# **Spectral Reflectance of Soil**



Jerzy Cierniewski

# 1 Introduction

The reflectance spectra of soils in the visible and near infrared (VNIR) and shortwave infrared (SWIR) contain information on mineral groups, organic matter and soil texture. The spectra collected by airborne hyperspectral scanners were corrected for the influence of the atmosphere and the non-Lambertian behavior of soil surfaces whose real roughness formed is by agricultural tools; this made it possible to determine the content of soil organic carbon, calcium carbonate and textural clay with an accuracy expressed by a coefficient of determination ( $R^2$ ) of 60–75% (Schwanghart and Jarmer 2011; Gomez et al. 2008a, b; Selige et al. 2006). The same soils' spectra obtained in the laboratory on air-dried, smooth soil samples using a spectroradiometer with a contact-probe sensor show a higher overall reflectance (mainly due to the effect of their roughness having been minimized) and this allowed the contents of the above mentioned components to be determined with an R<sup>2</sup> of about 10-20% higher. Quantitative soil properties in both of the above situations were obtained by multivariate linear regression analysis of the reflectance spectra with respect to such chemical and physical data, using, for example, partial-square regression, spline signal regression and support vector machine (Selige et al. 2006; Stevens et al. 2010). As part of a research project aimed at quantifying the annual dynamics of shortwave radiation reflected from arable lands on a global scale taking into account their roughness, the soil spectra obtained in the laboratory were also used to predict the variation of the diurnal broadband blue-sky albedo of soils ( $\alpha$ ) in clear-sky conditions. This variation allows for more precise calculation of the average diurnal  $\alpha$  values of soils and, on this basis, also of their average  $\alpha$  values over longer periods of several days, a month, a season or a year. It can be useful for modeling the climate on a global

J. Cierniewski (🖂)

Department of Soil Science and Remote Sensing of Soils, Adam Mickiewicz University, Bogumiła Krygowskiego 10, 61-680 Poznań, Poland e-mail: ciernje@amu.edu.pl

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scale, which in light of the statement of Sellers et al. (1995) require  $\alpha$  values with an accuracy of better than  $\pm 2\%$ . The laboratory reflectance spectra of geo-referenced topsoil samples, which are stored together with the chemical and physical attributes of these samples in soil spectral libraries (SSL) on national and continental scales, were used in this project. The example of this project shows that information coded in the soil spectra collected in the Global SSL not only "can be associated to land cover and its global geographic distribution, which may acting as a surrogate for global climate variability" (Viscarra Rossel et al. 2016), but can also be directly used for quantification dynamics of shortwave radiation reflected from one of the Earth's land surface components-bare arable lands. Although bare arable soils occur in relatively short periods on these conventionally cultivated lands (Cierniewski et al. 2018c; Cierniewski and Ceglarek 2018), due to their large total surface they can significantly affect the energy transfer between them and the atmosphere (expressed by their  $\alpha$ ), depending on their spectral reflectance properties, including their roughness formed by agricultural tools. Smoothing rough arable lands that have previously been deeply plowed with, for example, a smoothing harrow increases their  $\alpha$ , resulting in a lower amount of shortwave radiation being absorbed by their surface layer. Those surfaces emit less long-wave radiation, leading to a reduction in their temperature, which can modify the Earth's climate (Desjardins 2009; Farmer and Cook 2013).

#### 2 Reflectance Spectra

The proportions between amounts of radiant flux of electromagnetic radiation in specific wavelength ( $\lambda$ ) incident on an object that are reflected from its surface ( $\rho_{\lambda}$ ), absorbed by the surface and transmitted by the surface vary relative to the wavelength in a way that is particular to each of Earth object (Lillesand et al. 2004). The reflectance has a directional character and as such depends on the direction of surface illumination and of its observation by a sensor. The reflectance spectrum of an object in the optical domain (0.35–2.5 µm) is the collection of the  $\rho_{\Omega,\lambda}$  values describing the ratio of the reflected radiant flux from its surface (*L*) into solid angle ( $\Omega$ ) to the radiant flux incident on its surface (*E*) into  $\Omega$  for a specific  $\lambda$  (Palmer 1982):

$$\rho_{\Omega,\lambda} = \frac{L_{\Omega,\lambda}}{E_{\Omega,\lambda}} \tag{1}$$

Each object has a specific spectrum, which can distinguish the object from others, identify it and determine its properties. The higher the number of spectral bands and the narrower the ranges that are taken into account, the more accurate this identification will be. The significant technological advances that have occurred over the past 30 years in the construction of spectrometers and radiometers working in visible and near infrared (VNIR:  $0.35-1.1 \ \mu$ m) and shortwave infrared (SWIR:  $1.1-2.5 \ \mu$ m),

enabled the development of remote sensing methods for identification of Earth's objects and their properties using the spectra measured by these instruments.

## 2.1 Factors Affecting Soil Reflectance Spectra

Soil is the medium for plant growth, and a habitat for many insects and other organisms. It provides us food, fuel and fibers. It acts as a system for carbon storage and filtration of surface water and regulates the emissions of greenhouse gases, and thus affects the Earth's climate. Soil degradation and increasing urbanization disturb the agro-ecological balance (Viscarra Rossel et al. 2016). In order to manage soils in a sustainable manner (UN Sustainable Development Knowledge 2015) and thus preserve them for future generations, it is important to have a deeper understanding of the processes and function they perform. As a natural body of Earth material, soil consists of minerals and organic substances. These constituents have an influence on the soil's spectral reflectance pattern. Nearly all optical shortwave radiation incident on soil surfaces is either absorbed or reflected, and only a little part is transmitted. Strong absorption of the radiation is mainly caused by the solid phase of soils consisting of opaque mineral particles covered with soil organic matter (SOM) in its various stages of decomposition, as well as the soil liquid phase that consists of water and dissolved ions in various amounts. Soil pores not filled with the liquid phase are filled with soil air, constituting the gas phase, i.e. soil air of similar composition to that of atmospheric air but with varying concentrations of oxygen and carbon dioxide depending on the biochemical activity at the root zone. The pores filled with soil air can act as specific optical fibers, having their origin on soil surfaces and ending at a depth of 1-10 mm (Mikhajlova and Orlov 1986). Shortwave radiation is mostly absorbed in such a thin layer of soils, usually in the horizon of SOM accumulation.

The shape and overall level of soil spectra in the solar reflective radiation range is the result of the physical process of light scattering in the VNIR region, depending on the size of soil particles, and their shape and distribution in soil materials (Ben-Dor et al. 1999). Soil components such as iron oxides or organic matter determine the slope of the spectra at the wide wavelength range lower than 1.0  $\mu$ m. The chemical processes associated with the absorption of light by soil components are revealed in the narrow ranges of the SWIR region of soil spectra. The presence of water molecules contained in the soil in the form of hygroscopic water is clearly visible around 1.4 and 1.9 m, and for example, clay minerals and calcite around 2.2 and 2.3 m (Ben-Dor 2002).

#### 2.1.1 Soil Organic Matter

The spectral reflectance level of soils in the VNIR region decreases as their SOM content increases. If the SOM content is lower than 2%, a small increase results in a strong decrease in the reflectance of such soils (Al-Abbas et al. 1972; Fedchenko

1982). More and more fragments of usually bright mineral particles in such soils are covered by dark SOM; hence the reflectance of these soils is less and less similar to that of their parent material. A growth in the amount of SOM from 2 to 4% results in a further increase in the surrounding of the parent material particles by SOM. If the last of these particles are completely surrounded by SOM, and this occurs at an SOM content of about 5-6%, a further increase in its content very slightly decreases the reflectance of soils. The minimum of this reflectance is observed for soils with SOM content of about 10-12% (Swain 1978; Latz et al. 1984). Vinogradov (1981), Orlov and Sukhanova (1983) and Baumgardner et al. (1986) described the relation between the SOM of soils and their reflectance in the VNIR using an exponential function. The reflectance level of bare soils also depends on the quality of SOM, resulting from the proportion of the two main fractions of humus compounds, humic and fulvic acids (Obukhov and Orlov 1964; Białousz and Girard 1978; Bauer et al. 1981; Vinogradov 1981: Mikhailova and Orlov 1986). Humic acids absorb more visible radiation than do fulvic acids: the latter reflect from 1.5 to 2 times more radiation in the range of blue waves and from 3 to 4 times more in the range of green and red waves (Obukhov and Orlov 1964). The differentiation in the reflectance of these fractions of humus compounds is explained by the difference in their molecular structure. Molecules of humic acids have a spatially more complicated structure than fulvic acids, so that they are optically denser and thus absorb more shortwave radiation (Kononova 1956, 1963). The higher the proportion of humic acid to fulvic acid in SOM (expressing the SOM quality) and the higher the SOM content, the lower the spectral reflectance of bare soils. The relations between the spectral reflectance of soils and their SOM content were determined on the basis of soil samples of various SOM qualities. Thus, the wavelength ranges for which these relations were closest were found in different wavelength ranges. Al-Abbas et al. (1972), Vinogradov (1981), Mikhajlova and Orlov (1986) defined this range for orange and red waves (between 0.6 and  $0.75 \,\mu$ m), while Henderson et al. (1992) defined it for the wider range from blue to red wavelength (0.43–0.69  $\mu$ m). Close relations between SOM and soil reflectance level was also found in the SWIR region. Dalal and Henry (1986) determined it between 1.7 and 2.05  $\mu$ m, and Morra et al. (1991) between 1.73 and 2.43  $\mu$ m.

Another course of the relationship between the spectral reflectance level of soils and their SOM content has been observed in peat soils with different levels of organic matter decomposition. With the progress of decomposition of peat materials from fibric through hemic to sapric, a pronounced decrease in the spectral reflectance of such materials was observed (Baumgardner et al. 1986). Mikhajlova and Orlov (1986) reported a minimum of this reflectance for peat material with an SOM content of 60–80%, which corresponds to sapric material.

#### 2.1.2 Iron Oxides

Among the iron compounds, iron oxides in the form of non-aluminosilicate minerals such as goethite and hematite, as well as poorly crystallized and amorphous forms that surround the mineral soil particles, have the strongest effects on spectral reflectance of soils in VNIR (Karmanova 1981; Mikhajlova and Orlov 1986). The content of iron oxides in soils reduces their spectral reflectance mainly in the yellow and red waves range from 0.52 to 0.62  $\mu$ m (Obukhov and Orlov 1964; Krishna Murti and Satyanarayana 1971; White 1971). However, this reduction has a selective character (Cipra et al. 1971). It is observed in the yellow waves for strongly hydrated oxides, among which goethite dominates, and in the red waves for less hydrated oxides, where hematite has the largest share. Other absorption bands occur around 0.7 and 0.87  $\mu$ m (Stoner et al. 1980), and there is additional absorption in the middle infrared wavelengths (Hunt and Salisbury 1970; Mulders 1987).

#### 2.1.3 Calcium Carbonates

Clark et al. (2003) found that calcium carbonate (CaCO<sub>3</sub>) most strongly absorbs shortwave radiation at wavelengths of 2.21 and 2.35  $\mu$ m (2008). CaCO<sub>3</sub> differently affects the spectral reflectance of soils in laboratory conditions than in field conditions. In the laboratory, the higher the CaCO<sub>3</sub> content in the soil samples with disturbed aggregates, the higher their reflectance (Lagacherie et al. 2008). Cierniewski and Kuśnierek (2010) reported that this relationship in laboratory conditions is almost directly proportional in the spectral region above 1  $\mu$ m. Bialousz (1978) found that the relation in field conditions becomes directly proportional if the CaCO<sub>3</sub> content is higher than 20%. If the content is lower than this value, this relation is inversely proportional and indirect. This author explained that CaCO<sub>3</sub> is conducive to the formation of soil aggregates. These aggregates increase the surface roughness of the soil, thus reducing of soil reflectance despite the high spectral reflectance of this substance. CaCO<sub>3</sub> promotes the formation and accumulation of permanent connections between humus compounds, iron oxides and clay fraction.

#### 2.1.4 Moisture of Soil Surface

Generally, a decrease in the moisture of the soil surface causes an increase in the overall level of their reflectance spectra (Idso et al. 1975; Baumgardner et al. 1986; Music and Pelletier 1986). The darkening of a moist soil in VNIR, where water absorption is minimal, is mainly due to the increased probability of the light scattering forward, deeper into the depth, which increases multiply scattering, increases the probability of absorption and decreases the reflectance of the soil (Twomey et al. 1986; Lekner and Dorf 1988; Philpot 2010). The tendency for increased scattering of such soil is further increased by the presence of air–water boundaries in the soil pore spaces. If the soil water content (SWC) decreases from the state of full saturation (FS) to the state of field capacity (FC), the level of soil spectral reflectance does not change (Tolchelnikov 1974; Vinogradov 1983) or decreases slightly (Cierniewski 1988, 1993). The increase in the level of the soil spectra is most pronounced if SWC decreases from FC to the state of maximum hygroscopicity (MH) (Bowers and Smith 1972; Tolchelnikov 1974). If SWC continues to decline to the absolutely

dry state (AD), the reflectance level increases slightly (Vinogradov 1976, 1983) or is unnoticeable (Tolchelnikov 1974; Cierniewski 1985, 1988; Cierniewski et al. 1988). The aforementioned soil moisture states, depending on their texture, correspond to different SMC values expressed as the proportion mass of water to mass of dry soil. For example, sand and clay get MH at SMC of about 1%, and 6%, respectively (Vinogradov 1983). However, the same materials obtain FC at SMC of around 5 and 22%. Such different courses of dependence between the spectral reflectance level of soils and their moisture in these three SWC ranges are explained by the dissimilarity between the water forms that occur there. In the SWC range from FS to FC there is gravitational water. In the range from FC to MH there is capillary water in fine pores, and in the range from MH to AD there is only chemically combined water, water in the form of vapor and hygroscopic water.

Moisture of the soil surface is the most dynamically changing factor determining the overall level of reflectance spectra of soils in field conditions (Milfred and Kiefer 2010). It is observed especially in the summer when the surface layer of soils quickly achieves a state of air-dried soil (Andronikov 1979). The increase in soil spectral reflectance is more noticeable for light-colored soils with a lower SOM content than for dark-colored soils with a higher such content (Białousz and Girard 1978; Evans 1979; Mikhajlova and Orlov 1986).

#### 2.1.5 Soil Surface Roughness

Soil Surface Roughness in the Laboratory

The property of soils that is unchanged over time is the particle size of their mineral fraction. Investigation of the effect of this size on the level of spectral reflectance in the laboratory on samples with natural structure having been destroyed shows clearly that their reflectance decreases with the increase in the diameter of soil particles. Bowers and Hanks (1965) analyzed fractionated soil material with particle diameters from 0.02 mm to 2.65 mm and determined that this relationship could be described using the exponential function, which represents the sharpest decline in the reflectance in the range of the diameters from 0.02 mm to 0.4 mm. This regularity was also confirmed by studies conducted by Piech and Walker (1974), who analyzed it in the VNIR range on seven loamy soil fractions separated on sieves with mesh diameters from 0.62 to 2 mm. Gerbermann and Weber (1979), investigated the spectral reflectance of soil samples prepared as mixtures from the clay and sandy fractions, and found that it is directly proportional to the content of their sandy fraction.

Soil samples with destroyed aggregates sieved through a 2-mm sieve are prepared to measure soil reflectance spectra in laboratory conditions in order to determine the soil texture and the content of such soil components as soil organic carbon (SOC), iron oxides and calcium carbonates (Ben-Dor et al. 2015). In order to minimize the impact of surface irregularities in these samples, receptors recording diffusion reflectance are most commonly used, such as for example a High-Brite Muglight receptor. Quantitative data on the properties of the investigated soils are extracted

from their reflectance spectra using multivariate linear regression analysis of the spectra against the chemical and physical data through several methods, such as for example partial squares regression (PLSR), spline signal regressions (PSR) and support vector machine (SVM) (Selige et al. 2006; Stevens et al. 2013). The proportion of sand and clay and the content of CaCO<sub>3</sub> and SOC can be determined in this way with the correctness expressed by coefficient of determination ( $R^2$ ) reaching 0.85–0.95 (Selige et al. 2006; Gomez et al. 2008a, b; Schwanghart and Jarmer 2011). The correctness of these components in field conditions with a much greater natural roughness using airborne hyperspectral scanners shows a lower correctness of about 20% due to the influence of the atmosphere and the position of the sun and the direction of observation of studied soil surfaces.

#### Soil Surface Roughness in the Field

The influence of soil particle size on the spectral reflectance level of soils is usually almost imperceptible compared to the considerably stronger impact of their surface roughness caused by the existence of soil aggregates, clods and stones of various sizes under field conditions. In general, in these conditions, coarse texture (sandy) soils form smaller aggregates than those developed from fine texture (for example loamy), which form larger aggregates and clods. Smaller soil aggregates have a more compact, spherical shape than larger ones that have an irregular shape and are often cracked. As a result, the surfaces of these first soils appear smoother, with a higher spectral reflectance than especially those with a high density of large clods, which causes a clearly lower soil reflectance (Orlov 1969; Orlov et al. 1976). Thus, the particle size in the field indirectly and inversely affects the level of soil reflectance in contrast to its effect in the laboratory. Al-Abbas et al. (1972) noticed that a higher proportion of the sandy fraction significantly reduces the roughness of the soil surfaces, which increases their spectral reflectance. The reflectance from the soil surfaces with aggregates with a diameter from 2 to 10 mm is almost invariable, while with smaller aggregates it shows a clear differentiation (Orlov 1969; Curran et al. 1990). Generally, as the size of soil aggregates decreases, there is a clear increase in its spectral reflectance (Bowers and Hanks 1965; Piech and Walker 1974). The decrease in spectral reflectance of soils as a result of their roughness increasing is explained by the phenomenon of multiple reflections of the radiation that illuminates them. Most of the direct sunlight falling on the soil surfaces is absorbed by them and reflected from them, scattering it in all directions. This scattered radiation falling on them again is absorbed once again and reflected from them in a much smaller proportion than the original incident (Linden 1979; Cruse et al. 1980). The deep spaces between the large aggregates and clods are mostly "traps" for the sunlight directly falling on them (Orlov 1966; Coulson and Reynolds 1971).

Girard and Białousz (1989) presented the results of field studies showing how the effect of SOM content and soil surface moisture on the spectral reflectance of soils as their roughness changed. Epiphanio and Vitorello (1984) state that the correct

separation of dried soil surfaces from wet ones using remote sensing depends largely on their roughness.

#### Measurements of Soil Surface Roughness

In some climatic zones, the rain strongly changes the physical properties of the soil surface, reducing the infiltration of rainwater and increases the effects of water erosion through increased surface runoff (Collinet and Valentin 1985; Morgan 1985; Ghishi and Morgan 1986; Römkens and Wang 1986; Zobeck and Onstad 1987; Moreno et al. 2008; Thomsen et al. 2015). To effectively counteract these processes, the interdependencies between the state of roughness of selected soil surfaces and the possibilities of absorbing and storing water in their surface levels were investigated (Mitchell and Jones 1978; Onstad 1984). Decades ago, the variation of soil surface shape was measured along a direction using a profile meter with needles or a chain set (King 1979; Boiffin and Monnier 1986; Gilley and Kottwitz 1995). Desmet et al. (1988) suggested recording irregularities in soil surfaces using a paint sprayer on a vertically positioned piece of paper. Huang et al. (1988) and Bertuzzi et al. (1990) propose replacing or supplementing these simple tools with laser scanners constructed by them to measure the height of soil aggregates and clods in two-dimensional space along a single line with resolution of approximately 1 mm. Cierniewski et al. (2004), investigating the bidirectional reflectance of stony, sandy and silty surfaces in the Negev desert, measured their irregularities in three-dimensional space in a  $1 \times 1$  cm grid with resolution of 1.5 mm using a laser device constructed at Ben Gurion University of the Negev. Some years later, Cierniewski et al. (2013) used a laser scanner camera, which measured the shape of desert and cultivated soil surfaces also in three-dimensional space with horizontal and vertical spatial resolutions of 1 mm. Currently, roughness of a soil surface is often examined by close-range digital photogrammetry using its images in three-dimensional space taken from over a dozen directions by a hand-held digital camera moving around the surface (Rieke-Zapp and Nearing 2005; Peter Heng et al. 2010; Gilliot et al. 2017). This photogrammetric method makes it possible to register soil surface irregularities with a similar spatial resolution of approximately 1 mm. Data obtained in this way allow digital elevation models (DEM) of the examined surfaces to be created, and these then become the basis for calculating soil surface roughness indices.

#### Soil Roughness Indices

The roughness of soil surfaces was described using quantitative indices. The standard deviation of the surface height (*HSD*) is the most common index for describing the soil surface roughness (Ulaby et al. 1982). The turtle index, representing the ratio of the actual length of the soil surface profile to the projected horizontal length of this profile, was proposed by Boiffin (1986). Later, Taconet and Ciarletti (2007) modified this index to describe the irregularities of a surface in two-dimensional space ( $T_{3D}$ ),

defining it as the ratio of the real surface area within its basic DEM unit to its flat horizontal area. Other indices used to describe changes in soil surfaces roughness due to rainfall or sprinkler irrigation (caused by the breakdown of soil aggregates and the formation of soil crust) use a semivariogram analysis (Rosa et al. 2012; Croft et al. 2013; Vermang et al. 2013).

### 2.2 Bidirectional Reflectance Quantities

It is not only differences in the scale of soil surface irregularities between those measured under field conditions (with naturally formed aggregates and clods) and samples of the same soils measured in the laboratory (where the influence of their roughness is minimized by the destruction of soil aggregates) that cause distinctly different values of their bidirectional reflectance. Surfaces in the field are illuminated by direct sunbeams and diffuse light from the sky, while the samples in the laboratory are usually illuminated by a single collimated light source. The latter case can be described using the bidirectional reflectance distribution function (BRDF) that expressed the scattering of parallel (collimated) beams incident on a surface from one direction in the hemisphere into another direction in the hemisphere (Schaepman-Strub et al. 2006):

$$BRDF = f_r(\Omega_i, \Omega_r) = f_r(\theta_i, \phi_i; \theta_r, \phi_r) = \frac{dL_r(\theta_i, \phi_i; \theta_r, \phi_r)}{dE_i(\theta_i, \phi_i)} [sr^{-1}]$$
(2)

However, it should be remembered that, in proposing BRDF as the fundamental quantity characterizing the reflecting properties of a surface, Nicodemus et al. (1977) formulated that the surface must be horizontally homogeneous, and that a uniform irradiance flux coming from a single direction covers a large enough area that radiation leaving the surface does not vary with horizontal position. Therefore, BRDF defines only the point of such a surface (Di Girolamo 2003) (Fig. 1). The two radiation environments are defined by two angles, depending on the direction of incidence of radiation of the light source  $\Omega_i$  and the direction of reflected radiance coming to the sensor  $\Omega_r$ . One of them refers to the zenith and symbolizes the light source zenith angle as  $\theta_i$  and the view zenith angle as  $\theta_r$ . The second is horizontal, and is called the azimuth angle, and indicates the light source azimuth angle as  $\phi_i$ and the view azimuth angle as  $\phi_r$ . By measuring the reflectance ( $\rho$ ) of a sample surface such as, for example, soil, illuminating it with parallel beams from a single light source (as is usual in laboratory measurements), the bidirectional reflectance factor (BRF) is used. This unitless quantity is defined as the ratio of the reflected radiant flux  $(\Phi_r)$  from the surface area dA to the radiant flux  $(\Phi_r^{id})$  reflected from an ideal lossless and diffuse (Lambertian) standard panel, illuminated and observed at the same directions as the sample surface being tested (Schaepman-Strub et al. 2006):





$$BRF = \rho(\theta_i, \phi_i; \theta_r, \phi_r) = \frac{d\Phi_r(\theta_i, \phi_i; \theta_r, \phi_r)}{d\Phi_r^{id}(\theta_i, \phi_i)}.$$
(3)

Because there is no angular dependence on the ideal Lambertian surface (Palmer 1982; Jackson et al. 1987), the  $\theta_r$ ,  $\phi_r$  angles for  $\Phi_r^{id}$  were omitted. For surfaces illuminated by irradiation from the entire hemisphere, as in field conditions, Schaepman-Strub et al. (2006) suggest the use of hemispherical reflectance (HDRF):

$$HDRF = \rho(\theta_i, \phi_i; 2\pi; \theta_r, \phi_r) = \frac{d\Phi_r(\theta_i, \phi_i; 2\pi; \theta_r, \phi_r)}{d\Phi_r^{id}(\theta_i, \phi_i; 2\pi)}$$
(4)

to describe the reflectance of such a surface. This quantity is similarly defined as the BRF, but it takes into account both the direct and the diffuse irradiance.

#### 2.3 Non-lambertian Behavior of Soil Surfaces

Bare soil surfaces, like many natural and man-made objects, reveal their non-Lambertian behavior. Such surfaces show variation in their radiance due to the direction of their irradiation and the direction along which the reflected radiation is viewed by ground, air-borne and satellite sensors. Irregularities in soil surfaces caused by soil mineral particles, and especially by large aggregates and clods formed with the high participation of SOM, produce shadow areas where the solar beams do not directly reach the surface (Graetz and Gentle 1982; Norman et al. 1985; Otterman 1985; Cooper and Smith 1985; Jon Ranson et al. 1985; Cierniewski 1987, 1989; Milton and Webb 1987; Huete 1987; Pinty et al. 1989; Deering et al. 1989, 1990; Jackson et al. 1990; Irons et al. 1992) The spaces between the smaller aggregates are usually less intensely shaded than those between larger aggregates. Radiation leaving the shaded areas is many orders of magnitude smaller than radiation reflected



Fig. 2 Reflectance spectra of a soil surface at its sunlit [L] and shaded [S] fragments (a) and the same spectra normalized by the min-max method (b)

from sunlit soil fragments. A hyperspectral camera suspended over the ploughed soil observed this phenomenon (Cierniewski et al. 2010). Spectra related to the shaded soil fragments (marked 'S') show lower reflectance than the sunlit fragments (marked 'L'). The shape of these two categories of these spectra became similar to each other, if they were normalized by the min-max method (Fig. 2). Bare arable lands with dominant diffuse features usually appear brightest from the direction that gives the lowest proportion of shaded fragments. Those soil surfaces show a strong backscattering character with a reflectance peak towards the Sun position (the 'hot spot' direction) and decreasing reflectance in the direction away from the peak (Brennan and Bandeen 1970; Kriebel 1976; Milton and Webb 1987; Foody 1988). The non-Lambertian behavior of two soils, one uncultivated and smooth and another cultivated and moderate rough, is presented in Fig. 3 Irregularities in both their surfaces are spread non-directionally. Their reflectance distributions normalized to the nadir viewing in all possible directions for the chosen wavelength of 0.85  $\mu$ m under clear-sky conditions at various solar zenith ( $\theta_s$ ) and azimuth ( $\phi_s$ ) angles were predicted by a hemispherical-directional reflectance model (Cierniewski et al. 2004). The larger the soil surface irregularities and the higher the  $\theta_s$ , the higher the variation of the soil directional reflectance. The variation is greatest along the solar principal plane. Croft et al. (2012) presented a similar non-Lambertian behavior of soils in relation to almost the same roughness ranges as in the above examples, but their samples were subjected to artificial rainfall in laboratory conditions. Wang et al. (2012) showed examples of soil surface anisotropy analyzed in the laboratory on samples with undamaged surface structure as in field conditions in a much wider range of roughness. The result of a laboratory measurement experiment simulating



**Fig. 3** Normalized directional reflectance distributions of soils for chosen wavelength of 0.85  $\mu$ m under clear sky conditions at various solar zenith ( $\theta_s$ ) and azimuth ( $\phi_s$ ) angle predicted by a hemispherical-directional reflectance model (Cierniewski et al. 2004)

the behavior of the spectral reflectance of sandy soils with furrows treated by a harrow or a seeder show that a directional microrelief of those surfaces can additionally complicate the reflectance of those soils (Cierniewski and Guliński 2009). The level of the spectrum of such surfaces viewed at the nadir and illuminated by sunbeams coming along the furrows can be about 5–10% higher than for the same surface illuminated by sunbeams perpendicular to the furrows.

Spectral reflectance of desert soil surfaces can have both a backscattering and forward scattering character (Deering et al. 1990). The surfaces show maximum reflectance in the extreme forward scatter direction near the horizon if they are relatively smooth with strong specular features. Shoshany (1993) reported that different types of stony pavements and rocky surfaces investigated in various lighting conditions revealed an anisotropic reflection with a clear backscattering component.

Soil surface roughness is a particularly dynamically changing factor within arable land formed by agricultural tools. They create a specific micro-relief configuration, sometimes with a clear directional distribution of furrows. Cierniewski (2001) analyzed spectral reflectance of soils developed from loamy materials formed by a plow and different harrows (spike-tooth, rotary and disc). Matthias et al. (2000) found that the albedo of dried soil surfaces developed from fine sandy loam treated by a plow, a disk and a seedbed decreased its albedo by about 27%, 18% and 8%, respectively, in relation to the albedo of its smoothed surface. Surfaces of soils with a low cation-exchange capacity have an unstable structure, contributing easily to their roughness being reduced by rain or ling irrigation, even in the case of their early tillage (Pratt 1961). Potter et al. (1987) reported that, conversely, the reflectance of plowed sandy soils increased by about 25% after rain and subsequent drying of their surface.

Obukhov and Orlov (1964) reported that dried unstructured soil surfaces reflected 15–20% more solar reflective radiation than similar dried soils with a well-developed structure. Van der Heide and Koolen (1980) noticed that changes in the surface structure of such soils do not modify the shape of their reflectance spectra. The reflectance level of plowed soils clearly increases after rainfall and the drying of their surface (Cierniewski 1999, 2001). Cipra et al. (1971), in analyzing spectral reflectance of Alfisols developed from fine loamy textures, found that wetting and drying of those soils created a thin crust on their surfaces, significantly smoothing them and increasing their reflectance. Kondratyev and Fedchenko (1980) reported that the crust created on soils with aggregates and clods with a diameter of 5 to 15 cm increased the reflectance of the soils by 10-15%. The authors also explained that the lightening of surface of these soils could be the effect of washing out the clay and humus fractions from the surface of the aggregates and clods, which could significantly increase the share of bright quartz particles on their surface. Other authors—Ben-Dor et al. (2003), Eshel et al. (2004), Goldshleger et al. (2004), and de Jong et al. (2011)-estimated that this increase in the spectral reflectance caused by the crust ranged from 10 to 40%.

#### 2.4 Measurements of Soil Bidirectional Reflectance

Most of the goniometric devices for testing the bidirectional reflectance of soil samples in the laboratory are equipped with one collimated halogen light source that can change its zenith angle being invariably aimed at the center of these samples and not changing distance from them. Devices of a similar purpose working in field conditions use the natural illumination of direct sunbeams (reaching the tested surfaces under the changing zenith and azimuth angles) with different share of the diffuse skylight. In both cases, the bidirectional reflectance of soil surfaces, such as others, is measured from many directions, and expressed using BRF or HDRF, where measurements of the radiant flux reaching the tested surfaces are determined by measuring the radiant flux reflected from the ideal lossless Lambertian panel. The majority of goniometric devices operating in the laboratory are those whose sensors are always aimed at the center of the samples and the panel, regardless of the direction of their observation. Examples of such devices are: a compact laboratory spectrogoniometer (CLabSpeG) developed at the Katholieke Universiteit Leuven, Belgium (Biliouris et al. 2007), University of Lethbridge Goniometer System 2.0 (ULGS-2.0) constructed in Canada (Coburn and Peddle 2006), Goniometer of Rochester Institute of Technology (GRIT) made in USA (Bachmann et al. 2017; Harms et al. 2017). Some of the goniometers specially designated for field measurements observe the tested surfaces in the same way as those mentioned above. The most well-known are the Field Goniometer System (FIGOS) developed by the Remote Sensing Laboratory of the University of Zurich and the Sandmeier Field Goniometer (SFG) by the NASA Ames Research Center (Sandmeier 2000). NASA has also constructed a field Portable Apparatus for Rapid Acquisition of Land and Atmosphere (PARABOLA) that observes studied surfaces differently (Deering et al. 1990). The goniometer's boom-mounted rotating head, which changes the view zenith angle  $(\theta_{v})$  of the surfaces along a direction expressed by the azimuth angle, aims its sensor at other adjacent surface fragments.

### 2.5 Modeling of Soil Bidirectional Reflectance

The influence of soil surface roughness, illumination and viewing conditions of soils on the soil bidirectional reflectance pattern that are discussed on the above examples is better understood if it is modeled mathematically.

Walthall et al. (1985) express bidirectional reflectance of a bare soil surface as a three-parameter function of view direction to the solar direction. These parameters of an empirical character are not explicitly related to soil surface properties.

The model by Norman et al. (1985) was worked out on the assumption that the shadowing of larger soil particles or aggregates, which are simulated by cuboids, has a greater influence on the soil reflectance distribution than the scattering properties of basic soil particles of silt and clay. The cuboids and horizontal surface on which

they lie have Lambertian scattering properties. Soil surfaces simulated in this way show a backscatter regime. The bidirectional reflectance distribution function for the simulated soil surface shows a clear backscatter regime. It manifests higher reflectance if the Sun is at the 'back' of the sensor. The reflectance peak increases with the increase in the solar zenith angle. For nearly smooth soils, the distribution is almost quite 'flat', and the soil surface behaves as a perfect Lambertian reflector.

The Monte Carlo soil surface reflectance model created by Cooper and Smith (1985) was developed to study the effects that bare soil surface irregularities much larger than the wavelength of incident radiation had on soil reflectance. It assumes that the soil is a perfectly diffuse reflector at a microscopic level. So, the probability that a photon will be scattered at a given angle only depends on the orientation of the soil surface irregularities. They are described by two microrelief forms whose heights vary periodically with cosine in one or two directions for 'row' and 'clump' soils respectively. The diffuse character of the model causes rough soil surfaces to show the backscattering regime.

Models Hapke's (1981, 1984, 1986, 1993, 2002, 2008) models developed for interpreting the reflectance properties of planetary surfaces produce bidirectional signatures similar to those of a medium composed of particles characterized by a single scattering albedo and a phase function. The models take into account a parameter that depends upon regolith porosity and particle size distribution. They are applicable to macroscopically rough surfaces, i.e., those with irregularities at scales larger than the wavelength of the radiation interacting with them. The macroscopic roughness causes shadowing at large phase angles and interparticle shadow hiding at small phase angles. The models have input parameters related to a single scattering coefficient, hot spot phenomenon, and the scattering phase function. Jacquemoud et al. (1992) added a specular contribution and separated those parameters that depend on the wavelength (the single-scattering albedo) from those that were not wavelength-dependent.

The model by Irons et al. (1992) describes the soil surface as being made of uniform opaque spheres regularly spaced on a horizontal surface. The geometry of the structure (roughness) is defined as the area of a single sphere in the horizontal projection in a circle of unit area of the horizontal surface. Both direct and isotropically diffuse light illuminate the soil surface. The spheres and background are Lambertian. Soil reflectance is expressed as a function of the horizontal area shaded by the spheres, the sunlit fraction, and the proportion of diffuse illumination, which depends on wavelength. These terms depend on the solar and view directions and on the characteristics of the simulated surface. The model uses an empirical function describing the relation between the fractional area of the plane in which shadow area overlaps the area obscured from view by the sphere, and the angle between the directions of solar illumination and viewing. The model was fit to soil bidirectional reflectance data for bare loamy soil of varying surface roughness.

Otterman's model (Otterman 1981, 1985; Deering et al. 1990) treats bare soil as thin vertical cylinders of variable heights with facet-reflectance and transmittance located randomly on a horizontal plane with Lambertian reflectance. The architecture of the soil protrusions is described by a parameter, which is the sum of the height times diameter of these cylinders per unit horizontal area. The model assumes that the facet-reflectance largely controls the backscatter while facet transmittance is responsible for determining forward scattering. This was the first model simulating both backscattering and forward scattering. It predicted a clear forward scattering character of reflectance for a surface with nearly pure gypsum crystals of high transmittance.

The first model of Cierniewski (1987, 1988, 1989) describes soil aggregates by regularly spaced equal-sized opaque spheres of a given diameter. This first model takes into account a freely sloping plane at a specified angle on which the aggregates are lying. The roughness of the geometrical structure is expressed as the proportion of the areas of aggregates (in the plane parallel to the soil sloping plane) in a given unit area of soil surface. Sunbeams illuminate this structure at  $\theta_s$ . A part of it is shaded. It is assumed that the reflectance level of a rough soil surface in relation to a soil that is the same but for having a smooth surface decreases with an increase in the share of shaded fragments of this structure according to the exponential function. Successively improved versions of the model simulate soil aggregates by spheroids with a specific ratio of their vertical to horizontal radii (Cierniewski and Verbrugghe 1993), the structure of simulated soil surface being illuminated both by direct sunbeams and by diffuse light expressed by a specified factor (Cierniewski and Verbrugghe 1994), and the radiation leaving the fragments directly illuminated by sunbeams having a specular-diffuse character (Cierniewski et al. 1996; Cierniewski and Verbrugghe 1997a, 1997b). This reflected radiance in these versions of the geometrical model is expressed by the relative reflectance factor defined as the proportion of the total radiance viewed from the off-nadir direction to the radiance viewed from the nadir.

The next model also predicts the distributions of shortwave radiation reflected from soil surfaces, the irregularities of which are caused by soil particles and aggregates dispersed regularly in all directions (Cierniewski 1999). The model calculates the directional reflectance of such soil surfaces along the solar principal plane (SPP), where the variation of the reflectance in  $\theta_{\rm v}$  is the highest. Using the fact that the reflectance variation at the orthogonal plane (OP) is minimum near zero, the model also approximates this reflectance distribution at any measure plane outside of the SPP, interpolating it between the SPP and OP planes. Soil aggregates in this model have a more realistic shape than the model discussed above. The soil surface is simulated by equal-sized opaque spheroids with horizontal (a) and vertical (b) radii lying on a freely sloping plane at angle  $\beta$  (Fig. 4). They are absorbed into the ground of the slope plane, having their tops at height t above the ground. The spheroids are regularly arranged on the slope plane so their centers are in a net of squares of the side d. The model was tested in outdoor conditions on soil samples formed by: dune sand (with particles of 0.05 cm diameter) and loamy sand to sandy loam materials with aggregates of diameter from 1.5 cm to 4 cm, as well as stones with diameter of 5–6 cm and loamy clods simulating their general shape. Figure 5 shows photography of the dune sand, and its synthetic surface that enables the generation of its normalized reflectance (NR) distribution along the SPP for 0.65  $\mu$ m. The distribution reveals the impact of specular features of the sand in the forward scattering direction particularly at high  $\theta_s$  angles. The next figures show analogous data for cultivated rough



Fig. 5 Photography of the dune sand (Sd), its synthetic surface and normalized reflectance (*NR*), measured (red dashed line) and predicted (blue solid line) along the solar principal plane illuminated at different solar zenith angles ( $\theta_s$ ) for the wavelengths of 0.65  $\mu$ m

and smoothed soils developed from loamy sand (Fig. 6) and sandy loam (Fig. 7). The higher the  $\theta_s$ , the greater the *NR* variation of these surfaces along the SPP, regardless



Fig. 6 Photographs of cultivated rough (S1) and smoothed (S5) loamy sands and their synthetic surfaces with their normalized reflectance (*NR*), measured (red dashed line) and predicted (blue solid line) along the solar principal plane illuminated at different solar zenith angles ( $\theta_s$ ) for the wavelengths of 0.65 µm



Fig. 7 Photographs of cultivated rough (L1) and smoothed (L5) sandy loams and their synthetic surfaces with their normalized reflectance (*NR*), measured (red dashed line) and predicted (blue solid line) along the solar principal plane illuminated at different solar zenith angles ( $\theta_s$ ) for the wavelengths of 0.65 µm

of their roughness. The effect of the specular component in the *NR* data of both cultivated soils is almost invisible. In contrast, the increasing variation of their *NR* along the SPP as a result of their smoothing is clearly visible. The data presented in Fig. 8, which show surfaces of a similar general shape made of stones and loamy clods, prove that their spectral reflectance is determined more by this shape than by their texture.

The model published two years later (Cierniewski 2001) describes the spectral reflectance from soil surfaces subjected to directional agricultural treatments (Fig. 9). Simulated soil aggregates are spread on such surfaces in a row, creating furrows of a kind along the direction of these treatments. The spheroids are situated on planes described by the vertical angle  $\beta_d$  related to the plane of the horizon and the horizontal angle  $\phi_{d-s}$  determined in relation to the direction of the sun's rays. The slope represents two parallel planes: the lower one, which is defined by the lines running in the bottom of the hollows between the furrows, and the abovelaid plane constituting the base of the ridge of these furrows. The horizontal angle between these lines and the direction of sunlight  $\phi_{c-s}$  determines the direction of the agricultural treatments. The spheroids are pressed into the upper plane, so that their tops protrude above it to a height of  $t_r$ . With regard to this,  $t_p$  specifies the irregularities of the simulated surface in the direction perpendicular to the direction of furrows. Irregularities in this surface along the direction of furrows are smaller, which is expressed by the smaller height of protruding parts of the ellipsoid  $t_r$  above the plane of the furrow ridge. The geometrical structures of the two above models are illuminated by direct sunbeams coming to it at  $\theta_s$ , and by diffuse light described by the factor  $f_{di}$ . The  $f_{di}$  approximates a reflectance effect from soil surfaces illuminated only by the diffuse light component. The factor is defined as the part of the direct solar beams' radiation. A sensor is suspended over the simulated soil surfaces. It observes them along the SPP at zenith angles  $\theta_{v}$ , at the  $\Delta \theta_{v}$  increments in forward scattering and backscattering directions, which are described by negative and positive values of the  $\theta_{\nu}$ , respectively. The sensor aims at the same point of the analyzed surfaces, being at distance h from it. The sensor field of view defines the angle  $\xi$ .

The reflectance of the main solar plane is calculated twice, assuming that the SPP runs along the furrows and perpendicular to this direction. The amounts of energy reaching the directly illuminated fragments of the simulated soil structure are calculated using the factor  $Ei_{vfa}^{\downarrow}$ :

$$Ei_{vfa}^{\downarrow} = \cos\theta_s \cos\beta_{fa} + \sin\beta_{fa} + \sin\theta_s + \cos(\phi_d - \phi_s), \tag{5}$$

where:  $\beta_{fa}$  is the angle of inclination of the elementary fragment of this structure, and  $\phi_d$  and  $\phi_s$  are the horizontal angles describing, respectively, the location of the soil slope and the Sun. The factor  $Ei_{vfa}^{\downarrow}$  expresses the cosine of the angle of incidence of the rays'  $\gamma$  relative to the normal one for the elementary fragment *fa*. Radiation falling on the fragment is reflected in both a diffusive and a specular way, and its vectors in the two-dimensional plane create the shape of a circle and an elongated ellipse, respectively (Fig. 10). The length of the vectors of the specular component, treated as non-polarized light, is calculated using the Fresnel equations:



Fig. 8 Surfaces of a similar general shape made of loamy clods (Lo) and stones (St) and their synthetic surfaces with their normalized reflectance (*NR*), measured (red dashed line) and predicted (blue solid line) along the solar principal plane illuminated at different solar zenith angles ( $\theta_s$ ) for the wavelengths of 0.65  $\mu$ m



$$Esp^{\uparrow}_{\theta_{\nu}fa} = Ei^{\downarrow}_{\nu fa}Fp_{(\gamma_i)} \quad Fp_{(\gamma_i)} = \frac{r_{\perp}^2 + r_{=}^2}{2}$$
(6)

where  $r_{\perp}$  and  $r_{=}$  are respectively the perpendicular and parallel Fresnel reflection coefficient. The vector of reflected energy  $Esp^{\uparrow}_{\theta_{v}fa}$  is oriented in such a way that the angle of incidence  $\gamma_{i}$  equals the reflection angle  $\gamma_{r}$ . Specular effects are perceived by the sensor only to a limited extent defined by the angle 2 $\partial$  around the direction  $\gamma_{r}$ . The length of the  $Esp^{\uparrow}_{\theta_{v}fa}$  vector at a distance  $\pm \partial$  from  $\gamma_{r}$  falls linearly to zero. The length of the vector  $Ei_{vfa}^{\downarrow}$  completes the diffuse component  $Edi_{\theta v fa}^{\uparrow}$  is defined as:

$$Edi_{\theta_{\nu}fa}^{\uparrow} = \left(1 - Fp_{(\gamma_i)}\right) Ei_{\nu fa}^{\downarrow} \cos \theta_{\nu}.$$
(7)

Finally, the total radiation  $Eis_{\theta_v fa}^{\uparrow}$  reaching to the sensor at  $\theta_v$ , reflected from the directly illuminated elemental part *fa* of simulated soils is calculated by dividing  $Ei_{vfa}^{\downarrow}$  by  $\cos \theta_v$ . The amount of diffuse sky-light  $(E_{sk}^{\downarrow}fa)$  that reaches to the sunlit and shaded fragments of the simulated soil surface is reduced by the presence of neighbouring ellipsoids (Fig. 11):

$$Esk_{fa}^{\downarrow} = f_{di}\frac{\delta}{180^{\circ}},\tag{8}$$

where  $\delta$  is a plane angle in the analyzed plane limiting the sky light input to the analyzed fragment of the simulated soil surface. The luminance factor of the simulated soil surface, including sunlit and shaded elementary fragments, viewed at  $\theta_v$  along a specific profile is defined as:

$$L_{\theta_{\nu}}^{\uparrow} pr = \sum_{i=1}^{j} \left[ \left( Eis_{\theta_{\nu}fa}^{\uparrow} + Esk_{fa_{(i)}}^{\downarrow} \right) \xi_{i_{fa_{(i)}}} \right] + \sum_{i=1}^{j} \left( Esk_{fa}^{\downarrow} + \xi_{s_{fa_{(i)}}} \right), \quad (9)$$



where *i* is the fragment of the analyzed structure,  $\xi_{ifa}$  and  $\xi_{sfa}$  are respectively elementary viewing angles of illuminated and shaded fragments. Luminance received by the sensor from its entire field of view,  $L_{\theta_v FOV}^{\uparrow}$  is the average value of luminance from individual cross-sections  $L_{\theta_v}^{\uparrow} pr$  and the space between ellipsoids  $L_{\theta_v}^{\uparrow} sm$ , weighted according to the equation:

$$L_{\theta_{\nu}FOV}^{\uparrow} = L_{\theta_{\nu}}^{\uparrow} pr_{(1)} \frac{a}{2m-1} + \sum_{i=2}^{m} L_{\theta_{\nu}}^{\uparrow} pr_{(i)} \frac{a}{m-0.5} + L_{\theta_{\nu}}^{\uparrow} sm\left(\frac{d}{2}-a\right), \quad (10)$$

where m is the number o the profiles. If modeling directional spectral reflection is to refer to a situation where the main solar plane does not run either parallel or perpendicular to the direction of fissures, then the luminance  $L^{\uparrow}_{(\phi_r,\theta_v)FOV}$  of the illuminated surface is calculated according to the equation:

$$L^{\uparrow}_{(\phi_{r},\theta_{v})FOV} = Lr^{\uparrow}_{\theta_{v},FOV} \left(1 - \frac{\psi}{90^{\circ}}\right) + Lp^{\uparrow}_{\theta_{v},FOV} \frac{\psi}{90^{\circ}}, \tag{11}$$

where  $Lr_{\theta_v,FOV}^{\uparrow}$  and  $Lp_{\theta_v,FOV}^{\uparrow}$  are luminance values calculated respectively along and perpendicular to the direction of furrows, and  $\psi$  is the horizontal angle measured between the SPP and the direction of the furrow course. Finally, reflectance from the rough surface of the soil along the SPP is described by means of the normalized indicator  $NR_{(\theta_v=SPP,\theta_v)}$ , defined as the ratio of total luminance  $L_{\theta_vFOV}^{\uparrow}$  of the soil surface measured obliquely to its luminance measured in the nadir direction. Assuming that the NR index in the OP for each angle  $\theta_v$  takes the value 1, the distribution of  $NR_{(\phi_v,\theta_v)}$  in the function of the azimuthal angle  $\phi_v$  between SPP and OP has a straight line and its value for any oriented plane can be defined as:

$$NR_{\left(\phi_{\nu},\theta_{\nu}\right)} = NR_{\left(\theta_{\nu}=\text{SPP},\theta_{\nu}\right)} + \left(1 - \frac{\phi_{\nu}}{90^{\circ}}\right) + \frac{\phi_{\nu}}{90^{\circ}},\tag{12}$$

where  $\phi_v$  is the relative horizontal angle determining the angular distance from the SPP. The model was tested in field conditions on plots with loamy sand and sandy loam soils freshly formed by a plow and harrows and then modified by rainfalls in Poland (Cierniewski 2001), on fields in France with a loamy soil that had been plowed, harrowed and rolled, and prepared for sowing colza (Cierniewski et al. 2002). The irregularities in the plots tested in Poland were recorded on stereoscopic images. The maximum difference in height of the presented fragment of the freshly plowed field was 35 cm (Fig. 12) and, after a total of 92 mm rainfall, was only 26 cm (Fig. 13). The larger height differences were observed transversely, rather than along the furrows. The standard deviation of the height crosswise and parallel to the furrows were 4.6 and 4.4 cm, respectively, in the first case, and 1.9 and 1.5 cm in the second. In the freshly plowed surface, larger aggregates that had previously had sharp edges changed their shape to round after this rainfall, and the smaller soil aggregates were mostly eliminated. The height difference in the same surface developed from the



Fig. 12 View of soil surfaces  $50 \times 50$  cm size, freshly plowed (a) and after 92 mm (b) with their diagrams of digital elevation model in the form of a triangular net covered by the real image and below their virtual equivalents



Fig. 13 View of soil surfaces  $50 \times 50$  cm size, freshly harrowed and after 25 mm rain **a** with their diagrams of digital elevation model in the form of a triangular net covered by the real image and below their virtual equivalents

loamy sand reached 10 cm, and 7 cm after a total of 25 mm rainfall. The standard deviation of its height both crosswise and parallel to its furrows was 1.3 cm for the freshly formed surface and 1.2 cm after the rainfall. The measured and predicted data using this model show that the NR variation of these plots increased along the SPP due not only to the increase in  $\theta_s$ , but also to the increase in  $\phi_{c-s}$  angle describing the illumination of their furrows. This variation is the greater, the deeper their furrows. This is particularly evident in the case of plots illuminated at almost the same  $\theta_s$ angles. Thus, the impact of this  $\phi_{c-s}$  is more pronounced for freshly plowed plot (Fig. 14) than harrowed plots (Fig. 15) and it becomes less visible due to the gradual smoothing of the surface of larger soil aggregates by rain (Fig. 16) and complete elimination of smaller aggregates (Fig. 17). It should also be noted that the measured reflectance of the soils (in the diagrams expressed by its BRF and marked with the 'R' symbol) are clearly lower for plots with greater roughness, i.e. with deeper freshly formed furrows than those with lower roughness, especially with those modified after rainfall. The reflectance of soils used to test this model was measured in Poland by a six-channel field luminancemeter in the following wavelength bands: 0.45, 0.55, 0.65, 0.85 and  $1.65 \,\mu$ m, and in France by three channel bands:  $0.55, 0.65, 0.85 \,\mu$ m. The soil reflectance data collected in France show that rougher soil surfaces do not always have a higher variation in NR distribution along the SPP than do smooth



Fig. 14 Normalized reflectance (*NR*), measured (red dashed line) and predicted (blue solid line) of freshly plowed plots illuminated at almost the same  $\theta_s$  and different  $\phi_{c-s}$  angles along the solar principal plane, for the wavelengths of 0.85  $\mu$ m



Fig. 15 Normalized reflectance (*NR*), measured (red dashed line) and predicted (blue solid line) of freshly harrowed plots illuminated at almost the same  $\theta_s$  and different  $\phi_{c-s}$  angles along the solar principal plane, for the wavelengths of 0.85  $\mu$ m

ones. Soil surfaces smoothed by rolling can display an *NR* distribution quite similar to those of more rough surfaces that have been ploughed or furrowed by a seeder (Fig. 18).

The distribution of hemispherical-directional reflectance of cultivated and uncultivated soils was also modeled by surfaces with irregularities constructed by a set of n points  $k_i$  of coordinates  $(x_i, y_i, z_i)$  of i = 1, ..., n and the positive real numbers  $r_1$ ,  $r_2, r_3, ..., r_n$  related to them, respectively (Cierniewski et al. 2004). A pair  $(k_i, r_i)$  is interpreted as the opaque sphere of radius  $r_i$  with the center  $k_i$ . The shape of those surfaces is the solution of the following equation:

$$\sum_{i=1}^{n} \left( 2d_i^3 - 3d_i^2 + 1 \right) - \frac{1}{2} = 0 \quad d_i = \frac{\min\left(r_i \sqrt{(x - x_i)^2 + (y - y_i)^2 + +(z - z_i)^2}\right)}{r_i}$$
(13)

The centers  $k_i$  are dispersed regularly in a net of squares with a side of  $1/\sqrt{n}$  in the perpendicular projection to the XY plane. The height  $z_i$  of the  $k_i$  center is expressed by the equation:



Fig. 16 Normalized reflectance (*NR*), measured (red dashed line) and predicted (blue solid line) of plowed plots after smoothing them by 92 mm rainfall illuminated at almost the same  $\theta_s$  and different  $\phi_{c-s}$  angles along the solar principal plane, for the wavelengths of 0.85 µm

$$z_i = a \cdot |\sin(\pi \cdot x_i)| \cdot \langle 1 - b \cdot [1 - |\sin(\pi \cdot y_i)|] \rangle + c \cdot f_{dis}(i), \tag{14}$$

where *a* expresses the amplitude of sin function along the *x*-axis, and *b* along the *y*-axis to *a*. The final  $z_i$  position of *i*th sphere is an effect of a disturbance approximated by the sequence  $f_{dis}(i) \in [0.1)$  with uniform distribution. The *c* describes the maximum deviation from the  $z_i$  value, as determined by only these *a* and *b* parameters (Fig. 19). The shape of the surface is characterized by the directivity factor  $D_R$ , expressing the differences between the maximum and the minimum deviations of its height, calculated along all possible directions (Fig. 20).

The surface is illuminated by a hemisphere light source created by *m* point sources  $s_1, s_2, s_3, ..., s_m$  of the intensity  $e_1, e_2, e_3, ..., e_m$ , respectively, equally spread on the hemisphere. It is assumed that in outdoor conditions the ratio of the direct solar irradiance to the global irradiance  $\delta$  in clear-sky conditions changes with the sun's position  $S = [\theta_s, \phi_s]$  and normal optical thickness  $\tau$  (Fraser 1975). The distribution of the hemispherical light for the non-absorbing Rayleigh atmosphere dependent on  $\theta_s$  and  $\tau$  attributed to the wavelength  $\lambda$  is shown in Fig. 21. The intensity of the direct solar irradiance at point *S* reaches 1 independently of both  $\tau$  and  $\lambda$ . The light is scattered from the simulated surface in accordance the quasi-Lambertian function (Fig. 22). The shape of the surface R described by Eq. 13 allows definition of the vectors of the normal to any points on this surface. These vectors make it possible



Fig. 17 Normalized reflectance (*NR*), measured (red dashed line) and predicted (blue solid line) of harrowed plots and after smoothing them 25 mmm rainfall illuminated at almost the same  $\theta_s$  and different  $\phi_{c-s}$  angles along the solar principal plane, for the wavelengths of 0.85 µm

to determine the amount and direction of the light reflected from the total area of the surface. The light  $e_{f_R}\left(i, \vec{k}\right)$  reflected only once from the fragment  $f_R$  along the direction  $\vec{k}$ , due to illumination from the unblocked point source,  $s_i$ , is calculated as:

$$e_{f_R}\left(i,\vec{k}\right) = e_i \cdot f\left(\vec{s_i},\vec{n},\vec{k}\right),\tag{15}$$

where  $\vec{n}$  is the normal to the fragment  $f_R$ . A cloud of all the vectors  $\vec{k} \cdot e_{f_R}(i, \vec{k})$  characterizes the scattering properties of the R surface in its  $f_R$  fragment. The total light energy  $E(f_R, \vec{v}, H)$  reflected from the  $f_R$  fragment in the direction  $\vec{k}$  and viewed along a direction  $\vec{v} = [\theta_v, \phi_v]$  is expressed by:

$$E\left(f_R, \vec{v}, H\right) = \sum_{i=1}^m e_{f_R}\left(i, \vec{v}\right),\tag{16}$$



Fig. 18 View of selected soil surfaces treated by different agricultural tools with the normalized reflectance (*NR*) of the surfaces, measured and predicted by the model of Cierniewski (2001), illuminated at similar  $\theta_s$  angles along the solar principal plane for the wavelength of 0.65 µm











**4Fig. 19** Influence of the radius  $r_i$  on the shape of the R surface described by Eqs. 13–14 in two dimensional space. The marked fragments on the plane OXY expresses the solutions of the equations. The higher  $r_i$  values around the points of  $k_i$  with their invariable position, the larger merging of the R shape with simultaneous reduction or elimination of gaps in it. Below—segments of soil surfaces generated by different values of the *a*, *b*, and *c* parameters and their directivity factors  $D_R$ 



Fig. 20 Segments of soil surfaces generated by different values of the *a*, *b*, and *c* parameters and their directivity factors  $D_{\rm R}$ 

where the sum is only limited for unblock light source  $s_i$ . The function HDRDF  $(R, \vec{v}, H)$ :

HDRDF 
$$\left(R, \vec{v}, H\right) = \frac{1}{|\Omega|} \int_{\Omega} E\left(f_R, \vec{v}, H\right) d\Omega$$
 (17)

describes the hemispherical–directional reflectance of the whole surface R, where  $\Omega$  is the FOV of a sensor suspended over the surface. This model was tested on directional reflectance data collected on a bare arable soil with furrows and desert loess and rocky surfaces in Israel. They were obtained by the same six-channel luminancemeter as mentioned above. The *NR* distribution of the cultivated soil was predicted by a virtual surface with furrows as in reality. The measured *NR* values of

Spectral Reflectance of Soil

Fig. 21 Distributions of the hemispherical light for the non-absorbing Rayleigh atmosphere depending on the solar zenith angle  $\theta_s$  and the normal optical thickness  $\tau$  attributed to the wavelength  $\lambda$ 



the cultivated soil, unlike its modeled values, clearly showed asymmetry in their *NR* distribution. These desert surfaces did not reveal this asymmetry either in the data measured or in the data calculated using this model (Fig. 23).



**Fig. 22** Brightness variation of a soil surface illuminated unequally with one source of the dominant intensity with reference to others scattered in accordance the quasi-Lambertian function. Below— distribution of light energy coming from sources  $S_1$  and  $S_2$  of the intensity  $e_1 > e_2$ , respectively, scattered from an elementary fragment  $f_R$  of the R surface

#### 3 Soil Albedo

If the bidirectional reflectance is only a part of the reflected radiation from a surface along a given direction, then the albedo of the surface, also called its bihemispherical reflectance (BHR):

$$BHR = \rho(\theta_i, \phi_i; 2\pi; 2\pi) = \frac{d\Phi_r(\theta_i, \phi_i, 2\pi; 2\pi)}{d\Phi_r^{id}(\theta_i, \phi_i, 2\pi)}$$
(18)



Fig. 23 Distributions of the normalized hemispherical-directional reflectance function of the tested surfaces (cultivated with furrows and the uncultivated relatively smooth), measured and generated for the wavelengths of 0.85  $\mu$ m for selected illumination conditions defined by the solar zenith angle  $\theta_s$  and the angle  $\phi_{c-s}$  describing a distance angle between the direction of the furrows and the sun position. Below—view of the surfaces with their virtual equivalents

is influenced by the combined diffuse and direct irradiance and integrates the surface reflectance over all view directions. The albedo is precisely defined as the ratio of the radiant flux reflected from a unit surface area into the whole hemisphere to the incident radiant flux of hemispherical angular extended in the solar reflective radiation range of  $0.3-3 \mu m$  (Schaepman-Strub et al. 2006). Martonchik et al. (2000) emphasized the distinction between the terms "broadband albedo" and "narrowband (spectral) albedo" depending on whether the albedo is characterized by the entire wavelength range or only a part of it, respectively. The blue-sky albedo refers to the albedo measured in outdoor conditions, where a surface is illuminated by the direct solar irradiance and diffuse irradiance scattered by the atmosphere.

#### 3.1 Variation of Soil Albedo

The broadband blue-sky albedo ( $\alpha$ ) overall level of arable lands in the mid-latitudes varies substantially between seasons. It reaches the highest values of 0.8–0.95 in the winter due to deep fresh snow cover, and the lowest ones, 0.05–0.15, in the spring when the snow abruptly melts on dark-colored, wet rough soils before the emergence of crops (Oke 1987; Dobos 2017). The  $\alpha$  level of arable lands gradually increases during crop maturation (Dexter 2004). Throughout the growing season, the  $\alpha$  level of cereals increases as a function of plant height, leaf area and canopy cover. After maturation, during senescence, their  $\alpha$  level increases again. In lower latitudes, especially on dry bare arable lands with light-colored soils, their  $\alpha$  level reaches 0.35–0.4 and is higher than that of lands covered with crops. The growing share of crop cover increases the  $\alpha$  level of arable lands with dark-colored soils (Rechid et al. 2005). The  $\alpha$  level of dark-colored soils can also be increased by the presence of light-colored residues (Horton et al. 1996).

The  $\alpha$  of arable lands, as well as other Earth objects depend not only on their intrinsic properties, but also on their illumination conditions, described by the  $\theta_s$ , the proportion of the diffuse radiance and the cloud cover. In clear-sky conditions, the  $\alpha$ of bare arable lands increases with increasing  $\theta_s$ , mainly due to irregularities in their surfaces. Monteith and Szeicz (1961) already reported in the 1960s that  $\alpha$  a increased from 0.16 to 0.19 in the afternoon when  $\theta_s$  increased from 30° to 70°. Kondratyev (1969) found that in the morning, when  $\theta_s$  decreased from 80° to 25°,  $\alpha$  of dry stony and loamy soils dropped from 0.22 to 0.14 and from 0.34 to 0.21, respectively. Much later, a similar relationship between  $\theta_s$  and the narrowband and broadband  $\alpha$  of bare soils was reported by Pinty et al. (1989), Lewis and Barnsley (1994), Oguntunde et al. (2006). The lowest  $\alpha$  values of bare arable lands are recorded at local solar noontime when  $\theta_s$  reaches its minimum. However, the soils attained their highest  $\alpha$ values of about 1 at sunrise and sunset. Diurnal graphs of the soils'  $\alpha$  versus  $\theta_s$  are U-shaped (Roxy et al. 2010). This dependency can be asymmetrical around solar noon due to the formation of dew or changes in atmospheric conditions during the day (Fraser 1975). The larger part of the diffuse radiation component (which also

can be the effect of greater cloud cover), the weaker the effect of the  $\theta_s$  variation on  $\alpha$  of soils.

# 3.2 Soil Albedo as a Parameter for Modeling Changes in the Climate of the Earth

The  $\alpha$  values of many components of the Earth's surface are fundamental input parameters in modeling the energy flow between them and the atmosphere, and thus in global modeling of the Earth's climate (Ben-Gai et al. 1998; Davin et al. 2007). Smoothing rough, deeply plowed soils with, for example, Hs increases their albedo, which lowers the amount of shortwave radiation absorbed by their surface layer. Those surfaces emit less longwave radiation, reducing their temperature, and when areas of them are large, their reflectance can affect the climate of the Earth (Schneider and Dickinson 1974; Desjardins 2009; Farmer and Cook 2013). The appreciation of soil  $\alpha$  variation is particularly important in the view of (Sellers et al. 1995), who defined an acceptable  $\alpha$  error of the Earth's surfaces for modeling climate change on a global scale at  $\pm 2\%$ . The use of average diurnal  $\alpha_d$  values ( $\alpha_d$ ) for such modeling seems more useful than the use of instantaneous values (Grant et al. 2000; Cierniewski et al. 2013).

Nowadays, the  $\alpha$  of the Earth's surfaces or their components, such as bare soils, are obtained by means of satellite observation. Because satellite radiometers collect shortwave radiance of the surfaces at one or several directions inside their small field of view, in a number of separate narrowband channels, measuring it at the top of the atmosphere (TOP), the approximation of the  $\alpha$  of the surfaces by this raw satellite data needs to be corrected (Pinty and Szejwach 1985; Gutman 1988; Tsvetsinskaya et al. 2006). The estimation of the accuracy of broadband  $\alpha$  estimation using satellite data has been made using albedometers mounted on towers from a height of several dozen meters (Liang et al. 2002; Cescatti et al. 2012).

Anticipating that the elimination of even one of these corrective procedures can reduce the error of this approximation, Cierniewski and Gdala (2010) and Cierniewski et al. (2013) considered how correction of the bidirectional reflectance of soils only taking into account the specific direction of satellite viewing (i.e., without taking into account the sun position) can affect the achievement of the soil  $\alpha$  values with an error lower than  $\pm 2\%$ . Cierniewski et al. (2013) analyzed how strongly the roughness of soil surfaces (smooth, moderately rough and very rough) and their latitudinal position affects the optimal time (To) for the soil observation by a satellite in sun-synchronous orbit at selected dates with this acceptable error. It was found that the morning To is expected earliest for the very rough soil, and latest for the smooth soil. In the afternoon this trend is reversed. In certain latitude ranges, the difference between To for smooth soils and for moderately rough soils can be just below 40 min, while the difference between To for very rough and moderately rough soils can be about 15 min. The usefulness of an orbit during the analyzed dates was expressed by the

length along which observation of the soils was available with an acceptable error of  $\pm 2\%$ . The longest parts of the orbits—larger than 90°—were predicted for the morning in mid-April, while the shortest, reaching only about 20°, were found for the afternoon in the beginning of the astronomical summer. An attempt was also made to compare the usefulness of satellite orbits crossing the equator at local solar time 7:30 and 10:30, such as for the NOAA-15 and the MODIS Cierniewski (2012). The earlier orbit proved to be much more useful for soil observation in the middle of April and at the end of August in the range larger than 90°, and least useful at the beginning of the astronomical summer in the Northern Hemisphere in the range lower than 30°. The earlier orbits turned out to be much less useful for observing bare soils than the later one.

# 3.3 Measurements of Diurnal Blue-Sky Albedo Variation of Soils

When investigating the diurnal  $\alpha$  variation of cultivated and uncultivated surfaces developed from the same soil material in the Israeli Negev desert Cierniewski et al. (2013) found that the soil's roughness not only affects its overall  $\alpha$  level under clearsky conditions, but also the steepness of its  $\alpha$  from  $\theta_s$  at the local solar noon to about 75°. The  $\alpha$  values of the surfaces that were deeply plowed barely increased in this  $\theta_s$  range, while the  $\alpha$  values of the soils that were the same but with smoothed surfaces gradually increased. Such an effect of soil roughness on their diurnal  $\alpha$  variation (Fig. 24) was confirmed on 81 sets of data collected on arable lands in the southern and central districts in Israel and in the Wielkopolska region of Poland (Cierniewski et al. 2015). Each of these sets, which describe the half-diurnal  $\alpha$  distributions of soils and that were collected as in the previous case by LP PYRA 06 albedometers from 1.5–2 m, was accompanied by data characterizing the shape of the soil surfaces formed by such farming tools as planters (Fp), plows (Pd) and disk

Fig. 24 Variation of the half diurnal broadband blue-sky albedo of a light-colored *Calcisol* formed by a plow (Pd), a disc harrow and modified by sprinkler irrigation (Hd + w) and not cultivated (Ds), as well as a dark-colored *Phaeozem* formed by a plow (Pd), a spike-tooth harrow (Hp), and smoothing harrow (Hs). Shape of the surfaces was quantified by the  $T_{3D}$ roughness index



harrows (Hd), pulverizing harrows (Hp) and smoothing harrows (Hs). Modifications of these surfaces due to rainfall or sprinkler irrigation were also taken into account. The shape of the surfaces was measured using stereo-photographs taken by cameras that moved along a leveled construction supported by two tripods, and then as attached to a monopod that, moving around a measured surface, recorded its image from many directions. Additionally, each studied soil's reflectance spectrum in the range 0.35–2.5  $\mu$ m was measured in the laboratory by a FieldSpec spectroradiometer with a Hi-Brite Muglight receptor, using the procedure that is recommended to collect soil reflectance spectra stored in soil databases in the world (Ben-Dor et al. 2015).

# 3.4 Equations Predicting Diurnal Blue-Sky Albedo Variation of Soils Taking into Account Their Roughness

The above data, supplemented with values characterizing the contents of soil organic carbon (SOC) and  $CaCO_3$  of the studied soils enabled us to determine the following equations (Cierniewski et al. 2015):

$$\alpha_0 = 0.301 - 0.042 \cdot \text{SOC} + 0.007 \cdot \text{CaCO}_3 - 0.088 \cdot T_{3D}$$
(19)

$$s_{\alpha} = 0.0054 \cdot (HSD)^{-1.535},\tag{20}$$

which enable us to predict the half-diurnal  $\alpha$  of a soil surface from the solar noon to  $\theta_s = 75^\circ$  depending on its roughness expressed by the *HSD* and  $T_{3D}$  indices, where  $\alpha_0$  relates to its theoretical  $\alpha$  at  $\theta_s = 0^\circ$ , and  $s_\alpha$  describes the linear slope of the  $\alpha$  increase from the solar noon to  $\theta_s$  of 75°. The correctness of the equations, expressed by the coefficient of determination (R<sup>2</sup>) and the root mean square error (RMSE), was estimated at 0.90 and 0.03, respectively.

Carrying out the research project 2014/13/B/ST10/02111, which is supported by the Polish National Science Centre and aims to quantify the annual dynamics of shortwave radiation reflected from arable lands on a global scale taking into account their roughness, the diurnal  $\alpha$  distributions of a much larger number of soil units were needed than it was possible to obtain by measuring them directly in the field. Analyzing the correctness of predicting such diurnal  $\alpha$  distributions through the aforementioned soil laboratory spectra, it was attempted to determine whether the necessary soil diurnal  $\alpha$  distributions could be additionally obtained by their laboratory reflectance spectra stored in the spectral libraries of soils in the world.

The global library of soil reflectance spectra has been being developed since 2008 as a voluntary collaborative project (Viscarra Rossel 2009). By about 2015, this library contained soil reflectance spectra accompanied by chemical and physical attribute data from over 90 countries on seven continents (Viscarra Rossel et al. 2016). This global soil database includes spectra from soil in the World Soil Information collection recorded by the World Agroforestry Centre and also other national,

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multinational and continental soil databases. The largest continental database, the European Use and Cover Area frame Statistical Survey (LUCAS), was initiated by a decision of the European Parliament and the Council of the European Union in 2007 (Stevens et al. 2013). The Global Soil Spectral Library and the LUCAS library combined contain over 20,000 such soil spectra of geo-referenced topsoil samples with their chemical and physical attribute data (Viscarra Rossel et al. 2016).

The first set of equations, which predicted the half-diurnal  $\alpha$  distributions of a soil surface taking into account its roughness using its soil reflectance spectrum obtained in laboratory conditions, was established on the basis of 108 sets of soil data collected in Poland and Israel (Cierniewski et al. 2017a) in similar way as those mentioned above. The first equation:

$$a_{45} = 0.454 - 0.112T_{3D} + 6952.66d_{474} + 13108.37d_{705} + 12470.20d_{952} - 11597d_{1650}$$
(21)

predicts the overall  $\alpha$  level of the soil of a given roughness at  $\theta_s = 45^\circ$ , where d together with its associated number relates to the soil reflectance data transformed to its second derivative for specified wavelength in nm. The second equation:

$$\alpha_{\theta_s} = \alpha_{45} [1 + s_\alpha (\theta_s - 45)], s_\alpha = 0.0008 + 0.00108 (T_{3D})^{-20.75}$$
(22)

describes its  $\alpha$  under 0° <  $\theta_s$  > 75°. The R<sup>2</sup> and RMS values for these equations reached 0.89 and 0.03, respectively. Then, these equations were improved based on number of these data sets being increased to 153 and the improved quality of a newly tested soil population in the northern district of Israel and in three southern regions of France, Mid Pyrenees, Languedoc and Provence (Cierniewski et al. 2018a). These equations, finally determined by examining soils belonging to 22 soil units according to the World Reference Base (WRB) for Soil Resources (IUSS Working Group 2014), were formulated as:

$$a_{45} = 0.33 - 0.1099T_{3D} - 5795.4d_{574} - 510.2d_{1087} + 7787.2d_{1355} + 12161d_{1656} + 6932.8d_{698},$$
(23)

and

$$\alpha_{\theta_s} = \alpha_{45} [1 + s_\alpha (\theta_s - 45)], s_\alpha = 6.26 \cdot 10^{-7} + 0.0043 (HSD)^{-1.418}$$
(24)

The studied surfaces with the highest roughness formed by Fp and Pd (with average *HSD* and  $T_{3D}$  values of 56 mm and 1.4, and 32 mm and 1.6, respectively) were characterized by averaged  $s_{\alpha}$  values of 0.00008 and 0.00005, respectively. On the other hand, average  $s_{\alpha}$  values of the surfaces with the lowest roughness formed by Hs (with average *HSD* of 6 mm and  $T_{3D}$  of 1.1) were ten times greater, reaching about 0.0003. The surfaces modified by water drops had a lower roughness than the surfaces formed only by agricultural tools. These modified surfaces in relation to

those originally shaped by Fp and Pd (with average *HSD* and  $T_{3D}$  of about 40% and 15% lower, respectively) had averaged  $s_{\alpha}$  values of about 25% and 5% higher, respectively. The surfaces modified by water drops in relation to those originally treated by Hs (described by 40% and 5% lower *HSD* and  $T_{3D}$ , respectively) were characterized by  $s_{\alpha}$  value of about 50% higher. The R<sup>2</sup> and RMSE of the last set of the equations were estimated at 0.91 and 0.03, respectively.

For the half-diurnal  $\alpha$  variation of soils in the full  $\theta_s$  range from 0° to 90°, the fitting formulas:

$$\alpha_{\theta_s} = \exp\left(\frac{\mathbf{a} + \mathbf{c}\theta_s}{1 + b\theta_s + d(\theta_s)^2}\right) \tag{25}$$

and

$$\alpha_{\theta_s} = \frac{\mathbf{a} + c(\theta_s)^{0.5}}{1 + b(\theta_s)^{0.5}} \tag{26}$$

were used for data obtained through Eqs. 19 and 20 (Cierniewski et al. 2015, 2018b; Cierniewski and Ceglarek 2018) and Eqs. 21–24 (Cierniewski et al. 2017b, 2018a), respectively, where a, b, c, and d are fitting parameters.

# 3.5 Use of Laboratory Data to Predict the Annual Variation of Shortwave Radiation Reflected from Bare Arable Lands Taking into Account Their Roughness

Equations 19, 20 and 26 were used for estimating the annual dynamics of shortwave radiation reflected from bare air-dried soil surfaces at clear-sky conditions as a consequence of smoothing previously plowed and harrowed arable lands in Poland (Cierniewski et al. 2017b). The input data used for these equations was data describing the contents of SOC and CaCO<sub>3</sub> of arable lands in Poland stored in the Land Use/Cover Area Frame Survey (LUCAS) (Tóth et al. 2013) and in the database created for monitoring the properties of arable lands in Poland (Terelak et al. 2008). Equations 21, 22 and 26 were used to quantify the annual variation of shortwave radiation reflected, including in clear-sky conditions from air-dried arable lands in Israel, using reflectance spectra of soils stored in the Israeli Soil Database Library (Cierniewski et al. 2018b). The annual variations of the arable land areas in Poland and Israel were determined with the help of Landsat 8 images recorded for the countries in 2013-2014 and 2013-2015, respectively. The images, recorded by the Operational Land Imager (OLI) instrument of the satellite, included two sample surfaces within two scenes of the satellites located in the eastern and western parts of Poland and the entire territory of Israel within there scenes of the satellite. The

images without snow and with up to 10% of cloud cover were radiometrically calibrated to top-of-atmosphere reflectance (TOA) using metadata, and normalized from different illumination conditions by dividing TOA by the cosine of  $\theta_s$ . A surface was identified as a bare soil by analyzing the shape of its reflectance (RB) recorded in the following bands (B) of the OLI, i.e., if they fulfilled the following conditions:  $R_2 < 1$ R3 < R4 < R5 < R6; R6 > R7; R5/R3 > 1.8; R6 - R5 > 0 in the case of arable lands in Poland, and R3 < R4 < R5 < R6; R6 > R7; R6 - R5 < 1 within the lands in Israel. The above sets of the reflectance conditions were established based on the shape of the spectrum of the dominant soil units located within the contours of arable lands, using digital maps of land use and soils of these countries. The half-diurnal  $\alpha$  distributions of the studied soil units generated in the  $\theta_s$  using the aforementioned sets of equations with the assumption that they have a roughness corresponding to those formed by a Pd, an Hd and an Hs. It was assumed that the roughness, expressed by HSD and  $T_{3D}$ , was 25 mm and 1.5 for the surfaces shaped by Pd, 10 mm and 1.15 for Hd, and 5 mm and 1.05 for Hs (Cierniewski et al. 2014). The distributions were first matched with  $\theta_s$  variation for each day of the year from local noon to sunset. Then the distributions were stated in a function of time, replacing  $\theta_s$  by solar local time. This allowed the average diurnal  $\alpha$  value ( $\alpha_d$ ) to be calculated for each day of the year for averaged air-dried arable lands in the studied countries after using the aforementioned agricultural tools. The examples of average soils located in Poland and Israel show how different their diurnal  $\alpha$  distributions can be depending on latitude, date and roughness, and how these variables affect their average diurnal values (Fig. 25). Using an Hs to smoothing soil previously shaped by a Pd in Poland increases its  $\alpha_d$  at the beginning of the astronomical winter by about 100% and at the beginning of the astronomical summer by about 65%. For average soils in Israel, located at a lower latitude of about 20°, their  $\alpha_d$  increase for the first date is about 45%, and 30% for the second.

The diurnal amounts of shortwave radiation reflected from the lands were estimated by multiplying the total amount of shortwave radiation coming to the lands under clear-sky conditions (formulated by formulas contained in Allen et al. 1998) by the  $\alpha_d$  of the lands and their areas. It was found that these areas reached two maxima in spring and late summer in Poland (at the beginning of the second decade of March and the end of the first decade of September) and one maximum in summer until early autumn in Israel (between the end of the second decade of July and the end of the first decade of September). The maxima of shortwave radiation  $(Rr_d)$ reflected under the clear-sky from these dried arable lands in Poland formed by Pd and Hs were assessed at about 200 and 320 PJ/day in spring, respectively, and in late summer at 220 and 350 PJ/day (Fig. 26). The  $Rr_d$  maximum values for air-dried bare arable lands formed by Pd and Hs in Israel were estimated at about 16 and 23 PJ/day, respectively. These values were approx. 14–15 times lower than the corresponding values for lands in Poland mainly because the total area of these lands in Poland was about 35 times larger, but their  $\alpha_d$  and  $Ri_d$  values were almost 1.5 times and 1.2 times lower in spring and late summer, respectively, than those in Israel in summer until early autumn.



**Fig. 25** Half-diurnal albedo distribution of average bare arable lands in Poland and Israel formed by a Pd, an Hd, and an Hs, generated for the shortest (22 December) and the longest (22 June) days, respectively, in relation to the local solar time

In order to estimate the annual dynamics of the shortwave radiation reflected from the bare arable lands on the scale of the European continent Eq. 23, 24 and 25 were used, where thousands of soil reflectance spectra stored in the LUCAS soil database provided input data for these equations to calculate the half-diurnal  $\alpha$  variation of the tested lands assuming that they are formed by Pd and Hs and illuminated under clearsky conditions (Cierniewski et al. 2018c). The use of satellite data from a Spinning Enhanced Visible and Infrared Imager instrument (SEVIRI) (König et al. 2001) made it possible to estimate these dynamics not only under clear-sky conditions, but also in the real changing cloud conditions in the selected year of 2011. The annual variation of the areas of arable lands and periods when they are not covered with crops in such large terrain has been established in a different way than in Poland and Israel. Vectorized and rasterized geostatistical data related to the European Agricultural Region (USDA 1994), divided into three sub-regions limited to member countries of the European Union (EU) together with its associated countries (Switzerland and Norway) were used. The spatial distribution and area of the major crops cultivated there (barley, wheat, maize, potato, rye, sugar beet and rapeseed) was established on the basis of the datasets (Monfreda et al. 2008) in the form of a raster image with a pixel size of  $5 \times 5$  arcmin. The Crop Calendar Dataset (Sacks et al. 2010), containing digitized and georeferenced observation of crop planting and harvesting days (also with the same resolution of 5-5 arcmin) was used to find the date when the crops



**Fig. 26** Annual variation in: **a** average diurnal albedo ( $\alpha_d$ ) of the average bare arable lands in Poland and Israel, formed by a plow (Pd), a disk harrow (Hd), and a smoothing harrow (Hs); **b** areas of bare arable lands in Poland and Israel extracted from Landsat 8 images; **c** amount of shortwave radiation ( $Ri_d$ ) reaching the lands in clear-sky conditions; **d** amount of shortwave radiation reflected from all the bare arable lands ( $Rr_d$ ) within Poland and Israel

were planted. Growing degree days, being a tool for measuring heat accumulation in order to predict the rate of plant development (Miller et al. 2001; Worthington and Hutchinson 2005), was used to determine the period in which crop cover does not exceed 15%, so it could not lose its spectral characteristic as bare soil. To determine the soil units that the delineated arable areas belong to, a digital soil map classified as WRB major reference groups and a land-cover map (GlobCover 2009) were used (Arino et al. 2012). The  $\alpha_d$  values of averaged arable lands were generated for each day of the year using half-diurnal  $\alpha$  distributions of the air-dried lands in the function of solar local time in the same way as those calculated for these two different size countries-Poland and Israel. Due to the variability of climatic conditions in such a wide area within the EU,  $\alpha_d$  values were calculated separately for its western (W), central (C), and southern (S) parts (Fig. 27). Spring peaks of the bare soil areas, about 85,000 and 60,000 km<sup>2</sup>, were found within W and C in the middle of first decade of April and in the middle of the first decade of May, respectively. The largest spring peak, reaching 95,000 km<sup>2</sup>, was established within S at the turn of the second and the third decade of April. Significantly smaller autumn peaks of the bare soil areas within W, C, and S, reaching 10,000, 20,000, and less than 5000 km<sup>2</sup>, respectively, were found at the end of the first decade of October. Within S in summer around the end of the third decade of July an additional area of bare soils was found measuring 10,000 km<sup>2</sup>. The average diurnal amount of shortwave radiation reaching the EU's arable lands under changing cloudiness in the selected years of 2011 varied from about 2 TJ/km<sup>2</sup> around the beginning of the astronomical winter to 17, 19, and 21 TJ/km<sup>2</sup> for C, W, and S, respectively, at the beginning of the astronomical summer. It was found finally that the greatest amount of radiation could be reflected from the arable lands of the EU from the beginning of April to the end of May. This instantaneous radiation amount of the soil shaped by a Hs and a Pd was estimated at 250 and 220 PJ/day, respectively, for W, 190 and 150 PJ/day for C, and 330 and 280 PJ/day for S.

In estimating the annual dynamics of short-wave radiation reflected from bare arable lands on a global scale (Cierniewski and Ceglarek 2018), such a procedure for determining the variation of their areas was applied as in the case of the European continent previously discussed, using vectorized and rasterized geostatistical data sets. However, without having the satellite data referring to the real amount of shortwave radiation reaching these lands in this global scale, the estimation was limited only to clear-sky conditions without any clouds. The estimation has been referred to the highest radiation level of the lands, which under conventional tillage (Derpsch et al. 2010) were bare for at least a few days after the day of planting and were air-dried in two extreme roughness states-those shaped by a Pd and those shaped by a Hs. The estimation was carried out on the examples of the thirty-three most extensive agricultural regions on six of the Earth's continents, where thirteen major crops are cultivated (barley, cassava, cotton, groundnut, maize, millet, potato, rapeseed, rye, sorghum, soybean, sugar beet and wheat). This estimate was made using soil units classified as major soil groupings according to the Digital Soil Map of the World (FAO/UNESCO 2007) located within the tested arable lands according to a global land cover map (GlobCover 2009). It was established that the highest peak Fig. 27 Annual variation in: **a** average diurnal albedo  $(\alpha_d)$  of the average bare arable lands in western (red line), central (green line), and southern (blue line) parts of the European Union (EU), formed by a plow (Pd) and a smoothing harrow (Hs); **b** areas of bare arable lands in these parts of the EUs; c real amount of shortwave radiation  $(Ri_d)$  reaching the lands in the EU; d amount of shortwave radiation reflected from all the bare arable ands  $(Rr_d)$ , formed by a plow (solid lines) and a smoothing harrow (dashed lines) within these parts of the EU



of shortwave radiation reflected from the lands  $(Rr_d)$  formed by a Pd and an Hs in Africa occur in the summer in the middle of the first decade of July, reaching there around 3.5 EJ/day and 4 EJ/day, respectively, when their bare areas reached about 350,000 km<sup>2</sup>. In Asia, Europe and North America, the highest  $Rr_d$  peaks appear in spring between the beginning and the end of the third decade of May, and in South America at the beginning of the second decade of December. It was found that in this period the total areas of bare arable lands on these continents were about 700,000 km<sup>2</sup>, 450,000 km<sup>2</sup>, 300,000 km<sup>2</sup>, and 500,000 km<sup>2</sup>, respectively (Fig. 28). The  $Rr_d$  peaks for these extreme roughness states, formed by a Pd and Hs, were evaluated at about 8.5 EJ/day and 10 EJ/day, 5 EJ/day and 8.5 EJ/day, 7 EJ/day and 8.5 EJ/day, and 5.5 EJ/day and 6.3 EJ/day, respectively (Fig. 29). This  $Rr_d$  peak appears in Oceania in autumn, in the middle of the first decade of June, reaching



**Fig. 28** Annual variation of bare arable land areas  $(A_d)$  within six continents of the Earth







0.15 EJ/day and 0.25 EJ/day. Figure 29 shows the  $Rr_d$  values related to these lands formed by a Hs. Aggregating the  $Rr_{d}$  values predicted for the tested agricultural regions located in the Northern Hemisphere, it was found that their highest value occurred at the beginning of the third decade of May reaching 21 EJ/day for soils treated by a Pd, and 24 EJ/day when they are treated by an Hs (Fig. 30).

We suppose that these above values of shortwave radiation reflected and absorbed by bare arable lands in specified periods of the year with their changing areas throughout the year may have a noticeable effect on the Earth's climate on a global scale, depending on the land roughness being the effect of agricultural practices under conventional tillage. Due to the evidently progressive global warming of the climate, forming arable lands under conventional cultivation with the use of agricultural tools that create the lowest possible roughness seems to be one action against this warming. It is assumed that although the annual variation of shortwave radiation reflected from bare arable lands on a global scale is limited to specific conditions, it may facilitate climatologists in more reliable assessment of the impact of these lands on the Earth's climate.

#### 4 **Concluding Remarks**

This paper discussed the impact of soil properties—those stable in time and those changing dynamically-that have the strongest influence on the bidirectional reflectance ( $\rho$ ) of soils and their broadband blue-sky albedo ( $\alpha$ ). However, the impact of soil surface roughness on these physical quantities was discussed here most widely. Models that describe the  $\rho$  of soils in changing their illumination and observation condition taking into account this surface feature were used for this purpose.

It is assumed that bare soil surfaces, although appearing in relatively short periods within conventionally cultivated arable lands may, due to their large total areas, can significantly affect the energy transfer between soil, vegetation and the atmosphere,

(SH)

 $(Rr_{\rm d})$ , and reflected from

harrow (Hs) within the

and Southern Hemisphere

depending on their spectral reflectance properties (expressed by their  $\alpha$ ). It is assumed that only one of these properties, which is the roughness of the arable lands, can sufficiently strongly determine this impact. Smoothing rough arable lands that have been previously deeply plowed with, for example, a smoothing harrow increases their  $\alpha$ , resulting in a lower amount of shortwave radiation being absorbed by their surface layer. Those surfaces emit less long-wave radiation, leading to reduction in their temperature, which can modify the Earth climate.

This paper presents the sets of equations that make it possible to predict with the satisfactory accuracy the diurnal  $\alpha$  using their reflectance spectra obtained under laboratory conditions, which are stored in soil spectral libraries. This variation allows for more precise calculation of the average diurnal  $\alpha$  values of soils and, on this basis, also their average  $\alpha$  values over longer periods of several days, a month, a season or a year. It is assumed that the application of the procedures for determining the annual variation of shortwave radiation amount reflected from arable lands at such time intervals can improve the accuracy of modeling the Earth's climate change.

Because the surface moisture of arable lands under conventional tillage affects their spectral reflectance as strongly and dynamically as their surface roughness, it would appear important to consider soil moisture as a variables in the newly developed soil reflectance models working in the optical domain.

Estimating the amount of radiation reflected from bare soils on a global scale would be much more realistic if it concerned not only clear-sky conditions, but also the changing cloud conditions on a much larger area than the EU, as has been done thus far using satellite data from the SEVIRI instrument.

In view of the rapid progress of satellite technology, it seems increasingly feasible that a research project can be implemented to confirm the suitability of observation of soil surfaces and other components of the Earth's surface using satellite technology at the optimal time to determine their average diurnal  $\alpha$  value.

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