

A Bazzoni-Type Theorem for Multiplicative Lattices



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Abstract We prove a Bazzoni-type theorem for multiplicative lattices thus unifying several ring/monoid theoretic results of this type.

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1 Introduction

Let D be an integral domain. Consider the following two assertions:

(i) If I is an ideal of D whose localizations at the maximal ideals are finitely generated, then I is finitely generated.

(ii) Every $x \in D - \{0\}$ belongs to only finitely many maximal ideals of D .

While (ii) \Rightarrow (i) is well-known and easy to prove, Bazzoni [3, p. 630] conjectured that the converse is true for Prüfer domains. Recall that D is a *Prüfer domain* if every finitely generated ideal I of D is locally principal.

Holland et al. [10, Theorem 10] proved Bazzoni's conjecture for Prüfer domains using techniques from lattice-ordered groups theory and McGovern [14, Theorem 11] proved the same result using a direct ring theoretic approach. Halter-Koch [9, Theorem 6.11] proved Bazzoni's conjecture for r -Prüfer monoids (see Section 4). Zafrullah [16, Proposition 5] proved Bazzoni's conjecture for Prüfer v -multiplication domains. Finocchiaro and Tartarone [6, Theorem 4.5] proved Bazzoni's conjecture for almost Prüfer ring extensions (see Section 3). Recently, Chang and Hamdi [4, Theorem 2.4] proved Bazzoni's conjecture for almost Prüfer v -multiplication domains (see Section 4).

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The purpose of this paper is to prove a Bazzoni-type theorem for multiplicative lattices (see Section 2), thus unifying the results mentioned above (see Sections 3 and 4). Our standard references are [1, 7, 8].

2 Main Result

We use an abstract ideal theory approach, so we work with multiplicative lattices.

Definition 1. A *multiplicative lattice* is a complete lattice (L, \leq) (with bottom element 0 and top element 1) which is also a multiplicative commutative monoid with identity 1 (the top element) and satisfies $a(\bigvee b_\alpha) = \bigvee ab_\alpha$ for each $a, b_\alpha \in L$.

Let L be a multiplicative lattice. The elements in $L - \{1\}$ are said to be *proper*. Denote by $Max(L)$ the set of maximal elements of L . For $x, y \in L$, set $(y : x) = \bigvee \{a \in L; ax \leq y\}$.

We recall some standard terminology.

Definition 2. Let L be a multiplicative lattice and let $x, p \in L$.

(1) p is *prime* if $p \neq 1$ and for all $a, b \in L$, $ab \leq p$ implies $a \leq p$ or $b \leq p$. It follows easily that every maximal element is prime.

(2) x is *compact* if whenever $x \leq \bigvee_{y \in S} y$ with $S \subseteq L$, we have $x \leq \bigvee_{y \in T} y$ for some finite subset T of S .

(3) L is a *C-lattice* if the set L^* of compact elements of L is closed under multiplication, $1 \in L^*$ and every element in L is a join of compact elements.

(4) x is *meet-principal* if $y \wedge zx = ((y : x) \wedge z)x$ for all $y, z \in L$ (in particular $(y : x)x = x \wedge y$).

(5) x is *join-principal* if $y \vee (z : x) = ((yx \vee z) : x)$ for all $y, z \in L$ (in particular $xy : x = y \vee (0 : x)$).

(6) x is *cancellative* if for all $y, z \in L$, $xy = xz$ implies $y = z$.

(7) x is *CMP* (ad hoc name) if x is cancellative and meet-principal.

(8) L is a *lattice domain* if $(0 : a) = 0$ for all $a \in L - \{0\}$.

In the sequel, we work with C -lattices and their localization theory. Let L be a C -lattice. For $p \in L$ a prime element and $x \in L$, we set

$$x_p = \bigvee \{a \in L^*; ab \leq x \text{ for some } b \in L^* \text{ with } b \not\leq p\}.$$

Then $L_p := \{x_p; x \in L\}$ is again a lattice with multiplication $(x_p, y_p) \mapsto (xy)_p = (x_p y_p)_p$, join $\{(b_\alpha)_p\} \mapsto (\bigvee (b_\alpha)_p)_p = (\bigvee b_\alpha)_p$ and meet $\{(b_\alpha)_p\} \mapsto (\bigwedge (b_\alpha)_p)_p$. The next lemma collects several basic properties.

Lemma 3. Let L be a C -lattice, let $x, y \in L$ and let $p \in L$ be a prime element.

(1) $x_p = 1$ if and only if $x \not\leq p$.

(2) $(x \wedge y)_p = x_p \wedge y_p$.

- (3) If x is compact, then $(y : x)_p = (y_p : x_p)$.
- (4) $x = y$ if and only if $x_m = y_m$ for each $m \in \text{Max}(L)$.
- (5) A cancellative element x is CMP if and only if $(y : x)x = x \wedge y$ for all $y \in L$.
- (6) If x is compact, then x_p is compact in L_p . Conversely, if x_p is compact in L_p , then $x_p = c_p$ for some compact element $c \leq x$.
- (7) If x and y are CMP elements, then so is xy .
- (8) If x is compact, y is CMP and $x \leq y$, then $(x : y)$ is compact.

Proof. For (1–4) see [11, pp. 201–202], for (5) see [15, Lemma 2.10], while (6–7) follow easily from definitions. We prove (8). Note that $(x : y)y = x \wedge y = x$. Suppose that $(x : y) \leq \bigvee_{i \in A} z_i$. Then $x = (x : y)y \leq \bigvee_{i \in A} z_i y$, so $(x : y)y \leq \bigvee_{i \in B} z_i y$ for some finite subset B of A . Cancel y to get $(x : y) \leq \bigvee_{i \in B} z_i$. \square

Say that x and $y \in L$ are *comaximal* if $x \vee y = 1$. Clearly, $x \vee yz = 1$ if and only if $x \vee y = 1$ and $x \vee z = 1$. When $t \leq u$ we say that t is *below* u or that u is *above* t .

Lemma 4. *Let L be a C -lattice and $z \in L - \{1\}$ a compact element such that $\{m \in \text{Max}(L); z \leq m\}$ is infinite. There exists an infinite set $\{a_n; n \geq 1\}$ of pairwise comaximal proper compact elements such that $z \leq a_n$ for each n .*

Proof. We may clearly assume that $z = 0$ (just change L by $\{x \in L; x \geq z\}$). Say that a proper compact element h is *big* (ad hoc name) if h is below only one maximal element $M(h)$. We separate in two cases.

Case (1): *Every proper compact element is below some big compact element.* We proceed by induction. Suppose that $n \geq 1$ and we already have big compacts a_1, \dots, a_n such that $M(a_1), \dots, M(a_n)$ are distinct maximal elements (for $n = 1$ just pick an arbitrary big compact a_1). Let p be a maximal element other than $M(a_1), \dots, M(a_n)$. There exists a compact element $c \leq p$ such that $c \not\leq M(a_i)$ for $1 \leq i \leq n$ (take $c = c_1 \vee \dots \vee c_n$ where each $c_i \in L^*$ satisfies $c_i \leq p$ and $c_i \not\leq M(a_i)$). Then take a big compact element $a_{n+1} \geq c$. This way we construct an infinite set $\{a_n; n \geq 1\}$ of big compacts such that all $M(a_n)$'s are distinct. Hence the a_n 's are pairwise comaximal.

Case (2): *There exists a proper compact element a_0 which is not below any big compact element.* Clearly every proper compact above a_0 inherits this property. Pick two distinct maximal elements p and q above a_0 . As L is a C -lattice, there exist two comaximal compacts $a_1 \leq p$ and $b_1 \leq q$ (note that $p \vee q = 1$, express p and q as joins of compact elements and use the fact that 1 is compact). Repeating this argument for a_1 , there exist two comaximal proper compact elements $a_2 \geq a_1$ and $b_2 \geq a_1$. Note that b_2 and b_1 are comaximal. Thus we construct inductively an infinite set $\{b_n; n \geq 1\}$ of pairwise comaximal proper compact elements. \square

In a C -lattice L , we say that an element x is *locally compact* if x_m is compact in L_m for each $m \in \text{Max}(L)$. We state our main result which is a Bazzoni-type theorem for C -lattices.

Theorem 5. *Let L be a C -lattice domain satisfying the following two conditions:*

- (a) *every nonzero element is above some cancellative compact element, and*
- (b) *every compact element $x \neq 1$ has some power x^n below some proper CMP element.*

Then the following conditions are equivalent:

- (i) *Every locally compact element of L is compact.*
- (ii) *Every nonzero element is below at most finitely many maximal elements.*

Proof. (ii) \Rightarrow (i). Although this part is well-known and easy, we include a proof for the reader's convenience. Let x be a nonzero locally compact element of L and let $a \leq x$ be a nonzero compact element. By (ii), there are only finitely many maximal elements above a , say m_1, \dots, m_k . For each i between 1 and k , pick a compact element $c_i \leq x$ such that $x_{m_i} = (c_i)_{m_i}$. A local check shows that $x = a \vee c_1 \vee \dots \vee c_k$, so x is compact. Note that this part works for any C -lattice.

(i) \Rightarrow (ii). Deny, so suppose that some nonzero element c is below infinitely many maximal elements. By hypothesis (a), we may assume that c is a cancellative compact element. By Lemma 4 and hypothesis (b), there exist an infinite set $\{b_n; n \geq 1\}$ of proper pairwise comaximal CMP elements and integers $k_n \geq 1$ such that $c^{k_n} \leq b_n$ for $n \geq 1$ (k_n minimal with this property). Restricting to a subsequence, we may assume that $k_n \leq k_{n+1}$ for all n . We then have $c^{k_n} \leq b_1 \wedge \dots \wedge b_n = b_1 \cdot \dots \cdot b_n$ for all n .

Claim (*) : *The element $a := \bigvee_{n \geq 1} (c^{k_n} : b_1 \cdot \dots \cdot b_n)$ is locally compact.*

Pick $m \in \text{Max}(L)$. Since the b_n 's are pairwise comaximal, m is above at most one of them. Assume first that $m \geq b_s$. Since each product $b_1 \cdot \dots \cdot b_n$ is compact, we get

$$a_m = \left(\bigvee_{n \geq 1} ((c^{k_n})_m : (b_1 \cdot \dots \cdot b_n)_m) \right)_m = (c^{k_1} \vee (c^{k_s} : b_s))_m$$

which is compact in L_m , cf. Lemma 3. Similarly, when m is above no b_n , we get $a_m = (c^{k_1})_m$, so a_m is compact in L_m , hence Claim (*) is proved. By (i), a is compact. So $a = \bigvee_{n=1}^q (c^{k_n} : b_1 \cdot \dots \cdot b_n)$ for some $q \geq 1$. We get

$$(c^{k_{q+1}} : b_1 \cdot \dots \cdot b_{q+1}) \leq (c^{k_1} : b_1 \cdot \dots \cdot b_q)$$

so multiplying by $b_1 \cdot \dots \cdot b_{q+1}$ (which is a CMP element) and taking into account that $c^{k_{q+1}} \leq b_1 \cdot \dots \cdot b_{q+1}$, we get

$$c^{k_{q+1}} \leq (c^{k_1} : b_1 \cdot \dots \cdot b_q) b_1 \cdot \dots \cdot b_{q+1} \leq c^{k_1} b_{q+1}.$$

Since $k_{q+1} \geq k_1$ and c^{k_1} is cancellative, we get $c^{k_{q+1}-k_1} \leq b_{q+1}$, which is a contradiction since k_{q+1} was minimal with $c^{k_{q+1}} \leq b_{q+1}$. \square

Recall that a C -lattice domain is a *Prüfer lattice* if every compact element is principal (i.e., meet-principal and join-principal). In a C -lattice domain, every nonzero join-principal element x is cancellative (because $(yx : x) = y \vee (0 : x) = y$ for each y). So in a Prüfer lattice domain every nonzero compact element is CMP.

Corollary 6. *Let L be a C -lattice domain in which every nonzero compact element is CMP (e.g., a Prüfer lattice domain). Then conditions (i) and (ii) of Theorem 5 are equivalent.*

Bazzoni’s conjecture for Prüfer domains [10, Theorem 10] (see Introduction) follows from Corollary 6 since the ideal lattice of a Prüfer domain is clearly a Prüfer lattice.

3 Almost Prüfer Extensions

We recall several definitions from [6, 12]. Let $A \subseteq B$ be a commutative ring extension and I an ideal of A . Then I is called B -regular if $IB = B$ and I is called B -invertible if $IJ = A$ for some A -submodule J of B . Every B -invertible ideal is B -regular, since $A = IJ \subseteq IB$ implies $IB = B$. We say that $A \subseteq B$ is an *almost Prüfer extension* if every finitely generated B -regular ideal of A is B -invertible.

Finocchiaro and Tartarone [6, Theorem 4.5] proved Bazzoni’s conjecture for almost Prüfer ring extensions. We state their result and derive it from Corollary 6.

Theorem 7. (Finocchiaro and Tartarone) *If $A \subseteq B$ is an almost Prüfer extension, the following are equivalent:*

- (i) *Every B -regular locally principal ideal of A is B -invertible.*
- (ii) *Every B -regular ideal of A is contained in only finitely many maximal ideals of A .*

Proof. It is well-known and easy to prove that (ii) implies (i), see [6, Corollary 3.5]. We prove the converse. Let L be the set of all B -regular ideals of A together with the zero ideal and order L by inclusion. As shown in [15, Lemma 7.1], L is a C -lattice domain under usual ideal multiplication, where the join is the ideal sum and the meet is the ideal intersection except the case when we get a non- B -regular ideal when we put $\bigwedge = 0$. By [15, Lemma 7.1], the set L^* of compact elements in L is exactly the set of (B -regular) finitely generated ideals of A together with the zero ideal. After this preparation it becomes clear that [(i) \Rightarrow (ii)] follows from Corollary 6 provided we prove the two claims below. Write $x \in L$ as \widehat{x} when considered as an ideal of A .

Claim 1: Every nonzero compact element of L is a CMP element.

Let c be a nonzero compact element of L . As $A \subseteq B$ is almost Prüfer, \widehat{c} is a B -invertible ideal, so $\widehat{c}J = A$ for some A -submodule J of B . Then c is clearly cancellative. By Lemma 3, it suffices to show that $(x : c)c = x \wedge c$ for each $x \in L$. Changing x by $x \wedge c$, we may assume that $x \leq c$. We have $\widehat{x} = \widehat{x}J\widehat{c}$, so $x = yc$ where $y \in L$ is such that $\widehat{y} = \widehat{x}J$ (note that $\widehat{x}J \subseteq A$). From $x = yc$ we get $y \leq (x : c)$, so $x = yc \leq (x : c)c \leq x$, thus $(x : c)c = x$.

Claim 2: Every locally compact element of L is compact.

Suppose that c is a nonzero locally compact element of L . Let m be a maximal element of L , that is, \widehat{m} is a B -regular maximal ideal of A . So $c_m = \bigvee \{y \in L^*; ys \leq c \text{ for some } s \in L^*, s \not\leq m\}$ is compact in the lattice $L_m = \{x_m; x \in L\}$. Then $c_m = h_m$ for

some $h \in L^*$. Extending these ideals in $A_{\widehat{m}}$, we get $\widehat{c}A_{\widehat{m}} = \widehat{c}_m A_{\widehat{m}} = \widehat{h}_m A_{\widehat{m}} = \widehat{h}A_{\widehat{m}}$. Since $A \subseteq B$ is almost Prüfer, \widehat{h} is B -invertible. By [12, Proposition 2.3], $\widehat{h}A_{\widehat{m}} = \widehat{c}A_{\widehat{m}}$ is a principal ideal of $A_{\widehat{m}}$. Thus \widehat{c} is a locally principal ideal of A . By (i), \widehat{c} is B -invertible, so \widehat{c} is finitely generated, cf. [12, Proposition 2.3]. Thus c is compact in L . \square

4 Ideal Systems on Monoids and Integral Domains

Let H be a commutative multiplicative monoid (with zero element 0 and unit element 1) such that every nonzero element of H is cancellative and let $\mathcal{P}(H)$ be the power set of H . A map $r : \mathcal{P}(H) \rightarrow \mathcal{P}(H)$, $X \mapsto X_r$, is an *ideal system* on H if the following conditions hold for all $X, Y \in \mathcal{P}(H)$ and $c \in H$:

- (1) $cX_r = (cX)_r$, (2) $X \subseteq X_r$, (3) $X \subseteq Y$ implies $X_r \subseteq Y_r$, (4) $(X_r)_r = X_r$.

Then X_r is called the *r-closure* of X and a set of the form X_r is called an *r-ideal*. An *r-ideal* I is *r-finite* if $I = Y_r$ for some finite subset Y of I . The ideal system r is called *finitary* if $X_r = \bigcup\{Y_r; Y \subseteq X \text{ finite}\}$.

Assume that r is finitary. A proper *r-ideal* P is *prime* if, for $x, y \in H$, $xy \in P$ implies $x \in P$ or $y \in P$. The *localization of H at P* is the fraction monoid $H_P = \{x/t; x \in H, t \in H - P\}$ which comes together with the finitary ideal system r_P defined by $\{a_1/s_1, \dots, a_n/s_n\}_{r_P} = (\{a_1, \dots, a_n\}_r)_P$ for all $a_1, \dots, a_n \in H$ and $s_1, \dots, s_n \in H - P$. A *maximal r-ideal* is a maximal element of the set of proper *r-ideals* of H . Any proper *r-ideal* is contained in a maximal one and a maximal *r-ideal* is prime. A nonzero *r-ideal* I is *r-invertible* if I is *r-finite* and *r-locally principal* (i.e., $I_M = \{x/t; x \in I, t \in H - M\} = yH_M$ with $y \in I_M$ (depending on M) for all maximal *r-ideals* M). Next H is an *r-Prüfer monoid* if every nonzero *r-finite r-ideal* of H is *r-invertible*. For complete details we refer to [8].

Halter-Koch [9, Theorem 6.11] proved Bazzoni's conjecture for *r-Prüfer monoids*. We state his result and derive it from Corollary 6.

Theorem 8. (Halter-Koch) *If H is an r-Prüfer monoid for some finitary ideal system r on H , the following are equivalent.*

- (i) *Every r-locally principal r-ideal of H is r-finite.*
- (ii) *Every nonzero r-ideal of H is contained in only finitely many maximal r-ideals.*

Proof. It is well-known and easy to prove that (ii) implies (i). We prove the converse. Let L be the set of all *r-ideals* of H ordered by inclusion. As shown in [8, Chapter 8], L is a C -lattice domain under *r-ideal* multiplication $(I, J) \mapsto (IJ)_r$, join $\bigvee\{J_\alpha\} := (\bigcup J_\alpha)_r$ and meet $\bigwedge\{J_\alpha\} := \bigcap J_\alpha$. By [15, Lemma 8.1], the set L^* of compact elements in L is exactly the set of *r-finite r-ideals* of H . After this preparation it becomes clear that [(i) \Rightarrow (ii)] follows from Corollary 6 provided we prove the two claims below. Write $x \in L$ as \widehat{x} when considered as an *r-ideal* of H .

Claim 1: Every nonzero compact element of L is a CMP element.

Let $c \in L$ be a nonzero compact, in other words \widehat{c} is nonzero r -finite r -ideal. Since H is r -Prüfer, \widehat{c} is r -invertible. So c is a CMP element of L , cf. [15, Lemma 8.2].

Claim 2: Every locally compact element of L is compact.

Suppose that c is a locally compact element of L . Let m be a maximal element of L , that is, \widehat{m} is a maximal r -ideal of H . So $c_m = \bigvee \{y \in L^*; y \leq c \text{ for some } s \in L^*, s \not\leq m\}$ is compact in the lattice $L_m = \{x_m; x \in L\}$. Then $c_m = h_m$ for some $h \in L^*$. Switching to H , we have $(\widehat{c})_{\widehat{m}} = (\widehat{c}_m)_{\widehat{m}} = (\widehat{h}_m)_{\widehat{m}} = \widehat{h}_{\widehat{m}}$. Since H is an r -Prüfer monoid, $\widehat{h}_{\widehat{m}}$ is a principal $r_{\widehat{m}}$ -ideal of $H_{\widehat{m}}$, cf. [8, Theorem 12.3]. Thus \widehat{c} is an r -locally principal r -ideal. By (i), \widehat{c} is r -finite, thus c is compact in L . \square

Next we present an application for integral domains. Let D be an integral domain. The t ideal system on D is defined by $X_t = \bigcup \{Y_v; Y \subseteq X \text{ finite}\}$ for all $X \subseteq D$, where $Y_v = \bigcap \{(aD :_D b); a, b \in D, bY \subseteq aD\}$. Clearly t is finitary, so the set $Max_t(D)$ of maximal t -ideals is nonempty, see [8, Chapter 11].

The w ideal system on D is defined by $X_w = \bigcap \{XD_M; M \in Max_t(D)\}$ for all $X \subseteq D$, where XD_M is the ideal generated by X in D_M . So a w -ideal is an ideal of the ring D . For $X \subseteq D$, we have $X_w = (XD)_w$ and $X_w D_M = XD_M$ for each $M \in Max_t(D)$. Moreover, w is finitary and the set of maximal w -ideals is exactly $Max_t(D)$. A w -finite ideal has the form $((a_1, \dots, a_n)D)_w$ for some a_i 's in D . And a nonzero w -ideal is w -invertible if it is w -finite and t -locally principal (i.e., ID_M is a principal ideal of D_M for each $M \in Max_t(D)$). For details on the w ideal system we refer to [2, 5].

According to [13], D is an *almost Prüfer v -multiplication domain* (in short APVMD) if for every $a_1, \dots, a_n \in D - \{0\}$, the ideal $((a_1^k, \dots, a_n^k)D)_w$ is w -invertible for some $k \geq 1$. Say that a w -ideal I of D is *t -locally finitely generated*, if ID_M is a finitely generated ideal of D_M for each $M \in Max_t(D)$.

Chang and Hamdi [4, Theorem 2.4] proved Bazzoni's conjecture for APVMDs. We state their result and derive it from Theorem 5.

Theorem 9. (Chang and Hamdi) *For an APVMD D , the following statements are equivalent:*

- (i) *Each nonzero t -locally finitely generated w -ideal of D is w -finite.*
- (ii) *Every nonzero ideal of D is contained in only finitely many maximal t -ideals.*

Proof. It is well-known and easy to prove that (ii) implies (i), see for instance the proof of (3) \Rightarrow (1) in [4, Theorem 2.4]. We prove that (i) implies (ii). Let L be the set of all w -ideals of D ordered by inclusion. As shown in [8, Chapter 8], L is a C -lattice domain under w -ideal multiplication $(I, J) \mapsto (IJ)_w$, join $\bigvee \{J_\alpha\} := (\bigcup J_\alpha)_w$ and meet $\bigwedge \{J_\alpha\} := \bigcap J_\alpha$. By [15, Lemma 8.1], the set L^* of compact elements in L is exactly the set of w -finite ideals of D . Condition (a) of Theorem 5 holds clearly for L (any nonzero ideal contains a nonzero principal ideal). After this preparation it becomes clear that [(i) \Rightarrow (ii)] follows from Theorem 5 provided we prove the two claims below. Write $x \in L$ as \widehat{x} when considered as a w -ideal of D .

Claim 1: Every $d \in L^ - \{1\}$ has some power d^n below some proper CMP element.*

Let $d \in L^* - \{1\}$. Then $\widehat{d} = (a_1, \dots, a_k)_w$ for some elements $a_i \in \widehat{d}$. Since D is an APVMD, $(a_1^s, \dots, a_k^s)_w$ is w -invertible for some $s \geq 1$. If $(a_1^s, \dots, a_k^s)_w = \widehat{f}$ with $f \in L$, then f is a proper CMP element of L , cf. [15, Lemma 8.2]. Moreover $d^{sk} \leq f$, so Claim 1 is proved.

Claim 2: Every locally compact element of L is compact.

Suppose that c is a locally compact element of L . Let m be a maximal element of L , that is, \widehat{m} is a maximal w -ideal of D . So $c_m = \bigvee \{y \in L^*; ys \leq c \text{ for some } s \in L^*, s \not\leq m\}$ is compact in the lattice $L_m = \{x_m; x \in L\}$. Then $c_m = h_m$ for some $h \in L^*$. Hence $\widehat{h} = (b_1, \dots, b_n)_w$ for some elements $b_i \in \widehat{h}$. Switching to D , we have

$$\widehat{c}D_{\widehat{m}} = \widehat{c}_m D_{\widehat{m}} = (\widehat{h}_m)D_{\widehat{m}} = \widehat{h}D_{\widehat{m}} = (b_1, \dots, b_n)_w D_{\widehat{m}} = (b_1, \dots, b_n)D_{\widehat{m}}.$$

Thus \widehat{c} is a t -locally finitely generated ideal of D . By (i), \widehat{c} is w -finite, thus c is compact in L . \square

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