



# A Non-interactive Shuffle Argument with Low Trust Assumptions

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**Abstract.** A shuffle argument is a cryptographic primitive for proving correct behaviour of mix-networks without leaking any private information. Several recent constructions of non-interactive shuffle arguments avoid the random oracle model but require the public key to be trusted.

We augment the most efficient argument by Fauzi et al. [Asiacrypt 2017] with a distributed key generation protocol that assures soundness of the argument if at least one party in the protocol is honest and additionally provide a key verification algorithm which guarantees zero-knowledge even if all the parties are malicious. Furthermore, we simplify their construction and improve security by using weaker assumptions while retaining roughly the same level of efficiency. We also provide an implementation to the distributed key generation protocol and the shuffle argument.

**Keywords:** Subversion security · Non-interactive zero-knowledge · Shuffle · Secure multi-party computation

## 1 Introduction

Due to convenience for voters and lower election costs, internet voting (i-voting) is becoming an increasingly popular alternative to paper-based voting. In fact, some countries have already provided i-voting solutions in regional (e.g., Australia, Switzerland) or even national (e.g., Estonia) elections. While i-voting has many benefits, the opposing requirements of election transparency and voter's privacy are not easy to guarantee in the digital setting.

One common tool to improve voter's privacy is the mix-network [Cha81]. Essentially, a mix-network can be seen as a digital analogue to ballot-box shaking in paper-based voting. During the voting phase, encrypted votes are sent to a *bulletin board*, a secure append-only storage system. After the voting phase ends, the ciphertexts are processed sequentially by a mix-network consisting of multiple independent servers, called mixers. Each mixer receives the ciphertexts

from the previous mixer (or, in the case of the first mixer, from the bulletin board) and sends *shuffled* (permuted and rerandomized) ciphertext to the next mixer. Finally, only the output of the last mixer is decrypted. Assuming that at least one mixer is honest, it will be impossible to associate the decrypted votes to the voters that gave the original ciphertexts.

However, observe that a malicious mixer could easily switch out the ciphertexts and thus break the integrity of the election outcome. We can avoid such behaviour by requiring each mixer to provide a proof that the shuffling was done correctly. Additionally, to still maintain voters' privacy, this proof should not reveal any<sup>1</sup> information about the permutation or ciphertext randomizers used in the shuffle. This can be achieved with a *zero-knowledge (ZK) shuffle argument*.

Many efficient interactive arguments [FS01, TW10, Gro10, BG12] are known for shuffling, but interaction is not preferable in practice. For instance, we might want to audit elections months after it occurred, but mixers storing the private information might not be available anymore. Hence a better solution would be a non-interactive zero-knowledge (NIZK) argument, where the prover outputs a single message which can be later verified by anyone. Most interactive shuffle arguments can be made non-interactive using the Fiat-Shamir heuristic [FS87], but this only guarantees security in the random oracle model (ROM), where there are known cases in which the resulting argument is insecure [GK03, BDG+13, BBH+19].

As an alternative, the *Common Reference String (CRS)* model assumes a trusted party that samples a public string from some predefined distribution and provides it to both the prover and the verifier. In recent years several NIZK shuffle arguments have been proposed in this model [GL07, LZ13, GR16, FL16, FLZ16, FLSZ17a, FFHR19] that do not need ROM<sup>2</sup>. Arguably, the most practical proposal among these is the construction of Fauzi et al. [FLSZ17a]<sup>3</sup>, which we refer to as FLSZ throughout the text – it has comparable efficiency to interactive arguments and uses a standard ElGamal cryptosystem. However, a drawback of the CRS model is that it is unclear who should produce the CRS in practice. Sampling the CRS incorrectly, or even just leaking some side information (e.g., the simulation trapdoor), typically breaks the security of the argument. Several works have tried to alleviate this issue.

The *Bare Public Key (BPK)* model [CGGM00] requires significantly less trust than the CRS model. It removes the CRS and only requires the verifier to register a public key in a publicly accessible file before the protocol has started. A malicious verifier may choose the public key in any way she likes. However, BPK model NIZK with a standard auxiliary input ZK property can be cast as a two-round ZK protocol, which is known to be impossible [GO94]. On the positive

<sup>1</sup> Actually since the argument presented in this paper is statistically but not perfectly zero-knowledge, then it can leak information, but only with negligible probability.

<sup>2</sup> Even most of the interactive shuffle arguments require a CRS, but typically they have a less complicated structure and a uniformly random string usually suffices.

<sup>3</sup> The full version [FLSZ17a] mentions a security flaw in the conference version [FLSZ17b]. We follow the full version.

side, Wee [Wee07] has shown that BPK model NIZK is possible for a weaker non-uniform ZK. More recently, [ALSZ18] shows that NIZK with a related notion called no-auxiliary-string non-black-box ZK is also possible.

From a different perspective, Ben-Sasson et al. [BCG+15] proposed a secure multi-party computation (MPC) protocol for CRS generation to distribute trust requirements. Essentially it is a distributed key generation (DKG) protocol that is secure if at least one party is honest. However, that protocol requires the ROM and only works for CRS-s with a very specific structure. Hence, it cannot be used as a black box, say, for the FLSZ argument. Subsequently, Abdolmaleki et al. [ABL+19b], proposed a UC-secure variant of the Ben-Sasson et al.'s protocol which avoids the ROM by using a DL-extractable UC-commitment [ABL+19a].

A series of results [BFS16, ABLZ17, Fuc18] have shown that CRS-based NIZK arguments can satisfy *subversion-ZK (Sub-ZK)*, i.e., the argument's ZK property holds even if the CRS is generated by an untrusted party. In particular, it has been shown [ABLZ17, Fuc18] that many existing *succinct non-interactive arguments of knowledge (SNARKs)* can be enhanced with a CRS verification algorithm CV, such that if  $\text{CV}(\text{crs})$  accepts, then the proof will not leak any (non-negligible) information. So far, there is no general transformation which would give Sub-ZK property to any NIZK argument, and each new argument needs to be studied separately. Finally, recent work by Abdolmaleki et al. [ALSZ18] establishes a straightforward connection between Sub-ZK NIZK in the CRS model and BPK NIZK. Namely, a Sub-ZK NIZK can be transformed into a BPK NIZK (with non-auxiliary-input non-black-box ZK) where the verifier uses the CRS as her public key. This is also the direction we take in this paper as the BPK model is a more established and better-understood notion.

*Our Contribution.* We propose a new shuffle argument that we call a *transparent FLSZ* (denoted tFLSZ) which builds upon the result of [FLSZ17a] by significantly reducing the trust requirements, using weaker security assumptions, and also having a somewhat less complex structure.

FLSZ contains four subarguments: (i) a unit vector argument for showing that a committed message is a unit vector, i.e., a binary vector with exactly one 1, (ii) a permutation matrix argument for showing that  $n$  committed vectors form a permutation matrix, (iii) a same-message argument for showing that two committed vectors are equal, and (iv) a consistency argument for showing that the ciphertexts are shuffled according to the committed permutation matrix. However, in their case (i) the unit vector argument is not sound unless one also provides a related same-message argument and (ii) the consistency argument is only culpably sound, that is, soundness only holds against adversaries that can provide a witness of their cheating.

In tFLSZ, we combine the unit vector argument and the same-message argument into a new unit vector argument and prove its knowledge-soundness in the *algebraic group model (AGM)* [FKL18] which is a weaker model compared to the generic bilinear group model (GBGM) used in [FLSZ17a]. Roughly speaking, in the GBGM an adversary is only allowed to perform group operations using an

oracle which hides the actual structure of the group elements. On the other hand, the AGM allows the adversary to freely use the actual representation of elements in the group. Therefore security proofs in the AGM are usually reductions to some known assumption rather than unconditional proofs as in the GBGM. We show that knowledge-soundness of our new unit vector argument can be reduced to a quite standard  $q$ -type assumption in the algebraic group model.

The permutation argument is proven knowledge-sound assuming that the commitment scheme is binding and the unit vector argument is knowledge-sound. This again is a much weaker assumption compared to [FLSZ17a], where the authors prove a similar result but in the GBGM. Finally, we skip the consistency argument altogether, and directly prove that the shuffle argument is sound given that the permutation argument is knowledge-sound and that a variant of the *Kernel Matrix Diffie-Hellman (KerMDH)* assumption holds. We call this variant GapKerMDH and prove that in the AGM, it also reduces to the previously mentioned  $q$ -type assumption. The GapKerMDH assumption is weaker compared to the auxiliary-input KerMDH assumption used in [FLSZ17a] for their consistency argument. Interestingly, after simplifying the structure, the unit vector argument is the only subargument which depends on the AGM; the rest of the protocol is based on falsifiable assumptions [Nao03], i.e., assumptions where a challenger can efficiently verify that an adversary breaks the assumption (e.g., in the discrete logarithm assumption the challenger sends  $g^x$ , the adversary responds with  $x'$ , and the challenger checks if  $x = x'$ ). Falsifiable assumptions are much better understood and thus usually preferred over non-falsifiable assumptions such as knowledge assumptions [Dam92].

Secondly (and perhaps more importantly), we apply the efficient DKG protocol of Abdolmaleki et al. [ABL+19b] which takes us from a setting of completely trusting the setup generator to a setting where we need to trust only one out of  $k$  parties in DKG. The modification, however, turns out to be non-trivial. We start by observing that the CRS of FLSZ is outside of the class of *verification-friendly* CRS-s that the DKG protocol can generate. Hence, in addition to simplifying the structure of FLSZ we also modify the CRS and make it verification-friendly, which mostly involves adding some well-chosen elements to the CRS. These additional elements are not needed for the honest prover or verifier but are available to dishonest parties. Therefore, after the DKG protocol finishes, these new CRS elements can be stored somewhere (in case someone wants to verify them in the future) and the effective CRS size (i.e., the size of the CRS used in the actual computation) does not change at all. If there is no need for transcript verification in the future, these additional elements can be safely disregarded after the computations are done. Hence, the CRS size in practice stays the same, but the security proofs must now consider a more powerful adversary.

As mentioned, the DKG protocol guarantees security (soundness and zero-knowledge) if at least one honest party participated. We take it one step further and prove that the protocol is also secure in the BPK model, following the ideas of [ALSZ18]. Namely, we construct a public key verification algorithm  $V_{pk}$  that the prover runs before outputting an argument. If  $V_{pk}$  is satisfied, then

zero-knowledge holds even if the public key was generated by a single malicious party, or equivalently, if all of the parties in the DKG protocol colluded. However, if  $V_{pk}$  rejects the key, then the prover simply declines to output anything.

In Table 1 we compare efficiency and assumptions of the state-of-the-art non-interactive shuffle arguments. The argument by Groth [Gro10] has the best efficiency, but requires ROM and a trusted random string<sup>4</sup>. It is also worth to mention the argument by Bayer and Groth [BG12] which has sublinear communication but otherwise has the same drawbacks as [Gro10]. The argument of González and Ràlfols [GR16] (and the slight improvement in [DGP+19]) is based solely on falsifiable assumptions, but requires a quadratic size CRS which is not efficient enough for many applications. Similarly, Faonio et al. [FFHR19] use falsifiable assumptions but require pairings for all operations, making it inefficient. The Fauzi et al. [FLSZ17a] construction can be seen as a compromise between [Gro10] and [GR16]: efficiency is only slightly worse than [Gro10], does not require ROM, but some subarguments are proven in the GBGM. Our work retains almost the same efficiency as [FLSZ17a] by only adding  $n$  group elements to the CRS (we do not count elements solely needed by the DKG), but we make a significant reduction in the trust requirements for the setup phase and also prove security under weaker assumptions.

In summary, our new NIZK shuffle argument has the following properties:

1. Soundness holds assuming at least one honest party participated in the distributed key generation protocol and zero-knowledge holds even if all the parties were malicious.
2. Compared to the most-efficient shuffle argument without ROM [FLSZ17a]:
  - (a) We simplify the structure of the argument.
  - (b) We improve the security assumptions and isolate the unit vector argument as the only subargument which requires AGM.
  - (c) The efficiency of the argument remains essentially the same.

Additionally, we implement our solution in Python 3.5+. See Sect. 7 for details.

## 2 Preliminaries

Let  $\lambda$  denote the security parameter. We write  $f(\lambda) \approx_\lambda 0$ , if a function  $f$  is negligible in  $\lambda$ . PPT stands for probabilistic polynomial time. We write  $(a, b) \leftarrow (\mathcal{A} \parallel \text{Ext})(x)$  if algorithms  $\mathcal{A}$  and  $\text{Ext}$  on the same input  $x$  and random tape  $r$  output  $a \leftarrow \mathcal{A}(x; r)$  and  $b \leftarrow \text{Ext}(x; r)$ . By  $\text{RND}(\mathcal{A})$  we denote the random tape of  $\mathcal{A}$  and by  $\text{Range}(\mathcal{A}(x))$  the set of all possible outputs of  $\mathcal{A}$  given input  $x$ .

We write  $x \leftarrow_s A$  if  $x$  is sampled uniformly randomly from the set  $A$ . By default  $\mathbf{x} = (x_i)_{i=1}^n \in A^n$  is a column vector and  $\mathbf{1}_n := (1)_{i=1}^n$ ,  $\mathbf{0}_n := (0)_{i=1}^n$ . A set of permutations on  $n$  elements is denoted by  $\mathbb{S}_n$ . A matrix  $\mathbf{A} \in \{0, 1\}^{n \times n}$  is

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<sup>4</sup> Namely, [Gro10] requires a commitment key for the extended Pedersen commitment which could be obtained from a uniformly random string.

**Table 1.** Comparison of state-of-the-art shuffles. Exp. stands for exponentiations, pair. for pairings,  $n$  is the number of input ciphertexts and  $m$  is the number of mixers. Constant terms are neglected, shuffling is included to prover’s efficiency, and shuffled ciphertexts are included to proof size.

	Prover efficiency	Verifier efficiency	Decryption efficiency	Proof size	CRS size	Reference string	Assumptions
[Gro10]	$8n$ exp.	$6n$ exp.	$n$ exp.	$3n \times \mathbb{Z}_p,$ $2n \times \mathbb{G}$	$n \times \mathbb{G}$	Uniform	ROM, DDH
[GR16]	$13n$ exp.	$13n$ pair.	$n$ exp.	$4n \times \mathbb{G}_1,$ $2n \times \mathbb{G}_2$	$(n^2 + 24n) \times \mathbb{G}_1, 23n \times \mathbb{G}_2$	Structured	Falsifiable
[FLSZ17a]	$11n$ exp.	$7n$ exp., $3n$ pair.	$n$ exp.	$4n \times \mathbb{G}_1,$ $3n \times \mathbb{G}_2$	$4n \times \mathbb{G}_1,$ $n \times \mathbb{G}_2$	Structured	GBGM
[FFHR19]	$72n$ exp., $5n$ pair.	$22n$ pair.	$2n$ exp., $46n$ pair.	$12n \times \mathbb{G}_1,$ $11n \times \mathbb{G}_2,$ $4n \times \mathbb{G}_T$	$2m \times \mathbb{G}_1,$ $2m \times \mathbb{G}_2$	Uniform	Falsifiable
This work	$11n$ exp.	$7n$ exp., $3n$ pair.	$n$ exp.	$4n \times \mathbb{G}_1,$ $3n \times \mathbb{G}_2$	$5n \times \mathbb{G}_1,$ $n \times \mathbb{G}_2$	Verifiable	AGM

a permutation matrix of the permutation  $\sigma \in \mathbb{S}_n$  when  $A_{i,j} = 1$  iff  $\sigma(i) = j$ . We call  $\mathbf{a}$  a unit vector if it contains exactly one 1 and all other positions are 0. Let  $\mathbb{F}_p$  be a finite field of prime order  $p$  and  $\mathbb{F}_p^* := \mathbb{F}_p \setminus \{1\}$ . For vectors  $\mathbf{x}, \mathbf{y} \in \mathbb{F}_p^n$ ,  $\mathbf{x} \circ \mathbf{y}$  denotes the entry-wise product. We use the bracket notation where  $[x]$  denotes the group element with discrete logarithm  $x$ . We consider additive groups, thus  $[a] + [b] = [a + b]$ . For integers  $a < b$  we denote  $[a..b] := \{a, a + 1, \dots, b\}$ .

*Bilinear Pairing.* A bilinear group generator  $\text{BGen}(1^\lambda)$  outputs a tuple  $(p, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, \mathcal{P}_1, \mathcal{P}_2, \bullet)$  such that (i)  $p$  is a prime of length  $\Theta(\lambda)$ , (ii) for  $k \in \{1, 2\}$ ,  $\mathbb{G}_k$  is an additive group of order  $p$  with a generator  $\mathcal{P}_k$ , and (iii)  $\bullet$  is a map  $\mathbb{G}_1 \times \mathbb{G}_2 \rightarrow \mathbb{G}_T$ . We set  $\mathcal{P}_T := \mathcal{P}_1 \bullet \mathcal{P}_2$  and use the bracket notation by defining  $[a]_k := a \cdot \mathcal{P}_k$ , for  $k \in \{1, 2, T\}$ . We require that

- $[a]_1 \bullet [b]_2 = [ab]_T$  for all  $a, b \in \mathbb{F}_p$  (*bilinearity*),
- $\mathcal{P}_T \neq [0]_T$  (*non-degeneracy*), and
- $\bullet$  is efficiently computable.

In the following we use *asymmetric* bilinear groups where there is no efficiently computable isomorphism between  $\mathbb{G}_1$  and  $\mathbb{G}_2$ . For the state of the art in pairing constructions see [BD17].

Bracket notation extends naturally to matrices and vectors, e.g., we may write  $[\mathbf{A}]_1 \bullet [\mathbf{B}]_2 = [\mathbf{I}]_1 \bullet (\mathbf{A}[\mathbf{B}]_2) = [\mathbf{I}]_1 \bullet [\mathbf{AB}]_2$  for  $\mathbf{A} \in \mathbb{F}_p^{n \times m}$ ,  $\mathbf{B} \in \mathbb{F}_p^{m \times k}$ , and identity matrix  $\mathbf{I} \in \mathbb{F}_p^{n \times n}$ . Occasionally we write  $[a]_z$  for  $z \in \{1, 2\}$  and use  $\bar{z} := 3 - z$  to denote the number of the other non-target group. Then  $[a]_z \bullet [b]_{\bar{z}}$  would mean  $[a]_1 \bullet [b]_2$  for  $z = 1$  and  $[b]_1 \bullet [a]_2$  for  $z = 2$ .

*Lagrange Basis.* Let  $\omega_1, \dots, \omega_{n+1}$  be distinct points in  $\mathbb{F}_p$ . For  $i \in [1..n+1]$ , the  $i$ -th Lagrange basis polynomial is defined as  $\ell_i(X) := \prod_{j \neq i} \frac{X - \omega_j}{\omega_i - \omega_j}$ . Hence, it is the unique degree  $n$  polynomial such that  $\ell_i(\omega_i) = 1$  and  $\ell_i(\omega_j) = 0$  for all  $j \neq i$ . As the name suggests,  $\{\ell_i(X)\}_{i=1}^{n+1}$  is a basis for  $\{f \in \mathbb{F}_p[X] : \deg(f) \leq n\}$ .

*Encryption Scheme.* A public key encryption scheme is a triple of PPT algorithms (KGen, Enc, Dec) such that

- KGen( $1^\lambda$ ) outputs a public key and a secret key pair  $(pk_e, sk_e)$ .
- Enc $_{pk_e}(m; r)$  outputs a ciphertext  $c$  encrypting the message  $m$  with randomness  $r$  under the public key  $pk_e$ .
- Dec $_{sk_e}(c)$  outputs the decryption of the ciphertext  $c$  using the secret key  $sk_e$ .

We require that Dec $_{sk_e}(Enc_{pk_e}(m; r)) = m$  for every message  $m$  and randomizer  $r$ . Intuitively, an encryption scheme is *IND-CPA*-secure if no PPT adversary  $\mathcal{A}$  can distinguish between the ciphertext distributions of any two messages.

We use the ElGamal encryption scheme over a group  $\mathbb{G}_2$  defined as follows. The algorithm KGen( $1^\lambda$ ) samples  $sk_e \leftarrow_s \mathbb{F}_p$  and outputs  $(pk_e := [1, sk_e]_2, sk_e)$ . An encryption of a message  $[m]_2$  is Enc $_{pk_e}([m]_2; r) := [0, m]_2 + r \cdot pk_e$  where  $r \leftarrow_s \mathbb{F}_p$ . A ciphertext  $[c]_2 = [c_1, c_2]_2$  is decrypted by computing Dec $_{sk_e}([c]_2) := [c_2]_2 - sk_e \cdot [c_1]_2$ . ElGamal is *IND-CPA*-secure if the DDH assumption holds in group  $\mathbb{G}_2$ . ElGamal is also *blindable*, meaning that Enc $_{pk_e}([m]; r) + Enc_{pk_e}([0], r') = Enc_{pk_e}([m], r+r')$  and, assuming that  $r' \leftarrow_s \mathbb{F}_p$ , no PPT adversary can distinguish if Enc $_{pk_e}([m]; r)$  and Enc $_{pk_e}([m]; r+r')$  encrypt the same message or not.

*Non-interactive Zero-Knowledge.* Let  $\mathcal{R} = \{(x, w)\}$  be a relation such that  $\mathcal{L}_{\mathcal{R}} = \{x : \exists w (x, w) \in \mathcal{R}\}$  is an NP language where  $w$  is a witness for  $x$ . Following [ALSZ18], we define a NIZK argument in the BPK model as follows.

A *NIZK argument  $\Psi$  in the BPK model for relation  $\mathcal{R}$*  is a tuple efficient algorithms (Pgen, K $_{td}$ , K $_{pk}$ , V $_{pk}$ , P, V, Sim), where

- Pgen( $1^\lambda$ ) is a deterministic algorithm that outputs a setup parameter  $gk$ .
- K $_{td}(gk)$  is a PPT algorithm that on input  $gk$  outputs a trapdoor  $td$ .
- K $_{pk}(gk, td)$  is a deterministic algorithm that on input  $gk$  and  $td \in \text{Range}(K_{td}(gk))$  outputs a public key  $pk$ .
- V $_{pk}(gk, pk)$  is a PPT algorithm that on input  $gk$  and a public key  $pk$  outputs 0 (if the key is malformed) or 1 (if the key is well-formed).
- P( $gk, pk, x, w$ ) is a PPT algorithm that given a setup parameter  $gk$ , public key  $pk$ , and  $(x, w) \in \mathcal{R}$ , outputs an argument  $\pi$ .
- V( $gk, pk, x, \pi$ ) is a PPT algorithm that on input a setup parameter  $gk$ , public key  $pk$ , statement  $x$ , and argument  $\pi$ , outputs 0 (reject) or 1 (accept).
- Sim( $gk, pk, td, x$ ) is a PPT algorithm that on input a setup parameter  $gk$ , public key  $pk$ , trapdoor  $td$ , and  $x \in \mathcal{L}_{\mathcal{R}}$  outputs a simulated argument  $\pi$ .

For the sake of brevity, we sometimes use the algorithm  $K(gk) := K_{pk}(gk, K_{td}(gk))$ . By a *NIZK argument in the CRS model* we mean a tuple (Pgen, K $_{td}$ , K $_{pk}$ , P, V, Sim) of the above algorithms (i.e., all except V $_{pk}$ ).

Completeness simply requires that an honestly generated key and argument are respectively accepted by V $_{pk}$  and V. We give the definition for the BPK model. The definition for the CRS model neglects the condition V $_{pk}(gk, pk) = 1$ .

**Definition 1.** *The argument  $\Psi$  in BPK model is perfectly complete if for all  $\lambda$ , and  $(x, w) \in \mathcal{R}$ , the following probability is 1,*

$$\Pr \left[ \text{gk} \leftarrow \text{Pgen}(1^\lambda), \text{pk} \leftarrow \text{K}(\text{gk}) : \text{V}_{\text{pk}}(\text{gk}, \text{pk}) = 1 \wedge \text{V}(\text{gk}, \text{pk}, x, \text{P}(\text{gk}, \text{pk}, x, w)) = 1 \right].$$

Soundness guarantees that a malicious prover cannot create a valid argument for a false statement. The definitions match in the BPK model and the CRS model.

**Definition 2.** *The argument  $\Psi$  is sound if for any PPT adversary  $\mathcal{A}$ ,*

$$\Pr \left[ \begin{array}{l} \text{gk} \leftarrow \text{Pgen}(1^\lambda), (\text{pk}, \text{td}) \leftarrow \text{K}(\text{gk}), (x, \pi) \leftarrow \mathcal{A}(\text{gk}, \text{pk}) : \\ x \notin \mathcal{L}_{\mathcal{R}} \wedge \text{V}(\text{gk}, \text{pk}, x, \pi) = 1 \end{array} \right] \approx_\lambda 0.$$

Knowledge-soundness strengthens the previous definition by requiring that the prover “knows” the witness, i.e., there exists an extractor that outputs the witness given the code and random coins of the adversary.

**Definition 3.** *The argument  $\Psi$  is knowledge-sound if for any PPT adversary  $\mathcal{A}$ , there exists a PPT extractor  $\text{Ext}$ , such that*

$$\Pr \left[ \begin{array}{l} \text{gk} \leftarrow \text{Pgen}(1^\lambda), (\text{pk}, \text{td}) \leftarrow \text{K}(\text{gk}), ((x, \pi), w) \leftarrow (\mathcal{A} \parallel \text{Ext})(\text{gk}, \text{pk}) : \\ (x, w) \notin \mathcal{R} \wedge \text{V}(\text{gk}, \text{pk}, x, \pi) = 1 \end{array} \right] \approx_\lambda 0.$$

Lastly, zero-knowledge guarantees that the argument leaks no information besides that  $x \in \mathcal{L}_{\mathcal{R}}$  by giving an algorithm  $\text{Sim}$  which, given a trapdoor, can create a valid argument for any  $x \in \mathcal{L}_{\mathcal{R}}$  without knowing the corresponding witness.

**Definition 4.** *An argument  $\Psi$  in the CRS model is statistically zero-knowledge, if for any adversary  $\mathcal{A}$ , and any  $(x, w) \in \mathcal{R}$ ,  $\varepsilon_0 \approx_\lambda \varepsilon_1$ , where*

$$\varepsilon_b := \Pr \left[ \begin{array}{l} \text{gk} \leftarrow \text{Pgen}(1^\lambda), (\text{crs}, \text{td}) \leftarrow \text{K}(\text{gk}), \text{if } b = 0 \text{ then } \pi \leftarrow \text{P}(\text{gk}, \text{crs}, x, w) \\ \text{else } \pi \leftarrow \text{Sim}(\text{gk}, \text{crs}, \text{td}, x) \text{ fi} : \mathcal{A}(\text{gk}, \text{crs}, \pi) = 1 \end{array} \right].$$

*We say that  $\Psi$  is perfectly zero-knowledge if  $\varepsilon_0 = \varepsilon_1$ .*

In the BPK model, we use the *no-auxiliary-string non-black-box zero-knowledge* definition of [ALSZ18] (as mentioned, NIZK is impossible with the standard BPK ZK definition). Essentially the prover first runs a public key verification algorithm  $\text{V}_{\text{pk}}$  to check well-formedness of the key  $\text{pk}$  and only then outputs a proof. Compared to the previous definition, we require that there exists an extractor that extracts a trapdoor for any well-formed  $\text{pk}$  given access to adversary’s random coins. Intuitively this guarantees that the key generator knows the trapdoor and thus could generate the proof himself using the simulator.



**Definition 5** ([ALSZ18]). *The argument  $\Psi$  in the BPK model is statistically no-auxiliary-string non-black-box zero-knowledge (nn-ZK), if for any PPT subverter  $\mathsf{X}$  there exists a PPT extractor  $\text{Ext}_{\mathsf{X}}$ , s.t., for any (stateful) adversary  $\mathcal{A}$ ,  $\varepsilon_0 \approx_{\lambda} \varepsilon_1$ , where*

$$\varepsilon_b := \Pr \left[ \begin{array}{l} \mathbf{gk} \leftarrow \text{Pgen}(1^\lambda), (\mathbf{pk}, \text{aux}_{\mathsf{X}} \parallel \text{td}) \leftarrow (\mathsf{X} \parallel \text{Ext}_{\mathsf{X}})(\mathbf{gk}), (\mathbf{x}, \mathbf{w}) \leftarrow \mathcal{A}(\text{aux}_{\mathsf{X}}), \\ \text{if } b = 0 \text{ then } \pi \leftarrow \text{P}(\mathbf{gk}, \mathbf{pk}, \mathbf{x}, \mathbf{w}) \text{ else } \pi \leftarrow \text{Sim}(\mathbf{gk}, \mathbf{pk}, \text{td}, \mathbf{x}) \text{ fi} : \\ (\mathbf{x}, \mathbf{w}) \in \mathcal{R} \wedge \forall \mathbf{pk}(\mathbf{gk}, \mathbf{pk}) = 1 \wedge \mathcal{A}(\pi) = 1 \end{array} \right].$$

Here  $\text{aux}_{\mathsf{X}}$  is whatever information  $\mathsf{X}$  wishes to send to  $\mathcal{A}$ .

*Assumptions.* In AGM reductions we use  $q$ -PDL, a  $q$ -type version of discrete logarithm assumption. We also require the KerMDH computational assumption, and the BDH-KE knowledge assumption. The definitions are as follows.

**Definition 6** ( $q$ -PDL [Lip12]). *The  $q$ -Power Discrete Logarithm assumption holds for BGen if for any PPT  $\mathcal{A}$ ,*

$$\Pr[\mathbf{gk} \leftarrow \text{BGen}(1^\lambda), z \leftarrow_{\$} \mathbb{Z}_p, z' \leftarrow \mathcal{A}(\mathbf{gk}, [(z^i)_{i=1}^q]_1, [(z^i)_{i=1}^q]_2) : z = z'] \approx_{\lambda} 0.$$

**Definition 7** (KerMDH [MRV16]). *Let  $\mathcal{D}_{\ell, k}$  be a distribution over  $\mathbb{F}_p^{\ell \times k}$ . The  $\mathcal{D}_{\ell, k}$ -KerMDH assumption holds for BGen and  $z \in \{1, 2\}$  if for any PPT  $\mathcal{A}$ ,*

$$\Pr[\mathbf{gk} \leftarrow \text{BGen}(1^\lambda), \mathbf{M} \leftarrow_{\$} \mathcal{D}_{\ell, k}, [\mathbf{c}]_{\bar{z}} \leftarrow \mathcal{A}(\mathbf{gk}, [\mathbf{M}]_z) : \mathbf{c} \neq \mathbf{0} \wedge \mathbf{c}^\top \mathbf{M} = \mathbf{0}] \approx_{\lambda} 0.$$

**Definition 8** (BDH-KE [ABLZ17]). *We say that BGen is BDH-KE secure if for any PPT adversary  $\mathcal{A}$  there exists a PPT extractor  $\text{Ext}_{\mathcal{A}}$ , such that*

$$\Pr[\mathbf{gk} \leftarrow \text{BGen}(1^\lambda), ([\alpha]_1, [\alpha']_2 \parallel \beta) \leftarrow (\mathcal{A} \parallel \text{Ext}_{\mathcal{A}})(\mathbf{gk}) : \alpha = \alpha' \wedge \beta \neq \alpha] \approx_{\lambda} 0.$$

*Commitment Scheme.* A commitment scheme is a tuple of efficient algorithms  $(\text{KGen}, \text{Com})$  such that

- $\text{KGen}(1^\lambda)$  outputs a commitment key  $\text{ck}$ .
- $\text{Com}_{\text{ck}}(m; r)$  outputs a commitment  $c$  given a message  $m$  and randomness  $r$ .

Typically a commitment scheme should satisfy at least the following properties. (i) (*perfectly*) *hiding*: the distribution  $\text{Com}_{\text{ck}}(m; r)$  (over  $r \leftarrow_{\$} \mathbb{F}_p$ ) is the same for any message  $m$ ; (ii) (*computationally*) *binding*: it is infeasible for an adversary to find  $(m_1, r_1)$  and  $(m_2, r_2)$  s.t.  $\text{Com}_{\text{ck}}(m_1; r_1) = \text{Com}_{\text{ck}}(m_2; r_2)$  and  $m_1 \neq m_2$ .

*Polynomial Commitment Scheme.* For polynomials  $\{T_i(X_1, \dots, X_k)\}_{i=1}^{n+1} \in \mathbb{F}_p[X_1, \dots, X_k]$  we define a  $(T_i)_{i=1}^{n+1}$ -commitment scheme as follows:

- $\text{KGen}(1^\lambda)$  picks  $\chi \leftarrow_{\$} \mathbb{F}_p^k$  and returns a commitment key  $\text{ck} \leftarrow [(T_i(\chi))_{i=1}^{n+1}]_z$ .
- $\text{Com}_{\text{ck}}((a_1, \dots, a_n); r)$  returns a commitment  $\sum_{i=1}^n a_i [T_i(\chi)]_1 + r [T_{n+1}(\chi)]_1$ .

Clearly, this commitment is perfectly hiding when  $r \leftarrow_{\$} \mathbb{F}_p$  and  $T_{n+1}(\chi) \neq 0$ . If  $\{T_i\}_{i=1}^{n+1}$  is a linearly independent set, it is also computationally binding under a suitably chosen KerMDH assumption, cf. [FLSZ17a, Theorem 1].

*DL-Extractable Commitment Scheme.* The DKG protocol of [ABL+19b] requires a UC-secure *Discrete Logarithm Extractable* (DL-extractable) commitment scheme as defined in [ABL+19a]. In DL-extractable commitments the messages are field elements  $x$ , but commitments can be opened to  $[x]_z$  thus still leaving  $x$  itself private. However, since in the UC-model committing to  $x$  is equivalent to giving it to an ideal functionality, then the committer knows  $x$ , i.e., the discrete logarithm  $x$  can be extracted from the commitment given a secret key. For a formal definition and a construction, see [ABL+19a].

*Algebraic Group Model.* Recently Fuchsbauer et al. [FKL18] introduced the algebraic group model (AGM) that lies between the standard and the generic group model. In the AGM, an adversary  $\mathcal{A}$  that returns a group element  $[x]_z$  is required to provide a linear representation of  $[x]_z$  relative to all previously received group elements. That is, if  $\mathcal{A}$  received as input group elements  $[y]_z$  then she must submit along with  $[x]_k$  a representation  $\mathbf{z}$  such that  $[x]_z = \mathbf{z}^T [y]_z$ . Using techniques similar to [FKL18, Theorem 7.2] we prove knowledge-soundness of the unit vector argument under the PDL assumption in the AGM.

### 2.1 FLSZ Shuffle Argument

We give a brief overview of the FLSZ shuffle argument for the shuffle relation

$$\mathcal{R}_n^{sh} := \left\{ \left( (\mathbf{gk}, \mathbf{pk}_e, [(\mathbf{c}'_i)_{i=1}^n]_2, [(\mathbf{c}_i)_{i=1}^n]_2), (\sigma, \mathbf{t}) \mid \sigma \in \mathbb{S}_n \wedge \mathbf{t} \in \mathbb{F}_p^n \wedge \right. \right. \\ \left. \left. (\forall i \in [1..n] : [\mathbf{c}'_i]_2 = [\mathbf{c}_{\sigma(i)}]_2 + \text{Enc}_{\mathbf{pk}_e}([0]_2; t_i)) \right) \right\}.$$

They use a  $((P_i(X))_{i=1}^n, X_0)$ -commitment scheme to commit to columns of a permutation matrix, where  $P_i(X) := 2\ell_i(X) + \ell_{n+1}(X)$  for  $i \in [1..n]$ .

**Lemma 1.** *Let  $P_0(X) := \ell_{n+1}(X) - 1$  and  $Q_i(X) := (P_i(X) + P_0(X))^2 - 1$  for  $i \in [1..n]$ . If  $(\sum_{i=1}^n a_i P_i(X) + P_0(X))^2 - 1 \in \text{Span}\{Q_i(X)\}_{i=1}^n$  and  $n < p - 1$ , then  $(a_1, \dots, a_n)$  is a unit vector.*

*Proof.* Denote  $T(X) := (\sum_{i=1}^n a_i P_i(X) + P_0(X))^2 - 1$ . Firstly, observe that for  $j \in [0..n]$ ,  $T(\omega_j) = (\sum_{i=1}^n a_i P_i(\omega_j) + P_0(\omega_j))^2 - 1 = (\sum_{i=1}^n a_i (2\ell_i(\omega_j) + \ell_{n+1}(\omega_j)) + \ell_{n+1}(\omega_j) - 1)^2 - 1 = (2a_j - 1)^2 - 1 = 4a_j(a_j - 1)$ . On the other hand,  $Q_i(\omega_j) = (P_i(\omega_j) + P_0(\omega_j))^2 - 1 = 0$  for  $j \in [1..n]$ . Therefore,  $T(X) \in \text{Span}\{Q_i(X)\}_{i=1}^n$  implies that  $T(\omega_j) = 0$ . Hence  $a_j \in \{0, 1\}$  for  $j \in [1..n]$ .

Finally,  $T(\omega_{n+1}) = (\sum_{i=1}^n a_i (2 \cdot 0 + 1) + 1 - 1)^2 - 1 = (\sum_{i=1}^n a_i)^2 - 1$ . Similarly as before,  $Q_i(\omega_{n+1}) = 0$  so  $T(\omega_{n+1}) = 0$ . Therefore,  $(\sum_{i=1}^n a_i)^2 - 1 = (\sum_{i=1}^n a_i - 1)(\sum_{i=1}^n a_i + 1) = 0$ . Since  $\sum_{i=1}^n a_i = n < p - 1$  we must have  $\sum_{i=1}^n a_i = 1$ , so exactly one  $a_j$  is 1 and all others are 0. Hence  $(a_1, \dots, a_n)$  is a unit vector.  $\square$

Given the above property, they propose a *unit vector argument* to show that the prover could open each commitment to a unit vector. They then enhance it to a *permutation matrix argument* by observing that  $n$  unit vectors form a permutation matrix exactly when their sum is  $\mathbf{1}_n$ . Next, they would like to show that the

committed permutation matrix was used to shuffle the ciphertexts. However, due to some technical challenges, they are unable to use the same commitment key. Instead, they commit once more to the columns of the permutation matrix, but this time with a  $((\hat{P}_i(X))_{i=1}^n, X_{\hat{\rho}})$ -commitment where  $\hat{P}_i(X) := X^{(i+1)(n+1)}$  for  $i \in [1..n]$ . They propose a *same-message argument* to show that both types of commitments can be opened to the same matrix. Finally, a *consistency argument* proves that the committed permutation was used to shuffle the ciphertexts.

The unit vector argument, the permutation matrix argument, and the same-message argument are proven to be knowledge-sound in the GBGM. However, the soundness of the unit vector argument depends on the soundness of the same-message argument. The consistency argument is culpably sound<sup>5</sup> under an application specific variation of the KerMDH assumption. The shuffle argument itself is sound assuming that other arguments are secure and assuming that commitments are binding. The shuffle argument has perfect zero-knowledge.

### 3 Distributed Key Generation Protocol

We apply the UC-secure DKG protocol of Abdolmaleki et al. [ABL+19b] in the public key generation of our shuffle argument. This protocol avoids the random oracle model (unlike, e.g., [BCG+15]) and due to UC-security it will not affect the soundness or zero-knowledge properties of the argument. Of course, any general MPC protocol can be used as a DKG, but since we potentially require a large number of parties (e.g., mixers in the mix-network) and since evaluated circuits can have a large multiplicative depth, specialized protocols will perform much better. See [BCG+15] for further discussion on efficiency difference.

#### 3.1 Verification-Friendly Public Key

Although the DKG protocols of [BCG+15] and [ABL+19b] are efficient, they are not general MPC protocols and can only generate certain kinds of keys. Namely, they require key computation to be represented as a circuit that comes from a special class ( $\mathcal{C}^S$ , described below) and is evaluated on uniformly random field inputs. Fortunately, the protocols are still sufficient for generating public keys for many pairing-based arguments or, as we will later show, slightly modified versions. Compared to [ABL+19b] we give a more direct, but equivalent, description of such keys which we call *verification-friendly*. Intuitively, a verification-friendly public key means that even if one doesn't trust the parties generating the public key, one can at least ensure that it is of the correct structure.

We say that an argument  $\Psi$  has a *verification-friendly public key* if (i) output  $\text{td} = (\chi_i)_{i=1}^n$  of  $\text{K}_{\text{td}}(\text{gk})$  is distributed uniformly randomly over  $(\mathbb{F}_p^*)^n$ , and (ii)  $\text{K}_{\text{pk}}(\text{gk}, \text{td}) = \text{C}(\text{td})$  where  $\text{C}$  is a circuit from a class  $\mathcal{C}_{\text{gk},n}^S$ . Any circuit  $\text{C} \in \mathcal{C}_{\text{gk},n}^S$  takes as input  $\text{td} = (\chi_i)_{i=1}^n \in (\mathbb{F}_p^*)^n$  and contains two types of gates:

<sup>5</sup> Culpable soundness is a weaker form of soundness where an adversary additionally provides a witness of his cheating.

- *multiplication-division (multdiv)* gate  $\text{MD}_{\chi_i, \chi_j}([x]_z)$  outputs  $[(\chi_i/\chi_j)x]_z$ , where  $z \in \{1, 2\}$  and  $[x]_z$  is a gate input.
- *linear combination (lincomb)* gate  $\text{LC}_{\mathbf{c}}([y]_z)$  outputs  $[\sum_{i=1}^t c_i y_i]_z$ , where  $z \in \{1, 2\}$ ,  $\mathbf{c} \in \mathbb{F}_p^t$  is a constant, and  $[y]_z \in \mathbb{G}_z^t$  is a gate input.

Gates in the circuit  $\mathbf{C}$  are partitioned into interleaved layers  $C_1, L_1, \dots, C_d, L_d$  where each  $C_i$  contains only multdiv gates and  $L_i$  contains only lincomb gates. Furthermore,  $\mathbf{C}$  satisfies the following conditions:

1. Inputs of gates in  $C_i$  or  $L_i$  can be either constants or outputs of the gates on the current or lower layers of the circuit.
2. The output of each gate is part of the output of the circuit  $\mathbf{C}$ .
3. Layer  $C_1$  always contains gates  $\text{MD}_{\chi_i, 1}([1]_z)$  for all  $i \in [1..n]$ ,  $z \in \{1, 2\}$ . Therefore,  $[(\chi_i)_{i=1}^n]_1$  and  $[(\chi_i)_{i=1}^n]_2$  are always outputs of the circuit.

### 3.2 DKG Protocol for Verification-Friendly Keys

We describe the DKG protocol of [ABL+19b] where the parties collectively evaluate a  $\mathbb{C}_{\text{gk}, n}^S$ -circuit to generate a verification-friendly public key. The protocol retains soundness and zero-knowledge of the argument given that at least one party in the protocol is honest and malicious parties are non-halting. We note that with a suitable key verification algorithm it is possible to achieve zero-knowledge even if all the parties are malicious.

Let  $\mathcal{P}_1, \dots, \mathcal{P}_k$  be the parties running the DKG protocol. Each party  $\mathcal{P}_r$  samples shares  $(\chi_{j,r})_{j=1}^n \leftarrow_{\$} (\mathbb{F}_p^*)^n$  which allows us to define trapdoor elements as  $\chi_j := \prod_{r=1}^k \chi_{j,r}$  for  $j \in [1..n]$ . Note that if at least one value  $\chi_{j,r} \in \mathbb{F}_p^*$  is picked independently and uniformly at random, then  $\chi_j$  is uniformly random in  $\mathbb{F}_p^*$ . For ease of description, we set  $\chi_0 := 1$  and similarly  $\chi_{0,r} := 1$  for  $r \in [1..k]$ .

The protocol starts with a commitment round where all the parties commit to their shares  $\chi_{i,r}$  with a UC-secure DL-extractable commitment scheme. This is followed by an opening round where each  $\mathcal{P}_i$  reveals  $[\chi_{i,r}]_1, [\chi_{i,r}]_2$ . Since the commitment scheme is UC-secure, then it is also non-malleable and thus guarantees that the adversary chooses her shares independently of the shares of the honest parties. Next, the parties start to evaluate the circuit layer-by-layer. For evaluating a single multdiv gate  $\text{MD}_{\chi_i, \chi_j}([x]_z) = [(\chi_i/\chi_j)x]_z$  where  $i, j \in [0..n]$ , parties run the  $\text{mpcMD}_{\chi_i, \chi_j}([x]_z)$  protocol given in Fig. 1. Assuming that  $[x]_z$  is public,  $\mathcal{P}_1$  broadcasts  $(\chi_{i,1}/\chi_{j,1})[a]_z$  and each subsequent party  $\mathcal{P}_r$  multiplies  $\chi_{i,r}/\chi_{j,r}$  to the output of her predecessor  $\mathcal{P}_{r-1}$ . If all the parties follow the protocol, then the output of  $\mathcal{P}_k$  is  $\text{cert}_k = (\chi_{i,1} \cdot \dots \cdot \chi_{i,k}) / (\chi_{j,1} \cdot \dots \cdot \chi_{j,k}) [a]_z = (\chi_i/\chi_j)[a]_z$ . Computation of each party can be verified with pairings by using the algorithm  $\text{VmpcMD}_{\chi_i, \chi_j}$  in Fig. 1. Any linear combination gate  $\text{LC}_{\mathbf{c}}([\mathbf{x}]_z)$  can be computed locally by each party by simply evaluating the expression  $\sum_{i=1}^t c_i [a_i]_z$ .

Let us make a slight restriction for now that multdiv gates on the same layer do not depend on each other. Then each multi-division layer  $C_i$  can be evaluated by running multiple instances of the  $\text{mpcMD}$  protocol in parallel. More precisely,

<p><b>mpcMD</b><sub><math>\chi_i, \chi_j</math></sub> (<math>[x]_z</math>):</p> <ol style="list-style-type: none"> <li>1. Set <math>\text{cert}_0 \leftarrow [x]_z</math>.</li> <li>2. For <math>r = 1, \dots, k</math>: Party <math>\mathcal{P}_r</math> broadcasts <math>\text{cert}_r \leftarrow (\chi_{i,r}/\chi_{j,r}) \cdot \text{cert}_{r-1}</math>.</li> <li>3. Output <math>\text{cert}_k</math>.</li> </ol>
<p><b>VmpcMD</b><sub><math>\chi_i, \chi_j</math></sub> (<math>[x]_z, (\text{cert}_r)_{r=1}^k, [(\chi_{j,r})_{r=1}^k, (\chi_{i,r})_{r=1}^k]_z</math>):</p> <ol style="list-style-type: none"> <li>1. Set <math>\text{cert}_0 \leftarrow [x]_z</math>.</li> <li>2. For <math>r = 1, \dots, k</math>: check that <math>\text{cert}_r \bullet [\chi_{j,r}]_z = \text{cert}_{r-1} \bullet [\chi_{i,r}]_z</math>.</li> <li>3. If all checks pass output 1 and otherwise output 0.</li> </ol>

**Fig. 1.** Multi-party protocol  $\text{mpcMD}_{\chi_i, \chi_j}$  and its transcript verifier  $\text{VmpcMD}_{\chi_i, \chi_j}$

<p><u>Commitment:</u> Each party <math>\mathcal{P}_r</math> picks <math>\chi_{1,r}, \dots, \chi_{n,r} \leftarrow \mathbb{F}_p^*</math> and broadcasts DL-extractable commitments of the values.</p> <p><u>Opening:</u> Once all the commitments are received, <math>\mathcal{P}_r</math> broadcasts openings together with <math>[(\chi_{i,r})_{i=1}^n]_1</math> and <math>[(\chi_{i,r})_{i=1}^n]_2</math>. Each party verifies the openings and aborts if the verification failed.</p> <p><u>Layer computation:</u> For a multi-division layer <math>C_i</math> containing a gate <math>\text{MD}_{\chi_i, \chi_j}([a]_z)</math>, parties run the protocol <math>\text{mpcMD}_{\chi_i, \chi_j}([a]_z)</math> and verify the computation with the algorithm <math>\text{VmpcMD}_{\chi_i, \chi_j}</math>. All the gates in <math>C_i</math> can be evaluated in parallel. Linear combination layers <math>L_i</math> are locally evaluated by each party.</p> <p><u>Output:</u> Output of the protocol is the output of all the evaluated gates.</p>
---

**Fig. 2.** Distributed key generation protocol for a circuit  $C = (C_1, L_1, \dots, C_d, L_d)$

the computation begins with the party  $\mathcal{P}_1$  doing its part of computation in  $\text{mpcMD}$  for each multdiv gate in  $C_i$ . Then, given the output produced by  $\mathcal{P}_1$ , the party  $\mathcal{P}_2$  does her part of the computation for each gate in the layer  $C_i$  and so on. Hence, a single multdiv layer can be evaluated in  $k$  rounds since every party needs to contribute to the output of the previous party just once. After each multi-division layer, the parties verify the computation by running the algorithm  $\text{VmpcMD}_{\chi_i, \chi_j}$  for each gate. If the checks pass, the parties locally evaluate gates on layer  $L_i$  and proceed to compute the next layer  $C_{i+1}$ . Full details are given in Fig. 2.

We refer the reader to [ABL+19b] for the more general protocol where  $k$  rounds can be achieved even if the gates on the same layer depend on each other. That version of the DKG is also used for our shuffle argument, but for this we provide an explicit description in the full version of our paper. It is important to note that Abdolmaleki et al. showed that if at least one party in the DKG is honest, then it UC-realises the CRS ideal functionality (which essentially samples a public key in the beginning and returns it to anyone that queries).

## 4 Transparent Shuffle Argument

The DKG protocol requires the public key to be verification-friendly. In particular, we need to guarantee the following properties:

- Each trapdoor  $\iota \in \text{td}$  has to be sampled uniformly at random from  $\mathbb{F}_p^*$  and the public key has to contain both  $[\iota]_1$  and  $[\iota]_2$ .
- The public key has to be computable by interleaved multi-division and linear combination circuit layers and the output of each gate has to be part of the public key. For example, given that  $[a]_1, [b]_1, [c]_1, [d]_1$  are part of the public key, it is not possible to have  $[ab+cd]_1$  in the public key without also revealing some intermediate gate outputs like  $[ab]_1$  and  $[cd]_1$ .

In this section, we modify the FLSZ argument and construct a new transparent shuffle argument **tFLSZ** which has a verification-friendly public key. Besides making the argument verification-friendly, we also simplify the construction: (i) we combine the unit vector argument and the same-message argument of **tFLSZ** into a single argument, (ii) we skip the consistency argument and directly construct a shuffle argument from the permutation argument, and (iii) we observe that one of the trapdoors,  $\hat{\rho}$ , can be set to 1 without affecting security. The new argument is given in Fig. 3; we introduce the construction step-by-step in the following.

Let us take the public key of FLSZ in Fig. 4 as a starting point and observe which modifications need to be introduced to make it verification-friendly.

- Firstly, we need to add all the trapdoor elements to both groups which means adding  $[\chi, \beta, \hat{\beta}]_1$  and  $[\chi]_2$  to the public key.
- To evaluate polynomials  $P_i(X)$  at point  $\chi$  we add powers of  $\chi$  in both groups to the public key. Since  $P_i$  is at most degree  $n$ , it suffices to include elements  $[(\chi^i)_{i=1}^n]_1$  and  $[(\chi^i)_{i=1}^n]_2$ . However, since  $(P_i(X) + P_0(X))^2 - 1$  has at most degree  $2n$ , we additionally add  $[(\chi^i)_{i=n+1}^{2n}]_1$ .
- Polynomials  $\hat{P}_i$  have a degree  $(i + 1)(n + 1)$ , requiring, for the sake of verification friendliness, to include elements  $[(\chi^i)_{i=1}^{(n+1)^2}]_1$  which would cause quadratic overhead. We avoid this by redefining the polynomials  $\hat{P}_i$  and evaluating them at a new random point  $\theta$ . The first idea would be to set  $\hat{P}_i(X_\theta) = X_\theta^i$  for  $i = 1, \dots, n$  and add  $[(\theta^i)_{i=1}^n]_1$  and  $[\theta]_2$  to the public key. However, the  $((\hat{P}_i(X_\theta))_{i=1}^n, 1)$ -commitment scheme would not be binding since the KerMDH assumption does not hold for  $[\mathbf{M}]_1 = [\hat{P}_1(X_\theta), \dots, \hat{P}_n(X_\theta), 1]_1$ , as the adversary can output  $[\mathbf{c}]_2 = [\theta, -1, 0, \dots, 0]_2$  such that  $\mathbf{M}\mathbf{c}^\top = \mathbf{0}$  and  $\mathbf{c} \neq \mathbf{0}$ . Instead we set  $\hat{P}_i(X_\theta) = X_\theta^{2^i}$  for  $i \in [1..n]$  and include  $[(\theta^i)_{i=1}^{2^n}]_1$  and  $[\theta]_2$  to the public key. Now the commitment scheme is binding under a slight variation of the standard KerMDH assumption, which we prove in Sect. 5 to reduce to PDL assumption in the algebraic group model.

Another challenge is computing  $\text{crs}_{sm}$  since it contains elements  $[\beta P_i + \hat{\beta} \hat{P}_i]_1$ . It is not possible to reveal  $[\beta P_i]_1$  and  $[\hat{\beta} \hat{P}_i]_1$  since this breaks knowledge-soundness of the same-message argument. We propose a new argument to overcome this.

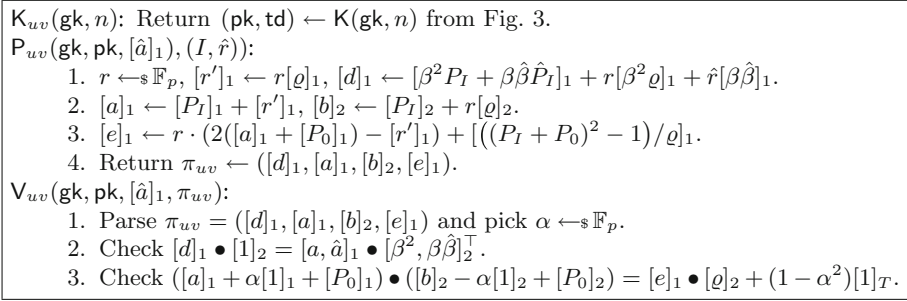
$K_{td}(\mathbf{gk}):$ Return $\mathbf{td} = (\chi, \theta, \beta, \hat{\beta}, \varrho) \leftarrow_r (\mathbb{F}_p^*)^5$ .
$K_{pk}(\mathbf{gk}, n, \mathbf{td}):$ Let $\mathbf{P} = (P_i(\chi))_{i=1}^n$ , $\hat{\mathbf{P}} = (\hat{P}_i(\theta))_{i=1}^n$ , $\mathbf{Q} = ((P_i(\chi) + P_0(\chi))^2 - 1)_{i=1}^n$ . $\mathbf{pk}_{uv} \leftarrow \left( [1, P_0(\chi), \mathbf{P}, \varrho, \mathbf{Q}/\varrho, \sum_{i=1}^n \hat{P}_i, \beta^2 \varrho, \beta \hat{\beta}, \beta^2 \mathbf{P} + \beta \hat{\beta} \hat{\mathbf{P}}]_1, \right)$ $\mathbf{pk}_{pkv} \leftarrow \left( [\beta, \hat{\beta}, (\theta^{2i-1})_{i=1}^n]_1, \right), \quad \mathbf{pk}_{v_f} \leftarrow \left( [(\chi^i)_{i=1}^{2n}, (\beta \chi^i, \hat{\beta} \theta^{2i})_{i=1}^n, \beta \varrho]_1, \right).$
Return $\mathbf{pk} \leftarrow ([\hat{\mathbf{P}}]_1, \mathbf{pk}_{uv}, \mathbf{pk}_{pkv}, \mathbf{pk}_{v_f})$ .
$K(\mathbf{gk}, n):$ Run $\mathbf{td} \leftarrow K_{td}(\mathbf{gk})$ , $\mathbf{pk} \leftarrow K_{pk}(\mathbf{gk}, n, \mathbf{td})$ , return $(\mathbf{pk}, \mathbf{td})$ .
$\mathbf{P}(\mathbf{gk}, (\mathbf{pk}_e, \mathbf{pk}), [\mathbf{C}]_2 = [(\mathbf{c}_i)_{i=1}^n]_2 \in \mathbb{G}_2^{n \times 2}, (\sigma \in \mathbb{S}_n, \mathbf{t} \in \mathbb{F}_p^n)):$ <ol style="list-style-type: none"> <li>1. For <math>i = 1</math> to <math>n - 1</math>: <math>\hat{r}_i \leftarrow_s \mathbb{F}_p</math>; <math>[\hat{a}_i]_1 \leftarrow [\hat{P}_{\sigma^{-1}(i)}]_1 + \hat{r}_i [1]_1</math>.</li> <li>2. <math>\pi_{per} \leftarrow \mathbf{P}_{per}(\mathbf{gk}, \mathbf{pk}, [(\hat{a}_i)_{i=1}^{n-1}]_1, (\sigma, (\hat{r}_i)_{i=1}^{n-1}))</math>. // Permutation argument</li> <li>3. <math>\hat{r}_n \leftarrow -\sum_{i=1}^{n-1} \hat{r}_i</math>; <math>\hat{r} \leftarrow_r \mathbb{F}_p</math>; <math>[s]_1 \leftarrow \mathbf{t}^\top [\hat{\mathbf{P}}]_1 + \hat{r} [1]_1</math>.</li> <li>4. For <math>i = 1</math> to <math>n</math>: <math>[\mathbf{t}'_i]_2 \leftarrow t_i \cdot \mathbf{pk}_e</math>.</li> <li>5. <math>[\mathbf{N}]_2 \leftarrow \hat{\mathbf{r}}^\top [\mathbf{C}]_2 + \hat{r} \cdot \mathbf{pk}_e</math>. // Online</li> <li>6. <math>[\mathbf{C}']_2 \leftarrow ([\mathbf{c}_{\sigma(i)}]_2 + [\mathbf{t}'_i]_2)_{i=1}^n</math>. // Shuffling, online</li> <li>7. Return <math>([\mathbf{C}']_2, \pi_{sh} \leftarrow ([(\hat{a}_j)_{j=1}^{n-1}, s]_1, [\mathbf{N}]_2, \pi_{per}))</math>.</li> </ol>
$\mathbf{V}(\mathbf{gk}, (\mathbf{pk}_e, \mathbf{pk}), ([\mathbf{C}]_2, [\mathbf{C}']_2), \pi_{sh}):$ <ol style="list-style-type: none"> <li>1. Parse <math>\pi_{sh} = ([(\hat{a}_j)_{j=1}^{n-1}, s]_1, [\mathbf{N}]_2, \pi_{per})</math>; set <math>[\hat{a}_n]_1 \leftarrow [\sum_{i=1}^n \hat{P}_i]_1 - \sum_{i=1}^{n-1} [\hat{a}_i]_1</math>.</li> <li>2. Check <math>\mathbf{V}_{per}(\mathbf{gk}, \mathbf{pk}, [(\hat{a}_i)_{i=1}^{n-1}]_1, \pi_{per}) = 1</math>.</li> <li>3. Check <math>[\hat{\mathbf{P}}]_1^\top \bullet [\mathbf{C}']_2 - [\hat{\mathbf{a}}]_1^\top \bullet [\mathbf{C}]_2 = [s]_1 \bullet \mathbf{pk}_e - [1]_1 \bullet [\mathbf{N}]_2</math>.</li> </ol>

Fig. 3. tFLSZ argument

$K(\mathbf{gk}, n):$ Generate random $\mathbf{td} = (\chi, \beta, \hat{\beta}, \varrho, \hat{\varrho}, \mathbf{sk}_e) \leftarrow_r (\mathbb{F}_p^*)^6$ . Denote $\mathbf{P} = (P_i(\chi))_{i=1}^n$ , $P_0 = P_0(\chi)$ , and $\hat{\mathbf{P}} = (\hat{P}_i(\chi))_{i=1}^n$ , $\mathbf{Q} = ((P_i + P_0)^2 - 1)_{i=1}^n$ . Let $\mathbf{crs}_{sm} \leftarrow \left( [\beta \mathbf{P} + \hat{\beta} \hat{\mathbf{P}}, \beta \varrho, \hat{\beta} \hat{\varrho}]_1, [\beta, \hat{\beta}]_2 \right), \quad \mathbf{crs}_{con} \leftarrow [\frac{\mathbf{P}}{\varrho}]_1, \quad \mathbf{pk}_e = [1, \mathbf{sk}_e]_2$ $\mathbf{crs}_{pm} \leftarrow ([1, P_0, \mathbf{Q}/\varrho, \sum_{i=1}^n P_i, \sum_{i=1}^n \hat{P}_i]_1, [P_0, \sum_{i=1}^n P_i]_2, [1]_T) .$
Set $\mathbf{crs} \leftarrow (\mathbf{pk}_e, [\frac{\mathbf{P}}{\varrho}]_1, [\frac{\mathbf{P}}{\varrho}]_2, \mathbf{crs}_{sm}, \mathbf{crs}_{pm}, \mathbf{crs}_{con})$ . Return $(\mathbf{crs}, \mathbf{td})$ .

Fig. 4. CRS generation algorithm of FLSZ

*New Unit Vector Argument.* We combine the same-message argument and unit vector argument from FLSZ to a new unit vector argument which is a proof of knowledge for the relation  $\mathcal{R}_n^{uv} := \{([\hat{a}]_1, (I \in [1..n], \hat{r})) \mid \hat{a} = \hat{P}_I + \hat{r}\}$ . The new argument in Fig. 5 has two advantages: (i) it has a verification-friendly public key, and (ii) the unit vector argument of FLSZ is sound only if we give a



**Fig. 5.** New unit vector argument

corresponding proof for the same-message argument; the new argument avoids this dependency. On a high level, the verification equation in Step 2 of  $V_{uv}$  and the proof element  $[d]_1$  in Fig. 5 correspond to a variation of the same-message argument in FLSZ and shows that  $[\hat{a}]_1$  and  $[a]_1$  commit to the same message  $\mathbf{m}$  respectively with the  $((\hat{P}_i(X))_{i=1}^n, 1)$ -commitment and the  $((P_i(X))_{i=1}^n, X_\varrho)$ -commitment. The verification equation in Step 3 of  $V_{uv}$  and elements  $[b]_2$  and  $[e]_1$  in Fig. 5 use the result of Lemma 1 to show that  $[a]_1$  commits to a unit vector. This part is identical to the unit vector argument in FLSZ.

The main differences in the new argument are the public key elements for showing that  $[\hat{a}]_1$  and  $[a]_1$  commit to the same message. Simply revealing elements  $[\beta P_i, \hat{\beta} \hat{P}_i]_1$  would be sufficient for verification-friendliness, but breaks the knowledge-soundness property: the same-message argument of FLSZ relies on  $[\beta P_i(\chi) + \hat{\beta} \hat{P}_i(\theta)]_1$  being the only  $\mathbb{G}_1$  elements in the span of  $\{[\beta \chi^i + \hat{\beta} \theta^j]_1\}_{i,j}$  that are available to the adversary. Instead, we essentially substitute  $[\beta P_i + \hat{\beta} \hat{P}_i]_1$  with  $[\beta^2 P_i + \beta \hat{\beta} \hat{P}_i]_1$  (and other related elements accordingly), and equivalently use the fact that those are the only  $\mathbb{G}_1$  elements in the span of  $\{[\beta^2 \chi^i + \beta \hat{\beta} \theta^j]_1\}_{i,j}$  available to the adversary. This change is significant since the latter elements can be computed with the DKG protocol without revealing  $[\beta^2 P_i]_1$  and  $[\beta \hat{\beta} \hat{P}_i]_1$ :

- (i) compute  $[\beta \chi^i]_1$  and  $[\hat{\beta} \theta^{2i}]_1 = [\hat{\beta} \hat{P}_i]_1$  to obtain  $[\beta P_i + \hat{\beta} \hat{P}_i]_1$ ;
- (ii) compute  $[\beta^2 P_i + \beta \hat{\beta} \hat{P}_i]_1 = \text{MD}_{\beta,1}([\beta P_i + \hat{\beta} \hat{P}_i]_1)$ ;
- (iii) similarly, from elements  $[\beta, \beta \varrho, \hat{\beta}]_1$  compute  $[\beta^2 \varrho]_1$  and  $[\beta \hat{\beta}]_1$ .

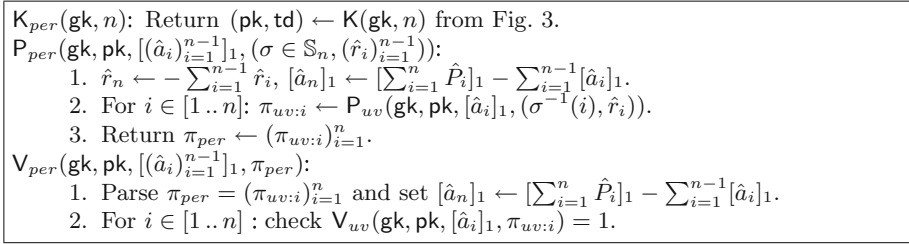
Additionally, in  $\mathbb{G}_2$  we reveal  $[\beta^2]_2$  and  $[\beta \hat{\beta}]_2$ . We prove in the full version of our paper that these changes retain security.

*Permutation Argument.* The permutation argument is a proof of knowledge for the relation

$$\mathcal{R}_{per} = \{([\hat{a}]_1, (\sigma, \hat{r})) \mid \sigma \in \mathbb{S}_n \wedge \sum_{i=1}^n \hat{r}_i = 0 \wedge (\forall i \in [1..n] : \hat{a}_i = \hat{P}_{\sigma^{-1}(i)} + \hat{r}_i)\}.$$

We show that this relation is fulfilled the same way as previous NIZK shuffle arguments. Firstly, the prover gives a unit vector argument for each of the commitments  $[\hat{a}_i]_1$  for  $i \in [1..n - 1]$ . Next, observe that only if those commitments





**Fig. 6.** Permutation argument

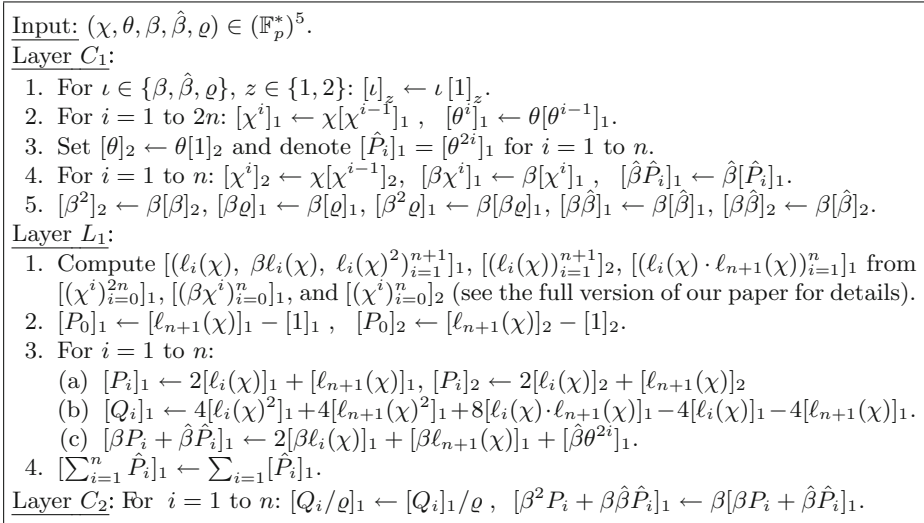
are to distinct values  $\hat{P}_i$ , is  $[\hat{a}_n]_1 := [\sum_{i=1}^n \hat{P}_i]_1 - \sum_{i=1}^{n-1} [\hat{a}_i]_1$  a unit vector. Hence, by giving a unit vector argument also for  $[\hat{a}_n]_1$ , where  $[\hat{a}_n]_1$  is explicitly computed by the verifier, we have proven the relation. Condition  $\sum_{i=1}^n \hat{r}_i = 0$  in  $\mathcal{R}_{per}$  comes from the way that  $[\hat{a}_n]_1$  is computed. The protocol is given in Fig. 6.

*Shuffle Argument.* Finally, we prove that ciphertexts were shuffled according to the permutation  $\sigma$  committed in  $[\hat{\mathbf{a}}]_1$ . This is essentially equivalent to the consistency argument in FLSZ. Intuitively, we check that  $\sum_{i=1}^n [\hat{P}_i]_1 \bullet [m'_i]_2 = \sum_{i=1}^n [\hat{P}_{\sigma^{-1}(i)}]_1 \bullet [m_i]_2$  (see Step 3 for the actual equation) which guarantees that  $\sum_{i=1}^n [\hat{P}_i]_1 \bullet ([m'_i]_2 - [m_{\sigma(i)}]_2) = [0]_T$ . If  $[m'_i]_2 \neq [m_{\sigma(i)}]_2$  for some  $i$ , then the adversary can find a non-zero element in the kernel of  $[\hat{\mathbf{P}}]_1$  and thus break the KerMDH assumption. Of course, the actual messages  $m_i$  are encrypted and the verifier knows only a commitment to  $\sigma$ . We balance this in the equation by allowing the prover to produce elements  $[s]_1$  and  $[\mathbf{N}]_2$ , which cancels the randomness in the ciphertexts and the commitments.

*Verification-Friendliness of tFLSZ.* After making all of the above modifications we end up with a public key as presented in Fig. 3. There are two new sub-keys:  $\mathbf{pk}_{pkv}$  which contains some elements later required by the  $V_{pk}$  algorithm (used by prover to guarantee nn-ZK), and  $\mathbf{pk}_{vf}$  which is a by-product of making the public key verification-friendly. After the public key generation protocol has finished the elements in  $\mathbf{pk}_{vf}$  can be disregarded. It is now simple to verify that the public key is verification-friendly. We present it as a series of multiplication-division and linear combination layers in Fig. 7. Hence, the DKG protocol described in Sect. 3 can be applied. For the sake of completeness, we provide an explicit description of the DKG protocol in the full version of the paper.

For better modularity, we treat the encryption key  $\mathbf{pk}_e$  separately from the argument’s public key. However, we assume it to be correctly generated by some secure DKG protocol, such as the one by Gennaro et al. [GJKR99].

**Theorem 1** ([ABL+19b]). *If tFLSZ is complete, sound, and computational zero-knowledge in the CRS model, then it is complete, sound, and computational zero-knowledge if the adversary corrupts all but one party in the DKG protocol.*



**Fig. 7.** Public key computation as a circuit

## 5 Security in the CRS Model

In this section, we establish that tFLSZ is secure in the CRS model, where the CRS is the public key generated by a trusted party. We first claim security of the unit vector and permutation arguments, as stated in Theorems 2 and 3.

**Theorem 2 (Security of unit vector argument).** *The unit vector argument in the CRS model (see Fig. 5) has perfect completeness and perfect zero-knowledge. If the  $(3n - 1)$ -PDL assumption holds, then it has computational knowledge-soundness in the AGM.*

**Theorem 3 (Security of permutation argument).** *The permutation argument in the CRS model (see Fig. 6) is perfectly complete and perfectly zero-knowledge. If the unit vector argument is knowledge-sound and  $((\hat{P}_i(X))_{i=1}^n, 1)$ -commitment is binding, then the permutation argument is also knowledge-sound.*

The proofs are given in the full version of our paper. Soundness of the unit-vector argument uses a common trick of AGM proofs that first defines an *idealised verification*, where the verification equation holds true for polynomials  $V(\mathbf{X})$  (with trapdoor elements as variables) rather than for polynomial evaluations  $V(\chi)$  only (*real verification*, for concrete trapdoor elements  $\chi$ ). We then show that no element outside the unit vector language can pass the idealised verification. Moreover, if an adversary manages to pass the real verification but not the ideal one, then she can be used to break the  $(3n - 1)$ -PDL assumption. The proof of the other properties are quite standard.

We prove soundness of the shuffle argument under a weaker assumption compared to [FLSZ17a]. The assumption, called the GapKerMDH assumption, is

novel, but we show that it reduces to the PDL assumption in the AGM. More precisely, since the KerMDH assumption is insecure for  $M = (1, \theta, \dots, \theta^n) \in \mathbb{Z}_p^{n \times 1}$  if the adversary is given both  $[M]_1$  and  $[\theta]_2$ , then a slightly modified assumption is required. We still give the same information to the adversary, but require that the output is in the kernel of a certain  $M' \subset M$  that contains periodic gaps.

**Definition 9.** *The  $n$ -GapKerMDH assumption holds for BGen if for any PPT  $\mathcal{A}$ ,*

$$\Pr \left[ \begin{array}{l} \mathbf{gk} \leftarrow \text{BGen}(1^\lambda), \theta \leftarrow_{\$} \mathbb{F}_p^*, [\mathbf{v}]_2 \leftarrow \mathcal{A}(\mathbf{gk}, [(\theta^i)_{i=1}^{2n}]_1, [\theta]_2) \\ \mathbf{v}^\top \cdot (\theta^{2i})_{i=0}^n = 0 \wedge \mathbf{v} \neq \mathbf{0}_{n+1} \end{array} \right] \approx_\lambda 0.$$

**Theorem 4.** *If the  $(2n)$ -PDL assumption holds, then the  $n$ -GapKerMDH assumption holds in the AGM.*

*Proof.* Let  $\mathcal{A}$  be an algebraic PPT adversary that breaks  $n$ -GapKerMDH assumption with probability  $\varepsilon_{gap}$ . More precisely,  $\mathcal{A}$  gets as an input  $(\mathbf{gk}, [(\theta^i)_{i=1}^{2n}]_1, [\theta]_2)$  for  $\theta \leftarrow_{\$} \mathbb{Z}_p$ , and outputs a non-zero  $[\mathbf{v}]_2 \in \mathbb{G}_2^{n+1}$  and its linear representation  $\mathbf{U} \in \mathbb{Z}_p^{(n+1) \times 2}$  (that is  $[\mathbf{v}]_2 = \mathbf{U} \cdot [1, \theta]_2^\top$ ) such that  $\sum_{i=0}^n \theta^{2i} \cdot v_{i+1} = 0$ .

We construct a PPT adversary  $\mathcal{B}$  that breaks  $(2n)$ -PDL assumption using  $\mathcal{A}$ . First,  $\mathcal{B}$  gets as an input  $(\mathbf{gk}, [(\theta^i)_{i=1}^{2n}]_1, [(\theta^i)_{i=1}^{2n}]_2)$  and runs  $\mathcal{A}(\mathbf{gk}, [(\theta^i)_{i=1}^{2n}]_1, [\theta]_2)$  to get the output  $[\mathbf{v}]_2$  and  $\mathbf{U}$ . Let us define polynomials  $V_i(X_\theta) := U_{i+1,1} + U_{i+1,2} \cdot X_\theta$  for  $i \in [1..n+1]$  which in particular satisfies  $V_i(\theta) = v_i$ . Similarly for the expression  $\sum_{i=0}^n \theta^{2i} \cdot v_{i+1}$  we define a polynomial  $V(X_\theta) := \sum_{i=0}^n X_\theta^{2i} \cdot v_{i+1}(X_\theta)$  such that if  $\mathcal{A}$  wins then  $V(\theta) = 0$ . Adversary  $\mathcal{B}$  will abort if  $\mathcal{A}$  either outputs an incorrect representation  $U$  or loses the  $n$ -GapKerMDH game. Otherwise  $\mathcal{B}$  finds roots of  $V(X_\theta)$  (can be done efficiently), and returns the one which matches  $[\theta]_1$ .

Finding roots of  $V(X_\theta)$  is only possible if  $V(X_\theta)$  is a non-zero polynomial, but it is easy to see that this is always the case if  $\mathcal{A}$  wins. We may express

$$V(X_\theta) = \sum_{i=0}^n X_\theta^{2i} \cdot (U_{i+1,1} + U_{i+1,2} \cdot X_\theta) = \sum_{i=0}^n U_{i+1,1} X_\theta^{2i} + \sum_{i=0}^n U_{i+1,2} \cdot X_\theta^{2i+1}.$$

So if  $V(X_\theta) = 0$  then  $\mathbf{U} = 0$  and therefore  $\mathbf{v} = 0$  which contradicts  $\mathcal{A}$  winning. It follows that  $\mathcal{B}$  can break the  $(2n)$ -PDL assumption with probability  $\varepsilon_{gap}$ .  $\square$

**Theorem 5 (Security of shuffle argument).** *tFLSZ is perfectly complete and perfectly zero-knowledge in the CRS model. If the permutation argument is knowledge-sound and the  $n$ -GapKerMDH assumption holds, then tFLSZ is sound.*

*Proof. Perfect Completeness.* Can be straightforwardly verified by substituting an honest proof to the verification equations.

*Perfect Zero-Knowledge.* We show that the simulator Sim in Fig.8 outputs an argument that has the same distribution as an argument output by an honest

prover. In both cases  $[(a_i)_{i=1}^n]_1$ ,  $[(\hat{a}_i)_{i=1}^{n-1}]_1$ , and  $[s]_1$  are uniformly and independently distributed group elements. Moreover, both honest and simulated arguments have  $b_i = a_i$  for  $i \in [1..n]$  and  $[\hat{a}_n]_1 = \sum_{i=1}^n [\hat{P}_i]_1 - \sum_{i=1}^{n-1} [\hat{a}_i]_1$ . Elements  $[\mathbf{d}]_1$ ,  $[\mathbf{e}]_1$ ,  $[\mathbf{N}]_2$  are now uniquely fixed by the verification equation and the elements mentioned before. It is straightforward to check that the simulated argument satisfies the verification equations. Thus the distributions are equal.

*Soundness.* Let  $\mathcal{A}_{sh}$  be a PPT adversary that breaks soundness of the shuffle argument with probability  $\varepsilon_{sh}$ . Let  $\mathcal{A}_{per}$  be the adversary  $\mathcal{A}_{sh}$  restricted only to output  $([(\hat{a}_i)_{i=1}^{n-1}]_1, \pi_{per})$  and  $\text{Ext}_{\mathcal{A}_{per}}$  be an arbitrary extractor such that  $\mathcal{A}_{per}$  breaks knowledge-soundness of the permutation argument with probability  $\varepsilon_{per}$ .

We construct an adversary  $\mathcal{A}_{gap}$  against the  $n$ -GapKerMDH assumption that on input  $(\mathbf{gk}, [(\theta^i)_{i=1}^{2n}]_1, [\theta]_2)$  proceeds as follows:

1. Sample  $\chi, \beta, \hat{\beta}, \varrho \leftarrow (\mathbb{F}_p^*)^4$  and  $\mathbf{sk}_e \leftarrow \mathbb{F}_p$ . Set  $\mathbf{pk}_e \leftarrow [1, \mathbf{sk}_e]$ .
2. Compute  $\mathbf{pk}$  using  $[(\theta^i)_{i=1}^{2n}]_1$ ,  $[\theta]_2$ , and  $\chi, \beta, \hat{\beta}, \varrho$ . In particular, notice that  $[\beta P_i(\chi) + \hat{\beta} \hat{P}_i(\theta)]_1 = (\beta P_i(\chi)) \cdot [1]_1 + \hat{\beta} \cdot [\theta^{2i}]_1$  and  $[\hat{\beta} \theta^{2i}]_1 = \hat{\beta} \cdot [\theta^{2i}]_1$ .
3. Sample  $r_{sh} \leftarrow \text{RND}(\mathcal{A}_{sh})$  and run  $([\mathbf{C}, \mathbf{C}']_2, \pi_{sh}) \leftarrow \mathcal{A}_{sh}(\mathbf{gk}, (\mathbf{pk}_e, \mathbf{pk}); r_{sh})$ .
4. If  $\mathbf{V}(\mathbf{gk}, (\mathbf{pk}_e, \mathbf{pk}), ([\mathbf{C}]_2, [\mathbf{C}']_2), \pi_{sh}) \neq 1$ , then abort.
5. Parse  $\pi_{sh} = ([(\hat{a}_j)_{j=1}^{n-1}]_1, \pi_{per}, \pi_{con})$  and set  $[\hat{a}_n]_1 \leftarrow [\sum_{i=1}^n \hat{P}_i]_1 - \sum_{i=1}^{n-1} [\hat{a}_i]_1$ .
6. Run  $(\sigma, \hat{\mathbf{r}}) \leftarrow \text{Ext}_{\mathcal{A}_{per}}(\mathbf{gk}, \mathbf{pk}; r_{sh})$ .
7. If  $([\hat{\mathbf{a}}]_1, (\sigma, \hat{\mathbf{r}})) \notin \mathcal{R}_{per}$ , then abort.
8. Set  $\mathbf{A} \in \{0, 1\}^{n \times n}$  such that  $A_{i,j} = 1$  iff  $\sigma^{-1}(i) = j$ .
9. Set  $[\mathbf{m}]_2 \leftarrow \text{Dec}_{\mathbf{sk}_e}([\mathbf{C}]_2)$ ,  $[\mathbf{m}']_2 \leftarrow \text{Dec}_{\mathbf{sk}_e}([\mathbf{C}']_2)$ , and  $[z]_2 \leftarrow \text{Dec}_{\mathbf{sk}_e}([\mathbf{N}]_2)$ .
10. Return  $[\mathbf{v}]_2 \leftarrow \begin{pmatrix} [\mathbf{m}']_2 - \mathbf{A}[\mathbf{m}]_2 \\ [z]_2 - \hat{\mathbf{r}}^\top [\mathbf{m}]_2 \end{pmatrix}$ .

Let us analyse the success probability of  $\mathcal{A}_{gap}$ . Let  $X$  be the event that  $\mathcal{A}_{sh}$  wins, i.e., there is no abort on Step 4, and for any permutation matrix  $\mathbf{P}$ , we have  $[\mathbf{m}']_2 \neq \mathbf{P}[\mathbf{m}]_2$ . Let  $Y$  be the event that  $\mathcal{A}_{per}$  wins, i.e.,  $([\hat{\mathbf{a}}]_1, (\sigma, \hat{\mathbf{r}})) \notin \mathcal{R}_{per}$ . Firstly, consider the case that  $X$  happens and  $Y$  does not happen. Then in particular: (i)  $\mathcal{A}_{sh}$  does not abort, (ii)  $\mathbf{A}$  is a permutation matrix that satisfies  $[\hat{\mathbf{a}}]_1 = \begin{pmatrix} \mathbf{A} \\ \hat{\mathbf{r}}^\top \end{pmatrix}^\top [\hat{\mathbf{P}}]_1$ , (iii)  $[\mathbf{m}']_2 \neq \mathbf{A}[\mathbf{m}]_2$ , and (iv) the verification equation  $[\hat{\mathbf{P}}]_1^\top \bullet [\mathbf{C}']_2 - [\hat{\mathbf{a}}]_1^\top \bullet [\mathbf{C}]_2 = [s]_1 \bullet \mathbf{pk}_e - [1]_1 \bullet [\mathbf{N}]_2$  is satisfied. By decrypting the ciphertexts in the last equation, we get

$$\begin{aligned} [0]_T &= [\hat{\mathbf{P}}]_1^\top \bullet [\mathbf{m}']_2 - [\hat{\mathbf{a}}]_1^\top \bullet [\mathbf{m}]_2 + [1]_1 \bullet [z]_2 \\ &= [\hat{\mathbf{P}}]_1^\top \bullet [\mathbf{m}']_2 - [\hat{\mathbf{P}}]_1^\top \begin{pmatrix} \mathbf{A} \\ \hat{\mathbf{r}}^\top \end{pmatrix} \bullet [\mathbf{m}]_2 + [1]_1 \bullet [z]_2 \\ &= [\hat{\mathbf{P}}]_1^\top \bullet [\mathbf{m}' - \mathbf{A}\mathbf{m}]_2 + [1]_1 \bullet [z - \hat{\mathbf{r}}^\top \mathbf{m}]_2 \\ &= [\hat{\mathbf{P}}]_1^\top \bullet \begin{pmatrix} [\mathbf{m}']_2 - \mathbf{A}[\mathbf{m}]_2 \\ [z]_2 - \hat{\mathbf{r}}^\top [\mathbf{m}]_2 \end{pmatrix} = [\hat{\mathbf{P}}]_1^\top \bullet [\mathbf{v}]_2. \end{aligned}$$

Since  $[\mathbf{m}']_2 \neq \mathbf{A}[\mathbf{m}]_2$ , then  $[\mathbf{v}]_2 \neq [\mathbf{0}_{n+1}]_2$  is a solution to the  $n$ -GapKerMDH problem. Finally, we can express the success probability of  $\mathcal{A}_{sh}$  as follows:

$$\varepsilon_{sh} = \Pr[X] = \Pr[X \wedge Y] + \Pr[X \wedge \neg Y] \leq \Pr[Y] + \Pr[X \wedge \neg Y] \leq \varepsilon_{per} + \varepsilon_{gap}.$$

Since there exists an extractor  $\text{Ext}_{\mathcal{A}_{per}}$  such that  $\varepsilon_{per} \approx_\lambda 0$ , it follows that  $\varepsilon_{sh} \leq \varepsilon_{per} + \varepsilon_{gap} \approx_\lambda 0$ . □

## 6 Zero-Knowledge in the BPK Model

We augment the prover in the BPK model with a key verification algorithm  $V_{pk}$  in Fig. 9 such that she outputs a proof only if the verification passes. Then we prove that tFLSZ is nn-ZK in the BPK model with respect to the  $V_{pk}$  algorithm. Firstly, we show that each subverter that creates a valid public key (one that is accepted by  $V_{pk}$ ) will know the trapdoors. Let  $[td']_1$  denote the vector in  $pk$  that is supposedly  $[\chi, \theta, \beta, \hat{\beta}, \varrho]_1$ .

Sim(gk, (pk<sub>e</sub>, pk), td, ([C]<sub>2</sub>, [C']<sub>2</sub>):

1. For  $i = 1$  to  $n - 1$ : // commits to the identity permutation
  - (a)  $r_i, \hat{r}_i \leftarrow \mathbb{F}_p$ ;
  - (b)  $[a_i]_1 \leftarrow [P_i]_1 + r_i[\varrho]_1$ ;  $[b_i]_2 \leftarrow [P_i]_2 + r_i[\varrho]_2$ ;  $[\hat{a}_i]_1 \leftarrow [\hat{P}_i]_1 + \hat{r}_i[1]_1$ ;
2.  $r_n \leftarrow \mathbb{F}_p$ ;  $\hat{r}_n \leftarrow -\sum_{i=1}^{n-1} \hat{r}_i$ ;
3.  $[a_n]_1 \leftarrow [P_n]_1 + r_n[\varrho]_1$ ;  $[b_n]_2 \leftarrow [P_n]_2 + r_n[\varrho]_2$ ;  $[\hat{a}_n]_1 \leftarrow \sum_{i=1}^n [\hat{P}_i]_1 - \sum_{i=1}^{n-1} [\hat{a}_i]_1$ ;
4. For  $i = 1$  to  $n$ :
  - (a)  $[d_i]_1 \leftarrow [\beta^2 P_i + \beta \hat{\beta} \hat{P}_i]_1 + r_i[\beta^2 \varrho]_1 + \hat{r}_i[\beta \hat{\beta}]_1$ ;
  - (b)  $[e_i]_1 \leftarrow r_i \cdot (2[a_i]_1 + [P_0]_1) - r_i[\varrho]_1 + [Q_i/\varrho]_1$ ;
5.  $\hat{r} \leftarrow \mathbb{F}_p$ ;  $[s]_1 \leftarrow \mathbf{0}^\top [\hat{\mathbf{P}}]_1 + \hat{r}[1]_1$ ;  $[\mathbf{N}]_2 \leftarrow (\hat{\mathbf{P}} + \hat{\mathbf{r}})[\mathbf{C}]_2 - \hat{\mathbf{P}}[\mathbf{C}']_2 + \hat{r} \cdot pk_e$ ;
6.  $\pi_{per} \leftarrow ([\mathbf{d}]_1, [\mathbf{a}]_1, [\mathbf{b}]_2, [\mathbf{e}]_1)$ ;
7. Return  $\pi_{sh} \leftarrow ([\hat{a}_{i=1}^{n-1}], [s]_1, [\mathbf{N}]_2, \pi_{per})$ .

Fig. 8. Simulator of tFLSZ

$V_{pk}(gk, pk)$  :

1. Check that  $pk$  can be parsed as in Fig. 3 and that each element belongs to the correct group.
2. Check that  $[\varrho]_1 \neq [0]_1$ .
3. Check that  $[l]_1 \bullet [1]_2 = [1]_1 \bullet [l]_2$  for  $l \in \{\chi, \theta, \beta, \hat{\beta}, \varrho\}$ .
4. Check that  $[1]_T = [1]_1 \bullet [1]_2$ .
5. For  $i = 2$  to  $2n$ : check that  $[\theta^i]_1 \bullet [1]_2 = [\theta^{i-1}]_1 \bullet [\theta]_2$ . // Note that  $\hat{P}_i = \theta^{2i}$
6. Check that  $[1]_1 \bullet [\beta^2]_2 = [\beta]_1 \bullet [\beta]_2$ .
7. Check that  $[\beta^2 \varrho]_1 \bullet [1]_2 = [\varrho]_1 \bullet [\beta^2]_2$ .
8. Check that  $[\beta \hat{\beta}]_1 \bullet [1]_2 = [\beta]_1 \bullet [\hat{\beta}]_2$ .
9. Check that  $[1]_1 \bullet [\beta \hat{\beta}]_2 = [\beta \hat{\beta}]_1 \bullet [1]_2$ .
10. Check that  $[1]_1 \bullet [P_0]_2 = [P_0]_1 \bullet [1]_2$ .
11. For  $i = 1$  to  $n$ : check that
  - (a)  $[1]_1 \bullet [P_i]_2 = [P_i]_1 \bullet [1]_2$ ,
  - (b)  $[\beta^2 P_i + \beta \hat{\beta} \hat{P}_i]_1 \bullet [1]_2 = [P_i]_1 \bullet [\beta^2]_2 + [\hat{P}_i]_1 \bullet [\beta \hat{\beta}]_2$ ,
  - (c)  $[((P_i + P_0)^2 - 1)/\varrho]_1 \bullet [\varrho]_2 = ([P_i + P_0]_1 \bullet [P_i + P_0]_2) - [1]_T$ .

Fig. 9. The  $V_{pk}$  algorithm of tFLSZ. For ease of presentation, the algorithm is described as if the public key was already well-formed.

**Lemma 2.** Consider  $V_{pk}$  in Fig. 9 and suppose the BDH-KE assumption holds. Then, for any PPT subverter  $X$ , there exist a PPT extractor  $Ext_X$  such that,

$$\Pr [(pk, aux_X || td) \leftarrow (X || Ext_X)(gk) : V_{pk}(gk, pk) = 1 \wedge [td]_1 \neq [td']_1 \subset pk] \approx_\lambda 0.$$

*Proof.* The proof is similar to Theorem 4 in [ABLZ17]. If  $V_{pk}(gk, pk) = 1$ , then:

(i) Since Step 1 in  $V_{pk}$  is satisfied, there exist elements  $[td']_1 = [\chi', \theta', \beta', \hat{\beta}', \rho']_1$  and  $[td'']_2 = [\chi'', \theta'', \beta'', \hat{\beta}'', \rho'']_2$  in  $pk$  that supposedly correspond to trapdoor elements. (ii) By Step 3  $[l']_1 \bullet [1]_2 = [1]_1 \bullet [l'']_2$  and therefore  $\iota' = \iota''$ , for  $\iota \in \{\chi, \theta, \beta, \hat{\beta}, \rho\}$ . According to BDH-KE, there exists an extractor  $Ext_\iota$  that outputs  $\iota'$  with overwhelming probability on the same random coins as  $X$ . Therefore, we can construct  $Ext_X(r)$  by simply returning  $(Ext_\iota(r))_{\iota \in td}$ .  $\square$

**Theorem 6.** If BDH-KE assumption holds, then tFLSZ has statistical nn-ZK.

*Proof.* From Lemma 2, we know that for any PPT  $X$ , there exists an extractor  $Ext_X$  that with overwhelming probability outputs the trapdoor  $td$  given that  $V_{pk}(gk, pk) = 1$ . Let us show that if  $V_{pk}(gk, pk) = 1$  and the extractor outputs the correct  $td$ , then  $Sim(gk, pk_e, pk, \theta, x)$  and  $P(gk, pk_e, pk, x; w)$  have the same distribution for any  $x = ([C]_2, [C']_2)$ ,  $w = (\sigma, \mathbf{t})$  in  $\mathcal{R}_n^{sh}$ .

We analyse each element of the proof independently.

1. For  $i \in [1 .. n - 1]$ ,  $\hat{a}_i$  is chosen independently and uniformly at random in both distributions since  $\hat{r}_i$  is picked uniformly at random. Moreover, in both distributions  $\hat{a}_n = t_{sum} - \sum_{i=1}^{n-1} \hat{a}_i$  where  $t_{sum}$  equals  $\sum_{i=1}^n \hat{P}_i$  in the honest case. Hence,  $\hat{a}_n$  also has the same distribution.
2. Since Step 2 in  $V_{pk}$  is satisfied, then  $\rho$  is non-zero. By similar reasoning as in the previous step,  $a_i$  is chosen independently and uniformly at random for  $i \in [1 .. n]$  in both distributions.
3. Given that Step 3 and Step 11a are satisfied in  $V_{pk}$ , then  $a_i = b_i$  for  $i \in [1 .. n]$  in both distributions.
4. Given that Steps 6, 7, 8, 9, 11b are satisfied, then the elements  $[\beta^2 \rho]_1$ ,  $[\beta \hat{\beta}]_1$ , and  $[\beta^2 P_i + \beta \hat{\beta} \hat{P}_i]_1$ , for  $i \in [1 .. n]$ , are well-formed (with respect to possibly malformed values  $P_i$  and  $\hat{P}_i$ ). This is sufficient to show that  $d_i = \beta^2 a_i + \beta \hat{\beta} \hat{a}_i$  for  $i \in [1 .. n]$  in both distributions. Hence,  $d_i$  is uniquely determined by  $\beta$ ,  $\hat{\beta}$ ,  $a_i$  and  $\hat{a}_i$ .
5. Given that Steps 4, 10, and 11c are satisfied, then  $[((P_i + P_0)^2 - 1)/\rho]_1$  is well-formed (again, with respect to a possibly malformed  $P_i$  and  $P_0$ ). Given this, we can verify that  $e_i = ((a_i + P_0)^2 - 1)/\rho$  in both distributions.
6. In both distributions,  $s$  is chosen independently and uniformly at random since  $\hat{r}$  is picked uniformly at random.
7. Step 5 in  $V_{pk}$  guarantees that  $\hat{P}_i = \theta^{2i}$  for  $i \in [1 .. n]$ . In that case, an honestly generated proof will always satisfy the verification equation on Step 3 in Fig. 3. Given that  $\hat{\mathbf{a}}$ ,  $s$  and  $pk$  are fixed, then there is a unique value of  $\mathbf{N}$  which satisfies that equation, and the simulator picks that exact value  $\mathbf{N}$ .

Hence the simulator’s output and the prover’s output have the same distribution. Thus tFLSZ is nn-ZK.  $\square$

## 7 Implementation

We have created a reference implementation<sup>6</sup> to validate the protocol. The implementation uses Python 3.5+ and covers: (i) the computation of the public key (K in Fig. 3) together with the distributed key generation protocol (Fig. 2), (ii) the key verification algorithm  $V_{pk}$  (Fig. 9), and (iii) proof generation and verification (Fig. 3), along with the accompanying new unit vector argument (Fig. 5) and the permutation argument (Fig. 6). It follows our exposition closely, except for some of the local computations in the DKG protocol.

In particular, the complexity of computing polynomials  $[\ell_i(\chi)]_k$  (and other related elements) from  $[\chi^i]_k$  can be reduced from  $\Theta(n^2)$  to  $\Theta(n \log n)$  scalar multiplications using recursive procedures borrowed from FFT. This however imposes the extra conditions that  $(n+1) \mid (p-1)$  and  $n+1$  is a power of 2. The current implementation uses a BN-256 curve<sup>7</sup>, where the only value of  $n > 1$  such that the conditions hold is  $n = 3$ . Work is in progress for moving to a different curve where  $p-1$  is divisible by a large power of two. Note, nevertheless, that the correctness of the implementation, protocol testing, and verification of proofs is independent of this, as the output of local computations are not affected, only their efficiency.

The multi-party computation of the public key is performed among  $k$  peers (bulletin board members) communicating via sockets (peers run the application from different terminals). Roughly speaking, each peer computes and shares their own part of the key with the rest, the final public key being the output of the distributed procedure explained in Sect. 3.2. For simulation purposes, the initial values for each peer, as well as their respective listening sockets, are derived from a configuration file. The total number of exchanged messages is independent of the number voters  $n$  and is equal to  $9k(k-1)$ .

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<sup>6</sup> The code is open source and available at [https://github.com/grnet/lta\\_shuffle](https://github.com/grnet/lta_shuffle).

<sup>7</sup> As provided by OpenPairing, <https://github.com/dfaranha/OpenPairing>.

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