Chapter 22 Immune Therapies for Metastatic Kidney Cancer



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Background

One of the earliest reports demonstrating the potential application of immunomodulation for tumor regression was when administration of interleukin-2 (IL-2) led to a reduction of tumor burden in a patient with melanoma in 1984 [1]. This has since led to significant interest in the field of immunology and its role in managing various malignancies. The earliest studies evaluating the efficacy of immune system modulation in cancer demonstrated responses in advanced melanoma, lung cancer, colorectal cancer, bladder cancer, and renal cell carcinoma (RCC). The specific modulators that have been studied and used for therapy in advanced RCC include drugs involved in the pathways of IL-2, interferon alfa, cytotoxic T-lymphocyte antigen-4 (CTLA-4), and programmed cell death protein-1 (PD-1). This chapter will discuss the clinical use of agents that modulate the above pathways.

Interleukin-2

Interleukin-2 is a cytokine created by antigen-stimulated CD4 cells, CD8 cells, natural killers cells, and activated dendritic cells during the immune response. In early in vitro studies, this cytokine was found to be a potent stimulator of the immune system, facilitating and inducing various components of the immune

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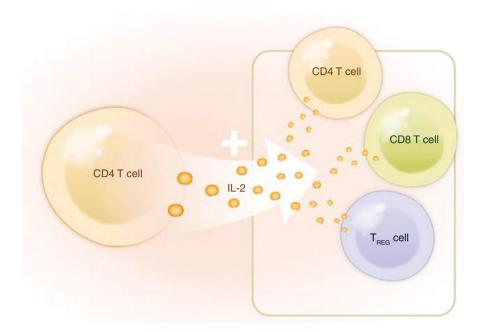


Fig. 22.1 Interleukin-2 release from CD4 cell permitting activation of various T cells

system (Fig. 22.1) [2]. Specifically, studies in mice found that administration of IL-2 permits the induction of T-helper cells, cytotoxic T cells, and antibody production [3].

One the earliest studies in humans that evaluated the effect of IL-2 on cancer was published by Lotze et al. This study involved ten patients with melanoma, colon cancer, and ovarian cancer. Patients were administered intravenously or intraperitoneally with high-dose IL-2 (30,000 U/kg) three times a day. Half of the melanoma patients exhibited an objective response that was sustained up to 6 months after conclusion of therapy. This study discussed that at the time of preparation of the manuscript, one patient with metastatic renal cell carcinoma (RCC) with pulmonary metastasis demonstrated a complete response with IL-2 [4]. One report by Rosenberg et al. in 1989 described the use of IL-2 in 652 cancer patients. IL-2 was administered alone or in conjunction with various adjunctive immunomodulators, cytokines, monoclonal antibodies, or chemotherapeutic agents [5]. The report revealed that objective regression was appreciated in 20–35% of patients and was durable. As a result of the encouraging potential effectiveness of this therapy, numerous trials at that time were performed [4, 6–9]. Metastatic RCC was one of the cancers that was found to be favorably responsive to this treatment.

A study in 1994 that enrolled 283 consecutive patients with both metastatic melanoma and RCC evaluated its efficacy in oncological outcomes. Seven percent of the RCC patients experienced complete regression, and 13% experienced partial regression [10]. At a 4-year update, the study reported a 19% overall response rate and 9% complete response rate in the metastatic RCC patients. As result of the encouraging data, the US Food and Drug Administration (FDA) approved the use of high-dose IL-2 for patients with metastatic renal cell carcinoma. Further studies confirmed both the efficacy and durability of this treatment. One study that reviewed seven phase 2 clinical trials involved 255 patients with metastatic renal cell carcinoma and reported objective response rates of 15% of patients, with 7% complete responses and 8% partial responses. The responses were durable in many patients, as some experienced complete and partial responses for up to 80 and 131 months, respectively [11]. Although early studies suggested that administration of lymphokine-activated killer (LAK) cells with IL-2 facilitated tumor regression, this was found to be an ineffective adjunct to the IL-2 regimens in patients with metastatic RCC [6].

IL-2 was one of the first-line therapies for metastatic RCC for years but was not without its risks. Its use had been associated with significant toxicity and costs and its limitation to only be used at specialized centers. The toxicity of IL-2 was recognized in early studies, as Margolin et al. reported on toxicities in 93 patients who received high-dose IL-2 [12]. The most frequent toxicities observed were a capillary leak syndrome, which resulted in significant fluid shifts, hypotension, and vasopressor support. Nearly all patients experienced hepatic and kidney dysfunction. These adverse effects were found to be highly dose dependent and reversible after stopping treatment [7].

In an attempt to reduce the incidence and severity of adverse events, various therapy modifications were attempted. Reduced doses of IL-2 were studied in comparison to the standard high-dose regimen and were found to be less clinically active than the higher dose [13]. High-dose IL-2 was also compared to a combination of subcutaneous IL-2 with interferon in metastatic RCC, and the high-dose IL-2 was superior in regards to response rate. This study also suggested that patients with liver or bone metastasis may specially benefit from the high-dose regimen [14]. It was maintained as one of the first-line treatments for patients with metastatic RCC until recent years, when some of the less toxic, more efficacious therapies were described. Some of these therapies will be discussed later in this chapter.

Dose

The therapeutic dose and regimen of IL-2 varies in the literature. It has been found to be effective when used via an intravenous or subcutaneous route. The intravenous cycle typically consists of administration of a range of doses $(7 \times 10^4 \text{ to } 18 \times 10^6 \text{ U/kg})$. Various treatment regimens have been described using the intravenous route. One of the examples of an effective regimen described using an induction cycle of $18 \times 10^6 \text{ IU/m}^2$ body surface area per day for 5 days for two courses, separated by at least 6 days. This is followed by a maintenance cycle consisting of one 5-day course of treatment. It was recommended that patients undergo two induction cycles and two maintenance cycles, with each cycle separated by 3 weeks

of no therapy [15]. Additional effective regimens have been described in the literature [9, 13, 16, 17].

The subcutaneous regimen also varies. Some have described daily treatments (Monday–Friday) for a given cycle, usually involving 250,000 U/kg/dose in the first week and then 125,000 U/kg/dose in subsequent weeks [13]. Another report that combined the subcutaneous route with interferon described using an initial dose of 5×10^6 every 8 hours for the first day, followed by daily treatments (Monday–Friday) for 4 weeks for each 6-week cycle [14].

Adverse Events

High-dose IL-2 is associated with many adverse events. Some are discussed above, but to summarize, patients can experience a range of adverse effects. Some of the low-grade complications include nausea, diarrhea, mild hematologic toxicities, elevation in liver enzymes, fevers, chills, fatigue, and rash. The high-grade complications can be related to a capillary leak syndrome that can result in significant vasodilation, severe fluid overload, and hypotension. Other side effects include confusion, depressed level of consciousness, renal dysfunction leading to oliguria, neurotoxicities, and cardiac toxicities. Patients can also experience severe infections due to neutrophil dysfunction [9, 16]. Patients commonly require intensive care unit admission and vasopressor support [16].

Interferon Alfa-2a

Interferon alfa-2a (IFN α 2a) is a protein with immunomodulatory effects, including tumor regression. It is thought to increase the expression of HLA molecules, as well as facilitate activation of CD8 cells, which can have cytotoxic effects on tumor cells (Fig. 22.2) [18]. In some of the earliest reports, this drug was found to be effective as an antitumor agent in malignancies such as Kaposi's sarcoma, hairy cell leukemia, and cutaneous T-cell lymphoma [19]. As a result, it was eventually studied in metastatic RCC.

In a retrospective study by Quesada et al., 19 patients with metastatic RCC were given 3×10^6 units of daily IFN $\alpha 2a$ or doses of 18×10^6 or 36×10^6 units twice weekly. Twenty-six percent of patients showed a partial response, 10.5% experienced an objective minor response, 16% of patients experienced mixed effects (i.e., progression in some sites and regression in other sites), 10.5% had disease stabilization, and 37% progressed [20]. In a prospective study that looked at various doses of IFN $\alpha 2a$ in 159 patients with metastatic RCC, a 10% overall response rate was observed, and median overall survival was 11.4 months, with only 3% of patients

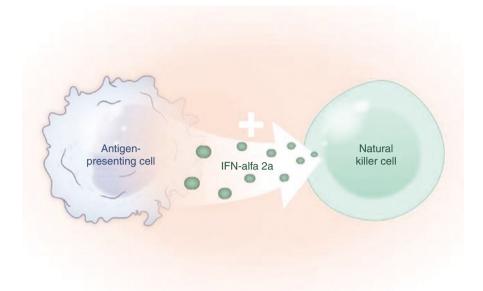


Fig. 22.2 IFN-alfa-2a release from antigen-presenting cells leading to activation of natural killer cell

being alive at 5 years or more [21]. A later randomized trial that looked at IL-2, IFN α 2a, or both in patients with metastatic RCC revealed response rates of 6.5%, 7.5%, and 18.6% for the three groups, respectively. Event-free survival rates were 15%, 12%, and 20%, respectively. The combination group experienced a greater incidence of adverse events. Overall survival was similar in the three groups [15]. The overall median survival between the three groups was 12, 13, and 17 months, respectively. These differences were not statistically significant. Several other studies revealed similar survival benefit with interferon monotherapy [22, 23]. As a result of its efficacy, though limited in nature, it was considered one of the first-line therapeutic options in patients with metastatic RCC.

IFN α 2a was commonly used until it was found to be inferior to some of the newer agents that were introduced for metastatic RCC around 10 years ago. In a multicenter, phase 3, randomized trial of 626 patients with previously untreated, poor prognostic metastatic RCC, patients were stratified to receive the mTOR (mammalian target of rapamycin) kinase inhibitor (temsirolimus), IFN α 2a, or combination therapy. The patients who received temsirolimus alone had a significantly longer overall survival compared to the other two groups. Median overall survival times were 10.9, 7.3, and 8.4 months, respectively. Fewer patient experienced adverse events in the temsirolimus group than the interferon group. As newer agents such as mTOR inhibitors and checkpoint inhibitors became better understood and studied more, the use of both interferon and IL-2 significantly decreased due to decreased comparative efficacy and/or increased toxicity.

Dose

There are various doses and regimens that have been described for IFN α 2a use in metastatic RCC. One of the regimens described for IFN α 2a has been a subcutaneous route of 18 × 10⁶ IU per day three times a week for 10 weeks as an induction treatment and then an additional 13 weeks as maintenance [15]. Another regimen includes subcutaneous injection of 5 × 10⁶ IU of IFN α 2a three times per week for 4 weeks as a 6-week cycle, with a maximum of six cycles [14]. The Medical Research Council Renal Cancer Collaborators described a regimen that consisted of a first week of IFN α 2a with three treatments of 5, 5, and × 10⁶ IU, followed by three treatments per week of 10 × 10⁶ IU, for a total of 12 weeks [23].

Adverse Events

Some of the side effects that have been described for interferon treatment include lack of appetite, anorexia, fatigue, nausea, dry mouth, shivering, heartburn, and hepatotoxicity [21, 23, 24].

Immunomodulators and Checkpoint Inhibitors

Mechanism and Biology

There are various factors that regulate T-cell homeostasis in the immune system. For a T cell to be activated, the T-cell receptor must bind the antigen of interest. This interaction alone is insufficient to activate a T cell. As a result, if only this interaction occurs, without an additional costimulatory stimulus, the T cells will become unresponsive (i.e., anergy) [25]. A second signal is required to permit T-cell activation (i.e., costimulation). This second signal typically involves the protein CD28, which is on the T cells. Upon stimulation by ligands on antigen-presenting cells (B7-1 or B7-2), activation of the T cell ensues [26]. Cytotoxic T-lymphocyte antigen-4 (CTLA-4) is a protein that is a competitive inhibitor for B7-1/B7-2 that has a much greater affinity for these proteins than CD28. This protein functions as an inhibitor for T-cell activation [25, 26]. Consequently, increased activity of CTLA-4 can result in T-cell inhibition.

Another important pathway involves programmed cell death protein (PD-1) and the related ligand (PD-L1). PD-L1 is expressed by the various tumor cells and helps facilitate continued growth of the tumor cells by negatively regulating the immune system. When PD-L1 on tumor cells binds PD-1 on T cells, there is an inhibition of cytokine release and cytotoxic activity of antitumor T cells, permitting tumor growth [27]. Therapies involved in the above pathways (Table 22.1) will be discussed below in the form of CTLA-4 and PD-1 inhibitors.

PD-1 inhibitorsNivolumabCheckMate 025CheckMate 214CheckMate 214PembrolizumabNCT03141177PembrolizumabKEYNOTE-427NCT02501096NCT02501036NCT02133742NCT02853331	Nivolumab vs everolimusNivolumab + ipilimumab vs sunitinibNivolumab + cabozantinib vs sunitinib (ongoing)PembrolizumabLenvatinib + pembrolizumab	821 1096					
Nivolumab CheckMate 025 CheckMate 214 NCT03141177 Pembrolizumab KEYNOTE-427 NCT02501096 NCT02133742 NCT02853331	Nivolumab vs everolimusNivolumab + ipilimumab vs sunitinibNivolumab + cabozantinib vs sunitinib (ongoing)PembrolizumabLenvatinib + pembrolizumab	821 1096					
CheckMate 214 NCT03141177 Pembrolizumab KEYNOTE-427 NCT02501096 NCT02133742 NCT02853331	Nivolumab + ipilimumab vs sunitinibNivolumab + cabozantinib vs sunitinib (ongoing)PembrolizumabLenvatinib + pembrolizumab	1006	4.6 vs 4.4	0.11	25.0 vs 19.6 0.002	0.002	25.0 vs 5.0
NCT03141177 Pembrolizumab KEYNOTE-427 NCT02501096 NCT02533342 NCT02853331 NCT02853331	Nivolumab + cabozantinib vs sunitinib (ongoing)PembrolizumabLenvatinib + pembrolizumab	10/01	11.6 vs 8.4 0.03	0.03	NR vs 26.0	<0.001	42.0 vs 27.0
Pembrolizumab KEYNOTE-427 NCT02501096 NCT02133742 NCT02853331 NCT02853331	Pembrolizumab Lenvatinib + pembrolizumab	630	N/A	N/A	N/A	N/A	N/A
NCT02501096 NCT02133742 NCT02853331	Lenvatinib + pembrolizumab	110	8.7	NR	NR	NR	33.6
NCT02133742 NCT02853331		30	13.8	NR	NR	NR	63.3
NCT02853331	Pembrolizumab + axitinib	52	20.9	N/A	Not reached	N/A	73.0
	Pembrolizumab + axitinib vs sunitinib (ongoing)	862	N/A	N/A	N/A	N/A	N/A
NCT02811861	Pembrolizumab + lenvatinib vs Everolimus +lenvatinib vs sunitinib (ongoing)	1050	N/A	N/A	N/A	N/A	N/A
PD-L1 inhibitors							
Atezolizumab IMmotion151 ^a	Atezolizumab + bevacizumab vs sunitinib	915	11.2 vs 8.4	0.002	NR	NR	37.0 vs 33.0
Durvalumab NCT03308396	Durvalumab + guadecitabine (ongoing)	58	N/A	N/A	N/A	N/A	N/A
Avelumab JAVELIN Renal 1	JAVELIN Renal 101 Avelumab + axitinib vs sunitinib	888	13.8 vs 7.2 <0.001 NR	<0.001	NR	NR	55.2 vs 25.5
CTLA-4 inhibitors							
Ipilimumab Yang et al	Ipilimumab 3 mg/kg followed by 1 mg/kg vs 3 mg/kg	21	NR	NR	NR	NR	4.8 vs 12.5 PR
CheckMate 214							
(see above)							
Tremelimumab NCT00372853 ^b	Dose escalation of tremelimumab + sunitinib	28	NR	N/A	NR	NA	43% PR

 Table 22.1
 Key trials involving checkpoint inhibitors in metastatic renal cell carcinoma

ź 4 5 ^bNCT00372853 led to grade 3 or 4 adverse events in 61% of patients

Cytotoxic T-lymphocyte Antigen-4

One of the early reports that studied the antitumor effects of inhibiting CTLA-4 involved a study in mice that were injected with transfected tumor cells. These mice were then treated with anti-CTLA-4 or anti-CD28. Mice injected with anti-CTLA-4 exhibited inhibited tumor growth as compared with the anti-CD28-treated mice and the controls. The study concluded that removing inhibitory signals in the costimulatory pathway can enhance antitumor immunity (Fig. 22.3a and b) [28]. As a result of the encouraging preclinical studies, this therapy was investigated in clinical trials.

Ipilimumab is a CTLA-4 antibody that was found to be initially effective in achieving durable tumor regression in patients with melanoma [29]. Because RCC has previously been found to be immunoresponsive, a phase II trial was performed to evaluate the efficacy of ipilimumab in metastatic RCC. The trial consisted of 61 patients with metastatic RCC who were given two different regimens of ipilimumab. One group received 3 mg/kg for the first treatment followed by 1 mg/kg every 3 weeks, while the other received 3 mg/kg every 3 weeks. Partial responses were experienced 5/40 (12.5%) and 1/21 (4.8%) of the high- and low-dose groups, respectively [30]. The higher-dose cohort experienced a greater incidence of high-grade adverse reactions compared to the lower-dose group (42.5% vs 14%, respectively). Interestingly, in the aforementioned study, the incidence of autoimmune adverse events was associated positively with tumor regression. Despite the encouraging data related to tumor regression, the high adverse effect profile was concerning. As a result, the lower dose was used in trials as an adjunctive therapy option and will be discussed more in the section on PD-1 inhibition [31].

Dose

Two doses have been described for ipilimumab monotherapy in the use of metastatic RCC, 3 mg/kg and 1 mg/kg, as described in the previous section [30]. In modern studies, it is most effectively used as an adjunctive regimen. When used with nivolumab, it can be given at a dose of 1 mg/kg every 3 weeks for four doses during the induction regimen of therapy [32].

Toxicity

Some of the toxicities experienced by patients receiving ipilimumab therapy include autoimmune toxicity (enteritis, hypophysitis), adrenal insufficiency, gastrointestinal toxicity, colonic perforation, diarrhea, or aseptic meningitis [30].

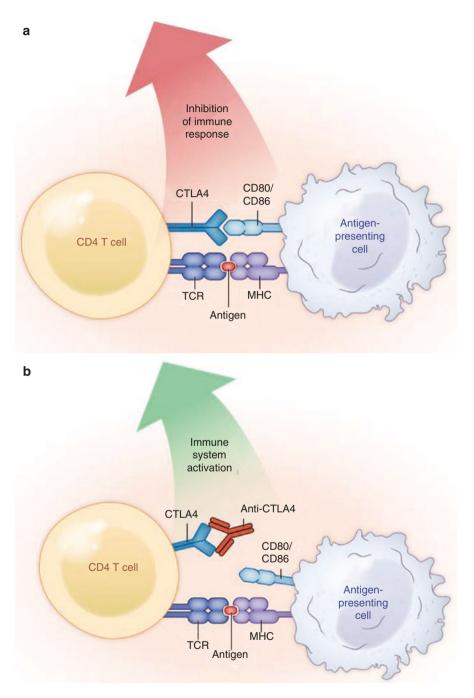


Fig. 22.3 (a) Binding of CTLA4 to CD80/CD86 leads to inhibition of immune response. (b) Binding of anti CTLA4 molecule to CTLA4 leads to activation of immune response

Programmed Cell Death Protein-1

An early study that evaluated the safety and activity of anti-PD-1 antibodies in patients with advanced malignancies was a phase 1 trial that included 296 patients with various malignancies, including metastatic RCC. Patients in each malignancy cohort were stratified into three groups that received different doses of the antibody (1, 3, 10 mg/kg). Fourteen percent of patients had grade 3 or higher adverse events. Metastatic RCC patients experienced a 27% response rate with therapy. Responses were durable, as about 65% of responses lasted in patients with greater than 1-year follow-up [33]. As a result, it was widely believed that blocking of the PD-1 receptor can help facilitate an immune response against tumor cells (Fig. 22.4a and b).

One of the most well-studied drugs in the class of PD-1 inhibitors is nivolumab. An early phase 2 trial revealed that this drug demonstrated antitumor activity in patients with metastatic RCC who were previously treated with agents targeting the vascular endothelial growth factor pathway. Three different doses were used (0.3, 2, 10 mg/kg) in a total of 168 patients. No dose-response relationship in progression-free survival (2.7, 4.0, 4.2 months), objective response rate (20%, 22%, 20%), overall survival (18.2, 25.5, 24.7 months), and adverse events (24%, 22%, 35%) was observed between the three groups [34]. Due to the encouraging antitumor activities of PD-1 inhibitors, they have been increasingly studied in the management of metastatic RCC.

In a randomized study of 821 patients, nivolumab was compared to everolimus, a mammalian target of rapamycin (mTOR) inhibitor in patients who were previously treated with antiangiogenic therapy. The median overall survival was 25 and 20 months, respectively. Nivolumab was also associated with a lower risk of death (HR 0.73) and a greater objective response rate (25% vs 5%), when compared to everolimus. High-grade adverse events were also less common in the nivolumab cohort (19% vs 37%) [35].

Another recent study was a phase 3 randomized trial that evaluated the efficacy of nivolumab plus ipilimumab versus sunitinib (vascular endothelial growth factor tyrosine kinase inhibitor) in 1096 patients with previously untreated metastatic RCC. The first group received nivolumab (3 mg/kg) and ipilimumab (1 mg/kg) every 3 weeks for four doses (induction), followed by nivolumab monotherapy (3 mg/kg) every 2 weeks. The second group received sunitinib (50 mg) daily for 4 weeks for each cycle. In the intermediate- and poor-risk groups, as characterized by the International Metastatic Renal Cell Carcinoma Database Consortium (IMDC), the overall survival at 18 months was 75% and 60% in the two groups, respectively. The objective response rate was 42% versus 27%, and complete response rate was 9% versus 1%. The nivolumab plus ipilimumab group experienced a 3.2-month longer progression-free survival than the sunitinib cohort. The overall adverse event rates were high in both groups (93% and 97%), with a grade 3 or 4 event occurring in 46% and 63% of patients, respectively [32].

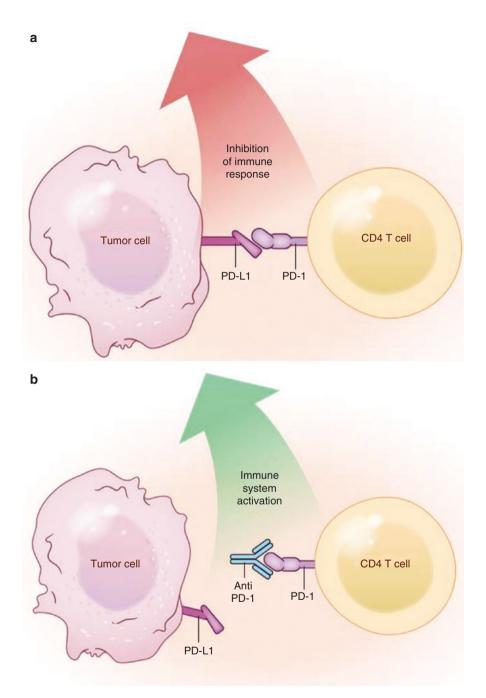


Fig. 22.4 (a) Binding of PD-1 and PD-L1 leads to inhibition of immune response. (b) Binding of anti PD-1 molecule to PD-1 leads to activation of immune response

An aspect that can be related to the PD-1 inhibitor therapy effectiveness is the extent of PD-L1 expression on tumor cells. Patients who have tumors that are PD-L1 negative may potentially have poor responses to anti PD-1 therapy [33]. When comparing tumors that have >1% vs <1% PD-L1 expression in patients undergoing anti-PD1 therapy, the former experiences significantly better objective response, progression-free survival, and overall survival compared with the latter. On the other hand, some studies have found that patients who are PD-L1 negative can still exhibit favorable responses from anti PD-1 therapy; thus PD-L1 expression may not adequately predict response to these agents [32].

Dose

The dose that has been described for nivolumab is 3 mg/kg, but the regimen has varied in described studies. When used as a monotherapy, a regimen of 3 mg/kg every 2 weeks for median treatment duration of 5.5 months was used in one study [35]. When used in conjunction with ipilimumab, nivolumab is given at a dose of 3 mg/kg every 3 weeks for four doses with ipilimumab (1 mg/kg), followed by monotherapy with nivolumab 240 mg every 2 weeks or 480 mg every 4 weeks. Though CHECKMATE 214 described a maintenance dose of Nivolumab at 3mg/kg every 2 weeks, the flat dosage is approved by the FDA in this setting. Both dosages have demonstrated similar pharmacokinetic properties, with the flat dosage potentially providing a convenient option for patients and physicians.

Adverse Events

Some of the more common treatment adverse effects related to nivolumab include fatigue, pruritus, nausea, diarrhea, and decreased appetite. Patients can also experience rash, anemia, dyspnea, peripheral edema, mucosal inflammation, distortion of taste, stomatitis, hypertriglyceridemia, or epistaxis [35].

Conclusions

Immunomodulation is effective in managing patients with metastatic RCC. PD-1 in combination with CTLA-4 inhibitors should be considered as first-line therapies in these patients, particularly the patients classified as IMDC intermediate/poor risk. IL-2 and IFN α 2a are historic options that are increasingly being replaced by checkpoint inhibitors. Additional studies with novel checkpoint inhibitors as well as novel regimens and combinations are needed to further increase the armamentarium for the treatment of patients with metastatic renal cell carcinoma.

Clinical Pearls

- Immunomodulation can be very effective in the metastatic renal cell cancer patient.
- Historically, IL-2 was used for metastatic renal cell cancer but is associated with significant toxicity, cost, and limitations.
- Interferon alfa-2a has been used for metastatic renal cell cancer but is viewed as inferior to some of the newer agents.
- PD-1 inhibitors and CTLA-4 inhibitors have shown improved outcomes and fewer adverse events in this patient population and should be considered as first-line treatments.

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