

Genotypic and Clinical Analysis of a Thalassemia Major Cohort: An Observational Study

Tsartsalis A, George I. Lambrou, Athanasia Samartzi, Eugenia Vlachou, Ioannis Papassotiriou, Styliani A. Geronikolou, Christina Kanaka-Gantenbein, George P. Chrousos, and Antonis Kattamis

Abstract

Thalassemia major (TM) is a hereditary disease caused by defective globin synthesis. Because of the signifcant increase in life expectancy, these patients are suffering from various health conditions, including endocrinopathies and low bone mineral density. The aim of the present study was to investigate the correlation between clinical and biochemical parameters as well as to identify possible relations in a genotype to phenotype pattern. Sixty-four patients with TM (32 men and 32

Tsartsalis A (\boxtimes) · A. Samartzi Department of Endocrinology Diabetes and Metabolism, Naval Hospital of Athens, Athens, Greece

G. I. Lambrou · G. P. Chrousos First Department of Pediatrics, Choremeio Research Laboratory, National and Kapodistrian University of Athens, Athens, Greece e-mail[: glamprou@med.uoa.gr](mailto:glamprou@med.uoa.gr)[; chrousge@med.uoa.gr](mailto:chrousge@med.uoa.gr)

E. Vlachou

Department of Nursing, School of Health Sciences and Caring Professions, University of West Attica, Athens, Greece e-mail[: evlachou@uniwa.gr](mailto:evlachou@uniwa.gr)

women) participated in a cross-sectional study design. The patients were recruited from "Aghia Sofa" Children's Hospital. Clinical and biochemical parameters were evaluated as well as specifc mutations were identifed. We have found signifcant correlations between biochemical parameters and iron chelation, hormone replacement treatment as well as TM genotype and hematocrit and T-score. To conclude, the current study showed that clinical parameters of TM patients correlate signifcantly with both biochemical factors and genotypical patient

S. A. Geronikolou

Clinical, Translational, Experimental Surgery Research Centerment of Pediatrics, Biomedical Research Foundation of Academy of Athens, Athens, Greece

C. Kanaka-Gantenbein First Department of Pediatrics, Division of Endocrinology Diabetes and Metabolism, National and Kapodistrian University of Athens, Athens, Greece e-mail[: chriskan@med.uoa.gr](mailto:chriskan@med.uoa.gr)

A. Kattamis

First Department of Pediatrics, Hematology/ Oncology Unit, National and Kapodistrian University of Athens, Athens, Greece e-mail[: ankatt@med.uoa.gr](mailto:ankatt@med.uoa.gr)

I. Papassotiriou

Department of Clinical Biochemistry, "Aghia Sophia" Children's Hospital, Athens, Greece e-mail[: biochem@paidon-agiasofa.gr](mailto:biochem@paidon-agiasofia.gr)

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parameters. Our present study showed that there is a connection between genotype and phenotype as, for example, the identifed relation between hematocrit and T-scores and TM-specifc mutations. This connection indicates that there is still much more to learn about the role of mutations not only in the disease itself but also in the underlying comorbidities.

Keywords

Mutation frequency · Thalassemia · Biochemical parameters · T-score

1 Introduction

Thalassemia is the most frequent single-gene syndrome, affecting approximately 270 million patients worldwide. Their main clinical manifestation concerns the aberrant production of hemoglobin chains and in particular the expression of the alpha and beta hemoglobin chains. All human hemoglobin chain genes have a similar structure. They consist of about 1500 nucleotides and have three exons and two introns (IVS). The regions responsible for the connection between the heme and the alpha and beta chains are located in exon 2. There are at least three loci that regulate gene expression and are in the 200– 300 bp promoter region before the "CAP site." These sequences are the "TATA box" (about 30 bp) and the "CCAAT box" (between 70 and 90 bp), while the CACCC and CCGCCC sequences are located even farther $[1-3]$ $[1-3]$.

Hemoglobin genes are located in two gene clusters. The frst cluster concerns the hemoglobin genes for the alpha chain, which is located near the telomeric end of the short arm of the chromosome 16 (16p13.3, GenBank NG 000006). It also contains one embryonic gene of the zeta chain (HBZ or Zeta2), two genes for the alpha chain (HBA2, HBA1, or alpha2, alpha1), three pseudo-genes (HBZps, HBD, HBA1PS or pseta-zeta1, pseta-alpha2, pseta-alpha1), and the gene for the theta chain, whose functionality is still unknown [\[4](#page-10-2), [5](#page-10-3)].

More than 80 different mutations have been identified worldwide,^{[1](#page-1-0)} causing an alpha thalassemia syndrome. The majority of these mutations cause deficits or even complete removal of the hemoglobin chains. Mutations concern sequence changes or complete removal of hemoglobin gene regions, while point mutations are rarer.

In particular for the beta *thalassemia major* (TM), more than 200 mutations have been identified.² Unlike alpha thalassemia, the majority of mutations in beta thalassemia are point mutations, which include single base replacement, deletions, or insertions of a small number of nucleotides. Mutations that limit the synthesis of beta hemoglobin chains are known as beta+ mutations, while those which completely abolish the hemoglobin synthesis are referred to as beta⁰ mutations. Most mutations result in increased erythrocyte production with decreased cell volume (Mean Corpuscular Volume $(MCV) = 60-70$ fl), as well as reduced mean cell hemoglobin (Mean Corpuscular Hemoglobin $(MCH) = 19-23$ pg). Point mutations responsible for beta TM are categorized with respect to the affected mechanism of beta globin synthesis. Mutations that affect transcription of globin genes are located either in the conserved regions of the beta gene promoter (e.g., TATA locus, CCAAT locus, or CACCC loci) or in the 5′-UTR region $[1-3]$ $[1-3]$.

Thus, the characterization and identifcation of more than 200 mutations that are responsible for the pathogenesis of beta TM have greatly contributed toward the understanding of the molecular basis of TM. At the same time, this acquired knowledge has created the basis for the implementation of prevention programs for the eradication of this genetic disease [\[4](#page-10-2), [5\]](#page-10-3). Nevertheless, TM syndromes still remain a major health problem around the world. The great diversity of the disease, in concordance with other genetic or environmental factors, constitutes the treatment of TM a challenging task. It is easy to understand that understanding of the molecular

¹ <http://globin.cse.psu.edu/hbvar/menu.html> ² <http://globin.cse.psu.edu/hbvar/menu.html>

basis of TM syndromes is imperative in order to create more efficient treatments.

As aforementioned, TM is a hereditary disease, which is a result of defective globin synthesis [[6,](#page-10-4) [7](#page-10-5)]. Patients suffering from TM are depended on frequent blood transfusion causing iron overload and are in need of several medications. The combination of transfusion and chelation therapy extended the life expectancy of TM patients. Thus, many health conditions, usually present in the aging general population, are becoming also common in TM patients [\[8](#page-10-6)]. In particular, some endocrinopathies are present in these patients, and among them low bone mineral density has become a topic of wide interest. Low bone mineral density (BMD) has also become a signifcant topic of interest. While severe bone deformities characterizing untreated TM patients are, nowadays, rarely seen, both low bone mineral density and disruption of bone architecture are observed in increased prevalence, in part due to the increasing age of TM patients [\[8\]](#page-10-6).

During the past decades, literature data have shown that treatment of hypogonadism in patients with TM contributes to improve BMD as well as other comorbidities [[9\]](#page-10-7). However, it is well known that bone remodeling takes place throughout the entire lifespan and is not dependent only on gonadal hormones. Moreover, the discovery of receptor activator of nuclear factor-κB ligand (RANKL), a tumor necrosis factor-family member, and its decoy receptor osteoprotegerin (OPG) widened the therapeutic spectrum. An imbalance of the RANKL/OPG ratio has been described in both postmenopausal women and β-thalassemia major-induced osteoporosis [\[10](#page-10-8)]. Several factors known to participate in other diseases have been found to play a signifcant role in TM. For example, RANKL and its decoy receptor osteoprotegerin (OPG) are known for its role in BMD but also for its role in TM [\[11](#page-10-9), [12](#page-10-10)]. Besides their role in the osteoporotic patient, an imbalance of the RANKL/OPG ratio has been described in both

postmenopausal women and β-thalassemia major-induced osteoporosis [\[11](#page-10-9), [12\]](#page-10-10). Another example of identifed factors, previously heterogeneous to TM, is the role of sclerostin in bone remodeling of TM patients. Sclerostin inhibits bone formation both in vitro and in vivo by directly reducing proliferation and differentiation of osteoblasts via the canonical Wnt signaling pathway. Sclerostin is thought to act by binding to the low-density lipoprotein receptors 5 and 6 and thus inhibit Wnt-catenin signaling $[13]$ $[13]$. A monoclonal antibody to sclerostin (Scl-Ab) has been developed that can inhibit the activity of sclerostin and therefore stimulate bone formation [[14\]](#page-11-0). Patients with homozygous inactivating mutations of the *SOST* gene develop sclerosteosis, a rare genetic disorder characterized by undetectable level of serum sclerostin, very high bone mass phenotype, and nerve entrapment due to the excessive bone formation. Heterozygous carrier for the mutation individuals has increased bone mineral density and lowered fracture risk. This discovery has led to the hypothesis that sclerostin-neutralizing agents might mimic the heterozygous carrier condition and be effcacious in reversing bone loss in osteoporosis [[15\]](#page-11-1). Clinical trials, furthermore, showed that anti-sclerostin agents increase bone formation and decrease bone resorption. One-year treatment with this antisclerostin agent in postmenopausal women with osteoporosis resulted in a lower risk of vertebral and clinical fractures than in the placebo-treated group $[16]$ $[16]$.

Thus it is apparent that the TM patient is not only affected by the severity of the primary disease but also by a plethora of other comorbidities, which also consist signifcant health risks. In that sense, it is important for studies to investigate the understanding of the disease in a more complete and perhaps more systemic manner. The present work attempts to analyze the clinical and genotype data of a TM patient cohort in order to identify correlational patterns among them.

2 Materials and Methods

2.1 Patients and Study Design

In a previous study we have reported a crosssectional study of 64 patients with TM. Follow-up of those patients was performed for the documentation of fracture incidence as well as for the effects of TM on bone metabolic factors [\[12](#page-10-10)]. Patients were collected from the Thalassemia Unit of "Aghia Sofa" Children's Hospital during the period of July 2016 until March 2017. All patients are transfused every 2–3 weeks, in order to maintain a pre-transfusion hemoglobin >9.5 g/ dl. Initially, 74 patients with TM were recruited; however, two patients have withdrawn consent, four patients passed away, and four patients were lost to follow-up. Thus, the remaining 64 subjects (mean age 40.86 ± 5.43 years, 32 men and 32 women) were evaluated with DXA of the lumbar spine and femoral neck. All 64 subjects were diagnosed for TM with standard hemoglobin electrophoresis and HPLC. Mutations were detected with the PCR methodology (LightCycler 480, Roche GmbH) and were further sequenced and screened for mutations known to be present in TM. Patients had either normal gonadal function or were under hormone replacement treatment for hypogonadism $(n_{total} = 21; 32.8\%);$ $n_{\text{men}} = 9$; 28.1%; $n_{\text{women}} = 12$; 37.5%) and were regularly receiving calcium carbonate and vitamin D supplementation. Only one woman and one man were on oral bisphosphonates, while three men were on denosumab. If a patient received only one medication (either nutritional supplementation or hormonal treatment), he or she is considered to be in a monotherapy.

This cohort was also screened with dualenergy X-ray absorptiometry (DXA) of the lumbar spine and hip. Osteoporosis was defned according to WHO criteria as a T-score of <−2.5 standard deviations (SD). Data on demographic information (age, gender, other treatment) were also collected. Biochemical factors evaluated included ferritin (ng/ml), 25-hydroxyvitamin D (25ΟΗD) (ng/ml), parathormone (PTH) (ng/lt), calcium (Ca^{2}) (in urine) (mmol), hematocrit (Ht) (%), DXA (lumbar spine), DXA (hip), free thyroxine (FT4) (ng/dl), thyroid-stimulating hormone (TSH) (mU/ml), luteinizing hormone (LH) (IU/lt), follicle-stimulating hormone (FSH) (IU/ml), testosterone (ng/dl), estradiol (E2) (pg/ ml), calcium (Ca^{2}) (in serum) (mg/dl), and phosphorus (P^{+4}) (mg/dl).

2.2 Measurement of Biomarkers

Blood has been obtained by standard phlebotomy procedures by a trained healthcare personnel. Whole blood has been collected and allowed to clot in serum tubes (Becton Dickinson UK Ltd., Oxfordshire, UK) and processed within 1 h from collection by double centrifugation at 2000 rpm for 10 min for serum separation. Serum was retained into 250 μl RNA/DNA enzyme-free frozen Eppendorf (ThermoFisher Scientifc, Foster City, CA, USA) and immediately stored at −80 °C until further processing. Biochemical parameters were measured with a Siemens biochemical analyzer *Advia 1800* (Siemens AG, Munich, Germany).

2.3 Statistical Analysis

Continuous data are presented as mean standard deviation (SD) and the categorical data are presented with their frequencies. For comparisons between groups, Student's t-test and one-way analysis of variance (ANOVA) were performed for the continuous variables, and *Chi*-square tests were used for the categorical variables. Post hoc comparisons (adjusted with *Bonferroni* criterion) were also performed when signifcant differences (*p* < 0.05) of the biochemical markers in ANOVA tests were identifed. The statistical analyses were conducted using the Statistical Package for the Social Sciences version 23. A value of $p < 0.05$ (two-tailed) was set as the level of significance.

Chi-square test of independence was used to evaluate the association between patients' characteristics. The characteristics that were found statistically signifcant were entered in a logistic regression model in order to evaluate the probability of having multiple positive reactions. The modeling of a quantitative variable based on one or more qualitative and quantitative parameters was performed through linear regression. Multiple logistic regression was performed in order to evaluate the probability of having multiple positive reactions. The relative risk (RR), odds ratio (OR), and absolute risk (AR) were calculated.

2.4 Ethics Statement

All experiments were conducted in compliance with the international biomedical study stipulations, with reference to the Declaration of Helsinki of the World Medical Association. All participants gave their written informed consent after a detailed description of the study protocol. This study was approved by the ethical committee of the Medical School of the National and Kapodistrian University of Athens.

Table 1 Clinical and demographic data of the patient cohort

3 Results

3.1 Descriptive Statistics

3.1.1 Clinical and Demographic Data

The demographic, clinical, and biochemical characteristics are summarized in Table [1](#page-4-0) and the age frequency is presented in Fig. [1](#page-5-0). On a total of 64 patients, the male to female ratio was 1:1. The patient's clinical data with respect to gender are also presented in Table [2](#page-6-0). From the total patient cohort, 60.93% ($n = 40$) received a monotherapy (nutritional supplementation and/or hormonal replacement) and 37.50% ($n = 24$) received multiple treatments, i.e., nutritional supplementation, hormonal replacement, etc. At the same time 43.75% $(n = 28)$ received hormonal replacement therapy (HRT), while 54.68% did not receive HRT. Almost all patients received chelation therapy $(n = 60, 93.75\%)$, while only four were not in chelation therapy at the time of the study $(n = 4, 6.25\%)$. Twenty-three patients (35.93%) received also thyroxine treatment, while 60 patients (62.50%) did not receive any thyroxine. Data concerning thyroxine treatment were not available for one patient.

3.1.2 Thalassemia Genotype Frequencies

Patient's TM genotypic frequencies are presented in Fig. [2](#page-7-0). The IVSI-110/IVSI-110 $(n = 15)$ mutation accounted for the 23.44% of all cases, the IVSI-110/CD39 mutation $(n = 5)$ accounted for the 7.81% of all cases, and IVSI-6/IVSI-6 $(n = 4)$, IVSI-110/IVSII-1 $(n = 4)$, and CD39/ IVSI-6 $(n = 4)$ mutations accounted for the 18.75% of all cases. In total, the IVSI-110/IVSI-110, IVSI-110/CD39, IVSI-6/IVSI-6, IVSI-110/ IVSII-1, and CD39/IVSI-6 mutations accounted for the 50% of all cases. The remaining mutation frequencies were IVSI/beta039 ($n = 1, 1.56\%$), IVSII-745/CD8 (*n* = 1, 1.56%), CD39/IVSII-745 (*n* = 1, 1.56%), IVSI-6/delta-beta Lepore (*n* = 1, 1.56%), IVSI-110/FSC6 (*n* = 1, 1.56%), IVS1- 110/IVSI-1 (*n* = 1, 1.56%), IVSI-110/delta-beta CORFU (*n* = 1, 1.56%), IVSI-6/IVSII-745 (*n* = 1, 1.56%), IVSI-1/IVSI-1 (*n* = 1, 1.56%), CD39/ IVSI-1 (*n* = 1, 1.56%), CD39/CD39 (*n* = 1, 1.56%), delta-beta-sic/IVSI-110 (*n* = 1, 1.56%), IVSI-110/-44bp6del (*n* = 1, 1.56%), IVS1-110/- 87 (*n* = 2, 3.13%), IVSI-1/CD6 (*n* = 2, 3.13%), IVSI-110/IVSI-1 (*n* = 2, 3.13%), IVSI-110/ IVSII-745 (*n* = 3, 4.69%), IVS-110/-87 (*n* = 3, 4.69%), IVSI-110/CD 6 (*n* = 3, 4.69%), and IVSI-1/IVSII-1 ($n = 3, 4.69\%$).

3.1.3 Iron Chelation Therapy

The present patient cohort received iron chelation therapy as part of its routine treatment. In particular, 60 patients (93.75%) received chelation therapy and 4 did not receive at the time of the study. From those patients, 25 (39.06%) received deferiprone in combination with deferoxamine mesylate, 23 patients (35.93%) received deferasirox as monotherapy, 1 patient (1.56%) received deferoxamine mesylate as monotherapy, 4 patients (6.25%) received deferasirox and deferoxamine mesylate, and 6 patients (9.37%) received deferiprone as monotherapy.

3.2 Statistical Analysis

No signifcant differences were found with respect to gender and nutritional supplementation, and no signifcant differences were found with respect to the administration of a monotherapy or not.

3.2.1 The Efect of Iron Chelation

All patients (except four as reported in the previous sections) received iron chelation therapy, and in particular, we have observed that patients

Fig. 1 Age distribution of patients' age

Table 2 Clinical and demographic data of the patient cohort with respect to gender **Table 2** Clinical and demographic data of the patient cohort with respect to gender

Fig. 2 TM genotype distributions of the patient cohort

Fig. 3 The effect of iron chelation treatment on ferritin

receiving deferasirox in combination with deferoxamine mesylate manifested higher ferritin levels as compared to patients receiving deferasirox as monotherapy $(p = 0.00043)$ (Fig. [3a](#page-7-1)) as well as patients receiving deferasirox in combination with deferoxamine mesylate manifested higher ferritin levels as compared to patients who received deferiprone as monotherapy $(p = 0.0042)$ (Fig. [3b\)](#page-7-1). Interestingly, we have found that patients receiving deferiprone in combination with deferoxamine mesylate manifested signifcantly lower levels of FT4 as compared to patients who received deferasirox as monotherapy $(p = 0.0052)$ (Fig. [4\)](#page-8-0).

3.2.2 The Efect of Hormone Replacement Therapy (HRT)

Patients who received HRT were signifcantly older in age as compared to patients that did not receive any HRT $(p = 0.042)$ (Fig. $5a$). At the same time patients who received HRT underwent marginally signifcant less frequently transfusion as compared to patients that did not $(p = 0.078)$ (Fig. [5b\)](#page-8-1). Interestingly, patients with no administration of HRT manifested signifcantly higher hematocrit levels as compared to those patients that did receive HRT $(p = 0.014)$ (Fig. [5c\)](#page-8-1). Finally, patients under HRT manifested lower T-score in the lumbar spine $(p = 0.019)$ (Fig. $5d$), while they did not manifest significant difference with respect to the hip T-score $(p = 0.419)$ (Fig. [5d\)](#page-8-1).

3.2.3 The Efect of Genotype

Additionally, we have investigated the effect of TM genotype in the clinical parameters of our patient cohort. Not many differences were

Fig. 4 The effect of iron chelation treatment on FT4 levels. It appeared that patients receiving deferiprone in combination with deferoxamine mesylate manifested signifcantly lower levels of FT4 as compared to patients who received deferasirox as monotherapy

were observed with respect to the lumbar spine and hip T-scores. In particular, patients with the CD39/IVSI-1 mutation manifested higher lumbar spine T-score as compared with patients with the IVSI-110/IVSII-745 mutation ($p = 8.7 \times 10{-9}$) (Fig. [6b\)](#page-9-0). Further on, patients with the CD39/ IVSI-1 mutation manifested similarly higher hip T-score levels as compared to patients with the IVSI-110/IVSII-745 ($p = 8.00 \times 10^{-9}$) (Fig. [6b\)](#page-9-0). Finally, patients with the IVSI-110/CD6 mutation manifested higher hip T-score levels as compared to patients with the IVSI-110/IVSII-747 mutation ($p = 8.00 \times 10^{-9}$) (Fig. [6b\)](#page-9-0).

Fig. 5 The effect of HRT chelation treatment on age (**a**), transfusion frequency (**b**), hematocrit (**c**), and T-score (**d**) (Legend: YES implies patients that received HRT and NO implies patients that did not receive any HRT)

observed with respect to the patients' genotype in the estimated clinical parameters.

Signifcant differences were observed with respect to hematocrit and T-score. In particular, patients with the CD39/CD39 mutation manifested signifcantly higher hematocrit levels as compared to patients with the IVS1-110-87 mutation ($p = 8.22 \times 10^{-9}$) (Fig. [6a](#page-9-0)). Also, patients with the CD39/IVSI-1 mutations manifested higher hematocrit levels as compared to patients with the IVS1-110/-87 mutation $(p = 8.22 \times 10^{-9})$ (Fig. [6a](#page-9-0)). Interestingly, signifcant differences

3.3 Risk Assessment

Several of the previous results have been confrmed by the estimation of risk using the linear regression. In particular, no signifcant correlation between gender and HRT was observed $(OR = 1.5, p = 0.45)$. Similarly, a marginal signifcant correlation was observed between gender and thyroxine treatment $(OR = 0.34, p = 0.066)$, meaning that males were more probable to receive thyroxine supplementation as compared to females. Similarly, a signifcant correlation was observed between the presence of

Fig. 6 The effect of mutations on hematocrit (**a**) and T-score (**b**)

mono-treatment (as defned in the Sect. [2](#page-3-0)) and HRT. In particular, patients under monotherapy were less likely to receive HRT (OR $= 4.5$, $p = 0.008$). On the contrary, there was no significant correlation between monotherapy and thyroxine administration (OR = 0.39 , $p = 0.11$), as well as there was no signifcant correlation between HRT and thyroxine administration ($OR = 0.46$, $p = 0.19$).

4 Discussion

As life expectancy of TM patients increases, comorbidities are becoming more apparent and evident. Prevention and early diagnosis of secondary pathologies are as important as the treatment of the established disease [\[17](#page-11-3)]. In the present study we have investigated the relationship between biochemical and clinical markers as well as genotypic characteristics of TM. Our study has highlighted several signifcant correlations between examined factors, which especially showed that mutations probably do affect the disease outcome.

One of the interesting fndings was that iron chelation correlated with the ferritin levels. We have found that combination of iron chelation therapies resulted in higher ferritin levels as compared to iron chelation monotherapy. However, previous studies did not report signifcant differences in ferritin levels with respect to chelation therapies [[18\]](#page-11-4). Yet, a recent study has shown that treatment of deferasirox vs. deferasirox and deferoxamine resulted in increased ferritin levels for the second treatment respectively [[19\]](#page-11-5). Similarly, our results agreed

with an older report, which showed that iron chelation combinatory therapy resulted in higher ferritin levels [[20\]](#page-11-6).

Interestingly, we have found that patients under HRT manifested lower hematocrit levels as compared to patients that did not receive HRT. This result indicates that HRT acts as a secondary parameter for the hematologic factors of TM patients. To the best of our knowledge, there are no previous reports concerning the role of HRT on hematocrit in TM patients. Yet, another interesting fnding was the fact that patients under HRT manifested lower lumbar spine T-score levels as compared to patients with no HRT, as well as that hip T-score manifested no signifcant difference. Previous reports demonstrated that TM patients had unbalanced bone turnover with an increased resorption phase. However, it is possible that various and partially unknown pathogenetic factors could be involved in this bone remodeling imbalance. Factors such as an excessive iron accumulation in the cells of the bone marrow that may have altered or provoked defective bone remodeling and osteoblastic activity, desferrioxamine itself, which inhibits DNA synthesis, and low levels of the insulin-like growth factor 1 (IGF-1), a very important anabolic factor for bone, may account for the observed differences among patients [[21\]](#page-11-7). However, it appears that bone remodeling improves only after HRT in combination with anti-osteoporotic treatment [[22\]](#page-11-8). Toward that end, it is important for more studies to highlight the role of HRT and its combination with other treatments for bone remodeling. Also, in a previous study we have shown that patients with

TM had a lower percentage of fractures as compared to previous reports [\[11](#page-10-9), [12](#page-10-10), [23\]](#page-11-9). In a recent report by Chen et al. (2018), it was found that cumulative fracture incidence was higher in thalassemia patients when compared to nonthalassemia, but the cohort used was transfusionnaïve patients [[24\]](#page-11-10). On the other hand, Vogiatzi et al. (2006) revealed that fracture incidence in these patients is 12.1%, which is much higher than in our study [\[23](#page-11-9)].

One very interesting fnding consisted of the role of identifed mutation on hematocrit. To the best of our knowledge there are no previous reports on this fact. An older report, however, indicated that TM mutations do affect hematologic parameters, including hematocrit [[25\]](#page-11-11). Furthermore, we have identifed mutations that play a possible role in the T-scores of lumbar spine and hip, yet with no evident explanation. There are no other previous reports referring to this phenomenon and thus it consists an interesting subject for further investigation.

4.1 Study Limitations

A limitation of the current study is that the total number of patients included is small, and since the fndings were interesting, more patients would be required to extract more solid conclusions. Another limitation is that few TM patients were already receiving treatment for osteoporosis, probably affecting the observed T-scores. Yet, anti-osteoporotic treatment was not to be interrupted due to the increased fracture risk of those patients [\[26](#page-11-12)].

4.2 Conclusions

To conclude, the current study showed that clinical parameters of TM patients correlate signifcantly with both biochemical factors and genotypical patient parameters. Our present study showed that there is a connection between genotype and phenotype as for example the identifed relation between hematocrit and T-scores and TM-specifc mutations. This connection indicates that there is still much more to learn about the role of mutations not only in the disease itself but also in the underlying comorbidities.

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