

Deep Neural Network for Pancreas Segmentation from CT Images

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Abstract. Automatic pancreas segmentation from Computed Tomography (CT) images is a prerequisite of clinical practices such as cancer detection, yet challenging due to the variability in shape. To address this challenge, we propose a Hierarchical Convolutional Neural Network (H-CNN) to fuse multi-scale features, which could remedy the lost image details in progressive convolutional and pooling layers. In our proposed H-CNN, a hierarchical fusion block is designed to fuse low-level and high-level features across different layers. The H-CNN is evaluated on NIH pancreas dataset and outperforms the current stateof-art methods by achieving $86.59\% \pm 4.33\%$ in terms of DSC. The experimental results confirm the effectiveness of the proposed H-CNN.

Keywords: Pancreas segmentation \cdot Convolutional Neural Network \cdot Medical image segmentation

1 Introduction

Pancreas segmentation from CT images is an important step in computer-aided diagnosis and treatment such as cancer detection [[1\]](#page-6-0). In practice, to reduce the damage to other adjacent tissues and save human surgery, it is worthwhile [[2\]](#page-6-0) to explore an automated and precise method for pancreas segmentation from medical images. As segmentation from CT images is still a challenging task in pancreas diagnoses [\[3](#page-6-0)], we focus on pancreas segmentation from CT images in this paper.

1.1 Challenges and Motivations

There are two main challenges for automated CT pancreas segmentation. Firstly, the greatly irregular boundary of pancreas across different diseases (as shown in Fig. [1\)](#page-1-0). Secondly, the inherent image noise and distortions in CT images. Convolutional Neural Networks (CNNs) [[4\]](#page-6-0) are formed by consecutive convolutional layers [\[4\]](#page-6-0) and pooling layers [[5\]](#page-6-0), which have shown excellence in image segmentation. However, since pooling layers [\[6](#page-6-0)] in CNNs inevitably loss information details when applied to CT images, it is difficult to precisely delineate the variant boundary of the pancreas. To overcome the limitation [[7,](#page-6-0) [9\]](#page-6-0) of CNNs, there are effective methods proposed. For example, Deeplab [\[8](#page-6-0)] designed dilated convolution to replace pooling layer, which can enlarge the receptive field without down-scaling feature maps. Other methods such as SegNet [[10\]](#page-6-0) progressively up-sample the convolved feature maps from previous layers

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Fig. 1. Examples of current CNN based pancreas segmentation methods: segmentation results are marked as yellow, while the ground-truth is marked as red. (Color figure online)

to improve the image details. These methods made use of the enlarged convolved features from penultimate layers to retain more local image details.

The aforementioned methods showed improvements for three-channel color images, yet failing to achieve great performance on single-channel CT images to segment the pancreas. To more effectively make use of the convolved features from different layers in CNNs, Yu et al. [\[11](#page-6-0)] have proved the effectiveness of combining multi-scale features to retain local image details which are important to delineate boundary. Therefore, in this paper, we take the advantages of CNNs in a deep fusion of multiscale features to remedy boundary information and improve pancreas segmentation from CT images.

1.2 Related Work

Earlier pancreas segmentation methods for CT images, can be mainly grouped into probabilistic atlas and statistical shape modeling [[13,](#page-6-0) [14\]](#page-6-0). For example, Suzuki et al. [\[12](#page-6-0)] incorporated the spatial interrelations into a statistical atlas for pancreas segmentation. However, as it is difficult to find a model which covers all possible variabilities, these shape-based methods commonly fail to solve the challenge that the variant boundary shape of different pancreas.

More recently, some investigators have proposed CNNs based methods for pancreas segmentation. As the highly convolved features are produced from a set of layers in CNNs, they can be treated as high-level features. Roth et al. [[17\]](#page-6-0) learned high-level features from a holistically-nested network to further refine them with a random forest. Ronneberger et al. [[18\]](#page-7-0) proposed a popular model (U-Net) for medical image segmentation. Milletari et al. [[19\]](#page-7-0) extended U-Net into a 3D model (V-Net) and achieved improvement. Zhou et al. [\[20](#page-7-0)] suggested to find a rough pancreas region and refined the

Fig. 2. Overview of the proposed H-CNN for pancreas segmentation. (a): the architecture of H-CNN. The H-CNN adopts VGG16 for feature extraction. Finally, H-blocks perform multi-scale feature fusion on the top of VGG. (b): detailed structure of a Hierarchical fusion block which fuses multi-scale features to remedy the local details from the feature extraction stage.

region through learning an FCN based fixed-point model iteratively. Although highlevel features can contain more semantic information, relying on these features may limit the segmentation performance of CNNs – because of the lost boundary information obtained from low-level layers in CNNs.

1.3 Contribution

The main contribution of this paper is fusing multi-scale features for pancreas segmentation from CT images. Firstly, alternative to generating segmentation maps from high-level features, our H-CNN hierarchically extracts and fuse high-level and lowlevel features to address the challenge of irregular pancreas boundary. The proposed Hierarchical Fusion Block (H-block) can hierarchically refine different level features, especially the proposed H-block can capture context from a larger image region to better make use of features. Secondly, we used residual connections [\[21](#page-7-0)] in H-block to propagate gradients throughout different layers.

2 Method

As shown in Fig. 2, H-CNN is built upon encoder-decoder architecture. The encoder sub-network is a VGG16-type network to extract image features in different resolution. Then, the Hierarchical Fusion Block (H-block) in the decoder sub-network fuses highlevel features convolved from the encoder with low-level features to retain local details.

2.1 Convolution-Pooling Block

Convolution-pooling blocks (CP-block) lies in the encoder sub-network, where each of them has two convolutional layers and one pooling layers. The convolutional layers convolve input image to extract features, while the pooling layers enlarge the receptive field and reduce the sensitivity of features to shift and distortion.

2.2 Hierarchical Fusion Block

Due to the lost local details in encoder sub-network are important for boundary delineation, we propose a hierarchical fusion block (H-block) to fuse multi-scale features so as to remedy the local details. As shown in Fig. [2\(](#page-2-0)b), each H-block has three main components: multi-scale fusion block, hierarchical convolution block and residual convolution block.

Multi-scale Fusion. This block first up-samples the high-level features for input adaptation, which generates feature maps at the same feature dimensions as the lowlevel features. Then, all feature maps are fused by concatenation.

Hierarchical Convolution Block. The output features then are fed into the hierarchical convolution block. The proposed hierarchical convolution block aims to capture features from a larger image region. In particular, this part has a set of convolution blocks, each having a dilated convolution layer and a convolution layer. The dilated convolution layer could generate features from an enlarged receptive filed without losing feature details. Note that each dilated convolution is followed by a convolution layer which servers as cross-channel interaction and information aggregation. The output features of all hierarchical convolution blocks are fused together with the input features through summation.

3 Data and Experiment

3.1 Data and Evaluation Metrics

The NIH pancreas segmentation dataset [[3\]](#page-6-0) containing 82 CT samples, is used to evaluate the proposed model. The resolution of each sample is $512 \times 512 \times D$, where $D \in [181, 466]$. Manual ground-truths for the samples are also supplied.

Dice Srensen Coefficient (DSC) and Volumetric overlap error (VOE) are two common evaluation metrics in pancreas segmentation [[17,](#page-6-0) [22](#page-7-0)]. In this paper, we used these two metrics to evaluate our model. Denote P and G as the segmentation result and ground-truth mask, DSC is formulated as $DSC(P, G) = \frac{2*|P \cap G|}{|P| + |G|}$. The value of DSC ranges in [0, 1], where a good segmentation method should have a high DSC. VOE is defined as $VOE(P, G) = 1 - \frac{|P \cap G|}{|P \cup G|}$, which represents the error rate of segmentation result.

3.2 Implementation

All experiments were run on an NVIDIA TITAN GPU to boost the training. For the data augmentation, we utilized rotation (90 $^{\circ}$, 180 $^{\circ}$ and 270 $^{\circ}$) and flip in all three planes, to increase the number of training samples. We then trained H-CNN by SGD optimizer with a 10 mini-batch and a base learning rate to be 0.001 via polynomial decay in a total 80000 iterations. Following the training protocol [\[9](#page-6-0)], we performed 4-fold crossvalidation to validate our model. The H-CNN is compared with four state-of-art pancreas segmentation methods, including Fixed-Point [\[20](#page-7-0)], Hierarchical FCN [\[23](#page-7-0), [24\]](#page-7-0), Holistically-Nested [[17\]](#page-6-0) and DeepOrgan [\[3](#page-6-0)].

4 Results

Overall Performance. The experimental results (DSC) of H-CNN and comparison methods on the NIH pancreas segmentation dataset are listed in Table 1. The comparison with previous methods showed that our method achieved a better segmentation result. To quantify the improvements in terms of statistical significance, we tested the p-value whose value ≤ 0.05 indicates a significant difference.

Evaluation of Hierarchical Fusion Block (H-Block). After high-level features obtained from the encoder sub-network, we fused multi-scale features via H-block. To provide a clear pattern about the effect of this block, we removed the H-block and directly used original decoder structure in U-Net to produce the final segmentation maps. As shown Fig. [4,](#page-5-0) the proposed H-block can optimize pancreas segmentation compared to the baseline model U-Net. To quantify these improvements in terms of statistical significance we performed student t-tests, where the p-value ≤ 0.05 (DSC: p -value = 0.004 and VOE: p -value = 0.017).

5 Discussion

H-CNN Segmentation. The advantage of using H-CNN for pancreas lies in designing a Hierarchical Fusion Block (H-block) which fuses multi-scale features to remedy the lost local details brought by pooling. Although the location of pancreas can be predicted using the high-level features obtained from the down-sampling procedure, the

Method	DSC [%]	VOE $[\%]$
DeepOrgan	71.08 ± 8.20	47.50 ± 18.51
Holistically-Nested	81.30 ± 6.30	34.57 ± 16.73
Hierarchical FCN	82.20 ± 10.20	28.90 ± 10.23
Fixed-Point	82.37 ± 5.68	34.57 ± 16.73
H-CNN	86.59 ± 4.33	24.05 ± 5.73

Table 1. Quantitative results of different methods on NIH pancreas dataset.

Fig. 3. Comparison of generated pancreas segmentation maps between our proposed model and state-of-art methods (RF, coarse-to-fine and high-level feature based methods) in two pancreas CT cases; segmentation results are shown in yellow and the ground-truth is shown in red. (Color figure online)

Fig. 4. An example of axial pancreas CT images compares the delineation results of U-Net baseline and ours: segmentation results are shown in yellow and the ground-truth is shown in red (Best viewed in color).

pancreas boundary cannot be precisely delineated. Some CNNs for segmentation such as FCN and U-Net, also fuse low-level and high-level features. However, these networks just simply fuse corresponding features directly from encoder with up-sampled decoder output through skip connection, which may not efficiently use different level features. By contrast, the proposed Hierarchical Fusion block (H-block) can hierarchically refine different level features, especially the proposed H-block can capture context from a larger image region to better make use of features.

H-CNN and the State-of-Art Methods. DeepOrgan segmented pancreas by classifying the candidate regions with random forest. Hierarchical FCN purely used highlevel features for segmentation. However, as these two methods purely relied on highlevel features which failed to delineate complex boundary (Fig. 3). Holistically-Nested FCN and Fixed-point methods are not end-to-end models, thus, the trained models may not be suboptimal. By contrast, our H-CNN fused multi-scale features to more precisely delineate the pancreas segmentation.

6 Conclusion

In this paper, we proposed a CNN based model, H-CNN, for CT pancreas segmentation. Motivated by the high relevance of low-level features and boundary delineation, we fused low-level images cues and high-level convolved features to delineate the pancreas boundary. Our H-CNN outperformed the existing popular CNN models.

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