultivation substratum was treated with 250 ppm prochloraz, fruiting body formation started in a shorter time and led to higher yields. After harvest, fungicide residues were analysed in the fruiting bodies of oyster mushrooms grown on chemically treated cultivation substratum. The levels of prochloraz, thiabendazole and benomyl residues in the fruiting bodies were well below the maximum residue limits for fungicides in cultivated mushrooms (Yu [2001\)](#page-603-0). In another study, the inhibitory effect of several fungicides commonly used in agriculture (prochloraz, thiabendazole, dichloran, benomyl, propiconazole, thiofanatomethyl) was tested by Woo et al. ([2004\)](#page-603-0), and both prochloraz and thiabendazole were found to inhibit the growth of the aggressive *Trichoderma* isolates without having a negative effect on *Pleurotus*.

The benomyl-containing Chinoin Fundazol 50 WP had been used in Hungarian oyster mushroom cultivation for more than two decades (Szili [2008\)](#page-602-0); however, the use of this fungicide alone, without adhering to hygienic and cultivation technology standards, did not inhibit the appearance and spread of green moulds. In the meantime, these drugs were permanently withdrawn from plant protection in the EU, as they were shown to be carcinogenic, teratogenic and endocrine disruptors. Adherence to the appropriate hygienic and cultivation technology regulations as well as the use of well heat-treated cultivation substratum can prevent the infection of *Trichoderma* green mould and its spread within oyster mushroom farms.

The oyster mushroom pathogenic *Trichoderma* strains examined in Serbia and North Macedonia showed high susceptibility to metrafenone and prochloraz: the ED50 values for *T. pleuroti*, *T. pleuroticola* and THSC isolates ranged between 0.02–0.14 and 0.001–0.01, 0.02–0.17 and 0.001–0.01, as well as 0.01–0.06 and 0.01.0.02 μg mL−<sup>1</sup> , respectively (Luković et al. [2021\)](#page-597-0).

High concentrations of prochloraz had harmful effects on mycelial growth and fruiting body development of *Pleurotus* spp. (Hatvani et al. [2008](#page-595-0)). Prochloraz was found to be effective against both *T. pleuroti* and *T. pleuroticola*, completely inhibiting the colony growth rate and spore germination both in vitro and in vivo; however, the treatment at the spawning phase was not suffcient to ensure protection during the entire cycle of oyster mushroom cultivation, which may be due to the reduction of fungicide activity under cultivation conditions, enabling secondary infections by airborne spores of *Trichoderma* and the lack of natural antagonists in the cultivation substratum (Innocenti et al. [2019\)](#page-596-0).

As chemical control by pesticides is not an available option in oyster mushroom production in most parts of the EU (Nagy et al. [2012\)](#page-599-0), similar to the case of *Agaricus* green mould, a promising, alternative solution for the control of *Trichoderma* green mould is the application of natural compounds or microorganisms as biocontrol agents. Angelini et al. ([2008\)](#page-593-0) reported that tea tree essential oil inhibited the mycelial growth of *T. harzianum* in vitro, while the growth of *Pleurotus* spp. (*P. eryngii*, *P. nebrodensis* and *P. hadamardii*) was stimulated. Application of the essential oil to the substratum of *Pleurotus* cultivation resulted in strong to total inhibition of *T. harzianum*; its pathogenicity proved to be weak or non-existent. During a subsequent study, the in vitro effects of methanol extract from *Ferula assa-foetida* oleogum-resin on *T. harzianum* and *Pleurotus* spp. were investigated in dual culture experiments (Angelini et al. [2009\)](#page-593-0). The methanol extract showed fungistatic and fungicidal properties against the strains of both *T. harzianum* and *Pleurotus* spp. at higher concentrations. When methanol extracts were added to the cultivation substratum, the antagonistic activity of *T. harzianum* against *Pleurotus* spp. was only moderate or weak. Shah et al.  $(2011)$  $(2011)$  evaluated the antifungal activities of eight botanicals against mycelia of both *Pleurotus* and the green mould pathogen *T. harzianum* in vitro and in vivo. Among them, *Azadirachta indica* showed a maximum increase in yield and exhibited a minimum disease incidence. Talavera-Ortiz et al. [\(2020](#page-602-0)) evaluated an extract of the fruiting body of *Pycnoporus* sp. (Polyporaceae, Agaricomycetes, Basidiomycota), an edible and medicinal mushroom, against *T. pleuroti* and *T. atrobrunneum* isolated from infected substratum of *P. ostreatus* farms. The results showed a decrease in mycelial growth rate up to 72% in vitro and delay of both mycelial growth and sporulation of the pathogens on lignocellulosic substratum up to 10 days.

A solution that can be followed in oyster mushroom green mould control may be making mushroom cultivation substrata resistant to green mould pathogens (Yu [2001\)](#page-603-0). For this purpose, the use of benefcial bacteria seems to be appropriate, which, when mixed to the cultivation substratum, are capable of selectively killing or at least vigorously suppressing the aggressive moulds which develop during cultivation. In South Korea, studies have been conducted with a bacterial strain (CNU LI-1) that inhibits mycelial growth of *Trichoderma* species (Yu [2001](#page-603-0)). Inoculation of the pre-sterilised cultivation substratum with strain CNU LI-1 was shown to be effective in preventing the emergence of *Trichoderma* species. However, if green mould had already appeared on the fungal beds, the treatment was not able to eliminate the infection. Hatvani ([2008\)](#page-595-0) tested the effect of isolates of several bacterial species on the growth of *T. pleuroti* and *P. ostreatus* in in vitro antagonism tests and found bacterial strains that inhibited the *Trichoderma* strain without having a signifcant effect on *Pleurotus*. Nagy et al. ([2012\)](#page-599-0) reported that strains belonging to *Bacillus subtilis*, *B. amyloliquefaciens* and *B. licheniformis* were very effective in antagonising the oyster mushroom pathogenic *T. pleuroti* without any negative effect on *P. ostreatus*. The *B. amyloliquefaciens* strain is a potential biocontrol candidate, as in addition to the lack of antagonistic activity towards *P. ostreatus*, it also increased crop yield. Potočnik et al. [\(2019a\)](#page-599-0) evaluated the antagonistic potential of *B. subtilis* strains isolated from oyster mushroom substratum against *T. pleuroti* and *T. pleuroticola* in vitro, and growth inhibition up to 62.22% and 69.62% could be achieved, respectively. Roberti et al. ([2019\)](#page-600-0) evaluated two yeast strains of *Aureobasidium pullulans* against *T. pleuroti* and *T*. *pleuroticola*. Both strains were

effective in reducing colony growth of the two *Trichoderma* species in vitro without any negative effect on *P. ostreatus* growth. Furthermore, the yeast strains were more effcient than *Trichoderma* in substratum colonisation and produced volatile and non-volatile metabolites, which reduced *Trichoderma* growth. Under controlled conditions like those of a mushroom farm, only one of the yeasts, *A. pullulans* L8, was effective in controlling the disease, with control effects comparable with those of prochloraz.

#### **4 Green Mould of Other Mushrooms**

## *4.1* **Lentinula edodes**

The most important pathogens in shiitake cultivation are also from the genus *Trichoderma*, mainly attacking the mycelia of *L. edodes* in bed logs and sawdust cultures and causing serious damage negatively affecting the mushroom yield during production. Nine *Trichoderma* species (*T. atroviride*, *T. citrinoviride*, *T. harzianum*, *T. longibrachiatum*, *T. pseudokoningii*, *T. polysporum*, *T.* cf. *stramineum*, *T. virens* and *Trichoderma* sp.; (Fig. [1\)](#page-559-0) were reported by Miyazaki et al. [\(2009](#page-598-0)) to cause economic damage in Japanese shiitake production. In addition, Kim et al. [\(2012a,](#page-596-0) [2013\)](#page-596-0) introduced and described *T. mienum* and *T. pseudolacteum* (previously recognised as *H. lactea*) (Fig. [1](#page-559-0)) as new green mould pathogens from the bed logs of both shiitake and oyster mushroom farms in Japan. *Trichoderma* species reported from shiitake farms in Korea include *T. atroviride*, *T. citrinoviride*, *T. harzianum*, *T. polysporum*, *T. longibrachiatum*, *T. viride* (Fig. [1](#page-559-0)) as well as two unidentifed species strongly invading the mycelial blocks of shiitake, which were re-described based on morphology, culture characteristics as well as ITS, *tef1* and *rpb2* sequences as *Hypocrea pseudogelatinosa* (current name: *T. pseudogelatinosum*) and *H. pseudostraminea* (current name: *T. pseudostramineum*) (Fig. [1;](#page-559-0) Kim et al. [2012b\)](#page-596-0). Furthermore, Kim et al. ([2010\)](#page-596-0) reported *Gliocladium viride* (syn. *Hypocrea lutea*) as a new shiitake green mould agent in Korea. Although this species was morphologically similar to *Gliocladium*, its phylogenetic position located it within the genus *Trichoderma*; therefore, the scientific name of this species was changed to *T. deliquescens* (Fig. [1](#page-559-0)) by Jaklitsch [\(2011](#page-596-0)). The species were described based on cultural characteristics, holomorph morphology and the phylogenetic markers ITS, *rpb2*, *tef1*, endochitinase and actin. In China, Cao et al. [\(2014](#page-593-0)) described *T. oblongisporum* (Fig. [1](#page-559-0)) as a new causal agent of shiitake green mould, while Wang et al. ([2016\)](#page-603-0) confrmed six *Trichoderma* species, i.e. *T. harzianum*, *T. atroviride*, *T. viride*, *T. pleuroticola*, *T. longibrachiatum* and *T. oblongisporum* (Fig. [1](#page-559-0)), based on morphology characteristics as well as ITS and *tef1* sequence analysis, among which *T. harzianum* and *T. atroviride* proved to be the most prevalent. Luković et al. ([2021\)](#page-597-0) reported the isolation of THSC members in Serbia. The identity of these strains was refned later as *T. guizhouense*, *T. atrobrunneum* and

*T. simmonsii*, while additional species diagnosed in green mould-affected *L. edodes* cultivation in Hungary include *T. simmonsii* and *T. pollinicola* (Fig. [1](#page-559-0); Allaga et al. [2021\)](#page-593-0).

Infected shiitake mycelia in cultivated bags became rotten, wilted, yellow and fnally died, and the surface of the cultivation bags became covered with dark green fungal colonies. Above 20 °C, a disease incidence of nearly 100% was experienced at some mushroom farms (Cao et al. [2014](#page-593-0)). Wang et al. [\(2016](#page-603-0)) reported that the metabolites of different *Trichoderma* species inhibited the mycelial growth of *L. edodes* and caused distortion and swelling of its hyphae in vitro. However, the inhibition rate considerably varied among different species. Also, *T. harzianum* hyphae overgrew shiitake mycelia and coiled around them, resulting in gradual withering of the mushroom.

A PCR-based diagnostic tool was developed by Miyazaki et al. ([2009\)](#page-598-0) for *T. harzianum* causing green mould in shiitake cultivation felds and facilities. Three forward and three reverse primers were designed based on the ITS sequences of *T. harzianum* strains and several other species, among which the primer pair THITS-F2 and THITS-R3 distinguished most *T. harzianum* strains from other *Trichoderma* species and successfully detected *T. harzianum* in infected *L. edodes* cultures.

According to Luković et al. ([2021\)](#page-597-0), shiitake pathogenic THSC strains were found to be highly sensitive to commercial fungicides, with  $ED_{50}$  values between  $0.16-3.63$  and  $0.01-0.07 \mu g$  mL<sup>-1</sup> for metrafenone and prochloraz, respectively. Strains of *B. licheniformis* and *B. subtilis* were able to effciently inhibit the growth of *T. harzianum*, *T. pseudokoningii* and *T. viride* and in the cultivation of *L. edodes* and *P. sajor-caju* (Chittihunsa et al. [2007\)](#page-594-0).

## *4.2* **Ganoderma** *spp.*

*Ganoderma* spp., including *G. lucidum* and *G. lingzhi*, mostly cultivated in China, Japan and South Korea have been extensively used as traditional medicine and functional food. Their 'reishi' extract signifcantly inhibits allergic reactions. It is effectively used in treating bronchitis, rheumatism, nephritis and hypertension and has antitumour properties; therefore, it is applied as a complementary treatment in patients undergoing chemotherapy (Lu et al. [2016](#page-597-0)). *Ganoderma* species are also known to be affected by *Trichoderma* green moulds, both in cultivation and in their natural environment. Lu et al. [\(2016](#page-597-0)) isolated and identifed *T. harzianum* (Fig. [1](#page-559-0)) based on the sequences of ITS, *tef1*, actin and calmodulin genes as the causal agent of *G. lucidum* green mould in China, where the disease can cause great losses to local farmers. Based on morphological characters and ITS and *tef1* sequences, Zhang et al. ([2019\)](#page-603-0) identifed the causal agent of *G. lingzhi* green mould as *T. longibrachiatum*, while Yan et al. [\(2019](#page-603-0)) detected *T. atroviride* (Fig. [1\)](#page-559-0) as a new green mould pathogen of *G. lingzhi*. Cai et al. [\(2020](#page-593-0)) isolated a pathogenic *Trichoderma* from diseased *G. lingzhi* in China and identifed it as *T. hengshanicum* (Fig. [1](#page-559-0)) based on morphology as well as *rpb2* and *tef1* sequence analysis. In *Ganoderma*, *Trichoderma* green mould can infect both mycelium tubes and fruiting bodies (stipe and cap). The allochroic patches appearing on the cap lead to gradual rot and the production of a pale green, mildew-like layer. Infected mediostrata turn golden orange into pale yellow and necrotic. The infected mycelium tubes initially produce white, villous mycelia and then green conidia, and are fnally covered by dark green, thick mycelium. The severely infected mycelium tubes cannot produce fruiting bodies (Lu et al. [2016\)](#page-597-0). The main symptoms on *G. lingzhi* fruiting bodies are spiderreticulated white mycelium under the cap and green rot on the stipe (Zhang et al. [2019\)](#page-603-0). The infected fruiting bodies rot and wither away. The disease may result in drastic crop reductions due to deformation and deterioration of the mushrooms (Yan et al. [2019\)](#page-603-0).

## *4.3* **Cyclocybe aegerita**

*Cyclocybe aegerita* (formerly *Agrocybe aegerita*), the poplar mushroom, is an important mushroom cultivated in Korea and distributed also in Japan, Europe and Africa. It is very fbrous and has a high antioxidant effect and free-radical scavenging ability, which is correlated with total phenolic content. Choi et al. ([2010\)](#page-594-0) identifed and characterised 26 *Trichoderma* isolates belonging to four species, i.e. *T. harzianum*, *T. pleuroticola*, *T. longibrachiatum* and *T. atroviride* (Fig. [1\)](#page-559-0), from the fruiting bodies and the substratum of commercially produced *C. aegerita*, with *T. harzianum* being the most prevalent (55.2%). *Trichoderma* spp. initially developed a dense pure white mycelium diffcult to distinguish from the mycelium of *C. aegerita*, which later turned green in colour due to intense conidium production. Newly developing primordia infected by *Trichoderma* spp. produced brownish spots and lesions, which later joined and completely covered the fruiting bodies, resulting in badly spotted, brownish mushrooms with reduced growth and crop yield (Choi et al. [2010\)](#page-594-0).

## **5 Conclusions**

The substantial crop losses caused by green mould disease in mushroom production worldwide increased the need for efficient solution strategies. Biofungicides may be combined with chemicals in an integrated management of green mould or even provide entirely harmless, fully environment-friendly alternatives to synthetic fungicides in mushroom production. The development of such control measures can be expected to be substantially supported in the recent 'omics' era by the modern tools

of molecular biology, which enable to gain genome-level knowledge about the molecular background of the pathogenic activities of *Trichoderma* green mould species and their infection process, as well as the composition and function of the microbiota associated with them in the mushroom cultivation substrata.

Taken the dimensions and economical importance of the green mould problem in mushroom cultivation, the risks of agricultural *Trichoderma* application to mushroom production should always be kept in mind. Besides harbouring most of the high priority green mould pathogens, the Harzianum clade of the genus also includes several *Trichoderma* strains that are recently being developed or are already registered as biocontrol agents for feld applications. This increases the risk of the eventual registration of green mould agents as biocontrol product components. The complete genome sequence of a biocontrol strain designated as Tr1 and referred to as '*T. harzianum*' is available in the GenBank database (assembly accession number: GCA\_002894145), but the genomic sequence clearly indicates that the strain actually belongs to *T. pleuroticola*. This example demonstrates that biocontrol agents previously identifed and registered as '*T. harzianum*' may actually belong to closely related species with the potential to cause green mould diseases in mushroom cultivation. Although *Trichoderma* strains causing mushroom green mould disease may also possess good biocontrol properties, we strongly recommend that the biocontrol application of strains belonging to species known as high priority green mould pathogens of cultivated mushrooms should be abandoned. Furthermore, due to the frequent problem of misidentifcations within the genus *Trichoderma*, we suggest that the active strains included in *Trichoderma*-based commercial biocontrol products available on the market should be subjected to species-level identifcation by sequence-based molecular methods, preferably targeting the *tef1* gene sequence, in order to minimise the risks to mushroom production by the inadvertent agricultural application of eventually misidentifed *Trichoderma* strains that may prove to be green mould pathogens of cultivated mushrooms.

**Conficts of Interest/Competing Interests** The authors declare no confict of interest.

**Ethics Approval** Not applicable.

**Consent to Participate** Not applicable.

**Consent for Publication** All authors have seen and approved the fnal version of the manuscript.

**Availability of Data and Material** Not applicable.

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# **Trichodermosis: Human Infections Caused by** *Trichoderma* **Species**



**Shahram Naeim[i](https://orcid.org/0000-0001-7508-3056) , Lóránt Hatvani [,](https://orcid.org/0000-0003-0953-3201) Tamás Marik [,](https://orcid.org/0000-0002-0434-5685) Dóra Balázs [,](https://orcid.org/0000-0002-5984-3761) Ilona Dócz[i](https://orcid.org/0000-0003-4512-6486) , Feng Ca[i](https://orcid.org/0000-0003-2032-6190) , Csaba Vágvölgy[i](https://orcid.org/0000-0003-0009-7773) , Irina S. Druzhinin[a](https://orcid.org/0000-0003-2821-5268) , and László Kredics**

#### **Contents**



S. Naeimi

L. Hatvani · T. Marik · D. Balázs · C. Vágvölgyi · L. Kredics (⊠) Department of Microbiology, Faculty of Science and Informatics, University of Szeged, Szeged, Hungary e-mail: [csaba@bio.u-szeged.hu](mailto:csaba@bio.u-szeged.hu); [kredics@bio.u-szeged.hu](mailto:kredics@bio.u-szeged.hu)

I. Dóczi Institute of Clinical Microbiology, Faculty of Medicine, University of Szeged, Szeged, Hungary e-mail: [doczi.ilona@med.u-szeged.hu](mailto:doczi.ilona@med.u-szeged.hu)

F. Cai · I. S. Druzhinina Key Laboratory of Plant Immunity, Fungal Genomics Laboratory (FungiG), Nanjing Agricultural University, Nanjing, China e-mail: [irina.druzhinina@njau.edu.cn](mailto:irina.druzhinina@njau.edu.cn)

Department of Biological Control Research, Iranian Research Institute of Plant Protection, Agricultural Research, Education and Extension Organization (AREEO), Tehran, Iran e-mail: [sh.naeimi@areeo.ac.ir](mailto:sh.naeimi@areeo.ac.ir)

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# **1 Introduction**

So far, three English and one Chinese language reviews have been published about the clinical relevance of *Trichoderma* species, together summarizing the data of 59 reports, including 38 detailed case descriptions (Kredics et al. [2003,](#page-628-0) [2011;](#page-628-0) Hatvani et al. [2013;](#page-628-0) Zhang and Li [2019\)](#page-631-0). However, as the appearance of *Trichoderma* in clinical samples is usually considered as the result of contamination, *Trichoderma* species as the causal agents of human fungal infections are often disregarded, suggesting that the incidence of human mycoses due to *Trichoderma* is likely to have remained underestimated. Nevertheless, along with the rising number of immunocompromised patients and the widening knowledge about *Trichoderma* species, the incidence of confrmed human infections caused by members of this genus is growing permanently.

Zhang and Li ([2019\)](#page-631-0) used the term "trichodermasis" for *Trichoderma* infections; however, the linguistically correct term is "trichodermosis." To the best of our knowledge, this term has only been mentioned so far a single time in the medical literature, in relation with fungemia of a cystic fbrosis patient (Khan et al. [2001\)](#page-628-0). Here, we propose the usage of the term "trichodermosis" (plural: "trichodermoses") for all types of *Trichoderma* infections in humans. Trichodermoses may be transmitted by air, water, food, dust, soil, building materials, and medical devices, particularly catheters (Lübeck et al. [2000](#page-629-0); Colakoğlu [2003](#page-627-0); Hageskal et al. [2006;](#page-628-0) Hatvani et al. [2013](#page-628-0)). Trichodermosis is widely distributed in the world, and all age groups are affected (Fig. [1](#page-606-0)). In the decreasing order of frequency, *Trichoderma* may cause peritonitis; pulmonary infections; disseminated infections; heart infection; fungemia; sinusitis; skin, CNS, liver, and corneal infections; otitis; and stomatitis, while the predisposing conditions include peritoneal dialysis, hematological malignancies, organ transplantations, cardiac surgery, HIV infection, carcinoma, asthma, as well as intravenous transfusion, parenteral nutrition, soft contact lens wear, cataract, eye surgery, brain surgery, and pulmonary fbrosis (Fig. [2\)](#page-607-0). The presumed virulence factors of *Trichoderma* species with the potential to colonize human tissues include the capability of growing at elevated temperatures (Fig. [3\)](#page-608-0) and pH of the human body, the production of different extracellular proteolytic enzymes and different secondary metabolites – such as peptaibols – that disrupt mammalian cells (Antal et al. [2005](#page-626-0); Kredics et al. [2004;](#page-628-0) Hatvani et al. [2013;](#page-628-0) Marik et al. [2019\)](#page-629-0), and also their frequent resistance to multiple, routinely used antifungal substances

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**Fig. 1** Distribution of 65 trichodermosis cases among age groups (**A**) and countries (**B**). (Diagrams were corrected and updated from Zhang and Li [2019](#page-631-0) published for 38 cases)

(Kredics et al. [2011](#page-628-0)). The resistance to fuconazole, 5-fuorocytosine, and amphotericin B is widespread, but certain clinical *Trichoderma* isolates could also be characterized with high minimum inhibitory concentration (MIC) values of ketoconazole (Druzhinina et al. [2007](#page-627-0); Guarro et al. [1999;](#page-628-0) Kviliute et al. [2008](#page-629-0)), itraconazole (Antal et al. [2005;](#page-626-0) Guarro et al. [1999](#page-628-0); Hatvani et al. [2012;](#page-628-0) Hennequin et al. [2000](#page-628-0); Myoken et al. [2002\)](#page-629-0), posaconazole (Hatvani et al. [2012\)](#page-628-0), and voriconazole (Ranque et al.

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**Fig. 2** Clinical manifestation (**A**) and predisposing conditions (**B**) of trichodermosis based on 65 cases. (Diagrams were modifed and updated from Zhang and Li [2019](#page-631-0) published for 38 cases)

[2008\)](#page-630-0). Nevertheless, according to the published data, voriconazole can still be used effciently for treating severe, particularly disseminated trichodermoses (Alanio et al. [2008;](#page-626-0) Antal et al. [2002](#page-626-0); De Miguel et al. [2005;](#page-627-0) Druzhinina et al. [2007](#page-627-0); Espinel-Ingroff [2001](#page-627-0); Espinel-Ingroff et al. [2002](#page-627-0); Hatvani et al. [2012;](#page-628-0) Kantarcioğlu et al. [2009;](#page-628-0) Kratzer et al. [2006;](#page-628-0) Lagrange-Xélot et al. [2008](#page-629-0); Marco et al. [1998;](#page-629-0) Myoken et al. [2002\)](#page-629-0). At the same time, *Trichoderma* strains are exposed to various antifungal substances in agricultural environments, which – due to the similarities in the chemical structure of the compounds – may lead to the development of resistance to drugs used in the clinical practice (Hatvani et al. [2019](#page-628-0)). In the case of *T. longibrachiatum*, Paredes et al. [\(2016](#page-630-0)) found low virulence but high resistance to amphotericin B, micafungin, and voriconazole in an immunosuppressed mouse model: only

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**Fig. 3** Morphology of the *Trichoderma longibrachiatum* strain isolated from sinusitis sphenoidalis in an immunocompetent patient with headache (Molnár-Gábor et al. [2013](#page-629-0)). (**A**) Sabouraud agar at 25 °C; (**B**) minimal medium at 25 °C; (**C**) minimal medium at 37 °C; (**D**) yeast extract - glucose medium at 25 °C; (**E**) yeast extract - glucose medium at 27 °C; (**F**) hyphae of the strain with phialides and conidia

the highest inoculum concentration  $(10<sup>7</sup>$  CFU per animal) used for intravenous injection was able to kill all mice after 15 days, with the liver and spleen as the most affected organs.

This chapter is intended to present an inventory about *Trichoderma* species of clinical importance.

## **2 The Siblings** *T. longibrachiatum* **and** *T. orientale*

Within the genus *Trichoderma*, the sibling species *T. longibrachiatum* and *T. orientale* (originally described as *Hypocrea orientalis*) (Table [1](#page-609-0) and Fig. [4](#page-613-0)) are the most frequent to cause fatal trichodermosis in immunocompromised patients; their reliable and rapid diagnosis is therefore vital. However, the differential diagnosis of these two species is not possible based on their internal transcribed spacer (ITS) sequences alone; this should be complemented at least with sequence analysis of a fragment of the translation elongation factor 1α (*tef1*) gene.



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**Table 1** (continued)


B lipid complex, AMB amphotericin B, AVD anidulatungin, CSP caspofungin, ECZ econazole, FCZ fluconazole, ICZ itraconazole, IVZ isavuconazole, KCZ<br>ketoconazole, MCZ miconazole, NTM natamycin, TRB terbinafine, VCZ voriconazo B lipid complex, *AMB* amphotericin B, *AND* anidulafungin, *CSP* caspofungin, *ECZ* econazole, *FCZ* fuconazole, *ICZ* itraconazole, *IVZ* isavuconazole, *KCZ* ketoconazole, *MCZ* miconazole, *NTM* natamycin, *TRB* terbinafne, *VCZ* voriconazole

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**Fig. 4** Taxonomic position of *Trichoderma* species isolated from clinical specimens. The number of symbols refects the clinical signifcance of the particular species (one symbol, detected in clinical specimens; two symbols, identifed as the causal agent of infection). The Bayesian tree was inferred from the alignment of 808 nucleotides of the *rpb2* gene for 349 sequences retrieved from NCBI GenBank (Dou et al. [2020](#page-627-0)). Nodes supported with posterior probabilities above 0.94 are marked by black diamonds

# *2.1 Cases Caused by* **T. longibrachiatum/T. orientale** *Diagnosed by ITS Sequence Analysis*

A series of case reports were published in the literature about the ITS sequencebased diagnosis of *T. longibrachiatum* (meaning actually *T. longibrachiatum* or *T. orientale*) in opportunistic infections of mostly immunocompromised patients (Table [1\)](#page-609-0). Brain abscess developed in a patient with leukemia after the invasive progression of ethmoidal sinusitis, which was successfully medicated with extremely prolonged amphotericin B, itraconazole, ketoconazole, and 5-fuorocytosine therapy along with neurosurgery performed for the resection of the abscess (Seguin et al. [1995](#page-630-0)). An invasive skin infection occurred in a pediatric patient with severe aplastic anemia and neutropenia, which could be healed by the intravenous administration of amphotericin B (Munoz et al. [1997](#page-629-0)). The ear discharge of another pediatric patient with infammation of the right eardrum revealed *Trichoderma* in culture; the infection could be mended with a combination of local nystatin, polymyxin B, and oxytetracycline (Hennequin et al. [2000\)](#page-628-0). A case of stomatitis in a neutropenic patient with malignant lymphoma has rapidly disseminated from the oral mucosa to the lungs; the patient died despite the intensive antifungal therapy with amphotericin B and itraconazole (Myoken et al. [2002](#page-629-0)). In a liver transplant patient with hepatitis C virus-induced cirrhosis, a sample collected from the subcapsular area related to biopsy specimens of perilesional tissues and suture threads yielded pure fungal culture (Chouaki et al. [2002\)](#page-627-0). Full recovery could be achieved with concomitant surgical debridement and local povidone iodine treatment. The authors have also reported a fatal case of trichodermosis in a patient who underwent pulmonary transplantation because of terminal respiratory failure due to cystic fbrosis (Chouaki et al. [2002\)](#page-627-0). Transcutaneous tracheal puncture and bronchoalveolar lavage revealed cultures of *T. longibrachiatum*/*T. orientale*. A case of allergic fungal sinusitis in an asthmatic patient could be managed with a combination of oral corticosteroids, itraconazole, sinus lavage, and immunotherapy (Tang et al. [2003\)](#page-631-0). The invasive lung infection in a B cell acute lymphoblastic leukemia patient confrmed by culture-positive sputum, bronchoaspiration, and bronchoalveolar lavage fuid samples could be remedied with a caspofungin-voriconazole combination (Alanio et al. [2008](#page-626-0)). Blood culture from peripheral vein of an HIV patient with bronchopulmonary adenocarcinoma also yielded *T. longibrachiatum*/*T. orientale* (Lagrange-Xélot et al. [2008\)](#page-629-0). Trabelsi et al. ([2010\)](#page-631-0) described a case of cutaneous trichodermosis in a renal transplant recipient where the fungus could be isolated from skin biopsy and the fuid punctured of inguinal abscess. The patient could be healed with voriconazole. The treatment with caspofungin alone, followed by a combination of caspofungin, systemic and topical voriconazole, and intraperitoneal amphotericin B, remained unsuccessful in the case of a postoperative mediastinitis and peritonitis in a child with functional asplenia and complex congenital cardiac disease (Santillan Salas et al. [2011\)](#page-630-0). Trichodermosis caused by *T. longibrachiatum/T. orientale* was diagnosed in a man with endocarditis acquired via indwelling device (Rodríguez Peralta et al. [2013](#page-630-0)). He received parenteral nutrition at home because of short bowel syndrome. Antibiotic treatment combined with catheter removal by conventional surgery resulted in full recovery. The fungus could be isolated from the surgical specimen. A case of cardiac implantable electronic device (CIED)-associated endocarditis successfully treated with voriconazole and liposomal amphotericin B was reported in a non-immunocompromised patient, who underwent the implantation of a cardioverter defbrillator (Tascini et al. [2016\)](#page-631-0). A case of peritonitis reported by Guo et al. [\(2017](#page-628-0)) from a CAPD patient with chronic nephritis could be successfully cured with voriconazole and amphotericin B. Invasive pulmonary infection was diagnosed by Akagi et al. ([2017\)](#page-626-0) in an allogeneic stem cell transplant recipient with severe aplastic anemia. Direct microscopic examination of sputum, bronchoaspiration, and bronchoalveolar lavage samples revealed septate fungal hyphae. The infection was effectively managed with 1 mg/ kg/day liposomal amphotericin B. The culture of the biopsy after pericardiectomy

of an immunocompromised lung transplant recipient also yielded *T. longibrachiatum*/*T. orientale* (Recio et al. [2019\)](#page-630-0). Matrix-assisted laser desorption/ionization time of fight mass spectrometry (MALDI-TOF) and subsequent ITS sequence analysis were used for the identifcation of the causal agent. Despite the initial anidulafungin and subsequent isavuconazole therapy, the patient died after progressive clinical deterioration. Laser capture microdissection (LCM) and polymerase chain reaction (PCR) were used by Zhou et al. [\(2020](#page-631-0)) to identify *T. longibrachiatum*/*T. orientale* as the causal agent of invasive pulmonary trichodermosis in a pulmonary spindle cell carcinoma patient with a mass at the left hilum revealed by computed tomography (CT) scan. The patient was successfully cured with oral voriconazole for 4 months. The authors suggested that the LCM-based technology might be a promising diagnostic tool for fungal infection.

Further known clinical isolates of *T. longibrachiatum/T. orientale* are IP-92 0647 from an acute lymphoblastic leukemia patient, IP-94 0958 from a lung transplant recipient, IP-96 0086 from a hematic wound, IP-93 1282 from the bronchoalveolar lavage of a bone marrow recipient, IP-94 1510 from maxillary sinusitis, and IP-97 0711 from the liquid of a chylothorax (Kuhls et al. [1999](#page-628-0)).

# *2.2 Cases Caused by* **T. longibrachiatum** *Confrmed by* **tef1** *Sequence Analysis*

Among the *T. longibrachiatum* cases retrospectively confrmed by *tef1* sequence analysis (Druzhinina et al. [2008\)](#page-627-0) (Table [1](#page-609-0) and Fig. [4\)](#page-613-0), a strain had caused fatal trichodermosis disseminated to the brain, heart, lungs, pretracheal abscesses, and stomach of an allogeneic bone marrow transplant recipient with erythroleukemia (Gautheret et al. [1995](#page-627-0)). In another fatal case in a patient who received allogeneic bone marrow transplant for acute lymphoblastic leukemia, the fungus could be isolated from stool surveillance cultures and a perirectal ulcer biopsy specimen, suggesting a possible entry through the gastrointestinal tract (Richter et al. [1999\)](#page-630-0). The species could also be associated with a fatal case of peritonitis in a patient with continuous ambulatory peritoneal dialysis (CAPD) (Campos-Herrero et al. [1996;](#page-627-0) Druzhinina et al. [2008](#page-627-0)). Molnár-Gábor et al. ([2013\)](#page-629-0) isolated *T. longibrachiatum* (Fig. [3](#page-608-0)) from the secretion obtained from the sphenoidal sinus of a nonimmunocompromised rhinosinusitis patient, who was successfully cured by amphotericin B and the surgical removal of the fungal mass. A case of invasive pulmonary trichodermosis was reported by Sautour et al. ([2018\)](#page-630-0) in a leukemia patient, who fully recovered after antifungal therapy with voriconazole and caspofungin. The fungus could also be isolated from the ear discharge of a non-immunocompromised patient with otitis externa cured with terbinafne, and from the corneal infltrate of a diabetic patient with keratitis in the left eye medicated with voriconazole, natamycin, and therapeutic keratoplasty (Hatvani et al. [2019](#page-628-0)). The same study also reported a fatal case of aortic valve infection by *T. longibrachiatum* treated with voriconazole and a pacemaker sac infection remedied by the extraction of the device (Hatvani

et al. [2019\)](#page-628-0). A lung colonization and skin infection by *T. longibrachiatum* was reported in a ventilatory-supported pediatric patient who underwent allogeneic hematopoietic stem cell transplantation due to bone marrow failure, and died to multiple complications of her underlying disease (Román-Soto et al. [2019\)](#page-630-0). The authors suggested the direct contact with the ventilation tube continuously disconnected by the patient as a possible route of skin entry.

Further occurrence of *T. longibrachiatum* in clinical specimens confrmed by *tef1* sequence analysis includes the isolation of strains ATCC 208859 from an HIVpositive host, UAMH 9515 from the peritoneal effuent of a female, CBS 446.95 from the lung of a patient, who died, CNM-CM 1798 from the blood culture of a liver transplant recipient, CNM-CM 2171 from the subcutaneous foot skin lesions of a premature infant, CNM-CM 2277 from the sputum of a tuberculosis patient (Druzhinina et al. [2008](#page-627-0)), most recently a strain from the blood culture of a COVID-19 patient (Dóczi et al. unpublished), as well as further isolates from human blood, bronchoalveolar lavage, plural fuid, cerebrospinal fuid, peritoneal fuid, sputum, lung tissue, sinuses, nails, ear, foot, bone, mediastinal mass, and vertebral body (Sandoval-Denis et al. [2014](#page-630-0)).

# *2.3 Cases Caused by* **T. orientale** *Confrmed by* **tef1** *Sequence Analysis*

The species *T. orientale* (Table [1](#page-609-0) and Fig. [4](#page-613-0)) could be isolated from the stool of a 15-year-old child with non-Hodgkin lymphoma and the hemoculture of a 3-year-old child with acute lymphoblastic leukemia (Kredics et al. [2006\)](#page-628-0). Both were patients of the same pediatrics clinic suggesting a possible epidemiological connection. The occurrence of *T. orientale* has also been reported from further blood samples as well as human sputum, sinus, arm, bronchoalveolar lavage, peritoneal fuid, and vascular prosthesis (Sandoval-Denis et al. [2014\)](#page-630-0).

# *2.4 Cases Putatively Caused by* **T. longibrachiatum** *Unconfrmed by Molecular Identifcation*

The species *T. longibrachiatum* has also been reported from further trichodermoses, but without molecular confrmation (Table [2](#page-617-0)). Tanis et al. [\(1995](#page-631-0)) and Aroca et al. [\(2004](#page-626-0)) described fatal cases of peritonitis in peritoneal dialysis patients. The peritonitis and intra-abdominal abscess of another patient was successfully treated with antifungal agents, catheter removal, and appropriate drainage (Lee et al. [2007\)](#page-629-0), while along with *Candida tropicalis*, *T. longibrachiatum* was diagnosed by MALDI-TOF MS as one of the causal agents in a mixed case of CAPD peritonitis successfully treated with voriconazole and amphotericin B (Yang et al. [2019\)](#page-631-0). Surgical debridement and amphotericin B followed by oral itraconazole proved successful

<span id="page-617-0"></span>

**Table 2** Clinical cases of trichodermosis without sequence-based molecular identification of the causal agent **Table 2** Clinical cases of trichodermosis without sequence-based molecular identifcation of the causal agent





APD automated peritoneal dialysis, CAPD chronic ambulatory peritoneal dialysis, PD peritoneal dialysis, TX transplant, HM hematological malignancy, ND no data available, SFC 5-fluorocytosine, ABLC amphotericin B lipid comp no data available, *5FC* 5-fuorocytosine, *ABLC* amphotericin B lipid complex, *AMB* amphotericin B, *CSP* caspofungin, *FCZ* fuconazole, *ICZ* itraconazole, *APD* automated peritoneal dialysis, *CAPD* chronic ambulatory peritoneal dialysis, *PD* peritoneal dialysis, *TX* transplant, *HM* hematological malignancy, *ND* KCZ ketoconazole, MCZ miconazole, NTM natamycin, VCZ voriconazole *KCZ* ketoconazole, *MCZ* miconazole, *NTM* natamycin, *VCZ* voriconazole

**Table 2** (continued)

for the treatment of acute invasive sinusitis in a patient who underwent small bowel and liver transplantation (Furukawa et al. [1998\)](#page-627-0). An additional keratitis case caused by *T. longibrachiatum* is mentioned by He et al. ([2016\)](#page-628-0), while the FungiScope database (Seidel et al. [2017\)](#page-630-0) contains further information about the isolation of *T. longibrachiatum* from the blood of a patient with neuroblastoma and neutropenia, who was efficiently treated with liposomal amphotericin B, from the left ear of a chronic otitis patient treated with terbinafne, from the central nervous system of a patient who died, as well as from the lungs of an acute lymphoblastic leukemia patient who died in spite of voriconazole, amphotericin B lipid complex, and caspofungin therapy [\(http://www.fungiquest.net](http://www.fungiquest.net)).

# *2.5 Characterization of Clinical* **T. longibrachiatum** *and* **T. orientale** *Isolates*

The species *T. longibrachiatum* from the clade *Longibrachiatum* of the genus was suggested to be the possible anamorph of *Hypocrea orientalis* (recently accepted name: *T. orientale*) by Samuels et al. [\(1998](#page-630-0)) based on isoenzyme data and ITS1 sequence analysis. A comparative population genetics study was performed by Druzhinina et al. ([2008\)](#page-627-0) on *T. longibrachiatum* and *T. orientale* isolates derived from clinical specimens as well as fungal cultivation substrata and soil samples. In addition to the *tef1* marker, fragments of the calmodulin (*cal1*) and endochitinase (*chit18-5*) genes were also examined. The analyses separated *T. longibrachiatum* and *T. orientale* from each other in terms of reproduction, revealing that in contrast to previous views (Samuels et al. [1998](#page-630-0)), the two species are not in a teleomorphanamorph relation with each other. The species *T. longibrachiatum* is a widespread but less frequent component of soil *Trichoderma* communities, in contrast to closed habitats, e.g., water-damaged buildings (Thrane et al. [2001](#page-631-0)) or mushroom-growing houses (see Chapter 21). As a result of population genetic studies, it has been concluded that while *T. longibrachiatum* is a strictly clonal imperfect species, the genetically closely related *T. orientale* is characterized by a reproductive strategy based on sexual recombination (Druzhinina et al. [2008\)](#page-627-0). Sandoval-Denis et al. [\(2014](#page-630-0)) separated *T. bissettii* from *T. longibrachiatum* as a new species with frequent clinical implications; however, recently, Hatvani et al. [\(2019](#page-628-0)) proposed its placement to the rank of "phylotype" as *T. longibrachiatum* f. sp. *bissettii* nom. prov.

Isoenzyme analysis based on cellulose acetate electrophoresis used to identify strains isolated from the wheat rhizosphere may also be suitable for the rapid identifcation of the species *T. longibrachiatum* and *T. orientale*. Seven enzyme activities analyzed by cellulose acetate electrophoresis – glucose-6-phosphate dehydrogenase, glucose-6-phosphate isomerase, 6-phosphogluconate dehydrogenase, peptidases A, B, and C, and phosphoglucomutase – proved to be applicable for the rapid differentiation between clinical *T. longibrachiatum* and *T. orientale* strains (Szekeres et al. [2006\)](#page-631-0). The enzymes used were found to be polymorphic in the study population. Using enzyme patterns, the authors were able to identify ten electrophoretic types, which divided the examined *T. longibrachiatum* isolates into four distinct groups on the prepared dendrogram and clearly separated them from *T. orientale* strains (Szekeres et al. [2006](#page-631-0)).

Based on the differences in the restriction fragment length polymorphism (RFLP) patterns of the mitochondrial DNA (mtDNA) generated from *T. longibrachiatum* and *T. orientale* strains isolated from both clinical and soil samples, clinical strains were classifed into fve, while soil strains into four mtDNA types (Antal et al. [2006\)](#page-626-0). Although fragments of the same size were observed in samples from clinical and soil-derived strains, identical patterns were not found. Based on these results, the isolates can be characterized by a high degree of polymorphism also within *T. longibrachiatum* and *T. orientale* at the mtDNA level. Based on the sizes of the obtained fragments, the mtDNA of clinical and saprophytic *T. longibrachiatum* and *T. orientale* strains ranges from 34.9 to 39.5 kbp (Antal et al. [2006\)](#page-626-0). RFLP analysis of mtDNA resulted in better resolution than ITS sequence analysis, and the observed patterns allowed the separation of three *T. longibrachiatum* and one *T. orientale* groups on the dendrogram.

Sequence analysis of the ITS, *tef1*, *chit18-5*, and *cal1* genes, mtDNA RFLP, the examination of carbon source utilization profles, and isoenzyme analysis did not separate clinical *T. longibrachiatum* isolates from those deriving from environmental samples. Therefore, while certain fungi of clinical signifcance, e.g., *Exophiala dermatitidis*, can be divided into pathogenic and nonpathogenic subpopulations (Matos et al. [2003](#page-629-0)), the existence of such subpopulations in *T. longibrachiatum* has not been shown, suggesting that among preferred conditions, each *T. longibrachiatum* strain might be able to cause trichodermosis.

# **3** *Trichoderma citrinoviride*

Molecularly confrmed strains of the species *T. citrinoviride* (Fig. [4](#page-613-0) and Table [1](#page-609-0)) were reported from the stool of a patient with gastrointestinal symptoms (Hatvani et al. [2012\)](#page-628-0), from a peritoneal catheter tip (UAMH 9573; Antal et al. [2006\)](#page-626-0), from the blood culture of a patient with lymphoma-associated aplasia (IP-95 1151; Kuhls et al. [1999\)](#page-628-0), from a cerebrospinal derivative catheter (IP-93 1792; Kuhls et al., [1999\)](#page-628-0), from a chronic bronchitis patient with fever and respiratory infection (CNM-CM 1792; Kredics et al., unpublished), as well as from further blood samples, human bronchoalveolar lavage, sputum, ascitic fuid, pleural fuid, lung, eye, toenail, and abdominal wound (Sandoval-Denis et al., [2014\)](#page-630-0). In contrast with the above examples, no details of identifcation were provided in a case of pneumonia of a patient with acute myeloid leukemia, where *T. citrinoviride* was reported from bronchoalveolar lavage and the infection was successfully treated with amphotericin B (Kviliute et al. [2008](#page-629-0); Table [2\)](#page-617-0), as well as in a case of the isolation of this species from the liver and lungs of a transplant recipient with diabetes and chronic liver disease ([http://www.fungiquest.net\)](http://www.fungiquest.net).

# **4 Species from Other Clades of the Genus** *Trichoderma* **Confrmed by Molecular Identifcation**

# *4.1 The* **Harzianum** *Clade*

A systemic *T. harzianum* infection was diagnosed by Guarro et al. [\(1999](#page-628-0)); the fungus was isolated postmortem during the necropsy study from mycotic brain lesions and lung tissue microabscesses, initially identifed based on morphological features, and subsequently confrmed by ITS sequence analysis as a member of the *Harzianum* clade (Kredics et al. [2003;](#page-628-0) Szekeres et al. [2006](#page-631-0)), while based on *tef1* the strain seems to be closely related to *T. lentiforme*, which, however, needs further confrmation (Kredics et al. unpublished). Another fatal infection due to a *Harzianum* clade member was reported in an acute lymphoblastic leukemia patient (Kantarcioğlu et al. [2009](#page-628-0)); the fungus was recovered from serum, skin lesions, sputum, and the throat of the patient and identifed by ITS sequence analysis. Further confrmed clinical isolates from the *Harzianum* clade include a strain from the lungs of an acute lymphoblastic leukemia and neutropenia patient who was successfully treated with amphotericin B lipid complex and voriconazole [\(http://www.fungiquest.net\)](http://www.fungiquest.net), as well as *T. harzianum* from human blood (UTHSC 07-2109) and stool (UTHSC 11-3234), *T. simmonsii* from human maxillary sinus (UTHSC 02-2663) and human sputum (UTHSC 05-2749), and the representatives of two putative yet undescribed new species closely related to *T. lixii* (UTHSC 10-1527 from human bronchoalveolar lavage) and *T. rifaii* (UTHSC 09-3558 from human cornea) (Fig. [4\)](#page-613-0) (Sandoval-Denis et al. [2014\)](#page-630-0).

Additional cases attributed to *T. harzianum* – but without any sequence-based molecular confrmation – were a fungal peritonitis in a peritoneal dialysis patient, who died in spite of oral ketoconazole and intraperitoneal 5-fuorocytosine treatment (Guiserix et al. [1996](#page-628-0)), as well as the detection of the fungus in the blood culture of an 8-year-old female cystic fbrosis patient with allergic bronchopulmonary infection (Khan et al. [2001](#page-628-0)) (Table [2\)](#page-617-0).

# *4.2 Further Confrmed But Very Rarely Occurring*  **Trichoderma** *Species*

The postmortem isolation of *T. atroviride* (Table [1](#page-609-0) and Fig. [4\)](#page-613-0) was reported from a liver biopsy specimen of a patient who underwent liver transplantation for the treatment of hepatocellular carcinoma in the context of alcoholic cirrhosis (Ranque et al. [2008\)](#page-630-0). The initial morphology-based identifcation has been confrmed by ITS sequence analysis. This species was also detected in a clinical sample from human lung mass (Sandoval-Denis et al. [2014\)](#page-630-0) and in the paranasal sinuses of a patient who underwent functional endoscopic sinus surgery (<http://www.fungiquest.net>).

Cardoso et al. [\(2015](#page-627-0)) reported a case of rhinosinusitis by *T. asperellum* (Table [1](#page-609-0) and Fig. [4](#page-613-0)) in a 44-year-old female patient from Brazil with asthma, chronic allergic rhinitis, and sinonasal polyps. The fungus was isolated from the secretion of ethmoid and sphenoid sinuses. The patient was treated with polypectomy, ethmoidectomy, and sphenoidectomy; however, identifcation details were not provided. *T. asperellum* is also known from human sputum, while the closely related *T. asperelloides* (Fig. [4\)](#page-613-0) has been detected in a clinical specimen from human nails (Sandoval-Denis et al. [2014](#page-630-0)).

A *Trichoderma* species with *Hypocrea*-like teleomorph morphology was isolated from the lung of a nonfatal pulmonary fbrosis case (Druzhinina et al. [2007](#page-627-0)) and later identifed as *T. peltatum* (originally described as *Hypocrea peltata*) (Table [1](#page-609-0) and Fig. [4](#page-613-0)) (Samuels and Ismaiel [2011\)](#page-630-0). Clinical and mycological studies could not reveal whether the isolate was the causal agent of – or just contributed to – the disease development.

Further *Trichoderma* species confrmed to occur in human clinical specimens by sequence-based molecular identifcation are *T. erinaceum* from human nails, *T. gamsii* from human sputum, *T. koningiopsis* from human nails and bronchoalveolar lavage, as well as *T. sinuosum* from human skin (Sandoval-Denis et al. [2014](#page-630-0); Fig. [4\)](#page-613-0).

# **5 Species Reported as Causal Agents of Human Infections Without Molecular Confrmation**

## *5.1* **T. viride**

The diagnosis of *T. viride* (Table [2](#page-617-0) and Fig. [4](#page-613-0)) in the case of several trichodermoses may be due to the fact that the name *T. viride* had been applied for a long time to all *Trichoderma* strains with round-shaped, roughened conidia, which, however, may belong to phylogenetically diverse species. The species *T. viride* was later redefned and separated from *T. asperellum* (Lieckfeldt et al. [1999\)](#page-629-0). The frst documented case of trichodermosis was the accidental infection of a 26-year-old immunocompetent patient with *T. viride* by contaminated intravenous infusion due to a cracked bottle (Robertson, [1970](#page-630-0)), which was successfully treated with amphotericin B. The infection of a patient with pulmonary mycetoma due to *T. viride* cured with surgical resection was reported by Escudero et al. [\(1976](#page-627-0)); sputum and lung biopsy samples revealed cultures of the fungus. Two patients on CAPD had peritonitis due to *T. viride* and died in spite of amphotericin B therapy (Loeppky et al. [1983;](#page-629-0) Warnock and Johnson [1991](#page-631-0)). An immunocompromised liver transplant recipient was reported to suffer from the *T. viride* infection of a perihepatic hematoma (Jacobs et al. [1992](#page-628-0)); despite surgical removal of the infected hematoma and amphotericin B treatment, the fungus could persist in the patient, who died of unrelated complications. Summerbell ([2003\)](#page-631-0) has already pointed out that the morphological descriptions in the case reports of Escudero et al. [\(1976](#page-627-0)), Loeppky et al. [\(1983](#page-629-0)), and Jacobs et al. [\(1992](#page-628-0)) had not supported the diagnosis of the case isolates as *T. viride*. De Miguel et al. [\(2005](#page-627-0)) isolated *T. viride* from the pulmonary aspirate of an adult patient with acute myeloid leukemia suffering from pulmonary infection. The initially administered liposomal amphotericin B proved unsuccessful; therefore, the therapy was switched to voriconazole and caspofungin, which resulted in recovery. The isolation of *T. viride* was also reported from the maxillary sinus secretion of a rhinosinusitis patient with Kaposi's sarcoma, HIV and CMV infection, as well as pansinusitis, who died in spite of itraconazole and amphotericin B therapy (Cardoso et al. [2015\)](#page-627-0). A *T. viride* keratitis case is mentioned in Chouaki et al. [\(2002](#page-627-0)), while further two *T. viride* strains were isolated from the nasal mucus of chronic rhinosinusitis patients; however, along with a large number of other fungi (Braun et al. [2003\)](#page-627-0), thus, in these cases, the responsibility of *Trichoderma* for the eosinophilic reaction could not be proven.

# *5.2 Other Species*

Cases of human infections due to *T. pseudokoningii* (Table [2](#page-617-0) and Fig. [4](#page-613-0)) reported in the literature but not confrmed by sequence-based molecular identifcation include an isolate deriving from peritonitis (Rota et al. [2000;](#page-630-0) Table [2\)](#page-617-0) and a fatal infection in a bone marrow transplant recipient (Gautheret et al. [1995](#page-627-0)). The causal agent of the latter case has later been reidentifed as *T. longibrachiatum* by ITS and *tef1* sequence analyses (Kuhls et al., [1999;](#page-628-0) Druzhinina et al., [2008](#page-627-0)). The isolation of a further unconfrmed strain of this species (CCFC 007754) is known from a liver and bowel transplant recipient based on culture collection data (Kredics et al., [2003\)](#page-628-0). The clinical relevance of *T. koningii* (Fig. [4\)](#page-613-0) – a species neotypifed by Lieckfeldt et al. ([1998\)](#page-629-0) – could not be confrmed yet, as the two fungal peritonitis isolates originally identifed as *T. koningii* based on their morphological characteristics (Ragnaud et al., [1984](#page-630-0); Campos-Herrero et al., [1996\)](#page-627-0) were later reidentifed as *T. longibrachiatum* (Kuhls et al., [1999](#page-628-0); Szekeres et al., [2006](#page-631-0)). *T*. *hamatum* is mentioned in a study as the causal agent of fungal keratitis (Gharamah et al. [2021\)](#page-628-0). The cerebrospinal fuid and shunt device of a 61-year-old non-immunocompromised male patient who had received two cerebrospinal fuid shunt placements for congenital hydrocephalus revealed *T. reesei* (Table [2](#page-617-0) and Fig. [4\)](#page-613-0) as an infectious complication, which was treated with amphotericin B, caspofungin, and voriconazole (Piens et al. [2004\)](#page-630-0). Identifcation details were not provided.

# **6 Cases Reported at the Genus Level Only**

A series of case reports are also available where the causal agent was reported only as *Trichoderma* sp. (Table [2](#page-617-0)). Further patients on CAPD with fungal peritonitis were successfully (Bren [1998;](#page-627-0) Ning and Yang [2020\)](#page-629-0) or unsuccessfully (Esel et al. [2003;](#page-627-0) Bachu et al. [2020](#page-626-0)) medicated with antifungals and catheter removal. Fungal endocarditis due to *Trichoderma* sp. was reported in a 66-year-old man with hypertension and ascending aortic replacement (Bustamante-Labarta et al. [2000\)](#page-627-0). Paula-Amato et al. [\(2002](#page-630-0)) isolated *Trichoderma* sp. from samples taken from subdural area of a 50-year-old AIDS patient, who was cured with liposomal amphotericin B. The *Trichoderma* fungemia with pulmonary involvement diagnosed in a severely immunocompromised multiple myeloma patient, who underwent autologous hematopoietic cell transplantation, could be cured with voriconazole (Festuccia et al. [2014\)](#page-627-0). *Trichoderma* sp. has also been isolated from the urine of a diabetic male patient with underlying chronic alcoholic liver disease and hepatorenal syndrome type 2 (Chakraborty et al. [2015](#page-627-0)). In a 51-year-old man with acute myeloid leukemia, Dong et al. [\(2019](#page-627-0)) reported a case of invasive pulmonary fungal infection, which was successfully treated with caspofungin and surgery.

Mixed infections involving *Trichoderma* are also known from the literature. A *Trichoderma* sp. and *Absidia corymbifera* infection disseminated to the gastrointestinal tract, liver, kidneys, lung, heart, and skin was diagnosed postmortem in a liver transplant recipient with generalized exanthema, esophageal ulcers, and diarrhea (Stelzmueller et al. [2008](#page-631-0)). In another fatal case of mixed infection, the co-occurrence of *Trichoderma* sp. with *Aspergillus* sp. was detected in the bronchoalveolar lavage of a 64-year-old female patient with an abdominal sepsis 6 days after a laparotomy and a hyperthermic intraperitoneal chemotherapy (Ariese et al. [2013\)](#page-626-0). *Trichoderma* fungal pneumonia in addition to *Acinetobacter* pneumonia was diagnosed in a 50-year-old female with past medical history of COPD, pneumonia with sepsis, drug withdrawal with seizures, drug overdose requiring intubation, and an allergy history to multiple antibiotics (vancomycin, penicillin, loracarbef, erythromycin, cefxime, clindamycin, and amoxicillin) (Morrell [2017](#page-629-0)). The treatment with meropenem and voriconazole resulted in recovery. A simultaneous keratitis by *Trichoderma* sp. and *Staphylococcus aureus* was described from the left eye of a 41-year-old man after laser in situ keratomileusis and healed with topical antibacterial and antifungal agents (Mergen et al. [2019](#page-629-0)), while Hodkin and Gustus [\(2018](#page-628-0)) reported about mixed keratitis caused by *Trichoderma* and *Penicillium* in a 50-yearold soft contact lens user, which was effectively treated with natamycin. Further keratitis cases caused by *Trichoderma* sp. mentioned but not detailed in the literature include 14 cases from China (Wang et al. [2009](#page-631-0)), two cases from India (Venugopal et al. [1989](#page-631-0); Sharma et al. [2015\)](#page-631-0), as well as single cases from the USA (Ritterband et al. [2006\)](#page-630-0) and Malaysia (Mohd-Tahir et al. [2012\)](#page-629-0).

# **7 Conclusions**

A high proportion of strains isolated from clinical specimens belong to the *Longibrachiatum* clade of the genus, primarily to *T. longibrachiatum*, while trichodermosis cases have not been attributed to the vast majority of the *Trichoderma* species described so far. Several reports about the occurrence of species belonging to *Trichoderma* sections other than *Longibrachiatum* were inaccurate in many cases due <span id="page-626-0"></span>to diffculties of morphological identifcation. Accordingly, *T. longibrachiatum* deserves an increasing attention in the clinical practice as a potential opportunistic pathogen.

Zhang et al. ([2019\)](#page-631-0) documented a *T. longibrachiatum* strain to promote the growth of wheat and act as a biocontrol agent under conditions of salinity stress. In addition, attempts are being made towards the application of this species in agricultural systems in areas with tropical climate, taking the advantage of its tolerance to high temperature values. As *T. longibrachiatum* is the primary causal agent of trichodermoses within the genus, planning the agricultural use of *T. longibrachiatum* strains for biocontrol purposes (Migheli et al. [1998;](#page-629-0) Sánchez et al. [2007](#page-630-0)) or their biotechnological exploitation (Sidhu and Sandhu [1980](#page-631-0)) should be rather abandoned or at least performed with special precaution.

It must also be mentioned that although they are not common, thermotolerant strains can be found also in *Trichoderma* clades other than *Longibrachiatum*. To have the potential to colonize human tissues and cause deep infection, a fungus needs to be able to grow at 37 °C. Thus, to prevent jeopardizing human health, the agricultural application of *Trichoderma* isolates showing considerable growth at 37 °C – particularly those belonging to the *Longibrachiatum* clade – is contraindicated. However, the use of certain bioactive metabolites such as peptaibols (Marik et al. [2019\)](#page-629-0) or enzymes (Urbina-Salazar et al. [2019](#page-631-0)) of the desired strains may represent an alternative solution.

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