# **Treatment of Newly Diagnosed AML in Fit Patients**

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# **9.1 What Is Fit?**

Untreated AML is a fatal disease. With the evolvement of treatment options beginning in the 1960s, it was demonstrated that a small proportion of patients can achieve long-term remissions, even beyond 5 years, indicating eradication of the disease and the potential of long-term cure. However, intensive cytoreductive treatment approaches had a rather high associated toxicity, in particular in old patients, leading to treatmentassociated mortality during initial induction therapy around 20% (Atallah et al. [2007](#page-10-0)). In order to avoid that a potentially curative treatment results in a fatal outcome, researchers have continuously attempted to defne and refne criteria and conditions associated with a high risk of life-threatening complications such as severe infections and sepsis often resulting in multi-organ failure. Patients fulflling these criteria would rather not beneft from intensive treatment and would be considered ineligible for intensive treatment, "unft," or "frail." Best supportive care plus/minus low-

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intensity treatments are offered to these patients with the goal to reduce the leukemic burden and prolong life while maintaining a reasonable quality of life in an outpatient setting (see Chap. [10\)](https://doi.org/10.1007/978-3-030-72676-8_10). Not in all instances, the decision is straightforward since treatment-related mortality rates have been going down during the last years and so far, and it is still a matter of debate which patients beneft from receiving low-intensive treatments rather than intensive chemotherapy (Michaelis [2018\)](#page-12-0).

Over time, several retrospective analyses from clinical trials using intensive therapy have identifed factors associated with the risk of early death. Additionally, the chances of achieving a CR and long-term remission can be estimated by scores in order to balance benefts and risks in a shared decision-making process (Appelbaum et al. [2006;](#page-10-1) Walter et al. [2011;](#page-14-0) Krug et al. [2010;](#page-12-1) Wheatley et al. [2009;](#page-14-1) Klepin et al. [2013;](#page-11-0) Ossenkoppele and Löwenberg [2015;](#page-12-2) Valcárcel et al. [2012](#page-14-2)). There is no prospective evaluation or intervention-based study to validate scores and determine their predictive potential. Instead, items of the scores have been used and variably combined in catalogs and lists to determine eligibility for intensive treatment in guidelines and position papers (Michaelis [2018](#page-12-0); Ferrara et al. [2013\)](#page-11-1). There is no internationally agreed general set of criteria defning frailness or ineligibility of intensive treatment. However, most sets of criteria include:

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- age  $> 75-80$  years,
- signifcant comorbidities such as severe cardiac insuffciency or pulmonary disease, latestage diabetes mellitus with signs of end-organ damage or an HCT-CI score  $\geq 3$ ,
- geriatric assessment revealing high-risk features including poor cognitive function, and
- a general clinical performance not related to AML of WHO/ECOG >2.

# <span id="page-1-1"></span>**9.2 Time from Diagnosis to Treatment**

Untreated AML is in general associated with a very limited remaining life span of only a few weeks as known from historic data (Southam et al. [1951\)](#page-13-0). As a result, it has been a longstanding treatment paradigm to consider AML a hematologic emergency and to start treatment immediately after the establishment of the diagnosis. This paradigm was reinforced by retrospective data from 2009 showing that in young patients up to the age of 60 years with a time interval from diagnosis to treatment (TDT) of  $\geq$ 5 days, the overall survival was significantly worse than in patients with a TDT  $\leq$ 5 days (Sekeres et al. [2009\)](#page-13-1). However, in a different cohort of newly diagnosed AML patients receiving a more homogeneous induction treatment and including patients with hyperleukocytosis, no difference in the overall prognosis could be found by several statistical methods (Fig. [9.1](#page-1-0); (Bertoli et al. [2013\)](#page-10-2). This fnding was confrmed in the most recent and largest analysis in more than 2200 uniformly treated AML patients, which again failed to show differences neither in remission rates, early death rates nor overall survival when analyzing TDT durations of 0–5, 6–10, 11–15, and >15 days (Röllig et al. [2019](#page-13-2)). Based on these fndings, it seems reasonable to wait for the results of the diagnostic and genetic workup in a clinically stable patient as the prognosis and clinical course seem to be determined by other factors than TDT. Clearly, no evidence is necessary to recommend immediate treatment start in patients with AML-related complications such as leukostasis, neutropenic fever, or deranged coagulation. Close clinical observation and blood monitoring are necessary in patients with delayed treatment start in order to detect the onset of potential AML-related complications as early as possible.

### **9.3 Development of Current Standards**

In 1971, James F. Holland, one of the pioneers of antineoplastic treatment in leukemias, stated three historic treatment phases of acute leukemia: (1) before 1947: the era of despair with no effective treatment; (2) from 1947 to 1963: the advent of chemotherapy, and with the failure to fnd a curative drug, the era of palliation; (3) since 1963, the appearance of new drugs, their use in

<span id="page-1-0"></span>



intensive regimens and in combinations, which "have all made palliation too mean a goal" (Fairley [1971\)](#page-11-2).

#### **9.3.1 Induction**

The frst published attempts using cytarabine as a single agent in 1968 provided evidence of hematological remissions in 17–24% of patients (Ellison et al. [1968](#page-11-3)). Around the same time, daunorubicin was frst used in pediatric and adult AML achieving hematologic remissions in 55% of patients (Boiron et al. [1969\)](#page-10-3). Soon after, cytarabine and daunorubicin were combined in intermittent treatment intervals, followed by low-dose maintenance treatment with 6-MP and MTX or BCG. This frst combination attempt was tested in 13 mostly younger patients aged 24–64 years and delivered a CR rate of 70% (Crowther et al. [1970](#page-11-4)). The combination of 7 days of cytarabine plus 3 days of daunorubicin was frst published in 1973 (Yates et al. [1973](#page-14-3)). Later, four different variations of cytarabine  $(100 \text{ mg/m}^2)$  plus daunorubicin  $(45 \text{ mg/m}^2)$  combinations were prospectively evaluated in a randomized CALGB trial: 7 days of cytarabine continuous infusion plus 3 days of daunorubicin bolus versus delivered the highest CR rate (55%) and established the infusional 7 + 3 schema as a long-lasting treatment standard (Rai et al. [1981](#page-13-3)).

Continuous attempts were made to improve the efficacy of  $7 + 3$  by changing both the dose of its components, by substituting daunorubicin with other agents, by varying sequencing, and by the addition of other conventional cytoreductive agents.

The randomized comparison between 100 and 200 mg cytarabine provided no evidence of ben-efit in response or survival (Burnett et al. [2010a;](#page-10-4) Dillman et al. [1991\)](#page-11-5). High doses of cytarabine (HDAC) delivered higher CR rates and prolonged RFS in randomized trials (Willemze et al. [2014;](#page-14-4) Burnett et al. [2013\)](#page-10-5), whereas this could not be confrmed in other trials (Löwenberg et al. [2011;](#page-12-3) Röllig et al. [2018a;](#page-13-4) Niederwieser et al. [2016\)](#page-12-4) or meta-analyses (Kern and Estey [2006\)](#page-11-6). Subgroup analyses of one trial showed a survival beneft only in patients aged 15–45 years (Willemze et al. [2014](#page-14-4)). Apart from this, there is no signifcant evidence for an overall survival beneft associated with the use of HDAC in induction treatment in neither of these trials.

Doubling the traditional dose of daunorubicin to 90 mg/m<sup>2</sup> led to a significant increase both in remission rates and OS in three randomized trials in patients up to the age of 65 years, which led to a departure from using 45 mg/m<sup>2</sup>. Two randomized comparisons of 60 mg versus 90 mg daunorubicin did not show signifcant differences in CR rates nor OS (Burnett et al. [2015;](#page-10-6) Röllig et al. [2018b](#page-13-5)). Subgroup analyses from one of these studies suggested a signifcant beneft of 90 mg daunorubicin in the subgroup of FLT3-ITD mutated patients (Burnett et al. [2016\)](#page-10-7). Based on the mentioned results, most clinicians consider 60 mg daunorubicin as the standard dose. Furthermore,  $7 + 3$  containing 60 mg daunorubicin has been and is currently used as a backbone for the combination with novel agents (see Sect. [9.4\)](#page-5-0).

The use of idarubicin as an alternative anthracycline instead of daunorubicin was associated with significantly higher remission rates, which did not translate into prolonged survival outcomes (Pautas et al. [2010\)](#page-12-5). Other trials could not confrm a beneft in remission rates, and there is no evidence of a survival beneft by idarubicin (Lee et al. [2017;](#page-12-6) Gardin et al. [2013\)](#page-11-7). In a metaanalysis, Teuffel et al. could show that the chances of remission are not different when the dose ratio of daunorubicin and idarubicin was  $\geq 5$ (Teuffel et al. [2013](#page-13-6)). Trials comparing the effcacy of mitoxantrone with daunorubicin showed no difference, neither in remission nor survival (Burnett et al. [2010a;](#page-10-4) Löwenberg et al. [1998;](#page-12-7) Mandelli et al. [2009\)](#page-12-8).

A further  $7 + 3$  variation used a high-dose cytarabine–mitoxantrone combination and split it in two sequential halves (S-HAM) in order to increase efficacy and reduce toxicity. A comparison with two cycles of  $7 + 3$  showed a significantly reduced duration of leukopenia by S-HAM, but no difference in remission rates and no signifcant improvement in survival (Braess et al. [2018\)](#page-10-8).

Various attempts have been made to improve the efficacy of  $7 + 3$  by the addition of other agents such as G-CSF or etoposide, but with no benefit (Krug et al. [2016](#page-12-9); Burnett et al. [2010b;](#page-10-9) Estey et al. [1999](#page-11-8)). The addition of the purine analog cladribine to  $7 + 3$  in younger patients resulted in a signifcant OS beneft. It did not seem to beneft patients with poor-risk cytogenetics or age ≥50 years, and in general CR rates and OS in the control arm were relatively low (Holowiecki et al. [2012;](#page-11-9) Pluta et al. [2017](#page-13-7)).

#### **9.3.2 Consolidation**

After it had been shown that cytarabine and daunorubicin could induce complete hematologic remission as early as the late 1960s, it soon became clear that these remissions were not durable, even under low-dose cytarabine maintenance (Carey et al. [1975\)](#page-11-10). Dose intensifcation of cytarabine to single doses of 3 g given repetitively over 5 days reduced the relapse rate signifcantly when compared with standard-dose cytarabine. However, this improvement was only seen in younger patients up to the age of 60 years (Mayer et al. [1994\)](#page-12-10). Later it was shown that higher doses of cytarabine are able to signifcantly reduce the relapse rate also in patients older than 60 years (Röllig et al. [2018c\)](#page-13-8). Attempts to improve the effcacy of consolidation treatment by adding other drugs were not superior to cytarabine alone, but associated with a higher risk of toxicity and no consistent survival beneft (Burnett et al. [2013;](#page-10-5) Schaich et al. [2013](#page-13-9)).

Whereas conventional consolidation treatment comprises 3–4 cycles of treatment, the administration of one cycle of myeloablative therapy followed by autologous stem cell rescue represents a more condensed and potentially equally effective treatment option. In comparative studies, autologous transplantation provided a benefit in RFS, mainly for favorable and intermediate risk patients. OS did not differ signifcantly between autologous transplantation and conventional high-dose cytarabine-based regi-mens (Vellenga et al. [2011;](#page-14-5) Pfirrmann et al. [2012;](#page-13-10) Cornelissen et al. [2015](#page-11-11)). The use of peripheral stem cells has reduced treatment-related mortality (TRM) enormously in comparison with bone marrow derived stem cells (SC), and hospital stay for one autologous transplantation is shorter than for 2–3 cycles of cytarabine.

There is evidence from several trials that a single dose of 1–1.5 g cytarabine may be equally effective as the original 3 g (Schaich et al. [2011\)](#page-13-11). The only randomized comparison between 3 and 1.5 g shows a trend for better survival after 3 g in favorable and adverse and for 1.5 g in intermediate genetic risk (Burnett et al. [2013\)](#page-10-5). Furthermore, data indicate that the third course of consolidation after double induction may not be necessary (Burnett et al. [2013;](#page-10-5) Löwenberg [2013\)](#page-12-11).

## <span id="page-3-0"></span>**9.3.3 Comparison of Standard Approaches for Induction and Consolidation**

A large German intergroup study compared double induction chemotherapy using  $7 + 3$  (with 60 mg daunorubicin) followed by high-dose cytarabine consolidation with fve different approaches for induction and consolidation including all variations of conventional induction and consolidation outlined above. The results of this 6-arm randomized trial assessing 3106 patients up to the age of 60 years showed signifcantly higher CR rates if patients with no response after one induction were treated with a combination of intermediate-dose cytarabine, idarubicin, and fudarabine (FLAG-Ida). However, as the main and sobering fnding of the trial, no differences in relapse-free and overall survival were observed across all different inductionconsolidation approaches (see Fig. [9.2\)](#page-4-0) (Büchner et al. [2012\)](#page-10-10).

The study gives a good overview of the results and the therapeutic potential of standard chemotherapy in a younger AML population with 70–82% CR/CRi rates, 5-year EFS of 27–39%, 5-year RFS of 35–47%, and a 5-year OS of 41–48%.

In elderly patients with intensive conventional treatment, trials produce 39–54% CR/CRi rates, a 5-year EFS of 10%, 5-year RFS of 10–25%,

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**Fig. 9.2** Overall survival and relapse-free survival in over 3000 patients comparing standard  $7 + 3$  double induction followed by high-dose cytarabine consolidation

and 5-year OS of 15% (Röllig et al. [2018c;](#page-13-8) Löwenberg et al. [2009\)](#page-12-12).

The inclusion and exclusion criteria of clinical trials create a positive selection of patients who are ftter than the general population (Estey and Gale [2017](#page-11-12); Estey et al. [2018\)](#page-11-13). Therefore, it is important to look at registry data to get a more comprehensive picture (Röllig et al. [2019](#page-13-2); Nagel et al. [2017;](#page-12-13) Juliusson et al. [2012\)](#page-11-14).

#### **9.3.4 Maintenance**

Historically, the frst approach to keep patients in remission was the prolonged application of classic cytostatic agents. Whereas neither 6-MP, MTX, BCG nor low-dose cytarabine with or without thioguanine did turn out successfully (Crowther et al. [1970](#page-11-4); Carey et al. [1975;](#page-11-10) Cassileth et al. [1992](#page-11-15)), the combination of 6-thioguanine, cytarabine, and daunorubicin given in low doses sequentially over 3 years was equally effective as one cycle of high-dose cytarabine-based consolidation (Büchner et al. [2003\)](#page-10-11). However, with regard to time, effort, and convenience, this maintenance approach has not been widely implemented. Randomized trials exploring alternative substances for maintenance such as interferon, IL-2 with or without



with fve alternative conventional induction and postremission strategies (Büchner et al. [2012](#page-10-10))

histamine or androgens for maintenance showed an improvement in relapse-free survival (RFS) for IL-2 plus histamine and for androgens, but all failed to show a signifcant improvement in survival for the entire patient population (Pautas et al. [2010](#page-12-5); Goldstone et al. [2001;](#page-11-16) Brune et al. [2006;](#page-10-12) Pigneux et al. [2018](#page-13-12)).

Recently, a small randomized trial using azacitidine as maintenance for patients >65 years in CR after intensive induction showed a signifcant improvement in RFS which did not translate into an OS beneft, potentially due to differences in relapse treatments in the two patient groups (Huls et al. [2019\)](#page-11-17). In a similarly designed larger randomized trial, the orally available hypomethylating compound CC-486 was used versus placebo for maintenance in CR patients >=55 years with intermediate or adverse cytogenetic risk after intensive pre-treatment not eligible for allogeneic stem cell transplantation. CC-486 reduced the risk for relapse or death by 35% and for death by 31%, resulting in an OS prolongation of 9.9 months (HR: 0.69) (Wei et al. [2020](#page-14-6)). As relapses occurred later but to a similar extent in the CC-486 arm, the long-term remission rate was still similar between both patient groups, indicating a prolongation of survival by CC-486 maintenance, but not an increase in the proportion of cured patients.

As new compounds with a more specifc mode of action are evaluated in the frst-line treatment and enter clinical practice (see Chaps. [17](https://doi.org/10.1007/978-3-030-72676-8_17)[–19](https://doi.org/10.1007/978-3-030-72676-8_19)), their continuous use beyond induction may become a new mode of maintenance with the option not only to prolong remission, but also to increase the rate of cure.

## <span id="page-5-0"></span>**9.4 Novel Agents and Treatment Stratifcation for Induction**

Cytogenetic and molecular methods revealed that AML patients share the same clinical features and fndings, but that on the biological and cellular level, there is a wide heterogeneity (see Chap. [5](https://doi.org/10.1007/978-3-030-72676-8_5)). However, conventional cytoreductive agents such as cytarabine and daunorubicin do not target differences in genetic cellular confgurations. Patients with high genetic risk showed an adverse disease course with standard therapy, no matter which conventional agents were used (see Sect. [9.3.3\)](#page-3-0). Due to a lack of other effective drugs, a "one size fts all" approach has been common practice in AML treatment for decades, using the standard  $7 + 3$  or one of its variations for all newly diagnosed ft AML patients.

The development of novel agents targeting cellular pathways that may be essential for leukemogenesis has led to improvements in treatment outcomes, accompanied by differential responses in different genetic subgroups. The approval and subsequent availability of some of these agents have changed the treatment landscape and have led to a diversifcation of AML therapy.

#### **9.4.1 Tyrosine-Kinase Inhibitors**

The presence of an internal tandem duplication mutation (ITD) in the gene coding for the FLT3 tyrosine kinase can drive hematopoietic cells toward leukemia and lead to increased proliferation and resistance to apoptosis in myeloid blasts, corresponding to a high relapse rate and limited long-term survival (Mizuki et al. [2003;](#page-12-14) Thiede et al. [2002](#page-13-13)). It was hypothesized that small molecules inhibiting FLT3 signaling could

improve the course of the disease (Larrosa-Garcia and Baer [2017](#page-12-15)). First-generation tyrosine-kinase inhibitors (TKI) target several cellular kinases and have limited single-agent activity.

The first randomized evidence for the efficacy of TKIs in combination with intensive chemotherapy came from sorafenib, which prolonged EFS and RFS, but not OS signifcantly in a younger patient population ≤60 years irrespective of the FLT3 mutational status (Röllig et al. [2015\)](#page-13-14). In elderly patients, sorafenib led to increased toxicity that prevented a survival beneft (Serve et al. [2013\)](#page-13-15).

The RATIFY trial evaluated midostaurin in combination with standard induction and consolidation chemotherapy and as maintenance for 12 months in a randomized placebo-controlled design. While the addition of midostaurin did not increase the CR rates, RFS and OS were signifcantly prolonged, with an increase in median OS from 26 to 75 months (HR: 0.78). These results led to the approval of midostaurin for the frstline treatment of FLT3-mutated AML in combination with standard chemotherapy (Stone et al. [2017\)](#page-13-16). Although the value of midostaurin in maintenance was not clear based on the study design, the EMA approved the drug also for maintenance.

The second-generation TKIs are more specifc for FLT3 and inhibit fewer additional kinases (Larrosa-Garcia and Baer [2017](#page-12-15)). Furthermore, agents, such as quizartinib, gilteritinib, and crenolanib, show signifcant single-agent activity. Quizartinib and gilteritinib have been shown to be more effective than standard salvage treatment in relapsed/refractory FLT3 mutated AML, and gilteritinib has been approved for single-agent use in this clinical setting (see Chaps. [11](https://doi.org/10.1007/978-3-030-72676-8_11) and [12\)](https://doi.org/10.1007/978-3-030-72676-8_12). Currently, all three agents are evaluated in combination with standard intensive treatment for newly diagnosed ft AML patients.

#### **9.4.2 Monoclonal Antibodies**

As CD33 can be found on blasts of almost all AML types (Ehninger et al. [2014\)](#page-11-18), targeting

AML blasts with antibodies has been considered a promising treatment concept. Gemtuzumab ozogamicin (GO) is a humanized monoclonal CD33 antibody conjugated with the toxin calicheamicin. By binding to CD33 positive AML cells, the antibody–drug conjugate is internalized into the cell and broken down, releasing calicheamicin, which then binds to the DNA and causes apoptosis (Tsuchikama and An [2018\)](#page-13-17). Several trials have shown proof of GO efficacy in relapsed and primary AML. For the combination of GO and standard intensive chemotherapy, meta-analyses of randomized trials have shown that (1) a low-dose fractionated administration results in the best tolerability, and (2) among AML subgroups, patients with favorable risk AML have the greatest beneft from GO in addition to standard therapy (Hills et al. [2014](#page-11-19); Li et al. [2014](#page-12-16)). Results on the requirement of CD33 expression have been mixed (Walter et al. [2007;](#page-14-7) Khan et al. [2017](#page-11-20)); similarly, single-nucleotide polymorphisms (SNP) genotyping of large numbers of GO treatment patients disagree about its predictive ability (Lamba et al. [2017](#page-12-17); Gale et al. [2018](#page-11-21)).

In the randomized open-label ALFA-0701 trial, GO was added to standard induction and consolidation treatment of newly diagnosed AML patients with mainly intermediate or adverse cytogenetic risk. The addition of GO led to a signifcant prolongation of event-free and relapse-free survival, whereas a beneft in OS did not reach statistical signifcance. Subgroup analyses revealed that the survival beneft was caused by patients with favorable or intermediate cytogenetics, whereas patients with adverse risk did not beneft from GO (Lambert et al. [2019\)](#page-12-18). According to subgroup analyses, patients with NPM1<sub>mut</sub> and also FLT3-ITD showed a greater risk reduction by GO. A meta-analysis of fve randomized trials identifed the greatest survival beneft in patients with favorable risk (20% difference in 5-year OS), a smaller signifcant beneft in intermediate risk (6% difference in 5-year OS), and no beneft for adverse risk patients (Hills et al. [2014](#page-11-19)).

Based on the results of ALFA-0701, GO was approved by FDA and EMA for the treatment of

newly diagnosed CD33 positive AML in combination with standard chemotherapy.

The effect of GO in addition to induction therapy with idarubicin, standard-dose cytarabine plus etoposide (ICE) in NPM1 positive AML patients, was assessed in the randomized openlabel AML-SG 09-09 study. The use of GO was associated with a signifcant reduction in relapse risk, but the combination with ICE led to an increased early mortality rate in elderly patients, most likely due to the combination with etoposide and ATRA (Schlenk et al. [2019\)](#page-13-18).

The impact of GO in postremission treatment is currently uncertain since there is no randomized evidence for a beneft in postremission (Burnett et al. [2011\)](#page-10-13).

Several CD33 immunotherapy approaches are in clinical development. Also, CD123, CD70, and CD47 targets are in advanced clinical development and may become relevant for the frstline treatment in the future (see Chap. [19\)](https://doi.org/10.1007/978-3-030-72676-8_19).

# **9.4.3 Liposomal Formulation of Cytarabine and Daunorubicin (CPX-351)**

CPX-351 is a liposomal formulation of a fxed molar ratio (1:5) of daunorubicin and cytarabine. After cellular internalization, liposomes undergo degradation, releasing cytarabine and daunorubicin intracellularly to induce DNA damage resulting in cell death. In vitro studies demonstrated that the 1:5 ratio resulted in synergistic in vitro cytotoxicity in the majority of cancer cell lines evaluated (Krauss et al. [2019\)](#page-12-19).

Study CLTR0310-301, a randomized, multicenter, open-label, active-controlled trial compared CPX-351 with a standard  $7 + 3$  combination of daunorubicin and cytarabine in 309 patients 60–75 years of age with newly diagnosed t-AML or AML-MRC. The results demonstrated higher remission rates (48% versus 33%), and an improvement in overall survival (HR: 0.69) by CPX-351 with an estimated median overall survival of 9.6 months compared with 5.9 months for the  $7 + 3$  control arm. The survival benefit was pronounced in patients who were able to proceed to allogeneic stem cell transplantation after receiving CPX-351 (HR: 0.46) compared with  $7 + 3$  induction (Lancet et al. [2018\)](#page-12-20). Based on these results, CPX-351 was approved by FDA and EMA for newly diagnosed tAML or AML-MRC of all age groups.

## **9.5 Balancing Risks and Benefts in Postremission Treatment**

Standard induction treatment without the addition of novel agents will bring around 60–80% of younger adults and 40–60% of older patients in complete morphologic remission, depending on prognostic factors, of which age and genetics are the most important (see Chap. [7\)](https://doi.org/10.1007/978-3-030-72676-8_7). Still more than half of all intensively treated patients die from the disease (Dinmohamed et al. [2016](#page-11-22)), as relapse and subsequent treatment failure remain the biggest challenge in AML treatment (see Chaps. [11](https://doi.org/10.1007/978-3-030-72676-8_11) and [12](https://doi.org/10.1007/978-3-030-72676-8_12)). As (1) the physical condition of patients in a relapsed situation after intensive frst-line therapy may limit the option of salvage treatment, and (2) the relapsed disease is generally more diffcult to treat, the primary goal of the frst-line treatment is to prevent relapses. They will occur in almost 100% of CR patients if treatment is stopped after induction due to small quantities of residual leukemia cells (see Chap. [18](https://doi.org/10.1007/978-3-030-72676-8_18)).

In general, either dose intensive chemotherapy ("consolidation") or allogeneic stem cell transplantation (allo-SCT) will be used for postremission treatment. Whereas autologous transplantation can be considered as being part of the frst option, the graft versus leukemia immune mechanisms after allogeneic SCT introduce a different antileukemic mode of action (see Chap. [13](https://doi.org/10.1007/978-3-030-72676-8_13)). Allogeneic lymphocytes and the resulting immune mechanisms are at the same time boon and bane of allo-SCT. Whereas the graft versus leukemia effect eliminates chemoresistant leukemic cells and reduces the relapse rate compared with chemo-consolidation, the delayed immune reconstitution after SCT and the organ damage of graft versus host disease reduce the quality of life and increase the number of patients dying in remission (nonrelapse mortality).

The best way to balance the risks and benefts of consolidation chemotherapy versus allo-SCT is to weigh up the estimated relapse risk and the expected transplant-related mortality. The latter can be assessed by the EBMT score integrating age, disease stage, donor type, donor–recipient gender combination, and time interval from diagnosis to transplantation (Gratwohl [2012\)](#page-11-23). Additionally, information on comorbidities contribute to the assessment of post-transplant mortality (Sorror et al. [2008](#page-13-19)). If the risk of non-relapse mortality (NRM) exceeds the risk of relapse after allo-SCT, the use of chemoconsolidation should be favored according to the guidelines of the ELN AML working party (Cornelissen et al. [2012\)](#page-11-24). In ft patients in frst CR with a good matched and readily available donor, the preferred postremission option for patients with favorable genetics would be chemotherapy, whereas allo-SCT would be recommended for an adverse risk constellation. In an intermediate-risk patient, a more detailed and individualized assessment is necessary (see Chap. [13\)](https://doi.org/10.1007/978-3-030-72676-8_13).

Patients with FLT3-ITD at a low ITD-WT allelic ratio (FLT3-ITD<sup>low</sup>) and co-occurring NPM1 mutation (NPM1 $_{\text{mut}}$ ) who have access to midostaurin represent a more complex scenario regarding relapse risk and postremission treatment decision. The low FLT3-ITD ratio, the NPM1 mutation, and midostaurin treatment reduce the relapse risk compared with other FLT3-ITD patients, who have a generally high risk of relapse compared with  $FLT3<sub>wt</sub>$  or  $FLT3-$ TKD and should be advised to undergo allo-SCT. If FLT3-ITD<sup>low</sup>-NPNM1<sub>mut</sub> patients under midostaurin treatment are in hematologic CR and the level of minimal residual disease (MRD) is low as defined by  $NPM1_{mul}/ABL$  levels or Multicolor Flow Cytometry (MFC), the relapse risk can be considered low based on studies on disease kinetics in NPM1mut patients after the end of consolidation (Krönke et al. [2011;](#page-12-21) Shayegi et al. [2013](#page-13-20)). Therefore, these patients can be advised to continue conventional treatment plus midostaurin, whereas allo-SCT should be recommended to patients with relevant MRD (see Fig. [9.3](#page-8-0)).

<span id="page-8-0"></span>

**Fig. 9.3** Decision tree for the modality of postremission treatment depending on FLT3, NPM1 mutational status, and NPM1 MRD

#### **9.6 Treatment Stratifcation**

Before discussing algorithms for treatment, the authors would like to emphasize the utmost importance of enrolling patients in clinical trials as the frst priority whenever these are available. As clinical trials offer the standard of care as control treatment, patients are not put at risk of undertreatment. The development and availability of novel agents that may cause prolonged survival have been and will be only possible on the basis of clinical trials. The authors would therefore like to stress the necessity to reach out for clinical trials, ideally as part of an academic cooperative group and embedded in a general registry and biobanking infrastructure in order to continuously improve treatment options and outcomes for AML patients.

With midostaurin, GO and CPX-351 expanding the antineoplastic armamentarium by three agents with the potential for prolonged overall survival in certain subgroups of AML, the diagnostic workup at initial diagnosis is important not only for prognostication, but also for treatment stratifcation. As outlined in Sect. [9.2](#page-1-1), the general prognosis of patients is not dependent on the time from diagnosis to treatment (TDT). Still, the turn-around time for genetic diagnosis should be

as short as possible. In conclusion, the potential benefts of correct stratifcation seem to outweigh the risks of disease progression in clinically stable patients. High WBC counts do not automatically indicate an emergency as they can be managed by the use of hydroxyurea.

Patients with acute AML-related problems such as leukostasis syndrome (see Chap. [14](https://doi.org/10.1007/978-3-030-72676-8_14)), or disease-related coagulation disorders should start treatment immediately with  $7 + 3$  based standard induction. Patients presenting with leukocytosis without clinical signs of leukostasis should be treated with hydroxyurea to reduce the white blood cell (WBC) count until the start of intensive chemotherapy (Röllig and Ehninger [2015](#page-13-21)).

Based on the results of diagnostic tests, the treatment algorithms depicted in Fig. [9.4](#page-9-0) can be recommended outside of clinical trials.

# **9.7 Open Questions and Future Perspectives**

Although "standard" intensive treatment approaches have been around for several decades now, there are still open questions and issues, for which evidence is sparse and which may be worth clinical research. Many institutions aim for

<span id="page-9-0"></span>

Fig. 9.4 Genetically stratified first-line treatment for fit patients outside clinical trials

two induction cycles (double induction) in order to reduce the leukemic burden whereas others proceed to postremission treatment as soon as the blast count was reduced to <5% even after only one induction (Fernandez et al. [2009](#page-11-25)). Likewise, it is uncertain if the application of at least one cycle of high-dose cytarabine may be benefcial even for patients proceeding to allo-SCT or if allo-SCT should follow CR achievement directly. The dose and amount of cytarabine cycles in postremission treatment is the subject of an ongoing debate (Löwenberg [2013](#page-12-11); Paul et al. [2020\)](#page-12-22). Randomized trials will contribute to answering these questions, and new insights on the levels and behavior of measurable residual disease markers will help us optimizing the frst-line treatment.

Standard intensive frst-line treatment can cure a signifcant proportion of newly diagnosed patients. Due to advances in anti-infective prophylaxis and treatment and other supportive measures (see Chap.  $16$ ), the tolerability of intensive regimens has improved and early mortality is constantly going down (see Fig. [9.5\)](#page-10-14) (Percival et al. [2015\)](#page-12-23). Pilot studies suggest that it may be even feasible to complete a complete intensive induction course in an outpatient setting if patients are carefully selected and monitored on a daily basis (Mabrey et al. [2020](#page-12-24)). Although comprehensive and complex inpatient treatment is required for most patients, the cost of standard treatment is low in comparison with the prices of novel agents. Based on these considerations, intensive treatment will remain the backbone and reference of curative AML treatment for the time being.

Thanks to a promising pipeline of novel agents in advanced clinical development, treatment of AML will become not only more efficacious, more refned, individualized, and challenging, but also more expensive. We have seen that novel agents with limited single-agent activity can be successfully added to the standard cytoreductive treatment, but will they be able to replace standard approaches while still be curative? Will we maintain a less specifc broad treatment backbone and add specifc targeted agents, and how many conventional and novel agents can we combine at a tolerable level and with manageable toxicity? Finally, novel agents with low toxicity but high curative potential may blur the ft–unft frontier and sever the connection fit  $=$  intensive  $=$  curative and unfit  $=$  nonintensive  $=$  palliative and replace it by "eligible for."

<span id="page-10-14"></span>

**Fig. 9.5** Decline in early mortality in AML treatment from the SEER database (Percival et al. [2015\)](#page-12-23)

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