



Obstructive Sleep Apnea and Weight Abnormalities in Children

12

Irina Trosman and Samuel J. Trosman

Abbreviations

AHI	Apnea-hypopnea index
AT	Adenotonsillectomy
ATH	Adenotonsillar hypertrophy
BIA	Bioelectrical impedance analysis
Bi-PAP	Bi-level positive airway pressure
BMI	Body mass index
CPAP	Continuous positive airway pressure
cwp	Centimeters of water pressure
EEG	Electroencephalographic
EMG	Electromyogram
FTT	Failure to thrive
GF	Growth failure
GFBP-3	Growth factor binding protein
GH	Growth hormone
IGF-1	Insulin-like growth factor
OSA	Obstructive sleep apnea
OSDB	Obstructive sleep-disordered breathing
TcPCO ₂	Transcutaneous CO ₂ level

I. Trosman (✉)

Division of Pulmonary and Sleep Medicine, Department of Pediatrics,
Ann and Robert H. Lurie Children's Hospital of Chicago, Chicago, IL, USA
e-mail: itrosman@luriechildrens.org

S. J. Trosman

Department of Otolaryngology, Icahn School of Medicine at Mount Sinai,
New York, NY, USA

Case 1: Toddler Presenting with Failure to Thrive

History

A 20-month-old full-term male presented to the emergency department (ED) with 2 days of cough and congestion. His parents reported trouble breathing and choking episodes while sleeping as well as difficulties taking solid foods. His oral intake started declining approximately 4–5 months ago. He coughed and choked with attempts to eat solids, and therefore had to be fed with pureed/mashed or baby foods. He has been able to drink liquids and takes 5–6 large bottles of whole cow's milk daily in addition to apple juice and water. The parents believe he has lost weight over this time, but they are unsure of the exact amount. There is reported noisy breathing that is worse when going to bed at night. The family feels as though they need to observe him throughout the night to make sure he won't stop breathing. They routinely prop him up but feel his breathing is very shallow as though "he is using his whole body to breathe." Parents also commented on loud snoring and jerking movements during sleep. Further evaluation in the ED revealed unremarkable chest X-ray and two-view airway X-ray, which were significant for the prominence of the palatine tonsils and adenoid hypertrophy.

Past Medical and Surgical History

The child's past medical history was remarkable for sickle cell trait and constipation.

Medications and Allergies

There were no reported food or medication allergies or need for medication use.

Family History and Social History

Family history was remarkable for myocardial infarction in maternal aunt who died at age 4 and multiple family members affected by obstructive sleep apnea.

Review of Systems

Review of medical systems was unremarkable.

Physical Examination

Height: 80 inches
Weight: 22 pounds

The child appeared to be malnourished and had mild respiratory distress with suprasternal and intercostal retraction. Copious nasal secretions and considerable tonsillar hypertrophy were noticed on oropharyngeal examination. His exam was otherwise unremarkable.

Differential Diagnosis

- Inadequate caloric intake (neglect, behavioral problems associated with decreased oral intake such as oral aversion or dysphagia)
- Inadequate nutrient absorption (celiac disease, cystic fibrosis)
- Increased metabolism (hyperthyroidism, congenital heart disease, obstructive sleep apnea/sleep-disordered breathing)
- Defective utilization (genetic disorders, metabolic disorders, others)

The child's nutritional and gastrointestinal review of systems were consistent with an appropriate oral intake and no symptoms suggestive of malabsorption. Absence of dysmorphism and unremarkable family history made genetic disorder less likely. The presence of significant tonsillar hypertrophy and observed sleep-related apneas and dyspnea were suggestive of obstructive sleep-disordered breathing.

Diagnostic Testing

A pediatric polysomnography was obtained that demonstrated frequent brief oxygen desaturations to 70%, dyspnea, apneas, and rising level of transcutaneous carbon dioxide (TcPCO₂) to 58–60 mmHg noted during the child's sleep (Fig. 12.1). Laboratory data revealed normal complete blood count and an unremarkable basic metabolic panel. The child tested positive for Metapneumovirus virus.

Diagnostic Polysomnogram (PSG):

Total sleep time:	315 minutes
Latency to sleep:	2 minutes
REM latency:	40 minutes
Sleep efficiency:	88%
Apnea-hypopnea index (AHI):	88 events per hour of sleep
REM AHI:	120 events per hour of sleep
Mean sleep % SpO ₂ :	96%
Min sleep % SpO ₂ :	75%
TcPCO ₂ > 50 mmHg:	35% of total sleep time

Assessment

The patient's acute respiratory distress was likely due to metapneumovirus bronchiolitis. His chronic symptoms of snoring, nocturnal choking episodes, and noisy

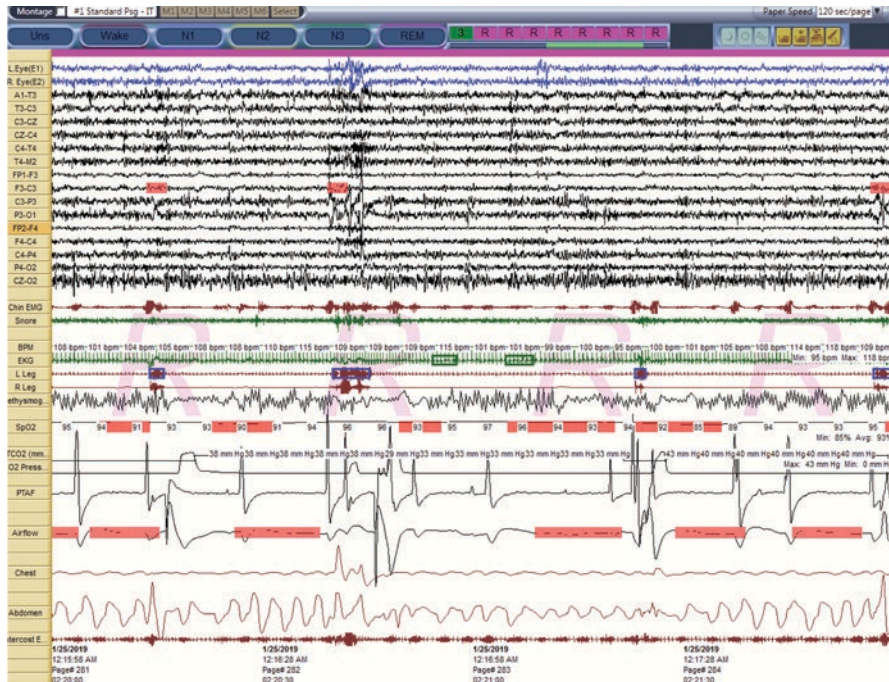


Fig. 12.1 Obstructive apneas in REM sleep associated with periodic oxygen desaturations, 120-second window

L Eye and R eye/EOG – electrooculogram; A1-T3, T3-C3, C3-CZ, CZ-C4, C4-T4, T4-M2, CZ-O2/EEG – electroencephalogram; chin EMG – chin electromyograms; Snore – sound channel; BMP and ECG – electrocardiogram; L-leg and R-leg – left and right anterior tibial EMG; Plethysmog – Plethysmography; SpO2 – oxyhemoglobin saturation by pulse oximetry; ETCO2 – end tidal carbon dioxide; PTAF – nasal pressure; Airflow – thermistor derived oronasal flow; Chest – chest respiratory excursion; Abd – abdominal respiratory excursion; Intercost E – intercostal myogram

breathing were attributed to a new diagnosis of severe OSA associated with profound gas exchange abnormalities, acute on chronic hypercapnic respiratory failure, ATH, and failure to thrive (FTT).

Diagnosis: Pediatric OSA associated with growth failure

Treatment

The patient was started on CPAP therapy at 13 cmH2O, which resulted in resolution of hypercapnia, hypoxia, snoring, dyspnea, and sleep consolidation. The patient was discharged home with CPAP at 13 cmH2O. He was able to tolerate CPAP therapy for a month. Adenotonsillectomy (AT) was performed 1 month later following the resolution of bronchiolitis symptoms. At a follow-up visit 3 months after initial

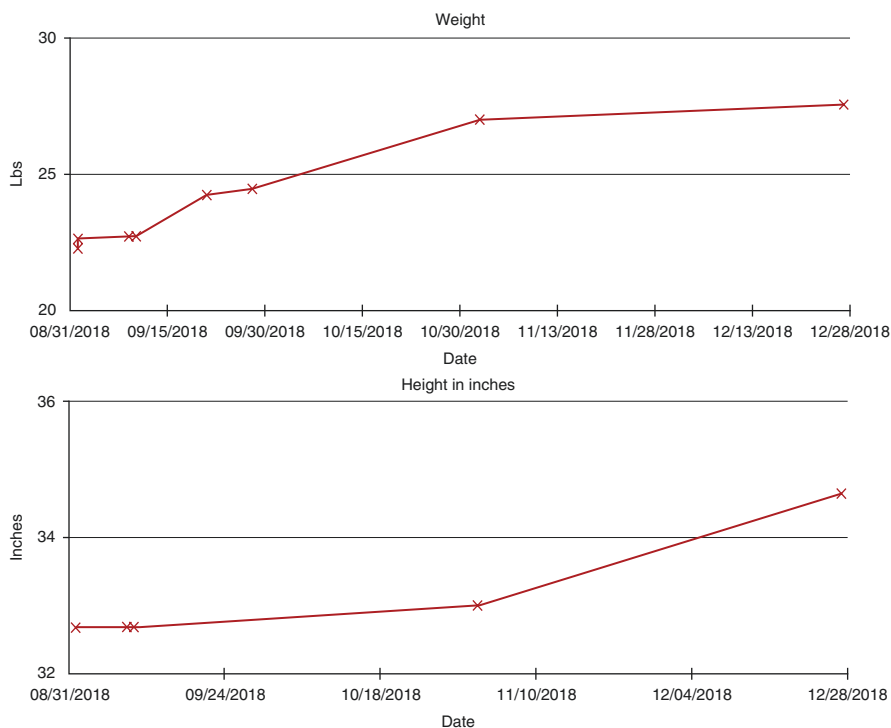


Fig. 12.2 Patient's growth chart demonstrates a proportional increase in weight and height since the administration of continuous positive airway pressure (CPAP) therapy in 9/2018 and following AT in 11/18

admission and 2 months post AT, the family reported complete resolution of sleep apneas, nocturnal dyspnea, restlessness, and snoring. There was 5.5 pound weight gain and 2 inch height increase (Fig. 12.2). The child's oral intake improved dramatically, though he continued working with speech therapy. Repeat sleep study demonstrated complete OSA resolution (Fig. 12.3).

Discussion

This case illustrates a well-known complication of OSA: failure to thrive. Normal growth is the result of a complex interaction between genetic, hormonal, and environmental/nutritional factors. OSA is one of the important factors frequently omitted from the differential diagnosis of FTT by pediatricians, otolaryngologists, family physicians, and endocrinologists.

Growth failure (GF) and failure to thrive (FTT) are defined as inadequate growth in early childhood. Both entities are currently described solely based on anthropometrical measurements, primarily based on the child's weight and height. Although

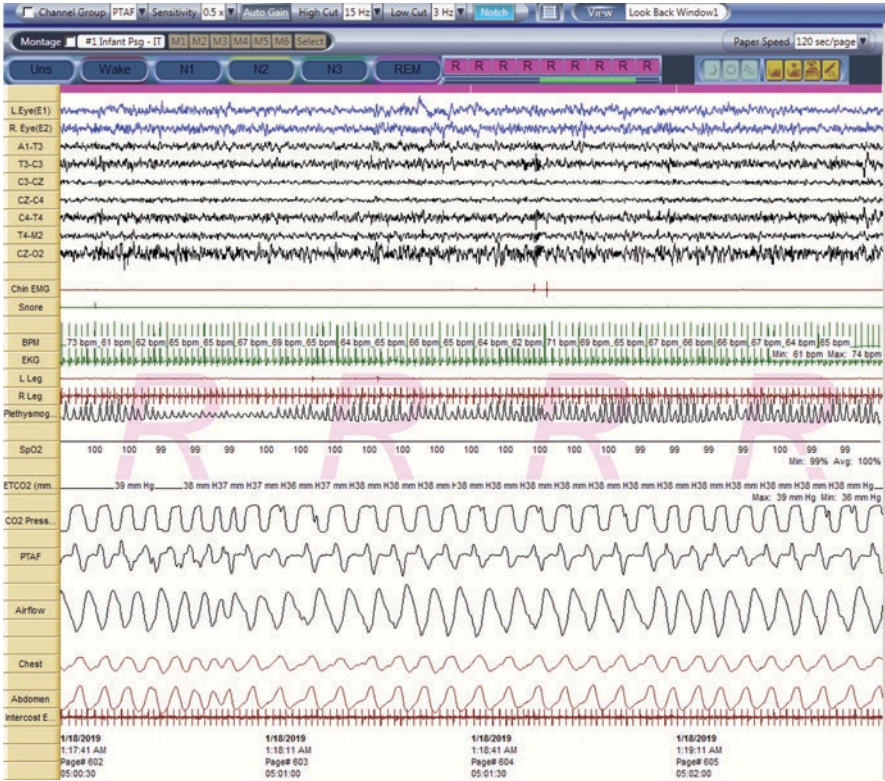


Fig. 12.3 Resolution of REM sleep-related obstructive events, hypoxia, and hypercapnia following AT, 120-second window

L Eye and R eye/EOG – electrooculogram; A1-T3, T3-C3, C3-CZ, CZ-C4, C4-T4, T4-M2, CZ-O2/ EEG – electroencephalogram; chin EMG – chin electromyograms; Snore – sound channel; BMP and EKG – electrocardiogram; L-leg and R-leg – left and right anterior tibial EMG; Plethysmog – Plethysmography; SpO2 – oxyhemoglobin saturation by pulse oximetry; ETCO2 – end tidal carbon dioxide; PTaF – nasal pressure; Airflow – thermistor derived oronasal flow; Chest – chest respiratory excursion; Abd – abdominal respiratory excursion; Intercost E – intercostal myogram

there is no universal consensus on the definition of childhood FTT, the term is frequently used for infants and children with weight below the fifth percentile for their sex and corrected age [88]. Supporting definitions include weight for length below the fifth percentile, body mass index for age below the fifth percentile [17], or a sustained decrease in growth velocity in which weight for age or weight for length/height falls by two major percentiles over time [88]. Thus, valid weight and height measurements over time are required for the recognition of failure to thrive [38]. In the case, serial measurements of the child’s weight and height were not available; however, the patient’s weight and height upon presentation to the hospital were <5% for age.

The prevalence of FTT depends on the population studied and recognition criteria used. It is estimated that in the United States, FTT may occur in up to 5–10% of children [64, 68, 88].

Pediatric OSA was formally recognized in 1976 [34]. OSA is most prevalent in younger children ages 2–8, when tonsil and adenoid size is largest relative to the upper airway diameter [58]. Historically OSA has been most reported in young children, thus many original reports published in 1980s described correlation between severe OSA, adenotonsillar hypertrophy (ATH), and growth failure (GF) in young children [8, 15, 25]. Guilleminault et al. in 1981 reported a “spectacular increase in weight” as well as height increase 2 months after AT, particularly in children with FTT and OSA. Since then, multiple publications confirmed an association between OSA, ATH, and FTT, mostly in children younger than age 10 [13, 19, 24, 37, 46, 54, 59, 63, 77].

The underlying etiology of growth retardation in OSA is still not fully understood. Commonly proposed mechanisms include dysphagia due to hypertrophy of tonsils and adenoids, alterations in taste and poor appetite [78], dysregulation of energy supply/energy expenditure balance due to the increased work of breathing during sleep [10, 56, 59, 78], and nocturnal growth hormone (GH) secretion disruption [7, 13, 14, 67, 80, 81]. Additional proposed mechanisms include intermittent hypoxia associated with OSA, which may result in metabolic compensation required to maintain adequate growth; OSA-related behavioral alterations (e.g., hyperactivity) associated with potentially increased caloric demand; and chronic inflammation causing the growth-inhibiting effects [47]. To date, none of these mechanisms have been conclusively proven to be a cause for FTT in children with OSA. For instance, one pediatric study by Marcus et al. found increased caloric expenditure among children with OSA due to labored breathing. In their study, children with the lowest weight had the highest energy expenditures [59]. However, other studies did not detect a significant difference in sleep energy expenditure between children with and without OSA [6, 10, 56].

Impairment in GH production has been considered the main mechanism underlying growth impairment in children with OSA [6, 7, 13, 58]. GH is secreted in a pulsatile, circadian rhythm that peaks at night, mainly during deep slow-wave or stage N3 sleep [12, 26, 27]. GH production stimulates production of insulin-like growth factor (IGF-1), the major mediator of somatic growth, in the liver and other target tissues [82]. IGF-1 then binds to growth factor binding protein (GFBP-3) [11, 28, 40]. Most IGF-1 binds to IGFBP-3; therefore, increased IGFBP-3 levels restrict the bioavailability of IGF-1 leading to GH deficiency [57]. Compared to controls, children with growth failure have lower levels of IGF-1 [57] and higher levels of IGFBP-3 [45, 66]. Interestingly, IGF-1 increases significantly with postoperative OSA resolution [7, 14, 63]. There are still unanswered questions surrounding the issue of FTT, GH production, OSA, and deep sleep disruption. Deep slow wave sleep complete disappearance, as well as a moderate reduction in REM sleep, was reported by some publications [22, 36]. Yet, later studies have not discovered any changes in the amount of deep sleep in children with OSA before and after OSA resolution [47, 59]. It appears that major OSA-related sleep disruption in children typically occurs outside of stage N3 sleep and is more common in REM sleep [22, 30, 61]. Therefore, the absence of deep sleep disruption when GH is mainly released in a pulsatile manner cannot explain impairment in GH production.

Key Learning Points

1. Obstructive sleep apnea (OSA) is a common problem among children and is recognized as a cause of significant medical morbidity.
2. Growth failure (GF) is a serious complication of younger children with OSA. The most common cause of GF in younger children with OSA is adenotonsillar hypertrophy (ATH). Children with GF should be screened for OSA and referred for possible adenotonsillectomy.
3. Etiology of GF in young children with OSA remains elusive, and further research is needed to determine the cause and identify children at risk.

Case 2: Loud Snoring, Poor Sleep Quality, and Daytime Sleepiness in an Obese Teenager

History

A 14-year-old male with morbid obesity but no other known medical problems presented for evaluation of nightly loud snoring, observed pauses in breathing, snorts, gasps, frequent nocturnal awakenings, nocturnal enuresis, occasional sleepwalking, morning headaches, and daytime sleepiness. He was referred for evaluation by an ENT specialist who diagnosed the patient with adenoid hypertrophy. ENT did not recommend adenoidectomy and referred him to the sleep medicine department for further evaluation and potential CPAP therapy. The patient denied any further sleep-related symptom, such as insomnia, restlessness at night, or abnormal behaviors or movements such as sleepwalking or sleep talking. He did not report any similar breathing concerns during the daytime, although he did report that he had difficulty performing exercises in his physical education class and would become short of breath if he had to perform any exertional activity. He also reported persistent sleepiness during the daytime despite an adequate amount of sleep time. This was unchanged on weekends and on vacations or holiday breaks from school. He did not have any symptoms of sleep paralysis or cataplexy.

Differential Diagnosis

- Sleep-disordered breathing including primary snoring, obstructive sleep apnea, obesity hypoventilation syndrome, and central sleep apnea
- Gastroesophageal reflux
- Nocturnal asthma
- Psychiatric illnesses including panic attacks
- Nocturnal seizures

Sleep Schedule/Sleep Hygiene

The patient sleeps alone in his bed and tends to use his smart phone in the 30 minutes prior to sleep. He usually falls asleep on his side but tends to change position over the course of the night. He believes he wakes up more often when he is on his back.

Time in bed:	9:00 pm
Lights out:	9:15 pm
Sleep onset latency:	5 minutes or less
Number of awakenings:	3–4 per night, usually due to breathing events
Wake after sleep onset time:	10–15 minutes
Wake time:	7:00 am
Naps:	None
Total sleep time:	Approximately 9–10 hours per night

Scales/Questionnaires

STOP-BANG Scale:	5 points (high risk of obstructive sleep apnea)
Epworth Sleepiness Scale:	14 points (excessive daytime sleepiness)

Past Medical and Surgical History

- None

Allergies and Medications

- None

Family History

- Hypertension, mother and father
- Depression, father
- Obstructive sleep apnea, father, paternal grandfather

Social History

He is currently in the ninth grade in high school and reports enjoying school. His grades have recently started to suffer due to excessive sleepiness during the day. He finds himself consuming more caffeine in the last year, and usually drinks 2–3 cups of coffee on weekdays to help him stay awake. He does not use tobacco, drink alcohol, or use other recreational drugs.

Physical Examination

Vital Signs

Blood pressure:	132/70 mmHg
Heart rate:	78 beats per minute
Respiratory rate:	14 breaths per minute
Height:	5 feet 6 inches
Weight:	255 pounds
BMI:	40 kg/m ²

Physical Exam

General:	Obese body habitus. No apparent distress.
HEENT:	Mallampati Class III. Neck circumference 17 inches. Mild tonsillar hypertrophy. Fundoscopic examination is normal, normal range of motion. No nasal flaring, no swelling of the turbinates, and no septal deviation.
Respiratory:	Clear to auscultation bilaterally, no wheezing/rhonchi/crackles
Cardiovascular:	Regular rate and rhythm, no murmur, rubs, or gallops. No carotid bruit.
Extremities/Skin:	No cyanosis, clubbing, or edema. Acanthosis nigricans in skin folds and multiple abdominal striae.
Neurologic:	Alert and fully oriented. Cranial nerves II-XII are intact. Motor strength 5/5 in upper and lower extremities. Sensory exam intact to light touch, pinprick, and vibration. Reflexes 2+ throughout, normal gait.

Differential Diagnosis

This patient's normal neurological exam, negative neurological history, and normal review of systems as well as associated snoring and obese body habitus made central apnea diagnosis less plausible. Absence of frequent or chronic cough, wheezing, and dyspnea, or previous inhalers use made a diagnosis of asthma unlikely. Psychiatric history was not consistent with anxiety or nocturnal panic attacks. Morbid obesity, male gender, large neck circumference, crowded airways, and family history positive for OSA were suggestive of obstructive sleep apnea, possibly associated with obstructive hypoventilation and/or obesity hypoventilation syndrome.

Thus, at this point an in-lab sleep study with PaCO₂ monitoring was ordered to formally evaluate for sleep-disordered breathing.

Diagnostic Testing

Diagnostic Polysomnogram (PSG):

Total sleep time:	345 minutes
Latency to sleep:	7.5 minutes

REM latency:	87 minutes
Wake after sleep onset:	24.5 minutes
Sleep efficiency:	76.1%
Apnea-hypopnea index (AHI):	83 events per hour of sleep
REM AHI:	115 events per hour of sleep
Mean sleep % SpO ₂ :	97%
Min sleep % SpO ₂ :	70%
End-tidal CO ₂ :	28% of total sleep time at EtCO ₂ > 50 mmHg
Periodic limb movement index (Fig. 12.4):	3.5 limb movements per hour

Assessment

The patient’s clinical history coupled with his polysomnography is consistent with severe OSA, associated with significant nocturnal hypoxemia and hypoventilation. In addition, his clinical exam is suggestive of morbid obesity and insulin resistance, both of which are likely related to the sleep-disordered breathing.

Diagnosis: Pediatric severe OSA associated with obesity

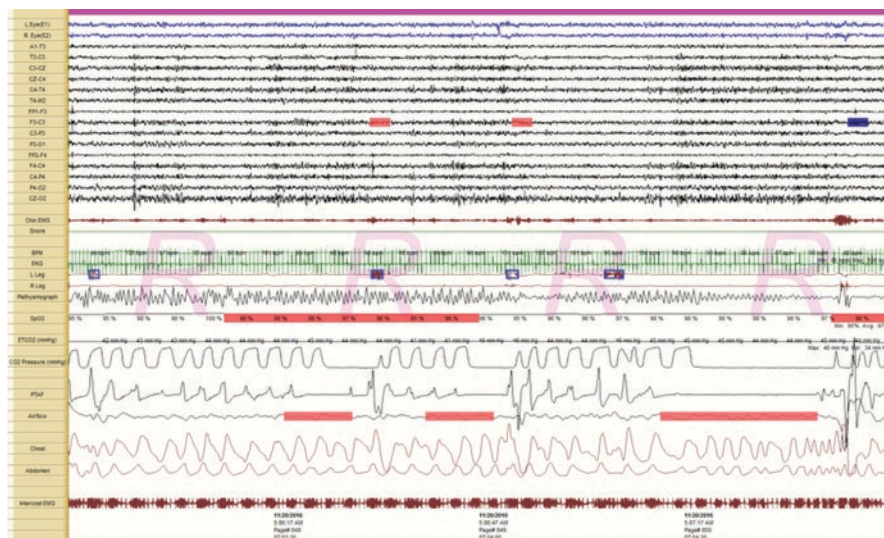


Fig. 12.4 Obstructive events in a 14-year-old child with morbid obesity, 120-second window. Red horizontal bars represent obstructive apneas in REM sleep
 L Eye and R eye/EOG – electrooculogram; A1-T3, T3-C3, C3-CZ, CZ-C4, C4-T4, T4-M2, CZ-O2/EEG – electroencephalogram; chin EMG – chin electromyograms; Snore – sound channel; BMP and EEG – electrocardiogram; L-leg and R-leg – left and right anterior tibial EMG; Plethysmog – Plethysmography; SpO₂ – oxyhemoglobin saturation by pulse oximetry; ETCO₂ –end-tidal carbon dioxide; PTAf – nasal pressure; Airflow – thermistor derived oronasal flow; Chest – chest respiratory excursion; Abd – abdominal respiratory excursion; Intercost E – intercostal myogram
 This baseline sleep study demonstrated severe OSA, with evidence of nocturnal hypoventilation and hypoxemia.



Fig. 12.5 Resolution of obstructive events, hypoxia, and hypercapnia with application of Bi-level PAP therapy at 16/11 cwp, 120-second window
 L Eye and R eye/EOG – electrooculogram; A1-T3, T3-C3, C3-CZ, CZ-C4, C4-T4, T4-M2, CZ-O2/ EEG – electroencephalogram; chin EMG – chin electromyograms; Snore – sound channel; BMP and ECG – electrocardiogram; L-leg and R-leg – left and right anterior tibial EMG; Plethysmog – Plethysmography; SpO2 – oxyhemoglobin saturation by pulse oximetry; ETCO2 – end-tidal carbon dioxide; PTaF – nasal pressure; Airflow – thermistor derived oronasal flow; Chest – chest respiratory excursion; Abd – abdominal respiratory excursion; Intercost E – intercostal myogram

Treatment

The patient underwent a Bi-level titration study with successful OSA control at Bi-level pressure 16/11 cmH2O (Fig. 12.5). BPAP therapy at 16/11 cmHO was ordered and the patient was referred to a weight management program. However, during subsequent visits to the Sleep Medicine Clinic, the patient was noticed to have continuous weight gain despite consistent use of the BPAP device and appropriate control of OSA with Bi-level PAP therapy (Fig. 12.6).

Discussion

The pediatric OSA profile has changed over the last two decades. Obesity rather than FTT became frequently reported in relationship to OSA. Earlier studies

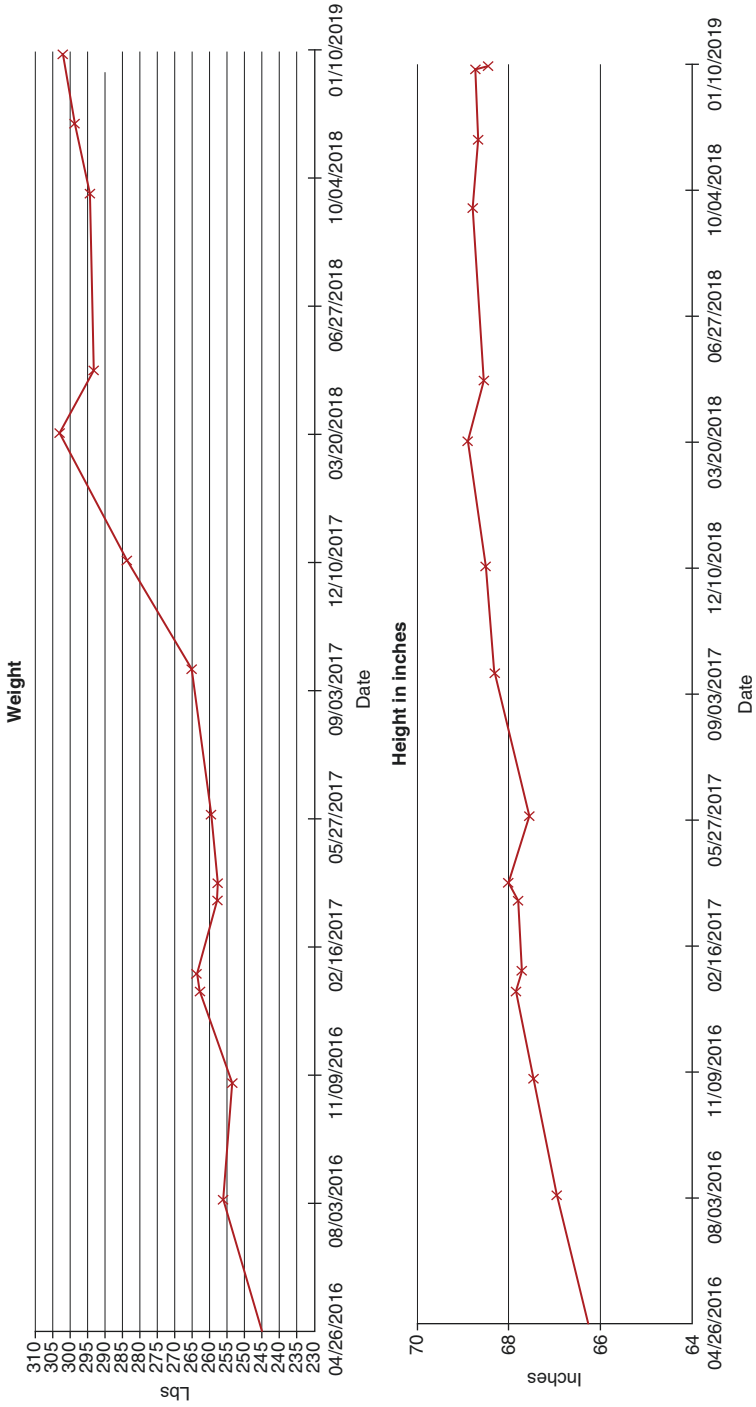


Fig. 12.6 Continuous weight gain despite consistent use of Bi-level PAP device and appropriate control of OSA with Bi-level PAP therapy

reported more frequent OSA association with GF. For instance, a 1992 retrospective study found that of 34 patients with OSA confirmed by polysomnography, only four were obese, whereas 15 weighed under the tenth percentile [55]. In the mid-2000s the relationship between weight and OSA changed due to the rise of pediatric obesity in the United States, with a noticeable increase in childhood obesity occurring at progressively younger ages [48, 86]. This change in dynamics was well documented in the study by Gozal et al. in which less than 15% of all symptomatic habitually snoring children were obese (body mass index or BMI > 95% percentile for age and gender) in the early 1990s, but more than 50% of children referred to the sleep center for suspected SDB in early 2000s fulfilled the criteria for obesity [33]. Subsequently there has been a shift from the classic presentation of children with OSA, ATH, and FTT to children with obesity with and without ATH. This epidemiological change triggered an intense interest in exploring the relationship between OSA and weight gain and OSA and obesity in particular [20].

Obesity is defined as a BMI at or above the 95th percentile for children and teens of the same age and sex; being overweight is defined as a BMI at or above the 85th percentile and below the 95th percentile for children and teens of the same age and sex. BMI is calculated as weight in kilograms divided by the height in meters squared (CDC website [16]). BMI is a simple yet imperfect tool for evaluation of obesity. It does not distinguish excessive weight due to abundance of fat mass from being overweight due to excess lean mass. BMI is the most commonly used measure for assessing obesity in adults. Despite the likelihood of misclassification of the small percentage of individuals whose high BMI is due to lean muscle mass (e.g., athletes), the vast majority of individuals with high BMI have excess body fat. BMI estimation in children is more complicated as an individual child's BMI score has to be compared to the BMI of other children of the same age and gender. The BMI-for-age reference in the United States is based on nationally representative data from boys and girls ages 2–20 years collected between 1963 and 1980 available through the CDC website (CDC website). Morbidly obese children's BMI could not be plotted on the standard CDC BMI percentile chart because their BMI points were above the chart cutoff. Conversely, children with low BMI were also difficult to track on the standard percentile chart. BMI *z*-scores, also called BMI standard deviation scores, were later introduced to measure relative weight adjusted for child age and sex. *Z*-scores are particularly useful to monitor changes in patients with extreme BMIs, that is, BMI above the 99th percentile or below the first percentile. A *z*-score describes how far a child's BMI is from the population mean for his/her age and sex, expressed as a multiple of the population standard deviation. The value of a *z*-score can be negative or positive depending on whether a child's BMI is smaller or larger than the population mean for his/her age and sex. The further a child's BMI is away from the population mean for his/her age and sex, the larger the absolute value of his/her *z*-score [5]. Most early studies [14, 27, 55] reporting association between OSA and weight abnormalities focused on children's weight and height; however, recent studies also take into consideration BMI *z*-scores [24, 47, 63, 90]. Obese

children are at a higher risk for OSA as the prevalence of OSA among obese children and adolescents can be as high as 60% [85]. The severity of OSA seems to be proportional to the degree of obesity [43, 69, 76]. At any level of OSA severity, the likelihood of excessive daytime sleepiness for obese children is greater when compared to non-obese children [20]. Many obese children with OSA have ATH [74]; however, the pathophysiology of OSA in obese children is complex and cannot be explained by ATH alone. Other proposed mechanisms include genetic predisposition, race [71], increased deposition of fat in the parapharyngeal fat pads near and within the soft palate contributing to airway obstruction (although, no consistent relationship between measures of fat distribution and pediatric OSA in children has been found to date) [84], local upper airway inflammation and mucosal swelling from recurrent vibration, and elevated levels of inflammatory cytokines. Other contributing factors include systemic inflammation, elevated leptin level, lower lung volumes, increased airway collapsibility, and gas exchange abnormalities [9, 46]. There appears to be a reciprocal relationship between OSA and obesity in adults [42]. In obese adults, OSA causes dysregulation of hunger/satiety-regulating hormones, sleep disruption, fatigue, and sleepiness as well as behavioral changes such as poor dietary choices and lack of physical activity, as well as an alteration of energy balance between energy intake and energy expenditure [42, 72]. There is a considerable similarity between adult OSA and pediatric OSA profiles. As in adults with OSA, pediatric OSA with obesity is associated with insulin resistance, hypertension, and an increase in inflammatory markers [9, 50]. There is now a plethora of evidence suggesting that OSA in adults contributes to or exacerbates cardiovascular disease, especially in the context of obesity [42, 65]. Although long-term data on childhood obesity and OSA-related effects on cardiovascular structure and function are currently not available, data from short-term studies focusing on blood pressure regulation, cardiac function, autonomic dysfunction, and endothelial properties suggest a similar pattern in obese children and obese adults with OSA [4, 23, 73, 79, 83]. Therefore, some investigators speculated that OSA associated with obesity is a different entity than OSA associated with FTT and subsequently proposed to divide OSA in children into type I (OSA without associated obesity) and type II pediatric OSA (OSA with associated obesity) [20, 50]. As opposed to children with ATH and FTT, obese children with OSA may not have adenotonsillar hypertrophy, often present at a slightly later age, and are more likely to have a clinical presentation resembling the adult OSA phenotype [32]. There has been accumulating evidence of metabolic sequelae of OSA and obesity in children such as insulin resistance and diabetes [18, 49], dyslipidemia [18, 75], cardiovascular morbidity including hypertension [3, 35, 39, 60], endothelial dysfunction [31], right ventricular hypertrophy [21], and left ventricular remodeling hypertrophy [4] as well as systemic inflammation [9]. These complications resemble findings in adults with OSA and are now recognized as important public health issues.

Adenotonsillectomy and Weight Gain

AT is recommended as the first step in the management of pediatric OSA in both non-obese and obese children with ATH by the American Academy of Pediatrics

[1]. In the United States, the number of tonsillectomies has actually declined significantly since the 1970s. In the past, approximately 90% of AT in children were performed for recurrent infection; now 80% of AT are performed for obstructive sleep problems (American Academy of otolaryngology-head and neck surgery).

The first documented case of improved growth after AT in a child with FTT was reported in 1893 [41]. Since then, there have been multiple reports demonstrating postsurgical weight gain and GF resolution in children undergoing AT [13, 19, 25, 37, 47, 70]. Interestingly, the research suggests that AT can not only lead to normalization of weight in children with GF but also increases the risk of obesity in overweight and obese patients [13, 15, 47, 78, 83].

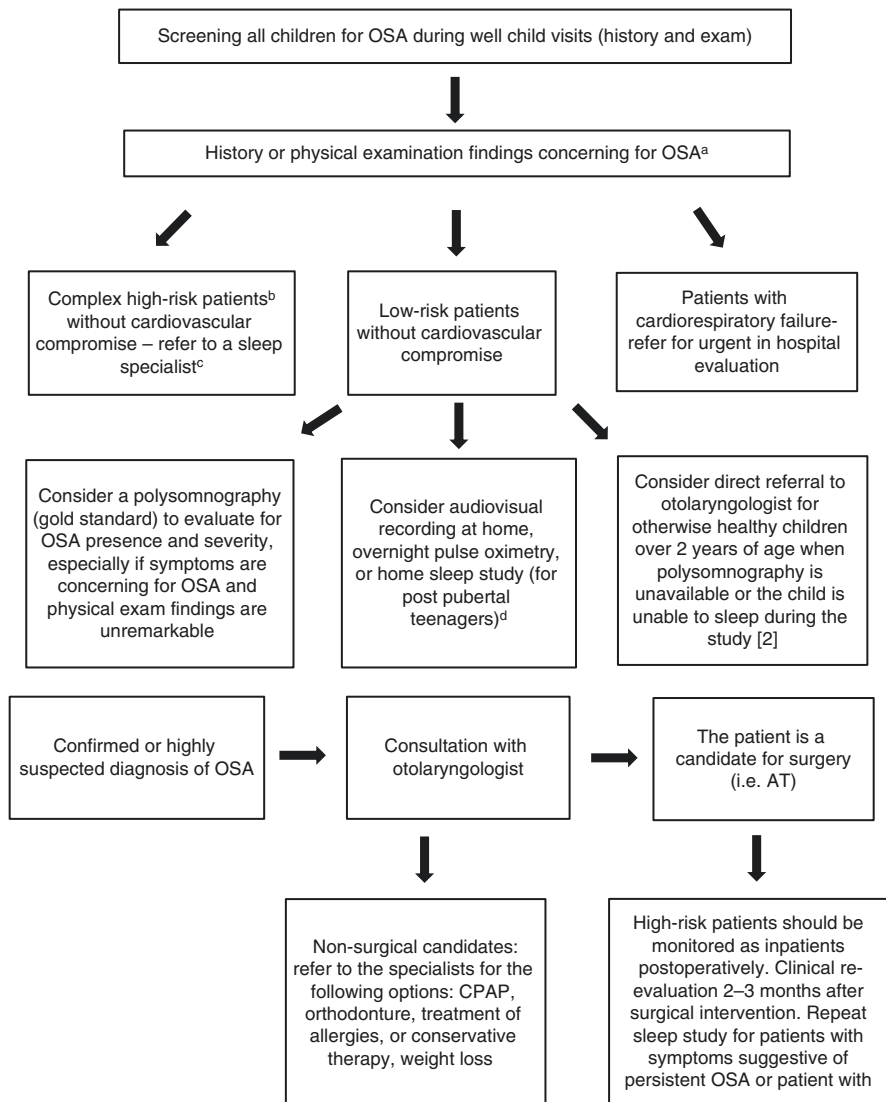
Most studies have focused on evaluating growth and obesity after AT based on anthropometric measurements such as BMI. Although BMI is a simple method to evaluate obesity, it does not distinguish between lean body mass and body fat [51]. This would be an important consideration as obesity is defined by an abnormal percentage of adipose tissue in the body, and children have a higher percentage of lean body mass than adults [52]. Bioelectrical impedance analysis (BIA) appears to be a relatively reliable, simple, and noninvasive method evaluating body fat and lean body mass [87]. One prospective study [54] used BIA to evaluate the difference between the rate of weight increase among children ages 6–9 with chronic tonsillitis and adenotonsillar hypertrophy following adenoidectomy or AT versus healthy controls. This study demonstrated an improvement in BMI in the surgical group without an increase in body fat percentage. However, children in this study were not screened for OSA [54].

Resolution of GF in children with OSA undergoing AT is attributed to increased postoperative levels of circulating IGF-1 and IGFBP-3 [7, 44, 53, 54, 89], reduced upper airway and systemic inflammation [53], increased caloric intake [62] due to unhealthy food choices [29], decreased nocturnal caloric expenditure due to lower work of breathing during sleep, resolution of intermittent hypoxemia, and reduction in wakefulness caloric expenditure due to reduction and/or resolution of hyperactivity [44, 70].

Key Learning Points

- Obese children with OSA may not have ATH but do have pathophysiological changes and metabolic derangements similar to adults with OSA.
- Obese children with OSA are more likely to develop excessive daytime sleepiness than non-obese children with OSA.
- Although normalization of growth post-AT is beneficial in the setting of GF, an exaggerated increase in weight gain in overweight and obese children could increase their risk for OSA recurrence and obesity-related morbidity.

Screening Children for Obstructive Sleep Apnea, Proposed Algorithm Based on American Academy of Pediatrics Clinical Practice Guideline: Diagnosis and Management of Childhood Obstructive Sleep Apnea Syndrome, 2012



- ^aHistorical findings associated with OSA include habitual snoring with labored breathing, observed apnea, mouth breathing, sleep with an arched back, parasomnia, restless sleep, daytime neurobehavioral abnormalities or sleepiness, and others. Physical findings may include growth abnormalities, obesity, mouth breathing, malocclusion, signs of nasal obstruction, adenoidal facies, enlarged tonsils, hypertension, and others. Note that some patients may have no abnormalities on examination.
- ^bComplex, high-risk patients include infants younger than 12 months and children with craniofacial disorders, Down syndrome, neuromuscular disorders (including cerebral palsy), chronic lung disease, sickle cell disease, central hypoventilation syndromes, persistent asthma, or genetic, metabolic, or storage disease.
- ^cSubspecialist refers to a physician with expertise in sleep disorders in children. This physician may be a pulmonologist, neurologist, or other physician with experience in the management of sleep-disordered breathing in children.
- ^dAASM: does not recommend home sleep apnea testing for OSAS diagnosis in children (AASM Practice Parameters 2017; Kirk et al. 2017).

References

1. American Academy of Pediatrics. Clinical practice guideline: diagnosis and management of childhood obstructive sleep apnea syndrome. *Pediatrics*. 2012;130(3):576–84.
2. American Academy of Otolaryngology-Head and Neck Surgery. Tonsillectomy facts in the US: from ENT doctors. Available from: <https://www.entnet.org/content/tonsillectomy-facts-us-ent-doctors>.
3. Amin RS, Carroll JL, Jeffries JL, et al. Twenty-four-hour ambulatory blood pressure in children with sleep-disordered breathing. *Am J Respir Crit Care Med*. 2004;169(8):950–6.
4. Amin RS, Kimball TR, Bean JA, et al. Left ventricular hypertrophy and abnormal ventricular geometry in children and adolescents with obstructive sleep apnea. *Am J Respir Crit Care Med*. 2002;165(10):1395–9.
5. Anderson S. Body mass index in children and adolescents: considerations for population-based applications. *Int J Obes*. 2006;30(4):590–4.
6. Assadi MH, Shknevsky E, Segev Y, Tarasiuk A. Abnormal growth and feeding behavior persist after removal of upper airway obstruction in juvenile rats. *Sci Rep*. 2017;7(1):2730.
7. Bar A, Tarasiuk A, Segev Y, Phillip M, Tal A. The effect of adenotonsillectomy on serum insulin-like growth factor-I and growth in children with obstructive sleep apnea syndrome. *J Pediatr*. 1999;135:76–80. [https://doi.org/10.1016/S0022-3476\(99\)70331-8](https://doi.org/10.1016/S0022-3476(99)70331-8).
8. Bate TW, Price DA, Holme CA, McGucken RB. Short stature caused by obstructive apnoea during sleep. *Arch Dis Child*. 1984;59(1):78–80.
9. Bhattacharjee R, Kim J, Kheirandish-Gozal L, Gozal D. Obesity and obstructive sleep apnea syndrome in children: a tale of inflammatory cascades. *Pediatr Pulmonol*. 2011;46:313–23.
10. Bland R, Bulgarelli S, Venthani J, Jackson D, Reilly J, Paton J. Total energy expenditure in children with obstructive sleep apnoea syndrome. *Eur Respir J*. 2001;18:164–9.
11. Blum WF, Albertsson-Wikland K, Rosberg S, Ranke MB. Serum levels of insulin-like growth factor I (IGF-I) and IGF binding protein 3 reflect spontaneous growth hormone secretion. *J Clin Endocrinol Metab*. 1993;76:1610–6.
12. Brandenberger G, Weibel L. The 24-h growth hormone rhythm in men: sleep and circadian influences questioned. *J Sleep Res*. 2004;13:251–5.

13. Bonuck K, Freeman K, Henderson J. Growth and growth biomarker changes after adenotonsillectomy: systematic review and meta-analysis. *Arch Dis Child*. 2009;94:83–91.
14. Bonuck K, Parikh S, Bassila M. Growth failure and sleep disordered breathing: a review of the literature. *Int J Pediatr Otorhinolaryngol*. 2006;70(5):769–78.
15. Brouillette RT, Fernbach SK, Hunt CE. Obstructive sleep apnea in infants and children. *J Pediatr*. 1982;100:31–40.
16. CDC. Defining childhood obesity. Available from: <https://www.cdc.gov/obesity/childhood/defining>.
17. Cole S, Lanham J. Failure to thrive: an update. *Am Fam Physician*. 2011;83(7):829–34.
18. Cook S, Weitzman M, Auinger P, Nguyen M, Dietz WH. Prevalence of a metabolic syndrome phenotype in adolescents: findings from the third National Health and Nutrition Examination Survey, 1988–1994. *Arch Pediatr Adolesc Med*. 2003;157:821–7.
19. Czechowicz JA, Chang KW. Analysis of growth curves in children after adenotonsillectomy. *JAMA Otolaryngol Head Neck Surg*. 2014;140(6):491–6.
20. Dayyat E, Kheirandish-Gozal L, Gozal D. Childhood obstructive sleep apnea: one or two distinct disease entities? *Sleep Med Clin*. 2007;2:433–44.
21. Duman D, Naiboglu B, Esen HS, Toros SZ, Demirtunc R. Impaired right ventricular function in adenotonsillar hypertrophy. *Int J Cardiovasc Imaging*. 2008;24(3):261–7.
22. Durdik P, Sujanska A, Suroviakova S, Evangelisti M, Banovcin P, Villa MP. Sleep architecture in children with common phenotype of obstructive sleep apnea. *J Clin Sleep Med*. 2018;14(1):9–14.
23. Enright PL, Goodwin JL, Sherrill DL, Quan JR, Quan SF. Blood pressure elevation associated with sleep-related breathing disorder in a community sample of white and Hispanic children: the Tucson children's assessment of sleep apnea study. *Arch Pediatr Adolesc Med*. 2003;157(9):901–4.
24. Esterliler E, Villatoro J, et al. Obstructive sleep apnea syndrome and growth failure. *Int J Pediatr Otorhinolaryngol*. 2018;108:214–8.
25. Everett A, Koch W, Saulsbury F. Failure to thrive due to obstructive sleep apnea. *Clin Pediatr*. 1987;26:90–2.
26. Finkelstein J, Roffwarg H, Boyar R, et al. Age-related change in the twenty-four-hour spontaneous secretion of growth hormone. *J Clin Endocrinol Metab*. 1972;35:665–70.
27. Frohman LA, Kineman RD. Growth hormone-releasing hormone: discovery, regulation, and actions. *Compr Physiol*. 2011;(suppl 24):Handbook of Physiology, The Endocrine System, Hormonal Control of Growth:187–219, 1999.
28. Furlanetto RW. Insulin-like growth factor measurements in the evaluation of growth hormone secretion. *Horm Res*. 1990;33:25–30.
29. Gkouskou K, Vlastos I, et al. Dietary habits of preschool aged children with tonsillar hypertrophy, pre- and post-operatively. *Eur Rev Med Pharmacol Sci*. 2010;14(12):1025–103.
30. Goh DY, Galster P, Marcus CL. Sleep architecture and respiratory disturbances in children with obstructive sleep apnea. *Am J Respir Crit Care Med*. 2000;162:682–6.
31. Gozal D, Kheirandish-Gozal L, Serpero LD, Sans Capdevila O, Dayyat E. Obstructive sleep apnea and endothelial function in school-aged nonobese children: effect of adenotonsillectomy. *Circulation*. 2007;116:2307–14.
32. Gozal D, Kheirandish-Gozal L. Childhood obesity and sleep: relatives, partners, or both? – a critical perspective on the evidence. *Ann N Y Acad Sci*. 2012;1264(1):135–14.
33. Gozal D, Simakajornboon N, Holbrook CR, et al. Secular trends in obesity and parentally reported daytime sleepiness among children referred to a pediatric sleep center for snoring and suspected sleep-disordered breathing (SDB). *Sleep*. 2006;29:A74.
34. Guilleminault C, Eldridge FL, Simmons FB, et al. Sleep apnea in eight children. *Pediatrics*. 1976;58:23–30.
35. Guilleminault C, Khramsov A, et al. Abnormal blood pressure in prepubertal children with sleep-disordered breathing. *Pediatr Res*. 2004;55:76–84.

36. Guilleminault C, Korobkin R, Winkle R. A review of 50 children with obstructive sleep apnea syndrome. *Lung*. 1981;159:275–87.
37. Hashemian F, Farahani F, Sanatkar M. Changes in growth pattern after adenotonsillectomy in children under 12 years old. *Acta Med Iran*. 2010;48(5):316–9.
38. Homan G. Failure to thrive: a practical guide. *Am Fam Physician*. 2016;94(4):295–9.
39. Horne RSC, Yang JSC, Walter LM, et al. Elevated blood pressure during sleep and wake in children with sleep-disordered breathing. *Pediatrics*. 2011;128(1):e85–92.
40. Isaksson OG, Lindahl A, Nilsson A, Isgaard J. Mechanism of the stimulatory effect of growth hormone on longitudinal bone growth. *Endocr Rev*. 1987;8:426–38.
41. Jakins PS. Remarkable increase in bodily growth following the removal of tonsils and adenoids. *J Laryngol Rhinol Otol*. 1893;7:427.
42. Jean-Louis G, Zizi F, Clark LT, Brown CD, McFarlane SI. Obstructive sleep apnea and cardiovascular disease: role of the metabolic syndrome and its components. *J Clin Sleep Med*. 2008;4(3):261–72.
43. Kalra M, Inge T, Garcia V, et al. Obstructive sleep apnea in extremely overweight adolescents undergoing bariatric surgery. *Obes Res*. 2005;13:1175–9.
44. Kang J, Auo H, Weng W, Hsu W. Changes in serum levels of IGF-1 and in growth following adenotonsillectomy in children. *Int J Pediatr Otorhinolaryngol*. 2008;72(7):1065–9.
45. Kamoda T, Saitoh H, Hiraon T, Matsui A. Serum levels of free insulin-like growth factor (IGF)-I and IGF-binding protein-1 in prepubertal children with short stature. *Clin Endocrinol*. 2000;53:683–8.
46. Katz E, D'Ambrosio C. Pathophysiology of pediatric obstructive sleep apnea. *Proc Am Thorac Soc*. 2008;5(2):253–62.
47. Katz ES, Moore RH, Rosen CL, Mitchell RB, Amin R, Arens R, et al. Growth after adenotonsillectomy for obstructive sleep apnea: an RCT. *Pediatrics*. 2014;134:282–9.
48. Keefe R, Rachi NP, Live R. The shifting relationship between weight and pediatric obstructive sleep apnea: a historical review. *Laryngoscope*. 2019;129:2414–9.
49. Kelly A, Dougherty S, Cucchiara A, Marcus CL, Brooks LJ. Catecholamines, adiponectin, and insulin resistance as measured by HOMA in children with obstructive sleep apnea. *Sleep*. 2010;33(9):1185–91.
50. Kelly A, Marcus CL. Childhood obesity, inflammation, and apnea. *Am J Respir Crit Care Med*. 2005;171:202–3.
51. Keys A, Fidanza F, Karvonen M, Kimura N, Taylor H. Indices of relative weight and obesity. *J Chronic Dis*. 1972;25(6):329–40.
52. Kim C, et al. Development of new regression equation for estimating body composition by underwater weight. *J Korea Sport Res*. 2004;17:329–40.
53. Kiris M, Muderris T, et al. Changes in serum IGF-1 andIGFBP-3 levels and growth in children following adenoidectomy, tonsillectomy or adenotonsillectomy. *Int J Pediatr Otorhinolaryngol*. 2010;74(5):528–31.
54. Koycu A, Aydin E, Kinik ST. Change in body composition and growth pattern after adenotonsillectomy in prepubertal children. *Int J Pediatr Otorhinolaryngol*. 2016;81:46–50.
55. Leach J, Olson J, Hermann J. Polysomnographic and clinical findings in children with obstructive sleep apnea. *Arch Otolaryngol Head Neck Surg*. 1992;118:741–4.
56. Li A, Yin J, Chan D, Hui S, Fok T. Sleeping energy expenditure in paediatric patients with obstructive sleep apnoea syndrome. *Hong Kong Med J*. 2003;9:353–6.
57. Lindgren B, Segovia B, Lassarre C, Benoux M, Gourmelen M. Growth retardation in constitutionally short children is related both to low serum levels of insulin-like growth factor-1 and to its reduced bioavailability. *Growth Regul*. 1996;6(3):158–64.
58. Marcus C, Brooks L, Draper K, Gozal D, Halbower AC, Jones J, et al. Diagnosis and management of childhood obstructive sleep apnea syndrome. *Pediatrics*. 2012;130:e714–55.
59. Marcus C, Carroll J, Koerner C, Hamer A, Lutz J, Loughlin G. Determinants of growth in children with the obstructive sleep apnea syndrome. *J Pediatr*. 1994;125:556–62.
60. Marcus CL, Greene MG, Carroll JL. Blood pressure in children with obstructive sleep apnea. *Am J Respir Crit Care Med*. 1998;157:1098–103.

61. Morielli A, Ladan S, Ducharme F, Brouillette R. Can sleep and wakefulness be distinguished in children by cardiorespiratory and videotape recordings? *Chest*. 1996;109(3):680–7.
62. Nachalon Y, Lowenthal N, et al. Inflammation and growth in young children with obstructive sleep apnea syndrome before and after adenotonsillectomy. *Mediat Inflamm*. 2014;14:1–7.
63. Nieminen P, Löppönen T, Tolonen U, Lanning P, Knip M, Löppönen H. Growth and biochemical markers of growth in children with snoring and obstructive sleep apnea. *Pediatrics*. 2002;109(4):e55.
64. Olsen E. Failure to thrive: still a problem of definition. *Clin Pediatr (Phila)*. 2006;45(1):1–6.
65. Pack A, Gislason T. Obstructive sleep apnea and cardiovascular disease. A perspective and future directions. *Prog Cardiovasc Dis*. 2009;51(5):434–51.
66. Philip M, Hershkovitz E, Rosenblum H, Savion I, Segev Y, Levy J, Frazer D. Serum insulin-like growth factors I and II are not affected by undernutrition in children with nonorganic failure to thrive. *Horm Res*. 1998;49(2):76–9.
67. Pillar G, Shehadeh N. Abdominal fat and sleep apnea: the chicken or the egg? *Diabetes Care*. 2008;31:S303–9.
68. Raynor P, Rudolf MC. Anthropometric indices of failure to thrive. *Arch Dis Child*. 2000;82(5):364–5.
69. Redline S, Tishler PV, Schluchter M, et al. Risk factors for sleep-disordered breathing in children. Associations with obesity, race, and respiratory problems. *Am J Respir Crit Care Med*. 1999;159:1527–32.
70. Roemmich J, Barkley J, D'Andrea L, et al. Increases in overweight after adenotonsillectomy in overweight children with obstructive sleep-disordered breathing are associated with decreases in motor activity and hyperactivity. *Pediatrics*. 2006;117(2):e200–8.
71. Rosen C, Larkin E, Kirchner H, et al. Prevalence and risk factors for sleep-disordered breathing in 8- to 11-year-old children: association with race and prematurity. *J Pediatr*. 2003;142:383–9.
72. Shecheter A. Effects of continuous positive airway pressure on energy balance regulation: a systematic review. *Eur Respir J*. 2016;48(6):1640–57.
73. Shiomi T, Guillemainault C, Stoohs R, Schnitterger I. Obstructed breathing in children during sleep monitored by echocardiography. *Acta Paediatr*. 1993;82(10):863–71.
74. Silvestri JM, Weese-Meyer DE, Bass MT, Kenny AS, Hauptman SA, Pearsall SM. Polysomnography in obese children with a history of sleep-associated breathing disorders. *Pediatr Pulmonol*. 1993;16:124–9.
75. Skinner AC, Perrin EM, Moss LA, Skelton JA. Cardiometabolic risks and severity of obesity in children and young adults. *N Engl J Med*. 2015;373:1307–17.
76. Sogut A, Altin R, Uzun L, et al. Prevalence of obstructive sleep apnea syndrome and associated symptoms in 3–11-year-old Turkish children. *Pediatr Pulmonol*. 2005;39:251–6.
77. Soltan Z, Wadowski S, Rao M, et al. Effect of treating obstructive sleep apnea by tonsillectomy and/or adenoidectomy on obesity in children. *Arch Pediatr Adolesc Med*. 1999;153:33–7.
78. Stradling J, Thomas G, Warley H, Williams P, Freeland A. Effect of adenotonsillectomy on nocturnal hypoxaemia, sleep disturbance, and symptoms in snoring children. *Lancet*. 1990;335:249–53.
79. Tal A, Leiberman A, Margulis G, Sofer S. Ventricular dysfunction in children with obstructive sleep apnea: radionuclide assessment. *Pediatr Pulmonol*. 1988;4(3):139–43.
80. Tarasiuk A, Berdugo-Boura N, Troib A, Segev Y. Role of growth hormone-releasing hormone in sleep and growth impairments induced by upper airway obstruction in rats. *Eur Respir J*. 2011;38:870–7.
81. Tarasiuk A, Levi A, Assadi MH, Troib A, Segev Y. Orexin plays a role in growth impediment induced by obstructive sleep breathing in rats. *Sleep*. 2016;39:887–97.
82. Tapanainen P, Knip M. Evaluation of growth hormone secretion and treatment. *Ann Med*. 1992;24:237–47.
83. Tauman R, Gozal D. Obesity and obstructive sleep apnea in children. *Paediatr Respir Rev*. 2006;7(4):247–59.

84. Verhulst SL, Schrauwen N, Haentjens D, Suys B, Rooman RP, Van Gaal L, De Backer WA, Desager KN. Sleep-disordered breathing in overweight and obese children and adolescents: prevalence, characteristics and the role of fat distribution. *Arch Dis Child.* 2007;92(3):205–8.
85. Verhulst SL, van Gaal L, de Backer W, Desager K. The prevalence, anatomical correlates and treatment of sleep-disordered breathing in obese children and adolescents. *Sleep Med Rev.* 2008;12(5):339–46.
86. Whitaker R, Pepe M, Wright J, Seidel K, Dietz W. Early adiposity rebound and the risk of adult obesity. *Pediatrics.* 1998;101(3):E5.
87. Yo O, et al. Comparisons of obesity assessments in overweight elementary student using anthropometry, BIA, CT and DEZ. *Nutr Res Pract.* 2010;4(2):128–35.
88. Yoo SD, Hwang EH, Lee YJ, Park JH. Clinical characteristics of failure to thrive in infant and toddler: organic vs. nonorganic. *Pediatr Gastroenterol Hepatol Nutr.* 2013;16(4):261–8.
89. Zhu J, Fang Y, Want HF, Chen Z, et al. Insulin-like growth factor-1 and insulin-like growth factor-binding protein-3 concentrations in children with obstructive sleep apnea-hypopnea syndrome. *Respir Care.* 2015;60(4):593–602.
90. Zhang X, Shi J, Meng G, et al. The effect of obstructive sleep apnea on growth and development in nonobese children: a parallel study of twins. *J Pediatr.* 2015;166:646–50.