

A microscopic image of a cell, possibly a neuron, with a prominent blue fluorescent signal. The background is a warm, orange-red color. A thin, dark blue curved line is visible on the right side of the image.

Stine Helene Falsig Pedersen *Editor*

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The Role of Chemokine Receptors in Renal Fibrosis



Fenglei Wu, Chi Sun, and Jianquan Lu

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Abstract Renal fibrosis is the final pathological process common to any ongoing, chronic kidney injury or maladaptive repair. Renal fibrosis is considered to be closely related to various cell types, such as fibroblasts, myofibroblasts, T cells, and other inflammatory cells. Multiple types of cells regulate renal fibrosis through the recruitment, proliferation, and activation of fibroblasts, and the production of the extracellular matrix. Cell trafficking is orchestrated by a family of small proteins called chemokines. Chemokines are cytokines with chemotactic properties, which are classified into 4 groups: CXCL, CCL, CX3CL, and XCL. Similarly, chemokine receptors are G protein-coupled seven-transmembrane receptors classified into

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4 groups: XCR, CCR, CXCR, and CX3CR. Chemokine receptors are also implicated in the infiltration, differentiation, and survival of functional cells, triggering inflammation that leads to fibrosis development. In this review, we summarize the different chemokine receptors involved in the processes of fibrosis in different cell types. Further studies are required to identify the molecular mechanisms of chemokine signaling that contribute to renal fibrosis.

Keywords Chemokine receptors · Macrophages · Myofibroblasts · Renal fibrosis · T cells

1 Introduction

Renal fibrosis is widely regarded as a common pathway contributing to end-stage renal disease, characterized by aberrant activation and the development of renal fibroblasts and overproduction of proteins of extracellular matrix (ECM) (Meng et al. 2014). During fibrosis, the kidney is stimulated by various pathogenic factors in diverse diseases including trauma, infection, inflammation, blood circulation disorder, and immune response. Fibrosis can be viewed as an aberrant wound healing, in which there is progression rather than scar recovery following damage, and fibroblasts are central to this process. Fibrosis is closely related to tissue regeneration and inflammation, which is mediated by specific types of cells, including epithelial, endothelial, fibroblast, pericyte, myofibroblast, and inflammatory cells (Duffield 2014). Pathogenic factors such as drug poisoning, high blood pressure, diabetes, and infection can cause damage to the intrinsic cells which can release some cytokines. These cytokines attract a series of inflammatory cells in blood to infiltrate the mesangial, vascular, and interstitial areas. In response to inflammatory mediators, the intrinsic cells release nephrotoxic cytokines and growth factors, causing the proliferation of fibroblasts and further differentiation to myofibroblasts in the renal interstitium. With the constant stimulation of cytokines and growth factors, fibroblasts continue to proliferate and synthesize extracellular matrix (ECM) components. Kidney-derived cells, such as mesangial cells, glomerular epithelial cells, and renal tubular epithelial cells, can also be differentiated into myofibroblasts. More than half of the renal myofibroblasts have been confirmed to be derived from local fibroblasts, while most of the remainder are derived from bone marrow, endothelial-to-mesenchymal transition program, and epithelial-to-mesenchymal transition program (LeBleu et al. 2013). The impaired imbalance in the synthesis and degradation of ECM components promotes the formation of fibrous tissue, eventually leading to glomerular sclerosis, renal fibrosis, and formation of persistent scars.

Abundant evidences have shown that most chemokines and their receptors are crucial participants in the progression of renal fibrosis. Chemokines are chemotactically categorized into four groups of cytokines based on the location of two

cysteine residues in their sequence: XCL, CCL, CXCL, and CX3CL (Griffith et al. 2014). Chemokine receptors are G protein-coupled seven-transmembrane receptors classified into four groups: XCR, CCR, CXCR, and CX3CR. Chemokine receptors are expressed in various leukocytes and immune cells. Chemokines and their receptors play an essential role in various physiological and pathological processes (Griffith et al. 2014).

The change of chemokine expression at the lesion site is also an important part of renal fibrosis. High expression of CCL2 in kidney has a very strong association with the progression of renal disease, and the blockade of CCL2 receptor (CCR2) reduces interstitial fibrosis (Kitagawa et al. 2004). High expression levels of CXCL10 and CXCL9 have been reported in glomerular cells in kidney biopsies of patients with membranoproliferative and crescentic glomerulonephritis (Romagnani et al. 2002). CCL18 is also identified as one of the central chemokines in glomerulonephritis (Brix et al. 2015). In hypertension mice, significantly CX3CL1 mRNA expression increases in whole kidney, and the protein localizes to tubular epithelial and vascular endothelial cells (Shimizu et al. 2011).

Chemokines are involved in the development of inflammatory cells in pathological and physiological processes. CXCR2 regulates neutrophils recruitment in response to CXCL1 (Drummond et al. 2019). CXCL8, as another ligand of CXCR1/2, can also modulate neutrophil migration (Zuniga-Traslavina et al. 2017). CXCR3 mainly expresses on activated Th1 cells, NK cells, macrophages, and other immune cells which may play an important role in renal fibrosis (Campanella et al. 2008). CXCR4 participates macrophages differentiation (Ding et al. 2019) and T cells recruitment, so as CXCL16/CXCR6 (Seo et al. 2019; Wehr et al. 2013; Zhang et al. 2009). CCL3 and CCL5 can interact with CCR1 to attract macrophages and T cells (Olszewski et al. 2000) (Fig. 1).

Myeloid cells and organ-resident cells are also involved in the process of tissue fibrosis. Circulating bone marrow-derived fibroblast precursors were chemotactic and differentiated under the control of CXCL16/CXCR6 and CCL2/CCR2 (Chen et al. 2011; Xia et al. 2013). CXCR4 is well known for its role in the homing of progenitor cells into the bone marrow (Doring et al. 2014) (Table 1).

Some fibrosis-related molecule productions are also directly or indirectly regulated by chemokines. CXCL8 was a potent suppressor of MMPs which acted their proteolytic activity in tissue fibrosis (Milovanovic et al. 2017). CXCR4 could induce pro-fibrotic collagen in some diseases such as cancer (Dong et al. 2019). CXCR4 induced platelet-derived growth factor- β to promote pulmonary fibrosis by trafficking of circulating fibrocytes (Aono et al. 2014). Th17-derived cytokines were related to fibrosis and could be induced by CCR2 (Gurczynski et al. 2019). CXCR6 could activate human pulmonary fibroblasts to produce collagen production (Ma et al. 2019).

Accumulating evidences indicate that chemokine receptors are key regulators of renal fibrosis in diseased kidneys. Therefore, the purpose of this summary is to provide a succinct overview of recent progress in the pathogenesis of renal fibrosis on chemokine receptors.

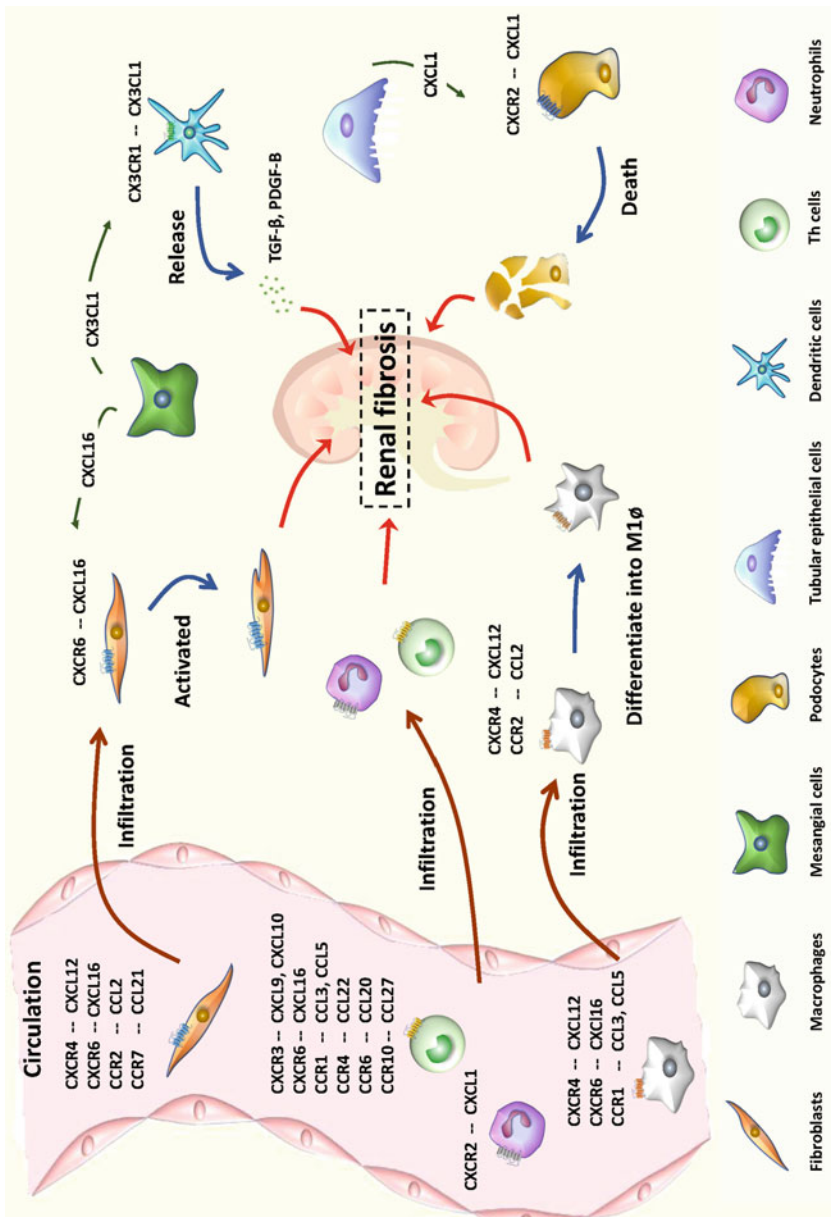


Fig.1 The role of chemokine receptors in renal fibrosis. Bone marrow-derived fibroblasts infiltrated into kidney by CXCR4, CXCR6, CCR2, and CCR7. Fibroblasts in kidney were activated by CXCL16/CXCR6. Helper T cells in circulation expressed CXCR3, CXCR6, CCR1/ CCR4, CCR6, and CCR10 while

↓
Fig.1 (continued) neutrophils expressed CXCR2. They could be attracted into fibrosis site by corresponding ligands. Macrophages were appealed to CXCR4, CXCR6, and CCR1 and differentiated into M1 macrophages through CXCL12/CXCR4 and CCL2/CCR2. CX3CR1+ dendritic cells could release pro-fibrotic factors such as TGF-β and PDGF-β. CXCL2 combined with CXCR2 to facilitate podocytes loss. Tubular epithelial cells were considered as the source of CXCL2

Table 1 The role of chemokine receptors in renal fibrosis

Chemokine receptors	Ligands	Pro-fibrosis	Anti-fibrosis
CXCR1/ CXCR2	CXCL8, CXCL1, CXCL2	<p>CXCL8 increased in both urinary and serum levels (Wong et al. 2007)</p> <p>G31P, an antagonist of CXCL8, inhibited fibrotic factor upregulation in human renal mesangial cells through JAK2/STAT3 and ERK1/2 pathways (Cui et al. 2017)</p> <p>The GP31 improved kidney fibrosis by reduction in ECM (Cui et al. 2017; Ye et al. 2018)</p> <p>CXCL1 induced podocyte death and adhesion dysfunction in podocytes via CXCR2 (Zhu et al. 2013)</p> <p>TLR4 on intrinsic renal cells contributes to the induction of antibody-mediated glomerulonephritis via CXCL1 and CXCL2 (Brown et al. 2007)</p> <p>MiR-146a prevented the development of inflammation and fibrosis by targeting CXCL8 in ischemia-reperfusion injury (Amrouche et al. 2017)</p> <p>CXCL1 levels were positively associated with fibrosis in IgA nephropathy (Zhao et al. 2015; Zhu et al. 2013)</p>	
CXCR3	CXCL9, CXCL10, CXCL11	<p>CXCL9/CXCL10 in macrophages was induced by biglycan via TLR/TRIF/MyD88-signaling (Nastase et al. 2018)</p> <p>CXCR3+ Th1 and Th17 cells can be recruited into the kidney in fibrosis progress (Nastase et al. 2018; Steinmetz et al. 2009)</p>	<p>CXCL10 prevented fibrosis in diabetic kidney disease in mice (Zhang et al. 2018)</p> <p>Blockade of CXCL10 via CXCR3 contributes to renal fibrosis by upregulation of TGF-β1 (Nakaya et al. 2007)</p>
CXCR4	CXCL12	<p>CXCR4 was overexpressed in renal fibrosis samples (Maluf et al. 2008; Togel et al. 2005)</p> <p>Administration of AMD3100, the CXCR4 inhibitor, reduced renal fibrosis in oxidative stress-induced podocyte injury (Mo et al. 2017)</p> <p>CXCR4 antagonist blunts the increase in classic indicators of</p>	<p>Continuous AMD3100 treatment exacerbates the renal fibrosis by attracting T cell in unilateral ureteral obstruction mice (Yang et al. 2016)</p>

(continued)

Table 1 (continued)

Chemokine receptors	Ligands	Pro-fibrosis	Anti-fibrosis
		fibrosis and fibroblast activation (Yuan et al. 2015)	
CXCR6	CXCL16	CXCL16/CXCR6 promoted the recruitment, activation, and differentiation of bone marrow-derived fibroblasts precursors and contribute to the pathogenesis of renal fibrosis (Chen et al. 2011; Xia et al. 2014b) CXCL16 deficiency impaired myeloid fibroblast accumulation and myofibroblasts formation (Ma et al. 2016a, b) CXCR6 regulated the infiltration of macrophage and T cell in renal fibrosis (Xia et al. 2014a)	
CCR1	CCL3, CCL5	CCR1 was expressed on infiltrating macrophages and T cells in the development of renal fibrosis (Vielhauer et al. 2001) CCR1 antagonist BX471 treatment significantly reduced markers of renal fibrosis (Vielhauer et al. 2004)	
CCR2	CCL2	CCL2/CCR2 accelerated renal fibrosis through bone marrow-derived myofibroblast infiltration (Xia et al. 2013) CCL2/CCR2 blockage improves renal fibrosis by inhibiting pro-fibrotic M1 macrophage (Saito et al. 2018)	
CCR7	CCL21	CCL21/CCR7 induced the trafficking of circulating fibrocytes, contributing to the pathogenesis of renal fibrosis (Wada et al. 2007; Habel and Hogaboam 2014)	
CX3CR1	CX3CL1	CX3CR1 regulates macrophage infiltration and led to increased expression of α -SMA, TGF- β , and PDGF-B (Furuichi et al. 2006)	CX3CR1 attenuated renal fibrosis with accumulation of macrophages in UUO model (Engel et al. 2015)

2 CXC Chemokine Receptors

2.1 CXCR1/CXCR2

CXCR1 and CXCR2 share 76% of their sequence homology and bind to CXCL8 with similar affinity (Holmes et al. 1991; Kunsch and Rosen 1993). Interleukin (IL)-8 (CXCL8) and granulocyte chemotactic protein 2 (GCP-2, CXCL6) are known to be ligands of both CXCR1 and CXCR2 (Wuyts et al. 1998; Ha et al. 2017). CXCL8 is commonly expressed in activated monocytes and macrophages, epithelial and endothelial cells, fibroblasts and neutrophils (Wolff et al. 1998). Growing evidences have shown that CXCL8 participates in the pathological processes of fibrosis, angiogenesis, arteriosclerosis, infection, and tumor growth (Kormann et al. 2012; Higurashi et al. 2009). In diabetic nephropathy patients, CXCL8 was seen to increase both urinary and serum levels (Wong et al. 2007). G31P is a mutant protein of CXCL8, which can selectively bind to CXCR1 and CXCR2 without agonist activity (Li et al. 2002). Recent studies have revealed that G31P can effectively improve renal fibrosis (Svensson et al. 2011). In diabetic nephropathy mice, G31P treatment reduced phosphorylation of ERK1/2, JAK2, and STAT3. Meanwhile, G31P treatment inactivated JAK2/STAT3 and ERK1/2 pathways in high-glucose-treated mesangial cells (Cui et al. 2017). Also, JAK2/STAT3 and ERK1/2 pathways were implicated in the pathogenesis of progressive diabetic nephropathy (Chuang and He 2010). JAK2/STAT3 pathways were reported to participate in the fibrosis in dermis, lung, and liver (Li et al. 2018; Zehender et al. 2018). ERK1/2 pathways were also demonstrated to be directly involved in renal fibrosis (Andrikopoulos et al. 2019). Furthermore, the administration of G31P improved kidney fibrosis as confirmed by reduction in ECM. In the diseased kidney observed in another study, the extracellular matrix degradation-related protein matrix metalloproteinases (MMP)-9 decreased while TIMP-1, known as an inhibitor of metalloproteinase, was upregulated. However, G31P treatment reversed their altered expression (Ye et al. 2018). G31P treatment was also associated with improvement in MMP-2 (Cui et al. 2017). MMPs are believed to suppress fibrosis because of their proteolytic activity (Giannandrea and Parks 2014). It has been reported that miR-146a is most induced in tubular cells in response to ischemia-reperfusion, thereby preventing the development of inflammation and fibrosis by targeting CXCL8 (Amrouche et al. 2017).

CXCL1, known as a ligand of CXCR2, has been positively associated with interstitial fibrosis in IgA nephropathy (IgAN) progression (Zhao et al. 2015). Both clinical samples and cell experiments showed the elevated expression of CXCL1 (Zhu et al. 2013). It was reported that toll-like receptor 4 in kidney-resident cells induced the antibody-mediated glomerulonephritis via CXCL1 which promotes glomerular neutrophil infiltration (Brown et al. 2007). Additionally, mesangial-induced CXCL1 and TGF- β 1 synergistically increased podocyte death and decreased podocyte adhesion via CXCR2 (Zhu et al. 2013). Studies have proven that podocytes loss can impair the glomerular filtration barrier which leads to proteinuria and glomerulosclerosis in IgAN (Wharram et al. 2005).

2.2 CXCR3

CXCR3 is widely expressed in different subtypes of T and NK cells. The ligands are CXCL9, CXCL10, and CXCL11, and their secretion is predominantly driven by IFN- γ (Sallusto et al. 1998). IFN- γ , known as a Th1-related cytokine, has been proposed to be an antifibrotic effector that inhibits fibroblast activation and proliferation and reduces collagen synthesis (Gao et al. 2007).

It was reported that increased levels of IFN- γ and IFN- γ -responsive genes (CXCL9 and CXCL10) in Sphk2^{-/-} (sphingosine kinase 2) mice inhibited the progression to fibrosis (Bajwa et al. 2017). TGF- β and high glucose contributed to the development of fibrosis in diabetes. CXCL10 attenuated both high glucose and TGF- β -induced collagen synthesis (Zhang et al. 2018). CXCR3 ligands are a potent chemoattractant for activated Th1 cells, NK cells, macrophages, and other immune cells (Campanella et al. 2008). The ureter is ligated in the case of unilateral ureteral obstruction (UUO), leading to hydronephrosis and interstitial inflammatory infiltration, myofibroblast activation, and extracellular matrix deposition, ultimately leading to renal fibrosis (Verbeke et al. 2016). Although CXCL10 and CXCR3 were observed to be upregulated in the progressive renal fibrosis in UUO mice (Nakaya et al. 2007), CXCL10 blockade affected neither macrophage nor T cell infiltration. Despite this, CXCL10 blockade was also shown to promote renal interstitial fibrosis in the kidney via hepatocyte growth factor (HGF) which is a potent antifibrogenic factor (Nakaya et al. 2007). HGF created by mesangial cells prevents peritubular capillaries (PTCs) from decreasing, preserves renal blood flow, and effectively suppresses myofibroblast progression induction and collagen synthesis (Oka et al. 2019). In addition, CXCL10 blockage has also been demonstrated to reduce transcripts of CXCL9 and CXCL11 in diseased kidneys (Nakaya et al. 2007).

The results in diabetic nephropathy and lupus nephritis are controversial. Biglycan, a class I member of the small leucine-rich proteoglycans, was found to be upregulated in diabetic nephropathy and lupus nephritis. Meanwhile, CXCL9/CXCL10 in macrophages was induced by biglycan via TLR/TRIF/MyD88-signaling and then recruited CXCR3⁺ Th1 and Th17 cells into the kidney (Nastase et al. 2018). Another study showed that CXCR3 deficiency in lupus-prone mice improved the renal damage by decreasing the numbers of Th1 cells and Th17 cells in the inflamed kidneys (Steinmetz et al. 2009). Th1 and Th17 cells have been shown to play an important role in the development and progression of inflammatory and autoimmune diseases (Zheng and Zheng 2016; Stockinger and Omenetti 2017). Th1 and Th17 cells, which are Th subtypes, are key inducers of renal fibrosis (Wen et al. 2017).

2.3 CXCR4

CXCR4 is mainly expressed in hematopoietic and immune cells. CXCR4 is highly expressed in embryonic kidneys but the expression is significantly low in adult

kidneys (Takabatake et al. 2009). In tubular atrophy and interstitial fibrosis samples, however, CXCR4 genes were found to be overexpressed compared with normal allografts and normal kidneys (Maluf et al. 2008). Increased CXCR4 expression has also been observed in tubular segments after renal ischemia-reperfusion injury (IRI) (Togel et al. 2005). Thus, increased CXCR4 expression seems to be closely associated with kidney disease. Another report showed that the stimulation of CXCR4 in macrophages activated STAT and NF- κ B pathways which acted as important roles in macrophage activation. Meanwhile, the administration of AMD3100, a CXCR4 inhibitor, reduced renal fibrosis in mice and was accompanied by a significant reduction in macrophage infiltration (Mo et al. 2017). In a UUO model, a lack of CXCR4 protected against renal fibrosis by suppressing macrophage activation. AMD3100 treatment downregulated the mRNA levels of multiple pro-fibrotic molecules including collagen-1a1 (Col1a1), collagen-3a1 (Col3a1), and collagen-4a1 (Col4a1) by inhibiting downstream signaling and fibroblast activation (Yuan et al. 2015).

In another study, AMD3100 treatment did not mitigate renal fibrosis but promoted tissue damage and renal fibrosis by increasing pro-fibrotic molecules expression, such as α -SMA, PDGFR- β , and collagen-IV (Yang et al. 2016). α -SMA was found to be a marker of activated fibroblasts. PDGF- β and collagen-IV is the well-characterized factor that promotes fibrosis in many diseases and organs, including the kidney (Border and Noble 1994; Hugo 2003; Li et al. 2019). This difference may be attributed to the mechanism of AMD3100 and the chemotaxis of CXCR4 ligands. The factor-1-derived chemokine stromal cell (SDF-1, also known as CXCL12) is constitutively expressed in pro-angiogenic cells and regulates embryonic development and homeostasis of the organ (Ratajczak et al. 2006). Evidence indicates that continuous AMD3100 treatment disrupts SDF-1-CXCR4 binding leading to a decrease in bone marrow-derived pro-angiogenic cell homing. Besides, the infusion of pro-angiogenic cells not only decreases vascular rarefaction but also reduces damage to the tissue and the invasion of inflammatory cells. A few studies have shown that bone marrow-derived pro-angiogenic cells participate in renal fibrosis controlled by the SDF-1/CXCR4 system (Shen et al. 2011; Petit et al. 2007). CXCR4 is also highly expressed in T cells which are major inducers of fibrosis (Arieta Kuksin et al. 2015). In Kuksin's study, AMD3100 administration increased renal T cell infiltration. This result may due to AMD3100 redistributing T cells from the bone marrow and thymus to the blood and peripheral tissues in mice (Liu et al. 2015). It suggests that AMD3100 should be more cautiously used in patients with renal disease and additional studies should be performed on this issue in the future.

2.4 CXCR6

CXCL16 has been described as a CXCR6 ligand consisting of a molecular domain accompanied by a glycosylated mucin-like stalk, a long transmembrane helix, and a short cytoplasmic tail (Matloubian et al. 2000). The CXCL16/CXCR6 pathway is

involved in tissue injury and inflammation (Wang et al. 2017). CXCL16 protein has been documented to be expressed at low levels in normal kidney epithelial cells while being upregulated in obstructive injury (Okamura et al. 2007). Recent studies have shown that precursors of fibroblasts originating from bone marrow contribute significantly to the pathogenesis of renal fibrosis. In response to kidney injury, bone marrow-derived fibroblast precursors in the circulation are recruited to the site of injury to participate in a wound healing response (Yan et al. 2016). Bone marrow-derived fibroblast precursors will differentiate into myofibroblasts that have been implicated in fibrosis pathogenesis (Gerarduzzi and Di Battista 2017). Circulating CXCR6-positive fibroblast precursors have been found in injured kidneys, with CD45 and α -SMA dual-positive myofibroblasts accumulating in the injured kidney in a CXCL16-dependent manner. Meanwhile, CXCL16 has been significantly implicated in the activation and differentiation of bone marrow-derived fibroblasts (Chen et al. 2011). In a renal artery stenosis study, CXCL16 protein was mainly distributed in tubular epithelial cells. They also found that CXCL16 deficiency impaired myeloid fibroblasts accumulation and myofibroblasts formation. Furthermore, CXCL16 deficiency inhibited the infiltration of F4/80⁺ macrophages and CD3⁺ T cells (Ma et al. 2016b). In Ang II-induced renal injury and fibrosis, CXCR6 plays a pivotal role in the regulation of macrophage and T cell infiltration and bone marrow-derived fibroblast accumulation (Xia et al. 2014a). In deoxycorticosterone acetate/salt hypertension, CXCR6 deficiency inhibited the accumulation of bone marrow-derived fibroblasts and myofibroblasts in the kidney (Wu et al. 2020). Given the evidence above, CXCL16/CXCR6 may play important roles in the recruitment into the kidney of bone marrow-derived fibroblast precursors, which contribute to renal fibrosis pathogenesis (Xia et al. 2014b).

3 C-C Chemokine Receptors

3.1 CCR1

CCR1 was found in the peripheral blood of mice and humans in the circulation of macrophages and lymphocytes (Murphy et al. 2000), and one of the symptoms of interstitial fibrosis was found to be the aggregation of macrophages and lymphocytes that lead to ECM development and renal fibrosis (Yan et al. 2016). Studies on UUO mice have shown that, in tandem with the growth of renal fibrosis, the CCR1 was expressed in infiltrating macrophages and T cells. Furthermore, the mRNA expression of CCR1 ligands CCL3 (MIP-1a) and CCL5 (RANTES) was revealed to be upregulated in diseased kidneys (Zeisberg et al. 2000; Ratajczak et al. 2006; Vielhauer et al. 2004). In UUO mice, loss of CCR1 decreased macrophage and lymphocyte infiltration in the obstructed kidney and the associated interstitial fibrosis had diminished renal production of TGF- β 1 mRNA (Eis et al. 2004). In a murine model of Adriamycin-induced focal segmental glomerulosclerosis, the small-molecule CCR1 antagonist BX471 treatment significantly reduced markers of

renal fibrosis including interstitial fibroblasts and interstitial volume (Vielhauer et al. 2004). BX471 prevents the binding of MIP-1 α /CCL3 to murine CCR1 but not CCR5 and blocks the activation of receptors as determined by the mobilization of Ca²⁺.

3.2 CCR2

CCL2 is a member of the CC chemokine subfamily that controls recruitment of monocytes through CCR2 (Gerard and Rollins 2001). It has been demonstrated that CCL2 and CCR2 are positively correlated with kidney fibrosis. Similar to CXCR6, CCR2 was shown to be expressed in bone marrow-derived fibroblasts expressing CD45 and procollagen I or PDGFR- β . And CCR2 deficiency could impair myeloid fibroblast accumulation and myofibroblast formation (Xia et al. 2013). Meanwhile, it was demonstrated that CCR2-knockout mice could be shielded from bone marrow-derived myofibroblast infiltration in the kidneys (Xia et al. 2013). CCR2 deficiency also affects CCL2, CCL5, CCL7, CCL8, and CXCL16 gene expression, and the M2 macrophage marker CD206 also being affected (Xia et al. 2013). CCL2/CCR2 may promote the activation of NF- κ B and AP-1 which increases the expression of inflammatory factors including MCP-1 production in diseased kidneys (Kitagawa et al. 2004). Activation of Notch pathway has been described in many human chronic renal diseases. M1 macrophages have been reported to play a pro-fibrotic function in renal fibrosis, whereas M2 macrophages are antifibrotic. Notch signaling is critically involved in macrophage differentiation and activation. Studies have revealed that Notch signaling regulates renal fibrosis mainly through CD11b⁺F4/80⁺CCR2⁺ monocytes-derived macrophages in UUO mice with monocytes being recruited through CCL2-CCR2 chemotaxis (Jiang et al. 2019). In unilateral IRI mice, CCR2 inhibition was observed reducing the mRNA expression of M1 macrophages, while the blockade of the CCL2/CCR2 signaling improved fibrosis (Saito et al. 2018). Meanwhile, CCR2 deficiency has been found to lead to a decrease of Th17-related cytokine production and VEGF production with both processes being directly related to renal fibrosis (Braga et al. 2018). These findings suggest that the therapeutic strategy of blocking CCR2 might prove beneficial for progressive fibrosis in the diseased kidneys.

3.3 CCR7

Accumulated evidences suggest a strong candidate for tissue fibrosis activity with fibrocytes (Schmidt et al. 2003; Yoneyama et al. 2001). Recent studies indicated that the CCL21 and CCR7 signaling pathways induced the trafficking of circulating fibrocytes (Wada et al. 2007; Habel and Hogaboam 2014). CCR7-positive fibrocytes infiltrated the kidney via CCL21-positive vessels, contributing to the

pathogenesis of renal fibrosis. Blockade of CCL21/CCR7 signaling reduced the number of CCR7-expressing fibrocytes as well as CCR2-expressing fibrocytes. Thus, the CCL21/CCR7 signaling of fibrocytes may provide therapeutic targets for combating renal fibrosis (Sakai et al. 2006).

4 CX3C Chemokine Receptor

4.1 CX3CR1

CX3CR1 was shown to be an important mediator in both acute and chronic kidney injury (Furuichi et al. 2009; Zhuang et al. 2017). In an IRI model, CX3CR1 regulated the macrophage infiltration and led to increased expression of α -SMA, TGF- β , and PDGF-B (Furuichi et al. 2006). Furthermore, α -SMA was found to be a marker of activated fibroblasts. TGF- β and PDGF-B are well-characterized factors that promote fibrosis in many diseases and organs, including the kidney (Border and Noble 1994; Hugo 2003). Meanwhile, dendritic cells (DCs) and macrophages were identified as key sources of TGF- β in renal tissue (Kassianos et al. 2013). It was also found that CX3CL1 expressed on activated proximal tubular epithelial cells (PTECs) could chemoattract CX3CR1⁺ dendritic cells and subsequently promote adhesion of human DCs to PTECs (Kassianos et al. 2015). Given this evidence, it was hypothesized that CX3CR1 may promote renal fibrosis. However, renal fibrosis is promoted in the absence of CX3CR1 with the accumulation of macrophages and more TGF- β production in a UUO model (Engel et al. 2015). In this study, CX3CR1 was considered eligible to inhibit local macrophage proliferation (Engel et al. 2015). In contrast, another study showed that CX3CL1-CX3CR1 increased the population of macrophages contributing to UUO-induced fibrosis (Peng et al. 2015). Also, although CX3CR1 deficiency was not seen to affect monocyte trafficking or macrophage differentiation *in vivo*, CX3CR1 deficiency reduces renal fibrosis by inhibiting macrophage survival and extracellular matrix deposition in the obstructed kidney (Peng et al. 2015). Later research proposed that this inconsistency could be explained by the different methods for evaluating fibrosis. However, this does not account for the different outcomes of macrophages. We found that the above study had used different markers to identify the macrophages, which might be the cause of the discrepant results. Thus, further investigation is needed to clarify the role of CX3CR1 in renal fibrosis.

5 Other Chemokine Receptors

Data suggests that Th22 cells might be recruited into the kidneys via the CCL20-CCR6, CCL22-CCR4, and/or CCL27-CCR10 axes by mesangial cells and tubular epithelial cells in infection-related IgAN. Th22 cell overrepresentation has been

attributed to increases in levels of IL-1, IL-6, and TNF- α (Gan et al. 2018), thereby contributing to renal fibrosis. XCL1, the ligand of XCR1, was found to have a slight but significant increase (Vielhauer et al. 2001). Atypical chemokine receptor 2 (ACKR2) is also known as CCR10. It was reported that CCL2 levels and leukocyte counts increased in peripheral blood in *Ackr2*-deficient mice. Meanwhile, ACKR2 promoted renal leukocyte recruitment, the expression of inflammatory markers and fibrosis, and proinflammatory chemokine levels in autologous nephrotoxic nephritis (Bideak et al. 2018).

6 Discussion

Renal fibrosis is not a particular process, but a concept that describes various fibrotic processes of cellular and molecular structure in specific renal compartments. Throughout fibrogenesis, various chemokines and their receptors have been identified. Given the many obstacles that lie ahead, targeting chemokines remains one of the most promising ways to improve renal fibrosis treatment.

Renal fibrosis is characterized by excessive ECM deposition, which is primarily caused by myofibroblasts. Myofibroblasts are responsible for synthesizing and storing interstitial ECM components such as collagen type I and III and fibronectin during wound healing and at scar and fibrosis sites (Meran and Steadman 2011). Myofibroblasts also synthesize various ECM-degrading proteases known as MMPs, which control the turnover and remodeling of collagen and other ECM proteins (Pardo and Selman 2006). Differentiation of fibroblasts to myofibroblasts is regarded as a critical event in the pathogenesis of renal fibrosis (Strutz and Muller 2006). Myofibroblasts traditionally originate from resident renal fibroblasts and were recently found to also arise from bone marrow-derived cells (Broekema et al. 2007; Li et al. 2007). CXCL16 deficiency has been shown to impair aggregation and myofibroblast production of bone marrow-derived fibroblasts in the kidney and renal fibrosis development (Ma et al. 2016b), while CCR2 deficiency significantly reduced bone marrow-derived myofibroblasts formation by decreasing the protein expression levels of α -SMA and FSP-1 in fibroblasts (Xia et al. 2013).

T cells accumulate in glomerular and tubulointerstitial compartments that can trigger kidney damage by immune-mediated mechanisms (Zohar et al. 2018). CXCR3 ligands are chemotactic for both leukocytes and have been found activating Th1 phenotype T lymphocytes expressing CXCR3 (Bonecchi et al. 1998). In another study, CXCL10/CXCR3 interactions promoted effector Th1 polarization via STAT1, STAT4, and STAT5 phosphorylation (Karin et al. 2016). Additionally, Th17 cells have been demonstrated to play an essential role in causing renal inflammation and tissue damage by secreting IL-17, IL-21, IL-22, and other cytokines (Paust et al. 2009). Several studies have revealed the significance of cytokine IL-17 in the end-stage aggravation of renal disease. Th17 cells are suspected to be able to transdifferentiate into regulatory T cells by modifying their transcription profile, further stressing their prominent role in inflammation and pro-fibrotic disease

resolution (Gagliani et al. 2015). Our review found that proinflammatory Th1 and Th17 lymphocytes were primarily drawn by the CXCR3 receptor to the location of inflammation in the diseased kidney (Nastase et al. 2018). Finally, CXCR3 has been reported to promote CD4⁺ T cell polarization toward Th1/Th17 effector cells by binding CXCL9/CXCL10 (Zohar et al. 2014).

Macrophage infiltration, derived from circulating monocytes, occurs early after renal injury and plays a critical role in the initial inflammatory response and induction of fibrogenesis. Macrophages can be categorized into two phenotypes: M1 (activated classically) and M2 (activated alternatively). M1 macrophages are considered proinflammatory, releasing cytokines such as IL-1, IL-6, and TNF- α , whereas M2 macrophages are thought primarily to be anti-inflammatory, pro-fibrotic, and produce arginase. Research has found that in the initial stages, proinflammatory macrophages are present in damaged tissues, whereas in the chronic period of renal disease, the population of pro-resolving macrophages increases (Braga et al. 2016). The penetration of the macrophage is caused by induction and local blood monocyte proliferation, while both the level of renal injury and the extent of renal fibrosis are associated with the degree of macrophage infiltration. M2 macrophages can release insulin-like growth factor-1, fibroblast growth factor 2, and PDGF, which promote myofibroblast proliferation and survival (Wynes et al. 2004; Floege et al. 2008). Mouse monocytes have been reported to be divided into 2 populations marked by CX3CR1 expression. In this regard, it appears that kidney IRI induces several factors (perhaps fractalkine itself) that may selectively recruit the CX3CR1 + subset (Geissmann et al. 2003). CX3CR1, CXCR6, CCR1, and CCR2 play a vital role in macrophage infiltration. Thus, chemokine receptors can be considered as targets to inhibit macrophage function in renal fibrosis.

Dendritic cells, together with macrophages, form the axis of the kidney's mononuclear phagocytic network. Monocyte-derived dendritic cells are responsible for glomerular disease invasion and recruitment of T cells, thereby increasing the frequency of the kidney injury (Ma et al. 2013). Evidence in mice has shown that CX3CR1 contributes to the entry of DCs into the inflamed kidney and the adhesion to ECs (Inoue et al. 2005).

Recently, several chemokine receptor antagonists have been studied in human trials. CCX140-B is a small-molecule CCR2 antagonist that inhibits CCR2 and prevents the activation and chemotaxis of MCP-1-dependent monocytes. In a randomized, double-blind, placebo-controlled clinical trial, although eGFR was not significantly changed between the placebo group and the CCX140-B treatment group, it exhibited significant protective effects on patients with diabetic kidneys (de Zeeuw et al. 2015). Moreover, CCX140-B showed no side-effects like hyperkalemia or cardiovascular events after a 1-year-treatment of 5 mg CCX140-B (de Zeeuw et al. 2015). Reparixin is a non-competitive CXCR1 and CXCR2 allosteric blocker that has been studied in breast cancer patients (Schott et al. 2017) and pancreatic islet transplant patients with type 1 diabetes (Citro et al. 2012). Another study showed that sorafenib inhibited renal fibrosis via macrophage with CXCR3/CXCL11 pathway (Ma et al. 2016a). In a pilot study, reparixin

attenuated IRI and inflammation after on-pump coronary artery bypass graft surgery (Opfermann et al. 2015). Although reparixin has not been trialed in patients with renal diseases, it can effectively prevent granulocyte infiltration and renal function impairment in animal experiments (Cugini et al. 2005). Finally, TAK-779 is a synthetic, non-peptide CCR5 and CXCR3 antagonist which is used to cure HIV-1 (Takama et al. 2011). In IRI animal models, TAK-779 suppressed the infiltration of T cells and NK T cells and attenuated the kidney injury (Tsutahara et al. 2012). Cenicriviroc (CVC) is an oral, dual CCR2/CCR5 antagonist with nanomolar potency against both receptors. It showed antifibrotic effects with significant reductions in collagen deposition by significantly reducing monocyte/macrophage recruitment (Lefebvre et al. 2016).

In summary, these data suggest that chemokine receptors and their ligand signaling could constitute a novel therapeutic approach for renal fibrosis.

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Inflammatory Biomarkers for Cardiovascular Risk Stratification in Familial Hypercholesterolemia



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Abstract Familial hypercholesterolemia (FH) is a frequent autosomal genetic disease characterized by elevated concentrations of low-density lipoprotein cholesterol (LDL) from birth with increased risk of premature atherosclerotic complications. Accumulating evidence has shown enhanced inflammation in patients with FH. In vessels, the deposition of modified cholesterol lipoproteins triggers local inflammation. Then, inflammation facilitates fatty streak formation by activating the endothelium to produce chemokines and adhesion molecules. This process eventually results in the uptake of vascular oxidized LDL (OxLDL) by scavenger receptors in monocyte-derived macrophages and formation of foam cells. Further leukocyte recruitment into the sub-endothelial space leads to plaque progression and activation of smooth muscle cells proliferation. Several inflammatory biomarkers have been reported in this setting which can be directly synthesized by activated inflammatory/vascular cells or can be indirectly produced by organs other than vessels, e.g., liver. Of note, inflammation is boosted in FH patients. Inflammatory biomarkers might improve the risk stratification for coronary heart disease and predict atherosclerotic events in FH patients. This review aims at summarizing the current knowledge about the role of inflammation in FH and the potential application of inflammatory biomarkers for cardiovascular risk estimation in these patients.

Keywords Cardiovascular risk · CRP · Familial hypercholesterolemia, inflammation · Markers · Oxidized LDL · TNF- α

Abbreviations

ALCAM	Activated leukocyte cell adhesion molecule
apoB	Apolipoprotein B
BAFF	B cell activating factor receptor
baPWV	Brachial-ankle pulse wave velocity

CAC	Coronary artery calcifications
CHD	Coronary heart disease
CV	Cardiovascular
CVD	CV disease
CYS	Cholesterol-year score
ELAM-1	Endothelial-leukocyte adhesion molecule-1
FCH	Combined familial hypercholesterolemia
FFAs	Free fatty acids
FH	Familial hypercholesterolemia
GTP	Guanosine triphosphate
HDL	High-density lipoprotein cholesterol
He	Heterozygous
Ho	Homozygous
hs-CRP	High sensitivity C-reactive protein levels
IFN- γ	Interferon gamma
IL	Interleukin
IL-RA	IL-1 receptor antagonist
IMT	Intima-media thickness
LDL	Low-density lipoprotein cholesterol
LDLR	LDL receptor
LOX-1	Lectin-like oxidized low-density lipoprotein receptor-1
Lp	Lipoprotein
Lp-PLA2	Lipoprotein-associated phospholipase A2
MCP-1	Monocyte chemoattractive protein
M-CSF	Macrophage colony stimulating factor
MI	Myocardial infarction
MIP	Macrophage inflammatory protein
MMPs	Metalloproteinases
MPV	Mean platelet volume
OxLDL	Oxidized LDL
PAI-1	Plasminogen activatorinhibitor-1
PBMCs	Peripheral blood mononuclear cells
PON1	Paraoxonase type 1
PPAR	Peroxisome proliferator-activated receptor
PWV	Pulse wave velocity
RANK	Receptor activator of NF- κ B
ROS	Reactive oxygen species
RUC	Related unaffected relatives
sICAM – 1	Soluble intercellular adhesion molecule-1
sVCAM – 1	Soluble vascular adhesion molecule-1
Th	T helper cells
TLR	Toll-like receptor
TNF	Tumor necrosis factor
TNFRSF	TNF receptor superfamily

TNFSF	TNF superfamily
TRAIL	TNF-related apoptosis-inducing ligand
XO	Xanthine oxidase

1 Introduction

Familial hypercholesterolemia (FH) is a common autosomal inherited disorder of lipoprotein metabolism characterized by high levels of total and low-density lipoprotein cholesterol (LDL) from birth, increased risk for early onset cardiovascular (CV) diseases (CVDs) and pathologic cholesterol accumulations often found in tendons (i.e., xanthomas), eyelids (i.e., xanthelasmas), and corneas (i.e., corneal arcus). In FH, defects in LDL receptor (LDLR) functionality or metabolism affect the uptake/handling of LDL by the liver resulting in increased circulating cholesterol levels (McNeely et al. 2001). Familial hypercholesterolemia is further defined based on the number of mutated alleles and severity of the disease as (1) heterozygous (HeFH), the common milder form with nearly two-fold elevation in LDL levels (Goldstein and Brown 2009) or (2) homozygous (HoFH) a rare more severe pattern with LDL levels above 500 mg/dL and as high as 1,000 mg/dL. FH is a silent disease which becomes clinically evident only after the early development of its cardiovascular complications (i.e., coronary heart disease) (Soutar and Naoumova 2007; Gidding et al. 2015; Benn et al. 2012; Reiner 2015). In the case FH would remain untreated, 85% of men and 50% of women are thought to suffer a premature coronary event (Civeira 2004). Accordingly, about 5% of the patients with HeFH suffer from myocardial infarction (MI) before the age of 60 years (Goldstein and Brown 2009). Although CV risk is increased in all FH patients, the onset of clinically manifested CVD may vary among patients even when they carry identical mutation (Jansen et al. 2002; Ferrieres et al. 1995). Traditional risk factors account only for a part (~20%) of this variability (Ferrieres et al. 1995; Moorjani et al. 1993). Accordingly, it has been hypothesized that other factors such as levels of inflammation may influence the susceptibility of FH patients to cardiac disease and explain the variability in phenotypic expression (Sharifi et al. 2016).

Atherosclerosis is a multi-step process characterized by both accumulation and retention of atherogenic lipoproteins into the sub-endothelial space and chronic arterial wall inflammation with activation of resident cells and recruitment of circulating leukocytes (Montecucco et al. 2017; Mawhin 2017; Hansson et al. 2015; Hansson and Libby 2006; Iwata and Nagai 2012). Recently, clinical trials targeting inflammation confirmed the causative role of this process in atherosclerosis by showing reduced rate of secondary CV events in post-myocardial infarction patients (Ridker et al. 2017; Tardif et al. 2019). Several lines of evidence suggest enhanced chronic low-grade inflammation in patients with FH (Narverud et al. 2011a; Real et al. 2010a) and this is thought to account for part of their increased CV risk (Cheng et al. 2007a; Kastelein et al. 2003).

Given that atherosclerotic complications are major causes of morbidity in individuals diagnosed with FH, the improvement in risk stratification assessment in those patients who are at higher CV risk is important, since they could benefit from earlier and more intensive treatment. In view of the above mentioned, this review aims at dissecting the interplay between hyperlipidemia and inflammation in the determination of CV risk in FH population and analyzing the potential application of inflammatory biomarkers in the CV risk estimation of these patients.

2 Lipids and Inflammation: A Deadly Combination in FH Patients

Both lipids (either modified or in their canonical isoform) and inflammation are deeply involved in the regulation of early atherogenesis (Libby 2002). Specifically, hypercholesterolemia induces the expression of leukocyte adhesion molecules by arterial endothelium while LDL penetrates and is selectively retained at susceptible sites where it undergoes oxidative modification to OxLDL (Bergheanu et al. 2017). Circulating leukocytes invade the vessel wall driven by high levels of chemotactic factors, such as OxLDL and monocyte chemoattractive protein (MCP-1) (Montecucco et al. 2017; Bonaventura et al. 2018; Liberale et al. 2017). Besides enhancing monocyte recruitment, OxLDL also triggers their differentiation into macrophages and the expression of scavenger receptors which mediate lipids internalization and foam cell formation (Tekin et al. 2013). This sets a vicious circle since macrophages can oxidize LDL and OxLDL can directly and indirectly stimulate monocyte recruitment. Accordingly, different clinical studies report hypercholesterolemia, oxidative stress, and inflammation to be closely related (Karbiner et al. 2013; Narverud et al. 2014; Real et al. 2010b). Of interest, biomarkers of such deleterious processes are upregulated in patients with FH (Van Tits et al. 2003; Rahman et al. 2017).

Not only lipids and inflammatory molecules collaborate to initiate atherosclerotic lesions in FH (Fig. 1), these mediators are also promoters of plaque progression and culprit mediators of sudden atherosclerotic complications, including myocardial infarction. Indeed, fibrous cap fissuring and formation of thrombi are closely associated with inflammatory products that are released from endothelial cells, monocytes, and neutrophils such as tissue factor, adhesion molecules, cytokines [e.g., IL-1 β , tumor necrosis factor (TNF)- α], and metalloproteinases (MMPs) (Mawhin 2017; Hansson et al. 2015). Furthermore, OxLDL increases the production of prothrombotic plasminogen activator inhibitor-1 (PAI-1) while suppressing the secretion of tissue plasminogen activator, thus reducing the fibrinolytic activity in endothelial cells (Kugiyama et al. 1993). As a result, OxLDL level predicts both carotid plaque progression and major adverse cardiovascular events in different populations (Wallenfeldt et al. 2004; Tsimikas et al. 2012; Johnston et al. 2006; Lee et al. 2005). Specific contributions of each inflammatory mediator to

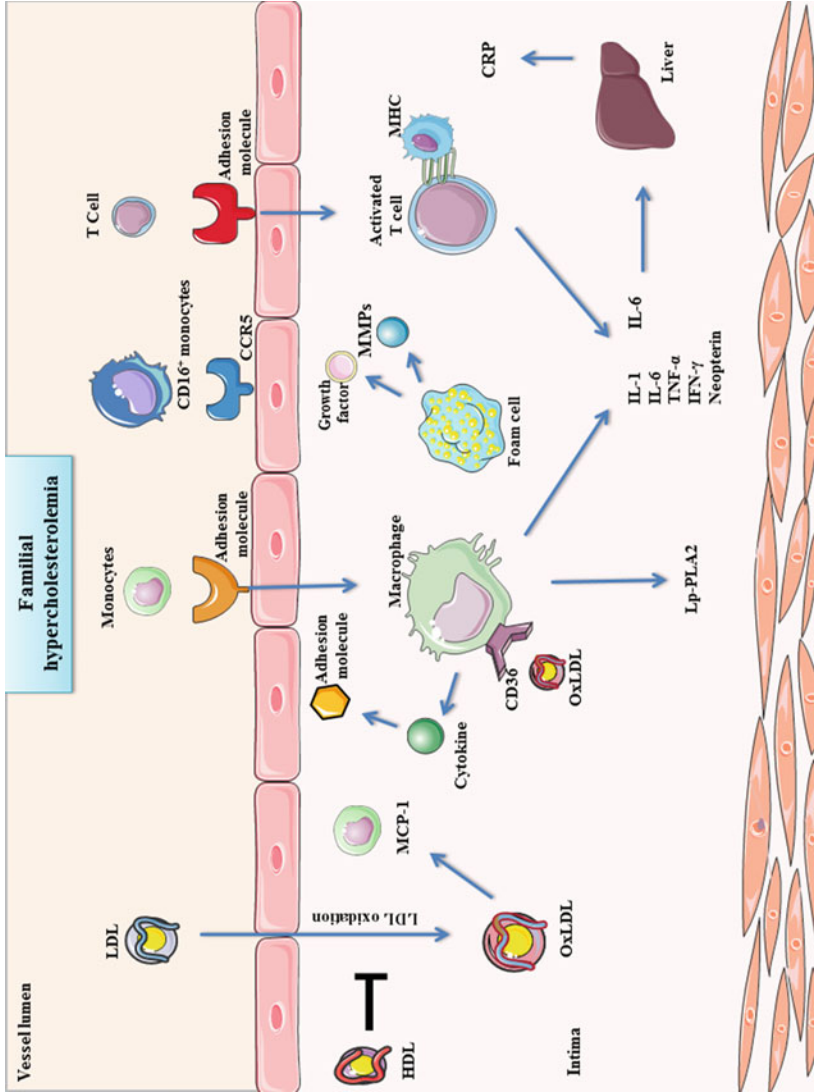


Fig. 1 Inflammation influences atherogenesis in familial hypercholesterolemia. CCR5 C-C chemokine receptor type 5, CRP C-reactive protein, ICAM-1 intercellular adhesion molecule-1, IFN- γ interferon gamma, IL interleukin, HDL high-density lipoprotein cholesterol, LDL low-density lipoprotein cholesterol, MCP-1 monocyte chemo-attractant protein 1, Lp-PLA2 lipoprotein-associated phospholipase A2, MMPs matrix metalloproteinases, OxLDL oxidized LDL, TNF- α tumor necrosis factor- α

atherosclerosis in FH setting and their potential role as predictor of CV risk in these patients will be dissected in the following paragraphs.

3 Inflammatory Biomarkers in FH

Biomarkers obtained from blood, plasma, and urine specimens can offer valuable details on inflammatory phenomena (Kinlay and Selwyn 2003; Packard and Libby 2008). Inflammatory biomarkers in atherosclerotic patients can be generated either directly due to activation of inflammatory/vascular cells in the plaque or indirectly in other organs, e.g., the liver (Table 1). Also, we should consider that inflammation is a non-specific phenomenon and other processes such as infection, autoimmune diseases, and traumas can induce the expression of a broad spectrum of inflammatory biomarkers and lead to misinterpretation of results in cardiovascular studies.

4 C-Reactive Protein (CRP)

High sensitivity-CRP (hs-CRP) is widely used as a biomarker of systemic inflammation in different settings (Tabatabaeizadeh et al. 2017; Zannad et al. 2012). Together with routine lipid screening test, levels of hs-CRP were shown to predict the presence of subclinical atherosclerosis in healthy men (Ridker et al. 1997). Hs-CRP is increased in adults with FH (Real et al. 2010a; Toutouzas et al. 2018). El Messal et al. assessed hs-CRP levels in FH patients with homozygous (HoFH) or heterozygous disease never treated with any lipid-lowering drug and compared to those with controls without FH (El Messal et al. 2006). Of interest, HoFH and HeFH patients had higher mean hs-CRP levels when compared to controls (five- and two-fold, respectively). Furthermore, in patients with HoFH direct relationships were reported between hs-CRP and total cholesterol, apoB and IL-18 (El Messal et al. 2006). Altogether, never-treated HoFH and HeFH patients had increased hs-CRP and higher risk for coronary heart disease (CHD) (El Messal et al. 2006). Similarly, plasma levels of hs-CRP were reported to directly correlate to those of NF- κ B—a transcription factor modulating the synthesis of several inflammatory mediators—and to those of xanthine oxidase [(XO), an enzyme involved in production of reactive oxygen species (ROS)] in both FH patients and controls (Real et al. 2010a). Of interest, it has been recently reported that lectin-like oxidized low-density lipoprotein receptor-1 [LOX-1, initially identified as the major receptor for oxidized LDL (OxLDL)] also mediates CRP signaling, thus further evidencing the close interplay between inflammation and modified lipids in the setting of atherogenesis (Pothineni et al. 2017; Xu et al. 2013). Cheng et al. further analyzed the association between atherogenesis, arterial stiffness, and circulating CRP in patient with HeFH. In another study, cholesterol-year score (CYS) was used to assess the exposure to high cholesterol and brachial-ankle pulse wave velocity (baPWV) was used to

Table 1 Inflammatory biomarkers in familial hypercholesterolemia and suggested pathogenic mechanisms

Biomarker	Main mechanism	References
CRP	Negatively influences the atherogenesis at endothelium level directly by stimulating MCP-1 secretion and indirectly by enhancing the uptake of OxLDL via macrophages	Pasceri et al. (2000, 2001)
ICAM-1	Allows adhesion of monocytes/lymphocytes to the activated endothelium Participates to transendothelial migration	Santos et al. (2018)
E-selectin	Facilitates adherence of leucocytes to vascular endothelium and stimulates the cascade of pathogenic events	Rahman et al. (2017); Ley (2002)
TNF- α	Promotes the attachment of leucocytes to endothelium by stimulating adhesion molecule expression, facilitates foam cell formation and T-lymphocyte and monocyte/macrophage activation	Enayati et al. (2015)
IL-10	Prevents atherogenesis through inhibition of macrophage activation and decreases the expression of MMPs, cytokines, and cyclooxygenase-2	Hansson (2001)
CD40/CD40L	Induces the expression of different pro-atherogenic substances, such as adhesion molecules, cytokines, chemokines, growth factors, and MMPs	Gissler et al. (2016); Yuan et al. (2015)
NF-Kb	Regulates gene involved in endothelial cell activation as well as transcription of different chemokines, adhesion molecules, and other inflammatory mediators	Zernecke and Weber (2009); Monaco et al. (2004)
MCP-1	Is responsible for the recruitment of monocytes within the atherosclerotic lesion	França et al. (2017)
OxLDL	Is internalized by macrophage via the scavenger receptors, causing foam cell formation LDL oxidation process within vascular wall induces cascades of immunogenic and pro-inflammatory consequences which initiate atherogenesis	Linton and Fazio (2001); Navab et al. (2004)
Lp-PLA2	Has pro-inflammatory and pro-oxidant properties By hydrolyzing oxidized phospholipids, it produces bioactive fat compounds including lysophosphatidylcholine and oxidized non-esterified FFAs which stimulate inflammation, oxidative stress, and atherogenesis Induces in endothelial dysfunction, aortic wall inflammation, and injury	Stafforini (2009); Caslake et al. (2000); Yang et al. (2006); Weintraub (2008); Tsimikas et al. (2007)

(continued)

Table 1 (continued)

Biomarker	Main mechanism	References
CD16 ⁺ monocytes	Shows increased phagocytosis and higher tendency to adhere to the endothelium in response to native LDL/OxLDL Expresses and generates inflammatory mediators which are involved in atherosclerotic process	Belge et al. (2002); Mosig et al. (2009); Ancuta et al. (2003); Tacke et al. (2007)
MPV	High volume characterizes excessively reactive platelets with more metabolic, enzymatic, and coagulation potential Reactive platelets are associated with prothrombotic molecules, such as thromboxane	Kamath et al. (2001); Park et al. (2002); Vizioli et al. (2009)
Neopterin	Inflammatory mediator General marker of boosted cellular immunity Reflects activation of monocyte in response to IFN- γ	Murr et al. (2002)

CRP C-reactive protein, *FFAs* free fatty acids, *ICAM-1* intercellular adhesion molecule-1, *IL* interleukin, *MCP-1* monocyte chemo-attractant protein 1, *Lp-PLA2* lipoprotein-associated phospholipase A2, *MMPs* matrix metalloproteinases, *MPV* mean platelet volume, *OxLDL* oxidized LDL, *Th* T helper cell, *TNF- α* tumor necrosis factor-alpha, *NF- κ B* transcription nuclear factor-kappa B

estimate arterial stiffness in FH patients (Cheng et al. 2007b). HeFH patients showed increased value of total cholesterol, LDL, as well as carotid intima-media thickness (IMT) as compared to controls. Interestingly, in patients with HeFH carotid IMT and baPWV were higher in those with long-time cholesterol exposure and in those with modestly increased hs-CRP level (>1 mg/L) (Cheng et al. 2007b). Furthermore, a multivariate regression analysis indicated both CYS and hs-CRP as strong independent predictors of IMT and baPWV in HeFH patients (Cheng et al. 2007b). This evidence implies that the pro-inflammatory vascular state is not only linked with atherosclerosis, but it also plays an important role in the progression of arterial stiffness in FH (Cheng et al. 2007b). Interestingly, in another recent report, serum concentrations of hs-CRP were significantly elevated in patients with combined familiar hypercholesterolemia (FCH) and with HeFH with respect to controls, while mean hs-CRP levels did not differ between HeFH and FCH patients (Toutouzas et al. 2018). Of importance, this is among the few studies assessing vascular inflammation in patients with FH by the mean of fluorodeoxyglucose-positron emission tomography (FDG-PET), showing a directly correlation between vascular inflammatory activity and circulating levels of hs-CRP in this population (Toutouzas et al. 2018; Iosif et al. 2017). On the other hand, another study showed no differences in CRP values between FH adult patients ($n = 89$, diagnosis based on clinical criteria with no genetic confirmation) and normal healthy controls ($n = 31$) (Martinez et al. 2008). In addition, no correlation was reported between CRP levels and atherosclerosis imaging variables, such as coronary artery calcifications (CAC) and carotid-femoral pulse wave velocity (PWV). On the other hand, a modest association between CRP levels and carotid IMT was demonstrated (Martinez et al. 2008). These results are in partial contrast with previous studies, showing

associations between CRP and IMT or CAC in the general population (Lakoski et al. 2007; Khera et al. 2005).

Although many data are available from FH adults, evidence from children is still limited and discordant. Among different studies assessing hs-CRP circulating levels in children with FH, only four articles showed significantly increased hs-CRP concentrations in FH children versus normal matched controls (Ueland et al. 2006; Ryu et al. 2011; Guardamagna et al. 2009), while five reported no difference (Narverud et al. 2011a, 2013a, 2013b; Stübiger et al. 2012; Charakida et al. 2009; Holven et al. 2006). Altogether these reports suggest that hs-CRP might be a less reliable indicator of endothelial activation and atherosclerotic progression in FH children than in adults. Of interest, Ueland et al. also demonstrated that 2-year pravastatin treatment did not affect hs-CRP levels in children with HeFH concluding that the anti-inflammatory impact of statins might be less important in children with FH than in adults (Ueland et al. 2006).

5 Soluble Adhesion Molecules

The results of several studies have supported a pivotal role of endothelial activation in atherogenesis, showing robust associations between circulating levels of adhesion molecules [i.e., E-selectin, soluble intercellular adhesion molecule-1 (sICAM-1), soluble vascular adhesion molecule-1 (sVCAM-1), and activated leukocyte cell adhesion molecule (ALCAM)] and atherosclerosis (Blankenberg et al. 2003; Galkina and Ley 2007). Indeed, the activated endothelium releases soluble adhesion molecules and thus, quantification of these molecules might be a potential indicator of endothelial dysfunction (Galkina and Ley 2007). Of interest, inflammatory molecules (i.e., CRP) can induce endothelial dysfunction and are associated with expression of different adhesion molecules (Pasceri et al. 2000; Hein et al. 2009). ICAM-1 is a transmembrane protein synthesized by leucocytes and endothelial cells which mediates adhesion of monocytes and lymphocytes to activated endothelium and participates to their transendothelial migration (Santos et al. 2018). E-selectin [also known as endothelial-leukocyte adhesion molecule-1 (ELAM-1)] is a carbohydrate-binding protein found on endothelial surface which facilitates adherence of leucocytes to the endothelium and is again implicated in the regulation of leukocyte migration (Rahman et al. 2017; Ley 2002). Much of the recent findings concerning adhesion molecules in FH derive from a case-control study on FH patients and their unaffected family members, which however based FH diagnosis only on clinical criteria without genetic confirmation thus requiring particular caution in interpretation of data (Rahman et al. 2017). In this study, both sICAM-1 and E-selectin were higher in FH patients when compared to healthy controls, while only sICAM-1 concentration was higher in unaffected family members with respect to controls. Van Haelst et al. confirmed higher ICAM-1 plasma levels in FH individuals (van Haelst et al. 2003). These results have been further confirmed in children with clinically diagnosed FH, thus suggesting an important role for

leukocyte-endothelial cell interactions also at early stages of endothelial dysfunction and atherogenesis (Charakida et al. 2009).

6 Cytokines

Cytokines are central players of cell–cell communication deeply regulating immune system function in both positive and negative fashions. Increasing evidence supports the contribution of major pro-inflammatory cytokines, namely IL-1, IL-6, and TNF- α in atherogenesis (Tousoulis et al. 2016). TNF- α is a multifunctional circulating cytokine which is nowadays accepted to be one of the main mediators of atherogenesis through promotion of leucocytes adhesion to the endothelium, foam cell formation, T-lymphocytes, and monocyte/macrophages activation (Enayati et al. 2015). TNF- α is produced by macrophages, mast cells, endothelial and smooth muscle cells and is found in vulnerable sites of atherosclerotic plaques (e.g., plaque shoulder) (Soeki and Sata 2016; Pasterkamp et al. 1999). In addition to TNF- α , other important members of the TNF superfamily (TNFSF) and TNF receptor superfamily (TNFRSF) are involved in atherosclerosis pathophysiology including T κ α , OX40 (CD134)/TNFRSF4 and its ligand (OX40L)/TNFSF4, CD40/TNFRSF5 and its ligand (CD40L,CD154)/TNFSF5, TNF-related apoptosis-inducing ligand (TRAIL or Apo2L)/TNFSF10, as well as receptor activator of NF- κ B (RANK)/TNFRSF11A and its ligand (RANKL)/TNFSF11A (Dostert et al. 2018). In addition, two other pro-inflammatory pleiotropic cytokines, IL-1 and IL-6, have many humoral and cellular immune properties connecting them to inflammation and enhanced atherosclerosis as also outlined by the recent CANTOS trial (Ridker et al. 2017, 2018; Tousoulis et al. 2016). Also, CD40 and its counterpart ligand (CD40L) collaborate to enhance atherosclerosis, thromboembolism, and inflammation by inducing the expression of different pro-atherogenic substances such as adhesion molecules, cytokines, chemokines, growth factors, and MMPs in different cell types (Gissler et al. 2016; Yuan et al. 2015). ECs and smooth muscle cells amplify MCP-1 (also known as CCL2) in response to different cytokines and to OxLDL (Deshmane et al. 2009). Circulating levels of both CCL2 and its receptor CCR2 have been directly associated with enhanced atherogenesis and increased CV risk due to higher macrophage infiltration (França et al. 2017). Oppositely anti-inflammatory cytokines, such as IL-10, negatively modulate atherogenesis by favoring resolution of inflammation and are downregulated in patients with atherosclerosis and at higher risk of CV events (Mallat et al. 1999; Han and Boisvert 2015). TNF α /IL-10 ratio is emerging as an interesting marker to estimate the imbalance between pro- and anti-inflammatory cytokines and assess the CV risk (Goswami et al. 2009; Kumari et al. 2018; Narverud et al. 2011b).

Supporting the role of inflammatory cytokines in FH patients, the expression of the inflammatory gene-regulating transcription factor NF- κ B in mononuclear cells of FH patients was significantly associated with plasma levels of OxLDL, xanthine oxidase, hs-CPR, apoB, and LDL (Real et al. 2010a). Increased TNF- α levels and

TNF- α /sTNFRs ratio have been reported in FH children, while no difference was reported in adults with and without FH (Narverud et al. 2011a). Of interest, FH children had lower circulating levels of IL-10 resulting in increased TNF- α /IL-10 ratios (Narverud et al. 2011a). In another similar investigation, mRNA transcription levels of TNFSF/TNFRSF genes in peripheral blood mononuclear cells (PBMCs) of children and young people with FH before and after statin therapy were measured (Narverud et al. 2013a). Baseline expression of OX40L, B cell activating factor receptor (BAFF) receptor, and TRAILR1 were significantly increased, while TRAIL and TRAILR3 were considerably reduced in FH patients when compared to controls (Narverud et al. 2013a). After statin administration, expression levels of OX40L and TRAILR1 decreased while BAFF, TRAIL, and TRAILR3 increased (Narverud et al. 2013a). Altogether these findings suggest FH children to have an impaired balance between anti- and pro-inflammatory signaling which may play an important role in the increased CV risk of these patients. In adults, the evidence is less clear, a study reported increased level of TNF- α in patients with HoFH as compared to normocholesterolemic controls (Gokalp et al. 2009). Oppositely, in the general FH population under optimal therapy no difference in terms of circulating inflammatory markers such as TNF- α , IL-1 β , IL-1 receptor antagonist (IL-RA), IL-6, IL-10, and MCP-1 has been reported when compared to healthy controls (Real et al. 2010a; Hovland et al. 2010). In contrast, both HoFH and HeFH patients who were never treated with lipid-lowering agents have high or very high CHD risk and increased levels of MMP-9, TIMP-1, and IL-18 (El Messal et al. 2006). At the cellular level, monocytes from FH patients show a pro-inflammatory phenotype characterized by increased expression of CCR2, resulting in enhanced migratory capacity—a feature strongly associated with atherosclerosis development (Bernelot Moens et al. 2017). Accordingly, PBMCs from FH patients release a substantial amount of macrophage inflammatory protein (MIP)-1 α , -1 β , and IL-8 as compared to controls (Holven et al. 2003, 2006). In young FH patients, main inflammatory mediators might differ as PBMCs from HeFH children have increased transcription of RANTES/CCL5, but not MIP-1 α (Holven et al. 2006). Suggesting that during the early inflammatory phase of atherosclerosis, the crucial mediator might be leukocyte-derived RANTES (Holven et al. 2006). Lipid-lowering drugs have been hypothesized to impact inflammatory biomarkers in FH patients. A study by Semb et al demonstrated that FH patients have increased serum levels of sCD40L (about 27-folds) as compared to normal controls, while 2-year-long statin treatment reduced sCD40 levels by 40% (Semb et al. 2003). In another study, PBMCs obtained from FH patients and treated with statins for a long time (median 17 years) displayed higher expression levels of 4-1BB (CD137), CD40, TNFR1, TNFR2, and TRAIL when compared with control subjects (Holven et al. 2014). However, serum concentrations of CD40L and CD137 were similar between FH patients and controls (Holven et al. 2014). This is in accordance with a previous report from a cohort of FH children in which serum sCD40L levels were comparable to those of healthy siblings and pravastatin treatment did not modify them (Ueland et al. 2006). Also, Hovland et al. reported no significant alterations in inflammatory state among statin-treated FH patients and healthy controls (Hovland et al. 2010). Considering the pathophysiological

involvement of TNF-related molecules in FH, the use of novel anti-inflammatory treatments on top of lipid-lowering agents in highest tolerable doses in FH patients could be also suggested. For instance, the use of PCSK9 monoclonal antibodies in FH patients did not only affect plasma values of LDL, but significantly reduced the expression of CCR2 mRNA in PBMCs, monocyte migratory ability, and intracellular lipid content (Bernelot Moens et al. 2017; Pecin et al. 2017; Reiner 2018). These data suggest a pro-inflammatory role for LDL on peripheral monocytes in FH patients, which can be reversed by cholesterol-lowering therapies.

7 OxLDL

OxLDL particles are produced by lipid peroxidation due to the action of oxygen-derived free radicals on native LDL. OxLDL are thought to be key mediators in the context of atherosclerosis as previously discussed. Previous studies showed that FH patients have higher OxLDL levels when compared to those without FH (Real et al. 2010a). Increased OxLDL levels were also observed in FH children when compared to non-FH children. Of interest, OxLDL values are significantly correlated with the expression of OX40L, TRAILR1, and BAFF-receptor, deeply involved in inflammation (Narverud et al. 2013a; Jehlička et al. 2009). In PBMCs from FH patients, OxLDL induces gene expression of TRAILR1, TRAILR4, and BAFF-receptor (Narverud et al. 2013a). Moreover, *in vitro* experiments revealed that OX40L promotes OxLDL-induced expression of MMP-9 in human monocytes (Narverud et al. 2013a). Furthermore, higher OxLDL have been reported in patients with HeFH particularly when showing Achilles tendon xanthoma (Nielsen et al. 2015). In this population, regression analysis demonstrated that OxLDL is a strong predictor of MMP levels and regulates monocyte expression of pro-atherosclerotic and pro-inflammatory genes by interaction with its scavenger receptor CD36 (Nielsen et al. 2015). Modified LDL epitopes including OxLDL are found within macrophages of FH patients with tendon xanthoma (Sugiyama et al. 1992). Interestingly, macrophages of FH patients with Achilles tendon xanthoma have greater intracellular cholesterol ester pooling and a unique gene expression pattern as compared to those of patients without tendon lesion (Sugiyama et al. 1992). Accordingly, the occurrence of xanthomas in FH patients could be explained by distinctive gene expression profile (including several pro-inflammatory chemokines), which enhances the transformation of macrophages into foam cells (Artieda et al. 2005).

OxLDL is associated with and predicts acute CVD events in apparently healthy subjects and is thought to be a promising risk marker for CV events (Holvoet et al. 2004; Tsimikas et al. 2003; Nordin Fredrikson et al. 2003; Meisinger et al. 2005; Gao and Liu 2017). This evidence still needs specific validation in FH patients. Being modified self-lipoprotein, OxLDLs can trigger an adaptive immune response eventually leading to formation of anti-OxLDL autoantibodies (Zhang et al. 2015). Based on the existing evidence, IgG autoantibodies might be directly associated with CVD events, while IgM autoantibodies seem to have a protective profile (Shoenfeld

et al. 2004; Karvonen et al. 2003). Of interest, when compared to unaffected siblings, FH children are characterized by elevated levels of total immune complex per apoB and malondialdehyde-LDL autoantibodies (Rodenburg et al. 2006). Lipid-lowering therapy was able to reduce circulating autoantibodies in the same cohort (Rodenburg et al. 2006). Interference due to lipid-lowering therapy might account for some apparent discordant findings in this field (Barros et al. 2006). Further investigations are needed to confirm the role of autoantibodies against modified LDL in atherosclerosis and dissect their potential function as CV risk biomarkers in the specific FH setting.

Paraoxonase type 1 (PON1) is a HDL-related enzyme capable of hydrolyzing different substrates among which are oxidative pro-oxidant species (van den Berg et al. 2019). For this reason, PON1 was hypothesized to protect against atherosclerosis. Despite this, in FH patients no association was found between peripheral concentration of PON1 and carotid IMT, levels of OxLDL and hs-CRP (van Himbergen et al. 2005). More recently, PON1 esterase activity was found to be reduced in FH patients as compared to healthy relatives (Idrees et al. 2018). These results are still very preliminary and should be interpreted with caution; more evidence is needed to assess the potential role of PON1 in FH-related atherosclerosis.

8 Lipoprotein-Associated Phospholipase A2

Lipoprotein-associated phospholipase A2 (Lp-PLA2) is a calcium-independent catalytic enzyme predominantly synthesized in macrophages (De Stefano et al. 2019). Lp-PLA2 is thought to hold pro-atherogenic function by its pro-inflammatory and pro-oxidant activity (Stafforini 2009). Through hydrolysis of oxidized phospholipid molecules, Lp-PLA2 produces bioactive substances including lysophosphatidylcholine and oxidized non-esterified free fatty acids (FFAs) and modifies OxLDL (Caslake et al. 2000). Also, Lp-PLA2 participates in endothelial dysfunction (Yang et al. 2006), aortic wall inflammation and affects vulnerability of plaques with thin caps (Weintraub 2008; Tsimikas et al. 2007). Lp-PLA2 circulates together with Lp(a) and apoB-100 containing lipoproteins, mainly LDL (~80%) and HDL (~20%) (Tsimikas et al. 2007; Saougos et al. 2007; Blencowe et al. 1995). A considerable amount of evidence supports the idea that increased concentrations of Lp-PLA2 are associated with higher risk of coronary heart disease, stroke, and general vascular morbidity and mortality (Collaboration L-PS 2010; Wallentin et al. 2016; Tibuakuu et al. 2018; Garg et al. 2015, 2016).

It has been reported that hypercholesterolemic patients without FH have lower Lp-PLA2 activity when compared with patients with clinically confirmed FH diagnosis independently of circulating lipoprotein values (Mattina et al. 2018). The increased Lp-PLA2 activity might account for the enhanced arterial inflammation as reported in FH patients and participate in premature onset of CV events (Mattina et al. 2018). In children with FH, Lp-PLA2 mass and activity were also considerably

higher in comparison with unaffected siblings, but Lp(a) levels and carotid IMT were not (Ryu et al. 2011). In addition, after 2 years of statin therapy Lp-PLA2 mass and activity were significantly decreased and net-changes in Lp-PLA2 activity positively correlated with the changes in LDL-C levels (Ryu et al. 2011). Summarizing, the predictive role of Lp-PLA2 on CV events in FH patients still requires further investigations.

HDL particles have several athero- and vasculo-protective features such as reverse cholesterol transport, anti-oxidant and anti-inflammatory properties (Ganjali et al. 2017, 2018; Chapman et al. 2011). A specific sub-fraction, HDL3 particles in normolipidemic subjects effectively prevent vascular injury caused by LDL. In FH patients, anti-oxidative and anti-inflammation properties of HDL3, which prevent deposition of OxLDL in vessels, are decreased by up to three-fold (Hussein et al. 2016). Moreover, surface lipids of HDL are decreased, while the amount of core lipids is increased in FH. This ratio is directly associated with the anti-oxidant and anti-inflammatory characteristics of HDL which are suboptimal in FH patients (Hussein et al. 2016).

9 CD16⁺Monocytes

Peripheral monocytes are heterogeneous and two main subsets have been described according to surface expression of CD14 and CD16 (Ghattsas et al. 2013; Ziegler-Heitbrock 1996). It is well known that in early reversible stage of atherosclerotic process, inflammatory lesions are mainly consisting of monocyte-derived macrophages with high CD16 and low CD14 expression (Häkkinen et al. 2000). At this stage, the activation of CD16^{pos} monocytes by the Toll-like receptor (TLR)-4/-2 ligands leads to increased TNF- α and decreased IL-10 mRNA transcription levels. Thus, CD16^{pos} monocytes are generally thought to be pro-inflammatory cells (Belge et al. 2002). Also, CD16^{pos} monocytes have elevated phagocytosis capability and higher tendency to adhere to the endothelium as a result of LDL and OxLDL infiltration (Mosig et al. 2009). CD16^{pos} monocytes express and generate inflammatory mediators and chemokine receptors, which are involved in atherosclerotic process (Ancuta et al. 2003; Tacke et al. 2007). Uptake of OxLDL via CD36 in monocytes results in over-expression of this scavenger receptor via induction of transcription factors NF- κ B and peroxisome proliferator-activated receptor (PPAR)- γ . As a result of this vicious circle, macrophages undergo phenotypic alteration and become atherogenic foam cells (Gurnell 2003; Tak and Firestein 2001). Yet, all monocyte subsets have been described in advanced atherosclerotic plaques (Hansson 2005).

FH patients have increased amount of intermediate CD14⁺⁺CD16⁺ monocytes (Nielsen et al. 2015). Additionally, their transcription of pro-atherosclerotic and pro-inflammatory genes in response to OxLDL-CD36 interaction is significantly elevated, particularly in patients with Achilles tendon xanthoma (Nielsen et al. 2015). Also, CD16^{pos} monocytes from FH patients show an increased uptake of

OxLDL-C via the scavenger receptor CD36, while CD16^{neg} monocytes from FH subjects preferentially uptake native LDL particles (Mosig et al. 2009). CD16^{pos} monocytes of FH patients are more mature as compared to CD16^{pos} of healthy persons. It has been speculated that CD16^{pos} monocytes evolve and more quickly transform into macrophages as a reaction to OxLDL which also stimulates cell apoptosis (Wintergerst et al. 2000; Kashiwakura et al. 2004). The potential role of monocyte and their subset as predictive markers of CV disease in the setting of FH still requires further investigations.

10 Mean Platelet Volume

Platelets from clinically diagnosed FH patients are highly active, easy to activate, and show reduced circulating life (Blahe et al. 2004; Betteridge et al. 1994). Platelet activation plays an important role in both early and advanced atherogenesis in patients with FH (Heidari-Bakavoli et al. 2018). Among many platelet parameters, mean platelet volume (MPV) as a marker of platelet activity appears to be promising (Heidari-Bakavoli et al. 2018). Indeed, an increased MPV is associated with larger and excessive reactive platelets with more metabolic, enzymatic, and coagulation potential (Kamath et al. 2001; Park et al. 2002). Reactive platelets are associated with higher levels of prothrombotic substances, thromboxane, and glycoproteins which enhance their aggregability (Vizioli et al. 2009). Many data have demonstrated increased prothrombotic tendency and activity in platelets from dyslipidemia patients (Georgieva et al. 2004; Khemka and Kulkarni 2014). Also, it has been shown that FH patients have remarkably increased MPV levels, but lower platelet count when compared to controls (Icli et al. 2016). Of interest, MPV is independently correlated with total cholesterol in clinically diagnosed FH patients (Icli et al. 2016).

11 Neopterin

Activated blood-derived macrophages contribute throughout the whole atherogenesis process (Liberale et al. 2017; Ross 1999; Robbie and Libby 2001). Neopterin is produced in macrophages stimulated with interferon gamma (IFN- γ) as a result of guanosine triphosphate (GTP) catabolism. Accordingly, neopterin is an inflammation product considered to be a general marker of cellular immunity (Murr et al. 2002) as well as an indicator of activation of T helper cells (Th) type 1 (Wachter et al. 1989), whose main product is IFN- γ .

Two comparable studies reported increased levels of neopterin in HeFH children as compared to controls or unaffected siblings. Furthermore, BMI and HDL-C were independent predictors of neopterin in these subjects (Ueland et al. 2006; Holven et al. 2006). However, the first reports suggest such a difference not to be conserved

in adult HeFH patients (Holven et al. 2006). Although this observation may be due to the rather low number of adults enrolled, it may also indicate a unique pattern of macrophage activation in HeFH adults and children (Holven et al. 2006). Although IFN- γ activates the pathways responsible for elevation of neopterin concentrations in different pathologic states, no significant differences were found on IFN- γ expression in stimulated T-cells from children with HeFH and healthy controls (Holven et al. 2006). This observation might be explained by the fact that neopterin is not only regulated through IFN- γ (Holven et al. 2006). Indeed several cytokines such as IL-1, IL-6, and TNF- α modulate monocyte activation and thus might modulate neopterin levels, and some of these cytokines possibly have an important role in low-grade inflammation in FH children (Holven et al. 2006). Despite very preliminary, these findings might suggest the important role of chronic Th1-induced monocyte/macrophage over-activation in the early onset of atherosclerosis (Ueland et al. 2006; Holven et al. 2006).

12 CV Risk Assessment in Patients with FH

Differences in incidence of CV events remain a major unsolved issue for patients with FH and this is reflected by the availability of different CV risk scores. Different studies demonstrated that traditional CV risk factors are not totally overwhelmed by the high LDL levels in FH patients and they still hold important predictive value also in such a particular population (Kramer et al. 2006; et al. 2019). In the SAFEHEART-Risk Equation, CV risk in FH is estimated based on age, sex, BMI, levels of LDL and Lp(a), history of CV disease, hypertension, or smoking (et al. 2019; Mata and Alonso 2018). An expert consensus from the International Atherosclerosis Society (IAS) suggests to further define FH patients in severe and non-severe FH with the former being characterized by severely elevated levels of LDL (>400 mg/dL) or milder elevation of LDL (>310 mg/dL or >190 mg/dL) with respectively one or high-risk features (Santos et al. 2016). These supplementary risk factors include those listed for the SAFEHEART-Risk Equation while also taking into consideration low HDL levels or presence of diabetes mellitus, chronic kidney disease, and familial history of early CV afflictions (Santos et al. 2016). Interestingly, the IAS risk stratification also includes the diagnosis of advanced coronary disease as assessed by coronary artery calcium score or degree of obstruction at CT angiography. In this sense, CV imaging techniques are thought to provide crucial information to guide the prognostic assessment of patients with FH and are fundamental for providing an adequate CV assessment in studies investigating potential novel risk biomarkers. With particular reference to potential inflammatory risk biomarkers, FDG-PET may represent an important additional value providing direct evidence of inflammatory activity of the vessel/plaque and allowing direct correlation with circulating markers (Joshi et al. 2018; Duivenvoorden et al. 2013). None of the previously mentioned inflammatory biomarkers has so far provided enough sensitivity and specificity to be included in any CV risk prediction algorithms for

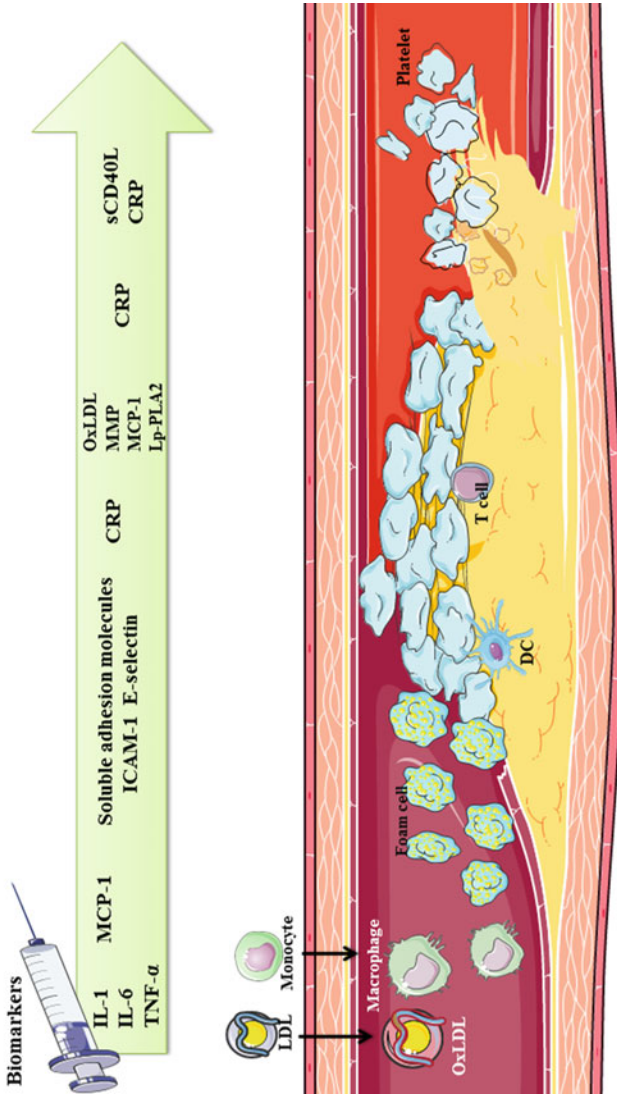


Fig. 2 Promising inflammatory biomarkers in familial hypercholesterolemia. *CRP* C-reactive protein, *ICAM-1* intercellular adhesion molecule-1, *IL* interleukin, *MCP-1* monocyte chemo-attractant protein 1, *Lp-PLA2* Lipoprotein-associated phospholipase A2, *MMPs* matrix metalloproteinases, *OxLDL* oxidized LDL, *TNF- α* tumor necrosis factor- α

FH patients. This is likely due to many reasons including different limitations of studies so far investigating this hypothesis. Some of them enrolled heterogeneous cohorts of FH patients without genetic characterization which are likely to include patients with familial combined hyperlipidemia and metabolic syndrome. Also, patients with FH are often affected by metabolic syndrome which associates with increased levels of inflammatory biomarkers on its own thus adding even more variability to the literature. Solid large, longitudinal data from registry cohorts enrolling patients with definite disease diagnosis and optimal patient characterization—including comorbidities and imaging characterization of the coronary tree—are needed to investigate whether inflammatory biomarkers might provide additional value to CV risk stratification in FH.

13 Conclusion

Atherosclerotic complications are leading causes of mortality in FH patients. In the last decades, our understanding of atherosclerosis pathophysiology revealed a previously unexpected pivotal role for inflammation in this process. Accordingly, inflammatory mediators became interesting markers of disease as well as therapeutic targets to counteract plaque initiation and progression. In FH patients, the enhanced inflammation may account for part of the increased CV risk and anti-inflammatory interventions on top of optimal lipid-lowering therapy may effectively reduce the incidence of major CV events. Yet, whether inflammatory biomarkers may be of help in stratifying the risk of this highly heterogenic population remains to be fully assessed (Fig. 2). Available evidence suggests that the predictive role of each inflammatory mediator may vary depending on the age of FH subjects and the stage of the atherosclerotic disease. Further prospective studies are needed to elucidate whether measurements of these inflammatory biomarkers, alone or in combination, will provide added value in the identification of those patients at higher CV risk who will benefit from more intense pharmacological interventions.

Conflict of Interest None.

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Beyond the Paradigm: Novel Functions of Renin-Producing Cells



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Abstract The juxtaglomerular renin-producing cells (RPC) of the kidney are referred to as the major source of circulating renin. Renin is the limiting factor in renin-angiotensin system (RAS), which represents a proteolytic cascade in blood plasma that plays a central role in the regulation of blood pressure. Further cells disseminated in the entire organism express renin at a low level as part of tissue RASs, which are thought to locally modulate the effects of systemic RAS. In recent years, it became increasingly clear that the renal RPC are involved in developmental, physiological, and pathophysiological processes outside RAS. Based on recent experimental evidence, a novel concept emerges postulating that next to their traditional role, the RPC have non-canonical RAS-independent progenitor and renoprotective functions. Moreover, the RPC are part of a widespread renin lineage population, which may act as a global stem cell pool coordinating homeostatic, stress, and regenerative responses throughout the organism. This review focuses on

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the RAS-unrelated functions of RPC – a dynamic research area that increasingly attracts attention.

Keywords Juxtaglomerular cells · Progenitor cells · Regeneration · Renin lineage · Tissue injury

Abbreviations

AA	Afferent arteriole
ACE	Angiotensin-converting enzyme
Akr1b7	Aldo-keto reductase family 1 member B7
Ang	Angiotensin
ArcA	Arcuate artery
AT1	Angiotensin II type 1 receptors
AT2	Angiotensin II type 2 receptors
BrdU	Bromdesoxyuridin
cAMP	3',5'-Cyclic adenosine monophosphate
Cre	Cre-recombinase
DAMPs	Damage-associated molecular patterns
DNA	Deoxyribonucleic acid
EP	Prostaglandin EP receptor
EPO	Erythropoietin
FoxD1	Forkhead box D1
G	Glomerulus
GFR	Glomerular filtration rate
Gs	G-protein stimulatory subunit
GTPases	Guanosine-5'-triphosphate hydrolases
IA	Interlobular artery
IP	Prostacyclin I2 receptor
JG	Juxtaglomerular
JGA	JG apparatus
loxP	Locus of X-over P1
MC	Mesangial cell
NB	Nota bene
NF-kappa B	Nuclear factor “kappa-light-chain-enhancer” of activated B-cells
PE	Parietal epithelial
PT	Proximal tubule
RAS	Renin-angiotensin system
Rb	Retinoblastoma protein
RBP-J	Recombination signal binding protein for immunoglobulin kappa J region
RLC	Renin lineage cells
RNA	Ribonucleic acid
RPC	Renin-producing cells

SISC	Stress-inducible stem cells
STAT	Signal transducers and activators of transcription
tet	Tetracycline
TGF	Transforming growth factor
Thy1	Thymocyte antigen 1
VEGF	Vascular endothelial growth factor
VSMC	Vascular smooth muscle cells
WT1	Wilms' tumor suppressor 1

1 Background: The Classical View on Renal Renin-Producing Cells (RPC) Within Renin-Angiotensin System (RAS)

Circulating renin-angiotensin system (RAS) is a major endocrine factor that governs arterial blood pressure acting both in a short-term scale on vascular tone and in a long-term scale on salt, water, and volume homeostasis. Within RAS, the proteases renin and angiotensin-converting enzyme (ACE) sequentially cleave the plasma protein angiotensinogen to angiotensin (Ang) I and then to Ang II. Ang II is the main effector hormone of the system. Acting primarily through Ang II type 1 (AT1) receptors, it induces strong vasoconstriction and maintains sodium and water balance by regulating the production of aldosterone and antidiuretic hormone, respectively. The multifaceted activity of Ang II results in complex cross talk between its different modes of action. Particularly in the kidney, the functional integrity between Ang II effects on afferent/efferent arteriole tone and tubular reabsorption is decisive for the maintenance of glomerular (as well as renal) hemodynamics and water/electrolyte excretion. In fact, RAS is more complicated because it also includes AT2 receptor, prorenin, and its receptor, as well as a protective arm with ACE2, Ang 1–7, and Mas receptor. These further members are responsible for the fine-tuning of RAS under physiological conditions. They acquire a substantial role in patients with cardiovascular diseases in particular when the AT1-mediated Ang II effects of RAS are pharmacologically inhibited. The detailed description of RAS is beyond the scope of this review. Recent reviews summarizing the state of the art on the intricate RAS aspects in health and disease are available elsewhere (Ames et al. 2019; Arendse et al. 2019; Fournier et al. 2012; Santos et al. 2018; Sparks et al. 2014).

The renin-producing cells (RPC) of the adult mammalian kidney are located in the wall of the afferent arterioles next to the glomerular vascular poles. Therefore, the RPC are also dubbed juxtaglomerular (JG) cells. The JG cells are very few – they amount to only 4–8 cells per afferent arteriole. Renin is the rate-limiting factor of circulating systemic RAS since it primarily determines the rate of generated Ang II and thus the overall biological activity of the system. The reason for the key regulatory status of renin in RAS is the tight control of renin synthesis and secretion by systemic and local factors including perfusion pressure, renal sympathetic nerve

activity, macula densa mechanism, and autocrine cues (nitric oxide, prostanoids, and adenosine). The function of renal RPC in steering RAS activity under physiological and pathophysiological conditions has been characterized very well and elucidated in excellent reviews (Castrop et al. 2010; Damkjaer et al. 2013; Friis et al. 2013; Schweda and Kurtz 2011; Sparks et al. 2014).

2 RPC Beyond RAS

For about 10 years, we have been interested whether the renal RPC might be more than a mere source of renin. Initially, there were two lines of evidence justifying this hypothesis. First, the number of RPC in the afferent arterioles of the adult kidney is not constant but changes in response to homeostatic fluctuations in salt intake, intravascular volume, arterial tone, or adrenergic input. Therein, the RPC do not seem to proliferate. Instead, the renin gene is switched on upstream in the afferent arterioles in some vascular smooth muscle cells (VSMC) thus changing their phenotype from contractile to secretory (Cantin et al. 1977; Dominick et al. 1990). This process is reversible and known as recruitment or metaplastic transformation (Gomez et al. 1988, 1990). The latter term, however, is confusing because it implies pathology when in fact it represents a physiological process. The phenotypic plasticity of the RPC in adult life is particularly interesting with regard to the localization of these cells within the JG apparatus (JGA), which includes macula densa and the vascular glomerular pole with the adherent parts of the afferent and efferent arterioles. JGA essentially coordinates renal perfusion, glomerular filtration rate (GFR), and tubular reabsorption into integrative mechanistic responses to provide optimal kidney function. While modulation of RAS activity is part of these responses, it was worth arguing that the phenotypic flexibility of the RPC is of further functional and structural importance for the kidney. Second, the prevalence of RPC in the renal vasculature during kidney development (nephrogenesis) is higher than in adult life (Gomez et al. 1986; Sauter et al. 2008). In addition, knockout of renin in mice leads to kidney malformations and perinatal death (Takahashi et al. 2005; Yanai et al. 2000). Importantly, an identical phenotype has been reported in human fetuses with inactivating mutations in the renin gene (Michaud et al. 2011; Zivna et al. 2009). These observations provided a crucial hint that the RPC should be important for the normal development of the kidney. Based on these findings, a role of RPC as a progenitor cell pool during nephrogenesis was originally proposed. However, experimental evidence in rats and frogs suggested a fundamental role of RAS activity in terms of Ang II effects on kidney morphogenesis and vascular development (Tufro-McReddie et al. 1995). In line with these initial findings, studies in mice and humans demonstrated that the very same detrimental renal phenotype is observed when RAS is inactivated by genetic mutations not only in renin gene but also in its further key component genes, namely, angiotensinogen, ACE, and AT1 (Gribouval et al. 2005, 2012; Gubler and Antignac 2010; Hibino et al. 2015; Hilgers et al. 1997; Kim et al. 1995; Kregel et al. 1995; Oliverio et al. 1998; Takahashi et al. 2005; Yanai et al.

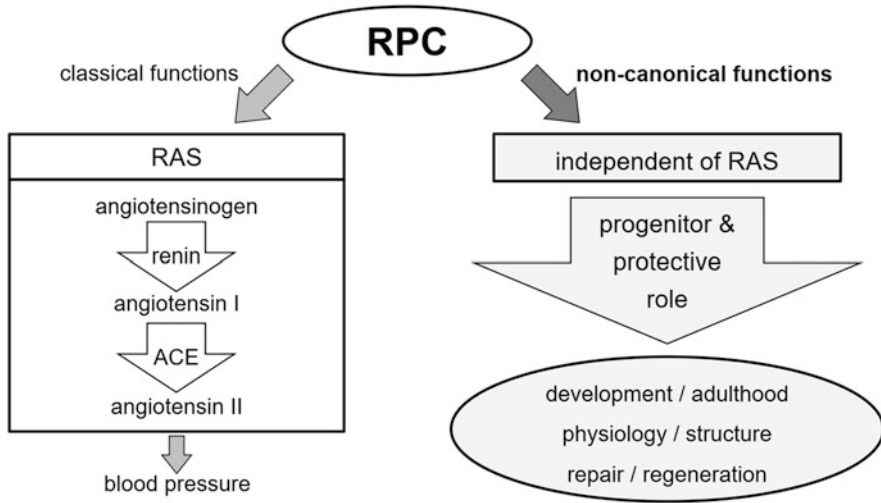


Fig. 1 Novel concept on the dual role of the renin-producing cells (RPC) in kidney highlighting their new RAS-independent functions. *RAS* renin-angiotensin system, *ACE* angiotensin-converting enzyme

2000). Moreover, antihypertensive treatment with RAS inhibitors (ACE inhibitors, AT1 blockers, etc.) is counter-indicated during pregnancy because they cause fetal malformations (Broughton Pipkin et al. 1982; Daikha-Dahmane et al. 2006; Friberg et al. 1994; Grove et al. 1995; Knott et al. 1989; Landing et al. 1994; McCausland et al. 1997; Polifka 2012). RAS is believed to be important in embryonic life for not only maintaining blood pressure and organ perfusion but also because Ang II exerts growth factor-like effects in the developing kidney (Chen et al. 2004; Iosipiv and Schroeder 2003; Madsen et al. 2010; McCausland et al. 1997; Niimura et al. 1995; Tufro-McReddie et al. 1995). Altogether, these findings indicated that active RAS is necessary for normal ontogenesis and nephrogenesis, in particular, thus questioning the role of RPC themselves as progenitors.

Starting from these initial observations, experimental evidence accumulated to favor a concept where RPC do have RAS independent functions (Fig. 1). These non-canonical RAS functions will be discussed in more detail below. Notwithstanding, modern transgenic animal models provided decisive evidence that independently from RAS, the RPC do serve as a progenitor niche in embryonic and adult kidney.

2.1 Progenitor Cell Pool

2.1.1 Nephrogenesis

The original observations that the RPC population is spread throughout the growing renal arterial tree during nephrogenesis before shrinking to the glomerular proximity of the adult kidney came out more than 30 years ago (Gomez et al. 1986; Robillard and Nakamura 1988). This distribution has been demonstrated in multiple studies with mice, rats, pigs, and humans (Celio et al. 1985; Egerer et al. 1984; Gomez et al. 1991; Graham et al. 1992; Molteni et al. 1974). The high interspecies similarity of renal RPC ontogenesis legitimated the widespread use of transgenic animals, mostly mice, as experimental models with high translational capacity and potential clinical relevance. RPC appear in the fetal kidney around embryonic day 16 in mice (gestational week 6 in humans) shortly after the onset of renal vascular development (Celio et al. 1985; Hickmann et al. 2017; Sauter et al. 2008). The renin production is first detected in arcuate arteries and moves next to interlobular arteries and then to the afferent arterioles, i.e., proximal to distal in the growing vascular tree (Fig. 2a). The classic RPC position close to the glomeruli within the wall of terminal afferent arterioles is patterned at the end of nephrogenesis around postpartal day 10 in rodents and gestational week 35 in humans (Graham et al. 1992; Sauter et al. 2008; Sequeira Lopez and Gomez 2011). The RPC in the kidney vessels originate from a subset of cells in the stroma of the metanephric mesenchyme that expresses transcription factor FoxD1 (Pierrou et al. 1994; Lin et al. 2014). In general, the FoxD1 stromal progenitors give rise to intramural and interstitial cells (Hatini et al. 1996; Humphreys et al. 2010; Sequeira Lopez and Gomez 2011). Next to the RPC, renal VSMC, pericytes, and fibroblast-like cells are also of FoxD1 lineage. Therein, cell fate tracing experiments revealed interesting relations (Fig. 2b).

The RPC appear to be exclusively of FoxD1 descent (Gomez and Sequeira-Lopez 2018; Sequeira-Lopez et al. 2015a, b). Within the FoxD1 lineage, the RPC serve as a more differentiated precursor pool giving rise to the JG cells as well as to subpopulations of VSMC and pericytes (Sequeira Lopez et al. 2004). Pericytes are mural cells that stabilize the microvascular tree (Birbrair et al. 2015). In the kidney, mesangial cells of the glomerular capillary tuft and peritubular interstitial cells are regarded as specialized pericyte populations (Stefanska et al. 2013). Thus, in the adult mammalian kidney, a considerable part of the vasculature originates from fetal RPC progenitors. Consistent with the function of RPC as a progenitor pool during kidney development, interference with their intracellular regulatory networks such as Gs- α /cAMP, Notch signaling, or micro-RNA leads to adverse renal phenotype (Castellanos Rivera et al. 2011, 2015; Chen et al. 2010a, 2007; Gomez et al. 2009; Medrano et al. 2012; Neubauer et al. 2009; Sequeira-Lopez et al. 2010). As a rule, intervening in these cellular signaling pathways derails the renin production in RPC. Therefore, it remains difficult to completely separate RAS-specific and RAS-independent aspects of RPC in nephrogenesis. Importantly, two lines of recent experimental data shed light on this issue. First, renin cell ablation and renin

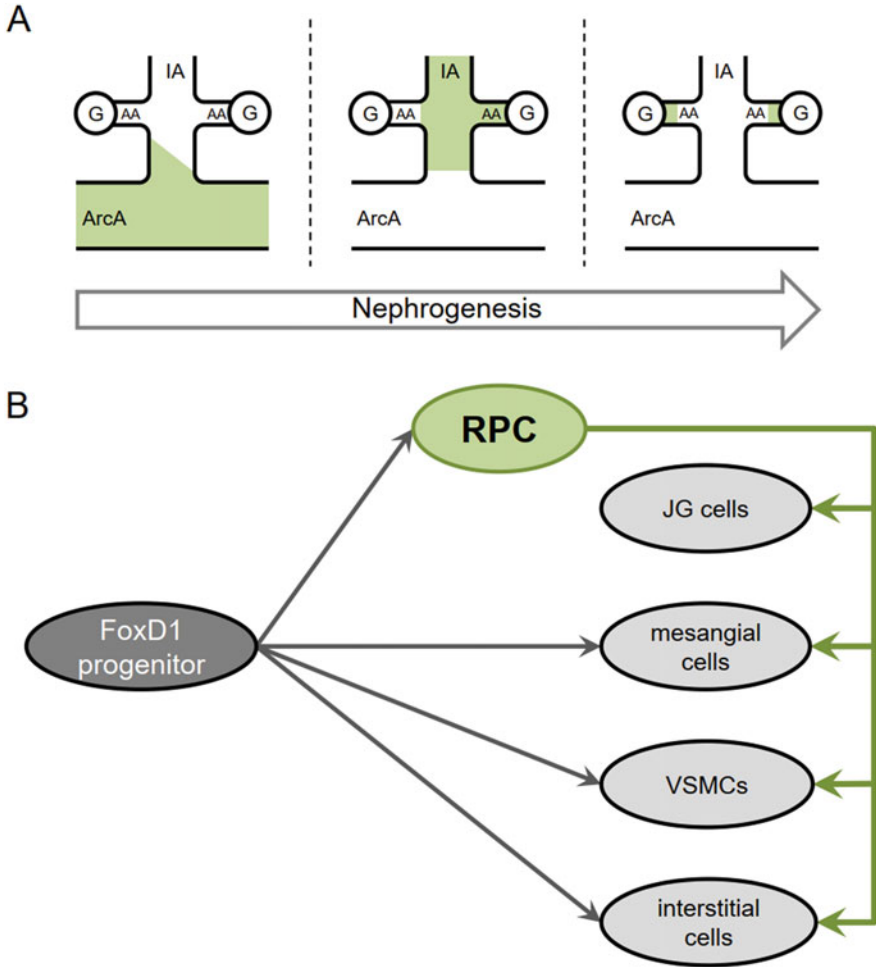


Fig. 2 Renin-producing cells (RPC) in kidney development. **(a)** Localization of RPC (green) in the renal vascular tree at different developmental stages of the maturing kidney. **(b)** RPC as a progenitor niche in nephrogenesis. AA afferent arteriole, IA interlobular artery, ArcA arcuate artery, G glomerulus, JG juxtaglomerular, VSMCs vascular smooth muscle cells

deficiency (renin gene “knockout”) during ontogenesis result in different kidney phenotypes (Oka et al. 2017; Pentz et al. 2004; Sequeira Lopez and Gomez 2011; Takahashi et al. 2005). The most striking difference has been observed in the renal arterioles, which in “classical” renin knockout mice are with narrowed lumen due to hyperplasia of mural cells. Interestingly, these cells represent RPC descendants depleted of renin (Oka et al. 2017). On the other hand, the renal arterioles in mice with embryonic ablation of RPC have a thin vascular wall and perivascular fibrosis (Pentz et al. 2004). Second, activating molecular hypoxic signaling by conditional deletion of von Hippel-Lindau protein in RPC converts them into renin-negative

fibroblast-like erythropoietin (EPO)-producing cells without leading to any further major kidney phenotype (Kurt et al. 2013, 2015). EPO is the master hormone regulating erythropoiesis. In adult mammals, it is produced almost exclusively by interstitial cells in the renal cortex (Bachmann et al. 1993; Kurtz 2017, 2019; Maxwell et al. 1997). The surprising finding that RPC could transform into EPO-expressing cells implicates that during nephrogenesis, at least part of the EPO-producing peritubular pericytes may derive from RPC. This exciting possibility awaits definitive experimental confirmation. Importantly, activating hypoxic molecular pathways in the entire FoxD1 lineage cell pool resulted in renal malformations, indicating that intact oxygen signaling in early embryonic life (before the emergence of renal RPC) is essential for the normal development of the stromal compartment of the adult kidney (Gerl et al. 2017).

Altogether, these findings demonstrated a role of RPC as a progenitor pool during ontogenesis irrespective of renin and RAS activity. At the same time, fine-tuning of the complex functions of RPC is indispensable for proper nephrogenesis.

2.1.2 Adult Kidney

The role of RPC as a progenitor niche during fetal life inspired us to address the possibility if these cells have a similar function in the adult kidney. To this end, we used reversible injury models such as intravenous infusion of anti-mesangial cell serum or renal artery perfusion with concanavalin A/anti-concanavalin A antibody to target primarily the glomeruli in the kidney (Hohenstein et al. 2008; Sradnick et al. 2016; Yo et al. 2003). We concentrated our studies on the regenerative phase after the initial acute damage. This approach was based on the general paradigm that developmental cellular mechanisms, which are active during embryogenesis, may be reenacted to regenerate damaged organs in adulthood. Since RPC serve as progenitors for glomerular cells during nephrogenesis (e.g., mesangial cells; see Sect. 2.1.1) and since glomerulopathies are a primary cause for end-stage renal disease (Jha et al. 2013), we have been focusing on glomerular injury models. We also developed a novel transgenic mouse model by combining Cre-loxP recombination with tet-on system (Schonig et al. 2002; Urlinger et al. 2000) to pulse-label and fate-trace RPC in adulthood (Kessel et al. 2019; Lachmann et al. 2017; Ruhnke et al. 2018; Starke et al. 2015; Steglich et al. 2019).

We first found that during the regenerative phase after initial damage induced by anti-mesangial cell serum, the RPC migrate away from their classical JG position into the diseased glomerulus (Starke et al. 2015). Thereby, the migrating cells differentiate in a way that they lose the renin expression and acquire alpha-8-integrin as well as platelet-derived growth factor receptor-beta proteins which are specific for mesangial cells in the glomerulus. Hence, our hypothesis received experimental confirmation because RPC transdifferentiation in the mature kidney recapitulated nephrogenesis, where mesangial cells originate from RPC (Gomez and Sequeira-Lopez 2018; Sequeira Lopez et al. 2004). However, in adult life, this is a regenerative process observed only after organ damage with cell loss and not under

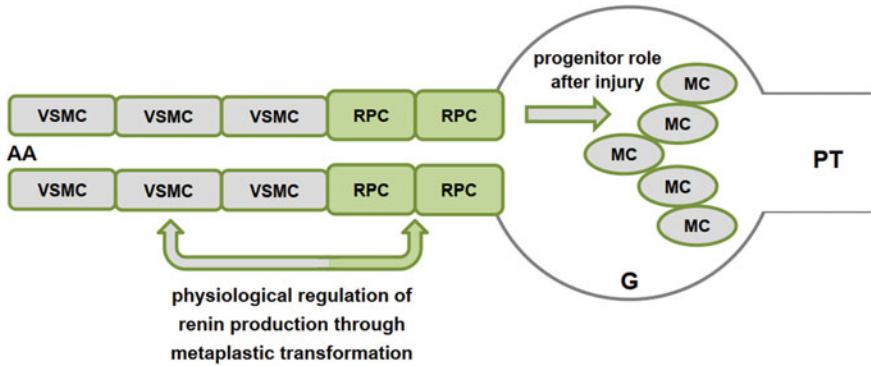


Fig. 3 Plasticity (transdifferentiation) of the renin-producing cells (RPC) in adult kidney recapitulates embryonic patterns. RPC serve as progenitors, which repopulate the glomerulus to replace damaged mesangial cells after injury. RPC could also reversibly switch between secretory and contractile phenotype to regulate renin production under physiological conditions through metaplastic transformation. See also Sects. 2, 2.1.1, and 2.2 and Figs. 2 and 4. AA afferent arteriole, G glomerulus, VSMC vascular smooth muscle cell, MC mesangial cell, PT proximal tubule

physiological conditions. Throughout development and adulthood, the RPC progenitors maintain cell-specific plasticity, i.e., they transdifferentiate to the very same cell types (Fig. 3). Moreover, RPC descendants did not regenerate the endothelium after depletion of glomerular endothelial cells in reversible endothelial cell injury model induced by renal artery perfusion with concanavalin A/anti-concanavalin A antibody (Ruhnke et al. 2018). Instead, RPC transdifferentiated to exclusively replace glomerular mesangial cells, which seemed to be damaged secondary to the endothelial injury.

Other investigators used an alternative transgenic mouse model for inducible labeling and tracing of RPC in a model of focal segmental glomerulosclerosis (Kaverina et al. 2017b; Lichtnekert et al. 2016; Pippin et al. 2015). They found that RPC repopulate the glomerular Bowman's capsule and differentiate not only to mesangial cells but also to podocytes and parietal epithelial (PE) cells. The reasons for the partial discrepancy compared to our results lay most probably on the different animal or injury models used. For instance, the experimental setups we used do not lead to podocyte depletion (Ruhnke et al. 2018). In any case, it is somewhat counterintuitive that RPC could replace damaged podocytes or PE cells because these are not of FoxD1 origin and thus do not belong to the RPC stromal mesenchyme descendants in the adult kidney (see Sect. 3). Therefore, it is plausible to conclude that after severe glomerular injury the progenitor potential of RPC is utilized beyond the developmentally based transdifferentiation programs of cell type-specific regeneration. Thus, the RPC seem to universally protect damaged tissue as a part of integrative stress response of the organism. Anyway, more experimental support is needed to utterly validate this hypothesis.

The communication modes between RPC and (damaged) intraglomerular cells in the mature kidney are still poorly understood. With this regard, it is unlikely that

soluble messenger molecules are transported with blood flow because the RPC are upstream to the glomerulus. Cell-cell contacts are important for the physiological signaling within the JGA, and therefore they may be involved in regenerative signaling (Just et al. 2009; Kurtz 2015; Wagner et al. 2007). Moreover, the RPC are featured by a specific gap junction protein expression signature where connexin 40 is central for their function and morphology (Kurtz et al. 2009; Wagner and Kurtz 2013). Hence, future studies on the role of cell-cell contacts in transmitting signals from damaged glomeruli to the precursor RPC niche in the afferent arterioles are an attractive perspective. However, the advancements in this strategic area of interest are hampered by a deficit of appropriate experimental models. For instance, efforts to identify mesangial-specific gene(s) fail until now, thus making the generation of transgenic animals with selectively targeted mesangial cells impossible.

Immune cells could also be considered as paramount players mediating cues from injured and regenerating glomeruli to RPC. According to the modern concept of necroinflammation, necrotic organ damage inevitably goes together with an inflammatory component (Linkermann 2019; Sarhan et al. 2018). Conversely, inflammation and release of damage-associated molecular patterns (DAMPs) do not only augment tissue damage but also initiate regenerative reactions (Sarhan et al. 2018). These processes are mediated by resident cells of innate immunity like macrophages, dendritic cells, and natural killer cells that orchestrate local and systemic mechanisms with adaptive relevance in host-pathogen interactions, metabolic responses to tissue injury and degeneration, or allogeneic transplantation. Importantly, we and others have demonstrated that the RPC are equipped with the molecular machinery (including tumor necrosis factor receptors, functional NF-kappa B and STAT signaling, etc.) necessary for acquisition and transduction of innate immunity signals like inflammatory cytokines (Baumann et al. 2000; Desch et al. 2012; Liu et al. 2006; Petrovic et al. 1997; Todorov et al. 2002, 2005, 2004). Therefore, we favor the immune-mediated processes as the most feasible mechanism(s) responsible for signal delivery from damaged glomeruli to RPC and vice versa. There is already indirect evidence in support of such an assumption. Thus, both necroinflammation and RPC progenitor function in adulthood are specific for pathophysiological conditions (Linkermann 2019; Ruhnke et al. 2018; Starke et al. 2015).

At present, little is known about how RPC set up to differentiate and migrate upon glomerular damage. First data from us demonstrated that when renin is switched off by interfering with Gs-alpha/cAMP signaling, the RPC descendants produce increased amounts of tissue remodeling factors (Steglich et al. 2019). The latter could loosen cells and potentiate their migration (see also Sect. 2.3). With this regard, proteins involved in the control of cellular mechanics like small GTPases are particularly interesting targets for future studies, since cytoskeleton rearrangement generally accompanies cell motility and differentiation. The transcription factor Wilms' tumor suppressor 1 (WT1), which belongs to this group of proteins and is expressed in RPC, has already been reported to affect their transformation and glomerular migration after podocyte depletion (Kaverina et al. 2017a).

Collectively, although it is now established that the RPC operate as a progenitor cell niche in the adult mammalian kidney, we are still at the onset of exciting findings

that should elaborate the understanding of underlying molecular mechanisms and pathophysiological relevance. Therein large-scale “omics” analyses of the global transcriptional/translational landscape of RPC would be of exceptional importance (Martinez et al. 2018; Steglich et al. 2019; Wang et al. 2018).

2.2 *Neogenesis and Recruitment*

The discovery of a progenitor function of RPC inspired studies on their maintenance as a stable population in adulthood. This issue is also critical with regard to the classical role of RPC in RAS – if upon glomerular injury all JG cells differentiate to renin-negative descendants, RAS would be switched off, thus disturbing the general control of circulation and overall homeostasis. Moreover, (self)renewal is an archetypal feature of stem cell niches (Seaberg and van der Kooy 2003). Therefore, it is somewhat surprising that there is no conclusive data demonstrating proliferation of RPC. Early pulse labeling studies with DNA intercalating agents in the rat anti-Thy1 model indicated that repopulation of the glomerulus during repair after mesangial injury involves migration and proliferation of precursors from the JGA (Hugo et al. 1996, 1997). Recent findings did not show prominent RPC proliferation in an equivalent mouse model (Starke et al. 2015). This is consistent with other studies (Cantin et al. 1977; R. Ariel Gomez, personal communication). In contrast, proliferating RPC have been detected after continuous loading with the DNA intercalating agent bromdesoxyuridin (BrdU) for lineage tracing of dividing cells for up to 27 days (Lichtnekert et al. 2016; Owen et al. 1994). Altogether, these findings inferred that mechanisms beyond proliferation are necessary to maintain the RPC precursor niche, particularly after injury when the JG cells transdifferentiate to replace damaged glomerular cells. Progenitor cell niches (such as the RPC) could also be filled up by differentiating pluripotent stem cells through a process termed neogenesis or de novo differentiation. For RPC (and renin lineage cells in general; see Sect. 3), neogenesis is the process where a cell that is not related to the renin lineage (i.e., never expressed renin before and does not originate from cells that express/ed renin) starts to express renin for a very first time (Hickmann et al. 2017). We were lucky to make use of a versatile transgenic mouse model for neogenesis tracing (Muzumdar et al. 2007; Xiao et al. 2013), which was modified for RPC targeting. During nephrogenesis, RPC emerge almost exclusively via neogenesis (Hickmann et al. 2017). Although proliferation should also play a role in the establishment of the RPC population in the developing kidney, de novo differentiation seems to be the superior mechanism. In adulthood, the RPC neogenesis is featured by three hallmarks: it is intrarenal, lifelong, and regulated (Hickmann et al. 2017). Thus, the kidney appears to be able to effectively preserve and renew a local progenitor cell population. Moreover, the process of RPC neogenesis is counteracted by rate-matched apoptotic cell death, which explains why the adult kidney is not overfilled with RPC (Hickmann et al. 2017). Even more important is the fact that RPC neogenesis is stress-inducible. During injury when RPC repopulate damaged

glomeruli, *de novo* differentiation is upregulated, leading to a moderate increase in RPC number (Hickmann et al. 2017). This mechanism safeguards not only the RPC precursor pool, which is primed to replace the depleted glomerular cells, but also the RAS activity. This is an important aspect demonstrating that the RPC act as a progenitor niche without impeding their classical role within RAS. The origin of the *de novo* differentiated RPC in the adult kidney remains open. We experimentally excluded the option that the renal RPC are bone marrow-derived (Hickmann et al. 2017). FoxD1-positive precursors are the source for RPC during development (see Sect. 2.1.1), but FoxD1 is not expressed in the mature kidney (Humphreys et al. 2010). Preliminary observations from us suggest a pericyte origin for new RPC after nephrogenesis is finished, but more studies are needed to identify the adult precursor renal niche for RPC.

Increased *de novo* differentiation of RPC has also been observed in a physiological model of decreased blood pressure (Hickmann et al. 2017). Arterial hypotension is the main stimulus for renin production, and the renin production is scaled up and down by changing the number of RPC, rather than the renin expression rate in the single cell (Castrop et al. 2010; Hackenthal et al. 1990; Rasch et al. 1998). The adjustment of RPC number to the functional status of the organism is a versatile process termed recruitment or metaplastic transformation (see Sect. 2). Recruitment is one of the early described physiological manifestations of RPC plasticity in the adult mammalian kidney (Cantin et al. 1977). It represents a reversible phenotypic switch between VSMC and RPC in the wall of the afferent arterioles upstream to their JG parts. Lineage tracing revealed that the switching VSMC in the afferent arterioles originate from RPC during nephrogenesis meaning that the recruitment is a recapitulation of a developmental process (Gomez et al. 2014; Kurt and Kurtz 2015; Martini and Danser 2017; Sequeira Lopez et al. 2004) in adulthood (see Sect. 2.1.1). It should be emphasized that recruitment is not to be mixed up with neogenesis although both are hallmarks of RPC plasticity. Recruitment (metaplastic transformation) is a reversible phenotype change of a cell within the developmental RPC pool (referred also in Figs. 2 and 3), while neogenesis (*de novo* differentiation) is the differentiation of a cell to join the renin lineage (Fig. 4a; see the definition of neogenesis above in this section). In addition, neogenesis is involved primarily in RPC renewal and progenitor function after injury, whereas recruitment is responsible for the physiological control of renin production and RAS activity (Fig. 4b; see also Fig. 3).

Thus, the RPC are not only featured by plasticity but also represent a dynamic population predestined to be involved in complex physiological and pathophysiological processes.

Antihypertensive drugs and particularly RAS inhibitors boost the renin production and the RPC count (due to negative feedback within RAS linking blood pressure to renin expression) demonstrating that recruitment and neogenesis could be targeted by therapeutic strategies (Azizi and Menard 2004; Hickmann et al. 2017; Mooser et al. 1990; Nussberger et al. 2007; Pugh et al. 2019). Although an increased number of RPC correlates with the renoprotective effect of the RAS inhibitors, it is still

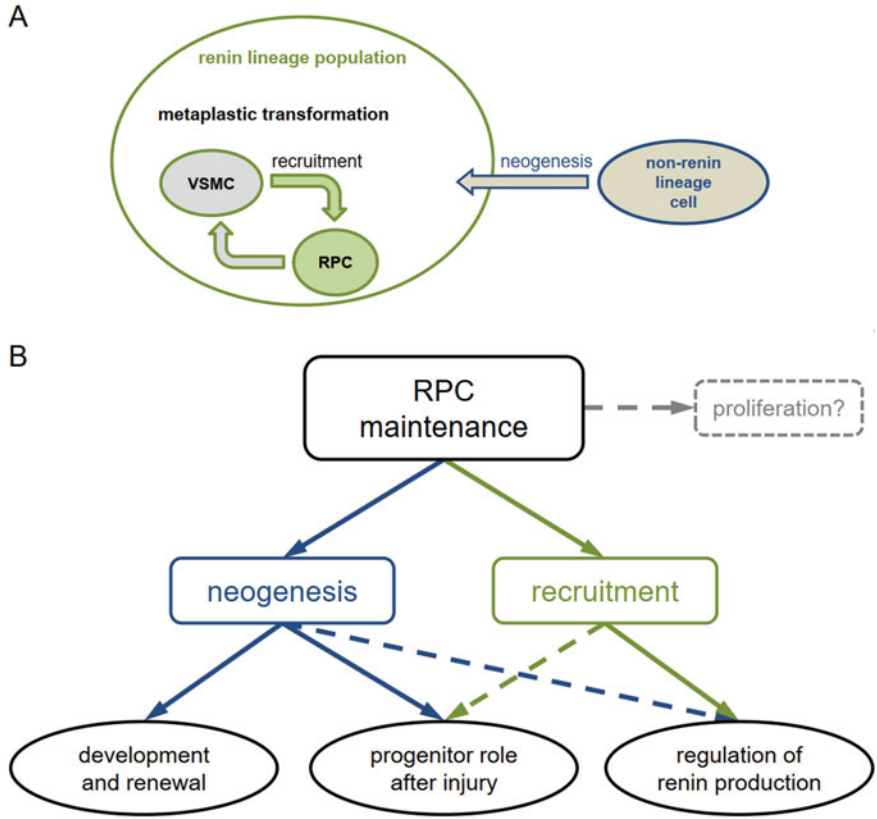


Fig. 4 Recruitment and neogenesis of renin-producing cells (RPC). **(a)** Schematic presentation of the difference between recruitment and neogenesis. Metaplastic transformation is a phenotype switch within the developmental RPC pool (see also Fig. 2) where recruitment specifically refers to the transformation of VSMC into RPC. In neogenesis, an unrelated cell enters the renin lineage population by starting to express renin for the first time (see also Sect. 3). **(b)** Role of recruitment and neogenesis in the maintenance of the RPC population. The role of proliferation therein is not well understood. Dashed arrows represent secondary role. *VSMC* vascular smooth muscle cell

controversial whether this increase might be beneficial (NB! only when RAS activity is inhibited in terms of AT1-dependent Ang II effects) or not (Moriwa et al. 2013).

2.3 Protection of Renal Microvascular Endothelium

Lately, our studies revealed that RPC are quite surprisingly involved in the maintenance of renal capillary endothelium in adulthood. This novel function of RPC has been discovered when characterizing an inducible transgenic model with compromised Gs-alpha/cAMP signaling pathway (Lachmann et al. 2017).

Gs-alpha is the stimulatory subunit of membrane receptor coupled trimeric G-proteins (Spiegel et al. 1992; Weinstein et al. 2001). It catalyzes the generation of cAMP upon ligand binding to the associated receptor. The RPC are equipped with Gs-alpha coupled IP/EP2/EP4 and beta-adrenergic receptors mediating effects of prostanoids and catecholamines, respectively (Boivin et al. 2001; Churchill et al. 1983; Facemire et al. 2011; Friis et al. 2005; Jensen et al. 1996; Karger et al. 2018; Kim et al. 2007; Schweda et al. 2004). Blood pressure fluctuations seem to modulate renal prostanoid production and sympathetic nerve activity to regulate renin production by adjusting the RPC number (Chen et al. 2010b; Kim et al. 2012). Therefore, Gs-alpha/cAMP signaling is central for renin synthesis and secretion, thus essentially determining the RPC phenotype (see also Sect. 2.1.1). It was predictable that the induction of Gs-alpha knockout in RPC of adult mice would result in renin deficiency and arterial hypotension (Kessel et al. 2019; Lachmann et al. 2017). However, these changes were transient because the drop in blood pressure served as a stimulus to recruit RPC in upstream parts of the afferent arterioles (see Sect. 2.2) that were not affected by the pulse induction of the Gs-alpha knockout. Although normal renin production and blood pressure persisted after these initial fluctuations, the JG-specific Gs-alpha-deficient mice developed chronic kidney disease. The adverse renal phenotype most unexpectedly included microvascular endothelial damage featured by morphological and systemic signs of thrombotic microangiopathy (Benz and Amann 2010; Lachmann et al. 2017). Remarkably, kidney injury developed on the background of normalized renin production, indicating that RPC exhibit protective effects on renal microvascular endothelium-independent on their role in the control of RAS activity (Lachmann et al. 2017). The mode of action of RPC on endothelial cells is multifaceted, and the implicated interactions are just beginning to be unraveled. One mechanism involves the production of angiogenic factors by RPC, which has been displayed in several studies (Brunskill et al. 2011; Haltia et al. 1996; Lachmann et al. 2017; Sequeira Lopez and Gomez 2011). Within the RPC-derived proangiogenic cues, vascular endothelial growth factor (VEGF) appears to be particularly relevant for two reasons. First, both pharmacological inhibition and genetically induced intrarenal deficiency of VEGF result in thrombotic microangiopathy (Eremina et al. 2008; Sison et al. 2010). Second, VEGF is a cAMP-regulated gene and its expression in RPC is induced by cAMP (Bradbury et al. 2005; Han et al. 2013; Lachmann et al. 2017). Another factor contributing to the proangiogenic capacity of RPC appears to be their secretory phenotype per se. This conclusion is also based on findings in adult RPC-specific Gs-alpha-deficient mice, where the RPC have been found to persist after Gs-alpha knockout as renin-negative cells (Steglich et al. 2019). In the persisting RPC progeny, a pool of 16 genes involved in tissue remodeling with vascular damage and fibrosis including collagens and TGF-beta were upregulated. Simultaneously, genes conferring the identity of RPC such as renin itself, connexin 40, and aldo-keto reductase family 1 member B7 (Akr1b7) was downregulated. Ablation of RPC resulted in renin deficiency but failed to produce any sign of endothelial injury (Steglich et al. 2019). This observation additionally confirmed that Gs-alpha-negative RPC descendants are necessary for the development of endothelial dysfunction.

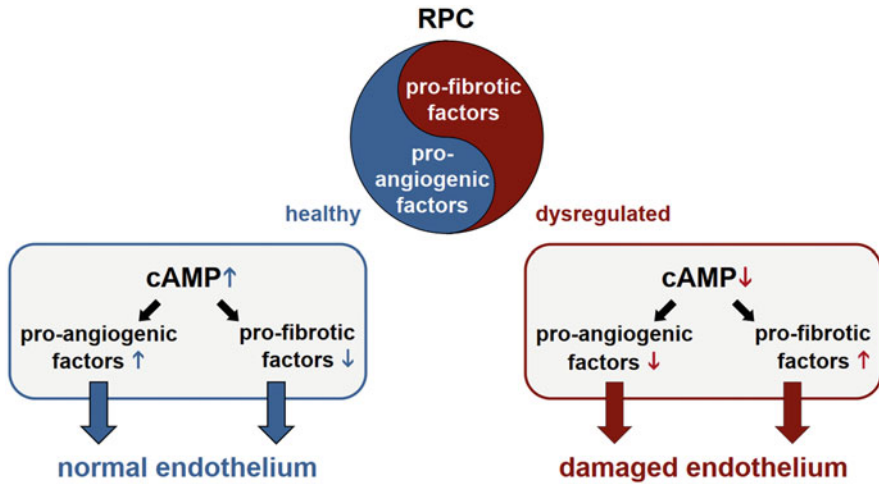


Fig. 5 Current view on the non-canonical effects of renin-producing cells (RPC) on renal microvascular endothelium

In total, the inactivation of Gs- α /cAMP signaling seems to switch the RPC phenotype from “protective/secretory” to “damaging/profibrotic” (Fig. 5).

The discovery of a protective role of RPC on renal microvasculature elicits some very exciting questions to be addressed in the future. As discussed above in this section, RPC recruitment is a cAMP-regulated process. Therefore, it would be interesting to know whether the recruitable VSMC in the afferent arterioles may exert profibrotic remodeling effects when not switched to the renin-producing phenotype. It is now established that renal VSMC are of heterogeneous embryonic origin (see Sect. 2.1.1) implying that RPC-derived and non-RPC-derived VSMC may have different functional characteristics. Vice versa, a decrease of RPC number when renin production is suppressed, for instance, in some forms of arterial hypertension, could be an independent risk factor for renal damage. Extrapolating these considerations would suggest that the renoprotective effects of pharmacological RAS inhibitors rely on cAMP-dependent RPC recruitment on top of the Ang II antagonism. Therefore, discoveries of further mechanisms involved in the complex interactions between RPC and kidney microvasculature with potential pathophysiological and therapeutic significance are anticipated.

3 Renin Lineage Cells

Studies in adult mice, rats, and humans unequivocally demonstrated that many organs and specialized tissues express renin mRNA albeit to a much lesser level than the JG cells. The non-JG renin-expressing cells are part of local or tissue-specific RASs (Paul et al. 2006). However, the JG cells appear to be the sole source

for plasma renin because circulating renin disappears after bilateral nephrectomy (Fordis et al. 1983; Hannon et al. 1969; Katz et al. 1997). The generation of renin from its inactive precursor prorenin upon binding to the prorenin receptor does not essentially contribute to the plasma renin activity (Nguyen et al. 2014, 2002; Rosendahl et al. 2014).

Systemic and local RASs functionally integrate into concerted Ang II-mediated effects in a way that the local RASs modulate the action of systemic RAS (Paul et al. 2006). Accordingly, fate-tracing experiments demonstrated that a marked number of cells in kidneys, spleen, bone marrow, and endocrine pancreas of the adult organism could be attributed to the renin lineage (Belyea et al. 2014; Desch et al. 2011; Glenn et al. 2014; Hickmann et al. 2017; Sequeira Lopez et al. 2004). These findings prompted the definition of a renin lineage cell (RLC) population which comprises all cells collectively featured by the expression of renin gene and/or the presence of a renin-expressing cell in their ancestry (Hickmann et al. 2017). The existence of local RAS infers that RLC build a huge population spread throughout the whole body. Such a conclusion appears to diverge from the paradigm that the JG cells are the sole RPC niche in adulthood. Indeed, there is still some controversy on the systemic availability of renin from source(s) other than the JG cells. The current view is a kind of reasonable compromise postulating that in certain diseases such as diabetes mellitus, high-grade obesity, or some subsets of arterial hypertension, renin from non-JG origin would be of pathophysiological relevance. The major issue provoking conflicting interpretations is that with very few exceptions such as pituitary, adrenal glands, or testes (Lee et al. 2005; Naruse et al. 1985), renin protein is detectable exclusively in JG cells of the kidney. The JG cells are the only cell type in the adult organism equipped with molecular machinery to store renin in secretory vesicles, which greatly facilitates their identification as renin-positive cells (Hackenthal et al. 1990; Lacasse et al. 1985; Mendez 2014; Taugner et al. 1984, 1985). In all other renin-expressing cell types, the translated (pro)renin protein is constitutively sequestered to the extracellular compartment. Thus, the lack of secretory granules together with the much lower renin mRNA expression provides a reasonable explanation for why renin is hardly observed in non-JG cells.

What about RAS independent functions for RLC? As already discussed in Sect. 2.1.1, the progenitor RPC in the kidney, which should be regarded as a subpopulation within the RLC pool, give rise not only to JG cells but also to further mural cells in the mature kidney. However, lineage-tracing experiments reproducibly demonstrated that cells in the Bowman's capsule (PE cells), proximal tubules, and collecting ducts of the adult kidney are also mapped to the renin lineage (Hickmann et al. 2017; Sequeira-Lopez et al. 2004, 2015b). Altogether, the renal RLC represent around 10% of the renal cell mass in adult mice, and it is feasible to extrapolate this data to humans. Similar to RPC, the RLC are also maintained by neogenesis balanced by apoptosis, and thus they represent a dynamic population persisting throughout adult life (Hickmann et al. 2017). Therefore, RLC appear to be fundamental for the morphological architecture of the adult mammalian kidney. On the other hand, the renal RLC are not a homogeneous population with regard to their embryonic origin. RPC and their descendants originate from FoxD1-expressing cells

in the stromal compartment of the metanephric mesenchyme (see Fig. 2b and Sect. 2.1), PE cells and proximal tubules from the core layer of the metanephric mesenchyme (known as cap mesenchyme), and collecting ducts from the ureteric bud (Humphreys et al. 2010; Kobayashi et al. 2008; Kress et al. 1990; Nelson et al. 1998; Srinivas et al. 1999). The most probable scenario for the development of FoxD1-unrelated renal RLC is that the renin gene is switched on in their transcriptional programs at some time point of embryonic differentiation. The functional importance of this event remains elusive. Tubular renin is dispensable during nephrogenesis (Sequeira-Lopez et al. 2015b). The role of collecting duct as a source of renin in diabetes mellitus and hypertensive disease is disputed (Gonzalez et al. 2011; Liu et al. 2011; Prieto-Carrasquero et al. 2004, 2005, 2009; Ramkumar et al. 2014; Song et al. 2016; Tang et al. 2019).

Taking into account that local RAS are ubiquitous, extrarenal RLC are still extremely underrepresented in current research. Such status-quo is quite amazing because the few available studies on non-kidney RLC yielded very exciting results. Brain RLC seem to protect from arterial hypertension. The mechanistic explanation is that in brain RLC a specific renin isoform is transcribed from an alternative start codon producing a truncated protein, which remains intracellularly and controls neurotransmitter release (Shinohara et al. 2016). Unfortunately, there are no studies focusing on a putative role of brain RLC after injury in the central nervous system.

RLC are detectable in the bone marrow, peripheral blood, and spleen (Belyea et al. 2014), where they are also maintained by neogenesis (Hickmann et al. 2017). The majority of the immune system RLC are B-lymphocytes. Defects in the developmental transcriptional program induced by RLC-specific knockout of the Notch pathway transcriptional effector RBP-J (recombination signal binding protein for immunoglobulin kappa J region) or p53 and retinoblastoma protein (Rb) result in B-cell leukemia or pancreatic neuroendocrine carcinoma, respectively (Belyea et al. 2014; Glenn et al. 2014). These findings imply that the embryonic differentiation of extrarenal RLC is under tight control to ensure proper organogenesis. At present, further roles for RLC in adulthood are not known.

4 Future Perspectives

This review tells a story where after entering the textbooks in the context of RAS, nowadays the renal RPC revive as a hot topic in research for their novel RAS-independent functions. Progenitor and protective features are the main aspects delineating the new non-canonical profile of RPC (see also Fig. 1). While already expanding the paradigm, the novel insights on RPC summarized above provide also an inspiration for future work. The questions to be addressed could be grouped into three prospective experimental pipelines:

1. Deciphering the molecular “motors” driving the complex protective activity of renal RPC in health and in response to tissue injury

2. Characterization of the RPC niche as a dynamic population with regard to origin, refilling and cell death
3. Unravelling the role of RLC beyond RAS

The last group includes several independent intriguing aspects. One is the role of renin in RLC – is it simply an eponymous marker or it has some non-conventional function(s)? Since renin is a protease, we hypothesize that it might be involved in the remodeling of neighboring tissue by cleavage of extracellular matrix proteins, which is a fundamental process in organ growth and regeneration. Support for RAS-unrelated protease activity of renin provided the recent observation that it activates the complement cascade by triggering C3 proteolysis (Bekassy et al. 2018). Another aspect is the idea that RLC represent stress-inducible stem cells (SISC). SISC have been recently defined as a subpopulation of progenitor cells that are particularly prone to stress-related signals (Bornstein et al. 2019). SISC are featured by coordinated signaling pathways and transcriptional signature, which enable them to be an efficient integrative part of general adaptive stress responses in the entire organism. Accordingly, in distress situations, SISC function would be dysregulated leading to maladaptive reactions and disease. It has been postulated that SISC are ubiquitous and should be present in virtually any organ and specialized tissue. Nestin and Notch1 serve as general markers of SISC populations. RLC fulfill all these criteria thus perfectly fitting into the definition for SISC (Hanner et al. 2008) (see also this section above). Stress signals influencing RLC and particularly renal RPC include acute drop in arterial blood pressure (e.g., due to hemorrhage), chronic salt depletion, extreme dehydration, hyperactivation of the sympathetic nervous system by environmental natural and social factors, etc. Therefore, it is feasible to assume that RLC build at least part of the SISC niche and that the renin lineage might be one further universal SISC marker. Being an attractive unifying concept, the presence of interrelated organ- and tissue-specific RLC (including RPC) with coordinated stress-regulated functions during development and adulthood awaits empirical support. Since transgenic animal models applicable in experiments addressing the issues formulated above already exist, a further expansion of our knowledge on renal RPC and RLC is pending.

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Role of ASIC1a in Normal and Pathological Synaptic Plasticity



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Abstract Acid-sensing ion channels (ASICs), members of the degenerin/epithelial Na⁺ channel superfamily, are broadly distributed in the mammalian nervous system where they play important roles in a variety of physiological processes, including neurotransmission and memory-related behaviors. In the last few years, we and others have investigated the role of ASIC1a in different forms of synaptic plasticity especially in the CA1 area of the hippocampus. This review summarizes the latest research linking ASIC1a to synaptic function either in physiological or pathological

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conditions. A better understanding of how these channels are regulated in brain circuitries relevant to synaptic plasticity and memory may offer novel targets for pharmacological intervention in neuropsychiatric and neurological disorders.

Keywords Acid-sensing ion channel · LTD · LTP · Synaptic transmission

Abbreviations

AD	Alzheimer's disease
ASICs	Acid-sensing ion channels
CNS	Central nervous system
EAE	Experimental autoimmune encephalomyelitis
EPSCs	Excitatory post-synaptic currents
LTD	Long-term depression
LTP	Long-term potentiation
MS	Multiple sclerosis
NAc	Nucleus accumbens
NMDA	N-methyl-D-aspartate
PcTx1	Psalmotoxin-1

1 Introduction

The first evidence that protons (H^+) act on specific receptors was observed in 1981 by Krishtal and Pidoplichko. An inward current mediated by Na^+ ions in response to rapid external pH change lower than 7.4 was recorded in sensory neurons (Krishtal and Pidoplichko 1981a, b).

In 1997 the channel was cloned for the first time by Waldmann and colleagues and has been termed acid-sensing ion channel (ASIC) (Waldmann et al. 1997).

More studies subsequently have investigated expression, structure, pharmacology, and the role of ASIC channels (ASICs) in physiology and pathology.

In the past, the presence of protons in the synaptic vesicles storing neurotransmitter was a well-known physiological condition (Anderson and Orci 1988; Liu and Edwards 1997; Miesenbock et al. 1998). During neural activity, protons, which are co-released with neurotransmitters, promote the acidification of synaptic cleft (Palmer et al. 2003; Hnasko and Edwards 2012) and this fostered the idea that protons can act as transmitters. Indeed, it is now recognized that extracellular acidification occurs in different physiological states such as basal synaptic transmission and plasticity (Chesler and Kaila 1992; Trapp et al. 1996; Chesler 2003; Kim and Trussell 2009; Magnotta et al. 2012).

However, the binding of protons to ASICs produces only a very small inward current which is difficult to detect, and this explains why proton-mediated currents

were not considered in neurotransmission for a long time (Alvarez De La Rosa et al. 2003; Du et al. 2014; Kreple et al. 2014; Mango and Nisticò 2019).

Nowadays it has been widely demonstrated that ASICs play a role in synaptic transmission, neuroplasticity, and also in learning, memory, and fear responses (Wemmie et al. 2002, 2003; Chu and Xiong 2012; Huang et al. 2015). Moreover, many studies have shown that ASICs are implicated in different pathological conditions, including ischemic stroke (Chu and Xiong 2012), epileptic seizures (Ziemann et al. 2008), autoimmune encephalomyelitis (Friese et al. 2007), and Alzheimer's disease (AD) (Mango and Nisticò 2018).

In the present work we will first provide a general overview of ASIC1a and then describe the role of ASIC1a in synaptic plasticity under normal and pathological conditions.

2 ASIC1a Structure and Location

ASICs belong to the superfamily of degenerin/epithelial sodium channel (Deg/ENaC) (Waldmann et al. 1997; Bianchi and Driscoll 2002; Krishtal 2003), which are expressed abundantly in the nervous system (Noel et al. 2010; Sluka et al. 2009).

ASICs are voltage-insensitive, chemically gated, ion channels which are blocked, non-selectively, by amiloride (Krishtal and Pidoplichko 1981a, b; Waldmann et al. 1997; Kellenberger and Schild 2015).

Six different mammalian protein subunits have been cloned (ASIC1a, ASIC1b, ASIC2a, ASIC2b, ASIC3, and ASIC4), among which, the “a” and “b” represent two splice variants for ASIC1 and ASIC2 genes (Waldmann et al. 1997; Chen et al. 1998; Bässler et al. 2001). Each ASIC subunit has two transmembrane domains. Functional ASIC channels can be assembled from either homomeric or heteromeric subunit combinations to form functional channels (Krishtal 2003; Gonzales et al. 2009; Jasti et al. 2007; Babinski et al. 1999; Baron et al. 2001; Bassilana et al. 1997; Chu et al. 2004; Hesselager et al. 2004; Voilley et al. 2001; Waldmann and Lazdunski 1998). These channels are activated by acidic pH that mediates a Na⁺ or Ca²⁺ inward current that induces neuronal depolarization (Deval et al. 2003; Vukicevic and Kellenberger 2004; Poirot et al. 2006) and are sensitive to blockade with amiloride.

ASIC1a, ASIC2, and ASIC4 subunits are mainly found in the central nervous system (CNS) (Wemmie et al. 2003; Coryell et al. 2009; Price et al. 2014), while ASIC3 are more common in sensory neurons of the spinal cord and ganglia (Waldmann et al. 1997; Deval and Lingueglia 2015).

ASIC1a is the most abundant form expressed in the mammalian CNS where it is mainly found in hippocampus, amygdala, cingulate cortex, somatosensorial cortex, and striatum (Alvarez De La Rosa et al. 2003; Wemmie et al. 2003; Coryell et al. 2009).

ASIC1a localization is predominant in the soma region and in the dendritic spines of neurons where they play a role in modulating their number and density (Alvarez De La Rosa et al. 2003; Xiang-ming et al. 2006). Here, ASIC1a subunit allows Ca^{2+} influx making it of strong interest for neuronal processes in which calcium plays a key role (Waldmann et al. 1997; Bässler et al. 2001; Boillat et al. 2014) such as learning, memory, synaptic transmission, and plasticity (Wemmie et al. 2002).

3 Experimental Tools to Investigate ASIC1a

Ligands of ASIC1a were identified in the last 20 years and consist in endogenous modulators, animal toxins, and chemicals.

3.1 Endogenous Modulators

The endogenous polyamine *spermine*, which is abundantly present in the brain where it potentiates the action of N-methyl-D-aspartate (NMDA) at micromolar concentrations, is able to increase sensitization of ASIC1a-mediated currents (Babini et al. 2002; Duan et al. 2011). Notably, also *histamine* was found to potentiate ASIC1a current (Nagaeva et al. 2016). Also some ligands of histamine receptors show significant potentiation of ASIC1a currents and should therefore be considered ASIC modulators (Shteinikov et al. 2017).

3.2 Polypeptide Toxins

The first selective and potent pharmacological tool to study ASIC1a was isolated from *Psalmopoeus cambridgei* tarantula venom. Psalmotoxin-1 (PcTx1) is a polypeptide toxin that specifically inhibits homomeric ASIC1a with an IC_{50} of ~ 1 nM (Escoubas et al. 2000).

MitTx is a non-covalent heterodimeric peptide isolated from the venom of the *Micrurus tener tener* snake, whose neurotoxic venom can produce extreme pain, and even death (Bohlen et al. 2011).

The *mambalgins* are a family of peptides isolated from the venom of several *Dendroaspis* mamba snakes. Mambalgin-1 is a potent and selective blocker of ASIC1a ($\text{IC}_{50} = 55$ nM) having no effect on ASIC2a, ASIC3, ASIC1a+ASIC3, and ASIC1b+ASIC3 channels (Diochot et al. 2012).

3.3 Chemicals

Many compounds targeting ASIC have been identified so far. The first is amiloride, a classic potassium-sparing diuretic, which also acts as a reversible ASIC inhibitor with IC_{50} values in the low micromolar range (Kleyman and Cragoe 1988; Schild et al. 1997).

Several non-steroidal anti-inflammatory drugs (NSAIDs) affect ASIC1a function (Voilley et al. 2001).

Flurbiprofen and ibuprofen are not particularly potent (IC_{50} ~350 μ M) but are selective for ASIC1a versus the other ASIC channels (Voilley et al. 2001).

Our group has demonstrated that CHF5074 (also called CSP-1103), a derivative of flurbiprofen, can also induce a potent inhibition of ASIC1a-mediated currents induced by application of pH 5.5 bath medium (IC_{50} ~50 nM) (Mango et al. 2014).

Diminazene, drug used to treat trypanosomiasis, was able to inhibit ASIC1a by blocking the ion pore (Krauson et al. 2018).

PPC-5650 is a novel selective antagonist of ASIC1a (IC_{50} 500 nM) that reduces hyperalgesia in the human inflammatory model of pain (Dube et al. 2009). It is currently being tested in clinical trials for the treatment of irritable bowel syndrome, characterized by chronic abdominal pain (Olesen et al. 2015).

NS-383 is a small molecule with a potent and selective inhibitory activity on ASIC1a that has been shown to reduce neuropathic hyperalgesia in rats (IC_{50} ~0.44 μ M) (Munro et al. 2016).

Finally, ASC06-IgG1 is a novel potent antibody with selective ASIC1a inhibitor activity (IC_{50} ~0.85 nM) that has been shown to protect cells from acid-mediated death (Qiang et al. 2018).

3.4 ASIC Knockout Mice

ASIC knockout mice were generated in 1996 by deleting a region of genomic DNA encoding the first 121 amino acids of ASIC α (Price et al. 1996). ASIC1 null mice show normal viability, fertility, life span, motor, and behavioral activity (Wemmie et al. 2002). Recently, floxed ASIC1a mice were generated. This mice model lacks selectively ASIC1a gene in the nervous system (Wu et al. 2013) and seemingly shows a normal phenotype.

4 Role of ASIC1a in Normal Synaptic Plasticity

Synaptic plasticity refers to the ability of synapses to modify their strength in response to experience (Bliss and Collingridge 1993). ASIC1a current has been implicated in the two major forms of synaptic plasticity, namely long-term

Table 1 The table summarizes relevant data relative ASIC1a role in synaptic plasticity in different brain areas

Brain area	Tools	Synaptic plasticity			Literature
<i>Amygdala</i>	ASIC ko	↓ LTP	–	–	Du et al. (2014)
	ASIC ko	↓ LTP	–	–	Chiang et al. (2015)
<i>Cortex</i>	PcTx1/ASIC ko	↓ LTP	n.c. LTD	n.c. AMPA/NMDA ratio	Li et al. (2019)
	PcTx/ASIC ko	n.c. LTP	↓ LTD	–	Li et al. (2016)
<i>Hippocampus</i>	PcTx1	–	↓ LTD	–	Mango and Nisticò (2019)
	ASIC ko	↓ LTP	–	–	Wemmie et al. (2002)
	PcTx1 + A β	–	↓ LTD	–	Mango and Nisticò (2018)
	PcTx1	–	↓ LTD	–	Mango et al. (2017)
	5b	↓ LTP	–	–	Buta et al. (2015)
	PcTx1/ASIC ko	↓ LTP	n.c. LTD	–	Liu et al. (2016)
<i>Striatum</i>	ASIC ko	–	–	↑ AMPA/NMDA ratio	Yu et al. (2018)
	ASIC ko + cocaine	–	–	↓ AMPA/NMDA ratio	Kreple et al. (2014)

Electrophysiological readouts include long-term potentiation (LTP), long-term depression (LTD), and AMPA/NMDA ratio. For each result, we report the principal references. *n.c.* no change

potentiation (LTP) and long-term depression (LTD). These synaptic events have been mainly investigated in discrete brain regions such as hippocampus, striatum, amygdala, and cortex. Other studies also investigated the AMPA/NMDA ratio which represents a functional measure of the relative expression of AMPAR and NMDAR at the synapse (Mango et al. 2017; Yu et al. 2018; Wang et al. 2018; Li et al. 2019) (see Table 1). This review focuses primarily on the role of ASICs in hippocampal synaptic plasticity, even though novel functions are emerging in other brain areas. In particular, in the striatum, ASIC1a facilitates excitatory synaptic function which underlies striatum-mediated plasticity and procedural learning and memory (Yu et al. 2018). Moreover, in the hippocampal-prefrontal circuitry, ASIC1a contributes to extinction-driven plasticity through the modulation of NMDA receptor function (Wang et al. 2018). Finally, in the anterior cingulate cortex, ASIC1a mediates synaptic potentiation critically modulating pain hypersensitivity, thus highlighting ASIC1a as a potential target for the treatment of chronic pain (Li et al. 2019).

4.1 Long-Term Potentiation (LTP)

Consistent with the robust expression of ASIC1a in amygdala and hippocampus (Wemmie et al. 2002, 2003; Poirot et al. 2006), mice lacking ASIC1 exhibit impairment in multiple fear-related behaviors enclosing acquisition and retention of conditioned fear (Wemmie et al. 2003; Coryell et al. 2007). On the contrary, a

transgenic mice overexpressing ASIC1 displays increased context fear conditioning (Wemmie et al. 2004). Also, Chiang and colleagues (2015) have demonstrated that fear learning requires ASIC-dependent LTP at amygdala synapses.

Controversial observations on the role of ASIC1a in hippocampal LTP are present in the literature. Indeed, Wemmie and colleagues were the first to demonstrate that ASIC1a is required for LTP (Wemmie et al. 2002). They have shown an impairment of LTP in brain slices obtained from ASIC1a KO mice. However, these results were not confirmed in a subsequent study using CRE-mediated knockout of ASIC1a mice (Wu et al. 2013).

In addition, subsequent studies using different electrophysiological approaches suggested a contribution of ASIC1a to hippocampal LTP (Buta et al. 2015; Liu et al. 2016). These different results suggest that system and experimental conditions, including electrophysiological approach, animal age, recording temperature, and concentration of the ASIC1a blocker used, are important to detect and to expose the contribution of the ASIC1a component. Indeed, the evidences on the role of ASIC1a in hippocampal LTP are still contentious and elusive.

Following these studies, it has been suggested that ASIC1a facilitates the activation of the NMDA receptor occurring in LTP induction, suggesting a functional interplay between these receptors in the regulation of hippocampal synaptic plasticity (Du et al. 2014; Wemmie et al. 2002; Buta et al. 2015; Liu et al. 2016).

It is possible to assume that ASIC1a facilitates neuronal depolarization especially during high frequency stimulation and this may promote the opening of NMDA receptors which function as the induction trigger of hippocampal LTP (Collingridge et al. 1983). However, other studies are needed to better understand the role of ASIC1a channel in hippocampal synaptic plasticity.

4.2 Long-Term Depression (LTD)

In the past years, our group has investigated the possible contribution of ASIC1a in synaptic transmission and two different forms of hippocampal LTD, the metabotropic glutamate (mGlu)- and NMDA receptor-dependent LTD (Mango and Nisticò 2019; Mango et al. 2017) (Fig. 1).

In order to assess whether ASIC1 is involved in basal excitatory transmission, we recorded excitatory post-synaptic currents (EPSCs) elicited by stimulation of the Schaffer collaterals fibers. As expected, application of the ionotropic glutamate receptor antagonists CNQX and D-AP5 strongly decreased EPSC amplitude. In this condition, the residual current was further reduced by application of PcTx1 (100 ng/ml). The amplitude of the isolated ASIC1a-mediated current is very small, yet significant (Fig. 1a).

We have also shown the role of ASIC1a in the modulation of group I mGlu receptor-dependent synaptic plasticity in juvenile and adult mice. Indeed, we demonstrated that in slices obtained from early adult mice (P30-P40), PcTx1 was able to

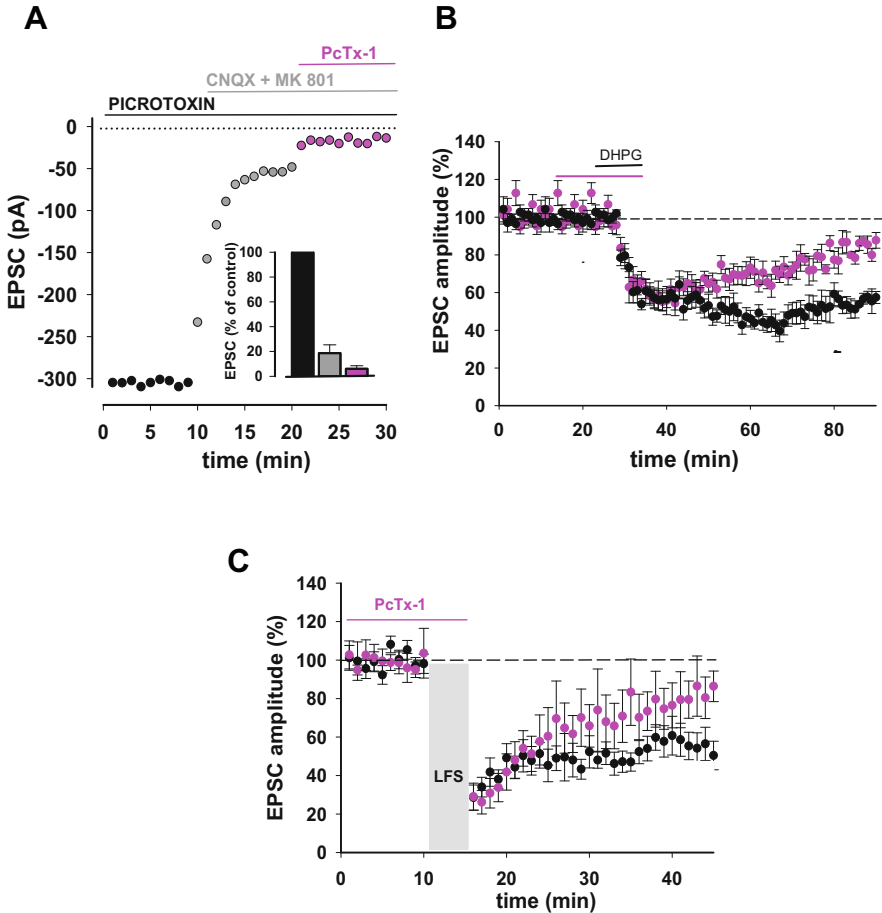


Fig. 1 ASIC1a contributes to basal excitatory transmission and modulates different forms of LTD in CA1 of hippocampus. (a) Isolated ASIC1a current elicited by stimulation of the Schaffer collaterals fibers in the presence of selective receptors antagonists. (b) Normalized pooled data showing mGlu receptor-dependent LTD in control condition and in the presence of PcTx1. (c) Normalized pooled data showing NMDA receptor-dependent LTD in control condition and in the presence of PcTx1

reduce, in a concentration-dependent manner, the induction of DHPG-dependent LTD (Fig. 1b).

Using a standard post-synaptic-enriched biochemical preparation, we found that the levels of p-GluA1/GluA1 ratio were significantly increased upon DHPG treatment compared to control, and that PcTx1 was able to reverse the DHPG-mediated increase in phospho-GluA1/GluA1 ratio (Mango et al. 2017). Overall, these results indicate ASIC1a is able to regulate post-synaptic AMPA-GluA1 subunit phosphorylation, suggesting that a functional interplay between ASIC1a and AMPA receptors

might occur during mGlu receptor LTD, even though the exact mechanisms remain to be clarified.

Next, to shed some light on the role of ASIC1a in another form of synaptic plasticity, we have performed an electrophysiological study to explore the involvement of ASIC1a in NMDA receptor-dependent LTD, in young and adult mice (Mango and Nisticò 2019). We observed that blocking ASIC1a with PcTx1 was able to decrease the magnitude of NMDA receptor LTD in both age stages (Fig. 1c), and this was confirmed by the non-selective ASIC blocker amiloride (100 μ M).

Of note, Gao et al. (2005) have previously demonstrated an interaction between ASIC1a and NMDA receptor function. They show that ASIC1a activity plays a key role in facilitating the opening of NMDA receptor channel, whereas inhibition of ASIC1a impaired NMDA receptor function at physiological pH (Ma et al. 2019). Also, a recent paper has shown an increase of the NMDA receptor current following ASIC1a activation, which is mediated by the NR2 subunit (Craig 2009). Based on these results, it can be hypothesized that the opening of ASIC1a might contribute to depolarize the post-synaptic cell thus allowing Ca^{2+} influx through the NMDA receptor within the dendritic spine thereby contributing to the modulation of LTD.

On the other hand, Li et al. (2019) show that ASIC1a is involved in LTP but not in LTD in the anterior cingulate cortex, suggesting that ASIC1a plays brain region-specific roles in synaptic plasticity as previously described (Li et al. 2019). Another study investigated the role of ASIC1a in LTD in the insular cortex in which this channel subunit is highly expressed (Waldmann et al. 1997; Wemmie et al. 2003). Insular cortex is critical for cognition, sensory integration (Qiu et al. 2013), chronic pain (Qiu et al. 2014; Nieuwenhuys 2012), emotional control (Damasio et al. 2000) and processing (Qiu et al. 2013; Bermudez-Rattoni 2004), and gustatory recognition memory (Rosenblum et al. 1997). It is best known for its role in taste learning and processing aversively motivated learning (Li et al. 2016). In this brain region, ASIC1a is a critical modulator of LTD and this function is important for the extinction of the acquired taste aversion memory (Nisticò et al. 2017).

5 Role of ASIC1a in Pathological Synaptic Plasticity

Synaptic plasticity alterations occur in different pathological conditions some of which characterized by an inflammatory state such as Alzheimer's disease and multiple sclerosis (Amor et al. 2014), and also in drug-seeking behavior (Lv et al. 2011). Several studies highlighted the role of ASIC1a in other brain disorders such as ischemia, neuronal injury and epilepsy, multiple sclerosis, Huntington disease and Parkinson disease (Ziemann et al. 2008; Ma et al. 2019; Vergo et al. 2011; Arias et al. 2008; Selkoe 2001; Hu et al. 2014).

5.1 *Alzheimer's Disease*

Alzheimer's disease is a chronic neurodegenerative pathological condition characterized by progressive memory impairment and cognitive ability loss (Chapman et al. 1999).

Today, only one study has investigated the role of ASIC1a in experimental AD (Mango and Nisticò 2018). It is known that A β oligomers perturb hippocampal plasticity forms such as LTP and LTD (Chen et al. 2000; Varga et al. 2015; Mango et al. 2019; Amorini et al. 2014). In this frame, A β affects mGlu receptor signaling and exacerbates mGlu receptor-mediated LTD (Chen et al. 2000). Blocking ASIC1a with PcTx1, before and during LTD induction, restored the enhanced mGlu receptor-dependent LTD in slices either treated with A β oligomers or obtained from Tg2576, as well-known mouse model of Alzheimer disease (Mango and Nisticò 2018; Zaaraoui et al. 2010).

The significance of these results remains to be established.

5.2 *Multiple Sclerosis*

Multiple sclerosis (MS) is a neuroinflammatory disorder associated with prolonged acidification. Indeed, this has been demonstrated both in the experimental autoimmune encephalomyelitis (EAE) model (Friese et al. 2007) and in MS patients with high lactate concentration in serum compared to healthy subjects, which reflects the elevated concentration of lactate in the brain caused by impaired energy metabolism (Arun et al. 2013; Suman et al. 2010).

Several studies have shown an increase of ASIC1a expression in axons and an up-regulation of ASIC1a in oligodendrocytes both in the EAE model and in human MS (Wong et al. 2008; Zhang et al. 2009). Deleting or inhibiting ASIC1a reduces the EAE-induced axonal injury (Friese et al. 2007). A clinical study has previously demonstrated that oral treatment with amiloride, a non-selective ASIC1 blocker, was able to reduce brain atrophy and improve clinical score in MS patients (Zhang et al. 2009), even though the possible mechanisms underlying this effect remain to be clarified.

5.3 *Cocaine Addiction*

The nucleus accumbens (NAc) is a brain region that plays a crucial role in the regulation of drug-seeking behavior (Kourrich et al. 2007; Jiang et al. 2013). In medium spiny neurons of NAc, cocaine exposure alters glutamatergic transmission and receptor composition and increases the AMPA/NMDA ratio (Gutman et al. 2018). Disrupting ASIC1a in the mouse NAc increased cocaine-induced behavior;

on the other hand ASIC1a overexpression in mice reduced cocaine self-administration, overall suggesting that ASIC1a plays a role in drug addiction (Kreple et al. 2014). Accordingly, Kreple et al. (2014) demonstrated that ASIC1a null mice have increased sensitivity to cocaine. In these animals, following exposure to cocaine, glutamate receptor function and subunit composition were different to what has been found in cocaine withdrawal animals, further confirming that ASIC1a opposes cocaine-related synaptic changes and plasticity (Kreple et al. 2014). These evidences are in agreement with behavioral findings suggesting that ASIC1a ko mice show significant locomotor sensitization to chronic cocaine administration (Zha et al. 2006). Restoring ASIC1a to the NAc induced the opposite effect and reduced cocaine conditioned place preference, a model of drug reward-associated learning and memory (Kreple et al. 2014). Furthermore, the overexpression of ASIC1a in the NAc suggests a dynamic role of these channels in cocaine-taking and seeking behavior (Cho and Askwith 2008), as well as in the regulation of neuroplasticity and behavioral adaptation.

Overall, these observations indicate a role of ASIC1a in the cocaine-related changes in glutamatergic transmission which in turn may influence the addictive behavior.

6 Discussion and Conclusion

The role of ASIC1s in neuroplasticity and memory has been broadly characterized through the use of several techniques, including electrophysiology, molecular biology, biochemistry, genetics, and behavior. Protons have been found to regulate neuronal communication in several regions of the CNS being therefore considered as neurotransmitters.

It is known that ASIC1a exerts area-specific roles in modulating synaptic function and structure. Indeed, ASIC1a overexpression is associated with increased dendritic spine density (Gao et al. 2015) and the regulation of different forms of LTP and LTD in the hippocampus (Mango and Nisticò 2019; Mango et al. 2017; Liu et al. 2016). On the other hand, in the NAc MSNs, loss of ASIC1a increases dendritic spine density, whereas the overexpression of ASIC1a suppresses cocaine-evoked plasticity (Kreple et al. 2014). Also the AMPA/NMDA current ratio was differently affected in hippocampus and in NAc following ASIC1a gene ablation (Kreple et al. 2014; Zhang et al. 2020). Thus, ASICs play distinct roles in dendritic spine shape, size, and density even though the underlying mechanisms are still poorly understood.

Despite the small currents mediated by ASIC1a, it can be hypothesized that ASIC1a activation by allowing Na^+ and Ca^{2+} entry may facilitate post-synaptic depolarization required to remove Mg^{2+} block from NMDA receptors, thereby contributing to functional and structural synaptic plasticity underlying learning and memory processes.

Moreover, recent studies have shown the interplay between ASIC1a and NMDA receptors and the influence of ASICs on NMDA receptor-mediated currents (Craig 2009). Accordingly, inhibition of ASIC1a decreases post-synaptic NMDA receptor-mediated currents, while promoting ASIC1a function increases NMDA receptor activity leading to exacerbation of ischemic brain injury (Craig 2009).

The existence of this functional interaction between NMDA receptors and ASIC1a might explain why ASIC knockout mice show impaired NMDA receptor-dependent synaptic plasticity, learning and spatial memory, and a significant reduction in synapse formation (Wemmie et al. 2002; Xiang-ming et al. 2006).

Recent work by our group also highlighted that ASIC1a drives AMPA receptor plasticity. Indeed, ASIC1a regulates post-synaptic AMPA-GluA1 subunit phosphorylation following mGlu receptor-dependent synaptic plasticity (Mango et al. 2017). Notably, ASIC1a activation is necessary and sufficient to induce enhanced GluA1/GluA2 ratio associated with ischemia and excitotoxicity (Quintana et al. 2015). Overall, these observations provide additional evidence that the interplay between ASIC1a and AMPA plays a role in structural and functional synaptic plasticity in discrete regions of the brain.

On the other hand, multiple pathological processes such as inflammatory events and atherosclerosis contribute to increase proton concentration in brain (Zhang et al. 2020). Therefore, it can be hypothesized that tissue acidification occurring in neuroinflammatory, neurodegenerative conditions and during intense neuronal activity can lead to hyper-activation of ASICs which, in turn, perturb synaptic homeostasis affecting LTP and LTD expression. In line with this, blockade of ASIC1a expression has been shown to exert neuroprotective effects in AD models and in patients with multiple sclerosis. Moreover, ASIC1a is involved the synaptic and behavioral adaptation to cocaine (Fig. 2).

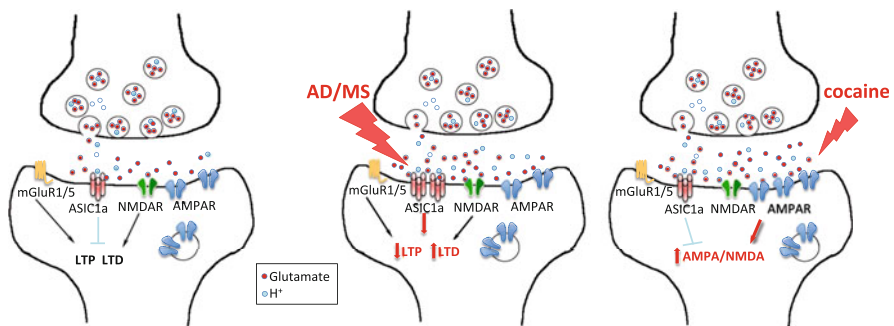


Fig. 2 Cartoon showing the proposed role of ASIC1a in the physiological and pathological synapse. In the hippocampus, ASIC1a is implicated in both LTP and LTD since PcTx1 is able to inhibit both forms of synaptic plasticity. On the other hand, in Alzheimer disease (AD) and Multiple sclerosis (MS), pathological triggers including A β and inflammatory mediators increase the synaptic expression of ASIC1a thereby leading to synaptic dysfunction manifested as decreased LTP and enhanced LTD. In cocaine-evoked plasticity, in which AMPA-to-NMDA ratio and glutamatergic transmission are altered, it can be hypothesized that ASIC1a activation may normalize neurotransmission thereby reducing addiction-related neuroplasticity and behavior

More effort is required to unveil new aspects of proton-mediated signaling and to validate the potential targeting of ASICs for the treatment of neuropsychiatric and neurological disorders.

Contributions DM conceived the idea and prepared the manuscript, RN prepared the manuscript and reviewed the drafts. All authors contributed to the writing and final approval of the manuscript.

Conflicts of Interest The authors declare no conflict of interest.

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Stationary and Nonstationary Ion and Water Flux Interactions in Kidney Proximal Tubule: Mathematical Analysis of Isosmotic Transport by a Minimalistic Model



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Abstract Our mathematical model of epithelial transport (Larsen et al. *Acta Physiol.* 195:171–186, 2009) is extended by equations for currents and conductance of apical SGLT2. With independent variables of the physiological parameter space, the model reproduces intracellular solute concentrations, ion and water fluxes, and electrophysiology of proximal convoluted tubule. The following were shown:

1. Water flux is given by active Na^+ flux into lateral spaces, while osmolarity of absorbed fluid depends on osmotic permeability of apical membranes.
2. Following aquaporin “knock-out,” water uptake is not reduced but redirected to the paracellular pathway.
3. Reported decrease in epithelial water uptake in aquaporin-1 knock-out mouse is caused by downregulation of active Na^+ absorption.
4. Luminal glucose stimulates Na^+ uptake by instantaneous depolarization-induced pump activity (“cross-talk”) and delayed stimulation because of slow rise in intracellular $[\text{Na}^+]$.
5. Rate of fluid absorption and flux of active K^+ absorption would have to be attuned at epithelial cell level for the $[\text{K}^+]$ of the absorbate being in the physiological range of interstitial $[\text{K}^+]$.
6. Following unilateral osmotic perturbation, time course of water fluxes between intraepithelial compartments provides physical explanation for the transepithelial osmotic permeability being orders of magnitude smaller than cell membranes’ osmotic permeability.
7. Fluid absorption is always hyperosmotic to bath.
8. Deviation from isosmotic absorption is increased in presence of glucose contrasting experimental studies showing isosmotic transport being independent of glucose uptake.
9. For achieving isosmotic transport, the cost of Na^+ recirculation is predicted to be but a few percent of the energy consumption of Na^+/K^+ pumps.

Keywords AQP-1 knock-out · Glucose absorption · Isosmotic transport · Kidney proximal tubule · Mathematical-modeling · Osmotic permeability · Time dependent- and stationary states of water and ion fluxes

1 Introduction

In the study from A. K. Solomon’s laboratory of *Necturus* proximal tubule in which net flux of NaCl and water were measured over the same period of time, reabsorbed fluid was isosmotic over a wide range of water fluxes. In the phrasing of the authors (Windhager et al. 1959), “water transport in the proximal tubule depends on the

tubular NaCl concentration rather than upon the water activity,” and “the driving force for water movement arises from the efflux of NaCl from tubule to plasma,” which was shown to be inhibited by the Na^+/K^+ -ATPase inhibitor ouabain (Schatzmann et al. 1958). Work from several groups confirmed isosmotic transport by kidney proximal tubule (Bennett et al. 1967; Kokko et al. 1971; Morel and Murayama 1970; Schafer et al. 1974) and by other low-resistance epithelia (Curran 1960; Diamond 1964). More recently, isosmotic transport was also observed in high-resistance epithelia (Gaeggeler et al. 2011; Nielsen and Larsen 2007; Schafer 1993), indicating that the ability to transport water at osmotic equilibrium energized by the Na^+/K^+ -ATPase is a general feature of transporting epithelia. This may occur even against an adverse osmotic gradient, confirming that epithelia are capable of spending metabolic energy to move water (Diamond 1964; Green et al. 1991; Nielsen and Larsen 2007; Parsons and Wingate 1958). The mechanism of isosmotic transport is debated (Andreoli and Schafer 1979; Fischbarg 2010; Larsen et al. 2009; Nedergaard et al. 1999; Spring 1999; Tripathi and Boulpaep 1989; Whittombury and Reuss 1992; Zeuthen 2000; Zeuthen et al. 2001). Since Na^+/K^+ pumps are expressed in membranes lining the lateral intercellular space (DiBona and Mills 1979; Maunsbach and Boulpaep 1991; Mills et al. 1977; Padilla-Benavides et al. 2010; Stirling 1972) similarly to aquaporin water channels (Agre et al. 1993b), it is generally accepted that this space by way of osmosis couples the active Na^+ flux and the water flux and that isosmotic transport simply follows from a large water permeability (Altenberg and Reuss 2013).

Weinstein and Stephenson (1981) established tradition for applying mathematical formalisms in the analysis of steady state water and ion absorption by kidney proximal tubule (Weinstein 1986, 1992, 2013). Our steady state mathematical models of leaky epithelia (Larsen et al. 2000, 2002) have been expanded for computing time-dependent states (Larsen et al. 2009). The present analytical model comprising new apical glucose transport equations and comprehensive mathematical handling of electrical properties enables us to confront quantitatively computations with crucial experiments on kidney proximal tubule.

The present study has a dual purpose. Firstly, we present in detail complex relationships between active ion fluxes and water flows in an epithelium of extremely large membrane hydraulic permeabilities, as exemplified by mammalian proximal tubule of amplified apical and lateral plasma membrane areas (Welling and Welling 1975, 1988), which make this nephron segment one of the most water-permeable epithelia in nature (Carpi-Medina et al. 1983; Schafer 1990). This is done by quantitative analysis of a mathematical model which comprises cellular and paracellular pathways for fluxes of ions, glucose, and water. It is an important quality of our treatment that water absorption is governed by a driving force resulting from solute fluxes and intraepithelial solute solvent coupling reflecting the conditions in vivo (Gottschalk 1963) and in microperfused isolated tubules (Green et al. 1991). By including glucose and electrical properties, commonly applied laboratory protocols can be simulated for investigating the explanatory power of the model over a wide range of observations. Besides analysis of relationships between volume flows and solute fluxes, our analysis comprises time-dependent states evoked by

perturbation of, e.g., osmolarity of external solutions or “knock-out” of a specific membrane transporter providing novel insight into redistributions of water flows between cells and paracellular space.

The second purpose of the study is to analyse in the depth problems of importance for the function of vertebrate kidney that hitherto have been out of focus. Examples are the conflict between osmotic permeability of whole epithelium and that of individual membranes, with reference to the studies from Solomon’s laboratory discussed above; the relative significance of sodium pump activity to aquaporin water channel osmotic permeability for the rate of water absorption; robustness of mathematical solutions giving isosmotic absorption; and the relative significance of transcellular and paracellular fluid absorption, respectively. Our analysis of every one of these problems provides novel information of definitive and general nature.

2 Description of the Minimalistic Model

2.1 *Functional Organization of Proximal Tubule Epithelium*

Mammalian proximal tubule is a heterocellular epithelium with three consecutive segments of individual functional and structural complexity (Maunsbach 1966; Welling and Welling 1988; Zhuo and Li 2013). All segments are engaged in isosmotic fluid absorption energized by lateral Na^+/K^+ pumps. The minimalistic model epithelium reproduces Na^+ -coupled water transport in all three segments, and by having lumen-negative transepithelial potential difference and water absorption coupled predominantly to absorption of NaCl and glucose, the model epithelium has these features in common with the proximal convoluted tubule. It follows that the model discussed here does not handle acid-base transport and cannot, therefore, reproduce absorption of bicarbonate ions. However, by containing the essential module of subcellular complexity driving isosmotic transport, all conclusions of the present study apply to all three segments – and generally to other transporting epithelia.

The width of the lateral space (distance between neighbouring cells) is constant while neighbouring cells interdigitate in progressively more complicated way toward the cell base (Maunsbach 1973; Welling and Welling 1988). A quantitative stereologic study of size and shape of cells and lateral intercellular spaces (*lis*) of all three segments of proximal tubule indicated similar relatively large areas of apical membrane (comprising brush border surface and intervening membrane) and lateral membrane (Welling and Welling 1988). The area of serosal membrane contacting the basement membrane is much smaller than those of the above two membrane domains. The interspace basement membrane providing exit from the lateral intercellular space is about 10% of the area of the entire basement membrane. Morphometric analyses further indicated that the so-called basal infoldings do not stem from serosal membrane contacting the basement membrane but represent a

complex lateral-membrane arrangement of arbitrary orientation in the basal 20% region of tubule cells (Welling et al. 1987a). A fluorometric micro-assay of Na^+/K^+ -ATPase (Garg et al. 1981) demonstrated highest expression of this enzyme in proximal convoluted tubule as compared to downstream segments of the nephron, and net Na^+ transport previously measured in microperfused tubule segments correlated well with their Na^+/K^+ -ATPase activity. Immunocytochemical studies localized the Na^+/K^+ -ATPase to lateral plasma membranes and to the abovementioned complex of lateral plasma membrane infoldings at the base of tubule cells (Kashgarian et al. 1985; Maunsbach and Boulpaep 1991). Immunocytochemical reaction was not observed on those areas of the basal plasma membrane directly contacting basal lamina (Kashgarian et al. 1985) nor is this transporter found on the luminal brush border (Jørgensen 1986). This agrees with studies on localization of [^3H]-ouabain in other transporting epithelia (DiBona and Mills 1979; Mills and DiBona 1977, 1978; Stirling 1972). In ileal intestinal mucosa, a thyroid epithelial FRT-cell line, and MDCK cells grown as a confluent monolayer on permeable filter support is the Na^+/K^+ -ATPase present in lateral membranes of cells with no immunofluorescent staining neither at apical nor at serosal cell surfaces (Amerongen et al. 1989; Padilla-Benavides et al. 2010; Zurzolo and Rodriguezboulouan 1993). Thus, in transporting epithelia, the lateral plasma membrane and the serosal plasma membrane constitute different functional domains implying that sodium ions transported into the cell through the apical plasma membrane are actively transported into *l_{is}* for exiting the epithelium through the interspace basement membrane. Because the so-called “basolateral” osmotic permeability identified as AQP-1 (Agre et al. 1993a, b) is confined to the lateral membrane, the lateral intercellular space constitutes a compartment of its own with physically well-defined boundary toward the serosal (interstitial) compartment as depicted in Fig. 1a. Thus, for transepithelial osmotic equilibrium, water entering the epithelium through any membrane domain leaves the epithelium through the interspace basement membrane. This applies even if the serosal cell membrane’s osmotic permeability is non-zero and follows from a slightly hyperosmotic cell water driving water into the cell not only from luminal solution but also from serosal bath. The above functional organization constitutes the prerequisite for truly isosmotic transport. Thus, quantitative analysis of water uptake by our minimalistic model provides insight into isosmotic transport by absorbing epithelia in general.

2.2 Solute Flux Equations

The computations presented in this paper do not depend on any particular assumption about the nature of luminal entrance mechanisms as long as we keep absolute ion fluxes and water flows in agreement with measured quantities. With this requirement being fulfilled, there are additional features of the minimalistic model

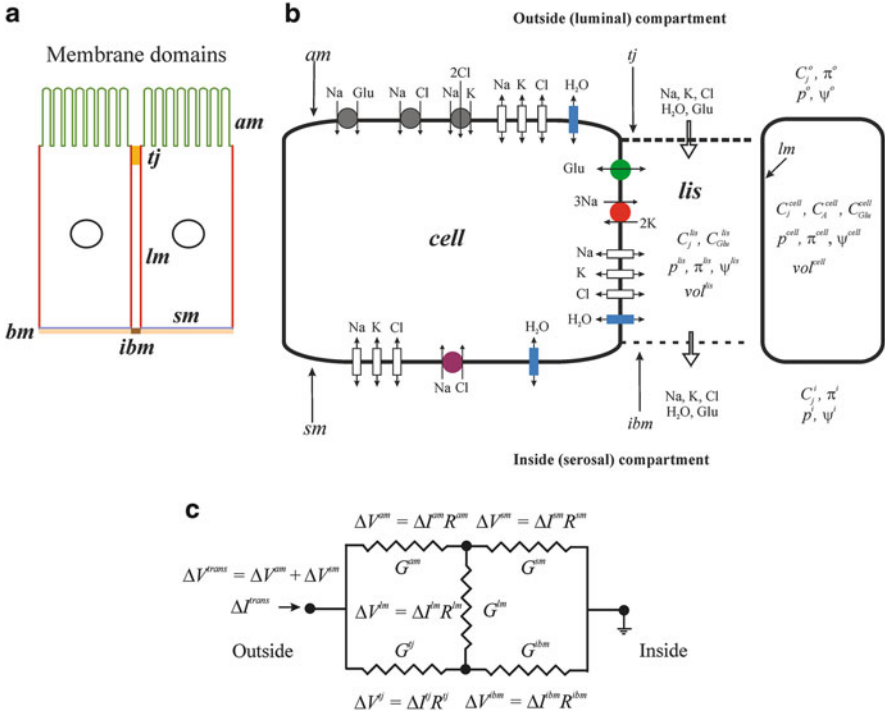


Fig. 1 (a) Cell membranes of transporting epithelia constitute three functionally different domains. Localization of fluorescence probes or radioactive ^3H -labeling of antibodies raised against transport proteins indicated that lateral and serosal membranes constitute functionally different domains; see text for references. As a consequence, the notion “basolateral membrane” would have to be avoided. *am* apical membrane with microvilli, *tj* tight junction, *lm* lateral membrane, *sm* serosal membrane, *bm* basement membrane in light brown color that includes the interspace basement membrane, *ibm* indicated by darker brown color. (b) Transport systems of the minimalistic epithelial model with definitions and nomenclature. Transport systems and symbols as defined in text and Appendix 1 and 2. In the investigation of conditions for obtaining truly isosmotic transport is the 1 Na^+ : 1 Cl^- cotransporter activated for obtaining an overall isosmotic transport (see text for further explanation). (c) The five membranes of the epithelium constitute a bridge circuit. The solution to the mathematical problem for a given set of independent variables contains values of the five membrane resistors indicated above. The resistance of the bridge circuit, however, cannot be calculated according to rules for series and parallel resistors. Therefore, it is computed by simulating a current injection, ΔI^{trans} , and applying Kirchhoff’s rules for solving the set of five current equations by the “solve routine” of *Mathematica*©. Subsequently, the five membrane currents, ΔI^m , are used for calculating change in membrane potentials, transepithelial resistance ($R^{\text{trans}} = \Delta V^{\text{trans}} / \Delta I^{\text{trans}}$), and fractional resistance of the apical membrane. The resistance notation in *Mathematica*© equations are defined as follows: $R^{\text{am}} = R_1$, $R^{\text{sm}} = R_2$, $R^{\text{lm}} = R_3$, $R^{\text{tj}} = R_4$, and $R^{\text{ibm}} = R_5$. See methods for details

that would have to be met for our purpose. In the absence of glucose and amino acids, Frömter’s seminal study reported a fairly large apical membrane conductance of about 4 mS cm^{-2} with a ratio of the resistance of the luminal membrane to the transepithelial resistance of 0.8 (Frömter 1982). In the cell, both K^+ and Cl^- are above

thermodynamic equilibrium (Cassola et al. 1983; Edelman et al. 1978; Windhager 1979). Since neither is secreted into the luminal solution, the above electrical conductance is governed predominantly by a Na^+ conductance. A Na^+ conductance is associated with the $3 \text{Na}^+:\text{HPO}_4^{2-}$ cotransporter. Frömter (1982) perfused the kidney tubule with physiological saline containing 1 mM HPO_4^{2-} ; thus with a coupling ratio of $3 \text{Na}^+:\text{HPO}_4^{2-}$, it is unlikely that this transporter accounts for the relatively very large conductance of 4 mS/cm^2 , which leaves a Na^+ channel as the most likely candidate for the Na^+ conductance of the apical membrane. This goes along with the study by Morel and Murayama (1970), who obtained isosmotic reabsorption in microperfused rat proximal tubule in the absence of phosphate ions in the luminal perfusion solution. Added to this, a sodium ion channel was disclosed by patch clamp of rabbit proximal straight tubule (Gögelein and Greger 1986). The Goldman-Hodgkin-Katz (GHK) constant field equations are applied for handling this as well as electrodiffusion fluxes in the other ion channels including tight junction and interspace basement membrane (Goldman 1943; Hodgkin and Katz 1949; Sten-Knudsen 2002):

$$J_j = \left(\frac{z_j F V}{RT} P_j \right) \frac{C_j^{(I)} \exp[z_j F V / (RT)] - C_j^{(II)}}{\exp[z_j F V / (RT)] - 1} \quad (1a)$$

The associated chord conductance is:

$$G_j = \left(\frac{(z_j F)^2 V}{RT} P_j \right) \frac{C_j^{(I)} \exp[z_j F V / (RT)] - C_j^{(II)}}{(\exp[z_j F V / (RT)] - 1) (V - V_j^{eq})} \quad (1b)$$

P_j is the ion permeability and V potential difference across the membrane. $I = \text{lumen}$ and $II = \text{cell}$ for the apical membrane, $I = \text{cell}$ and $II = \text{lis}$ for the lateral membrane, and $I = \text{cell}$ and $II = \text{serosa}$ for the serosal membrane. The proximal tubule reabsorbs 50–70% of the filtered K^+ load. Wilson et al. (1997) showed that cyanide caused a reduction in net potassium flux over the entire range of fluid fluxes in their double-perfusion experiments. Subsequent single-perfusion experiments (tubule lumen only) using the specific $\text{K}^+ - \text{H}^+ - \text{ATPase}$ inhibitor, SCH28080, did not reveal evidence for primary active K^+ absorption. The authors discussed the possibility that tubular absorption of K^+ is accomplished by paracellular solvent drag. This mechanism is included in our model and will be quantitatively evaluated in Results for concluding that solvent drag cannot account for transtubular absorption of K^+ . The principal importance of active K^+ absorption is independent of the molecular design of the transporter. It is of importance, however, that the transepithelial active flux of potassium ions results in a K^+ concentration of the absorbate that is close to the concentration of K^+ in serosal fluid. The K^+ absorption against the prevailing small transepithelial electrochemical potential difference shall be accounted for by assuming (1Na^+ , 1K^+ , 2Cl^-) cotransport across the apical membrane:

$$J_j^{NaK2Cl,m} = r \cdot K^{NaK2Cl,m} \left[C_{Na}^{(I)} \cdot C_K^{(I)} \cdot \left(C_{Cl}^{(I)} \right)^2 - C_{Na}^{(II)} \cdot C_K^{(II)} \cdot \left(C_{Cl}^{(II)} \right)^2 \right] \quad (2a)$$

Here, $r = 1$ for Na^+ and K^+ and $r = 2$ for Cl^- . In agreement with cellular Cl^- accumulation via a Na^+ -dependent cotransporter in *Necturus* (Spring and Kimura 1978, 1979) and rat (Karniski and Aronson 1987; Warnock and Lucci 1979), we assume that a $(1Na^+, 1Cl^-)$ cotransporter is present in the apical membrane:

$$J_j^{NaCl,m} = K^{NaCl,m} \left[C_{Na}^{(I)} \cdot \left(C_{Cl}^{(I)} \right) - C_{Na}^{(II)} \cdot \left(C_{Cl}^{(II)} \right) \right] \quad (2b)$$

For generalizing the description, apical Cl^- and K^+ channels are included, but in the present calculations, they do not carry significant fluxes because they are largely quiescent under normal conditions (Boron and Boulpaep 2017).

In 1976, a sodium ion/proton antiporter was discovered in vesicles isolated from renal brush-border membranes of rat by Murer et al. (1976); it was cloned and named NHE3 (Sardet et al. 1989). As recently reviewed by Zhuo and Li (2013), subsequent studies confirmed its function as pathway for eliminating protons in exchange for luminal sodium ions in kidney proximal nephron. The NHE3 antiporter operates in series with a lateral electrogenic cotransporter of stoichiometry $1 Na^+:3 HCO_3^-$. Bicarbonate is regenerated from OH^- and CO_2 catalyzed by a cytoplasmic carbonic anhydrase (Boron and Boulpaep 2017). The operation of this enzyme together with the NHE3 antiporter is assumed to recover quantitatively HCO_3^- , which is transported together with sodium ions into the interstitial fluid (Boron and Boulpaep 1983a, b; Yoshitomi et al. 1985). At this stage of our studies, we aim at transport features that are independent of acid-base transporters. As shall be demonstrated below, this “minimalistic” model is excellently suited for analysis of general features of isosmotic transport, e.g., none of our conclusions are being affected by this simplification.

Driving forces for glucose uptake across the luminal membrane of rat convoluted proximal tubule are the transmembrane Na^+ concentration gradient, the transmembrane glucose concentration gradient, and the membrane potential. The transporter saturates with luminal Na^+ concentration as well as with luminal glucose concentration (Samarzija et al. 1982). Glucose is coupled to Na^+ uptake by the Na^+/D -glucose cotransporter-2 (SGLT2) with a stoichiometry of $1 Na^+:1$ glucose in tubule segments S1 and S2 and Na^+/D -glucose cotransporter-1 (SGLT1) with a stoichiometry of $2 Na^+:1$ glucose in tubule segments S3 (Ghezzi et al. 2018; Hummel et al. 2011; Parent et al. 1992; Turner and Moran 1982; Turner and Silverman 1977). SGLT2 is rheogenic, and with 150 mM external Na^+ concentration, the transporter generates a nonlinear current-voltage relationship reflecting voltage dependence of the Na^+ current (Hummel et al. 2011; Parent et al. 1992). With sign conventions in epithelial studies, inward Na^+ currents are positive and $V^{am} = \psi^o - \psi^{cell}$ with the associated current-voltage relationship being upward concave. This corresponds to the downward concave current-voltage relationship obtained with SGLT2 expressed in *Xenopus* oocyte (Parent et al. 1992) or HEK293T cells (Hummel et al. 2011) where inward Na^+ currents are given a negative sign, and membrane potential is defined as $V = \psi^{cell} - \psi^o$. The last mentioned studies reported zero

SGLT2-current in the absence of external glucose or in the absence of external Na^+ . Thus, in the absence of luminal glucose, the Na^+ conductance of SGLT2 is zero. Finally, the reversal potential of the SGLT2-cotransporter $E_{rev}^{am, Glu-Na}$ would have to fulfill the requirement that Gibbs free energy remains constant following one transport cycle as expressed by (Schultz 1980):

$$E_{rev}^{am, Glu-Na} = \frac{RT}{F} \ln \frac{C_{Glu}^o \cdot C_{Na}^o}{C_{Glu}^{cell} \cdot C_{Na}^{cell}}$$

The abovementioned requirements are fulfilled by the following set of equations:

$$J_{Na}^{am, Glu-Na} = \left(\frac{P^{Glu-Na} F V^{am}}{RT} \right) \left(\frac{C_{Glu}^o}{K_{Glu}^{Glu-Na} + C_{Glu}^o} \right) \left(\frac{C_{Na}^o}{K_{Na}^{Glu-Na} + C_{Na}^o} \right) \times \frac{C_{Glu}^o C_{Na}^o \exp\{FV^{am}/(RT)\} - C_{Glu}^{cell} C_{Na}^{cell}}{\exp\{FV^{am}/(RT)\} - 1}$$

$$J_{Glu}^{am, Glu-Na} = J_{Na}^{am, Glu-Na}$$

$$V^{am} = \psi^o - \psi^{cell} \quad (3a)$$

P^{Glu-Na^+} is the maximal turnover of SGLT2, where $K_{Glu}^{Glu-Na^+} \approx 5 \text{ mM}$ and $K_{Na^+}^{Glu-Na^+} \approx 25 \text{ mM}$ are apparent dissociation constants of the transporter's binding sites (Hummel et al. 2011). For obtaining the associated chord (integral) conductance, the current carried by SGLT2 is introduced and multiplied by unity expressed as $(V^{am} - E_{rev}^{am, Glu}) / (V^{am} - E_{rev}^{am, Glu})$:

$$I_{Na}^{am, Glu-Na} = \frac{P' F^2 V^{am} [C_{Glu}^o C_{Na}^o \exp\{FV^{am}/(RT)\} - C_{Glu}^{cell} C_{Na}^{cell}]}{RT[\exp\{FV^{am}/(RT)\} - 1](V^{am} - E_{rev}^{am, Glu})} (V^{am} - E_{rev}^{am, Glu}) \quad (3b)$$

By having the form $I_j = G_j (V_m - E_j)$, the integral conductance is calculated from:

$$G_{Na}^{am, Glu-Na} = \frac{P' F^2 V^{am} [C_{Glu}^o C_{Na}^o - C_{Glu}^{cell} C_{Na}^{cell} \exp\{FV^{am}/(RT)\}]}{RT[\exp\{FV^{am}/(RT)\} - 1](V^{am} - E_{rev}^{am, Glu})} \quad (3c)$$

where $E_{rev}^{am, Glu-Na}$ is given by Eq. (3b) and P' by:

$$P' = P^{Glu-Na} \left(\frac{C_{Glu}^o}{K_{Glu}^{Glu-Na} + C_{Glu}^o} \right) \left(\frac{C_{Na}^o}{K_{Na^+}^{Glu-Na} + C_{Na}^o} \right) \quad (3d)$$

Other SGLT2-models also handle saturation kinetics and substrate interactions, e.g., Layton et al. (2015) and Weinstein (1985). Unlike these previous treatments, Eqs. (3a–3d) cover the transporter's contributions to membrane potential and

membrane conductance; in the present study, this is required for its validation by comparing computations with experiments.

Immuno-labeling has shown that Na^+/K^+ -ATPase (Skou 1965) is expressed exclusively in lateral plasma membrane (Kashgarian et al. 1985). The active cation fluxes are saturating function of cell Na^+ ($C_{\text{Na}}^{\text{cell}}$) and concentration of K^+ in lateral intercellular space ($C_{\text{K}}^{\text{lis}}$) (Garay and Garrahan 1973; Goldin 1977; Jørgensen 1980). The pump rate is also a function of the electrical work done in moving one charge across the membrane per pump cycle (Thomas 1972), which is a function of lateral membrane potential, V^{lm} , and the electrical work contributed by the pump-ATPase, here denoted E^{pump} . The above properties are fulfilled by the following set of equations (Larsen et al. 2009):

$$\begin{aligned} j_{\text{Na}}^{\text{lm,pump}} &= \frac{P_{\text{Na,K}}^{\text{lm,pump}}}{F} \left(\frac{C_{\text{Na}}^{\text{cell}}}{K_{\text{Na}}^{\text{lm,pump}} + C_{\text{Na}}^{\text{cell}}} \right)^3 \left(\frac{C_{\text{K}}^{\text{lis}}}{K_{\text{K}}^{\text{lm,pump}} + C_{\text{K}}^{\text{lis}}} \right)^2 [V^{\text{lm}} + E^{\text{pump}}] \\ j_{\text{K}}^{\text{lm,pump}} &= -\frac{2}{3} j_{\text{Na}}^{\text{lm,pump}} \\ V^{\text{lm}} &= \psi^{\text{cell}} - \psi^{\text{lis}} \end{aligned} \quad (4a)$$

$\frac{P_{\text{Na,K}}^{\text{lm,pump}}}{F}$ of dimension of $\text{mol s}^{-1} \text{V}^{-1}$ per unit area of plasma membrane represents the Na^+ efflux through the sodium pump saturated with internal Na^+ and external K^+ at normal cytoplasmic ATP levels. With a 3 Na^+ :2 K^+ stoichiometry, the expression for the pump current is:

$$I^{\text{lm,pump}} = \frac{P_{\text{Na,K}}^{\text{lm,pump}}}{3} \left(\frac{C_{\text{Na}}^{\text{cell}}}{K_{\text{Na}}^{\text{lm,pump}} + C_{\text{Na}}^{\text{cell}}} \right)^3 \left(\frac{C_{\text{K}}^{\text{lis}}}{K_{\text{K}}^{\text{lm,pump}} + C_{\text{K}}^{\text{lis}}} \right)^2 [V^{\text{lm}} + E^{\text{pump}}] \quad (4b)$$

Eq. (4b) gives pump currents that are linearly dependent on membrane potential, which is an acceptable approximation for the interval, $-110 \text{ mV} < V^{\text{lm}} < -5 \text{ mV}$ (Gadsby and Nakao 1989; Lauger 1991; Wu and Civan 1991) that covers computations of the present study. The reversal potential of pump currents is $E_{\text{rev}}^{\text{pump}} = -E^{\text{pump}}$ with free energy of ATP hydrolysis, $\Delta G_{\text{ATP}} \approx -60 \text{ kJ/mol}$ and stoichiometry of 3 Na^+ :2 K^+ :1 ATP, E^{pump} is about 200 mV which is within the range discussed by de Weer et al. (1988) and used in all our computations independently of the rate of pump flux. Unlike the reversal potential of the Na^+ pump of a tight epithelium, e.g., frog skin (Larsen 1973; Eskesen and Ussing 1985), the last mentioned assumption is plausible for proximal tubule cells of high density of mitochondria in remarkably close contact with pump sites that would minimize the diffusion distance between sites of dephosphorylation of ATP and rephosphorylation of ADP, respectively (Dørup and Maunsbach 1997). Following Lauger (1991), by inspection of Eq. (4b) in the range indicated where $P_{\text{Na,K}}^{\text{lm,pump}}$ is considered constant, the integral conductance of the pump would be:

$$G^{lm,pump} = \frac{P_{Na,K}^{lm,pump}}{3} \left(\frac{C_{Na}^{cell}}{K_{Na}^{lm,pump} + C_{Na}^{cell}} \right)^3 \left(\frac{C_K^{lis}}{K_K^{lm,pump} + C_K^{lis}} \right)^2 \quad (4c)$$

Early mathematical models of proximal tubule by Sackin and Boulpaep (1975) and Weinstein (1986, 1992) did not include membrane potential as driving force for pump currents. Other studies included the membrane conductance-dependent electrogenic contribution of the pump to membrane potential (Lew et al. 1979 and Larsen 1991). The above Eq. (4a) is an expansion of previous treatments by acknowledging that potential *per se* is driving force for pump currents. The advantage of this new treatment shall be underscored in Results.

The expression for convection-diffusion of glucose across tight junction and interspace basement membrane obeys the Smoluchowski equation (Smoluchowski 1915). It was derived by Hertz (1922), and when applied to a membrane of reflection coefficient indicated by σ , the expression reads (Larsen et al. 2000):

$$J_{Glu} = J_V(1 - \sigma_{Glu}) \frac{C_{Glu}^{(I)} \exp[J_V(1 - \sigma_{Glu})/P_{Glu}] - C_{Glu}^{(II)}}{\exp[J_V(1 - \sigma_{Glu})/P_{Glu}] - 1} \quad (5)$$

The equation was expanded for covering the convection-electrodifffusion regime (Larsen et al. 2002),

$$J_j = \left(\frac{z_j F V}{RT} P_j + J_V(1 - \sigma_j) \right) \frac{C_j^{(I)} \exp[z_j F V / (RT)] \exp[J_V(1 - \sigma_j)/P_j] - C_j^{(II)}}{\exp[z_j F V / (RT)] \exp[J_V(1 - \sigma_j)/P_j] - 1} \quad (6)$$

Here, it is assumed that the pore is symmetrical such that reflection coefficient and partition coefficient (β) are related by $\sigma = (1 - \beta)$ as shown by Finkelstein (1987). J_V is the volume flux from compartment I (lumen or *lis*) to II (*lis* or *serosa*), and σ_j is the reflection coefficient of ion j of the membrane. Exit of glucose across lateral membrane is governed by saturation kinetics of a symmetrical carrier (Stein 1967),

$$J_{Glu}^{lm} = J_{Glu}^{lm,max} \frac{K_{Glu}^{lm} (C_{Glu}^c - C_{Glu}^{lis})}{(K_{Glu}^{lm} + C_{Glu}^c) (K_{Glu}^{lm} + C_{Glu}^{lis})} \quad (7)$$

2.3 Water Flux Equations

In agreement with cloned aquaporins of proximal tubule (Borgnia et al. 1999), we assume reflection coefficient of unity for water flow through all plasma membranes. Thus, equations for the respective volume fluxes per unit area of apical plasma membrane are,

$$J_V^{am} = L_p^{am} \left\{ RT (C_{Na}^{cell} + C_K^{cell} + C_{Cl}^{cell} + C_A^{cell} + C_{Glu}^{cell} - C_{Na}^{lumen} - C_K^{lumen} - C_{Cl}^{lumen} - C_{Glu}^{lumen}) + (p^{lumen} - p^{cell}) \right\} \quad (8a)$$

$$J_V^{lm} = L_p^{lm} \left\{ RT (C_{Na}^{lis} + C_K^{lis} + C_{Cl}^{lis} + C_{Glu}^{lis} - C_{Na}^{cell} - C_K^{cell} - C_{Cl}^{cell} - C_A^{cell} - C_{Glu}^{cell}) + (p^{cell} - p^{lis}) \right\} \quad (8b)$$

$$J_V^{sm} = L_p^{sm} \left\{ RT (C_{Na}^{serosa} + C_K^{serosa} + C_{Cl}^{serosa} + C_{Glu}^{serosa} - C_{Na}^{cell} - C_K^{cell} - C_{Cl}^{cell} - C_A^{cell} - C_{Glu}^{cell}) + (p^{cell} - p^{serosa}) \right\} \quad (8c)$$

Water fluxes through membranes delimiting the lateral intercellular space from external solutions have to include reflection coefficients,

$$J_V^{tj} = L_p^{tj} \left\{ RT [\sigma_{Na}^{tj} (C_{Na}^{lis} - C_{Na}^{lumen}) + \sigma_K^{tj} (C_K^{lis} - C_K^{lumen}) + \sigma_{Cl}^{tj} (C_{Cl}^{lis} - C_{Cl}^{lumen}) + \sigma_{Glu}^{tj} (C_{Glu}^{lis} + C_{Glu}^{lumen})] + (p^{lumen} - p^{lis}) \right\} \quad (9a)$$

$$J_V^{ibm} = L_p^{ibm} \left\{ RT [\sigma_{Na}^{ibm} (C_{Na}^{serosa} - C_{Na}^{lis}) + \sigma_K^{ibm} (C_K^{serosa} - C_K^{lis}) + \sigma_{Cl}^{ibm} (C_{Cl}^{serosa} - C_{Cl}^{lis}) + \sigma_{Glu}^{ibm} (C_{Glu}^{serosa} + C_{Glu}^{lis})] + (p^{lis} - p^{serosa}) \right\} \quad (9b)$$

Rather than hydraulic conductance, L_p , in the text, we refer to osmotic permeability, P_f . With molar volume of water indicated by \bar{V}_W , L_p and P_f are related by Finkelstein (1987),

$$P_f = \frac{RTL_p}{\bar{V}_W} \quad (10)$$

Mean valence of nondiffusible anions in the cell with concentration, C_A^{cell} , is denoted by z_A . Thus, the two electroneutrality conditions are given by:

$$C_A^{cell} = -(C_{Na}^{cell} + C_K^{cell} - C_{Cl}^{cell})/z_A \quad (14)$$

$$C_{Cl}^{lis} = C_{Na}^{lis} + C_K^{lis} \quad (15)$$

If I^{clamp} is the transepithelial clamping current and I_j is the current carried by j through the membrane indicated by superscript ($j = Na^+$, K^+ , or Cl^-), the mathematical solution would have to obey the requirement:

$$I^{clamp} = I_{Na}^{am} + I_{Na}^{tj} + I_K^{am} + I_K^{tj} + I_{Cl}^{am} + I_{Cl}^{tj} \quad (16)$$

where $I^{clamp} = 0$ ("open circuit") defines the mathematical solution containing the transepithelial potential difference.

2.4 Compliant Model and Volumes of Intraepithelial Compartments

For obtaining the hydrostatic pressure of the cell, we followed Weinstein and Stephenson (1979, 1981) and introduce a compliance model that assumes linear relationship between cell volume and cell pressure. If it is further assumed that the hydrostatic pressure of the cell adjusts itself to a value between ambient pressures weighted relative to the local compliant constants that are given the symbol μ^m , we can write (Larsen et al. 2000),

$$p^{cell} = \frac{\mu^{am}}{\mu^{am} + \mu^{lm} + \mu^{sm}} p^{lumen} + \frac{\mu^{lm}}{\mu^{am} + \mu^{lm} + \mu^{sm}} p^{lis} + \frac{\mu^{sm}}{\mu^{am} + \mu^{lm} + \mu^{sm}} p^{serosa} \quad (17a)$$

Introducing relative compliance constants,

$$p^{cell} = \bar{\mu}^{am} p^{lumen} + \bar{\mu}^{lm} p^{lis} + \bar{\mu}^{sm} p^{serosa} \quad (17b)$$

With the volume of *lis* in the absence of fluid transport denoted, $Vol^{lis,ref}$, we have (Larsen et al. 2002):

$$Vol^{lis} = Vol^{lis,ref} [1 + \mu^{lm} (p^{lis} - p^{cell})] \quad (18)$$

D^{cell} and M_A are number of cells per unit area of apical membrane and amount of nondiffusible anions per cell, respectively. Hence, cell volume is:

$$Vol^{cell} = D^{cell} M_A / C_A^{cell} \quad (19)$$

Here, C_A^{cell} belongs to dependent variables.

2.5 Electrical-Circuit Analysis

Shown in Fig. 1c, the five epithelial membranes constitute a bridge circuit. For convenience, in the Mathematica© equations below, the five membranes are indicated by $am = 1$, $sm = 2$, $lm = 3$, $tj = 4$, and $ibm = 5$. The method used for calculating the transepithelial conductance (resistance) is as follows. Having chosen values for independent variables, the numerical solution provides all primary dependent variables. Integral conductances calculated as specified above are used to calculate the resistance of each of the five membranes, $R_1 \dots R_5$. Simulating a step change of the current ΔI^{trans} through the circuit, Kirchhoff's rules are applied for setting up five simultaneous linear equations,

$$\begin{aligned}
I_1 R_1 + I_3 R_3 - I_4 R_4 &= 0 \\
I_3 R_3 + I_5 R_5 - I_2 R_2 &= 0 \\
I_2 + I_5 - \Delta I^{trans} &= 0 \\
I_1 - I_2 - I_3 &= 0 \\
I_4 - I_3 - I_5 &= 0
\end{aligned}$$

The currents flowing through the five resistors were obtained by using the solve routine of *Mathematica*©:

$$I_1 = -\frac{-(R_2 R_4 + R_3 R_4 + R_3 R_5 + R_4 R_5)}{R_1 R_2 + R_1 R_3 + R_2 R_3 + R_2 R_4 + R_3 R_4 + R_1 R_5 + R_3 R_5 + R_4 R_5} \Delta I^{trans}$$

$$I_2 = \Delta I^{trans} + \frac{(R_1 R_3 + R_2(R_1 + R_3 + R_4))}{-R_1 R_3 - R_1 R_4 - R_2(R_1 + R_3 + R_4) - R_5(R_1 + R_3 + R_4)} \Delta I^{trans}$$

$$I_3 = -\frac{(R_2 R_4 + R_1 R_5)}{R_1 R_2 + R_1 R_3 + R_2 R_3 + R_2 R_4 + R_3 R_4 + R_1 R_5 + R_3 R_5 + R_4 R_5} \Delta I^{trans}$$

$$I_4 = -\frac{-(R_1 R_2 - R_1 R_3 - R_2 R_3 - R_1 R_5)}{R_1 R_2 + R_1 R_3 + R_2 R_3 + R_2 R_4 + R_3 R_4 + R_1 R_5 + R_3 R_5} \Delta I^{trans}$$

$$I_5 = -\frac{(R_1 R_3 + R_2(R_1 + R_3 + R_4))}{-R_1 R_3 - R_3 R_4 - R_2(R_1 + R_3 + R_4) - R_5(R_1 + R_3 + R_4)} \Delta I^{trans}$$

The transepithelial electrical potential displacement ΔV^{trans} and the resistance of the apical (luminal) membrane relative to the transcellular resistance are given by the relations,

$$\begin{aligned}
\Delta V^{trans} &= I_1 R_1 + I_2 R_2 \\
FR^{apical} &= I_1 R_1 / \Delta V^{trans}
\end{aligned}$$

Finally, the transepithelial conductance is calculated as $G_t = \Delta I^{trans} / \Delta V^{trans}$.

2.6 Nomenclature and Sign Conventions

Nomenclature is indicated in Fig. 1b and Appendix 1. The model comprises four well-stirred compartments: outside (luminal-) compartment (*o*), cell compartment (*cell*), lateral intercellular space (*lis*), and inside (serosal-, interstitial-) compartment (*i*). These are confined by five membranes: apical (*am*), serosal (*sm*), lateral (*lm*), tight junction (*tj*), and intercellular basement membrane (*ibm*). The primary

unknowns include cellular and paracellular concentrations of Na^+ , K^+ , and Cl^- , glucose and nondiffusible intracellular anions, hydrostatic pressures in cell and *lis*, and electrical potentials in cell, *lis*, and outside compartment. Fluxes directed from lumen to cell and *lis*, from cell to serosa and *lis*, and from *lis* to serosa are positive. Electrical potentials are indicated with reference to serosal compartment ($\psi^i \equiv 0$) so that the individual membrane potentials are given by $V^{sm} = \psi^{cell} - \psi^i$, $V^{lm} = \psi^{cell} - \psi^{lis}$, $V^{am} = \psi^o - \psi^{cell}$, $V^{ij} = \psi^o - \psi^{lis}$, and $V^{ibm} = \psi^{lis} - \psi^i$.

2.7 Numerical Methods

The set of equations can be solved for both steady states and time-dependent states. In the general case, the transport equations for water and solutes are written as (Larsen et al. 2009):

$$\frac{d\bar{V}}{dt} = \sum_m J_{\bar{V}} \tag{20}$$

$$\frac{d(\bar{V} \cdot C_S)}{dt} = \sum_m J_S \tag{21}$$

where \bar{V} denotes the volume of cell or lateral intercellular space, and $J_{\bar{V}}$ and J_S denote water and solute fluxes, respectively, through the various membranes, with m indicating membrane ($m = 1-5$). In steady state, left hand side is zero. When transients are studied, time-dependent behavior of Eqs. (20) and (21) needs to be simulated. To solve the equations in time, we utilize second-order accurate, three-point backward difference schemes (Taylor expansion) as follows:

$$\frac{1}{2\Delta t} [3\bar{V}^{(n)} - 4\bar{V}^{(n-1)} + \bar{V}^{(n-2)}] = \sum_j J_{\bar{V}}^{(n)} \tag{22}$$

$$\frac{1}{2\Delta t} [3(\bar{V} \cdot C_S)^{(n)} - 4(\bar{V} \cdot C_S)^{(n-1)} + (\bar{V} \cdot C_S)^{(n-2)}] = \sum_m J_S^{(n)} \tag{23}$$

where index n refers to time t^n and Δt is the time step, such that $t^n = t^{n-1} + \Delta t$. Thus, the equations are solved for all variables with index n at time $t = t^n$, leaving the remaining terms as known from the former time steps. The equations are solved together with the above equations for electroneutrality and the compliance model. The strongly coupled nonlinear equations were solved to machine accuracy by a conventional iterative Newton-Raphson method. In forming the Jacobian matrix, the equations were not differentiated analytically as a simple difference scheme was employed. For analyses of transient states, the term ‘‘sampling frequency’’ refers to frequency of time steps. With a single time step, we jump directly from one steady state to another – skipping transient states.

2.8 Choice of Independent Variables

Appendix 2 lists independent variables of the model displayed in Fig. 1b. Ion permeabilities and maximum pump rates were chosen to obtain a net uptake of Na^+ of about $5,000 \text{ pmol cm}^{-2} \text{ s}^{-1}$ with associated volume absorption of 30–40 $\text{nL cm}^{-2} \text{ s}^{-1}$ together with intracellular concentrations and serosa-membrane potential in reasonable agreement with measured quantities (Windhager 1979). From measurements, $C_{\text{Na}}^{\text{cell}} = 17.5$, $C_{\text{K}}^{\text{cell}} = 113$, and $C_{\text{Cl}}^{\text{cell}} = 18 \text{ mM}$ at a serosal membrane potential of -76 mV (Cassola et al. 1983; Edelman et al. 1978; Yoshitomi and Fromter 1985). The corresponding model values are given in Fig. 2. For simulating the somewhat low $C_{\text{K}}^{\text{cell}}$, we assumed a mean net charge of intracellular nondiffusible anions less than unity ($z_A = -0.75$). In the model, the Na^+/K^+ pump in the serosal plasma membrane is “silent” so that the active Na^+ uptake is due entirely to the activity of lateral pumps (Kashgarian et al. 1985). The major electro-diffusive K^+ exit from cells is through the K^+ channels of *lm*. Both apical and “basolateral” plasma membrane domains contain water channels (Nielsen et al. 1996). The osmotic permeabilities were taken from experiments on rabbit proximal tubule (Carpi-Medina et al. 1984; Gonz ales et al. 1984). Solvent drag on sucrose indicated that the paracellular pathway of the kidney proximal tubule contributes to water transport across the epithelium (Whittembury et al. 1988), but the hydraulic conductance of tight junctions, which constitutes the rate limiting structure along the pathway, is not easy to determine. One would think that one way of doing this would be to block water channels of the apical membrane and measure the residual transepithelial water flux. This method was applied by Whittembury’s laboratory (Carpi-Medina and Whittembury 1988), and we have used the value thus estimated, $P_{\text{osm}}^{\text{ij}} = 2.5 \times 10^3 \text{ } \mu\text{m s}^{-1}$. As we shall see below, blocking the osmotic permeability of the apical membrane will lead to an increase in the osmolarity of *lis*, forcing an increased water flow along the paracellular pathway. Thus, our osmotic permeability of tight junctions is overestimated. However, as none of the conclusions, generally of semiquantitative nature, are affected by this choice, it is applied here in lack of better estimate. As emphasized above, the serosal plasma membrane contacting the epithelium’s basement membrane and the lateral plasma membrane constitute two separate domains. The model is born with similar transport systems in the two domains. The serosal-membrane fluxes governing the majority of computations were obtained by choosing small values of associated independent variables.

Molecular biological and biophysical studies indicated that paracellular fluxes are mediated both by cation and anion selective tight junction pores, recently reviewed by Fromm et al. (2017). Claudin-2 is selectively permeable for small cations like Na^+ and K^+ and permeable for water. Claudin-10a (and perhaps claudin-17) is selectively permeable for small anions like Cl^- . Reflection coefficients of tight junctions were taken from literature $\sigma_{\text{Na}}^{\text{ij}} = \sigma_{\text{K}}^{\text{ij}} = 0.7$ and $\sigma_{\text{Cl}}^{\text{ij}} = 0.45$ (Ullrich 1973). The interspace basement membrane is governed by physical properties of the basement membrane, and a morphometric study of rabbit proximal

tubule indicating that *ibm* constitutes 10% of the basement membrane area of the entire tubule (Welling and Grantham 1972; Welling et al. 1987a, b). Ion permeability of convection pores was selected to obtain the paracellular electrical resistance estimated by Frömter (1979) of about $5 \Omega \text{ cm}^2$, which requires a relatively high Cl^- permeability of delimiting membranes. The cation “selectivity” of the interspace basement membrane is that of free diffusion in water.

2.9 Geometrical Dimensions and Units of Physical Quantities

A stereological study of S1 segment of rabbit proximal tubule indicated an outer and inner diameter of 38 and 24 μm , respectively, corresponding to a cell height of 7 μm . Referring to 1 mm of tubule length, the following numbers were obtained: an epithelial volume of $6.8 \times 10^5 \mu\text{m}^3$, an apical membrane area of $2.20 \times 10^6 \mu\text{m}^2$, and a lateral membrane area of $2.29 \times 10^6 \mu\text{m}^2$ (Welling and Welling 1988). With 300 cells per mm (Welling et al. 1987a), single-cell volume is about 2,267 fL. With gap junctions between cells, we assume that the epithelium constitutes a continuous functional syncytium.

Physical constants, independent variables, and computed dependent variables are in MKSA units. In the text, however, all variables are presented in units commonly used in physiological literature.

3 Results

3.1 General Features

Kidney proximal tubule accomplishes isosmotic transport in absence of glucose in luminal perfusion solution (Windhager et al. 1959; Morel and Murayama 1970). Figure 2 contains values given by the model for the reference state exposed to symmetrical external glucose-free solutions. With respect to cellular ion concentrations, it is noted that C_K^{cell} of 117 mM is fairly low, which is in agreement with measurements, recently reviewed by Weinstein (2013): 70 mM (Necturus), 113 mM (rat), and 68 mM (rabbit). The intracellular electrical potential, $V^{cell} = -82 \text{ mV}$, agrees with values measured in rat ranging between -64 and -85 mV (Frömter 1979). The lumen-negative transepithelial potential difference is no more than $V^{trans} = -1.78 \text{ mV}$, governed by high paracellular electrical conductance and the following transepithelial ion fluxes ($\text{pmol cm}^{-2} \text{ s}^{-1}$): $J_{Na} = 5,197$, $J_K = 187$, and $J_{Cl} = 5,384$. Fluxes through the apical membrane are associated with an apical membrane resistance of $313 \Omega \text{ cm}^2$, which is 57 times larger than the shunt resistance of $5.5 \Omega \text{ cm}^2$. The corresponding values for rat are 260 and $5 \Omega \text{ cm}^2$, respectively (Frömter 1982). At transepithelial osmotic equilibrium, water uptake would have

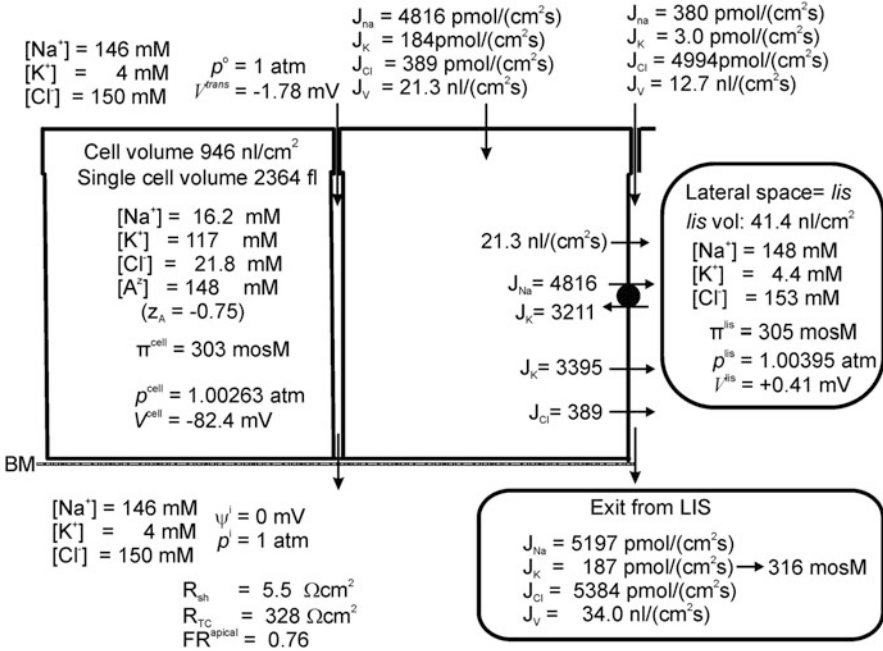


Fig. 2 Reference state of the minimalistic model epithelium. With the large osmotic permeabilities estimated experimentally (Carpi-Medina et al. 1984; Gonzáles et al. 1984) is the fluid exiting the lateral intercellular space predicted to be hyperosmotic to bath: 316 mosM versus 300 mosM. Electrical resistances given by the model: $R^{am} = 313 \Omega \text{ cm}^2$, $R^{lm} = 15.9 \Omega \text{ cm}^2$, $R^i = 4.43 \Omega \text{ cm}^2$, and $R^{bm} = 1.10 \Omega \text{ cm}^2$. FR^{apical} is the ratio of apical (luminal) membrane resistance to transepithelial resistance

to be translateral, and with osmotic permeabilities obtained in experiments by Carpi-Medina and Whittembury (1988), the water uptake via apical membrane is $21.3 \text{ nL cm}^{-2} \text{ s}^{-1}$. This is about twice the water flux of $12.7 \text{ nL cm}^{-2} \text{ s}^{-1}$ through tight junctions, which is driven by $\Delta\pi = 5 \text{ mosM}$. Convection fluxes energized by paracellular water absorption are discussed in detail below. Here it suffices to point out that paracellular solvent drag on potassium ions cannot account for transtubular K^+ absorption which provides a K^+ concentration of the absorbate that is significantly less than 1 mM; an estimate using numbers of Fig. 2 would give $3.0 \text{ pmol cm}^{-2} \text{ s}^{-1} / 22.7 \text{ nl cm}^{-2} \text{ s}^{-1} = 0.24 \text{ mM}$. This should be compared to the total K^+ absorption that gives a K^+ concentration of the absorbate of $187/34.0 = 5.5 \text{ mM}$ (Fig. 2), that is, a value much closer to the 4 mM of the serosal bath. When glucose absorption is included, this number drops to $[K^+]_{\text{absorbate}} = 190 \text{ pmol cm}^{-2} \text{ nl} / 46 \text{ nl cm}^{-2} = 4.1 \text{ mM}$, see Fig. 4. Exit of water through the interspace basement membrane of low reflection coefficients is driven by a small hydrostatic pressure difference ($p^{lis} - p^i$) of $3.95 \times 10^{-3} \text{ atm}$. With a total apical water uptake of $J_V = 34.0 \text{ nL cm}^{-2} \text{ s}^{-1}$ at steady state, this is also the volume flux across *ibm*. The lateral intercellular space of an osmolarity of 305 mosM is no

more than 1.7% hyperosmotic relative to the symmetrical bathing solutions of 300 mosM. Nevertheless, the fluid exiting the lateral space of 316 mosM is 5.3% hyperosmotic with respect to bath. The difference in osmolarity between *lis* and the fluid emerging from *lis*, in this example 11 mosM, shows that exit fluxes are governed by relatively large electrodiffusion permeabilities of *ibm* (conf. Eq. 6), which exposes the unavoidable diffusion-convection problem of the exit pathway in isosmotic transport.

3.2 A Component of Na^+ Uptake Bypasses the Pump

Although the electrical driving force for Na^+ movement through tight junctions is -2.19 mV, the flux of Na^+ of $380 \text{ pmol cm}^{-2} \text{ s}^{-1}$ is inward showing that solvent drag overrules the electrochemical driving force of opposite direction. Formally, the Na^+ current through tight junctions can be written,

$$I_{\text{Na}}^{\text{tj}} = G_{\text{Na}}^{\text{tj}} (V^{\text{tj}} - E_{\text{Na}}^{\text{tj}} + \Phi_{\text{Na}}^{\text{tj}}) \quad (24)$$

$G_{\text{Na}}^{\text{tj}}$ is the Na^+ conductance of tight junctions, $E_{\text{Na}}^{\text{tj}}$ the Na^+ equilibrium potential across tight junctions, V^{tj} the transjunctional potential difference, and $\Phi_{\text{Na}}^{\text{tj}}$ the driving force due to convection, which Ussing has given the expressive term “solvent drag.” In the electrodiffusion-convection regime, the term denoted $\Phi_{\text{Na}}^{\text{tj}}$ of Eq. (24) is the driving force resulting from the convection process. Because we here consider an ion current, it follows that its dimension is “volt.” Ussing’s original mathematical treatment of solvent drag concerned unidirectional isotope fluxes of electroneutral molecules that included a hydrostatic pressure gradient as driving force for the net water flux. With this in mind, it should be evident that our treatment of solvent drag agrees with the original definition. Aiming at solvent drag:

$$\Phi_{\text{Na}}^{\text{tj}} = \frac{I_{\text{Na}}^{\text{tj}} - G_{\text{Na}}^{\text{tj}} (V^{\text{tj}} - E_{\text{Na}}^{\text{tj}})}{G_{\text{Na}}^{\text{tj}}}$$

There is strong dependence of $\Phi_{\text{Na}}^{\text{tj}}$ on $P_{\text{Na}}^{\text{tj}}$ (see Table 1). However, unlike paracellular transport, the overall tubule function is insensitive to a $10\times$ decrease in $P_{\text{Na}}^{\text{tj}}$, which on the other hand leads to 11.3 times increase in paracellular solvent drag. Thus, even though the transepithelial potential difference is negative, our quantitative analysis predicts that solvent drag in tight junctions prevents passive back leak of Na^+ to tubule lumen, which is contrary to information given in medical textbooks (Boron and Boulpaep 2017).

Table 1 Solvent drag on sodium ions in tight junction

P_{Na}^{ij} (cm s^{-1})	V^{trans} (mV)	J_{Na}^{trans} ($\text{pmol cm}^{-2} \text{s}^{-1}$)	J_V^{trans} ($\text{nL cm}^{-2} \text{s}^{-1}$)	I_{Na}^{ij} ($\mu\text{A cm}^{-2}$)	G_{Na}^{ij} (mS cm^{-2})	V^j (mV)	E_{Na}^{ij} (mV)	Φ_{Na} (mV)
1.25×10^{-3}	-1.78	5,195	34.0	36.70	6.65	-2.19	-0.40	7.3
7.50×10^{-4}	-1.81	5,276	34.5	44.21	3.99	-2.23	-0.41	12.9
3.75×10^{-4}	-1.83	5,336	34.9	49.99	1.99	-2.25	-0.42	27.0
1.25×10^{-4}	-1.85	5,377	35.2	53.80	0.67	-2.28	-0.42	82.8

Independent model variables, except P_{Na}^{ij} (column 1), were kept at their standard value. The computed paracellular solvent drag on sodium ions is listed in last column. It shows that there is a strong inverse dependence of Φ_{Na}^{im} on P_{Na}^{ij} . On the other hand, this has little effect on the overall tubule function as exemplified by the transepithelial Na^+ and water fluxes and the transepithelial potential difference.

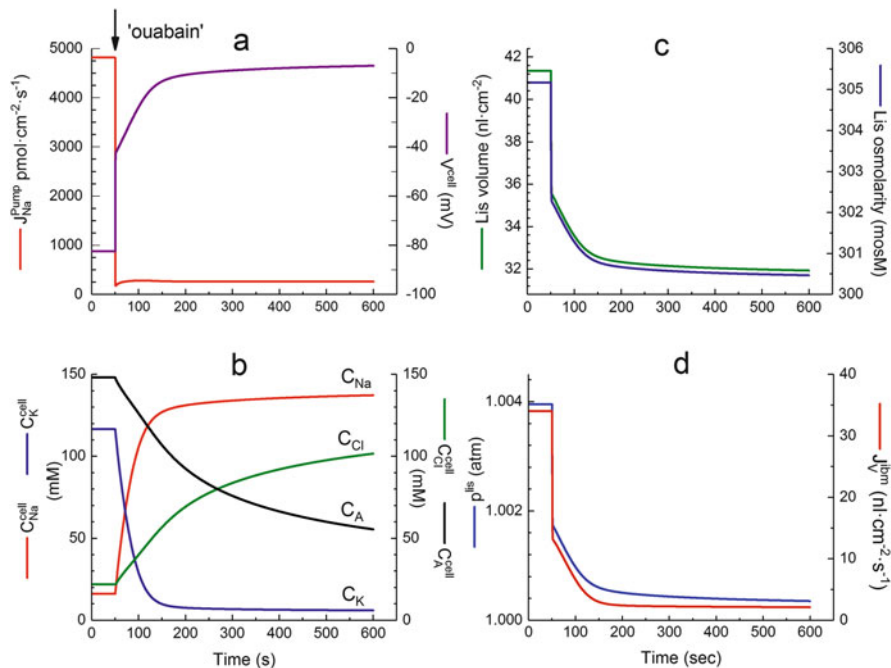


Fig. 3 (a–d) Effects of inhibition of the $\text{Na}^+\text{-K}^+\text{-ATPase}$ of lateral membrane. **(a)** at time = 50 s, maximum pump flux (Eq. 4a) was reduced exponentially ($\tau = 0.1$ s, Eq. 25) by a factor of $50\times$. This resulted in fast drop of $J_{\text{Na}}^{\text{pump},lm}$ and an associated fast cell depolarization of 40 mV. The further slow depolarization owes to dissipation of ion gradients at rates given by respective membrane permeabilities and cellular ion pool sizes. **(b)** Cation-gradient dissipations lead to Na^+ and Cl^- accumulation and K^+ loss. The net effect is cell swelling as indicated by the decrease in concentration of nondiffusible intracellular anions (C_A). **(c)** As consequence of the arrest of lateral active Na^+ flux and subsequent slow ion-gradient dissipations, volume and osmolarity of *lis* decrease accordingly. **(d)** The associated drop in hydrostatic pressure directs reduced exit of fluid across the interspace basement membrane

3.3 Inhibition of the Na^+/K^+ Pump

The lateral $\text{Na}^+/\text{K}^+\text{-ATPase}$ energizes ion and water fluxes through the epithelium (Garg et al. 1981; Gyory and Kinne 1971), and owing to the very high expression of the enzyme in proximal tubule (Jørgensen 1986), pump currents may have significant electrophysiological and hydrodynamic effects. Inhibiting the Na^+/K^+ pump by a $50\times$ reduction of $P_{\text{Na}^+,\text{K}^+}^{lm,pump}$ (Eq. 4a) is shown in Fig. 3. The time course of inhibition is given by,

$$c(t) = c(\infty) - (c(0) - c(\infty))\exp(-t/\tau), \quad (25)$$

Here, $c(0)/c(\infty) = 50$ and $\tau = 0.1$ s. Due to the voltage dependence of the pump current, the instantaneous membrane depolarization is fast and large. Computations

given by the model (Fig. 3a) predict an ouabain-induced cell depolarization of 40 mV for a pump current of $155 \mu\text{A cm}^{-2}$. This is to be compared to a pump-generated hyperpolarization of 15–20 mV of the inward facing membrane of frog skin for pump currents of $40\text{--}50 \mu\text{A cm}^{-2}$ (Nagel 1980), and a 1.8 mV hyperpolarization of giant axon caused by a pump current of $1.8 \mu\text{A cm}^{-2}$ (Hodgkin and Keynes 1955). Our conclusion here is that the contribution of the pump current to the membrane potential has evolved for serving tissue specific functions of the Na^+/K^+ pump; in proximal tubule, the pump serves the returning of a large volume of isosmotic fluid to the extracellular fluid which requires high activity of the Na^+/K^+ -ATPase. In the skin of frogs on land the function of the sodium pump is to return Na^+ (and Cl^-) to the body fluids during evaporative water loss from the cutaneous surface fluid generated by subepidermal mucous glands (Larsen 2011). Finally, electrical signalling in excitable cells relies on the membrane potential being uniquely given by the ratio of the membrane's Na^+ and K^+ permeabilities (Hodgkin 1958), which presupposes relatively very low activity of the Na^+/K^+ -ATPase. Secondary to the voltage dependent sudden decrease, the pump flux decreases further but with a longer time constant caused by the relatively slow redistribution of cellular cation pools (Fig. 3b). With negatively charged intracellular macroions, the working of the pump keeps the cell from swelling and bursting, which otherwise would take place due to the continuous inflow of Na^+ (and Cl^-), conf. the theory of Gibbs-Donnan equilibrium (Sten-Knudsen 2002). Thus, concomitant with accumulation of cellular Na^+ and Cl^- , the cell swells (Fig. 3b). The sudden reduction in pumping of Na^+ into the lateral intercellular space and the slow cellular ion gradient dissipations result in reduction in osmolarity and volume of *lis* that takes place with similar fast and slow time courses (Fig. 3c). The coupling of the pump flux and the water flow across the lateral membrane as indicated in Fig. 3c is discussed in detail in Sect. 3.5. The rate of exit of fluid into the serosal compartment is governed by the hydrostatic pressure difference between the lateral intercellular space, p^{lis} , and serosal compartment, p^i of 1 atm (Fig. 3d).

3.4 Effect of Adding Glucose

Sodium and water absorption increase significantly together with apical membrane depolarization if organic solutes are added to the tubular perfusion solution (Lapointe and Duplain 1991). In rabbit and rat proximal convoluted tubules, significant effects on the rate of fluid absorption were observed upon removal of luminal glucose and other metabolites (Burg et al. 1976; Frömter 1982). We have chosen values of independent variables governing apical Na^+ uptake (Eqs. 1a–3a) for reproducing studies simulating the abovementioned significant effects of glucose. Thus, in the following, fluxes carried by Eq. (3a) are significant as compared to fluxes generated by Eqs. (1a) and (2a). The computed steady state with the physiological concentration of 5 mM glucose is shown in Fig. 4. The pertinent findings can be summarized. In steady state, the cellular glucose concentration is about twice that of the external concentration. Compared to Fig. 2 with no glucose, C_{Na}^{cell} is increased while C_{K}^{cell} is decreased, leading to 3% cell swelling from 2,366 to 2,436 fL. Such a

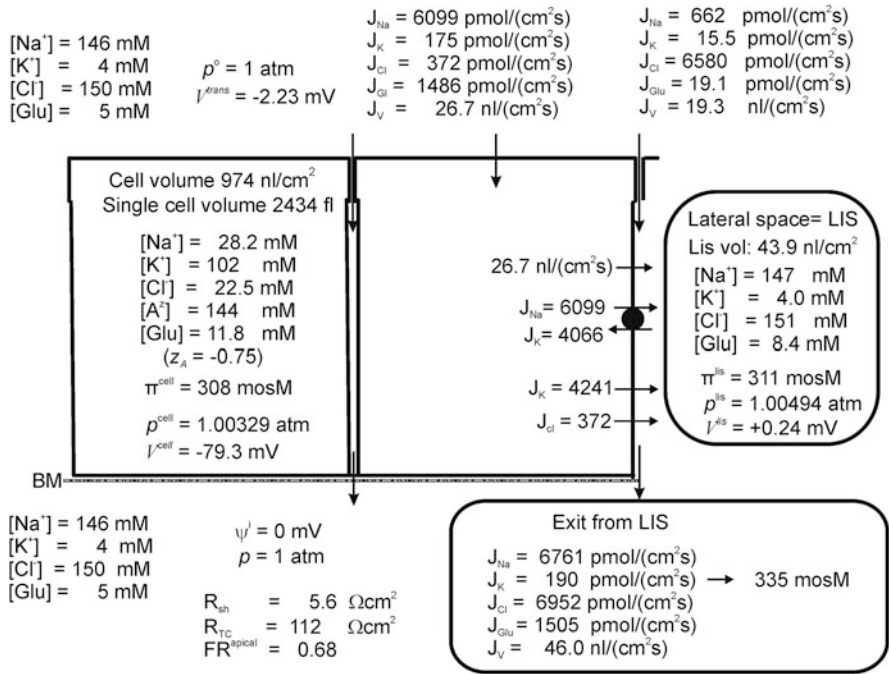


Fig. 4 The model epithelium engaged in glucose absorption. The glucose uptake across the luminal membrane is coupled to uptake of sodium ions and driven by the electrochemical gradient for Na^+ (SGLT2, Eq. 3a). Therefore, addition of glucose to external baths results in stimulation of the active Na^+ flux across the lateral membrane from 4,816 (Fig. 2) to 6,099 $\text{pmol cm}^{-2} \text{ s}^{-1}$. The enhanced lateral Na^+ pump flux increases the Na^+ concentration and osmolarity of the lateral intercellular space, which in turn increases transepithelial fluid uptake, from 30 to 46 $\text{nL cm}^{-2} \text{ s}^{-1}$. Importantly, this results in an increase in the hyperosmolarity of transported fluid, from 316 to 335 mosM. Electrical resistances given by the model: $R^{am} = 96.5 \Omega \text{cm}^2$, $R^{lm} = 17.3 \Omega \text{cm}^2$, $R^j = 4.45 \Omega \text{cm}^2$, and $R^{ibm} = 1.10 \Omega \text{cm}^2$

small cell volume change indicates a priori an insignificant increase in intracellular chloride concentration, which is confirmed quantitatively by numbers given by the model, $C_{Cl}^{cell} = 21.8$ and 22.5 mM , respectively. The glucose-induced hyperpolarization of the transepithelial potential difference of -0.45 mV from -1.78 to -2.23 mV , compare Fig. 2 with Fig. 4, is comparable in absolute magnitude to that of rat proximal tubule, $-0.36 \pm 0.22 \text{ mV}$, mean \pm S.D. (Frömter 1982). In the same study, Frömter perfused rat tubule in situ with Ringer’s solution containing 30 mM bicarbonate in the perfusion solution. Prior to glucose, the transepithelial potential difference was lumen positive but becoming lumen negative, as predicted by model computation, when perfused with 3 mM glucose. The stimulated sodium pump flux results in increased osmolarity of *lis* together with an increased water uptake from 34 to $46 \text{ nL cm}^{-2} \text{ s}^{-1}$. The deviation (Δ) from isosmotic transport becomes significantly larger following addition of glucose, i.e., $\Delta = 16 \text{ mosM}$ and $\Delta = 29 \text{ mosM}$ as indicated in Figs. 2 and 4. The increased fluid uptake discussed above includes an increased flow of fluid in tight junctions, from 12.7 to

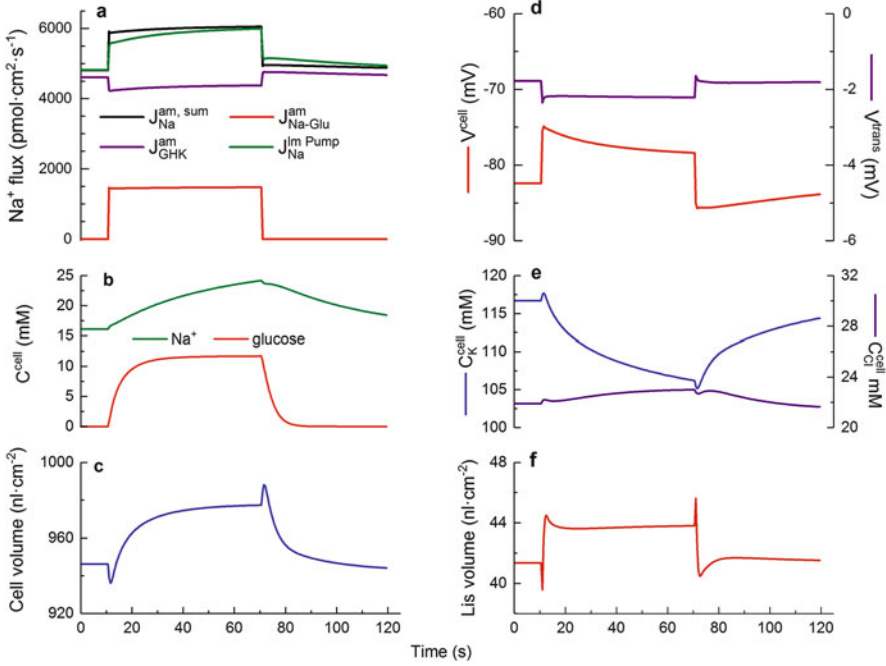


Fig. 5 (a–f) Effects of reversible bilateral exposure of 5 mM glucose of 60 s duration. **(a)** Fluxes carried by electrogenic Na⁺ pathways $J_{Na}^{am, sum} = J_{GHK}^{am} + J_{Na-Glu}^{am}$. Note initial decrease in GHK-flux caused by depolarization of apical membrane. $J_{Na}^{pump, lm}$ is stimulated “instantly” and secondarily as a consequence of a slow increase in C_{Na}^{cell} . See text for discussion. **(b)** Inflow of Na⁺ and glucose results in cellular accumulation of the two solutes, but steady state is not achieved for Na⁺ within the 60-s glucose pulse. **(c)** Cell swelling due to accumulation of Na⁺ and glucose is preceded by fast volume decrease caused by the osmotic effect of adding glucose. **(d)** The electrogenic nature of the apical Na-glucose transporter is reflected in significant membrane depolarization and associated small hyperpolarization of V^{trans} . **(e)** C_K^{cell} decreases with long time constant, e.g., at steady state is $C_K^{cell} = 102$ mM (Fig. 4), while increase in C_{Cl}^{cell} is modest. **(f)** The relatively small *lis* volume responds near instantly to adding/removing glucose to external compartments that embrace the steady volume increase caused by an increase in $C_{glucose}^{lis}$ to 8.4 mM (Fig. 4)

$19.3 \text{ nL cm}^{-2} \text{ s}^{-1}$, which results from increased osmolarity of *lis* from 305 to 311 mosM. Due to convection, this has direct effect on cation fluxes in tight junctions; e.g., for $P_{Na}^{ij} = 1.25 \times 10^{-3} \text{ cm s}^{-1}$ is J_{Na}^{ij} increased from 380 to 662 $\text{pmol cm}^{-2} \text{ s}^{-1}$. The number of 662 $\text{pmol cm}^{-2} \text{ s}^{-1}$ obtained with glucose in luminal solution is probably the better estimate of the paracellular Na⁺ reabsorption driven by solvent drag in proximal convoluted tubule.

In Fig. 5 are shown time-dependent states induced by 60-s bilateral exposure to 5 mM-glucose. By choosing $\tau = 0.1 \text{ s}$ (Eq. 25), the time course of cell variables given in Fig. 5 is reflecting characteristic times of intracellular pools of the “functional syncytium.” Due to the electrogenic nature of the apical Na⁺-glucose transporter (Eq. 3a), the cell membrane potential depolarizes by 7 mV (Fig. 5d), which is comparable to $5.7 \text{ mV} \pm 2.0$ in rat (Frömter 1982). In the same study,

Frömter found that the resistance of the brush border membrane decreased to $52.8 \pm 12\%$ (mean \pm SD) of its control value. In the model, apical membrane resistance dropped from 313 to $96 \Omega \text{ cm}^2$, i.e., to 31% of the control value. A plausible reason for the difference between experiment and computation is that Frömter had 3 mM glucose in the luminal perfusion solution as compared to our 5 mM glucose (Eq. 3a). Thus, with 3 mM glucose, the computed apical membrane resistance dropped to 46% of the control value which is within the abovementioned experimental range (details not shown). As a novel result, it should be noted that the addition of glucose also leads to “instantaneous” increase in $J_{Na}^{lm,pump}$ (Fig. 5a, green graph) which is the consequence of the pump current being an instantaneous function of lateral membrane potential (Eq. 4a). The effect of activating rheogenic Na^+ uptake across the apical membranes on Na^+ pumping rate at the lateral membrane and the associated enhanced rate of fluid uptake constitute a mechanism of “cross-talk” that is not described before. The depolarization is about the same for apical and lateral membranes, because the cell is “short-circuited” by the low-resistance paracellular shunt, cf. time course of V^{trans} shown in Fig. 5d. Subsequently, $J_{Na}^{lm,pump}$ increases slowly governed by slow increase in C_{Na}^{cell} (Fig. 5b).

The cell depolarization indicated in Fig. 5d decreases the Na^+ flux in apical GHK-rectifying Na^+ channels, conf. Eq. (1a) (Fig. 5a purple graph). In recordings of the total membrane current, this would be masked by the larger Na^+ flux via the Na^+ -glucose transporter (Fig. 5a). The decrease in C_K^{cell} from 116 to 106 mM (Fig. 5e), concomitant with the increase in C_{Na}^{cell} , should be compared with the small cell swelling mentioned above, which by and large is caused by the accumulated electroneutral glucose (Fig. 5b, e). This conclusion is in agreement with microscopically observed cell volume changes in microperfused proximal convoluted tubule of rabbit kidney (Burg et al. 1976).

In conclusion, the model reproduces measured glucose effects on Na^+ fluxes, electrophysiology, and cell volume. Besides stimulated transepithelial Na^+ and glucose fluxes fluid uptake as well is increased. It is noteworthy that the solute-coupled fluid flux is predicted to become more hyperosmotic when the proximal kidney tubule is engaged in glucose reabsorption, i.e., 316 mosM versus 335 mosM, which should be compared to “bath” osmolarities of 300 and 305 mosM, respectively.

3.5 Blocking Water Channels of Apical Membrane

Reducing the osmotic permeability of the apical membrane from 0.449×10^4 to $0.449 \mu\text{m s}^{-1}$ results in elimination of translateral water flow with hardly detectable effects on rate of active Na^+ uptake and overall transepithelial water absorption, the latter being 34.0 and 35.5 $\text{nL cm}^{-2} \text{ s}^{-1}$, respectively (Figs. 2 and 6). At the new steady state with little change in apical flux of sodium ions, the pumping of Na^+ into *lis* is also changed by a small amount by the above maneuver, $J_{Na}^{lm,pump} = 4,816$ and 4,837 $\text{pmol cm}^{-2} \cdot \text{s}^{-1}$, respectively (Figs. 2 and 6). Water is now forced to take the paracellular route. Osmolarity and hydrostatic pressure of *lis*, governing entrance

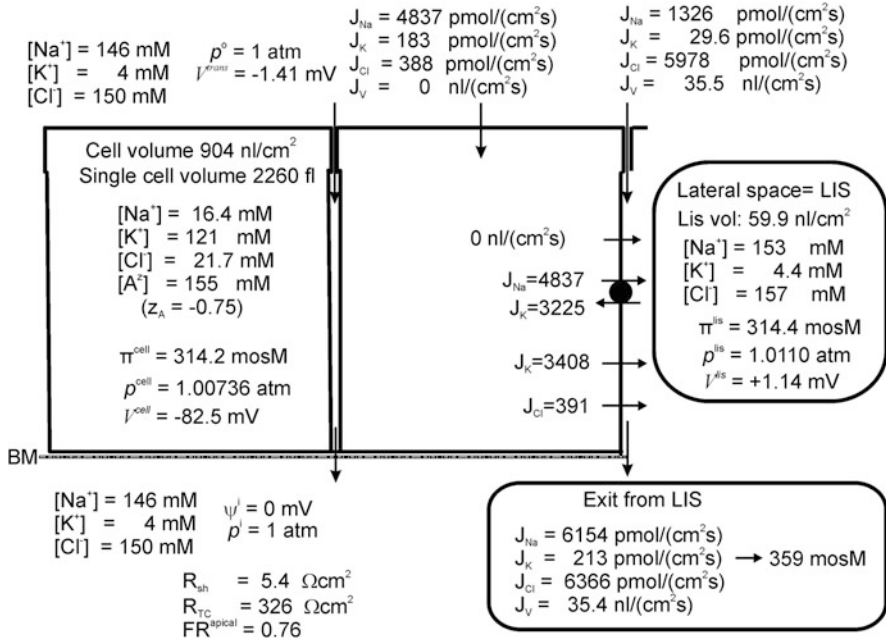


Fig. 6 Steady-state solution with eliminated apical osmotic permeability. Apical P_f was reduced by a factor of 10^4 from 0.449×10^4 to $0.449 \text{ } \mu\text{m s}^{-1}$. At transepithelial osmotic equilibrium, this eliminates cellular water transport, thus simulating AQP-1 (—/—). Electrical resistances given by the following models: $R^{\text{am}} = 312 \text{ } \Omega \text{ cm}^2$, $R^{\text{lm}} = 14.3 \text{ } \Omega \text{ cm}^2$, $R^{\text{ij}} = 4.37 \text{ } \Omega \text{ cm}^2$, and $R^{\text{bbm}} = 1.08 \text{ } \Omega \text{ cm}^2$. It is important to note that the transepithelial water flux is not significantly reduced by eliminating the apical membrane's water channels, $J_V^{\text{bbm}} = 34.0$ and $35.4 \text{ nL cm}^{-2} \text{ s}^{-1}$, respectively (Figs. 2 and 5)

and exit of water across tight junction and interspace basement membrane, respectively, energize the significantly increased water flux along the paracellular route. The larger ion concentrations of *lis* result in increased convection-electrodifusion fluxes through *ibm* as reflected in the increased osmolarity to 359 mosM of the fluid emerging from *lis* (Fig. 6).

The relationships described above can be analyzed in detail by inspecting time-dependent states following a mono-exponential reduction of P_f^{am} from 0.449×10^4 to $0.449 \text{ } \mu\text{m/s}$ governed by $\tau = 1 \text{ s}$ (Eq. 25); see Fig. 7a–f. The initial response to “eliminating” the apical water permeability is a shift of water volume from cells to *lis* driven by the difference in osmolarity between the two compartments, which is maintained by pumping of Na^+ into *lis* (Fig. 7a). In the beginning, where volume shift is fast, $C_{\text{Na}}^{\text{cell}}$ increases rapidly, whereby the Na^+ pump flux is transiently stimulated (Fig. 7b). This lasts for about 20 s, where the osmolarity of *lis* of a relatively small volume increases toward its new steady-state value. While $C_{\text{Cl}}^{\text{cell}}$ increases transiently, the concentration of nondiffusible anions increases in parallel with loss of cell volume (Fig. 7c). The increase in osmolarity of *lis* drives fluid into *lis* from the luminal solution (Fig. 7d), which brings ions into the compartment by solvent drag (Eq. 6). At the beginning of *lis*-volume expansion, p^{lis} is too low for

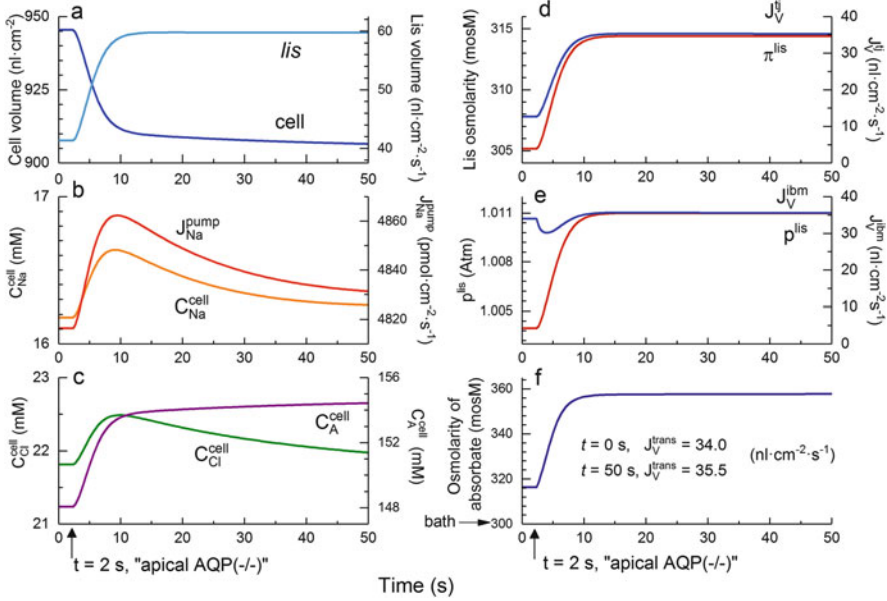


Fig. 7 (a–f) Time course of physiological variables in response to “eliminating” osmotic permeability of apical membrane. At time = 2 s and governed by $\tau = 1$ s, P_f^{am} was reduced exponentially from 4,490 to 0.449 $\mu\text{m s}^{-1}$. **(a)** this results in a fast shift of water volume from cells to *lis*. **(b)** C_{Na}^{cell} increases transiently, which stimulates Na^+ pump flux, $J_{Na}^{pump,lm}$. **(c)** While the transient increase in C_{Cl}^{cell} parallels the transient increase in C_{Na}^{cell} , the increase in concentration of nondiffusible anions is closely following the loss of cell volume. **(d)** The water flux is redirected from being translateral to being paracellular driven by the increased osmolarity of *lis* governed by the C_{Na}^{cell} -stimulated increase in $J_{Na}^{pump,lm}$. **(e)** Due to inflow of water, the hydrostatic pressure of *lis* increases, which drives the volume flux across the interspace basement membrane J_V^{ibm} . **(f)** The final result is a significant increase in osmolarity of the fluid emerging from *lis* due to convection-electrodiffusion of solutes across the interspace basement membrane (Eq. 6). Notably, at the new steady state, the transepithelial water flux is about the same as the water flux prior to eliminating P_f^{am} (35.5 versus 34.0 $\text{nL cm}^{-2} \text{s}^{-1}$)

preventing a decrease in the flux of fluid across *ibm*, J_V^{ibm} , conf. Eq. (9b) (Fig. 7e). This is transient, however; the continued influx of fluid across tight junction causes p^{lis} to increase, which enhances the fluid efflux across *ibm* into the serosal compartment. The new steady state is reached when J_V^{ibm} matches J_V^{lm} (Fig. 7e, $J_V^{lm} = 0$ at all times). The significantly increased solute concentrations of *lis* combined with relatively large ion diffusion permeabilities of *ibm* (Eq. 6) causes the osmolarity of the fluid exiting the epithelium to increase to 359 mosM, which now becomes hyperosmotic by 59 mosM.

With isosmotic luminal perfusate and bath solutions, Schnermann et al. (1998) found that the fluid absorption rate in the S2 portion was halved in AQP-1 knock-out [−/−] mice; expressed in nL per mm tubule length, the rate dropped from 0.64 ± 0.15 (wild type, $n = 8$) to 0.31 ± 0.12 (−/−, $n = 5$). Our analysis presented

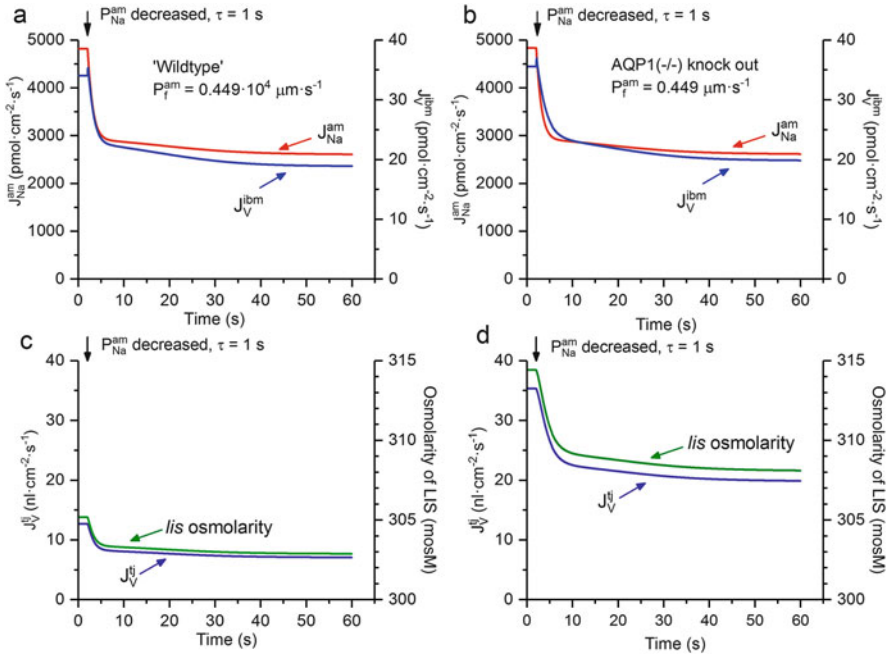


Fig. 8 (a–d) Effects of reducing apical P_{Na} on water fluxes. Reducing apical entrance permeability of sodium ions ($\tau = 1$ s, text Eq. 25) results in parallel decreases in Na^+ flux and rate of transepithelial water absorption. This response is independent of the pathway taken by water. (a) Water absorption is both translateral and transjunctional. (b) About similar responses are seen after having reduced apical osmotic permeability. (c) In “wild type” with intact osmotic permeability of the apical membrane, the small paracellular water uptake is driven by a relatively small difference in osmolarity between surrounding solutions of 300 mosM and *lis*. (d) With the translateral water uptake eliminated, all of the water enters the epithelium through tight junctions, which requires larger osmotic driving force

above showed that a very significant reduction in the number of water channels of the luminal membrane effectively eliminated cellular water fluxes but did not per se reduce the transepithelial absorption of water when studied at transepithelial osmotic equilibrium, where the rate of transepithelial water uptake as found by Solomon’s group (Windhager et al. 1959) is being directed by the rate of active Na^+ uptake. Therefore, it might well be that the observed significant reduction in fluid absorption in AQP-1(-/-) mice observed by Schnermann et al. (1998) would have to be ascribed to the authors’ concomitantly reported reduction in active Na^+ absorption. For testing this hypothesis, we studied the effect of reducing the rate of Na^+ absorption on transtubular water absorption; see Fig. 8. Wild type and AQP-1 knock-out show similar reduction in rate of fluid uptake in response to reduction in the rate of transepithelial Na^+ uptake, here expressed as volume flow out of *lis*, cf. the time course of J_V^{ibm} in Fig. 8a, b. The transepithelial water flow in Fig. 8b is entirely paracellular so the lack of translateral water uptake is reflected in an increased transjunctional water flux and osmolarity of *lis*, compare Fig. 8d with Fig. 8c.

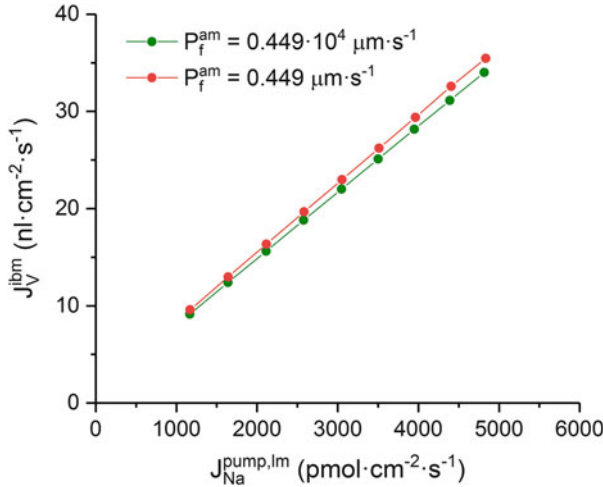


Fig. 9 The fundamental dependence of rate of water absorption on lateral active Na⁺ flux. The rate of water absorption is governed by the rate at which Na⁺ ions are pumped into the lateral intercellular space independently of the pathway taken by water, mostly translateral (red) or purely transepithelial (green)

The decisive influence on water absorption of the rate of active Na⁺ flux across the lateral membrane is well documented by the computed relationships between transepithelial water uptake and the rate of pumping of Na⁺ into the lateral space in wild type and apical AQP-1 knock-out, respectively; see Fig. 9.

3.6 Volume Response of the Epithelium to a Luminal Osmotic Pulse

Exposure of the epithelium to a luminal hyperosmotic pulse results in reversible cell shrinkage (Fig. 10a, b). This is similar to rabbit proximal straight tubule, which does not exhibit volume regulatory increase (Kirk et al. 1987). Volume of the lateral intercellular space increases (Fig. 10c), reflecting that the influx of water from cells to *lis* exceeds the efflux of water to luminal bath through tight junctions. The explanation for this is obvious: the osmotic water permeability of apical and lateral plasma membranes is larger than that of tight junctions; there is, therefore, a flow of water from cells to *lis*, which swells. The loss of cell volume is quantitatively reflected in the increase in concentration of nondiffusible anions (Fig. 10d), a principle that was applied by Reuss (1985) for real-time monitoring of volume changes of epithelial cells in studies of cell volume regulation. The transient increase in osmolarity of cells and *lis* follows the external osmotic pulse in such a way that *lis* remains hyperosmotic to cells at all times maintained by lateral Na⁺/K⁺ pump (Fig. 10e, f).

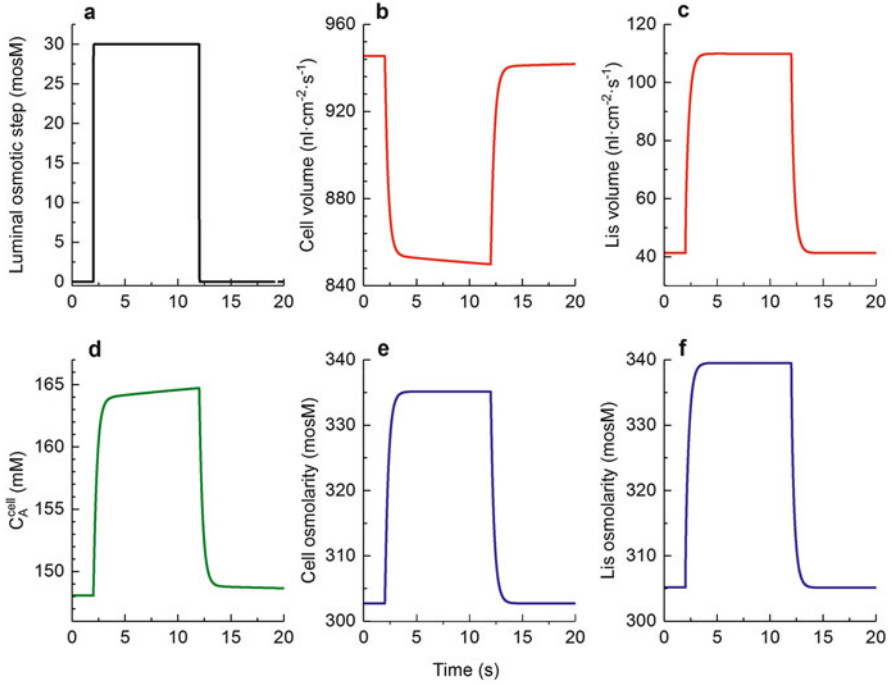


Fig. 10 (a–f) Time-dependent responses to reversible luminal osmotic step. (a) Pulse of 30-mosM of an electroneutral, non-permeable solute applied to outside (luminal) solution. (b, c) Time course of change in the two intraepithelial water volumes; cell volume decreases, while *lis* volume increases. (d) The loss of cell water results in concentration increase in the non-permeable negatively charged intracellular electrolyte with a time course similar to that of change in cell volume. (e, f) Cell and *lis* osmolarity increase. At all times is osmolarity of *lis* larger than osmolarity of cell

3.7 Uphill Water Transport and Intraepithelial Water Fluxes

In Fig. 11, a non-permeable electroneutral solute is added in steps of 5 mosM to the luminal compartment. The two examples are with and without 5 mM glucose, respectively. In both cases, the relationship between water fluxes emerging from the lateral intercellular space and osmotic driving force is near-linear, displaying x-axis intercepts of -27 and -37 mosM, respectively. Thus, the model reproduces “uphill” water transport as observed in proximal tubule of rat (Green et al. 1991) and mice (Schnermann et al. 1998) and generated by previous models of leaky epithelia (Weinstein and Stephenson 1981; Larsen et al. 2000, 2009). In the literature, an (apparent) osmotic permeability of the epithelium is calculated by:

$$P_f^{epit} = \Delta J_V^{trans} / (\Delta \pi^o \cdot \bar{V}_W),$$

where $\bar{V}_W = 18.1 \text{ cm}^3/\text{mol}$ is the molar volume of water at 310 K. From the slope of linear fits, $P_f^{epit} = 680 \text{ } \mu\text{m s}^{-1}$. This is significantly smaller than

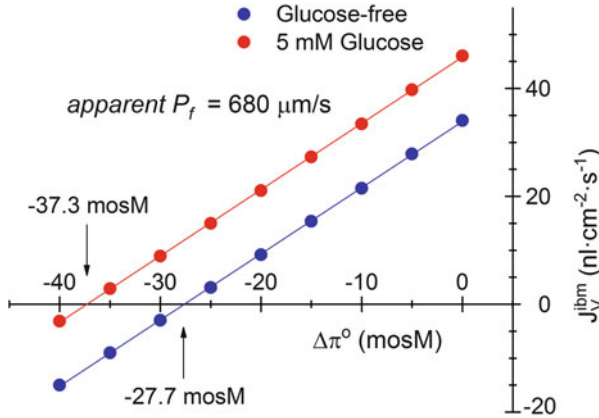


Fig. 11 The model epithelium transports water against an adverse osmotic gradient (“uphill”). A ramp of increasing outside concentration of a non-permeable electroneutral solute, $\Delta\pi^o$, was imposed on the model epithelium. The graph shows computed *steady-state* water fluxes emerging from the lateral intercellular space at each ramp step of 5 mosM. The enhanced water flux following addition of glucose owes to the coupling of Na^+ and glucose in apical SGLT2 which depolarizes the cell membranes. As a result, the Na^+ pumps in the lateral membrane are stimulated (“cross-talk”) which directs an enhanced rate of transepithelial water uptake. Full lines are linear fits to computed points. The slope of the linear relationships denoted *apparent* P_f has physical dimension of osmotic permeability. In the literature, it is used as measure of the osmotic permeability of the epithelium. As discussed in the text, its physical significance is ambiguous with no relationship to an osmotic permeability and should be abandoned

the rate-limiting osmotic permeabilities of apical entrance pathways, $P_f^{am} + P_f^{ij} = 4,490 + 2,500 = 6,990 \mu\text{m s}^{-1}$. To explain this discrepancy, we will study non-stationary water fluxes between intraepithelial compartments by model computations, which cannot be studied by experiment. Figure 12 depicts the response of the apical membrane water flux to a 30 mosM pulse applied to luminal compartment. Prior to the pulse, the water flux is $21.3 \text{ nL cm}^{-2} \text{ s}^{-1}$. The “instantaneous” response is a fast reversal of the water flux to $-197 \text{ nL cm}^{-2} \text{ s}^{-1}$, driven by the imposed osmotic pulse, followed by relaxation toward an inward stationary flux of $35.1 \text{ nL cm}^{-2} \text{ s}^{-1}$ at the end of the pulse. While this inward water flux is energized by ATP hydrolysis at the lateral Na^+/K^+ pumps, the time course of the relaxation depends on the compliance of the lateral plasma membrane; the more resilient the membrane, the longer it takes to achieve the new stationary flux, and for large μ^{lm} , the water flux response is heavily attenuated as compared to the squared shape of the osmotic pulse. The standard compliance constant of the lateral membrane, $\mu^{lm} = 2.5 \times 10^{-3} \text{ Pa}^{-1}$, is taken from experiments on *Necturus gallbladder* (Spring and Hope 1978). As seen, this gives rise to transients of a few seconds duration. At the prevailing sampling rate of 300 Hz, the amplitude of the “instantaneous” response is $21.3 + 197 = 218 \text{ nL cm}^{-2} \text{ s}^{-1}$ corresponding to an apparent osmotic permeability, $P_f^{am} = 4,037 \mu\text{m s}^{-1}$. This is significantly larger than the estimate

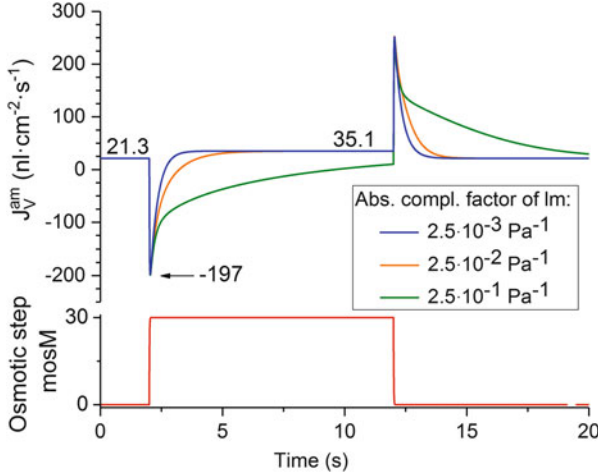


Fig. 12 Dependence of transient states of intraepithelial water fluxes on compliance factors of lateral intercellular membrane. *Bottom panel* applied luminal 10-s pulse of 30 mosM of non-permeable electroneutral solute. Time constant of rise time, $\tau = 0.01$ s, sampling rate = 300 Hz. *Upper panel*, compliance factor-dependent relaxation of water flux redistribution between cells and *lis* with $Vol^{lis} = Vol^{lis, ref} [1 + \mu^{lm} (p^{lis} - p^{cell})]$ (Eq. 18). Blue graph is given by standard input variables. Due to the very fast redirection of intraepithelial water fluxes, the peak response at the onset of the pulse of, -197 $\text{nL cm}^{-2} \text{s}^{-1}$, is underestimating the apical membrane's water permeability. Thus, the amplitude of the “instantaneous” response is $-197 - 21.3 = \sim 218$ $\text{nL cm}^{-2} \text{s}^{-1}$ corresponding to an apparent osmotic permeability of apical membrane, $P_f^{am} = 4,037$ $\mu\text{m s}^{-1}$, as compared to the true $P_f^{am} = 4,490$ $\mu\text{m s}^{-1}$

provided by the method of Fig. 11, and much closer to, but not identical with the model's permeability of, $P_f^{am} = 4,490$ $\mu\text{m s}^{-1}$. This is not due to the sampling rate of 300 Hz being too slow (not shown), but because the osmotic permeability of the “network” of epithelial membranes distributes “instantaneously” water fluxes between intraepithelial compartments. This is illustrated in Fig. 13a–d, where the analysis is extended for covering all intraepithelial water fluxes. Quasi-stationary states are achieved for all fluxes within the pulse length of 10 s; the numbers given in the four panels verify consistency of intraepithelial fluxes.

3.8 Isosmotic Transport

The present study shows that a physiological variation of the rate of fluid absorption by proximal tubule of constant osmotic membrane permeabilities results in predictable variation in osmolarity of transported fluid. For example, an osmolarity of transported fluid of 316 mosM becomes 335 mosM when the rate of fluid absorption is increased by adding glucose to the external solutions (Figs. 2 and 4). Decreasing the osmotic permeability of the luminal membrane also increased the hyperosmolarity, e.g., from 316 to 359 mosM (Figs. 6 and 7). In vitro, the proximal

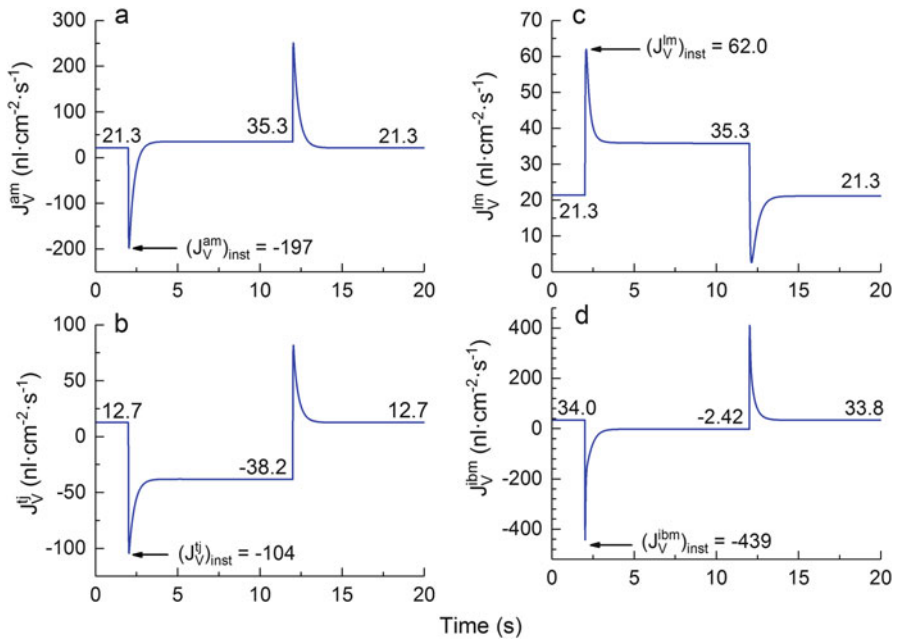


Fig. 13 (a–d) Response of intraepithelial water fluxes to luminally applied 30-mosM pulse. The pulse applied is defined in Fig. 10a. **(a)** Water flux across apical membrane; graph is that of Fig. 10 with $\mu^{lm} = 2.5 \times 10^{-3} \text{ Pa}^{-1}$. **(b)** Water flux in tight junction is directed toward hyperosmotic luminal bath. In quasi-stationary state, $J_V^{ij} = -38.2 \text{ nL cm}^{-2} \text{ s}^{-1}$, illustrating that it is energized by the hyperosmotic pulse. **(c)** Water flux across plasma membrane lining the lateral intercellular space is positive from cell to *lis*. J_V^{lm} added to the tight junction flux (J_V^{ij}) leaves the epithelium across *ibm* to the serosal bath. **(d)** Water flux across the intercellular basement membrane into serosal compartment. The small difference in water fluxes at $t = 0 \text{ s}$ and $t = 20 \text{ s}$, respectively, reflects that intraepithelial ion and volume redistributions are too slow for bringing the epithelium back to its initial steady state (details not shown)

tubule accomplishes isosmotic transport independent of the presence of glucose in luminal perfusion solution. Thus, the above computations show that our model cannot provide an isosmotic absorbate for a given set of osmotic permeabilities. This is an important finding because it indicates that isosmotic absorption is achieved by regulation at epithelial cell level. In an experimental study designed by Ussing of the mechanism of isosmotic transport by the small intestine, Nedergaard et al. (1999) observed that sodium ions are recirculated from the serosal solution via cells back into the lateral intercellular space, which suggested a mechanism for regulating the osmolarity of the transported fluid. In the small intestine (Larsen et al. 2002) and amphibian skin (Larsen et al. 2009), the recirculation pathway would be the 1Na:2Cl:1K cotransporter studied by Frizzell et al. (1979), Ferreira and Ferreira (1981), and Ussing (1985), respectively. In the heterocellular proximal tubule with absorption of Na^+ , Cl^- , and HCO_3^- , one would expect that different segments handle recirculation by different cotransporters depending on whether Cl^- or HCO_3^- are transported together with Na^+ . For illustrating the

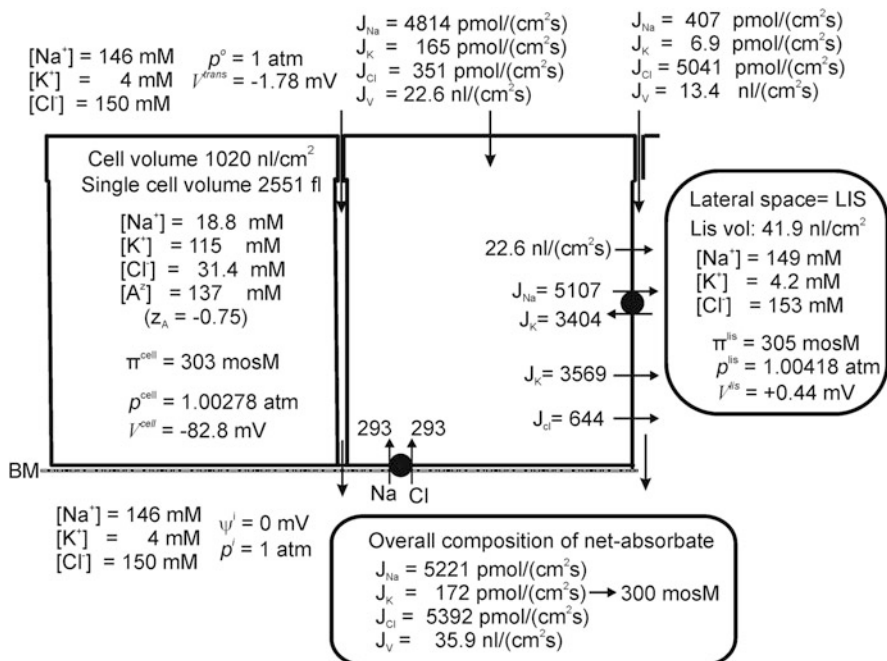


Fig. 14 The Na^+ recirculation theory applied to the kidney proximal tubule. Model solution illustrating how truly isosmotic transport is achieved by recirculating Na^+ and Cl^- from the serosal compartment back into the lateral intercellular coupling compartment energized by lateral $3Na^+/2K^+$ -pumps. Independent variables of all membrane pathways are similar to those used in computations of Fig. 2 in which the serosal $1Na:1Cl$ cotransporter is quiescent. Turning on regulated $1Na:1Cl$ cotransport corrected the hyperosmotic absorbate emerging from the lateral space to an overall osmolarity of the absorbate of 300 mosM. It should be noted that the $1Na:1Cl$ cotransporter is considered here just for the purpose of illustration

significance of regulated ion recirculation in the minimalistic model of the proximal tubule, we introduced a $1Na:1Cl$ cotransporter of the serosal membrane and adjusted the fluxes carried by this transporter until an overall isosmotic fluid transport was achieved. The result is shown in Fig. 14. It can be seen that a recirculation of $J_{NaCl}^{sm} = -293 \text{ pmol cm}^{-2} \text{ s}^{-1}$ results in truly isosmotic transport of 300 mosM; this is associated with an increase of C_{Na}^{cell} from 16.2 to 18.8 mM and an increase of C_{Cl}^{cell} from 21.8 to 31.4 mM. The concomitant increase in active Na^+ pump flux from 4,816 to 5,107 $\text{pmol cm}^{-2} \text{ s}^{-1}$ indicates that the extra metabolic load of generating truly isosmotic transport would be modest, $100 (5,107 - 4,816)/4,816 = 6.0\%$, compared to the results of Fig. 2 obtained with no recirculation.

4 Discussion

Our previously published analytical model of transporting epithelia (Larsen et al. 2002) is here extended for analyzing transient states evoked by perturbing independent variables for simulating commonly applied experimental protocols. This has allowed us to deal with time-dependent dynamic interactions of ion and water fluxes through the epithelium and, for the first time, between subepithelial compartments. The updated model also contains equations for the Na^+/K^+ pump and the Na^+ -glucose transporter (SGLT2) with new equations for these transport systems' contribution to the electrical conductance of the plasma membranes. The model was shown to be quite sufficient for giving answers to a number of pertinent problems in kidney physiology that presupposes quantitative biophysical analysis. The check on these points was provided by comparing published experimental observations with predictions given by the model.

4.1 *The Coupling Between Active Sodium Transport and Fluid Uptake*

The model reproduces a large number of observations based on a physiologically plausible set of input variables such as intracellular ion composition, membrane potentials and resistances, cell volumes, and transepithelial fluxes of water and ions all in agreement with proximal convoluted tubule in situ and microperfused tubule segments (Figs. 2 and 4). Ion composition, hydrostatic pressure, and volume of the lateral intercellular space, inaccessible to measurements in a transporting epithelium, are obtained at any physiological condition. The convection-electrodiffusion equation for tight junction transport provides basis for discussion of leak fluxes associated the reabsorption of the major fraction of the ultrafiltrate. Interestingly, based upon the convection-electrodiffusion equation (Eq. 6), calculations shown in Figs. 4 and 6 and in Table 1 predict as a novel result that passive back leaks would be prevented by solvent drag in tight junctions in the initial segment of proximal tubule, where the electrochemical driving force otherwise would return a component of the Na^+ flux to the tubule lumen.

This seems to be a suitable point at which to emphasize that absorption by proximal tubule of K^+ requires active uptake mechanism, here given by Eq. (2a), because paracellular solvent drag turned out to be quite insufficient, see Sect. 3.1, underscoring the importance of the experimental study by Wilson et al. (1997). By choosing appropriate value of the intrinsic parameter, $K^{\text{NaK2Cl}, am}$ of Eq. (2a) we could direct the overall transepithelial K^+ absorption so that the potassium ion concentration of the absorbate becoming close to that of the interstitial fluid. [Results of such a use of the model are independent of the molecular mechanism of active K^+ uptake]. Thus, governed by the same value of $K^{\text{NaK2Cl}, am}$ in Figs. 2 and 4, the K^+ concentration of the absorbate calculates to be 5.2 and 4.1 mM, respectively. With a

fluid absorption rate of 120 l/day by proximal tubule of human kidney the extracellular K^+ concentration could possibly not be maintained within physiological values unless the $[K^+]$ of the absorbate is regulated at epithelial cell level to be within a range not much different from the physiological range stated to be between 3.8 and 5.0 mM (Hall 2016). By emphasizing the necessity of active K^+ absorption by proximal tubule and the significance of achieving a $[K^+]$ of the absorbate that is not much different from that of the serosal fluid our analysis has pointed out two important questions for future studies: (1) identification of the molecular mechanism of active transport across the apical membrane, and (2) disclosure of the nature of coordination of the *rate* of fluid absorption and the *flux* of K^+ absorption, respectively, for securing near-extracellular $[K^+]$ of the absorbate.

The model predicts the well-established stimulation of Na^+ - and fluid absorption by glucose (Figs. 4 and 5). Of particular interest, the new kinetics- and conductance-equations for SGLT2 (Eqs. 3a, 3c) predict the membrane depolarization and decrease in apical membrane resistance caused by glucose absorption, as observed in a microelectrode study by Frömter (1982). With reference to Fig. 4, computed for 5 mM external glucose, the reversal potential of the SGLT2 transporter is given by the expression (cf. Methods),

$$\begin{aligned} E_{rev}^{Glu-Na, am} &= \frac{RT}{F} \ln \frac{C_{Glu}^o \cdot C_{Na^+}^o}{C_{Glu}^{cell} \cdot C_{Na^+}^{cell}} \\ &= 10^3 \times \frac{8.31 \cdot 310}{96485} \ln \frac{5 \cdot 146}{11.8 \cdot 28.2} \\ &= 21.0 \text{ mV} \end{aligned}$$

This is 100 mV above the membrane potential of -79 mV indicating that our conclusion of glucose absorption by the reversible SGLT2 is robust. It is a new and physiologically significant finding of our study that the voltage dependence of the active Na^+ flux (Eq. 4a) predicts an overlooked “cross-talk” mechanism between apical rheogenic Na^+ uptake and active Na^+/K^+ pump fluxes across the lateral membrane. The physiological implication of this mechanism is indicated by the secondary effect on rate of fluid uptake and a higher osmolarity of the fluid exiting the epithelium via the lateral intercellular space (Fig. 4).

4.2 Eliminating the Osmotic Permeability of Apical Membrane

An intriguing result was obtained when a simulation of aquaporin knock-out showed that water uptake at transepithelial osmotic equilibrium is not significantly changed after elimination of the osmotic permeability of the apical membrane: $J_V = 34.0 \text{ nL cm}^{-2} \text{ s}^{-1}$ for $P_f^{am} = 4,490 \text{ } \mu\text{m s}^{-1}$ and $J_V = 35.4 \text{ nL cm}^{-2} \text{ s}^{-1}$ for

$P_f^{am} = 0.449 \mu\text{m s}^{-1}$, respectively (Figs. 2 and 6). This maneuver effectively blocked the translateral water flux and forced the water absorption to be entirely paracellular (Fig. 6). This response was analyzed in detail by following the development of nonstationary states toward the new steady state. By predicting an increase in the osmolarity of the lateral intercellular space, the analysis provided the biophysical mechanism for the large increase in osmolarity of the absorbate (Fig. 7). The experimental study by Schnermann et al. (1998) showed that AQP-1-null mice exhibited a tubular fluid absorption that is 50% of that of AQP-1(+/-) mice. In our analysis of this finding, we showed that the rate of fluid absorption is given by the rate at which sodium ions are pumped into the lateral intercellular space, independent of the pathway taken by water (Figs. 8 and 9). Therefore, we can conclude that the observed significant decrease in rate of fluid absorption in AQP-1(-/-) mice has to be ascribed solely to the authors' concomitantly reported reduction in active Na^+ absorption. The computed relationship between transepithelial water uptake and active flux of sodium ions (Fig. 9) is a general and fundamental feature of fluid transporting epithelia.

The general applicability of the present study is underscored by pointing out that our analysis eliminates the paradox discussed by Wittekindt and Dietl (2019) that aquaporins in the lung facilitate osmotic water transport, whereas their contribution to water fluxes at near-isosmotic conditions was concluded "elusive." Our analysis of this problem leads to the conclusion that also in the lung are aquaporins of physiological importance for isosmotic transport with the rate of water transport given by the active sodium flux, that is, not by expression of aquaporins. This applies to AQP-1(-/-) engineered lung epithelia as well where the water flow is redirected to the paracellular pathway at a rate given by the active Na^+ flux (conf. Figs. 2 and 6).

4.3 Transepithelial Osmotic Permeability Versus Osmotic Permeability of Individual Membranes

The substantial discrepancy between the osmotic permeability of the epithelium obtained by transepithelial osmotic step experiments and the significantly larger experimentally estimated osmotic permeabilities of individual plasma membranes (Carpi-Medina et al. 1984; Gonz ales et al. 1984; Schafer et al. 1978) was characterized by Weinstein (2013) as "one of the confusing features" of epithelia specialized for isosmotic transport. Our analysis provided the mechanistic explanation for this "confusing feature" by revealing how lateral Na^+/K^+ pumps on a time scale of about 1 s energize redistribution of water flows between intraepithelial compartments that in the end results in relatively small changes in transepithelial water fluxes and uphill water transport across the epithelium (Figs. 11, 12 and 13). This result illustrates the advantage of our dynamic model over and above the steady-state model of Weinstein (2013) which requires a hypotonic luminal space for generating an absorbed fluid that is "isosmotic to plasma." Our computational analytical method providing time-dependent states of physical variables enabled us to study the time course of

intraepithelial water redistributions that result from an imposed external osmotic pulse. Obviously, a “transepithelial osmotic permeability” calculated from this type of experimental protocol is inadequate for functional characterization of the transporting epithelium (Figs. 9 and 12). Furthermore, the result of our analysis shows that Weinstein’s concept of “intraepithelial solute polarization” cannot be ascribed physical significance. In conclusion, it is a result of significant physiological implications that the osmotic permeability of the whole epithelium P_f^{epi} has ambiguous biophysical meaning and should be abandoned in studies of water fluxes across intact epithelia.

4.4 *Truly Isosmotic Transport*

In the standing gradient theory of isosmotic transport by Diamond and Bossert (1967), sodium pumps are located in the closed apical end of the lateral intercellular space. It was hypothesized that for a particular set of independent variables such as active pumping rate, diffusion coefficients in *lis* of unstirred fluid, and physical dimensions of the space, this model would have capacity to generate isosmotic transport. The theory directed fresh approaches to the problem of solute-coupled fluid transport and broadened the field by including epithelia of a larger number of animal species adapted to a variety of habitats as reviewed in Berridge and Oschmann (1972). The theory is now abandoned because pumps are uniformly distributed in the lateral membrane or concentrated near the basal end, tight junctions are permeable to both ions and water, and the lateral space cannot be assumed unstirred. Importantly, however, Diamond and Bossert’s theoretical approach gave rise to a clear formulation of the fundamental problem of isosmotic transport. In the authors’ own words (loc. cit. page 2077), “two forces are responsible for carrying solute out the open mouth of the system: the diffusion of solute down its concentration gradient, and the sweeping effect of water flow upon solute. Any factor that increases the relative importance of diffusion will make the emergent fluid more hypertonic, while factors increasing the relative importance of water flow are associated with a more nearly isotonic emergent fluid.”

Mathematical models of today are significantly different from the Diamond and Bossert model; the fluid of the lateral intercellular space is assumed well stirred, tight junctions are ion- and water permeable, a basement membrane is separating *lis* and serosal compartment, and hydrostatic pressures and membrane potentials constitute driving forces for water and ion flows. Furthermore, in our treatment of convection-electrodifffusion at the interfaces limiting the lateral intercellular space from outside and inside compartments, respectively, we introduced an expanded version of Hertz’ equation (Larsen et al. 2002). Nevertheless, in general terms, our computations underscored the above conclusion of the Diamond-Bossert paper.

A study applying the pre-steady-state theorem (Sten-Knudsen and Ussing 1981) for separating paracellular and transcellular radioactive Na^+ fluxes in small intestine

indicated recirculation of sodium ions from serosal solution bath back into lateral space via the transporting epithelial cells (Nedergaard et al. 1999). Our subsequent theoretical analysis (Larsen et al. 2002) indicated that the fairly large recirculation fluxes of the above study follow logically from the relatively small osmotic permeability of small intestine. The present study indicates that in the highly water permeable proximal tubule the putative Na^+ recirculation flux necessary for isotonic transport would be small and probably too small for being measured. This prediction focuses future research on the precise nature and operation of the serosal Na^+ cotransporters that may mediate Na^+ recirculation in the different segments of proximal tubule.

5 Additional Information

Competing Interests

None declared.

Author Contributions

EHL contributed to the conception of the work. JNS developed the FORTRAN program including the numerical methods. EHL provided mathematical equations, performed most of the computations, and wrote the first draft of the manuscript that was further edited by both authors. Both authors have approved the final version of the manuscript and agree to be accountable for all aspects of the work and qualify for authorship. No one else qualify for authorship.

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Appendix 1: Nomenclature

See also overview in Fig. 1b.

Variables or constants and their definition	Symbol
Concentration of j (Na^+ , K^+ , Cl^- , A^- , or <i>glucose</i>) in compartment $comp$ (o , $cell$, lis , or i)	C_j^{comp}
Osmolarity of compartment indicated by superscript (o , $cell$, lis , or i)	π^{comp}
Hydrostatic pressure of compartment indicated (o , $cell$, lis , or i)	p^{comp}
Electrical potential of compartment indicated (o , $cell$, lis , or i) with $\psi^i \equiv 0$	ψ^{comp}
Transepithelial potential difference, $\psi^o - \psi^i$	V^{trans}
Electrical potential difference between o and $cell$, $\psi^o - \psi^{cell}$	V^{om}
Electrical potential difference between $cell$ and lis , $\psi^{cell} - \psi^{lis}$	V^{lm}
Electrical potential difference between $cell$ and i , $\psi^{cell} - \psi^i$	V^{sm}

(continued)

Variables or constants and their definition	Symbol
Passive permeability of j in membrane m (am, lm, sm, tj, ibm)	P_j^m
Reflection coefficient of j ($\text{Na}^+, \text{K}^+, \text{Cl}^-, \text{glucose}$) in m (tj, ibm)	σ_j^m
Flux of j ($= \text{Na}^+, \text{K}^+, \text{Cl}^-, \text{glucose}$) across m (am, lm, sm, tj, ibm)	J_j^m
Electrical current carried by j ($\text{Na}^+, \text{K}^+, \text{Cl}^-$) across m (am, lm, sm, tj, ibm)	I_j^m
Integral ion (j) conductance of membrane m	G_j^m
Water volume flux across m (am, lm, sm, tj, ibm)	J_V^m
Hydraulic conductance of membrane m (am, lm, sm, tj, ibm)	L^m
Osmotic permeability of m (am, lm, sm, tj, ibm)	P_f^m
Relative compliance constant of membrane m (am, lm, sm)	μ^m
Absolute compliance constant of lm	$\bar{\mu}^{lm}$
Empirical constant of 1Na:1K:2Cl cotransporter of membrane m	$K_{NaK2Cl, m}^{Na}$
Empirical constant of 1Na:1Cl cotransporter of membrane m	$K_{NaCl, m}^{Na}$
Apparent dissociation constants of Na^+ binding of Na^+/K^+ pump at lm	$K_{Na^+}^{pump, lm}$
Apparent dissociation constants of K^+ binding of Na^+/K^+ pump at lm	$K_{K^+}^{pump, lm}$
Turnover constant of $\text{Na}^+:\text{glucose}$ transporter at am	P_{Glu-Na^+}
Empirical apparent dissociation constant of $\text{Na}^+:\text{glucose}$ transporter at am	K_{Glu-Na}^{Glu-Na}
Empirical apparent dissociation constant for glucose of $\text{Na}^+:\text{glucose}$ transporter at am	$K_{Na^+}^{Glu-Na}$
Maximum turnover of glucose exchanger at lm	$J_{Glu}^{max, lm}$
App dissociation const. of symmetrical carrier at lm	K_{Glu}^{lm}
Turnover constant of $\text{Na}^+:\text{glucose}$ transporter at am	P_{Glu-Na^+}
Temperature in K	T
Faraday	F
Universal gas constant	R

Appendix 2: Independent Variables

Name	Symbol	Value	MKSA unit
Hydraulic conductance of am	L^{am}	3.1370d-11	$\text{m}^3 \text{s}^{-1} \text{N}^{-1}$
Hydraulic conductance of sm	L^{sm}	3.5000d-14	$\text{m}^3 \text{s}^{-1} \text{N}^{-1}$
Hydraulic conductance of lm	L^{lm}	3.4980d-11	$\text{m}^3 \text{s}^{-1} \text{N}^{-1}$
Hydraulic conductance of tj	L^{tj}	3.1370d-11	$\text{m}^3 \text{s}^{-1} \text{N}^{-1}$
Hydraulic conductance of ibm	L^{ibm}	2.3100d-07	$\text{m}^3 \text{s}^{-1} \text{N}^{-1}$
Na^+ concentration of outside compartment	C_{Na}^o	146	mol m^{-3}
K^+ concentration of outside compartment	C_K^o	4	mol m^{-3}
Cl^- concentration of outside compartment	C_{Cl}^o	150	mol m^{-3}
Concentration of non-diffusible solute of outside compartment	C_S^o	Protocol dependent	mol m^{-3}
Glucose concentration of outside compartment	C_{glu}^o	0, 3 or 5	mol m^{-3}

(continued)

Name	Symbol	Value	MKSA unit
Na ⁺ concentration of inside compartment	C_{Na}^i	146	mol m ⁻³
K ⁺ concentration of inside compartment	C_K^i	4	mol m ⁻³
Cl ⁻ concentration of inside compartment	C_{Cl}^i	150	mol m ⁻³
Concentration of non-diffusible solute of inside comp.	C_S^i	0	mol m ⁻³
Glucose concentration of inside compartment	C_{glu}^i	0, 3, or 5	mol m ⁻³
Na ⁺ GHK permeability of <i>am</i>	P_{Na}^{am}	0.100d-6	m s ⁻¹
K ⁺ GHK permeability of <i>am</i>	P_K^{am}	0.274d-12	m s ⁻¹
Cl ⁻ GHK permeability of <i>am</i>	P_{Cl}^{am}	0.160d-11	m s ⁻¹
Na ⁺ GHK permeability of <i>sm</i>	P_{Na}^{sm}	0.100d-11	m s ⁻¹
K ⁺ GHK permeability of <i>sm</i>	P_K^{sm}	0.100d-11	m s ⁻¹
Cl ⁻ GHK permeability of <i>sm</i>	P_{Cl}^{sm}	0.100d-11	m s ⁻¹
Na ⁺ GHK permeability of <i>lm</i>	P_{Na}^{lm}	0.300d-12	m s ⁻¹
K ⁺ GHK permeability of <i>lm</i>	P_K^{lm}	0.120d-6	m s ⁻¹
Cl ⁻ GHK permeability of <i>lm</i>	P_{Cl}^{lm}	0.800d-7	m s ⁻¹
Na ⁺ GHK permeability of <i>tj</i>	P_{Na}^{tj}	0.125d-6	m s ⁻¹
K ⁺ GHK permeability of <i>tj</i>	P_K^{tj}	0.180d-6	m s ⁻¹
Cl ⁻ GHK permeability of <i>tj</i>	P_{Cl}^{tj}	0.400d-5	m s ⁻¹
Glucose permeability of <i>tj</i>	P_{glu}^{ibm}	0.950d-8	m s ⁻¹
Na ⁺ GHK permeability of <i>ibm</i>	P_{Na}^{ibm}	0.750d-6	m s ⁻¹
K ⁺ GHK permeability of <i>ibm</i>	P_K^{ibm}	0.110d-5	m s ⁻¹
Cl ⁻ GHK permeability of <i>ibm</i>	P_{Cl}^{ibm}	0.159d-4	m s ⁻¹
Glucose permeability if <i>ibm</i>	P_{glu}^{ibm}	0.350d-5	m s ⁻¹
1Na:1 K:2Cl co-transporter constant of <i>am</i>	$K^{NaK2Cl, am}$	0.150d-12	m ¹⁰ s ⁻¹ mol ⁻³
1Na:1Cl co-transporter constant of <i>am</i>	$K^{NaCl, am}$	0.100d-10	m ⁴ s ⁻¹ mol ⁻¹
Turnover constant of lateral 3Na ⁺ /2K ⁺ pump	$P_{Na^+, K^+}^{pump, lm}$	0.100d-2	mol s ⁻¹ m ⁻²
Electromotive force of the 3Na ⁺ /2K ⁺ pump	E^{pump}	0.2	Volt
Apparent dissociation constants of Na ⁺ binding	$K_{Na^+}^{pump, lm}$	3.40d0	mol m ⁻³
Apparent dissociation constants of K ⁺ binding	$K_{K^+}^{pump, lm}$	0.75d0	mol m ⁻³
Turnover constant of Na ⁺ :glucose transporter of <i>am</i>	P^{Glu-Na^+}	1.6d-8	mol s ⁻¹ m ⁻²
Apparent dissociation constant for Na ⁺	K_{Glu}^{Glu-Na}	5.d0	mol m ⁻³
Apparent dissociation constant for glucose	$K_{Na^+}^{Glu-Na}$	0.25d2	mol m ⁻³
Maximum turnover of glucose exchanger at <i>lm</i>	$J_{Glu}^{max, lm}$	2.0d-4	mol s ⁻¹ m ⁻²
App dissociation const. of symmetry. carrier at <i>lm</i>	K_{Glu}^{lm}	5.0d1	mol m ⁻³
Hydrostatic pressure of outside compartment	p^o	1.01325e5	Pa

(continued)

Name	Symbol	Value	MKSA unit
Hydrostatic pressure of inside compartment	p^i	1.01325e5	Pa
Electrical potential of inside compartment	ψ^i	0	Volt
Mean valence of nondiffusible intracellular anions	z_A	-0.75	
Na ⁺ reflection coefficient of <i>tj</i>	σ_{Na}^{tj}	0.70	
K ⁺ reflection coefficient of <i>tj</i>	σ_K^{tj}	0.70	
Cl ⁻ reflection coefficient of <i>tj</i>	σ_{Cl}^{tj}	0.45	
Glucose reflection coefficient of <i>tj</i>	σ_{Glu}^{tj}	0.80	
Na ⁺ reflection coefficient of <i>ibm</i>	σ_{Na}^{ibm}	0.03	
K ⁺ reflection coefficient of <i>ibm</i>	σ_K^{ibm}	0.03	
Cl ⁻ reflection coefficient of <i>ibm</i>	σ_{Cl}^{ibm}	0.03	
Glucose reflection coefficient of <i>ibm</i>	σ_{Glu}^{ibm}	0.03	
Temperature	T	310	K
Faraday	F	96,485	C mol ⁻¹
Absolute compliance constant of <i>lm</i>	μ^{lm}	0.250d-2	Pa ⁻¹
Reference volume of <i>lis</i>	$Vol^{lis,ref}$	3.10d-7	m ³ m ⁻²
Cell density	D^{cell}	4.00d+9	# cells m ⁻²
Nondiffusible anions in cell	M_A	3.50d-13	mol cell ⁻¹

o outside (luminal) compartment, *i* inside (serosal) compartment, *am* apical membrane, *lm* lateral membrane, *sm* serosal membrane, *lm* lateral membrane, *tj* tight junctions, *ibm* interspace basement membrane

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Correction to: Stationary and Nonstationary Ion and Water Flux Interactions in Kidney Proximal Tubule: Mathematical Analysis of Isosmotic Transport by a Minimalistic Model



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The chapter ‘Stationary and Nonstationary Ion and Water Flux Interactions in Kidney Proximal Tubule: Mathematical Analysis of Isosmotic Transport by a Minimalistic Model’ has now been made available open access under a CC BY 4.0 license and the copyright holder has been updated to ‘The Author(s)’.

Further, in Fig. 7e the left-hand side axis label was published incorrectly as being p^{cell} (Atm) instead of the correct label of p^{lis} (Atm). This has now been corrected.

The updated Fig. 7 is shown below.

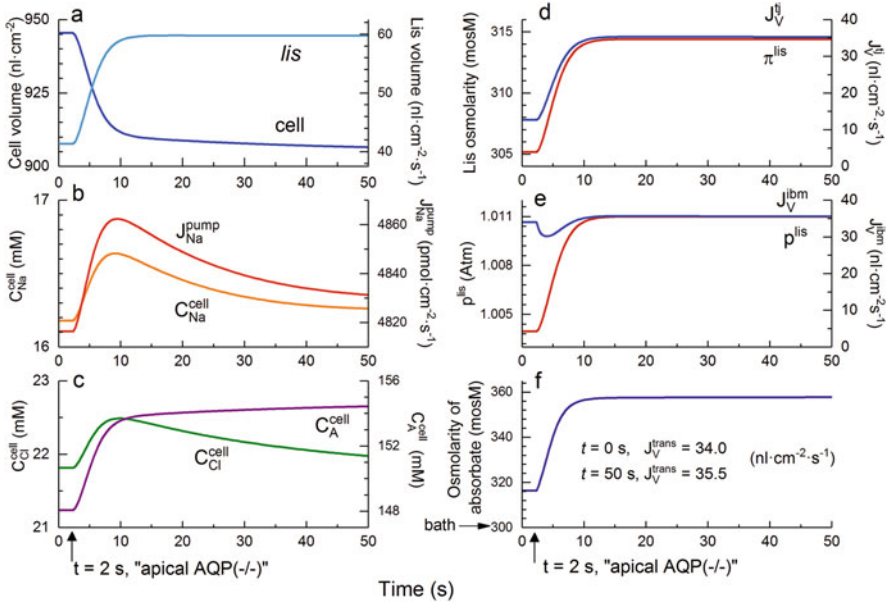


Fig. 7 (a–f) Time course of physiological variables in response to “eliminating” osmotic permeability of apical membrane. At time = 2 s and governed by $\tau = 1 \text{ s}$, P_f^{am} was reduced exponentially from 4,490 to $0.449 \mu\text{m s}^{-1}$. **(a)** this results in a fast shift of water volume from cells to *lis*. **(b)** $C_{\text{Na}}^{\text{cell}}$ increases transiently, which stimulates Na^+ pump flux, $J_{\text{Na}}^{\text{pump.lm}}$. **(c)** While the transient increase in $C_{\text{Cl}}^{\text{cell}}$ parallels the transient increase in $C_{\text{Na}}^{\text{cell}}$, the increase in concentration of nondiffusible anions is closely following the loss of cell volume. **(d)** The water flux is redirected from being translateral to being paracellular driven by the increased osmolarity of *lis* governed by the $C_{\text{Na}}^{\text{cell}}$ -stimulated increase in $J_{\text{Na}}^{\text{pump.lm}}$. **(e)** Due to inflow of water, the hydrostatic pressure of *lis* increases, which drives the volume flux across the interspace basement membrane J_V^{ibm} . **(f)** The final result is a significant increase in osmolarity of the fluid emerging from *lis* due to convection-electrodiffusion of solutes across the interspace basement membrane (Eq. 6). Notably, at the new steady state, the transepithelial water flux is about the same as the water flux prior to eliminating P_f^{am} (35.5 versus $34.0 \text{ nL cm}^{-2} \text{ s}^{-1}$)