

Proper Hierarchies in Polylogarithmic Time and Absence of Complete Problems

Flavio Ferrarotti $^{1(\boxtimes)},$ Senén González¹, Klaus-Dieter Schewe², and José María Turull-Torres³

¹ Software Competence Center Hagenberg, Hagenberg, Austria {flavio.ferrarotti,senen.gonzalez}@scch.at ² Zhejiang University, UIUC Institute, Haining, China kd.schewe@intl.zju.edu.cn ³ Universidad Nacional de La Matanza, Buenos Aires, Argentina jturull@unlam.edu.ar

Abstract. The polylogarithmic time hierarchy structures sub-linear time complexity. In recent work it was shown that all classes $\tilde{\Sigma}_m^{plog}$ or \tilde{H}_m^{plog} $(m \in \mathbb{N})$ in this hierarchy can be captured by semantically restricted fragments of second-order logic. In this paper the descriptive complexity theory of polylogarithmic time is taken further showing that there are strict hierarchies inside each of the classes of the hierarchy. A straightforward consequence of this result is that there are no complete problems for these complexity classes, not even under polynomial time reductions.

Keywords: Strict hierarchies \cdot Polylogarithmic time \cdot Complexity theory \cdot Complete problems \cdot Finite models \cdot Logic

1 Introduction

Computations with sub-linear time complexity have not been studied intensively. However, such computations appear rather naturally, e.g. in the area of circuits. Mix Barrington studied the complexity of circuits [9] characterizing a class of families of constant-depth quasi-polynomial size AND/OR-circuits. In particular, he proved that the class of Boolean queries computable by the class of DTIME[(log n)^{O(1)}] DCL-uniform families of Boolean circuits of unbounded fan-in, size 2^{(log n)^{O(1)} and depth O(1) coincides with the class of Boolean queries expressible in a fragment SO^b of second-order logic. As used in his study, the}

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complexity class $\text{DTIME}[2^{(\log n)^{O(1)}}]$ is known as *quasipolynomial time*. Furthermore, the fastest known algorithm for checking graph isomorphisms is in quasipolynomial time [1].

In [3] we started a deeper investigation of sub-linear time computations emphasising complexity classes DPolyLogTime and NPolyLogTime of decision problems that can be solved deterministically or non-deterministically with a time complexity in $O(\log^k n)$ for some k, where n is as usual the size of the input. We extended these complexity classes to a complete hierarchy, the *polylogarithmic time hierarchy*, analogous to the polynomial time hierarchy, and for each class Σ_m^{plog} or Π_m^{plog} ($m \in \mathbb{N}$) in the hierarchy we defined a fragment of semantically restricted second-order logic capturing it [5,6]. While the hierarchy as a whole captures the same class of problems studied by Mix Barrington, the various classes of the hierarchy provide fine-grained insights into the nature of decision problems decidable in sub-linear time.

With these promising results the natural question occurs, whether there are complete problems in the hierarchy, and what would be an appropriate notion of reduction to define complete problems. Note that for the somehow related complexity class PolyLogSpace it is known since long time that it does not have complete problems.

In this paper we address this problem. We show that for none of the classes $\tilde{\Sigma}_m^{plog}$ and $\tilde{\Pi}_m^{plog}$ $(m \in \mathbb{N})$ in the polylogarithmic time hierarchy there exists a complete problem. It turns out that this result is a rather simple consequence of the existence of proper hierarchies inside each of the classes $\tilde{\Sigma}_m^{plog}$ and $\tilde{\Pi}_m^{plog}$. Note that a similar approach shows the non-existence of complete problems for PolyLogSpace, but the corresponding proof exploits theorems by Hartmanis et al. that cannot be applied to our case, as these theorems (which are well known in complexity theory as the space and time hierarchy theorems) require at least linear time.

The remainder of this paper is organized as follows. Section 2 summarizes the necessary preliminaries for our investigation introducing the complexity classes of the polylogarithmic time hierarchy. This is complemented in Sect. 3 by reviewing SO^{plog} , the polylogarithmically-restricted fragment of second-order logic that is used to define subsets capturing the complexity classes $\tilde{\Sigma}_m^{plog}$ and $\tilde{\Pi}_m^{plog}$. Section 4 introduces concrete decision problems that we use to show the existence of proper hierarchies inside $\tilde{\Sigma}_m^{plog}$ and $\tilde{\Pi}_m^{plog}$. We use the capturing logics to define these problems that are parametrised by $k \in \mathbb{N}$, and the various different values for k give rise to the hierarchies. Theorems showing that we obtain proper hierarchies inside $\tilde{\Sigma}_m^{plog}$ are proven in Sect. 5. Then the non-existence of complete problems arises as a rather straightforward consequence, as we will show in Sect. 6. We conclude with a brief summary in Sect. 7.

2 Polylogarithmic Time Complexity Classes

The sequential access that Turing machines have to their tapes makes it impossible to compute anything in sub-linear time. Therefore, logarithmic time complexity classes are usually studied using models of computation that have random access to their input. As this also applies to the poly-logarithmic complexity classes studied in this paper, we adopt a Turing machine model that has a *ran-dom access* read-only input, similar to the log-time Turing machine in [10].

In the following, $\log n$ always refers to the binary logarithm of n, i.e., $\log_2 n$. With $\log^k n$ we mean $(\log n)^k$.

A random-access Turing machine is a multi-tape Turing machine with (1) a read-only (random access) input of length n+1, (2) a fixed number of read-write working tapes, and (3) a read-write input address-tape of length $\lceil \log n \rceil$.

Every cell of the input as well as every cell of the address-tape contains either 0 or 1 with the only exception of the (n+1)st cell of the input, which is assumed to contain the endmark \triangleleft . In each step the binary number in the address-tape either defines the cell of the input that is read or if this number exceeds n, then the (n+1)st cell containing \triangleleft is read.

Example 2.1. Let polylogCNFSAT be the class of satisfiable propositional formulae in conjunctive normal form with $c \leq \lceil \log n \rceil^k$ clauses, where n is the length of the formula. Note that the formulae in polylogCNFSAT tend to have few clauses and many literals. We define a random-access Turing machine Mwhich decides polylogCNFSAT. The alphabet of M is $\{0, 1, \#, +, -\}$. The input formula is encoded in the input tape as a list of $c \leq \lceil \log n \rceil^k$ indices, each index being a binary number of length $\lceil \log n \rceil$, followed by c clauses. For every $1 \le i \le c$, the *i*-th index points to the first position in the *i*-th clause. Clauses start with # and are followed by a list of literals. Positive literals start with a +, negative with a -. The + or - symbol of a literal is followed by the ID of the variable in binary. M proceeds as follows: (1) Using binary search with the aid of the "out of range" response \triangleleft , compute n and $\lceil \log n \rceil$. (2) Copy the indices to a working tape, counting the number of indices (clauses) c. (3) Nondeterministically guess c input addresses a_1, \ldots, a_c , i.e., guess c binary numbers of length $\lceil \log n \rceil$. (4) Using c 1-bit flags, check that each a_1, \ldots, a_c address falls in the range of a different clause. (5) Check that each a_1, \ldots, a_c address points to an input symbol + or -. (6) Copy the literals pointed by a_1, \ldots, a_c to a working tape, checking that there are no complementary literals. (7) Accept if all checks hold.

Let L be a language accepted by a random-access Turing machine M. Assume that for some function f on the natural numbers, M makes at most O(f(n))steps before accepting an input of length n. If M is deterministic, then we write $L \in \text{DTIME}(f(n))$. If M is non-deterministic, then we write $L \in \text{NTIME}(f(n))$. We define the classes of deterministic and non-deterministic poly-logarithmic time computable problems as follows:

$$\begin{aligned} \text{DPolyLogTime} &= \bigcup_{k,c \in \mathbb{N}} \text{DTIME}((\log n)^k \cdot c) \\ \text{NPolylogTime} &= \bigcup_{k,c \in \mathbb{N}} \text{NTIME}((\log n)^k \cdot c) \end{aligned}$$

The non-deterministic random-access Turing machine in Example 2.1 clearly works in polylog-time. Therefore, polylogCNFSAT \in NPolylogTime.

Recall that an alternating Turing machine comes with a set of states Q that is partitioned into subset Q_{\exists} and Q_{\forall} of so-called existential and universal states. Then a configuration c is accepting iff

- -c is in a final accepting state,
- -c is in an existential state and there exists a next accepting configuration, or
- $-\ c$ is in a universal state, there exists a next configuration and all next configurations are accepting.

In analogy to our definition above we can define a random-access alternating Turing machine. The languages accepted by such a machine M, which starts in an existential state and makes at most O(f(n)) steps before accepting an input of length n with at most m alternations between existential and universal states, define the complexity class ATIME(f(n), m). Analogously, we define the complexity class ATIME(f(n), m) comprising languages that are accepted by a random-access alternating Turing machine that starts in a universal state and makes at most O(f(n)) steps before accepting an input of length n with at most m-1 alternations between universal and existential states. With this we define

$$\tilde{\mathcal{L}}_m^{plog} = \bigcup_{k,c \in \mathbb{N}} \operatorname{ATIME}[(\log n)^k \cdot c, m] \qquad \tilde{\Pi}_m^{plog} = \bigcup_{k,c \in \mathbb{N}} \operatorname{ATIME}^{op}[(\log n)^k \cdot c, m].$$

The poly-logarithmic time hierarchy is then defined as $\text{PLH} = \bigcup_{m \ge 1} \tilde{\Sigma}_m^{plog}$. Note that $\tilde{\Sigma}_1^{plog} = \text{NPolylogTime holds}$.

Remark 2.1. Note that a simulation of a NPolylogTime Turing machine M by a deterministic machine N requires checking all computations in the tree of computations of M. As M works in time $(\log n)^{O(1)}$, N requires time $2^{\log n^{O(1)}}$. This implies NPolylogTime \subseteq DTIME $(2^{\log n^{O(1)}})$, which is the complexity class called quasipolynomial time of the fastest known algorithm for graph isomorphism [1], which further equals the class DTIME $(n^{\log n^{O(1)}})^1$.

3 Logics for Polylogarithmic Time

The descriptive complexity of the polylogarithmic time complexity classes described in the previous section, has been recently studied in deepth in [3–7], where precise logical characterization of those classes were presented. The logics used in those characterizations are quite useful to think and describe the problems used in this paper to prove proper hierarchies inside polylogarithmic time. In this section we describe these logics and the results regarding their correspondence with the different polylogarithmic time complexity classes.

¹ This relationship appears quite natural in view of the well known relationship NP = $\text{NTIME}(n^{O(1)}) \subseteq \text{DTIME}(2^{n^{O(1)}}) = \text{EXPTIME}.$

The capturing results for polylogarithmic time hold over ordered structures. A finite ordered σ -structure **A** is a finite structure of vocabulary $\sigma \cup \{<\}$, where $\leq \notin \sigma$ is a binary relation symbol and $<^{\mathbf{A}}$ is a linear order on A. Every finite ordered structure has a corresponding isomorphic structure, whose domain is an initial segment of the natural numbers. Thus, we assume, as usual, that $A = \{0, 1, \ldots, n-1\}$, where n is the cardinality |A| of A. In the case of non-deterministic polylogarithmic time complexity, the capturing results also assume that σ includes SUCC, BIT and constants for $\log n$, the minimum, second and maximum elements. In every structure \mathbf{A} , the symbol SUCC is interpreted by the successor relation corresponding to the $<^{\mathbf{A}}$ ordering. The constant symbols 0, 1 and max are in turn interpreted as the minimum, second and maximum elements under the $<^{\mathbf{A}}$ ordering and the constant logn as $\lceil \log |A| \rceil$. Finally, BIT is interpreted by the following binary relation:

BIT^A = { $(i, j) \in A^2$ | Bit j in the binary representation of i is 1}.

W.l.o.g., we assume that all structures have at least *three* elements. This results in a cleaner presentation, avoiding trivial cases which would unnecessarily complicate some formulae.

Let us start with DPolylogTime. This class is captured by the *index logic* introduced in [6]. Index logic is two-sorted; variables of the first sort range over an initial segment of the natural numbers; this segment is bounded by the logarithm of the size of the input structure. Thus, the elements of the second sort represent the bit positions needed to address elements of the first sort. Index logic includes full fixpoint logic on the second sort. Quantification over the first sort, however, is heavily restricted. Specifically, a variable of the first sort can only be bound using an address specified by a subformula that defines the positions of the bits of the address that are set. This "indexing mechanism" lends index logic its name.

The following result confirms that the problems that can be described in the index logic are in *DPolylogTime* and vice versa.

Theorem 3.1 ([6]). Index logic captures DPolylogTime over ordered structures.

Regarding nondeterministic polylogarithmic time, the restricted second-order logic SO^{*plog*} defined in [3–5] captures the polylogarithmic-time hierarchy, with its quantifier prenex fragments Σ_m^{plog} and Π_m^{plog} capturing the corresponding levels $\tilde{\Sigma}_m^{plog}$ and $\tilde{\Pi}_m^{plog}$ of this hierarchy, respectively. SO^{*plog*} is a fragment of second-order logic where second-order quantifica-

SO^{plog} is a fragment of second-order logic where second-order quantification range over relations of polylogarithmic size and first-order quantification is restricted to the existential fragment of first-order logic plus universal quantification over variables under the scope of a second-order variable.

Formally, we can inductively define the syntax of SO^{plog} as follows:

– Every formula in the existential fragment of first-order logic with equality is a SO^{plog} formula.

- If X is a second-order variable of arity r, and t_1, \ldots, t_r are first-order terms, then both $X(t_1, \ldots, t_r)$ and $X(t_1, \ldots, t_r)$ are SO^{plog} formulae.
- If φ and ψ are SO^{plog} formulae, then $(\varphi \land \psi)$ and $(\varphi \lor \psi)$ are SO^{plog} formulae.
- If φ is a SO^{*plog*} formula, X is a second-order variable of arity r and \bar{x} is an r-tuple of first-order variables, then $\forall \bar{x}(X(\bar{x}) \to \varphi)$ is SO^{*plog*} formula.
- If φ is a SO^{*plog*} formula and x is a first-order variable, then $\exists x \varphi$ is a SO^{*plog*} formula.
- If φ is a SO^{*plog*} formula and X is a second-order variable, then both $\exists X \varphi$ and $\forall X \varphi$ are SO^{*plog*} formulae.

The most significant restriction of SO^{plog} is in its semantics. In addition to its arity, each second-order variable X is associated with another non-negative integer, its *exponent*, and it is required that any X of arity r and exponent k is interpreted on a structure of domain A as an r-ary relation of cardinality smaller or equal than $\log^k |A|$. Otherwise, the semantics of SO^{plog} follows the standard semantics of second-order logic.

As usual, the fragments Σ_m^{plog} (resp. Π_m^{plog}) are defined by considering SO^{plog} formulae with *m* alternating blocks of second-order quantifiers in quantifier prenex (Skolem) normal form, starting with an existential (resp. universal) block. Note that by Lemma 3 in [4], for every SO^{plog} formula φ there is an equivalent formula φ' that is in quantifier prenex normal form. In the following we will assume that the reader is familiar with the techniques that can be applied to transform arbitrary SO^{plog} formulae into equivalent formulae in Skolem normal form. Those techniques are detailed in the proof of Lemma 3 in Appendix B in [4].

The following result characterizes precisely the expressive power of SO^{plog} in terms of the nondeterministic polylogarithmic time hierarchy. Note that in particular, existential SO^{plog} captures NPolylogTime.

Theorem 3.2 ([3,5]). Over ordered structures with successor relation, BIT and constants for log n, the minimum, second and maximum elements, Σ_m^{plog} captures $\tilde{\Sigma}_m^{plog}$ and Π_m^{plog} captures $\tilde{\Pi}_m^{plog}$ for all $m \geq 1$.

4 Problems that Lead to Proper Hierarchies

Here we introduce the decision problems that we use in the next section to show the existence of proper hierarchies of polylogarithmic-time. In addition, for the nondeterministic classes we give a precise definition of these problems in terms of the logic SO^{*plog*} studied in [3–5] and discussed in the previous section.

From now on we work with the class of structures known as *word models* (see for instance [2]). Let π be the vocabulary $\{\langle, R_0, R_1\}$, where \langle is a binary relation symbol and R_0, R_1 are unary relation symbols. We can identify any binary string (word) $w = a_1 \dots a_n$ in $\{0, 1\}^+$ with a π -structure (word model) \mathbf{A}_w , where the cardinality of the domain A of \mathbf{A}_w equals the length of $w, \langle \mathbf{A}_w$ is a linear order in $A, R_0^{\mathbf{A}_w}$ contains the positions in w carrying a 0, and $R_1^{\mathbf{A}_w}$ contains the positions in w carrying a 1.

Problem 4.1 (InitialZeros^k). The problem InitialZeros^k consists on deciding (over word models of signature π) the language of binary strings which have a prefix of at least $\lceil \log n \rceil^k$ consecutive zeros, where n is the length of the string.

Problem 4.2 (ConseqZeros^k). Let ConseqZeros^k denote the problem of deciding the language of binary strings which have at least $\lceil \log n \rceil^k$ consecutive bits set to 0, where n is the length of the string. This can be expressed formally in SO^{plog} as follows:

$$\exists X(|X| = \log^k n \land SEQ(X) \land \forall x(X(x) \to R_0(x))),$$

where X is of arity 1 and exponent k, the expression $|X| = \log^k n$ denotes the sub-formula which defines that the cardinality of X is $\lceil \log n \rceil^k$, and SEQ(X) denotes the sub-formula expressing that the elements of X are a contiguous subsequence of the order <.

The sub-formula expressing $|X| = \log^k n$ can be written as follows:

$$\exists Y \bar{x} (Y(\bar{x}) \land \bar{x} = \bar{0} \land \forall \bar{y} (Y(\bar{y}) \to (SUCCk(\bar{y}, \overline{logn}) \lor \exists \bar{z} (Y(\bar{z}) \land SUCCk(\bar{y}, \bar{z}))) \\ \land |X| = |Y|)$$

where Y is of arity k and exponent k, $\bar{x}, \bar{y}, \bar{z}$ denote k-tuples of first-order variables, $SUCCk(\bar{y}, \bar{z})$ denotes a sub-formula expressing that \bar{z} is the immediate successor of \bar{y} in the lexicographical order of k-tuples, and |X| = |Y|expresses that X and Y have equal cardinality. $SUCCk(\bar{y}, \bar{z})$ can be expressed by a quantifier-free SO^{plog} formula (for details refer to $SUCC_k$ in Sect. 4 in [4]). In turn, |X| = |Y| can be expressed by an existential SO^{plog} formula using second order variables of arity k + 1 and exponent k (for details refer to Sect. 3.1 in [4]).

Finally, SEQ(X) can be expressed in SO^{*plog*} as follows:

$$\forall x(X(x) \to \exists y(SUCC(x, y) \lor \forall z(X(z) \to z < x)))$$

The whole formula for ConseqZeros^k can then be rewritten in Skolem normal form as a formula in Σ_1^{plog} with second order variables of exponent k.

Problem 4.3 (NoConseqZeros^k). Let NoConseqZeros^k denote the problem of deciding the language of binary strings which do *not* have greater than or equal $\lceil \log n \rceil^k$ consecutive bits set to 0, where *n* is the length of the string. Since syntactically the negation of a formula in SO^{*plog*} is not always a formula in SO^{*plog*}, we cannot just negate the formula for ConseqZeros^k in Problem 4.2 to get the SO^{*plog*} formula for NoConseqZeros^k. We can nevertheless define NoConseqZeros^k as follows:

$$\forall X(|X| = \log^k n \land SEQ(X) \to \exists x(X(x) \land R_1(x)))$$

This is equivalent to:

$$\forall X(\neg(|X| = \log^k n) \lor \neg SEQ(X) \lor \exists x(X(x) \land R_1(x))).$$

It follows that the negations of the sub-formulae $|X| = \log^k n$ that we defined in Problem 4.2 is in Π_1^{plog} . Regarding $\neg SEQ(X)$, it can be written in SO^{plog} as

$$\exists xyz(X(x) \land \neg X(y) \land X(z) \land x < y < z).$$

We then get that the formula for NoConseqZeros^k can be rewritten in Skolem normal form as a formula in Π_1^{plog} with second order variables of exponent k.

Problem 4.4 (ExactlyOnce^k). Let ExactlyOnce^k denote the problem of deciding the language of binary strings which contain the substring $0^{\lceil \log n \rceil^k}$ exactly once, i.e., s is in ExactlyOnce^k iff $0^{\lceil \log n \rceil^k}$ is a substring of s and every other substring of s is not $0^{\lceil \log n \rceil^k}$. This can be expressed formally in SO^{plog} by combining the formulae for ConseqZeros^k and NoConseqZeros^k (see Problems 4.2 and 4.3, respectively) as follows:

$$\exists X(|X| = \log^k n \land SEQ(X) \land \forall x(X(x) \to R_0(x)) \land \forall Y(Y = X \lor \neg (|Y| = \log^k n) \lor \neg SEQ(Y) \lor \exists x(X(x) \land R_1(x)))),$$

Clearly, all second order variables in the formula need maximum exponent k and the formula itself can be rewritten in Skolem normal form as a formula in Σ_2^{plog} .

The formulae expressing the following two problems can be well understood as formulae defining B-trees where the leaves are pointers to positions in the input string.

Problem 4.5 (AtLeastBlocks_l^k). Let AtLeastBlocks_l^k for $k, l \ge 0$ denote the problem of deciding the language of binary strings with at least $(\lceil \log n \rceil^k)^l$ nonoverlapping adjacent substrings of the form $0^{\lceil \log n \rceil^k}$ where n is the length of the string, or equivalently, the language of binary strings which have at least $(\lceil \log n \rceil^k)^{l+1}$ consecutive bits set to 0.

If l = 0 then this is equivalent to ConseqZeros^k and, as discussed in Problem 4.2, it can be expressed in Σ_1^{plog} .

If l = 1, we can express AtLeastBlocks^k in SO^{plog} as follows:

$$\exists X \forall xy \exists Z (|X| = \log^k n \land SEQP(X) \\ \land (X(x,y) \to (|Z| = \log^k n \land SEQ(Z) \land min(Z) = x \land max(Z) \\ = y \land \forall z(Z(z) \to R_0(z)))).$$

Here SEQP(X) denotes the sub-formula expressing that X is a set of ordered pairs that form a sequence where every consecutive (a_1, a_2) and (b_1, b_2) in the sequence satisfy that a_2 is the immediate predecessor of b_1 in the order <. This is clearly expressible by a SO^{*plog*} formula free of second-order quantification. The sub-formulae min(Z) = x and max(Z) = y have the obvious meaning and again can easily be expressed in SO^{*plog*} without using second-order quantification. The whole sentence can be transformed into an equivalent sentence in Σ_3^{plog} .

Finally, for every $l \ge 2$, we can express AtLeastBlocks^k_l in SO^{*plog*} with formulae of the form:

$$\begin{aligned} \exists X_1 \forall x_1 y_1 \exists X_2 \forall x_2 y_2 \cdots \exists X_l \forall x_l y_l \exists Z(|X_1| = \log^k n \land SEQP(X_1) \land \\ (X_1(x_1, y_1) \rightarrow \\ (|X_2| = \log^k n \land SEQP(X_2) \land minp(X_2) = x_1 \land maxp(X_2) = y_1 \land \\ \cdots \land (X_{l-1}(x_{l-1}, y_{l-1}) \rightarrow \\ (|X_l| = \log^k n \land SEQP(X_l) \land minp(X_l) = x_{l-1} \land maxp(X_l) = y_{l-1} \land \\ (X_l(x_l, y_l) \rightarrow \\ (|Z| = \log^k n \land SEQ(Z) \land min(Z) = x_l \land max(Z) = y_l \land \\ \forall z(Z(z) \rightarrow R_0(z))))) \cdots))). \end{aligned}$$

The sub-formulae of the form minp(X) = x (resp. maxp(X) = x) express that x is the smallest first element (resp. biggest second element) of any tuple in Xand is easily expressible in SO^{plog} by a formula free of second-order quantifiers. We can rewrite the whole formula as a $\Sigma_{2:l+1}^{plog}$ formula.

Problem 4.6 (ExactlyBlocks_l^k). Let ExactlyBlocks_l^k for $k, l \ge 0$ denote the problem of deciding the language of binary strings with exactly $(\lceil \log n \rceil^k)^l$ nonoverlapping adjacent substrings of the form $0^{\lceil \log n \rceil^k}$ where n is the length of the string, or equivalently, the language of binary strings which contain the substring $0^{(\lceil \log n \rceil^k)^{l+1}}$ exactly once.

If l = 0 then this is equivalent to ExactlyOnce^k and, as discussed in Problem 4.4, it can be expressed in Σ_2^{plog} . If l = 1, we can express ExactlyBlocks^k_l in SO^{plog} as follows:

$$\begin{aligned} \exists X \forall xy \exists Z (|X| = \log^k n \land SEQP(X) \land \\ (X(x,y) \to (|Z| = \log^k n \land SEQ(Z) \land min(Z) = x \land max(Z) = y \land \\ \forall z(Z(z) \to R_0(z)) \land \\ \forall X' \exists x'y' \forall Z'(X' = X \lor \neg (|X'| = \log^k n) \lor \neg SEQP(X') \lor \\ (X'(x',y') \land (Z' = Z \lor \neg (|Z'| = \log^k n) \lor \neg SEQ(Z') \lor \\ \neg (min(Z') = x') \lor \neg (max(Z') = y') \lor \\ \exists z'(Z'(z') \land R_1(z')))))). \end{aligned}$$

It is not difficult to see that this formula can be rewritten as a Σ_4^{plog} formula. Finally, for every $l \ge 2$, we can express ExactlyBlocks_l^k in SO^{plog} with formulae of the form:

$$\begin{aligned} \exists X_1 \forall x_1 y_1 \exists X_2 \forall x_2 y_2 \cdots \exists X_l \forall x_l y_l \exists Z(|X_1| = \log^k n \land SEQP(X_1) \land \\ (X_1(x_1, y_1) \rightarrow \\ (|X_2| = \log^k n \land SEQP(X_2) \land minp(X_2) = x_1 \land maxp(X_2) = y_1 \land \\ \cdots \land (X_{l-1}(x_{l-1}, y_{l-1}) \rightarrow \\ (|X_l| = \log^k n \land SEQP(X_l) \land minp(X_l) = x_{l-1} \land maxp(X_l) = y_{l-1} \land \\ (X_l(x_l, y_l) \rightarrow \\ (|Z| = \log^k n \land SEQ(Z) \land min(Z) = x_l \land max(Z) = y_l \land \\ \forall z(Z(z) \rightarrow R_0(z)) \land \end{aligned}$$

$$\begin{aligned} \forall X_1' \exists x_1' y_1' \forall X_2' \exists x_2' y_2' \cdots \forall X_l' \exists x_l' y_l' \forall Z'(X_1' = X_1 \lor \\ \neg(|X_1'| = \log^k n) \lor \neg SEQP(X_1') \lor (X_1'(x_1', y_1') \land (X_2' = X_2 \lor \\ \neg(|X_2'| = \log^k n) \lor \neg SEQP(X_2') \lor \neg minp(X_2') = x_1' \lor \\ \neg maxp(X_2') = y_1' \lor (\cdots \lor (X_{l-1}'(x_{l-1}', y_{l-1}') \land (X_l' = X_l \lor \\ \neg(|X_l'| = \log^k n) \lor \neg SEQP(X_l') \lor \neg(minp(X_l') = x_{l-1}') \lor \\ \neg(maxp(X_l') = y_{l-1}') \lor (X_l'(x_l', y_l') \land (Z' = Z \lor \\ \neg(|Z'| = \log^k n) \lor \neg SEQ(Z') \lor \neg(min(Z') = x_l') \lor \\ \neg(max(Z') = y_l') \lor \exists z'(Z'(z') \land R_1(z'))))) \cdots)))))))) \end{aligned}$$

We can rewrite formulae of this form as $\Sigma_{2:l+2}^{plog}$ formulae.

5 Proper Hierarchies in Polylogarithmic Time

We now present the key results of the paper showing that all the polylogarithmic complexity classes defined in Sect. 2, including every level of the polylogarithmic time hierarchy, contain proper hierarchies defined in terms of the smallest degree of the polynomial required for the decision problems introduced in the previous section.

In order to relate the problems described in the previous section using logics to the polylogarithmic complexity classes defined in terms of random-access Turing machines, we adhere to the usual conventions concerning binary encoding of finite structures [8]. That is, if $\sigma = \{R_1^{r_1}, \ldots, R_p^{r_p}, c_1, \ldots, c_q\}$ is a vocabulary, and **A** with $A = \{0, 1, \ldots, n-1\}$ is an ordered structure of vocabulary σ . Each relation $R_i^{\mathbf{A}} \subseteq A^{r_i}$ of **A** is encoded as a binary string $\operatorname{bin}(R_i^{\mathbf{A}})$ of length n^{r_i} where 1 in a given position indicates that the corresponding tuple is in $R_i^{\mathbf{A}}$. Likewise, each constant number $c_j^{\mathbf{A}}$ is encoded as a binary string $\operatorname{bin}(c_j^{\mathbf{A}})$ of length $\lceil \log n \rceil$. The encoding of the whole structure $\operatorname{bin}(\mathbf{A})$ is simply the concatenation of the binary strings encodings its relations and constants. The length $\hat{n} = |\operatorname{bin}(\mathbf{A})|$ of this string is $n^{r_1} + \cdots + n^{r_p} + q \lceil \log n \rceil$, where n = |A|denotes the size of the input structure **A**. Note that $\log \hat{n} \in O(\lceil \log n \rceil)$, so NTIME $\lceil \log^k \hat{n} \rceil = \operatorname{NTIME}[\log^k n]$ (analogously for DTIME). Therefore, we can consider random-access Turing machines, where the input is the encoding $\operatorname{bin}(\mathbf{A})$ of the structure **A** followed by the endmark \triangleleft .

The following simple lemmas are useful to prove our hierarchy theorems. They show that the problems in the previous section can be expressed by randomaccess machines working in the required levels of the hierarchy theorems.

Lemma 5.1. InitialZeros^k (see Problem 4.1) is in DTIME($\log^k n$).

Proof. Assume the input tape encodes a word model **A** of signature π , i.e., a binary string. A deterministic random-access Turing machine can in deterministic time $O(\log n)$ calculate and write in its index-tape the address of the first bit in the encoding of $R_0^{\mathbf{A}}$. Then it only needs to check whether this bit and the subsequent $\lceil \log n \rceil^k - 1$ bits in the input-tape are 1. If that is the case, then the machine accepts the input. Clearly, this process takes time $O(\log^k n)$.

Lemma 5.2. ConseqZeros^k (see Problem 4.2) is in NTIME($\log^k n$).

Proof. Assume the input tape encodes a word model **A** of signature π . A randomaccess Turing machine M can non-deterministically guess a position i in the input tape which falls within the cells encoding $R_0^{\mathbf{A}}$. This takes time $O(\log n)$. Then M can check (working deterministically) in time $O(\log^{k+1}n)$ whether each cell of the input tape between positions i and $i + \log^{k+1}n$ has a 0.

Lemma 5.3. NoConseqZeros^k (see Problem 4.3) is in ATIME^{op}($\log^k n, 1$).

Proof. Assume the input tape encodes a word model **A** of signature π . In a universal state, a random-access alternating Turing machine M can check whether for all cell in some position i in the input tape which falls in a position encoding $R_0^{\mathbf{A}}$ and is at distance at least $\lceil \log n \rceil^k$ from the end of the encoding, there is a position between positions i and $i + \lceil \log n \rceil^k - 1$ with 0. Each of these checking can be done deterministically in time $O(\log^k n)$. Therefore this machine decides NoConseqZeros^k in ATIME^{op}(log^k n, 1).

Lemma 5.4. ExactlyOnce^k (see Problem 4.4) is in ATIME($\log^k n, 2$).

Proof. We only need to combine the machines that decide ConseqZeros^k and NoConseqZeros^k in Lemmas 5.2 and 5.3, respectively. An alternating randomaccess Turing machine M can decide ExactlyOnce^k as follows: Assume the input tape encodes a word model \mathbf{A} of signature π . Let s and t be the cells that mark the beginning and end of the encoding of $R_0^{\mathbf{A}}$. These cells can be calculated by M in $DTIME(\log n)$. First M checks in an existential state whether there is a position i in the input tape which fall between s and $t - \lceil \log n \rceil^k + 1$ such that each cell between positions i and $i + \lceil \log n \rceil^k - 1$ has a 1. Then M switches to a universal state and checks whether for all cell in some position j between s and $t - \lceil \log n \rceil^k + 1$ of the input tape other than position i, there is a cell between positions j and $j + \lceil \log n \rceil^k - 1$ with 0. If these two checks are successful, then the input string belongs to ExactlyOnce^k. We already saw in Lemmas 5.2 and 5.3 that both checks can be done in time $O(\log^k n)$.

In order to get tighter upper bounds, in the previous lemmas we explicitly defined the random-access Turing machines that decide the problems. For the following two lemmas we use the upper bounds resulting from the proof of Theorem 3.2 instead, since there seems to be no better upper bounds for these cases. Thus, Lemmas 5.5 and 5.6 follow from the facts that: (a) to evaluate the SO^{plog} formulae in Problems 4.5 and 4.6 for AtLeastBlocks^k and ExactlyBlocks^k, respectively, the machine needs (as shown in the proof of Theorem 3.2 in [4]) to "guess" $\lceil \log n \rceil^k$ addresses, each of length $\lceil \log n \rceil$; and (b) the formula for AtLeastBlocks^k and ExactlyBlocks^k are in $\Sigma_{2\times l+1}^{plog}$ needs to be considered as $\Sigma_{2\times l+2}^{plog}$.

Lemma 5.5. AtLeastBlocks^k_l (see Problem 4.5) is in ATIME($\log^{k+1} n, 2 \cdot l + 1$).

Lemma 5.6. ExactlyBlocks^k_l (see Problem 4.6) is in ATIME($\log^{k+1} n, 2 \cdot l + 2$).

We can now prove our first hierarchy theorem which shows that there is a strict hierarchy of problems inside DPolylogTime.

Theorem 5.1. For every k > 1, DTIME $(\log^k n) \subsetneq$ DTIME $(\log^{k+1} n)$.

Proof. Lemma 5.1 proves that $\text{InitialZeros}^{k+1} \in \text{DTIME}(\log^{k+1} n)$. Regarding the lower bound, we will show that $\text{InitialZeros}^{k+1}$ (see Problem 4.1) is *not* in $\text{DTIME}(\log^k n)$.

Let us assume for the sake of contradiction that there is a deterministic random-access Turing machine M that decides InitialZeros^{k+1} in time $\lceil \log n \rceil^k \cdot c$, for some constant $c \geq 1$. Take a string s of the form 0^n such that $\lceil \log n \rceil^{k+1} > \lceil \log n \rceil^k \cdot c$. Let **A** be its corresponding word model. Since the running time of M on input **A** is strictly less than $\lceil \log n \rceil^{k+1}$, then there must be at least one position i among the first $\lceil \log n \rceil^{k+1}$ cells in the encoding of $R_0^{\mathbf{A}}$ in the input tape that was not read in the computation of $M(\mathbf{A})$. Define a string $s' = 0^i 10^{n-i-1}$ and a corresponding word model **B**. Clearly, the output of the computations of $M(\mathbf{A})$ and $M(\mathbf{B})$ are identical. This contradicts the assumption that M decides InitialZeros^{k+1}, since it is not true that the first $\lceil \log n \rceil^{k+1}$ bits of s' are 0. \Box

Our second hierarchy theorem shows that there is also a strict hierarchy of problem inside NPolylogTime.

Theorem 5.2. For every k > 1, NTIME $(\log^k n) \subsetneq$ NTIME $(\log^{k+1} n)$.

Proof. Lemma 5.2 proves that $\text{ConseqZeros}^{k+1} \in \text{NTIME}(\log^{k+1} n)$. Regarding the lower bound, we will show that ConseqZeros^{k+1} (see Problem 4.2) is not in $\text{NTIME}(\log^k n)$.

Let us assume for the sake of contradiction that there is a nondeterministic random-access Turing machine M that decides $\operatorname{ConseqZeros}^{k+1}$ in time $\lceil \log n \rceil^k \cdot c$, for some constant $c \geq 1$. Take a binary string s of the form $0^{\lceil \log n \rceil^{k+1}} 1^{n-\lceil \log n \rceil^{k+1}}$ such that $\lceil \log n \rceil^{k+1} > \lceil \log n \rceil^k \cdot c$. Let \mathbf{A} be its corresponding word model. Since M accepts \mathbf{A} , then there is at least one computation ρ of M which accepts \mathbf{A} in at most $\lceil \log n \rceil^{k+1} c$ steps. Then there must be at least one position i among the first $\lceil \log n \rceil^{k+1}$ cells in the encoding of $R_0^{\mathbf{A}}$ in the input tape that was not read during computation ρ . Define a string $s' = 0^i 10^{\lceil \log n \rceil^{k+1} - i - 1} 1^{n - \lceil \log n \rceil^{k+1}}$ and a corresponding word model \mathbf{B} . Clearly, the accepting computation ρ of $M(\mathbf{A})$ is also an accepting computation of $M(\mathbf{B})$. This contradicts the assumption that M decides $\operatorname{ConseqZeros}^{k+1}$, since it is not true that there are $\lceil \log n \rceil^{k+1}$ consecutive zeros in s'.

The following theorem shows that there is a strict hierarchy of problems inside the first level of the \tilde{H}_m^{plog} hierarchy.

Theorem 5.3. For every k > 1, ATIME^{*op*}($\log^k n, 1$) \subsetneq ATIME^{*op*}($\log^{k+1} n, 1$).

Proof. Lemma 5.3 proves that NoConseqZeros^{k+1} \in ATIME^{op}(log^{k+1}n, 1). Regarding the lower bound, we will show that NoConseqZeros^{k+1} (see Problem 4.3) is *not* in ATIME^{op}(log^kn, 1).

Let us assume for the sake of contradiction that there is an alternating random-access Turing machine M that decides NoConseqZeros^{k+1} using only universal states and in time $\lceil \log n \rceil^{k} \cdot c$, for some constant $c \ge 1$. Take a binary string s of the form $0^{\lceil \log n \rceil^{k+1}} 1^{n-\lceil \log n \rceil^{k+1}}$ such that $\lceil \log n \rceil^{k+1} > \lceil \log n \rceil^{k} \cdot c$. Let \mathbf{A} be its corresponding word model. From our assumption that M decides NoConseqZeros^{k+1}, we get that there is a rejecting computation ρ of $M(\mathbf{A})$. Since every computation of M which rejects \mathbf{A} must do so reading at most $\lceil \log n \rceil^{k+1} \operatorname{cells}$ in the encoding of $R_0^{\mathbf{A}}$ in the input tape that was not read during computation ρ . Define a string $s' = 0^i 10^{\lceil \log n \rceil^{k+1} - i - 1} 1^{n-\lceil \log n \rceil^{k+1}}$ and a corresponding word model \mathbf{B} . Clearly, the rejecting computation ρ of $M(\mathbf{A})$ is also a rejecting computation of $M(\mathbf{B})$. This contradicts the assumption that M decides NoConseqZeros^{k+1}, since s' do not have $\lceil \log n \rceil^{k+1}$ consecutive bits set to 0 and should then be accepted by all computations of M.

The following theorem shows that there is a strict hierarchy of problems inside the second level of the $\tilde{\Sigma}_m^{plog}$ hierarchy.

Theorem 5.4. For every k > 1, ATIME $(\log^k n, 2) \subsetneq$ ATIME $(\log^{k+1} n, 2)$.

Proof. Lemma 5.4 proves that $\text{ExactlyOnce}^{k+1} \in \text{ATIME}(\log^{k+1} n, 2)$. Regarding the lower bound, we will show that ExactlyOnce^{k+1} (see Problem 4.4) is *not* in $\text{ATIME}(\log^k n, 2)$.

We assume for the sake of contradiction that there is an alternating randomaccess Turing machine M that decides $\operatorname{ExactlyOnce}^{k+1}$ in $\operatorname{ATIME}(\log^k n, 2)$. We further assume, w.l.o.g., that every final state of M is universal. Let Mwork in time $\lceil \log n \rceil^k \cdot c$ for some constant c. Take a binary string s of the form $0^{\lceil \log n \rceil^{k+1}} 10^{\lceil \log n \rceil^{k+1}} 1^{n-2 \cdot \lceil \log n \rceil^{k+1}-1}$ such that $\lceil \log n \rceil^{k+1} > \lceil \log n \rceil^k \cdot c$. Let \mathbf{A} be its corresponding word model. From our assumption that M decides $\operatorname{ExactlyOnce}^{k+1}$, we get that there is a rejecting computation ρ of $M(\mathbf{A})$. Since every computation of M which rejects \mathbf{A} must do so reading at most $\lceil \log n \rceil^k \cdot c$ cells, then there must be a position i among the first $\lceil \log n \rceil^{k+1}$ cells in the encoding of $R_0^{\mathbf{A}}$ in the input tape that was not read during computation ρ . Define a string $s' = 0^i 10^{\lceil \log n \rceil^{k+1} - i - 1} 10^{\lceil \log n \rceil^{k+1}} 1^{n-2 \cdot \lceil \log n \rceil^{k+1} - 1}$ and a corresponding word model \mathbf{B} . Clearly, the rejecting computation ρ of $M(\mathbf{A})$ is also a rejecting computation of $M(\mathbf{B})$. This contradicts the assumption that M decides $\operatorname{ExactlyOnce}^{k+1}$, since s' has exactly one substring $0^{\lceil \log n \rceil^{k+1}}$ and should then be accepted by all computations of M.

The following result, together with Theorems 5.2 and 5.4, shows that there is a proper hierarchy of problems for every level of the polylogarithmic time hierarchy $\tilde{\Sigma}_m^{plog}$.

Theorem 5.5. For m > 2 and k > 1, it holds that $\operatorname{ATIME}(\log^k n, m) \subsetneq \operatorname{ATIME}(\log^{k+2} n, m)$.

Proof. Since m > 2, we have that Lemma 5.5 proves that if m is odd, then AtLeastBlocks^{k+1}_{(m-1)/2} is in ATIME(log^{k+2} n, m). Likewise, Lemma 5.6 proves that if m is even, then ExactlyBlocks^{k+1}_{(m-2)/2} is in ATIME(log^{k+2} n, m). Regarding the lower bounds, it is easy to see (given our previous results in this section) that: (a) for odd m, AtLeastBlocks^{k+1}_{(m-1)/2} is not in ATIME(log^k n, m), and (b) for even m, ExactlyBlocks^{k+1}_{(m-2)/2} is also not in ATIME(log^k n, m). Note that if m is odd, then we can prove (a) by contradiction following a similar argument than in the proof of the lower bound for Theorem 5.2. Likewise, if m is even, then we can prove (b) by contradiction following a similar argument than in the proof of Theorem 5.4. □

It is clear that by taking the complements of the problems AtLeastBlocks_l^k and ExactlyBlocks_l^k, a similar result holds for each level of the Π_m^{plog} hierarchy.

Theorem 5.6. For m = 2 and every k > 1, it holds that $\operatorname{ATIME}^{op}(\log^k n, m) \subsetneq$ $\operatorname{ATIME}^{op}(\log^{k+1} n, m)$. Moreover, For every m > 2 and every k > 1, it holds that $\operatorname{ATIME}^{op}(\log^k n, m) \subsetneq \operatorname{ATIME}^{op}(\log^{k+2} n, m)$.

6 On Polylogarithmic-Time and Complete Problems

In this section we investigate whether the concept of complete problem can somehow be applied to the complexity classes DPolylogTime and NPolylogTime. That is, we want to know whether we can isolate the most difficult problems inside these sublinear time complexity classes. The first step towards this objective is to find a suitable concept of many-one reducibility (m-reducibility for short).

It is quite clear that m-reductions with sublinear time bounds do not work. Consider for instance DPolylogTime reductions. Assume there is a complete problem P for the class NPolylogTime under DPolylogTime reductions. Let P'belong to NPolylogTime and let M be a deterministic random-access Turing machine that reduces P' to P in time $c' \cdot log^{k'}n$ for some constant c'. Then the output of M given an instance of P' of length n has maximum length $c' \cdot \log^{k'} n$. This means that, given an input of length n for P' and its reduction, the randomaccess Turing machine that computes the complete problem P can actually compute P(s) in time $O((\log \log n)^k)$ for some fixed k. This is already highly unlikely. If as one would expect there are more than a single complete problem for the class, then we could keep applying reductions from one problem to the other, infinitely reducing the time required to compute the original problem.

Let us then consider the standard concept of Karp reducibility, i.e., deterministic polynomially bounded many-one reducibility, so that we can avoid the obvious problem described in the previous paragraph. Rather surprisingly, there is no complete problems for DPolylogTime and NPolylogTime, even under these rather expensive reductions for the complexity classes at hand.

Theorem 6.1. DPolylogTime does not have complete problems under deterministic polynomially bounded many-one reductions. *Proof.* We prove it by contradiction. Assume that there is such a complete problem *P*. Since *P* is in DPolylogTime, then there is a random-access Turing machine *M* which computes *P* in time $O(\log^k n)$ for some fixed *k*. Thus *P* belongs to DTIME($\log^k n$). Let us take the problem InitialZeros^{*k*+1} of deciding the language of binary strings which have a prefix of at least $\lceil \log n \rceil^{k+1}$ consecutive zeros. Since *P* is complete for the whole class DPolylogTime, there must be a function $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$, computable in polynomial-time, such that $x \in$ InitialZeros^{*k*+1} iff $f(x) \in P$ holds for all $x \in \{0, 1\}^*$. It then follows that the size of f(x) is polynomial in the size of x. Let $|f(x)| = |x|^{k'}$, we get that the machine *M* which computes the complete problem *P* can also decide InitialZeros^{*k*+1} in time $O(\log^k n^{k'}) = O((k' \cdot \log n)^k) = O(\log^k n)$. This contradicts the fact that InitialZeros^{*k*+1} \notin DTIME($\log^k n)$ as shown in the proof of Theorem 5.1.

Using a similar proof than in the previous theorem for DPolylogTime, we can prove that the same holds for NPolylogTime. In fact, we only need to replace the problem InitialZeros^{k+1} by ConseqZeros^{k+1} and the reference to Theorem 5.1 by a reference to Theorem 5.2 in the previous proof, adapting the argument accordingly.

Theorem 6.2. NPolylogTime does not have complete problems under deterministic polynomially bounded many-one reductions.

Moreover, using the problems AtLeastBlocks $_l^k$ and ExactlyBlocks $_l^k$ together with its complements and Theorems 5.5 and 5.6, it is easy to prove that the same holds for every individual level of the polylogarithmic time hierarchy.

Theorem 6.3. For every $m \ge 1$, Σ_m^{plog} and Π_m^{plog} do not have complete problems under deterministic polynomially bounded many-one reductions.

7 Concluding Remarks

In this paper we showed that none of the classes $\tilde{\Sigma}_m^{plog}$ and $\tilde{\Pi}_m^{plog}$ $(m \in \mathbb{N})$ in the polylogarithmic time hierarchy has a complete problem. This result follows from the existence of proper hierarchies inside each of the classes. The proof that such hierarchies exist is constructive by defining concrete problems parameterized by $k \in \mathbb{N}$ for each class. For the definition of these concrete problems we exploit the logics capturing $\tilde{\Sigma}_m^{plog}$ and $\tilde{\Pi}_m^{plog}$, respectively. We expect that these results can be taken further towards an investigation of the strictness of the polylogarithmic time hierarchy as such. We also expect that similar strict hierarchies can be defined in terms of subsets of formulae in Σ_m^{plog} and Π_m^{plog} . Notice that the latter does not follow directly from the strict hierarchies proven in this paper, since in the proofs of the characterization results for the polylogarithmic-time hierarchy [3,5], there is *not* an exact correspondence between the exponents in the polylogarithmic functions that bound the time complexity of the machines and the exponents in the restricted second-order variables of the SO^{plog} formulae that define the machines.

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