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Assessment of Cerebral Blood Oxygenation by Near-Infrared Spectroscopy before and after Resuscitation in a Rat Asphyxia Cardiac Arrest Model

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#### Abstract

Clinical investigators have focused on the real-time evaluation of cerebral blood oxygenation (CBO) by near-infrared spectroscopy (NIRS) during cardiopulmonary resuscitation (CPR). A previous study showed that an abrupt increase of oxy-hemoglobin (Hb) level and tissue oxygenation index (TOI) was associated with the timing of return of spontaneous circulation (ROSC). However, it is not clear how TOI alters before and after CPR including a period of cardiac arrest (CA). Therefore, this study aimed to assess CBO with asphyxia CA and its association with CPR to ROSC in rats. Male Sprague-Dawley rats were used. We attached NIRS (NIRO-200NX, Hamamatsu Photonics, Japan) from the nasion to the upper cervical spine in rats. A ten-minute asphyxia was given to induce CA. After CA, mechanical ventilation was restarted, and manual CPR was performed. We examined the mean arterial pressure

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(MAP), end-tidal carbon dioxide (ETCO<sub>2</sub>), and Oxy/Deoxy-Hb and TOI. Out of 14 rats, 11 obtained sustained ROSC. After the induction of asphyxia, a rapid drop of TOI was observed, followed by a subsequent increase of Oxy-Hb, Deoxy-Hb, and TOI with CPR. Recent CPR guidelines suggest the use of ETCO<sub>2</sub> during CPR since its abrupt increase is a reasonable indicator of ROSC. In this study, abrupt increases in MAP, ETCO<sub>2</sub>, and TOI were observed at the time of ROSC. TOI can be an alternative to ETCO<sub>2</sub> for identifying ROSC after CA, and it also has the capability of monitoring CBO during and after CPR.

### Keywords

Cardiopulmonary resuscitation (CPR)  $\cdot$ Near-infrared spectroscopy (NIRS)  $\cdot$  Return of spontaneous circulation (ROSC)  $\cdot$ Tissue oxygen saturation (StO<sub>2</sub>)  $\cdot$  Cardiac arrest

# 49.1 Introduction

Cardiac arrest (CA) is a major public health issue worldwide. Despite significant improvements to care for CA, mortality remains high [1]. To

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improve CA survival, recent guidelines on cardiopulmonary resuscitation (CPR) indicated that it may be reasonable to use physiologic parameters when feasible to monitor and optimize CPR quality, guide vasopressor therapy, and detect return of spontaneous circulation (ROSC) (Class IIb) [2]. Clinical investigators have focused on the real-time evaluation of cerebral blood oxygenation (CBO) by near-infrared spectroscopy (NIRS) during CPR [3, 4]. A previous study showed that abrupt increases of oxy-hemoglobin (Hb) level and tissue oxygenation index (TOI) were associated with the timing of ROSC [4]. Although there have been studies supporting the use of NIRS during CPR [3–7], the guidelines do not make any statements regarding tissue oxygen saturation (StO<sub>2</sub>) or CBO [2] because it is not clear yet how StO<sub>2</sub>, including TOI, alters before and after CPR that includes a period of CA. Therefore, this study aimed to assess CBO by using a rat asphyxia CA model to investigate its association with CPR to ROSC. To the best of our knowledge, there have been no reports measuring CBO using NIRS in a rat CA model.

## 49.2 Methods

The Institutional Animal Care and Use Committee of the Feinstein Institute for Medical Research approved the study protocol. The data that support the findings of this study are available from the corresponding author upon reasonable request.

#### 49.2.1 Animal Surgical Procedure

The details of methods for a rat asphyxia CA model have been described previously [8]. In brief, 34 adult male Sprague-Dawley rats (450–550 g, Charles River Laboratories) were anesthetized with 4% isoflurane (Isosthesia, Butler-Schein AHS) and intubated with a 14-gauge plastic catheter (Surflo, Terumo Medical Corporation). Animals were mechanically ventilated. Anesthesia was maintained with 2% isoflurane at a fraction of inspired O<sub>2</sub> (FIO<sub>2</sub>) of 0.3. The left femoral artery was can-

nulated (sterile polyethylene-50 catheter inserted for 20 mm) for continuous arterial pressure monitoring. A temperature probe was placed in the esophagus for continuous temperature monitoring. The core temperature was maintained at  $36.5 \pm 1.0$  °C during the surgical procedure. The left femoral vein was cannulated with a polyethylene-50 catheter, which was advanced into the inferior vena cava for drug infusion. We attached NIRS (NIRO-200NX, Hamamatsu Photonics, Japan) from the nasion to the upper cervical spine of the rats. The distance between the emission and the detection probes was 3 cm. We examined the mean arterial pressure (MAP), end-tidal carbon dioxide (ETCO<sub>2</sub>), Oxy/Deoxy-Hb, and TOI. The NIRS device records the oxygen saturation level (TOI) and the changes in concentration of oxygenated hemoglobin ( $\Delta O_2Hb$ ) and deoxygenated hemoglobin ( $\Delta$ HHb) in real time (100 Hz). Averaged data every 500 msec were used. After instrumentation. neuromuscular blockade was achieved by slow intravenous administration of 2 mg/kg of vecuronium bromide (Hospira, USA). Asphyxia was induced by switching off the ventilator, and CA occurred 3-4 minutes after asphyxia started. We defined CA as a MAP below 20 mmHg; CA was completely untreated for 10 minutes. Mechanical ventilation was restarted at an FIO<sub>2</sub> of 1.0, and manual CPR was delivered to CA animals. Chest compressions were performed at a rate of 240-300 per minute. At 30 seconds after the beginning of CPR, a 20 µg/kg bolus of epinephrine was given to animals through the venous catheter. Following ROSC, defined as a systolic blood pressure above 60 mmHg, CPR was discontinued. If ROSC did not occur by 5 minutes of CPR, resuscitation was terminated.

#### 49.2.2 Statistical Analysis

Data are expressed as means  $\pm$  standard deviation for continuous variables. Levels of TOI,  $\Delta O_2$ Hb, and  $\Delta$ HHb were compared using repeated measures analysis of variance. *P* values  $\leq 0.05$  were considered to be statistically significant. All analyses were performed using the SPSS software (version 24.0, J SPSS).

# 49.3 Results

We monitored CBO by NIRS in 14 rats in CA. Of these, ROSC was observed in 11 rats. After the induction of asphyxia, TOI and  $\Delta O_2$ Hb rapidly dropped, whereas  $\Delta$ HHb increased (Fig. 49.1). The values of TOI and  $\Delta O_2$ Hb were significantly lower during CA than at the start of asphyxia, whereas  $\Delta$ HHb was significantly higher (TOI:  $66.0 \pm 5.4$ , at the start of asphyxia vs.  $34.2 \pm 10.4$ during CA, p < 0.001). Towards the end of the 10 minutes of CA period, the level of TOI remained constantly low;  $\Delta O_2 Hb$  kept decreasing;  $\Delta$ HHb increased at 1–2 minutes after a start of asphyxia and gradually decreased thereafter (Fig. 49.1). This phenomenon was observed until about 8 minutes after starting asphyxia. Figure 49.2 shows the changes in TOI, ETCO<sub>2</sub>, MAP,  $\Delta O_2$ Hb, and  $\Delta$ HHb after starting CPR in a typical case. During CPR, TOI,  $\Delta O_2$ Hb, and  $\Delta$ HHb increased in synchrony with chest compressions. An abrupt increase in MAP, ETCO<sub>2</sub>, and TOI was observed at the time of ROSC (TOI: 36.9 ± 6.8 during CPR vs. 45.9 ± 4.6 at 30 seconds after ROSC, *p* < 0.001). In addition,  $\Delta O_2$ Hb increased, whereas  $\Delta$ HHb decreased after ROSC. On the other hand, TOI did not increase in rats without ROSC (*n* = 3).

#### 49.4 Discussion

This study showed that TOI rapidly dropped after induction of asphyxia in a rat CA model. We observed a synchrony of CBO waveforms with chest compression followed by an abrupt increase of TOI at the time of ROSC. Our major finding was the observation of CBO by NIRS during CA, before and after resuscitation in rats. NIRO-200NX has two measurement methods running



**Fig. 49.1** (a) Tissue oxygenation index (TOI) and (b) the changes in Oxy-hemoglobin ( $\Delta O_2$ Hb) concentration and Deoxy-Hb ( $\Delta$ HHb) concentration during cardiac arrest (CA), before and after cardiopulmonary resuscitation (CPR). The number of rats in asphyxia, at 1-minutes after asphyxia, at 2 minutes after asphyxia, during CA was 14. The number of rats during CPR, at 30-seconds after

ROSC, and at 1-minutes after ROSC was 11. Asphyxia indicates the time when starting asphyxia (baseline). During CA indicates at 5 minutes after the induction of asphyxia. During CPR indicates before return of spontaneous circulation (ROSC). The asterisk (\*) indicates statistical significance (p < 0.05)



**Fig. 49.2** The changes in tissue oxygenation index (TOI), mean arterial pressure (MAP), end-tidal carbon dioxide (ETCO<sub>2</sub>), Oxy-hemoglobin ( $\Delta$ O<sub>2</sub>Hb), and Deoxy-Hb ( $\Delta$ HHb) during cardiac arrest (CA) and resus-

citation in a typical case. The asterisk symbol indicates the period of the interruption of chest compression due to the administration of epinephrine. ROSC, return of spontaneous circulation; CPR, cardiopulmonary resuscitation

independently of each other: a modified Beer-Lambert (MBL) method and a spatially resolved spectroscopy (SRS) method. Some studies reported that the MBL method may detect mainly shallow layers, and the SRS method may be able to detect deeper layers of the brain [9, 10]. Kakihana et al. showed that excessive exogenous and endogenous epinephrine caused contraction of the scalp blood vessels, and the blood flow and oxygenation of the scalp decreased, because the systolic blood pressure had risen up to 200 mmHg at ROSC in their experiments [10]. Our results were consistent with their findings, and the systolic blood pressure increased to approximately 200 mmHg (Fig. 49.2). Thus, it is plausible that CBO of rats can be measured by NIRO-200NX, which has features of both MBL and SRS.

Recent CPR guidelines suggest a use of  $ETCO_2$  during CPR since its abrupt increase is a reasonable indicator of ROSC [2]. However, the guidelines recommended that  $ETCO_2$  should not be used in isolation and should not be used in non-intubated patients [2]. In the current study, abrupt increases in MAP,  $ETCO_2$ , and TOI were observed at the time of ROSC. In clinical settings, it is often difficult to monitor  $ETCO_2$  for non-intubated patients and to continuously monitor MAP in CA patients. NIRS, an optical and noninvasive technique, can be used as an alterna-

tive to detect ROSC [4] since NIRS does not require intubation for measuring CBO.

Previous experimental porcine CA studies showed that StO<sub>2</sub> decreased during CA [10, 11]. Two studies reported that the StO<sub>2</sub> decrease was rapid, like that observed in the present study [10, 12], but another study reported that it was gradual [11]. One reason for the differences in reports may be the cause of CA, and the capacity of oxygen contents may differ between species. The latter study reported that they induced CA by ventricular fibrillation, while we used an asphyxia CA model.

Some clinical studies reported that initial  $StO_2$  can be applied to patients as a predictor of ROSC [5–7]. However, we could not describe the correlation between the initial  $StO_2$  and ROSC. In a clinical setting, we cannot employ NIRS at the time of sudden cardiac arrest; therefore, no baseline measure of NIRS is available, but we can usually begin the measurement of CBO by NIRS after CPR is initiated. In future studies, it will be necessary to evaluate the association between the value of TOI and the value at the beginning of CPR and to detect ROSC in human beings.

Since our instrument is intended for use in humans and the scull of rats is much smaller than humans, the values of the TOI and  $O_2Hb$  and HHb may not be quantitatively correlated with the data from humans. However, comparing the trend in rats is considered legitimate because of its internal standardization.

# 49.5 Conclusions

In this study, abrupt increases in MAP,  $ETCO_2$ , and TOI were observed at the time of ROSC. On the basis of these findings, TOI can be an alternative to  $ETCO_2$  to detect ROSC after CA and has the potential to monitor CBO during and after CPR.

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