

Chapter 75

Patient-Reported Outcomes and Quality of Life in Pancreatic Cancer



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Take Home Messages

- Patient-reported outcomes are the gold standard to assess patients' quality of life using validated questionnaires.
- Before choosing a quality of life questionnaire, the purpose, timing and required content must be considered.
- Modern assessment software is able to collect, process, calculate and present quality of life electronically in real time. Especially the increased data quality and the possibility of easy and cost-effective remote assessments (outside of the hospital setting) are major strengths of this assessment method.
- Linking quality of life data to cut-off scores and thresholds enables indicating scores with clinically relevant impairments or changes and guiding which issues require further discussion and clinical action.

Pearls and Pitfalls

- Patients are the experts for reporting their quality of life. They provide valuable information, which can inform their health care and disease management.
- Validated instruments allow for the standardized assessment of quality of life of pancreatic cancer patients, considering their specific symptom burden (e.g. measuring pancreatic pain, gastrointestinal symptoms, weight loss, and taste changes).

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- Electronic assessment of patient's quality of life data bears many advantages, (as immediate data processing, more complete data, automatically generated reports) and eye-catching cross-sectional or longitudinal quality of life data profiles ease its incorporation into the medical consultation.
- There is still a need to catch up with promoting the use of quality of life data for shared-decision making and daily clinical routine.
- Successful implementation of patient-reported outcome assessments into clinical routine remains a challenge, as it requires the alignment of multiple interacting stakeholders on different levels of the clinical system.

Future Perspectives

- To encourage stakeholders to engage in routine quality of life assessments, recommendations for strategic and standardized implementation procedures should be developed and disseminated.
- Evidence-based and scientifically sound learning material needs to be developed to inform and educate health care professionals. A profound understanding of quality of life data and how it can be used in routine care will promote its actual use.
- The development of standardized assessment procedures and care pathways would support the uptake of routine quality of life assessments in daily clinical care, e.g. which measures are encouraged being used at different stages of pancreatic cancer including respective treatment recommendations.
- Real-world data is needed to identify the impact of quality of life assessments and quality of life data use on the allocation of resources and the use of health care services.

75.1 Introduction

Pancreatic cancer is a lethal disease with an almost one-to-one ratio of new cases (ranked 13th) and cancer deaths (ranked 7th) worldwide in 2018 [1]. As it is commonly diagnosed at an advanced stage, the rate for 5-year-survival is only about 9% across all tumour stages with a more favourable outcome for resectable localized disease [2]. As 80–85% of patients are not eligible for surgery at the time of diagnosis [3], their prognosis is mostly poor and they have to deal with debilitating symptoms caused by the disease itself and/or the aggressive multimodal treatment. Hence, the patient's quality of life (QOL) is paramount to both determining treatment goals and evaluating treatment success.

75.2 Patient-Reported Outcomes and Quality of Life

Discussing the patients' subjective view of their health status has always been an important part in modern clinical care, as a variety of symptoms and issues are only accessible for clinicians through patients' self-reports. Only the respective person

him- or herself can tell if and in which intensity certain symptoms occur (e.g. pain, depression, fatigue), if he or she feels impaired in his or her social life or if e.g. sleeping disturbances have been a problem. Such information can be summarised under the umbrella term Patient-Reported Outcomes (PROs) and includes all statements made by patients about their own health status and the possible effects of treatment they receive. More importantly, PROs are assessed without any interpretation, evaluation or modification by third parties [4]. Those self-reports of patients can encompass a variety of aspects like, amongst others, functioning (e.g. physically, socially, emotionally), symptoms (e.g. anxiety, nausea, vomiting, hair loss), satisfaction with care, perceived value of care or adherence to treatment regimen. QOL is a multidimensional construct that includes aspects of a patient's perspective of his/ her health status and can be best captured by the PRO methodology (Fig. 75.1). Most QOL questionnaires capture physical, psychological (anxiety, depression) and social aspects, query symptoms (e.g. pain, sleep disorders, impairment due to weight gain/loss) and ideally also topics that are of particular relevance to the respective patient group (e.g. for pancreatic cancer patients: altered peristalsis and taste changes after pancreatic surgery, abdominal pain, anorexia or weight loss).

75.3 Standardized Assessment of Quality of Life

It is already common practice to discuss the patient's symptoms and subjective health status during the medical encounter, but the duration, depth and focus of this discussion largely depends on the clinician and his/her knowledge and personal interest in QOL. The documentation is unspecific and inevitably contains both a selection and an interpretation by the health care professional. It may even happen that a detailed conversation about symptoms and impairments has taken place, but that it is not noted or traceable in the medical records. Furthermore, other factors can impact whether and in what way QOL is part of the medical encounter (e.g. stressful days with many patients, many difficult cases, few staff due to absences, etc. hinder to dedicate time to QOL issues) (Box 75.1).

Usually, questionnaires are used to assess the patient's QOL. Patients are required to complete these measures as independently as possible to obtain their unaltered perspective. It is also possible to conduct the questionnaires as interviews or to have them assessed by relatives, although these methods require special caution (specially trained staff, specific instruction of relatives). As such proxy ratings are likely to involve to some extent an interpretation process similar to that of clinicians' ratings, preference should be given to independent completion of questionnaires by the patients themselves.

Choosing a QOL assessment instrument requires the careful evaluation of its methodological and content-related quality, which is why the purpose of the assessment should be clear in advance. Questionnaires differ in their suitability for e.g. a general QOL screening, the evaluation of QOL during or after a certain treatment or for QOL follow-up. Attention must also be paid to the timing of data collection and the recall period of the used PRO measure, as before a medical intervention other areas might be relevant than shortly after or in long-term follow-up [5] and symptoms might occur with a delay, e.g. after administration of chemotherapy [6].

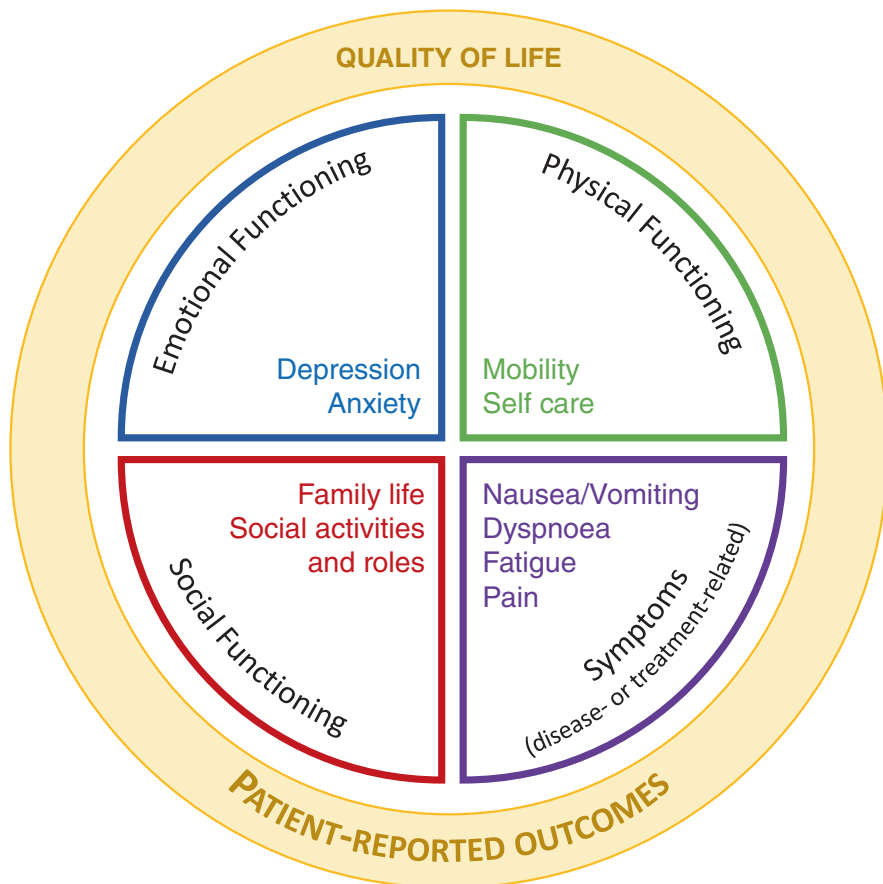


Fig. 75.1 schematically depicts the characteristics of PROs and QOL and their theoretical association. However, not all patient statements can be assigned to the concept of PROs. If patients share their impressions on how they experienced the delivery of health care (e.g. waiting times, access to services, involvement in decision-making or timing of assistance), this is referred to as “patient-reported experiences” (PREs). Those are commonly used as an indicator for quality of care and patient-centeredness of services. Regardless of their conceptual differences, the gold standard for the assessment of PROs and PREs is the use of validated questionnaires

Box 75.1 PRO Measures—Not Just the Reinvention of the Wheel

- **PRO measures** provide a reliable method of **complementing established outcome parameters** with a standardized assessment of the patient’s perspective in order to gain a comprehensive and integrated picture of the patient’s health status.
- By implementing PROs and the resulting standardized assessment of patients’ QOL, the so far common practice of informally discussing QOL

during medical appointments is raised to a **higher level of professionalization**.

- Integrating **QOL data documentation** into the electronic medical record **ensures its accessibility** to clinicians and other health care professionals, increases its transparency and allows to follow the development of symptoms across a longitudinal trajectory.
- As time is more and more becoming one of the most precious resources in a busy clinical workflow, **QOL data can add to a more effective allocation of resources**, especially if it is used in conjunction with thresholds and cut-off scores indicating clinically relevant changes in QOL. Highlighted scores can guide the medical encounter and **help the clinician to focus on those aspects that require further immediate attention** due to clinical relevance.
- **QOL data** is not only of interest for clinical routine, but **also contributes to scientific knowledge** (gained from real world data as well as from clinical study data), can complement clinical registries and can be used for quality assurance, benchmarking and health technology assessment analyses.

75.4 Quality of Life Measures for Pancreatic Cancer Patients

There is broad range of QOL assessment instruments available. Besides generic measures, which can be used irrespective of a person's health status or a patient's diagnostic group, there are also questionnaires available, which take special account of the needs of a certain disease group (e.g. oncological patients). A recent review, dedicated to the identification of PRO measures in pancreatic cancer patients, provides a broad overview of instruments used in this population group including those targeting QOL [7].

The choice of a generic or specific questionnaire should consider how the data collected will be used. For comparisons with a norm sample of the general population, generic instruments are useful, although disease-relevant areas are often neglected and their sensitivity to changes is low [8, 9]. In order to document the individual QOL trajectory of patients and to evaluate treatment decisions regarding their effect on QOL, disease- and/or treatment-specific measures should preferably be used. If several QOL measures are combined to capture a broader picture of the patient's perspective, it is important to strike a careful balance between the quantity of items and their content. Merely focusing on the length of QOL measures could lead to neglecting QOL issues that are actually important for patients. Therefore, questionnaires should be chosen in such a way that they complement each other meaningfully with as few repetitions as possible [10]. Table 75.1 provides an overview of the most common generic and oncology specific QOL questionnaires or questionnaire systems including their instruments targeting pancreatic cancer.

The European Organisation for Research and Treatment of Cancer Quality of Life Questionnaires (EORTC-QLQ), the Functional Assessment of Chronical Illness Therapy (FACIT) and the Patient-Reported Outcomes Measurement Information System (PROMIS) are measurement systems for QOL in cancer

Table 75.1 Generic and disease-specific QOL instruments

Generic QOL-instruments		QOL-instruments in oncology	
WHOQOL	World Health Organization Quality Of Life Assessment Instrument [11]	EORTC-QLQ system	European Organisation of Research and Treatment of Cancer [12] QLQ-C30 Core questionnaire (30 items), diagnostic specific modules <i>EORTC QLQ-PAN26 (26 items)</i> [13]
EQ-5D	Euro Quality of Life—5 Dimensions) [14]	FACIT system	Functional Assessment of Chronic Illness Therapy [15] FACT-G Core questionnaire (27 items), diagnostic specific modules <i>FACT-Hep: FACT-G and the Hepatobiliary Subscale (HS, 18 items)</i> [16]
SF-36	Short-Form Health Survey 36 [17]	PROMIS-CANCER	Patient-Reported Outcomes Measurement Information System [18] <i>Eight gastrointestinal domains are available but none specific for pancreatic cancer</i>
SIP	Sickness Impact Profile [19]	MDASI-GI	M.D. Anderson Symptom Inventory [20] MDASI: 19 item symptom severity and interference with function inventory <i>MDASI-GI: includes five additional GI-specific symptom items</i>

patients with a modular structure. This means that a core questionnaire can be supplemented with diagnosis-specific modules or symptom indices. Furthermore, single items can be used to complement those “static” questionnaires, if important symptoms or issues are missing. As an example, the EORTC Item Library includes all items, scales and questionnaires that have been developed by the EORTC Quality of Life Group (QLG, <https://qol.eortc.org>) and a search function enables to quickly navigate through available measures. Since the EORTC QLQ-C30 and its disease specific module for malignancies of the pancreas QLQ-PAN26 and the FACT-Hep are the two most commonly used PRO measures to assess QOL in pancreatic cancer patients [7], those measures are described in more detail below.

75.4.1 Disease Specific Measures for Pancreatic Cancer

The **EORTC QLQ-PAN26** targets QOL in pancreatic cancer patients and its 26 items cover the domains Pancreatic Pain, Digestive, Altered bowel habit, Hepatic, Body image, Health care satisfaction, and Sexuality. It is used as a disease-specific module for the EORTC QLQ-C30, a generic questionnaire originally developed for

EORTC QLQ-PAN26

Patients sometimes report that they have the following symptoms or problems. Please indicate the extent to which you have experienced these symptoms or problems during the past week. Please answer by circling the number that best applies to you.

During the past week:	Not at All	A Little	Quite a Bit	Very Much
31. Have you had abdominal discomfort?	1	2	3	4
32. Did you have a bloated feeling in your abdomen?	1	2	3	4
33. Have you had back pain?	1	2	3	4
34. Did you have pain during the night?	1	2	3	4
35. Did you find it uncomfortable in certain positions (e.g. lying down)?	1	2	3	4

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Fig. 75.2 Specimen of the first five questions of the EORTC QLQ-PAN26 (© EORTC)

the assessment of cancer patients’ QOL in clinical trials. Except for the Physical Functioning scale of the QLQ-C30, the questionnaires use a recall period of 1 week and all items are rated on a 4-point Likert-scale (“not at all”, “a little”, “quite a bit”, “very much”, see Fig. 75.2). The validation of the QLQ-PAN26 in a mixed sample of pancreatic cancer patients is still pending, but there is a report on the psychometric characteristics of the questionnaire in pancreas-resected patients [21]. A recent study investigated the content validity of the QLQ-PAN26, stating that it is conceptually relevant, though it might further benefit from adding items regarding neuro-pathic symptoms [22]. Though the QLQ-C30 is available in more than 100 languages, translations of the QLQ-PAN26 so far only cover the ten European languages, which have been used for questionnaire development [13]. Regarding the interpretation of QOL scores assessed with EORTC measures, reference values [23], minimal important differences [24–26], clinically relevant thresholds for the QLQ-C30 and the QLQ CAT measures [27, 28] and general population normative data [29] are available.

The **FACT-Hep** comprises 45 items and is a combination of the fourth version of the FACT-G and a Hepatobiliary Subscale. The FACT-G has initially been developed and validated in cancer patients with mixed diagnoses and different disease stages and consists of 27-items covering four QOL domains: physical well-being, social/family well-being, emotional well-being, and functional well-being. The disease-specific hepatobiliary cancer subscale combines questions being relevant for patients with hepatobiliary cancers (liver, bile duct and pancreatic cancer) including back and stomach pain, anorexia, gastrointestinal symptoms, weight loss and jaundice. All items use a recall period of 1 week and a 5-point Likert-scale (“not at all”, “a little bit”, “somewhat”, “quite a bit”, and “very much”, see Fig. 75.3). The FACT-Hep is available in 43 languages. There are recommendations for the interpretation of raw score changes, but only for the FACT-G [30].

FACT-Hep (Version 4)

Please circle or mark the number per line to indicate your response as it applies to the past 7 days

<u>ADDITIONAL CONCERNS</u>		Not at All	A Little	Some-what	Quite a Bit	Very Much
C1	I have swelling or cramps in my stomach area	0	1	2	3	4
C2	I am losing weight	0	1	2	3	4
C3	I have control of my bowels	0	1	2	3	4
C4	I can digest my food well	0	1	2	3	4
C5	I have diarrhea (diarrhoea)	0	1	2	3	4

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Fig. 75.3 Specimen of the first five questions of the Additional Concerns of the FACT-Hep (© FACIT)

75.5 Challenges of Routine QOL Assessments

There is a fundamental discrepancy between acknowledging the importance of patient’s QOL and its integration into daily clinical care: While figures on mortality, morbidity, laboratory values and complication rates are established methods for evaluating treatments and disease progression, routine QOL assessments have not yet been fully implemented in clinical routine and do not represent a standard outcome measure. Many clinicians lack familiarity with the concept of QOL as well as specific knowledge on how to handle systematically collected QOL data. A common concern is that routine QOL assessments will additionally burden existing resources without offering clinical benefit [31]. There is still a widespread opinion that a patient’s QOL can be sufficiently rated by a clinician, though it is well researched that the concordance between clinician’s ratings and patient’s self-reports is often poor and even decreases over time [32–37]. Though the importance of PROs is broadly acknowledged, there are attempts to reduce the concept of QOL to the assessment of disease symptoms, physical functioning and adverse events [38]. Other criticisms are problems regarding the comparability of different PRO measures and doubts about the methodology of QOL assessment, as patients are supposed to not being able to make “true” statements about their condition and recall biases might influence the scores [39]. In the context of the current development towards a more participatory approach in medical care, it is important to acknowledge that QOL data represents a structured record of the patients’ subjective experience of specific areas of their health. These parameters are important in order to determine whether the patient’s QOL has been positively influenced by medical interventions and recommendations regarding routine QOL assessments are increasingly being incorporated into evidence-based guidelines for oncological treatment [40–42].

75.6 Benefits of Routine QOL Assessments

Routine assessment of patient's QOL helps to improve communication with their health care professionals (e.g. increased discussion of symptoms [43, 44]). Patients themselves benefit from the use of PRO instruments, if clinicians explicitly use their collected QOL data [44]. Incorporating QOL data in their medical encounter helps clinicians to develop a better understanding of the patient's functional level and subjective health status [45], to bring up intimate and otherwise often overlooked issues [45, 46], and to discuss chronic non-specific symptoms (e.g., sleep disorders, fatigue, loss of appetite) [44] without increasing the consultation time. In addition, the routine collection of QOL enables the identification of areas requiring treatment and the prompt referral of patients [47], which promotes patient-centred and individually tailored treatment [48, 49] and improves symptom management. Patients whose practitioners had access to QOL information reported better continuity of care than patients who did not complete QOL instruments at all. They also felt that treatment choices have been made with more consideration for their daily activities, emotional well-being and QOL [50]. Participatory decision-making can result in patients having greater confidence in their treatment decision, being more satisfied with the therapy, having a higher feeling of self-efficacy and greater trust in their caregivers [51]. QOL data even has predictive value for traditional clinical outcomes such as survival (Box 75.2) [24, 52, 53].

Box 75.2 Positive Effects of Using PRO Data in Clinical Care

- improved communication
- better understanding of the patients' functional level and subjective health status
- facilitated discussion of intimate or overlooked issues
- more frequent discussion of chronic non-specific symptoms
- no prolonging effect on consultation time
- identification of need for clinical intervention and referral
- facilitation of patient-centred care and individually tailored treatment
- improved symptom management
- better continuity of care
- participatory decision-making empowers patients and increases their trust in their care
- QOL has predictive value for survival

In addition to complex constructs such as QOL, PROs can also provide information about the patient's view of the occurrence and intensity of treatment toxicities. The Common Toxicity Criteria of Adverse Events (CTCAE) of the National Cancer Institute have been further developed into a PRO instrument (PRO-CTCAE) [54] for

those domains, which can be assessed by patients themselves. Using this new measure, ratings of adverse events, which underestimate in particular the occurrence of mild toxicities [55], can be meaningfully supplemented by the patient perspective [56]. However, neither PRO-CTCAE nor other symptom indices are an adequate substitute for established QOL instruments that are superior in terms of content validity [56]. A content analysis of the PRO-CTCAE and the EORTC QLQ instruments reports similar results since the EORTC QLQ system covers considerably more areas relevant to oncological surgery and radiotherapy than PRO-CTCAE [57].

75.7 Use of Electronic Data Collection Methods in Clinical Routine

Assessing QOL electronically solves many hurdles imposed by conventional paper-pencil questionnaire data collection. Because patients enter their data directly, there are no transmission errors or data loss due to lost sheets of paper. Preparing a questionnaire is less laborious, might even be carried out automatically and the application of multilingual instruments increases inclusiveness. Furthermore, collecting data electronically benefits from immediate storage, data processing and automated score calculation, making the data immediately available to health care personnel right after the questionnaire has been completed. Normative data, thresholds and cut-off scores allow identifying and highlighting clinically relevant impairments. In this way, QOL data can be used for structuring and guiding the medical encounter by focusing on areas of special interest and in need of further in-depth discussion. In particular, the use of interfaces (e.g. using common Health Level 7 standards) simplifies the exchange of data between hospital information systems and electronic PRO systems and supports the automation of administrative processes. Next to a smooth integration of QOL data assessment into the existing clinical workflow, easy access to PRO data is an important aspect to promote their use by medical staff [58]. Electronic data assessment is necessary for the use of computer-adaptive testing (CAT), which achieves greater measurement precision with a smaller number of questions and thus reduces the burden on patients. In addition, the patients are given items relevant to them, as the questions to be asked are selected based on the previously given answers.

There is a broad range of assessment software available, most of which offer a variety of functionalities like data collection, processing and storage, score calculation and generation of cross-sectional or longitudinal reports, study monitoring and remote data collection including patient portals [59]. The Computer-based Health Evaluation System [60] (www.ches.pro, Fig. 75.4) is an example of a software solution which, due to its modular approach, can be used for QOL assessments in clinical routine, for conducting clinical studies and for clinical registries alike. Most software systems are internet-based, which means that access to the system is location independent. This is especially important for the use of patient portals with individual login data for patients. They facilitate to collect QOL data cost-effectively before, during, in between

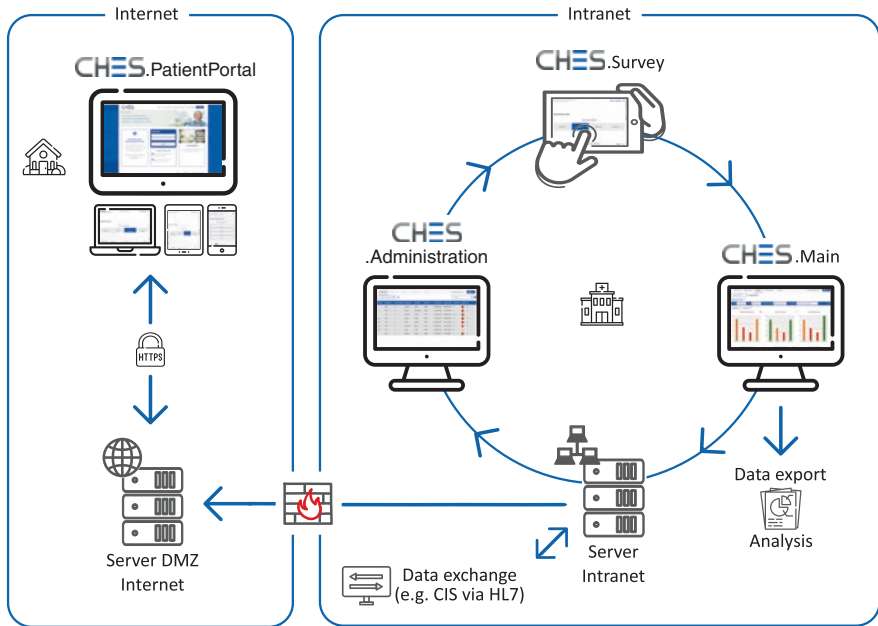


Fig. 75.4 Functionalities and structure of the Computer-based Health Evaluation System (CHES [60]) as an example for an electronic system assessing QOL

and after hospital stays as follow up. In addition to data assessment, such portals can also have other functionalities, such as providing trustworthy information on the disease and treatment, a presentation of one’s own QOL data with tailored self-management recommendations, and information on available health care services and their contact details. In a cohort of pancreatic and periampullary cancer undergoing pancreaticoduodenectomy, an App regularly collecting QOL data, providing tailored self-care advice and triggering alerts to a dedicated nurse who took immediate clinical action showed to be beneficial in terms of symptom control. Although the QOL scores of the patient group using the App and the control group were similar after 6 months, those of the App group indicated more stable QOL over time and especially better scores 6 weeks after surgery. They reported higher emotional functioning, fewer digestive symptoms and less pancreatic pain, less worry about low weight, less nausea/vomiting, less appetite loss, less pain, and less constipation than the control group [61].

75.8 Conclusion

Patients are the experts for their subjective health status and validated QOL questionnaires can make their experience accessible to health care professionals in a structured way. Integrating PRO data in clinical care enhances the patient-clinician

communication and promotes participatory decision-making, individual treatment management and the evaluation of medical interventions. Electronic QOL assessment contributes to effective data collection and processing, facilitates the collection of PROs outside the hospital setting (e.g. QOL data entry at home before hospital visits or long-term follow-up via a patient portal), provides additional information to patients and facilitates the use of QOL data for the medical encounter (e.g. immediate availability, application of thresholds, identification of clinically relevant symptoms and impairments). Hence, the collection of longitudinal data provides a detailed insight into the course of the disease and its treatment.

Acknowledgments Icons used within Fig. 75.4 made by Freepik from www.flaticon.com.

Conflicts of interest: Bernhard Holzner holds intellectual property rights of the CHES software. The other authors do not state any conflict of interest.

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