

# Chapter 2

## The Topology of Surface Singularities



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**Abstract** We consider a reduced complex surface germ  $(X, p)$ . We do not assume that  $X$  is normal at  $p$ , and so, the singular locus  $(\Sigma, p)$  of  $(X, p)$  could be one dimensional. This text is devoted to the description of the topology of  $(X, p)$ . By the conic structure theorem (see Milnor, *Singular Points of Complex Hypersurfaces*, Annals of Mathematical Studies 61 (1968), Princeton Univ. Press),  $(X, p)$  is homeomorphic to the cone on its link  $L_X$ . First of all, for any good resolution  $\rho : (Y, E_Y) \rightarrow (X, 0)$  of  $(X, p)$ , there exists a factorization through the normalization  $\nu : (\bar{X}, \bar{p}) \rightarrow (X, 0)$  (see H. Laufer, *Normal two dimensional singularities*, Ann.

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of Math. Studies **71**, (1971), Princeton Univ. Press., Thm. 3.14). This is why we proceed in two steps.

1. When  $(X, p)$  a normal germ of surface,  $p$  is an isolated singular point and the link  $L_X$  of  $(X, p)$  is a well defined differentiable three-manifold. Using the good minimal resolution of  $(X, p)$ ,  $L_X$  is given as the boundary of a well defined plumbing (see Sect. 2.2) which has a negative definite intersection form (see Hirzebruch et al., *Differentiable manifolds and quadratic forms*, Math. Lecture Notes, vol 4 (1972), Dekker, New-York and Neumann, *A calculus for plumbing applied to the topology of complex surface singularities and degenerating complex curves*, Trans. Amer. Math. Soc. **268** (1981), p. 299–344).
2. In Sect. 2.3, we use a suitably general morphism,  $\pi : (X, p) \rightarrow (\mathbb{C}^2, 0)$ , to describe the topology of a surface germ  $(X, p)$  which has a 1-dimensional singular locus  $(\Sigma, p)$ . We give a detailed description of the quotient morphism induced by the normalization  $\nu$  on the link  $L_{\bar{X}}$  of  $(\bar{X}, \bar{p})$  (see also Sect. 2.2 in Luengo-Pichon, *Lê 's conjecture for cyclic covers*, Séminaires et congrès 10, (2005), p. 163–190. Publications de la SMF, Ed. J.-P. Brasselet and T. Suwa).

In Sect. 2.4, we give a detailed proof of the existence of a good resolution of a normal surface germ by the Hirzebruch-Jung method (Theorem 2.4.6). With this method a good resolution is obtained via an embedded resolution of the discriminant of  $\pi$  (see Friedrich Hirzebruch, *Über vierdimensionale Riemannsche Flächen mehrdeutiger analytischer Funktionen von zwei komplexen Veränderlichen*, Math. Ann. 126 (1953) p. 1–22). An example is given Sect. 2.6. An appendix (Sect. 2.5) is devoted to the topological study of lens spaces and to the description of the minimal resolution of quasi-ordinary singularities of surfaces. Section 2.5 provides the necessary background material to make the proof of Theorem 2.4.6 self-contained.

## 2.1 Introduction

Let  $I$  be a reduced ideal in  $\mathbb{C}\{z_1, \dots, z_n\}$  such that the quotient algebra  $A_X = \mathbb{C}\{z_1, \dots, z_n\}/I$  is two-dimensional. The zero locus, at the origin 0 of  $\mathbb{C}^n$ , of a set of generators of  $I$  is an analytic surface germ embedded in  $(\mathbb{C}^n, 0)$ . Let  $(X, 0)$  be its intersection with the compact ball  $B_\epsilon^{2n}$  of radius a sufficiently small  $\epsilon$ , centered at the origin in  $\mathbb{C}^n$ , and  $L_X$  its intersection with the boundary  $S_\epsilon^{2n-1}$  of  $B_\epsilon^{2n}$ . Let  $\Sigma$  be the set of the singular points of  $(X, 0)$ .

As  $I$  is reduced  $\Sigma$  is empty when  $(X, 0)$  is smooth, it is equal to the origin when 0 is an isolated singular point, it is a curve when the germ has a non-isolated singular locus (in particular we do not exclude the cases of reducible germs).

If  $\Sigma$  is a curve,  $K_\Sigma = \Sigma \cap S_\epsilon^{2n-1}$  is the disjoint union of  $r$  one-dimensional circles ( $r$  being the number of irreducible components of  $\Sigma$ ) embedded in  $L_X$ . We say that  $K_\Sigma$  is the link of  $\Sigma$ . By the conic structure theorem (see [18]), for a sufficiently small  $\epsilon$ ,  $(X, \Sigma, 0)$  is homeomorphic to the cone on the pair  $(L_X, K_\Sigma)$  and to the cone on  $L_X$  when  $\Sigma = \{0\}$ .

On the other hand, thanks to A. Durfee [7], the homeomorphism class of  $(X, \Sigma, 0)$  depends only on the isomorphism class of the algebra  $A_X$  (i.e. is independent of a sufficiently small  $\epsilon$  and of the choice of the embedding in  $(\mathbb{C}^n, 0)$ ). We say that the analytic type of  $(X, 0)$  is given by the isomorphism class of  $A_X$  and, we say that its topological type is given by the homeomorphism class of the pair  $(X, 0)$  if 0 is an isolated singular point, and by the homeomorphism class of the triple  $(X, \Sigma, 0)$  if the singular locus  $\Sigma$  is a curve.

**Definition 2.1.1** The **link of**  $(X, 0)$  is the homeomorphism class of  $L_X$  if 0 is an isolated singular point (in particular if  $(X, 0)$  is normal at 0), and is the homeomorphic class of the pair  $(L_X, K_\Sigma)$  if the singular locus  $\Sigma$  is a curve.

This paper is devoted to the description of the link of  $(X, 0)$ .

### 2.1.1 Good Resolutions

**Definition 2.1.2** A morphism  $\rho : (Y, E_Y) \rightarrow (X, 0)$  where  $E_Y = \rho^{-1}(0)$  is the exceptional divisor of  $\rho$ , is a **good resolution** of  $(X, 0)$  if :

1.  $Y$  is a smooth complex surface,
2. the total transform  $\rho^{-1}(\Sigma) =: E_Y^+$  is a normal crossing divisor with smooth irreducible components.
3. the restriction of  $\rho$  to  $Y \setminus E_Y^+$  is an isomorphism.

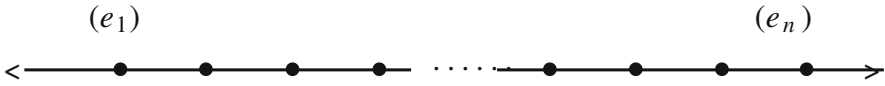
**Definition 2.1.3** Let  $\rho : (Y, E_Y) \rightarrow (X, 0)$  be a good resolution of  $(X, 0)$ .

The **dual graph associated to**  $\rho$ , denoted  $G(Y)$ , is constructed as follows. The vertices of  $G(Y)$  represent the irreducible components of  $E_Y$ . When two irreducible components of  $E_Y$  intersect, we join their associated vertices by edges whose number is equal to the number of intersection points. A dual graph is a **bamboo** if the graph is homeomorphic to a segment and each vertex represents a rational curve.

If  $E_i$  is an irreducible component of  $E_Y$ , let us denote by  $e_i$  the self-intersection number of  $E_i$  in  $Y$  and by  $g_i$  its genus. To obtain the **weighted dual graph associated to**  $\rho$ , denoted  $G_w(Y)$ , we weight  $G(Y)$  as follows. A vertex associated to the irreducible  $E_i$  of  $E_Y$  is weighted by  $(e_i)$  when  $g_i = 0$  and by  $(e_i, g_i)$  when  $g_i > 0$ .

For example if  $X = \{(x, y, z) \in \mathbb{C}^3, z^m = x^k y^l\}$ , where  $m, k$  and  $l$  are integers greater than two and pairwise relatively prime, Fig. 2.1 describes the shape of the dual graph of the minimal good resolution of  $(X, 0)$ .

*Remark 2.1.4* If  $(X, 0)$  is reducible, let  $(\cup_{1 \leq i \leq r} X_i, 0)$  be its decomposition as a union of irreducible surface germs. Let  $v_i : (\bar{X}_i, p_i) \rightarrow (X_i, 0)$  be the normalization of the irreducible components of  $(X, 0)$ . The morphisms  $v_i$  induce the normalization morphism on the disjoint union  $\coprod_{1 \leq i \leq r} (\bar{X}_i, p_i)$ .



**Fig. 2.1**  $G_w(Y)$  when  $X = \{(x, y, z) \in \mathbb{C}^3, z^m = x^k y^l\}$ . Here  $G(Y)$  is a bamboo. The arrows represent the strict transform of  $\{xy = 0\}$ . In particular if  $m = 12, k = 5$  and  $l = 11$  the graph has three vertices with  $e_1 = -3, e_2 = -2, e_3 = -3$  (see [16, p. 759])

*Remark 2.1.5* First of all, for any good resolution  $\rho : (Y, E_Y) \rightarrow (X, 0)$  there exists a factorization through the normalization  $\nu : (\bar{X}, \bar{\rho}) \rightarrow (X, 0)$  (see [11, Thm. 3.14]). In Sect. 2.3, we describe the topology of normalization morphisms. After that it will be sufficient to describe the topology of the links of normal surface germs.

A good resolution is minimal if its exceptional divisor doesn't contain any irreducible component of genus zero, self-intersection  $-1$  and which meets only one or two other irreducible components. Let  $\rho : (Y, E_Y) \rightarrow (X, 0)$  be a good resolution and  $\rho' : (Y', E_{Y'}) \rightarrow (X, 0)$  be a good minimal resolution of  $(X, 0)$ . Then there exists a morphism  $\beta : (Y, E_Y) \rightarrow (Y', E_{Y'})$  which is a sequence of blowing-downs of irreducible components of genus zero and self-intersection  $-1$  (see [11, Thm 5.9] or [1, p. 86]). It implies the unicity, up to isomorphism, of the minimal good resolution of  $(X, 0)$ .

As there exists a factorization of  $\rho'$  through  $\nu$ ,  $(Y', E_{Y'})$  is also the minimal good resolution of  $(\bar{X}, \bar{\rho})$ . Let  $\bar{\rho} : (Y', E_{Y'}) \rightarrow (\bar{X}, \bar{\rho})$  be the minimal good resolution of  $(\bar{X}, \bar{\rho})$  defined on  $(Y', E_{Y'})$ . What we said just above implies that  $\rho = \nu \circ \bar{\rho} \circ \beta$ , i.e.  $\rho$  is the composition of the following three morphisms:

$$(Y, E_Y) \xrightarrow{\beta} (Y', E_{Y'}) \xrightarrow{\bar{\rho}} (\bar{X}, \bar{\rho}) \xrightarrow{\nu} (X, 0)$$

### 2.1.2 Link of a Complex Surface Germ

In Sect. 2.2, we describe the topology of a plumbing and the topology of its boundary. We explain how the existence of a good resolution describes the link of a normal complex surface germ as the boundary of a plumbing of disc bundles on oriented smooth compact real surfaces with empty boundary. The boundary of a plumbing is, by definition, a plumbed 3-manifold [10, 20] or equivalently a graph manifold in the sense of Waldhausen [23]. The plumbing given by the minimal good resolution of  $(X, 0)$  has a normal form in the sense of Neumann [20] and represents its boundary in a unique way.

It implies that the link of a normal complex surface germ  $(X, 0)$  determines the weighted dual graph of its good minimal resolution. In particular, if the link is  $S^3$ , then the good minimal resolution of  $(X, 0)$  is an isomorphism and  $(X, 0)$  is smooth at the origin. This is the famous result obtained in 1961 by Mumford [19]. When the singular locus of  $(X, 0)$  is an irreducible germ of curve, its link can be  $S^3$ . Lê's

conjecture, which is still open (see [14] and [2] for partial results), states that it can only happen for an equisingular family of irreducible curves.

In Sect. 2.3, we use a suitably general projection  $\pi : (X, 0) \rightarrow (\mathbb{C}^2, 0)$  (as told in Sect. 2.3.1) to describe the topology of the restriction  $\nu_L : L_{\bar{X}} \rightarrow L_X$  of the normalization  $\nu$  on the link  $L_{\bar{X}}$ . We will show that  $\nu_L$  is a homeomorphism if and only if a general hyperplane section of  $(X, 0)$  is locally irreducible at  $z$  for all points  $z \in (\Sigma \setminus \{0\})$ . Otherwise, as stated without a proof in Luengo-Pichon [14],  $\nu_L$  is the composition of two kind of topological quotients: curlings and identifications. Here, we give detailed proofs. Some years ago, John Milnor asked me for a description of the link of a surface germ with non-isolated singular locus. I hope that Sect. 2.3 gives a satisfactory answer.

In Sect. 2.4 we suppose that  $(X, 0)$  is **normal**. We use a finite morphism  $\pi : (X, 0) \rightarrow (\mathbb{C}^2, 0)$  and its discriminant  $\Delta$ , to obtain a good resolution  $\rho : (Y, E_Y) \rightarrow (X, 0)$  of  $(X, 0)$ . We follow Hirzebruch's method (see [9], see also Brieskorn [5] for a presentation of Hirzebruch's work). The scheme to obtain  $\rho$  is as in [15], but our redaction here is quite different. In [15], the purpose is to study the behaviour of invariants associated to finite morphisms defined on  $(X, 0)$ . Here, we explain in detail the topology of each steps of the construction to specify the behaviour of  $\rho$ . Hirzebruch's method uses the properties of the topology of the normalization, presented in Sect. 2.3, and the resolution of the quasi-ordinary singularities of surfaces already studied by Jung. This is why one says that this resolution  $\rho$  is the Hirzebruch-Jung resolution associated to  $\pi$ . Then  $L_X$  is homeomorphic to the boundary of a regular neighborhood of the exceptional divisor  $E_Y$  of  $\rho : (Y, E_Y) \rightarrow (X, 0)$  which is a plumbing as defined in Sect. 2.2.

Section 2.5 is an appendix which can be read independently of the other sections. We suppose again that  $(X, 0)$  is **normal**. We give topological proofs of basic results, already used in Sect. 2.4 on finite morphism  $\phi : (X, 0) \rightarrow (\mathbb{C}^2, 0)$ , in the following two cases:

1. The discriminant of  $\phi$  is a smooth germ of curve. Then, in Lemma 2.5.6, we show that  $(X, 0)$  is analytically isomorphic to  $(\mathbb{C}^2, 0)$  and that  $\phi$  is analytically isomorphic to the map from  $(\mathbb{C}^2, 0)$  to  $(\mathbb{C}^2, 0)$  defined by  $(x, y) \mapsto (x, y^n)$ .
2. The discriminant of  $\phi$  is a normal crossing. By definition  $(X, 0)$  is then a quasi-ordinary singularity and its link is a lens space. We prove that the minimal resolution of  $(X, 0)$  is a bamboo of rational curves (Proposition 2.5.7).

Section 2.6 is an example of Hirzebruch-Jung's resolution.

### 2.1.3 Conventions

The boundary of a topological manifold  $W$  will be denoted by  $b(W)$ .

A **disc** (resp. an **open disc**) will always be an oriented topological manifold orientation preserving homeomorphic to  $\{z \in \mathbb{C}, |z| \leq 1\}$  (resp. to  $\{z \in \mathbb{C}, |z| < 1\}$ ).

A **circle** will always be an oriented topological manifold orientation preserving homeomorphic to  $S = \{z \in \mathbb{C}, |z| = 1\}$ . Moreover, for  $0 < \alpha$ , we use the following notation:  $D_\alpha = \{z \in \mathbb{C}, |z| \leq \alpha\}$ , and  $S_\alpha = b(D_\alpha)$ .

## 2.2 The Topology of Plumblings

In this Section  $(X, 0)$  is a **normal** complex surface germ.

The name “plumbing” was introduced by David Mumford in [19]. There, he showed that the topology of a resolution of a normal singularity of a complex surface can be described as a “plumbing”.

In [9], Hirzebruch constructed good resolutions of normal singularities. Let  $\rho : (Y, E_Y) \rightarrow (X, 0)$  be a good resolution of the normal germ of surface  $(X, 0)$ . Each irreducible component  $E_i$  of the exceptional divisor is equipped with its normal complex fiber bundle. With their complex structure the fibers have dimension 1. So, a regular compact tubular neighbourhood  $N(E_i)$  of  $E_i$  in  $Y$ , is a disc bundle. As  $E_i$  is a smooth compact complex curve,  $E_i$  is an oriented differential compact surface with an empty boundary. Then, the isomorphism class, as differential bundle, of the disc bundle  $N(E_i)$  is determined by the genus  $g_i$  of  $E_i$  and its self-intersection number  $e_i$  in  $Y$ . The complex structure gives an orientation on  $Y$  and on  $E_i$ , these orientations induce an orientation on  $N(E_i)$  and on the fibers of the disc bundle over  $E_i$ .

*Remark 2.2.1* By definition  $(X, 0)$  is a sufficiently small compact representative of the given normal surface germ. Let  $k$  be the number of irreducible components of  $E_Y$ ,  $M(Y) = \cup_{1 \leq i \leq k} N(E_i)$  is a compact neighborhood of  $E_Y$ . There exists a retraction by deformation  $R : Y \rightarrow M(Y)$  which induces a homeomorphism from the boundary of  $Y$ ,  $b(Y) = \rho^{-1}(L_X)$ , to the boundary  $b(M(Y))$ . So, the boundary of  $M(Y)$  is the link of  $(X, 0)$ .

**Definition 2.2.2** Let  $N(E_i), i = 1, 2$ , be two oriented disc bundles on oriented smooth compact differentiable surfaces, with empty boundary,  $E_i, i = 1, 2$ , and let  $p_i \in E_i$ . **The plumbing of  $N(E_1)$  and  $N(E_2)$  at  $p_1$  and  $p_2$**  is equal to the quotient of the disjoint union of  $N(E_1)$  and  $N(E_2)$  by the following equivalence relation. Let  $D_i$  be a small disc neighbourhood of  $p_i$  in  $E_i$ , and  $D_i \times \Delta_i$  be a trivialization of  $N(E_i)$  over  $D_i, i = 1, 2$ . Let  $f : D_1 \rightarrow \Delta_2$  and  $g : \Delta_1 \rightarrow D_2$  be two orientation preserving diffeomorphisms such that  $f(p_1) = 0$  and  $g(0) = p_2$ .

For all  $(v_1, u_1) \in D_1 \times \Delta_1$ , the equivalence relation is  $(v_1, u_1) \sim (g(u_1), f(v_1))$ .

*Remark 2.2.3* The diffeomorphism class of the plumbing of  $N(E_1)$  and  $N(E_2)$  at  $(p_1, p_2)$  does not depend upon the choices of the trivializations nor on the choices of  $f$  and  $g$ . Moreover, in the plumbing of  $N(E_1)$  and  $N(E_2)$  at  $p_1$  and  $p_2$ :

1. The image of  $E_1$  intersects the image of  $E_2$  at the point  $p_{12}$  which is the class, in the quotient, of  $(p_1 \times 0) \sim (p_2 \times 0)$ .

2. The plumbing is a gluing of  $N(E_1)$  and  $N(E_2)$  around the chosen neighbourhoods of  $(p_1 \times 0)$  and  $(p_2 \times 0)$ .
3. In the plumbing,  $D_1 \times 0 \subset E_1$  is identified, via  $f$ , with the fiber  $0 \times \Delta_2$  of the disc bundle  $N(E_2)$  and the fiber  $0 \times \Delta_1$  of  $N(E_1)$  is identified, via  $g$ , with  $D_2 \times 0 \subset E_2$ .

**Definition 2.2.4** More generally we can perform the plumbing of a family  $N(E_i), i = 1, \dots, n$ , of oriented disc bundles on oriented smooth compact differentiable surfaces  $E_i$  with empty boundary, at a finite number of pairs of points  $(p_i, p_j) \in E_i \times E_j$ . Let  $g_i$  be the genus of  $E_i$  and  $e_i$  be the self-intersection number of  $E_i$  in  $N(E_i)$ . The vertices of the **weighted plumbing graph** associated to such a plumbing represent the basis  $E_i, i = 1, \dots, n$ , of the bundles. These vertices are weighted by  $e_i$  when  $g_i = 0$ , and by  $(e_i, g_i)$  when  $0 < g_i$ . Each edge which relates  $(i)$  to  $(j)$ , represents an intersection point between the image of  $E_i$  and  $E_j$  in the plumbing.

In the boundary of the plumbing of the family  $N(E_i), i = 1, \dots, n$ , the intersections  $b(N(E_i)) \cap b(N(E_j))$  are a union of disjoint tori which is the **family of plumbing tori** of the plumbing.

We can perform a plumbing between  $N(E_i)$  and  $N(E_j)$  at several pairs of points of  $E_i \times E_j$  if and only if every two such pairs of points  $(p_i, p_j)$  and  $(p'_i, p'_j)$  are such that  $p_i \neq p'_i$  and  $p_j \neq p'_j$ . Let  $k_{ij} \geq 0$  be the number of these pairs of points. Obviously,  $k_{ij}$  is the number of disjoint tori which form the intersection  $b(N(E_i)) \cap b(N(E_j))$  and also the number of edges which relate the vertices associated to  $E_i$  and  $E_j$  in the plumbing graph associated to the plumbing.

An oriented disc bundle  $N(E)$  on a differential compact surface  $E$  of genus  $g$  and empty boundary is determined as differentiable bundle by  $g$  and by the self-intersection number of  $E$  in  $N(E)$ . If two plumbings have the same weighted plumbing graph, there exists a diffeomorphism between the two plumbings such that its restriction on the corresponding disc bundles is an isomorphism of differentiable disc bundles.

**Proposition 2.2.5** *Let  $\rho : (Y, E_Y) \rightarrow (X, 0)$  be a good resolution of the normal germ of surface  $(X, 0)$ . Then a regular neighbourhood, in  $Y$ , of the exceptional divisor  $E_Y$ , is diffeomorphic to a plumbing of the disc bundles  $N(E_i)$ . The plumbings are performed around the double points  $p_{ij} = E_i \cap E_j$ . The associated weighted plumbing graph coincides with the weighted dual graph  $G_w(Y)$  of  $\rho$ . To each point  $p_{ij} \in (E_i \cap E_j)$  we associate a torus  $T(p_{ij}) \subset (b(N(E_i)) \cap b(N(E_j)))$ .*

**Proof** We choose trivializations of the disc bundles  $N(E_i)$  and  $N(E_j)$  in a small closed neighborhood  $V$  of  $p_{ij}$ . First, we center the trivializations at  $(0, 0) = p_{ij}$  and we parametrize  $V$  as disc a bundle

1. over  $E_i$  by  $V_i = \{(v_i, u_i) \in D_i \times \Delta_i\}$ , where  $D_i \times 0$  is a disc neighborhood of  $(0, 0) = p_{ij}$  in  $E_i$  and  $v_i \times \Delta_i$  is the normal disc fiber at  $v_i \in D_i$ .
2. over  $E_j$  by  $V_j = \{(v_j, u_j) \in D_j \times \Delta_j\}$ , where  $D_j \times 0$  is a disc neighborhood of  $(0, 0) = p_{ij}$  in  $E_j$  and  $v_j \times \Delta_j$  is the normal disc fiber at  $v_j \in D_j$ .

As  $E_Y$  is a normal crossing divisor, we can parametrize  $V$  in such a way that  $E_Y \cap V = \{uv = 0\}$  where  $v = v_i = u_j$  and  $u = v_j = u_i$ . These equalities provide the plumbing of  $N(E_i)$  and  $N(E_j)$  around  $p_{ij}$ . By construction, the associated weighted plumbing graph is equal to  $G_w(Y)$ .  $\square$

**Definition 2.2.6** The union of disc bundles  $M(Y) = \cup_{1 \leq i \leq k} N(E_i)$  is **the plumbing** associated to  $\rho : (Y, E_Y) \rightarrow (X, 0)$ .

With the above notation, in a neighborhood of  $p_{ij}$ , there is a unique connected component of the intersection  $(b(N(E_i)) \cap b(N(E_j)))$  which is parametrized by the torus  $b(D_i) \times b(\Delta_i)$  which is glued point by point with  $b(D_j) \times b(\Delta_j)$ .

**Definition 2.2.7** The image of  $(b(D_i) \times b(\Delta_i)) \sim (b(D_j) \times b(\Delta_j))$  in the boundary of  $M(Y)$  is the **plumbing torus**  $T(p_{ij})$  associated to  $p_{ij}$ .

### 2.3 The Topology of the Normalization

In this Section  $(X, 0)$  is the intersection of a reduced complex surface germ, which can have a 1-dimensional singular locus, with the compact ball  $B_\epsilon^{2n}$  of radius a small  $\epsilon$  (i.e. where  $\epsilon$  is as in Milnor’s Theorem 2.10 of [18]), centered at the origin in  $\mathbb{C}^n$ . As in the Introduction (Sect. 2.1),  $L_X$  is the intersection of  $X$  with the boundary  $S_\epsilon^{2n-1}$  of  $B_\epsilon^{2n}$ .

#### 2.3.1 $L_X$ as Singular Covering over $S^3$

We choose a general projection  $\pi : (X, 0) \rightarrow (\mathbb{C}^2, 0)$ . We denote by  $\Gamma$  the singular locus of  $\pi$  (in particular  $\Sigma \subset \Gamma$ ) and by  $\Delta$  its discriminant ( $\Delta = \pi(\Gamma)$ ). In fact it is sufficient to choose new coordinates in  $\mathbb{C}^n$ ,  $(x, y, w_1, \dots, w_{n-2}) \in \mathbb{C}^n$ , such that the restriction on  $(X, 0)$  of the projection

$$(x, y, w_1, \dots, w_{n-2}) \mapsto (x, y),$$

denoted by  $\pi$ , is finite and such that, for a sufficiently small  $\alpha$  with  $\alpha < \epsilon$ , and all  $a \in \mathbb{C}$  with  $|a| \leq \alpha$ , the hyperplanes  $H_a = \{x = a\}$  meet transversally the singular locus  $\Gamma$  of  $\pi$ . In particular,  $H_0 \cap \Gamma = \{0\}$ .

#### Convention and Notation

Let  $D_\alpha \times D_\beta \in \mathbb{C}^2$  be a polydisc at the origin in  $\mathbb{C}^2$  where  $0 < \alpha < \beta < \epsilon$  are chosen sufficiently small such that the following two points are satisfied:

- I)  $\mathcal{B} = B_\epsilon^{2n} \cap \pi^{-1}(D_\alpha \times D_\beta)$  is a good semi-analytic neighborhood of  $(X, 0)$  in the sense of A. Durfee [7]. Then  $(X \cap \mathcal{B}, 0)$  is homeomorphic to  $(X, 0)$ . In this section  $(X, 0)$  is given by  $(X \cap \mathcal{B}, 0)$ . The link  $L_X = X \cap b(\mathcal{B})$  is the link of  $X$ . The link of  $\Gamma$  is the link  $K_\Gamma = \Gamma \cap b(\mathcal{B})$  embedded in  $L_X$ .



II) We have the following inclusion:

$$K_\Delta = \Delta \cap ((S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta)) \subset (S_\alpha \times D_\beta).$$

In this section, we choose such a  $K_\Delta$  to represent the link of  $\Delta$  embedded in the 3-sphere (with corners)  $((S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta))$ . Let  $\delta_j, 1 \leq j \leq r$ , be the  $r$  branches of the discriminant  $\Delta$ . Let  $N(K_\Delta)$  be a tubular compact neighborhood of  $K_\Delta$ . So,  $N(K_\Delta)$  is a disjoint union of  $r$  solid tori. For a sufficiently small  $N(K_\Delta)$ , the union  $N(K_\Gamma)$  of the connected components of  $L_X \cap \pi^{-1}(N(K_\Delta))$  which contain a connected component of  $K_\Gamma$ , constitutes a tubular compact neighbourhood of  $K_\Gamma$  in  $L_X$ .

Let us denote by  $\mathring{N}(K_\Delta)$  the interior of  $N(K_\Delta)$ . **The exterior  $M$  of the link  $K_\Delta$**  is defined by:

$$M = ((S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta)) \setminus \mathring{N}(K_\Delta).$$

Moreover, let  $\gamma$  be a branch of the singular locus  $\Gamma$  of  $\pi$ . So,  $\pi(\gamma) = \delta$  is a branch of  $\Delta$ . Let  $N(K_\delta)$  (resp.  $N(K_\gamma)$ ) be the connected component of  $N(K_\Delta)$  (resp. of  $N(K_\Gamma)$ ) which contains the link  $K_\delta$  (resp.  $K_\gamma$ ).

*Remark 2.3.1* The restriction  $\pi_L : L_X \rightarrow ((S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta))$  of  $\pi$  to  $L_X$  is a finite morphism, its restriction on  $M$  is a finite regular covering. If  $\gamma$  is not a branch of the singular locus  $\Sigma$  of  $X$ ,  $\pi_L$  restricted to  $N(K_\gamma)$  is a ramified covering with  $K_\gamma$  as ramification locus. If  $\gamma$  is a branch of  $\Sigma$ ,  $N(K_\gamma)$  is a singular pinched solid torus as defined in Definition 2.3.13 and  $\pi_L$  restricted to  $N(K_\gamma)$  is singular all along  $K_\gamma$ .

### 2.3.2 Waldhausen Graph Manifolds and Plumbing Graphs

**Definition 2.3.2** A Seifert fibration on an oriented, compact 3-manifold is an oriented foliation by circles such that every leaf has a tubular neighbourhood (which is a solid torus) saturated by leaves. A Seifert 3-manifold is an oriented, compact 3-manifold equipped with a Seifert fibration.

*Remark 2.3.3*

1. A Seifert 3-manifold  $M$  can have a non-empty boundary. As this boundary is equipped with a foliation by circles, if  $B(M)$  is non-empty it is a disjoint union of tori.
2. Let  $D$  be a disc and  $r$  be a rotation of angle  $2\pi q/p$  where  $(q, p)$  are two positive integers prime to each other and  $0 < q/p < 1$ . Let  $T_r$  be the solid torus equipped with a Seifert foliation given by the trajectories of  $r$  in the following mapping torus:

$$T_r = D \times [0, 1]/(z, 1) \sim (r(z), 0).$$

In particular,  $l_0 = (0 \times [0, 1]) / (0, 1) \sim (0, 0)$  is a core of  $T_r$ . The other leaves are  $(q, p)$ -torus knots in  $T_r$ . Let  $T_0$  be  $D \times S$  equipped with the trivial fibration by circles  $l(z) = \{z\} \times S$ ,  $z \in D$ . A solid torus  $T(l)$  which is a tubular neighbourhood of a leaf  $l$  of a Seifert 3-manifold  $M$  is either

- 1) orientation and foliation preserving homeomorphic to  $T_0$ . In this case,  $l$  is a regular Seifert leaf.
- 2) or, is orientation and foliation preserving homeomorphic to  $T_r$ . In this case,  $l$  is an exceptional leaf of  $M$ .

3. The compactness of  $M$  implies that the set of exceptional leaves is finite.

**Definition 2.3.4** Let  $M$  be an oriented and compact 3-manifold. The manifold  $M$  is a **Waldhausen graph manifold** if there exists a finite family  $\mathcal{T}$ , of disjoint tori embedded in  $M$ , such that if  $M_i$ ,  $i = 1, \dots, m$ , is the family of the closures of the connected components of  $M \setminus \mathcal{T}$ , then  $M_i$  is a Seifert manifold for all  $i$ ,  $1 \leq i \leq m$ . We assume that it gives us a finite decomposition  $M = \cup_{1 \leq i \leq m} M_i$  into a union of compact connected Seifert manifolds which satisfies the following properties:

1. For each  $M_i$ ,  $i = 1, \dots, m$ , the boundary of  $M_i$  is in  $\mathcal{T}$  i.e.  $b(M_i) \subset \mathcal{T}$ .
2. If  $i \neq j$  we have the inclusion  $(M_i \cap M_j) \subset \mathcal{T}$ .
3. The intersection  $(M_i \cap M_j)$ , between two Seifert manifolds of the given decomposition, is either empty or equal to the union of the common boundary components of  $M_i$  and  $M_j$ .

Such a decomposition  $M = \cup_{1 \leq i \leq m} M_i$ , is **the Waldhausen decomposition of  $M$ , associated to the family of tori  $\mathcal{T}$** .

*Remark 2.3.5* One can easily deduce from Definition 2.2.4, that the family of the plumbing tori gives a decomposition of the boundary of a plumbing as a union of Seifert manifolds because the boundary of a disc bundle is a circle bundle. So, the boundary of a plumbing is a Waldhausen graph manifold.

In [20], W. Neumann shows how to construct a plumbing from a given Waldhausen decomposition of a 3-dimensional oriented compact manifold.

As in Sect. 2.3.1, we consider the exterior  $M = ((S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta)) \setminus \overset{\circ}{N}(K_\Delta)$  of the link  $K_\Delta$ . The following proposition is well known (for example see [8, 17]). Moreover, a detailed description of  $M$ , as included in the boundary of the plumbing graph given by the minimal resolution of  $\Delta$ , is given in [12, p. 147–150].

**Proposition 2.3.6** *The exterior  $M$  of the link of a plane curve germ  $\Delta$  is a Waldhausen graph manifold. The minimal Waldhausen decomposition of  $M$  can be extended to a Waldhausen decomposition of the sphere  $((S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta))$  in which the connected components of  $K_\Delta$  are Seifert leaves. Moreover, with such a Waldhausen decomposition, the solid tori connected components of  $N(K_\Delta)$  are saturated by Seifert leaves which are oriented circles transverse to  $(a \times D_\beta)$ ,  $a \in S_\alpha$ . The cores  $K_\Delta$  of  $N(K_\Delta)$  are a union of these Seifert leaves.*

### 2.3.3 The Topology of $L_X$ When $L_X$ Is a Topological Manifold

If  $(X, 0)$  is not normal, let  $\nu_L : L_{\bar{X}} \rightarrow L_X$  be the normalization of  $(X, 0)$  restricted to the link of  $(\bar{X}, p)$  (if  $(X, 0)$  is normal  $\nu_L$  is the identity).

*Remark 2.3.7* The link of a normal complex surface germ is a Waldhausen graph manifold. Indeed, the composition morphism  $\pi_L \circ \nu_L$  is a ramified covering with the link  $K_\Delta$  as set of ramification values:

$$(\pi_L \circ \nu_L) : L_{\bar{X}} \rightarrow ((S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta)).$$

We can take the inverse image under  $\pi_L \circ \nu_L$  of the tori and of the Seifert leaves of a Waldhausen decomposition of  $((S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta))$  in which  $K_\Delta$  is a union of Seifert leaves, to obtain a Waldhausen decomposition of  $L_{\bar{X}}$ . Then, the plumbing calculus [20] describes  $L_{\bar{X}}$  as the boundary of a plumbing without the help of a good resolution of  $(\bar{X}, p)$ .

If the singular locus  $(\Sigma, 0)$  of  $(X, 0)$  is one-dimensional, let  $(\sigma, 0)$  be a branch of  $(\Sigma, 0)$  and  $s$  be a point of the intersection  $\sigma \cap \{x = a\}$ . Let  $\delta = \pi(\sigma)$  be the branch of the discriminant  $\Delta$  which is the image of  $\sigma$  by the morphism  $\pi$ . Then,  $\pi_L(s) = (a, y) \in (S_\alpha \times D_\beta)$ . Let  $N(K_\delta)$  be a solid torus regular neighbourhood of  $K_\delta$  in  $(S_\alpha \times D_\beta)$  and let  $N(K_\sigma)$  be the connected component of  $(\pi_L)^{-1}(N(K_\delta))$  which contains  $s$  (and  $K_\sigma$ ).

Let  $(C, s)$  be the germ of curve which is the connected component of  $N(K_\sigma) \cap \{x = a\}$  which contains  $s$ . For a sufficiently small  $\alpha = |a|$ ,  $(C, s)$  is reduced and its topological type does not depend upon the choice of  $s$ . In particular, **the number of the irreducible components of  $(C, s)$**  is well defined, let us denote this number by  $k(\sigma)$ .

#### Definition 2.3.8

1. By definition  $(C, s)$  is the **hyperplane section germ** of  $\sigma$  at  $s$ .  
If  $k(\sigma) = 1$ ,  $\sigma$  is a **branch of  $\Sigma$  with irreducible hyperplane sections**. Let  $\Sigma = \Sigma_1 \cup \Sigma_+$  where  $\Sigma_1$  is the union of the branches of  $\Sigma$  with irreducible hyperplane sections and  $\Sigma_+$  is the union of the branches of  $\Sigma$  with reducible hyperplane sections.
2. Let  $D_i, 1 \leq i \leq k$  be  $k$  oriented discs centered at  $0_i \in D_i$ . A  **$k$ -pinched disc**  $k(D)$  is a topological space orientation preserving homeomorphic to the quotient of the disjoint union of the  $k$  discs by the identification of their centers in a unique point  $\tilde{0}$  i.e.  $0_i \sim 0_j$  for all  $i$  and  $j$  where  $1 \leq i \leq k, 1 \leq j \leq k$ . **The center of  $k(D)$**  is the equivalence class  $\tilde{0}$  of the centers  $0_i, 1 \leq i \leq k$ .
3. If  $h : k(D) \rightarrow k(D)'$  is a homeomorphism between two  $k$ -pinched discs with  $k > 1$ ,  $h(\tilde{0})$  is obviously the center of  $k(D)'$ . We say that  **$h$  is orientation preserving** if  $h$  preserves the orientation of the punctured  $k$ -pinched discs  $(k(D) \setminus \{\tilde{0}\})$  and  $(k(D)' \setminus \{h(\tilde{0})\})$ .

**Lemma 2.3.9** *Let  $(C, s)$  be the germ of curve which is the connected component of  $N(K_\sigma) \cap \{x = a\}$  which contains  $s$ . Then,  $C$  is a  $k(\sigma)$ -pinched disc centered at  $s$  and  $N(K_\sigma)$  is the mapping torus of  $C$  by an orientation preserving homeomorphism  $h$  which fixes the point  $s$ .*

**Proof** As  $(C, s)$  is a germ of curve with  $k(\sigma)$  branches, up to homeomorphism  $(C, s)$  is a  $k(\sigma)$ -pinched disc with  $s = \tilde{0}$ .

We can saturate the solid torus  $N(K_\delta) = \pi(N(K_\sigma))$  with oriented circles such that  $K_\delta$  is one of these circles and such that the first return homeomorphism defined by these circles on the disc  $\pi(C)$  is the identity. Let  $\gamma$  be one circle of the chosen saturation of  $N(K_\delta)$ . Then  $\pi^{-1}(\gamma) \cap N(K_\sigma)$  is a disjoint union of oriented circles because  $\pi$  restricted to  $N(K_\sigma) \setminus K_\sigma$  is a regular covering and  $(\pi^{-1}(K_\delta) \cap N(K_\sigma)) = K_\sigma$ . So,  $N(K_\sigma)$  is equipped with a saturation by oriented circles. The first return map on  $C$  along the so constructed circles is an orientation preserving homeomorphism  $h$  such that  $h(s) = s$  because  $K_\sigma$  is one of the given circles. □

**Lemma 2.3.10** *As above, let  $(C, s)$  be the hyperplane section germ at  $s \in \sigma \cap \{x = a\}$ . Let  $\bar{\sigma}_j, 1 \leq j \leq n$ , be the  $n$  irreducible components of  $v_L^{-1}(\sigma)$  and let  $d_j$  be the degree of  $v_L$  restricted to  $\bar{\sigma}_j$ . Then, we have*

$$k(\sigma) = d_1 + \dots + d_j + \dots + d_n.$$

**Proof** The normalization  $v$  restricted to  $\bar{X} \setminus \bar{\Sigma}$ , where  $\bar{\Sigma} = \pi^{-1}(\Sigma)$ , is an isomorphism. The number  $n$  of the irreducible components of  $v_L^{-1}(\sigma)$  is equal to the number of the connected components of  $v_L^{-1}(N(K_\sigma))$ . So,  $n$  is the number of the connected components of the boundaries  $b(v_L^{-1}(N(K_\sigma)))$  which is equal to the number of the connected components of  $b(N(K_\sigma))$ . Let  $\tau_j, 1 \leq j \leq n$ , be the  $n$  disjoint tori which are the boundary of  $N(K_\sigma)$ . The degree  $d_j$  of  $v$  restricted to  $\bar{\sigma}_j$  is equal to the number of points of  $v_L^{-1}(s) \cap (\bar{\sigma}_j)$ .

Let  $(\gamma_j, s)$  be an irreducible component of  $(C, s)$  such that  $m_j = b(\gamma_j) \subset \tau_j$ . The normalization  $v$  restricted to  $(v_L^{-1}(\gamma_j \setminus \{s\}))$  is an isomorphism over the punctured disc  $(\gamma_j \setminus \{s\})$ . So, the intersection  $v_L^{-1}(\gamma_j) \cap \bar{\sigma}_j$  is a unique point  $p_j$ . As  $(\bar{X}, p)$  is normal,  $p_j$  is a smooth point of  $(\bar{X}, p)$  and then,  $v_L^{-1}(\gamma_j)$  is irreducible and it is the only irreducible component of  $v_L^{-1}(C)$  at  $p_j$ . By symmetry there is exactly one irreducible component of  $v_L^{-1}(C)$  at every point of  $v_L^{-1}(s) \cap (\bar{\sigma}_j)$ .

So,  $d_j$  is the number of the meridian circles of the solid torus  $N(K_{\bar{\sigma}_j})$  obtained by the following intersection  $(v_L^{-1}(C)) \cap (v_L^{-1}(\tau_j))$ . But  $v$  restricted to  $(v_L^{-1}(\tau_j))$  is an isomorphism and  $d_j$  is also the number of connected components of  $C \cap \tau_j$ . So,  $d_1 + \dots + d_j + \dots + d_n$ , is equal to the number of connected components of  $b(C) = C \cap b(N(K_\sigma))$  which is the number of irreducible components of  $(C, s)$ . □

*Remark 2.3.11* A well-known result of analytic geometry could be roughly stated as follows: “The normalization separates the irreducible components”. Here,  $(X, 0)$  has  $k(\sigma)$  irreducible components around  $s \in \sigma$ . Using only basic topology, Lemma 3.3.4 proves that  $(\nu_L^{-1}(s))$  has  $k(\sigma) = d_1 + \dots + d_j + \dots + d_n$  distinct points and that there is exactly one irreducible component of  $\nu_L^{-1}(C)$  at every point of  $\nu_L^{-1}(s)$ . This gives a topological proof that the normalization  $\nu$  separates the  $k(\sigma)$  irreducible components of  $(C, s)$  around  $s \in \sigma$ .

**Proposition 2.3.12** *The following three statements are equivalent:*

1.  $L_X$  is a topological manifold equipped with a Waldhausen graph manifold structure.
2. The normalization  $\nu : (\bar{X}, p) \rightarrow (X, 0)$  is a homeomorphism.
3. All the branches of  $\Sigma$  have irreducible hyperplane sections.

**Proof** The normalization  $\nu$  restricted to  $\bar{X} \setminus \bar{\Sigma}$ , where  $\bar{\Sigma} = \pi^{-1}(\Sigma)$ , is an isomorphism. The normalization is a homeomorphism if and only if  $\nu$  restricted to  $\bar{\Sigma} = \pi^{-1}(\Sigma)$  is a bijection. This is the case if and only if we have  $1 = d_1 + \dots + d_j + \dots + d_n$  for all the branches  $\sigma$  of  $\Sigma$ . But, by Lemma 2.3.10,  $k(\sigma) = d_1 + \dots + d_j + \dots + d_n$ . This proves the equivalence of the statements 2 and 3.

Let  $(C, s)$  be the hyperplane section germ at  $s \in \sigma \cap \{x = a\}$ . If  $L_X$  is a topological manifold, it is a topological manifold at  $s$  and  $k(\sigma) = 1$  for all branches  $\sigma$  of  $\Sigma$ . If all the branches of  $\Sigma$  have irreducible hyperplane sections, we already know that the normalization  $\nu : (\bar{X}, p) \rightarrow (X, 0)$  is a homeomorphism. Then, the restriction  $\nu_L$  of  $\nu$  to  $L_{\bar{X}}$  is also a homeomorphism. By Remark 2.3.7,  $L_{\bar{X}}$  is a Waldhausen graph manifold. In particular, we can equip  $L_X$  with the Waldhausen graph manifold structure carried by  $\nu_L$ . This proves the equivalence of the statements 1 and 3. □

### 2.3.4 Singular $L_X$ , Curlings and Identifications

In Sect. 2.3.3 (Definition 2.3.8), we have considered the union  $\Sigma_+$  of the branches of the singular locus  $\Sigma$  of  $(X, 0)$  which have reducible hyperplane sections. We consider a tubular neighbourhood  $N_+ = \cup_{\sigma \subset \Sigma_+} N(K_\sigma)$  of the link  $K_{\Sigma_+}$  of  $\Sigma_+$  in  $L_X$ . As in the proof of Proposition 2.3.12, the exterior  $M_1 = L_X \setminus \mathring{N}_+$ , of  $K_{\Sigma_+}$  in  $L_X$ , is a topological manifold because  $\nu$  restricted to  $\nu^{-1}(M_1)$  is a homeomorphism. From now on  $\sigma$  is a branch of  $\Sigma_+$ . The definition of  $\Sigma_+$  implies that  $L_X$  is topologically singular at every point of  $K_\sigma$ . In this section, we show that  $N(K_\sigma)$  is a singular pinched solid torus. In Lemma 2.3.9, it is shown that  $N(K_\sigma)$  is the mapping torus of a  $k(\sigma)$ -pinched disc by an orientation preserving homeomorphism. But, the homeomorphism class of the mapping torus of a homeomorphism  $h$  depends only on the isotopy class of  $h$ . Moreover the isotopy class of an orientation preserving homeomorphism  $h$  of a  $k$ -pinched disc depends only on the permutation induced

by  $h$  on the  $k$  discs. In particular, if  $h : D \rightarrow D$  is an orientation preserving homeomorphism of a disc  $D$  the associated mapping torus

$$T(D, h) = [0, 1] \times D / (1, x) \sim (0, h(x))$$

is homeomorphic to the standard torus  $S \times D$ .

**Definition 2.3.13**

1. Let  $k(D)$  be the  $k$ -pinched disc quotient by identification of their centrum of  $k$  oriented and ordered discs  $D_i, 1 \leq i \leq k$ . Let  $c = c_1 \circ c_2 \circ \dots \circ c_n$  be a permutation of the indices  $\{1, \dots, k\}$  given as the composition of  $n$  disjoint cycles  $c_j, 1 \leq j \leq n$ , where  $c_j$  is a cycle of order  $d_j$ . Let  $\tilde{h}_c$  be an orientation preserving homeomorphism of the disjoint union of  $D_i, 1 \leq i \leq k$  such that  $\tilde{h}_c(D_i) = D_{c(i)}$  and  $\tilde{h}_c(0_i) = 0_{c(i)}$ . Then,  $\tilde{h}_c$  induces an orientation preserving homeomorphism  $h_c$  on  $k(D)$ . By construction we have  $h_c(\tilde{0}) = \tilde{0}$ . A **singular pinched solid torus associated to the permutation  $c$**  is a topological space orientation preserving homeomorphic to the mapping torus  $T(k(D), c)$  of  $h_c$ :

$$T(k(D), c) = [0, 1] \times k(D) / (1, x) \sim (0, h_c(x))$$

The **core** of  $T(k(D), c)$  is the oriented circle  $l_0 = [0, 1] \times \tilde{0} / (1, \tilde{0}) \sim (0, \tilde{0})$ . A homeomorphism between two singular pinched solid tori is orientation preserving if it preserves the orientation of  $k(D) \setminus \{\tilde{0}\}$  and the orientation of the trajectories of  $h_c$  in its mapping torus  $T(k(D), c)$ .

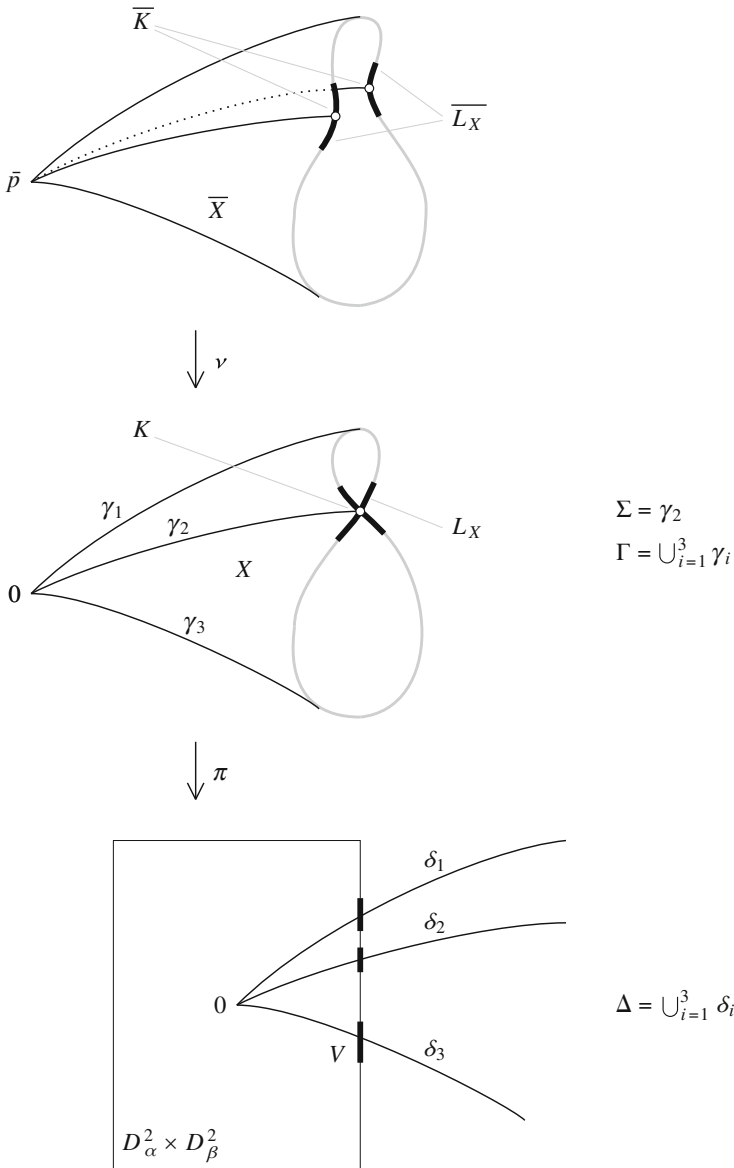
2. A  **$d$ -curling  $C_d$**  is a topological space homeomorphic to the following quotient of a solid torus  $S \times D$  :

$$C_d = S \times D / (u, 0) \sim (u', 0) \Leftrightarrow u^d = u'^d.$$

Let  $q : (S \times D) \rightarrow C_d$  be the associated quotient morphism. By definition,  $l_0 = q(S \times \{0\})$  is the **core of  $C_d$** .

*Example 2.3.14* Let  $X = \{(x, y, z) \in \mathbb{C}^3 \text{ where } z^d - xy^d = 0\}$ . The normalization of  $(X, 0)$  is smooth i.e.  $\nu : (\mathbb{C}^2, 0) \rightarrow (X, 0)$  is given by  $(u, v) \mapsto (u^d, v, uv)$ . Let  $T = \{(u, v) \in (S \times D) \subset \mathbb{C}^2\}$ . Let  $\pi_x : \nu(T) \rightarrow S$  be the projection  $(x, y, z) \mapsto x$  restricted to  $\nu(T)$ . Here the singular locus of  $(X, 0)$  is the line  $\sigma = (x, 0, 0), x \in \mathbb{C}$ . We have  $N(K_\sigma) = L_X \cap (\pi_x^{-1}(S)) = \nu(T)$  as a tubular neighbourhood of  $K_\sigma$ . Let  $q : T \rightarrow C_d$  be the quotient morphism defined above. There exists a well defined homeomorphism  $f : C_d \rightarrow N(K_\sigma)$  which satisfies  $f(q(u, v)) = (u^d, v, uv)$ . So,  $N(K_\sigma)$  is a  $d$ -curling and  $K_\sigma$  is its core. Moreover,  $f$  restricted to the core  $l_0$  of  $C_d$  is a homeomorphism onto  $K_\sigma$ .

Figure 2.2 shows schematically  $\bar{\Gamma} = \nu^{-1}(\Gamma) \subset \bar{X}$  and  $\Delta$  when  $\Sigma$  is irreducible and  $\Gamma \setminus \Sigma$  has two irreducible components.



**Fig. 2.2** Schematic picture of  $\pi$  and  $\nu$  when there is a 2-curling on  $\Sigma = \gamma_2$

**Lemma 2.3.15** *A d-curling is a singular pinched solid torus associated to a d-cycle, i.e. if  $c$  is a d-cycle, then  $C_d$  is homeomorphic to  $T(d(D), c)$ .*

**Proof** We use the notation of Example 2.3.14. The model of d-curling obtained in this example is the tubular neighbourhood  $N(K_\sigma)$  of the singular knot of the link  $L_X$

of  $X = \{(x, y, z) \in \mathbb{C}^3 \text{ where } z^d - xy^d = 0\}$ . As we work up to homeomorphism, it is sufficient to prove that  $N(K_\sigma)$  is a singular pinched solid torus associated to a  $d$ -cycle. We can saturate the solid torus  $T$  by the oriented circles  $l_b = S \times \{b\}$ ,  $b \in D$ . The circles  $\nu(l_b)$ ,  $b \in D$  also saturate  $N(K_\sigma)$  with oriented circles. The fiber  $\pi_x^{-1}(a) = (C, (a, 0, 0))$  is a singular fiber of the fibration  $\pi_x : \nu(T) \rightarrow S_\alpha$ . The equation of the curve germ  $C$  at  $(a, 0, 0)$  is  $\{z^d - ay^d = 0\}$ , this is a plane curve germ with  $d$  branches. So,  $C$  is homeomorphic to a  $d$ -pinched disc. Moreover, the first return along the circles  $\nu(l_b)$  is a monodromy  $h$  of  $\pi_x$  which satisfies the conditions given in Definition 3.4.1 to obtain a singular pinched solid torus associated to a  $d$ -cycle.

Indeed,  $(\pi_x \circ \nu) : T \rightarrow S_\alpha$  is a trivial fibration with fiber  $\nu^{-1}(C) = \{(\{u_i\} \times D_\beta), u_i^d = a\}$  which is the disjoint union of  $d$  ordered meridian discs of  $T$ . The first return  $h_T$  along the oriented circles  $l_b$  is a cyclic permutation of the ordered  $d$  meridian discs and  $(h_T)^d$  is the identity morphism. Moreover  $\nu$  restricted to  $T \setminus (S \times \{0\})$  is a homeomorphism. As  $h_T$  is a lifting of  $h$  by  $\nu$ , the monodromy  $h$  determines  $N(K_\sigma)$  as a singular pinched solid torus associated to a  $d$ -cycle. □

**Proposition 2.3.16** *Let  $\sigma$  be a branch of the singular locus of  $(X, 0)$  which has a reducible hyperplane section. Let  $(C, s)$  be the hyperplane section germ at  $s \in \sigma \cap \{x = a\}$ . Let  $\bar{\sigma}_j$ ,  $1 \leq j \leq n$ , be the  $n$  irreducible components of  $\nu_L^{-1}(\sigma)$  and let  $d_j$  be the degree of  $\nu_L$  restricted to  $\bar{\sigma}_j$ . Let  $c_j$  be a  $d_j$ -cycle and let  $c = c_1 \circ c_2 \circ \dots \circ c_n$  be the permutation of  $k(\sigma) = d_1 + \dots + d_j + \dots + d_n$  elements which is the composition of the  $n$  disjoint cycles  $c_j$ . A tubular neighbourhood  $N(K_\sigma)$  of  $K_\sigma$  is a singular pinched solid torus associated to the permutation  $c$ . Moreover, the restriction of  $\nu$  to  $\coprod_{1 \leq j \leq n} N(K_{\bar{\sigma}_j})$  is the composition of two quotients: the quotients which define the  $d_j$ -curlings followed by the quotient  $f_\sigma$  which identifies their cores.*

**Proof** Let  $N(K_{\bar{\sigma}_j})$ ,  $1 \leq j \leq n$  be the  $n$  connected components of  $\nu^{-1}(N(K_\sigma))$ . So,  $N(K_\sigma) \setminus K_\sigma$  has also  $n$  connected components and  $(N(K_\sigma))_j = \nu(N(K_{\bar{\sigma}_j}))$  is the closure of one of them. Every  $N(K_{\bar{\sigma}_j})$  is a solid torus and the restriction of  $\nu$  to its core  $K_{\bar{\sigma}_j}$  has degree  $d_j$ . The intersection  $(\nu^{-1}(C)) \cap N(K_{\bar{\sigma}_j})$  is a disjoint union of  $d_j$  ordered and oriented meridian discs of  $N(K_{\bar{\sigma}_j})$ . We can choose a homeomorphism  $g_j : (S \times D) \rightarrow N(K_{\bar{\sigma}_j})$  such that  $(\nu \circ g_j)^{-1}(C) = \{u\} \times D$ ,  $u^{d_j} = 1$ .

The model of a  $d_j$ -curling gives the quotient  $q_j : (S \times D) \rightarrow C_{d_j}$ . As in Example 2.3.14, there exists a unique homeomorphism  $f_j : C_{d_j} \rightarrow (N(K_\sigma))_j$  such that  $f_j \circ q_j = \nu \circ g_j$ . So,  $(N(K_\sigma))_j$  is a  $d_j$ -curling. In particular, if  $\nu_j$  is the restriction of  $\nu$  to  $N(K_{\bar{\sigma}_j})$ , then  $\nu_j = f_j \circ q_j \circ (g_j)^{-1}$ . Up to homeomorphism  $\nu_j$  is equivalent to the quotient which defines the  $d_j$ -curling.

But for all  $j$ ,  $1 \leq j \leq n$ , we have  $\nu(K_{\bar{\sigma}_j}) = (K_\sigma)$ . Up to homeomorphism,  $N(K_\sigma)$  is obtained as the quotient of the disjoint union of the  $d_j$ -curlings by the identification of their cores. The disjoint union of the  $f_j$  induces a homeomorphism  $f_\sigma$  from

$$N = \left( \coprod_{1 \leq j \leq n} C_{d_j} \right) / q_j(u, 0) \sim q_i(u, 0) \Leftrightarrow \nu(g_j(u, 0)) = \nu(g_i(u, 0))$$



onto  $N(K_\sigma)$ . Up to homeomorphism, the restriction of  $\nu$  to  $\coprod_{1 \leq j \leq n} N(K_{\tilde{\sigma}_j})$  is the composition of two quotients: the quotients which define the  $d_j$ -curlings followed by the quotient  $f_\sigma$  which identifies their cores. It is sufficient to prove that  $N = T(k(\sigma)(D), c)$  where  $c$  is the composition of  $n$  disjoint cycles  $c_j$  of order  $d_j$ . By Lemma 2.3.15,  $C_{d_j} = T(d_j(D), c_j)$  and it is obvious that the identifications correspond to the disjoint union of the cycles.  $\square$

## 2.4 Hirzebruch-Jung’s Resolution of $(X, 0)$

In this section  $(X, 0)$  is a normal surface germ.

Let  $\pi : (X, 0) \rightarrow (\mathbb{C}^2, 0)$  be a finite analytic morphism which is defined on  $(X, 0)$ . For example  $\pi$  can be the restriction to  $(X, 0)$  of a linear projection, as chosen in the beginning of Sect. 2.3.1. But the construction can be performed with any finite morphism  $\pi$ . We denote by  $\Gamma$  the singular locus of  $\pi$  and by  $\Delta = \pi(\Gamma)$  its discriminant.

Let  $r : (Z, E_Z) \rightarrow (\mathbb{C}^2, 0)$  be the minimal embedded resolution of  $\Delta$ , let  $E_Z = r^{-1}(0)$  be the exceptional divisor of  $r$ , and let  $E_Z^+ = r^{-1}(\Delta)$  be the total transform of  $\Delta$ . The irreducible components of  $E_Z$  are smooth complex curves because the resolution  $r$  is obtained by a sequence of blowing up of points in a smooth complex surface. Let us denote by  $E_Z^0$  the set of the smooth points of  $E_Z^+$ . So,  $E_Z^+ \setminus E_Z^0$  is the set of the double points of  $E_Z^+$ .

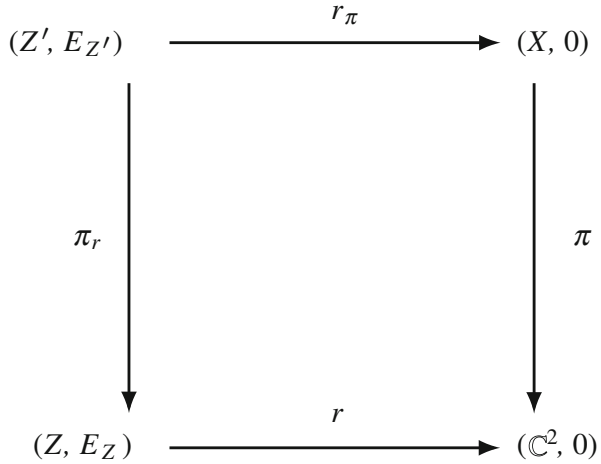
Here, we give a detailed construction of the Hirzebruch-Jung resolution  $\rho : (Y, E_Y) \rightarrow (X, 0)$  associated to  $\pi$ . This will prove the existence of a good resolution of  $(X, 0)$ . As the link  $L_X$  is diffeomorphic to the boundary of  $Y$ , this will describe  $L_X$  as the boundary of a plumbing. In particular, we will explain how to obtain the dual graph  $G(Y)$  of  $E_Y$  when we have the dual graph  $G(Z)$  associated to  $E_Z$ . Knowing the Puiseux expansions of all the branches of  $\Delta$ , there exists an algorithm to compute the dual graph  $G_w(Z)$  weighted by the self-intersection numbers of the irreducible components of  $E(Z)$  (For example see [6] and Chap. 6 and 7 in [17]). Except in special cases, the determination of the self-intersection numbers of the irreducible components of  $E_Y$  is rather delicate.

### 2.4.1 First Step: Normalization

We begin with the minimal resolution  $r$  of  $\Delta$ . The pull-back of  $\pi$  by  $r$  is a finite morphism  $\pi_r : (Z', E_{Z'}) \rightarrow (Z, E_Z)$  which induces an isomorphism from  $E_{Z'}$  to  $E_Z$ . We denote  $r_\pi : (Z', E_{Z'}) \rightarrow (X, 0)$ , the pull-back of  $r$  by  $\pi$ . Figure 2.3 represents the resulting commutative diagram.

In general  $Z'$  is not normal. Let  $n : (\bar{Z}, E_{\bar{Z}}) \rightarrow (Z', E_{Z'})$  be the normalization of  $Z'$ .

**Fig. 2.3** The diagram of the pull-back of the resolution  $r$  by  $\pi$



*Remark 2.4.1*

1. By construction, the discriminant locus of  $\pi_r \circ n$  is included in  $E_Z^+ = r^{-1}(\Delta)$  which is the total transform of  $\Delta$  in  $Z$ . As,  $X$  is normal at  $0$ ,  $(X \setminus \{0\})$  has no singular points.
2. As the restriction of  $r$  to  $Z \setminus E_Z$  is an isomorphism, the restriction of  $r_\pi$  to  $Z' \setminus E_{Z'}$  is also an isomorphism. We denote by  $\Gamma'$  (resp.  $\bar{\Gamma}$ ) the closure of  $(r_\pi)^{-1}(\Gamma \setminus \{0\})$  in  $E_{Z'}$  (resp. the closure of  $(r_\pi \circ n)^{-1}(\Gamma \setminus \{0\})$  in  $E_{\bar{Z}}$ ). The restriction of  $r_\pi$  to  $\Gamma'$  (resp.  $(r_\pi \circ n)$  on  $\bar{\Gamma}$ ) is an isomorphism onto  $\Gamma$ .
3. The singular locus of  $Z'$  is included in  $E_{Z'}$ . The normalization  $n$  restricted to  $\bar{Z} \setminus E_{\bar{Z}}$  is an isomorphism.

**Notation** We use the following notations:

$E_{Z'}^+ = E_{Z'} \cup \Gamma'$ , and  $E_{Z'}^0$  is the set of the points of  $E_{Z'}$  which belong to a unique irreducible component of  $E_{Z'}^+$ . Similarly:  $E_{\bar{Z}}^+ = E_{\bar{Z}} \cup \bar{\Gamma}$ , and  $E_{\bar{Z}}^0$  is the set of the points of  $E_{\bar{Z}}$  which belong to a unique irreducible component of  $E_{\bar{Z}}^+$ .

**Proposition 2.4.2** *Every singular point of  $\bar{Z}$  belongs to at least two irreducible components of  $E_{\bar{Z}}^+$ . The restriction of the map  $(\pi_r \circ n)$  to  $E_{\bar{Z}}$  induces a finite morphism from  $E_{\bar{Z}}$  to  $E_Z$  which is a regular covering from  $(\pi_r \circ n)^{-1}(E_Z^0)$  to  $(E_Z^0)$ .*

**Proof** As  $X$  is normal at  $0$ ,  $(X \setminus \{0\})$  has no singular points. The pull-back construction implies that:

1. The morphism  $\pi_r$  is finite and its generic degree is equal to the generic degree of  $\pi$ . Indeed,  $\pi_r$  restricted to  $E_{Z'}$  is an isomorphism. Moreover, the restriction of  $\pi_r$  to  $(Z' \setminus E_{Z'})$  is isomorphic, as a ramified covering, to the restriction of  $\pi$  to  $(X \setminus \{0\})$ . So, the restriction morphism  $(\pi_r)_| : (Z' \setminus E_{Z'}) \rightarrow (Z \setminus E_Z)$  is a finite ramified covering with ramification locus  $\Gamma'$ .

- As the restriction of  $r$  to  $(Z \setminus E_Z)$  is an isomorphism, then the restriction of  $r_\pi$  to  $(Z' \setminus E_{Z'})$  is also an isomorphism. So, the restriction of  $(r_\pi \circ n)$  to  $(\bar{Z} \setminus E_{\bar{Z}})$  is an analytic isomorphism onto the non-singular analytic set  $(X \setminus \{0\})$ . It implies that  $(\bar{Z} \setminus E_{\bar{Z}})$  is smooth.

If  $\bar{P} \in E_{\bar{Z}}^0$ , then  $P = (\pi_r \circ n)(\bar{P})$  is a smooth point of an irreducible component  $E_i$  of  $E_Z$ . The normal fiber bundle to  $E_i$  in  $Z$  can be locally trivialized at  $P$ . We can choose a small closed neighborhood  $N$  of  $P$  in  $Z$  such that  $N = D \times \Delta$  where  $D$  and  $\Delta$  are two discs,  $N \cap E_Z = (D \times 0)$  and for all  $z \in D$ ,  $z \times \Delta$  are fibers of the bundle in discs associated to the normal bundle of  $E_i$ . We choose  $\bar{N} = (\pi_r \circ n)^{-1}(N)$  as closed neighborhood of  $\bar{P}$  in  $\bar{Z}$ . But  $\bar{Z}$  is normal and the local discriminant of the restriction  $(\pi_r \circ n)|_{(\bar{N}, \bar{P})} : (\bar{N}, \bar{P}) \rightarrow (N, P)$  is included in  $D \times 0$  which is a smooth germ of curve. In that case, the link of  $(\bar{N}, \bar{P})$  is  $S^3$  (in Lemma 2.5.6, we give a topological proof of this classical result). As  $\bar{Z}$  is normal, by Mumford's Theorem [19],  $\bar{P}$  is a smooth point of  $\bar{Z}$ . This ends the proof of the first statement of the proposition.

Now, we know that the morphism  $(\pi_r \circ n)|_{\bar{N}} : (\bar{N}, \bar{P}) \rightarrow (N, P)$  is a finite morphism between two smooth germs of surfaces with non-singular discriminant locus. Let  $d$  be its generic order. By Lemma 2.5.6, such a morphism is locally isomorphic (as an analytic morphism) to the morphism defined on  $(\mathbb{C}^2, 0)$  by  $(x, y) \mapsto (x, y^d)$ . So,  $\bar{D} = (\pi_r \circ n)^{-1}(D \times 0)$  is a smooth disc in  $E_Z^0$  and the restriction of such a morphism to  $\{(x, 0), x \in \bar{D}\}$  is a local isomorphism.

By definition of  $E_Z^0$ ,  $P \in (E_i \cap E_Z^0)$  is a smooth point in the total transform of  $\Delta$ . If we take a smooth germ  $(\gamma, P)$  transverse to  $E_i$  at  $P$ , then  $(r(\gamma), 0)$  is not a branch of  $\Delta$ . The restriction of  $\pi$  to  $\pi^{-1}(r(\gamma) \setminus 0)$  is a regular covering. Let  $k$  be the number of irreducible components of  $\pi^{-1}(r(\gamma))$ . The number  $k$  is constant for all  $P \in E_i \cap E_Z^0$ . Let  $P'$  be the only point of  $(\pi_r)^{-1}(P)$ . Remark 2.3.11, which uses Lemma 2.3.10, shows that the  $k$  irreducible components of the germ of curve  $((\pi_r)^{-1}(\gamma), P')$  are separated by  $n$ . So, the restriction of the map  $(\pi_r \circ n)$  to  $((\pi_r \circ n)^{-1}(E_i \cap E_Z^0))$  is a regular covering of degree  $k$ .  $\square$

**Definition 2.4.3** A germ  $(W, 0)$  of complex surface is **quasi-ordinary** if there exists a finite morphism  $\phi : (W, p) \rightarrow (\mathbb{C}^2, 0)$  which has a normal-crossing discriminant. A **Hirzebruch-Jung singularity** is a quasi-ordinary singularity of normal surface germ.

**Lemma 2.4.4** Let  $\bar{P}$  be a point of  $E_{\bar{Z}}$  which belongs to several irreducible components of  $E_{\bar{Z}}^+$ . Then  $\bar{P}$  belongs to two irreducible components of  $E_{\bar{Z}}^+$ . Moreover, either  $\bar{P}$  is a smooth point of  $\bar{Z}$  and  $E_{\bar{Z}}^+$  is a normal crossing divisor around  $\bar{P}$ , or  $\bar{P}$  is a Hirzebruch-Jung singularity of  $\bar{Z}$ .

**Proof** If  $\bar{P}$  be a point of  $E_{\bar{Z}}$  which belongs to several irreducible components of  $E_{\bar{Z}}^+$  then  $P = (\pi_r \circ n)(\bar{P})$  is a double point of  $E_Z^+$ . Moreover  $Z$  is smooth and  $E_Z^+$  is a normal crossing divisor. We can choose a closed neighbourhood  $N$  of  $P$  isomorphic to a product of discs  $(D_1 \times D_2)$ , and we take  $\bar{N} = (\pi_r \circ n)^{-1}(N)$ . For a sufficiently small  $N$ , the restriction of  $(\pi_r \circ n)$  to the pair  $(\bar{N}, \bar{N} \cap E_{\bar{Z}}^+)$  is a

finite ramified morphism over the pair  $(\bar{N}, \bar{N} \cap E_{\bar{Z}}^+)$  and the ramification locus is included in the normal crossing divisor  $(N \cap E_Z^+)$ . The pair  $(\bar{N}, \bar{P})$  is normal and the link of the pair  $(N, N \cap E_Z^+)$  is the Hopf link in  $S^3$ . Then the link of  $\bar{N}$  is a lens space, and the link of  $(\pi_r \circ n)^{-1}(N \cap E_Z^+)$  has two components (Lemma 2.5.4 gives a topological proof of this classical result). So,  $E_Z^+$  has two irreducible components at  $\bar{P}$ . We have two possibilities:

1.  $\bar{P}$  is a smooth point in  $\bar{Z}$ . Then the link of the pair  $(\bar{N}, \bar{N} \cap E_{\bar{Z}}^+)$  is the Hopf link in  $S^3$  and  $E_{\bar{Z}}^+$  is a normal crossing divisor at  $\bar{P}$ .
2.  $\bar{P}$  is an isolated singular point of  $\bar{Z}$ . Then, the link of  $\bar{N}$  is a lens space which is not  $S^3$ . The point  $\bar{P}$  is a Hirzebruch-Jung singularity of  $\bar{Z}$  equipped with the finite morphism

$$(\pi_r \circ n)_{|\bar{N}} : (\bar{N}, \bar{N} \cap E_{\bar{Z}}^+) \rightarrow (N, N \cap E_Z^+)$$

which has the normal crossing divisor  $N \cap E_Z^+$  as discriminant. □

The example given in Sect. 2.6 illustrates the following Corollary.

**Corollary 2.4.5** *Let  $G(\bar{Z})$  be the dual graph of  $E_{\bar{Z}}$ . Proposition 2.4.2 and Lemma 2.4.4 imply that  $(\pi_r \circ n)$  induces a finite ramified covering of graphs from  $G(\bar{Z})$  onto  $G(Z)$ .*

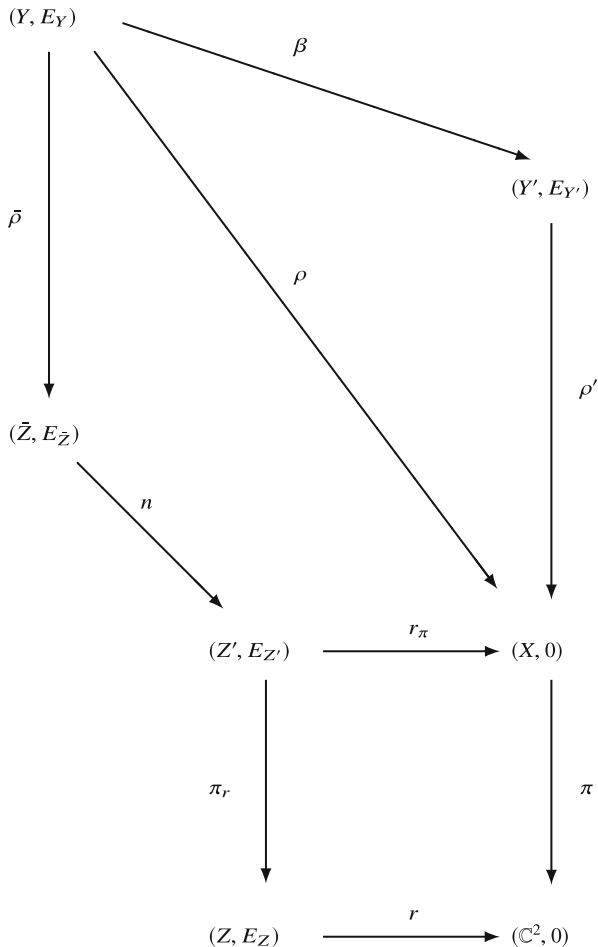
### 2.4.2 Second Step: Resolution of the Hirzebruch-Jung Singularities

If  $\bar{P}$  is a singular point of  $\bar{Z}$ , then  $P = (\pi_r \circ n)(\bar{P})$  is a double point of  $E_Z^+$ . In particular, there are finitely many isolated singular points in  $\bar{Z}$ . The singularities of  $\bar{Z}$  are Hirzebruch-Jung singularities. More precisely, let  $\bar{P}_i, 1 \leq i \leq n$ , be the finite set of the singular points of  $\bar{Z}$  and let  $\bar{U}_i$  be a sufficiently small neighborhood of  $\bar{P}_i$  in  $\bar{Z}$ . We have the following result (see [9] for a proof, see also [11, 22] and [13]) and, to be self-contained, we give a proof in Sect. 2.5.3 (Proposition 2.5.7):

**Theorem** *The exceptional divisor of the minimal resolution of  $(\bar{U}_i, \bar{P}_i)$  is a normal crossings divisor with smooth rational irreducible components and its dual graph is a bamboo (it means is homeomorphic to a segment).*

Let  $\bar{\rho}_i : (U'_i, E_{U'_i}) \rightarrow (\bar{U}_i, \bar{P}_i)$  be the minimal resolution of the singularity  $(\bar{U}_i, \bar{P}_i)$ . From [13] (corollary 1.4.3), see also [22] (paragraph 4), the spaces  $U'_i$  and the maps  $\bar{\rho}_i$  can be glued, for  $1 \leq i \leq n$ , in a suitable way to give a smooth space  $Y$  and a map  $\bar{\rho} : (Y, E_Y) \rightarrow (\bar{Z}, E_{\bar{Z}})$  satisfying the following property (Fig. 2.4).

**Fig. 2.4** The commutative diagram of the morphisms involved in the Hizebruch-Jung resolution  $\rho$  of  $\pi$ . By construction  $\rho = r_\pi \circ n \circ \bar{\rho}$



**Theorem 2.4.6** *Let us denote  $\rho = r_\pi \circ n \circ \bar{\rho}$ . Then,  $\rho : (Y, E_Y) \rightarrow (X, p)$  is a good resolution of the singularity  $(X, p)$  in which the total transform  $\rho^{-1}(\Gamma) = E_Y^+$  of the singular locus  $\Gamma$  of  $\pi$  is a normal crossings divisor.*

**Proof** The surface  $Y$  is smooth because  $\bar{\rho}$  is a resolution of all the singular points of  $\bar{Z}$ . As proved in Proposition 2.4.2 and Lemma 2.4.4, the only possible singular points of the irreducible components of  $E_{\bar{Z}}$  are the double points  $\bar{P}_i$  of  $E_{\bar{Z}}^+$ . These points are resolved by the resolutions  $\bar{\rho}_i$ . So, the strict transform, by  $\bar{\rho}$ , of the irreducible components of  $E_{\bar{Z}}$  are smooth.

The irreducible components of  $E_Y$  created during the resolution  $\bar{\rho}$  are smooth rational curves. So, all the irreducible components of  $E_Y$  are smooth complex curves.

By Lemma 2.4.4, the only possible points of  $E_{\bar{Z}}^+$  around which  $E_{\bar{Z}}^+$  is not smooth or a normal crossing divisor are the Hirzebruch-Jung singularities  $\bar{P}_i, 1 \leq i \leq n$ .

But as the  $\bar{\rho}_i$ ,  $1 \leq i \leq n$ , are good resolutions of these singularities,  $((\bar{\rho}_i)^{-1}(\bar{U}_i)) \cap (E_Y^+)$ ,  $1 \leq i \leq n$ , are normal crossing divisors.  $\square$

As  $\rho$  is the composition of three well defined morphisms which depend only on the choice of the morphism  $\pi$  and as we follow the Hirzebruch-Jung method, we have the following definition.

**Definition 2.4.7** The morphism  $\rho : (Y, E_Y) \rightarrow (X, 0)$  is the **Hirzebruch-Jung resolution** associated to  $\pi$ .

**Corollary 2.4.8** *The dual graph  $G(Y)$  of  $E_Y$  is obtained from the dual graph  $G(\bar{Z})$  of  $E_{\bar{Z}}$  by replacing the edges, which represent the Hirzebruch-Jung singular points of  $\bar{Z}$ , by a bamboo.*

Let  $\rho'' : (Y'', E_{Y''}) \rightarrow (X, 0)$  be a good resolution of  $(X, 0)$ . Let  $E$  be an irreducible component of the exceptional divisor  $E_{Y''}$  and let  $E^0$  be the set of the smooth points of  $E$  in  $E_{Y''}$ . Let us recall that  $E$  is a **rupture component** of  $E_{Y''}$  if the Euler characteristic of  $E^0$  is strictly negative. Now we can use the following result (for a proof see [11, Theorem 5.9, p.87]):

**Theorem** *Let  $\rho' : (Y', E_{Y'}) \rightarrow (X, 0)$  be the minimal resolution of  $(X, 0)$ . There exists  $\beta : (Y, E_Y) \rightarrow (Y', E_{Y'})$  such that  $\rho' \circ \beta = \rho$  and the map  $\beta$  consists in a composition of blowing-downs of irreducible components, of the successively obtained exceptional divisors, of self-intersection  $-1$  and genus 0, which are not rupture components.*

## 2.5 Appendix: The Topology of a Quasi-ordinary Singularity of Surface

### 2.5.1 Lens Spaces

One can find details on lens spaces and surface singularities in [24]. See also [21].

**Definition 2.5.1** A **lens space**  $L$  is an oriented compact three-dimensional topological manifold which can be obtained as the union of two solid tori  $T_1 \cup T_2$  glued along their boundaries. The torus  $\tau = T_1 \cap T_2$  is the Heegaard torus of the given decomposition  $L = T_1 \cup T_2$ .

*Remark 2.5.2* If  $L$  is a lens space, there exists an embedded torus  $\tau$  in  $L$  such that  $L \setminus \tau$  has two connected components which are open solid tori  $\tilde{T}_i$ ,  $i = 1, 2$ . Let  $T_i$ ,  $i = 1, 2$ , be the two compact solid tori closure of  $\tilde{T}_i$  in  $L$ . Of course  $\tau = T_1 \cap T_2$ . In [3], F. Bonahon shows that a lens space has a unique, up to isotopy, Heegaard torus. This implies that the decomposition  $L = T_1 \cup T_2$  is unique up to isotopy, it is “the” Heegaard decomposition of  $L$ .

A lens space  $L$  with a decomposition of Heegaard torus  $\tau$  can be described as follows. The solid tori  $T_i$ ,  $i = 1, 2$ , are oriented by the orientation induced by  $L$ .

Let  $\tau_i$  be the torus  $\tau$  with the orientation induced by  $T_i$ . By definition a meridian  $m_i$  of  $T_i$  is a closed oriented circle on  $\tau_i$  which is the boundary of a disc  $D_i$  embedded in  $T_i$ . A meridian of a solid torus is well defined up to isotopy. A parallel  $l_i$  of  $T_i$  is a closed oriented curve on  $\tau_i$  such that the intersection  $m_i \cap l_i = +1$  (we also write  $m_i$  (resp.  $l_i$ ) for the homology class of  $m_i$  (resp.  $l_i$ ) in the first homology group of  $\tau_i$ ). The homology classes of two parallels differ by a multiple of the meridian.

We choose on  $\tau_2$ , an oriented meridian  $m_2$  and a parallel  $l_2$  of the solid torus  $T_2$ . As in [24, p. 23], we write a meridian  $m_1$  of  $T_1$  as  $m_1 = nl_2 - qm_2$  with  $n \in \mathbb{N}$  and  $q \in \mathbb{Z}$  where  $q$  is well defined modulo  $n$ . As  $m_1$  is a closed curve on  $\tau$ ,  $q$  is prime to  $n$ . Moreover, the class of  $q$  modulo  $n$  depends on the choice of  $l_2$ . So, we can chose  $l_2$  such that  $0 \leq q < n$ .

Let  $\tau$  be a boundary component of an oriented compact three-dimensional manifold  $M$ . Let  $T$  be a solid torus given with a meridian  $m$  on its boundary. If  $\gamma$  is a circle embedded in  $\tau$  there is a unique way to glue  $T$  to  $M$  by an orientation reversing homeomorphism between the boundary of  $T$  and  $\tau$  which send  $m$  to  $\gamma$ . The result of such a gluing is unique up to orientation preserving homeomorphism and it is called the **Dehn filling** of  $M$  associated to  $\gamma$ .

**Definition 2.5.3** By a Dehn filling argument, it is sufficient to know the homology class  $m_1 = nl_2 - qm_2$  to reconstruct  $L$ . By definition **the lens space**  $L(n, q)$  is the lens space constructed with  $m_1 = nl_2 - qm_2$ . We have two special cases:

1.  $m_1 = m_2$ , if and only if  $L$  is homeomorphic to  $S^1 \times S^2$ ,
2.  $m_1 = l_2$  if and only if  $L$  is homeomorphic to  $S^3$ .

**Lemma 2.5.4** *Let  $\phi : (W, p) \rightarrow (\mathbb{C}^2, 0)$  be a finite morphism defined on an irreducible surface germ  $(W, p)$ . If the discriminant  $\Delta$  of  $\phi$  is included in a normal crossing germ of curve, then the link  $L_W$  of  $(W, p)$  is a lens space. The link  $K_\Gamma$  of the singular locus  $\Gamma$  of  $\phi$ , has at most two connected components. Moreover,  $K_\Gamma$  is a sub-link of the two cores of the two solid tori of a Heegaard decomposition of  $L_W$  as a union of two solid tori.*

**Proof** After performing a possible analytic isomorphism of  $(\mathbb{C}^2, 0)$ ,  $\Delta$  is, by hypothesis, included in the two axes i.e.  $\Delta \subset \{xy = 0\}$ .

Let  $D_\alpha \times D_\beta \in \mathbb{C}^2$  be a polydisc at the origin in  $\mathbb{C}^2$  where  $0 < \alpha < \beta < \epsilon$  are chosen sufficiently small as in Sect. 2.3.1. Then, the restriction  $\phi_L$  of  $\phi$  on the link  $L_W$  is a ramified covering of the sphere (with corners)

$$\mathcal{S} = (S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta)$$

with a set of ramification values included in the Hopf link  $K_{xy} = (S_\alpha \times \{0\}) \cup (\{0\} \times S_\beta)$ .

Let  $N(K_{xy})$  be a small compact tubular neighborhood of  $K_{xy}$  in  $\mathcal{S}$ . Then,  $N(K_{xy})$  is the union of two disjoint solid tori  $T_y = (S_\alpha \times D_{\beta'})$ ,  $0 < \beta' < \beta$ , and  $T_x = (D_{\alpha'} \times S_\beta)$ ,  $0 < \alpha' < \alpha$ . Then,  $\phi_L^{-1}(T_x)$  (resp.  $\phi_L^{-1}(T_y)$ ) is a union of

$r_x > 0$  (resp.  $r_y > 0$ ) disjoint solid tori because the set of the ramification values of  $\phi_L$  is included in the core of  $T_x$  (resp.  $T_y$ ).

Let  $V$  be the closure, in  $\mathcal{S}$ , of  $\mathcal{S} \setminus N(K_{xy})$ . But,  $V$  is a thickened torus which does not meet the ramification values of  $\phi_L$ . Then,  $\phi_L^{-1}(V)$  is a union of  $r > 0$  disjoint thickened tori. But,  $L_W$  is connected because  $(W, p)$  is irreducible by hypothesis. The only possibility to obtain a connected space by gluing  $\phi_L^{-1}(T_x)$ ,  $\phi_L^{-1}(T_y)$  and  $\phi_L^{-1}(V)$  along their boundaries is  $1 = r = r_x = r_y$ .

So,  $\phi_L^{-1}(T_x)$  (resp.  $\phi_L^{-1}(T_y)$ ) which is in  $L_W$  a deformation retract of  $T_2 = \phi_L^{-1}(S_\alpha \times D_\beta)$  (resp.  $T_1 = \phi_L^{-1}(D_\alpha \times S_\beta)$ ) is a single solid torus. Then  $\tau = \phi_L^{-1}(S_\alpha \times S_\beta)$  is a single torus. We have proved that  $L_W$  is the lens space obtained as the union of the two solid tori  $T_1$  and  $T_2$  along their common boundary  $\tau = \phi_L^{-1}(S_\alpha \times S_\beta)$ . So,  $T_1 \cup T_2$  is a Heegaard decomposition of  $L_W$  as a union of two solid tori.

By hypothesis  $K_\Delta \subset (S_\alpha \times \{0\}) \cup (\{0\} \times S_\beta)$ . Then,  $K_\Gamma$  is included in the disjoint union of  $\phi_L^{-1}(S_\alpha \times \{0\})$  and  $\phi_L^{-1}(\{0\} \times S_\beta)$  which are the cores of  $T_1$  and  $T_2$ . So,  $K_\Gamma$  has at most two connected components.  $\square$

*Example 2.5.5* Let  $n$  and  $q$  be two relatively prime strictly positive integers. We suppose that  $q < n$ . Let  $X = \{(x, y, z) \in \mathbb{C}^3 \text{ s.t. } z^n - xy^q = 0\}$ . The link  $L_X$  of  $(X, 0)$  is the lens space  $L(n, n - q)$ .

Indeed, let  $\phi : (X, 0) \rightarrow (\mathbb{C}^2, 0)$  be the projection  $(x, y, z) \mapsto (x, y)$  restricted to  $X$ . The discriminant  $\Delta$  of  $\phi$  is equal to  $\{xy = 0\}$ . By Lemma 2.5.4,  $L_X$  is a lens space. As in the proof of Lemma 2.5.4,  $L_X = \phi^{-1}(\mathcal{S})$  where

$$\mathcal{S} = (S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta).$$

In the proof of Lemma 2.5.4, it is shown that  $T_2 = \phi^{-1}(S_\alpha \times D_\beta)$  and  $T_1 = \phi^{-1}(D_\alpha \times S_\beta)$  are two solid tori. Let  $(a, b) \in (S_\alpha \times S_\beta)$ . As  $n$  and  $q$  are relatively prime  $m_1 = \phi^{-1}(\{a\} \times S_\beta)$  and  $m_2 = \phi^{-1}(S_\alpha \times \{b\})$  are connected. So,  $m_i$ ,  $i = 1, 2$ , is a meridian of  $T_i$ .

We choose  $c \in \mathbb{C}$  such that  $c^n = ab^q$ . Let  $l_2 = \{z = c\} \cap \phi^{-1}(S_\alpha \times S_\beta)$ . On the torus  $\tau = \phi^{-1}(S_\alpha \times S_\beta)$ , oriented as the boundary of  $T_2$ , we have  $m_2 \cap l_2 = +1$  and  $m_1 = nl_2 - (-q)m_2$ . As defined in Definition 2.5.3, we have  $L_X = L(n, -q) = L(n, n - q)$ .

## 2.5.2 Finite Morphisms with Smooth Discriminant

**Lemma 2.5.6** *Let  $\phi : (W, p) \rightarrow (\mathbb{C}^2, 0)$  be a finite morphism, of generic degree  $n$ , defined on a normal surface germ  $(W, p)$ . If the discriminant of  $\phi$  is a smooth germ of curve, then  $(X, 0)$  is analytically isomorphic to  $(\mathbb{C}^2, 0)$  and  $\phi$  is analytically isomorphic to the map from  $(\mathbb{C}^2, 0)$  to  $(\mathbb{C}^2, 0)$  defined by  $(x, y) \mapsto (x, y^n)$ .*



**Proof** After performing an analytic automorphism of  $(\mathbb{C}^2, 0)$ , we can choose coordinates such that  $\Delta = \{y = 0\}$ .

Let  $D_\alpha \times D_\beta \in \mathbb{C}^2$  be a polydisc at the origin in  $\mathbb{C}^2$  where  $0 < \alpha < \beta < \epsilon$  are chosen sufficiently small as in Sect. 2.3.1. Then, the restriction  $\phi_L$  of  $\phi$  on the link  $L_W$  is a ramified covering of the sphere (with corners)

$$S = (S_\alpha \times D_\beta) \cup (D_\alpha \times S_\beta)$$

with a set of ramification values included in the trivial link  $K_y = (S_\alpha \times \{0\})$ .

Here, we satisfy the hypotheses of Lemma 2.5.4. So,  $T_2 = \phi_L^{-1}(S_\alpha \times D_\beta)$  and  $T_1 = \phi_L^{-1}(D_\alpha \times S_\beta)$  are two solid tori with common boundary  $\tau = \phi_L^{-1}(S_\alpha \times S_\beta)$ . We take  $a \in S_\alpha$  and  $b \in S_\beta$ .

Let us consider  $\mathcal{D}_a = \phi_L^{-1}(\{a\} \times D_\beta) \subset T_2$  and  $\mathcal{D}_b = \phi_L^{-1}(D_\alpha \times \{b\}) \subset T_1$ .

Here the singular locus of  $\phi_L$  is the core of  $T_2$  and does not meet  $T_1$ .

The restriction of  $\phi_L$  to  $\phi_L^{-1}(D_\alpha \times \{b\})$  is a regular covering of a disc. Then  $\mathcal{D}_b$  is a disjoint union of  $n$  discs where  $n$  is the general degree of  $\phi_L$ . Let  $m_1$  be the oriented boundary of one of the  $n$  discs which are the connected components of  $\mathcal{D}_b$ . By definition  $m_1$  is a meridian of  $T_1$ .

The restriction of  $\phi_L$  to  $\mathcal{D}_a$  is a covering of a disc and  $(a \times 0)$  is the only ramification value. Then  $\mathcal{D}_a$  is a disjoint union of  $d$  discs where  $d < n$ . On  $\tau$ , the intersection between the circles boundaries of  $\mathcal{D}_a$  and  $\mathcal{D}_b$  is equal to  $n$  because it is given by the (positively counted)  $n$  points of  $\phi_L^{-1}(a \times b)$ . The restriction of  $\phi_L$  to  $T_1$  is a Galois covering of degree  $n$  which permutes cyclically the connected components of  $\mathcal{D}_a$ . So, on the torus  $\tau = b(T_1)$ , any of the  $d$  circles boundaries of the connected components of  $\mathcal{D}_a$  intersects any of the  $n$  circles boundaries of the connected components of  $\mathcal{D}_b$ . So computed, the intersection  $b(\mathcal{D}_a) \cap b(\mathcal{D}_b)$  is equal to  $nd$ . But,  $nd = n$  because this intersection is given by the  $n$  points of  $\phi_L^{-1}(a \times b)$ .

So,  $d = 1$  and  $\mathcal{D}_a$  has a unique connected component. The boundary of  $\mathcal{D}_a$  is a meridian  $m_2$  of  $T_2$ . As  $m_1$  is the boundary of one of the  $n$  connected components of  $\mathcal{D}_b$ ,  $m_1 \cap m_2 = +1$  and  $m_1$  can be a parallel  $l_2$  of  $T_2$ . This is the case 2) in Definition 2.5.3, so the link  $L_W$  of  $(W, p)$  is the 3-sphere  $S^3$ . As  $(W, p)$  is normal, by Mumford [19],  $(W, p)$  is a smooth surface germ i.e  $(W, p)$  is analytically isomorphic to  $(\mathbb{C}^2, 0)$ . The first part of Lemma 2.5.6 has been proved.

(\*) Moreover  $\phi_L^{-1}(S_\alpha \times \{0\}) \cup (\{0\} \times S_\beta)$  is the union of the cores of  $T_1$  and  $T_2$ . Then,  $(S_\alpha \times \{0\}) \cup (\{0\} \times S_\beta)$  is a **Hopf link in the 3-sphere**  $L_W$ .

From now on,  $\phi : (\mathbb{C}^2, 0) \rightarrow (\mathbb{C}^2, 0)$  is a finite morphism and its discriminant locus is  $\{y = 0\}$ . Let us write  $\phi = (\phi_1, \phi_2)$ . The link of the zero locus of the function germ

$$(\phi_1.\phi_2) : (\mathbb{C}^2, 0) \rightarrow (\mathbb{C}.0)$$

is the link describe above (see (\*)), i.e. it is a Hopf link. The function  $(\phi_1.\phi_2)$  reduced is analytically isomorphic to  $(x, y) \mapsto (xy)$ . But  $\phi_1$  is reduced because

its Milnor fiber is diffeomorphic to  $\mathcal{D}_a = \phi_L^{-1}(\{a\} \times D_\beta) \subset T_2$  which is a disc. So,  $\phi_1$  is isomorphic to  $x$ .

The Milnor fiber of  $\phi_2$  is diffeomorphic to the disjoint union of the  $n$  discs  $\mathcal{D}_b = \phi_L^{-1}(D_\alpha \times \{b\}) \subset T_1$ . When the Milnor fiber of a function germ  $f : (\mathbb{C}^2, 0) \rightarrow (\mathbb{C}, 0)$  has  $n$  connected components,  $n$  is the *g.c.d.* of the multiplicities of the irreducible factors of  $f$ . Here  $\phi_2 = g^n$  where  $g$  is an irreducible function germ. We already have seen that  $\phi_2$  reduced is isomorphic to  $y$ . This completes the proof that  $\phi_2$  is isomorphic to  $y^n$  and  $\phi = (\phi_1, \phi_2)$  is isomorphic to  $(x, y^n)$ .  $\square$

### 2.5.3 The Hirzebruch-Jung Singularities

**Proposition 2.5.7** *Let  $(W, p)$  be a normal surface germ such that there exists a finite morphism  $\phi : (W, p) \rightarrow (\mathbb{C}^2, 0)$  which has a normal-crossing discriminant  $(\Delta, 0)$ . Then,  $(W, p)$  has a minimal good resolution  $\rho : (\tilde{W}, E_{\tilde{W}}) \rightarrow (W, p)$  such that:*

- I) *the exceptional divisor  $E_{\tilde{W}}$  of  $\rho$  has smooth rational irreducible components and its dual graph is a bamboo. We orient the bamboo from the vertex (1) to the vertex (k). The vertices are indexed by this orientation,*
- II) *the strict transform of  $\phi^{-1}(\Delta)$  has two smooth irreducible components which meet  $E_{\tilde{W}}$  transversally, one of them at a smooth point of  $E_1$  and the other component at a smooth point of  $E_k$ .*

**Proof** After performing an analytic isomorphism of  $(\mathbb{C}^2, 0)$ , we can choose coordinates such that  $\Delta = \{xy = 0\}$ . We have to prove that there exists a minimal resolution  $\rho$  of  $(W, p)$  such that the shape of the dual graph of the total transform of  $\Delta$  in  $\tilde{W}$  looks like the graph drawn in Fig. 2.5 where all vertices represent smooth rational curves.

By Lemma 2.5.4, the link  $L_W$  of  $(W, p)$  is a lens space. If  $L_W$  is homeomorphic to  $S^3$ ,  $(W, p)$  is smooth by Mumford [19], and there is nothing to prove. Otherwise, let  $n$  and  $q$  be the two positive integers, prime to each other, with  $0 < q < n$ , such that  $L_W$  is the lens space  $L(n, n - q)$ . By Brieskorn [4] (see also Sect. 2.5 in [24]), the normal quasi-ordinary complex surface germs are taut. It means that any normal quasi-ordinary complex surface germ  $(W', p')$  which has a link orientation preserving homeomorphic to  $L(n, n - q)$  is analytically isomorphic to  $(W, p)$ . In



**Fig. 2.5** The shape of the dual graph of  $G(\tilde{W})$  to which we add an arrow to the vertex (1) to represent the strict transform of  $\{x = 0\}$  and another arrow to the vertex (k) to represent the strict transform of  $\{y = 0\}$

particular,  $(W, p)$  and  $(W', p')$  have isomorphic minimal good resolutions. Now, it is sufficient to describe the good minimal resolution of a given normal quasi-ordinary surface germ which has a link homeomorphic to  $L(n, n - q)$ . As explained below, we can use  $(\bar{X}, \bar{p})$  where  $\nu : (\bar{X}, \bar{p}) \rightarrow (X, 0)$  is the normalization of  $X = \{(x, y, z) \in \mathbb{C}^3 \text{ s.t. } z^n - xy^q = 0\}$ .  $\square$

**Lemma 2.5.8** *Let  $n$  and  $q$  be two relatively prime positive integers. We suppose that  $0 < q < n$ . Let  $X = \{(x, y, z) \in \mathbb{C}^3 \text{ s.t. } z^n - xy^q = 0\}$ . There exists a good resolution  $\rho_Y : (Y, E_Y) \rightarrow (X, 0)$  of  $(X, 0)$  such that the dual graph  $G(Y)$  of  $E_Y$  is a bamboo and the dual graph of the total transform of  $\{xy = 0\}$  has the shape of the graph given in Fig. 2.5.*

Lemma 2.5.8 implies Proposition 2.5.7. Indeed:

- 1) In Example 2.5.5, we show that the link  $L_X$  of  $(X, 0)$  is the lens space  $L(n, n - q)$ . Let  $\nu : (\bar{X}, \bar{p}) \rightarrow (X, 0)$  be the normalization of  $(X, 0)$ . The singular locus of  $(X, 0)$  is the line  $\Sigma = \{(x, 0, 0), x \in \mathbb{C}\}$ . For  $a \in \mathbb{C}$ , the hyperplane section of  $X$  at  $(a, 0, 0)$  is the plane curve germ  $\{z^n - ay^q = 0\}$ . As  $n$  and  $q$  are prime to each other  $\{z^n - ay^q = 0\}$  is irreducible. Then, by Proposition 2.3.12,  $\nu$  is a homeomorphism. So, the link  $L_{\bar{X}}$  of  $(\bar{X}, \bar{p})$  is the lens space  $L(n, n - q)$ .
- 2) Let  $\rho_Y : (Y, E_Y) \rightarrow (X, 0)$  be a good resolution of  $(X, 0)$  given as in Lemma 2.5.8, in particular the dual graph  $G(Y)$  of  $E_Y$  is a bamboo. As any good resolution factorizes through the normalization  $\nu : (\bar{X}, \bar{p}) \rightarrow (X, 0)$  (see [11, Thm. 3.14]), there exists a unique morphism  $\bar{\rho}_Y : (Y, E_Y) \rightarrow (\bar{X}, \bar{p})$  which is a good resolution of  $(\bar{X}, \bar{p})$ . Let  $\rho' : (Y', E_{Y'}) \rightarrow (\bar{X}, \bar{p})$  be the minimal good resolution of  $(\bar{X}, \bar{p})$ . Then, (for example see [11, Thm 5.9] or [1, p. 86]), there exists a morphism  $\beta : (Y, E_Y) \rightarrow (Y', E_{Y'})$  which is a sequence of blowing-downs of irreducible components of genus zero and self-intersection  $-1$ . By Lemma 2.5.8, the dual graph  $G(Y)$  is a bamboo and the dual graph of the total transform of  $\{xy = 0\}$  has the shape of the graph given in Fig. 2.5. So, the morphism of graph  $\beta_* : G(Y) \rightarrow G(Y')$  induced by  $\beta$ , is only a contraction of  $G(Y)$  in a shorter bamboo.

**Proof (of Lemma 2.5.8)** In  $X$ , we consider the lines  $l_x = \{(x, 0, 0), x \in \mathbb{C}\}$  and  $l_y = \{(0, y, 0), y \in \mathbb{C}\}$  and the singular locus of  $(X, 0)$  is equal to  $l_x$ . We prove Lemma 2.5.8 by a finite induction on  $q \geq 1$ .

- 1) If  $q = 1$ ,  $X = \{(x, y, z) \in \mathbb{C}^3 \text{ s.t. } z^n - xy = 0\}$  is the well-known normal singularity  $A_{n-1}$ . The minimal resolution is a bamboo of  $(n - 1)$  irreducible components of genus zero. Indeed, to construct  $\rho_Y : (Y, E_Y) \rightarrow (X, 0)$ , it is sufficient to perform a sequence of blowing-ups of points ( we blow up  $n/2$  points when  $n$  is even and  $(n - 1)/2$  points when  $n$  is odd). We begin to blow up the origin, this separates the strict transform of the lines  $l_x$  and  $l_y$ . The exceptional divisor, in the strict transform of  $(X, 0)$  by the blowing-up of the origin in  $\mathbb{C}^3$ , has two irreducible rational components when  $n > 2$  and only one irreducible rational component when  $n = 2$ . If  $n > 2$ , we continue by the

blowing-up of the intersection point of the two irreducible components of the exceptional divisor.

2) If  $1 < q < n$ , we state the following points I and II which describe how we proceed, we justify them just below.

- I) As  $n$  and  $q$  are relatively prime, the remainder  $r$  of the division  $n = mq + r$  is prime to  $q$  and  $1 < r < q$ . Let  $R : Z \rightarrow \mathbb{C}^3$  be a sequence of  $m$  blowing-ups of the line  $l_x$  in  $\mathbb{C}^3$  and of its strict transforms in a smooth 3-dimensional complex space. Let  $Y_1$  be the strict transform of  $X$  by  $R$ . Let  $\rho : (Y_1, E) \rightarrow (X, 0)$  be  $R$  restricted to  $Y_1$  and let  $E = \rho^{-1}(0) \subset Y_1$ . The total transform of  $l_x \cup l_y$  by  $\rho$ , which is equal to  $E^+ = \rho^{-1}(l_x \cup l_y)$ , has a dual graph which is a bamboo as in Fig. 2.5 with  $k = m$  vertices. Let  $l_x^1$  be the strict transform of  $l_x$  by  $\rho$ . Then,  $l_x^1$  only meets the irreducible component of  $E$  obtained by the last blowing-up of a line. The equation of  $Y_1$  along  $l_x^1$  is  $\{z^r - xy^q = 0\}$ .
- II) If  $r = 1$ ,  $Y_1$  is smooth and Lemma 2.5.8 is proved i.e.  $\rho_Y = \rho$ . If  $r > 2$ , after the division  $q = m'r + r'$  with remainder  $r'$ , we have  $r' < r$ . As  $r$  is prime to  $q$ ,  $r'$  is prime to  $r$  and  $0 < r' < r$ . Moreover, we have  $r' < q$  because  $r < q$ . Let  $R' : Z' \rightarrow Z$  be a sequence of  $m'$  blowing-ups of the line  $l_x^1$  and of its strict transforms. Let  $Y_2$  be the strict transform of  $Y_1$  by  $R'$  and let  $\rho' : (Y_2, E') \rightarrow (Y_1, E)$  be  $R'$  restricted to  $Y_2$ . As  $r < q$ ,  $\rho'$  is bijective, the dual graph of  $\rho'^{-1}(E^+)$  is equal to the dual graph of  $E^+$ , which is a bamboo as in Fig. 2.5 with  $k = m$  vertices. Moreover, the equation of  $Y_2$ , along the strict transform of  $l_x^1$  by  $\rho'$ , is  $\{z^r - xy^{r'} = 0\}$ . As  $1 \leq r' < r$  with relatively prime  $r$  and  $r'$ , Lemma 2.5.8 is proved by induction.

Let us justify the above statements I) and II) by an explicit computation of the blowing-up of  $l_x$ . We consider  $Z_1 = \{(x, y, z), (v : w)\} \in \mathbb{C}^3 \times \mathbb{C}P^1, s. t. wy - vz = 0\}$ . By definition, the blowing-up of  $l_x$  in  $\mathbb{C}^3$ ,  $R_1 : Z_1 \rightarrow \mathbb{C}^3$ , is the projection on  $\mathbb{C}^3$  restricted to  $Z_1$ .

As in statement I), we consider  $X = \{(x, y, z) \in \mathbb{C}^3 \text{ s.t. } z^n - xy^q = 0\}$  with  $q < n$ . We have to describe the strict transform  $Y_{11}$  of  $(X, 0)$  by  $R_1$ , the restriction  $\rho_1 : (Y_{11}, E) \rightarrow (X, 0)$  of  $R_1$  to  $Y_{11}$ ,  $E_1 = \rho_1^{-1}(0)$  and  $E_1^+ = \rho_1^{-1}(l_x \cup l_y)$ .

- I) In the chart  $v = 1$ , we have  $(Z_1 \cap \{v = 1\}) = \{(x, y, wy), (1 : w)\} \in \mathbb{C}^3 \times \mathbb{C}P^1$ . The equation of  $R_1^{-1}(0) \cap \{v = 1\}$  and of  $E_1 \cap \{v = 1\}$  is  $y = 0$ . The equation of  $(R_1^{-1}(X) \cap \{v = 1\}) = (Y_{11} \cap \{v = 1\})$  is  $\{w^n y^{n-q} - x = 0\}$ . So, all the points of  $(\{v = 1\} \cap Y_{11})$  are non singular and  $(\{v = 1\} \cap \{x \neq 0\} \cap Y_{11})$  doesn't meet  $E_1$ .

The strict transform of  $l_x$  is not in  $Y_{11} \cap \{v = 1\}$ . If  $x = 0$ , we have:

$$E_1 \cap \{v = 1\} = \{((0, 0, 0), (1 : w)), w \in \mathbb{C}\} \subset Y_{11}.$$

In  $Y_{11}$ , the strict transform  $\tilde{l}_y = \{((0, y, 0), (1 : 0)), y \in \mathbb{C}\}$  of  $l_y$  meets  $E_1$  at  $((0, 0, 0), (1 : 0))$ .

- II) In the chart  $w = 1$ , we have  $(Z_1 \cap \{w = 1\}) = \{(x, vz, z), (v : 1)\} \in \mathbb{C}^3 \times \mathbb{C}P^1$ . The equation of  $R_1^{-1}(0) \cap \{w = 1\}$  and of  $E_1 \cap \{w = 1\}$  is  $z = 0$ . The equation of  $(Y_{11} \cap \{w = 1\})$  is  $\{z^{n-q} - xv^q = 0\}$ . So, the strict transform of  $l_x$  is equal to

$$\tilde{l}_x = (\{w = 1\} \cap Y_{11} \cap R_1^{-1}(l_x)) = \{(x, 0, 0), (0 : 1)\} \in \mathbb{C}^3 \times \mathbb{C}P^1.$$

The strict transform  $\tilde{l}_x$  meets  $E_1$  at the point  $p_1 = E_1 \cap \tilde{l}_x = ((0, 0, 0), (0 : 1))$ . Then,  $E_1 = ((0, 0, 0) \times \mathbb{C}P^1)$  is included in  $Y_{11}$ , moreover,  $\tilde{l}_x$  and  $\tilde{l}_y$  meet  $E_1$  at two distinct points. The total transform  $E_1^+ = \rho_1^{-1}(l_x \cup l_y)$  consists of one irreducible component  $E_1$  and two germs of curves which meet  $E_1$  in two distinct points. Moreover the equation of  $Y_{11}$  along its singular locus  $\tilde{l}_x$  is  $\{z^{n-q} - xy^q = 0\}$ . By induction we obtain, as stated in I), the germ  $(Y_1, 0)$  defined by  $\{z^r - xy^q = 0\}$  with  $1 \leq r = n - mq < q$ .

To justify statement II), we again consider the blowing-up of  $l_x$ ,  $R_1 : Z_1 \rightarrow \mathbb{C}^3$ . Let  $Y_{12}$  be the strict transform of  $Y_1$  by  $R_1$  and let  $\rho'_1 : Y_{12} \rightarrow Y_1$  be  $R_1$  restricted to  $Y_{12}$ . Then,  $Y_{12}$  has the equation  $\{w^r - xy^{q-r} = 0\}$  in the chart  $v = 1$ . For all  $x \in \mathbb{C}$ , the intersection of  $Y_{12}$  with  $y = 0$  is the only point  $((x, 0, 0), (1 : 0))$ . In the chart  $w = 1$ ,  $Y_{12}$  has the equation  $\{1 - xv^q z^{q-r} = 0\}$  and has empty intersection with  $z = 0$ . This proves that  $\rho'_1$  is bijective and by induction the map  $\rho' : (Y_2, E') \rightarrow (Y_1, E)$  describe above in II) is also bijective.  $\square$

*Examples*

- 1) Let us consider  $X = \{(x, y, z) \in \mathbb{C}^3 \text{ s.t. } z^n - xy^{n-1} = 0\}$ . The link of  $(X, 0)$  is the lens space  $L(n, 1)$ . Let  $R_1 : Z_1 \rightarrow \mathbb{C}^3$  be the blowing-up of the line  $l_x$  in  $\mathbb{C}^3$ . Let  $Y$  be the strict transform of  $X$  by  $R_1$ . The equation of  $Y$  along the strict transform of  $l_x$  is  $\{z - xy^{n-1} = 0\}$ . So,  $Y$  is non singular and we have obtained a resolution of  $X$ . Here the dual graph of the total transform of  $l_x \cup l_y$  is as in Fig. 2.5 with only one vertex.
- 2) Let us consider  $X = \{(x, y, z) \in \mathbb{C}^3 \text{ s.t. } z^n - xy^{n-2} = 0\}$  with  $n$  odd and  $3 < n$ . The link of  $(X, 0)$  is the lens space  $L(n, 2)$ . Let  $R_1 : Z_1 \rightarrow \mathbb{C}^3$  be the blowing-up of the line  $l_x$  in  $\mathbb{C}^3$ . The equation of the strict transform  $Y_1$ , of  $X$  by  $R_1$ , along the strict transform of  $l_x$  is  $\{z^2 - xy^{n-2} = 0\}$ . Let  $\rho : (Y_1, E) \rightarrow (X, 0)$  be  $R_1$  restricted to  $Y_1$ . We write  $n = 2m + 3$ . As proved above, after  $m$  blowing-ups of lines, we obtain a surface  $Y_2$  and a bijective morphism  $\rho' : (Y_2, E') \rightarrow (Y_1, E)$  such that the equation of  $Y_2$  along the strict transform of  $l_x$  is  $\{z^2 - xy = 0\}$ . The exceptional divisor  $E$  of  $\rho$  (resp.  $E'$  of  $(\rho \circ \rho')$ ) is an irreducible smooth rational curve. The blowing-up  $\rho''$ , of the intersection point between  $E'$  and the strict transform of  $l_x$ , is a resolution of  $Y_2$  and the exceptional divisor of  $\rho''$  is a smooth rational curve. Then,  $\rho \circ \rho' \circ \rho''$  is a resolution of  $X = \{(x, y, z) \in \mathbb{C}^3 \text{ s.t. } z^n - xy^{n-2} = 0\}$ , the dual graph of its exceptional divisor is a bamboo with two vertices.

### 2.6 An Example of Hirzebruch-Jung’s Resolution

We give the Hirzebruch-Jung resolution of the germ of surface in  $\mathbb{C}^3$  which satisfies the following equation:

$$z^2 = (x - y + y^3)(x - y + y^2)(y^{34} - (x - y)^{13}).$$

where  $\pi : (X, 0) \rightarrow (\mathbb{C}^2, 0)$  is the projection on the  $(x, y)$ -plane. It is a generic projection. In [15] this example is also explored when  $\pi$  is replaced by a non generic projection.

The discriminant locus of  $\pi = (f, g)$  is the curve  $\Delta$  which has three components with Puiseux expansions given by :

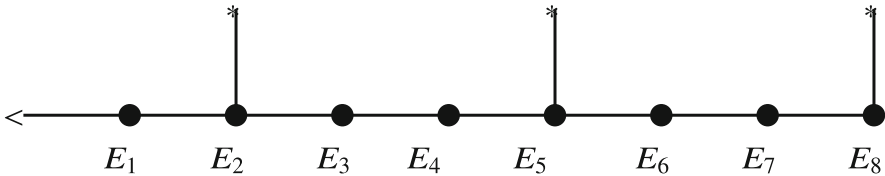
$$\begin{aligned} x &= y - y^2 \\ x &= y - y^3 \\ x &= y + y^{34/13} \end{aligned}$$

Notice that the three components of  $\Delta$  have 1 as first Puiseux exponent and respectively 2, 3, 34/13 as second Puiseux exponent.

The coordinate axes are transverse to the discriminant locus of  $\pi$ . The dual graph  $G(Z)$  is in Fig. 2.6.

The dual graph  $G(\bar{Z})$  of  $E_{\bar{Z}}$  admits a cycle created by the normalization. The irreducible component  $E'_9$  of  $E_Y$  is obtained by the resolution  $\bar{\rho}$ . The irreducible components of the exceptional divisor associated to the vertices of  $G(\bar{Z})$  and  $G(Y)$  have genus equal to zero (Fig. 2.7).

The minimal good resolution  $\rho$  is obtained by blowing down  $E'_6$ . Its dual graph is in Fig. 2.8.



**Fig. 2.6** The dual graph of the minimal resolution of  $\Delta$ . An irreducible component of the strict transform of  $\Delta$  is represented by an edge with a star. An edge ended by an arrow represents the strict transform of  $\{x = 0\}$

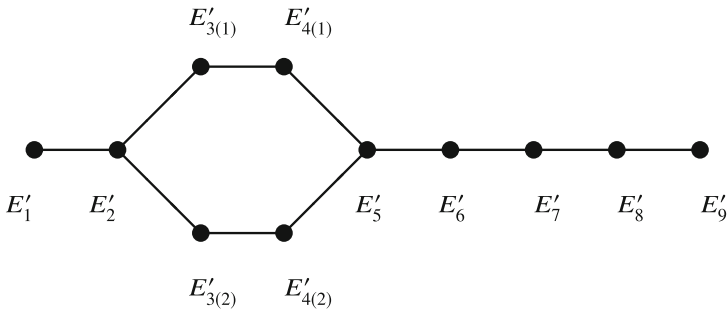


Fig. 2.7 The dual graph  $G(Y)$  of the Hirzebruch-Jung resolution associated to  $\pi$

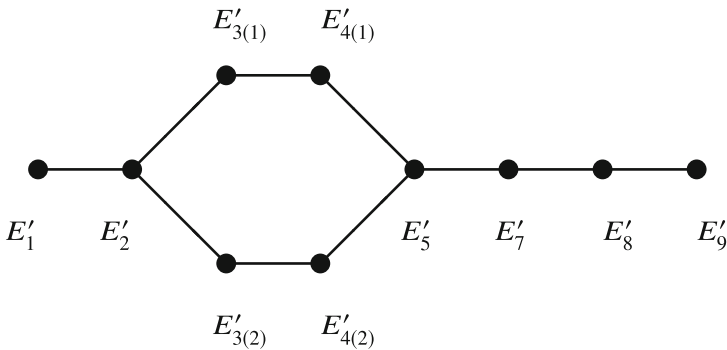


Fig. 2.8 The dual graph  $G(Y')$  of the minimal resolution of  $(X, 0)$

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