Chapter 12 Microchemical Imaging of Oil Paint Composition and Degradation: State-of-the-Art and Future Prospects

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Abstract Oil paint is a dynamic system that undergoes chemical alteration on several time and length scales. At the short term, curing reactions are necessary for oil

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to dry properly. At longer time scales, a wide variety of other chemical processes can negatively affect the visual appearance or mechanical properties of historical artistic paint systems. The development of chemical imaging methods capable of covering length scales continuously from the millimetric to micro- or even nanoscale is key in understanding the chemical composition of a painting and the historical changes thereof. Such imaging methods can help in assessing to which extent the original painting's composition may have been modifed by chemical degradation processes. Processes that occur in the highly heterogeneous mixtures of binders, pigments, additives, alteration products and possibly later repainting and restoration treatments. Establishing the precise biography of the painting contributes to evaluate its authenticity. New modalities and novel methods of microchemical imaging provide access to previously unexplored length scales, are capable of better differentiation between the various oil paint components (original composition or later addition), and allow performing faster analysis to produce higher defnition images. In this review, we report on recent methodological developments and future prospects to determine oil paints composition using microchemical imaging at the micro- and nanoscale.

Keywords Imaging · Spectroscopy · Microscopy · Paint · Pigments

12.1 Introduction

For centuries, oil paint has been one of the most important artistic media. It was used as the medium of choice for some of the world's most recognized artworks, such as Leonardo da Vinci's *Mona Lisa*, Rembrandt's *The Night Watch*, and Vincent van Gogh's *Starry Night*. Despite their static appearance, oil paintings are in fact dynamic systems that undergo a wide variety of chemical changes. A fresh oil paint undergoes various chemical reactions, resulting in a dry and mechanically stable paint system. However, at longer time scales a multitude of chemical reactions take place that may (severely) deteriorate the painting's visual appearance or mechanical stability. Since these degradative processes are chemically complex and hard to predict, they pose a monumental challenge to art curators and conservators worldwide. By modifying the painting chemical composition, they may also impact the authentication evaluation which usually aims at identifying which materials were used, in which parts of the paintings, when applied and above all by whom (main artist, copyist, conservators, or even forgers!)

Past studies have shown that forgers have tried various strategies to speed up the drying of the oil paint, such as accelerated ageing conditions or the use of additives to create a hard paint flm (Blumenroth et al. [2019](#page-49-0); Breek and Froentjes [1975\)](#page-49-1). Access to suitable analytical techniques and an understanding of the drying processes of oil paint are important factors in unravelling these frauds. The use of pigments that have come onto the market after the dating of the painting is a good marker for authenticity issues, but often the pallet of the painting is not characteristic enough and needs further investigation into the material and its context (Craddock [2009;](#page-50-0) Sloggett [2019\)](#page-58-0). Focusing on past conservation treatments, conservators are confronted with paint retouches which can be very diffcult to distinguish from the original paint (Noble et al. [2018](#page-55-0)). Paint morphologies, trace elements, layer build-ups, degradation components and their distribution can help identify these later additions to the painting. In all cases, knowledge of various chemical alteration and degradation processes is needed to address authenticate specifc sections of oil paintings, or oil paintings as a whole (Doménech-Carbó et al. [2012;](#page-51-0) Tanasa et al. [2020\)](#page-58-1).

The most basic description of oil paint is that of a mixture of a drying oil binder (triacylglycerol with a high degree of polyunsaturated fatty acid chains) and one or more organic or inorganic pigments, mixed in a ratio such that it is *workable* by the artist. Upon application of the paint, the drying oil binder is exposed to oxygen and the double bonds of the unsaturated fatty acids start to undergo autoxidation and form cross-links (van den Berg et al. [1999](#page-58-2)). This process is called the *curing* of the oil paint and eventually leads to a 3D-polymerized network that holds the pigment particles in place. As the curing process tends to take multiple days or even weeks, driers or other additives may be added to speed up the solidifcation of the oil paint.

On a longer timescale, the ongoing autoxidation and hydrolysis start to break down the polymerized oil binder network to form carboxylic acids—either still covalently bonded to the oil network or present as free or metal-bound dicarboxylic or monocarboxylic fatty acids. Previous studies have shown that—for a mature oil paint—the degree of carboxylic acid formation due to autoxidation is in the order of one acid group per triacylglycerol, while hydrolysis increases the free fraction of saturated fatty acids from less than 1% for fresh oils to over 90% for some historical oil paints (Baij et al. [2019](#page-48-0); van den Berg et al. [1999\)](#page-58-2). Like the binding medium, many pigments also undergo certain alteration and degradation processes. Some pigments react with saturated free fatty acids to form metal soaps (Heeren et al. [1999;](#page-52-0) Van der Weerd et al. [2004](#page-58-3)). Although this process is not necessarily disadvantageous for an oil paint (Cotte et al. [2017a](#page-50-1)), in some cases, the metal soaps agglomerate, forming brittle domains that may occupy a larger volume than the separate starting materials. In such cases, metal soap formation has been shown to lead to conservation issues such as paint layer delamination and the formation of protrusions (Ebert et al. [2011](#page-51-1); Heeren et al. [1999;](#page-52-0) Keune and Boon [2007;](#page-53-0) Van Loon et al. [2019;](#page-59-0) Noble et al. [2002](#page-55-1); Osmond et al. [2013](#page-56-0); Van der Weerd et al. [2004\)](#page-58-3). Another phenomenon that affects many oil paintings is the light-induced transformation of pigments into polymorphs or oxidation products (Van Der Snickt et al. [2009;](#page-58-4) Trentelman et al. [1996\)](#page-58-5). As these polymorphs and oxidation products may have a different color than the original pigment material, these processes can lead to discoloration of the painting over time and therefore loss of the original artistic intention.

Aged oil paint leads to the formation of systems that are chemically complex and spatially highly heterogeneous. This heterogeneity shows up on multiple length scales: both in the plane of the painting as well as in-depth, due to the multi-layered build-up of oil paintings. A paint stratigraphy typically consists of several chemically distinct paint layers, each 10–100 μm thick, containing pigment particles with dimensions of about 0.05–10 μm that are embedded in a medium of organic binder and various micrometric and submicrometric domains of organic and inorganic alteration and degradation products. The existence of these various distinct scales of chemical heterogeneity means that information on composition and degradation processes can also be found on various length scales, ranging from tens of micrometers to nanometers. Given these length scales, there is a strong interest in analyses using high-resolution microchemical imaging (techniques hereafter denoted with the prefix μ , e.g., μ -XRF). Due to the multiscale nature of oil paints, the scale of analysis needs to be chosen such that relevant details can be spatially resolved while the total sampled area (or volume) provides a representative chemical overview.

For the purpose of performing microchemical analysis on oil paints, microsamples can sometimes be obtained from historical works of art. Microsamples typically have dimensions in the order of a few hundred micrometers and are mostly taken by conservators from small pre-existing cracks, other surface damages, or from the edge, so as not to leave any marks that can be distinguished by the naked eye. An alternative and complementary approach is to mimic oil paint composition and degradation phenomena by ageing (naturally or artifcially) paint mock-ups. This approach allows to control the type and extent of ageing, paint composition, and has less strict limitations when it comes to sampling, but may not always accurately represent the chemistry that occurs in actual historical works of art.

What measurement modality will be applied for the study of either a historical microsample or a sample obtained from a paint mock-up strongly depends on the specifc chemical question. For instance, an investigation of oxidative discoloration of an inorganic pigment may require that a measurement can provide reliable chemical contrast between the different oxidation states of the affected element, while a study of delamination in saponifed zinc white (ZnO) paints requires a measurement that can provide chemical contrast between metal carboxylates and one or more of the organic and inorganic reactants. If the migration of a reactant or a degradation product is of interest, it may be insightful to resolve chemical distributions throughout one or multiple paint layers, directly around the pigment, or in the vicinity of a possible catalytically active compound. Depending on what distribution is being studied, a spatial resolution may be required in the order of tens of micrometers (multilayer gradients), a few micrometers (gradients within layers), or tens to hundreds of nanometers (distributions around pigment particles or particles of possibly catalytically active compounds). The study of all the various aspects of oil paint degradation requires an extensive methodological toolbox with a wide variety of contrasting mechanisms and the ability to perform analysis at multiple length scales. Due to the complexity of oil paints and their degradation phenomena, answering chemical questions typically requires the use of multiple methods from this toolbox.

Over the past few decades, rapid advances have been made in the development of spectroscopic, spectrometric, and diffraction tools that are capable of characterizing and identifying organic and inorganic compounds in terms of molecular fragments, functional groups, elemental composition, coordination chemistry, defect chemistry, crystalline structure, and physical texture. Developments in focusing optics and sources for mid-IR to hard X-ray photons and charged particles have led to the availability of microanalytical tools that can perform these chemical characterizations and identifcations on the micro- or even nanoscale. As the various developments progress, the time necessary to perform chemical microanalysis at single points decreases further and further. Reduced measurement times have made it possible to implement almost all spectroscopic, spectrometric and diffraction modalities as microchemical imaging tools; allowing to resolve—in two or even three dimensions—the spatial distributions of specifc chemical species at micrometric or nanometric spatial resolution. Microchemical imaging is therefore particularly useful for detecting trends and patterns in chemical distributions, which can inform on the underlying chemistry of migration and degradation processes. Especially for the study of systems that are as chemically complex and spatially heterogeneous as oil paints, such trends and patterns may only be detected through the analysis of many spatial points and the use of some form of microchemical imaging is essential to collect the required information.

The frst part of this chapter will review the state-of-the-art applications of microchemical imaging methodologies to the study of oil paint composition and degradation. As there may be some ambiguity with regards to the term *microchemical imaging*, in this review it is defined as any technique that can probe compoundspecifc spectroscopic transitions, diffraction patterns, or mass fragments, and resolve their distributions at a sub-10 μm lateral resolution over a certain area or volume of interest. The following four sections will discuss recent advances in microchemical imaging instrumentation, covering optics, detectors, and light sources, and go over what types of chemical information can be obtained and at what length scales analysis can currently be performed. This review is structured along the working principles of the discussed analytical tools; separating infrared-, UV-Vis-, X-ray-, and charged particle-based methods. The second part of this chapter will critically discuss the capabilities and limitations of the current methodological toolbox and formulate perspectives on future research endeavors in oil paint studies. Such perspectives are illustrated by identifying four general research objectives that address the technological limitations of the microchemical imaging techniques reviewed previously: improved retrieval of spatial information, improved retrieval of chemical information, addressing the limited statistical relevance of analysis on paint microsamples, and integration of computational methods in the processing of microchemical data.

12.2 Infrared-based Methods

Starting at the lower-end of the energy range, infrared-based analysis is primarily used for the characterization of the organic phase of paint systems. Especially in the mid-infrared (mid-IR) range (2.5–25 μm, 400–4000 cm−¹), most functional groups exhibit fundamental vibrational modes. Since each fundamental mode has a narrow corresponding absorption feature, mid-IR absorption spectroscopy has a very high chemical specifcity. This property has made mid-IR spectroscopy one of the most widely applied methods for the study of oil paint degradation. In the wider context of paint analysis, mid-IR spectroscopy has been used extensively to identify and analyze pigments, additives, binding medium (Meilunas et al. [1990](#page-54-0); Shearer et al. [1983\)](#page-57-0), metal carboxylates (Cotte et al. [2007;](#page-50-2) Gabrieli et al. [2017](#page-51-2); Henderson et al. [2019;](#page-52-1) Keune and Boon [2007;](#page-53-0) Mass et al. [2013a](#page-54-1); Mazzeo et al. [2008](#page-54-2); Noble et al. [2002;](#page-55-1) Osmond [2014;](#page-56-1) Osmond et al. [2012](#page-56-2); Pouyet et al. [2015](#page-56-3); Romano et al. [2020;](#page-57-1) Van der Weerd et al. [2004\)](#page-58-3), as well as environmentally-induced inorganic pigment degradation products (Mass et al. [2013a;](#page-54-1) Pouyet et al. [2015](#page-56-3)). Here, we will cover the state-of-the-art of two approaches to microscale mid-IR analysis of oil paints: μ-FTIR and AFM-IR.

12.2.1 μ-FTIR

A detailed description of the μ-FTIR technique and its various measuring modalities can be found in ref. (Salzer and Siesler [2009\)](#page-57-2).

Fourier-transform infrared microscopy (μ-FTIR) is a technique in which an FTIR spectrometer is coupled to a microscope so as to obtain full mid-IR absorption spectra either on single points (using a single-element detector) or on many points at once (using array detectors). Although the high chemical specifcity and sensitivity to the organic phase makes μ-FTIR a suitable technique for studies of oil paint degradation, its use of mid-IR radiation inherently comes with restrictions in terms of spatial resolution. Even in the most ideal optical set-up, the spatial resolution of conventional far-feld μ-FTIR is limited by the diffraction limit according to:

$$
d = \frac{0.61\lambda}{NA} \tag{12.1}
$$

Where *d* is the minimum distance between adjacent features where both features can still be resolved, λ is the wavelength of the used electromagnetic radiation, and *NA* is the numerical aperture of the optical system. In transmission μ -FTIR experiment, lenses with numerical apertures of 0.3–0.8 are most common, meaning features smaller than 10 μm can typically not be resolved. Obviously, for oil paint samples with a characteristically high degree of heterogeneity, this puts signifcant limits on the types of phenomena that can be studied.

As a means to improve the applicability of μ-FTIR for studies of oil paint composition and degradation, one instrumental development has gained particular popularity. Attenuated total refectance (ATR) is a measurement geometry that is somewhat comparable to specular refectance (see Fig. [12.1\)](#page-6-0), but makes use of a high refractive index crystal that is pressed onto the sample. Rather than refecting

Fig. 12.1 The three common measurement geometries in μ-FTIR using a conventional (refection) Schwarzschild objective. From left to right: transmission, specular refectance and ATR. The red arrows indicate the direction of the mid-IR beam

directly on the sample surface, the mid-IR beam refects internally on the crystal surface pressed onto the sample. In the process of refecting internally on the crystalsample interface, parts of the electromagnetic wave penetrate several micrometers into the sample (known as an evanescent wave). The refected light will therefore be partially absorbed by the sample, as would be the case in a specular refectance geometry. As an ATR crystal, germanium is typically used. Besides being IR-transparent, germanium possesses a refractive index of around 4.0 in the mid-IR spectral range. Due to the proportionality between numerical aperture and refractive index, substantial improvements can be made in terms of spatial resolution. Although this value depends on the wavelength, detector, and other optics used in the set-up, the spatial resolution of μ -ATR-FTIR with a germanium ATR crystal is thought to be in the order of several μm.

Two of the earliest applications of μ-ATR-FTIR for microchemical studies of oil paint were reported by Spring et al. [\(2008](#page-58-6)) and Mazzeo et al. ([2007\)](#page-54-3). Both on historical cross-sections and model samples they show the ability to identify and map various metal carboxylate species. More recently, Gabrieli et al. ([2017\)](#page-51-2) showed how μ-ATR-FTIR allows to resolve the morphology of zinc soap agglomerates and their formation relative to particles of the jellifying agent aluminum stearate. Maps of ionomeric zinc carboxylates, zinc soaps, aluminum stearate, and corresponding FTIR spectra are shown in Fig. [12.2](#page-7-0).

Fig. 12.2 μ-FTIR data recorded in ATR geometry on a sample obtained from Jackson Pollock's *Alchemy.* The four FTIR spectra were recorded on locations indicated by numbers 1–4 in the heatmaps, representing the distributions of ionomeric zinc carboxylates (1590 cm⁻¹ broad), crystalline zinc carboxylates (1540 cm⁻¹), and aluminum stearate (1588 cm⁻¹). The black scale bars correspond to 10 μ m. Reprinted with permission from ref. (Gabrieli et al. [2017](#page-51-2))

For studies in which a high signal-to-noise ratio (SNR) is of primary concern, the use of synchrotron-generated IR beams is a development that has gained particular attention the last 10–15 years. Although mid-IR synchrotron beams are not necessarily brighter than the regular Globar IR sources, their low divergence make that the actual photon fux delivered to the focal volume is substantially higher (Cotte et al. [2007\)](#page-50-2). While the most signifcant benefts are obtained when working in a confocal confguration with diffraction-limit-sized apertures and single-element mercury cadmium telluride (MCT) detectors (Cotte et al. [2007;](#page-50-2) Levenson et al. [2006;](#page-54-4) Osmond [2014;](#page-56-1) Osmond et al. [2012;](#page-56-2) Pouyet et al. [2015](#page-56-3); Schiering et al. [2000\)](#page-57-3), synchrotron radiation has also been used as an IR source for studies of oil paint degradation in external refection and transmission geometries (see Fig. [12.1](#page-6-0)) using 2D focal plane array (FPA) detectors (Henderson et al. [2019;](#page-52-1) Kidder et al. [1997;](#page-53-1) Mass et al. [2013a\)](#page-54-1).

Another development in the use of μ-FTIR applied to studies of oil paint is the use of chemical derivatization of the sample surface to separate otherwise overlapping absorption features. This approach was frst reported in the context of degraded oil paint by Zumbühl et al. (Zumbühl et al. [2014\)](#page-59-1), who demonstrate how exposure of polished cross-sections to gaseous sulfur tetrafluoride (SF_4) can for instance help in distinguishing absorption features coming from non-hydrolyzed triglyceride esters with those coming from free fatty acids and metal carboxylates. In addition, it has been shown that differences in the sensitivity of different metal carboxylates to $SF₄$ exposure allows to readily differentiate metal oxalates from other metal carboxylate species.

12.2.2 AFM-IR

A detailed description of the AFM-IR technique can be found in ref. (Dazzi et al. [2005\)](#page-50-3), *while a schematic illustration of the technique is shown in Fig. [12.3.](#page-8-0)*

A lot of methodological research has been conducted over the years to combine the powerful capabilities of mid-IR microspectroscopy with the ability to perform analysis on length scales smaller than the diffraction limit. A near-feld approach that couples atomic force microscopy with mid-IR absorption spectroscopy (AFM-IR) has been demonstrated to be especially powerful (Dazzi et al. [2005;](#page-50-3) Dazzi and Prater [2017](#page-50-4)). AFM-IR makes use of an AFM cantilever to probe local thermal expansion induced by a pulsed mid-infrared laser (see Fig. [12.3\)](#page-8-0). Due to the

Fig. 12.3 Schematic representation of the AFM-IR technique. A pulsed mid-IR laser is focused onto the sample and directed to coincide with the apex of the AFM cantilever. Absorption of mid-IR pulses by the sample induces transient or resonant oscillations of the AFM cantilever that are monitored by the defection of a red laser

nanometric radius of the apex of the AFM cantilever, feld exaltation induced by the tip, and the fast dissipation of thermal energy induced by the laser pulses, lateral resolutions in the order of several tens of nanometers can readily be obtained.

Two studies have been published so far that involve the use of AFM-IR for the study of oil paints. Morsch et al. ([2017\)](#page-55-2) demonstrate how AFM-IR can inform on the photocatalytic activity of titanium white $(TiO₂)$, showing that radical oxygenation of the oil binder is induced in the direct vicinity of nanometric $TiO₂$ pigment crystallites. Ma et al. [\(2019](#page-54-5)) studied the effects of aluminum stearate on the formation of zinc soaps in naturally aged paint-outs. Resolving the distributions of zinc carboxylates around a micrometric aluminum stearate agglomerate informs on how it acts as a local source of free fatty acids, hereby fostering the growth of zinc stearate crystallites.

As Ma et al. studied the same systems as Gabrieli et al. ([2017\)](#page-51-2), but used AFM-IR instead of μ-FTIR, it is interesting to note the different types of information that can be obtained at different length scales. A false-color image showing the distribution of crystalline zinc soaps and glycerol esters around an aluminum stearate agglomerate is shown in Fig. [12.4](#page-9-0). It is clear from resolving the zinc soap distributions at the nanoscale that the formation of zinc soap crystallites at the rim of the aluminum stearate mass only constitutes a small fraction of all saponifcation. Moreover, it can be seen that the distribution of the zinc soap crystallites does not exhibit a clear (anti-) correlation with the distribution of non-hydrolyzed triglyceride esters. Both observations imply an important role for the diffusion of free fatty acids in the saponifcation of oil paints; something that could not be concluded from the distributions resolved at micrometric resolution (Fig. [12.2](#page-7-0)). On the other hand, the very small feld of view of the AFM-IR maps means that certain trends and patterns cannot be observed. Whereas it is clear from Fig. [12.2](#page-7-0) that in the *Alchemy* sample zinc soap formation is fostered as much as 20 μm away from the aluminum stearate

Fig. 12.4 False-color AFM-IR images obtained on a thin section of a naturally aged titanium white/zinc white paint-out. Colors were assigned as follows: crystalline zinc carboxylates (1540 cm−¹ , red), ionomeric zinc carboxylates plus aluminum stearate (1590 cm−¹ , green), glycerol esters (1742 cm⁻¹, blue). The yellow rectangle on the left indicates where the high-resolution map on the right was recorded. Reprinted from (Ma et al. [2019\)](#page-54-5)

mass, but not beyond, the occurrence of this phenomenon in the naturally aged paint-outs could not be confrmed due to the much smaller scale of analysis.

It is important to note that AFM-IR is still heavily under development, with different instrumental approaches rapidly succeeding one another. Comparing the two examples mentioned in the previous paragraph, the approach taken by Morsch et al. involves an optical parametric oscillator (OPO) light source pulsed at 1 kHz, which induces a transient resonance in the AFM cantilever, while Ma et al. operate the AFM-IR with a high-frequency heterodyne detection scheme and a quantum cascade laser (QCL) light source (Dazzi et al. [2015](#page-50-5)). Moreover, Morsch et al. report the operation of the AFM in contact mode, working on paint flms cut straight from the substrate, while Ma et al. operate in tapping mode, working on samples cut with an ultramicrotome to a thickness of around 200 nm. Although high-frequency heterodyne detection and samples prepared as thin sections are thought to provide signifcant beneft in terms of measurement speed and spatial resolution, a lot remains as of yet unknown about the exact effects of these parameters on the analysis of degraded paint samples.

12.3 Methods Based on UV and Visible Light

Moving up in energy from the infrared, one reaches the visible and UV regions of the electromagnetic spectrum. In this particular spectral region, two spectroscopic principles have been most widely applied in studies of oil paint: Raman scattering and photoluminescence (PL).

12.3.1 PL Microimaging

More detailed descriptions of the various aspects of PL microspectroscopy and microimaging specifcally applied to cultural heritage samples can be found in refs. (Thoury et al. [2011,](#page-58-7) Nevin et al. [2014](#page-55-3))

PL is a process in which a compound absorbs a photon of a given energy (excitation) and then emits a photon of a lower energy (emission). Although the macroscopic use of PL for the study of heritage objects has been reported as far back as the late 1920s and early 1930s (Rorimer [1929](#page-57-4), [1931\)](#page-57-5), it has only been over the last 10 years that a wide range of PL-based methods have started to become widely used as microanalytical tools for studies of oil paint degradation. In most common modern PL microimaging set-ups, a sample area of interest is illuminated with a defocused UV beam, the emitted PL is magnifed by an optical objective, and full-feld images are captured through one or more emission bandpass flters. This multispectral approach has become commonplace, because PL emission spectra of most materials of interest exhibit relatively broad bands that can often be selectively

imaged even with relatively broad emission bandpass flters (see Fig. [12.5](#page-11-0)). Due to the use of visible and UV photons, PL microimaging has the ability to routinely achieve submicrometric spatial resolutions. Chemical specifcity can be achieved along no less than three dimensions (absorption wavelength, emission wavelength, and emission lifetime).

Two research themes have particularly benefted from the contribution of microchemical imaging by PL: the study of semiconductor pigments and that of metal soap formation. Semiconductor pigments are often brightly luminescing under suitable excitation and exhibit emission properties informative both about their characteristic band gap and intrinsic crystal defects. The hypothesized relation between crystal defects and the (photo-) catalytic activity of semiconductor pigments such as zinc white and cadmium yellow (CdS) make the identifcation of these defects of especially high interest in the context of oil paint degradation (Comelli et al. [2019;](#page-50-6) Drouilly et al. [2013;](#page-51-3) French et al. [2001](#page-51-4); Hageraats et al. [2019b;](#page-52-2) Kurtz et al. [2005\)](#page-54-6). As was discussed in Sect. [12.2.2](#page-8-1), metal soap formation is usually studied using techniques based on absorption in the mid-IR, where metal carboxylates have

Fig. 12.5 Schematic representation of the three main approaches to PL microanalysis. The bottom left shows a high-resolution emission spectrum retrieved from a hyperspectral map recorded on a zinc white oil paint microsample that was excited at 280 nm. The fgures shown in grey frames represent full-feld images recorded through emission band pass flters of which the spectral ranges are indicated in the full emission spectrum with grey rectangles. The fgures shown in blue frames represent full-feld images taken through one emission bandpass flter at different time delays. NB: PL images are for illustration purposes only and have not necessarily been obtained using the indicated parameters

multiple distinct and characteristic absorption features. However, due to the intrinsically limited spatial resolution of conventional μ -FTIR, there is significant interest to complement μ-FTIR observations of metal soaps with PL microimaging, which works with ultraviolet and visible light and can therefore produce images at submicrometric spatial resolution.

Notable recent additions to the PL microimaging toolbox include methods based on excitation in the deep-UV regime (deep-UV PL) and methods based on resolving the emission dynamics over time (time-resolved PL, see Fig. [12.5](#page-11-0)). Deep-UV excited PL microspectroscopy and microimaging have been shown particularly useful for studies of the brightly luminescing semiconductor pigment zinc white and its zinc carboxylate alteration products. With its characteristic band gap emission centered around 380 nm and the various defect-related emissions at longer wavelengths (Bertrand et al. [2013a;](#page-48-1) Zhang et al. [2019\)](#page-59-2), the PL-based study of degraded zinc white oil paints has been shown to benefit from excitation around 280 nm. As zinc white does not luminescence at energies higher than its bandgap, excitation at 280 nm opens up a signifcant spectral region (280–380 nm) without spectral interference from the brightly luminescing zinc white pigment. To illustrate this principle, Fig. [12.5](#page-11-0) shows the average PL emission spectrum of a degraded zinc white oil paint microsample, recorded with an excitation energy of 280 nm. Whereas the spectral region above 380 nm is dominated by zinc white emission, the spectrum shows a clear low-intensity emission band around 340 nm which is thought to be emitted by crystalline zinc soaps (Hageraats et al. [2019a](#page-52-3); Van Loon et al. [2019;](#page-59-0) Thoury et al. [2019\)](#page-58-8). According to a previous evaluation of the optical system used in these studies, this method provides chemically specifc analysis of zinc white degradation products close to the diffraction limit of about 150 nm (Bertrand et al. [2013a](#page-48-1)).

Particularly interesting results have been reported using time-resolved PL microspectroscopy and microimaging (also known as fuorescence lifetime imaging or FLIM) to study of the semiconductor pigments cadmium yellow and zinc white. Besides differing in emission wavelength, band gap and defect-related emissions can also be distinguished based on their emission lifetime, with band gap emission typically occurring in the ps or ns regime, and defect-related emission typically occurring in the μs regime. This principle has been exploited by Comelli et al. [\(2017](#page-50-7)) and Artesani et al. ([2019\)](#page-48-2) in studies combining multispectral PL microimaging with time-gated detection to aid the identifcation of pigments in chemically complex historical samples. It has been shown that the measurement of PL lifetimes can inform on the chemical interaction of the reactive pigment with the organic binding medium (Artesani et al. [2017](#page-48-3), [2018\)](#page-48-4). However, the retrieval of such information in the context of a microchemical imaging study has not yet been reported.

12.3.2 Raman Microspectroscopy

A more detailed account of Raman (micro-)spectroscopy applied to studies of oil paint degradation and authentication can be found in Chap. [13](https://doi.org/10.1007/978-3-030-86865-9_13). This section therefore presents only a concise summary of the technique and its applications in microscopic studies of oil paint degradation.

Raman scattering is an inelastic scattering phenomenon in which a scattered visible or near-IR photon loses an amount of energy corresponding to a vibrational transition in the scattering molecule. Following this principle, Raman scattering (like mid-IR absorption) can therefore be used to probe vibrational transitions. Raman spectroscopy experiments are performed by focusing a laser (with a wavelength of typically 500–800 nm) onto the sample and recording a high-resolution spectrum of the scattered light (Opilik et al. [2013](#page-56-4)). Besides an elastic scattering peak and possible broad luminescence bands, Raman spectra contain a series of narrow peaks with a small energy offset relative to the laser energy. The offset of each peak then corresponds to the energies of certain vibrational transitions that are expressed in terms of a Raman shift.

Within studies of oil paint, Raman scattering has mostly been applied in microspectroscopy experiments where only one or several spatial points are analyzed (Chen-Wiegart et al. [2017;](#page-49-2) Higuchi et al. [1997](#page-53-2); Keune et al. [2013;](#page-53-3) Mahon et al. [2020;](#page-54-7) Monico et al. [2011](#page-55-4), [2013,](#page-55-5) [2014](#page-55-6); Otero et al. [2014](#page-56-5); Spring and Grout [2002;](#page-58-9) Trentelman et al. [1996](#page-58-5)). In some studies, where resolving spatial distributions is of crucial importance, raster scans are performed (Cato et al. [2017;](#page-49-3) Lau et al. [2008;](#page-54-8) Monico et al. [2015b,](#page-55-7) [2020a;](#page-55-8) Ropret et al. [2010](#page-57-6)). This yields hyperspectral Raman spectroscopy maps with micrometric spatial resolution, from which specifc features can be integrated to obtain chemically specifc distribution images on the microscale. Monico et al. ([2015b,](#page-55-7) [2020a\)](#page-55-8) show how this approach can be used to map out different types of chrome yellows and to detect poorly crystalline, hexagonal CdS and some of its degradation products. Cato et al. [\(2017](#page-49-3)) show how mapping a specific vibration of the blue $S₃⁻$ radical anion chromophore over an ultramarine oil paint surface reveals a local decrease in the chromophore concentration in optically altered regions: implying that the oxidation of sulfur chromophores may have caused ultramarine discoloration.

12.4 Methods Based on X-Rays

The X-ray regime is very broad in terms of energy range and provides a variety of analytical methods to study oil paint degradation. Photons on the lower end of this energy range—spanning roughly from 100 eV to 1 keV—are collectively referred to as soft X-rays, while photons on the upper end of the energy range (>5 keV) are typically referred to as hard X-rays. The intermediate range (1–5 keV) is sometimes colloquially called the tender X-ray regime. Applications in each energy range

largely depend on the penetration depth in the sample of interest. Generally speaking, the higher the X-ray photon energy, the greater the attenuation depth, and the heavier the element, the shorter the attenuation depth.

All the X-ray based studies reviewed in this following section were conducted at synchrotron facilities. Synchrotron-based light sources offer low-divergence beams of an extremely high brightness that can be over 10 orders of magnitude greater than that of conventional X-ray tube sources. These properties make them particularly suitable for use with tunable monochromators and focusing optics. Although the high energy (and therefore short wavelength) of X-rays makes that the diffraction limit at most energies is subnanometric, their focusing is generally technically challenging due to the small difference in refractive index between air (or vacuum) and condensed matter and the very small critical angle for total external refection (Ice et al. [2011\)](#page-53-4). Still, a number of techniques were developed over the years that address these physical limitations in different ways. The four most commonly applied focusing optics for microscopic X-ray experiments are Kirkpatrick-Baez (KB) mirrors (Kirkpatrick and Baez [1948](#page-53-5)), Fresnel zone plates (FZP) (Rösner et al. [2018\)](#page-57-7), compound refractive lenses (CRL), and X-ray capillary optics (illustrated in Fig. [12.6\)](#page-14-0). Depending on the type of optics, design, X-ray energy, and beam divergence of the beamline, beam diameters can be obtained in the order of tens of micrometers (capillary optics) down to several nanometers (FZP).

A detailed review of X-ray focusing optics can be found in ref. (Ice et al. [2011\)](#page-53-4)*,* while an overview of applications of synchrotron techniques for the study of *ancient materials can be found in ref.* (Bertrand et al. [2012\)](#page-48-5)*.*

For microchemical imaging applications, X-rays are primarily used to probe core-level transitions and to perform crystal diffraction. Probing core-level transitions, chemically-specifc information can be obtained by making use of either of two dependencies: the element-dependency of core-level transition energies, or the dependency of the density-of-states (DOS) of core-level transitions to the oxidation state and to the coordination environment of the target atom. X-ray fuorescence

Fig. 12.6 Schematic representations of the four main X-ray focusing optics

spectrometry (XRF) uses this element-dependency of core-level transition energies, whereas the dependency of the DOS of core-level transitions to the oxidation state and coordination environment is exploited in X-ray absorption spectroscopies (XAS). While XRF is especially useful for elemental mapping, XAS has its main applications in determining oxidation states, coordination numbers, and the bond lengths for the frst few coordination shells. Microfocused X-ray beams can also be used for crystal diffraction experiments (X-ray diffraction, XRD) to determine the crystalline phases present in a sample of interest.

The principles and state-of-the-art of μ-XRF, μ-XAS, and μ-XRD as applied to oil paint research are discussed in the following three sub-sections. In addition, to demonstrate the capabilities and complementarity of these three techniques, Figs. [12.8,](#page-15-0) [12.9,](#page-16-0) and [12.11](#page-23-0) show the X-ray based chemical microimaging of an extraordinarily thick $(\sim 3 \text{ mm})$ stratigraphy of historical white oil paints, taken from the window frame of a historical home (*De Witte Roos*) in Delft, The Netherlands (see Fig. [12.7\)](#page-17-0). These wooden frames have been repainted regularly over the past three centuries, as can be seen from the \sim 50 yellowish/whitish paint layers composing the stratigraphy (Fig. [12.7b](#page-17-0). c). This sample therefore presents the recording of most of the commonly used white pigments over the past \sim 300 years. Besides, it can provide relevant information about their long-term stability and degradation. Therefore, its analysis established a record biography of a painting fragment. After embedding the paint fragment in resin, it was prepared as a cross-section (Fig. [12.7b](#page-17-0)) and as a microtomed 15 μ m thin section (Fig. [12.7c\)](#page-17-0).

Fig. 12.8 μ-XRF data obtained on a cross-section of the Delft sample. (**a**) Optical microscopy image, with the red and black rectangles indicating the recent and ancient paint layers respectively. (**b**) μ-XRF maps of Si, S, Ca, Ti, Ba, and Pb. (**c**) Fits to the raw data using known energies of K, L, and M emission lines. Data was obtained at ID21, ESRF (Cotte et al. [2017b](#page-50-8)), using an X-ray beam tuned to 7.2 keV and focused down to 0.5×1.0 µm using a Kirkpatrick-Baez mirror system (see Fig. [12.6](#page-14-0)).

Fig. 12.9 μ-XANES data obtained on the Delft sample. (**a**) Elemental map of Pb as a reference for the distribution of lead pigments (acquired at 2.55 keV, above Pb $M₅$ -edge). (**b**) XRF S-K maps recorded at the four absorption features identifed in (**c**). (**c**) The bottom four spectra show averages of S K-edge XANES acquired at 51 points, represented as colored dots in (**b**) (#1–4), while the top fve spectra shown S K-edge XANES from fve reference compounds (grey). Data was obtained at ID21, ESRF, using an X-ray beam focused down to 0.5 μm × 1.0 μm using a Kirkpatrick-Baez mirror system (see Fig. [12.6\)](#page-14-0).

12.4.1 μ-XRF

A description of the principle of XRF can be found in ref. (Mantler and Schreiner [2000\)](#page-54-9)*, while a more detailed account of the instrumental aspects of modern μ-XRF instrumentation can be found in ref.* (Cotte et al. [2017b\)](#page-50-8)*.*

The ability of μ-XRF to map elemental distributions makes the technique particularly suitable to map pigment distributions in oil paint cross-sections. μ-XRF usually requires microfocused synchrotron-generated X-ray beams, making this technique less accessible than its electron-based counterpart (SEM-EDX) which is routinely used for mapping pigments. μ-XRF is mostly applied to studies of oil paint systems when sensitivity to certain (low concentration) elements is of concern or when other forms of synchrotron-based X-ray microanalysis are involved and μ-XRF can be performed for parallel characterization of the sample at the same location using the same instrumentation and set-up (Chen-Wiegart et al. [2017;](#page-49-2) Cotte et al. [2008;](#page-50-9) Cotte and Susini [2009](#page-50-10); Van Der Snickt et al. [2009,](#page-58-4) [2012](#page-58-10)). Some research fndings that were obtained through μ-XRF include the role played by chlorine contamination in the blackening of cinnabar (HgS) (Cotte et al. [2008](#page-50-9); Cotte and Susini [2009;](#page-50-10) Radepont et al. [2011](#page-56-6)), the formation of a cadmium-sulfur degradation compound on the surface of cadmium yellow paints (Van Der Snickt et al. [2009,](#page-58-4) [2012\)](#page-58-10),

Fig. 12.7 (**a**) *De Witte Roos* in Delft. (**b** and **c**) Optical microscopy image of a paint fragment prepared as a polished cross-section and as a thin section, respectively. The most ancient (yellowish) paint layers are at the bottom while the most recent (whiter) layers are at the top of the section

and the disintegration of lead tin yellow to form lead soap aggregates (Chen-Wiegart et al. [2017\)](#page-49-2). Combined with macro- X-ray imaging techniques on the entire painting itself (see Chap. [11\)](https://doi.org/10.1007/978-3-030-86865-9_11), μXRF can be used to distinguish originally paint layers from repainted layers in micro-samples, and help in reorienting and optimizing the conservation strategy, as recently done during the restoration of van Eyck's renowned Ghent Altarpiece (Van der Snickt et al. [2017](#page-58-11)).

State-of-the-art applications of μ-XRF to studies of oil paint include the simultaneous recording of μ-XRF and μ-XRD by making use of fuorescence and transmission geometry respectively (Cotte et al. [2008](#page-50-9); Van Der Snickt et al. [2012](#page-58-10)), and the ability to perform hard X-ray μ-XRF at spatial resolutions as high as 200 nm (Casadio and Rose [2013;](#page-49-4) Cotte et al. [2008\)](#page-50-9). More routinely, beam sizes in the order of one to a few μm are being used, with μ-XRF experiments typically being combined with μ-XAS—enabled by the tunability of synchrotron-generated X-ray beams and the shared ability to record data in fuorescence geometry.

The application of the μ -XRF technique to the Delft sample is shown in Fig. [12.8](#page-15-0). Two maps were acquired at 7.2 keV (above the Fe K-edge), one covering the older, yellowish paint layers and one covering the more recent, whiter, upper paint layers. The elemental maps show that in the older paint layers, lead is the main element, with some layers containing calcium, barium, and sulfur. The fnding of lead in the older paint layers is in line with the common use of lead white in that time period, and based on similar knowledge of pigment use in modern times, the fnding of titanium in the upper paint layers can for instance be hypothesized to be due to the pigment titanium white. However, in these cases, μ-XRF data cannot provide a defnitive identifcation, for which one relies on techniques with a higher chemical specifcity. This is also the case for a number of other μ-XRF observations—such as the fnding of barium and titanium in some of the oldest paint layers and the presence of many thin layers of sulfur—for which more precise identifcation is necessary in order to determine their origin.

12.4.2 μ-XAS

Detailed information about the methodological and theoretical principles behind XAS can be found in refs. (Penner-Hahn [1999](#page-56-7)) *and* (Rehr and Albers [2000](#page-56-8)).

μ-XAS refers to a set of techniques that aim to extract chemical information from the spectral structure around and above elemental absorption edges. Since the collection of X-ray absorption spectra requires extensive tunability and high monochromaticity of the X-ray beam, it is still today essentially a synchrotron-based technique, particularly for heterogenous complex systems as encountered in paint composition and alteration studies. XAS is performed in two distinct energy ranges: X-ray absorption near-edge structure (XANES) and extended X-ray absorption fne structure (EXAFS). XANES focuses on the energy range close to the absorption edge—containing the discrete orbital-to-orbital transition features—and is particularly useful for probing oxidation states and identifying compounds. EXAFS focuses on the higher energy range that corresponds to core-shell-to-continuum transitions. It can be used to extract precise quantitative measurements of the average coordination numbers and bond lengths. As EXAFS has as of yet not been shown in a microchemical imaging application in the context of oil paint degradation studies, this section will be focused on μ-XANES, while the prospects of the capabilities of μ-EXAFS imaging are discussed in Sect. [12.6](#page-29-0).

The capability of XANES to probe oxidation states of elements makes μ-XANES a particularly powerful technique to study (mostly) environmentally induced degradation processes of inorganic pigments on the microscale. Some notable examples of results obtained through μ-XANES on degradation oil paint systems include the identifcation of cinnabar degradation products (Cotte and Susini, [2009\)](#page-50-10), the identifcation of oxidative cadmium yellow degradation products (Van Der Snickt et al. [2009,](#page-58-4) [2012](#page-58-10)), the elucidation of the various factors that affect the reduction of lead chromate (Monico et al. [2011](#page-55-4), [2015a](#page-55-9), [2016\)](#page-55-10), and the characterization of the degradation-migration pathways of realgar (As_4S_4) , orpiment (As_2S_3) , and emerald green $(3Cu(AsO₂)₂·Cu(CH₃COO)₂)$ (Keune et al. [2015](#page-53-6), [2016](#page-53-7)). Besides degradation

studies, μ-XANES can also be used to distinguish different qualities. It was used for example to identify a marker for the preparation method (in particular heat treatment) of ultramarine pigment from lapis lazuli in historical paints (Gambardella et al. [2020\)](#page-51-5).

One of the primary goals of μ-XANES analysis on oil paints is to resolve spatial distributions of the different components, in particular degradation products relative to the original pigment and possible other reactants. Two main approaches are currently taken, depending on the chemical species under study and the available instrumentation. The frst approach is sometimes called chemical state (or speciation) mapping and works particularly well when absorption edges exhibit markedly different spectral features. Chemical state mapping involves the recording of a small number (2–4) of XRF maps at different excitation energies (see also examples below). When the excitation energies are chosen so as to correspond to XANES absorption features that are specifc for certain compounds or oxidation states, chemical maps can be reconstructed that specifcally show the distribution of one compound or the other (Monico et al. [2015a](#page-55-9), [2016;](#page-55-10) Pouyet et al. [2015](#page-56-3); Radepont et al. [2011;](#page-56-6) Van Der Snickt et al. [2009](#page-58-4), [2012](#page-58-10)).

The second approach is based on recent developments in detector technology and involves the recording of hyperspectral μ-XANES maps and subsequent ftting with reference spectra. This approach is particularly useful when the X-ray absorption behavior of the species of interest is more subtly different, or when complex mixtures of compounds are present. Using single-element X-ray detectors, hyperspectral maps can only be recorded by raster scanning the same area many times for different excitation energies. With conventional detector technology, this approach is only feasible for low-defnition images. One demonstrated solution involves the use of the recently developed new-generation Maia detector: an annular X-ray detector with a much larger solid angle that enables faster raster scanning of samples and has been used to record hyperspectral XANES maps to study chrome yellow degradation (Monico et al. [2015a\)](#page-55-9). The second solution is to employ a full-feld imaging approach using scintillators and very sensitive CCD or CMOS cameras. Megapixel hyperspectral images can be recorded at once, circumventing time-costly raster scanning and the need for tightly focused X-ray beams. This principle has been demonstrated for the study of the degradation of cadmium yellow and for the characterization of sulfur chemistry in ultramarine pigments as a function of their post-synthesis treatments, mentioned above (Gambardella et al. [2020;](#page-51-5) Pouyet et al. [2015\)](#page-56-3).

The application of the μ -XANES technique to the Delft sample is shown in Fig. [12.9.](#page-16-0) The goal of these experiments was to determine more precisely the identity of the sulfur species, in particular in the many thin layers observed using μ-XRF (see Fig. [12.8\)](#page-15-0). First, a μ-XRF map was acquired at 2.55 keV (i.e., above the S K-edge and Pb $M₅$ -edge) to localize lead and the thin sulfur layers. 51 XANES spectra were then acquired at the maximum XRF emission intensity of each of these S layers. These spectra could be grouped into four main classes and averaged (Fig. [12.9c\)](#page-16-0). They reveal different sulfur species, ranging from reduced sulfur (sulfide, $S²$, here preliminary attributed to ZnS), to more oxidized forms (sulfite and sulfate, S^{4+} and S^{6+}). The reference spectra of three sulfates (PbSO₄, CaSO₄.2H₂O and $BaSO₄$) show that they all have a maximum absorption at a similar energy position (around 2.482 keV), but differ by their fne structure. Comparing the four experimental XANES with these fve reference spectra, experimental spectrum 1 can be hypothesized to have been recorded on a mixture of $CaSO₄·2H₂O$ and a sulfite, spectrum 2 on a mixture of $PbSO_4$ and a sulfite, spectrum 3 on BaS O_4 , and spectrum 4 on a mixture of ZnS and BaSO4. Based on these spectra, four energies were chosen to map these different species: $S_a = 2.4736 \text{ keV}$ (maximum absorption for ZnS), $S_b = 2.477$ keV (maximum absorption for sulfite), $S_c = 2.481$ keV (shoulder in the PbSO₄ spectrum) and $S_d = 2.4822$ keV (maximum absorption for all sulfates). From these maps and the experimental S K-edge XANES spectra, it could be concluded that the top paint layers contain sulfur in the forms of ZnS and BaSO4, suggesting the use of the white pigment lithopone. Furthermore, μ-XANES analyses of the thin sulfur-rich layers reveal a mixture of sulftes and sulfates. The sulfates in the layers found among lead-pigmented layers were found to most closely resemble anglesite $(PbSO₄)$, whereas those found on top of the calcium-rich layer more closely resemble gypsum $(CaSO_4·2H_2O)$. Although these compounds have been used as paint components in the past (anglesite as Flemish white and gypsum as an extender), here, their mixture with sulftes and their systematic distribution as thin layers alternated with lead white layers suggests a degradative origin; likely due to prolonged exposure to atmospheric pollutants, such as SO_2 .

12.4.3 μ-XRD

A comprehensive review of both the instrumental and theoretical aspects of XRD and μ-XRD can be found in refs. (Giacovazzo et al. [2009](#page-52-4); Gonzalez et al. [2020\)](#page-52-5). *The application of μ-XRD to cultural heritage in general has been reviewed previously* (Gonzalez et al. [2020](#page-52-5))*.*

When scattered elastically off a material with crystalline properties, X-rays form diffraction patterns that are highly characteristic of the chemical make-up of the material and its various crystalline phases. X-ray diffractograms are recorded by irradiating a crystalline sample with a monochromatic X-ray beam and recording the intensity of refected X-rays as a function of the outgoing angle. According to Bragg's law, positive interference occurs for specifc angles *θ*, under the condition that the following relation holds:

$$
2d\sin\theta = n\lambda \tag{12.2}
$$

Here, d is the spacing between certain crystallographic planes, λ is the wavelength of the X-ray beam, and *n* is an integer that corresponds to the order of the interference maximum. X-ray diffractograms typically consist of many (>10) narrow peaks, making the technique highly chemically specifc for the analysis of crystalline compounds.

Common applications of μ-XRD in studies of oil paint include the identifcation and mapping of crystalline metal carboxylates and inorganic degradation products (Cotte et al. [2008;](#page-50-9) Radepont et al. [2011;](#page-56-6) Salvadó et al. [2009](#page-57-8); Simoen et al. [2019;](#page-57-9) Van Der Snickt et al. [2009](#page-58-4), [2012\)](#page-58-10), and the characterization of certain pigments (Cotte et al. [2008](#page-50-9); Gonzalez et al. [2017b](#page-52-6); Monico et al. [2013](#page-55-5); Welcomme et al. [2007](#page-59-3)). In currently reported μ-XRD analyses of oil paint, both mapping and characterization experiments are performed in transmission geometry. For mapping, the use of a transmission geometry (as compared to refection geometry) reduces the on-sample lateral spot size of microfocused X-ray beams and prevents shadowing of Debye-Scherrer rings, especially at small diffraction angles (Welcomme et al. [2007](#page-59-3)).

One approach to recording transmission geometry μ-XRD maps is currently shown to be particularly useful for applications in oil paint analysis. In this approach, the sample is raster scanned under an X-ray microbeam and diffraction patterns are imaged in full-feld using a scintillator in combination with a 2D CCD (Cotte and Susini [2009](#page-50-10); Gonzalez et al. [2017b](#page-52-6); Radepont et al. [2011](#page-56-6); Van Der Snickt et al. [2009,](#page-58-4) [2012](#page-58-10)). Compared to the more conventional way of recording powder X-ray diffractograms, in which an angular range is scanned using a single-element X-ray detector, the full-feld approach is substantially faster, allowing many points to be analyzed in a relatively short period of time. Some of the previously referenced papers show how it allows the imaging of oxidation products of cadmium yellow (Cotte and Susini [2009](#page-50-10); Van Der Snickt et al. [2009](#page-58-4)), lead sulfates, copper oxalates, and copper hydroxyl chlorides (Mantler and Schreiner [2000\)](#page-54-9), different grades of lead white (Welcomme et al. [2007](#page-59-3)), and degradation products of vermillion (Cotte and Susini [2009](#page-50-10)).

A second approach that is particularly noteworthy in discussing the state-of-theart of μ-XRD analysis of oil paints is X-ray diffraction tomography. Reported frst in this context by Vanmeert et al. (2015) (2015) , the technique is used to preserve the depth information that is normally lost when recording diffraction data in transmission. As reported by Vanmeert et al. and later by Price et al. ([2019\)](#page-56-9), it works by repeatedly scanning a line of the microsample with a microfocused X-ray beam and recording diffractograms in transmission with a 2D scintillator-CMOS or hybrid photon counting (HPC) detector system. After each repetition the sample is rotated by a few degrees, such that a rotation of 180° or 360° is reached after about 100 successive rotational steps. After reconstruction with a fltered back-projection (FBP) or maximum-likelihood expectation-maximization (MLEM) algorithm, a virtual 2D cross-section is obtained with a full X-ray diffractogram for each pixel. The spatial resolution of this technique is determined by the diameter of the X-ray beam and the number of rotational steps and is in the order of one to a few μm (Vanmeert et al. [2015](#page-59-4); Price et al. [2019\)](#page-56-9). A comparison of 2D XRD tomograms and a regular μ-XRD map recorded on a pustular mass from a painting by Vincent van Gogh is shown in Fig. [12.10](#page-22-0). Here, it can be seen that voids in the distribution of crystalline material have formed in the pustular mass—something that cannot be observed in the regular 2D μ-XRD map.

Fig. 12.10 2D μ-XRD mapping (**a**) versus 2D XRD tomography (**b**) of a pustular mass sampled from Vincent van Gogh's *Wheat Stack Under a Cloudy Sky* (Kröller-Müller Museum). The two 2D tomograms show identical virtual cross-sections with the green color in the bottom tomogram representing a plumbonacrite phase. The white arrows indicate the voids in the distribution of crystalline material. Reprinted from ref. (Vanmeert et al. [2015\)](#page-59-4)

The application of the μ-XRD technique to the Delft sample is shown in Fig. [12.11](#page-23-0). The diffraction data was recorded on a microtomed 15 μm thin section in a transmission geometry (Fig. [12.7c\)](#page-17-0) in order to have good control of the probed volume and optimize the absorption and diffraction intensity. Having access to full X-ray diffractograms for each pixel, it is now possible to unambiguously identify all crystalline compounds present throughout the 50+ different paint layers. Hydrocerussite and cerussite are both detected in the lead-pigmented layers in various ratios, indicating the presence of lead white produced using different manufacturing processes or having undergone different post-synthesis treatments (Gonzalez et al. [2017b](#page-52-6)). In the more recently applied paint layers towards the top of the sample, zincite (ZnO) is detected, indicating the use of the pigment zinc white, introduced in the frst half of the nineteenth century. Here, alternating zinc white and lead white layers show the gradual progression in the use of different white pigments. TiO₂ (rutile) is the main component of five recent layers, separated by one ZnS/BaSO4 layer, indicating the use of the modern pigment titanium white and lithopone respectively. In addition, a lead titanate $(PbTiO₃)$ phase was observed in some of the upper titanium white paint layers. The use of lead titanate as a highly durable pigment for use in protective paint has been proposed in the 1930s, but has

Fig. 12.11 μ-XRD data obtained on the thin section of the Delft sample. (**a**) False color image showing the distribution of different crystalline phases, calculated by integrating the XRD intensity at specifc diffraction peaks, indicated by colored asterisks in (**b**). (**b**) Diffractograms obtained by averaging XRD patterns over pixels exhibiting corresponding colors in the phase maps. Data was obtained at ID13, ESRF, using an X-ray beam tuned to 13 keV and focused down to 1.5 μm × 2.2 μm using a compound refractive lens (see Fig. [12.6\)](#page-14-0).

never found widespread use, reportedly due to its high cost of production (Robertson [1936\)](#page-56-10). In terms of (possible) degradation products, anglesite could now be identifed with a high degree of certainty, and its distribution mapped with respect to the many lead white paint layers. Gypsum is also confrmed at the surface of the early calcite layers.

Comparing the information obtained from μ-XRD with the information obtained using μ-XRF and μ-XANES, it is clear that μ-XRD is particularly powerful for unambiguously identifying pigments and crystalline degradation products. On the other hand, only μ-XANES appears to be able to show the presence of sulfte species in the bottom lead white layers, most likely because this phase is (mostly) amorphous. Overall, for studies of oil paint, the choice of (X-ray) based imaging method strongly depends on the research question, the sample composition, as well as on constraints with regards to sample preparation.

12.5 Methods Based on Charged Particle Beams

The two main principles involving charged particles beams that are applied to the study of paint are electron scattering and secondary ion generation. Electron scattering forms the basis for electron microscopy and the commonly integrated energy dispersive X-ray spectrometry, while secondary ion generation forms the basis for secondary ion mass spectrometry (SIMS). In the following sections three different techniques will be discussed: scanning electron microscopy energy-dispersive X-ray spectrometry (SEM-EDX), transmission electron microscopy (TEM), and imaging SIMS.

12.5.1 SEM-EDX

A comprehensive overview of the instrumental aspects of SEM-EDX can be found in ref. (Goldstein et al. [2003](#page-52-7)).

SEM-EDX works with a tightly focused electron beam that is scattered off the analyzed sample and subsequently detected using an electron-sensitive detector. For imaging purposes, the most common approach is to detect the elastically backscattered electrons—analogous to the use of refection geometry in optical microscopy. As compared to photon-based imaging methods, SEM has a clear advantage in terms of spatial resolution. While the diffraction limit of IR, visible, and UV photons and the physically limited focusability of X-rays pose substantial challenges for photon-based imaging at the nanoscale, electron beams can readily be focused down to only a few nanometers to obtain images of comparable spatial resolution.

Besides scattering elastically on the sample surface and inelastically generating secondary electrons, the focused electron beam also induces the ejection of core electrons from the measurement volume. This process is similar to the X-rayinduced core electron ejection that forms the basis for XRF and likewise results in the emission of characteristic X-rays. In this respect, the elemental mapping capabilities of SEM-EDX are comparable to the capabilities of μ-XRF, with the clear advantage that SEM-EDX does not require synchrotron-generated X-rays. A conscious choice may be made for either one, depending on whether there is an interest in low-concentration elements and whether the study of a sample is already planned to involve the use of synchrotron-generated microfocused X-ray beams (see Sects. [12.4.2](#page-18-0) and [12.4.3](#page-20-0)).

Due to the unique combination of high-resolution imaging and elemental mapping capabilities and the fact that mature lab-based instrumentation has been around for several decades (Goldstein et al. [2003\)](#page-52-7), SEM-EDX has evolved to become one of the primary methods of oil paint microanalysis. Common ways by which SEM-EDX analysis can inform on oil paint composition and degradation is through identifying the elements in degradation-related surface crusts (Van Loon et al. [2011;](#page-59-5) Mass et al. [2013b](#page-54-10); Spring and Grout [2002\)](#page-58-9), visualizing and identifying aggregates

and protrusions of degradation products (Gabrieli et al. [2017](#page-51-2); Helwig et al. [2014;](#page-52-8) Keune et al. [2011;](#page-53-8) Osmond et al. [2013;](#page-56-0) Romano et al. [2020](#page-57-1); Van der Weerd et al. [2004\)](#page-58-3), studying early signs of paint delamination (Helwig et al. [2014;](#page-52-8) Van Loon et al. [2019;](#page-59-0) Rogala et al. [2010](#page-57-10)), and resolving surface texture for studies of water sensitivity, blanching, and ultramarine disease (Burnstock et al. [2006](#page-49-5); de la Rie et al. [2017](#page-50-11); Mills et al. [2008](#page-54-11)). Two examples that show the value of the highresolution imaging capabilities of SEM and the elemental mapping capabilities of EDX are shown in Fig. [12.12.](#page-25-0) a and b show SEM backscatter images of lead soap aggregates in two distinct stages of development, demonstrating how contrast in electron density can inform on migration and remineralization phenomena in degraded oil paints. Figure [12.12c, d](#page-25-0) show an example of a 2D EDX raster scan and corresponding SEM backscatter image, recorded on a whole cross-section taken from an oil painting suffering from cracking. Lead white and zinc white can be identifed in the top and middle layer respectively, which—due to some discontinuity—are both in contact with a carbon black layer that is painted on top, but cannot be observed clearly using SEM-EDX.

Fig. 12.12 SEM backscatter images of lead soap aggregates in early (**a**) and later (**b**) stages of development. (**c**) SEM backscatter image of a cross-section a painting whose upper layers have suffered from cracking. The white rectangle indicates the region that was scanned using EDX. (**d**) Overlay of SEM backscatter image and false color EDX map. (**a**) and (**b**) reproduced with permission from ref. (Keune et al. [2011](#page-53-8)). (**c**) and (**d**) Reprinted from ref. (Rogala et al. [2010\)](#page-57-10) with the permission of Taylor and Francis Ltd.

One alternative approach to EDX for elemental mapping using the SEM platform is wavelength-dispersive X-ray spectrometry (WDS). This approach is based on the wavelength-dependent diffraction angle of X-rays on a single crystal and actually predates the EDX approach. Although WDS is much slower than EDX due to the mechanical scanning of diffraction angles, its spectral resolution and quantitative capabilities are superior. One example of how SEM-WDS can be used for studies of oil paint degradation was reported by Geldof et al. ([2019\)](#page-51-6)*.* Here, WDS is chosen over EDX for the characterization of chrome yellows ($PbCr_1, S_2, O_4$) in terms of the stoichiometric factor x, due to the strong spectral overlap of the sulfur $K\alpha$ and lead Mα emission lines.

12.5.2 TEM

A detailed account of the instrumental aspects of TEM and the associated sample preparation can be found in ref. (Williams and Carter [2009](#page-59-6))*.*

TEM is based on the imaging of transmitted electrons either by scanning a focused electron beam (scanning transmission electron microscopy, STEM) or by employing a full-feld confguration with a 2D electron detector array. Due to complex interplay between higher accelerating voltages (shorter electron wavelength), lower electron densities, and different scattering and absorption processes of the detected electrons, TEM has a spatial resolution that is about an order of magnitude better than conventional SEM. Despite this interesting capability, TEM is much less widely applied than SEM-EDX for the study of oil paint. This can mostly be traced back to one primary reason; since TEM is based on the transmission of electrons,

Fig. 12.13 TEM-EELS images of chrome yellow before (top row) and after aging (bottom row) under UV-Vis light. The numbers in the top right of each map show the estimated fraction of each compound in the analyzed sample. Reprinted from ref. (Tan et al. [2013\)](#page-58-12) with the permission of Wiley-VCH

samples need to be extremely thin. Depending on the material, a sample thickness of no more than a few hundred nanometers is required.

In the paint studies, TEM was initially used as an alternative to SEM-EDX for pigment identifcation (Barba et al. [1995](#page-48-6); Papillon et al. [1987](#page-56-11); San Andres et al. [1997\)](#page-57-11). In these cases, the reason to choose TEM over SEM-EDX is the ability to perform electron diffraction; providing direct structural information that allows unambiguous identifcation of paint components. A TEM modality that is of particular interest for studies of oil paint degradation is electron energy loss spectroscopy (EELS). EELS measures the loss in energy of transmitted electrons using an electron spectrometer. These energy losses tend to correspond to core-level electronic transitions in a way that is conceptually similar to XANES. Similar transitions are probed; providing information about the oxidation state and coordination environment of certain elements. Combining STEM and EELS, Casadio et al. [\(2011](#page-49-6)) were able to elucidate the chemical process leading to the darkening of zinc yellow $(K_2O \cdot 4ZnCr_2 \cdot 3H_2O)$ oil paints. Here, EELS works by recording electron losses in resonance with Cr L-edge transitions, providing highly localized information about the oxidation state of chromium. Monico et al. [\(2011](#page-55-4)) and Tan et al. [\(2013](#page-58-12)) show how the extraordinarily high lateral resolution of TEM-EELS allows to visualize the core-shell structure of degraded chrome yellow particles—identifying up to three different phases based on O K-edge and Cr L_{23} -edge speciation. Taken from Tan et al. distribution maps of these three phases obtained through ftting reference spectra to the hyperspectral maps are shown in Fig. [12.12](#page-25-0). Comparing the pigment before and after light-induced aging reveals that the $PbCrO₄$ core is reduced to a Cr_2O_3 shell. Given the nanometric thickness of the reduced shell structure, it is evident that the ability to perform chemically specifc analysis at the nanoscale is an important asset in the microchemical imaging toolbox.

The combination of STEM and EDX was demonstrated by Vandivere et al. [\(2019a,](#page-59-7) [b\)](#page-59-8) to obtain very detailed information on pigmentation in Vermeer's *The Girl with a Pearl Earring*. Here, preparation of samples as 200 nm thin sections by means of a focused ion beam (FIB) was shown to drastically improve the spatial resolution of the obtained elemental maps. STEM-EDX was also shown by Van Driel et al. ([2018\)](#page-59-9) to be able to perform mapping and identification of different types of inorganic surface coatings and non-stoichiometric surfaces on titanium whites. The ability to resolve coatings and the stoichiometry of titanium white surfaces has previously been hypothesized to be related to the pigment's photocatalytic properties (Cushing et al. [2017;](#page-50-12) van Driel et al. [2016](#page-58-13); Pan et al. [2013](#page-56-12); Zhao et al. [2017\)](#page-59-10), again showing the utility of chemical imaging at the nanoscale for the study of oil paint composition.

Another particularly noteworthy application of TEM to the study of oil paint was recently published by Hermans et al. [\(2018](#page-53-9)). They report on the use of TEM to produce 3D reconstructions of nanometric zinc soap agglomerates in degraded zinc white oil paint model systems. Similar to most other tomography methods, transmission images are recorded under a series of tilt angles. From the obtained set of projections, a 3D reconstruction can algorithmically be retrieved. Reconstructions of a single agglomerate show a lamellar structure with a high degree of disorder. The crystalline domains are in the order of several tens of nanometers, with many domains measuring no more than a few unit cells.

12.5.3 Imaging SIMS

A detailed account of the various aspects of imaging SIMS and some other imaging mass spectrometry techniques can be found in ref. 136. (Massonnet and Heeren [2019](#page-54-12)).

Moving from electrons to ions, imaging SIMS is the last technique to be discussed in this review. It is unique in the context of all other discussed methods, as it derives its chemical specifcity from the mass of atoms and molecules. SIMS uses a primary ion beam to generate secondary ions on the surface of a sample. These primary ions are metallic ions or ionic clusters of gallium, indium, gold, or bismuth, while the secondary positive or negative ions consist of sample material (or fragments thereof) and are separated based on their weight-to-charge ratio m/z. Analysis of m/z in SIMS is typically done using either a time-of-fight (TOF) or quadrupole mass analyzer (Dawson [1975](#page-50-13); Massonnet and Heeren [2019;](#page-54-12) Niehuis et al. [1987\)](#page-55-11). Due to the limited ability of these primary ions or ionic clusters to penetrate into the sample and the limited ability of secondary ions to escape from the sample, SIMS is very much a surface technique, probing only the frst 1–2 nm. Spatially resolved SIMS analysis is enabled by the use of a microfocused ion beam which is raster scanned over the sample surface. For imaging applications, the ion beam is slightly defocused, yielding beam diameters ranging from several hundreds of nanometers to several micrometers.

In the context of oil paint studies, SIMS has a broad chemical sensitivity that can perform chemically specifc analysis of low- and high-Z elements (Baij et al. [2019;](#page-48-0) Boon et al. [2001;](#page-49-7) Keune et al. [2009](#page-53-10); Keune and Boon [2004](#page-53-11), [2007;](#page-53-0) Voras et al. [2015\)](#page-59-11), common moieties such as sulfates or carbonates (Boon et al. [2001](#page-49-7)), lead chloride alteration products (Keune et al. [2009\)](#page-53-10), as well as fatty acids and their salts such as lead and zinc soaps (Baij et al. [2019](#page-48-0); Boon et al. [2001;](#page-49-7) Keune et al. [2009](#page-53-10); Keune and Boon [2004](#page-53-11), [2005](#page-53-12), [2007](#page-53-0); Noun et al. [2016;](#page-56-13) Richardin et al. [2011](#page-56-14); Sanyova et al. [2011\)](#page-57-12). One recent study that shows some of the wider capabilities of imaging SIMS enabled by using Bi_3 ⁺ clusters was reported by Voras et al. (Voras et al. [2015](#page-59-11)). Here, as a follow-up to two previous studies of Matisse's *Le Bonheur de Vivre* (Mass et al. [2013a](#page-54-1), [b\)](#page-54-10), the authors demonstrate how imaging SIMS can perform chemically specifc analysis of the intact cadmium yellow pigment, its various oxidation products, and amino acid fragments, while also resolving elemental distributions. The demonstration of such analyses at an estimated spatial resolution of 7.5 μm shows that modern imaging SIMS instrumentation can retrieve a wide range of information that may otherwise have required XRD, EDX, XANES, and FTIR analyses.

12.6 Future Prospects

Sections [12.2,](#page-4-0) [12.3,](#page-10-0) [12.4](#page-13-0) and [12.5](#page-24-0) reviewed the state-of-the-art of microchemical imaging currently applied to the study of oil paint composition and degradation. The aim of this fnal section is to critically discuss the capabilities and limitations of the current methodological toolbox and formulate perspectives on future research endeavors in oil paint studies. Such perspectives are illustrated by identifying four general research objectives that address the technological limitations of the microchemical imaging techniques reviewed previously: improved retrieval of spatial information, improved retrieval of chemical information, addressing the limited statistical relevance of analysis on paint microsamples, and integration of computational methods in the processing of microchemical data.

12.6.1 Improved Retrieval of Spatial Information

The spatial information captured in a chemical image is hard to defne formally and has aspects far beyond the scope of this chapter (Yu and Winkler [2013](#page-59-12)), but here the discussion will be limited to the two aspects of spatial information that are considered most relevant for the study of highly heterogeneous degraded oil paint samples: spatial resolution and defnition (Bertrand et al. [2013b](#page-49-8)). Here, spatial resolution is defned as the dimensions of the smallest detail that can still be resolved, while defnition is defned as the total number of spatial points that can be analyzed in a reasonable timeframe. An improvement in spatial resolution reduces the smallest scale at which chemical information can be gathered, whereas an increase in the total number of analyzed spatial points allows access to different length scales at once and can increase the statistical relevance of the obtained information (Bertrand et al. [2013b\)](#page-49-8). Here, a perspective is formulated on how certain technological developments and statistical approaches could lead to improvements in the retrieval of spatial information of degraded oil paint samples at various length scales through microchemical imaging.

12.6.1.1 Improvements in Spatial Resolution

In studies of oil paint, different chemical questions need to be addressed at different length scales. For instance, while the origin of metal soap-related delamination issues can typically be identifed through chemical imaging on a length scale in the order of 10 μm (Van Loon et al. [2019\)](#page-59-0), some fundamental, chemically relevant properties of oil paints only show up on length scales in the order of tens to hundreds of nanometers (Bertrand et al. [2013a](#page-48-1); Casadio and Rose [2013](#page-49-4)). Especially in studies that aim to specifcally address the earliest signs of degradation (e.g., microfssure formation) or the chemical interactions between submicrometric pigment particles and the binding medium, crucial information is expected to be found in the nanometric reaction volume at the pigment-medium interface. The following section discusses some technologies that are capable of signifcant improvements in spatial resolution, but has so far only been applied sparsely (or not at all) in studies of oil paint.

As was discussed in Chap. [2](https://doi.org/10.1007/978-3-030-86865-9_2), Sect. [2.1](https://doi.org/10.1007/978-3-030-86865-9_2), μ-FTIR is a technique that has proven very powerful for the study of oil paint composition, but also suffers from intrinsic limitations in terms of spatial resolution. AFM-IR circumvents these intrinsic limitations by employing an ultrafne mechanical probe, reaching a spatial resolution in the order of tens of nanometers (see Chap. [2,](https://doi.org/10.1007/978-3-030-86865-9_2) Sect. [2.2](https://doi.org/10.1007/978-3-030-86865-9_2)). Despite its demonstrated potential for oil paint studies (Ma et al. [2019](#page-54-5); Morsch et al. [2017](#page-55-2)), preliminary experiments by the authors have revealed that the mechanical heterogeneity of cured oil paint samples severely hampers the routine application of the technique. Furthermore, AFM-IR is a relatively young technique which is still under rapid development. For these reasons, further studies are required to fully elucidate the compatibility of this technique with mechanically heterogeneous samples and to explore the positive and negative effects of the various measurement modalities that already have been developed or are currently under development. A recent demonstration of how specifc preparations of mechanically heterogeneous cultural heritage samples can facilitate compatibility with AFM-IR was reported by Reynaud et al. [\(2020](#page-56-15)).

In Sect. [12.3.2](#page-13-1), some previously reported applications of Raman microspectroscopy were discussed. The Raman scattering modality was shown to be very useful in complementing other means of analysis, providing chemical specifcity for compounds that are challenging to discriminate otherwise. The spatial resolution of the technique is determined by the focal volume of the excitation laser and is in the order of $1-2$ µm. Significant improvements to this resolution can be realized by making use of wide-feld Raman imaging or tip-enhanced Raman scattering (TERS). Wide-feld Raman imaging is a technique that is operationally similar to PL microimaging (see Chap. [2](https://doi.org/10.1007/978-3-030-86865-9_2), Sect. [2.3](https://doi.org/10.1007/978-3-030-86865-9_2)), but instead of broadband emission filters, employs tunable narrow-band emission flters (Schaeberle et al. [1999\)](#page-57-13). As the technique works according to a full-feld confguration and records images in the visible or near-IR range, a submicrometric spatial resolution can readily be achieved (Schaeberle et al. [1999](#page-57-13)). Moreover, this full-feld approach means that millions instead of hundreds—of spatial points can be analyzed in a reasonable timeframe. Wide-feld Raman imaging therefore also addresses the focus of Sect. [12.6.1.2:](#page-31-0) increasing the number of analyzed spatial points.

TERS is based on the phenomenon of surface-enhanced Raman scattering (SERS) (Campion and Kambhampati [1998\)](#page-49-9). SERS is induced when the probed molecule is in contact with a nanostructured metallic surface and can locally enhance the Raman signal by several orders of magnitude. The SERS phenomenon is particularly useful as the unenhanced Raman process has an extremely low crosssection, which prohibits analysis of low-concentration compounds and necessitates the use of intense laser irradiation—posing a substantial risk in terms of radiation damage. Using a gold-coated AFM tip to induce the SERS effect, it is possible to record Raman spectra well below the diffraction limit, improving further upon the diffraction-limited spatial resolution of wide-feld Raman microimaging (Anderson [2000\)](#page-48-7). This technique does not employ the mechanical detection scheme used for AFM-IR, so the compatibility issues with mechanically heterogeneous cured oil paint samples are not expected to show up as strongly.

As described in Sect. [12.4,](#page-13-0) methods in the X-ray range are widely applied in oil paint studies. Microscopic X-ray based methodologies provide access to information on redox chemistry, coordination chemistry, while allowing discrimination between compounds of high chemical similarity. Besides beam divergence, measurement volume, and sample thickness, the spatial resolution of X-ray based techniques is for a large part limited by the X-ray focusing optics. Recent developments in synchrotron technology and X-ray focusing optics have led to more routine application of both soft and hard X-ray nanoprobes with sizes in the order of tens of nanometers (Sakdinawat and Attwood [2010](#page-57-14)). Moreover, similar focusing optics can be applied as imaging optics in full-feld X-ray transmission experiments. The two main techniques associated with X-ray nanoprobes and full-feld X-ray nanoimaging are called scanning transmission X-ray microscopy (STXM) and transmission X-ray microscopy (TXM) respectively. Due to the tunability of synchrotrongenerated X-ray beams, STXM and TXM can be operated in XANES contrasting mode and are therefore for instance foreseen to have the ability to provide crucial information on the early stages of the formation and migration of oxidation and reduction products.

12.6.1.2 Increasing the Number of Analyzed Spatial Points

The second point of focus in this section is the projected increase in the total number of spatial points that can be analyzed in a reasonable timeframe. The retrieval of chemically specifc information at a single spatial point may take anywhere between a few milliseconds (e.g., multispectral PL microimaging) to several minutes (e.g., Raman microspectroscopy), meaning that the total amount of spatial information obtained in a reasonable experimental timeframe may be anywhere between several tens and several millions of spatial points. Especially when studying highly heterogeneous samples, the information obtained from the analysis of several tens of points may hold very little statistical relevance. Moreover, when analyzing certain radiation sensitive compounds, long exposure times may cause radiation damage, which often changes the outcome of the measurement and can prevent subsequent chemical analysis on the same sample. As oil paint samples exhibit a high degree of heterogeneity and can contain materials prone to photo-induced reactions, technological developments aimed at speeding up microchemical analysis are considered crucial. Here, a perspective is proposed on the implementation of both instrumental and statistical methods to increase the total number of analyzed spatial points in the same timeframe.

The frst approach is an instrumental one and has been developed specifcally to speed up X-ray absorption spectroscopy analysis. All of the currently reviewed studies that involve the use of μ-XAS record spectra by scanning the energy of the excitation beam with a monochromator and recording either a raster-scanned or full-feld image. Energy-dispersive XAS uses an elliptically bent polychromator to select a broad energy range that covers an entire absorption edge at once, while simultaneously focusing the beam to micrometric dimensions (Couves et al. [1990\)](#page-50-14). As the transmitted divergent beam is energy-dispersed, a linear detector array placed some distance behind the sample allows to record a full absorption spectrum at once. Due to the extremely high brightness of third-generation synchrotron beamlines, it has recently been shown that full X-ray absorption spectra can be recorded using only a single 100-ps electron bunch (Pascarelli et al. [2016\)](#page-56-16). Besides its applications for time-resolved XAS studies (Gervais et al. [2013b\)](#page-52-9), the technique has specifc potential for dramatically reducing the exposure time needed to record full hyperspectral X-ray absorption maps on thin sections of oil paint samples.

The second approach is based on the XANES/XRF speciation mapping method described in Sect. [12.4.2](#page-18-0) (Pouyet et al. [2015;](#page-56-3) Radepont et al. [2011](#page-56-6); Van Der Snickt et al. [2009](#page-58-4), [2012\)](#page-58-10) and the previously discussed multispectral PL microimaging method (Bertrand et al. [2013a](#page-48-1); Comelli et al. [2017](#page-50-7); Hageraats et al. [2019a;](#page-52-3) Van Loon et al. [2019;](#page-59-0) Thoury et al. [2011,](#page-58-7) [2019\)](#page-58-8), but is foreseen to be similarly applicable to any other technique that relies on scanning an excitation or emission energy. Both XANES/XRF speciation mapping and multispectral PL microimaging essentially compromise the spectral resolution from hundreds of spectral points to just a few, in order to drastically reduce the measurement time per point. The rationale behind reducing the number of spectral points is (1) the notion that the intrinsic width of absorption and emission features tends to exceed the spectral resolution of most spectrometers and (2) the notion that, within a typically probed spectral range, many spectral features provide redundant information about the same compound. In the previously referenced examples on XANES/XRF speciation mapping and multispectral PL microimaging, energies were chosen either based on visual inspection of XANES spectra or simply based on the availability of spectral bandpass flters. It is postulated here that studies of oil paint could greatly beneft from the development of statistical models (for instance based on discriminant analysis) that determine from high-resolution spectra the number and energy of spectral points required to obtain certain threshold fractions of information. In this way, maps recorded in a multispectral manner could approach the chemical specifcity of hyperspectral maps while signifcantly lowering the analysis time and radiation dose.

A detailed account of the theoretical background of this approach applied to as well as an example on cerium speciation in a paleontological sample was recently reported by Cohen et al. [\(2020](#page-50-15))*.*

Just like a full spectrum contains many redundant spectral points, the signal in any data set can be said to manifest a certain level of redundancy with respect to the noise level. That is, the information content in a data set with a high signal-to-noise ratio may be approximately equal to a similar data set with a low signal-to-noise

ratio, even though the latter took less time to record. Therefore, the third method for increasing the number of analyzed spatial points is based on a critical evaluation of the signal-to-noise ratio (SNR) that is required to discriminate between different compounds. It is here proposed to make use of the principle of zeta-scores, which measure the distance between two data points x_i and x_j normalized to the expected standard deviation σ in this distance if the two data points were recorded on exactly the same sample (Analytical Methods Committee [2016](#page-48-8)):

$$
\zeta_{x_i, x_j} = \frac{\|x_i - x_j\|}{\sqrt{\sigma_{x_i}^2 + \sigma_{x_j}^2}}
$$
\n(12.3)

Recognizing that the SNR of a spectrum \vec{R} can be calculated by taking the ratio between its mean value μ and its standard deviation, the zeta-score equation for spectra can be rewritten as:

$$
\zeta_{R_i,R_j} = \frac{\|\boldsymbol{R}_i - \boldsymbol{R}_j\|}{\sqrt{\left(\frac{\mu_{R_i}}{SNR_{R_i}}\right)^2 + \left(\frac{\mu_{R_j}}{SNR_{R_j}}\right)^2}}
$$
(12.4)

A rough estimate of the SNR required to determine the chemical identity of a sample based on some spectrum *X* and two reference spectra R_1 and R_2 could then be made as follows:

$$
SNR_X = \frac{\mu_X}{\sqrt{\left(\frac{\|\boldsymbol{R}_1 - \boldsymbol{R}_2\|}{\zeta}\right)^2 - \left(\frac{\mu_{\boldsymbol{R}_{\min}}}{SNR_{\boldsymbol{R}_{\min}}}\right)^2}}
$$
(12.5)

Where $\|\mathbf{R}_1 - \mathbf{R}_2\|$ is the Cartesian distance between the two reference spectra, \mathbf{R}_{min} is the reference spectrum with the lowest SNR, and ζ is the zeta-score, which sets the required level of confdence for the identifcation based on spectrum *X* and reference spectra \mathbf{R}_1 and \mathbf{R}_2 . For instance, for a 95% confidence level, ζ needs to be set to 1.96. This expression for SNR_X can be generalized to *n* reference spectra simply by fnding the set of two reference spectra for which the zeta score is minimal (according to Eq. [12.4](#page-33-0)) and plugging the values for \mathbf{R}_i and \mathbf{R}_j into Eq. [12.5.](#page-33-1)

12.6.2 Improved Retrieval of Chemical Information

Besides the instrumental and statistical methods to improve the retrieval of spatial information for a given analytical approach, there is a continuous interest to improve upon the ability of microchemical imaging techniques to discriminate between different chemical species. Here, seven methodologies are briefy discussed that are meant to improve upon the chemical specifcity of PL microimaging, μ-XANES, and SIMS, and extend the analytical capabilities of SEM.

12.6.2.1 Semi-hyperspectral Total Synchronous PL Microimaging

In Sect. [12.3.1](#page-10-1) a number of PL-based microimaging and microspectroscopy methods were described that have so far been shown to be powerful analytical tools for the study of semiconductor pigments and zinc carboxylate degradation products. Still, the authors identify a recurring issue with PL-based methodologies in terms of chemical specifcity, which limits the number of possible applications in oil paint studies. This issue is thought to be related primarily to the width of PL emission bands at room temperature and the large dynamic range of photoluminescence quantum yields of different paint components. This makes that emission bands of interest are often obscured either by emission bands with a similar energy, or even by emission bands with a very different energy, but a much higher quantum yield. In order to improve upon the chemical specifcity of PL-based microimaging, there may be a strong interest in exploiting both the absorption and emission behavior of compounds by implementing total synchronous PL approaches in PL microanalysis methodologies. Total synchronous PL spectroscopy works by recording 2D absorption-emission spectra and has demonstrated potential in the classifcation of organic paint media and the characterization of differently produced lead whites (Gonzalez et al. [2017a;](#page-52-10) Nevin et al. [2009](#page-55-12)), but has so far not been demonstrated for microanalytical purposes.

It is proposed here to extend the multispectral PL microimaging methodology with a tunable narrow-band excitation source to obtain high-resolution spectral information without compromising on spatial resolution. Such a semi-hyperspectral total synchronous PL microimaging set-up could be realized by performing the excitation using a monochromatized tunable xenon arc lamp and recording full-feld images for a large series of excitation wavelengths. Repeating the series through a number of emission band pass flters then yields a total synchronous PL dataset with the ability to reach diffraction-limited lateral resolutions. Of course, in order for total synchronous PL microimages to be translated into chemically specifc maps of organic compounds, comprehensive (standardized) studies into the PL properties of binders, pigments, additives, and degradation products are frst required. Moreover, spectral unfolding (see Sect. [12.6.4\)](#page-42-0) will foster the ability to map compounds with strongly overlapping absorption and/or emission features.

12.6.2.2 Site-Selective and High-Energy Resolution μ-XANES

Within the current state-of-the-art, μ-XANES on oil paint microsamples is often recorded in a fuorescence geometry. Detection of the X-ray fuorescence signal typically occurs using energy-dispersive silicon drift or high purity germanium (HPGe) detectors, providing for each excitation energy an X-ray fuorescence spectrum with low energy resolution (< 130 eV). By either recording all signal, irrespective of energy (total fuorescence mode), or binning the emission signal falling only in a detector channel region over the element emission lines of interest, a measurement is obtained of the local X-ray absorption at that specifc excitation energy. It must be stressed that the low spectral resolution of energy-dispersive detectors has an effect on the spectral resolution of X-ray absorption spectra and consequently also has an effect on the chemical specifcity of the technique.

This effect is best described by considering a resonant X-ray emission spectroscopy (RXES) plane, such as the one shown in Fig. [12.14.](#page-35-0) An RXES plane shows a high-resolution X-ray emission spectrum for each X-ray excitation energy—exhibiting multiple narrow resonant emission bands whose energies and intensities depend strongly on the excitation energy. In case of negligible molecular motion, the resonant emission bands follow diagonal lines each characterized by a defnite energy transfer corresponding to $\omega - \omega'$: the difference between the excitation and emission energies. When recording XANES with a low-energy-resolution detector,

Fig. 12.14 RXES plane of CH₃I recorded close to the I L₃-edge. Reprinted from ref. (Marchenko et al. [2011\)](#page-54-13) with the permission of AIP Publishing

the whole RXES plane is effectively projected onto a single energy channel, signifcantly broadening absorption features and often losing important spectral and therefore chemical information. Looking for instance at the RXES plane shown in Fig. [12.14](#page-35-0), there are clearly two (or even three) separate peaks in the region of incident photon energies between 4560 and 4567 eV, which would all be observed as one using a low-resolution energy-dispersive detector (Marchenko et al. [2011](#page-54-13)).

Methods have therefore been proposed to improve the spectral resolution of fuorescence detection systems, in order to record X-ray absorption spectra for specifc X-ray emission energies (Glatzel and Bergmann [2005\)](#page-52-11). Glatzel et al. [\(2002](#page-52-12)) reported on a setup in which—using a Rowland geometry wavelength-dispersed spectrometer—the X-ray emission energy of different Kβ lines could be selectively probed. It was shown that with this site-selective approach it is possible to selectively probe different iron species in mixed-valence Prussian blue. A demonstration of how this approach can be made to work with X-ray nanoprobes was reported by Cotte et al. [\(2011](#page-50-16)), showing significant improvements on the spectral resolution of Sb L_3 -edge XANES using a compact double-crystal wavelength-dispersive detection system operated in a confocal confguration. Due to the high chemical heterogeneity of oil paint samples, site-selective and high-resolution μ-XANES are anticipated to provide increased chemical specifcity in studies of pigment degradation that involve complex redox chemistry. For imaging purposes, it must be noted that the wavelength-dispersed detection scheme requires a higher radiation dose to be deposited onto the sample as compared to regular μ-XAS. Therefore, there is an elevated risk of radiation damage in the analyzed sample (Bertrand et al. [2015;](#page-49-10) Monico et al. [2020b](#page-55-13)).

12.6.2.3 Energy-Dispersive μ-EXAFS

EXAFS is conceptually similar to XANES (see Sect. [12.4.2](#page-18-0)), but rather than focusing on discrete near-edge transitions, information is retrieved from the oscillations that appear at higher energies corresponding to core-shell-to-continuum transition. These oscillations are interference patterns of ejected electrons that are scattered off atoms in the frst few coordination shells; corresponding spectra can be transformed and ftted to retrieve information about a compound's coordination number and bond lengths. μ-EXAFS has been applied previously to studies of oil paint degradation, providing unique insights into the discoloration of smalt and the blackening of copper resinate (Cartechini et al. [2008](#page-49-11); Cianchetta et al. [2012;](#page-49-12) Robinet et al. [2011\)](#page-57-15). These μ-EXAFS studies all make use of point analysis, due to the fact that experiments were performed in fuorescence geometry with stepwise scanning of the excitation energy, leading to measurement times per spectrum in the order of tens of minutes.

As was discussed in Sect. [12.6.1.2,](#page-31-0) the measurement time of μ-XAS measurements can be reduced by several orders of magnitude by making use of a transmission geometry in combination with an energy-dispersive detection scheme. The fact that the elliptically bent polychromator crystals can disperse a range of X-ray

energies that is much wider than a typical XANES make this approach also highly suitable—and in fact primarily designed—for EXAFS analysis (Couves et al. [1990;](#page-50-14) Pascarelli et al. [2016](#page-56-16)). Given the previously reported relationship between changes in coordination chemistry and pigment discoloration (Cianchetta et al. [2012](#page-49-12); Couves et al. [1990;](#page-50-14) Robinet et al. [2011](#page-57-15)), the implementation of an energy-dispersive detection scheme in the EXAFS regime is anticipated to provide unique information on the precise chemical processes that underlie the discoloration of certain pigments.

12.6.2.4 X-Ray Raman Scattering

A limitation of XAS techniques for light elements (such as carbon, oxygen or nitrogen) is the high attenuation of X-rays which requires working on TEM-class (∼100 nm) thin samples. Yet, the preparation necessary to obtain samples that thin may not be compatible with mechanically heterogeneous cured oil pains. An alternative is the excitation of the same transitions (such as C, O or N K edges) using the energy loss in the sample resulting from inelastic scattering processes in the hard X-ray domain; a modality called X-ray Raman scattering (XRS). Using such an approach on historical materials, Gueriau et al. ([2017\)](#page-52-13) could for instance differentiate chemical compositions among carbon black pigments. Apart from the study of carbonaceous pigments, XRS is thought to be particularly promising to gain a deeper understanding of the transformation of the organic binder in oil paints.

On chemically heterogenous samples, a micrometric beam (typically 50 μm) can be raster-scanned across the sample, with XRS spectral information being recorded for each position. With this approach, chemical speciation maps can be collected on heterogeneous samples without the hassle and limitations of preparing thin sections. Due to the low attenuation of hard X-rays in most materials, XRS also lends itself as a contrasting method in 3D imaging techniques. To demonstrate this ability, Sahle et al. ([2017a](#page-57-16), [b](#page-57-17)) have shown that the detected (scattered) X-ray beams can be resolved on a pixelated detector. The point-to-point correlation between voxels illuminated along the beam path in the depth of the sample allows to attain 3D reconstructed volumes of chemical speciation of carbon, oxygen and other elements in organic materials following the *direct tomography* method (Georgiou et al. [2019](#page-51-7)).

Currently, the limited spatial resolution of XRS-based methodologies prohibits them from providing spatially resolved chemical information on oil paints at resolutions better than a few tens of micrometers. Still, currently reported values are not due to fundamental physical limitations, but rather to the very low cross-section of the XRS process, which makes it challenging to obtain a suffcient signal-to-noise ratio from small measurement volumes while keeping radiation damage below acceptable thresholds. It is anticipated that improved detection systems with higher solid angles of detection can eventually bring the spatial resolution to ∼10 μm or below. Here, it must be noted that, due to the low cross-section of the XRS process, a high photon fux is often required to obtain suffcient signal. Exposure of organic materials to such high photon fuxes has been shown to induce radiation damage that is observable with the human eye (Gueriau et al. [2017](#page-52-13)).

12.6.2.5 SEM-Raman & Electron Backscatter Diffraction

One of the primary limitations of SEM—even when equipped with an EDX system—is its limited chemical specificity. For this reason, many research efforts have been focused on combining scanning electron microscopes with various other measurement modalities. Here, based on their prospective applications in studies of oil paint, two of such *hyphenated techniques* are discussed: SEM-Raman and electron backscatter diffraction (EBSD). SEM-Raman has been developed very recently to introduce the analytical capabilities of Raman microspectroscopy into a SEM hereby circumventing the need to transfer the sample between microscopes and allowing easy correlation between high-resolution electron backscatter images and Raman scattering data. It works by leading the beam of an excitation laser into the chamber, focusing it onto the sample, and directing the scattered light to a spectrometer using collection optics and an optical fber (Wille et al. [2014](#page-59-13)). The technique has already been shown to work well for the identifcation and characterization of pigments obtained from an ancient cave painting from *Grottes de la Garenne*. In the context of oil paint, the technique can be expected to provide quick identifcation of pigments and degradation products in and around the degradation features observed using SEM.

EBSD is a more established technique that combines SEM electron backscatter imaging with the exceptional chemical specifcity of crystal diffraction (Schwartz et al. [2009](#page-57-18)). Instead of using X-rays, EBSD makes use of the wave properties of electrons. By fring the electron beam at a crystalline sample at a large angle and imaging the scattered electrons using a scintillator-CCD/CMOS detection system, complex (Kikuchi) patterns are observed that are representative of the local crystal structure. For highly heterogeneous samples, EBSD can be used to identify crystalline phases with dimensions in the order of tens of nanometers. Its application for the study of historical artworks has already been demonstrated by Berrie et al. [\(2016](#page-48-9)), who used EBSD to identify various pigments in a microscopic cross-section. Just like SEM-Raman, the technique can be envisioned to provide direct and accurate chemical identifcation of compounds found in features resolved using SEM backscatter imaging.

12.6.2.6 Imaging MALDI Mass Spectrometry

In Sect. [12.5.3](#page-28-0) the imaging applications of secondary ion mass spectrometry (SIMS) were discussed. Using a focused beam of ions or small ionic clusters, secondary ions can be generated locally on the sample surface. Chemical maps are then obtained by scanning the beam over an area of interest, and integrating the signal coming from certain chemical species. Imaging MALDI mass spectrometry is a technique that is conceptually similar, but uses matrix-assisted laser desorption (MALDI) as an ionization mechanism (Sabatini et al. [2016](#page-57-19)). By using a focused laser beam, a spatial resolution in the order of 25 μm can be obtained. Despite this compromise on spatial resolution as compared to imaging SIMS, the MALDI ionization method is extremely soft and is therefore useful for analysis of large molecules, such as proteins. For this reason, MALDI mass spectrometry has for instance been used in identifcation and characterization studies of proteinaceous binders and organic dyes (Kuckova et al. [2007;](#page-53-13) Sabatini et al. [2016](#page-57-19)). In the future, imaging MALDI mass spectrometry may for instance be applied to map distributions of more complex organic original and degradation products. In this regard, the method would be unique within the conservation scientist's microchemical imaging toolbox.

12.6.3 Addressing the Limited Statistical Relevance of Analysis on Paint Microsamples

One fundamental problem that is inherent to the analysis of oil paint microsamples is the limited statistical relevance of the sample in the context of the object as a whole. Moreover, microchemical imaging of paint microsamples conducted in a refection or fuorescence geometry is often only sensitive to the uppermost micrometers (e.g., μ-ATR-FTIR, μ-XANES) or uppermost nanometers (e.g., AFM-IR, imaging SIMS) of the sample. The authors identify two main perspectives for improving the statistical relevance of the analysis of oil paint microsamples: threedimensional microchemical imaging techniques to resolve chemical distributions throughout entire microsamples and object-based sub-surface microchemical imaging to perform cross-sectional microanalysis without the need for invasive sampling.

12.6.3.1 Three-Dimensional Microchemical Imaging

Within the current state-of-the-art of microchemical imaging of oil paints, the focus lies primarily on two-dimensional imaging of paint cross-sections, fragments, or pigment powders. Still, extending microchemical analysis into the third dimension is foreseen to strongly increase the amount of information that can be obtained from a single microsample, thereby decreasing the risk of false positives that are inherent to interpretations of data sets with limited spatial representation. Depending on the purpose of the study, there are two general groups of 3D imaging techniques that may see applications for the microchemical study of oil paints: those relying solely on material density and those relying on compound-specifc X-ray absorption or emission transitions.

The principle of material density-based 3D imaging applied to oil paints was frst demonstrated in a series of three studies by Ferreira et al. [\(2009](#page-51-8), [2011](#page-51-9)) and Gervais et al. [\(2013a\)](#page-52-14), who show how X-ray absorption tomography can help in determining the porosity of oil paints. Here, the use of tomography—instead of a 2D imaging method—allows to obtain much better statistics on overall porosity, but also permits to calculate accurate porosity metrics as a function of depth. Instead of imaging the

absorption of X-rays to detect differences in density, the coherence of synchrotrongenerated X-rays also allows to detect density differences based on differences in the phase shift. This principle is used by ptychographic X-ray computed tomography and is particularly useful for imaging small differences in density (Dierolf et al. [2010\)](#page-51-10).

An alternative to X-ray tomography for 3D imaging has also been developed that eliminates the need for synchrotron radiation and is based on a SEM instead. Serial block-face scanning electron microscopy (SBFSEM) is a method in which an ultramicrotome is introduced into the SEM experimental chamber to cut many consecutive thin (∼30 nm) slices off the surface of the sample (Denk and Horstmann [2004\)](#page-51-11). After each cut, the surface is imaged to obtain a stack of images that can be reconstructed to a 3D volume with voxel sizes in the order of $20 \times 20 \times 30$ nm. Although no applications have yet been demonstrated on oil paint, multiple reports have been published of SBFSEM applied to distinguish the various phases in coatings (Chen et al. [2013,](#page-49-13) [2014;](#page-49-14) Hughes et al. [2014](#page-53-14)).

In all these studies, no direct chemical information could be obtained. In an effort to retrieve chemical information from SBFSEM or single-energy X-ray tomography data, processing algorithms have recently been developed that can quantitatively assess local attenuation lengths and electron densities (Andres et al. [2008;](#page-48-10) Li et al. [2019;](#page-54-14) Maldanis et al. [2020](#page-54-15); Reynaud et al. [2020](#page-56-15)). In studies of oil paint, this could allow coupling microscopic observation of morphological features with phase identifcation at micrometric to nanometric resolutions. The collection of billions of voxels means that statistical processing can be performed, which can produce a more complete description of samples and can help to identify previously unidentifed phases in complex heterogenous degraded oil paint samples (Li et al. [2019](#page-54-14)).

The second way to obtain microchemical information in 3D is by making use of the chemical information embedded in elemental absorption edges or by collecting the XRF signal. The principle of using XAS contrast in X-ray absorption tomography has for instance been demonstrated on composite electrodes (Meirer et al. [2011\)](#page-54-16), where rotational tomography series were repeated for 154 X-ray energies, covering the full Ni K-edge. The principle of using XRF contrast in X-ray absorption tomography has recently been demonstrated on catalyst particles (Bossers et al. [2020\)](#page-49-15). Here, consecutive XRF images are recorded by raster scanning a nanofocused X-ray beam and recording the full XRF spectrum per sample position using a fast annular X-ray detector.

In the context of oil paint, three-dimensional reconstructions of elemental distributions or their oxidation states are foreseen to provide invaluable, statistically sound information on the presence and migration of original and degradative species. Moreover, with spatial resolutions being reported in the order of tens of nanometers (Meirer et al. [2011\)](#page-54-16), these techniques can provide a unique view to a variety of interface effects and early stages of degradation.

12.6.3.2 Object-Based Sub-surface Microchemical Imaging

Technologies that possess the ability to analyze paintings as a whole are commonly referred to as macroscopic techniques and include for instance visible-NIR hyperspectral refectance imaging, macroscopic XRF (MA-XRF) and macroscopic X-ray powder diffraction (MA-XRPD) (Cucci et al. [2016](#page-50-17); Dik et al. [2008;](#page-51-12) Vanmeert et al. [2018\)](#page-59-14). Although such techniques have proven exceptionally powerful for studying artistic composition, underpaintings, and pigmentation, the ability to resolve chemical distributions in-depth and/or on the microscale is still underdeveloped. One particularly promising research objective is therefore to bridge the current gap between macroscopic and microscopic methodologies, by developing object-based subsurface microchemical imaging methodologies.

One of the primary advantages of performing analysis on microsamples is the ability to analyze paint layers in cross-section. In order to bring chemical microanalysis to whole objects, the ability to probe underneath the paint surface is therefore a crucial point of focus. Here, we will discuss four techniques that have a proven ability to probe samples in-depth and rely on contrasting methods that could be exploited for the study of oil paint sub-surface composition: confocal XRF/XAS, pump-probe microscopy, and Compton scattering tomography.

Confocal XRF makes use of a set of two perpendicularly placed X-ray focusing optics so as to only detect X-ray fuorescence from the intersection between the two foci (Kanngießer et al. [2003\)](#page-53-15). Most commonly, polycapillary optics are used, providing lateral and axial resolutions in the order of tens of micrometers. With the ability to discriminate between elements, this technique is capable of distinguishing different paint layers and identifying with some degree of certainty the pigmentation in each layer. Although these capabilities can for instance provide virtual crosssectional elemental distributions (Alfeld and Broekaert [2013](#page-48-11); Kanngießer et al. [2012\)](#page-53-16), the limited chemical specifcity of XRF and the low spatial resolution provided by polycapillary optics make conventional confocal XRF largely unsuitable for studies of oil paint degradation. Instead, it is proposed to make use of the tunability of synchrotron radiation and use the confocal X-ray excitation-detection scheme in conjunction with XAS. Confocal XAS follows the same principle as confocal XRF, but measures the total fuorescence yield as a function of the excitation energy at certain absorption edges (Denecke et al. [2009\)](#page-51-13). In order to combine the higher chemical specifcity of XAS with a spatial resolution suitable for microanalytical studies of oil paint sub-surface layers, a KB mirror can be used to focus the excitation beam, while collimating channel array (CCA) optics can be used to defne the measurement volume. This combination has been shown to provide a lateral resolution of 2.0 μm and a depth resolution of 2.5 μm (Sun et al. [2008\)](#page-58-14), thereby making the confocal XAS technique a potentially powerful tool for noninvasive subsurface microchemical imaging of oil paintings.

Pump-probe microscopy is a technique that originates from biology and exploits de-excitation dynamics as a means to achieve chemical contrast in the overlapping excitation volume of two pulsed light sources (Helmchen and Denk [2005\)](#page-52-15). Due to the use of a confocal confguration, pump-probe microscopy is a suitable technique to obtain virtual cross-sections. This principle has been demonstrated by Villafana et al. ([2014\)](#page-59-15), where the different absorption spectra and de-excitation dynamics of ultramarine and quinacridone red are used to compose cross-sectional distributions maps of both pigments in mock-ups and a fourteenth century painting. As was shown by Yu et al. ([2019\)](#page-59-16) in a pump-probe microscopy study on vermillion powders and a historical cross-section, the technique can also be used to study pigment degradation. It is foreseen that such principles could be similarly applied in objectbased studies.

Compton scattering tomography is a technique that is highly promising for applications in subsurface imaging of oil paintings, but has not been demonstrated in practice yet (Norton [1994\)](#page-55-14). Recently, Guerrero Prado et al. ([2017\)](#page-52-16) provided the numerical support for the feasibility of this technique for the three-dimensional electron density imaging of fat objects. The proposed approach to Compton scattering tomography does not require relative rotations of the object with respect to the imaging set-up and can be performed even when the object is supported by an electronically dense material. In combination with the processing algorithms discussed briefy in Sect. [12.6.3.1](#page-39-0), it ought to be possible to use this technique to obtain subsurface microchemical information on oil paintings.

12.6.4 Integrating Computational Methodologies in the Processing of Microchemical Data

In the current state-of-the-art of microchemical analysis of oil paint degradation, the overwhelming majority of all chemical information is still retrieved through manual data analysis by means of the expert eye. However, with the current and prospective technological developments discussed in all foregoing sections, there is a strong tendency for microchemical images to consist of more and more spectral and/or spatial points. With all this extra information, relying solely on the expert eye will often mean missing patterns or compounds that computer algorithms can identify. Following the rapid increase in readily available computational power, more and more methods are becoming available for the retrieval of chemical information through algorithmic processing (Gendrin et al. [2008](#page-51-14)). Due to the complex nature of degraded oil paints and the increasing volume of data sets, the authors identify a signifcant opportunity for the integration of computational methodologies for the improved retrieval of chemical information from microanalytical data.

For the study of samples that are chemically as complex and heterogeneous as mature oil paint samples, particularly useful computational methodologies are those involved in dimensionality reduction. Given a large microspectroscopic dataset, dimensionality reduction algorithms aim to fnd so-called latent variables: variables that refect certain chemical parameters, but are hidden in the dataset. In this way, a series or map of spectra with several hundreds of spectral variables (e.g., excitation or emission energies) can be reduced to less than 10 variables—each of which can be interpreted chemically. Common examples of such algorithms include principal component analysis (PCA), partial least squares (PLS), non-negative matrix factorization (NMF), and singular value decomposition (SVD). As a follow-up, each spectrum in the dataset can be expressed (i.e., modeled) as a linear combination of the calculated latent variables, returning chemically relevant weight matrices. A more detailed review of methods to perform dimensionality reduction to obtain chemical maps can be found in (Guerrero Prado et al. [2017](#page-52-16)).

As has been expressed previously, the application of such approaches to microspectroscopic datasets obtained in oil paint degradation studies has been rather rare. The most noteworthy applications have been reported very recently and include the work by Romano et al. [\(2020](#page-57-1)) and Gambardella et al. [\(2020](#page-51-5)). Although the microspectroscopic data sets were recorded using μ-FTIR and full-feld μ-XANES respectively, in both cases NMF is used to retrieve the latent variables. Romano et al. use the weight matrices retrieved through modeling of the μ-FTIR data cube using NMF factors to reconstruct chemical phase maps of cadmium red paint, zinc white paint, and a gel-like zinc carboxylate protrusion. Similarly, Gambardella et al. visualize the distributions of two distinct chemical phases in ultramarine pigments by color-coding the difference between the NMF weight matrices of the frst and third factor.

These examples illustrate two instances in which the different chemical phases cannot directly be identifed by mapping single spectral points or single integrated absorption features, but are rather hidden in the complex sets of data. For instance, looking at the result reported by Romano et al. the pigments zinc white and cadmium red have no signifcant absorption in the mid-IR range themselves, but the two paints could still be distinguished computationally; likely due to different chemical interactions with the infrared-active oil binder. Considering the chemical contrast within ultramarines reported by Gambardella et al. and its origin in subtle spectral differences in S K-edge XANES, it is hard to imagine a manual approach to yield the same chemical information as the reported computational procedure.

Even without the previously described dimensionality reduction algorithms, there are still signifcant improvements that can be made in the retrieval of information from microchemical imaging datasets by making use of spectral unfolding. Spectral unfolding is based on the notion that most spectra are essentially made up of sums of probability distributions, such as Gaussians, Lorentzians, or Voigt profles. These probability distributions refect instrumental peak broadening, statistical variance in molecular structure, and—especially for rapid transitions—natural broadening. In chemically complex samples, there tends to be a high degree of overlap between adjacent probability distributions, often obscuring the precise peak locations of spectral bands. As the adjacency of spectral bands may for instance refect the occurrence of relevant chemical transitions or the presence of one compound in two or more structural confgurations, it is often favorable to be able to analyze the separate spectral contributions. Spectral unfolding is an exclusively computational endeavor that fts a model consisting of sums of probability distributions to raw spectral data.

This procedure is currently routinely applied to XRF data obtained with energydispersive X-ray detectors, where emission energies are known and peak widths can be approximated by knowing the spectral resolution of the detector. For this particular purpose, the PyMCA software has been developed at the European Synchrotron Radiation Facility (ESRF) (Solé et al. [2007](#page-58-15)), and has been used extensively among the μ-XRF studies discussed in part I of this chapter (see for instance Fig. [12.8\)](#page-15-0). Still, in oil paint studies involving μ-FTIR or PL microspectroscopy—where spectral overlap similarly obscures chemically relevant information—spectral unfolding is not yet commonly applied. Explanations as to why spectral unfolding has so far been limited to XRF studies include lack of prior knowledge about the location and width of spectral features and complex and unpredictable baselines.

12.7 Conclusion

In part I of this chapter, we reviewed the current state-of-the-art of microchemical imaging studies on oil pain tcomposition. Based on the specifc characteristics of oil paint samples, techniques have been applied that are based on the absorption of mid-IR radiation, the emission of photoluminescence, the absorption, refection, and emission of X-rays, the scattering and absorption of electrons, and the massspecifc detection of secondary ions. By either employing tightly focused beams of electromagnetic radiation or charged particles, or full-feld detection in combination with dedicated microscopy objectives, spatially resolved analysis can be performed at the micro- or even nanoscale. The current toolbox that makes up the state-of-theart has for instance been applied to the identifcation and localization of (different grades of) original and degraded pigments and later added paint layers. By combining multiple microchemical imaging modalities, it is often possible to obtain the information necessary to elucidate the entire painting life history.

From the review of over a hundred reports on the microchemical imaging of oil paints, one can conclude that the conservation science community has shown an eagerness to adapt new developments, such as synchrotron-generated micro- and nanobeams, full-feld X-ray imaging detectors, XRD tomography, and AFM-based infrared nanospectroscopy. Still, due to the complexity of oil paints and the current challenges associated both with object-based and sample-based microanalysis, even current state-of-the-art technology comes with substantial limitations in terms of the chemical information that can be obtained and the statistical relevance thereof. Ways in which these limitations can be addressed were discussed in Sect. [12.6.](#page-29-0)

In this sectioncond part, it was proposed that by making use of certain recent instrumental developments, smart statistical evaluations of data requirements, and subsequent computational data analysis, some of these limitations could potentially be tackled. The authors foresee particularly promising prospects for nanoscale chemical imaging methodologies (see Sect. [12.6.1.1\)](#page-29-1), object-based sub-surface microchemical imaging techniques (see Sect. [12.6.3.2](#page-41-0)), and data dimensionality reduction approaches for mapping chemical contrast in complex, heterogeneous

		Near-IR, visible, and near-UV	X-rays	Charged particles	Data & statistics
Methods based on:	Mid-IR				
	μ -FTIR	Multispectral PL microimaging	μ -XRF µ-XANES μ -XRD	SEM-FDX Imaging SIMS	
Recent developments addressing:					
Spatial resolution	AFM-IR ATR geometry		Nanometric X-ray probes	(S)TEM(-EDX) FIB 3D TEM tomography	
Measurement speed. statistical relevance & radiation damage	Synchrotron μ -FTIR		Full-field imaging XRD tomography Fast annular X-ray detectors		
Chemical specificity	Derivatization	Deep-UV excitation TRPL microimaging		EELS SEM-WDX	Spectral unfolding of XRF data NMF
Prospective developments addressing:					
Spatial resolution		TERS			
Measurement speed. statistical relevance & radiation damage		Wide-field Raman imaging	X-ray absorption tomography Ptychographic X-ray tomography Compton scattering tomography	SBFSEM	Discriminant analysis to determine diagnostic energies Statistical evaluation of SNR
Chemical specificity		Semi-hyperspectral TERS total synchronous PL microimaging	Site-selective and high-energy resolution µ-XANES X-ray Raman scattering Energy-dispersive u-EXAFS	SEM-Raman EBSD	Wide application of dimensionality reduction Spectral unfolding of µ-FTIR and µ-PL data
		Wide-field Raman imaging			
Object-based microchemical imaging		Pump-probe microscopy	Confocal µ-XAS		

Fig. 12.15 Graphical summary of the microchemical imaging methods currently employed for the study of oil paint and their recent and prospective developments

samples (see Sect. [12.6.4](#page-42-0)). A summary of all methodologies discussed in the frst and second part of this chapter is presented in Fig. [12.15](#page-45-0). In this respect, the specifc properties of ancient painting materials (which are inherently heterogeneous, aged, and unique) not only constitute a set of constraints on analytical studies concerning the identifcation of their composition and the understanding of degradation pathways, they also constitute an important source of inspiration, encouraging the optimization and development of analytical techniques sensitive to small chemical contrasts at high spectral and spatial resolution, and their coupling to advanced statistical processing of data, for the heritage science feld and far beyond.

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Glossary

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