3 Genetics of Leprosy

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3.1 Introduction

Leprosy, like other infectious diseases, was widely accepted as hereditary in the pre-microbiological era. The revolutionary fnding of a microorganism—originally named *Bacillus leprae*—in lesions of leprosy-affected individuals led Gerhard H. Armauer Hansen to fercely refute the belief that leprosy was inherited. Today, scientists have clearly shown that exposure to *M. leprae* is necessary but not sufficient to explain leprosy occurrence, and several genes and genomic regions have been implicated in the complex genetic mechanism controlling host susceptibility to leprosy at different stages of the disease (Fig. [3.1](#page-1-0)).

3.2 Genetics of *M. leprae* **and the Origins of Leprosy**

The complete sequence of the *M. leprae* genome was frst published in the early 2000s. Compared to *M. tuberculosis*, the *M. leprae* genome shows strong reductive evolution as the bacteria specialized as an obligatory intracellular parasite in humans [\[1](#page-7-0)]. Since then, whole genome analysis has provided insights about several aspects of leprosy, including the history of the disease. For example, in 2018, genome sequences of ten *M. leprae* DNA samples obtained from the remains of medieval Europeans produced a snapshot of the last 1500 years of leprosy history in the European continent. *M. leprae* from four distinct phylogenetic branches were found

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Fig. 3.1 Schematic representation of the clinical classifcation spectrum of leprosy. *TT* tuberculoid-tuberculoid, *BT* borderline-tuberculoid, *BB* borderline-borderline, *BL* borderlinelepromatous, *LL* lepromatous-lepromatous, *I* indeterminate, *PB* paucibacillary, *MB* multibacillary, *MDT* multidrug therapy, *T1R* type-1 reaction, *T2R* type-2 reaction

among the ten samples, some matching modern strains from different locations around the world. This study highlights the diversity of *M. leprae* strains in medieval Europe, and the authors proposed new models for leprosy dissemination: (1) the introduction of strains from different parts of the world into Europe, which may have happened before the medieval era, or (2) the onset of leprosy occurred in Western Eurasia or in Europe, and not in western Africa, as previously proposed [[2\]](#page-7-1).

Regarding leprosy pathogenesis, bacterial genomics also identifed a novel mycobacterial species named *M. lepromatosis* [[3\]](#page-7-2), a rare mycobacterium that apparently causes a distinct form of leprosy and is mainly found in Central America [[4\]](#page-7-3).

Comparative analysis of *M. leprae* isolates from different parts of the world confrmed the conserved nature of its genome. The low variability of the *M. leprae* genome suggests that the wide variety of responses observed upon exposure to the pathogen is largely controlled by host genetic factors. The hypothesis has been reinforced by observations such as familial aggregation of cases, a higher concordance rate of leprosy phenotypes in monozygotic as compared to dizygotic twin pairs [[5\]](#page-7-4), and the presence of a strong major gene effect controlling leprosy, as demonstrated by complex segregation analysis [[6\]](#page-7-5). Although powerful to detect the existence of a genetic component controlling a specifc trait, these observational studies do not provide any information about the identity of the genes or the nature of the genetic variants underlying the identifed effect; for that, molecular studies are necessary.

3.3 Leprosy Genes and Genomic *Loci*

Genetic epidemiology approaches have successfully identifed genes and genetic variants impacting upon susceptibility to infectious diseases, including leprosy [[7\]](#page-7-6). The molecular nature of the genetic component controlling host susceptibility to leprosy has been intensively investigated by candidate gene studies, genome-wide linkage or association searches, and, more recently, genome/exome/target DNA sequencing approaches. A brief description of selected genetic fndings in leprosy is presented next.

3.3.1 Major Histocompatibility Complex (MHC) Genes

In leprosy, clinical manifestation of disease depends on the Th1/Th2 balance that is partially controlled by antigen-presentation and cell-cell interactions via MHC genes. The MHC locus located in chromosome 6p21.32-p22.2 harbors the three classes of the human leukocyte antigens (HLA), which include genes that are key mediators of host immune responses. In fact, the frst genetic risk factors described for leprosy susceptibility were variants of the MHC complex.

Perhaps the most well-known genetic association with leprosy are alleles of the *HLA-DRB1* gene (rev. in [\[8](#page-7-7)]). Variants of *HLA-DRB1* were associated with resistance or susceptibility to leprosy in samples from Brazil, Vietnam [[9\]](#page-7-8), and China [\[10](#page-7-9)], and the markers near the *HLA-DRB1* locus were the most significant association signal identifed in the frst genome-wide association (GWA) study in leprosy [\[11](#page-8-0)]. A case-control analysis in a New Delhi sample observed consistent association between leprosy and variations of *HLA-DRB1* and *HLA-DQA1*, another welldescribed HLA class II leprosy susceptibility locus [[12\]](#page-8-1).

HLA class I (A, B, and C) has been also intensively studied in leprosy, and HLA-A*2, A*11, B*40, and C*w**7 are some examples of alleles detected more often among leprosy cases as compared to non-affected controls [\[13](#page-8-2)]. Class I HLA molecules interact with killer cell immunoglobulin-like receptors (KIR); in a south Brazilian cohort, *KIR* alleles were associated with tuberculoid leprosy [\[14](#page-8-3)]. Of note, the HLA-B*13:01 allele was shown associated with dapsone hypersensitivity syndrome [[15\]](#page-8-4), an observation that highlights the importance of HLA genes in the control of drug toxicity during treatment and opens the road for pharmacogenomics in leprosy.

Investigation of a cohort of 22 Vietnamese multiplex leprosy families resulted in evidence of linkage between leprosy type and two microsatellite markers of the TNF- α gene (*TNFA*) located in the HLA class III region [[16\]](#page-8-5). This finding is in agreement with evidences of association between promoter polymorphisms of *TNFA* and clinical manifestation of leprosy (rev. in [\[8](#page-7-7)]). A study demonstrated that a functional single nucleotide polymorphism (SNP) located at base pair +80 of the *LTA* gene, located immediately upstream *TNFA*, is associated with early-onset leprosy [\[17](#page-8-6)]. Finally, variants of additional HLA-linked genes, such as *TAP*, *MICA* [\[18](#page-8-7)], and *MICB* have also been described in association with leprosy phenotypes in different populations, the latter two recently replicated in the New Delhi population sample [\[12](#page-8-1)].

Nowadays, the challenge is to dissect the exact nature underlying HLA association with leprosy. The MHC/HLA locus is a highly polymorphic gene-rich region presenting long-range linkage disequilibrium (i.e., cross-association between

alleles). The complexity of the MHC/HLA locus makes pinpointing the actual causative variant very diffcult; yet, a few studies tackled this challenge. A fne mapping of the HLA complex in the Vietnamese and Indian population narrowed the association to two intergenic SNPs close to *HLA-C* in the HLA class I region [\[19](#page-8-8)]. Two studies in 2020 investigated in depth the HLA complex. In the frst, a family-based GWAS identifed three independent signals, two in the HLA class I region and one in HLA class 2 close to *HLA-DQA1* [\[20](#page-8-9)]. The second applied deep sequencing to study 11 HLA class I and II genes at the amino acid level. The authors identifed haplotypes of *HLA-DRB1*, *HLA-DQA1*, *HLA-DRB3*, *HLA-B*, and *HLA-C* alleles associated with susceptibility or protection against leprosy. Furthermore, the authors were able to narrow down the association to four independent amino acids (i.e., HLA-DRβ1 57D and 13F, HLA-B 63E and HLA-A 19K), a major advance toward the understanding of the complex pattern of association of HLA genes with leprosy [[21\]](#page-8-10).

3.3.2 Non-HLA Genes

To date, numerous non-HLA variants of different genes have been described as leprosy genetic risk factors, with most of the early evidence being produced by hypothesis-driven, candidate gene studies. These types of studies are limited in scope but have been very powerful to detect relevant genetic association between leprosy phenotypes and genes such as *SLC11A1* (an iron transporter across the phagosome membrane), *VDR* (vitamin D receptor), *IL10* (a Th2 cytokine), and *TLR1* (a pattern recognition receptor), among others (rev. in [[22,](#page-8-11) [23\]](#page-8-12)).

More recently, hypothesis-free approaches have been consolidating as an alternative to candidate gene studies, extending the reach of the investigation to the entire genome and allowing the discovery of previously unsuspected genes. In 2001, the frst genome-wide linkage analysis for leprosy identifed a paucibacillary leprosy susceptibility *locus* at chromosomal region 10p13 [\[24](#page-8-13)], but only in 2010 the frst candidate gene emerged from that chromosomal region: a non-synonymous SNP located at *MRC1* was associated with leprosy in both Vietnamese and Brazilians [\[25](#page-8-14)]. The *MRC1* gene was later associated with paucibacillary leprosy in individuals from southwest China [[26\]](#page-8-15). Two years later, fne mapping of the 10p13 identifed the *CUBN* gene associated with multibacillary leprosy in Vietnamese [[27\]](#page-8-16).

Interestingly, the linkage signal for paucibacillary leprosy at chromosome 10p13 was replicated in a second genome-wide scan that, most importantly, identifed a strong linkage peak for leprosy per se on chromosome 6q25-q27 [[28\]](#page-8-17). Subsequent fne mapping of the 6q25-q27 *locus* led to the frst successful positional cloning of genetic variants impacting on risk of an infectious disease: two SNPs located at the shared regulatory region of the *PRKN* and *PACRG* genes were found independently associated with leprosy per se in two population samples from Vietnam and Brazil [\[29](#page-8-18)]. These fndings triggered an exciting series of subsequent studies aiming to fully understand the impact of the 6q25-q27 *locus* in general and the *PRKN/PACRG* genes in particular upon leprosy risk and the disease physiopathology. In addition, two studies successfully replicated the *PRKN/PACRG* associations [\[30](#page-8-19), [31](#page-9-0)], and more sophisticated analyses have revealed interesting nuances of the exact nature of the association signals observed. For example, a study performed in Vietnamese and Indian populations showed that the linkage disequilibrium structure and the age at disease diagnosis are crucial for the association of *PRKN/PACRG* with leprosy per se [\[31](#page-9-0)]. Finally, an effort to completely dissect the strong linkage signal identifed at the 6q25-q27 *locus* led to the identifcation of a second association hit with leprosy per se near the *SOD2* gene, coding a superoxide dismutase, in two independent Brazilian population samples [\[32](#page-9-1)].

How parkin, an E3 protein-ubiquitin ligase encoded by *PRKN*, is involved in the pathophysiology of an infectious disease is a question that has been generating very exciting results. For example, a remarkable study demonstrated that parkin is a critical player controlling susceptibility to *Mycobacterium tuberculosis* infection in mice, with a particularly important effect upon autophagy; the same authors demonstrated that parkin also modulates susceptibility to other intracellular pathogens such as *L. monocytogenes*—in different species, indicating a highly conserved evolutionary role in innate immunity for this protein [[33\]](#page-9-2). Interestingly, leprosy patient that experienced excessive infammatory responses shared *PRKN* mutations observed in Parkinson's disease (PD) cases. This genetic overlap between leprosy and PD highlight the key role of *PRKN* as a mediator of host infammatory responses [[34\]](#page-9-3).

In addition to the HLA-linked variants previously mentioned [[11\]](#page-8-0), a GWAS in the Chinese population reported polymorphisms of six non-MHC genes—*TNFSF15*, *NOD2*, *RIPK2*, *LRRK2*, *CCDC122*, and *LACC1*—signifcantly associated with leprosy. Since then, several studies have validated/replicated the original fndings. The *CCDC122* and *LACC1* genes, both located at chromosome 13q14.11, were replicated in population samples from India, Mali [[35\]](#page-9-4), Vietnam [\[36](#page-9-5)], Brazil [\[37](#page-9-6)], and China [\[38](#page-9-7)]. The *NOD2* gene was validated in Nepal [[39\]](#page-9-8), Vietnam [[36\]](#page-9-5), Brazil [[37\]](#page-9-6), and China [\[38](#page-9-7)]. The *RIPK2* gene was replicated in Indian [[40\]](#page-9-9) and Vietnamese individuals.

Several suggestive fndings from the original GWAS have been later explored either by expanding the initial population sample or by applying hypothesis-driven approaches. As results, many additional non-HLA genes were identifed signifcantly associated with leprosy, including *IL23R* [[41\]](#page-9-10), *BCL10* [\[42](#page-9-11)], *CCDC88B* [[43\]](#page-9-12)*, MED30* [\[44](#page-9-13)], and *TYK2* [[45\]](#page-9-14), among others (rev. in [[46\]](#page-9-15)). While these studies expanded the number of genes and pathways contributing to leprosy susceptibility, one of the most exciting fndings has been the overlap of genes associated with both leprosy and infammatory bowel disease (IBD) [\[47](#page-9-16)]; studying the genetic and molecular component shared between these two apparently distinct phenotypes may pave the road to drug repurposing and perhaps the development of alternative therapies for both diseases.

3.4 Leprosy Reactions

Permanent disabilities caused by leprosy reactions are a major disease burden likely to persist even under the unlikely scenario of leprosy elimination as a public health problem. Since leprosy reactions may occur years after completion of leprosy treatment, identifying predictive risk factors—genetic or otherwise—for leprosy reactions is a major research goal.

Genetic epidemiology studies on leprosy reaction are few compared to other leprosy phenotypes. Variants of the *TLR2* and *TLR1* genes were the frst associated with leprosy type-1 reaction (T1R) [[48–](#page-9-17)[50](#page-9-18)]. Variants on the *NOD2* gene were associated with both T1R and T2R in Nepal [[39\]](#page-9-8); however, these SNPs were not the same associated with leprosy per se in the leprosy GWAS [\[11\]](#page-8-0). In Brazilians, functional *IL6* promoter variants that regulate IL6 plasma levels were associated with leprosy T2R reaction [[51](#page-9-19)]. A subsequent study using survival analysis showed that the same *IL6* variants were associated with the time of leprosy reaction onset [[52](#page-10-0)].

Based on the observation that several studies failed to replicate the association between *TNFSF15/TNFSF8* and *LRRK2* genes and leprosy per se led to investigations of these genes as candidates for T1R. Variants near the *TNFSF15/TNFSF8* genes were associated with risk for T1R [\[53](#page-10-1), [54](#page-10-2)] in both Vietnamese and Brazilian population samples. In Vietnamese, two *LRRK2* amino acid changes (R1628P and M2397T) and a set of variants regulating gene expression were also preferentially associated with T1R [\[34](#page-9-3), [55\]](#page-10-3). In 2019, using a targeted resequencing approach, researchers identifed additional rare LRRK2 amino acid changes associated with T1R [[34\]](#page-9-3). Remarkably, in the same study, the authors have reported that T1R leprosy cases carried rare *PRKN* damaging mutations, while T1R-free leprosy did not. This was an interesting observation that places parkin as a central mediator of multiple leprosy phenotypes, as noncoding variants near parkin are established risk factors for leprosy per se. A GWAS comparing T1R-affected versus T1R-free leprosy cases identifed regulatory variants of a long noncoding RNA (lncRNA) *ENSG00000235140* associated with T1R in Vietnamese and Brazilians. Apart from this novel lncRNA, all other genes reported for T1R had also previously been associated with leprosy per se.

3.5 New Insights

Based on the exposed above, it is diffcult to undervalue the contribution of genetics to the advance of the understanding of the molecular basis of leprosy susceptibility. However, it is also true that most of the identifed associations provide a small contribution to leprosy risk, thus explaining only part of the large heritability estimated for the disease by observational studies. This may be partially be due to the fact that classic linkage and association studies (candidate gene-based and GWAS) rely on the use of informative, thus polymorphic, markers with a minimum allele frequency

(MAF) higher than 1% [[56\]](#page-10-4), which leaves out an entire fraction of the human genetic variation represented by rare variants $(MAF < 1\%)$. With the development of novel sequencing techniques, it is now possible to investigate rare or structural variations at a relatively low cost. Thus, analysis of complete genomes/exomes or targeted protein coding regions is likely to fnd additional genetic factors with an impact on leprosy risk. Using this strategy, a recent study involving whole-exome and target sequencing identifed a rare missense in the *HIF1A* gene infuencing host susceptibility to leprosy in Han Chinese [[57\]](#page-10-5). Furthermore, susceptibility to leprosy is very likely to depend on other sources of variation such as differential methylation of Cs and Gs, histone modifcation, and DNA translocations, a feld of research yet to be systematically explored.

Finally, new, creative, or better-defned phenotypes are beginning to be explored with exciting results. For example, it is known that continuously exposed patients may suffer from leprosy recurrence, a poorly explored disease phenotype. Recently, a pilot study revealed an enrichment of homozygous genotypes for the risk alleles of genes classically associated with leprosy among two out of three cases of leprosy recurrence when compared to three nonrecurring leprosy patients. The study, although limited to a description of a series of cases, suggests the existence of a genetic profle of particularly high innate leprosy susceptibility among patients that may predispose to disease recurrence [[58\]](#page-10-6).

3.6 Perspectives

Genetics and genomics of complex traits in general and of infectious diseases in particular are a vibrant and productive feld of medical research. The discovery of functional variants initially identifed through genetic approaches and later confrmed in functional studies may lead to better protocols for diagnosis, treatment, and prevention of disease. One possibility is the development of laboratory tests using panels of reliable disease markers coupled with bioinformatics and artifcial intelligence tools aiming at producing predictive indicators of prognosis or response to treatment. The description of variants and their impact on protein function can be an initial step toward identifying new therapeutic targets eventually leading to the development of much needed new and more efficient leprosy therapeutic protocols, with fewer side effects and better patient compliance. Moreover, the characterization of leprosy genetic susceptibility markers can lead to important advances in the feld of other infectious, infammatory, or chronic degenerative diseases such as tuberculosis and Parkinson's and Crohn's diseases [\[36](#page-9-5), [46](#page-9-15), [59](#page-10-7)[–61](#page-10-8)].

In summary, our understanding of the genetic mechanisms controlling the classic leprosy phenotypes, such as disease per se and clinical subtypes, is fairly advanced, particularly as compared to other infectious diseases. However, secondary but interesting phenotypes, such as disease recurrence, age of onset, and even leprosy reactions, still need in-depth investigations as they represent the latest frontiers in leprosy genetic research.

3.7 Comments on Human Genetics of Buruli Ulcer

Buruli ulcer (BU), caused by *Mycobacterium ulcerans,* is the third most common mycobacteriosis in the world after tuberculosis and leprosy [\[62](#page-10-9)]. BU presents a wide spectrum of clinical manifestations ranging from single, small lesions to severe ulcers, osteomyelitis, osteitis, and joint involvement (see Chaps. [42](https://doi.org/10.1007/978-3-030-89704-8_42) and [43\)](https://doi.org/10.1007/978-3-030-89704-8_43).

Similar to T1R in leprosy, BU patients may also develop an abrupt cell-mediated infammatory reaction, known as a paradoxical reaction [[63\]](#page-10-10) (see also Chap. [43](https://doi.org/10.1007/978-3-030-89704-8_43)).

Host genetic susceptibility to BU is a relatively unexplored feld; however, exciting results have been produced through different approaches following the leprosy model. Classic candidate gene studies, usually targeting genes and *loci* associated previously with tuberculosis and leprosy, have revealed association between BU and genes *SLC11A1* [\[64](#page-10-11)], *PRKN*, *NOD2*, *ATG16L1* [\[65](#page-10-12)], *iNOS*, and *IFNG* [[66\]](#page-10-13). Of note, the *SLC11A1* gene was associated with both BU per se [[64\]](#page-10-11) and the paradoxical reaction [\[67](#page-10-14)], while *NOD2* has only been associated with the most severe form of the disease [[65\]](#page-10-12). A frst BU GWAS led to the description of two *loci* containing the lncRNAs *ENSG00000240095.1* and *LINC01622* associated with the disease [\[68](#page-10-15)]. Finally, whole-exome sequencing of a pair of sisters belonging to a cosanguineous family and displaying a severe form of the disease revealed a microdeletion on chromosome 8p23.1 as the most likely causative genetic variant [[69\]](#page-10-16).

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