

# Chapter 7

## Regional Nodal Staging: Clinically Node Negative



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### Introduction

The incidence of malignant melanoma has increased over the past few decades, and melanoma now represents the fifth most common cancer in the United States [1]. Prognosis following diagnosis is highly dependent on disease stage, as determined by Breslow thickness, primary tumor ulceration, and the presence of regional lymph node (LN), satellite/in-transit, or distant metastases [2]. In patients with clinically localized melanoma, sentinel lymph node biopsy (SLNB) is an important staging and prognostic tool used to evaluate the pathologic status of the regional LN basin.

### History

First introduced to the surgical community by Morton et al. in the early 1990s, SLNB quickly replaced elective LN dissection (ELND) in determining whether tumor cells have spread beyond the primary site to the regional nodal basin [3].

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Routine ELND in patients with early-stage melanoma was controversial for several reasons. Large multi-institutional prospective studies failed to demonstrate a significant survival benefit of ELND compared to nodal observation except in certain subgroups of patients [4, 5]. Clinically occult LN metastases were histologically identified in only about 15–20% of patients who underwent ELND, while patients were exposed to the potentially significant morbidity associated with ELND without a definite clinical benefit [3, 5].

In the initial report of SLNB published by Morton et al., SLNs were successfully identified in 194 (82%) of 237 specimens, ranging from 81% for cervical basins to 89% for the groin [3]. Among the 259 SLNs from the 194 specimens, 18% harbored microscopic melanoma metastases. In contrast, only 0.06% of non-sentinel nodes were found to be tumor-bearing (false-negative rate 1%), corroborating the notion that the SLNs were the initial sites of regional LN spread and confirming the high sensitivity of the technique [3].

The role of SLNB in the management of clinically localized melanoma was further assessed prospectively through a large randomized trial, the Multicenter Selective Lymphadenectomy Trial-1 (MSLT-1), which was initiated by Morton et al. in 1994 [6]. Ten-year survival outcomes were published in 2014 (Table 7.1) [7]. The phase III trial included 2001 patients diagnosed with localized cutaneous melanoma of Breslow thickness  $\geq 1.2$  mm. Patients were randomized to undergo wide local excision (WLE) of the primary tumor with SLNB, followed by immediate completion lymphadenectomy (CLND) for those with a positive SLN, versus wide excision of primary alone with nodal observation and therapeutic lymphadenectomy at time of nodal recurrence. While the trial found no significant difference between randomized groups for the primary study endpoint (melanoma-specific survival), SLNB was associated with improved 10-year disease-free survival in patients with intermediate-thickness melanomas, defined as 1.2–3.5 mm in Breslow thickness (SLNB vs. observation, Hazard Ratio [HR] 0.76,  $P = 0.01$ ), and thick melanomas, or  $>3.5$  mm (HR 0.70,  $P = 0.03$ ). This was driven largely by higher regional recurrence rates in the observation arm of the trial. The trial reaffirmed the strong prognostic value of the SLN; nodal metastasis was associated with decreased melanoma-specific survival (intermediate-thickness, HR 3.09,  $P < 0.001$ ; thick, HR 1.75,  $P = 0.03$ ). Furthermore, earlier intervention with SLNB and immediate CLND, compared to therapeutic lymphadenectomy after nodal recurrence, appeared to be associated with improved melanoma-specific survival in the subgroup of patients with intermediate-thickness melanomas with nodal disease (HR 0.56,  $P = 0.006$ ). A similar treatment-related response with early nodal intervention was not observed among patients with thick melanomas and LN metastases (HR 0.92,  $P = 0.78$ ).

The important prognostic information provided by SLNB led to the incorporation of regional nodal micrometastases in the sixth edition of the American Joint Committee on Cancer staging system for melanoma in 2001 [8]. Historically, the distinction between clinical and pathologic staging was not emphasized. With the widespread use of SLNB, and increased upstaging of clinically node-negative patients, clinical and pathologic staging led to distinct populations of patients with disparate survival outcomes. The difference in survival conferred by the pathologic nodal status was most pronounced for clinically node-negative patients with melanomas  $>1.0$ – $4.0$  mm in Breslow thickness ( $P < 0.0001$ ) [8].

**Table 7.1** Ten-year survival outcomes from the Multicenter Selective Lymphadenectomy Trial-1: clinically node-negative patients with melanoma who underwent sentinel lymph node biopsy (SLNB) versus nodal observation [7]

|   | Intermediate-thickness melanomas (1.2–3.5 mm Breslow thickness) |         | Thick melanomas (>3.5 mm Breslow thickness) |         |
|---|---|---------|---|---------|
|   | HR (95% CI)   | P value | HR (95% CI)                                 | P value |
| <b>Primary outcome</b>                                |   |         |   |         |
| Melanoma-specific survival                            | N = 1270  |         | N = 290                                     |         |
| Observation   | Reference   |         | Reference                                   |         |
| SLNB  | 0.84 (0.64–1.09)  | 0.18    | 1.12 (0.76–1.67)                            | 0.56    |
| <b>Secondary outcomes</b>                             |   |         |   |         |
| Disease-free survival                                 | N = 1270  |         | N = 290                                     |         |
| Observation   | Reference   |         | Reference                                   |         |
| SLNB  | 0.76 (0.62–0.94)  | 0.01    | 0.70 (0.50–0.96)                            | 0.03    |
| Node-positive patients: melanoma-specific survival    | N = 209   |         | N = 101                                     |         |
| Observation with nodal recurrence                     | Reference   |         | Reference                                   |         |
| SLNB positive   | 0.56 (0.37–0.84)  | 0.006   | 0.92 (0.53–1.60)                            | 0.78    |
| Node-positive patients: distant disease-free survival | N = 209   |         | N = 101                                     |         |
| Observation with nodal recurrence                     | Reference   |         | Reference                                   |         |
| SLNB positive   | 0.62 (0.42–0.91)  | 0.02    | 0.96 (0.56–1.64)                            | 0.88    |
| Node-negative patients: melanoma-specific survival    | N = 1025  |         | N = 177                                     |         |
| Observation without nodal recurrence                  | Reference   |         | Reference                                   |         |
| SLNB negative   | 0.89 (0.61–1.29)  | 0.54    | 1.18 (0.63–2.18)                            | 0.61    |

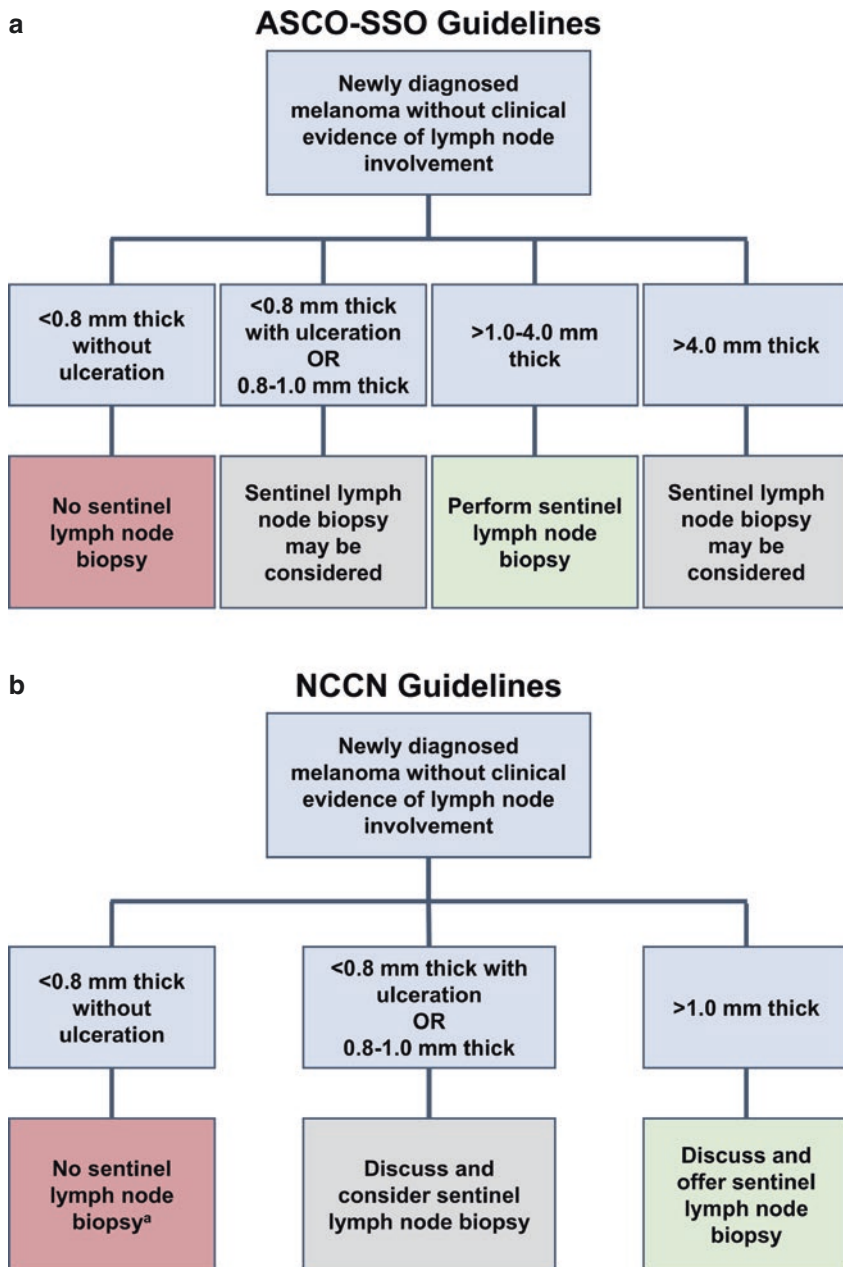
HR hazard ratio, CI confidence interval, SLNB sentinel lymph node biopsy

## Patient Selection for Sentinel Lymph Node Biopsy

### Guideline Recommendations

SLNB is recommended for certain populations of patients presenting with clinically node-negative invasive melanoma with appreciable risk of regional nodal metastasis. It is not recommended for patients diagnosed with melanoma *in situ* or those with clinically-evident nodal disease (for which nodal microstaging is unnecessary). Clinical guidelines continue to evolve over time with respect to precise patient selection criteria, but generally are concordant in recommending SLNB for patients with intermediate-thickness melanomas >1.0–4.0 mm in Breslow thickness.

Current guidelines set forth by the American Society of Clinical Oncology (ASCO) and the Society of Surgical Oncology (SSO) recommend the performance of SLNB in patients with intermediate-thickness melanomas (>1.0–4.0 mm in Breslow thickness) (Fig. 7.1a) [9]. Furthermore, a SLNB may be considered after a



**Fig. 7.1** National guidelines for patient selection for sentinel lymph node biopsy. (a) American Society of Clinical Oncology (ASC) and Society of Surgical Oncology (SSO) guidelines [9]. (b) National Comprehensive Cancer Network (NCCN) guidelines [10]. <sup>a</sup>Sentinel lymph node biopsy may be considered if other high-risk features are present, such as a very high mitotic rate ( $\geq 2$  per  $\text{mm}^2$ ), especially in a young patient, lymphovascular invasion, or a combination

thorough discussion of potential benefits and risks in patients with T1b melanomas (<0.8 mm in Breslow thickness with ulceration, or 0.8–1.0 mm in thickness irrespective of ulceration status). Similarly, it may be considered in patients with thick melanomas (>4.0 mm in thickness), who harbor a significant risk of regional LN metastasis. SLNB is not routinely recommended for patients with thin, non-ulcerated tumors <0.8 mm.

Guidelines from National Comprehensive Cancer Network (NCCN) recommend offering SLNB for patients with a risk of positive SLN of 10% or higher [10]. This would include patients with melanomas  $\geq 1.0$  mm in Breslow thickness, regardless of ulceration status. Unlike the ASCO/SSO guidelines, the NCCN guidelines do not differ in their recommendations for intermediate-thickness and thick melanomas. SLNB should be considered in those with 5–10% risk, such as patients with melanomas <0.8 mm with high-risk features (ulceration, mitotic rate  $\geq 2$  per  $\text{mm}^2$  [particularly in patients of young age], lymphovascular invasion, or a combination) or 0.8–1.0 mm in thickness. The guidelines further state that, among patients for whom SLNB should be considered or offered, individual clinical decisions depend on patient comorbidities, patient preferences, and other factors. SLNB is not recommended for those with <5% risk, such as patients with melanomas <0.8 mm in Breslow thickness without ulceration or other high-risk features. Additionally, the presence of microsatellitosis or in-transit disease at initial melanoma presentation already defines stage III disease, and while SLN status does have prognostic value, the importance of SLNB in this patient population has not been clearly defined [10, 11].

### ***Evidence for Intermediate-Thickness and Thick Melanomas***

Guideline recommendations for SLNB are based in part on the results from MSLT-1 and several other retrospective studies. Similar to MSLT-1, many retrospective studies demonstrated an improvement in disease-free survival, but not melanoma-specific survival, in patients with intermediate-thickness who underwent SLNB (Table 7.2) [12–14]. One retrospective study using data from the Surveillance Epidemiology and End Results (SEER) demonstrated worse melanoma-specific survival in patients with intermediate-thickness melanomas who underwent nodal observation compared to SLNB (HR 1.18, 95% Confidence Interval [CI] 1.04–1.34,  $P = 0.009$ ) [15]. However, the authors noted that the absolute difference in survival was small (1.7%). Retrospective studies have identified increasing Breslow thickness, ulceration, mitoses, and lymphovascular invasion to be associated with SLN positivity in patients with intermediate-thickness melanomas (Table 7.3) [16–18].

Unlike for intermediate-thickness melanomas, the MSLT-1 did not demonstrate that early nodal intervention among patients with thick melanomas and nodal metastases was associated with improved melanoma-specific survival (SLNB positive vs. observation with nodal recurrence, HR 0.92,  $P = 0.78$ ) [7]. Similar to MSLT-1, multiple retrospective studies have not demonstrated an improvement in

**Table 7.2** Multivariable analyses of survival outcomes in retrospective studies comparing sentinel lymph node biopsy (SLNB) and nodal observation in patients with clinically node-negative malignant melanoma

| Study                     | Breslow thickness                          | Data source                               | Cohort (N)   | Disease-free survival<br>Adjusted HR<br>(95% CI) | P value | Melanoma-specific survival<br>Adjusted HR (95% CI) | P value |
|---------------------------|--|---|--|--|---------|--|---------|
| Karakousis et al. [27]    | Thin                                       | Institutional                             | Observation with clinical nodal recurrence (426)<br>SLNB positive (91) | –  | –       | 3.29 (1.83–5.93)                                   | <0.001  |
| Kachare et al. [15]       | Intermediate                               | Surveillance epidemiology and end results | Observation (matched 3955)<br>SLNB (matched 3955)                      | –  | –       | Reference  | 0.009   |
| van der Ploeg et al. [13] | Intermediate and thick                     | Institutional                             | Observation (2931)   | 1.40 (1.23–1.58)                                 | <0.001  | 1.04 (0.88–1.22)                                   | 0.642   |
| Kachare et al. [12]       | Thick                                      | Surveillance epidemiology and end results | SLNB (2909)  | Reference  | –       | Reference  | 0.20    |
| Ribero et al. [19]        | Thick                                      | Institutional                             | Observation (1825)<br>SLNB (2746)                                      | –  | –       | Reference  | –       |
| Boada et al. [20]         | Thick                                      | Multi-institutional                       | Observation (172)<br>SLNB (178)  | Reference  | 0.001   | Reference  | 0.176   |
| Sperry et al. [14]        | Thin (≥0.76–1 mm)<br>Intermediate<br>Thick | Surveillance epidemiology and end results | Observation (matched 376)<br>SLNB (matched 376)                        | Reference  | 0.002   | Reference  | 0.165   |
|                           |  |   | Observation (matched 552)<br>SLNB (matched 552)                        | –  | –       | Reference  | –       |
|                           |  |   | Observation (matched 1404)<br>SLNB (matched 1404)                      | –  | –       | Reference  | 0.24    |
|                           |  |   | Observation (matched 354)<br>SLNB (matched 354)                        | –  | –       | Reference  | 0.31    |
|                           |  |   |  | –  | –       | Reference  | 0.23    |

HR hazard ratio, CI confidence interval

**Table 7.3** Multivariable analyses of clinicopathologic characteristics associated with sentinel lymph node positivity in retrospective studies

| Characteristic          | Study                  | Comparison                    | Adjusted OR (95% CI) | P value |
|-------------------------|------------------------|-------------------------------|----------------------|---------|
| <b>All patients</b>     |                        |                               |                      |         |
| Age                     | Balch et al. [34]      | <40 vs. $\geq$ 60 years       | 1.8 (1.5–2.1)        | <0.0001 |
|                         |                        | 40–59 vs. $\geq$ 60 years     | 1.4 (1.3–1.7)        | <0.0001 |
| Location                | Balch et al. [34]      | Upper extremity vs. head/neck | 1.1 (0.9–1.4)        | 0.2554  |
|                         |                        | Trunk vs. head/neck           | 1.7 (1.4–2.1)        | <0.0001 |
|                         |                        | Lower extremity vs. head/neck | 1.8 (1.4–2.2)        | <0.001  |
| Breslow thickness       | Balch et al. [34]      | 1.01–2.0 vs. $\leq$ 1.0 mm    | 2.1 (1.6–2.7)        | <0.001  |
|                         |                        | 2.01–4.0 vs. $\leq$ 1.0 mm    | 4.3 (3.3–5.6)        | <0.001  |
|                         |                        | >4.0 vs. $\leq$ 1.0 mm        | 6.5 (4.8–8.8)        | <0.001  |
| Clark level             | Balch et al. [34]      | III vs. II                    | 1.8 (1.0–3.4)        | 0.0674  |
|                         |                        | IV vs. II                     | 2.7 (1.4–5.0)        | 0.0023  |
|                         |                        | V vs. II                      | 2.5 (1.3–5.0)        | 0.0065  |
| Ulceration              | Balch et al. [34]      | Present vs. absent            | 1.4 (1.2–1.6)        | <0.0001 |
| Lymphovascular invasion | Balch et al. [34]      | Present vs. absent            | 3.0 (2.4–3.6)        | <0.001  |
| <b>Thin melanomas</b>   |                        |                               |                      |         |
| Age                     | Sinnamon et al. [28]   | <40 vs. $\geq$ 65 years       | 2.04 (1.44–2.90)     | <0.001  |
|                         |                        | 40–64 vs. $\geq$ 65 years     | 1.59 (1.19–2.11)     | 0.001   |
|                         | Conic et al. [29]      | 30–39 vs. <30 years           | 0.82 (0.56–1.22)     | N/A     |
|                         |                        | 40–49 vs. <30 years           | 0.64 (0.43–0.96)     | N/A     |
|                         |                        | 50–59 vs. <30 years           | 0.63 (0.43–0.92)     | N/A     |
|                         |                        | 60–69 vs. <30 years           | 0.52 (0.35–0.77)     | N/A     |
|                         |                        | $\geq$ 70 vs. <30 years       | 0.56 (0.38–0.84)     | N/A     |
| Sex                     | Sinnamon et al. [28]   | Female vs. male               | 1.26 (1.00–1.58)     | 0.04    |
|                         | Conic et al. [29]      | Male vs. female               | 1.32 (1.07–1.63)     | N/A     |
|                         | Karakousis et al. [32] | Male vs. female               | 2.5 (1.2–5.0)        | 0.01    |

(continued)

**Table 7.3** (continued)

| Characteristic          | Study                  | Comparison                            | Adjusted OR (95% CI) | P value   |
|-------------------------|------------------------|---------------------------------------|----------------------|-----------|
| Breslow thickness       | Cordeiro et al. [30]   | $\geq 0.75$ vs. $< 0.75$ mm           | 1.90 (1.08–3.33)     | N/A       |
|                         | Sinnamon et al. [28]   | $\geq 0.76$ vs. 0.50–0.75             | 1.74 (1.36–2.23)     | $< 0.001$ |
|                         | Piazzalunga [31]       | $> 0.75$ vs. $< 0.75$                 | 2.02 (1.25–3.26)     | 0.004     |
|                         | Conic et al. [29]      | $\geq 0.8$ vs. $< 0.8$                | 1.24 (1.02–1.51)     | N/A       |
| Clark level             | Cordeiro et al. [30]   | IV/V vs. II/III                       | 2.24 (1.23–4.10)     | N/A       |
|                         | Sinnamon et al. [28]   | III vs. II                            | 2.07 (1.17–3.63)     | 0.01      |
|                         |                        | IV/V vs. II                           | 2.27 (1.30–3.96)     | 0.003     |
|                         | Conic et al. [29]      | IV/V vs. II/III                       | 1.48 (1.19–1.85)     | N/A       |
| Ulceration              | Cordeiro et al. [30]   | Present vs. absent                    | 2.27 (0.98–5.24)     | N/A       |
|                         | Sinnamon et al. [28]   | Present vs. absent                    | 1.58 (1.11–2.24)     | 0.01      |
|                         | Piazzalunga [31]       | Present vs. absent                    | 2.94 (1.36–6.31)     | 0.006     |
|                         | Conic et al. [29]      | Present vs. absent                    | 1.64 (1.21–2.18)     | N/A       |
|                         | Karakousis et al. [32] | Present vs. absent                    | 7.6 (2.2–26.6)       | 0.002     |
| Mitoses                 | Cordeiro et al. [30]   | $\geq 1$ per $\text{mm}^2$ vs. absent | 6.64 (2.77–15.88)    | N/A       |
|                         |                        | $\geq 1$ vs. $< 1$ per $\text{mm}^2$  | 1.46 (0.61–3.49)     | N/A       |
|                         | Sinnamon et al. [28]   | $\geq 1$ per $\text{mm}^2$ vs. absent | 1.46 (1.13–1.89)     | 0.003     |
|                         | Conic et al. [29]      | Present vs. absent                    | 1.95 (1.54–2.49)     | N/A       |
|                         | Mozillo et al. [26]    | $\geq 1$ per $\text{mm}^2$ vs. absent | 6.44 (2.17–19.15)    | $< 0.001$ |
|                         | Karakousis et al. [32] | Present vs. absent                    | 3.3 (1.5–7.4)        | 0.003     |
| Lymphovascular invasion | Sinnamon et al. [28]   | Present vs. absent                    | 2.07 (1.06–4.04)     | 0.03      |
| Vertical growth phase   | Karakousis et al. [32] | Vertical vs. radial growth phase      | 7.9 (1.7–36.8)       | 0.009     |



**Table 7.3** (continued)

| Characteristic                          | Study                | Comparison                    | Adjusted OR (95% CI) | P value |
|---|----------------------|-------------------------------|----------------------|---------|
| <i>Intermediate-thickness melanomas</i> |                      |                               |                      |         |
| Age                                     | Bartlett et al. [16] | ≥60 vs. <60 years             | 0.69                 | 0.047   |
|   | Chang et al. [17]    | 60–74 vs. <60 years           | 0.45 (0.30–0.67)     | <0.001  |
|   |                      | ≥75 vs. <60 years             | 0.48 (0.28–0.82)     | 0.007   |
|   | Hanna et al. [18]    | Continuous, every 10 years    | 0.80 (0.78–0.83)     | <0.0001 |
| Sex                                     | Hanna et al. [18]    | Female vs. male               | 0.857 (0.79–0.93)    | 0.0002  |
| Location                                | Chang et al. [17]    | Lower extremity vs. head/neck | 2.15 (1.20–3.86)     | 0.010   |
|   |                      | Upper extremity vs. head/neck | 1.65 (0.86–3.16)     | 0.132   |
|   |                      | Trunk vs. head/neck           | 2.12 (1.21–3.71)     | 0.009   |
|   | Hanna et al. [18]    | Lower extremity vs. head/neck | 1.81 (1.59–2.06)     | <0.0001 |
|   |                      | Upper extremity vs. head/neck | 0.98 (0.86–1.11)     | 0.71    |
|   |                      | Trunk vs. head/neck           | 1.74 (1.55–1.95)     | <0.0001 |
| Breslow thickness                       | Bartlett et al. [16] | 1.01–1.49 vs. 1.50–4.00 mm    | 0.29                 | <0.001  |
|   | Chang et al. [17]    | 2.00–2.99 vs. 1.01–1.99 mm    | 2.31 (1.57–3.41)     | <0.001  |
|   |                      | 3.00–4.00 vs. 1.01–1.99 mm    | 3.04 (1.93–4.79)     | <0.001  |
|   | Hanna et al. [18]    | Continuous                    | 1.56 (1.48–1.63)     | <0.0001 |
| Clark level                             | Hanna et al. [18]    | III vs. II                    | 1.41 (1.02–1.87)     | 0.03    |
|   |                      | IV/V vs. II                   | 1.49 (1.03–1.94)     | 0.009   |
| Mitoses                                 | Bartlett et al. [16] | Absent vs. present            | 0.47                 | 0.093   |
|   | Hanna et al. [18]    | Present vs. absent            | 1.63 (1.42–1.86)     | <0.0001 |
| Ulceration                              | Hanna et al. [18]    | Present vs. absent            | 1.35 (1.24–1.47)     | <0.0001 |

(continued)

**Table 7.3** (continued)

| Characteristic                 | Study                | Comparison                             | Adjusted OR<br>(95% CI) | P value |
|--------------------------------|----------------------|--|-------------------------|---------|
| Tumor infiltrating lymphocytes | Bartlett et al. [16] | Present vs. absent                     | 0.60                    | 0.018   |
| Lymphovascular invasion        | Bartlett et al. [16] | Absent vs. present                     | 0.46                    | 0.010   |
|                                | Hanna et al. [18]    | Present vs. absent                     | 3.18<br>(2.77–3.66)     | <0.0001 |
| Microsatellites                | Bartlett et al. [16] | Absent vs. present                     | 0.44                    | 0.010   |
|                                | Chang et al. [17]    | Present vs. absent                     | 2.31<br>(1.09–4.89)     | 0.029   |
| <b><i>Thick melanomas</i></b>  |                      |  |                         |         |
| Location                       | Yamamoto et al. [25] | Trunk vs. head/neck                    | 4.60<br>(2.03–10.42)    | 0.0003  |
|                                |                      | Extremities vs. head/neck              | 3.17<br>(1.35–7.42)     | 0.008   |
| Histology                      | Yamamoto et al. [25] | Desmoplastic vs. superficial spreading | 0.09<br>(0.02–0.36)     | 0.001   |
| Microsatellites                | Yamamoto et al. [25] | Present vs. absent                     | 10.31<br>(1.98–53.83)   | 0.006   |

OR odds ratio, CI confidence interval, N/A not available

melanoma-specific survival with receipt of SLNB in patients with thick melanomas [12, 14, 19, 20], where the frequency of occult systemic metastases may be appreciable [21]. SLN positivity rates for thick melanomas are quite high, reported as 32.9% in MSLT-1 [7] and ranging from 30% to 51.2% in retrospective series [12, 19, 21–26]. However, even despite the lack of any demonstrable survival benefit of the SLN procedure in this high risk population, retrospective studies have found the SLN status to be prognostic, with SLN positive patients experiencing worse disease-free [19, 20, 22, 23, 25], distant disease-free [24], melanoma-specific [19, 20, 25], and overall survival [22, 24–26] (Table 7.4). Reported factors associated with decreased likelihood of SLN positivity in patients with thick melanomas have included identified head/neck location, desmoplastic histology, and absence of satellitosis (Table 7.3) [25]. Other studies found the presence of ulceration [22, 24] and lymphovascular invasion [24] to be associated with SLN positivity by univariable analysis.

### ***Evidence for Thin Melanomas***

Evidence supporting SLNB in patients with thin melanomas are limited to retrospective studies as there are no randomized trials comparing SLNB to nodal observation for this lower risk patient population. Using data from SEER, Sperry et al.

**Table 7.4** Multivariable analyses of survival outcomes in retrospective studies comparing sentinel lymph node positive and negative patients with melanomas >4.0 mm in Breslow thickness

| Study                   | Data source   | Cohort (N)        | Disease-free survival Adjusted HR (95% CI) | P value | Melanoma-specific survival Adjusted HR (95% CI) | P value |
|-------------------------|---------------|-------------------|--|---------|---|---------|
| Riberio et al. [19]     | Institutional | Observation (172) | Reference                                  |         | Reference                                       |         |
|                         |               | Negative (94)     | 0.47 (0.33–0.68)                           | <0.001  | 0.62 (0.39–0.96)                                | 0.03    |
|                         |               | Positive (84)     | 0.78 (0.54–1.12)                           | 0.18    | 1.03 (0.66–1.62)                                | 0.87    |
| Gershenwald et al. [22] | Institutional | Negative (77)     | Reference                                  |         | Reference <sup>a</sup>                          |         |
|                         |               | Positive (49)     | 2.03 (1.36–2.70)                           | 0.039   | 3.24 (2.26–4.21)                                | 0.018   |
| Ferrone et al. [23]     | Institutional | Negative (88)     | Reference                                  |         | –   | –       |
|                         |               | Positive (38)     | 3.3 (1.8–6.0) <sup>b</sup>                 | <0.001  | –   | –       |
| Gajdos et al. [24]      | Institutional | Negative (120)    | Reference <sup>c</sup>                     |         | Reference <sup>a</sup>                          |         |
|                         |               | Positive (107)    | 3.95 (2.11–7.41)                           | <0.0001 | 2.28 (1.37–3.77)                                | 0.0014  |
| Yamamoto et al. [25]    | Institutional | Negative (251)    | Reference                                  |         | –   | –       |
|                         |               | Positive (161)    | 1.39 (1.03–1.86)                           | 0.029   | –   | –       |

HR hazard ratio, CI confidence interval

<sup>a</sup>Overall survival

<sup>b</sup>Relative risk

<sup>c</sup>Distant disease-free survival

demonstrated an improvement in disease-free survival for patients with high-risk, thin ( $\geq 0.76$ – $1.00$  mm with ulceration or  $\geq 1$  mitoses per  $\text{mm}^2$ ) melanomas of the head and neck who underwent SLNB compared to observation, similar to the findings for patients with intermediate-thickness and thick melanomas (Table 7.2) [14]. In a two-center study of patients with thin melanoma, receipt of SLNB was associated with improved survival outcomes (5-year melanoma-specific survival 88% vs. 72%,  $P < 0.0001$ ) in patients with identified SLN metastases compared to those who developed clinical nodal disease [27]. Further prospective study would be needed to delineate the influence of patient selection and other potential biases in these observed results. Among patients with thin melanomas, increasing Breslow thickness is a strong risk factor for SLN positivity, with most studies using a depth of 0.75 or 0.80 mm as the cutpoint for comparison [28–31] (Table 7.3). Other primary tumor factors, such as the presence of ulceration [28–32], mitoses [28–30, 32], and lymphovascular invasion [28], have also been associated with increased risk for SLN positivity, supporting the consideration of SLNB in patients with thin melanomas and these high-risk features.

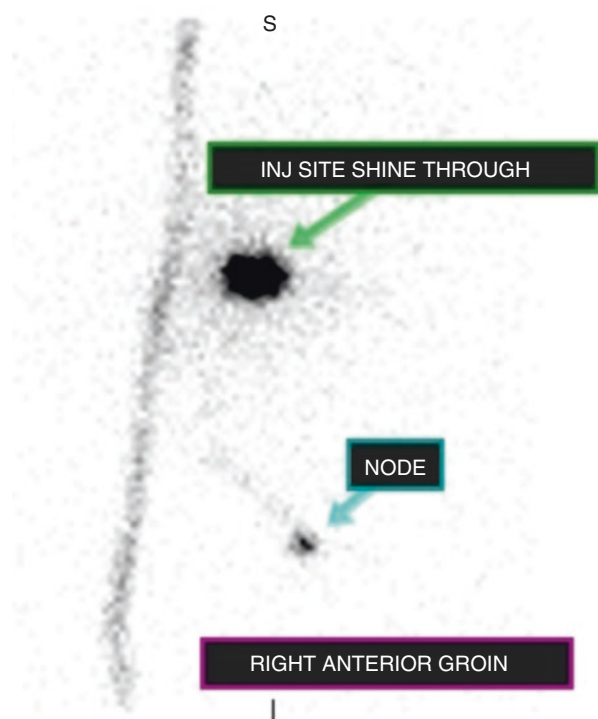
In addition to tumor factors, patient age appears to be associated with SLN status (Table 7.3). Multiple studies have demonstrated lower rates of positive SLNs in older patients, regardless of other clinicopathologic features [16–18, 28, 29, 33, 34]. Paradoxically, however, older age is also associated with decreased melanoma-specific survival [33]. However, patient age is not included as a factor for consideration in current clinical practice guidelines, which focus on tumor factors.

## Technical Performance

### *Lymphoscintigraphy and Tracer Injection*

First developed in 1977, preoperative lymphoscintigraphy is the commonly accepted technique for identifying the regional draining LN basin in anatomic areas with variable drainage patterns, such as the head, neck, and trunk (Fig. 7.2) [35]. In truncal melanomas, for example, contralateral rather than ipsilateral nodal basins may be involved, and in head and neck melanomas, pre-auricular, parotid, or suboccipital sites rather than the cervical chain or supraclavicular nodes may serve as the primary draining basin. Information from lymphoscintigraphy helps to guide the

**Fig. 7.2** Preoperative lymphoscintigram demonstrating a sentinel lymph node located in the right inguinal basin in a patient with a right lower back melanoma



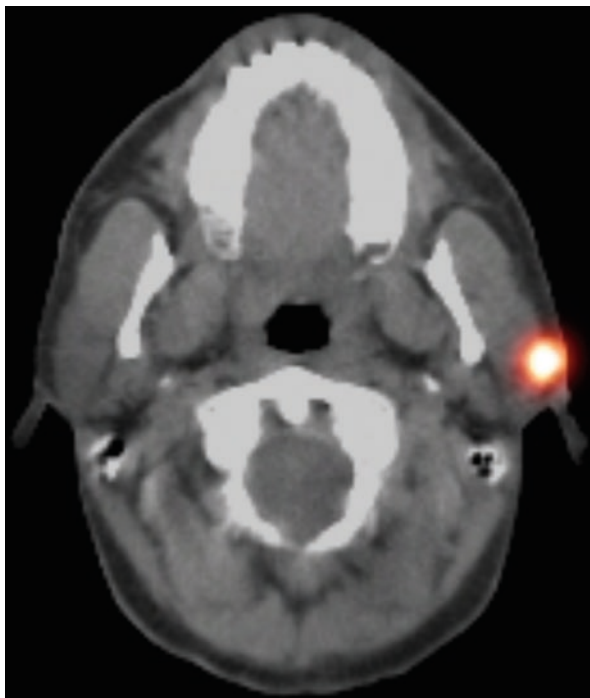
biopsy of all involved regional LN basins. While it is typically performed on the day of surgery just prior to SLNB, surgery can be performed up to 24 h later without significant dissipation of radiolabeled colloid [36].

Lymphoscintigraphy typically begins with a four-point intradermal injection of 0.05–1 mCi of technetium 99-labeled sulfur colloid just adjacent to the primary melanoma biopsy site or clinical residual lesion [37]. It should be injected in wheels, 0.1 mL per aliquot, with a 25- to 27-gauge needle. Drainage to the nodal basin is usually brisk, within 10–30 min. Inadequate tissue tension in the wheel can lead to delayed drainage, and injected volumes larger than 0.1 mL risk obstructing dermal lymphatics [9, 38]. Also, increased pressure from the wheel can cause leakage when the needle is removed, leading to interference on gamma imaging. In some areas, such as the head and neck, the caudal injection is held as it may interfere with imaging of the nodal basin. Subcutaneous injection should be avoided, as drainage from subcutaneous lymphatics may not represent lymphatic drainage from the cutaneous melanoma. The radiation dose from a SLNB to the surgeon and other personnel is minimal. It is estimated that the radioactive dose from a single biopsy is one-thirtieth of the annual whole-body absorbed dose from background radiation [38].

Most centers implement planar gamma camera imaging following radiocolloid injection to identify the appropriate nodal basins and sentinel nodes. Some centers implement dynamic imaging to visualize nodes close to the injection site that receive direct lymphatic drainage. This technique captures images immediately after injection at 30 s per frame for 2–30 min. It is recommended that head and neck melanomas be evaluated by single-photon emission computed tomography (SPECT-CT) in addition to planar lymphoscintigraphy when available, as it has been shown to find an additional nodal basin in 38% of patients, increase the yield of positive SLNs, decrease local recurrence rates, and alter surgical approach in 20–50% of cases (Fig. 7.3) [39–42]. Other techniques used to assist with node localization include the use of a cobalt-57 flood source or other hot source to trace the outline of the patient. Furthermore, some centers perform skin markings over identified nodes in the appropriate operative position, occasionally from both the anterior and lateral views.

After lymphoscintigraphy, the patient can proceed to the operating room. Additional SLN localization may be performed by injection of blue dye with the identification of any blue-colored LNs as SLNs. Prior to injection of the blue dye, it is important to outline the margin for the WLE, as the dye may obscure a small biopsy scar. A four-point intradermal injection with up to 1–2 mL of blue dye is performed at the primary melanoma site. Five to ten minutes are needed for the blue dye to reach the nodal basin. Commercially available dyes include isosulfan blue and methylene blue. Both dyes are effectively taken up by the dermal lymphatics, but have different side effect profiles. In one study, 1.5% of patients had an adverse reaction to isosulfan blue, including a significant rate of anaphylaxis in 0.75% of patients [43]. Methylene blue has been associated with tissue necrosis, so care must be taken in anatomic regions where the dye might not be fully resected, such as the ankles, wrists, and face. Small amounts of blue dye left at the excision site may rarely result in permanent tattoo.

**Fig. 7.3** Preoperative single-photon emission computed tomography (SPECT-CT) demonstrating sentinel lymph node located superior to the left superior parotid gland in a patient with a primary melanoma located on the left side of the face



Two other SLN tracers used in the care of patients with melanoma include indocyanine green and tilmanocept (Lymphoseek®). Indocyanine green is used in conjunction with infrared fluorescence for detection of SLNs. While studies have shown that indocyanine green detects SLNs more efficiently than traditional methods, there is no long-term evidence that suggests its use improves outcomes [44]. The use of tilmanocept, a molecule specifically engineered as an ideal radiotracer for SLN detection with binding capacity to CD206 receptors on the surface of macrophages and dendritic cells, has been promising. In a clinical trial involving patients with clinically node negative melanoma, tilmanocept was found to have increased sensitivity compared to conventional SLN dyes [45].

### *Performance of Sentinel Lymph Node Biopsy*

A gamma probe is placed in a sterile sleeve and used to identify areas of radiotracer uptake in nodal basins identified on preoperative lymphoscintigraphy. If there is significant radiotracer interference from the primary melanoma injection site, WLE of the primary tumor can be performed first to decrease interference. Otherwise, SLNB is usually performed prior to the excision of the primary site, to prevent potential cross-contamination and allow for more time for lymphatic drainage to the

nodal basin. A small incision is made across the nodal basin and the dissection is carried down using instrument dissection and electrocautery. The incision is typically made such that it can be extended should a CLND ultimately be performed. Blue-stained lymphatics and the gamma probe are used to direct the dissection towards the SLN(s). Small lymphatics or vessels entering the node are ligated or clipped as necessary. Care is taken not to disrupt the capsule of the SLN using instruments or electrocautery, as it can affect pathological assessment [46]. In general, additional dissection should be avoided in the nodal basin other than that required to remove the SLNs.

All blue nodes, grossly abnormal nodes, or nodes with at least 10% of the *ex vivo* maximum radiotracer count of the hottest node are removed. This recommendation extends from a study from McMasters et al. that found that in 13.1% of positive nodal basins, the most radioactive SLN was negative for tumor, while another less radioactive LN was positive for tumor [47]. Furthermore, in 50% of those cases, the radioactive count of the positive node was  $\leq 50\%$  of the radioactive count of the hottest node. Approximately one to three SLNs are typically identified per dissection following these criteria.

In most cases, WLE and SLNB are completed during the same operation. However, some patients are referred for SLNB only after their WLE has been completed. A series of publications have evaluated the feasibility and accuracy of lymphoscintigraphy and SLNB in this setting [48–51]. In a large study of this type by Gannon et al., lymphatic mapping and SLNB were successful in 103 of 104 patients who had WLE prior to SLNB [50]. A comparison to a cohort of over 1000 patients who underwent concomitant WLE and SLNB at the same institution revealed no significant differences in the SLN identification rate, incidence of a positive SLN, or number of SLNs identified. Interestingly, more patients with axial primaries who underwent prior WLE were found to have multiple LN basin drainage, but this did not reach statistical significance ( $P = 0.07$ ). Due to these findings, it is recommended that patients undergo concomitant WLE and SLNB whenever possible to provide patients with a single operation, lower costs, and avoid the risk and morbidity of a potentially larger second operation to accomplish accurate staging.

Further studies are needed to fully validate the accuracy of SLN mapping by tracking long-term false negative recurrences. The overall accuracy of SLNB depends on anatomic location, with likely increased accuracy for truncal and extremity locations where lymphatic drainage is more predictable, and a higher false negative rate in head and neck locations where drainage is more complex [52, 53].

### ***Specimen Handling***

SLN specimens should be intact with ideally a rim of adjacent adipose tissue present and without crush deformities or diathermic injury [46, 54]. Once removed, the length, width and height of the LN are measured and an *ex vivo* maximum

radiotracer count is obtained by scanning the node with the gamma probe. Additionally, it is important to note the presence or absence of blue dye discoloration and additional markings, including collections of melanin and carbon pigment.

The method of choice for tissue preservation is routine processing with fixation in 4–10% buffered formalin [54–61]. Frozen sectioning is not preferred as it provides suboptimal morphology, has poor sensitivity, and does not adequately incorporate the subcapsular region of the LN, a site of frequent micrometastases [54–61]. When fixing tissue from the SLN in buffered formalin, the solution should be allowed to sit at room temperature for at least 12 h, although some institutions have advocated for 48 h of incubation [54, 59]. This allows the technetium-labeled sulfur colloid in the radiotracer to decay [59].

## **Pathologic Assessment of the Sentinel Lymph Node**

### ***Specimen Sectioning***

Pathologic investigation of the SLNs, and the identification of micrometastases, is critical to the accurate staging of cutaneous melanoma, and ultimately, the determination of treatment options and prognosis. Following fixation, the specimen is dissected in order to embed in paraffin. Two methods have been proposed for the dissection of the SLN: bivalve and bread-loafing dissection. Bivalve bisection cuts the LN longitudinally along its longest axis and bread-loafing dissection slices the node perpendicular to the longitudinal axis. Of these techniques, bivalve dissection is considered to be the standard of specimen sectioning among institutions [54–57, 60, 61]. Bisection along the longitudinal axis allows the specimen to be transected through the hilum. By bisecting at the level of the hilum, a large number of lymphatic vessels, including efferent lymphatic vessels, and the subcapsular region are exposed. This increases the rate of detection of micrometastases in the SLN [54–56, 60].

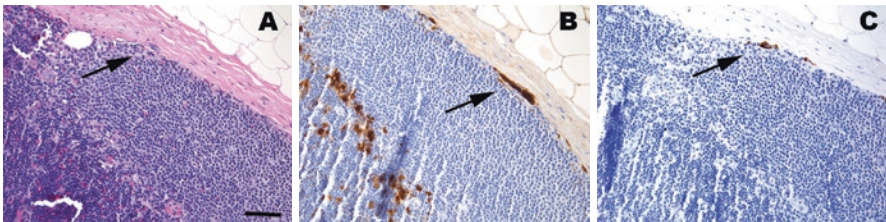
Additional sectioning of the LN has been a topic of debate among institutions. At this time, there is no consensus on the number of sections or levels necessary for SLN analysis [54]. The majority of institutions employ sectioning into 2–4 mm slices with each block of tissue being further sectioned into 1–3 levels to be analyzed by hematoxylin-eosin (H&E) staining [58–60]. More levels are necessary for immunohistochemistry (IHC) analysis. Some institutions have advocated for utilizing serial-sectioning of samples to obtain more level as this has the potential for revealing occult metastases with minimal additive labor or cost [57].



## ***Specimen Staining and Tumor Burden Assessment***

Sections obtained from SLN specimens are analyzed histologically using H&E and IHC (Fig. 7.4). It is sometimes difficult to accurately interpret histology shown on H&E staining alone due to hypercellularity within the LN and similarities in morphology between melanoma and normal nodal cells. As many as 12% of metastases will be missed in the absence of IHC [62, 63]. Traditionally, S-100, a marker for metastatic melanoma, has been the primary target for staining in SLN specimens due to its high sensitivity (95–100%) [59, 60]. Additionally, HMB-45, a target of the antigen gp100, and MART-1, a melanoma-associated antigen recognized by T cells, are often used for staining. HMB-45 is reactive with 50–80% of metastatic melanoma cells, but often negative in an intracapsular nevus, which makes it useful in distinguishing intracapsular nevi from melanoma [59, 60, 62]. Tyrosinase, a marker specific for melanocytic differentiation, has a similar sensitivity and specificity profile as these other markers, and is useful in detecting false negatives following HMB45 and MART-1 staining. An antibody combination of these three markers, HMB-45, MART-1, and tyrosinase, is currently in circulated use with increased sensitivity compared to each antibody alone [60]. Lastly, SOX10, a transcription factor in neural crest cells, has, in limited studies, been shown to be sensitive and specific to melanoma metastases, but is not widely used at this time.

There is little consensus on a specimen protocol for SLNs for melanoma, but several institutions and organizations are in support of their own single-site protocols. Cochran et al. was first to propose a protocol where SLNs are bisected and sectioned serially into ten sections; four discontinuous sections are stained with H&E, one section is stained with S-100, and one section is stained with HMB45 [55, 64]. Moffitt Cancer Center sections the node in 2–3 mm intervals and forms section blocks at one to three levels; one section is used for H&E and one section is used for S-100 [64]. At the Massachusetts General Hospital, three serial sections are taken from the



**Fig. 7.4** Representative photomicroscopy of melanoma sentinel lymph node. (a) Histology (H&E stain). Rare melanoma cells in the subcapsular area of this sentinel node. (b) S-100 stain. Rare subcapsular melanoma cells are positive for S-100. (c) HMB-45 stain. Rare subcapsular melanoma cells are positive for HMB-45. Bar indicates 80  $\mu$ m. Arrows point to the melanoma cells

specimen block at three different levels measuring 80–100  $\mu\text{m}$  apart and 1–2 mm in thickness: (1) the second, fifth, and eighth levels are stained with H&E, (2) the third, sixth, and ninth levels are stained with S-100 and HMB-45, and (3) the first, fourth, and seventh levels are stained with NK1C3, a protein present on activated granulocytes, and MART-1 [64]. At the Hospital of the University of Pennsylvania, sections are taken from the specimen at four different levels. The first and fourth levels are used for histology and the second and third levels are stained with S-100 and HMB-45. The European Organization for Research and Treatment of Cancer protocol, modified from the Cook et al. protocol, involves an initial full-face section, similar to the Cochran bivalving technique, followed by five step sections 50  $\mu\text{m}$  apart with staining of the subsections with H&E, S-100, and HMB-45, respectively [54, 65]. While there are minute differences between various pathologic protocols, all protocols share a common understanding that bivalving of the node, in order to evaluate the subcapsular sinus, in combination with serial sectioning leads to the best positive predictive value for the identification of melanoma micrometastases [64].

Following section preparation, specimens are examined with particular attention to the subcapsular sinus region [54]. Positive SLNs are identified in the subcapsular region 86% of the time, so it is critical to preserve and examine this section pathologically [66]. Higher power magnification (400 $\times$ ) is typically utilized to confirm findings noted on low magnification. Melanoma cells can, at times, be difficult to differentiate from underlying cells present in LNs, including macrophages, dendritic cells, and nevus cells. All of these cells are positive for S-100, but can be distinguished based on size, nuclear and cytoplasmic characteristics, and distribution within the node. Nevus cells, benign and small nevomelanocytic cells, are usually negative for HMB45 and Ki67, and are typically intracapsular or trabecular [54, 66]. Melanoma cells are larger than nevus cells and contain larger nucleoli with a higher nuclear to cytoplasmic ratio [54, 63, 66]. Macrophages can be differentiated by noting the coarse melanin granules in contrast to the fine melanin granules of melanoma cells [54, 63].

When evaluating sections, there is limited consensus on a single scoring algorithm, but there is consensus on the assessment parameters for the SLNs. All specimens should be evaluated for the location of the tumor deposit within the LN (whether this be subcapsular, intraparenchymal, or trabecular), the presence or absence of extracapsular invasion, and the size of the tumor deposit [54, 67]. Extracapsular invasion should be documented as this has been associated with poor prognosis [63]. Extension of tumor cells into the central portion of the SLN indicates a worse prognosis, as location within the non-subcapsular location is sensitive for additional non-sentinel nodal metastases during complete LN dissection [54, 63, 66]. In fact, micrometastases within the subcapsular region only have a non-sentinel lymph node positivity rate of 2% and a melanoma-specific survival rate of 95%, making this biology more akin to negative SLNs and clinically insignificant [68]. The Rotterdam criteria suggests that tumor burden within the SLN <0.1 mm, particularly within the subcapsular region, may predict very low likelihood of additional non-sentinel LN disease in the nodal basin [68].

Reverse transcriptase polymerase chain reaction (RT-PCR) for molecular detection of melanoma tumor markers has been evaluated as a method for identifying positive SLNs [69]. However, RT-PCR status of histologically negative SLNs has not been associated with statistically different disease recurrence and survival outcomes, suggesting that RT-PCR positivity may not provide clinically valuable prognostic information [69, 70]. As such, histologic examination using a combination of H&E and IHC remains the gold standard for SLN assessment.

## Summary

- SLNB is a technique to evaluate the pathologic status of the regional nodal basin in patients diagnosed with clinically node-negative malignant melanoma. It is not performed for patients with melanoma *in situ* or those with clinically-evident nodal metastases.
- The evidence for performing SLNB is strongest for patients diagnosed with intermediate-thickness melanomas (>1.0–4.0 mm in Breslow thickness). MSLT-1 demonstrated improved disease-free survival, but no difference in melanoma-specific survival, in patients with melanoma 1.2–3.5 mm in thickness. Among patients with nodal metastases, there was improved melanoma-specific survival associated with early nodal intervention in this population.
- SLNB should also be offered to patients with thick melanomas (>4.0 mm), for which SLN status is strongly associated with disease-specific survival outcomes.
- In patients with thin melanomas <0.8 mm with high-risk features (ulceration, very high mitotic rate, lymphovascular invasion, or a combination) or those ≥0.8–1.0 mm in Breslow thickness, SLNB may be considered.
- Lymphoscintigraphy with radiolabeled colloid should be performed prior to SLNB in order to accurately identify the regional draining nodal basin. In patients with melanoma involving the head and neck, SPECT-CT may improve identification of the draining basin.
- Intradermal injection of blue dye may be used in conjunction with the radioactive tracer for SLN identification. All blue nodes, grossly abnormal nodes, or nodes with at least 10% of the *ex vivo* maximum radiotracer count of the hottest node are removed.
- For thorough pathologic evaluation, SLNs should be bivalved and serially sectioned.
- A combination of H&E and IHC are used to identify nodal metastases. Stains for S-100, HMB-45, and MART-1 are typically utilized.
- SLN specimens should be evaluated for location of the tumor deposit within the LN (subcapsular, trabecular or intraparenchymal), presence or absence of extracapsular invasion, and size of the tumor deposit.

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