



Introducing the Sun and SEPs

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Abstract

The structure of the Sun, with its energy generation and heating, creates convection and differential rotation of the outer solar plasma. This convection and rotation of the ionized plasma generates the solar magnetic field. This field and its variation spawn all of the solar activity: solar active regions, flares, jets, and coronal mass ejections (CMEs). Solar activity provides the origin and environment for both the impulsive and gradual solar energetic particle (SEP) events. This chapter introduces the background environment and basic properties of SEP events, time durations, abundances, and solar cycle variations.

We tend to think of the Sun as an image of its disk. Recent years have brought increasingly sophisticated images of that disk in the light of single spectral lines and images of active emissions from its surface and its corona with higher and higher spatial resolution. However, we have no such images of solar energetic particles (SEPs). In a photon-dominated discipline, SEPs are stealthy and obscure; they are invisible in the solar sky. While photons travel line-of-sight, SEPs are guided out to us along open magnetic field lines. We must measure, identify, and count SEPs directly one by one. Only in recent years have we overcome the limitations so our observations now begin to bear richer fruit. This is the story of that development.

Solar energetic particles (SEPs) come as bursts of high-energy particles from the direction of the Sun lasting for hours or sometimes days. The particle energies range from about 10 keV (kilo electron volts) to relativistic energies of several GeV, particle speeds 90% of the speed of light. In addition to the dominant protons and electrons, all of the other chemical elements from He through Au and Pb have now been measured. The relative abundances of these elements and their isotopes have been a powerful new resource in our quest for understanding the physical processes of acceleration and interplanetary transport of SEPs which alter those abundances in distinctive ways.

In this chapter we introduce properties of SEPs after reviewing some properties of the solar and interplanetary environment in which they are found.

1.1 The Structure of the Sun

With a mass of 1.989×10^{33} g, the Sun dominates its neighborhood. It consists of gaseous, ionized plasma where the inner *core* (see Fig. 1.1) reaches temperatures of 15 million degrees Kelvin (MK) where some of the protons have enough energy to tunnel the Coulomb barrier of the nuclear charge. As they penetrate H, C, and N nuclei, they cause the nuclear reactions that catalyze the conversion of H into ^4He . The energy released in this process is radiated and reabsorbed as it diffuses outward across the *radiative zone*, creating sufficient heat and pressure to balance the gravitational force trying to collapse the star.

Circulation of the hot plasma across the *convection zone* brings energy to the *photosphere*, that surface where overlying material is too thin to absorb radiation or

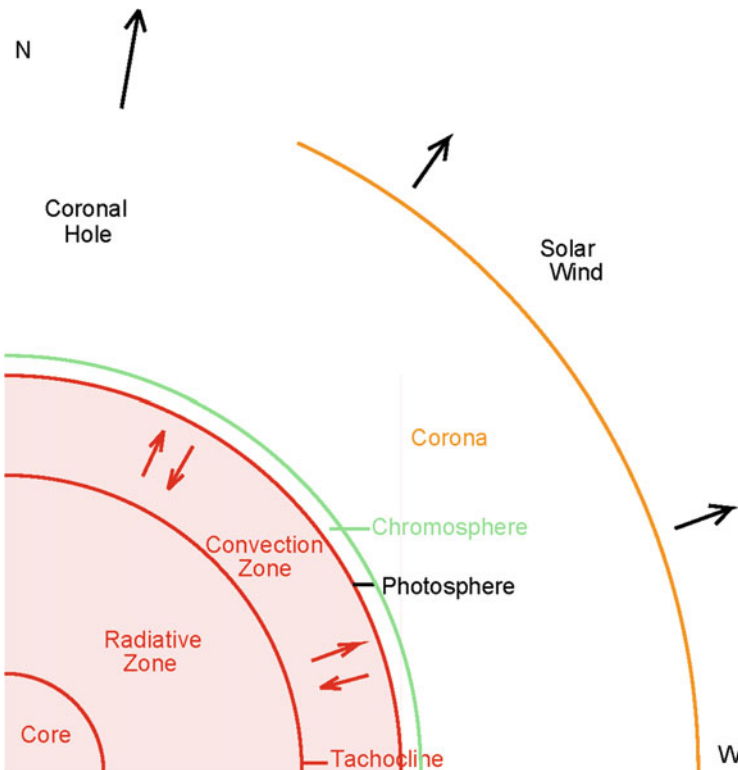


Fig. 1.1 A cross section of the Sun shows its major radial structure from the core to the evaporating solar wind (If we look at the Sun with North at the *top* and South at the *bottom*, West is to the *right* and East to the *left*. The solar *limb* is the edge of the visible disk)

prevent its escape out into space. Here radiation of energy cools the photosphere to ~ 5800 K or to ~ 4500 K in *sunspots* which are sites of strong emerging magnetic field. At these temperatures, elements with a first ionization potential (FIP) below about 10 eV, just below that of H at 13.6 eV, remain ionized, while those with higher FIP can capture and retain electrons to become neutral atoms.

Above the photosphere lies the narrow *chromosphere* where the electron temperature T_e remains about 6000 K over a height of about 2 Mm. At its upper boundary, the electron density n_e suddenly falls from $\sim 10^{11}$ cm $^{-3}$ to 10^9 cm $^{-3}$ and T_e rapidly rises again to over 1 MK in the solar *corona* (e.g. Aschwanden 2005) which extends outward about another solar radius. The corona is heated either by numerous small sites of magnetic reconnection (nanoflares; Parker 1988) or by absorption of Alfvén waves, plasma waves created in the turbulent layers below, and is largely contained by rising closed magnetic loops. The outer layer of the corona evaporates to become the 400–800 km s $^{-1}$ *solar wind* which continues to blow past the Earth at 1 AU and far beyond the planets to nearly 100 AU. Properties of the solar wind were predicted by Parker (1963) before it was observed.

Inside the *tachocline*, which lies at the base of the convective zone, the Sun rotates (from East to West) like a rigid body, but throughout the convective zone the Sun rotates *differentially*, faster at the equator than at the poles. The sidereal period of solar rotation at the equator is 24.47 days but it is 25% longer at latitude 60°. Azimuthal surfaces of constant rotation-speed run radially through the convection zone forming conical shells about the rotation axis that extend inward only to the tachocline and not to their apex at the center of the Sun.

1.2 The Solar Magnetic Field

The Sun has a magnetic field that is generally dipolar in nature, although its origin is still not perfectly understood (see Parker 2009; Sheeley 2005). Magnetic fields, produced in the extreme rotational shear at the tachocline, are buoyant and produce omega (Ω) loops that rise through the convection zone and emerge through the photosphere to form *sunspots* and *active regions* (Fig. 1.2) as they are sheared and reconnected by the *differential rotation*. Clusters of magnetic field lines of one polarity tend to emerge from the photosphere at one sunspot and reenter at a nearby spot, leading or following it in the solar rotation. Magnetic fields in sunspots reach 2000–3000 G (0.2–0.3 T). Active regions tend to occur at mid-latitudes on the Sun where the effect of differential rotation on field generation is greatest. When oppositely directed fields reconnect in the largely collisionless regime of the corona, as much as half of the released magnetic energy can be converted to energy of SEPs, with especially copious electrons (Krucker et al. 2010). On *closed* magnetic loops, this can result in sudden heating and X-ray production in the denser loop footpoints, mainly by electron Bremsstrahlung (electron-ion scattering), which is seen as a solar *flare* (Fletcher et al. 2011). Heating trapped flare plasma to 10–40 MK causes the bright flash of softer radiation. Similar reconnection on *open* field lines, causing *jets* (Raouafi et al. 2016), can release electrons and ions into space, i.e. accelerate an

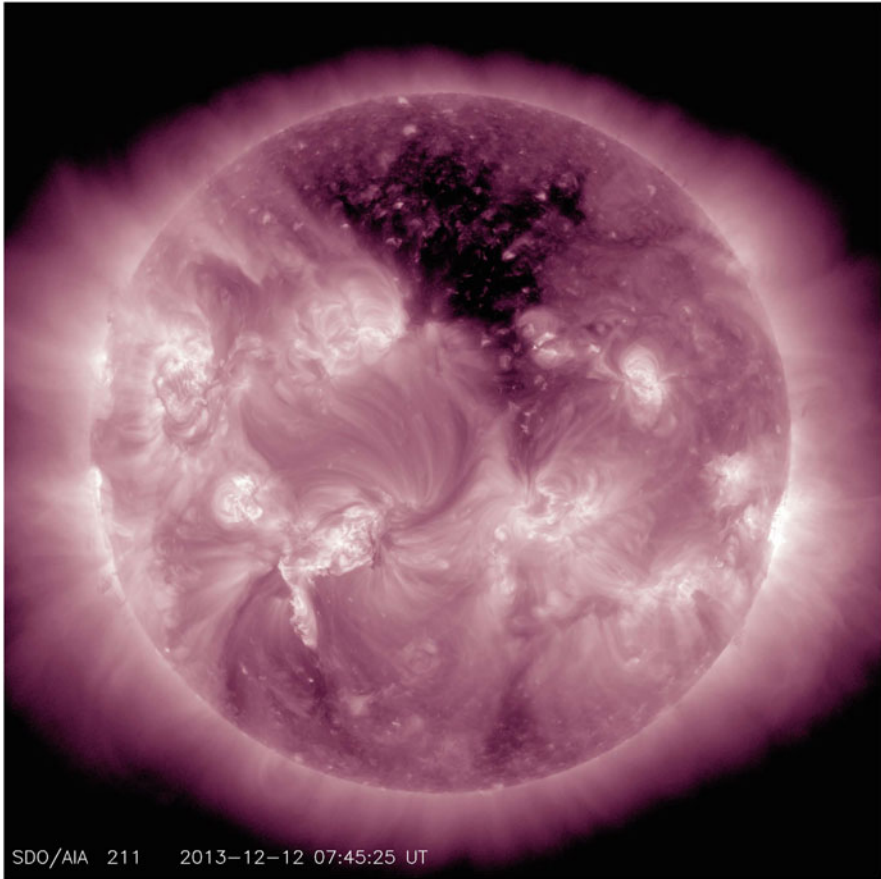


Fig. 1.2 An image of the Sun in 211 Å UV light, taken by the *Atmospheric Imaging Assembly* on the *Solar Dynamics Observatory*, shows brightening of magnetically-complex active regions and a large, dark coronal hole

impulsive SEP event, with minimal trapping or heating, as we shall see. As electrons stream out along open field lines they produce fast-drift type-III radio bursts at the local plasma frequency.

As we proceed to smaller and smaller flares, they become more and more numerous as a power law. Parker (1988) suggested that the magnetic reconnection in nanoflares actually provides the energy that heats the solar corona.

Figure 1.2 shows an image of the Sun in ultraviolet (UV) light taken by the *Atmospheric Imaging Assembly* (AIA) on the NASA spacecraft *Solar Dynamics Observatory* (SDO; <https://sdo.gsfc.nasa.gov/>). Complex, bright areas in Fig. 1.2 are active regions while the large dark region on the solar image is a *coronal hole*. Coronal holes, often seen near the poles, are regions of *open* magnetic field lines extending into the outer heliosphere, stretched out by the plasma of the solar wind.

The bright regions show locally *closed* field lines, i.e. loops, where any accelerated particles are contained and interact so that heating is greatly increased.

Of course, Maxwell's Equations tell us that *all* magnetic-field lines are *closed*. However, some field lines are drawn far out into the outer heliosphere by CMEs and the solar wind. For purposes of SEP flow, we describe those field lines as *open* if they can conduct charged particles out to an observer at or beyond Earth.

The direction of the solar dipolar magnetic field reverses in a cycle of one reversal in about 11 year and solar activity increases as the field reverses. Solar minima occur when the field axis aligns with the solar rotation axis, in one polarity or the other, and the number and size of active regions decreases dramatically. Solar maxima occur during intermediate times and the Sun appears as in Fig. 1.2 late in 2013. During solar minimum the northern hemisphere contains nearly radial field lines of one polarity while the southern hemisphere contains the other; the hemispheres are separated by a plane (or wavy) current sheet, separating the opposite field polarities, extending out into interplanetary space from near the equator. High-speed solar wind ($\sim 700\text{--}800\text{ km s}^{-1}$) emerges from coronal holes.

The plasma beta, $\beta_P = \rho kT / (B^2 / 8\pi)$, where ρ is the density and T the temperature, is the ratio of thermal to magnetic energy density. When $\beta_P < 1$, the field controls the plasma, B is smooth and uniform, and particles are confined to magnetic flux tubes; when $\beta_P > 1$, the field becomes variable and distorted by plasma flow and turbulence. The internal structure of CMEs is dominated by magnetic field energy, with $\beta_P < 1$.

Most of the solar corona is controlled by magnetic fields with $\beta_P < 1$. Plasma can only flow along magnetic loops or flux tubes and cannot escape otherwise. Small neighboring flux tubes can have significantly different values of T_e and n_e . However, β_P increases with height in the corona and when $\beta_P > 1$, plasma is no longer trapped on magnetic loops; it can expand into space, drawing the magnetic fields outward into the solar wind. This tends to define the "top" of the corona and typically occurs near $2 R_S$ where $n_e \sim 10^6\text{ cm}^{-3}$.

1.3 Coronal Mass Ejections (CMEs)

Magnetic reconnection can lead to the ejection of large filaments containing $10^{14}\text{--}10^{16}$ g mass and helical magnetic field with total kinetic energies of $10^{27}\text{--}10^{32}$ ergs, carrying most of the energy in solar eruptions (Webb and Howard 2012). CME speeds can be as slow as the solar wind or can exceed 3000 km s^{-1} . Figure 1.3 shows a large CME imaged by the *Large Angle and Spectrometric Coronagraph* (LASCO) on the *Solar and Heliospheric Observatory* (SOHO; <https://sohowww.nascom.nasa.gov/>) with a 304 \AA image of the Sun from the *Extreme Ultraviolet Imaging Telescope* (EIT) near the same time scaled onto the coronagraph occulting disk. CME theory and models have been reviewed by Forbes et al. (2006). CMEs only became visible when coronagraphs could block scattered light from the Sun which is 10^6 times brighter.

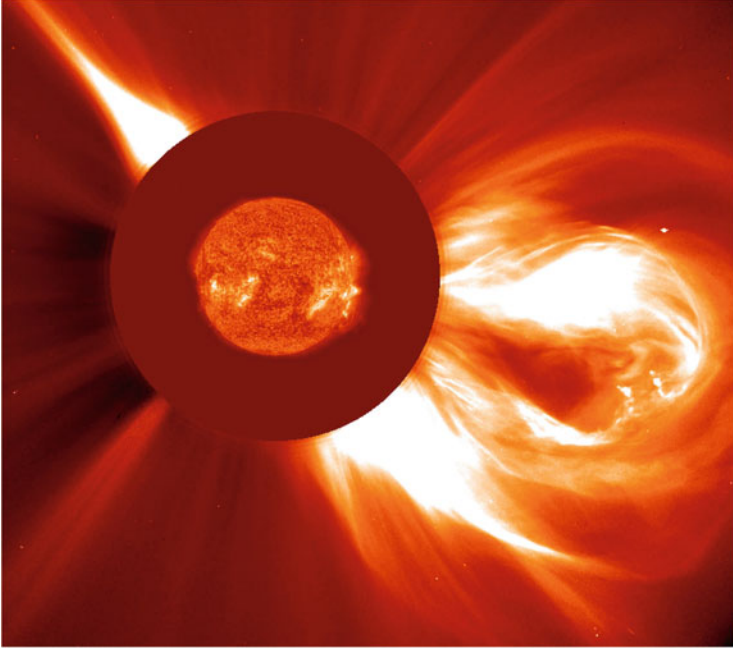


Fig. 1.3 A composite image from the EIT and LASCO telescopes on the NASA/ESA SOHO spacecraft shows a large CME being ejected toward the southwest

Filaments are irregular linear structures of cool, dense, chromospheric plasma magnetically suspended in the corona lying parallel to the solar surface, supported at oppositely-directed magnetic fields beneath an arcade of coronal loops (Martin 1998). They appear dark in $H\alpha$ solar images and can hang above the photosphere for days. Filaments that project beyond the solar limb are called *prominences*. Filaments are often ejected as the core of CMEs. In some cases filaments that are present for many days, are suddenly ejected as a CME with no associated flare. These *disappearing-filament* events can drive shock waves and produce SEPs but they lack an associated flare (see Sect. 2.7). There is also a significant number of “stealth CMEs”; these also have no apparent effect on the lower corona but are also too slow to drive shock waves.

When the speed of a CME exceeds the speed of waves in the plasma of the corona or solar wind, it can drive a collisionless shock wave. We will see that fast, wide CMEs drive shock waves that are the primary source of acceleration of the largest SEP events (Kahler et al. 1984).

A bright *streamer* is seen in the upper left (northeast) corner of Fig. 1.3, opposite the CME. The streamer belt circles the Sun defining the neutral sheet between fields of opposite polarity. Streamers are the magnetic structures stretched behind CMEs after they move out into the heliosphere. As such, they represent newly opening field lines and may contribute to the slow ($\sim 400 \text{ km s}^{-1}$) solar wind, although the source

of the slow solar wind is not fully resolved (e.g. Antiochos et al. 2011). Thus, out-flowing CMEs contribute to the average magnetic field in the heliosphere, which is larger following strong, active solar cycles than weak ones.

1.4 Interplanetary Space

The solar wind expands nearly radially outward from the Sun carrying plasma and magnetic field. The solar-wind speed remains approximately constant with distance from the Sun. As the Sun rotates, the field line connected to a given point on its surface is drawn into a spiral pattern, the Parker spiral. In the inner heliosphere, the plasma density and magnetic-field strength decrease approximately as r^{-2} with distance r , from the Sun, and as $B \sim r^{-1.5}$ by 1 AU (Burlaga 1995, 2001).

Near Earth the typical magnetic field B is ~ 10 nT, the typical plasma density is ~ 10 particles cm^{-3} , and the electron plasma frequency, which varies with the electron density, n_e , as $n_e^{1/2}$, is ~ 30 kHz. The solar radius, $R_S = 6.96 \times 10^8$ m = 696 Mm, and the Earth-Sun distance, 1 AU, is 1.50×10^{11} m = 216 R_S , often a useful number. In this spirit, plasma in the 400 km s^{-1} solar wind takes 4.3 days to travel 1 AU, a shock wave with an average speed of 1700 km s^{-1} takes one day, a 10 MeV proton or a 5 keV electron takes an hour, and a photon of light takes 8.3 min. Thus, it is not surprising that particles accelerated by a shock wave near the Sun arrive near Earth long before the arrival of the shock itself.

Alfvén waves propagate through plasma with correlated variations in B and the plasma density ρ with a speed $V_A = B/(4\pi\rho)^{1/2}$. In models of V_A in the solar atmosphere above an active region (e.g. Mann et al. 2003), V_A falls rapidly with height to a value of $\sim 200\text{--}500 \text{ km s}^{-1}$ at $r \approx 1.5 R_S$, it then rises to a broad maximum of $\sim 750 \text{ km s}^{-1}$ near $4 R_S$ and finally decays approximately as r^{-1} out toward Earth (Mann et al. 2003) where it is nominally 30 km s^{-1} . However, these values depend upon assumptions about the magnetic structure of an active region. The behavior of V_A is important since the disturbance caused by a CME must exceed the speed of Alfvén waves to form a shock wave which can accelerate SEPs.

Large CMEs can be recognized in the solar wind when they pass Earth (often called ICMEs) and lists of them, with their associated coronagraphic origin, have been published (Richardson and Cane 2010). A class of particularly regular events called *magnetic clouds* is identified by a flux-rope magnetic field that spirals slowly through a large angle (Burlaga et al. 1981). Shock waves driven out by CMEs can also be observed near Earth and their properties can be determined (e.g. Berdichevsky et al. 2000). Lists of properties of interplanetary shock waves spanning many years (since 1995) are available for shocks observed at the *Wind* and ACE (*Advanced Composition Explorer*) spacecraft (<https://www.cfa.harvard.edu/shocks/>). We will see examples of shock waves later in this book.

1.5 Solar Energetic Particles

Energetic charged particles must be accelerated in nearly collisionless plasma at high coronal altitudes so they do not lose all their new energy in Coulomb collisions. Particles accelerated at the tops of magnetic loops will soon scatter through the loss cone into the footpoints of those loops where they deposit their energy in much higher ambient densities, producing a solar flare. The rate of heating and production of photons generally depends upon the product of energetic-particle intensity times the ambient particle density. Thus SEP acceleration sites tend to be barely visible, high in the corona, while most of the photons are produced low in the corona. Looking only at photons, we do not see where these SEPs are “born”; we see where they “die”. Especially troublesome is shock acceleration at ~ 2 solar radii and beyond, where SEPs in the acceleration site are completely invisible. This inconvenient fact has led to great confusion about the origin of SEPs.

The effort to understand the physical origin of SEP events finally led to the identification of two classes of SEP events, *impulsive* and *gradual* (or *long-duration*) with the sources suggested by Fig. 1.4 (e.g. Reames 1999, 2013). The history of this journey will be discussed in Chap. 2 with further physical evidence in Chap. 3. Fortunately, the properties of the SEPs themselves carry the imprint of both their origin and their transport. Important differences lie in abundances of elements and isotopes, electron/ion ratios, energy spectra, onset timing, duration, angular distributions, and associations with visible phenomena, as we shall see.

The data base for many measurements from many spacecraft, including SEP intensities, from spacecraft where they were measured, is the NASA Coordinated Data and Analysis Web site: https://cdaweb.gsfc.nasa.gov/sp_phys/. This web site has data from past and current space-physics missions.

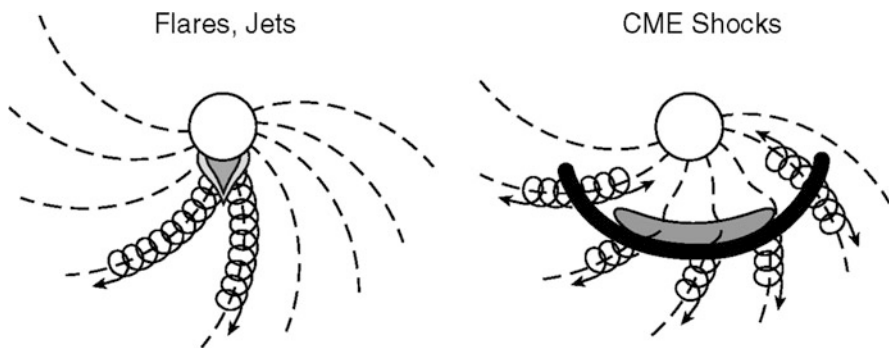


Fig. 1.4 Impulsive (*left*) and gradual (*right*) classes of SEP events are distinguished by the dominant sources of particle acceleration in each case (Reames 1999, © Springer). Impulsive SEP events are accelerated in magnetic-reconnection events on open field lines (i.e. jets) in the corona. Gradual SEP events are accelerated at shock waves (*solid black*) driven out from the Sun by CMEs (*gray*). Particle trajectories are shown as spirals along \mathbf{B} (*dashed*)

1.5.1 Time Duration

While the terms impulsive and gradual did not originally refer to the SEP duration, this is often a reasonable characterization, as shown by the events in Fig. 1.5.

1.5.2 Abundances

The abundances of elements and isotopes have been powerful indicators of the origin, acceleration, and transport of SEPs. It was found (Webber 1975; Meyer 1985) that the average element abundances, in events we now call large, gradual SEP events, were a measure of the corresponding solar coronal abundances. These differ from abundances in the photosphere by a factor which depends on the first ionization potential (FIP) of the element as shown in Fig. 1.6 and listed in Table 1.1 (Reames 1995, 2014). In the photosphere, low-FIP (<10 eV) elements are ionized while high-FIP elements are neutral atoms. Relative to the upward flow of neutral atoms, ions are also influenced by Alfvén waves during their transport across the solar chromosphere and into the corona (e.g. Laming 2009, 2015). Other measures of coronal abundances, such as in the solar wind (e.g. Geiss 1982; Bochsler 2009), show a FIP effect that is similar but not identical to SEPs (see Sect. 8.4; Schmelz et al. 2012; Reames 2018), reflecting a different origin. The SEP abundances in Table 1.1 can serve as reference abundances for discussion of “enhancements” throughout this book.

Table 1.1 lists the photospheric (Asplund et al. 2009) and the reference SEP (Reames 1995, 2014, 2020) abundances that we can use. A likely correction to the

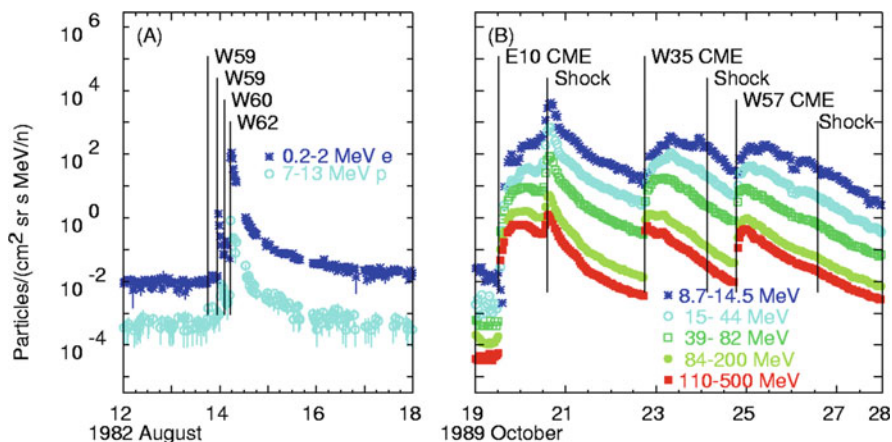


Fig. 1.5 Particle intensities are shown for a series of (a) impulsive and (b) gradual or long-duration SEP events at similar time and intensity scales. Flags labeled with the source longitude indicate the onset times of the events; also shown are the times of shock passage near Earth. Proton (or electron) energies are listed. It is difficult to obtain comparable proton energies because impulsive events are much less energetic (Reames 1999) © Springer

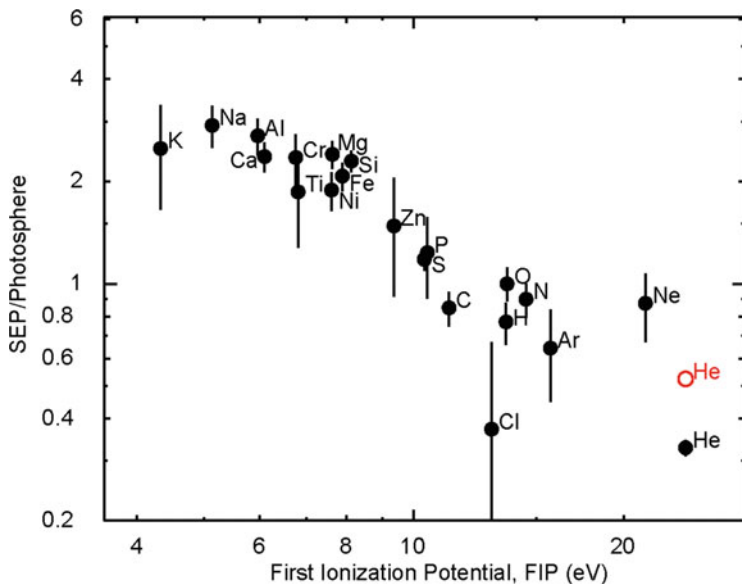


Fig. 1.6 The average element abundance in gradual SEP events (Reames 1995, 2014, 2017), or reference abundance, relative to the corresponding abundance in the solar photosphere (Asplund et al. 2009) is plotted as a function of the FIP of the element (see text). Here He means ^4He

reference abundance of He ($\text{He}/\text{O} = 91$ rather than 57; Reames 2017), that will be discussed in Sect. 5.9, is shown as a red open circle in Fig. 1.6. Alternative photospheric abundances by Caffau et al. (2011), shown in Table 8.1, make some difference in the FIP plot as demonstrated by Reames (2015); the differences depend upon the choice of spectral lines used to obtain the photospheric abundance measurements (Chap. 8). Note that within this book, unspecified He means ^4He .

The current theory of the “FIP effect” (Laming 2009, 2015; Laming et al. 2019) uses the ponderomotive force of Alfvén waves below the chromospheric-coronal boundary to preferentially boost low-FIP ions (e.g. Mg, Si, Fe) into the corona ahead of the slower evaporation of high-FIP neutral atoms (e.g. O, Ne, He). All elements become ionized in the 1-MK corona. The Alfvén waves can resonate with the loop length on closed magnetic loops and calculations show that the ion fractionation only occurs near the top of the chromosphere. Open fields lack this resonance so the fractionation is more extensive. Particles destined to become SEPs apparently arise mainly on closed loops in active regions and the elements P, S, and C are suppressed like high-FIP neutral atoms, while particles of the solar wind arise on open field lines where P, S, and C behave like low-FIP ions (see Sect. 5.9, Chap. 8, and Reames 2018, 2020). This means that SEPs are *not* merely accelerated solar wind, as originally noticed by Mewaldt et al. (2002) and Desai et al. (2003); SEPs and the solar wind are fundamentally different samples of coronal material. The FIP patterns of SEPs and the solar wind are compared with theory in Chap. 8 and the differing locations of their origin are discussed.

Table 1.1 Photospheric and SEP-reference abundances used in Fig. 1.6

	Z	FIP [eV]	Photosphere	SEP Reference
H	1	13.6	$(2.04 \pm 0.05) \times 10^6$	$(\sim 1.57 \pm 0.22) \times 10^6$
He	2	24.6	$(1.74 \pm 0.04) \times 10^5$	$57,000 \pm 3000, 91,000 \pm 13,000$
C	6	11.3	550 ± 63	420 ± 10
N	7	14.5	138 ± 16	128 ± 8
O	8	13.6	1000 ± 115	1000 ± 10
Ne	10	21.6	174 ± 40	157 ± 10
Na	11	5.1	3.55 ± 0.33	10.4 ± 1.1
Mg	12	7.6	81 ± 8	178 ± 4
Al	13	6.0	5.75 ± 0.40	15.7 ± 1.6
Si	14	8.2	66.1 ± 4.6	151 ± 4
P	15	10.5	0.525 ± 0.036	0.65 ± 0.17
S	16	10.4	26.9 ± 1.9	25 ± 2
Cl	17	13.0	0.65 ± 0.45	0.24 ± 0.1
Ar	18	15.8	5.1 ± 1.5	4.3 ± 0.4
K	19	4.3	0.22 ± 0.14	0.55 ± 0.15
Ca	20	6.1	4.47 ± 0.41	11 ± 1
Ti	22	6.8	0.182 ± 0.021	0.34 ± 0.1
Cr	24	6.8	0.89 ± 0.08	2.1 ± 0.3
Fe	26	7.9	64.6 ± 6.0	131 ± 6
Ni	28	7.6	3.39 ± 0.31	6.4 ± 0.6
Zn	30	9.4	0.074 ± 0.009	0.11 ± 0.04

Abundances also distinguish *impulsive* SEP events in a very different way (Mason 2007). The earliest of these was the greatly enhanced ${}^3\text{He}/{}^4\text{He}$ ratio, which is $\sim 5 \times 10^{-4}$ in the solar wind, but can be >1 in impulsive SEP events, as seen in the examples in Fig. 1.7. Enhancements of Fe/O by a factor of ~ 10 were subsequently observed and we now see these as part of an enhancement that is a power law in A/Q that becomes a 1000-fold enhancement for heavy elements up to Au and Pb, relative to He or O. These orderly systematic enhancements in impulsive SEP events will be discussed in Chap. 4. The observation of energy-dependent stripping of electrons from Fe ions after acceleration now suggests they were accelerated at or below 1.5 solar radii (DiFabio et al. 2008) in solar jets (see Sect 2.6).

The two events in Fig. 1.7 have event-averaged Fe/O = 1.24 ± 0.28 and 1.34 ± 0.20 , respectively, compared with the reference value of 0.131 ± 0.006 in Table 1.1. Enhancements of even heavier elements (e.g. $Z > 50$) are much greater, on average, but are difficult to measure in single small events. These will be seen in Sect. 4.5.

Impulsive SEP events are associated with solar jets (Bučík 2020), i.e. magnetic reconnection on open field lines that allow the SEPs and narrow CMEs to escape (Kahler et al. 2001), especially those more-energetic jets from solar active regions (Bučík et al. 2018a, b). Impulsive SEP events also have intense electron beams

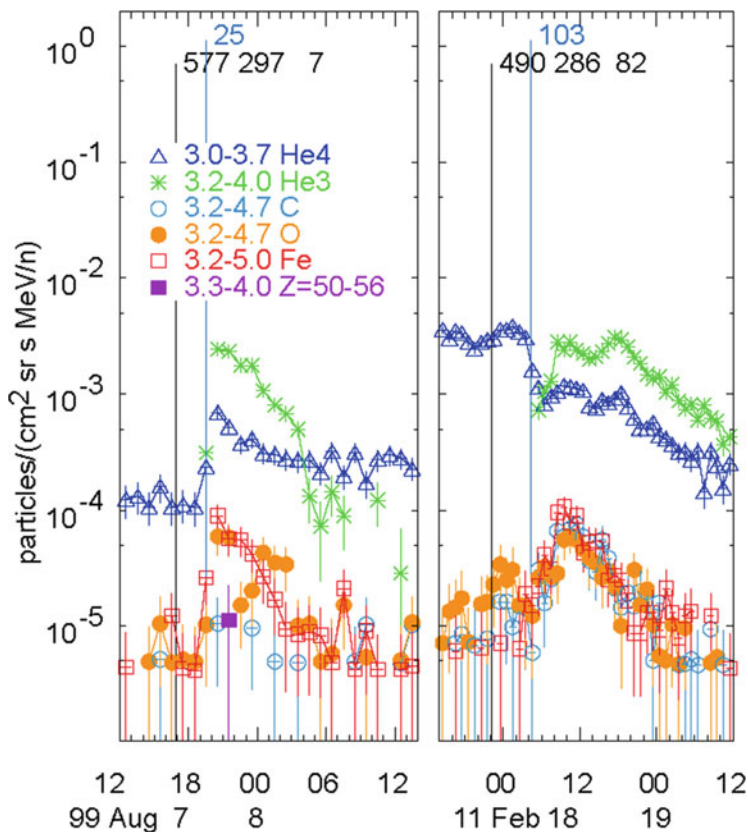


Fig. 1.7 Intensities vs. time are shown in impulsive SEP event numbers 25 and 103 (shown in blue flags at event onsets) from the list in Reames et al. (2014 © Springer). ${}^3\text{He}$ exceeds ${}^4\text{He}$ in these events and Fe exceeds C and O. Flags in black preceding the SEP onsets are at the associated CME onset times and list the speed (km s^{-1}), position angle (deg), and width (deg) of the CME.

(Reames et al. 1985) that emit type III radio bursts (Sect. 2.2; Reames and Stone 1986).

1.5.3 The Solar Cycle

SEP events do not precisely follow the solar activity level of sunspots, but they do have a definite solar cycle. The upper panel of Fig. 1.8 shows intensities of 120–230 MeV protons measured by the *Goddard Space Flight Center* telescope on the *IMP-8 (Interplanetary Monitoring Platform)* spacecraft. This telescope is sensitive to energetic protons of both solar and galactic origin and can thus observe the counter-cyclical behavior. When the Sun is active with SEP events, the greater ejection of CMEs increases the modulation that blocks and decreases the

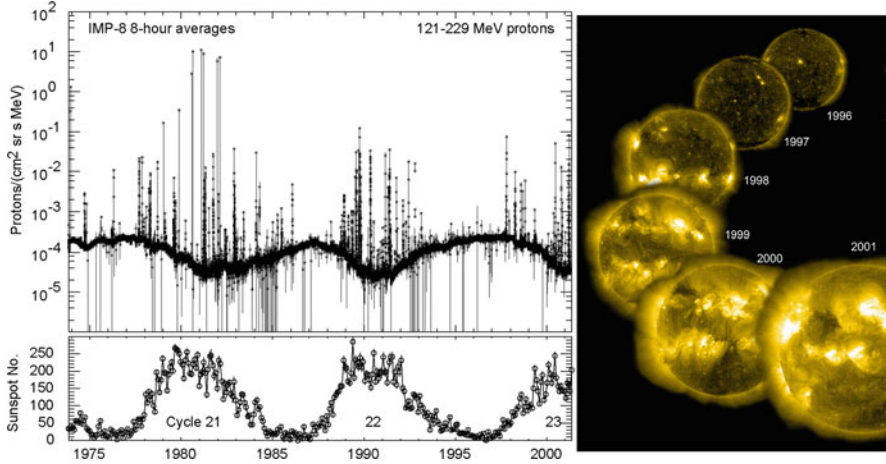


Fig. 1.8 Intensities of 120–230 MeV protons in 8-h averages from the Goddard IMP-8 telescope are shown over 27 years in the *upper panel*. Spikes from individual SEP events reach a factor of 10^5 above a counter-cyclical baseline of galactic cosmic rays which the instrument also measures well. However, intensities in some SEP events during the later cycles are foreshortened because of increasingly frequent data gaps. The monthly international sunspot number is shown in the *lower panel* for comparison, and to the *right* are EIT images at 284 \AA that show solar activity during each year from 1996 to 2001 during the rise of Solar Cycle 23

encroachment of galactic cosmic rays into the heliosphere. The monthly sunspot number is shown in the lower panel for comparison (see Hathaway 2010).

1.5.4 Relativistic Kinematics

What we often call the particle “energy,” E , commonly quoted as MeV amu^{-1} , is actually a measure of velocity $E = \epsilon/A = M_u(\gamma - 1) \approx \frac{1}{2} M_u \beta^2$, where ϵ is the total kinetic energy, A is the atomic mass, $M_u = m_u c^2 = 931.494 \text{ MeV}$, $\gamma = (1 - \beta^2)^{-1/2}$, and $\beta = v/c$ is the particle velocity relative to the speed of light, c . Abundances of elements and isotopes are always compared at the same value of E . The total energy of a particle is $W = AM_u \gamma$ and the momentum is given by $pc = AM_u \beta \gamma$. The magnetic rigidity or momentum per unit charge is $P = pc/Qe = M_u \beta \gamma A/Q$ in units of MV. Note that the standard atomic mass unit (amu), $1/12$ the mass of ^{12}C , is close enough to nucleon masses that MeV nucleon^{-1} is indistinguishable from MeV amu^{-1} for SEP studies.

We can write the Lorentz force on a single particle in the form

$$m_u \frac{d}{dt}(\gamma \mathbf{v}) = \frac{Q}{A} e(\mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad (1.1)$$

In a collisionless world where the electric and magnetic fields are independent of the nature of the particle, the only specific particle species dependence is Q/A . This will be the case for most of the wave-particle interactions we will encounter during particle acceleration and transport. The exception comes when the particle interacts with matter where the electric field \mathbf{E} is that of the particle itself and depends upon Q as it scatters electrons of the stopping material. This is the case in particle detectors (Chap. 7) where the species-dependence for energy loss becomes Q^2/A . Strong enhancements observed in elements with $76 \leq Z \leq 82$ in impulsive SEPs would have been suppressed by this dependence on Q^2/A if the ions had traversed significant amounts of matter during acceleration or transport. Thus, acceleration and transport are primarily collisionless and depend upon Q/A , although, in some cases, ions may traverse enough material after acceleration to alter their ionization states (Sect. 2.6).

1.6 What Do We “See” at the Sun?

SEPs follow magnetic fields out from the Sun so the image of their source location is distorted and difficult to follow. Are there photons emitted that can help us locate SEP origins?

Many of the energetic photons we see from the Sun are produced by heating. Solar spectral lines that can image the Sun are a specific wavelength produced by ions of a specific element in a specific ionization state, i.e. temperature, of the ambient solar plasma. They show regions of heating and can indicate element abundances in the ambient plasma, but tell us little of the nature of any SEPs that may have caused the heating as they plunged into the denser plasma.

CMEs are imaged in white light reflected by ejected material. It is sunlight from the photosphere that has been Thomson-scattered by free electrons in the corona. The light intensity is a measure of electron density, and coronagraph images of fast CMEs can distinguish the location and evolution of shock waves and the driver gas (see Sect. 3.2).

Hard X-rays (>20 keV) are produced by Bremstrahlung (electron-ion scattering) of energetic electrons. Images show locations where energized (>20 keV) electrons encounter regions of denser plasma (Fletcher et al. 2011; Miller et al. 1997). Soft X-rays are produced in relatively dense regions by electron and ion heating as they stop and lose their energy to the plasma by Coulomb scattering. Thus flares are produced by SEP-heated plasma, but those SEPs don't get out. Magnetic trapping is the reason flares are so hot and bright.

Radio emission is produced by 10–100 keV electrons. Type III bursts are produced by electrons streaming out from the Sun along magnetic field lines while type II bursts are produced at interplanetary shock waves (see Sect. 2.2).

The only photons identified with accelerated ions are in the broad γ -ray lines produced by Doppler-shifted emission of interacting energetic ions of the “beam,” while the narrow γ -ray lines are emitted from the ambient plasma in flares (see Sect. 4.9). The last measurements of solar γ -ray lines were made in the 1980s. These are the only measurable photons produced by the energetic ions.

It is also possible to trace field lines of direct measurements of SEP events back to the Sun to locate their source (Nitta et al. 2006; Wang et al. 2006; Ko et al. 2013).

Thus, the photons we measure are usually produced by electrons and rarely help us study accelerated ions, so, much of the SEP physics must be determined directly from measurements of the ions themselves. Associations are generally made from models or from similarities in the timing, although the photons usually relate to SEPs accelerated on closed field lines while the SEP ions and electrons we see in space come from related events on open field lines.

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