# In Vivo and Ex Vivo Confocal Microscopy for Nail Diseases

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Reflectance confocal microscopy (RCM) is a noninvasive high-resolution imaging technique that has been applied in dermatology for the diagnosis of melanocytic and non-melanocytic tumors and inflammatory and infectious diseases and, in the last years, also for the examination of skin appendages such as hairs and nails. It allows a noninvasive and in vivo examination of the nail plate, potentially reducing the number of biopsies that can irreversibly alter the structure of this sensitive area. RCM represents a valid tool for the diagnosis of onychomycosis and has shown better sensitivity and specificity than the microscopic examination with KOH preparation. Regarding melanonychia, it is unable to explore nail matrix owing to the limited penetration depth, but it can be used intraoperatively to visualize melanocytes in the nail matrix since the eponychium can be reclined during the surgical biopsy. Ex vivo fluorescence confocal microscopy (FCM) can also play a role in the preoperatory diagnosis of other nail tumors such as squamous cell carcinoma, onycholemmal carcinoma, onychomatricoma,

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onychopapilloma, glomus tumors, or neurinoma; it allows to perform a quick examination before final histopathology and to outline tumor margins. In the future, RCM could be used for a noninvasive diagnosis of inflammatory nail disease and to monitor the response to topical or systemic therapy. Limitations are the higher cost, the requirement to have experienced specialized dermatologists for the acquisition and interpretation of the images, and the limited achievable depth.

In memory of Bruno Fouilloux.

# **Confocal Microscopy and Nails**

Confocal microscopy has been developed by Marvin Minsky in 1955 [1]. Since 1993, it has been applied in vivo in the field of dermatology [2]. It provides real-time high-resolution black and white horizontal sections of the entire epidermis and superficial dermal layer up to ~200 µm of depth. Unlike conventional microscopes, confocal microscopy enables to create virtual sections inside the skin and to identify also dynamic events such as blood flow [3]. Confocal microscopy can be used in the reflectance or the fluorescence mode. In reflectance confocal microscopy (RCM, 830 nm laser), different contrast depends on the refractive index of the inner structures: melanin and keratin are endogenous chromophores; therefore, stratum corneum, hair shaft, acrosyringium, pigmented





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keratinocytes, and melanocytes appear hyperrefractive [4]. Images appear in grayscale with dark hyporeflective structures (black and dark gray) and bright hyperreflective structures (white and light gray). In fluorescence confocal microscopy (FCM, laser wavelength of 488 nm and 658 nm), the nonfluorescent structures are dark (black and dark gray), and the fluorescent structures are white. Autogenous fluorescence of the skin and/or fluorescent agents can be exploited. In vivo confocal microscopy is mainly used in the reflectance mode, and fluorescence mode is practiced for research, whereas in ex vivo conditions, both reflectance and fluorescence modes are used, and reflectance and fluorescence can be also used simultaneously (fusion mode). In ex vivo conditions, several fluorescent agents have been tested, including acridine orange, fluorescein, patent blue, methylene blue, Nile blue, and toluidine blue, but only acridine orange is used in practice, as it provides strong contrast for cells by staining nuclear DNA. With acridine orange, the nuclei of the cells are fluorescently stained in white with an increase in contrast of keratinocytes, hair follicle epithelium, sebaceous and eccrine glands, fibroblasts, and tumor cells relative to the surrounding tissue.

Three devices dedicated to the skin are commercially available: two work in vivo (VivaScope 1500 and 3000, Caliber, New York, USA, distributed in Europe by the company MAVIG GmbH, Munich, Germany) and one ex vivo (VivaScope 2500, Caliber). The traditional wide probe camera VivaScope 1500 can work in both reflectance and fluorescence modes, provides images of 500 µm in diameter that can be stitched together in mosaic images of maximum 8 mm and should be fixed to the tissue with a special window, and needs mineral oil as interface. The convex surface of the nail and the concavity of the transition between the nail plate and the surrounding skin make difficult to place and hold the device during in vivo imaging. To overcome this technical problem, the handheld device (VivaScope 3000) can be used for the nail. VivaScope 3000 with ultrasound gel used as interface is better than VivaScope 1500 for nail examination because no extra window is needed, and the ultrasound gel

allows adapting the tip of the device to the irregular nail surface to be observed. The second generation of VivaScope 3000 is more suitable than the newest version of VivaScope 3000 due to its smaller tip of 0.5 cm instead of 1.5 cm. The handheld camera acquires single images of 920 µm in diameter in reflectance mode, and no mosaics are obtainable. The acquisition of in vivo images is fast; it takes just a few minutes, especially with the handheld camera. Optical resolution is in the cellular scale:  $<2 \mu m$  in the horizontal plane and  $<5 \ \mu m$  in the vertical plane. The ex vivo device is used on freshly excised unfixed and not cut surgical specimen. Notably, it does not modify the tissue and does not prevent subsequent histopathological examination. Different from in vivo examination, ex vivo examination gives the opportunity to observe the sample from its lateral sides and its bottom according to how the specimen is mounted. The ex vivo microscope produces horizontal images of  $750 \times 750 \ \mu m$  of the different layers of the skin up to a thickness of 200  $\mu$ m [5]. Single images are automatically stitched together into a reconstructed mosaic image to a maximum size of  $20 \times 20$  mm [5]. The lateral and axial spatial resolutions are 1 µm and 3 µm, respectively.

RCM has been used in clinical routine for the diagnosis of several melanocytic and nonmelanocytic tumors [6–8], and it has been demonstrated to be superior to dermoscopy for the diagnosis of melanoma [9]. More recently, it has also been applied for different diseases such as psoriasis, atopic dermatitis, lichen planus, mycosis fungoides, discoid lupus erythematosus [10– 15], and cutaneous infections and infestations [16, 17] and for the examination of skin appendages, such as hair [18] and nails [19, 20].

#### **Healthy Nail**

Nails are particularly suitable to be examined with RCM owing to the nail plate transparency that allows a deeper penetration of the laser up to 400–500  $\mu$ m [20]. In 1995, Kaufman et al. affirmed that the examination of nail apparatus with conventional light microscope, transillumination, and reflected light illumination was not useful because of the high reflectivity of the keratinized surface of the nail plate, and they first considered RCM as a new instrument for the study of nail unit in vivo [19]. Their microscope allowed to visualize not only changes seen in the capillary nail fold but also the nail plate and nail bed. Lower nail plate showed discrete cells, some with nuclear fragments, which were visible as bright spots; underlying epithelial ridge in nail bed revealed keratogenous zone and underlying rootlike epidermal ridges [19].

In 2011, Sattler et al. have described the morphology of nail unit under in vivo RCM [21]. Nail surface, nail plate, and nail bed in thin nails (<500  $\mu$ m) could be examined with handheld RCM (Fig. 6.1). The difference of intensity of reflection allowed to distinguish three different layers:

- A superficial layer with a brighter reflection
- An intermediate layer with slightly poorer signal
- A deeper brighter zone

Furthermore, transition zones have been described [20, 21]:

- A transition area to the underlying nail bed that shows wavelike structures, which are directed toward the fingertip
- A transition area between the proximal nail plate and the skin that is characterized by three adjacent layers:
  - (i) Normal honeycombed pattern of the epidermis
  - (ii) Stellate-shaped epidermal keratinocytes, i.e., keratinocytes sectioned obliquely
  - (iii) Hyperreflective or moderate reflective band representing cuticle



**Fig. 6.1** Clinical (**a**) and in vivo reflectance confocal microscopy (RCM) aspect of a thumbnail plate (**b**–**f**). Three zones of different brightness can be differentiated going deeper into the nail plate: a bright surface (**b**), a dark central part (**c**), and a bright deepest part (**d**). The transition to the underlying nail bed is visible as wavelike structures, which are directed toward the fingertip (**e**). The

transition between the proximal part of the nail plate and the skin ( $\mathbf{f}$ ) is characterized by normal honeycomb pattern of the epidermis (yellow asterisk) that continues into stellate figures corresponding to epidermal keratinocytes sectioned obliquely (red asterisk) and by a hyperreflective stripe corresponding to the cuticle (black asterisk)

### Onychomycosis

Onychomycosis is a very common fungal infection of the nail caused by dermatophytes, yeasts, or molds [22]. It represents about 50% of ungual pathology [23]. Its diagnosis can be challenging because it is often difficult to distinguish from other nail disorders such as traumatic onycholysis, psoriasis, or lichen ruber planus [24]. Treatment often requires several months of systemic medication and can cause serious adverse reactions such as hepatitis or drug-induced lupus erythematosus [25]. Therefore, mycologic study is required before antifungal therapy is prescribed. Conventional diagnostic methods include KOH preparation for optical microscopy examination, culture, PCR, and histopathology with PAS staining [26].

KOH preparation is a cheap and easy to execute method that provides a result after about 30 minutes. However, it is invasive, and the sample collection must be correct in order to avoid false-negative results or false-positive results such as contaminant molds [27]. Dermatophyte culture allows the identification of the fungal species, but it takes a long time and can be contaminated. Recently, distinctive dermoscopic signs of distal subungual onychomycosis have been described such as jagged proximal edge with spikes of the onycholytic area and longitudinal striae [28, 29]. Utility of in vivo RCM for the diagnosis of onychomycosis has been known since 1994 when Pierard et al. considered RCM as "a door opened to the future," able to help clinician in the identification of onychomycosis [30]. The first case of onychomycosis confirmed by in vivo RCM was reported by Hongcharu et al. in 2000 [31]. RCM revealed network of branched high-reflective hyphae just below the surface of the nail plate (Fig. 6.2) and was able to confirm the diagnosis with higher precision and faster results compared with KOH preparation. However, other authors asserted that this tool is too expensive to be used in clinical practice [27].

In 2012, Rothmund et al. considered 50 patients with suspected onychomycosis and compared sensitivity and specificity of six diagnostic methods including in vivo RCM [32]. RCM analysis was performed with VivaScope 1500 on the most suspicious area. Diagnosis of onychomycosis was made when hyper-refractive lengthy structures and bright aggregates (corresponding to spores) were observed. RCM had



**Fig. 6.2** Clinical (**a**) and in vivo reflectance confocal microscopy (RCM, **b**) aspect of onychomycosis. RCM shows characteristic highly reflective thick linear struc-

tures (red arrow) corresponding to hyphae and roundish hyperreflective structures (yellow arrow) corresponding to spores

better sensitivity (79% vs. 74%) and specificity (81% vs. 76%) than KOH preparation. Fungal culture showed the lowest sensitivity and the worst negative predictive value. PCR showed the highest sensitivity, followed by RCM, PAS, and KOH preparation. RCM and KOH showed a high specificity, and RCM exhibited the best positive predictive value. RCM can also provide information about location and density of fungal cells [33]. Nevertheless, only PCR and culture allow identification of species. It is not clear if RCM is able to distinguish dermatophytes from molds or yeasts since no specific RCM studies have been conducted yet [33, 34].

## Melanonychia

A big variety of conditions present longitudinal pigmented bands on the nail plate including melanocytic nevus, malignant melanoma, lentigo, ethnic melanonychia, pigmented onychomycosis, pigmented Bowen's disease, drug-induced hyperpigmentation, and subungual hematoma [35]. The prevalence of melanonychia is approximately 1% [36]. Dermoscopy is a useful tool which can better identify the cases in which pathological examination is indicated [37]. With RCM, it is possible to visualize melanocytes of Hutchinson's sign (Fig. 6.3), but melanocytes of the nail bed are dif-



**Fig. 6.3** Clinical (**a**) and in vivo reflectance confocal microscopy (RCM) aspect of Hutchinson's sign (**b**) and of the nodular part (**c**) of a subungueal melanoma. In vivo

RCM shows hyperreflective roundish big cells corresponding to tumoral melanocytes (red arrow)

ficult to be identified (Fig. 6.4), and nail matrix is impossible to be observed owing to the limited penetration depth. Debarbieux et al. tried to evaluate the feasibility of an intraoperative diagnosis by in vivo RCM [38, 39] since the eponychium could be reclined during the surgical biopsy to visualize nail matrix pigmentation. Four different patterns were identified: pattern 1: numerous atypical bright roundish cells at the dermoepithelial junction, usually associated with dendritic cells (pagetoid cells were occasionally identified in a few cases); pattern 2: numerous nests; pattern 3: very bright cobblestone pattern; and pattern 4: variably densely distributed dendritic basal cells, with neither nests, roundish cells, nor atypical cells in the dermis. Pattern 1 was the most relevant for melanoma diagnosis, pattern 2 usually indicated the presence of acral nevus, pattern 3 could be associated to melanoma, and pattern 4 could be found either in very early in situ melanomas or in lentigo with slight melanocytic hyperplasia [40]. Correlation with histopathology was good, and perioperative in vivo RCM allowed an extemporaneous diagnosis and one-step surgical treatment, thus reducing the pain induced by multiple invasive surgical procedures on the nail apparatus, as well as the postoperative disability period. Moreover, if in vivo RCM is unable to provide a sure diagnosis, ex vivo confocal microscopy can be used. Ex vivo confocal microscopy could be particularly useful for nail tumors in order to confirm intraoperatively the diagnosis of the biopsy specimen and to proceed to the final excision without waiting for the histological examination. Notably, it is more suitable than the optical examination of frozen sections of this site because nail tumors are often very small in size, and it is desirable that no material is wasted in producing sections that subsequently cannot be submitted to conventional histopathology [5]. Debarbieux et al. also used the in vivo device for the ex vivo examination of nail biopsies of pigmented subungual melanoma in a series of eight cases [38]. However, this procedure has limitations in that the specimen is not fixed, tends to move, and is difficult to orient, unlike when using the dedicated ex vivo device.



**Fig. 6.4** Clinical (**a**) and in vivo reflectance confocal microscopy (RCM, **b**) aspect of a junctional nevus. Small and regular melanocytic nests are visible along the nail bed (**b**, red arrow)

Other ungual tumors different from melanoma can present with melanonychia striata. Fernandes Massa et al. have described a case of pigmented squamous cell carcinoma (SCC) of the nail bed [41]. In vivo and ex vivo confocal microscopy of the distal matrix were performed intraoperatively: in vivo RCM showed small, bright nonnucleated cells corresponding to pigmented keratinocytes; a cobblestone pattern; and rare, small dendritic cells. The proximal nail bed revealed large, roundish structures with small, bright cells at their periphery within the papillary dermis, which were different from atypical melanocytes. These roundish structures could be better visualized with ex vivo RCM which showed small, bright nonnucleated cells with a structureless center [41].

RCM represents also a preliminary screening instrument for nail matrix nevus. Only two case reports have been described: both cases showed hyperreflective roundish cells in the deeper part of the nail plate that were uniform in size and shape and seemed to correspond to melanocytes on histopathology [42]. However, it should be noticed that these cells are difficult to differentiate from hyperreflective corneocytes that can be found in case of onycholysis and that no comparison with malignant melanocytes has been reported yet. Interestingly, in both nevi, no dendritic hyperreflective cells were observed. In our experience, we found that in nevi, when the nail bed was reachable, we could observe an alteration of the transition area from the nail plate to the underlying nail bed: wavelike structures were thickened and greyish and formed cord-like structures with some bulbous projections that remind cutaneous melanocytic nests under RCM (Fig. 6.4). It should be observed that in vivo RCM can be also used to examine skin lesion destroying the nail plate such as in case of malignant tumors (Fig. 6.3).

### Nonpigmented Nail Tumors

Although most of the nonpigmented nail tumors are of epithelial origin, amelanotic melanoma can occur. The diagnosis of these tumors is often challenging and delayed because they can mimic other nail disorders such as onychomycoses, onychodystrophy, and verruca vulgaris [43–45].

SCC is the most common malignant tumor of the nail apparatus and usually presents in the form of Bowen's disease and amelanotic melanoma [46]. Fingernails are selectively affected, especially the thumb [45]. Its RCM and FCM features are well described both in vivo and ex vivo in the skin but are less studied in the nail. Amelanotic subungual melanoma represents only a small fraction of all malignant melanomas [43], and it usually manifests as a vascular or ulcerating nodule [47]. RCM recognizes amelanotic melanocytes, but this aspect has not been deeply studied for the melanoma of the nail apparatus [20].

A pilot study conducted on ten patients with nonpigmented nail tumors (four in situ SCC, two invasive epithelial tumors, three benign epithelial tumors, and one nodular melanoma) has described for the first time the microscopic features examined with the ex vivo FCM [48]. Images were taken intraoperatively on the surgical specimens after immersion in acridine orange, a specific staining of the nucleus. Invasive SCC of the nail matrix and onycholemmal carcinoma showed well-demarcated epithelial nests composed of cells with nuclear pleomorphism (variable size and shape of the nucleus) invading the dermal area. Therefore, in these cases, it would be possible to perform wide excision of the tumors just after the observation of a biopsy specimen under ex vivo FCM shortening the management. In situ SCC displayed cytoarchitectural atypia: roundish cells in the upper layers of epithelium corresponding to dyskeratotic keratinocytes and "blurred cells," i.e., large pseudoacanthotic cells with blurry limits [48]. However, it was impossible to distinguish epithelial cells from inflammatory cells or atypical melanocytes using the fluorescence mode after acridine staining because acridine stains nuclei of cells of different nature.

Benign tumors were also observed with ex vivo FCM [48]. In particular, onychomatricoma exhibited epithelial proliferation with acanthosis and papillomatosis without atypia; moreover, small fusiform cells corresponding to fibroblasts were observed inside the papillae (Fig. 6.5) [48]. Onychomatricoma has also been examined with in vivo RCM (Fig. 6.6) [49, 50]. Sanchez et al. have described multiple parallel channel-like structures through the nail plate that correspond to the digitating fibroepithelial projections arising from the matrix [49].



Fig. 6.5 Ex vivo reflectance confocal microscopy shows the general architecture of an onychomatricoma

These cavities appeared hyporeflective and were surrounded by a brighter matrix epithelium (Fig. 6.6a). Their hyporeflectance could be induced by their content in serum and blood which have low refraction of light on RCM [50]. If in vivo RCM is used perpendicularly to the distal ungual margin, hyporeflective roundish areas corresponding to the transversal sections of the tumor cavities can be observed (Fig. 6.6b) [50]. Onychopapilloma is a very circumscribed epithelial tumor of the nail bed, characterized by thin digitiform epithelial projections within the upper dermis that were visible under ex vivo FCM [48]. Not only nonpigmented epithelial tumors can be recognized under ex vivo FCM but also other amelanotic non-epithelial tumors such as glomus tumor and neurinoma [5].

## Leukonychia

Leukonychia is a white discoloration of the nail; apparent and true variants have been described. Apparent leukonychia derives from pathological



**Fig. 6.6** In vivo reflectance confocal microscopy (RCM, **a**,**b**) aspect of onychomatricoma shows the top of the nail channel-like structures inside the nail bed (**a**, red asterisk)

and the vertical section of the nail channel-like structures at the free edge of the nail (**b**, red circle)



Fig. 6.7 In vivo reflectance confocal microscopy (RCM) aspect of the nail plate in case of leukonychia (a) and a subungual hematoma (b) detachment of single hyperreflective corneocytes that is visible

changes (e.g., edema) in the nail bed, whereas true leukonychia results from disorder of keratinization occurring in the nail matrix with a consequent involvement of the nail plate itself [51]. When an external process alters the nail plate's growth, the discoloration of the nail plate is called pseudoleukonychia.

Main in vivo RCM features of leukonychia were first described by Sattler et al. in 2012 [21]; in vivo RCM revealed detachment of single hyperreflective corneocytes (Fig. 6.7). However, it is well known that white discoloration of the nail can be a sign of different diseases such as trauma and lichen planus, and there is no study in literature which has described the differences in the morphology or amount or depth of dyskeratotic corneocytes [20].

#### Inflammatory Disorders

Unlike inflammatory skin diseases, inflammatory nail pathologies have not been studied in detail with in vivo RCM microscopy. Only few cases of nail psoriasis have been described [20], and they showed large hyperreflective irregular areas on the surface and inside of the nail plate corresponding to hyperkeratosis (Fig. 6.8). The lateral margins of the nail displayed delamination of the nail plate [20].



**Fig. 6.8** Clinical (**a**) and in vivo reflectance confocal microscopy (RCM, **b**,**c**) aspect of nail psoriasis. In vivo RCM shows large hyperreflective irregular areas on the surface of the nail plate (red asterisk) (**b**) and inside the

nail plate (yellow asterisk) (c), likely corresponding to areas of keratin densification. Normal nail plate is also visible (green asterisk)

## Conclusion

RCM and FCM are noninvasive diagnostic techniques that can be used for a better evaluation of nail diseases. In vivo RCM represents a promising adjunctive diagnostic tool for the diagnosis of onychomycoses and for other nail plate diseases. The in vivo examination does not require any sample collection; the probe can be applied directly to the nail surface without any preparation, and it permits to quickly evaluate the entire nail plate. In some cases, in vivo RCM allows to avoid biopsies that cause pain and discomfort to the patient and can irreversibly alter the nail growth. In the future, RCM could also be used for noninvasive diagnosis of inflammatory nail disease and to monitor the response to topical or systemic therapy. In vivo RCM is often not able to explore the nail bed and cannot explore the nail matrix because the nail thickness restricts laser penetration. However, it can represent a very useful tool for the examination of the nail bed and the nail matrix if used intraoperatively after reclination of the nail plate and/or eponychium. Limitations are the higher cost than traditional optical microscope or dermoscopy, the need to have experienced specialized dermatologists for the acquisition and interpretation of the images, and the limited achievable depth.

In cases in which biopsies are necessary, ex vivo RCM and FCM can be performed intraoperatively immediately on the surgical tissue to have a quick examination before final histopathology. Ex vivo RCM is more suitable for melanocytic skin cancers, whereas ex vivo FCM is more suitable for non-melanocytic skin cancers.

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